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## **HANKEL OPERATORS ON THE BERGMAN SPACES OF STRONGLY** PSEUDOCONVEX DOMAINS<sup>1</sup>

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We characterize functions  $f \in L^2(D)$  such that the Hankel operators  $H_f$ are, respectively, bounded and compact on the Bergman spaces of bounded strongly pseudoconvex domains.

1. INTRODUCTION Let  $D$  be a bounded strongly pseudoconvex domain with smooth boundary in  $\mathbb{C}^n$ ,  $n \geq 2$ . The Bergman space  $H^2(D)$ , consisting of holomorphic  $L^2$  functions, is a closed subspace of the Hilbert space  $L^2(D)$ . The Bergman projection P is the orthogonal projection from  $L^2(D)$  onto  $H^2(D)$  defined by  $Pf(z)=|K(z, w)f(w)dw(w)|$ . Here  $K(z, w)$  is the Bergman kernel of  $D$ , and dv the usual Lebesgue measure. For  $f \in$  $L^2(D)$ , the Hankel operator  $H_f$  from  $H^2(D)$  into  $L^2(D)$  is defined by  $H_f(g)=(I-P)(f\cdot g)$ .  $H_f$ is densely defined on  $H^2(D)$ . In [3], Bekolle, Berger, Coburn and Zhu give necessary and sufficient conditions for the boundedness and compactness of both  $H_f$  and  $H_f$  with  $f \in$  $L^2(\Omega)$  on the bounded symmetric domains  $\Omega$ . In [9], we proved that the conditions in [3] are sufficient for the boundedness and compactness of both  $H_f$  and  $H_{\overline{f}}$  on bounded strongly pseudoconvex domains in  $~\mathbb{C}^n$  . Recently, D. Luecking [12] characterized functions  $f \in L^2(\Delta)$  such that  $H_f$  are, respectively, bounded and compact on the unit disc  $\Delta$  of the complex plane  $C$ . At the end of the paper, Luecking pointed out the difficulties in extending his results to the unit ball and to the so called Fock space in  $\mathbb{C}^n$ . In this paper, we overcome those difficulties by using the integral representations of solutions to the  $\partial$ equations. In fact, we characterize the functions  $f \in L^2(D)$  such that  $H_f$  are, respectively,

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bounded and compact on strongly pseudoconvex domains in  $\mathbb{C}^n$ . Since there is no non trivial holomorphic automorphism for general strongly pseudoconvex domains in  $\mathbb{C}^n$ , our theory is more subtle. To state our results more precisely, we need some definitions and notations.

For  $z \in D$  and  $\xi \in \mathbb{C}^n$ , let  $F_B(z,\xi)$  be the infinitesimal form of the Bergman metric of D. Let  $\beta(z,w)$  be the Bergman distance of two points  $z, w \in D$ . Denote by  $B(z,r)$  the Bergman metric ball  $B(z,r) = \{ w \in D: \beta(z,w) < r \}$ . For any set  $S \subset D$ , let |S| denote the usual Lebesgue measure of S. For  $f \in L^2(D)$  and  $r > 0$ , write

$$
F_{r}(z)^{2} = \inf\{ 1/|B(z,r)| \cdot \int_{B(z,r)} |f-h|^{2} dv : h \in H^{2}(D) \}.
$$

For a (p,q)- form  $H(z) = \sum H_{I,J}(z) dz_I \wedge d\overline{z}_J$  with locally integrable coefficients  $H_{I, J}$  on D, where  $dz_I = dz_{i_1} \wedge dz_{i_2} \wedge \cdots \wedge dz_{i_p}$  and  $dz_J = dz_{j_1} \wedge dz_{j_2} \wedge \cdots \wedge dz_{j_q}$ ,  $I = \sum |H| |I| = \sum |H| |I| |I|$ . Let  $\overline{\partial} H = \sum_{i=1}^{n} \sum \partial H_{i} | I| \partial \overline{z}_{i} d\overline{z}_{i}$ ,  $dz_{i} \wedge dz_{i}$ , where for  $1 \leq i \leq n$ ,  $\partial/\partial z_i = 1/2 \cdot (\partial/\partial x_i - \sqrt{-1} \cdot \partial/\partial y_i)$ ,  $\partial/\partial \overline{z}_i = 1/2 \cdot (\partial/\partial x_i + \sqrt{-1} \cdot \partial/\partial y_i)$ . If  $H_{I,I}$ are not differentiable, the derivatives should be understood in the sense of distributions.

Let  $\rho(z) \in C^{m}(\mathbb{C}^{n})$  be a strictly plurisubharmonic defining function of D such that  $D = \{z \in \mathbb{C}^n : \rho(z) < 0\}$  and  $\nabla \rho(z) \neq 0$  for  $z \in \partial D$ , where  $\nabla \rho$  is the gradient of  $\rho$ .

For  $z \in \partial D$ , the complex tangential space of  $\partial D$  at z is defined by

$$
T_z^{\mathbb{C}} = \{ \xi \in \mathbb{C}^n : \sum_{j=1}^n \partial \rho(z) / \partial z_j \xi_j = 0 \}.
$$

**THEOREM** A Let  $f \in L^2(D)$ . Then the following are equivalent:

(1)  $H_f$  is bounded from  $H^2(D)$  to  $L^2(D)$ .

(2) For each  $r > 0$ ,  $F_r(z)$  is bounded on D.

(3) For some  $r > 0$ ,  $F_r(z)$  is bounded on D.

(4) *f admits a decomposition*  $f = f_1 + f_2$  with  $f_1 \in L^2(D)$ ,  $f_2 \in C^1(D) \cap L^2(D)$  such that

$$
|\rho(z)|^{1/2} |\,\overline{\partial} f_2 \wedge \overline{\partial} \rho| + |\rho(z)| \, |\,\overline{\partial} f_2| \text{ is bounded on } D ,
$$

*and* 

$$
G_r(z) = 1/|B(z,r)| \cdot \int_{B(z,r)} |f_1|^2 dv \text{ is bounded on } D \text{ for some } r > 0.
$$

**THEOREM** B Let  $f \in L^2(D)$ . Then the following are equivalent. (1)  $H_f$  is compact from  $H^2(D)$  into  $L^2(D)$ .

- (2) *For each*  $r > 0$ ,  $F_r(z) \to 0$  *as*  $\rho(z) \to 0$ .
- (3) *For some*  $r > 0$ *,*  $F_r(z) \to 0$  *as*  $\rho(z) \to 0$ .
- (4) *f admits a decomposition*  $f=f_1 + f_2$  with  $f_1 \in L^2(D)$ ,  $f_2 \in C^1(D) \cap L^2(D)$  such that

 $\left[\rho(z)\right]^{1/2}$  $\left|\frac{\partial f_2}{\partial f_2} \wedge \frac{\partial \rho}{\partial r}\right| + \left|\rho(z)\right| \left|\frac{\partial f_2}{\partial f_2}\right| \to 0$  as  $\rho(z) \to 0$ ,

*and*  $G_r(z) \to 0$  as  $\rho(z) \to 0$  for some  $r > 0$ , where  $G_r(z)$  is the same as in Theorem A.

We also obtain  $L^p$ - versions of Theorem A and Theorem B, establish relations between the Bergman metric *BMO (VMO)* and the function spaces in Theorem A (B), and prove the conjecture posed at the end of [3].

In section 2, we shall give some results about the geometry of a bounded strongly pseudoconvex domain with smooth boundary in  $\mathbb{C}^n$ . In section 3, we discuss the Hankel operators  $H_f$  with  $f \in C^1(D)$  by using the integral representations of solutions to the  $\overline{\partial}$ - equations. Section 4 is devoted to dealing with the Bergman space Carleson measures. The main theorems are proved in section 5. In section 6, we establish the relation between the Bergman metric *BMO* and the function space in Theorem A . In section 7, we will discuss the Hankel operators on the Fock space  $H^2(\mathbb{C}^n, d\mu)$ . Throughout this paper, we shall use the letter  $C$  to denote constants, and they may change from line to line.

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I wish to thank my supervisor Professor Lewis A. Coburn for his good advice and encouragement.

**2. Geometry of strongly pseudoconvex domains** In this section, we give some facts about the Bergman metric balls in a bounded strongly pseudoconvex domain  $D$  with smooth boundary in  $\mathbb{C}^n$ . From now on, we will fix a bounded strongly pseudoconvex domain D with smooth boundary and let  $\rho(z) \in C^{\infty}(\mathbb{C}^n)$  be a strictly plurisubharmonic defining function of D. To simplify notations, we shall write  $\rho_i(z) = \frac{\partial \rho(z)}{\partial z_i}$ ,  $\rho_{i}(\zeta) =$  $\frac{\partial^2 \rho(z)}{\partial z_i \partial z_j}$ , where  $1 \leq i, j \leq n$ . Let  $F(z, w)$  denote the Levi polynomial

$$
F(z,w) = \sum_{i=1}^{n} \rho_i(w) (w_i - z_i) - 1/2 \sum_{i,j=1}^{n} \rho_{ij}(w) (w_i - z_i) (w_j - z_j).
$$

It is well known [14] that if D is a bounded strongly pseudoconvex domain with smooth boundary in  $\mathbb{C}^n$ , then there exist constants  $\delta$  and  $C$  such that for  $z, w \in \overline{D}$  with  $|z-w|$  $\leq \delta$ , we have

$$
Re(F(z, w) - \rho(w)) \geq C \cdot (-\rho(z) - \rho(w) + |z-w|^2).
$$

LEMMA 2.1 *Let p and 6 be the same as above. There exist functions* 

 $h_s(z,w)$ ,  $1 \leq i \leq n$ , and  $\Psi(z,w)$  in  $C^{\infty}(D \times D)$  such that

(1) *for each fixed*  $w \in D$ ,  $h<sub>s</sub>(z,w)$  and  $\Psi(z,w)$  are holomorphic in  $z \in D$ ;

(2)  $\Psi(w,w) = -\rho(w)$  and there is a non-vanishing smooth function  $g(z,w)$  in  $\overline{D} \times \overline{D}$  such *that if*  $|z-w| \le \delta/2$ , then  $\Psi(z,w) = g(z,w) \cdot (F(z,w) - \rho(w))$ ; if  $|z-w| \ge \delta/2$ , then  $|\Psi(z, w)| \geq 1/C$ .

 $(3) \Psi(z,w) = \sum_{i=1}^{n} h_i(z,w)(w_i-z_i) - \rho(w)$ .

*Proof.* (1) and (2) are contained in [2, p.363]. An application of Proposition 3.3 in [14, p.285] to  $\Psi(z, w)$  yields (3). QED.

For each  $\delta > 0$ , write  $D_{\delta} = \{ z \in D: |\rho(z)| < \delta \}$ . It is well known [8] that when  $\delta$  is small, if  $z \in D_{\delta}$ , then there is an unique point  $\pi(z) \in \partial D$  such that  $\pi(z)$  is the point on  $\partial D$  closest to z. We will use  $P(z, r_1, r_2)$  to denote the polydisc centered at z with radius  $r_1$  in the complex normal direction N at  $\pi(z)$  and radius  $r_2$  in each complex tangential direction  $T_i$ ,  $2 \leq i \leq n$ , at  $\pi(z)$ , where  $\{T_i:2 \leq i \leq n\}$  form an orthonormal basis of the complex tangential space at  $\pi(z)$ . If  $z \in D \backslash D_{\beta}$ , we will simply let {  $N$ ,  $T_i$ },  $2 \le i \le n$ , be the usual basis of  $\mathbb{C}^n$ , and still call  $N$  the complex normal direction and  $T_i$ ,  $2 \le i \le n$ , the complex tangential directions. For details of the complex normal and complex tangential directions see [6, 8].

**LEMMA 2.2** For each  $r > 0$ , there are positive constants A, B and C only *depending on r such that* 

- (1)  $P(z, A \cdot |\rho(z)|, A \cdot |\rho(z)|^{1/2}) \subset B(z,r) \subset P(z, B \cdot |\rho(z)|, B \cdot |\rho(z)|^{1/2})$ .
- $(2)$   $|\rho(z)|^{n+1}/C \leq |B(z,r)| \leq C \cdot |\rho(z)|^{n+1}$  *for all*  $z \in D$ *.*
- (3) If  $r < 1$ , then there is a constant  $c > 0$  independent of r and  $z \in D$  such that

$$
B(z,r) \in P(z, c \cdot r \cdot |\rho(z)|, c \cdot r \cdot |\rho(z)|^{1/2}).
$$

(4) *There is a constant*  $1 > \epsilon_0 > 0$  *such that if*  $r \leq \epsilon_0$  *and*  $w \in B(z,r)$ , *then*  $|\rho(w)| \geq |\rho(z)|/2$ .

*Proof.* All those results were proved for the Kobayashi metric in [9]. Since the Bergman metric and the Kobayashi metric are equivalent on a bounded strongly pseudoconvex domain with smooth boundary, it follows that the results are true for the Bergman metric.

**LEMMA 2.3** For each  $0 < r < \epsilon_0/6$  and each given integer  $L > 2$ , there

is a sequence  $\{z_i\} \subset D$  and an integer  $M(r) > 0$  such that  $\beta(z_i, z_j) \ge r/(2L)$  if  $i \neq j$ , and  $\{$  $B(z_i, r/L)$ } *form a cover of D. Moreover, for any point*  $z \in D$ , there are at most  $M(r)$  of the *balls*  ${B(z_i, 3r)}$  *containing z.* 

*Proof.* Fix a point  $p \in D$ . Let  $S_i = \{ w \in D : \beta(p, w) = i \cdot r/(2L) \}$ ,  $i = 1$ , 2,  $\cdots$ . We shall construct the sequence by taking finite points from each  $S_i$  as following: For each  $i \geq 1$ , take any one point  $z_{i,1} \in S_i$ . Then pick up a point  $z_{i,2} \in S_i$  with  $\beta(z_{i,1}, z_{i,2}) = r/(2L)$ . After we have taken points  $z_{i,1}, \dots, z_{i,j}$  from  $S_i$ , if there is no point  $w \in S_i$  such that  $\beta(w, z_{i,k}) \ge r/(2L)$  for all  $k = 1, \dots, j$ , then stop this process. Otherwise, take any one point  $z_{i, j+1} \in S_i$  with  $\beta(z_{i, k}, z_{i, j+1}) \ge r/(2L)$  for  $k = 1, 2, \dots$ , j. We claim that this process will stop after finitely many, say  $m_i$ , steps. For otherwise, there will be infinitely many disjoint balls {  $B(z_{i,j}, r/(4L))$ },  $j \ge 1$ , contained in  $D_i =$  $B(p, (i+1)\cdot r/(2L))$ . Note that  $\overline{D}_i$  is a compact subset of D, then there is a constant  $s >$ 0 such that  $|\rho(z)| \geq s$  on  $\overline{D}_i$ . By Lemma 2.2,  $|B(z_{i,j}, r/(4L))| \geq s^{n+1}/C$ , where C is a constant only depending on  $r$  and  $L$ . Thus, we get a contradiction

 $\mathfrak{v} = \Sigma \mid B(z_{i,\rho} | r/(4L)) | \leq |D_i| < \mathfrak{w}$ 

Now we prove that  $B(z_{i,j}, r/L)$ ,  $i \ge 1$ ,  $1 \le j \le m_i$ , form an open cover of D. In fact, for any  $z \in D$ , since  $\beta(z,w)$  is a complete Riemannian metric on D, it follows that there is an integer  $k$  such that  $k \cdot r/(2L) \le \beta(p,z) < (k+1) \cdot r/(2L)$ , and there exists a point  $w \in S_k$  such that  $\beta(z,w) < r/(2L)$ . By the construction of  $\{z_{k,j}\}\,$ , we must have  $f(x, z_k, j) < r/(2L)$  for some  $j \leq m_k$ . An application of the triangle inequality yields that  $\beta(z_{k,j}, z) < r/L$ , i.e.  $z \in B(z_{k,j}, r/L)$ . If we rearrange  $\{z_{i,j}\}, i \ge 1, 1 \le j \le m_i$ , then we get a sequence  $\{z_i\} \in D$  such that  $\beta(z_i, z_j) \ge r/(2L)$  if  $i \ne j$  and  $B(z_i, r/L)$  form a cover of D.

Next, we prove that  $\{z_i\}$  has the last property in the Lemma. For any  $z \in$ D, let J be the index set such that  $j \in J$  implies  $z \in B(z_j, 3r)$ . Then  $\bigcup_{j \in J} B(z_j, 3r) \subset$  $B(z, 6r)$ . Thus U  $B(z<sub>4</sub>, r/(4L)) \in B(z, 6r)$ . Note that  $B(z<sub>4</sub>, r/(4L))$  are disjoint. Then  $j \in J$  *j*  $|B(z_i, r/(4L))| \leq |B(z, 6r)|$ . Since  $r < \epsilon_0/6$ , by Lemma 2.2, we have  $|\rho(z_i)| \geq$ EJ  $|\rho(z)|/2$ , and  $|B(z_j, r/(4L))| \geq |\rho(z_j)|^{n+1}/C_1$ ,  $|B(z, 6r)| \leq C_1 \cdot |\rho(z)|^{n+1}$  for  $j \in J$ , where  $C_1$  is a constant only depending on r and L. Let  $M(r)=[2^{n+1}\cdot C_1^2]+2$ , where  $[2^{n+1} \cdot C_1^2]$  is the biggest integer less than or equal to  $2^{n+1} \cdot C_1^2$ . It is obvious that  $M(r)$ depends only on  $r$  and  $L$ , and there are at most  $M(r)$  integers contained in  $J$ . Therefore,  $\{z_i\}$  is the desired sequence. QED.

**LEMMA 2.4** *For each*  $0 < r < \epsilon_0/6$ , *there exist an integer*  $L > 2$  *and a* 

*constant R > 0 such that* 

 $B(z, r/L) \in P(z, R \cdot |\rho(z)|, R \cdot |\rho(z)|^{1/2}) \subset P(z, 2R|\rho(z)|, 2R|\rho(z)|^{1/2}) \subset E(z, r/2)$ . *Proof.* The result follows easily from Lemma 2.2 (1) and (3). QED

**LEMMA 2.5** *Let r and L be the same as those in Lemma 2.4, and let*  $\{z_i\}$ *be the same as those in Lemma* 2.3 *corresponding to the r and L. Then there is a sequence of real valued smooth functions*  $\{\gamma_i\}$  such that for each  $i \geq 1$ ,  $\gamma_i$  has compact support in  $B(z_i, r/2)$  and  $\gamma_i = 1$  on  $B(z, r/L)$ . Moreover,

$$
|\rho(z)|^{1/2} |\,\partial \gamma_i(z) \wedge \,\partial \rho(z)| + |\rho(z)| \,|\,\partial \gamma_i(z)| \leq C \,.
$$
 (2.1)

*Proof.* Let  $\varphi \in C^{m}(\mathbb{C}^{n})$  be a real valued function which has compact support in the polydisc  $D^{n}(2) = \{ w \in \mathbb{C}^{n}: |w_{i}| < 2, 1 \leq i \leq n \}$ , and  $\varphi(w) = 1$  for w in the unit polydisc  $D^n(1) \in \mathbb{C}^n$ . For each  $j \geq 1$ , define a mapping  $F_j = (F_j^1, \ldots, F_j^n) : \mathbb{C}^n \to \mathbb{C}^n$ by

$$
F_j^1(z) = (z - z_j) \, \frac{1}{N} \, \frac{1}{R} \, (R \cdot |\, \rho(z_j)| \,), \ \ F_j^k(z) = (z - z_j) \, \frac{1}{R} \, \frac{1}{R} \, (R \cdot |\, \rho(z_j)|^{\, 1/2} \,), \ \ 2 \leq k \leq n \, ,
$$

where  $(z-z_j)_N$  and  $(z-z_j)_T_k$  are components of  $(z-z_j)$  in the complex normal direction N and complex tangential directions  $T_k$ ,  $2 \le k \le n$ , at  $\pi(z_i)$ , respectively. By Lemma 2.4, it follows that  $F_j(B(z_j, r/L)) \subset D''(1) \subset D''(2) \subset F_j(B(z_j, r/2))$ . Let  $\gamma_j(z) = \varphi(F_j(z))$ . Then  $\gamma_i$  has compact support in  $B(z_i, r/2)$  and  $\gamma_i = 1$  on  $B(z_i, r/L)$ . To prove that  $\gamma_i$  satisfy (2.1), it suffices to prove (2.1) for  $z \in D_s$ . In this case, by Lemma 2.2 and the triangle inequality, it follows that for  $w \in B(z_j, r)$ ,  $|w - \pi(z_j)| \le$  $C \cdot |\rho(z_{\alpha})|^{1/2}$ . Thus, the coefficients of  $\partial \gamma_{\alpha} \wedge \partial \rho$  are of the forms  $\sum_{n=1}^{\infty} \partial \gamma_{\alpha} \partial w_{\alpha} \cdot \xi_{\alpha} + \partial \gamma_{\alpha} \partial \rho$ *k=l*   $O(|\rho(z)|^{1/2} \cdot |\nabla \gamma_i|)$ , where  $\nabla \gamma_i$  is the gradient of  $\gamma_i$ ,  $\xi = (\xi_1, \dots, \xi_n)$  are vectors in the complex tangential space at  $\pi(z)$  and  $|\xi| \leq C$ . By using the chain rule and the very definitions of  $\gamma_i$  and  $F_i$ , one has  $|\partial \gamma_i(w) \wedge \partial \rho(w)| |\rho(z_i)|^{1/2} + |\partial \gamma_i(w)| |\rho(z_i)| \leq C$ . By Lemma 2.2,  $|\rho(z)| \geq |\rho(w)|/2$  for  $w \in B(z_i, r)$ . It follows that  $\gamma_i$  satisfy (2.1). QED. *Remark:* We have used the fact [8] that  $|\rho(z)|/C \le d(z, \partial D) \le C \cdot |\rho(z)|$  in

the proof of Lemma 2.5, where  $d(z, \partial D)$  is the usual distance from  $z \in D$  to  $\partial D$ .

**LEMMA 2.6** *Let r, L,*  $\{z_i\}$  *and*  $\{\gamma_i\}$  *be the same as in Lemma 2.5. Then*  $\psi_i = \gamma_i/(\Sigma \gamma_i)$  *is a partition of unity subordinate to the cover*  $\{B(z_i, r/2)\}\$ , *and* 

$$
|\partial \psi_i(w) \wedge \partial \rho(w)| \cdot |\rho(w)|^{1/2} + |\rho(w) \partial \psi_i(w)| \leq C. \tag{2.2}
$$

*Proof.* Since  ${B(z_i, r/L)}$  is an open cover of D, it follows that  $\Sigma \gamma_i$  is bounded away from zero. Note that  ${B(z_i, 3r)}$  is locally finite, so is  ${B(z_j, r/2)}$ .

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Then  $\{\gamma_i\} \subset C^{\mathbb{D}}$  implies that  $\{\psi_i\} \subset C^{\mathbb{D}}$ . For any  $z \in D$ , since there are at most  $M(r)$ balls  $B(z_j, r/2)$  containing z and each  $\gamma_j$  satisfies (2.1), it follows that  $\psi_j$ ,  $i \ge 1$ , satisfy  $(2.2)$  by a straightforward calculation. QED.

3. HANKEL OPERATORS WITH  $C^1$  SYMBOLS In this section, we discuss the boundedness and compactness of the Hankel operators  $H_f$  with  $f \in C^1(D)$ .

The main tool used here is the integral representations of solutions to the  $\bar{\partial}$ - equation.

**LEMMA 3.1** *Let D,*  $\rho$  *and F(z,w) be the same as in section 2. There exist constants 6 and c such that for any*  $z \in D$  *with*  $|\rho(z)| \le \delta$ , *in the Euclidean ball B<sub>n</sub>(z, 6)* we can perform a smooth change of variables  $\tau = \tau(w)$  with the properties

(1)  $\tau_1(w) = \rho(w) - \rho(z)$ ,  $\tau_2(w) = Im F(z,w)$ ;

 $(2)$   $|\overline{z}-w|/c \leq |\tau(w)| \leq c \cdot |z-w|$  for  $w \in B_n(z,\delta)$  and  $\tau(z)=0$ ;

(3)  $1/c \le |\partial \tau/\partial w| \le c$  for  $w \in B_n(z,\delta)$ , where  $\partial \tau/\partial w$  denotes the Jacobian of  $\tau$ .

*For any w*  $\in$  *D with*  $|\rho(w)| \leq \delta$ , in the ball  $B_n(w,\delta)$  we can perform a smooth change of *variables*  $\lambda = \lambda(z)$  with  $\lambda_1(z) = \rho(z) - \rho(w)$  and  $\lambda_2 = Im F(z,w)$  such that (2) and (3) *hold for*  $\lambda(z)$ .

> *Proof.* See [1, p. 125] or [14, p.208]. **LEMMA 3.2** [1, 5] *For*  $\epsilon > 0$ *, let*

$$
I_{\alpha,k,s}(\epsilon) = \int_{\substack{\left|t\right| \leq 1\\t_1+\epsilon>0}} \frac{\left(t_1+\epsilon\right)^{\alpha} \, dt_1 \cdots dt_{2n}}{\left(|t_1|+|t_2|+\epsilon+|t|^2\right)^k \cdot |t|^s},\tag{3.1}
$$

*where*  $\alpha$ ,  $k$ ,  $s$  are real, and  $\alpha > -1$ . Then

(a) 
$$
I_{\alpha,k,2n-1} = O(\epsilon^{1-k+\alpha})
$$
 if  $k - \alpha > 1$ ;  
\n(b)  $I_{\alpha,k,2n-4} = O(\epsilon^{3-k+\alpha})$  if  $k - \alpha > 3$ ;  
\n(c)  $I_{\alpha,k,2n-3} = O(\epsilon^{5/2-k+\alpha})$  if  $k - \alpha > 5/2$ .  
\n(d)  $I_{\alpha,k,0} = O(\epsilon^{\alpha-k+n+1})$  if  $k - \alpha > n + 1$ .

Write  $s_n(z, w) = (w_i - z_i)$ . It is obvious that  $|z-w|^2 = \sum s_n(z, w) \cdot (w_i - z_i)$ . Let  $h_i(z, w)$  be the functions given in Lemma 2.1.

We define

$$
\begin{aligned} s(z,w) & = \tfrac{n}{2} \; s_i(z,w) \; dw_i \; ; \\ h(z,w) & = \tfrac{n}{2} \; h_i(z,w) \; dw_i \; ; \end{aligned}
$$

$$
q(z,w) = \overline{\partial}_{w}h(z,w)/\rho(w) - \overline{\partial}_{w}(\rho(w)) \wedge h(z,w)/\rho(w)^{2};
$$
  

$$
L(z,w) = C_{n} \sum_{i=0}^{n-1} a_{k} \cdot [-\rho(w)/\Psi(z,w)]^{k+2} \cdot s(z,w) \wedge q(z,w)^{k} \wedge (\overline{\partial}_{w}s)^{n-k-1}/|z-w|^{2(n-k)},
$$

where  $a_k$  are the constants defined in the equation (20) of [4, p.102] by letting  $N = 2$ , and  $C_n = (-1)^{n(n-1)/2}$ /(n-1)!

**LEMMA 3.3** [4] If 
$$
u
$$
 is a  $\partial$ -closed (0,1) form such that  
\n
$$
|\rho \cdot u| + |\rho|^{1/2} \cdot |u \wedge \overline{\partial} \rho| \in L^{1}(D), \text{ then}
$$
\n
$$
U(z) = T(u)(z) = \int_{D} u(w) \wedge L(z, w) \qquad (3.2)
$$

*is a solution to the equation*  $\partial U = u$  *and*  $U \in L^1(D)$ *.* 

*Remark*: In [4], Berndtsson and Andersson only proved the results for the  $\bar{\partial}$ closed (0,1)-forms with coefficients in  $C^{(1)}(D)$  on bounded strongly convex domains D by letting  $h_i(z,w) = \rho_i(w)$  in the definition of  $L(z,w)$ . As indicated in [4, p.104], an application of the same process as in [4, p.101-103] yields our lemma for the  $\partial$  - closed (0,1)-forms with coefficients in  $C^1(\overline{D})$  on bounded strongly pseudoconvex domains D. Finally, by the same arguments as those given in  $[5, p.455-456]$ , one obtains the results in the Lemma.

**LEMMA 3.4** If  $f \in C^1(D)$  and  $|\partial f \wedge \overline{\partial} \rho| |\rho|^{1/2} + |\rho \cdot \overline{\partial} f| \leq C$ , then, for  $0 < \epsilon < 1$ , it follows that

$$
\sup_{z\in D} |\rho(z)|^{\epsilon} \cdot \int_{D} |\, \overline{\partial} f \wedge L(z,w) | \cdot |\rho(w)|^{-\epsilon} \, dw < \infty , \tag{3.3}
$$

$$
\sup_{w\in D} |\rho(w)|^{\epsilon} \cdot \int_{D} |\partial f \wedge L(z,w)| \cdot |\rho(z)|^{-\epsilon} dz < \infty , \qquad (3.4)
$$

*Proof.* Note that 
$$
|\Psi(z,w)| \geq (|\rho(z)| + |\rho(w)| + |\text{Im } F(z,w)| + |z-w|^2)/C
$$
.

By direct computation, it follows that the coefficient of  $\partial f(w) \wedge L(z,w)$  is dominated by a linear combination of functions of the forms

$$
A_0 = |\rho(w) \cdot \overline{\partial} f(w)| / (|\Psi(z, w)||z - w|^{2n-1}); \qquad (3.5)
$$
  
\n
$$
A_k = (|\rho(w) \overline{\partial} f(w)| + |\overline{\partial} f \wedge \overline{\partial} \rho|) \cdot |\rho(w)| / (|\Psi(z, w)|^{k+2} \cdot |z - w|^{2(n-k)-1}),
$$
  
\nwhere  $1 \le k \le n-1$ . Again, from  $|\Psi(z, w)| \ge (|\rho(z)| + |\rho(w)| + |\text{Im } F(z, w)| + |z - w|^2) / C$  it follows that

 $A_k \leq \, C \cdot \big( \, \big| \, \rho(w) \, \overline{\partial} f(w) \big| \, + \, \big| \, \overline{\partial} \, f \wedge \, \overline{\partial} \rho \big| \, \big) \big| \, \rho(w) \big|^{\, 1 \, / \, 2} / \big( \, \big| \, \Psi(z,w) \big|^{\, 5 \, / \, 2} \cdot \, \big| \, z-w \big|^{\, 2n-3} \big) \,\, ,$ where  $\overrightarrow{1} \leq k \leq n-1$ . By using the estimates in Lemma 3.2 and the coordinate system in

**THEOREM 3.5** Let  $f \in L^2(D) \cap C^1(D)$  satisfy the conditions in Lemma 3.4. Then the Hankel operator  $H_f$  is bounded from  $H^2(D)$  to  $L^2(D)$ .

*Proof.* For  $g \in H^2(D)$ , considering the equation

$$
\overline{\partial} u = \overline{\partial}(f \cdot g) = g \cdot \overline{\partial} f. \tag{*}
$$

It's obvious that  $g.\overline{\partial} f$  is  $\overline{\partial}$  - closed and  $|g.\overline{\partial} f \wedge \overline{\partial} \rho| \cdot |\rho|^{1/2} + |\rho.g.\overline{\partial} f| \in L^2(D)$ . By Lemma 3.3,  $u = T(g \cdot \overline{\partial} f)$  is a solution to (\*). Let  $T_0(g) = T(g \cdot \overline{\partial} f)$ . By Lemma 3.4, an application of Schur's test [7] yields that  $T_0$  is a bounded operator from  $H^2(D)$  to  $L^2(D)$ . Note that for  $g \in H^{\infty}(D)$ ,  $f \cdot g \in L^2(D)$  is a solution to (\*). By the uniqueness of the solution orthogonal to  $H^2(D)$ , we have  $H_f(g) = (I-P)(f \cdot g) = (I-P)T_0(g)$ . Since  $H^{\infty}(D)$  is dense [14] in  $H^2(D)$ , it follows that the boundedness of  $T_0$  implies the boundedness of  $H_f$ . This finishes the proof.  $QED$ .

**THEOREM** 3.6 *For*  $f \in C^{*}(D) \cap L^{2}(D)$ , if  $\partial f \wedge \overline{\partial} \rho | \cdot |\rho|^{1/2} + |\rho| \partial f | \to 0$ as  $|\rho(z)| \rightarrow 0$ , then the Hankel operator  $H<sub>f</sub>$  is compact.

*Proof.* By the same reasoning as in the proof of Theorem 3.5, it suffices to prove the compactness of  $T_0$ . Note that  $T_0$  is an integral operator with the kernel  $\partial f(w)$  $\wedge$  *i(z,w)* . Write  $\Omega_m = \{ z \in D: |\rho(z)| \ge 1/m \}$ ,  $m = 1, 2, \dots$ , then  $\{ \Omega_m \}$  is a sequence of compact subsets of D. Let  $\chi_m$  be the characteristic functions of  $\Omega_m$ . Note that for each *m*,  $|\chi_m \cdot \partial f \wedge L(z,w)| \le C(m)/|z-w|^{2n-1}$ . It follows from the Theorem in [14, p.360] that the operators  $T_m$  with the integral kernels  $\chi_m(w) \cdot \overline{\partial} f(w) \wedge L(z,w)$ ,  $m \ge 1$ , are compact. Note that

$$
T_0(g) - T_m(g) = \int_D (1 - \chi_m) \, \overline{\partial} f(w) \wedge L(z, w) \cdot g \, .
$$

Since  $|\partial f \wedge \overline{\partial} \rho| \cdot |\rho|^{1/2} + |\rho \cdot \overline{\partial} f| \to 0$  as  $|\rho(z)| \to 0$ , it follows that  $\forall \epsilon > 0$ , there is an integer M such that when  $m \geq M$ ,  $(1-\chi_m)(|\partial f \wedge \overline{\partial} \rho| \cdot |\rho|^{1/2} + |\rho \cdot \overline{\partial} f|) < \epsilon$ . By the proof of Lemma 3.4, there is a constant  $C$  such that for  $0 < \alpha < 1$ ,

$$
\int_{D} \left| (1 - \chi_m) \partial f(w) \wedge L(z, w) \right| \cdot |\rho(w)|^{-\alpha} dw \leq C \cdot \epsilon \cdot |\rho(z)|^{-\alpha}, \tag{3.6}
$$

$$
\int_{D} \left| (1 - \chi_{m}) \partial f(w) \wedge L(z, w) \right| \cdot \left| \rho(z) \right|^{-\alpha} dz \leq C \cdot \epsilon \cdot \left| \rho(w) \right|^{-\alpha} . \tag{3.7}
$$

Again by using Schur's test [7], we have the operator norm  $||T_0 - T_m||_2 \leq C \cdot \epsilon$ . It follows that  $T_m \rightarrow T_0$ . Thus, the compactness of  $T_m$  implies the compactness of  $T_0$ . Consequently,  $H_f = (I-P) T_0$  is compact. QED.

Note that [13] the Bergman projection is bounded from  $L^p(D)$  to  $H^p(D)$ consisting of holomorphic  $L^p$ -functions in D,  $1 < p < \infty$ . By the estimates in Lemma 3.4, an application of the  $L^p$ - version of Schur's test (see [13, Lemma 5]) yields the following theorem.

**THEOREM 3.7** Let  $f \in C^1(D) \cap L^p(D)$ . If f satisfies the conditions in *Theorem* 3.5, *then*  $H_f$  is bounded from  $H^p(D)$  to  $L^p(D)$ ; If f satisfies the conditions in *Theorem* 3.6, *then*  $H_f$  *is compact from*  $H^p(D)$  *to*  $L^p(D)$ .

*Remark:* By using the integral representations of solutions to the  $\partial$  equations constructed by Dautov and Henkin in [5], one can also obtain the results above.

For the Schatten class Hankel operators, we have the following result.

**THEOREM 3.8** [10] *For*  $f \in L^2(D) \cap C^1(D)$  *and*  $p > 2n$ , *if both*  $|\partial f \wedge f|$  $\partial \rho | \cdot |\rho|^{1/2-(n+1)/p}$  and  $|\partial f| \cdot |\rho|^{1-(n+1)/p}$  are in  $L^p(D)$ , then the Hankel operator  $H_f$  is in the Schatten class  $S_n$ .

*Remark:* If  $\overline{f} \in H^2(D)$ , then the conditions in Theorem 3.5, Theorem 3.6 and Theorem 3.8 are also necessary, and they are, respectively, equivalent to that  $\bar{f}$  is in the Bloch space, the little Bloch space and the Besov space. For details see [9] and [10].

## **4. CARLESON MEASURES FOR THE BERGMAN SPACES HP(D)**

**DEFINITION:** For  $1 < p < \infty$ , we call a positive measure  $\mu$  on D an  $H^p$ -*Carleson measure* if

$$
\left(\int_{D} |f|^{p} d\mu\right)^{1/p} \leq C \cdot \left(\int_{D} |f|^{p} d\nu\right)^{1/p}.
$$
  
LEMMA 4.1 Let  $f \in L^{p}(D)$  and  $r > 0$ . If  $G_{rp}(z) = |B(z,r)|^{-1} \int_{B(z,r)} |f|^{p} d\nu$ 

*is bounded on D, then the multiplication operator*  $M_f(g) = f \cdot g$  *is bounded from H*<sup>p</sup> to  $L^p$ , and the operator norm  $||M_f||_p \leq C \cdot ||G_{mn}||_p^{1/p}$ .

*Proof.* By Lemma 2.2, it is easy to check that  $G_{\mathbf{m}}$  is bounded on D implies that  $G_{sp}$  is bounded on D for all  $s < r$ . Without lose of generality, assume  $r < \epsilon_0/3$ .

Following D.Luecking [11], associate with each point  $z \in D$  an open set  $E(z) = B(z,r/3)$ . Let  $E^2(z) = \bigcup \{ E(y) : E(y) \cap E(z) \neq \emptyset \}$ . Then  $E^2(z) = B(z,r)$ . By Lemma 2.2, it follows that  $|E^2(z)| \leq C \cdot |E(z)|$ , and for  $g \in H^p(D)$ ,

$$
|g(z)|^p \leq C \cdot |E(z)|^{-1} \cdot \int_{E(z)} |g|^p dv,
$$

the last inequality holds because each  $E(z) = B(z, r/3)$  contains a polydisc centered at z and the volume of the polydisc is comparable with  $|E(z)|$ .

Let  $d\mu = |f|^{p} dv$ . By the assumption,  $\mu(E^{2}(z)) \leq ||G_{r\eta}||_{\infty} \cdot |E^{2}(z)|$ . An application of Luecking's criterion [11, Lemma 1] yields that  $\mu$  is an  $H^{\overline{p}}-$  Carleson measure. Thus,  $M_f$  is bounded from  $H^p$  into  $L^p$ . From the proof of Lemma 1 in [11] we have  $\left\|M_f\right\|_p \leq C \cdot \left\|G_{rp}\right\|_p^{1/p}$ . QED.

**LEMMA 4.2** Let  $f \in L^p(D)$ . If  $G_{rp}(z) \rightarrow 0$  as  $\rho(z) \rightarrow 0$ , then the *multiplication operator*  $M_f$  *is compact from*  $H^p(D)$  *into*  $L^p(D)$ *.* 

*Proof.* Let  $K_{nn} = \{ z \in D : |\rho(z)| \geq 1/m \}$ ,  $m \geq 1$ . Then  $K_{nn}$  are compact subsets of D. Let  $\chi_{m}$  be the characteristic function of  $K_{m}$ . It is easy to check that  $M_{\chi_m}$  *f* are compact operators from  $H^p$  to  $L^p$  because each  $\chi_m$  *f* has compact support in D. Note that  $M_f - M_{\chi_{m}f} = M_{(1-\chi_{m})\cdot f}$ , and  $G_{rp}(z) \to 0$  as  $\rho(z) \to 0$  implies that  $\sup \left\{ |B(z,r)|^{-1} \right\} = |(1-\chi_m) \cdot f|^{P} dv \right\} \rightarrow 0$  as  $m \rightarrow \infty$ *zED J B(z,r)*  By Lemma 4.1, we have  $||M_f - M_r||_f ||_p \to 0$  as  $m \to \infty$ . Therefore,  $M_f$  is compact. QED.

#### 5. MAIN THEOREMS In this section, we prove the main theorems.

### **THEOREM A** *Let*  $f \in L^2(D)$ *. Then the following are equivalent:*

- (1)  $H_f$  is bounded from  $H^2(D)$  to  $L^2(D)$ .
- (2) For each  $r > 0$ ,  $F_r(z)$  is bounded on D.
- (3) For some  $r > 0$ ,  $F_r(z)$  is bounded on D.
- (4) f admits a decomposition  $f = f_1 + f_2$  with  $f_1 \in L^2$  and  $f_2 \in C^{(1)}(D) \cap L^2$  such that

$$
|\rho(z)|^{1/2} |\,\overline{\partial} f_2 \wedge \overline{\partial} \rho| + |\rho(z)| \,|\,\overline{\partial} f_2| \text{ is bounded on } D
$$

*and* 

$$
G_r(z) = 1/|B(z,r)| \cdot \int_{B(z,r)} |f_1|^2 dv \text{ is bounded for some } r > 0
$$

*Proof.* (1)=>(2). For each  $\lambda \in D$ , let  $S_{\lambda}(z) = |\rho(\lambda)|^{(n+1)/2} / \Psi(z, \lambda)^{n+1}$ . By Lemma 2.1, it follows that both  $S_\lambda(z)$  and  $1/S_\lambda(z)$  are in  $H^\infty(D)$ . By using Lemma 2.1, Lemma 3.1 and Lemma 3.2, one can easily check that  $||S_{\lambda}||_2 \leq C$ , where C is a constant independent of  $\lambda \in D$ . (See also Lemma 7.3 in [14, p.310]). If  $H_f$  is bounded, then

 $||H_f(s_\lambda)||_2 = ||f \cdot s_\lambda - P(f \cdot s_\lambda)||_2 = ||(f - s_\lambda \cdot P(f \cdot s_\lambda)) \cdot s_\lambda||_2 \leq C \cdot ||H_f||_2$ . For each  $r > 0$ , by using the estimates in Lemma 2.1 and Lemma 2.2, one can easily check that for  $z \in B(\lambda,r)$ ,  $|S_{\lambda}(z)|^2 \ge C/|\rho(\lambda)|^{n+1}$  (For details see [9]). Again by Lemma 2.2, we have  $|S_{\lambda}(z)|^2 \ge C/|B(\lambda,r)|$  for  $z \in B(\lambda, r)$ . Thus,

$$
\left\{ \left| B(\lambda,r) \right|^{-1} \cdot \int_{B(\lambda,r)} \left| f - S_{\lambda}^{-1} \cdot P(f \cdot S_{\lambda}) \right|^2 dv \right\}^{1/2}
$$

 $\leq C_1 \cdot ||(f - S_\lambda^{-1} \cdot P(f \cdot S_\lambda)) \cdot S_\lambda||_2 \leq C_2 \cdot ||H_f||_2$ . Obviously,  $S_\lambda^{-1} \cdot P(S_\lambda \cdot f) \in H^2(D)$ . It follow that  $F_r(\lambda)$  is bounded on D.  $(2)$  =>  $(3)$  is trivial.

Now we assume (3). By Lemma 2.2 (2), one can easily check that  $F_n(z)$  is bounded in D implies that  $F_s(z)$  is bounded in D for all  $s < r$ . Without lose of generality, we can let  $r < \epsilon_0/6$ , where  $\epsilon_0$  is the same constant as in Lemma 2.2(4). Let L,  $\{z_i\}$  and  $\{\psi_i\}$  be the same as those in Lemma 2.5 and Lemma 2.6. By (3), for each j  $\geq 1$ , there is a function  $h_i \in H^2(D)$  such that

$$
|B(z_j, r)|^{-1} \cdot \int_{B(z_j, r)} |f - h_j|^2 dv \leq 4 F_r(z_j)^2.
$$

We define  $f_2 = \sum h_i \psi_i$  and  $f_1 = f - f_2$ . To verify that  $f_1$  and  $f_2$  satisfy (4), we use the arguments given by Luecking [12]. First of all, we show that if  $z \in B(z_i, r/2) \cap B(z_k, r/2)$ , then  $|h_i(z) - h_k(z)|$  is bounded. As we did in the proof of Lemma 4.1,

$$
|h_j(z) - h_k(z)| |B(z, r/2)|^{1/2} \le (C \cdot \int_{B(z, r/2)} |h_j - h_k|^2 dv)^{1/2}
$$
  

$$
\le (C \cdot \int_{B(z_j, r)} |h_j - f|^2 dv)^{1/2} + (C \cdot \int_{B(z_k, r)} |h_k - f|^2 dv)^{1/2}
$$
  

$$
\le C \cdot [F_r(z_j) \cdot |B(z_j, r)|^{1/2} + F_r(z_k) \cdot |B(z_k, r)|^{1/2}].
$$

Since  $z_i$  $|B(z_k, r)|$ *, z<sub>k</sub>*  $\subset B(z,r/2)$ , by Lemma 2.2 we have  $|B(z_i, r)| \leq C \cdot |B(z,r/2)|$  and  $\leq C \cdot |B(z,r/2)|$ . Thus,

$$
|h_j(z)-h_k(z)| \leq C \cdot \sup_{w \in B(z,2r)} \{ F_r(w) \}.
$$
 (5.1)

Now we estimate  $f_1$ . To simplify notations, we write  $B(z) = B(z,r)$ . Note

that if  $B(z)\cap B(z_j, r/2) \neq \emptyset$ , then  $z \in B(z_j, 3r)$ . By our construction of  $\{z_i\}$ , one can easily see that for each  $z \in D$ , there are at most  $M(r)$  balls  $B(z_j, r/2)$  intersect  $B(z, r)$ . Thus,

$$
\left(\int_{B(z)} |f_1|^2 \, dv\right)^{1/2} = \left(\int_{B(z)} |\Sigma (f - h_j) \cdot \psi_j|^2 \, dv\right)^{1/2}
$$
  

$$
\leq \Sigma \left(\int_{B(z)} |f - h_j|^2 \cdot |\psi_j|^2 \, dv\right)^{1/2} \leq \Sigma \left(\int_{B(z_j, r/2) \cap B(z)} |f - h_j|^2 \, dv\right)^{1/2}
$$

$$
\leq M(r) \cdot \sup_{w \in B(z,2r)} \{ F_r(w) \} \cdot |B(z,3r)|^{1/2}.
$$

 $weB(z,2r)$ <br>The last inequality is because  $B(z_1, r/2) \in B(z_2) \in B(z,3r)$  and  $\beta(z, z_2) < 2r$  if  $B(z_1, r/2)$ *n*  $B(z) \neq \phi$ , and there are at most  $M(r)$  of the  $B(z, r/2)$  intersect  $B(z)$ . Since  $|B(z, 3r)|$  $\leq C \cdot |B(z,r)|$ , it follows that

$$
(|B(z,r)|^{-1} \cdot \int_{B(z)} |f_1|^2 dv)^{1/2} \leq C \cdot \sup_{w \in B(z,2r)} \{ F_r(w) \} . \tag{5.2}
$$

Thus,  $|G_r(z)| \leq C \cdot ||F_r||_{\infty}^2$ . Note that  $\{B(z_j, r)\}\$ is an open cover of D and  $\Sigma \chi_j \leq M(r)$ , where  $\chi_j$  are characteristic functions of  $B(z_j, r)$ . Then

$$
\int_{D} |f_{1}|^{2} dv \leq \sum_{j=1}^{\infty} \int_{B(z_{j}, r)} |f_{1}|^{2} dv \leq \sum_{j=1}^{\infty} G_{r}(z_{j}) \cdot |B(z_{j}, r)|
$$

$$
\leq C \cdot ||F_{r}||_{\infty}^{2} \cdot \sum_{j=1}^{\infty} |B(z_{j}, r)| \leq C \cdot ||F_{r}||_{\infty}^{2} \cdot M(r) \cdot |D| < \infty
$$

Consequently, we have  $f_1 \in L^2(D)$ .

Now we estimate  $f_2$ . Fix a point  $z \in D$  and let J be the set of integers j such that  $z \in B(z_j, r/2)$ . Then  $\overline{f_2(z)} = \sum_{j \in J} h_j(z) \cdot \psi_j(z)$ . Let us suppose for convenience that  $1 \in J$  and write

$$
f_2(z) = h_1(z) + \sum_{j \in J} (h_j - h_1) \cdot \psi_j.
$$

Note that  $\{h_j\}$  are holomorphic functions in D, then

$$
|\partial f_2(z) \wedge \overline{\partial} \rho| |\rho|^{1/2} + |\rho \cdot \overline{\partial} f_2| = |\sum_{j \in J} (h_j - h_1) \cdot \overline{\partial} \psi_j \wedge \overline{\partial} \rho| |\rho|^{1/2} + |\rho \cdot \sum_{j \in J} (h_j - h_1) \cdot \overline{\partial} \psi_j|
$$
  
\$\leq C \cdot M(r) \cdot \sup\_{w \in B(z, 2r)} {F\_r(w)} ,

because *J* contains at most  $M(r)$  integers,  $|h_1-h_j| \leq C \cdot \sup_{w \in B(z,2r)} \{F_r(w)\}$  from (5.1) and  $\psi_j$  satisfy the estimates in Lemma 2.6. Since  $f_2 = f - f_1$  and  $f, f_1 \in L^2(D)$ , it

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follows that  $f_2 \in L^2(D)$ . This finishes the proof of  $(3)=>(4)$ . Assume (4). By Theorem 3.5,  $H_{f_2}$  is bounded. By Lemma 4.1,  $M_{f_1}(g) =$ 

 $f_1 \cdot g$  is bounded from  $H^2(D)$  into  $L^2(D)$ . Therefore,  $H_{f_1} = (I-P)M_{f_1}$  is bounded. Note that  $H_f = H_f + H_f$ , then  $H_f$  is bounded. QED.

**THEOREM** B Let  $f \in L^2(D)$ . Then the following are equivalent:

- (1)  $H_f$  is compact from  $H^2(D)$  into  $L^2(D)$ .
- (2) For each  $r > 0$ ,  $F_r(z) \to 0$  as  $\rho(z) \to 0$ .
- (3) For some  $r > 0$ ,  $F_r(z) \to 0$  as  $\rho(z) \to 0$ .
- (4) *f admits a decomposition*  $f = f_1 + f_2$  with  $f_1 \in L^2$  and  $f_2 \in C^1(D) \cap L^2(D)$  such that  $|\rho(z)|^{1/2} |\bar{\partial} f_2 \wedge \bar{\partial} \rho| + |\rho(z)| |\bar{\partial} f_2| \to 0 \text{ as } \rho(z) \to 0$ ,

and  $G_r(z) \to 0$  as  $\rho(z) \to 0$  for some  $r > 0$ , where  $G_r(z)$  is the same as in Theorem A. *Proof.* Let the notations be the same as those in the proof of Theorem A.

Assume (1). Note that  $S_{\lambda}(z) \rightarrow 0$  at every point  $z \in D$  as  $|\rho(\lambda)| \rightarrow 0$  and  $||S_{\lambda}|| \leq C$ . By a standard argument it follows that  $S_{\lambda} \to 0$  weakly in  $H^2(D)$  as  $|\rho(\lambda)| \to$ 0. Thus,  $H_f$  is compact implies that

 $\|H_f(S_\lambda)\|_2 \to 0$  as  $|\rho(\lambda)| \to 0$ .

By the estimates in the proof of Theorem A, we have  $F_n(z) \to 0$  as  $\rho(z) \to 0$ .

 $(2) = > (3)$  is trivial.

Assume (3). As we did before, without lose of generality, we can assume  $r <$  $\epsilon_0/6$ . Note that (by Lemma 2.2) sup  $\{ |\rho(w)| \} \rightarrow 0$  as  $\rho(z) \rightarrow 0$ . From the  $w \in B(z, 2r)$ estimates given in the proof of Theorem A, it follows that  $f_1$  and  $f_2$  satisfy the conditions in (4).

Finally, we prove (4)=>(1). By Theorem 3.6,  $H_{f_2}$  is compact. By lemma 4.2,  $M_{f_1}$  is compact, and then  $H_{f_1} = (I-P)M_{f_1}$  is compact. Therefore,  $H_f$  is compact. QED. For  $1 < p < \infty$ , we write

$$
F_{r,p}(z) = inf \{ |B(z,r)|^{-1} \cdot \int_{B(z,r)} |f-h|^p \, dv : h \in H^p(D) \}.
$$

**THEOREM C.** Let  $f \in L^p(D)$ . Then the following are equivalent:

- (1)  $H_f$  is bounded from  $H^p(D)$  to  $L^p(D)$ .
- (2) For each  $r > 0$ ,  $F_{r,n}(z)$  is bounded on D.
- (3) For some  $r > 0$ ,  $F_{r,n}(z)$  is bounded on D.

# (4) *f admits a decomposition*  $f = f_1 + f_2$  with  $f_1 \in L^p(D)$  and  $f_2 \in C^1(D) \cap L^p$  such that  $|\rho(z)|^{1/2} |\bar{\partial} f_2 \wedge \bar{\partial} \rho | + |\rho(z)| |\bar{\partial} f_2|$  is bounded on D,

*and* 

$$
G_{r,p}(z) = 1/|B(z,r)| \cdot \int_{B(z,r)} |f_1|^p dv \text{ is bounded for some } r > 0.
$$
  
\n*Proof.* (1) => (2). For each  $\lambda \in D$ , let  $S_{\lambda,p}(z) =$   
\n $|\rho(\lambda)|^{(1-1/p) \cdot (n+1)}/\Psi(z,\lambda)^{n+1}$ . By Lemma 2.1, both  $S_{\lambda,p}(z)$  and  $S_{\lambda,p}^{-1}(z)$  are in  $H$   
\n $\varphi(D)$ . By the same arguments as those in the proof of Theorem A, it follows that  $||S_{\lambda,p}||_p$   
\n $\leq C$  and  $|S_{\lambda,p}(z)|^p \geq 1/(C \cdot |B(\lambda,r)|)$  for  $z \in B(\lambda,r)$ , where C is a constant  
\nindependent of  $z, \lambda \in D$ . Thus

$$
\left\{\left\|B(\lambda,r)\right\|^{-1}\cdot\int\limits_{B(\lambda,r)}\left|f-\mathcal{S}_{\lambda,p}^{-1}\cdot P(f\cdot\mathcal{S}_{\lambda,p})\right|^{p}dv\right\}^{1/p}\leq C\cdot\left\|H_{f}(\mathcal{S}_{\lambda,p})\right\|_{p}\leq C\cdot\left\|H_{f}\right\|_{p}.
$$

It is known [13] that the Bergman projection is bounded from  $L^p(D)$  to  $H^p(D)$ . Thus,  $S_{\lambda, p}^{-1} \cdot P(f \cdot S_{\lambda, p}) \in H^p(D)$ . Therefore,  $F_{r, p}$  is bounded.  $(2) = > (3)$  is trivial.

Assume (3). The arguments in the proof of  $(3)=>(4)$  in Theorem A can be carried over word by word, except that  $L^p$  integrals appear everywhere in place of  $L^2$ integrals, to give assertion (4).

Finally, assume (4). By Theorem 3.7,  $H_{f_2}$  is bounded from  $H^p(D)$  to  $L^p(D)$ .

By Lemma 4.1, one can easily show that  $M_{f_1}$  is bounded from  $H^p(D)$  into  $L^p(D)$  and so is  $H_{f_1} = (I-P)M_{f_1}$ . This finishes the proof of the theorem. QED. Similarly, we have

## **THEOREM D** *Let*  $f \in L^p(D)$ . Then the following are equivalent:

- (1)  $H_f$  is compact from  $H^p(D)$  to  $L^p(D)$ .
- (2) For each  $r > 0$ ,  $F_{r,n}(z) \to 0$  as  $\rho(z) \to 0$ .
- (3) For some  $r > 0$ ,  $F_{r,n}(z) \to 0$  as  $\rho(z) \to 0$ .
- (4) *f admits a decomposition*  $f = f_1 + f_2$  with  $f_1 \in L^p(D)$  and  $f_2 \in C^1(D) \cap L^p$  such that  $|\rho(z)|^{1/2}|\partial f_2 \wedge \partial \rho| + |\rho(z)| |\partial f_2| \to 0 \text{ as } \rho(z) \to 0$ ,

and  $G_{r,p}(z) \rightarrow 0$  as  $\rho(z) \rightarrow 0$  for some  $r > 0$ .

# 6. THE BERGMAN METRIC BMO AND VMO For  $f \in L^2(D)$  and  $r > 0$ ,

write

$$
MO_{r}(f,z) = |B(z,r)|^{-1} \int_{B(z,r)} |f - |B(z,r)|^{-1} \int_{B(z,r)} f \, dv \, |^{2} \, dv.
$$

**DEFINITION 6.1.** We say that  $f \in BMO(D)$  provided that  $MO_r(f,z)$  is bounded on D for some  $r > 0$ ; we say that  $f \in VMO(D)$  provided that  $MO_r(f,z) \to 0$  as  $z \rightarrow \partial D$  for some  $r > 0$ . It was proved in [9] that the definitions of *BMO* and *VMO* don't rely on the choice of r.

Following D.Luecking, if  $f$  satisfies the condition in Theorem A  $(3)$ , then we say that  $f \in BDA$ ; if f satisfies the condition in Theorem B (3), then we say that  $f \in BDA$ ; *VDA .* 

**THEOREM 6.1.** 
$$
BMO(D) = BDA(D) \cap \overline{BDA(D)}
$$
;  $VMO(D) = VDA(D) \cap \overline{VDA(D)}$ .

*Proof.* It is obvious that  $BMO(D) \subset BDA(D) \cap \overline{BDA(D)}$ ; VMO(D) c

 $VDA(D)\cap VDA(D)$ . To prove other inclusions, it suffices to prove that if a real function f  $E$  *E BDA* (*VDA*), then  $f \in BMO$  (*VMO*). By Lemma 2.2, there are constants c, s,  $r > 0$ such that

$$
B(z,s) \in P(z, c \cdot |\rho(z)|, c \cdot |\rho(z)|^{1/2}) \in B(z,r).
$$
 (6.1)

To simplify notations, we shall write  $P(z) = P(z, c \cdot |\rho(z)|, c \cdot |\rho(z)|^{1/2})$ . By the definition of  $F_n(z)$ , for each  $\lambda \in D$ , there is a  $h \in H^2(D)$  such that

$$
|B(\lambda,r)|^{-1}\int_{B(\lambda,r)}|f-h|^2 dv \leq 2 \cdot F_r(\lambda)^2.
$$

By Lemma 2.2 and (6.1), one has

$$
|P(\lambda)|^{-1}\int_{P(\lambda)}|f-h|^2 dv \leq C \cdot F_r(\lambda)^2.
$$
 (6.2)

Note that  $P(\lambda)$  is a polydisc centered at  $\lambda$  and  $h \in H^2(D)$ , it follows that

$$
|P(\lambda)|^{-1}\int_{P(\lambda)}|h-h(\lambda)|^2 dv \leq |P(\lambda)|^{-1}\int_{P(\lambda)}|Im \;h|^2 dv,
$$

where  $Im h$  is the imaginary part of  $h$ . Thus, for real valued  $f$ , we have

$$
|P(\lambda)|^{-1}\int_{P(\lambda)}|h-h(\lambda)|^2 dv \leq |P(\lambda)|^{-1}\int_{P(\lambda)}|Im(h-f)|^2 dv \leq C \cdot F_r(\lambda)^2. \tag{6.3}
$$
  
By Lemma 2.2, (6.1), (6.2) and (6.3), it follows that

$$
\left[\left|B(\lambda,s)\right|^{-1}\right]_{B(\lambda,s)}\left|f-h(\lambda)\right|^2\ dv\right]^{1/2} \leq C\cdot\left[\left|P(\lambda)\right|^{-1}\right]_{P(\lambda)}\left|f-h(\lambda)\right|^2\ dv\right]^{1/2}
$$

$$
\leq C \cdot \left[ |P(\lambda)|^{-1} \right]_{P(\lambda)} |h - h(\lambda)|^2 dv \right]^{1/2} + C \cdot \left[ |P(\lambda)|^{-1} \right]_{P(\lambda)} |f - h|^2 dv \right]^{1/2}
$$

 $\leq C \cdot F_n(\lambda)$ .

By a standard argument, we have  $MO_{\mathfrak{g}}(f, \lambda) \leq C \cdot F_{r}(\lambda)^{2}$ . Consequently,  $f \in BDA$  (*VDA*) implies that  $f \in BMO$  (*VMO*). This finishes the proof of the theorem. QED.

**COROLLARY** For  $f \in L^{\infty}(D)$ ,  $H_f$  and  $H_{\lceil T \rceil}$  are bounded if and only if  $f \in L^{\infty}(D)$ *BMO*;  $H_f$  and  $H_{\overline{f}}$  are compact if and only if  $f \in VMO$ .

*Remark*: Note that [3]  $H_f$  and  $H_f$  are bounded (compact) if and only if the commutator *[Mr, P]* is bounded (compact) on *L2(D).* The Corollary gives us function-theoretic characterizations of the boundedness and compactness of  $[M_f, P]$ .

7. FURTHER DISCUSSION Let  $P$  be the orthogonal projection from  $L^2(\mathbb{C}^n, d\mu)$  to the Fock space  $H^2(\mathbb{C}^n, d\mu)$  with  $d\mu = (2\pi)^{-n} \exp(-|z|^2/2)$  dv and dv the usual Lebesgue measure on  $C^n$ . For  $f \in L^2(\mathbb{C}^n, d\mu)$ , consider the Hankel operator  $H_f(g)$  =  $(I-P)(f\cdot g)$  . If  $f \in C^{\mathsf{L}}(\mathbb{C}^{\prime\prime})$  and  $|\partial f| \in L^{\mathsf{w}}(\mathbb{C}^{\prime\prime})$ , for any polynomial g, consider the  $\overline{\partial}$ equation

$$
\overline{\partial} u = \overline{\partial}(f \cdot g) = g \cdot \overline{\partial} f. \qquad (*)
$$

By Proposition 10 in [4],

$$
u(z) = T(g \cdot \overline{\partial} f) = C_n \cdot \int_{\mathbb{C}^n} e^{(z \cdot \overline{w} - |w|^2)/2} \cdot g \cdot \overline{\partial} f \wedge \sum_{k < n} 2^{-k} \frac{\partial |z \cdot w|^2 \wedge (\overline{\partial} \partial |w|^2)^{n-1}}{k! \cdot |z - w|^2 n^{-2k}}
$$

is a solution to (\*\*) and  $u(z)$  is orthogonal to  $H^2(\mathbb{C}^n, d\mu)$ . Let  $T_0(g) = T(g \cdot \overline{\partial} f)$ . Then  $H_f(g) = u = T(\overline{\partial} f \cdot g) = T_0(g).$ 

Let 
$$
Q(z, w)
$$
 denote the integral is  $T_0$ . By direct computation it follows that

$$
|Q(z,w)| \leq C \cdot e^{(Rez \cdot \overline{w} - |w|^2)/2} \cdot (|z-w|^{1-2n} + |z-w|^{-1}).
$$

Thus

$$
e^{-|z|^2/4} \cdot |Q(z,w)| \cdot e^{|w|^2/4} \leq C \cdot e^{-|z-w|^2/4} (|z-w|^{1-2n} + |z-w|^{-1}). \tag{7.1}
$$

Denote the right side of (7.1) by  $Q_0(z,w)$ . Note that  $e^{-|z|^2/4}(|z|^{1-2n}+|z|^{-1})$  is in  $L^1(\mathfrak{C}^n, dv)$ . It follows that the integral operator with kernel  $Q_0$  is a bounded convolution operator on  $L^2(\mathbb{C}^n, dv)$ . Thus  $T_0 = H_f$  is bounded from  $H^2(\mathbb{C}^n, d\mu)$  to  $L^2(\mathbb{C}^n, d\mu)$ . Similarly, we can prove that if  $\partial f \to 0$  as  $|z| \to \infty$ , then the Hankel operator  $H_f$  is compact.

Finally, we note that our methods and results can be extended to the weighted Bergman spaces  $H^p(D, |\rho|^{\alpha})$ ,  $\alpha > -1$ , without essential difficulties on bounded strongly pseudoconvex domains D with smooth boundary.

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