

Proof Systems for Message–Passing Process Algebras

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Abstract. We give sound and complete proof systems for a variety of bisimulation based equivalences over a message-passing process algebra. The process algebra is a generalisation of pure *CCS* where the actions consist of receiving and sending messages or data on communication channels; the standard prefixing operator $a.p$ is replaced by the two operators $c?x.p$ and $c!e.p$ and in addition messages can be tested by a conditional construct. The various proof systems are parameterised on auxiliary proof systems for deciding on equalities or more general boolean identities over the expression language for data. The completeness of these proof systems are thus relative to the completeness of the auxiliary proof systems.

1. Introduction

In standard or *pure* process algebras processes are described in terms of their ability to perform atomic unanalysed actions. For example

$$P \Leftarrow a.P + b.c.P$$

describes a process which can continually either perform the action a or the sequence of actions b, c . By a *message–passing process algebra* we mean a process algebra in which these actions are given some structure; namely the reception or emission of data values on communication channels. Thus

$$Q \Leftarrow c?x. \text{if } x \geq 0 \text{ then } d!x.Q \text{ else } c!(x+1).Q$$

describes a process which can cyclically input a value along the channel c and either output it along the channel d unchanged or output its successor along c , depending on whether or not the value concerned is greater than or equal to 0.

The standard approach to providing a semantic basis for these message-passing algebras, advocated for example in [Mil89], is to translate them into an underlying pure algebra. The central feature of this translation, mapping p to $\llbracket p \rrbracket$, is that the input expression $c?x.p$ is mapped into the term

$$\sum_{v \in Val} c?v.\llbracket p[v/x] \rrbracket$$

where Val is the domain of all data values. Thus the translation of the process Q above is

$$R \Leftarrow \sum_{n \geq 0} c?n.d!n.R + \sum_{n < 0} c?n.c!(n+1).R$$

This may be taken to be a description in a pure process algebra where we assume that for each channel name c and for every data-value v , in this case every integer, there are atomic actions $c?v$ and $c!v$.

There are two disadvantages in this approach. The first is that descriptions which are in some intuitive sense finite are translated into processes which are inherently infinite, at least if the domain of possible values, Val , is infinite; it is necessary to have in the underlying pure process algebra a summation operator Σ_I where I has the same cardinality as the value domain. Such process algebras are difficult to use. For example the standard algorithms and verification tools, see e.g. [CPS89], do not apply and equational reasoning is difficult since any proof system based on this approach is of necessity infinitary. The second disadvantage is that with such translations *uniformities* which exist in the object description disappear in the translation. For example the subsequent behaviour of Q above after the reception of an input v is described functionally by the term $\lambda x. \text{if } x \geq 0 \text{ then } d!x.Q \text{ else } c!(x+1).Q$. This uniform treatment of inputs is not apparent in the translation, R . Although the notion of *uniformity* is difficult to define precisely, it should play a central role in proving properties of message passing systems. The object of this paper is to develop a semantic theory of message-passing processes which takes advantage of this uniformity. In particular our semantic theory will apply directly to the syntax of message-passing processes and will not be mediated by a translation into an infinitary language. As a result the associated proof systems will be in some sense finitary.

Such theories already exist for value-passing processes. In [HeI93] a fully-abstract denotational model is presented while in [Hen91] a sound finitary proof system is given which is also complete for recursion free processes. However all of this work is with respect to a particular behavioural equivalence called *testing equivalence*, [Hen88]. Here we wish to consider an alternative and much finer behavioural equivalence, bisimulation equivalence from [Mil89]. The main result of the paper is a series of sound and complete proof systems, with respect to a range of bisimulation based equivalences, for a recursion free message-passing process algebra.

For most of the paper we restrict our attention to a very simple language which consists essentially of a notation for the empty process, *nil*, a choice operator $+$ and action prefixing; other operators such as forms of parallel or restriction can easily be accommodated. However, when actions take the form $c?x$ and $c!e$, in order for the language to be of interest we also need to be able to test data and

branch on the consequences of the test. Syntactically this could be represented by an *if b then ... else ...* construction, where b is a boolean expression, but instead we use the simpler notation of guarded commands, $b \rightarrow t$. Thus

$$c?x.(x = 0 \rightarrow d!0.nil + x > 0 \rightarrow d!1.nil)$$

is a process which inputs a value on c and outputs 0 on d if the input is 0 and 1 if it is greater than 0 and does nothing otherwise. In order to reason about these kinds of processes it is necessary, in general, to reason about data expressions. So following [Hen91] we design a proof system whose judgements are *guarded equations* of the form

$$b \triangleright t = u$$

where b is a boolean expression and t, u are process terms that may contain free data variables. Semantically this should be read as “under any evaluation of free data variables that satisfies b , t is semantically equivalent to u ”. The completeness of the proof system is thus relative to that for the data domain involved. Moreover rather than getting embroiled in the details of an actual proof system for data expressions we simply assume the existence of some all powerful mechanism for answering arbitrary questions about data. On the one hand this enables us to concentrate on the behaviour of processes and on the other it reflects what would be a reasonable implementation strategy for a proof system based on our results; the main proof system would be based on the proof rules whose applicability is determined by the structure of processes and this main system would periodically call auxiliary proof systems to establish facts about data expressions. A simple example of a proof rule from the main system is

$$\frac{b \triangleright t_i = u_i \quad i = 1, 2}{b \triangleright t_1 + t_2 = u_1 + u_2}$$

while

$$\frac{b \models e = e', \quad b \triangleright t = u}{b \triangleright c!e.t = c!e'.u}$$

is a rule which depends on a call to an auxiliary proof system concerned with the data domain; this is expressed in rather abstract terms, one of the antecedents referring to the semantics of the expressions b , e and e' ; as we shall see $b \models e = e'$ is true if the intended meaning of the boolean b always implies the intended meaning of e equals that of e' . Of course the reasoning about processes can not be completely divorced from the reasoning about data and an example of where they interact is the cut rule

$$\frac{b \models b_1 \vee b_2, \quad b_1 \triangleright t = u \quad b_2 \triangleright t = u}{b \triangleright t = u}$$

This enables a proof to be developed by case analysis on the data.

The soundness of such a proof system depends on having a semantic equivalence for processes and as we have already stated in this paper we are interested in bisimulation-like semantics. As a starting point we use strong bisimulation, [Mil89], but as has been pointed out in [MPW92, HeL92] there are at least two natural generalisations of this equivalence to message-passing processes. The first, called *early strong bisimulation equivalence*, is based on the ability of processes to perform actions of the form $c?v$ and $c!v$ while the second is based on the slightly

more abstract actions $c?$ and $c!e$. Thus the processes

$$c?x. \text{even}(x) \rightarrow P + c?x. \text{odd}(x) \rightarrow P$$

and

$$c?x.P + c?x.\text{nil}$$

are identified by the early version of the equivalence but are differentiated in the late case because the $c?$ move from the first to the abstraction

$$\lambda x. \text{even}(x) \rightarrow P$$

can not be matched by a corresponding $c?$ move from the second. Each of these generalisations of strong bisimulation equivalence has a corresponding “weak” version in which internal moves are abstracted. Thus in all we have four reasonable semantic equivalences and for each of these we present a corresponding proof system. In the strong cases the difference between early and late is simply the addition of an axiom, or more correctly an axiom schema, adapted from that used in [PaS93] for the π -calculus. On the other hand the weak version of both equivalences can be obtained by adding to the corresponding proof system the standard τ -laws from [Mil89].

The judgements of the proof systems involve *open* process terms, i.e. terms in which data variables need to be instantiated before any operational significance can be associated with them, but the observational equivalences are only defined on closed terms. Therefore in order to even express the soundness and completeness of the proof systems we need to generalise these equivalences to open terms. For each of these semantic equivalences, \simeq , we design a proof system with the property that

$$b \triangleright t = u \text{ if and only if } t\rho \simeq u\rho \text{ for every evaluation } \rho \text{ satisfying } b$$

As usual establishing soundness is straightforward but completeness requires some ingenuity. Here we use the approach of [HeL92] and introduce symbolic versions of each of the semantic equivalences which are defined directly on open terms. These are expressed in terms of families of relations over open terms parameterised on boolean expressions and we show that, for each semantic equivalence \simeq we consider,

$$t \simeq^b u \text{ if and only if } t\rho \simeq u\rho \text{ for every evaluation } \rho \text{ satisfying } b$$

Thus soundness and completeness of the proof systems can be established relative to the symbolic semantic relations \simeq^b . Using this approach the completeness theorems in particular now become “symbolic versions” of the standard completeness theorems of [Mil89], although the details are somewhat more complicated.

We now give a brief outline of the content of the remainder of the paper. In the next section we define the simple language, give it a *concrete* operational semantics and define (early) strong bisimulation. This is followed by a discussion of the proof system for proving processes bisimilar. We state the soundness theorem for the system and indicate the difficulty in proving completeness. In the following section, Section 3, we define the symbolic semantics and the associated symbolic bisimulation equivalence and prove that it captures precisely the concrete bisimulation equivalence over processes. We then use this result to show the completeness of the proof system.

In Section 4 we repeat these results for *weak* bisimulation equivalence where again it is necessary to develop an appropriate definition of *weak* symbolic equiv-

alence. The following section outlines corresponding results for a *late* operational semantics and considers both the strong and weak cases. We end by discussing briefly how to extend these results to other language constructs. We believe that a suitable form of Unique Fixpoint Induction can also be elaborated which will lead to a very useful and powerful proof system for recursively defined processes. However this we leave for future work.

1.1. Related Work

We end this section with a brief discussion of related work. As stated previously the approach we have taken is based on that of [Hen91] where a sound and complete proof system for *testing equivalence* is developed. Here we tackle various bisimulation based equivalences and an essential ingredient of the completeness theorems is the notion of *symbolic bisimulation equivalence*. This has already been used in [HeL92] to develop an algorithm for checking whether two message-passing processes are equivalent and in [HeL95] for developing a proof system to verify that such processes satisfy properties described by formulae from a first-order modal logic.

The more standard approach to message-passing processes is to translate them into “pure processes” as outlined at the beginning of this section [Mil89, HoR86]. Indeed in [Bur91] a front-end for the Concurrency Workbench is described which translates message-passing processes from a language such as ours into “pure processes” which can be accepted by the Concurrency Workbench and various examples treated using this approach may be found in [Wal89]. However these approaches require the set of values to be finite and even using the boolean value space of two elements leads to an exponential blow-up in the size of descriptions. We hope that with our approach at least some of this complexity can be avoided. In [Lin93] an extension of the *PAM* verification system, [Lin91], based on our results, is described. It offers much the same functionality as the the original *PAM* except that message-passing process algebras can be defined and the proof elaboration scheme is more flexible.

In [GrP90] a very general language for describing message-passing, based on *ACP*, is described and in [GrP91] a proof theory is given. Although these goals are quite similar to ours their approach is very different. A modular algebraic specification language is used to describe data domains and the description of processes is such that it may be viewed as consisting of another module. They continue to view message-passing processes as universally quantified versions of “pure processes”, the quantification being over the domain of messages, but they bring to bear the general framework of algebraic specifications in order to handle proof theoretically this quantification. Nevertheless it would be interesting to compare the two approaches.

Recently, proof systems for late and early strong bisimulation equivalences over the π -calculus, [MPW92], have been given in [PaS93]. Indeed it is from this paper we have borrowed our axiom for the early version of bisimulation equivalence. At one level the π -calculus may be viewed as a particular instance of a message-passing calculus, where the data-type of messages is the very simple one of channel names. Viewed in this manner our proof systems could be adapted for the π -calculus and, because of the simplicity of the domain of messages, our proof rules involving the semantic domain of messages would be very simple. But certain uses of channels, in particular their use with the *restriction operator* to generate private names, means that in fact the π -calculus is strictly more powerful than our

notion of a message-passing calculus specialised to the case where the messages are channel names. However this extra complication is adequately provided for in the proof systems of [PaS93]; these achieve much of their power from the blurring of variables and constants which occurs in the π -calculus.

2. A Simple Language

The language we consider can be given by the following BNF grammar

$$\begin{aligned} t &::= \text{nil} \mid \alpha.t \mid t+t \mid b \rightarrow t \\ \alpha &::= \tau \mid c?x \mid c!e \end{aligned}$$

where b is a boolean expression, e data expression, c is a channel name and x a data variable. So this syntax assumes a predefined set of channel names, $Chan$, ranged over by c and a set of data variables, $DVar$, ranged over by x, y, \dots . More importantly it also assumes a language for data expressions $DExp$, ranged over by e, e', \dots and a similar language $BExp$, ranged over by b , for boolean expressions with the usual set of operators $\vee, \wedge, \neg, \dots$. At the very least we assume that $DExp$ contains the set of data variables $DVar$ and also a set of data values Val and for every pair of data expressions e, e' we assume that $e = e'$ is a boolean expression. We also assume that all free variables in boolean expressions are data variables. Apart from this we do not worry about the expressive power of these languages although the results on symbolic bisimulations require that the language for boolean expressions is very powerful; sufficiently expressive to characterise arbitrary collections of evaluations.

An *evaluation*, ρ is a mapping from $DVar$ to Val and we use the standard notation $\rho\{v/x\}$ to denote the evaluation which differs from ρ only in that it maps x to v . An application of ρ to a data expression e , denoted $\rho(e)$, always yields a value from Val and similarly for boolean expressions; $\rho(b)$ is either true or false. Thus we assume that evaluation of data and boolean expressions always terminate and our approach is to work modulo these evaluations. We also assume that these evaluations satisfy standard properties; each expression e has associated with it a set of variables $fv(e)$ and, for example, if ρ and ρ' agree on $fv(e)$ then $\rho(e) = \rho'(e)$. If an expression e has no variables, it is *closed*, then $\rho(e)$ is independent of ρ and we use $\llbracket e \rrbracket$ to denote its value. Similarly with boolean expressions. We will use the suggestive notation $b \models b'$ to indicate that for every evaluation ρ if $\rho(b)$ is true then so is $\rho(b')$. Of course we could equally well say that $b \rightarrow b'$ is a logical theorem but our notation emphasises the fact that we wish to work modulo the semantics of expressions. In line with this notation we use $\rho \models b$ to indicate that $\rho(b) = \text{true}$. We will also write $b = b'$ for $b \models b'$ and $b' \models b$.

We will also refer to substitutions, and assume that they satisfy the expected properties; we use $e[e'/x]$ to denote the result of substituting e' for all occurrences of x in e . More generally a substitution σ is a mapping from data variables to expressions and we use $e\sigma$ to denote the result of applying σ to the expression e .

Returning to the process language above, the prefix $c?x$ binds the occurrence of x in the sub-term t of $c?x.t$ and we have as usual the sets of free variables $fv(u)$ and bound variables $bv(u)$ of a term u ; of course these depend in general on the variables in the data and boolean expressions contained in u . This leads to the standard definition of α -conversion, \equiv_α , over terms and of substitution, $t[e/x]$ denoting the result of substituting all free occurrences of x in t by e , and this relies on the definition of substitution in data expressions. A term is *closed* if it

$$\begin{array}{l}
\tau.p \xrightarrow{e} p \\
c!e.p \xrightarrow{c!v} p \\
c?x.t \xrightarrow{c?v} t[v/x] \\
p \xrightarrow{a} p' \\
p \xrightarrow{a} p', \llbracket b \rrbracket = \text{true}
\end{array}
\quad
\begin{array}{l}
\text{where } \llbracket e \rrbracket = v \\
c \in \text{Chan}, v \in \text{Val} \\
\text{implies } p + q \xrightarrow{a} p' \\
q + p \xrightarrow{a} p' \\
\text{implies } b \rightarrow p \xrightarrow{a} p'
\end{array}$$

Fig. 1. (Early) Operational semantics.

$$\begin{array}{l}
\text{S1 } X + \text{nil} = X \\
\text{S2 } X + X = X \\
\text{S3 } X + Y = Y + X \\
\text{S4 } (X + Y) + Z = X + (Y + Z)
\end{array}$$

Fig. 2. The axioms \mathcal{A}_1 .

contains no free variables and these we refer to as *processes*, ranged over by p, q, \dots . Throughout the paper *open terms* refer to terms that may contain free occurrences of data variables, but no process variables. Open terms are ranged over by t, u, \dots ; we give the following precedence to the operators (in decreasing order): \rightarrow . $+$.

The standard operational semantics of this language is given in Fig. 1. It consists of a set of binary relations, \xrightarrow{a}_e , between processes, where a ranges over the set $\text{Act} = \{\tau, c?v, c!v \mid v \in \text{Val}\}$. In [MPW92, HeL92] this is referred to as the *early* operational semantics as when input terms such as $c?x.p$ perform an action the value received is immediately bound to the variable x . In Section 5 we will see a slightly different way of organising input actions where this binding is delayed.

A symmetric relation R between closed terms is a strong bisimulation if it satisfies: $(p, q) \in R$ implies that for every $a \in \text{Act}$

$$\text{whenever } p \xrightarrow{a}_e p' \text{ then there exists } q \xrightarrow{a}_e q' \text{ and } (p', q') \in R$$

where a ranges over $\{\tau, c?v, c!v \mid v \in \text{Val}\}$. We use \sim_e to denote the largest (early) strong bisimulation. This relation generalizes naturally to open terms by letting $t \sim_e u$ iff $t\rho \sim_e u\rho$ for any ρ . We then have

Proposition 2.1. \sim_e is preserved by every operator in the language. \square

By transition induction it can be easily shown that α -equivalent processes have the same transitions (up to α -equivalence):

Lemma 2.1. If $p \equiv_\alpha q$ and $p \xrightarrow{a}_e p'$ then $q \xrightarrow{a}_e q'$ for some $q' \equiv_\alpha p'$. \square

From this lemma it follows that α -equivalent processes are bisimilar:

Proposition 2.2. If $p \equiv_\alpha q$ then $p \sim_e q$. \square

We now consider a proof system for deriving statements about $p \sim_e q$. In general we will need to consider open terms because in order to prove a statement such as $c?x.t = c?x.u$ it is necessary to relate the open terms t and u . Also because we allow testing of data we will need to establish statements relative to a boolean expression b . Thus the judgements are *guarded equations* of the form

$$\begin{array}{l}
\text{EQUIV} \quad \frac{}{\text{true} \triangleright t = t} \quad \frac{b \triangleright t = u}{b \triangleright u = t} \quad \frac{b \triangleright t = u, u = v}{b \triangleright t = v} \\
\text{EQN} \quad \frac{}{\text{true} \triangleright t\sigma = u\sigma} \quad t = u \text{ is an axiom} \\
\text{CONGR} \quad \frac{b \triangleright t_i = u_i \quad i = 1, 2}{b \triangleright t_1 + t_2 = u_1 + u_2} \\
\alpha\text{-CONV} \quad \frac{}{\text{true} \triangleright c?x.t = c?y.t[y/x]} \quad y \notin fv(t) \\
\text{INPUT} \quad \frac{b \triangleright t = u}{b \triangleright c?x.t = c?x.u} \quad x \notin fv(b) \\
\text{OUTPUT} \quad \frac{b \models e = e', \quad b \triangleright t = u}{b \triangleright c!e.t = c!e'.u} \\
\text{TAU} \quad \frac{b \triangleright t = u}{b \triangleright \tau.t = \tau.u} \\
\text{GUARD} \quad \frac{b \wedge b' \triangleright t = u \quad b \wedge \neg b' \triangleright \text{nil} = u}{b \triangleright b' \rightarrow t = u} \\
\text{CUT} \quad \frac{b \models b_1 \vee b_2, \quad b_1 \triangleright t = u \quad b_2 \triangleright t = u}{b \triangleright t = u} \\
\text{ABSURD} \quad \frac{}{\text{false} \triangleright t = u}
\end{array}$$

Fig. 3. The inference rules.

$$b \triangleright t = u$$

where b , the *guard*, is a boolean expression. For brevity we usually write $t = u$ for $\text{true} \triangleright t = u$.

The basis for the proof system are the standard set of equations for strong bisimulation equivalence over CCS, [Mil89], given in Fig. 2. The rules for the proof system are given in Fig. 3; in the rule EQN σ is any mapping from process variables to process terms. Note that reference is made to the semantics of data expressions in the OUTPUT rule, for establishing identities of the form $c!e.t = c!e'.u$, and in the CUT rule, which is used to perform proofs by case analysis. The rule GUARD also uses a case analysis; an identity of the form $b \rightarrow t = u$ may be established by considering two cases, one when b is true and the other when it is false. This means that the development of a proof in this system, specifically the application of the OUTPUT and CUT rules, requires the establishment of facts about the data domain. These are the only two rules which rely on such facts but they can be used to derive other useful rules of a similar nature such as

$$\text{CONSEQUENCE} \quad \frac{b \models b', \quad b' \triangleright t = u}{b \triangleright t = u}$$

The side condition, $x \notin fv(b)$, in the rule INPUT is essential, as otherwise it would not be sound. It could be used to prove

$$x = 1 \triangleright c?x.c!1.nil = c?x.c!x.nil$$

because

$$x = 1 \triangleright c!1.nil = c!x.nil.$$

With this side condition it is sound but not sufficiently powerful to derive all true identities between early bisimilar processes. For example the two processes discussed in the introduction

$$c?x. even(x) \rightarrow P + c?x. odd(x) \rightarrow P \quad \text{and} \quad nc?x.P + c?x.nil$$

are strong bisimulation equivalent but can not be proved equivalent using this restricted rule.

To overcome this problem we adapt the axiom used in [PaS93] to characterise early strong bisimulation equivalence in the π -calculus to obtain the axiom schema:

$$\text{EA} \quad c?x.t + c?x.u = c?x.t + c?x.u + c?x(b \rightarrow t + \neg b \rightarrow u).$$

This is an absorption law. The process term $c?x.t + c?x.u$ can absorb the term $c?x(b \rightarrow t + \neg b \rightarrow u)$ for any boolean expression b .

Let us write $\vdash_1 b \triangleright t = u$ to mean that $b \triangleright t = u$ can be derived from these equations using the rules in Fig. 3.

The soundness of \vdash_1 is given by the following proposition:

Proposition 2.3. If $\vdash_1 b \triangleright t = u$ and $\rho \models b$ then $t\rho \sim_e u\rho$

Proof. The proof is by induction on the derivation of $b \vdash_1 t = u$ and a case analysis on the last rule used. \square

The converse to this is also true but the proof is far from straightforward. The problem arises because \sim_e is only defined on closed terms whereas the proof system manipulates open terms. So there is no straightforward way to use structural induction on terms. Instead we develop a *symbolic* version of bisimulation equivalence for open terms which captures the standard bisimulation equivalence on all instantiations and then prove completeness with respect to this symbolic version.

Symbolic bisimulations are the topic of the next section and we finish the present section with some useful facts about the proof system, mainly concerning the guard construct:

Proposition 2.4.

1. $\vdash_1 b \rightarrow b' \rightarrow t = b \wedge b' \rightarrow t$
2. $\vdash_1 t = t + b \rightarrow t$
3. $b \models b'$ implies $\vdash_1 b \triangleright t = b' \rightarrow t$
4. $\vdash_1 b \wedge b' \triangleright t = u$ implies $\vdash_1 b \triangleright b' \rightarrow t = b' \rightarrow u$
5. $\vdash_1 b \rightarrow (t + u) = b \rightarrow t + b \rightarrow u$
6. $\vdash_1 b \rightarrow u + b' \rightarrow u = b \vee b' \rightarrow u$
7. if $fv(b) \cap bv(\alpha) = \emptyset$ then $\vdash_1 b \rightarrow \alpha.t = b \rightarrow \alpha.(b \rightarrow t)$

Proof. As examples we prove two of these statements.

- 2. Because $true \models b \wedge \neg b$, by the cut rule it is sufficient to prove the two statements

$$\vdash_1 b \triangleright t = t + b \rightarrow t \quad \text{and} \quad \vdash_1 \neg b \triangleright t = t + b \rightarrow t$$

The first is derived by an application of the equation S2 and $\vdash_1 b \triangleright t = b \rightarrow t$. This in turn is established by the GUARD rule applied to

$$\vdash_1 b \wedge b \triangleright t = t \quad \text{and} \quad b \wedge \neg b \triangleright t = \text{nil}$$

which are simple consequences of EQUIV and ABSURD respectively. The second statement above is derived by an application of S1 and

$$\vdash_1 \neg b \triangleright b \rightarrow t = \text{nil}$$

This in turn is an easy consequence of the GUARD rule.

- 7. Two applications of GUARD and two of ABSURD reduce this to

$$\vdash_1 b \triangleright \alpha.t = \alpha.(b \rightarrow t)$$

The proof now depends on the nature of α . For example if it is $c?x$ we know that $x \notin fv(b)$ and therefore by INPUT it can be reduced to $\vdash_1 b \triangleright t = b \rightarrow t$ which in turn follows from 3.

If α is τ or $c!e$ the derivation is even more straightforward. \square

As an illustration of the usefulness of this proposition we use it to drive a generalisation of the axiom EA:

Lemma 2.2. If $b_1 \vee b_2 = b$ and $b_1 \wedge b_2 = \text{false}$ then

$$\vdash_1 b \triangleright c?x.t + c?x.u = c?x.t + c?x.u + c?x.(b \rightarrow t + \neg b \rightarrow u)$$

Proof. By α -conversion we may assume $x \notin fv(b)$. Since $b_1 \wedge (b_2 \vee \neg b) = \text{false}$ and $b_1 \vee (b_2 \vee \neg b) = \text{true}$, applying EA we have

$$\vdash_1 c?x.t + c?x.u = c?x.t + c?x.u + c?x.(b_1 \rightarrow t + b_2 \vee \neg b \rightarrow u)$$

Hence, using Proposition 2.4,

$$\begin{aligned} \vdash_1 b \triangleright & c?x.t + c?x.u \\ &= c?x.t + c?x.u + c?x.b \rightarrow (b_1 \rightarrow t + b_2 \vee \neg b \rightarrow u) \\ &= c?x.t + c?x.u + c?x.(b \wedge b_1 \rightarrow t + b \wedge (b_2 \vee \neg b) \rightarrow u) \\ &= c?x.t + c?x.u + c?x.(b_1 \rightarrow t + b_2 \rightarrow u) \quad \square \end{aligned}$$

3. Symbolic Bisimulations

The reader is referred to [HeL92] for motivation and discussion on symbolic bisimulations. Here we adapt the definitions, which were originally given for *symbolic graphs*, to our language.

The abstract or symbolic transition relations are defined to be the least set of relations which satisfy the rules in Fig. 4. They take the form of relations $\xrightarrow{b,\alpha}$ between open terms, where b is a boolean expression and α is a prefix, i.e. it has one of the forms $\tau, c?x$ or $c!e$. Intuitively b acts like a guard: it enables the move when it is true. The bound variable used in the symbolic input transitions is not significant as the following lemma emphasises:

Lemma 3.1.

1. If $t \xrightarrow{b,\alpha} t'$ then $fv(b) \subseteq fv(t)$, $bv(\alpha) \cap fv(t) = \emptyset$ and $fv(t') \subseteq fv(t) \cup bv(\alpha)$.

$$\begin{array}{lcl}
\alpha.t \xrightarrow{true,\alpha} t & & \alpha \in \{\tau, c!e \mid c \in Chan, e \in Exp\} \\
c?x.t \xrightarrow{true,c?y} t[y/x] & & y \notin fv(c?x.t) \\
t \xrightarrow{b',\alpha} t' & \text{implies} & b \rightarrow t \xrightarrow{b \wedge b',\alpha} t' \\
t \xrightarrow{b,\alpha} t' & \text{implies} & t + u \xrightarrow{b,\alpha} t' \\
& & u + t \xrightarrow{b,\alpha} t'
\end{array}$$

Fig. 4. Symbolic operational semantics.

2. If $t \xrightarrow{b,c?x} t'$ then $t \xrightarrow{b,c?y} t'[y/x]$ for any $y \notin fv(t)$.

Proof. By transition induction. \square

The symbolic actions can be related to the concrete actions in the following manner:

Lemma 3.2.

1. If $t\rho \xrightarrow{\tau}_e p$ then there exist b, t' s.t. $\rho \models b$, $p \equiv_{\alpha} t'\rho$ and $t \xrightarrow{b,\tau} t'$.
2. If $t \xrightarrow{b,\tau} t'$, $\rho \models b$ then $t\rho \xrightarrow{\tau}_e p$ for some $p \equiv_{\alpha} t'\rho$.

Lemma 3.3.

1. If $t\rho \xrightarrow{c!v}_e p$ then there exist b, e, t' s.t. $\rho \models b$, $\rho(e) = v$, $p \equiv_{\alpha} t'\rho$ and $t \xrightarrow{b,c!e} t'$.
2. If $t \xrightarrow{b,c!e} t'$, $\rho \models b$ then $t\rho \xrightarrow{c!v}_e p$ for some $p \equiv_{\alpha} t'\rho$ where $v = \rho(e)$.

Lemma 3.4.

1. If $t\rho \xrightarrow{c?v}_e p$, $x \notin fv(t)$ then there exist b, t' s.t. $\rho \models b$, $p \equiv_{\alpha} t'\rho\{v/x\}$ and $t \xrightarrow{b,c?x} t'$.
2. If $t \xrightarrow{b,c?x} t'$, $\rho \models b$ then for any $v \in Val$ $t\rho \xrightarrow{c?v}_e p$ for some $p \equiv_{\alpha} t'\rho\{v/x\}$.

Proof. These lemmas can be proved by induction on the derivation of transitions. As an example we prove Lemma 3.4.

1. Apply induction on why $t\rho \xrightarrow{c?v}_e p$.

- $(c?y.u)\rho \xrightarrow{c?v}_e u\rho\{v/y\}$, $x \notin fv(c?y.u)$. Then $c?y.u \xrightarrow{true,c?x} u[x/y]$ and $u\rho\{v/y\} \equiv_{\alpha} u[x/y]\rho\{v/x\}$.
- $(b \rightarrow u)\rho \xrightarrow{c?v}_e p$ is because $\rho \models b$ and $u\rho \xrightarrow{c?v}_e p$. By induction there exist b', t' s.t. $\rho \models b'$, $p \equiv_{\alpha} t'\rho\{v/x\}$ and $u \xrightarrow{b',c?x} t'$. Hence $b \rightarrow u \xrightarrow{b \wedge b',c?x} t'$ and $\rho \models b \wedge b'$.
- $u\rho + q \xrightarrow{c?v}_e p$ is because $u\rho \xrightarrow{c?v}_e p$. Similar.

2. Apply induction on why $t \xrightarrow{b,c?x} t'$.

- $c?y.u \xrightarrow{true,c?x} u[x/y]$, $x \notin fv(c?y.u)$. We have $(c?y.u)\rho \xrightarrow{c?v}_e u[v/x]\rho \equiv_{\alpha} u[x/y]\rho\{v/x\}$.
- $b \rightarrow u \xrightarrow{b \wedge b',c?x} t'$ is because $u \xrightarrow{b',c?x} t'$. Since $\rho \models b \wedge b'$, $\rho \models b'$. By induction $u\rho \xrightarrow{c?v}_e p \equiv_{\alpha} t'\rho$. Hence $(b \rightarrow u)\rho \xrightarrow{c?v}_e p$.

- $t + u \xrightarrow{b,c?x} t'$ is because $t \xrightarrow{b,c?x} t'$. Similar. \square

Based on these symbolic actions we can define \mathcal{ESB} , (*early symbolic bisimulations*), which, for reasons explained in [HeL92], must be parameterised on boolean expressions. A finite set of boolean expressions B is called a b -partition if $\bigvee B = b$. Let $\mathbf{S} = \{S^b \mid b \in BExp\}$ be a family of relations over terms, indexed by boolean expressions. Then $\mathcal{ESB}(\mathbf{S})$ is the family of symmetric relations defined by:

$(t, u) \in \mathcal{ESB}(\mathbf{S})^b$ if whenever $t \xrightarrow{b_1, \alpha} t'$ with $bv(\alpha) \cap fv(b, t, u) = \emptyset$, there is a $b \wedge b_1$ -partition B with the property that for each $b' \in B$ there exists a $u \xrightarrow{b_2, \alpha'} u'$ such that $b' \models b_2$ and

1. if $\alpha = c!e$ then $\alpha' = c!e'$, $b' \models e = e'$ and $(t', u') \in S^{b'}$
2. otherwise $\alpha = \alpha'$ and $(t', u') \in S^{b'}$

Definition 3.1. (Symbolic Bisimulations) \mathbf{S} is an (early) strong symbolic bisimulation if $\mathbf{S} \subseteq \mathcal{ESB}(\mathbf{S})$, where \subseteq is point-wise inclusion.

Let $\sim_{\mathbf{E}} = \{\sim_E^b\}$ be the largest (early) strong symbolic bisimulation.

The interest in symbolic bisimulations lies in the fact they are defined with respect to the abstract operational semantics, which for finite terms can be represented as a finite transition graph; in contrast the standard “concrete” bisimulations are defined over infinite transitions graphs, at least if the set of values is infinite. In [HeL92] we give an algorithm for checking for this symbolic equivalence. Here we use it to show completeness of the proof systems. First we relate symbolic and concrete bisimulation equivalence.

Theorem 3.1. (Soundness and completeness of $\sim_{\mathbf{E}}$)

$t \sim_E^b u$ iff $t\rho \sim_e u\rho$ for every evaluation ρ such that $\rho \models b$.

Proof. (Outline) The proof follows the corresponding result in [HeL92], Theorem 6.5; it consists in establishing a relationship between symbolic bisimulations and concrete ones. If $\mathbf{S} = \{S^b\}$ is a strong symbolic bisimulation let

$$R_{\mathbf{S}} = \{(t\rho, u\rho) \mid \exists b, \rho \models b \text{ and } (t, u) \in S^b\}.$$

Soundness follows immediately if we can prove that $R_{\mathbf{S}}$ is a bisimulation. Conversely if R is a strong bisimulation let

$$S^b = \{(t, u) \mid \rho \models b \text{ implies } (t\rho, u\rho) \in R\}$$

for any boolean expression b . Completeness follows, as in [HeL92], if we can show that $\mathbf{S} = \{S^b\}$ is a symbolic bisimulation.

The proof of these two subsidiary results depends on the relationship between the abstract actions to the concrete actions given in Lemmas 3.2, 3.3 and 3.4. The details are similar to Theorem 4.2. \square

With this theorem we can now return to the proof system and show its completeness by proving

$$t \sim_E^b u \text{ implies } \vdash_1 b \triangleright t = u \quad (*)$$

This provides the converse to Proposition 2.3. The proof of (*) follows the standard proof of the corresponding “concrete” result, as given in [Mil89], except that now we work at the symbolic level. It is by induction on the size of terms which is defined as follows:

1. $| nil | = 0$
2. $| t + u | = \max\{| t |, | u |\}$
3. $| b \rightarrow t | = | t |$
4. $| \alpha.t | = 1 + | t |$

We also need the notion of normal form:

Definition 3.2. t is a *normal form*, or *in normal form*, if it has the form $\sum_i b_i \rightarrow \alpha_i.t_i$ and each t_i is in normal form.

Lemma 3.5. For every term t there exists a normal form t' such that $fv(t) = fv(t')$, $| t | = | t' |$ and $\vdash_1 t = t'$.

Proof. By structural induction on terms using the elementary facts about the proof system given in Proposition 2.4. \square

The following generalisation of the axiom EA will be useful in the exposition of the completeness proof.

Proposition 3.1. For any finite non-empty collection of booleans $\{ b_i \mid 1 \leq i \leq n \}$, such that $\bigvee_{i \in I} b_i = b$ and $b_i \wedge b_j = \text{false}$ for $i \neq j$,

$$\vdash_1 b \triangleright \sum_{1 \leq i \leq n} c?x.t_i = \sum_{1 \leq i \leq n} c?x.t_i + c?x. \sum_{1 \leq i \leq n} b_i \rightarrow t_i$$

Proof. By induction on n . The base case is trivial. Now assume the result for $n-1$ with $n > 1$ and let $b'_i = b_i$ for $1 \leq i < n-1$ and $b'_{n-1} = b_{n-1} \vee b_n$. Then $\bigvee_{1 \leq i \leq n-1} b'_i = b$, $b'_i \wedge b'_j = \text{false}$ for $i \neq j$, and $(\bigvee_{1 \leq i \leq n-1} b'_i) \wedge b'_i = b_i$ for $1 \leq i \leq n-1$. We have

$$\begin{aligned}
\vdash_1 b \triangleright & \sum_{1 \leq i \leq n} c?x.t_i \\
= & \sum_{1 \leq i \leq n-1} c?x.t_i + c?x.t_n \\
= & \sum_{1 \leq i \leq n-1} c?x.t_i + c?x. \left(\sum_{1 \leq i \leq n-1} b'_i \rightarrow t_i \right) + c?x.t_n \\
\stackrel{\text{Lemma 2.2}}{=} & \sum_{1 \leq i \leq n-1} c?x.t_i + c?x. \left(\sum_{1 \leq i \leq n-1} b'_i \rightarrow t_i \right) + c?x.t_n + \\
& c?x. \left(\left(\bigvee_{1 \leq i \leq n-1} b_i \rightarrow \sum_{1 \leq i \leq n-1} b'_i \rightarrow t_i \right) + b_n \rightarrow t_n \right) \\
\stackrel{\text{Prop 2.4}}{=} & \sum_{1 \leq i \leq n-1} c?x.t_i + c?x. \left(\sum_{1 \leq i \leq n-1} b'_i \rightarrow t_i \right) + c?x.t_n + \\
& c?x. \left(\sum_{1 \leq i \leq n-1} b_i \rightarrow t_i + b_n \rightarrow t_n \right) \\
= & \sum_{1 \leq i \leq n-1} c?x.t_i + c?x. \left(\sum_{1 \leq i \leq n-1} b'_i \rightarrow t_i \right) + c?x.t_n + \\
& c?x. \left(\sum_{1 \leq i \leq n} b_i \rightarrow t_i \right) \\
= & \sum_{1 \leq i \leq n} c?x.t_i + c?x. \left(\sum_{1 \leq i \leq n} b_i \rightarrow t_i \right)
\end{aligned}$$

\square

Theorem 3.2. (Completeness of \vdash_1) $t \sim_E^b u$ implies $\vdash_1 b \triangleright t = u$

Proof. By induction on the joint size of t and u . We may assume that both are normal forms, $t \equiv \sum_{i \in I} c_i \rightarrow \alpha_i.t_i$ and $u \equiv \sum_{j \in J} d_j \rightarrow \beta_j.u_j$. Call a prefix of type $\gamma \in \{\tau, c!, c? \mid c \in \text{Chan}\}$ if it has the form $\tau, c!e, c?x$, respectively. Let $I_\gamma = \{i \in I \mid \alpha_i \text{ has type } \gamma\}$, $J_\gamma = \{j \in J \mid \beta_j \text{ has type } \gamma\}$ and also t_γ, u_γ denote $\sum_{i \in I_\gamma} c_i \rightarrow \alpha_i.t_i$, $\sum_{j \in J_\gamma} d_j \rightarrow \beta_j.u_j$ respectively. We show $\vdash_1 b \triangleright t_\gamma = u_\gamma$ for each type γ . Clearly $t_\gamma \sim_E^b u_\gamma$. Because of α -conversion we may assume that each input prefix in $t_{c?}$ and $u_{c?}$ uses the same variable $x \notin \text{fv}(t, u, b)$. We examine the cases $\gamma = \tau$ and $\gamma = c?$ here and leave the case $\gamma = c!$ to the reader.

- (Case $\gamma = \tau$).

By symmetry we need only to show

$$\vdash_1 b \triangleright u_\tau + c_i \rightarrow \tau.t_i = u_\tau.$$

for each $i \in I_\tau$. Note that $\vdash_1 b \wedge \neg c_i \triangleright c_i \rightarrow \tau.t_i = \text{nil}$ so by CUT it is sufficient to show

$$\vdash_1 b \wedge c_i \triangleright u_\tau + c_i \rightarrow \tau.t_i = u_\tau.$$

Since $\vdash_1 b \wedge c_i \triangleright c_i \rightarrow \tau.t_i = t_i$ this may be further simplified to

$$\vdash_1 b \wedge c_i \triangleright u_\tau + \tau.t_i = u_\tau.$$

Now $t_\tau \xrightarrow{c_i\tau} t_i$. So there exists a $b \wedge c_i$ -partition B such that for each $b' \in B$ there is $u_\tau \xrightarrow{d_j\tau} u_j$ such that $b' \models d_j$ and $t_i \sim_E^{b'} u_j$. By induction $\vdash_1 b' \triangleright t_i = u_j$. By TAU $\vdash_1 b' \triangleright \tau.t_i = \tau.u_j$. Since $b' \models d_j$ we have $\vdash_1 b' \triangleright t_i = d_j \rightarrow \tau.u_j$ and by S2 $\vdash_1 b' \triangleright u_\tau \tau.t_i = u_\tau$. This is true for each b' in B and therefore an application of CUT gives the required

$$\vdash_1 b \wedge c_i \triangleright u_\tau + \tau.t_i = u_\tau$$

- (Case $\gamma = c?$).

As in the previous case it is sufficient to prove that

$$\vdash_1 b \wedge c_i \triangleright u_{c?} + c?x.t_i = u_{c?} \quad (1)$$

for an arbitrary $i \in I_{c?}$.

For each $L \subseteq J_{c?}$, let $d_L = (\bigwedge_{j \in L} d_j) \wedge (\bigwedge_{j' \in J_{c?} - L} \neg d_{j'})$. Then $\bigvee_{L \subseteq J_{c?}} d_L = \text{true}$ and $d_L \wedge d_{L'} = \text{false}$ for $L \neq L'$. Using Proposition 2.4 we can derive

$$\vdash_1 u_{c?} = \sum_{L \subseteq J_{c?}} (d_L \rightarrow \sum_{j \in L} c?x.u_j) \quad (2)$$

By CUT, (1) can be reduced to showing that, for each L ,

$$\vdash_1 b \wedge c_i \wedge d_L \triangleright u_{c?} = u_{c?} + c?x.t_i$$

which, by (2), can be further reduced to

$$\vdash_1 b \wedge c_i \wedge d_L \triangleright \sum_{j \in L} c?x.u_j = \sum_{j \in L} c?x.u_j + c?x.t_i. \quad (3)$$

We show how to derive this for an arbitrary L . Note that by α -conversion we can assume that x does not occur free in $b \wedge c_i \wedge d_L$.

Since $u_{c?} \sim_E^{b \wedge c_i \wedge d_L} t_{c?}$ and $t_{c?} \xrightarrow{c_i c?x} t_i$, there exists a $b \wedge c_i \wedge d_L$ -partition B such that for each $b' \in B$, $u_{c?} \xrightarrow{d_{j'} c?x} u_{j'}$ for some j' with $b' \models d_{j'}$ and $t_i \sim_E^{b'} u_{j'}$.

Without loss of generality we may assume the booleans in B are mutual disjoint, i.e. $b' \wedge b'' = \text{false}$ for any $b', b'' \in B$.

By induction, $\vdash_1 b' \triangleright t_i = u_{j_{b'}}$ or, equivalently, $\vdash_1 b' \rightarrow t_i = b' \rightarrow u_{j_{b'}}$. So

$$\begin{aligned} \vdash_1 \sum_{b' \in B} b' \rightarrow u_{j_{b'}} &= \sum_{b' \in B} b' \rightarrow t_i \\ &= b \wedge c_i \wedge d_L \rightarrow t_i \end{aligned}$$

Hence $\vdash_1 b \wedge c_i \wedge d_L \triangleright \sum_{b' \in B} b' \rightarrow u_{j_{b'}} = t_i$. Now, because $x \notin fv(b \wedge c_i \wedge d_L)$, we can apply INPUT to obtain

$$\vdash_1 b \wedge c_i \wedge d_L \triangleright c?x.\sum_{b' \in B} b' \rightarrow u_{j_{b'}} = c?x.t_i \quad (4)$$

This identity can in fact be strengthened to

$$\vdash_1 b \wedge c_i \wedge d_L \triangleright c?x.\sum_{b' \in B'} b' \rightarrow u_{j_{b'}} = c?x.t_i \quad (5)$$

where $B' = \{b \in B \mid b \neq \text{false}\}$. The advantage of using B' in place of B is that $b' \in B'$ implies that $j_{b'} \in L$: we know $b' \models d_L \wedge d_{j_{b'}}$, and so $d_L \wedge d_{j_{b'}} \neq \text{false}$ which because of the construction of d_L immediately implies $j_{b'} \in L$.

Therefore

$$\begin{aligned} \vdash_1 \quad & b \wedge c_i \wedge d_L \triangleright \sum_{j \in L} c?x.u_j \\ \stackrel{S2}{=} & \sum_{j \in L} c?x.u_j + \sum_{b' \in B'} c?x.u_{j_{b'}} \\ \stackrel{Prop. 3.1}{=} & \sum_{j \in L} c?x.u_j + \sum_{b' \in B'} c?x.u_{j_{b'}} + \sum_{b' \in B'} c?x.b' \rightarrow u_{j_{b'}} \\ \stackrel{(5)}{=} & \sum_{j \in L} c?x.u_j + \sum_{b' \in B'} c?x.u_{j_{b'}} + c?x.t_i \\ \stackrel{S2}{=} & \sum_{j \in L} c?x.u_j + c?x.t_i \end{aligned}$$

This is the required (3) above.

□

Our proposed axiom schema EA is very general since it allows us to introduce an arbitrary boolean expression b into a proof. In previous versions of this work, [?], we had a different approach; instead of the axiom schema EA we used the following rule schema:

$$\text{E-INPUT} \quad \frac{b \triangleright \sum_{i \in I} \tau.t_i = \sum_{j \in J} \tau.u_j}{b \triangleright \sum_{i \in I} c?x.t_i = \sum_{j \in J} c?x.u_j} \quad x \notin fv(b).$$

This also is quite general but at least its application only depends on the structure of the terms in the proof being elaborated and is at least somewhat schematic.

Note that the use of τ in this rule is essential. For example the rule

$$\frac{b \triangleright \sum_{i \in I} t_i = \sum_{j \in J} u_j}{b \triangleright \sum_{i \in I} c?x.t_i = \sum_{j \in J} c?x.u_j} \quad x \notin fv(b)$$

is unsound. For example since $(p + q) + r \sim_e p + (q + r)$ for all processes p, q, r we could use this rule to derive

$$c?x.(p + q) + c?x.r \sim_e c?x.p + c?x.(q + r)$$

for which there are obvious counterexamples.

With the help of GUARD and CUT, EA can be easily derived from E-INPUT. Hence the proof system obtained by replacing the axiom schema EA with E-INPUT is also complete. A direct completeness proof is also possible: The only change is to the last case examined in the proof of Theorem 3.2, when γ is $c?$. The details can be found in the proof of Theorem 4.3.

$$\begin{array}{l}
\text{T1} \quad \alpha.\tau.X = \alpha.X \\
\text{T2} \quad X + \tau.X = \tau.X \\
\text{T3} \quad \alpha.(X + \tau.Y) + \alpha.Y = \alpha.(X + \tau.Y)
\end{array}$$

Fig. 5. The axioms \mathcal{A}_2 .

4. Weak Bisimulation Equivalence

In this section we outline how to extend the results of the previous two sections to so-called *weak* bisimulations.

The concrete double arrows \xRightarrow{a}_e , where $a \in \{\varepsilon, \tau, c?v, c!v\}$, are defined as the least relations between closed terms generated by the following rules

- $p \xRightarrow{\varepsilon}_e p$.
- $p \xrightarrow{a}_e q$ implies $p \xRightarrow{a}_e q$.
- $p \xrightarrow{\tau}_e \xRightarrow{a}_e q$ implies $p \xRightarrow{a}_e q$.
- $p \xRightarrow{a}_e \xrightarrow{\tau}_e q$ implies $p \xRightarrow{a}_e q$.

The weak version of Lemma 2.1 holds:

Lemma 4.1. If $p \equiv_\alpha q$ and $p \xRightarrow{a}_e p'$ then $q \xRightarrow{a}_e q'$ for some $q' \equiv_\alpha p'$. \square

Let \hat{a} to be ε when $a = \tau$, and a otherwise. The early weak bisimulation is then defined as usual (for closed terms):

Definition 4.1. R is an early weak bisimulation if $(p, q) \in R$ implies

- if $p \xrightarrow{a}_e p'$ then $q \xRightarrow{\hat{a}}_e q'$ for some q' such that $(p', q') \in R$.
- if $q \xrightarrow{a}_e q'$ then $p \xRightarrow{\hat{a}}_e p'$ for some p' such that $(p', q') \in R$.

Let \approx_e be the largest early weak bisimulation.

The aim of this section is to extend the proof system of Section 2 to weak bisimulation equivalence. However it is well-known that \approx_e is not preserved by $+$ and so we have to work with the modified relation:

Definition 4.2. Two closed terms p, q are *early observation congruent*, written $p \simeq_e q$, if for all $a \in \{\tau, c?v, c!v\}$

- Whenever $p \xrightarrow{a}_e p'$ then $q \xRightarrow{a}_e q'$ for some q' such that $p' \approx_e q'$.
- Whenever $q \xrightarrow{a}_e q'$ then $p \xRightarrow{a}_e p'$ for some p' such that $p' \approx_e q'$.

As usual \simeq_e is the largest congruence relation contained in \approx_e . This relation can be generalized to open terms by letting $t \simeq_e u$ iff $t\rho \simeq_e u\rho$ for any ρ . We then have the standard result

Proposition 4.1. \simeq_e is preserved by all the operators in the language. \square

To give a sound and complete proof system for this relation, it is sufficient to add to the proof system the equations \mathcal{A}_2 given in Fig. 5. Let us use $\vdash_2 b \triangleright t = u$ to denote that $b \triangleright t = u$ can be derived from the proof system using the axioms \mathcal{A}_2 , and of course \mathcal{A}_1 . For the sake of variety in this section we work with the version of the proof system which uses the proof rule E-INPUT rather than the

axiom schema EA; This will provide an opportunity of outlining a proof of a completeness theorem involving E-INPUT, in contrast to the completeness proof of the previous section which uses EA.

The main result of this section is

Theorem 4.1. (Soundness and completeness of \vdash_2)

$\vdash_2 b \triangleright t = u$ if and only if $t\rho \simeq_e u\rho$ for every ρ such that $\rho \models b$.

The soundness is straightforward, by induction on the length of the derivation of $b \triangleright t = u$. The strategy for proving completeness is the same as in the strong case. We first develop a symbolic version of weak bisimulation and relate it to the concrete version. We then prove completeness with respect to this symbolic version of bisimulation congruence.

First we define the symbolic double arrows as the least relations between open terms which satisfy:

- $t \xrightarrow{true, \varepsilon}_E t$.
- $t \xrightarrow{b, \alpha} u$ implies $t \xrightarrow{b, \alpha}_E u$.
- $t \xrightarrow{b, \tau} \xrightarrow{b', \alpha}_E u$ implies $t \xrightarrow{b \wedge b', \alpha}_E u$.
- $t \xrightarrow{b, \alpha} \xrightarrow{b', \tau} u$ implies $t \xrightarrow{b \wedge b', \alpha}_E u$.

It will be necessary to use a slight variation on these in the late case and therefore we use the index E to indicate that these are *early* weak symbolic moves.

Concerning bound variables we now have

Lemma 4.2. If $t \xrightarrow{b, c?x}_E t'$ then $fv(b) \subseteq fv(t) \cup \{x\}$ and $x \notin fv(t)$. □

That is, in a double input transition the input variable *can* appear in the guard.

The two versions of double arrows can also be related as in the case of single arrows.

Lemma 4.3.

1. If $t\rho \xrightarrow{\tau}_e p$ then there exist b, t' s.t. $\rho \models b$, $p \equiv_\alpha t'\rho$ and $t \xrightarrow{b, \tau}_E t'$.
2. If $t \xrightarrow{b, \tau}_E t'$, $\rho \models b$ then $t\rho \xrightarrow{\tau}_e p$ for some $p \equiv_\alpha t'\rho$.

Lemma 4.4.

1. If $t\rho \xrightarrow{\varepsilon}_e p$ then there exist b, t' s.t. $\rho \models b$, $p \equiv_\alpha t'\rho$ and $t \xrightarrow{b, \varepsilon}_E t'$.
2. If $t \xrightarrow{b, \varepsilon}_E t'$, $\rho \models b$ then $t\rho \xrightarrow{\varepsilon}_e p$ for some $p \equiv_\alpha t'\rho$.

Lemma 4.5.

1. If $t\rho \xrightarrow{c!v}_e p$ then there exist b, e, t' s.t. $\rho \models b$, $\rho(e) = v$, $p \equiv_\alpha t'\rho$ and $t \xrightarrow{b, c!e}_E t'$.
2. If $t \xrightarrow{b, c!e}_E t'$, $\rho \models b$ then $t\rho \xrightarrow{c!v}_e p$ for some $p \equiv_\alpha t'\rho$ where $v = \rho(e)$.

Lemma 4.6.

1. If $t\rho \xrightarrow{c?v}_e p$, $x \notin fv(t)$ then there exist b, t' s.t. $fv(b) \subseteq fv(t) \cup \{x\}$, $\rho\{v/x\} \models b$, $p \equiv_\alpha t'\rho\{v/x\}$ and $t \xrightarrow{b, c?v}_E t'$.

2. If $t \xrightarrow{b,c?x}_E t'$, $\rho\{v/x\} \models b$ then $t\rho \xrightarrow{c?v}_e p$ for some $p \equiv_\alpha t'\rho\{v/x\}$.

Proof. The proofs of these four lemmas are quite similar and as an example we prove Lemma 4.6.

1. We will prove a slightly stronger statement:

If $t\rho \xrightarrow{c?v}_e p$, $x \notin fv(t)$ then there exist b, b', t' s.t. $fv(b) \subseteq fv(t)$, $\rho \models b$, $fv(b') \subseteq fv(t) \cup \{x\}$, $\rho\{v/x\} \models b$, $p \equiv_\alpha t'\rho\{v/x\}$ and $t \xrightarrow{b \wedge b', c?x}_E t'$.

The proof is by induction on why $t\rho \xrightarrow{c?v}_e p$.

- $t\rho \xrightarrow{c?v}_e p$. Immediate from Lemma 3.4 and the definition of $\xrightarrow{c?v}_e$.
- $t\rho \xrightarrow{\tau}_e q \xrightarrow{c?v}_e p$. By Lemma 3.4 there exist b'', t'' s.t. $\rho \models b''$, $q \equiv_\alpha t''\rho$ and $t \xrightarrow{b'', \tau}_E t''$. By Lemma 3.1 $fv(b'') \subseteq fv(t)$, $fv(t'') \subseteq fv(t)$. Apply Lemma 4.1 we get $t''\rho \xrightarrow{c?v}_e p' \equiv_\alpha p$. So by induction $\exists b''', b', t'$ s.t. $x \notin fv(b''')$, $\rho \models b'''$, $\rho\{v/x\} \models b'$, $p' \equiv_\alpha t'\rho\{v/x\}$ and $t'' \xrightarrow{b'' \wedge b', c?x}_E t'$. Let $b = b'' \wedge b'''$ then $x \notin fv(b)$, $\rho \models b$ and $t \xrightarrow{b \wedge b', c?x}_E t'$.
- $t\rho \xrightarrow{c?v}_e q \xrightarrow{\tau}_e p$. Similar to the previous case.

2. By induction on why $t \xrightarrow{b,c?x}_E t'$.

- $t \xrightarrow{b,c?x}_E t'$. Straightforward from Lemma 3.4 and the definition of $\xrightarrow{b,c?x}_E$.
- $t \xrightarrow{b', \tau}_E u \xrightarrow{b', c?x}_E t'$ with $b \equiv b' \wedge b''$. Then $x \notin fv(b')$, so $\rho \models b'$. By Lemma 3.2, $t\rho \xrightarrow{\tau}_e q \equiv_\alpha u\rho$. By induction $u\rho \xrightarrow{c?c}_e p' \equiv_\alpha t'\rho\{v/x\}$. By Lemma 4.1 $q \xrightarrow{c?v}_e p \equiv_\alpha p'$. By the definition of $\xrightarrow{c?v}_e$, $t\rho \xrightarrow{c?v}_e p \equiv_\alpha t'\rho\{v/x\}$.
- $t \xrightarrow{b', c?x}_E u \xrightarrow{b', \tau}_E t'$ with $b \equiv b' \wedge b''$. By induction $t\rho \xrightarrow{c?v}_e q \equiv_\alpha u\rho\{v/x\}$. By Lemma 3.2 $u\rho\{v/x\} \xrightarrow{\tau}_e p' \equiv_\alpha t'\rho\{v/x\}$. By Lemma 2.1 $q \xrightarrow{\tau}_e p \equiv_\alpha p'$. Therefore $t\rho \xrightarrow{c?v}_e p \equiv_\alpha t'\rho\{v/x\}$.

□

Now let $\mathbf{S} = \{S^b \mid b \in Exp\}$ be a family of relations over terms indexed by boolean expressions. Then $\mathcal{E}\mathcal{W}\mathcal{B}(\mathbf{S})$ is the family of symmetric relations defined by:

$(t, u) \in \mathcal{E}\mathcal{W}\mathcal{B}(\mathbf{S})^b$ if whenever $t \xrightarrow{b_1, \alpha}_E t'$ with $bv(\alpha) \cap fv(b, t, u) = \emptyset$, then there is a $b \wedge b_1$ -partition B such that for each $b' \in B$ there exists a $u \xrightarrow{b_2, \hat{\alpha}}_E u'$ such that $b' \models b_2$ and

1. if $\alpha \equiv c!e'$ then $\hat{\alpha} \equiv c!e'$, $b' \models e = e'$ and $(t', u') \in S^{b'}$
2. otherwise $\alpha \equiv \alpha'$ and $(t', u') \in S^{b'}$

Definition 4.3. (Weak Symbolic Bisimulations) \mathbf{S} is a weak symbolic bisimulation if $\mathbf{S} \subseteq \mathcal{E}\mathcal{W}\mathcal{B}(\mathbf{S})$

Let $\approx_E = \{\approx_E^b\}$ be the largest (early) weak symbolic bisimulation.

Again we have to modify \approx_E so that it is preserved by $+$:

Definition 4.4. Two terms t, u are *symbolic observation congruent* with respect to b , written $t \simeq_E^b u$, if whenever $t \xrightarrow{b_1, \alpha} t'$ with $bv(\alpha) \cap fv(b, t, u) = \emptyset$, then there is a $b \wedge b_1$ -partition B with the following property: for each $b' \in B$ there exists a $u \xrightarrow{b_2, \alpha'} u'$ such that $b' \models b_2$ and

1. if $\alpha \equiv c!e$ then $\alpha' \equiv c!e'$, $b' \models e = e'$ and $t' \approx_E^{b'} u'$
2. otherwise $\alpha \equiv \alpha'$ and $t' \approx_E^{b'} u'$

and symmetrically for u .

Note that in this definition it is still essential to use partitions when matching moves. For example

$$\tau.p \simeq_E^{true} b \rightarrow \tau.p + \neg b \rightarrow \tau.\tau.p$$

but the symbolic move $\tau.p \xrightarrow{true, \tau} p$ can not be matched properly by a single symbolic move from the right hand side.

The two versions of weak bisimulation equivalence/congruence can be related as in the case of strong bisimulation.

Theorem 4.2. (Soundness and completeness of \approx_E and \simeq_E)

1. $t \approx_E^b u$, where $fv(b) \subseteq fv(t, u)$, if and only if $t\rho \approx_e u\rho$ for every ρ such that $\rho \models b$.
2. $t \simeq_E^b u$, where $fv(b) \subseteq fv(t, u)$, if and only if $t\rho \simeq_e u\rho$ for every ρ such that $\rho \models b$.

Proof. We only prove 1. The proof of 2 is similar.

(\implies) Let

$$R = \{ (t\rho, u\rho) \mid \exists b, fv(b) \subseteq fv(t, u), \rho \models b \text{ and } t \approx_E^b u \}$$

We show R is a weak early bisimulation.

Suppose $(t\rho, u\rho) \in R$, i.e. $\exists b, fv(b) \subseteq fv(t, u), \rho \models b$ and $t \approx_E^b u$. Let $t\rho \xrightarrow{a}_e p$. we must find a matching transition from $u\rho$. There are three cases to consider.

- $t\rho \xrightarrow{\tau}_e p$. By Lemma 3.2(1), $\exists b_1$ and t s.t. $\rho \models b_1$, $p \equiv_\alpha t'\rho$ and $t \xrightarrow{b_1, \tau} t'$. So $\exists b \wedge b_1$ -partition B with the properties guaranteed by Definition 4.3. Since $\rho \models b \wedge b_1$ and $\forall B = b \wedge b_1, \exists b' \in B$ s.t. $\rho \models b'$. Let $u \xrightarrow{b_2, \varepsilon}_E u'$ be the symbolic transition associated with this b' . Then $\rho \models b_2$ and $t' \approx_E^{b'} u'$. By Lemma 4.4(2) $u\rho \xrightarrow{\varepsilon}_e q \equiv_\alpha u'\rho$. Moreover $(t'\rho, u'\rho) \in R$ by the definition of R . Hence $(p, q) \in R$.
- $t\rho \xrightarrow{c?v}_e p$. By Lemma 3.4(1), $\exists b_1, x$, and t' s.t. $x \notin fv(t)$, $\rho \models b_1$, $p \equiv_\alpha t'\rho\{v/x\}$ and $t \xrightarrow{b_1, c?v} t'$. We may assume $x \notin fv(u)$. So $\exists b \wedge b_1$ -partition B with the properties guaranteed by Definition 4.3. Since $\rho \models b \wedge b_1$ and $x \notin fv(b \wedge b_1)$, $\rho\{v/x\} \models b \wedge b_1$. So $\exists b' \in B, \rho\{v/x\} \models b'$. Let $u \xrightarrow{b_2, c?v}_E u'$ be the symbolic transition associate with this b' , then $b' \models b_2$ and $t' \approx_E^{b'} u'$. Since $\rho\{v/x\} \models b_2$, by Lemma 4.6(2), $u\rho \xrightarrow{c?v}_e q \equiv_\alpha u'\rho\{v/x\}$. Since $\rho\{v/x\} \models b'$ and $t' \approx_E^{b'} u'$, $(t'\rho, u'\rho) \in R$. Hence $(p, q) \in R$.

- $t\rho \xrightarrow{c?v}_e p$. Similar.

(\Leftarrow) We show the boolean-indexed family of relations $S = \{S^b \mid b \in BExp\}$ defined by

$$S^b = \{(t, u) \mid fv(b) \subseteq fv(t, u), \rho \models b \text{ implies } t\rho \approx_e u\rho\}$$

is a weak early symbolic bisimulation.

Suppose $(t, u) \in S^b$ and let $t \xrightarrow{b_1, \alpha} t'$. consider three cases:

- $t \xrightarrow{b_1, \tau} t'$. We need to construct a $b \wedge b_1$ -partition B with the required properties. To this end we number all ε -transitions from u thus

$$u \xrightarrow{b_2^i, \varepsilon}_E u^i, \quad 0 < i \leq k$$

For each i , let b_i'' be a boolean with the following properties:

$$\begin{aligned} fv(b_i'') &\subseteq fv(t, u) \\ \rho \models b_i'' &\text{ iff } t'\rho \approx_e u^i\rho \end{aligned}$$

Let $b_i' = b \wedge b_1 \wedge b_2^i \wedge b_i''$ and $B = \{b_i' \mid 0 < i \leq k\}$. We first show that B is a $b \wedge b_1$ -partition, i.e. $\bigvee B = b \wedge b_1$. By construction $\bigvee B \models b \wedge b_1$. Now we show $b \wedge b_1 \models \bigvee B$.

Let $\rho \models b \wedge b_1$. Then $t\rho \approx_e u\rho$. By Lemma 3.2(2), $t\rho \xrightarrow{\tau}_e p \equiv_\alpha t'\rho$. So there exists $u\rho \xrightarrow{\varepsilon}_e q \approx_e p$. By Lemma 4.4(1), $\exists b_2^i, u^i$ s.t. $\rho \models b_2^i, q \equiv_\alpha u^i\rho$ and $u \xrightarrow{b_2^i, \varepsilon}_E u^i$. Then $t'\rho \approx_e u^i\rho$. By the definition of b_i'' , $\rho \models b_i''$. Therefore $\rho \models b_i'$. Hence $\rho \models \bigvee B$.

It is easy to see that B has the required property: for any $b_i' \in B$, $u \xrightarrow{b_2^i, \varepsilon}_E u^i$ with $b_i' \models b_i''$. Moreover $(t', u^i) \in S^{b_i'}$ by the definition of b_i'' and S .

- $t \xrightarrow{b_1, c?x} t'$. We may assume $x \notin fv(u)$. Similar to the previous case we number all weak $c?x$ -transitions from u thus

$$u \xrightarrow{b_2^i, c?x}_E u^i, \quad 0 < i \leq k$$

For each i , let b_i'' be a boolean with the following properties:

$$\begin{aligned} fv(b_i'') &\subseteq fv(t, u) \cup \{x\} \\ \text{For any } v, \rho\{v/x\} \models b_i'' &\text{ iff } t'\rho\{v/x\} \approx_e u^i\rho\{v/x\} \end{aligned}$$

Let $b_i' = b \wedge b_1 \wedge b_2^i \wedge b_i''$ and $B = \{b_i' \mid 0 < i \leq k\}$. First we check that B is a $b \wedge b_1$ -partition. Again $\bigvee B \models b \wedge b_1$ by construction. To see $b \wedge b_1 \models B$, let $\rho \models b \wedge b_1$. Then $t\rho \approx_e u\rho$. By Lemma 3.4(1), for any $v \in Val$, $t\rho \xrightarrow{c?v}_e p \equiv_\alpha t'\rho\{v/x\}$. So there exists $u\rho \xrightarrow{c?v}_e q \approx_e p$. By Lemma 4.6(1), $\exists b_2^i, u^i$ s.t. $fv(b_2^i) \subseteq fv(u) \cup \{x\}$, $\rho\{v/x\} \models b_2^i, q \equiv_\alpha u^i\rho\{v/x\}$ and $u \xrightarrow{b_2^i, c?x}_E u^i$. Hence $t'\rho\{v/x\} \approx_e u^i\rho\{v/x\}$. From the definition of b_i'' , $\rho\{v/x\} \models b_i''$. Furthermore, since $\rho \models b \wedge b_1$ and $x \notin fv(b \wedge b_1)$, $\rho\{v/x\} \models b \wedge b_1$. Therefore $\rho\{v/x\} \models b_i'$. Hence $\rho\{v/x\} \models \bigvee B$. Since v is arbitrary, $\rho \models B$.

By the construction of B it is easy to see that B has the required property:

For any $b'_i \in B$, $u \xrightarrow{b'_i, c^?x}_E u^i$ and $b'_i \models b^i_2$. If $\rho \models b'_i$ then $\rho \models b''_i$. Take $v = \rho(x)$, then $\rho\{v/x\} = \rho$, so $t'\rho \approx_e u^i\rho$. Hence $(t', u^i) \in S^{b^i_2}$ by the definition of B .

- $t \xrightarrow{b_1, c!e} t'$. Similar.

□

We now turn our attention to the completeness of the proof system. First we need the following generalisation of the axiom T3:

Lemma 4.7. If $fv(b) \cap bv(\alpha) = \emptyset$ then $\vdash_2 \alpha.(X + b \rightarrow \tau.Y) = \alpha.(X + b \rightarrow \tau.Y) + b \rightarrow \alpha.Y$

Proof. Since $X = X + b \rightarrow X$ we need only to show

$$\vdash_2 b \rightarrow \alpha.(X + b \rightarrow \tau.Y) = b \rightarrow \alpha.(X + b \rightarrow \tau.Y) + b \rightarrow \alpha.Y$$

which can be derived as follows (using Proposition 2.4):

$$\begin{aligned} \vdash_2 \quad & b \rightarrow \alpha.(X + b \rightarrow \tau.Y) \\ &= b \rightarrow \alpha.(b \rightarrow (X + b \rightarrow \tau.Y)) \end{aligned} \tag{2.4.7}$$

$$= b \rightarrow \alpha.(b \rightarrow (X + \tau.Y)) \tag{2.4.5}$$

$$= b \rightarrow \alpha.(X + \tau.Y) \tag{2.4.7}$$

$$= b \rightarrow (\alpha.(X + \tau.Y) + \alpha.Y) \tag{T3}$$

$$= b \rightarrow \alpha.(X + \tau.Y) + b \rightarrow \alpha.Y \tag{2.4.5}$$

$$= b \rightarrow \alpha.(X + b \rightarrow \tau.Y) + b \rightarrow \alpha.Y \quad (\text{previous steps reversed})$$

□

Completeness of the proof system will follow if we can prove $t \simeq_E^b u$ implies $\vdash_2 b \triangleright t = u$. The following two results are essential to this proof; they are symbolic versions of two results which also play an essential role in the completeness proof for “pure” CCS, [Mil89].

Lemma 4.8. (Absorption) If $t \xrightarrow{b, \alpha}_E t'$ with $fv(b) \cap bv(\alpha) = \emptyset$, then $\vdash_2 t = t + b \rightarrow \alpha.t'$.

Proof. By induction on why $t \xrightarrow{b, \alpha}_E t'$.

$$1. \quad t \xrightarrow{b, \alpha} t'.$$

- $\alpha'.t_1 \xrightarrow{true, \alpha} t'$ with $\alpha'.t_1 \equiv_\alpha \alpha.t'$. Use S3.

- $b' \rightarrow t_1 \xrightarrow{b' \wedge b'', \alpha} t'$ because $t_1 \xrightarrow{b'', \alpha} t'$. By induction $\vdash_2 t_1 = t_1 + b'' \rightarrow \alpha.t'$. So

$$\begin{aligned} \vdash_2 \quad b' \rightarrow t_1 &= b' \rightarrow (b'' \rightarrow \alpha.t') \\ &= b' \rightarrow t_1 + b' \wedge b'' \rightarrow \alpha.t' \text{ by Proposition 2.4.} \end{aligned}$$

- The other cases are similar.

2. $t \xrightarrow{b', \alpha} t_1 \xrightarrow{b'', \tau}_E t'$ with $b \equiv b' \wedge b''$. By induction $\vdash_2 t_1 = t_1 + b'' \rightarrow \tau.t'$ and $\vdash_2 t = t + b' \rightarrow \alpha.t_1$. So, since $bv(\alpha) \cap fv(b) = \emptyset$,

$$\begin{aligned} \vdash_2 \quad t &= t + b' \rightarrow \alpha.(t_1 + b'' \rightarrow \tau.t') \\ &= t + b' \rightarrow \alpha.(t_1 + b'' \rightarrow \tau.t') + b'' \rightarrow \alpha.t' \text{ by Lemma 4.7} \\ &= t + b' \rightarrow b'' \rightarrow \alpha.t' \\ &= t + b \rightarrow \alpha.t' \end{aligned}$$

3. $t \xrightarrow{b',\tau}_E t_1 \xrightarrow{b'',\alpha} t'$ with $b \equiv b' \wedge b''$. Similar to the previous case. \square

Proposition 4.2. $t \approx_E^b u$ if and only if there is a b -partition B such that for all $b' \in B$, $t \simeq_E^{b'} u$ or $t \simeq_E^{b'} \tau.u$ or $\tau.t \simeq_E^{b'} u$

Proof. The “if” part is trivial because of Theorem 4.2. For the “only if” part, by a construction similar to that used in Proposition 4.3, we can assume $t \equiv \Sigma_{i \in I} c_i \rightarrow \Sigma_{k \in K_i} \alpha_{ik}.t_{ik}$, $u \equiv \Sigma_{j \in J} d_j \rightarrow \Sigma_{l \in L_j} \beta_{jl}.u_{jl}$, where $c_i \wedge c_{i'} = \text{false}$ for $i \neq i'$, $d_j \wedge d_{j'} = \text{false}$ for $j \neq j'$, $\bigvee_{i \in I} c_i = \text{true}$, and $\bigvee_{j \in J} d_j = \text{true}$.

Set $B' = \{b \wedge c_i \wedge d_j \mid i \in I, j \in J\}$. Then $\bigvee B' = b$. Consider an arbitrary $b' \equiv b \wedge c_i \wedge d_j \in B'$. Since $b' \models b$, $t \approx_E^{b'} u$. So for every $t \xrightarrow{c_i,\tau} t_{ik}$, there exists a b' -partition B_{ik} with the property that for each $b_1 \in B_{ik}$ there is a $u \xrightarrow{d_j,\hat{\tau}}_E u'$ s.t. $t_k \approx_E^{b_1} u'$, and for every $u \xrightarrow{d_j,\tau} u_{jl}$ there exists a b' -partition B_{jl} with the property that for each $b_2 \in B_{jl}$ there is a $t \xrightarrow{c_i,\hat{\tau}}_E t'$ s.t. $t' \approx_E^{b_2} u_{jl}$.

Let $B^1 = \{\bigwedge_{k \in K_i} b_k \mid b_k \in B_{ik}\}$, $B^2 = \{\bigwedge_{l \in L_j} b_l \mid b_l \in B_{jl}\}$, and $B_{b'} = \{b_1 \wedge b_2 \mid b_1 \in B^1, b_2 \in B^2\}$. Then $\bigvee B_{b'} = b'$. Furthermore $B_{b'}$ has the property that for each $b'' \in B_{b'}$, $t \approx_E^{b''} u$, and whenever $t \xrightarrow{c_i,\tau} t'$, there is a $u \xrightarrow{d_j,\hat{\tau}}_E u' \approx_E^{b''} t'$; whenever $u \xrightarrow{d_j,\tau} u'$ there is a $t \xrightarrow{c_i,\hat{\tau}}_E t' \approx_E^{b''} u'$. If for some $t' t \xrightarrow{c_i,\tau} t' \approx_E^{b''} u$ then $t \simeq_E^{b''} \tau.u$; If for some $u' u \xrightarrow{d_j,\tau} u' \approx_E^{b''} t$ then $\tau.t \simeq_E^{b''} u$. Otherwise we can show $t \simeq_E^{b''} u$ as follows. Let $t \xrightarrow{c_i,\alpha} t'$; then since $t \approx_E^{b''} u$ we have $u \xrightarrow{d_j,\hat{\alpha}} u' \approx_E^{b''} t'$. By assumption u' can not be u itself when $\alpha \equiv \tau$, so $u \xrightarrow{d_j,\alpha} u' \approx_E^{b''} t'$ as required. By symmetry, $t \simeq_E^{b''} u$.

Now the required B is $\bigcup_{b' \in B'} B_{b'}$. \square

Finally, since we are considering E-INPUT rather than EA we need the following generalisation:

Proposition 4.3. Suppose $x \notin \text{fv}(b, c_i, d_j)$, $i \in I, j \in J$. Then from

$$\vdash_1 b \triangleright \Sigma_{i \in I} c_i \rightarrow \tau.t_i = \Sigma_{j \in J} d_j \rightarrow \tau.u_j$$

infer

$$\vdash_1 b \triangleright \Sigma_{i \in I} c_i \rightarrow c?x.t_i = \Sigma_{j \in J} d_j \rightarrow c?x.u_j$$

Proof. For each $K \subseteq I$ let c_K be the boolean expression $\bigwedge_{k \in K} c_k \wedge \bigwedge_{k' \in I-K} \neg c_{k'}$. Then $\bigvee c_K = \text{true}$, $c_K \wedge c_{K'} = \text{false}$ whenever $K \neq K'$. Using parts 3,6 and 5 of Proposition 2.4 we can show that

$$\vdash_1 \Sigma_{i \in I} c_i \rightarrow \tau.t_i = t^\tau$$

where t^τ denotes $\Sigma_K c_K \rightarrow t_K^\tau$ and t_K^τ denotes $\Sigma_{k \in K} c_k \rightarrow \tau.t_k$. Let $u^\tau = \Sigma_L d_L \rightarrow u_L^\tau$ be defined in a similar manner.

We know $\vdash_1 b \triangleright t^\tau = u^\tau$ and therefore for each K, L , $\vdash_1 b \wedge c_K \wedge d_L \triangleright t^\tau = u^\tau$. Again using parts 3 and 5 of Proposition 2.4 we can prove

$$\vdash_1 b \wedge c_K \wedge d_L \triangleright t^\tau = \Sigma_{k \in K} \tau.t_k$$

and

$$\vdash_1 b \wedge c_K \wedge d_L \triangleright u^\tau = \Sigma_{l \in L} \tau.u_l$$

Therefore

$$\vdash_1 b \wedge c_K \wedge d_L \triangleright \sum_{k \in K} \tau.t_k = \sum_{l \in L} \tau.u_l$$

Now we can apply E-INPUT to obtain

$$\vdash_1 b \wedge c_K \wedge d_L \triangleright \sum_{k \in K} c?x.t_k = \sum_{l \in L} c?x.u_l$$

By reversing the above argument we have

$$\vdash_1 b \wedge c_K \wedge d_L \triangleright t^x = u^x$$

where t^x and u^x denote $\sum_K c_K \rightarrow \sum_{k \in K} c?x.t_k$ and $\sum_L d_L \rightarrow \sum_{l \in L} c?x.u_l$, respectively. Since $\bigvee_{K,L} c_K \wedge d_L = \text{true}$, we can apply CUT to obtain $\vdash_1 b \triangleright t^x = u^x$. Finally parts 3,6 and 5 of Proposition 2.4 can be used as above to transform t^x and u^x into the required form. \square

We now have the main technical ingredients necessary for the completeness proof. As usual this requires some notion of normal form, which we call *full normal forms*. For convenience we consider these before embarking on the completeness proof proper.

Definition 4.5. A normal form $t \equiv \sum_i b_i \rightarrow \alpha_i.t_i$ is a *full normal form* if

1. $t \xrightarrow{b,\alpha}_E t'$, where $bv(\alpha) \cap fv(b) = \emptyset$, implies $t \xrightarrow{b,\alpha} t'$.
2. Each t_i is in full normal form.

Lemma 4.9. For any normal form t there is a full normal form t' such that $fv(t) = fv(t')$, $|t| = |t'|$ and $\vdash_2 t = t'$.

Proof. By structural induction on t . For then non-trivial case when $t \not\equiv \text{nil}$, by induction we may assume each summand of t is already in full normal form. Let

$$t' = t + \sum_k \{ b_k \rightarrow \alpha_k.t_k \mid t \xrightarrow{b_k,\alpha_k}_E t_k, bv(\alpha_k) \cap fv(b_k) = \emptyset, \text{ but not } t \xrightarrow{b_k,\alpha_k} t_k \}$$

Then, modulo α -equivalence, t' is in full normal form with size equal to t , and by the absorption lemma $\vdash_2 t = t'$. \square

By this lemma and Lemma 3.5, every term can be transformed into a full normal form of equal size.

Theorem 4.3. (Completeness of \vdash_2) $t \simeq_E^b u$ implies $\vdash_2 b \triangleright t = u$.

Proof. We may assume t, u are in full normal form and apply induction on the joint weak size of t and u . The case that the size is 0 is trivial. So let $t \equiv \sum_{i \in I} c_i \rightarrow \alpha_i.t_i$, $u \equiv \sum_{j \in J} d_j \rightarrow \alpha_j.u_j$. We use the notations $I_\gamma, J_\gamma, t_\gamma, u_\gamma$ as defined in the proof of Theorem 3.2.

Consider the case $\gamma \equiv c?$. Let

$$t_{c?}^r \equiv \sum_{i \in I_{c?}} c_i \rightarrow \tau.t_i \quad u_{c?}^r \equiv \sum_{j \in J_{c?}} d_j \rightarrow \tau.u_j$$

Since $t \simeq_E^b u$, we have $t_{c?} \simeq_E^b u_{c?}$ and therefore $t_{c?}^r \simeq_E^b u_{c?}^r$.

To prove $\vdash_2 b \triangleright t_{c?} = u_{c?}$ it is sufficient to establish

$$\vdash_2 b \wedge c_i \triangleright u_{c?} + c_i \rightarrow c?x.t_i = u_{c?}$$

for each $i \in I_{c?}$.

Now $t_{c?} \xrightarrow{c_i, c?x} t_i$, so there is a $b \wedge c_i$ -partition B with the property that for each

$b' \in B$ there is $u_{c?} \xrightarrow{d_j, c?x} u_j \xrightarrow{d_j} u'$ s.t. $b' \models d_j \wedge d'$ and $t_i \approx_E^{b'} u'$. By Proposition 4.2 there exists a b' -partition B' , for each $b'' \in B'$ $t_i \simeq_E^{b''} u'$ or $t_i \simeq_E^{b''} \tau.u'$ or $\tau.t_i \simeq_E^{b''} u'$. By induction, together with TAU and T1, in each case we can derive

$$\vdash_2 b'' \triangleright \tau.u' = \tau.t_i$$

Applying CUT on B' we get $\vdash_2 b' \triangleright \tau.u' = \tau.t_i$.

If $u_j \equiv u'$, then $\vdash_2 b' \triangleright \tau.u_j = \tau.t_i$ and hence $\vdash_2 b' \triangleright \tau.u_j = \tau.u_j + \tau.t_i$. Otherwise, by absorption $\vdash_2 u_j = u_j + d' \rightarrow \tau.u'$. Therefore

$$\begin{aligned} \vdash_2 b' \triangleright \tau.u_j &= \tau.(u_j + d' \rightarrow \tau.u') \\ &\stackrel{4.7}{=} \tau.(u_j + d' \rightarrow \tau.u') + d' \rightarrow \tau.u' \\ &= \tau.u_j + d' \rightarrow \tau.u' \\ &= \tau.u_j + d' \rightarrow \tau.t_i \end{aligned}$$

Since $b' \models d'$, by Proposition 2.4.3 it follows that

$$\vdash_2 b' \triangleright \tau.u_j = \tau.u_j + \tau.t_i$$

Similarly, because $b' \models c_i \wedge d_j$, we have

$$\vdash_2 b' \triangleright d_j \rightarrow \tau.u_j = d_j \rightarrow \tau.u_j + c_i \rightarrow \tau.t_i$$

So

$$\vdash_2 b' \triangleright u_{c?}^\tau = u_{c?}^\tau + c_i \rightarrow \tau.t_i$$

This is true for each b' in the $b \wedge c_i$ -partition B . So applying CUT we obtain $\vdash_2 b \wedge c_i \triangleright u_{c?}^\tau = u_{c?}^\tau + c_i \rightarrow \tau.t_i$. By Proposition 4.3, the generalised E-INPUT Rule, applicable because we can assume $x \notin fv(b \wedge c_i)$, we get the required $\vdash_2 b \wedge c_i \triangleright u_{c?} + c_i \rightarrow \alpha_i.t_i = u_{c?}$. \square

5. The Late Case

In this section we briefly outline how the theory developed in the previous sections can be carried over to the late case with some systematic modifications. It turns out that only those parts concerning input actions need changing and for brevity we only treat weak equivalence.

The late operational semantics of this language is given in Fig. 6. Note that both $\xrightarrow{\tau}_l$ and $\xrightarrow{c!v}_l$ are relations over closed terms but $\xrightarrow{c?x}_l$ is a relation between closed terms and functions from values to closed terms. Intuitively $p \xrightarrow{c?x}_l \lambda x.u$ means that the process can accept inputs on channel c and when it does so its future behaviour, which is parameterised on the value received, is characterised by the function $\lambda x.u$.

The concrete late double arrow relations are also defined in the same way as in the early case in Section 4, with \xRightarrow{a}_l in place of \xRightarrow{a}_e , except that the last clause is only given for non-input actions; so input actions do *not* absorb τ moves after them:

- $p \xRightarrow{e}_l p$.
- $p \xrightarrow{a}_l q$ implies $p \xRightarrow{a}_l q$.
- $p \xrightarrow{\tau}_l \xRightarrow{a}_l q$ implies $p \xRightarrow{a}_l q$.

$$\begin{array}{l}
\tau.p \xrightarrow{\tau}_l p \\
c!e.p \xrightarrow{c!v}_l p \\
c?x.t \xrightarrow{c?x}_l \lambda x.t \\
p \xrightarrow{\alpha}_l r \\
p \xrightarrow{\alpha}_l r, \llbracket b \rrbracket = \text{true}
\end{array}
\quad \text{where } v = \llbracket e \rrbracket
\quad \begin{array}{l}
\text{implies } p + q \xrightarrow{\alpha}_l r \\
\text{implies } b \rightarrow p \xrightarrow{\alpha}_l r
\end{array}$$

Fig. 6. Late concrete operational semantics.

- $p \xRightarrow{\tau}_l \xrightarrow{\tau}_l q$ implies $p \xRightarrow{\tau}_l q$.
- $p \xRightarrow{c!v}_l \xrightarrow{\tau}_l q$ implies $p \xRightarrow{c!v}_l q$.

These revised arrows can now be used to define another version of weak bisimulation equivalence.

Definition 5.1. A symmetric relation R between closed terms is a late weak bisimulation if it satisfies: $(p, q) \in R$ implies

- If $p \xrightarrow{c?x}_l \lambda x.t$ then there exists $q \xRightarrow{c?y}_l \lambda y.u$ and for all $v \in \text{Val}$ $\exists q'$ s.t. $u[v/y] \xRightarrow{c}_l q'$ and $(t[v/x], q') \in R$.
- For any other action a not of the form $c?x$, $p \xrightarrow{a}_l p'$ then there exists $q \xRightarrow{a}_l q'$ and $(p', q') \in R$.

Let \approx_l be the largest late weak bisimulation.

The corresponding late observation congruence is then defined in terms of late weak bisimulation:

Definition 5.2. Two closed terms p, q are late observation congruent, written $p \simeq_l q$, if

- Whenever $p \xrightarrow{c?x}_l \lambda x.t$ then there exists $q \xRightarrow{c?y}_l \lambda y.u$ and for all $v \in \text{Val}$ $\exists q'$ s.t. $u[v/y] \xRightarrow{c}_l q'$ and $t[v/x] \approx_l q'$.
- For any other action a , whenever $p \xrightarrow{a}_l p'$ then there exists $q \xRightarrow{a}_l q'$ and $p' \approx_l q'$.
- similarly for q .

This relation is generalised to open terms by letting $t \simeq_l u$ iff $t\rho \simeq_l u\rho$ for any ρ .

It can be shown that \simeq_l is preserved by all operators in our language. In general it is finer than \simeq_e ; a typical example of a distinction made by \simeq_l but not by \simeq_e is discussed in the Introduction.

To develop results for \simeq_l similar to those in the previous section we need a symbolic version of late weak equivalence. This, called *late weak symbolic equivalence*, is defined using a corresponding notion of *late weak symbolic action*:

- $t \xRightarrow{\text{true}, s}_L t$.
- $t \xrightarrow{b, \alpha} u$ implies $t \xRightarrow{b, \alpha}_L u$.
- $t \xrightarrow{b, \tau} \xrightarrow{b', \alpha} u$ implies $t \xRightarrow{b \wedge b', \alpha}_L u$.

- if α is not of the form $c?x$ then $t \xrightarrow{b,\alpha}_L \xrightarrow{b',\tau} u$ implies $t \xrightarrow{b \wedge b',\alpha}_L u$.

The difference between the last clause and the corresponding one in the definition of early symbolic double arrows in Section 4 is important. Now we do not have Lemma 4.2. Instead the following holds

Lemma 5.1. If $t \xrightarrow{b,c?x}_E t'$ then $fv(b) \subseteq fv(t)$ and $x \notin fv(t)$.

Definition 5.3. A family of symmetric relations $S = \{S^b \mid b \in BExp\}$ is a late weak symbolic bisimulation if:

$(t, u) \in S^b$ implies whenever $t \xrightarrow{b_1,\alpha} t'$ with $bv(\alpha) \cap fv(b, t, u) = \emptyset$, then there is a $b \wedge b_1$ -partition B such that $fv(B) \subseteq fv(b, t, u)$ and for each $b' \in B$ there exists a $u \xrightarrow{b_2,\alpha'}_L u'$ such that $b' \models b_2$ and

1. if $\alpha \equiv c!e$ then $\alpha' \equiv c!e'$, $b' \models e = e'$ and $(t', u') \in S^{b'}$.
2. if $\alpha \equiv \tau$ then $\alpha' \equiv \tau$ and $(t', u') \in S^{b'}$.
3. if $\alpha \equiv c?x$ then $\alpha' \equiv c?x$ and there is a b' -partition B' s.t for each $b'' \in B'$ there is $u' \xrightarrow{b_2'',\hat{\alpha}}_L u''$ s.t $b'' \models b_2''$ and $(t', u'') \in S^{b''}$.

Let $\approx_L = \{\approx_L^b\}$ be the largest late weak symbolic bisimulation.

It is important to note that we now require $fv(B) \subseteq fv(b, t, u)$; hence when $\alpha \equiv c?x$ it is guaranteed that $x \notin fv(B)$. So we can not partition over the value space for an input variable. This makes all the differences between early and late bisimulations!

Late weak symbolic observation congruence is defined in terms of weak symbolic bisimulation:

Definition 5.4. Two terms t, u are late weak symbolic observation congruent over b , written $t \simeq_L^b u$, if whenever $t \xrightarrow{b_1,\alpha} t'$ with $bv(\alpha) \cap fv(b, t, u) = \emptyset$, then there is a $b \wedge b_1$ -partition B such that $fv(B) \subseteq fv(b, t, u)$ and for each $b' \in B$ there exists a $u \xrightarrow{b_2,\alpha'}_L u'$ such that $b' \models b_2$ and

1. if $\alpha \equiv c!e$ then $\alpha' \equiv c!e'$, $b' \models e = e'$ and $t' \approx_L^{b'} u'$.
2. if $\alpha \equiv \tau$ then $\alpha' \equiv \tau$ and $t' \approx_L^{b'} u'$.
3. if $\alpha \equiv c?x$ then $\alpha' \equiv c?x$ and there is a b' -partition B' s.t for each $b'' \in B'$ there is $u' \xrightarrow{b_2'',\hat{\alpha}}_L u''$ s.t $b'' \models b_2''$ and $t' \approx_L^{b''} u''$.

and symmetrically for u .

We have the late counterpart of Theorem 4.2:

Theorem 5.1. (Soundness and completeness of \approx_L and \simeq_L)

1. $t \approx_L^b u$ if and only if $t\rho \approx_l u\rho$ for every $\rho \models b$.
2. $t \simeq_L^b u$ if and only if $t\rho \simeq_l u\rho$ for every $\rho \models b$.

Proof. Similar to that of Theorems 4.2. The only essential difference is in the relationship between the abstract moves, $\xrightarrow{b,\alpha}$ and the concrete moves, $\xrightarrow{\alpha}_l$. This is the same as for the early moves, given in Lemma 3.2 – 3.4 and 4.3 – 4.6, except for input actions now we have:

$t\rho \xrightarrow{c?x}_l \lambda x.u$ if and only if there exists some b, t' such that $\rho \models b$ and $t \xrightarrow{b, c?z} t'$ for some z where $u \equiv t'[x/z]$.

The strong version of the theorem is now essentially the same as Theorem 4.5 of [HeL92] and the weak version is a very straightforward extension. \square

The inference system for late symbolic observation congruence can be obtained by deleting EA/E-INPUT from that for early congruence. We write $\vdash_{2L} b \triangleright t = u$ to denote $b \triangleright t = u$ can be derived from the new inference system. We have the soundness theorem:

Theorem 5.2. (Soundness of \vdash_{2L}) $\vdash_{2L} b \triangleright t = u$ implies $t\rho \simeq_l u\rho$ for every ρ such that $\rho \models b$. \square

For the completeness theorem, we use essentially the same form of full normal form as in the early case (keep in mind that now double input arrows only absorb those τ moves before it):

Definition 5.5. A normal form $t \equiv \Sigma_i b_i \rightarrow \alpha_i.t_i$ is a late full normal form if

1. $t \xrightarrow{b, \alpha}_L t'$ implies $t \xrightarrow{b, \alpha} t'$.
2. Each t_i is in late full normal form.

The Absorption Lemma, Lemma 4.8, still holds (but note that now α can not be an input action in the second case in the proof of the lemma) and therefore every term can be transformed to late normal form. Also the appropriate version of Proposition 4.2 holds.

Theorem 5.3. (Completeness of \vdash_{2L}) $t \simeq_L^b u$ implies $\vdash_{2L} b \triangleright t = u$.

Proof. We assume t, u are in late full normal form and the proof proceeds by induction on the joint weak size of t and u . For the non-trivial case when the size is not 0 let $t \equiv \Sigma_{i \in I} c_i \rightarrow \alpha_i.t_i$, $u \equiv \Sigma_{j \in J} d_j \rightarrow \beta_j.u_j$. We need to show

$$\vdash_{2L} b \wedge c_i \triangleright u + c_i \rightarrow \alpha_i.t_i = u$$

for each $i \in I$. We only consider the case when $\alpha_i \equiv c?x$ here (the other two cases are the same as in the early case). By α -CONV we may assume $x \notin fv(b, t, u)$ and every input prefix in u uses x as input variable.

Now $t \xrightarrow{c_i, c?x}_l t'_i$. So there exists a $b \wedge c_i$ -partition B with $fv(B) \subseteq fv(b \wedge c_i)$ s.t for all $b' \in B$, $b' \models c_i$ and there is $u \xrightarrow{d_j, c?x}_l u_j$ s.t. $b' \models d_j$ and there exists a b' -partition B' s.t for all $b'' \in B'$ there is $u_j \xrightarrow{d', \hat{c}}_L u'_j$ s.t. $b'' \models d'$ and $t_i \approx_L u'_j$.

By Proposition 4.2 and induction, together with TAU and T1, we can derive, for each $b'' \in B'$,

$$\vdash_{2L} b'' \triangleright \tau.u'_j = \tau.t_i$$

By an argument similar to that used in Theorem 4.3, using CUT on B' , we obtain

$$\vdash_{2L} b' \triangleright \tau.u_j = \tau.u_j + \tau.t_i$$

Now, since $x \notin fv(b')$, we can apply INPUT to get

$$\begin{aligned} \vdash_{2L} b' \triangleright c?x.\tau.u_j &= c?x.(\tau.u_j + \tau.t_i) \\ &\stackrel{T3}{=} c?x.(\tau.u_j + \tau.t_i) + c?x.t_i \\ &= c?x.\tau.u_j + c?x.t_i \end{aligned}$$

$$\begin{array}{l}
t \xrightarrow{b,\alpha} t' \quad \text{implies} \quad t \mid u \xrightarrow{b,\alpha} t' \mid u \\
\alpha \in \{ \tau, c!e \mid c \in Chan, e \in Exp \} \\
t \xrightarrow{b,c?x} t' \quad \text{implies} \quad t \mid u \xrightarrow{b,c?x} t' \mid u \\
x \notin fv(u) \\
t \xrightarrow{b,c?x} t', u \xrightarrow{b',c!e} u' \quad \text{implies} \quad t \mid u \xrightarrow{b \wedge b', \tau} t'[e/x] \mid u' \\
t \xrightarrow{b,\alpha} t' \quad \text{implies} \quad t \setminus c \xrightarrow{b,\alpha} t' \setminus c \\
\text{if } chan(\alpha) \neq c
\end{array}$$

Fig. 7. Symbolic operational semantics – continued.

$$\begin{aligned}
nil \setminus c &= nil \\
(X + Y) \setminus c &= X \setminus c + Y \setminus c \\
(\alpha.X) \setminus c &= \begin{cases} \alpha.(X \setminus c) & \text{if } chan(\alpha) \neq c \\ nil & \text{if } chan(\alpha) = c \end{cases}
\end{aligned}$$

Let X, Y denote $\sum_i \alpha_i.X_i, \sum_j \beta_j.Y_j$, with $fv(X) \cap bv(Y) = fv(Y) \cap bv(X) = \emptyset$ where $fv(X)$ and $bv(X)$ are free data variables and bound data variables in the term X , respectively. Then

$$X \mid Y = sync_move(X, Y) + async_move(X, Y)$$

where

$$\begin{aligned}
sync_move(X, Y) &= \sum \{ \tau.(X_i\{e/x\} \mid Y_j) \mid \alpha_i \equiv c?x, \beta_j \equiv c!e \} + \\
&\quad \sum \{ \tau.(X_i \mid Y_j\{e/x\}) \mid \alpha_i \equiv c!e, \beta_j \equiv c?x \} \\
async_move(X, Y) &= \sum_i \alpha_i.(X_i \mid Y) + \sum_j \beta_j.(X \mid Y_j)
\end{aligned}$$

Fig. 8. New equations and expansion law.

By T1, $\vdash_{2L} b' \triangleright c?x.u_j = c?x.u_j + c?x.t_i$. Since $b' \models c_i \wedge d_j$, we can derive $\vdash_{2L} b' \triangleright d_j \rightarrow c?x.u_j = d_j \rightarrow c?x.u_j + c_i \rightarrow c?x.t_i$. Hence $\vdash_{2L} b' \triangleright u = u + c_i \rightarrow c?x.t_i$. Finally, an application of CUT on B gives the required $\vdash_{2L} b \wedge c_i \triangleright u = u + c_i \rightarrow c?x.t_i$. \square

6. Extensions

So far we have concentrated on the core language of Section 2. As mentioned in the Introduction all our results can be easily extended to the language obtained by adding the \mid (parallel) and \setminus (restriction) operators. The concrete operational semantics for these operators are standard and we only give their symbolic semantics, in Fig. 7, where symmetric rules have been omitted. The equations characterizing the restriction operator and the expansion law for the parallel operator are shown in Fig. 8. These laws are fairly standard and are only included here just for the sake of completeness.

It is routine to check that all \sim_e, \simeq_e, \sim_l and \simeq_l are preserved by the new operators, and that the new equations are sound for these congruence relations. Moreover these new equations are sufficient to reduce every term in the extended language to one in the core language.

Now if we add these new equations to \mathcal{A}_1 , then the three normal form lemmas 3.5, 4.9 and 5.5 carry over to the extended language. From these follow the completeness results (Theorem 3.2, 4.3 and 5.3) for the extended language.

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