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# Genetic Variation of Recent Alu Insertions in Human Populations

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The Alu family of interspersed repeats is Abstract. comprised of over 500,000 members which may be divided into discrete subfamilies based upon mutations held in common between members. Distinct subfamilies of Alu sequences have amplified within the human genome in recent evolutionary history. Several individual Alu family members have amplified so recently in human evolution that they are variable as to presence and absence at specific loci within different human populations. Here, we report on the distribution of six polymorphic Alu insertions in a survey of 563 individuals from 14 human population groups across several continents. Our results indicate that these polymorphic Alu insertions probably have an African origin and that there is a much smaller amount of genetic variation between Eu-

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ropean populations than that found between other population groups.

Key words: Human evolution — African origin — Identical by descent — Polymorphism

### Introduction

Short interspersed elements (SINEs) may be found in the genomes of a wide variety of mammals (Deininger and Batzer 1993). The Alu family of SINEs is one of the most successful mobile genetic elements, having arisen to a copy number in excess of 500,000 within the human genome in approximately 65 million years of primate evolution. (For reviews see Deininger 1989; Okada 1991; Schmid and Maraia 1992; Deininger and Batzer 1993.) Alu sequences are thought to be ancestrally derived from the 7SL RNA gene (Ullu et al. 1982) and mobilize through an RNA polymerase III–derived transcript in a process termed "retroposition" (Rogers 1983).

Alu sequences within the human genome can be di-

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Fig. 1. Geographical map of sampled human population groups. A map of the world with the locations of all the populations sampled in this study denoted by *circles with numbers*. The populations were (1) Alaska Natives; (2) Greenland Natives; (3) European-Americans; (4)

Hispanics; (5) African-Americans; (6) French Acadians; (7) British Afro-Caribbeans; (8) French Bretons; (9) Swiss; (10) French; (11) Greek-Cypriots; (12) Turkish-Cypriots; (13) Nigerians; (14) Central African Republic and Zaire Pygmies.

vided into groups of related elements based upon commonly shared diagnostic mutations. Several groups have independently identified a series of overlapping subfamilies of Alu repeats which appear to be different genetic ages (Slagel et al. 1987; Willard et al. 1987; Britten et al. 1988; Jurka and Smith 1988; Quentin 1988; Deininger and Slagel 1988; Shen et al. 1991; Jurka and Miloslavejevic 1991). These observations have led to the suggestion that the vast majority of Alu amplifications were derived from a small subset of active Alu "master" genes (Deininger et al. 1992). However, it is clear that a limited number of Alu subfamilies are currently undergoing amplification from multiple "master" genes within the human genome (Matera et al. 1990b; Leeflang et al. 1992; Jurka 1993; Hutchinson et al. 1993; Hammer 1994; Batzer et al. 1995). Here, we will use the nomenclature of Shen et al. (1991) and Jurka (1993) to refer to various Alu subfamilies.

One of the most recently formed groups of Alu elements within the human genome has been termed human-specific (HS) (Batzer et al. 1990; Batzer and Deininger 1991) or predicted variant (PV) (Matera et al. 1990a,b) and was derived from the CS subfamily (Shen et al. 1991) of Alu repeats. There are an estimated 500– 2,000 HS Alu elements which are mostly (Batzer and Deininger 1991), but not exclusively (Leeflang et al. 1992, 1993), restricted to the human genome. In parallel, a second subfamily which is an independent derivative of the CS lineage of Alu sequences, termed Sb2 (Jurka 1993; Hutchinson et al. 1993), has also expanded in the human genome (Batzer et al. 1995; Zietkiewicz et al. 1994).

Some HS and Sb2 Alu elements have retroposed so recently that they have not fixed within the human genome (Batzer and Deininger 1991; Batzer et al. 1991; Hammer 1994; Kass et al. 1994; Batzer et al. 1995). The distribution of these elements varies in geographically distinct human population groups (Batzer et al. 1991; Batzer and Deininger 1991; Perna et al. 1992; Hammer 1994; Kass et al. 1994; Batzer et al. 1994). The recent Alu insertions provide a novel set of highly informative nuclear DNA markers for the study of human population genetics since they represent relatively stable polymorphisms that are identical by descent, and the ancestral state of the polymorphism is known (Batzer et al. 1994). Here, we report on the distribution of six polymorphic Alu insertions in a survey of 563 individuals from 14 population groups across several continents. Our results indicate that these polymorphic Alu insertions probably have an African origin and that there is a much smaller amount of genetic variation between European populations than that found between other population groups.

# Methods

DNA Samples. DNA samples from individuals were isolated from peripheral blood lymphocytes as described (Ausabel et al. 1987). The geographic origin of each population group from this study is shown in Fig. 1. The European-American group consisted of United States individuals with predominantly northern European ancestry. The African-American, Hispanic (largely of Mexican descent), and European-Americans were all collected in Michigan. The Cypriots and Alaska Natives were previously described (Batzer et al. 1994). Swiss samples were obtained in Switzerland. French Acadian samples were collected from individuals located in the Acadian triangle region near Lafayette, Louisiana. The French and Breton samples were previously described (Monson et al., in press). Greenland Natives were collected from Greenland. DNA samples from two groups of African Pygmies (Zaire and the Central African Republic [CAR]) were kindly provided by L. Cavalli-Sforza, while Nigerian DNA samples were kindly provided by J. Wainscoat. Afro-Caribbean samples were collected from the British Isles and were comprised of individuals with African heritage.

*PCR Amplification.* Amplification of DNA samples was carried out in 50-μl reactions using 50 ng of target DNA, 375 ng of each oligonucleotide, 200 μM dNTPs in 50 mM KCl, 1.5 mM MgCl<sub>2</sub>, 10 mM

<b>Table I.</b> Oligonucleotide primers, a	annealing temperatures.	and location of	polymorphic Alu repeats
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Repeat	Sub- family	Primer sequences	Annealing	Chromo-	
		5' primer	3' primer	(°C)	location
TPA 25	HS-2	5'-GTAAGAGTTCCGTAACAGGACAGCT-3'	5'-CCCCACCCTAGGAGAACTTCTCTTT-3'	58	8
PV 92	HS-1	5'-AACTGGGAAAATTTGAAGAGAAAGT-3'	5'-TGAGTTCTCAACTCCTGTGTGTTAG-3'	54	16
FXIIIB	HS-1	5'-TCAACTCCATGAGATTTTCAGAAGT-3'	5'-CTGGAAAAAATGTATTCAGGTGAGT-3'	56	1
D1	Sb2	5'-TGCTGATGCCCAGGGTTAGTAAA-3'	5'-TTTCTGCTATGCTCTTCCCTCTC-3'	70	3
APO	HS-1	5'-AAGTGCTGTAGGCCATTTAGATTAG-3'	5'-AGTCTTCGATGACAGCGTATACAGA-3'	50	11
ACE	HS-1	5'-CTGGAGACCACTCCCATCCTTTCT-3'	5'-GATGTGGCCATCACATTCGTCAGAT-3'	58	17

<sup>a</sup>Subfamily nomenclature as described in Batzer et al. (1990) and Shen et al. (1991) for HS-1 and HS-2 and in Jurka (1993) and Hutchinson et al. (1993) for Sb2. Oligonucleotide primers as reported in references below except as noted TPA 25 (Batzer and Deininger 1991), FXIIIB (Kass et al. 1994), APO (Batzer et al. 1994). Chromosomal locations were previously reported for TPA 25 (Yang-Feng et al. 1986), PV 92 (Batzer et al. 1994), FXIIIB (Webb et al. 1989), D1 (Batzer et al. 1995), APO (Karathanasis 1985) and ACE (Tiret et al. 1992).

Tris-HCl pH 8.4, and AmpliTaq DNA polymerase (2.5 U) according to the supplier's (Roche Molecular Diagnostics) instructions. Each sample was subjected to the following amplification conditions: 1 min at 94°C (denaturation), 2 min at the appropriate annealing temperature, and 2 min at 72°C (extension) for 32 cycles. The annealing temperature and oligonucleotide primer sequences for each Alu insertion are shown in Table 1. Twenty microliters of each PCR reaction was analyzed by electrophoresis through a 2% agarose gel containing 0.5  $\mu$ g/ml ethidium bromide, and the reaction products were directly visualized using UV fluorescence.

*Data Analysis.* Unbiased estimates of average heterozygosity, the associated standard error due to sampling, and  $G_{st}$  values (a measure of the relative magnitude of genetic differentiation among populations) were calculated according to equations in Nei (1987). The CONTML program in PHYLIP 3.4 was used to estimate a maximum-likelihood tree (Felsenstein 1981) directly from the allele frequencies. The maximum-likelihood tree was rooted by setting the frequency of each insertion to zero as previously described (Batzer et al. 1994). In addition, a series of genetic distance matrices were constructed using the GENDIST program in PHYLIP 3.4 according to the methods of Reynolds et al. (1983), Nei (1972), and Cavalli-Sforza and Edwards (1967), and each distance matrix was used to construct a neighbor-joining tree (rooted as outlined above) using the program NEIGHBOR.

To assess the relative amount of gene flow experienced by each population, the heterozygosity of each population was plotted against the distance of the population from the centroid, as described by Harpending and Ward (1982), where heterozygosity is the usual expected heterozygosity under Hardy-Weinberg, and the distance from the centroid r, for a population i is:

$$r_i = (p_i - P)^2 / (P)(1 - P)$$

where  $p_i$  and P are the frequency of the Alu insertion in population *i* and in the total population, respectively. According to Harpending and Ward (1982), under an island model of population structure, the theoretical expectation is that there should exist a linear relationship between heterozygosity and distance from the centroid:

$$h_i = H(1 - r_i)$$

where  $h_i$  and H are the heterozygosities of population i and the total population, respectively. Of particular interest in this analysis are the outliers: populations that have experienced more gene flow than average will fall above the theoretical prediction, while populations that have experienced less gene flow than average will fall below the theoretical prediction.

#### Results

#### Genetic Variation Within Populations

The distribution of six individual polymorphic Alu insertions was determined in a total of 563 unrelated individuals that comprised 14 population groups and is summarized in Table 2. Each Alu insertion was polymorphic in all of the populations except for the D1 repeat, which was not found within a small sample of Nigerians (n =11). A total of 84 tests for Hardy-Weinberg equilibrium were performed, and only two significant departures from Hardy-Weinberg equilibrium were found (French Acadians for D1 and French for factor 13B [FXIIIB]) in cases where sufficient numbers of each genotype were present. This number of departures is not surprising since approximately 4 of the 84 tests should be significant at the 5% level based upon chance alone. However, the French Acadians also exhibited a nearly significant departure from Hardy-Weinberg equilibrium at the TPA 25 locus as well ( $\chi^2 = 3.72$ , df = 1, 0.05 < p < 0.1). For both D1 and TPA 25 there were fewer heterozygotes observed than expected, which might indicate inbreeding within this group.

The heterozygosity for each population, averaged across the six Alu insertions, was fairly substantial, ranging from a high of 0.436 in African-Americans to a low of 0.296 in Nigerians. The heterozygosity values of each marker, averaged across all of the populations, were also quite high, with all of the Alu insertions except for APO having heterozygosity values in excess of 0.4. This is impressive given that each Alu insertion is a bi-allelic polymorphism with a maximum heterozygosity of 0.5.

#### Genetic Differentiation Among Populations

To examine the amount of genetic differentiation among populations,  $G_{st}$  values (a measure of the interpopulation variability) for each Alu insertion were determined. The  $G_{st}$  values ranged from a high of 0.236 for the factor 13B Alu insertion to a low of 0.039 for the ACE Alu repeat;

#### Table 2. Distribution of polymorphic Alu insertions

	n	TPA 25			PV 92			APO		
Population		Frequency of Alu	Het	SE	Frequency of Alu	Het	SE	Frequency of Alu	Het	SE
European-Americans	45	0.556	0.499	0.014	0.178	0.296	0.052	0.944	0.106	0.043
African-Americans	43	0.302	0.427	0.040	0.209	0.335	0.051	0.570	0.496	0.017
Hispanics	44	0.625	0.474	0.027	0.523	0.505	0.009	0.920	0.148	0.049
Afro-Caribbeans	42	0.286	0.413	0.043	0.143	0.248	0.055	0.500	0.506	0.008
Swiss	43	0.453	0.502	0.013	0.198	0.321	0.052	0.942	0.111	0.045
Bretons	45	0.556	0.499	0.014	0.267	0.396	0.044	0.900	0.182	0.05
French Acadians	45	0.433	0.497	0.016	0.178	0.296	0.052	0.922	0.145	0.048
Greek Cypriots	50	0.530	0.503	0.009	0.250	0.379	0.044	0.950	0.096	0.039
Turkish Cypriots	33	0.576	0.496	0.021	0.333	0.451	0.040	0.985	0.030	0.029
Nigerians	11	0.409	0.506	0.050	0.091	0.173	0.101	0.500	0.524	0.033
Pygmies	34	0.221	0.349	0.057	0.309	0.433	0.044	0.794	0.332	0.058
French	44	0.557	0.499	0.014	0.227	0.355	0.049	0.989	0.023	0.022
Alaska Natives	42	0.298	0.423	0.041	0.619	0.477	0.026	0.917	0.155	0.050
Greenland Natives	42	0.333	0.450	0.035	0.607	0.483	0.024	0.940	0.113	0.046
Average heterozygosity (Het)			0.494			0.423			0.239	
Standard error (SE)			0.003			0.011			0.015	
G <sub>st</sub>			0.055			0.132		<u></u>	0.113	

all of the  $G_{st}$  values differed significantly from zero, as judged by contingency chi-square analysis of the allele frequencies. Hence, there are significant differences among human populations with respect to the frequencies of all of these Alu insertion polymorphisms.

Contingency chi-square analysis for heterogeneity in allele frequencies was also performed separately for the European, Amerindian (Alaska and Greenland Natives), and African (Nigerian and Pygmy) populations; the populations known to be admixed (Hispanic, African-American, and British Afro-Caribbean) were excluded from this analysis. The two African populations differed significantly in allele frequencies at three loci and the two Amerindian populations differed significantly at one locus, while the seven European populations did not differ significantly at any of the six Alu insertion loci. This analysis indicates that there is little genetic differentiation among European populations, including recently derived European populations such as European-Americans and French Acadians.

To investigate the evolutionary relationships of these populations, a maximum-likelihood tree was constructed directly from the allele frequency data in Table 2 and is depicted in Fig. 2. The topology of the maximumlikelihood tree consisted of five major branches: Nigerians (upper left); Pygmies (lower left); African-Americans and British Afro-Caribbeans (middle); European populations (upper right); and Hispanics and Amerinds (lower right). In addition, three types of genetic distance measures were calculated from the allele frequencies in Table 2, followed by the construction of a neighbor-joining tree from each distance measure. All of the major branches present in the maximum-likelihood tree in Fig. 2 were also present in the neighbor-joining trees; the only differences among the trees were minor changes in the branching order of the European populations (data not shown).

Previously the TPA 25 (Batzer and Deininger 1991) APO, ACE and PV92 (Batzer and Stoneking et al. 1994), D1 (Batzer et al. 1995), and factor 13B (Kass et al. 1994) Alu repeats have been shown to be absent from the genomes of nonhuman primates and located on different human chromosomes as outlined in Table 1. Since the direction of mutation for Alu insertions is the insertion rather than the deletion of each Alu element, the root of the tree was derived by the inclusion of a hypothetical ancestor which did not contain any of the polymorphic Alu insertions (i.e., the allele frequencies for each locus were set to zero). The hypothetical ancestral population connected to the maximum-likelihood network in the African branch (Fig. 2), as was found previously for a larger sample of populations examined for four of these loci (Batzer et al. 1994).

#### Gene Flow Within Populations

To determine the relative amount of gene flow experienced by each population, we compared the heterozygosity of each group to the genetic distance from the centroid, as described previously (Harpending and Ward 1982). A plot of heterozygosity vs distance from the centroid for the worldwide sample of 14 populations shows that the British Afro-Caribbeans, African-Americans, Hispanics, Greenland Natives, Alaska Natives, and Nigerians are above the predicted values (Fig. 3A), while all of the European populations (cluster of 7 data points) as well as the Pygmies fall below the line (Fig. 3A). Since the sampling of African and Amerind populations in this study is much less extensive than the sampling of European populations, the African and Am-

#### Table 2. Extended

	ACE			FXIIIB			D1			
Population	Frequency of Alu	Het	SE	Frequency of Alu	Het	SE	Frequency of Alu	Het	SE	Average Het ± SE
European-Americans	0.511	0.505	0.008	0.467	0.503	0.011	0.444	0.499	0.014	$0.402 \pm 0.068$
African-Americans	0.488	0.506	0.009	0.221	0.348	0.050	0.488	0.506	0.009	$0.436 \pm 0.032$
Hispanics	0.545	0.502	0.013	0.705	0.421	0.040	0.364	0.468	0.029	$0.420 \pm 0.056$
Afro-Caribbeans	0.524	0.505	0.010	0.310	0.433	0.039	0.405	0.488	0.022	$0.432 \pm 0.040$
Swiss	0.372	0.473	0.028	0.477	0.505	0.010	0.337	0.452	0.034	$0.394 \pm 0.063$
Bretons	0.478	0.505	0.009	0.400	0.485	0.022	0.389	0.481	0.024	$0.425 \pm 0.051$
French Acadians	0.511	0.505	0.008	0.478	0.505	0.009	0.422	0.493	0.018	$0.407 \pm 0.062$
Greek Cypriots	0.390	0.481	0.023	0.616	0.479	0.026	0.267	0.396	0.045	$0.389 \pm 0.062$
Turkish Cypriots	0.333	0.451	0.040	0.394	0.485	0.028	0.348	0.461	0.037	$0.396 \pm 0.073$
Nigerians	0.273	0.416	0.090	0.083	0.159	0.094	0.000	0.000	0.000	$0.296 \pm 0.088$
Pygmies	0.221	0.349	0.057	0.015	0.029	0.028	0.338	0.454	0.038	$0.324 \pm 0.062$
French	0.477	0.505	0.009	0.420	0.493	0.019	0.455	0.502	0.013	$0.396 \pm 0.078$
Alaska Natives	0.583	0.492	0.020	0.917	0.155	0.050	0.415	0.491	0.020	$0.366 \pm 0.067$
Greenland Natives	0.548	0.501	0.013	0.786	0.341	0.051	0.452	0.501	0.013	$0.398 \pm 0.062$
Average heterozygosity (Het)		0.498			0.499			0.475		
Standard error (SE)		0.002			0.002			0.007		
G <sub>st</sub>		0.039			0.236			0.069		



**Fig. 2.** Maximum-likelihood tree of population relationships. This tree was derived directly from the allele frequencies of six polymorphic Alu repeats (TPA 25, PV 92, APO, ACE, FXIIIB, and D1) in a total of 563 unrelated individuals (Table 2) using PHYLIP 3.4; the log-likelihood of this tree was 135.76. The genetic distance between populations is proportional to the branch lengths on the tree. Addition of a hypothetical ancestor which does not contain any of the Alu repeats results in a branch which connects with the tree at the position denoted by the *arrow* in the African branch.

erind populations were removed and the analysis was repeated (Fig. 3B). The Hispanics, African-Americans, and British Afro-Caribbeans all fall well above the theoretical line, in accordance with the expectation that these admixed populations have received gene flow from other sources, while the European populations have not. When only the European populations are analyzed (Fig. 3C), the fit between the observed and predicted values is quite close, suggesting that the model applies to European populations.

# Discussion

Polymorphic Alu insertions represent a unique source of nuclear genetic variability for the study of human population genetics. Each polymorphic Alu insertion arose within the human population as a unique event in human evolutionary history, making the Alu repeats identical by descent from a common ancestor, as opposed to other polymorphisms which are merely identical by state (Batzer and Deininger 1991; Batzer et al. 1991, 1993, 1994; Deininger and Batzer 1995). Alu elements appear to be stable integrations into the genome which rarely delete from a location (Sawada et al. 1985; Sawada and Schmid 1986; Bailey and Shen 1993); even when a rare deletion occurs, a signature of the original insertion event is left behind (Edwards and Gibbs 1992), as an exact excision would be an extremely low-probability event. In addition, Alu elements are subject to very limited amounts of gene conversion (Kass et al. 1995; Batzer et al. 1995). Furthermore, the direction of mutation that results in an Alu polymorphism is known to be forward (i.e., the insertion of the element), facilitating an accurate estimation of the root in trees of population relationships (Batzer et al. 1994).

Each of the polymorphic Alu insertions analyzed here displayed a significant amount of interpopulation differentiation with  $G_{st}$  values that ranged from 0.039 for the ACE Alu insertion to 0.236 for the factor 13B Alu repeat. Although the populations studied were different and the  $G_{st}$  values are not strictly comparable, Batzer et al. (1994) found a range 0.097–0.283 in  $G_{st}$  values for the TPA 25, APO, ACE, and PV92 Alu insertions. In contrast, the  $G_{st}$  values in this report were slightly lower, presumably due to the fact that a number of closely related populations of European descent were analyzed (as



discussed below). By way of comparison with other nuclear DNA markers, a survey of 42 bi-allelic polymorphisms by Bowcock et al. (1987) found 23  $F_{st}$  values (similar to  $G_{st}$  values) of 0.097 or greater, and only four  $F_{st}$  values exceeding 0.283. Therefore, the six polymorphic Alu insertions reported here show a considerable amount of interpopulation differentiation.

The relationships between populations are shown by the topology of the maximum likelihood tree. The structure of the tree consists of five main branches: African (two branches), African-American/British Afro-Caribbean, Europeans, and Hispanic/Amerind. This structure is consistent with what is known about the history of these populations, in that populations from the same continent tend to cluster, and admixed populations tend to be intermediate in position between the source populations: The Hispanic group is on a branch that leads from the Europeans out to the two Amerind populations at the termini of the branch, while the African-Americans and British Afro-Caribbeans reside on a branch that is between the cluster of European groups and the Africans. The admixed nature of Hispanic, African-American, and British Afro-Caribbean groups was also apparent from the plot of heterozygosity vs distance from the centroid (Fig. 3); these three groups consistently had higher heterozygosities than predicted, indicative of the greater level of gene flow experienced by these groups. The placement of the African-Americans is consistent with previous estimates that the African-American gene pool is comprised of 10-30% European alleles (Chakraborty et al. 1992). The present analysis places the African-Americans closer to Africa than a previous study involving only four Alu insertions (Batzer et al. 1994); presumably the increased number of loci in the present study is

leading to a more accurate placement of this admixed population on the tree.

The root of the maximum likelihood tree of population relationships resides within Africa near the Nigerians. The placement of the root involves a very minimal number of assumptions in comparison to the placement of roots along trees derived from other classical polymorphic markers. This suggests that these polymorphic Alu insertions arose within Africa and is consistent with a previous study of four recent Alu insertions (Batzer et al. 1994) as well as a study of a polymorphic Sb2 Alu repeat located on the Y chromosome (Hammer 1994). In addition, these data support the African-origin hypothesis for modern humans. The placement of the ancestral human population in Africa compares favorably with a number of previous studies involving nuclear DNA (Bowcock et al. 1991, 1994; Wainscoat et al. 1986; Batzer et al. 1994), mitochondrial DNA (Merriweather et al. 1991; Cann et al. 1987; Vigilant et al. 1991), and protein markers (Cavalli-Sforza et al. 1988; Nei and Roychoudhury 1993). Therefore, these data provide additional, compelling support for the African origin of modern humans.

The amount of variation within each Alu insertion was quite large between European and non-European population groups. However, the variation between the groups of European origin was much smaller, as indicated by the lack of significant heterogeneity in allele frequencies, the tight clustering of the European populations on one branch of the maximum likelihood tree, and the good fit between the observed and predicted relationship between the heterozygosity and distance of each population from the centroid. These data compare favorably with previous studies that suggest that Europeans are of relatively recent origin, and hence show relatively small genetic differences (Cann et al. 1987; DiRienzo and Wilson 1991; Cavalli-Sforza et al. 1993; Piazza 1993; Torroni et al. 1994).

In conclusion, this study supports the utility of polymorphic Alu insertions for the accurate dissection of the evolutionary history of individual population groups. The mobilization and insertion of new Alu elements is a constant process (Wallace et al. 1991; Vidaud et al. 1993). The insertion of new Alu repeats at different times during human evolutionary history provides different amounts of information about populations: Alu repeats may be unique to an individual (Wallace et al. 1991; Vidaud et al. 1993), family (Muratani et al. 1991), or species or genus of primates (Batzer et al. 1993; Deininger and Batzer 1995). Thus, Alu repeats of different evolutionary ages provide different perspectives on the evolutionary history of humans; as Alu repeats continue to expand within the human genome, so does the information they provide on human population relationships.

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