

The number of critical points of a product of powers of linear functions

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1 Introduction

Let V be a complex affine space of dimension ℓ . Let u_1, \dots, u_ℓ be affine coordinates of V . Let $1 \leq i \leq n$, $0 \leq j \leq \ell$ and let $\{a_{j,i}\}$ be complex numbers. Consider the linear functions

$$\alpha_i = a_{0,i} + a_{1,i}u_1 + \dots + a_{\ell,i}u_\ell.$$

Let $H_i = \ker(\alpha_i)$ be the corresponding affine hyperplanes in V and let $\mathcal{A} = \{H_1, \dots, H_n\}$ denote their arrangement. We assume that \mathcal{A} is essential. This means that the lowest dimensional intersections of these hyperplanes are points. Let $N = N(\mathcal{A}) = \bigcup_{i=1}^n H_i$ be the divisor of \mathcal{A} and let $M = M(\mathcal{A}) = V - N(\mathcal{A})$ be the complement of \mathcal{A} . Given a complex n -vector $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{C}^n$, consider the multivalued holomorphic function defined on M by

$$\phi_\lambda(u) = \alpha_1^{\lambda_1} \dots \alpha_n^{\lambda_n}.$$

Studying Bethe vectors in statistical mechanics, A. Varchenko [18] conjectured that for generic λ all critical points of ϕ_λ are nondegenerate and the number of critical points is equal to the absolute value of the Euler characteristic of $M, \chi(M)$. He proved the conjecture for complexified real arrangements in [18]. A similar result was known to K. Aomoto [1, Example 1] who stated without proof that for positive λ the number of critical points of ϕ_λ equals the number of bounded components of the complement of the real arrangement. See [2, Theorem 4.4.1.1] for details. In this paper we prove Varchenko's conjecture for all arrangements. More generally we give a formula for the number of critical points of the multivalued holomorphic function

$$\Phi_\lambda(u) = e^{f(u)} \phi_\lambda(u) = e^{f(u)} \alpha_1^{\lambda_1} \dots \alpha_n^{\lambda_n}$$

on M where $f(u)$ is a polynomial of degree $r \geq 0$. The formula for $r = 0$ is Varchenko's conjecture. The functions ϕ_λ and Φ_λ are integrands in the

multivariable theory of hypergeometric functions and hypergeometric integrals. They occur in work of Aomoto, Kita, Gelfand, Varchenko, and others [1], [5], [6], [8], [9], [14], [16], [18]. Consult forthcoming books by Aomoto and Kita [2] and by Varchenko [17] for further references.

Theorem 1.1 *Let \mathcal{A} be an essential complex affine arrangement and let f be an \mathcal{A} -transverse polynomial of degree $r \geq 0$.*

(i) *There exists a (Zariski-) closed algebraic proper subset Y of \mathbb{C}^n such that for each $\lambda \in \mathbb{C}^n - Y$, Φ_λ has only finitely many critical points all of which are nondegenerate and the number of critical points of Φ_λ is independent of $\lambda \in \mathbb{C}^n - Y$. Denote this number by $\gamma(\mathcal{A})$.*

(ii) $\gamma(\mathcal{A}) = |\chi(\mathcal{A}, 1 - r)|$.

See Definition 2.3 for the notion of an \mathcal{A} -transverse polynomial and Definition 2.1 for the characteristic polynomial $\chi(\mathcal{A}, t)$ of \mathcal{A} . It is known [11, Theorem 5.93] that $\chi(M) = \chi(\mathcal{A}, 1)$. Thus Theorem 1.1 specialized to $r = 0$ proves Varchenko's conjecture for all arrangements.

Let $C(\Phi_\lambda)$ be the set of critical points of Φ_λ in M . In Section 2 we use algebraic methods to study the set $C(\Phi_\lambda)$. It is easy to see that a point $v \in M$ is a critical point of Φ_λ if and only if it is a critical point of $\log \Phi_\lambda$ so the 1-form

$$\omega_\lambda = d(\log \Phi_\lambda) = df + \sum_{i=1}^n \lambda_i \frac{d\alpha_i}{\alpha_i}$$

vanishes at v . Similar problems were studied in [12] and [15] and we use the terminology and methods of these papers. We interpret the set $C(\Phi_\lambda)$ in terms of a complex $\Omega_\lambda^* = (\Omega^*(\mathcal{A}), \omega_\lambda \wedge)$ of logarithmic forms with poles on \mathcal{A} whose differential is $\omega_\lambda \wedge$. We show that the support in M of the top cohomology $H^\ell(\Omega_\lambda^*)$ is equal to the set $C(\Phi_\lambda)$. This is the set of points of M at which the localization of $H^\ell(\Omega_\lambda^*)$ is not zero. In Section 3 we show that there exists a closed algebraic proper subset Y_1 of \mathbb{C}^n such that for each $\lambda \in \mathbb{C}^n - Y_1$, the cohomology groups $H^p(\Omega_\lambda^*)$ are finite dimensional and we calculate the Euler characteristic of the complex Ω_λ^* :

$$\chi(\Omega_\lambda^*) = \chi(\mathcal{A}, 1 - r). \quad (1)$$

In Section 4 we prove Theorem 1.1. First we find a closed algebraic proper subset Y_2 of \mathbb{C}^n such that for each $\lambda \in \mathbb{C}^n - Y_2$, Φ_λ has no degenerate critical points on M . Then we find a closed algebraic proper subset Y containing $Y_1 \cup Y_2$. This proves the first part of Theorem 1.1. We call $\lambda \in \mathbb{C}^n - Y$ generic. For generic λ all critical points are nondegenerate and their number is the constant $\gamma(\mathcal{A})$:

$$|C(\Phi_\lambda)| = \gamma(\mathcal{A}). \quad (2)$$

It remains to find the value of $\gamma(\mathcal{A})$. We show the existence of generic λ for which

$$H^p(\Omega_\lambda^*) = 0, \quad 0 \leq p < \ell \text{ and } \dim_{\mathbb{C}} H^\ell(\Omega_\lambda^*) = |C(\Phi_\lambda)|. \quad (3)$$

Thus we get from (1), (2), and (3) that $\gamma(\mathcal{A}) = |\chi(\mathcal{A}, 1 - r)|$. This proves the second part of Theorem 1.1.

2 Logarithmic forms

In this section we establish the fundamental connections between critical points of Φ_λ and a complex of logarithmic forms. For the rest of this paper we fix a complex affine essential ℓ -arrangement $\mathcal{A} = \{H_1, H_2, \dots, H_n\}$ where $H_i = \ker \alpha_i$ and let $Q = \prod_{i=1}^n \alpha_i$ be a defining polynomial for the arrangement \mathcal{A} . Let $L = L(\mathcal{A})$ be the set of all nonempty intersections of hyperplanes in \mathcal{A} . We consider L as a partially ordered set by reverse inclusion so it has minimal element V . Let $\mu : L \times L \rightarrow \mathbb{Z}$ be the Möbius function of L defined by $\mu(X, X) = 1$, the recursion $\sum_{X \leq Z \leq Y} \mu(X, Z) = 0$ for $X < Y$, and $\mu(X, Y) = 0$ otherwise.

Definition 2.1 *The characteristic polynomial of \mathcal{A} is $\chi(\mathcal{A}, t) = \sum_{X \in L} \mu(V, X) t^{\dim X}$.*

We construct a central $(\ell + 1)$ -arrangement from \mathcal{A} called the cone over \mathcal{A} . Let cV be an affine $(\ell + 1)$ -space with coordinates u_0, u_1, \dots, u_ℓ . Regard V as the affine hyperplane of cV defined by $u_0 = 1$. For $X \in L$, let X^c be the cone over X . We identify the \mathbb{C} -algebra of polynomial functions on V with the polynomial algebra $S = \mathbb{C}[u_1, \dots, u_\ell]$. Let $S^c = \mathbb{C}[u_0, u_1, \dots, u_\ell]$ be the coordinate ring of cV . For each $g \in S$ define the homogenization of g as

$$g^h = u_0^{\deg g} g(u_1/u_0, \dots, u_\ell/u_0)$$

where $\deg g$ is the degree of g . Clearly, $g^h \in S^c$ and it is homogeneous of degree $\deg g$.

Definition 2.2 *Let $\mathcal{A} = \{H_1, \dots, H_n\}$ and $Q = \prod_{i=1}^n \alpha_i$ be a defining polynomial for \mathcal{A} . The **cone** $c\mathcal{A}$ of \mathcal{A} is defined as the central arrangement in cV defined by the polynomial $Q^c = u_0 Q^h = u_0 \prod_{i=1}^n \alpha_i^h$.*

Definition 2.3 *Let f be a polynomial of degree r . If $r > 0$, then we say that f is \mathcal{A} -transverse provided for every $X \in L(c\mathcal{A})$, the restriction of f^h to X has no critical points outside the origin. If $r = 0$, then we agree that every nonzero constant is \mathcal{A} -transverse.*

For the rest of this paper we fix an \mathcal{A} -transverse polynomial f of degree $r \geq 0$.

Proposition 2.4 *The following statements are equivalent for a point $v \in M$:*

- (1) v is a critical point of Φ_λ , so $v \in C(\Phi_\lambda)$,
- (2) v is a critical point of $\log \Phi_\lambda = f + \sum_{i=1}^n \lambda_i \log \alpha_i$,
- (3) $\omega_\lambda(v) = df(v) + \sum_{i=1}^n \lambda_i \frac{d\alpha_i}{\alpha_i}(v) = 0$.

Proof. Since $\Phi_\lambda(v) \neq 0$, the assertions follow from the formula

$$\frac{\partial(\log \Phi_\lambda)}{\partial u_j} = \Phi_\lambda^{-1} \frac{\partial(\Phi_\lambda)}{\partial u_j}. \quad \square$$

Proposition 2.5 Let $v \in C(\Phi_\lambda)$ and let $H = H_\lambda$ be the Hessian matrix of $\log \Phi_\lambda$ with entries

$$H_{i,j} = \frac{\partial^2 f}{\partial u_i \partial u_j} - \sum_{k=1}^n \lambda_k \frac{a_{k,i} a_{k,j}}{\alpha_k^2}.$$

The following statements are equivalent for a point $v \in M$:

- (1) v is a nondegenerate critical point of Φ_λ ,
- (2) v is a nondegenerate critical point of $\log \Phi_\lambda$,
- (3) $\det H_\lambda(v) \neq 0$.

Proof. Since $\partial \Phi_\lambda / \partial u_j(v) = 0$ for all j , the assertions follow from the formula

$$\frac{\partial^2(\log \Phi_\lambda)}{\partial u_i \partial u_j} = \Phi_\lambda^{-1} \frac{\partial^2 \Phi_\lambda}{\partial u_i \partial u_j} - \Phi_\lambda^{-2} \frac{\partial \Phi_\lambda}{\partial u_i} \frac{\partial \Phi_\lambda}{\partial u_j}. \quad \square$$

A \mathbb{C} -linear map $\theta : S \rightarrow S$ is a derivation if $\theta(ab) = a\theta(b) + b\theta(a)$ for $a, b \in S$. Let $\text{Der}(S)$ be the S -module of derivations of S . It is a free S -module with basis $\{D_i = \partial/\partial u_i\}$. The module of **\mathcal{A} -derivations** is an S -submodule of $\text{Der}(S)$ defined by

$$D(\mathcal{A}) = \{\theta \in \text{Der}(S) \mid \theta(Q) \in QS\}.$$

The Euler derivation is $\theta_E = \sum_{i=1}^{\ell} u_i D_i$. It lies in $D(\mathcal{A})$ if and only if \mathcal{A} is a central arrangement so all hyperplanes contain the origin.

Let p be an integer. Let $\Omega^p[V]$ denote the S -module of all global regular (=polynomial) p -forms on V . Let $\Omega^p(V)$ denote the space of all global rational p -forms on V .

Definition 2.6 The module $\Omega^p = \Omega^p(\mathcal{A})$ of **logarithmic p -forms with poles along \mathcal{A}** is defined as

$$\Omega^p = \Omega^p(\mathcal{A}) = \{\omega \in \Omega^p(V) \mid Q\omega \in \Omega^p[V] \text{ and } Q(d\omega) \in \Omega^{p+1}[V]\}.$$

Let $\Omega^p = 0$ if $p < 0$ or $p > \ell$.

Clearly, $\omega_\lambda \in \Omega^1(\mathcal{A})$. It is easy to see that $\Omega^p(\mathcal{A})$ is a finitely generated S -module containing $\Omega^p[V]$. Also $\Omega^0(\mathcal{A}) = S$ and $\Omega^\ell(\mathcal{A}) = (1/Q)\Omega^\ell[V] \simeq S$. Recall the interior product form [11, 4.74]

$$\langle \cdot, \cdot \rangle : D(\mathcal{A}) \times \Omega^p(\mathcal{A}) \longrightarrow \Omega^{p-1}(\mathcal{A})$$

which is an S -bilinear pairing. In particular, when $p = 1$, we have a pairing

$$\langle \cdot, \cdot \rangle : D(\mathcal{A}) \times \Omega^1(\mathcal{A}) \longrightarrow S \quad (6)$$

satisfying $\left\langle \sum_i f_i D_i, \sum_j g_j du_j \right\rangle = \sum_k f_k g_k$. For a complex manifold Z and a point $z \in Z$, let $T_z Z$ denote the holomorphic tangent space of Z at z . Define

the evaluation map $\tau_z : D(\mathcal{A}) \rightarrow T_z M$ as follows. Given $\theta \in D(\mathcal{A})$, write $\theta = \sum u_i D_i$ and let $\tau_z(\theta) = \sum u_i(z) D_i$. Write $\theta_z = \tau_z(\theta)$ and $D(\mathcal{A})_z = \tau_z(D(\mathcal{A}))$. It follows from [11, 5.17] that if $v \in M$, then $D(\mathcal{A})_v = T_v M$. The pairing (6) induces the natural pairing

$$\langle \cdot, \cdot \rangle_v : T_v M \times T_v^* M \longrightarrow \mathbb{C}$$

of the holomorphic tangent space and the holomorphic cotangent space of M at each point $v \in M$.

Proposition 2.7 *Define the ideal $I_\lambda = \langle D(\mathcal{A}), \omega_\lambda \rangle = \{ \langle \theta, \omega_\lambda \rangle \mid \theta \in D(\mathcal{A}) \}$ of S . Let $V(I_\lambda)$ denote the zero set of I_λ . Then $C(\Phi_\lambda) = V(I_\lambda) \cap M$.*

Proof. Let $v \in M$. Then $\omega_\lambda(v) = 0$ if and only if $\langle \xi, \omega_\lambda(v) \rangle = 0$ for all $\xi \in T_v M = D(\mathcal{A})_v$. Apply Proposition 2.4. \square

Definition 2.8 *Let $\lambda \in \mathbb{C}^n$. Define maps $\omega_\lambda \wedge : \Omega^p(\mathcal{A}) \rightarrow \Omega^{p+1}(\mathcal{A})$ by sending $\omega \in \Omega^p(\mathcal{A})$ to $\omega_\lambda \wedge \omega$. We obtain the cochain complex*

$$\Omega_\lambda^* = \Omega_\lambda^*(\mathcal{A}) = (\Omega^*, \omega_\lambda \wedge) : \cdots \xrightarrow{\omega_\lambda \wedge} \Omega^p(\mathcal{A}) \xrightarrow{\omega_\lambda \wedge} \Omega^{p+1}(\mathcal{A}) \xrightarrow{\omega_\lambda \wedge} \cdots$$

Lemma 2.9 $H^i(\Omega_\lambda^*) \simeq S/I_\lambda$.

Proof. There is an isomorphism $\gamma : D(\mathcal{A}) \simeq \Omega'^{-1}(\mathcal{A})$ defined by

$$\gamma(\theta) = \mathcal{Q}^{-1} \sum_{i=1}^n (-1)^{i-1} \theta(u_i) du_1 \wedge \cdots \wedge \widehat{du}_i \wedge \cdots \wedge du_n$$

for $\theta \in D(\mathcal{A})$. By abuse of notation, let γ also denote the isomorphism $\gamma : S \simeq \Omega'(\mathcal{A})$ defined by $\gamma(g) = \mathcal{Q}^{-1} g du_1 \wedge \cdots \wedge du_n$. Then the map $\delta : \theta \mapsto \langle \theta, \omega_\lambda \rangle$ makes the following diagram commutative:

$$\begin{array}{ccc} D(\mathcal{A}) & \xrightarrow{\gamma} & \Omega'^{-1}(\mathcal{A}) \\ \downarrow \delta & & \downarrow \omega_\lambda \wedge \\ S & \xrightarrow{\gamma} & \Omega'(\mathcal{A}). \end{array} \quad \square$$

Let N be an S -module. For $v \in V$, let N_v denote the localization of N at v . It is naturally an S_v -module. Define the support of N by $\text{Supp} N = \{v \in V \mid N_v \neq 0\}$.

Proposition 2.10 (1) $\text{Supp} H^i(\Omega_\lambda^*) \cap M = C(\Phi_\lambda)$.

(2) If $H^i(\Omega_\lambda^*)$ is finite dimensional, then $|C(\Phi_\lambda)| \leq \dim H^i(\Omega_\lambda^*)$.

Proof. (1) is a direct consequence of Proposition 2.7 and Lemma 2.9 and (2) follows from (1). \square

Proposition 2.11 *The ideal I_λ annihilates the S -modules $H^p(\Omega_\lambda^*)$ for all p .*

Proof. Let $\omega \in \Omega^p$ with $\omega_\lambda \wedge \omega = 0$. Let $\theta \in D(\mathcal{A})$. Then $\langle \theta, \omega \rangle \in \Omega^{p-1}$. We have

$$\omega_\lambda \wedge \langle \theta, \omega \rangle = -\langle \theta, \omega_\lambda \wedge \omega \rangle + \langle \theta, \omega_\lambda \rangle \omega = \langle \theta, \omega_\lambda \rangle \omega.$$

This shows that $\langle \theta, \omega_\lambda \rangle \omega$ is a coboundary. \square

3 The Euler characteristic

In this section we find an algebraic set $Y_1 \subset \mathbb{C}^n$ so that for all $\lambda \in \mathbb{C}^n - Y_1$ the cohomology groups $H^p(\Omega_\lambda^*)$ are finite dimensional and the Euler characteristic $\chi(\Omega_\lambda^*)$ equals $\chi(\mathcal{A}, 1 - r)$. (We may actually choose $Y_1 = \emptyset$ unless $r = 0$.)

Introduce a natural increasing filtration on $\Omega^p(\mathcal{A})$. Let $\beta \in \Omega^p[V]$. If each coefficient of β is a polynomial of degree at most $q - p$ then we say that the total degree of β is $\leq q$ and write $\text{tdeg} \beta \leq q$. Let $\omega \in \Omega^p(\mathcal{A})$. It follows from the definition that ω can be written in the form $\omega = \beta/Q$ where $\beta \in \Omega^p[V]$. Let $n = \text{deg } Q = |\mathcal{A}|$. We may formally consider the degree of $1/Q$ as $-n$ and say that the **total degree** $\text{tdeg} \omega \leq q$ if $\text{tdeg} \beta \leq q + n$. For example, if $\ell = 1$, $Q = u(u - 1)$, and $\omega = du/u(u - 1)$, then $\text{tdeg} \omega \leq q$ for $q \geq -1$.

Definition 3.1 *Total degree introduces an increasing filtration on $\Omega^p(\mathcal{A})$ for $q \in \mathbb{Z}$ by*

$$\Omega_{\leq q}^p = \Omega^p(\mathcal{A})_{\leq q} = \{\omega \in \Omega^p(\mathcal{A}) \mid \text{tdeg} \omega \leq q\}.$$

Define \mathbb{C} -vector spaces for $q \in \mathbb{Z}$ by

$$\text{Gr}_q \Omega^p = \text{Gr}_q \Omega^p(\mathcal{A}) = \Omega^p(\mathcal{A})_{\leq q} / \Omega^p(\mathcal{A})_{\leq q-1}.$$

Definition 3.2 *Suppose $\lambda \in \mathbb{C}^n$. Let $q \in \mathbb{Z}$. The cochain complex Ω_λ^* has a subcomplex $\Omega_{\leq q}^* = \Omega_{\leq q}^*(\mathcal{A})$ which is defined by*

$$\Omega_{\leq q}^* = \Omega_{\leq q}^*(\mathcal{A}) : \cdots \xrightarrow{\omega_\lambda \wedge} \Omega_{\leq q+(p-\ell)r}^p \xrightarrow{\omega_\lambda \wedge} \Omega_{\leq q+(p-\ell+1)r}^{p+1} \xrightarrow{\omega_\lambda \wedge} \cdots \xrightarrow{\omega_\lambda \wedge} \Omega'_{\leq q} \rightarrow 0.$$

This provides an increasing filtration of the cochain complex Ω^ . For each $q \in \mathbb{Z}$, define the complex*

$$\text{Gr}_q^* = \text{Gr}_q \Omega^* = \text{Gr}_q \Omega^*(\mathcal{A}) = \Omega_{\leq q}^* / \Omega_{\leq q-1}^*.$$

Denote by Gr^ the direct sum of the complexes $\text{Gr}_q^* = \text{Gr}_q \Omega^*$ for all q .*

The following result was proved in [15, Theorem 7.1]:

Theorem 3.3 *Let f be an \mathcal{A} -transverse polynomial of degree $r > 0$. Then for every $\lambda \in \mathbb{C}^n$*

(1) *the cohomology groups $H^p(\Omega_\lambda^*)$ and $H^p(\text{Gr}^*)$ are finite dimensional for all p ,*

$$(2) \chi(\Omega_\lambda^*) = \sum (-1)^p \dim H^p(\Omega_\lambda^*) = \sum (-1)^p \dim H^p(\text{Gr}^*) = \chi(\mathcal{A}, 1 - r). \quad \square$$

The argument in [15] uses the fact that when $r > 0$, the induced differential in the complex Gr^* is the highest degree homogeneous component of df and hence it is independent of λ . Note also that in this case the number of solutions of $\omega_\lambda = 0$ is finite for all λ . We need the analog of Theorem 3.3 for $r = 0$. In this case $df = 0$ and there may exist values of λ which give infinitely many solutions of $\omega_\lambda = 0$. Thus the analysis is somewhat more delicate. See Remark 3.11 and Example 4.7. In the rest of this section we assume that $r = 0$.

Let p be an integer. Define

$$K^p = \{\omega \in \Omega^p(c\mathcal{A}) \mid du_0 \wedge \omega = 0\}.$$

Then K^p is an S^c -module graded by total degree: $K^p = \bigoplus_{q \in \mathbb{Z}} K_q^p$. Let q be an integer. Define a \mathbb{C} -linear map $\sigma : K_q^{p+1} \rightarrow \Omega_{\leq q}^p$ by $\sigma(\omega) = (-1)^p \langle \theta_E, \omega \rangle |_{u_0=1}$. Here θ_E is the Euler derivation. By abuse of notation, we let σ also denote the induced \mathbb{C} -linear map $\sigma : K_q^{p+1} \rightarrow \text{Gr}_q \Omega^p$. The next Proposition was proved in [15, Prop. 4.6]:

Proposition 3.4 *The following sequence is exact where the first map is multiplication by u_0 :*

$$0 \rightarrow K_{q-1}^{p+1} \xrightarrow{u_0} K_q^{p+1} \xrightarrow{\sigma} \text{Gr}_q \Omega^p \rightarrow 0. \quad \square$$

Define

$$\omega_\lambda^h = \sum_{i=1}^n \lambda_i \frac{d\alpha_i^h}{\alpha_i^h} \in \Omega^1(c\mathcal{A}).$$

It is easy to see that the maps $\omega_\lambda^h \wedge : K_q^p \rightarrow K_q^{p+1}$ define complexes $K_q^* = (K_q^*, \omega_\lambda^h \wedge)$.

Proposition 3.5 *The homomorphisms σ in Proposition 3.4 define a cochain homomorphism of the complexes $K_q^* \rightarrow \text{Gr}_q \Omega^*$ that decreases dimension by 1.*

Proof. It suffices to prove that σ commutes with the differentials. Let $\omega \in K^{p+1}$. Notice that $\omega|_{u_0=1} = 0$. Using this we have

$$\begin{aligned} \omega_\lambda^h \wedge \langle \theta_E, \omega \rangle |_{u_0=1} &= (\omega_\lambda^h \wedge \langle \theta_E, \omega \rangle) |_{u_0=1} \\ &= (-\langle \theta_E, \omega_\lambda^h \wedge \omega \rangle + \langle \theta_E, \omega_\lambda^h \rangle \omega) |_{u_0=1} = (-\langle \theta_E, \omega_\lambda^h \wedge \omega \rangle) |_{u_0=1}. \quad \square \end{aligned}$$

Denote by K^* the direct sum of the complexes K_q^* for all q . It follows from Propositions 3.4 and 3.5 that the sequence of complexes $0 \rightarrow K^* \xrightarrow{u_0} K^* \xrightarrow{\sigma} \text{Gr}^* \rightarrow 0$ is exact.

Proposition 3.6 *The following induced cohomology sequence is exact:*

$$\dots \rightarrow H^{p+1}(K^*) \xrightarrow{u_0} H^{p+1}(K^*) \rightarrow H^p(\text{Gr}^*) \rightarrow H^{p+2}(K^*) \xrightarrow{u_0} H^{p+2}(K^*) \rightarrow \dots \square$$

Proposition 3.7 *Write $H^p = H^p(K^*)$. Define $D_0(c\mathcal{A}) = \{\theta \in D(c\mathcal{A}) \mid \theta(u_0) = 0\}$. Let $\theta \in D_0(c\mathcal{A})$. Then $\langle \theta, \omega_\lambda^h \rangle \in \text{Ann}(H^p)$ for every p .*

Proof. Let $\omega \in K^p$ and $\omega_\lambda^h \wedge \omega = 0$. Then we have

$$0 = \langle \theta, \omega_\lambda^h \wedge \omega \rangle = \langle \theta, \omega_\lambda^h \rangle \omega - \omega_\lambda^h \wedge \langle \theta, \omega \rangle.$$

Since $\theta \in D(c\mathcal{A})$, $\eta = \langle \theta, \omega \rangle \in \Omega^{p-1}(c\mathcal{A})$. To prove the result it suffices to check that $\eta \in K^{p-1}$. Thus we need that $du_0 \wedge \eta = 0$. We have

$$du_0 \wedge \langle \theta, \omega \rangle = \theta(u_0)\omega - \langle \theta, du_0 \wedge \omega \rangle = 0$$

since $\theta(u_0) = 0$ and $\omega \in K^p$. \square

Let $H_0 = \ker(u_0)$. Then H_0 is an ℓ -dimensional hyperplane in cV . For any $X \in L$, define $\bar{X} = H_0 \cap X^c$. Thus \bar{X} is a vector subspace of cV and $\dim \bar{X} = \dim X$. We can regard \bar{X} as the parallel translate of X through the origin. For any $X \in L$, define the index set

$$I(X) = \{i \mid \bar{X} \not\subseteq H_i^c, \quad 1 \leq i \leq n\}.$$

For example, $I(V) = \{1, \dots, n\}$ and $I(H_1) = \{i \mid H_i \text{ is not parallel to } H_1\}$. Let $\pi_X = \prod_{i \in I(X)} \alpha_i^h$. For any nonzero vector $a \in cV$, let ∂_a be the derivation of S^c in the direction of a .

Corollary 3.8 *For any $X \in L$ and nonzero vector $a \in \bar{X}$, we have $\langle \pi_X \partial_a, \omega_\lambda^h \rangle \in \text{Ann}H^p$.*

Proof. By Proposition 3.7, it suffices to check that $\pi_X \partial_a \in D_0(c\mathcal{A})$. This is straightforward. \square

Proposition 3.9 *Suppose \mathcal{A} is nonempty and central. Then $C(\Phi_\lambda) = \emptyset$ unless $\sum_{i=1}^n \lambda_i = 0$.*

Proof. Since the Euler derivation $\theta_E \in D(\mathcal{A})$, we have

$$0 \neq \sum_{i=1}^n \lambda_i = \langle \theta_E, \omega_\lambda \rangle \in I_\lambda.$$

Thus $V(I_\lambda) = \emptyset$. Apply Proposition 2.7. \square

Define $L^+ = \{X \in L(\mathcal{A}) \mid \dim X > 0\}$. For each $X \in L^+$, define a hyperplane F_X in \mathbb{C}^n by

$$F_X = \left\{ \lambda \in \mathbb{C}^n \mid \sum_{i \in I(X)} \lambda_i = 0 \right\}.$$

Lemma 3.10 *Define $Y_1 = \bigcup_{X \in L^+} F_X$. Then Y_1 is a closed algebraic proper subset of \mathbb{C}^n . Suppose that $\lambda \in \mathbb{C}^n - Y_1$. Define the ideal*

$$I_\lambda^0 = \{ \langle \theta, \omega_\lambda^h \rangle \in S^c \mid \theta \in D_0(c\mathcal{A}) \}$$

of S^c . The radical of the ideal generated by u_0 and I_λ^0 contains the maximal ideal $S_+^c = (u_0, \dots, u_\ell)$.

Proof. Denote the ideal of S^c generated by u_0 and I_λ^0 by I . Let $V(I)$ be the set of common zeros of I . By the Nullstellensatz, it suffices to show that $V(I)$ is contained in $\{0\}$. Suppose $v \in V(I)$. Then $v \in H_0 = \ker(u_0)$. Let $X \cap H_i$, where the intersection is over $\{i \mid 1 \leq i \leq n, v \in H_i^c\}$. Then $\bar{X} = H_0 \cap X^c$ is the maximum (smallest as a set) element of $L(c\mathcal{A})$ which contains v . We also note $I(X) = \{i \mid \bar{X} \not\subseteq H_i^c\} = \{i \mid v \notin H_i^c\}$. We will show $\bar{X} = 0$. Define the arrangement $\mathcal{B} = \{\bar{X} \cap H_i^c \mid i \in I(X)\}$. Suppose $\bar{X} \neq 0$. Then \mathcal{B} is a nonempty central arrangement in \bar{X} . Note that $v \in M(\mathcal{B})$. For simplicity write $\omega = \omega_v^h = \sum_{i=1}^n \lambda_i (d\alpha_i^h / \alpha_i^h)$. By Corollary 3.8, $\langle \pi_X \partial_a, \omega \rangle \in I$ for every vector $a \in \bar{X}$. Define $\omega^X = \sum_{i \in I(X)} \lambda_i (d\alpha_i^h / \alpha_i^h)$. If $i \notin I(X)$, then $\bar{X} \subseteq H_i^c$ and thus $\langle \partial_a, d\alpha_i^h \rangle = 0$. Thus we have $0 = \langle \partial_a, \omega \rangle_v = \langle \pi_X \partial_a, \omega^X \rangle_v$. Since $\pi_X(v) \neq 0$ and ω^X has no pole at v , the restriction $\bar{\omega}$ of the 1-form ω^X to \bar{X} vanishes at $v \in M(\mathcal{B})$. On the other hand, by Proposition 3.9, since \mathcal{B} is nonempty and central, $\bar{\omega}$ never vanishes on $M(\mathcal{B})$ unless $\sum_{i \in I(X)} \lambda_i = 0$. This is a contradiction. \square

Remark 3.11 The set Y_1 has the following description in terms of the projectivized arrangement \mathcal{P} of the cone $c\mathcal{A}$.

Projectivize V^c to get an ℓ -dimensional complex projective space $\mathbf{P}(V^c)$. For any vector subspace X of V^c , let $\mathbf{P}(X)$ denote its projectivization. Note that $\mathbf{P}(X^c)$ is the projective closure of X . The cone $c\mathcal{A}$ naturally determines a projective arrangement \mathcal{P} by

$$\mathcal{P} = \{\mathbf{P}(H_0), \mathbf{P}(H_1^c), \dots, \mathbf{P}(H_n^c)\}.$$

For simplicity, write $P_0 = \mathbf{P}(H_0)$ and $P_i = \mathbf{P}(H_i^c)$ for $1 \leq i \leq n$. Choose the complex number $\lambda_0 = -\sum_{i=1}^n \lambda_i$ as the weight of P_0 so that the expression

$$\lambda_0 \frac{du_0}{u_0} + \sum_{i=1}^n \lambda_i \frac{d\alpha_i^h}{\alpha_i^h}$$

defines a global rational 1-form on $\mathbf{P}(V^c)$. Then for $X \in L^+$ we have

$$\sum_{i \in I(X)} \lambda_i = -\lambda_0 - \sum_{\substack{1 \leq i \leq n \\ \bar{X} \subseteq H_i^c}} \lambda_i = - \sum_{\mathbf{P}(\bar{X}) \subseteq P_i} \lambda_i.$$

Let $L(\mathcal{P})$ be set of all nonempty intersections of hyperplanes of \mathcal{P} . Note that $P_0 = \mathbf{P}(H_0) = \mathbf{P}(V^c) - V$ is “the hyperplane at infinity.” Define

$$L(\mathcal{P})_\infty = \{Z \in L(\mathcal{P}) \mid Z \subseteq P_0\}.$$

It is the subset of $L(\mathcal{P})$ consisting of the elements lying at infinity. Then

$$L(\mathcal{P})_\infty = \{\mathbf{P}(\bar{X}) \mid X \in L^+\}.$$

Therefore

$$Y_1 = \bigcup_{X \in L^+} \left\{ \lambda \in \mathbb{C}^n \mid \sum_{\mathbf{P}(\bar{X}) \subseteq P_i} \lambda_i = 0 \right\} = \bigcup_{Z \in L(\mathcal{P})_\infty} \left\{ \lambda \in \mathbb{C}^n \mid \sum_{Z \subseteq P_i} \lambda_i = 0 \right\}.$$

Theorem 3.12 *Let $r = 0$. Suppose $\lambda \in \mathbb{C}^n - Y_1$. Then*

(1) *the cohomology groups $H^p(\Omega_\lambda^*)$ and $H^p(\text{Gr}^*)$ are finite dimensional for all p ,*

$$(2) \chi(\Omega_\lambda^*) = \sum (-1)^p \dim H^p(\Omega_\lambda^*) = \sum (-1)^p \dim H^p(\text{Gr}^*) = \chi(\mathcal{A}, 1).$$

Proof. (1) We only need to show that $H^p(\text{Gr}^*)$ is finite dimensional. By Proposition 3.6, it suffices to prove that the map induced by multiplication by $u_0 : H^p(K^*) \rightarrow H^p(K^*)$ has finite dimensional kernel and cokernel. Recall that $H^p(K^*)$ is annihilated by $\langle \theta, \omega_\lambda^h \rangle$ for all $\theta \in D_0(c\mathcal{A})$ by Proposition 3.7. Thus both the kernel and the cokernel are annihilated by the ideal generated by u_0 and I_λ^0 . Therefore (1) follows from Lemma 3.10.

(2) Let $E_r^{p,q}$ be the spectral sequence associated with the filtered complex $\{\Omega_{\leq q}^*\}$. Then $E_1^{p,q} = H^{p+q}(\text{Gr}_{-q}^*) = 0$ except for finitely many pairs (p, q) by (1). So we have

$$\begin{aligned} \sum_p (-1)^p \dim H^p(\text{Gr}^*) &= \sum_{p,q} (-1)^{p+q} \dim E_1^{p,q} = \sum_{p,q} (-1)^{p+q} \dim E_2^{p,q} \\ &= \dots \\ &= \sum_{p,q} (-1)^{p+q} \dim E_\infty^{p,q} = \sum_p (-1)^p \dim H^p(\Omega^*). \end{aligned}$$

Therefore it suffices to show the statement for Gr^* . Let

$$\text{Poin}(\text{Gr}^*; x, y) = \sum_{p,q} (\dim \text{Gr}_q \Omega^p) x^q y^p$$

and set $y = -1$. We get

$$\begin{aligned} \text{Poin}(\text{Gr}^*; x, -1) &= \sum_{p,q} (\dim (\text{Gr}_q \Omega^p) x^q (-1)^p \\ &= \sum_q x^q \sum_p (-1)^p \dim H^p(\text{Gr}_q^*). \end{aligned}$$

Define $\Psi(\mathcal{A}; x, t) = \text{Poin}\left(\text{Gr}^*; x, \frac{t(1-x)-1}{x}\right)$. The formula $\Psi(\mathcal{A}; 1, t) = \chi(\mathcal{A}, t)$ was proved in [15, Theorem 5.3]. Thus we have

$$\begin{aligned} \chi(\mathcal{A}, 1) &= \Psi(\mathcal{A}; 1, 1) = \lim_{x \rightarrow 1} \text{Poin}(\text{Gr}^*; x, -1) \\ &= \sum_p (-1)^p \dim H^p(\text{Gr}^*). \end{aligned}$$

4 The number of critical points

In this section we prove Theorem 1.1. First we find an algebraic set $Y \subset \mathbb{C}^n$ so that for each $\lambda \in \mathbb{C}^n - Y$, Φ_λ has only finitely many critical points, all of

which are nondegenerate and the number of critical points of Φ_λ is independent of $\lambda \in \mathbb{C}^n - Y$. We call $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{C}^n - Y$ **generic**.

Proposition 4.1 *Define $Z = \{(\lambda, v) \in \mathbb{C}^n \times M \mid \omega_\lambda(v) = 0\}$. Then Z is an n -dimensional complex manifold*

Proof. Note that Z is the zero set of the ℓ equations

$$\frac{\partial f}{\partial u_j} + \sum_{i=1}^n \lambda_i \frac{\alpha_{j,i}}{\alpha_i(v)} = 0, \quad 1 \leq j \leq \ell.$$

The Jacobian at (λ, v) is an $(n + \ell) \times \ell$ matrix which may be written as $J = [AH]$. Here A is an $n \times \ell$ matrix with $A_{i,j} = \alpha_{j,i}/\alpha_i(v)$ and H is the Hessian matrix of Definition 2.5. Since \mathcal{A} is essential, the matrix A has rank ℓ for all (λ, v) . \square

Proposition 4.2 *Define the projection $p : Z \rightarrow \mathbb{C}^n$ by $p(\lambda, v) = \lambda$. Then (λ, v) is a critical point of p if and only if v is a degenerate critical point of Φ_λ .*

Proof. The tangent space $T_{(\lambda,v)}Z$ of Z at (λ, v) is naturally identified with the kernel of the matrix map $J = [AH] : \mathbb{C}^{n+\ell} \rightarrow \mathbb{C}^\ell$. Thus $(dp)_{(\lambda,v)} : T_{(\lambda,v)}Z \rightarrow T_\lambda \mathbb{C}^n$ is not surjective if and only if it is not injective if and only if $\det H_\lambda(v) = 0$. \square

Proposition 4.3 *There exists a closed algebraic proper subset $Y_2 \subset \mathbb{C}^n$ so that if $\lambda \in \mathbb{C}^n - Y_2$, then the critical points of the function Φ_λ in M are nondegenerate.*

Proof. Let $D \subset \mathbb{C}^n$ be the discriminant of the projection $p : Z \rightarrow \mathbb{C}^n$. By Sard's theorem, D is nowhere dense in \mathbb{C}^n . Since D is a constructible set, it is contained in a closed algebraic proper subset Y_2 of \mathbb{C}^n . The conclusion follows from Proposition 4.2. \square

Theorem 4.4 *There exists a closed algebraic proper subset Y of \mathbb{C}^n such that for each $\lambda \in \mathbb{C}^n - Y$, Φ_λ has only finitely many critical points, all of which are nondegenerate, and the number of critical points of Φ_λ is independent of $\lambda \in \mathbb{C}^n - Y$. Denote this number by $\gamma(\mathcal{A})$.*

Proof. Case 1. Assume that the map $p : Z \rightarrow \mathbb{C}^n$ is not dominant so the image of p is not dense in \mathbb{C}^n . Then the image $p(Z)$ is contained in a closed algebraic proper subset Y . Obviously, Y satisfies the condition. In this case $\gamma(\mathcal{A}) = 0$.

Case 2. Assume that the map $p : Z \rightarrow \mathbb{C}^n$ is dominant. Then there exists a closed algebraic proper subset which contains Y_1 and Y_2 such that for $U = \mathbb{C}^n - Y$ the map $p|_{p^{-1}(U)} : p^{-1}(U) \rightarrow U$ is a surjective covering map and the number of points in a fiber is constant. In this case $\gamma(\mathcal{A}) > 0$. \square

This establishes the first part of Theorem 1.1. It remains to prove the equality $\gamma(\mathcal{A}) = |\chi(\mathcal{A}, 1 - r)|$. The following lemma of de Rham type was proved by Saito [13].

Lemma 4.5 (de Rham-Saito) *Let A be a Noetherian ring. Let N be a free A -module of rank ℓ with basis e_1, \dots, e_ℓ so $N = \bigotimes_{i=1}^\ell A e_i$, and let $\Lambda^p N$ be the p -th exterior power of N . Let $\omega \in N$. Write $\omega = \sum_{i=1}^\ell a_i e_i$. Let I be the ideal generated by the coefficients a_i for $1 \leq i \leq \ell$. Consider the cochain complex*

$$\dots \longrightarrow \Lambda^{p-1} N \longrightarrow \Lambda^p N \longrightarrow \Lambda^{p+1} N \longrightarrow \dots,$$

where the coboundary maps are given by $\phi \mapsto \omega \wedge \phi$. Let H^p denote the cohomology of this complex. Let $d = \text{depth}_I A$ be the maximal length of an A -regular sequence in I . Then we have $H^p = 0$ for $0 \leq p < d$. \square

Define an algebraic proper subset Y_3 of \mathbb{C}^n by

$$Y_3 = \bigcup_{X \in L(\mathcal{A})} \left\{ \sum_{x \subseteq H_i} \lambda_i = 0 \right\}.$$

Proposition 4.6 *If $\lambda \in \mathbb{C}^n - (Y \cup Y_3)$, then*

- (1) $H^p(\Omega_\lambda^*) = 0$ for $0 \leq p < \ell$, and
- (2) $\dim H^\ell(\Omega_\lambda^*) = |C(\Phi_\lambda)|$.

Proof. (1) It suffices to show that the localization $H^p(\Omega_\lambda^*)_v = 0$ for all $v \in V$. Choose an arbitrary $v \in V$ and fix it. By translating the coordinates we may assume that v is the origin.

Case 1. Suppose $v \notin M(\mathcal{A})$. We may assume that $\mathcal{A} = \{H_1, \dots, H_n\}$ with $v \in H_i$ for $i = 1, \dots, k$ and $v \notin H_i$ for $i = k+1, \dots, n$. Then $\alpha_1, \dots, \alpha_k$ are homogeneous of degree one. Define $\pi_v = \alpha_{k+1} \dots \alpha_n$. Then $\pi_v(v) \neq 0$. Suppose $\eta \in \Omega^p(\mathcal{A})_v$ with $\omega_\lambda \wedge \eta = 0 \in \Omega^{p+1}(\mathcal{A})_v$. Note that $\pi_v \theta_E \in D(\mathcal{A})$, where θ_E is the Euler derivation. Recall the ideal I_λ of S from Proposition 2.7. It annihilates the S -modules $H^p(\Omega_\lambda^*)_v$ for all p . Note that $\langle \pi_v \theta_E, \omega_u \rangle \in I_\lambda$. Write f as a sum of its homogeneous components, $f = \sum_{m=0}^r f_{(m)}$. We have

$$\langle \theta_E, \omega_\lambda \rangle = \sum_{m=0}^r m f_{(m)} + \sum_{i=1}^k \lambda_i + \sum_{i=k+1}^n \lambda_i \frac{\bar{\alpha}_i}{\alpha_i},$$

where $\bar{\alpha}_i$ is the degree one homogeneous part of α_i for $k+1 \leq i \leq n$. By assumption $\sum_{i=1}^k \lambda_i \neq 0$. The remaining terms lie in the maximal ideal of S_v . Thus $\langle \theta_E, \omega_\lambda \rangle$ is a unit in S_v . Since $\pi_v(V) \neq 0$, $\langle \pi_v \theta_E, \omega_\lambda \rangle \in I_\lambda$ is also a unit in S_v . This shows that $v \notin V(I_\lambda)$ and that $H^p(\Omega_\lambda^*)_v = 0$ for all p .

Case 2. Suppose $v \in M(\mathcal{A}) - C(\Phi_\lambda)$. Since $V(I_\lambda) \cap M(\mathcal{A}) = C(\Phi_\lambda)$ by Proposition 2.7, we have $v \notin V(I_\lambda)$. Since the ideal I_λ annihilates $H^p(\Omega_\lambda^*)_v$ by Proposition 2.11, we have $H^p(\Omega_\lambda^*)_v = 0$ for all p .

Case 3. Suppose $v \in C(\Phi_\lambda)$. The 1-form ω_λ vanishes at v . Note that α_i is a unit in S_v for $1 \leq i \leq n$. Write

$$\omega_\lambda = \sum_{i=1}^{\ell} g_i du_i \in \Omega^1(\mathcal{A})_v,$$

with $g_i \in S_v$ for $1 \leq i \leq \ell$. Since $g_i(v) = 0$ for $1 \leq i \leq \ell$, we can define a holomorphic map germ

$$G = (g_1, \dots, g_\ell) : (\mathbb{C}^\ell, 0) \longrightarrow (\mathbb{C}^\ell, 0).$$

The Jacobian matrix of the map germ G is equal to the Hessian matrix $H = H_\lambda$ from Proposition 2.5. Since $\lambda \in \mathbb{C}^n - Y$, v is a nondegenerate critical point of Φ_λ . Thus $\det G$ does not vanish at v . This implies that the map germ G is locally biholomorphic so v is an isolated zero of G with multiplicity one. Therefore g_1, \dots, g_ℓ form a regular sequence. We can apply Lemma 4.5 to $A = S_v$, $N = \Omega^1[V]_v$, $\omega = \omega_\lambda$, $e_i = du_i$, and $d = \ell$ to prove (1).

(2) In the proof of (1) we showed that $V(I_\lambda) = C(\Phi_\lambda)$. Let $v \in C(\Phi_\lambda)$. We use the notation of *Case 3* above. Since v is a zero of $G = (g_1, \dots, g_\ell)$ with multiplicity one, we have

$$\dim S_v/(g_1, \dots, g_\ell)S_v = 1.$$

Note that $D(\mathcal{A})_v = S_v(\partial/\partial u_1) + \dots + S_v(\partial/\partial u_\ell)$ because $v \in M$. Thus $(I_\lambda)_v = (g_1, \dots, g_\ell)S_v$. Therefore

$$\begin{aligned} \dim H'(\Omega_\lambda^*) &= \sum_{v \in C(\Phi_\lambda)} \dim H'(\Omega_\lambda^*)_v \\ &= \sum_{v \in C(\Phi_\lambda)} \dim S_v/(I_\lambda)_v = \sum_{v \in C(\Phi_\lambda)} \dim S_v/(g_1, \dots, g_\ell)S_v = |C(\Phi_\lambda)|. \quad \square \end{aligned}$$

To complete the proof of the second part of Theorem 1.1, let $\lambda \in \mathbb{C}^n - (Y \cup Y_3)$ so $\chi(\mathcal{A}) = |C(\Phi_\lambda)|$. Apply Proposition 4.6, Theorem 3.3, and Theorem 3.12 to get

$$\chi(\mathcal{A}) = |C(\Phi_\lambda)| = \dim H'(\Omega_\lambda^*) = |\chi(\Omega_\lambda^*)| = |\chi(\mathcal{A}, 1 - r)|.$$

This completes the proof of Theorem 1.1.

Example 4.7 Let $\ell = 1, n = 3$, and $r = 0$. Consider $\Phi_\lambda = (u-1)^{\lambda_1} u^{\lambda_2} (u+1)^{\lambda_3}$.

Here $M = \mathbb{C} - \{-1, 0, 1\}$. Y_1 is defined by $\lambda_1 + \lambda_2 + \lambda_3 = 0$. Y_1 contains the points where $|C(\Phi_\lambda)| = \infty$. Y_2 is defined by $(\lambda_3 - \lambda_1)^2 + 4\lambda_2(\lambda_1 + \lambda_2 + \lambda_3) = 0$. Y_2 contains the discriminant. At these points $|C(\Phi_\lambda)| = 1$. We may choose Y as the union of Y_1, Y_2 and the three coordinate planes. All $\lambda \in \mathbb{C}^3 - Y$ are generic and Φ_λ has $|\chi(M)| = 2$ nondegenerate critical points in M .

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