Manzoor Ahmad Mir *Editor*

Therapeutic Potential of Cell Cycle Kinases in Breast Cancer

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This Book is Dedicated to my Family Ayzel Manzoor Mir Aariz Manzoor Mir Sumaira Manzoor Mir

Foreword

I am pleased to provide the foreword to Dr. Manzoor Ahmad Mir's valuable book, Therapeutic Potential of Cell Cycle Kinases in Breast Cancer. Breast cancer is a dreadful disease that causes physical and mental suffering to individuals who are diagnosed with it. Despite huge investments in breast cancer treatment, the number of new cases and deaths continues to rise. As per the GLOBOCON 2021 report, breast cancer is the second most prevalent cancer diagnosed in women after skin cancer. Breast cancer can strike both men and women, and 1 among 8 in females and 1 among 1000 in males can get affected. The rate of incidence of breast cancer is more than 1.3 million on a yearly basis. BC can be cured in almost 70–80% of patients having early-stage, non-metastatic BC.

The cell cycle is controlled by various cyclins and Cyclin-Dependent Kinases (CDKs). The importance of cyclins and CDKs in the cell cycle was revealed in a study of fission yeast and cell division, in which the significance of complex Cdc2 (CDK1) was genetically studied and exhibited an effective role in mitosis. Dr. Mir discusses critical issues about the incidence, dysregulation of CDKs in breast cancer, treatment, and prevention of breast cancer using CDK inhibitors. The book has particularly highlighted the conventional as well as the newly developed treatment approaches including various CDK inhibitors in breast cancer. In this regard, the new innovative treatment method, especially the targeted therapies, CDK inhibitors, and the nanotechnology intervention approaches, has revolutionized the field of breast cancer.

Thus, targeting CDKs with their specific inhibitors in BC is considered to be very useful. In this book, Dr. Mir has shed light on the role of cell cycle in cancer progression, role of CDKs and their dysregulation in breast cancer, their importance in BC progression and metastasis, their prognostic significance, and the specific CDK inhibitors used to overcome the BC progression.

Department of Medical Laboratory Majmaah University Al Majma'ah, Saudi Arabia

Raid Saleem Albaradie

Preface

As per the GLOBOCON 2021 report breast cancer is the second most prevalent cancer diagnosed in women after skin cancer. Breast cancer can strike both men and women, and 1 among 8 in females and 1 among 1000 in males can get affected. The rate of incidence of breast cancer is more than 1.3 million on a yearly basis. BC can be cured in almost 70–80% of patients having early-stage, non-metastatic BC. In this book, we will shed light on the role of cell cycle in cancer progression, role of cyclindependent kinases (CDKs) and their dysregulation in breast cancer, their importance in BC progression and metastasis, their prognostic significance, and the specific CDK inhibitors used to overcome the BC progression. Among the various hallmarks of cancer, increased cell proliferation is one of the most important aspects that need to be taken into consideration. The proliferation of cells is synchronized by the cell cycle, and there are well-defined regulatory mechanisms that regulate the cell cycle. The cell cycle is controlled by various cyclins and CDKs. The importance of cyclins and CDKs in the cell cycle was revealed in a study of fission yeast and cell division, in which the significance of complex Cdc2 (CDK1) was genetically studied and exhibited an effective role in mitosis. CDKs belong to the serine-threonine kinases that can form an association with cyclins, phosphorylate them and thus activate them at specific positions during the cell cycle progression. The cell division during an individual's life span as well as during development takes place only at specific places as well as a specific time and divides the content of the cell in a very accurate way. The coherence, integrity as well as maintenance of every step in the cell cycle are well maintained by the cell cycle checkpoints. Breast cancer like many other cancers involves increased proliferation of cells, which results from the disruption in the cell cycle regulation by dysregulated CDKs. The dysregulation in the CDKs during BC leads to the uncontrolled proliferation of cancer cells, thus maintaining the progression of BC along with other factors. The studies have revealed that BC is associated with dysregulation of various cyclin/CDKs and their dysregulation does have a role in developing different phenotypes of BC.

In this book, Dr. Mir has discussed critical issues about the incidence, dysregulation of CDKs in breast cancer, treatment, and prevention of breast cancer using CDK inhibitors. The book has particularly highlighted the conventional as well as the newly developed treatment approaches including various CDK inhibitors in breast cancer. In this regard, the new innovative treatment method, especially the targeted therapies, CDK inhibitors, and the nanotechnology intervention approaches, has revolutionized the field of breast cancer. In this book, we will shed light on the role of cell cycle in cancer progression, role of CDKs and their dysregulation in breast cancer, their importance in BC progression and metastasis, their prognostic significance, and the specific CDK inhibitors used to overcome the BC progression.

Srinagar, Jammu and Kashmir, India Manzoor Ahmad Mir

Acknowledgments

"First and foremost, I would like to thank the Prime Mover Almighty in whom I have great faith."

Although it is difficult to thank everybody and interweave in words the genuine efforts made by the people directly or indirectly to make this book possible, I would like to take this opportunity to pen down my appreciation for a great number of people whose contribution in numerous ways immensely helped me to move towards my destination. It gives me immense pleasure to express my sense of gratitude and respect to my mentor Dr. Javed Naim Agrewala, whose constant support, utmost patience, invaluable advice, and guidance rekindled my interest in science from time to time. I will benefit for a long time to come from his sincerity, originality, and truthfulness, which has nourished my intellectual maturity. A special vote of thanks goes to Prof. Talat Ahmad and Prof. Neolofar Khan for their efficient guidance to work hard with sincerity, dedication, and devotion both in professional and personal life. The staff, scholars Mr. Umar Mehraj, Mr. Basharat, Mr. Bashir, Mr. Wajahat, Miss Hina Qayoom, Miss Hafsa Qadri, Miss Shazia Sofi, Miss Shariqa Jan, and the students at the Department of Bioresources deserve a special mention for all the help rendered at the hour of need.

I am also thankful to my soulmate Miss Sumaira Manzoor and my parents Mohammad Abdullah Mir, Zoona Begum and my in laws Mohd Ashraf Malik, and Posha Begum for their constant support, love, and care throughout the whole journey. I would like to mention here the affection and love showered by my angels Ayzel Manzoor and Aariz Manzoor throughout the writing process of the book. I am short of words to justify their care, affection, and untiring support showered by these very good friends.

Finally, the author would like to thank and acknowledge the Jammu and Kashmir Science Technology and Innovation Council (JKST&IC) for providing the financial support vide Project No. JKST&IC/SRE/885-87, dated 27-10-2021 sanctioned to Dr. Manzoor Ahmad Mir (Principal Investigator), and this book is part of the same project entitled "Targeting Drug Resistant Cancer Stem Cells by Combinational Delivery of Paclitaxel and Quercetin Zerumbone in Breast Cancer."

Dr. Manzoor A Mir

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Editor and Contributors

About the Editor

Manzoor Ahmad Mir M.Sc., Ph.D., FRSB Dr. Manzoor Ahmad Mir holds a master's degree in Zoology with Gold Medal from HNBG Central University and after qualifying prestigious national level CSIR-JRF-NET examination he worked jointly for his Ph.D. at Jawaharlal Nehru University, New Delhi, and CSIR-Institute of Microbial Technology Chandigarh in the field of Immunopathology. His basic research interests include molecular immunology and immunobiology of microbes (MTB). His Ph.D. research title was "Role of co-stimulation in the survival of intracellular pathogens." He has published more than 50 high-impact research papers and book chapters, in recognition of which he has received several awards and royalties from international publishing houses. Dr. Manzoor has authored more than 15 books with international publishers. He is on the editorial board and reviewer of some prestigious journals and has been an invited speaker at various scientific meetings/conferences within India and abroad. He is member of many scientific organizations and societies like American Association of Cancer Research, Fellow of Royal British Society, International Immunology Association, Indian Cancer Society, Indian Immunology Society, Indian National Science Association, IMMUNOCON, etc. Dr. Manzoor was awarded Teachers Associateship for Research Excellence (TARE) Fellowship by DST Govt. of India. He has been awarded Summer Research Fellowship Programme (SRFP-2019) by the Indian Academy of Sciences and the National Science Academy. He was awarded a research project on combination therapy

in breast cancer by J&K Science Technology and Innovation. Dr. Manzoor has developed Massive Open Online Courses (MOOCs) in Immunology and Endocrinology for UG students sanctioned by UGC-Consortium for Educational Communication (CEC) SWAYAM Ministry of HRD, Govt of India. He has research and teaching experience of 15 years. He currently teaches Cancer Biology and Immunology at the Department of Bioresources, School of Biological Sciences, University of Kashmir. He is presently heading the Department of Bioresources, University of Kashmir. Department of Bioresources, School of Biological

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Introduction to Breast Cancer 1

Manzoor Ahmad Mir and Hina Qayoom

1.1 Introduction

Breast cancer is the leading cause of death among women worldwide (Fig. [1.1](#page-15-0)) (Spitale et al. [2009\)](#page-35-0). It is a heterogenous disease that comprises many different subgroups that differ in their distinct pathological features and clinical significance (Tang et al. [2008](#page-35-0); Desmedt et al. [2009;](#page-32-0) Sotiriou and Pusztai [2009](#page-35-0); Spitale et al. [2009;](#page-35-0) Iwamoto and Pusztai [2010](#page-33-0); Reis-Filho et al. [2010;](#page-34-0) Weigelt et al. [2010\)](#page-35-0). These different subgroups with distinct histopathological and biological features lead to different responses to treatments and hence require different therapeutic strategies. Therefore, specific grouping of breast cancer into its subtypes is imperative for therapeutic decision-making and personalized treatment (Blows et al. [2010\)](#page-31-0). The high-throughput screening of gene expression analysis like microarrays has revealed that the response of tumor cells to treatment is determined by intrinsic molecular characteristics than anatomical prognostic factors (Sotiriou and Pusztai [2009;](#page-35-0) Iwamoto and Pusztai [2010;](#page-33-0) Reis-Filho et al. [2010](#page-34-0); Weigelt et al. [2010](#page-35-0)). This stratified and personalized approach accordingly will prove beneficial to increase the accuracy and reproducibility of diagnosing disease with better efficacy (Pusztai et al. [2008\)](#page-34-0). A study was conducted using 456 cDNA clones, in accordance to which breast cancer is classified into five intrinsic subtypes such as Luminal A, Luminal B, HER2 overexpression, basal, and normal-like tumors (Perou et al. [2000;](#page-34-0) Sørlie et al. [2001\)](#page-35-0). The fundamental rationale behind this classification is the underlying differential expression patterns among various breast cancer subtypes at the molecular level (Sørlie et al. [2003\)](#page-35-0). These subtypes have been characterized by their immunohistochemistry status (IHC) as shown in Table [1.1](#page-15-0).

1

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Fig. 1.1 Probability (%) of developing invasive breast cancer selected by age intervals (American cancer society, 2022)

Intrinsic subtype	Grade	IHC status	Outcome	Incidence
Luminal A	1/2	$ER+, PR+, HER2-, Ki67-$	Good	23.7% [p1] Cheang et al. (2009)
Luminal B	2/3	$ER+, PR+, HER2-, Ki67+$ $ER+, PR+, HER2+, Ki67+$	Intermediate Poor	38.8% [p1] Cheang et al. (2009) 14% [p1] Cheang et al. (2009)
HER ₂ overexpression	2/3	$[ER-PR-]$ HER2+	Poor	11.2% [p1] Cheang et al. (2009)
Basal	3	[ER-PR-] HER2-, basal $market +$	Poor	12.3% [p1] Cheang et al. (2009)
Normal-like	1/2/3	$ER-PR-$ HER2-, Ki67-	Intermediate	7.8% [p2] Smid et al. (2008)

Table 1.1 Breast cancer subtypes according to IHC status

World Health Organization (WHO) has defined breast cancer into 21 types based on histomorphology and growth patterns (Dieci et al. [2014\)](#page-32-0). Breast cancer has been characterized into two broad categories such as in situ carcinoma and invasive carcinoma. In situ carcinoma include: Ductal carcinoma in situ (DCIS) and lobular carcinoma in situ (LCIS); both of these are differentiated by cytological features and growth patterns with DCIS further classified by tumor architecture (Gautam and Malhotra [2010\)](#page-32-0). Whereas, the invasive carcinoma subtypes are characterized on the basis of their structural form (tubular, medullary, and papillary), by their secretion (mucinous/colloid), and architecture.

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1.2 History of Breast Cancer

Breast cancer as a disease has been studied since time immemorial. The history of this disease dates back to more than 3500 years ago in Egyptian history (Hellman [1993\)](#page-32-0). Hippocrates (Father of Western medicine) described breast cancer as a humoral disease in 460 BC. Galen (131–203) described the secretion of black bile as the cause of breast cancer in the body. After the onset of the sixteenth century, new technologies were invented in surgery. Various experts suggested the surgical removal of pectoral muscle along with breasts (Guillemeau, 1550–1601), Vesalius (1514–64) used ligatures, Severinus (1580–1659) supported the removal of axillary nodes along with breasts as he was of the opinion that axillary nodes are part of the malignant process. A revolution came when Descartes (1596–1650) gave a lymph theory of origin that was later on perpetuated by John Hunter (1728–93), their theory was conceptually better than black bile theory and encouraged more and more surgeons to remove the already affected lymph nodes. In 1757, a French surgeon Henry LeDran made progressions to the theory that breast cancer at its earliest stage is a local disease, which then spreads to lymph nodes and then enters the circulation. This theory offered the hope that surgery might cure the disease if performed early. Other surgeons also embraced this concept. In 1871, Henry Arnott also reiterated the local origin of breast cancer and advocated the principle of curative surgery with en bloc operation at the earliest moment (Fig. [1.2](#page-17-0)) (Hellman [1993](#page-32-0)).

1.3 Surgery

The radical mastectomy approach was begun at the Johns Hopkins Hospital, Baltimore in 1882. The operation was performed by removing the skin and breast tissues beneath the pectoralis muscles with axillary lymph nodes. This surgery proved successful as it improved the survival of patients by reducing the relapse of the disease by 6%, previously known to range between 51–82% earlier on (Halsted

400 A.D. - 1900

a) Hippocrates (460-370 BC): "Father of medicine"

e) Henri François Le Dran (1685-1770): "Metastasis" Theory

b) Galen (131-203 A.D.): The humoral theory

f) Johannes Peter Müller (1801-1858): "The Blastema Theory"

c) René Descartes (1596-1650): The lymph theory

g) William Stewart Halsted (1852 - 1922): "Father of American Surgery." First radical mastectomy

d) Bernardino Ramazzini (1633-1714): "Father of Occupational medicine"

h) George Thomas Beatson (1848 - 1933): "The antihormonal theory. Oophorectomy

Fig. 1.2 Pioneers in the history of breast cancer (Lukong [2017\)](#page-33-0)

[1907\)](#page-32-0). However, this radical surgery was associated with several problems like deformity in shape and sensory abnormalities of the arm and chest with lymphedema. Therefore, in this view by the onset of 1912, surgeons led by J.B. Murphy discontinued the resection of the pectoralis muscles (Murphy [1912](#page-34-0)). In 1948, in place of surgical removal of breast tissues, focus was shifted toward breast conservation with the advent of adjuvant radiation therapy (McWhirter [1948\)](#page-33-0).

Later on, several studies were published in 1989 that approved the trend to move toward minimum surgery with more thrust on preservation of an essentially normal breast. Nowadays, surgical management of patients with breast cancer involves both primary tumors and region-specific lymphatics. The primary abnormal tissues are managed either by mastectomy (surgical removal of complete one or both breasts) or lumpectomy (removal of only abnormal tumors) with removal of lymph nodes or their biopsy. For treating locally advanced breast cancer neoadjuvant chemotherapy is the first step followed by modified radical mastectomy or lumpectomy (breast conservation therapy) according to the treatment requirement (McWhirter [1948](#page-33-0)) Fig. [1.3.](#page-18-0)

Fig. 1.3 Surgical resection of breast cancer tissue by both: Mastectomy (complete removal of breasts) and Lumpectomy (removal of abnormal tissues from breast)

1.4 Radiation Therapy

With the onset of the twentieth century, radiotherapy came into existence and was reported to be effective in treating breast cancer. In 1932, Pfahler reported that on application of radiotherapy 53 out of 1022 patients had early disease recovered from breast cancer. Therefore, it was concluded that radiotherapy was significant for patients with weak response for surgery or who refused to go under surgery (Pfahler [1932\)](#page-34-0). In the mid of the twentieth century, Robert McWhirter was the first proponent of radiotherapy as he achieved a 5-year survival rate of 62% from treating nearly 759 patients with radiation therapy that was comparable to standard radical mastectomy. He also concluded that radiation therapy will prove beneficial in treating nodal disease (McWhirter [1948\)](#page-33-0). Baclesse from France clearly concluded radiation therapy was most effective in treating breast cancers with keeping tumor size into consideration the likelihood of local control (Baclesse [1984\)](#page-31-0). In support of it, a first randomized controlled trial of conservative surgery and radiotherapy versus radical mastectomy was performed at Guy's Hospital London by Atkins et al. in 1972 (Atkins et al. [1972\)](#page-31-0). The three major studies such as the Danish study, the Canadian study, and the Danish study on the significance of local control and its effect on survival of patients have highlighted the importance of micro metastases in locoregional lymphatics are a potent source of systemic metastases, suggesting that

Fig. 1.4 Radiation therapy for breast cancer patients

locoregional metastatic eradication enhances the survival. In today's world, the radiation treatment of breast cancer has evolved from 2D to 3D Conformal Radiotherapy (3DCRT) and to accelerated partial breast irradiation (APBI) aiming to reduce normal tissue toxicity and overall treatment (Overgaard [1999](#page-34-0); Overgaard et al. [1997](#page-34-0); Ragaz et al. [1997](#page-34-0)) Fig. 1.4.

1.5 Systemic Therapy

The systemic therapy includes three main therapies for breast cancer treatment. The oldest being endocrine therapy (ET) for patient's expressing the three hormonal receptors, the second being chemotherapy (CT) and targeted therapy (TT) that include an increased list of new agents targeted against molecules responsible for tumor progression.

1.6 Hormone Therapy

By the end of the nineteenth century, Thomas Beatson had demonstrated the hormonal dependency of breast cancer in patients after their surgical oophorectomy. After which the importance of hormones was also confirmed by the use of hypophysectomy and adrenalectomy (Huggins and Bergenstal [1952;](#page-33-0) Luft and Olivecrona [1955;](#page-33-0) Beatson [1989\)](#page-31-0). With the discovery of estrogen receptor (ER) in breast tumor

Fig. 1.5 Hormonal therapy to block and prevent tumor growth

the endocrine surgery has largely been replaced by endocrine therapies. The antagonist of estrogen receptor tamoxifen has replaced surgical oophorectomy (Mir et al. [2020\)](#page-34-0). Similarly, hypophysectomy has been replaced by luteinizing hormonereleasing hormone (LHRH) agonists and adrenalectomy by aromatase inhibitors. Quite recently, the hormone receptors (estrogen and progesterone) and human epidermal growth factor receptor 2 (HER2) status identify key molecular subtypes of human breast tumors and nowadays guide choice of therapy. For patients with hormone-receptor positive (ER, PR) breast cancer, hormone therapy with tamoxifen and aromatase inhibitors (anastrozole, letrozole, exemestane) or ovarian suppression (in premenopausal patients) represent the backbone of treatment along with chemotherapy (Mir et al. [2020](#page-34-0)). For patients with hormone-receptor positive breast cancer the introduction of hormone receptor inhibitors has improved the prognosis of breast cancer patients (Dawood et al. [2008\)](#page-32-0) Fig. 1.5.

1.7 Chemotherapy

During the fifth and sixth decade of the twentieth century, chemotherapy came into existence for the treatment of patients with several types of advanced solid tumors and hematologic neoplasms. Earlier breast cancer was treated using single-agent chemotherapy in hormone-resistant metastatic setting (Qayoom and Bhat [2020;](#page-34-0) Mehraj et al. [2021a\)](#page-33-0). The chemotherapeutic agents were cyclophosphamide, phenylalanine mustard, vincristine, vinblastine, methotrexate and 5-fluorouracil. However, later on combination chemotherapy was approached, the first being Cooper's regimen (Akram and Siddiqui [2012;](#page-31-0) Qayoom et al. [2021a](#page-34-0)). In view of this, later on a protocol was introduced known as CMF protocol (cyclophosphamide-Methotrexate5-fluorouracil) at the Milan Cancer Institute, Bonadonna (De Lena et al. [1975;](#page-32-0) Brambilla et al. [1976](#page-31-0)). The CMF protocol was successful to treat node positive breast cancer patients for its ease in administration and low toxicity. Apart from CMF another regimen came into being, i.e. the anthracycline-based regimens that became the mainstay of adjuvant chemotherapy for early breast cancer since the 1990s (Bonadonna et al. [1976\)](#page-31-0).

1.8 Targeted Therapy

The cancer cells are known to utilize various mechanisms for their growth, proliferation, invasion, and metastasis including evasion from apoptosis, angiogenesis, continuous division, and self-sufficiency in growth signals. Therefore, targeting the molecules involved in breast cancer is a reliable strategy (Table [1.2\)](#page-22-0) (Mir [2015\)](#page-33-0). Tamoxifen, the first anti-cancer agent in targeted therapy in breast cancer management has proven to be beneficial. This was followed by the development of Trastuzumab a recombinant humanized monoclonal antibody that inhibited HER-2/ neu protein that also proved to be a significant cornerstone. As it was previously reported that 20–25% of breast cancer patients have HER2 overexpression that is directly involved in the dysregulation of the intracellular mitogenic signaling that is known to lead to aggressive tumor behavior (Slamon et al. [1987](#page-35-0)). Similarly, Bevacizumab was approved in combination with paclitaxel as a first-line treatment against vascular endothelial growth factor (VEGF) receptor family in HER2 negative metastatic breast cancer (Folkman [1995;](#page-32-0) Miller et al. [2007](#page-33-0)). Another, HER1/2 inhibitor Lapatinib in combination with capecitabine was approved to be beneficial in trastuzumab-pretreated metastatic breast cancer patients (Cetin et al. [2014\)](#page-32-0). Recently published data indicate that a combination of two biological agents such as lapatinib and trastuzumab can be effective as a treatment beyond trastuzumab-related progression (Burris Iii [2004;](#page-32-0) Konecny et al. [2006\)](#page-33-0). PARP inhibitors (poly ADP-ribose polymerase inhibition), mediated by a new class of small molecules, are an interesting area of investigation (Muñoz-Gámez et al. [2005;](#page-34-0) Drew and Calvert [2008](#page-32-0)). Future directions of research in HER2-positive breast cancer should focus on the evaluation of novel antibodies (pertuzumab, T-DM1), and irreversible TKIs (neratinib, BIBW 2992) and inhibitors of HER2-related downstream signaling (mTOR, TORC 1/2, PI3K/Akt), and of receptor cross-talk between estrogen receptor and insulin-like growth factor (IGFR) (Mir and Agrewala [2008;](#page-34-0) Mehraj et al. [2022b;](#page-33-0) Mehraj et al. [2022c](#page-33-0)) Fig. [1.6](#page-23-0).

1.9 Intrinsic Molecular Sub-Typing of Breast Cancer

Since the last fifteen years, breast cancer has been subdivided into four main molecular subtypes: Luminal A, Luminal B, HER2-enriched, and Basal-like (Fig. [1.7](#page-24-0)).

Gene	Abnormality in breast cancer	Location	Function	Reference
P ₅₃	Mutations in 30% of breast cancers	17p13.1	Tumor suppressor gene	Varna et al. (2011) and Hientz et al. (2017)
NME1	SNP of NME1 gene associated with higher breast cancer-specific mortality and patients with an early-stage cancer	17q21.3	Metastasis suppressor gene	Ou et al. (2008) and Roberts et al. (2017)
RB1	Rb1 inactivation in 20–35% of breast cancers	13q14.2	Tumor suppressor gene	Cheng et al. (2010)
PTEN	Loss of PTEN protein expression in up to 33% of breast cancers	10q23.3	Tumor suppressor gene	Loibl et al. (2016)
ATM	Mutation of ATM increases the risk of two-threefold in general and five-ninefold in women under age 50	11q22. q23	Tumor suppressor gene	Choi et al. (2016)
CDH ₁ (E-cadherin)	Inactivation of CDH1 in 85% of lobular breast carcinomas	16q22.1	Tumor suppressor gene	Desmedt et al. (2016)
FHIT	The rate of FHIT hypermethylation in breast cancer was 8.4 fold higher than that in normal breast tissues	3p14.2	Putative tumor suppressor gene	Su et al. (2015)
Maspin	Expression of maspin in $20-80\%$ invasive breast cancer	18q21.33	Tumor suppressor genes	Berardi et al. (2013)
PIK3CA	Mutations in 37% of the HR ⁺ / HER2- metastatic and 40% of early breast cancer	3q26.3	Oncogene	Lefebvre et al. (2016)
CCND1 (cyclin D1)	Overexpression in 50% of breast tumors	11q13	Oncogene	Montalto and De Amicis (2020)

Table 1.2 Additional genes associated with breast cancer

1.9.1 Luminal A and Luminal B Subtypes

tion/cell cycle related genes (Qayoom et al. [2022;](#page-34-0) Sofi et al. [2022a,](#page-35-0) [2022b\)](#page-35-0) or Luminal A and Luminal B subtypes are mainly classified at the RNA and protein levels on the basis of their involvement in the overexpression of cell cycle/proliferation-related and luminal/hormone-regulated pathways. In comparison to Luminal A tumors, Luminal B tumors are distinguished by their higher expression of proliferaproteins; for instance, AURKA and MK167 and lower expression of several luminal-related genes or proteins such as the progesterone receptor (PR) and FOXA1 but not the estrogen receptor (Prat et al. [2013\)](#page-34-0), which is found similarly expressed between the two luminal subtypes and can only help distinguish luminal

Fig. 1.6 Different forms of targeted therapy to prevent tumor growth

from non-luminal disease. Moreover, at the DNA level Luminal A tumors show a lower number of mutations across the genome, lower number of chromosomal copynumber changes (e.g., lower rates of CCND1 amplification), less TP53, GATA3 and more PIK3CA and MAP3K1 mutations compared to Luminal B tumors (Cancer Genome Atlas Network et al. [2012](#page-32-0)).

1.9.2 The HER2 Enriched Subtype

The HER2-enriched subtype is mainly categorized for the upregulation of HER2 related and proliferation-related genes and proteins (e.g., ERBB2/HER2 and GRB7), intermediate expression of luminal-related genes and proteins (e.g., ESR1 and PGR), and low expression of basal-related genes and proteins (e.g., keratin 5 and FOXC1). This subgroup of breast cancer is known to feature majority of mutations at the DNA level, for instance TP53 and PIK3CA mutations, respectively. However, the HER2 enriched tumors are known to have high frequency of APOBEC3B-associated mutations (Roberts et al. [2013\)](#page-35-0). APOBEC3B is a subclass of APOBEC cytidine deaminases, which converts cytosine to uracil and has been implicated as a source of mutations in many cancer types (Kuong and Loeb [2013\)](#page-33-0).

Fig. 1.7 Classification of breast cancer into various subtypes

1.9.3 The Basal-Like Subtype

The basal-like breast cancer subtype at the RNA and protein level is characterized by the overexpression of certain proliferation-related genes (e.g., MK167) and keratins typically expressed by the basal layer of the skin (e.g., keratins 5, 14, and 17), intermediate expression of HER2- related genes, and very low expression of luminal-related genes. Basal-like tumors constitute to be the subtype with second highest mutations across the genome with mostly hypomethylated, TP53, BRCA1, and PIK3CA mutated (Foulkes et al. [2003](#page-32-0); Prat et al. [2014\)](#page-34-0). In this subtype, ERBB2/ HER2 overexpression/amplification is found to be associated.

1.9.4 Triple-Negative Breast Cancer

Triple-negative breast cancer (TNBC) is another subtype defined by the lack of/or negative expression of hormone receptors (ER, PR, and HER2) (Wolff et al. [2014\)](#page-35-0). The basal-like subtype is often interchangeably used with TNBC. That is because of nearly 56% of gene expression of TNBC subtype overlaps with the basal-like breast cancer ranging from 60 to 90% (Perou et al. [2000](#page-34-0); Prat et al. [2015](#page-34-0)). This breast cancer subtype is the most complex, lethal, and aggressive of all subtypes. TNBC is highly invasive with reduced survival rates among the patients and higher mortality rates (Dent et al. [2007\)](#page-32-0). The aggressiveness of TNBC is attributed to their

Fig. 1.8 Lehmann's classification of triple-negative breast cancer (TNBC) and percentage distribution of each subtype

non-responsiveness toward endocrine therapy or molecular targeted therapy. Therefore, chemotherapy is the main systemic treatment against TNBC, however, the higher metastatic load results in tumor recurrence (Fig. 1.8) (Chaudhary et al. [2018\)](#page-32-0).

1.10 TNBC Sub-Typing and Clinical Implications

In 2011, Lehmann et al. performed gene expression profiling of tumor samples and divided TNBC into six subtypes: basal-like 1 (BL-1), basal-like 2 (BL-2), mesenchymal (M), mesenchymal stem-like (MSL), immunomodulatory (IM), and luminal androgen receptor (LAR) (Table [1.3\)](#page-26-0) (Lehmann et al. [2011](#page-33-0)).

When comparing the Lehmann's classification with the previous intrinsic subtype BL1, BL2, IM, and M types correspond to basal-like types, MSL type is similar to normal-like type, and LAR shares characteristics with a part of the luminal or HER2 subtype (Fig. [1.9\)](#page-27-0) (Saha and Nanda [2016\)](#page-35-0).

1.10.1 Basal-Like Subtype

Due to the overexpression of genes related to the cell cycle and genes that respond to DNA damage, the basal-like subtype is known to have a strong proliferative ability (Sofi et al. [2022a,](#page-35-0) [2022b](#page-35-0)). This subtype can proliferate more readily than other subtypes, which is mostly related to the overexpression of the Ki-67 gene. According to reports, the majority of individuals with the basal-like subtype had germline BRCA gene mutations. As abnormalities in the homologous recombination repair

TNBC subtype	Genetic abnormalities	Mutations	Cell line
Basal-like 1	Cell cycle gene expression DNA repair gene (ATR-BRCA pathway) Proliferation genes	BRCA1; STAT4; UTX BRCA2: CTNND1; TOP2B; CAMK1G BRCA1: MAPK13; MDC1 TP53 BRCA1 PTEN; RB1; SMAD4; TP53 CDKN2A; TP53	HCC2157 HCC1599 HCC1937 HCC1143 HCC3153 MDA-MB- 468
Basal-like 2	Growth factor-signaling pathways (EGFR, MET, NGF, Wnt/β -catenin, IGF-1R) Glycolysis, gluconeogenesis Expression of myoepithelial markers	BRCA1 RB1; TP53 PTEN; TP53 CDKN2A; TP53; UTX TP53	SUM149PT CAL-851 HCC70 HCC1806 HDQ-P1
Immunomodulatory	Immune cell processes (CTLA4, IL2, IL7 pathways, antigen processing/presentation) Gene signature for medullary BC (rare TNBC with a favorable prognosis)	TP53; CTNNA1; DDX18; HUWE1; NFKBIA APC; BRAF; MAP 2 K4; RB1	HCC1187 DU4475
Mesenchymal-like	Cell motility Cell differentiation Growth factor signaling EMT	PTEN; RB1; TP53 PIK3CA TP53	BT-549 $CAL-51$ CAL-120
Mesenchymal stem-like	Similar to M+ Low proliferation Angiogenesis genes	CDKN2A; HRAS; TP53 NF1; TP53 PIK3CA; TP53 HRAS BRCA1; TP53 BRAF; CDKN2A; KRAS; NF2; TP53; PDGFRA	HS578T MDA-MB- 157 SUM159PT MDA-MB- 436 MDA-MB- 231
Luminal androgen receptor	Androgen receptor gene Luminal gene expression pattern Molecular apocrine subtype	PIK3CA; CDH1; PTEN PIK3CA PIK3CA PIK3CA; RB1; TP53; PTEN	MDA-MB- 453 SUM185PE HCC2185 CAL-148

Table 1.3 Genomic TNBC subtypes and assignment of TNBC cell lines to subtypes

Lehmann et al. ([2011\)](#page-33-0)

pathway and genomic instability are thought to be caused by BRCA gene mutations. Regardless of the BRCA mutation in the basal-like subtype, the resulting genomic instability is caused (Lehmann et al. [2011](#page-33-0)). It can be deduced that individuals with

Fig. 1.9 Main characteristics of different TNBC subtypes

the basal-like subtype may benefit clinically from treatments that target highly proliferative tumors based on the distinctive molecular characteristics of the basallike type. Patients with the basal-like subtype showed a greater pCR rate than those with another subtype, supporting this idea (Yin et al. [2020\)](#page-35-0). Additionally, therapeutic effects may result from anti-cancer medications that target DNA damage response pathways (such as platinum-based chemotherapy and PARP inhibitors).

1.10.2 Immunomodulatory (IM) Subtype

The IM subtype of TNBC is a different subtype of TNBC that exhibits elevated expression of several genes involved in immune cell functions such as cytokine signaling, immune signal transduction pathway (including NF-B, JAK/STAT, and tumor necrosis factor (TNF) signaling), and cell signaling. Low M2-like macrophages are a feature of this subtype (Mehraj et al. [2022d;](#page-33-0) Lehmann et al. [2011;](#page-33-0) Burstein et al. [2015](#page-32-0); Jézéquel et al. [2015\)](#page-33-0). Because the increase in tumorinfiltrating lymphocytes (TILs) has been linked to the IM subtype of TNBC having a better prognosis than other TNBC subtypes (Jézéquel et al. [2015;](#page-33-0) Ray et al. [2021\)](#page-34-0), these subtypes are significant targets for tailored therapy (Burstein et al. [2015](#page-32-0); Mir and Mehraj [2019](#page-34-0); Thomas et al. [2021\)](#page-35-0).

However, it is well known that cancer cells elude immune response primarily by MYC amplification or PI3K pathway activation and upregulation of immune checkpoint markers in the presence of scarce immune cell infiltration. The immune checkpoint inhibitors, such as programmed death ligand 1 (PD-L1) and cytotoxic T lymphocyte-associated antigen 4 (CTLA-4), have recently attracted a lot of attention for the treatment of cancer (Zhao et al. [2020;](#page-35-0) Mehraj et al. [2021c](#page-33-0)). Further research is therefore important given the improved prognosis of this subtype and the immune checkpoint inhibition (Lee et al. [2020\)](#page-33-0).

1.10.3 Mesenchymal-Like Subtype

A new molecular subtype of breast cancer known as the "claudin low (CL)" subtype was described by Herschkowitz et al. in 2007 (Herschkowitz et al. [2007\)](#page-33-0). This subtype was linked to claudin cluster downregulation (genes involved in tight junctions and cell–cell adhesion, including Claudins 3, 4, 7, Occludin, and E-cadherin). This subtype also includes genes related to immune system functions, metastases (EMT), and mammary stem cells (Mir and Agrewala [2007;](#page-34-0) Hennessy et al. [2009;](#page-33-0) Prat et al. [2010](#page-34-0); Sabatier et al. [2014](#page-35-0); Mehraj et al. [2021b;](#page-33-0) Mir et al. [2022\)](#page-34-0). However, this particular subtype had similarities to the basal-like subtype but differed in that it did not have highly expressed genes for proliferation (Prat et al. [2010;](#page-34-0) Mehraj et al. [2022a\)](#page-33-0). Although this specific subtype displayed a CD44+/ CD24/low expression profile and high expression of ALDH1A1 stem cell-like characteristics (Prat et al. [2010;](#page-34-0) Qayoom et al. [2021b](#page-34-0)).

Compared to luminal A and other breast cancer subtypes, this CL subtype has showed poor clinical outcomes and poor reactivity to chemotherapy (Prat et al. [2010;](#page-34-0) Yersal and Barutca [2014](#page-35-0); Dias et al. [2017](#page-32-0)). Numerous genes involved in DNA repair, cell cycle regulation, immunological signaling, and abnormal expression of genes related to osteocytes (OGN) and adipocytes have been reported to be underexpressed in the MSL subtype (ADIPOQ, PLIN1). These results also demonstrate the CL subtype's commonality (Burstein et al. [2015\)](#page-32-0). Furthermore, the MSL subtype's histological findings showed discohesive tumor cell growth in addition to metaplastic characteristics (Ray et al. [2021\)](#page-34-0).

1.10.4 Luminal Androgen Receptors (LAR) Subtype

Similar to the luminal subtype, the LAR subtype is estrogen receptor negative and abundant in hormone-regulated pathways (Lehmann et al. [2011\)](#page-33-0). This subtype is also abundant in the metabolism of porphyrins, steroid biosynthesis, androgen/ estrogen metabolism, as well as the peroxisome proliferator-activated receptor (PPAR) signaling pathway (Liu et al. [2016;](#page-33-0) Mehraj et al. [2022a](#page-33-0)). A molecular apocrine subtype with a strong apocrine characteristic and positive AR was previously described by Farmer et al. ([2005\)](#page-32-0). This collection of activated genes is involved in metabolism, particularly the generation of fatty acids and lipids. The LAR subtype is connected to a low Ki-67 proliferation index, apocrine differentiation, and a good histologic grade (Ray et al. [2021](#page-34-0)).

The previously discovered molecular apocrine subtype is part of the LAR subtype (Lehmann et al. [2011\)](#page-33-0). Additionally, this subtype is more prevalent in tumors that are HER2-positive (Farmer et al. [2005\)](#page-32-0), and a recent study found that 75% of HER2 enriched breast cancers belong to the LAR subtype (Bareche et al. [2018](#page-31-0)). Despite being a subtype of TNBC, it demonstrates its involvement in the activation of the estrogen signaling system, supporting the idea that treating this subtype with antiestrogen, anti-androgen, and anti-HER2 therapy may be helpful (Sanga et al. [2009;](#page-35-0) Mir [2015](#page-33-0); Liu et al. [2016\)](#page-33-0). Since the projected values of AR expression are still unknown, it has not yet been possible to identify and categorize patients who will benefit from AR targeted therapy (Anestis et al. [2020](#page-31-0)). Two recent phase II clinical trials showed that AR blocking therapy benefited patients with AR-positive TNBC clinically (Gucalp et al. [2013;](#page-32-0) Traina et al. [2018](#page-35-0)).

1.11 Brand-New Drugs

1.11.1 Fulvestrant

For patients with locally advanced or metastatic breast cancer who test positive for ER, tamoxifen medication is typically the first line of treatment. Tamoxifen has some estrogenic activity, which can lead to endometrial hyperplasia or cancer and put patients at risk for thrombosis, as was previously mentioned. However, unlike tamoxifen, which is a partial agonist, fulvestrant is fundamentally a pharmacological antagonist. The term "pure" anti-estrogen is used to describe it. The medication, known as a selective estrogen receptor down-regulator (SERD), binds directly to the ER, blocking ER dimerization and accelerating the receptor's fast degeneration (Wakeling [2000](#page-35-0)).

AstraZeneca's Fulvestrant was given FDA approval in 2002 as a second-line endocrine therapy for postmenopausal women with metastatic hormone-receptor positive breast cancer whose disease had progressed after receiving anti-estrogen therapy.

Aromatase inhibitors: In premenopausal women, the main source of estradiol (estrogen) is the ovaries. However, in postmenopausal women, the ovaries stop producing estrogen, and estrogen is instead synthesized in a number of extragonadal locations, such as the breast adipose tissue (Samavat and Kurzer [2015\)](#page-35-0). In postmenopausal women, estrogens are primarily produced through the conversion of adrenal androgens into estrone and estradiol, with estradiol serving as the major physiological hormone. The rate-limiting and last stage of this estrogen production is catalyzed by the enzyme aromatase.

By inhibiting the activity of this enzyme, aromatase inhibitors (AIs) reduce the amount of estrogen that some breast tumors can use as fuel (Chumsri et al. [2011\)](#page-32-0). AIs are well tolerated and have been demonstrated to be more effective than the antiestrogen tamoxifen in lowering the risk of breast cancer recurrence and spread. The first of a new generation of AI medications, letrozole (commonly known as Femara), was authorized by the FDA in 2005. The Novartis-produced letrozole was initially authorized for long-term usage in postmenopausal women who had finished five years of tamoxifen therapy. Clinical trials showed that the medication lowers the chance of breast cancer spread and recurrence even more than tamoxifen does by itself.

Another FDA-approved AI, anastrozole (made by AstraZeneca and sold under the brand name Arimidex), has been demonstrated to prolong survival and lessen cancer symptoms in postmenopausal women with advanced breast cancer (Clarke and Khosla [2009\)](#page-32-0). Raloxifene, which had been prescribed to postmenopausal women since 1997 to prevent and treat osteoporosis, was later discovered to be equally as effective as tamoxifen in lowering the chance of developing invasive breast cancer. Although it can be used to treat non-invasive breast cancer and lower the chance of developing it, raloxifene also works by limiting the effects of estrogen on breast tissue (Clarke and Khosla [2009](#page-32-0)).

1.11.2 HER2 Blockers

Lapatinib, also marketed under the name Tykerb by its maker GlaxoSmithKline, received FDA approval in 2007 for the treatment of HER2-positive patients whose conditions had stopped responding to Herceptin. HER2 is overexpressed in around 25% of breast tumors, and as was already established, this overexpression results in a more aggressive phenotype and a poor prognosis. A receptor tyrosine kinase called HER2 sends signals to support various cellular functions, including cell division. Lapatinib blocks the tyrosine kinase activity of HER2 and HER1, which reduces these receptors' signaling.

The medication was also authorized for use in patients with advanced breast cancer whose tumors overexpressed the HER2 protein in conjunction with the medication capecitabine. For individuals with HER-2 positive breast cancer, lapatinib and letrozole were also approved as an initial treatment in 2010 (Rimawi et al. [2015](#page-35-0)).

1.12 Conclusion

Breast cancer is women the leading cause of mortality among women worldwide. Being a heterogenous disease, it comprises several subgroups that differ in their characteristic features and their importance in the disease. The complexity of the disease varies according to the subtypes such as: Luminal A, Luminal B, HER2 overexpression, Basal, and normal-like. Among the varied subtypes triple-negative breast cancer (TNBC) is the most complex and lethal subtype of breast cancer. TNBC itself consists of several subtypes such as: Basal-like 1/2, immunomodulatory, mesenchymal-like, mesenchymal stem-like, and luminal androgen receptor.

1.13 Further Readings

For more insights about the topic we would suggest detailed findings from the books of (Mir MA, 2022) [https://doi.org/10.1016/C2021-0-02565-7,](https://doi.org/10.1016/C2021-0-02565-7) [https://doi.org/10.](https://doi.org/10.1016/C2022-0-00074-X) [1016/C2022-0-00074-X](https://doi.org/10.1016/C2022-0-00074-X) and (Mir MA, 2021) [https://doi.org/10.52305/WXJL6770,](https://doi.org/10.52305/WXJL6770) and from the cancer.net website on the following mentioned below links,

<https://www.cancer.net/cancer-types/breast-cancer/types-treatment> <https://discovery.ucl.ac.uk/id/eprint/1472740/>

[https://www.jmedsciences.com/doi/JMEDS/pdf/10.5005/jp-journals-1004](https://www.jmedsciences.com/doi/JMEDS/pdf/10.5005/jp-journals-10045-00138) [5-00138](https://www.jmedsciences.com/doi/JMEDS/pdf/10.5005/jp-journals-10045-00138)

For diagrammatic illustrations, descriptive tables (Lazzeroni, 2012) [http://www.](http://www.eurekaselect.com/article/49928) [eurekaselect.com/article/49928](http://www.eurekaselect.com/article/49928)

See video links on over all status of Cancer, its various types, current new treatment possible options available

<https://www.sciencedirect.com/science/article/pii/S205970292032278X>

The readers can have a look upon the following video YouTube links for the better understanding of the chapter:

<https://youtu.be/wIsdjfwPUxY>

<https://youtu.be/SVjJt984PlU>

[https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=9hgrfXleNsM)=9hgrfXleNsM

[https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=ZWqfoBj2bsA)=ZWqfoBj2bsA

<https://www.sciencedirect.com/science/article/pii/S221464741630054X>

<https://www.ncbi.nlm.nih.gov/pmc/articles/PMC5715522/>

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Current Treatment Approaches to Breast
Cancer

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2.1 Introduction

The treatment of breast carcinoma is comprehensive. Early detection of breast cancer has a lesser risk of progression, a minor rate of reappearance, and a high probability of survival (McPhail et al. [2015](#page-62-0)). Timely detected breast carcinoma may be treated with local and systemic approaches (Anampa et al. [2015](#page-59-0)). However, invading, aggressive breast tumor survival rates often remain less (García Rodríguez et al. [2010;](#page-60-0) Mehraj et al. [2021a,](#page-62-0) [2021b](#page-62-0)). Treatment for malignancy necessitates a planned, holistic approach in which competent onco-surgeons and professional workers coordinate within a functional infrastructure to run the important therapies while decreasing the patient's economic and logistical constraints. These days, various options are available for the treatment of breast tumors, including radiotherapy, chemical therapy, surgical methods, hormonal therapy, immunotherapy, targeted therapy, and, novel, genetic therapy. The mortality graph due to breast cancer is flattening due to progression in screening, diagnosis, and treatment. Most female patients receive adjuvant systemic therapy because it has been shown to improve survival rates and provide better results, and molecular profiling to personalize cure based on the threat is now a medical reality for patients with HR-positive carcinoma. Breast-conserving surgeries with radiation therapy or mastectomy are options for most females with low-grade breast tumors. Fractional mastectomy, lumpectomy, quadrantectomy, and local excision are some of the options for breast-conserving surgery. Breast conservation therapy has been practiced for more than two decades, with these techniques followed by five to seven weeks of phototherapy. Breast cancer is a divergent disease at the molecular level, molecular trait includes

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activation of HER2 which is encoded by ERBB2, activation of hormone receptors (ER and PR), and mutations in BRCA genes. So, breast cancer diagnosis and treatment necessitate a multidisciplinary approach involving several subspecialties. Diagnostic imaging work-up and biopsy are critical in initiating a diagnosis and influencing surgical choices on primary tumor management, axillary staging, and the treatment sequence (Qayoom et al. [2022,](#page-63-0) p. 1122). After a breast tumor diagnosis is made, the degree of the illness is accessed, which directs whether systemic neoadjuvant therapy is necessary or not. Breast cancer in advanced stage IV is considered incurable unless there is a reason for palliative resection of the primary tumor, stage four is treated solely with systemic therapy. The death rate of breast tumors has lowered in the last few decades due to progression in chemotherapy for handling breast cancer (Osborne [1998](#page-64-0); Sofi et al. [2022\)](#page-64-0). In addition, the efficiency of biological therapies like as anti-HER2 monoclonal antibodies established the opportunity and significance of the molecularly targeted method in BC therapy (Gianni et al. [2011](#page-60-0)). TNBC which can invade other parts of the body is still a grave illness with few therapeutic options. The biological processes underlying the diverse treatment response in BC have been better understood in recent years. Tamoxifen and other endocrine medications have transformed breast cancer management, resulting in significant reductions in cancer-related deaths.

2.2 Breast Cancer Radiation Therapy

Radiation therapy is a cancer-killing and tumor-shrinking treatment that employs high-energy radiation. Elevated energy radiation destroys cellular genetic pools, preventing them from further growth and expansion (Jackson and Bartek [2009;](#page-61-0) Mehraj et al. [2021a](#page-62-0), [2021b](#page-62-0)). Even though radiation damages both cancerous and non-cancerous cells, the main objective of radiotherapy is to significantly augment the radiation amount to tumor cells while dropping risks to healthy cells located close to carcinoma cells or in their radiation path. Cancer cells generally are not as effective as normal cells in repairing radiation damage, which leads to the death of differing cancer cells (Begg et al. [2011\)](#page-59-0). Radiotherapy plays a key function in the management of both "non-invasive and invasive BC". In both therapeutic and palliative care settings, radiation therapy is beneficial in the management of breast malignancy (Bese et al. [2008\)](#page-59-0). Following "breast-conserving surgery" radiation of the breast yields oncologic results comparable to those of a mastectomy in early illness (Fisher et al. [2002;](#page-60-0) Veronesi et al. [2002\)](#page-64-0). In individuals with advanced-stage cancer, adjuvant locoregional radiation to the breast wall and nearby lymphatic nodes lowers the risk of local and distant recurrence with a benefit to diseasespecific overall survival (Braunstein et al. [2017\)](#page-60-0). This advantage is enhanced by risk factors including node positive, adolescence, triple-negative molecular markers, high-grade cancer as well as lymph vascular invasion are present (Vrieling et al. [2017;](#page-64-0) Mir et al. [2020\)](#page-62-0). Once radiation exposure levels are raised to include regional disease, the danger of toxicity, particularly to the "lungs and heart" rises. Providing radiation to patients with MBC is beneficial in several cases (McGale et al. [2014\)](#page-62-0).

When surgical resection is not an option, palliative radiation can help with local control in cases of dermal recurrence or localized disease. More exact and homogeneous radiation therapy can be provided with advances in CT image analysis, simulation, patient monitoring, and delivery methods. After BCT, radiotherapy options include "hypo-fractionated, entire breast radiation, accelerated restricted breast radiation with external beam treatment beam therapy." Radiation can be delivered to the tumor spot mainly in two ways. External beam radiation from outside the body is transmitted to the tumor site by targeting high-energy rays (protons or particle radiation and photons). This is the most commonly used approach in clinical settings.

2.2.1 External Beam Radiation Therapy

Unless systemic chemotherapy is administered, teletherapy usually starts three to six weeks after surgical treatment. The simulation process is the first step in cancer treatment. Each patient receives a unique "breast boards, wing boards" or set of cradles or molds that can be customized. This ensures that the sufferer is in a consistent state for each treatment. Patients are usually positioned supine, with their torsos inclined 10–15 degrees. The shoulder is outwardly rotated and the ipsilateral arm is grabbed at about 100–120 degrees. At this moment, radio opaque wires are positioned as well as managed to secure beside the operational scars. The treatment field borders are then defined by the radiation oncologist to include breast target and if necessary, nearby lymph nodes, the stimulated CT is carried out. The iso-center is selected then patient's skin is marked with daily set-up marks. Treatment planning in three dimensions is carried out. The volumes of treatment and key structures are determined and defined. The best beam configurations are selected.

Tangential fields encompassing the most anterior thorax are commonly used for early-stage BC. "Level I and II lymph node chains" are examples of these fields. Though most of the levels I and II axillary nodes are to be included, although special attention must be paid to the tangent field margins particularly the contact between the cranial and posterior chest wall (Schlembach et al. [2001](#page-63-0)). Radio-therapy to the supraclavicular fossa with or without posterior axillary boost may be beneficial for patients who have un-dissected nodes, or one to more lymph node metastases, or one to three positive nodes. 4–6 MV-photon energy is typically employed to treat the breast and lymph nodes. Whole-breast radiation therapies are given five days a week, with a total dose of about "50 Gy" administrated in 25–28 fragments. Electrons are commonly used in the boost treatment. At 1.8–2 Gy per fraction, the "lumpectomy cavity" is strengthened in favor of additional 10–16 Gy. "Intensity-modulated radiation therapy (IMRT) or planning IMRT" for treatment of breast has been developed due to discoveries in radiation therapy design and delivery systems. With IMRT, the dosage of the "contralateral breast" is lowered (Borghero et al. [2007\)](#page-60-0). In comparison to standard radiotherapy, it has been shown that planning IMRT can produce more uniform plans with very few hot spots (Bamett et al. [2009](#page-59-0)) and (Herrick et al. [2008](#page-61-0)).

2.3 Breast Brachytherapy

"Breast brachy-therapy" was once used as "boost" after outside entire breast radiation therapy for treating the lumpectomy cavity. Utilization of "accelerated partial breast irradiation (APBI)" has now been adopted by various clinics either with various applications such as Contura multi-lumen balloon, SAVI, MammoSite balloon or with interstitial needle implants, or 3D conformal external "radio-therapy after breast-conserving surgery" as the sole mode for radiation treatment. Higher dosages of radiation per fraction deposited on the tumor bed by irradiating smaller volume. When particularly in comparison to daily whole breast external beam radiotherapy, this dramatically reduces treatment times and the patient's travel time. Even after breast-conserving surgery, "interstitial breast brachytherapy" was used efficiently for ten years. Proxima Therapeutics' MammoSite* balloon catheter has been sanctioned by the FDA in 2002 for intra-cavitary sufficient dosage rate of breast brachytherapy. A potential multi-center study assesses efficacy of the "MammoSite® balloon catheter" registered 70 patients in the beginning. Following that, only light to medium self-limited side effects were found in 43 patients who were eligible for the therapy (Keisch et al. [2003](#page-61-0); Mehraj et al. [2021a,](#page-62-0) [2021b\)](#page-62-0). Recently, the "American Society of Breast Surgeon" released the outcome of a registration study consisting of 1440-women who were allowed to treat with the "MammoSite® catheter after breast-conserving surgery." Frequency of "Ipsilateral BC as well as axillary rates were 2.15 percent and 0.36 percent" including both, after three years (Nelson et al. [2009](#page-63-0)). The balloon catheter has the benefits of being simpler to insert into the cavity, quite repeatable placement, and enhanced care comfort. It has the most widespread use as well as a prolonged track record.

One of the most recent brachytherapy devices in the market is the ClearPath™ multi-catheter device. The catheter is inserted via a single access point, but there is no need for a separate radiation source. In comparison to a single catheter system, using a multi-catheter hybrid can decrease dosage of normal breast cells and skin (Dickler [2009\)](#page-60-0). The "SAVI device" such as single-entry, multi-catheter applicator that enables "radiation oncologist" to preferentially head-on radiation through up to "11 catheter channels" enabling additional customized manipulation of the iso-dose partitions is another latest change to the internal radiotherapy option. The equipment consists of collection of extensible catheters which are arranged throughout the central-lumen. This device attempts to combine the benefits of "interstitial brachytherapy" consisting the convenience of a single-entry device (Scanderbeg et al. [2009\)](#page-63-0).

2.4 Breast Cancer Chemotherapies

Chemotherapy is the use of anti-cancer medications to treat tumor cells (Fig. [2.1\)](#page-40-0). Breast cancer treatment will be depending on a number of variables including overall health, medical history, age (including whether or not menstruation is present), type and stage of cancer, and sensitivity to prescribed drugs and protocols. Chemotherapy choices are typically given in cycles: a treatment for a pre-determined amount of

Fig. 2.1 Different kinds of chemo-therapeutic factors are used in the diagnosis of breast carcinoma

time followed by recovery phase and then another treatment. Before surgery, chemotherapy can be used to reduce the size of the tumor and, in some situations, allow for breast-conserving surgery rather than a mastectomy. It is routinely given after surgery and is sometimes given in a "dose-dense" manner.

2.4.1 Adjuvant Chemotherapy

For high-risk patients systematic chemotherapies are recommended. Innumerable number of chemotherapies are available such as anthracycline and a taxane. "Dox and cyclophosphamide" for 4-cycles accompanied by paclitaxel for 4-cycles (AC-T) is an effective treatment regimen in the United States. AC-T given in a constant dose (cd) manner after every two weeks with growth factor assistance after the chemotherapy cycle outperforms the previous schedule of every three weeks (Citron et al. [2003\)](#page-60-0). Other optimum AC schedule after taxane involves weekly 12-week paclitaxel or 4-cycle docetaxel every three weeks (Sparano et al. [2008](#page-63-0)) (Table [2.1](#page-41-0)). DAC, docetaxel with AC, is also another common option, but not more advanced than the above treatments and docetaxel is more toxic than paclitaxel, and particularly has increased rates of febrile neutropenia (Swain et al. [2013](#page-64-0); Mehraj et al. [2021a](#page-62-0), [2021b\)](#page-62-0).

The advantage of adjuvant chemotherapy was shown by meta-analyses to reduce recurring and breast cancer death rates in those suffering from HR negative BC with

Regimen	Dosage and schedule	Repetition	Cycles	
Dose-dense				
Doxorubicin	60 mg/m ² IV day 1	$\overline{4}$ Every 14 days		
Cyclophosphamide	600 mg/m^2 IV day 1			
After that				
Paclitaxel	175 mg/m ² IV day 1	$\overline{4}$ Every 14 days		
$AC \Rightarrow Taxol(T)$				
Doxorubicin	60 mg/m ² IV day 1	Every 21 days 4		
Cyclophosphamide	600 mg/m^2 IV day 1	Every 21 days $\overline{4}$		
After that				
Paclitaxel	175 mg/m ² IV day 1	Every 21 days	4	

Table 2.1 Breast cancer adjuvant chemotherapy treatment regimens

a higher level of benefit (Peto et al. [2012](#page-61-0)). Berry et al. have analyzed the data from cancer and leukemia Group B Also "US Breast Cancer Intergroup reduced 21–25 percent risk rate of individuals with HR-BC in comparison to 8-12 percent having HR+BC (Berry et al. [2006](#page-64-0)). Oncotype DX estimates chemotherapy benefits for patients with HR+ and node-negative BC. Individuals with elevated "Onco-type re-occurrence scores ≥31" leading to a significant decrease in recurrence risk with chemo-therapy (relative risk—0.26), on the other hand, those with poor scores receive little, whether any, advantage from chemotherapy (Paik et al. [2006\)](#page-63-0). Although worries about anthracycline-associated cardiotoxicity or leukemogenic possibilities exist, anthracycline-carrying adjuvant therapy treatments had been utilized in the early-stage diagnosis of BC. "Anthracycline-based regimens" related to per annum risk of cardiopulmonary arrest of "0.08″ percent/year, in the 2000 "Early Breast Cancer Trialists' Collaborative Group (EBCTCG)" summary, compared to 0.06 percent a year in diagnosis of individuals with "nonanthracycline-based regimens." 9735 studies in US Oncology validated TC (docetaxel/cyclophosphamide) a feasible treatment for women with "early-stage breast cancer" particularly for those who are at a significant risk of cardiopathy or require treatment only for twelve-weeks (Jones et al. [2009\)](#page-61-0). In this trial, 1016 females with treatable "breast cancer (stages I–III)" were randomly assigned phases of TC or 4-phases of "standard-dose AC (Adriamycin/cyclophosphamide)." Both DFS (81% vs 75%) and OS (87% vs 82%) were higher in the TC group after an average duration 7 years. Additionally, a meta-analysis comparing "anthracyclinebased with non-anthracycline-based regimens" in 6564 females with primary-stage BC found that anthracycline treatment was only beneficial in individuals with HER2-positive cancer (Gennari et al. [2008\)](#page-60-0). Topoisomerase IIa, the gene (TOP2A) which is situated after "HER2 gene on chromosome 17" is inhibited by anthracyclines in a biological sense. In around 35% of HER2 over-expressing breast tumors, TOP2A is co-amplified (Press et al. [2011](#page-63-0)).

TAILORx (Trial-Assigning-Individualized-Options-for-Treatment) randomly selected individuals suffering via Onco-type, incidence scores of 11–25 either with hormone therapy alone or hormone therapy in conjunction with chemotherapy.

Sufferers in this given cohort receive "anthracycline-containing or anthracyclinesparing" chemotherapy. Hormone therapy alone would be sufficient in sufferers with reduced Onco-type repetition scores, particularly those under 11.

2.4.2 Neo-Adjuvant Chemotherapy

Initially, neo-adjuvant chemotherapy was utilized to produce regionally advanced, uncurable resectable BC. NAC has lately been utilized to "downstage illness in the breast and axilla in treatable tumors" to allow breast conservation, and, in certain cases, to minimize axillary lymph node dissection. Various randomized trials have looked at the oncologic protection and survival rates of NAC (Van der Hage et al. [2001;](#page-64-0) Fisher et al. [1998\)](#page-60-0). A systemic review of diagnosed individuals with "NAC vs surgery" after chemo-therapy found no significant distinction viability or locoregional recurrence with "NAC," but 17% reduced rate of mastectomy in those receiving NAC (Mieog et al. [2007\)](#page-63-0). Since a larger number of women involved in these trials were candidates for BCT at the time of diagnosis and therefore could not benefit from NAC, 17% is a conservative estimate. NAC is more likely to permit BCT in women with uni-centric malignity that are enormous in comparison to the breast size, as well as who have "HER2+" or triple-negative breast cancers. In clinically node-negative females, the use of NAC dramatically lowers the risk of axillary metastases. Higher rates of PCR in the breast and axilla have been observed after NAC in response to more effective systemic therapies. In three prospective randomized clinical studies, the effectiveness of sentinel node biopsy performed after NAC in patients with nodal metastases was examined. According to the "ACOSOG Z1071 and SENTINA studies, false-negative rates" are less than 10% , when is equal to what is acceptable for sentinel nodal biopsy in the fundamental surgical context. In a randomized trial done by "Memorial Sloan Kettering Cancer Center" 288 patients with nodal metastases who were clinically node-negative after NAC had a nodal pCR in 48% of the cases (Mamtani et al. [2016\)](#page-63-0).

2.5 Breast Cancer Endocrine Therapy

The principal regulators of breast tissue development and differentiation are estrogen and progesterone. The ovaries are the primary source of both steroid hormones. They act on cells by attaching to "activating nuclear receptors" called "estrogen-receptors (ERs)" and "progesterone receptors (PRs)." These "receptors" demonstrate membrane-localized as well as transcriptional signaling activity once activated. Two of the most common ERs are ER α and ER β . ER α is expressed in 70% of breast tumors; however, ERβ is poorly identified (Bland and Copeland [2009](#page-59-0)).

George T. Beatson was the first to notice the potential significance of estrogen in breast tissue, seeing that oophorectomy in rabbits led to lactation loss. Based on this finding, Beatson conducted an "oophorectomy" on a premenopausal woman with an unresectable breast carcinoma on June 15, 1895. She was cured and lived for another

four years. Beatson's early work established the groundwork for hormone therapy. Most cancers that screened positive for estrogen or progesterone receptors respond well to endocrine therapy. Endocrine therapy could be used for 5–10 years, and probably much longer, in some cases. The main types of endocrine therapies include—tamoxifen and AIs.

2.5.1 Tamoxifen

There is a strong evidence to support the use of Tamoxifen as an adjuvant endocrine treatment for early-stages (Jankowitz and Davidson [2013](#page-61-0)). A nonsteroidal antiestrogen Tamoxifen was licensed by the US FDA in the 1970s as a hormonal therapy for postmenopausal women with metastatic breast cancer (mBC) (Fig. 2.2). According to guidelines from the "National Institutes of Health Consensus Conference on Breast Cancer Chemotherapy 1985" tamoxifen's use was extended to the "adjuvant setting with the treatment of postmenopausal women with node+ and ER+ tumors." Since 1958, randomized controlled studies have been carried out by the "National Surgical Adjuvant Breast and Bowel Project (NSABP)" to examine various aspects of adjuvant and surgical therapy (Band [2010](#page-59-0)). 2644 patients with node-negative, receptor-positive cancer underwent surgery and they are randomly assigned to receive either 5-years of Tamoxifen or Placebo in NSABP B-14 trail (Mamounas [2003](#page-62-0)). When tamoxifen was used instead of a placebo, the trial proved a

Fig. 2.2 Mechanism of action of the Tamoxifen: Tamoxifen blocks the action of estrogens binding to the "hormonal receptors" on the cancer cells; thereby estrogen is no longer able to bind to these receptors, due to which the mammary epithelium no longer functions as an estrogen receptor and proliferation of cancer cells is gradually slowed or stopped due to a lack of estrogen

remarkable improvement in "disease-free survival (DFS)." In addition, 194 RCT (randomized clinical trials) evaluated by "Early Breast Cancer Trialists' Collaborative Group (EBCTCG)" also discovered that adjuvant-tamoxifen therapy for 5-years in individuals with ER+ BC lowered breast tumor death rates by 31% and was considered highly efficient than 1 or 2 years of tamoxifen therapy. The EBCTCG found that 5-years of "adjuvant tamoxifen" in women with ER+ BC remarkably decreased relapse by one-third over the first ten years and decreased BC death rate by one-third over the first fifteen years in a follow-up meta-analysis. Tamoxifen treatment for five years possesses backbone of "adjuvant-hormone therapy" particularly for pre-menopausal women having BC, due to a slew of good research (Jankowitz and Davidson [2013\)](#page-61-0).

2.5.2 Aromatase Inhibitors

Because ovarian tissue no longer produces estrogen, post-menopausal women instead use the enzyme aromatase to manufacture it predominantly from non-glandular sources. In addition to being separated from BC cells, aromatase has also been found in a number of other organs such as muscle, sub-cutaneous fat, and the liver (Bland and Copeland [2009\)](#page-59-0). Because estrogen suppression has been so successful in the past, "aromatase inhibition" has received a lot of attention as a BC treatment option (Table [2.2\)](#page-45-0).

Aromatase inhibitors (AIs) were efficient in the treatment of BC for the first two generations, but they had substantial adverse effects since they blocked other androgenic hormones like "cortisol and aldosterone." AIs third generation possesses greater aromatase selectivity so it is classified either as "steroidal (type I) or nonsteroidal (type II)." Non-steroidal impediment are reversible competitive inhibitors, whereas steroidal inhibitors irreversibly decrease enzyme activity. Women with metastatic BC were the first to be examined using third-generation AIs. When the efficacy of AI therapy in metastatic BC was discovered, the focus switched to its application in adjuvant settings. Several tamoxifen-treated patients had recurrences due to medication resistance or experienced detrimental effect including endometrial malignancy as well as "venous thromboembolic disorder." Alone in the Arimidex, Tamoxifen, or in Combination (ATAC) studies, 9366 postmenopausal women are localized with BC, were given anastrozole or tamoxifen for 5 years (Howell et al. [2005](#page-61-0)). Anastrozole substantially improved DFS (575 versus 651 occurrences; "hazard ratio = 0.87; confidence interval of 95 percent [CI] 0.78–0.97; $P = 0.01$)" following an average duration of 68 months. Patients on anastrozole had decreased threat of "venous thromboembolic" occurrences, endometrial malignancy, and "hot flashes" (Howell et al. [2005](#page-61-0)). Letrozole, a second type II AI, and tamoxifen were compared against one another in the "Breast International Group (BIG) 1-98 Collaborative Group" investigation. 8010 participants in the double-blind phase 3 trial were randomly assigned to "tamoxifen upto 5 years, letrozole for 5 years, tamoxifen for 2 years followed by letrozole, or letrozole for 2 years followed by tamoxifen." The original trial compared the two

groups assigned to receive tamoxifen, letrozole has a greater 5-year survival rate compared to the tamoxifen arm"84.0 percent and 81.4 percent, respectively." According to this study "Thromboembolism, endometrial cancer, and vaginal bleeding were all common in the tamoxifen arm (Thürlimann [2006](#page-64-0)).

Based on such trials, as well as on the 51-month to check out "BIG 1-98 trial" FDA recommended "anastrozole and letrozole" for hormone-sensitive early-stage BC as initial adjuvant therapy. "Femara Versus Anastrozole Clinical Evaluation (FACE)" a recently randomized phase-3-trial, examined the effectiveness and safety of anastrozole plus letrozole (Smith et al. [2017](#page-64-0)). Letrozole has been shown in earlier pharmacotherapeutic studies to block estradiol more effectively, but the FACE study that letrozole was not significantly safer or more effective than anastrozole in 4136 postmenopausal women with hormone receptor-positive and node-positive BC (Smith et al. [2017\)](#page-64-0). Meta-analysis of randomized trials contrasting ALs and tamoxifen in early BC was also carried out by EBCTCG, and results were compared after 31,920 post-menopausal women with early BC and ER+ were divided into several therapeutic groups. The different sub-groups were; group 1: 5 years of AI, group 2: 5 years of tamoxifen, group 4: 2–3 years of an AI followed by tamoxifen for the total of 5 years. A five-year comparison between AI and changeover 2–3 years of tamoxifen strategy subsequently followed by AI for five years, indicated a reduction in recurrence in the "first year" of the AI-group, however, this advantage lost, when simultaneously taking both groups as AI.

2.5.3 Switching Trails in Endocrine Therapy

had been taking tamoxifen for 2 years are randomly assigned to have "1 mg After two-three years of tamoxifen, AIs were also examined as a follow-up therapy. In conjunction with the Arimidex-Nolvadex 95, The (ABCSG) group "Austrian-Breast and Colorectal Cancer Study Group' trial 8" analyzed effectiveness of converting "*anastrozole*" for three years besides the adjuvant *tamoxifen* treatment for 2-years (Jakesz et al. [2005](#page-61-0)). 3224 post-menopausal women having HR+ BC, who Anastrozole, 20 mg Tamoxifen, or 30 mg Tamoxifen" have been set up by investigators in the course of study. 40% depletion was observed in average duration of 28-months "67 anastrozole versus 110 tamoxifen events; 0.60; 95% CI 0.44–0.81; $P = 0.0009$ " in threat for an event with anastrozole (Jakesz [2005](#page-61-0)). The "Intergroup" Exemestane Study (IES) examined-Exemestane" which is a type I-AI, in the adjuvant setting after tamoxifen therapy (Coombes [2004\)](#page-60-0). The researchers evaluated 4742 "post-menopausal women with ER+ or ER unknown" BC, which after 2–3 years of adjuvant-tamoxifen, had no disease signs and had been randomly assigned for the entire five years for either exemestane or tamoxifen therapy. They discovered a 32% risk decline in the *exemestane* group after an average duration of 30.6-months, leading to a remarkable benefit, "DFS of 4.7 percent at 3-years" following randomization. These switching studies demonstrated that using AIs and tamoxifen sequentially offered significant benefits.

The Netherlands-based "analysis on the Duration of Extended Adjuvant Letrozole (IDEAL)" experiments randomized participants to 2.5–5 years of "letrozole" following 5 years of endocrine therapy. However, about 74% participants have completed "letrozole" for 2.5 years and just 57% have completed letrozole for 5 years (Blok et al. [2016](#page-59-0)).

2.6 Targeted Therapies in the Treatment of Breast Carcinoma

"Targeted therapies" for BC are utilized to diagnose individuals to whom which "BC cells" have an aberrant growth pattern due to the overexpression of particular distinctive proteins on their cell surface. Antibodies, which act identically to the human immune system, are primarily utilized as BC targeted therapy. Targeting up-regulation of the "HER2 protein" on the periphery of the breast cancerous cells is the most productive "breast cancer targeted therapy" available today (Fig. 2.3). There are currently some extensively used BC targeted therapies that are successful in blocking many molecular pathways (Table [2.3\)](#page-48-0) such as Trastuzumab, also known as Herceptin, which inhibits cancer cells from receiving signals that tell them to grow (Giordano et al. [2014](#page-61-0)). Pertuzumab, also known as perjeta, works by blocking the signals that cancer cells use to proliferate (Giordano et al. [2014](#page-61-0); Baselga et al. [2012\)](#page-59-0). Bevacizumab, also known as Avastin, stops cancer cells from growing new blood capillaries that supply them oxygen, as well as nutrients (Gianni et al. [2013\)](#page-61-0) and so on.

Fig. 2.3 Mechanism of action of targeted therapy in the treatment of breast cancer

Agent	Clinical trial id	Phase	Recruitment status
Trastuzumab	NCT00004067	Ш	Complete
	NCT00045032	Ш	Complete
Pertuzumab	NCT00567190	Ш	Complete
	NCT00545688	П	Complete
T-DM1	NCT00829166	Ш	Complete
	NCT01772472	Ш	Active, not recruiting

Table 2.3 Targeted therapies clinical trails

2.6.1 HER2+ Malignant Tumor of Breast

Human-epidermal-growth-receptor positive is an ErbB family "tyrosine kinase receptor" that is involved in pathways of cell signaling that promote cell proliferation, differentiation, and apoptotic suppression (García-Aranda and Redondo [2017\)](#page-60-0). HER2, the most often differentially expressed "receptor in breast cancer" is highly expressed in around 15% cases of BCs, resulting in dysregulated cell proliferation (García-Aranda and Redondo [2017;](#page-60-0) Masoud and Pagès [2017](#page-62-0)). Because HER2 powerfully triggers tumor-growth-related downstream pathways, its expression levels are closely related to breast cancer metastasis and prognosis. "HER2" has been used as a biological target for discovery of novel therapeutics for these reasons throughout the last 20 years. Anti-HER2 therapy has enhanced survival in HER2 + breast cancers, even though they develop quickly and are more aggressive than other forms (Nami and Wang [2017](#page-63-0)) and (Mir et al. [2020](#page-62-0)).

2.6.2 Trastuzumab

Trastuzumab or Herceptin is the cornerstone of HER2+ BC treatment. It is the first FDA-approved humanized immunoglobulin G1kappa monoclonal antibody (mAb) which has been found to significantly increase DFS and OS in numerous clinical studies (Masoud and Pagès [2017](#page-62-0)). The "B-31 National Surgical Adjuvant Breast and Bowel" (NCT00004067) study contrasted a 4-cycle AC chemotherapy strategy, followed by weekly 12-week paclitaxel, against the same chemo-therapy strategy "plus 1 year of *trastuzumab*" beginning on the Ist-day of the therapy with "*pacli*taxel" (Romond et al. [2005](#page-63-0)). Participants present in "trastuzumab group had an elevated DFS rate than those found in the control after an average duration of two years. Furthermore, the possibility of mortality was lowered by nearly a third (Romond et al. [2005](#page-63-0)).

The trial HERA (NCT00045032) enrolled women who had undergone surgery and had finished 04 rounds of "adjuvant chemotherapy." The trial compared was trastuzumab ($n = 1693$) with an additional year or two years of trastuzumab $(n = 1694)$ once this regimen was completed. In combined 1–2 year trastuzumab cohort exhibited greater value of "DFS" than the examination groups after an

average duration of 1 year, with "127 DFS" occurrences in the "trastuzumb" cohort in comparison with 220 in the "observation cohort" (Piccart-Gebhart et al. [2005\)](#page-63-0).

In these trails, the use of "adjuvant *trastuzumab plus anthracycline*-based regimens" (such as doxorubicin) was linked to high risk of cardiopulmonary arrest in these trials (Romond et al. [2005\)](#page-63-0) and (Piccart-Gebhart et al. [2005\)](#page-63-0). Therefore, the BCIRG 006 research looked into a trastuzumab-based non-anthracycline regimen (group 3) and compared three groups: Every three weeks, four rounds of "doxorubicin and cyclophosphamide were subsequently followed by four doses of docetaxel (AC-T) (group 1)" "AC-T followed by one year of trastuzumab beginning with the first-docetaxel dose (group 2), as well as 6 docetaxel rounds in combination with carboplatin and "contemporaneous trastuzumab (TCH)" followed-by 34 weeks of "trastuzumab." When compared to normal AC-T therapy, trastuzumab-groups demonstrated improvements in DFS as well as in OS. Additionally, AC-T plus trastuzumab group had a "5-year DFS" value of 84% and 92% OS value, both with P-value <0.001.TCH had a "five-year DFS" rate of 81% as well as OS rate of 91%, with a P-value of 0.04 (Slamon [2011](#page-64-0)). Regardless of ER status, clinical studies with adjuvant trastuzumab have shown clinically substantial progression in DFS. In addition, clinically substantial improvements in the OS were shown in the B31 and HERA studies.

2.6.3 Pertuzumab

The monoclonal antibody (mAb), Pertuzumab, which is recombinantly humanized, binds to HER2 and inhibits its dimerizing with other HER-receptors (Franklin et al. [2004\)](#page-60-0). Since "pertuzumab" interacts with HER2 at a distinct-epitope than "trastuzumab" and stimulates "antibody-dependent cell-mediated cytotoxicity" via complementary pathways, when used jointly, *pertuzumab* and *trastuzumab* exhibit stronger antitumor efficacy than either therapy alone (Franklin et al. [2004](#page-60-0)). To treat individuals with HER2+ mBC, pertuzumab is used in conjunction with *trastuzumab* and docetaxel. It is also utilized as a neo-adjuvant treatment for early HER2 +,"advanced, or inflammatory BC," in addition being used in conjunction with trastuzumab plus and chemotherapy for adjuvant therapy of HER2+ early BC with an extreme chance of re-occurrence. Pertuzumab's effectiveness and safety have been studied in some clinical trials. *Pertuzumab* with "*trastuzumab* plus *docetaxel*" as contrast to a *placebo* and "*trastuzumab* with *docetaxel*" in the CLEOPATRA phase 3 trial (NCT00567190) to treat mBC (Baselga et al. [2012](#page-59-0)). The pertuzumab group showed a clinically meaningful improvement in PFS. The phase 2 trial of Neosphere (NCT00545688) compared four groups to check the efficacy of "pertuzumab: trastuzumab with docetaxel, pertuzumab with trastuzumab plus docetaxel, pertuzumab with trastuzumab(no chemotherapy), and pertuzumab with docetaxel" (Gianni et al. [2012](#page-61-0)). The treatment combining two mAbs was highly effective than chemotherapy using only one mAb. Treatment with "pertuzumab in association with trastuzumab plus docetaxel" demonstrated a considerable enhancement in PCR when compared with trastuzumab plus docetaxel alone.

2.6.4 Conjugates of Antibodies and Drugs

"Antibody-drug conjugates (ADCs)" are made up of synthetic connection between recombinant mAb and a cytotoxic drug (Beck et al. [2017\)](#page-59-0). Internalization of the antibody occurs after it binds to a specific antigen on the surface of the cancerous cell. The drug is subsequently delivered into the cell, where it causes cytotoxicity (Fig. 2.4).

T-DM1 is an "ADC" used to cure individuals with "HER2 (+) mBC" which have already been mediated with "*trastuzumab*" plus taxane (individually or in conjunction). EMILIA (NCT00829166) and KATHERINE (NCT01772472) were two clinical trials that looked at their safety and effectiveness (Verma [2012\)](#page-64-0). In the phase 3 EMILIA study, T-DM1 and lapatinib with capecitabine were compared in individuals with "HER2 + mBC" who had earlier been mediated with "trastuzumab" and taxane chemotherapeutic agent. T-DM1 therapy substantially increased the PFS up to 10 months compared to *lapatinib* plus capecitabine PFS (6 months), (Verma [2012\)](#page-64-0). In the KATHERINE study, the protection and effectiveness of T-DM1 were investigated in individuals with "HER2+ early BC" who had previously provided with neo-adjuvant treatment with "taxane and *trastuzumab*." Individuals mediated with "T-DM1" had a incomparably better invasive DFS than those treated with trastuzumab after an average duration of forty-months.

Another ADC trastuzumab deruxtecan which is also recognized as Ds-8201 is made up of "anti-HER2" immunoglobin and a "topoisomerase inhibitor." It is for adults who had two or more "anti-HER2" therapies in metastatic-context and have advanced or unresectable BC (Beck et al. [2017\)](#page-59-0).

Fig. 2.4 Mechanism of ADC in breast cancer treatment: an antibody specific for the tumorassociated antigen in conjugation with cytotoxic drug binds to the cancer cell receptor and then internalization and release of cytotoxic drugs occurs inside the cancer cell which leads to the death of cancer cell

2.6.5 mTOR Pathways

The mTOR pathway appears to play a considerable position in targeted tumor therapy. In 70% of breast tumors, the PI3K/AKT/mTOR pathway is highly activated, and the kinase proteins discovered along these pathways could be prospective therapeutic targets for BC treatment. the mTOR pathway with the drug "everolimus" in conjunction with "HER-2 or ER inhibitors" is perhaps a hopeful perspective approach to make use of, and restore the "sensitivity of BC cells" to conventional therapies as well as overcome resistance processes that appear to evolve, "when the mTOR pathway" is overactive (Grunt and Mariani [2013\)](#page-61-0). Glaysher et al. discovered that utilizing EGFR and mTOR inhibitors to target breast epithelial cell lines with knocked-in mutations resulted in improved responsiveness to therapeutic drugs. The study investigated the impacts of impeding "mTOR and EGFR" both with the associated drug activity of "sirolimus /ZSTK474 and gefitinib / erlotinib" on the parental cell line, finding a more efficient signaling blockade than with single agents regardless of the "knocked-in mutations in EGF, PI3K, KRAS, BRAF, or AKT" (Glaysher et al. [2014\)](#page-61-0).

2.6.6 Receptor Tyrosine Kinase Inhibitors

Basically "Kinase-inhibitors" are tiny molecular compounds that interact with the "ATP-binding region of a tyrosine-kinase-receptor, such as HER2" and inhibit its activity (García-Aranda and Redondo [2017\)](#page-60-0). Lapatinib, also known as Tykerb, is a kinase inhibitor that suppresses growth induced by the EGFR ErbB1 and HER2 pathways. Individuals with LA or mBC who progressed following a standard therapy with taxanes, anthracyclines, or trastuzumab were enrolled in "phase-3 trial" and assess the safety as well as effectiveness of lapatinib in conjunction with "capecitabine. Lapatinib + capecitabine" reduced probability of recurrence by 43%, in comparison to capecitabine alone (Geyer et al. [2006](#page-60-0)).

A second kinase inhibitor, neratinib or Nerlynx, is approved as a single drug for adjuvant therapy of HER2+ EBC after adjuvant trastuzumab therapy. It is also used with "capecitabine to treat HER2+ mBC" in people who had two or even more anti-HER2 therapies before (Segovia-Mendoza et al. [2015](#page-63-0)). The "phase-3-trial" ExteNET "NCT00878709" found that individuals which got "neratinib" instead of "Placebo" following conventional trastuzumab-based adjuvant treatment had substantially lower invasive DFS survival results after an average duration of roughly five years (Martin [2017](#page-62-0)). Recently tucatinib, also known as Tukysa—another kinase inhibitor recommended by the "FdA" in 2020 for the diagnosis of "HER2⁺ mBC" in conjunction with trastuzumab and capecitabine. The "HER2CLIMB trial (NCT02614794)" investigated "tucatinib" in conjunction with trastuzumab as well as *capecitabine* in individuals having "HER2 + MBC" who had earlier been diagnosed with—*pertuzumab*, trastuzumab, and T-DM1. At one year, the tucatinib examined group ($n = 410$) had 46% reduced possibility of illness recurrence and

mortality than the one administered with *capecitabine* plus *trastuzumab* alone $(n = 202)$ (Murthy et al. [2020](#page-62-0)).

2.7 Function of Immunotherapies in the Diagnosis of Mammary Cancer

Immune system has a multifaceted function to play in cancer detection/prevention, early elimination, and progression. Elimination, equilibrium, and escape are three separate processes of host immune-surveillance and responses described by the "immunoediting" theory (Schreiber et al. [2011\)](#page-63-0). Both innate and acquired immune systems work simultaneously to identify as well as remove cancer during the elimination phase. Few cancerous cells that survived the phase of elimination are thought to gain entry into the phase of equilibrium, in which the adaptive immune responses stop tumor expansion and keep them in a dormant state without eradicating the tumor. Tumor cells develop the capability to avoid immune detection and destruction during the escape phase in many ways (Schreiber et al. [2011\)](#page-63-0). As a result, tumor-immune system evasion is a defining feature of malignancy (Hanahan and Weinberg [2011](#page-61-0)). Enhancing innate immune systems for cancer has been begun with high-dose interleukin-2 several decades ago, which has shown a sustainable therapeutic advantage for metastatic cancer patients, especially melanoma and renal cell carcinoma (Klapper et al. [2008;](#page-61-0) Qayoom et al. [2021](#page-63-0)). Following the discovery of therapeutically meaningful inhibitory routes of T cell activation: the "PD-1/PD-L1 and CTLA-4" there has been substantial upsurge in utilization of immuno-oncology methods (Keir et al. [2007\)](#page-61-0). Immune checkpoint MAB inhibitors that block these pathways have shown to be effective in a range of malignancies, including melanoma, renal cell carcinoma, and NSC lung carcinoma. Furthermore, checkpoint inhibitors have been shown to produce long-lasting therapeutic improvements as well as increased percentage of "patients" living far away from the historic median, as earlier described in standard chemotherapeutic agents.

2.7.1 Role of Checkpoint Inhibitors in Immunotherapy

They are regarded as the major effectors of cell-mediated immunity because activated CD8+ (cytotoxic T lymphocytes) identify and kill pathogen-infected or abnormal cells such as tumor cells (Fig. [2.5\)](#page-53-0). T cells, on the other hand, augment immunoglobin reactions via the activity of "Cd4+ [T-helper cells]" as well as augmentation of the anti-body synthesis by B-cells, therefore their stimulation is an important stage in the commencement and control of the immunological responses.

Both antigen-specific-stimulatory signals directing the interaction of "TCR to HLA-II" displayed on cell surface of APCs play a functional part in the activation and maturation of T-cells, according to lymphocyte-activated two-signal model (Fontana and Vance [2011](#page-60-0); Mir [2015](#page-62-0)). At several moments throughout the immune

Dysregulated production of inhibiting signals indicates a considerable benefit in response, stability of co-stimulatory and suppressive signals, also known as immune checkpoints, regulates the ensuing response, which prevents tissue damage and maintains self-tolerance (Pardoll [2012](#page-63-0)), and (Buchbinder and Desai [2016\)](#page-59-0). the tumor micro-environment, resulting in immune escape, due to their immunosuppressive activities. Combinations of immunological checkpoint receptors and ligands are currently being studied as methods for cancer therapy by reinstating immune systems functionality, either as monotherapies or in combo therapies due to their relationship with lymphocyte activity suppression and consequent energy (Pardoll [2012](#page-63-0); Darvin et al. [2018](#page-59-0)). In particular, all the pathways, i.e., "CTLA4, CD152 and Pd-1, CD279 or Pd-L1, CD274" have illustrated their validity for novel cancer therapy advancement and permitted clinical approval of these two pathways due to their involvement in the immune responses and peripheral tolerance.

T cell stimulation is regulated by additional costimulatory signals including Cd28 and CTLA-4, aside from the T cell communication with HLA-II. In this regard, "CTLA-4 signaling" impedes activation of T-cells, in contrast to CD-28 signaling, that are needed for activation of "T-cell and cytokine production." This is particularly essential in lymphatic nodes where CTLA-4 counteracts possibly auto-reactive T-cells during early activation stages of Cd-4 and Cd-8 cells (Buchbinder and Desai [2016\)](#page-59-0). CD80 and CD86 ligands displayed on activated APCs can stimulate CD28

Fig. 2.5 (a) Attachment of "Pd-L1 to Pd-1" holds T cells from harming cancer cells inside our body. (b) Inhabiting the binding "PD-L1 to PD-1" with an "immune checkpoint inhibitor" (anti-PD-L1 or anti-PD-1) permits the T cells to cause death of cancerous cells

and CTLA-4, resulting in T-cell differentiation and proliferation via the formation of growth cytokines or by T-cell receptor signaling proteins dephosphorylation by tyrosine phosphatases when the CD28:CD80/CD86 ratio is high (Bell et al. [2018;](#page-59-0) Guntermann and Alexander [2002\)](#page-60-0). Because "CTLA-4" has a strong affinity for CD80/86, it promotes immunosuppression by vying for Cd-28 and driving Cd80/ 86 removal from APCs surfaces (Seidel et al. [2018](#page-64-0)). As a result, "CTLA-4 inhibitors" can avoid T-cell depletion as well as increase the anti-tumor T-cell responses by limiting the association of "CTLA-4 and Cd80/86 ligands" (Fellner [2012\)](#page-60-0). Although ipilimumab has been shown to improve lifespan in individuals with complex tumors, significant immuno-controlled complications, a hefty price tag, and limited response rates (varying from 4% to 16%) remained the main barriers to its usage (Fellner [2012](#page-60-0)).

"Pd-1" however, is primarily associated in the regulation of formerly "activated T-cells" in subsequent phases of an "immune response" primarily in tissues and cancers (Pardoll [2012](#page-63-0); Buchbinder and Desai [2016](#page-59-0)). In T-cells with the "exhausted phenotype" membrane receptor expression can momentarily generated in "activated Cd8 T-cells" NK T-cells or myeloid-cells after T-cell receptor stimulation and activation by cytokines and interleukins is constitutive. When "PD-1" binds its ligand, PD-L1 enhances "T-cell-receptor proximal signal components dephosphorylation and inhibits signaling pathways controlled by protein kinases such as PTEN, CK2, PI3K/AKT, and RAS/MEK/ERK, T-cell generation, survival, chemokine synthesis, and rest repressor activities are-all reduced. Therefore, "checkpoint inhibitors" have ability to reinstate anticancer immune-response as well as increase "immune-mediated" tumor cell clearance by disturbing the link between "Pd-1 and Pd-L1"(Darvin et al. [2018](#page-59-0)). Even though *nivolumab* alone and in combination with ipilimumab enhances response rates completely in patients with metastaticmelanoma as compared to ipilimumab alone, response rates to "PD-1/PD-L1" inhabiting treatments hardly vary from 20–38% across tumor types, implying that these treatments are ineffective for the vast majority of advanced-stage patients (Garcia-Aranda and Redondo [2019\)](#page-60-0). Although immune-related side effects and poor response rates in some patients with cancer, "CTLA4, and PD-1/PD-L1 inhibitors" have been shown to improve strong and long-lasting anti-tumor response and extend the average lifespan of patients with advanced cancer.

2.7.2 Breast Cancer Immunogenicity

Unlike nephroma and melanoma, which possess the major susceptivity for biological therapies, BC has not been generally thought to be immunogenic. Furthermore, immuno-suppressive substances are released by the tumor microenvironment in BC, which makes antigen presentation complex and harms the immune response (Mittendorf et al. [2007](#page-62-0); Mehraj et al. [2021a](#page-62-0), [2021b](#page-62-0)). It is also feasible to avoid immunological damage by suppressing autogenous immune-check-points which ordinarily end body's defense following the activation of antigen.

Despite having a minor impact on prime cancer growth, the defense system appears to be successful in avoiding BC metastases (Bidwell et al. [2012\)](#page-59-0). With proper immune activation, it appears that every tumor can be immunogenic. The immune system appears to have played a key role in reaction to "monoclonal antibodies and tyrosine kinase inhibitors" and apart of data suggests it may also play a role in responses to endocrine therapy. Trastuzumab's mode of activity has traditionally been linked with antibody-dependent cellular cytotoxicity (Musolino et al. [2008](#page-62-0); Tamura et al. [2011\)](#page-64-0). As a result, a functional immune system is required for complete tumor response following molecularly targeted treatments, leading the way toward fundamentally novel regimens combining a targeted and immunological approach (Rakhra et al. [2010](#page-63-0)). To boost the anti-tumor responses, mAbs targeting "antigen tumor targets or immune-regulatory" substances, cell-mediated treatments such as "adoptive transfer of ex vivo-activated T and NK cells" or T-reg cell inhibition could be used.

2.8 Surgical Treatment of Breast Cancer

During surgery, tumor and some normal tissues in the nearby area are removed. Lumpectomy (excision of the lump solely) or mastectomy (surgical excision of the whole breast) is done based on the stage and type of malignancy. The surgeon must confirm that the margins of the tissues removed in the surgery are free of malignancy, showing that cancer has been entirely excised, according to standard procedure. Additional surgeries to excise more tissue might be required if the excised tissues do not provide clear margins. A portion of the pectoralis major, the front chest wall's principal muscle, may need to be removed in some cases. Breast cancer surgical treatment has evolved significantly over time. Previously, therapy required significant surgery and a lengthy hospital stay; however, it is now typically carried out an out-patient operation with rapid improvement (Lowery et al. [2012](#page-62-0)). Surgical treatment aims to improve local management, avoid locoregional relapse, and prolong survival. Simple mastectomy or reconstructed, with "primary or delayed" or "breast conservation treatment (BCT)" with or with-out the application of angioplasty procedures, are the various surgical methods for the treatment of breast tumors (Fig. [2.6](#page-56-0)) (Lowery et al. [2012](#page-62-0)).

2.8.1 Breast-Conserving-Therapy (BCT)

Breast-conserving-therapy may be diagnosed with a basic "broad excision" or varying levels of onco-plastic. BCT is regarded as an absolute surgical treatment for subset of BC patients and is nosologically acceptable (Hartmann-Johnsen et al. [2015;](#page-61-0) Mansell et al. [2017](#page-62-0)). BCT comprises lumpectomy which is followed by adjuvant radiation treatment to the whole breast. To perform BCT, tumor is removed with excellent cosmetic results and negative-margins, the individual should allow to receive radiation, and the breast should be suitable for the follow-up imaging to

Fig. 2.6 Different types of surgical treatments in breast cancer treatment

swiftly identify local recurrence, a negative margin signifies "NO ink on the tumor" (Moran et al. [2014](#page-62-0)). Greater margin clearance does not enhance local-control in metastatic mammary cancer which is not necessary for "BC." If negative margins can be achieved with acceptable cosmetic results, lumpectomies can be performed regardless of the size of the tumor (Houssami et al. [2014\)](#page-61-0). Females with large tumors compared to their breast size may benefit from neo-adjuvant their malignancies. "Bilateral-mastectomy" is examined in individuals with "BRCA1/2 mutations" as the chance of developing a new primary BC in the 20 years after diagnosis might vary from 26 to 40 percent, based on the age of initiation of first cancer, oophorectomy, and administration of endocrine therapy (Kuchenbaecker et al. [2017](#page-62-0)).

The imaging techniques commonly used to evaluate patients for BCT are clinical examination, mammograms, and diagnostic ultrasonography. According to "population-based research" of 1984, females with "DCIS and stages I and II" aggressive malignancies found that 88% of those who attempted BCT were effective. Because many females were switched to mastectomy without attempting re-excision, this is likely an underestimation of the proportion of females suitable for BCT (Morrow et al. [2009\)](#page-63-0). The number of individuals who receive BCT and the number of individuals who only require one surgical treatment varies depending on the surgeon's skill. A study of surgical margins and treatment success rates in the United States demonstrated this (Morrow et al. [2017\)](#page-63-0). It was a population-based study with roughly 7000 potential individuals, which was later decreased to 3279 in the analytical cohort. A total of 342 of the 488 surgeons who treated these individuals completed a survey on margins following lumpectomy. When compared to those treating 20 or fewer instances per year, those treating greater than 50 cases per year were substantially more probable to state a "no tumor on ink" sides as acceptable.

2.8.2 Mastectomy

A mastectomy is a medical surgery in which a whole or part of the breast is clear away. It originated from Greek word masts, which means "woman's breast" and the Latin word ectomia, which means "excision of." The greater part of patients undergoing this surgery has the choice of "total mastectomy or simple mastectomy, skin-sparing mastectomy" as well as "nipple areolar-sparing mastectomy." During a total-mastectomy, the breast parenchyma, nipple-areolar complex, and extra skin from the breast wall are all removed leaving only enough skin to cover the incision. This is frequently utilized whenever a person is not going through an immediate repair. The "skin-sparing mastectomy" which involves removing both "breast parenchyma and nipple-areolar" complexes, maintaining skin as a suitable-envelope for implantation of the "tissue expander or implant or donor flap, allows for rapid regeneration." The oncological efficacy of "skin-sparing-mastectomy" has been shown to be more effective against cancer than with basic mastectomy, with local recurrence of rate of 6% (Meretoja et al. [2007;](#page-63-0) Carlson et al. [2003](#page-60-0); Lanitis et al. [2010\)](#page-62-0). "The skin envelope and nipple-areolar" complex is preserved after a "nippleareolar-sparing mastectomy." The first employed as a preventative measure, but it is currently being utilized more frequently in individuals having aggressive cancer. Recurrence rates of 2–5% have been observed locally, having an average duration of " $2-5$ years" (Moo et al. 2016 ; De Alcantara Filho et al. 2011). Patients must be cautiously chosen for this operation till long-term oncological safety has been proven, as a majority of the data come from single-institution retrospective studies with minimal follow-up.

2.8.3 Dissection of the Axillary Lymph Nodes

The cancer-specialist eliminates a clump of lymph nodes from underneath the armpit in an "axillary lymph node dissection." Axillary lymph node dissection (ALND) is frequently utilized in breast tumor surgery as a diagnostic and predictive marker. ALND is suggested for women with invasive BC as a component of their primary surgical therapy. It is a critical component in the surgical treatment of BC. Pathologic evaluation of the excised lymph nodes provides data that aids in determining the disease's pathological stage and is an important aspect of BC therapy (Le et al. [2016\)](#page-64-0). ALND may improve the whole survival of women with BC by controlling regional node disease.

2.8.4 Sentinel Node Biopsy

Considering the first stage BC, SLNB is a comfortable and reliable procedure. The SLNB has turned into the standard process for assessing metastatic progression to the lymph node area (Lyman et al. [2005](#page-62-0); McMasters et al. [2000\)](#page-62-0). The "sentinel lymph node" is considered as the primary lymph gland in the "lymphatic basin" to acquire outflow from an anatomic area and is immunologically accountable for that area (Faries et al. [2000](#page-60-0)). Because of its reduced "false-negative rate" between 5% and 10% and remarkable sensitivity-value of around 90–95 percent in cancer observation in the lymphatic node region, this least invasive procedure has become the gold standard (Veronesi et al. [2010](#page-64-0)). At present, the SLNB has surpassed the ALND in axilla staging due to the exactness and low morbidity and invasion for the clinical node-negative disease.

2.9 Summary

Breast carcinoma is considered one of the most challenging diseases with a significant death rate. On the basis of hormonal expression and growth factor receptors, it is divided into a few primary molecular subtypes. Significant progress has been achieved in the discovery of novel treatments to treat BC over the past few years. With a comprehensive understanding of physiological variability of BC, a more successful and customized therapeutic strategy has been developed. Improvements in cancer risk prediction, precise prognosis evaluation, and innovative treatments in the adjuvant and neo-adjuvant settings, including immune-therapies or antibodydrug conjugates (ADCs), have all contributed to a steady advancement in therapy. Chemotherapeutic combinations comprising anthracyclines and taxanes have shown effective results in the treatment of BC. Moreover, the efficacy of hormonal therapies like "anti-HER2 MABs" possesses verified possibility along with a great significance of "molecular targeting in breast cancer" management. Checkpoint blockade has developed as an exciting treatment option for BC, particularly TNBC. Following BCT, radiotherapy reduces death rate and relapse. Most breast tumors with physiologically negative ALNs are candidates for SLNB, which eliminates the arm inflammation and discomfort involved in ALND. Individuals having BC are surviving much more than ever, thanks to the deployment of such treatments that leads to lower mortality. To conclude, it is evident that BC treatment is a subject that is continually evolving, with advancements being made all the time.

2.10 Further Readings

For more insights about the topic we would suggest detailed findings from the books of (Mir 2022) <https://doi.org/10.1016/C2021-0-02565-7>, [https://doi.org/10.1016/](https://doi.org/10.1016/C2022-0-00074-X) [C2022-0-00074-X](https://doi.org/10.1016/C2022-0-00074-X) (Mir 2021) [https://doi.org/10.52305/WXJL6770,](https://doi.org/10.52305/WXJL6770) from cancer.net website, <https://www.cancer.net/cancer-types/breast-cancer/types-treatment>

For diagrammatic illustrations, descriptive tables (lazzeroni, 2012) [http://www.](http://www.eurekaselect.com/article/49928) [eurekaselect.com/article/49928](http://www.eurekaselect.com/article/49928)

[https://www.researchgate.net/publication/342829505_Molecular_Complexity_of_](https://www.researchgate.net/publication/342829505_Molecular_Complexity_of_Lymphovascular_Invasion_The_Role_of_Cell_Migration_in_Breast_Cancer_as_a_Prototype/figures?lo=1) [Lymphovascular_Invasion_The_Role_of_Cell_Migration_in_Breast_Cancer_](https://www.researchgate.net/publication/342829505_Molecular_Complexity_of_Lymphovascular_Invasion_The_Role_of_Cell_Migration_in_Breast_Cancer_as_a_Prototype/figures?lo=1) as a Prototype/figures?lo=1

See video links on over all status of Cancer, its various types, current new treatment possible options available

<https://www.sciencedirect.com/science/article/pii/S205970292032278X>; [https://](https://youtu.be/wIsdjfwPUxY) youtu.be/wIsdjfwPUxY <https://youtu.be/SVjJt984PlU>

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Introduction to Cell Cycle and Its Regulators 3

Manzoor Ahmad Mir **n** and Asma Jan

3.1 Introduction

The ability to divide is a trait that all cells possess. Rudolf Virchow, a German scientist, came to conclusion in 1855 that "omnis cellula e cellula" (every cell is made up of pre-existing cells), that became the third postulate of contemporary Cell Theory. Cell division produces new daughter cells. From a single-celled zygote (fertilized egg), succession of cell divisions creates all multicellular creatures with sexual cycles. As a result, cell proliferation acts as heart for cellular development and growth and it happens at all stages of its life. For example, man's blood contains 2.5 \times 1013 RBCs (5 liters of blood with 5,00,000 RBCs/mm³) and an RBC's average life span is 120 days, 2.5×1013 cells must have to be produced every 107 s to maintain a constant blood supply. Nerve cells and skeletal muscle cells, on the other hand, do not divide after differentiating.

Prokaryotes divide their cells quickly and easily (Bacteria). In contrast to prokaryotes, eukaryotic cells contain a variety of cell organelles. Binary fission is the most common way that prokaryotes divide their cells. Each copy of the prokaryotic chromosome is attached to a separate area of the cell membrane when it replicates. The replicated chromosomes get separated from the parent chromosome, when the cells start to pull apart. When a cell divides, it produces two identical cells with the same genetic makeup (Sofi et al. [2022a,](#page-93-0) [2022b\)](#page-93-0). All life forms in a colony are genetically equivalent as a result of asexual reproduction in prokaryotes.

Eukaryote cell division is more sophisticated because of their greater chromosome number, complexity, and organelles; however, the same replication, segregation, and cytokinesis processes continue to take place. Throughout the eukaryotic

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cell cycle, various cytoplasmic and nuclear activities must be synchronized (Qayoom et al. [2022](#page-93-0)).

3.2 Cell Cycle

The term "cell cycle" relates to the cellular and molecular activities that take place between one cell division and the next. The specifics of the occurrences may differ from one organism to the next, as well as throughout various stages of the organism's life cycle. Certain traits, although, are shared, since the cell cycle requires only a few processes which a cell must go through in order to complete its most basic task: copying and passing its genetic information to subsequent generations of cells. To do so, DNA needs to be accurately replicated, and the chromosome that was copied should be precisely split into two new cells, ensuring that all cells get a complete copy of the genome (Mehraj et al. [2022a](#page-92-0), [2022b](#page-92-0)).

The parent cell (mother cell) splits and develops to produce a new cell (daughter cell) that contains all of the parent cell's genetic material. As a result, during the usual process of cell division, all of the parent cell's DNA must be replicated and properly distributed to the daughter cells to ensure genetic consistency. A cell goes through a number of sequential steps as part of this process, which is referred to as the cell cycle as shown in Fig. 3.1. There are two phases to the cell cycle and that is shown as a flowchart in Fig. [3.2](#page-67-0).

Fig. 3.1 Cell cycle and their regulation by cyclins and CDKs

Fig. 3.2 Flowchart of cell cycle

3.2.1 Interphase

Interphase looks to be a resting state cytologically and helps to prepare the cells to reach M phase. Interphase is classified into two phases—

G1 (Gap Period 1) = Growth and chromosomal preparation for replication.

Synthesis period $(S) = DNA$ synthesis (and centrosome).

G2 (Gap Period 2) = Mitosis Preparation.

During G2, a cell possesses twice as much DNA (4C) as it had during the diploid stage (2C). After mitosis, the daughter cells reach the G1 phase and have DNA content equal to 2C once more.

3.2.2 M Phase: The M Phase Is Classified into Two Phases

- I. Mitosis, which involves the separation of duplicated chromosomes into two nuclei.
- II. The process of a cell dividing into two daughter cells occurs during the cytokinesis.
- I. G1 Phase, Gap 1 Phase, or Growth 1 Phase

The cells reach to the G1 phase from either the G0 or M phases. Extracellular mitogenic signals control the cellular transition from G0 to G1 phase (Limas and Cook [2019\)](#page-92-0). The growth phase is known as G1. In M phase, the cell's biosynthetic activities are significantly inhibited; nevertheless, in G1 phase, they resume at a rapid rate. The cells amplify organelles such as mitochondria and ribosomes, synthesize numerous proteins, and expand their size within the G1

phase. Phases of the cell cycle last a variable amount of time in numerous kinds of cells. If we estimate that a normal developing human cell has a total cycle period of 24 h, the G1 phase lasts around 11 h, the S phase lasts 8 h, the G2 phase 4 h, and the M phase lasts about 1 h.

Various signals, including as stress, metabolic cues, and environmental cues interfere during the G1 phase to alter the cell's developmental path. The cells incorporate and interpret these messages. The cell determines whether to differentiate, self-renew, or perish based on these inputs; nonetheless, all cells must meet one crucial condition to enter S phase and begin their renewal: activation of CDKs (Limas and Cook [2019](#page-92-0); Sofi et al. [2022a](#page-93-0), [2022b](#page-93-0)).

II. S Phase

The synthesis of DNA is the hallmark of the S phase. After doubling the amount of DNA via replication, there are two sister chromatids on each chromosome in S phase. However, S phase is characterized by low levels of protein synthesis and gene expression. The synthesis of histone is an obvious exception. The S phase is when the majority of histones are made (Nelson et al. [2002](#page-92-0)). There is a checkpoint within the S phase which is thought to exist, to regulate the S phase progression. As a result of DNA damage and other replication-related stresses, checkpoint within the S phase shuts down Cdk2, which stops damaged DNA from being replicated by stopping origin firing (Ciardo et al. [2019](#page-90-0)). ATR (Ataxia-Telangiectasia and Rad3-related) an active Checkpoint Kinase that mediates cell cycle transition between S to G2 phase (Saldivar et al. [2018\)](#page-93-0).

III. G2 Phase

After completing the S phase, the cell moves into the G2 phase. Mitosis signals the conclusion of the G2 phase. The main function of cells in the G2 phase is to make preparations for mitosis. Significant lipid/protein synthesis and cell proliferation are hallmarks of the G2 phase (Choudhuri et al. [2005](#page-90-0)). Protein synthesis inhibitors are well known to cause arrest of cells in the G2 phase. According to a new study, this is because p38 is inhibited, and protein synthesis is not required for mitotic entrance (Lockhead et al. [2020](#page-92-0)). Several cell types, including Xenopus embryos and cancer cells, lack the G2 phase. From the S phase to the M phase, the cell cycle moves quickly. In the G2 phase, cell size is thought to affect proliferation, but it is only been shown in fission yeast (Moseley et al. [2009](#page-92-0)). Repairing DNA double-strand breaks is another step that takes place during the G2 phase.

DNA double-strand breakage develops in the cells during or after DNA replication and must be repaired before the cell can progress to G2/M checkpoint (Glover et al. [2019](#page-91-0)).

3.2.3 Mitosis and Cytokinesis

Mitosis, in which nucleus of the cell divides and cytokinesis, in which cytoplasm of the cell divides and generates two new cells, are both part of the M phase. Mitosis has been further separated into—

- I. Prophase
- II. Prometaphase
- III. Metaphase
- IV. Anaphase
- V. Telophase
	- I. Prophase; Prophase is marked by chromatin and chromosomal condensation, dissociation of centrosome, and disintegration of the nuclear envelope. The centrosome's transfer to two opposing poles is crucial for creation of the mitotic spindle machinery with bipolar polarity later on. The interphase organization gets quickly lost in prophase in such a condensin-dependent way, according to recent comprehensive research (Gibcus et al. [2018](#page-90-0)). In early prophase, chromosomes become visible as linearly ordered structures, according to microscopy observations (Liang et al. [2015](#page-91-0)). In early prophase, sister chromatids are intermingled, but during late prophase, they separate. Every chromatid is depicted like a series of rings extending from a central core containing Topoisomerase II Alpha as well as condensing complexes (Samejima et al. [2012](#page-93-0)). Rising Cdk1-cyclin B expression during prophase is a hallmark molecular event (Gavet and Pines [2010](#page-90-0)).
- II. Prometaphase begins with the breaking of nuclear membrane, that occurs at the completion of prophase, and concludes with the completion of chromosomal aligning along the equator of the spindle, that indicates the start of metaphase. It is critical for accurate chromosomal separation to produce a metaphase plate with entire chromosomes aligned just at the equator of the cell adheres with the microtubules in the mitotic spindle. The perfect integration of numerous mitotic processes, including chromosomes' congressing to the spindle equator, nuclear envelope collapse and connection between microtubules of the mitotic spindle assembly and chromosome kinetochores are required to produce this configuration. In duplicated chromatids, kinetochore is a ring-shaped proteinaceous structure present in them (Santaguida and Musacchio [2009\)](#page-93-0). The chromatids shorten and thicken during prometaphase (Liang et al. [2015\)](#page-91-0), eventually forming fully condensed metaphase chromosomes (Paulson and Laemmli [1977](#page-93-0)).
- III. Metaphase; Whenever replicated chromosomes are oriented within metaphase plate in the center of cell, the metaphase begins. The kinetochore microtubules drag the sister chromatids backward and forward till they have aligned themselves in the equatorial plane during the metaphase. The SAC pathway monitors the chromosome segregation process to ensure that all the kinetochores get linked to opposing poles of microtubules prior to segregation begins just after the shift to anaphase from metaphase.

The cohesiveness between sister chromatids is destroyed when each and every chromosome have been appropriately aligned as well as the kinetochores were also properly linked, causing the completely separated chromatids to move toward the opposing ends of a cell due to the pulling effect of the spindle microtubules. The cell is currently in anaphase stage (Dhatchinamoorthy et al. [2018](#page-90-0)).

IV. Anaphase; The kinetochore-microtubules shortening and elongation of spindle in mid-zone are two mechanistically separate stages in anaphase. Each chromatid migrates toward its respective pole as kinetochore microtubules shorten. The detached sister chromatids further separate in the mid-zone by spindle elongation. In certain creatures, these two processes may be separated in time, while in others, they may occur simultaneously. These two stages are referred to as anaphase A and B, respectively (Wordeman [2010](#page-94-0)). Anaphase B typically begins in human mitotic cells 30–50 s after anaphase A (Su et al. [2016\)](#page-93-0). The spindle lengthens by 8 μm m during anaphase and by another 3 μm m during telophase (Wordeman [2010](#page-94-0)).

Telophase occurs after anaphase and begins with the recondensation of the chromosomes and the reconstruction of the nuclear membrane (Afonso et al. [2014\)](#page-89-0). During telophase, a parent cell's duplicated chromosomes split into the two identical daughter cells which are identical in the nucleus. To segregate nuclear DNA from cytoplasm, the nuclear membrane arises which surrounds each set of chromosomes. At the same time, chromosomal decondensation starts (Güttinger et al. [2009](#page-91-0)).

Cytokinesis; The physical division of a mother cell's cytoplasm into two daughter cells is known as cytokinesis (Fededa and Gerlich [2012](#page-90-0)). To produce offspring with the correct complement of the chromosomes. To produce offspring with the correct complement of the chromosomes, cytoplasm and chromosome segregation must be precisely synchronized (Lens and Medema [2019\)](#page-91-0). Reduced Cdk1 activity causes the mitotic spindle to reorganize and microtubules to stabilize during anaphase, resulting in cell cytokinesis. An essential early event is the building of the central spindle, which serves as a framework for midbody and aids in division plane specifications. Between two sets of chromosomes that are separated is the division plane. To avoid segregation errors, the plane's precise position is crucial. The constriction of actomyosin ring, that separates cytoplasm into two domains of developing new cells, triggers ingression of the cytokinetic furrow of connected plasma membrane. The final phase of cytokinesis is abscission (Mierzwa and Gerlich [2014](#page-92-0)). The plasma membranes of both the two new daughter cells are physically detached and it is known as abscission. The cytoskeletal structures of the intercellular bridge are removed by cells during abscission, which is following by contraction of cell cortex and plasma membrane separation (Schiel et al. [2012](#page-93-0)).

3.3 Time Determination for Cell Cycle

How can the duration of each cell cycle phase be determined? To begin, the duration of the whole cycle must be determined, which may be simply done in a homogeneous population of cultivated cells by estimating the number of cells present in a microscope and noting the quantity of time needed for overall cell number to get double. Alternately, after this period has been determined, the duration of S phase can be measured by adding 3H Thymidine to the culture for a short amount of time.

Because this is the period of DNA replication, 3H thymidine will only be absorbed into S phase cells. After that, the cells are autoradiographed, and the proportion of cells that have incorporated radioisotope is calculated by measuring the proportion of cells having exposed/reduced Ag grains.

The duration of each stage of the cell cycle is determined by multiplying the proportion of cells in that phase at any given time by the overall cell cycle time and a correction factor. In a population that is constantly dividing, a correction factor is required since there are always more young cells than elderly cells. For G1, a correction factor is 0.7, M have a correction factor of 1.4, and S-Phase cells have an intermediate value.

Light microscopy was used to scan the cell population and estimate the proportion of cells with condensed chromosomes at any given moment, the length of M phase can be estimated in a similar manner. The length of M phase is calculated by multiplying this by the entire cell cycle time and the correction factor.

As culturing cell samples are taken unless tagged mitotic chromosomes are seen, the length of the G2 phase can be determined. At the start of the incubation with 3H thymidine, the first cells where mitotic chromosomes were labeled had to be in the last stages of DNA synthesis. The duration of G2 refers to the time from the beginning of the labeling period and the appearance of cells with labeled mitotic (Toteja [2008](#page-94-0)).

Due to the lack of a G1 marker, the length of the G1 phase can be determined by adding $G2 + S + M$ and subtracting this number from the overall cell cycle time. Cell's DNA amount at distinct cell cycle stages in a cell can also be used to differentiate them. G1 animal cells, for example, are diploid (meaning they have two copies of each chromosome), hence their DNA content is referred to as 2n (n designates the genome's haploid DNA content). Replication raises the cell's DNA content from 2n to 4n during S phase, hence S cells have DNA levels varying from 2n to 4n. In G2 and M Cells possesses a content of DNA of 4n, which drops to 2n after cytokinesis. Using a cell sorter with fluorescence activation or a flow cytometer, DNA content of the cell can be assessed by using a fluorescent dye that attaches to DNA while cells are being incubated, then analyzing the intensity of each individual cell's fluorescence, thereby identifying cells in the G1, S, and G2/M stages of the cell cycle.

3.4 G1 Is the Period of the Cell Cycle with the Most Variability

The S phase lasts 6–8 h in cultured mammalian cells, but the M phase only lasts a few minutes. G2 is often smaller than G1 and has a more constant length, lasting 4–6 h on average. Based on the type of cell, the length of G1 can vary significantly. A typical G1 cycle lasts 8–10 h; however, a few cells stay in G1 for minutes or even in hours, while others spend weeks, months, or years in G1. During G1, the cell must decide whether or not to divide again and when. A Go state is defined as cells that are still in the G1 phase for a prolonged amount of time. The amount of DNA present in G1 period is found in tissues that generally do not separate [like skeletal muscle or
nerve cells] or that divide infrequently [like circulating lymphocytes]. Densitydependent growth inhibition (or contact inhibition) causes cultured cells to cease proliferating at G1 (Toteja [2008\)](#page-94-0).

At cell division, eukaryotic chromosomes go through condensationdecondensation cycles, whereas prokaryotic DNA does not. Under a microscope, the chromosomes in the interphase state are decondensed and therefore cannot be identified. It is now feasible to see interphase chromosomes because of advances in techniques such as somatic cell hybridization. When a mitotic and interphase cell gets united, the interphase nuclei undergo chromosomal condensation. Premature chromosomal condensation (PCC) is a term used to describe this phenomenon. PCC from G1 nuclei only shows one chromatid, but PCC from G2 nuclei shows two. This shows that DNA replication begins following the G1 phase but prior to the G2 phase.

When a mitotic cell joins an S-phase cell, the S-phase chromatin condenses as well. Condensation in the S-phase nucleus, however, can result in the creation of "pulverized" chromosomal fragments rather than whole condensed chromosomes because replicating DNA is particularly vulnerable to damage.

3.5 Molecular Events During Cell Cycle

During the interphase, transcription occurs. All of the interphase nuclei become labeled once the cells are labeled with 3H uridine for a short length of time. This indicates that RNA production continues during the interphase period. RNA synthesis, on the other hand, decreases drastically in late prophase, and no transcription occurs during metaphase or anaphase. During metaphase, the chromosomes are severely condensed, and the DNA cannot be accessed by RNA polymerase, hence the chromosomes do not transcribe.

Replication of DNA is the most important molecular event which happens within the S phase of interphase. The amount of DNA in the cell becomes double at this moment, and sister chromatids develop. Factors that stimulate DNA synthesis are found in S phase cells. Experiments with cell fusion show that fusion with S phase cells helps to promote the replication initiation in G1 phase. This factor has no effect on G2 nuclei. This clearly demonstrates that some mechanism prevents DNA synthesis during the G2 phase. The chromosomes' more condensed, heterochromatin regions replicate late in S phase in all cells. The dormant X chromosome of female mammals, centromeric heterochromatin, is so late replicating.

Protein synthesis occurs during the interphase, although it slows down once the cell reaches mitosis. During the S phase of interphase, the key basic protein histones, which interact with DNA to form chromatin, are created. The cell cycle is connected to a number of other chemical events, including:

- i). The drop in C-AMP levels that occurs during mitosis.
- ii). During chromatin condensation, histones (particularly H1) are phosphorylated (Toteja [2008\)](#page-94-0).

3.6 Cell Cycle Regulation and Its Regulators

The discovery of the processes at the molecular level which mediates the advancement of eukaryotic cells via the cycle of division is probably among the most fascinating achievements in contemporary cell biology. The regulation of cell cycle that we currently comprehend is the consequence of a convergence of findings on a wide range of organisms, including frogs, yeasts, sea urchins, and mammals. According to recent research, a common collection of protein kinases regulates the cell cycle of all eukaryotes that are in charge of initiating the main cell cycle transitions. CDKs, cyclins, cell-cycle checkpoints, and cell cycle signaling pathways are all part of cell cycle regulation.

3.6.1 Positive Regulators—Cyclins and CDKs

CDKs and cyclins are two types of proteins that work together. Pioneering research in yeasts have demonstrated the basic control of the cell cycle. A single CDK that binds to particular cyclins at various phases of the cycle regulates cell cycle progression in these organisms. It is known as Cdc2 in Schizosaccharomyces pombe and Cdc28 in Saccharomyces cerevisiae. During evolution, the number of cyclins and CDKs has greatly risen. A, B1, B2, C, D1, D2, D3, E, F, and H are the at least 11 distinct cyclins that have been identified. The cyclin frame is a conserved sequence of amino acids found in all types of cyclins that facilitates the interaction of cyclins with CDK. In CDK/cyclin complexes the regulating consequences of substrates such as localization, activation, and inactivation are determined by cyclins, which impart substrate specificity. Only a few cyclin–CDK complexes, however, are assumed to influence cell cycle advancement. Regulation of cell cycle according to the conventional model is constructed in eukaryotic cells based on this notion. Different CDKs and cyclins at different cell stages are shown in Table [3.1.](#page-74-0)

This model postulates that, unique Cyclin–CDK complexes are essential for initiating the numerous activities that occur during interphase in the mammalian cell cycle in a sequential and ordered manner. Cyclin D1, D2, and D3 are the first to detect mitogenic signals which particularly interact and stimulate CDK4 and CDK6 during G1, the cell cycle stage when cells get ready to begin synthesis of DNA (Malumbres and Barbacid [2001](#page-92-0)). When these complexes are activated, the pocket proteins rB, rBl1 (or p107), and rBl2 (or p130) are partially inactivated, allowing E-type cyclins (E1 and E2) to associate and stimulate CDK2 (Harbour et al. [1999\)](#page-91-0). These pocket proteins are also phosphorylated by cyclin E–CDK2 complexes, resulting in their total inactivation (Harbour et al. [1999\)](#page-91-0). Cyclins E are only available during the early stages of DNA synthesis and are strictly controlled during the cell cycle. This finding, combined with the capacity of dominant-negative mutants and CDK2 antibodies to stop the cell cycle in particular human cancer cell lines (van den Heuvel and Harlow [1993\)](#page-94-0), led to the conclusion that cyclin E–CDK2 was needed for the shift from G1 phase to S phase to occur (Hochegger et al. 2008). In the final stage

of DNA replication, cyclin A2 (in germ cells, cyclin A1) triggers CDK2 to accelerate the shift from the S phase to the mitotic phase, a stage referred as the G2 phase. Finally, A-type cyclins are hypothesized to stimulate CDK1 at the completion of interphase to speed up the commencement of mitosis. A-type cyclins are destroyed once the nuclear envelope is broken, allowing the CDK1–cyclin B complexes to form, which are crucial for pushing cells through mitosis5. Finally, in M phase, Cdk1/cyclin B complexes develop and drive mitotic completion (Riabowol et al. [1989\)](#page-93-0). Throughout the mammalian cell cycle, other CDKs also gets involved.

Stimulation of cyclin D and cyclin E can assist cells in transitioning from G1 toward the S phase, whereas stimulation of CDC2 can help cells transition from S to G2/M phase (Lim et al. [2014\)](#page-92-0). CDK activity is critical in controlling checkpoints of spindle polymerization and controlling cell cycle transcription. CDKs have the ability to start, promote, and finish cell cycle events (Angius et al. [2020\)](#page-89-0). The activation of CDKs can help a cell progress from one stage to the next. A large number of CDK and cyclin complexes regulate the cell cycle. CDK1 that has been activated can phosphorylate target proteins, resulting in physiological impacts like condensation of chromosomes, phosphorylation of nuclear fibrin, which causes nuclear membrane disappearance and nuclear fibrin breakdown. The purpose of CDK-G1 cyclin dimer is to govern G1 and S phases, whereas CDC2-cyclin A and B mediate the process of mitosis, according to diverse forms of CDC2 and CDK cyclin activation duration (Orlando et al. [2008\)](#page-92-0).

The production of activating cyclins and the phosphorylation of the cyclin-CDK complex are required for CDK activity to be strongly regulated. During cell cycle, CDK activity remains constant. Unlike CDK expression, cyclin levels fluctuate with the cell cycle, allowing CDKs to be activated by cyclins on a regular basis (Vermeulen et al. [2003\)](#page-94-0).

The CDK7-cyclin H complex, often termed as the CAK (CDK-Activating Kinase), phosphorylates the CDK, resulting in its expression and interaction with the CDK. CDK-Activating Kinase is required for complete CDK activity. CDKs are phosphorylated by CAK on preserved threonine residues, resulting in structural alterations which could increase cyclin adherence and therefore affect CDK activities. Stimulation of CDK4 necessitates phosphorylation of CDK4 threonine 172, stimulation of CDK2 necessitates phosphorylation of CDK2 threonine 160, and stimulation of CDK1 necessitates phosphorylation of CDK1 threonine 161 (Vermeulen et al. [2003\)](#page-94-0). By phosphorylating CDK1 on tyrosine 15 and/or threonine 14 by the kinases Wee1 and Myt1, the cyclin A-CDK1 complex can be

inhibited. CDK expression can be inhibited by phosphorylation of the cyclin-CDK complexes. This inhibiting phosphate can be removed by the enzyme Cdc25 phosphatase, and CDK1 dephosphorylation is necessary for complete stimulation and subsequently cell cycle advancement (Howell and Lew [2012\)](#page-91-0).

Target proteins which mediate cell cycle advancement are phosphorylated., and then active CDKs trigger downstream signaling events (Pines and Hunter [1991](#page-93-0)). The retinoblastoma tumor suppressive protein (pRB), is inactivated by phosphorylation by the cyclin D-CDK4/CDK6 complex to render it inactive, is among the most often investigated CDK substrates. pRb forms complexes with the transcription factors DP-1and E2F-1, as well as the histone deacetylase (HDAC) protein, when it is active.

pRb is phosphorylated during the G1 phase, resulting in its deactivation and the consequent releasing of DP-1 and E2F-1. Gene transcription is stimulated by E2F-1 essential in S-phase advancement like cyclin A, cyclin E, and Cdc25 (Buchkovich and Greider [1996](#page-89-0)). E2F also controls the gene expression which code for enzymes that synthesize nucleotides like thymidylate synthase, dihydrofolate reductase, and thymidine kinase (Bracken et al. [2004\)](#page-89-0). During the remaining portion of the cell cycle, pRb remains hyperphosphorylated, and the CDK2-cyclin E complex maintains such a hyperphosphorylated condition.

Additionally phosphorylating p27 (an inhibitor of complexes containing CDK2) all through the G1/S phase is the CDK2-cyclin E complex, resulting in its destruction (Hinds et al. [1992](#page-91-0)). The phosphorylation of histone H1 by the CDK2-cyclin E complexes, that is necessary for chromosomal condensation during replication of DNA. Cyclin B-CDK1 complexes use Histone H1 as a substrate. Finally, via phosphorylating the DNA polymerase alpha primase, cyclin A-dependent kinases regulate DNA replication initiation (Vermeulen et al. [2003\)](#page-94-0).

3.6.2 Negative Regulators

Negative regulators, which normally limit CDK activity, also govern the cell cycle. CDK activity can be inhibited by interacting with CDK inhibitors, or CKIs, which are cellular proteins. CKIs can block CDK activation by attaching to individual CDKs or the cyclin-CDK complex and their established and emerging functions are given in Table [3.2.](#page-76-0)

CKIs are classified into two groups: Cip (CDK-Interacting Protein)/Kip (Kinase Inhibitor Protein) and INK4 (CDK4 inhibitor). p21 (Waf1, Cip1), p27 (Kip1), and p57 (Kip1) are all members of the Cip/Kip family (Kip2) whereas p16 (INK4a); p15 (INK4b); p18 (INK4c); and p19 (INK4d) are all the INK4 family members (INK4d) as shown in Table [3.3](#page-76-0). Prior to cyclin binding, INK4 family members of CKIs deactivate CDKs by building stabilized compounds from separate CDKs. INK4 family members bound with CDK4 and CDK6 prevent INK4 family members from interacting with cyclin D and entering the G1 phase (Harper and Brooks [2005\)](#page-91-0).

A conserved area in these inhibitors is engaged in the attachment of cyclins and the inhibition of kinases (Harper and Brooks [2005\)](#page-91-0). Cip/Kip family members are

Protein	Established function	Emerging function	Reference
p21	Cdk/cyclin complexes inhibition	Silencing of Sox2 expression leads to NSC differentiation.	Marqués- Torrejón et al. (2013)
p27	Cdk/cyclin complexes inhibition Ngn2 stabilization leads to neuron induction.	Transcriptional co-repressors are recruited Silencing Sox2 expression leads to ESC differentiation	Pippa et al. (2012) Maskey et al. (2015)
p ₅₇	Cdk/cyclin complexes inhibition. Stabilization of MyoD promotes myoblast differentiation		

Table 3.2 Functions of CDK inhibitors

NSC Neural Stem Cell

ESC Embryonic Stem Cell

more specific than INK4 family members and they adhere to and prevent the processes of CDK2-cyclin A, CDK2-cyclin E, and CDK1-cyclin B complexes (Vermeulen et al. [2003](#page-94-0); Harper and Brooks [2005\)](#page-91-0). CKIs from the Cip/Kip family helps to activate the G1 phase by helping for the formation of cyclin D-CDK4/6 complex early in the G1 phase and keeping such a complexes stable all across G1 phase (Sherr and Roberts [1999\)](#page-93-0). The transcription factor and Tumor suppressor p53 is responsible for p21 expression. p53 is stimulated by a variety of biological stimuli, including Chk1, Chk2, Ataxia Telangiectasia Mutated (ATM), Ataxia Telangiectasia and Rad3-related protein (ATR), and members of a signaling cascade system which responses when damaged DNA is detected, and promotes the expression of p21 and which inhibits the advancement of the cell cycle beyond the G1 phase in response to cellular stresses (Albert et al. [2014](#page-89-0)). Ultimately, pRB can be dephosphorylated by PP2A phosphatases., allowing it to bind to E2F and decrease E2F activity and cell cycle advancement (Kolupaeva and Janssens [2013](#page-91-0); Mehraj et al. [2021a](#page-92-0), [2021b\)](#page-92-0).

3.7 Degradation of Cyclins

Unlike CDKs, cyclin concentration varies across the cell cycle and also during cell cycle entrance and exit, as shown in Fig. 3.3, (Evans et al. [1983](#page-90-0)). The progression of the cell cycle is significantly regulated by cyclins, their concentration of production and degeneration are strictly regulated. All across the cell cycle, certain cyclin transcript levels change, and the E2F transcription factors frequently transcribe some cyclins on a regular basis, as explained below (DeGregori et al. [1995\)](#page-90-0). Breakdown of cyclins through the proteasome degradation pathway is also important for regulating their levels (Glotzer et al. [1991](#page-91-0)). Following a sequence of enzymatic processes which attaches ubiquitins on the proteins, the proteasome targets them for degradation (Gerber et al. [1995\)](#page-90-0). Enzyme E1 that activates ubiquitin triggers the ubiquitin before being passed to the enzyme E2 that conjugates ubiquitin in these reactions. An E3 ubiquitin ligase confers specificity of the substrate by binding to the substrate and then attaches with the substrate and either permits immediate ubiquitin transference to the substrate from E2 or first moves ubiquitin toward the E3 and from there to the substrate. Multiple ubiquitinated substrates will become targets for degradation of proteasome. The cell cycle's rhythmic character is determined by the timely production and breakdown of cyclins (Davis et al. [2017](#page-90-0)).

Cyclins become ubiquitinated within the cell cycle, at the suitable period (Glotzer et al. [1991](#page-91-0)). Multiple ubiquitins on cyclins signal the proteasome to degrade them. The breakdown of cyclin is necessary for progression of cell cycle during various stages within the cell cycle (Sullivan and Morgan [2007](#page-93-0)). Mitotic cyclins and proteins that regulate sister-chromatid cohesion must be destroyed in order to enter anaphase (Harper and Brooks [2005\)](#page-91-0) Fig. 3.3 Following the removal of cyclins at the conclusion of the G1 phase, cells can enter the S phase (Clurman et al. [1996\)](#page-90-0).

Fig. 3.3 Cyclins through different phases of cell cycle

The Anaphase-Promoting Complex (APC) (Sullivan and Morgan [2007\)](#page-93-0) and the Skp1, Cullin, and F-box protein—named SCF complex—are three of its constituents which attaches to the target (Lisztwan et al. [1998\)](#page-92-0) are two complexes which allow ubiquitination of proteins that depends on the cell cycle and subsequently being destroyed via the proteasome. Throughout the cell cycle, the SCF complex can be seen in about comparable levels. Proteins are normally targeted by the SCF if they are phosphorylated at single or more regions to accomplish cell-cycle-dependent activity regulation (Sullivan and Morgan [2007](#page-93-0)).

For its function in the breakdown of cyclin E, the SCF is vital for controlling of cell cycle. Concentration of cyclin E surges during G1/S transition and also decreases during replication of DNA (Dulić et al. [1992\)](#page-90-0). For G1 progression, Cyclin E is both necessary and rate-limiting (Ohtsubo et al. [1995](#page-92-0)). The proteasome eagerly seeks for cyclin E and destroys it during S phase, its levels decrease (Hao et al. [2007\)](#page-91-0). The SCF complex's F-box protein FBW7 detects phosphorylated cyclin E and directs it for degradation (Koepp et al. [2001\)](#page-91-0). Following phosphorylation at conserved motifs, the Cdc4 phosphoserines, Cyclin E becomes a target for destruction (Koepp et al. [2001](#page-91-0)). FBW7 has a strong affinity for T380 and S384 phosphorylated cyclin E molecules (Welcker et al. [2003\)](#page-94-0). Cyclin E must be phosphorylated, ubiquitinated, and then degraded in order for it to express itself periodically and for the cell cycle to progress. FBW7 phosphorylates the regions on cyclin E that are mutated or inactivation of FBW7, there is constant CDK2-cyclin E activity all across the cell cycle. Excessive proliferation, chromosomal instability, and aberrant S phase arise from uncontrolled cyclin E-CDK2 activity (Siu et al. [2012\)](#page-93-0). The SCFFbw7's subunit known as Fbw7 is mutated in numerous tumors and tumor cell lines (Akhoondi et al. [2007\)](#page-89-0) and is a haplo-insufficient tumor repressor in mice, which is uniform with Fbw7's role in inhibiting rapid proliferation of cells (Mao et al. [2004](#page-92-0)).

The APC, a huge multi-protein structure stimulates the ubiquitination and protein degradation, which orchestrates the metaphase to anaphase shift, is another complex which regulates protein breakdown in the cell cycle (Harper et al. [2002\)](#page-91-0). The stimulation of the enzyme separase, which catalyzes the sister-chromatid segregation during anaphase, is triggered by the breakdown of securin by the APC (Irniger et al. [1995\)](#page-91-0). During the completion of mitosis, by cytokinesis, as well as in G1 phase, the APC also is responsible for destroying S and M phase cyclins (Irniger et al. [1995\)](#page-91-0). The APC ensures cell cycle progression by removing cyclins during parts of the cell cycle where they are not required.

Whereas phosphorylation of its targets triggers the SCF, activities of the APC are mostly controlled by activator subunit binding. Core APC subunits are phosphorylated by CDKs at cell cycle-specific sites which influences the binding of some activator proteins, results in various types of APC having variable target affinity during distinct phases of the cell cycle (Sullivan and Morgan [2007\)](#page-93-0). The activator proteins are CDK substrates, which allows them to induce cell-cyclespecific APC activity. In mitosis, M-phase CDKs phosphorylate APC component CDC20 as they become activated (Sullivan and Morgan [2007\)](#page-93-0) until the phosphorylation of CDC20 in mitosis, its association is prevented by an autoinhibitory loop

(Oiao et al. 2016). As a result, APC^{Cdc20}is formed, which is effective at mitosis once it degrades securin and releases separase. After that, the cleavage of cohesin by separase allows for the segregation of sister chromatids and the completion of mitosis in the cell. (Sullivan and Morgan 2007). APCCdc²⁰ similarly targets M-phase cyclins for degradation.. As a result, M-phase CDK activity activates APC which increases M-phase cyclin degradation, as a result, CDK activity in the M-phase and phosphorylation of APC gets reduced (Sullivan and Morgan [2007\)](#page-93-0). This negatively feedback mechanism assures that cells experience a robust, quick increase in M-CDK activity followed by a rapid drop.

As the cell proceeds through late mitosis and early G1, the accessory component linked with the APC switches from CDC20 to Cdh1 and the major type of the APC is now the APC^{Cdh1}. Cdh1 binds better than Cdc20 when APC components are not phosphorylated (Sullivan and Morgan [2007](#page-93-0)). APC^{Cdh1} ubiquitinates and degrades Cdc20, allowing the APC to progress from its APC^{Cdc20} state in metaphase and anaphase to its APC^{Cdh1} state in G0/G1 (Huang et al. [2001\)](#page-91-0). Until the cell commits toward the following cell cycle APC^{Cdh1} degrades S and M phase cyclins, during quiescence and G1, (Peters [2002\)](#page-93-0). Cdh1 is phosphorylated in early mitosis, which inhibits it from associating with the APC (Hall et al. [2004\)](#page-91-0). Cdh1 is phosphorylated at this moment in the cell cycle and APC^{Cdc20} accumulates again, allowing the cycle to continue (Peters [2002](#page-93-0)). Activation of phosphatases later in mitosis causes Cdh1 to be dephosphorylated and APCCdh1 activity to be restored (Jaspersen et al. [1999\)](#page-91-0).

Cdh1 has been linked to quiescence and differentiation in a variety of species. Rapidly dividing embryos in Xenopus and Drosophila do not express Cdh1 (Peters [2002\)](#page-93-0). Because of the differentiation and the beginning of the cell cycle's G1 phase, Cdh1 expression is induced (Peters [2002\)](#page-93-0). Fission yeast cells with a Cdh1 deficiency when deprived of nutrients, they are able to multiply but do not cease in G1 phase (Peters [2002](#page-93-0)). Postmitotic cells, including those in the brain, express APCCdh1 (Gieffers et al. [1999](#page-91-0)).

3.8 Checkpoints of the Cell Cycle

The cell cycle checkpoint is a regulatory route that governs the order and duration of cell cycle transition and is important so that the cell maintains the allocation of chromosome and quality of DNA replication. Checkpoints give time for repairing by halting the cell-cycle and responding to DNA damage by activating genes or transcription that facilitate repairing (Elledge [1996](#page-90-0)). Some medications can disable cell cycle checkpoints at important stages in the cell cycle, permitting cells containing damaged DNA to bypass the repair process and proceed directly to the next stage, triggering the apoptosis pathway and inducing cell death.

The halting of the cell cycle, detection of DNA damage, and starting repairing of DNA are all done by the DDR (DNA Damage Response). Cell cycle checkpoints are being triggered during the G1, S, and the G2/M transition when DNA is damaged. DSBs activate Ataxia Telangiectasia Mutated (ATM) kinase, which initiates the G1 checkpoint by phosphorylating and activating checkpoint kinase 2 (CHK2). ATM

has the ability to activate CHK2, which then activates p53. Cell cycle control, repairing of DNA, metabolism, senescence, autophagy, and cell death are just a few of the critical signaling pathways that are all controlled by activated p53 (Roos and Kaina [2006\)](#page-93-0). For instance, during cell cycle advancement, p53 will effectively control the levels of expression of critical kinases CDK and p21, resulting in G1 blockage and cyclin E CDK2 complex inhibition (Khan et al. [2020](#page-91-0)). The p21 protein interferes by suppressing cyclin at the G1 checkpoint, the cell can move from the G1 phase to the S phase. The checkpoint within the S phase gets triggered to inhibit continued replication when DNA gets damaged during S phase, as a outcome of nucleotide excision/repair processes, DSB resolution intermediates, or as halted replication forks (Visconti et al. [2016\)](#page-94-0). Ataxia telangiectasia and rad3 associated (ATR) kinase detects this damage and activates checkpoint kinase 1 (CHK1), which causes CDC25A protein body disintegration and stops S phase progression (Errico and Costanzo [2012](#page-90-0)). CHK1 and ATR also can be activated by G2/M checkpoints, which prohibit DNA-damaged cells to progress to mitosis. WEE1 is phosphorylated by CHK1, generating increased phosphorylation of phospho-Cdc2 (Tyr15) of CDK1and CDK2 and cell cycle halt during the G2 phase (Ronco et al. [2017](#page-93-0)). To enhance cell cycle arrest, ROS can activate CHK1 or directly influence the Cdc25 family of protein phosphatases (Cdc25A, B, and C) (Srinivas et al. [2019](#page-93-0)). WEE1, a bispecific kinase with a molecular weight of 96 kDa phosphorylates tyrosine 15 of CDK1 and is a major enzyme in blocking G2/M metastasis, has a significant impact on how the cell cycle progresses. WEE1 controls CDK1 activity, allowing the DDR process more time to repair DNA (Khan et al. [2020](#page-91-0)). Many cancers, including hepatocellular carcinoma, glioblastoma, and melanoma, overexpress WEE1 (Magnussen et al. [2012\)](#page-92-0). The proper separation of the replicated genome is obtained in mitosis by a defensive process known as the SAC (Spindle Assembly Checkpoint) that delays entry into the later phases of mitosis to prevent errors in chromosomal separation (Masaki et al. [2003\)](#page-92-0). In the final phases, SAC enhances complex/cyclic body (APC/C), prevents ubiquitin ligase and postponing the breakdown of cyclin B and the subsequent inhibitor securin unless all chromosome becomes linked bipolarly. The mitotic checkpoint complex (MCC) is used to apply SAC by attracting tension-free or detached centromeres (Visconti et al. [2016](#page-94-0)).

3.9 Signaling Pathways in the Cell Cycle

NF-κB signaling pathway, ATM CHK2/ATR-CHK1, p53 signaling pathway, PI3/AKT/mTOR signaling pathway or JAK-STAT signaling pathway are only a few of the signaling pathways that can control the cell cycle (Khan et al. [2020](#page-91-0); Mir et al. [2020\)](#page-92-0). The cell cycle can be controlled by altering the utterance of proteins, kinases, or genes across various signaling paths (Zhang et al. [2019](#page-94-0)). The DDR signal cascades ATR-CHK1 and ATM-CHK2 get activated by DSBs as well as SSBs, accordingly. These pathways must be activated in order for DNA repairing mechanism and checkpoints to work together. ATM is considered that it may reside as an dormant homodimer in healthy cells. ATM homodimer that is dormant was quickly driven into autophosphorylation at the intermolecular level in response to DSBs, resulting in dissociation and formation of part of the active monomer (Bakkenist and Kastan [2003](#page-89-0)). When ATM is active, CHK2 is activated, and downstream signaling pathways are activated as well. Base adducts, DSB, replication stress, and crosslinking are many kinds of DNA damage that activate ATR (Cimprich and Cortez [2008\)](#page-90-0).

The phosphorylation of proteins is necessary for ATR-CHK1 and ATM-CHK2 stimulation and is shown in Fig. 3.4 (Chen et al. [2011\)](#page-90-0). To activate ATR, it must bind to ssDNA (single-stranded DNA) bound with RPA (Replica Protein A) (Haahr et al. [2016\)](#page-91-0). When ATR is active, p21CIP1 is upregulated, which subsequently activates CHK1. Cell cycle checkpoints get activated, the cells have entered the phase of DNA repairing, and the cell-cycle is stopped after ATM-CHK2 and ATR-CHK1 pathways get initiated (Mitri et al. [2015\)](#page-92-0). DNA-damaged cells cannot enter the G1, S, or G2 phases when these two pathways are activated (Chen et al. [2011\)](#page-90-0). Inhibition of the ATM-CHK2 and ATR-CHK1 pathways, on the other hand, inhibits checkpoints in the cell cycle, permitting damaged DNA-containing cells to begin the mitotic cycle without having to pass via repairing phase, and stimulates cycle advancement (Chen et al. [2011\)](#page-90-0).

Tumor cell proliferation, survival, invasion, and immunosuppression are all attributed to the JAK-STAT3 signal (Yu et al. [2014;](#page-94-0) Mehraj et al. [2022a](#page-92-0), [2022b\)](#page-92-0). Multiple layers of regulation regulate the JAK-STAT pathway. JAKs can be inhibited by Protein Tyrosine Phosphatases (PTPs), cytokine signaling proteins (SOCS), and other proteins (Seif et al. [2017](#page-93-0)). STATs can be adversely regulated by PIAS protein, nuclear PTPs (like SHP2 and TCPTP), and intracellular PTPs (like

Fig. 3.4 ATM-Chk2 and ATR-Chk1 activation pathways

TCPTP and PTP1B). Activated STATs inhibitors bind with STATs in response to cytokine stimulation and reduce STATs transcriptional activity through various methods (Shuai and Liu [2003](#page-93-0)). A protein called p53 is the result of p53 gene mutations. In many forms of stress reactions, like as cell cycle halt and cell death, it has an anti-proliferative effect. The p53 gene, for example, is activated when cells are injured or their growth is aberrant, causing the cell cycle to be halted as well as even cell death (Vavrdová et al. [2019](#page-94-0); Mehraj et al. [2021a](#page-92-0), [2021b\)](#page-92-0). Mutated genes and cellular proteins can easily influence p53 in tumor cells. The oncoprotein Mdm2 is a strong p53 inhibitor. Association of Mdm2 with the transcriptional activation domain of p53, preventing it from regulating target genes and acting as an antiproliferator. In a controllable feedback mechanism loop of self-regulating feedback, p53 stimulates the expression of the Mdm2 gene (Haupt et al. [1997](#page-91-0)). Nutlin-1, a Mdm2 small molecule antagonist, will trigger the p53 pathway, prevent tumor development, and stop cell development in the G1 and G2 phases (Vassilev et al. [2004\)](#page-94-0). Mammalian cells contain the transcription factor called Nuclear Factor-kappa B (NF-κB) that belongs to the Rel family of eukaryotic transcription factors. The NF-κB signaling system is a comprehensive mechanism that controls hundreds of genes' expression. Cell stress response, cell proliferation, cell survival, immune inhibition, and innate immunity are just a few of the activities that these genes are involved in a number of cells and organisms (Courtois and Gilmore [2006](#page-90-0)). NF-κB is strongly expressed in cancerous cells in comparison with normal cells and it promotes tumor metastasis significantly (Erez et al. [2010](#page-90-0)). Signal transmission, cell division, cell death, angiogenesis, and metabolism and other biological activities are all controlled by the signaling pathway of PI3/AKT/mTOR (Ying et al. [2020\)](#page-94-0). The signaling pathway PI3/AKT/mTOR has a lot of potential in cancer treatment. PI3/AKT/mTOR increases the emergence and growth of malignancies by participating in the cell cycle in cancer cells (Ying et al. [2020\)](#page-94-0). Simultaneously, studies have revealed that inhibiting tumor growth by blocking PI3K/AKT/mTORmediated autophagy (Ying et al. [2020](#page-94-0)).

3.10 Importance of CDKs in Cell Cycle and Transcription

CDKs mediate division of cells in responses to intracellular and extracellular stimuli by serving as catalytic components that form a heterodimer compound with the cyclins that serve as regulatory components (Canavese et al. [2012\)](#page-90-0). Twenty-nine cyclins and twenty CDKs are present in human cells (Cao et al. [2014](#page-90-0)). Transition of cell-cycle and cell division is actively mediated by CDK1, CDK2, CDK3, CDK4, CDK6, and CDK7; however, CDK7–11 is engaged in gene transcription. CDK activity varies cyclically all across cell cycle (Cicenas and Valius [2011](#page-90-0)).

3.11 The Significance of CDKs in the Cell Cycle

The large proportion of cells having diploid DNA content in almost all of the adult tissues are halted in a quiescent G0 phase, which is either temporary (quiescence) or long lasting (senescence or terminal differentiation). By being activated by mitogenic chemicals (growth factor or hormone), the cell cycle is re-entered by quiescent cells following mitosis. Such components converge upon the cell cycle to trigger intracellular signaling network connections which interact with CDK4 as well as CDK6 to promote transition of cell cycle through G0 phase to G1 and then toward S phase. The Cyclin D1/D2/D3 positively control CDK6 and CDK4 activity, whereas inhibitors of CDK from the INK4 family negatively regulate it (p15INKB, p16INK4A, p18INK4C, and p19INK4D) (Malumbres and Barbacid [2001\)](#page-92-0).

RB1 encodes the tumor-suppressing protein RB, and also highly similar proteins p107 (or RBL1) and p130 (or RBL2) which are phosphorylated by highly active cylcinD/CDK4 and CDK6. To restrict G1/S transition, the RB protein attaches co-repressors and suppresses the gene transcription mediated by Transcription Factors E2F. As a result, repeated phosphorylation of RB renders it inactive, permitting the cell cycle to progress through G1 phase to S phase. Furthermore, phosphorylated RB inhibits Transcription Factor E2F and promotes the gene transcription of G1/S like CCNA, CCNB, cyclin E (CCNE), RRM1 (ribonucleotide reductase M1), RRM2, dihydrofolate reductase (DHFR), BUB1 mitotic checkpoint serine/threonine kinase, spindle checkpoint protein MAD2 and Polo-like Kinase 1 (PLK1) are engaged in cell cycle advancement and G1–S phase transition (Asghar et al. [2015\)](#page-89-0). The gene targets of E2Fs (cyclins E1 and E2) are stimulated in the late G1 phase, interacting and triggering of CDK2, which was previously isolated by 2 CDK inhibitors p27KIP1 and p21CIP1 and also p21CIP1 and p27KIP1 proteolysis by ubiquitin.

CDK2 is also triggered by CDC25A (cell division cycle 25A) that eliminates phosphorylation in CDK2 (ABE and WATANABE [1995\)](#page-89-0). Besides that, CDK2 when activated has the ability of phosphorylating wide variety of substrate profile proteins necessary for cell advancement (like RB, E2F1, and p27KIP1), synthesis of histones (like coactivator of histone transcription (NPAT) and nuclear protein,), replication of DNA (including replication factors A and C), and duplication of centrosome like NPM (Nucleophosmin) (Deshpande et al. [2005](#page-90-0)). The active complex of CDK2 and cyclin E controls RB to nullify the G1/S phase point of restriction at the boundary, causing beginning of S phase and the formation of a positive feedback loop. CDK2 and CDK4/6 activity co-ordinate cell-cycle advancement toward S phase, known as the "restriction point," where the existing cell-cycle can be completed without the use of mitogens. As S phase comes to an end, cyclin E is removed by cyclin A and produces a novel structure, CDK2/cyclin A, where the ubiquitylation is caused by the FBXW7 protein (F-Box/WD repeat-containing protein 7) that promptly destroys cyclin E (Deshpande et al. [2005](#page-90-0)). By phosphorylation of E2F1 and CDC6, the CDK2 and cyclin A complexes conclude the S phase and push the shift toward the G2 from S phase. CDK1 is stimulated via cyclin A, allowing the cell to start the M phase transition. The complex cyclin B/CDK1

maintains CDK1 activity throughout mitosis. The phosphorylation of activated CDK1 causes the chromosome to condense, the nuclear envelope to break down, and the mitotic spindle to assemble. The SAC (Spindle Assembly Checkpoints) regulate the transitions from mitotic metaphase to anaphase, and by the breakdown of cyclin B by APC/C, CDK1 activity is suppressed and the anaphase is triggered (Gavet and Pines [2010](#page-90-0)). CDK1 expression is disrupted, allowing for chromosomal separation and mitotic and cytokinesis conclusion. CDK1 is almost the only CDK necessary for cell-cycle advancement, as this starts mitosis and helps to ensure major steps in cell replication happens in the correct sequence (Fisher [2011](#page-90-0)). Stimulation of CDK1 is mediated by such a balance between the phosphorylation of CDC25C phosphatases, a membrane-associated tyrosine- and threonine-specific cdc2-inhibitory kinase, MYT1 or PKMYT1 (Myelin Transcription Factor 1), and WEE1 G2 checkpoint kinase, in addition from its cyclin partners. CDK1 is phosphorylated at Tyr 15 by WEE1, whereas phosphorylation of MYT1 is done at Tyr 15 and Thr 14 to prevent CDK1 activity (Giannone et al. [2019](#page-90-0)) and CDC25C phosphatases relieve this phosphorylation. Cells are propelled from the G0 phase into the S phase by cyclin C/CDK3 phosphorylating RB. The cell leaves the cell cycle and enters the permanent or reversible G0 phase which is mediated via CDK3/cyclin C (Ren and Rollins [2004](#page-93-0)).

DNA damage arrests or stops the cell cycle, which is controlled through cellcycle checkpoints, enabling for DNA repairing prior to cell cycle progresses into mitosis. Two important checkpoints in the cell cycle respond to DNA damage, which takes place before and after synthesis of DNA in the G1 and G2 phases, respectively, and have an impact on the activity of certain CDK complexes. The ATM (Ataxia Telangiectasia Mutated), ATR (Ataxia Telangiectasia and Rad3-related), PI3K (Phosphatidylinositol 3-Kinase)-like protein kinases, the CHEK1 gene and CHEK2 gene, which encodes the transducer Checkpoint Kinases CHK1 and CHK2, respectively, all are checkpoint kinases that are essential DNA damage signaling mediators (Zhao et al. [2001](#page-94-0)). ATM/ATR detect DNA damage signaling and subsequently phosphorylate and stimulate CHK1/CHK2 (Santo et al. [2015](#page-93-0)). The activation of p53 is due to the involvement of activated CHK2 which in response results in G1 halt during early stages which is p53-dependent, which allows chance for DNA repairing (Matsuoka et al. [1998](#page-92-0)).

The CKI, p21CIP1 gene is activated by p53 activation, which inhibits the cyclin E and CDK2 complex and upregulates the DNA repairing mechanism downstream. If the DNA repairing system fails or the cells are unable to program themselves to adapt to the rigorous cell-cycle halt that is alive, p53-induced apoptosis will cause the cells to die (Koniaras et al. [2001\)](#page-91-0). Through phosphorylation of CDC25A, active CHK1 causes ubiquitination and proteolysis, producing brief S phase arrest.

Furthermore, CDC25C is phosphorylated and inactivated by active CHK1, resulting in cell cycle halt at G2 phase. Additionally, WEE1 is phosphorylated more frequently when CHK1 is active, which raises CDK1 and CDK2's prohibitory Tyr15 phosphorylation, as well as halt the cell cycle in the G2 phase (Yavuz et al. [2021\)](#page-94-0). Reductions of CDK activities in the G2 phase also can boost WEE1 activity (Zheng et al. [2007\)](#page-94-0). The Mitotic checkpoint or SAC serves as a moderator of chromosomal binding to the mitotic spindle in metaphase, that is also mediated through TTK protein kinase commonly referred as Monopolar Spindle 1 (MPS1). SAC stimulation causes a halt in the cell cycle in a temporary manner by suppressing APC/C activation.

The TTK binds several proteins of checkpoints to the kinetochores throughout the mitosis by phosphorylation of its substrates to assure proper chromosomal separation and integrity of the genome in order to create as well as manage the mitotic checkpoint (Lara-Gonzalez et al. [2019\)](#page-91-0). SAC protects genomic instability caused by chromosomal segregation abnormalities in this way. Following SAC passage, the APC/C E3 ligase complex activates and labels cyclin B, preventing it from being broken down by ubiquitin and mitotic start (Thu et al. [2018](#page-93-0)). In short, checkpoints provide a failsafe mechanism for maintaining genetic integrity from parental to daughter cells. Checkpoint activation leads to CDK inhibition, indicating that CDK is a critical driver for progression of cell cycle.

3.12 CDKs and Transcription: What They Do

The largest component of RNAPII (RNA polymerase II) is Rpb1 has a repeat of the evolutionary preserved conserved heptapeptide (Tyr-Ser-Pro-Thr-Ser-Pro-Ser) in its (CTD) C-terminal domain (Whittaker et al. [2017](#page-94-0)), the synthesis of messenger RNAs (mRNAs) in mammals is highly controlled in mammals and therefore is split into different stages of initiation, pausing, elongation, and termination. Through changes in its phosphorylation level, the CTD plays an important function in the chromatin structuring and a transcriptional and co-transcriptional activity must be coordinated and in the processing of RNA (Jeronimo et al. [2015](#page-91-0)). Several CDK and Cyclin components, like CDK1 and CDK2, as well as the majority of transcriptional CDKs, like the subfamilies of CDK7, CDK8, and CDK9, phosphorylate, Ser2, Ser5, Ser7, Thr4, and Tyr1in the heptapeptide (Jeronimo et al. [2015\)](#page-91-0). For the promoters' transcriptional activity to begin, the CTD-RNAPII must be phosphorylated at Ser5 and Ser7. Ser5 phosphorylation declines during starting transcription, while phosphorylation of Ser2 and Tyr1 rises, promoting elongation of transcription. First dephosphorylation of Tyr1 during termination of transcription, succeeded by Ser2, Ser5, and Ser7 allowing the transcriptional cycle to be restarted (Galbraith et al. [2019\)](#page-90-0).

The Pre-Initiation Complex (PIC) is formed by DNA unwinding and promoter recognition, which initiates active transcription. RNAPII interacts with a large multisubunit mediator complex as well as other general transcription factors. The core promoter is bound by the transcription factor II D's (TFIID) TATA binding protein, forming the PIC (Pre-Initiation Complex), to begin the process as shown in Fig. [3.5.](#page-86-0)

CDK8 and CDK19 bind to cyclin C, that are components of the MED (Mediator Complex Kinase Module), which serves as a molecular connection between the promoter's general RNAPII Pre-Initiation Complex transcriptional machinery and the signals specific to a gene from DNA linked Transcriptional Factors (Taylor-Harding et al. [2015](#page-93-0)). Med12 (Mediator Complex subunit 12), Med13, cyclin C, and

Fig. 3.5 The cycle of pre-initiation, elongation, and termination of RNAP II-based transcription is mediated by complexes of CDK and cyclin

CDK8 (or CDK19) make up the four components of kinase module of MED, which is usually related with transcription repression. Cyclin H is phosphorylated by MED to prevent formation of Pre-Initiation Complex which inhibits TFIIH's action on CTD, and it phosphorylates the CTD-RNAPII that prevents it from interacting to DNA promoter and obstruct the Pre-Initiating Complex(PIC) from forming (Lim and Kaldis [2013\)](#page-91-0). The 10-subunit general transcription factor, which contains the TFIIH complex, is made up of the Mat1 (Menage a trois-1) a ring-finger protein, the catalytic subunit CDK7, and the regulatory component cyclin H that acts like an ATPase, protein kinase, and helicase, as well as being the last to be recruited. In the active site of RNAPII, expression of the helicase unwinds the DNA and forming single-stranded DNA at the transcription initiation site. The Ser5 and Ser7 of CTD-RNAPII are phosphorylated by the CDK7 component in the TFIIH complex that has a kinase activity, that aids transcription start and promoter clearing. The CTD that has been phosphorylated further aids the attachment of a capping enzyme, which catalyzes insertion of a methyl-guanosine cap to the nascent mRNA's 5'end (Whittaker et al. [2018\)](#page-94-0). By discharging the promoter at proximate halt and encourage extension, CDK9 which is phosphorylated and stimulated by cyclin H/CDK7 that serves as a CAK (CDK-Activating Kinase) attaches to cyclin T1 and T2 as a component of P-TEFb (Positive Transcription Elongation Factor b). To generate elongation complex stalling, the pre-mRNA transcript is extended by the stimulated CDK9 and cyclin T by phosphorylating DSIF (5,6-dichloro-1-beta Dribofuranosylbenzimidazole sensitivity-inducing factor) and NELF (Negative Elongation Factor) and CTD is also phosphorylated on serine 2 by it to activate its RNA polymerizing action (Wohlbold et al. [2012](#page-94-0)). P-TEFb binding requires CTD phosphorylation by CDK7, and CDK7 inhibition reduces Ser2 phosphorylation by CDK9 (Viladevall et al. [2009](#page-94-0)).

CDK12 and a closely related homolog CDK13, together as well as the related component cyclin K, are also involved in phosphorylating Ser 2 at CTD in recent research. Early in the transcription process, Ser 2 is phosphorylated by CDK9 and subsequently transfers its function to CDK12 for the greater part of the elongation process; whereas involvement of CDK12 in CTD phosphorylation is specific for a gene (Jeronimo et al. [2016\)](#page-91-0). CDK12 is associated with alternative exon splicing, which is essential for the cells responses to DNA injury, forming a novel connection across the cell cycle regulation and transcriptional machinery (Blazek et al. [2011\)](#page-89-0). To stop the transcription, CTD is phosphorylated by CDK, however its physiology is still unknown (Malumbres [2014](#page-92-0)). Cyclin L and CDK11 associates with a range of transcription elongation factors, including facilitates chromatin transcription (FACT), TFIIS (general Transcription Factor II S), TFIIF (general Transcription Factor II F), and ELL2 (RNA polymerase Elongation Factor 2). Furthermore, cyclin L/CDK11 regulates splicing of RNA by Phosphorylating Factors involved in splicing of pre-mRNA, like as 9G8 (Srfs7) and SC35 (Srfs2) (Lim et al., 2013). Termination of transcription is enhanced by Sarcoplasmic Calcium-Binding Protein 1 (SCP1) by dephosphorylating Ser 5 of CTD-RNAPII (Whittaker et al. [2017\)](#page-94-0). The dephosphorylation mechanism needs to be investigated in more detail; however, Phosphatases that compete with some CDKs, like Cdc14, are thought to play a role (Clemente-Blanco et al. [2011](#page-90-0)).

CDK8 and CDK19, at the level of promoters, stimulate the transcription machinery. Cyclin H is phosphorylated by CDK8 and CDK19 as well to prevent the PIC from being assembled, which inhibits TFIIH activity, and to prevent RNAPII from attaching to promoter DNA, it phosphorylates its CTD and preventing the PIC from being assembled. CDK7 and CDK9 elongate mRNA by phosphorylating CTD-RNAPII in a stepwise manner. Ser2 phosphorylation at the CTD is likewise controlled by CDK12 and CDK13 and their co-factor cyclin K, facilitating mRNA elongation. The coordination of transcription and RNA splicing is facilitated by CDK11. SCP1 stimulates transcription termination whereas NELF and DSIF prevent elongation.

3.13 Cancer, CDKs, and CDK Inhibitors

Adult tissues achieve homeostasis when a dormant pool of stem cells produces daughter progenitor cells having a greater ability for proliferation on a regular basis. Quiescence is required to prevent adult stem cells capacity for repopulation from being exhausted prematurely during the course of a person's lifetime. Recent data suggests that the modulation of CDK-cyclin complexes by CDK inhibitors is necessary to maintain the state of dormancy in several stem cell types. Unlike CDK downregulation, which can lead to a loss of homeostasis in certain organs, CDK hyperactivation can promote tumor growth by causing unplanned division of cells in stem or progenitor cells.

3.14 Interphase CDKs Are Dysregulated in Tumor

Human cancer is largely caused by changes in CDKs and their regulators have been thoroughly explained. CDK6 and CDK4 activity deregulation has been linked to a range of tumors (Malumbres [2014](#page-92-0)). A mutation that causes miscoding (Arg24Cys) in CDK4 allows INK4 inhibitor binding to be prevented among a limited group of melanoma patients. As a result of adjacent translocations, CDK6 is highly expressed in some leukemia's. Cdk4 and Cdk6 are also overexpressed or amplified in numerous types of tumors (such as breast tumors, melanoma, glioma, sarcoma as well as lymphoma).

However, it seems difficult to determine the causal involvement of these changes in tumor growth; for instance, Cdk4 and MdM2 are amplified together in the majority of such tumors (Malumbres [2014](#page-92-0)). INK4 inhibitors and D-type cyclins are mis-regulated in almost all tumor types (Ortega et al. [2002\)](#page-92-0) implying that CDK6 and CDK4 kinases are overactive during tumor in people, with CDK4 preferred in tumors of the epithelium (mucosae and endocrine tissues) and some sarcomas and CDK6 preferred in mesenchymal tumors (sarcomas and leukemias) and in human cancer, Cdk2 has not been identified to be mutated. On the other hand, E-type cyclins are strongly expressed in human cancers, and p21 as well as p27 inhibitors are typically repressed throughout tumor growth. These findings point to a possible role for CDK2 in human cancers.

In mice, experimental cell cycle-dysregulation causes the formation of cancers. As, CDK4 stimulation is fundamental in a knock-in strain carrying the Arg24Cys miscoding mutation observed in people with cancer leads to hyperplasia's of the epithelium (of the gut, breast, and liver), endocrine neoplasia's (Leydig cells, insulinomas, and pituitary tumors) and albeit with long latencies, sarcomas (Rane et al. [2002\)](#page-93-0). Such mice do not acquire cancer until they are exposed to a skin cancercausing substance (Sotillo et al. [2001](#page-93-0)). Concepts for CDK6- or CDK2-induced carcinogenesis are not known at this time. Tumor formation is caused by the deletion in the germ line of mice either of p21 or p27 (Martín et al. [2005](#page-92-0)). CDK2 somehow does not appear to perform a substantial function in cancers that lack these inhibitors, according to genetic research (Martín et al. [2005](#page-92-0)). Because p21 and p27 both suppress CDK1, it is plausible that deregulated CDK1 activity is to blame for tumor formation in cancers that lack p21 or p27 expression.

3.15 Summary

Cyclins, CDKs that binds with the CDKs, Inhibitors of CDK, as well as the substrates all regulate the cell cycle in some way. During quiescence, CDK activation piles up and regulates the transition of cell cycle. Cells are committed to cell cycle entrance after an abrupt change in this process. Complexes of CDK are well recognized. For their functions in progression of cell cycle control, cell proliferation, gene transcription and constituting a framework for regulating cell-cycle promoting activity in responses to numerous extracellular and intracellular signals. The

discovery of the molecular mechanisms that control the passage of eukaryotic cells through the division cycle is one of the most exciting achievements in contemporary cell biology. Some features of checkpoint signaling, whether in the context of human growth and illness or as a basic principle, remain unclear. Undoubtedly, we will discover previously unknown features of checkpoint signaling, and the expanding arsenal of extremely advanced experimental methods and technologies will enable a more complete understanding of the cell cycle's extraordinary faithfulness.

3.16 Further Readings

For further understanding of cell cycle and its regulators; see Chapters 17 and 18 of; Molecular biology of the cell (4th edition) by Bruce Alberts et al. See also Chapter 18 of; The cell: A Molecular Approach (8th edition) by Geoffrey M. Cooper

For more insights about the topic we would suggest detailed findings from the books of (Mir 2022) <https://doi.org/10.1016/C2021-0-02565-7>, [https://doi.org/10.](https://doi.org/10.1016/C2022-0-00074-X) [1016/C2022-0-00074-X](https://doi.org/10.1016/C2022-0-00074-X) (Mir 2021) <https://doi.org/10.52305/WXJL6770>

The below links for video lectures may also be helpful:

<https://youtu.be/foR2tZHj5Eo> <https://youtu.be/nEMMKzYQf9A> <https://youtu.be/EZTPHtwKB48> <https://youtu.be/g7iAVCLZWuM>

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Cell Cycle and Cancer

Manzoor Ahmad Mir **a** and Shazia Sofi

4.1 Introduction

Cancer is the most lethal disease leading to a low life expectancy worldwide (Mir and Mir [\(2022](#page-111-0)); Mir et al. [2022a](#page-111-0)–[e](#page-111-0); Sung et al. [2021\)](#page-113-0). According to World Health Organization (WHO) estimations for 2019, cancer is the third or fourth top cause of death before the age of 70 in 23 countries and the first or second leading cause in 112 of 183 nations (Bray et al. [2021\)](#page-109-0). Hence, cancer is a major life-threatening disease that poses a great challenge to the present biomedical knowledge and treatments. Unfortunately, the complexity of the disease at the tissue level makes it difficult to accurately diagnose it and ensure that treatment is effective (Meacham and Morrison [2013](#page-111-0); Fisher et al. [2013\)](#page-110-0). Prostate, lung and bronchi, colon and rectum, and urine bladder are the main organs in men that are most severely impacted by cancer. Breast, lung, bronchus, colon, rectum, uterine corpus, and thyroid cancer prevalence in women have been found to be highest, correspondingly. This data estimates that prostate and breast cancer as the most prevalent type of cancer seen in men and women, respectively (Mir and Gul [2022](#page-111-0); Siegel et al. [2020\)](#page-113-0). While as blood cancer, and cancers related to the brain and lymph nodes, are the most common cancers found in children that account for about 28% of all cancers in children (Schottenfeld and Fraumeni [2006;](#page-113-0) Mehraj et al. [2022\)](#page-111-0).

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4.2 Cancer Development

A condition known as cancer causes some body cells to grow out of control and propagate to other parts of body to form new tumours (a process called metastasis). The progression of cancer, termed as carcinogenesis, can be best described by enlisting all the features of cancer cells that stir up the process and hence make them distinct characteristics of cancer cells. Cancer progression depends upon the procurement of several abnormal properties like: self-supporting proliferation, insensitivity to anti-proliferative signals, failure of cancer cells to undergo apoptosis, lower requirement of growth factors, angiogenesis and, for malignancy, tissue invasion and metastasis (Mir and Haq [2022](#page-111-0); Hanahan and Weinberg [2000\)](#page-110-0). The transition from normal cell to cancer cell that is termed as transformation is a multistep process and can be divided into three distinct stages: initiation, promotion, and progression (Kinzler and Vogelstein [1996\)](#page-110-0). Initiation is a process in which genomic changes get accumulated in cells and they are able to form tumours. Promotion is associated with increased proliferation of initiated cells (Mir et al. [2022d\)](#page-111-0). Progression is marked by acquiring additional genetic changes that lead to malignancy and metastasis. Progression encompasses a substantial growth in tumour size and either growth-related or mutually exclusive metastasis (Sherr [2000\)](#page-113-0) Fig. 4.1.

4.3 Cancer: Cell Cycle Dysregulation

Cancer is being increasingly viewed as a malfunctioning cell cycle. It indicates that the most exhibiting cause of the tumorigenesis is the defective cell cycle machinery leading to unregulated cell proliferation. The main targets of the disease are either the components of the cell cycle itself or the upstream signalling events that ultimately trigger cell cycle events. Although the cancer development process suggests that every tumour is defective in one or more aspect of cell cycle control, but carcinogenesis implies that apart from inducing defects in cell cycle machinery. Cancer can be viewed as a stepwise process that eventually leads to a dysregulated cell cycle (Sherr and Roberts [2004\)](#page-113-0). Human cancers have been linked to cell cycle dysregulation in the past two decades, supported by a vast body of literature (Malumbres and Barbacid [2001](#page-111-0)). Tumour cells acquire mutations that induce mitosis and create obstructions in responding to anti-mitogenic signalling that leads to abnormal proliferation (Malumbres and Barbacid [2001;](#page-111-0) Massagué [2004\)](#page-111-0).

Fig. 4.1 Different stages of cancer development

Additionally, most tumours develop chromosomal instability (CIN), a malfunction that results in alterations to the number of chromosomes, and genomic instability (GIN), which causes additional mutations (Mir et al. [2022d](#page-111-0); Kastan and Bartek [2004\)](#page-110-0). Together, these changes lead to proliferative benefits as well as greater vulnerability to the accumulation of further genetic changes that aid in tumour development and the acquisition of more aggressive phenotypes. The three main cell cycle disorders that are either directly or indirectly brought on by insufficient cyclin-dependent kinase (CDK) regulation are unscheduled proliferation, GIN, and CIN (Kops et al. [2005\)](#page-110-0).

4.4 Cell Cycle

The sequence of activities known as the cell cycle occurs when cellular components are duplicated and then properly divided into daughter cells. DNA replication in eukaryotes is restricted to a specific S-phase, also known as synthesis, and chromosomal segregation takes place during the M-phase of mitosis. S-phase and mitosis are separated by the two Gap phases, G1 and G2 (Malumbres and Barbacid [2005\)](#page-111-0). Instead of being inactive, cells acquire mass during these times, as well as integrate growth signals, organize a replicated genome, and get ready for chromosome segregation. The cyclin-dependent kinases (CDKs) are the main enzymes that control how the cell cycle develops. These serine/threonine protein kinases phosphorylate important substrates to advance mitosis and boost DNA synthesis (Weinert and Hartwell [1988;](#page-113-0) Mehraj et al. [2021\)](#page-111-0).

4.5 Cell Cycle Entry and Progression

The cells choose whether to start DNA replication and go through the cell cycle or to stay in the G1 phase, which is the pre-replicative phase, before going through the S-phase. During the G1 phase, cells can also enter the quiescent phase, also known as the G0 phase, which is a non-proliferative phase. Many of the cells in the adult body must enter the G1 phase in order to start DNA replication and the cell cycle (Pennycook and Barr [2020\)](#page-112-0). Once DNA replication in S-phase is complete, cells can decide to enter M-phase by starting chromosomal condensation and central chromosome alliance. M-phase precisely separates the DNA which is duplicated (mitosis) and divides the whole cellular material into two new daughter cells. In M-phase, which also restarts the cell cycle so that interphase returns, cells commit to segregating the genetic material (Qayoom and Bhat [2020](#page-112-0); Rubin et al. [2020\)](#page-112-0).

4.6 Cell Cycle Checkpoints

Cell cycle checkpoints operate the cell cycle's integrity and appropriate advancement. Before moving on to the following phase of the cell cycle, these checkpoints ensure that the operations at each phase have been correctly completed. Cell cycle checkpoints are biochemical signalling pathways that can monitor and detect various kinds of structural DNA flaws or changes in how the DNA functions. They then trigger a cellular response that initiates DNA repair and slows the course of the cell cycle. Because the checkpoint pathways have not changed throughout time, checkpoint failure results in cancer cells continuing to develop (Nasmyth [1996](#page-112-0)). Checkpoint responses are an important factor in determining whether cells will survive or die. Seven checkpoints have been identified so far in the eukaryotic cell cycle: quiescent, G1/S, replicative S, and G2 checkpoints, the mitotic checkpoint, cytokinesis checkpoint, and the DNA damage checkpoints. Checkpoints remove those cells by causing permanent cell cycle arrest or cell death when DNA damage is irreparable (Mir et al. [2022d](#page-111-0)). Similar to this, cells fight off genotoxic stressors till the very end using a variety of strategies, including complex survival pathways between DNA synthesis and the divisional phase of the cell cycle, there are two gap periods (Pardee [1989](#page-112-0)). Eukaryotic cell cycle progression requires the coordinated activity of proteolytic enzymes and a number of kinase cascades (King et al. [1994](#page-110-0), Malumbres and Barbacid [2005](#page-111-0)). Throughout the cell cycle, cyclins go through a continual cycle of synthesis and degradation, timely controlling kinase activity (Malumbres and Barbacid [2005](#page-111-0)). Three interphase CDKs (CDK2, CDK4, and CDK6), a mitotic CDK (CDK1, also known as cell division control protein 2 (CDC2), and ten cyclins from four different classes make up the CDK-cyclin that directly drives the cell cycle (the A-, B-, D-, and E-type cyclins) (Peng et al. [1998\)](#page-112-0). The mammalian cyclins are broadly classified into A, B1, B2, C, D, E, H, T (Table 4.1). The cyclin box, a domain used to bind and activate Cdks, is a region of homology shared by all cyclins. However, not all cyclins and Cdks are involved in controlling the cell cycle. Apoptosis, DNA repair, differentiation, and transcription regulation are some of the additional roles that have been discovered (Roy et al. [1994;](#page-112-0) Rickert et al. [1996](#page-112-0)).

Cyclins	Associated CDKs	Function
Cyclin A	Cdk1, Cdk2	S-phase entry and G2/M transition
Cyclin B	Cdk1	Entry into mitosis and G2 exit
Cyclin C	Cdk8	Transcriptional regulation
Cyclin D $(D1, D2, D3)$	Cdk4, Cdk6	G0 to S-phase transition G1/S-phase transition, G ₂ to M-phase transition
Cyclin E	Cdk2	Entry into S-phase
Cyclin H	Cdk7	Transcriptional regulation, Cdk activation
Cyclin T	Cdk9	Transcriptional regulation

Table 4.1 Cyclins and cell cycle checkpoints

Checkpoints in the cell cycle let important cellular processes like DNA replication to stop. When complete cellular division might be harmful, such as in the presence of DNA damage, these checkpoints are used (Kim et al. [2005\)](#page-110-0). Most DNA damage checkpoint signalling pathways culminate on the inactivation of either CDK1/cyclin or CDK2/cyclin complexes as the primary regulators of mammalian cellular progression (Richardson and Jasin [2000\)](#page-112-0). The intra-S checkpoint in mammalian cells is crucial for stopping the advancement of the S-phase in the presence of DNA damage (Hartwell and Weinert [1989;](#page-110-0) Qayoom et al. [2021\)](#page-112-0). The serinethreonine checkpoint kinases CHK1 and CHK2 are phosphorylated and activated upon the detection of a DSB by a variety of kinases, such as PI3 K's, ATM (Ataxia-Telangiectasia Mutated), and ATR (ATM and Rad3-related). CHK1 and CHK2 subsequently phosphorylate and stabilize TP53 (p53) (Sørensen and Syljuåsen [2012\)](#page-113-0). Following p53 stabilization, the CDK inhibitory protein p21WAF1/CIP1 is transactivated by p53. Here, CDK2/cyclin E activity is efficiently suppressed by p21WAF1/CIP1, blocking the G1/S transition and the start of DNA synthesis (Nyberg et al. [2002;](#page-112-0) Shechter et al. [2004;](#page-113-0) Iyer and Rhind [2017;](#page-110-0) Chehab et al. [1999\)](#page-109-0). One crucial step in maintaining the G1/S DNA damage checkpoint is the activation of p53 and p21WAF1/CIP1 through checkpoint-mediated activation, which inhibits CDK2. Loss of p53 or p21WAF1/CIP1 impairs the cellular response to DNA damage, and mice lacking these proteins are more prone to developing cancer (Chehab et al. [2000](#page-109-0); Shieh et al. [2000](#page-113-0); Bartek and Lukas [2003;](#page-109-0) Mehraj et al. [2022\)](#page-111-0). By encouraging the degradation of CDC25 phosphatases, CHK1 and CHK2 can also have a secondary effect on CDK activity (Kastan et al. [1992;](#page-110-0) Gu et al. [1993;](#page-110-0) Harper et al. [1993](#page-110-0); Mitra et al. [1999](#page-112-0)). The CDC25 phosphatases are strong CDK/cyclin complex activators that work in direct opposition to the WEE1/MYT1 phosphorylation-induced inhibition of the glycine-rich CDK inhibitory loop domain. These residues are threonine 14 (T14) and tyrosine 15 (Y15) in CDK1 and CDK2. Both CDK2/cyclin E and CDK1/cyclin B must have these residues dephosphorylated by the CDC25 dual-specificity phosphatases in order to fully activate their respective kinases (Donehower et al. [1992;](#page-109-0) Brugarolas et al. [1995;](#page-109-0) Mir and Mehraj [2019](#page-112-0)). Thus, DNA damage is a strong initiator of CDK inhibition that can be brought on by the stimulation of CDK inhibitory proteins as well as the destruction of CDK activators.

4.7 Regulation of Cyclin-CDK Complexes

Beyond the cell cycle, cyclins, Cdks, and CKIs can influence these cellular and developmental processes. Particular focus is placed on the possibility that kinasedependent or -independent pathways may be used to carry out each of these procedures. Most cyclins enhance Cdk activity, but CKIs decrease it. CKIs are divided into two groups based on the structure and Cdk specificity of each group. The Ink4 family includes the genes p16INK4a, p15INK4b, p18INK4c, and p19INK4d. However, the Cip/Kip family members are more adaptable and characteristically prevent the actions of cyclin A-, B-, D-, and E-dependent kinase

Fig. 4.2 Cell cycle regulation

complexes (Martín-Caballero et al. [2001\)](#page-111-0). Based on sequence homology, more members have been added to the Cdk, cyclin, and CKI families., it has become evident that the original criteria used to classify the founding members are no longer applicable. For instance, it was originally believed that cyclins are solely Cdks' regulatory components, that Cdk/cyclin complexes are the only ones that CKIs can inhibit, and that Cdks and cyclins must interact for Cdks to become active. Despite this deviation from the usual cooperative behaviour, recent studies have amply shown the functions of separate subunits without complex formation, and as a result cyclins, cdks, and CKIs are now believed to have a diversity of cell cycleindependent functions in mammals. Cdk4 and Cdk6 are the primary targets of Cdkn2d (Mailand et al. [2000\)](#page-111-0). Recent research has abundantly demonstrated the functions of individual subunits without complex formation. The Rb/E2F pathway, which is intimately tied to cell cycle control, is one of the most well-studied instances of how cell cycle regulators affect transcription (Mailand et al. [2002\)](#page-111-0). Members of the E2F family of transcription factors are bound and sequestered by the retinoblastoma protein (Rb), p107 (Rbl1), and p130 (Rbl2) in the hypophosphorylated state (Busino et al. [2003](#page-109-0)). Cdk4/6 and Cdk2 are in charge of sequentially phosphorylating Rb, reducing its inhibition of E2F and enabling the activation of genes required for boosting S-phase entry and DNA synthesis. They do this in collaboration with their respective catalytic partners, D- and E-type cyclins (Fig. 4.2).

4.8 Activation by Phosphorylation

The protein kinase activity of Cyclin-cdk complexes depends on the phosphorylation state of CDK subunit. The activation is completed in two steps and involves binding of cyclins and subsequent phosphorylation by the CDK activating kinases (CAK). For efficient CAK phosphorylation, association of CDK with its cyclin subunit is required in human Cdc2 residue at 161 positions. This type of phosphorylation is activating in nature (Hoffmann et al. [1994;](#page-110-0) Sørensen et al. [2003](#page-113-0); Jinno et al. [1994\)](#page-110-0). Phosphorylation is enhanced by the binding of cyclins as it affects cyclin binding sites (Molinari et al. [2000](#page-112-0)). CDK activation is completed in two steps, first the binding of CDK2 with cyclin A brings a substantial conformational variation in the kinase activity and modulates the binding ability of ATP constituent of the substrate; second, the activation segment's threonine residue (Thr160 in the human CDK2

sequence) is phosphorylated by CAK to enhance protein substrate binding and align substrates for phosphoryl transfer (Malumbres et al. [2004;](#page-111-0) Malumbres and Barbacid [2009\)](#page-111-0). In CDK7 phosphorylation occurs at activation site (threonine 170 in human sequence). But also has a second site of phosphorylation in the activation (Atherton-Fessler et al. [1993\)](#page-109-0) segment (Ser 164) (Malumbres et al. [2009](#page-111-0)). When compared to the rest of CDKs, phosphorylation is not important for the CAK activity. CAKs actively phosphorylate CDKs that are bound to their relevant cyclins. They do not phosphorylate CDKs in monomeric form even if they do so they are phosphorylated very poorly. in monomeric state activation segment cannot be accessed by CAKs (Dyson [1998;](#page-109-0) Sherr and Roberts [1999](#page-113-0)).

4.9 CDK Inhibition by Phosphorylation

In contrast to the activation of CDK complexes by phosphorylation, cyclin-CDK complexes can also be inactivated by phosphorylation at the sites of inhibitory phosphorylation. In higher vertebrates the adjacent threonine residues at 14th position and Tyr at 15th position in CDC2 and CDK2 are the sites of inhibitory phosphorylation. The actual mechanism of inhibition is still not clear. Phosphorylation of CDK1 by wee1 at Thr 15 and Thr 14 is also inhibitory in nature that keeps kinase activity of CDk1 low and prevents cells from initiating mitosis until their size is adequate. During entry into M-phase the activity of wee1 is decreased by various regulators and hence activity of CDK1 is increased (Matsushime et al. [1992;](#page-111-0) Harbour and Dean [2000](#page-110-0); Mir and Mehraj [2019\)](#page-112-0).

4.9.1 CDK Inhibitors (CKI's)

Regulation of cyclin-CDK complexes is also contributed by CDK inhibitor proteins. These inhibitor proteins inhibit the kinase activity of CDKs by interfering with their binding with cyclins that is necessary for the activation of cyclin-CDK complexes. There are two types of CDK inhibitor proteins.

4.9.2 CDK Interacting Protein/Kinase Inhibitory Protein (CIP/KIP)

Family of CKIs are the negative regulators of G1 phase cell cycle progression [70]. CIP/KIP family includes P21, P27, P57 that inhibit a wide array of cyclin-CDK complexes. CIP/KIP proteins play many other important roles outside the nucleus. P27kip1 regulates actin dynamics and cell migration (Won et al. [1992\)](#page-113-0). Another member of the family P21cip1 has an ability of inhibiting Rho-kinase (ROCK). P57kip2 regulates subcellular localization (Sherr and Roberts [1999\)](#page-113-0). A cell cycle arrest occurs in G-1 phase in variety of cell types by forming complexes of cyclins D1-D3, CDK4 or CDK6 and cyclin E or cyclin A CDK2 (El-Deiry et al. [1993](#page-109-0)).

4.9.3 Inhibitors of Kinase (INK4)

INK-4 Family is another type of CKI's and include that contribute to cell cycle control in mammals. INK4 members include P15, P16, P18, and p19. These proteins inhibit the activity of CDK4 and CDK6 with D-type cyclins (Harper et al. [1993](#page-110-0)) (Fig. 4.3).

P16 has an important role in regulating the Rb. P16 is a tumour suppressor protein that plays a major role in slowing down the pace of Rb and hence deregulates the cell cycle. In human tumours P16 gene is mutated in a high proportion. Cells in which P16 is deleted, P15 also gets affected simultaneously. In such cells the levels of Rb do not influence P15 but in turn get incited by growth-inhibitory cytokine TGF-β (Polyak et al. [1994;](#page-112-0) Toyoshima and Hunter [1994](#page-113-0); Tanaka et al. [2002\)](#page-113-0) that binds to CDK4 and CDK6 and carries on the phosphorylation.

P18 and P19—regulate the activities of cyclin/CDK4 and cyclin/CDK6 complexes but exert no effect over cyclin E/CDK2. Cyclin A/CDK2 or cyclin B/CDK2. The net effect of the inhibition applied by P18 and P19 coordinates with inhibition of G1 phase progression in mammalian cells (Okamoto et al. [1994;](#page-112-0) Otterson et al. [1994;](#page-112-0) Koh et al. [1995\)](#page-110-0). The inactivation of INK4 inhibitors or the overexpression of D-type cyclins, cdk4 and cdk6, are thought to be the causes of Rb′ s functional inactivation. Rb that has been hyperphosphorylated cannot bind to or inhibit E2F transcription factors, as was previously mentioned. The discovery that ectopic production of D-type cyclins in dormant cells increases the expression of at least some E2F-regulated genes supports this concept (Ouelle et al. [1995](#page-112-0); Pomerantz et al. [1998](#page-112-0); Zhang et al. [1998](#page-113-0)). Although E2F gene mutations in human malignancies have not yet been discovered, there is compelling circumstantial evidence that dysregulation of E2F transcriptional control is a critical step in carcinogenesis. In cell culture-based transformation tests, some E2F genes have been demonstrated to serve as oncogenes (Hunter and Pines [1994;](#page-110-0) Sherr [1996\)](#page-113-0). Furthermore, it has recently been demonstrated that uncontrolled expression of E2F1 in a transgenic

Fig. 4.3 Classes of CDK inhibitors

CKI's	Different Types of CKI's	Role played
CIP/KIP	P ₂₁	Inhibition of Cdk2
	P ₂₇	Inhibition of CyclinE-Cdk2
	P57	Cdk4 and Cdk6
INK4	P ₁₅	
	P ₁₆	
	P ₁₈	
	P ₁₉	

Table 4.2 Cyclin-dependent kinase inhibitors

mice model works in conjunction with either an active Ras gene or a p53 deficit to promote the growth of skin cancers (Reynisdóttir and Massagué [1997;](#page-112-0) Sangfelt et al. [1997\)](#page-112-0) (Table 4.2).

4.10 Role of M-C

M-CDK commonly called as mitosis promoting factor or maturation promoting factor is the cyclin-CDK complex that is synthesized during the S and G-2 phase. M-CDK promotes the entry into mitosis (M-phase) and meiosis by causing phosphorylation of a wide variety of proteins. M-CDK activity is inhibited by wee1 protein kinase which phosphorylates a tyrosine residue at 15th position in the CDK subunit therefore inhibiting the premature entry of cells into mitosis. The inhibitory role played by wee1 is opposed by a protein phosphatase cdc25 that removes the inhibitory phosphate group and results in the activation of M-CDK and drives the G2/M transition. Yoshio Masui, a researcher in Toronto, identified MPF as a component that promotes egg maturation that involves the meiotic phase. After purifying MPF from the Xenopus frog, Jim Maller and Fred Lokha in Denver further refined Yoshio's cell free assays for monitoring MPF.

4.11 Role of APC/C Activators During Mitotic Division

APC/C is an E3 ubiquitin ligase that facilitates the metaphase to anaphase transition and exit from mitosis by targeting a set of regulatory proteins. APC/C activation requires association with two homologous activators cdc20 and cdh1 (cdc-homologue1). APC/C initiates metaphase-anaphase transition by mediating the degradation of anaphase inhibitor Pds1/securing ensuing separation of cohesion complex which holds the sister chromatids together. After anaphase, APC/CCdh1 mediates the final degradation of mitotic B-type cyclins and several other proteins (Motokura et al. [1991;](#page-112-0) Bodrug et al. [1994](#page-109-0); Lovec et al. [1994](#page-110-0); Wang et al. [1994;](#page-113-0) Morse et al. [1997](#page-112-0)) as the cell exits mitosis and enters G1. In S-phase and G2, the APC/C is inactive to allow accumulation of proteins required for building the mitotic spindle. APC/C mediated proteolysis of key regulatory proteins drives the cell from

G2 through M-phase into G1 (m. Accordingly, the APC/C is under a strict temporal control so these targets are destroyed in the correct order. APC/CCdc20 is controlled by at least four ways to achieve this. First, transient transcription from the S-phase through the G2 phase and proteolysis in the G1 phase both affect Cdc20 levels (Mir et al. [2022d](#page-111-0)). Once linked, Mad2p, a part of the spindle assembly checkpoint (SAC) pathway, inhibits APC/CCdc20 in G2 (Leach et al. [1993](#page-110-0); Wölfel et al. [1995](#page-113-0); Easton et al. [1998](#page-109-0)). Additionally, the Protein Kinase A (PKA) enzyme directly phosphorylates Cdc20 to block its function when the DNA damage checkpoint pathway is activated (Kamb [1998](#page-110-0)). The spindle checkpoint signal is silenced when bi-polar attachment of the chromosomes on the metaphase plate allowing securin (Pds1) ubiquitylation/destruction and anaphase to occur.

4.12 Spindle Assembly Checkpoint

Spindle assembly checkpoint ensures correct chromosomal alignment and microtubule attachment at the metaphasic plate. The spindle assembly checkpoint keeps track of the mitotic spindle's flaws and delays sister chromatid segregation until all flaws have been fixed (Mir et al. $2022d$) (Fig. 4.4). APC/C is blocked by these spindle microtubules' improper kinetochore attachment, which sends out a negative signal: thereby Inhibiting the metaphase to anaphase transition as a result. The mitotic checkpoint pathway's best-studied components are Mad1, Mad2, Mad3, Bubr1, Bub3, and Mps1, which were first discovered in budding yeast (Lapointe

Fig. 4.4 Spindle assembly checkpoint signalling

SAC	
proteins	Functions
Mad1	Inhibits the activity of APC/C and prevents anaphase onset before the spindle is
	built
Mad ₂	APC/C inhibitor
Bubr1	Inhibits Cdc-20-Apc activity
Bub3	Prevents early anaphase entry and mitotic exit

Table 4.3 Spindle assembly checkpoint proteins and their functions

et al. [1996;](#page-110-0) Johnson [1995\)](#page-110-0). The downstream target of the multi subunit machinery is APC/C complex that results in destruction of several proteins and mitotic cyclins (Shao and Robbins [1995\)](#page-113-0). Mad2 is an essential APC/C inhibitor and prevents anaphase onset. Bubr1 works in harmony with Mad2 and inhibits cdc20-APC activity (Table 4.3). Only after the proper alignment of all the chromosomes at kinetochore correctly at the metaphasic plate spindle assembly checkpoint is finally turned off the localization of the Mad2 and Bubr1 to the kinetochore may be dependent on one or many proteins like Aurora B kinase (Mir et al. [2022b](#page-111-0)) (Fig. [4.4\)](#page-104-0).

4.13 DNA Damage Checkpoints

Prevent the daughter cells from acquiring mutant DNA. A signal transduction mechanism set off by the damaged DNA prevents cell cycle advancement until the DNA is fully repaired. DNA double-strand breaks (DSBs) during interphase result in an immediate signalling response that is reliant on the checkpoint protein kinase mutant ataxia telangiectasia (ATM). The resultant alteration of ongoing transcription levels and patterns, activation of DNA repair machinery, and interaction with cell cycle regulators all result in a slowing or cessation of the cell cycle (Mir et al. [2022d\)](#page-111-0). The primary mechanism for limiting the accumulation and spread of genetic errors during cell division is this biological response to DNA damage. Once activated by the DNA damage sensor complex MRN (MRE1, RAD50, and NBS1), ATM phosphorylates a wide range of substrates. The transcription factor p53 and the protein kinase CHK2 are significant targets for cell cycle regulation. The mutant checkpoint protein kinase ataxia telangiectasia is required for the fastsignalling response that DNA double-strand breaks (DSBs) during interphase cause (ATM). The response modifies ongoing transcription levels and patterns, activates DNA repair machinery, and interacts with cell cycle regulators, slowing or halting the advancement of the cell cycle (Hartwell and Weinert [1989](#page-110-0)). This biological response to DNA damage substantially prevents the accumulation and spread of genetic mistakes during cell division. Despite the fact that the protein kinase CHK2 and the transcription factor p53 are essential for cell cycle regulation, a variety of substrates are phosphorylated by ATM when the DNA damage sensor complex MRN (MRE1, RAD50, and NBS1) activates it. To prevent the commencement of the S-phase, P53 activates the CDK inhibitor p21, which significantly

inhibits cyclin-CDK complexes in G1 (Fig. 4.5). When CDC2551 is degraded during the S and G2 phases, CDK1 is phosphorylated under the direction of WEE1 to delay the onset of mitosis. P53 and ATM are not as crucial for slowing or stopping cell cycle progression during tumour growth because of some protein redundancy with other proteins. Despite the fact that the protein kinase CHK2 and the transcription factor p53 are essential for cell cycle regulation, a variety of substrates are phosphorylated by ATM when the DNA damage sensor complex MRN (MRE1, RAD50, and NBS1) activates it. To prevent the commencement of the S-phase, P53 activates the CDK inhibitor p21, which significantly inhibits cyclin-CDK complexes in G1. When CDC2551 is degraded during the S and G2 phases, CDK1 is phosphorylated under the direction of WEE1 to delay the onset of mitosis. P53 and ATM are not as crucial for slowing or stopping cell cycle progression during S and G2 stages because of some redundancy with other proteins. DNA end resection at DSBs is regulated by the cell cycle, which has an impact on the repair method of choice (Mir et al. [2022d\)](#page-111-0). Because the concept of "severe" varies depending on the environment and the type of cell, judgments about a cell's fate are not uniform or always easy to predict. Apoptosis, permanent cell cycle stoppage, and senescence are the three events that cells can experience. The cell cycle arrest is either reversible (quiescence) or irreversible (apoptosis) if the cell does not go through this process during the pre-replicative G1 phase (senescence) (Hayles et al. [1994\)](#page-110-0). Long-term arrest during the S or G2 phases, however, primarily results in cells permanently terminating the cell cycle through senescence or death. The

inability to re-enter the cell cycle is largely caused by P53-controlled mechanisms (Nurse and Bissett [1981;](#page-112-0) Lohka et al. [1988;](#page-110-0) Peters [1998](#page-112-0)). P53 activates the CDK inhibitor p21, which largely inhibits cyclin-CDK complexes in G1, to stop the onset of the S-phase. In the S and G2 phases, CHK2 degrades CDC2551, which promotes CDK1 phosphorylation under the control of WEE1 to prevent mitotic entry. P53 and ATM are less crucial for slowing or stopping cell cycle progression during the S and G2 phases due to some DNA replication checkpoint redundancy. DNA end resection at DSBs is regulated by the cell cycle, which has an impact on both the repair procedure and the DNA damage signalling cascade. The majority of DSB repair techniques employed during G1 focus on non-homologous end joining because DNA end resection is not occurring. However, repair through homologous recombination is made easier by the resection of DNA ends following DSBs during the S and G2 stages. The degree of the DNA damage determines how a cell will turn out because the concept of "severe" varies depending on the environment and the type of cell, judgments about a cell's fate are not uniform or always easy to predict. Processes for DSB repair are activated during G1 Phase of cell cycle. Apoptosis, permanent cell cycle stoppage, and senescence are the three events that cells can experience. The cell cycle arrest is either reversible (quiescence) or irreversible (senescence) if the cell does not undergo apoptosis during the pre-replicative G1 phase. In contrast, a cell is more likely to irreversibly exit the cell cycle through senescence or apoptosis when it is arrested for an extended period of time in the S or G2 phases. The inability to re-enter the cell cycle is largely caused by P53-controlled mechanisms (Crasta et al. [2006\)](#page-109-0).

4.14 Therapeutic Agents

Targeting checkpoint controls to create novel therapeutic approaches for this disease offer a number of opportunities given that the breakdown of regular cell cycle regulation is a characteristic of cancer (Mir et al. [2022d\)](#page-111-0). These techniques involve targeting medicines, arresting proliferating cells at specific stages of the cell cycle that may make them more susceptible to treatment with other therapeutic agents like radiation, and inducing checkpoint arrest that results in cytostasis and ultimately apoptosis towards particular cell cycle regulatory components. The process of causing DNA damage and thereafter apoptosis is one of the most well-known chemotherapy strategies. Cell cycle arrest can occur at both the G1/S and G2/M checkpoints in response to substances like cisplatin and nitrogen mustard, which cause DNA cross-links and chromosome breakage. Cyclin/cdk2 and cyclin/cdk4 complexes are inhibited in p21, and as a result Rb is hypo phosphorylated (Zhan et al. [1993;](#page-113-0) Guillot et al. [1997\)](#page-110-0). Up-regulation of p21 also causes PCNA to be sequestered, which aids in G1/S arrest. DNA damage can trigger the G2/M checkpoint either through p53-dependent or independent mechanisms (Agarwal et al. [1995;](#page-109-0) Guillot et al. [1997\)](#page-110-0). Phosphorylation of both cdk1 and p21 are necessary for entrance into M and can take part in the G2/M checkpoint for DNA damage because they are unable to stop and fix their damaged DNA, tumour cells with inactive p53
can circumvent the G1/S checkpoint and show increased sensitivity to DNA-damaging substances like cisplatin (Fan et al. [1997;](#page-110-0) Mir and Agrewala [2008\)](#page-112-0). Taxol and vinca alkaloids, two microtubule inhibitors, interfere with normal tubulin polymerization/depolymerization and mitotic spindle formation (Schiff and Horwitz [1980](#page-112-0); Gorbsky [1997](#page-110-0)). As a result, cells either start a p53-dependent arrest at the radiosensitive mitotic spindle assembly checkpoint (Schiff and Horwitz [1980\)](#page-112-0) or proceed through M and become aneuploid and arrest in G1 (Andreassen et al. [1996;](#page-109-0) Cahill et al. [1998;](#page-109-0) Mir [2015](#page-112-0)). These medications cause G2/M arrest, which is accompanied by stability of the cyclin B/cdc2 complexes. Treatment of tumour cells with microtubule inhibitors may experience apoptosis after G1 or G2 arrest (Woods et al. [1995\)](#page-113-0). Radiosensitizers made from microtubule inhibitors have also demonstrated efficacy in clinical settings. Combining chemotherapy and radiation therapy with taxol, a drug that prevents cells from completing the mitotic spindle assembly checkpoint can increase a tumour's sensitivity to radiation treatment (Liebmann et al. [1994;](#page-110-0) Chen et al. [1997\)](#page-109-0). Radiosensitizers made from microtubule inhibitors have also demonstrated efficacy in clinical settings (Mir et al. [2022d\)](#page-111-0). Combined Taxol chemotherapy/radiation therapy, prevents cells from dividing at the mitotic spindle assembly checkpoint, which can increase the sensitivity of cancers that are resistant to radiation treatment (Linke et al. [1996](#page-110-0); Sofi et al. [2022\)](#page-113-0).

4.15 Summary

The cell cycle represents a sequence of coordinated events that allow the cells to grow and divide. The cell cycle machinery is driven by the systemized action of cyclins and CDKs. The combined activity of these proteins drives the cell cycle progression. The fidelity of cell cycle is maintained by cell cycle checkpoints that operate as a surveillance mechanism and ensure the faithful replication and repair of genome. These checkpoints delay the cell cycle progression in response to irreparable DNA damage. The fidelity of this process is destroyed by mutations that prevent apoptosis and compromise cell cycle exit. These mutations disrupt the signalling pathways and their downstream counterparts CDKs and cyclins. CDK activity is the most targeted activity due to their major role in cell cycle progression, they are antiproliferative and arrest cells in G1 or G2/M phase and also trigger apoptosis. Cell cycle checkpoints which play a pivotal role in driving cell cycle need to be defective for a cell to become cancerous. Cancer cells continue to divide, despite the accumulation of genetic errors as DNA damage checkpoints are compromised in the cell cycle.

4.16 Further Readings

The readers can further read about the role of CDKs in breast cancer by going through the following papers

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- <https://doi.org/10.1080/13543784.2022.2097067>
- <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC8167670/>

For more insights about the topic, we would suggest detailed findings from the books of (Mir MA, 2022) <https://doi.org/10.1016/C2021-0-02565-7>, [https://doi.org/](https://doi.org/10.1016/C2014-0-02898-5) [10.1016/C2014-0-02898-5](https://doi.org/10.1016/C2014-0-02898-5) (Mir MA, 2021) <https://doi.org/10.52305/WXJL6770>, from [cancer.net](https://www.cancer.net) website, [https://www.cancer.net/cancer-types/breast-cancer/types](https://www.cancer.net/cancer-types/breast-cancer/types-treatment)[treatment.](https://www.cancer.net/cancer-types/breast-cancer/types-treatment) Also, the readers can have a look upon the following visual presentations for the better conceptual understanding of CDKs and their role in breast cancer

<https://youtu.be/0Sj3rbJPeXQ> <https://youtu.be/RXsWAvdWG0s> <https://youtu.be/YA67P2k2d6A>

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Cell Cycle Dysregulation in Breast Cancer

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5.1 Introduction

Cell cycle dysregulation causes cellular hypertrophy and tumor cell proliferation in breast carcinoma. Elevated oncogenic action (c-Ras, c-ErbB-2, c-Myc) and lack of tumor suppressor activity are common examples of aberrant gene expression (p53, pRB), (Mehraj et al. [2022a](#page-137-0); Mir et al. [2022a](#page-137-0)). Furthermore, abnormal cell cycle mediator expression leads to the transition of healthy mammary cells (Sofi et al. [2022b\)](#page-140-0). The cell cycle of mammals (Fig. [5.1](#page-115-0)) is divided into four phases: the S phase, which involves DNA formation, M phase (mitosis), which involves exact division of cells, as well as two gap or growth stages (G1, G2), that involve the replication of requisite cellular constituents. G0 refers to a non-proliferating, resting phase. Mitogen-induced signal transduction coordinates the stepwise $(G1, S, G2, M)$ advancement via the cell cycle by orchestrating expression of kinase holoenzymes. Improper cyclin-dependent kinase (CDk) activation can lead to a failure of checkpoint regulation that results in aberrant cellular growth (Mir et al. [2022b;](#page-137-0) Mehraj et al. [2021](#page-137-0)).

G1, S, G2, and M are the four stages of the cell cycle that cells go through (Sherr [2000\)](#page-139-0). CDKs play an important role in cell cycle progression (Mehraj et al. [2022b\)](#page-137-0). CDks are a type of serine/threonine kinase having active subunits which forms a complex with cyclin proteins. There are 15 cyclins; cyclin A to cyclin T, and nine CDks, cdk1 to cdk9 (Tassan et al. [1994](#page-140-0); Mir & Mir [2022;](#page-137-0) Morgan [1997](#page-138-0)) Binding of Cdk1 (cdc2) with cyclin A and B influences the G2–M shift, binding of cdk2 with

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Fig. 5.1 The mammalian cell cycle regulation and cyclin-dependent kinase inhibitors

cyclins A, D, and E promotes the G1–S shift and S-phase shift, binding of cdk4 and cdk6 with cyclin D stimulates cell cycle development via G1, and binding of cdk7 with cyclin H triggers additional cyclin/CDk complexes (Buolamwini [2000](#page-133-0); Mir et al. [2022a](#page-137-0)). Different molecular processes control the action of the holoenzymes formed by cyclins and their CDk catalytic subunits, including dephosphorylation and phosphorylation of the kinase subunits (Solomon [1993;](#page-140-0) Mir and Mehraj [2019\)](#page-137-0). Myc and E2F stimulate the expression of Cdc25 in G1, which is required for S-phase entry (Foster et al. [2001;](#page-134-0) Mir et al. [2022g](#page-138-0); Mir et al. [2020\)](#page-137-0). The Rb family proteins, p107 and pRb, regulate the E2F family of transcriptional regulators, which regulate the expression of genes required for the progression of cell cycle (Mir et al. [2022c\)](#page-137-0). (Weinberg [1995](#page-141-0)). Furthermore, interplay with particular CDk inhibitors and correlation with specific regulatory proteins play a role in CDk activity regulation. CDk inhibitors are divided into two categories (Sherr [1996](#page-139-0)). In the first place, inhibitors of cdk4 (INK4) include p15, p16, p19, and p18, which inhibit cyclin D-associated kinases specifically. The kinase inhibitor protein family (KIP) p27, p21, and p57, which attach to and downregulate the activity of the cyclin A/cdk2 and cyclin E/cdk2 complexes, are the second category (Pines [1995;](#page-138-0) Graña and Reddy [1995;](#page-134-0) Mehraj et al. [2022c](#page-137-0)) (Fig. [5.2\)](#page-116-0).

During oncogenesis, the cell cycle becomes dysregulated due to a loss of regular restriction point command. Cyclins (D and E) overexpression, pRB downregulation, as well as lowered CKI activity is all common observations. In human tumors, aberrations of the cyclin D1/Cdk4/p16/pRB axis are second only to p53 aberrations in terms of frequency (Sherr [1996](#page-139-0); Mehraj et al. [2022a\)](#page-137-0). Cyclin D1 is abundantly expressed in 30–45% of breast tumors (Pestell et al. [1999](#page-138-0)), and it adds to the tumorigenesis of regular mammary cells in collaboration with other misexpressed genes. In mouse models overexpressing cyclin D1, its involvement as a "driver oncogene" had also been proved (Wang et al. [1994;](#page-141-0) Mir et al. [2022f;](#page-137-0)

Fig. 5.2 In the presence of an assembly factor, the cyclin D1 gene product binds its catalytic subunit partner (Cdk4). Numerous subunits of a Cdk activating kinase (CAK) phosphorylate the cyc D1-Cdk4 holoenzyme. The tumor suppressor pRB can then be phosphorylated by activated cyclin D1-Cdk4. pRB is phosphorylated by cyclin ECdk2 as well. If p27Kip1 hinders cyclin E-Cdk2 but not cyclin D1-Cdk4, cyc D1 stimulation may enhance S-phase entry by titrating p27Kip1 from an inhibitory complex with cyclin E-Cdk2

Mehraj et al. [2022d\)](#page-137-0). Numerous oncogenes, such as Ras activating mutants, Dbl, Rac, pp60src, and Neu, stimulate cyclin D1 abundance by increasing cyclin D1 promoter's activity (Lee et al. [1999](#page-136-0); Albanese et al. [1995;](#page-132-0) Westwick et al. [1998\)](#page-141-0).

The phosphorylation as well as inhibition of pRB (Weinberg [1995\)](#page-141-0) and also cyclin D1-mediated sequester of CKIs are thought to be the major mechanisms of the transformation of cells. Unlike cyclin D1's increased expression in human BC, cyclins D2 and D3 are not linked to the development of breast tumors (Fig. [5.3](#page-117-0)).

5.2 Oncogenic Factors of Cell Cycle

5.2.1 Cyclin D

The cyclin D, proto-oncogene, is an important regulator of the cell cycle's shift from G1 to S. It generates functional cyclin D-CDK4/6 complexes by binding to CDK4 and CDK6, which subsequently phosphorylates the retinoblastoma protein (Rb) to enhance the progression of cell cycle (Kato et al. [1993](#page-135-0)). Cyclin D could potentially influence the activity of histone deacetylase enzymes and other transcriptional factor proteins (Coqueret [2002\)](#page-133-0). Cyc D is destroyed within the cell mostly through the action of the 26S proteasomal degradation in a ubiquitin-dependent or Skp2 F-box protein-dependent way as it has a half-life of about 24 min (Diehl et al. [1997](#page-134-0); Yu et al. [1998](#page-141-0)). The phosphorylation and inhibition of NRF1 as well as mitochondrial transcription factor A by the D1-CDK4/6 complex also can damage mitochondrial functioning (mtTFA). A previous study found a biological relationship between

Fig. 5.3 Illustration demonstrating how the expression levels of genes acting as tumor-suppressive and oncogenic markers change during the development of tumors. The overall prognosis for breast malignancy and the progression of cancer into late stages are affected by these changes in expression

cyclin D1 and mitochondrial function control via nuclear respiratory factor 1 inhibition (Wang et al. [2006\)](#page-141-0). Earlier accumulated findings support the significance of cyclin D1 in breast cancer carcinogenesis (Hall and Peters [1996;](#page-135-0) Yamamoto et al. [2006\)](#page-141-0). In BC, cyclin D upregulation and gene multiplication have been also associated to a worse prognosis and developing resistance to hormonal treatment (Table [5.1](#page-118-0)) (Hodges et al. [2003](#page-135-0); Kenny et al. [1999](#page-135-0)). Overexpression of the cyclin D1 gene as well as amplification in copy number were found in 20% and 50% of female BC patients, correspondingly (Mohammadizadeh et al. [2013](#page-138-0); Barnes and Gillett [1998;](#page-133-0) Velasco-Velázquez et al. [2011](#page-141-0)). Moreover, cyc D1 expression was shown to be elevated in 67.5% of invasive ductal carcinoma patients (Ravikumar and Ananthamurthy [2014](#page-139-0)), and it has been found to be closely linked with the expression of estrogen receptor (ER) and progesterone receptor (PR). Furthermore, in invasive ductal & moderately differentiated cases of breast cancer, immunohistochemistry (IHC) positive for cyc D1 was linked with a considerably worse prognosis (Assem et al. [2017\)](#page-132-0). Furthermore, in vitro and clinical study evidence revealed elevated cyclinD1 expression of genes and amplification in 45–50% of cases of BC (Buckley et al. [1993](#page-133-0)). Other in vitro investigations discovered genetic changes in the cyc D1 gene as well as expression of mRNA in the $ER(-)$ MDA-MB-453 cell lines, which

Marker	Expression	Outcomes	Receptor status	References
Cyclin D	Elevated expression	Increased risk of relapse, metastasis	ER -/ ER +	Zhang et al. (1994)
	Elevated expression	A high-grade tumor	$ER - / ER + / PR - /$ $PR+/HER2+$	Mohammadizadeh et al. (2013)
	Elevated expression	Proliferation is very high.	$ER - / ER + / PR - /$ PR+/TNBC	Ravikumar and Ananthamurthy (2014)
	Elevated expression	Proliferation is very high.	$ER - / ER + / PR - /$ $PR+$	Assem et al. (2017)
	Elevated expression	Proliferation is very high.	ER -/ER+	Buckley et al. (1993)
	Elevated expression	Proliferation is very high.	$ER - / ER + / PR - /$ PR+	Zukerberg et al. (1995)
Cyclin A	Elevated expression	Recurrence, short disease-free survival	$ER - / ER +$	Baldini et al. (2006)
	Elevated expression	Poor prognosis	$ER - / ER +$	Michalides et al. (2002)
	Elevated expression	Short relapse duration	$ER - / ER +$	Poikonen et al. (2005)
	Elevated expression	Low survival rate, increased recurrence rate	$PR - / ER - / PR + /$ $ER+$	Nielsen et al. (1996)
	Elevated expression	Shorter distant metastasis-free survival	$PR - / ER - / PR + /$ ER+	Sieuwerts et al. (2006)
	Elevated expression	Worse prognosis	$ER - / ER +$	Donnellan et al. (2001)
	Elevated expression	Greater tumor grade, strong proliferation index	$HER2 - / HER2 +$	Potemski et al. (2009)
Cyclin B	Elevated expression	Lower survival	$PR + / ER + / PR - /$ ER -/HER2-/ $HER2+$	Niméus-Malmström et al. (2010)
	Elevated expression	Decreased overall survival. Disease-free survival, lymphatic infiltration	$PR+/ER+/PR-$ ER -/HER2-/ HER2+	Sun et al. (2017)

Table 5.1 Oncogenic cell cycle elements clinical significance in breast carcinoma patients with various molecular subtypes

could be linked to tumorigenesis (Lebwohl et al. [1994\)](#page-136-0). Infiltrating BC with ER/PR positive was also studied for cyclin D1 proteins expression (Zukerberg et al. [1995\)](#page-142-0). In 66% of breast infiltrating duct carcinomas, aberrant expression of cyclin D1 was found, implying a role for the protein in breast tumor migration (Khan et al. [2013\)](#page-135-0). The cyclin D gene was discovered to be overexpressed in 82% of female breast cancers, and amplification of gene was detected in percent of instances, according to Zhang and coworkers (Rosendahl et al. [2015](#page-139-0)).

In research utilizing a mouse breast tumor virus model of breast carcinoma, amplification of CCND1 gene was found in 40% of breast cancer specimens with

significant IHC staining. Furthermore, in ER-positive cases of breast cancer, the research discovered aberrant upregulation of cyc Dl and a reverse inhibitory growth effect following anti-hormonal treatment, suggesting a possible antitumor strategy (Wilcken et al. [1997](#page-141-0); Mir et al. [2022b](#page-137-0)). Kenny et al. discovered that ER-positive BC women had increased expression of cyclin D1 while also having a higher risk of recurrence, metastases, and early mortality (Kenny et al. [1999;](#page-135-0) Mir et al. [2022d\)](#page-137-0). Furthermore, the findings revealed that gene duplication of CCND1 is a powerful indicator of anti-hormonal treatment efficacy in breast carcinoma patients under the age of 50 (Jirström et al. [2005\)](#page-135-0). Furthermore, overexpression of the cyc D1 gene was linked to ER(+) invasive lobular BC with lymph node metastases in another research (Courjal et al. [1996\)](#page-133-0), indicating that it is an indication of poor prognosis. Another study found that amplification of the gene cyc D1 in the MCF-7 breast carcinoma cell lines was accountable for hyper-proliferation in the absence of growth factors (Zwijsen et al. [1996\)](#page-142-0). Increased expression of cyc D1 was seen in both $ER(+)$ and ER $(-)$ breast tumor tissues in another investigation (Umekita et al. [2002\)](#page-140-0), but only the ER-negative category had reduced OS and RFS Fig. 5.4.

In over 100 high-grade breast tumors, a link between elevated cyc D1-related increase and phosphorylation of Rb was discovered (Loden et al. [2002\)](#page-136-0). In addition, a separate investigation found a clear link among cyc D gene multiplication and increased expression in ER-positive and basal-like breast carcinoma subgroups, implying that cyc D1 was an independent determinant of outcome in ER(+) breast malignancies (Elsheikh et al. [2008\)](#page-134-0). Overexpression of cyc D1 was linked to a worse clinical prognosis and significantly shorter survival of female with BC in the ABCSG Trials 05 and 06 (Rudas et al. [2008\)](#page-139-0) (Mir et al. [2022e\)](#page-137-0). The presence of cyclin D1 in proliferating cancer without atypia, atypical ductal hypertrophy, reduced ductal DCIS, elevated DCIS, and metastatic cancer was confirmed in a separate study. Cyclin D1 was shown to be much greater in proliferative illness than healthy mammary epithelium, or even greater in DCIS compared to proliferative illness (Alle et al. [1998\)](#page-132-0).

Furthermore, in the $ER(+)$ breast carcinoma group, another study found a link among increased cyclinD1 gene expression as well as high-grade tumor progression, higher Ki-67 expression, and shorter survival (Heiss et al. [2010](#page-135-0)). Most of ILCs had cyc D1 protein upregulation, indicating that it plays a function in aggressive lobular carcinoma development (Oyama et al. [1998\)](#page-138-0). Additional study found that ER-positive individuals with medium expression of cyclin D1 responded from anti-hormonal medication (tamoxifen), while those with significant expression of cyclin D1 did not, indicating that cyc D1 could be used as a predictor of tamoxifen susceptibility (Ahnström et al. [2005](#page-132-0)). Additional findings indicate that inhibiting the expression of cyc D1 may slow the progression and development of tamoxifenresistant cancers (Kilker and Planas-Silva [2006](#page-136-0)). Cisplatin, a medication that targets cyclin D1, promoted cell damage or growth inhibition in ER-positive MCF-7 BC cells by lowering cyclin D levels (Yde and Issinger [2006](#page-141-0)). Researchers discovered that CCND1 exhibited greater amplification in high-grade infiltrating DC compared to low-grade IDC utilizing the techniques of FISH and immunohistochemistry (IHC) (Simpson et al. [1997](#page-140-0)). Increased expression of cyclin D1 has been linked to receptor status, indicating that expression of cyclin D1 may be used as a biomarker for favorable prognosis (Tobin and Bergh [2012;](#page-140-0) Boström et al. [2009](#page-133-0); Mehraj et al. [2022d\)](#page-137-0).

Furthermore, cyc D2 expression was shown to be extremely infrequent in BC patients compared to healthy human breast epithelial cells (Jirström et al. [2005;](#page-135-0) Dai et al. [2013\)](#page-133-0), and its significance in cancer has yet to be determined (Zhang [1999\)](#page-141-0). Although cyclin D3 had been found to be upregulated in breast tumor tissues, evidence on its link to illness outcomes is limited (Kilker et al. [2004;](#page-136-0) Zhang [1999;](#page-141-0) Sutherland and Musgrove [2004](#page-140-0)). Moreover, higher protein levels of cyc D1 and cyc D3 deposition have been seen in breast tumor tissues (Russell et al. [1999\)](#page-139-0). Another investigation found gene amplification of cyclin D1 in 64 of 82 BC patients and cyclin D3 gene amplification in 36 of 86 patients (Husdal et al. [2006\)](#page-135-0). The expression of cyclin D1 was examined in various molecular breast carcinomas, with favorable cyclin D1 staining being more intense in the ER $(+)/PR$ $(+)$ subtype compared to TNBC cases, and negative staining of cyc D1 being observed in HER2-positive subtypes. Furthermore, TNBC patients with reduced expression of cyclin D1 exhibited higher grade tumor, tumor stage, and higher positive lymph nodes having lymphovascular infiltration, suggesting that expression of cyclin D1 may be an important factor to evaluate in BC treatment (Lengare et al. [2020](#page-136-0)). Dicer expression was increased in luminal A and basal-like BC subtypes when cyclin D1 was overexpressed (Yu et al. [2013\)](#page-141-0). In another research, reduced cyclin D levels were linked to a reduction in MDA-MB-231 cell motility, which was caused by a drop in filamin A protein phosphorylation (Zhong et al. [2010](#page-142-0)).

5.2.2 Cyclin A

Protein cyc A makes complexes both with CDK1 as well as CDK2, which function both in S to G2 phase shift and also in G2 to M phase shift of the cell cycle (Rudas et al. [2008\)](#page-139-0). During the S phase, the cyc-A-CDK complex phosphorylates the elements of the DNA synthesis machinery, hence commencing replication (Rudas et al. [2008](#page-139-0); Sofi et al. [2022a\)](#page-140-0). During the mitotic phase, cyclinA/CDK2 regulates nuclear mitotic and centrosomal processes. Nevertheless, it is expected to promote the stability of different cyclin molecules (Rudas et al. [2008\)](#page-139-0). The elevated expression of the cyc A gene had been observed in various forms of human cancers, notably BC, suggesting that cyclin A could possibly act as a prognostic marker for the illness (Table [5.1\)](#page-118-0). Cyclin A microinjection into the Xenopus oocytes as well as mammalian cells promotes breast cancer epithelium cells and induces the cell cycle to enter the M phase (Boström et al. [2009;](#page-133-0) Joung et al. [2005;](#page-135-0) Ravazoula et al. [2003;](#page-139-0) Ates et al. [2011](#page-132-0)). There is a high statistical association among cyc A gene duplication and cyc A protein level in a large number of malignancies (Husdal et al. [2006\)](#page-135-0). The data indicated that measuring cyc A and/or E2-promoter binding factor 1 (E2F1) expression patterns in association with Ki-67 could be a valuable technique for improving prognosis in individuals with lymph node $(-)$ BC (Baldini et al. [2006\)](#page-132-0). Other analyses revealed that cyclin A is an important predictive variable and indicator of both tumor relapse and tamoxifen response (Michalides et al. [2002\)](#page-137-0). Finally, as compared to breast carcinoma individuals with favorable prognoses, abundantly expressed cyclin A was found to be substantially connected with early recurrence, greater risk, and lower overall chance of survival. As a result, cyclin A may be a potent biomarker for tumor growth and prognosis in breast malignancy (Poikonen et al. [2005\)](#page-139-0).

5.2.3 Cyclin E

The rate-limiting component for the G1 to S phase cell cycle shift is assumed to be cyc E protein, a regulatory component for CDK-2 (Leng et al. [1997\)](#page-136-0). In healthy cells, the cytokine E proteins as well as its accompanying kinase (CDK2) are activated in a well-regulated manner. The cyclin E and CDK complex, on the other hand, stays activated during the cell cycle in rapidly proliferating tumor epithelium cells (Hwang and Clurman [2005](#page-135-0)). Dysregulation of the cyclin E gene has been linked to the development of breast cancer tumors (Wilcken et al. [1997](#page-141-0); Russell et al. [1999;](#page-139-0) Zwijsen et al. [1996](#page-142-0)). Previous research has shown that breast tumor tissues had greater amounts of cyclin E gene duplication (Table [5.1\)](#page-118-0) (Husdal et al. [2006](#page-135-0)). In female breast tumor cells, another research discovered an 8-fold increase of the cyclin E genes as well as a 64-fold amplification of its mRNA, indicating that cyclin E expression is abnormal during carcinogenesis (Keyomarsi et al. [2002](#page-135-0)). In addition, a multivariable study linked high cyclin E levels to poor clinical outcomes, revealing that individuals with high cyclin E concentrations had a higher relative risk than those with lower cyclin E levels (Keyomarsi et al. [2002\)](#page-135-0). Furthermore, a link among

gene expression of cyclin E and ER-positive condition was discovered in women with BC. In other investigations (Davidoff et al. [1991](#page-133-0); Porter et al. [2006\)](#page-139-0), cyclin E expression was shown to be higher in the ER-negative group and was linked to an elevated risk of mortality and recurrence, showing that cyclin E is involved in ER-independent tumor growth. Increased cyc E expression in breast carcinoma cells has also been linked to HER2-positive tumors, ER-negative tumors, and high-grade tumors with high proliferative indexes (Donnellan et al. [2001](#page-134-0); Potemski et al. [2006\)](#page-139-0). When compared to unexpressed cyclin E patient populations, gene copy number or mRNA increased expression of cyclin E was linked to decreased treatment advantages and reduced rates of progression-free survival in a cohort research of 34 HER2-positive patient populations treated with trastuzumab (Herceptin)-based therapy. Furthermore, cyclin E expression was linked to a bad outcome and was found to be tightly linked to cyclin D1 as well as p27Kip1 expression (Han et al. [2003\)](#page-135-0). In the node-positive breast carcinoma group, increased expression of cyclinE assessed by IHC was also a substantial indicator of poor outcome and was related with a greater probability of mortality, as shown in a subsequent multivariate study (Potemski1Abcdefg et al., 2009).

5.2.4 Cyclin B

Progression of cell cycle from G2 to Mitosis is regulated by two forms of mammalian cyclin B that form complexes with CDK1 kinases (Dorée and Galas [1994\)](#page-134-0). According to the existing evidence, breast tumor individuals have cyc B gene overexpression and amplification at both the protein and RNA levels (Table [5.1](#page-118-0)) (Husdal et al. [2006\)](#page-135-0). Big tumor size, higher tumor grade, $ER(-)/PR(-)$ status, and HER2 (+) status have all been linked to higher expression (Aaltonen et al. [2009\)](#page-132-0). Its higher expression had likewise been connected to a younger age at assessment and increased cyc E, cyc A, and Ki-67 expression levels (Patil et al. [2011\)](#page-138-0). Both univariate and multivariable studies revealed that cyclin B1 upregulation is associated with a higher breast tumor mortality rate, implying that it is a significant predictive factor (Aaltonen et al. [2009](#page-132-0)).

The importance of cyclin B protein with clinicopathological features in breast carcinoma individuals was studied in a meta-analysis. Highly expressed cyclin B was linked to lower disease-free survival (DFS), disease-specific survival (DSS), and overall survival (OS) rates, as well as a positive relationship with lymphatic infiltration (Sun et al. [2017](#page-140-0)). While in absence of cyclin B, Androic et al. (Yang et al. [2013](#page-141-0)) reported apoptosis activation and growth suppression in multiple breast tumor cell lines, including MDA-MB-231, MCF-7, SK-BR-3, and BT-474. In breast tumor cell lines, siRNA-mediated reduction of cyclin B resulted in G2/M cell cycle stage arrest (Sun et al. [2017](#page-140-0); Androic et al. [2008\)](#page-132-0). Positive cyclin B1 staining was found to be associated with higher tumor stage, larger tumor size, lymph node-positive, young age, and stronger Ki-67 expression in HER2-positive metastatic breast tissues used for the study. As a result of its link to an invasive phenotype, cyclin B1 could be

regarded a significant independent breast tumor predictive factor (Aaltonen et al. [2009\)](#page-132-0).

5.3 Deregulation of CDKs in Breast Cancer

Out-of-control cell growth is one of the characteristics of cancer that is because of the deregulation of CDK/cyclins, which revokes many protections and damages cell cycle checkpoints. Until lately, an extensive study revealed the most common dysregulated activating modifications of the CDK/cyclins that resulted in the diverse BC phenotypes, which are listed in Table 5.2.

The CDK4/6–RB pathway, which is involved in the cell cycle's G1/S phase transformation, is significant in BCs. Generally, CDK4/6/cyclin D1 is the major regulator of RB phosphorylation in order to enhance cell growth. Dysregulation of the CDK4/6–cyclinD/INK4/pRB/E2F cascade or its regulators is predicted to promote to carcinogenesis and BC persistence (Santo et al.). Interestingly, CIP/KIP and INK4 family proteins decrease, and also CDK4/6 amplification, was found in BC (Asghar et al. [2015\)](#page-132-0). According to a recent investigation, various BC subtypes exhibit distinct cell cycle checkpoint genetic changes. A dataset-based genome sequencing research of 482 metastatic BC cases revealed that 27.4% of CDK 4 and 6–RB pathway genetic dysregulation includes the expression of a specific

CDKs	CDK. associate	Biological role in Breast cancer	References
CDK1	Cyclin	Links with cell death of MYC-driven	Horiuchi et al. (2012) and
	A/B	TNBC	Marais et al. (2010)
CDK2	Cyclin	Associates with BC or TNBC	Marais et al. (2010) and Nie
	A/E	phenotype	et al. (2019)
CDK3	Cyclin _C	Correlated with BC invasion, cell proliferation, apoptosis, and cell migration	Zhang et al. (2017) and Cao et al. (2017)
CDK4/	Cyclin	Contributes to the onset and	Malumbres and Barbacid
6	D	persistence of BC carcinogenesis	(2001) and Santo et al. (2015)
CDK5	p39 and p35	Associated with ROS-mediated apoptosis in BC; necessary for $TGF-\beta1-induced EMT$	Pozo et al. (2013) and Dorand et al. (2016)
CD _{K7}	Cyclin	In TNBC, it regulates transcriptional	Wang et al. (2015) and Li et al.
	H	addiction to a critical group of genes.	(2017)
CD _{K8}	Cyclin C	In BC, it reacts to adjunctive treatment and is linked to tumor progression.	Firestein et al. (2008) and Crown (2017)
CD _{K9}	Cyclin T	After neoadjuvant chemotherapy, a predictive biomarker in individuals with BC	Schlafstein et al. (2018), Del Re et al. (2019) , and Mehraj et al. (2022b)
CD10	Cyclin	Resistance to hormonal treatment;	Guen et al. (2017) and You
	M	linked to lymph node metastases.	et al. (2015)

Table 5.2 Biological roles of CDKs in BCs

gene mutation or the combination of various gene mutations (Dukelow et al. [2015\)](#page-134-0). In ER+ BC, in which the cyc D1–CDK 4 and6–RB complexes serve as the estrogen effector, estrogen can accelerate cell cycle transition from the G1 to the S phase. In a nutshell, binding of estrogen to ER-alpha causes cyc D1 transcription, while stimulation of CDK4/6 as well as phosphorylation of RB cause cell cycle progression via the checkpoint, resulting in the onset of the cell signal, which stimulates the activation of various receptor-driven genes, associated in proliferation of cells as well as survival. Augmentation of Cyc D1 is found in roughly 15% of BCs, especially ER+ BCs (Arnold and Papanikolaou [2005](#page-132-0)). Furthermore, estrogen receptor 1 (ESR1) proteins expression is frequently elevated in ER+ BCs, as is PIK3CA expression, both of which lead to progression of cell cycle via the protein kinase B AKT/mTOR signal transduction pathway. When compared to various BC subtypes, like TNBC and HER2+, ER+ BC is genetically more stable, with a major dependence on estrogen signaling, and it usually has adequate functioning of the RB and p53 tumor suppressor mechanisms. The CDK4/6–RB pathway is also involved in HER2-induced cell proliferation (Spring et al. [2017](#page-140-0); Sofi et al. [2022b\)](#page-140-0). The activation of the cyc D1–CDK 4 and 6 pathway contributes to a tumorigenic state and leads to the development and persistence of carcinogenesis in HER2+ BC in mouse models of clinical BC (Dukelow et al. [2015\)](#page-134-0). CDK4, and also erb-b2 receptor tyrosine kinase 2 (ERBB2), tumor protein p53, PTEN, PIK3CA, and cyc D1, are elevated in HER2+ BC. On the other hand, TNBC genomic, proteomic and clinical RB mechanism data show RB1 deletion or mutation in 20% of instances as well as cyclin E1 overexpression in 9% of instances, elevated expression of CDKN2A, reduced expression of RB1, and elevated tumorigenic rate, and also regular changes in DNA damage responsive genes like tumor suppressor BC 1 (BRCA1) (Robinson et al. [2013;](#page-139-0) Fedele et al. [2019](#page-134-0)). Elevated cyclin E expression is linked with a worse prognosis in TNBC and is associated with a negative PR and ER status (Jabbour-Leung et al. [2016](#page-135-0)). TNBC also stimulates B-Raf proto-oncogene, (BRAF), PIK3CA, KRAS proto-oncogene, GTPase (KRAS), EGFR and PTEN deletion, leading in aberrant PI3K/Akt/mTOR or Raf/MAPK/ERK signaling pathways. The frequency of PIK3CA alteration in TNBC, on the other hand, is just 8.3% (Stemke-Hale et al. [2008;](#page-140-0) Khan et al. [2022b\)](#page-135-0). The integrity of the cell cycle that is regulated by the Rb/E2F/CDK4/6 axis, is impaired in TNBC owing to recurrent deletion or mutations of RB1. Individuals with TNBC are frequently thought to be worse candidates for CDK suppression. According to a preclinical experiment, TNBC is particularly responsive to a CDK2/9 inhibitor, implying that there could be unknown variables linking the CDK complex in TNBC growth (Matutino et al. [2018;](#page-137-0) Singh et al. [2017\)](#page-140-0). However, new research has found that the activation of many SAC genes, including BUB1, TKK, MAD2, AURKB, and DNA repair enzymes, is changed in TNBC, possibly because of genetic instability in TNBC (Thu et al. [2018](#page-140-0)).

Other CDKs, including CDK2, are also elevated, which often leads to the proliferation and/or upregulation of its counterparts cyc A or cyc E in BC (Santo et al.). CDK1 and its related cyclins, cyc A2 and B1, are frequently engaged in mitosis progression, while cyclin B1 expression is elevated in BC (Aaltonen et al. [2009\)](#page-132-0). Nevertheless, there is no clear evidence associating genetic changes that disrupt CDK1 function to the onset of BC. According to one research, the deletion of CDK12 protein enhances the phenotype of TNBC because CDK12 deficiency causes DNA repair deficiencies (Naidoo et al. [2018](#page-138-0)).

5.4 Tumor Suppressive Proteins of the Cell Cycle

5.4.1 p16

Due to the inhibitory effect on CDK, the p16, commonly called as INK4A/MTS-1/ CDKN2A, is mostly used in oncology (Li et al. [2011\)](#page-136-0). The widespread occurrence of single nucleotide polymorphism (SNP) alterations and deletions of the p16 genes in breast tumor cells suggests that the gene plays a key function in carcinogenesis (Baker and Reddy [2012](#page-132-0)). The p16 protein component interacts to and deactivates CDK4/6/cyclin D complexes, causing Rb protein deactivation and cell cycle halt (Baker and Reddy [2012](#page-132-0)). Altered expression of p16 gene is most prevalent anomaly in human BC, according to archived mammary cancers of various histological types (Table [5.3\)](#page-126-0) (Geradts and Wilson [1996\)](#page-134-0). In addition, expression of p16 was revealed to be aberrant in ER-negative, pre-menopause women with breast carcinoma compared to ER-positive patient populations. The aberrant expression of p16 identified by these investigators was linked to a higher proliferation index (Muhammad et al. [2012\)](#page-138-0). According to a previous study, aberrant expression of p16 could be a marker of poor endocrine therapy responsiveness (Cui et al. [2012\)](#page-133-0). Additional study discovered positive p16 protein expression in women with breast carcinoma with a luminal A type, and increased expression was linked to breast tumor progression from DCIS to IDC (Shan et al. [2013\)](#page-139-0). Abou-Bakr and colleagues (Abou-Bakr and Eldweny [2013](#page-132-0)) looked at expression of p16 in grade 3 basal-like breast cancer having histological characteristics that matched IDC. According to the findings, the protein p16 showed a highest IHC level in basal-like cancer that was later linked to lung and brain metastases (Abou-Bakr and Eldweny [2013\)](#page-132-0). Reduced expression of p16 was reported in resistant TNBC cancer in a research by Arima and colleagues (Arima et al. [2012\)](#page-132-0). Both positive-p16 and negative-p16 cells in invasive lobular carcinoma stroma cells indicated high nodal activation, rapid relapse, and metastatic tendency. Furthermore, restoring p16 expression in stromal fibroblasts stopped cancer cells from migrating and invading. As a result of these findings, high stromal expression of p16 has been suggested as a therapeutic option for preventing nodal or distant metastases (Harbhajanka et al. [2019;](#page-135-0) Khan et al. [2022a\)](#page-135-0).

5.4.2 p21

The p21 which is an inhibitor of CDK also called as WAF1/CIP1/SDI1/MDA-6 stimulates CDK 4 as well as the proliferative cell nuclear antigen, allowing the cell to enter G1 stage. Upregulation of p21WAF1/CIP1 led in cell cycle's G1 stage halt and significantly inhibited tumor development in both in vitro and in vivo research

Marker	Expression	Outcomes	Receptor status	References
p21	Elevated	Tumor grade high, big tumor	$PR+/ER+/PR-$	Aaltonen
	expression	size, lymph node status positive,	$ER - / HER2 - /$	et al. (2009)
		Increased Ki-67 expression	$HER2+$	
	Elevated	Better prognosis	$PR+/ER+/PR-$ $ER-$	Göhring
	expression			et al. (1999)
	Elevated expression	Good survival	$PR+/ER+/PR-$ $ER - / HER2 +$	Domagala et al. (2001)
	Elevated	Good survival	$PR+/ER+/PR-$	Fayed et al.
	expression		$ER - / HER2 - /$	(2012)
			$HER2+$	
	Elevated	Big size of tumor, tumor grade	$PR+/ER+/PR-$	Wei et al.
	expression	high, Lymph node metastases	$PR+/HER2-/$ $HER2+$	(2015)
p27	Decreased	Tumor grade high, absence of	$PR+/ER+/PR-$	Alkarain
	expression	tumor differentiation, Worse	ER -/HER2-/	et al. (2004)
		prognosis	HER2+	
	Elevated	Good prognosis	ER -/ER+	Tsuchiya
	expression			et al. (1999)
	Elevated	Better prognosis	$PR+/ER+/$	Traub et al.
	expression		$HER2+$	(2006)
	Decreased	Worse prognosis	$PR+/ER+/PR-$	Newman
	expression		ER -/HER2-/ $HER2+$	et al. (2001)
	Elevated	Prolonged DFS and OS	$PR+/ER+/PR-$	Mirchandani
	expression		$ER-$	et al. (2011)
	Decreased	Worse prognosis	$PR+/ER+/PR-$	Leivonen
	expression		$ER-$	et al. (2001)
p16	Elevated	Proliferation index high	$PR+/ER+/PR-$	Cui et al.
	expression		$ER - / HER2 - /$	(2012)
			HER2+	
	Elevated expression	Good prognosis	$PR+/ER+/PR-$ $ER-$	Emig et al. (1998)
	Elevated	Good prognosis	$PR - / ER - /$	Pan et al.
	expression		$HER2-$	(2017)
	Elevated	Good prognosis	$PR+/ER+/PR-$	Al-Joudi
	expression		$ER-$	et al. (2008)
	Elevated	Progression of disease	$PR+/ER+/PR-$	Shan et al.
	expression		$ER-$	(2013)
	Decreased	Metastases	$PR+/ER+/PR-$	Harbhajanka
	expression		$ER - / HER2 - /$	et al. (2019)
			$HER2+$	
Wild-	Elevated	Good prognosis	$PR+/ER+/PR-$ $ER - / HER2 - /$	Salmani et al. (2018)
type p53	expression		HER2+	
	Elevated	Good disease-free survival	$PR+/ER+/PR-$	Yang et al.
	expression		$ER-$	(2013)

Table 5.3 Tumor suppressor cell cycle elements clinical significance in breast carcinoma patients with various molecular subtypes

(continued)

Marker	Expression	Outcomes	Receptor status	References
	Elevated expression	Better prognosis	$PR+/ER+/PR-$ ER -/HER2-/ $HER2+/Basal$ like	Bertheau et al. (2013)
	Elevated expression	Better prognosis	$PR+/ER+/PR-$ $ER -$	Li et al. (2019)
	Elevated expression	Poor prognosis	PR -/ER-/ $HER2-$	Lee et al. (2011)
Mutant p53	Elevated expression	Early recurrence	$PR+/ER+/PR-$ ER -/HER2-/ $HER2+$	Marchetti et al. (2003)
	Elevated expression	Worse prognosis	$PR+/ER+/PR-$ $ER-$	Loo et al. (2019)
	Elevated expression	Less 5-years relapse free survival	$PR+/ER+/PR-$ $ER-$	Kikuchi et al. (2013)

Table 5.3 (continued)

models (Table [5.3](#page-126-0)). According to data from lymph node-negative breast carcinoma individuals, the existence of p21 signals the existence of a tumor suppressor that could improve survival of patients (Göhring et al. [1999\)](#page-134-0). In the other investigation, positive p21 cancer cell nuclei were found in greater than 30% of BC, which was related with a poor tumor grade and node-negative condition (Domagala et al. [2001\)](#page-134-0). The results clearly showed that p21WAF1/CIP1 expression of genes might be employed as a crucial predictive marker for breast tumor, enabling therapeutic choices to be tailored to individual tumor patients more effectively (Fayed et al. [2012\)](#page-134-0). E p21WAF1/CIP1 was shown to be upregulated in larger tumors in individuals with greater tumor dedifferentiation stages, greater lymph node metastasis, and poorer disease-free survival chances after resection (Wei et al. [2015\)](#page-141-0). Furthermore, an in vitro investigation that immunostained $ER(+)$ and $ER(-)$ breast tumor cell lines for p21 expression discovered a strong link among p21WAF1/CIP1 with ER expression (Chen et al. [2000;](#page-133-0) Fritah et al. [2005\)](#page-134-0).

Furthermore, p21WAF1/CIP1 has several functions in breast carcinoma. For example, regulating TGFβ/Smad signaling via expression of p21WAF1/CIP1 increased cell migration and demonstrated a link with OS and DFS in breast carcinoma individuals (Dai et al. [2012\)](#page-133-0). In the cytoplasm elevated concentrations of p21WAF1/CIP1 in invasive breast carcinoma cells were linked to higher levels of p53 and worse prognoses in one study (Winters et al. [2001\)](#page-141-0). p21WAF1/CIP1 phosphorylation by AKT1 disturbed its interaction with proliferative cell nuclear antigen and caused its buildup in cytoplasm, according to various studies. p21WAF1/CIP1 accumulates in breast tumor cells and promotes ERBB2-mediated growth as well as tumorigenesis (Li et al. [2002](#page-136-0)). Furthermore, in reaction to plasmacytoma variant translocation 1, an Ln non-coding RNA, decreased expression of p21WAF1/CIP1 promoted EMT, increased cell survival, and increased migratory potential in MDA-MB-468 and MDA-BA- 231 breast carcinoma cell lines (Wang et al. [2018](#page-141-0)). Other research employing breast tumor mice models found that infiltration is associated by an increase of p21WAF1/CIP1, suggesting an oncogenic role for this protein (Qian et al. [2013](#page-139-0)). In MCF-7 cells, the amplification of p21WAF1/CIP1 had been also linked to a poor responsiveness to tamoxifen therapy (Pérez-Tenorio et al. [2006](#page-138-0)). In SUM159 TNBC cells, Akt-dependent phosphorylation of p21WAF1/CIP1 increased doxorubicin sensitivity (Vincent et al. [2012;](#page-141-0) Wadhwa et al. [2020](#page-141-0)). In other research, p21WAF1/CIP1 was found to prevent apoptosis in breast carcinoma cells. Suppression of CDKs by upregulation of p21WAF1/CIP1 in BC cells lowered cell susceptibility to infrared-induced cell death (Sohn et al. [2006\)](#page-140-0).

5.4.3 p27

The tumor suppressor p27 that is recognized to correlate the stimulation of the CDK2-cyc E complex with the buildup of CDK 4-cyc-D, which begins the cells escape from the cell cycle in reaction to antimitogenic signals (Neganova and Lako [2008\)](#page-138-0). Reduced expression of the p27 gene is highly associated with increased histological grade and characteristics associated with weaker tumor differentiation (Table [5.3\)](#page-126-0) (Alkarain et al. [2004\)](#page-132-0).

In the majority of lymphatic node-negative breast carcinoma individuals, low p27 protein content are also associated with worse clinical outcomes (Alkarain et al. [2004\)](#page-132-0). Several pieces of data indicate that TGF-β, rapamycin, and cAMP are involved in p27-induced G1 cell cycle phase halt (Courjal et al. [1996](#page-133-0); Russell et al. [1999\)](#page-139-0). Elevated levels of p27 expression in human breast tumor cells were also found to be inversely associated to the level of malignancy in the female breast in earlier research. Furthermore, elevated p27 expression in women with breast carcinoma was found to be substantially linked with ER-positive status and negatively linked to short survival. Reduced p27 expression was found to be significantly linked with a poorer clinical outcome in a univariate Kaplan–Meier analysis (Traub et al. [2006](#page-140-0)). In low p27-expressed samples, a flow cytometry research employing resistant breast carcinoma cells revealed a larger S-phase proportion and higher CDK2 activation, which was reverted following exogenous p27 injection (Nahta et al. [2004](#page-138-0); Sofi et al. [2022c\)](#page-140-0).

Immunohistochemistry of breast tumors revealed that p27 reduced expression was associated with HER2 gene amplification in initial breast tumors, which could be useful in prospective patient selection for HER2-positive/neu mab treatment (Newman et al. [2001](#page-138-0)). Tamoxifen therapy induced cell cycle halt in MCF-7 owing to an elevation of levels of p27, according to a different research (Cariou et al. [2000\)](#page-133-0). Decreased expression of p27 was linked with an elevated histological grade, an advanced TNM phase (tumor size, lymph node status, and metastatic status), and negative hormonal receptor status in other studies of p27 expression (Mirchandani et al. [2011](#page-138-0); Leivonen et al. [2001\)](#page-136-0). Docetaxel-resistant breast carcinoma cells (MDA-MB-231 and MCF-7 cell lines) also had lower p27 expression (Brown et al. [2004](#page-133-0)). Reduced p27 expression was linked to higher tumor stage, mitosis, and nuclear pleomorphism, as well as reduced tubule development in ER-negative or

ductal/no special subtype tumors in additional univariate research (Barnes et al. [2003\)](#page-133-0).

Increased p27 expression was found to be an individual predictor of better relapse-free or overall survival, leading to the suggestion that it might be used as an individual predictor of hormonal treatment response (Pohl et al. [2003](#page-139-0)). A retrospective immunohistochemical study of 216 breast tumors indicated that p27-negative individuals had a worse outcome than individuals in other groups, indicating that p27 expression can help distinguish breast cancer individuals who might benefit from adjuvant treatment (Nohara et al. [2001\)](#page-138-0). Furthermore, reduced p27 immunoreactivity was linked to greater tumor stage, greater HER2-positive amplification, more lymph node-positive populations, reduced thymidylate synthase expression, stronger expression of Ki-67, and worse DFS in lymphatic nodenegative patients (Spataro et al. [2003](#page-140-0)). Reduced expression of p27Kip1 was linked to a reduced overall survival rate in hormonal receptor-positive cancer treated with adjuvant treatment (DOX and CP) (Porter et al. [2006](#page-139-0)). Expression of p27Kip1 was also shown to be inversely correlated to the level of aggressiveness in breast tumors (Fredersdorf et al. [1997\)](#page-134-0). The expression pattern of p27Kip1 was measured in breast carcinoma individuals in Taiwan, and both univariate and multivariable studies revealed that decreased expression of p27Kip1 was associated with a shorter survival time in PR/ER-positive tumors. As a result, in Taiwan, p27Kip1 could be regarded an effective prognostic marker for breast carcinoma (Chu et al. [1999\)](#page-133-0).

Additional meta-analysis investigation found a link among elevated expression of $p27$ and DFS, OS, and RFS in lymph node (-) or lymph node (+) BC individuals (Guan et al. [2010\)](#page-134-0). Furthermore, the Austrian Breast and Colorectal Cancer Study Group Trial 06 registered initial-stage BC individuals with an ER/PR (+) status for the purpose of evaluating the expression of p27Kip1 and observing its effect on clinicopathological characteristics in female obtaining adjuvant tamoxifen for 5 years. In comparison to women having lower p27 expression, higher p27Kip1 expression was significantly related with prolonged DFS and OS (Filipits et al. [2009\)](#page-134-0).

5.4.4 p53

The tumor suppressor protein p53 is implicated in organizing cell responses to a number of stressors, like oncogenic stimulation, hypoxia, as well as DNA damage (Zilfou and Lowe [2009\)](#page-142-0). In healthy cells, p53 stimulates apoptosis in reaction to mitogenic stimulus. The same activation of cell death by p53 had been investigated in the reaction to antitumor treatment. Transformation of cell is facilitated by a mutant form of p53 which does not react accurately to oncogenic stimulation, leading in tumor initiation (Zilfou and Lowe [2009](#page-142-0)). According to immunohistochemistry analysis of p53 expression in early breast carcinoma tissues, p53 amplification was linked to advanced-stage tumors, metastatic dissemination, and reduced progesterone receptor levels (Table [5.3\)](#page-126-0) (Davidoff et al. [1991\)](#page-133-0). Breast tumor patients had an enhanced cytoplasmic buildup of p53 as well. When contrasted to the

control sample, these patients' samples showed substantial proliferation, with mean Ki-67 fractions rising to 75% and a 74% higher median S-phase proportion (Emig et al. [1998](#page-134-0)).

Yang and colleagues (Dorée and Galas [1994\)](#page-134-0) estimated DFS and its connection with p53 expression using invasive ductal carcinoma specimens. Expression of p 53 was found to be a predictor of DFS using Cox modeling and multivariate analyses (Yang et al. [2013](#page-141-0)). Furthermore, positive p53 expression has been associated to a worsening prognosis in various studies. A Kaplan–Meier evaluation of TNBC IDC specimens, revealed that p53 (+) expression was associated with poor survival rates, with patients having a 2.2 times increased death rate than $p53$ (-) individuals. Likewise, IHC testing of p53 amplification in altered radical mastectomy tissues from TNBC patients revealed worse overall survival percentages when contrasted to the patient population with minimal p53 expression. Furthermore, p53 upregulation was found to have the highest prognostic relevance in TNBC individuals (>50 years) in a multivariate study (Lee et al. [2011](#page-136-0)).

The findings from a retrospective analysis of a significant population of luminal/ HER2 (-) BC patients revealed that 50% expression of p53 (found in 9% of individuals) was related with poorer DFS. As a result, p53 amplification has been labeled as a predictive factor for adverse outcomes (Kikuchi et al. [2013](#page-135-0)). Another study found a link between p53 upregulation and ER status in ER $(+)$ and ER $(-)$ invasive breast carcinoma. Increased p53 expression was related with OS and DFS in ER $(-)$ breast cancers compared to ER $(+)$ breast tumors (Coates et al. [2012](#page-133-0)).

The p53 gene had also been examined in all BC subtypes, including Lum A, Lum B, TNBC, HER2+ and basal-like, with the results indicating that expression of p53 was greater in the HER2-positive as well as TNBC subgroups than those in the luminal A or luminal B subgroups. Increased expression of p53 in HER2-positive and TNBC subgroups was linked to early-onset, high-grade cancers as well as a higher proliferation index (Abubakar et al. [2019](#page-132-0)). Elevated expression of p53 was associated with higher tumor stage (p 0.006), lymphovascular infiltration (p 0.003), and lymphocytic infiltration (p 0.004) in invasive breast cancer stage II and III specimens. These findings suggest that p53 amplification in more invasive breast carcinoma types is associated with a worse outcome and weakened immune responses (Muhammad et al. [2012](#page-138-0)).

Patients with highly expressed p53 in TNBC had a higher overall survival rate than those with p53-negative TNBC who received neoadjuvant treatment (Bae et al. [2020\)](#page-132-0). In high-grade cancers with nodal metastases, Another analysis revealed that p53 amplification was negatively connected with ER/PR expression and strongly associated with HER2 (+) higher expression (Mehta et al. [2019](#page-137-0)). Epithelial p53 activity was assessed in a randomized II stage clinical study on lymph node $(+)$ individuals who underwent cyclophosphamide 4 cycles plus one dosage of DOXO adjuvant treatment (utilizing mAbs DO7 and 1801). This research found that high p53 IHC was linked with poor OS and RFS in lymph node (+) individuals following univariate analyses (Lara et al. [2011](#page-136-0)).

5.4.5 Mutant p53

Marchetti and coworkers discovered a "Arg72Pro"p53 variant in 23 percent of early BC patients in a research. When compared to individuals having wild-type p53 status, those who tested positive for the Arg72Pro variation relapse within 10 months of a median DFS (Marchetti et al. [2003\)](#page-137-0). Utilizing the PAb 1801 monoclonal antibodies, Lenora W.M. and colleagues discovered a greater nuclear expression of mutated p53 in young breast carcinoma patients. The researchers used Kaplan– Meier plotter as well as a log-rank test to find a link between mutant p53 expression and worse prognosis in different ethnic groups. In a separate investigation, TNBC individuals with aberrant mRNA expression of mutated p53 were found to have a worse 5-year recurrence-free rate of survival. As a result, mutated p53 could be utilized as a predictive biomarker in TNBC patients (Kim et al. [2016\)](#page-136-0).

5.5 Summary

Numerous oncogenic and tumor-suppressive proteins implicated in cell cycle control and progressions have previously been identified in diverse subtypes of female breast carcinoma. Although it is well known that many genetic changes are necessary for carcinogenesis, greater research into the exact and sequential processes associated how these influence therapeutic outcomes continue to inspire novel therapeutic techniques for more successful cancer therapies. The study of differentially expressed gene products, growth regulators, and other biological agents that promote cell multiplication and tumor development has provided some insights into cancer development processes. These discoveries have paved the way for the development of specific medicines aimed at disrupting specific biological pathways. Transgenic mice models have supplied crucial data that has aided our comprehension of breast tumor processes and treatments. In the future, improvements will most likely be made in part by using genomic microarray analysis technologies to determine genetic propensity to tumor formation. This technique will almost certainly be crucial in the identification of critical novel treatments.

5.6 Further Readings

The readers can further read about the role of CDKs in breast cancer by going through the following papers

<https://discovery.ucl.ac.uk/id/eprint/1472740/> <https://www.jmedsciences.com/doi/JMEDS/pdf/10.5005/jp-journals-10045-00138>

For more incites about the topic we would suggest detailed findings from the books of (Mir 2022) <https://doi.org/10.1016/C2021-0-02565-7>, [https://doi.org/10.](https://doi.org/10.1016/C2022-0-00074-X) [1016/C2022-0-00074-X](https://doi.org/10.1016/C2022-0-00074-X) (Mir 2021) <https://doi.org/10.52305/WXJL6770>

The following visual presentations are also available for the readers to view for a better conceptual grasp of CDKs and their function in breast cancer

[https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=1mo80kTZgW4)=1mo80kTZgW4 [https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=BIBpEP0jDm4)=BIBpEP0jDm4 [https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=GoMiAbEmHEI)=GoMiAbEmHEI

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Molecular Subtypes of Breast Cancer

and CDk Dysregulation

Manzoor Ahmad Mir and Ifshana Mohi Ud Din

6.1 Introduction

BC is a varying disease with a wide range of cell composition, molecular changes and clinical manifestations. The prognosis and response of cancer treatment is influenced by many characteristics which are: histological grade, tumour type and size, LN metastasis, ER, PR, and HER-2. BC is the most commonly seen cancer around the globe (Siegel et al. [2020\)](#page-158-0). Approximately, 250,000 new BC cases were reported in the United States (US) in 2014, accounting for approximately 14% of all new cancer diagnoses (Economopoulou et al. [2015\)](#page-157-0). In Great Britain, more than 50,000 cases of BC were confirmed, which is about 15% of all new cancer cases. BC is a broad term that includes a variety of disorders with distinct histological features, dissemination patterns, treatment responses, sufferers' outcomes and imaging features. BC affects females of all age groups, killing around 42,000 people in the USA in 2019 and dying from cancer in females, despite decades of research and advances in drug and diagnostic imaging (Khan et al. [2022](#page-157-0)). This is the second most usual cause. In spite of the fact that mortality due to BC has reasonably lowered as a result of currently accessible treatments, it is estimated that over 450,000 people die each year from the disease (Al-Rikabi and Husain [2012](#page-157-0)). The molecular subset of BC is a strong prognostic and predictor, depending on histological grade and LN metastasis. Therefore, categorising BC into molecular subtypes is a crucial part of treatment decisions. Long-established immunohistochemistry (IHC) markers like ER, HER-2, PR are important for molecular subtyping (Fig. [6.1](#page-144-0)) (Johnson et al. [2021\)](#page-157-0). GE profiling using complementary DNA microarrays has emerged as a new tool for therapeutically essential molecular classification. The BC can be grouped

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Fig. 6.1 Molecular subtypes of breast carcinoma

into molecular subtypes on behalf of GE patterns: luminal like, HER-2 enriched and basal like. The most often and common kind of BC is luminal-like tumours, which account for 60–70% of all cancers. The ER and various genes dominated by the epithelium cells that bound the lumen of TDLU of the duct where more BC develop are highly expressed. The oncogene Erb-B2 (HER-2) is present in low quantity more often (Goyal and Trivedi [2020](#page-157-0)).

BC patients with HER-2-enriched tumours constitute around 15% of total cases (Fig. [6.2](#page-145-0)). These are characterised by Erb-B2 oncogene overexpression and also modest levels of ER expression. Basal cell-like tumours make up for about 12–16% of all BCs and dominate numerous of the matching genes as TDLU basal myoepithelial cells (Johnson et al. [2021](#page-157-0)). They frequently do not express ER, as do many of the genes involved in estrogen receptor expression. According to a study by the American Cancer Society, around 73% of BC diagnoses in the USA are Luminal A, around 11% are Luminal B, around 12% are Triple Negative, and around 4% are HER-2 enriched. Further studies have shown that subgroups are associated with different clinical features. The E-R+ luminal subtype, for example, is currently divided into a couple of subgroups, A and B, and having each a distinct prognosis (Van't Veer et al. [2002](#page-158-0)).

Fig. 6.2 Statistical data of molecular subtypes of Brest carcinoma in KAUH (2012–2018)

6.2 Genetic Expression

The estrogen receptor expression is a distinguishing feature of the luminal subtype. The term "luminal" derives from the resemblance linking the genes dominated by the tumours and the genes dominated by luminal breast epithelium cell (Perou et al. [2000\)](#page-158-0). ER, PR, and more genes connected with Estrogen receptor stimulation are expressed in a majority of luminal cancers. BC luminal subtypes are the most common and can be classified into two groups A and B. GE patterns and clinical diagnosis of A and B luminal breast tumours differ significantly (Sørlie et al. [2003\)](#page-158-0).

6.2.1 Luminal A

HER-2-negative tumours are also called luminal A tumours. It is a protein that the human body produces constantly on regular basis. BC with standard levels of HER-2 protein is called HER-2 negative. From a genetic point of view, HER-2 plays a crucial part in repairing of healthy mammary cells and also cell formation. Unlike Luminal-A tumours, luminal-B tumours are not that common and account for around 20% of all malignancies, compared to 40% for luminal A tumours (Anurag et al. [2020\)](#page-157-0). These tumours are well-differentiated cancers and include morphologically undefined renal tubules, mucinous, classical lobular carcinomas and neuroendocrine carcinomas. Luminous BC has progesterone receptors as well as estrogen, is HER-2negative, and contains small amount of the protein Ki67, which regulates the rate of cancer cell development. These malignancies grow slower, have a lower grade and have a better diagnosis than other types of cancer. People with HR + are characterised by ER or PR-positive hormone receptors. Estrogen and progesterone are present so cancers that are ER and/or PR + develop faster. Medication which depresses the levels of ER and PR in the human body is effective against this type of BC (Gao and Swain [2018](#page-157-0)).

6.2.2 Luminal B

Luminal B-like BC is estrogen receptor-positive, HER-2-positive, and contains arbitrary amounts of Ki67 protein. It can also be positive or negative for the progesterone receptor. These tumours grow faster and are slightly less diagnosed than type A tumours. Luminal B tumours differ from luminal A tumours in that they express more proliferative and/or cell-cycle genes and have less PR expression (Prat et al. [2015\)](#page-158-0). The Ki67 protein, IHC markers of growing nuclear antigen and cell proliferation are importantly expressed in luminal-B tumours which is not the case in luminal A cancer. In contrast to luminal A tumours, luminal B cancers have a high frequency of p53 mutations (Sørlie [2004\)](#page-158-0). Luminal B tumours are poorly differentiated and usually highly malignant.

6.2.2.1 Clinical Implications and Management

Several researches have conveyed that ER+ cancers- luminal-A and B subtypes have two unique outcomes. Those suffering from luminal-B tumour had notably brief survival and ill health free survival than those with luminal A BC. Luminal-A tumours have the best diagnosis of all BC subtypes, but luminal-B, HER-2-rich, and basal subtypes do not show good clinical outcomes (Lahsaee [2018](#page-157-0)). Patients with luminal-B BC are poorly diagnosed with respect to luminal-A tumours due to overexpression of cell cycle and proliferative genes in these cancers (Sørlie [2004](#page-158-0)). A molecular subtype approach to BC treatment was accepted by the St Gallen expert consensus group in 2011 (Goldhirsch et al. [2011\)](#page-157-0). IHC markers are used in these treatment algorithms to deliver comprehension into a tumour inherent molecular subtype and to guide therapy. We describe substitutes of four molecular types of BC, semi-quantitative IHC expression of Ki-67, HER-2, PR, and ER is currently employed (Qi et al. [2021\)](#page-158-0). Luminal-B cancers are characterised from luminal-A tumours in clinical practise by a soaring Ki-67 expression (around 14%), soaring histologic grade and lower PR expression (under 20%). IHC surrogates, on the other hand, do not necessarily reflect the genuine intrinsic molecular subtype. Luminal-A and B tumours are recognised as HER-2- by IHC, ER+, PR+, and all HER-2+ tumours are classed as "HER-2 subtype" in any case of HR status. The "luminal B HER-2+" subtype includes tumours that are ER+, PR+, and HER-2+. Hormone therapy should be part of the treatment plan for all those suffering from luminal BC (Fig. [6.3](#page-147-0)).

Fig. 6.3 Patients with invasive breast cancer are grouped by molecular subtype in the results

6.2.3 HER-2 Enriched Subtype

Genetic Expression E-R-negative, P-R-negative, and HER-2-positive. BCs are rich in HER-2. Tumours rich in HER-2-are usually successfully treated with targeted therapies that target the HER-2 protein, but develop earlier than luminal tumours and have a poor prognosis. HER-2 is transmembrane receptor (tyrosine kinase receptor) that holds together to extracellular signals and initiates a cascade that regulates cell proliferation, survival and differentiation. Between 12% and 20% of all BCs highly express the HER-2 protein and/or exhibit amplification of the HER-2 gene, leading to aggressive tumour development and poor clinical diagnosis (Tang and Tse [2016\)](#page-158-0). The HER-2 oncogene is a known BC prognostic factor associated with shortening DFS and OS (Sørlie et al. [2003](#page-158-0)). Peru used HER-2 oncogene overexpression, specific gene selection overexpression, and low ER expression to classify HER-2 rich molecular subtypes. However, overexpression of proliferative genes such as Ki67 and proliferative nuclear antigens is not seen in the HER-2-enriched subtype. Nonetheless, HER-2-rich tumours have a worse prognosis than luminal malignancies, demonstrating the importance of the HER-2 oncogene. Surprisingly, proliferative genes such as Ki67 and proliferating nuclear antigens are not upregulated in HER-2-rich subtypes. However, HER-2-rich tumours have a worse prognosis than luminal cancer, emphasising the importance of the HER-2 oncogene. Tumours expressing HER-2 are E-R and P-R negative, but HER-2 positive. HER-2 positive BC cells have an abnormally high number of copies of the HER-2 gene and produce the HER-2 protein receptor found in BC cells. When the HER-2 receptors are functioning properly, they control how healthy breast cells regenerate, grow, and heal. As the cell proliferates, the receptors divide the cell and encourage it to proliferate rapidly and out of control. This is because cells overdose human epidermal growth factor 2, a substance that promotes cell development. Excessive

Subtype	ER	PR	$HER-2$	$Ki-67$	Histological grade	Multiparameter molecular test results
Luminal A	$+$	$>20\%$	Can be positive	${<}14\%$	Generally, 1 or 2	Favourable prognosis, <i>i.e.</i> , lower recurrence scores
Luminal B	$+($ generally lower relative to luminal A	${<}20\%$	Can be positive	$>14\%$	Generally, 3	Unfavourable prognosis, i.e., higher recurrence scores
$HER-2$			$+$	Any	Generally, 3	
Basal				Any	Generally, 3	

Table 6.1 Clinical and immunohistochemical surrogates for molecular subtypes of breast cancer

HER-2-positive genes in BC tissue are often examined to determine if patients will benefit from targeted therapy options that prevent HER-2 from promoting the development of cancer cells (Kim and Koo [2020\)](#page-157-0).

Symptoms of HER-2-positive BC are quite common to those of other types of BC. Lumps in the breast, changes in breast shape, pain, edema, and abnormal drainage are some of the common symptoms of BC. Many females with HER-2 positive BC first receive neoadjuvant chemotherapy with drugs that directly target HER-2. Some females, especially females with small tumours, undergo surgery first, followed by adjuvant therapy, including both chemotherapy and HER-2-targeted therapy. Endocrine therapy may also be given to females whose cancer is "hormone receptor positive", that is, females who need estrogen to grow. Doctors can perform tests to determine if BC falls into this category (Oh and Bang [2020](#page-158-0)) (Table 6.1).

6.2.3.1 Clinical Implications and Management

Detecting HER-2 positivity in BC gives critical diagnostic and forecastic information that helps guide HER-2-directed therapy and enhances clinical outcomes. Clinically, HER-2 positiveness is explained as full and robust membrane staining in fewer than 10% of cells by IHC. All IHC 3+ tumours are HER-2+, all IHC 1+ tumours are HER-2-, and all IHC 2+ tumours are ambiguous. Reflex HER-2 testing with fluorescent in situ hybridisation is triggered when the results are ambiguous (FISH). The ratio of HER-2 gene amplification to the chromosomes 17 probe defines the FISH results (CEP17). E-R, P-R, HER-2+ is the most often utilised IHC surrogate for the HER-2-enriched subtype (Table 6.1). However, the outcome of IHC and FISH, which clinically define tumours as HER-2+, do not necessarily match the outcomes of GEPs, which characterise tumours as HER-2-enriched, and HER-2+ illness is heterogeneous. "HER-2+" is used all over this review to refer to tumours that are useful for HER-2 expression by IHC, whereas "HER-2-enriched" refers to cancers identified by GEP(Godoy-Ortiz et al. [2019](#page-157-0)).

One of the most important outcomes in the treatment of BC is the success of anti-HER-2 therapies for females with early and advanced HER-2 + BC. Trastuzumab (Herceptin, Genentech, San Francisco, CA) is the most commonly used anti-HER-2 therapy, but studies have shown that other anti-HER-2 drugs such as pertuzumab, neratinib, lapatinib and T-DM1 are also effective (Upton et al. [2021\)](#page-158-0). In 2005, the first study where chemotherapy was compared to trastuzumab in patients with surgical HER-2 + disease showed improved DFS and about 33% reduction in mortality risk in patients receiving trastuzumab (Ahmed et al. [2015](#page-157-0)). Trastuzumab in combination with chemotherapy has a longer time to disease progression, a longer duration of response, and a lower one-year mortality rate in females with metastatic HER-2 + cancer than chemotherapy alone years later in 2012. It has been found to be associated with high median survival. In spite of continued advances in anti-HER-2 treatment, the prognosis for HER-2 + disease is poor. Because people with HER-2 + disease have a very broad clinical outcome, oncologists need diagnostic techniques to lead treatment. The traditional approach to females with HER-2 + malignancies is Neoadjuvant chemotherapy who can be operated on by IHC because the response to the therapy provides diagnostic and forecastive information (Pereira et al. [2019](#page-158-0)).

6.2.4 Triple-Negative or Basal-Like BC

Estrogen receptor-negative, progesterone receptor-negative, and HER-2-negative BCs are known as triple-negative or basal cell-like BCs. The chances of Triplenegative BC in young females with the BRCA1 mutation is more. Females with triple-negative BC are considered more aggressive than Luminal-A or Luminal-B BC (van Barele et al. [2021\)](#page-158-0).

6.2.4.1 Genetic Expression

Many BC genes are dominated by either of the two types of epithelium cells, basal cells or luminal cells found in human breast tissue, according to Perou et al. ([2000\)](#page-158-0). High expression of keratin 5, keratin 17, integrin B4, laminin, and growth-related genes is a GE feature of basal epithelial cells, and thus basal-like BC (BLBC) subtypes. The p53 gene is mutated in most BLBC cancers. Most other genes co-expressed with ER are absent in these malignancies (Sørlie [2004\)](#page-158-0). Basal-type BC accounts for about 15% of all invasive BCs and is usually high-grade, large at detection, and subject to local and distant recurrence (Tang and Tse [2016](#page-158-0)). Basal cell-like subtypes are the most usual of triple-negative BC (TNBC), accounting for around 70–80% of all TNBC cases. Triple-negative BC is a diverse group of tumours and the persisting 20–30% of TNBC can be divided into at least six distinct subgroups based on GEP (Teschendorff et al. [2007](#page-158-0)). TNBC is defined as the absence of IHC expression of ER, PR, or HER-2. TNBC is defined as a tumour in which less than 1% of the nucleus expresses ER and PR and HER-2 expression is 0 to $1 +$ or IHC2 + and FISH negative (Johnson et al. [2021\)](#page-157-0). E-R, P-R, and HER-2 are the most commonly used IHC surrogate in BLBC. The majority of TNBC is ductal carcinoma that is not morphologically characterised. However, adenoid cystic carcinoma, secretory cancer, metaplastic cancer, and medullary thyroid cancer are also different forms of TNBC (Tang and Tse [2016\)](#page-158-0). Several ongoing studies have shown many TNBCs as in all endogenous subtypes. It suggests that it can be subdivided into subtypes. In addition, the basal cell-like group is the most unique of the four endogenous subtypes of BC. BC tumours are thought to be derived from common luminal progenitor cell lines.

6.2.4.2 Clinical Implications and Management

Basal cell-like TNBC has absence of IHC expression, a major biomarker suitable for selected therapies, Estrogen receptor, progesterone receptor and HER-2. As a result, treatment of basal cell-like TNBC has not been selected, leaving chemotherapy as the only dependent and neoadjuvant treatment option. TNBC shows a better relationship between post-NACT pCR and better DFS and OS, as well as HER-2 + cancer. All TNBC tumours larger than 5 mm and/or with LN metastasis should receive neoadjuvant chemotherapy. Patients with residual lesions after completion of NACT may benefit from adjuvant chemotherapy to improve DFS and OS. BLBC is highly sensitive to chemotherapy because of its absence of ER expression, soaring grade, soaring proliferation index. Most neoadjuvant studies show that BLBC has a higher pCR among others. In spite of the 80% pCR rate reported by BLBC, the diagnosis remains abysmal. In spite of the relatively high pCR, the relatively abysmal diagnosis is called the "triple negative paradox".

6.3 Other Subtypes Under Investigation

Since the publication of Peruvian paper in 2000, further studies have revealed greater molecular heterogeneity in BC. Several new subtypes have been suggested, including (i) Claudin-low, (ii) Molecular Apocrine, and (iii) luminal HER-2 subtypes (Tang and Tse [2016\)](#page-158-0). Expression of claudin 1, 3, 4, 7, 8 is low in claudin BC. These tumours are usually TNBC with a abysmal diagnosis. Molecular apocrine BC is defined by androgen receptor expression without ER expression and is often TNBC or HER-2. Ultimately, the "luminal HER-2" group is a new luminal-like subtype that exhibits E-R expression and is HER-2-positive by IHC in about 50% of patients. Compared to traditional intraluminal BC, these tumours are more malignant, have low P-R expression, relapse early, have more LN metastases, and are more responsive to endocrine therapy. In addition, it will be lower compared to ER, PR, and HER-2 + tumours.HER-2 intraluminal BC has a further aggressive clinical course identified by localised and early recurrence.

6.4 CDK Dysregulation in BC

The tumour microenvironment contains a number of elements that aid cancer growth and hinder anti-tumour responses (Mehraj et al. [2021\)](#page-158-0). It has been hypothesised that targeting these cancer-promoting elements in the tumour microenvironment could be a powerful immunotherapeutic method for cancer treatment. Cyclin-Dependent

Kinases (CDKs) have been proposed as a novel prospective target for cancer therapy among the different tumour supportive variables (Sofi et al. [2022](#page-158-0)). In conjunction with cyclins, these factors play an important role in cell cycle progression. CDK dysregulation has been linked to enhanced cell proliferation in diverse of malignancies, encompassing BC. As a result, the development and usage of CDK inhibitors in the treatment of BC has been linked to promising results. However, it is unknown which CDK inhibition method is the most successful for BC treatment (Deng et al. [2018](#page-157-0)). Because selective CDK1 blockade, either alone or in combination with other therapies, has been linked to effective anti-cancer effects, CDK1 may be the best CDK target for BC therapy.

Cyclins and CDKs play important roles in regulating cell cycle transition because they are required for cell cycle G1, S, G2, and M phase progression. To control the activity of cyclins and CDK inhibitors, CDK, a serine/threonine kinase, binds with both of these molecules. CDK activity is often dysregulated in cancer cells and is a promising target for cancer treatment. Human cells have 20 CDKs and 29 cyclins (Malumbres and Barbacid [2009\)](#page-157-0). Cell cycle transition and cell division are directly regulated by CDK1, CDK2, CDK3, CDK4, CDK6, and CDK7, while cell cyclerelated gene transcription is mediated by CDK 7–11 (Ding et al. [2020\)](#page-157-0). At different times during the cell cycle, several cyclin-dependent kinases (CDKs) have diverse functions. In a certain cell environment during G1 phase, CDK4 and CDK6 assemble into a complex with one of the three D-type cyclins (D1, D2, or D3) (Table 6.2).

6.5 Role of CDK4/6 in Cell Cycle Control

The G1, G2, S, and M stages of mammalian cell cycle are traditionally classified into four different stages. The interaction of different cyclins with their cognate CDKs tightly controls the ordered movement between these phases at checkpoints. CDK is a well-conserved family of threonine protein kinases/serine with at least 12 loci known to encode them. Various regulatory CDKs containing three interphase CDKs $(CDK₂, CDK₄, CDK₆)$, single-threaded split CDK $(CDK₁, formerly called as$ $CDC₂$), and CDK7, component of the CDK activation complex, and transcription CDKs are all members of this family (CDK8, CDK9). Cyclins are a large family of proteins that are divided into four types (A, B, D, and E types of cyclins) Unlike CDKs and serve as regulatory subunits for the CDK cyclin holoenzyme. In spite of the vast quantity of CDKs and cyclins, only some of them are significantly included in the development of BC. Suppression of cell cycle progression is usually

Fig. 6.4 The mammalian cell cycle includes passing through specific checkpoints in an organised manner for proper progression. By mitogen-induced CAK recruitment, the cyclin D1-CDk4 complex is brought into full holoenzyme activity. The PRB protein is phosphorylated by the cyclin D1-CDK4 complex, which causes cyclin E-Cdk2 to phosphorylate it later and release free E2F. Genes involved in the activation of S phase entrance are induced by the phosphorylation of pRB and the relaxation of downregulation by pRB

maintained by so-called pocket proteins and also the retinoblastoma gene product (pRb) that sequester the E2F family of transcription factors (Huun et al. [2017\)](#page-157-0). Rest cells, on the other hand, when they pass the cell cycle, produce cyclin D1 in retaliation to adhesion cues and particular mitogen. After the formation of the activation complex with CDK4/CDK6, the newly generated cyclin D1 initiates phosphorylation of pRb. Transcription inhibition of E2F by pRb is abolished by phosphorylation of the cyclin D1: CDK4/6 complex. Cyclin E, a protein that binds to CDK2 and phosphorylates pRb and other G1/S checkpoint mediators. This process establishes a practical feedback loop in which cells irreversibly pass through the G1-S transition (also known as the "restriction point") and carry on the cell cycle without the use of mitogen (Deng et al. [2018\)](#page-157-0). In the late S phase, cyclin A2 activates CDK2 and can switch from S phase to G2 phase. Finally, type A and type B cyclins stimulate CDK1 to accelerate the initiation and progression of mitotic processes (Fig. 6.4) (Goel et al. [2017](#page-157-0)).

Pan-CDK inhibitors were at first found to be ineffective in suppressing cancer cells and were related with serious side effects. Nonetheless, in later years, the success of tolerable, potent and selective CDK inhibitors has rekindled interest in this class of selected drugs. Long-term therapy with CDK4/6 inhibitors suppresses cell cycle genes and at the same time stimulates further genes responsible in various functions. In fact, inhibition of CDK4/6 is associated with stimulation of cell proliferation genes which are inhibited by endocrine therapy (Pernas et al. [2018\)](#page-158-0), Strengthen the discussion for merging the two medicines. Palbociclib (PD0332991), Ribociclib (LEE011), and abemaciclib (LY2835219) are triplet highly selective inhibitors of CDK4 and CDK6 that bind to ATP cleft with low toxicity.

Dual function of $P27^{Kip1}$. In the context of a building factor, the cyclin D1 gene product contacts the regulatory element partner (Cdk4). An enzyme called a Cdk activating kinase (CAK), which itself is made up of multiple subunits, phosphorylates the cyclin D1-Cdk4 holoenzyme. The tumour suppressor Prb can then be upregulated by activated cyclin D1-Cdk4. Additionally phosphorylating pRB is Cyclin E-Cdk2. There is debate about the contribution of the p21 CKI family, represented by p27kip1, to the regulation of the cyclin D1-Cdk complex's activity. In some cases, p27kip1 is believed to reside in the complex's activity (Kim et al. [2008\)](#page-157-0). In other situations, p27kip1 does not participate in the complex's assembly-factor activity. Cyclin D1 induction may facilitate S phase entrance via inoculating p27kip1 from an antagonistic complex with cyclin E-Cdk2 if p27kip1 inhibits cyclin E-Cdk2 but not cyclin D-Cdk4 (Wood et al. [2019\)](#page-158-0). These results imply that p27kip1 activity may be influenced by stoichiometry or cell type (Fig. [6.5](#page-154-0)).

6.5.1 Palbociclib

Palbociclib (PD0332991, IBRANCE®, Pfizer) is a potent orally vigorous CDK4/6 inhibitor that binds to ATP fissures. Palbociclib was sensitive to ER-positive BC cells in an in vitro study operating a panel of BC cell lines, significantly reduced cell cycle progression due to G1 arrest, and prevented pRb hyperphosphorylation. Overall, susceptibility to the effects of palbociclib on cell cycle and growth inhibition was associated with increased Rb and cyclin D1 and decreased p16 (Kwapisz [2017\)](#page-157-0). Non-luminal/basal BC cells showed the lowest activity, except for those with human epidermal growth factor receptor 2 (HER-2) amplification. In ER-positive and HER-2-amplified cell lines, palbociclib was synergistic with anti-estrogen tamoxifen and anti-HER-2 treatment trastuzumab, respectively. Palbociclib may also improve tamoxifen sensitivity in BC cell lines that have developed resistance to the drug (Malorni et al. [2018\)](#page-157-0) and induce cellular senescence in hormone therapyresistant cell lines. While most preclinical palbociclib studies focus on HR-positive BC, a few preclinical studies of HER-2-positive or triple-negative BC (TNBC) have shown promise. Palbociclib was more sensitive and additive in HER-2-positive BC models and primary human explants when used in combination with adtrastuzumab emtansine (TDM1). CDK4/6 and cyclin D complex formation is aided by external

Fig. 6.5 Role of different cyclin/CDK complexes in the progression of cell cycle

mitogenic signals. The release of the E2F transcription factor, the transition from G1 to S phase, and the hyperphosphorylation of RB1 are all made possible by the CDK4/6-cyclin D complex, which promotes cell development (Zhang et al. [2020\)](#page-158-0). Cell growth is inhibited by CDK4/6 inhibitors like palbociclib, Ribociclib, or abemaciclib because they prevent the phosphorylation of RB1 while it is still linked to the E2F transcription factor (Fig. 6.6).

6.5.2 Ribociclib

Ribociclib (LEE011; KISQALI; Novartis Pharmaceuticals Corp.) is an oral small molecule CDK4 and CDK6 inhibitor that completely dephosphorylates Rb, sequesters E2F transcription factors, and arrests Rb-positive cells' progression through the G1 cell cycle. In four ER-positive xenograft models, Ribociclib (Hortobagyi [2018](#page-157-0)) alone or in combination with letrozole or fulvestrant reduced tumour growth in vivo. Ribociclib and BYL719 (a PI3K inhibitor; alpericib) together improved tumour antigen presentation, cell cycle arrest, DNA damage, replication stress, and immunogenic cell death in the TNBC model. Ribociclib and BYL719 improved innate and adaptive immune system activation and cytotoxicity in immunocompetent mice while lowering the number of immunosuppressive monocytic bone marrow suppressor cells (MDSCs) in the tumour environment (Kwapisz [2017](#page-157-0)).

Fig. 6.6 Diagrammatic representation of the function of CDK4/6 inhibitors in cancer cells

6.5.3 Abemaciclib

Eli Lilly and Company's abemaciclib (LY2835219; VERZENIOTM) binds to ATP clefts and creates hydrogen bonds with the kinase's conserved catalytic residue (Lys43). It does not bind more selectively than Ribociclib or palbociclib, in this case. Abemaciclib prevents Rb from being phosphorylated along with CDK4 and CDK6, which causes Rb-competent cells to enter a G1 arrest and limit their ability to proliferate. In preclinical animals, Abemaciclib has a higher affinity for CDK4 than palbociclib or Ribociclib, which might account for the different toxicity profile. In vivo, ER-positive, HER-2-positive, and biomarker-selected TNBC xenografts demonstrated tumour growth suppression comparable to abemaciclib (Kwapisz [2017\)](#page-157-0). In HER-2-positive BC models, the cyclin-D1-CDK4 pathway fosters resistance to anti-HER-2 therapy, and by boosting resistant tumour cells' dependence on EGFR-kinases, it resensitise resistance to anti-HER-2 therapy (Ahmed et al. [2015\)](#page-157-0). Abemaciclib has been shown in preclinical research to pass the blood-brain barrier in a rodent model (Wander et al. [2022](#page-158-0)). In MDRMCFER-positive BC cells, it has been demonstrated that abemaciclib increases the intracellular accumulation of chemotherapeutic drugs as a result of decreased ABCB1/ABCG2 transport activity. It was discovered that abemaciclib capacity to reverse MDR is unrelated to CDK4/6 inhibition or Rb pathway phosphorylation blocking (Wander et al. [2022](#page-158-0)).

6.6 Summary

The discovery of four unique molecular subtypes in BC has brought about a new period in BC study and a pattern shift in treatment. Despite the fact that BC is still a horrifying diagnosis for all females, coordinated treatments help females survive longer with the disease, avoiding cytotoxicity and harsh treatments that often lead to comorbidities. In addition, each subtype has its own imaging characteristics, and importance of mammography (common method of breast imaging) in early observation stands important. Studies show that tumour size, endogenous subtypes and LN status are three key characteristics that forecast the result of early-stage BC. Recognition of the four unique molecular subtypes of BC (Luminal-A, Luminal-B, HER-2-rich, basal like) is beginning to elucidate BC heterogeneity and is more targeted to improve prognosis for all. Females diagnosed with BC will head to the progress of selected therapies. CDK4/6 inhibitors have emphatically changed the therapy climate for people suffering from HR-positive metastatic BC. Contrasted to endocrine therapy alone, all three Food and Drug Administration-approved drugs (palbociclib, Ribociclib, and abemaciclib) are related with further developed results and adequate harmfulness. If ongoing studies show clinical utility, CDK4/6 inhibitors may play a part in neoadjuvant or adjuvant situations in the near future. Unfortunately, resistance has evolved over time and new techniques are now being sought to hold up or control resistance. Studies are presently planned and of great importance to recognise victims/sufferers who can be successfully cured using endocrine therapy alone.

6.7 Further Readings

The authors can look for the following articles for further understanding of the given topic

- (i) <https://www.sciencedirect.com/science/article/pii/S1097276520307231>
- (ii) [https://breast-cancer-research.biomedcentral.com/articles/10.1186/s13058-01](https://breast-cancer-research.biomedcentral.com/articles/10.1186/s13058-015-0661-5) [5-0661-5](https://breast-cancer-research.biomedcentral.com/articles/10.1186/s13058-015-0661-5)
- (iii) <https://www.mdpi.com/2227-9059/10/2/366>

For more insights about the topic, we would suggest detailed findings from the books of (Mir MA, 2022) <https://doi.org/10.1016/C2021-0-02565-7>, [https://doi.org/](https://doi.org/10.1016/C2014-0-02898-5) [10.1016/C2014-0-02898-5](https://doi.org/10.1016/C2014-0-02898-5) (Mir MA, 2021) <https://doi.org/10.52305/WXJL6770>, from cancer.net website, [https://www.cancer.net/cancer-types/breast-cancer/types](https://www.cancer.net/cancer-types/breast-cancer/types-treatment)[treatment](https://www.cancer.net/cancer-types/breast-cancer/types-treatment)

For diagrammatic illustrations, descriptive tables, (Lazzeroni, 2012) [http://www.](http://www.eurekaselect.com/article/49928) [eurekaselect.com/article/49928.](http://www.eurekaselect.com/article/49928) The readers can also look for the following visual presentations for a better understanding of the topic:

- (i) https://youtu.be/R_Y_du4Z3Zo
- (ii) <https://youtu.be/qKUPoovs92I>
- (iii) <https://youtu.be/YA67P2k2d6A>
- (iv) <https://youtu.be/poQEkyVhGMo>

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Breast Tumor Microenvironment and CDKs 7

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7.1 Introduction

Around the globe, the most frequently investigated tumor in females is breast tumor, and is the main cause of death associated with females (Mir [2022a](#page-182-0); Benson and Jatoi [2012\)](#page-179-0). In 2012, 1.7 million fresh cases were estimated that led to 521,900 deaths. Additionally in 2018, greater than two million new BC cases were diagnosed resulting in 630,000 deaths (Bray et al. [2018](#page-179-0)). Predominantly, because of owning advancements in medication and detection processes, a remarkable decreased mortality rate has been observed, although it still accounts for 10–15% of all malignant deathliness in females notably because of advanced cancers and hindrance to comprehensive therapy (Benson and Jatoi [2012\)](#page-179-0). Consequently, researchers are looking for potential targets for treatment, for instance, the tumor microenvironment (Cha and Koo [2020;](#page-180-0) Mir MA, [2022](#page-182-0)). We know well that a tumor is the result of communication between natural immune cells and cancerous cells, resulting in a fully formed tumor from a single normal cell. Immune cells mainly present in the tumor microenvironment include DCs, Natural Killer cells, T cells, mast cells, and TAMs, all these immune cells play an vital role in cancer development (Mehraj et al. [2021a](#page-182-0)).

Stephen Paget pioneer cancer researcher put forward the "seed and soil" hypothesis in 1889, claiming that cancer cells act as "Seeds" that could cause cancer growth only when there is the existence of a conducive microenvironment (Paget [1889\)](#page-183-0). Despite the fact, that cancer detection and intrusion strategies have traditionally focused on cancer-cell-intrinsic factors. The latest findings focus more on immune cells like Macrophages, mast cells, neutrophils and endothelial cells, fibroblasts,

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Fig. 7.1 Overview mechanism of recruited macrophages inside breast tumor microenvironment

adipocytes, and perivascular cells existing in TME (Williams et al. [2016\)](#page-184-0). Macrophages, within the tumor, are termed tumor-associated macrophages, and represent the utmost prominent cells in the breast tumor microenvironment accounting for greater than 50% of the cell volume in maximum solid malignancy (Vitale et al. [2019;](#page-184-0) Mehraj et al. [2021b](#page-182-0)). Elie Metchnikoff in 1882, discovered macrophages as phagocytic immune cells while examining starfish embryos, and demonstrated that macrophages come up with the first line of defense, put a stop to diseases, upgrade wound healing and maintain tissue stability, distinguish between self and non-self antigens as well as solve inflammation processes. (Vitale et al. [2019](#page-184-0)).

A higher degree of cellular plasticity has been depicted by macrophages when triggered via an innumerable number of signals in TME, and respond hurriedly to take part in both inborn immune response as well as adaptive immune response. Signaling proteins like cytokines, chemokines, as well as other enzymes present in the TME, stimulate chemotaxis in responding to adjacent cells and TME greatly influences the functional properties of macrophages in the tumor microenvironment (Chanmee et al. [2014](#page-180-0)). TAM is built by resident macrophages and accumulation of disseminating leukocytes in breast tumors (Franklin et al. [2014](#page-180-0); Mir [2022](#page-182-0)). With the help of "M-CSF" or "CSF1" (monocyte-colony-stimulating factor) transform recruited monocytes into non-polarized macrophages (MO) (Fig. 7.1) (Martinez et al. [2006\)](#page-182-0). MO macrophages are extremely plastic, in response to external stimuli they can change their physical composition. Classification of macrophage density within a tumor can be categorized based on its functional scale (Mosser and Edwards [2008\)](#page-182-0). According to the function of macrophages, they are broadly classified into two phenotypes such as –the M1/M2 phenotype, the M1 phenotype has pro-inflammatory and antitumor capability and the M2 phenotype led to antiinflammatory as well as tumor-promoting function (Chanmee et al. [2014](#page-180-0)). These two macrophages are considered a functional spectrum in this classification (Mosser and Edwards [2008](#page-182-0)). Th-1 secrete chemokines like "interferon-γ (IFN-γ), either tumor necrosis factor (TNF)" for recruitment of macrophages like M1, and are also termed as classically activated macrophage, leading to the secretion of proinflammatory chemokines namely tumor necrosis factor (TNF) as well as IL-2 (interleukin), along with oxygen radical along with nitrogen intermediates (Biswas and Mantovani [2010\)](#page-179-0). Contrary to macrophages like M2, which are intensified by cytokines like interleukin-4, interleukin-10, as well as interleukin-13, expressed by T helper cell type 2 (Th2), exhibit pro-tumor properties, and M2-are, termed as alternatively activated macrophage (Martinez and Gordon [2014](#page-182-0)). Current studies revealed that M2-like macrophages show comparable functionality with TAMs and show response to cytokines like "interleukin- 4 $(II-4)$, interleukin -10 $(II-10)$, transforming growth factor-beta (TGF-β), and interleukin -13 (IL-13)" stimulating re-grow of tissues (Rhee [2016\)](#page-183-0). For recruiting macrophages cancer cells release cytokines in the TME and corresponding M2-like TAMs secrete pro-tumor chemokines to enhance tumor cell proliferation (Mantovani et al. [2002\)](#page-182-0). Similarly, Breast tumor growth and development are predisposed by cancer microenvironments including effects of stroma, macrophages, and CDKs. Deregulation of cyclin-dependent kinases (CDKs), which play a central role in regulation of cell cycle, contributes to the expansion of the disease, including breast cancer. Cyclins and cyclin-dependent kinases have been recognized as an important part of cancer cell division and spread.

7.2 The Tumor Microenvironment

The knowledge of TME in breast carcinoma is speedily advancing. Breast carcinoma expansion is not only determined by the particular kind of breast cancer or genetic episodes within cancer cells, but also by the constitution of the TME (Bussard et al. [2016\)](#page-180-0). Cancers are made of tumor parenchyma, matrix cells, and inflammatory mediators (Hanker et al. [2020](#page-181-0)). The matrix constitution of the initial stage cancer is measured to be the most significant agent showing breast tumor development. The microenvironment's complex and diverse interplay between carcinoma cells and stromal cells, such as tissue-resident and peripherally recruited immune cells, fibroblasts, and endothelial cells, among others, has a significant impact on BC development (Gao et al. [2019\)](#page-180-0).

7.2.1 TME Components

Breast cancer TME is divided into three categories: soluble, physical components, and cellular (Table [7.1](#page-162-0)) (Soysal et al. [2015](#page-183-0)). Regional (breast), and metastatic compartments, local (intra-tumoral) can be distinguished among the cellular components (Soysal et al. [2015\)](#page-183-0). The properties of cancerous cells and carcinoma-

Component	Local	Regional	Metastatic
Cellular components	Lymphocytes, Cancer cells, Th-cells, Cytotoxic T-cells, Regulatory T-cells, Macrophages, Neutrophils,	Adipocytes Myoepithelial cells, Endothelial cells.	Immune cells Lymphatics Blood Peripheral immune cells, Distant organs
	Dendrites.		
Soluble factors		Metalloproteinase Cytokines, Interferon- γ , Interleukins, Lysyl oxidase Matrix. TNF, MCSF, G-factors.	
Others		02 levels, PH	

Table 7.1 Tumor microenvironment Components with delineated functions

infiltrating inflammatory cells such as lymphocytes, plasma cells, dendrites, big phage cells, and neutrophils are referred to as the local compartment (Salgado et al. [2015\)](#page-183-0). The interaction between tumorous cells and adjacent cells in the stroma, particularly at the infiltrating edge, involves stromal fibroblasts, adipocytes, endothelial and vascular/lymphatic endothelial cells, and endothelial and vascular/lymphatic endothelial cells, is referred to as the regional compartment (Soysal et al. [2015\)](#page-183-0). Different soluble and physical factors also play a role in tumor development in the breast and at far-off locations; these include enzymes, cytokines, and growth factors.

7.2.2 Composition

The breast tumor- microenvironment may be studied at three distinct degrees: local (intra-tumor), regional (in the breast), as well as a distant (metastatic) levels, each and everyone, is bounded by several kinds of cells like leukocytes, adipocytes, fibroblasts, endothelial and basket cells, extracellular matrix (ECM)]. They also comprise dissolved factors for instance growth factors, enzymes, chemokines, hormones, as well as other physical observables such as pH, and oxygen percentage as well (Coleman et al. [2013;](#page-180-0) Mir [2021\)](#page-182-0).

7.2.3 Local Microenvironment

For the healthy development and proliferation of the breast glands, interferences between stromal and epithelial cells are necessary. Biological stroma keeps epithelial polarity and suppresses excessive cell proliferation and cancer (Folgueira et al. [2013\)](#page-180-0). By the way of illustration basket cells (myoepithelial cells) turned out to be natural inhibitors in the mammary tumor and act as doorkeepers of tumor development, because these cells form the "Basement membrane" and denote an obstruction in the vicinity of luminal epithelial cells (Hu and Polyak [2008\)](#page-181-0). A breast tumor xenograft study revealed a reduction of myo-epithelial cells and enhance the transformation of DCIS "Ductal carcinoma in situ" (DCIS) into "invasive carcinoma" (Hu and Polyak [2008\)](#page-181-0). Escape and the release study of the Ductal tumor in situ carcinoma -to-foreign tumor transformation turned out to be represented by these two hypotheses (Hu and Polyak [2008](#page-181-0)). "escape" hypothesis revealed, that alteration at the gene level enables cancer epithelial cells to escape the tissue adjoining to the vessels, whereas the "release" hypothesis revealed out, aberrant micro-environment causes BM destruction and metastasis of cancer epithelial- cells inside stroma. The essential circumstances of the in situ-to-invasive transformation in breast tumors, is most likely the combination of these two models, underlining the importance of alterations in epithelial and stromal compartments for influencing cancer development and metastasis. In breast tumor cells, healthy myoepithelial cells also show suppression in growth, escape, and angiogenesis as well (Barsky and Karlin [2005\)](#page-179-0). the associated tumor stroma, via paracrine signaling, stimulates myofibroblast as well as fibroblast to influence cancer progression and tumor spread by generating a receptive microenvironment. Even though tumor-associated stroma simulates a healing wound in many ways with fibroblast proliferation and remodeling of ECM but with no physiological controls (Dvorak [1986\)](#page-180-0). Correspondingly, several studies demonstrated that IL-6 (interleukin-6) an inflammatory cytokine improves tumor growth and spread, urge on breast tumor hematopoietic cells (Jiang and Shapiro [2014\)](#page-181-0). For instance, by recruiting "type 4 C-X-C chemokine receptor (CXCR4)" stem and precursor cells the chemotactic cytokine "C-X-C motif" ligand cxcl-twelve is physically grave to start tissue renewal and replacement (Cojoc et al. [2013\)](#page-180-0). Tumor-associated stromal cell migration and multiplication are stimulated by "CXCR4/CXCL12" signaling in the mammary TME and the release of "matrix metalloproteinases" (MMP) and subsequent tissue reshaping, furthermore multiple investigations have shown that -CXCL12 - has a straight consequence on cancer cell migration and cancer penetrate and also epithelial-mesenchymal transformation through CXCR4 production in tumor cells (Cojoc et al. [2013\)](#page-180-0). However, the high expression of CXCR4 in the breast carcinoma compartment is associated with lymphoma and reduced analytical diagnosis.

7.2.4 Metastatic Microenvironment

Tumor spread is a complicated mechanism, whereas breast cancer cells exudates via narrow –blood-vessels to settle down into the new microenvironment (Coleman et al. [2013](#page-180-0)). However, cancer cells admit into a "sedentary" state for a long duration of time or initiate design micro- metastasis. Emphasize that, from the early tumor, chemokines and cytokines are produced and recruit hematopoietic-mediated cells that are secreted into the blood circulation and afterward supposed to generate pre-metastasis niche even earlier cancer cell mobilization (Kakonen and Mundy [2003\)](#page-181-0). Intriguingly, it was illustrated that fibroblast and tumor cells have been seen to migrate to metastasis areas (Place et al. [2011](#page-183-0)). Bone metastasis is a potentially studied example and increases awareness about metastasis. Including osteoclasts, bone-developing cells, and blood stem cells "RANKL" production is increased when breast cancer cells release several kinds of growth factors and cytokines which also lead to the formation of osteoclast and enhance bone- reabsorption (Coleman et al. [2013](#page-180-0)), destruction of bones that secrete tumor-associating factors, leading to further bone damage, illustrate the self-sufficient process (Kakonen and Mundy [2003](#page-181-0)).

Currently, it has been demonstrated that RANKL is correlated with the development of pulmonary metastasis through "CD4+ regulatory T -cells" (T regs), promoting the contribution of well-defined lymphocytes, perhaps essential for boosting metastasis. Furthermore, mice models displayed a better opportunity in breast tumor for multi-directional metastatic cancer, spreading of tumor cells apart from early cancer to the bone besides from bone to distinctive parts and come again to the original site, indicating that the osseous microenvironment is considered a vital administrator in the cancer development and metastasis (Coleman et al. [2013](#page-180-0)).

7.3 Breast Cancer Cell-Stromal Interactions

7.3.1 Primary Site

Cells in stroma are in continual interaction with both breast cancer cells and their released components in the primary breast TME (Canavese et al. [2012](#page-180-0)). Alteration in expression of genes (such as reprogramming of basic functions and epithelialmesenchymal transition, EMT) occurs not just in breast cancer cells, but also in the adjacent cells of stroma as a result of these interactions. Alterations in the main breast TME enhance both BC cell survival and metastasis in the long run (Watanabe et al. [1995](#page-184-0)). Even though it is beyond the scope of this study to discuss the advances gained in our knowledge of how cancer cells and surrounding "normal" cells interact, tunneling nanotubes deserve special attention.

7.3.2 Tunneling Nanotubes

Tunneling nanotubes are cytoplasmic extensions that reach hundreds of micrometers in length and link animal cells (Ma et al. [2000\)](#page-182-0). The "horizontal movement" of cellular material, such as miRNAs, proteins, vesicles, autophagosomes, and even mitochondria, is enabled by these structures, which have been identified in a variety of cell systems both in vivo and in vitro (Okuda et al. [2000](#page-183-0)). One could extrapolate that these open connections between cancer cells and surrounding "normal" cells can muddle the identity of specific cells involved in the tumor network, making it difficult to mount a targeted immune response and, at the same time, increasing tumors' resistance to radiation and chemotherapy. Cancer cells were shown to actively communicate with several cell types inside the TME, including fibroblasts, endothelial cells, adipocytes, and immune cells, although the specific processes are unclear.

7.3.2.1 Immune Cells

For preserving homeostasis, tissue macrophage tissue performs the main function of destroying micro-organisms via a phagocytic process. Either from bone marrow macrophages are obtained and are termed recruited macrophages or macrophages are obtained from yolk-sac and are termed resident macrophages (Cha and Koo [2020\)](#page-180-0). Frequently, sub-population of macrophages are characterized as classically activated macrophages (M1) associated with pro-inflammatory or we can say anti-tumor properties, that help them to find and kill tumor cells via phagocytosis and cytotoxicity behavior (Prenen and Mazzone [2019](#page-183-0)). On the other side, tissue healing and development can be done by alternatively activated macrophages (M2) because they can maintain anti-inflammatory characteristics (Atri et al. [2018](#page-179-0)). In in-vitro conditions macrophage is polarized into M1-like macrophages with the help of "Tumor-necrosis -factor alpha," "interferon-gamma," and "lipopolysaccharide" maintains cancer growth, cancer spread as well as mediates Th1 response (Weagel et al. [2015](#page-184-0); Mehraj et al. [2021b\)](#page-182-0).Interleukin-4, interleukin-10, and interleukin-13 on the other hand produce M2-type macrophages (Atri et al. [2018\)](#page-179-0) and are important for inhibition of immune response and tissue remodeling, and proper angiogenesis development (Weagel et al. [2015\)](#page-184-0) as illustrated in Fig. [7.1](#page-160-0). In TME higher levels of M1 macrophages are correlated with diminished tumor violent behavior, as supported by several studies, instead of a higher number of M2 macrophages are associated with cancer development and weak outcome of tumor (Komohara et al. [2014\)](#page-181-0). Additionally, macrophage polarization is induced by hormones, and cytokines in TME, (Aschenbrenner and Schultze [2017\)](#page-179-0). Despite the fact several studies have displayed the cryptic result on the polarization of macrophages in TME, that display TAMs maybe act as both anti-tumoral and pro-tumoral, depending upon the macrophage phenotype (Cha and Koo [2020](#page-180-0)). But once TAMs gain M2 phenotype in TME after being linked with tumor cells, effector T cells as well as other cells, via the inhibition of adaptive immunity, tumor progression can be suppressed and induce angiogenesis and tumor repair (Mantovani et al. [2009](#page-182-0)). TAM phenotype particularity relies on tumor development, TAMs mostly contain the M2 phenotype which is pro-angiogenic (Qian and Pollard [2010](#page-183-0)). In the initial phase of the tumor, TAMs gap the "M1-phenotype" to induce anti-tumor activity and suppress the tumourigenesis., but in an advanced phase of malignancy, TAMs are redirected to the M2 type macrophages and promote tumor progression (Chen et al. [2021\)](#page-180-0). In the course of tumor progression, the higher concentration of interleukin-12 and lower concentration of interleukin-10, polarized M1 macrophages infiltrate the tumor and boost immune response, promoting tumor cell destruction. On the other hand, at the advanced period of cancer proliferation, TAMs are polarized into the M2 phenotype, stimulated by reduced interleukin-12 expression levels and higher interleukin-10 expression levels leading to a reduced tumoricidal effect (Cha and Koo [2020\)](#page-180-0).

Fig. 7.2 Tumor microenvironment progression

M2-type macrophages produce a tumor micro-environment that regulates the tumor progression, their life span, and angiogenesis (Williams et al. [2016](#page-184-0); Chanmee et al. [2014\)](#page-180-0). Further study manifested that tumor-associated macrophages in mammary tumors have more M2-type macrophages, it has been revealed from different studies that chemicals released from breast tumor cells can polarize macrophages into M2-like. Apart from the above-discussed cytokines, other signals like "hypoxiainducible-factor-1 (HIF-1), HIF-2," a "Nuclear-factor–kappa beta" (NF-kβ) also perform a significant part inside TME to re-polarize TAMs, by promoting HIF-1 and HIF-2 signals, TAMs favor to colonize themselves to less vascularized tumor cells and modify to the hypoxia microenvironment (Lewis and Pollard [2006](#page-181-0)). Once the hypoxia environment gets activity then it up-regulates the CXC chemokine receptor 4 (CXCR4) production and also increases the expression level of chemokine ligand 12 (CXCL12), which plays an essential part in metastasis (Müller et al. [2001\)](#page-182-0), along with activation of another factor such as Nuclear factor–kappa beta (NF-kβ) also involved in modulation of TAMs transcriptional activities. TAMs reduce IL-12 expression levels, and responsible for defective NF-kβ stimulation, and also increased the IL-10 expression level (Sica et al. [2000](#page-183-0)). Disability in the switchon of $NF-k\beta$, by the over-exposure of nuclear p50 $NF-k\beta$ homodimers, suppresses the transcription of the pro-inflammatory gene, generating factors such as "interleukin-1, interleukin-12, and Tumor necrosis factor-α as well as nitric oxide (NO)" Fig.[7.2](#page-166-0) (Sica et al. [2000\)](#page-183-0).

Tumor-associated macrophages make up a large inflammatory part in the invasion of breast cancer (O'Sullivan and Lewis [1994\)](#page-182-0). Chemokines and cytokines are tumor-associated growth factors, aid in the recruitment of monocytes as well as macrophages into tumor sites (Leek and Harris [2002\)](#page-181-0) "Chemokine (C-C motif) ligand-2 (CCL2)," called "monocyte-chemoattractant-protein 1 (MCP-1)," is secreted by the tumor as well as stromal cells (Ueno et al. [2000\)](#page-184-0), it is considered one of the best cytokine involved in migration of TAMs into cancer site thus it is related with poor diagnosis in breast-cancer victims (Tsuyada et al. [2012](#page-183-0)). It has been demonstrated that CCL2 upgrade pulmonary malignancy in animal breast cancer study, by recruiting CCR2 expressing –monocytes (Qian et al. [2011](#page-183-0)). After CCL2-CCR2 switch on, they enhance the CCL3 secretion from macrophages, promoting breast cancer metastasis (Kitamura et al. [2015\)](#page-181-0). Other prominent factors that attract TAMs to the breast tumor microenvironment are "CCL5" also termed "Regulated-upon-Activation" and RANTES secreted by normal T cells. Malignant epithelial cells also secrete CCL5 in breast cancer which is related to improved disease development.

CCL5 receptors are highly expressed by macrophages and infiltrate the TME in response to CCL5 secreted by tumor cells (An et al. [2019\)](#page-179-0). CCL5 can also change the properties of tumor-associated macrophages by boosting the phenotype of colon cancer (Halama et al. [2016](#page-181-0)).Tumor cells secrete an additional number of substances for recruitment of macrophages like "Colony-stimulating-factor 1" termed as "macrophage-colony-stimulating factor" and "Granulocyte-macrophage colonystimulating factor" and rest factors released by cells in breast cancer (Leek and Harris [2002](#page-181-0); Fu et al. [1992\)](#page-180-0). In breast cancer mouse models, macrophages express poor production of CSF-1 and enhance permeate of the Colony-stimulating factor -1 receptor (CSF-1R) (Lin et al. [2001\)](#page-181-0). Heparin-binding glycoprotein vascular endothelial growth factors are another cytokine linked with macrophage recruitment. This growth factor interplays an essential function in physiological and pathologic improvement of new blood vessels, and is considered a powerful mitogen, beyond that, it is also examined as the main component in angiogenic processes and plays a significant role in this, and higher expression of this cytokine is found to be in a variety of human malignancies (Table [7.1](#page-162-0)) (Ferrara and Davis-Smyth [1997\)](#page-180-0). After activating one kind of VEGF receptor (VEGF-R1) makes VEGF chemotactic for macrophages and monocytes invitro (flflt-1) (Mir [2021;](#page-182-0) Sawano et al. [2001\)](#page-183-0). Moreover, in the case of mice embryonic angiogenesis, macrophages lacking the VEGFreceptor expressed dramatically decreased migration concerning VEGF (Hiratsuka et al. [1998](#page-181-0)). In the current study, in breast cancer, we found a link between high VEGF expression and innumerable infiltrating macrophages (Leek et al. [2000](#page-181-0)) and evaluated increased VEGF production secreted by tumor cells recruit monocytes

within TME and more eminently direct the migration of TAMs inside tumors. For tumor angiogenesis, another tissue factor, that is vascular permeability factor (VPF), is responsible for attracting monocytes inside TME (Clauss et al. [1990](#page-180-0)). In agreement herewith, higher levels of VEGF factor inside cancerous cells are linked with scavenger cells permeate in breast cancer in humans (Leek et al. [2000](#page-181-0)). The establishment of the Hypoxia state in TME leads to one more well-defined part, switching on macrophage activation and assemblage as well as putting a stop to the movement of macrophages out of this zone (Leek et al. [2000](#page-181-0)). In a breast cancer mouse model, TAMs are attracted into the hypoxia region mediated by "hypoxiainduced Semaphorin 3A" through the phosphorylation of VEGF-receptor (VEGF-R1). In tumor angiogenesis both VEGF and hypoxia signaling play a significant job and are shown to be an important trademark of cancer, indicating linkages between tumor angiogenesis and TAMs (Hanahan and Weinberg [2000](#page-181-0)). By adding further complexity to TAMs, Three lineages of macrophages are present at least that emerge at distinct periods of growth and remain upto maturity (Guerriero [2018\)](#page-181-0). Every tissue of the body consists of 5–20% of resident macrophages originating from the yolk sac, formed during embryogenesis. In the course of homeostatic change, like tumor angiogenesis, several phenotypes of macrophage are recruited from bone marrow, spleen, and blood reservoir (Schulz et al. [2012](#page-183-0)) as well as from resident progenitors or by local recruitment. In different tissues, there is a distinct expression profile of macrophages at the transcriptional level and is demonstrated that malignant sites vary from the primary tumor region and thus may need to be diagnosed distinctly (Guerriero [2018](#page-181-0)). "Endothelial- monocyte -activating polypeptide II (EMAP II)" is another well-determined pro-inflammatory cytokine that recruits monocytes and macrophages (Kao et al. [1994](#page-181-0)). Knies et al. studies demonstrated, that "EMAP IImRNA" and its messenger protein, "Pro-EMAP II," are produced via various kinds of malignancy (Table [7.1](#page-162-0)), Although mature-cytokine is predominantly produced by dead tumor cells lysate invitro and also persist at the apoptosis site in the mouse embryo development (Knies et al. [2000\)](#page-181-0). This is due to the process of converting pro-form into a mature form of protein depending on cleavage with the help of protease enzyme and its release is upregulated during necrosis (Knies et al. [2000](#page-181-0)) after the secretion of mature EMAP II protein, aiding the movement of macrophages to apoptosis and necrosis sites where they are supposed to be capable of removing dead and necrotic debris.This is because tumor is associated with many necrosis sites, and there is an increased number of TAMs with increased necrosis areas (Leek et al. [1999](#page-181-0)), it is feasible because EMAP II protein plays a significant role in the recruitment of monocytes to some tumors. A wide variety of cell types secrete "endothelin's 1–3 (ET-1, -2, and -3)" which are small neuro-peptide and bioactive proteins and function like that of chemokines and show their effect by binding with two "7-trans-membrane-G protein-coupled receptors," "ET-RA and ET-RB," respectively. In vitro endothelin along with their receptors are greatly produced in various cell lines as well as in human tumors (Kusuhara et al. [1990](#page-181-0)) (Table [7.1\)](#page-162-0). ET-1 act as a chemoattractant for "Human-monocytes-binding to ET-RA receptor," although ET-2 act as a chemoattractant for macrophages binds to "ET-RB receptor," so these data imply that ET-1 may aid monocyte recruitment within a tumor, while ET-2 may aid monocyte location within tumor bulk. Notably, descriptive studies revealed their expression level, with an assemblage of macrophages in a wide array of human carcinoma, and provided most of the data related to the function of chemotaxis to recruit monocytes as well as macrophages inside TME. Studies using knock-out mice, and neutralizing antibodies to disrupt the function of these molecules are uncommon, and they are now needed to evaluate whether every molecule is required for recruitment of monocytes and in vivo TAMs localization. However monocytes are recruited by chemoattractant to the tumor site and are conceivable, that phagocytic cells are recruited also by cell detritus discharged by cell death of malignant cells, so it is shown in cell cultures that cell detritus cannot recruit phagocytes (Bessis and de Boisfleury-Chevance [1984](#page-179-0)), although up to now it has been investigated, soluble factors secreted from apoptosis of malignant cells or either through destruction of extracellular matrix go (ECM) through necrosis, and may recruit phagocytic cells for instance. Partially degraded collagen proteins aid to be a chemo-attractant for monocytes and macrophages (Mehraj et al. [2021b\)](#page-182-0).

7.4 T Regulatory Cell Infiltration in Tumor Microenvironment

Heavy T regulatory cell movement and presence in the tumor microenvironment have been observed and studied widely (Fig. 7.3) and it is largely associated with an unfavorable prognosis in several malignancy types(Fu et al. [2007](#page-180-0)).In colon cancer, T

Fig. 7.3 T regulatory cell movement and presence in the tumor microenvironment

regulatory cells may suppress tumor-favoring inflammatory signals that are evoked by the gut microbial pool. The occurrence of T regulatory cells may also have difficulties in estimating advanced responses to immunotherapy. Advanced pre-treatment levels of circulating T regulatory cells were linked with better survival in skin carcinoma patients treated with "ipilimumab"(Martens et al. [2016\)](#page-182-0). correspondingly, in non-small-cell lung carcinoma patients, an optimistic relationship has been seen between response to pd-one pdl- one blockade and occurrence of pdl-one+ T regulatory cells in the Tme (Wu et al. [2018](#page-184-0)). Several mechanisms could be used through the T regs to suppress anti-tumor immune responses. Molecules like the IL-2/Rα chain could divest local surroundings through an expression of high levels of Il-two and effector T cell role and contraction (Pandiyan et al. [2007](#page-183-0)). The change in IL-2 concentration by T regulatory cells affects natural killer cell (NK) function

and homeostatic state (Gasteiger et al. [2013](#page-180-0)). Antigen-presenting cells are also controlled by T regulatory cells, by specific extension of dendritic cells, and activation of T regulatory cell depletion (Kim et al. [2007\)](#page-181-0). This subduing is due to CT LA-4-dependent downward regulation of factor cd-eighty and cd-86 appearance by a course known as trans Endocytosis (Qureshi et al. [2011](#page-183-0)).

7.5 Cyclin-Dependent Kinases and Cell Cycle

Cell division, as well as cell enlargement, is controlled by a regular process of different phases called the cell cycle. There are main four steps/phases of the cell cycle including growth phase 1 (G1), DNA duplication phase (S phase), growth phase 2 (G2), and Mitotic phase (M phase). These four stages of the cell cycle are controlled by a series of protein complexes called CDK/cyclin complexes, these complexes aid the cell to proceed through all these phases normally. CDKs do not change all over the process, but there is a regulation in the Cyclin proteins that usually depends on the stage in the cell cycle present (Thu et al. [2018](#page-183-0)). Protein kinases are enzymes, possessing a highly conserved (Ding et al. [2020](#page-180-0)). The kinases are activated via the CDK/cyclin complexes and the four phases of the cell cycle control whole of the expression levels of such kinases. It has been proved experimentally via Crystallographic studies that the CDKs transit from inactive to active states and vice versa (Martínez-Alonso and Malumbres [2020](#page-182-0)). The interaction of cyclins causes a conformational change in the kinase's regulatory pocket, which is conformationally flexible. Cyclin-dependent kinases can respond in a variety of ways in presence of multiple developmental signaling molecules due to their flexibility. CDKs These kinases are serine/threonine mediators which phosphorylate a variety of proteins in reaction to both internal and external signals, controlling a variety of elements of cellular proliferation as well as reproduction. The coupling of a certain cyclin with a specific CDK reveals the overall selectivity of Kinases. CDK2, for example, could specifically bind both with cyclin A and E and behave differently depending on which Cyc it binds to. It thus shows that a specific CDK with a particular framework has a specific function within cell growth (Lu [2020\)](#page-182-0). Furthermore, the cyclin/CDK loop controls the overall stability, phosphorylation,

and stimulation of CDKs during various stages of the cell division cycle. The relevance of such a combination stems from the fact that it is required for the advancement of cell growth concerning phosphorylation of numerous target loci, such as the tumor repressor protein retinoblastoma protein. In reaction to mitogenic cues and checks, the cyclins/CDKs switch from suppression to stimulation (Lu [2020\)](#page-182-0). There are certain negative regulators of such complexes including the CKIs (Cyclin-dependent kinase inhibitors) such as INK4 proteins and CIP/KIP (CDK-interacting protein/kinase inhibitory proteins) (Fig. 7.4). APC/C (anaphasepromoting complex/ cyclosome) and SCF (Skp1–Cul1–F-box-protein) are two spindle protein molecules that regulate all the cell cycle phases and their transitions (Sivakumar and Gorbsky [2015](#page-183-0)). Dysfunction of any one of those pathways might cause cells to proliferate more quickly by disrupting the cell cycle, which is a characteristic of many malignancies, especially breast cancer.

7.6 Cell Cycle, CDKs, and Cancer

Tumors, especially breast cancer, are known for their cell growth dysregulation. Dysfunction of the cyclin/CDK complex disturbs cell cycle rhythm in a variety of cancers, resulting in tumor cells that continue to proliferate (Lee et al. [2019](#page-181-0)). The improper stimulation of CDKs, which is linked to cyclin gene amplification and upregulation, cell delocalization, or early cyclin production, plus suppression of INK4 or the CIP/KIP subfamily, results in cell cycle disruption, which causes tumors. Multiple investigations have found that cancerous cells mostly lack such cell cycle-regulating suppressive pathways. De Inactivation of tumor suppressor genes including Rb and TP53 (p53) or oncogene overexpression affects cyclin/ CDK overexpression, culminating in unmanageable cell division cycle differentiation and expansion (Lee et al. [2019](#page-181-0)). Many tumor suppressors, including the

Fig. 7.5 Role of Rb protein in the cell cycle: (a) In its functional state, p53 leads to cell cycle arrest in those cells which are having DNA break, (b) The phosphorylation of pRb protein by CDK4/6/ cyclin- D complex leads to the release of pRb protein from E2F and thereby leads to the transcription of central genes responsible for G1/S transition by E2F, (c) In tumor cells augment in CDK4/6/cyclin-D leads to Rb protein hyper-phosphorylation, thus leading to unregulated cell division

Retinoblastoma (Rb) gene, which functions as a transcriptional inhibitor of E2F when this is dephosphorylated, adversely control cellular proliferation. Cyclin D/CDK4/6 complex phosphorylates pRb protein, causing it to be released off E2F as well as the expression of numerous genes, notably Polymerases, cyclin E, and A, that are essential for E2F's G1/S transitions (Fig. 7.5) Via attaching to the E2F transcription factor and inhibiting the production of genes necessary for the G1 to S transition, the pRb protein remains active in its hypo-phosphorylated form or pauses cell in the quiescent G0 stage. The phosphorylated status of Rb protein is modulated when the CDK/cyclin pair is dysregulated, changing the Rb protein's function and causing unregulated cellular proliferation. Likewise, uncontrolled stimulation of the tumor suppressor gene p53 promotes tumorigenesis (Yue et al. [2017\)](#page-184-0). In the cells experiencing DNA damage, active p53 causes growth inhibition. p53 deactivation is common in a variety of tumors (Yue et al. [2017\)](#page-184-0). Knockdown of the tumor suppressors such as Retinoblastoma or overexpression of oncogenes affects cyclin/ CDK elevation, leading to unregulated cell cycle continuation and multiplication (Wenzel and Singh [2018](#page-184-0)).

7.7 CDKS in BC Progression

Among women, the most widespread malignancies in breast cancer cause deaths at a higher rate due to tumors all over the world (Harbeck [2020](#page-181-0)). Regulation and development of breast tumorigenicity have been imputed by some of the CDKs. Metastasis and burden of tumors have been reduced by inhibiting the action of overexpressed CDKs (Bashour et al. [2017](#page-179-0)). Among cdk/Cyclin complexes, cdk4/6/ Cyclin-D is the most significant and plays a vital role in the development and initiation of different cancers, BC is also included. It is revealed in various studies that during early and metastatic BC, cyclin D is overexpressed. Cyclin D1 and CDK4 both show different expressions like cyclin d-1 and cdk-4 show significantly elevated expression in lum-b and HER-2 BC, moderate expression in lum -A, and lowest expression in triple-negative breast cancer. Furthermore, cyclin D1/CDK4/6 contributes crucially to the phosphorylation of protein RB results in cell proliferation and also aids the progression (Lu [2020\)](#page-182-0) of breast cancer tumors (Harbeck [2020\)](#page-181-0). Moreover, numerous different CDKs were observed deregulated in most cancers, which includes BC.

For instance, upregulation of CDK2 in BC results in high expression of its cognates viz. cyclin- E and A (Santo et al. [2015](#page-183-0)). In the cell cycle performing as the core regulator from late G-1 up to the end of the S phase during the cell cycle is the essential role of CDK2. Cyclin partners, namely cyclins E-1or E-2 and A-2 activate it. Various regulatory functions like phosphorylating of smad-3, Rb protein, SMAD3, and some other proteins that order the synthesis of DNA have been displayed by CDK2 [45]. The studies have urged that a lot of cancers are amid over-expression of cdk-2 and are related to tumor cell production (Tadesse et al. [2020\)](#page-183-0). The study conducted by Xiangming He and colleagues disclosed that cdk-2 plays a significant role before Christ's beginning and progression. It had been analyzed that inhibiting cdk-2 effectively de-accelerates breast carcinoma cell proliferation (Table [7.2\)](#page-174-0).

7.8 CDKs in Breast Cancer Metastasis

Breast Carcinoma spreads effectively to BC patients with high mortality and survival rate. Breast tumor metastasis is the chief cause of death among breast cancer patients (Mehraj et al. [2021a\)](#page-182-0). Almost 20/30% of early-level breast tumor sufferers develop distant metastasis, and about 90% of breast cancer deaths occur because of the troubles of spreading breast tumor. For this reason, it turns essential to throw mild on the position of CDKs in breast tumor spread. Breast tumor often reaches to the organs like the brain, liver, lungs, and bones. The aberrant changes in cyclins and their CDKs result in non-stop mobile division and the migration of tumor cells to different organs (Qureshi et al. [2021](#page-183-0)). The BC cells may be slothful. But, a few cancer agents, consisting of cyclin D1 and CDK4/6. could cause a systemic response, resulting in the metastasis of BC cells. This depicts the twin feature of cyclins that could engage with the CDKs and create a more competitive nature for

	Cyclin/ CDK.		
CDKs	complex	Role in normal cells	Role in BC Cells
CDK- \mathbf{I}	$Cyclin -A/$ B	Aid in the M phase of the cell division	Plays role in apoptosis of MYC-driven TNBC
	Cyclin -A/ Е	Associated to the G1 & S phase of the cell cycle	Plays role in developing BC or TNBC phenotype
	Cyclin -D	Aid in G1 $\&$ S phase shift of the cell cycle	Helps in BC initiation and regulation of tumorigenesis
	Cyclin -D	Aid in the G1 $&$ S phase development of the cell cycle	Linked with the initiation of BC and control of tumorigenesis
	Cyclin-H	Linked with transcription of CAK and RNAP-II	Controls transcriptional addiction to a main cluster of genes in TNBC
	C yclin- C	Assist to kick off transcription	Responds to adjuvant therapy in BC; associated with tumor progression
	Cyclin T	RNAP-II transcription; controls the elongation of transcription	A prognostic biomarker in BC patients following NACT

Table 7.2 Role of some CDK/Cyclin complexes in normal cells and BC cells

Dufy et al. [\(2015](#page-180-0))

this ailment. The studies have discovered the role of CDK4/6 in Epithelial-Mesenchymal Transition (EMT), which is a function characteristic of cancer metastasis (Krajewski et al. [2020\)](#page-181-0). The studies became executed using Zhen and co-employees and found out the function of CDK4/6 in breast tumor metastasis. CDK5 has been studied for its function in tgf-β1 precipitated emt in BC progression. Further, Adrian and co-people additionally revealed the position of cyclin-F in EMT (Krajewski et al. [2020](#page-181-0)). As a consequence, CDKs show their significant function in BC metastasis, in that way speeding the hostility of this illness amongst breast tumor sufferers.

7.9 Relative Numbers of Macrophages in Breast Cancer Progression

Apart from different sub-types of breast carcinoma, the abundance of macrophages varies, but It also varies with the developmental stages of cancer. In preclinical studies, it has been demonstrated that macrophages display early breast tumor distribution and progression of HER2+ breast cancer in mouse models, at that place cancer cells and myeloid cells secrete CCL2 and recruit "CD206+/Tie2+" macrophages to proliferate the illness. On the other hand, identical consequences were observed in "MMTV-PyMT luminal B breast cancer" of murine models, by hampering CCL2 production to prevent the TAMs recruitment inside the breast tumor site. Cause a reduction in metastasis, and persistent mice survival (Qian et al. [2011\)](#page-183-0). Further preclinical models revealed that there is a remarkable link between "CSF-1" and metastatic breast tumors by utilizing the "mmtv-Pymt" ideal (Lin et al. [2001\)](#page-181-0). In "DCIS (ductal -carcinoma in situ)" and in IDS (invasive-ductalcarcinoma) number and progression of macrophages are considerably elevated than the usual breast tissue. In breast cancer, TAMs displayed distinct transcriptomic trademarks from the normal breast tissue (Cassetta et al. [2019](#page-180-0)). In addition, M2-like macrophages are notably defined by authors as CD68+ macrophages (Esserman et al. [2006](#page-180-0)) have been demonstrated higher grades in comparison to DCI. The current study proposed by Gil- Del Alcazar et al. revealed that in-filtration of Immune cells in the case of "HER2+" and "TNBC" helps in disease proliferation when correlated with DCIS and IDCs (Gil Del Alcazar et al. [2017](#page-181-0)). It has been shown that IDS contains an abundance of macrophages compared to DCIS. Moreover, a higher frequency of macrophages is present in DCIS when associated with a higher amount of CD8+ T cells. Th1 and Th2 are supplemented with HER2+ IDCS while Th17 and T regulatory cells were enriched in TNBC IDCs, as revealed from the gene expression profile. The transition from DCIS to IDCs in TNBC tumors was associated with a substantial quantity of TILs, then in HER2+ tumors, although fewer numbers were seen in the functional state, well-defined exhaustion of T cells was seen in advanced stages of TNBC, still, more inquiry is needed to understand how macrophages take part in tumor proliferation at various stages.

7.10 Location of Macrophages in Breast Tumors

The placement of macro-phages inside the TME, besides disease state and breast tumor subtype, may be a forecast of their properties and are linked with scientific consequences. Nevertheless, scientists have yet to agree on the degree to which region-specific-TAM behavior may be used predictive indicator. Normally, macrophages in tumor stroma are correlated to inhibition of immune response, angiogenesis, and migration of tumor cells. Macrophages in cancer nests, on the other hand, are more heterogeneous among cell kinds, as a consequence, they are linked with worse "overall survival" (OS) as well as "Recurrence-free survival" (RFS) in breast tumor patients (Yang et al. [2018](#page-184-0)). Merdeck et al., described that stromal-tumor-associated macrophages are significantly related with tumor development but not tumor nest TAMs (Medrek et al. [2012](#page-182-0)). In 60 patients with invasive BC. It has been currently revealed the presence of CD60 and CD163 in tumor nests and tumor stroma. In tumor stroma, the highest concentration of CD68⁺ TAMs was extremely correlated with giant tumor size and positive extra-nodal spread, moreover higher amount of "CD163+" TAMs in cancer stroma has been linked with good lympho-vascular invasion (LBV1) also termed vascular invasion(VI), extra-nodal extensive, and other molecular subtypes (Mwafy and El-Guindy [2020\)](#page-182-0). The process is exchangeable TME modulates the behavior of macrophages and macrophages, in turn, modulate the tumor location by secreting several signals in breast cancer subtypes for instance macrophages focus on invasive tumor front (ITF) in HER2+ and basal-like subtypes, and utilize "TGF-β signaling" in condensing the extracellular matrix (ECM), and participating in mammary cancer progression (Acerbi et al. [2015\)](#page-179-0). Furthermore, macrophages and TME both of them work altogether by stimulating each other's composition. Both macrophages and the degree of TME

infiltration lead to additional complexity by targeting anti-cancer therapy in breast cancer.

7.11 Breast Cancer Cell Metastasis

In patients with breast tumors, metastasis is the mainly frequent cause of death amongst them. TAMs play a major part in breast tumor assault and spread. For the therapeutic method, TAMs are considered viable for targeting strategy (Chen et al. [2019\)](#page-180-0). With the help of "chemokine (C-C motif) ligand -2. Chemokine(C-C) ligand-5 (CCL5), chemokine (C-C) ligand -18 (CCL18), along with CCL2," TAMs promotes breast cancer cell spread to bone and lung tissues, as part of its functional mechanism. Breast cancer cells secrete CCL2 and recruit chemokine "C-C -Receptor 2+ (CCR2+)" macro-phages to get together in lung and production of bone cells from osteoblast, thus take part in cancer cell colonization and building of metastatic niche, as a result inhibiting "CCL2-CCR2" could effectively prevent tumor metastasis. CCL5 released from breast tumor cells acts upon mononuclear macrophages' transition to TAMs whichever stimulates tumor spread as well as infiltration (An et al. [2019](#page-179-0)). Another factor significantly secreted by TAMs is CCL18, and its function is also linked with metastasis and reduced survival of patients. CCL18 is having a functional receptor that is "PYK2 N-terminal-domain-interacting receptor 1 (PITPNM3)," inhibiting cancer spread and invasive effect of CCL18. Between "malignant -phyllodes-tumors" (PT) in mammary and TAMs, Nie and colleagues discovered a feedback-loop of "CCL5-CCR5 and 'CCL18-PIPTNM3," which help preserve TAM phenotype and PT aggressiveness. For the further inhibition of tumor metastasis, they used a CCR5 inhibitor and CCL-18-monoclonal antibody and blocked the CCL-5-CCR-5 and CCL-18-PIPTNM-3 pathways. TAMs release both cellular cytokines and their surface receptor, both of which play a central part in exciting breast tumor metastasis. TAMs lead to the construction of epidermal growth factor (EGF) which activates its receptor that is epidermal growth factor receptors (EGFRs) in breast tumors, promoting metastasis and CSF-1 production. TAMs are recruited and activated by CSF-1 causing them to secrete more EGF, implying the presence of an "EGF/CSF-1" regulating a good link between TAMs and tumor cells. EGF causes breast cancer cells to infiltrate blood vessels, resulting in metastasis in blood vessels (Condeelis and Pollard [2006](#page-180-0)). TAMs secrete a cluster of "matrixmetalloproteinases" (MMPs)," like MMP-2, MMP-7, and MMP-9, which are linked with the destruction of matrix materials in the TME, stimulating the establishment of metastatic tumor microenvironment by facilitating tumor cell growth. Higher production of macrophages receptors also termed scavenger receptor increases tumor development and metastasis with collagenous structure (MARCO). MARCO is linked to epithelial-mesenchymal-transition (EMT), gene profiles that drive metastasis, and inhibiting MARCO production could effectively stop EMT (Georgoudaki et al. [2016\)](#page-180-0).

7.12 Breast Cancer Angiogenesis

Angiogenesis is the development of new connective tissue vessels to help cancer grow and develop. Tumor-associated macrophages play a significant role in angiogenesis by being tightly linked to the high-density vascular system that emerged in breast tumors. "Vascular-endothelial- growth factor (VEGF)" is a vital source for TAMs in TME of breast tumors. Angiogenesis in breast tumors is triggered by an interaction between "VEGF" with "vascular endothelial growth factor receptors" (VEGFRs). As a result blocking interaction between VEGF/ VEGFR can dramatically reduce angiogenesis and tumor spread (Song et al. [2018\)](#page-183-0). Macrophagecolony-stimulating factor also termed colony-stimulating factor-1, stimulates the recruitment of macrophages and distinguishes them into the M2 phenotype. "Colony-stimulating factor -1 receptor (CSF1-R)" suppressors during neoplasm formation in the breast glands can decrease TAMs, therefore reducing angiogenesis, metastasis, and reducing the risk of recurrence. In TME hypoxia is a trademark that stimulates angiogenesis and helps in macrophage recruitment (LaGory and Giaccia [2016](#page-181-0)). Upregulation of hypoxia-inducible factors (HIFs) in hypoxia conditions stimulates the transfer of macrophages into TAMs, which in turn act as transcriptional promotors of VEGF. VEGF promotes the hypoxia environment by stimulating angiogenesis which helps the tumor to receive oxygen and nutrients, for its development (Mir et al. [2022](#page-182-0); Mir and Mehraj [2019](#page-182-0)). The earliest evidence supported that HIF signaling is linked with angiogenesis, suppression in its signaling slows down angiogenesis and tumor development. Suppression of HIF-2 α signaling causes highly disordered blood vessels to develop and the hypoxia situation in the TME to worsen (LaGory and Giaccia [2016\)](#page-181-0). In addition, hypoxia TME up-grade the production of "Activating-transcription-factor 4 (ATF4)," one family member of "ATF/cAMP-response-element-binding protein (CREB)," linked with the macrophage recruitment and development of angiogenesis which promotes cancer development indirectly (Liu et al. [2015](#page-182-0)); therefore, angiogenesis is up-regulated by TAMs in tumor malignancy.

7.13 Conclusion

Breast malignancy (BC) is the most frequently detected cancer in women globally and is also the common reason for tumor-associated fatality in females. It has been broadly accepted that the Tumor surrounding (TME) has a major influence on the aggressive deportment of malignant solid tumors. In TME, macrophages and other cells are the most common inflammatory mediator, other mediators are also present such as "chemokines, growth factors, pro-and anti-inflammatory cytokines." Furthermore, it has been demonstrated that cancer is linked with dysregulation of the cyclins and CDKs. Uncontrolled regulation of CDKs leads to the development of tumor. Different types of inhibitors have been identified which can control unregulated CDKs and thus have the potential to retard the growth of cancer. Many different types of CDKs have been identified and approved by FDA. In addition

Cells like TAMs is the result of the tumor microenvironment's reprogramming macrophages like tumor-mediated "exosomes, cytokines, and other immune cells," resulting in distinct TAM cytokine production. So, the association between other cells with macrophages in TME can be changed and generate a new place to promote the tumor cell's life span and its progression. So, TAMs perform an essential part in breast tumor progression by enhancing TME angiogenesis and metastasis, initiating tumor cells' steaminess, and energy metabolism, and supporting immune response inhibition. In TME several factors regulate the macrophage activity and its polarization. So as a result, TAMs are a crucial player in cancer development and the aim of generating a viable treatment strategy.

7.14 Glossary and Abbreviations

7.14.1 Glossary

The tumor microenvironment: is the area that surrounds the tumor, several factors are present in this area like endothelial cells, immune cells, growth factors, cytokines, chemokines, TAM, fibroblast, etc.

Cytokines: are small glycoproteins that regulate the immune cells to do their function by secreting various chemicals, that help immune cells to move at the site of inflammation, trauma, infection, etc., and act as signaling molecules between cell-tocell transmission of immune response.

Vascular endothelial growth factor: secreted by macrophages and suppresses the activity of T cells by enhancing the recruitment of Treg, MDSCs and inhabits the lymphocyte activation and their differentiation, induces angiogenesis in cancer.

Colony-stimulating factor: in breast cancer, transfer of recruited monocytes into non-polarized macrophages (MO). MO-macro-phages are high-plastic, and alter their phenotype about outer-signals either 'M1-M2'. M1-phenotype has 'pro-inflammatory' and 'anti-tumor' capability. While M2 led to "anti-inflammatory as well as tumor-promoting" function.

Tumor angiogenesis: is the process of forming fresh blood vessels around cancer cells, for delivery of oxygen and other substance that nourish the tumor cells, crucial for tumor growth and development.

Programmed-Death Ligand-1: is an immune checkpoint, which generated a significant pathway for TAMs to promote the effector-T cell's tumor-killing function.

Interleukins are glycoproteins that are one of the groups of cytokines produced by leucocytes and promote immune response, also termed IL. It is categorized into four groups depending upon its structural features.

Tumor hypoxia: it is the condition, where the oxygen level is low, in the case of tumors oxygen quantity runs lower than control, hypoxia state turns malignant cell "metabolism" to undertake "aerobic glycolysis" from oxidative phosphorylation., ultimately accelerating growth.

Dendritic cells: are special kinds of cells that act as a connecting link between inborn and acquired immunity. The central function is to process antigenic molecules and present them on the cell surface of the T cells via MHC-II for additional immune action.

T-lymphocytes: T-lymphocytes are white blood cells that grow from stem cells in the bone marrow. They shield our body from pathogenic invasion and may help to fight cancer.

7.15 Further Readings

F For more incites about the topic we would suggest detailed findings from the books of (Mir MA, 2022) <https://doi.org/10.1016/C2021-0-02565-7>, [https://doi.org/10.101](https://doi.org/10.1016/C2022-0-00074-X) [6/C2022-0-00074-X](https://doi.org/10.1016/C2022-0-00074-X) (Mir MA, 2021) <https://doi.org/10.52305/WXJL6770> or diagrammatic illustrations, descriptive tables, (lazzeroni, 2012), [http://www.](http://www.eurekaselect.com/article/49928) [eurekaselect.com/article/49928https://www.researchgate.net/publication/34282950](https://www.researchgate.net/publication/342829505_Molecular_Complexity_of_Lymphovascular_Invasion_The_Role_of_Cell_Migration_in_Breast_Cancer_as_a_Prototype/figures?lo=1) 5 Molecular Complexity of Lymphovascular Invasion The Role of Cell [Migration_in_Breast_Cancer_as_a_Prototype/](https://www.researchgate.net/publication/342829505_Molecular_Complexity_of_Lymphovascular_Invasion_The_Role_of_Cell_Migration_in_Breast_Cancer_as_a_Prototype/figures?lo=1)figures?lo=1

See video links on Cancer, its various types, current new treatment possible options available, [https://www.sciencedirect.com/science/article/pii/S2059702](https://www.sciencedirect.com/science/article/pii/S205970292032278X) [92032278Xhttps://youtu.be/wIsdjfwPUxY,](https://youtu.be/wIsdjfwPUxY) <https://youtu.be/SVjJt984PlU>

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CDK Dysregulation in Breast Cancer:

A Bioinformatics Analysis

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8.1 Introduction

Breast cancer is one of the most aggressive and lethal types of transformation among women (Mehraj et al. [2021a](#page-202-0), [b;](#page-202-0) Mir [2021](#page-203-0)). However, the enormous improvements in screening techniques, early diagnosis, and treatment discoveries are to blame for the increasing survival rate (Mehraj et al. [2022a,](#page-202-0) [b](#page-202-0), [c](#page-203-0), [d,](#page-203-0) [e;](#page-203-0) Mir [2022\)](#page-203-0). In this crucial area of pharmaceutical business research over the past ten years, there have been numerous acquisitions (Qayoom et al. [2021](#page-203-0)). The increased understanding of breast cancer made it possible to develop more intelligent therapies that could effectively target the disease and react to its milieu. This was made possible by advancements in molecular biology and pharmacology. Depending upon the intrinsic gene expression profiling, there are five main subtypes of breast cancer (BC): Luminal A, the most common subtype, maybe PR positive, ER positive or negative, HER2 negative, and EGFR and CK5/6 negative; luminal B (ER- or PR-positive and HER2-positive); basal-like (ER-, PR-, and HER2-negative, cytokeratin 5/6-positive, and/or epidermal growth factor receptor (EGFR) (Johnson et al. [2021;](#page-202-0) Mehraj et al. [2022a](#page-202-0), [b](#page-202-0), [c](#page-203-0), [d](#page-203-0), [e\)](#page-203-0). These BC subtypes were classified according to distinct structural design, biological characteristics, prognosis, and clinical stages. The targeted medication has shown success in treating approximately 77% of BC patients who are receptor-positive (Hurvitz et al. [2013](#page-202-0); Mir et al. [2020;](#page-203-0) Ghafouri et al. [2022](#page-201-0)). Unfortunately, because of the lack of appropriate tailored treatment, 15–25% of TNBC patients have poor outcomes. For patients with TNBC and the majority of BC, surgery combined with chemotherapy and radiotherapy is frequently advised (Al-Mahmood et al. [2018;](#page-201-0) Mehraj et al. [2022a](#page-202-0), [b](#page-202-0), [c,](#page-203-0) [d](#page-203-0), [e\)](#page-203-0). To enhance prognosis, stop cancer from progressing,

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Fig. 8.1 Role of cyclin and cyclin dependent kinases in cell cycle

and create effective medicines, early diagnosis, accurate therapy, and prognosis are critically required. Currently, one exciting field of such research is the function of cell-cycle regulation (Sofi et al. [2022a](#page-203-0), [b](#page-203-0)).

The two most important and predominant physiological processes in tissue homeostasis are cell division and apoptosis. The cell-cycle process has four distinct ordered phases and is referred to as G1 phase, S phase, G2 phase, and M phase. Various cell cycle checkpoints are present that regulate transition from one phase to another to prevent chromosomal aberrations (Malumbres and Barbacid [2009;](#page-202-0) Mehraj et al. [2021a](#page-202-0), [b](#page-202-0)). Availability of growth factors and various intrinsic and extrinsic signals determine whether a cell will go for division or not. Otherwise, the cell will enter into a non-dividing phase in G1 called G0 phase. There is one checkpoint called restriction checkpoint in G1, passing of which guarantees cell division. Numerous cyclins and cyclin-dependent kinases (CDKs), a class of serine/ threonine kinases, control the cell cycle (Mehraj et al. [2022a](#page-202-0), [b,](#page-202-0) [c,](#page-203-0) [d,](#page-203-0) [e\)](#page-203-0). To stabilize, activate, and phosphorylate CDKs in the designated phases, they assemble complexes with cyclins (Brown et al. [2015\)](#page-201-0) (Fig. 8.1). By phosphorylating the target genes, such as the tumor suppressor protein retinoblastoma, the synthesis of cyclin/ CDKs regulates the course of the cell cycle (Rb) (Thu et al. [2018\)](#page-204-0). DNA damage usually leads to the inhibition of CDKs. CDK inhibitors (CKIs) are divided into two families namely INK4/6 family (including INK4a, INK4b, INK4c, INK4d) and CIP/KIP family (including p21, p27, and p57). These inhibitors control activation or inhibition of CDKs. The SCF, E3ubiquitin ligase and the anaphase-promoting complex/cyclosome (APC/C) control synthesis and degradation of multiple cyclins. Any type of genetic or epigenetic change in cell cycle regulatory proteins will lead to malignant transformations like breast cancer (Zhou et al. [2016\)](#page-204-0). This represents a frontier in medical sciences for developing artificial CDK inhibitors as antitumor treatments (Gupta et al. [2019](#page-201-0)).

Using TCGA BRCA datasets accessible on the UCSC XENA and Gepia2 Web servers, we investigated the expression of CDKs in clinical samples of BC patients in this chapter. Here, we report that BC has a considerable deregulation in CDK expression. Additionally, it was discovered that unregulated CDK expression in BC patients affected both OS and RFS. Studies on enrichment demonstrated the importance of CDKs in neoplastic processes and suggested that regulating CDKs in conjunction with traditional medications might be a promising option to treat BC patients.

8.2 Expression Profiles of CDKs in Molecular Subtypes of Breast Cancer

Using UALCAN to evaluate the highly deregulated CDK expression patterns across various BC subclasses, age groups, and ethnicities, it was discovered that TNBC patients express high levels of CDK1, followed by Her2 enriched and luminal subtypes. Additionally, CDK1 was discovered to be substantially upregulated in women between the ages of 20 and 40 and of African-American origin (Fig. 8.2). Asian women and breast cancers that were enriched in HER2 had significantly lower levels of CDK18. However, there were no appreciable differences in CDK11A expression patterns among different BC subclasses, age, groups, or nationalities.

Fig. 8.2 Expression profiles of CDKs on the basis of molecular subtypes of breast cancer, age, ethnicities, and races of patients

8.3 Expression Analysis of CDKs in Breast Cancer

CDKs are important regulatory enzymes that play their role in cell cycle along with their cyclin partners (Asghar et al. [2015\)](#page-201-0). The dysregulation of different CDKs is a hallmark of any cancer, including breast cancer. Most of the CDKs are highly overexpressed, while as some of them are downregulated in breast cancer (Ramachandiran et al. [2002\)](#page-203-0). For instance, CDK1 is highly upregulated in breast cancer, while as CDK11A and CDK18 are highly downregulated (Table 8.1). Using different online portals, the expression profiles of various CDKs reveal that CDKs are highly upregulated in breast cancer. Among the various CDKs, CDK1 is of utmost importance, as it is the universal master kinase, that is conserved from yeast to humans (Sofi et al. [2022a](#page-203-0), [b\)](#page-203-0). The expression profiles of various CDKs using UCSC XENA revealed the dysregulation of CDKs in breast cancer (Fig. [8.3](#page-189-0)) (Goldman et al. [2020\)](#page-201-0).

Further, the dysregulation is highest in case of CDK1, as is evident by the fold change of 2.84 and a p-value of $2.82E-184$ (Table 8.1).

8.4 CDK Expression and Various Clinicopathological **Parameters**

Age, ethnicity, tumor subtypes, and many other pathological characteristics are linked to CDK dysregulation. The relationship between common clinicalpathological traits in breast cancer patients and significantly disrupted CDKs especially CDK1, CDK x11A, and CDK18—was investigated using the

Fig. 8.3 Heat map of different deregulated CDKs in breast cancer

bc-GenEXMiner database (Jézéquel et al. [2012](#page-202-0)). Breast tumors (ER 235 and PR-negative) were shown to have considerably higher CDK1 expression than those with hormone receptors (p value-0.0001). On the other hand, breast tumors that were HER2-enriched had higher levels of CDK1 expression (p value-0.0001). It was found that greater CDK1 expression was associated with SBR3 in terms of SBR grade. Furthermore, patients with mutant p53 exhibited considerably higher amounts of CDK1 mRNA than those with wild-type p53. Patients with ER-negative breast cancer had significantly lower CDK11A expression than those with HER2-enriched tumors, who had much higher CDK11A expression.

However, there was little correlation with PR, SBR 246 grade, or p53 status and CDK11A expression. Contrarily, CDK18 was found to be markedly downregulated in breast cancer patients who expressed hormone receptors (ER, & PR), indicating a close association with hormone receptor negativity. In BC patients with HER2 amplification, CDK18 mRNA levels were found to be low, and they were found to be correlated with SBR3 grade. It was revealed that CDK18 expression and p53 status are closely related in BC patients with wild-type 53 (Fig. [8.4](#page-190-0)).

8.5 Protein-Protein Interaction of CDKs in Breast Cancer

The STRING v11 was used to construct related proteins using CDK-1, CDK11a, and CDK18 as input genes (Szklarczyk et al. [2015](#page-203-0)). The results found that CDKs interact with numerous other genes crucial to the development of breast cancer (Gupta et al.

Fig. 8.4 bc-GenEXMiner investigation of unregulated CDKs demonstrated a strong correlation with clinicopathological factors of BC

Fig. 8.5 Protein–Protein interaction of highly deregulated CDK1, CDK11A, and CDK18 with other proteins that are responsible for the progression of breast cancer

[2019;](#page-201-0) Ding et al. [2020;](#page-201-0) Sun et al. [2020\)](#page-203-0). The most significant proteins that play a substantial role in the carcinogenesis of breast cancer among these interacting proteins are CCNA2, CCNE1, and CCNA1 (Fig. 8.5).

8.6 Gene Ontology of CDKs

Using the FunRich program, a Gene Oncology (GO) enrichment study was carried out to determine the functional categories and distinctive biological characteristics of CDKs (Kuleshov et al. [2016\)](#page-202-0). Cellular component (CC), biological process (BP), molecular function (MF), and biological pathway (BP) analysis of GO terms were filtered (Fig. 8.6). At a p-value of 0.05 or below, the top 10 GO words of the related genes in BP, CC, MF, and BP were deemed significant (Figure 8.6a–d). The GO keywords cell communication, ontology; BP, kinase binding, ontology; MF, cyclindependent protein kinase holoenzyme complex, ontology; CC, and cell cycle, ontology; BP were all implicated in the functions of these genes. The biological pathway analysis revealed that the genes linked with CDKs, which are connected to the FOXM1 transcription factor network, the regulation of retinoblastoma protein, and the E2F transcription factor network, were highly enriched for the cell cycle (Figure 8.6d). As a result, it is evident from the gene ontology that CDKs control various vital cellular functions that are crucial to the development of breast cancer.

Fig. 8.6 Gene ontology and pathway analysis. $(a-c)$ GO analysis of the BP, CC, and MF and, (d) KEGG pathway analysis of CDK1, CDK11, and CDK18 genes in BC

8.7 Prognostic Significance of CDKs in Breast Cancer

Breast cancer patients who have dysregulated CDKs had lower relapse-free survival and overall survival rates. It is interesting to note that there is a theory that breast cancer patients' prognosis may be influenced by the precise activity of CDK1 and CDK2 (Sofi et al. [2022a](#page-203-0), [b](#page-203-0)). Treatment of breast cancer patients with various chemotherapeutics has demonstrated that particular CDK1 and CDK2 activity can be utilized to forecast how well these patients would respond to chemotherapy (Li et al. [2021](#page-202-0)). Similar to this, Kim and colleagues have demonstrated that patients who have high CDK1 and CDK2 specific activity had poor 5-year relapse-free survival. They also demonstrated how patients with breast cancer can be divided into low-risk and high-risk categories based on this score. As a result, it is hypothesized that assessing CDK1 and CDK2 specific activity may be useful for predicting the course of disease (Kim et al. [2012](#page-202-0)) . Furthermore, it has been proposed that the distinct CDK1 and CDK2 activities can predict breast cancer patients' paclitaxel sensitivity (Kim et al. [2012](#page-202-0)). An in vitro sensitivity to paclitaxel cannot perfectly predict the same response in an in vivo setting, according to research by Nakayama and colleagues. Paclitaxel was effective in treating cancer cells in vitro with high specific activity of CDK1, and cancer cells with high specific activity of CDK2 prior to therapy. As a result, it is possible to predict drug sensitivity in vivo by assessing CDK2-specific activity prior to therapy and CDK1 specific activity following treatment with paclitaxel (Nakayama et al. [2009](#page-203-0)). Breast cancer cells are also resistant to other anti-cancer medications, including the antiestrogen tamoxifen, which slows the proliferation of cancer cells by blocking CDK2,4,6. Inducing apoptosis in both resistant and sensitive cell lines by silencing CDK1 and CDK2 with siRNA molecules or purine-based inhibitors (NU2058 and NU6102) can also reduce the proliferation of tumor cells (Martin et al. [2005\)](#page-202-0). The Japanese cohort has also been used to show the predictive relevance of CDK1-CDK2-specific activity in hormone receptor-positive and node-negative breast cancer patients. The findings revealed that while tumor size, HER2, age, and histologic grade did not significantly correlate with tumor recurrence, specifically CDK1 and CDK2 activity did (Kim et al. [2008\)](#page-202-0). Five genes, including CDK1, CCNB2, ACACB, PPARG, and MAD2L1, have been demonstrated by Ding and colleagues to be involved in regulation and serve as potential diagnostic indicators for ductal carcinoma in situ (Ding et al. [2017\)](#page-201-0). It is interesting to note that the elimination of CDK1 is recommended as a valuable anticipatory biomarker to assess the effectiveness of anti-cancer therapy. Chemotherapy has been shown by Galindo-Moreno and colleagues to accelerate CDK1 degradation in MCF7 cancer cells through p62/ HDAC6-mediated autophagy (Galindo-Moreno et al. [2017](#page-201-0)).

8.8 Role of CDKs in Breast Cancer

Uncontrolled cell growth that results in tumor clonality, in which a single cell replicates improperly, is a major contributor to the development of both benign and malignant tumors (Thu et al. [2018](#page-204-0)). In the context of CDKs and breast cancer, both varieties of tumors can be considered. Breast cancer metastasis would indicate a malignant tumor, which is considerably harder to remove locally than a benign tumor (Redig and McAllister [2013;](#page-203-0) Mehraj et al. [2022a,](#page-202-0) [b](#page-202-0), [c](#page-203-0), [d,](#page-203-0) [e\)](#page-203-0). In order to restore control of the cell cycle, CDK inhibition has become a potential strategy. Cancer cells have been stopped in their tracks in the G1 phase of the cell cycle by inhibition of specific CDK pathways (Wenzel and Singh [2018\)](#page-204-0). The specificity of CDKs is one characteristic that makes this a workable option. Cyclins are particular to their linked CDKs, a complexity illustrated by the fact that various cell types can go through mitosis at various periods (Sofi et al. [2022a](#page-203-0), [b](#page-203-0)). Globally, breast cancer affects people, primarily but not exclusively women. Unfortunately, patients' resistance to targeted and non-targeted medicines frequently leads to the failure of existing treatments. In light of this, CDK research has continued, with a focus on CDK4/6 (Deng et al. [2018](#page-201-0)). Cyclin D1 overexpression is linked to this specific CDK. Research on CDKs, such as CDK4 and CDK6, is being done to find strategies to block their activity and prevent the formation of malignant tissue without harming healthy tissue (Zhang et al. [2020a](#page-204-0), [b](#page-204-0)). Additionally, this method of treating breast cancer offers a potential means of avoiding cytotoxic anticancer drugs or chemotherapy-induced alopecia (CIA), a side effect of chemotherapy. Inhibiting CDKs, specifically CDK2, lowers the likelihood that such drugs may divide the epithelium of hair follicles, which results in hair loss (Ding et al. [2020\)](#page-201-0). Treatments for breast cancer that involve CDK inhibition and an understanding of CDK function are safer and more efficient.

Due to their connections to breast cancer development, CDKs can also be employed to treat breast cancer by controlling proliferation with CDK inhibitors. For instance, based on the presence of hormone receptors in breast cancer cells that have undergone biopsy, breast cancer might be either hormone receptor positive or negative. The proliferation of cancer cells is fueled by hormone receptors like estrogen receptors; therefore, understanding this is crucial when treating breast cancer (Sofi et al. [2022a,](#page-203-0) [b](#page-203-0)).

Because they prevent the growth of these receptors, selective CDK4/6 inhibitors have been demonstrated to be effective in treating breast tumors that are estrogen receptor-positive (Kang et al. [2014](#page-202-0)). In order to actively contribute to DNA replication and, by extension, the advancement of a cell through the cell cycle, CDK4/6 interacts with cyclin D. Breast cancer could arise if cells divide uncontrolled in the absence of such regulation. In other situations, cyclin D1, an oncogene that encodes cyclin D1, exhibits aberrant behavior, which promotes its overexpression and controls the transition from G1 to S (Mohammadizadeh et al. [2013\)](#page-203-0). This would result in a lack of regulation in CDKs and an unregulated cell cycle because the inactivation of CDKs includes declining amounts of cyclin. Because it is overexpressed in a significant portion of cases, Cyclin D1 is intimately linked to breast cancer. The overexpression of the CCND1 gene is associated with the overexpression of the estrogen receptor (ER), both of which contribute significantly to the proliferation of cancer cells (Eeckhoute et al. [2006\)](#page-201-0). The tumor suppressor protein pRb may become phosphorylated as a result of cyclin D1 activating CDKs, which then frees transcription factors like E2F that promote DNA synthesis (He et al. [2014\)](#page-201-0). As a result of increased proliferation, breast cancer's pathophysiology is influenced. Cyclin D1 levels are influenced by CCND1 expression and can be utilized to provide patients with a more precise prognosis. Because they prevent the growth of these receptors, selective CDK4/6 inhibitors have been demonstrated to be effective in treating breast tumors that are estrogen receptor positive. In order to actively contribute to DNA replication and, by extension, the advancement of a cell through the cell cycle, CDK4/6 interact with cyclin D (Murphy and Dickler [2015\)](#page-203-0). Breast cancer could arise if cells divide uncontrolled in the absence of such regulation. In other situations, cyclin D1, an oncogene that encodes cyclin D1, exhibits aberrant behavior, which promotes its overexpression and controls the transition from G1 to S (Eeckhoute et al. [2006](#page-201-0)). This would result in a lack of regulation in CDKs and an unregulated cell cycle because the inactivation of CDKs includes declining amounts of cyclin. Because it is overexpressed in a significant portion of cases, Cyclin D1 is intimately linked to breast cancer. The overexpression of the CCND1 gene is associated with the overexpression of the estrogen receptor (ER), both of which contribute significantly to the proliferation of cancer cells (Eeckhoute et al. [2006](#page-201-0)). The tumor suppressor protein pRb may become phosphorylated as a result of cyclin D1 activating CDKs, which then frees transcription factors like E2F that promote DNA synthesis. As a result of increased proliferation, breast cancer's pathophysiology is influenced. According to a mouse study, cyclin D1-deficient animals did not grow mammary carcinomas even when the ErbB-2 oncogene was turned on, which would typically cause the growth of cancer cells. These results provide strong evidence that cyclin D1 plays a key role in breast cancer when combined with the frequent overexpression of cyclin D1 in human breast cancer. Furthermore, a study that was conducted from January 2016 to June 2017 and involved numerous breast tumor patients discovered that enhanced cyclin D1 levels were present in 60% of cases and that given its relationship to other common breast cancer markers, cyclin D1 is a good prognostic indicator for the disease. Cyclin D1 can be overexpressed as a result of CCND1, which can improve prognosis. Cyclin E is another cyclin that may contribute to the emergence of breast cancer. Despite the fact that it is less frequently overexpressed than cyclin D1, the breakdown of its pathways results in the buildup of other products that disrupt the cell cycle. While cyclin E, like cyclin D1, can phosphorylate rPb to promote proliferation, it can also cause a cell to enter the S phase without the aid of rPb or E2F (Ding et al. [2020](#page-201-0)). In contrast to cyclin E overexpression, which causes breast cancer with higher rates of proliferation and worse outcomes, cyclin D1 overexpression is more frequently found in breast cancer(Ding et al. [2020](#page-201-0)). In both instances, overexpression of the cyclin does not coincide with overexpression of the gene, suggesting that changes in the breakdown pathway are most likely the cause of the issue (Ding et al. [2020](#page-201-0)).

Treatment Advancements Given that CDKs are known to have a role in controlling checkpoints and cell division, CDK pathways have long been of interest in the treatment of breast cancer. The goal is to identify the source of the cell cycle anomaly and create a remedy that will enable cells to reestablish control over proliferation. In the past, using CDK inhibitors to create medicines showed great promise, but it was plainly not a simple fix. Novel research has indicated that there is more possibility to understand the association between CDKs and breast cancer as a result of the introduction of new medications and combination therapies. It has been discovered that CDK 4/6 and CDK 4/6 inhibitors in particular regulate pathways important for the growth of tumor cells in breast cancer. Cyclin E and the E2F proteins participate in a positive feedback loop that phosphorylates and then hyper phosphorylates RB in the cyclin D1-CDK4 pathways. The phosphorylation of RB can be stopped by CDK 4/6 inhibitors, which causes the cell to be arrested in the G1 phase (Pernas et al. [2018](#page-203-0)). In some situations, controlling the cyclin D-CDK 4/6 retinoblastoma pathway may be preferable. For instance, endogenous proteins in cells, including the INK4 proteins, can reduce CDK 4/6 activity by attaching to the enzyme's catalytic subunits. However, the gene that codes for p16, one of these proteins, is deleted in some cells. As a result, CDK 4/6 activity is elevated at a baseline level, which presumably makes these cells more vulnerable to treatment with CDK 4/6 inhibitor (Knudsen and Witkiewicz [2016](#page-202-0)). Since ER+/HER2-positive breast cancer is the most often diagnosed kind, numerous therapies have been created that particularly target pathways in this subtype (Knudsen and Witkiewicz [2016\)](#page-202-0). Treatments for this type of breast cancer are frequently less effective over time since it has a higher probability of recurrence. The purpose of CDK 4/6 inhibitors is to stimulate the RB tumor suppressor response; however, for unexplained reasons, they have also been proven to enhance breast cancer prognosis. Despite the fact that this might be connected to less well-studied breast cancer subgroups, these responses to CDK 4/6 inhibition aid in preventing recurrences in the future. Endocrine therapy and CDK 4/6 inhibition are complementary therapies that have been shown to reduce the development of tumor cells. Unexpectedly, the use of CDK 4/6 inhibitors led to the activation of genes that may have aided in continued cell development. Fortunately, it has been demonstrated that using endocrine medication blocks this reaction, reducing cycle D1 activity (Knudsen and Witkiewicz [2016\)](#page-202-0). This role of endocrine therapy coupled with CDK 4/6 inhibitors is very important since one round of tumor cell development would result in another round of growth. Palbociclib, Ribociclib, and abemaciclib are three CDK 4/6 inhibitors that have received FDA approval and are each intended to complement a different type of therapy (Asghar et al. [2015\)](#page-201-0). For the treatment of ER+/HER2 metastatic breast cancer, fulvestrant was specifically approved for use in conjunction with palbociclib. Because their mechanical pathways are redundant, metastatic tumors frequently respond rather effectively to combination therapy, as shown in Table [8.2.](#page-196-0)

A 2017 study that looked at all three inhibitors discovered that they were significant patient options because of their low toxicity but high efficacy and oral dosing. The effectiveness, safety, and pharmacology of palbociclib used in

Hallmarks	Implications
Redundancy of mechanistic pathways	Need for combination therapy
Variable dormancy	Clinical trials must address delayed relapses
Contribution of cancer initiating cell	Incorporation of therapies that target stem cells.

Table 8.2 Hallmarks of metastasis and their implications

Fig. 8.7 Palbociclib an FDA-approved CDK inhibitor inhibits specifically CDK4 and CDK6, thus leading to cell cycle arrest at G1 phase

conjunction with endocrine therapy were closely examined in 2018; the findings revealed that this combinatorial therapeutic approach increased standard of life and progression-free survival in patients with metastatic breast cancer (Yu et al. [2006\)](#page-204-0). Palbociclib was the only CDK4/6 inhibitor still in use in 2019 whose results from clinical studies enhanced overall survival (Fig. 8.7) (Ding et al. [2020\)](#page-201-0). Promising findings from phase II clinical trials provided researchers cause to trust in the efficacy of palbociclib when it entered phase III trials to be examined with adjuvant endocrine therapy. In Phase II, postmenopausal, treatment-nave women with ER+/ HER2-metastatic breast cancer either received just letrozole treatment or a letrozole and palbociclib combination treatment (Serra et al. [2019](#page-203-0)). Palbociclib has been shown to have clinical activity when used alone, and it also showed therapeutic activity when combined with the same endocrine medication administered to individuals sparingly before the condition progressed (Qayoom et al. [2020;](#page-203-0) Orbaugh et al. [2016](#page-203-0)).

Phase III testing of palbociclib included testing it not just with letrozole but also with fulvestrant. The data from these trials revealed that CDK 4/6 inhibitors especially palbociclib in combination with either letrozole or fulvestrant improved median progression-free survival (mPFS) by more than 10 months and showed signs of reversing endocrine resistance in patients (Malorni et al. [2018](#page-202-0)). According

to a 2019 study from the Siteman Cancer Center, resistance to CDK 4/6 inhibitors can happen via a variety of routes since ER+/HER2-breast cancer might be incalculable due to its molecular heterogeneity. As a result, various patients may require tailored treatment plans (Xi et al. [2019](#page-204-0)). For instance, patients who had previously been identified as being sensitive to endocrine therapy reacted to a palbociclib and fulvestrant combination treatment with a longer overall survival than the placebo and fulvestrant treatment (Turner et al. [2018](#page-204-0)). Fulvestrant has been demonstrated to nullify some of the negative side effects of selective estrogen receptor modulators like tamoxifen when used as adjuvant therapy (Johnston and Cheung [2010\)](#page-202-0). Ribociclib is a primary combination medication licenced for use in the treatment of ER+/HER2-breast cancer especially patients of bone-only diseases, visceral metastasis, de novo diseases, and prior therapy (Hortobagyi [2018](#page-202-0)). Ribociclib was examined in phase III trials and proved to be an effective treatment in these subgroups. Neutropenia, leukopenia, abnormal liver function tests, infections, and vomiting were the most frequently reported adverse events (AEs) in these clinical trials; however, when ribociclib and letrozole were tested, the safety profile of the combination treatment was consistent across all subgroups, and mPFS and media clinical benefit response (mCBR) were higher in the ribociclib group compared to the placebo group (Hortobagyi [2018](#page-202-0)). In comparison to endocrine therapy alone, ribociclib was found to notably improve OS in a study of 672 patients when given in conjunction with tamoxifen or goserelin (Im et al. [2019\)](#page-202-0). The estimated overall survival rate in the ribociclib group at 42 months was 70.2%, with a 95% confidence interval (CI) of 63.5 and 76.0. Estimated overall survival in the placebo group was 46.0%, with a 95% confidence interval (CI) of 32.0 to 58.9. Regarding the adverse effects, studies on the toxicity of both palbociclib and Ribociclib—even when used together to better understand their drug-drug interactions—have been conducted (Bellet et al. [2019](#page-201-0)). This is in part due to CDK4/6 inhibitors' capacity to be used over extended periods of time. This study examines how to control the adverse effects of each medication. Abemaciclib, a CDK4/6 inhibitor and the third and most recently created therapy, stops the advancement of the cell cycle by obstructing the phosphorylation of the retinoblastoma tumor suppressor protein (Palumbo et al. [2019\)](#page-203-0). Because it may be administered orally to patients constantly and as monotherapy, abemaciclib is seen to be a good alternative (Martin and Goldstein [2018\)](#page-202-0). Abemaciclib response rates in clinical trials ranged from 19.7% to 59.0% with significantly higher patient mPFS. Additionally, it showed palbociclib and ribociclib-like AEs (Horie et al. [1992\)](#page-201-0). As seen in Table 8.3, all three therapies have demonstrated neutropenia and leukopenia as AEs, although they differ in other ways. For example, fatigue restricted the dosages of abemaciclib rather than

neutropenia necessitating lower dosages. Abemaciclib has a bigger effect on the body than the other two therapies, maybe as a result of its higher CDK 4 specificity (Martin and Goldstein [2018](#page-202-0)). Abemaciclib treatment outcomes were observed in, a phase III clinical stage combining fulvestrant and abemaciclib. Out of the 669 recruited patients, 25.3% were found to be resistant to main endocrine therapy; the group receiving abemaciclib and fulvestrant had a clinical benefit rate of 72.2% and mPFS of 16.4 months compared to the placebo group's 56.1% and 9.3 months. Abemaciclib has also been investigated in relation to particular ER+/HER2-breast cancer characteristics, such as liver metastasis, CNS metastasis, and quicker tumor shrinkage. Additionally, this phase III setting viz.: MONARCH 2 demonstrated that those who received abemaciclib treatment postponed chemotherapy (Sledge et al. [2020\)](#page-203-0).

8.9 Combination Therapy of CDK Inhibitors and PD1-PDL1 Antibodies

Cancer immunotherapy has become a potent and successful method of cancer treatment thanks to decades of research. Dr. Honjo discovered PD1 (programmed death receptor 1) and showed that T cells express PD1 in 1992. Dr. Chen discovered PDL1 (B7-H1) in 1999 and showed that immune and tumor cells express PDL1 at high levels. T cell death is induced by the interaction between PDL1 and PD1, and lymphocyte activation is adversely regulated. Therefore, inhibiting PD1-PDL1 immunological checkpoints encourages T cell activation, which helps T cells have a lethal effect on tumor cells. Even while blocking PD1-PDL1 immune checkpoints has been clinically effective in treating a number of malignancies, the majority of cancer patients still did not benefit from immunotherapy. Additionally, drug resistance could develop while treating PD1-PDL1 with targeted therapy. As a result, numerous studies have been carried out to determine how combination therapy tactics can increase the responsiveness of cancer patients to immunotherapy. Some CDK inhibitors can improve the anti-tumor immune response, according to recent studies. Some CDK inhibitors have shown strong anti-tumor effectiveness in preclinical and clinical trials when combined with PD1-PDL1 immunotherapy.

8.9.1 Dinaciclib Enhances Anti-PD1 Mediated Tumor Suppression

As previously mentioned, dinaciclib, a powerful CDK inhibitor of CDK1, 2, 5, 9, and 12, can cause apoptosis in a variety of tumor cells. According to Hossain et al., combined therapy using Dinaciclib and an anti-PD1 antibody had significant antitumor activity. Combination therapy has the potential to increase anti-tumor immune response and promote tumor regression since it can activate DC and trigger T cell infiltration. Additionally, Dinaciclib can cause immunogenic cell death (ICD) in conjunction with anti-PD1 antibodies to transform tumor cells into endogenous vaccines (Hossain et al. [2018\)](#page-202-0). Together, these studies have opened up new

possibilities for addressing pan-CDK inhibitor toxicity and side effects, which expands the range of potential applications for these drugs.

8.9.2 CDK4/6 Inhibitors Augment the Anti-Tumor Efficacy of PD1-PDL1 Immune Checkpoint Blockade

Fundamental cell cycle regulators CDK4 and CDK6, which are necessary for the onset and development of different cancers, are CDK4 and CDK6 (Qayoom et al. [2022\)](#page-203-0). A number of solid cancers have shown notable activity against CDK4/6 pharmacological inhibitors. Goel et al. discovered that CDK4/6 inhibitors not only cause tumor cell cycle arrest but also foster anti-tumor immunity in rat tumor model research (Goel et al. [2017\)](#page-201-0). On the one hand, CDK4/6 inhibitors promote the expression of endogenous retroviral elements in tumor cells, stimulating the synthesis of type III interferons while also improving the presentation of tumor antigens. Conversely, CDK4/6 inhibitors significantly reduce the growth of regulatory T cells. Treatment with CDK4/6 inhibitors dramatically increases the clearance of tumor cells mediated by cytotoxic T cells based on these two functions. Theoretically, this study supported the use of CDK4/6 inhibitors and PD1-PDL1 antibodies in combination therapy.

Another CDK4/6 inhibitor that has received clinical approval for the treatment of HR+ breast cancer is abemaciclib. According to a recent study by Schaer et al., Abemaciclib therapy can boost the expression of antigen presentation genes in breast cancer cells and can stimulate human T cell activation (Schaer et al. [2018\)](#page-203-0). According to another research, abemaciclib monotherapy can boost T cell inflammation and slow the growth of tumors. Abemaciclib and anti-PDL1 antibody combination therapy can promote immune memory and tumor eradication. These findings indicated that Abemaciclib and an anti-PDL1 antibody could be used in combination therapy to successfully activate both innate and adaptive immune response. When used in combination, abemaciclib and an anti-PDL1 antibody have shown tremendous promise for use in clinical settings.

Zhang et al. looked into the regulating mechanisms of PDL1 expression and stability because the effectiveness of PDL1 antibody therapy depends on the protein abundance of PDL1 (Zhang et al. $2020a$, [b\)](#page-204-0). They discovered that CDK4 participates in the control of PDL1. Another study further demonstrated the extraordinary antitumor effectiveness of the combination therapy using CDK4/6 inhibitors and anti-PDL1 antibodies (Deng et al. [2018\)](#page-201-0). Together, our results support the therapeutic utility of combination therapies using CDK4/6 inhibitors and anti-PD1-PDL1 antibodies. Another combination therapy is now being tested (Dai et al. [2003](#page-201-0); Gao et al. [2004](#page-201-0); Lin et al. [2010](#page-202-0); Kruse et al. [2011](#page-202-0)), in addition to the combination of CDK4/6 inhibitors and PDL1 antibodies. Future cancer treatments are predicted to heavily rely on combination therapies.

8.9.3 Other Combination Therapies

Neoantigen load and tumor T cell infiltration and clonal growth are reported to be enhanced in CDK12 mutant patients (Wu et al. [2018\)](#page-204-0). Immune checkpoint treatment may be beneficial for a subclass of metastatic castration-resistant prostate cancer (mCRPC) defined by CDK12 inactivation (Antonarakis [2018](#page-201-0)). In fact, patients with metastatic prostate cancer who had CDK12 deficiency underwent a phase ll clinical trial (ClinicalTrials.gov Identifier: [NCT03570619](https://clinicaltrials.gov/ct2/show/NCT03570619)). Immune-checkpoint inhibitors, nivolumab, and ipilimumab were given to these individuals, followed by nivolumab monotherapy. SR-4835, a CDK12 and CDK13 selective inhibitor, was also found to trigger immunogenic cell death, which improved the anti-tumor effectiveness of PD1-PD-L1 immune checkpoint therapy in breast cancer, according to a recent study (Li et al. [2020](#page-202-0)). Additionally, the CDK7 and CDK9 inhibitors YKL-5-124 and MC18029 are being investigated in combination therapy with the previously mentioned PD1/PD-L1 (Zhang et al. [2018](#page-204-0); Zhang et al. [2020a](#page-204-0), [b](#page-204-0)). These results suggested that PD1-PDL1 immunotherapy in combination with CDK12 inhibitors will be a successful cancer treatment method.

8.10 Summary

Breast cancer is a type of cancer that involves the dysregulation of many genes. CDKs are the key players that are dysregulated in breast cancer patients. Breast cancer patients who have dysregulated CDKs had lower relapse-free survival and overall survival rates. The dysregulation of different CDKs in breast cancer has become a target for the treatment of breast cancer patients. The expression profiles of various CDKs using UCSC XENA revealed the dysregulation of CDKs in breast cancer. The breast tumors that are HER2-enriched have higher levels of CDK1 expression. Further, greater CDK1 expression is associated with SBR3 in terms of SBR grade. Also, CDKs interact with numerous other genes crucial to the development of breast cancer. Gene ontology also revealed that CDKs control various vital cellular functions that are crucial to the development of breast cancer. Due to their connections to breast cancer development, CDKs can also be employed to treat breast cancer by controlling proliferation with CDK inhibitors. Therefore, regulating CDKs in conjunction with traditional medications might be a promising option to treat BC patients.

8.11 Further Reading

The readers can further read about the role of CDKs in breast cancer by going through the following papers

- <https://doi.org/10.1080/13543784.2022.2097067>
- <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC8167670/>

For more incites about the topic we would suggest detailed findings from the books of (Mir [2022\)](#page-203-0) [https://doi.org/10.1016/C2021-0-02565-7,](https://doi.org/10.1016/C2021-0-02565-7) [https://doi.](https://doi.org/10.1016/C2022-0-00074-X) [org/10.1016/C2022-0-00074-X](https://doi.org/10.1016/C2022-0-00074-X) (Mir [2021\)](#page-203-0) [https://doi.org/10.52305/WXJL6770.](https://doi.org/10.52305/WXJL6770) Also, the readers can have a look upon the following visual presentations for the better conceptual understanding of CDKs and their role in breast cancer.

<https://youtu.be/0Sj3rbJPeXQ> <https://youtu.be/RXsWAvdWG0s> <https://youtu.be/YA67P2k2d6A>

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CDK1 Dysregulation in Breast Cancer

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9.1 Introduction

A family of protein kinases responsible for modulating the cell cycle are the CDKs (Poon [2016](#page-220-0)). CDKs require modulatory subunits to bind them, known as cyclins, to exert their effects. The latter are formed and destroyed at various cell cycle stages in a specific and timely manner, thus regulating the cell cycle properly. The relevance of complex Cdc2 (CDK1) has been discovered in the Schizosaccharomyces pombe (Sofi et al. [2022\)](#page-220-0). The participation of CDK1 in the homologous recombination DNA double-stranded break repair mechanism is also known. In yeast and human cells, the cyclin-dependent kinase activity is required for eliminating DNA doublestranded breaks to form single strands during homologous recombination by recruiting endonucleases Sae2 or CtlP, respectively (Ira et al. [2004](#page-219-0); Huertas and Jackson [2009\)](#page-219-0). CDK1 is the most important CDK for maintaining cell cycle control in mammalian cells (Santamaría et al. [2007\)](#page-220-0). During the G_1 , NHEJ is primarily operational, and during S and G_2 phase, HR is in action in yeast and Cdk1 appears to play a crucial part in choosing between the aforementioned methods utilized primarily to repair the ds-breaks (Huertas Sánchez et al. [2008\)](#page-219-0). The tumor suppressor BRCA2 is phosphorylated by CDK1 and CDK2 in humans to regulate its interaction with RAD51. During the S and G_2 phases, this connection promotes homologous recombination-dependent repair (Esashi et al. [2005](#page-218-0)). Deregulation of specific CDK-cyclin complexes is frequently observed as a result of tumor-associated mutations, resulting in either unscheduled cell cycle re-entry or persistent

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Fig. 9.1 The CDKs form specific pairs with their respective interacting partners during the various cell cycle phases, thus controlling its progression

proliferation. Furthermore, most human tumor cells have these two characteristics (Malumbres and Barbacid [2001\)](#page-219-0). The checkpoints monitor the normal course of the cell cycle and detect any problems during DNA synthesis and chromosomal segregation. Furthermore, these active checkpoints cause the cell cycle to be arrested by regulating CDK function. The goal of stopping the cell cycle is to give cells enough time to fix their faults so that they do not proceed to the daughter cells that will be generated. Endogenous genotoxic factors, such as chemicals, free radicals, ionizing radiation, and exogenous products, can cause extensive alterations in the DNA molecule, and DNA damage checkpoints assist to protect cells from such attacks. Furthermore, when these changes occur, they are detected by a signaling pathway, resulting in CDK hindrance and, finally, cell cycle block (Bartek et al. [2004\)](#page-218-0). If the repair process is inefficient due to massive DNA damage caused by checkpoint failure or poor repair machinery caused by genetic flaws in the same pathways, the cells may undergo programmed cell death (apoptosis) or enter senescence (Fig. 9.1).

On the other side, accumulating DNA mutations can lead to GIN (genomic instability), which can lead to cell transformation and thus cancer (Kastan and Bartek [2004\)](#page-219-0). Unscheduled proliferation, chromosomal instability (CIN), and genomic instability (GIN) are the three most common disorders in the cell cycle. They are caused by either direct or indirect poor control of CDKs (Malumbres and Barbacid

[2005\)](#page-219-0). The SAC (spindle assembly checkpoint) is in charge of chromosomal separation after DNA duplication. This signaling system regulates CDK1 activity and protects against chromosomal segregation faults (Kops et al. [2005](#page-219-0); Musacchio and Salmon [2007](#page-220-0); Pérez de Castro et al. [2007](#page-220-0)). A defective SAC (spindle assembly checkpoint) can also lead to unequal DNA inheritance. If CIN (numerical chromosomal abbreviations) continue to accumulate and are not addressed, it may lead to tumor growth. A-type cyclins are known to activate CDK1 near the end of interphase to aid mitotic commencement. When the nuclear envelope degrades, the A-type cyclins are destroyed, allowing CDK1-cyclin B complexes to form (Fig. 9.2), which drive the cells into mitosis (Malumbres and Barbacid [2005\)](#page-219-0).

Because of their critical function in the progression of the cell cycle, downregulation of CDKs may result in improper homeostasis in specific tissues. On the other hand, by initiating the untimely division in cells (progenitor or stem cells), the hyperactivated CDKs could also aid in the development of tumors (Malumbres and Barbacid [2009\)](#page-219-0). Breast cancer can result from many causes, including mutations in genes that are concerned with the repair of DNA, TSG (p53), and the proto-oncogenes like HER-2, c-myc, as well as cyclin D (Gerger et al. [2007\)](#page-218-0). When BRCA1 and BRCA2 genes are dysregulated, the chance of breast cancer increases. These are known to perform multiple functions, including obstructing the progression of the cell cycle at the S-phase by halting retinoblastoma and possibly CDK2 (Rahman and Stratton [1998](#page-220-0); Hashemi et al. [2019\)](#page-218-0). CDK1 is a member of the cell cycle-associated CDK family, which also includes CDK2, CDK4, and CDK6. These CDKs are known to have a direct role in the cell cycle progression and the various phases. Moreover, the cyclins show different concentrations during the different cell cycle phases (Fig. [9.3](#page-208-0)). The relative levels of CDKs are nearly untouched but their action can be modulated by changes in these cyclin concentrations.

The uncontrolled cellular growth represents one of the hallmarks of cancer. The cell cycle checkpoint disables and overrides multiple protections implicated in

Fig. 9.3 The relative levels of different cyclins during various cell cycle phases. These specific cyclin concentrations are significant for the successful cell cycle progression along with their association with particular CDKs

Cyclin B1 destruction

cyclin/CDKs dysregulation in the same way. In several solid tumors, including BC, uncontrolled cell proliferation is witnessed due to cell cycle dysregulation and genetic alterations in the proteins that are involved in the regulation of cell cycle (Hanahan and Weinberg [2011;](#page-218-0) Brigham et al. [2012](#page-218-0)). The CDK1, along with its interacting partners (A and B cyclins), controls progression at the $S-G_2$ and G_2 -M phases of the cell cycle.as shown in Fig. [9.1](#page-206-0). Through phosphorylation and dephosphorylation, the CDK1 aids in cell cycle regulation. For inducing apoptosis (programmed cell death), the activated CDK1 has an essential role (Malumbres and Barbacid [2009\)](#page-219-0). Together with A and B-type cyclins, CDK1 kinase modulates the centrosome cycle and the mitotic onset (Fig. 9.4) and represents one of the central modulators of mitosis.

After the successful chromosome condensation and their alignment at the metaphase plate, the CDK1 function is turned down to permit the separation of sister chromatid via separase or separin activation (Musacchio and Salmon [2007\)](#page-220-0). The decondensation of chromosomes, nuclear envelope reformation, and the process of cytokinesis all require this inactivation of CDK1 (Potapova et al. [2006\)](#page-220-0). The CDK1 related cyclins have already been shown to be unstable, and their control is carried out via ubiquitination (Gavet and Pines [2010a](#page-218-0), [b\)](#page-218-0). Thr14 and Tyr15 phosphorylation of CDK1 inhibits the CDK1/Cyclin B complex during the $G₂$ phase (Gould and Nurse [1989](#page-218-0)). Tyr15 phosphorylation prevents substrate phosphorylation by blocking CDK1's ATP-binding site (Li et al. [1995](#page-219-0)). Phosphorylation of Thr14 prevents ATP binding (Heald et al. [1993\)](#page-219-0).

9.2 Role of CDK1

The CDK1 (also called $CDC2 =$ cell division control protein 2) is a mitotic CDK. After duplicating the DNA, the chromosomal segregation is controlled by SAC (the spindle assembly checkpoint). This process regulates the activity of CDK1 and hinders any defects in the segregation of chromosomes (Kops et al. [2005](#page-219-0); Musacchio and Salmon [2007](#page-220-0); Pérez de Castro et al. [2007](#page-220-0)). On similar grounds, impaired SAC (spindle assembly checkpoint) could lead to an equal inheritance of DNA. If not repaired, it could aid in tumor progression due to the accumulation of CIN (numerical chromosomal abbreviations). The A-type cyclins are believed to activate CDK1 toward the interphase end to aid in the start of mitosis. After the degradation of nuclear envelope, the A-type cyclins are dissolved to aid in the CDK1-cyclin B complexes formation, which drives the cells through mitosis (Malumbres and Barbacid [2005\)](#page-219-0). CDK activity has also been recruited for associating the BRCA1 to the MRN [Mre11-Rad50-Nbs1] complex during homologous combination (Chen et al. [2008](#page-218-0)). The CDK1, 2, 4, and 6 participate in the regulation of cell cycle progression, while CDK7, 8 9 participate in transcription (Izadi et al. [2020](#page-219-0)). Despite containing the complete complement of interphase CDKs, mice embryos with CDK1 absent do not show division, demonstrating that these CDKs are unable to compensate for the lack of CDK1 (Santamaría et al. [2007\)](#page-220-0). Furthermore, using homologous recombination to replace Cdk1 with Cdk2 ends up causing early embryonic lethality (Satyanarayana et al. [2008\)](#page-220-0), demonstrating that CDK2 cannot replace the role of CDK1, even when the Cdk1 locus is used for its expression. Cyclin A2 knockout causes early embryonic lethality (Murphy et al. [1997\)](#page-219-0), implying that this cyclin's primary function is to trigger CDK1, the mitotic CDK. A transient delay in interphase was witnessed in human cell lines that lacked CDK1 although there was no hindrance to mitotic entry. Also the mitosis occurring afterward is characterized by several abnormalities (Lau et al. [2021\)](#page-219-0).

Some of the documented functions of CDK1 include participation in Cell division, Checkpoint activation, DNA repair, Apoptotic process, DNA replication, and G2/M transition as shown in Fig. [9.5](#page-210-0). In association with cyclin B, the resulting complex CDK1/Cyclin B aids in the progression of the cell cycle at the mitosis phase

Fig. 9.5 Some of the functions of CDK1: Cell division, Checkpoint activation, DNA repair, Apoptotic process, DNA replication, and G2/M transition

(Draetta and Beach [1988\)](#page-218-0) and the complex CDK2/Cyclin B modulates the G_1 to S transition (Endicott et al. [1999](#page-218-0)). As per a study's upshot, the CDK1 removal leads to inaccurate control at G_2/M . While as the CDK2 absence does not impact the progression of the cell cycle indicating that other CDKs can compensate for the CDK2 roles (Lau et al. [2021](#page-219-0)).

9.3 Dysregulation of CDK1 in BC

The uncontrolled cellular proliferation manifests as one of the cancer hallmarks. The same occurs through the cell cycle checkpoint disabling and overriding several safeguards involved with the cyclin/CDKs dysregulation or impairment. It has been seen that the CDK1 shows high expression in multiple cancers, like in the case of BC (Izadi et al. [2020](#page-219-0)). In the case of MYC-dependent BC patients, CDK1 inhibition is regarded as a potential therapeutic strategy (Izadi et al. [2020](#page-219-0)). Typically, the CDK1 and cyclin A/B aid the M phase of the cell cycle, but in BC cells, these participate in the programmed cell death of MYC-driven TNBC (Duffy et al. [2015\)](#page-218-0). It has been analyzed through heat map studies that CDK1 and CDK2, 4, 5, and 8 display elevated expression relative to CDK6 and 9 in the case of primary tumors of BC (Sofi et al. [2022](#page-220-0)).

Roles of CDK1 in mitotic progression have been observed along with the overexpression of Cyclin A2 and B1 (Aaltonen et al. [2009\)](#page-218-0). During the mitotic phase, CDK1/Cyclin B aids in the cell cycle progression (Draetta and Beach [1988\)](#page-218-0). Cyclin B1 has been associated with higher promoter activity of Cyclin B1 as well as the $G_1/S/G_2$ cell cycle phases in numerous BC cell lines. Furthermore, enhanced CDK1/Cyclin B1 complex activity has been seen in T-47D and BT-549 cells during the G1 phase (Barrett et al. [2002](#page-218-0)). docosahexaenoic (DHA) and eicosapentaenoic (EPA) are omega-3 fatty acids that biochemically display anti-cancer effects, and these effects have been examined in several studies. Moreover, both DHA and EPA partially hindered the MDA-MB-231 BC cell proliferation via the CDK1/Cyclin B1 complex obstruction. The duration of $G₂/M$ phases is increased after treating the MDA-MB-231 cells with EPA and DHA in the cell cycle. Downregulation of CDK1, Cyclin B1, and Cyclin A was also witnessed as a result of this, and Cyclin B1 phosphorylation was also suppressed and 25C phosphatase reduced, which is known to activate the CDK1 (Barascu et al. [2006\)](#page-218-0). Moreover, as per the study on patients (Chinese Han Women), it was observed that the genetic polymorphisms of genes that code for CDK1 and cyclin B1 could significantly impart the susceptibility to the BC progression and survival in these patients (Li et al. [2013\)](#page-219-0). This also indicated that for BC patients, CDK1 and CDK2 specific activity could be utilized as a prognosis factor. It was observed in a study that CDK1 and CDK2 specific activity could help predict the possible chemotherapy outcome in patients of this study (Kim et al. [2012](#page-219-0)). Poor five-year relapse-free survival has been observed in patients who exhibit heightened specific activity of CDK1 and CDK2, as shown by Kim and colleagues, and based on the same, the BC patients could be categorized as low and high-risk groups. Thus, a valuable way to predict the outcome of the disease is by monitoring the CDK1 and CDK2 specific activity (Kim et al. [2008](#page-219-0)). It has been seen that tumor cell growth can be suppressed by employing siRNA molecules or NU2058 and NU6102 (the purine-based inhibitors), both of which lead to CDK1 and CDK2 silencing. It has been observed that apoptosis is induced in both sensitive and resistant cell lines (Johnson et al. [2010\)](#page-219-0).

9.4 The CDK1 and Breast Cancer

Many in vitro and in vivo studies concerned with the function of CDK1 in BC have been carried out; some of the studies have been included in Table [9.1](#page-212-0) A heightened expression and activity was seen in $G_1/S/G_2$ phases of cell cycle for CDK1/Cyclin B1 in case of certain breast cancer cell lines through an in vitro investigation (Barrett

S. no.	Cell lines employed	Type of Study	Study upshots	References
$\mathbf{1}$.	MDA- $MB-231$	In vitro	Downregulation of CDK1/cyclin B1 by docosahexaenoic and eicosapentaenoic acids triggered programmed cell death in BC cells	Barascu et al. (2006)
2.	T47D $MCF-7$ MDA- MB-468 MDA- MB-231	In vivo	The cancer cell susceptibility to paclitaxel therapy can be anticipated by determining the CDK1 $&$ 2 specific activity	Nakayama et al. (2009)
3.	$MCF-7$ $SK-BR-3$ MDA- $MB-231$ HCC1937	In vitro	The susceptibility of cells to PARP inhibition was increased when CDK1 was downregulated	Xia et al. (2014)
$\overline{4}$.	MDA- $MB-231$ HCC1937 MCF- 10A HEK- 293Tc	In vivo & in vitro	Cancer cell proliferation was greatly reduced when CDK1 was silenced by siRNA. By decreasing CDK1, miR-424 reduces cancer cell growth and stops the cell cycle.	Li et al. (2013)
5.	MDA- $MB-231$	In vivo & In vitro	Through inhibition of CDK1, the treatment of ER-positive MDA-MB-231 BC cells with an $ER\beta$ agonist inhibited growth of cells. In TNBC cells, silencing CDK1 and CDK7 decreased proliferation in an ERβ-independent way.	Reese et al. (2017)

Table 9.1 Studies on CDK1 in BC

et al. [2002](#page-218-0)). In another study, it was concluded that evaluating the CDK1 and 2 specific activity could be regarded a significant prognostic value for determining the outcomes in BC subjects, and multiple drugs were used in this in vivo study (Kim et al. [2008](#page-219-0)). In another in vitro study, Flavopiridol, siRNA were used and the disruption of CDK1 and 2, which in certain BC cell lines resulted in the arrest of cell cycle and apoptosis (Johnson et al. [2010](#page-219-0)). A study observed that the particular activity of CDK1 and 2 could possibly predict reaction toward the treatment being employed as well as the chances of recurrence and for this in vivo trial, the medicines Paclitaxel, 5-fluorouracil, Epirubicin, and Cyclophosphamide were employed (Kim et al. [2012](#page-219-0)). As per another study, the in vivo polymorphisms of CDK1 and CCNB1 genes increase the predisposition to BC, disease advancement as well as rate of survival (Li et al. [2013\)](#page-219-0). The growth of TNBC displayed a suppression in SUM149, BT549 MCF-10A cell lines when CDK1 was downregulated by siRNA-laden nanoparticles (Liu et al. [2014](#page-219-0)). In an in vivo human investigation, increased CDK1 specific activity was linked to early and high recurrence rates (Kim et al. [2014\)](#page-219-0).

In a study using the medications Aminophenazone, Pomalidomide, and Rosoxacin in an in vitro investigation, CDK1 was found to be a diagnostic marker in ductal carcinoma in situ (Ding et al. [2017](#page-218-0)). The observed phenotype in mouse model with gene-targeted CDK alleles (lacking CDK3) was that in the initial cell divisions, embryonic lethality was witnessed because of deficiency of CDK1 with Cdk1mut/mut type of genotype (Santamaría et al. 2007) and this Cdk1^{mut} allele has been developed by using the insertion of gene trap vector and it represents loss-offunction strain. It has been seen in the study that when the roscovitine, a pan-CDK inhibitor, is administered sequentially preceding doxorubicin treatment is synthetically lethal in the triple-negative breast cancer cells. This inhibitor, when administered, halts the cell cycle in phase G_2/M , preparing them for DNA damage. It was observed that this combined treatment approach led to an enhancement in DNA double-stranded breaks and lowered the recruitment of proteins necessary for homologous recombination compared to the solo treatment by doxorubicin. It was also witnessed that by employing this combination therapy, there was a reduction in the tumor volume and an elevated survival was observed in comparison with the solo drug or related treatment in the case of xenograft studies (Jabbour-Leung et al. [2016\)](#page-219-0). It was observed in a study that in the lack of CDK2, the CDK1 acts as G1-S CDK and binds to Cyclin E and when CDK1 is absent, CDK2 binds Cyclin B leading to mitotic entry (Lau et al. [2021\)](#page-219-0) (Table 9.2). It was also seen that although performing all the mitotic roles of CDK1 by CDK2 was not enough in its normal concentrations, the CDK2 overexpression could overcome the mitotic abnormalities that occur due to lack of CDK1 (Lau et al. [2021\)](#page-219-0). The results of CDK1 dysregulation include a robust growth of the tumor, heightened cancer cell proliferation rates, and chromosomal mutability (Barascu et al. [2006\)](#page-218-0), as shown in Fig. 9.6.

	CDK.		
Cyclin-	interacting		
dependent	Partners		
kinases	(Cyclins)	Attributed role(s) in Breast cancer	Reference
Cyclin-	A/B	Partners with programmed cell	Chen et al. (2009) , Marais
dependent		death of MYC-driven triple-	et al. (2010), Horiuchi
kinase		negative breast cancer.	et al. (2012)

Table 9.2 The biological role of CDK1 in BC

It was revealed in a study that CDK2 generates less mitotic phosphorylation when compared to CDK1 leading to abnormal late mitotic events and a lack of both CDK1 and 2 results in total abolishment of mitotic entry. It was also elucidated in this study that in the absence of CDK1, the RPE1 human epithelial cell line is unable to undergo mitotic entry unlike cancer cell lines (Lau et al. [2021\)](#page-219-0).

9.5 Therapeutic Implications

The inhibitors directed against CDKs are categorized either as Non-selective or Selective, i.e., either pan-inhibitors or against one single cyclin-dependent kinase, solely based on meticulousness against the CDKs (Ding et al. [2020](#page-218-0)). Various drugs that are CDK inhibitors in action have entered breast cancer clinical trials and are known to target cell modulators in the cancerous cells, thus furnishing a therapeutic window (Ding et al. [2020\)](#page-218-0). Various pan-CDK inhibitors have been employed in trials to inhibit the activities of CDKS including CDK1. All pan-CDK inhibitors are non-specific in action and produce various undesirable toxicities too. Some of the inhibitors of CDK1 include the following:

- 1. Flavopiridol (a semi-synthetic flavone) (Kaur et al. [1992](#page-219-0); Sedlacek et al. [1996](#page-220-0)).
- 2. Roscovitine (a synthetic purine) (Lin et al. [2010\)](#page-219-0).
- 3. Dinaciclib (Paruch et al. [2010](#page-220-0)).

These are all pan-CDK inhibitors (Fig. 9.7).

Roscovitine, Ro-3306, and Dinaciclib are the inhibitors that target CDK1/2 (Lin et al. [2018\)](#page-219-0). Roscovitine inhibits the CDK1 and others (Table [9.3](#page-215-0)) by directly competing at the ATP-binding sites (Vassilev et al. [2006](#page-220-0)). Also, Ro-3306 blocks/ prevents the G_2 to M transition, leading to programmed cell death of tumor cells after CDK1/2 inhibitor exposure for a long time (Xia et al. [2014](#page-220-0); D'Andrea [2018\)](#page-218-0). One of the examples is Flavopiridol, a semi-synthetic flavonoid obtained from rohitukine

These inhibitors work against multiple CDKs including Cyclin-dependent kinase 1 (CDK1)

S. No.	Drug	Inhibitor of	Developed by
	Flavopiridol (alvocidib)	CDK1,2,4,6,7,9.	Sanofi-Aventis
	R-roscovitine (Seliciclib/Roscovitine)	CDK1,2,5,7,9.	Cyclacel
	Dinaciclib	CDK1.2.5.9.	Merck
	Roniciclib	CDK1,2,3,4,7,9.	Bayer

Table 9.3 Examples of pan-CDK inhibitors along with their targets

General working of pan-CDK Inhibitors (Especially inhibitors of CDK1)

Fig. 9.8 The pan-CDK inhibitors' working model in general: The drugs like dinaciclib and roscovitine lead to the inhibition of multiple CDKs including CDK1

(a chromosome alkaloid). It exerts its anti-cancer effects by inhibiting CDK1, 2, 4, 6, 7, and 9 (Sedlacek et al. [1996;](#page-220-0) Shapiro [2006](#page-220-0)) Flavopiridol also known as Alvocidib, a first-generation pan-CDK inhibitor, the primary pan-CDK inhibitor employed in clinical trials. The activities of CDK1, 2, 4, 6, and 7,9 are primarily halted by Flavopiridol (Asghar et al. [2015\)](#page-218-0). In the G_1 and G_2 phases, Flavopiridol leads to the arrest of the cell cycle and also induces cytotoxicity by blocking CDK7 and CDK9 and c-MYC transcription (Canavese et al. [2012\)](#page-218-0).

The targets of Seliciclib are CDK1, CDK2, CDK5, CDK7, and CDK9 (Whittaker et al. [2004\)](#page-220-0). This inhibitor surfaced as the initial orally available drug (from this class) to become part of the clinical trials due to its relative success in the pre-clinical stage, where its success leads to the onset of apoptosis in tumor cells (Shapiro [2006;](#page-220-0) Galons et al. [2010;](#page-218-0) Nanos-Webb et al. [2012\)](#page-220-0). Another example from the pan-CDK inhibitors is provided by Dinaciclib, which is known to inhibit CDK1, 2, 5, and 9 with excellent Rb phosphorylation inhibitory potency (Fig. 9.8), thus showing a better therapeutic index in comparison with the Flavopiridol.

It must be mentioned that the palbociclib and abemaciclib display very low potency against CDK1, 2, 7, and 9, and with fulvestrant these have been marked for the second-line therapy (Chen et al. [2019\)](#page-218-0). The drug that is orally administered
and displays high potency with bioavailability and inhibits CDK4/6 selectivity is Ribociclib. This drug does not display significant activity against CDK2 and CDK1 (Sobhani et al. [2019](#page-220-0)). The ER+ breast cancer cell proliferation and migration is known to be inhibited by PL (piperlongumine), a novel CDK inhibitor discovered by Jeong et al. The PL is a natural product, and it is obtained from pepper. It hinders the CDK1 and CDK4/6 expression levels and leads to obstruction of the cell cycle at the $G₂/M$ phase in order to stop tumorigenesis (Table [9.3](#page-215-0)) (Asghar et al. [2017](#page-218-0)).

9.6 Undesirable Effects of Pan-CDK Inhibitors

Several undesirable effects/toxicities have been witnessed due to the use of various pan-CDK inhibitors, including fatigue, myelosuppression, nausea, abnormalities in liver, vomiting, nerve dysfunction, GIT effects, and for these agents lack of predictive biomarkers for the BC patients. Thus, these collapsed before phase second trials. The undesirable effects are shown in Fig. 9.9 (Finn et al. [2016](#page-218-0)).

9.7 Summary

CDKs require modulatory subunits to bind them, known as cyclins, to exert their effects. The latter are formed and destroyed at various cell cycle stages in a specific and timely manner, thus regulating the cell cycle properly. The uncontrolled cellular proliferation manifests as one of the cancer hallmarks. The same occurs through the cell cycle checkpoint disabling and overriding several safeguards involved with the cyclin/CDKs dysregulation or impairment. In many solid cancers like BC,

Fig. 9.9 Some side effects observed due to consumption of pan-CDK inhibitors in BC patients

uncontrolled cell proliferation is witnessed as a result of cell cycle dysregulation and the genetic changes in the proteins involved in cell cycle regulation. CDKs require modulatory subunits to bind them, known as cyclins, to exert their effects. The latter are formed and destroyed at various cell cycle stages in a specific and timely manner, thus regulating the cell cycle properly. Unscheduled proliferation, chromosomal instability (CIN), and genomic instability (GIN) are the three most common disorders in the cell cycle caused by either direct or indirect poor control of CDKs. Because of their critical participation in cell cycle progression, downregulation of CDKs may result in improper homeostasis in specific tissues. On the other hand, by initiating the untimely division in cells (progenitor or stem cells), the hyperactivated CDKs could also aid in the tumor development. CDK1 is a member of the cell cycleassociated CDK family, which also includes CDK2, CDK4, and CDK6. These CDKs play a direct role in the progression of cell cycle. Multiple studies have demonstrated that the CDK1 expression levels and function are dysregulated indicating its potential role in BC progression. It has also been witnessed that by either blocking or silencing the CDK1 could suppress the BC growth, particularly when combined with other anti-cancer agents. The results of CDK1 dysregulation include a robust growth of the tumor, heightened cancer cell proliferation rates, and chromosomal mutability. Furthermore, many studies have been previously carried out to examine the possible roles of CDK1 in the case of BC like the heightened expression of CDK1/Cyclin B1 was witnessed in $G₁/S/G₂$ phases in breast cancer cell lines. The pan-CDK inhibitors employed for this treatment, however, come with multiple undesirable effects on BC patients. As such combination with other anticancer therapeutics for relatively superior outcomes could be a better option for BC patients.

9.8 Further Readings

The readers can have a look upon the following articles for the better understanding of the given topic:

- (i) <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3636749/>
- (ii) <https://ascopubs.org/doi/10.1200/JCO.2005.05.064>

The readers can also take a look upon the following visual presentations:

- (i) <https://youtu.be/foR2tZHj5Eo>
- (ii) <https://youtu.be/tBoG9d0tGCE>

For more insights about the topic, we would suggest detailed findings from the books of Mir MA (2022) [https://doi.org/10.1016/C2021-0-02565-7,](https://doi.org/10.1016/C2021-0-02565-7) [https://doi.org/](https://doi.org/10.1016/C2022-0-00074-X) [10.1016/C2022-0-00074-X](https://doi.org/10.1016/C2022-0-00074-X) Mir MA (2021) <https://doi.org/10.52305/WXJL6770>, from cancer.net website, [https://www.cancer.net/cancer-types/breast-cancer/types](https://www.cancer.net/cancer-types/breast-cancer/types-treatment)[treatment.](https://www.cancer.net/cancer-types/breast-cancer/types-treatment)

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10

Cdk4/Cdk6 Dysregulation in Estrogen-Positive Receptor Breast Cancers

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10.1 Introduction

The important physiological process for growth and development as well as maintenance of our body is cell division (Sofi et al. [2022a,](#page-241-0) [b\)](#page-241-0). There are various pathways that normally regulate this cell division via various mechanisms. During the developmental processes and throughout an individual's life, cell growth proceeds possibly at the proper location and time, and the cellular contents, along with each chromosome, must be perfectly copied (Susanti and Tjahjono [2021](#page-242-0); Mehraj et al. [2022a](#page-240-0), [b,](#page-240-0) [c](#page-240-0)). The whole cell cycle procedure is extremely preserved and strictly managed to regulate the normal cellular division as well as genome duplication. This cell division consists of 4 unique organized stages including (G0/G1), S (synthetic phase, i.e., DNA duplication), G2 (pre-mitotic phase), and M (mitotic phase) as well as various checkpoints that duly secure the normal replication process in the synthetic period of the cell division as well as precise chromosome aggregation into the new cells (Thu et al. [2018](#page-242-0); Mehraj et al. [2022a](#page-240-0), [b,](#page-240-0) [c\)](#page-240-0). In mammals, this cycle is governed by various types of protein regulators called as protein kinases that usually control the whole process of cell cycle. CDKs (cyclin-dependent kinases) control whole of the cell's commitment, DNA replication as well as the arrival of the mitosis (Malumbres and Barbacid [2007](#page-240-0); Mehraj et al. [2021\)](#page-240-0). The interaction of regulatory elements termed cyclins is required for CDK functioning. Cyclins are generated and eliminated at precise moments during the cell cycle, allowing for appropriate regulation of kinase activity. CDKs and cyclins are encoded by numerous loci in human cells 13th & 25th loci, respectively (Malumbres and Barbacid [2005\)](#page-240-0). Although there are few subtypes of the CDK–cyclin complexes that are

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S. no.	Cell cycle stage	Cdk/Cyc complex	Function
01.	G1 phase	Cdk4/6/ Cyc D	Regulates cellular proliferation The main target of this complex is Rb. Rb usually inhibits the cell cycle progression. This Rb is phosphorylated by this complex and inactivates it, thereby helps in cell cycle continuation.
02.	G1/S phase	Cdk2/Cyc E	It helps in G1/S phase transition It also phosphorylates RB and thus promotes G1 progression of the cell cycles and also determines the initiation of the DNA replication It assists in the expression of Cyc A, thus permitting the progression toward the S phase of the cell cycles
03.	S phase	Cdk2/Cyc A	It initiates the DNA replication It also prevents the formation of new replication complexes, ensuring that DNA is copied only once per cell cycle. This occurs via phosphorylation of the CDC6, one of the replication machinery components
04.	G2/M phase	Cdk1/ CycA	Regulates the M-phase of the cycle and also activates and stabilizes the Cdk1/Cyc B complex
05.	M-phase	Cdk1/Cyc B	Also called as maturation promoting factor (MPF) and acts as a key controller of M-phase transition and also phosphorylates and activates various downstream protein kinases.

Table 10.1 Representing some of the basic Cdk complexes and their role in the cell cycle

engaged in the cell cycle control as well as progression, only a fraction of CDK– cyclin complexes, however, is directly engaged in cell cycle control. Three interphase cyclin-dependent kinases (CDK 2, 4, and 6), one mitotic kinase (cyclindependent kinase-1, also known as cell division control protein 2 (CDC2)), plus 10 cyclins from 4 categories are included (the A-, B-, D-, and E-type cyclins). Cancer abnormalities typically de-regulate particular CDK–cyclin interactions, culminating either in unscheduled re-entrance within the cell cycle or prolonged growth, both of which are characteristics of most human cancer cells (Malumbres and Barbacid [2001](#page-240-0); Sofi et al. [2022a](#page-241-0), [b\)](#page-241-0) (Table 10.1).

Checkpoints that detect probable abnormalities during DNA synthesis and chromosomal segregation monitor proper cell cycle progression. Despite regulation of CDK activity, activation of these checkpoints leads toward the cell cycle arrest. Cell cycle arrest permits cell to correct such errors effectively, prohibiting them from being passed onto the new cells. The DNA damage checkpoint preserves the cells from extrinsic and intrinsic genotoxic agents (such as chemical toxins, free radicals, ionizing radiation, subcellular metabolic by-products, or medicinal therapies) that cause a variety of DNA mutations. Such changes are detected by a signal transduction pathway, which results in CDK blockage and proper arrest of the cell cycle (Bartek et al. [2004](#page-237-0)). The transition from G1 to S phase depends wholly and solely on the restriction point located between G1 and S phase of the cell cycle. This point

decides whether a cell should enter the synthetic stage of the cell cycle or the cell should quit the cell division to stop at the G0 stage, making the G1 and G2 stages crucial control checkpoints. Several cyclins and CDKs, which are serine/threonine kinases, govern the cell cycle. In particular phases, CDKs form complexes with cyclins to stabilize, turn on, and phosphorylate CDKs (Malumbres [2014;](#page-240-0) Niu et al. [2019\)](#page-241-0). Further, CDKs and the main role played by these key players in the cell cycle will be discussed in the next sections of this chapter.

10.2 Regulation of Cell Cycle by CDKs

CDKs regulate cell division in relation to extracellular and intracellular signals by acting as catalytic components in a heterodimeric complex with cyclins, which act as regulating elements. There are usually 20 CDKs and 29 cyclins in human cells (Canavese et al. [2012;](#page-238-0) Cao et al. [2014\)](#page-238-0) (Fig. 10.1). The revelation of the cdc28 and cdc2 (human homologs of CDK1) in budding and fission yeast, respectively, revealed the role of CDKs in the cell growth (Hartwell [1974;](#page-239-0) Nurse [2002](#page-241-0)). There are various CDKs that promptly control all the four phases of the cellular division and play a crucial function in the cell cycle transition from one phase to another including CDK 1, 2, 3, 4, 5, 6 as well as 7. But the overall CDK activity varies in a circular pattern during the cell cycle (Cicenas and Valius [2011\)](#page-238-0).

Fig. 10.1 A schematic illustration of the important CDK/Cyc complexes that are involved during the whole process of the cell cycle and they are involved in the cell cycle control as well as progression. Retinoblastoma (Rb) protein families represent the main targets. Their phosphorylation inhibits the binding as well as deactivation of the E2F TFs, their activation allows the transcription and translation of various genes that are necessary for synthetic phase of the cell cycle

Human cells must stimulate CDKs, which necessitates the attachment of the CDKs to a cyclin subunit to become catalytically operative, in order to begin DNA synthesis (S phase) (Massagué [2004\)](#page-240-0). There are 3 main interphase CDKs (CDK6, CDK4, and CDK2), a mitotic CDK (CDK1, commonly called as cell division control protein 2 (CDC2)), and eleven cyclins from 4 groups make up this group (the B-, D-, E-, and A-type cyclins) (Table [10.2](#page-225-0)). Cancer mutation typically deregulates particular CDK–cyclin complexes, leading to the uncontrolled reentry into the cell growth or prolonged growth, both of which are characteristics of most human breast cancer cells (Malumbres and Barbacid [2001](#page-240-0)). Cdks are kinase proteins that are made up of an enzymatic component commonly called as Cdk and a regulatory subunit known as Cyclin. Even though human DNA consists of 12 Cdk loci, just 5 of these, Cdk4, Cdk6, Cdk2, Cdk3, and Cdk1, were directly linked to cell cycle control. While Cdk1 is thought being a mitotic kinase, rest of all Cdks are thought to be involved in cell division's early stages (interphase). But CDK4 has been discovered mutated in human cancers thus far and only in a handful of patients with inherited melanoma. CDK6 amplification has indeed been recorded as a result of genomic translocation in lymphomas, leukemias, and melanomas (Malumbres and Barbacid [2005;](#page-240-0) Chen et al. [2006](#page-238-0); Qayoom et al. [2022](#page-241-0)). Furthermore, Cdk activity is misregulated in a considerable percentage of human malignancies due to mutations. These involve cyclin amplification and cyclin-dependent kinase inhibitor inactivation, which includes the representatives of the INK4 (inhibitor of kinase 4) and Cip/Kip subgroups (Malumbres and Barbacid [2001;](#page-240-0) Malumbres and Barbacid [2005\)](#page-240-0).

The cell division cycle is classified into four fundamental phases: G1-phase, G2-phase, S-phase, and M-phase also called as first growth phase, second growth phase, synthetic phase, and mitotic phase, respectively (Fig. [10.2\)](#page-226-0). DNA is synthesized throughout the S phase of this cycle, rest all of the cell components are divided into two similar daughter cells during the mitosis stage. The first two phases, i.e., G1 and G2, are the two phases through which that particular cell prepares itself for the successful accomplishment of the synthetic and mitotic stages sequentially (Sherr [1996](#page-241-0); Malumbres and Barbacid [2009;](#page-240-0) Malumbres [2014\)](#page-240-0). The completion of each step is monitored in the checkpoints, assuring the proper chromosome segregation and duplication in daughter cells therefore minimizing oncogenesis-inducing genetic instabilities. In circumstances where cellular damage is discovered, cells then undergo apoptosis (Sherr [1996](#page-241-0); Malumbres and Barbacid [2009;](#page-240-0) Barnum and O'Connell [2014;](#page-237-0) Malumbres [2014\)](#page-240-0). Additionally, it is followed by three primary cell cycle checkpoints: G1-S (restriction point), G2-M, and metaphase-to-anaphase checkpoint (Barnum and O'Connell [2014](#page-237-0); Kolch et al. [2015;](#page-240-0) Roskoski Jr [2019\)](#page-241-0). A cell needs to pass via the G1-S checkpoint to proceed the cell cycle, a checkpoint that is guided by the retinoblastoma-associated protein (RB1) and is normally controlled by changes in the fine line across the pro-mitotic and antimitotic cues. Mitogenic signaling is actually mandatory for entrance into the regular cell division cycle, but its significance diminishes once the cell enters the synthetic stage of the cell cycle (Blagosklonny and Pardee [2002](#page-237-0); Mehraj et al. [2022a](#page-240-0), [b](#page-240-0), [c](#page-240-0)).

	CDK	Associated		
	family	cyclin	Normal function of	Abnormal function of
S. no.	member	partner	complex	complex
01.	CDK1	A1, A2, B1, B2	Acts as a central regulatory system and drives cells through G2 phase as well as mitosis	Development and progression of various cancer types Takes part in MYC-driven triple-negative BC
02.	CDK ₂	B3, E1, E2, A1, A2	Inhibition of proliferation rate and cell cycle arrest at GO/G1 & G2/M transitions	Associated with growth as well as progression of BC
03.	CDK3	E1, E2, C	Involved in G0/G1 and G1/S transitions CDK3 overexpression suppresses cell movements, invasion, and metastasis of BC cells	By promoting cell proliferation and development, it acts as a tumor promoter
04.	CDK4	D1, D2, D3	Aids in the G1/S phase transition in cell cycle CDK4/6 complex aids in growth and continuance of the division cycle	CDK4/D1 complex interacts with filamin A influencing metastasis and invasion of BC cells Aids in growth and development of the cancer especially breast cancer
05.	CDK5	D, E, G1		Growth as well as progression of multiple cancer types including breast cancer, etc.
06.	CDK ₆	D1, D2, D3	CDK4/6 complex aids in growth and progression of the cell cycle	Aids in growth and progression of the cancer especially breast cancer
07.	CDK7	H	Activates various CDKs via T-loop phosphorylation and thus drives cell cycle progression In cancer, CDK7 causes growth arrest, death, and transcriptional suppression	Overexpressed in ER+ breast cancer and increases cancer progression and metastasis
08.	CDK8	C	Regulates transcription via associating with mediator complex Controller of several cell cycle steps	Contributes toward tumorigenesis in various cancer types especially colorectal, breast, and hematological malignancies
09.	CDK9	K, T1, T2a, T2b	Key regulator of transcription It mainly controls the elongation and termination of the process of translation	Downregulates miR-874, a tumor suppressive microRNA that suppresses proliferation process by downregulating CDK9

Table 10.2 representing the various types of CDKs and their cyclin partners along with their normal and abnormal functions after complex formation

(continued)

S. no.	CDK. family member	Associated cyclin partner	Normal function of complex	Abnormal function of complex
10.	CDK10	M	Tumor suppressor Represses ciliogenesis G2-M transition	Major determinant of the resistance to endocrine therapy STAR syndrome
11.	CDK11	L	Regulates the transcription of RNA, splicing, and mitosis	Breast cancer growth and proliferation

Table 10.2 (continued)

Fig. 10.2 Figure showing all the three cell cycle checkpoints and their function

Three D-type cyclins, cyclins-D3, D1, and D2, are the major players of the G1-Sphase transition, according to the traditional understanding of cell cycle commencement (Lew et al. [1991](#page-240-0); Matsushime et al. [1991](#page-240-0); Xiong et al. [1991](#page-242-0); Baldin et al. [1993\)](#page-237-0). Mitogenic signaling controls overall transcription, turnover, as well as nuclear transport of D-type cyclins and growth factor signaling controls overall expression levels of these cyclins (O'leary et al. [2016](#page-241-0)). Increased efficiency of D-type cyclins, which interact with and turn on CDK4/6, happens early in the cell cycle's G1 phase as a result of a pro-mitotic signaling balance. Such combination then phosphorylates RB1 as well as two RB1-like "pocket" proteins p130 and p107 (also known as retinoblastoma-like proteins 1 and 2, respectively) at a variety of sites (Matsushime et al. [1991](#page-240-0); Kato et al. [1993;](#page-239-0) Meyerson et al. [1994](#page-240-0)). RB1 suppresses the transcriptional activity of the genes needed for division cycle continuation when it binds to the transactivation domain of the E2F transcription factor family of proteins in its hypophosphorylated form (O'leary et al. [2016\)](#page-241-0).

10.3 CDK4/6's Dysregulation and Cell Cycle in BC

In around half of all invasive breast tumors, the tumor suppressor and CDK inhibitor p^{16INKA} are inactivated, which can happen through a variety of methods (Lee et al. [2012\)](#page-240-0). Inactivation of the p16 gene is linked to abnormal cell growth, dedifferentiation, and pervasiveness (Lee et al. 2012). In spite of that p^{16INKA} is a tumor suppressor, its overexpression has been related to tumor growth (Davalos et al. [2010\)](#page-238-0). Several malignancies increase cyclin D-dependent functionality, therefore prevent cellular senescence by a variety of methods, including p16 suppression, CDK4 expansion, CDK4 mutation with lack of INK4 binding, Cyclin D1 upregulation, or CCND1 translocation or overexpression (Satyanarayana and Kaldis [2009\)](#page-241-0). Rb expression is lost in approximately 20–30% of breast tumors, hence the bulk of BCs are Rb proficient (Bosco and Knudsen [2007](#page-238-0)). Rb deficiency promotes tumor growth through loss of proliferative control as well as conversion to severe disease, this fact is very much common in TNBC, where it indicates a better diagnosis (Arima et al. [2008;](#page-237-0) Trere et al. [2009](#page-242-0); Musgrove and Sutherland [2010\)](#page-241-0). Conversely, Rb dysregulation in ER+ BC is a poor prognostic sign because this pattern is linked to a higher risk of metastatic spread (Ertel et al. [2010](#page-238-0)). Even if most BCs have normal Rb functioning, a number of additional events can cause the CDK4/6-cyclin D axis to become faulty, allowing cells to proliferate and tumors to form (Roberts et al. [2012](#page-241-0)). Cyclin D1 levels limit cell division in Rb-proficient malignancies through affecting Rb phosphorylation and activation (Millar et al. [2009\)](#page-240-0). Antibodies against cyclin D1 suppress the estrogen-dependent G1-S progression. Estrogen receptor has a putative transcriptional target, i.e., Cyclin D1 (Yu et al. [2006\)](#page-242-0). Elevated expressions of cyclin D1 are observed in around half of all mammary tumors, although its prognostic significance is unknown (Arnold and Papanikolaou [2005](#page-237-0); Roy and Thompson [2006](#page-241-0)). Elevation in the expression levels of the Cyclin D1 gene (CCND1) is found in 15–20 percent of breast tumors that continues after the establishment of metastasis (Bartkova et al. [1994](#page-237-0); Gillett et al. [1994;](#page-239-0) McIntosh et al. [1995\)](#page-240-0). In preclinical studies, Rb deactivation has been associated with tamoxifen and fulvestrant tolerance (Bosco et al. [2007\)](#page-238-0). Furthermore, experimental and clinical findings reveal that the cyclin D1 amplification stimulates the creation of cyclin D1-CDK4/6 complexes that in turn activates cyclin E1-CDK2 complexes, resulting in tamoxifen tolerance (Hui et al. [2002;](#page-239-0) Stendahl et al. [2004;](#page-242-0) Jirström et al. [2005](#page-239-0); Rudas et al. [2008\)](#page-241-0). Less knowledge is available regarding the mechanisms of AI treatment resistance, but cellular stress response stimulation as well as cell death is involved in tamoxifen and fulvestrant resistance (Riggins et al. [2005\)](#page-241-0).

10.4 CDK6/4and its Relation with the Breast Cancer

BC, a widespread disease affecting over 1.3 million people each year and accounts for nearly about 23% of all types of cancers (Jemal et al. [2011\)](#page-239-0). Changes within cell cycle's processes are seen as a "hallmark of cancer," that results in abnormal cell growth and proliferation (Hanahan and Weinberg [2011\)](#page-239-0). Dysfunctional CDK4/6/D1 complex is involved in the onset and advancement of numerous cancerous diseases, including BC, according to numerous lines of evidence. Dysfunctional CDK4/6: cyclinD1 complex seems to be an initial step in mammary cancer progression and development, given that "upregulation" of cyclin D1 is commonly observed as early as invasive ductal carcinoma and is sustained in malignant tumors, and yet is invisible in the oldest tumors such as atypical ductal hyperplasias (Bartkova et al. [1994;](#page-237-0) Dickson et al. [1995\)](#page-238-0). Uncontrollable cell growth is another one of cancer's hallmarks, and it's driven by CDK/cyclin dysfunction that bypasses cell cycle checkpoints and overcomes multiple safeguards. Previously, much study says that dysregulated CDK/cyclin activation was the most common cause of numerous breast cancer manifestations (Santo et al. [2015](#page-241-0)). CDK4 plays a pivotal role in ErbB-2 carcinogenesis but not for Wnt-induced oncogenes (Reddy et al. [2005](#page-241-0)). Findings reveal that the cyclin D1:CDK4/6 loop is crucial for BC preservation and BC advancement, add to the evidence for their participation in carcinogenic etiology. These studies are based on in vivo findings revealing ErbB2-driven tumor arrest and senescence regarding the cyclin D1 elimination or selective CDK4/6 inactivation (Choi et al. [2012\)](#page-238-0). CDK4/6–RB pathway, which is crucial in cell cycle's G1/S phase transition, is significant in BCs. RB phosphorylation is controlled by cyclin D1/CDK4/6, which aids in cell growth. Downregulation of the CDK4/6–cyclin D/INK4/pRB/E2F axis, or its promoters, had been seen to play a pivotal role in tumorigenesis as well as BC retention (Santo et al. [2015](#page-241-0)). Although D-type cyclins are not required for breast growth, they are needed for effective tumor formation, as manifested due to the fact that mutant mice deficient in operative D1 type cyclin are immune to cancers caused by ErbB-2/HER2/neu and RAS oncogenes, while cyclin D3 deficient mice appear to be immune to Notch1-driven T-cell acute lymphoblastic leukemia (Yu et al. [2001;](#page-242-0) Landis et al. [2006](#page-240-0); Choi et al. [2012](#page-238-0)). Moreover, it is observed that cyclin D1 and D3 are able to counterbalance each other in promoting tumor formation as well as tumor growth and metastasis (Zhang et al. [2011\)](#page-242-0). Dysfunctional CDK4/6:cyclin D1 complex has been involved in genesis and also development of a number of different types of cancers, such as breast cancer, according to numerous lines of evidence. "Increased expression" of cyc D1 is typically observed as soon as invasive ductal carcinoma & retained in malignant tumors, although it is not found in the earliest lesions including anomalous ductal hyperplasia, suggesting that dysfunction of the cyclin D1:CDK4/6 axis is an initial step in BC development and metastasis (Bartkova et al. [1994,](#page-237-0) Dickson et al. [1995\)](#page-238-0). Clinically, it has been seen that loss of INK4 and CIP/KIP family proteins, along with CDK4/6 overexpression, has been seen in BC (Koboldt et al. [2012;](#page-240-0) Asghar et al. [2015\)](#page-237-0). According to a recent survey, distinct breast cancer subtypes have different molecular abnormalities in the cell cycle checkpoints (Koboldt et al. [2012\)](#page-240-0).

A set of data based on cancer genome research of 482 aggressive BC patients found that 27.4 percent of CDK4/6–RB axis genetic dysregulation includes the expression of a specific gene change or various genetic changes in combinations (Dukelow et al. [2015\)](#page-238-0). Numerous E2F targeting genes are responsible with cell cycle regulation, DNA duplication, and mitotic growth. Retinoblastoma proteins are transcriptional co-repressors that restrict the activation of several E2F target genes (Ren et al. [2002;](#page-241-0) Burkhart and Sage [2008](#page-238-0)). Hyperphosphorylation of Rb inhibits transcriptional suppression by lowering its affinity for E2F and causing the transfer of E2F transcriptional regulators, allowing transcription of CDK2, E-type cyclins, and many more proteins, which further form a complex capable of phosphorylating Rb as well as facilitating the S phase entry (Weinberg [1995;](#page-242-0) Sherr [1996](#page-241-0); Sherr and McCormick [2002](#page-241-0)). Two classes of CDK inhibitors (CDKi) limit CDK4/6 kinase activities by adhering to an ATP-binding site of CDK and inhibiting subsequent CDK4/6-mediated phosphorylation of Rb; such intrinsic CDK4/6 restriction potently arrests cell growth but needs operative Rb protein (Asghar et al. [2015;](#page-237-0) Niu et al. [2019](#page-241-0)). CDK-interacting protein/kinase inhibitory protein (CIP/KIP) family, which includes proteins p21CIP1 (CDKN1A), p27KIP1 (CDKN1B), and p57KIP2 (CDKN2D), and the inhibitor of CDK4 (INK4) family, which includes proteins p16INK4A (CDKN2A), p14ARF (CDKN2A), INK4 family of proteins interact specifically with CDK4/6's isoforms, restricting their alliance to D-type cyclins as well as repressing kinase activity, whereas the CIP/KIP proteins both possess suppressive and activating impacts, meddling with the functioning of all CDK/Cyclin complexes (Hirai et al. [1995](#page-239-0); Lim and Kaldis [2013;](#page-240-0) O'leary et al. [2016\)](#page-241-0). Furthermore, RAS-RAF-MEK-ERK axis and HER2-PI3K-AKT pathway both play a pivotal role in cyclin D1 regulation as well as expression (Winston et al. [1996;](#page-242-0) Klein and Assoian [2008\)](#page-239-0). Cyclin D/CDK4/6 axis was an appealing target for the development of treatment methods due to the coordinating function of CDK4/6/Cyc D in controlling the whole process of cell cycle. Creation of CDK4/6 inhibitors has become the most intriguing result in this context, because cancerous cells' susceptibility has been combated while keeping an acceptable toxicity profile (Piezzo et al. [2020\)](#page-241-0).

10.5 CDK4/6 in Relation to ER+ Breast Cancer

Anticancer medications, including the anti-estrogen tamoxifen, considerably enhanced disease-free life in women with BC; nonetheless, a large minority of individuals seem to be either resistant to treatment or experience disease reappearance (Jensen and Jordan [2003;](#page-239-0) Lancet [2005](#page-240-0)). In breast cancer patients, recurrences at metastatic sites, particularly the lungs and bones, are the leading cause of death (Blanco et al. [1990;](#page-238-0) Chambers et al. [2002\)](#page-238-0). Whereas the majority of clinical studies in the last decade have concentrated on the application of CDKIs (especially CDK4/ 6 inhibitors) in Estrogen positive and Human Epidermal Receptor2-negative BC, some previous research has proved that CDK4/6 suppression could be utilized to address HER2+ tumors. Finn and his colleagues discovered that luminal-type BC

cells also express the estrogen receptor, together with luminal-type cells with HER2 modulation, seem to have been extremely susceptible to palbociclib than basal-type ER-negative cells. It was found by them in one of the first research on the functioning of the CDK4/6 anticancer drug palbociclib (Finn et al. [2009\)](#page-238-0). The steroid and peptide growth factors promote proliferation in ER+ BC by stimulating the cyclin D-CDK4/6, which causes pRb hyperphosphorylation. The transcription factor E2 (E2F) is released in the presence of hyperphosphorylated pRb, and the cell enters the S phase of the cycle. CDK4/6 inhibitors are small molecules that stop pRb from hyperphosphorylating and causing G1 cell cycle arrest. Understanding the interactions among the steroid hormone system, peptide growth factor signaling, and CDK biology would likely improve our thinking about the pathways of the hormone resistance (Dean et al. [2010](#page-238-0); Finn et al. [2016\)](#page-239-0). Estrogens work by attaching to a particular estrogen receptor (ER), that is the part of the steroid and thyroid hormone receptor superfamily. This receptor is indeed a nuclear regulatory protein having a molecular weight of 66 kDa that acts as a hormone-activated transcriptional activator. The activation of receptors is assumed to be the result of ligand-induced conformational changes in the ER organization (Kumar and Chambon [1988](#page-240-0); Tsai et al. [1988\)](#page-242-0). ER-positive BC accounts for the majority of instances (Li et al. [2003\)](#page-240-0), accounting for about 80% of all BCs (Lumachi et al. [2015](#page-240-0)). Estrogen receptors (ERs) comprise nuclear proteins that regulate gene expression, and about 80 percent of the total breast cancers are estrogen receptor positive (ER+), with 65 percent also being progesterone receptor (PR) positive.

Estrogen's effect in ER+ BC is regulated predominantly by ER. The estrogen receptor is a nuclear receptor that plays a role in a variety of developmental and physiological processes (Shao and Brown [2003](#page-241-0)). Stimulation of the estrogen receptor (ER) promotes carcinogenesis in various types of cancers, including BC, and the role of the ER is still unknown (Haque and Desai [2019](#page-239-0)). As a result, inhibiting the ER-alpha has become one of the most dominant techniques for mammary cancer prevention and treatment (Sommer and Fuqua [2001](#page-242-0)). The major technique for treating ER-positive BC is to use hormone therapies to prevent estrogen's activity, although this is restricted by the resistance development (Lewis et al. [2005](#page-240-0)). ER score can, however, indicate hormone responses to treatment. Such tumors are frequently linked to a higher overall life expectancy (Clahsen et al. [1999](#page-238-0)), relapses happen at a consistent rate for approximately 20 years (Pan et al. [2017](#page-241-0)). Estrogen receptor-negative BCs return in the first 3 to 5 years, but ER-positive breast cancers do not. Congenital or evolved hormonal tolerance is prevalent in ER-positive cancers, occurring in 40–50% of cases (Osborne and Schiff [2011](#page-241-0)). Estrogen, in particular, can speed up cell cycle transition from the G1 to the S phase in ER+ breast cancer, where the estrogen effector is the cyclin D1–CDK4/6–RB complex. In a nutshell, estrogen binding to ER-alpha causes cyclin D1 transcription, while CDK4/ 6 stimulation and phosphorylation of retinoblastoma cause cell cycle continuation via the checkpoint, resulting in the initiation of the cell cycle signal, which stimulates the expression of several receptor-driven genes that are engaged in cell growth, division, and survival (Fig. [10.3](#page-231-0)).

Fig. 10.3 Showing the relationship between CDK4/6 and cancer progression as well as growth

Elevated expressions of the Cyclin D1 is found in roughly fifteen percent of all BCs, mostly in estrogen-positive breast cancers (Arnold and Papanikolaou [2005\)](#page-237-0). ER+ BCs also have higher levels of estrogen receptor 1 (ESR1) protein & phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha (PIK3CA), both of these lead to progression in the cell cycle via the mitogenic protein kinase B (AKT)/mTOR signaling pathway (Koboldt et al. [2012\)](#page-240-0).

When comparing with all other mammary cancer subgroups, such as HER2+ and TNBC, ER+ BC is almost genetically reliable, with a major dependence on estrogen signaling, and RB and p53 tumor suppressor genes are usually normal in this condition. The CDK4/6–RB axis is also engaged in HER2-induced cell growth (Spring et al. [2017\)](#page-242-0). It has been indicated in the laboratory mouse models of human BC that the stimulation of the cyclin D1-CDK4/6 axis results in the tumorigenesis as well as promotes the development and persistence of carcinogenesis in HER2+ BC (Dukelow et al. [2015](#page-238-0)). In HER2+ BC, CDK4, erb-b2 receptor tyrosine kinase 2 (ERBB2, the gene encoding the HER2 receptor), tumor protein p53 (TP53), PIK3CA, and phosphatase and tensin homolog (PTEN), as well as cyclin D1, are all amplified. TNBC transcriptomic, analytical, and metagenomic RB signal transduction data, on the other hand, show RB1 mutation or deletion in twenty percent of cases, cyclin E1 overexpression in nine percent of cases, elevated expression of cyclin-dependent kinase inhibitor 2A (CDKN2A), minimal expression of RB1, and a high degree of proliferative frequency, as well as significant changes in DNA damage repair genes like tumor suppressor breast cancer 1 (BRCA1) (Robinson et al. [2013;](#page-241-0) Fedele et al. [2019\)](#page-238-0). When cell cycle genes were examined by inherent subtype of breast cancer, cyclin D1 overexpression was discovered, commonly in the luminal A, B, and HER2 enriched categories, having rates of

29 percent, 58 percent, and 38 percent, accordingly. Elevation of cyclin E1 was found more prevalent in the basal subtype, on the other hand, increase in CDK4 was more prominent in the luminal A, B, and HER2 enriched groupings, similar to cyclin D1: 14 percent, 25 percent, and 24 percent, respectively. Other changes that could be thought to counteract CDK4/6 reliance, like reduced pRb expression or RB loss/ mutation, were also prominent in the basal type (20 percent for mutation/loss). Both CDK4 and Cyclin D1 amplification are particularly high in luminal B (58 percent and 25 percent, respectively) and human epidermal receptor-2-expressing subsets (38 percent and 24 percent, respectively), transitional in luminal A (29 percent and 14 percent, accordingly), but also lower in basal-like tumors that frequently lose pRb (38 percent and 24 percent, respectively) (Koboldt et al. [2012](#page-240-0)).

10.6 Endocrine Signaling, CDK4/CDK6 and Breast Cancer

Current scientific and medical research has focused on the interaction among peptide growth factor and steroid hormone signaling in the breast cancer. In the biology of roughly 60 percent and 20–25 percent of BCs, respectively, ER and HER-2 signaling seems to be recognized "makers" (Tinoco et al. [2013](#page-242-0)). Although treatment strategies to both subgroups are centered on their separate receptors, the two routes may merge and impose downstream consequences on the CDK4/6:cyc D axis. Regarding growth and survival, ER+ breast tumors rely heavily on estrogen signaling (Varma et al. [2007](#page-242-0)). By ER suppression, tumor cell survival is lowered and marks a cell cycle arrest in the G1 stage (Sutherland et al. [1983](#page-242-0); Carroll et al. [2000\)](#page-238-0). ER signaling is believed to significantly enhance cyclin D1 concentrations as well as amplify numerous signaling pathways, with the increase of CDK4/6 activity being the most common outcome (Watts et al. [1994;](#page-242-0) Foster et al. [2001](#page-239-0)). Hormone-based treatment approaches, unsurprisingly, are at the heart of the treatment options for the ER+ breast malignancies. Unfortunately, not all ER+ tumors react to such a treatment option, but resistance is common among those that do. Findings show that, at least in some of these malignancies, this is mediated by dysregulation of many additional mitogenic cascades (for instance, HER2, PI3K/AKT, and others), which can amplify cyclin D1:CDK4/ 6 signaling in an estrogen receptor-independent manner. Furthermore, as previously stated, cyclin D1 may stimulate estrogen receptor on its own, and the most of cyclin D1 upregulated BCs are ER+ (Buckley et al. [1993;](#page-238-0) Zwijsen et al. [1997](#page-242-0)). Such discoveries imply that CDK4/6-mediated:Cyc D signaling may play a crucial role in the estrogen independence of estrogen-positive BC cells (Dean et al. [2010\)](#page-238-0).

10.7 Role of CDKs in Transcription

Cell division as well as RNA pol II (Polymerase-II)-dependent transcription is regulated by the CDKs. The activation requirements for cell cycle and transcriptional CDKs are comparable, but only the former appears to be sensitive to inhibitory

phosphorylation. Furthermore, a rarely employed mechanism of transcriptional CDK regulation is controlled cyclin production and disintegration, which is a central characteristic of cell cycle control (Malumbres [2014](#page-240-0)). RNA pol II (RNAPII) catalyzes the initiation, pausing elongation and termination of messenger RNAs (mRNAs) in humans. It is made of a major component (Rpb1), having a C-terminal domain (CTD) loop of such an historically preserved heptapeptide (Tyr-Ser-Pro-Thr-Ser-Pro-Ser-Ser-Pro-Ser-Ser (Whittaker et al. [2017\)](#page-242-0). Despite changes in its phosphorylation level, the CTD plays an important function in RNA replication and chromosome assembly in the management of transcriptional and co-transcriptional activities (Jeronimo et al. [2013](#page-239-0); Suh et al. [2013](#page-242-0)). Several CDK/cyclin components, such as CDK1 or CDK2, and most transcriptional CDKs, including CDK7, CDK8, and CDK9 subtypes, catalyze Tyr1, Ser2, Thr4, Ser5, and Ser7 in the heptapeptide (Jeronimo et al. [2016](#page-239-0)). For the transcriptional initiation of the promoters, the CTD-RNAPII must be phosphorylated at Ser5 and Ser7. To enhance transcriptional elongation, Ser5 phosphorylation declines as starting transcription occurs, while Ser2 and Tyr1 phosphorylation elevate. Tyr1 is dephosphorylated first during transcriptional termination, then Ser5, Ser7, and Ser2, allowing the transcriptional process to be restarted (Galbraith et al. [2019\)](#page-239-0). The pre-initiation complex is formed when the promoter is recognized and the DNA is unwound, resulting in effective transcription. As previously stated, RNAPII interacts with a huge multi-subunit mediator complex as well as other general transcription factors, and the process is launched by TATA binding protein of transcription factor II D (TFIID) attaching to the core promoter to create the pre-initiation complex (PIC). Type-C cyclins, a component of the mediator complex kinase module (MED), interact with CDK8 or CDK19, this complex module serves as a bimolecular link between DNA-bound TFs and the basic RNAPII pre-initiation complex transcriptional process at the promoter (Yin and Wang [2014;](#page-242-0) Allen and Taatjes [2015](#page-237-0)). The four-subunit kinase module of MED is composed of CDK8 (or CDK19), cyclin C, Med12, and Med13, which is usually related with transcription repression. MED phosphorylates cyclin H to prevent the formation of PICs, which inhibits TFIIH's action on CTD, and it phosphorylates CTD-RNAPII to prevent it from adhering to promoter DNA and preventing the formation of the PIC (Lim and Kaldis [2013\)](#page-240-0). TFIIH, a complex structure which is part of a 10-subunit general transcription factor, is made up of the regulatory subunit cyclin H, the catalytic subunit CDK7, and the ring finger protein ménage a trois 1 (Mat1), which serves as a helicase, ATPase, and kinases, as well as being the last to be employed. The helicase activities at the gene promoter site unwinds the DNA, forming single-strand DNA in the RNAPII catalytic site. The CDK7 subunit's kinase activity in the TFIIH complex phosphorylates Ser5 and Ser7 of CTD-RNAPII, which aids transcription initiation and promoter clearance. Phosphorylated CTD also facilitates the binding of a capping enzyme, which catalyzes the insertion of a methylguanosine cap to the nascent mRNA's 5 end (Fisher and cancer [2012](#page-239-0)). CDK7/cyclin H acts as a CDK-activating kinase (CAK), phosphorylating as well as stimulating CDK9, the subunit of the positive transcription elongation factor b (P-TEFb), binding with the T-type cyclins (T1 and T2) to liberate the regulator from downstream blockage and encourage elongation. By

phosphorylating NELF (negative elongation factor) as well as 5,6-dichloro-1-beta-D-ribofuranosylbenzimidazole sensitivity-inducing factor (DSIF) to unleash the elongation complex from obstruction and it also phosphorylates CTD at serine 2 to assist its RNA polymerization process, the stimulated CDK9/cyclin T tends to promote the extension of the pre-mRNA transcript (Peterlin and Price [2006;](#page-241-0) Larochelle et al. [2012\)](#page-240-0). CDK7 phosphorylation of CTD is essential for P-TEFb activation, and CDK7 inhibition causes CDK9 phosphorylation of Ser2 to decrease (Viladevall et al. [2009](#page-242-0)). CDK12 itself and its homolog CDK13, together with related component cyclin K, have been linked to Ser2 phosphorylation at the CTD in latest research. CDK9 phosphorylates Ser2 sooner in transcription and then assigns the rest of the elongation phase to CDK12; although CDK12's function in C-terminal domain phosphorylation is gene-specific (Jeronimo et al. [2016](#page-239-0)). Furthermore, CDK12 is engaged in alternate exon splicing, which is essential for the physiological DNA damage response, forming a new connection among transcriptional machinery and cell cycle regulation (Blazek et al. [2011](#page-238-0)). CDK1 phosphorylates the CTD to stop transcription, however the etiology is still unknown (Malumbres [2014](#page-240-0)). CDK11/ cyclin L (cycL) interacts with a different type of ELFs, including RNA polymerase elongation factor 2 (ELL2), general transcription factor II F (TFIIF), and general transcription factor IIS (TFIIS), to aid transcription elongation and chromatin transcription (FACT). Furthermore, CDK11/cyclin L regulates splicing of the RNA by phosphorylating factors involved in pre-mRNA splicing, including SC35 (Srfs2) and 9G8 (Srfs7) (Lim and Kaldis [2013\)](#page-240-0). The dephosphorylation of Ser5 of CTD-RNAPII by sarcoplasmic calcium-binding protein 1 (SCP1) facilitates transcriptional cessation (Whittaker et al. [2017](#page-242-0)). Detailed research into the mechanism of dephosphorylation is needed; although certain CDK-counteracting phosphatases, such as Cdc14, are thought to be engaged in such process (Clemente-Blanco et al. [2011](#page-238-0); Guillamot et al. [2011\)](#page-239-0).

10.8 Targeting the CDK4/6:Cyc D Pathway Therapeutically

In women, one of the most commonly diagnosed types of cancer is BC, preceded by lung and colorectal cancer conversely in case of death rates. New BC cases and deaths are predicted to reach 2.08 million new cases and 627,000 deaths globally (Bray et al. [2018\)](#page-238-0). For anti-HER2-targeted and hormone therapy, hormone receptor and HER2 (progesterone and estrogen receptor, respectively) are essential prognostic determinants and indicators. Both PR and ER are indications of hormone therapy sensitivity, and they are found in about 75% among all BC cases (Hammond et al. [2010;](#page-239-0) Tsang and Tse [2020](#page-242-0)). Breast cancer patients with targeted therapy had a longer life expectancy. However, preclinical investigations have indicated that hyperactivity of the CDK4/CDK6–cyclin D complex leads to excessive cell multiplication, resulting in pharmacological suppression of the complex, a promising treatment option (Pernas et al. [2018](#page-241-0); Sobhani et al. [2019\)](#page-241-0). Regarding the ER-positive breast cancer, CDK4/CDK6 inhibitors are the most reasonable treatment option. Rb function is always present in this form of malignancy, showing that the inhibitor's principal mechanism of action is still intact (Finn et al. [2009](#page-238-0)). These inhibitors have evolved as an appealing treatment option due to the role of this complex in controlling the cellular expansion and the ways through which this mechanism is thought to be stimulated in cancer (Roberts et al. [2012](#page-241-0)). A key theoretical problem seems to be that CDKs are involved in both normal and malignant cell proliferation, potentially producing a confined remedial window in which toxic behavior would limit the capacity to achieve therapeutically beneficial levels of exposure. Flavopiridol, a well-studied pan-CDK blocker to date, has shown modest clinical efficacy, owing to its complicated pharmacokinetics and severe off-target adverse effects (Shapiro [2006;](#page-241-0) Dickson [2014](#page-238-0)). It's probable that tumors with known cyclin D-CDK4/6-INK4-Rb pathway mutations are additionally susceptible to CDK6/4 suppression versus healthy tissues (Roberts et al. [2012\)](#page-241-0). However, emerging scientific studies indicate that certain Cdks at least in malignancies overexpressing D-type Cyclins may be appropriate targets for therapeutic intervention. Cyclin D1, one of the several regulating components of Cdk4 and Cdk6, was knocked out, conferring resistance to breast tumorigenesis caused by oncogenes including Ras and ErbB2 (Yu et al. [2001](#page-242-0)). Because Cyclin D1 is required for appropriate breast development, the mice's susceptibility to mammary cancers may be attributable to a developmental problem instead of a lack of Cdk4 and Cdk6 activities. Furthermore, animals articulating a Cyclin D1 mutant that binds but does not activate Cdk4 or Cdk6 have been shown to be resistant to BC induced by ErbB2, although possessing regular breast gland growth and maturation (Landis et al. [2006](#page-240-0); Yu et al. [2006\)](#page-242-0). Palbociclib (PD-0332991; Pfizer), Ribociclib (LEE011; Novartis), and abemaciclib are three CDK4/6 inhibitors. Theses 3 inhibitors are either approved or in stage III of development (LY2835219; Lilly). These drugs have IC50 values of less than 40 nM for CDK4 and CDK6, but they have different IC50 values for other CDKs; these variances in sensitivity might alter both ideal dosage regimens and adverse reaction profiles. In addition, all three drugs have shown preclinical activity in a variety of Rb + cancer types (Fry et al. [2004](#page-239-0); Baker et al. [2012](#page-237-0); Dean et al. [2012;](#page-238-0) Kim et al. [2013;](#page-239-0) Gelbert et al. [2014;](#page-239-0) Witkiewicz et al. [2014\)](#page-242-0) (Fig. [10.4\)](#page-236-0).

Along with the above three approved drugs, other numerous CDKIs especially CDK4/6 inhibitors are under clinical trials in different stages for evaluation of their results either singly or in combination with other drugs especially in case of breast cancer, for example: PEARL (testing Palbociclib with hormonal therapy against chemotherapy in case of HR+ and HER2 metastatic breast cancers having resistance against aromatase inhibitors) under stage III trial (Sobhani et al. [2019\)](#page-241-0). Several new CDKIs have previously been explored. Piperlongumine (PL) suppresses the advancement and metastasis of estrogen-positive BC cells, according to Jeong et al. Piperlongumine, a phytochemical isolated from pepper that inhibits Cdk4/6 & Cdk1 activity and promotes G2 to M stage cell cycle arrest to prevent cancer (Jeong et al. [2019\)](#page-239-0). Quereda et al. discovered that SR-4835 inhibits triple-negative BC cell growth by operating as a selectively double blocker of Ckd13 and Cdk12 (Quereda et al. [2019](#page-241-0)). Along with, several other chemical and natural drugs are being addressed for clinical trials so as to discover a promising drug for cancer patients especially breast cancer.

Fig. 10.4 Figure illustrating the mechanism of cell cycle arrest by the use of CDK inhibitors as well as how CDK4/6/CycD accounts for G1/S phase transition

10.9 Summary

Cell growth is defined as a complex system including kinases as well as other phosphoprotein phosphatases catalyzing phosphorylation and dephosphorylation. Cell cycle requires various CDKs and Cyclins that normally regulate all the four steps of the cell cycle. There are different kinds of CDKs and Cyclins, out of which CDK4/6/Cyc D, Cyclin A/E CDK2, Cyclin A/B CDK1 are essential to precede in each stage of the particular cycle. Cyclin CDK4/6 pathway acts as an important axis in normal cell cycle and plays a crucial role in the BC continuance as well as development. Palbociclib, the inhibitor CDK4/6, was sanctioned by the USFDA in 2015 for use in combination with letrozole as an early, hormone-based therapy for postmenopausal ER+/HER2+ aggressive BC. There are various available drugs either as natural CDKIs or chemical inhibitors that can be used against various types of breast cancer, but no drug is promising that can decrease the cancer progression and deaths. Due to various circumstances, need for other drugs rises due to increase in the BC patients worldwide as well as due to resistance for some

commonly used drugs. In future, we are expecting some more and valuable drugs and drug targets that can decrease the death rate of cancer patients and can increase their survival rates.

10.10 Further Readings

Modern textbooks on cell cycle and its regulation and related topics include those by Kaldis and Pagano [\(2006\)](#page-239-0). Also go through section II, chapter 4 of Hurvitz and McCann [\(2018](#page-239-0)), it informs us about the HER2-positive breast cancer, and chap. 95 of part III of Niederhuber et al. ([2019\)](#page-241-0). Video lectures by Sanofi are very helpful to understand the metastasis of the breast cancer.

Video lecture by Dana-Farber Cancer Institute 28-Nov-2019. <https://youtu.be/LVeHGJbX3nQ> El-Ahmad Y, Tabart M, Halley F, et al. (2019) J Med Chem 63:512–528. <https://doi.org/10.1021/acs.jmedchem.9b01293> Shomali M, Cheng J, Sun F, et al. (2021) Mol Cancer Ther 20:250–262. <https://doi.org/10.1158/1535-7163.mct-20-0390> [https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=XnWKzq92i40)=XnWKzq92i40 For more insights about the topic, we would suggest detailed findings from the

books of Mir MA (2022) <https://doi.org/10.1016/C2021-0-02565-7>, (Mir MA, 2021) <https://doi.org/10.52305/WXJL6770>

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Therapeutic Implications of CDKs in Breast 11
Cancer

Manzoor Ahmad Mir \bullet and Burhan Ul Haq

11.1 Introduction

A group of serine/threonine kinases known to modulate the cell cycle is the various cyclins and cyclin-dependent kinases (CDKs). To activate, phosphorylate, and stabilize the cyclin-dependent kinases, these lead to the formation of complexes with cyclins in particular cell cycle phases (Malumbres [2014](#page-259-0); Nie et al. [2019](#page-260-0)). In the case of humans, several loci are known to code for cyclin-dependent kinases and cyclins (Malumbres and Barbacid [2005](#page-260-0)). Nevertheless, only a few of these encoded CDKs and cyclins are directly participating in cell cycle regulation, and the same includes:

- 1. CDK2, CDK4, and CDK6 are the three interphases CDKs.
- 2. CDK1, a mitotic CDK.
- 3. And the other ten cyclins are from four distinct classes (A, B, D, and E type cyclins).

CDKs require modulatory subunits to bind them, known as cyclins, to exert their effects. The latter are formed and destroyed at various cell cycle stages in a specific and timely manner, thus regulating the cell cycle properly (Fig. [11.1\)](#page-244-0).

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Fig. 11.1 The various CDKs aid in the cell cycle regulation either by inhibiting a phase or activating a particular cycle phase

11.2 Dysregulation of CDKs

Specific complexes of CDK-cyclins are deregulated frequently by mutations associated with tumors, and either unscheduled cell cycle re-entry or continuous proliferation is witnessed due to this deregulation. Furthermore, these two features are seen in most human tumor cells (Malumbres and Barbacid [2001\)](#page-260-0). During the synthesis or formation of DNA and segregation of chromosomes, the checkpoints monitor the proper progression through the cell cycle and sense any defects. Moreover, through the regulation of CDK activity, these activated checkpoints lead to the arrest of the cell cycle (Mir et al. [2022a,](#page-260-0) [b](#page-260-0), [c,](#page-260-0) [d](#page-260-0), [e](#page-260-0)). The purpose of arresting the cell cycle is to provide the time for repairing the defects in the cells properly to hamper their advancement to the daughter cells that will be formed. The endogenous genotoxic agents and the exogenous products could lead to broad changes in the DNA molecule and DNA damage checkpoints help protect the cells from such attacks. Furthermore, when the alterations occur, these by a signaling pathway get sensed leading to CDK inhibition and eventually causing the arrest of cell cycle (Malumbres and Barbacid [2009](#page-260-0)). The cells may undergo programmed cell

death (apoptosis) or enter senescence if the repair process is ineffective due to enormous DNA damage resulting from defects in checkpoints or impaired repair machinery due to genetic defects in the same processes cycle (Mir et al. [2022a](#page-260-0), [b](#page-260-0), [c](#page-260-0), [d](#page-260-0), [e](#page-260-0)).

On the flip side, the accumulating changes in DNA may lead to GIN (genomic instability) which leads to the transformation of these cells and thus oncogenesis (Kastan and Bartek [2004](#page-259-0)). The unscheduled proliferation, the chromosomal instability (CIN), and the genomic instability (GIN) are the primary three defects in the cell cycle. They are mediated by faulty regulation of cyclin-dependent kinesis either directly or indirectly (Mehraj et al. [2021\)](#page-260-0). The chromosomal separation is controlled by SAC (the spindle assembly checkpoint) after the DNA duplication. This signaling process regulates the activity of CDK1 and hinders any defects in the segregation of chromosomes (Kops et al. [2005;](#page-259-0) Malumbres and Barbacid [2009\)](#page-260-0). On similar grounds, impaired SAC (spindle assembly checkpoint) could lead to an unequal inheritance of DNA. If not repaired, it could aid in tumor progression due to the accumulation of CIN (numerical chromosomal abbreviations). The A-type cyclins are known to activate CDK1 towards the interphase end in order to assist the mitosis onset. After the nuclear envelope is degraded, the A-type cyclins are dissolved to assist in the CDK1-cyclin B complexes formation, which drives the cells through mitosis cycle (Mir et al. [2022a](#page-260-0), [b](#page-260-0), [c,](#page-260-0) [d](#page-260-0), [e\)](#page-260-0).

Given their significant role in the progression of cell cycle, the downregulation of CDKs would end up in defective homeostasis in specific tissues. On the other hand, by initiating the untimely division in cells (progenitor or stem cells), the hyperactivated CDKs could also aid in the development of tumors (Malumbres and Barbacid [2009](#page-260-0)). Breast cancer can result from many causes, including mutations in DNA repair genes, tumor suppressor genes (p53), and the proto-oncogenes like HER-2, c-myc, as well as cyclin D (Gerger et al. [2007\)](#page-258-0). When BRCA1 and BRCA2 genes are dysregulated, the chance of breast cancer increases. These are known to perform multiple functions, including obstruction of cell cycle progression at the S-phase by halting the rb and possibly CDK2 (Rahman and Stratton [1998](#page-261-0); Hashemi et al. [2019\)](#page-259-0) (Fig. [11.2](#page-246-0)).

The specific CDK inhibitors do not act on multiple CDKs, unlike dual and pan-CDK inhibitors, which are directed against two and more than two types of CDKs, respectively (Mehraj et al. [2022a,](#page-260-0) [b](#page-260-0), [c](#page-260-0), [d\)](#page-260-0). The CDK4/6 inhibitors are very significant at preventing the progression of cell cycle and proliferation of tumor cell, and the frequently employed agents include Palbociclib, Abemaciclib, Ribociclib, and Trilaciclib, which are all CDK4/6 inhibitors. These inhibitors are very efficient for BC treatment, and several such drugs are being tested at phases I and II of clinical trials nowadays (Wu et al. [2020](#page-262-0)). Breast cancer subjects presenting with advancedstage and metastatic types of breast cancer could be cured using such inhibitors as Abemaciclib (Table [11.1](#page-246-0)).

Fig. 11.2 The above flowchart represents various CDK Inhibitors, categorized into three main classes based on their specificity towards the CDKs. (From the above list, only Palbociclib is FDA approved for treating breast cancer)

11.3 Functioning of CDK/Cyclins in the Cell Cycle

Through the target gene phosphorylation like the Rb, a tumor suppressor protein, this complex of cyclin/cyclin-dependent kinases takes control over the cell cycle progression. The active mitogenic signals are known to activate cyclins/cyclindependent kinases, and in reaction to the damage of DNA, the Cyclins/CDKs get inhibited via activated cell cycle checkpoints (Otto and Sicinski [2017](#page-261-0)). The cyclindependent kinase inhibitors (CDKIs) modulate the Cyclin/CDKs antagonistically. Examples include the CIP/KIPs as well as the CDK4(INK4) protein inhibitor (Asghar et al. [2015\)](#page-258-0). In addition, Skp1-Cul1-F-box-protein (SCF) complex and anaphase-promoting complex/cyclosome (APC/C) also have a part to play in regulating the mitotic protein expression that impacts the cell cycle transitions, and these are E3 ubiquitin ligases (Sivakumar and Gorbsky [2015;](#page-261-0) Zhou et al. [2016a,](#page-262-0) [b;](#page-262-0) Senft et al. [2018\)](#page-261-0).

Fig. 11.3 The CDKs can broadly be categorized as: (a) CDKs participating in cell cycle and (b) CDKs participating in transcription

11.4 Types of CDKs

The cyclin-dependent kinases can be categorized into two types (Fig. 11.3)

- 1. CDKs associated with cell cycle include CDK1, CDK2, CDK4, and CDK6. Characteristics: These CDKs are known to moderate the progression and cell cycle phases directly.
- 2. CDKs associated with transcription include CDK7, CDK8, CDK9, CDK12, and CDK13.

Characteristics: These CDKs are known to phosphorylate the carboxy-terminal domain (CTD) of the DNA-directed RNA polymerase II subunit (RPB1) of RNA Pol II and another target. The exact mechanism concerning the transcription is not fully elucidated (Asghar et al. [2015](#page-258-0)).

11.5 Role of CDKs in Breast Cancer

The uncontrolled cellular proliferation manifests as one of the cancer hallmarks (Mehraj et al. [2022a,](#page-260-0) [b,](#page-260-0) [c](#page-260-0), [d](#page-260-0)). The same occurs through the cell cycle checkpoint disabling and overriding several safeguards involved with the cyclin/CDKs dysregulation or impairment. Moreover, multiple studies revealed the participation of Cyclin/CDKs dysregulation in various BC phenotypes, as indicated in Table [11.2.](#page-248-0)

In many solid cancers like BC, uncontrolled cell proliferation is witnessed as a result of cell cycle (Sofi et al. [2022a,](#page-261-0) [b](#page-261-0), [c\)](#page-261-0) dysregulation and the genetic changes in the proteins involved in cell cycle regulation (Hanahan and Weinberg [2011\)](#page-259-0). The

S.	Type of	Type of		
no.	CDK.	Genotype	Observed phenotype	Reference
$\mathbf{1}$.	CDK1	$Cdk1$ mut/ Mut	In the initial cell divisions, embryonic lethality is witnessed because of deficiency of CDK1	Santamaría et al. (2007)
2.	CDK6. CDK2, CDK4	$Cdk6-/-;$ $Cdk2-/-;$ $Cdk4-/-$	By mid-gestation, the inadequacy of these interphase CDKs gives rise to embryonic lethality as a result of hematopoietic defects.	Santamaría et al. (2007)
3.	CDK ₆	$Cdk6-/-$	The faulty proliferation of few hematopoietic cells and anemia is witnessed marginally.	Malumbres and Barbacid (2005)
$\overline{4}$.	CDK11	$Cdk11 -/-$	In peri-implantation embryos, lethality complemented by a mitotic anomaly is witnessed.	Malumbres and Barbacid (2005)
5.	CDK4	$Cdk4^{R\overline{24C}}$ R24C	Development of various tumor types displaying penetrance completely in mice expressing an endogenous Ink4- insensitive $CDK4^{R24C}$ mutant.	Sotillo et al. $(2001a, b)$, Sotillo et al. $(2001a, b)$

Table 11.2 List of mouse models with gene-targeted CDK alleles, and these mice strains also lack CDK3

Note: First four represent loss-of-function strains, and the fifth represents gain-of-function strains of the mice. The Cdk1^{mut} allele was made by gene trap vector insertion. A knock-in allele Cdk4^{R24C} wherein Cys takes the place of Arg24 in order to block the Ink4 inhibitor binding

cyclin-dependent kinases, along with their partners and their biological functions in case of breast cancer, are given in Table [11.3](#page-249-0).

It has been seen that CDK10, along with its interacting partner Cyclin M typically modulates ETS2 transcription but not via RNAPII phosphorylation, and its attributed roles in breast cancer are the correspondence with metastasis in case of lymph node and resistance witnessed in case of endocrine therapy (Iorns et al. [2008](#page-259-0); You et al. [2015;](#page-262-0) Guen et al. [2017](#page-259-0)). The CDK11, along with its interacting partner Cyclin L modulates the splicing and transcription of RNA, programmed cell death as well as autophagy, and in breast cancer, this complex partner with growth and angiogenesis, proliferation, and programmed cell death, too (Loyer et al. [2008](#page-259-0); Chi et al. [2015;](#page-258-0) Zhou et al. [2016a](#page-262-0), [b](#page-262-0); dos Santos Paparidis and Canduri [2018](#page-261-0); Khan et al. [2022a,](#page-259-0) [b\)](#page-259-0). CDK19 and its interacting partner Cyclin C function as a paralog of CDK8, with akin function to CDK8, although appear to execute some different functions and the attributed role in breast cancer is chemoresistance; furnishes potential targets for enhancing chemotherapy (Galbraith et al. [2013](#page-258-0); Zheng et al. [2019\)](#page-262-0). In hepatitis B virus-driven transformation, CDK15/CyclinY takes a part, in the case of breast cancer associated with metastasis and cell invasion (Shiraishi et al. [2014;](#page-261-0) Li et al. [2019\)](#page-259-0).

	CDK		
Cyclin- dependent	interacting partners		
kinases	(Cyclins)	Attributed role(s) in breast cancer	Reference
Cyclin- dependent kinase 1	A/B	Partners with programmed cell death of MYC-driven triple- negative breast cancer.	Chen et al. (2009), Horiuchi et al. (2012), Sofi et al. $(2022a, b, c)$
Cyclin- dependent kinase ₂	A/E	Corresponds phenotypically with breast cancer or triple-negative BC.	Marais et al. (2010), Nie et al. (2019)
Cyclin- dependent kinase 3	\mathcal{C}_{0}^{0}	Partners with the migration of BC cells including the proliferation, invasion, and the programmed cell death as well.	Cao et al. (2017) , Zhang et al. (2017)
Cyclin- dependent kinase 4/6	D	Plays a part in the initiation of breast cancer and tumorigenesis maintenance.	Santo et al. (2015), Malumbres and Barbacid (2001)
Cyclin- dependent kinase 5	$p35$ and p39	Partners with cell death in breast cancer (ROS-mediated); necessary for TGF- β 1-induced EMT.	Pozo et al. (2013) , Dorand et al. (2016) , NavaneethaKrishnan et al. (2018)
Cyclin- dependent kinase 7	\boldsymbol{H}	Moderates transcriptional fixation in case of triple-negative breast cancer to an essential gene cluster.	Wang et al. (2015), Li et al. (2017)
Cyclin- dependent kinase 8	\mathcal{C}_{0}^{0}	Reacts to breast cancer adjuvant therapy; partners with the progression of the tumor.	Firestein et al. (2008) , Nemet et al. (2014) , Crown (2017)
Cyclin- dependent kinase 9	\boldsymbol{T}	In subjects presenting with BC acts as a prognostic biomarker, succeeding neoadjuvant chemotherapy.	Schlafstein et al. (2018), Del Re et al. (2019), Mehraj et al. (2022a, b, c, d)

Table 11.3 An outline of the biological role of some of the CDKs in BC

11.6 Need for CDK Inhibitors for Use in BC Treatment

Given the role of CDKs and their dysregulation in BC, several CDK inhibitors have been formed, some of which are FDA approved for their use in clinical settings. Some are being designed and examined as anticancer drugs at various phases of clinical trials (Sánchez-Martínez et al. [2019\)](#page-261-0). Studies on the human BC mouse models indicate that CyclinD1-CDK4/6 axis stimulation ends up with a tumorigenic phenotype and plays a part in the maintenance and initiation of tumorigenesis in HER2+ breast cancer (Dukelow et al. [2015\)](#page-258-0). The ER-negative and PR-negative status in TNBC is indicated by the Cyclin E overexpression and corresponds to a poor prognostic marker in TNBC (Jabbour-Leung et al. [2016](#page-259-0); Mintoo et al. [2021\)](#page-260-0). The absence of INK4 and CIP/KIP family proteins, coupled with CDK4/6 overexpression, has been witnessed in the case of breast cancer clinically (Asghar et al. [2015\)](#page-258-0). Research has revealed that different subtypes of breast cancer display different mole[c](#page-260-0)ular alterations for cell cycle checkpoints (Mir et al. $2022a$, [b](#page-260-0), c, [d,](#page-260-0) [e\)](#page-260-0).

11.7 Involvement of Other CDKs

It has also been seen that the overexpression of CDK2 ends up with overexpression of cyclin E and cyclin A, which are its partners—in the case of breast cancer (Santo et al. [2015;](#page-261-0) Singh et al. [2017\)](#page-261-0). Roles of CDK1 in mitotic progression have been observed along with the overexpression of Cyclin A2 and B1 (Aaltonen et al. [2009\)](#page-258-0). With the help of A- and B-type cyclins, the CDK1 kinase modulates the centrosome cycle and the mitotic onset and represents one of the central modulators of mitosis (Mir et al. [2022a,](#page-260-0) [b](#page-260-0), [c](#page-260-0), [d](#page-260-0), [e](#page-260-0)). The CDK1 activity is shut down after the successful condensation of chromosomes and their alignment at the metaphase plate in order to permit the segregation of sister chromatid via separase or separin activation. The decondensation of chromosomes, nuclear envelope reformation, and the process of cytokinesis all require this inactivation of CDK1 (Potapova et al. [2006\)](#page-261-0). A study has shown that in the absence of CDK12 protein, there has been much improvement in the triple-negative breast cancer phenotype due to CDK12 loss leading to DNA repair defects (Naidoo et al. [2018\)](#page-260-0). Also, one of the valuable therapies is the inhibition of CDK7 for triple-negative breast cancer patients. It has been witnessed in TNBC that the CDK7 brings about transcriptional addition to a significant gene cluster (Wang et al. [2015;](#page-262-0) Wadhwa et al. [2020](#page-262-0)). Due to their participation in sustaining the growth of cancer cells, the CDKs and Cyclins are good targets for treating breast cancers (Mir et al. [2022a,](#page-260-0) [b,](#page-260-0) [c,](#page-260-0) [d,](#page-260-0) [e\)](#page-260-0).

11.8 Types of CDK Inhibitors

The inhibitors directed against CDKs are categorized either as Non-selective or Selective, i.e., either pan-inhibitors or against one single cyclin-dependent kinase, solely based on meticulousness against the CDKs (Ding et al. [2020](#page-258-0)). Various drugs that are CDK inhibitors in action have entered breast cancer clinical trials and are known to target cell modulators in the cancerous cells, thus furnishing a therapeutic window (Ding et al. [2020;](#page-258-0) Mir et al. [2022a](#page-260-0), [b,](#page-260-0) [c](#page-260-0), [d,](#page-260-0) [e](#page-260-0)).

11.9 Pan-Inhibitors for BC Treatment

All pan-CDK inhibitors act non-specifically. For example, the targets of Seliciclib (a pan-CDK inhibitor) are CDK1, CDK2, CDK5, CDK7, and CDK9 (53). Several undesirable effects/toxicities have been witnessed due to the use of various pan-CDK inhibitors, including fatigue, myelosuppression, nausea, abnormalities in the liver, vomiting, nerve dysfunction, GIT effects, and for these agents lack of predictive biomarkers for the BC patients (Mehraj et al. [2022a,](#page-260-0) [b](#page-260-0), [c,](#page-260-0) [d\)](#page-260-0). Thus, these

Fig. 11.4 Some side effects observed due to consumption of pan-CDK inhibitors in BC patients

S. no.	Name of the drug		Developed by
	Flavopiridol		Sanofi-Aventis
2.	Dinaciclib		Merck
3.	Seliciclib		
	Mitotic kinase inhibitors	4a. AURKB	
		4b. PLK1	

Table 11.4 Examples of some pan-CDK inhibitors that act non-specifically against various CDKs

collapsed before phase second trials. The undesirable effects are shown in Fig. 11.4. Some examples of early pan-CDK inhibitors are given in Table 11.4. All pan-CDK inhibitors are non-specific.

Obtained from rohitukine (a chromone alkaloid) is a semi-synthetic flavonoid which represents one of the examples of first-generation inhibitors is flavopiridol. It exerts its anticancer effects by inhibiting CDK1, 2, 4, 6, 7, and 9 (Sedlacek et al. [1996;](#page-261-0) Shapiro [2006\)](#page-261-0). Flavopiridol in G1 and G2 phases leads to cell cycle arrest and is also known to induce cytotoxicity by blocking CDK7 and CDK9 and c-MYC transcription (Canavese et al. [2012](#page-258-0)). Another example from the pan-CDK inhibitors is provided by Dinaciclib, which is known to inhibit CDK1, CDK2, CDK5, and CDK9 with excellent Rb phosphorylation inhibitory potency, thus showing a better therapeutic index in comparison with the flavopiridol (Asghar et al. [2015\)](#page-258-0).

Mechanism of action of CDK4/6 Inhibitors

Fig. 11.5 The CDK4/6 inhibitors work by preventing the CDK4/6 activation in BC cells, resulting in the arrest of the cell cycle

11.10 Specific CDK Inhibitors for BC Treatment

For treating ER+ /HER2- advanced and metastatic BC, both FDA and European medicines agency approved CDK4/6 selective inhibitors (Abemaciclib, Palbociclib, and Ribociclib) displaying inhibition of growth in ER+ BC in a dose-dependent manner. The three drugs have the property of binding the CDK4 and CDK6 ATP binding pocket and thus are ATP-competitive drugs and are small molecules. In the ATP binding cleft, these display particular types of interactivity with the residues (Asghar et al. [2015\)](#page-258-0). Due to the resistance in ER+ breast cancer patients induced by the endocrine therapy, the CDK inhibitor development came into focus. The general mechanism of action is shown in Fig. 11.5.

11.11 CDK4/6 Inhibitors and their Mechanism of Action

CDK4/6 inhibitors (palbociclib, ribociclib, and abemaciclib) hinder the CDK4/6 activation, causing cell cycle arrest at the G1 phase of the cell cycle. The complex CDK4/6-CyclinD is responsible for Rb (the tumor suppressor gene) phosphorylation and inhibition of its product. When phosphorylation of Rb occurs, the G1 to S-phase proceeds smoothly, DNA replicates, and mitosis usually occurs. However, when blocked by these inhibitors, the process is hampered, and the cell cycle is arrested. The Palbociclib mechanism of action is shown in Fig. [11.6.](#page-253-0) In both preclinical and clinical trials for Estrogen Receptor-positive BC, the inhibitors (for CDK4/6) were approved effectively when combined with the anti-estrogen therapies (Sobhani et al.

Fig. 11.6 The MOA of Palbociclib, a CDK4/6 inhibitor used to treat BC. [Note that Rb (shown in purple) phosphorylation occurs in the absence of Palbociclib)

[2019\)](#page-261-0). Various clinical trials are being carried out to access the inhibitors, which are specific to CDK4/6 in BC (Mir et al. $2022a$, [b,](#page-260-0) [c,](#page-260-0) [d,](#page-260-0) [e\)](#page-260-0).

11.11.1 Palbociclib

The first CDK4/6 inhibitor that got the approval for treating breast cancer is Palbociclib. This is a potent, small-molecule selective inhibitor of CDK4/6 and is administered orally (Im et al. [2019\)](#page-259-0). It has been observed that the human breast cancer cell line displays varied sensitivity based on its phenotype towards palbociclib. Also, the ER- breast cancer cells with basal-like and triple-negative breast cancer histology show less palbociclib sensitivity than the ER+ breast cancer cell lines with luminal features (Finn et al. [2016a](#page-258-0), [b](#page-258-0); Asghar et al. [2017](#page-258-0)). Palbociclib and Abemaciclib have been marked for the second-line therapy with Fulvestrant and these display very low efficacy against CDK1, 2, 7, and 9 (Chen et al. [2009](#page-258-0)). It has also been predicted that the presence of a functional Rb protein is essential for palbociclib. Hampering the Rb phosphorylation causes the arrest of the cell cycle in G_1 phase (Dean et al. [2010](#page-258-0)). Palbociclib works synergistically in combination with tamoxifen and trastuzumab and efficiently suppresses the ER+ breast cancer cell line proliferation (Finn et al. [2015](#page-258-0)). In many cancers that occur in humans, the CDK4's (Cyclin-dependent kinase 4) overexpression has been found, including the breast cancer, and palbociclib has been approved by FDA for its treatment due to its specific CDK4 inhibition (Mehraj et al. [2021\)](#page-260-0). This dual inhibitor for CDK4/6 in the case of BC was approved as it specifically shows its inhibitory effect on HER2 breast cancer/ER+ breast cancer (Finn et al. [2016a](#page-258-0), [b](#page-258-0); Finn et al. [2016a](#page-258-0), [b\)](#page-258-0).

11.11.2 Ribociclib

The drug is orally administered and displays high potency with bioavailability and inhibits CDK4/6 selectivity is Ribociclib. This drug does not display significant activity against CDK2 and CDK1 (Sobhani et al. [2019](#page-261-0)). This drug also inhibits the Rb + cell lines via phosphorylation of Rb inhibition in the case of BC, leading to cell cycle arrest in these tumor cells, as seen in the case of palbociclib (Chen et al. [2008;](#page-258-0) Sofi et al. [2022a,](#page-261-0) [b,](#page-261-0) [c](#page-261-0)). Many trials are being carried out to explore the ribociclib efficiency when combined with other drugs or agents to treat breast cancer (Mir et al. [2022a](#page-260-0), [b](#page-260-0), [c](#page-260-0), [d](#page-260-0), [e](#page-260-0)).

11.11.3 Abemaciclib

Another drug that inhibits CDK4/6 is Abemaciclib, which is orally administered. It causes a decrease in cell number and halts cancer cells' proliferation via inhibition of Rb's phosphorylation coupled with the arrest of cell cycle at the G1 phase (Im et al. [2019\)](#page-259-0). A recent study revealed that the inhibitor Abemaciclib could enhance the activation of T-cells and also up-regulated the antigen presentation genes expression in human BC cells (Schaer et al. [2018\)](#page-261-0). In addition, due to a better understanding of CDKs in various subtypes of breast cancer, their mode of inhibition, their side effects, and resistance, many new CDKs are being explored (Mir et al. [2022a](#page-260-0), [b](#page-260-0), [c](#page-260-0), [d](#page-260-0), [e](#page-260-0)).

11.11.4 Other CDK Inhibitors

PL (piperlongumine) is a novel CDK inhibitor discovered by Jeong et al. This inhibitor is known to hamper migration and cell proliferation in the case of ER+ breast cancer. The PL is a natural product, and it is obtained from pepper. It hinders the CDK1 and CDK4/6 expression levels and ends up arresting the cell cycle at the G₂/M phase to stop tumorigenesis (Jeong et al. [2019](#page-259-0)). The highly selective dual inhibitor SR-4835: It was revealed by Quereda et al. that this inhibitor acts on CDK12 and CDK13. It can stop cell proliferation in triple-negative breast cancer (Quereda et al. [2019\)](#page-261-0). According to the study's upshot (Mir [2015](#page-260-0), Li et al. [2020\)](#page-259-0), in the case of BC, the SR-4835 led to the immunogenic death of cell, thus adding to the antitumor function of PD1-PD-L1 immune checkpoint therapy (Qayoom et al. [2021](#page-261-0), Mehraj et al. $2022a$, [b,](#page-260-0) [c](#page-260-0), [d](#page-260-0)). **Panduratin A** (PA) posses several health benefits, including anti-inflammatory, anti-oxidant, and antibacterial, in addition to its anticancer activity. It has been seen that PA leads to the block of the cell cycle in G_0G1 phase by suppressing the expression of CDK4 and cyclin D1 (Liu et al. [2018](#page-259-0), Sofi et al. [2022a,](#page-261-0) [b,](#page-261-0) [c](#page-261-0)). Vanicoside B is phenylpropanoyl sucrose derived from flavonoid glycoside and has been shown to act as a chemopreventive agent (Sofi et al. [2022a](#page-261-0), [b](#page-261-0), [c\)](#page-261-0). The vanicoside B could inhibit the CDK8-mediated signaling pathway expression as well as lead to the onset of arrest in the cell cycle in HCC38 and MDA-MB-231 cells as reported previously (Kim et al. [2019](#page-259-0)). A role in the modulation of cellular invasion, proliferation as well as migration is played by protein phosphatase Mg^{2+}/Mn^{2+} -dependent 1A (PPM1A) by decreasing retinoblastoma and CDK phosphorylation in the case of TNBC. PPM1A belongs to the Ser/Thr protein phosphatase 2C family (Mazumdar et al. [2019](#page-260-0)). It has been noted in the study that when the Roscovitine, a pan-CDK inhibitor, is administered sequentially preceding doxorubicin, it is synthetically fatal in triple-negative breast cancer cells (Mehraj et al. [2022a](#page-260-0), [b,](#page-260-0) [c](#page-260-0), [d\)](#page-260-0). This inhibitor, when administered, blocks the cell cycle in the G2/M phase, preparing them for DNA damage. It was observed that this combined treatment approach led to an enhancement in DNA double-stranded breaks and lowered the protein recruitment, necessary for homologous recombination compared to the solo treatment by doxorubicin (Mir et al. [2022a](#page-260-0), [b](#page-260-0), [c](#page-260-0), [d](#page-260-0), [e](#page-260-0)). It was also witnessed that by employing this combination therapy, tumor volume showed a reduction, and an elevated survival was observed compared to the solo drug or related treatment in the case of xenograft studies (Jabbour-Leung et al. [2016,](#page-259-0) Mir et al. [2022a,](#page-260-0) [b](#page-260-0), [c](#page-260-0), [d,](#page-260-0) [e\)](#page-260-0). This inhibitors of CDK1, 2, 5, 7, and 9 (Zhang et al. [2018,](#page-262-0) Nie et al. [2019\)](#page-260-0) surfaced as the prime orally available drug from this group to become part of the clinical trials due to its relative success in the preclinical stage, where its success led to the onset of apoptosis in tumor cells (MacCallum et al. [2005,](#page-259-0) Shapiro [2006,](#page-261-0) Galons et al. [2010,](#page-258-0) Mehraj et al. [2022a](#page-260-0), [b,](#page-260-0) [c](#page-260-0), [d\)](#page-260-0). CDK1 shows the involvement in the homologous recombination DNA double-stranded break repair pathway. The cyclin-dependent kinase activity is needed for removing the DNA double-stranded breaks to produce single stands during the homologous recombination by recruiting endonucleases Sae2 or CtlP, respectively, in yeast and mammalian cells (Ira et al. [2004,](#page-259-0) Huertas and Jackson [2009\)](#page-259-0). CDK activity has also been recruited for recruiting and associating the BRCA1 to the MRN [Mre11-Rad50-Nbs1] complex during homologous recombination (Chen et al. [2008](#page-258-0); Mir et al. [2022a,](#page-260-0) [b](#page-260-0), [c,](#page-260-0) [d,](#page-260-0) [e](#page-260-0)). The inhibition of CDK using the Roscovitine decreased the RPA34, a homologous recombination downstream protein in sarcoma cells that had previously received radiation treatment therapy due to the inefficacy of producing the single stands (Jazayeri et al. [2006;](#page-259-0) Mir et al. [2020](#page-260-0)). Therefore weakening homologous recombination by inhibiting the cyclin-dependent kinases could furnish plans to increase the cell sensitivity to chemotherapy in case of TNBC (Jabbour-Leung et al. [2016;](#page-259-0) Mir et al. [2022a](#page-260-0), [b](#page-260-0), [c,](#page-260-0) [d,](#page-260-0) [e](#page-260-0)). It has also been witnessed that there is high CDK11 expression in the case of triple-negative breast cancer, liposarcoma, and multiple myeloma (Jia et al. [2014;](#page-259-0) Zhou et al. [2015;](#page-262-0) Sofi et al. [2022a,](#page-261-0) [b,](#page-261-0) [c\)](#page-261-0). Indirectly the CDK7 may modulate the transcription by phosphorylating and modulating transcription factors like ER and Androgen receptors, both of which have a significant role in breast cancer and prostate cancer, the hormone-driven cancers (Asturias [2004;](#page-258-0) Compe and Egly [2012](#page-258-0); Sainsbury et al. [2015\)](#page-261-0). The co-amplification of CDK12 with ERBB2/HER2 oncogene has been witnessed in subsets of breast cancer (Naidoo et al. [2018](#page-260-0)). It was revealed through the proteomic analysis that the CDK12 amplification is associated with increased phosphorylation of CDK12, indicating that CDK12 could act as a vital therapeutic target in case of HER2 amplified breast cancers (Mertins et al. [2016](#page-260-0); Paculová and Kohoutek [2017\)](#page-261-0). It has already been established via multiple studies and trials that CDKs, particularly CDK4/6inhibitors, enhance the treatment efficiency in combination with hormone therapy than HT alone (Turner et al. [2015](#page-262-0); Le Saux et al. [2017\)](#page-259-0).

According to a study on the Indian population (Lakkavalli et al. [2021\)](#page-259-0), hormone therapy was relatively more effective in combination with CDK4/6 inhibitors with tolerable side effects among the HR-positive advanced breast cancer patients (Mir and Mehraj [2019](#page-260-0)). The study's upshot revealed that the palbociclib, when combined with the hormone therapy, resulted in extended PFS (progression-free survival) compared to the HT alone among those women who presented with the HR-positive metastatic breast cancer (Khan et al. [2022a](#page-259-0), [b\)](#page-259-0).

11.11.5 Side Effects of CDK4/6 Inhibitors

The CDK4/CDK6 inhibitors Palbociclib, Ribociclib, and Abemaciclib are being used in clinical settings and are employed in the case of BC which is hormone receptor-positive [ER and/or PR expressing]. Improved progression-free survival (PFS) and overall survival (OS) have been witnessed (Finn et al. [2016a](#page-258-0), [b](#page-258-0); Sledge Jr et al. [2017](#page-261-0)). However, various undesirable effects are also witnessed in BC patients, and developing more specific targets with fewer side effects is the need of the hour. The following side effects have been witnessed in BC patients from CDK4/6 Inhibitors that are approved by FDA (Ettl 2019). The various side effects are listed in Fig. [11.6](#page-253-0), and the common side effects observed in the case of all the three approved drugs have been mentioned separately in Fig. 11.7.

Toxicities witnessed in case of FDA-approved CDK4/6 inhibitors namely Palbociclib, Ribociclib and Abemaciclib.

Fig. 11.7 These are various side effects of FDA-approved CDK4/6 inhibitor drugs in the case of BC subjects

11.12 Summary

The active participation of CDKs in coordinating and modulating the cell division provides vast scope for further research to elucidate the process of BC development, especially its metastasis. In this direction, multiple therapeutic implications of CDKs in breast cancer have been explored. Several drugs that help inhibit CDKs, for example, specific CDK4/6 inhibitors, have been successfully developed and used in clinical settings today. Similarly, dual inhibitors, as well as pan-CDK inhibitors, have been explored for treating breast cancer. However, pan-CDK inhibitors have shown antitumor activities in the case of BC patients, given the non-specific mode of action coupled with the undesirable effects on BC patients (Mir et al. [2022a](#page-260-0), [b,](#page-260-0) [c,](#page-260-0) [d](#page-260-0), [e](#page-260-0)). Many such inhibitors failed at different clinical trial phases, and their use in actual clinical practice was not approved. Conclusion: There is a need to reduce the undesirable effects, and the combination of therapy with other drugs may help develop an effective treatment for BC patients. Several such inhibitors are being tested at different phases of clinical trials and can find their uses in treating BC in actual clinical settings (Mir et al. [2022a](#page-260-0), [b](#page-260-0), [c](#page-260-0), [d](#page-260-0), [e\)](#page-260-0).

11.13 Further Readings

For more insights about the topic, we would suggest detailed findings from the books of Mir MA (2022) <https://doi.org/10.1016/C2021-0-02565-7>, [https://doi.org/10.](https://doi.org/10.1016/C2022-0-00074-X) [1016/C2022-0-00074-X](https://doi.org/10.1016/C2022-0-00074-X) and Mir MA (2021) <https://doi.org/10.52305/WXJL6770>, and from the cancer.net website on the following mentioned below links,

<https://www.cancer.net/cancer-types/breast-cancer/types-treatment> <https://discovery.ucl.ac.uk/id/eprint/1472740/> <https://www.jmedsciences.com/doi/JMEDS/pdf/10.5005/jp-journals-10045-00138>

For diagrammatic illustrations, descriptive tables, Lazzeroni (2012) [http://www.](http://www.eurekaselect.com/article/49928) [eurekaselect.com/article/49928](http://www.eurekaselect.com/article/49928)

See video links on over all status of cancer, its various types, current new treatment possible options available.

<https://www.sciencedirect.com/science/article/pii/S205970292032278X>

The readers can have a look upon the following video YouTube links for the better understanding of the chapter:

<https://youtu.be/wIsdjfwPUxY> <https://youtu.be/SVjJt984PlU> [https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=9hgrfXleNsM)=9hgrfXleNsM [https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=ZWqfoBj2bsA)=ZWqfoBj2bsA <https://www.sciencedirect.com/science/article/pii/S221464741630054X> <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC5715522/>

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Novel CDK Inhibitors in Breast Cancer 12

Manzoor Ahmad Mir **a** and Tabish Javeed

12.1 Introduction

Cancer is a condition brought on by immortal cells with the capacity to kill people. Ironically, the patient cells must perish for them to survive. Tissue homeostasis is regulated by the division of cells and cell apoptosis (Mir [2021](#page-276-0)). The development of cancer is significantly influenced by changes in these two physiological systems (Gharbi et al. 2022). New cancer medicines are being developed as a result of extensive research into the components of the cell cycle (Matthews et al. [2022](#page-276-0)). Due to cyclin overexpression or endogenous CDKI downregulation, CDKs are hyperactive in some cancers (Najm et al. [2019](#page-276-0)). Based on this knowledge, researchers are investigating whether CDK inhibition might improve cancer thera-peutic effectiveness (Mir [2021](#page-276-0)). Blocking CDKs could be a good way to treat several cancers, including breast cancer (Ettl et al. [2022](#page-275-0)). Cell cycle control involves three categories of regulatory molecules (cyclins, CDKs, and CDKIs) that indicate how far along in the cell cycle a cell goes (Weinberg [1995](#page-277-0); Matthews et al. [2022](#page-276-0)). Cytokines are the regulatory subunits of an active heterodimer, whereas CDKs are the catalytic subunits (Kciuk et al. [2022](#page-276-0)). Cyclins do not have any catalytic activity, and CDKs do not do anything if they do not have a cyclin partner. Animal cells have a lot of CDKs. Some of them, such as CDK1, CDK2, and CDK4, have an undeviating mode of action in cell cycle regulation (Satyanarayana and Kaldis [2009](#page-276-0)). When a binding cyclin activates or inactivates target proteins, CDKs carry out phosphorylation, a common biochemical step that orchestrates organized access into the cell cycle. Later on, in the cell cycle, cyclin-CDK complexes stimulate cyclin-CDK complexes

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Fig. 12.1 Molecular subtypes of breast cancer

(Akin et al. [2014](#page-274-0)). Cellular transcription regulation is controlled by a second set of CDKs. They offer a source of nutrition for cancer cells (Mehraj et al. [2021\)](#page-276-0).

A CDKI protein binds to a cyclin-CDK complex and suppresses kinase activity, which happens often during G1 or in response to environmental or damaged DNA signals. The two most important groups of CDKI proteins in the human body are the INK4a/ARF and the Cip/Kip which regulate the cyclin and CDK complexes (Cerqueira et al. [2014](#page-274-0)). To ensure their survival, all cancers initiate the cell cycle. It is difficult to choose the correct medicine for the right tumor since it is required to first determine which cell cycle regulator controls the cell cycle following an oncogenic event. As a result, animal models have been employed to test whether cell cycle inhibitors are effective against various cancer types. CDKs that are hyperactive or CDK-inhibiting proteins that are defective are seen in a variety of malignancies (Mehraj et al. [2022\)](#page-276-0). CDK4 upregulation or downregulation of p16INK4A causes a loss of cell proliferative control due to increased CDK4 activity, which leads to hyperphosphorylation of Rb proteins and cancer (Willobee et al. [2021\)](#page-277-0). Targeting CDK function to prevent cancer cell overproliferation and employing CDKIs to treat human malignancies makes sense, as per this study. Similarly, CDK2 is downregulated in cancer, which may be caused by oncogenes. One of the substrates that the CDK2 phosphorylates is the retinoblastoma protein (PrB), which triggers the genes essential for S phase via E2F-assisted transcription (Mir [2021\)](#page-276-0).

Breast cancer with different subtypes (Fig. 12.1) being most prevalent across the globe with 276,480 new cases are predicted in 2020 and 42,170 deaths (Santiago-Montero et al. [2020](#page-276-0)). Patients having metastasis at the time of diagnosis make up about 3–10% of all cases, and they were traditionally regarded to be incurable. The objective of drafting this chapter is to describe how CDKIs are used to treat breast cancer (Cardoso et al. [2020\)](#page-274-0).

12.2 The Cyclin D–CDK4/6-Retinoblastoma Mechanism

Cyclin D1 and CDK4 are necessary for the proliferation of luminal epithelial cells, and these are frequently associated with luminal breast cancers (Fig. 12.2) (Jeselsohn et al. [2010](#page-275-0)). Cyclin D1 attaches to CDK4, and proteins like p21 maintain the protein complex, making it an active holoenzyme (Sherr and Roberts [1999](#page-276-0)). The RB protein is then monophosphorylated by the holoenzyme. After CDK2 phosphorylates RB, several genes that aid G1 transition to S phase may be expressed because E2F transcription factors are freed (Joseph et al. [2020\)](#page-275-0). RB phosphorylation, which results in G1 cell cycle arrest and cellular senescence, is blocked by small molecule CDK4/6 inhibitors, which thus stop this process (Choi et al. [2012](#page-275-0)). There are several approaches to manage CDK4/6's enzyme activity (Knudsen and Witkiewicz [2017\)](#page-276-0). First, certain mitogenic signalling pathways that are involved in breast tumors boost CCND1 expression and/or cyclin D1 protein stability. The activity of CDK4/6 is

Fig. 12.2 HER2/EGFR signaling pathway in breast cancer

enhanced as a result of this (Vora et al. [2014\)](#page-277-0). Cyclin D1 is required for the growth of breast adenocarcinomas brought on by ERBB2, according to research utilizing animal models, and cyclin D1/CDK4 is likewise connected to the evolution of ERBB2-driven malignancies (Goel et al. [2016](#page-275-0)). The G1 cell cycle is stopped by the anti-estrogen medications tamoxifen, aromatase inhibitors, and fulvestrant, which block the expression of cyclin D1 (Musgrove et al. [2011](#page-276-0)). Notably, cyclin D1 may also promote estrogen-independent activation of ER target genes (Zwijsen et al. [1997\)](#page-277-0). Finally, roughly 15% of breast cancers exhibit CCND1 amplification, and these tumors have greater amounts of cyclin D1 protein (Gao et al. [2013\)](#page-275-0). Another strategy to boost CDK4 activity in breast tumors is by this method (Mir and Mehraj [2019](#page-276-0)).

In spite of the various ways that CDK4 activity may be increased in breast tumors, various cell proteins obstruct CDK4/6 action directly. The INK4 proteins are the main CDK4/6 inhibitors in the body. These proteins bind to the catalytic subunits of CDK4 and CDK6 to inhibit them (Sherr and Roberts [1999\)](#page-276-0). The CDKN2A gene, which produces the protein p16, is deeply deleted in a small subset of breast tumors. Theoretically, these tumors should have higher CDK4/6 activity from the beginning and be more susceptible to CDK4/6 inhibitors. But this issue is still in debate (Finn et al. [2015](#page-275-0)).

12.3 CDK Inhibitors and Breast Cancer

Breast cancer has been related to a variety of cell cycle abnormalities (Harbeck et al. [2019\)](#page-275-0). The development of a variety of breast cancers has been associated with checkpoint dysregulation (Fig. [12.3\)](#page-267-0). Almost all malignancies, including breast cancer, show changes in pathways including cyclin, CDK, endogenous CDKI, and Rb protein (Akin et al. [2014](#page-274-0)). To mediate its mitogenic actions, estrogen employs cyclin D1 as target gene. Increased tumor manifestation of cyclin D1 has been connected with decreased recurrence-free endurance and tamoxifen retort in patients having high HER2 expression in multiple studies (Montalto and De Amicis [2020\)](#page-276-0). Tamoxifen's antagonistic action becomes an agonistic effect when cyclin D1 is overexpressed. As a result, cyclin D1 overexpression might be used to predict tamoxifen resistance (Osborne and Schiff [2003](#page-276-0)). But this information has not been proven to be true yet, and it is still not clear how important cyclin D1 overexpression is for predicting the future. In cancer treatment clinical trials, a vast number of CDKIs have been tested or are currently being investigated (Zhang et al. [2021\)](#page-277-0). The bulk of them target many CDKs; however, a few of them focus on single CDKs. Non-selective CDK inhibition is preferred to selective CDK inhibition since non-selective drugs have produced more toxicity and adverse effects. Palbociclib, for example, is a selective CDKI that kills tumor cells but not healthy ones (Bai et al. [2017\)](#page-274-0).

To choose the best treatment option, you must first comprehend the tumor's biology. CDK4/6 binds cyclin D1 and promotes cell cycle progression by phosphorylating Rb protein and activating E2F transcription factors. The cell cycle

Cell cycle progression \rightarrow

Fig. 12.3 CDK regulation of cell cycle progression

will come to a stop if this well-known route is disturbed in any manner (Narasimha et al. [2014](#page-276-0)). This technique, however, is insufficient to show that cyclin D1-overexpressing cancers react to any systemic limitation. Cyclin D1 may be required for mantle cell lymphoma cell growth but not survival, and additional resistance mechanisms may exist (Leonard et al. [2012\)](#page-276-0). The research shows that CDK4 and cyclin D1 are needed for the development and persistence of tumor cells in HER2-induced mammary cancer models in mice (Mir et al. [2020\)](#page-276-0). CDK4/6 inhibitors may help tumors that are low-grade and make too much cyclin D1, like ER-positive breast cancer. Cytotoxic or targeted treatments do not shrink tumors. Instead, they stop them from growing (Mir [2021\)](#page-276-0). Palbociclib, an oral CDK4/6 inhibitor, reduces Rb phosphorylation and causes sensitive cell lines to stop growing in the G0/G1 phase by stopping the above process. Palbociclib was first tested on animals to see how well it worked. In vitro, it was shown that Palbociclib alone worked and stopped cell growth (Table [12.1](#page-268-0)) (Yu et al. [2022\)](#page-277-0). Palbociclib was more effective against non-luminal/basal subtypes, notably those with HER2 amplification in ER-positive cell lines. Rb protein and cyclin D1 levels were greater in sensitive and resistant cells, according to an analysis of variance (Kamdje et al. [2014\)](#page-276-0). Tamoxifen and trastuzumab work better in an in vitro study on breast tumors having HER2-amplified genes, ER-positive luminal subtype is the group of people who are most likely to get better from Palbociclib (Xu et al. [2017\)](#page-277-0). They also found that some drugs, like tamoxifen and trastuzumab, may work better when used together. In this study, it was found that Palbociclib response was linked to higher amounts of cyclin D1 and Rb and lower levels of p16. An experiment was conducted

	Treatment	Median free progression	Objective response rate	Clinical benefit rate
Study	combinations	survival (months)	$(ORR)(\%)$	(CBR) $(\%)$
PALOMI-1	Letrozole \pm Palbociclib	20.2	55	81
PALOMI-2	Letrozole \pm Palbociclib	24.8	55	85
MONALEESA-2	Letrozole \pm Ribociclib	25.3	53	80
MONARCH-3	Nonsteroidal aromatase inhibitor (NSAI) + Abemaciclib	Not reached (NR)	59	78
MONALEESA-7	Tamoxifen/NSAI+ goserelin \pm Ribociclib	23.8	51	

Table 12.1 CDK inhibitors as first-line treatment options in breast cancer

out to better understand Palbociclib effectiveness, that indicated it only inhibited the development of tumor cells that were Rb-positive (Ji et al. [2020](#page-275-0)). Rb-deficient tumors were completely resistant to treatment. This finding indicates that Rb is a crucial factor to enhance efficacy in breast cancer cells and a key target of CDK4/6. This research also demonstrated the need of precisely detecting RB expression in tumors in order to identify individuals who would respond favorably to Palbociclib therapy (Mir [2021](#page-276-0)).

Palbociclib was used with carboplatin to see if it was helpful when taken with other chemotherapy drugs (Finn et al. [2020\)](#page-275-0). Although it is not the first therapy for breast cancer, carboplatin is used to treat illnesses that have progressed to other regions of the body (Qayoom et al. [2020](#page-276-0)). In mouse models of metastatic breast cancer, Palbociclib coupled with carboplatin exhibited greater results in comparison to carboplatin alone. Furthermore, when chemotherapy and Palbociclib were combined, there was no increased myelosuppression compared to chemotherapy alone. Another research looked at the effectiveness of Palbociclib in triple-negative breast cancer cell lines when it was combined with doxorubicin (Teo et al. [2017](#page-277-0)). Rb expression predicted patient reactions to Palbociclib monotherapy or combination therapy. Cancer cells that lack Rb showed no antitumor activity when CDK4/6 was inhibited. Even while Palbociclib prevented doxorubicin from killing cancer cells, the two drugs effectively combined to destroy cancer cells that expressed Rb. Palbociclib and letrozole were combined to check their efficacy and safety (Xu et al. [2017](#page-277-0)). Twelve postmenopausal women with breast cancer that was ER positive but not HER2 positive responded favorably to the combination (Sofi et al. [2022a](#page-276-0), [b](#page-277-0)). In this research, antitumor activity was found. Based on the results of this phase 1 investigation, Palbociclib was developed for a phase 2 experimental test (Cristofanilli et al. [2018\)](#page-275-0). In a phase 2 research, letrozole alone or in combination with Palbociclib was administered to 165 patients with advanced breast cancer. When Palbociclib was supplemented to letrozole, the time it took for the disease to get worse went from 7.5 months to 26.1 months. When Palbociclib and letrozole were used together, progression-free survival in this group of people got a lot better. Only 31% of the people who got letrozole had a reaction that could be seen, but 45% of the people who got the combo had a severe reaction. After 6 months of follow-up,

the combined group had a 70% tumor reduction rate, whereas the letrozole alone group had a 44% tumor shrinking rate. Palbociclib enhanced median progressionfree survival by roughly 18 months in the long run (Finn et al. [2012\)](#page-275-0).

Ribociclib is another CDK4/6 inhibitor that has in vitro IC50s of around 10 and 40 nM for CDKs 4 and 6, respectively (Mita et al. [2014](#page-276-0)). In large studies, the most common side effects of grade 3/4 severity were neutropenia and thrombocytopenia, which are also common with Palbociclib (Hortobagyi et al. [2016\)](#page-275-0). However, when Ribociclib is used with endocrine treatment, transaminitis has been seen. It is unclear what caused this hepatotoxicity. Additionally, as shown by electrocardiography, Ribociclib treatment may result in a lengthening of the QT interval. In the clinic, this metric has to be carefully monitored (Mir [2021\)](#page-276-0). The FDA authorized Ribociclib in conjunction with an aromatase inhibitor as the first-line therapy for postmenopausal women with advanced breast cancer that is ER-positive but HER2-negative based on the findings of a phase III study (Hortobagyi et al. 2016). The results of the phase III MONALEESA-7 study, which looked at how well CDK4/6 works in women before and during menopause, were just released (Tripathy et al. [2018\)](#page-277-0). Ovarian function suppression and the oral endocrine medication Ribociclib administered into the participants showed outcomes that were strikingly comparable to those of studies conducted on women who had previously experienced menopause (Finn et al. [2016a](#page-275-0), [b\)](#page-275-0). This was the first substantial research to combine CDK4/6 inhibition with tamoxifen as an endocrine treatment, and both endocrine regimens significantly increased PFS. Abemaciclib, a potent CDK4/6 inhibitor (Spring et al. [2016\)](#page-277-0). Abemaciclib has a limited effect on CNS cancers, including metastatic lesions (Pernas et al. [2018\)](#page-276-0). Although Abemaciclib CNS penetration is not unique, it is more successful in terms of drug delivery than Palbociclib (Raub et al. [2015\)](#page-276-0). Abemaciclib is less likely to cause hematopoietic toxicity than Palbociclib or Ribociclib; however, the causes underlying this remain unknown (Patnaik et al. [2016\)](#page-276-0). As a result, it may be dosed continuously, with monotherapy commencing at 200 mg bid and endocrine treatment at 150 mg bid. The most frequent Abemaciclib toxicity is Diarrhoea, which usually starts during the first 7 days of treatment and may be treated with loperamide as required. Most people who use Abemaciclib also have an asymptomatic increase in serum creatinine (Mir [2021](#page-276-0)).

Abemaciclib, like the other CDK4/6 inhibitors, has been shown to be beneficial when combined with hormone treatment in randomized phase III studies. Both ORR and PFS significantly increased when fulvestrant was administered to patients having cancer of advanced type. This was true for individuals who had previously had hormone treatment as well as postmenopausal women (MONARCH 3) (MON-ARCH 2) (Bagegni et al. [2017](#page-274-0)). Preclinical data, on the other hand, show that Abemaciclib may cause apoptosis in certain breast tumors (Gong et al. [2017](#page-275-0)) and/or that Abemaciclib may cause an immune response against tumors, which would explain tumor regression and contribute to some of its effectiveness (Deng et al. [2018a](#page-275-0), [b\)](#page-275-0).

12.4 Combinations of Novel CDK4/6 Inhibitors

12.4.1 Inhibitors of PI3K/AKT/mTOR in Conjunction

As the inhibitors interact, thereby the combining ability to block tumor formation is a smart concept (Vora et al. [2014\)](#page-277-0). It has been shown that ER-positive breast cancer cells that are resistant to CDK4/6 inhibitors as a single agent may be eliminated by combining PI3K and CDK4/6 inhibitors (Herrera-Abreu et al. [2016\)](#page-275-0). This is because suppressing cyclin D1 causes cell death and terminates the cell cycle (Vora et al. [2014\)](#page-277-0). Consequently, downregulating cyclin D1 creates not only a considerable quantity of energy but also a substantial degree of heat both in vitro and in vivo (Herrera-Abreu et al. [2016\)](#page-275-0).

By inhibiting PI3K signalling, CDK4/6 inhibition became more sensitive. This was partially accomplished by decreasing CDK2 activity after mitosis, which caused the cell to enter a "quiescent" state where CDK4/6 activity was required to initiate the cell cycle (Asghar et al. [2017](#page-274-0)).

12.4.2 Combinations with Immune Checkpoint Inhibitors

Several recent preclinical studies confirm that these inhibitors not only stop tumor cells from dividing but also cause the immune system to attack the tumor (Fig. [12.4\)](#page-271-0). Some of the reasons for this are an increase in the expression of endogenous retroviral sequences in tumor cells, which leads to the production of interferon43 by tumor cells, a decrease in the growth of immune-suppressing regulatory T cells (Goel et al. [2016](#page-275-0)), and an impact on effector T lymphocytes directly (Deng et al. [2018a](#page-275-0), [b;](#page-275-0) Sofi et al. [2022a,](#page-276-0) [b](#page-277-0)). Immune checkpoint blockade, which specifically targets pathways like the programmed cell death protein-1 (PD-1) axis, further augmented the antitumor immune response induced by CDK4/6 inhibition. This had an additive effect on tumor growth.

In fact, the potential of immunotherapy is that it can help patients with advanced illnesses to have long-lasting responses. It would be significant if CDK4/6 immunotherapy could do this for breast cancer patients. The early research was all based on mouse studies, it is vital to remember that. Nobody is certain how well these simulations reflect the biology of actual cancer. No one is certain that this approach will be successful on people as a result. There were no fresh safety signals throughout the 16-week interim analysis, and an ORR of 14.3% was confirmed (Cardoso et al. [2018\)](#page-274-0). The potential benefits of this method will only be conclusively shown by more developed data and larger, randomized research.

Fig. 12.4 Immune checkpoint inhibitors $(G_1$ phase/S phase)

12.5 Potential Molecular Biomarkers of CDK4/6 Inhibition Responsiveness and Resistance

Despite the fact that the CDK4/6 pathway is well understood, efforts to develop molecular biomarkers that envisage CDK4/6 inhibitor retort or resistance in human breast tumors have yielded no convincing candidates (Garrido-Castro and Goel [2017\)](#page-275-0). Here is a quick rundown of potential biomarkers.

12.5.1 RB Expression

It is very important that RB is involved in how CDK4/6 inhibitors affect antitumor responses (Finn et al. [2015\)](#page-275-0). So, cancers that do not have a working RB are not likely to respond to CDK4/6 inhibition. Large randomized studies have failed to establish a clear link between RB levels (as evaluated by immunohistochemistry or gene expression) and CDK4/6 inhibitor benefit (Turner et al. [2018\)](#page-277-0). The reasons for this are unknown, although it is possible that it has something to do with the fact that

none of these tests accurately represents RB functioning in a tumor (Malorni et al. [2016\)](#page-276-0).

12.5.2 Alterations in Cyclin D1 or P16^{INK4A}

Due to greater quantities of cyclin D1 protein, biologists expected that tumors with CCND1 amplification would be more dependent on the CDK4/6 pathway and hence more vulnerable to CDK4/6 inhibition. Patient samples, however, have surprisingly proven that this is not the case. The PALOMA-1 research showed that Palbociclib benefit was not predicted by CCND1 amplification (Finn et al. [2015\)](#page-275-0) and PALOMA-2 samples did not find a link at the protein level either (Finn et al. [2016a,](#page-275-0) [b\)](#page-275-0). Furthermore, while in vitro evidence that low p16 expression predicts CDK4/6 inhibitor susceptibility, this has lately been called into doubt and has yet to be replicated in clinical trials (Gong et al. [2017](#page-275-0)).

12.5.3 Mutational Profiles

According to the results of the PALOMA-3 study, tumor mutations in PIK3CA or ESR1 are not linked to a lower benefit from Palbociclib (Cristofanilli et al. [2016\)](#page-275-0). PIK3CA and TP53 mutations were recently demonstrated to have negative prognostic effects in patients with breast cancer of advanced type (Hortobagyi et al. [2016\)](#page-275-0).

12.5.4 Other Gene Expression Profiles

To uncover features that predict Palbociclib efficiency, a comprehensive gene expression research of PALOMA-2 and PALOMA-3 tumor tissues is being done (Mir [2021](#page-276-0)). These outcomes advocate that CDK2 could be a good target to overcome Palbociclib resistance. However, a review of the bigger, first-line PALOMA-2 research did not support this finding, and further trial cohorts are undoubtedly required to support it (Finn et al. [2016a](#page-275-0), [b](#page-275-0)). Finally, Palbociclib was shown to be helpful for both luminal A and luminal B malignancies in the PALOMA-2 and PALOMA-3 studies (Finn et al. [2016a,](#page-275-0) [b](#page-275-0); Turner et al. [2018](#page-277-0)).

12.6 Summary

Contemporary advances in the field of CDK inhibitors have enhanced anticancer potencies in vitro and in vivo. However, more research into such field is needed for further development (Fig. [12.5](#page-273-0)).

Approaches based on structure and bioinformatics should be able to assist tackle challenges that exist in such fields. However, it is not clear yet as to which CDK or combination of CDKs should be utilized in a therapeutic situation. It may be possible

Fig. 12.5 Targeting effector cells with bio-specific antibodies for cancer therapy

to define whether exceedingly selective or broad-range CDK inhibitors are more efficient at treating cancer through clinical (and even preclinical) research (Mir [2021\)](#page-276-0).

Other chemotherapeutic drugs should be researched in addition to CDK inhibitors because they appear to be more effective when used in combination with other chemotherapeutic medications than when used alone. Other targeted medications seem to perform well in combination, thus further clinical research is required in this area (Sofi et al. [2022a,](#page-276-0) [b\)](#page-277-0).

In oncology, targeting CDK or CDKI is a hot topic. Although defining CDKI's role in a particular malignancy is difficult, current clinical trials on these drugs seem to provide sufficient information in this area. Palbociclib, Ribociclib, and Abemaciclib have been proposed as a possible breast cancer treatment. After exhibiting encouraging results in phase 2 trials in terms of progression-free survival, Palbociclib is presently being explored in a phase 3 research for ER-positive breast cancer. If the findings of research on the medication are verified in a large phase 3 trial, Palbociclib along with Abemaciclib will become a new significant targeted therapy for treating breast cancer (Mir [2021\)](#page-276-0).

There is also a sturdy reason to test new CDK4/6 inhibitor combos as treatment options in breast cancers. Potential biomarkers can also be used to see how well an inhibitor works against breast cancer. As our knowledge of how these treatments work at the molecular level grows, we will be capable to inquire more specific research problems. Eventually, this will lead to the discovery of ideal amalgamations that will help breast cancer patients without causing unnecessary damage or spending money (Mir [2021\)](#page-276-0).

12.7 Further Reading

The readers can further read about "CDK Inhibitors for treatment of breast cancer" by going through the following research papers:

<https://onlinelibrary.wiley.com/doi/abs/10.1002/med.1021> <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC8167670/>

For more incites about the topic we would suggest detailed findings from the books of (Mir MA, 2022) <https://doi.org/10.1016/C2021-0-02565-7>, [https://doi.org/](https://doi.org/10.1016/C2022-0-00074-X) [10.1016/C2022-0-00074-X](https://doi.org/10.1016/C2022-0-00074-X) (Mir MA, 2021) <https://doi.org/10.52305/WXJL6770>, from cancer.net website, [https://www.cancer.net/cancer-types/breast-cancer/types](https://www.cancer.net/cancer-types/breast-cancer/types-treatment)[treatment.](https://www.cancer.net/cancer-types/breast-cancer/types-treatment)

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Targeting CDKs with Other Chemotherapeutic Drugs: A Combinatorial Approach 13

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13.1 An Introduction to Cell Cycle

Cell division is an important aspect and fundamental process of various biological activities including tissue regeneration, homeostasis, pathological processes, various physiological activities, and tumorigenesis. The cell cycle is a succession of events by which a cell duplicates its genome and culminates with the formation of two daughter cells. It has two important phases, viz, interphase and M-phase (period of actual division). Interphase is the time during which cell prepares for division by experiencing cell growth and DNA replication. Interphase is divided into 3 phases— G1, S (synthesis phase), and G2 (Fig. [13.1\)](#page-279-0). In the G1 phase the cell prepares for the DNA replication that is regulated by a "restriction point" in mammals. The cell can gain entrance into the cell cycle depending upon various extrinsic (such as growth factors) as well as intrinsic factors (like protein synthesis). Unavailability of any of these factors will lead to cell cycle arrest and entry into Go phase, a dormancy phase. Once the restriction point is passed, the cell is then committed to divide. Cell cycle regulation includes three "check-points"—G1/S, G2/M, and mitotic spindle checkpoints. Several studies have revealed that cyclin-dependent kinases (CDKs) are key drivers of eukaryotic cell cycle that promote the synthesis of DNA and chromosome segregation by phosphorylation of their substrate (Arellano and Moreno [1997](#page-296-0), Swaffer et al. [2016\)](#page-298-0). Forfeiture of control over cell cycle is a trademark of cancer. Progression from one phase to next phase of cell cycle requires cyclins and CDKs. Replenishing cell cycle control via CDK inhibition might open some windows for development of targeted cancer therapy.

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13.2 CDKs (Cyclin-Dependent Kinases)

CDKs are not just involved in the cell cycle but also have other important functions, such as insulin production, transcription, neuronal functions, and glycogen synthesis (Lim and Kaldis [2013\)](#page-297-0). Until today, based on the homologous sequences in the human genome nearly 21 CDKs and 5 CDK-like genes have been recognized (Malumbres and Barbacid [2009](#page-297-0)). CDK1 is a critical β determinant of mitotic progression whereas CDK2 is more associated with DNA replication in higher eukaryotes. CDK4/6 promote entry into cell cycle especially G1-S transition in response to various growth signals (Morgan [1997](#page-298-0), Mir et al. [2020](#page-297-0)). Besides cell cycle regulation other cyclin-dependent kinases (CDKs) also participate in cell cycle regulation including CDK7, CDK8, CDK9, and CDK11 (Sofi et al. [2022a](#page-298-0), [b\)](#page-298-0). CDK7 phosphorylates RNA polymerase II to initiate transcription of genes. CDK8 is a component of the mediator complex which regulates enormous number of genes. CDK9 phosphorylates RNA pol. II and hence stimulates elongation of transcription. CDK11 mostly acts on the splicing machinery. Many evidences suggested that these transcription-associated CDKs can possibly act as probable therapeutic targets for cancer remedy (Qayoom et al. [2022](#page-298-0), Sofi et al. [2022a,](#page-298-0) [b\)](#page-298-0). Additional type of CDKs called atypical CDKs are involved in various signaling pathways and post-mitotic functions. These include CDKs 5, 14, 15, 16, 17, and 18. CDK5 plays multiple postmitotic functions (Mehraj et al. [2022a](#page-297-0), [b](#page-297-0), [c](#page-297-0), [d](#page-297-0)), while amalgam of CDK14 and Y cyclin is believed to play an important role in β-catenin signaling pathway (Davidson et al. [2009](#page-296-0), Jiang et al. [2009](#page-296-0)). The cell cycle functions in a systematic and sequential manner that is attained by a careful sequence of phases and checkpoints that are regulated by a number of specific proteins that interact with specific cyclindependent kinases (CDKs) and maintain the progression of the cell cycle properly (Mehraj et al. [n.d.](#page-297-0), Hanahan and Weinberg [2011](#page-296-0)). Anomalies in cyclin–CDK–Rb pathway are often associated with breast cancers. Therefore, targeting cancers by inhibiting CDKs will bring into control the cell cycle progression and prove beneficial in the development of targeted cancer therapy (Dickson [2014](#page-296-0), Mehraj et al. [2022a](#page-297-0), [b,](#page-297-0) [c,](#page-297-0) [d](#page-297-0)). Further the CDKs are not degraded during the course of cell cycle unlike their regulatory counterparts, i.e. cyclins.

As CDKs are critical regulators of transcription, cell cycle, and other important biological functions, inhibitors against these CDKs have come into existence to treat multiple malformations associated with CDK dysfunctions. For over 20 years, many compounds have been developed against the abnormally activated CDKs and some are under clinical trials. Here we will discuss some of CDK inhibitors in synergism with other therapeutics.

13.3 Pan-CDK Inhibitors

CDK inhibitors have been studied since the onset of 1990s, and pan-CDK inhibitors are the first generation of CDK inhibitors including Roscovitine and Flavopiridol, etc. The primary role of the pan-CDK inhibitors is to induce cell cycle arrest and hamper cell proliferation by obstructing CDKs activity. Because of their low specificity and toxicity most of the first-generation pan-CDK inhibitors failed in the clinical trials (Meijer et al. [1997,](#page-297-0) Mehraj et al. [2021a](#page-297-0), [b,](#page-297-0) [c\)](#page-297-0). Therefore, secondgeneration pan-CDK inhibitors such as RGB-286638, AT519, Dinaciclib, TG02, Roniciclib, P276-00, etc., were developed with improved selectivity and reduced cytotoxicity, and have shown good activity in pre-clinical trials with further verification needed. In addition, several pan-CDK inhibitors have gained entry into multiple phase trials and many others have shown promising anti-cancer activity in pre-clinical studies. To improve the efficacy of pan-CDK inhibitors, a number of studies have been performed on drug delivery, most importantly on combination therapy. Despite showing some significant efficacy pan-CDK inhibitors have shown some side effects and safety concerns. However, recent advancements in combination therapeutic approaches are giving positive results in lowering side effects and toxicity of pan-CDK inhibitors. Presently >40 pan-CDK inhibitors are rooming in various clinical stages of development (Mehraj et al. [2021a,](#page-297-0) [b,](#page-297-0) [c,](#page-297-0) Zhang et al. [2021\)](#page-298-0). In Table [13.1](#page-281-0), some pan-CDK inhibitors are represented having their respective targets, phases of clinical trials, and biomarkers of disease. Given below are some pan-CDK inhibitors.

13.3.1 Flavopiridol (Alvocidib)

Flavopiridol is a semisynthetic flavonoid. It is a first-generation pan-CDKI that has been studied on large scale and used in clinical trials. It quenches the activity of multiple CDKs, namely, CDK1, 2, 4, 6, 7, and 9 (Fig. [13.2\)](#page-281-0) (Lin et al. [2010](#page-297-0)). It also targets positive transcription elongation factor P-TEb (Chao et al. [2000](#page-296-0)). Pre-clinical study sentenced that flavopiridol has anti-cancer activity in case of prostate cancer, thereby lowers 85% tumor size and improves survival by 30 days (Zeidner and Karp [2015\)](#page-298-0). Despite pre-clinical research, flavopiridol has shown depreciation in efficacy throughout clinical trials of solid tumors and showed some side effects like cardiac dysfunction in AML patients and gastrointestinal toxicity in rodents. This limited the clinical trials of flavopiridol. Therefore, scientists are trying to use it with other drugs, especially paclitaxel, to refine its clinical efficacy (Zeidner and Karp [2015\)](#page-298-0).

Name	Alternative name	CDK Target	Structure
Flavopiridol	Alvocidib, L868275, HMR-1275	1, 2, 4, 6, 7, 9	HO -42
TGO ₂	SB1317, zotiraciclib	1, 2, 7, 9	
P ₂₇₆₋₀₀	Roniciclib hydrochloride, P276	1, 4, 9	
Dinaciclib	SCH 727965	1, 2, 5, 9, 12	OH
Seliciclib	CYC202, Roscovitine	1, 2, 7, 9	H _O -45
Roniciclib	BAY1000394	1, 2, 4, 7, 9	

Table 13.1 Pan-CDK inhibitors

Fig. 13.2 Flavopiridol acting on its target CDK/cyclin complexes

13.3.2 TGO2 (SB1317)

TGO2 is an oral CDK inhibitor which inhibits CDKs including CDK7, CDK2, CDK1, and CDK9 (Fig. [13.3](#page-282-0)). In pre-clinical studies it has been reported to inhibit glioblastoma cells both alone and in combination (Su et al. [2018](#page-298-0)). Some studies has

Fig. 13.3 TG02 inducing apoptosis

revealed its activity against hematological diseases and targets a broad range of CDKs and also inhibits JAK/Fit3 activity (Goh et al. [2012\)](#page-296-0). Cultures of cells from AML patients and patients with polycythemia vera are very responsive to TGO2. It has shown positive results against various models of leukemia (Goh et al. [2012](#page-296-0), Mehraj et al. [2021a,](#page-297-0) [b](#page-297-0), [c](#page-297-0)). Study on patients with AML has shown that TGO2 has inhibitory effect on MCL-1 (inhibitor of apoptosis) and therefore induces apoptosis (Pallis et al. [2017](#page-298-0)). In addition, in combination TGO2 has shown greater clinical efficacy; for instance, a study has shown that TGO2 when used in combination with carfilzomib (a second-generation proteasome inhibitor) improved the efficacy of refractory multiple myeloma (Ponder et al. [2016\)](#page-298-0).

13.3.3 P276-00

P276-00 is known to inhibit CDK1, CDK4, and CDK9 and has shown activity against cell cycle arrest mostly in the G1 phase and induces apoptosis in the head and neck cancers (Mishra et al. [2013](#page-298-0)). It has shown anti-tumor activity against mantle cell lymphoma (MCL) cells, with some significant anti-tumor and drug resistant effects in clinical phase II trials. It can repress expression of MCL-1 (anti-apoptotic protein), thereby inducing apoptosis (Shirsath et al. [2012\)](#page-298-0). Research suggests that it has anti-angiogenic activity by inhibiting HIF-1 and lock cancer cells in prostate cancer at G2/M transition (Manohar et al. [2012,](#page-297-0) Mehraj et al. [2022a](#page-297-0), [b](#page-297-0), [c,](#page-297-0) [d\)](#page-297-0). However, the molecular mechanism of P276-00 is not known (Cassaday et al. [2015\)](#page-296-0). Thereby, further verification in this scenario needs further to be evaluated.

13.3.4 Dinaciclib

Dinaciclib is a multi-specific inhibitor inhibiting multiple CDKs such as CDK1, 2, 5, 9, and 12. Dinaciclib mostly obstructs CDK9 activity by preventing the phosphorylation of the carboxyl terminal of the RNA pol. II that plays a transcriptional inhibitory role and induces apoptosis. FDA in 2012 declared dinaciclib as an orphan drug. Dinaciclib was developed by Merck & Co Ltd. and is under phase III clinical trial. It also inhibits CDK2/5 and anti-apoptotic BCL-XL, BCL-2 proteins. In combo with gemcitabine it robustly inhibited tumor progression of cholangiocarcinoma (CCA) (Saqub et al. [2020](#page-298-0)). Its anti-tumor activity is p53 dependent. It has shown a significant activity against chronic lymphocytic carcinoma, breast cancer, and lung cancer. However, it has been proven that Dinaciclib has shown strikingly strong efficacy against blood cancers. Dinaciclib mainly acts on leukemic cells and inhibits the growth of T-ALL cells and prolongs the survival of clinical models (Baker et al. [2016](#page-296-0)). A number of studies have suggested that this drug can abolish several cytokines in the microenvironments like CD40L, IL-4, BAFF, etc., that are crucial for the growth of CLL (chronic lymphocytic leukemia) cells (Mehraj et al. [n.d.](#page-297-0), Johnson et al. [2012\)](#page-297-0). Dinaciclib in combination with panobinostat induces MLL-AF9 tumor cell apoptosis with an increase in the survival rate nearly from 33 days to 52 days (Baker et al. [2016](#page-296-0)).

13.3.5 Seliciclib (Roscovitine/CYC202)

Seliciclib is actually a multipotent pan-cdk inhibitor highly effective against CDK2, CDK7, and CDK9. Seliciclib is being developed by Cyclacel. It has shown antiproliferative activity against lung cancer, multiple myeloma, and hepatocellular carcinoma. It has been shown in vitro to induce by downregulating anti-apoptotic MCL-1 in lung cancers and others. It mainly inhibits transcription depicting its potency against CDK7/9 (Appleyard et al. [2009](#page-296-0)).

13.3.6 Roniciclib

Roniciclib is another pan-CDK inhibitor that showed its anti-cancer property on thyroid cancer cell lines (Lin et al. [2018](#page-297-0)). In phase I clinical trials the effectiveness and tolerability have been tested in patients with advanced malignancy. In addition, it was tested in combination with cisplatin on which it has shown synergistic antitumor activity (Syn et al. [2018](#page-298-0)). Further combination of sorafenib with Roniciclib has reported to have better growth inhibitory effect on xenograft models than alone (Lin et al. [2018\)](#page-297-0). However, in phase II of clinical trials it has shown serious side effects and cytotoxicity, so its usage was terminated (Cho et al. [2018](#page-296-0)). Therefore, there is a need to re-optimize Roniciclib for its dosage and administration strategy.

13.3.7 PHA-793887

PHA-793887 has been known to hinder the phosphorylation of Rb protein just to obstruct the cell cycle progression (Locatelli et al. [2010\)](#page-297-0). The in vivo results have

shown promising effects on leukemic cells, xenograft models, and primary leukemic cell dissemination (Alzani et al. [2010](#page-296-0)). However, in some patients hepatotoxicity was noticed in phase I clinical trials, due to which the clinical application of it is still under development (Massard et al. [2011\)](#page-297-0).

13.4 Specific CDK Inhibitors

The main drawback associated with pan-CDK inhibitors is their little specificity and increased cytotoxicity on normal cell lines. Pan-CDKs were also associated with pharmacokinetic and administration issues. To improve on these things researchers have successfully developed some specific CDK inhibitors that involve CDK4/6, CDK 7, CDK9, CDK12/13 inhibitors, etc. The discovery of flavopiridol opened a raceway for the evolution of molecules with potent and selective CDK inhibition (Sofi et al. [2022a](#page-298-0), [b\)](#page-298-0). Each cancer type is known to have a specific landscape of CDK expression, therefore specific inhibitors are expected to specifically provide the therapeutic effect. Multiple specific CDK inhibitors have been elucidated in clinical and pre-clinical studies having anti-tumor effects (Table [13.2](#page-285-0)). Here we will reveal features of some important specific CDK inhibitors and their role in preventing cancer progression.

13.5 CDK4/6 Inhibitors

CDK4/6 inhibitors are earliest specific inhibitors that are FDA approved for clinical use. These selectively target CDK4/6 with narrow toxicity to normal cells. They arrest G1/S transition and subsequent cell cycle arrest. These inhibitors mainly target cyclin D-CDK4/6-Rb pathway preventing phosphorylation of Rb (tumor suppressor protein), which is otherwise important for cell cycle progression. CDK4/6 inhibition has improved prognosis in various cancers especially breast cancer subtypes; HR-positive and HER-negative breast cancers. Currently three oral agents are approved by FDA as CDK4/6 inhibitors, namely, abemaciclib, palbociclib, Ribociclib (Kwapisz [2017\)](#page-297-0). These three have been approved to use against breast cancer subtypes especially ER+/HER- in combination with anti-hormone therapy (Mehraj et al. [2022a,](#page-297-0) [b](#page-297-0), [c,](#page-297-0) [d](#page-297-0)). Besides similarity as CDK inhibitors, these subtly differ in substrate specificity and pharmacodynamics. Palbociclib almost equally inhibits CDK6 and 4, while ribociclib and abemaciclib are more effective to CDK4 and less to CDK6. Ribociclib and palbociclib have shown prolonged half-life as compared to abemaciclib. Research studies have shown that CDK4/6 inhibitors also suppress cancer growth by bringing on anti-tumor immune responses and senescence regulation of cell metabolism (Deng et al. [2018](#page-296-0)).

Name	Alternative name	Target CDK	Structure
Palbociclib	PD-0332991	4, 6	
Abemaciclib	Verzenio	4, 6, 1, 2, 9, 14, 16	
Ribociclib	LEE O11	4, 6	
BS-181		$\boldsymbol{7}$	
$\ensuremath{\mathsf{T}\mathsf{H}\mathsf{Z}\mathsf{1}}$		7, 12, 13	
YKL-5-124		$\boldsymbol{7}$	
SY-1365	Mevociclib	$\overline{7}$	
SY-5609		$\overline{7}$	
Fadraciclib	CYC065	9	
AZD4573		9	I
CDKI-73	$LS-007$	9	
BAY1143572	Atuveciclib	9	
MC180295		$\overline{9}$	

Table 13.2 Specific CDK inhibitors

(continued)

Name	Alternative name	Target CDK	Structure
AT7519		1, 2, 4, 6, 7, 9	
RGB-286638		1, 2, 3, 4, 5, 6, 7, 9	
THZ531		12	British Pol. 7
SR-4835		12	N HN HN CI ÒС

Table 13.2 (continued)

13.5.1 Palbociclib (PD-0332991)

Palbociclib was the primary CDK4/6 inhibitor to be approved as a cancer therapy. It is a popular CDK4/6 inhibitor sold under brand name Ibrance, developed by Pfizer. In vitro studies have shown that palbociclib is effective against colon cancer, lung cancer, myeloma, and particularly breast cancers (Fry et al. [2004](#page-296-0), Qayoom et al. [2021\)](#page-298-0). Rb mutant patients are insensitive to palbociclib treatment, depicting that it has role in blocking phosphorylation of Rb to sequester E2F and thus blocks cell from bypassing restriction checkpoint (Sofi et al. [2022a,](#page-298-0) [b\)](#page-298-0). However, patients taking this drug experience neutropenia (low number of neutrophils). Combination therapeutic approach has always yielded better results. In this case, palbociclib has been used in combination with conventional drugs like tamoxifen, trastuzumab, fulvestrant, paclitaxel, and letrozole against breast cancer subtypes. These combinations and a combination anti-hormone therapy work in a synergistic way to inhibit tumor growth (Malorni et al. [2018](#page-297-0)) .

13.5.2 Abemaciclib

Abemaciclib, sold under brand name Verzenio, is a medication produced by Eli Lilly and acts as an inhibitor of CDK4/6. It is more potent against CDK4 and has shorter half-life as compared to other CDK4/6 inhibitors (Fig. [13.4\)](#page-287-0). It is a quite different one and inhibits other multiple kinases as well like CDK1/2/5/9/14/16 (Fry et al. [2004\)](#page-296-0). Therefore, it has the ability to stop cell cycle in G1 and G2 as well. Various studies have demonstrated that abemaciclib can also induce tumor regression and tumor cell apoptosis as well. However, abemaciclib is associated with neutropenia

Fig. 13.4 Abemaciclib inhibition on its targets

and GI-toxicities (Rugo et al. [2021\)](#page-298-0). Abemaciclib has shown promising results against cell line deficient in Rb which were resistant to palbociclib and Ribociclib. It is the only specific inhibitor that has been approved for monotherapy against breast cancers.

13.5.3 Ribociclib (LEE O11)

Ribociclib, a CDK4/6 inhibitor, developed by Novartis. It is structurally similar to palbociclib and is used for the treatment of certain breast cancers. Like abemaciclib and palbociclib, it is cytostatic (any agent that slows or stops the growth of cells). It is more powerful against CDK4 than CDK6 like abemaciclib. Neutropenia is associated with its administration. It is used in combination with aromatase inhibitor, letrozole, to antagonize HR+ and HER- breast cancers. Thus, the approved CDK4/6 inhibitors currently trending improved overall survival of breast cancer patients when combined with conventional therapies. Although they all inhibit CDK4/6 but they do differ in substrate specificity, pharmacokinetic properties. Using these drugs in combination therapies might help in reducing side effects (Hortobagyi [2018\)](#page-296-0).

13.6 Specific CDK7 Inhibitors

CDK7 has a bimodal role in progression of cell cycle and transcriptional activation. It is an important component of TFIIH, a general transcription factor, and mediates RNA pol. II phosphorylation at gene regulatory sequences to permit transcription. CDK7 levels are elevated in certain cancers. These findings suggest CDK7 as a potential cancer therapeutic target. Clinical work throughout the world has elucidated number of selective CDK7 inhibitors till date that are in multiple phase trials including LDC4297, BS-181, QS1189, ICEC0942, THZ1, YKL-5-124, THZ2 (Olson et al. [2019\)](#page-298-0).
BS-181 is first specific CDK7 inhibitor with ability to reduce phosphorylation of CDK7 targets thereby inhibiting cell proliferation. But because of its poor cell permeability it has been ruled out of clinical candidature (Ali et al. [2009\)](#page-295-0). Searching for alternatives leads to development of highly selective CDK7 inhibitor ICEC0942. It is an oral cdk7 inhibitor with improved drug properties. Clinically the drug is going through multiple phase trials as monotherapy and in combinatorial approach against prostate cancer, breast cancers, and triple negative breast cancer (TNBC). Its promising pharmacokinetic properties and metabolism make it a good clinical candidate (Patel et al. [2018](#page-298-0)).

THZ1 is another CDK7 inhibitor with strong anti-tumor and anti-transcriptional activity. It also inhibits activity of CDK12/13 in addition to CDK7. Even research suggests that its anti-tumor activity relies on inhibition of CDK12 and CDK13 (Olson et al. [2019](#page-298-0)). YKL-5-124 is a potent, highly selective and covalent inhibitor and does not have inhibitory effect on CDK12/13 unlike THZ1. Pre-clinical research studies have demonstrated that YKL-5-124 generates anti-cancer immune response and induces genomic instability (Olson et al. [2019\)](#page-298-0). SY-1365 is another CDK7 selective inhibitor developed by Syros pharmaceuticals derived from THZ. It has entered in phase trials for treatment of ovarian and breast cancers. SY-5609 is another selective CDK7 inhibitor with strong anti-tumor activity, developed by Syros pharmaceuticals. This drug in association with fulvestrant has been approved for clinical trials against TNBC, HR+ and HER2 negative breast cancers. Another CDK7 inhibitor LY3405105 has entered into phase trials against multiple solid advanced tumors.

13.7 CDK9 Inhibitors

CDK9 plays a crucial role in controlling transcription and ensures transcriptional homeostasis. It is normally associated with P-TEFb, a transcriptional elongation factor, modulating gene transcription by phosphorylating C-terminal domain of RNA pol. II. CDK9 dependent phosphorylation is important for processing and maturation of mRNA. CDK9 has been recognized as a valuable target for sorting cancers in which transcription is dysregulated. Moreover, dysregulation of CDK9 pathway has been observed to be associated with various solid and hematological malignancies, making it a valuable anti-cancer target. Functioning of CDK9 has advanced since its discovery and lead to the development of potential molecules as anti-malignants. Numerous selective CDK9 inhibitors have been recognized with strong anti-proliferative property such as AZD-4573, MC180295, CDKI-73, Fadraciclib, etc., some of which have entered clinical development (Borowczak et al. [2022](#page-296-0)).

Fadraciclib (CYC065), a CDK9 inhibitor with anti-proliferative activity and inhibition of CDK9 mediated transcription (Fig. [13.5](#page-289-0)). It actually reduces RNA pol. II phosphorylation via CDK9 inhibition and has shown potent anti-tumor activity in multiple cases of malignancies especially neuroblastoma. In addition to CDK9 inhibition, it also inhibits CDK2. Thus, it can also block cell cycle

Fig. 13.5 Fadraciclib inducing apoptosis

progression. Fadraciclib represses transcription of Myc oncogene and anti-apoptotic protein Mcl-1. Thus, it may prove beneficial against Triple Negative Breast Cancer (TNBC) in which Myc is over amplified (Poon et al. [2020\)](#page-298-0). Regarding combination therapy, fadraciclib has been used as a combo with other drugs resulting in a more robust anti-tumor response. Like, it has been used with temozolomide against neuroblastoma. Its combination with BCL2 inhibitors including Venetolax has shown promising efficacy against hematological malignancies especially acute myeloid leukemia (AML) (Frame et al. [2020\)](#page-296-0).

AZD4573 is a highly potent selective inhibitor of CDK9 which downregulates anti-apoptotic MCL-1, demonstrating a rapid induction of apoptosis in tumor cells. Although it can block other CDKs as well but its inhibitory effect is many times selective for CDK9. Currently its anti-cancer property is being demonstrated against hematological malignancies (Cidado et al. [2020](#page-296-0)) .

CDKI-73 is a synthetic CDK9 inhibitor, indirectly represses transcription of E2F via cdk9 inhibition. Thus, blocking G1/S transition. Further research suggests that CDKI-73 exerts pro-apoptotic effect by downregulating anti-apoptotic BCL-2 and MCL-1 via CDK9 inhibition (Borowczak et al. [2022\)](#page-296-0). BAY1143572 (atuveciclib, a benzyl sulfoximine) is another CDK9 inhibitor inhibiting proliferation of cancerous cells even at micromolar concentrations. It reduces RNA pol. II phosphorylation via cdk9 inhibition, lowering transcription of BCL2 and MCL1. Thus, inducing apoptosis also. It has exerted anti-tumor activity against TNBC, lymphomas, esophageal cancers and is currently being investigated in patients with advanced hematological malignancies. NVP-2 is an amino pyrimidine based selective CDK9 inhibitor. It has displayed anti-proliferative activity against certain leukemia's by downregulating MCL-1 and induce apoptosis. 6-bromoindirubin-3'-monoxime is another synthetic CDK9 inhibitor and CDK2 inhibitor. MC180295 is another potent selective CDK9 inhibitor that can reverse the silenced tumor suppressor genes normally silenced during malignancies (Lücking et al. [2017](#page-297-0)). It also can downregulate myc and MCL1 demonstrating significant anti-cancer activity.

Sangivamycin analogs, also called sangivamycin like molecules (SLM), are nucleoside analogs of anti-tumor and anti-retroviral compound Sangivamycin originally derived from Streptomyces rimosus. These analogs possess the same antitumor property as demonstrated in pre-clinical study of colon cancer (Cavins et al. [1967\)](#page-296-0). SLM6 is one of the analogs which inhibits CDK9 dependent phosphorylation of RNA pol. II. It can also inhibit CDK1/2, but is more potent against cdk9 as its inhibition on it results in apoptosis of cancer cells. Another analog SM3 appears to inhibit proliferation of multiple myeloma cells but is neutral toward in malignancies (Borowczak et al. [2022\)](#page-296-0). AAP1742 is another CDK9 inhibitor which decreases RNA pol. II phosphorylation and induces cell apoptosis by downregulating XIAP, BCL-2, and MCL-1. AT7519 is a versatile substance that can inhibit CDK1, CDK2, CDK4, CDK6, CDK7, and CDK9. RGB-286638 is a non-selective inhibitor of CDK1, 2, 3, 4, 5, 6, 7, 9 but increasing potency toward CDK9. It causes robust inhibition of transcription and induction of apoptosis. But its clinical development needs further investigation (Borowczak et al. [2022\)](#page-296-0). SNS-032 is another CDk inhibitor which strongly inhibits CDK9 and weakly inhibits other kinases like CDK2 and CDK7.

Thus, CDK9 inhibitors are broad spectrum inhibitors showing anti-cancer activity by repressing number of anti-apoptotic proteins and downregulating transcription. CDK9 inhibitors may complement current treatment protocols to improve efficacy. Like, these inhibitors have been used in combination therapy with other drugs mainly Doxorubicin, Cyclophosphamide, Rituximab, Bortezomib, BET-inhibitors, etc., just to enhance strength of current treatment regimes. Further most of firstgeneration CDK9 inhibitors are multipotent targeting multiple CDKs with toxicity. Novel selective CDK9 inhibitors are designed to enhance efficacy and compliance of current treatments. Although there is improvement in treatment efficacy still there are certain pharmacodynamic obstacles that need resolution.

13.8 CDK12 Inhibitors

CDK12 is a transcription related CDK regulating RNA splicing, translation, transcription, and DNA Damage Response (DDR). In association with cyclin k CDK12 regulates transcriptional elongation by phosphorylating RNA pol. II (Blazek et al. [2011\)](#page-296-0). Recently, growing evidences demonstrate involvement of CDK12 in cancers. This may be attributed to its functional role that it does in transcription and other roles. Mutation or anomalic expression of CDK12 has been detected in certain cancers, such as prostate cancer, breast cancer, ovarian cancer, etc. Research suggests that it shows both tumorigenic and tumor suppressive effect. Overexpression of CDK12 in certain cancers lead to malignancy as seen in HER2+ breast cancer, while loss of CDK12 has tumor suppressive effect because of downregulation of DDR genes in this case. Recently, it has been validated as a potential therapeutic target of cancer. In recent years various inhibitors have been developed such as Dinaciclib, THZ1, THZ531, SR-4835, etc.

THZ1 is CDK12/13 and CDK7 inhibitor with strong anti-malignant activity. It inhibits myc oncogene expression and has shown promising results in Ewing Sarcoma, ovarian cancer, and neuroblastoma. THZ531 is a THZ1 based CDK12 inhibitor that damages DNA damage repair pathway (Iniguez et al. [2018](#page-296-0)). Collaboration of THZ531 with Sorafenib has showed positive results in hepatocellular carcinoma. Dinaciclib is a multi-specific inhibitor inhibiting multiple CDKs including CDK12. It inhibits phosphorylation of RNA pol. II. SR-4835 is another CDK12 inhibitor that downpours DNA Damage repair genes and induces apoptosis. Studies have shown that loss of CDK12 enhances anti-tumor effect of PARP (Poly-ADP Ribose Polymerase) inhibitors and cell cycle inhibitors checkpoint like Chk1 inhibitor. CDK12 inhibitors in collaboration with PARP inhibitors and Chk1 inhibitor could collaborate to target cancers. Like, combination of PARP inhibitors and SR-4835 has shown synergistic effect in TNBC (Quereda et al. [2019\)](#page-298-0).

13.9 Natural Compounds Acting as CDK Inhibitors

Natural compounds from marine species, plants, and microorganisms have been recognized as non-specific CDK inhibitors. They lead to discovery of novel compounds with favorable biomedical applications like anti-cancer treatment. Several organisms have been studied as sources of these anti-cancer drugs and have proven effective for destroying tumors in various clinical settings. Here we will reveal some of naturally derived substances from marine species, plants, and microorganisms with CDK inhibitory properties (Table [13.3](#page-292-0)).

Olomoucine, a derivative of plant cytokinin, is one of the first natural CDK inhibitors obtained from cotyledons of Radish (Raphanus sativus). Primarily it was shown to inhibit CDK1 then it was discovered to inhibit CDK2, 5, 7, and 9 also. Olomoucine discovery resulted in the preparation of variety of analogs such as Roscovitine. Roscovitine (Seliciclib) is a synthetic drug inhibiting CDK2/7/9 exhibiting strong anti-proliferative effects (Vermeulen et al. [2002\)](#page-298-0). Butyrolactone-1 is known to inhibit CDK1 and CDK2. It was originally isolated from Aspergillus terreus. Butyrolactone-1 arrests both G1 and G2/M along with apoptosis induction (Kitagawa et al. [1994](#page-297-0)). UCN-01 (7-Hydroxystaurosporine) is a natural CDK1/ 2 inhibitor isolated from Streptomyces species. It arrests cell cycle in G2 by inhibiting Cyclin B-CDK1 (MPF) (Diaz-Padilla et al. [2009](#page-296-0)). Hymenialdisine lactam is another natural CDK inhibitor derived from Axinella sp, a marine sponge. It is an inhibitor of GSK-3B, CDK1/5, MEK-1, and Casein Kinase 1 (Wan et al. [2004](#page-298-0)).

Chromone alkaloids and flavoalkaloids are natural compounds known to have anti-cancer properties. One of the alkaloid Rohitukine isolated from a flowering plant Dysoxylum binectariferum led to evolution of Flavopiridol, first pan-CDK inhibitor known to inhibit multiple CDKs [66]. Indirubins are the first natural compounds used by humans as CDK inhibitors. These are derived from gastropod mollusks, indigo-producing plants, and bacteria. Indirubins were principal ingredients of traditional Chinese medication to cure Chronic myelogenous leukemia (CML). Indirubins are renowned inhibitors of CDK1/2 (Kumar et al. [2014\)](#page-297-0). Based on indirubins, synthetic substituted indirubins have been prepared including 6-bromoindirubin and 6-bromoindirubin-3'-monooxime (CDK2/9 inhibitor). Wogonin is a mono-flavonoid natural CDK inhibitor derived from Scutellaria radix. It is known to inhibit CDK2/4 and preferentially CDK9. It reduces transcription of anti-apoptotic MCL-1 by inhibiting CDK9 dependent phosphorylation of RNA Pol. II. Wogonin has shown anti-proliferative properties in experiments on Hodgkin's lymphoma, melanoma, hepatocellular and pancreatic carcinoma. Fangchinoline is an alkaloid based natural CDK inhibitor derived from roots of

Name	Source	CDK Target	Structure
Olomoucine	Raphanus	, 2, 5, 7, 9	
Butyrolactone-1	Aspergillus terreus	$\overline{1,2}$	HO
$UCN-01$	Streptomyces species	1, 2	
Hymenialdisine	Axinella sp.	1, 5, GSK-3B, CK1, MEK	NH ₂ Ö ŃН Br HÌ ö
Rohitukine	Dysoxylum binectariferum	2, 9	HO
Wogonin	Scutellaria radix	2, 4, 9	Ьн
Fangchinoline	Stephania tetrandra	4, 6	
Berberine	Berberis spp.	2,4	\int_{CH_3} CH ₃
Indirubin	Bacteria	1, 2, 4, 5	Œ n

Table 13.3 Natural compounds acting as CDK inhibitors

(continued)

Table 13.3 (continued)

vine plant, Stephania tetrandra. It is known to inhibit kinase activities of CDK2, 4, and 6 (Birdsall [1997\)](#page-296-0). Berberine is another alkaloid CDK inhibitor obtained from berberis species. It decreases the levels of CDK2/4.

Triterpenoid compounds called Limonoids including isoobacunoic acid, limonexic acid, isolimonexic acid, and methyl nomilinate derived from citrus species found to have anti-proliferative activity. Methyl nomilinate particularly inhibits CDK4/6 and blocks cell in G1 (Kim et al. [2012\)](#page-297-0). Polyphenols derived from leaf extract of mulberry plant is known to have anti-tumor activity by decreasing activities of CDK4/6/ (Chan et al. [2010](#page-296-0)). Acetylbritannilactone, a naturally occurring CDK 2/4/6 inhibitor derived from British yellowhead, Inula britannica. Flavonoids such as quercetin, isoquercetin, and rutin, from buckwheat seeds and bran downregulate CDK2/7. Polyphenol epigallocatechin-3-gallate (EGCG), a component of green tea causes cell cycle arrest by inhibiting CDK2/4/6 (Shankar et al.

[2007\)](#page-298-0). Another polyphenol, namely, Resveratrol derived from grapes well known for its inhibition on cell cycle progression by reducing the levels of CDK2/4 and 6. Proanthocyanidins, from Vitis vinifera, have shown to inhibit cell proliferation of epidermoid carcinomas decreasing kinase activities of CDK2/4 and 6. Indole-3 carbinol from Brassicaceae family has shown anti-proliferative activity against colon cancer, endometrial cancer, prostate cancer, and breast cancer. It induces cell cycle arrest by inhibiting CDK2/4/6. Propolis or bee glue is a resinous mixture derived from Apis mellifera has shown to inhibit tumor growth by downregulating CDK4. Apigenin, a dietary flavonoid abundant in fruits and vegetables has shown to inhibit tumor growth in mice models. It inhibits CDK2/4/6 (Bailon-Moscoso et al. [2017](#page-296-0)).

Thus, it is fair to say that naturally occurring compounds derived from plants, microorganisms, and other organisms have tendency to inhibit tumor growth and are modulators of cell cycle regulation. Moreover, there is a certain need of scrutiny of possible targets of these naturally derived compounds and their analogs to increase selectivity and specificity of treatment. To address resistance of tumor cells against these natural compounds a combinatorial approach should be taken. Thus, trials and studies are definite requirements to authenticate clinical approach of these natural compounds either singly or in various combination therapeutic approaches.

13.10 Summary

Cell division is an important aspect and fundamental process of various biological activities including tissue regeneration, homeostasis, pathological processes, various physiological activities, and tumorigenesis. The cell cycle is a succession of events by which a cell duplicates its genome and culminates with the formation of two daughter cells. Cyclin-dependent kinases (CDKs) are key drivers of eukaryotic cell cycle that promote the synthesis of DNA and chromosome segregation by phosphorylation of their substrates. Forfeiture of control over cell cycle is a trademark of cancer. Progression from one phase to next phase of cell cycle requires cyclins and CDKs. Replenishing cell cycle control via CDK inhibition might open some windows for development of targeted cancer therapy. CDKs are not just involved in the cell cycle but also have other important functions, such as insulin production, transcription, neuronal functions, and glycogen synthesis. As CDKs are critical regulators of transcription, cell cycle, and other important biological functions, inhibitors against these CDKs have come into existence to treat multiple malformations associated with CDK dysfunctions. For over 20 years, many compounds have been developed against the abnormally activated CDKs and some are under clinical trials. In this chapter, we have summarized various categories of CDK inhibitors that can be used to treat various malignancies.

Pan-CDK inhibitors are the first generation of CDK inhibitors including Roscovitine and Flavopiridol. The primary role of the pan-CDK inhibitors is to induce cell cycle arrest and hamper cell proliferation by obstructing CDKs activity. Because of their low specificity and toxicity most of the first-generation pan-CDK inhibitors failed in the clinical trials [16–18]. Therefore, second-generation pan-CDK inhibitors such as RGB-286638, AT519, Dinaciclib, TG02, Roniciclib, P276-00, etc., were developed with improved selectivity and reduced cytotoxicity, and have shown good activity in pre-clinical trials. CDK4/6 inhibitors are earliest specific inhibitors that are FDA approved for clinical use. These selectively target CDK4/6 with narrow toxicity to normal cells. These inhibitors mainly target cyclin D-CDK4/6-Rb pathway preventing phosphorylation of Rb (tumor suppressor protein), which is otherwise important for cell cycle progression. Currently three oral agents are approved by FDA as CDK4/6 inhibitors, namely; abemaciclib, palbociclib, Ribociclib. Specific CDK7 inhibitors like LDC4297, BS-181, QS1189, ICEC0942, THZ1, YKL-5-124, THZ2 are currently recognized as potential inhibitors of CDK7 that inhibit CDK7 induced transcriptional activation. Numerous selective CDK9 inhibitors have been recognized with strong anti-proliferative property such as AZD-4573, MC180295, CDKI-73, and Fadraciclib. Naturally derived substances from marine species, plants, and microorganisms with CDK inhibitory properties such as triterpenoids, olomoucine, proanthocyanidins, and many more.

Thus, numerous synthetic and naturally derived CDK inhibitors have been recognized as anti-tumor drugs that can inhibit the malignancies arising from dysregulation of normal CDKS. Moreover, there is need of scrutinizing more such compounds which will prove effective drugs against different malignancies.

13.11 Further Reading

The readers can further read about "CDK Inhibitors for treatment of breast cancer" by going through the following research papers:

<https://onlinelibrary.wiley.com/doi/abs/10.1002/med.1021>

<https://www.ncbi.nlm.nih.gov/pmc/articles/PMC8167670/>

For more insights about the topic, we would suggest detailed findings from the books of

(Mir MA, 2022) [https://doi.org/10.1016/C2021-0-02565-7,](https://doi.org/10.1016/C2021-0-02565-7) [https://doi.org/10.](https://doi.org/10.1016/C2022-0-00074-X) [1016/C2022-0-00074-X](https://doi.org/10.1016/C2022-0-00074-X)

(Mir MA, 2021) [https://doi.org/10.52305/WXJL6770,](https://doi.org/10.52305/WXJL6770)

from cancer.net website, [https://www.cancer.net/cancer-types/breast-cancer/](https://www.cancer.net/cancer-types/breast-cancer/types-treatment) [types-treatment](https://www.cancer.net/cancer-types/breast-cancer/types-treatment)

Readers are advised to look following video lectures for better understanding of this chapter:

[https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=BB9jjK7BHkg)=BB9jjK7BHkg [https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=vEe3lBduckE)=vEe3lBduckE

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CDKs in Cell-Cycle Progression and Therapeutic Strategies in Human 14

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14.1 Introduction

Breast Cancer

Over the last 20 years, numerous scientific studies have illustrated that there is a relationship between cancer and dysregulation in the cell cycle (Malumbres and Barbacid [2009](#page-317-0)). Cancer cells develop genetic instabilities that cause uncontrollable mitogenic signaling and faulty anti-mitogenic signaling, resulting ultimately in uncontrolled growth (Malumbres and Barbacid [2001](#page-317-0), Massagué [2004](#page-317-0)). Furthermore, many cancers develop epigenetic changes, which contribute to further abnormalities, and also chromosome instabilities, which cause numeric alterations in chromatin, i.e., resulting in GIN and CIN, respectively (Kastan and Bartek [2004](#page-316-0), Kops et al. [2005](#page-316-0), Mehraj et al. [2021a](#page-317-0), [b\)](#page-317-0). Such changes, when combined, result in higher sensitivity toward the aggregation of further gene modifications which lead to tumorigenesis and the development of far more malignant morphologies, as well as higher proliferative benefits. Unplanned growth, genomic instability, and chromosomal instability are the three cell cycle abnormalities that have been controlled, overtly or covertly, via CDKs dysregulation (Malumbres and Barbacid [2005\)](#page-317-0). Attachment of governing components called cyclins is required for CDK activation. These proteins are generated and eliminated at specified moments throughout the cell growth, allowing for precise control of autophosphorylation activity. Both cyclin-dependent kinases and cyclins are encoded by numerous human genes (13 and 25 loci) (Malumbres and Barbacid [2005\)](#page-317-0). But, only a few of these protein complexes are engaged throughout to drive the whole process of the cell cycle. These are CDK1,2,4,6 and ten cyclins of 4 distinct categories. Cancer abnormalities typically counter distinct CDK–cyclin complexes, leading to either prolonged

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multiplication or unplanned re-merge into the cell cycle, 2 characteristics shared by the majority of human cancer cells (Malumbres and Barbacid [2001\)](#page-317-0). Procedures that detect probable abnormalities in DNA synthesis and chromosomal segregation regulate normal cell cycle continuance. These procedures are called cell cycle checkpoints. Via regulation of CDK function, activation of such checkpoints causes cell cycle arrest. This restriction permits each and every cell to correct such damage errors correctly and prevent them from being passed into the new cells. This DNA damage checkpoint shields tissues against environmental and genetic genotoxic agents. Such agents prompt numerous defects in the genetic material of an organism and lead to abnormal growth. Such changes are detected via signaling pathway, which results in CDK suppression and cycle arrest (Bartek et al. [2004](#page-314-0)). Cells might undergo senescence or experience death if correction is failed due to severe DNA damage or gene mutations in the checkpoint or DNA repair machinery. Genomic instability, on the other hand, can originate from a build-up of Gene mutations, resulting in cell mutation and tumorigenesis (Kastan and Bartek [2004](#page-316-0)). The spindle assembly checkpoint (SAC), a pathway primarily governs CDK1 activities as well as prevents chromosomal segregation errors, is in charge of appropriate chromosome segregation once the DNA has been replicated (Kops et al. [2005](#page-316-0)) (Perez de Castro, I., de Carcer, G. & Malumbres, M. A census of mitotic cancer genes: new insights into tumor cell biology and cancer therapy. Carcinogenesis 28, 899–912 (2007); Musacchio, A. & Salmon, E. D. The spindle-assembly checkpoints in space and time. Nature Rev. Mol. Cell Biol. 8, 379–393 (2007)). This faulty checkpoint may result in inconsistent transmission of the genetic information, which, if left uncorrected, might aid cancer growth via amassing numeric chromosomal instabilities (Malumbres and Barbacid [2009](#page-317-0)).

14.2 CDKs and Cell Cycle Progression

One of the fundamental pathological features of tumor is the dysregulated cell cycle, which results in uncontrolled cell expansion, so discovering treatment strategies to stop cell growth is a commonly used strategy for treating tumors. To multiply, a cell should first go via a sequence of processes; all of these processes are governed by a large number of regulatory proteins, and this sequence of processes is called the cell cycle – a mechanism that is largely consistent throughout eukaryotic organisms (Hartwell [1974](#page-316-0), Sofi [2021\)](#page-319-0). All the phases of a cell division cycle should always be completed in order, including high precision across all critical steps exerted via signaling gates, called cell cycle checkpoints, thus preventing the progression in the event of, say, DNA damages to the cells (Kastan and Bartek [2004](#page-316-0)). Passage from one phase of the cell cycle into the other phase is mainly managed by the family of proteins called cyclin-dependent kinases (CDKs), and these CDKs are in turn activated by the other family of proteins called cyclins (Sofi et al. [2022a,](#page-319-0) [b](#page-319-0)). Both CDKs and cyclins form complexes so as to progress the cell cycle from one stage to another stage in a precise manner. Having such importance in the continuance of the cell division, these CDKs were used as promising drug targets for cancer drugs, but

such first-generation CDK inhibitor drugs do not provide beneficial clinical results (Lapenna and Giordano [2009](#page-317-0), Malumbres and Barbacid [2009](#page-317-0), Qayoom et al. [2021\)](#page-318-0). Non-specific pan-CDK suppression was revealed to be harmful to non-cancer cells, at least to some extent (Asghar et al. [2015,](#page-314-0) Mehraj et al. [2022a](#page-317-0), [b](#page-317-0), [c](#page-317-0)). The process of the cell cycle comprises of four distinct phases; these phases are G1 phase/G0 phase, S phase, G2 phase, and M phase, respectively. G1 and G2, called gap phases, are the basic regulatory checkpoints, controlled by various CDKs and their cyclin partners, and these checkpoints determine whether the cell enters the S phase and proceeds toward the mitosis stage of the cell cycle. CDK4 and CDK6, two kinases both these CDKs govern the G1 phase. D1, D2, and D3 type cyclins bind to these CDKs and modulate their catalytic activities (Massagué [2004](#page-317-0), Cardoso et al. [2018](#page-314-0), Qayoom et al. [2022](#page-318-0)). D1, D2, and D3 type cyclins bind and stimulate CDK4 and CDK6 during the initial G1 phase in reaction to mitogenic stimuli, and the complex cyclin D-CDK4/6 then preferentially phosphorylates as well as deactivates the members of the Retinoblastoma-associated proteins (pRb), like p110 (encoded by RB1), the linked pocket protein p107 (encoded by RBL1), and p130 (encoded by RB2), so as to permit the expression of E1 and E2 of the class E cyclins (Malumbres and Barbacid [2009](#page-317-0), Malumbres [2014\)](#page-317-0). Additionally, the above pocket proteins are phosphorylated by CDK2–cyclin E complexes, resulting in subsequent deactivation (Lundberg et al. [1998](#page-317-0), Harbour et al. [1999,](#page-316-0) Mehraj et al. [2022a,](#page-317-0) [b,](#page-317-0) [c\)](#page-317-0). E-type cyclins are only available in the early phases of DNA synthesis, yet their distribution is closely regulated throughout the whole process of the cell growth. Such finding, including the capacity of predominate negative mutants plus anti-CDK2 antibodies effectively stop the cellular proliferation in certain human cancer cell lines, suggests that CDK2 is indeed a basic controller of the cell division cycle (Pagano et al. [1993](#page-318-0), van den Heuvel and Harlow [1993](#page-319-0)). Throughout the later stages of the gap1 stage, E1 and E2 are stimulated, that in turn activates CDK2 via binding with it, which was previously sequestered via 2 inhibitors of the CDKs, i.e., members of the CIP and KIP families. CDK2 is also activated by CDC25A (cell division cycle 25 A), that removes phosphorylation from the CDK2 (Watanabe et al. [1995](#page-319-0)). Moreover, when CDK2 is activated it is having the capacity to fully phosphorylate a considerable array of proteins that are necessary for the cell division cycle continuance, genome duplication, histone synthesis as well as centrosome replication (Ma et al. [2000](#page-317-0), Okuda et al. [2000,](#page-318-0) Sever-Chroneos et al. [2001](#page-318-0)). CDK2/E functional complex also has the capability to modulate retinoblastoma to reverse the G1- S checkpoint at the late stages of G1-S phase of the cell cycle that in turn results in the commencement of the S-phase of cell cycle, via forming a + ve feedback complex. CDK2 and CDK4/6 in combination activity regulate the cell division cycle transition toward Synthetic phase, also known as the "restriction barrier," where mitogenic proteins are no longer needed to pass the ongoing cell cycle. Toward its end, cyclin A displaces cyclin E and generates a novel product, CDK2/cyclin A, in which cyclin E is promptly destroyed via ubiquitylation facilitated by the F-box/WD string polypeptide 7 (FBXW7) (Ma et al. [2000](#page-317-0), Okuda et al. [2000](#page-318-0)). Through phosphorylation E2F1 and CDC6, the cyclin A/CDK2 pair ends the S phase and pushes the cell cycle transition from S to G2. Cyclin A then stimulates CDK1, causing the cell to undergo

Fig. 14.1 Different phases of the cell cycle and CDKs along with their cyclin members that control the whole of the cell cycle and its progression

the M phase transition. The complex cyclin B/CDK1 maintains CDK1 activity throughout mitosis (Fig. 14.1). Such phosphorylation of stimulated CDK1 causes the nuclear envelope to disintegrate, its chromosomes to condense, as well as the bipolar spindle to assemble. Several spindle assembly checkpoints regulate the transition from mitotic metaphase to anaphase, and anaphase is triggered by a reduction in CDK1 activity caused by the breakdown of cyclin B via Anaphasepromoting Complex/Cyclosome (Gavet and Pines [2010](#page-315-0), Sofi et al. [2022a](#page-319-0), [b\)](#page-319-0). Dysregulation in the CDK1 expression levels allows chromosomal segregation as well as the accomplishment of cytokinesis and karyokinesis. Out of all the CDKs, CDK1 seems to be the only CDK, that is needed for cell cycle advancement, as it commences mitosis and assures that all the important steps in cell duplication proceed in the correct order with higher precision (Santamaría et al. [2007\)](#page-318-0). In living organisms, Gap1 to Synthetic phase transition is said to be operated by the two main mechanisms, i.e., CDK4/6/D and CDK2/E (Satyanarayana and Kaldis [2009,](#page-318-0) Aguilar and Fajas [2010\)](#page-314-0). Along with it, these two CDKs phosphorylate RB, via releasing its inhibition of elongation factor 2 (E2F), thus allowing the cell cycle to enter into the S phase (Kato et al. [1993,](#page-316-0) Vermeulen et al. [2003](#page-319-0), Lim and Kaldis [2013,](#page-317-0) Mir et al.

[2020a](#page-317-0), [b](#page-318-0)). Recent data, meanwhile, suggest that Cdk4/D is not required for G1/S transition in typical developmental stages. 3-D-type cyclins are found in animals (D1, D2, and D3). Ignoring the fact that almost all organs grow properly and cells multiply, CycD1/D2/D3 triple-knockout mice survived until mid-gestation, when most died due to cardiac defects (Finn et al. [2016a,](#page-315-0) [b](#page-315-0), Mehraj et al. [2021a](#page-317-0), [b\)](#page-317-0). Among all of these D-type cyclins, cyclin D1 is the most well-studied of them. The production of cyclin D1 is characterized like a "delayed-early" reaction to mitogenic signal, and also its expression is controlled by complicated promoter and enhancer interaction (Matsushime et al. [1991\)](#page-317-0). Despite being quite extensively explored, cyclin D3 follows the same pattern of cyclin D1, whereas the programming of cyclin D2 is less clear, in spite of the fact that cyclin D2 can induce multiplication in some circumstances (Sicinski et al. [1996,](#page-319-0) Kushner et al. [2005](#page-316-0), Yu et al. [2005,](#page-319-0) Cooper et al. [2006,](#page-315-0) Spofford et al. [2006\)](#page-319-0). Diverse D-type cyclin paralogs were probably synthesized to increase CDK6 or CDK4 activity, reflecting tissue-specific elements of cellular homeostasis (Ciemerych et al. [2002,](#page-315-0) Lim and Kaldis [2013\)](#page-317-0).

14.3 Dysregulation of CDKs in Breast Cancer

Several of the important principles in CDK biology were found more than two decades back when studying fungus and the simultaneous divisions observed in fetal samples; in fact, the discoveries out of these investigations contributed to the awarding of a Noble Peace prize to all these scientists (Hartwell [2002,](#page-316-0) Nurse [2002\)](#page-318-0). CDK1 has been observed as a critical controller of mitosis, while CDK2 was found to be additionally more important for DNA synthesis in eukaryotic organisms. CDK6 and CDK4 are susceptible to a variety of major physiological cues and are responsible for almost all of the management, controlling of the cell cycle entrance in multicellular organisms. Following that, in contrast to CDKs that effectively drive cell cycle advancement (including CDK1, CDK2, CDK4, and CDK6), and a new family of CDKs which control transcription (CDK9, CDK7, and CDK8) has been discovered (Drapkin et al. [1996,](#page-315-0) Bregman et al. [2000,](#page-314-0) Lim and Kaldis [2013](#page-317-0), Nemet et al. [2014,](#page-318-0) Qayoom et al. [2021\)](#page-318-0). Such kinase proteins exhibiting post-mitotic roles in specialized tissue environments, like CDK5, have been discovered. Because CDKs play such an important part in cell differentiation monitoring, it is not unexpected that almost all malignancies do have characteristics that disrupt the cell cycle's typical regulation (Malumbres and Barbacid [2001](#page-317-0)). And along with it, from about last two decades several medications specifically targeting the CDKs functioning have evolved and are also being tried in various clinical settings (Asghar et al. [2015\)](#page-314-0) (Table [14.1\)](#page-304-0).

Because the CDK4/6–RB pathway is so important for cell cycle entrance, there is no surprise that a large number of malignancies use it to enhance multiplication (Sherr [1996](#page-319-0), Bartkova et al. [2006](#page-314-0), Burkhart and Sage [2008,](#page-314-0) Knudsen and Knudsen [2008\)](#page-316-0). Upregulation of p16INK4A by many of the oncogenes serves as just an inherent checkpoint against abnormal development (Serrano et al. [1997](#page-318-0), Michaloglou et al. [2005](#page-317-0), Bartkova et al. [2006](#page-314-0), Burd et al. [2013\)](#page-314-0). Upregulation of

S. No	Stage of the cell cycle	Cyclin/ CDK complex	Major roles	
01.	Gap 1 stage	Cyc D/Cdk4/6	This complex controls the cell growth as well as continuation of the cell cycle Possible target of this particular complex is the Rb. This Rb acts as an inhibitor of the cell cycle continuation and via CycD/Cdk4/6 complex this Rb is phosphorylated and in turn helps in cell cycle growth and progression.	
02.	Gap1 to S stage	Cyc E/Cdk2	Main function of this complex is the G1 to S transition This complex also phosphorylates Rb, thus promoting cell cycle progression as well as directing the commencement of the genome duplication It promotes the expression of Cyc A, thus allowing the progression toward the S phase of the cell cycles	
03.	Synthetic stage	Cyc A/Cdk2	Main function of this complex is the commencement of the DNA duplication This complex also inhibits the production of new complexes that aid in replication, assuring that one cell contains only one copy of DNA. This whole inhibition process is carried out through phosphorylation of the CDC6, main component of the replication process	
04.	Gap2 to mitotic stage	CycA/Cdk1	It controls the whole of the M-phase of the cell cycle and regulates and maintains the CycB/Cdk1 complex	
05.	Mitotic stage	Cyc B/Cdk1	A crucial regulator of the mitotic stage transition as well as takes part in the phosphorylation and stimulation of numerous downstream protein kinases	

Table 14.1 Cyclin/CDK complexes and their function in the cell cycle

p16INK4A causes Retinoblastoma (RB) to inhibit proliferation and cellular proliferation, resulting in oncogene-induced cell death. To allow continued carcinogenic growth, such cell death should be reversed, that happens in cancers via two main mechanisms: deletion of p16INK4A or lack of Retinoblastoma (Witkiewicz et al. [2011,](#page-319-0) LaPak and Burd [2014\)](#page-316-0). Deletion of p16INK4A separates carcinogenic burden with CDK4 or CDK6 expression inhibition, while absence of Retinoblastoma disrupts cell division cycle regulation downwards. The cell growth arrest caused by p16INK4A requires RB, which is comparable with just this hypothesis (Lukas et al. [1995\)](#page-317-0). Furthermore, because RB-negative cancers release supraphysiological amounts of p16INK4A, they remain immune to increased p16INK4A production (Witkiewicz et al. [2011\)](#page-319-0). Targeted carcinogenic stimulation of CDK6 or CDK4 expression is a different way to disrupt the CDK6/4–RB pathway. Several cancers exhibit dysregulated cyclin D1 expression levels, genetic displacement, and gene expansion (Motokura et al. [1991,](#page-318-0) Jiang et al. [1992,](#page-316-0) Buckley et al. [1993,](#page-314-0) Bartkova et al. [1994](#page-314-0), Knudsen et al. [2006\)](#page-316-0). The particular carcinogenic potential of cyclin D1 is supported by a multitude of diverse data sets (Sherr [1995,](#page-319-0) Diehl and therapy [2002](#page-315-0), Knudsen et al. [2006](#page-316-0)). Moreover, it has been seen that there are increased expression levels of both CDK4 and CDK6 in various types of cancer (Asghar et al. [2015\)](#page-314-0).

Furthermore, the various methods of the CDK4/6/D complex dysfunction are generally restrictive and often cancer-type-specific. Lack of Retinoblastoma protein, for instance, is a characteristic of lung cancer, cyc D1 dysregulation is prevalent throughout BC, whereas p161NK4A deletion is fairly prevalent in GBM (Glioblastoma) (Asghar et al. [2015\)](#page-314-0). May interfere in the cell multiplication via increasing growth of the cells, that is a biochemical pathway, in contrast to phosphorylating Retinoblastoma (Romero-Pozuelo et al. [2020](#page-318-0)). Certain cancers overexpress cyclin D1, suggesting that deregulation of CDK4/6:Cyc D1 axis plays a role in BC (Arnold and Papanikolaou [2005\)](#page-314-0). Recently, CDK2 dysregulation has been discovered to be common in several cancers (Scaltriti et al. [2011\)](#page-318-0). Several malignancies, especially uterus and ovary tumors, have cyc E1 or cyc E2 augmentations as a significant carcinogenic activity (Etemadmoghadam et al. [2013,](#page-315-0) Karst et al. [2014](#page-316-0), Kuhn et al. [2014\)](#page-316-0). Synthetic phase is initiated without the necessity for CDK6 or CDK4 expression when cyc E is expressed ectopically (Lukas et al. [1997,](#page-317-0) Knudsen et al. [1998,](#page-316-0) Caldon et al. [2012\)](#page-314-0). As a result, it is thought that Cyc E amplification could be cancer-causing in the same way (specifically, eliminating the biological necessity for CDK6/4 activity to start E-Cyc E production). p27KIP1, a CDKI, is dysregulated in several malignancies; however, chromosomal deletion of p27KIP1 is very uncommon (Chu et al. [2008](#page-314-0), Hershko [2010\)](#page-316-0).

14.4 CDKs as Therapeutic Targets of Breast Cancer

BC is now highly prevalent but also the utmost common element of mortality and morbidity in women, preceded by pulmonary and colorectal cancer in terms of deaths and conversely in prevalence rate. Breast cancer instances and fatalities in women are predicted to reach 2,800,000 and 627,000, correspondingly, over the globe (Bray et al. [2018\)](#page-314-0). Hormone receptors, including ER and PR and HER2, represent key predictive and therapeutic indicators for endocrine therapy and anti-HER2 targeted therapy. Progesterone and Estrogen represent key markers of hormone treatment sensitivity, so they are found in about 75% of all BC manifestations (Hammond et al. [2010,](#page-316-0) Tsang and Tse [2020](#page-319-0)). Estrogen-positive tumors are almost always Progesterone-positive, with a tiny percentage expressing single hormone receptor positives, which means they are more malignant but less receptive to hormone treatment (Cui et al. [2005,](#page-315-0) Ethier et al. [2018\)](#page-315-0). Increased expressions of the HER2 and associated proteins are seen in 15% of BCs and are linked toward meager diagnosis, a severe clinical history, as well as a predicted responsiveness to anti-HER2 therapeutic strategies (Bedard et al. [2009\)](#page-314-0). The remaining 10–15% of BC patients, on the other hand, include triple-negative breast cancer (TNBC). Such a form of BC has a significant risk of recurrence and has a poor prognosis. Currently available medicines are ineffective in treating it (Yam et al. [2017\)](#page-319-0). For individuals with BC, targeted treatment therapy extends their lives. Furthermore, pre-clinical research has revealed that stimulation of the CDK6/CDK4–cyclin D group results in excess cell growth, rendering pharmacologic suppression of the unit a promising treatment option (Pernas et al. [2018](#page-318-0), Sobhani et al. [2019\)](#page-319-0). In case of the

Fig. 14.2 Various types of CDK inhibitors and the CDK that is specifically inhibited by these inhibitors

estrogen-positive type of BC, the basic approved drug is the CDK inhibitors such as CDK6/CDK4 inhibitors (Fig. 14.2). Such subtype of BC maintains the functioning of the retinoblastoma, specifying that the function of inhibitor of CDK4/CDK6 remains as an integral part (Finn et al. [2009\)](#page-315-0). Furthermore, another of the Estrogen receptors direct substrates is CCND1 (encoding cyclin D1), which is typically overexpressed in estrogen-positive breast cancers. Several randomized controlled trials have proven that combining CDK6/CDK4 inhibitors with traditional antiestrogen treatments seems to have a synergistic impact (Bedard et al. [2009](#page-314-0), Finn et al. [2009](#page-315-0), Finn et al. [2015,](#page-315-0) Finn et al. [2016a,](#page-315-0) [b](#page-315-0), Hortobagyi et al. [2016,](#page-316-0) Goetz et al. [2017,](#page-316-0) Yam et al. [2017,](#page-319-0) Pernas et al. [2018,](#page-318-0) Sobhani et al. [2019](#page-319-0)). Three main CDKIs (CDK6/4 inhibitors) that are accepted by the US FDA include Palbociclib, Abemaciclib, and Ribociclib. All of the three drugs are used against hormone receptor-positive or human epidermal receptor 2-negative BCs. When these inhibitors are employed in combination with hormone therapy, the best result in case of survival rates is that decreased progression levels are seen in women with hormone receptor-positive and HER2-negative breast cancers (Finn et al. [2015,](#page-315-0) Finn et al. [2016a,](#page-315-0) [b](#page-315-0), Hortobagyi et al. [2016](#page-316-0), Dickler et al. [2017](#page-315-0), Goetz et al. [2017,](#page-316-0) Sledge et al. [2017](#page-319-0)). Unfortunately, such first-generation CDKIs (Flavopiridol or UCN-01) has not shown substantial therapeutic benefits (Shapiro [2006](#page-318-0)). CDKIs are basically classified into two categories including first-generation CDKIs and

second-generation CDKIs. R-Roscovitine, Flavopiridol, and UCN-01 belong to first-generation CDKIs that are generally least sensitive as well as possess a wide range of specificity against a number of CDKs. Second-generation CDKIs are more precise against various CDKs and are also safer as compared to first-generation inhibitors (Finn et al. [2016a](#page-315-0), [b\)](#page-315-0).

14.5 CDK4/6 Inhibitors as a Monotherapeutic Approaches

14.5.1 Palbociclib

Palbociclib, a Cdk inhibitor, has been tested in 41 patients having metastatic tumors during stage-I dosage progression trial, that had been pre-screened to see if they have Retinoblastoma activity (Rb+) (Flaherty et al. [2012](#page-315-0)). It is the primary CDK4/6 inhibitors approved for use in humans. It is not even an extremely specific Cdk4/6 blocker, and it also inhibits Cdk4 or Ckd6 similarly well. It enters a steady condition in 8 days after reaching the maximal level around 6 and 12 h (Morikawa and Henry [2015\)](#page-318-0). This drug is typically prescribed as a 125-milligram capsule administered as 1 tablet daily with meals for 3 weeks, preceded by just a 1-week break from medication [\(https://www.fda.gov/](https://www.fda.gov/) accessed on 13 June 2021). It has been demonstrated in the preclinical trials that Palbociclib possesses the capacity to decrease the proliferation of ER+ BC cells and can function effectively in a synergistic way with anti-estrogens as well as reverts hormone resistance (Finn et al. [2009\)](#page-315-0). Such outcomes prompted the development as well as application of PALOMA-1, a transparent, randomized, solid evidence trial comparing Palbociclib with Letrozole vs Letrozole solely as the primary-line treatment for postmenopausal women with ER+, HER2-advanced BC (Finn et al. [2015](#page-315-0)). This substituent revealed that Palbociclib in combination with Letrozole resulted in a considerably progression-free life than Letrozole solely; these results enabled the FDA's rapid authorization of Palbociclib with Letrozole for such an application within USA (Beaver et al. [2015](#page-314-0), Mir [2015](#page-317-0)). Palbociclib has been derived out of a series of pyridopyrimidine chemicals, owing because of its advantageous physical and pharmacological features (Fry et al. [2004](#page-315-0)). It specially and in equal manner inhibits the activities of Cdk4 as well as Cdk6 Cyc-D1 kinase activities (Fry et al. [2004\)](#page-315-0). Also, it is extremely selective toward Cdk4/6, having little efficacy versus a variety of all other kinases. In a variety of RB-competent carcinoma cells, especially BCs, Palbociclib reduces cell proliferation and decreases replication at low nM levels. Rb-negative BC cells show little response as predicted (Fry et al. [2004](#page-315-0)). The selectivity of this drug in addressing Cdk4/6/Cyc D seems critical because it allows cancerous processes to be inhibited whereas healthy cells remained fairly quiescent and non-cycling phase (Dean et al. [2010](#page-315-0)). It is hardly remarkable, from what we have seen about different features of BC intrinsic subgroups (Perou et al. [2000\)](#page-318-0), as well as the modifications within Retinoblastoma axis that they cause (Brigham et al. [2012\)](#page-314-0), suggesting Cdk4/6 knockdown susceptibility is determined by biological profile. In an experiment, it was found that the susceptibility of Palbociclib in several

Fig. 14.3 Three main CDK inhibitors and their mechanism of action

BC subgroups, that there is a strong relation between the subgroup of BC and the susceptibility toward this particular drug (Finn et al. [2009](#page-315-0)), and in this experiment, it was found that the ER+ BC cells having luminal characters are more susceptible versus the basal type cancer cells that are resistant toward this drug (Mir and Agrewala [2008,](#page-317-0) Finn et al. [2009](#page-315-0)). In tamoxifen-resistant BC disease models, Palbociclib efficiently dephosphorylates Rb and suppresses cellular proliferation (Fig. 14.3) (Finn et al. [2009](#page-315-0), Thangavel et al. [2011](#page-319-0)).

Palbociclib can possibly prevent multiplication as well as promote senescence in BC models which are resistant toward hormone treatment, culminating in a sustained cell-cycle arrest that differs with that of Estrogen inhibitors (Thangavel et al. [2011\)](#page-319-0). Cdk4/6 suppression is a valuable and realistic treatment option for cancers that have developed resistance to hormone treatment (Thangavel et al. [2011\)](#page-319-0). The use of Palbociclib has been well explored in a range of human cancers (Fig. [14.4](#page-309-0)).

Specific research has been carried out on 17 patients out of which five individuals possessing recurrent MCL show metastasis-free life for 1 year or more when given Palbociclib medication, having 1 CR and 2 PRs (Leonard et al. [2012](#page-317-0)). Two individuals having aggressive Retinoblastoma-positive BC achieved partial response in a phase II evaluation of Palbociclib (DeMichele et al. [2013\)](#page-315-0). Apart from the Palbociclib, there are other Cdk4/6 blockers such as Ribociclib, Abemaciclib that have reached stage 3 clinical trials depending just on the results of the Palbociclib (Finn et al. [2016a,](#page-315-0) [b,](#page-315-0) Mir and Mehraj [2019](#page-317-0)).

Fig. 14.4 Pathway utilized by the approved drug Palbociclib to specifically inhibit the cell cycle progression as well as cellular proliferation

14.5.2 Ribociclib

After Palbociclib, another drug approved by the US FDA is named Ribociclib. It belongs to the category of the first line of CDKIs and it especially inhibits Cdk4/6 (Goel et al. [2016](#page-315-0), Hortobagyi et al. [2016](#page-316-0)). This drug is administered orally with a beginning dose of 600 milligrams once a day for about 21 continuous days and ensures a 7 days off medication [\(https://www.fda.gov/](https://www.fda.gov/) accessed on 13 June 2021). It has IC50s around 10 nanometers and 40 nanometers, correspondingly. Food and Drug Administration has increased overall authorization of the inhibitor to encompass pre/perimenopausal women with Estrogen-positive/Human epidermal receptor 2-negative metastatic BC (Vora et al. [2014](#page-319-0)). One hundred twenty-eight cancer patients having Retinoblastoma-positive malignant solid tumors and malignancies took part in the first stage I dosage progression trial of separate Ribociclib. On a 21 of 28 day pattern, the maximum tolerated dose and recommended phase second dose was set at 900 milligrams and 600 milligrams, correspondingly (Infante et al. [2014\)](#page-316-0). The main dose-limiting toxicities include elevated creatinine, tiredness, vomiting, electrolyte imbalance, DVT, oral thrush, neutropenia, thrombocytopenia etc. (Infante et al. [2014](#page-316-0), Qayoom and Bhat [2020\)](#page-318-0). It is an ATP-competitive tiny medication which binds to an ATP-binding groove of Cdk6 and Cdk4, forming strong interactions with proteins inside the ATP-binding groove (Asghar et al. [2015,](#page-314-0) Mir et al. [2020a,](#page-317-0) [b](#page-318-0)). It suppresses RB-positive Breast cancer cells by blocking RB

phosphorylation, so it promotes cell-cycle disruption in tumor cells, comparable with Palbociclib (Barroso-Sousa et al. [2016](#page-314-0)).

14.5.3 Abemaciclib

Abemaciclib is indeed an extremely specific Cdk4/6 antagonist with potentially additional advanced therapeutic properties, such as potent Cdk9 suppression (Chen et al. [2016](#page-314-0)). Abemaciclib treatment of BC cell lines resulted in a concentrationdependent suppression of pRb as well as the consequent arrest of cells within G1 stage, which prevented multiplication and reduced the cell count (Gelbert et al. [2014\)](#page-315-0). Abemaciclib has been shown to be effective both on its own and in combination with other medications in a pre-clinical research. Smaller concentrations of Abemaciclib allow it to permeate the blood–brain boundary and that could have a prolonged half-life compared to Palbociclib. As a result, such qualities are being researched for use in anticancer treatments toward individuals having malignant tumors (Raub et al. [2015,](#page-318-0) Tolaney et al. [2017](#page-319-0)). It was observed in stage-I trials that when Abemaciclib was used as a treatment strategy either as a monotherapy (200 milligrams) or in combination with hormonal therapy (150 milligrams) the total success rate was 31% but also that of 61% against extensively pre-treated hormone positive breast tumors, it showed either a response or steady illness that can end up to 6 months, and this indicates that this drug could be useful as a monotherapeutic approach (Spring et al. [2016](#page-319-0), Mir et al. [2022a,](#page-318-0) [b](#page-318-0)). The suggested beginning dosages include 150 milligrams two times in a day when combined with Fulvestrant or 200 milligrams two times daily when used as monotherapy [\(https://](https://www.fda.gov/) www.fda.gov/ accessed on 31 May 2021). Frequent side effects of all grades of therapy include Diarrhoea (52%), nausea (33%), tiredness (21%), vomiting (21%), and neutropenia (19%) (Shapiro et al. [2013](#page-318-0)). Such findings led the FDA to designate Abemaciclib like a "Innovative Treatment" having "Green Card" certification in Oct 2015 for the treatment of metastatic BC (Lilly [2015](#page-317-0)).

14.6 CDK4/6 Inhibitors as a Combinational Approach

Numerous stage 3 trials are now being conducted, but it is anticipated that Cdk4/6 blockers are most beneficial when used in conjunction with other treatments [\(ClinicalTrials.gov.](http://clinicaltrials.gov) From: <[https://clinicaltrials.gov/](https://clinicaltrials.gov/%3e)>. Accessed January 2016). The ultimate level of treatments for hormone receptor-positive BC is hormone therapies. Approximately 70% of all mammary tumors are hormone receptorpositive, meaning they exhibit one or both of the progesterone receptors (PgR) or the estrogen receptor (ER). Hormonal therapies prevent HR-positive BC cells from using hormonal signaling to proliferate and multiply, although up to 50% of hormone-positive BCs develop resistance to it over time (acquired resistance) or show it for the first time (de novo) (Lange and Yee [2011\)](#page-316-0). Primary luminal B BCs and hormone therapy-resistant BC cell lines both show stimulation of the cyc

D-CDK4/6-INK4-Rb axis but might be responsive to Cdk4/6 suppression (Thangavel et al. [2011](#page-319-0)). Along with it, there are up to 35% of BCs in which CCND1 overexpression has been recognized as well as in some cases Cyc D amplification is also recognized (Gillett et al. [1994](#page-315-0), Dickson et al. [1995,](#page-315-0) Musgrove et al. [2011](#page-318-0), Brigham et al. [2012](#page-314-0)). In clinical studies on animal models, Palbociclib has been found to prevent the multiplication of BC cells that are sensitive to hormonal therapies (Thangavel et al. [2011](#page-319-0), Mehraj et al. [2022a](#page-317-0), [b,](#page-317-0) [c,](#page-317-0) Mir et al. [2022a](#page-318-0), [b\)](#page-318-0). Additionally, it has been seen that when Ribociclib is combined with Fulvestrant or Letrozole, it inhibits the cancer progression in Estrogen-positive xenograft animal models (O'Brien et al. [2014\)](#page-318-0). ALOMA-1/TRIO-18, a first randomized stage 2 preclinical trial testing Palbociclib, a Cdk4/6 suppressor in combination with the Letrozole, aromatase blocker, as a standard therapy (Stage II trial) against postmenopausal female having metastatic ER-positive BC, HER2-ve metastatic BC (Total patients $= 165$). After this trial, it has been seen that this combination therapy nearly doubles the progression-free survival 10.2 months to 20.2 months as compared to Letrozole alone possessing low progression-free survival in such breast cancer patients (Finn et al. [2015\)](#page-315-0). None of the biomarkers was found which can predict sensitivity toward Palbociclib; enhanced progression-free survival was seen despite the CCND1 overexpression and lack of $p^{161NK4A}$ (Finn et al. [2015](#page-315-0)). On the basis of various beneficial findings of the PALOMA-1, FDA expedited the authorization of the Palbociclib + Letrozole in combination as a primary line of therapy against the advanced hormone receptor-positive BC (U.S. Food and Drug Administration. Palbociclib accelerated approval. From: <<http://www.fda.gov/Drugs/Information> On Drugs/Approved Drugs/ucm432886. htm>. Accessed July 2015). Furthermore, the use of Palbociclib in prophylactic and therapeutic situations is being studied. For instance, a stage III evaluation of adjuvant Palbociclib combined with conventional hormonal therapy is currently being conducted in individuals having HR-positive, HER2-negative BC who still have illness following neoadjuvant chemotherapy and surgeries ([ClinicalTrials.](http://clinicaltrials.gov) [gov.](http://clinicaltrials.gov) From: <[https://clinicaltrials.gov/](https://clinicaltrials.gov/%3e)> Accessed January 2016). Like the Palbociclib, all other Cdk4/6 inhibitors like Abemaciclib, Ribociclib etc. are used in combination with various hormonal therapies and are under various trial stages to be used against hormone receptor-positive or human epidermal receptor factor 2-negative BCs.

Pre-clinical research yields inconsistent results when it comes to the advantages of mixing CDKIs especially Cdk4/6 with conventional cytotoxic chemotherapeutic treatment. In a lung cancer mouse xenograft, Abemaciclib improved gemcitabine's anticancer effects (Gelbert et al. [2014\)](#page-315-0). Furthermore, combining Palbociclib with the mTOR complex 1 blocker Everolimus prevented the development of NSCLC cells in such a highly efficient manner (Gopalan et al. [2013\)](#page-316-0). When Letrozole or Fulvestrant were added, monotherapeutic agent such as Ribociclib's ability to prevent tumorigenesis in Estrogen receptor-positive xenograft models significantly increased. The three-drug combo of Letrozole or Fulvestrant, Ribociclib, as well as a PI3K blocker (buparlisib [BKM120] or Alpelisib [BYL719]), showed the best tumor suppression (O'Brien et al. [2014](#page-318-0)). On the basis of such studies, a growing rise of

using CDKIs in three-drug combo with hormonal therapies as well as with pi3K/ AKT/mTOR pathway inhibitor. The effectiveness of first-line three-drug combo with Ribociclib is being investigated in a randomized stage 1b research in patients having metastatic Estrogen receptor-positive, HER2-negative BC (NCT01872260) $\frac{1}{\text{ClinicalTrials.gov}}$. From: $\frac{\text{thtps}}{\text{clinicaltrials.gov}}$. Accessed January 2016 (Juric et al. [2016\)](#page-316-0). Several other combination therapeutic strategies are used against various types of BCs; some are under study and some have been approved by the FDA, but still, more research is needed in order to discover new medications to tackle such a devastating disease.

14.7 Novel CDKIs

A greater focus in finding specific unique CDKIs has been sparked by the current study of new CDK antagonists targeting CDKs as well as an improved knowledge of different Breast cancer subtypes and associated negative effects (Table 14.2). Keeping this in view, numerous scientists have examined various inhibitors versus CDKs. Inhibitive role played by piper longumine in case of metastatic estrogen receptor-positive breast cancer thus inhibits the cancer growth (Jeong et al. [2019](#page-316-0)). In case of triple-negative breast cancer, SR-4835 can specifically suppress Cdk 12 and 13 and repress cell growth, according to research carried out by Quereda et al. [\(2019](#page-318-0)). It has been seen that the pandurate A possesses several attributes including anti-tumor, G0/G1 arrest via inhibiting Cdk4/CycD1 complex (Liu et al. [2018a,](#page-317-0) [b\)](#page-317-0). Vanicoside B, a natural product, plays a main role as an inhibiting agent but can specifically inhibit Cdk8 as well as arrest the cell cycle in HCC38 and MDA-MB-321 cells (Kim et al. [2019](#page-316-0)). Current findings reveal that the MTH-3, a water-soluble bis(hydroxymethyl) alkanoate analog of curcumin, inhibits Cdk1 activity so as to stop the G2 to M stage from progressing in MDAMB-231 cell lines (Chang et al. [2018\)](#page-314-0). Along with it, Galangi a compound obtained from genus Alpinia possesses

S. No	Novel CDK inhibitor	Obtained from	Function	
01.	Piper longuimine	Plants	It specifically suppresses the proliferation as well as metastasis of the ER-positive BC	
02.	SR-4835		Specifically inhibits both CDK12 and CDK13, in turn inhibiting the cell growth	
03.	Pandurate A		Anticancerous via inhibiting the CDK4/CycD1	
04.	Vanicoside B	Plants	Anticancer against TNBC Plays main role as an inhibiting agent but can specifically inhibit Cdk8 as well as arrest the cell cycle in HCC38 and MDA-MB-321 cells.	
0.5.	Galangi	Plants	It possesses anti-tumor attributes as well as opposes the growth of MCF-7 cells, that in turn results in cell death via inhibition of Cdk2,1 and, that results in arrest of the cell division cycle	

Table 14.2 Various types of Novel CDKIs and their function against breast cancer

anti-tumor attributes as well as opposes the growth of MCF-7 cells, which in turn results in cell death via inhibition of Cdk2,1 and arrest of the cell division cycle (Liu et al. [2018a](#page-317-0), [b\)](#page-317-0).

In conclusion, breast cancer is a difficult condition that could be addressed with the CDKIs indicated in the upper text as combined with several medications, producing a positive therapeutic outcome. For example, research have shown that the combination of Palbociclib with paclitaxel has a substantially greater impact than either drug alone at hampering cell proliferation and amplifying apoptosis (Cretella et al. [2019\)](#page-315-0). At last, we can conclude that various CDKIs can be combined with various novel therapies that can result in a beneficial and breakthrough discovery in the medical field that can specifically stop cell cycle progression as well as can amplify apoptosis and can slow down the process of proliferation and metastasis of various types of BCs.

14.8 Summary

Breast cancer is one of the deadly diseases associated with several characteristics that usually benefit this disease to progress as well spread to other tissues. One of the basic hallmarks associated with this disease is the dysregulated cellular cycle due to dysfunctional cyclin-dependent kinases. So, from the very past, this cell cycle is targeted as a therapeutic target, especially CDKs and in CDKs usually CDK6/4 is the main target of anticancer medications as this complex is the key controller of cellular proliferation. Nowadays, there are numerous drugs that can suppress or inhibit uncontrollable cellular proliferation of cancerous cells via targeting various CDKs, but none of the drugs is promising. So, there is a continuous need for novel drugs as well as therapies that can specifically amplify the process of apoptosis of cancerous cells as well as can slow down the uncontrolled process of cellular proliferation. In the near future, we are expecting some promising drugs that can have both the characteristics of amplifying as well as slowing down the processes of apoptosis and proliferation, respectively.

14.9 Further Readings

Modern textbooks on cell cycle and its regulation and CDKs as therapeutic strategies in various human breast cancers include those by (Giordano and Soprano [2002\)](#page-315-0), (Sledge and Baselga [2012,](#page-319-0) Choudhary [2018](#page-314-0)), (Kaldis [2006\)](#page-316-0), (Kaldis [2010](#page-316-0)), and (Afroze et al. [2020\)](#page-314-0). Also, go through Chap. [3](#page-65-0) of Giordano and Soprano [\(2002](#page-315-0)); it informs us about the CDK inhibitors and therapeutic strategies in breast cancer, and Chaps. [5](#page-114-0) and [6](#page-143-0) of Choudhary ([2018\)](#page-314-0) gives a brief idea about natural and synthetic CDK inhibitors that can result as potentially helpful medicinal strategies to curb this fatal disease. Video lectures by EMSD Open Cancer Horizons

Video lecture by HMP Education \cdot 25-Feb-2017 <https://www.sciencedirect.com/science/article/pii/S205970292032278X> [https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=RXsWAvdWG0s)=RXsWAvdWG0s

Video lecture by European Medical Journal · EMJ 24-Apr-2015

[https://www.emjreviews.com/oncology/video/cdk-4-6-inhibitor-palbociclib-for](https://www.emjreviews.com/oncology/video/cdk-4-6-inhibitor-palbociclib-for-the-treatment-of-metastatic-breast-cancer/)[the-treatment-of-metastatic-breast-cancer/](https://www.emjreviews.com/oncology/video/cdk-4-6-inhibitor-palbociclib-for-the-treatment-of-metastatic-breast-cancer/)

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CDk Inhibitor for Treatment of Breast
Cancer 15

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15.1 Introduction

Breast cancer, defined as the uncontrolled growth of mammary glands, is a global disease that influences over 1.3 million people every year and accounts for around 23% of all cancers (Aguirre-Ghiso et al. [2001](#page-337-0)). The average survival time for women with metastatic breast cancer (MBC) is slightly over 2 years (Mehraj et al. [2021\)](#page-339-0). Among different types of breast cancers, hormone receptor-positive (HR+) breast cancer is the most common subtype, and it affects thousands of people each year. CDKs are the important regulators of cell cycle and cell division (Altucci et al. [1997\)](#page-337-0). Cell undergoes divisions in a cyclic manner (cell cycle) where it passes through different stages and at phase transitions different check points play an important role to allow or block the cell division progression. Retinoblastoma (Rb) the tumor suppressor protein controls the cell cycle at a crucial and early stage by binding to E2 transcription factor (E2F) and inhibiting the G1/S transition and its (Rb) inactivation allows cell division to continue (Asghar et al. [2015\)](#page-337-0). However, upon binding of cyclin D with CDK4/6, the Rb gets phosphorylated and allows the E2F to be released and thereby resulting in cell cycle progression. The involvement of hormone estrogen in the CDK4/6–Rb axis develops estrogen receptor-positive (ER+) breast cancer has also been reported by accelerating the G1/S transition (Balduzzi et al. [2014,](#page-337-0) Qayoom et al. [2021\)](#page-340-0). The estrogen binds to ER-alpha triggers cyclin-D1transcription, followed by CDK4/6 activation and Rb phosphorylation, which leads to cell cycle progression. The untimely binding of

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cyclin and CDKs in the G1 phase has a potential to cause uncontrolled cellular growth and the introduction of CDK (4/6) inhibitors has been a revolutionary area of research for the treatment of HR + MBC. Due to their specificity, selectivity, and reversible CDK4/6 inhibitory activity, drugs like Palbociclib, Ribociclib, and Abemaciclib have recently got Food and Drug Administration (FDA) approved for HR+ MBC in combination with particular endocrine therapy (Mir [2022a](#page-339-0), [b,](#page-339-0) Qayoom et al. [2022\)](#page-340-0). Targeting tumors with CDK inhibition is an attempt to resume cell cycle regulation has proven to be an appealing alternative in treating breast cancers and has helped to increase the survival of cancer patients (Austreid et al. [2014](#page-337-0)).

Cancer cells show resistance to the targeted therapy which can be of two types; de-novo or in-vivo referring to built-in mechanisms of resistance and resistance developed over time to targeted therapies, respectively (Mir [2022a,](#page-339-0) [b](#page-339-0)). Need of ER/PR expression is the most commonly identified mechanism of de novo endocrine therapy resistance. This route may be exploited by cancer cells through a variety of ways, including changes in CDK inhibitor proteins (Asghar et al. [2015\)](#page-337-0);).

15.2 The Cell Cycle and its Function

Many malignancies use the cell cycle as a target for tumor development (Asghar et al. [2015\)](#page-337-0). The two most important physiological processes that govern tissue homeostasis are cell division and cell death, and any abnormalities in two important processes lead to the development of immortal cancerous cells. During the division of a cell, it undergoes four different phases/stages including G1, S, G2, and M phase. G1 represents the first resting phase during which the majority of cell advancement and maturation take place. The cell cycle then either enters the DNA synthesis phase or S phase. After synthetic phase, cell can undergo another phase of rest $(G2)$ where it grows and synthesizes proteins and organelles to be used in the next mitotic phase (M phase) which represents the actual divisional phase of cell cycle, leading to the formation of two daughter cells. The newly formed daughter cells may start a fresh cycle of division by continuing G1/S transition and continue the process or may undergo a temporary resting phase (G0) depending on the type of cells and involving special regulatory checkpoints (Asghar et al. [2015](#page-337-0)). Critical regulatory checkpoints involved in the gap stages (G1 and G2) are shown in Fig. [15.1](#page-322-0) (Sofi et al. [2022a,](#page-340-0) [b\)](#page-340-0).

15.3 Cell-Cycle Control and Cyclins

The primary requirement for cell cycle involves the succeeding activation and inactivation of the serine or threonine CDKs in eukaryotes (Crasta et al. [2006\)](#page-338-0). These enzymes contain a CDK catalytic subunit whose expression is sustained all over the cell cycle, as well as a regulatory subunit whose activity is at different stages is regulated by transcriptional regulation, subcellular localization, and protein degradation etc. (Sherr [1996](#page-340-0), Sofi et al. [2022a](#page-340-0), [b\)](#page-340-0). The activation of D-type cyclins (cyclins D1, D2, and D3) by mitogenic stimulation of growth-arrested cells is

Fig. 15.1 Stages of typical Cell cycles and its phases. Between the G1 and S phases of interphase, the restriction point occurs. Between the G2 and M phases, the G2-M checkpoint occurs. During the M phase, there is a spindle checkpoint. Each phase's key cyclins are highlighted here in this figure

thought to be the major activity of these molecules, which link extracellular messages to the cell cycle and its mechanisms. The D-type cyclins bind to CDK (4/6) preferentially and phosphorylates critical downstream substrates, primarily pRb and other proteins including p107 and p130 (Dyson [1998](#page-338-0)). The partial phosphorylation of Rb allows bound transcription factors, particularly those of the E2F family, to be released, which then bind to the upstream regulatory elements of genes whose transcription and function are required for S phase advancement. Because cyclin E1 is an E2F target gene, partial phosphorylation of retinoblastoma protein (pRb) causes cyclin-E protein expression and the creation of active cyclin E-Cdk2 complexes in the mid-to late-G1 phase. The inhibitory effect of pRb on G1 to S phase development is eliminated when it is completely phosphorylated. The D cyclins titrate the balance of the Kip inhibitors, $p21$ Waf1 = Cip1 andp27Kip1, between cyclin E–Cdk2 complexes, in which they forbid kinase activity, and cyclin D–Cdk4/6 complexes, in which they act as stabilizing assembly factors, in addition to this primarily transcriptional mechanism control G1 phase cyclin–Cdk complexes (Mehraj et al. [2022a](#page-339-0), [b](#page-339-0)). These processes ensure that the D-type and E cyclins work together in order to control cell cycle progression after mitogenic activation. As a result, genetic alterations that disrupt this homeostatic process at any level are likely to result in the deprivation of normal growth regulation leading to oncogenesis.

15.4 Cyclin-Dependent Kinases: Role in Cell Cycle

Cyclin-dependent kinases (CDKs) are a family of serine/threonine kinases that work by forming complexes with another family of proteins called cyclins. In this heterodimer complex, cyclins act as the regulatory subunits whereas CDKs act as catalytic subunits. Five important CDKs including CDK1, 2, 3, 4, and 6 show direct involvement, while as CDKs including CDK7, 8, 9, 10, and 11 show an indirect involvement in the cell cycle (Fig. 15.2). The cell cycle is controlled and managed by specific cyclins and CDKs which regulate cell cycle at different checkpoints during the cell cycle progression. Cyclins-D are associated with CDK4 and CDK6 where (D1, D2, D3) is encouraging the cell cycle to advance from G1 to the S phase. The E2F gene family, which is dormant when coupled with the Rb, must finish essential gene transcription before undergoing G1-S transition (Asghar et al. [2015\)](#page-337-0). Important role played by cyclins and dependent kinases in phosphorylating the Rb and releasing E2F leading to cell division is a route that is often exploited by cancer cells in different ways (Mehraj et al. [2022a,](#page-339-0) [b](#page-339-0)).

Fig. 15.2 CDKs are accountable for cell cycle progression. Anti-proliferative checkpoints decrease CDK4 and CD6 activity or elevate the expression of the CDK4 and CDK6 inhibitor p16INK4A, whereas mitogenic signals activate CDK4 and CDK6 and encourage entrance into the cell cycle
Two important cyclin-dependent kinases 4 and 6, (CDK4 and CDK6) phosphorylates numerous critical substrates, including the tumor suppressor retinoblastoma protein, permit the E2F transcription factors to coordinate a gene expression program. Both of these kinases are involved in transcriptionally activating type-E and type-A cyclins, where CDK4 activates cyclin E and CDK6 activates cyclin A. It also activates CDK2, which phosphorylates RB and also the starts replication of its DNA. Checkpoints can reduce CDK2 activity directly or by involving inhibitor proteins like p21CIP1 and p27KIP1 also known as CDK interacting protein/kinase inhibitory proteins (CIP/KIP). CDK1–Cyc A and CDK1–Cyc B complexes arise after DNA replication is completed, and then phosphorylates the target in the G2 phase. The default mechanism of cell division involves activation of CDK1–CycB complex and moves toward mitotic phase in the absence of any DNA damage and after proper chromosomal segregation. There are, however, effective checkpoints to regulate CDK1 and control or stop mitotic progression. Entering into the anaphase and generating two daughter cells in the new G1 phase of the cell cycle necessitate the subsequent breakdown of CycB. RB is dephosphorylated during M-G1 transition, making cell cycle once again susceptible to mitogenic and anti-proliferative signals (Mehraj et al. [2022a](#page-339-0), [b\)](#page-339-0).

15.5 Proliferation of Breast Epithelial Cells and Cyclins

G1-cyclin expression and regulation in mammary epithelial cells were first studied in cultured, normal, and cancerous human breast epithelial. The fact that "Normal" cells were produced from basal epithelial cells, which were not known to give rise to breast carcinomas at the time, and the breast carcinoma cells emerged from breast luminal epithelial cells, confused the interpretation of these researches. Both D1 and D3 cyclins were expressed in the later cells, but not D2, which appeared to be restricted to cultures of "normal" basal epithelial cells (Bartek and Lukas [2003](#page-337-0)). The expected transcriptional activation of cyclin-D1, D3, and E in early, middle, and late phases of G1, respectively, with concurrent formation of active Cdk complexes and progression into S phase was observed when cancer cell lines (breast) were stimulated with numerous growth factors, including EGF, IGF, and heregulin families (Sherr and Roberts [2004](#page-340-0)).

Studies of actions of estrogens and progestins, which were previously thought to regulate cell cycle progression through impacts on G1 phase progression, revealed some new cell cycle regulatory mechanisms. The essential part of estrogen in the enhancement of breast cancer has sparked research into the relationship between estrogen and the cell cycle machinery (Sherr and Roberts [1999](#page-340-0)). Estrogen-induced transcriptional activation of c-myc, cyclin D1, cyclinD1–Cdk4, cyclin E–Cdk2 complexes, pRb, and cell cycle progression in breast cancer cells arrested in G0/G1 by prior treatment with estrogen antagonists (Poon et al. [1994\)](#page-340-0). The fact that these processes could be blocked by antisense oligomers or antibodies to cyclin D1 and that inducible production of cyclin D1 or c-myc could recapitulate the effects of estrogen in this paradigm suggested that cyclin D1 played a key role (Prall et al.

[1998,](#page-340-0) Mir [2015](#page-339-0)). The activation of cyclin E–Cdk2 occurred in the mid-G1 phase of the response to estrogen and was not accompanied by significant changes in cyclin E protein levels. Rather, cyclin is a protein that takes part in cell division.

15.6 Breast Cancer and Cyclins

Depletion of p21Waf1 from various complexes appears to be the primary activator of E–Cdk2. This effect was caused by p21Waf1 sequestration into recently established cyclin D1–Cdk4 complexes at the expense of cyclinE–p21Waf1–Cdk2 inhibitory complexes induced by anti-estrogen, as well as estrogen-mediated inhibition of p21Waf1 transcription, which allowed newly synthesized cyclin E forming E-Cdk2 complex in the absence of any inhibitor (Prall et al. [1998\)](#page-340-0). Since c-myc is elevated within the first hour of estrogen stimulation, the latter process could be the result of c-myc-mediated transcriptional repression of p21. Role of estrogen is shown in Fig. 15.3.

Progestins are growth inhibitory and cause the arrest of the cell cycle in G1 phase in some model systems. Down-regulated cyclin D1 and E, along with activation of Cdk inhibitor p18Ink4c, are associated with growth arrest. This INK4 inhibitor prevents the development of complex between cyclin D and Cdk4, resulting in the cyclin–Cdk–inhibitor complexes being relegated and the availability ofp27Kip1 being increased, allowing inhibitory cyclin E–Cdk2-p27Kip1complexes to form (Swarbrick et al. [2000](#page-340-0)). Following progestin administration, both cyclin D–Cdk4 complex and cyclin E–Cdk2 activities are suppressed, causing decreased pRb and G1 arrest (Musgrove et al. [2001\)](#page-339-0). Hence steroids such as estrogen and progesterone act as important regulators of cell cycle and can promote or inhibit cell cycle development by interconnecting with various targets in the pRb pathway. As a

Fig. 15.4 Diagrammatic representation of the function of CDK4/6 inhibitors in cancer cells. External mitogenic signals encourage CDK4/6 and cyclin D complex formation. The release of the E2F, G1 to S phase transition, and the hyperphosphorylation of RB1 are all made possible by the CDK4/6-cyclin D complex, which promotes cell development. The phosphorylation of RB1, which is still coupled to the E2F transcription factor, is inhibited by CDK4/6 inhibitors such as Palbociclib, Ribociclib, or abemaciclib, which shows to cell G1/S cycle arrest and the reduction of cell growth

result, disruptions in this system significantly regulate these mechanisms, as well as steroid sensitivity and responsiveness. Such changes could have far-reaching implications in developing a novel breast cancer therapy.

Anti-estrogens known to cease cell cycle in G0/G1 phase are still used for treating breast cancer (Sutherland et al. 1983). Their effects on certain molecules in the pRb pathway have piqued people's interest. Reduced expression of D1 cyclin, inactivation of its complex with Cdk4 (cyclinD1–Cdk4), and reduced phosphorylation levels of Rb are all linked to anti-estrogen-induced cell cycle arrest (Agarwal et al. [1995](#page-337-0)) (Fig. 15.4). Inhibition of cyclinE–Cdk2 in Synthetic phase also dependents on the recruitment of p21Waf1 to cyclinE–Cdk2 complexes, as indicated by the studies of Carroll et al. 2000 (Carroll et al. [2003](#page-338-0)). Less expression levels of cyclin D1 gene, which is relying on anti-estrogen suppression of c-myc gene expression, is required for recruiting p21Waf1 to cyclin E–Cdk2 complexes. Indeed, antisense oligonucleotide inhibition of c-myc expression to levels that resemble anti-estrogen-induced decreased expression is sufficient to trigger the same cascade of events described above for anti-estrogen inhibition of breast cancer cell proliferation (Casimiro and Pestell [2012](#page-338-0)). Since p27Kip1 abrogates antiestrogen-induced cell cycle arrest in MCF–7 cells, it is also required for anti-estrogen-induced cell cycle arrest (Mir and Mehraj [2019](#page-339-0))((Chen et al. [1997\)](#page-338-0). However, antisense therapy of p21Waf1 causes a

decrease in p27Kip1 protein level, but not the other way around, implying that p21Waf1 causes cyclinE–Cdk2 inhibition and p27Kip1 accumulation.

Recent research has discovered discrepancies in the effects of numerous antiestrogens on cell cycle arrest. Tamoxifen is known to arrest cells in early G1 phase, pure steroidal antiestrogen like ICI 182780 appears to arrest in G0 stage. By transcriptionally inhibiting the p130/E2F4 complex, the accumulated hyperphosphorylated E2F4, and insensitivity to mitogenic growth stimuli define this condition (Carroll et al. [2003\)](#page-338-0). Since transduction of p27Kip1 into SERM-treated cells produces quiescence and resistance to growth factor mitogen, induction of p27Kip1 by the pure antiestrogen appears to be crucial for induction of the G0 state. The observation that MAP kinase activity, possibly due to c-erbB receptor overexpression, may contribute to antiestrogen resistance by down-regulating p27Kip1 lends weight to this concept (Donovan et al. 2001). Antiestrogen resistance and total insensitivity to progestins result from overexpression of c-myc in breast cancerous cells (Mir et al. [2020a](#page-339-0), [b](#page-339-0)) (Venditti et al. [2002\)](#page-340-0). High expression levels of cyclin D1 causes an initial insensitivity to antiestrogen-mediated growth arrest, but this effect fades with time, whereas over-expression of cyclin E1 had minimal influence on antiestrogen sensitivity in vitro (Hui et al. [2002\)](#page-338-0). In contrast, cyclin D1 confers practically total resistance to progestin-induced growth inhibition, whereas cyclin E1 has a strong but less pronounced effect (Musgrove et al. [2001\)](#page-339-0). Hence, overexpression of cyclin D1 and E1 and downregulation of p21Waf1 and p27Kip1 modify the sensitivity of breast cancer cells to therapeutically relevant hormone-responsive breast cancer therapy in vitro.

15.7 Role of Cyclins in Carcinogenesis of Mammary Glands

In vivo research using genetically altered mice have provided more intuition into the involvement of cyclins in breast cancer. Several labs have found that mammary gland development in transgenic mice producing D- and E-type cyclins is controlled by promoters found in epithelial cells of mammary glands, especially the mouse mammary tumor virus long terminal repeat promoter (MMTV-LTR). Mammary gland development is disrupted in cyclin D1 transgenics, with enhanced proliferation and precocious lobuloalveolar development, which is typical of early pregnancy followed by adenocarcinoma (Fu et al. [2004,](#page-338-0) Mir et al. [2020a,](#page-339-0) [b](#page-339-0)). Cancers occur in roughly 75% of mice after an 18-month latency period, indicating that cyclin D1 is a feeble oncogene in contrast to activated c-neu, Ha-ras, and c-myc, which produce tumors at three, six, and eleven months, respectively, when overexpressed under the control of MMTV-LTR (Muller et al. [1988](#page-339-0)). These findings also show that for cyclinD1 to exert its carcinogenic potential, other genetic processes may be required. More recently, it was discovered that MMTV-cyclin D1transgenics lack the p16 Ink4A expression pulse associated with normal mammary gland involution, implying that this defect may result in an enlargement of the stem cell population responsible for long-term proliferation (Gartel et al. [2001](#page-338-0)).

In contrast to the precocious lobuloalveolar development produced by cyclin D1, MMTV-driven cyclin D2 overexpression in mammary tissues results in enhanced cell proliferation in the pregnant gland but partial or full inhibition of alveolar differentiation. This was accompanied by a decrease in cyclinD1 isoform abundance and an increase in p27Kip1 expression, which could explain the phenotype given the significance of cyclin D1 function in normal alveologenesis (Kornberg [2005\)](#page-339-0). Overactivation of cyclinD2 resulted in a modest tumor frequency, with only 19% of mice getting tumors (Kong et al. 2002) and because the cyclinD2 gene is generally methylated in breast cancers, the significance of these findings to breast cancer deserves additional exploration (Egloff and Murphy [2008](#page-338-0)). These findings could imply that when cyclin D2 is overexpressed in the luminal epithelium, it can mimic the actions of cyclin D1 in causing carcinogenesis in this experimental paradigm. To know about the similarity index in functionality of D1 and D2, more studies are needed. Data from mice that only express one D-type cyclin suggest that there is a large amount of redundancy (Cowling and Cole [2010](#page-338-0)). Cyclin D2, on the other hand, exhibits a distinct selectivity for Cdk activation, preferentially binding and activating Cdk2 in human breast epithelial cells and being ineffectual in connecting with transcription factors and stimulating gene expression, a characteristic that appears to be unique (Swarbrick et al. [2000\)](#page-340-0). As a result, more research into the involvement of cyclin D2 in mammary cancer is needed. Studies of cyclin D3's effects on mammary carcinogenesis have been anxiously sought because it is frequently overexpressed in cancer and is linked to high-grade breast tumors (Russell and Nurse [1987](#page-340-0)). Compared to their cyclin-D1 counterparts, MMTV-cyclin-D3 mice showed normal mammary gland growth and involution after breastfeeding, according to a recent study.

However, after many pregnancies, these mice developed mammary carcinoma at a significant rate, with 73% of animals developing mammary carcinoma. Surprisingly, these mice developed squamous cell carcinoma rather than the more common adenocarcinoma seen in the other two models (Pirkmaier et al. [2003\)](#page-340-0). These findings show that overexpression of any of D-type cyclins in the mammary gland can lead to cancer formation, but there are gene-specific variations. These differences can be seen in the varied impacts on normal breast development and the cancer phenotypes, such as D1 and D2 cyclins causing adenocarcinoma whereas cyclin D3 causes a mainly squamous phenotype. These three Cyclin-D type cyclin genes effect epithelial cell development and differentiation of mammary glands.

In transgenic mice, the effects of cyclin E1 overexpression were studied in which the human gene was produced under the control of the ovine beta-globulin promoter, resulting in mammary-specific expression during pregnancy and lactation (Bodrug et al. [1994](#page-337-0), Mir [2022a](#page-339-0), [b](#page-339-0)). During the first pregnancy, this expression resulted in hyperplastic papillary projections, the bulk of which was removed during subsequent mammary gland involution after weaning. After 8–13 months, 10% of female mice developed adenocarcinomas, which exhibited considerably higher expression of cyclin E at both mRNA and protein levels, additionally cyclin E-associated kinase activity. Cyclin E1 is a "weak" oncogene in mammary epithelium, similar to D-type cyclins. The capacity of cyclin D1 overexpression to cause

Fig. 15.5 Diagrammatic representation showing cyclin and cyclin-dependent kinase regulated cell cycle programming; A mitotic signal activating cyclin-dependent kinases, CDK4 and CDK6 which phosphorylates numerous critical substrates, including the tumor suppressor retinoblastoma (Rb), permit the E2F transcription factors to coordinate a gene expression program and also activates CDK2, which phosphorylates RB and also the starts replication of its DNA

breast cancer, as well as the requirement of cyclin D1 activity for cell cycle development raises the question of whether cyclin D1 is required for tumor formation (Fig. 15.5). The basic ductal structure grows correctly at puberty in cyclin D1 deficient mice without the development of alveoli during pregnancy and failure of lactation (Fan et al. [1997\)](#page-338-0)). This issue does not appear to be due to a requirement for cyclin D1, as epithelium lacking both cyclin D1 and p27Kip1 may help in normal mammary gland development, so does the epithelium with cyclin D1 replaced by cyclin E1 (Holstege et al. [1998](#page-338-0))) (Gegonne et al. [2008](#page-338-0)); instead, it appears that the necessity is for epithelial cell growth to occur in a timely manner. Surprisingly, cyclin D2 and D3 are not necessary for proper mammary gland development, as gland development is normal in cyclin D2 and D3 null mice, despite the fact that overexpression of these genes causes breast cancer, as previously stated (Cahill et al. [1998\)](#page-338-0). Crossing cyclin D1 null mice with animals expressing distinct mammary oncogenes under the control of the MMTV promoter has revealed new information on cyclin D1's role in various oncogenic pathways. The discovery that mammary tumorigenesis is hampered in absence of cyclin D1 in c-neu and Ha-ras transgenics, but not in c-myc and Wnt-1 MMTV transgenics, identified cyclin D1 as a crucial component of several mammary carcinogenesis pathways (Yu et al. [2001\)](#page-340-0). Further research into the molecular basis of these effects will provide a better understanding of how distinct oncogenes interact in a tissue-specific setting.

Because the deficit in lobuloalveolar development occurs in the presence of potentially non-essential D2 and D3 cyclins, previous investigations in cyclin-D1 null mice clearly show that cyclin D1 serves a highly particular role in breast development. This effect is considered to be caused by the failure of other cyclins in the mammary gland to u-regulate, rather than by the cyclins functional variety. In cyclin D2 and D3 deficient mice, carcinogens produced by MMTV-neu and

Cyclins	Binding partner	FUNCTIONS
D1/D2/	Cdk4/6	Cell cycle progression
D ₃		
D ₂	Cdk2	Cell cycle progression
Е	Cdk2	Cell cycle progression
D ₁	$ER.C/EBP\beta$	Transcriptional activation
D1	AR, beta2/neuro D, DMP1, Myb, MyoD, SP1,	Transcriptional activation
	STAT3,TR	
D1	AIB-1.GRIP-1,SRC1a	Co-activation
D1	CBP/p300,P/CAF	Chromatin remodeling
D1	$TAF\pi250$	It helps in recruiting the enzyme,
		RNA polymerase II
E	AR	Co-activation

Table 15.1 Potential and functional roles for G1 cyclins

MMTV-ras remain unaltered, and cyclinE1 could replace cyclin-D1 in breast progression and its tumorigenesis (Mir and Mehraj [2019\)](#page-339-0). These results recommend that tissue-specific cyclin-D1 expression regulation and timing are crucial for these processes. In MMTV-neu-induced and MMTV-ras-induced breast tumors, upregulated expression of cyclin D1 without the involvement of cyclins D2 and D3 was detected, whereas Wnt-1 and c-myc-induced tumors expressed both cyclins D1 and D2 (Yu et al. [2001\)](#page-340-0). Given the evidence that these two oncogenes can activate cyclinE–Cdk2 via different routes in breast cancer cells andt hat c-myc can stimulate cyclin D2 expression and sequestration ofp27Kip1 into Cdk 4/6 complexes, the absence of dependence of c-myc-induced malignancies on cyclin D1 is likely not surprising (Hartgrink et al. [2009\)](#page-338-0).

More recent results from transcript profiles of distinct oncogene-induced mammary malignancies in mice corroborate that cyclin D1 is upregulated in MMTV-neu and –ras induced tumors, whereas cyclins D2, E1, and E2 are upregulated in MMTV-myc-induced tumors (Sofi et al. [2022a](#page-340-0), [b\)](#page-340-0)). The ability of Wnt-1 to cause premature and early development of mammary gland and cancer in cyclin D1 null animals suggests that Wnt-1 signaling via beta-catenin and enhanced cyclinD1 gene expression is not the primary mechanism of activation, as has been shown in other cell types.

While this pathway is most likely intact in breast cancer development, these findings point to Wnt-1 activation of cyclin D2 as a key downstream effect on mammary gland epithelium (Qayoom and Bhat [2020\)](#page-340-0). Taken together, these findings show that proper regulation and expression of cyclin-D1gene is needed for mammary gland development and the generation of any particular type of mammary cancer in mammals (here, mice). Cyclins D2, D3, E1, and E2 are not required for proper mammary gland/breast development; however, it is unknown whether they are required downstream of some mammary oncogenes, such as c-myc. (Table 15.1).

15.8 In Breast Cancer; Cyclin Overexpression

Since the breakthrough of cyclin D1, it is known as the most frequently commonly expressed gene (onco) in breast cancer development, but a lot of research has been undertaken to understand the link between this cyclin and various characteristics of breast cancer (Buckleyetal.1993). It was reported that Cyclin-D1 is upregulated by almost 30–60% in breast cancers, particularly in the early phases (Oyama et al. [1998\)](#page-340-0).

Various reports have suggested a link between Cyclin-D1 and estrogen where this cyclin acts at a downstream position of estrogen receptors (Prall et al. [1998\)](#page-340-0). However, the role of cyclin-D1 and estrogen expression in the development of cancer is still a debate (Gillett et al. [1996](#page-338-0)). Given the confusing concerns of the link with ER state, the interrelationships with other molecules in the pRb pathway, most of which were not tested concurrently, this debate is somewhat unsurprising. Subgroup studies within tiny patient cohorts have also been a key roadblock to reaching any firm results. Despite these severe limitations, a link between CCND1 gene amplification and poor clinical prognosis in ER+ patients appears to exist (Buckley et al. [1993](#page-338-0)). Other researches have not been able to corroborate this link. The possibility that cyclin D1 overexpression can contribute to more problematic results by making the target cells resistant to endocrine therapies further complicates the interpretation (Hwang and Clurman [2005\)](#page-338-0). One small clinical trial found that ERC patients with low cyclin D1 had a considerably prolonged duration of response to tamoxifen than those with high cyclinD1 (Kenny et al. [1999\)](#page-338-0), which supports this theory. More extensive researches are required to resolve these concerns. In humans, Cyclin-D2 is present in normal mammary gland cells (epithelial) but is rarely found in breast cancer tissues. Although numerous important functions are hypothesized about cyclinD2, this observation is owing to promote hyper-methylation in the majority of malignancies (Keyomarsi et al. [2002](#page-339-0)) but the functional importance, if any, in breast oncogenesis has yet to be explained. Overexpression of Cyclin D3 is found in breast tumors;however, there is little information on its link to phenotypic and disease outcome (Russell and Nurse [1987](#page-340-0)). According to studies Buckley et al. [1993](#page-338-0) and Keyomarsi and Pardee [1993,](#page-339-0) cyclin E1 is inappropriately expressed in 40% of breast tumors. The level of expression rises with the stages and grades of tumor. Unlike cyclinD1, cyclin E is largely over-expressed in the ER-phenotype ((Keyomarsi et al. [2002](#page-339-0)); (Span et al. [2003\)](#page-340-0). Furthermore, cyclin E over expression is associated with elevated p16Ink4A levels in roughly 40% of breast tumors, showing that cyclin E expression is linked to pRb pathway dysregulation (Loden et al. [2002\)](#page-339-0). Because of the strong relationship between cyclin E and p27Kip1 in cell cycle control, cyclin E over-expression in combination with low p27Kip1 expression is more prognostic than cyclin E alone (Porter et al. [1997\)](#page-340-0).

The relative ratios of wild type and truncated versions of the protein also have an impact on cyclin E1 over-expression (Keyomarsi and Pardee [1993\)](#page-339-0). The fact that these low molecular weight, N-terminally shortened versions are tumor cell specific and more effective at facilitating the G1toS phase transition are possible explanations for this impact (Porter et al. [1997\)](#page-340-0). Since the parameters tested include cyclin-E protein levels by Immunohistochemistry (IHC) or Western blot and mRNA related studies, more research is needed to confirm these findings. The latter method failed to find a link between cyclin E mRNA levels and relapse-free or overall survival in a recent investigation. High cyclin E mRNA levels, on the other hand, were only related with poor relapse-free survival of patients undergoing adjuvant endocrine therapies, supporting the theory that cyclinE confers endocrine resistance (Span et al. [2003](#page-340-0)). Hence, cyclins D1 and E1 are involved in the progression of ERC and ER breast cancers, respectively. They also present preliminary evidence that these genes could be valuable markers of disease progression and therapy responsiveness, but further research is needed to fully understand these concerns.

15.9 The CDK4/6 Targeted Preclinical Research

Flavopiridol, a "pan-CDK" inhibitor targeting CDKs including CDK1/2/4/6/7 and9, was the focus of early efforts to target CDKs (Bose et al. [2013,](#page-337-0) Dickson [2014\)](#page-338-0). Although activity has been seen in blood related malignancies, the drug progression has been marred by naxiousness as well as complex pharmacokinetics and management difficulties. Pan-CDK inhibitors of the next generation, such as dinaciclib, are being tried in clinical trials for a range of cancers (Criscitiello et al. [2014\)](#page-338-0). Following that, researchers concentrated onCDK4/6 inhibitors and their anti-breast cancer effectiveness. These drugs block the cyclin D1—CDK4/6 interaction, preventing the cell cycle from progressing through the G1/S stages and resulting in cell cycle arrest. Preclinical studies with CDK4/6 inhibitors have shown that they are effective in tumors with cyclin D1 over expression, common in ER+ breast cancer (Konecny et al. [2011\)](#page-339-0) With the administration of CDK4/6 inhibitors, single agent pharmacodynamic reduction of phospho-Rb and decrease in the proliferative marker Ki-67 has been reported in a range of benign tumors as well as mantle cell lymphoma (Fry et al. [2004\)](#page-338-0). Finn et al. 2014 tested the CDK inhibitor Palbociclib's in vitro sensitivity in a panel of molecularly defined human breast cancer cell lines.

In ER-resistant cell lines, CDK4/6 inhibition also increased tamoxifen responsiveness (Finn et al. 2009). Palbociclib (PD0332991), Abemaciclib (LY2835219), and LEE011 are the three highly selective oral medicines that are now in active clinical development (Table [15.2](#page-333-0)).

15.10 CDK4/6Inhibitors'MechanismsofAction

Because of the small structural variations between the ATP-binding pockets of distinct CDKs, highly selective CDK4/6 inhibitors can be designed (Choi and Anders [2014\)](#page-338-0). CDK inhibitors are able to take part in binding despite chemical differences via hydrogen bonds and other hydrophobic interactions (Knockaert et al. [2002\)](#page-339-0). The backbone carbonyl works as an acceptor for hydrogen bonds, while the amino acid side chains act as hydrogen bond donors, according to various chemical assays. CDKs have a modest ATP binding site that can adopt a (Knockaert et al.

DeMichele et al	Salmon et al	Finn et al
ASCO 2013 Annual	ASCO 2013	AACR annual
meeting	Annual meeting	meeting 2014
П	Ib	П
37	12	165
Safety and efficacy	Safety and tolerability	PFS
Palbociclib	Palbociclib+ letrozole	Palbociclib+ letrozole vs letrozole
ER+ HER2-29/37 ER+ HER2-2/37 TNBC 6/37	$ER+ HER2-$	$ER+ HER2-$
34/37 (92%)	8(67%)	$34(40\%)$
$2/36$ (1%) PR	$3/12$ (25%) PR	NR.
$18/36(50\%)$ SD	9/12(75%) SD	NR.
$ER + 3.8$ months	NR.	20.2 months
TNBC 1.9 months		10.2 months

Table 15.3 Clinical trials on Palbociclib for breast cancer

[2002\)](#page-339-0) variety of configurations, resulting in both selective and non-selective inhibitors.

However, because the amino acids in the CDK ATP-binding region are generally maintained, there are currently no CDK inhibitors that target just one kinase. Preclinical investigations with Palbociclib are the main source of current knowledge about the mechanism of action of CDK4/6Inhibitors. Palbociclib, unlike pan-CDK inhibitors, fits closely into the ATP-binding pocket. Palbociclib has a broader binding interface with its target as a result of this, which could lead to enhanced efficacy (Choi and Anders [2014\)](#page-338-0). In tumor cells, Palbociclib suppresses Rb phosphorylation at two different CDK4/6 sites, Ser780 and Ser795 (Shapiro [2006\)](#page-340-0). This inhibition causes a concentration-dependent arrest of Rb-positive tumors in G1, as well as decreased production of E2F-dependent genes and Ki-67 staining. Palbociclib was discovered to prevent the incorporation of thymidine and prevent DNA replication. However, no action was observed against Rb-deficient cells, implying that there are no other targets outside CDK4/6 (Shapiro [2006\)](#page-340-0). Palbociclib and other CDK4/6 inhibitors like Ribociclib and Abemaciclib have shown a reversible blockage of the cell cycle progression in BC cell lines with selectivity in preclinical trials (Choi and Anders [2014](#page-338-0)) (Table 15.3).

15.11 Prevention and Treatment

15.11.1 Chemo-prevention

It involves the use of pharmacological and natural agents capable of inhibiting the tumor/cancer development either by protecting/repairing the DNA damage that induces carcinogenesis or reverse the development of premalignant cells (Sporn [1976\)](#page-340-0). Chemotherapy targets estrogen receptor because more than 70% breast cancers are reported to have its origin in estrogen receptor. Therefore, estrogen inhibitors such as selective estrogen receptor modulators (SERMs) and the aromatase inhibitors (AIs) are the large class of receptors acts as either antagonists or agonists against the estrogen receptor (Mehraj et al. [2021\)](#page-339-0). Tamoxifen (TAM) is a most often used SERM, used for the treating breast cancer over decades (Bozovic-Spasojevic et al. 2012)). Most importantly the TAM has been used to cure the all stages of breast cancers (Nagini [2017](#page-339-0)). Many large scale trials such as the Royal Marsden Prevention Trial, the Italian Prevention Trial, the International Breast Cancer Intervention Study, and the Breast Cancer Prevention Trial (NSABP-1) have time and again proved that TAM could significantly reduce the risk of mammary gland tumorigenesis (Qayoom et al. [2021](#page-340-0)). However, the TAM has shown effectiveness only against the breast cancers that originated from estrogen receptors (estrogen-positive) and no significant ameliorating effect has been reported in ER-negative cancers (Cuzick et al. [2003\)](#page-338-0). Raloxifene, another kind of SERMs reportedly having fewer side effects, is being used against breast cancer in postmenopausal women and also those suffering from osteoporosis and heart diseases (Barrett-Connor et al. [2006](#page-337-0)). Due to its less risk in thromboembolic complications and endometrial cancers, raloxifene is believed to be a good therapeutic against invasive breast cancers. Although various other SERMs including arzoxifene, ospemifen, lasofoxifene (LFX), and bazedoxifene (BZA) were discovered and tried for its anticancerous properties, only BZA successfully reached up to the clinical trials(Marty et al. [2005\)](#page-339-0). Recently, aromatase inhibitors (AIs) were used as a first choice of therapy instead of TAM against postmenopausal breast cancer patients. It has been studied that AIs cause the inhibition of aromatase that catalyzes the conversion of estrogen from androgen (Hiscox et al. [2009](#page-338-0)). The two classes of AIs such as steroidal inhibitors and non-steroidal inhibitors have also been used for the treatment of breast cancers. Non-steroidal inhibitors like letrozole and anastrozole can bind irreversibly to the enzymes active site. However, there is no significant difference in their efficiency to prevent the breast cancer (Dowsett et al. [2010\)](#page-338-0). However, there are also limitations of AIs. As they inhibit the biosynthesis of estrogen, therefore they are given only to postmenopausal women. Furthermore, the main side effect that AIs carries is the possibility of osteoporosis, which poses a remarkable health threat to elderly women. It has been observed that a prolonged treatment of SERMs results into Acquired resistance to AIs. Moreover, crosstalks between estrogen receptor pathways and various signaling pathways including PI3K/Akt/mTOR and Ras/Raf/MEK/MAPK leads to resistance of cancer cells to

AIs (Lønning and Eikesdal [2013](#page-339-0)). Therefore, AIs in combination with inhibitors of various cancer signaling pathways can provide a hopeful alternative.

15.11.2 Biological Prevention

With advancing technology, monoclonal antibodies were developed targeted towards HER2, a gene responsible for about 20–30% of all breast cancers (Mir and Mehraj [2019;](#page-339-0) (Elizalde et al. [2016](#page-338-0)). The Trastuzumab (Herceptin) is a first kind of HER2 targeted drug approved by FDA (Mir et al. 2015). It directly interacts with the C-terminal portion of domain IV of HER2 (Choi and Anders [2014](#page-338-0)). The trastuzumab helps in degrading HER2 by proteasomal complexes (Junttila et al. [2009\)](#page-338-0). Trastuzumab was initially tested against MBC and showed significant results with 26% objective response rate (ORR). Furthermore, invitro studies have demonstrated the synergetic effect of trastuzumab when used alongside other anticancer drugs like 4-hydroxycyclophosphamide, docetaxel, nimotuzumab, and carboplatin (Vogel et al. [2002](#page-340-0)). For example, a random trial conducted have shown trastuzumab in combination with docetaxel showed significantly higher efficiency with 50% ORR (Marty et al. [2005](#page-339-0)) More importantly, various heart related issues were reported as a side effect in trastuzumab treated patients (Balduzzi et al. [2014](#page-337-0)). Similarly, pertuzumab, an another monoclonal antibody based drug approved for the treatment of breast cancer shows various side effects as well (Loibl et al. [2017](#page-339-0)).

15.12 Summary

Breast cancer is one of the most commonly detected cancers in females across globe and 1 in every 8 females have a risk of developing a breast cancer during their lifetime (Stewart et al. [1984](#page-340-0)). It is a complicated disease with no single origin, rather is a multistep process and the pathogenesis is yet to be elucidated completely. Moreover, genetic and environmental factors influence the breast cancer. Therefore, the prevention strategies must be targeted before risk factors develop the disease. It has been reported that developed countries have high rate of breast cancer incidences as compared to developing countries. However, the recovery rate is very low in developing countries than developed countries may be due to lack of research investment and technical know-how. There are ample medical resources such as chemo-preventive drugs available in developed countries and a global cooperation on health issues could significantly reduce the rate of the breast cancer across the globe. Most of the breast cancers occur through estrogen receptor led mechanism, and various therapies like, endocrine therapies that target the estrogen receptor has shown some promising results, however, the resistance to this kind of therapy is inevitable in advanced stages. Therefore, research studies must focus on the factors that drive the breast cancer through estrogen-receptor-positive mechanism. In fact, this progress has reaped some research outcomes and thereby, several endocrine therapies have been developed aimed at targeting the drivers of breast cancer like mTOR, cyclin-dependent kinases CDK4 and CDK6, besides other pathways like PI3K, AKT, and HER2 which significantly have been dissolved through various kinds of inhibitors. However, still challenges are there to cure the disease completely.

15.13 Further Reading

The readers can further read about "CDK Inhibitors for treatment of breast cancer" by going through the following research papers:

<https://onlinelibrary.wiley.com/doi/abs/10.1002/med.1021>

<https://www.ncbi.nlm.nih.gov/pmc/articles/PMC8167670/>

For more incites about the topic we would suggest detailed findings from the books of (Mir MA, 2022) <https://doi.org/10.1016/C2021-0-02565-7>, (Mir MA, 2021) [https://doi.org/10.52305/WXJL6770,](https://doi.org/10.52305/WXJL6770) from cancer.net website, [https://www.](https://www.cancer.net/cancer-types/breast-cancer/types-treatment) [cancer.net/cancer-types/breast-cancer/types-treatment](https://www.cancer.net/cancer-types/breast-cancer/types-treatment)

Also, readers are advised to look following video lectures for better understanding of this chapter:

[https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=BB9jjK7BHkg)=BB9jjK7BHkg [https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=vEe3lBduckE)=vEe3lBduckE

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Response of Therapy in Cell-Cycle
Regulatory Genes in Breast Cancer 16

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16.1 Introduction

Cancerous cells bypass the cell cycle's multiple shielding and checkpoints, allowing them to multiply indefinitely despite aneuploidy as well as other abnormalities that can stop non-malignant cells from proliferating. This behavior is acquired by accumulating a variety of genetic or epigenetic genomic changes that hyper-activate or deactivate key elements of the cell cycle that put unique cellular demands on cancerous cells in order to maintain abnormal growth. Diverse subtypes of breast cancer have various molecular changes and reliance on the cell cycle as well as its checkpoints. Tumor cells in ER $(+)$ /HER2 $(-)$ "luminal" breast tumors still rely on estrogen for oncogenic actions. When estrogen binds to hormonal receptors, it triggers a signaling cascade that results in receptor-driven gene expression which promotes cellular growth, survival, and multiplication. Cyc D1 is an ER targeting gene which promotes cell cycle progress across the limitation point by permitting CDK4/6/cyclin D interactions (Platet et al. [2004](#page-358-0)). ESR1, the gene that encodes the estrogen receptors, and its related protein, are overexpressed in ER+ tumors. Augmentation of the CCND1 gene is also common in luminal tumors. In ER+ breast tumors, activating alterations in PIK3CA are prevalent, and they lead to the progression of cell cycle via oncogenic AKT/mTOR signaling. ER+/luminal cancers, unlike TNBC and HER2+ types, usually have functional p53 and Rb tumor suppressor mechanisms as well as are gnomically stable due to their major reliance on estrogen signaling. TNBCs, on the other hand, have RB1 alterations or deletions that disrupt

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the stability of the Rb/E2F/CDK4/6 pathway's cell cycle regulation, and also frequent changes in DNA damage reaction genes like BRCA1. Such tumors are also extremely aneuploid, with almost ubiquitous TP53 loss, recurring CCNE1 DNA multiplications, or decreased PTEN function (Curtis et al. [2012;](#page-355-0) Xu et al. [2014;](#page-359-0) Bianchini et al. [2016](#page-355-0)). TNBC tumors are dependent on the spindle assembly checkpoints and express elevated amount of mitotic checkpoint proteins (e.g., BUB1, TKK, AURKB, and MAD2) and DNA repairing proteins, according to numerous cellular dependency investigations (Yuan et al. [2006](#page-359-0); Brough et al. [2011;](#page-355-0) Daniel et al. [2011;](#page-355-0) Curtis et al. [2012;](#page-355-0) Mehraj et al. [2021a](#page-357-0)). This is apparently due to their significant levels of genomic instabilities. CDK4 copy increases are widespread in all forms of breast cancers, although they are most common in HER2+ tumors. Furthermore, PIK3CA, TP53, and PTEN alterations, as well as CCND1 DNA duplication, are all common in this subtype.

Dysregulation of a cell cycle caused by tumor suppressor deactivation and abnormal stimulation of cyclins and CDKs is a hallmark of breast carcinoma. Given how important this biological mechanism is for cancer cell growth, it is no surprise that it is a prospective treatment target (Ignatiadis and Sotiriou [2013;](#page-356-0) Dominguez-Brauer et al. [2015](#page-355-0); Mir et al. [2020](#page-357-0)). Nevertheless, because of the loss of target selectivity and dose-limiting toxicity, previously cell cycle targeted medicines performed badly in the clinic. Despite earlier medications' poor clinical performance, improvement of the treatment approach to increase therapeutic efficacy and the introduction of novel potent and specific inhibitors have reawakened interest in using the cell cycle as an antitumor therapy approach.

16.2 Treatment Response of the HER-2 Oncogene in Breast Cancer

HER-2, commonly called as HER-2/neu or erbB-2, is a 185-kDa transmembrane tyr kinase growth regulator receptor that is found on chromosome 17q (Yarden and Sliwkowski [2001;](#page-359-0) Mir et al. [2022a](#page-357-0); [b](#page-357-0); [c;](#page-357-0) [d\)](#page-357-0). Growth factor receptors are activated by attaching to targeting ligands or, if expressed in adequate receptors concentration on the cell membrane, by themselves, following by dimerization or receptors autophosphorylation, that results in various transduction pathways functioning via a number of routes. Angiogenesis, proliferation, abnormal cell interactions, enhanced cell mobility, metastasis, and apoptosis inhibition are all induced by the MAP kinase or 3-kinase (PI3K)/Akt routes (Oved and Yarden [2002](#page-358-0); Wadhwa et al. [2020\)](#page-359-0). The finding of HER-2 gene duplication and higher expression in early human breast carcinoma, as well as its link to more severe therapeutic behavior (Slamon et al. [1987](#page-358-0)), sparked initial interest in diagnosis and treatment applications. HER-2 gene is infrequently elevated in benign breast carcinoma, and its expression differs by histological subtype, as it is nearly exclusively detected in ductal vs. lobular initial breast malignancies. The HER-2 gene is increased and highly expressed in 20%–30% of aggressive cases of BC, as well as in the most of elevated Ductal carcinoma in situ patients (van de Vijver et al. [1988](#page-358-0)). Numerous researches have

Fig. 16.1 Mechanism of action of anti-HER2 therapies

linked it to an elevated relapse in early breast malignancy, as well as greater resistance to endocrine treatment (possibly more with tamoxifen than it is with aromatase antagonists), resistance to non-anthracycline treatment, improved responsiveness to doxorubicin, as well as, in some cases, taxane-based treatment (Ross et al. [2003;](#page-358-0) Mehraj et al. [2021b\)](#page-357-0). Nevertheless, apart from identifying individuals for trastuzumab treatment, HER-2 expression is not widely advised for decision-making at this time. It raises the likelihood of tumor relapse in early breast carcinoma and may thus affect adjuvant therapy selection.

Antibodies targeting growth hormone receptors have been proven in experimental animals to suppress growth. Trastuzumab is a humanized synthetic monoclonal antibody that targets the HER-2 protein's extracellular region (Carter et al. [1992\)](#page-355-0). Trastuzumab's mode of activity is thought to involve modulatory impacts on cell signaling in animal systems, although there are additional indications of an immunological impact (Clynes et al. [2000\)](#page-355-0) (Fig. 16.1). The findings of the earliest trastuzumab studies are summarized in Tables [16.1](#page-344-0) and [16.2](#page-344-0) (Baselga et al. [1996;](#page-355-0) Cobleigh et al. [1999;](#page-355-0) Slamon et al. [2001;](#page-358-0) Vogel et al. [2002\)](#page-359-0). When trastuzumab was given as a single drug, response percentages ranged from 11% to 26%, and this effectiveness was greater (35%) in individuals who, in retrospect, had really HER-2+ tumors based on improved immunohistochemistry (IHC) or gene amplification standards. When trastuzumab was coupled with chemotherapy, it showed increased effectiveness, including increases in reaction rates, time to progression of the disease, durability of response, and survival in the major randomized study. With using trastuzumab, particularly in conjunction with anthracycline treatment,

Prior chemotherapeutic			Median		
treatment for advanced	No. of	Response	duration of	Median	
illness	individuals	rate	response	survival	References
Any	43	12%	6.6	14	Baselga et al. (1996)
None	114	26%	>12	24	Vogel et al. (2002)
One or two previous regimens	222	15%	9.1	13	Cobleigh et al. (1999)

Table 16.1 Trials of trastuzumab as a monotherapy treatment

Table 16.2 The outcomes of the major randomized study evaluating chemotherapy alone versus chemotherapy + trastuzumab (Slamon et al. [2001\)](#page-358-0)

	No. of	Response	Median duration	Median
Treatment	individuals	rate	of response	survival
Chemotherapy	234	32%	6.1	20.3
Trastuzumab + chemotherapy	235	50%	9.1	25.1
Subgroups				
Anthracycline + cyclophosphamide	138	42%	6.7	21.4
Anthracycline + cyclophosphamide + trastuzumab	143	56%	9.1	26.8
Paclitaxel	96	17	4.5	18.4
Trastuzumab + paclitaxel	92	41	10.5	22.1

cardiomyopathy that is generally temporary and resolves over time has also observed. This is an example of how difficult it is to forecast the effects of tailored medicine. While HER-2 expression in mature myocytes is modest, the HER signaling cascade is known to have a role in embryonic cardiac and neural growth, as well as stress reactions and remodeling in the mature heart (Schneider et al. [2002](#page-358-0))

Growth hormone receptor systems interact with additional routes, including those implicated in hormone-receptor signaling and DNA repairing, indicating that trastuzumab as well as other traditional breast carcinoma treatments could be additive or synergistic in some cases. Platinum medicines, vinorelbine, and docetaxel were reported to have the highest levels of synergy in preclinical studies, although differing outcomes were found by other scientists using various cell lines (Pegram et al. [1999](#page-358-0)). Trastuzumab had showed the most efficacies when combined with docetaxel, vinorelbine, and, to a smaller extent, gemcitabine in phase II experimental studies, although broader comparative studies are needed to enhance these combinations (O'Shaughnessy [2003;](#page-357-0) Esteva et al. [2002;](#page-356-0) Burstein et al. [2003\)](#page-355-0). The combination of carboplatin with chemotherapy has been demonstrated to improve responsiveness and duration to progression in one research (Robert [2002\)](#page-358-0). Trastuzumab as well as related HER-family-targeted medicines is also being utilized to overcome resistance to hormonal therapies or increase their efficacy (Johnston et al. [2003a\)](#page-356-0).

Pertuzumab (2C4), a novel anti-HER-2 Mab, binds the external region of HER-2 as well, but it produces steric interference and inhibits receptors dimerization. This antibody was found in preclinical studies to suppress the development of cells that express reduced amounts of HER-2, likely by interacting with the production of HER family heterodimers (Agus et al. [2002](#page-355-0)). In solid tumors, phase I screening revealed efficacy (3/21 patients, 15%) (Agus et al. 2003), and research in breast carcinoma, including HER-2– or trastuzumab-refractory HER-2+ breast malignancy, are currently ongoing.

16.3 Endocrine Resistance

Because antiestrogens, a commonly utilized and successful treatment for hormoneresponsive BCs, quickly downregulate cyc D1, unregulated transcription of this cyclin may be supposed to impact susceptibility to these drugs. Several clinical evidence supports the concept that individuals with elevated cyclin D1 have a shorter length of responsiveness to antiestrogen treatment, and that higher expression of cyc D1 in breast tumor cultured cells leads to transient antiestrogen resistance (Butt et al. [2005;](#page-355-0) Qayoom et al. [2021\)](#page-358-0). More evidence from laboratory models and primary breast tumors would be needed to answer the issue of whether cyclin D1 overexpression impacts antiestrogen responsiveness. Despite the fact that cyclin E upregulation in breast carcinoma cells has only a minor impact on antiestrogen responsiveness in vitro, one research discovered that elevated cyc E expression has been linked with worse RFS in patient populations treated with hormonal therapies (Sutherland and Musgrove [2004](#page-358-0)).

p27 mediates the cell-cycle stop of breast carcinoma cells by therapeutically effective pharmaceutical drugs that impede estrogen activity (Sutherland and Musgrove [2004\)](#page-358-0). Administration of MCF-7 BC cells with synthetic steroidal antiestrogen ICI 182780 (Mir [2015\)](#page-357-0) (Faslodex), for instance, resulted in enhanced p27 expression, increased p27–cyclin E–Cdk2 interaction, or cell-cycle halt. Moreover, this antiestrogen's high stimulation of p27 helps to induce a quiescent, growth factor-insensitive condition. Antiestrogen sensitivity is conferred in breast tumor cells in vitro when p21 or p27 is downregulated by antisense oligonucleotides or when Skp2 is overexpressed. MEK inhibition recovers p27 suppression of cyclin E– Cdk2 complex and treatment response in antiestrogen sensitive breast tumor cells, demonstrating that antiestrogen responsiveness may be regained in resistant cells by treating with specific signal cascade inhibitors. In a therapeutic setting, tumor p21 and p27 status could be prognostic of antiestrogen response. Elevated p27 expression has been linked with enhanced relapse-free as well as overall survival in a research of premenopausal females with initial phase breast tumor obtaining combinational endocrine treatment of tamoxifen and goserelin (Pohl et al. [2003](#page-358-0)), and p21 levels had also been linked with reaction to antiestrogens in several, but not every, clinical research (Butt et al. [2005](#page-355-0)).

16.4 Early Generation Cell Cycle/CDK Inhibitors and Microtubule Binding Drugs

Microtubule binding agents (MTBAs) are a cornerstone in cancer treatment that work by stabilizing (taxanes, such as docetaxel and paclitaxel) or disrupting (eribulin, vinca alkaloids) microtubules during mitosis of multiplying cancerous cells (Dumontet and Jordan [2010](#page-355-0)). These drugs trigger the spindle assembly/mitotic checkpoints (SAC) that inhibit anaphase and mitotic escape till all chromosomes had established bipolar adhesion to the spindle. MTBAs cause cell cycle stop in mitosis as a result of this, and persistent mitotic pause results in apoptosis (Dumontet and Jordan [2010;](#page-355-0) Mehraj et al. [2022a](#page-357-0)). Numerous MTBAs have been licensed for breast carcinoma treatment, either individually or in conjunction with additional chemotherapy drugs (or HER2-targeted MABs). When utilized as adjuvant therapy for early-stage breast carcinoma, taxanes were shown to boost treatment efficacy, and substantial increase in tumor responsiveness can be attained in initial lines of treatment for metastatic cancer (De Laurentiis et al. [2008;](#page-355-0) Gradishar [2012;](#page-356-0) Mir et al. [2022a](#page-357-0); [b;](#page-357-0) [c;](#page-357-0) [d](#page-357-0)) (Fig. 16.2).

The cell cycle's first-generation targeted inhibitors, in comparison to MTBAs, showed minimal effectiveness in the management of solid tumors (Dumontet and Jordan [2010\)](#page-355-0). Dinaciclib, flavopiridol, and seliciclib (Finn et al. [2016\)](#page-356-0) are non-selective multi-CDK antagonists, as are many similar mitotic kinase blockers like those inhibiting AURKB and PLK1. The surprising insufficiency of such medicines had been ascribed in large portion to dose-limiting effects produced by unwanted target suppression in non-malignant tissues, such as neurotoxicity, myelosuppression, and gastrointestinal issues (Dumontet and Jordan [2010](#page-355-0); Finn et al. [2016\)](#page-356-0). The absence of prognostic biomarkers to guide patient choice for these medications may possibly had led to their failure (Finn et al. [2016;](#page-356-0) Mir et al. [2022a](#page-357-0); [b](#page-357-0); [c](#page-357-0); [d](#page-357-0)). Although preclinical research suggests that some of these medicines,

Fig. 16.2 Different microtubule binding drugs

like dinaciclib, could be repurposed, the processes are dependent on transcriptional suppression instead of cell cycle-related actions (Johnson et al. [2016](#page-356-0)).

In order to accomplish a treatment efficacy for breast cancer specificity, these experiences formalized the necessity of utilizing cancer- and subtype-specific vulnerabilities (such as genetic instability and anomalies in cell-cycle proteins which are not crucial in non-malignant cells). This need has directed the advancement of the latest generation of agents that target cell cycle.

16.4.1 Inhibitors of TTK

TTK protein kinases (TTK), also called as MPS1 (monopolar spindle 1), is an important modulator of the SAC (Spindle checkpoint assembly) (Lara-Gonzalez et al. [2012;](#page-356-0) Liu and Winey [2012;](#page-356-0) Musacchio [2015](#page-357-0)) (Fig. 16.3). TTK establishes and maintains the mitotic checkpoint by phosphorylating its substrate and recruiting checkpoint protein to kinetochores throughout mitosis. Because it delays anaphase and the commencement of mitotic escape till all chromosomes had attained bipolar linkage to the mitotic spindle, the checkpoint is crucial for preserving genomic integrity throughout mitosis (Lara-Gonzalez et al. [2012\)](#page-356-0). TTK promotes proper chromosomal segregation and genetic stability by regulating the SAC. TTK is frequently abundantly expressed in cancerous cells, that also might be due to its role in mitosis, as aggressive tumors with elevated mitotic indicators naturally have elevated expression rates of cell-cycle genes; nevertheless, it may also be due to tumor cells' reliance on the SAC to facilitate feasible separation of their aneuploid as well as unreliable genomes into new cells (Yuan et al. [2006](#page-359-0); Daniel et al. [2011;](#page-355-0) Curtis et al. [2012](#page-355-0); Patel et al. [2018a](#page-358-0)). TNBCs were shown to have a gene expression profile linked with "aggressiveness," which was elevated for genes associated with

Fig. 16.3 Cell cycle vulnerabilities of TNBC tumors

Drug	Phase	Patient Cohort	Trail ID
BOS172722 (CCT289346) ±	Phase I	Advanced solid	NCT03328494
paclitaxel		tumors	
BAY1161909 + paclitaxel	Phase I	Advances cancers	NCT021138812
BAY 1217389 + paclitaxel	Phase I	Advanced cancers	NCT02366949
CFI-402257	Phase I	Advanced cancers	NCT02792465
$S81694 +$ paclitaxel	Phase	TNBC	NCT03411161
	I/II		

Table 16.3 TTK inhibitors in clinical trials

genomic instability, notably TTK (Liu and Winey [2012](#page-356-0)). TNBC cells' reliance on TTK was also validated in this investigation. TTKs expression differentiates aneuploid cancerous cells from non-malignant diploid cells which have supplemental competent paths protecting integrity of the genome, and offers a treatment efficacy to target breast tumor cells, irrespective of whether its upregulation reflects a correlation with extremely aggressive tumor physiology or a functional reliance of cancerous cells on the SAC. The idea behind using TTK inhibitors to cure genetically unstable tumors like TNBC is to bypass the SAC and force cells to undergo mitosis when the chromosomes could be properly segregated. In susceptible cancerous cells, this leads to mitotic segregation faults and unbearable levels of genetic instability, eventually resulting in cell death. Numerous TTK inhibitors, like CFI-402257 (Table 16.3), are now being examined in initial stage clinical studies as individual medicines or in conjunction with taxane therapy. AZ3146, CCT271850, NMS-P715, CCT251455, MPI-0479605, and MPS1-IN-3 are some of the other TTK inhibitors in experimental research, highlighting the interest and therapeutic promise of this family of anti-cancer drugs (Naud et al. [2013](#page-357-0); Tannous et al. [2013](#page-358-0); Kusakabe et al. [2015](#page-356-0); Faisal et al. [2017\)](#page-356-0).

Gatekeeper alterations in the active region of TTK were shown to give resistance in in vitro to TTK inhibitors, comparable to other therapeutic kinase inhibitors (Koch et al. [2016\)](#page-356-0), though the clinical significance of these alterations in patients who acquire resistance (Fig. [16.4](#page-349-0)) should be verified prospectively.

Patient biopsies would become accessible for genetic sequencing as TTK inhibitors precede through clinical studies, allowing pharmacogenomics investigations to find molecular correlations linked with treatment responsiveness. Such data will be crucial in guiding patient treatment categorization. In the meanwhile, once tumor genetic and medication reaction data are accessible, possible TTK inhibitor biomarkers responsiveness could be developed in a preclinical context and examined for therapeutic relevance.

Zaman and coworkers found that activation alterations in CTNNB1 (β-catenin) were related with increased susceptibility to TTK inhibitors when contrasted to wildtype CTNNB1 mice (Zaman et al. [2017\)](#page-359-0), despite only a basic assessment of the working effect of CTNNB1 mutant on TTK inhibitor sensitivity was carried in an in vitro. Moreover, the frequency of CTNNB1 alterations in BC restricts the use of this potential biomarker in this illness. $p53-/-$ HCT-116 colon cancer cells were

Fig. 16.4 Potent resistance mechanisms to TTK inhibition in TNBC

shown to be highly susceptible to the wide ranging S/T kinase inhibitors, SP600125, that suppress TTK with an $IC50 = 1.95 \mu M$ (Jemaa et al. [2012\)](#page-356-0), suggesting that p53 status might be linked to responsiveness to TTK suppression. The lack of specificity of SP600125, on the other hand, makes it difficult to understand the significance of TTK suppression in triggering selective p53-deficient cell death in this research. Furthermore, utilizing siRNA screenings to compare genetic dependence in PTENmutant versus wild-type BC cell lines, it was discovered that TTK inhibition is selectively detrimental in PTEN-deficient cancerous cells, implying that PTEN may be a biomarker for TTK inhibitor effectiveness (Dumontet and Jordan [2010;](#page-355-0) Mendes-Pereira et al. [2012](#page-357-0)).

16.4.2 PLK4 Inhibitors

Centrosomes, which are made up of 2 barrel-like structures termed centrioles, are primary microtubule organizing centers in cells. In G1, the centrosome's centrioles detach from each other. Centriole replication begins at the same time as the G1/S shift. In the S and G2 stages, procentriole synthesis and extension occur, and centrosome development and division occur, resulting in 2 centrosomes that constitute the mitotic spindle (Wang et al. [2014](#page-359-0); Nigg and Holland [2018](#page-357-0)). PLK4 (Polo-like kinase 4) is the controller of centriole replication. The activation of centrosome biology proteins by PLK4 is an upstream step in the centriole formation signaling cascades, which is necessary for centrosome synthesis (Wang et al. [2014;](#page-359-0) Maniswami et al. [2018;](#page-357-0) Nigg and Holland [2018\)](#page-357-0). PLK4 transcription is strictly controlled to maintain the numeric integrity of centrioles and centrosomes, as reduction of PLK4 prevents centriole doubling and overexpression causes centriole expansion. PLK4 upregulation and centrosome anomalies are prevalent in cancers, particularly breast carcinoma, and are linked to disease aggressiveness (Chan [2011;](#page-355-0) Pannu et al. [2015;](#page-358-0) Denu et al. [2016](#page-355-0); Marteil et al. [2018](#page-357-0)). PLK4-driven centrosome multiplication is hypothesized to have a function in the chromosomal instabilities linked with cancer. Even though previous research discovered no increased prevalence of spontaneous tumor development in $p53+/-$ or $p53-/-$ mice after PLK4 increased expression and centrosome multiplication (Vitre et al. [2015](#page-358-0)), a latest research discovered increased tumor growth in an APCMin/+ model of intestinal malignancy after PLK4-mediated centrosome multiplication (Levine et al. [2017\)](#page-356-0), strengthening the case for using PLK4 as a targeted therapy. Suppression of PLK4 would amplify aneuploidy and genetic instability; ultimately result in cancer cell mortality, according to the proposed process of PLK4 over-activation promoting centrosome multiplication (Dominguez-Brauer et al. [2015;](#page-355-0) Mir et al. [2022a](#page-357-0); [b](#page-357-0); [c;](#page-357-0) [d\)](#page-357-0).

Depending on a siRNA screen targeted kinases, PLK4 was considered as a potential option with specific potency in TNBC cell lines. CFI-400945 has distinct phenotypic impacts at distinct doses, which is consistent with the inverse impacts mentioned for PLK4 removal and over-activation in the literature, with depletion of centrosome at elevated doses as well as centriole over-duplication (and subsequent centrosome overexpression) at small doses. PLK4 was partially inhibited by CFI-400945 at low doses, blocking auto-regulation through transautophosphorylation of its degron, resulting in enhanced PLK4 proteins expression (Cunha-Ferreira et al. [2013](#page-355-0); Mason et al. [2014](#page-357-0); Bedard et al. [2016\)](#page-355-0). CFI400945 administration causes chromosomal segregation abnormalities due to the production of abnormal mitotic spindles (for example, multi-polar spindles), elevated DNA content associated with genetic instability, or finally death of cells in several cancer types (Mason et al. [2014;](#page-357-0) Lohse et al. [2017](#page-356-0); Kawakami et al. [2018\)](#page-356-0).

Employing in vitro models of cancer, researchers have yet to find significant pathways of developed resistance to CFI-400945, and this remained an important field of research. In diploid non-malignant RPE1 cells, cell resistance to centrosome loss generated by the tool chemical centrinone, a relatively specific inhibitor of PLK4, was revealed to be controlled by a p53-dependent 53BP1-USP28 pathway. In two classical investigations that created models of RPE1 in which natural PLK4 was substituted by an analog-sensitive variant that is inhibited following chemical stimulation to promote centrosome removal, this result of 53BP1 and USP28 deactivation generating resistance toward centrosome loss was similarly seen (Fong et al. [2016](#page-356-0); Lambrus et al. [2016](#page-356-0)). Although this mechanism of resistance may exist in cancers with good p53 signaling, its therapeutic significance is unknown. TP53-independent processes would likely promote resistance in tumors with elevated amounts of genetic instability, centrosomal abnormalities, and common TP53 alterations, such as TNBC (Marteil et al. [2018](#page-357-0)).

Early functional assessment of CFI400945 impacts in breast tumor cell lines suggested a possible link among PTEN status and drug responsiveness, with PTEN loss being linked to response (Mason et al. [2014](#page-357-0)). This result was in line with a study that found inactivation of PLK4 was synthetically fatal in breast cancers with PTEN

mutation (Brough et al. [2011\)](#page-355-0), implying that PTEN status might be used as a marker for CFI-400945. PTEN as a possible biomarker in BC PDX with characterized reactions to CFI-400945, as well as other postulated biomarkers dependent on PLK4 biology, like instability of chromosomes or copy numbers of centrosomes, is now being investigated. Surprisingly, a recent thorough evaluation of centrosome multiplication in the NCI-60 tumor cell line panel revealed richness of centrosome multiplication in aggressive TNBC and microsatellite stable colorectal malignancy (vs MSI CRC) that are 2 diseases for which CFI-400945 has shown substantial preclinical and clinical antitumor action (Marteil et al. [2018\)](#page-357-0). Clinically tumor samples from existing clinical studies will be used to examine the possible connections among PTEN inactivation, centrosome duplication, and CFI-400945 responsiveness.

16.5 Modulators for Downstream Signal Transduction

Signaling pathway controlled by growth factors stimulates several major kinases which operate as master regulators, controlling multiple routes. Because alternative receptors can potentially initiate signals, targeting downstream messengers may produce therapeutically favorable benefits which growth factor channel inhibition cannot, but it can also lead to extra toxicities. The mTOR is a critical downstream regulator that regulates the cell cycle by coupling growth impulses from receptor or cytosolic kinases. Rapamycin as well as its equivalents suppress mTOR activation, preventing downstream stimulation of S6 kinase or 4E binding protein-1, and thereby reducing translation of essential protein biosynthesis machinery elements and cell cycle transcription factors (like c-Myc or cyclin D1) (Mita et al. [2003](#page-357-0); Khan et al. [2022b;](#page-356-0) Mir et al. [2022a](#page-357-0); [b;](#page-357-0) [c](#page-357-0); [d](#page-357-0)). CCI-779 is a rapamycin analog which had been through stage I research and has shown toxicities such as dermatitis, myelosuppression, and elevated liver enzymes. Improvements were observed in numerous tumor types, particularly breast, on a weekly frequency, which looked to be the most tolerated (Hidalgo et al. [2000\)](#page-356-0). A phase-2 trial comparing 75 mg CCI779 intravenously weekly versus 250 mg CCI779 intravenously once a week for DOXO and/or taxane-refractory BC had shown preliminary cumulative outcomes of 9 responses from 106 patient populations (8.5%), with a 10% occurrence of level III/IV hepatocellular, skin, as well as hematological toxicities (Chan et al. [2003\)](#page-355-0). More research is required to establish its efficacy when administered early in the development of the illness or in combination treatment, as well as whether biological subtypes of individuals are somewhat more likely to benefit. The MAP kinase and PI3K/Akt cascades are activated by Ras, a downstream main signaling protein. FTIs (farnesyl transferase inhibitors) block Ras from reaching the inner layer, where it is triggered. Even though certain oncogenic variants of Ras are poorly suppressed by FTIs, and RAS alterations are rarely shown in breast carcinoma, FTIs could still have a role in breast cancer because Ras is involved in growth factor receptors and other processes. In a stage II study of 76 individuals, the FTI tipifarnib produced a 12% reaction rate as well as a 24% therapeutic improvement rate, with thrombocytopenia,

neurotoxicity, and granulocytopenia as adverse effects (Johnston et al. [2003b\)](#page-356-0). Small molecules or antisense inhibitor of Ras downstream elements (for example, Raf, MEK kinase) are being studied, however no outcomes in breast cancer have been reported yet.

16.6 Cell Cycle Modulators and Cyclins

The process of entering cell cycle and active multiplication is strongly regulated. CDKs are a set of proteins that are appropriately distributed throughout the cell cycle. When CDKs are triggered, they enhance the activation of other proteins, particularly pRb, a key gatekeeper which enables the cell to transition from G0 to dynamic cycling and mitosis. Cyclins control CDKs favorably, while CDK inhibitors inhibit them negatively (CKIs). Cyc D1 and cyc E expression rates fluctuate with the cell cycle and both are important in the cell's transition from G1 to S stage (Vermeulen et al. [2003;](#page-358-0) Sofi et al. [2022a\)](#page-358-0).

The cyclin D1 gene which is located on chromosome 11q13 had been shown to be highly expressed in 40%–50% of aggressive breast tumors and increased in 10%– 20% of instances (Steeg and Zhou [1998](#page-358-0); Mehraj et al. [2022b\)](#page-357-0). The pRb tumor suppressor factor is activated when cyclin D1 is bonded with its CDK counterpart, liberating the transcription component E2F and stimulating proteins essential for DNA replication. Elevated levels of cyclin D1 expression seem to be linked to ER positivity and a higher proliferation index (Loden et al. [2002\)](#page-356-0). The cyclin E gene is found on 19q12 chromosome and is only slightly increased in breast carcinoma (2%); nevertheless, upregulation and changes in the breakdown pathway leading to the aggregation of limited-molecular-weight variants have been observed in 20%– 30% of breast malignancies (Keyomarsi et al. [2002\)](#page-356-0). Occasionally, both cyclin D1 and cyclin E are abundantly expressed at the same time. Overexpression of cyc E, like cyc D1, causes hyper-phosphorylation of pRb and enhanced proliferation. In comparison to elevated cyc D1 cancers, strong cyclin E tumors are also capable of inducing S phase without pRb phosphorylation or E2F stimulation. Overall, there is a large loss in cell cycle regulation as well as a substantial deregulation of multiplication as a consequence of this. Elevated cyc E cancers are much more prone to be of a greater grade than elevated cyc D1 tumors, are HR $(-)$, possess a greater proliferation score, and had a worse prognosis (Keyomarsi et al. [2002;](#page-356-0) Loden et al. [2002;](#page-356-0) Mir [2022](#page-357-0)). Many characteristics linked to elevated cyclin E levels could indicate why this phenotype is highly aggressive. As previously stated, tumors that overexpress cyclin E are likely to skip the pRb node, enabling for more rapid cell cycle. Furthermore, higher cyc E levels had been linked to greater genetic instability, as compared to higher cyclin D1 levels. Moreover, the enzyme, elastase that breaks down cyclin E to its low-molecular-weight variants, has been linked to a higher propensity for infiltration and metastasis, which may help to elucidate the aggressive phenotype (Keyomarsi et al. [2002](#page-356-0); Mir and Agrewala [2008;](#page-357-0) Khan et al. [2022a\)](#page-356-0). Yet, there is no evidence that cyclins or their variants should be used routinely for predictive or therapeutic purposes.

Cell cycle control is an attractive target because it is a critical end point for many signaling cascades (Dai and Grant [2003](#page-355-0)). Flavopiridol is a nonspecific CDK blocker and a semi-synthetic flavone derivative of rohitukine, an antitumor drug derived from an Indian plant (Tan and Swain [2002](#page-358-0)). This chemical seems to cause apoptosis by interfering with CDKs for ATP binding and disrupting P-TEFb (the CDK9-cyclin T complexes), perhaps as a result of downregulation of anti-apoptotic proteins. Secretory diarrhea and hypotension were found to be dosage limiting in phase I investigations. In a phase II study in mantle cell lymphoma, that is linked to cyclin D1 amplification, 3 patients (11%) responded, with diarrhea, lethargy, and nausea typical side effects, as well as modest hematologic damage (Kouroukis et al. [2003\)](#page-356-0). In breast carcinoma, trials of Flavopiridol in conjunction with a variety of chemotherapeutic drugs are continuing, and preliminary outcomes from a stage I study of Flavopiridol plus docetaxel showed that this conjunction is well accepted (Patel et al. [2018b;](#page-358-0) Mir et al. [2022a](#page-357-0); [b;](#page-357-0) [c](#page-357-0); [d\)](#page-357-0). Because growth hormone-receptor signaling ultimately leads to cell cycle entry, addressing the distal and proximal elements of this pathway with trastuzumab in conjunction with Flavopiridol was examined in HER-2+ cell lines, and combinatorial cytotoxicity was shown (Nahta et al. [2002\)](#page-357-0). Therefore, experiments that combine early and middle signaling with specific cell cycle modulators could be likely to produce improved cell deaths while causing less host damage.

Ro 31-7453 is a nonspecific oral cell-cycle blocker that has been shown to be effective against a variety of tumor cell lines in vitro. It induces loss of mitotic spindle assembly in dividing cells, resulting to M-phase halt, by slightly inhibiting CDK2, CDK1, and CDK4 and tubulin assembly. Two of 32 (6 percent) individuals in a phase II trial of tax and anthracycline-resistant BC reacted, with diarrhea and nausea being the most common adverse effects (Osborne et al. [2004](#page-358-0)). UCN-01 (7hydroxy-staurosporine) is similarly a wide blocker of CDKs and PDK1, exhibiting hypotension as an adverse effect in stage I studies and no reactions in renal cell carcinoma (Shaw et al. [2009;](#page-358-0) Sofi et al. [2022b](#page-358-0)). Chemotherapeutic combinations are being investigated. CDK4 and CDK6 inhibitors with greater specificity have been designed and are tested in clinical studies.

Proteasomal inhibitors had become of interest (Nalepa and Harper [2003;](#page-357-0) Qayoom et al. [2022\)](#page-358-0) because numerous CKIs as well as similar negative regulating molecules are generally controlled by ubiquitin–proteasome degradation. They are currently being tested in clinical trials for breast carcinoma. These medications impact not just CKIs but also a variety of many additional short-lived proteins, like the repressor of NF-κB, a critical mediator of stress and immune system response systems, and so may block other signaling routes as well. In anthracycline-pretreated breast carcinoma, a phase-2 trial of the proteasome blocker bortezomib in conjunction with docetaxel generated response in 6 out of 14 patients (43%) (Albanell et al. [2004\)](#page-355-0).

16.7 Summary

Based on the initial clinical achievement of CDK4/6 inhibitors as well as the hopeful anti-cancer properties of TTK inhibitors as well as CFI-400945, the upcoming rational stages in the advancement of these molecules are to better comprehend treatment resistance, recognize biomarkers for patient choice, and advise treatment sequence data. Long-term therapy of terminal metastatic malignancies with these novel drugs will very certainly accelerate tumor evolution and give selection pressure for drug-resistant clones to spread. Knowing how this evolving process alters tumor genomes to produce treatment resistance phenotypes would be crucial in developing approaches to counteract progression of the disease. Understanding resistance processes and drug-induced biological changes could lead to the development of biomarkers that can forecast innate tumor responses. The proportion of persons with developed tolerance to CDK 4 and 6 inhibitors, that are currently conventional of care for the management of metastatic ER +/HER2+ BCs, would continue to rise. Multiple attempts are being made to explain the genetic evolution of therapeutic resistance utilizing both liquid (i.e., ctDNA) and paired tumor tissues, and testing of advancing illness will be critical for discovering resistance pathways.

16.8 Further Reading

The readers can further read about the role of CDKs in breast cancer by going through the following papers

- <https://www.nature.com/articles/s41523-017-0009-7>
- <http://egetipdergisi.com.tr/en/pub/issue/36515/414615>

The following visual presentations are also available for the readers to view for a better conceptual grasp of CDKs and their function in breast cancer

- [https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=0Sj3rbJPeXQ)=0Sj3rbJPeXQ
- [https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=vEe3lBduckE)=vEe3lBduckE
- [https://www.youtube.com/watch?v](https://www.youtube.com/watch?v=9Rd74mqd-jw)=9Rd74mqd-jw

For more insights about the topic, we would suggest detailed findings from the books of (Mir MA, 2022) <https://doi.org/10.1016/C2021-0-02565-7>, [https://doi.](https://doi.org/10.1016/C2014-0-02898-5) [org/10.1016/C2014-0-02898-5](https://doi.org/10.1016/C2014-0-02898-5) (Mir MA, 2021) [https://doi.org/10.52305/](https://doi.org/10.52305/WXJL6770) [WXJL6770](https://doi.org/10.52305/WXJL6770), from cancer.net website, [https://www.cancer.net/cancer-types/breast](https://www.cancer.net/cancer-types/breast-cancer/types-treatment)[cancer/types-treatment](https://www.cancer.net/cancer-types/breast-cancer/types-treatment)

For diagrammatic illustrations, descriptive tables, (Lazzeroni, 2012) [http://www.](http://www.eurekaselect.com/article/49928) [eurekaselect.com/article/49928](http://www.eurekaselect.com/article/49928)

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Different Cyclins and Their Significance in Breast Cancer 17

Manzoor Ahmad Mir **a** and Hina Qayoom

17.1 Introduction

The cooperation of cyclins and their particular cyclin-dependent kinases (CDKs) controls the progression of the cell cycle (Malumbres and Barbacid [2009](#page-375-0)). In order to create the complex and control the progression through the cell cycle, cyclins are the regulatory subunits of CDKs. This cyclin–CDK complex triggers a series of processes that move in a straight line from the resting state (G0), through the growth phase (G1), DNA replication (S), the growth phase gap 2 (G2), and cell division at the end (M). Any irregularity in any cell cycle phase results in arrest, which lasts until the problem is fixed (Malumbres and Barbacid [2005](#page-375-0)). The cell cycle is orchestrated by cyclins and cyclin-dependent kinases (CDKs), whose expression varies during particular stages (Malumbres and Barbacid [2009](#page-375-0); Malumbres [2014;](#page-375-0) Sofi et al. [2022a,](#page-377-0) [2022b\)](#page-377-0). By phosphorylating targets like Rb, cyclin–CDK complex formation permits control of cell cycle progression. Cyclin-dependent kinases inhibitors (CKIs) such as INK4s, such as p16INK4A/CDKN2A, p15INK4B/ CDKN2B, p18INK4C/CDKN2C, and p19INK4D/CDKN2D, and CDK-interacting protein/kinase inhibitory proteins (CIP/KIPs), such as p21CIP1/WAF Additionally, Skp1–Cul1–F-box protein (SCF) complex and anaphase-promoting complex/ cyclosome (APC/C) expression are regulated by E3 ubiquitin ligases in order to modulate cell cycle transitions (Malumbres [2014](#page-375-0); Sivakumar and Gorbsky [2015](#page-377-0)) (Fig. [17.1](#page-361-0)).

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Fig. 17.1 Different phases of cell cycle

17.2 History of Cell Cycle

Leland Hartwell, Tim Hunt, and Paul Nurse collaborated to discover the proteins: cyclins and cyclin-dependent kinases (CDKs), which are in charge of controlling the cell cycle. Their work earned them the 2001 Nobel Prize in Physiology or Medicine in recognition of their significant contributions, and it further revealed the mechanisms underlying cell cycle control. The basic systems that control the cell cycle have been remarkably preserved throughout evolution. Only two stages of the cell cycle were recognized before the 1950s: interphase and mitosis, which could only be seen under a microscope.

However, Stephen Pele and Howard were the ones to make this discovery later on. Using 32P and autoradiography, they showed that DNA synthesis took place within a distinct interphase period and named this phase S (synthesis) phase. Additionally, they showed that a gap that eventually came to be known as G1 and G2, respectively, was seen after the conclusion of mitosis and the beginning of a new S phase (Mehraj et al. [2022b](#page-376-0)).

Hartwell also introduced the idea of "cell-cycle regulation" in addition to "cell cycle regulation." And that stress or exposure to the environment triggers the activation of the cell cycle checkpoints. Hartwell, however, investigated how sensitive yeast is to ionizing radiations and DNA-damaging effects. He developed the term "checkpoint" to describe the idea that cells arrest, repair the damage, and then move on to the next phase of the cell cycle in light of the discovery that cells are momentarily paused in response to DNA damage. Nurse discovered the cdc2 genes in S. pombe in the middle of the 1970s and came to the conclusion that they played a crucial role in the passage from the $G₂$ to the mitotic (M) phase. Nurse also discovered a human gene that encodes a CDK and was eventually given the name CDK1 (cyclin-dependent kinase-1). He proposed that reversible phosphorylation is necessary for CDK1 activity (i.e., its reversible modification by the addition or removal of phosphate groups) (Sofi et al. [2022a,](#page-377-0) [2022b\)](#page-377-0).

By creating complexes with one of the multiple cyclins, the CDKs are activated. Tim Hunt made the accidental discovery of the cyclins while taking a physiology course at the Marine Biological Laboratories (MBL) in Woods Hole in the early 1980s. He observed that a particular protein was eliminated at each cell division and then resynthesized in the following cycle during synchronous cleavage divisions of the sea urchin Arbacia punctulata embryo. Based on the cyclic pattern of this protein's synthesis and breakdown, he gave these proteins the name "cyclin" (Casimiro et al. [2012](#page-373-0); Qayoom et al. [2022\)](#page-377-0).

Tim's research was later supported by numerous other studies. For instance, Joan Ruderman found that several cyclins are involved in the embryonic cleavage process and that these cyclins interact specifically with various CDKs at various stages of the cell cycle, becoming activated by phosphorylation and becoming inactive by dephosphorylation.

17.3 Cancer and Cell Cycle

Cell divisions that are out of control are one of cancer's key characteristics. Cancer cells divide abnormally because cell proliferation is unchecked and cell cycle checkpoints are damaged. In addition to cyclins and CDKs, a number of additional proteins, most of which are either oncogenes or tumor-suppressor genes, are also implicated in the abnormal activation of cell proliferation. Mutations that cause excessive and unchecked cell growth, such as those seen in the Ras gene, activate oncogenes. However, in nearly all malignancies, tumor suppressors that act as regulators of cell proliferation are either deleted or altered (via genetic recombination, heterozygosity, or epigenetic alteration) (Mehraj et al. [2022a\)](#page-376-0) (Fig. [17.2\)](#page-363-0).

17.3.1 Cyclins

A family of proteins known as cyclins is essential for controlling the cell cycle. It can be recognized structurally by the "cyclin-box" region, a preserved area. About 150 amino acid residues make up this conserved area, which is arranged into five helical sections and is crucial for cyclins to interact with CDKs. There have been more than 20 cyclins or cyclin-like proteins discovered, many of which have been shown to have no known biological purpose. All 56 kDa-sized cyclins with known functions were essential for the progression of the cell cycle through each phase (D'Angiolella et al. [2013](#page-374-0)).

Fig. 17.2 Cancer cell progression via dysregulation of cell cycle phases

By phosphorylating and inactivating their target substrates, cyclins are the regulatory subunits of holoenzyme CDK complexes that control the progression through cell cycle checkpoints (Zhang et al. [2018](#page-378-0), Mir et al. [2022](#page-376-0)).

By binding with various CDKs designated for the cell cycle phase at various time points, the cyclins maintain cell cycle regulation. After a study in which a chromosome breakpoint common to B cell lymphomas that were known to encode cyclin D1 was cloned, the aberrant cyclin expression was linked to malignancy. Consequently, cell cycle deregulation in malignancies has been linked to the overexpression of various cyclins, including cyclin E. Cells that overexpress cyclin E are known to enter the cell cycle's S phase too soon and spend less time in the G1 phase. Cyclin E is typically seen as being crucial for preserving chromosomal stability (Malumbres [2014](#page-375-0)).

17.3.2 Cyclins and Cell Cycle

The unique complexes of particular CDKs and corresponding cyclins play a vital role in controlling the cell cycle and division. The cyclins function as the complex's regulatory subunit and regulate the cell cycle. The cyclin–CDK complex controls the cell's passage through its several stages, which include resting (G0), growth phase (G1), DNA replication (S phase), and cell division (M). Any issue, including stress and DNA damage, causes the cell cycle to be arrested. Nearly 11 functional cyclins have been identified in humans, including members of the D-type cyclin subfamily D1, D2, and D3 (Fig. [17.3](#page-364-0)).

17.4 Different Types of Cyclins with Their Functional Significance:

17.4.1 Cyclin D

With CDK4 or CDK6, cyclin D is known to generate a variety of heterodimeric complexes that regulate the cell cycle's progression through the G1 phase and the start of DNA replication at the transition from the G1 to the S phase (Malumbres and Barbacid [2009](#page-375-0); Sherr et al. [2016](#page-377-0)). The concentration, activation, subcellular distribution, stabilization, and degradation of the cyclin D and CDK complexes are all firmly maintained in response to mitogenic signals. On the other hand, cancer and frequent chemotherapeutic resistance result from overexpressed cyclin D.

Cyclin D1 is more often deregulated in several solid tumors than other cyclins, such as cyclin D2 and cyclin D3 (Casimiro et al. [2012\)](#page-373-0). The second most often amplified locus in solid tumors is the CCND1 gene, which codes for the protein cyclin D1 Table [17.1](#page-365-0) (Beroukhim et al. [2010](#page-373-0)).

According to research, tumors with elevated levels of cyclin D1 exhibit unchecked growth and proliferation in response to a malfunction of the cell cycle at the restriction point in the G1 phase. It controls the course of the cell cycle by creating a complex with CDK4/6 or other partners such as transcription factors, chromatin-modifying enzymes, or cytosolic proteins. In fact, cyclin D1 is known to control the development and spread of malignancies. These include chromosome duplication and stability (Zeng et al. [2010](#page-378-0); Casimiro et al. [2012](#page-373-0)), immunological

Function
Cell cycle progression
Cell cycle progression
Cell cycle progression
Transcriptional activation
AR, Beta2/Neuro D, DMP1, Myb. Transcriptional activation
Co-activation
Chromatin remodeling
Formation of the initiation complex and
recruitment of RNA polymerase II
Co-activation

Table 17.1 Potential functional roles of cyclins

Malumbres and Barbacid [\(2009](#page-375-0))

surveillance (Goel et al. [2017;](#page-374-0) Zhang et al. [2018](#page-378-0)), migration (Sofi and Mir [2021\)](#page-378-0), senescence (Brown et al. [2012](#page-373-0); Laphanuwat et al. [2018\)](#page-375-0), DNA damage response (Li et al. [2010;](#page-375-0) Jirawatnotai et al. [2011](#page-375-0)), mitochondrial respiration (Sakamaki et al. [2006;](#page-377-0) Tchakarska et al. [2011\)](#page-378-0), and migration (Neumeister et al. [2003;](#page-376-0) Li et al. [2008;](#page-375-0) Mehraj et al. [2021b\)](#page-376-0). Cyclin D1 is connected to the mitochondria's outer membrane and is known to move back and forth between the cytoplasm and nucleus in healthy cells.

However, in tumor cells or specific tumor locations, it builds up in the cytoplasm and nucleus. Breast cancer and other malignancies including pancreatic, melanoma, endometrial, colorectal, and non-small cell lung carcinoma exhibit overexpression of cyclin D1 (Zhong et al. [2010;](#page-378-0) Mir et al. [2020a\)](#page-376-0) Fig. [17.4](#page-366-0).

17.4.2 Cyclin E

Human cyclin E was first identified in 1991 (Koff et al. [1991](#page-375-0)) from cDNA libraries of genes that might fix the G1 cyclin mutation in the yeast S. cerevisiae (Patel et al. [2016\)](#page-376-0). Cyclin E is produced by a gene on the 19q12–q13 chromosome. This unique gene encodes a large number of polypeptides with a kDa between 32 and 54 (Porter and Keyomarsi [2000](#page-377-0)). Dysregulation of cyclin E expression, which is also implicated in a number of carcinogenic processes, is the precursor to cell cancer (Geisen and Möröy [2002](#page-374-0)). Cyclin E is mostly present in some tumor cells and is known to play a role in the growth of cancer. Overexpression of cyclin E is brought on by gene amplification.

Cell cycle dysregulation results from cyclin E overexpression. For instance, the cyclin E gene is amplified by 8 times and its mRNA levels are elevated 64-fold in breast cancer, which has a major effect on the development of the disease (Buckley et al. [1993](#page-373-0)). The stimulation of S phase in conjunction with CDK2 and activated

Fig. 17.4 The cyclins in complex with specific CDKs direct the different cell cycle phases

transcriptional regulators including human B-MYB and NPAT, which are vital for cell proliferation, is the usual role of cyclin E (Sala et al. [1997](#page-377-0)).

17.4.3 Cyclin A

Another form of cyclin is cyclin A, which works in both the S and M phases of the cell cycle and is an important cyclin due to its interaction with CDK1 (also known as CDC2) and CDK2 (Pagano et al. [1992](#page-376-0)). Cyclin A is known to facilitate the G2-M transition and serve as an MPF when it forms a complex with CDC2 (Furuno et al. [1999\)](#page-374-0). Cancer invasion and metastasis are linked to overexpression of cyclin A, which is also associated with poor survival and prognosis (Li et al. [2002](#page-375-0); Baldini et al. [2006](#page-373-0); Wegiel et al. [2008\)](#page-378-0). The primary role of the cyclin A–CDK complex during mitosis is to start chromosomal condensation and nuclear membrane disintegration (Pagano and Draetta [1991](#page-376-0); Furuno et al. [1999;](#page-374-0) Gong et al. [2007](#page-374-0)). Cyclin A is redundant in the proliferation of fibroblast cells but crucial for the development of hematopoietic and embryonic stem cells (Kalaszczynska et al. [2009\)](#page-375-0).

17.4.4 Cyclin B

Cyclin B controls the change from G2 to M phase and is crucial for the start of mitosis in its complex with CDK1 (Pines and Hunter [1990\)](#page-377-0). For a proper G2–M transition to occur, Cyclin B is very important; otherwise, genomic instability and the development of cancer will result. As a result, abnormal cyclin B expression will ultimately cause unchecked cell proliferation and encourage malignant

transformation (Löbrich and Jeggo [2007](#page-375-0); Suzuki et al. [2007\)](#page-378-0). In addition to breast cancer, the overexpression of cyclin B is linked to a number of carcinomas, including non-small cell carcinoma (Soria et al. [2000\)](#page-378-0), hepatocellular carcinoma (Ito et al. [2000\)](#page-375-0), and esophageal squamous cell carcinoma (Murakami et al. [1999\)](#page-376-0). Cyclin B is essential for mitosis to occur (Smits and Medema [2001](#page-377-0)).

At the start of mitosis, Cyclin B/Cdc2 is transported into the nucleus from the cytoplasm (Pines and Hunter [1991;](#page-377-0) Santos et al. [2012](#page-377-0)). Because of this, Suzuki et al. shown in a study that only nuclear cyclin B affects the prognosis of breast cancer (Suzuki et al. [2007\)](#page-378-0). Winter's et al. discovered that cyclin B levels in the nucleus and cytoplasm were significant predictors of a poor prognosis for breast cancer (Winters et al. [2001\)](#page-378-0).

17.4.5 Cyclin H

Cyclin H, a member of the cyclin family, collaborates with CdK7 and MAT1 to create a trimeric CDK-activating kinase (CAK) complex, which is essential for the control of the cell cycle and healthy proliferation (Patel et al. [2016\)](#page-376-0). Cyclin H is a polypeptide with 323 amino acids that, along with CDK7 and MAT1, forms the CDK-activating kinase (CAK) (Larochelle et al. [2001\)](#page-375-0). The central component of TFIIH, CAK phosphorylates the RNA polymerase II (RNAPII) CTD subunit before taking part in transcription (Shiekhattar et al. [1995](#page-377-0); Fuss and Tainer [2011](#page-374-0)). Additionally, CDK2 is phosphorylated by CAK, which accelerates the cell cycle from G1 to S phase (Desai et al. [1995](#page-374-0)). Evidence has demonstrated a link between cyclin H genetic variation and tumor growth as well as chemosensitivity (Kayaselcuk et al. [2006;](#page-375-0) Murali et al. [2014](#page-376-0); Palugulla et al. [2018\)](#page-376-0).

17.4.6 Cyclin T

There are various subtypes of cyclin T, including T1, T2, and T2b. It is known to form the PTEFb complex with CDK9, which phosphorylated the RNAPII CTD S2 to control effective transcription elongation (Peng et al. [1998](#page-376-0); Price [2000](#page-377-0); Gegonne et al. [2008\)](#page-374-0). Similar to cyclin H, cyclin T levels do not fluctuate throughout the cell cycle, indicating that these cyclins have essential roles that are independent of the cell cycle stages (Brown et al. [1994;](#page-373-0) Poon et al. [1994;](#page-377-0) Tassan et al. [1994](#page-378-0); Moiola et al. [2010](#page-376-0)).

The expression of cyclin T1 is controlled during T-cell activation (Garriga et al. [1998;](#page-374-0) Herrmann et al. [1998;](#page-374-0) Ghose et al. [2001;](#page-374-0) Marshall et al. [2005\)](#page-375-0). Cyclin T-CDK9 plays a crucial role in controlling a number of cellular functions, including lymphoid development. In NIH 3T3 cells in vitro, overexpression of cyclin T is sufficient to cause foci and colony formation, and in Nu/Nu mice in vivo, it causes tumor growth. Cyclin T probably has a role in lymphomas originating from B- and T-cell lineages, presumably by inhibiting apoptosis (Bellan et al. [2004\)](#page-373-0).

17.4.7 Cyclin K

Cyclin K regulates the phosphorylation of S2 and S5 of the RNAPII CTD by binding CDK12 and CDK13, most likely in two distinct complexes (Bartkowiak et al. [2010;](#page-373-0) Blazek et al. [2011\)](#page-373-0). The capacity of human cyclin K to restore vitality to yeast cells deficient in all G1-cyclin proteins led to its initial cloning (Edwards et al. [1998\)](#page-374-0). Despite this, its purpose is still not fully understood. The genetic deletion of cyclin K causes mortality at a relatively early stage of mouse development, according to a recent study (Blazek et al. [2011\)](#page-373-0).

Later research hypothesized that cyclin K might control the transcription of a number of genes (Bartkowiak et al. [2010;](#page-373-0) Blazek et al. [2011](#page-373-0); Davidson et al. [2014\)](#page-374-0). But neither can it account for the early embryonic fatal phenotype in mice nor is it apparent whether the transcriptional deficiency is a direct effect. In non-proliferative adult human and mouse tissues, we previously discovered that the cyclin K protein is scarcely detectable (Xiang et al. [2014](#page-378-0)). However, it is strongly expressed in stem cells that develop quickly (Dai et al. [2012](#page-374-0)).

17.4.8 Cyclin F

A non-canonical cyclin, cyclin F does not bind to or activate CDKs (D'Angiolella et al. [2013](#page-374-0)). Instead, cyclin F belongs to the family of around 70 F-box proteins, a group of receptors that recognize substrates and draw them to the Skp1-Cul1-Fbox protein (SCF) E3 ligase (Cardozo and Pagano [2004\)](#page-373-0). By causing the breakdown of cell cycle inhibitors, SCF ligases play an evolutionarily conserved role in driving cell cycle progression. For instance, the CDK inhibitors Sic1 and p27 are both destroyed by yeast SCFCdc4 and human SCFSkp2, respectively (Feldman et al. [1997\)](#page-374-0). Cyclin F has cell cycle-dependent action because both its mRNA and protein levels fluctuate during the cell cycle (Bai et al. [1996\)](#page-373-0).

Cyclin F starts to build up at the G1/S transition, reaches a peak in G2, and then has its protein levels reduced by proteasomal degradation in mitosis and G1 (Mavrommati et al. [2018\)](#page-375-0). where, after being phosphorylated by casein kinase II, cyclin F is ubiquitinated by SCFTRCP (Mavrommati et al. [2018\)](#page-375-0). The cell cycle E3 Anaphase Promoting Complex/Cyclosome (APC/C) also ubiquitinates Cyclin F (Choudhury et al. [2016\)](#page-373-0). Additionally, various cell cycle proteins have been linked to cyclin F via ubiquitination and degradation. Together, cyclin F's dynamic regulation and substrate repertoire underscore the role it plays in controlling the cell cycle (Emanuele et al. [2020\)](#page-374-0).

17.4.9 Cyclin G

In rat fibroblasts, src kinase family screening led to the discovery of Cyclin G, a new addition to the cyclin family. Shortly after, transcriptional targets of the tumor suppressor gene p53 were found by differential screening (Okamoto and Beach

[1994;](#page-376-0) Shimizu et al. [1998\)](#page-377-0). Cyclin G has similarities with human cyclins A and I, B-type cyclins, and Cig1 from fission yeast. Cyclin G, in contrast to other members of the cyclin family, lacks the "destruction box" motif that regulates ubiquitindependent degradation but does have an autophosphorylation pattern resembling that of the epidermal growth factor receptor (Horne et al. [1996\)](#page-374-0). Cyclin G is the only known cyclin that the p53 tumor suppressor gene transcriptionally activates, indicating that it may be involved in p53-mediated cell growth control (Zauberman et al. [1995](#page-378-0); Smith et al. [1997](#page-377-0); Kimura et al. [2001\)](#page-375-0).

Furthermore, Cyclin G's biological role is still unknown despite the fact that it shares a high degree of similarity with other cyclins and has yet to be matched with a cyclin-dependent kinase-binding partner. However, upon its activation by p53, Cyc G forms a compound with the B9 regulatory subunits of protein phosphatase 2A (Okamoto et al. [1996\)](#page-376-0). Cyclin G overexpression has been seen in human osteosarcoma cells, which is consistent with our observation (Wu et al. [1994;](#page-378-0) Skotzko et al. [1995\)](#page-377-0).

17.5 Role of Cyclins in Regulation of Transcription

Cyclins are known to control gene transcription and mRNA processing in addition to their role in the course of the cell cycle. Cyclin D1 is the most important protein involved in the control of transcription among the cyclins. Cyclin D1 controls the transcriptional activity of the androgen receptor and the estrogen receptor and is known to bind with over 30 additional transcription factors (Fu et al. [2004\)](#page-374-0). Cyclin D1 is bound by the histone acetyltransferases P/CAF, p300, and AlB1 (Zwijsen et al. [1998;](#page-378-0) Reutens et al. [2001\)](#page-377-0). The interaction of cyclin D1 with target gene promoters was shown by chromatin immunoprecipitation (ChIP), which was associated with H3 lysine 9 deacetylation. The restoration of cyclin D1 resulted in the deacetylation of H3 Lys9 and the concurrent recruitment of HDAC1/HDAC3 (Klein and Assoian [2008\)](#page-375-0). Furthermore, the environment of local chromatin influences the recruitment of cyclin D1 to specific target genes (Bienvenu et al. [2005;](#page-373-0) Fu et al. [2005;](#page-374-0) Wang et al. [2018](#page-378-0); Mir and Mehraj [2019](#page-376-0)).

The movement of the histone acetyltransferase p300/CBP to control the genes directing DNA damage repair signals was also linked to Cyclin D1 recruitment to genomic DNA. It has been demonstrated that Cyclin D1 controls p300 activity in a kinase-independent manner. Cyclin D1 was hypothesized as a transcriptional regulator by co-occupancy with p300 at target DNA binding sites because p300 is known as a transcriptional co-integrator (Bienvenu et al. [2005](#page-373-0)). The transcriptional machinery, a multicomplex protein made up of transcription factors (TFIIB, -D, -E, -F, and -H), the Mediator complex, and RNA polymerase II (RNAPII), is responsible for the transcription of protein-coding genes (Sikorski and Buratowski [2009](#page-377-0); Mehraj et al. [2021a](#page-376-0)).

In eukaryotes, the Mediator complex serves as a link between transcription factors and RNAPII (Kornberg [2005](#page-375-0); Malik and Roeder [2010](#page-375-0)). All yeast RNAPII genes must have a mediator in order to be transcriptionally transcribed (Holstege et al. [1998](#page-374-0)). The biggest RNAPII subunit is phosphorylated by several cyclins, including cyclin C-CDK8, cyclin H-CDK7, cyclin T-CDK9, and cyclin K-CDK12 or CDK13, to control transcription. The carboxy terminal domain (CTD), also known as the heptapeptide (YS2PTS5PS7) repeats, is where RNAPII is phosphorylated (Phatnani and Greenleaf [2006;](#page-376-0) Mir and Agrewala [2008\)](#page-376-0). The transcriptional cycle is impacted from its inception through its elongation and termination by a succession of phosphorylation events at the CTD's S2, S5, and S7 (Egloff and Murphy [2008,](#page-374-0) Cowling and Cole [2010,](#page-374-0) Mir et al. [2020b,](#page-376-0) Mehraj et al. [2022a\)](#page-376-0).

By phosphorylating the RNAPII CTD and the cyclin H subunit of TFIIH, Cyclin C-CDK8 forms a subcomplex with Mediator proteins Med12 and Med13 that interacts with the main Mediator complex to suppress active transcription. The main S5 kinase that facilitates promoter clearance and makes the transition from transcription initiation possible is the cyclin H-CDK7-Mat1 (CDK-activating kinase [CAK]) complex, which binds TFIIH (Roy et al. [1994;](#page-377-0) Devault et al. [1995;](#page-374-0) Yankulov and Bentley [1997](#page-378-0); Mir [2015](#page-376-0)). This establishes a direct connection between the cell cycle machinery and transcription regulation.

Additionally, the cyclin H-CDK7-Mat1 complex directly interacts with transcription factors to control the activity of these molecules. Phosphorylation of PPAR by CDK7 prevents lipogenesis in 3T3-L1 cells and mouse embryonic fibroblasts (MEFs) (Helenius et al. [2009\)](#page-374-0).

To control productive transcription elongation in humans, cyclin T (T1, T2, and T2b) and CDK9 join forces to create the PTEFb complex, which phosphorylates CTD S2 of RNAPII (Peng et al. [1998](#page-376-0); Gegonne et al. [2008\)](#page-374-0). Similar to cyclin H, the amount of cyclin T does not fluctuate throughout the cell cycle, indicating that these cyclins have essential roles that are independent of the cell cycle stage (Brown et al. [1994;](#page-373-0) Poon et al. [1994;](#page-377-0) Tassan et al. [1994;](#page-378-0) Moiola et al. [2010\)](#page-376-0). The expression of cyclin T1 is controlled during T-cell activation (Bartkowiak et al. [2010](#page-373-0); Qayoom et al. [2021](#page-377-0)). Cyclin K regulates the phosphorylation of S2 and S5 of the RNAPII CTD by binding CDK12 and CDK13, most likely in two distinct complexes.

In human cells, CDK12 depletion significantly reduces CTD S2 phosphorylation. The expression of a small number of genes is net downregulated, but these genes— FANCI, FANCD2, ATR, and BRCA1—are crucial for the DNA damage response (DDR) (Blazek et al. [2011](#page-373-0)). Depletion of cyclin K-CDK12 increases -H2AX foci and makes cells more vulnerable to DNA-damaging substances, both of which are consistent with its function in controlling the DDR. Cyclin L is closely related to cyclin K, cyclin T1, and cyclin T2 (L1 and L2). By interacting with the SR splicing protein family and CDK11, Cyclin L regulates splicing. Cytoclin L (Redon et al. [2002;](#page-377-0) Blazek et al. [2011\)](#page-373-0) is a possible oncogene for head and neck cancer.

17.6 Role of Cyclins in Chromosomal Instability

Chromosomal instability (CIN) is a common characteristic that cells from solid tumors possess and is regarded as a defining characteristic of cancer (Bakhoum and Compton [2012](#page-373-0)). Multiple pathways can contribute to CIN, which results in an

aberrant chromosomal complement. It is hotly contested whether CIN is a cause or a result of cancer, but it does happen early in the course of cancer development and is linked to a bad prognosis (Pfau and Amon [2012\)](#page-376-0). Most human breast cancers overexpress cyclin D1, according to research. Although cyclin D1 is necessary for carcinogenesis, there are a variety of cyclin D1 kinase-independent tasks that cyclin D1 performs (Qayoom et al. [2021\)](#page-377-0).

Furthermore, a number of pieces of data point to a possible discrepancy between cyclin D1's capacity to phosphorylate pRB and its role in oncogenesis. In this context, cyclin D1 overexpression in human breast cancer is not associated with pRB phosphorylation or the proliferative marker Ki67 (Van Diest et al. [1997;](#page-378-0) Shoker et al. [2001\)](#page-377-0).

We had postulated an alternate mechanism by which cyclin D1 may drive carcinogenesis by inducing CIN based on a considerable number of papers from our group and others (Casimiro and Pestell [2012](#page-373-0)). Early research revealed that in rat embryonic fibroblasts, cyclin D1 did not cause aneuploidy (Spruck et al. [1999\)](#page-378-0). Aneuploidy, improper mitosis, the accumulation of extra centrosomes, abnormalities in the mitotic spindle, and irregular mitosis were all caused by transiently expressing cyclin D1, according to a recent investigation on mouse primary hepatocytes (Nelsen et al. [2005](#page-376-0)). Only CIN-positive bladder cancer samples exhibited Cyclin D1 gene amplification, which was linked with tumor grade. Our research employing cyclin D1 ChIP and sequencing (ChIP-Seq) showed that the genes that control CIN have an enrichment of the gene regulatory elements bound by cyclin D1 (Casimiro et al. [2014\)](#page-373-0).

A number of human cancers, including colorectal cancer, non-small cell lung cancer, and head and neck squamous cell carcinoma, have been found to overexpress cyclin B1, and its overexpression is strongly linked to a poor prognosis in breast cancer (Wang et al. [1997](#page-378-0)). Additionally, aneuploidy and rapid proliferation of human breast carcinomas are linked to overexpression of cyclin B1 (Suzuki et al. [2007\)](#page-378-0). The maturation/M phase-promoting factor (MPF) in eukaryotes controls the beginning of the M phase (Hunt [1989\)](#page-374-0). In order to trigger the meiotic G2-M phase transition in immature oocytes and the mitosis of somatic cells, the MPF complex, which is made up of cyclin B1 and CDK1, is necessary (Lohka et al. [1988\)](#page-375-0).

Cyclin B1 mediates nuclear envelope dissolution and chromosomal condensation, while cyclin B2 mediates Golgi disassembly. Protein phosphatase 2A/B55 (PP2A-B55) is a key MPF inhibitor (Castilho et al. [2009](#page-373-0)). Along with lymphomas and leukemias, cyclin E transcript and protein levels are elevated in carcinomas of the lungs, digestive system, and breast (Wingate et al. [2009\)](#page-378-0). A prognostic marker called increased cyclin E expression has been found in 18%–22% of breast tumors (Keyomarsi et al. [1994\)](#page-375-0). Breast cancer exhibits a low molecular weight hyperactive form of cyclin E, which is linked to a very bad prognosis (Porter et al. [2001\)](#page-377-0). According to one theory, Cyclin E is a crucial cell cycle regulatory protein that helps with the G1–S phase transition (Hwang and Clurman [2005](#page-375-0)).

Following cyclin D1-CDK4/6, cyclin E binds its catalytic subunit CDK2 and phosphorylates Rb to release E2F transcription factors that control genes involved in the advancement of the S phase. However, a cyclin E deletion model disproved the crucial cyclin E requirement for S phase advancement by showing that mitotic cell division does not necessitate cyclins E1 and E2. Additionally, CDK2-null mice underwent normal development, and null fibroblasts displayed a typical cell cycle profile. These models do, however, highlight a number of cyclin E prerequisites. Mice lacking cyclin E have substantially defective placental trophoblast large cells and megakaryocyte endoreplication (Geng et al. [2003](#page-374-0)).

Additionally, null MEFs exhibit a deficiency in MCM loading onto replication forks, are resistant to oncogenic transformation, and are unable to enter the S phase. The redundancy provided by cyclin E–CDK1 complexes may explain why these important abnormalities are not present in CDK2-null mice. It's interesting to note that cyclin E's kinase-independent G0-S phase and replication licensing functions. Gene amplification, flaws in the p16-Rb-cyclin D1 signalling axis, or flaws in the ubiquitin-mediated degradation pathway can all lead to abnormal cyclin E production (Keyomarsi and Pardee [1993\)](#page-375-0). Given that it frequently correlates with proliferation indices, higher cyclin E abundance may result from increased proliferation rates (Rudolph et al. [2003\)](#page-377-0).

It might, however, function as a molecular catalyst for transformation by using CIN. Aneuploidy was produced when cyclin E was induced in rat fibroblasts or human epithelial cells (Spruck et al. [1999](#page-378-0)). Defective p53 and dysregulated cyclin E expression resulted in increased ploidy and genomic instability in basic human cells. High expression of a lung-specific, degradation-resistant cyclin E commonly resulted in dysplasia, numerous lung adenocarcinomas, and tumors with CIN in a mouse model (Ma et al. [2007](#page-375-0)).

17.7 Summary

Cancer cells divide abnormally because cell proliferation is unchecked and cell cycle checkpoints are damaged. In addition to cyclins and CDKs, a number of additional proteins, most of which are either oncogenes or tumor-suppressor genes, are also implicated in the abnormal activation of cell proliferation. The cyclins function as the complex's regulatory subunit and regulate the cell cycle. There are various types of cyclins that function with respect to the different cell cycle phases such as cyclin A, cyclin B, cyclin E, cyclin T, cyclin H, cyclin F, and cyclin G. These cyclins along with their partners (CDKs) play an important role in the regulation of cell cycle. The deregulation in the expression of either cyclin or CDKs or both play an important role in the progression of cancer, including breast cancer.

17.8 Further Readings

The readers can have a look at the following articles for a better understanding of the given topic:

- i) <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3636749/>
- ii) <https://ascopubs.org/doi/10.1200/JCO.2005.05.064>

The readers can also take a look at the following visual presentations:

- i) <https://youtu.be/foR2tZHj5Eo>
- ii) <https://youtu.be/tBoG9d0tGCE>

For more incites about the topic we would suggest detailed findings from the books of

(Mir 2022) <https://doi.org/10.1016/C2021-0-02565-7>,

(Mir 2021) [https://doi.org/10.52305/WXJL6770,](https://doi.org/10.52305/WXJL6770) from cancer.net website, <https://www.cancer.net/cancer-types/breast-cancer/types-treatment>

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