

## Prime Ideals in the $C^*$ -algebra of a Nilpotent Group

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**Abstract.** We say that a locally compact group  $G$  has  $T_1$  primitive ideal space if the group  $C^*$ -algebra,  $C^*(G)$ , has the property that every primitive ideal (i.e. kernel of an irreducible representation) is closed in the hull-kernel topology on the space of primitive ideals of  $C^*(G)$ , denoted by  $\text{Prim } G$ . This means of course that every primitive ideal in  $C^*(G)$  is maximal. Long ago DIXMIER proved that every connected nilpotent Lie group has  $T_1$  primitive ideal space. More recently POGUNTKE showed that discrete nilpotent groups have  $T_1$  primitive ideal space and a few month ago CAREY and MORAN proved the same property for second countable locally compact groups having a compactly generated open normal subgroup. In this note we combine the methods used in [3] with some ideas in [9] and show that for nilpotent locally compact groups  $G$ , having a compactly generated open normal subgroup, closed prime ideals in  $C^*(G)$  are always maximal which implies of course that  $\text{Prim } G$  is  $T_1$ .

### Introduction

We note first the following structure theorem for compactly generated nilpotent locally compact groups ([5]). Let  $G$  be a locally compact nilpotent compactly generated group. In  $G$  there exists a characteristic maximal compact subgroup  $K$  consisting of all elements whose powers form a relatively compact set. Furthermore the quotient group  $N/K$  is a Lie group, i.e., the connected component  $(N/K)_0$  of  $(N/K)$  is open in  $N/K$  and  $(N/K)_0$  is a connected nilpotent Lie group.

We need some

*Definitions:* Let  $H$  be a locally compact group, let  $W$  be a closed normal subgroup of  $H$ . Let  $I$  be a twosided closed  $H$ -invariant ideal in  $C^*(W)$ . We say that  $I$  is  $H$ -maximal if  $I$  is maximal among the set of all  $H$ -invariant closed twosided ideals in  $C^*(W)$ . A closed twosided  $H$ -invariant ideal  $I$  in  $C^*(W)$  is said to be  $H$ -prime if for any two  $H$ -invariant twosided ideals  $I_1$  and  $I_2$  in  $C^*(H)$  with  $I_1 \cdot I_2 \subset I$  either  $I_1 \subset I$  or  $I_2 \subset I$ . A closed  $H$ -invariant subset  $A$  in  $\text{Prim } W$  is said to be  $H$ -irreducible if for any two closed  $H$ -invariant subsets  $A_1, A_2 \subset \text{Prim } W$  with  $A \subset A_1 \cup A_2$  we have either  $A \subset A_1$  or  $A \subset A_2$ .

Of course an ideal in  $C^*(W)$  is  $H$ -prime if and only if its hull  $h(I) = \{J \in \text{Prim } W \mid J \supset I\}$  is  $H$ -irreducible. If  $A \subset \text{Prim } W$  is  $H$ -irreducible then necessarily any open  $H$ -invariant subset in  $A$  must be dense.

(1) If the group  $W$  is second countable, then every closed subset in  $\text{Prim } W$  is a Baire space (see [4]). Thus every  $H$ -irreducible subset  $A$  is the closure in  $\text{Prim } W$  of an  $H$ -orbit, i.e., if  $I$  is  $H$ -prime, then  $I = \ker H \cdot \varrho := \bigcap_{h \in H} \ker h \cdot \varrho$  (for some  $\varrho \in \hat{W}$ ).

This can be seen in the following way: Let  $\{U_n\}_{n \in \mathbb{N}}$  be a basis of the topology of  $A$ . For every  $n$ , let  $\tilde{U}_n := H \cdot U_n := \bigcup_{h \in H} h \cdot U_n$ . Then  $\{\bigcap \tilde{U}_n \mid n \in \mathbb{N}, U_n \neq \emptyset\} \neq \emptyset$ , because otherwise as  $A$  is a Baire-space, the complement  $A_{n_0} := (\tilde{U}_{n_0})^0$  of one of the  $\tilde{U}'_s \neq \emptyset$  must contain an open set  $\neq \emptyset$ , whence for some  $n'_0 \in \mathbb{N}$ ,  $\tilde{U}_{n_0} \cap \tilde{U}_{n'_0} = \emptyset$  i.e.  $A_{n_0} \cup A_{n'_0} = A$  ( $A_{n_0} \neq A$ ,  $A_{n'_0} \neq A$ ) whence, as  $A$  is  $H$ -irreducible,  $A = A_{n_0}$  or  $A = A_{n'_0}$  (a contradiction). If we choose now  $\varrho \in \{\bigcap_n \tilde{U}_n \mid \tilde{U}_n \neq \emptyset\}$ , then  $H \cdot \varrho$  is dense in  $A$ . If an  $H$ -prime ideal  $I$  is of the form  $I = \ker H \cdot \varrho$ , ( $\varrho \in \hat{W}$ ), we say that  $I$  is an  $H$ -orbit. It is clear that an  $H$ -orbit is always  $H$ -prime. Let us recall that a locally compact group  $G$  is  $*$ -regular (see [2]), if the space  $\text{Prim } G$  is homeomorphic with the space  $\text{Prim}^*(L^1(G))$  of the kernels of irreducible unitary representations of  $L^1(G)$ . Especially for any closed subset  $A$  of  $\hat{G}$ , the closure in  $C^*(G)$  of  $\ker A \subset L^1(G)$  is equal to  $\ker A$  in  $C^*(G)$ . Any nilpotent group is  $*$ -regular. (see [2].)

Let now  $I$  denote a twosided closed ideal in  $C^*(H)$ . The restriction  $r_W(I)$  of  $I$  to  $W$  is the twosided closed ideal in  $C^*(W)$  defined by:

$$r_W(I) := \{ \bigcap \ker(\varrho|_W) \mid \varrho \in \hat{H}; \varrho(I) = 0 \} .$$

$r_W(I)$  is of course  $H$ -invariant. If  $J$  is a closed twosided  $H$ -invariant ideal in  $C^*(W)$ , define the extension  $e_H(J)$  of  $J$  to be the (closed twosided) ideal in  $C^*(H)$

$$e_H(J) = \{ \bigcap_{\varrho} \ker \varrho \mid \varrho \in \hat{H}, \varrho|_W(J) = 0 \} .$$

It is easy to see that for every twosided closed ideal  $I$  in  $C^*(H)$

$$e_H(r_W(I)) \subset I . \tag{2}$$

If  $H$  is nilpotent, hence  $*$ -regular, we have for every  $H$ -invariant closed twosided ideal in  $C^*(W)$ :

$$r_W(e_H(J)) = J \tag{3}$$

see ([6]).

Let us observe that an  $H$ -prime ideal  $I$  in  $C^*(W)$  is  $H$ -maximal if and only if its hull  $h(I)$  in  $\text{Prim}(W)$  is  $H$ -minimal i.e. for any  $\tau \in h(I)$ ,  $H \cdot \tau$  is dense in  $h(I)$ .

Let  $G$  be as in the theorem. Let  $\text{Max}(L^1(G))$  denote the set of all closed, maximal, twosided ideals in  $L^1(G)$ , let  $\text{Prim}(L^1(G))$  denote the set of all the kernels of irreducible  $*$ -representations. It follows from the results of this paper, from the existence of minimal dense ideals in  $L^1(G)$ , from the fact that  $L^1(G)$  is symmetric and that  $G$  has the Wiener property, that

$$\text{Max}(L^1(G)) = \text{Prim}^*(L^1(G)) = \text{Prim}(L^1(G)) .$$

(See the considerations in the last part of [9] and [7].) The following (probably) wellknown lemma will be used several times.

**1. Lemma:** *Let  $H$  be a nilpotent locally compact group. Let  $V \subset W$  be closed, normal subgroups of  $H$ . If the ideal  $I$  in  $C^*(W)$  is  $H$ -prime then  $J = r_V(I)$  is  $H$ -prime in  $C^*(V)$ .*

*Proof:* Let  $J_1, J_2$  be two  $H$ -invariant twosided ideals in  $C^*(V)$  such that  $J_1 \cdot J_2 \subset J$ . If  $I_i = e_W(J_i)$ ,  $i = 1, 2$ , then by (3)  $r_V(I_i) = J_i$ ;  $i = 1, 2$  and  $I_1 \cdot I_2 \subset e_W(J) \subset I$ . Hence if  $I$  is  $H$ -prime  $I_1 \subset I$  or  $I_2 \subset I$  and so  $J_1 = r_V(I_1) \subset r_V(I) = J$  or  $J_2 = r_V(I_2) \subset J$ . q.e.d.

**2. Lemma:** *Let  $G$  be a nilpotent locally compact group,  $K$  a compact normal subgroup of  $G$ . Assume that the connected component  $(G/K)_0$  of  $(G/K)$  is a Lie group. Let  $G_0$  be the pullback of  $(G/K)_0$  to  $G$ . Then the hull  $h(I)$  in  $\text{Prim}(G_0)$  of a  $G$ -prime ideal  $I$  in  $C^*(G_0)$  is the closure of a  $G$ -orbit, i.e.,  $I$  is a  $G$ -orbit.*

*Proof:* By 1. Lemma  $r_K(I)$  is  $G$ -prime in  $C^*(K)$  and so, as  $\text{Prim} K$  is discrete,  $r_K(I) = \ker G \cdot \tau$  for some  $\tau \in \hat{K}$ . Let  $M = M_\tau$  be the stabilizer of  $\tau$  in  $G$ . Then, as  $G_0/K$  is connected,  $G_0 \subset M$ . Let  $A = h(J) \subset \text{Prim} G_0$ , where:  $J = r_{G_0}(I)$ .  $A$  is  $G$ -invariant and  $A$  is the union of the subsets  $A_{g \cdot \tau}$  ( $g \in G$ ) in  $\text{Prim} G_0$ , where  $A_{g \cdot \tau} = \{I' \in \text{Prim} G_0 \mid r_K(I') = \ker g \cdot \tau \triangleleft C^*(K)\}$ . As  $K$  is compact,  $A_{g \cdot \tau}$  is open and closed in  $\text{Prim} G_0$  for every  $g$ . Hence if  $U$  is any open  $M$ -invariant subset in  $A_\tau$ ,  $\tilde{U} = \bigcup_{g \in G} g \cdot U$  is open in  $A$  and  $G$ -invariant. Thus  $\tilde{U}$  is dense in  $A$ , as  $A$  is  $G$ -irreducible. But then  $U$  is dense in  $A_\tau$ , and so  $A_\tau$  is  $M$ -irreducible.

Let now  $K^0 = \ker \tau \triangleleft K$ .  $K^0$  is  $M$ -invariant and we may as well

assume that  $K^0 = (e)$ . Then  $K$  is a compact Lie group. Furthermore, as  $G_0/K$  and  $K$  are Lie groups,  $G_0$  is also Lie (see [8]). This implies that the connected component  $(G_0)_0$  of  $G_0$  is of finite index in  $G_0$ . Thus  $G_0$  is separable and  $A_\tau \subset \text{Prim } G_0$  must be the closure of an  $M$ -orbit (by (1)). So finally  $A$  itself is the closure of a  $G$ -orbit. q.e.d.

**3. Lemma:** *Let  $H$  be a nilpotent locally compact group,  $W$  a closed normal connected subgroup, which is a Lie group. Then for any  $\pi \in \hat{W}$ ,  $\ker H \cdot \pi$  is  $H$ -maximal.*

*Proof:* The group  $H$  acts by the adjoint representation  $\text{Ad}$  on the Lie algebra  $w$  of the Lie group  $W$ , hence also by the coadjoint representation  $\text{Ad}^*$  on the dual space  $w^*$  of  $w$ . In order to show that  $\ker H \cdot \pi$  is  $H$ -maximal, it is enough to show that for the Kirillov orbit  $O$  of  $\pi$  in  $w^*$ ,  $H \cdot O = H \cdot \ell$  ( $\ell \in O$ ) is  $H$ -maximal. By ABELS theorem (see [1]), it is enough to prove that for any  $h \in H$ , the eigenvalues of  $\text{Ad}^*(h)$  are of modulus one. But  $H$  is nilpotent, so there exists  $m \in \mathbb{N}$ , such

that for every  $h \in H$ ,  $x \in w$ ,  $t \in \mathbb{R}$   $[h, [h, [h, \dots, [h, \exp tx] \dots \dots]] = e$ .

Differentiating this equation in  $t$  and evaluating for  $t = 0$  we get  $(\text{Ad}(h) - 1)^m(x) = 0$  which means that  $\text{Ad}(h)$ , hence also  $\text{Ad}^*(h)$ , is unipotent.

**4. Lemma:** *Let  $G$  be a locally compact nilpotent group, let  $N \subset W$  be closed normal subgroups. Assume that  $N$  is open in  $W$  and that  $W/N$  is central in  $G/N$ . Let  $I \subset C^*(W)$  be a  $G$ -orbit and let  $J$  be a closed  $G$ -invariant twosided ideal in  $C^*(W)$ . If  $r_N(I) \supset r_N(J)$ , then there exists  $\chi \in (W/N)^\wedge$  such that  $I \supset \chi \cdot J$ .*

*Proof:* As  $r_N(I) \supset r_N(J)$ ,  $e_W(r_N(I)) \supset e_W(r_N(J))$ .  $W/N$  is a discrete abelian group, hence the dual group  $X := (W/N)^\wedge$  is compact. Thus  $X \cdot h(J)$  is closed in  $\text{Prim}(W)$  and it is easy to see that

$$h(e_W(r_N(J))) = X \cdot h(J) . \tag{4}$$

Now  $I = \ker G \cdot \varrho$  for some  $\varrho \in \hat{W}$ , so  $(\varrho|N)(r_N(J)) = 0$  and thus  $\varrho \in h(e_W(r_N(J))) = 0$ . Hence  $\ker \varrho = \chi \cdot \ker \tau = \ker(\chi \otimes \tau)$  for some  $\tau \in h(J)$  in  $\hat{W}$  (by (4)). But then the fact that  $W/N$  is central in  $G/N$  implies that

$$g \cdot \ker \varrho = g \cdot (\chi \ker \tau) = \chi \cdot \ker(g \tau)$$

for every  $g \in G$ . Finally:

$$I = \ker(G \cdot \varrho) = \bigcap_{g \in G} g \cdot \ker \varrho = \chi \cdot \left( \bigcap_{g \in G} \ker g \cdot \tau \right) \supset \chi \cdot J .$$

q.e.d.

**5. Lemma:** *Let  $G$  be a locally compact nilpotent group, let  $N \subset W$  be closed normal subgroups of  $G$ . Assume that  $N$  is open in  $W$  and that  $W/N$  is central in  $G/N$ . If every  $G$ -prime ideal in  $C^*(N)$  is  $G$ -maximal, then every  $G$ -orbit in  $C^*(W)$  is contained in a maximal  $G$ -orbit.*

*Proof:* We want to apply Zorn's lemma to the set  $\tilde{J}$  of all  $G$ -orbits containing the  $G$ -orbit  $I$  ordered by inclusion. Let  $\{I_\lambda\}_{\lambda \in \Lambda}$  be a chain in  $\tilde{J}$ . Let  $J_\lambda := r_N(I_\lambda)$ ;  $\lambda \in \Lambda$ . As  $J_\lambda$  is  $G$ -prime for every  $\lambda$  (by 1. Lemma), and as  $J_\lambda \supset J_{\lambda'}$ ,  $\forall \lambda > \lambda'$ , by hypothesis we have:

$$J_\lambda = J_{\lambda_0} \text{ for every } \lambda \text{ for some } \lambda_0 .$$

So for every  $\lambda$  there exists  $\chi_\lambda \in X = (W/N)^\wedge$  with

$$I_\lambda \subset \chi_\lambda \cdot I_{\lambda_0} \text{ (by 4. Lemma) .}$$

Passing to a subnet  $\{\lambda_\mu\}_{\mu \in M}$  we may assume that  $\{\chi_{\lambda_\mu}\}_{\mu \in M}$  converges in  $X$  to  $\chi_0$  (as  $X$  is compact).

So  $\bigcup_{\lambda \in \Lambda} I_\lambda \subset \bigcup_{\mu \in M} I_{\lambda_\mu} \subset \chi_0 \cdot I_{\lambda_0}$  and  $\{J_\lambda\}_\lambda$  has an upper bound. Applying Zorn's lemma we see that  $\tilde{J}$  has a maximal element. q.e.d.

**6. Lemma:** *Let  $G$  be a locally compact nilpotent group, let  $N \subset W$  be normal subgroups. Assume that  $N$  is open in  $W$  and that  $W/N$  is central in  $G/N$ . If every  $G$ -prime ideal in  $C^*(N)$  is  $G$ -maximal, then so is every  $G$ -prime ideal in  $C^*(W)$ .*

*Proof:* We show first that every  $G$ -orbit  $I = \ker G \cdot \varrho$  ( $\varrho \in \hat{W}$ ) is  $G$ -maximal. Let  $J = \ker G \cdot \tau$  ( $\tau \in \hat{W}$ ) be a maximal  $G$ -orbit in  $C^*(W)$  containing  $I$  (see 5. Lemma.) Then by hypothesis  $r_N(J) \supset r_N(I)$  implies  $r_N(J) = r_N(I)$  hence, by 4. Lemma,  $\chi \cdot J \subset I$  for some  $\chi \in (W/N)^\wedge$ . But then  $I = \chi \cdot J$  as  $J$  is maximal and so  $I$  is also maximal. Hence  $I = J$ . Let now  $I$  be  $G$ -prime in  $C^*(W)$ . Let  $J_0 = \ker \varrho_0$  ( $\varrho_0 \in \hat{W}$ ) be any element in  $h(I)$ . Then  $C^*(W) \triangleright J_0 = \ker G \cdot \varrho_0$  contains  $I$  and so  $r_N(J_0) \supset r_N(I)$  implies again  $r_N(I) = r_N(J_0)$ . Hence for any  $J' = \ker \varrho$  ( $\varrho \in \hat{W}$ ) in the hull  $h(I)$  of  $I$

$$r_N(J) = r_N(J_0) ,$$

where  $J = \ker G \cdot \varrho \triangleleft C^*(W)$ .

Thus  $J = \chi_J \cdot J_0$  for some  $\chi_J \in X := (W/N)^\wedge$  (by 4. Lemma and by the fact that  $J$  is  $G$ -maximal) and

$$I = \bigcap_{J' \in h(I)} J' = \bigcap_{\varrho \in \hat{W}, \varrho(I)=0} \ker(G \cdot \varrho) = \bigcap_J \chi_J \cdot J_0.$$

Hence there exists a closed subset  $A$  of  $X$  so that

$$I = \ker A \cdot J_0 := \bigcap_{a \in A} a \cdot J_0.$$

Let  $X_0 = \{\chi \in X \mid \chi \cdot J_0 = J_0\}$ .  $X_0$  is a closed subgroup of  $X$  and we may assume that  $A$  is  $X_0$ -invariant, i.e.,  $A = X_0 \cdot A$ . Let  $\tilde{A} = A \bmod X_0$  in  $X/X_0$ . If  $\tilde{A}$  contains more than one element there exist two closed  $X_0$ -invariant subsets  $A_1$  and  $A_2$  in  $A$  with  $A_1 \not\subset A_2$ ,  $A_2 \not\subset A_1$  and  $A_1 \cup A_2 = A$ . Let  $I_i = \ker A_i J_0$  ( $i = 1, 2$ ). Then  $I_1 \cap I_2 = \ker A \cdot J_0 = I$  and  $I_i$  ( $i = 1, 2$ ) is  $G$ -invariant. Hence as  $I$  is  $G$ -prime  $I \supset I_1$  or  $I \supset I_2$ . Assume that  $I \supset I_1$ , then  $A_1 \cdot h(J_0) = A \cdot h(J_0)$ . But this implies that  $A \bmod X_0 = A_1 \bmod X_0$ , a contradiction.

Thus we may assume that  $A \setminus X_0$  contains only one  $X_0$ -coset or none which means that:

$$I = \chi \cdot J_0 \text{ for some } \chi \in X.$$

But then  $I$  is  $G$ -maximal as  $J_0$  is  $G$ -maximal and in fact  $I$  is a  $G$ -orbit. q.e.d.

**Theorem:** *Let  $G$  be a locally compact nilpotent group. Let  $N$  be a compactly generated normal open subgroup or more generally assume that  $G$  has a compact normal subgroup  $K$  so that the connected component of  $(G/K)$  is open in  $G/K$  and is a Lie group. Then every closed twosided prime ideal in  $C^*(G)$  is maximal. Especially  $\text{Prim } G$  is  $T_1$ .*

*Proof:* Let  $\tilde{N}$  denote the connected component of  $(G/K)$  and let  $N$  be the pullback of  $\tilde{N}$  to  $G$ . Then  $N$  is an open normal subgroup of  $G$ . Let us show first that every  $G$ -prime ideal  $I$  in  $C^*(N)$  is  $G$ -maximal. By (2. Lemma)  $I$  is a  $G$ -orbit,  $I = \ker G \cdot \varrho$  for some  $\varrho \in \hat{N}$ . As  $N/K$  is connected,  $\varrho$  restricts to a multiple of some  $\tau \in \hat{K}$ . Let  $M = M_\tau$  be the stabilizer of  $\tau$  in  $G$ .  $M$  contains  $N$ . Let us show first that  $I_0 = \ker M \cdot \varrho$  is  $M$ -maximal in  $C^*(N)$ . To do that we can again assume that the kernel  $K^0$  of  $\tau$  in  $K$  is trivial and hence that  $N$  is a Lie group. Let  $N_0$  denote the connected component of  $N$ .  $N_0$  is separable, hence every  $M$ -prime ideal in  $C^*(N_0)$  is a  $G$ -orbit and thus by 3. Lemma is  $M$ -maximal.

Choose closed normal subgroups  $H_0 = N \supset H_1 \supset \dots \supset H_k = N_0$ , so that  $[M, H_i] \subset H_{i+1}$ ;  $i = 0, 1, 2, \dots, k - 1$ . Then  $H_{i+1}$  is open in  $H_i$  and  $H_i/H_{i+1}$  is central in  $M/H_{i+1}$   $i = 0, \dots, k - 1$ . Applying 6. Lemma several times we see that every  $M$ -prime ideal in  $C^*(N)$  is  $M$ -maximal. Especially  $I_0$  is  $M$ -maximal.

We prove now that  $I = \ker(G \cdot \varrho)$  is  $G$ -maximal. Let  $\pi \in h(I) \subset \hat{N}$ . i.e.  $\pi(I) = 0$ . We must show that  $I = \ker G \cdot \pi$ . There exists a net  $\{x_\lambda\}_{\lambda \in A}$  in  $G$  so that  $\ker \pi = \lim_{\lambda} x_\lambda \cdot \ker \varrho$  in  $\text{Prim } N$ . But then  $\{x_\lambda \cdot r_K(I)\}_{\lambda \in A}$  converges in  $\text{Prim } K$  to  $r_K(\ker \pi) = \ker \tau'$  for some  $\tau' \in \hat{K}$ . As  $\text{Prim } K$  is discrete, for a subnet, also denoted for simplicity by  $A$ ,  $x_\lambda \cdot r_K(I) = x_{\lambda_0} \cdot r_K(I) = \ker \tau'$  for every  $\lambda$ , for some  $\lambda_0$ . Hence  $x_{\lambda_0}^{-1} x_\lambda \cdot r_K(I) = r_K(x_{\lambda_0}^{-1} x_\lambda \cdot I) = \ker \tau' = r_K(I)$  for every  $\lambda$  and so  $x_{\lambda_0}^{-1} x_\lambda \in M_\tau = M$  for every  $\lambda$ . Thus  $x_{\lambda_0}^{-1} \cdot \ker \pi = \ker x_{\lambda_0}^{-1} \cdot \pi$  is contained in the closure of  $M \cdot (\ker \varrho)$ . As  $M$ -orbits are maximal, it follows that  $\ker p \in h(M \cdot x_{\lambda_0}^{-1} \cdot \ker \pi)$  and hence that  $\ker \varrho \in h(G \cdot \ker \pi)$ , i.e.,  $I = \ker G \cdot \pi$ .

We have now proved that every  $G$ -prime ideal in  $C^*(N)$  is  $G$ -maximal. But  $N$  is open in  $G$ . Applying again several times 6. Lemma, as has been done before, it follows that every prime ideal in  $C^*(G)$  is maximal. q.e.d.

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