

## Problems and Promises of Assaying the Genetic Potential for Nitrogen Fixation in the Marine Environment

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**Abstract.** Nitrogen fixation in the sea has attracted the attention of ecologists for decades. Much is known about the habitats in which it occurs and some of the factors that limit N<sub>2</sub> fixation activity in different environments, but we still know little about the organisms that fix nitrogen, and what limits the growth and distribution of these organisms in marine environments. Molecular biology technological developments have provided tools for detecting and characterizing N<sub>2</sub>-fixing organisms in the environment. These techniques hold great promise for unraveling the mysteries and paradoxes of N<sub>2</sub> fixation in the sea. In this review, we address the theoretical basis for the use of a molecular approach to N<sub>2</sub> fixation, highlight the strengths and weaknesses of the approach, and provide case studies that demonstrate the potential contribution of molecular biology approaches to studies of N<sub>2</sub> fixation in the sea.

### Introduction

Biological N<sub>2</sub> fixation, the reduction of atmospheric N<sub>2</sub> gas to biologically available ammonium, is a crucial component of the global nitrogen (N) cycle [28, 68]. In many terrestrial, freshwater, and marine environments, N is believed to be in short supply, and N<sub>2</sub> fixation can provide the mechanism for alleviating N limitation of ecosystem production [81]. N<sub>2</sub> fixation, however, is an energetically demanding process, requires molybdenum and iron, and is inhibited by oxygen. For these reasons, N<sub>2</sub> fixation, although a potential mechanism for obtaining N for microorganisms, can itself be limited by other biochemical or environmental parameters [18, 42].

Rates of N<sub>2</sub> fixation can be measured using <sup>15</sup>N as a tracer, or by using the C<sub>2</sub>H<sub>2</sub> reduction technique [18]. The measurement of N<sub>2</sub>-fixation rates can provide information on the flux rate at the time of measurement, but provides limited

information on the regulating factors or organisms responsible for this activity. Such activity measurements cannot provide insight into the reasons for lack of  $N_2$  fixation when it cannot be detected [88]. To understand the ecosystem-level controls on  $N_2$  fixation, it is necessary to distinguish between mechanisms that limit the distribution of organisms that have the genetic capability to fix  $N_2$ , and mechanisms that regulate the expression of the  $N_2$ -fixation apparatus. Molecular biological approaches provide a suite of techniques that can provide basic information on the presence or absence of  $N_2$ -fixing organisms, their types, and the factors that regulate the expression of  $N_2$  fixation activity [27, 46].

The marine environment is composed of a variety of habitat types, including the benthos, coral reefs, marshes, estuaries, ocean margin water columns, and the open ocean. The extent of  $N_2$  fixation in these habitats varies [16] and dinitrogenase activity has been detected in all of them, including the deepest ocean sediments (refs. in [18]). The ecological questions surrounding the  $N_2$ -fixation issue differ among habitats.

$N_2$  fixation is catalyzed by the enzyme dinitrogenase, a multisubunit protein that is highly regulated by transcriptional and post-translational controls [68]. The genetics of  $N_2$  fixation have been well studied in *Klebsiella pneumoniae*, an enteric bacterium that can be genetically manipulated (reviewed in Merrick [53]). Research on the genetics of  $N_2$  fixation in cyanobacteria [39] and purple bacteria [72] has also rapidly progressed in the last ten years, although the  $N_2$  fixation apparatus is somewhat less well known than that in *Klebsiella*. The results of studies of  $N_2$  fixation in *Klebsiella*, *Rhodospirillum rubrum*, and *Anabaena* sp. PCC 7120 have provided models for the genetics and regulation of  $N_2$  fixation that can be used as a starting point for investigations of regulation of  $N_2$  fixation in organisms in the environment.

Subsequent to characterization of *nif* structural protein genes (*nifHDK*) in *Klebsiella*, it was found that dinitrogenase genes are highly conserved [74] and are present in numerous prokaryotic taxa representing all the major groups of the prokaryotes (see review by Young [87]). The marine environment contains diverse prokaryotic populations, many of which have yet to be represented in culture collections [35]. Many types of marine microorganisms are likely to be involved in  $N_2$  fixation [62]. Since dinitrogenase genes can be found in virtually any group of prokaryotes, but are not present in all representatives of any group, taxonomic information provides little information on whether or not  $N_2$  fixation capability is present in natural populations of uncultivated species.

In the open ocean environment, inorganic N and phosphorus (P) concentrations are low, and there is still question over the relative importance of the availability of P and N in limiting productivity [40, 41]. Analysis of particulate C:N:P and total N and P in the mixed layer of the oceans indicates that N could limit productivity, at least at some times and in some places. Global distributions of dissolved inorganic N (nitrate + nitrite) and P concentrations show that the oligotrophic ocean gyres have low N:P ratios indicative of potential N limitation [30]. The geochemical argument for P limitation is based on the assumption that  $N_2$  fixation should alleviate any N deficit [69]. Whether nitrogen or phosphorus actually limits productivity, either perspective argues that  $N_2$  fixation should occur in the low-nutrient environment of the open sea, yet few studies have identified  $N_2$ -fixing organisms [37, 44, 51, 85] or measured  $N_2$ -fixation rates in situ. A recent nitrogen

budget analysis for the Atlantic Ocean strongly indicates that there is an unidentified source of N, speculated to be N<sub>2</sub> fixation [54]. The planktonic filamentous nonheterocystous cyanobacterium *Trichodesmium* is one of the few organisms known to fix N<sub>2</sub> in the open ocean, and may be one source of this “missing” N [24]. Molecular techniques provide an approach to identifying other organisms that may contribute to oceanic N<sub>2</sub> fixation, by making it feasible to detect the genes that are required for N<sub>2</sub> fixation to occur. This approach has the benefit that it is possible to detect the genetic potential for the conversion of N<sub>2</sub> to ammonium, without experimental manipulation or cultivation.

In some environments, such as microbial mats, N<sub>2</sub> fixation can be easily measured and we know that N<sub>2</sub> fixation is an important source of fixed nitrogen in these environments. These communities contain a rich assemblage of diverse microorganisms. It can be extremely difficult to identify the organisms responsible for N<sub>2</sub> fixation in such environments, and identification is dependent upon culturing (which then raises questions as to the importance of those organisms *in situ*) or the results of experimental manipulations of physiologically relevant parameters (e.g., light, O<sub>2</sub> or organic supply) or the use of inhibitors [4, 63]. Interpretation of these experiments can be difficult, primarily because of the potential for consortial interactions and interdependence of organisms in mats and sediments. For example, when dark incubation conditions or DCMU result in reduced N<sub>2</sub>-fixation rates, the reduction may result from direct inhibition of photosynthetic organisms responsible for N<sub>2</sub> fixation or of heterotrophic bacteria dependent upon photosynthate from photosynthetic organisms. Molecular techniques provide an approach to answering these questions directly through phylogenetic analysis of N<sub>2</sub>-fixation genes present in the microbial community. Thus, a molecular approach to N<sub>2</sub> fixation in the environment can provide answers to questions regarding the presence of N<sub>2</sub>-fixing organisms (detection), and species and functional group identification (characterization of the types of N<sub>2</sub>-fixing organisms).

In this review we will detail the strengths and weaknesses of the approach of characterizing genes for the N<sub>2</sub> fixation apparatus in natural populations, and illustrate in several examples how this information may provide information on the limitation of N<sub>2</sub> fixation in the environment. We will describe the theoretical considerations of the PCR approach, and, using examples from the open ocean and benthic environments where research along these lines has been initiated, will demonstrate the power and utility of this approach to provide insight into ecological questions of N<sub>2</sub>-fixation regulation in the marine environment.

### **Dinitrogenase Genes and the Use of Dinitrogenase Sequences for Species Identification**

The conserved nature of the dinitrogenase genes means that the DNA and amino acid sequence of the dinitrogenase (*nif*) genes share a high degree of similarity among organisms. In particular, the DNA and deduced amino acid sequences of genes for the structural proteins dinitrogenase (the Mo protein) and dinitrogenase reductase (the Fe protein) have been conserved through evolution. The dinitrogenase reductase gene is the most highly conserved, and there are more dinitrogenase reductase gene (*nifH*) sequences than dinitrogenase gene (*nifDK*) sequences available

in the sequence databases. This makes the *nifH* gene a good choice for diazotrophic species detection and identification.

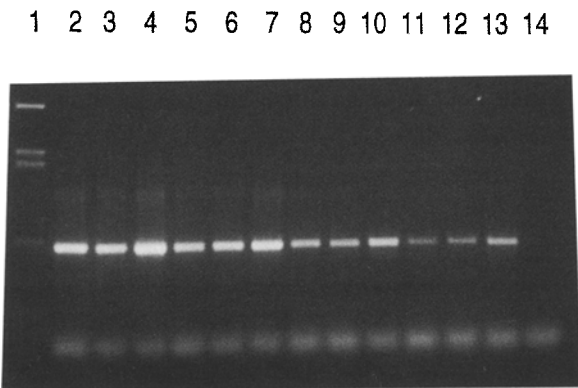
The conserved nature of these proteins indicates that some features of the protein sequences are particularly important for biochemical activity, and replacements at specific sites have been restricted throughout evolution. The sequences contain less-conserved regions, which can provide taxonomic information as well, in the same way that the conserved ribosomal RNA genes have been used to define evolutionary relationships of organisms [60, 83]. The major difficulty in using *nif* sequences for detection and identification of organisms is the ability to rapidly obtain sequences from environmental samples containing numerous types of organisms, often in low concentrations. The polymerase chain reaction, which can amplify DNA sequences between two known short DNA sequences [57, 75], such as those in highly conserved regions, provides a tool for obtaining these sequences efficiently and rapidly from environmental samples [5], as well as from cultures of known organisms.

Several regions in the sequence of *nifH*, which encodes the Fe protein, are very highly conserved, occurring in virtually every *nifH* sequence determined thus far, including some from the Archaea. Two short DNA sequences, which flank a DNA sequence of about 325 nucleotides, have been used to design degenerate oligonucleotide primers that provide for every possible codon that could encode the conserved amino acid sequences [90]. The conserved features, particularly at the primer locations, ensure that most if not all *nifH* genes can be amplified, unless there exists a yet to be characterized dinitrogenase that is substantially different from the three dinitrogenases that have been characterized. The amplified *nifH* segment encodes several potentially important regions in the structure of the Fe protein including conserved cysteines involved in coordination of the Fe-S center, and an ADP ribosylation site [67] important in regulation of activity of the protein [34]. Figure 1 shows the amino acid sequence encoded by the amplified DNA fragment for diverse organisms, including uncultivated organisms in the environment. The upstream primer site may be involved in ATP hydrolysis. The upstream part of the sequence is particularly divergent among organisms and may be related to the distinctive properties of dinitrogenase from different species [34] (Fig. 1).

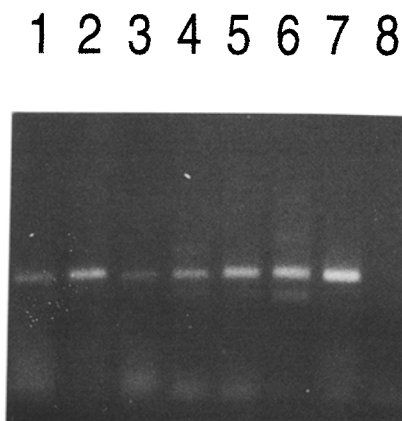
The use of the PCR for analyzing mixed assemblages of nitrogen fixing organisms is based on the amplification of a mixed set of genes derived from multiple organisms, cloning of the mixed amplification products, and sequencing clones containing individual sequences derived from one operon within one genome. The amplification product will contain products of different templates. In theory, the different products should be obtained in the same ratio as the different templates. The mixture of amplification products cannot be sequenced directly, but must be cloned into a recombinant vector for analysis of individual sequences. Recombinant clones contain individual sequences, and the composition of the recombinant library should be representative of the composition of the template mixture. However, amplification of 16S rRNA genes has previously indicated that amplification can select for certain types of sequences [71]. The validity of the assumption that the PCR technique amplifies different *nifH* sequences with the same efficiency has been evaluated by amplifying mixtures of known templates that vary in GC content with the degenerate primers. Different *nifH* templates of varying GC content might be expected to amplify with different efficiencies, but our results indicate that the

<i>Klebsiella pneumoniae</i>	STRLLIHFAPA QNTMTEM-AA	-----EVCS-V	EDLEED-VL	QIGYGDVNRCA	ESGGPEFGV	CAGRGVITAI	NFLBERGAVE	D--DLDFVY	DVLGDVWCGG	FAMPPIRENKA	QEIYIVCS	T
<i>Frankia</i> sp. strain A13	AS	TSVIQL	DE	VE QMGIK V		S	TV A	- N T		OG		T
<i>Frankia alni</i> strain HPN18a	S	TSVIQL	DE	VE QMGIK V		S	TV A	- N T		RG		T
<i>Vibrio diazotrophicus</i>	S		I									
<i>Azotobacter vinelandii</i> [Fe-Mo]	S		A T	KA G K V								
<i>Azotobacter vinelandii</i> [vanadium]	S		A T	KA G K V								
<i>Azotobacter chroococcum</i>	S	X	EAGT-VE	DLEL-								
Lower Mat 51		Q	DA T	D								
Marine Snow	NS	D VLH	M	KV S IK V		AA	D N D	-T Y S		R		V
<i>Bacillus azotofixans</i>	NT	Q VLH	L	AT F IL V		I S	Q D -	GM IS				
<i>Thiobacillus ferrooxidans</i>	S	D VLSL	A	M KV R I V		S	N D -	GNV S		K-Q		M
<i>Rhizobium trifolii</i>	NS	G VLDL	T	K- G		S	N D -	V Y S				M
<i>Rhizobium phaseoli</i>	N	D VLHL	Q -	KA G K V		S	N D -	V Y S				M
<i>Azospirillum brasilense</i>		D VLHL	A	K G K V			N D -	L Y S				M
<i>Rhodospirillum rubrum</i>	NT L	D VLHL	A	DVAD V K			N D -	L Y S				M
<i>Rhodobacter capsulatus</i>	NT L	D VLHL	A	EAGS-VE	DLEV-ED	V K	KGIK T	A				M
<i>Anabaena</i> sp strain L31	M	NC	RV VLHL	R A	E	M	LT FRG K V					T
<i>Gloeothece</i> sp.	M	S	T VLHL	R A	I		LT FE IK V					T
<i>Lynghya lagerheimii</i>	L	GGLS	R VLD----	T LR E ED -	DD--	IV SP	FANVL T					V
<i>Desulfonitrospirillum</i>	L	GGLQ	K VLD----	T LR E EE -	--	D II	KE KGS T					V
<i>Charonatum baderi</i>	L	NGL	K VLD----	T LR E -	-	V D	R KV F GTL T					E
Lower Mat J5	L	GGL	K VLD----	T LR E ED -	--	DD II	KV NTS T					V
<i>Clostridium pasteurianum</i> seq. 6	L	GGL	K VLD----	T LR E ED -	-	DSI	KT AGI V					V
<i>Clostridium pasteurianum</i> seq. 1	L	GGL	K VLD----	T LR E ED -	-	DSI	KE GI V					A
<i>Clostridium pasteurianum</i> seq. 2	L	GGL	K VLD----	T LR E ED -	-	DSI	KT AGI V					A
<i>Clostridium pasteurianum</i> seq. 4	L	GGL	K VLD----	T LR E ED -	-	DSI	KT AGI V					A
<i>Clostridium pasteurianum</i> seq. 5	L	GGL	K VLD----	T LR E ED -	-	DSI	KE F GI V					A
<i>Clostridium pasteurianum</i> seq. 3	L	GGL	K VLD----	T LR E ED -	-	DSI	KE GI V					A
<i>Methanococcus thermolithotroph.</i>	M	GKPP	K L D	LRDE E -	KETTENI	R -	V E I V					V
<i>Methanobrevibacter arb.</i> str. DC	M	RGKM	D V DV	LR E EE- A-VT	K R K	FK IL V						A
<i>Azotobacter vinelandii</i> alt.	M	GKPP	K L D	LRDE EE- ACD	DN M SV	F IK V						A
<i>Rhodobacter capsulatus</i> alt.	M	GGLP	Q V D	LRDE A-E	RVTV--	DK V	RVF IK V					A

**Fig. 1.** Deduced amino acid sequences for region of *nifH* amplified with *nifH* degenerate PCR primers. Sequences representing major groups of microorganisms are shown, demonstrating the variability in sequence of this region of *nifH*. Sequences are compared to the *nifH* sequence from *Klebsiella pneumoniae*, with exact matches indicated by blank spaces.



**Fig. 2.** Amplification competition experiments using *nifH* templates with varying G + C content in primer sequences. Amplification of two templates containing different G + C contents of the primer region produce expected doubling of product (see lanes 4, 7, 10, 13). Lane 1, size marker; lane 2,  $10^7$  copies template 1; lane 3,  $10^7$  copies template 2; lane 4,  $10^7$  copies template 1 +  $10^7$  copies template 2; lane 5,  $10^7$  copies template 3; lane 6,  $10^7$  copies template 4; lane 7,  $10^7$  copies template 3 +  $10^7$  copies template 4; lane 8,  $10^5$  copies template 1; lane 9,  $10^5$  copies template 2; lane 10,  $10^5$  copies template 1 +  $10^5$  copies template 2; lane 11,  $10^5$  copies template 3; lane 12,  $10^5$  copies template 4; lane 13,  $10^5$  copies template 3 +  $10^5$  copies template 4; lane 14, negative control. Template 1, 53% G + C upstream primer site; Template 2, 71% G + C upstream primer site; Template 3, 53% G + C downstream primer site; Template 4, 71% G + C downstream primer site.

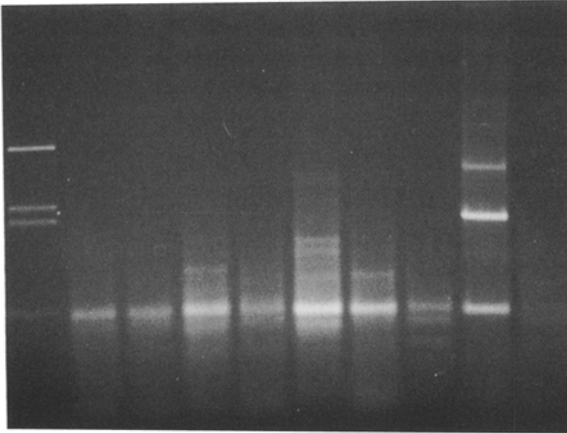


**Fig. 3.** Amplification of *nifH* fragment from diverse microorganisms. Lane 1, *Nostoc muscorum*, heterocystous cyanobacterium; lane 2, *Chlorobium limicola*, green bacterium; Lane 3, *Gloeotheca* sp., unicellular cyanobacterium; lane 4, *Plectonema boryanum*, filamentous nonheterocystous cyanobacterium; lane 5, *Chromatium bunderi*, purple sulfur bacterium; lane 6, *Vibrio diazotrophicus*, heterotrophic  $\gamma$  proteobacterium; lane 7, *Methanobrevibacter arboriphilicus* strain DC; lane 8, negative control.

amplification is not sensitive to GC content of the target (Fig. 2). The degenerate primer sequences include the sequences of all of the bacterial *nifH* sequences in the GenBank database, except for certain species of methanogens. Nonetheless, we have been successful in amplifying *nifH* (or *nifH*-like) genes from cultures of methanogens (Fig. 3).

A wide variety of *nifH* genes can be amplified with the *nifH* primer set, including purple sulfur bacteria, heterocystous and nonheterocystous cyanobacteria, vibrios, and other heterotrophic bacteria (Fig. 3). At this time, there is little reason to suspect that the PCR approach is biased for different types of templates. The *nifH*

1 2 3 4 5 6 7 8 9 10



**Fig. 4.** Amplification of the *nifH* fragment from diverse marine samples. Lane 1, Size markers; lane 2, mangrove sediments from Bahamas; lane 3, Little Lake, a saline lake on San Salvador Island, Bahamas; lane 4, marine cyanobacterial mat sediment from North Carolina; lane 5, stromatolites from Lee Stocking Island, Bahamas; lane 6, *Lyngbya*-dominated cyanobacterial mat from North Carolina; lane 7, *Lyngbya* sp. culture isolated from North Carolina mat; lane 8, marine copepod (*Acartia* sp., provided by L. Proctor); lane 9, positive control; lane 10, negative control.

primers have also successfully amplified a fragment of *nifH* from a variety of sample types, including benthic mats and stromatolites (Fig. 4). A few other studies have used a similar approach to amplify *nifH* in the terrestrial environment [79, 80].

#### *Sequence and Phylogenetic Analysis*

The sequence information obtained by cloning and sequencing the amplification products can be used to identify the organisms from which the sequences were derived. DNA and deduced amino acid sequences show that similar organisms have features that can identify groups of organisms (Fig. 1). For very similar organisms, high values of identity (>85% on the DNA level, >90% on the amino acid level) between sequences can be used to identify sequences [6]. Phylogenetic tools designed to determine the evolutionary relationships among organisms provide a more definitive way to recognize similarities among sequences. The phylogeny of *nifH* sequences (from the portion of *nifH* amplified with the primers of Zehr and McReynolds [90]) is largely consistent with the phylogeny of microorganisms derived from sequences of the 16S rRNA gene (Fig. 5). In particular, cyanobacterial *nifH* sequences cluster together, with heterocystous cyanobacterial sequences clustering tightly (Fig. 5). Although the use of the amplified *nifH* sequence for identification is not necessarily dependent upon the similarities between the 16S rRNA and *nifH* phylogenetic trees, the correspondence of the trees provides some assurance that similarities between sequences are not usually due to the lateral transfer of *nifH* genes. However, there are a couple of interesting discrepancies between the *nifH* phylogeny and the 16S rRNA phylogeny that could be due to the lateral transfer of *nifH*. *nifH* sequences from some anaerobic organisms including *Clostridium* (low GC firmicute), *Desulfovibrio gigas* (sulfate-reducing delta proteobacterium) and *Chromatium buderii* (photosynthetic purple sulfur  $\gamma$  proteobacterium) do not cluster with their respective groups, but together form a cluster of deeply

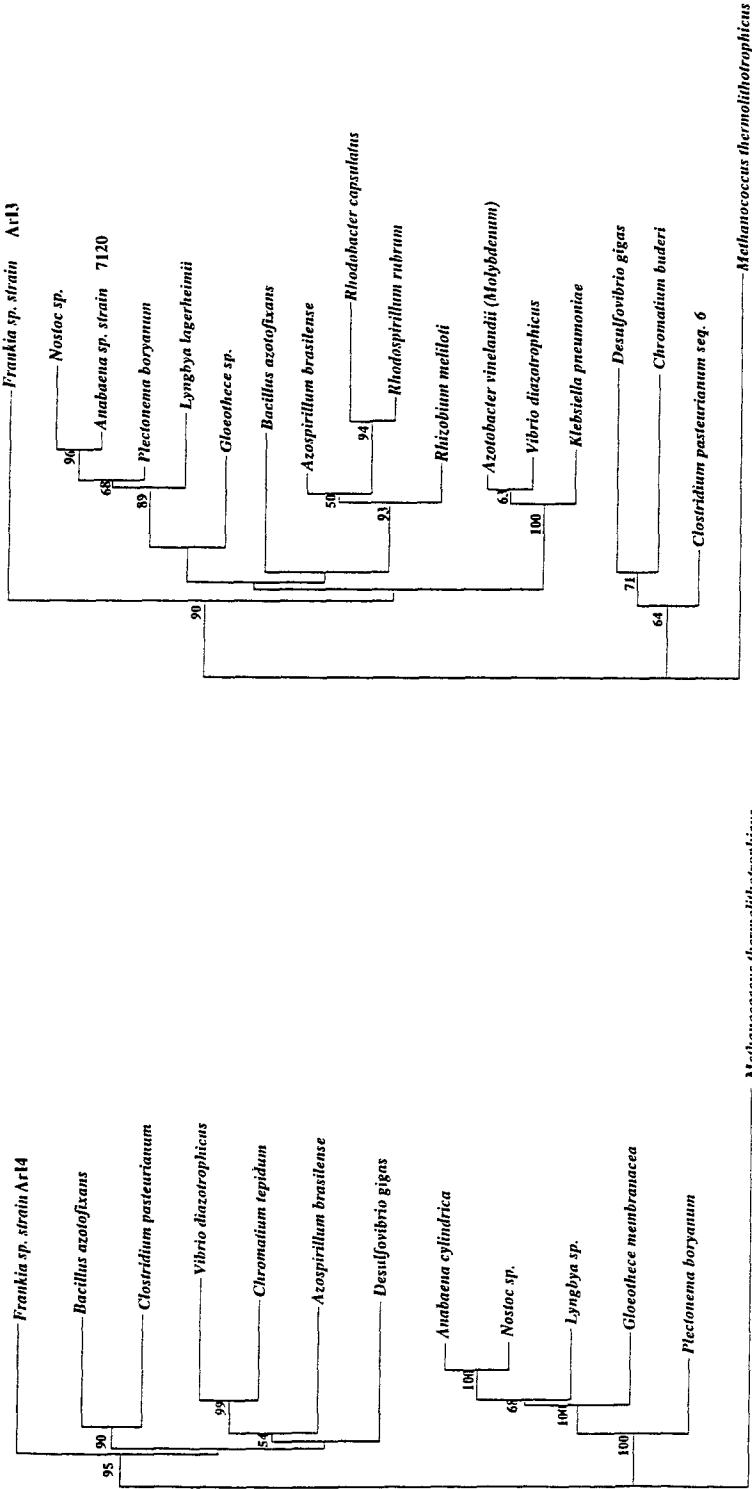
branching sequences (Fig. 5). One of the characteristics of *nifH* sequences within this cluster is a difference in sequence and gaps in a region near the upstream end of the amplified fragment (Fig. 1), but masking this region for phylogenetic analysis does not affect the clustering of these organisms. We do not yet know why these sequences from anaerobes cluster together, but the simplest interpretation is that lateral transfer occurred at some point in time. These organisms are found in the same habitats (e.g., muds and soils), and the close proximity of cells in these habitats may have enhanced the probability of transfer of genetic material between cells.

There are several characteristics of the *nifH* gene that are particularly important in interpretation of *nifH* sequence data obtained from the environment. In some organisms, the *nifH* gene is present in multiple copies. In *Clostridium*, not all of the *nifH* copies are expressed. If such sequences were obtained from the environment, they potentially could be confused as being from different organisms, thus inflating the apparent diversity of N<sub>2</sub>-fixing organisms. However, the multiple copies for *Clostridium* are closely related to each other. Multiple copies probably most often arise from duplication events, and therefore will be related to each other. Multiple sequences within the anaerobic cluster containing *Clostridium* were obtained from amplification of DNA extracted from cyanobacterial mats, which indicated a high diversity of heterotrophic N<sub>2</sub> fixing microorganisms [93]. The sequences are less similar to each other than the multiple copies in *Clostridium* are to each other, indicating that these sequences probably were derived from different genera, and were not multiple copies within the same organisms. However, the possibility of multiple copies must be considered when closely related sequences are obtained from one sample from natural assemblages.

In addition to multiple copies of nonfunctional *nifH* genes, there are alternative *nif* operons. The alternative dinitrogenases are referred to as the V and Fe dinitrogenases, in light of the requirement for V or Fe cofactors in place of Mo in the conventional dinitrogenase. These alternative operons differ extensively in the proteins encoded by the dinitrogenase sequence (*nifD* instead of *nifDK*), but the alternative dinitrogenase reductase genes (*nifH*) from the alternative operons (V and Fe dinitrogenases) are similar to that of the conventional *nifH* [11]. The conserved amino acid sequences in the conventional dinitrogenase reductase sequence also occur in the alternative dinitrogenase reductase sequences. Phylogenetic analysis of the alternative *nifH* sequences shows that the V nitrogenase (first alternative) *nifH* is most closely related to the conventional *nifH* from the same organism, but that the Fe dinitrogenase (second alternative) *nifH* sequences group together, consistent with an independent evolution (Fig. 5). Microorganisms (e.g., *Azotobacter*) can contain all three dinitrogenase operons [12]; when sequences from these organisms are obtained, the conventional and nitrogenase *nifH* sequences are most closely related to the Fe dinitrogenase reductase sequences of other organisms. The V dinitrogenase *nifH* may be difficult to detect, but will probably be closely related to the conventional *nifH* from the same organism (as in *Azotobacter*), and therefore is an issue only when similar sequences are obtained from the same environment. Eventually, these operons should be made distinguishable by the development of a downstream primer in the more divergent *nifD* (or *vnfD*, *anfD* in the alternative operons), which would amplify both *nifH* and a portion of *nifD*.

One final consideration in using the amplification and sequencing approach for





**Fig. 5.** Phylogenetic analysis of *nifH* and 16S rRNA sequences from diverse genera. *nifH* sequences (left) were obtained from GenBank or have been reported in Zehr et al. [93]. 16S rRNA sequences (right) and alignments from similar species or strains were obtained from the Ribosomal Database Project [50]. Phylogenetic analysis was performed using distance methods and neighbor-joining algorithms of PHYLIP 3.5 [31]. Trees were bootstrapped 100 times and bootstrap values greater than 50% are indicated at their respective nodes. Clusters in both trees are similar, except that anaerobes including *Desulfovibrio* and *Clostridium* cluster together in the *nifH* tree. Gram-positive bacteria, (firmicutes) cluster together in the 16S rRNA tree.

detecting and characterizing *nifH* genes is the existence of other closely related non-*nif* genes. It has been reported that the *frxC* gene, which encodes a chlorophyllide reductase, has significant homology to *nifH* [33]. However, homology of the *frxC* sequence to *nifH* encoded by the sequence fragment amplified by PCR is less than 40%. There is little chance of confusing the *nifH* sequence with a *frxC* sequence, even if the correct size fragment is amplified. The amino acid sequence encoded by the downstream primer used for PCR does not occur in *frxC*, and therefore it is not clear that *frxC* could even be amplified using this primer set.

### Case Study 1: $N_2$ Fixation in the Open Ocean

Much of the surface ocean in tropical and subtropical regions is nutrient depleted. Furthermore, these regions are generally considered to be N limited, that is, N is in the least supply relative to other nutrients required for planktonic growth [20, 29]. Thus,  $N_2$  fixation would confer a competitive advantage for those organisms capable of it [81]. There is one prominent  $N_2$  fixer identified in these open ocean waters, the cyanobacterium *Trichodesmium* [23]. However, other diverse cyanobacteria, bacteria, and Archaea exist in these environments and may also contribute to  $N_2$  fixation. Molecular approaches have been applied to *Trichodesmium*, and have greatly increased our understanding of the importance of this organism, as well as providing important insight into the features of its  $N_2$ -fixing system [89, 91]. Analysis of *nifH* DNA sequences provided direct evidence that *Trichodesmium* itself fixed  $N_2$  [90], and gave information on the relationships of species and strains [7]. Analysis of the Fe protein of dinitrogenase in *Trichodesmium* has lent clues to the mechanisms involved in regulating the diel cycle of  $N_2$  fixation [22, 92].

Our knowledge of the other  $N_2$  fixers in these environments is meager. There are abundant prokaryotic organisms in the water column, including unicellular cyanobacteria and the related, recently discovered *Prochlorococcus* [25], as well as approximately  $10^6$  cells  $ml^{-1}$  of unidentified heterotrophic bacteria. Unicellular  $N_2$ -fixing cyanobacteria have been brought into culture (e.g., *Synechococcus*; [55]), but these strains have not yet been shown to be important in the water column. A number of vibrio cultures were isolated by Guerinot and Colwell [37], although these were obtained from relatively nearshore locations. Therefore, the presence of  $N_2$ -fixing strains in the open ocean remains to be demonstrated.

The development of PCR primers that amplify a wide variety of organisms has facilitated the study of other  $N_2$ -fixing organisms in the open ocean environment. Traditional culturing studies have indicated that  $N_2$ -fixing organisms, particularly heterotrophic bacteria, can be present in marine waters [37, 44]. However, it is difficult to interpret the results of culturing studies when it is clear that cultivation may select for specific strains and may not assess the abundance or diversity of populations in situ [3, 35, 82]. The amplification of *nifH* genes directly from natural populations provides information on the "genetic potential" for  $N_2$  fixation in natural populations. In theory, the PCR can be used, quantitatively, and even semiquantitative order of magnitude estimates of *nif* gene abundance would shed light on the potential for  $N_2$  fixation in the open ocean [89]. Phylogenetic analysis of the amplified *nifH* sequences (or the deduced amino acid sequences) can be

used to identify unknown organisms (Fig. 5), providing that fairly closely related sequences are available in the database.

Amplification of *nifH* from cells collected in the tropical Atlantic Ocean produced a product that could represent a number of cells per liter equivalent to that of *Trichodesmium* [89]. Although this represents a relatively small percentage of the total prokaryotic population, N<sub>2</sub> fixation by *Trichodesmium* has been estimated to potentially contribute a large fraction of the nitrogen input into the surface layer [24].

Analysis of *nifH* sequences from the water column show that there are heterotrophic species that contain the *nifH* gene. *nifH* sequences obtained from marine snow aggregates (samples collected from the Santa Barbara Channel and generously provided by E. DeLong) are most closely related to those of  $\alpha$  proteobacteria [89]. Sequences obtained from picoplankton samples and a bacterial culture isolated from *Trichodesmium* aggregates are related to  $\gamma$  proteobacteria and could be vibrio-related organisms [89] (Zehr unpublished data). *nifH* sequences derived from cyanobacteria other than *Trichodesmium* have yet to be found in the open ocean but studies are still in progress.

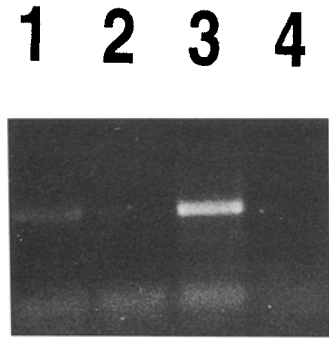
The presence of bacterial *nifH* genes in the plankton raises the issue of whether these genes are expressed, and what limits the growth and distribution of these species. It seems likely that heterotrophic N<sub>2</sub> fixation in the water column would be limited by the availability of organic carbon for the energy-intensive N<sub>2</sub>-fixation process. The question will be resolved only by experimental manipulations designed to determine the factors limiting the growth of these organisms, and by using an assay for the actual expression of specific *nifH* genes. Procedures are available for assaying mRNA with the polymerase chain reaction (e.g., Pichard and Paul [66]), and preliminary results indicate that this technique can be adapted for detecting and characterizing the mRNA transcribed from *nifH* DNA (Fig. 6).

### Case Study 2: N<sub>2</sub> Fixation in Marine Sediments and Mat Communities

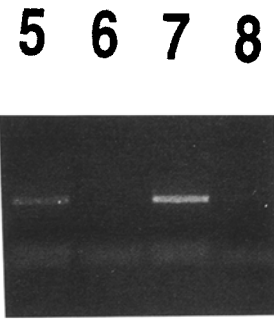
N<sub>2</sub> fixation, as determined by acetylene reduction, has been reported in and upon a relatively broad range of marine sediments. Cyanobacterial mats on salt marsh and coral sediments are recognized as important sites of N<sub>2</sub> fixation [16, 18]. Sediments colonized by rooted macrophytes, such as seagrasses, as well as unvegetated systems have also been reported as sites of nitrogenase activity (NA) [16–18, 42].

*Sediments.* Despite relatively high concentrations of ammonium often observed in vegetated sediments (e.g., Short [78]), the high demand for N by the plant, the observation and isolation of root-associated diazotrophs (e.g., Capone and Budin [19], Capone [17]), and the agreement obtained between <sup>15</sup>N<sub>2</sub> fixation and C<sub>2</sub>H<sub>2</sub> reduction in several studies [19, 58, 65] has generally been interpreted as confirmation of the presence of N<sub>2</sub> fixers in these systems. The precise spatial and metabolic relationships between N<sub>2</sub>-fixing bacteria in the rhizosphere and in the plant roots have been debated and remain to be elucidated.

In unvegetated sediments, there are some conflicting results and controversy over the presence of active N<sub>2</sub>-fixing microorganisms [18, 42]. Marine sediments,



a)



b)

**Fig. 6.** Amplification of *nifH* mRNA from *Trichodesmium* sp. Samples were amplified with *nifH* degenerate primers after treating with reverse transcriptase (lanes 1, 3, 5, and 7), or prior to treating with reverse transcriptase (lanes 2, 4, 6, and 8). Lanes 1, 2, 3, and 4: *Trichodesmium* sp., culture at beginning of light cycle. Culture incubated under low (lanes 1 and 2) or high (lanes 3 and 4) light. Lanes 5, 6, 7, and 8: Natural populations of *Trichodesmium* from the Caribbean Sea. Levels of *nifH* mRNA amplified by RT-PCR at 0830 and 1230 hours. Samples show consistent amplification of *nifH* mRNA, with no DNA contamination.

particularly fine-grained organic-rich sediments, are often anoxic within a few millimeters of the sediment-water interface [70] and, therefore, are appropriate habitats for strictly anaerobic diazotrophs. As a result, these environments often have millimolar levels of  $\text{NH}_4^+$ , a repressor of nitrogenase synthesis that should severely limit or prevent NA. Moreover,  $\text{NH}_4^+$  is a preferred N source because energy and reductant need not be expended for its assimilation. Hypothetically, long-term existence of microbial populations in high  $\text{NH}_4^+$  environments should cause selection against  $\text{N}_2$ -fixers.

$\text{C}_2\text{H}_2$  reduction has been reported in sediments ranging from deep sea sediments to shallow, organic-rich, nearshore sediments [38]. The  $\text{C}_2\text{H}_2$  reduction procedure is a highly sensitive assay. Measurable activity in these sediments may be minor compared to other N transformations. Indeed, area-extrapolated estimates of  $\text{N}_2$ -fixation for mid-latitude estuarine sediments based on  $\text{C}_2\text{H}_2$  reduction are about  $0.4 \text{ g N m}^{-2} \text{ y}^{-1}$  [16] compared to  $\text{NH}_4^+$  fluxes across the sediment-water interface typically in excess of  $10 \text{ g N m}^{-2} \text{ y}^{-1}$  [47]. In contrast, several recent studies [45, 59] (D. Capone, unpublished data) indicate that sediment NA in tropical carbonate sediments (which are typically organic poor) may account for a relatively large fraction of sediment  $\text{NH}_4^+$  production and efflux.

Only one study we are aware of has attempted to confirm and calibrate  $\text{C}_2\text{H}_2$  reduction rates in nonvegetated sediments [77]. However, the investigators were

unable to observe appreciable <sup>15</sup>N enrichment in sediments after exposure to <sup>15</sup>N<sub>2</sub>, despite measurable rates of C<sub>2</sub>H<sub>2</sub> reduction. The derived ratios they reported ranged from 10 to 100, compared to the theoretical 3 (or 4) for the amount of C<sub>2</sub>H<sub>2</sub> reduction to the amount of N<sub>2</sub> fixed. They suggested that observed C<sub>2</sub>H<sub>2</sub> reduction in this environment may not be assaying NA, in a quantitative sense. Possible reasons for this are discussed by Howarth et al. [42] and Capone [18], but the existence of NA in organic-rich sediments is still questioned. On the other hand, O'Donohue et al. [58] compared <sup>15</sup>N<sub>2</sub> uptake and C<sub>2</sub>H<sub>2</sub> reduction in tropical seagrass sediments and obtained good correspondence to theoretical values.

Several independent pieces of evidence support the contention that C<sub>2</sub>H<sub>2</sub> reduction in sediments, independent of its quantitative significance, is a direct result of NA. The supposition that NA in sediments is predominantly associated with heterotrophic anaerobes is corroborated by the observation in a wide range of studies that C<sub>2</sub>H<sub>2</sub> reduction can be stimulated by the reduction in O<sub>2</sub> tension or the addition of organic substrates [18]. A number of studies have examined the effect of NH<sub>4</sub><sup>+</sup> additions on sediment C<sub>2</sub>H<sub>2</sub> reduction, with several reporting a suppression of activity with these additions [18]. In their studies using a perfusion method, Capone and Carpenter [21] found that perfusion of C<sub>2</sub>H<sub>2</sub>-saturated deoxygenated seawater without NH<sub>4</sub><sup>+</sup> through sediment cores resulted in a large stimulation of C<sub>2</sub>H<sub>4</sub> production, compared to parallel samples perfused with NH<sub>4</sub><sup>+</sup> at levels typical of pore waters (0.5 mM). Capone [18] and Yoch and Whiting [86] reported that the addition of methionine sulfoximine (MSX), a derepressor of NA [36] that interferes with the control of dinitrogenase synthesis by NH<sub>4</sub><sup>+</sup> through a complex chain of effectors, stimulated acetylene reduction in sediments. The stimulatory effects of NH<sub>4</sub><sup>+</sup> removal and of MSX additions indicate that rates of NA in sediments are far below the potential for these systems. Interestingly, it has been suggested (M. Scranton, personal communication) that the "residual" NA observed in NH<sub>4</sub><sup>+</sup>-rich marine sediments could be a result of natural analogs of MSX that act as derepressors of the *nif* operon.

We have recently examined a variety of marine sediments (Burns, Capone, and Zehr, unpublished data) and mat [93] communities for the presence of *nifH*. In organic-rich Chesapeake Bay sediments, *nifH* is consistently amplified in sediments that exhibit NA. We are currently examining the downcore and horizontal patterns of distribution of amplified *nifH* sequences to determine the relationship between NA and the spatial distribution of N<sub>2</sub>-fixing species.

*Seagrasses and Cyanobacterial Mats.* Seagrass beds provide a potential site for N<sub>2</sub> fixation, as the photosynthetic carbon input by the plant can provide sufficient energy for associated microbes. Preliminary studies did indeed demonstrate that heterotrophic nitrogen-fixing bacteria are associated with seagrasses [46]. Bacterial isolates from *Ruppia* beds were shown to contain a *nifH* gene related to the  $\gamma$  proteobacteria, perhaps a vibrio [93]. Amplification from DNA extracted from *Halodule* roots produced *nifH* sequences [46] that we now know are in the anaerobic cluster containing *Clostridium*, *Desulfovibrio*, and *Chromatium* [93].

Cyanobacterial mats in nearshore environments are another place where N<sub>2</sub> fixation is often observed. N<sub>2</sub> fixation is often attributed to the cyanobacteria, but recent studies have demonstrated that, at least at certain times of the year, the mats can be dominated by a diverse assemblage of heterotrophic bacteria that contain

the *nifH* gene. The expression of these *nifH* genes has yet to be evaluated, but when the mat was dominated by a *Lyngbya* sp., rather than *Microcoleus*, the *nifH* sequences that were obtained were dominated by one that was most closely related to the *Lyngbya lagerheimii* sequence [93]. Again, the *nifH* sequences that were obtained fell largely into two clusters, the  $\gamma$  proteobacteria and the anaerobes [93]. Interestingly, a similar study of rice soils produced similar types of sequences [80]. Clearly, there may be a large number of  $N_2$ -fixing species in both terrestrial and aquatic environments, of which we know little. Now that we have these *nifH* sequences, we have markers to determine the distribution of these organisms, as well as identify related cultivated representatives of these unidentified organisms.

### *Case Study 3: Potential Use of nif Gene Probes as Paleo-Indicators of Nitrogen Limitation*

While paleo-ecological and -climatological studies have been pursued for many years, the issue of global warming has intensified efforts to develop the ability to predict future trends. Hence, there is an intense and broad effort worldwide to examine the geochemical and biological record of the past, contained, for instance, in aquatic sediments [8] and ice cores [10]. With respect to the world's oceans, while the details may be debated, it is generally accepted that major changes in sea level and large-scale circulation are driven by climatological cycles (e.g., glacial-interglacial cycles) [13]. As a corollary, dramatic changes in the planktonic populations of the sea over these time scales are also accepted facts, and the paleontological record of species shifts is used as direct evidence to support the inferred climatological trends (e.g., [14]).

Implicit in large-scale temperature excursions, planktonic population shifts, and modification of ocean basin geomorphometry and circulation are dramatic changes in oceanic productivity (e.g., [9, 14]) and nutrient cycling [52]. Nutrient delivery to the oceans from land is presumed to change dramatically during periods of shelf exposure (glacial), compared to interglacial periods, and scenarios for the salient differences in nutrient cycling between such periods have been put forth. For instance, McElroy [52] suggests that during cooler, glacial periods, N delivery to the seas is enhanced, with greater productivity during the beginning of these periods. The perceived, present-day imbalance of the oceanic N cycle has led some to propose that a balance is achieved only on time scales of  $10^6$  to  $10^7$  years [26]. Specifically, the major complementary processes of denitrification and  $N_2$  fixation respond on different time scales, and the current deficit may be the result of the more rapid response of denitrification to recent increases in organic flux, or to warmer temperatures. Hence, the severity of N limitation should vary over these time scales.

Geochemical and paleontological indicators presently used have provided important insight into the details of sea temperature change (e.g., [49]) and taxonomic composition [56, 76] with respect to oceanic productivity. However, the relative importance of specific nutrient cycling processes can only be inferred. Recently, Altabet and Francois [1] have observed in two oceanic transects that the  $\delta^{15}N$  of PON in deep surficial sediments varies inversely along gradients of  $NO_3^-$  availability in overlying waters. That is, higher  $\delta^{15}N$  values underlie zones of  $NO_3^-$

depletion. Francois and Altabet [32] have also examined downcore trends of  $\delta^{15}\text{N}$  of PON and have proposed that they may be indicative of the historical variation in  $\text{NO}_3^-$  availability. More recently, Altabet et al. [2] have inferred trends in water-column denitrification over 10,000-year time scales in the Arabian Sea based on variations in the  $\delta^{15}\text{N}$  in cores. Similarly, inferences into the modes of C metabolism ( $\text{C}_3$  vs.  $\text{C}_4$ ) have been made with reference to the sedimentary record of  $\delta^{13}\text{C}$  in organic matter [43].

The tools of molecular biology have recently entered into the repertoire of paleontology. A current area of research interest is the use of DNA amplification and phylogenetic analysis to determine the identity of ancient organisms and their relatedness to their present-day descendants [15, 48, 61, 84].

The facts that DNA preserves well in sediments and that with modern DNA amplification techniques DNA sequence information can be obtained even from badly degraded DNA make it feasible to use genes in marine sediments as markers of past environmental conditions. Thus we suggest that isolation and characterization of DNA from sediment cores can potentially be used as a new and direct paleo-indicator of relevant processes and functional groups. Depending on downcore trends, if identified, this approach could provide a new dimension to paleo-reconstructions.

As a gene that, on the one hand, has been highly conserved, but within which sufficient divergence has occurred to allow for differentiation of the diverse types of microbes, *nif* is an appropriate candidate for such analyses. The current availability of probes for several of the *nif* genes make this system all the more attractive.

The ability to amplify DNA from surficial marine and freshwater sediments and to quickly screen amplified sequences for cyanobacterial sequences [6] support the proposition that similar *nif* gene sequences may be amplified from sediment profiles. These can be differentiated from the sequences of contemporary, active, heterotrophic bacteria that may be found deep in cores (e.g., [64]) and so are suitable for use as a paleoindicator.

Identification of discrete layers of cyanobacterial *nif* genes sequences downcore would provide evidence of past environmental conditions in the overlying water that selected for N<sub>2</sub>-fixing species. With reference to other indicator organisms preserved in discrete sediment layers (whether assessed by conventional microscopy or also by molecular methods), the relative dominance of cyanobacterial N<sub>2</sub> fixers may be surmised. When correlated with appropriate geochemical markers of sea surface temperature (alkenones, e.g., [49, 73]), population structure (carbonates, silicates, indicator species, e.g., [56, 76]) and erosion/deposition, inferences about the predominance of N limitation may also be ventured. By dating with geochronological markers, a historical record of nutrient (nitrogen) limitation in that system may potentially be reconstructed. Ideally, procedures that would allow for quantitative assessment of gene presence within sediment horizons would be of greatest utility.

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