Chapter 3 Cancer Genomic Profling of Gynecological Malignancies by Todai OncoPanel, a Twin DNA and RNA Panel

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Abstract Todai OncoPanel (TOP) has been established at The University of Tokyo and consists of DNA (version 3: 464 genes) and RNA panels (version 4: 463 genes).

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The University of Tokyo Hospital started TOP analysis in February 2017 as a research project approximately in 250 patients. Then, clinical sequencing for advanced solid tumors by TOP panel was performed as Advanced Medical Care Category B in 200 patients toward approval from the Ministry of Health, Labor and Welfare (Patient accrual was completed in December 2019). In this study, we performed TOP analysis in 54 gynecological malignancies and found various types of actionable somatic mutations, gene fusions, germline mutations (in *BRCA1*, *BRCA2*, and mismatch repair genes), as well as high tumor mutational burden. We describe the effcacy and the utility of TOP for gynecological malignancies, using our comprehensive analysis of the 54 gynecological malignancy cases. These fndings will highlight the usefulness of cancer genomic profling and shed light on precision medicine in gynecological malignancies.

Keywords Cancer genomic profling · Gynecological malignancies · Precision medicine · Todai OncoPanel · Twin DNA and RNA panel

3.1 Introduction

Next-generation sequencing (NGS)-based tumor molecular profling has become a fundamental component of precision medicine for cancer patients, which enables us to identify genetic alterations in genes and pathways for molecular-targeted therapies [[1–](#page-10-0)[3\]](#page-10-1). Several types of cancer genomic profling (CGP) have proven their utility in cancer precision medicine, and two types of CGP (The OncoGuide™ NCC Oncopanel System and FoundationOne CDx Cancer Genomic Profle) were approved in Japan [\[4](#page-10-2), [5\]](#page-10-3). However, most CGPs are based on analysis of genomic DNA of target genes, isolated either by polymerase chain reaction (PCR) or probe hybridization, enabling the detection of SNV, small insertions/deletions (indels), and CNV.

At The University of Tokyo, an original CGP assay, named Todai OncoPanel (TOP), was developed, which consists of a DNA panel and an RNA panel [\[6](#page-10-4)]. For the analysis, tumor DNA and RNA were prepared from FFPE tissues, and normalpaired DNA was extracted from peripheral blood collected from the same patients as a control to distinguish somatic and germline variants [[6\]](#page-10-4). We analyzed >600 clinical samples since February 2017 under the approval of the institutional ethics committee. This clinical sequence assay consists of DNA and RNA hybridization capture-based next-generation sequencing panels that enable the comprehensive characterization of cancer-related genes. The TOP DNA panel can detect singlenucleotide variant (SNV), indels, and copy number variations (CNV) in 464 genes in version 3 (478 genes in version 4). Tumor mutational burden (TMB) and allelespecifc copy number variations can be evaluated, and over 1000 microsatellite probes are included in the TOP DNA panel. The TOP RNA panel covers 463 genes

in version 4 (678 genes in version 5). The current version can detect gene fusions in 504 genes, as well as exon skipping (such as *MET* and *CTNNB1*) and provide gene expression profling [\[6](#page-10-4)]. Fusion genes in the TOP RNA panel include *BCR*-*ABL1*, *EML4*-*ALK*, *RET*, *ROS1*, *NTRK1*/*2*/*3*, *FGFR1*/*2*/*3,* and *NRG1*, all of which can be (or are promising to be) candidates for specifc molecular-targeted agents [\[7](#page-10-5), [8\]](#page-10-6). Here, we describe the efficacy and the utility of TOP for gynecological malignancies, using our comprehensive analysis of 54 gynecological malignancy cases.

3.2 Patient Characteristics

Between February 2017 and April 2018, 54 gynecological cancer patients (with 79 FFPE tumor specimens) were analyzed by the TOP panel at The University of Tokyo Hospital. Tumor specimens were mainly obtained by surgically resected tumors. Under written informed consent, we returned the results to the patients. The number of patients in each tumor type is 6 in cervical cancer, 15 in endometrial cancer, 21 in ovarian cancer (as well as 2 synchronous endometrial and ovarian carcinomas), 9 uterine sarcomas, and 1 choriocarcinoma (Fig. [3.1a](#page-2-0)). Comprehensive analysis of all tumor types was reported previously [\[6](#page-10-4)].

Fig. 3.1 Cancer types and evidence level classifcation. (**a**) Distribution of tumor types among the 54 gynecological malignancy patients. (**b**) TOP evidence level classifcation. The evidence level classifcation was used to annotated gene alterations in TOP between 2017 and 2018. (**c**) Clinical actionability of gene alterations detected in gynecological cohort by the TOP panel. The maximum evidence level for each case is listed

3.3 Clinical Annotations and Recommendation of Clinical Trials

We annotated somatic variants by using various types of public databases, including OncoKB, a curated knowledge database of oncogenic effects and treatment implications of gene alterations (<http://oncokb.org>); CIViC (Clnical interpretation of Variants in Cancer), a community-based curation database [\(http://civicdb.org\)](http://civicdb.org), ClinVar [\(https://www.ncbi.nlm.nih.gov/clinvar/](https://www.ncbi.nlm.nih.gov/clinvar/)), and COSMIC (Catalogue of Somatic Mutations in Cancer, [http://cancer.sanger.ac.uk/cosmic\)](http://cancer.sanger.ac.uk/cosmic) to assess variants frequency in cancer. We additionally used specifc databases for annotation of gene variants, including germline variants by BRCA Exchange (<http://brcaexchange.org>) for *BRCA1*/*2*, IARC TP53 [\(http://p53.iarc.fr\)](http://p53.iarc.fr) for *TP53*, and InSiGHT [\(https://www.](https://www.insight-database.org/genes) [insight-database.org/genes](https://www.insight-database.org/genes)) for mismatch repair genes. We constructed our knowledge database using a website of the Pharmaceuticals and Medical Devices Agency, US Food and Drug Administration (FDA), and National Cancer Institute. We also included clinical trial databases, such as [ClinicalTrials.gov](http://clinicaltrials.gov) and the Japanese clinical trial databases UMIN, JAPIC, and JMACCT.

Annotated variants were classifed according to the level of evidence and drug availability [\[6](#page-10-4)] (Fig. [3.1b\)](#page-2-0). In summary, Tier 1 was annotated to (pathogenic/likely pathogenic) variants with biomarkers to PMDA-approved drugs in the matched tumor type; Tier 2 was annotated to (pathogenic/likely pathogenic) variants with biomarkers applicable to clinical trials/FDA-approved drugs/PMDA-approved drugs in other tumor types. Tier 3 was for (pathogenic/likely pathogenic) variants, which were supported by knowledge databases for prediction of response to drugs or oncogenic alterations. Tier 4 corresponded to biomarkers, which were to be oncogenic. Tier 5 corresponded to biomarkers, which were recurrently reported in knowledge databases (Fig. [3.1b\)](#page-2-0).

Recently, clinical and/or Experimental Evidence Levels have been standardized in Japan, which were defned by the Center for Cancer Genomics and Advanced Therapeutics (C-CAT) and Equivalent Evidence Levels in Other Guidelines [[5\]](#page-10-3).

3.4 Genetic Alterations in Gynecologic Malignancies by the TOP Panel

We evaluated the clinical utility of genetic alterations in each tumor in the TOP panel. All the tumors were subjected to both DNA and RNA panels. Each alteration in each case was discussed and annotated by the molecular tumor board at The University of Tokyo Hospital, which are currently applicable for various types of PMDA-approved CGPs. Therefore, information on clinical trials was confrmed between 2017 and 2018. Overall, 91% (49 out of 54) harbored one or more

clinically annotated alterations (Tier 1 to Tier 5), and 46% (25 out of 54) harbored one or more actionable variants (Tier 1 or Tier 2) in gynecologic cancers (Fig. [3.1c\)](#page-2-0). The proportion of actionable variants in cervical cancer, endometrial cancer, and ovarian cancer was 50%, 73%, and 57%, respectively. In these 54 cancer patients, the most frequently mutated gene with clinical annotation was *TP53*, followed by *PIK3CA*, *PTEN*, *PIK3R1*, *KRAS,* and *ARID1A*.

3.5 Cervical Cancer

Among the six cervical cancer patients, actionable mutations (Tier 2) were identified in three cases (50%) (Fig. [3.2a\)](#page-4-0). Two were somatic oncogenic variants of *PIK3CA*, which matched to the clinical trial for an AKT inhibitor in Japan. Another case harbored *the GOPC*-*ROS1* fusion gene (Table [3.1](#page-7-0)). *ROS1* is a proto-oncogene located on chromosome 6q and encodes a receptor tyrosine kinase involved in the regulation of cancer cell growth and differentiation. ROS1 is often involved in genomic rearrangements resulting in constitutively active kinases that stimulate multiple pathways such as JAK-STAT, PI3K-AKT-mTOR, and RAS-RAF-MEK-ERK [[9–](#page-11-0)[11\]](#page-11-1). Fusion products of ROS1 have been observed in a variety of types of cancer, including lung, gastrointestinal tract and hepatobiliary tract, and central nervous system [[9\]](#page-11-0). The *ROS1* fusions are now considered as therapeutic biomarkers of crizotinib and entrectinib [\[12](#page-11-2), [13](#page-11-3)].

Fig. 3.2 (continued)

3.6 Endometrial Cancer

We analyzed 15 endometrial cancer cases and identifed 18 actionable gene alterations in 11 patients (73%) (Fig. [3.2b](#page-4-0)). Somatic variants of the PI3K-AKT pathway are most frequent, with 6 pathogenic mutations of *PIK3CA*, 7 of *PTEN*, and 2 of *PIK3R1*. These were considered for clinical trials with AKT inhibitors. Pathogenic somatic variants of *BRCA1* were identifed in one patient, which may be associated with homologous recombination deficiency (HRD). Thus, poly (ADP-ribose) polymerase (PARP) inhibitors were recommended in this patient, although no PARP inhibitors have been clinically approved in endometrial cancer. Pathogenic germline variants of MMR genes were identifed in two patients (*MSH6* (p.F858Sfs*12) and *MSH2* (p.Q170^{*})) (Table [3.1\)](#page-7-0). In addition to pathogenic variants in MMR genes, the primary tumors of these two patients were TMB-High, which also supports the recommendation of immune checkpoint inhibitors. Pembrolizumab was approved for microsatellite instability-high (MSI-High) solid tumors by FDA in 2017 and by PMDA in 2018 [[14,](#page-11-4) [15\]](#page-11-5).

3.7 Uterine Sarcoma

We analyzed nine uterine sarcomas and found that found no actionable mutations, except for one patient (Fig. [3.2c\)](#page-4-0). No pathogenic alterations were detected in three patients (33%); however, two novel gene fusions were identifed by the TOP RNA panel, which may be associated with tumorigenesis of uterine sarcomas. As *NTRK* gene fusions were identifed at 4% in uterine leiomyosarcomas [[16\]](#page-11-6), the TOP RNA panel would be useful to identify actionable and/or novel gene fusions.

3.8 Ovarian Cancer

We enrolled 23 ovarian cancer cases. Totally, 15 actionable gene alterations were detected in 13 patients (Fig. [3.2d\)](#page-4-0). Histological distribution was as follows: 14 (61%) with high-grade serous carcinomas, 2 with endometrioid carcinomas, 1 with clear cell carcinoma, 2 with mucinous borderline tumors, 1 with low-grade serous carcinoma, and 3 with malignant transformation of mature cystic teratomas (MCTMT) (Fig. [3.2e](#page-4-0)). The genome profle of ovarian cancers highly depends on histological types. For example, *TP53* is mutated in >90% of high-grade serous carcinomas. Pathogenic somatic variants are listed in Fig. [3.2f](#page-4-0). *TP53* is the most frequently mutated gene, followed by *PIK3CA*, *PTEN*, *KRAS,* and *ARID1A*. Fourteen (12 high-grade serous carcinomas and 2 MCTMT) harbored pathogenic somatic variants of *TP53*. Pathogenic germline variants were identifed in four patients (*BRCA1* in two and *BRCA2* in two patients, Table [3.1](#page-7-0)), and pathogenic somatic variant of *BRCA1* was identifed in one patient, all of which could be targeted by PARP inhibitors. Pathogenic somatic variants of the PI3K-AKT pathway were identifed in fve patients, which were the candidate targets of AKT inhibitors. Actionable alterations in *FGF* and *FGFR* were identifed in four patients, which led to the enrollment of a clinical trial with an FGFR inhibitor. Pathogenic *BRAF* somatic variant was identifed in one case with low-grade serous carcinoma (Table [3.1\)](#page-7-0).

3.9 Choriocarcinoma

We analyzed one choriocarcinoma and detected three clinical annotated genes (Tier 5). However, no actionable gene alterations were identifed.

3.10 Germline (Secondary) Findings

In gynecological malignancy, the ratio of germline variants is expected to be high, due to the prevalence of hereditary breast and ovarian cancer syndrome (HBOC) and Lynch syndrome [\[17](#page-11-7), [18](#page-11-8)]. While the primary purpose of CGP tests has been considered to identify pathogenic somatic variants, germline fndings may also guide personalized therapy and may be clinically signifcant, especially in gynecological malignancies. Indeed, we found pathogenic germline variants of mismatch repair genes and *BRCA1*/*2* genes in endometrial and ovarian carcinomas, respectively (Table [3.1\)](#page-7-0). The prevalence of pathogenic germline variants can be assessed by the TOP panel, as it analyzes paired-normal DNA from peripheral blood samples. The TOP panel basically includes cancer-related genes, which are recommended to report as germline (secondary) fndings in clinical CGP [[19,](#page-11-9) [20](#page-11-10)], and it is expected to contribute to fnd germline fndings, which would be useful for both patients themselves and their relatives. As described above, TOP analysis of the 54

Primary	Drugs	Annotated variants
Cervical cancer	AKT inhibitor (Tier 2)	$sPIK3CA$ (p.E545K), $sPIK3CA$ (p.G1049A)
	ROS1 inhibitor (Tier 2)	GOPC-ROS1
Endometrial cancer	Immune checkpoint inhibitor (Tier 1)	$gMSH6$ (p.F858Sfs*12), $gMSH2$ (p.Q170*)
	AKT inhibitor (Tier 2)	$sPIK3CA$ (p.E545K), $sPIK3CA$ (p.R88O), $sPIK3CA$ (p.E545K), sPIK3CA (p.E545K), sPIK3CA (p.H1047R), $sPIK3CA$ (p.H1047R)
		$sPTEN$ (p.Y180fs*3), $sPTEN$ (p.R130Q), $sPTEN$ (p.T319Nfs*6), sPTEN (p.R130Q), sPTEN (p.R130Q), s <i>PTEN</i> (p.H93Tfs*5), s <i>PTEN</i> (p.D92E)
		$sPIK3R1$ (p.L581Vfs*19), $sPIK3R1$ (p.N564D)
	PARP inhibitor (Tier 2)	$sBRCA1$ (p.R1699W)
Ovarian cancer	PARP inhibitor (Tier 1)	$gBRCA2$ (p.P3039=), $gBRCA2$ (p.Q356*), $gBRCA1$ $(p.E1257Gf*9), gBRCAI (p.Q396*), sBRCAI (p.E554*)$
	AKT inhibitor	sPIK3CA (p.E545K), sPIK3CA (p.G1049A)
	(Tier 2)	$sPTEN$ (p.R130O)
		sPIK3R1 (p.V357Gf*7), sPIK3R1(p.Y580_M582del)
	FGFR inhibitor (Tier 2)	sFGFR2 (p.Y375C), sFGFR2 (p.S252W), sFGF3 (amplification), sFGF19 (amplification)
	BRAF inhibitor (Tier 2)	$sBRAF$ (p.L597R)

Table 3.1 Actionable mutations and candidate molecular-targeted drugs in gynecologic cancers

individuals in this study revealed 6 pathogenic germline variants (2 in *BRCA1*, 2 in *BRCA2*, 1 in *MSH2*, and 1 in *MSH6*) (11%), all of which were disclosed to each patient by the physicians and certifed genetic counselors. Two patients wished confrmatory single-site test and were found to be pathogenic.

3.11 Tumor Mutational Burden

The TMB representing the number of somatic variants per Mb is a biomarker to estimate the effcacy of immune checkpoint inhibitors. In 2019, Pembrolizumab was approved for adult and pediatric patients with TMB \geq 10 [\[21](#page-11-11)]. In this study, we defned a threshold of 10 mutations/Mb as TMB-High and found that 7 cases (1 cervical cancer, 3 endometrial cancer, and 3 ovarian cancer) were TMB-high (Fig. [3.3\)](#page-8-0). One cervical cancer patient exhibited TMB of 14.3/Mb. This patient received concurrent chemoradiation as primary treatment and the sample was obtained from the recurrent site in the heart. Careful caution is required to address TMB-high, as treatment by either chemotherapy or irradiation may increase the

Fig. 3.3 Tumor mutational burdens in gynecological cancers. (**a**) Distribution of the somatic tumor mutational burden (TMB), defned as a number of coding mutations per megabase. The threshold for hypermutation is 10 mutations/Mb. A plot of 206.2/Mb in endometrial cancer is an ultra-mutated case with a variant of *POLE*

number of mutations. In endometrial cancer cases, three patients exhibited TMBhigh (206.2/Mb, 21.4/Mb, and 20.2/Mb). One of the three patients harbored pathogenic germline variant of *MSH2*, one with a variant of uncertain signifcance (VUS) of *MSH2*, and the remaining one with somatic variants of *POLE* (206.2/Mb). In ovarian cancer, three cases were TMB-high. Two of them harbored somatic variants of *POLE*, which might induce hypermutation genotype, although one of the *POLE* variants was uncertain for pathogenicity. The remaining one patient with TMB-high (20.4/Mb) was initially diagnosed as double primary cancer (endometrial cancer and ovarian cancer) and harbored a germline VUS of *MSH2*. We confrmed that her sample from endometrial cancer also exhibited TMB-high with overlapping genotype. Therefore, we amended the diagnosis as endometrial cancer with metastasis to the ovary.

3.12 Discussion

Our study confrmed that NGS-based CGP, using the TOP panel, is useful to identify "actionable" mutations in gynecological malignancies. It has been uncertain which types of gynecological cancers are suitable for CGP, especially for the RNA panel. Here, we revealed by our cohort that the TOP panel, a twin-panel system of

Tumor mutation burdens

malignancies, including identifcation of gene fusions. More than 90% of all patients harbored at least one clinically annotated gene alteration and 46% harbored actionable alterations (Tier1 or Tier2). The ratio of actionability is higher than that from the pan-cancer analysis (actionability rate at 32.2%) [[6\]](#page-10-4).

Allele-specifc analysis of CNV is useful to identify homozygous deletion (and uniparental disomy) of tumor suppressor genes [[6,](#page-10-4) [22\]](#page-11-12). Another merit of TOP is the RNA panel. The junction-capture method enabled us to accurately and costeffectively detect hundreds of fusion genes, as well as aberrantly spliced transcripts [\[6](#page-10-4)]. The RNA panel can detect novel gene fusions if one of the constituent genes is targeted by the capture panel. Although the clinical actionability of the fusion gene may be limited in gynecological carcinomas [\[23](#page-11-13), [24\]](#page-11-14), detection of *ROS1* gene fusion in one cervical cancer suggested that exploring gene fusions may lead to the bestpersonalized therapy. In addition, the identifcation of fusion genes using junctioncapture RNA sequencing may be useful for molecular diagnosis of sarcomas, which are characterized by various fusion genes [[16,](#page-11-6) [25,](#page-11-15) [26](#page-12-0)]. We identifed two novel gene fusions in uterine sarcomas in this study. Although these two alterations were not clinically actionable, the existence of a gene fusion was useful to differentially diagnose high-grade endometrial stromal sarcoma from undifferentiated uterine sarcoma.

By using the TOP panel, we constructed the infrastructure of a clinical sequencing laboratory (with ISO15189 certifcation) within The University of Tokyo Hospital and established an expert annotation team to properly assess the results of sequencing data and provide fnal reports to each patient. Building this in-house clinical sequencing system should be advantageous to further propel personalized medicine by the cutting-edge technology.

The low rate of clinical trial enrollment after CGP is still a major problem [[1,](#page-10-0) [4](#page-10-2), [6,](#page-10-4) [27\]](#page-12-1). In this study, only one patient with an oncogenic variant of *PIK3CA* was enrolled in the clinical of AKT inhibitor, although we included cancer patients regardless of the patients' status (both nonrecurrent patients and those who receive standardized treatment can be enrolled). Larger numbers of basket-type clinical trials are anticipated to provide more options for personalized therapy. We conducted the prospective TOP analysis as Advanced Medical Care Category B (Japanese medical system: Senshin-iryou B) in 200 patients toward approval from the Ministry of Health, Labor and Welfare from August 2018 (Patient accrual was completed in December 2019) (UMIN000033647). The data of the 200 patients will disclose the ratio of the actionability in patients who (almost) fnished all the standardized treatments. We believe that the TOP panel system will accelerate personalized medicine and broaden cancer treatment options for cancer patients in the near future.

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