# **Positivity Preserving Rational Quartic Spline Zipper Fractal Interpolation Functions**



535

Vijay and A. K. B. Chand

Abstract In this paper, we introduce a class of novel  $C^1$ -rational quartic spline zipper fractal interpolation functions (RQS ZFIFs) with variable scalings, where rational spline has a quartic polynomial in the numerator and a cubic polynomial in the denominator with two shape control parameters. We derive an upper bound for the uniform error of the proposed interpolant with a  $C^3$  data generating function, and it is shown that our fractal interpolant has  $O(h^2)$  convergence and can be increased to  $O(h^3)$  under certain conditions. We restrict the scaling functions and shape control parameters so that the proposed RQS ZFIF is positive, when the given data set is positive. Using this sufficient condition, some numerical examples of positive RQS ZFIFs are presented to support our theory.

**Keywords** Fractals  $\cdot$  Positivity  $\cdot$  Rational quartic spline  $\cdot$  Zipper  $\cdot$  Zipper smooth fractal function

AMS subject classifications 28A80 · 41A05 · 41A20 · 41A25 · 65D10

## 1 Introduction

To find a nice interpolation curve with various attributes is an active area of research in numerical analysis, approximation theory, wavelets, classical and discrete geometry, engineering design, civil engineering and computer science. From the last many decades, researchers have come up with various types of interpolants that have advantages over one another. Polynomial interpolations are preferred when the original function is sufficiently smooth. For some fixed order of smoothness, different types of spline (polynomial/trigonometric/exponential/rational) interpolants are

Vijay (🖂) · A. K. B. Chand

Department of Mathematics, Indian Institute of Technology Madras, Chennai 600036, India e-mail: vijaysiwach975@gmail.com

A. K. B. Chand e-mail: chand@iitm.ac.in

<sup>©</sup> The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2023 R. K. Sharma et al. (eds.), *Frontiers in Industrial and Applied Mathematics*, Springer Proceedings in Mathematics & Statistics 410, https://doi.org/10.1007/978-981-19-7272-0\_37

used. Rational spline interpolants with shape parameters are more flexible over other type of spline interpolants, and hence popular in geometric modelling problems for discrete data visualization. These have been utilized from animated films to simulated surgery. For the classical positivity preserving rational splines one can see [1, 15, 16, 23, 25, 33]. Schmidt and Heß in [25] discussed positive interpolation with quadratic and rational quadratic spline and observed that rational quadratic splines have an advantage over quadratic splines. Sakai and Schmidt in [23] presented a class of  $C^2$  positivity-preserving rational spline using two local control parameters with the cubic numerator and linear denominator. Using cubic numerator and quadratic denominator, Abbas et al. in [1] constructed a  $C^2$  rational cubic spline with three shape parameters. They derived the shape feature of data using a single shape parameter and the other two shape parameters were left free for the designer to adjust the shape of positive curves as per industrial requirements. Hussain and Sarfraz in [16] constructed a  $C^1$  piecewise rational cubic spline with four parameters to visualize positive data set. Two parameters are constrained for the presentation of positive curves through positive data while the other two provide extra freedom to vary the curve shape as needed. Han in [15] presented a piecewise rational spline with the quartic numerator and quadratic denominator. He derived the shape-preservation properties like positivity, monotonicity and convexity of the interpolant. But these non-recursive classical interpolants are either smooth or piecewise smooth and consequently, they are not differentiable at the finite number of points. But if the data is taken from an irregular and non-smooth function, these classical interpolants are not good approximants for it.

Non-smooth and irregular curves such as profiles of mountain ranges, tops of clouds, lightning, ECG curves, turbulence, etc. cannot be interpolated by classical interpolants. The term fractal was given by Mandelbrot [19] to unify the irregular and complex structures. After that many researchers worked on it and expand its theory. To construct fractals, Hutchinson [17] introduced the concept of iterated function system (IFS). The fractal-based theory is a new tool to analyse various non-linear complex phenomena in nature, sciences and engineering. With the help of some parameters, we can easily model most of these complex phenomena by using self-referential rules. Using the theory of IFS, Barnsley [5] created fractal interpolation functions (FIFs) to generate non-smooth and irregular curves from their data points [6] and proved the existence and uniqueness of fractal interpolation function for a hyperbolic IFS with fixed parameters. Barnsley and Harrington [7] constructed *r*-times differentiable polynomial spline with fixed type of boundary conditions to interpolate functions that have fractality in their higher-order derivatives. For all kinds of boundary conditions, Chand and Kapoor [8] constructed cubic spline FIFs using moments. For application of FIF in data visualization, Chand and collaborators have proposed shape-preserving fractal interpolants, see for instance [9, 10, 12, 18, 29, 30]. Akhtar et al. in [20] introduced a group of fractal functions on the unit sphere through a linear bounded fractal operator and presented some approximation properties. Balasubramani et al. [4] constructed rational cubic spline  $\alpha$ -fractal functions with three shape parameters that can preserve positivity and monotonicity. They have also found the conditions on the IFS parameters so that the proposed interpolant is constrained between two

piecewise linear functions. But most of the development in shape-preserving FIF theory, the authors have used constant scaling factors, whereas fractal functions with variable scalings provide more flexibility. Using variable scaling, Wang and Shan [32] generated FIFs to approximate functions with less self-similarity and studied their analytical properties such as smoothness, stability and sensitivity. Gowrisankar and Guru Prem Prasad [14] investigated Riemann-Liouville fractional calculus of quadratic FIF with constant as well as variable scaling factors.

Aseev [2] conceptualized the notion of the zipper, which is the generalization of the IFS. Several interesting topological and structural properties of zipper are studied related to dendrites and self-similar continua by Tetenov and his group [3, 24, 26–28]. Similar to fractal interpolants, zipper fractal interpolant as an attractor of a suitable zipper can give details on arbitrarily small scales. Chand et al. [11] introduced affine zipper fractal interpolants. They constructed affine zipper interpolants inscribed in a rectangle and found a basis for the affine zippers fractal interpolation function for a prescribed data set. Zipper fractal interpolants can be non-differentiable in a dense set of an interval. The construction of smooth zipper FIFs is proposed recently in Reddy [22], where certain derivative of smooth zipper FIF is a typical fractal function. Thus, zipper fractal interpolants can be smooth or non-smooth, and smooth zipper fractal interpolants may be used to generalize traditional non-recursive spline interpolants. In this work, we have come up with a novel  $C^1$ -rational quartic spline zipper fractal interpolation function with variable scaling functions and studied its positivity preserving property.

The main points of our work are as follows: First, we formulate a class of novel  $C^1$ -rational quartic spline (RQS) with two families of shape control parameters with the help of a binary vector, and then using that RQS and the theory of zipper, we derive a new type of fractal interpolant with variable scaling functions named rational quartic spline zipper fractal interpolation function (RQS ZFIF) in Sect. 2. In Sect. 3, we glean that our RQS and RQS ZFIF converge to a  $C^3$  data generating function with the order  $O(h^2)$  as  $h \rightarrow 0$ , and under additional assumptions on IFS parameters, we can increase the order of convergence up to  $O(h^3)$ . To get a strictly positive RQS ZFIF or RQS for a strictly positive data set, we derive sufficient conditions on the shape control parameters and the variable scaling functions in Sect. 4 and give some numerical examples to reinforce our theory. In Sect. 5, we summarize our work.

## 2 Construction of RQS ZFIFs

In this section, we will construct a new type of  $C^1$ -rational quartic spline using a binary vector called a signature, and then we will construct a class of novel  $C^1$ -RQS ZFIF with the help of our new rational quartic spline and the theory of the zipper.

Follows are some notation for this paper: Let  $I := [a, b] \subset \mathbb{R}$ . For  $j \in \mathbb{N}$ , let  $\mathbb{N}_j := \{1, 2, 3, \ldots, j\}$ , and  $\mathbb{N}_j^0 := \{0, 1, 2, 3, \ldots, j\}$ . For  $j \in \mathbb{N} \cup \{0\}$ ,  $C^j(I)$  is the Banach space of real valued functions having j continuous derivatives defined on I, and for  $g \in C^j(I)$ ,  $||g||_j := \max\{||g^{(r)}||_{\infty} : r = 0, 1, 2, \ldots, j\}$ . For  $g \in C(I)$ ,  $||g||_{\infty} := \max\{|g(x)| : x \in I\}$ .

Let a set of interpolation points  $\{(x_i, y_i) \in I \times \mathbb{R} : i \in \mathbb{N}_N\}$  with increasing abscissae be given with  $a = x_1$  and  $b = x_N$ . Let  $[k_1, k_2]$  be a large compact interval in  $\mathbb{R}$  such that  $y_i \in [k_1, k_2] \forall i \in \mathbb{N}_N$ . For a binary vector  $\epsilon := (\epsilon_1, \epsilon_2, \dots, \epsilon_{N-1}) \in$  $\{0, 1\}^{N-1}$ , let  $L_i : I \to I_i := [x_i, x_{i+1}], i = 1, 2, ..., N-1$ , be contractive homeomorphisms such that

$$L_{i}(x_{1}) = x_{i+\epsilon_{i}}, \quad L_{i}(x_{N}) = x_{i+1-\epsilon_{i}}, |L_{i}(x) - L_{i}(x^{*})| \le r|x - x^{*}|, \quad \forall x, x^{*} \in I,$$
(1)

for some  $0 \le r < 1$ . For  $0 \le \theta := \frac{x-x_1}{x_N-x_1} \le 1$  and  $Q_i(\theta) = w_i(1-\theta)^3 + (w_i+u_i)(1-\theta)^2\theta + (w_{i+1}+u_{i+1})(1-\theta)\theta^2 + w_{i+1}\theta^3$ , where  $w_i$  and  $u_i$  are the shape control parameters, let

$$P_{i1}(\theta) = \frac{w_i(1-\theta)^3 + (w_i+u_i)(1-\theta)^3\theta + (w_{i+1}+u_{i+1})(1-\theta)^2\theta^2}{Q_i(\theta)},$$

$$P_{i2}(\theta) = \frac{(w_i+u_i)(1-\theta)^2\theta^2 + (w_{i+1}+u_{i+1})(1-\theta)\theta^3 + w_{i+1}\theta^3}{Q_i(\theta)},$$

$$P_{i3}(\theta) = \frac{w_i(1-\theta)^3\theta}{Q_i(\theta)}, \quad P_{i4}(\theta) = \frac{-w_{i+1}(1-\theta)\theta^3}{Q_i(\theta)}, \quad i \in \mathbb{N}_{N-1},$$
(2)

Then, for each  $j \in \mathbb{N}_4$ ,  $P_{ij} \in C^1(I)$  and satisfies

$$P_{i1}(0) = 1, P_{i1}(1) = 0, P'_{i1}(0) = 0, P'_{i1}(1) = 0,$$
  

$$P_{i2}(0) = 0, P_{i2}(1) = 1, P'_{i2}(0) = 0, P'_{i2}(1) = 0,$$
  

$$P_{i3}(0) = 0, P_{i3}(1) = 0, P'_{i3}(0) = 1, P'_{i3}(1) = 0,$$
  

$$P_{i4}(0) = 0, P_{i4}(1) = 0, P'_{i4}(0) = 0, P'_{i4}(1) = 1.$$
(3)

Let  $h_i := x_{i+1} - x_i$ ,  $|I| := x_N - x_1$ , and  $h_i^* := x_{i+1-\epsilon_i} - x_{i+\epsilon_i}$ . Now consider the function

$$P_{\epsilon}(L_{i}(x)) = P_{i1}(\theta)y_{i+\epsilon_{i}} + P_{i2}(\theta)y_{i+1-\epsilon_{i}} + h_{i}^{*}P_{i3}(\theta)d_{i+\epsilon_{i}} + h_{i}^{*}P_{i4}(\theta)d_{i+1-\epsilon_{i}} = \frac{P_{i}(\theta)}{Q_{i}(\theta)}, \quad (4)$$

where

$$P_{i}(\theta) = \sum_{k=0}^{4} A_{ik}(1-\theta)^{4-k}\theta^{k},$$

$$A_{i0} = w_{i}y_{i+\epsilon_{i}}, \quad A_{i1} = u_{i}y_{i+\epsilon_{i}} + w_{i}(2y_{i+\epsilon_{i}} + h_{i}^{*}d_{i+\epsilon_{i}}),$$

$$A_{i2} = (u_{i} + w_{i})y_{i+1-\epsilon_{i}} + (u_{i+1} + w_{i+1})y_{i+\epsilon_{i}},$$

$$A_{i3} = u_{i+1}y_{i+1-\epsilon_{i}} + w_{i+1}(2y_{i+1-\epsilon_{i}} - h_{i}^{*}d_{i+1-\epsilon_{i}}), \quad A_{i4} = w_{i+1}y_{i+1-\epsilon_{i}}.$$
(5)

Then, the RQS  $P_{\epsilon} \in C^{1}(I)$  and satisfies  $P_{\epsilon}(L_{i}(x_{1})) = y_{i+\epsilon_{i}}, P_{\epsilon}(L_{i}(x_{N})) = y_{i+1-\epsilon_{i}}, P_{\epsilon}'(L_{i}(x_{1})) = d_{i+\epsilon_{i}}, \text{ and } P_{\epsilon}'(L_{i}(x_{N})) = d_{i+1-\epsilon_{i}}.$  From (1), we can easily obtain that it also interpolates the given data, i.e.  $\forall i \in \mathbb{N}, P_{\epsilon}(x_{i}) = y_{i}, \text{ and } P_{\epsilon}'(x_{i}) = d_{i}$  for arbitrary signature  $\epsilon$ , where  $d_{i}$ 's are called derivative parameters. If the given data set { $(x_{i}, y_{i}) : i \in \mathbb{N}_{N}$ } is without the derivative parameters, then they must be calculated either from the data or by some appropriate methods. The arithmetic mean method (amm) and the geometric method (gmm) are popular choices for calculating derivatives from data. For details of these methods, see [10].

**Remark 1** (i) If our shape control parameters  $w_i$  and  $u_i$  for  $i \in \mathbb{N}$ , are fixed and  $w_i \neq w_{i+1}$  for  $i \in \mathbb{N}_{N-1}$ , then we can generate  $2^{N-1}$  different rational quartic spline interpolation functions using different signatures for N numbers of data points. (ii) If  $\epsilon_i = 0$ , for all  $i \in \mathbb{N}_{N-1}$ , then our rational quartic spline  $P_{\epsilon}(x)$  reduces to the rational quartic spline R(x) defined in [33].

**Definition 1** A zipper with vertices  $(v_1, v_2, ..., v_N)$  and signature  $\epsilon = (\epsilon_1, \epsilon_2, ..., \epsilon_{N-1}) \in \{0, 1\}^{N-1}$  is a collection of some non-surjective maps with a complete metric space is denoted by  $\Lambda := \{X; W_i : i \in \mathbb{N}_{N-1}\}$ , where for each  $i \in \mathbb{N}_{N-1}$ ,  $W_i$  satisfies  $W_i(v_1) = v_{i+\epsilon_i}$  and  $W_i(v_N) = v_{i+1-\epsilon_i}$ .

If there exists a compact set  $\Gamma \subset X$  such that

$$\Gamma = \bigcup_{j=1}^{N-1} W_j(\Gamma),$$

then  $\Gamma$  is called the attractor or fractal corresponding to the zipper  $\Lambda$ .

Let  $H := I \times [k_1, k_2]$ . Construct N - 1 continuous functions  $F_i : H \to \mathbb{R}$  such that

$$F_i(x, y) = \alpha_i(x)y + (P_\epsilon(L_i(x)) - \alpha_i(x)B_i(x)),$$

where  $\alpha_i \in C^1(I)$  such that  $\|\alpha_i\|_1 < 1$ , and  $B_i \in C^1(I)$  such that

$$B_{i}(x) = P_{i1}(\theta)y_{1} + P_{i2}(\theta)y_{N} + |I|P_{i3}(\theta)d_{1} + |I|P_{i4}(\theta)d_{N} = \frac{P_{i}^{*}(\theta)}{Q_{i}(\theta)},$$

$$P_{i}^{*}(\theta) = \sum_{k=0}^{4} A_{ik}^{*}(1-\theta)^{4-k}\theta^{k},$$

$$A_{i0}^{*} = w_{i}y_{1}, \quad A_{i1}^{*} = u_{i}y_{1} + w_{i}(2y_{1} + |I|d_{1}),$$

$$A_{i2}^{*} = (u_{i} + w_{i})y_{N} + (u_{i+1} + w_{i+1})y_{1},$$

$$A_{i3}^{*} = u_{i+1}y_{N} + w_{i+1}(2y_{N} - |I|d_{N}), \quad A_{i4}^{*} = w_{i+1}y_{N}.$$
(6)

Now, for each  $i \in \mathbb{N}_{N-1}$ ,  $B_i$  satisfies  $B_i(x_1) = y_1$ ,  $B_i(x_N) = y_N$ ,  $B'_i(x_1) = d_1$ , and  $B'_i(x_N) = d_N$ . Therefore, we have

$$F_{i}(x_{1}, y_{1}) = y_{i+\epsilon_{i}}, \quad F_{i}(x_{N}, y_{N}) = y_{i+1-\epsilon_{i}},$$
  
$$|F_{i}(x, y) - F_{i}(x, y^{*})| \leq ||\alpha_{i}||_{\infty} ||y - y^{*}|, \quad \forall x \in I, y, y^{*} \in [k_{1}, k_{2}],$$
  
(7)

Now define mappings  $W_i : H \to I_i \times \mathbb{R}, i = 1, 2, ..., N - 1$  by

$$W_i(x, y) = (L_i(x), F_i(x, y)), \quad \forall (x, y) \in H.$$

Therefore, {H;  $W_i : i \in \mathbb{N}_{N-1}$ } is a zipper with vertices  $((x_1, y_1), (x_2, y_2), \dots, (x_N, y_N))$  and signature  $\epsilon = (\epsilon_1, \epsilon_2, \dots, \epsilon_{N-1})$ . For each  $i \in \mathbb{N}_{N-1}$ ,  $\alpha_i(x)$  is called the variable scaling function corresponding to the map  $W_i$  and  $B_i$  is called a base function. Now we will construct a  $C^1$ -RQS ZFIF using the zipper {H;  $W_i : i \in \mathbb{N}_{N-1}$ } for the given Hermite data { $(x_i, y_i, d_i) : i \in \mathbb{N}_N$ }.

**Theorem 1** Let  $\{(x_i, y_i, d_i) : i \in \mathbb{N}_N\}$  be a given set of interpolation data such that  $x_1 < x_2 < \cdots < x_N$ . Let the signature  $\epsilon \in \{0, 1\}^{N-1}$  be fixed. For  $i \in \mathbb{N}_{N-1}$ , let  $L_i(x) = a_i x + b_i$  satisfies (1), and  $F_i(x, y) = \alpha_i(x)y + P_{\epsilon}(L_i(x)) - \alpha_i(x)B_i(x)$ , where  $P_{\epsilon}$  and  $B_i$  are as defined in (4) and (6) respectively. If  $\alpha_i \in C^1(I)$  and  $\|\alpha_i\|_1 < \frac{|a_i|}{2}$  for all  $i \in \mathbb{N}_{N-1}$ , then the zipper  $\{H; (L_i(x), F_i(x, y)) : i \in \mathbb{N}_{N-1}\}$  determines a rational quartic spline zipper fractal interpolation function  $P_{\epsilon}^{\alpha} \in C^1(I)$ .

**Proof** Let  $D(I) := \{g \in C^1(I) : g(x_1) = y_1, g(x_N) = y_N, g'(x_1) = d_1, \text{ and } g'(x_N) = d_N\}$ . Then D(I) is a complete metric space with respect to norm  $\|.\|_1$ . Now, define the Read-Bajraktarević operator  $T^{\alpha} : D \to D$  such that

$$T^{\alpha}g(L_{i}(x)) = P_{\epsilon}(L_{i}(x)) + \alpha_{i}(x)(g(x) - B_{i}(x)), \ x \in I, \ i = 1, 2, \dots, N-1.$$
(8)

Since the functions  $P_{\epsilon}$ ,  $B_i$ , and  $\alpha_i$  belong to  $C^1(I)$ ,  $T^{\alpha}g \in C^1(x_i, x_{i+1})$  for each  $i \in \mathbb{N}_{N-1}$ . We know, for  $i \in \mathbb{N}_{N-2}$ ,  $x_{i+1} \in I_j$  for j = i, i + 1. Since  $L_i$  and  $L_{i+1}$  satisfy (1), therefore we have

$$x_{i+1} = \begin{cases} L_i(x_N) & \epsilon_i = 0\\ L_i(x_1) & \epsilon_i = 1, \end{cases} \text{ and } x_{i+1} = \begin{cases} L_{i+1}(x_1) & \epsilon_{i+1} = 0\\ L_{i+1}(x_N) & \epsilon_{i+1} = 1. \end{cases}$$
(9)

By putting (9) in (8), we have

$$T^{\alpha}g(x_{i+1}) = \begin{cases} P_{\epsilon}(L_{i}(x_{N})) & \epsilon_{i} = 0\\ P_{\epsilon}(L_{i}(x_{1})) & \epsilon_{i} = 1, \end{cases} \text{ and } T^{\alpha}g(x_{i+1}) = \begin{cases} P_{\epsilon}(L_{i+1}(x_{1})) & \epsilon_{i+1} = 0\\ P_{\epsilon}(L_{i+1}(x_{N})) & \epsilon_{i+1} = 1. \end{cases}$$
(10)  
$$\implies \lim_{x \to x_{i+1}^{-}} (T^{\alpha}g)(x) = \lim_{x \to x_{i+1}^{+}} (T^{\alpha}g)(x) = y_{i+1}$$

Similarly, after differentiating (8) once and using (9), we can obtain

$$(T^{\alpha}g)'(x_{i+1}) = \begin{cases} P'_{\epsilon}(L_i(x_N)) & \epsilon_i = 0\\ P'_{\epsilon}(L_i(x_1)) & \epsilon_i = 1, \end{cases} \text{ and } (T^{\alpha}g)'(x_{i+1}) = \begin{cases} P'_{\epsilon}(L_{i+1}(x_1)) & \epsilon_{i+1} = 0\\ P'_{\epsilon}(L_{i+1}(x_N)) & \epsilon_{i+1} = 1. \end{cases}$$
(11)

$$\implies \lim_{x \to x_{i+1}^-} (T^{\alpha}g)'(x) = \lim_{x \to x_{i+1}^+} (T^{\alpha}g)'(x) = d_{i+1}.$$
 (12)

Now, for i = 1, N - 1, from (8) we can easily get that  $T^{\alpha}g(x_1) = y_1, T^{\alpha}g(x_N) = y_N$ ,  $(T^{\alpha}g)'(x_1) = d_1$ , and  $(T^{\alpha}g)'(x_N) = d_N$ . Therefore, the operator  $T^{\alpha}$  is well-defined, i.e.  $T^{\alpha}g \in D$ . Now, for  $x \in I_i$ ,

$$(T^{\alpha}g)(x) - (T^{\alpha}g^{*})(x) = \alpha_{i}(L_{i}^{-1}(x))(g - g^{*})(L_{i}^{-1}(x)),$$

which implies

$$|(T^{\alpha}g)(x) - (T^{\alpha}g^{*})(x)| \le ||\alpha_{i}||_{\infty} ||g - g^{*}||_{\infty} \le ||\alpha_{i}||_{1} ||g - g^{*}||_{1}.$$

Similarly,

$$|(T^{\alpha}g)'(x) - (T^{\alpha}g^{*})'(x)| \le |a_{i}^{-1}|(\|\alpha_{i}'\|_{\infty}\|g - g^{*}\|_{\infty} + \|\alpha_{i}\|_{\infty}\|g' - g^{*'}\|_{\infty})$$
  
$$\le 2|a_{i}^{-1}|\|\alpha_{i}\|_{1}\|g - g^{*}\|_{1}.$$

So, if for all  $i \in \mathbb{N}_{N-1}$ ,  $\|\alpha_i\|_1 < s \frac{|a_i|}{2}$  for some  $0 \le s < 1$ , then we have  $\|T^{\alpha}g - T^{\alpha}g^*\|_1 < s\|g - g^*\|_1$ , i.e.  $T^{\alpha}$  is a contraction map on *D*. Therefore, by Banach fixed point theorem  $T^{\alpha}$  has a unique fixed point say  $P_{\epsilon}^{\alpha} \in C^1(I)$ , and  $P_{\epsilon}^{\alpha}$  satisfies the recurrence relation

$$P_{\epsilon}^{\alpha}(L_{i}(x)) = P_{\epsilon}(L_{i}(x)) + \alpha_{i}(x)(P_{\epsilon}^{\alpha}(x) - B_{i}(x)), \ x \in I, \ i = 1, 2, \dots, N-1.$$
(13)

 $P_{\epsilon}^{\alpha}$  is the desired rational quartic spline zipper  $\alpha$ -fractal function corresponding to the function  $P_{\epsilon}$ . For more details on  $\alpha$ -fractal functions, see [5, 21].

**Remark 2** (i) If  $\alpha_i(x) = 0$ , for all  $x \in I$  and for all  $i \in \mathbb{N}_{N-1}$ , then our RQS ZFIF  $P_{\epsilon}^{\alpha}$  reduces to the RQS  $P_{\epsilon}$  defined in (4).

(ii) If  $\alpha_i(x) = 0$  and  $\epsilon_i = 0$ , for all  $x \in I$  and for all  $i \in \mathbb{N}_{N-1}$ , then the proposed RQS ZFIF  $P_{\epsilon}^{\alpha}$  reduces to the rational quartic spline R(x) defined in [33].

(iii) For the fixed shape control parameters and the fixed non-zero variable scaling functions, we can get  $2^{N-1}$  different RQS ZFIFs using different values of signature for the *N* numbers of data points.

#### **3** Convergence Analysis

In this section, we will derive an upper bound for the uniform error of the RQS ZFIF with a  $C^3$  data generating function, and we will show that our RQS ZFIF has  $O(h^2)$  convergence and can be increased to  $O(h^3)$  under certain conditions.

We fix these notation for this section:  $\Delta_i := \frac{y_{i+1}-y_i}{h_i}, t := \frac{x-x_i}{h_i}, h := \max\{h_i : i \in \mathbb{N}_{N-1}\}, |y|_{\infty} := \max\{|y_i| : i \in \mathbb{N}_N\}, |d|_{\infty} := \max\{|d_i| : i \in \mathbb{N}_N\}, w_{i*} := \min\{w_i, w_{i+1}\}, u_{i*} := \min\{u_i, u_{i+1}\}, w_i^* := \max\{w_i, w_{i+1}\}, u_i^* := \max\{u_i, u_{i+1}\}, w_* := \min\{w_i : i \in \mathbb{N}_N\}, w^* := \max\{w_i : i \in \mathbb{N}_N\}, u_* := \min\{u_i : i \in \mathbb{N}_N\}, u^* := \max\{u_i : i$ 

 $B^* := \max\{\|B_i\|_{\infty} : i \in \mathbb{N}_{N-1}\}, \quad \alpha(x) := (\alpha_1(x), \alpha_2(x), \dots, \alpha_{N-1}(x)), \quad \|\alpha\|_{\infty} : = \max\{\|\alpha_i\|_{\infty} : i \in \mathbb{N}_{N-1}\}, \text{ and } \|\alpha\|_1 := \max\{\|\alpha_i\|_1 : i \in \mathbb{N}_{N-1}\}.$ 

Let  $\Phi \in C^3(I)$  be a data generating function, i.e.  $\Phi(x_i) = y_i, \forall i \in \mathbb{N}_N$ . Let  $d_i$ 's are chosen derivatives at  $x_i$ , for all  $i \in \mathbb{N}_N$ . Now, for  $\theta = \frac{x-x_1}{x_N-x_1}$ , let  $t^* := L_i^{-1}(\theta)$ , i.e.  $t^* = \frac{x-x_{1+\epsilon_i}}{x_{i+1-\epsilon_i}-x_{i+\epsilon_i}}$ . Therefore, for  $x \in I_i$ ,

$$P_{\epsilon}(x) = \frac{1}{Q_i(t^*)} \sum_{k=0}^{4} A_{ik} (1-t^*)^{4-k} t^{*k}, \qquad (14)$$

where  $t^* = \begin{cases} t & \epsilon_i = 0\\ 1 - t & \epsilon_i = 1, \end{cases}$  and  $A_{ik}$ 's are as defined in (5). Case I: Let  $x \in I_i$  and  $\epsilon_i = 0$ , then

$$P_{\epsilon}(x) = \frac{1}{Q_{i}(t)} \sum_{k=0}^{4} A_{ik}(1-t)^{4-k} t^{k},$$

$$A_{i0} = w_{i} y_{i}, \quad A_{i1} = u_{i} y_{i} + w_{i}(2y_{i} + h_{i}d_{i}),$$

$$A_{i2} = (u_{i} + w_{i})y_{i+1} + (u_{i+1} + w_{i+1})y_{i},$$

$$A_{i3} = u_{i+1}y_{i+1} + w_{i+1}(2y_{i+1} - h_{i}d_{i+1}), \quad A_{i4} = w_{i+1}y_{i+1},$$

$$Q_{i}(t) = w_{i}(1-t)^{3} + (w_{i} + u_{i})(1-t)^{2}t + (w_{i+1} + u_{i+1})(1-t)t^{2} + w_{i+1}t^{3}.$$
(15)

Now from [13, 33], for  $x \in I_i$  and  $\epsilon_i = 0$ , choosing  $w_i, w_{i+1} > 0$  and  $u_i, u_{i+1} \ge 0$ , we have

$$\begin{split} |\Phi(x) - P_{\epsilon}(x)| &\leq \frac{h_{i}^{3}}{96} \|\Phi^{(3)}\|_{\infty} + \frac{h_{i}}{4} \max\left\{ |\Phi'(x_{i}) - d_{i}|, |\Phi'(x_{i+1}) - d_{i+1}| \right\} \\ &+ \frac{h_{i}}{2\sqrt{w_{i}w_{i+1}} + \min\{u_{i}, u_{i+1}\}} \Big[ \frac{27}{256} |u_{i}(\Delta_{i} - d_{i}) - w_{i}(2\Delta_{i} - d_{i} - d_{i+1})| \\ &+ \frac{1}{16} |(w_{i+1} - w_{i})(2\Delta_{i} - d_{i} - d_{i+1}) + u_{i+1}(\Delta_{i} - d_{i}) + u_{i}(d_{i+1} - \Delta_{i})| \\ &+ \frac{27}{256} |w_{i+1}(2\Delta_{i} - d_{i} - d_{i+1}) + u_{i+1}(d_{i+1} - \Delta_{i})| \Big]. \end{split}$$
(16)

Case II: Let  $x \in I_i$  and  $\epsilon_i = 1$ , then

$$P_{\epsilon}(x) = \frac{1}{Q_{i}(1-t)} \sum_{k=0}^{4} A_{ik}t^{4-k}(1-t)^{k},$$

$$A_{i0} = w_{i}y_{i+1}, \quad A_{i1} = u_{i}y_{i+1} + w_{i}(2y_{i} - h_{i}d_{i+1}),$$

$$A_{i2} = (u_{i} + w_{i})y_{i} + (u_{i+1} + w_{i+1})y_{i+1},$$

$$A_{i3} = u_{i+1}y_{i} + w_{i+1}(2y_{i} + h_{i}d_{i}), \quad A_{i4} = w_{i+1}y_{i},$$

$$Q_{i}(1-t) = w_{i}t^{3} + (w_{i} + u_{i})t^{2}(1-t) + (w_{i+1} + u_{i+1})t(1-t)^{2} + w_{i+1}(1-t)^{3}.$$
(17)

After interchanging  $w_i$  and  $w_{i+1}$ ,  $u_i$  and  $u_{i+1}$ , (17) becomes equivalent to (15). Therefore, using similar analysis, for  $x \in I_i$  and  $\epsilon_i = 1$ , choosing  $w_i$ ,  $w_{i+1} > 0$  and  $u_i$ ,  $u_{i+1} \ge 0$ , we have

$$\begin{split} |\Phi(x) - P_{\epsilon}(x)| &\leq \frac{h_{i}^{3}}{96} \|\Phi^{(3)}\|_{\infty} + \frac{h_{i}}{4} \max\left\{ |\Phi'(x_{i}) - d_{i}|, |\Phi'(x_{i+1}) - d_{i+1}| \right\} \\ &+ \frac{h_{i}}{2\sqrt{w_{i+1}w_{i}} + \min\{u_{i+1}, u_{i}\}} \Big[ \frac{27}{256} |u_{i+1}(\Delta_{i} - d_{i}) - w_{i+1}(2\Delta_{i} - d_{i} - d_{i+1})| \\ &+ \frac{1}{16} |(w_{i} - w_{i+1})(2\Delta_{i} - d_{i} - d_{i+1}) + u_{i}(\Delta_{i} - d_{i}) + u_{i+1}(d_{i+1} - \Delta_{i})| \\ &+ \frac{27}{256} |w_{i}(2\Delta_{i} - d_{i} - d_{i+1}) + u_{i}(d_{i+1} - \Delta_{i})| \Big]. \end{split}$$
(18)

Now, if the derivative parameters  $d_i$ 's are chosen such that

$$d_{1} = \Delta_{1} - \frac{h_{1}}{h_{1} + h_{2}} (\Delta_{2} - \Delta_{1}),$$

$$d_{N} = \Delta_{N-1} + \frac{h_{N-1}}{h_{N-1} + h_{N-2}} (\Delta_{N-1} - \Delta_{N-2}),$$

$$d_{i} = \frac{h_{i}}{h_{i-1} + h_{i}} \Delta_{i-1} + \frac{h_{i-1}}{h_{i-1} + h_{i}} \Delta_{i}, \quad i = 2, 3, \dots, N-1,$$
(19)

then by using Peano kernel analysis, we can easily get following results:

$$\begin{split} \Phi'(x_1) - d_1 &= \frac{1}{6} h_1(h_1 + h_2) \Phi^{(3)}(\zeta_1), \quad d_i - \Phi'(x_i) = \frac{1}{6} h_{i-1} h_i \Phi^{(3)}(\zeta_i), \\ \Phi'(x_N) - d_N &= \frac{1}{6} h_{N-1}(h_{N-1} + h_{N-2}) \Phi^{(3)}(\zeta_N), \quad \Delta_1 - d_1 = \frac{1}{2} h_1 \Phi^{(2)}(\chi_2), \\ \Delta_i - d_i &= \frac{1}{2} h_i \Phi^{(2)}(\chi_i), \quad d_{i+1} - \Delta_i = \frac{1}{2} h_i \Phi^{(2)}(\chi_{i+1}), \\ d_N - \Delta_{N-1} &= \frac{1}{2} h_{N-1} \Phi^{(2)}(\chi_{N-1}), \quad 2\Delta_1 - d_1 - d_2 = 0, \\ d_{N-1} + d_N - 2\Delta_{N-1} = 0, \quad d_i + d_{i+1} - 2\Delta_i = \frac{1}{6} h_i(h_{i-1} + h_i + h_{i+1}) \Phi^{(3)}(\chi_i^*), \end{split}$$

$$\begin{aligned} &(20) \\ &(21) \\ &(21) \\ &(21) \\ &(21) \\ &(21) \\ &(21) \\ &(21) \\ &(21) \\ &(21) \\ &(21) \\ &(21) \\ &(21) \\ &(21) \\ &(22) \\ &(21) \\ &($$

where  $\zeta_1 \in (x_1, x_3)$ ,  $\zeta_i \in (x_{i-1}, x_{i+1})$ ,  $\zeta_N \in (x_{N-2}, x_N)$ ,  $\chi_i \in (x_{i-1}, x_{i+1})$ , i = 2, 3,..., N - 1 and  $\chi_i^* \in (x_{i-1}, x_{i+2})$ , i = 2, 3, ..., N - 2. Therefore, using (20) in (16) and (18), we have

$$\begin{split} |\Phi(x) - P_{\epsilon}(x)| &\leq \frac{h^3}{96} \|\Phi^{(3)}\|_{\infty} + \frac{h^3}{12} \|\Phi^{(3)}\|_{\infty} \\ &+ \frac{h^2}{2w_{i*} + u_{i*}} \Big[ \frac{43}{256} \big( u_i^* \|\Phi^{(2)}\|_{\infty} + hw_i^* \|\Phi^{(3)}\|_{\infty} \big) \Big], \end{split}$$

for  $x \in I_i$  and  $\epsilon_i \in \{0, 1\}$ .

Now we summarize the above discussions in the following as a theorem:

**Theorem 2** Let  $\Phi \in C^3(I)$  be a data generating function such that  $\Phi(x_i) = y_i$ ,  $i \in \mathbb{N}_N$ . For a fixed signature  $\epsilon \in \{0, 1\}^{N-1}$ , let  $P_{\epsilon}$  be the rational quartic spline defined in (4). If for all  $i \in \mathbb{N}_N$ , we choose our shape control parameters such that  $w_i > 0$ ,  $u_i \ge 0$  and the derivative parameters as prescribed in (19), then

$$\|\Phi - P_{\epsilon}\|_{\infty} \le \frac{9h^3}{96} \|\Phi^{(3)}\|_{\infty} + \frac{h^2}{2w_* + u_*} \Big[\frac{43}{256} \big(u^* \|\Phi^{(2)}\|_{\infty} + hw^* \|\Phi^{(3)}\|_{\infty}\big)\Big].$$
<sup>(21)</sup>

Now we will try to find the upper bound for the difference between RQS  $P_{\epsilon}$  defined in (4) and RQS ZFIF  $P_{\epsilon}^{\alpha}$  defined in (13). If  $\alpha \neq 0$ , then  $P_{\epsilon} \neq P_{\epsilon}^{\alpha}$ , and the interpolants  $P_{\epsilon}^{\alpha}$  and  $P_{\epsilon}$  are the fixed points of  $T^{\alpha}$  defined in (8) with  $\alpha \neq 0$  and  $\alpha(x) = (0, 0, ..., 0)$  respectively.

For  $i \in \mathbb{N}_{N-1}$  and  $x \in I$ ,

$$\begin{aligned} |P_{\epsilon}^{\alpha}(L_{i}(x)) - P_{\epsilon}(L_{i}(x))| &= |T^{\alpha}P_{\epsilon}^{\alpha}(L_{i}(x)) - T^{0}P_{\epsilon}(L_{i}(x))| \\ &= |P_{\epsilon}(L_{i}(x)) + \alpha_{i}(x)(P_{\epsilon}^{\alpha}(x) - B_{i}(x)) - P_{\epsilon}(L_{i}(x))| \\ &= |\alpha_{i}(x)(P_{\epsilon}^{\alpha}(x) - B_{i}(x))| \\ &\leq \|\alpha_{i}\|_{\infty} \|P_{\epsilon}^{\alpha} - B_{i}\|_{\infty} \\ &\leq \|\alpha_{i}\|_{\infty} \|P_{\epsilon}^{\alpha} - P_{\epsilon}\|_{\infty} + \|\alpha_{i}\|_{\infty} \|P_{\epsilon} - B_{i}\|_{\infty} \\ &\leq \|\alpha_{i}\|_{\infty} \|P_{\epsilon}^{\alpha} - P_{\epsilon}\|_{\infty} + \|\alpha_{i}\|_{\infty} (\|P_{\epsilon}\|_{\infty} + B^{*}). \end{aligned}$$

As for each  $i \in \mathbb{N}_{N-1}$ , the above inequality holds, hence

$$\|P_{\epsilon}^{\alpha} - P_{\epsilon}\|_{\infty} \leq \|\alpha\|_{\infty} \|P_{\epsilon}^{\alpha} - P_{\epsilon}\|_{\infty} + \|\alpha\|_{\infty} (\|P_{\epsilon}\|_{\infty} + B^{*}),$$

i.e.

$$\|P_{\epsilon}^{\alpha} - P_{\epsilon}\|_{\infty} \le \frac{\|\alpha\|_{\infty}(\|P_{\epsilon}\|_{\infty} + B^*)}{1 - \|\alpha\|_{\infty}}.$$
(22)

Now, let us deduce upper bounds for  $||P_{\epsilon}||_{\infty}$  and  $B^*$ . From (4), for  $i \in \mathbb{N}_{N-1}$  and  $x \in I$ ,

$$|P_{\epsilon}(L_i(x))| \leq \frac{\max\{|P_i(\theta)| : 0 \leq \theta \leq 1\}}{\min\{|Q_i(\theta)| : 0 \leq \theta \leq 1\}}.$$

Using inequalities  $(1-\theta)^3\theta \leq \frac{27}{256}$ ,  $(1-\theta)^2\theta^2 \leq \frac{1}{16}$ ,  $(1-\theta)\theta^3 \leq \frac{27}{256}$ , and  $(1-\theta)^4 + \theta^4 \leq 1$ , we can easily deduce that

$$\begin{split} |P_{i}(\theta)| &\leq w_{i}^{*}(\max\{|y_{i}|, |y_{i+1}|\}) \\ &+ \frac{27}{128} \Big[ (u_{i}^{*} + 2w_{i}^{*})(\max\{|y_{i}|, |y_{i+1}|\}) + w_{i}^{*}h_{i}(\max\{|d_{i}|, |d_{i+1}|\}) \Big] \\ &+ \frac{1}{8} \Big[ (u_{i}^{*} + w_{i}^{*})(\max\{|y_{i}|, |y_{i+1}|\}) \Big], \end{split}$$
  
i.e.  $|P_{i}(\theta)| &\leq \Big( \frac{99}{64} w^{*} + \frac{43}{128} u^{*} \Big) |y|_{\infty} + w^{*}h|d|_{\infty}, \end{split}$ 

and

$$|Q_{i}(\theta)| \geq w_{i}(1-\theta)^{2} + u_{i}(1-\theta)^{2}\theta + u_{i+1}(1-\theta)\theta^{2} + w_{i+1}\theta^{2}$$
  
$$\geq w_{i}(1-\theta)^{2} + w_{i+1}\theta^{2} \geq \frac{1}{2}w_{i*} \geq \frac{1}{2}w_{*}.$$

Hence,

$$\|P_{\epsilon}\|_{\infty} \leq 2 \frac{\left(\frac{99}{64}w^* + \frac{43}{128}u^*\right)|y|_{\infty} + w^*h|d|_{\infty}}{w_*}$$

Similarly,

$$\|B_i\|_{\infty} \le 2 \frac{\left(\frac{99}{64}w_i^* + \frac{43}{128}u_i^*\right) \max\{|y_1|, |y_N|\} + w_i^*|I|(\max\{|d_1|, |d_N|\})}{w_i^*}.$$

Therefore,

$$B^* \le 2 \frac{\left(\frac{99}{64}w^* + \frac{43}{128}u^*\right) \max\{|y_1|, |y_N|\} + w^*|I|(\max\{|d_1|, |d_N|\})}{w_*}$$

Now we will present the main theorem of this section.

**Theorem 3** Let  $\Phi \in C^3(I)$  be a data generating function such that  $\Phi(x_i) = y_i$ ,  $i \in \mathbb{N}_N$ . For a fixed signature  $\epsilon \in \{0, 1\}^{N-1}$ , let  $P_{\epsilon}$  be the rational quartic spline defined in (4) and  $P_{\epsilon}^{\alpha}$  be the proposed rational quartic spline zipper fractal interpolation function defined in (13). If for all  $i \in \mathbb{N}_N$ , we choose our shape control points such that  $w_i > 0$ ,  $u_i \ge 0$  and the derivative parameters as given in (19), then

$$\begin{split} \|\Phi - P_{\epsilon}^{\alpha}\|_{\infty} &\leq \frac{9h^{3}}{96} \|\Phi^{(3)}\|_{\infty} + \frac{h^{2}}{2w_{*} + u_{*}} \Big[ \frac{43}{256} \big( u^{*} \|\Phi^{(2)}\|_{\infty} + hw^{*} \|\Phi^{(3)}\|_{\infty} \big) \Big] \\ &+ \frac{\|\alpha\|_{\infty} (\|P_{\epsilon}\|_{\infty} + B^{*})}{1 - \|\alpha\|_{\infty}}. \end{split}$$

**Proof** We know that

$$\|\Phi - P_{\epsilon}^{\alpha}\|_{\infty} \le \|\Phi - P_{\epsilon}\|_{\infty} + \|P_{\epsilon}^{\alpha} - P_{\epsilon}\|_{\infty}.$$
(23)

Therefore, using (21) and (22) in (23), we can easily get our desired result.

**Remark 3** (i) If we choose  $\|\alpha\|_1 < \min\{h^2, \frac{h}{2|I|}\}$ , then from Theorem 3, we can deduce that our proposed zipper fractal interpolant  $P_{\epsilon}^{\alpha}$  converges to a  $C^{3}$ -data gener- $O(h^2)$ as ating function Φ with the order  $h \rightarrow 0$ on I. (ii) If we choose  $u_i = 0$  for all  $i \in \mathbb{N}_N$  and  $\|\alpha\|_1 < \min\{h^3, \frac{h}{2|I|}\}$ , then  $u^* = 0$ , and hence from Theorem 3 we can conclude that our proposed zipper fractal interpolant  $P_e^{\alpha}$  converges to a  $C^3$ -data generating function  $\Phi$  with the order  $O(h^3)$  as  $h \to 0$ on L.

#### 4 Positivity-Preserving RQS ZFIFs

Many real-life problems like monthly rainfall amounts, the half-life of a radioactive substance, probability distribution functions, speed of winds and the numbers of covid-19 patients at different intervals of time are based on positivity. So, the problem is to find a positive interpolant for a given positive data set. In this section, we are going to construct positive RQS ZFIFs for the given positive data by restricting our shape control parameters and variable scaling functions.

**Theorem 4** Let  $\{(x_i, y_i) : i = 1, 2, ..., N\}$  be a given set of strictly positive data with increasing abscissae. Suppose  $d_i$ 's are chosen derivative values at the knots  $x_i$ 's. For the fixed value of signature  $\epsilon \in \{0, 1\}^{N-1}$ , if the non-negative variable scaling functions and shape control points are chosen as

$$\begin{split} \|\alpha_{i}\|_{1} &\leq \frac{|a_{i}|}{2}, \ \|\alpha_{i}\|_{\infty} < \min\left\{\frac{y_{i+\epsilon_{i}}}{y_{1}}, \frac{y_{i+1-\epsilon_{i}}}{y_{N}}\right\},\\ w_{i} &> 0, \ w_{i+1} > 0,\\ u_{i} &\geq \max\left\{0, -w_{i}\left(2 + \frac{h_{i}^{*}d_{i+\epsilon_{i}} - \alpha_{i}(x)|I|d_{1}}{y_{i+\epsilon_{i}} - \alpha_{i}(x)y_{1}}\right)\right\},\\ u_{i+1} &\geq \max\left\{0, -w_{i+1}\left(2 - \frac{h_{i}^{*}d_{i+1-\epsilon_{i}} - \alpha_{i}(x)|I|d_{N}}{y_{i+1-\epsilon_{i}} - \alpha_{i}(x)y_{N}}\right)\right\}, \ \forall x \in I, \ \forall i \in \mathbb{N}_{N-1}, \end{split}$$

then the corresponding  $C^1$ -rational quartic spline zipper fractal interpolation function  $P_{\epsilon}^{\alpha}$  defined in (13) will be strictly positive on I.

**Proof** Since  $\|\alpha_i\|_1 < \frac{|\alpha_i|}{2}$ , and  $\alpha_i$ ,  $P_{\epsilon}$ ,  $B_i \in C^1(I)$ , therefore according to Theorem 1 the proposed RQS ZFIF  $P_{\epsilon}^{\alpha} \in C^1(I)$ , and it satisfies (13). We can rewrite (13) as

$$P_{\epsilon}^{\alpha}(L_{i}(x)) = \alpha_{i}(x)P_{\epsilon}^{\alpha}(x) + (P_{\epsilon}(L_{i}(x)) - \alpha_{i}(x)B_{i}(x)), \quad i \in \mathbb{N}_{N-1}, \ x \in I.$$
(24)

Equation (24) is equivalent to

$$\begin{aligned} P_{\epsilon}^{\alpha}(L_{i}(x)) &= \alpha_{i}(x)P_{\epsilon}^{\alpha}(x) + \frac{P_{i}^{**}(\theta)}{Q_{i}(\theta)}, \quad P_{i}^{**}(\theta) = \sum_{k=0}^{4} B_{ik}(1-\theta)^{4-k}\theta^{k}, \\ B_{i0} &= w_{i}(y_{i+\epsilon_{i}} - \alpha_{i}(x)y_{1}), \\ B_{i1} &= u_{i}(y_{i+\epsilon_{i}} - \alpha_{i}(x)y_{1}) + w_{i}(2(y_{i+\epsilon_{i}} - \alpha_{i}(x)y_{1}) + h_{i}^{*}d_{i+\epsilon_{i}} - \alpha_{i}(x)|I|d_{1}), \\ B_{i2} &= [(u_{i} + w_{i})(y_{i+1-\epsilon_{i}} - \alpha_{i}(x)y_{N})] + [(u_{i+1} + w_{i+1})(y_{i+\epsilon_{i}} - \alpha_{i}(x)y_{1})], \\ B_{i3} &= u_{i+1}(y_{i+1-\epsilon_{i}} - \alpha_{i}(x)y_{N}) + w_{i+1}(2(y_{i+1-\epsilon_{i}} - \alpha_{i}(x)y_{N}) - h_{i}^{*}d_{i+1-\epsilon_{i}} + \alpha_{i}(x)|I|d_{N}), \\ B_{i4} &= w_{i+1}(y_{i+1-\epsilon_{i}} - \alpha_{i}(x)y_{N}). \end{aligned}$$

$$(25)$$

After choosing  $w_i > 0$ ,  $w_{i+1} > 0$ ,  $u_i \ge 0$  and  $u_{i+1} \ge 0$ , our cubic denominator  $Q_i(\theta)$  in (25) becomes strictly positive on *I*. Since  $P_{\epsilon}^{\alpha}$  is the attractor of the zipper {H;  $(L_i(x) = a_ix + b_i, F_i(x, y) = \alpha_i(x)y + P_{\epsilon}(L_i(x)) - \alpha_i(x)B_i(x))$  :  $i \in \mathbb{N}_{N-1}$ } and defined recursively by (25), therefore to show  $P_{\epsilon}^{\alpha}(x) > 0$  on *I*, enough to prove that for all  $x \in I$ ,  $P_{\epsilon}^{\alpha}(L_i(x)) > 0 \forall i \in \mathbb{N}_{N-1}$ , whenever  $P_{\epsilon}^{\alpha}(x) > 0$ . Now, let  $x \in I$ ,  $P_{\epsilon}^{\alpha}(x) > 0$ , then choosing non-negative scaling functions we have  $\alpha_i(x)P_{\epsilon}^{\alpha}(x) \ge 0$ . Therefore, after these assumptions on the shape control parameters and the variable scaling functions, the positivity of  $P_{\epsilon}^{\alpha}(L_i(x))$  reduces to the positivity of  $P_i^{**}(\theta)$ ,  $\forall \theta \in [0, 1]$ . Now, if  $B_{ij} \ge 0$ ,  $\forall j \in \{0, 1, 2, 3, 4\}$  and  $B_{ij} > 0$  for  $j \in \{0, 4\}$ , then we have  $P_i^{**}(\theta) > 0$ . Now,

$$\begin{split} w_{i} > 0, \ \text{and} \ \alpha_{i}(x) < \frac{y_{i+\epsilon_{i}}}{y_{1}} \implies B_{i0} > 0, \\ u_{i} > \max\left\{0, -w_{i}\left(2 + \frac{h_{i}^{*}d_{i+\epsilon_{i}} - \alpha_{i}(x)|I|d_{1}}{y_{i+\epsilon_{i}} - \alpha_{i}(x)y_{1}}\right)\right\}, \ \text{and} \ \alpha_{i}(x) < \frac{y_{i+\epsilon_{i}}}{y_{1}} \implies B_{i1} \ge 0, \\ u_{i} + w_{i} > 0, \ u_{i+1} + w_{i+1} > 0, \ \text{and} \ \alpha_{i}(x) < \left\{\frac{y_{i+\epsilon_{i}}}{y_{1}}, \frac{y_{i+1-\epsilon_{i}}}{y_{N}}\right\} \implies B_{i2} > 0, \\ u_{i+1} \ge \max\left\{0, -w_{i+1}\left(2 - \frac{h_{i}^{*}d_{i+1-\epsilon_{i}} - \alpha_{i}(x)|I|d_{N}}{y_{i+1-\epsilon_{i}} - \alpha_{i}(x)y_{N}}\right)\right\}, \ \text{and} \ \alpha_{i}(x) < \frac{y_{i+1-\epsilon_{i}}}{y_{N}} \implies B_{i3} \ge 0, \\ w_{i+1} > 0, \ \text{and} \ \alpha_{i}(x) < \frac{y_{i+1-\epsilon_{i}}}{y_{N}} \implies B_{i4} > 0. \end{split}$$

Hence, coupling these above restrictions on the shape control parameters and the scaling functions, we have the desired sufficient conditions for this theorem.

**Remark 4** (i) For all  $i \in \mathbb{N}_{N-1}$  and  $x \in I$ , if we choose  $\alpha_i(x) = 0$  and  $\epsilon_i = 0$ , then Theorem 4 gives sufficient conditions on the shape control parameters such that the RQS function *R* defined in [33] becomes positive for a given positive data set  $\{(x_i, y_i) : i = 1, 2, ..., N\}$ .

(ii) Let the given data set be strictly positive and  $\alpha_i(x) = 0$  for all  $x \in I$  and for all  $i \in \mathbb{N}_{N-1}$ . If we choose our shape control parameters such that

$$w_{i} > 0, \quad w_{i+1} > 0, \quad u_{i} \ge \max\left\{0, -w_{i}\left(2 + \frac{h_{i}^{*}d_{i+\epsilon_{i}}}{y_{i+\epsilon_{i}}}\right)\right\},$$

$$u_{i+1} \ge \max\left\{0, -w_{i+1}\left(2 - \frac{h_{i}^{*}d_{i+1-\epsilon_{i}}}{y_{i+1-\epsilon_{i}}}\right)\right\}, \quad \forall i \in \mathbb{N}_{N-1}.$$
(26)

Then, Theorem 4 instructs that our corresponding rational quartic spline  $P_{\epsilon}$  defined in (4) satisfies  $P_{\epsilon}(x) > 0$  for all  $x \in I$ .

(iii) For N(>2) number of positive data points and the fixed non-zero variable scaling functions, we can get total numbers of  $2^{N-1}$  different  $C^1$ -rational quartic spline zipper fractal interpolation functions depending on the different values of signature  $\epsilon$ .

**Example 1** In Theorem 4, we have provided sufficient conditions on the shape control parameters and the variable scaling functions such that our corresponding RQS ZFIF becomes positive on *I*, whenever our given data set is positive. It can happen that if we do not choose our parameters as prescribed in Theorem 4, then our corresponding RQS ZFIF  $P_{\epsilon}^{\alpha}$  may not be positive on *I* for a given positive data set, but after restricting our shape control parameters and variable scaling functions as prescribed in Theorem 4 our corresponding RQS ZFIF becomes positive on *I*.

Consider the positive data set {(0, 2, -1), (0.25, 0.6, -3), (0.5, 0.1, 2), (0.75, 0.4, -2), (1, 5, 6)}. For the fixed shape control parameters u = (1, 2, 3, 1, 1) and w = (1, 0.2, 0.5, 1, 3), Figs. 1(a)-(f) are the plots of RQS ZFIFs generated with scaling functions and signature { $(\frac{x^2}{17}, \frac{e^x}{25}, \frac{e^x}{25}, \frac{-x}{10})$ , (1, 0, 1, 0)}, { $(\frac{e^x}{25}, \frac{x}{60}, \frac{1}{100}, \frac{e^x}{35})$ , (1, 0, 1, 0)}, { $(\frac{e^x}{25}, \frac{x}{60}, \frac{1}{100}, \frac{e^x}{35})$ , (0, 0, 1, 0)}, {(0, 0, 0,

To see the effect of signature, we have plotted Fig. 1(b) and (c) with the same parameters except for  $\epsilon_1$ , and we have turned up with very different RQS ZFIF on  $I_1 = [0, 0.25]$ . Fig. 1(d) is the plot of the classical rational quartic spline defined in [33]. To see the effect of scaling functions, we have plotted Fig. 1(e) by changing the scaling function  $\alpha_2$  from the parameters used for Fig. 1(d). Fig. 1(f) is the plot of RQS defined by us in (4) using the binary vector signature  $\epsilon = (1, 0, 0, 1)$  and we can see that the RQS defined by us and classical RQS defined in [33] are not the same. Thus, the proposed method enlarge the class of rational quartic splines with fixed shape parameters.



Fig. 1 Positive RQS ZFIFs

#### 5 Conclusions

We have derived a new type of  $C^1$ -rational quartic spline using the binary vector called a signature. For the fixed shape control parameters, we can generate  $2^{N-1}$  different new  $C^1$ -rational quartic spline interpolation functions using different signatures for the *N* numbers of data points. Then, by using the fractal technique we have introduced rational quartic spline zipper fractal interpolation functions. It has been shown that for a data generating function  $\Phi \in C^3(I)$ , the proposed RQS ZFIF has the order of convergence  $O(h^2)$  as  $h \to 0$ , and it can be increased to the next order of convergence as the classical rational quartic spline defined in [33] under suitable assumptions on the IFS parameters. We have derived sufficient conditions on the shape control parameters and the variable scaling functions so that our RQS ZFIF (consequently, the class of RQS) becomes positive for a given positive data set.

Acknowledgements The second author would like to acknowledge the Science and Engineering Research Board (SERB), Government of India, for the funding support of the research project [Project Number = MTR/2017/000574 - MATRICS].

### References

- Abbas, M., Majid, A.A., Awang, M.N.H., Ali, J.M.: Positivity-preserving C<sup>2</sup> rational cubic spline interpolation. Sci. Asia 39, 208–213 (2013)
- Aseev, V.V.: On the regularity of self-similar zippers. In: 6th Russian-Korean International Symposium on Science and Technology, KORUS-2002, 24–30 June 2002, Novosibirsk State Technical University Russia, NGTU, Novosibirsk, Part 3 (Abstracts), p. 167 (2002)
- Aseev, V.V., Tetenov, A.V.: On self-similar Jordan arcs that admit structural parametrization. Siberian Math. J. 46(4), 581–592 (2005)
- 4. Balasubramani, N., Guru Prem Prasad, M., Natesan, S.: Shape preserving α-fractal rational cubic splines. Calcolo **57** (2020). https://doi.org/10.1007/s10092-020-00372-8
- 5. Barnsley, M.F.: Fractal functions and interpolation. Constr. Approx. 2(1), 303-329 (1986)
- 6. Barnsley, M.F.: Fractals Everywhere. Academic Press, Boston (1988)
- Barnsley, M.F., Harrington, A.N.: The calculus of fractal interpolation functions. J. Approx. Theory 57(1), 14–34 (1989)
- Chand, A.K.B., Kapoor, G.P.: Generalized cubic spline fractal interpolation functions. SIAM J. Numer. Anal. 44(2), 655–676 (2006)
- 9. Chand, A.K.B., Tyada, K.R.: Constrained shape preserving rational cubic fractal interpolation functions. Rocky Mt. J. Math. **48**(1), 75–105 (2018)
- Chand, A.K.B., Vijender, N., Navascués, M.A.: Shape preservation of scientific data through rational fractal splines. Calcolo 51(2), 329–362 (2014)
- 11. Chand, A.K.B., Vijender, N., Viswanathan, P., Tetenov, A.V.: Affine zipper fractal interpolation functions. BIT Num. Math. **60**, 319–344 (2020)
- 12. Chand, A.K.B., Viswanathan, P.: A constructive approach to cubic Hermite fractal interpolation function and its constrained aspects. BIT Numer. Math. **53**(4), 841–865 (2013)
- Duan, Q., Zhang, H., Zhang, Y., Twizell, E.H.: Error estimation of a kind of rational spline. J. Comput. Appl. Math. 2000, 1–11 (2007)
- Gowrisankar, A., Guru Prem Prasad, M.: Riemann-Liouville calculus on quadratic fractal interpolation function with variable scaling factors. J. Anal. 27, 347–363 (2019). https://doi. org/10.1007/s41478-018-0133-2
- 15. Han, X.L.: Shape-preserving piecewise rational interpolant with quartic numerator and quadratic denominator. Appl. Math. Comput. **251**, 258–274 (2015)
- Hussain, M.Z., Sarfraz, M.: Positivity-preserving interpolation of positive data by rational cubics. J. Comput. Appl. Math. 218, 446–458 (2008)
- 17. Hutchinson, J.: Fractals and self-similarity. Indiana Univ. Math. J. 30, 713-747 (1981)
- Katiyar, S.K., Chand, A.K.B., Saravana Kumar, G.: A new class of rational cubic spline fractal interpolation function and its constrained aspects. Appl. Math. Comput. 346, 319–335 (2019)
- 19. Mandelbrot, B.: Fractals: Form, Chance and Dimension. W. H. Freeman, San Francisco (1977)
- Nasim Akhtar, Md., Guru Prem Prasad, M., Navascués, M.A.: More general fractal functions on the sphere. Mediterr. J. Math. (2019). https://doi.org/10.1007/s00009-019-1410-21660-5446/19/060001-18
- 21. Navascués, M.A.: Fractal polynomial interpolation. Z. Anal. Anwend. 24(2), 1–20 (2005)
- Reddy, K.M.: Some aspects of fractal functions in geometric modelling. Ph.D. Thesis, IIT Madras (2018)
- Sakai, M., Schmidt, J.W.: Positive interpolation with rational spline. BIT Numer. Math. 29, 140–147 (1989)
- 24. Samuel, M., Tetenov, A., Vaulin, D.: Self-similar dendrites generated by polygonal systems in the plane. Sib. Élektron. Mat. Izv. **14**, 737–751 (2017)
- 26. Tetenov, A.V.: On self-similar Jordan arcs on a plane. Sib. Zh. Ind. Mat. 7(3), 148–155 (2004)
- Tetenov, A.V.: Self-similar Jordan arcs and graph-directed systems of similarities. Siberian Math. J. 47(5), 940–949 (2006)

- Tetenov, A.V., Samuel, M., Vaulin, D.A.: On dendrites defined by polyhedral systems and their ramification points. Tr. Inst. Mat. Mekh. 23(4), 281–291 (2017)
- Viswanathan, P., Chand, A.K.B.: α-fractal rational splines for constrained interpolation. Electron. Trans. Numer. Anal. 41, 420–442 (2014)
- Viswanathan, P., Chand, A.K.B., Navascués, M.A.: Fractal perturbation preserving fundamental shapes: bounds on the scale factors. J. Math. Anal. Appl. 419(2), 804–817 (2014)
- Viswanathan, P., Navascués, M.A., Chand, A.K.B.: Fractal polynomials and maps in approximation of continuous functions. Numer. Funct. Anal. Optim. 37(1), 106–127 (2016)
- Wang, H.Y., Shan, Y.J.: Fractal interpolation functions with variable parameters and their analytical properties. J. Approx. Theory 175, 1–18 (2013)
- Zhu, Y.: C<sup>2</sup> positivity-preserving rational interpolation splines in one and two dimensions. Appl. Math. Comput. **316**, 186–204 (2018)