

On the Hahn—Banach Extension Property in Hardy and Mixed Norm Spaces on the Unit Ball

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Abstract. For a nonempty set E of nonnegative integers let $H_E^{p, q, \alpha}$ and H_E^p be the closed linear span of

$$\{z_1^{\alpha_1} z_2^{\alpha_2} \dots z_n^{\alpha_n} : (\alpha_1, \alpha_2, \dots, \alpha_n) \in (\mathbb{Z}_+)^n, \alpha_1 + \alpha_2 + \dots + \alpha_n \in E\}$$

in the mixed norm space $H^{p, q, \alpha}(B_n)$ and in the Hardy space $H^p(B_n)$, respectively. In this note we prove that the Hahn—Banach Extension Property (HBEP) of $H_E^{p, q, \alpha}$ is independent of q . As an application, we show that if $0 < p < 1$ and $H_E^{p, q, \alpha}$ or H_E^p has HBEP then E must be thick in the sense that if $E = \{m_n : n = 1, 2, \dots\}$, where $m_1 < m_2 < \dots$, then $m_n \leq cn$ for some constant c . This result is an extension over those obtained in [2] and [4].

1. Introduction

Let B_n denote the unit ball in C^n , $n \geq 1$, S_n its boundary, σ_n the positive rotation invariant measure on S_n , with $\sigma_n(S_n) = 1$. By $H(B_n)$ we denote the class of all functions holomorphic in B_n .

The Hardy space H^p , $0 < p < \infty$, is defined on B_n by

$$H^p = H^p(B_n) = \{f \in H(B_n) : \|f\|_p < \infty\},$$

where

$$\|f\|_p = \sup_{0 < r < 1} M_p(r, f), \quad M_p(r, f) = \left\{ \int_{S_n} |f(r\eta)|^p d\sigma_n(\eta) \right\}^{1/p}.$$

If $0 < p, q, \alpha < \infty$, define

$$H^{p, q, \alpha} = H^{p, q, \alpha}(B_n) = \{f \in H(B_n) : \|f\|_{p, q, \alpha} < \infty\}$$

where

$$\|f\|_{p, q, \alpha} = \left(\int_0^1 (1-r)^{q\alpha-1} M_p(r, f)^q dr \right)^{1/q}.$$

For a nonempty set E of nonnegative integers we let

$$H_E = H_E(B_n) = \{f \in H(B_n) : f_k \equiv 0, k \notin E\},$$

where $f_k(z)$ is the homogeneous polynomial of degree k in the Taylor expansion $f(z) = \sum_{k=0}^{\infty} f_k(z)$.

In this paper we consider the Hahn–Banach Extension Property (HBEP) of the closed subspaces $H_E^{p, q, \alpha} = H^{p, q, \alpha} \cap H_E(B_n)$ and $H_E^p = H^p \cap H_E(B_n)$ of the spaces $H^{p, q, \alpha}$ and H^p , respectively. We recall that $H_E^{p, q, \alpha}$ (resp. H_E^p) has HBEP if every continuous linear functional on $H_E^{p, q, \alpha}$ (resp. H_E^p) can be extended to a continuous linear functional on $H^{p, q, \alpha}$ (resp. H^p).

Our main result is the following theorem which shows that HBEP of $H_E^{p, q, \alpha}$ is independent of q .

Theorem 1. *Let p, q, s, α be positive real numbers. Then $H_E^{p, q, \alpha}$ has HBEP if and only if $H_E^{p, s, \alpha}$ has HBEP.*

If $1 \leq p, q < \infty$, $H^{p, q, \alpha}$ is a Banach space. Therefore, the following result is an immediate consequence of Theorem 1 and the Hahn–Banach theorem.

Corollary. *If $1 \leq p < \infty$, $0 < q < 1$, then $H_E^{p, q, \alpha}$ has HBEP for any subset E of nonnegative integers.*

As a further application of Theorem 1 we prove

Theorem 2. *Let $0 < p < 1$ and $E = \{m_k : k = 1, 2, \dots\}$, where $m_1 < m_2 < \dots$. If i) $H_E^{p, q, \alpha}$ has HBEP, or ii) H_E^p has HBEP, then there is a constant $C > 0$ such that $m_k \leq Ck$, $k = 1, 2, \dots$.*

The one variable case $0 < q < p < 1$ of Theorem 2 (i) follows from the case $0 < p \leq q < \infty$, that was proved in [2], and from Theorem 1. For the special case $n = 1$ Theorem 2 (ii) is due to N. KALTON and D. TRAUTMAN [4]. The rest of Theorem 2 (a several variables version) will be proved in Section 4.

To show that $H^p(B_n)$, $0 < p < 1$, and $H^{q, q, n/p - (n/q)}(B_n)$, $0 < p < q < 1$, are not locally convex, SHI JI-HUAI [9] constructed closed subspaces of $H^p(B_n)$ and $H^{q, q, n/p - (n/q)}(B_n)$ that fail HBEP. It follows from Theorem 2 that H_E^p and $H_E^{p, q, \alpha}$ fail HBEP if, for example, $E = \{n^2 : n = 1, 2, \dots\}$, for any $0 < p < 1$, $0 < q < \infty$, $0 < \alpha < \infty$.

Throughout this paper we will use the convention of denoting by C

any positive constant which is independent of the relevant parameters in the expression in which it occurs. The value of C may change from one occurrence to the next.

We will use the notation $A \cong B$ to mean $C^{-1}A \leq B \leq CA$.

2. Preliminaries

Let $g(z) = \sum_{k=0}^{\infty} \hat{g}(k)z^k$ be holomorphic in the unit disc B_1 and $f(z) = \sum_{k=0}^{\infty} f_k(z)$ holomorphic in the unit ball B_n . We define

$$(g \times f)(z) = \sum_{k=0}^{\infty} \hat{g}(k) f_k(z), \quad z \in B_n.$$

If $f \in H(B_1)$ we write $g * f$ instead of $g \times f$.

In [3] we have proved that if $w_n, n = 0, 1, 2, \dots$, are polynomials defined by $w_0(z) = 1 + z, w_n(z) = \sum_{k=2^{n-1}}^{2^n+i} \varphi\left(\frac{k}{2^{n-1}}\right)z^k, z \in B_1, n = 1, 2, \dots$, where $\varphi(t) = \omega(t/2) - \omega(t)$, and $\omega: R \rightarrow R$ is any infinitely differentiable function satisfying

$$0 \leq \omega(t) \leq 1 \quad \text{and} \quad \omega(t) = \begin{cases} 1, & t \leq 1 \\ 0, & t \geq 2 \end{cases}$$

then

$$f = \sum_{n=0}^{\infty} w_n * f, \quad \text{for all } f \in H(B_1),$$

and

$$\|w_n * f\|_p \leq C \|f\|_p, \quad f \in H^p(B_1), \quad 0 < p \leq 1.$$

It follows immediately from the representation

$$(w_n * f)(z) = \frac{1}{2\pi} \int_0^{2\pi} w_n(e^{it}) f(ze^{-it}) dt, \quad f \in H(B_1),$$

that if $1 \leq p < \infty$, then $\|w_n * f\|_p \leq \|w_n\|_1 \|f\|_p, f \in H^p(B_1)$. In [3] we also proved that $\|w_n\|_1 \leq C, n = 0, 1, 2, \dots$, where C is a constant independent of n . Thus, if $1 \leq p < \infty$, then $\|w_n * f\|_p \leq C \|f\|_p$ for $f \in H^p(B_1)$. Now it follows by a slice integration that

$$\|w_n \times f\|_p \leq C \|f\|_p, \quad f \in H^p(B_n), \quad 0 < p < \infty. \quad (2.1)$$

Since $\sum_{n=0}^{\infty} \hat{w}_n(k) = 1$, $k = 0, 1, 2, \dots$, we have $f = \sum_{n=0}^{\infty} w_n \times f$ for any polynomial f . From this it follows easily that

$$f(z) = \sum_{n=0}^{\infty} (w_n \times f)(z), \quad z \in B_n, \quad \text{for all } f \in H(B_n). \quad (2.2)$$

If $f(z) = \sum_{k=n}^m \hat{f}(k) z^k$, $z \in B_1$, $0 \leq n \leq m$, then

$$r^m \|f\|_p \leq M_p(r, f) \leq r^n \|f\|_p \quad (\text{see [5]}).$$

From this it follows by slice integration that if $g(z) = \sum_{k=n}^m g_k(z)$, $0 \leq n \leq m$, where g_k are homogeneous polynomials of degree k , then

$$r^m \|g\|_p \leq M_p(r, g) \leq r^n \|g\|_p, \quad 0 < p < \infty. \quad (2.3)$$

Lemma 2.1. ([5], [6]). *A measurable function $F: (0, 1) \rightarrow (0, \infty)$ satisfying*

$$\sup_{n \geq 0} |b_n| r^{2^n} \leq (1-r)^{(1/q)-\alpha} F(r) \leq \sum_{n=0}^{\infty} |b_n| r^{2^n}, \quad \alpha > 0,$$

belongs to $L^q(0, 1)$, $0 < q \leq \infty$, if and only if $\{2^{-n\alpha} b_n\}$ belongs to the sequence space l^q .

Lemma 2.2. *Let $0 < p, q, \alpha < \infty$. A function $f \in H(B_n)$ belongs to $H^{p, q, \alpha}(B_n)$ if and only if the sequence $\{2^{-n\alpha} \|w_n \times f\|_p\}$ belongs to l^q .*

Proof. Without loss of generality we may suppose $f(0) = 0$. Since

$$\sup_{n \geq 0} \|w_n \times f\|_p r^{2^{n+1}} \leq C M_p(r, f), \quad \text{by (2.1) and (2.3),}$$

we have $\{2^{-n\alpha} \|w_n \times f\|_p\} \in l^q$, by Lemma 2.1.

Conversely, if $0 < p \leq 1$, then using (2.2), (2.3) and Lemma 2.1 we find that

$$\begin{aligned} \|f\|_{p, q, \alpha}^q &\leq C \int_0^1 \left(\sum_{n=1}^{\infty} \|w_n \times f\|_p^p r^{2^n-1} \right)^{q/p} (1-r)^{q\alpha-1} dr \leq \\ &\leq C \|\{2^{-n\alpha} \|w_n \times f\|_p\}\|_{l^q}^q. \end{aligned}$$

If $1 \leq p < \infty$, then using (2.2), Minkowski's inequality and (2.3) we obtain

$$\begin{aligned} \|f\|_{p, q, \alpha}^q &\leq C \int_0^1 (1-r)^{q\alpha-1} \left(\sum_{n=1}^{\infty} \|w_n \times f\|_p r^{2^n-1} \right)^q dr \leq \\ &\leq C \|\{2^{-n\alpha} \|w_n \times f\|_p\}\|_{l^q}, \text{ by Lemma 2.1.} \end{aligned}$$

Let $f(z) = \sum_{|\alpha|=0}^{\infty} f_{\alpha} z^{\alpha}$ and $g(z) = \sum_{|\alpha|=0}^{\infty} g_{\alpha} z^{\alpha}$ be holomorphic in B_n . We define

$$(f \circ g)(z) = \sum_{k=0}^{\infty} \left(\sum_{|\alpha|=k} f_{\alpha} g_{\alpha} \right) z^k, \quad z \in B_1.$$

Let $H^{\infty} = H^{\infty}(B_1)$ be the space of holomorphic bounded functions in B_1 with the sup-norm. A function $g \in H(B_n)$ is said to be a multiplier from $H^{p, q, \alpha}(B_n)$ to H^{∞} if the map $f \rightarrow f \circ g$ acts as a bounded linear operator from $H^{p, q, \alpha}(B_n)$ to H^{∞} . The set of all such multipliers will be denoted by $(H^{p, q, \alpha})^*$. Analogously, we define $(H_E^{p, q, \alpha})^*$.

It is easily seen that $(H^{p, q, \alpha})^*$ is a quasi-normed space with the quasi-norm

$$\|g\|_{(H^{p, q, \alpha})^*} = \|g\|_{p, q, \alpha, \infty} = \sup \{ \|f \circ g\|_{\infty} : f \in H^{p, q, \alpha}, \|f\|_{p, q, \alpha} \leq 1 \}.$$

The next lemma shows that the dual of $H^{p, q, \alpha}$ (denoted by $(H^{p, q, \alpha})'$) may be identified with the space $(H^{p, q, \alpha})^*$.

Lemma 2.3. *If $g \in (H^{p, q, \alpha})^*$ and if we define $\lambda_g(f) = \lim_{r \rightarrow 1} (f \circ g)(r)$, $f \in H^{p, q, \alpha}$, then $\lambda_g \in (H^{p, q, \alpha})'$ and $\|\lambda_g\| = \|g\|_{p, q, \alpha, \infty}$.*

Conversely, given $\lambda \in (H^{p, q, \alpha})'$, then there is a unique $g \in (H^{p, q, \alpha})^$ such that $\lambda_g = \lambda$. Also, $\|g\|_{p, q, \alpha, \infty} \leq C \|\lambda\|$.*

Proof. Define λ_z , $z \in B_1$, by $\lambda_z(f) = (f \circ g)(z)$, $f \in H^{p, q, \alpha}$. Then $\{\lambda_z : z \in B_1\}$ is a bounded subset of $(H^{p, q, \alpha})'$. On the other hand if f is a holomorphic polynomial in n -variables and $a \in S_1$ then the limit of $\lambda_z(f)$, as $z \rightarrow a$, exists. From this we conclude that the above limit exists for all $f \in H^{p, q, \alpha}$. Thus, λ_g is well defined. It is easily seen that $\lambda_g \in (H^{p, q, \alpha})'$ and $\|\lambda_g\| = \|g\|_{p, q, \alpha, \infty}$.

Conversely, define $g_{\alpha} = \lambda(z^{\alpha})$, $\alpha \in (Z_+)^n$. Since $(f \circ g)(z) = \lambda(f_z)$, $z \in B_1$, for all $f \in H^{p, q, \alpha}$, where $f_z(w) = f(zw)$, $w \in B_n$, and $\lim_{r \rightarrow 1} \lambda(fr) = \lambda(f_1)$, we have $\lambda_g = \lambda$. The function $g \in (H^{p, q, \alpha})^*$ because $\|(f \circ g)(z)\| = |\lambda(f_z)| \leq \|\lambda\| \|f\|_{p, q, \alpha}$, for $z \in B_1$. The uniqueness of g is obvious.

As a consequence of (2.3) we have $\|w_n \times f\|_{p, q, \alpha} \cong 2^{-n\alpha} \|w_n \times f\|_p$, $f \in H(B_n)$. Using this and Lemma 2.2 we find that

$$\|f\|_{p, s, \alpha} \cong \|\{\|w_n \times f\|_{p, q, \alpha}\}\|_{l^{s'}}. \quad (2.4)$$

Lemma 2.4. *Let $g \in H(B_n)$. Then*

$$\|g\|_{p, s, \alpha; \infty} \cong \|\{\|w_n \times g\|_{p, q, \alpha; \infty}\}\|_{l^{s'}},$$

where $s^{-1} + (s')^{-1} = 1$, if $1 < s < \infty$, and $s' = \infty$, if $0 < s \leq 1$.

Proof. Define $P_n = w_{n-1} + w_n + w_{n+1}$, $n \geq 0$ ($w_{-1} = 0$). Since

$$w_n * w_k = 0, \quad \text{for } |n - k| \geq 2, \quad (2.5)$$

we have

$$P_n * w_n = w_n, \quad n \geq 0, \quad (2.6)$$

and

$$w_n * P_k = 0, \quad \text{if } |k - n| \geq 3. \quad (2.7)$$

Let $\|f\|_{p, s, \alpha} < \infty$ and $\|\{\|w_n \times g\|_{p, q, \alpha; \infty}\}\|_{l^{s'}} < \infty$. If $z \in B_1$, then using (2.2) and (2.6) we get

$$\begin{aligned} |(f \circ g)(z)| &= \left| \sum_{n=0}^{\infty} (w_n * (f \circ g))(z) \right| = \\ &= \left| \sum_{n=0}^{\infty} ((P_n * w_n) * (f \circ g))(z) \right| = \\ &= \left| \sum_{n=0}^{\infty} ((P_n \times f) \circ (w_n \times g))(z) \right| \leq \\ &\leq \sum_{n=0}^{\infty} \|P_n \times f\|_{p, q, \alpha} \|w_n \times g\|_{p, q, \alpha; \infty} \leq \\ &\leq \|\{\|P_n \times f\|_{p, q, \alpha}\}\|_{l^{s'}} \|\{\|w_n \times g\|_{p, q, \alpha; \infty}\}\|_{l^{s'}} \end{aligned}$$

by Hölder's inequality. It is easily seen that $\|\{\|P_n \times f\|_{p, q, \alpha}\}\|_{l^{s'}} \cong \|f\|_{p, s, \alpha}$, by (2.4). Thus, we have proved that $\|g\|_{p, s, \alpha; \infty} \leq C \|\{\|w_n \times g\|_{p, q, \alpha; \infty}\}\|_{l^{s'}}$.

Conversely, let $\|g\|_{p, s, \alpha; \infty} < \infty$. Fix $0 < \varepsilon < 1$. Since $\|w_n \times g\|_{p, q, \alpha; \infty} < \infty$, $n = 0, 1, 2, \dots$, for each n there exists f_n , $\|f_n\|_{p, q, \alpha} = 1$, so that

$$((w_n \times g) \circ f_n)(1) = \|(w_n \times g) \circ f_n\|_{\infty} \geq \varepsilon \|w_n \times g\|_{p, q, \alpha; \infty}. \quad (2.8)$$

If $\{b_k\} \in l^s$, $b_k \geq 0$, using (2.4), (2.5) and (2.1) we conclude

$$\left\| \sum_{k=0}^{\infty} w_k \times b_k f_k \right\|_{p, s, \alpha} \cong \left\| \left\{ \left\| w_n \times \sum_{k=0}^{\infty} w_k \times b_k f_k \right\|_{p, q, \alpha} \right\} \right\|_{l^s} \leq C \|\{b_k\}\|_{l^s},$$

since $\|f_n\|_{p, q, \alpha} = 1$, for all $n \geq 0$.

By hypotheses $\|g\|_{p, s, \alpha; \infty} < \infty$. Therefore

$$\left\| \sum_{n=0}^{\infty} w_n \times b_n f_n \circ g \right\|_{\infty} \leq C \|g\|_{p, s, \alpha; \infty} \|\{b_n\}\|_{l^s}. \tag{2.9}$$

On the other hand, $w_n \times b_n f_n \circ g = b_n (w_n \times g) \circ f_n$, and by (2.8)

$$\begin{aligned} \left\| \sum_{n=0}^{\infty} (w_n \times b_n f_n) \circ g \right\|_{\infty} &= \sum_{n=0}^{\infty} b_n \|(w_n \times g) \circ f_n\|_{\infty} \geq \\ &\geq \varepsilon \sum_{n=0}^{\infty} b_n \|w_n \times g\|_{p, q, \alpha; \infty}. \end{aligned} \tag{2.10}$$

Combining (2.9) and (2.10) we obtain

$$\|\{ \|w_n \times g\|_{p, q, \alpha; \infty} \}\|_{l^s} \leq C \|g\|_{p, s, \alpha; \infty}.$$

In the same way we prove that if $g \in H_E(B_n)$ then

$$\|g\|_{(H_E^{p, s, \alpha})^*} \cong \|\{ \|w_n \times g\|_{(H_E^{p, q, \alpha})^*} \}\|_{l^s}. \tag{2.11}$$

3. Proof of Theorem 1

Set $X = H^{p, s, \alpha}$, $Y = H_E^{p, s, \alpha}$, $A = H^{p, q, \alpha}$, $B = H_E^{p, q, \alpha}$. Suppose now that B (as a subspace of A) has HBEP. Let $g \in Y^*$. By Lemma 2.3 it is sufficient to prove that there exists a $G \in X^*$ such that $G \circ f = g \circ f$, for all $f \in Y$.

Since $w_n \times g \in B^*$, $n \geq 0$, and B has HBEP, there are functions $g_n \in H(B_n)$ so that

$$g_n \circ f = w_n \times g \circ f, \quad f \in B \tag{3.1}$$

and

$$\|g_n\|_{p, q, \alpha; \infty} \leq C \|w_n \times g\|_{p, q, \alpha; \infty}, \tag{3.2}$$

where C is a positive constant independent of n (a consequence of the open mapping theorem).

Define the function G by $G(z) = \sum_{n=0}^{\infty} (P_n \times g_n)(z)$, $z \in B_n$. One can easily show that $G \in H(B_n)$. We claim that G satisfies the conditions cited above.

Using Lemma 2.4 and equations (2.7), (2.1), (3.2) and (2.11) we obtain

$$\begin{aligned} \|G\|_{p, s, \alpha; \infty} &\cong \|\{\|w_n \times G\|_{p, q, \alpha; \infty}\}\|_{l^{s'}} = \\ &= \left\| \left\{ \left\| w_n \times \sum_{k=0}^{\infty} P_k \times g_k \right\|_{p, q, \alpha; \infty} \right\} \right\|_{l^{s'}} \leq \\ &\leq C \|\{\|w_n \times g_n\|_{p, q, \alpha; \infty}\}\|_{l^{s'}} \leq C \|\{\|g_k\|_{p, q, \alpha; \infty}\}\|_{l^{s'}} \leq \\ &\leq C \|\{\|w_n \times g\|_{p, q, \alpha; \infty}\}\|_{l^{s'}} \cong C \|g\|_{Y^*} < \infty. \end{aligned}$$

It follows that $G \in X^*$.

From (3.1), (2.6) and (2.1) it follows that if $f \in Y$, then

$$\begin{aligned} G \circ f &= \sum_{n=0}^{\infty} (P_n \times g_n) \circ f = \sum_{n=0}^{\infty} P_n * (g_n \circ f) = \\ &= \sum_{n=0}^{\infty} P_n * ((w_n \times g) \circ f) = \sum_{n=0}^{\infty} w_n * (g \circ f) = g \circ f. \end{aligned}$$

This completes the proof of Theorem 1.

4. Proof of Theorem 2

i) Note that we proved the case $n = 1$ in the Introduction. Thus, to finish the proof of Theorem 2 (i), by Theorem 1, it is sufficient to show that if $H_E^{p, p, \alpha}(B_n)$, $n > 1$, has HBEP than $H_E^{p, p, \alpha + (n-1)/p}(B_1)$ has HBEP.

Let $\psi \in (H_E^{p, p, \alpha + (n-1)/p}(B_1))'$. Define $\varphi(g) = \psi(\varrho g)$, $g \in H_E^{p, p, \alpha}(B_n)$, where ϱ is a restriction operator defined by $\varrho g(z) = g(z, 0, \dots, 0)$, $z \in B_1$, $g \in H(B_n)$. The proof of Lemma 2.2 ([1]) shows that ϱ is a bounded transformation from $H_E^{p, p, \alpha}(B_n)$ into $H_E^{p, p, \alpha + (n-1)/p}(B_1)$. Hence, $\varphi \in (H_E^{p, p, \alpha}(B_n))'$. Since $H_E^{p, p, \alpha}(B_n)$ has HBEP, there exists $\Phi \in (H^{p, p, \alpha}(B_n))'$ such that $\Phi(g) = \varphi(g)$, for all $g \in H_E^{p, p, \alpha}(B_n)$.

Now define $\Psi(f) = \Phi(\tau f)$, $f \in H^{p, p, \alpha + (n-1)/p}(B_1)$, where τ is an extension operator defined by $\tau f(z_1, \dots, z_n) = f(z_1)$, $(z_1, \dots, z_n) \in B_n$, $f \in H(B_1)$.

It follows from Fubini's theorem that $\|\tau f\|_{p, p, \alpha} = \|f\|_{p, p, \alpha + (n-1)/p}$ (see [8], pp. 127—128). Thus, the extension τ is a linear isometry of $H^{p, p, \alpha + (n-1)/p}(B_1)$ into $H^{p, p, \alpha}(B_n)$. Hence, $\Psi \in (H^{p, p, \alpha + (n-1)/p}(B_1))'$. If $f \in H_E^{p, p, \alpha + (n-1)/p}(B_1)$, then $\Psi(f) = \Phi(\tau f) = \varphi(\tau f) = \psi(\varrho \tau f) = \psi(f)$, since $\tau f \in H_E^{p, p, \alpha}(B_n)$ and Φ is an extension of φ from $H_E^{p, p, \alpha}(B_n)$ to $H^{p, p, \alpha}(B_n)$.

ii) The proof is the same as in i), since g is a bounded transformation from $H_E^p(B_n)$ into $H_E^{p, (n-1)/p}(B_1)$ and τ is a linear isometry of $H^{p, p, (n-1)/p}(B_1)$ into $H^p(B_n)$.

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