

Recent advances in the treatment of low back pain*

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Summary. *There is at the present time an epidemic of low back pain in the industrialized countries. Although the exact origin of such pain is still unknown, there is increasing awareness that the outcome is usually favourable. Only some 10% of those suffering an acute episode of back pain are incapacitated for more than 6 weeks. The causes of long standing back pain are being identified in an increasing number of patients. They include anatomical and pathological disturbances in the motion segment as well as psychological, social and political causes. There are numerous factors which influence the pathophysiology of the motion segment. The degree of loading has been successfully measured and delineated for various postures and exercises, including those at work. The nutritional pathways to the disc have been established and the effect of various external factors measured. Movement is good for the disc and the importance of continuous passive motion for the healing of diseased or injured connective tissues is now established. Activation of large muscle groups increases the production of the body's pain reducing encephalins. Early diagnosis and early mobilisation of the patient should be of benefit, and long term bed rest and inactivity must be prevented. Fewer cases will need operation in the future. Improved imaging techniques and better methods of operation and fixation will help those few who have a definite pathological lesion amenable to surgery.*

Résumé. *Il y a actuellement une véritable épidémie de lombalgies dans les pays industrialisés. Bien que l'origine exacte de ces douleurs demeure inconnue, il*

apparaît de plus en plus que le pronostic en est habituellement favorable. Dix pour cent seulement de ceux qui présentent un épisode lombalgique aigu ont une incapacité supérieure à 6 semaines. Les causes des lombalgies prolongées sont maintenant retrouvées dans un nombre croissant de cas. Elles englobent aussi bien des lésions anatomiques et pathologiques du segment mobile du rachis que des éléments psychologiques, sociaux et politiques. De nombreux facteurs sont en cause dans la physiopathologie de ce segment mobile. L'importance de la charge a été mesurée pour des positions et des mouvements divers, y compris ceux nécessités par le travail. Le mode de nutrition du disque a été précisé et l'effet de différents facteurs extérieurs a été mesuré. Les mouvements jouent un rôle favorable pour le disque et l'importance de la mobilisation passive continue dans la cicatrisation du tissu conjonctif malade ou traumatisé est maintenant bien établie. La mise en activité de groupes musculaires importants augmente la production d'encéphalines analgésiantes. Un diagnostic et une mobilisation précoces du malade sont bénéfiques, aussi le repos prolongé au lit et l'inactivité doivent-ils être évités. Dans l'avenir un petit nombre de cas nécessiteront un traitement chirurgical. Des techniques améliorées et de meilleures méthodes d'opération et de fixation aideront ceux chez qui une lésion pathologique définie justifie une intervention.

Key words: *Low back pain, Pathogenesis, Treatment*

Introduction

Orthopaedic surgery has made great advances in the last decade in many areas like replacement of

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total joints and the treatment of scoliosis. For the patient with low back pain progress has been somewhat slower. There are, however, indications of a breakthrough within the next decade, due to the collaboration of orthopaedic surgeons, specialists in rheumatology and rehabilitation, biochemists, biomechanical engineers and basic scientists in the pain field. At the present time population studies demonstrate an annual prevalence of low back pain ranging from 2%–5% with an incidence of 80% [9, 12, 40, 54, 63, 64, 75, 102, 125, 132, 142, 148].

The origin of low back pain

There are many structures in the motion segment which could theoretically cause pain [150]. The exact nature of the pain remains obscure, but the main interest has centred around the intervertebral disc, with its surrounding longitudinal ligaments, and the facet joints. Recent knowledge on both the muscles and the vertebrae will also be mentioned. Without doubt the mechanical integrity of the intervertebral disc proper influences all the surrounding structures. When the disc degenerates there is an increase in facet load [73, 152], altered distribution of stress concentrations in the end plates and the subchondral bone of the vertebrae [43], encroachment on the nerve root canal [14, 15, 82, 94] and an increased demand for the muscles, especially the intersegmental groups, to stabilize the motion segment [58, 111].

The intervertebral disc is the largest avascular structure in the body and its nutritional pathways have been established by several researchers, including our group in London and Gothenburg [50, 51, 53, 131]. The integrity of the nucleus pulposus depends on diffusion pathways through the end plates and the annulus fibrosus in order to maintain the proper balance of water, solutes, glycosaminoglycans, protein and collagen. Some solutes enter mainly through the end plates, while others pass through the annulus. The high level of anaerobic metabolism (95% of the disc tissue) has been established and is also illustrated by the finding that the oxygen concentration in the centre is only 1.3 kPa and near the end plates 6.5 kPa. Accordingly the lactic acid concentration is high in the nucleus, 8 μ Mol/g wet tissue, whilst near the end plate it is 2 μ Mol and in plasma 1 μ Mol. Lactic acid with its high hydrogen ion concentration can cause pain via chemical pain receptors.

The most deficient nutrition is in the boundary zone between the nucleus and annulus [85]. This is also where fissuring starts to occur as the earliest sign of disc degeneration. These circumferential fissures weaken the lamellae of the annulus such that, theoretically at least, the existing tangential stresses could break the fibre bundles. There is thus a combined biomechanical and biochemical explanation for the occurrence of radiating fissures through the annulus to the external longitudinal ligament, particularly in the posterior part where the annulus is somewhat weaker.

In animal experiments several factors have been investigated and found to influence nutrition and metabolism in the disc. Spinal fusion has a negative influence on the discs included, while the discs adjacent to the fusion become metabolically hyperactive [51]. Motion increases the flow of nutrients into the disc in a positive way, and in dogs half an hour to an hour's exercise per day seems to be enough compared to just sitting or moving in the cages [52]. Smoking and vibration are other parameters with negative influences [53].

Hansson and his collaborators [44, 45] have established the frequent occurrence of healing microfractures of the trabeculae of the subchondral bone near the end plates. Biomechanical tests demonstrated the presence of such fractures in cadavers before any signs of change could be seen even on high quality x-rays. The importance of mechanical factors in abnormality of the motion segment is further demonstrated in recent Swedish statistics [114, 149], which show that workers who have a sedentary job are off work for about 10 days if they experience an attack of low back pain, but those whose job entails heavy lifting in uncomfortable positions, and who regard their work as very strenuous and monotonous, have an average of 40 days away from work with each attack. Chaffin and his collaborators [21, 65] have also demonstrated that a mismatch between the physical requirements of the job and the strength of the worker increases the frequency of injury to the low back.

Measurement of disc pressure

In order to evaluate the load on the spine I established a method of measuring disc pressure, first in cadaver material and then in living subjects, and have published several articles on this subject [84, 85, 87, 92]. Recent findings have emphasized

the importance of having a good lumbar support and armrest while performing sitting tasks, such as driving a car, for long periods of time. Kelsey et al. [61] recently and independently established from an epidemiological study that those driving a Volvo car, which has these mechanically advantageous features, had a significantly less risk of admission to hospital because of low back pain and sciatica. When rising from the sitting position with the help of an armrest 30% less load is experienced in the lumbar spine than when rising without [87].

Flexion and rotation also induce high loads on the lower motion segments. With 5 kg (50 N) in each hand the load exceeds 2100 N in only 20° of forward bending and rotation [107]. Again, Kelsey et al. [62] in a recent study found that those who were regularly subjected to this pattern of loading were six times more liable to be admitted to hospital because of a prolapsed intervertebral disc than those who were not. Twisting more than 20 times a day with a weight of more than 25 Lbs (10 kg) was the highest risk factor encountered.

Biomechanically, the use of corsets and braces has also been studied [93], demonstrating diminution of the load to the lumbar spine by about 30% when bending forward in the sagittal plane. We could find no significant differences between Camp corsets, the Rainey jacket or the Boston type brace for back pain.

It is necessary to define the limits beyond which mechanical loading of the back will produce pain [4, 76]. Intradiscal pressure measurements and the improved EMG techniques cannot be utilized widely at work to enable the establishment of these, but validated computer based models of the spine offer an opportunity. Some simple models have been established; Schultz et al. [93] confirmed the accuracy of this technique by EMG and disc pressure measurements. By using such models we will soon be able to produce scientifically backed guidelines for industry. However, well motivated guidelines are already in use [65, 83, 117].

Segmental instability is another mechanical factor which is important clinically but poorly defined and understood at the present time [67, 74, 91]. There are several indirect indications that this is one of the more important entities, the solution of which will mean a breakthrough in the understanding and treatment of low back pain. Increased mobility can be demonstrated with spondylolisthesis [95]. Recent studies have established some biomechanical criteria for two plane insta-

bility in cadaver material [99, 143]. Studies on the motion of the centres of axis for rotation, the centrodes, have been carried out on cadavers in Toronto by Seligman et al. [109], who have shown that in the moderately degenerated motion segments the location of the centrodes move erratically, but became more concentrated or near normal when the discs degenerated further. It has been observed clinically that improvement in symptoms in the more chronic back syndromes paralleled decrease in motion of the lumbar spine [71]; where definite instability was seen clinically, as in spondylolisthesis, a fusion helped in a fairly high percentage of cases, even in patients receiving Workmens Compensation Insurance [26, 36].

Very few radiological signs demonstrable on an ordinary x-ray are significant in low back pain [77]. However, spondylolisthesis, congenital or traumatic kyphosis, and Scheuermann's disease with involvement of two or more vertebral bodies in the lumbar spine all carry an increased risk for chronic back pain [118]. Ankylosing spondylitis, rheumatoid disease and osteoporosis are other causes of low back pain which may be diagnosed by plain radiographs.

The technique of ultra-sound scanning, first employed by Porter and his group in Doncaster [97], is a promising tool in epidemiological research. They have demonstrated that the average diameter of the spinal canal in patients with chronic low back pain is significantly less than in those who have never suffered back pain. Ramani [101] has shown this to be true in patients with symptoms from a disc hernia. However, the limitations of the method are considerable and it cannot be used in the individual case [34, 60].

The established method of investigating nerve root pain before contemplating surgery is a myelogram using water soluble contrast material such as metrizamide, and the literature is vast on its correct use and contraindications [55, 56]. Even better contrast materials, such as iohexol, are now becoming available.

The use of computerized tomography (CT) for establishing abnormalities of the motion segment such as disc herniation, spinal stenosis and facet arthritis has gained increasing popularity in this decade [16, 41], but recent studies [8, 146] have clearly demonstrated its limitations. Wiesel et al. [146] found in 52 volunteers without back pain an agreement of only 11% between 3 different interpreters of the scans; 35 scans were read as abnormal and 22% were diagnosed as having a disc herniation. In a continuation of this study [146] of the

prospective evaluation of patients with surgically proven herniation or stenosis, the metrizamide myelogram was significantly superior in correct diagnosis, even with spinal stenosis.

The future in this field may lie in nuclear magnetic resonance examination (NMR). The water content of the disc can be established, and this indicates both its mechanical competence and the degree of chemical degradation. Disc hernias have been demonstrated but not perhaps as clearly as with CT. Interestingly the postoperative picture shows swelling and changes in the muscles, which may perhaps also occur in some patients with acute back pain. This type of investigation should also enable studies of the importance of rupture of the muscle fibres in acute low back pain.

Pain

Knowledge of the chemical mediation of pain is increasing every year and we already know that there are several encephalins that are of importance [2, 57, 126, 127]. Rydevik and his collaborators [25, 105] have recently demonstrated that there is diffusion of substances including endorphins into the spinal nerve root. Thus, the persistence and competence of the nerve root sleeves offers a new dimension to understanding root pain. Proper function of the nerve root sleeve may well be of importance in suppression of pain. Experiments both with rats [100] and men [127] have clearly demonstrated that the level of endorphine in the cerebrospinal fluid is of importance for pain suppression, and other investigators [112] have shown that patients with chronic back pain have a diminished amount of the substance in the CSF. The importance of activating large muscle groups to increase the level of endorphine in the CSF in order to reduce pain sensitivity has also been established [17, 22, 37, 108, 153]. We, as orthopaedic surgeons, must use this information in the management of patients who are in pain, particularly where no definite pathological lesion can be established.

Treatment of low back pain

It is difficult to establish the effectiveness of treatment in prospective randomized trials in a disease in which the natural history is so extremely good. We again demonstrated recently in several thousand patients who were off work with back pain, that by 6 weeks 90% were back to work, 60% within 1 week [89]. Prospective randomised trials have

demonstrated the effectiveness of pain relief and early return to work with both a few days of bed rest, and with an educational back programme such as the Swedish back school [10, 105]. However, many other methods of treatment which are currently used such as traction, William's flexion and extension exercises, x-ray therapy, shortwave therapy, ultrasound therapy, muscle relaxants, bio-feedback programmes, anti-inflammatory drugs and injections and manipulations of various types have failed to demonstrate any significant effect on the natural history or on return to work [86, 156]. Some, like manipulation, seem to offer shortlasting (1–3 h) relief of pain [29, 49, 113], an effect resembling certain drugs [6, 7, 47].

The scientifically proven methods for the treatment of acute back pain thus include bed rest, proper advice on back care and analgesics [10, 145]. It seems that in this field, as in many others, advice based on knowledge, particularly biomechanical knowledge, is superior to any type of treatment based on "armchair" thoughts on the pathology.

In the management of chronic low back pain the literature does not contain a single study demonstrating the positive effect of treatment, although several reports, not controlled, indicate some benefit from corsets, traction, transcutaneous nerve stimulation, acupuncture, facet injections and a comprehensive back care programme [1, 32, 81, 110, 123, 157].

Treatment of low back pain and sciatica

Again there is scientific evidence demonstrating that bed rest and certain drugs give relief of pain, and some studies favour epidural injections of steroid [27]. With a myelogram that is positive, indicating a disc herniation, other studies however, demonstrated no effect with epidural steroid injections [116, 144], but showed better results following surgical removal of the disc hernia than with continuous conservative treatment. Weber's landmark studies [138, 139, 140] have helped us orthopaedic surgeons tremendously. Both he and others have pointed out that surgery should be performed within 3 months of the onset of sciatica in order to get excellent results [119, 120, 121, 124]. Weber followed his patients for 1 year, when the difference between his groups was significant, and then for 4 and 10 years when the results were similar in the conservatively treated and the operated groups. He also established in the 10-year follow-up [140], that although some 15% of his pa-

tients (an equal number in both groups) received a disability pension, they were all able to perform some tasks in their homes or on their farms, and did not suffer unduly from pain in their back or legs. There is increasing evidence that both back and leg pain have a time cycle of 4–5 years! [3, 129, 130].

In another study [141] patients with disc herniations which had been demonstrated by myelography were randomly treated by different methods of traction or without traction. Blind assessment of their progress was then undertaken. The methods of traction used were the True Trac apparatus, the Spina Trac apparatus, autotraction [72] and manual traction. The results showed no difference whether traction had been applied or not with any of the methods. However, with autotraction or manual traction, it was shown that the 25%–30% of patients who responded did so immediately. Thus, if the first trial of traction gives pain relief it is worth continuing with a few more sessions.

Chymopapain, a proteolytic enzyme which disrupts the protein mucopolysaccharide complexes in the nucleus pulposus, is widely used to treat disc herniation [69, 78, 115], and its superiority over saline injection has been proved [38]. However, in two randomised trials [23, 30] comparing chemonucleolysis with surgery, the latter was found to be better; there was a 50% failure rate in the chymopapain group against only 10% in those treated by operation. The overall rate of complications in a large number of uncontrolled studies seems to be the same in both methods, but the increased risk of allergic reactions and the rare occurrence of a severe transverse myelitis should restrict the use of chymopapain to the last resort in patients unsuitable for surgery. However, it is likely that improved chemical methods will evolve within the next decade.

The surgical removal of a disc hernia still remains the main treatment for these patients [48, 119, 120, 128]. Microsurgical techniques have been adopted and might be an improvement for the very young patients. The limited view makes a total evaluation of the nerve root and canal difficult, and this is often necessary in middle aged or older patients. The technique of proper positioning of the patient in the Mohammedan prayer position, and the use of a headlamp and magnifying loops are improvements in surgical methods which should be adopted by all surgeons operating on discs.

The value of further operations is questioned

in many recent studies [35, 66, 134]. My own experience, with only 25% of patients improving after 3 years of follow-up, mirrors this gloomy outlook. Only if a new disc herniation is found are the results good enough to warrant another operation. A reminder for disc surgeons should read;

- You only got one chance!
- Before operating, remember the natural history.
- Before operating, don't forget the patients' social, psychological and work situation.
- Repeat surgery is most of the time unrewarding and should be avoided if at all possible.
- Don't think you can find anything the previous surgeon overlooked. In 9 cases out of 10 you are wrong!!

When considering the management of chronic sciatica, there are no prospective studies in the literature demonstrating one method to be superior to another. We still use facet injections, denervations, epidural steroid injections, traction, TNS, plastic braces of various types and even sometimes resort to laminectomy with fusion [20, 33, 36, 39], usually with dismal results. The overall chance of successful rehabilitation to work of a patient suffering from low back pain and/or sciatica for longer than 6 months is only around 40% [13, 18, 19, 110, 122]. If the patient has had pain for more than 1 year this dwindles to about 15%. It seems that if the pain persists for more than 3 months the psychological make up of the patient changes, and Waddell [135, 136, 137] has illustrated these points very well. Everyone interested in the treatment of chronic low back pain should consult his findings.

It is obvious that, with the exception of the treatment of clearcut acute disc herniation, we need to stop, think and perhaps change our whole programme of management. This new way of thinking was recently published in *Clinical Orthopaedics* [90], "Work for all – for those with low back pain as well". Take into consideration our present knowledge of the natural history, the chance of recurrence which diminishes after a couple of years, and the influence of sick leave benefits [28, 80, 89, 129, 140].

The adverse psychological and somatic affects of inactivity are now well known to all physicians [13, 46, 122]. I have touched in this lecture on our own pain modulation and how it can be influenced by motion. We have undertaken studies on the function of the back in patients with lumbar pain and have shown that they have near-normal strength except in twisted positions [79, 88]. Many scientists have demonstrated that diseased tissues

of the type seen around the motion segment heal quicker with continuous passive motion [59, 104, 106, 133, 147]. Our own studies have demonstrated the improved nutrition to discs obtained by motion [52].

These observations indicate that we must tell our patients of the good prognosis following an attack of acute low back pain, we must instruct them in what to do, how to move and of the beneficial effects of mobilisation towards work. In the majority of cases, excluding those 15%–20% who have a demonstrable pathological lesion, mobilisation and a gradual return to work using proper mechanical care is the most effective treatment [19, 20]. Wynn Parry [151], studying patients with arm pain due to brachial plexus lesions, said that the most significant feature in improvement of pain was the patient's return to work.

In a controlled study of 70 patients, we have clearly demonstrated the effect of such an approach and a more scientifically controlled prospective study is under the way.

What can we expect surgically in the future? Considering the importance of instability I think that we urgently need to improve our diagnostic methods to delineate the normal and demonstrate abnormal movement in the lumbar motion segment. We must also improve our methods of diagnosis [42, 70] and fixation in patients with spinal stenosis and root canal stenosis. Our present techniques of operation often render the motion segment unstable [11, 88], or our fusions do not unite in a satisfactorily high percentage of patients [96, 103].

Of some importance today is the syndrome of spinal nerve entrapment [5, 24, 31]. However, our knowledge is too meagre to establish its proper place in our surgical armamentarium. Our methods of avoiding postoperative surgical scarring are not established [68, 154]. Recently Porter et al. [98] delineated the natural history of root entrapment syndromes and found them somewhat better than previously thought. Unfortunately his operated cases did not significantly differ from those treated conservatively at follow-up one to 4 years later.

Future improvement

Preventive methods have been used in various industries with some success and with our increasing knowledge of biomechanical factors we can expect even better results in the future [90]. Increased robotization will also help to improve the

more strenuous work environments, which now account for many sufferers from chronic back pain. Improved prevention programmes, with help from politicians [28], industrial leaders and safety engineers, will diminish the problem of back pain in the future. Improved methods of diagnosis will delineate more factors of pathogenetic importance, and improved information and activation both to the general public and to those suffering their first attack of low back pain, will diminish chronicity.

I also predict that within the next decade we will do much less surgery, but have very much improved results, depending in particular on our specific laboratory and imaging methods for instability and nerve root pathology. We will also have improved methods of mechanical stabilization, and for the surgical relief of nerve root involvement. Thus, although we are now living in an epidemic of back pain we will, within the next decade, be able to control this. We will be able to do so, not by our surgical efforts, but by epidemiological, biomechanical and diagnostic research and by collaboration with other specialities including politicians and industrial leaders, across faculty borders.

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