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DISCRETE GROUPS IN THREE-DIMENSIONAL LOBACHEVSKY SPACE GENERATED
BY TWO ROTATIONS

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This paper will consider discrete groups of transformations in three-dimensional Lobachevsky space. A full classification of two-generator Fuchsian groups, i.e., discrete groups of orientation preserving transformations of the Lobachevsky plane, has already been given. This classification was completed by Purzitsky [1], and Matelski gave a new proof of the result using a geometric method [2]. The ideas of the latter proved useful in the study of the three-dimensional case. In this paper, we give necessary and sufficient conditions for the discreteness of a group of isometries of three-dimensional Lobachevsky space, generated by two elliptical elements with intersecting, mutually perpendicular axes.

We shall consider the group of orientation preserving isometries of the Lobachevsky space \mathbb{H}^3 which, as is known, is isomorphic to $PSL(2, \mathbb{C})$. All non-trivial elements of this group are divided into three types: elliptical, parabolic and loxodromic (see, for example, [3, p. 65]). Elliptical elements are determined by their axes (the set of stationary points) and by the angle and direction of rotation around these axes. An elliptical element of finite order $n \geq 2$ with angle of rotation $2\pi/n$ is said to be primitive.

We shall assume that two lines in the space \mathbb{H}^3 may either lie in one plane (and then they may intersect, be parallel, or diverge) or intersect. In the latter case, they have a common perpendicular. The angle between intersecting lines is the dihedral angle between the planes passing through the common perpendicular to each of the lines separately.

Then we have

THEOREM. If $A, B \in PSL(2, \mathbb{C})$ are primitive elliptical elements of order n and m , respectively ($n \leq m$), with mutually perpendicular axes, then the group generated by these elements $G = \langle A, B \rangle$ is discrete if and only if one of the following conditions holds:

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- 1) $[A, B] = ABA^{-1}B^{-1}$ is a loxodromic element,
- 2) $[A, B]$ is a parabolic element,
- 3) $[A, B]$ is an elliptical element with dihedral angle $4\pi/\ell$ and one of the following is true:
 - a) the triple (n, m, ℓ) is one of:

$$(3, 3, 5), (4, 5, 3), (5, 5, 3), (3, 6, 3), (3, 3, 6), (3, 4, 4),$$

$$(4, 6, 3), (5, 6, 3), (6, 6, 3), (4, 4, 4).$$
 - b) ℓ is an integer and $1/\ell + 1/m < 1/2$,
 - c) $n = 2, m \geq 7, \ell = m/2$,
 - d) $n = m \geq 7, \ell = n/2$.

Suppose A and B are elements satisfying the conditions of the theorem, where a is the axis of A and b is the axis of B , and that e is the common perpendicular to the lines a and b . Let e_a denote the line obtained from the line e by rotation around the line a by an angle of π/n in the direction of rotation of the element A . Similarly, the line e_b is obtained from the line e by rotation around the line b by an angle of π/m in the direction of rotation of the element B . We use E, E_a , and E_b to denote elliptical elements of order two with axes e, e_a , and e_b (respectively). Then $A = E_a E$, $B = E_b E$ and $[A, B] = ABA^{-1}B^{-1} = (E_a E) \times (E_b E) (E E_a) (E E_b) = (E_a E E_b)^2 = (A E_b)^2$.

Let α denote the plane passing through a and e_a , β denote the plane passing through b and e_b , γ denote the plane passing through e, e_a and b , and δ denote the plane passing through e, e_b and a . Note that the plane γ and δ , α and γ , β and δ intersect at right angles, and that the planes α and δ intersect at an angle of π/n , whilst the planes β and γ intersect at an angle π/m . The planes α and β may intersect in a line (Fig. 1), be parallel (intersecting in a single point at infinity) or diverge.

Let R, R_a and R_b denote the reflections in the planes δ, α and β (respectively). Then $A = R_a R$, and $E_b = R R_b$. Thus $[A, B] = (A E_b)^2 = (R_a R R R_b)^2 = (R_a R_b)^2$.

The proof of the theorem follows from the following five lemmas.

LEMMA 1. Suppose the lines e_a and b intersect or are parallel. Then

- 1) $[A, B]$ is an elliptical element,
- 2) if $[A, B]$ has angle of rotation $4\pi/\ell$, then the group $G = \langle A, B \rangle$ is discrete if and only if the triple (n, m, ℓ) belongs to the set $S = \{(3, 3, 5): (4, 5, 3): (5, 5, 3): (3, 6, 3): (3, 3, 6): (3, 4, 4): (4, 6, 3): (5, 6, 3): (6, 6, 3): (4, 4, 4)\}$.

Proof. Since $n \leq m$ and the lines e_a and b intersect or are parallel, the lines e_b and a also intersect or are parallel. In this case, the planes $\alpha, \beta, \gamma, \delta$ are the faces of a tetrahedron, some vertices of which may lie at infinity. This tetrahedron is said to be fundamental.

Let c be the line of intersection of the planes α and β and π/ℓ the dihedral angle of the fundamental tetrahedron with edge c . Then it is easy to see that $R_a R_b$ is an elliptical element with axis c and angle of rotation $2\pi/\ell$. Thus the element $[A, B] = (R_a R_b)^2$ is a rotation by an angle $4\pi/\ell$ around the line c . This proves point 1) of Lemma 1.

We now prove point 2) of Lemma 1. Suppose the group G is discrete. Then ℓ must be rational. We consider two separate cases: ℓ is an integer and $\ell = p/q$ is an irreducible fraction.

Suppose ℓ is an integer. Then all the dihedral angles of the fundamental tetrahedron are of the form π/r for some integer r . The classification of such tetrahedra is known and is given in [4], whence we deduce that the triple $(n, m, \ell) \in S$.

Suppose $\ell = p/q$ is an irreducible fraction. It is not hard to see that in the fundamental tetrahedron, the dihedral angle with edge c must be acute, $\pi/\ell < \pi/2$ or $p/q > 2$. We shall show moreover that $p \leq 6$.

Consider the groups $G, \tilde{G} = \langle G, E \rangle$ and $\tilde{\tilde{G}} = \langle \tilde{G}, R \rangle$, where E and R are as previously defined. The group G is either equal to the group $\tilde{\tilde{G}}$ or is a subgroup of index two in it. Actually, as a geometric consequence of the obvious relationships $EAE^{-1} = A^{-1}$ and $EBE^{-1} = B^{-1}$,

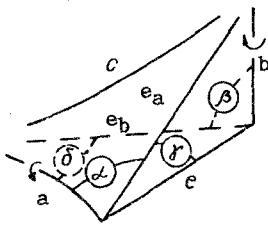


Fig. 1

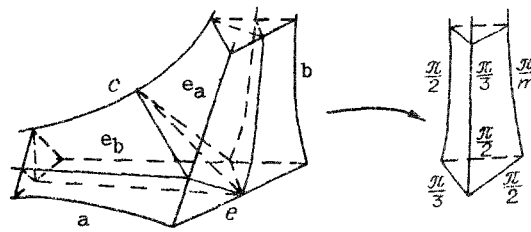


Fig. 2

we have $\tilde{G} = G \cup GE$. If $E \in G$, then $G = \tilde{G}$ but if $E \notin G$, then $G \triangleleft \tilde{G}$. Using similar arguments, and taking into account the fact that the reflection R alters the orientation, whence $R \notin \tilde{G}$, we deduce that \tilde{G} is a subgroup of index two in the group $\tilde{\tilde{G}}$. Thus the groups G , \tilde{G} , and $\tilde{\tilde{G}}$ are simultaneously discrete or not discrete.

All the reflections in the faces of the fundamental tetrahedron belong to the group $\tilde{\tilde{G}}$. Since one of its angles is equal to $q(\pi/p)$, this dihedral angle is divided into q parts (and the fundamental tetrahedron is divided into q tetrahedra) by the additional planes of the reflections of \tilde{G} , passing through the edge c , where the angle between adjacent planes is π/p . If in any one of the q tetrahedra, not all the dihedral angles are of the form π/r for some integer r , then it is possible to continue to subdivide this tetrahedron in a similar way. If the group \tilde{G} is discrete, the process of subdivision must terminate, and after a finite number of steps we will obtain a tetrahedron, all of whose dihedral angles are of the form π/r . All such tetrahedra were calculated in [4], and have dihedral angles not less than $\pi/6$. Thus $\pi/p \geq \pi/6$ and $p \leq 6$.

Whence we have an irreducible fraction $p/q > 2$ with $p \leq 6$. With this restriction, p/q is not an integer for $p = 5, q = 2$ only. Easy calculations show that there are no tetrahedra of the form $T[2, 2, n; 2, 5/2, m]$ (where n and m are integers) in the Lobachevsky space. (We recall that if $\pi/\lambda_1, \pi/\lambda_2, \pi/\lambda_3$ are the dihedral angles of a tetrahedron whose edges lie in one plane, and π/μ_i are the angles subtended by the angles $\pi/\lambda_i, i = 1, 2, 3$, then such a tetrahedron is denoted by $T[\lambda_1, \lambda_2, \lambda_3; \mu_1, \mu_2, \mu_3]$.)

Thus, if the group G is discrete, the number ℓ is not a proper fraction.

Conversely, suppose $(n, m, l) \in S$.

If ℓ is even, then there exists an integer k such that $[A, B]^k$ is a rotation by an angle $2\pi/\ell$. Set $C = [A, B]^k$. Then $E_a = CB^{-1}$, $E = E_a A = CB^{-1}A$, whence $E \in G$ and $G = \langle E, E_a, B \rangle$. The conditions of Poincaré's theorem hold (see [5]) for the generators E, E_a and B and for the double tetrahedron obtained from the fundamental tetrahedron and its reflection in the face γ , and thus we deduce that the group G is discrete.

If ℓ is odd, then the group G is discrete by Poincaré's theorem, applied to the generators A and B and the quadruple tetrahedron obtained from the double tetrahedron and its reflection in the face δ . (Note that in this case $E \notin G$.) The proof of Lemma 1 is complete.

LEMMA 2. Suppose $n > 2$. Then the following conditions are equivalent.

- 1) The lines a and b diverge and e_b and a intersect or are parallel,
- 2) $[A, B]$ is an elliptical element with angle of rotation $4\pi/\ell$ and the following inequalities hold

$$1/l + 1/n \geq 1/2, \quad 1/l + 1/m < 1/2. \quad (1)$$

In this case, the group $G = \langle A, B \rangle$ is discrete if and only if ℓ is an integer.

Proof. Suppose that $n > 2$, that the lines e_a and b diverge, and that e_b and a intersect or are parallel. In this case, the planes α and β intersect in a line which we shall denote by c . As in the previous lemma, it can be shown that $[A, B]$ is an elliptical element with axis c and angle of rotation $4\pi/\ell$, where π/ℓ is the angle between the planes α and β . We note that the planes $\alpha, \beta, \gamma, \delta$ form an infinite triangular prism with base δ and lateral faces α, β and γ . As noted by Kaplinskaya [6], there exists a plane ε perpendicular to all the lateral faces. From a theorem of Andreev [7, 8], it follows that inequalities (1) hold for all infinite triangular prisms with faces $\alpha, \beta, \gamma, \delta, \varepsilon$. Conversely, if $[A, B]$ is an elliptical element and the inequalities (1) hold, then the planes $\alpha, \beta, \gamma, \delta$ form an infinite triangular prism, and so the lines e_a and b diverge and the lines e_b and a intersect or are parallel.

Suppose the group G is discrete. Then ℓ is rational. We show that ℓ cannot be a proper fraction.

Suppose $\ell = p/q$ is an irreducible fraction. Since G is discrete, the group $G = \langle G, E \rangle$ is also discrete. Since $E_a, B \in \tilde{G}$ and the plane ε is invariant under E_a and B , by considering the restrictions of E_a and B to the plane, we deduce that the group generated by these elements must be Fuchsian. Using the results of [2], it follows that $q = 2$ and $p = m \geq 7$.

Consider the vertex V formed by the intersection of the edges a and e_b . If V lies at infinity, then by considering the restrictions of the elements A and E_b of \tilde{G} to the orisphere corresponding to the vertex V , we deduce that the group they generate is isomorphic to a group of transformations of the Euclidean plane and is furthermore discrete (since \tilde{G} is discrete). The classification of discrete groups of transformations of the Euclidean plane is known [9], of such orientation-preserving groups, only cyclic groups contain elliptical elements of order $p \geq 7$. In our case, the axes of A and E_b intersect the orisphere at a number of different points, consequently the group they generate is noncyclic. Thus, V does not lie at infinity.

If the vertex V is proper, then any non-Euclidean sphere with center at the vertex V is invariant under A and E_b ; thus these elements generate a discrete group of transformations of a two-dimensional sphere. All such groups are known [9], and no such group contains two elliptical elements with different axes, and orders n and p with $n > 2$ and $p \geq 7$. Thus the vertex V cannot be proper.

Thus ℓ cannot be a proper fraction if the group G is discrete.

The sufficiency of the discreteness of G is proved accordingly as ℓ is even or odd, as in Lemma 1.

LEMMA 3. Suppose the lines e_a and b diverge and e_b and a intersect, and moreover that $n = 2$. Then

- 1) $[A, B]$ is an elliptical element with angle of rotation $4\pi/\ell$,
- 2) the group G is discrete if and only if the following conditions hold
 - a) ℓ is an integer and $1/\ell + 1/m < 1/2$,
 - b) $m \geq 7, l = m/2$.

Proof. It is easy to show that if the planes α and β intersect in the line c , and the angle between the planes is π/ℓ , then $[A, B]$ is a rotation by an angle of $4\pi/\ell$ around the axis c .

Suppose the group G is discrete. Then $\tilde{G} = \langle G, E \rangle$ is also discrete. From the conditions of the lemma, we deduce that the lines e_a and b are perpendicular to the plane δ . This shows that δ is invariant under the elements E_a and B , and thus the group $\langle E_a, B \rangle$ acting on δ must be Fuchsian. By virtue of the result in [2], we deduce the necessity of conditions a) and b) of the lemma.

The sufficiency of condition a) for the discreteness of the group G is proved as in Lemmas 1 and 2. Suppose condition b) holds, then it is easy to see that the group $\tilde{G} = \langle \tilde{G}, R \rangle$ is discrete. Consequently, its subgroup G is also discrete.

LEMMA 4. The following statements are equivalent

- 1) The lines e_b and a diverge and the planes α and β intersect in a line
- 2) $[A, B]$ is an elliptical element with angle of rotation $4\pi/\ell$ and the inequalities

$$1/l + 1/n < 1/2, \quad 1/l + 1/m < 1/2. \quad (2)$$

Then, the following two conditions are necessary and sufficient for the group G to be discrete

- a) ℓ is an integer,
- b) $n = m \geq 7, l = m/2$.

Proof. Suppose that the lines e_b and a diverge and the planes α and β intersect in a line which we denote by c . As before, it can be shown that $[A, B]$ is an elliptical element with axis c and angle of rotation $4\pi/\ell$, where π/ℓ is the angle between the planes α and β .

Since $n \leq m$ and the lines e_b and a diverge, e_a and b diverge. Moreover, $n > 2$ and $m > 2$. Then there exist planes ε and η such that ε is perpendicular to the planes α , β and γ , and η is perpendicular to the planes α , β and δ . By a theorem due to Andreev [7], the inequality (2) holds for the polyhedron in Lobachevsky space bounded by the planes α , β , γ , δ , ε , η . Conversely, if $[A, B]$ is an elliptical element and inequality (2) holds, then the planes α and β intersect in a line and the lines e_b and a diverge.

Suppose now that the group G is discrete. Then ℓ cannot be irrational.

Suppose $\ell = p/q$ is an irreducible fraction. Since the group $\tilde{G} = \langle G, E \rangle$ is discrete, and the elements E_a and B leave the plane ε invariant, then as before, using the results of [2], we obtain $m = 2l$, $m \geq 7$. Similar arguments for the elements A and E_b which leave the plane η invariant, show that $n = 2\ell$, $n \geq 7$. Thus, if the group G is discrete and ℓ is rational, then condition b) holds.

We may now assume that condition b) holds. We consider the group $\tilde{G} = \langle G, E, R \rangle$. The planes of reflection of this group divide the initial polyhedron into six infinite triangular prisms (Fig. 2), each of which is a fundamental polyhedron for the group \tilde{G} . Thus the group \tilde{G} is discrete. Consequently, G is discrete. The discreteness of the group G given condition a) is proved as in Lemmas 1 and 2. The proof of Lemma 4 is complete.

Lemmas 1-4 give necessary and sufficient conditions for the discreteness of the group G when the planes α and β intersect in a line.

LEMMA 5. Suppose the planes α and β are parallel or diverge. Then G is discrete.

Proof. If the planes α and β are parallel, then $[A, B] = (R_a R_b)^2$ is a parabolic element, whose fixed point (the point of intersection of α and β) lies at infinity. If α and β diverge, then $[A, B]$ is a loxodromic element whose axis is the common perpendicular to these planes. In both cases, the group G is discrete, by Poincaré's theorem.

Lemmas 1-5 together with the previously stated theorem show that

COROLLARY. Let $A, B \in PSL(2, \mathbb{C})$ be primitive elliptical elements with intersecting, mutually perpendicular axes which generate a discrete group $G = \langle A, B \rangle$. Then

- 1) If \mathbb{H}^3/G is compact, then it is one of the three Lannerovsky groups of compact type [4]: $T_2 = T(2, 2, 3; 2, 5, 3)$, $T_3 = T(2, 2, 4; 2, 3, 5)$ or $T_4 = T(2, 2, 5; 2, 3, 5)$,
- 2) if \mathbb{H}^3/G is finite, but not compact, then G is one of the following semi-Lannerovsky groups of noncompact type [4]: $T^1 = T(3, 2, 2; 6, 2, 3)$, $T^2 = T(2, 2, 3; 2, 6, 3)$, $T^3 = T(3, 2, 2; 4, 2, 4)$; $T^4 = T(4, 2, 2; 6, 2, 3)$, $T^5 = T(5, 2, 2; 6, 2, 3)$, $T^6 = T(6, 2, 2; 6, 2, 3)$ or $T^7 = T(4, 2, 2; 4, 2, 4)$.

Remark. The discrete group $T = T(\lambda_1, \lambda_2, \lambda_3; \mu_1, \mu_2, \mu_3)$ generated by rotation around three edges of the fundamental tetrahedron $T[\lambda_1, \lambda_2, \lambda_3; \mu_1, \mu_2, \mu_3]$, described in Lemma 1, has the following canonical representation

$$T = \{x, y, z: x^{\lambda_1} = y^{\lambda_2} = z^{\lambda_3} = (yz)^{\mu_1} = (xz)^{\mu_2} = (xy)^{\mu_3} = 1\}.$$

It is natural to consider the group T as a three-dimensional analog of planar discrete groups generated by three rotations around the vertices of a triangle. From the proof of Lemma 1, it follows that the groups $T_2, T_3, T_4, T^1, T^4, T^5, T^6$ are generated by two elliptical elements, and so their rank (minimal number of generators) is equal to two. A similar result for discrete planar groups was proved by Zieschang [10, pp. 170-171].

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MONADS OF PRO-ULTRAFILTERS AND EXTENSIONAL FILTERS

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In [1] we proposed an approach to the application of monadology, a branch of nonstandard analysis, to the study of cyclic filters, which arise in the context of Boolean-valued models. In this paper we characterize the monads of pro-ultrafilters and extensional filters and discuss some relevant properties of these objects. To save space, we shall use the notation and conventions described in detail in [1] without further explanation. We emphasize only that henceforth B will denote a fixed complete Boolean algebra and $V^{(B)}$ the corresponding separable Boolean-valued universe. The truth value of a formula φ of Zermelo-Fraenkel set theory will be denoted by $[\varphi]$. When monadology is used, the neoclassical formulation is assumed. We shall generally adopt the hypothesis that the entourage is standard, without further mention.

1. Let X be a cyclic set (= descent of some B -set). As usual, the symbol μ_d will denote the operation producing the (discrete) monadic hull. In other words, $\mu_d(\emptyset) := \emptyset$, and if U is a nonempty set in X then $\mu_d(U)$ is the monad of the standardization of the external filter of supersets of U , i.e.,

$$x \in \mu_d(U) \leftrightarrow ((\forall^{st} V \subset X) U \subset V \rightarrow x \in U).$$

By analogy, we define the cyclic monadic hull μ_c as follows:

$$x \in \mu_c(U) \leftrightarrow (\forall^{st} V) (V = V \uparrow \wedge V \subset X \wedge U \subset V \rightarrow x \in V).$$

Thus, if U is not empty, the cyclic monadic hull $\mu_c(U)$ is the monad of the cyclic closure of the standardization of the filter of supersets of U .

2. The cyclic monadic hull of a set is the cyclic closure of its monadic hull:

$$\mu_c(U) = \text{mix}(\mu_d(U))$$

for every U .

\triangleleft Let $U \neq \emptyset$ and let V be a standard set such that $V \supset \text{mix}(\mu_d(U))$. By Theorem 2.3 of [1], there exists W in the filter $\ast\{U_1 \subset X: U_1 \supset U\}$ such that $V \supset W \uparrow$ and so $V \supset \mu_c(U)$. Thus $\mu_c(U) \subset \text{mix}(\mu_d(U))$, since the set on the right is a monad. Conversely, if $V \supset \mu_c(U)$ and V is standard, then V contains the cyclic closure of a superset of U and thus $V \supset U$. Hence $V \supset \mu(\ast\{W: W \supset U\} \uparrow)$ and it remains to appeal again to Theorem 2.3 of [1]. \triangleright

3. Cyclic filters in X that are maximal with respect to inclusion will be called pro-ultrafilters in X . An essential point in X is defined to be an element of the monad of a standard pro-ultrafilter. The external set of all essential points of X will be denoted by eX . It is useful to emphasize that the pro-ultrafilters in X are precisely the descents of the ultrafilters in the ascent $X \uparrow$ of X .

4. Nonstandard Pro-Ultrafilter Criterion. A filter is a pro-ultrafilter if and only if, first, its monad is cyclic, and, second, it is easily captured by a standard cyclic set.

\triangleleft Let \mathcal{F} be a filter. We have to prove that the following proposition is valid:

$$(\mathcal{F} \text{ is a pro-ultrafilter}) \leftrightarrow \mu(\mathcal{F}) = \text{mix}(\mu(\mathcal{F})) \wedge (\forall^{st} V) (V = V \uparrow \rightarrow \mu(\mathcal{F}) \subset V \vee \mu(\mathcal{F}) \subset V').$$