

**MULTIPLICITY OF POSITIVE SOLUTIONS OF NONLINEAR ELLIPTIC EQUATIONS WITH CRITICAL SOBOLEV EXPONENT IN SOME CONTRACTIBLE DOMAINS.**

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*In this paper we prove that, for every positive integer  $k$ , there exists a contractible bounded domain  $\Omega$  in  $\mathbb{R}^N$  with  $N \geq 3$ , where the problem (\*) (see Introduction) has at least  $k$  solutions.*

**Introduction**

Let  $\Omega$  be a bounded domain in  $\mathbb{R}^N$  with  $N \geq 3$ . In recent years there has been much interest in nonlinear elliptic equations of the form

$$(*) \quad \begin{cases} \Delta u + u^{2^*-1} = 0 & \text{in } \Omega \\ u > 0 & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega, \end{cases}$$

where  $2^* = \frac{2N}{N-2}$  is the critical exponent for the Sobolev imbedding  $H_0^{1,2}(\Omega) \subseteq L^p(\Omega)$ .

The problem (\*) is a simplified model of some variational problems in physics and geometry, whose common feature is a lack of compactness (see for example the Yamabe's problem in [1], [25]).

Indeed, the solutions of (\*) correspond to the critical points  $u$  of the functional

$$f(u) = \frac{1}{2} \int_{\Omega} |Du|^2 dx - \frac{1}{2^*} \int_{\Omega} |u|^{2^*} dx, \quad \text{with } u > 0;$$

but this functional does not satisfy the classical Palais-Smale's condition, since the imbedding  $H_0^{1,2}(\Omega) \subseteq L^{2^*}(\Omega)$  is not compact; therefore it is not possible to use the standard variational methods to find critical points.

A first contribution to problem (\*) is the following negative result due to Pohozaev.

**Theorem** (Pohozaev [21]). *If the bounded domain  $\Omega$  is star-shaped, then (\*) has no solution.*

Nevertheless, more recently Brezis and Nirenberg have pointed out that lower-order perturbations of the nonlinear term in (\*) can reverse this situation, and the perturbed problem can have solution, as follows also from general bifurcation theory (see [22], [19]).

Among the other results, Brezis and Nirenberg obtain in [6] the following theorem.

**Theorem** (Brezis-Nirenberg [6]). *Let  $\Omega \subseteq \mathbb{R}^N$  with  $N \geq 3$  and  $\lambda_1$  denote the first eigenvalue of  $-\Delta$  in  $H_0^{1,2}(\Omega)$ . There exists  $\lambda^*$  in  $[0, \lambda_1[$ , such that, if  $\lambda \in ]\lambda^*, \lambda_1[$ , then problem*

$$\begin{cases} \Delta u + u^{2^*-1} + \lambda u = 0 & \text{in } \Omega \\ u > 0 & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega \end{cases}$$

has at least one solution. Moreover  $\lambda^* = 0$ , when  $N \geq 4$ .

Note that in this theorem no special assumption is made about the bounded domain  $\Omega$ .

On the other hand, one can exploit the shape of  $\Omega$  in order to find solutions of the problem (\*): for example, if  $\Omega$  is an annulus (i.e.  $\Omega = \{x \in \mathbb{R}^N : r_1 < |x| < r_2\}$ ), it is easy to verify (see Kazdan-Warner [15]) that (\*) has a radial solution. Therefore the existence of solutions of the problem (\*) seems to be connected with the shape of  $\Omega$ .

The most remarkable result in this direction is the following theorem of Bahri-Coron.

**Theorem** (Bahri-Coron [2],[3]). *If the domain  $\Omega$  has nontrivial topology (see [2], [3]), then the problem (\*) has at least one solution.*

In [4] H. Brezis has pointed out the question whether it is possible to replace in Pohozaev's theorem the assumption " $\Omega$  is star-shaped" by " $\Omega$  has trivial topology"; in other words, whether there exist domains  $\Omega$  with trivial topology on which (\*) has solution.

An existence result for solutions of (\*) in some contractible bounded domains has been obtained by W. Ding (see also remark (29)):

**Theorem** (W. Ding [11]). *Assume  $N \geq 4$ ; let  $\Omega = A_r \setminus C_\epsilon$ , where*

$A_r = \{x \in \mathbb{R}^N : 0 < r < |x| < 1\}$  and  $C_\epsilon$  is the cylinder defined in notations (4).

There exists  $r_0 \in (0, 1)$ , such that, for  $r \in (0, r_0)$  there exists  $\epsilon(r) > 0$  such that, if  $r \in (0, r_0)$  and  $\epsilon \in (0, \epsilon(r))$ , then (\*) has a solution on  $\Omega = A_r \setminus C_\epsilon$ .

A weaker result is obtained by Ding when  $N = 3$  (see [11]).

In this paper we obtain existence and multiplicity results for solutions of the problem (\*) in some contractible bounded domains (see theorem (8)).

The proof uses essentially some results of P.L. Lions and Struwe (see theorems (11) and (16)), which apply the “concentration-compactness principle” to analyse the minimizing sequences for the best Sobolev constant  $S$  (see definition (9)), and the Palais-Smale’s sequences of the functional  $f$ .

At the end, we point out that the solutions of problem (\*), which we find in this paper, correspond to critical values in  $] \frac{1}{N} S^{N/2}, \frac{2}{N} S^{N/2} [$  of the related functional  $f$ , while the critical values obtained in [6] (and also in [7], [8]) lie in the interval  $]0, \frac{1}{N} S^{N/2} [$ .

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Let  $\Omega$  be a bounded domain in  $\mathbb{R}^N$ , with  $N \geq 3$  and  $2^* = \frac{2N}{N-2}$  be the critical Sobolev exponent for the imbedding  $H_0^{1,2}(\Omega) \subseteq L^p(\Omega)$ .

We are concerned with the following problem:

$$(1) \quad \begin{cases} \Delta u + u^{2^*-1} = 0 & \text{in } \Omega \\ u > 0 & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega \end{cases}$$

We shall use the following functionals:

(2) **Definition.** Let  $V = \{u \in H_0^{1,2}(\Omega) \mid \int_{\Omega} |u|^{2^*} dx = 1\}$ ; we define the functionals  $f : H_0^{1,2}(\Omega) \rightarrow \mathbb{R}$ ;  $\varphi : V \rightarrow \mathbb{R}$ ;  $F : H_0^{1,2}(\mathbb{R}^N) \rightarrow \mathbb{R}$  and  $g : H_0^{1,2}(\Omega) \rightarrow \mathbb{R}$  in the following way:

$$f(u) = \frac{1}{2} \int_{\Omega} |Du|^2 dx - \frac{1}{2^*} \int_{\Omega} |u|^{2^*} dx \quad \forall u \in H_0^{1,2}(\Omega)$$

$$\varphi(u) = \int_{\Omega} |Du|^2 dx \quad \forall u \in V;$$

$$F(u) = \frac{1}{2} \int_{\mathbb{R}^N} |Du|^2 dx - \frac{1}{2^*} \int_{\mathbb{R}^N} |u|^{2^*} dx \quad \forall u \in H_0^{1,2}(\mathbb{R}^N);$$

$$g(u) = \int_{\Omega} x_N |u(x)|^{2^*} dx \quad \forall u \in H_0^{1,2}(\Omega) \quad (\text{where } x = (x_1, \dots, x_N)).$$

(3) **Remark.** It is easy to verify that:

- a) a function  $u$  in  $H_0^{1,2}(\Omega)$ ,  $u \geq 0$  and  $u \not\equiv 0$ , solves problem (1) if and only if  $u$  is a critical point for the functional  $f$ ;
- b) a function  $u$  in  $H_0^{1,2}(\Omega)$ ,  $u \not\equiv 0$ , is a critical point for  $f$  if and only if  $\bar{u} = \frac{u}{\|u\|_{2^*}}$  is a critical point for  $\varphi$  and  $u = \|D\bar{u}\|_2^{\frac{N-2}{2}} \bar{u}$ .

Then, problem (1) is equivalent to search nonnegative critical points of  $\varphi$ .

We introduce the following notations:

(4) **Notations.** Let

$$B(c, r) = \{x \in \mathbb{R}^N : |x - c| < r\} \quad \forall c \in \mathbb{R}^N, \quad r > 0;$$

$$C_\epsilon = \left\{ x = (x_1, \dots, x_N) \in \mathbb{R}^N \mid x_N \geq 0, \sum_{i=1}^{N-1} x_i^2 \leq \epsilon^2 \right\} \quad \forall \epsilon > 0;$$

For every  $k \in \mathbb{N}$ , let  $T_k$  be the bounded cylinder

$$T_k = \left\{ x = (x_1, \dots, x_N) \in \mathbb{R}^N \mid -1 < x_N < k; \sum_{i=1}^{N-1} x_i^2 < 1 \right\}.$$

Let  $c_k = (0, \dots, 0, h) \in \mathbb{R}^N$  for every  $h = 0, 1, \dots, k - 1$ ; given  $k$  real numbers  $r_h$ , with  $0 < r_h < \frac{1}{2}$  for  $h = 0, 1, \dots, k - 1$ , let

$$D_k = T_k \setminus \bigcup_{h=0}^{k-1} \overline{B(c_h, r_h)}.$$

It is evident that the bounded domain  $D_k \setminus C_\epsilon$  is contractible for every  $\epsilon > 0$ .

(5) **Definition.** Suppose that the domain  $\Omega$  in  $\mathbb{R}^N$  have radial symmetry with respect to the axis  $x_N$ , that is it results  $T(\Omega) = \Omega$  for every transformation  $T$  in  $\mathbb{R}^N$  of the type

$$(6) \quad \begin{cases} T(x_1, \dots, x_N) = (0(x_1, \dots, x_{N-1}), x_N) \\ \text{with } 0 \text{ orthogonal transformation in } \mathbb{R}^{N-1}. \end{cases}$$

We call  $H_s(\Omega)$  the space of all the functions of  $H_0^{1,2}(\Omega)$  with the same symmetry, that is:

$$H_s(\Omega) = \{u \in H_0^{1,2}(\Omega) \mid u \circ T = u \quad \forall \text{ transformation } T \text{ of type (6)}\}.$$

Note that the domains  $D_k$  and  $D_k \setminus C_\epsilon$  (see notations (4)) have evidently radial symmetry with respect to the axis  $x_N$ .

**(7) Remark.** Suppose that  $\Omega$  has radial symmetry with respect to the axis  $x_N$ . One can easily verify that  $\Delta^{-1}(|u|^{2^* - 2}u)$  lies in  $H_s(\Omega)$  (see definition (5)) for every  $u$  in  $H_s(\Omega)$ ; therefore we have that  $\text{grad } f(u) \in H_s(\Omega)$  for every  $u$  in  $H_s(\Omega)$  and  $\text{grad } \varphi(\bar{u}) \in H_s(\Omega)$  for every  $\bar{u}$  in  $H_s(\Omega) \cap V$ .

Consequently, every critical point for  $f$  [or for  $\varphi$ ] on  $H_s(\Omega)$  is a critical point for  $f$  [or for  $\varphi$ ].

The main result in this paper is the following theorem:

**(8) Theorem.** *For every  $k \in \mathbf{N}$ , there exists a contractible bounded domain  $\Omega$  in  $\mathbf{R}^N$  with  $N \geq 3$ , such that the problem (1) has at least  $k$  solutions.*

*Precisely, there exists  $\bar{\epsilon} > 0$  such that, if  $\Omega = D_k \setminus C_\epsilon$  (see notations (4)) with  $0 < \epsilon < \bar{\epsilon}$ , then problem (1) has at least  $k$  solutions in  $H_s(\Omega)$ . Moreover, if we call  $u_1, \dots, u_k$  the solutions and set  $\bar{u}_h = \frac{u_h}{\|u_h\|_{2^*}}$  for  $h = 1, \dots, k$ , we have:*

$$\int_{\Omega} |D\bar{u}_h|^2 dx = \min \left\{ \int_{\Omega} |Du|^2 dx \mid u \in H_s(\Omega); \right.$$

$$\left. \|u\|_{2^*} = 1; h - 1 < g(u) < h \right\}$$

*for every  $h = 1, \dots, k$  (see definition (2)).*

The proof, reported at the point (18), is based on the results which follow.

Let  $S$  be the best constant for the Sobolev imbedding  $H_0^{1,2}(\Omega) \subseteq L^{2^*}(\Omega)$ , defined as follows:

$$(9) \quad S = \inf \left\{ \int_{\Omega} |Du|^2 dx \mid u \in H_0^{1,2}(\Omega); \int_{\Omega} |u|^{2^*} dx = 1 \right\}.$$

It is well known that the constant  $S$  verifies the following properties (see [21], [6], [24], [20], [13], [14]);

**(10) Proposition.** *Let  $S$  be the best Sobolev constant (see (9)). Then:*

- a)  $S$  is independent of  $\Omega$ ; it depends only on  $N$ ;
- b)  $S$  is not achieved in any bounded domain  $\Omega$ ;
- c) when  $\Omega = \mathbb{R}^N$ , then  $S$  is achieved by the function

$$\bar{U} = \frac{U}{\|U\|_{2^*}} \quad \text{with} \quad U(x) = \frac{1}{(1 + |x|^2)^{\frac{N-2}{2}}};$$

moreover, all minimizers for  $S$  are of the form

$$\bar{U}_{\sigma, x_0} = \frac{U_{\sigma, x_0}}{\|U_{\sigma, x_0}\|_{2^*}} \quad \text{where} \quad U_{\sigma, x_0}(x) = U\left(\frac{x - x_0}{\sigma}\right),$$

with  $\sigma > 0$  and  $x_0 \in \mathbb{R}^N$ .

- d) if  $u$  in  $H_0^{1,2}(\mathbb{R}^N)$  is a critical point for the functional  $F$  (see definition (2)) and  $u \geq 0$ ,  $u \not\equiv 0$ , then it results  $\frac{u}{\|u\|_{2^*}} = \bar{U}_{\sigma, x_0}$  for suitable  $\sigma > 0$  and  $x_0$  in  $\mathbb{R}^N$ .

We recall the following result of P.L. Lions:

**(11) Theorem** (P.L. Lions [17]). *Let  $(u_n)_n$  be a minimizing sequence for (6), that is:*

$$u_n \in H_0^{1,2}(\mathbb{R}^N); \int_{\mathbb{R}^N} |u_n|^{2^*} dx = 1 \quad \text{for every } n \in \mathbb{N}, \quad \text{and}$$

$$\lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} |Du_n|^2 dx = S.$$

Then there exist two sequences  $(y_n)_n$  in  $\mathbb{R}^N$  and  $(\sigma_n)_n$  in  $\mathbb{R}$  with  $\sigma_n > 0$ , such that the sequence  $(\tilde{u}_n)_n$  in  $H_0^{1,2}(\mathbb{R}^N)$  defined as follows:

$$\tilde{u}_n(x) = \sigma_n^{-\frac{N}{2}} u_n \left( \frac{x + y_n}{\sigma_n} \right),$$

is relatively compact in  $L^{2^*}(\mathbb{R}^N)$ .

It is evident that  $(\tilde{u}_n)_n$  is also a minimizing sequence and, if  $\tilde{u}_n \rightarrow \tilde{u}$  in  $L^{2^*}(\mathbb{R}^N)$ , then

$$\int_{\mathbb{R}^N} |D\tilde{u}|^2 dx = S.$$

We deduce the following proposition:

**(12) Proposition.** *If the minimizing sequence  $(u_n)_n$  of theorem (11) is in  $H_0^{1,2}(\Omega)$ , with  $\Omega$  bounded domain of  $\mathbb{R}^N$ , then the sequence  $(\sigma_n)_n$  (see theorem (11)) satisfies  $\lim_{n \rightarrow \infty} \sigma_n = +\infty$ .*

*Consequently, we can find a point  $\bar{x}$  in  $\bar{\Omega}$  and a subsequence  $(u_{n_i})_i$  such that*

$$(13) \quad \lim_{i \rightarrow \infty} \int_{\Omega} v |u_{n_i}|^{2^*} dx = v(\bar{x})$$

*for every function  $v$  which is continuous in  $\bar{\Omega}$ .*

*Proof.* Suppose, by contradiction, that  $(\sigma_n)_n$  (or a subsequence) is bounded; then  $(y_n)_n$  (see theorem (11)) is bounded too and therefore the sequence  $(\tilde{u}_n)_n$  is in  $H_0^{1,2}(B(0, \rho))$  for  $\rho$  sufficiently large; if we suppose that  $(\tilde{u}_n)_n$  (or a subsequence) converges to  $\tilde{u}$  in  $L^{2^*}(\mathbb{R}^N)$ ,

then the infimum in (9) is obtained by the function  $\tilde{u}$  in the bounded domain  $\Omega = B(0, \rho)$ , in contradiction with proposition (10) (point (b)).

Now we observe that

$$u_n(x) = \sigma_n^{\frac{N}{2^*}} \tilde{u}_n \left[ \sigma_n(x - x_n) \right] \quad \text{where} \quad x_n = \frac{y_n}{\sigma_n};$$

it follows that, for every  $\epsilon > 0$ ,  $(u_n)_n$  (or a subsequence) verifies

$$\begin{aligned} \lim_{n \rightarrow \infty} \int_{B(x_n, \epsilon)} |u_n|^{2^*} dx &= \lim_{n \rightarrow \infty} \int_{B(0, \sigma_n \epsilon)} |\tilde{u}_n|^{2^*} dx = \\ (14) \qquad \qquad \qquad &= \int_{\mathbb{R}^N} |\tilde{u}|^{2^*} dx = 1. \end{aligned}$$

Since  $\int_{\Omega} |u_n|^{2^*} dx = 1$  for every  $n \in \mathbb{N}$ , (14) implies that the sequence  $(x_n)_n$  is bounded and, if a subsequence  $(x_{n_i})_i$  converges to  $\bar{x}$ , then  $\bar{x}$  lies in  $\bar{\Omega}$  and (13) holds.

The functionals  $f$  and  $\varphi$  defined in (2) verify the following Palais-Smale's condition:

**(15) Proposition.** *Let  $N \geq 3$  and  $\Omega \subseteq \mathbb{R}^N$ . Then we have:*

a) *every sequence  $(u_n)_n$  in  $H_0^{1,2}(\Omega)$  such that*

$$\begin{cases} \lim_{n \rightarrow \infty} f(u_n) \in \left] \frac{1}{N} S^{N/2}, \frac{2}{N} S^{N/2} \right[ \\ \lim_{n \rightarrow \infty} \|f'(u_n)\|_{H^{-1,2}(\Omega)} = 0, \end{cases}$$

*is relatively compact in  $H_0^{1,2}(\Omega)$ ;*

b) every sequence  $(\bar{u}_n)_n$  in  $V$  (see definition 2)) such that

$$\begin{cases} \lim_{n \rightarrow \infty} \varphi(\bar{u}_n) \in ]S, 2^{\frac{2}{N}} S[ \\ \lim \|\varphi'(\bar{u}_n)\|_{H^{-1,2}} = 0, \end{cases}$$

is relatively compact in  $H_0^{1,2}(\Omega)$ .

The proof, reported at the point (17), is based on the following result of Struwe:

**(16) Theorem.** (Struwe [23]). *Let  $N \geq 3$  and  $\Omega \subseteq \mathbb{R}^N$ . Suppose that the sequence  $(u_n)_n$  in  $H_0^{1,2}(\Omega)$  satisfies  $\sup_{n \in \mathbb{N}} f(u_n) < +\infty$  and  $\lim \|f'(u_n)\|_{H^{-1,2}(\Omega)} = 0$ .*

*Then, there exist a number  $\bar{k} \in N_0$ , a function  $u_0$  in  $H_0^{1,2}(\Omega)$  critical point for the functional  $f$ , and  $\bar{k}$  functions  $u_1, \dots, u_{\bar{k}}$  in  $H_0^{1,2}(\mathbb{R}^N)$  critical points for the functional  $F$  (see definition (2)), such that  $(u_n)_n$  (or a subsequence) verifies:*

$$u_n \rightharpoonup u_0 \text{ weakly in } H_0^{1,2}(\Omega);$$

$$\lim_{n \rightarrow \infty} \|Du_n\|_2^2 = \sum_{J=0}^{\bar{k}} \|Du_J\|_2^2;$$

$$\lim_{n \rightarrow \infty} f(u_n) = f(u_0) + \sum_{J=1}^{\bar{k}} F(u_J).$$

**(17) Proof of Proposition (15).**

a) Let  $u_0$  and  $\bar{k}$  be the function and the number which appear in theorem (16); it suffices to prove that  $\bar{k} = 0$ .

First we observe that, if  $u_J$  has constant sign and  $u_J \neq 0$ , then proposition (10) (point (d)) implies that  $F(u_J) = \frac{1}{N} S^{N/2}$ ; on the contrary, if the sign of  $u_J$  is not constant, we have  $F(u_J) = F(u_J^+) + F(u_J^-)$ , where  $u_J^+ = \max\{u_J, 0\} \neq 0$  and  $u_J^- = \max\{-u_J, 0\} \neq 0$ ; using the properties of the Sobolev constant  $S$  (see proposition (10)) one can easily verify that  $F(u_J^+) \geq \frac{1}{N} S^{N/2}$  and  $F(u_J^-) \geq \frac{1}{N} S^{N/2}$ ; therefore it is evident that we cannot have  $f(u_0) = 0$ ; on the other hand, if  $u_0 \neq 0$ , then  $f(u_0) > \frac{1}{N} S^{N/2}$  and so we obtain that  $\bar{k} = 0$ .

- b) In our assumptions, there exists a sequence  $(\lambda_n)_n$  of multipliers such that

$$\lim \|\bar{u}_n + \lambda_n \Delta^{-1}(|\bar{u}_n|^{2^*-2} \bar{u})\|_{H^{-1,2}(\Omega)} = 0 ;$$

we can deduce that

$$\lim_{n \rightarrow \infty} \lambda_n = \lim_{n \rightarrow \infty} \int_{\Omega} |D\bar{u}_n|^2 dx \in ]S, 2^{\frac{2}{N}} S[.$$

Now it is easy to verify that the sequence  $u_n = \lambda_n^{\frac{N-2}{4}} \bar{u}_n$  satisfies the assumptions of point a) and this implies obviously the thesis.

(18) *Proof of theorem (8).*

We observe that the domain  $\Omega = D_k \setminus C_\epsilon$  (see notations (4)) has radial symmetry with respect to the axis  $x_N$  and then (see remark (7)) we look for solutions  $u$  in  $H_s(\Omega)$ .

**Step I:** For every  $h = 0, \dots, k - 1$ , let

$$(19) \quad S_h = \inf \left\{ \int_{D_k} |Du|^2 dx \mid u \in H_s(D_k); \|u\|_{2^*} = 1; g(u) = h \right\}$$

(see notations in (2), (4), (5), (9)).

We prove that

$$(20) \quad S_h > S \quad \text{for every } h = 0, \dots, k - 1.$$

In fact, suppose by contradiction that there exists a sequence  $(u_n)_n$  in  $H_s(\Omega)$  such that  $\|u_n\|_{2^*} = 1$ ;  $g(u_n) = h$  for every  $n \in \mathbb{N}$  and  $\lim_{n \rightarrow \infty} \int_{D_k} |Du_n|^2 dx = S$ .

Then, because of proposition (12) and symmetry of the functions  $u_n$ , we have that  $(u_n)_n$  (or a subsequence) verifies

$$\lim_{n \rightarrow \infty} g(u_n) = \bar{x}_N \quad \text{with } 0 < r_h \leq |\bar{x}_N - h|$$

and it is impossible, since  $g(u_n) = h$  for every  $n \in \mathbb{N}$ .

Thus (20) is proved.

**Step II:** we prove that there exist  $k$  functions  $\bar{v}_1, \dots, \bar{v}_k$  in  $H_s(D_k)$  such that, for every  $h = 1, \dots, k$ , it results:

$$(21) \quad \begin{cases} \int_{D_k} |\bar{v}_h|^{2^*} dx = 1; & h - 1 < g(\bar{v}_h) < h; \\ \int_{D_k} |D\bar{v}_h|^2 dx < \min \{S_h | h = 0, \dots, k - 1\} & \text{(see(19))}; \\ \int_{D_k} |D\bar{v}_h|^2 dx < 2^{\frac{2}{N}} S. & \text{(see(9))} \end{cases}$$

In fact, (20) and the properties of the constant  $S$  (see [6], [24]) implice that for every  $\delta > 0$  there exists a function  $\bar{v}$  in  $C_0^\infty(B(0, \delta))$ , invariant for orthogonal transformations of  $\mathbb{R}^N$ , such that:

$$\begin{cases} \int_{B(0, \delta)} |\bar{v}|^{2^*} dx = 1; \\ \int_{B(0, \delta)} |D\bar{v}|^2 dx < \min \{S_h | h = 0, \dots, k - 1\}; \\ \int_{B(0, \delta)} |D\bar{v}|^2 dx < 2^{\frac{2}{N}} S. \end{cases}$$

Now, since  $r_h < \frac{1}{2}$  for every  $h = 0, \dots, k-1$ , we can evidently choose  $\delta > 0$  in such a way that, if we define  $\bar{v}_h$  as

$$\bar{v}_h(x) = \begin{cases} \bar{v}(x - \bar{c}_h) & \text{for } x \in B(\bar{c}_h, \delta) \\ 0 & \text{for } x \in D_k \setminus B(\bar{c}_h, \delta), \end{cases}$$

where  $\bar{c}_h = (0, 0, \dots, 0, h - \frac{1}{2}) \in \mathbb{R}^N$ , then the functions  $\bar{v}_1, \dots, \bar{v}_k$  lie in  $H_s(D_k)$  and satisfy the conditions (21).

**Step III:** Let  $\Omega = D_k \setminus C_\epsilon$ ; we verify that, for  $\epsilon > 0$  sufficiently small, there exist  $k$  functions  $\bar{u}_{1,\epsilon}, \dots, \bar{u}_{k,\epsilon}$  in  $H_s(\Omega)$  such that

$$(22) \quad \begin{cases} \int_{\Omega} |\bar{u}_{h,\epsilon}|^{2^*} dx = 1; & h - 1 < g(\bar{u}_{h,\epsilon}) < h; \\ \int_{\Omega} |D\bar{u}_{h,\epsilon}|^2 dx < \min \{S_h | h = 0, \dots, k-1\}; \\ \int_{\Omega} |D\bar{u}_{h,\epsilon}|^2 dx < 2^{\frac{2}{N}} S. \end{cases}$$

In fact, let  $\bar{v}_1, \dots, \bar{v}_k$  be the functions in  $H_s(D_k)$  obtained above, verifying the conditions (21).

It is easy to find a family of cut-off functions  $z_\epsilon$  in  $C_0^\infty(\Omega) \cap H_s(\Omega)$  such that, if we set  $u_{h,\epsilon}(x) = z_\epsilon(x)\bar{v}_h(x)$ , then  $u_{h,\epsilon} \in H_s(\Omega)$  and

$$(23) \quad \begin{cases} \lim_{\epsilon \rightarrow 0^+} \int_{\Omega} |u_{h,\epsilon}|^{2^*} dx = \int_{D_k} |\bar{v}_h|^{2^*} dx = 1; \\ \lim_{\epsilon \rightarrow 0^+} \int_{\Omega} |Du_{h,\epsilon}|^2 dx = \int_{D_k} |D\bar{v}_h|^2 dx. \end{cases}$$

Therefore, we deduce easily that the functions  $\bar{u}_{h,\epsilon} = \frac{u_{h,\epsilon}}{\|u_{h,\epsilon}\|_{2^*}}$  (for  $h = 1, \dots, k$ ) satisfy the conditions (22) when  $\epsilon > 0$  is sufficiently small.

**Step IV:** Let  $\Omega = D_k \setminus C_\epsilon$ ; we prove that for every  $\epsilon > 0$  it results:

$$(24) \quad \inf \left\{ \int_{\Omega} |Du|^2 dx \mid u \in H_s(\Omega); \|u\|_{2^*} = 1; g(u) \geq 0 \right\} > S.$$

In fact, if by contradiction there exists a sequence  $(u_n)_n$  in  $H_s(\Omega)$  such that

$$\|u_n\|_{2^*} = 1; \quad g(u_n) \geq 0 \quad \text{for every } n \in \mathbb{N} \quad \text{and}$$

$$\lim_{n \rightarrow \infty} \int_{\Omega} |Du_n|^2 dx = S,$$

then proposition (12) and the symmetry of the functions  $u_n$  imply that  $(u_n)_n$  (or a subsequence) satisfies  $\lim_{n \rightarrow \infty} g(u_n) = \bar{x}_N$  with  $-1 \leq \bar{x}_N \leq -r_0 < 0$ , while  $g(u_n) \geq 0$  for every  $n \in \mathbb{N}$ ; thus (24) is proved.

**Step V:** Let  $\Omega = D_k \setminus C_\epsilon$ ; we prove that, when  $\epsilon > 0$  is sufficiently small, there exists the following minimum, for every  $h = 1, \dots, k$ :

$$(25) \quad \min \left\{ \int_{\Omega} |Du|^2 dx \mid u \in H_s(\Omega); \|u\|_{2^*} = 1; h - 1 < g(u) < h \right\}.$$

In fact, since

$$S_h \leq \inf \left\{ \int_{\Omega} |Du|^2 dx \mid u \in H_s(\Omega); \|u\|_{2^*} = 1; g(u) = h \right\},$$

(22) and (24) imply that, with the notations of definition (2), we have:

$$\begin{aligned} & \inf \left\{ \varphi(u) \mid u \in V; h - 1 < g(u) < h \right\} < \\ & < \inf \left\{ \varphi(u) \mid u \in V; g(u) = h \text{ or } g(u) = h - 1 \right\}; \end{aligned}$$

$$\inf \left\{ \varphi(u) \mid u \in V; h - 1 < g(u) < h \right\} \in ]S, 2^{\frac{2}{N}} S[.$$

Thus, it suffices to use the Palais-Smale's condition proved in proposition (15) to obtain the existence of the minimum in (25).

Finally we observe that the minimum in (25) is achieved on a function  $\bar{u}_h \geq 0$  (otherwise we replace  $\bar{u}_h$  by  $|\bar{u}_h|$ ); so, using remarks (3) and (7), we obtain  $k$  solutions  $u_1, \dots, u_k$  of problem (1) for  $\Omega = D_k \setminus C_\epsilon$  with  $\epsilon > 0$  sufficiently small, and this completes the proof.

**(26) Remark.** When  $\Omega = D_k \setminus C_\epsilon$  (see notations (4)) it is very plausible that problem (1) has more than  $k$  solutions.

Indeed we can prove (the proof is contained in a paper to appear) that:

- a) if some  $r_h$  for  $h = 0, \dots, k - 1$  (see notations (4)) is sufficiently small, then we can choose  $\epsilon > 0$  sufficiently small in such a way that the problem (1) has at least  $k + 1$  solutions for  $\Omega = D_k \setminus C_\epsilon$ .
- b) it is possible to choose the numbers  $r_h$  ( $h = 0, \dots, k - 1$ ) and  $\epsilon > 0$  sufficiently small, in such a way that the problem (1) has at least  $2k$  solutions when  $\Omega = D_k \setminus C_\epsilon$  (see notations (4)).

**(27) Remark.** One can observe that the domain  $\Omega = D_k \setminus C_\epsilon$  (see notations (4)) does not have regular boundary, but it is evident that, using the same technique, we can find contractible bounded domains  $\Omega$  in  $\mathbb{R}^N$ , with regular boundary and more general shape (provided with an axis of radial symmetry), where the existence and multiplicity result of theorem (8) holds.

**(28) Remark.** We note that the symmetry of the domain  $\Omega$  plays an important role in the proof of theorem (8); but we can prove an analogous existence and multiplicity result also in suitable contractible

bounded domains  $\Omega$  without any symmetry property (this result is contained in a paper to appear).

**(29) Remark.** The existence result of Ding reported in the introduction can be usefully compared with the following theorem, which can be proved evidently with the same technique used in theorem (8).

**(30) Theorem.** *Assume  $N \geq 3$ ; let  $\Omega = A_r \setminus C_\epsilon$  be defined as in Ding's theorem (see introduction).*

*For every  $r \in (0, 1)$  there exists  $\epsilon(r) > 0$  such that, if  $r \in (0, 1)$  and  $\epsilon \in (0, \epsilon(r))$ , then (\*) has a solution on  $\Omega = A_r \setminus C_\epsilon$ .*

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