Characterization of Atmospheric Carbonaceous Species in PM_{10} over Darjeeling, an Eastern Himalayan Region of India



Akansha Rai, A. Chatterjee, T. K. Mandal, and S. K. Sharma

Abstract Carbonaceous aerosol (CAs) pollution is a significant cause of the deterioration of the susceptible Himalayan region, which profoundly affects climate change. In this study, we examined the winter-time pollution load of carbonaceous species [Organic Carbon (OC), Elemental Carbon (EC), Water-Soluble Organic Carbon (WSOC), Water-Soluble Ionic Species (WSIS), Primary Organic Carbon (POC), Secondary Organic Carbon (SOC), and Total Carbonaceous Aerosols (TCAs)] of PM_{10} over a semi-urban high-altitude site of Darjeeling (27.041° N, 88.266° E, 2200 m above mean sea level (AMSL); an eastern Himalayan region), India, from January to February 2019. During winter, the PM_{10} concentration (µg m⁻³) was found to be 52 ± 18 , which comprises 24% of CAs, 30% of WSIS, and 8% of sea salts. The secondary aerosols contribute about 79% to WSIS and 24% to PM_{10} . The average concentration of WSIS was observed in the order of $SO_4^{2-} > NH_4^+ >$ $NO_3^- > Na^+ > K^+ = Ca^{+2} > Cl^- > Mg^{+2} > F^-$, respectively. Lower ECR (Effective Carbon Ratio) suggests a reduction in the number of scatterings CAs and possibly an increase in the absorbing CAs. The mean OC/EC ratio (2.04 \pm 0.37), K⁺/OC (0.11 \pm 0.04), and their good correlations of 0.77 and 0.74, respectively, suggested fuel combustion (biomass burning and fossil fuels) as the major contributing source in Eastern Himalayas. In addition, a high WSOC/OC ratio (0.74 \pm 0.22) implied the formation of secondary aerosols and the presence of aged aerosols. The trajectory analysis showed that the air masses mainly originated from the IGP region, Nepal, and the local region of the sampling site.

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in Mechanical Engineering, https://doi.org/10.1007/978-981-99-4594-8_1

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S. Yadav et al. (eds.), Recent Advances in Metrology, Lecture Notes

Keywords $PM_{10} \cdot Carbonaceous species \cdot Secondary aerosols \cdot Sources \cdot Darjeeling$

1 Introduction

Globally, air pollution is the most common environmental contributor to premature death. There are several air pollutants, each with their chemical composition, reaction behaviors, emissions, environmental permanence, capacity to be transported, and eventual influence on the quality of air and human well-being [1, 2]. Due to its detrimental impacts on atmospheric chemistry, quality of air, reduced vision, the Earth's radiation budget, and human health, particulate matter (PM) has a substantial impact [3, 4]. Because they have implications for pollution problems, improvements in our understanding of atmospheric aerosols are an essential study concern [5]. Recently, organic and elemental carbon, denoted as OC and EC, comprises most of the carbonaceous aerosols (CAs) and has received the most attention. EC is a byproduct of the partial combustion of fuels (fossil fuels (FFC) and biomass (BB)) from human-made sources [6, 7]. Primary organic carbon (POC) and secondary organic carbon (SOC) are other components of OC. POC is emitted from anthropogenic or biogenic sources. On the other hand, with in-situ reactions of POC, SOC is formed. Water-soluble organic carbon (WSOC) can either come from primary emissions or be created by a chain reaction of organic compounds in the atmosphere while they are transported. It significantly affects hygroscopic characteristics, radiative forcing, and cloud condensation nuclei (CCN) [8-10]. In urbanized climates, water-soluble ionic species (WSIS) constitute a significant fraction of 50-70% mass of PM_{2.5} [11]. Additionally, due to their aerosol hygroscopicity, secondary inorganic ions (NO₃⁻, SO₄²⁻, and NH₄⁺), essential components of WSIS, could worsen a decrease in visibility and lead to the formation of a haze [12].

The study of airborne particles over the Himalayan region is of utmost importance since the ecosystem of that region is seriously threatened by various environmental pollutants. Due to high vehicular traffic because of tourists, biomass burning for cooking, and fuelwood burning for heating, play a significant role in loading anthropogenic aerosols over the Himalayan region [13–15]. Due to their relatively longer lifetime (several days to weeks), PM and its species often disperse far from their site of origin [7, 16]. Limited studies were conducted earlier on the physicochemical characterization of aerosols over the eastern Himalayas. They did not enlighten the possible sources of pollutants/aerosols from long-range regions [14, 17]. Thus, it is crucial to examine the pollutant concentrations, chemical characteristics, temporal fluctuations, and the impact of WSIS in the atmosphere over the eastern Himalayas of India.



Fig. 1 Map of PM₁₀ sampling site in the Eastern Himalayan region of India (Source Google Earth)

2 Site Description

In this study, the sampling was performed at Darjeeling, a well-known hill station over the eastern Himalayas, for tourists. The sampling location is close to the Indo-Gangetic Plain (IGP), located ~50 km with a dense population with substantial aerosol mass loadings. The sampling site was located inside the Bose Institute (27.01° N, 88.15° E) at an altitude of 2200 m above mean sea level (AMSL), as shown in Fig. 1. Around the sample site, and there are numerous tea processing facilities and gardens that occupy 17,500 acres of land [14, 15]. Darjeeling Himalayan Railway (Toy Train) is a world heritage site UN (United Nations) which still runs on coal. The seasonal variations of aerosols produce subsequent signatures on the different physicochemical characteristics of aerosols [7].

3 Methodology

3.1 Sample Collection

For the collection of PM_{10} samples (n = 25), a respirable dust sampler (RDS) was used during the sampling period (January–February 2019). On the building's rooftop, 15 m above ground level (AGL), the sampler was placed on the Bose Institute campus. For 24 h, ambient air was filtered through 20×25 cm² PALLFLEX quartz microfiber filters at a flow rate of $1.2 \text{ m}^3 \text{ min}^{-1}$ (flow accuracy: $\pm 2\%$). The standard protocol was followed for PM₁₀ sampling using a Sartorius microbalance (resolution: $\pm 10 \mu g$). After the samples were collected, the filters were placed in a deep freezer and stored at a temperature of -20 °C until analysis.

3.2 Chemical Analysis

OC and EC analysis: Using an OC/EC carbon analyzer (Model: DRI 2001A, CA, USA), a punch of 0.536 cm² of PM₁₀ filter was used to determine OC and EC concentrations by following the IMPROVE-A carbon protocol. The thermal-optical analysis is the method-defined for the analyzer based on the successive oxidation of OC and EC at different temperatures. A previous publication has discussed the details regarding the operating procedure, calibration, and calibration standards [7].

Water-Soluble Organic Carbon (WSOC) analysis: Using a Shimadzu TOC analyzer, a punch of 3.79 cm² of PM₁₀ filter was used to determine WSOC concentration. The filter was cut in 20 ml of deionized water (resistivity: 18.2 M Ω cm), sonicated for 30 min and filtered using a nylon membrane. Its working principle is based on catalytic-oxidation combustion of carbonaceous compounds at 680 °C. The details regarding these methods have been discussed elsewhere [7].

Water-Soluble Ionic Species (WSIS) analysis: The collected PM_{10} samples were analyzed for WSIC using Ion Chromatography (IC Model: Metrohm 930 Compact IC Flex, USA). The left filtered extract (10 ml) from WSOC analysis was used to estimate ionic species. The cations and anions were measured using a 930 Compact IC Flex (Metrohm, Switzerland) system equipped with a Metrosep C4150/4.0 and ASRS-4MN columns, respectively. The details regarding these methods have been discussed in [18].

3.3 Air Mass Trajectory Analysis

In the Himalayan region, Hybrid Single-Particle Lagrangian Integrated Trajectory Model (HYSPLIT) trajectory models are crucial for examining the movement of pollutants, long-term passage patterns, and probable local sources of PM_{10} [15, 19]. The air parcel back trajectories were downloaded for the sampling days for 500 m AGL. In order to estimate backward trajectories using the isentropic model calculation approach, three days (i.e., 72 h) were chosen because PM_{10} can travel a great distance. The geographical area of air parcels approaching a receptor site is created by the backward trajectory analysis, which generates enormous sets of trajectories [4, 20].

Cluster Analysis: Using Ward's hierarchical technique, cluster analysis is employed to integrate all air mass trajectories into discrete transport groups arriving at the sampling location. The Euclidean distance was applied to identify the coordinates as variables of the distance between two trajectories and calculated using Eq. 1 to examine the direction of air masses [21, 22]:

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$$d_{ij} = \sqrt{\sum_{k=1}^{p} \left(\left(x_i(k) - x_j(k) \right)^2 + \left(y_i(k) - y_j(k) \right)^2 \right)},$$
 (1)

where *p* denotes the point in *p*-dimensional space, X_i and Y_i are denoted to the location of trajectory 1. X_j and Y_j are denoted to the location of trajectory 2. The 'eye ball' method was used to identify the appropriate cluster number by analyzing the disparities between the mean trajectories data [7, 21].

Concentration Weighted Trajectory (CWT) Method: The relative importance of probable source locations and their spatial distribution are determined using the CWT approach [15]. To calculate trajectory-weighted concentrations (WCWT), the geographical magnitude of the air mass trajectories determines how the total area occupied by the back trajectories is fragmented into a series of grid cells concerning its pollutant concentrations, as given in Eq. 2 [7, 21, 22]:

$$C_{ij} = \frac{1}{\sum_{k=1}^{N} \tau_{ijk}} \sum_{k=1}^{N} c_k \tau_{ijk},$$
(2)

- C_{ij} denotes the mean concentration weighted in particular (i, j)th cell,
- k denotes the trajectory's index,
- N represents the overall number of trajectories,
- C_k is the pollutant concentration measured during the entry of trajectory k, and
- τ_{ijk} denotes the time spent in the (i, j)th cell by trajectory k.

4 Result and Discussion

4.1 Mass Concentration of PM₁₀

The average winter-time concentration of PM₁₀ was observed as $52 \pm 18 \,\mu g \,m^{-3}$ with a range of $21-89 \,\mu g \,m^{-3}$ at Darjeeling. Table 1 shows the statistical overview of PM₁₀ with a 34.5% coefficient of variation during the winter. The monthly average concentration of PM₁₀ was found to be comparatively lower in the month of January ($43 \pm 11 \,\mu g \,m^{-3}$) and higher in February ($59 \pm 20 \,\mu g \,m^{-3}$). Similar observations were reported by [23] at Pohara ($51.8 \pm 18.9 \,\mu g \,m^{-3}$) in the North-Western Himalayas, [20] at Nainital ($39 \pm 10 \,\mu g \,m^{-3}$), Central Himalayas, [17] at Mohal-Kullu ($51 \pm 16 \,\mu g \,m^{-3}$), Western Himalayas.

Table 1 PM ₁₀ statistical overview			
	Number of samples (<i>n</i>)	25	
	Average concentration ($\mu g m^{-3}$)	52	
	Standard deviation	18	
	Standard error	4	
	Median (µg m ⁻³)	50	
	Range (µg m ⁻³)	21-89	
	Minimum concentration ($\mu g m^{-3}$)	21	
	Maximum concentration ($\mu g m^{-3}$)	89	
	Confidence level (95%)	7	
	Coefficient of variation (%)	34.5	

4.2 Carbonaceous Species (OC, EC, and WSOC) and Effective Carbon Ratio (ECR) in PM₁₀

The average winter-time concentrations of carbonaceous species (OC, EC, POC, SOC, TCAs, and WSOC) of PM₁₀ with maxima, minima, and median are summarized in Table 2. High concentration of OC ($5.4 \pm 2.0 \ \mu g \ m^{-3}$), EC ($2.7 \pm 1.0 \ \mu g \ m^{-3}$), and WSOC ($3.8 \pm 1.3 \ \mu g \ m^{-3}$) was observed (in Fig. 2), which ranges from 2.1–12.3 $\ \mu g \ m^{-3}$, 1.4–5.3 $\ \mu g \ m^{-3}$, and 0.2–7.5 $\ \mu g \ m^{-3}$, respectively that might be due to local burning activities during the study period. The average concentration of carbonaceous aerosols (TCAs = OC × 1.6 + EC) was 11.4 ± 4.1 $\ \mu g \ m^{-3}$ and contributed around ~22% to PM₁₀. The POC and SOC were also computed using the EC tracer approach, which contributes 35 and 65% to OC, whereas WSOC contributes 70% to OC. The higher fraction of WSOC in the total OC indicated the aged nature of the carbonaceous aerosols. The substantial concentrations of CAs could be attributed to the enhanced impact of firewood burning for space heating, steady meteorological conditions, and open burning that led to the deposition of pollutants in the lower atmosphere.

The effective carbon ratio (ECR) indicates a link between CAs and climate change, taking into account the absorption properties of POC, which can be calculated as: ECR = SOC/POC + EC. The average winter-time ECR was estimated as 0.32 ± 0.16 , which varied from 0.01 to 0.6. According to [24], ECR values less than 0.30 indicate low SOC concentrations, implying a decrease in the quantity of scattering CAs and maybe an upsurge in the absorbing type of CAs.

4.3 Waters-Soluble Ionic Species (WSIS) in PM₁₀

The average winter-time concentrations of WSIS (Na⁺, K⁺, Mg²⁺, Ca²⁺, F⁻, Cl⁻, NH₄⁺, NO₃⁻ and SO₄²⁻) of PM₁₀ with maxima, minima, and median are summarized in Table 2. The average winter sum of WSIS (Σ WSIS) concentration (15.5 ±

Species	Average $(n = 25)$	Min	Max	Median
OC	5.4 ± 2.0	2.1	12.3	5.1
EC	2.7 ± 1.0	1.4	5.3	2.5
POC	3.6 ± 1.3	1.8	6.9	3.3
SOC	1.9 ± 1.1	0.1	5.4	2.0
TCAs	11.4 ± 4.1	4.7	25.0	10.4
WSOC	3.8 ± 1.3	0.2	7.5	3.9
Na ⁺	1.4 ± 0.7	0.1	4.0	1.4
K ⁺	0.6 ± 0.4	0.1	1.8	0.6
Mg ²⁺	0.1 ± 0.1	0.01	0.5	0.1
Ca ²⁺	0.6 ± 0.3	0.1	1.5	0.6
F ⁻	0.04 ± 0.1	0.01	0.2	0.02
Cl-	0.4 ± 0.2	0.02	0.9	0.3
NH4 ⁺	4.0 ± 4.1	0.3	16.0	3.2
NO ₃ ⁻	2.4 ± 2.5	0.4	11.7	1.7
SO4 ²⁻	5.9 ± 5.2	0.5	19.7	5.2

Table 2 Average concentrations of carbonaceous and ionic constituents (in $\mu g \ m^{-3})$ of PM_{10} at Darjeeling

 \pm Standard Deviation

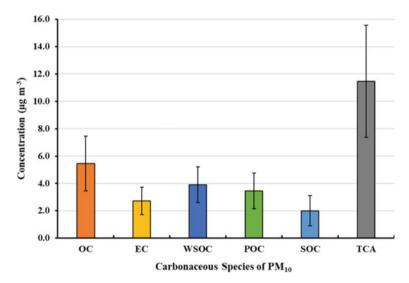


Fig. 2 Mass concentration of carbonaceous species of PM₁₀

12.2 μ g m⁻³) contributed nearly 30% to PM₁₀. In terms of concentration, SO₄²⁻, NO₃⁻, and Cl⁻ were the most prevalent anions, whereas NH₄⁺, Na⁺, and K⁺ were the most prevalent cations. Based on winter average percentage contribution, the trend of most dominant ions was found to be in the order of SO₄²⁻ (38%) > NH₄⁺ (26%) > NO₃⁻ (16%) > Na⁺ (9%) > K⁺ (4%) = Ca⁺² (4%) > Cl⁻ (2%) > Mg⁺² (1%) > F⁻ (0.2%). A marker for secondary inorganic aerosols (SIA) is sulphate-nitrate ammonium (SNA) which contributes around 24% of the PM₁₀ concentration and 79% to WSIC. Only ~8% of sea salts were reported during the winter season. The charge balance of ionic species showed a good correlation of 0.79, as shown in Fig. 3f.

4.4 Diagnostic Ratios and Scatter Plots

The diagnostic ratios of PM₁₀ species (OC, EC, SOC, and WSOC) aid in determining the formation and removals processes of secondary organic aerosols (SOA), the aging of atmospheric aerosols, and the emission of CAs (Fig. 3a-c). The ratio of OC/EC suggests the origin of aerosols emitted from various sources, both locally produced and transported. In this study, the ratio of OC/EC ranged from 1.31 to 2.61, with an average of 2.04 \pm 0.37 and a good correlation of 0.77. This indicates that fossil fuel combustion (FFC) is influenced mainly by tourist vehicles and local biomass burning (BB), as well as over the IGP region. Aerosols produced by BB and FFC can be identified using the ratios of K⁺ with OC and EC. The ratio of K⁺/OC varied from 0.04–0.21 for BB and agricultural residue burning, which shows a good correlation of 0.74 (Fig. 3d). Similarly, relatively high K⁺/EC ratios were recorded for BB with a range of 0.06–0.53 and a significant correlation of 0.52 (Fig. 3e). On the other hand, WSOC/OC ratio can also be used as a tracer for emission sources, strength, and SOA contribution. In the present study, the ratio of WSOC/OC varied from 0.08 to 0.94, with an average ratio of 0.72 ± 0.19 and a significant correlation of 0.66. In the atmosphere, the photochemical oxidation reactions of volatile organic compounds (VOCs) through the gas-phase conversion are generally responsible for the high WSOC/OC ratio, i.e., through SOA formation. The obtained results indicate the emissions from FFC, widespread BB practices, and the production of SOC due to carbonaceous species transported from the surrounding IGP region.

4.5 Air Mass Backward Trajectory

Backward trajectory analysis is a conventional technique for identifying the location, airflow, and transport of aerosols imposing severe pollution load in the Himalayan region [15, 19]. In Fig. 4a, the 500 m trajectories originated from different regions in Nepal, the north-western regions of India, the Thar desert, central highlands, the semi-arid region, the IGP region, and Bhutan, demonstrating that the near-surface flows are chaotic in nature [7]. The medium-range transport was observed with heavy

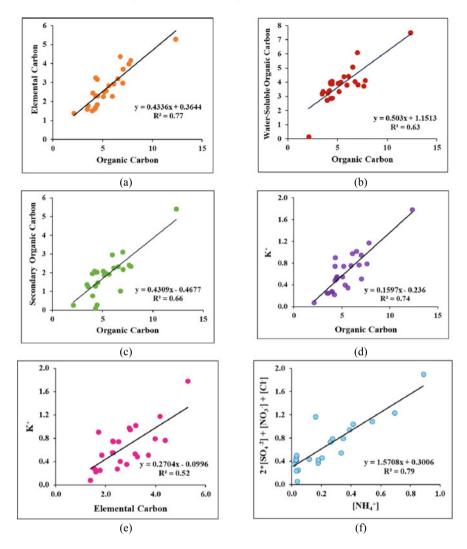


Fig. 3 Scatter plots of species of PM_{10} **a** OC with EC **b** OC with WSOC **c** OC with SOC **d** K⁺ with OC **e** K⁺ with EC **f** ionic charge balance

air mass loading at the IGP region. Whereas the long-distant passage of pollutants was observed from the north-western region, passing over China and approaching the receptor site.

*Cluster Analysis of PM*₁₀: Cluster analysis was performed at an altitude of 500 m AGL to limit the impacts of surface friction and to simulate breezes in the lower boundary layer. The trajectory numbers of trajectories after clustering from different directions are depicted in Fig. 4b. Cluster 1 (orange color) belongs to short-range transport,

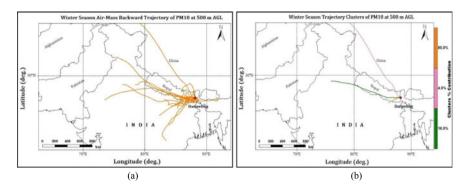


Fig. 4 Air-mass backward trajectory (a) and cluster analysis (b) of PM_{10} during the winter season at 500 m AGL over Darjeeling

which accounts for 80% of air mass trajectory originating from the local and lowers IGP region, which is the most polluted belt in India. Cluster 2 (green color) belongs to medium-range transport, which accounts for 16% of air mass trajectory originating from the Thar desert and passing through Nepal and the IGP region towards the receptor site. In contrast, cluster 3 (pink colour) represented the long-distant passage of air masses (accounting for 4% of the total) from the north-western pathway passing over China. The short-distant trajectories were observed to correspond to the slow-moving parcel of air masses. On the other hand, highly long pathways indicate strong flows, which significantly impact the quality of the atmosphere differently [15, 25].

CWT Analysis of PM_{10} along with its species (OC, EC, TCA, POC, SOC, WSOC, SIA): The WCWT were applied to identify the influence of the probable sources by aggregating apportionment numbers for each source and evaluating the pollution level associated with various trajectories. In Fig. 5a, the local area, lower IGP region, and Nepal contribute to higher concentration (WCWT values) of PM_{10} , and low concentration was observed in the IGP region of India. From the observed results of winter-time PM_{10} and its carbonaceous species, it was found that these species might be generated by incomplete burning of fuel and wood at low temperatures, which are employed to combat chill—owing to the trapping of coarse particulates in the fog and calm breeze, a persistent thermal inversion with constrained dispersion forms at ground level.

The higher concentration of OC (Fig. 5b) and reconstructed POC (Fig. 5d) was observed in local and Nepal regions, which may contribute to fungal spores, plant debris, and biomass burning activities. The long-distant pathway of TCA through the prevailing westerly wind was assessed over Nepal, and local areas (Fig. 5e). Whereas EC (Fig. 5c) and SIA (Fig. 5g) concentrations were increased from the IGP region to Nepal and near the receptor site, which might contribute to the emissions from the vehicular exhaust and secondary aerosols. Higher concentrations of SOC (Fig. 5f) and WSOC (Fig. 5h) over the sampling area and Nepal region showed the emissions from the secondary aerosol formation, as reported by [10].

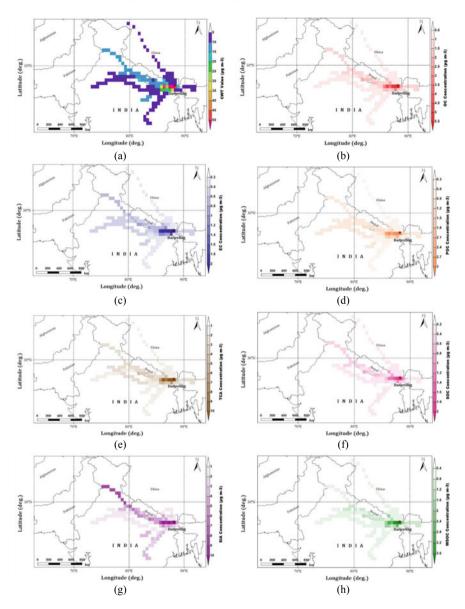


Fig. 5 CWT plots of a PM_{10} , b OC, c EC, d POC, e TCA, f SOC, g SIA, h WSOC (μ g m⁻³) during the winter season at 500 m AGL over Darjeeling

Similar results were also observed by [26, 27] in Nainital and Dhulikhel (Nepal), which showed that the accumulation of IGP aerosols and polluted continental air mass consisting of dust and smoke was prevalent during the winter seasons. [28] reported on the complexities of OC sources such as burning, pollen, tiny soil minerals, and mechanisms of secondary organic compounds. Because the pollutants from the suburban areas cannot reach the mountaintop during the winter, the boundary layer stays below Darjeeling (2.2 km), and the atmosphere remains relatively pristine [14]. So, in the winter, there are also fewer human activities involving tourists, which contributes to the local production of CAs.

5 Conclusion

The winter-time aerosols of PM_{10} have been studied at a high-altitude site (Darjeeling) in the eastern Himalayan region of India. The mean concentration (µg m⁻³) of winter-time PM_{10} was 52 ± 18, close to NAAQS and WHO limits.

- In this study, the winter-time concentrations (μg m⁻³) of OC (5.4 ± 2.0), EC (2.7 ± 1.0), and WSOC (3.8 ± 1.3) were observed due to the increasing influence of burning wood for domestic purposes, outdoor BB, and steady air conditions.
- WSOC contributes 70% to OC, indicating the aging nature of the CAs. The average winter-time concentration (μ g m⁻³) of CAs was 11.4 ± 4.1, contributing around ~22% to PM₁₀.
- A lower effective carbon ratio (0.32) suggests a reduction in the number of scatterings CAs and possibly an increase in the absorbing CAs.
- The average winter-time concentration ($\mu g m^{-3}$) of WSIC was observed as 15.5 \pm 12.2, contributing nearly 30% to PM₁₀. SIA contributes around 24% of the PM₁₀ concentration and 79% to WSIC.
- A major effect of BB, FFC, and SA as an origin of carbonaceous species over the eastern Himalayan region was revealed by the diagnostic ratios and linear regression analysis of OC with EC, WSOC with OC, K⁺ with OC, and EC. These variables were also positively correlated with carbonaceous components.

Based on cluster analysis, 80% of air mass was short-range (local and lower IGP region), 16% was medium-range transport (the Thar desert and passing through Nepal and the IGP region), and 4% was long-range transport of air masses (north-western route passing over China). CWT analysis showed that carbonaceous species traveled from Nepal and the IGP region apart from local emissions.

The present study showed a significant implication for the Himalayan region because the deposition of CAs over Darjeeling, a high-altitude location, is a matter of concern from the human well-being perspective and fragile ecology across the Indian Himalayas. Therefore, it is crucial to reduce the key contributors to PM_{10} and CAs over the Himalayan region (automobile pollution, FFC, and BB) by implementing effective and efficient waste management techniques, etc. Therefore, this study can

aid the stakeholders and policymakers in understanding the characteristics of PM_{10} for the Himalayan region.

Acknowledgements The authors are thankful to the Director, CSIR-NPL, New Delhi, for providing their valuable support in carrying out this research work. One of the authors (Akansha Rai) is thankful to the University Grants Commission (UGC) for providing the fellowship. The authors also thank Mrs. Yashodhara Yadav, Bose Institute, Darjeeling, for PM₁₀ sampling and for providing relevant data sets. The authors also acknowledge the Department of Science and Technology, New Delhi, for providing financial support for this study (DST/CCP/Aerosol/88/2017). Finally, the authors thankfully acknowledge the NOAA Air Resources Laboratory for downloading the air mass trajectories.

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