

# A Characterization of Orders of Finite Lattice Type

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In this paper we give a characterization of orders of finite lattice type via the global dimension and a property of relative injective and projective lattices. Since an order is of finite lattice type if and only if this is true at all completions (cf. [4]), it is enough to treat the local problem here.

## § 1. Notation and Statement of the Results

We shall use the following notation throughout this paper.

- $K$  =  $\mathfrak{p}$ -adic completion of an algebraic number field at the finite prime  $\mathfrak{p}$
- $R$  = ring of integers in  $K$
- $\pi R$  = Jacobsonradical of  $R$
- $A, B$  = finite dimensional semi-simple  $K$ -algebras
- $A, I$  =  $R$ -orders in  $A$  and  $B$  resp.

(We recall that an  $R$ -order  $A$  in  $A$  is a subring of  $A$  with the same identity as  $A$ , containing a  $K$ -basis of  $A$  and consisting entirely of integral elements over  $R$ .)

- ${}_A\mathfrak{M}^f({}_A\mathfrak{M}_A^f)$  = category of finitely generated left (right)  $A$ -modules
- ${}_A\mathfrak{M}^0({}_A\mathfrak{M}_A^0)$  = category of left (right)  $A$ -lattices
- (A left  $A$ -lattice  $M$  is a finitely generated left  $A$ -module, which is  $R$ -free.)
- ${}_A\mathfrak{P}({}_A\mathfrak{P}_A)$  = category of projective left (right)  $A$ -lattices
- ${}_A\mathfrak{T}({}_A\mathfrak{T}_A)$  = full subcategory of injective objects in  ${}_A\mathfrak{M}^0({}_A\mathfrak{M}_A^0)$
- ${}_A\mathfrak{B} = {}_A\mathfrak{P} \cap {}_A\mathfrak{T} =$  bijective objects in  ${}_A\mathfrak{M}^0$ .

For  $M \in {}_A\mathfrak{M}^0$  we denote by

- ${}_M\mathfrak{E}$  the full subcategory of  ${}_A\mathfrak{M}^0$ , whose objects are  $N \in {}_A\mathfrak{M}^0$ ,  $N|M^{(s)}$  for some non-negative integer  $s$  ( $X|Y$  indicates that  $X$  is isomorphic to a direct summand of  $Y$ ,  $Z^{(s)}$  is the direct sum of  $s$  copies of  $Z$ )

$G \in {}_A\mathfrak{M}^0$  is called an *additive generator* for  $A$  if  ${}_G\mathfrak{E} = {}_A\mathfrak{M}^0$

- $n(A)$  = number of non-isomorphic indecomposable objects in  ${}_A\mathfrak{M}^0$ , or infinity, if this number is not finite

- ${}_A\mathfrak{M} = \{M_1, \dots, M_t\}$  = a set of all non-isomorphic indecomposable objects in  ${}_A\mathfrak{M}^0$ , if  $n(A) < \infty$ .

Since lattices are  $R$ -projective, we have a duality

$$\mathfrak{D}: {}_A\mathfrak{M}^0 \rightarrow \mathfrak{M}_A^0,$$

$$M \mapsto M^* = \text{Hom}_R(M, R),$$

with  $\mathfrak{D}^2 \sim 1_{{}_A\mathfrak{M}^0}$ , which preserves isomorphism and decomposition etc.

Hence there are only finitely many non-isomorphic indecomposable left  $A$ -lattices if and only if the same is true for the right lattices.

The above notation is changed accordingly for other orders under consideration.

(1.1) *Remark.* If  $n(A) < \infty$ ,  ${}_A\mathfrak{R} = \{M_1, \dots, M_t\}$ , then  $G = \bigoplus_{i=1}^t M_i$  is an additive generator for  $A$ . Conversely, if  $A$  has an additive generator, then  $n(A) < \infty$ .

(1.2) **Theorem.** I) Assume that  $A$  has an additive generator  $G$  and put  $\Gamma = \text{End}_A(G)$ . Then  $\Gamma$  is an  $R$ -order in the semi-simple  $K$ -algebra  $B = \text{End}_A(K \otimes_R G)$ , satisfying:

- (i) the left and right global dimension of  $\Gamma$  is  $\leq 2$ ,
- (ii) there exists a projective resolution of left  $\Gamma$ -lattices

$$0 \rightarrow P_1 \rightarrow P_0 \rightarrow \Gamma^* \rightarrow 0$$

with  $P_0 \in {}_R\mathfrak{F}$ ,

- (iii)  $A$  is Morita-equivalent to  $\text{End}_\Gamma(P_0)$ .

We call an  $R$ -order satisfying (i) and (ii) an  $\mathfrak{A}$ -order.

II) Conversely, assume that  $\Gamma$  is an  $\mathfrak{A}$ -order in  $B$ , and let  $P_0$  be as in (ii). Then  $A = \text{End}_\Gamma(P_0)$  is an  $R$ -order in  $A = \text{End}_B(K \otimes_R P_0)$  satisfying

(i)  $n(A) < \infty$ ,  ${}_A\mathfrak{R} = \{\text{Hom}_\Gamma(P_0, I_i), 1 \leq i \leq s\}$ , if  $I_i, 1 \leq i \leq s$ , are the non-isomorphic indecomposable objects in  ${}_R\mathfrak{F}$ ,

(ii)  $\Gamma$  is Morita-equivalent to  $\text{End}_A(G)$ , where  $G = \bigoplus_{i=1}^s \text{Hom}_\Gamma(P_0, I_i)$ .

(1.3) **Lemma.** The left global dimension of  $A$  ( $\text{gl. dim}(A)$ )  $\leq n+1$  if and only if the left global dimension of  ${}_A\mathfrak{M}^0$ , ( $\text{gl. dim}^0 A$ )  $\leq n$ .

The proof consists of a straightforward generalization of [1, 2.2].

(1.4) *Remarks.* 1) By means of (1.2) the orders of finite lattice type are known, if one knows all  $\mathfrak{A}$ -orders. Moreover, this connection is a very close one, since as a bonus one also gets the non-isomorphic indecomposable lattices.

2) To test whether an order  $\Gamma$  is an  $\mathfrak{A}$ -order one has to do the following two things:

(i) Find a minimal projective resolution for the Jacobson-radical  $J(\Gamma)$  of  $\Gamma$  and check whether this resolution has length  $\leq 1$ ,

(ii) compute  $\Gamma^*$  and check, whether the projective cover of  $\Gamma^*$  is bijective.

Given a particular order, (i) and (ii) are easily checked.

3) (1.2) can also be formulated globally.

### § 2. Proof of (1.2), I

Let  $G$  be an additive generator for  $\mathcal{A}$ . Let  $\Gamma = \text{End}_{\mathcal{A}}(G)$ .

To avoid too complicated notation we write  $(X, Y)_{\mathcal{A}}$  for  $\text{Hom}_{\mathcal{A}}(X, Y)$  etc.

With  $G$  we associate the functor

$$(2.1) \quad \begin{aligned} \mathfrak{F}: {}_{\mathcal{A}}\mathfrak{M}^0 &\rightarrow {}_{\Gamma}\mathfrak{M}^0, \\ M &\mapsto (G, M)_{\mathcal{A}}, \end{aligned}$$

which is faithful, since  $G$  is a generator in  ${}_{\mathcal{A}}\mathfrak{M}^0$ . (A generator in  ${}_{\mathcal{A}}\mathfrak{M}^0$  is a left  $\mathcal{A}$ -lattice  $M$  such that  $\mathcal{A}|M^{(n)}$  for some  $n$ . This should not be confused with additive generator.) Moreover, it induces an equivalence between

$$(2.2) \quad {}_{\mathcal{A}}\mathfrak{M}^0 \text{ and } {}_{\Gamma}\mathfrak{F} \quad (\text{cf. [2]}).$$

(2.3) **Lemma.** *The left and right global dimension of  $\Gamma$  is  $\leq 2$ .*

*Proof.* Let  $T \in {}_{\Gamma}\mathfrak{M}^f$ , and choose a resolution

$$0 \rightarrow \text{Ker } \varphi \rightarrow Q_1 \xrightarrow{\varphi} Q_0 \rightarrow T \rightarrow 0$$

with  $Q_i \in {}_{\Gamma}\mathfrak{F}$ ,  $i = 1, 2$ .

Because of (2.2),  $Q_i \cong (G, M_i)_{\mathcal{A}}$  with  $M_i \in {}_{\mathcal{A}}\mathfrak{M}^0$ ,  $i = 1, 2$ . Then

$$(Q_1, Q_0)_{\Gamma} \cong ((G, M_1)_{\mathcal{A}}, (G, M_0)_{\mathcal{A}})_{\Gamma} \cong (M_1, M_0)_{\mathcal{A}};$$

i.e., there exists  $\psi \in (M_1, M_0)_{\mathcal{A}}$  such that  $\varphi = \text{Hom}(1_G, \psi)$ . But  $\mathfrak{F}$  is left exact and so  $\text{Ker } \varphi \cong (G, \text{Ker } \psi)_{\mathcal{A}}$ . By (2.2) we conclude  $\text{Ker } \varphi \in {}_{\Gamma}\mathfrak{F}$  and the left global dimension of  $\Gamma$  is  $\leq 2$ .

As for the right global dimension we observe that  $\Gamma = (G^*, G^*)_{\mathcal{A}}$  and so we have a functor

$$(2.4) \quad \begin{aligned} \mathfrak{F}^*: \mathfrak{M}_{\mathcal{A}}^0 &\rightarrow \mathfrak{M}_{\Gamma}^0, \\ M &\mapsto (G^*, M)_{\mathcal{A}}, \end{aligned}$$

which is faithful, since  $G^*$  is an additive generator for  $\mathfrak{M}_{\mathcal{A}}^0$ . Now one shows as above, that the right global dimension of  $\Gamma$  is  $\leq 2$ .

We now come to the proof of (1.2), (ii):

Since  $G$  is a generator, we have  $A|G^{(n)}$  for some  $n$  and so

$$G \cong \text{Hom}_A(A, G)_\Gamma | \text{Hom}_A(G^{(n)}, G) \cong \Gamma^{(n)}.$$

Hence  $G \in \mathfrak{P}_\Gamma$ . Similarly  $G^* \in \mathfrak{M}_A^0$  implies  $G^* \in {}_r\mathfrak{P}$ . Therefore  $G^* \in {}_r\mathfrak{B}$  and  $G \in \mathfrak{B}_\Gamma$ .

Since  ${}_A\mathfrak{S} = \{P^* : P \in \mathfrak{P}_A\}$ , every  $A$ -lattice  $M$  has an injective envelope in  ${}_A\mathfrak{S}$ . Let  $I \in \mathfrak{S}_A$  be an injective envelope for  $G^* \in \mathfrak{M}_A^0$ . Then we have an exact sequence

$$(2.5) \quad 0 \rightarrow G^* \rightarrow I \rightarrow C \rightarrow 0$$

with  $C \in \mathfrak{M}_A^0$ . We apply the functor  $\mathfrak{F}^*$  to this sequence and obtain the exact sequence of right  $\Gamma$ -lattices

$$0 \rightarrow \Gamma \rightarrow (G^*, I)_A \rightarrow C' \rightarrow 0,$$

where  $C'$  is a  $\Gamma$ -lattice, since it is a submodule of  $(G^*, C)_A$ . Applying the duality  $\mathfrak{D}$  to this sequence we get the exact sequence of left  $\Gamma$ -lattices

$$(2.6) \quad 0 \rightarrow C'^* \rightarrow (G^*, I)_A^* \rightarrow \Gamma^* \rightarrow 0.$$

Then  $(G^*, I)_A^* \in {}_r\mathfrak{S}$ , since it is the dual of a projective lattice. We have to show that  $(G^*, I)_A^* \in {}_r\mathfrak{P}$ . But this is the case if and only if  $(G^*, I)_A \in \mathfrak{S}_\Gamma$ .

But  $I|A^{*(n)}$  for some  $n$ ; hence

$$(G^*, I)_A | (G^*, A^*)_A^{(n)} \cong (A, G)_A^{(n)} \cong G^{(n)}.$$

But  $G \in \mathfrak{S}_\Gamma$ , and so  $(G^*, I)_A \in \mathfrak{S}_\Gamma$ . By (1.3) and (2.3)  $C'^* \in {}_r\mathfrak{P}$ . This shows that  $\Gamma$  satisfies (ii) of (1.2). Observe that in (1.2), (ii) we have  $P_0 = (G^*, I)_A^*$ .

Finally we prove (1.2), (iii). Put  $A' = \text{End}_\Gamma(P_0)$ . Then

$$A' = ((G^*, I)_A^*, (G^*, I)_A^*)_\Gamma \cong ((G^*, I)_A, (G^*, I)_A)_\Gamma.$$

But  $\mathfrak{F}^*$  is faithful, and so we have

$$A' \cong (I, I)_A \cong (I^*, I^*)_A.$$

Observe that all the above isomorphisms are natural. It therefore remains to show that  $(I^*, I^*)_A$  is Morita-equivalent to  $A$ ; for this it is necessary and sufficient that  $I^* \in {}_A\mathfrak{M}^0$  is a progenerator. Firstly  $I^* \in {}_A\mathfrak{P}$  since  $I \in \mathfrak{S}_A$ . Moreover, because of (2.5) we have an epimorphism of left  $A$ -modules.

$$I^* \rightarrow G \rightarrow 0$$

Therefore  $I^*$  is a generator,  $G$  being one. This completes the proof of (1.2), I).

§ 3. Proof of (1.2), II)

Let now  $\Gamma$  be an  $\mathfrak{A}$ -order and let  $P_0$  be the projective cover of  $\Gamma^*$ . Then  $P_0$  is bijective, and if we put  $A = (P_0, P_0)_\Gamma$ , then the functor

$$(3.1) \quad \mathfrak{G}: {}_r\mathfrak{M}^0 \rightarrow {}_A\mathfrak{M}^0, \\ N \mapsto (P_0, N)_\Gamma$$

is dense,  $P_0$  being projective.

Let  ${}_r\mathfrak{Q}$  be the full subcategory of  ${}_r\mathfrak{M}^0$ , whose objects are those lattices  $N \in {}_r\mathfrak{M}^0$  such that there exists an epimorphism

$$(3.2) \quad P_0^{(n)} \rightarrow N \rightarrow 0 \quad \text{for some } n.$$

If  $\tau_N: P_0 \otimes_A (P_0, N)_\Gamma \rightarrow N, p \otimes \varphi \mapsto p \varphi$  is the trace map, then  $N \in {}_r\mathfrak{Q}$  if and only if  $\tau_N$  is an epimorphism.

(3.3) **Lemma.**  $\mathfrak{G}$  induces an equivalence between  ${}_r\mathfrak{Q}$  and  ${}_A\mathfrak{M}^0$ .

*Proof.* (i)  $\mathfrak{G}|_{{}_r\mathfrak{Q}}$  is faithful; in fact, we have for  $N_1, N_2 \in {}_r\mathfrak{Q}$ :

$$(N_1, N_2)_\Gamma \cong (P_0 \otimes_A (P_0, N_1)_\Gamma, (P_0, N_2)_\Gamma) \\ \cong ((P_0, N_1)_\Gamma, (P_0, N_2)_\Gamma)_A.$$

For the first isomorphism observe that  $\text{Ker } \tau_N$  is the torsionpart of  $P_0 \otimes_A (P_0, N)_\Gamma$ .

(ii)  $\mathfrak{G}|_{{}_r\mathfrak{Q}}$  is dense, in fact let  $M \in {}_A\mathfrak{M}^0$  be given. Then  $M \cong (P_0, N)_\Gamma$ , since  $\mathfrak{G}$  is dense. Put  $N_1 = \text{Im } \tau_N$ . Then  $(P_0, N)_\Gamma \cong (P_0, N_1)_\Gamma$  and  $\mathfrak{G}|_{{}_r\mathfrak{Q}}$  is dense.

(3.4) **Lemma.**  $N \in {}_r\mathfrak{Q}$  if and only if  $N \in {}_r\mathfrak{I}$ .

*Proof.* (i) If  $N \in {}_r\mathfrak{Q}$ , then we have an exact sequence

$$0 \rightarrow C \rightarrow P_0^{(n)} \rightarrow N \rightarrow 0$$

of  $\Gamma$ -lattices. Applying the duality  $\mathfrak{D}$ , we get the exact sequence of right  $\Gamma$ -lattices

$$0 \rightarrow N^* \rightarrow P_0^{*(n)} \rightarrow C^* \rightarrow 0.$$

Since  $P_0^*$  is  $\Gamma$ -projective, and since  $C^*$  has homological dimension  $\leq 1$ ,  $N^* \in \mathfrak{F}_\Gamma$  (cf. (1.3)). But then  $N \in {}_r\mathfrak{I}$ .

(ii) Let now  $N \in {}_r\mathfrak{I}$ . Then  $N|_{\Gamma^{*(n)}}$  for some  $n$  and we surely have an epimorphism  $P_0^{(n)} \rightarrow N \rightarrow 0$ . Hence  $N \in {}_r\mathfrak{Q}$ .

We now are in the position to prove (1.2), II).

Let  $I_1, \dots, I_s$  be the non-isomorphic indecomposable objects in  ${}_r\mathfrak{I}$ . Then because of (3.3) and (3.4),

$${}_A\mathfrak{R} = \{(P_0, I_1)_\Gamma, \dots, (P_0, I_s)_\Gamma\}.$$

Let  $I = \bigoplus_{i=1}^s I_i$ ; we have to show that  $\Gamma$  and  $\text{End}_A((P_0, I)_\Gamma)$  are Morita-equivalent. However

$$\Gamma' = \text{End}_A((P_0, I)_\Gamma) \cong \text{End}_\Gamma(I) \cong \text{End}_\Gamma(I^*),$$

and it remains to show that  $I^*$  is a progenerator for  $\mathfrak{M}_\Gamma^0$ . In fact, this says that the categories  $\mathfrak{M}_\Gamma^0$  and  $\mathfrak{M}_\Gamma^0$  are Morita-equivalent. But then also the categories  ${}_\Gamma\mathfrak{M}^0$  and  ${}_\Gamma\mathfrak{M}^0$  are Morita-equivalent via the duality  $\mathfrak{D}$ .  $I^*$  surely is projective. Moreover,  $\Gamma^*|I^{(n)}$  for some  $n$  and therefore  $\Gamma|I^{*(n)}$  and hence  $I^* \in \mathfrak{M}_\Gamma^0$  is a generator. This completes the proof of (1.2), II).

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