

Preventive and Therapeutic Role of Probiotics in Type-2 Diabetes and Its Associated Complications

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Abstract

Our lifestyle and dietary structure have changed significantly due to rapid economic growth and improvement of living standards, accelerating occurrence of metabolic disorders such as type II diabetes and other non-communicable diseases. In recent decades, T2DM and its complications have increased dramatically worldwide. As per the recent report, 463 million peoples are living with diabetes, and it has been estimated that the number will rise to 700 million by 2045. T2DM is inferred from multifactorial sources, including genetic and environmental factors. Different therapeutic strategies have adopted, and several medicines developed that work in various ways to promote glycemic management in T2DM, current treatments for T2DM have some drawbacks. Nowadays, the role of microbiota in T2DM pathogenesis has taken into consideration. Some earlier evidences suggest that even the composition of the gut microbiome may lead to T2DM. Since then, tremendous efforts have made to explore the relation between the composition of gut microbiota and T2DM, as well as the role of probiotics in the modulation of gut microbiota. Our current food habits will disturb the gut microbiota composition. Ingestion of probiotics maintain the dysbiosis and produce some secondary metabolites like bacteriocins, shortchain fatty acids (SCFAs), and other organic compounds. These compounds are acting at various levels in controlling metabolic disorders. A recent study has also reported that the dead cells can also be working by maintaining the permeability

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of intestine barriers. In this chapter, we summarized the relevant results and addressed the close association between intestinal microbiota and T2DM. In this chapter, we summarized the beneficial effects of probiotics on improving glycemic control of T2DM with relevant results and addressed the close association between intestinal microbiota and T2DM in detail.

Keywords

Type-2 diabetes · Probiotics · Gut microbiota · Prebiotics · Diabetes

7.1 Introduction

Currently significant scientific evidence is available regarding the effects of microbiota on glucose metabolism in T2DM subjects. In this chapter, we briefly discuss disbiotic microbiome of T2DM patients and summarize the most reliable findings for use of probiotic for glycemic control. Probiotics not only control the glucose hemostasis but it also play a significant role in regulating the comorbidities associated with diabetes like obesity, hypertension, inflammation, oxidative stress lipid abnormality, and some brain disorder. All this diseases/ disorders are non-communicable and very much interlinked with the center point of gut environment; once the gut system is maintained properly all other abnormalities can be significantly controlled or improved. Here we also brief the benefits of probiotics in improving glycemic control in T2DM and its associated complication.

7.2 Brief of Gut Microbiota in Type 2 Diabetes (Dysbiosis and T2DM)

Dysbiosis do not directly cause diabetes but it can induce oxidative stress and inflammation, two most common factors in pathophysiology of diabetes.

Earlier, it is believed that the mammal's gastrointestinal tract is sterile at birth and gut microbial flora colonization in infants start during delivery and further develop during breastfeeding. (Abdul-Ghani and DeFronzo [2010](#page-11-0)). However, this belief has recently revised that original colonization usually starts during gestation (Walker [2017\)](#page-15-0). Evidence suggests that bacteria of the maternal intestine are generally transferred through the blood circulation of the mothers and later travel into the placenta, and eventually enter the amniotic fluid (Aagaard et al. [2014](#page-11-1); Cao et al. [2014\)](#page-12-0). In the human gut, there are mainly five phyla present, and their composition varies based on age and food habits. Newborn intestinal microbial flora generally exhibits low diversity and has a comparatively large concentration of phyla proteobacteria and actinobacteria (Turroni et al. [2008](#page-15-1); Rodríguez et al. [2015\)](#page-14-0) and it slowly shifts into a more complex form in adults (Rajilić-Stojanović et al. [2007;](#page-14-1) Zoetendal et al. [2008\)](#page-16-0). Metagenomics studies have shown that approximately 90%

of the bacterial phyla in the adult gut belonged to the phyla of Bacteroidetes and Firmicutes (Blaut and Clavel [2007;](#page-12-1) Ravel et al. [2014](#page-14-2); Rinninella et al. [2019](#page-14-3)).

These complex microorganism and their metabolites interact differently, in the small and large intestines, with the intestinal epithelial cells (Hsiao et al. [2008\)](#page-13-0). Mucus layers serve as a bacterial insulator at the level of the intestinal barrier. Still, it does not entirely inhibit the diffusion of bacterial fragments across the intestinal barrier and its binding to pattern recognition receptors. This mechanism not only contribute significantly to the defence of the intestinal barrier, but also to an innate and adaptive immune response (Wells et al. [2011](#page-15-2)).

Diet is essential for intestinal microbiota regulation. Due to our modern lifestyle, we are consuming mainly processed food with excess nutrients such as saturated (De La Serre et al. [2010\)](#page-12-2) and polyunsaturated fatty acids (Kankaanpa et al. [2001\)](#page-13-1) or less oligosaccharide (Shoaf et al. [2006\)](#page-15-3) and phytochemicals (Carrera-Quintanar et al. [2018\)](#page-12-3). These food patterns can alter the bacterial metabolic activity. High fat diets affect the gut microbiota resulting in greater intestinal permeability and vulnerability to microbial antigens. Reports have shown that decreased bifidobacterium due to high-fat dietary consumption has been linked with higher LPS concentrations in serum, one of the features of metabolic endotoxemia (Cani et al. [2012\)](#page-12-4). (Metabolic endotoxemia elaborated in Chap. [9\)](https://doi.org/10.1007/978-981-16-8444-9_9). In addition, this typical diet enhances the oxidation of fatty acids in the liver and adipose tissue. Research findings indicate that the reactive oxygen species (ROS) lead oxidative stress because of polyunsaturated fatty acid oxidation and it reduces mucous development. This directly damage the epithelial cell membranes, enhancing the permeability of the intestinal tight junction by stimulating proinflammatory signaling cascades (Muccioli et al. [2010](#page-14-4)) and indirectly via increasing barrier-disrupting cytokines [TNFα, interleukin (IL) 1B, IL6, and interferon γ (IFNγ)] and decreasing barrier-forming cytokines (IL10, IL17, and IL22) (Rohr et al. [2019](#page-15-4)). Mild chronic inflammation is one of the characteristic features of metabolic diseases such as obesity and T2DM, which may occur due to the activation of toll-like receptors by LPS, which are present in the cell wall of gram $-ve$ bacteria. Toll-like receptors 4 (TLR4) are present in insulin targeted tissues (Boulangé et al. [2016;](#page-12-5) Rogero and Calder [2018](#page-14-5)).

Through activating cytokine-signaling cascades alongside the increased concentration of reactive oxygen species (ROS), these actions may be compromised upon stimulation of TLR4.

Inflammation levels are a key element in the development of insulin resistance, contributing to a deficiency in the action of insulin (Boulangé et al. [2016\)](#page-12-5). Extending the duration of defects in insulin action causes the overproduction of insulin, which leads to a defect in the pancreatic cells, leading to a defect in insulin secretion (Boulangé et al. [2016](#page-12-5)). It is resulting in T2DM.

The Intestinal tight junction is a multi-protein complex that forms a selective permeable seal between adjacent epithelial cells and demarcates the boundary between apical and basolateral membrane domains.

7.3 Therapeutics for T2DM

Nowadays, T2DM management has become a worldwide epidemic, many therapeutic techniques have been adopted and a wide variety of drugs have been produced to enhance glycemic regulation through improved insulin production and utilization, decrease sugar production and absorption, inhibit glucose re-sorption, and enforce urinary glucose excretion. These are achieved by mainly 5 type of drugs, namely biguanides, sulfonylureas, a-glucosidase inhibitors, and thiazolidinediones (TZDs), which are used to treat hyperglycemia (Chaudhury et al. [2017\)](#page-12-6). Generally, it is commonly accepted that new T2DM therapies have some adverse side effects such as liver disorders, lactic acidosis, and gastrointestinal issues (Manandhar Shrestha et al. [2017\)](#page-14-6). Therefore, alternate methods focused on intestinal microbiota were investigated, indicating promising prospects for the future T2DM intervention (Gérard and Vidal [2019](#page-12-7)).

7.4 Studies on the Glycemic Control of Gut Microbiota in T2DM

In the last two decades, numerous studies reported the beneficial effects of gut microbiota on metabolic diseases, including T2DM. Meta-analysis of the reports suggest that effect of probiotics on glycemic control is stain specific. Among the widely published findings, the genes of Bifidobacterium, Bacteroides, Faecalibacterium, Akkermansia, and Roseburia have been identified as being negatively associated with T2DM and Ruminococcus, Fusobacterium, and Blautia were positively associated with T2DM. Although Lactobacillus genus is most frequently identified and reported still, most discrepancies in their effect in T2DM were reported (Gurung et al. [2020](#page-12-8)). Some of recent probiotic clinical trials conducted on T2DM given in Table [7.1.](#page-4-0)

The exact mechanisms used by probiotics for their advantages were uncertain. Nevertheless, a number of hypothesized processes describe many of their favorable effects. Probiotics control the T2DM in various ways, such as modulate the inflammation (Shen et al. [2018](#page-15-5); Maldonado Galdeano et al. [2019\)](#page-14-7), interact with dietary constituents, affect gut permeability (Tian et al. [2016](#page-15-6)) and lipid metabolism. Mainly the short-chain fatty acids (SCFAs) (acetate, butyrate, propionate) are the major anions in the colon and are largely produced by probiotic bacteria from indigestible polysaccharides (Geirnaert et al. [2017\)](#page-12-9). SCFAs stimulate improvement of intestinal barrier function and upregulation of glucagon-like peptide-1 (GLP-1) (Macfarlane and Macfarlane [2003\)](#page-13-2). GLP-1 is a gut incretin hormone that induces insulin production from the ß cells and inhibits the secretion of glucagon that contribute to glucose homeostasis (Lovshin and Drucker [2009](#page-13-3)). Probiotic improves the gut physiology and promotes epithelial cell growth by producing vitamins and hormones (Mach and Fuster-Botella [2017;](#page-14-8) Indira et al. [2019\)](#page-13-4).

Probiotic used	Design control Dose/ Duration	Participants, Age (year), Gender. Case/control (n)	Outcomes	References
Probiotic yogurt: L. acidophilus La5, Bifidobacterium lactis Bb12	DB-RCT. 300 g daily/ 6 week Conventional yogurt	T2DM. $30-60$ years Both, 30/30	↓FBS, HbA1C, ↑FSI, Improved oxidative stress Biomarkers	Ejtahed et al. (2011)
Symbiotic shake containing L. acidophilus B. bifidum and fructooligosaccharides	DB-RCT 200 ml daily/ 15 days Placebo	$50-60$ years Both 10/10	LFBS, HbA1C, ↑HDL- Cholesterol. TC & TG non-significant reduction	Moroti et al. (2012)
Capsule: L. acidophilus, L. casei. L. rhamnosus, L. bulgaricus, Bifidobacterium breve, B. longum, Streptococcus thermophiles FOS	Cross-over DB-RCT One capsule daily/ 8 week Placebo	T2DM, $35-70$ years Both, 27/27	JFBS, HOMA-IR, HbA1C, hs-CRP, ↑FSI, Improved oxidative stress biomarkers	Asemi et al. (2013)
L. acidophilus, L. bulgaricus, L. bifidum, L. casei	SB-RCT One capsule twice Daily 6 week Placebo	T2DM 25–65 years. Both 16/18	LFBS, HOMA-IR l inflammatory markers hs-CRP ↑FSI, Improved oxidative stress biomarkers and lipid profile.	Asemi et al. (2013)
Synbiotic food Lactobacillus sporogenes, Inulin	Cross-over DB-RCT 3 times daily/ 6 w Same food without probiotic bacteria & prebiotic inulin	T2DM, 35-70 years. Both, 62/62	JFBS, HOMA-IR, HbA1C, hs-CRP ↑FSI, Improved oxidative stress biomarkers	Asemi et al. (2014)
Probiotic fermented milk (kefir) containing L. casei, L. acidophilus and Bifidobacteria	DB-RCT 8 week Milk	T ₂ DM $35-65$ years Both 30/30	LFPG, HbA1c, Improved lipid profile.	Ostadrahimi et al. (2015)

Table 7.1 Clinical trials on probiotic glycemic control in T2DM

(continued)

Table 7.1 (continued)

(continued)

Note: \uparrow = increased, \downarrow = decreased, FOS Fructooligosaccharide, DB Double blind, RCT Randomized clinical trial, FBS Fasting blood glucose, FSI Fasting serum insulin, hs-CRP High sensitive C-reactive protein, IR Insulin resistance, TC Total cholesterol, TG Triglycerides, BUN Blood urea nitrogen

Based on the reports, probiotics supplementation is not only reducing the glucose level, but also improving the other metabolic abnormalities linked with diabetes such as hypertension, BMI, lipid profile, oxidative stress markers. Some research has also shown their beneficial effects on mental health. None of the studies has reported any toxic effects on liver and kidney like other synthetic drugs.

7.5 Beneficial Effects of Probiotic for Glycemic Control

Probiotics and their metabolites are involved in various pathways to improve glycemic control (Fig. [7.1\)](#page-7-0) which is explained in the below sections.

7.5.1 Probiotic on Hypertension Associated with Diabetes

There are mainly two forms of hypertension: primary and secondary. Primary hypertension occurs mainly because of genetic variables and unspecific lifestyle, it is characterized as elevated blood pressure, around 95% cases belong to this category, whereas secondary hypertension is attributed to an identifiable cause such as Cushing's syndrome, obesity, and glucose sensitivity. However, it is still not clear about the etiology of hypertension (Sukor [2011\)](#page-15-8). Indirect involvement of gut microbiota in the regulation of hypertension has been recognized in recent time. As we have seen earlier, in dysbiosis the diversity of microbes increases and Firmicutes/Bacteroidetes ratio changes. These changes accompanied by decrease in acetate and butyrate producing bacteria (Yang et al. [2015\)](#page-16-1). SCFA plays an important role in maintaining blood pressure (BP). Short-chain fatty acid receptors are G-protein coupled receptors GPR41, GPR43, GPR109a, and olfactory receptor OLF79 in mice and OR51E2 in humans. Short-chain fatty acid receptors, such as GPR41 and OLF78, have shown to have inverse roles in blood pressure regulation (Pluznick [2014](#page-14-15)). Dysbiosis also leads to increased permeability of the intestinal wall. It is an essential factor that influences the bidirectional flow of microbes, cells, metabolites, molecules, and hormones that inevitably interfere

Beneficial effect of probiotic for glycemic control in T2DM

Fig 7.1 Beneficial effects of probiotic for glycemic control

with peripheral but also central BP control mechanisms. (Raizada et al. [2017](#page-14-16)). BP reduction was observed in the late phase of angiotensin II infused wild-type mice, suggestive of the favorable effect of propionate on hypertension (Bartolomaeus et al. [2019\)](#page-11-5).

One study stated that the role of the gut microbiota in steroid enterohepatic circulation and its findings are consistent with the possibility that steroid metabolites contribute to the physiological response to exogenous steroids when reabsorbed in the enterohepatic circulation (Honour [1982\)](#page-13-7). Some other studies reported differences in circulating inflammatory cells in hypertensive individuals compared to controls due to microbial diversity in hypertensive patients. Dysbiosis also contributes to increased T-helper 17 cell activation and is mediated by gut-intrinsic pathways (Kim et al. [2015](#page-13-8)).

7.5.2 Probiotic on Obesity Related with Diabetes

Obesity is typically associated with metabolic alterations related to glucose homeostasis and cardiovascular risk factors (Eckel et al. [2005](#page-12-12)). These metabolic alterations are associated with low-grade inflammation that contributes to the onset of these diseases (Olefsky and Glass [2010\)](#page-14-17). Probiotics and prebiotics reduce gut inflammation, which leads to improvement in metabolic dysfunction in obese-insulin resistant model (Thiennimitr et al. [2018\)](#page-15-9). Some studies found that gut microbiota conferred [host resistance](https://en.wikipedia.org/w/index.php?title=Special:Search&search=host%20resistance) to high-fat diet-induced obesity through the production of polyunsaturated fatty acid metabolites (Miyamoto et al. [2019\)](#page-14-18). Considering the effect of calorie restriction and weight loss on fetuin-A and SIRT1 levels it can be understood by reducing the appetite and dietary intake and body weight. Studies also found that probiotic supplementation significantly decreased total energy, carbohydrate, fat, and protein intake compared with placebo (Khalili et al. [2019\)](#page-13-6). Other studies found that higher endogenous GLP-1 and GLP-2 production; prebiotic treatment increases the number of enteroendocrine cells producing GLP-1 and GLP-2 (L-cells) in the jejunum and colon (Cani et al. [2012](#page-12-4)).

7.5.3 Probiotic on Oxidative Stress

In diabetes, free radical formation by non-enzymatic glycation of proteins, glucose oxidation and increased lipid peroxidation causes the damage of enzymes, cellular machinery, and increased insulin resistance. (Asmat et al. [2016](#page-11-6)). SCFA produced by the probiotic in the digestive system provide nicotinamide adenine dinucleotide phosphate (NADPH) for the synthesis of GSH, induces apoptosis and increases the expression of the pathway of oxidative pathogens. Probiotic supplementation plays a direct role in NO production and reduces the ROS (Asmat et al. [2016;](#page-11-6) Heshmati et al. [2018](#page-13-9)). Studies on beneficial effects of probiotic on oxidative stress are given in Table [7.1.](#page-4-0)

7.5.4 Probiotic on Lipid Management

Glucose and lipid metabolism are related in several ways. Diabetic dyslipidemia, characterized by high triglycerides, LDL particles, and low HDL-C are the most important clinical manifestation of this interaction and this is main cause of cardiovascular diseases (Parhofer [2015](#page-14-19); Eid et al. [2019\)](#page-12-13). Cholesterol is the precursor to bile acids. Bile acids are metabolized into secondary bile acids by gut microbiota. Probiotic controls the lipid metabolism by assimilation of cholesterol during growth, binding of cholesterol to cellular surface, disruption of cholesterol micelle, deconjugation of bile salt, and bile salt hydrolase activity (Lye et al. [2010](#page-13-10); Jones et al. [2012](#page-13-11), [2013;](#page-13-12) Huang et al. [2014](#page-13-13)). Gut microbiota regulates bile acid metabolism by reducing the levels of tauro-beta-muricholic acid, a naturally occurring FXR antagonist (Sayin et al. [2013](#page-15-10)). Mechanisms are explained in more detail in Chap. [8](https://doi.org/10.1007/978-981-16-8444-9_8).

7.5.5 Probiotic on Comorbid Brain Disorders Associated with Diabetes

The most common causes of T2DM and brain disorders are poor sleep, lack of exercise, and diet habits (Watkins and Thomas [1998](#page-15-11); Yoda et al. [2015\)](#page-16-2). There are numerous studies in the past reporting the effects of diabetes on the brain. Certainly, high glucose levels can damage blood vessels in the brain and thereby increase the risk of stroke. However, its effects are more widely felt than that. High glucose and insulin resistance affect many neuronal processes and contribute to infl[ammation in](https://www.apdaparkinson.org/the-role-of-inflammation-in-parkinsons-disease/) [the brain](https://www.apdaparkinson.org/the-role-of-inflammation-in-parkinsons-disease/). T2DM also appears to increase the risk of Alzheimer's disease and other dementias (Li et al. [2015](#page-13-14)). The other ways stress stimulates the hypothalamus– pituitary–adrenal axis (HPA-axis) and the sympathetic nervous system (SNS) are: increased levels of cortisol in the adrenal cortex, and adrenalin and noradrenalin in the adrenal medulla (Smith and Vale [2006;](#page-15-12) Stephens and Wand [2012\)](#page-15-13). Chronic hypercortisolemia and excessive SNS activity promote insulin resistance, visceral obesity and contribute to T2DM (Pickup and Crook [1998;](#page-14-20) Wang et al. [2013\)](#page-15-14).

In addition, constant stress also causes immune dysfunction directly or via the HPA or SNS axis, enhancing the production of inflammatory cytokines. High levels of inflammatory cytokines interfere with the regular functioning of pancreatic β-cells, induce insulin resistance and other consequences. The other studies reported that pro-inflammatory cytokines have been found to interact with many of the pathophysiological domains that characterize depression, including neurotransmitter metabolism, neuroendocrine function, synaptic plasticity, and behavior (Fig. [7.2\)](#page-10-0).

Consumption of diet rich in high fats and refined carbohydrates mainly sugar has the ability to disturb the healthy microbiota composition, leading to dysbiosis. Studies showed that dysbiosis increases lipopolysaccharide (LPS) levels, which triggers the production of proinflammatory cytokines in the gut (Zeevi et al. [2015;](#page-16-3) Agus et al. [2016\)](#page-11-7). Dysbiosis imposes regulatory roles on inflammation and oxidative stress and is a pathogenetic contributor associated with various diseases characterized by a pro-oxidative and pro-inflammatory disorder mainly AD,

Fig. 7.2 Bidirectional interactions between the gut microbiota and the central nervous system

depression, and T2DM (Luca et al. [2019\)](#page-13-15). The gut–brain axis involves a number of sophisticated channels of communication among many interconnected systems, including the CNS, the autonomic nervous system (ANS), the HPA axis, as well as the GI corticotropin-releasing factor system, and the intestinal immune response system featuring the intestinal mucosal barrier (Carabotti et al. [2015\)](#page-12-14).

Dysbiosis is also confirmed by the high levels of comorbidity among depression and T2DM subjects. This may account for the genetic similarities related to these disorders and contribute to an increase in the risk of dementia. It is strictly associated with metabolism, cognition, and mood (Rowland and Bellush [1989;](#page-15-15) Hilakivi-Clarke et al. [1990](#page-13-16); Thakur et al. [2013](#page-15-16); Thakur et al. [2016\)](#page-15-17). Gut microorganisms are capable of producing and delivering neurotransmitters such as serotonin and gammaaminobutyric acid, which act in the gut–brain axis and modulate food intake and energy balance in the system (Cryan and Dinan [2012](#page-12-15); Borre et al. [2014](#page-12-16)).

Cross talk between the brain and the gut involve many interacting pathways, including the autonomic, neuroendocrine, immune systems as well as bacterial metabolites and neuromodulatory molecules. Bacterial metabolites (SCFAs) like acetate and propionate are mainly produced by the bacteroidetes, while Firmicutes generate most of the butyrate. Butyrate also prevent inflammatory reactions by inhibition of NF-kappaB (NF-κB) (Segain et al. [2000](#page-15-18)). Propionate is usually utilized by the liver and has also been reported to inhibit NF-κB, as well as boost insulin sensitivity, while acetate is normally released into circulation so that it can enter peripheral tissues, including the brain (Guarner and Malagelada [2003](#page-12-17); Al-Lahham et al. [2010](#page-11-8); Iwanaga and Kishimoto [2015\)](#page-13-17). Both propionate and acetate have been found to improve insulin sensitivity (Canfora et al. [2015](#page-12-18); González Hernández et al. [2020\)](#page-12-19). Acetate and butyrate are structurally related to ketone, acetoacetate, and d-β-hydroxybutyrate, all of which have positive effects in neurological conditions (Stilling et al. [2016;](#page-15-19) Courchesne-Loyer et al. [2017\)](#page-12-20). Meta-analysis suggests that modulating the composition of the gut microbiota using prebiotics and probiotics may produce beneficial effects on brain disorders associated with diabetes (Schachter et al. [2018\)](#page-15-20).

7.5.6 Other

The beneficial effect of probiotics also extends to chronic liver and kidney disease (Lo et al. [2014](#page-13-18); Jia et al. [2018\)](#page-13-19). Our lab observation also finds that the probiotic supplementation has improved the kidney and liver function markers of the diabetic subjects with metabolic syndrome (unpublished observation). Detailed effect of probiotic on liver diseases is mentioned in Chap. [10.](https://doi.org/10.1007/978-981-16-8444-9_10)

7.6 Conclusion

The available evidence from experimental studies and clinical trials supports that the modulation of the intestinal microbiota by probiotics uptake may be effective towards prevention and management of T2D and other related complications.

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