



Considerations for Clinical Use of Mesenchymal Stromal Cells

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Abstract

The clinical application of stem cells continues to fascinate the scientific and clinical communities. Despite the controversies surrounding this field, it is clear that stem cells have revolutionized regenerative medicine. Cell therapy is a progressively growing field that is moving fast from preclinical model development to clinical application. In this regard, outcomes obtained from clinical trials reveal the therapeutic potential of stem cell-based therapy that deals with unmet medical treatment for several disorders with no therapeutic alternatives. The application of stem cells in regenerative medicine is addressing a wide range of clinical conditions using various types of stem cells. Mesenchymal stromal cells (MSCs) have been established as promising candidate sources of universal donor cells for cell therapy due to their contributions to tissue and organ homeostasis, repair, and support by self-renewal and multi-differentiation, as well as by their anti-inflammatory, anti-proliferative, immunomodulatory, trophic, and pro-angiogenic properties. Various diseases have been successfully treated by MSCs in animal models. Additionally, hundreds of clinical trials related to the potential benefits of MSCs are in progress or have concluded satisfactorily. However, although all MSCs are considered suitable to exert these functions, dissimilarities have been found among MSCs derived from different tissues. The same levels of efficacy and desired outcomes have not always been achieved in the diverse studies that have been performed thus far. Therefore, collecting information regarding the characteristics of MSCs obtained from different sources and the influence of other medical and physiological conditions on MSCs is important for

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assuring the feasibility, safety, and efficacy of cell-based therapies. This chapter will update and discuss the state of the art in MSCs' cell-based therapies and provide relevant information regarding factors to consider for the clinical application of MSCs.

Keywords

Advanced therapy · Cell therapy · Clinical trial · Good manufacturing practice · Immunomodulation · Inflammation · Medicinal products · Mesenchymal stromal cells · Trophic factors

Abbreviations

Ad-MSCs	Adipose tissue-derived MSCs
AGEs	Advanced glycation end products
ATMPs	Advanced therapy medicinal products
bFGF	Basic fibroblast growth factor
BM-MSCs	Bone marrow-derived MSCs
CAFs	Cancer-associated fibroblasts
Cas9	CRISPR-associated protein 9
CCL	Chemokine (C-C motif) ligand
CFU-F	Colony-forming unit fibroblast
CRISPR	Clustered regularly interspaced short palindromic repeats
CXCL12	C-X-C motif chemokine 12 (or SDF1)
Dkk-1	Dickkopf-1
ECs	Endothelial cells
EMA	European Medicines Agency
ESCs	Embryonic stem cells
EVs	Extracellular vesicles
FBS	Fetal bovine serum
FDA	Food and Drug Administration
GM-CSF	Granulocyte-macrophage colony-stimulating factor
GMP	Good manufacturing practice
GPS	Glycotransferase-programmed stereo substitution
GVHD	Graft-versus-host diseases
GVL	Graft-versus-leukemia
HCELL	Hematopoietic cell E-selectin/L-selectin ligand
HGF	Hepatocyte growth factor
HLA-DR	Human leukocyte antigen-DR isotype
HLA-G5	Human leukocyte antigen-G5
HSCT	Hematopoietic stem cell transplantation
IBMIR	Instant blood-mediated inflammatory reaction
ICAM-2	Intercellular adhesion molecule 2
IDO	Indoleamine-2,3-dioxygenase
IFN- γ	Interferon-gamma
IGF-1	Insulin-like growth factor 1

IL	Interleukin
IL-1 α	Interleukin-1 alpha
IL-1 β	Interleukin-1 beta
iPSCs	Induced pluripotent stem cells
ISCT	International Society for Cellular Therapy
ITP	Immune thrombocytopenic purpura
LFA-3	Lymphocyte function-associated antigen 3 (or CD58)
MCP-1	Monocyte chemoattractant protein 1
MHC-HLA	Major histocompatibility complex-human leukocyte antigen
MMP-2	Matrix metalloproteinase 2
MSCs	Mesenchymal stromal cells
PAI-1	Plasminogen activator inhibitor-1
PDGF	Platelet-derived growth factor
PD-MSCs	Placenta-derived MSCs
PGE-2	Prostaglandin-E2
RA	Rheumatoid arthritis
SDF-1	Stromal cell-derived factor 1
SLE	Systemic lupus erythematosus
SSc	Systemic sclerosis
STC1	Stanniocalcin-1
TALENs	Transcription activator nucleases
TbRIII	Type III TGF- β receptor
TGF- β	Transforming growth factor beta
TNF- α	Tumor necrosis factor alpha
tPA	Tissue plasminogen activator
TRAIL	TNF-related apoptosis-inducing ligand
Trx1	Thioredoxin-1
TSG-6	Tumor necrosis factor-stimulated gene-6
UCB-MSCs	Umbilical cord-derived MSCs
VCAM-1	Vascular cell adhesion protein 1
VEGF	Vascular endothelial growth factor
ZFNs	Zinc finger nucleases

Introduction

Regenerative medicine is a novel emerging medical approach that drives the current understanding of biological and medical processes and suggests new treatments. As defined by the European Medicines Agency (EMA) and the US Food and Drug Administration (FDA), advanced therapies include cell and gene therapy and tissue engineering (Iglesias-López et al. 2019). Advanced therapies open up a broad set of translational fields and targets in areas of unmet medical need. In this regard, cell-based therapy through the application of cells, either alone or engineered, as a pharmacologically active substance seeks to restore the functioning of damaged

tissues or organs through the protection of cellular integrity, the replacement of damaged cells, and the promotion of trophic, anti-inflammatory, and immunomodulatory effects among others. However, while the progression of cell-based therapy in early-phase clinical trials with patients has progressed promisingly, the translation from laboratory to bedside to late-phase clinical trials has not been as rapid as expected. It is necessary to consider that these new therapeutic alternatives also involve unknown side effects that must be detected and characterized in-depth to improve and ensure safety, feasibility, and efficacy of cell application (García-Bernal et al. 2021; Hmadcha et al. 2020; Soria-Juan et al. 2019; Escacena et al. 2015; Gálvez et al. 2013).

In this regard, mesenchymal stromal cells (MSCs) are the most common cell type used in cell-based therapy due to their unique biological properties, including easy expansion and culture. The MSC-Committee of the International Society for Cellular Therapy (ISCT-MSC) first proposed that plastic-adherent cells of the bone marrow (BM) generally described as “mesenchymal stem cells” should be defined as “multipotent mesenchymal stromal cells.” In contrast, the term “mesenchymal stem cells” should be reticent for a subset of these cells that show stem cell activity by clearly stated criteria. As the acronym MSCs may be used to define both cell populations, the combined definition “mesenchymal stem/stromal cells” is probably more appropriate, especially when the “stemness” of the whole MSC population is not demonstrated (Horwitz et al. 2005). Recently, this committee offers a position statement to clarify the nomenclature of “mesenchymal stem/stromal cells.” The ISCT-MSC committee continues to support the use of the acronym “mesenchymal stromal cells” but recommends that this should be complemented by the tissue origin from which the cells were derived, which would highlight tissue-specific properties: that they be referred to as “stromal” unless there are rigorous *in vitro* and *in vivo* evidence of their stemness supplemented by a robust matrix of functional assays to demonstrate the “mesenchymal stromal cells” properties. Thus, they should not be defined generically, but based on the intended therapeutic mode of action (Viswanathan et al. 2019).

The MSCs are now considered as “cellular medicament” but are widely accepted to represent a heterogeneous population of multipotent non-hematopoietic progenitor cells with varying degrees of stemness, which mean that they have self-renewal and multi-differentiation abilities, the capability to differentiate into multiple cell types, including adipocytes, chondrocytes, and osteoblasts, depending on *in vitro* culture conditions (Soria-Juan et al. 2019).

The MSCs reside in almost all tissues, are found in virtually all post-natal organs and tissues, and are derived from the mesodermal germ layer. Furthermore, MSCs can be obtained from easily accessible sources by minimally invasive methods (e.g., peripheral blood, adipose tissue) and can be rapidly expanded in large scale for clinical use (Escacena et al. 2015). This allows producing a patient-specific cellular medicament (e.g., autologous medicinal product) within a therapeutic time window. In addition, the possibility of obtaining MSCs from adult tissue circumvents the ethical issues associated with the use of embryonic source (Lo and Parham 2009;

Ramos-Zúñiga et al. 2012). MSCs are inexpensively isolated and are easily expanded *in vitro* due to their fibroblastic characteristic and high adherence to plastic. MSCs are characterized by a specific pattern of membrane markers, consisting of the expression of CD73, D90, and CD105 and the absence of expression of CD14, CD34, CD45, and human leukocyte antigen-DR (HLA-DR), making them promising candidate sources of donor cells for use in cell-based therapy and transplantation (Horwitz et al. 2005).

MSCs function in tissue repair and support, contributing to tissue homeostasis. Even though the exact origin of MSCs remains elusive, there is strong evidence that MSC progenitors are found in the perivascular zone (Escacena et al. 2015) in an environment that promotes a quiescent state, ensuring the maintenance of homeostasis. Upon tissue damage, MSCs enter the bloodstream and are attracted to pro-inflammatory cytokines in the areas of injury. Therefore, MSCs have been termed “guardians of inflammation” (Prockop and Oh 2012). The cytoskeleton, extracellular matrix molecules, cell-cell contacts, adhesion ligands, and receptors are involved in the repair process. While the exact mechanisms related to MSCs’ migration to specific sites and through the endothelial cell layer are still unknown, chemokines and their receptors may play a role in this process (Hmadcha et al. 2020; Petrie et al. 2009).

Furthermore, MSCs’ survival, permanent engraftment, and differentiation into resident cells were thought, initially, to be necessary to obtain the beneficial effects of these cells, and clinical experience and several experiments have shown that one of the primary functions of MSCs, most likely their critical function, is to secrete several bioactive molecules related to the microenvironment “niche” in which these cells are located. Consequently, the secretome reproduces most of the effects of MSCs transiently; in this sense, MSCs secrete a wide variety of pro-inflammatory and anti-inflammatory cytokines, chemokines, growth factors, and prostaglandins under resting and inflammatory conditions (Hmadcha et al. 2009).

These molecules are associated with immunomodulation (indoleamine-2,3-dioxygenase (IDO), prostaglandin-E2 (PGE-2), transforming growth factor beta (TGF- β), human leukocyte antigen-G5 (HLA-G5), and hepatocyte growth factor (HGF)), anti-apoptosis (vascular endothelial growth factor (VEGF), granulocyte-macrophage colony-stimulating factor (GM-CSF), TGF- β , stanniocalcin-1 (STC1), and insulin-like growth factor 1 (IGF-1)), angiogenesis (VEGF, monocyte chemoattractant protein 1 (MCP-1), and IGF-1), local stem and progenitor cell growth and differentiation support (CSF complex, angiopoietin-1, and stromal cell-derived factor 1 (SDF-1)), anti-fibrosis (HGF and basic fibroblast growth factor (bFGF)), and chemoattraction (chemokine (C-C motif) ligands 2 and 4 (CCL2, CCL4) and C-X-C motif chemokine 12 (CXCL12 also called SDF1)) (Meirelles Lda et al. 2009).

MSCs display a low expression of major histocompatibility complex class I human leukocyte antigen (MHC-HLA class I), while they are constitutively negative for HLA-class II; likewise, they do not express costimulatory molecules such as CD80, CD86, CD40, and CD40L. However, MSCs share the expression of surface markers, such as vascular cell adhesion protein 1 (VCAM-1), intercellular adhesion molecule 2 (ICAM-2), and lymphocyte function-associated antigen 3 (LFA-3 or CD58) with the thymic epithelium, which is crucial for the interaction with T cells

(Hmadcha et al. 2009; Le Blanc 2003). Whereas MSCs remain in a quiescent state showing anti-apoptotic properties and contributing to homeostasis, in an inflammatory environment (presence of IFN- γ , TNF- α , IL-1 α , and IL-1 β), they begin to exercise their immunomodulation abilities, inhibiting the proliferation of effector cells and their cytokine production. In the same way, MSCs can block various immune cell functions (Hmadcha et al. 2009; Cagliani et al. 2017).

There is a complex “cross-talk” interaction between MSCs and endothelial cells (ECs). MSCs increase the proliferation and migration of the ECs, promoting early events of angiogenesis and decreasing the permeability of the monolayer of the ECs. In direct co-cultures of MSCs and ECs, MSCs increased the persistence of pre-existing blood vessels in a dose-dependent manner (Duffy et al. 2009). Moreover, beneficial therapeutic effects of the use of conditioned media of MSCs have been reported; even it is therapeutically better than the cells themselves (Burlacu et al. 2013; Shrestha et al. 2013) and to stimulate the proliferation of local ECs (Potapova et al. 2007). Likewise, in addition to direct “cell-cell” contact, speculation has been made with a possible transfer of mitochondria or vesicular components (secretome) that contain mRNA, microRNA, and proteins (Tan et al. 2021). Not only have this, the exosomes, secretory extracellular vesicles (EVs) from MSCs, also been identified to produce the same immunomodulatory activity as MSCs (Haider and Aramini 2020). Targeting the MSCs’ secretome as an acellular therapeutic agent could provide several advantages over the use of cell-based therapies for various diseases paving the way for cell-free therapy (Haider and Aslam 2018; Bari et al. 2019).

Altogether, these features constitute an area of research in expansion in the last decade and make MSCs an eligible therapeutic candidate to be evaluated within clinical trials for a plethora of diseases such as diabetes and diabetes complication and cardiovascular and neurological diseases; in immune-mediated disorders, such as graft-versus-host diseases (GVHD), multiple sclerosis (MS), Crohn’s disease (CD), and osteoarthritis (OA); and even in immune-dysregulating infectious diseases such as the novel coronavirus disease 2019 (COVID-19) (see ► [Chap. 6, “Mesenchymal Stromal Cells for COVID-19 Critical Care Patients,”](#) of this book for review on COVID-19).

When writing this chapter (May 2021), 1.276×10^3 publicly and privately funded clinical studies worldwide in which MSCs have been used have been reported and registered in the US National Library of Medicine database ([NIH-ClinicalTrials.gov](#)). Although the therapeutic efficacy of MSCs has been demonstrated in different disease animal models and numerous human phase 1/2 clinical trials and generally communicated, only very few (84 studies) phase 3/4 clinical trials using MSCs are registered (Table 1) and have demonstrated the expected potential therapeutic benefit. Almost all registered clinical trials are early phase 1/2 with safety as the primary objective. For efficacy and effectiveness issues, other advanced phases are mandatory. In all cases, one cannot consider these issues (efficacy nor effectiveness) unless phase 3 clinical trials are developed (García-Bernal et al. 2021) (Fig. 1).

Even though MSCs and their EVs have been shown to have high potential benefits in regenerative medicine and cell-free-based therapy, their clinical application remains controversial; thus, considerations and determination of possible side

Table 1 Public registry list of phase 3/4 clinical trials using MSCs as cell-based therapy (NIH-ClinicalTrial.gov)

NCT number	Title	Status	Phases	URL
NCT03106662	Mesenchymal Stem Cell Infusion in Haploidentical Hematopoietic Stem Cell Transplantation in Patients with Hematological Malignancies	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT03106662
NCT04351932	Bone Marrow Versus Adipose Autologous Mesenchymal Stem Cells for the Treatment of Knee Osteoarthritis	Not yet recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT04351932
NCT02755922	Bone Regeneration with Mesenchymal Stem Cells	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT02755922
NCT04224207	Management of Retinitis Pigmentosa by Mesenchymal Stem Cells by Wharton's Jelly Derived Mesenchymal Stem Cells	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT04224207
NCT01854125	Autologous Mesenchymal Stem Cell Transplantation in Cirrhosis Patients with Refractory Ascites	Unknown status	Phase 3	https://ClinicalTrials.gov/show/NCT01854125
NCT02218437	Treatment Protocol of Child SAA with the Injection of Mesenchymal Stem Cells (Umbilical Cord Derived)	Unknown status	Phase 4	https://ClinicalTrials.gov/show/NCT02218437
NCT01716481	The STem Cell Application Researches and Trials In NeuroloGy-2 (STARTING-2) Study	Unknown status	Phase 3	https://ClinicalTrials.gov/show/NCT01716481
NCT00366145	Efficacy and Safety of Adult Human Mesenchymal Stem Cells to Treat Steroid Refractory Acute Graft Versus Host Disease	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT00366145
NCT03766217	Bone Tissue Engineering with Dental Pulp Stem Cells for Alveolar Cleft Repair	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT03766217
NCT04689152	Clinical Trial to Evaluate the Efficacy and Safety of Cellgram-LC Administration in Patients with Alcoholic Cirrhosis	Not yet recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT04689152
NCT01676441	Safety and Efficacy of Autologous Mesenchymal Stem Cells in Chronic Spinal Cord Injury	Terminated	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT01676441

(continued)

Table 1 (continued)

NCT number	Title	Status	Phases	URL
NCT01157403	Autologous Transplantation of Mesenchymal Stem Cells for Treatment of Patients with Onset of Type 1 Diabetes	Unknown status	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT01157403
NCT03325504	A Comparative Study of 2 Doses of BM Autologous H-MSC +Biomaterial vs Iliac Crest AutoGraft for Bone Healing in Non-Union	Recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT03325504
NCT01652209	To Evaluate the Efficacy and Safety of Hearticelgram [®] -AMI in Patients with Acute Myocardial Infarction	Recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT01652209
NCT01873625	Transplantation of Bone Marrow Derived Mesenchymal Stem Cells in Affected Knee Osteoarthritis by Rheumatoid Arthritis	Completed	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT01873625
NCT01392105	Safety and Efficacy of Intracoronary Adult Human Mesenchymal Stem Cells After Acute Myocardial Infarction	Completed	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT01392105
NCT00543374	Extended Evaluation of PROCHYMAL [®] Adult Human Stem Cells for Treatment-Resistant Moderate-to-Severe Crohn's Disease	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT00543374
NCT01394432	ESTIMATION Study for Endocardial Mesenchymal Stem Cells Implantation in Patients After Acute Myocardial Infarction	Unknown status	Phase 3	https://ClinicalTrials.gov/show/NCT01394432
NCT02442817	Linagliptin and Mesenchymal Stem Cells: A Pilot Study	Completed	Phase 4	https://ClinicalTrials.gov/show/NCT02442817
NCT00482092	Evaluation of PROCHYMAL [®] Adult Human Stem Cells for Treatment-Resistant Moderate-to-Severe Crohn's Disease	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT00482092
NCT01526850	Efficacy and Safety Study of Allogenic Mesenchymal Stem Cells for Patients with Chronic Graft Versus Host Disease	Unknown status	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT01526850
NCT04421274	Bone Marrow Mesenchymal Stem Cells Transfer in Patients with ST-Segment Elevation Myocardial Infarction	Completed	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT04421274

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Table 1 (continued)

NCT number	Title	Status	Phases	URL
NCT03818737	Multicenter Trial of Stem Cell Therapy for Osteoarthritis (MILES)	Active, not recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT03818737
NCT02223897	Mesenchymal Stem Cells Transplantation for Ischemic-Type Biliary Lesions	Unknown status	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT02223897
NCT00891501	The Use of Autologous Bone Marrow Mesenchymal Stem Cells in the Treatment of Articular Cartilage Defects	Unknown status	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT00891501
NCT01873547	Different Efficacy Between Rehabilitation Therapy and Stem Cells Transplantation in Patients with SCI in China	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT01873547
NCT04146519	Parkinson's Disease Therapy Using Cell Technology	Recruiting	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT04146519
NCT04243681	Combination of Autologous MSC and HSC Infusion in Patients with Decompensated Cirrhosis	Completed	Phase 4	https://ClinicalTrials.gov/show/NCT04243681
NCT04297813	Efficacy in Alveolar Bone Regeneration with Autologous MSCs and Biomaterial in Comparison to Autologous Bone Grafting	Recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT04297813
NCT02334878	Stem Cell Therapy for Treatment of Female Stress Urinary Incontinence	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT02334878
NCT01759212	Left Ventricular Assist Device Combined with Allogeneic Mesenchymal Stem Cells Implantation in Patients with End-Stage Heart Failure	Active, not recruiting	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT01759212
NCT04877067	Therapy of Toxic Optic Neuropathy via Combination of Stem Cells with Electromagnetic Stimulation	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT04877067
NCT04366063	Mesenchymal Stem Cell Therapy for SARS-CoV-2-Related Acute Respiratory Distress Syndrome	Recruiting	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT04366063
NCT03990805	A Phase 3 Study to Evaluate the Efficacy and Safety of JointStem in Treatment of Osteoarthritis	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT03990805

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Table 1 (continued)

NCT number	Title	Status	Phases	URL
NCT02672267	A Study of Allogeneic Low Oxygen Mesenchymal Bone Marrow Cells in Subjects with Myocardial Infarction	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT02672267
NCT00851162	Using Mesenchymal Stem Cells to Fill Bone Void Defects in Patients with Benign Bone Lesions	Withdrawn	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT00851162
NCT01803347	Clinical Trial to Evaluate the Efficacy and Safety of Stem Cells	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT01803347
NCT04612465	Clinical Study to Evaluate Efficacy and Safety of ASC and Fibrin glue or Fibrin glue in Patients with Crohn's Fistula	Recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT04612465
NCT02241018	MSCs Combined with CD25 Monoclonal Antibody and Calcineurin Inhibitors for Treatment of Steroid-Resistant aGVHD	Unknown status	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT02241018
NCT04427930	Follow-Up Study for Participants of JointStem Phase 3 Clinical Trial	Enrolling by invitation	Phase 3	https://ClinicalTrials.gov/show/NCT04427930
NCT03633565	Comparative Study of Strategies for Management of Duchenne Myopathy (DM)	Not yet recruiting	Phase 4	https://ClinicalTrials.gov/show/NCT03633565
NCT03389919	Intraosseous Administration of Mesenchymal Stromal Cells for Patients with Graft Failure After Allo-HSCT	Recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT03389919
NCT04368806	A Phase 2b/3a Study to Evaluate the Efficacy and Safety of JointStem in Patients Diagnosed as Knee Osteoarthritis	Not yet recruiting	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT04368806
NCT03370874	Clinical Study to Evaluate Efficacy and Safety of ALLO-ASC-DFU in Patients with Diabetic Foot Ulcers	Active, not recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT03370874
NCT03631589	MSC for Severe aGVHD	Recruiting	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT03631589
NCT03280056	Safety and Efficacy of Repeated Administrations of NurOwn® in ALS Patients	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT03280056

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Table 1 (continued)

NCT number	Title	Status	Phases	URL
NCT04738981	Efficacy and Safety of UC-MSCs for the Treatment of Steroid-Resistant aGVHD Following Allo-HSCT	Not yet recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT04738981
NCT02809781	A Pilot Study of MSCs Infusion and Etanercept to Treat Ankylosing Spondylitis	Unknown status	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT02809781
NCT01041001	Study to Compare Efficacy and Safety of Cartistem and Microfracture in Patients with Knee Articular Cartilage Injury	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT01041001
NCT02240992	MSCs With or Without Peripheral Blood Stem Cell for Treatment of Poor Graft Function and Delayed Platelet Engraftment	Unknown status	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT02240992
NCT01541579	Adipose Derived Mesenchymal Stem Cells for Induction of Remission in Perianal Fistulizing Crohn's Disease	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT01541579
NCT03905824	The Effectiveness of Adding Allogenic Stem Cells After Traditional Treatment of Osteochondral Lesions of the Talus	Recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT03905824
NCT01233960	Evaluation of PROCHYMAL [®] for Treatment-Refractory Moderate-to-Severe Crohn's Disease	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT01233960
NCT02291770	Treatment of Chronic Graft-Versus-Host Disease with Mesenchymal Stromal Cells	Unknown status	Phase 3	https://ClinicalTrials.gov/show/NCT02291770
NCT01929434	Efficacy of Stem Cell Transplantation Compared to Rehabilitation Treatment of Patients with Cerebral Paralysis	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT01929434
NCT01759823	Bone Marrow Derived Stem Cell Transplantation in T2DM	Completed	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT01759823
NCT01626677	Follow-Up Study of CARTISTEM [®] Versus Microfracture for the Treatment of Knee Articular Cartilage Injury or Defect	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT01626677

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Table 1 (continued)

NCT number	Title	Status	Phases	URL
NCT03404063	Cardiovascular Clinical Project to Evaluate the Regenerative Capacity of CardioCell in Patients with Acute Myocardial Infarction (AMI)	Completed	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT03404063
NCT04219241	Clinical Extension Study for Safety and Efficacy Evaluation of Cellavita-HD Administration in Huntington's Patients	Not yet recruiting	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT04219241
NCT04018729	Cell Therapy Associated with Endobronchial Valve	Not yet recruiting	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT04018729
NCT04230902	Effects of α MAT Versus Steroid Injection in Knee Osteoarthritis (ST α MAT-Knee Study)	Recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT04230902
NCT03418233	Randomized Clinical Trial to Evaluate the Regenerative Capacity of CardioCell in Patients with Chronic Ischaemic Heart Failure (CIHF)	Completed	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT03418233
NCT03423732	Cardiovascular Clinical Project to Evaluate the Regenerative Capacity of CardioCell in Patients with No-option Critical Limb Ischemia (N-O CLI)	Active, not recruiting	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT03423732
NCT03112122	Study for the Treatment of the Bone Marrow Edema:Core Decompression VS Bone Marrow Concentrate (BMC) VS Bone Substitute	Terminated	Phase 4	https://ClinicalTrials.gov/show/NCT03112122
NCT02138331	Effect of Microvesicles and Exosomes Therapy on β -Cell Mass in Type I Diabetes Mellitus (T1DM)	Unknown status	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT02138331
NCT03042572	Allogeneic Mesenchymal Stromal Cells for Angiogenesis and Neovascularization in No-option Ischemic Limbs	Not yet recruiting	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT03042572
NCT04247945	Co-transplantation of MSC in the Setting of Allo-HSCT	Recruiting	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT04247945
NCT04745299	Evaluation the Efficacy and Safety of Mutiple Lenzumestrocel (Neuronata-R [®] Inj.) Treatment in patients with ALS	Recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT04745299

(continued)

Table 1 (continued)

NCT number	Title	Status	Phases	URL
NCT04126603	Impact of Semaglutide on CD34 + EPC and Fat Derived MSC	Recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT04126603
NCT04371393	MSCs in COVID-19 ARDS	Active, not recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT04371393
NCT04310215	Efficacy and Safety of Allogenic Stem Cell Product (CARTISTEM®) for Osteochondral Lesion of Talus	Enrolling by invitation	Phase 3	https://ClinicalTrials.gov/show/NCT04310215
NCT04138017	ViviGen Cellular Bone Matrix for Hindfoot or Ankle Arthrodesis	Enrolling by invitation	Phase 4	https://ClinicalTrials.gov/show/NCT04138017
NCT03747822	Evaluation of Soft Tissue Profile Changes Following Autogenous Fat or Onlay Polyetheretherketone (PEEK) Augmentation Versus Sliding Genioplasty for Correction of Deficient Chin	Unknown status	Phase 3	https://ClinicalTrials.gov/show/NCT03747822
NCT04569409	Clinical Study to Evaluate Efficacy and Safety of ALLO-ASC-DFU in Patients with Diabetic Wagner Grade 2 Foot Ulcers	Recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT04569409
NCT01926327	The Effect of Platelet-Rich Plasma in Patients with Osteoarthritis of the Knee	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT01926327
NCT04864509	The Effects of Melatonin Treatment on Bone, Marrow, Sleep and Blood Pressure	Not yet recruiting	Phase 4	https://ClinicalTrials.gov/show/NCT04864509
NCT02448849	Autologous BM-MSC Transplantation in Combination with Platelet Lysate (PL) for Nonunion Treatment	Unknown status	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT02448849
NCT04541680	Nintedanib for the Treatment of SARS-Cov-2 Induced Pulmonary Fibrosis	Recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT04541680
NCT01529008	Study on Autologous Osteoblastic Cells Implantation to Early Stage Osteonecrosis of the Femoral Head	Terminated	Phase 3	https://ClinicalTrials.gov/show/NCT01529008

(continued)

Table 1 (continued)

NCT number	Title	Status	Phases	URL
NCT00562497	Efficacy and Safety of Prochymal™ Infusion in Combination with Corticosteroids for the Treatment of Newly Diagnosed Acute Graft Versus Host Disease (GVHD)	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT00562497
NCT02849613	Regenerative Stem Cell Therapy for Stroke in Europe	Withdrawn	Phase 2 Phase 3	https://ClinicalTrials.gov/show/NCT02849613
NCT04629833	Treatment of Steroid-Refractory Acute Graft-Versus-Host Disease with Mesenchymal Stromal Cells Versus Best Available Therapy	Not yet recruiting	Phase 3	https://ClinicalTrials.gov/show/NCT04629833
NCT02336230	A Prospective Study of Remestemcel-L, Ex-Vivo Cultured Adult Human Mesenchymal Stromal Cells, for the Treatment of Pediatric Patients Who Have Failed to Respond to Steroid Treatment for Acute GVHD	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT02336230
NCT02032004	Efficacy and Safety of Allogeneic Mesenchymal Precursor Cells (Rexlemestrocel-L) for the Treatment of Heart Failure	Completed	Phase 3	https://ClinicalTrials.gov/show/NCT02032004

effects need to be addressed to optimize the clinical application of this double-edged sword cellular medicament. This chapter updates and discusses the state of the art in MSCs' cell-based therapies and provides relevant information regarding factors to consider for the clinical application of MSCs.

Biological Characteristics

Phenotypic Profile

Since Friedenstein and colleagues first isolated a colony-forming unit fibroblast (CFU-F) from BM, BM has been widely used as a source of MSCs for many investigations and clinical trials. In addition to BM (BM-derived MSCs), MSCs have been isolated from different tissues, such as adipose tissue (Ad-MSCs), umbilical cord (UCB-MSCs), dental pulp, synovial liquid, and amniotic fluid. All these tissues vary in their cellular components, signals, and factors secreted, resulting in different immediate microenvironment conditions, thus developing

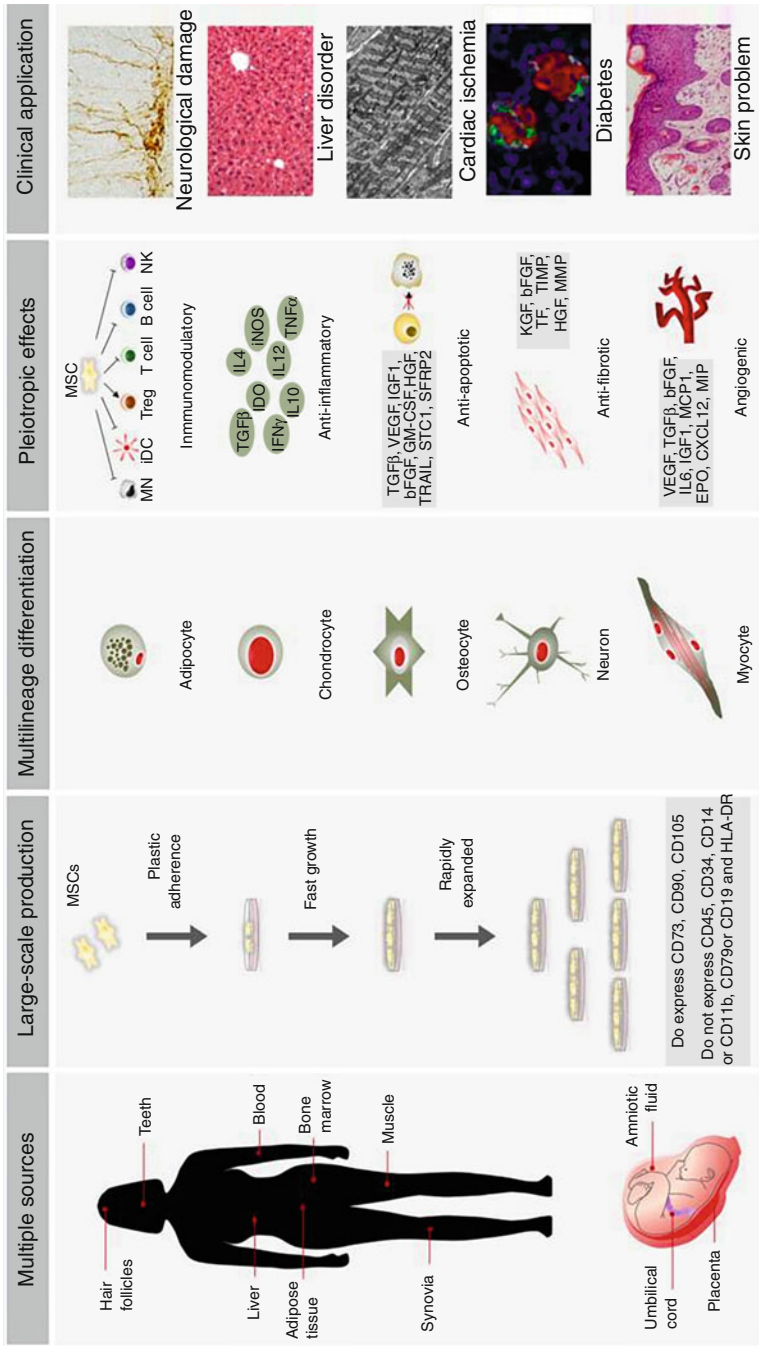


Fig. 1 The MSCs have multiple potential advantages for their clinical application. Among other advantages, MSCs can be isolated from various sources, are produced on a large scale, differentiate into various cell types, and have pleiotropic effects. Such advantages make MSCs suitable for clinical application in different disease conditions, such as neurological damage, liver disorders, cardiac ischemia, diabetes, or skin problems. (Re-published from Hmadcha 2020,

several physiological niches (Hmadcha et al. 2009; Escacena et al. 2015). Although isolated and long-term cultured MSCs of most tissues show similar immunophenotypic characteristics, some differences have been found among MSCs of different tissue origins according to data obtained by *in vitro* experiments. In 2006, the International Society for Cellular Therapy (ISCT) published the minimal criteria to define MSCs by nomenclature and by biological characteristics to allow studies from different groups to be compared and contrasted. These criteria include the co-expression of markers such as CD73, CD90, and CD105, a lack of expression of hematopoietic markers (CD45, CD34, and CD14) and HLA-DR, multipotent differentiation potential, and adherence to plastic (Horwitz et al. 2005). However, several researchers have noted that Ad-MSCs express CD34 and CD54 in early passages and have lower expression of CD106 and that umbilical cord blood-derived MSCs (UCB-MSCs) express CD90 and CD105. Other markers have been used in different studies, and other differences have emerged, such as VEGFR-2 (Flk-1) expression, which was significantly higher in periosteum-derived cells than in adipose tissue- and muscle-derived cells, or the rate of NGFR positivity, which was much higher in muscle-derived cells than in other mesenchymal tissue-derived cells (Escacena et al. 2015).

Although some immunophenotypic differences have been documented, many researchers consider that these differences could be due to distinct extraction methods and different culture methodologies, resulting in variations of MSC surface markers. Therefore, this chapter aimed to investigate markers and characteristics that are more specific to select better sources of MSCs for clinical applications. Likewise, expanding the cells *in vitro* is necessary to obtain the desired numbers for therapeutic approaches. Changes in the proteomic phenotype of MSCs have been observed during high passages, although no proper approaches to examine the state of cells continuously during long-term *in vitro* culture have been established (Capra et al. 2012). Some researchers ascribe these variations to the adaptation of cells to the environment; thus, determining the biomolecular markers that are involved in these variations is essential for obtaining a better phenotypic characterization of these cells and thus for achieving more effective cell therapy in the future (Escacena et al. 2015).



Fig. 1 (continued) article published under CC-BY terms). Abbreviations: bFGF basic fibroblast growth factor, CXCL12 C-X-C motif chemokine 12, EPO erythropoietin, GM-CSF granulocyte-macrophage colony-stimulating factor, HGF hepatocyte growth factor, HLA-DR major histocompatibility complex class II DR, iDC immature dendritic cell, IDO indoleamine-2,3-dioxygenase, IGF1 insulin-like growth factor 1, IL-10 interleukin-10, IL-12 interleukin-12, IL-4 interleukin-4, IL-6 interleukin-6, INF- γ interferon- γ , iNOS inducible nitric oxide synthase, KGF keratinocyte growth factor, MCP1 monocyte chemoattractant protein 1, MIP macrophage inflammatory protein, MMP matrix metalloproteinases, MN monocyte, NK natural killer cell, SFRP2 secreted frizzled-related protein 2, STC1 stanniocalcin 1, TF tissue factor, TGF- β transforming growth factor beta, TIMP tissue inhibitor of metalloproteinases, TNF- α tumor necrosis factor α , TRAIL TNF-related apoptosis-inducing ligand, Treg regulatory T cell, VEGF vascular endothelial growth factor

MSCs' Proliferation

The proliferative activity of MSCs is another feature that may be affected by the different origins of MSCs. The rate and persistence of MSC proliferation appear to vary between source tissues. MSCs are considered adult stem cells, and unlike embryonic stem cells (ESCs), these cells have a limited proliferative capacity. Physiological niches maintain adult stem cells in an undifferentiated state; however, when MSCs are cultured *in vitro*, they age, which affects their therapeutic properties, such as alterations in phenotype, differentiation potential, global gene expression patterns, miRNA profiles, and even chromosomal abnormalities, particularly after long-term culture or when cells of multiple doublings are used (Escacena et al. 2015). Large numbers of MSCs are needed for therapeutic applications, and *in vitro* expansion is required to produce the desired MSC numbers. *In vivo*, MSCs represent 0.0001% of nucleated BM cells, and their number decreases with the donor's age. The quantity of MSCs (CFU-Fs) among nucleated BM cells decreases with age from one MSC in 10^4 BM cells in newborn to one MSC in 10^5 cells in teenagers and one MSC in 10^6 cells in older individuals (Caplan 2009).

Furthermore, MSCs from older human donors differ significantly from younger donors in morphology, replicative lifespan, doubling time, healing capacity, and differentiation potential. Sufficient evidence has indicated that MSCs from older donors have limited therapeutic efficacy. Some studies have suggested that the difference between preclinical and clinical findings is due to the donor age (Stenderup et al. 2003; Escacena et al. 2015). Therefore, considering that several age-related diseases exist and that elderly patients are potential users of cell therapy, understanding the molecular and biological effects of aging on MSCs is essential for developing safe and effective MSC-based autologous cell therapy. Meanwhile, the use of allogeneic MSCs may be a treatment option for these specific patients. As commented below, MSCs elude allogeneic rejection, and their infusion is feasible and well-tolerated, with no adverse effects (McAuley et al. 2014; Liang et al. 2010).

Differentiation Capacity

MSCs can differentiate *in vitro* into several mesenchymal lineages, including adipose tissue, bone, cartilage, and muscle (Pittenger et al. 1999; Prockop 1997; Bruder et al. 1997). Furthermore, MSCs can differentiate into ECs, neurons, and glial cells because MSCs express genes related to specific lineages rather than those of the mesenchymal lineage (Woodbury et al. 2002). Although multilineage differentiation is another minimal criterion advised by the ISCT and undoubtedly represents a fundamental property of MSCs, this ability depends primarily on the source tissue from which these cells are derived. As such, Sakaguchi and colleagues (Sakaguchi et al. 2005) compared human MSCs isolated from BM, synovium, periosteum, skeletal muscle, and adipose tissue. The cells were expanded by similar processes; synovium-derived cells had the most remarkable ability for chondrogenesis; adipose- and synovium-derived cells, for adipogenesis; and BM-, synovium-, and

periosteum-derived cells, for osteogenesis. In another comparative analysis, UCB-MSCs showed no adipogenic differentiation capacity compared to BM- and Ad-MSCs (Kern et al. 2006).

As discussed by Horwitz (Horwitz et al. 2002), who used differentiated MSCs in a study to test the regeneration of damaged tissues, BM-derived MSCs can engraft after transplantation, differentiate to functional osteoblasts, and contribute to the formation of new dense bone in children with osteogenesis imperfecta. Most likely, the microenvironment in which MSCs are transplanted directly influences in their distinct differentiation pathways. New insights into the biological characteristics of MSCs are needed to achieve future therapies.

Cellular Transformation

In general, successive passages or long-term cultures induce genetic instability and cell transformation. Several authors have described that MSCs cultivated in vitro can be expanded multiple times without an apparent loss of differentiation potential or chromosomal alterations and even that long-term MSC cultures can develop chromosomal abnormalities but without an obvious potential for transformation (Koç et al. 2000; Le Blanc et al. 2004; Ringdén et al. 2006; Fang et al. 2006; Ning et al. 2008). Although no tumor formation in humans has been reported after the administration of MSCs, several factors must be considered that can contribute significantly to the induction of cytogenetic abnormalities, such as aspects related to the manufacturing process of the cellular medicine (e.g., culture conditions and duration of cell expansion) and heterogeneity of the MSC population (e.g., cells in different stages of duplication). The tumorigenic potential of a cell therapy medicament may depend on intrinsic and extrinsic factors, such as the administration site in the patient (due to the receptor's microenvironment) and/or the manipulation of the culture *ex vivo*.

Mechanism of Action

Cell Migration Toward Damaged Tissues

The success of an advanced therapy medicinal product initially depends on its ability to reach target tissues. MSCs possess inherent tropism toward damaged sites controlled by many factors and mechanisms, including chemoattractant signals. For instance, the C-X-C motif chemokine ligand 12 (CXCL12) is a frequent triggering factor at the injury site. It has been demonstrated that a subpopulation of MSCs expresses the C-X-C chemokine receptor type 4 (CXCR4) that binds to its ligand, the CXCL12, to mediate cell migration (Wynn et al. 2004; Ma et al. 2015). Aside from CXCR4, MSCs express other chemokine receptors, such as CCR1, CCR2, CCR4, CCR7, CCR8, CCR9, CCR10, CXCR1, CXCR2, CXCR3, CXCR4, CXCR5, CXCR6, and CX3CR1 (Sordi et al. 2005; Von Lüttichau et al. 2005; Honczarenko

et al. 2006; Ringe et al. 2007). These receptors are essential to respond to triggering factors at the site of injury. In addition, MSCs also express cell adhesion molecules, including CD49d, CD44, CD54, CD102, and CD106 (De Ugarte et al. 2003). These chemokines and cell adhesion molecules orchestrate the mobilization of MSCs' injury sites in a similar manner to white blood cells (Kolaczowska and Kubes 2013). MSC mobilization is a multistep process that encompasses the attachment of free circulating MSCs in the bloodstream to transmigrate between ECs with the ultimate goal of migrating and engrafting to the target tissue.

Tissue Repair

Once recruited in the injured site, MSCs contribute to tissue repair and regeneration by activating several mechanisms. A growing body of research has demonstrated that MSCs display pleiotropic effects, which give them enormous therapeutic potential. MSCs secrete various mediators of tissue repair in response to injury signals, including anti-apoptotic, anti-inflammatory, immunomodulatory, anti-fibrotic, and angiogenic agents (Caplan and Dennis 2006; Meirelles Lda et al. 2009; Maltman et al. 2011; Escacena et al. 2015). Among pleiotropic effects, anti-inflammatory and immunomodulatory properties are mainly responsible for the therapeutic benefits of MSCs. As sensors of inflammation, MSCs release soluble factors, such as TGF- β , IDO, TNF- α , IL-10, and INF- γ , which interfere with the immune system and modify the inflammatory landscape (Prockop and Oh 2012). Pivotal studies showed that MSCs inhibit the proliferation of T and B cells (Di Nicola et al. 2002; Corcione et al. 2006; Song et al. 2019), suppress the activation of natural killer cells (Sotiropoulou et al. 2006), and prevent the generation and maturation of monocyte-derived dendritic cells (English et al. 2008; Spaggiari et al. 2009). Furthermore, MSCs can promote the generation of regulatory T cells (Maccario et al. 2005), which exert immunosuppressive effects. Although soluble factors play a key role in the immunosuppressive activity of MSCs, cell-to-cell contact also influences immune responses (Ren et al. 2010; Li et al. 2019). For instance, direct contact between MSCs and pro-inflammatory macrophages has been shown to induce immune tolerance by inducing tumor necrosis factor-stimulated gene-6 (TSG-6) production (Li et al. 2019). MSC mediated modulations of the immune response set in motion essential inflammatory processes that significantly promote tissue repair and regeneration by driving healing, scarring, and fibrosis (Julier et al. 2017).

Immunomodulatory Potential

The immunomodulatory properties of MSCs and their immune-privileged condition make these cells good candidates for use in several clinical trials related to chronic, inflammatory, and autoimmune diseases. MSCs interact with cells of the innate or

adaptive immune system (T cells, B cells, NK cells, monocyte-derived dendritic cells, and neutrophils) (Di Nicola et al. 2002; Raffaghello et al. 2008). For a cell to be recognized by the immune system, the expression of major histocompatibility complex (MHC) and co-stimulatory molecules is necessary. MHC class I and class II human leukocyte antigens (HLAs) are master triggers of robust immunological rejection of grafts because they present antigens to cytolytic T lymphocytes (CTL). Human mesenchymal stem cells (hMSCs) are characterized by low expression of MHC class I HLAs but are constitutively negative for class II HLCs; these cells do not express co-stimulatory molecules such as B7-1, B7-2, CD80, CD86, CD40, and CD40L (Hmadcha et al. 2009; Le Blanc 2003). However, similar to the thymic epithelium, MSCs express the surface markers VCAM-1, ICAM-2, and LFA-3 (Le Blanc 2003; Conget and Minguell 1999), which are crucial for T-cell interactions.

Although a T-cell response should be expected, hMSCs can modulate the activation and proliferation of both CD4⁺ and CD8⁺ cells *in vitro* by arresting T cells in G0/G1 phase (Glennie et al. 2005; Benvenuto et al. 2007). Different studies have suggested that cell-cell interactions and certain soluble factors are the mechanisms used by MSCs to mediate the immune response. Factors, such as IDO, TGF- β 1, IFN- γ , IL-1 β , TNF- α , IL-6, IL-10, PGE-2, HGF, HLA-G5, and others, are secreted by MSCs or released after interactions with target cells. As mentioned above, MSCs remain in a resting state, display anti-apoptotic properties, and maintain different cells such as hematopoietic stem cells (HSCs), thus contributing to tissue homeostasis. However, in an inflammatory environment such as that created by cytokines such as IFN- γ , TNF- α , IL-1 α , and IL-1 β , MSCs begin to exert their immunosuppressive effects and polarize, inhibiting the proliferation of effector cells and their production of cytokines. In this regard, IFN- γ is postulated as a “licensing” agent for MSC anti-proliferative action. MSCs may also acquire behavior as antigen-presenting cells (APCs) under specific concentrations of IFN- γ (Stagg et al. 2006; Uccelli et al. 2008). However, no consensus regarding what concentration of IFN- γ is more necessary for MSCs to show inhibitory or APC functions exists.

Likewise, TNF- α is another pro-inflammatory cytokine involved in the MSC immune response, and TNF- α enhances the effect of IFN- γ . IFN- γ , with or without the help of TNF- α , stimulates the production of IDO by MSCs, inhibiting the proliferation of activated T or NK cells and thus enhancing the homing potential and reparative properties of these cells; however, some potential risks are associated with the role of IFN- γ (Krampera et al. 2006; Sivanathan et al. 2014). Some authors have maintained that the immunomodulatory properties of MSCs are comparable, while others have argued that MSCs of different tissue origins or species cannot have equivalent and comparable immunomodulatory properties (Najar et al. 2010; Yoo et al. 2009; Ricciardi et al. 2012; Krampera 2011). For example, MSCs from perinatal sources (umbilical cord and amniotic membrane) show a higher immunomodulatory capacity, differential gene expression profiles, and paracrine factor secretion compared to BM-MSCs (Wegmeyer et al. 2013). Lee and colleagues found that HLA-G, a specific MHC-I antigen that is critical for maintaining the

immune-tolerant state of pregnancy and that is a contributing factor to the induction of more substantial immunosuppression, is strongly positive only in placenta-derived MSCs (PD-MSCs) (Lee et al. 2012a). This is in contrast to BM-derived MSCs and Ad-MSCs and suggests that the immunophenotype of PD-MSCs may be superior to other MSCs in terms of their immunosuppressive function (Hunt et al. 2005). Nonetheless, some authors claimed that BM-derived MSCs were more immunomodulatory than PD-MSCs (Fazekasova et al. 2011). And others concluded that the immunomodulatory capacities of BM-derived MSCs and Ad-MSCs are similar but that differences in cytokine secretion cause Ad-MSCs to have more potent immunomodulatory effects than BM-derived MSCs (Melief et al. 2013).

Bartholomew and colleagues (Bartholomew et al. 2002) showed that allogeneic MSCs prolonged skin graft survival in baboons. Mouse MSCs have been used in related experiments; these cells use inducible nitric oxide synthase (iNOS) for immunosuppression instead of IDO. These findings indicate that MSCs differ between species (Ren et al. 2009). Since then, several preclinical models have been used to analyze the biological effects of MSCs and their ability to modulate immune responses, considering that not all animal models mimic human diseases. Once more, these differences could be due to isolation procedures, to culture methodology, or, more likely, to differences in the microenvironments where cells reside. These and other findings lead us to conclude that determining whether these differences may be relevant for clinical applications and whether MSCs of a particular tissue type are more appropriate for specific therapies or diseases.

Preclinical Applications

Preclinical models are essential for clinicians, researchers, and both national and international regulatory agencies to demonstrate the safety and efficacy of MSC-based therapies (Krampera et al. 2013). Because MSCs can exert immunomodulatory properties and act on different immune cells *in vitro* and *in vivo*, these cells have begun to be used against autoimmune diseases based on multiple autoimmune experimental models. Pioneer studies in experimental autoimmune encephalomyelitis (EAE), a model for multiple sclerosis, reported that MSCs derived from numerous tissue origins show efficacy against neurodegenerative disorders (Zappia et al. 2005; Rafei et al. 2009; Constantin et al. 2009; Bai et al. 2009; Zhang et al. 2005). BM-MSC and UCB-MSC treatments have improved clinical and laboratory parameters in systemic lupus erythematosus (SLE) (Sun et al. 2010). Furthermore, ameliorating effects have been observed in experimental mouse models of rheumatoid arthritis (RA) (González et al. 2009). Diabetes is another autoimmune disorder in which MSCs have been employed (Jurewicz et al. 2010; Lee et al. 2006). Although promising results and progress have been observed in this field, the interspecies differences, and contradictory experimental outcomes, and the inability to recreate the complete pathophysiology of some diseases make it necessary to search for new animal models for comparable results.

MSC-Based Therapy for Autoimmune Diseases

The MSCs are being used to facilitate the engraftment of transplanted HSCs and treat graft-versus-host disease (GvHD) after allogeneic hematopoietic stem cell transplantation (HSCT) based on their immunomodulatory properties and provide appropriate conditions. However, preclinical and clinical experiments with MSCs do not always show similar results for the prevention and treatment of GvHD. In a study using a mouse model of GvHD (Sudres et al. 2006), MSCs suppressed alloantigen-induced T-cell proliferation *in vitro* in a dose-dependent manner but yielded no clinical benefit regarding the incidence or severity of GvHD. Instead, when UCB-MSCs were administered in weekly doses in a xenogenic model of GvHD, a marked decrease in human T-cell proliferation was observed, and none of the mice developed GvHD. No therapeutic effect was obtained when UCB-MSCs were administered at the onset of GvHD (Tisato et al. 2007). In the same line of research, serial infusions of mouse AD-MSCs could efficiently control the lethal GvHD that occurred in recipients transplanted with haploidentical hematopoietic grafts (Yañez et al. 2006). Mixed results have also been achieved in human patients. One study found that the co-transplantation of culture-expanded MSCs and HSCs from HLA-identical sibling donors after myeloablative therapy accelerated hematopoietic engraftment (Lazarus et al. 2005); however, a significant reduction of GvHD symptoms was not shown, although the incidence or severity of GvHD did not increase. Koç and colleagues (Koç et al. 2000) reported a positive impact of MSCs on hematopoiesis; rapid hematopoietic recovery was observed in a clinical study with breast cancer patients who received autologous HSCT together with autologous MSCs.

Therapeutic effects have also been reported at the onset of GvHD, such as the case of a 9-year-old boy with severe treatment-resistant GvHD after allogeneic HSCT for acute lymphocytic leukemia who received haploidentical MSCs derived from his mother. He showed improvement after two administrations of MSCs (Le Blanc et al. 2004). Similar results have been obtained in steroid-refractory GvHD pilot studies with BM-MSCs and AD-MSCs (Ringdén et al. 2006; Fang et al. 2006). Several infusions appear to be required to maintain the level of active immunomodulation by MSCs. Similarly, the expression of pro-inflammatory cytokines such as IFN- γ in the environment at the time of MSC administration is required by these cells to exert their immunosuppressive effect. A lack of MSC “licensing” can result in the absence of the desired therapeutic effect.

While evidence that MSCs are effective in combination or after HSCT in specific hematological and non-hematological diseases has been shown, adverse reactions and risk factors intrinsic to this practice have been reported. In a pilot study, HLA-identical sibling-matched HSCs were transplanted with or without MSCs in hematological malignancy patients. Although MSCs were well-tolerated and this treatment effectively prevented GVHD, six patients (60%) in the MSC group and three (20%) in the non-MSC group had 3-year disease-free survival rates of 30 and 66.7%, respectively. The relapse rate in the experimental group was higher than that

in the control group, suggesting that MSCs may impair the therapeutic graft-versus-leukemia (GVL) effect (Ning et al. 2008). In vitro and in vivo studies regarding the relationship between the immunosuppressive properties of MSCs and the stimulation of cancer growth have been performed. Mouse MSCs from the BM, spleen, and thymus injected together with a genetically modified tumor cell vaccine could equally prevent the onset of an anti-tumor memory immune response, thus leading to tumor growth in normally resistant mice (Krampera et al. 2007). In another in vivo experiment with a murine melanoma tumor model, the authors observed that the subcutaneous injection of B16 melanoma cells led to tumor growth in allogeneic recipients only when MSCs were co-injected (Djouad et al. 2003). The functions of MSCs can be influenced by the existing microenvironment, making them acquire supportive properties toward cancer cells and decrease immune reactions (Galiè et al. 2008). Therefore, potential risks related to the growth support and enhancement of undetected or “resident” cancer exist, and the administration of MSCs in these patients must be thoroughly evaluated.

MSCs for Cancer Treatment

The therapeutic benefits of MSCs have prompt their use in cell-based strategies to treat different diseases, including cancer (Hmadcha et al. 2020). Similar to damaged tissues, tumors exert chemoattractant effects on MSCs that influence their recruitment to tumor sites. The CXCL12/CXCR4 axis is one of the most frequently studied signaling pathways in mobilizing MSCs to the tumor microenvironment (Gao et al. 2009; Xu et al. 2009; Lourenco et al. 2015; Wobus et al. 2015; Kalimuthu et al. 2017). However, the ability of MSCs to migrate toward cancerous tissue is also controlled by other agents, including diffusible cytokines, such as IL-8, growth factors such as TGF- β 1 or platelet-derived growth factor (PDGF), and extracellular matrix molecules, such as matrix metalloproteinase 2 (MMP-2) (Nakamizo et al. 2005; Birnbaum et al. 2007; Bhoopathi et al. 2011). Once the tumor niche is reached, MSCs interact with cancer cells via direct and indirect mechanisms that affect tumor development. The paracrine action of MSCs is one of the main mechanisms involved in cancer regulation and is mediated by multiple factors, including growth factors and cytokines. These paracrine factors affect cellular processes involving the tumor cell cycle (e.g., cell proliferation), cell survival, angiogenesis, and immunosuppression/immunomodulation, allowing MSCs to regulate cancer.

The paracrine agents can be directly secreted into the extracellular space or packaged into EVs for spreading in the tumor milieu (Rani et al. 2015). The interaction of MSCs with the tumor cell cycle is the most commonly accepted process by which MSCs exert their therapeutic effects (Fathi et al. 2019). By inhibiting proliferation-related signaling pathways, such as the phosphatidylinositol 3-kinase/protein kinase B (PI3K/AKT), MSCs can induce cell cycle arrest and reduce cancer growth (Lu et al. 2019). In addition, MSCs can undergo differentiation into other cell types, such as cancer-associated fibroblasts (CAFs), to directly

contribute to cancer progression (Jotzu et al. 2011; Barcellos-de-Souza et al. 2016; Aoto et al. 2018).

Accumulating evidence indicates that the cross-talk between MSCs and tumor cells results in both pro-tumor and anti-tumor effects, raising safety concerns for clinical application in oncology (Barkholt et al. 2013). The discrepancies in the ability of MSCs to promote or suppress tumor development may be attributable to differences in experimental tumor models, MSC tissue source, dose or timing of the MSC treatment, cell delivery method, control group chosen, and other experimental conditions (Bortolotti et al. 2015; Bajetto et al. 2017). In this regard, a study demonstrated that direct (cell-to-cell contact) or indirect (released soluble factors) interaction between umbilical cord MSCs and glioblastoma stem cells produces divergent effects on cell growth, invasion, and migration (Bajetto et al. 2017). Moreover, the application of MSCs for cancer patients is a more complex situation in which other factors have to be taken into consideration. For instance, the pathological conditions of each patient may induce cellular and molecular changes in MSCs that interfere with their therapeutic effects (Capilla-González et al. 2018; Pérez et al. 2018; Rivera et al. 2019). Therefore, it is important to be cautious while drawing conclusions from a single study regarding the therapeutic effects of MSCs in cancer.

The Anti-tumor Activity of MSCs

Although compelling evidence shows a pro-tumorigenic role of MSCs, these cells also have potent tumor-suppressive effects that have been exploited as cancer therapeutics. Previous studies have demonstrated that MSCs release cytotoxic agents, such as TNF-related apoptosis-inducing ligand (TRAIL) that selectively induces apoptosis in different types of cancer (Wiley et al. 1995; Hao et al. 2001; Takeda et al. 2001; Akimoto et al. 2013). Recently, a report indicated that BM-derived MSCs promote apoptosis and suppress the growth of glioma U251 cells through downregulation of the PI3K/AKT signaling pathway (Lu et al. 2019). Likewise, intravenously transplanted MSCs were found to suppress tumor growth by blocking AKT activation in a Kaposi sarcoma mouse model (Khakoo et al. 2006). In mammary carcinomas, umbilical cord MSCs attenuated cell growth and triggered apoptosis through inhibiting ERK1/2 and AKT activation (Ganta et al. 2009). The Wnt signaling pathway has also been involved in the ability of MSCs to inhibit tumor cell proliferation (Qiao et al. 2008a, b). A mechanistic study of the inhibitory effect of MSCs on breast cancer cells demonstrated that the protein dickkopf-1 (Dkk-1) released from MSCs blocks tumor growth via depression of Wnt signaling (Qiao et al. 2008a). In contrast to investigations describing the pro-angiogenic effect of MSCs (Zhang et al. 2013; Li et al. 2016), the anti-tumor activity of MSCs via the inhibition of tumor angiogenesis has also been documented. A study reported that BM-derived MSCs restrict vascular growth in 1Gli36 glioma xenograft through the downregulation of the PDGF/PDGFR axis (Ho et al. 2013). In particular, the expression of PDGF-BB protein was significantly reduced in tumor lysates when

treated with MSCs, which correlated with reduced levels of activated PDGFR- β and the active isoform of its downstream target AKT (Ho et al. 2013).

In a melanoma mouse model, transplanted MSCs inhibited angiogenesis in a concentration-dependent manner, leading to reduced tumor growth (Otsu et al. 2009). Confirmatory *in vitro* studies suggested that the anti-angiogenic effect was due to MSC-induced capillary degeneration (Otsu et al. 2009). Furthermore, MSCs have elicited anti-tumor immune responses through released inflammatory mediators, such as the multifunctional cytokine TGF- β . Like several signaling molecules, TGF- β plays a dual role in cancer development (Bierie and Moses 2006). Besides the aforementioned pro-tumor functions, TGF- β signaling exhibits suppressive effects in cancer (Dong et al. 2007; Guasch et al. 2007). While the expression of type III TGF- β receptor (TbRIII) decreases during breast cancer progression, restoring TbRIII expression suppresses tumorigenicity (Dong et al. 2007).

The Pro-tumor Activity of MSCs

The pleiotropic effects of MSCs that promote tissue repair and regeneration may also confer pro-tumor functions to these cells. For instance, metastatic human breast carcinoma cells were found to induce the secretion of the chemokine (C-C motif) ligand 5 (CCL5) from MSCs, which enhanced tumor invasion (Karnoub et al. 2007). Seminal reports demonstrated that MSCs could also inhibit apoptosis in tumor cells by secreting pro-survival factors such as VEGF and bFGF (König et al. 1997; Dias et al. 2002). Numerous studies converged on the finding that MSCs contribute to cancer pathogenesis by releasing inflammatory factors that promote immunosuppressive effects. For example, an *in vitro* study showed that MSCs isolated from gastric tumors mediate cancer progression through the secretion of IL-8 (Li et al. 2015). This pro-inflammatory chemokine favors the recruitment of leukocytes. It is known that recruited leukocytes, such as macrophages and neutrophils, facilitate cancer initiation and progression (Guo et al. 2017; Powell et al. 2018). Similarly, MSCs are able to secrete TGF- β that promotes macrophage infiltration at the tumor site and facilitates tumor escape from immune surveillance (Kim et al. 2006; Byrne et al. 2008). Compelling evidence indicates that MSCs can also support tumor angiogenesis, an essential process in cancer progression that supplies tumors with oxygen and nutrients. For instance, MSCs recruited in breast and prostate tumors were found to increase the expression of angiogenic factors, including TGF- β , VEGF, and IL-6, which contribute to tumor growth and vascularization (Zhang et al. 2013).

Similarly, a correlation between increased expression of TGF- β and higher microvessel density was observed in hepatocellular carcinomas of mice receiving intravenous injections of human MSCs (Li et al. 2016), which further supports that MSCs may enhance tumor angiogenesis via TGF- β . Furthermore, MSCs can also respond to soluble factors secreted from cancer cells and differentiate into CAFs, a cell type within the tumor microenvironment capable of promoting tumorigenesis (Mishra et al. 2008). In particular, TGF- β secreted from cancer cells plays a critical

role in the differentiation of MSCs into CAFs (Jotzu et al. 2011; Barcellos-de-Souza et al. 2016; Aoto et al. 2018).

It is known that the transition of MSCs into CAFs contributes to tumor progression in part by their active secretome. Profiling of the secretome shows that it is rich in many bioactive molecules, including immune-modulating agents (CXCL12, granulocyte-macrophage colony-stimulating factor), pro-angiogenic factors (VEGF, TGF- β , PDGF), pro-survival factors (hepatocyte growth factor, insulin-like growth factor 1, interleukin 6), and extracellular matrix modulators (MMP, tissue inhibitor of metalloproteinases) among others (Kalluri 2016). Cell engulfment has also been identified as an interacting process between MSCs and cancer cells that enhances tumor aggressiveness. A recent report demonstrated that breast cancer cell engulfment of MSCs leads to changes in the transcriptome profile of tumor cells. These changes are mainly associated with oncogenic pathways. This MSC engulfment enhances epithelial-to-mesenchymal transition, stemness, invasion, and metastasis of breast cancer (Chen et al. 2019).

The Imprint of Disease on MSCs

One of the strategies to obtain MSCs for therapeutic purposes is an autologous approach. These cells are collected from patients by more or less invasive methods, isolated, seeded in culture under good manufacturing practice (GMP) quality standards, and re-injected into the patient. Nevertheless, when body's repair mechanisms are insufficient or ineffective, this treatment results in a homeostatic imbalance in the organism, producing degradation and disease and compromising the pool of endogenous cells, thus resulting in low efficacy. Some conditions/diseases provoke changes in the BM microenvironment, which is one of the primary sources of MSCs, thus producing changes in the endogenous pool of MSCs and altering their biological features (Mazzanti et al. 2008). MSCs from patients with acute myeloid leukemia showed abnormal biological properties, including morphological heterogeneity, limited proliferation capacity, and impaired differentiation and hematopoiesis supportability (Zhao et al. 2007).

MSCs derived from patients with multiple myeloma showed impaired immune-inhibitory effects on T cells, decreasing their osteogenic potential (Li et al. 2010). Poor proliferation, differentiation potential, and cytokine release defect were found in BM-derived MSCs derived from patients with aplastic anemia, another hematopoietic disorder (Chao et al. 2010; Bacigalupo et al. 2005). Although the mechanisms remain unknown, MSCs appear to be involved in autoimmune pathologies. For instance, MSCs derived from patients with autoimmune diseases display the following altered functions; MSCs from rheumatoid arthritis (RA) patients have an impaired ability to support hematopoiesis and lower proliferative and clonogenic potentials (Papadaki et al. 2002; Kastrinaki et al. 2008). MSCs from immune thrombocytopenic purpura (ITP) patients have a reduced proliferative capacity and a lower inhibitory effect on T-cell proliferation than MSCs from healthy donors (Pérez-Simón et al. 2009). MSCs from systemic lupus erythematosus (SLE) patients

display deficient growth, abnormal morphology, and upregulated telomerase activity (Nie et al. 2010; Sun et al. 2007). MSCs from systemic sclerosis (SSc) patients display early senescence (Cipriani et al. 2007). In metabolic diseases such as diabetes, alterations in autologous MSCs have also been documented.

A study using MSCs from type 2 diabetic mice showed that the number of these cells was diminished and their proliferation and survival abilities were impaired *in vitro*. Moreover, diabetic MSC engraftment produced only limited improvement in the diabetic subjects and could not produce the same therapeutic outcomes as in their nondiabetic counterparts *in vivo* (Shin and Peterson 2012). Advanced glycation end products (AGEs) accumulate in the tissues of aged people, and these products are involved in diabetes and musculoskeletal diseases. In 2005, Kume and colleagues (Kume et al. 2005) investigated the effect of AGEs on MSCs. They showed that AGEs inhibited MSC proliferation, induced MSC apoptosis, and interfered with MSCs' differentiation into adipose tissue, cartilage, and bone. Type 2 diabetes-derived Ad-MSCs have been found to have functional impairments in their multilineage potential and proliferative capacity because of prolonged exposure to high glucose concentrations (Cramer et al. 2010).

Diabetic-derived Ad-MSCs have an altered phenotype related to plasminogen activator inhibitor-1 (PAI-1) expression levels and display reduced fibrinolytic activity (Acosta et al. 2013), which suggests that the immunogenicity of MSCs could have associated effects on the coagulation system (Wang et al. 2012; Moll et al. 2012). Thus, MSC-based therapy could lead to thrombotic events in particular recipients. Although the possibility of healing with autologous cells is desirable, little is known regarding the influence of different disease states and concomitant medications on MSCs (Benvenuti et al. 2007; Lee et al. 2009). Thus, although the use of autologous MSCs for cell therapy is widespread, their use in humans must be handled with extreme caution. Researching and analyzing both the risks and benefits of this therapy in individual patients and for each disease are necessary.

Considerations for Clinical Applications

Several clinical trials are in progress to ensure the safety and efficacy of MSCs used as medicaments. For cell-based products, it must be considered that cells are living products and that their interactions with body fluids remain unclear (Acosta et al. 2013; Moll et al. 2014). Phase 1 clinical trials are the first step in investigating a new drug. They include pharmacokinetic and pharmacodynamic studies in which the patient's safety plays an essential role in the development of medicaments. The primary goal of phase 2 clinical trials is to provide preliminary information regarding the drug efficacy and safety supplement data obtained in phase 1 trials. For efficacy and effectiveness issues, other advanced phases are mandatory. In all cases, one cannot consider these issues (efficacy nor effectiveness) unless phase 3 clinical trials are developed (García-Bernal et al. 2021; Hmadcha et al. 2020; Escacena et al. 2015). Usually, safety evaluations are based on possible complications derived from the procedure in a time-dependent manner after administering the cells. Efficacy

parameters focus on the improvement of clinical effects at a given time. MSC-based cell therapy is a relatively new therapeutic option for certain diseases, and data regarding the long-term monitoring of patients remain lacking.

Nevertheless, the administration of MSCs is considered a feasible and safe procedure with no adverse events reported. However, the risks associated with stem cell therapy (Herberts et al. 2011) must be considered because these risks increase the probability of an adverse event. The cell source, donor origin, product manufacturing, and recipient disease status are important factors related to the safety and efficacy of MSCs. In this regard, the use of bovine proteins in the medium used to culture these cells (Horwitz et al. 2002) and the observed formation of ectopic tissue in animal models (Breitbach et al. 2007; Kunter et al. 2007), as well as malignant transformation (Wang et al. 2005; Røsland et al. 2009) and immune responses, must be evaluated before wider clinical applications and registration are accepted.

Safety Concerns

Cell therapy is incredibly complex due to the nature of the product. The mode of action is not always clear, and the potency tests are imprecise, by which it might not be possible to predict the risks thoroughly. When considering the use of expanded MSCs *ex vivo* for clinical applications, it is necessary to consider a series of potential risks that could affect the cellular product.

The administration of stem cells could affect the host's immune system. These cells could directly influence the immune system (e.g., pro-inflammatory environment) or have an immunomodulatory effect. Although MSCs have been considered immune-privileged in this regard, long-term exposure to the culture medium can make them more immunogenic by positively regulating the normal set of histocompatibility molecules (Moll et al. 2011, 2014). On the one hand, the allogeneic use of the cells entails a greater risk of rejection by the immune system. This rejection could lead to a loss of the function of the administered cells, and consequently, their therapeutic activity could be compromised. The use of immunosuppressants could limit these risks, but, in turn, could cause adverse reactions due to immunosuppressive medication.

On the other hand, MSCs isolated from healthy donors have shown uniform and consistent properties, while patients with some degenerative and inflammatory disease differ in their biological and functional characteristics (Capilla-González et al. 2018; Rennert et al. 2014). In this regard, studies with MSCs from diabetic patients suggest that the hyperglycemic environment and other metabolic disorders associated with diabetes affect the endogenous cellular reserve and their proliferation, differentiation, and angiogenic capacity, among other cellular characteristics (Minteer et al. 2015; Rennert et al. 2014; George et al. 2018; Moll et al. 2019). Once infused in the recipient, the cells come into direct contact with the tissues, bloodstream, and other host cells; the cell-recipient interaction process still needs a thorough investigation and characterization.

Physiologically, MSCs reside in the perivascular compartment of almost every tissue (Bianco et al. 2008; Crisan et al. 2008); however, one of the hurdles to the sustained success of their therapeutic effect is early cell loss. This is primarily due to the incompatibility responses after systemic infusion of cells, a reaction termed as instant blood-mediated inflammatory reaction (IBMIR) suggesting that the immune and inflammatory system reacts to cells that generally are not in contact with the blood circulation (Gupta et al. 2014; Moll et al. 2011, 2014, 2019, 2020; Bianco et al. 2008; Crisan et al. 2008; Nilsson et al. 2014). Even more, it has been further shown that different MSC products display varying levels of highly pro-coagulant tissue factor, a decrease in tissue plasminogen activator (tPA), or an increase in PAI-1 and may adversely trigger the IBMIR or microthrombosis in the target tissue (Acosta et al. 2013; Moll et al. 2019). Although MSCs are considered to be safe, they can promote fibrinolysis (Hashi et al. 2007; Neuss et al. 2010; Moll et al. 2020).

Safety and efficacy are the basic pillars that support the viability of clinical application to treat any disease. Except for hematopoietic stem cell transplants, stem cell therapies used to treat any disease are considered medicinal products; therefore, their development, approval, and use must be per the specific standards established nationally and internationally for such medicines. Thus, regulatory authorities guarantee the safety of the studies (Fig. 2).

Cell Manufacturing for Clinical Use

Except for hematopoietic stem cell transplants, stem cell therapies used to treat any disease are considered drugs; therefore, their development, approval, and use must be per the specific standards established for such medicines nationally and internationally. In this context, MSCs are now considered as “cellular medicament” and are called advanced therapy medicinal products (ATMPs) and are under regulation No. 1394/2007 (Escacena et al. 2015; Gálvez et al. 2013). Relating production processes and development staff, clinicians and researchers must achieve GMP procedures under European regulations (Sensebé et al. 2013; Gálvez et al. 2014). Currently, no standardized manufacturing platform exists, although most facilities employ standard release criteria to measure sterility, viability, and chromosomal stability to meet European or FDA regulations (Phinney 2012; Iglesias-López et al. 2019).

Although regulation establishes common parameters to follow, different protocols are used to isolate these cells, and the processes, plating densities, and reagents used cause the results to differ from each other. Donor selection in terms of age and disease status is another variable to consider due to known MSC donor-to-donor heterogeneity (Phinney et al. 1999). The cell source is another important factor related to the efficacy of the product. As reported previously, MSCs derived from different tissues do not consistently achieve the same level of efficacy. Additionally, culture media used for the production of MSCs could affect the basic characteristics of cells; thus, designing a fully defined medium free of animal and human origins is crucial.

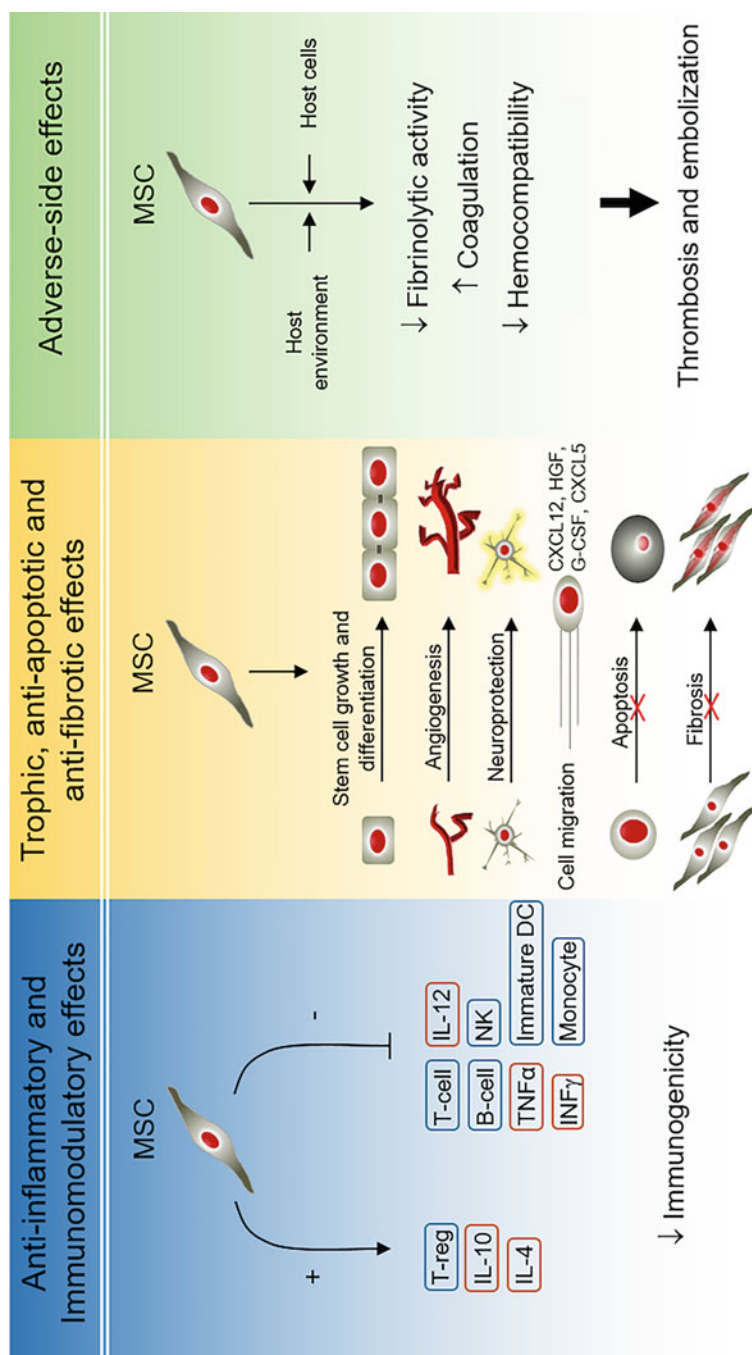


Fig. 2 The MSCs possess a wide range of paracrine effects, including anti-inflammatory, immunomodulatory, trophic, anti-apoptotic, and anti-fibrotic properties. These are mostly mediated by molecules released by MSCs, but also by direct cell-cell contacts. For cell-based therapy, the paracrine properties of MSCs have beneficial effects for the patient. However, the interaction between MSCs and the host can lead to adverse side effects. (Re-published from

Thus far, no MSC-based medicine product has marketing authorization in the European Union, although four gene and cell-based products have a valid marketing authorization awarded by the EMA. However, since 2011, three MSC products have received marketing approval in other regions (Ancans 2012). The MSCs' field continues its upward progression, with a growing number of established companies established and ongoing clinical trials, but remaining challenges must be overcome. Bottlenecks exist regarding donor selection, cell sources, isolation protocols, culture media used, open-culture systems, bioreactors, and recipient disease status. Establishing a standardized and comparable process is also crucial to ensure biological and functional equivalence between product lots.

Considerations for Cellular Medicament

General Considerations

The cell expansion and culture protocol are not standardized, although the regulatory agencies (e.g., EMA, FDA) recommend a set of standards to be followed to produce cellular drugs. Currently, there is no protocol or universal definition for stem cell culture and expansion. The different sources of origin, and the different methodologies for obtaining tissue cells, make it very difficult to compare research groups in search of the fastest, most effective, economical, high-yielding, efficient, and clinical-grade quality method. Cell viability after the infusion is poor; in this regard, it is known that very few cells survive after infusion. Although the *in vivo* follow-up is ethically and technically complicated, it is necessary to continue investigating this line to understand the intrinsic mechanisms of integrating the infused cells in the concrete microenvironment.

The cellular dose to obtain the desired effects is also unknown. Investigations with HSCs have revealed that the administration of sufficient cells promotes faster cell recovery and reduces hospitalizations (Mohty et al. 2011). Preclinical studies using murine animal models have established a minimum dose of 1×10^6 cells/kg of weight, a quantity necessary to obtain quantifiable but weak benefits (Shabbir et al. 2009; Mastro et al. 2012). The dose for cellular treatment is probably influenced by the patient's body weight and the biodistribution of paracrine factors secreted by MSCs in the human body; however, most clinical trials use a similar cell dose (Tan et al. 2012; Jiang et al. 2011). The doses used have been insufficient in most cases to show clear therapeutic benefits. This fact leads us to design future trials to test different cell doses. Likewise, the frequency of administration is currently unknown.



Fig. 2 (continued) Soria-Juan 2019, article published under CC-BY terms). Abbreviations: B-cell B lymphocyte, CXCL C-X-C motif chemokine ligand, DC dendritic cell, G-CSF granulocyte colony-stimulating factor, HGF hepatocyte growth factor, IL interleukin, INF- γ interferon- γ , MSC mesenchymal stem cells, NK natural killer cells, T-cell T lymphocyte, TGF- α transforming growth factor α , Treg regulatory T cell

The effectiveness of cell therapy is probably related to the number of others applications (Cobellis et al. 2008; Teraa et al. 2015; Molavi et al. 2016), similar to that established with conventionally used medications. The timing and the ideal number of cellular applications are still unknown. Since conventional medicines are depending on the dose, cellular therapy may need to be adjusted accordingly. The most suitable cell type remains a challenge for regenerative medicine. Knowing which cell type is most appropriate for each particular pathology or if a combination of these would be more recommended is another big issue in cell therapy.

The method for the cellular administration continues without giving conclusive results because cell viability must be preserved as much as possible, and compromised tissue is often associated with ischemia, fibrosis and inflammation, which could impair cell survival, therapeutic delivery of stem cells in the distal areas to the damaged tissue appear to offer some advantage. There are no conclusive findings of a more significant benefit within the existing modes of administration, so this is another variable to have into account for future clinical trials. The desired therapeutic effect depends on many factors since mechanism of action of stem cells in tissue regeneration is likely to be multifaceted. Cellular competition can be dictated by the ability of injected cells to migrate, survive, integrate, differentiate, and produce functional paracrine mediators (“cell-cell interactions”). It is known that many diseases (e.g., diabetes, cancer, etc.) affect the phenotypic and therapeutic properties of stem cells. Finally, for the therapy to be effective, the recipient tissue must respond favorably to the injected cells, which would result in the activation of endogenous regeneration mechanisms (Lee 2010). Understanding integration of the exogenous mechanisms (injected cells) with the endogenous (host) will play a decisive role in the future clinical use of adult stem cells (Acosta et al. 2013; Moll et al. 2019).

Attempts to Improve the Therapeutic Outcomes of Cellular Medicament

Advances in the production compliance under good manufacturing practices (GMP) standards of more sophisticated cellular products are now opening up the way for the second generation of cell therapy clinical trials. One of the reasons why unmodified MSCs have not shown the therapeutic efficacy expected in human clinical trials is that, after their systemic infusion (intravenous), these cells become trapped in the vascular filters (fundamentally the liver and lung) and only a small percentage reach the target tissues. Therefore, strategies must be designed that favor migration, nesting, and localization in the inflammatory and/or infectious focus to increase their effectiveness. Biodistribution and long-term follow-up of these cells in animal models show that only a few cells remain after long periods. This will support the idea that most of the effects of MSCs are based on a “hit and run effect.”

To increase the concentration of ATMPs in the injured tissue, the CD44 antigen on MSCs' cell membrane by enzymatic fucosylation has been converted into hematopoietic cell E-selectin/L-selectin ligand (HCELL) glycoform (Dimitroff et al. 2001;

Pachón-Peña et al. 2017). This molecular change favored the migration of the MSCs to the inflamed tissues (Sackstein et al. 2008; García-Bernal et al. 2020). This method, called glycosyltransferase-programmed stereo substitution (GPS) of cell surface glycans, has been optimized for its clinical application so that the reagents used (glycosyltransferases and buffers) have been specifically formulated to preserve cell viability and phenotype (García-Bernal et al. 2021). Moreover, this modification not only increases the adhesion of the MSCs to the endothelium, but it also enhances their transmigration through it by activating the $\alpha 4/\beta 1$ integrin in the absence of chemokine stimulation (López-Lucas et al. 2018). Therefore, this modification by fucosylation could improve the efficacy of the treatment with MSCs by increasing the migratory capacity of the cells to the inflamed tissues after being administered systemically (García-Bernal et al. 2020). Other strategies may include expressing CXCR4. These strategies will help to engineer new generation of MSCs for use when both increased migration and targeting and an increased power are required. Expression of the CXCR4 receptor will increase the migration of the MSCs toward the inflammatory focus (Zhu et al. 2021). On the other hand, the co-expression of the anti-inflammatory cytokine IL-10 and/or the anti-infectious cytokine IL-7 will increase the anti-inflammatory effect (IL-10) and even the anti-infective effect (IL-7) (Mao et al. 2017).

Furthermore, the extensive use of fetal bovine serum (FBS) in the MSC-expansion media represents an explicit limitation for the introduction of ATMP at the clinical level. Currently, cell expansion is carried out in culture media supplemented with FBS (Gottipamula et al. 2013). The SFB used must be a clinical-grade (free of animal pathogens). Associated with the growing demand for MSCs, this has led to a series of technical and ethical conditions of production (using a high number of bovine fetuses) and geographic (zones free of prion diseases), which have had an impact on their price (Kinzebach and Bieback 2013; Wessman and Levings 1999). The substitution of FBS by human serum and platelet lysate also represents technical limitations mainly related to the supply of human material and the absence of uniformity of the lots. All these considerations force the development of robust processes of production of MSC in chemically defined culture media free of animal and human components. These media are supplemented with recombinant proteins (albumin, insulin, TGF- β , and bFGF), iron, selenium, and an antioxidant system (2-mercaptoethanol) (Badenes et al. 2016; Jayme and Smith 2000). Although several serum-free media are found in the literature and market (Chase et al. 2010; Ishikawa et al. 2009), there is still no effective means of functioning.

The therapeutic efficacy of MSCs has been further optimized by genetically modifying MSCs to produce trophic cytokines or other beneficial gene products in numerous preclinical models by transfecting MSCs with viral or non-viral vectors (Jiang et al. 2006; Haider et al. 2008; Kim et al. 2012a, b). These MSCs have been successfully modified to express therapeutic peptides and proteins to express therapeutic peptides and proteins in animal models (Zhou et al. 2021). For example, MSCs expressing thioredoxin-1 (Trx1, a potent antioxidant, transcription factor, and growth factor regulator) improved cardiac function in post-myocardial infarction rat models (Suresh et al. 2015). Simultaneous overexpression of Akt and Ang-1 in

BM-derived MSC not only enhanced their reparability of the infarcted myocardium with sustained beneficial effect (Jiang et al. 2006, 2008), but it also led to non-hypoxic stabilization of HIF-1 to enhance their endothelial commitment (Lai et al. 2012a) and increased their proliferation potential via the involvement of miR-143 (Lai et al. 2012b). The MSCs expressing IL-12 showed potent anticancer activity against melanoma, breast cancer, and hepatoma (Gao et al. 2010; Han et al. 2014). In addition, interferon- γ -expressing MSCs inhibited tumor growth in mouse models of neuroblastoma and lung carcinoma (Relation et al. 2018; Seo et al. 2011). Similar to these advances achieved in animal models, several MSC-based therapies are under clinical development.

Both viral and non-viral vectors, however, have some limitations (Kim and Haider 2001). Non-viral vectors exhibit transient gene expression and low transfection efficiency. In contrast, viral transduction is associated with an increased risk of chromosomal instability, insertional mutagenesis, and proto-oncogene activation, despite the inherent high transfection efficiency (Cheng et al. 2019). It has been reported that adverse immune reactions induced by viral transduction impair transgene stability (Mingozzi and High 2013; Wang et al. 2018). Thus, limitations and adverse responses must be assessed when modifying MSCs by transfection. Several studies have sought to use MSCs derived from induced pluripotent stem cells (iPSCs) to obtain better expansion capacity. In fact, therapeutic transgenes could be inserted into iPSC-derived MSCs before MSC derivation. Such a strategy could eliminate insertional mutations and ensure stable expression of transgenes during a prolonged expansion (Zhao et al. 2015). Therefore, MSCs derived from iPSCs may be a renewable source of MSCs for theranostic applications. It is pertinent to mention that BM-derived MSCs have also been successfully reprogrammed to pluripotent status and used for the efficient repair of infarcted myocardium in an experimental animal model (Buccini et al. 2012).

Interestingly, CRISPR-Cas9 technology was used to obtain highly homogeneous MSCs. Genetic modifications of MSCs can be performed with greater efficiency and specificity using CRISPR/Cas9 technology (Gerace et al. 2017). This is faster, cost-efficient, and easier to use compared to alternatives such as transcription activator nucleases (TALENs) and zinc finger nucleases (ZFNs) (Faulkner et al. 2020). CRISPR/Cas9 has been widely employed in the stem cell field, particularly in MSC research, including knock-in, knock-out, gene activation, or gene silencing. In this regard, the application of CRISPR/Cas9 in MSCs has demonstrated its efficacy in treating diseases, such as myocardial infarction (Golchin et al. 2020). Targeting gene knock-in further promoted the differentiation capacity of MSCs and, in turn, improved the insufficiency of functional cells at local sites (Miwa and Era 2018). Genetically modified MSCs have been evaluated in clinical trials, such as the “TREAT-ME-1” clinical trial, an open-label, multicenter, first-in-human phase 1/2 trial, which aimed to evaluate the safety, tolerability, and efficacy of the application of genetically modified autologous MSCs-apceth-101 in patients with advanced gastrointestinal adenocarcinoma (von Einem et al. 2019). Despite promising advances in this field, further research is still needed to obtain solid evidence on the differentiation and regenerative potentials of MSCs in vivo. Undoubtedly, the

next-generation sequencing and genotyping techniques could serve as valuable tools to improve the efficacy of targeting specific cell types for personalized medicine.

Besides, priming MSCs with exogenous small molecules has been found to boost their therapeutic function. Since current MSC manufacturing cannot meet the requirements of clinical trials in terms of production scale, the alternative is to enhance the function of limited cells by priming MSCs. Cell priming, or cell preconditioning, is a commonly used concept in the field of immunology and has been adapted to the stem cell arena (Lu et al. 2010; Haider and Ashraf 2012; Carvalho et al. 2013; Noronha et al. 2019) by *ex vivo* addition to MSCs of pro-inflammatory cytokines, such as IFN- γ , TNF- α , IL-1 α , and IL-1 β . More priming approaches are currently being proposed and optimized to improve MSC function, proliferation, survival, and therapeutic efficacy (Afzal et al. 2010; Kim et al. 2012a, b; Lu et al. 2012; Kim et al. 2018; Mead et al. 2020). In this regard and as mentioned before, other approaches are focused on enhancing the therapeutic effects of cell therapy products regulating their biological characteristics (Mangi et al. 2003; Mei et al. 2007; Lee et al. 2012b; Liao et al. 2017).

The beneficial effects of PDGF-BB to restore the defective phenotype of therapeutic MSCs derived from type 2 diabetic patients have been demonstrated. The pretreatment with PDGF-BB potentiates proliferation, migration, and homing of defective MSCs and recovers their impaired fibrinolytic ability. Furthermore, PDGF-BB has been found to exert its beneficial effects through the ERK-SMAD pathway. Therefore, the pretreatment with PDGF-BB represents a suitable strategy to produce more effective MSCs for autologous therapies (Capilla-González et al. 2018).

Concluding Remarks and Future Perspective

Treatments based on the use of human stem cells are novel and promising therapeutic alternatives for some diseases. Currently, the use of living cells as a medicinal product is becoming realistic. Cell therapy should be safe, pure, stable, and efficient. Cell-based products are more complex and depend on the physiological and genetic heterogeneity of the patient. Obtaining as much information as possible with the appropriate and available technology at our disposal is essential for ensuring the safety, reliability, quality, and effectiveness of the manufactured product. MSCs are leading the way into a new era of regenerative medicine, and their multifaceted features make them powerful candidates to become tools to treat several diseases. However, their indiscriminate use has resulted in mixed outcomes in preclinical and clinical studies. While MSCs derived from diverse tissues share some common properties, they markedly differ in terms of their differentiation abilities, growth rates, healing capacity, and gene expression profile.

Similarly, the disease status of donors and recipients is a critical factor to consider when using MSCs as therapeutic agents because factors such as the MSC behavior with body fluids and specific disease environments remain unclear. Available data suggest that some tissue-specific MSCs are more appropriate than others according

to particular pathologies. Although no severe adverse effects related to the application and testing of MSCs in humans have been reported to date, some evidence has indicated that specific patient profiles are not suitable to be treated with these therapies. Thus, multiple bottlenecks for the standardization of therapeutic protocols exist. Future well-designed clinical trials, advanced-phase clinical trials (phase 3/4), and long-term monitoring of patients are crucial for obtaining additional information regarding the therapeutic use of MSCs.

Cross-References

- [Mesenchymal Stromal Cells for COVID-19 Critical Care Patients](#)

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