/3-CD Inclusion Complexes: Relative Selectivity of Terpene and Aromatic Guest Molecules Studied by Competitive Inclusion Experiments

C. DONZE and A.W. COLEMAN*

CNRS, UPR 180, Centre Pharmaceutique, Université de Paris-Sud, Chatenay-Malabry, F92290, France.

(Received: 2 December 1992; in final form: 16 June 1993)

Abstract. 'The relative inclusion selectivity of a series of 21 terpene and aromatic guest molecules with regard to β -CD have been measured in an aqueous environment, based on the interaction of 1:1 mixtures of the guest molecule with one equivalent of β -CD. The order for inclusion in β -CD, as determined by a statistical analysis of the total results is (-)borneol (2) > terpineol (21) > (+)camphor (4) > (-)carvone (11) = geraniol (16) = (\pm) linalool (1) = cineole (3) = (-)fenchone (15) > (+)isomenthol $(17) =$ citral $(13) =$ thymol $(10) >$ (-)menthone $(19) >$ (+)menthol $(18) >$ o-cresol $(14) >$ eugenol $(9) >$ (+)limonene (7) = (-)bornyl acetate (8) > anethol (12) = (+)camphene (5) > (-)pinene (6) > myrcene (20). The relative selectivity obtained has little relation to previously measured association constants, but is consistent with selectivities obtained in solution from competition experiments.

Key words: β -cyclodextrin, guest selectivity, terpenes, aromatics, inclusion complexes.

Supplementary data relating to this article are deposited with the British Library as Supplementary Publication No. SUP 82152 (4 pages).

1. Introduction

The cyclodextrins are a class of cyclic oligosaccharides which are widely used for their ability to form inclusion complexes with a large range of substrate molecules [1]. They have found considerable application for separations in both chromatographic [2] and classical crystallisation techniques [3]. Much effort has been put into obtaining association constants using numerous physical methods [4], however, considerable disagreement exists between the results obtained using different methods on the same guest [5, 6]. We ourselves have observed that inclusion selectivity is extremely dependent on the precise experimental conditions [7, 8], and is in particular highly sensitive to cosolutes [7] or cosolvents [8]. Recently Ueno *et al.* have carried out a series of experiments on the binding of various substrates to modified cyclodextrins in which competition occurs between an environmentally sensitive molecular probe covalently attached to the CD and which is capable of intramolecular inclusion, and a second, 'free' guest molecule [9-11]; *this experiment occurs in the solution state.* In this publication we wish to describe

^{*} Author for correspondence.

the relative inclusion selectivity for a series of 21 terpenoid and aromatic guest molecules, which singly give 1:1 hostguest complexes; *our experiment occurs via precipitation and may be considered to be in the solid state. The* molecules studied are widely distributed in essential oils and information on their relative capacity for complexation will be of considerable use in the cosmetic formulation of CDcomplexes. The basic experiment is extremely simple, a 1:1 mixture of two guest molecules was stirred with one equivalent of β -CD at a given rate of stirring, for a constant period of time, at a constant temperature. The resultant inclusion mixture was obtained by filtration, washed with constant volumes of water and ether, and dried under identical conditions. The identical treatment of all experiments rules out any possibility of environmental influence on selectivity. The use of a 21×21 experimental matrix allows some generalisation to be made concerning the results.

Hence:

- (a) Complexation varies as alcohols > ketones > phenols > hydrocarbons.
- (b) Cyclic systems are more readily complexed than linear systems, except in the case of alcohols.
- (c) Little discrimination occurs between mono- or bicyclic molecules.
- (d) As evidenced by a comparison of our results and those of Ueno *et al.* [9- 11], little differentiation exists between purely solution-based competition based selectivities and those obtained from precipitation/'solid-state' selectivity experiments.

2. Experimental

In order to allow valid internal comparison of the results we decided to adopt a standardised procedure.

2.1. MATERIALS

 β -Cyclodextrin was a gift from Roquette. The terpenes, aromatic molecules and DMSO-d6 were purchased from Aldrich and were used without further purification. NMR spectra were recorded on a Bruker AC 200 Spectrometer (200 MHz).

2.2. METHODS

Determination of the Stoichiometry of the Inclusion Complexes

 β -Cyclodextrin (1.25 g; 1.1 \times 10⁻³ mol) was added to 25 mL of water and warmed to 40°C; terpene or aromatic guest molecules (2.2×10^{-3} mol) were added to the solution while stirring and were maintained at 40° C for 1 h. The precipitated complexes thus obtained were filtered, washed with water and diethyl ether, and dried under reduced pressure.

Inclusion Selectivity Measurements

 β -Cyclodextrin (2.5 g: 2.2 \times 10⁻³ mol) was added to 50 mL of water and warmed to 40°C. Equimolar mixtures of terpene or aromatic guests $(2.2 \times 10^{-3}$ mol of each) were added to the solution while stirring and were maintained at 40° C for 1 h. The precipitated complexes thus obtained were filtered, washed with diethyl ether, and dried under reduced pressure. The relative selectivity of the complexation of terpenes by β -cyclodextrin was measured by integration of the ¹H NMR spectra of the precipitated compounds in DMSO- d_6 . Values have a $\pm 5\%$ uncertainty.

Yields of the mixture of inclusion complexes obtained were measured in all cases.

3. Results and Discussion

The structural formulae for the terpenoid and aromatic guests are given in Figure 1; initial binding experiments show formation of 1:1 complexes under our experimental conditions. Table I shows the relative percentages of each guest obtained in the mixture of inclusion compounds formed during the complexation experiment. A random sample of the experiments were repeated and in general the results obtained were identical. In a number of cases, however, certain results appeared to be aberrant (i.e. relative percentages were obtained that were wildly out of line with the relative selectivity obtained in the other 20 complexation experiments for the guest); here the complexation was repeated three times. In all cases the results obtained were identical, but different from the original value. These new values have been used in the analysis.

Typical 1H-NMR spectra obtained for the two guest molecules 6 and 12 and for the inclusion mixture are shown in Figure 2. The use of DMSO as the solvent leads to complete decomplexation and allows easier comparison of the spectra. The accuracy of the results derived from spectral integration is considered to be $\pm 5\%$. The order of relative inclusion selectivity for the complexation of the series of terpenes with β -CD is (-)borneol (2) > terpineol (21) > (+)camphor (4) > $(-)$ carvone (11) = geraniol (16) = (\pm) linalool (1) = cineole (3) = $(-)$ fenchone (15) $>$ (+)isomenthol (17) = citral (13) = thymol (10) > (-)menthone (19) > (+)menthol (18) > o -cresol (14) > eugenol (9) > (+)limonene (7) = (-)bornyl acetate (8) > anethol (12) = (+)camphene (5) > (-)pinene (6) > myrcene (20).

It may be argued that the values obtained in these experiments simply reflect relative solubilities of the inclusion complexes; however, a comparison of the observed yields shows no correlation with the observed selectivity.

It has been suggested that 1 h is not sufficient to bring the system to equilibrium. This is in fact correct, since long-term selectivity experiments show that divergence of about 5% per 30 days is still occurring after stirring at 40° C for 60 days! However, as all systems were treated equally, this problem may be ignored. Since no variations are observed for samples treated for 1, 2 or 3 h we have chosen to

Fig. 1. Structure of terpene and aromatic guests.

TABLE Ia. Relative inclusion selectivities for β -CD/terpene complexes.

۳ ٦ ٦ Ŧ ٦

T 7

T

т ٦

÷

٠

↽

 $\overline{\mathbf{r}}$

r

Fig. 2. (A) ¹H NMR spectrum of (--)pinene-anethol- β -CD inclusion complex. (B) ¹H NMR spectrum of (--)pinene. (C) ¹H NMR spectrum of anethol.

TABLE II. Ketones

Table A1. Relative selectivity for ketone mixtures.

Table A2. Relative selectivity for hydrocarbon/ketone mixtures.

Table A3. Relative selectivity for alcohol/ketone mixtures.

Table A4. Relative selectivity for phenol/ketone mixtures.

Table A5. Relative selectivity for miscellaneous/ketone mixtures.

treat the system as static; again we wish to emphasize that in this publication a standardised method is used.

In order to facilitate analysis of the data we have broken down the guest molecules into a number of groups:

Ketones: camphor, fenchone, carvone, menthone, citral. (Tables II: A1, A2, A3, A4, A5.)

A general order of selectivity within the class of ketones is camphor > carvone > fenchone > citral > menthone. It is clear that the ketones have a much higher affinity for β -CD than both the simple hydrocarbon and the phenolic structures. With regard to the alcohols it would appear that the first three in the series (camphor, carvone, fenchone) have somewhat higher affinities, whilst, citral and menthone have lower affinity.

For the two miscellaneous compounds, ketones are selectively preferred to bornyl acetate; whilst for the bicyclic ether cineol there is little difference in selectivity with regard to the ketones.

In general:

Ketones > Hydrocarbons

Ketones > Phenols

Ketones >_ Alcohols

Hydrocarbons: camphene, pinene, limonene, mycrene. (Table III: B1, B2, B3, B4, hydrocarbons: camphene, pinene, limonene, mycrene. <i>Table III: B1, B2, B3, B4, BS.)

The only selectivity is that between the cyclic hydrocarbons and mycrene, a linear molecule, where there is a clear affinity against the linear system; thus limonene > camphene > pinene > mycrene. With regard to the other classes of compounds there is a constant selectivity disfavouring the hydrocarbon systems.

General order:

Hydrocarbons < Ketones Hydrocarbons < Alcohols Hydrocarbons < Phenols

Alcohols: linalool, borneol, geraniol, isomenthol, menthol, terpineol. (Table IV: C1, C2, C3, C4, C5.)

In contrast to the other systems there is no discrimination between linear and cyclic systems. In fact there is a slight favouring of the linear molecules, the internal order being: borneol > terpineol > linalool > geraniol > isomenthol > menthol. With regard to the other classes the alcohols are more strongly complexed than both the phenolic and hydrocarbon systems and also bornyl acetate. There is an approximate equivalence in the selectivity between them and the ketones, as is also observed with regard to cineole.

Hence:

Alcohols > Hydrocarbons

Alcohols > Phenols

Table B1. Relative selectivity for hydrocarbon mixtures.

Table B2. Relative selectivity for alcohol/hydrocarbon mixtures.

Table B3. Relative selectivity for ketone/hydrocarbon mixtures.

Table B4. Relative selectivity for phenol/hydrocarbon mixtures.

Table B5. Relative selectivity for miscellaneous/Hydrocarbon mixtures.

$Alcohols = Ketones$

Phenols. (Table V: D1, D2, D3, D4, D5.)

Eugenol, thymol, o-cresol, anethol (strictly not a phenol but the structure is dominated by the phenyl-O functionality).

There is a general similarity in inclusion selectivity in this group, with a slight inferiority observed for the ether structure of anethole, giving an internal order: thymol > o -cresol \ge eugenol > anethol. With respect to the other systems there is a higher selectivity for the phenolic molecules only in comparison to the hydrocarbons.

Hence:

TABLE IV. Alcohols

Table C1. Relative selectivity for alcohol mixtures.

Table C2. Relative selectivity for phenol/alcohol mixtures.

Table C3. Relative selectivity for hydrocarbon/alcohol mixtures.

Table C4. Relative selectivity for ketone/alcohol mixtures.

Table C5. Relative selectivity for miscellaneous/alcohol mixtures.

Phenols \lt Ketones

Phenols \lt Alcohols

 $Phenols$ > Hydrocarbons

During the course of this work monocrystalline samples were obtained for the inclusion complexes of borneol and camphor. The two compounds are isomorphous and belong to the general chess board-type [12] structure observed for β -CD inclusion compounds [13]. In this class the guest molecule is normally extremely disordered and, in view of this, structural resolution was not attempted. Both guest molecules are among those most strongly bound in terms of inclusion selectivity and until structural information becomes available for more weakly bound substrates no

TABLE V. Phenols

	Eugenol	Thymol	0-Cresol	Anethol
Eugenol		50-50	50-50	50-50
Thymol	50-50		50-50	70-30
O-Cresol	50-50	50-50		50-50
Anethol	50-50	30-70	50-50	

Table D1. Relative selectivity for phenol mixtures.

Table D3. Relative selectivity for alcolhol/phenol mixtures.

Table D4. Relative selectivity for ketone/phenol mixtures.

	Eugenol	Thymol	O-Cresol	Anethol
Cineole	50-50	50-50	40-60	70-30
Bornyl Ac.	50-50	$35 - 65$	50-50	50-50

Table D5. Relative selectivity for miscellaneous/phenol mixtures.

conclusions concerning the effects of solid-state structure may be drawn. However it should be noted that if the more strongly selected molecules have disordered guests the fit between host and guest would seem to play a small role in the selectivity.

The above information allows an empirical statistical analysis of the relative inclusion selectivity to be made, and from such an analysis it becomes necessary only to carry out a limited number of complexation experiments to place any new compounds in the scale [14].

In order to attempt to explain the results obtained we have looked in detail at a number of parameters: guest solubility, previously determined association

	$(M/I)^*$ S.	S(M/I) ³⁷	K (M^{-1}) [5] K (M^{-1}) [6]	
Linalool	1,03.10-2			
Borneoi	$4,1.10-3$	$4,8.10-3$	231[11]	
Cineole	$2,3.10-2$	$1,3.10^{-2}$		
Camphor	1,03.10-2	$6, 5.10 - 3$	378	
Camphene				
Pinene				
Limonene	$6,39.10-5$	$2,2.10-4$	2230	
Bornyl Ac				
Eugenol	1,50.10-2		140	650
Thymol		$5,1.10-3$		180
Carvone		$8,8.10 - 3$		180
Anethol	$7,49.10 - 4$		1040	
Citral	$1,9.10-3$			
o-Cresol		28.10^{-2}		
Fenchone	1,41.10-2	$3,29.10^{-3}$	140	
Geraniol				
Isomenthol				
Menthol	$2,92.10-3$	$2,7.10-3$	3850	2240
Menthone	$3,22,10-3$	$4,5,10^{-3}$	546	
Myrcene				
Terpineol		1,28. 10-2		

TABLE VI. Stability constants of β -CD-terpene complexes.

^o "Solubilities of Inorganic and Organic Compounds", Edited by H. Stephen and T.
Stephen, Pergamon Press LTD, London 1963.

* CRC Handbook of Chemistry and Physics.

constants and calculated dipole moments of the guest obtained from molecular graphics.

The guest solubilities are given in Table VIa and lead to an order: o-cresol $>$ cineole $>$ eugenol $>$ terpineol $>$ (\pm)linalool $>$ (+)camphor = (-)fenchone $>$ \rightarrow (-)carvone > thymol > \rightarrow (-)borneol > \rightarrow (-)menthone > \rightarrow (+)menthol > citral > anethol > (+)limonene. Comparison with the order of complexation: (-)borneol (2) > terpineol (21) > (+)camphor (4) > (-)carvone (11) = geraniol (16) = (\pm)linalool (1) = cineole (3) = (-)fenchone (15) > (+)isomenthol (17) = citral (13) = thymol (10) > (-)menthone (19) > (+)menthol (18) > o -cresol (14) > eugenol (9) > (+)limonene (7) = (-)bornyl acetate (8) > anethol (12) = (+)camphene (5) > (-)pinene (6) > myrcene (20), shows neither a direct nor an inverse relation. The possibility of the solubility of the guest molecules playing a role in the relative selectivity has been investigated by varying the quantity of guest available, in all experiments the same ratio of included molecule was found.

Similarily the association constants, where known, are given in Table VIb leading to an order: $(+)$ menthol > $(+)$ limonene > anethol > $(-)$ menthone > eugenol >

	x	v	z	dipole
RLinalool	0,440448	1.377064	-0.321461	1,481093
SLinalool	-0.277365	-1.542196	-0.416430	1,621331
Borneol	-1.170478	0,745289	-0,790970	1,597219
Cineole	-0.078733	-1.077032	-1.484411	1,835667
Camphor	1.006266	1,538705	-1,898921	2.643120
Camphene	0.041636	0,107014	0.104423	0.155209
Pinene	-0.021374	0.116516	-0.013520	0.119229
Limonene	-0.026447	-0.111487	-0.042036	0,122049
Bornyl Ac.	$-1,903501$	2,777856	0.346578	3.385250
Eugenol	0,012407	-0.459830	-0.001600	0,460000
Thymol	0.715213	-0.998509	0.515083	1331863
Carvone	$-1,979544$	1,157077	0.991624	2,498147
Anethol	-1.272371	0,767621	0,818953	1.696719
Citral	-1.703258	-0.058676	1,664062	2.381939
Cresol	-1,302530	0,260481	0.340318	1,371223
Fenchone	0.996421	1,562364	-1,891037	2,647654
Geraniol	-0.483094	$-0,021668$	1,419270	1,499392
Isomenthol	1,231873	-0.858924	0,468186	1,573041
Menthol	1,264125	-0.819640	0.474388	1.579515
Menthone	2.209815	-1.198003	0,396016	2.544665
Myrcene	0.081258	-0,076268	-0,042905	0.119417
Terpineol	1,376872	-0.233982	-0.559579	1,504544

TABLE VII. Calculated dipole moments for the terpene guest.

 $(+)$ camphor > $(-)$ borneol > thymol > $(-)$ carvone > $(-)$ fenchone. In particular comparison with the experimentally observed relative selectivities shows no correlation.

In contrast to this lack of substantiation of the association constants as a valid tool for the determination of inclusion selectivity there exists close correlation between our results (precipitation/solid state) and the results obtained by Ueno for solution competition experiments involving pyrene, dansyl, or methyl red functions covalently bound to the cyclodextrin moiety and similar terpenoid molecules [9-11]. That in two separate and experimentally divergent situations, one series in solution (Ueno) and one in the 'solid-state' (this work), closely resembling orders for relative complexation abilities are observed and that these orders are widely divergent from the values one might predict from the published association constants suggests; (a) that there is a substansive basis for the use of relative inclusion selectivity; and (b) that there is reason to doubt that 'stability constants' may be applied to systems in which there is more than one guest species present.

Also one must cast doubt on the use of stability constants as a general measure, assumed to be independent of the experimental conditions under which the determination of the stability constant was carried out. The reasoning behind this is relatively simple; association constants are derived from an over simplistic view of the equilibria involved in the formation of inclusion compounds which does not take into account the aggregation of the cyclodextrins [15], or the possible effects of cosolvents used in the experiments on the fundamental properties of

water or cyclodextrin solutions [16], which cause association constants to vary in a substrate-dependent manner. Such a supposition is confirmed by a reversal in the values of the association constants of thymol and geraniol with β -CD as a function of methanol mole fraction [17].

The calculated dipole moments for the guest molecules are given in Table VII; these are derived from the energy minimised structures of the molecules obtained via the SYBYL molecular graphics package [18]. Once again, no correlation is found between the physical property and the experimentally observed inclusion selectivity.

4. Conclusion

It would thus appear that while an empirical scale of inclusion selectivity may be obtained from the analysis of the competitive complexation of a series of 21 guest molecules with β -CD, and that this scale is closely related to other experimentally determined complexation scales, the observed values are not easily correlated with the physical properties of the guest molecules. More importantly, there exists no correlation between the results of such competition experiments and the individually observed association constants.

We are currently investigating the effects of the presence of cosolvents on the relative inclusion selectivity of these guest molecules in order to 'fine tune' the separation possibilities of such systems.

References

- 1. J. Szjetli, *Cyclodextrin Technology,* Kluwer, Dordrecht (1989).
- 2. W. A. Konig, *Kontakte* (Darmstad), 3 (1991).
- 3. D. Warsch, E V6gtle, *Top. Curr. Chem.* 140, 21 (1987).
- 4. D. Ducb~ne (Ed.), *Cyclodextrins and Their Industrial Uses,* Editions de Sant6, Paris (1987).
- 5. Y. Ikeda, K. Matsumoto, K. Kunihiro, T. Fuwa and K. Uekama, *Yakagaku Zasshi,* 102, 83 (1982) *(Chem. Abst.)*
- 6. M. Dornier, J.-C. Jallageas, M. Serpelloni, L. Mentink and J. Courzet, in D. Duchêne (Ed.), *Minutes of the 5th Int. Symposium on Cyclodextrins, Editions de Santé, Paris, pp. 230-231* (1990).
- 7. I. Nicolis and A. W. Coleman, *Supramol. Chem.,* in press.
- 8. C. Donz6, A. K. Chatjigakis and A. W. Coleman, *J. Incl. Phenom.* 13, 155 (1992).
- 9. A. Ueno, T. Suzuki and T. Osa, *Anal. Chem.* 62, 2461 (1990).
- 10. S. Minato, T. Osa and A. Ueno, *J. Chem. Soc. Chem. Commun.* (1991) 107.
- 11. A. Ueno, T. Kuwabara, A. Nakamura and E Toda, *Nature* 356, 136 (1992).
- 12. F. Villain, unpublished results. β -CD/Borneol: $a = 15.4\text{\AA}$, $b = 15.4\text{\AA}$, $c = 32.9\text{\AA}$, $\beta = 103^\circ$, β -CD/Camphor: $a = 15.4\text{\AA}, b = 15.4\text{\AA}, c = 32.\text{\AA}, \beta = 103^\circ$.
- 13. G. Lebas and N. Rysanek, 'Structural aspects of cyclodextrins', in D. Duchêne (Ed.), *Cyclodextrins and Their Industrial Uses,* Editions de Sant6, Paris (1987).
- 14. G. Tsoucaris, unpublished results.
- 15. A. W. Coleman, I. Nicolis, N. Keller and J.-R Dalbiez, *J. Incl. Phenom.* 13, 139 (1992).
- 16. A. Chatjigakis, C. Donz6, A. W. Coleman and R Cardot, *Anal. Chem.* 64, 1632 (1992).
- 17. A. Chatjigakis, R Cardot and A. W. Coleman, *J. Chromatogr.* submitted.
- 18. *SYBIL 5.10,* Tripos Inc., St. Louis, USA (1988).