

Effect of oxygen supply on berberine production in cell suspension cultures and immobilized cells of *Thalictrum minus*

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Abstract

The ample supply of O₂ proved to be of great importance for berberine production in cell suspension culture of *Thalictrum minus*, as the specific O₂ consumption rate of berberine-producing cells was twice as high as that of non-producing cells. Furthermore, berberine yield increased with increases in the volumetric O₂ transfer coefficient (K_La). Estimation of the optimum conditions of oxygen supply in suspension cultures and immobilized cells according to a known theoretical model assuming O₂ uptake by cells to be a zero-order reaction was in good agreement with the experimental data. The O₂ supply to immobilized cells could be improved by reducing the cell density and radius of the bead.

Introduction

The application of immobilized plant cells for the production of useful compounds has attracted increasing attention in recent years (Brodelius 1985). To improve aeration conditions for berberine production by immobilized *T. minus* cells, we devised a liquid-gas two phase bioreactor (Kobayashi et al. 1988). However, active proliferation of cells entrapped in a Ca-alginate gel bead was observed only in the peripheral layer of the bead, as reported for immobilized microbial (Wada et al. 1980, Chibata et al. 1983), animal (Hashimoto et al. 1988) and plant cells (Nakajima et al. 1985). Such a phenomenon could be due to diffusional limitation in a gel bead (Dalili et al. 1987). Cells deep in the gel are susceptible to a lack of O₂ because of the low saturation concentration of O₂ in the medium and the high respiration rate of cells, as shown in mycelial pellets of *Aspergillus niger* (Yano et al. 1963). In the present study, the effect of O₂ on berberine production in *T. minus* cells was examined to determine the optimum conditions for cell immobilization.

Material and methods

Cell suspension culture

A cell line of *T. minus* L. var. *hypoleucum* Miq. (Nakagawa et al. 1984) has been maintained as a suspension culture in "growth medium" i.e. Linsmaier-Skoog (LS) medium containing 1 μM 2,4-dichlorophenoxyacetic acid (2,4-D) by subculturing every two weeks.

For induction of berberine production, 17-day-old cells (1 g fresh wt. = 75 mg dry wt.) of the

stock culture were transferred to 30 ml of "production medium" i.e. LS medium containing 100 μM 1-naphthaleneacetic acid (NAA) and 10 μM benzyladenine (BA), in a 100 ml Erlenmeyer flask with three replicates. The cultures were agitated on a reciprocal shaker at a speed of 100 strokes/min at 25°C in the dark.

Culture of immobilized cells

T. minus cells (17-day-old) were entrapped in Ca-alginate beads according to the method of Kierstan and Bucke (1977) with minor modifications. Cells (10 g fresh wt.) suspended in 2 % alginate (25 ml) were dripped from a wide-mouthed pipette (3 mm in diameter) into a 50 mM CaCl₂ solution; the Ca-alginate beads (ca 5 mm in diameter) formed were left in the solution for 3 hr at 25°C in the dark, then washed with 30 ml of LS basal medium.

Beads containing a total of 1 g fresh cells were inoculated into 30 ml of the production medium in a 100 ml Erlenmeyer flask and incubated under the same conditions as mentioned above.

Quantitative analysis of berberine

The quantitative analysis of berberine was carried out by HPLC as described elsewhere (Nakagawa et al., 1984) using SEP-PAK C₁₈ instead of Amberlite XAD-2 for the separation column.

Estimation of uptake rate of O₂ and macronutrients

The uptake rate of O₂ by cultured cells was estimated by measuring the decrease of O₂ concentration in the air-saturated nutrient medium using DO electrode at 25°C. Quantitative analysis of sucrose, PO₄³⁻, NO₃⁻, and NH₄⁺ in the medium were carried out by the methods of Dubois (1956), Takahashi (1955), Japanese Industrial Standard (1966), and Iwaeda and Ohsawa (1974), respectively.

Determination of volumetric O₂ transfer coefficient

The O₂ transfer coefficient (K_La) was determined as follows according to the method used by Fujita (1985). After reducing the dissolved O₂ concentration (DO) in an aqueous solution of 1 mM CuSO₄ to 0-1 ppm by adding 10% Na₂SO₃ solution, air was supplied to the solution at a fixed rate on a reciprocal shaker at 25°C. The value of K_La was calculated from the increasing DO by the formula:

$$\ln(C_S - C) = -K_L a t + \ln(C_S - C_0)$$

where C_S is the saturated DO (ppm) in water at 25°C (8.1 ppm), C is the DO (ppm) in the solution at t (min), and C₀ is the DO (ppm) at t = 0.

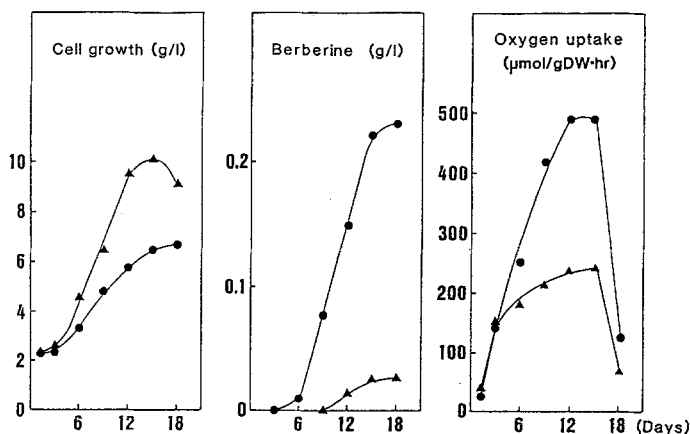


Fig. 1. Cell growth, berberine production, and O_2 uptake rate of *T. minus* cell suspension cultures in growth medium (\triangle) and those in production medium (\bullet).

Results and Discussion

Effect of O_2 supply on berberine production

T. minus cell suspension cultures in growth medium and those in production medium showed marked differences in cell growth, berberine production, and O_2 uptake (Fig. 1). In growth medium, cells grew faster, but produced little berberine. By contrast, cells in production medium produced a large amount of berberine, which was mostly secreted into the medium, in spite of their inferior growth. Interestingly, the specific O_2 uptake rate in production medium increased linearly with time during the period of berberine production which lasted from day 3 to 12, while that in growth medium showed only a slight increase after day 3. These results suggest an important role of O_2 in berberine production. The relationship between the O_2 supply and berberine production in production medium was studied by varying the value of the volumetric O_2 transfer coefficient ($K_L a$) which is dependent on the volume of medium in a flask (Fujita, 1985), as shown in Fig. 2. The inoculum size was adjusted according to the medium volume to keep the starting cell density constant. Fig. 3 shows the effect of $K_L a$ value on cell growth and berberine production. Under standard conditions (30 ml medium in 100 ml Erlenmeyer flask), the $K_L a$ value is 17.5 hr^{-1} . Although increasing $K_L a$ above 20 hr^{-1} affected neither cell growth nor berberine production, decreasing $K_L a$ caused a significant reduction of the latter without markedly

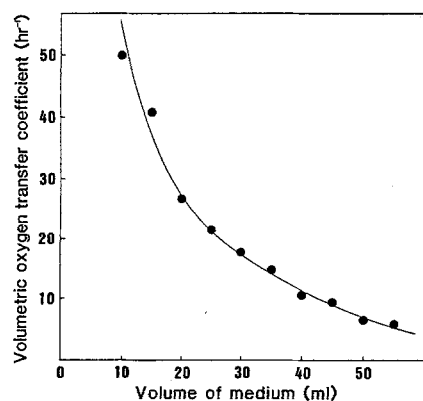


Fig. 2. Relationship between volumetric O_2 transfer coefficient ($K_L a$) and medium volume in 100 ml Erlenmeyer flask.

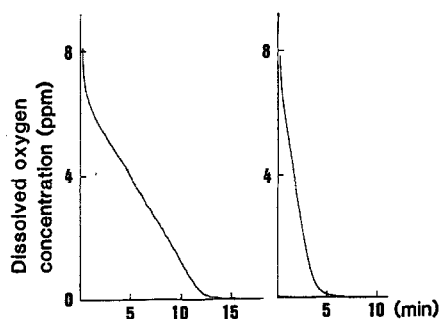


Fig. 4. The time-course of O_2 uptake from air-saturated production medium by *T. minus* cell cultures 6 days (left) and 15 days (right) after inoculation (medium volume: 30 ml).

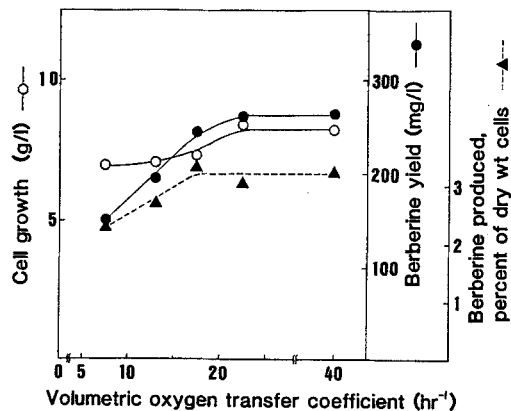


Fig. 3. Effects of volumetric O_2 transfer coefficient ($K_L a$) on cell growth and berberine production of *T. minus* cell suspension cultures in production medium (culture period: 15 days).

affecting the former.

The specific O_2 uptake rate is considered a zero-order reaction, as the O_2 uptake rate was not affected by the DO in medium and DO was reduced linearly (Fig. 4). Thus, the value of C_m can be calculated from the following equation (Wise, 1951):

$$K_L a(C_s - C_m) = Q d \quad (1)$$

where C_s is the saturated DO in water at 25°C ($253 \mu\text{mol/l}$), C_m is the DO ($\mu\text{mol/l}$) in medium at an equilibrium state between O_2 supply and O_2 consumption, Q is the specific O_2 uptake rate ($\mu\text{mol/gDW}\cdot\text{hr}$), and d is the cell density in medium (gDW/l).

Fig. 5 shows the relation between $K_L a$ and C_m after 15 days of culture calculated from equation (1) and data ($K_L a$ and d) in Fig. 3 by setting Q at 500 and $250 \mu\text{mol/gDW}\cdot\text{hr}$, which were the maximum O_2 uptake rate for berberine production and cell growth, respectively (Fig. 1). When $K_L a$ is smaller than 15, the calculated C_m value at $Q = 500$ becomes lower than 0, which means that the O_2 supply limits berberine production. However, the calculated C_m values at $Q = 250$ are higher than 0 at any $K_L a$ values between 7.5 to 40, indicating that the O_2 supply is sufficient for cell growth. These estimations are in good agreement with the data presented in Fig. 3 and support the conclusion that a lack of O_2 supply would affect berberine production more seriously than cell growth. Thus, from the equation (1), moderate conditions for O_2 supply could be obtained.

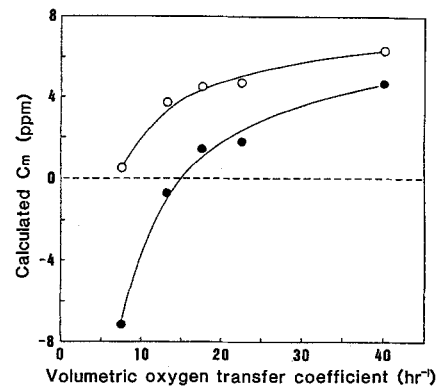


Fig. 5. Effects of volumetric O_2 transfer coefficient ($K_L a$) on DO concentration in production medium when the specific O_2 uptake rate was $500 \mu\text{mol/gDW}\cdot\text{hr}$ (\bullet), and $250 \mu\text{mol/gDW}\cdot\text{hr}$ (\circ) after 15 days of culture.

Limiting factor of mass transfer within gel beads

As we have reported earlier (Kobayashi et al. 1988), the berberine productivity of immobilized cells of *T. minus* could be improved by periodic exposure of the alginate gel beads to air in a special bioreactor. Nevertheless, the O₂ supply to the entrapped cells inside the gel seemed to be insufficient not only for berberine production but also for cell growth, since cell proliferation was observed only near the surface of gel beads, whereas the cells at the center of the beads were almost dead. Such serious limitation of O₂ transfer has also been reported for immobilized animal cells (Hashimoto et al. 1988) and mycelial pellets (Yano et al. 1963). This is probably due to a low saturation concentration of O₂ in water (8.1 ppm at 25°C) in addition to a high O₂ uptake of the cells, which would consume all the dissolved O₂ in a few minutes if the O₂ supply were stopped (Fig. 4).

To determine the limiting factor in the beads, the "effectiveness factors" for the uptake of O₂ and some macronutrients (sucrose, phosphate, nitrate, and ammonium) were examined. The effectiveness factor, which indicates the degree of substrate supply, is expressed as the ratio of the rate of reaction in the presence of diffusional barriers to the rate of that if all the catalyst were exposed to the same concentration of substrates in medium. In this experiment, we estimated the effectiveness factor by dividing the uptake rate of the total immobilized cells by that of freely suspended cells, when the cells of both systems were at the end of the lag phase (3 days after inoculation). At this stage, cells were not yet dividing, being distributed uniformly within the beads. The effectiveness factors obtained from this experiment are shown in Table 1. The data clearly show that the O₂ supply whose effectiveness factor was as small as 0.39 is the only limiting factor among the substrates examined.

Table 1. Effectiveness factors for the uptake of oxygen and some macronutrients by immobilized *Thalictrum* cell cultures after 3 days of culture

Substrate	Initial concentration (mM)	Amount of uptake		Effective-ness factors
		Free cells (mM/3d)	Immobi-lized cells (mM/3d)*	
Sucrose	86.7	9.28	9.19	0.99
Phosphate	1.25	0.30	0.30	1.00
Nitrate	39.4	1.46	2.17	1.49
Ammonium	20.6	0.78	1.01	1.29
Oxygen	253* ²	507* ³	199* ³	0.39

* As the controls for substrate uptake by immobilized cells, Ca-alginate beads without cells were incubated under the same conditions.

*² μmol/l

*³ μmol/l·hr

Theoretical estimation of the optimum immobilization conditions

It is expected that the O₂ supply to the cells within a gel bead would be improved by manipulating the particle size and the cell density. The optimal values for these factors may be estimated by theoretical analysis of O₂ diffusion in a particle using equation (2) (Yano et al. 1961), which expresses a steady-state one-dimensional mass balance, on the following assumptions: 1) the cell

density within a spherical particle is uniform, 2) the rate of molecular diffusion is constant throughout the particle, 3) the specific O₂ uptake rate is expressed by a zero-order reaction.

$$D\left(\frac{d^2C}{dr^2} + \frac{2dC}{dr}\right) - \rho Q = 0 \quad (2)$$

r: the arbitrary radius (cm) of a gel particle,

D: the diffusion coefficient of O₂ (cm²/sec) in the gel particle, which is estimated as 90 % of the O₂ diffusion coefficient in water (1.8 × 10⁻⁵ cm²/sec) according to Kurosawa et al. (1988),

C: the DO (μmol/cm³) at r,

Q: the specific O₂ uptake rate of cells (μmol/gDW·sec),

ρ: the cell density (gDW/cm³) within the gel particle.

Whether or not the O₂ supply is sufficient may be estimated by simple equations (Yano et al. 1963) derived from equation (2):

$$\frac{6C_m D}{R^2 \rho Q} \geq 1 : \text{O}_2 \text{ supply is sufficient} \quad (3)$$

$$\frac{6C_m D}{R^2 \rho Q} < 1 : \text{O}_2 \text{ supply is insufficient}$$

R: the radius (cm) of the gel particle,

C_m: the DO (μmol/cm³) at R = r, which is considered to be equal to the DO concentration in medium.

In order to improve the O₂ supply within a gel particle, R and ρ should be smaller. In the experiment, smaller beads (R = 1 mm) were prepared by dripping a 1.5 % alginate solution containing *T. minus* cells from a syringe into a CaCl₂ solution instead of the wide-mouthed pipette and 2 % alginate solution used for the preparation of ordinary beads (R = 2.5 mm). Supposing that the cell mass would double to 5 gDW/l and that the specific O₂ uptake (Q) must be set for 250 to 500 μmol/gDW·hr, it would be theoretically necessary for enough O₂ supply to adjust the initial cell density (ρ₀) in the beads (R = 1 mm) to 3.8 - 12.5 mgDW/cm³, which is calculated from equation (3), using the following values:

C_m = 0.18 to 0.11 μmol/cm³ calculated from equation (1) using C_s = 253 μmol/cm³, K_La = 17.5 hr⁻¹, and d = 5 gDW/l;

D = 0.9 × 1.8 × 10⁻⁵ cm²/sec;

R = 0.1 cm;

ρ = 2ρ₀, where ρ₀ is the initial cell density (mgDW/cm³);

Q = 0.7 × 10⁻⁴ to 1.4 × 10⁻⁴ μmol/mgDW·sec.

Fig. 6 shows the effect of the initial cell density (2.9 to 22.4 mgDW/cm³) on the growth and berberine production of cells in 100 ml-flasks containing 30 ml of production medium. Berberine

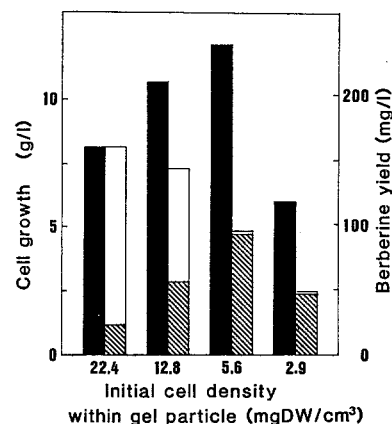


Fig. 6. Effects of initial cell density within Ca-alginate beads on cell growth and berberine production after 18 days of culture in production medium. ■: berberine yield, ▨: cells in beads, and □: leaked cells.

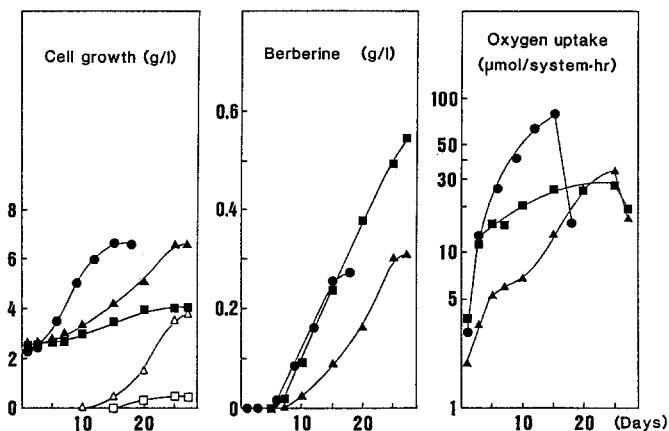


Fig. 7. Time-course of cell growth, berberine production, and O_2 uptake in different culture systems; (A) suspension culture (—●—), (B) immobilized cells before (—▲—) and (C) after (—■—) the improvement of immobilization conditions. —△—, —□— = leaked cells in system (B) and (C), respectively.

yield increased by 1.5 times and specific productivity increased by 2.5 times as the initial cell density (ρ_0) was decreased from 22.4 to 5.6 mgDW/cm³. These experimental results agree well with the theoretical expectation. Furthermore, the leakage of cells from the beads could be prevented nearly completely by lowering the initial cell density, presumably owing to the slow and uniform proliferation of cells within the beads. When initial cell density was decreased to 2.9 mgDW/cm³, cell growth was suppressed considerably in spite of a sufficient O_2 supply. This would be partly due to limited cell-to-cell contact within the beads at low cell density.

These results indicate that the moderate combination of beads radius (R) and initial cell density within beads (ρ_0) for berberine production by immobilized *T. minus* cells could be determined theoretically by the estimation of oxygen demand by cells for berberine production.

Performance of immobilized cells under improved conditions

Comparisons were made between the following culture systems in flasks with regard to cell growth, berberine production, and O_2 uptake: (A) free cells (inoculum size: 2.5 mgDW/cm³); (B) immobilized cells ($R = 2.5$ mm, $\rho_0 = 22.4$ mgDW/cm³); and (C) immobilized cells ($R = 1$ mm, $\rho_0 = 5.9$ mgDW/cm³). The results are shown in Fig. 7. As might have been expected, the O_2 uptake rate of system C was much higher than that of B during the culture period from day 1 to 15 and the cells were uniformly distributed in the beads (Fig. 8), indicating a distinct improvement in O_2 supply. A sudden increase of the O_2 uptake by B after 10 days of culture was apparently due to the rapid growth and respiration of cells that had leaked out of the beads.

As for the berberine production, system C not only showed a pattern similar to that of A, but maintained a high production rate (25 mg/l·day) twice as long as did A. Since cell proliferation is greatly limited in the beads, the specific production rate of immobilized cells in system C was twice as high as that of free cells in system A.

Thus, immobilized cell system of *T. minus* capable of secreting berberine can be more productive than the cell suspension system, if the cells are immobilized in beads under appropriate conditions especially with respect to the O_2 supply.

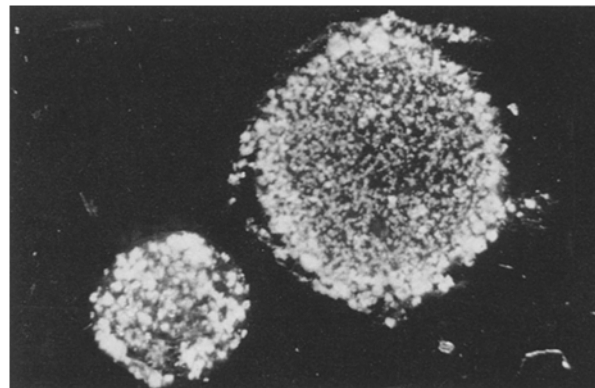


Fig. 8. Cross sections of Ca-alginate gel beads showing the distribution and proliferation of *T. minus* cells in large ($R = 2.5$ mm, $\rho_0 = 22.4$ mgDW/cm³) and small ($R = 1$ mm, $\rho_0 = 5.9$ mgDW/cm³) beads.

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