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State-of-the-Art Technologies for Production of Biochemicals from Lignocellulosic Biomass

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Abbreviations

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5.1 Introduction

At present, there is a great loss of natural resources, and high consumption of energy and chemicals due to the unstoppable employment of fossil fuels as the main resource for today's life (Takkellapati et al. [2018;](#page-38-0) Usmani et al. [2020](#page-38-0)). In this sense, in order to fulfil the unavoidable demand for energy and chemicals in the increasing world's population, up to 80% of the energy and 90% of the chemicals are intimately dependent on this nonrenewable resource, leading not only to its depletion but also to a great and negative impact in the environment due to harmful greenhouse gas (GHG) emissions and the production of toxic materials (Takkellapati et al. [2018\)](#page-38-0). This challenging situation drives to seek for natural, more efficient, sustainable and renewable resources as a way to substitute the fossil derivatives (Usmani et al. [2020](#page-38-0)).

In this scenario, biorefineries play a key role in enabling a more sustainable and environmentally friendly world by converting biomass into valuable products (Solarte-Toro et al. [2018\)](#page-37-0), building the bases of the bioeconomy and achieving the objectives established in the agenda 2030 (Solarte-Toro and Cardona Alzate [2021\)](#page-37-0). Thus, biomass is considered the cheapest and most abundant source that can be employed for that aim, having a great potential for the production of biofuels and high-added-value products (Cho et al. [2020](#page-32-0); Yiin et al. [2021](#page-38-0)). Specifically, lignocellulosic biomass (LCB)—including wood, agricultural residues and energy crops—is a carbon-neutral material with large reserves, reaching productions of up to 200 billion tons per year (Chen et al. [2022;](#page-32-0) Ashokkumar et al. [2022](#page-31-0)), which may facilitate the transition to a greener resource utilization (Thoresen et al. [2020\)](#page-38-0) and the

Fig. 5.1 Lignocellulosic biomass pretreatment methods and derived value-added products

mitigation of the carbon emissions and the global climatic issues derived (Usmani et al. [2020](#page-38-0)).

The LCB possesses a complex three-dimensional matrix structure, composed of a blend of cellulose fibres coated by hemicelluloses and lignin at different proportions depending on the species (Velvizhi et al. [2022](#page-38-0)). The valorization of LCB cues the production of biofuels and/or green platform chemicals not dependent on fossil resources, although their recalcitrant structure enables the straightforward obtainment of these useful end products. Hence, pretreatments are needed to promote the separation of the main constituents of LCB in separated streams, providing a more efficient valorization (Usmani et al. [2020](#page-38-0)). This process is a key step for the valorization of LCB since it may account for about 20% of the total cost in a lignocellulosic biorefinery (Fírvida et al. [2021;](#page-33-0) Saravanan et al. [2022](#page-37-0)). Although the fractionation processes can be performed using a wide variety of reagents (acid or alkali), the employment of green solvents has appeared as an interesting novel alternative in order to use available at large scale, cheap, recyclable, energy-efficient, low toxicity, biodegradable and, in general, stable solvents (Wang and Lee [2021\)](#page-38-0).

In this sense, the selective fractionation of LCB would allow its conversion into green bio-based platform chemicals. With that aim, the transformation of simple sugars via chemical or biochemical pathways enables the obtainment of valuable building blocks such as those from the furanic-aliphatic family, i.e. hydroxymethylfurfural (HMF), 2,5-furandicarboxylic acid (FDCA) and levulinic acid (Rivas et al. [2021\)](#page-36-0). These platform chemicals were highlighted by the US Department of Energy (DoE) as one of the most promising chemicals coming from biomass that may substitute a wide range of valuable products such as polymer materials, pharmaceutical derivatives, fuels or food products (Sajid et al. [2018;](#page-37-0) Davidson et al. [2021\)](#page-32-0). Figure 5.1 shows a scheme with the different pretreatment methods described in this chapter and the value-added products discussed in it.

Hence, this book chapter aims to display a comprehensive overview of the fractionation processes and the high-added-value products that can be obtained within second-generation biorefinery schemes.

5.2 Composition and Sources of Lignocellulosic Biomass

Lignocellulosic biomass (LCB) is a renewable resource that includes a wide range of feedstock from forestry (hardwoods and softwoods), agricultural or industrial practices, energy crops, etc. (Cai et al. [2017;](#page-31-0) Rodionova et al. [2022](#page-36-0)). LCB is mainly constituted of two polymers with saccharide nature (cellulose and hemicelluloses) and one-third polymer with phenolic nature (lignin). Approximately 90% of dry matter in LCB consists of these three polymers (Nanda et al. [2014](#page-35-0)), whereas the rest comprises minor amounts of other components such as extractives and minerals.

Distribution of cellulose, hemicelluloses and lignin is not uniform within the cell walls of LCB. The quantity and structure of these polymers are variable depending on the species, tissues and maturity of the plant cell wall (Barakat et al. [2013](#page-31-0)). Thus, the organization of these nonuniform three-dimensional matrices depends on the source of LCB in a highly variable composition as shown in Table [5.1.](#page-4-0) Related to this structural complex is the following: (a) cellulose has a crystalline fibrous conformation that acts as the main constituent of the plant cell wall and is responsible for the complicated and recalcitrant nature of LCB (Mankar et al. [2021\)](#page-34-0); (b) hemicelluloses are binding agents between lignin and cellulose and add rigidity to the entire biomass complex (Isikgor and Becer [2015](#page-33-0); Mankar et al. [2021\)](#page-34-0); and (c) lignin behaves like a glue, filling and strongly binding the gap between hemicelluloses and cellulose. The function of lignin in the LCB is to provide impermeability and resistance against oxidative and microbial attack. Thus, LCB has evolved to resist degradation, thanks to the hydrophobicity of lignin, the recalcitrance of cellulose and the encapsulation of cellulose by the ligninhemicelluloses complex (Isikgor and Becer [2015](#page-33-0)).

Cellulose is a linear polymer with around 100,000 average molecular weight and a molecular formula of $(C_6H_{10}O_5)$ _n, constituted by D-anhydroglucopyranose units (glucose) bonded by β -(1 \rightarrow 4) glycosidic links, which form the disaccharide cellobiose. Hydroxyl groups (OH) in the structure of D-anhydroglucopyranose, the primary (C6) and the secondary (C2 and C3), have different polarities that confer them the possibility to participate in intermolecular and intramolecular hydrogen bond interactions (Batista Meneses et al. [2022](#page-31-0)). In fact, cellulose contains both crystalline (organized) and amorphous (not well organized) regions alternating with each other as microfibrils; this crystalline region is resistant to degradation and the amorphous region is easy to degrade. Because of the fibrous nature and strong hydrogen bonding, cellulose is found to be insoluble in the majority of the solvents (Nanda et al. [2014](#page-35-0); Kumar et al. [2016](#page-34-0)).

Hemicelluloses are branched heteropolymers, mainly constituted by different five and six carbon saccharide units, pentoses (xylose, arabinose) and hexoses (mannose, glucose, galactose) (Bhatia et al. [2020](#page-31-0)), interlinked via β-1,4 glycosidic linkages. Hemicelluloses also present substituents as acetyl and uronyl groups. Hemicelluloses, unlike cellulose, have a random and amorphous structure, which is composed of several heteropolymers including xylan, galactomannan, glucuronoxylan, arabinoxylan, glucomannan and xyloglucan. Hemicelluloses in

Table 5.1 (continued) Table 5.1 (continued)

woods differ in composition, since in hardwoods they are mainly constituted by xylan and in softwoods by glucomannans.

Lignin is a three-dimensional polymer made up by aromatic components, unlike the carbon backbones that constitute cellulose and hemicelluloses (Batista Meneses et al. [2022](#page-31-0)). This complex structure contains methoxyl, phenolic hydroxyl and some terminal aldehyde groups in the side chains. The three monolignols are p -coumaryl alcohol, coniferyl alcohol and sinapyl alcohol. The aromatic rings, which are abundantly present in lignin in the form of the basic phenylpropane units, are responsible for the antioxidant properties. The phenylpropane units are linked by β-O-4, β-5 and β–β′ bonds (Zhang and Naebe [2021](#page-39-0)). Lignin contains three types of phenylpropanoids, p-hydroxyphenyl (H), guaiacyl (G) and syringyl (S), and their nature and quantity are variable according to species, tissues, maturity and spatial localization in the cell. As a general trend, the lignin content is lower in hardwoods than in softwoods. In contrast, hardwoods exhibit a higher amount of holocellulose and extractives than softwoods (Nanda et al. [2014\)](#page-35-0). Related to the particularities of lignin from different LCB, in softwoods it mainly consists of guaiacyl units and minor percentage of p-hydroxyphenyl units (HG-lignin), while in hardwoods it is mainly made up by guaiacyl and syringyl units (GS-lignin) (Lourenço and Pereira [2018\)](#page-34-0). Nonwoody LCB contains all the three units; thus, grass lignin belongs to HGS-lignin (Rodionova et al. [2022\)](#page-36-0). It should be noted that H units in grasses are the lowest among the three lignin units, but their proportion is much higher than in hardwood and softwood.

Extractives are nonstructural components of LCB, which can be soluble in water or neutral organic solvents. They include biopolymers such as steroids, terpenoids, resin acids, lipid fats, waxes and phenolic constituents as stilbenes, flavonoids, tannins or lignans (Kumar et al. [2016](#page-34-0)). Elemental composition in LCB refers to major elements, such as K, Na, Mg, Ca or Si, and minor ones as S, Al, Fe, P or Mn; they can be found in less than 1% by weight in wood or shells, while in husks and straws, they reach up to 25% by weight (Nanda et al. [2014](#page-35-0); Kumar et al. [2016\)](#page-34-0).

5.3 Pretreatment Methods

Lignocellulosic pretreatment methods can be classified into traditional and emerging pretreatment technologies (Chen et al. [2022\)](#page-32-0). Traditional methods can be divided into two types depending on whether or not they use chemical reagents. In addition, some traditional pretreatments can be described as fundamental methods, which are necessary as a previous stage to obtain biomass materials that meet the production requirements of the industry (Wang [2021\)](#page-38-0).

5.3.1 Fundamental Pretreatment Methods

Fundamental pretreatment methods include washing, drying and mechanical pretreatment, which are necessary to exclude impurities and obtain shape uniformity (Wang [2021](#page-38-0)). Mechanical pretreatment consists of applying shear or compression forces to reduce the size of the raw material (Rezania et al. [2020\)](#page-36-0). The commonly used mechanical pretreatments include grinding, milling and chipping. Chipping is performed during the collection of biomasses to get particles of 10–30 mm, while after milling or grinding, the size achieved is between 0.2 and 2 mm (Mankar et al. [2021\)](#page-34-0). Milling and grinding decrease the biomass crystallinity and reduce polymerization degree improving the enzymatic digestibility (Dalena et al. [2018;](#page-32-0) Raynie et al. [2020\)](#page-36-0).

Various types of milling instruments have been used so far, such as hammer milling, disk milling, ball milling and vibratory milling (Rezania et al. [2020](#page-36-0)). The more effective instrument reported is vibrating balls (Raynie et al. [2020](#page-36-0)). The main disadvantage of this method is that high-power consumption is usually associated with low efficiency. For this reason, the grinding process accounts for a significant part of the operating costs in the whole lignocellulosic biomass pretreatment process (Rezania et al. [2020\)](#page-36-0).

5.3.2 Traditional Pretreatment Methods

5.3.2.1 Pretreatments with Chemical Reagents

Traditional chemical pretreatments include the use of different reagents such as acids, alkalis, oxidizing agents and organic solvents. As shown in Table [5.2,](#page-9-0) these methods are still being investigated as an alternative to the pretreatment of lignocellulosic biomass.

Acid Pretreatment

Acidic hydrolysis is a traditional pretreatment that has been used to solubilize hemicellulose, precipitate lignin and make cellulose more accessible for enzymatic hydrolysis. Acid reagents can destroy the glycosidic bonds and break all polysaccharide-lignin linkages achieving the recovery of most monomeric sugars (Raynie et al. [2020](#page-36-0); Rezania et al. [2020\)](#page-36-0). The liquid phase rich in soluble sugars is usually submitted to a detoxification treatment before the subsequent fermentation. The commonly used reagents include organic acids such as acetic acid and inorganic acids like sulphuric and phosphoric acid (Rezania et al. [2020](#page-36-0); Chen et al. [2022](#page-32-0)) The key parameters in acid pretreatment are temperature, residence time, acid concentration and solid loading. Dilute acid pretreatment reduces acid consumption but requires higher temperatures to achieve a reasonable yield of glucose from cellulose (Rezania et al. [2020](#page-36-0)). LCB pretreatment is usually applied within the acid concentration of 0–5% (w/w) under 120–215 °C for 1–120 min. The overall fermentable sugar and solid recovery can reach 60–75% and 3565%, respectively (Chen et al. [2022\)](#page-32-0). These variations in the recovery of sugars may be related to the different operating conditions and the type of lignocellulosic materials. Gonzales et al. [\(2016](#page-33-0)) evaluated the pretreatment of different biomasses (empty palm fruit bunch, rice husk and pine tree wood pellets) with 5% (v/v) dilute sulphuric acid at 121 \degree C for 30–90 min, and the sugar recovery yield ranged between 39.7 and 60.7%. Moreover,

Table 5.2 Recent studies of pretreatments of lignocellulosic biomass with chemical reagents: acid, alkaline, oxidative and organosolv pretreatments

(continued)

Table 5.2 (continued)

the increase of the reaction time from 30 to 90 min provoked a three- to fourfold increase in the concentration of furfural and 5-hydroxymethylfurfural (HMF). The dilute acid pretreatment has been extensively optimized using experimental designs to find the optimal conditions that lead to a high solubilization of sugars (Gonzales et al. [2016](#page-33-0)). For example, Martínez-Patiño et al. [\(2017](#page-34-0)) reported solubilization of hemicellulosic sugars of 71% under 2.4% H_2SO_4 , 84 min and 130 °C, when the acid pretreatment was applied to olive tree biomass (Martínez-Patiño et al. [2017](#page-34-0)).

The main advantage of acidic hydrolysis is the high delignification efficiency (50%) and removal of hemicellulose (Raynie et al. [2020\)](#page-36-0). On the other hand, the equipment corrosion, the high cost of acid recovery and the formation of inhibitors such as furfural and HMF are some of the drawbacks of this process. Using high concentrated acids can also damage the lignin structure. It is worth knowing that acid pretreatment processes have improved in economic and environmental aspects (Raynie et al. [2020](#page-36-0); Rezania et al. [2020](#page-36-0); Chen et al. [2022\)](#page-32-0).

Alkaline Pretreatment

Alkaline hydrolysis involves solvation and saponification reactions achieving the cleave of the ester linkages between the hemicellulose with the lignin and cellulose. This method reduces cellulose crystallinity and dissolves lignin and part of the hemicellulose, increasing the accessibility of enzymes (Raynie et al. [2020](#page-36-0)). The commonly used reagents are NaOH, KOH, NH_3-H_2O , Ca(OH)₂, etc. (Chen et al. [2022\)](#page-32-0). Ca(OH)₂ is a cheap and safe chemical that can be easily recovered and recycled by washing the biomass with water which can then be saturated with carbon dioxide to form a calcium carbonate precipitate. Therefore, it is preferred to select $Ca(OH)_2$ for lignocellulose pretreatment in the industry (Wang [2021\)](#page-38-0).

Alkaline pretreatment enables the extraction of lignin selectively without losing reducing sugar and carbohydrates and removing acetyl groups in hemicellulose structure (Raynie et al. [2020](#page-36-0)), enhancing porosity and surface area of biomass and therefore improving enzymatic hydrolysis (Rezania et al. [2020\)](#page-36-0). Besides, it can be applied for a wider temperature range achieving versatile performance even at low temperatures (Chen et al. [2022](#page-32-0)). The main disadvantage of this method is the longer reaction times compared to other pretreatments (Rezania et al. [2020](#page-36-0)). Alkaline hydrolysis can also reduce delignification efficiency due to the condensation and redistribution of lignin and make cellulose denser and thermodynamically more stable than its native structure (Raynie et al. [2020\)](#page-36-0).

LCB pretreatment is typically applied within alkali concentration of 2–7% and under 100–200 \degree C for a short contact time (10–90 min), or a concentration range of 0–2% at 50–100 °C for several hours (Chen et al. [2022](#page-32-0)). For example, when chestnut shells are treated with 7.2% NaOH at 80 °C for 30 min, a delignification of 92.6% was obtained (Morales et al. [2018](#page-35-0)). In another study, the alkali treatment of barley straw under optimal conditions (2% NaOH, 10 min and 105 °C) led to maximum lignin and hemicellulose removal of 84.8% and 79.5%, respectively (Haque et al. [2012\)](#page-33-0). Gullón et al. [\(2017](#page-33-0)) studied a sequential fractionation of vine pruning based on the first stage of autohydrolysis at 201 $^{\circ}$ C, to recover hemicellulosic sugars, followed by a delignification of the autohydrolysed solid using 2% NaOH, 124 °C

and 105 min. These conditions allowed the removal of 67.7% of the lignin, obtaining a solid with 69.4% of glucan (Gullón et al. [2017\)](#page-33-0).

Oxidative Pretreatment

Oxidative pretreatment involves different chemical reactions such as electrophilic reaction, site chain dislocation and aliphatic-organic bond cleavages. Some common reagents are solutions of per-acids, e.g. hydrogen peroxide, and ozone (Raynie et al. [2020\)](#page-36-0).

The decomposition of hydrogen peroxide into OH^- and O^{2-} contributes to the cleavage of alkyl propylene ether bonds and aromatic nuclei destroying lignin structure without releasing inhibitory by-products. Hydrogen peroxide under alkali conditions is unstable and is decomposed in the presence of transition metals (Chen et al. [2022\)](#page-32-0). Overall, lignin removal rises with increasing H_2O_2 charge and reaction time (Yuan et al. [2018](#page-39-0)). For example, when treating wheat straw (previously extracted with NaOH) with H₂O₂ loads of 20 and 40 mg H₂O₂/g biomass for 12 h, removal of the residual lignin of about 35.2% and 58.1% was achieved, respectively (Yuan et al. [2018](#page-39-0)). However, in this same work, the authors reported that when using a high H₂O₂ charge (80 mg H₂O₂/g biomass), the increased reaction time from 6 h to 15 h only led to an additional 1.8% lignin removal.

Ozone can selectively remove lignin and decompose hemicellulose by breaking down the hydrogen bonds (Raynie et al. [2020](#page-36-0); Chen et al. [2022\)](#page-32-0). Due to ozonolysis being performed at room temperature, this method does not modify cellulose structure and any toxic by-product is released during the process. The major disadvantage of this method is the high ozone requirements, which makes it more expensive than other pretreatments (Raynie et al. [2020\)](#page-36-0). Several authors have stated that ozone dose, treatment time and pH are key parameters that affect lignin removal (Kumar et al. [2020](#page-34-0); Osuna-Laveaga et al. [2020;](#page-36-0) Chen et al. [2022](#page-32-0)). Thus, Kumar et al. [\(2020](#page-34-0)) applied 8.87 g ozone/g to treat wheat straw and observed that when the treatment time is extended from 2 to 6 h, the glucose yield increased five times (Kumar et al. [2020](#page-34-0)). Osuna-Laveaga et al. ([2020\)](#page-36-0) evaluated the effect of ozone dose on enzymatic hydrolysis of sugarcane bagasse and reported the highest glucose yields for the highest ozone dose (45.09% using 100 mg O_3/g biomass vs 22.81%) using 50 mg O_3 /g biomass) (Osuna-Laveaga et al. [2020\)](#page-36-0).

Organosolv Pretreatment

The organic solvent pretreatment is employed to degrade lignin and hemicellulose and promote enzymatic hydrolysis of cellulose. Organic solvents can break the α -Oaryl bond and 4-O-methyl glucuronic acid ester bonds in lignocellulose (Chen et al. [2022\)](#page-32-0). A wide range of organic solvents has been used for the pretreatment of LCB such as methanol, ethanol, tetrahydrofuran, acetone, ethylene glycol, formaldehyde, dioxane and amines with or without catalyst. Some organic acids and bases are also employed as reagents (Mankar et al. [2021](#page-34-0); Chen et al. [2022](#page-32-0)). The main advantages of this pretreatment are the high efficiency in the fractionation of lignocellulose biomass in cellulose, hemicellulose and lignin with high purity and the easy recovery and reuse of the solvents (Rezania et al. [2020](#page-36-0)). In some cases, the organic solvents

are too expensive, so it is necessary to recover as much as possible, making the process energy-intensive and costly. Besides, the high flammability and volatility of the solvents lead the process to be carried out under controlled conditions (Mankar et al. [2021](#page-34-0)).

Typically, organosolv pretreatment is performed in the range of 150–220 °C, and below 60 °C may result in lower lignin removal efficiency (Chen et al. [2022](#page-32-0)).

For instance, *Paulownia* wood treated with 50% ethanol, at 200 \degree C for 1 h, resulted in a lignin removal of 65%, and when the treatment time was extended to 4 h, only an increase of 6% in lignin removal was achieved (del Río et al. [2020\)](#page-32-0). Chu et al. (2021) (2021) evaluated the effect of the addition of an acid catalyst $(H₂SO₄)$ in the ethanol organosolv pretreatment of poplar sawdust. The authors found that when acid concentration was raised from 10 to 20 mM, lignin removal was enhanced from 22.23% to 59.87% (Chu et al. [2021](#page-32-0)). The combination of different organic solvents can improve the lignin removal efficiency. For example, the mixture of n propylamine (10 mmol/g dry biomass) and 60% ethanol used to treat corn stover (at 140 \degree C for 40 min) increased lignin removal by 82% compared to single ethanol treatment (Tang et al. [2017](#page-38-0)).

Ammonia Fibre Expansion (AFEX)

The ammonia fibre expansion (AFEX) pretreatment method is similar to that of the steam explosion pretreatment (Rezania et al. [2020\)](#page-36-0). The process involves anhydrous ammonia (1:1) at mild temperatures of $60-170$ °C and high pressures between 15 and 30 bar for a short time (5–60 min) (Mankar et al. [2021](#page-34-0)).

Due to ammonia and oxyhydrogen ions released from liquid ammonia at high pressure, the ester and ether bonds between lignin and hemicellulose are broken (Chen et al. [2022](#page-32-0)). Besides, hemicellulose is degraded into oligomeric sugar and is deacetylated, while cellulose crystallinity is reduced by the rearrangement of the hydrogen bonding within its fibres (Rezania et al. [2020](#page-36-0); Mankar et al. [2021\)](#page-34-0).

The AFEX method achieves higher accessibility of enzymes for hydrolysis with negligible production of inhibitors, mild temperatures, short residence times and high retention of cellulose/hemicellulose content. The main drawbacks are the high capital cost of the equipment to withstand the high pressure involved in the process, the energy requirements for ammonia recycling and the high cost of this reagent (Rezania et al. [2020](#page-36-0); Mankar et al. [2021](#page-34-0)). In addition, it has been reported that the method is less effective for hardwoods and softwoods with high lignin contents (e.g. 25–30%) compared with the high efficiencies obtained with agricultural residues and herbaceous crops (Zhao et al. [2017](#page-39-0); Li et al. [2022](#page-34-0)).

Kamm et al. (2017) (2017) used aqueous ammonia $(25\% \text{ w/v})$ instead of liquid ammonia to test its influence on sugar concentration and its enzymatic yield. They concluded that conversions of more than 90% can be reached in both cases. Despite modified AFEX pretreatment needing higher temperature, inhibitors were neither produced (Kamm et al. [2017\)](#page-33-0). Zhao et al. ([2017\)](#page-39-0) proposed hydrogen peroxide presoaking prior to ammonia fibre expansion (H-AFEX) to treat Miscanthus. The authors reported that the addition of 0.5% H₂O₂ (g/g dry biomass) led to an increase in glucose yield of about 10% (Zhao et al. [2017\)](#page-39-0). Other examples of AFEX pretreatment are listed in Table [5.3.](#page-14-0)

Pretreatment	Biomass	Results	Reference
Hydrothermal	Paulownia wood	The liquor obtained at 205 °C presented a high concentration of xylooligosaccharides (21.33 g/L), and glucose yields close to 70% were obtained by enzymatic hydrolysis in the solid	del Río et al. (2020)
Hydrothermal	Vine shoots	83.1% of xylan was converted into xylooligosaccharides (corresponding to 12.2 g/L) performing at 200 $^{\circ}$ C, but the solid presented low enzymatic susceptibility	Gullón et al. (2017)
Hydrothermal	Poplar wood	Performing non-isothermal autohydrolysis at 210 °C with a solid ratio of 6 kg/kg, a liquor with a concentration of 28.71 g/L of oligosaccharides was obtained	Rivas et al. (2020)
Hydrothermal	Eucalyptus globulus bark	Maximum oligosaccharide concentration (17.5 g/L) was achieved under a non-isothermal regime at a severity of 3.69, corresponding to hemicellulose recovery of 77.03%	Gomes et al. (2021)
Hydrothermal	Elephant grass	4.09 g/kg of xylooligosaccharides are recovered in the liquor at 180 °C for 1 h	Mihiretu et al. (2017)
Steam explosion	Eucalyptus grandis	76 kg of xylooligosaccharides per ton of sawdust were obtained at 200 °C for 10 min. High ethanol concentrations (75.6 g/L) and yields (259 L/ton of dry raw sawdust) were obtained by SSF	Rochón et al. (2022)
Steam explosion	Barley straw	SE (180 °C for 30 min) to barley straw to obtain xylooligosaccharides, fermentable sugars and lignin	Álvarez et al. (2021)
Steam explosion and hydrothermal	Olive tree pruning biomass	80.4% of sugar recovery was achieved with the steam explosion at 220 °C, slightly lower than the 92% obtained with hydrothermal pretreatment at 180 \degree C	Romero- García et al. (2022)
Steam explosion $+2.2\%$ (v/v) H_2SO_4	Agricultural banana wastes	An overall glucose yield of 91.0% was achieved at 177 °C for 5 min with 2.2% (v/v) H ₂ SO ₄ as catalyst	Guerrero et al. (2017)
Steam explosion $+0.25\%$ (w/w) H_2SO_4	Aucoumea klaineana	Using optimum conditions (210 °C, 5 min), 112 kg of ethanol and 82 kg of lignin of high purity can be recovered from 1 ton of wood	Besserer et al. (2022)
AFEX	Wheat straw	Up to 90% of total sugar conversion is achieved with aqueous ammonia $(25\%$ (w/v)	Kamm et al. (2017)
AFEX	Corn stover	Biogas production of anaerobic co-digestion gets enhanced with AFEX treatment	Rojas- Sossa et al. (2019)

Table 5.3 Recent studies of lignocellulosic biomass pretreatment using autohydrolysis, steam explosion (SE) and ammonia fibre expansion (AFEX)

(continued)

Table 5.3 (continued)

5.3.2.2 Pretreatments Without Chemical Reagents

Traditional methods that avoid chemical reagents include those pretreatments that only require water as reaction media, such as hydrothermal, steam explosion and biological pretreatments. Some recent studies are listed in Table [5.3,](#page-14-0) which also includes examples of AFEX pretreatment to compare it with the steam explosion.

Hydrothermal Pretreatment

Hydrothermal pretreatment (also known as liquid hot water, autohydrolysis and hydrothermolysis) involves water with a solid loading rate of 2–30% w/w at temperatures of 160–240 °C and pressures between 6.2 and 33 bar, respectively, during 0–50 min (Rezania et al. [2020](#page-36-0); del Río et al. [2022\)](#page-32-0). The high temperatures produce the autoionization of water into hydronium ions (H_3O^+) that interact with the oxygen of the glycosidic bonds and allow the release of hemicellulosic compounds, such as acetyl groups in the form of acetic acid. In turn, this organic acid acts as a mild catalyst that promotes the solubilization of other hemicellulosederived compounds (Raynie et al. [2020](#page-36-0); del Río et al. [2022\)](#page-32-0).

The high selectivity for hemicellulose solubilization as oligosaccharides, which are high value-added products, can make lignocellulosic biorefineries more competitive (del Río et al. [2022](#page-32-0)). In addition, this method does not use corrosive, expensive and toxic chemical reagents (Bhatia et al. [2020\)](#page-31-0).

Several research works have highlighted the suitability of autohydrolysis as the first stage of a biorefinery, since it enables the selective solubilization of the hemicellulosic fraction in the liquid phase, remaining the cellulose and lignin almost unaltered in the solid fraction (del Río et al. [2020\)](#page-32-0) In this context, Gullón et al. [\(2017](#page-33-0)) studied the non-isothermal autohydrolysis treatment of vine shoots to obtain xylooligosaccharides (XOS). When the operation was performed at 200 \degree C, 83.1% of the xylan was converted into XOS (corresponding to 12.2 g/L). However, the solid obtained under these conditions presented a low enzymatic susceptibility (obtaining 49.5% of total glucan hydrolysis after 96 h) (Gullón et al. [2017](#page-33-0)). In another study, the hydrothermal processing at 205 \degree C of *Paulownia* wood allowed obtaining a solution with a high concentration of XOS (21.33 g/L) and a solid with

good enzymatic susceptibility (with a glucose yield close to 70%) (del Río et al. [2020\)](#page-32-0).

Despite the advantages, some remaining challenges still must be overcome, such as the high energy and water consumption, the low concentration of sugars, the fermentation inhibitors and the lack of literature that collects studies for the co-production of biofuels and value-added compounds within a multiproduct biorefinery approach (Rezania et al. [2020](#page-36-0); del Río et al. [2022\)](#page-32-0).

Steam Explosion Pretreatment

Steam explosion (SE) is an effective, environmental-friendly and industrially scalable pretreatment method that involves two stages: autohydrolysis and instantaneous decompression stage. As described before, hemicellulose is hydrolysed by acetic acid derived from acetyl groups and other acids during the hydrothermal stage. At the same time, cellulose crystallinity is reduced, and lignin depolymerized. The sudden release of pressure in the second stage destroys the structure of fibrous materials, breaking the glycosidic and hydrogen bonds, thereby modifying the biomass both physically and chemically (Yu et al. [2022a\)](#page-39-0).

Steam explosion can be considered an economical approach, due to the process efficiency and the lack of chemicals required (Yu et al. [2022a\)](#page-39-0). Owing to these advantages, it is one of the most used methods to remove hemicellulose and lignin with efficacy. The incomplete lignin removal and the generation of the inhibitory compound are some drawbacks of this method (Rezania et al. [2020;](#page-36-0) Chen et al. [2022\)](#page-32-0).

SE pretreatment is applied with hot steam at $180-240$ °C and high pressure of 1–3.5 MPa, followed by an explosive decompression to atmospheric pressure. Other key parameters are residence time and the selection of catalysts (Yu et al. [2022a\)](#page-39-0). Recently, Álvarez et al. 2021 applied SE (180 °C for 30 min) to barley straw to obtain XOS, fermentable sugars and lignin (Álvarez et al. [2021\)](#page-31-0). Romero-García et al. [\(2022](#page-37-0)) compared autohydrolysis and SE of olive tree pruning biomass, and the results showed that both treatments performed similarly, although the former yielded the highest overall sugar recovery, 92%, at lower operation temperature (180 °C) versus 80.4% for SE at 220 °C (Romero-García et al. [2022](#page-37-0)).

Biological Pretreatment

Biological pretreatment presents various advantages compared with physical and chemical pretreatments such as (1) low operational cost, (2) no need of chemical reagents and (3) lower energy requirements. In addition, another important benefit of biological pretreatment is that compounds that affect subsequent hydrolysis and fermentation are not generated (Sindhu et al. [2016](#page-37-0); Chen et al. [2022](#page-32-0)). However, long incubation times and the loss of carbohydrates are the main disadvantages of this pretreatment (Martínez-Patiño et al. [2018](#page-34-0)). Biological pretreatment is performed using bacteria, fungi or enzymes to help degrade lignin from LCB (Chen et al. [2022\)](#page-32-0). Fungi such as white-rot fungi, brown-rot fungi and soft-rot fungi are the most effective microorganisms to break down lignin due to the secretion of several oxidative enzymes, namely, lignin peroxidase (LiP), manganese peroxidase

(MnP), laccase (Lac) and versatile peroxidase (VP) (Martínez-Patiño et al. [2018\)](#page-34-0). For example, the treatment of poplar wood using white-rot basidiomycete Peniophora incarnata under room temperature for 7 days led to a 70% lignin removal. Enzymatic hydrolysis showed that the maximum yield of glucose reached 33.4% that was improved sevenfold relative to the untreated group (Ma et al. [2021\)](#page-34-0). Martínez-Patiño et al. ([2018\)](#page-34-0) screened seven white-rot fungi to treat olive tree biomass. In this study, the authors studied the changes in biomass composition, secretion of ligninolytic enzymes and enzymatic hydrolysis efficiency after 15, 30 and 45 days of solid-state fermentations. The results indicated that the treatment with Irpex lacteus for 45 days improved enzyme susceptibility compared with the non-inoculated sample (31% vs 13.5%) (Martínez-Patiño et al. [2018](#page-34-0)). Xu et al. [\(2018](#page-38-0)) applied Bacillus subtilis to treat corn stalk for 24 h which resulted in a lignin degradation of 23% and cellulose crystallinity decrease of 4.1% (Xu et al. [2018\)](#page-38-0).

5.3.3 Emerging Methods

In the last decades, greener solvents such as supercritical fluids, ionic liquids and deep eutectic solvents gained interest because they allowed performing under milder conditions, which reduces the release of degradation products and energy consumption, using less toxic and more environmental-friendly chemicals (Raynie et al. [2020;](#page-36-0) Bhatia et al. [2020](#page-31-0)). Other emerging methods such as non-thermal plasma (NTP), co-solvent enhanced lignocellulosic fractionation (CELF), microwave and ultrasound pretreatment have been developed recently to decrease the required quantity of harsh chemicals and processing time. Microwave and ultrasound pretreatments are the most extensively studied of these nonconventional methods (Sidana and Yadav [2022\)](#page-37-0).

5.3.3.1 Microwave-Assisted Heating Pretreatment

Microwave heating pretreatment involves the use of non-ionizing electromagnetic radiations typically in the frequency range of 300 MHz–300 GHz with a wavelength of 1 m to 1 mm. LCB and water absorb microwave radiations which produce the alignment of the molecule dipoles. The realignment of polar molecules generates heat, and its propagation is carried out through two mechanisms: ionic conduction and bipolar rotation (Aguilar-Reynosa et al. [2017;](#page-30-0) Siddique et al. [2022\)](#page-37-0).

The localized heating produced by microwave irradiation disrupts chemical bonds in the lignocellulosic biomass, depolymerizes lignin and releases the hemicellulose fraction (Sidana and Yadav [2022\)](#page-37-0). LCB usually has a low dielectric constant, which reduces the efficiency of pretreatment. However, the microwave absorption capacity can be enhanced by the presence of moisture and inorganic components (Sidana and Yadav [2022\)](#page-37-0).

The main advantage of the method is the reduction of the reaction times to ten times less in comparison with other heating systems, thus decreasing the energy consumption. Due to the short reaction times, the amount of side products is also reduced. Besides, the heat loss is reduced with the use of non-conductor vessels that allows the passage of microwaves without being heated. The main drawback is the formation of hot spots due to the nonhomogeneous properties of the LCB. Besides, some materials have low-energy absorption due to their dielectric properties, and in some cases, these properties can change with temperature (Aguilar-Reynosa et al. [2017\)](#page-30-0).

Microwave-assisted heating is usually performed at 70–230 °C for 5–120 min with microwaves of 2450 MHz and power ranging from 250 to 1000 W (Sidana and Yadav [2022\)](#page-37-0).

Due to the advantages of microwave heating, it has been used to obtain oligosaccharides through hydrothermal treatment from several LCBs. For example, Mihiretu et al. [\(2017](#page-35-0)) applied this technology to extract xylan from aspenwood and sugarcane trash with maximal yields of 66% and 50%, respectively (Mihiretu et al. [2017\)](#page-35-0). Using microwave-assisted hydrothermal treatment at 200 $^{\circ}$ C, Luo et al. [\(2017](#page-34-0)) reported a high removal of hemicellulose (more than 95%) from bamboo (Luo et al. [2017](#page-34-0)). Dávila et al. ([2021\)](#page-32-0) solubilized hemicelluloses from vine shoots, under microwave irradiation to evaluate the impact of temperature and time on the production of oligosaccharides. In this same work, the authors compared the extraction of oligosaccharides using autohydrolysis assisted by microwave and by conventional heating. The authors found that operating under conditions that maximize the production of oligosaccharides, the microwave process enabled reducing both extraction time and energy consumption by 2.6 and 3.5 times, respectively, compared to the conventional treatment (Dávila et al. [2021](#page-32-0)).

5.3.3.2 Ultrasonic-Assisted Heating Pretreatment

Ultrasounds are acoustic waves with frequencies over the hearing range $(>20 \text{ kHz})$. Their propagation through low-pressure areas in the medium produces minute gas or vapour bubbles that gradually increase in size until implosion, giving rise to a phenomenon called acoustic cavitation. The implosion of the cavitation bubbles releases a large amount of energy, creating local hot spots with temperatures of 2000–5000 K and pressures up to 1800 atm (Mankar et al. [2021](#page-34-0); Sidana and Yadav [2022\)](#page-37-0).

Ultrasonic pretreatment causes physical and chemical changes in the lignocellulosic biomass through thermal effects and shearing forcers developed over implosion, but also due to the oxidative radicals produced for the decomposition of the water molecules. The rupture of hydrogen bonds reduces the cellulose crystallinity. Besides, the ultrasonic method breaks α -O-4 and β -O-4 ether linkages in lignin and increases hemicelluloses solubility (Mankar et al. [2021](#page-34-0); Sidana and Yadav [2022](#page-37-0)).

One of the main advantages, as in the case of microwave-assisted pretreatment, is the reduction of hydrolysis time compared with the conventional hydrothermal method. This advantage, along with low instrumental requirements, high efficiency and reliable repeatability, can make this process more cost-effective (de Carvalho Silvello et al. [2019](#page-32-0)). Nonetheless, Bundhoo and Mohee [\(2018](#page-31-0)) concluded that sonication is energetically inefficient based on the lab-scale studies reviewed. Besides, more studies on pretreatment condition optimization, scale-up and economic and environmental sustainability analysis are needed (Bundhoo and Mohee [2018;](#page-31-0) Sidana and Yadav [2022\)](#page-37-0).

The pretreatment efficiency does not increase with frequencies greater than 100 kHz. Typically, the sonication method is conducted at 20–80 kHz for 20–150 min (Chen et al. [2022](#page-32-0)). Other relevant parameters are biomass composition, particle size and reaction configuration geometry (Mankar et al. [2021](#page-34-0)). Ultrasonic irradiation is usually combined with chemical (acid, alkali, ionic liquid, deep eutectic solvents) or physical methods, achieving higher efficiencies (Sidana and Yadav [2022\)](#page-37-0).

Several works have evaluated the optimization of ultrasonic pretreatment of various biomass feedstock. In this line, Onu Olughu et al. ([2021](#page-35-0)) examined the effect of the operational variables (acoustic power, solid-solvent ratio, hammer mill screen size and sonication time) on the delignification yield. The results revealed that the sonication time was the factor that had the greatest impact on delignification followed by acoustic power. Under optimized extraction conditions (50 min, 1/25 g/ mL, 180 W, 3.2 mm), UAE led to a delignification yield of 20.11% (Onu Olughu et al. [2021](#page-35-0)).

The potential of ultrasonic treatment has also been used to improve the performance in enzymatic saccharification of LCB. For example, de Carvalho Silvello et al. ([2019\)](#page-32-0) demonstrated that the application of ultrasonic waves during the enzymatic hydrolysis of sugarcane bagasse led to an 89.37% higher concentration of reducing sugars in comparison to the unsonicated biomass (de Carvalho Silvello et al. [2019](#page-32-0)).

Table [5.4](#page-20-0) gathers selected recent studies of microwave- and ultrasonic-assisted pretreatment of lignocellulosic biomass.

5.3.3.3 Green Solvents

Supercritical Fluids (SCFs)

Supercritical fluids (SCFs) are substances at temperatures and pressures above their vapour-liquid critical point, defined as the endpoint of the liquid-vapour equilibrium curve. The substances exhibit an intermediate behaviour between liquid and gases, presenting high diffusivity and density, and low viscosity at these conditions. These properties allow these nontoxic and relatively low-cost solvents to penetrate the solid material easily due to faster mass transfer (Raynie et al. [2020](#page-36-0); Bhatia et al. [2020;](#page-31-0) Mankar et al. [2021\)](#page-34-0).

Supercritical carbon dioxide $(SC-CO₂)$ is the most widely used supercritical fluid due to its lower critical temperature and pressure $(31.1 \degree C, 73.6 \text{ bar})$ compared with other compounds such as water (374.2 \degree C, 221.2 bar), ammonia (132.3 \degree C, 112.8 bar) and methanol (240 °C, 79.6 bar) (Bhatia et al. [2020](#page-31-0); Chen et al. [2022\)](#page-32-0). $SC-CO₂$ reacts with water present in biomass, forming a carbonic acid which works as a catalyst and increases the hydrolysis rate of the lignocellulosic biomass. A higher moisture content improves the reaction rate (Raynie et al. [2020;](#page-36-0) Mankar et al. [2021\)](#page-34-0). Besides, high pressure and temperature enhance the interaction between the supercritical fluid and lignocellulose because the pore size and the surface area get expanded (Badgujar et al. [2021](#page-31-0); Chen et al. [2022\)](#page-32-0).

Pretreatment	Biomass	Results	Reference
Microwave- assisted hydrothermal	Paulownia wood	80% of xylan is recovered as xylooligosaccharides, and 95% of cellulose is recovered	del Río et al. (2021)
Microwave- assisted hydrothermal	Vine shoots	Microwaves reduce extraction time and energy consumption by 2.6–3.5 times, respectively, compared to the conventional treatment	Dávila et al. (2021)
Microwave- assisted hydrothermal	Aspen wood sawdust	Maximum xylan extraction yield of 66% and cellulose digestibility of 78% were achieved at 195 °C for 20 min	Mihiretu et al. (2017)
Microwave- assisted hydrothermal	Bamboo powder	More than 95% of hemicellulose in bamboo was dissolved applying hydrothermal pretreatment at 200 °C	Luo et al. (2017)
Microwaye- assisted hydrothermal	Brewer's spent grain	43 mg/g BSG of fermentable sugars were recovered (82% of total)	López- Linares et al. (2019)
Ultrasound- assisted hydrothermal	Brewer's spent grain	Under optimal conditions (20% US power, 1 h, 26.3 °C and 17.3% w/v of biomass in water), 2.1-fold increase in reducing sugar yields was achieved	Hassan et al. (2020)
Ultrasound- assisted hydrothermal	Sugarcane bagasse	Sonication led to an 89.37% higher concentration of reducing sugars in comparison to the unsonicated biomass	de Carvalho Silvello et al. (2019)
Ultrasound- assisted alkali (2% (w/v) NaOH)	Orange peel	92% delignification in 4 h using ultrasound-assisted alkaline pretreatment, while the conventional alkaline pretreatment resulted in 86%	Utekar et al. (2021)
Ultrasound- assisted alkali (2% (w/v) NaOH)	Wheat waste biomass	Under optimized conditions (2% NaOH at 100 °C for 30 min and sonication for 1 h), 70% delignification and 84.5% hydrolysis yield were achieved	Saratale et al. (2020)
Ultrasound- assisted acid (4% $(v/v) H_2SO_4)$	Sugarcane bagasse	Acid pretreatment with 4% v/v H_2SO_4 and ultrasonication (25 min) favour the efficient hydrolysates (85%) of monomeric glucose from the cellulose of sugarcane bagasse	Vasaki et al. (2022)

Table 5.4 Recent studies of microwave- and ultrasonic-assisted pretreatment of lignocellulosic biomass

 $SC\text{-}CO₂$ is considered a clean and green solvent. It is nonflammable, nontoxic and non-reactive in nature. The pretreatment is performed under mild conditions, usually below 30 MPa and 200 °C; thus, it does not cause the disintegration of biomass components. It is not necessary a detoxification step before fermentation because $SC-CO₂$ can be easily separated via depressurization. Furthermore, carbon dioxide liberated during fermentations can be used for pretreatment (Raynie et al. [2020;](#page-36-0) Badgujar et al. 2021). It has been reported that $SC\text{-}CO₂$ pretreatment at 20–30 MPa for 6–72 h could improve sugar production by 45.4–101.45% (Chen et al. [2022\)](#page-32-0).

The main drawback of supercritical fluid pretreatment is the lack of process evaluation at an industrial scale which needs further investigation. Supercritical fluids have been well studied at a large scale for biomass-derived compound extraction, but there are very few research articles on the scale-up of biomass pretreatment method (Badgujar et al. [2021](#page-31-0)).

Putrino et al. (2020) (2020) evaluated SC-CO₂ pretreatment in different contact time conditions (3 and 5 h) and the addition of various polarity modifiers (NaOH, $NaffSO₄$ and ethanol) to enhance enzymatic hydrolysis of cellulose present in coconut fibres. The authors concluded that the method caused changes in the morphology of the raw material, increasing porosity, and reducing the phenolic compound content. However, there was no significant increase in sugar yield after enzymatic hydrolysis because there is hardly any reduction of the lignin content (Putrino et al. [2020](#page-36-0)).

Takada et al. ([2021\)](#page-38-0) studied the topochemistry of the delignification of the Fagus crenata wood using supercritical methanol treatment (270 °C, 27 MPa). After 30 min, more than 70% of the lignin was eluted. The authors observed that the lignin in the secondary wall was easily decomposed and removed, while the middle lamella lignin was initially resistant to the pretreatment method (Takada et al. [2021\)](#page-38-0).

Several studies have evaluated the combination of supercritical fluids with co-solvents and other pretreatment methods. In this line, Silveira et al. [\(2015](#page-37-0)) achieve a 41.9% delignification, high carbohydrate recoveries and high enzymatic digestibility by combining $SC\text{-}CO₂$ with the ionic liquid 1-butyl-3methylimidazolium acetate and ethanol as co-solvents to pretreat sugarcane bagasse (Silveira et al. [2015\)](#page-37-0).

Ionic Liquids (ILs)

Ionic liquids (ILs) are molten salts with a melting point lower than 100 $^{\circ}$ C, usually composed of organic cations, and organic or inorganic anions (Usmani et al. [2020;](#page-38-0) Chen et al. [2022](#page-32-0)). ILs can be classified depending on the cation, being the most used for lignocellulosic biomass pretreatment imidazolium-based ($[(C_3N_2)X_n]^+$), but also ammonium-based $([NX₄]⁺)$, pyrrolidinium-based $([(C₄N)X_n]⁺)$, pyridinium-based $([C_5N)X_n]^+$), phosphonium-based $([PX_4]^+)$, sulphonium-based $([SX_3]^+)$ and choline-based have been used widely for this purpose. The most commonly used anions are chloride and acetate (Rezania et al. [2020](#page-36-0); Usmani et al. [2020;](#page-38-0) Chen et al. [2022\)](#page-32-0).

Several semi-empirical and empirical parameters have been used to predict and simulate the solubility of biomass and other biomolecules in ionic liquids. COSMO-RS and the Kamlet-Taft parameters describe polarity, while Hansen parameters quantify the solubility (Usmani et al. [2020\)](#page-38-0). The cellulose dissolving capability can be attributed to the affinity of the anion to hydrogen bonds, which promotes the formation of an electron donor-electron acceptor complex between cellulose and the ionic liquid. On the other hand, the lignin solubility in ILs is attached to the interactions between the aromatic components of lignin and the cations (Usmani et al. [2020](#page-38-0); Chen et al. [2022\)](#page-32-0).

ILs are considered a green alternative to volatile organic solvents because they are easy to obtain, do not form hazardous chemicals, selectively remove lignin and hemicelluloses and can be operated in continuous mode with high biomass input (Bhatia et al. [2020](#page-31-0); Chen et al. [2022](#page-32-0)). ILs also have intrinsic and interesting properties such as nonflammability, wide electrochemical windows, broad liquid regions, low vapour pressure, high viscosity, low conductivity and high thermal and chemical stability. The tuneable nature of ILs allows for the design of a solvent with specific properties to perform the required extraction selectivity and capacity (Usmani et al. [2020\)](#page-38-0).

The main drawbacks are related to the recovery and reuse of ILs which is crucial due to the high cost of the solvents (Bhatia et al. [2020](#page-31-0)). Desirable properties in a solvent such as low vapour pressure, high viscosity and high dissolution capacity for polar molecules become a challenge in its recovery. Distillation is not, in general, an adequate method to remove impurities from ILs, and many polar compounds such as water, inorganic salts or acids tend to accumulate in the solvent. The solutions become viscous and difficult to handle post cellulose extraction, making it challenging to recover hemicellulose and lignin. Besides, some ILs are toxic to microorganisms and enzymes (Usmani et al. [2020\)](#page-38-0).

In the last decade, the solvent and catalytic capacities of ILs for biomass treatment have also attracted much interest. In this context, Asim et al. ([2021\)](#page-31-0) using an ionic liquid-based on [PyH] HSO₄. (H₂SO₄)₃ under mild conditions (60 °C, 2 h) reported a high delignification (79%) and lignin recovery (77%) from wheat straw (Asim et al. [2021\)](#page-31-0). In this same line, Portela-Grandío et al. ([2021\)](#page-36-0) proposed an organosolv process catalysed with 1-butyl-3-methylimidazolium hydrosulphate for the fractionation of invasive species such as Acacia dealbata wood. The authors found that under optimized conditions (190 °C, 60% ethanol, 60 min of reaction time and 0.6 g 1-butyl-3-methylimidazolium hydrosulphate/g wood), this treatment led to high solubilization of lignin and hemicelluloses and cellulose recovery (87.5%, 88.7% and 88.3%, respectively) (Portela-Grandío et al. [2021](#page-36-0)).

Deep Eutectic Solvents (DESs)

Deep eutectic solvents (DESs) are liquid eutectic mixtures composed of hydrogen bond acceptors (HBAs) and hydrogen bond donors (HBDs) at specific molar ratios, with freezing points lower than those of the individual components. They have favourable features similar to ionic liquids, such as low vapour pressure, high thermal stability and tunable physicochemical properties. Moreover, compared with traditional solvents and ionic liquids, DESs are less toxic, more biodegradable, more compatible with enzymes and microorganisms and more cost-effective in the synthesis process than ILs (Chen et al. [2020](#page-32-0), [2022;](#page-32-0) Wang and Lee [2021](#page-38-0)).

Chlorine-chloride (ChCl) is the most used HBA for biomass pretreatment for its low cost (65 US\$/kg) and safe and healthy nature, and it can be derived from biomass (Xu et al. [2020b;](#page-38-0) Wang and Lee [2021](#page-38-0)). Other quaternary ammonium salts such as betaine, benzyltrimethylammonium chloride (BTMAC) and triethyl benzyl ammonium chloride (TEBAC) are also used as HBAs in DES (Chen et al. [2020\)](#page-32-0).

DESs can be classified into four groups according to the functional group of HBDs carboxyl acid-based DESs, amine-/amide-based DESs, polyalcohol-/carbohydrate-based DESs and phenolic compound-based DESs (Zhou et al. [2022\)](#page-39-0). Lactic acid (LA), formic acid (FA) and oxalic acid (OA) are some biomass-derived carboxylic acids that have been used as HBDs in DES synthesis. The acid strength, amount of carboxyl groups and molar ratio are closely related to lignin extraction efficiency. The presence of active protons promotes proton-catalysed cleavage of various bonds in biomass (Chen et al. [2020](#page-32-0); Zhou et al. [2022\)](#page-39-0).

Amide-based (e.g. urea), amine-based (e.g. monoethanolamine) and imidazolebased compounds are used to synthesize amine-/amide-based DESs. This kind of DESs exhibits alkaline behaviour, which facilitates the selective lignin extraction through deprotonation of phenolic hydroxyl groups in lignin. Polyalcohol-/carbohydrate-based DESs contain chemicals with hydroxyl groups such as polyalcohols (e.g. glycerol (Gly) and ethylene glycol (EG)) and carbohydrates (e.g. glucose) and usually exhibit neutral or near-neutral pH conditions. Polyalcohol-based DESs have been widely used for lignocellulosic pretreatment, being ChCl/Gly the most popular as Gly is a low-cost by-product generated in the biodiesel industry, and high delignification (60–90%) has been reported by several studies. On the other hand, carbohydrate-based DESs generally have a low capability of lignin extraction, being more used as solvents in chemical reactions rather than for biomass pretreatment. More recently, lignin-derived compounds, such as vanillin, *p*-coumaric acid (PCA), catechol, p-hydroxybenzyl alcohol (PBA), p-hydroxybenzoic acid (PB) or phydroxybenzaldehyde (PHA), have been used in phenolic-based DESs synthesis, which can aid in the development of a closed-loop biorefinery (Chen et al. [2020;](#page-32-0) Zhou et al. [2022\)](#page-39-0).

As in the case of ILs (see Sect. [5.3.3.3.2\)](#page-21-0), the Kamlet-Taft parameters are used as a quantitative tool to describe the solvatochromic properties of DESs, thus reducing the efforts to select the appropriate DES for treatment (Wang and Lee [2021;](#page-38-0) Zhou et al. [2022](#page-39-0)). DES-based pretreatment can be enhanced manifold by applying microwaves and ultrasound, and also catalysts and co-solvents such as water, acids and metal salts have been tested to boost the performance of lignin extraction. Even though DES solvents are expected to become one of the most popular biomass pretreatments in the future, a deeper understanding of the interactions of DESs with the different biomass fractions is still missing (Raynie et al. [2020](#page-36-0); Mankar et al. [2021;](#page-34-0) Zhou et al. [2022](#page-39-0)). Besides, further studies are needed to develop effective DESs recycling technologies and understand the properties of different DES lignin to broaden their applications (Chen et al. [2020\)](#page-32-0).

Zhao et al. [\(2018](#page-39-0)) studied a series of three ethanolamine-based DESs and three amide- or glycerol-based DESs to treat wheat straw. The authors evaluated the influence of different parameters on the delignification performance such as properties of DESs (pH and viscosity), pretreatment temperature (50, 70, 90, 110, 130 °C) and pretreatment time (1, 6, 9, 12, 24 h). Among all tested DESs, the choline chloride/monoethanolamine (ChCl/MEA (1:6)) system using a liquid/solid ratio of 20:1 during 9 h and 70 \degree C showed the highest lignin removal (71.4%) while preserving 93.7% of the cellulose. In addition, this solid exhibited good enzymatic digestibility with cellulose and xylan conversion of 89.8% and 62%, respectively (Zhao et al. [2018](#page-39-0)).

Another work conducted by Mankar et al. ([2022\)](#page-34-0) evaluated the ability of four ChCl-carboxylic acid-based eutectic mixtures to be applied combined with microwave-assisted technology for the extraction of lignin from coconut coir. The authors also compared the effect of different heating types (microwave and conventional heating) on the lignin removal efficiency. Under optimum conditions (150 °C for 20 min using ChCl/LA (1:4)), the lignin yield was six times higher using microwave irradiation compared to conventional heating (82% vs 13.5%) (Mankar et al. [2022](#page-34-0)).

Table [5.5](#page-25-0) shows recent studies on supercritical fluids (SCFs), ILs and DESs pretreatment of lignocellulosic pretreatment.

5.3.3.4 Other Emerging Methods

Several advanced treatment methods used in food industries for improving the nutritional quality and shelf-life of ready-to-eat food products have been gaining interest as biomass pretreatment techniques over the last decade. These nonconventional methods have been proved successful at a laboratory scale, but they are in an early research state. Nonthermal plasma (NTP), hydrodynamic cavitation, high hydrostatic pressure homogenization, electron beam irradiation and gamma irradiation are some of the pretreatments discussed by Sidana and Yadav ([2022\)](#page-37-0).

Co-solvent enhanced lignocellulosic fractionation (CELF) technique is another novel method where a mixture of tetrahydrofuran (THF) and water is used as a monophasic solvent to simultaneously extract lignin from biomass and transform glucan-rich solid residue into various fuel precursors, 5-hydroxymethyl furfural, furfural and levulinic acids in high yields (Bhatia et al. [2020](#page-31-0); Mankar et al. [2021\)](#page-34-0).

5.4 Lignocellulosic Biomass to Value-Added Biochemicals

In recent years, the investigation of the furanic-aliphatic family has substantially increased, such as versatile building blocks derived from renewable resources. For the development of bio-based platform chemicals, simple sugars present in the lignocellulosic materials can be converted by chemical or biochemical processes into value-added building blocks, which contributes to reaching the desired bio-based economy. In this context, the most relevant marketable bio-based chemicals, namely, hydroxymethylfurfural (HMF), 2,5-furandicarboxylic acid (FDCA) and levulinic acid that can be obtained in a biorefinery scheme, are approached in this chapter.

5.4.1 Hydroxymethylfurfural (HMF)

Hydroxymethylfurfural or 5-hydroxymethylfurfural (HMF) containing a hydroxymethyl group (an aldehyde group and a furan ring) is one of these platform

Bmin], 1-butyl-3-methylimidazolium; [C₂mim], 1-ethyl-3-methylimidazolium; ChCl, choline chloride; EG, ethylene glycol; EtOH, ethanol; FA, formic acid; Gly, glycerol; LA, lactic acid; MEA, monoethanol amine; MeSO₄, methyl sulphate; [OAc], acetate; PB, p-hydroxybenzoic acid; PCA, p-coumaric acid; [PyH],
pyridinium; SC, supercritical; [TEA], triethylammonium [Bmin], 1-butyl-3-methylimidazolium; [C₂mim], 1-ethyl-3-methylimidazolium; ChCl, choline chloride; EG, ethylene glycol; EtOH, ethanol; FA, formic acid Gly, glycerol; LA, lactic acid; MEA, monoethanol amine; MeSO4, methyl sulphate; [OAc], acetate; PB, p-hydroxybenzoic acid; PCA, p-coumaric acid; [PyH], pyridinium; SC, supercritical; [TEA], triethylammonium

chemicals. This versatile chemical structure allows its transformation into highervalue derivative compounds (such as levulinic acid, 2,5-dimethylfuran, ɣ-valerolactone, 5-hydroxymethyl-2-furan carboxylic acid, 2,5-diformylfuran, 5-formylfuran carboxylic acid and 2,5-furandicarboxylic acid), with applications in different sectors such as plastic, pharmaceutical, fuels, fragrance and textile industries. For this reason, HMF was identified by the US Department of Energy as one of the most promising bio-derived molecules from lignocellulosic biomass. Accordingly, the global market of HMF is expected to reach \$61 million by 2024 (Market Study Report [2019](#page-34-0)).

HMF is produced by acid dehydration of hexoses or their corresponding polysaccharides obtained from lignocellulosic biomass. Its efficient large-scale production requires deep understanding of dehydration mechanisms using the adequate catalyst and the optimization of the process. Among several processes used for their production, the use of liquid ionic and biphasic systems has been extensively explored (Naz et al. [2021;](#page-35-0) Yousatit et al. [2022](#page-39-0)) due to the interesting yields reported (Sousa et al. [2015](#page-37-0)). More recently, emerging green solvents such as DESs for the sustainable production of HMF have been also considered, taking into account their inherent advantages as solvents (Zuo et al. [2021\)](#page-39-0). Generally, the main strategies used for the HMF production are (1) the heterogonous acid catalysis using catalysts such as zeolites and polymeric resins and (2) homogenous catalysis catalysed by the ionic liquid and organic or mineral acids. HMF production involves a wide range of conditions that can be evaluated (such as extractive solvent, reactive phase and/or water/organic media). The scientific community has devoted huge efforts to develop more efficient and sustainable processes for HMF production. Among several strategies, the use of microwave technology as an alternative to conventional heating systems allows employing lower reaction times and obtaining higher yields. The use of biphasic systems employing soluble solvents (such as dimethyl sulfoxide (DMSO), acetone or poly(ethylene) glycol (PEG)) reduces undesired hydration reactions of HMF compared to aqueous media. In addition, the catalytic process can be combined with an enzymatic reaction in order to improve HMF yields. For instance, immobilized glucose isomerase enzymes can be used for the isomerization of glucose into fructose, being subsequently dehydrated in HMF (Alipour [2016](#page-30-0)). On the other hand, high-pressure $CO₂$ has been also evaluated as a low-cost alternative to acid catalysts. One of the main challenges for the advance in the HMF production includes the direct transformation from lignocellulosic biomass within a one-pot approach (Zhao et al. [2011;](#page-39-0) Xu et al. [2020a](#page-38-0)).

5.4.2 2,5-Furandicarboxylic Acid (FDCA)

Particularly, 2,5-furandicarboxylic acid (FDCA), obtained by complete oxidation of HMF, has also been identified as one of the top 12 high-potential bio-based products to be obtained from biomass (Bozell and Petersen [2010\)](#page-31-0), and its worldwide market is expected to reach \$850 million by 2025 (Acumen Research and Consulting [2022\)](#page-30-0). Its major industrial use is as a substitute for terephthalic acid (TPA), which can be

used to synthesize several polyesters such as polyethylene furanoate (PEF). PEF is obtained by the polymerization of FDCA with ethylene glycol to replace the petroleum-derived polyethylene terephthalate plastic (PET) (Gubbels et al. [2013;](#page-33-0) Motagamwala et al. [2018](#page-35-0)). The European Union has funded a consortium of 11 companies, known as "PEFerence", in order to develop an innovative production process for FDCA and PEF ([https://peference.eu/\)](https://peference.eu/). FDCA may also be employed as a building block in the production of medicines, plasticizers, thermosets, coatings and polyamides (Hu et al. [2018\)](#page-33-0).

Large-scale production of FDCA requires two key steps: (1) dehydration of hexoses (such as fructose, glucose and C6 polysaccharides/cellulose) to obtain HMF and (2) further catalytic oxidation to produce FDCA (by chemical catalysis, electrocatalysis or enzymatic catalysis). Most studies employ chemical catalysis using a methodology based on a Pt/C catalyst for efficient oxidation. High FDCA yields have been also reported using several types of nanoparticles as catalysts in oxidation conditions $(>90\%)$ (Siankevich et al. [2014\)](#page-37-0). Direct conversion of fructose into FDCA was also obtained using a triphasic system achieving a global yield of 78% (Yi et al. [2015](#page-38-0)). Nevertheless, this typical chemical route implies the generation of by-products, impurities, wastes and the need for downstream processing, which compromises the ecological footprint. In this sense, biocatalysis using enzymes for bioconversion of HMF into FDCA shows several advantages as an alternative route, namely, the mild reaction conditions and the high selectivity of the enzymes (Domínguez de María and Guajardo [2017](#page-32-0)).

The enzymatic oxidation of HMF includes three consecutive oxidation steps. Some authors have proposed the combination of enzymes with chemocatalysis (Krystof et al. [2013](#page-34-0); Qin et al. [2015](#page-36-0)). Moreover, this biocatalytic reaction can be carried out using whole cells, which entails several advantages such as no need of cofactor regeneration and no tedious purification of enzymes. The first whole-cell biocatalyst reported for FDCA production using HMF as substrate was using a Pseudomonas putida S12 strain, which was modified to express the hmfH gene from Cupriavidus basilensis (encoding an HMF/furfural oxidoreductase) (Koopman et al. [2010](#page-34-0)).

Alternatively, furfural was also employed as a more sustainable and economical substrate for FDCA production. Furfural can be industrially obtained by acidcatalysed thermohydrolysis of the hemicellulose process. The biocatalytic conversion was also reported using a recombinant *Escherichia coli* expressing two enzymes (oxidase and carboxylase) to convert furfural into 2-furoic acid and subsequently furoic acid into FDCA, respectively (Kawanabe et al. [2021](#page-33-0)). The increase of industrial patent application shows the interest in this approach for FDCA production (de Bont et al. [2018\)](#page-32-0). Nevertheless, higher productivities should be obtained to validate this strategy at an industrial level.

5.4.3 Levulinic Acid (LVA)

Levulinic acid (LVA), also known as 4-oxopentanoic acid, is a low molecular weight carboxylic acid also included in the top 12 bio-based platform chemicals due to a wide range of applications, namely, personal care, adsorbents, lubricants, drug delivery and as a precursor for the production of biofuels, chemicals and polymers. The global market size for LVA is projected to achieve US \$5.02 billion by 2028 (Grand View Research [2021](#page-33-0)). LVA is produced from HMF by acid degradation of cellulose in an aqueous medium and subsequent rehydration of HMF in an acidic medium. In this reaction, formic acid is also formed in equimolar quantities, which is also beneficial since formic acid can be used as plasticizers, rubbers, formaldehyde, textiles and drugs. Furfural has been also employed for LVA conversion via hydrogenation to furfuryl alcohol and subsequent ethanolysis (Dutta and Bhat [2021\)](#page-32-0). LVA production by desirable one-pot conversion has been reported using simple sugars such as glucose and polysaccharides such as cellulose, as well as directly from biomass (Mukherjee et al. [2015;](#page-35-0) Morone et al. [2015\)](#page-35-0). Reaction conditions for LVA production are harsher than for cellulose or HMF conversion. Generally, low LVA yields are related to side reactions that are derived in the formation of dark and coloured solid denominated humins.

Hemicellulose containing pentoses and hexoses (as oligomer and/or monomer) obtained by hydrothermal treatment of Pinus pinaster was used for LVA production by homogenous catalysis with sulphuric acid, yielding 66% of LVA of the stoichiometric value (Rivas et al. [2013](#page-36-0)). As an alternative to mineral acids, heterogeneous catalysts have also been evaluated for LVA production (Sajid et al. [2021\)](#page-37-0). Similar to HMF conversion, the selectivity and yield of LVA are strongly dependent on acid strength. Temperatures in the range of 80–200 °C for 0.5–24 h have been evaluated for glucose conversion to LVA, obtaining yields varying 20–79% using catalysts such as HCl, FeCl₃ or Amberlite IR-120 (Sajid et al. [2021\)](#page-37-0). For LVA production from xylose, temperatures in the range of $120-200$ °C for 0.5–6 h were employed using solvents such as water-acetone, water or water-methyl ethyl ketone catalysed with Cu-NbP or H_2SO_4 (Sajid et al. [2021](#page-37-0)).

Despite the high value of LVA and the possibility of its production from renewable resources such as lignocellulosic biomass, the research focused on the development of more stable catalysts using non-metals with synthetic polymers is still necessary. In this sense, the pretreatment that allows a suitable fractionation of lignocellulosic biomass is mandatory for its utilization.

5.5 Conclusion

The complexity and recalcitrance of LCB, composed of a blend of cellulose fibres coated by hemicelluloses and lignin at different proportions depending on the species, do not allow a straightforward valorization to produce biofuels and/or green platform chemicals not dependent on fossil resources. Hence, pretreatments are needed to promote the separation of the main constituents of LCB in separated streams, providing a more efficient valorization. Traditional pretreatments, including the use of different chemicals such as acids, alkalis, oxidizing agents and organic solvents, or even those pretreatments avoiding the use of reagents, such as steam explosion, autohydrolysis or biological treatments, have shown certain limitations, hampering full-fledged commercial adaptation of such traditional processes. In the last years, alternative green and sustainable pretreatment technologies have been developed, aiming to overcome the bottlenecks of traditional technologies. Microwave- and ultrasonication-assisted technologies have shown high effectivity on a variety of lignocellulosic biomass. On the other hand, green solvents, e.g. supercritical fluid, ionic liquid and deep eutectic solvent (DES)-based pretreatment techniques, have already shown great potential in the pretreatment of different LCB.

The transformation of biomass feedstock into 5-HMF, FDCA and LA is of particular interest. These compounds, included in the DOE Platform Chemical List, show strong potential as platform chemicals may serve as a primary building block of the biorefinery and can provide direct substitutes for existing petrochemicals. Although there have been remarkable advances in the last years, these processes are still emerging for fulfilling industrial needs. Some remaining challenges still have to be overcome in bio-based products from biorefinery carbohydrates.

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