New Functions of *BRCA1/2* **in Regulating Carcinogenesis and Drug Sensitivity**

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Abstract Pathogenic variants in *BRCA1* and *BRCA2* (*BRCA1/2*) cause hereditary breast and ovarian cancer syndrome (HBOC). BRCA1/2 are involved in multiple cellular processes, including homologous recombination (HR), which maintain genome stability. The HR repair function of BRCA1/2 is thought to underpin their function as tumor suppressors. However, it is unclear how dysregulation of these proteins causes tissue-specifc carcinogenesis. Previously, we found that cancerderived variants and abnormal expression of BRCA1-associated proteins cause centrosome amplifcation in mammary tissue-derived cells, resulting in chromosome segregation errors.

HR-defcient cells are sensitive to poly (ADP-ribose) polymerase inhibitors and platinum agents. Recently, we developed an HR activity assay named Assay of Site-Specifc HR Activity (ASHRA), which evaluates HR activity quantitively. Analyzing the HR activity of BRCA1 variants using ASHRA revealed that the assay can predict whether an individual has a moderate risk of breast and ovarian cancer, and their sensitivity to PARP inhibitors. Furthermore, we identifed a novel mechanism underlying resistance to the PARP inhibitor olaparib and the platinum agent cisplatin, which is dependent on high expression of activating transcription factor 1 (ATF1) and the transactivation activity of BRCA1 with ATF1.

In this chapter, we describe the effects of BRCA1/2 impairment, which is thought to contribute to carcinogenesis, as well as regulation of centrosome number by

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BRCA1, which may play a role in tissue-specifc carcinogenesis. Furthermore, we describe the mechanisms underlying resistance to PARP inhibitors and suggest a novel mechanism by which BRCA1/ATF1-mediated transcription leads to resistance to olaparib and cisplatin.

Keywords Hereditary breast and ovarian cancer (HBOC) · *BRCA1*/*2* · Carcinogenesis · Drug sensitivity · PARP inhibitors

1 Introduction

Hereditary breast and ovarian cancer syndrome (HBOC) is a *BRCA1* and *BRCA2 (BRCA1/2)-*linked genetic disorder associated with a high risk of breast, ovarian, and other cancers [\[1](#page-12-0)[–3](#page-12-1)]. A diagnosis of HBOC is made by genetic testing for *BRCA1*/*2.* Clinical management approaches include screening for early cancer detection, prophylactic surgery for healthy carriers, and chemotherapy for patients with cancer. Therefore, accurate diagnoses of pathogenic variants are critical for clinical decision-making and improved prognosis. The pathogenicity of *BRCA1/2* variants is classifed as benign, likely benign, variants of uncertain signifcance (VUS), likely pathogenic, or pathogenic. Most pathogenic variants are premature truncation variants generated by nonsense or frameshift mutations, whereas VUS are missense, small in-frame deletion or insertion**,** and splicing variants. The effects of these variants on function have not yet been determined.

BRCA1/2 functions in multiple cellular processes to maintain genome stability [\[4](#page-12-2)[–6](#page-12-3)]. Homologous recombination (HR) repair is a critical error-free pathway for repairing DNA double-strand breaks; this pathway uses an intact sister chromatid as a template. BRCA1/2 function in HR repair is thought to underpin their role as tumor suppressors. Therefore, assays that evaluate HR activity have been used to estimate the pathogenicity of *BRCA1*/*2* variants. Of these, the direct-repeat GFP (DR-GFP) assay is the most common [\[7](#page-12-4)[–13](#page-13-0)].

On the other hand, it is unclear how dysregulation of BRCA1/2 causes tissuespecifc carcinogenesis. Centrosomes, the major microtubule nucleation centers in animal cells, mediate formation of a bipolar spindle during mitosis [[14\]](#page-13-1). Aberration of centrosome number and structure are common in various cancers [[15\]](#page-13-2) and are related to an invasive phenotype [\[16](#page-13-3)]. Centrosome amplifcation results in chromosome segregation errors, leading to chromosomal instability, which is in turn associated with carcinogenesis and cancer progression [[17\]](#page-13-4). Recently, we identifed BRCA1-interacting proteins that function to regulate centrosomes together with BRCA1. We found that abnormalities in these BRCA1-associated proteins in mam-mary tissue-derived cells cause centrosome amplification [[18–](#page-13-5)[20\]](#page-13-6).

In addition to its role in carcinogenesis, HR repair activity in cells is important for predicting sensitivity to some anti-cancer agents, poly (ADP-ribose) polymerase (PARP) inhibitors, and platinum agents. PARP functions in various DNA damage repair pathways as well as the repair process for DNA single-stranded breaks (SSBs) [\[21](#page-13-7)]. PARP inhibitors impair the repair of DNA single-stranded breaks (SSBs), which results in the creation of DNA double-stranded breaks (DSBs), and trap PARP protein at DNA SSBs [[22\]](#page-13-8). Furthermore, because PARP helps to restart stalled DNA replication forks, PARP inhibitors induce collapse of replication forks [\[23](#page-13-9), [24\]](#page-13-10). Since HR contributes to repair of DSBs, PARP trapping, and collapse of replication forks [\[22](#page-13-8)], PARP inhibitors cause synthetic lethality in cells with HR deficiency caused by alteration of HR factors, including BRCA1/2 [\[25](#page-13-11)[–28](#page-13-12)].

PARP inhibitors have been developed to treat various cancers, including breast, ovarian, pancreatic, and prostate cancers [[29\]](#page-13-13); however, a number of resistance mechanisms have been reported [\[30](#page-13-14)[–32](#page-13-15)]. Recently, we developed an assay to evaluate HR activity in cells and analyzed the HR activity of BRCA1 variants [[33](#page-13-16)]. We found that this assay evaluates HR activity quantitively [[34\]](#page-14-0). We also identifed a novel mechanism underlying resistance via transactivation activity of BRCA1 [[34\]](#page-14-0).

Here, we describe the functions of BRCA1/2, whose impairment is involved in carcinogenesis. We also discuss our recent fnding that BRCA1-interacting proteins regulate centrosomes. Furthermore, we explain the mechanisms underlying resistance to PARP inhibitors and describe a novel mechanism of resistance to PARP inhibitors and platinum agents that is dependent on the function of BRCA1 during transcription.

2 Structure of BRCA1/2

BRCA1 has a RING domain at its amino (N)-terminal region that binds directly to BARD1. There is a coiled-coil motif and two BRCT domains at the carboxy (C)-terminal region (Fig. [1a\)](#page-3-0). The coiled-coil motif binds to PALB2, and the BRCT domains bind to BRIP1, CtIP, and ABRAXAS1. *BARD1*, *PALB2*, *BRIP1*, and *ABRAXAS1* are also breast cancer susceptibility genes [[6,](#page-12-3) [35\]](#page-14-1).

BRCA2 has eight BRC motifs in its middle segment. BRCA2 binds to the RAD51 recombinase (which plays a critical role in HR activity) via BRC motifs and its C-terminal region (Fig. [1b](#page-3-0)). The N-terminal region contains a PALB2 binding domain. The C-terminal region contains a helical domain and three oligonucleotide/ oligosaccharide binding (OB) folds that bind to ssDNA. The N-terminal DNA binding domain (NTD) also has DNA binding activity.

Fig. 1 Structure of BRCA1 and BRCA2. (**a**) BRCA1 has a RING domain in the N-terminal region, and a coiled-coil domain and two BRCT domains in the C-terminal region. BRCA1 binds to BARD1 via the RING domain. The coiled-coil domain of *BRCA1* mediates complex formation with PALB2. The BRCT domains bind to BRIP1, CtIP, and ABRAXAS1. (**b**) BRCA2 has a PALB2 binding domain at the N-terminal region, eight BRC repeats in the middle, and a helical domain, three oligonucleotide/oligosaccharide binding (OB) folds, and a RAD51-binding domain, (C-terminal RAD51-binding; CTRB) at the C-terminal region. The middle portion, which includes eight BRC repeats, binds to RAD51. A helical domain and three OB folds in the C-terminal domain and the N-terminal DNA binding domain (NTD) are DNA binding domains

3 Functions of BRCA1/2

3.1 HR

There are two major pathways that repair DNA DSBs: HR and non-homologous end joining (NHEJ). The HR is a pathway for error-free repair of DSBs uses the sister chromatid as a recombination template during the S/G2 phase of the cell cycle. By contrast, NHEJ repairs DSBs throughout the cell cycle by direct joining; however, it is error-prone and frequently causes deletion or insertion mutations in DNA [[36\]](#page-14-2).

The choice of which pathway is used to repair DSB is determined by DNA end resection, which is the processing of DNA ends to generate 3′ single strands. BRCA1/BARD1 competes with 53BP1 and promote end resection to proceed to HR pathway. DNA endoresection is initiated by the nuclease MRN complex, which comprises endonuclease MRE11, RAD50, and NBS1, to create short ssDNA (Fig. [2a](#page-4-0)). CtIP promotes end resection by MRE11. BRCA1/BARD1 plays a role in DNA end resection by interacting with the MRN complex and CtIP. ssDNA is occupied by replication protein A (RPA), which is then replaced by RAD51. Replacement of RPA by RAD51 is mediated by BRCA1-PALB2-BRCA2, thereby forming a RAD51-ssDNA nucleoprotein flament. The RAD51-ssDNA nucleoprotein flament functions in the homology search by invading duplex DNA molecules and facilitating base-pairing with complementary DNA sequences. BRCA1/BARD1

Fig. 2 Functions of BRCA1 and BRCA2. (**a**) The HR repair pathway. BRCA1/BARD1 promotes end resection in competition with 53BP1. DNA end resection is initiated by the nuclease MRN complex with CtIP. BRCA1/BARD1 is implicated in DNA end resection via interaction with the MRN complex and CtIP. ssDNA is covered by RPA, which is then replaced by RAD51; this process is mediated BRCA1-PALB2-BRCA2. The RAD51 flament functions in the homology search by invading duplex DNA. (**b**) DNA replication fork protection. When DNA replication forks are stalled, nascent DNA strands pair with each other and the fork regresses (fork reversal). BRCA1/2 prevents MRE11-mediated degradation of the free DNA end stabilizing RAD51 flaments at the stalled forks. Alterations in *BRCA1/2* restore MRE11-mediated degradation of free DNA ends, thereby collapsing the DNA replication fork. (**c**) Structure of the centrosome at G2 phase and the spindle poles at the mitotic phase. The centrosome comprises a pair of centrioles, mother centriole and daughter centriole, surrounded by pericentriolar material (PCM). (**d**) Model of the BRCA1/ BARD1/OLA1/RACK1 complex. The N-terminal region of BRCA1 binds to the N-terminal region of BARD1. OLA1 binds to the N-terminal region of BRCA1, the C-terminal region of BARD1, and γ -tubulin. The middle portion of BRCA1 interacts with OLA1 via γ -tubulin. RACK1 binds to OLA1, the N-terminal region of BRCA1, and γ -tubulin. "N" indicates the N-terminal region. "C" indicates the C-terminal region. (**e**) Model of the conformational changes in the *BRCA1*/BARD1/OLA1/γ-tubulin complex induced by variants of BRCA1, BARD1, or OLA1. The I42V variant in BRCA1 and the E168Q variant in OLA1 impair binding of OLA1 to the N-terminal region of BRCA1. The C645R, V695L, and S761N variants in BARD1 and the S36A, S36C, F127A, and T325A variants in OLA1 impair binding of OLA1 to the C-terminal region of BARD1. These variants cause centrosome amplifcation. "N" indicates the N-terminal region. "C" indicates the C-terminal region

contributes to this homologous pairing. Therefore, BRCA1/BARD1 is involved in multiple steps of the HR repair pathway [[4,](#page-12-2) [37,](#page-14-3) [38\]](#page-14-4).

3.2 Stabilization of Replication Forks

When the DNA replication machinery encounters DNA lesions, or nucleotides become a limiting factor, DNA replication stalls. Newly synthesized DNA strands pair with each other, and the fork regresses (fork reversal), resulting a four-armed DNA structure that has free DNA end (Fig. [2b](#page-4-0)). BRCA1/2 prevents degradation of this free DNA end, which is mediated by the MRE11 nuclease, by stabilizing RAD51 flaments at the stalled forks. Therefore, BRCA1/2 prevent DNA damage. Alteration of BRCA1/2 results in the failure to protect replication forks, leading to collapse [\[37](#page-14-3), [38](#page-14-4)].

3.3 Prevention of R-Loop Accumulation

R-loops, which comprise an RNA-DNA hybrid with a displaced ssDNA, occur on sites at which strong DNA secondary structures are formed due to perturbation of transcription or transcription-coupled processes such as mRNA splicing. Since an R-loop stalls the DNA replication machinery, cells avoid R-loop accumulation by preventing or removing them. BRCA1/BARD1 and BRCA2 prevent R-loop accumulation [\[37](#page-14-3), [39](#page-14-5)].

3.4 Centrosome Regulation

Centrosomes regulate cell shape, polarity, and motility, in addition to formation of the mitotic spindle [\[14](#page-13-1), [40\]](#page-14-6). The centrosome comprises a pair of centrioles, the mother and daughter centrioles, which are surrounded by the pericentriolar material (PCM) (Fig. [2c](#page-4-0)). Centrosomes are duplicated once per cell cycle, a process that is precisely controlled [[41\]](#page-14-7).

BRCA1 and BARD1, which localize to the centrosome throughout the cell cycle [\[42](#page-14-8)], function during centriole duplication [\[42](#page-14-8)[–44](#page-14-9)]. BRCA1 interacts with major components of centrosomes: γ-tubulin [\[45](#page-14-10)] and mitotic kinases Aurora A and Pololike kinase1 (PLK1) [\[46](#page-14-11), [47\]](#page-14-12). BRCA1/BARD1 ubiquitinates centrosome proteins, including γ -tubulin [[43\]](#page-14-13). We identified Obg-like ATPase 1 (OLA1) as a BARD1interacting protein [[48\]](#page-14-14) and the receptor for activated C kinase 1 (RACK1) as an OLA1-interacting protein [[49\]](#page-14-15) (Fig. [2d](#page-4-0)). Aberrant expression of OLA1 and RACK1 occurs in many malignancies [[50–](#page-14-16)[54\]](#page-14-17). BRCA1 binds directly to OLA1 and RACK1, and functions to regulate centriole duplication together with these proteins. RACK1

regulates centriole duplication by controlling the centrosomal localization of BRCA1, as well as PLK1 phosphorylation by Aurora A [[19,](#page-13-17) [20,](#page-13-6) [49\]](#page-14-15).

A number of BRCA1 variants derived from familial breast cancers cause centrosome amplifcation in breast cancer cells [\[55](#page-14-18)]. Interestingly, some BRCA1 variants fail to regulate centrosome number; however, they are proficient in HR activity [[8\]](#page-12-5). Cancer-derived variants of BRCA1, BARD1, OLA1, and RACK1 abolish their bindings each other. These variants and aberrant expression of these proteins cause centrosome amplifcation due to centriole overduplication only in mammary tissuederived cells (Fig. [2e\)](#page-4-0) [[18,](#page-13-5) [19,](#page-13-17) [48,](#page-14-14) [49,](#page-14-15) [56\]](#page-15-0). These fndings suggest that the BRCA1, together with these interacting proteins, regulates centrosomal numbers and contributes to tumor suppression.

Interestingly, the number of centrioles in cells with two γ -tubulin spots is higher in mammary tissue-derived cells than in cells derived from other tissues, suggesting that the effciency of centriole duplication might be higher than that in cells derived from other tissues [\[49](#page-14-15)]. Thus, mammary cells might be sensitive to abnormality of centrosome proteins such as BRCA1-associated proteins, resulting in tissue-specifc carcinogenesis.

BRCA2 also localizes to centrosomes and is involved in centriole duplication [\[57](#page-15-1), [58](#page-15-2)]. Furthermore, BRCA2 interacts with a cytoskeletal cross-linker protein, plectin, and controls the position of the centrosome [[59\]](#page-15-3).

3.5 Other Functions

BRCA1/2 functions in multiple cellular processes in addition to the functions described above. The N-terminal region of BRCA1 has E3 ubiquitin ligase activity, which is enhanced significantly by forming a heterodimer with BARD1 [\[60](#page-15-4)]. The C-terminal region of BRCA1 interacts with RNA helicase A, a component of RNA polymerase II (Pol II) [\[61](#page-15-5)], and activates transcription. Since pathogenic variants abolish BRCA1-medicated transcriptional activation, functional assays were used to analyze a number of BRCA1 C-terminal missense variants to predict pathogenicity [\[6](#page-12-3), [62,](#page-15-6) [63\]](#page-15-7). BRCA1 binds to several transcription factors, including p53, c-Myc, and GATA3. Furthermore, BRCA1 is involved in DNA damage checkpoint control, regulation of estrogen receptor α (ERα), apoptosis, and differentiation of luminal progenitor in breast tissues [\[6](#page-12-3), [35](#page-14-1), [62](#page-15-6)].

BRCA2 also regulates DNA damage checkpoint control [\[35](#page-14-1)]. In addition, BRCA2 is phosphorylated by PLK1, and regulates cytokinesis, a critical fnal step of cell division [\[64](#page-15-8)].

4 Evaluation of HR Activity

4.1 Evaluation of HR Activity in HBOC

Genetic alterations in HR factors in addition to *BRCA1/2* cause hereditary cancers such as HBOC [[35\]](#page-14-1). Therefore, evaluation of HR activity in cells is important to estimate the pathogenicity of HR factor variants. As described above, the DR-GFP assay has been used widely for this purpose. In addition, Ikegami et al. developed a functional assay to evaluate the HR activity of BRCA2 variants by assessing sensitivity of *BRCA2*-deficient cells to PARP inhibitor [\[65](#page-15-9)].

4.2 Novel Assay to Evaluate HR Activity in Cells

To evaluate HR activity easily in cells, we developed the Assay for Site-specifc HR Activity (ASHRA) (Fig. [3\)](#page-8-0) [[33\]](#page-13-16). In ASHRA, an expression vector for gRNA and Cas9 and a donor vector were co-transfected into the cells. The Cas9 endonuclease introduces a DSB specifcally into the endogenous target locus of the genome. For our analysis, we chose the β-actin gene (*ACTB*), which is stably transcribed in cells, as a target gene. The donor vector contains a marker sequence fanked by two arms homologous to the target locus as a template for HR. Two days after transfection, genomic DNA was extracted. When the DSB is repaired by HR, the marker sequence is knocked-in to the target locus. HR activity is evaluated by quantifying the knockin frequency by quantitative PCR. We confrmed that knockdown of BRCA1 or RAD51, but not that of non-HR factors, reduces the knock-in frequency [[33\]](#page-13-16).

4.3 A Novel HR Assay to Detect Intermediate HR Activity

Using ASHRA, we examined the HR activity of 30 BRCA1 missense variants at the N-terminal region that were previously analyzed using the DR-GFP assay [\[8](#page-12-5), [66\]](#page-15-10). The DR-GFP assay categorized HR activity only as HR-profcient or HR-defcient, whereas ASHRA identifed 10 *BRCA1* variants as having intermediate HR activity, which were not distinguished by the DR-GFP assay [\[34](#page-14-0)]. Interestingly, the HR activity of these BRCA1 variants, as assessed by ASHRA, correlated signifcantly with the survival rates of cells expressing BRCA1 variants after exposure to the PARP inhibitor olaparib.

The BRCA1-R1699Q variant moderately elevates cancer risk [\[67](#page-15-11), [68\]](#page-15-12). The BRCA1-V1736A variant increases the risk of ovarian cancer through biallelic variation [\[69](#page-15-13)]. To investigate the signifcance of intermediate HR activity determined by ASHRA, we analyzed the HR activity of these BRCA1 variants. ASHRA detected the intermediate HR activity of BRCA1-R1699Q and -V1736A; in addition, these

Fig. 3 Schematic of ASHRA. The Cas9 endonuclease creates double-strand breaks (DSBs) at the target site in the genome. When the DSBs are repaired by HR using a donor plasmid containing a marker sequence fanked by two arms homologous to the target site as a template, the marker sequence is knocked-in to the target site. HR activity in cells is evaluated by measuring the knockin frequency of the marker sequence by quantitative PCR

variants showed intermediate sensitivity to olaparib. The DR-GFP assay categorized these variants as HR-defcient [[9,](#page-12-6) [10\]](#page-12-7). These results suggest that HR activity determined by ASHRA can predict cancer risk and sensitivity to PARP inhibitors more accurately than the conventional DR-GFP assay.

4.4 Role of HR Activity in Cancer Treatment

Various sporadic cancers are HR-defcient [\[70](#page-15-14)]. The characteristics of tumors with germline *BRCA1/2* mutations are referred to as BRCAness, which is observed in some sporadic tumors with somatic mutations or methylation of *BRCA1/2,* or inactivation of HR factors other than *BRCA1/2* [\[71](#page-15-15)]. More than half of high-grade serous ovarian cancers are HR-defcient. Alterations of *BRCA1/2* are found in more than half of HR-defcient high-grade serous ovarian cancers, whereas alterations in other HR factors account for the remainder [[72\]](#page-15-16).

Genetic testing for HR factors, as well as genomic scar assays, is used clinically to detect HR defciency and to stratify patients in order to identify those likely to beneft from PARP inhibitors. However, a number of VUS of HR factors have been identifed, and genomic scar assays do not detect resistance to PARP inhibitors mediated by revertant mutations, which restore HR activity [\[73](#page-15-17)[–75](#page-15-18)].

4.5 Mechanisms Underlying Resistance to PARP Inhibitors

Various mechanisms underlie primary and acquired resistance to PARP inhibitors [\[30](#page-13-14)[–32](#page-13-15)]. Two major mechanisms are restoration of HR activity and protection of replication forks. HR can be restored by acquired mutations in HR genes or via increased activity of effector proteins that mediate HR activity. Acquired mutations restore the reading frame and allow expression of the entire protein. Tumors in which the *BRCA1* gene is silenced via promoter hypermethylation become resistant due to loss of hypermethylation and re-expression of *BRCA1*. Furthermore, restoration of HR activity is induced by suppression of the NHEJ pathway. 53BP1 regulates pathway choices, HR or NHEJ, in competition with BRCA1. Since 53BP1 collaborates with RIF1, REV7, and the Shieldin complex to prevents DNA end resection, these alterations trigger resistance by downregulating the NHEJ pathway. Protection of replication forks is caused by reduced recruitment of MRE11 and another DNA endonuclease, MUS81, leading to resistance to PARP inhibitors.

In addition, alterations in the PARP or PAR glycohydrolase proteins cause resistance to PARP inhibitors [[31\]](#page-13-18). Similar to other anti-cancer agents, resistance is caused by increased drug effux and reduced drug infux. Furthermore, Schlafen 11 (SFLN11) binds to replication forks in response to replication stress, thereby blocking further replication. SFLN11 sensitizes cells to a broad range of anti-cancer agents, including PARP inhibitors and platinum agents. Lack of SFLN11 expression in particular is involved in resistance to DNA-targeting anti-cancer agents [\[76](#page-16-0), [77](#page-16-1)].

4.6 A Novel Mechanism of Resistance to PARP Inhibitors

Among the 30 BRCA1 missense variants analyzed, only the C61G variant shows signifcant discordance between HR activity and sensitivity to olaparib in HeLa cells, but not in MCF7 cells [\[34](#page-14-0)]. Interestingly, a similar C64G variant did not show these phenotypes. The C61G and C64G variants occur at the zinc-binding residues of the RING domain, resulting in defects in binding to BARD1, E3 ubiquitin ligase activity, and HR activity [[8,](#page-12-5) [78,](#page-16-2) [79\]](#page-16-3).

A literature search to identify different phenotypes of the C61G and C64G variants revealed that the BRCA1-C61G variant (but not C64G variant) functions to coactivate transcription by activating transcription factor 1 (ATF1) (similar to wildtype BRCA1) [[80\]](#page-16-4). ATF1, which belongs to the c-AMP response element-binding protein/activating transcription factor (CREB/ATF) family, activates gene transcription to regulate cell proliferation and survival [\[81](#page-16-5)]. We found that BRCA1-C61G (but not the C64G variant) binds to ATF1 and activates transcription of *NRAS* and *BIRC2*, which are involved in cell proliferation and survival, respectively, similar to wild-type BRCA1 [\[34](#page-14-0)] (Fig. [4a](#page-10-0)). ATF1 was expressed at markedly higher levels in HeLa cells than in MCF7 cells. Furthermore, we found MCF10A is another ATF1 low cell line, and BT-549 is another ATF1-high cell line. Exogenous expression of

Fig. 4 Schematic illustrating ATF1-dependent sensitivity to PARP inhibitors and cisplatin. (**a**) Wild-type BRCA1 and the BRCA1-C61G variant bind to ATF1 and activates transcription of *NRAS* and *BIRC2.* By contrast, BRCA1-C64G fails binds to ATF1 and so does not activate the transcription of *NRAS* and *BIRC2.* (**b**) When treated with PARP inhibitors or platinum agents, HR-profcient cells repair DNA and survive. HR-defcient cells cannot repair DNA damage by HR. However, in cells proficient in BRCA1/ATF1-mediated transcription, cell survival is dependent on the expression level of ATF1. High ATF1-expressing cells proficient in BRCA1/ATF1mediated transcription survive, but low-expressing cells die. HR-defcient cells harboring BRCA1-C61G or altered non-BRCA1 HR factors such as BRCA2, but possessing wild-type BRCA1, activate ATF1-mediated transcription and survive. Therefore, ATF1 might be a good biomarker for drug resistance in tumor cells. (**c**) In HR-defcient tumors, genes downstream of BRCA1/ATF1 transactivation might be good biomarkers for sensitivity to PARP inhibitors and platinum agents, regardless of BRCA1/ATF1 transactivation

ATF1 caused olaparib resistance in BRCA1-C61G–expressing ATF1-low cells. By contrast, knockdown of ATF1 increased the sensitivity of BRCA1-C61G–expressing ATF1-high cells to olaparib. The level of ATF1 expression did not affect HR activity. These data suggest that high expression of the ATF1 protein confers resistance to olaparib in cells expressing BRCA1-C61G, independent of HR activity (Fig. [4b\)](#page-10-0).

4.7 **BRCA1***/ATF1-Mediated Transactivation Confers Resistance to Cisplatin*

BRCA1-C61G is HR-defcient, but functions as a coactivator of ATF1-regulated transcription, which causes resistance to olaparib in the presence of high ATF1 expression. Thus, we speculated that BRCA1/ATF1-mediated transcription confers resistance to olaparib in HR-defcient cells due to alterations in other HR factors. As expected, we found that ATF1 overexpression induced olaparib resistance in BRCA2- or RAD51-knockdown MCF7 cells, but not in BRCA1-knockdown cells. Similar results were obtained for cells treated with cisplatin [[34\]](#page-14-0). These data suggest that BRCA1/ATF1-mediated transcription induces the resistance to olaparib and cisplatin upon knockdown of BRCA2 or RAD51. Therefore, the level of ATF1 expression could be a biomarker for the effcacy of PARP inhibitors and platinum agents against tumors that are HR-defcient, but profcient in BRCA1/ATF1 mediated transcription (e.g., *BRCA2*-deficient tumors) (Fig. [4b](#page-10-0)).

Tian et al. identifed ATF1-target genes [\[81](#page-16-5)]. We found that there are two types of ATF1-target genes, BRCA1-dependent (*NRAS* and *BIRC2*) and BRCA1 independent (*BRAF* and *MYC*) [\[34](#page-14-0)]. Although we did not examine whether NRAS and BIRC2 are involved in resistance to PARP inhibitors and platinum agents, their expression levels might be a biomarker for the efficacy of PARP inhibitors and platinum agents against HR-defcient cells (Fig. [4c\)](#page-10-0). However, it is possible that other genes upregulated by BRCA1/ATF1 might be responsible for resistance. Identifcation of the responsible genes might make it possible to develop therapies that overcome resistance to PARP inhibitors and platinum agents.

BRCA1-C61G is an important founder mutation in the Polish population; therefore, it is analyzed in panel tests for HBOC diagnosis and for cancer treatment [[82](#page-16-6), [83\]](#page-16-7). Therefore, resistance to PARP inhibitors and platinum agents induced by BRCA1/ATF1-mediated transcription might be considered in the Polish population.

Overexpression of ATF1, NRAS, and BIRC2 occurs in some malignancies, including breast and ovarian cancers (COSMIC, the Catalogue Of Somatic Mutations In Cancer [\(https://cancer.sanger.ac.uk/cosmic\)](https://cancer.sanger.ac.uk/cosmic)). *ATF1* forms a fusion gene with *EWSR1* or *FUS* in sarcomas such as clear cell sarcoma and angiomatoid fbrous histiocytoma [[84,](#page-16-8) [85](#page-16-9)]. Therefore, ATF1-fusion gene products might be involved in resistance of these sarcomas to chemotherapy.

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5 Conclusions

HR activity is important for the tumor suppressor functions of BRCA1/2. We used the CRISPR/Cas9 system to develop ASHRA, an assay designed to evaluate HR activity. ASHRA can measure HR activity quantitatively; the results show that HR activity correlates with cancer risk and sensitivity to PARP inhibitors. Furthermore, we identifed a novel mechanism involving BRCA1/ATF1-mediated transcription that underlies resistance to olaparib and cisplatin. This assay will contribute to classifcation of VUS of HR factors that might be involved in cancer risk and sensitivity to PARP inhibitors and platinum agents. It will also be useful for identifying novel resistance mechanisms and target molecules to facilitate development of effective cancer therapies.

BRCA1*/*2 plays role in multiple cellular processes. However, the role of BRCA1/2 alterations in mechanisms of underlying tissue-specifc carcinogenesis remains unclear. The roles played by BRCA1/2 alterations in cancer risk and sensitivity to anti-cancer agents seem to be different. Thus, functional assays to evaluate the effects of *BRCA1/2* variants should be changed dependent on the purpose of the analysis: prediction of cancer risk or sensitivity to anti-cancer agents.

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