

## **Immunopathology of apoptosis – introduction and overview**

**David L. Vaux**

The Walter and Eliza Hall Institute for Medical Research,  
Post Office Royal Melbourne Hospital, Victoria 3050, Australia

### **Introduction**

This edition of Seminars in Immunopathology is devoted to apoptosis, the physiological process by which unwanted cells are removed. Rather than covering the entire field of apoptosis, the chapters focus on the clinical implications of cell death, both in terms of causing disease and possible new treatments. In particular it considers apoptosis in the immune system, in which it has been linked with autoimmune disease, neoplasia, graft rejection and infectious disease.

The current flood of publications on apoptosis has been indicative of the rapid progress in the field, but has also made it difficult for anyone not working directly on cell death to maintain perspective. This problem has been compounded by the significant proportion of claims that have subsequently been shown to be incorrect. Furthermore, although apoptosis can be observed in many circumstances, it does not necessarily have any significance. The chapters in this volume give examples of situations in which apoptosis of cells of the immune system has a relevance beyond mere correlation with physiology or pathology, and is critical to the final outcome.

Historically immunologists have been among the first groups to realise the significance of physiological cell death. One reason may have been that some of the early workers in the field happened to be studying lymphoid cells. Another is that *bcl-2*, which was found because it is commonly rearranged in follicular lymphoma, was the first component of the cell death mechanism to be identified.

### **The apoptotic effector mechanism**

The central mechanisms of apoptosis are highly conserved, as some mammalian cell death genes can function in invertebrates and vice versa. For example, the finding that human *bcl-2* could function in *Caenorhabditis elegans* [59] indicated that apoptosis in mammalian cells was implemented by a process resembling the process of programmed cell death that had been studied by genetic means in the worm [22]. Although the effector mechanisms of cell death are conserved, the effects and impact of dysregulation of cell death pathways vary depending on the cells in which it occurs. Furthermore, the signal transduction pathways that can activate the death effector mechanisms are very diverse, and are only beginning to be elucidated.

**Table 1.** The apoptotic pathway. The key effector proteins of apoptosis are the caspases which exist in a latent form within the cell. Very many signals can lead to activation of the caspases, culminating in apoptosis, but the pathways are carefully controlled

Death signals	Cytokines (addition or removal), steroids, abnormal nucleic acid, viruses, metabolic changes, free radicals, drugs, anoxia, extracellular matrix, etc.
Receptors	Cytokine receptors, steroid receptors, integrins, p53, etc.
Signal transduction	Jaks, ras, kinases, transcription factors, NF $\kappa$ B, calcium ions, etc.
Regulators	BCL-2 family, FLIP, IAPs
Adaptors	CED-4, Apaf-1, FADD, RAIDD
Proteases	Caspases 1–11, granzyme B
Substrates	DFF, PAK2, PARP, huntingtin, PKC theta, DNA dependent protein kinase, lamin A, etc.
Secondary substrates	DNA, proteins

In essence, apoptosis is a carefully controlled program of proteolysis (Table 1). The key effector molecules of apoptosis are a family of caspases: cysteine proteases that cleave their substrates following particular aspartate residues [7, 53]. Precursors of these caspases exist in an inactive state within most of our cells, and can be activated by cleavage, without having to be synthesised [37]. Thus, most of our cells carry the latent seeds for their own destruction. The caspases cleave a large number of substrates within the cell, and this is thought to be the point of no return for the cell. Clearly it is important to make sure that these enzymes are tightly regulated.

### *Regulation of caspases*

The pro-caspases are activated either by an upstream caspase [32, 53], or by a family of adaptor proteins that directly associate with the pro-domains of the caspase precursors. These adaptor proteins include FADD, RAIDD and CED-4/Apaf-1 [4, 10, 14, 21, 29, 67]. Somehow these adaptor proteins link the caspases with apoptosis activation signalling pathways, but the details are only known in a few cases.

Regulation of caspase activation can occur at a number of points. One way the BCL-2 family of proteins appear to inhibit apoptosis is by binding to particular adaptor proteins, preventing them from activating the caspases [43, 44, 62]. Other proteins, such as FLIP, interfere with the function or activation of other adaptors by binding to their protein association domains [30].

There are several viral apoptosis inhibitory proteins (such as CrmA and p35) that function by binding to and thereby inhibiting the active caspases [12, 17, 38, 64]. Some evidence suggests that the IAP family of apoptosis inhibitory proteins protect against cell death in the same way, although it has also been proposed that these proteins act upstream to somehow prevent caspase activation [19, 34].

### *Apoptosis activation pathways*

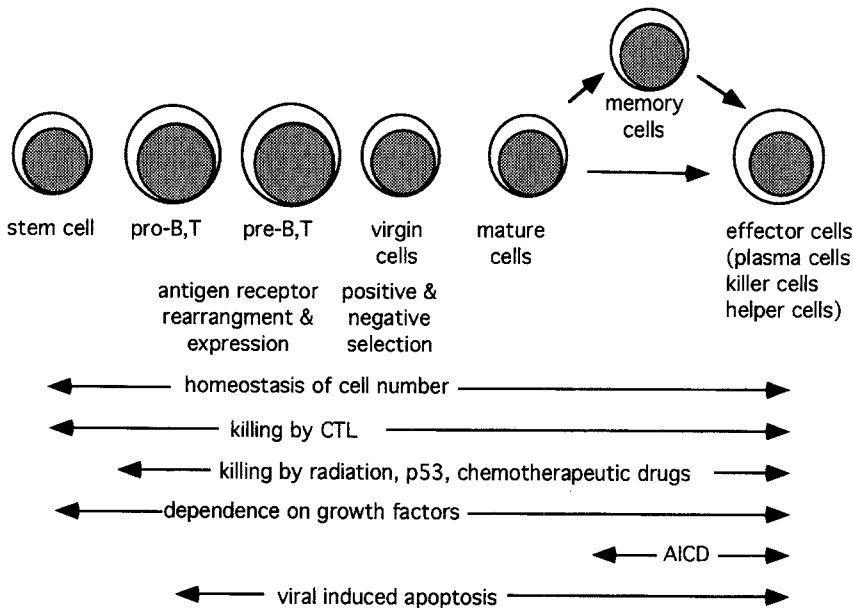
Cells respond to a wide range of stimuli by activating their suicide mechanism. As apoptosis is used to balance mitosis, and thus maintain a homeostasis of cell number,

cells must be able to respond to signals from other cells [39]. For example, cells may know that they are superfluous when their receptors no longer receive signals from growth factors or the extracellular matrix [11, 13, 58]. Sometimes cytokines or hormones, such as tumour necrosis factor (TNF) or cortisone, are used not to keep cells alive but to tell a cell to kill itself [33, 63].

Some death stimuli may originate within the cell. Cells carefully monitor their own metabolism, and their cell cycle status. If a cell resting in G0 detects activation of S phase genes it may decide to kill itself, assuming that it had been infected by a virus or had suffered a potentially oncogenic mutation to its cell cycle machinery. It is possible that this is one of the roles of the product of the p53 gene [60, 66]. Over 400 drugs and toxins have been shown to induce an apoptotic response in one cell type or another. This probably indicates that apoptosis is used as a “stress response” to remove cells that are metabolically disturbed [57].

### Clinical and pathological aspects of apoptosis in the immune system

There are many stages at which lymphocytes may undergo apoptosis (Fig. 1). For example, cells that fail to productively rearrange their antigen receptors are eliminated by apoptosis [48]. Developing T cells that fail to recognise self MHC and cells that recognise self antigens bound to MHC are eliminated in the thymus [51]. Mature lymphocytes have a limited lifespan, and are also removed by apoptosis. Following an immune reaction, lymphocyte numbers return to normal, most likely by apoptosis of the



**Fig. 1.** Occurrence of apoptosis in lymphocytes. Lymphocytes may undergo apoptosis for a variety of reasons at any stage of their differentiation. The number of cells undergoing apoptosis can be altered indirectly, for example when mutations to DNA-dependent protein kinase prevent productive rearrangement of antigen receptors (SCID), or directly, such as when the *bcl-2* gene is rearranged and constitutively expressed

extra cells. During many stages of their development the survival of lymphoid cells is regulated by cytokines such as interleukin-7, and in the absence of these growth factors the cells die [5, 35]. As these cells can be rescued by BCL-2, once again their death must be due to an apoptotic process that BCL-2 can block. Interestingly, the processes of positive and negative selection, which are also thought to occur by apoptosis, occur normally in *bcl-2* transgenic and *lpr* mice, so it must be signalled by a pathway that does not require CD95 and is not subject to control by BCL-2 [2, 42, 45].

Like other cells, lymphocytes can become infected by viruses. The only way to eliminate such cells is by apoptosis. Some cells may respond to the viral infection by committing suicide, while others are killed by cytotoxic T cells.

It is clear that lymphocytes can undergo apoptosis normally or abnormally at many different stages and under many different circumstances. Pathologies causing abnormal apoptosis and the pathology caused by abnormalities in apoptosis will, therefore, depend on which cells are affected and the molecular nature of the abnormality. Many of the molecules involved at different stages of apoptosis in lymphocytes will be discussed at length in sections of this volume. Some of them are briefly introduced below.

### *bcl-2*

The *bcl-2* gene was first identified as the gene translocated and thereby activated in follicular lymphoma [54, 55]. Genetic studies in *C. elegans* suggest that the BCL-2 homolog CED-9 functions by preventing the adaptor molecule CED-4 from activating the caspase CED-3 [22, 43]. Presumably BCL-2 functions in a similar way.

*bcl-2* has been found to be translocated in a number of lymphoid tumors in addition to follicular lymphoma, and is found to be expressed at abnormally high levels in many other types of cancers [50]. Experiments with transgenic mice have shown that *bcl-2* does not directly transform cells, but can cause cells to accumulate, increasing the number of cells susceptible to other oncogenic genetic changes [36, 47]. Cells expressing *bcl-2* are also resistant to induction of death by p53, so if these cells suffer extra genetic mutations they can not be eliminated by p53-dependent apoptosis [15, 49]. Transgenic mice expressing *bcl-2* in their lymphocytes develop cancer and on certain genetic backgrounds develop an autoimmune disease resembling systemic lupus erythematosus [46]. Thus, as well as being oncogenic, loss of the ability to undergo apoptosis can promote autoimmune disease.

While many BCL-2-like proteins (such as BCL-x, BCL-w, etc.) inhibit apoptosis, apoptosis can be promoted by other BCL-2 like proteins (such as BAX, BAD, BIK, etc.) [52, 61, 65]. This has raised the possibility of using these proteins therapeutically as BCL-2 antagonists, hopefully to cause tumor cells overexpressing *bcl-2* to undergo apoptosis [16].

### *TNF receptor family*

TNF was the first cytokine identified that could induce cells to undergo apoptosis [33]. It therefore represents the proximal end of a signal transduction pathway that can culminate in cell suicide. However, receptors for TNF, and other members of this family of receptors including CD95 (Fas/APO-1), are not simply obligate death signalers. In many cases ligation of these receptors does not cause apoptosis, but may cause other

cellular responses including proliferation or cytokine secretion [1, 3, 6, 23]. Mice bearing mutations of CD95 (*lpr*) or its ligand (*gld*) develop lymphadenopathy and autoimmune disease [18]. The same appears to be the case in humans [24, 40]. Although apoptotic signalling pathways originating from CD95 leading to activation of caspase-8 are among the best understood, other signalling pathways activated by ligation of CD95 have been recognised but are only just beginning to be understood. It is not yet fully resolved how much these pathways (rather than the apoptosis pathways) contribute to the phenotype of *lpr* or *gld* mice.

Although much attention has been focused on CD95 and the TNF receptors, other members of this rapidly growing family are also certain to be involved in human disease.

### *Cytotoxic T cells*

The role of cytotoxic T lymphocytes (CTL) is to protect the body from infected and mutated cells by causing them to undergo apoptosis. If they fail in this task infections can spread, and there may be a higher incidence of cancer [56]. CTL can also be harmful, for example by causing graft rejection.

Because apoptosis is a process of cell suicide, it was initially puzzling why the target cells killed by CTL displayed classical apoptotic morphology [41]. Observations that granzyme B, a serine protease in the granules of CTL, resembles the caspases in substrate specificity, suggested that one of the ways CTL kill is by using an enzyme that replaces or activates the caspases when introduced into the target cell [59]. Precisely how cytotoxic granules are released from CTL, and how their components function within the target cell is an area of intense investigation. It has become apparent that CTL may also kill in some circumstances through membrane-bound CD95L or by secretion of cytokines [9, 31].

### *Apoptosis in HIV infection*

Observations that many viruses carry genes encoding anti-apoptotic proteins support the notion that apoptosis is used as a cellular defense against viral infection, with the infected cell altruistically killing itself to protect other cells in the organism [26, 57]. Nevertheless, apoptosis seen in the context of a viral infection does not necessarily indicate that it is occurring as an attempt at self defence. Apoptosis of CD4 T cells is certainly seen in people with AIDS, but the death of these cells may be caused in a number of ways, and not all the cells that die are necessarily infected [8, 25]. Several proposals have been made to attempt to save these cells using either pharmacological or genetic inhibitors of cell death. Until this is done, either in animal models or in humans, it will not be possible to know whether the “saved” cells retain the ability to function normally. Of course, more effective therapies will require determining the pathways by which HIV infection leads to apoptosis.

### *p53*

The p53 tumor gene is the most frequently mutated gene in human cancers [60]. Mice lacking p53 commonly develop thymic lymphomas [20]. p53 is able to cause cell cy-

cle arrest allowing DNA repair, but may also induce apoptosis, so it is thought that loss of these activities allows the growth of mutated cells and their development into cancers. Apoptosis induced by p53 can almost invariably be inhibited by expression of *bcl-2*, so it must occur by the caspase-mediated mechanism that *bcl-2* can control [15]. It is not yet known, however, what connects p53 to the apoptotic pathway. More progress has been made in understanding how p53 regulates the cell cycle [27, 28].

### The significance of apoptosis seen in diseases affecting the immune system

Ultimately, every disease process involves either an increase or decrease in cell number, and as apoptosis is one side of the equation determining cell number, every disease process will involve alterations in the number of cells undergoing apoptosis. In most cases abnormal survival or death of cells is a late consequence of the disease process, so therapeutic approaches that aim at the apoptotic mechanisms will not alter the number of cells that die, or will not have a beneficial effect on the disease process. However, a growing number of diseases are being recognised in which apoptotic processes play a direct role in either causing or exacerbating disease.

The first step is to discover the nature of the mechanisms of apoptosis, and how they are regulated. Progress in this area has been extremely rapid. The next step is to determine which pathways operate in different disease states. The sections of this volume describe how this is being done for cells of the immune system, and at times they also describe how this knowledge is being applied in attempts to find novel therapies.

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