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Naveen Kumar Arora Brahim Bouizgarne *Editors*

Microbial BioTechnology for Sustainable Agriculture Volume 1

Microorganisms for Sustainability

Volume 33

Series Editor

Naveen Kumar Arora, Environmental Microbiology, School for Environmental Science, Babasaheb Bhimrao Ambedkar University, Lucknow, Uttar Pradesh, India Microorganisms perform diverse roles on our planet most of which are important to make earth a habitable and sustainable ecosystem. Many properties of microorganisms are being utilized as low input biotechnology to solve various problems related to the environment, food security, nutrition, biodegradation, bioremediation, sustainable agriculture, bioenergy and biofuel, bio-based industries including microbial enzymes/ extremozymes, probiotics etc. The book series covers all the wider aspects and unravels the role of microbes towards achieving a sustainable world. It focuses on various microbial technologies related to sustenance of ecosystems and achieving targets of Sustainable Development Goals. Series brings together content on microbe based technologies for replacing harmful chemicals in agriculture, green alternatives to fossil fuels, use of microorganisms for reclamation of wastelands/ stress affected regions, bioremediation of contaminated habitats, biodegradation purposes. Volumes in the series also focus on the use of microbes for various industrial purposes including enzymes, extremophilic microbes and enzymes, effluent treatment, food products.

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Microbial BioTechnology for Sustainable Agriculture Volume 1

Editors Naveen Kumar Arora Department of Environmental Science Babasaheb Bhimrao Ambedkar University Lucknow, Uttar Pradesh, India

Brahim Bouizgarne Department of Biology Ibn Zohr University Agadir, Morocco

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Preface

In the era of environmental pollution and land degradation, achieving the targets of sustainable agriculture has become a big challenge. Sustainable agriculture should lead to economic viability, be ecologically sound, enrich the farming communities, families, and farmers' lives, and above all ensure food security without polluting the agro-ecosystems. To meet the demands of sustainable food production, the study and understanding of the rhizosphere and the associated plant growth-promoting microorganisms (PGPMs) would be one of the most important aspects for future. The plant-associated microbiome affects plant growth and modifies the responses to pathogens, environmental stresses, pollutants, and nutrient cycling in the soil. To achieve the goals of sustainability through microbial technology, intricate mechanistic details of action against abiotic stresses, pollutants, and pathogen attack would be highly helpful in developing novel and efficient biostimulants, biofertilizers, biodegraders, biopesticides, and other bioinoculants.

Plant growth-promoting organisms have been gaining attention to achieve the targets of climate-smart agricultural practices. Amidst the extreme climatic variations and presence of xenobiotic compounds and pollutants, plants evolve through modifying the microbial assemblages and adjusting the signaling and molecular responses for better plant-microbe associations. These beneficial microbes in turn are tremendous "stress therapists," offering limitless facilities to plants, improving their health, and elevating the fertility and productivity of soils. The establishment of plant-microbe associations involves a nexus of signaling pathways and mechanisms through biomolecules from both the partners. The microbial assemblages have the potential to protect the plant from various stresses and enhance their biomass, growth rate, and productivity by direct and indirect mechanisms. Therefore, studies about these interactions are the basis of microbial technology without which the application facets would be an incomplete story. The rhizosphere is an excellent example of community-level co-occurrence of microbes; however, niche sharing or niche exclusion between the groups or species directly fine-tunes the growth, nutrition, health, and stress resistivity in plants. For example, actinomycetes are often found to be associated with arbuscular mycorrhizal fungi (AMF); AMF being present both inside and outside of plant roots influence other microorganisms in the rhizosphere, niche sharing between non-mycorrhizal fungi and bacteria. Successful recruitment or establishment of microbial populations in the

rhizosphere results in the accomplishment of various functions to improve the health and fitness of plants in diverse or even adverse conditions. The direct mechanisms of plant growth promotion include production of phytohormones, nutrient cycling, and water availability, while indirect approaches are protection against pathogens, production of antibiotics, niche exclusion of unwanted microbes, and inducing systemic resistance in plants; additionally the third type of "conditional mechanisms" include production of secondary metabolites or initiation of biochemical and molecular responses according to abiotic stresses faced by plants and microbes. The latter mechanism of action induces the production of exopolysaccharides, osmolytes, antioxidants, biosurfactants, quorum sensing molecules (specific for stress mitigation), or the role of PGPMs in immobilization and degradation of toxic metals or pollutants.

The book Microbial BioTechnology for Sustainable Agriculture (Volume 1) covers the mechanistic aspects related to plant-microbe interactions. The book consists of 18 chapters from experts all across the globe covering various microbial communities and their diverse roles ranging from rhizosphere interactions and crosstalks, nutrient cycling to mitigation of biotic/abiotic stresses (such as salinity and drought), and biodegradation of xenobiotic and recalcitrant pollutants. The book includes chapters that discuss heterogeneous groups of microorganisms found in the rhizosphere or living as endophytes and explains how their presence affects the sustainability of agricultural systems. The volume will inform about the latest developments and mechanistic insights of action of plant microbiome in promoting plant growth and soil remediation. The role of soil microbes which can be conserved as novel PGPMs, such as actinomycetes and yeasts, is also explained in the volume.

The editors are thankful to all the authors for channeling their knowledge and contributing their research in this volume. The editors are also thankful to the team at Springer Nature, specifically Ms. Aakanksha Tyagi, Senior Commissioning Editor (Books), Life Sciences, India, and Dr. Naren Aggarwal, Editorial Director-Books, Medicine and Life Sciences, Asia, for their support.

NKA also expresses gratitude towards Prof. Sanjay Singh, Vice-Chancellor, BBA University, Lucknow, UP, India, for the support. NKA would also like to thank his research scholars, namely Tahmish Fatima, Isha Mishra, Priyanka Verma, Priya Mishra, Ankita Bhattarcharya, Chanda, and Dr. Jitendra Mishra, for helping in editing of the manuscripts.

We hope that the reader will find the book useful and helpful in the generation of new ideas in the area of sustainable agriculture. We also hope that the volume will be a step towards achieving the targets of sustainable development goals (#SDG2 and #SDG15).

Lucknow, Uttar Pradesh, India Naveen Kumar Arora Agadir, Morocco Brahim Bouizgarne

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About the Editors

Naveen Kumar Arora PhD in Microbiology, Fellow of International Society of Environmental Botanists (FISEB), Professor and Dean of the School of Earth and Environmental Sciences, Babasaheb Bhimrao Ambedkar University (A Central University), Lucknow, Uttar Pradesh, India, is a renowned researcher in the field of Environmental Microbiology and Biotechnology. His specific area of research is plant-microbe interactions, sustainable agriculture, and environment. He has more than 100 research articles published in premium international journals and several articles published in magazines and dailies. He is editor of 35 books, published by Springer Nature. He is the Series Editor of the book series "Microorganisms for Sustainability" published by Springer Nature. He is the Editor in Chief of the journal Environmental Sustainability also published by Springer Nature. He is a member of several national and international societies, on the editorial board of 04 journals, and a reviewer of several international journals. He has 01 patent to his name. He has successfully completed 06 R&D projects sanctioned by funding agencies such as DST, DBT, CSIR, UPCST, and UGC and presently working on 03 projects funded by the Ministry of Science and Technology, New Delhi, India. He has delivered invited and keynote talks in conferences and seminars around the globe; some of these include international conferences in the USA, Portugal, China, Turkey, Morocco, Uzbekistan, Vietnam. Eleven scholars have been awarded PhD under his guidance. He has been awarded by national and international bodies and societies for the research and academic work. Although an academician and researcher by profession he has a huge obsession for

the wildlife and its conservation and has authored a book, Splendid Wilds. He is President of the Society for Conservation of Wildlife and Secretary of the Society for Environmental Sustainability (website: [www.](http://www.ses-india.org) [ses-india.org](http://www.ses-india.org)).

Brahim Bouizgarne obtained his PhD in 2005 at Cadi Ayyad University, Marrakesh, Morocco, in Microbial and Plant Biotechnology. He worked at Ibn Zohr University (Agadir, Morocco) as assistant professor in 2007. Currently, Brahim BOUIZGARNE is a full professor (Professor of Higher Education PES) in Microbial and Plant Biotechnology, and he is head of the research team Microbial Biotechnologies (Laboratory of Plant Biotechnology), at the Faculty of Sciences, Ibn Zohr University. His specific area of research is soil and agriculture microbiology, actinobacteria taxonomy, rhizosphere microbiology, plant-microbe interactions, phytopathology, plant growth-promoting rhizobacteria (PGPR), and biofertilizers and biopesticide development.

Brahim Bouizgarne supervises 6 PhD candidates and has published 13 papers and 4 chapters with Springer. He is a reviewer of several international journals. He contributed to six research projects and supervises two research projects.

He is also a member of the Moroccan Association of Biotechnology and Protection of Natural Resources "Microbiona." He was the chair of the fourth edition of Microbiod 4 "Microbial Biotechnology for Sustainable Development," Agadir, 2019, and served as a member of scientific committees of other conferences.

For more information: [https://www.researchgate.net/](https://www.researchgate.net/Brahim_Bouizgarne) [Brahim_Bouizgarne](https://www.researchgate.net/Brahim_Bouizgarne)

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Nutrient Acquisition and Soil Fertility: Contribution of Rhizosphere Microbiomes in Sustainable Agriculture

Satyavir S. Sindhu, Anju Sehrawat, Manisha Phour, and Rakesh Kumar

Abstract

Recent application of modern agricultural biotechnologies and use of highyielding crop varieties provided sufficient crop yields and food for human population. But, the major constraint to enhance crop yield and food production is the availability of various nutrients in the soil, which include nitrogen, phosphorus, potassium, sulphur, zinc and other micronutrients. Currently, chemical fertilizers and plant growth regulators are widely utilized for obtaining high crop yields. However, the injudicious application of fertilizers along with other agrochemicals has resulted in environmental pollution along with deleterious effects on beneficial microflora and fauna. Recently, soil-inhabiting beneficial microorganisms are screened and exploited for use as biofertilizers to enhance soil fertility and crop yield with reduced application of chemical fertilizers. Thus, the use of microbial inoculants has emerged as novel agrobiotechnology for attaining sustainable agricultural production systems. These beneficial microorganisms contribute immensely towards management of plant nutrients in the soil by way of nitrogen fixation, solubilization of phosphorus, potassium and zinc along with other nutrients. Other beneficial characteristics of microbial inoculants include phytohormones production, inhibition of phytopathogens' growth, bioremediation of pollutants and heavy metals, and amelioration of abiotic as well as biotic stresses. However, the efficacies of microbial inoculants in improving crop yield under field conditions remain variable under varied farming situations in different agro-ecosystems. Recently, genetic engineering techniques are being employed to improve the beneficial traits in plants and microorganisms to improve nutrient availability, soil fertility and crop yield. In

S. S. Sindhu (\boxtimes) · A. Sehrawat · M. Phour · R. Kumar

Department of Microbiology, CCS Haryana Agricultural University, Hisar, Haryana, India

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addition, identification of effective microbial inoculants and their persistence in soil and quality of these inoculants is a never-ending process for harnessing desirable impacts on crop productivity. Considering the importance of beneficial microorganisms in biogeochemical cycling of nutrients, various mechanisms involved in improving nutrients availability are reviewed for increasing food production.

Keywords

Microbial inoculants \cdot Nutrient acquisition \cdot Rhizospheric microorganisms \cdot Phytohormones · Induced systemic resistance

1.1 Introduction

The growth of crop plants is dependent on the supply of appropriate amount of nutrients, adequate amount of moisture and favourable environmental conditions. Under the current intensive crop production systems in agriculture, the nutrient supply capacity of soil is being exhausted continuously due to the uptake of available nutrients by plants, soil erosion and land degradation. Therefore, farmers apply excessive amounts of agrochemicals in the soil for improving crop growth (Newbould [1989](#page-43-0)). This injudicious use of chemical fertilizers adversely affected the soil health and resulted in public health hazards (Tilman et al. [2002](#page-49-0); Meena et al. [2017\)](#page-42-0). For ensuring the availability of essential nutrients to plants in a sustainable manner and to reduce the use of potentially deleterious agrochemicals, integrated nutrient management system is needed (Maurya et al. [2014;](#page-42-0) Sindhu et al. [2019a\)](#page-48-0). Therefore, applications of microbial inoculants as biofertilizers have emerged as potential tools for improving agriculture productivity and for restoration of sustainability in agricultural systems (Gomiero et al. [2011](#page-39-0); Fasusi et al. [2021;](#page-38-0) Zhang et al. [2021](#page-51-0)). Rhizosphere-inhabiting microorganisms possessing the ability of nutrient solubilization and release of plant growth-promoting (PGP) substances may be used for inoculation of crop plants to improve nutrient supply system for higher crop production (Fig. [1.1](#page-13-0)).

Some soil or rhizosphere-inhabiting nitrogen (N_2) -fixing bacteria develop symbiotic and non-symbiotic associations with plants resulting in improved plant growth and yield of various leguminous, cereal as well as oilseed crops (Afzal and Bano [2008;](#page-35-0) Yadav et al. [2010](#page-51-0); Chaudhary and Sindhu [2016](#page-37-0)). Other plant growthpromoting rhizobacteria (PGPR) were found to solubilize insoluble phosphate into soluble available forms in the soil by secreting organic acids leading to stimulation of plant growth (Khan et al. [2007](#page-40-0); Wani et al. [2007;](#page-50-0) Sindhu et al. [2014a](#page-48-0)). Similarly, several potassium-solubilizing microorganisms (KSMs) were characterized, which caused release of soluble potassium from insoluble rocks and silicate materials (Parmar and Sindhu [2013](#page-44-0), [2019](#page-44-0)). Besides this, degradation of plant residues and leaf litre by lignocellulosic fungi, i.e. Trichoderma harzianum, Polyporus ostriformis and Phanerochaete chrysosporium, and bacteria including species of

Pseudomonas, Cellulomonas, Sporocytophaga and Streptomyces was demonstrated to enhance nutrient availability for plants (Goyal and Sindhu [2011;](#page-39-0) Verma et al. [2014\)](#page-50-0). Thus, PGPR and beneficial fungi are being applied as biofertilizers for enhancing nutrient use efficiency along with improvement in productivity of agricultural crops under greenhouse and field conditions (Gravel et al. [2007;](#page-39-0) Naiman et al. [2009](#page-43-0); Sindhu and Sharma [2020\)](#page-47-0).

1.2 Plants and Their Associated Microbial Community

The compositions of microbial communities and physiology of the plant along with physical and chemical characteristics of soil are some important factors, which influence growth of plants (Ryan et al. [2009](#page-45-0); Lareen et al. [2016\)](#page-41-0). Selective enrichment of microbiomes in the rhizosphere for beneficial traits including mineralization/ solubilization of nutrients, pathogens' growth inhibition and degradation of pollutants and pesticides may result in stimulation of plant biomass resulting in better crop productivity (Kennedy and Smith [1995;](#page-40-0) Chaparro et al. [2012](#page-37-0); Sindhu et al. [2019b](#page-48-0)). In agricultural ecosystems, plant-associated microbes acquire root exudates from plants (Sasse et al. [2018](#page-46-0)), and in return, these microbes provide plant utilizable nutrients to their hosts.

Besides beneficial microbes, various plant pathogens including smallest viroids, viruses, bacteria, fungi and nematodes were found to cause many important plant diseases leading to significant reductions in crop yield. Major bacterial plant pathogens include Pseudomonas syringae, Pseudomonas corrugata, Ralstonia solanacearum, Corynebacterium michiganensis, Agrobacterium tumefaciens, Xanthomonas oryzae, Xanthomonas campestris, Erwinia amylovora, etc. (Mansfield et al. [2012](#page-42-0)). Soil-borne fungal pathogens include Gaeumannomyces graminis (takeall disease of wheat), Rhizoctonia solani (root and stem rots), Neovossia indica (Karnal bunt disease in wheat), Pythium spp. (damping off), Armillaria mellea (honey fungus), Fusarium oxysporum (wilt in chickpea) and F. oxysporum f. sp. cubense (wilt in banana) (Doehlemann et al. [2017](#page-38-0)). The most destructive fungal pathogens in Brassicaceae group have been characterized as Alternaria brassicicola that causes dark spot disease (Pochon et al. [2012](#page-44-0)). Rice blast disease caused by fungal pathogen Magnaporthe grisea has been found to affect rice cultivation drastically (Talbot and Foster [2001\)](#page-49-0).

1.2.1 Beneficial Microbes

Beneficial soil microorganisms improve nutrient availability; suppress various plant diseases; provide tolerance to adverse environmental conditions such as salt stress, drought stress and weed infestation; and also help in plant defence response through secretion of various secondary metabolites (Sindhu and Sharma [2020\)](#page-47-0) (Fig. [1.1\)](#page-13-0). Some of the soil microbes also contribute towards decomposition of organic waste and detoxification of toxic substances such as pesticides (Nihorimbere et al. [2011;](#page-43-0)

Aislabie Jand and Deslippe [2013;](#page-35-0) Ma et al. [2016\)](#page-42-0). Bacterial genera including Azospirillum, Rhizobium, Azotobacter, Arthrobacter, Bacillus, Pseudomonas, etc. show the capability to fix atmospheric nitrogen, thereby enhancing the fertility of soil (Mishra and Arora [2012](#page-42-0); Sangwan et al. [2012;](#page-45-0) Chaudhary et al. [2013](#page-37-0); Arora [2015\)](#page-36-0). Some cyanobacterial genera, viz. Nostoc, Chlorogloeopsis, Calothrix, Plectonema, Anabaena, Cylindrospermum and Anabaenopsis, are known to produce indole acetic acid (IAA) phytohormone in free-living and symbiotic associations in addition to nitrogen fixation (Karthikeyan et al. [2007;](#page-40-0) Manjunath et al. [2011](#page-42-0); Rossi and Philippis [2015\)](#page-45-0). Many of the beneficial microbes solubilize bound form of nutrients (P, K, Zn, etc.) for utilization of the plants. Growth inhibition of phytopathogens resulting in suppression of diseases is caused by antagonistic microbes through production of antibiotics, siderophores, wall-degrading hydrolytic enzymes such as chitinase and by induction of systemic resistance (ISR) (Vejan et al. [2016;](#page-50-0) Sehrawat and Sindhu [2019](#page-46-0)). Moreover, some PGPR strains also play an important role in amelioration of various environmental and biotic stresses under stressed environments (Khare and Arora [2011](#page-41-0); Kang et al. [2014;](#page-40-0) Sindhu and Sharma [2019;](#page-47-0) Phour and Sindhu [2020\)](#page-44-0).

1.2.1.1 Legume-Rhizobium Symbiosis

Legumes comprise of over 20,000 species, and some legumes including soybean, common bean and chickpea are important constituents of diets for human beings. Legumes establish symbiotic association with rhizobia and fix atmospheric inert N_2 to ammonia in the nodules (Sindhu and Dadarwal [1995;](#page-47-0) Sindhu et al. [2021\)](#page-48-0). Contributions of annual nitrogen input in agricultural systems by biological nitrogen fixation (BNF) have been estimated to be about 21.45 Tg, in which major contribution has been reported by soybean rhizobia accounting to 16.4 Tg (Herridge et al. [2008\)](#page-39-0). Nitrogen fixation in diazotrophic bacteria is performed with nitrogenase enzyme, which consists of two metalloprotein components, i.e. dinitrogenase reductase (Fe protein) and dinitrogenase (MoFe protein). Using mutational and complementation analysis and transcriptional profiling, various genes involved in nitrogen fixation (nif and fix genes) have been identified in free-living Klebsiella pneumoniae, Azotobacter chroococcum, Azospirillum brasilense and symbiotic rhizobia (Fischer [1994\)](#page-38-0). Nitrogenase enzyme is highly sensitive to high concentration of oxygen (O_2) , which causes irreversible damage to nitrogenase activity in diazotrophic bacteria (Wang et al. [1984](#page-50-0); Khadem et al. [2010](#page-40-0)). However, this O_2 paradox has been resolved under symbiotic conditions in root nodules by synthesis of leghemoglobin/ phytoglobins (Berger et al. [2018;](#page-37-0) Pucciariello et al. [2019](#page-44-0)).

Significant increases in the root and shoot weight, number of pods and yield of various legume crops were found by inoculation of effective strains of rhizobia under pot house and field conditions (Thies et al. [1991](#page-49-0); Sindhu and Dadarwal [1992;](#page-47-0) Franche et al. [2009](#page-38-0)). Furthermore, coinoculations of rhizobia with PGPR strains were found to increase nodulation, nitrogen fixation, plant biomass and yield of various legumes (Sindhu et al. [1999](#page-47-0); Goel et al. [2002](#page-39-0); Chaudhary and Sindhu [2017;](#page-37-0) Zhang et al. [2021](#page-51-0)). Genes involved in symbiosis can be horizontally transferred from rhizobia to other soil-inhabiting bacteria, allowing development of novel symbionts

with the capability to develop effective association with incompatible legumes growing in diverse soils (Andrews et al. [2018](#page-35-0)).

1.2.1.2 Plant and PGPR Interactions

PGPRs have been characterized from diverse habitats for their subsequent use for increasing the plant growth through various direct or indirect mechanisms (Vessey [2003;](#page-50-0) Sindhu et al. [2010](#page-48-0); Tabassum et al. [2017\)](#page-49-0). For example, insoluble bound form of phosphate in the soil/rhizosphere may be solubilized by phosphorus-solubilizing bacteria through secretion of various organic acids, i.e. gluconic acid, citric acid, oxalic acid and malic acid (Khan et al. [2010;](#page-40-0) Parmar and Sindhu [2019\)](#page-44-0). Production of antibiotics, hydrolytic enzymes, hydrogen cyanide, siderophores and induction of systemic resistance (ISR) in plants contributed towards killing of pathogens by various antagonistic bacteria (Sindhu et al. [1999;](#page-47-0) Sharma et al. [2018a](#page-46-0); Sehrawat and Sindhu [2019](#page-46-0)). Besides this, synthesis and secretion of plant hormones by PGPRs were found to exert strong influences on crop productivity even under adverse soil conditions (Malik and Sindhu [2011;](#page-42-0) Etesami and Maheshwari [2018](#page-38-0); Khan et al. [2020\)](#page-41-0). Some PGPRs produce biofilm that help in survival, propagation and functioning of microbial community in rhizosphere niches under stressed conditions (Barthe et al. [2015\)](#page-36-0). Biofilm-producing photoautotropic cyanobacterial strain Anabaena torulosa was found to increase nitrogen fixation of rhizobia under in vitro conditions and its coinoculation of wheat with phosphorus-solubilizing bacteria (PSB) caused enhancement of phosphorus uptake under pot house conditions (Swarnalakshmi et al. [2013](#page-49-0)).

1.2.1.3 Plant AM Fungi Association

Mycorrhizal symbiosis has been reported in about 250,000 species of plants worldwide (Smith and Read [2008](#page-48-0)). Plant-mycorrhizal fungus interactions are classified as ectomycorrhiza, endomycorrhiza and ectendomycorrhiza, according to the colonization of fungal mycelium in the root structure (Bonfante and Perotto [2000;](#page-37-0) Brundrett [2004;](#page-37-0) Smith and Read [2008\)](#page-48-0). Arbuscular mycorrhiza (AM) represents the most studied plant-mycorrhizal association among the endomycorrhiza with a great economic impact worldwide (Smith et al. [2009;](#page-48-0) Balestrini et al. [2015](#page-36-0)). AM fungi establish symbiosis with more than 80% of land plants, including most agricultural crop species, and help in increasing host plant nutrition under lownutrient-availability soil conditions (Smith and Smith [2011](#page-48-0); Corradi and Bonfante [2012\)](#page-37-0). AM fungi have an obligate life cycle, which receives photosynthates from host plant, and in turn supply various nutrients including phosphorus, potassium and water for the host plants (Smith and Smith [2011;](#page-48-0) Ferrol et al. [2019](#page-38-0)). After penetration of AM fungi into root cortex cells, arbuscules are produced by dichotomous branching of hyphal tips, which are the key structures indicative of symbiotic effect (Luginbuehl and Oldroyd [2017](#page-42-0)). In most of the inoculation experiments, AM fungi were found to increase plant growth and crop productivity even under heat and drought stress conditions (Wiebe et al. [2015](#page-50-0); Wang et al. [2017](#page-50-0)).

1.3 Mechanisms of Growth Promotion by PGPR

Plant growth-promoting microorganisms are usually isolated from the rhizosphere soil using either dilution plate method or soil enrichment technique. Subsequently, purified cultures are screened for different growth-promoting characteristics for their subsequent use as biocontrol agents or biofertilizers (Glick [1995;](#page-39-0) Reed and Glick [2013;](#page-45-0) Santoyo et al. [2016](#page-46-0); Dahiya et al. [2021](#page-38-0)) (Fig. 1.2). Currently, several microbial strains have been commercialized to increase plant growth and crop yield under pot house or field conditions (Sehrawat and Sindhu [2019](#page-46-0); Sindhu and Sharma [2020\)](#page-47-0).

Fig. 1.2 Isolation and purification of beneficial microorganisms from rhizosphere soil and their subsequent screening for different plant growth promoting attributes

1.3.1 Role of Plant Microbiome in Nutrient Acquisition

Nitrogen, phosphorous, potassium, iron and zinc are the major nutrients required for optimal growth of crop plants, and many agricultural soils are deficient in either one or more of these nutrients. For increasing crop yields, farmers apply sufficient/excessive amounts of chemical fertilizers to obviate this nutrient problem (Newbould [1989\)](#page-43-0). However, the injudicious use of chemical fertilizers results in depletion of nonrenewable resources and causes environmental and public health hazards. Therefore, alternative means of providing nitrogen, phosphorus, potassium and zinc in the soil include biogeochemical cycling of nutrients by rhizosphere-inhabiting microorganisms (Marschner [2011;](#page-42-0) Sindhu et al. [2019a,](#page-48-0) [b](#page-48-0); Sindhu and Sharma [2020\)](#page-47-0).

1.3.1.1 Nitrogen

Nitrogen (N) is often the most important nutrient needed for plant growth and crop productivity. Nitrogen in the soil is usually present in the unavailable form either as inert or organic form; and it is absorbed by the plants normally either in ammonium or nitrate form (Smil [1999](#page-48-0); Mikkelsen and Hartz [2008](#page-42-0)). Nitrogen plays role in the formation of amino acids, vitamins (biotin, thiamine, niacin, riboflavin) and nucleic acids (Kalra and Sharma [2015;](#page-40-0) Sharma et al. [2016\)](#page-46-0). Besides this, nitrogen is essential for plant cell division and photosynthesis, and its involvement in utilization of carbohydrates has been reported to affect energy reactions in the plant (Schaetzl and Anderson [2005](#page-46-0)). Symptoms of nitrogen deficiency include stunted growth and yellowing of leaves. Crops growing in nitrogen-deficient soils have retarded plant growth, which subsequently affect yield and quality of agri-produce (Mikkelsen and Hartz [2008](#page-42-0); Hayat et al. [2010\)](#page-39-0).

Some prokaryotes including bacteria and archaea possess the ability to reduce atmospheric inert nitrogen into plant utilizable ammoniacal form using the nitrogenase enzyme (Sindhu and Dadarwal [1995](#page-47-0); Sindhu [2004](#page-47-0)). Various nitrogenfixing organisms are generally categorized either as free-living, associative or symbiotic N_2 -fixing bacteria. Leguminous plants develop symbiotic association with members of the family Rhizobiaceae and form nitrogen-fixing nodules on the roots or stem of plants (Sindhu and Dadarwal [1995;](#page-47-0) Ahemad and Khan [2012](#page-35-0); Sindhu et al. [2021](#page-48-0)). Other categories of nitrogen-fixing bacteria include free-living, associative and endophytic microorganisms such as species of Azotobacter, Azospirillum, Azoarcus, Acetobacter nitrogenifigens, Bacillus, Clostridium pasteurianum, Gluconacetobacter diazotrophicus, Herbaspirillum, Pseudomonas and some methanogens (Saravanan et al. [2007](#page-46-0); Kumar and Rao [2012;](#page-41-0) Kumar et al. [2017\)](#page-41-0). Free-living photosynthetic cyanobacteria (Anabaena, Nostoc, Aulosira, Calothrix, etc.) or symbiotic cyanobacteria (Azolla-Anabaena system) or blue green algae (BGA) are commonly found in different water bodies, which are reported to fix about 4–6 billion kilograms of N_2 annually (Smil [1999;](#page-48-0) Song et al. [2005;](#page-48-0) Singh et al. [2016\)](#page-48-0). Estimations revealed that nearly 13% of the total fertilization on arable land is carried out by biological nitrogen fixation (Anglade et al. [2015](#page-36-0); Sindhu et al. [2019a\)](#page-48-0).

1.3.1.2 Phosphorus

Another major growth-limiting nutrient in the agriculture soils is phosphorous (P). The availability of P is limited in the soil due to its poor solubility and quick fixation in soil (Illmer and Schinner [1995](#page-40-0)). The P content in average soil is about 0.05% (w/w). Depending upon the neutral or acidic nature of the soil, the insoluble forms of phosphorus in soil include tricalcium phosphate $(Ca_3PO_4)_2$, aluminium phosphate (Al_3PO_4) or iron phosphate (Fe₃PO₄). Phosphorus availability and uptake from the soil affect many biological processes including photosynthesis, respiration, energy storage and transfer, cell division and enlargement (Shukla et al. [2014\)](#page-47-0). Phosphorus has been demonstrated to play a significant role in early root formation and growth of roots, leading to improvement in the quality of fruits, vegetables and grains (Kadiri et al. [2013](#page-40-0)).

P-solubilizing microorganisms inhabit different soil ecosystems and are involved in conversion of bound insoluble phosphate into soluble form (Khan et al. [2013;](#page-40-0) Sindhu et al. [2014a](#page-48-0)). Various species of Arthrobacter, Aerobacter, Azotobacter, Bacillus, Enterobacter, Erwinia, Flavobacterium, Micrococcus, Pseudomonas, Rhodococcus and Pantoea have been identified as efficient phosphate-solubilizing bacteria (Sindhu et al. [2009](#page-47-0); Richardson and Simpson [2011\)](#page-45-0). The prominent fungal species involved in solubilization of bound phosphorus include Aspergillus, Arthrobotrys oligospora, Penicillium, Fusarium, Trichoderma, Eupenicillium, Talaromyces, yeast, etc. (Pradhan and Shukla [2005;](#page-44-0) Rawat et al. [2015;](#page-45-0) Saxena et al. [2016](#page-46-0)). Production of organic acids, chelation of mineral ions or decrease of pH has been established as the dominant mechanism for phosphorus solubilization (Goldstein [1994;](#page-39-0) Oliveira et al. [2009;](#page-44-0) Sindhu et al. [2014a\)](#page-48-0). Previous studies have reported that inoculation of many phosphate-solubilizing bacteria, i.e. species of Bacillus, Enterobacter, Burkholderia and Pseudomonas, can enhance the phosphorus uptake and content of plants leading to enhancement of plant biomass and aid in increasing the crop yield (Oteino et al. [2013;](#page-44-0) Anand et al. [2016;](#page-35-0) Parastesh et al. [2019\)](#page-44-0). Coinoculation of PSB and PGPR strains, i.e. Bacillus polymyxa, Rhizobium and Pseudomonas fluorescens, in wheat showed significant enhancement in nutrient uptake over the single inoculation of PGPR (Rhizobium or Pseudomonas fluorescens), PSB (Bacillus polymyxa) or uninoculated plants (Singh and Singh [2012;](#page-48-0) Jaybhay et al. [2017\)](#page-40-0). However, inconsistent responses were observed due to inoculation of phosphorus-solubilizing bacterial strains under field conditions, owing to the different agronomic, climatic and management conditions in the field.

1.3.1.3 Potassium

The third major essential macronutrient potassium (K) plays a prominent role in protein synthesis, carbohydrate metabolism and translocation of starch in plants (Read et al. [2006;](#page-45-0) Bahadur et al. [2014](#page-36-0)). Potassium activates various enzymes and improves quality of seeds and fruits. In case of K deficiency, the susceptibility of plants increases towards wilting, necrosis, chlorosis and brown spotting, and more damage is observed due to exposure to the frost and heat (Jagessar and Allen [2012\)](#page-40-0). Majority of potassium in soil (98%) exists in the unavailable mineral forms (Sparks

[1987\)](#page-49-0), and feldspars, muscovite, biotite, phlogopite and micas constitute the biggest pool of bound potassium in soils (Barre et al. [2007](#page-36-0); Nishanth and Biswas [2008](#page-43-0)). The availability of K to crop plants in soils has decreased recently due to crop uptake, runoff, leaching and soil erosion (Sheng and Huang [2002\)](#page-47-0). Therefore, under recent intensive agriculture system, the demand of potassium for agricultural crops is growing steadily at 3–3.5% per annum (Manning [2010\)](#page-42-0).

The unavailable mineral forms of potassium are solubilized by K-solubilizing microorganisms (KSM) using several mechanisms, which include acid hydrolysis, secretion of soluble/insoluble molecules and secretion of polymers for chelation of mineral elements (Sindhu et al. [2014b;](#page-48-0) Parmar and Sindhu [2019\)](#page-44-0). Several soil microorganisms such as Acidithiobacillus ferrooxidans, Bacillus mucilaginosus, Bbacillus circulans, Bbacillus edaphicus, Bbacillus megaterium, Burkholderia spp., *Paenibacillus* sp. and *Pseudomonas* spp. have been characterized to release potassium from K-bearing minerals in soil (Sheng et al. [2002](#page-47-0); Basak and Biswas [2012;](#page-36-0) Teotia et al. [2016;](#page-49-0) Parmar and Sindhu [2019\)](#page-44-0). Furthermore, inoculation with different strains of KSMs have been found to exert profound stimulatory effects on the development and growth of plants under different agro-ecosystems (Zeng et al. [2012;](#page-51-0) Parmar and Sindhu [2013;](#page-44-0) Sindhu et al. [2016;](#page-48-0) Yasin et al. [2016](#page-51-0)). For example, inoculation of potassium-solubilizing *Mesorhizobium* sp., *Paenibacillus* sp. and Arthrobacter sp., obtained from rape rhizospheric soil, into crops growing in K-limited soils was demonstrated to increase available K content resulting into improved growth, vigour, biomass yield and K uptake in ryegrass (Sindhu et al. [2014b;](#page-48-0) Xiao et al. [2017](#page-50-0)). Currently, several bacteria with efficient K solubilization efficiency are being extensively used as biological K fertilizers for agricultural sustainability (Zhang and Kong [2014;](#page-51-0) Nath et al. [2017](#page-43-0); Sarkar et al. [2017\)](#page-46-0).

1.3.1.4 Zinc

Another vital micronutrient required for optimum plant growth and plant metabolism is zinc (Zn) (Hughes and Poole [1991](#page-39-0)). Zn is adsorbed by plants as divalent cation (Zn^{2+}) . It is estimated that about 30% of the cultivated soils are deficient in Zn worldwide (Suzuki et al. [2006](#page-49-0)). Several Zn-solubilizing bacteria have been isolated from agricultural soil, which include Pseudomonas aeruginosa, Burkholderia, Bacillus subtilis, Acinetobacter, Enterobacter aerogenes, Mycobacterium brisbanense, Stenotrophomonas maltophilia, Thiobacillus thiooxidans and Xanthomonas retroflexus (Jha et al. [2013;](#page-40-0) Kumari et al. [2016;](#page-41-0) Sindhu et al. [2019b\)](#page-48-0). Similarly, many fungi belonging to Aspergillus and Penicillium sp. (Saravanan et al. [2003\)](#page-46-0) and ericoid mycorrhizae, Oidiodendron maius Cd8, were reported to solubilize insoluble Zn compounds like zinc oxide, zinc carbonate and zinc sulphide (Martino et al. [2003\)](#page-42-0). Zinc-solubilizing microorganisms were reported to solubilize Zn through production of various organic acids, protons and chelated ligands (Cunningham and Kuiack [1992](#page-38-0); Sindhu et al. [2019b\)](#page-48-0). Application of Zn-solubilizing bacterium Bacillus sp. as biofertilizer was demonstrated to improve Zn uptake by the field crops in soil ecosystems (Mahdi et al. [2010\)](#page-42-0).

1.3.1.5 Other Nutrients

Plants also need other micronutrients in small quantities including calcium (Ca), magnesium (Mg), sulphur (S), iron (Fe), chlorine (Cl), manganese (Mn), zinc (Zn) , copper (Cu), boron (B) and molybdenum (Mo) (Hochmuth and Hanlon [2010](#page-39-0)). These micronutrients participate in various enzymatic reactions and hormonal processes, strengthen the cell wall structure and contribute towards protection of the plants against heat stress and various diseases (Maathuis [2009\)](#page-42-0). The deficiency of any of the macro- or micronutrients in soil adversely affects the growth and development of the entire plant (White and Brown [2010\)](#page-50-0). Therefore, some of the rhizosphereinhabiting bacteria have been identified, which improve the availability of these micronutrients for proper growth of plants. For example, inoculation of P. fluorescens strain C7 (having the ability to synthesize Fe-pyoverdine complex) increased iron content of the plant tissues and improved growth of Arabidopsis thaliana plants (Vansuyt et al. [2007\)](#page-50-0). Similarly, inoculation of siderophoreproducing Pseudomonas strain GRP3 on Vigna radiata increased the content of iron, chlorophyll a and chlorophyll b, along with decline in chlorotic symptoms in comparison to uninoculated plants (Sharma et al. [2003](#page-46-0)). Different bacteria including Pseudomonas, Klebsiella, Salmonella, Enterobacter, Serratia and Comamonas were found to mobilize sulphate-esters (Hummerjohann et al. [2000\)](#page-39-0). Inoculation of arbuscular mycorrhizal (AM) fungi to maize and clover plants resulted in enhanced uptake of sulphur (Gray and Gerdemann [1973\)](#page-39-0). Similarly, sulphur uptake was enhanced in tomato by inoculation of AM fungi (Cavagnaro et al. [2006](#page-37-0)). Allen and Shachar-Hill [\(2009](#page-35-0)) reported that inoculation of Glomus intraradices on transformed carrot roots enhanced the uptake of reduced forms of sulphur in vitro.

1.3.2 Phytohormone Production by Plant Microbiome and Nutrient Uptake

Different phytohormones like indole acetic acid (IAA), gibberellins, cytokinins and ethylene are produced by rhizospheric microorganisms (Kloepper et al. [2007;](#page-41-0) Malik and Sindhu [2008;](#page-42-0) Saleem et al. [2018\)](#page-45-0). These PGPR-released hormones have been demonstrated to increase root surface area and number of root tips in many plants (Han et al. [2005;](#page-39-0) Khan et al. [2020\)](#page-41-0) and significantly influence the crop growth and food production (Etesami and Maheshwari [2018;](#page-38-0) Khan et al. [2020](#page-41-0)). Besides this, phytohormone production by several microbes has been reported to alter plant physiology differentially, resulting in diverse outcomes that vary from pathogenesis to stimulation of plant growth (Spaepen [2015;](#page-49-0) Adolfsson et al. [2017\)](#page-35-0).

Various strains of bacteria and fungi including Pseudomonas, Agrobacterium, Rhizobium, Bradyrhizobium, Azospirillum, Botrytis, Aspergillus and Rhizopus were found to produce auxins (Costacurta and Vanderleyden [1995](#page-38-0); Jangu and Sindhu [2011\)](#page-40-0). Inoculation of IAA-producing Azospirillum sp. resulted in improvement and growth of wheat (Triticum aestivum L.) plants (Spaepen et al. [2008;](#page-49-0) Baudoin et al. [2010\)](#page-36-0). Besides this, production of IAA by some rhizobia was reported to function in symbiotic signalling that enhanced the nodule number and nitrogen fixation leading to enhancement of legume plant growth (Alikhani et al. [2010](#page-35-0); Puente et al. [2018;](#page-44-0) Buhian and Bensmihen [2018](#page-37-0)). Moreover, the over-production of IAA in Rhizobium leguminosarum bv. viciae strain was caused by introduction of IAA biosynthetic pathway, and the constructed strains induced more efficient nodulation on Vicia hirsuta leading to significant effects on symbiotic parameters (Camerini et al. [2008\)](#page-37-0), whereas differential response on nodulation and plant biomass enhancement was observed by coinoculation of IAA-producing Pseudomonas sp. with Rhizobium in green gram and black gram under chillum jar conditions (Jangu and Sindhu [2011\)](#page-40-0). Similarly, a higher number of nodules were formed on Phaseolus vulgaris cultivar DOR364 by coinoculation of A. *brasilense* strain Sp245 with *Rhizobium etli* strain CNPAF512 (Remans et al. [2008](#page-45-0)). On the other hand, a less number of nodules were produced by coinoculation of an Azospirillum mutant strain having the capacity to produce only 10% of the IAA of the wild-type strain. These results indicated that IAA production by several rhizosphere bacteria contribute significantly in establishment and functioning of effective symbiosis.

Some PGPR isolates also possessed the ability to solubilize phosphate and produced ammonia along with production of IAA, and their inoculation showed stimulation of chickpea plant growth (Yadav et al. [2010\)](#page-51-0). Similarly, accumulation of specific zeatin riboside (ZR)-like and isopentenyl adenosine (iPA)-like compounds was observed in both root and shoot of tobacco on colonization with arbuscular mycorrhizal fungi G. intraradices (Shaul-Keinan et al. [2002](#page-47-0)). Similarly, the levels of IAA and iPAs were significantly increased in litchi (Litchi chinensis Sonn.) seedlings by AM fungal inoculation, which induced root morphological alterations (Yao et al. [2005\)](#page-51-0). Ectomycorrhizal fungi were also demonstrated to synthesize IAA-like compounds (Strzelczyk et al. [1997](#page-49-0)). On the other hand, it is also interesting that plant hormones can regulate the development of AM fungal symbiosis. For example, auxins were found to play a key role in promoting colonization and arbuscule formation (Ludwig-Muller and Guther [2007;](#page-41-0) Etemadi et al. [2014](#page-38-0); Liao et al. [2018](#page-41-0)). Reduced arbuscule abundance was observed due to inoculation of abscisic acid (ABA)-deficient mutant (Sitiens) in tomato in comparison to increased AM colonization and arbuscule formation with wild-type fungi, suggesting that ABA plays a significant role in plant-fungal symbiosis (Herrera-Medina et al. [2007\)](#page-39-0).

Gibberellins synthesized by Gibberella fujikuroi were firstly discovered to cause disease development on rice plants (Kurosawa [2003](#page-41-0)). Subsequently, various bacterial genera including Azospirillum sp., Rhizobium sp., Acetobacter diazotrophicus, Herbaspirillum seropedicae, Bacillus sp. and Fusarium moniliforme were reported to produce gibberellin-like substances (Bottini et al. [2004](#page-37-0); Meleigy and Khalaf [2009\)](#page-42-0). Other hormones produced by rhizosphere bacteria, i.e. jasmonic acid, salicylic acid and ethylene, were found to alter the hormonal signalling in plants, when exposed to different abiotic and biotic stresses, resulting into induction of systemic resistance (Zamioudis and Pieterse [2012](#page-51-0)). In the last decade, nitrogen oxide (NO) release in the rhizosphere has been demonstrated to act as the second messenger in IAA signalling pathway that affects different plant developmental processes (Molina-Favero et al. [2008\)](#page-43-0). A. brasilense was demonstrated to synthesize NO by

different aerobic pathways, and it promoted root branching in tomato (Molina-Favero et al. [2008](#page-43-0)). Similarly, NO production in Sinorhizobium meliloti was found to cause induction of root nodule organogenesis in Medicago truncatula roots (Pii et al. [2007](#page-44-0)).

1.3.3 Role of Plant Microbiome in Tolerance to Abiotic and Biotic Stresses

Soil or rhizosphere-inhabiting microbes possess the capabilities to ameliorate abiotic and biotic stresses in plants (Gopalakrishnan et al. [2015](#page-39-0); Sehrawat and Sindhu [2019;](#page-46-0) Nabi et al. [2019;](#page-43-0) Sindhu and Sharma [2019;](#page-47-0) Phour and Sindhu [2020\)](#page-44-0). Several microorganisms have been characterized including species of Pseudomonas, Bacillus, Burkholderia, Azotobacter, Enterobacter, Methylobacterium, Serratia and Trichoderma, which contributed significantly towards mitigation of different kinds of abiotic as well as biotic stresses (Atieno et al. [2012](#page-36-0); Sorty et al. [2016;](#page-48-0) Meena et al. [2017\)](#page-42-0) (Fig. [1.3\)](#page-24-0). For example, inoculation of Burkholderia phytofirmans strain PsJN to wheat plants ameliorated the drought stress and improved the chlorophyll content, increased photosynthetic rate, water-use efficiency and grain yield in comparison to uninoculated control plants under field conditions (Naveed et al. [2014a\)](#page-43-0). Similarly, enhancement of shoot and root biomass, leaf area and photosynthetic efficiency of maize plants was observed under drought stress conditions on coinoculation of B. phytofirmans and Enterobacter sp. strain FD17 in comparison to uninoculated plants (Naveed et al. [2014b](#page-43-0)). In similar studies, improved uptake of essential plant nutrients along with enhanced accumulation of antioxidants and osmolytes was obtained on inoculation of T. harzianum on Indian mustard (Brassica juncea) under saline conditions (Ahmad et al. [2015](#page-35-0)). Enhanced salinity tolerance was observed in rice (Oryza sativa L.) due to inoculation of Pseudomonas sp., and exopolysaccharide production and better root-colonizing capability of the inoculant strain were correlated with alleviation of salt stress (Sen and Chandrasekhar [2014\)](#page-46-0). In another experiment, exopolysaccharide-producing thermotolerant Pseudomonas putida strain NBR10987 was demonstrated to play a role in amelioration of drought stress (Srivastava et al. [2008\)](#page-49-0). Similarly, increased tolerance to low non-freezing temperatures was reported due to higher accumulation of stress-related proteins and metabolites by rhizosphere microorganisms (Theocharis et al. [2012](#page-49-0)).

Another group of microbes including microbial endophytes also imparted tolerance towards abiotic stresses. For example, enhanced salinity tolerance in Miscanthus sinensis was observed on inoculation of nitrogen-fixing consortium consisting of anaerobic Clostridium and Enterobacter sp. (Ye et al. [2005\)](#page-51-0). Inoculated plants showed more plant biomass even at 100 mM NaCl treatment than the uninoculated plants. In another study, common endophyte of sugarcane Gluconacetobacter diazotrophicus was found to tolerate high sucrose concentrations (Velázquez-Hernández et al. [2011\)](#page-50-0). Expression of enzyme levansucrase, which hydrolysed sucrose to glucose and fructose, was involved for transport of sucrose

into the bacterial cell and subsequent metabolism. Mutation of the gene encoding levansucrase reduced the production of enzyme resulting into decreased tolerance to desiccation and sodium chloride, suggesting the involvement of levansucrase enzyme as an osmoprotectant.

Besides abiotic stresses, plant growth and crop yield are also strongly influenced by biotic stresses caused by infestation of pathogenic microorganisms, insects, nematodes and weeds. Pathogenic microorganisms usually weaken or destroy the plant tissue and adversely affect the growth and yield of crop plants. Diseases caused by plant pathogens are estimated to cause about 20–40% yield losses annually worldwide in various cereal and legume crops (Oerke [2006](#page-43-0)). Recently, several microorganisms including bacteria, actinomycetes, fungi, viruses and nematodes have been isolated from the rhizosphere of crop plants, which showed antagonistic activity and suppressed the various diseases of agricultural crops (Mendes et al. [2011;](#page-42-0) Sehrawat and Sindhu [2019\)](#page-46-0). Species of Agrobacterium, Bacillus, Burkholderia, Enterobacter, Pseudomonas and Serratia showed antagonism towards various plant pathogens and suppressed different diseases in several crops (Sehrawat and Sindhu [2019](#page-46-0)). Similarly, many bacterial strains, viz. Bacillus thuringiensis, Bacillus cereus, Brevibacillus laterosporus, Pseudomonas entomophila, Serratia entomophila and S. maltophilia, showed high mortality against specific insects compared to conventional insecticides (Vega and Kaya [2012;](#page-50-0) Sindhu et al. [2017\)](#page-48-0). Production of secondary metabolites, i.e. antibiotics, toxins, siderophores, lipopeptides, hydrogen cyanide, volatile organic compounds and hydrolytic enzymes, contributed towards inhibition of phytopathogens and insects (Sindhu and Dadarwal [2001a;](#page-47-0) Mishra and Arora [2018;](#page-43-0) Sharma et al. [2018b\)](#page-46-0). Interestingly, many of the biocontrol agents also possessed plant growthpromoting attributes, and the inoculation of these rhizobacterial strains caused stimulation of plant biomass and crop yield (Sindhu et al. [1999](#page-47-0), [2002](#page-47-0); Sahu and Sindhu [2011\)](#page-45-0).

1.3.4 Induced Systemic Resistance to Pathogens Via Plant-Microbe Associations

Activation of the dormant defence mechanisms in plants leads to development of resistance in response to either infection of pathogen or attack by the insect (Pieterse et al. [2012](#page-44-0), [2014](#page-44-0); Walters et al. [2013\)](#page-50-0). Some PGPR strains such as Bacillus, Pseudomonas and Azospirillum were reported to induce systemic resistance (ISR). Various metabolites/ISR-inducing agents produced by rhizobacteria included lipopolysaccharides; cyclic lipopeptides; siderophores; 2,4-diacetylphloroglucinol antibiotic; and 2,3-butanediol and acetoin (Lugtenberg and Kamilova [2009\)](#page-42-0). Additionally, production of ethylene and jasmonic acid was found to induce resistance in plants against various pathogens (Lugtenberg and Kamilova [2009](#page-42-0); Glick [2012\)](#page-39-0).

Evidences showed that beneficial soil-inhabiting microorganisms hijack plant hormone signalling pathways to suppress the host defences (Pieterse et al. [2012;](#page-44-0) Sharifi and Ryu [2018](#page-46-0); Tyagi et al. [2018\)](#page-50-0). This phenomenon is illustrated in

symbiotic association of legumes with rhizobia, where the defence reactions of the plants were quickly suppressed resulting into development of successful symbiotic association (Guinel [2015](#page-39-0)). Besides this, siderophore pseudobactin was found effective in inducing ISR (Meziane et al. [2005](#page-42-0)). In similar studies, B. phytofirmans PsJN was demonstrated to induce resistance to grey mould in grapevines (Theocharis et al. [2012\)](#page-49-0). Upon invasion by pathogens, beneficial ISR-eliciting microbes have been found to sensitize the whole plant for faster activation of defence responses, and this phenomenon is termed as priming (Choudhary et al. [2007;](#page-37-0) Berendsen et al. [2012;](#page-36-0) Martinez-Medina et al. [2016\)](#page-42-0). Moreover, exposure of plant roots to a particular population density of bacteria, i.e. about $10⁵ - 10⁷$ colony-forming units per gram of root, was found to induce systemic resistance (Jankiewicz and Koltonowicz [2012;](#page-40-0) Bakker et al. [2013](#page-36-0); Pieterse et al. [2014](#page-44-0)). Thus, higher microbial density obtained in the rhizosphere (varying from 10^8 to 10^9 bacteria per gram soil) due to the release of plant root exudates may be contributing towards specific stimulation or repression of particular microbial community (Berendsen et al. [2012](#page-36-0); Pii et al. [2016;](#page-44-0) Stringlis et al. [2018\)](#page-49-0).

1.3.5 Microbe-Induced Bioremediation and Increased Nutrient Uptake

Excessive concentration of toxic metals and pollutants in the rhizosphere causes stunted growth of plants due to excessive accumulation of contaminants. Various physico-chemical and biological techniques are used to reduce the heavy metal contaminants from soil (Glick [2010;](#page-39-0) Ullah et al. [2015](#page-50-0)). But, biological remediation using various microorganisms is considered as the most suitable, low-cost and eco-friendly technology for removal of toxic metals (Doble and Kumar [2005;](#page-38-0) Hassan et al. [2017\)](#page-39-0). Under stressed conditions, complex toxic substances are converted to simple and nontoxic compounds resulting in higher survival rate of microbes (Thassitou and Arvanitoyannis [2001;](#page-49-0) Mustapha and Halimoon [2015](#page-43-0)) (Fig. [1.3](#page-24-0)). Metal sequestration or metal chelation was increased by lowering pH of the soil due to production of organic acids by certain soil microbes (Mishra et al. [2017\)](#page-43-0). Besides this, complex formation capacity of metals with siderophores, other metabolites and transporter proteins from bacteria lowers the availability of metal contaminants in the soil (Rajkumar et al. [2010;](#page-45-0) Ahemad [2012;](#page-35-0) Ullah et al. [2015](#page-50-0)). In addition, some bacteria, viz. Pseudomonas, Microbacterium, Verrucomicrobia and Actinobacteria, along with some fungi including Lewia sp., and mycorrhizal fungi were reported to contribute towards rhizoremediation (Kawasaki et al. [2012](#page-40-0); Yang et al. [2016\)](#page-51-0). These microbial strains altered the mobility and bioavailability of metals, thereby resulting in increased uptake of metals by plants. Moreover, novel gene modulation technique employed to overexpress target genes or using gene insertion may result in construction of the desired transgenic microbes, which can serve as an alternative with higher-throughput capacity of metal sequestration and translocation (Singh et al. [2011\)](#page-48-0). Therefore, inoculation with beneficial microbes for

soil bioremediation has been found beneficial resulting into increased plant growth under climate-changing scenarios (Nautiyal et al. [2013](#page-43-0); Tiwari et al. [2016\)](#page-50-0).

Mycorrhizal fungi also have the potential to accumulate heavy metals and may enhance the uptake of diverse heavy metal ions significantly (Kumar et al. [2016a;](#page-41-0) Shukla et al. [2016](#page-47-0)). The extensive mycelial mats of vesicular arbuscular mycorrhiza (VAM) help the plants to acquire nutrients, particularly phosphorus, and their association is well recognized for growth promotion of various crop plants (Smith and Read [1997](#page-48-0); Saxena et al. [2016](#page-46-0)). The heavy metal dislocation from the available nutrient pool by VAM fungi at the interface of soil and plant is responsible for removal of heavy metals. Thus, VAM fungi play a prominent role in alleviation of heavy metal stress (Leyval et al. [1997](#page-41-0); Kumar et al. [2016a\)](#page-41-0).

Other filamentous fungi including species of Trichoderma, Penicillium and Aspergillus have also been demonstrated to tolerate metal stress (Ezzouhri et al. [2009;](#page-38-0) Oladipo et al. [2017](#page-43-0)). The negatively charged functional groups of fungus cell wall possess metal-binding properties and play an important role in amelioration of metal stress (Ong et al. [2017\)](#page-44-0). Thus, inoculation of Aspergillus niger (Coreño-Alonso et al. [2014\)](#page-37-0) and Trichoderma sp. in chickpea plants was demonstrated to cause effective reduction in the metal concentration in the soil (Tripathi et al. [2013](#page-50-0), [2017\)](#page-50-0). Besides this, local soil microflora growing in the contaminated soil may also facilitate increased accumulation of heavy metals by the plant (Dikshit et al. [2013\)](#page-38-0). Therefore, application of rhizospheric microflora and filamentous fungi has been reported to magnify the detoxification process by both elimination and immobilization mechanisms.

1.4 Inoculation Effect of Beneficial Microbes for Improving Plant Growth

Various beneficial microbes including Azotobacter, Azospirillum and rhizobia are currently being used or tested as inoculants on different crops under greenhouse or field conditions (Dadarwal et al. [1997;](#page-38-0) Herridge et al. [2008;](#page-39-0) Tiwari and Singh [2017;](#page-49-0) Kumar et al. [2016b\)](#page-41-0). Thilakarathna and Raizada [\(2017](#page-49-0)) performed screening of rhizobial inoculants on soybean, and diverse Bradyrhizobium and Sinorhizobium isolates including some indigenous strains were found highly effective inoculants under field conditions. However, the symbiotic effectiveness of these rhizobial inoculants varied depending on legume genotypes, legume and rhizobia interactions, formulation of inoculant and its application methods (Sindhu and Dadarwal [1999](#page-47-0), [2001a](#page-47-0); Denton et al. [2017\)](#page-38-0). Application of rhizobia with phosphorus and manure was found to increase the yield of common bean and soybean by twofold and threefold, respectively (Rurangwa et al. [2018](#page-45-0)). Moreover, the yield of subsequent crop maize also increased by about 6-fold and 2.5-fold following common bean and soybean, respectively.

Many PGPRs were reported to improve plant growth and crop yield by increasing nutrient uptake, producing plant hormones and suppressing soil-borne diseases (Sindhu and Sharma [2020](#page-47-0)). Inoculation of six phosphorus-solubilizing strains

including three strains of Bacillus and one each of Serratia, Arthrobacter and Pantoea to rapeseed plants enhanced the final crop yield by 21–44% even without P application under field conditions (Valetti et al. [2018\)](#page-50-0). Some of the PGPR strains possessed multiple beneficial activities, and their inoculation may cause a synergistic effect leading to enhanced crop production. For example, Bacillus strain M-3, Burkholderia strain OSU-7 and Pseudomonas strain BA-8 showed the capability of phosphorus solubilization along with production of IAA and cytokinin (Aslanta et al. [2007\)](#page-36-0). The improved nutrient status and production of plant hormones caused by inoculation of these PGPR strains increased the fruit yield by 73.7, 88.2 and 137.5%, respectively, in young apple trees. Similarly, inoculation of A. chroococcum and Bradyrhizobium sp., having the ability to solubilize insoluble phosphate along with secretion of IAA and production of siderophores, was found to stimulate growth of V. radiata (Ahmad et al. [2016\)](#page-35-0). In similar studies, inoculation of P. fluorescens strain N21.4, having the capability to produce siderophores and chitinases, to blackberry roots resulted in enhanced plant growth and number of fruits along with increase in promotion of total phenolics, flavonols and epicatechins/catechins metabolites (Garcia-Seco et al. [2015\)](#page-39-0). Thus, applications of diverse microbial inoculants may also enhance the product quality and promote the synthesis of functional secondary metabolites along with improvement in the productivity of agricultural crops.

Inoculation with filamentous fungi and mycorrhizal fungi has also been found to increase the growth and yield of different agriculture and horticultural crops. More pronounced growth-promoting effects were observed with inoculation of AM fungal inocula. However, the production of AM fungal inocula is produced only in symbiosis with plants, and thus massive culture production is carried out in association with transformed hairy roots (Gianinazzi and Vosátka [2004;](#page-39-0) Berruti et al. [2016\)](#page-37-0). In an interesting experiment, inoculation with a mixed AM fungal inocula caused a significant improvement in the fruit quality in terms of sweetness index to titratable acidity ratio, but no stimulatory effect on plant growth of tomato (in terms of fruit fresh weight and fruit number) was observed under field conditions (Bona et al. [2017\)](#page-37-0). In some cases, the native AM fungal community has been found equally effective to the exogenously introduced AM fungi in promoting crop growth under field conditions (Panja and Chaudhuri [2004](#page-44-0)). Native AM fungal inoculation was found to increase tomato and carrot yields by 26% and 300%, respectively, in a field experiment infected with nematodes (Affokpon et al. [2011\)](#page-35-0).

For obtaining better crop productivity, sometimes coinoculation of more than one group of microbes with different beneficial activities is recommended for practical purposes. Thus, coinoculation of rhizobia and PGPRs or AM fungi with rhizobia or different PGPRs may produce synergistic effects leading to improved plant growth and crop yield of legume crops under pot house or field conditions (Sindhu et al. [2002;](#page-47-0) Sivaramaiah et al. [2007](#page-48-0)). For example, combined inoculation of Bradyrhizobium spp. and A. brasilense significantly increased the soybean yield in comparison to single inoculation of Bradyrhizobium spp. in a field experiment (Hungria et al. [2015](#page-40-0)). Similarly, the application of rhizobia with cyanobacterium Anabaena laxa and Trichoderma sp. showed promotive effects on nodulation, nitrogen fixation and crop yield of pea, chickpea and lentil (Babu et al. [2015](#page-36-0)). In another study, 20% increase in wood yield of non-nodulating legume Schizolobium parahyba var. amazonicum was observed by coinoculation of AM fungi and PGPRs (Cely et al. [2016\)](#page-37-0). Thus, dual inoculation strategies have shown more stimulation of plant growth and increase in crop yield than single inoculation. However, appropriate synergistic combinations are the prerequisite for selection of effective microbial strains for a particular crop species (Goel et al. [2002;](#page-39-0) Sivaramaiah et al. [2007;](#page-48-0) Chaudhary and Sindhu [2016\)](#page-37-0). Besides these, the inoculation of different PGPR strains have been reported to improve the availability of nitrogen, phosphorus and potassium, and their growth-promoting effects on various crops are presented in Table [1.1.](#page-30-0)

In some cases, several constraints have been found to limit crop growth under field conditions in agricultural systems, and the inoculation of multifunctional PGPR strains does not improve root and shoot growth, yield and quality of agri-produce. Another factor is regarding screening of PGPR strains for beneficial traits. Growth conditions of microbes are generally different under laboratory and greenhouse conditions, which affect the survival and functioning of inoculated microorganisms under field conditions (Sindhu et al. [1995](#page-47-0); Sindhu and Dadarwal [2000\)](#page-47-0).

1.5 Engineering of Rhizosphere to Enhance Soil Fertility and Promotion of Plant Growth

Due to exponential increase in the world population, food production has to be enhanced from the available productive land by using genetic engineering technologies (Sharma et al. [2018a\)](#page-46-0). Thus, rhizosphere microbiome engineering has emerged as a novel approach, which aims to alter plant root exudation patterns in the rhizosphere for attracting beneficial microorganisms enabling the plants to achieve enhanced biomass and crop yield within limited resources (Bais et al. [2004;](#page-36-0) Ryan et al. [2009](#page-45-0); Sasse et al. [2018\)](#page-46-0). Moreover, the transfer and expression of specific genes cloned from beneficial microbes into transgenic plants may cause production/ excretion of specific nutritional compound leading to stimulation of beneficial microorganisms (Reddy et al. [2002;](#page-45-0) Marasco et al. [2012;](#page-42-0) Savka et al. [2013](#page-46-0); Mueller and Sachs [2015](#page-43-0)). Recently, manipulation of host plants, engineering of metabolic pathways and specific expression of microbial genes are the important strategies for rhizosphere modification, contributing towards enhanced crop productivity (Berendsen et al. [2012;](#page-36-0) Quiza et al. [2015;](#page-44-0) Reinhold-Hurek et al. [2015\)](#page-45-0).

1.5.1 Genetic Engineering of Plants for Enhancing Nutrient Uptake

Genetic engineering techniques have been successfully applied for enhancing particular gene expression in plants and/or increasing activities of various membrane

Table 1.1 Inoculation effect of PGPR as biofertilizers and their PGP activities **Table 1.1** Inoculation effect of PGPR as biofertilizers and their PGP activities transporter proteins, which are involved in absorbing soluble nutrients from the rhizosphere in several crop species. For example, nitrogen is adsorbed from the soil in the form of either NH_4 ⁺ or NO_3 ⁻ through root-specific transporters (Masclaux-Daubresse et al. [2010](#page-42-0)). Similarly, phosphate transporter (PT) proteins of crop plants are involved in absorption of soluble form of Pi (orthophosphate) from the soil (López-Arredondo et al. [2014](#page-41-0)). Potassium is another important nutrient for the growth of plants, and K^+ absorption from soils is carried out by using K^+ channels in plant roots (Ashley et al. [2006;](#page-36-0) Wang and Wu [2013](#page-50-0)). However, the complicated plant-microbe interactions, availability of nutrients in the soil and environmental conditions deserve more attention for the uptake of different nutrients.

Attempts have been made to develop self-fertilizing crops for nitrogen, but limited success is achieved mainly due to the inability of nitrogenase expression in the absence of an oxygen protection system in eukaryotes (Bohlool et al. [1992;](#page-37-0) Dixon et al. [1997\)](#page-38-0). However, nodule-like structures or pseudonodules were induced in wheat and rice crops with the help of lytic enzymes or hormones treatment, which showed nitrogenase activity and $15N₂$ incorporation (Cocking et al. 1994). But, the expression of nitrogenase activity was less than 1% of the value observed in legumes. Similarly, induction of 'para nodules' was observed on wheat by inoculation with rhizobia or $Azospirillum$, following treatment with the 2,4-dichlorophenoxyacetic acid herbicide or with indole acetic acid and naphthaleneacetic acid auxins (Tchan and Kennedy [1989](#page-49-0)). The low level of nitrogenase activity was found only after inoculation with Azospirillum, and there was little evidence for bacterial infection. Thus, further understanding of the signal-exchange mechanisms between plants and microorganisms is needed for achieving the expression of active nitrogenase in cereal crops (Dixon et al. [1997](#page-38-0); Shantharam and Mattoo [1997\)](#page-46-0).

Another approach involves plant breeding strategies to alter the genetic make-up of plants for creating preferred rhizosphere communities (O'Connell et al. [1996;](#page-43-0) Sindhu et al. [2018\)](#page-48-0). Plant genotypes could be screened among germplasms, which may restrict nodulation by ineffective indigenous rhizobia. Working on similar hypothesis, experiments were targeted for breeding of red clover with improved nitrogen fixation capability, and genotypes were constructed that maintained its superiority in nodulation against various R. leguminosarum bv. trifolii strains (Nutman [1984\)](#page-43-0). During symbiotic interaction of pea and R. leguminosarum, it was observed that specific compatibility between nodulation gene $nodX$ R. leguminosarum bv. viciae strain TOM and sym2 of Pisum sativum cv. Afghanistan prevented nodulation by indigenous rhizobia, and only the inoculant strains was permitted to make nodules. The genetic manipulations of pea cultivar (Trapper) by transfer of sym2 gene and nodX transfer in rhizobial strains were found to improve symbiotic parameters under field studies (Fobert et al. [1991\)](#page-38-0). Using a similar approach, symbiotic nitrogen fixation was improved in soybean-Bradyrhizobium japonicum symbiosis involving genetic modification of both micro- and macro-symbiont (Cregan et al. [1989;](#page-38-0) Sadowsky et al. [1991\)](#page-45-0). Thus, development of legume cultivars with broad-spectrum effectiveness for nodulation and nitrogen fixation may obviate the requirement for repeated inoculation of legumes (Brockwell and Bottomley [1995](#page-37-0)).

Another interesting approach involves construction of transgenic plants having enhanced H^+ efflux and release of organic acids from roots. For example, enhanced exudation of citric acid was obtained by transferring a bacterial citrate synthase gene in tobacco roots, which improved the phosphate availability to the plant roots (Lopez-Bucio et al. [2000\)](#page-41-0). Interestingly, enhanced leaf and fruit biomass were obtained by plantation of transgenic citrate-overproducing tobacco plants under P-limiting conditions. Similarly, alteration in the release of plant hormones, i.e. ethylene, may control root formation by regulating auxin transport within the root tip (Swarup et al. [2007\)](#page-49-0). In another study, the secretion of rosmarinic acid (a caffeic acid ester) was stimulated by infection of Pythium fungus on Ocimum basilicum (Bais et al. [2002\)](#page-36-0). Rosmarinic acid showed antimicrobial activity against pathogenic fungi in hairy root cultures to prevent further infection. In line with this, the infection of pathogenic bacterium P. syringae pv. tomato Pst DC 3000 on Arabidopsis was found to enhance colonization of beneficial B. subtilis strain FB17 (Rudrappa et al. [2008](#page-45-0)) that stimulated secretion of L-malic acid by roots. In another study, aphid attack at the root of Capsicum annum was reported to increase population of beneficial B. subtilis, which resulted into lowering of the pathogen Ralstonia solanacearum populations (Lee et al. [2012\)](#page-41-0). Thus, manipulation of the plant-microbe signalling in response to attack of pathogens or insects on plants may result in release of specific metabolites in root exudates that may selectively stimulate population of plant growth-promoting microbes.

1.5.2 Manipulation of Beneficial Microbes

Bioengineering of microbial communities also presents a unique opportunity for improving crop productivity (Berg et al. [2014](#page-36-0)). While hundreds of bacterial strains possessing many beneficial traits have been identified (Köberl et al. [2015;](#page-41-0) Sehrawat and Sindhu [2019\)](#page-46-0), engineering of a microbial community represents a significant challenge. Thus, successes have been achieved in transferring of nitrogen-fixation nifH gene from Paenibacillus to a plant growth-promoting Bacillus spp. (Kim and Timmusk [2013\)](#page-41-0). Similarly, microbes have been constructed which possessed the capability to produce high concentrations of plant hormones (Arkhipova et al. [2005\)](#page-36-0). Genes encoding antibiotics, siderophores or HCN may be transferred to other Bacillus species resulting into enhanced biocontrol activity against plant pathogens (Köberl et al. [2015](#page-41-0)).

Recently, considerable efforts have been made to improve the efficiency of biological nitrogen fixation in diazotrophic organisms to reduce our overall dependence on nitrogenous fertilizers (Dixon et al. [1997](#page-38-0); Sindhu and Dadarwal [2001b;](#page-47-0) Schmidt et al. [2017\)](#page-46-0). Considering the host-specific restriction of nodulation among Rhizobium-legume associations (Brewin [1991](#page-37-0)), attempts were made to extend the host range of rhizobia to other related bacteria. For example, symbiotic (sym) megaplasmid (pRme41b) of R. meliloti was transferred into A. tumefaciens

(Kondorosi et al. [1982\)](#page-41-0), and ineffective nodule-like deformations were induced by these transconjugates on alfalfa roots. Using a similar approach, protoplast fusion approach was used to construct strains between *Rhizobium* sp. Vigna and *Rhizobium* sp. Cicer (Sindhu and Dadarwal [1993](#page-47-0)). The recombinants developed effective nodules on green gram, but ineffective pseudonodules were formed on chickpea under sterilized chillum jar conditions. Addition of multiple copies of nifA gene from K. pneumoniae was found to confer increased nodulation competitiveness to the recombinant strains of R. meliloti (Sanjuan and Olivares [1991\)](#page-46-0). Similarly, mobilization of host-specific *nod*FEGHPQ genes of R. *meliloti* into R. leguminosarum bv. trifolii or bv. viciae caused nodule formation onto non-host alfalfa plants by these transconjugants (Putnoky and Kondorosi [1986](#page-44-0)). However, nodulation on normal hosts, i.e. white clover and vetch, was strongly inhibited by these transconjugants (Debelle et al. [1988;](#page-38-0) Faucher et al. [1989](#page-38-0)). In similar studies, transfer of nodulation gene nodZ was made to R. leguminosarum bv. viciae, and the transconjugates produced fucosylated Nod signals leading to formation of nodules even on Macroptilium (Lopez-Lara et al. [1996](#page-41-0)). These results suggested that substitutions or modifications of the nodulation genes of rhizobia may result in different decorations on nodulation (Nod) factors resulting in broadening of the host range of rhizobia.

The manipulation or transfer of nitrogen fixation (*nif*) genes of the nitrogenase enzyme complex in diazotrophic bacteria may further improve the N_2 fixation efficiency. Thus, increasing the NifA production (transcriptional activator of other nif genes) in diazotrophic bacteria could enhance the expression of the whole N2-fixing system (Szeto et al. [1990\)](#page-49-0). Using this approach, R. meliloti strains were constructed with enhanced *nifA* gene products, and their inoculation caused $7-15\%$ increase in alfalfa plant biomass in comparison to wild-type parent strain under greenhouse conditions (Williams et al. [1990](#page-50-0)). On similar observations, enhanced rates of nitrogen fixation were observed in some diazotrophs, i.e. Azotobacter vinelandii, Rhizobium phaseoli and Azorhizobium sesbania, due to possession of more than one copy of the nifH gene (encoding dinitrogenase reductase) (Quinto et al. [1985](#page-44-0); Jacobson et al. [1986](#page-40-0)). The multiple copies of nifH gene in these rhizobia could be the basis for enhanced nitrogen fixation due to increased turnover rate of electrons to MoFe protein. Dicarboxylic acid utilization provides energy for nitrogen fixation, and therefore recombinant strains of R . *meliloti* and B . *japonicum* showed 15% increase in the rate of N_2 fixation in comparison to wild-type strains (Ronson et al. [1990\)](#page-45-0). Mutations in hup genes (confers hydrogenase activity to recycle evolved hydrogen during nitrogen fixation) were performed in Hup⁺ B. japonicum strains (Merberg and Maier [1983\)](#page-42-0) or Rhizobium sp. strains (Sindhu and Dadarwal [1992\)](#page-47-0) for increasing the expression of hydrogenase activity. As expected, increased dry matter yields in green gram and black gram were obtained by the inoculation of the Rhizobium mutants having enhanced hydrogenase activity. However, sometimes the genetic manipulated strains may not perform effectively under field conditions. For example, increased rates of N_2 fixation were demonstrated by recombinant strains of R. meliloti and B. japonicum having increased expression of nifA and $dctA$ genes under lab conditions. But, increase in N_2 fixation or yield improvement

was not obtained by inoculation of the same constructs under the field conditions (Ronson et al. [1990](#page-45-0)).

Using recombinant DNA technology, attempts were made to improve phosphorus solubilization efficacy of phosphate-solubilizing bacteria by genetic transfer of genes (mps genes) involved in mineral phosphate solubilization (Rodriguez et al. [2006\)](#page-45-0). For example, transfer of a PQQ synthase gene from Erwinia herbicola was found to improve the mineral phosphate solubilization in PGPR strains (Rodrıguez et al. [2001\)](#page-45-0). The recombinant plasmid containing PQQ synthase gene from E. coli was transferred to PGPR strains of Burkholderia cepacia and P. aeruginosa. Production of larger clearing halo zones of tricalcium phosphate solubilization by several ex-conjugants indicated the heterologous expression of this gene in the recombinant PGPR strains. However, the expression of the mps genes in different hosts depended on genetic background of the recipient strain, the copy number of the indigenous plasmids and their metabolic interactions (Sindhu et al. [2009\)](#page-47-0). In another study, phosphate solubilization and crop yield of Medicago plants were enhanced by inoculation of S. meliloti strain, which was genetically manipulated to overexpress PhoT protein (phosphate uptake ABC transporter permease protein) and phoC protein (phosphate uptake ABC transporter ATP-binding protein) (Carmen and Roberto [2010\)](#page-37-0).

1.6 Future Prospects and Conclusion

Soil fertility and nutrient management are critical components to ensure food security for ever-increasing world population and for environmental sustainability (Alley and Vanlauwe [2009](#page-35-0); Zahedi [2016](#page-51-0); Kumar et al. [2017](#page-41-0)). However, the intensified agricultural patterns using high input of agrochemicals, i.e. fertilizers and pesticides, lead to environmental pollution and public health hazards along with huge economic loss. In sustainable agriculture approach, beneficial soil microorganisms have been characterized, and their inoculation caused an increase in soil fertility, suppression of the plant diseases and alleviation of biotic and abiotic stresses leading to growth enhancement and yield increase of various crops (Nyamangara et al. [2011;](#page-43-0) Etesami and Maheshwari [2018;](#page-38-0) Sindhu and Sharma [2020\)](#page-47-0). It is established that rhizobial strains isolated from soil receiving high input of nitrogenous fertilizers are not symbiotically effective as compared with those strains isolated from soil receiving the low N level (Peoples et al. [1995;](#page-44-0) Reid et al. [2011\)](#page-45-0). Similarly, high application of agrochemicals (e.g. phosphate, fungicide) adversely affected AM fungal colonization and functioning (Zhang et al. [2016](#page-51-0), [2018\)](#page-51-0). Recently, sequencing of a large number of microbial genomes and identification of specific genes provided a powerful tool to enhance the synthesis and release of PGP metabolites by the beneficial microbes (Bakker et al. [2012\)](#page-36-0). The gene transfer and gene modification using recombinant DNA technology are routinely used for increasing the efficiency of microbial inoculants in relation to nitrogen fixation; solubilization of mineral potassium, phosphate and zinc; improving production of hormones; or enhancing the synthesis/release of different organic acids in

the rhizosphere. However, different biotic and abiotic stress factors affect the survival of microbes along with detrimental effects on the functioning of genes involved in plant growth promotion. Therefore, understanding of the plant-microbe signalling, modification of rhizosphere population, microbial diversity, root colonization ability, mechanisms of action, formulation of inoculants and their application under field conditions are the major challenges for eco-friendly sustainable agricultural systems (Sehrawat et al. [2020](#page-46-0)). Furthermore, the use of climate-smart farming techniques and engineering of crop plants for better nutrient efficiency and disease control may contribute towards solving critical problems for managing sustainable environmental system for food security.

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Role of Plant Growth-Promoting Rhizobacteria in Combating Abiotic and Biotic Stresses in Plants

Muhammad Zahid Mumtaz, Maqshoof Ahmad, Khadija Mehmood, Ahsan Sattar Sheikh, Arif Malik, Azhar Hussain, Sajid Mehmood Nadeem, and Zahir Ahmad Zahir

Abstract

Global climate change accelerates the coincidence of a variety of abiotic stresses, viz., salinity, drought, flooding, high and low temperature, and biotic stresses, viz. phytopathogens which degrade agricultural productivity. In such circumstances, plant growth-promoting rhizobacteria (PGPR) are eco-friendly and sustainable candidates to combat these stresses. Several PGPR with the ability to support plant growth under various stressed conditions have been commercialized. The current chapter is mainly restricted to beneficial effects of PGPR on plant growth and development under environmental and biotic stresses. It begins with the description of various abiotic and biotic stress factors affecting plant growth and their tolerance achieved by both physiological and molecular mechanisms of adaptation. The use of PGPR helps ameliorate these stresses in rhizosphere by using several mechanisms and has beneficial effects on plant growth after efficiently colonizing the root surface. Plant growth stimulation through PGPR is the net result of multiple mechanisms of action that may be activated simultaneously. Such bacteria are more likely to be used for stress

S. M. Nadeem

Z. A. Zahir

M. Z. Mumtaz · A. S. Sheikh · A. Malik

Institute of Molecular Biology and Biotechnology, The University of Lahore, Lahore, Pakistan

M. Ahmad $(\boxtimes) \cdot K$. Mehmood $\cdot A$. Hussain Department of Soil Science, The Islamia University of Bahawalpur, Bahawalpur, Pakistan

University of Agriculture Faisalabad, Sub-Campus Burewala-Vehari, Burewala, Vehari, Punjab, Pakistan

Institute of Soil and Environmental Sciences, University of Agriculture Faisalabad, Faisalabad, Punjab, Pakistan

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tolerance to fulfill the need for food production under extreme environmental conditions. The bacterial inoculants also enhance nutrient uptake and crop growth. They are also involved in biocontrol so they may be good supplements to chemical fertilizers and agrochemicals. This chapter discusses the potential and key mechanisms used by PGPR under stress conditions for sustainable agricultural productivity followed by their prospects.

Keywords

ACC-deaminase activity \cdot Beneficial bacteria \cdot Environmental sustainability \cdot Integrated stress · Phytohormones · Plant tolerance

2.1 Introduction

Global warming leads to abiotic and biotic stresses that are affecting crop productivity all over the globe. Abiotic stresses include salinity, drought, flooding, heavy metals, heat, and cold stress, while biotic stresses are caused by the attack of insects, pests, and microbial infection. Such abiotic and biotic stresses are collectively known as integrated stresses (Flynn [2003](#page-98-0); Brown et al. [2017\)](#page-96-0). Abiotic stresses are also known as environmental stresses that drastically reduce plant growth by disrupting metabolic and biochemical attributes of a plant (Suzuki et al. [2014\)](#page-110-0). Abiotic stresses induce plant responses through modification in cellular metabolism, reduction in plant growth, and modulation in biochemical attributes (Singh [2015\)](#page-109-0). Many studies have illustrated the effect of selective abiotic stresses such as salinity (Mumtaz et al. [2018;](#page-105-0) Sapre et al. [2021](#page-108-0)), heavy metals (Thakare et al. [2021\)](#page-110-0), drought (Mumtaz et al. [2020](#page-105-0); Ansari et al. [2021\)](#page-94-0), flooding (Bal and Adhya [2021](#page-95-0)), heat (Sarkar et al. [2021](#page-108-0)), and cold (Vega-Celedón et al. [2021](#page-111-0)) on the growth of different plants.

Microorganisms can be handy in mitigation of abiotic and biotic stresses. Beneficial bacteria which constitute up to 2–5% of the total bacterial population in soil are beneficial for plant growth even under adverse environments (Nazli et al. [2020\)](#page-105-0). Millions of microorganisms colonizing the rhizosphere form a complex biological community with a plant root system and stimulate plant growth through their metabolic activities (Schmidt et al. [2014;](#page-108-0) Zvinavashe et al. [2021](#page-113-0)). Plant growth promoting bacteria (PGPR) improve plant nutrient availability and help in sustained crop yields (Hayat et al. [2010](#page-100-0)) through efficient nutrient solubilization and mobilization, providing biocontrol against phytopathogens, improving soil structure and quality by sequestrating toxic salt ions, and even degrading various xenobiotic compounds (Braud et al. [2009;](#page-96-0) Koskey et al. [2021\)](#page-102-0). The application of such inoculants is a promising strategy for the amelioration of various stresses and improving plant health (Ahmad et al. [2013a\)](#page-93-0). Bioaugmentation of PGPR modulates environmental stresses through direct and indirect mechanisms that induce systemic tolerance (Yang et al. [2009](#page-112-0)). Production of phytohormones and 1-amino-cyclopropane-1-carboxylic acid (ACC) deaminase activity by various PGPR has a crucial role in plant growth under environmental as well as biotic stresses. It activates the

radicular system and promotes root elongation through the development of apical meristem and lateral roots, thus improving plant access to soil nutrients (Vessey [2003;](#page-111-0) Liu et al. [2021\)](#page-103-0). In the current chapter, we have discussed the status of various abiotic and biotic stresses and their effects on plant growth. We have also discussed the potential of PGPR for remediation of these stresses. Abiotic stress induced by salt, drought, flooding, heat, and cold factors and biotic stress due to fungal, bacterial, and nematode pathogen have been reviewed. Various direct and indirect mechanisms used by PGPR for amelioration of these stresses have also been discussed.

2.2 Plant Responses to Stress

Plant stress is a condition in which plants are developed in non-ideal circumstances for their growth. It could be due to various external biological and non-biological factors that lead to a direct effect on plant growth. Biological stresses are also known as biotic stress, observed on plants due to plant interactions with pathogenic bacteria, fungi, virus, nematodes, weeds, and/or insects. Non-biological stress could be due to physical factors such as deficiency or toxicity of nutrients, high or low temperature, limited water availability or excess of water conditions, etc. (McKersie and Lesheim [1994\)](#page-104-0). Non-biological factors result in non-favorable conditions to plants and enforce them under a state of stresses known as abiotic or environmental stresses. Mostly, abiotic stresses have a huge impact on plants by causing up to a 50% reduction in growth. Both biotic and abiotic stresses could affect plant growth for short or long periods depending on the exposure level (Mosa et al. [2017\)](#page-105-0). Plants are exposed to abiotic and biotic stress factors, collectively called stressors that deviate plant's optimal growth conditions. Stressors damage plant growth up to certain tolerance limits and, after that, may cause death. Stressors are interconnected to each other and affect plants by causing osmotic stress, ion imbalance, disrupting plant metabolism, production of ethylene, reactive oxygen species (ROS), and cell homeostasis. Many groups of genes have also shown changes in their expression patterns due to various stressors (Mittler [2006](#page-105-0); Ramegowda et al. [2020;](#page-107-0) Kusale et al. [2021;](#page-103-0) Sofy et al. [2021\)](#page-109-0).

Plants use a stress-sensing mechanism that depends on plant species and type of stress. Plant response to stress can be categorized into alarming, resistance, exhaustion, and regeneration (Mosa et al. [2017](#page-105-0)). In initial stress exposure, distinguishable changes from optimal plant growth in terms of functional deviation, declining vitality, and increase in catabolism take place. If stressors continue, these affect plant growth and productivity, and plant responds through stress adaptation, repair, and hardening processes. To minimize damage caused by various stresses, plant activates a complex signaling cascade of defense and specific ion channels; generates ROS and phytohormones, and expresses various stress-related genes that improve plant stress tolerance (Ramegowda et al. [2020](#page-107-0); Tanveer and Ahmed [2020;](#page-110-0) Salvi et al. [2021\)](#page-108-0). Every plant species has a certain limit for resistance against stresses that cannot be extended through external modification. Further increase in stress dosage beyond the plant resistance will cause loss of vitality, severe cell damage, and plant death (Mosa et al. [2017](#page-105-0)). Before complete senescence, plants can regenerate their physiological standards that allow plants to survive under various stresses. However, if stressors cause permanent damage, then it will not recover. A plant can survive under stress conditions but with limited growth and reproduction ability. These responses usually involve physiological-, biochemical-, and molecular-level actions (Kranner et al. [2010;](#page-102-0) Brunetti et al. [2019;](#page-96-0) Ansari et al. [2021\)](#page-94-0). Plant response to stress can be modified/improved through exogenous application of various chemicals, phytohormones, and/or by modification of growing conditions. Rhizosphere microbiome engineering has also been studied as an eco-friendly, economical, and sustainable approach by the soil microbiologists.

2.3 Strategies for Stress Amelioration

Several strategies have been proposed by plant scientists to ameliorate stress. These strategies are comprehensively reviewed and available in literature (Glick et al. [2007;](#page-99-0) Saharan and Nehra [2011;](#page-108-0) Nadeem et al. [2014](#page-105-0)). Certain chemicals are used to reduce the impact of stresses on plant growth. These chemicals can reduce the negative impact of ethylene on plant growth by inhibiting its excess production under stress. Important chemicals reported in the literature are silver ions (Ag⁺), cobalt ions (Co^{2+}) , and aminoethoxyvinylglycine (AVG) which improve plant growth by suppressing ethylene production (Coupland and Jackson [1991](#page-96-0); Mckeon et al. [1995](#page-104-0); Kim and Mulkey [1997\)](#page-102-0). Savvides et al. ([2016\)](#page-108-0) reported that plant priming with chemical agents, hydrogen peroxide, melatonin, polyamines, sodium hydrosulfide, and sodium nitroprusside, can improve multiple-stress tolerance. Priming with $γ$ -amino butyric acid and $β$ -amino butyric acid also induces promising tolerance in plants against abiotic stresses (Vijayakumari et al. [2016](#page-111-0)). Soaking seed with these priming agents shows greater stress tolerance by improving metabolic events and shows early seed germination and seedling emergence as compared to non-primed seeds (Ashraf et al. [2018](#page-94-0)). These chemicals also improve antioxidative enzymes, proline, malondialdehyde, and improve stress-responsive proteins (Thakur et al. [2019](#page-110-0); Buttar et al. [2020](#page-96-0)).

The use of chemicals in agriculture is, however, expensive and deteriorates the plant, soil, and human health (Dodd et al. [2004;](#page-97-0) Pahalvi et al. [2021](#page-106-0)). These chemicals can increase crop yield but reduce farm profitability. Scientists have also raised certain environmental issues due to the persistence and toxicity of these chemicals for the natural habitat (Ahmadi et al. [2009](#page-93-0)). The use of osmoprotectants and potassium to reduce the negative effects has also been reported. However, the use of chemicals cannot reduce the negative effects of ion toxicity caused by salinity or root desiccation under osmotic stress. Although plants employ some specific mechanisms to cope with stresses, the presence of beneficial microbes can be helpful to combat the negative effects of stresses on plant growth. Rhizosphere engineering by using PGPR can therefore be exploited as a useful strategy to ameliorate the negative effects on plant growth. It could be the most important approach to remediate multiple stresses for sustainable agriculture. Recently, such beneficial bacteria have been acknowledged by scientists for their capability to improve crop productivity as well as raise stress tolerance in various crops (Goyal et al. [2019;](#page-99-0) Njeru and Koskey [2021](#page-106-0)).

2.4 Role of Plant Growth-Promoting Rhizobacteria to Combat Stresses in Plants

Plants are associated with soil microorganisms in a symbiotic relationship; thus there is a strong plant-microbe interaction in the rhizosphere. Such symbiotic free-living soil microorganisms inhabiting the rhizosphere of many plant species having diverse beneficial effects on host plants are known as PGPR. Their interactions with plants are commercially explored and have biotechnological applications for sustainable agriculture to improve plant health in an eco-friendly manner (Gonzalez et al. [2015;](#page-99-0) Gouda et al. [2018](#page-99-0)). Biological activities of soil microbiome in the ecosystem make it vigorous for sustainable agriculture production. The PGPR dwell in plant roots and improve plant growth through various mechanisms including nitrogen (N) fixation (Kuan et al. [2016](#page-102-0); Aasfar et al. [2021\)](#page-92-0), solubilization of phosphorus (P) (Selvakumar et al. [2009;](#page-109-0) Kusale et al. [2021](#page-103-0)); potassium (K) (Ahmad et al. [2016](#page-93-0)) and zinc (Zn) solubilization (Mumtaz et al. [2017,](#page-105-0) [2019\)](#page-105-0); production of siderophores (Gouda et al. [2018](#page-99-0)); ACC-deaminase (Misra et al. [2017](#page-104-0); Ansari et al. [2021;](#page-94-0) Vega-Celedón et al. [2021](#page-111-0)); and phytohormones, e.g. indole-3-acetic acid (IAA) (Kim et al. [2017;](#page-102-0) Khan et al. [2020a,](#page-102-0) [b;](#page-102-0) Kusale et al. [2021](#page-103-0)), cytokinins (CK) (Liu et al. [2013;](#page-103-0) Ilyas et al. [2020](#page-100-0)), gibberellins (GA) (Gutiérrez-Mañero et al. [2001;](#page-99-0) Khan et al. [2020a](#page-102-0), [b\)](#page-102-0), abscisic acid (ABA) (Belimov et al. [2014;](#page-95-0) Kang et al. [2019](#page-101-0)), hydrogen cyanide (HCN) (Kremer and Souissi [2001\)](#page-102-0), and antibiotics and/or lytic enzymes (Gouda et al. [2018;](#page-99-0) Migunova and Sasanelli [2021\)](#page-104-0). They also possess more specific plant growth-promoting (PGP) traits that can ameliorate/reduce environmental stresses such as heavy metals, salinity, and drought stress and biological control of phytopathogens (Egamberdieva and Lugtenberg [2014\)](#page-97-0). Such PGPR are classified into two main types: (1) the extracellular PGPR that can colonize the rhizoplane and (2) intracellular PGPR that colonize inside the root cortex or nodule cells (Bhattacharyya and Jha [2012](#page-95-0)).

The PGPR possess several PGP characteristics that are involved in enhancing plant physiology under normal as well as stress conditions through various mechanisms (Ahmad et al. [2011](#page-93-0); Zakry et al. [2012;](#page-112-0) Asghari et al. [2020](#page-94-0)). They produce volatile organic compounds (VOCs) and enzymes for biocontrol of phytopathogens and neutralize abiotic and biotic stresses. However, different PGPR have different modes of action which differ under certain circumstances according to their host plant, abiotic and biotic factors, plant defense and developmental stages, and presence of competition for microflora (Garcia et al. [2015](#page-98-0)). They can support plant growth and development through enhancing nutrient availability by nitrogen fixation, solubilization of minerals, mineralization of nutrients, and production of phytohormones and siderophores (Bhardwaj et al. [2014](#page-95-0)). Such mechanisms improve plant growth directly and may contribute according to the ability of microbial strains. In the presence of PGPR, improved individual ion fluxes at the root surface enrich nutrient uptake (Gouda et al. [2018](#page-99-0)). The PGPR may

indirectly improve plant growth and development by producing suppressive compounds that prevent the phytopathogen attack on plants (Singh and Jha [2015;](#page-109-0) Migunova and Sasanelli [2021\)](#page-104-0). This process actively helps plants grow under biotic stresses (Akhgar et al. [2014](#page-93-0)). In this mechanism, PGPR contribute through the production of chitinases, cellulases, proteases, and other hydrolytic enzymes and production of antibiotics, siderophores, VOCs, exopolysaccharides (EPS), and induced systemic resistance (ISR) in response to a plant pathogen (Gupta et al. [2015\)](#page-99-0). The occurrence of abiotic and biotic stresses drastically affects crop productivity over the globe. So, there is a need to explore unique PGP characteristics of soil microbiome under extreme environmental conditions, their relations with crop plants, genetic diversity, and successful application methods for increased agriculture production (Grover et al. [2011\)](#page-99-0).

2.4.1 Abiotic Stress

External conditions of either physical or biological nature that unfavorably disturb plant growth are known as stresses. Physical stresses, viz., salinity, drought, waterlogging, heat, cold and heavy metal contamination, etc., are termed as abiotic stresses (Brown et al. [2017](#page-96-0)), while biological agents like microbial and insect and pest attacks are known as biotic stresses (Flynn [2003\)](#page-98-0). According to an estimate, between 2003 and 2013, 78 types of natural disasters caused 140 billion dollars worth of losses in developing countries (FAO [2015](#page-98-0)). Abiotic stresses impose their hazardous effects on plant growth by altering the metabolic, biochemical, and physiological attributes of plant growth (Suzuki et al. [2014](#page-110-0); Sapre et al. [2021\)](#page-108-0). Abiotic stresses initiate plant responses in terms of cellular metabolism, changes in growth and yield attributes, modulation in metabolites and biochemical attributes, and alteration in gene expression (Singh [2015](#page-109-0); Sofy et al. [2021](#page-109-0)).

Abiotic stresses impair photosynthetic machinery in plants such as photosystem I and II, stomatal conductance, carbon (C) fixation, electron transport, and ATP-generating system (Nouri et al. [2015](#page-106-0); Chatterjee et al. [2020;](#page-96-0) Sharma et al. [2020\)](#page-109-0). These may modify the structure of chloroplasts and thylakoid complexes, cause changes in metabolites concentration, and generate ROS (Gan et al. [2019\)](#page-98-0). For example, salinity stress causes loss of photosynthetic pigments and stomatal closure due to reduced nutrient uptake (Stepien and Kłbus [2006\)](#page-109-0). It also reduces the carbon dioxide $(CO₂)$ availability and carbon (C) fixation that generate ROS, thus causing cellular damage through oxidation of lipids, proteins, and nucleic acids (Lopez-Raez [2016\)](#page-103-0). Abiotic stresses adversely affect plant growth by decreasing water availability that causes an imbalance between enzymatic and non-enzymatic reactions taking place in chloroplasts, mitochondria, and electron transport reactions (Koyro et al. [2012;](#page-102-0) Adak et al. [2020\)](#page-92-0). Various crop plants differ in their ability to withstand most of the abiotic stresses. The plant tolerates abiotic stresses through genetic and physiological adaptive mechanisms and interacts symbiotically with endophytic and rhizospheric bacteria. Many studies have demonstrated genetic adaptation to abiotic stresses including molecular mechanism, identification of overexpressed genes, and development of stress-tolerant transgenic plants (Zang et al. [2017;](#page-112-0) Datir et al. [2019;](#page-97-0) Woo et al. [2020\)](#page-111-0). Several studies have also reported plant-microbe interactions in abiotic stress tolerance. Pre-treatment of plant seed or seedlings with PGPR can improve plant survival during abiotic stresses which are being discussed in subsequent sections for each abiotic stress.

2.4.1.1 Salt Stress

Agriculture is moving into regions with lower rainfall and saline soil that occupy 6% of the world's land area due to the increased need for food production (Munns [2005\)](#page-105-0). High salinity is one of the major abiotic factors that adversely affect crop growth, development, and productivity (Mumtaz et al. [2018\)](#page-105-0). It converts fertile fields to marginal lands and causes desertification. Soil salinization is the buildup of dissolved salts in agricultural soils over a prolonged time. It may arise due to weathering of rocks and minerals, precipitation, wind-borne salts, the influx of seawater, and cultivation operations, viz. unnecessary irrigation, land clearing, and insufficient drainage. The dryland salinity originated due to salt deposition in the topsoil through water evaporation (Rengasamy [2002,](#page-107-0) [2010;](#page-107-0) Pitman and Läuchli [2002;](#page-107-0) Shrivastava and Kumar [2015](#page-109-0)). Salinity effects are more harmful under the raised groundwater table, arid, and coastal areas, and only salt-tolerant plants called halophytes can grow (Rengasamy [2002;](#page-107-0) Flowers and Colmer [2008](#page-98-0)). The salinitysensitive plants called glycophytes eventually die as their physiological and biochemical attributes are susceptible to salt-induced stress (Hajiboland et al. [2018](#page-100-0)).

Salinity stress hinders crop production by causing various physiological and metabolic changes. It suppresses plant growth through osmotic stress and ion toxicity (Rozema and Flowers [2008](#page-108-0); Rahnama et al. [2010](#page-107-0)). Osmotic stress due to higher salt buildup in soil and plants causes a reduction in water absorption ability, accelerated water loss from leaves, and various physiological changes, viz. nutrient imbalance, membrane interruption, inability to reduce ROS, and alteration in antioxidant enzymes (Munns and Tester [2008;](#page-105-0) Rahnama et al. [2010;](#page-107-0) Sagar et al. [2020\)](#page-108-0). Salinity causes accumulation of sodium $(Na⁺)$ and chloride (Cl⁻) ions in plant tissues that cause severe ion imbalance and physiological disorders. Accumulation of higher $Na⁺$ contents retard the uptake of potassium ions $(K⁺)$ that is necessary for plant growth and development and may cause plant death (James et al. [2011](#page-101-0)). Due to salt stress, oxidative stress elevates the production of ROS which leads to oxidative damages in proteins, lipids, and DNA and interrupts vital cellular functions of plants (Xie et al. [2014](#page-112-0); Kusale et al. [2021](#page-103-0)).

Plants tolerate high salt stress by developing various physiological adaptations $viz.$ ion homeostasis, transport, and compartmentalization, synthesis osmoprotectants, compatible solutes, polyamines, nitric oxide, and activation of antioxidant enzymes and hormone modulation (Gupta and Huang [2014;](#page-99-0) Dubey and Verma [2019](#page-97-0); Sofy et al. [2021](#page-109-0)). There is a need to apply an attenuated approach to remediate the effects of salt stress on crops. Due to reduced agricultural production under salinity stress, the production of salinity-resistant crops is a desirable scientific achievement. For successful saline soil reclamation, effective soil management practices are needed (Munns and Tester [2008\)](#page-105-0). The sustainable management practices to facilitate future agriculture production provide more support regarding

crop production. Various plant growth-promoting microorganisms (PGPM) play a beneficial role to promote agricultural productivity in an ecofriendly manner (Rodriguez and Redman [2008\)](#page-108-0). Such PGPM associated with the stressed plant augment to adapt their microenvironments (Paul and Lade [2014](#page-106-0)). Various crops under salinity-stressed conditions respond to PGPM that improves plant growth. Such biotechnology can help to develop salinity-tolerant technologies for growing crops. Augmented PGPR colonizes plant's rhizospheric soil and alleviates stress in crops. This remediation strategy could be successful due to a wide range of salinitytolerant microbes, their associated interactions with plants, potential PGP metabolites, and prospective application methods.

The PGPR have been investigated under salt-induced stress for their role in improving the efficiency of photosynthesis, ion homeostasis, and plant-water relations regulated by a signaling network that mitigates the stress (Wang et al. [2016;](#page-111-0) Mahadik and Kumudini [2020;](#page-103-0) Taj and Challabathula [2021](#page-110-0)). They optimize water uptake and stomatal opening by improving the transpiration rate and hydraulic conductivity. Marulanda et al. ([2010\)](#page-104-0) reported that inoculation with Bacillus *megaterium* improved root hydraulic conductivity in maize under 2.59 dS m^{-1} salt stress that correlated with increased ZmPIP expression. Arabidopsis thaliana treated with Bacillus subtilis encourages proline production with the expression of proBA genes that conferred salt tolerance to withstand the initial osmotic shocks (Chen et al. [2007\)](#page-96-0). In another study, Bacillus amyloliquefaciens strain SN13 inoculated in rice augmented salt stress of 200 mM NaCl through upregulation of stress-related genes (Nautiyal et al. [2013\)](#page-105-0). Upadhyay and Singh ([2015\)](#page-111-0) reported that inoculation with Bacillus aquimaris promoted total sugar contents in wheat that related to increased NPK and biomass accumulation and reduction of Na⁺ in leaves. del Amor and Cuadra-Crespo ([2012\)](#page-97-0) reported that pepper plants inoculated with Azospirillum brasilense and Pantoea dispersa improved salinity resistance in pepper and recorded higher plant dry matter correlated with enhanced stomatal conductance and photosynthetic rate. The PGPR induces accumulation of osmoprotectants and compatible solutes, viz., glycine, proline, and trehalose having more potency than their linked host plants under salt stress (Arif and Ghoul [2018](#page-94-0); Taj and Challabathula [2021\)](#page-110-0). These compatible solutes are absorbed by plant roots that maintain osmotic balance. The bean crop overexpressed trehalose-6-phosphate gene when co-inoculated by Paenibacillus polymyxa and Rhizobium tropici that promoted nodulation and N accumulation. The upregulation of stress tolerance genes through R . tropici and P. polymyxa induced salinity tolerance in beans (Figueiredo et al. [2008\)](#page-98-0).

The PGPR trap cations in their EPS matrix and limit salt uptake by plants that improve their root structure and regulate ion affinity transporter's expression. They relieve nutrient imbalance by reducing Na⁺ and Cl influx and increased exchange of macronutrients and micronutrients through mineralizing the nutrients, lowering rhizospheric pH, and producing siderophores (Nadeem et al. [2016](#page-105-0); Etesami and Alikhani [2019;](#page-98-0) Ji et al. [2020\)](#page-101-0). They maintain ion homeostasis by boosting activity of K^+ transporters, improving K^+ :Na⁺ ratios, decreasing Na⁺ and Cl⁻ buildup, and increasing Na⁺ exclusion. Maize inoculation with IAA-producing Azotobacter strains C5 and C9 showed improved $Na⁺$ exclusion and $K⁺$ uptake (Rojas-Tapias

et al. [2012\)](#page-108-0). Under 200 mM NaCl stress, Puccinellia tenuiflora inoculated with B. subtilis strain GB03 exhibited reduced Na⁺ buildup (Niu et al. [2016\)](#page-106-0). PGPR produce exogenous phytohormones and enzymes that may help ameliorate salt stress. During salinity stress, plants also synthesize phytohormones in the response of plant-microbe relations (Dodd et al. [2010](#page-97-0); Kusale et al. [2021\)](#page-103-0).

Several PGPR can produce phytohormones, viz., IAA, CK, GA, and ABA, proliferate roots hairs, and enhance uptake of water and nutrients under salt stress. Salinity stress reduces the endogenous IAA and ABA levels in plants which can be maintained at their normal level through PGPR inoculation. For example, B. amyloliquefaciens strain SQR9 stimulated ABA production of inoculated plants under salinity stress (Chen et al. [2016\)](#page-96-0). Similarly, Kim et al. ([2014\)](#page-102-0) inoculated Enterobacter sp. strain EJ01 in Arabidopsis thaliana under salt stress and reported increased expression of salt stress-responsive genes. They also reported that strain EJ01-inoculated plant showed increase in ROS scavenging activities through production of ascorbate peroxidase (APX) under salinity stress. PGPR produce CK under salt stress; however their role in salt stress tolerance is still under question (Arkhipova et al. [2005\)](#page-94-0). Egamberdieva ([2009\)](#page-97-0) inoculated wheat with CK-producing Pseudomonas strains TSAU22, TSAU6, and TSAU20 that enhanced wheat growth under salt stress. PGPR modulate ABA biosynthesis under salinity stress and support plant growth. In another study, Dietzia natronolimnaea strain STR1 induced salttolerance in wheat by modulating ABA signaling cascade and upregulation of salt stress-induced gene. These strains contributed to antioxidant enzymes and proline content gene expression that enhanced salt tolerance (Bharti et al. [2016\)](#page-95-0). Cucumber inoculation with strains of Burkholderia, Promicromonospora, and Acinetobacter showed a significant increase in biomass, water potential, and decreased electrolyte leakage at 120 mM NaCl stress. These strains showed downregulation of ABA and increased levels of salicylic acid and GA contents in inoculated plant (Kang et al. [2014\)](#page-101-0). Similarly, improvement in maize growth has also been reported on compacted saline-sodic soil through seed inoculation with Pseudomonas syringae and Pseudomonas fluorescens (Zafar-ul-Hye et al. [2018](#page-112-0)).

Under salinity stress, ethylene levels increase, which causes inhibition in auxin transcription and constrain plant growth. PGPR produce ACC-deaminase that inhibit the biosynthesis of ethylene in plants. Soil bacteria produce ACC-deaminase enzyme that converts ACC to ammonia and a-ketobutyrate and enhance salt tolerance and promote plant growth (Glick et al. [2007](#page-99-0)). Tomato seedlings inoculated with Pseudomonas putida strain UW4 grown under 90 mM NaCl stress showed increased shoot growth due to the expression of upregulated Toc GTPase that import stress response proteins (Yan et al. [2014\)](#page-112-0). Okra inoculation with Enterobacter sp. strain UPMR18 ameliorated salt stress due to escalating antioxidant activities (Habib et al. [2016\)](#page-100-0). Nadeem et al. ([2009\)](#page-105-0) reported salt tolerance in maize due to co-inoculation of ACC-deaminase-producing Pseudomonas and Enterobacter spp. The ACC-deaminase- and IAA-producing PGPR can ameliorate the negative effects of salt stress effectively. The accumulation of IAA stimulates the ACC synthase genes, resulting in a higher ACC amount that leads to ethylene production. The ACCdeaminase-producing PGPR break down the excess ACC and lower the toxic level

of ethylene, and simultaneously IAA production promotes plant growth (Glick [2012;](#page-99-0) Ahmad et al. [2013b\)](#page-93-0). In another study, the combined use of ACC-deaminasecontaining PGPR strains Agrobacterium fabrum and B. amyloliquefaciens along with biochar improved wheat productivity under drought-stressed conditions (Danish and Zafar-ul-Hye [2019;](#page-97-0) Zafar-ul-Hye et al. [2019](#page-112-0)).

The IAA- and ACC-deaminase-producing Arthrobacter sp. and Bacillus sp. inoculation promoted proline contents under osmotic stress and revealed downregulation of stress-inducible genes in sweet pepper (Sziderics et al. [2007\)](#page-110-0). In another study, Panwar et al. ([2016\)](#page-106-0) inoculated IAA- and ACC-deaminase-producing P. dispersa strain PSB3 in chickpea under 150 mM NaCl stress and reported significant improvement in plant biomass and grain yield attributes due to reduced $Na⁺$ uptake, electrolyte leakage, and enhanced $K⁺$ uptake. The extracellular secretions from PGPR could also manipulate signaling pathways and alleviate salt stress (Bhattacharyya et al. [2015](#page-95-0); Zhou et al. [2016](#page-113-0); Kumawat et al. [2020\)](#page-103-0). PGPR produce EPS responsible for bacterial attachment to soil particles. EPS improves soil structure and water-holding capacity by binding soil particles (Upadhyay et al. [2011\)](#page-111-0). Qurashi and Sabri ([2012\)](#page-107-0) inoculated chickpea with Halomonas variabilis strain HT1 and Planococcus rifietoensis strain RT4 and reported salt tolerance by improving plant growth and soil aggregation. P. polymyxa ANM59 and Paenibacillus sp. ANM76 have also been reported as potential bioinoculants to improve abiotic stress tolerance of crop plants (Ahmad et al. [2019a](#page-93-0)). The mechanisms of action adopted by PGPR to mitigate salt stress are summarised in Fig. [2.1](#page-62-0) and Table [2.1](#page-63-0).

2.4.1.2 Drought Stress

Drought is a condition of an uncommon drop in soil moisture content due to a prolonged period of low rainfall. It is the utmost devastating abiotic stress that restricts crop growth and productivity worldwide. The arable lands affected by drought have doubled during the period from 1970 to 2000 and is expected to increase further by 2050, posing serious threat to crop productivity (Vinocur and Altman [2005](#page-111-0)). In the last four decades, drought caused reduction in cereal yield by up to 10% and is estimated to affect crop production in over 50% of cultivated land by 2050 (Lesk et al. [2016\)](#page-103-0). Drought may be of moderate, short, extremely severe, and prolonged duration (Bottner et al. [1995](#page-95-0)). It causes a reduction in plant growth by altering physiological traits of a plant (Rahdari and Hoseini [2012;](#page-107-0) Mumtaz et al. [2020\)](#page-105-0). Growth reduction due to drought stress has been described in cereal crops such as barley (Hellal et al. [2018\)](#page-100-0), maize (Avramova et al. [2015](#page-94-0)), rice (Mumtaz et al. [2020](#page-105-0)), wheat (Kosová et al. [2016\)](#page-102-0), etc. Among growth attributes, drought commonly causes a reduction in water content and fresh weight of plants (Jaleel et al. [2009;](#page-101-0) Meenakshi et al. [2019\)](#page-104-0). Due to limited water availability, drought causes a reduction in nutrient availability and transportation (Selvakumar et al. [2012\)](#page-109-0). Free radicals induced under drought stress cause oxidative stress that affects antioxidant defense and ROS production. The ROS generation damages lipid peroxidation and degradation of membrane, protein, and lipids in plants (Hendry [2005;](#page-100-0) Chiappero et al. [2019\)](#page-96-0). Drought stress causes a reduction in chlorophyll content,

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Fig. 2.1 Proposed salt-tolerance mechanisms mediated by PGPR. Rhizobacteria colonize the root surface and produce extracellular polysaccharides to ameliorate salinity stress. They manipulate phytohormones level and impro Fig. 2.1 Proposed salt-tolerance mechanisms mediated by PGPR. Rhizobacteria colonize the root surface and produce extracellular polysaccharides to ameliorate salinity stress. They manipulate phytohormones level and improve nutritional balance and photosynthetic activity through various physiological and biochemical processes

PGPR	Plants	Findings	References
Salt stress			
Klebsiella variicola (SURYA6)	Triticum aestivum	This strain showed a potential to ameliorate salinity stress by producing various metabolites including phytase, organic acid, P solubilization, siderophore, IAA, ACC-deaminase, SOD, CAT, and GPX	Kusale et al. (2021)
Pseudomonas azotoformans (CHB 1107)	Solanum lycopersicum	This strain possesses ACC-deaminase gene and showed a potential to ameliorate saline stress by increasing shoot and root dry weights and K ⁺ /Na ⁺ ratio and reducing Na ⁺ uptake in tomato plants	Liu et al. (2021)
Acinetobacter bereziniae (IG 2), <i>Enterobacter</i> ludwigii (IG 10), Alcaligenes faecalis (IG 27)	Pisum sativum	Application of these strains ameliorated salt stress by modulated chlorophyll and proline contents, total soluble sugars, electrolyte leakage, antioxidant enzymatic activities, and reduced electrolyte leakage and H_2O_2 content	Sapre et al. (2021)
Bacillus subtilis, Pseudomonas fluorescens	P. sativum	These endophytic bacteria promoted salt stress tolerance in pea through increasing SOD, CAT, POD, glutathione reductase, proline contents, IAA, GA, MSI, photosynthetic pigments, K ⁺ uptake and expressing antioxidant enzyme genes and reducing Na ⁺ accumulation	Sofy et al. (2021)
Staphylococcus sciuri (ET101)	Lens esculentum, Oryza sativa	Strain ET101 increased transpiration rate, stomatal conductance, intracellular CO ₂ , RWC, proline, and glycine betaine in rice and tomato to ameliorate the salinity stress	Taj and Challabathula (2021)
Glutamicibacter sp. $(YD01)$	O. sativa	Strain YD01 was tolerant to 10% salt stress and possessed ACC-deaminase	Ji et al. (2020)

Table 2.1 The use of PGPR to mitigate abiotic stresses in plants

PGPR	Plants	Findings	References
Pseudomonas azotoformans (JMM15)		synthase, and ACC utilization activity and reported increase in seed germination and growth of Indian mustard seedlings up to 12 dS m^{-1} salt stress	
Enterobacter sp. (PR14)	O. sativa. Panicum miliaceum	Strain PR14 having multiple PGP traits including IAA, ACC-deaminase, P solubilization, SOD, CAT, and GPX promoted alkaline and saline stress tolerance in rice and millets through promoting seed germination, seedling vigor, and shoot length	Sagar et al. (2020)
Bacillus siamensis (PM13), Bacillus sp. (PM15), Bacillus methylotrophicus (PM19)	T. aestivum	Salt-tolerant Bacillus strains showed IAA, ACC-deaminase, and EPS production. Their inoculation in wheat seedling enhanced seed germination, plant growth, and chlorophyll contents under high salt stress conditions	Amna et al. (2019)
Jeotgalicoccus huakuii (NBRI 13E)	Z. mays	Maize plant treated with abiotic stress-tolerant strain NBRI 13E showed salt stress tolerance through modulating defense enzymes, chlorophyll, proline, and soluble sugar contents and improving yield parameters	Misra et al. (2019)
Bacillus megaterium (A12)	S. lycopersicum	Inoculated plants showed higher shoot length, dry biomass, and yield under salt stress. This strain showed a reduction in ethylene production and promoted the production of APX, CAT, and SOD and WUE in plants to ameliorate salt stress	Aslam et al. (2018)
Zhihengliuella flava (F-9), $S.$ jettensis $(F-11), B.$	Z. mays	These strains were positive. for IAA, ACC-deaminase, P solubilization, and	Aslam and Ali (2018)

Table 2.1 (continued)

PGPR	Plants	Findings	References
Bacillus licheniformis, P. fluorescens, A. brasilense	Chenopodium quinoa	Seed-based inoculation of PGPR mitigated drought stress by improving crop growth, net assimilation rate, WUE, chlorophyll and phenolic contents, grain yield, protein, and P and K contents in grains	Aslam et al. (2020)
B. subtilis, Azospirillum brasilense	T. aestivum	These strains showed the production of EPS, osmolytes, and stress- induced phytohormones, viz., IAA, GA, CK, and ABA. They promoted seed germination, seedling vigor, shoot, and root length, leaf area, chlorophyll, carotenoid, proline, amino acid, sugar, and protein contents, SOD, CAT, and POD activities under drought stress	Ilyas et al. (2020)
B. licheniformis, Bacillus haynesii, Bacillus paralicheniformis	O. sativa	PGPR inoculation alleviated drought stress in rice by increasing biomass accumulation and grain yield and reducing SOD, CAT, guaiacol peroxidase, and enzymatic activities	Joshi et al. (2020)
A. calcoaceticus (EU- $LRNA-72$	Setaria italica	This P-solubilizing strain effectively mitigated the negative effects of drought on foxtail millet by increasing glycine, betaine, proline, and sugar accumulation and decreasing lipid peroxidation	Kour et al. (2020)
B. subtilis (GOT9)	A. thaliana	It enhanced drought and salt tolerance in Arabidopsis through increasing transcripts of various drought stress- and salt stress-inducible genes	Woo et al. (2020)
Pseudomonas moraviensis	T. aestivum	This strain along with biogas slurry improved stomatal and sub-stomatal conductance, transpiration, photosynthetic rates, RWC, CAT, APX, plant	Yaseen et al. (2020)

Table 2.1 (continued)

PGPR	Plants	Findings	References
Rhizobium sp. (IRBG74)	O. sativa, Sesbania cannabina	Strain IRBG74 possessed β -glucuronidase and green fluorescent protein altered the synthesis of the rhamnose-containing O-antigen in nodulation and symbiotic N fixation in S. cannabina as well as involved in rice plant growth promotion under flooded stress	Mitra et al. (2016)
P. fluorescens (REN1)	O. sativa	ACC-deaminase- and IAA-producing strains REN1 promoted root elongation and endophytic root colonization in rice under flooded stress conditions	Etesami et al. (2014)
Serratia odorifera (CC7), Aerococcus viridans (CK3)	T. aestivum	These ameliorated the negative effects of waterlogging stress through increasing root and shoot length and dry root and shoot weight of wheat	Bangash et al. (2013)
Pseudomonas sp. UW4	Cucumis sativus	This strain ameliorated the waterlogged stress by regulating responsive proteins and metabolism pathways	Li et al. (2013)
Achromobacter xylosoxidans (d2), S. ureilytica (Bac5), Herbaspirillum seropedicae (Oci9), Ochrobactrum rhizosphaerae (Oci13)	Ocimum sanctum	ACC-deaminase- producing PGPR showed a potential to protect plant from flooding-induced negative stresses including increasing production of ethylene, lipid peroxidation, reduction in chlorophyll content, and nutrient uptake	Barnawal et al. (2012)
A. chroococcum, <i>Azospirillum</i> spp., Pseudomonas spp., B. subtilis	Brassica napus	Seed inoculation and foliar application of biofertilizers alleviated waterlogging stress on canola by improving SOD, CAT, and POX activities and reducing lipid peroxidation and ethylene production	Habibzadeh et al. (2012)

Table 2.1 (continued)

Table 2.1 (continued)

(continued)

PGPR	Plants	Findings	References
B. cereus (SA1)	S. lycopersicum	Combined application of strain SA1 and exogenous humic acid improved plant biomass and chlorophyll contents, salicylic acids, APX, SOD, glutathione, and nutrient uptake, and reduced ABA under heat stress	Khan et al. (2020b)
B. cereus	S. lycopersicum	ACC-deaminase and EPS producing strains significantly reduced heat stress effects by promoting shoot and root length, fresh and dry weight, and leaf surface area	Mukhtar et al. (2020)
B. tequilensis (SSB07)	S. max	The strain counteracted heat stress on soybean by increasing shoot length, biomass, photosynthetic pigment, jasmonic acid, salicylic acid, and down- regulation of stress- responsive ABA	Kang et al. (2019)
Paraburkholderia <i>phytofirmans</i> (PsJN)	L. esculentum	This strain alleviated the harmful effect of high temperature by improving plant growth and physiological attributes of tomatoes	Issa et al. (2018)
B. safensis, <i>Ochrobactrum</i> pseudogrignonense	T. aestivum	Seed priming with these strains improved cell viability, chlorophyll contents, antioxidative response, redox enzymes, osmolytes, and reduced photosynthetic damage, ROS production, and membrane damage due to heat stress	Sarkar et al. (2018)
B. amyloliquefaciens (UCMB5113), A. brasilense (NO40)	T. aestivum	Seed inoculation with these strains improved heat tolerance in wheat by reducing ROS generation, alteration in the metabolome, and activating heat shock transcription factors.	Abd El-Daim et al. (2014)

Table 2.1 (continued)

(continued)

Table 2.1 (continued)

(continued)

PGPR	Plants	Findings	References
Genus of Bacillus, Paenarthrobacter, Pseudomonas, Paenibacillus, Cupriavidus	Lepidium meyenii	Psychrotrophic PGPR strains were positive for IAA production, P-solubilization, tolerant to varying concentrations of Cd and Pb, and promoted seed germination exposed to low temperature and heavy metal toxicity	Ortiz-Ojeda et al. (2017)
Arthrobacter agilis (L77)		Hydrolytic enzymes producing PGP strain L77 genome under cold stress revealed diverse genes involved in metabolism and cold shock variations and diverse polysaccharides biosynthesis	Singh et al. (2016)
Genus of Arthrobacter, Flavimonas, Flavobacterium, Massilia, Pedobacter, Pseudomonas	S. lycopersicum	Psychrotolerant bacteria caused an increase in seed germination, plant growth, decrease in membrane damage, induced antioxidant enzymes, and proline synthesis in tomato under chilling stress	Subramanian et al. (2016)
Genus of Streptomyces, Arthrobacter. Paenibacillus	Thylacospermum ceaspitosum	Bacterial community and structure of cold desert revealed that actinobacteria dominated cultivable parts of a community. A more diverse bacterial community was associated with finer soil derived from easily weathering and cushion plants in a xeric environment	Řeháková et al. (2015)
Pseudomonas spp.	T. aestivum	Inoculation of wheat with <i>Pseudomonas</i> strains ameliorated cold stress by increasing chlorophyll, anthocyanin, proline, amino acids, phenolics, RWC, and starch contents and reducing electrolyte leakage and Na ⁺ /K ⁺ ratio	Mishra et al. (2011)

Table 2.1 (continued)

photosynthetic and transpirational rates, and stomatal conductance in various crops (Ohashi et al. [2006](#page-106-0); Mumtaz et al. [2020\)](#page-105-0).

Drought as multi-dimensional stress affects various subcellular compartments, cell organs, and whole plant levels (Horn and Chapman [2012\)](#page-100-0). Drought destructively upsets the quantity and quality of plants. Drought stress alleviation is important to fulfill food demand. To address this global challenge, there is a dire need to develop improved crop germplasm and technologies to increase water use efficiency (WUE) (Passioura [2007](#page-106-0)). Recently, the application of beneficial microorganisms has got attention for the mitigation of drought by improving WUE (Marulanda et al. [2009;](#page-104-0) Ngumbi and Kloepper [2016\)](#page-105-0). Under drought stress, the plant-associated bacterial community in the rhizosphere alleviates drought stress and improves plant health by improving the resistance in plants against stressors (Cherif et al. [2015;](#page-96-0) Aslam et al. [2020;](#page-94-0) Kour et al. [2020](#page-102-0)). The PGPR in the water-limited rhizosphere with repeated dry periods is more stress-tolerant and increases plant growth as compared to PGPR population of the well-irrigated rhizosphere (Mayak et al. [2004\)](#page-104-0). Drought tolerance mediated by PGPR could be through the production of phytohormones, viz., IAA, ABA, and GA, production of ACC-deaminase to reduce ethylene production, and induced drought tolerance through the production of metabolites, viz., siderophore and EPS (Timmusk and Nevo [2011](#page-110-0); Meenakshi et al. [2019](#page-104-0); Ansari et al. [2021\)](#page-94-0).

Plants produce phytohormones such as IAA, ABA, GA, and CK which play important role in drought stress alleviation (Egamberdieva [2013;](#page-97-0) Fahad et al. [2015;](#page-98-0) Kumar et al. [2019a](#page-102-0)). PGPR are reported to produce these phytohormones under drought stress that can improve plant growth (Khan et al. [2020c\)](#page-102-0). The IAA-producing PGPR alleviate drought stress by improving lateral root development and water uptake (Mantelin and Touraine [2004](#page-103-0)). For example, Dimkpa et al. [\(2009](#page-97-0)) reported that Azospirillum (IAA producing) promoted drought stress tolerance in plants. Nitric oxide (NO, signaling molecule) produced by A. brasilense inoculation in tomato showed induction of IAA pathway and development of adventitious roots. Its inoculation in maize grown under drought stress also improved water content in comparison to uninoculated control plants (Molina-Favero et al. [2008\)](#page-105-0). Wheat treated with A. brasilense strain Sp245 improved drought stress tolerance through increased grain yield; Mg, K, and Ca concentration; water content; and lowering volumetric cell wall elasticity (Creus et al. [2004](#page-97-0)). The IAA-producing Bacillus thuringiensis inoculation in Lavandula dentata showed drought stress amelioration and improved plant metabolic activities, nutrition, and physiology (Armada et al. [2014](#page-94-0)). GA- and ABA-producing PGPR also help plants withstand drought stress. Soybean inoculation with P. putida strain H-2-3 (GA producing) showed an increase in plant growth under drought stress (Sang-Mo et al. [2014](#page-108-0)). Similarly, inoculation of maize with Azospirillum lipoferum ameliorated drought stress in plants (García et al. [2017](#page-98-0)). The ABA is a stress hormone, and its biosynthesis is induced during cellular dehydration under water deficit conditions. The inoculation of CK-producing B. subtilis in Platycladus orientalis improved drought stress alleviation by increasing ABA levels (Egamberdieva et al. [2017](#page-98-0)).

Drought stress enhances the production of ethylene that causes retarded plant growth (Hardoim et al. [2008](#page-100-0)). The ACC-deaminase-producing bacteria sequester and degrade plant ACC and improve plant growth, supply N and energy, and reduce the deleterious effect of ethylene in plants (Glick [2005;](#page-99-0) Nadeem et al. [2017](#page-105-0); Danish and Zafar-ul-Hye [2019\)](#page-97-0). Mayak et al. [\(2004](#page-104-0)) inoculated ACC-deaminase-producing Achromobacter piechaudii in tomato and pepper and reported increase in plant growth under drought stress by reducing ethylene production. The ACC-deaminasecontaining PGPR enhance grain yield and N accumulation in grains and repair depressed nodulation in plants (Dodd et al. [2004](#page-97-0); Danish et al. [2020\)](#page-97-0). Inoculation with ACC-deaminase-producing P. fluorescens in Pisum sativum under drought stress showed longer roots due to increased water uptake (Zahir et al. [2008\)](#page-112-0). Variovorax paradoxus strain 5C-2 improved pea productivity through increase in WUE due to ABA production in drought stress (Belimov et al. [2009](#page-95-0)). PGPR promoted wheat growth due to better water and nutrient acquisition over uninoculated control (Shakir et al. [2012](#page-109-0); Ansari et al. [2021\)](#page-94-0). Sharma et al. [\(2013](#page-109-0)) reported that co-inoculation of Bacillus, Pseudomonas, and Mesorhizobium strains (ACC-deaminase producing) in Cicer arietinum under drought conditions promoted seed germination and seedling biomass over uninoculated control (Hui and Kim [2013\)](#page-100-0).

2.4.1.3 Flooding Stress

Waterlogging and submergence are abiotic stresses, collectively called flooding stresses. Flooding stress is a global issue that influences plant composition and productivity (Phukan et al. [2016](#page-107-0)). Under flooding conditions, soil becomes completely saturated with water and develops an anaerobic environment. Plant roots become hypoxic which impairs the growth and development of the plants (Nishiuchi et al. [2012](#page-106-0); Glick [2014\)](#page-99-0). According to an estimate, flooding affected nearly 13% of the country's land and nearly 10% of agricultural lands globally (Cramer et al. [2011](#page-97-0)). Flooding stress causes yield loss up to 15–80% in various crops (Patel et al. [2014\)](#page-106-0).

Under flooding conditions, poorly adapted plant species are severely affected by excess water that results in 10^4 -fold slower oxygen diffusion as compared to air (Armstrong and Drew [2002](#page-94-0)). Oxygen-deficient conditions lead to higher production of plant hormone "ethylene" that increases ROS production, destruction of macromolecules, and inhibition of photochemical and ultimately causes plant death (Grichko and Glick [2001a;](#page-99-0) Glick [2005;](#page-99-0) Ahmed et al. [2006\)](#page-93-0). Under flooding conditions, plants exhibit symptoms of chlorosis, necrosis, and low productivity (Paul et al. [2016](#page-106-0)). Various wetland plant species are highly effective due to a combination of various physiological adaptations to withstand flooding stress conditions (Ravanbakhsh et al. [2017\)](#page-107-0). Such plants acclimatize flooding stress through a physical method including escape from submerged conditions, prevention of oxygen deficiency via internal aeration, anoxia tolerance, and avoiding oxidative damage (Armstrong et al. [1994](#page-94-0); Gibbs and Greenway [2003](#page-99-0); Voesenek et al. [2003\)](#page-111-0). Under flooding stress, plant roots produce a larger amount of ethylene that modifies phytohormone cascade of ABA, GA, and IAA to encourage the emergence of

adventitious rooting in plants (Vidoz et al. [2010](#page-111-0); Dawood et al. [2016;](#page-97-0) Ali et al. [2020\)](#page-93-0). Root development also requires ethylene that persuades the development of ROS in epidermal cells leading to cell death by dropping antioxidant activity (Steffens and Sauter [2009](#page-109-0); Yamauchi et al. [2011](#page-112-0)).

Under flooding stress, ACC-deaminase-producing bacteria play a key role in reducing excess ethylene production by catabolizing ACC to ammonia and α-ketobutyric acid (Ali and Kim [2018](#page-93-0); Sasidharan et al. [2018;](#page-108-0) Bal and Adhya [2021\)](#page-95-0). Limited literature is available to describe PGPR's role in flooding stress mainly through their ability to produce ACC-deaminase. Transgenic tomato developed through ACC-deaminase expressing transcriptional control of rolD promoter from Agrobacterium rhizogenes and 35S constitutive expression was evaluated under flooding stress (Grichko and Glick [2001b\)](#page-99-0). Development of transgenic plants with the insertion of *rolD* promoter showed ACC-deaminase expression and increased flooding tolerance by improving seedling biomass, epinasty, leaf chlorophyll, and protein content and reducing the ethylene production (Grichko and Glick [2001b\)](#page-99-0). Under flooding stress, ACC synthase is produced in a higher amount in the plant tissues that induces the production of ACC-deaminase by bacteria. Symbiotic bacteria can reduce ethylene stress up to 60–90% by producing ACC-deaminase enzyme (Saleem et al. [2007](#page-108-0); Jung et al. [2018;](#page-101-0) Ali et al. [2018\)](#page-93-0). Grichko and Glick [\(2001a](#page-99-0)) inoculated tomato with Enterobacter cloacae (strains UW4 and CAL2) and P. putida (strains pRKACC) and reported that the expression of ACC-deaminase genes in these strains promoted substantial flooding stress tolerance by improving plant growth and physiological attributes (Grichko and Glick [2001a\)](#page-99-0).

Farwell et al. [\(2007](#page-98-0)) inoculated ACC-deaminase-producing P. putida strain UW4 in ACC-deaminase-producing transgenic canola and non-transformed canola under flooding and nickel (Ni) stress. They reported that transgenic canola inoculated with strain UW4 showed better shoot biomass as compared to non-transformed canola under flooding conditions (Farwell et al. [2007](#page-98-0)). Barnawal et al. ([2012\)](#page-95-0) investigated the effect of Achromobacter xylosoxidans strain Fd2, Herbaspirillum seropedicae strain Oci9, Ochrobactrum rhizosphaerae strain Oci13, and Serratia ureilytica strain Bac5 on Ocimum sanctum. They reported that bacterial treatment alleviated waterlogging stress and reduced ethylene production, lipid peroxidation, proline contents, and improved chlorophyll content and nutrient uptake. Strain Fd2 exhibited maximum waterlogging tolerance with 46.5% higher growth and herb yield and a 53% reduction in ACC concentration over uninoculated waterlogged plants (Barnawal et al. [2012\)](#page-95-0). Habibzadeh et al. [\(2012](#page-100-0)) treated canola plants with biofertilizers through foliar and seed application and reported their effect on physiological and morphological attributes of canola under flooding conditions. The biofertilizers were AAP (strains of Azotobacter, Azospirillum, and Pseudomonas) and APB (strains of Azospirillum, Pseudomonas, Bacillus). Both foliar and seed treatment with these biofertilizers significantly alleviated flooding stress by improving superoxide dismutase (SOD), catalase (CAT), and peroxidases (POD) activities and by reducing ethylene and lipid peroxidation (Habibzadeh et al. [2012\)](#page-100-0).

Etesami et al. [\(2014](#page-98-0)) reported that rice inoculation with endophytic ACCdeaminase-producing P. fluorescens strain REN1 promoted root growth under

flooding stress. The *Streptomyces* sp. strain GMKU inoculation in mung bean alleviated flooding stress by improving shoot and root growth and chlorophyll content and reducing ethylene formation (Jaemsaeng et al. [2018\)](#page-101-0). Similarly, Ali et al. ([2018\)](#page-93-0) reported that Sesamum indicum inoculation with Pseudomonas veronii strain KJ showed flooding stress mitigation by improving plant growth and physiological attributes. Production of IAA by PGPR induces adventitious root growth that also helps plant maintain their growth and ameliorate flooding stress. Rice seedling inoculation with IAA-producing strain REN1 also showed better root development under flooding conditions (Etesami et al. [2014\)](#page-98-0). Similarly, Kim et al. [\(2017](#page-102-0)) inoculated Klebsiella variicola strain AY-13 in soybean under waterlogged conditions and reported its potential to induce adventitious root growth, improved plant growth, and flooding tolerance (in soybean). Strain AY-13 was able to produce IAA up to 84.27 ± 3.55 µg mL⁻¹ as analyzed through gas chromatography-mass spectrometry selected ion monitoring (GC-MS/SIM) as reported by Kim et al. [\(2017](#page-102-0)).

2.4.1.4 Heat Stress

Temperature is a primary factor affecting plant growth and development (Hatfield and Prueger [2015\)](#page-100-0). Each plant species requires a certain temperature regime characterized as a minimum, maximum, and optimal temperature range for its growth and productivity (Hatfield et al. [2011](#page-100-0)). Global warming is becoming a major issue worldwide due to elevated carbon dioxide that is causing economic losses. It is predicted that greenhouse gas emission is causing a steady increase in average temperature worldwide. The increase in average temperature linked with climate changes usually aggravates the heat stress in agriculture (Carbonell-Bojollo et al. [2019;](#page-96-0) Zhang et al. [2019](#page-113-0)). Heat stress negatively affects plant growth and development by causing changes in plant morphology and physiology (Prasad et al. [2008\)](#page-107-0). Repeated extremely high-temperature events have caused phenological disturbances in different plant species (Li et al. [2017\)](#page-103-0). In the early crop growth stages, heat stress inhibits seed germination and seedling's emergence (Wahid et al. [2008\)](#page-111-0). It can damage photosynthetic pigments, carbon metabolism, and organic solute transport (Hasanuzzaman et al. [2013;](#page-100-0) Balal et al. [2016\)](#page-95-0). El-Daim et al. [\(2014](#page-98-0)) reported that temperature at 45 $^{\circ}$ C caused mortality of wheat seedlings up to 80–95%. The impact of heat stress on the reproductive phase of crops generally leads to variation in mineral nutrition, deactivation of the immune system, and the successive generation of ROS (Zhang et al. [2016;](#page-113-0) Lanza and dos Reis [2021](#page-103-0)). Heat stress elevation disrupts the rate of photosynthesis, stomatal conductance, and transpiration (Fahad et al. [2016](#page-98-0)). The activity of photosystem II (PSII) was inhibited due to heat stress that ultimately reduced photosynthetic rate which is the major cause of the inhibition of chlorophyll production (Chen et al. [2007;](#page-96-0) Killi et al. [2020\)](#page-102-0).

Plants tolerate heat stress by altering osmotic pressure, antioxidants, plant hormone level, and regulation of HSPs. Plant regulates the production of certain plant hormones, such as ABA, GA, JA, and IAA, to improve heat resistance (Abdelrahman et al. [2017](#page-92-0); Khan et al. [2020c](#page-102-0)). Among these phytohormones, IAA and GA improve plant development processes, while JA and ABA can alleviate the heat stress (Ahammed et al. [2016\)](#page-93-0). Hydrogen sulfide production also improves resistance to heat stress in plants (Chen et al. [2016\)](#page-96-0). The HSPs protect the natural protein and improve protein stability, which in turn improve the thermal tolerance in the plant (Wei et al. [2021](#page-111-0)). The accumulation of HSP in terms of ZmHSP17.2 in corn and OsHSP26 in rice showed a strong correlation in heat stress tolerance (Lee et al. [2007;](#page-103-0) Liu et al. [2013;](#page-103-0) Sarkar et al. [2021](#page-108-0)). Maize leaves under heat stress expressed sHSP17.2, sHSP17.4, and sHSP26, while these proteins were also regulated by ABA (Hu et al. [2010](#page-100-0)). HSFA1 is a major regulator of signal perception, transduction, and control of the expression of stress-sensitive genes in tomato plants. The expression of HSP26, HSP17.4, and HSP17.2 also played an important role in heat tolerance in corn (Asada [2006](#page-94-0)). A multifunctional cytoplasmic protein known as eukaryotic elongation factor 1α (eEF1A) is responsible for the heat resistance in tomatoes (Momčilović et al. [2016](#page-105-0)). Plants also increase the activity of antioxidant enzymes, including alpha-tocopherol; glutathione; ascorbic acid; CAT, SOD, APX, and POD; and glutathione reductase, to repair the oxidative damage caused by heat stress (Asada [2006;](#page-94-0) Khan et al. [2020a,](#page-102-0) [b](#page-102-0)).

Plant inoculation with PGPR improves heat tolerance by enhancing the photosynthetic rate, water use efficiency, antioxidant enzymatic activity, and uptake of water and nutrients (Zhu et al. [2012;](#page-113-0) Maya and Matsubara [2013](#page-104-0); Kang et al. [2019;](#page-101-0) Sarkar et al. [2021\)](#page-108-0). The literature on the mechanism of heat stress tolerance due to bacterial inoculation is very limited; however, studies are available to support that bacterial augmentation can ameliorate heat stress by producing ACC-deaminase, EPS, and phytohormones which are involved in the expression of HSP. Application of ACC-deaminase-producing PGPR under heat stress could ease unfavorable conditions. Production of ACC-deaminase by PGPR reduces the inhibitory effect of stress hormone "ethylene" generated under heat stress through degrading ACC into ammonia and α -ketobutyrate (Barnawal et al. [2012](#page-95-0)). The detailed mechanism of conversion of ACC into ammonia and α-ketobutyrate by the action of ACC-deaminase produced by PGPR has already been reported in the above abiotic stress sections of this chapter. The PGPR uses a similar mechanism of action in all abiotic stress to reduce the lethal concentration of ethylene. Recently, Mukhtar et al. [\(2020](#page-105-0)) inoculated PGP Bacillus cereus having the ability to produce ACC-deaminase up to 0.76 to C0.9 μ M/mg protein/h in tomato under heat stress. They reported a significant improvement in growth and physiological and biochemical attributes of tomato plants due to inoculation with EPS-producing, ACC-deaminase-containing B. cereus. Wu and Yang ([2019\)](#page-111-0) reported that ethylene-mediated signaling under heat stress was involved in the reduction of oxidative damage, maintenance of chlorophyll content, and enhancement of thermo-tolerance in rice seedlings. They also reported that HSP and ethylenesignaling-related genes were involved in complex network regulation that conferred thermo-tolerance to rice seedlings.

Srivastava et al. ([2008\)](#page-109-0) reported that high-temperature (40 $^{\circ}$ C up to 5 days) tolerant P. putida strain NBRI0987 isolated from chickpea rhizosphere was affected with drought stress. Strain NBRI0987 was able to tolerate heat stress due to its ability of biofilm formation and overexpression of sigma factor genes. Ali et al. [\(2009](#page-93-0)) inoculated sorghum with thermo-tolerant Pseudomonas sp. strain AKM-P6 under elevated temperatures (47–50 °C for day and 30–33 °C for a night). They reported that inoculation with strain AKM-P6 ameliorated the elevated temperature stress through well-established growth on the root surface and promoted root biomass, shoot biomass, amino acids, sugar, proline, chlorophyll, and induced synthesis of protein (Ali et al. [2009\)](#page-93-0). El-Daim et al. ([2014](#page-98-0)) reported the heat tolerance in wheat through inoculation with B. amyloliquefaciens strain UCMB5113 and A. brasilense strain NO40. They reported that inoculation with these strains improved heat tolerance through activating ascorbate-glutathione redox cycle, reducing generation of ROS and cell damage (El-Daim et al. [2014\)](#page-98-0).

Ali et al. [\(2011](#page-93-0)) investigated heat tolerance in wheat through inoculation with thermo-tolerant PGPR P. putida strain AKMP7. They reported that strain AKMP7 promoted growth of wheat in terms of root length, shoot length, biomass, tiller, and spikelet number and promoted grain formation through reducing membrane injury and improving the activity of SOD, APX, and CAT and various metabolites like chlorophyll, proline, protein, and carbohydrates (Ali et al. [2011\)](#page-93-0). The heat shock protein could be a key factor in maintaining the functional conformation of the protein under heat (Wang et al. [2004\)](#page-111-0). Among HSP, HSP17.8 is highly upregulated in wheat seedlings under heat stress; however, the response to bacterial treatment may vary between different cultivars and the bacterial strains. Interestingly, the expression of HsfA3 was downregulated in Bacillus-treated wheat seedlings compared to untreated heat-loaded seedlings (Suzuki et al. [2008](#page-110-0)). In Arabidopsis, HsfA3 improved the production of several HSPs (Ikeda and Ohme-Takagi [2009](#page-100-0)) and regulated two independent pathways, suggesting that they play an integrated role in stress management (Liu and Charng [2013\)](#page-103-0). El-Daim et al. ([2014\)](#page-98-0) reported that inoculation of wheat with these strains B. amyloliquefaciens strain UCMB5113 and A. brasilense strain NO40 improved heat tolerance through the transcript level of a stress-related gene. They reported that the preactivation of heat shock transcription factors had an important role in heat stress tolerance (El-Daim et al. [2014](#page-98-0)).

2.4.1.5 Cold Stress

Low temperature is one of the most important abiotic stresses also known as cold or chilling stress. It limits crop productivity by damaging various physiological and biochemical cell functioning with visible symptoms (Ruelland and Zachowski [2010\)](#page-108-0). Cold stress slows down the plant metabolism by damaging cellular macromolecules and membrane function, clotting of the cell membrane, and alteration in lipid composition and induces synthesis of compatible solutes, proteins, and carbohydrate metabolism (Welti et al. [2002](#page-111-0); Baek and Skinner [2003](#page-95-0)). Chilling stress forms extracellular ice crystals leading to frozen dehydration and concentration of cellular juice and causes several other mechanical effects (Mishra et al. [2012](#page-104-0)).

Adaptation to cold stress tolerance encompasses a range of biochemical, molecular, and metabolic processes (Zhu et al. [2007](#page-113-0); Ritonga and Chen [2020\)](#page-107-0). Plants in the first attempt try to resist changes in cellular metabolism due to lower temperatures. Further, plant regulatory mechanisms are activated and restore levels and normal flow of metabolites (Fernie et al. [2005\)](#page-98-0). They maintain homeostasis to gain tolerance to freezing and implying gene expression (Cook et al. [2004\)](#page-96-0). The cold stress adaptation process involves changes in gene expression, membrane lipids, protein content, soluble proteins, sugar, proline, total phenols, deoxygenase, low leakage of ions from the cell membrane; changes in the accumulation of anthocyanins; and production of metabolites, including osmotic protective agents, and allows osmosis (Kasuga et al. [2004](#page-101-0); Barka et al. [2006;](#page-95-0) Tiryaki et al. [2019](#page-110-0)). Plant cells sense cold stress by cold-responsive membrane hardening induced by genes that contribute to cold acclimation of alfalfa and Brasicca napus (Sangwan et al. [2001](#page-108-0)).

A major portion of the earth is covered with a low-temperature environment that acts as a reservoir of cold-tolerant (psychrophilic) bacteria having a low metabolic activity (Kumar et al. [2019b](#page-102-0)). Various psychrophilic bacterial strains including Acinetobacter rhizosphaerae, Arthrobacter nicotianae, Bacillus sp., Bordetella sp., Brevundimonas terrae, Exiguobacterium acetylicum, Mesorhizobium sp., Pseudomonas cedrina, P. fluorescens, P. putida, Providencia sp., Pseudomonas corrugata, Pseudomonas fragi, Rhizobium leguminosarum, Serratia marcescens, Sinorhizobium meliloti, Stenotrophomonas, etc. having the ability to increase P and iron (Fe) availability under cold stress by producing siderophore and solubilizing P were reported previously (Prevost et al. [1999](#page-107-0), [2003](#page-107-0); Pandey et al. [2002,](#page-106-0) [2006;](#page-106-0) Katiyar and Goel [2004](#page-101-0); Negi et al. [2005;](#page-105-0) Trivedi and Sa [2008](#page-111-0); Gulati et al. [2009;](#page-99-0) Selvakumar et al. [2008](#page-109-0), [2009](#page-109-0); Verma et al. [2015](#page-111-0); Yadav et al. [2015](#page-112-0); Zubair et al. [2019;](#page-113-0) Araya et al. [2020;](#page-94-0) Tapia-Vázquez et al. [2020;](#page-110-0) Vega-Celedón et al. [2021\)](#page-111-0). Recently, Awasthi et al. [\(2019\)](#page-94-0), Gautam et al. ([2019b\)](#page-99-0), Kumar et al. [\(2019b](#page-102-0)), and Tiryaki et al. [\(2019](#page-110-0)) also reported cold-tolerant bacteria including Arthrobacter humicola, Brevibacillus invocatus, Brevibacterium frigoritolerans, Pseudomonas chlororaphis, P. fluorescens, Pseudomonas fragi, Pseudomonas proteolytica, Pseudomonas helmanticensis, Pseudomonas koreensis, Pseudomonas mandelii, and Viridibacillus arenosi isolated from various cold regions.

The psychrophilic bacteria alleviate cold injury in plants using various mechanisms. Such bacteria could be efficient enough to synthesize antifreeze proteins and have a strong affinity for the promotion of root growth (Sun et al. [1995\)](#page-110-0). Cold-tolerant Bradyrhizobium japonicum was reported for its ability to promote nodule formation and nitrogen fixation in soybean under cold stress (Mishra et al. [2009](#page-104-0)). Barka et al. [\(2006](#page-95-0)) reported improvement in cold tolerance in grapevine seedlings due to inoculation with *Burkholderia phytofirmans* that reduce electrolyte leakage. Inoculation with endophytic B. phytofirmans strain PsJN improved cold stress tolerance through the process of antioxidant scavenging, modulation in carbohydrate metabolism, and trehalose metabolism in grapevine (Fernandez et al. [2012;](#page-98-0) Theocharis et al. [2012](#page-110-0)). Mishra et al. ([2011\)](#page-104-0) and Barka et al. [\(2006](#page-95-0)) reported that psychrophilic bacterial inoculation also improved sugar metabolism pathway, photosynthetic activity, total phenolic contents, and activation of genes related to C-repeat binding factor. Inoculation with strains of Azospirillum, Bacillus, and Raoultella spp. improved cold tolerance in wheat and barley by reducing freezing injury and sustaining antioxidant enzyme activity (Turan et al. [2013](#page-111-0)). Tomato inoculated with Pseudomonas frederiksbergensis strain OS261 and Pseudomonas vancouverensis strain OB155 showed improved cold tolerance due to enhanced

cold-acclimatized gene expression, antioxidant activity, proline synthesis, and reduction in membrane damage (Subramanian et al. [2015,](#page-110-0) [2016\)](#page-110-0). Production of phytohormones by Serratia nematodiphila improved cold tolerance in pepper seedling (Kang et al. [2015\)](#page-101-0). Inoculation with strains of Bacillus and Pseudomonas spp. in Phaseolus vulgaris has been reported to improve cold tolerance in plants by regulating freezing injury, ice-nucleating activity, lipid peroxidation, and activities of SOD, CAT, and POD (Tiryaki et al. [2019\)](#page-110-0). So, it is concluded that plant interaction with cold-tolerant bacteria under cold stress environment can sustainably engineer cold stress (Kushwaha et al. [2020](#page-103-0)).

2.4.2 Biotic Stress

The PGPR help in improving the plant growth by suppressing the growth of phytopathogens, and weeds thus acting as a biocontrol and bioherbicide agents (Adetunji et al. [2019;](#page-92-0) Khan et al. [2020c](#page-102-0)). They use the indirect mechanisms of action to promote plant growth through alleviating biotic stresses due to growth of deleterious organisms (Arya et al. [2018](#page-94-0)). PGPR as biocontrol agents are environment-friendly supplements to treat plant diseases and to reduce the use of chemicals in agriculture (Gerhardson [2002\)](#page-99-0). They control pathogens through pathogen exclusion based on bacterial capacity to colonize faster and effectively and by reducing the nutrient availability to harmful pathogens (Table [2.2](#page-84-0)). PGPR, for example, limit Fe availability to pathogenic microbes by production of siderophores that chelate Fe, making it unavailable for use by pathogens (Singh et al. [2011](#page-109-0)). They also produce a heterogeneous group of organic compounds called antibiotics which are lethal to various microorganisms even at low concentrations (Haas and Defago [2005\)](#page-100-0). They reduce the pathogen attack by provoking a defense response in plants known as induced systemic resistance (ISR) involving synthesis of various defense metabolites that modify physical and biochemical properties of host without causing disease (Bent [2006;](#page-95-0) Bukhat et al. [2020\)](#page-96-0).

PGPR produce antibiotics that are bioactive metabolites having the ability to inhibit growth of disease-causing agents. Under stress, PGPR secrete antibiotics having varying degrees of specificity and mode of action (Pathak et al. [2017\)](#page-106-0). Pseudomonas sp., for example, can produce antibiotics including 2,4-diacetylphloroglucinol, amphisin, phenazine, phenzine, pyoluteorin, pyrrolnitrin, tensin, and tropolone (Compant et al. [2005;](#page-96-0) Showkat [2012](#page-109-0)). Many Pseudomonas strains produce pyrrolnitrin antibiotic which is a broad-spectrum antifungal compound. Similarly, in another study, Perneel et al. ([2008\)](#page-107-0) reported that Pseudomonas aeruginosa and P. chlororaphis can produce phenazine, which is a heterocyclic, broad-spectrum antibiotic used in biocontrol against phytopathogens. Two broadspectrum antibiotics called kanosamine and zwittermicin are produced by B. cereus that act against damping-off of the alfalfa seedlings (Lozano et al. [2016](#page-103-0)). Iturins with β-amino fatty acid produced by strains of Bacillus sp. exhibit strong antifungal activity and rely predominantly on the ability to augment membrane permeability due to strong interaction with the phospholipids and sterols

Table 2.2 Use of PGPR to mitigate biotic stresses in plants **Table 2.2** Use of PGPR to mitigate biotic stresses in plants

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(Alvarez et al. [2012](#page-94-0)). Microbial HCN is involved in biocontrol as well as sequestration of metal ions and consequently increases the availability of nutrients which is beneficial both for the rhizobacteria and plant host (Rijavec and Lapanje [2016;](#page-107-0) Meena et al. [2020\)](#page-104-0).

Microbial production of siderophores has a central role in the development of a plant (Beneduzi et al. [2012\)](#page-95-0). Siderophores are one of the strongest carriers of $Fe³⁺$ in combination with enterobactin (Saharan and Nehra [2011\)](#page-108-0). The production of siderophores is affected by the type of iron ion; pH; enough availability of N, C, and P; and other trace elements (Compant et al. [2005\)](#page-96-0). Pseudobactin or pyoverdine have been reported to be produced by P. fluorescens, which have a high affinity for sequestration of Fe²⁺. The ferripyoverdins (a complex of pyoverdine with Fe³⁺) are reported to be combined with the receptors of the outer membrane and transported to the cytoplasm and ultimately get reduced to Fe^{2+} (Haas and Defago [2005](#page-100-0)). The plant growth is directly increased by production of the siderophore as they make Fe available for plants. It has been reported that PGPR also consumes Fe for effective rhizospheric colonization. PGPR produce lytic enzymes to degrade cells of the pathogens (Compant et al. [2005](#page-96-0)). The synergistic effects of PGPR metabolites such as antibiotics and lytic enzymes are responsible for the degradation of cell walls of microbial pathogen and weeds (Dunne et al. [1998\)](#page-97-0).

In response to phytopathogens, PGPR help plants stimulate a defense mechanism having a hypersensitive reaction called ISR (Compant et al. [2005\)](#page-96-0). Numerous Bacillus sp. trigger ISR in biotic stress that improves plant growth through ultrastructural changes and cytochemical alteration in plants (Kloepper et al. [2004\)](#page-102-0). The bacteriocins produced by PGPR are different from narrow-spectrum antibiotics that can kill closely related bacterial strains (Beneduzi et al. [2012\)](#page-95-0). The bio-surfactants are considered to be effective for the biocontrol of phytium species (Perneel et al. [2008\)](#page-107-0). For example, P. fluorescens is capable of protecting plants from diseasecausing pathogenic fungi. It secretes a varying degree of exoproducts through antibiosis, phytohormone activities, lytic activities, and antimicrobial compounds to be considered as a primary mechanism to suppress disease in root area (Péchy-Tarr et al. [2008\)](#page-106-0). Such PGPR play a major role in biocontrol by producing a wide range of secondary metabolites including the production of volatile compounds, antibiotics, siderophores, HCN, and other disease-suppressing compounds (Showkat [2012\)](#page-109-0).

Numerous microorganisms have been reported as potential bioherbicides. PGPR are the most suitable biocontrol agents as compared to other microorganisms due to their rapid growth and genetic variation via mutagenesis (Johnson et al. [1996;](#page-101-0) Li et al. [2003\)](#page-103-0). Various strains of P. fluorescens have shown their benefits as well as inhibitory effects on plants (Banowetz et al. [2008](#page-95-0)). Their suppressive effects were reported due to production of extracellular metabolites that suppress plant germination (Banowetz et al. [2008](#page-95-0)). Growth inhibition of various grassy weeds including downy brome was observed through inoculation with P. fluorescens strain D7 (Kennedy et al. [2001](#page-101-0)). These strains showed plant growth inhibition due to combined production of extracellular peptides and lipopolysaccharides (Gurusiddaiah et al. [1994\)](#page-99-0). However, their mechanism for weed growth suppression has not yet been described. P. fluorescens strain WH6 showed growth inhibition of 21 monocot and 8 dicot plant species; however it was unable to affect the germination of hybrid corn (Banowetz et al. [2008\)](#page-95-0). Its ability to suppress weed germination was reported due to production of germination arrest factor (GAF) composed 4-formylaminooxy-L-vinylglycine and oxyvinylglycines due to synthesis of their regulatory genes (Banowetz et al. [2008;](#page-95-0) McPhail et al. [2010;](#page-104-0) Halgren et al. [2013;](#page-100-0) Okrent et al. [2014](#page-106-0)). The strain BRG100 showed suppression of Setaria viridis due to the production of extracellular metabolites (pseudophomin A and B) having phytotoxic effects (Caldwell et al. [2012](#page-96-0)).

Pseudomonas strains produced phytotoxins including phenazine-1-carboxylic acid, 2-aminophenoxazone, and 2-aminophenol that have allelopathic effects against plant growth (Adetunji et al. [2019](#page-92-0)). The strains of Xanthomonas campestris were also reported as a potential weed control agent. Its strain JT-P482 showed specific control against grasses Poa annua and Poa attenuate (Imaizumi et al. [1997](#page-100-0)). The strain LVA-987 also showed weed control against horseweed (Boyette and Hoagland [2015](#page-95-0)). No information on phytotoxic compounds produced by these strains is available in support of its application as a bioherbicide. Such strains spread in their host plant through colonizing plant xylem. They interact with host plant through their receptor proteins that can recognize pathogen-associated molecular patterns that activates plant defense responses in terms of production of ROS and programmed cell death (Guy et al. [2013](#page-100-0); Dugé de Bernonville et al. [2014](#page-97-0)). Such allelopathic bacteria may also produce enzymes and broad-spectrum antibiotics that have inhibitory effects on plant growth (Abbas et al. [2017\)](#page-92-0). Production of elevated IAA levels by PGPR also possesses allelopathic effects (Sarwar and Kremer [1995\)](#page-108-0). The HCN production by rhizobacteria is a major suppressor of weed growth (Kremer and Souissi [2001](#page-102-0)).

Recent developments in bioherbicides support the potential use of HCN-producing bacterial strains along with multiple PGP traits for improving crop growth by suppression of weeds. In a recent study, Dar et al. [\(2020](#page-97-0)) reported Pseudomonas strains with potential Pseudomonas for both potential to control Phalaris minor and Avena fatua in wheat. They reported four Pseudomonas strains having HCN-producing ability along with multiple PGP traits such as P solubilization, ACC-deaminase activity, siderophores production, and oxidase activity during in vitro studies. In the bioassay, they reported these strains as strong phytotoxic for lettuce seedling having a mortality rate of up to 73.3%. Consortia of these strains were more effective than sole inoculation in the biocontrol of *Pectoralis minor* and Avena fatua seedlings. In addition to biocontrol of weeds, these strains were also effective in improving wheat growth. They argued that the use of these strains as bioherbicide may improve human and environmental health. So, inoculation with allelopathic bacteria could support the existing herbicides that not only control weeds but also alleviate the allelopathic effects of weeds. The mechanisms of biotic stress amelioration by PGPR have been summarized in Fig. [2.2.](#page-90-0)

Fig. 2.2 Mechanisms of biocontrol by plant growth-promoting rhizobacteria

2.4.3 Integrated Stress Amelioration

Abiotic and biotic stresses due to climate change are a major cause of productivity loss in major crops (Anderson et al. [2020](#page-94-0)). The effects of abiotic and biotic stresses on plant growth and their amelioration by PGPR have already been discussed in the above sections. Under field conditions, crops face multiple stresses which drastically decline crop productivity (Pandey et al. [2017](#page-106-0); Hussain et al. [2018\)](#page-100-0). Managing abiotic and biotic stresses with competent and cost-effective approaches is a major challenge. Such approaches including the development of stress-tolerant varieties and application of chemical fertilizers and pesticides are cost-intensive. Recently, the application of PGPR to ameliorate various environmental and biotic stresses has been revealed as a cost-effective and efficient approach in integrated stress management (Grover et al. [2011\)](#page-99-0). We have proposed a possible mechanism of action in the amelioration of integrated stresses (Fig. [2.3\)](#page-91-0).

As described in the above sections, the PGPR having the ability to produce ACC-deaminase, phytohormones, antioxidant enzymes, siderophores, EPS, VOCs, and solubilization of nutrients can ameliorate all the abiotic stresses. Similarly, in

Fig. 2.3 Proposed mechanisms of action for integrated stress amelioration by plant growthpromoting rhizobacteria

biotic stress control, the HCN-producing PGPR along with production of antibiotics, lytic enzymes, siderophores, and ISR are effective (Fig. 2.3).

The PGPR with multiple PGP traits can be effective in integrated stress amelioration (ISA). As a combination of stresses enhance the ethylene production, ACCdeaminase-producing PGPR with multiple PGP traits can be more effective in ISA. For example, recent studies reported the effectiveness of ACC-deaminase-producing PGPR in ISA (Barnawal et al. [2017;](#page-95-0) Srinivasan et al. [2017](#page-109-0); Saikia et al. [2018;](#page-108-0) Orozco-Mosqueda et al. [2020;](#page-106-0) Li et al. [2020](#page-103-0)). Some mechanisms of stress mitigation by PGPR including the production of siderophores, nutrient mineralization, production of VOCs, and EPS are not well understood in all abiotic stresses; however, these metabolic activities have been reported to be effective in various environmental stresses (Talaat and Shawky [2017;](#page-110-0) Khan and Bano [2019](#page-101-0)). PGPR release secondary metabolites including antibiotics, siderophores, hydrolytic enzymes, and HCN that mitigate the biotic stresses in terms of infection by pathogens and allelopathic effects of weed as well as help improve crop productivity (Jha [2018](#page-101-0)). As reported by Dar et al. ([2020\)](#page-97-0), HCN-producing rhizobacteria with multiple PGP traits can be strong candidates for integrated stress amelioration.

2.5 Conclusion and Prospects

Under natural field conditions, plants face several abiotic and biotic stresses which negatively affect plant growth. These stresses result in the enhanced biosynthesis of ethylene and damage the plant physiological and biochemical attributes. The application of PGPR is well reported for mitigation of these stresses. These beneficial rhizobacteria directly mitigate integrated stresses by metabolic activities in terms of production of phytohormones, ACC-deaminase, siderophores, and EPS and ensure nutrient availability through improving their solubility. PGPR have an indirect role as well in stress alleviation by producing antibiotics, HCN, and hydrolytic enzymes and induce ISR that help plant tolerate biotic stresses.

Improvement in PGPR population and diversity through rhizosphere engineering or use of microbial inoculants and/or their metabolites should be practiced for better agroecosystem and soil health. There is a need to study interactive effects of various stresses on a plant to know about impacts of combined stresses on crop production. Future research is needed to evaluate the role of PGPR under multiple stress conditions.

Future research should also be focused on understanding the mechanisms involved in PGPR-induced stress tolerance in crop plants. There is also a need to investigate why certain PGPR with specific PGP traits could not induce stress tolerance in crop plants under soil (field) and environmental conditions. Strategies should be developed to study and improve plant-microbe interactions using bioinformatics, modeling tools, and other molecular techniques to enhance crop stress tolerance and for sustainable agroecosystems.

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Endophytic Seed Bacteria: A Relevant Pool of Microorganisms with the Ability to Promote Plant Growth

M. L'Hoir, B. Nasslahsen, H. Ferhout, Y. Prin, A. Smouni, V. Walker, and R. Duponnois

Abstract

According to the Food and Agricultural Organization (FAO), "global agricultural production needs to increase by 70% and double in developing countries by 2050 to meet the demand of 9 billion people". The major challenge all countries are

M. L'Hoir and B. Nasslahsen contributed equally to this work.

M. L'Hoir

Ovinalp Fertilisation, Quartier de la tour du Puy, Ribiers, France

B. Nasslahsen

IRD, Laboratoire des Symbioses Tropicales & Méditerranéennes, Campus International de Baillarguet TA A-82/J, Montpellier Cedex 5, France

Agro-nutrition, Carbonne, France

H. Ferhout Agro-nutrition, Carbonne, France

Y. Prin

CIRAD, Laboratoire des Symbioses Tropicales & Méditerranéennes, Campus International de Baillarguet TA A-82/J, Montpellier Cedex 5, France

A. Smouni

Laboratoire de Physiologie et Biotechnologie Végétale, Faculté Des Sciences de Rabat, Université Mohammed V, Rabat, Morocco

V. Walker Ovinalp Fertilisation, Quartier de la tour du Puy, Ribiers, France

R. Duponnois (\boxtimes)

IRD, Laboratoire des Symbioses Tropicales & Méditerranéennes, Campus International de Baillarguet TA A-82/J, Montpellier Cedex 5, France e-mail: robin.duponnois@ird.fr

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IRD, Laboratoire des Symbioses Tropicales & Méditerranéennes, Campus International de Baillarguet TA A-82/J, Montpellier Cedex 5, France

currently facing in the world is food security. It is connected to two inseparable components, namely, land and water. In a context of agro-ecological transition, many actors including those of research are mobilized to develop sustainable solutions. These include the use of biofertilizers of microbial origin. Microbial biofertilizers are a sustainable alternative to currently widely used inputs. In contrast to these, biofertilizers ensure plant productivity and conservation of water, soil and biodiversity resources. Moreover, their use does not impact human health. Plants can be described as complex micro-ecosystems to which the different habitats are exploited by a wide variety of bacteria. Endophytes are non-pathogenic organisms that perform part or all of their life cycle within plant tissues. Endophytic bacteria are likely to have beneficial effects similar to the known effects of plant growth-promoting rhizobacteria (PGPR). A number of studies evoke the interest of endophytic bacteria, but only a few bring up the topic of seed endophytic bacteria. Hence, seeds are essential tools for agricultural production, as well as potential supports for endophytic bacteria. This chapter aims to highlight the potentialities of the seed-borne endophytic bacteria for agricultural use due to their remarkable abilities (survival in extreme conditions, motility, competitive advantage, vertical transmission, contribution to germination, growth-promoting effects, biocontrol abilities). The examples mentioned for the different kind of cultures (market gardening, big cultures, forage crops, niche market cultures) demonstrate the relevance of agricultural purposes.

Keywords

Endophytic bacteria · Biofertilizers · Biopesticides · Agro-ecology · PGPR

3.1 Introduction

According to the data given by the Food and Agricultural Organization (FAO), an increase of the world agricultural production is a must to ensure nine billion people nutrition (FAO [2021\)](#page-142-0). The major challenge all countries are currently facing in the world is food security. It is connected to two inseparable components, namely, land and water. This may seem alarmist, but what will happen in 2050, in a context of competition in food resources and water, population pressure and climate change? The increase in productivity per hectare and per worker in the context of the Green Revolution has certainly been effective, but this increase has reached limits. For about 60 years, the production methods have mutated according to the following criteria: (1) a varietal selection of high-yielding field crop plants, (2) mono-specific crops to optimize the productivity of agrosystems, (3) systematic use of chemical fertilizers and pesticides, and (4) massive use of water resources in irrigation systems. Nevertheless, it is associated with agrosystems whose limits are recognized (Suman et al. [2016](#page-149-0)). These cultural practices have been responsible for numerous

degradations in the biological mechanisms governing the productivity and stability of agroecosystems and the services associated with them. Beyond the environmental impacts, there are technical problems (Aktar et al. [2009](#page-140-0)). In relation to intensive agricultural systems, phenomena of resistance and soil fertility decline have developed: soils are often deficient in macro- and micro-nutrients and are also reservoirs of phytopathogenic microorganisms such as those belonging to the genera *Fusar*ium, Pythium and Phytophthora (Dixon and Tilston [2010;](#page-142-0) Weil and Brady [2016](#page-149-0)) calling into question the sustainability of these systems. In addition, the socioeconomic impacts are blatant: the issue of the health of farmers and consumers is threatened (Douglas et al. [2018](#page-142-0)), and the farmers' malaise is a proven fact (Daghagh Yazd et al. [2019\)](#page-141-0). It is imperative to change current agricultural systems in a sustainable way: as stated in the 2011 FAO Synthesis Report, agriculture must be "the backbone of economic development, provide environmental services and finally be at the heart of the fight against rural poverty". In order to meet this challenge, numerous initiatives have been undertaken to define farming itineraries combining respect for the environment and productivity compatible with the food needs of the world's population, in a context of global change. Among the "tools" likely to satisfy the rules of modern agriculture is the valorization of microbial resources associated with plants that are involved in the mineral nutrition of plant species and which facilitate their development in soils deficient in different macro-elements (i.e. nitrogen and phosphate). In context of agro-ecological transition (FAO [2015\)](#page-142-0), many actors including those of research are mobilized to develop sustainable solutions. These include the use of biofertilizers of microbial origin (Glick [2014\)](#page-143-0). These correspond to living microorganisms that have beneficial effects on the plant species with which they interact (Suman et al. [2016](#page-149-0)). Their effects may relate to improved plant nutrition and resistance to biotic and abiotic stresses (Johnson et al. [2005;](#page-144-0) Lugtenberg and Kamilov [2009\)](#page-145-0). Microbial biofertilizers are a sustainable alternative to currently widely used inputs (Bhardwaj et al. [2014](#page-141-0)). In contrast to these, biofertilizers ensure plant productivity and conservation of water, soil and biodiversity resources. Moreover, their use does not impact human health. Despite numerous scientific studies showing the potential of these microorganisms, their application in the field remains rare. Indeed, biofertilizer formulations are not controlled and do not guarantee sufficient development conditions for microorganisms (Dobbelaere et al. [2001\)](#page-142-0). The result is (1) a short life span of biofertilizers, (2) poor survival of the microorganisms, and (3) a high degree of contamination (Suman et al. [2016\)](#page-149-0). This is due in particular to the limits of the forms of inoculation, namely, (1) the stresses of biotic origin (competition with soildependent microorganisms occupying the soil ecological niche) and (2) stress of abiotic origin (temperature variations, water conditions, pollution, soil nutrient status, soil nature, soil porosity) (Dobbelaere et al. [2001\)](#page-142-0). In this context, current research focuses on alternative inoculation methods that would improve the viability of microorganisms (Suman et al. [2016\)](#page-149-0). In this respect, the potential of endophytic bacteria is of growing interest (Johnston-Monje and Raizada [2011](#page-144-0)). Plants can be described as complex micro-ecosystems to which the different habitats are exploited by a wide variety of microorganisms (McInroy and Kloepper [1991](#page-146-0)). These habitats

are not limited to the outer surfaces of plants on which most epiphytic microbes reside but also include internal tissues in which multiple microorganisms have the ability to penetrate and survive (Lodewyckx et al. [2002](#page-145-0)). Within these microecosystems, microbial species including bacteria and fungi (Fisher et al. [1992](#page-143-0)) are able to interact and establish a balance. Endophytes are non-pathogenic organisms that perform part or all of their life cycle within plant tissues (Rosenblueth and Martínez-Romero [2006](#page-147-0)). Endophytic bacteria are likely to have beneficial effects similar to the known effects of plant growth-promoting rhizobacteria (PGPR) (Kloepper et al. [1991;](#page-145-0) Höflich et al. [1994\)](#page-144-0). Bacterial endophytes are beneficial for their host plant: Cankar et al. [\(2005](#page-141-0)) present these sorts of microorganisms as potential biofertilizers to enable sustainable and renewable crop production. Indeed, endophyte have the ability to enhance plant growth and protect them from abiotic and biotic stresses. A number of studies evoke the interest of endophytic bacteria, but only a few bring up the topic of seed endophytic bacteria. Seeds are essential tools for agricultural production, as well as potential supports for endophytic bacteria (Xu et al. [2014](#page-149-0)). Since these plant organs are involved in different stages of the plant reproductive process, these habitats potentially represent reservoirs of microbial biodiversity that can promote the development of their host plant (Kristin and Miranda [2013;](#page-145-0) Truyens et al. [2015\)](#page-149-0). Colonization from within the grain is advantageous for endophytic bacteria. In fact, endophytic seed bacteria are not subject to competition; they are linked to the large number of bacteria around the host plant (Rosenblueth and Martínez-Romero [2006\)](#page-147-0). In addition, endophytic bacteria are protected against stresses and other rhizosphere bacteria (Hallmann et al. [1997\)](#page-143-0). This opens up new avenues for the introduction of microorganisms into seeds, to make biofertilizers more effective (Khalaf and Raizada [2018](#page-144-0)). In close interaction with plants, endemic bacteria evolve in the optimal development environment. Thus, the development of inoculation of these microbes seems conceivable to overcome the technical constraints related to biofertilizers (Karthik et al. [2017](#page-144-0)) and a real commercial and scientific infatuation.

3.2 Microbial Resources: Plant Localization and Functions

3.2.1 Context

Nearly all eukaryotic organisms have the ability to develop interactions with minute life forms that are termed "microorganisms" (Taylor et al. [2005;](#page-149-0) Berg et al. [2014](#page-141-0)). A wide range of these microorganisms can conquer some of the external and internal parts of both plants and animals (Moënne-Loccoz et al. [2015](#page-146-0)). These tight interactions played an important role in the formation of plant and animal kingdom due to their ability to control and modulate trophic networks and biogeochemical cycles, regulate ecosystem productivity and determine the ecology and health of plant and animal partners (Hossain et al. [2017\)](#page-144-0). Plants are constantly in contact with microbes through water via water cycle or through wind, soil and air (Rastogi et al. [2012\)](#page-147-0); these small-size entities play an important role in their performance and

survival (Berg et al. [2014\)](#page-141-0) and have the ability to colonize a large range of plant tissues and compartments (Hossain et al. [2017\)](#page-144-0). They can promote the plant growth by controlling a vast array of host plant hormones (Glick [2014;](#page-143-0) Bilal et al. [2018\)](#page-141-0). Hence, some recent researches have proven that symbiotic microorganisms including bacteria and fungi can impact plant growth and plant tolerance to different abiotic and biotic stresses and manipulation of the plant microbiome has the potential to improve the yield of important crops (Rolli et al. [2015](#page-147-0); Owen et al. [2015\)](#page-147-0). These capacities are driven by their abilities to enhance nutrient uptake and phytohormone production as well as to reprogram plant gene expression, through differential activation of plant signalling pathways (Owen et al. [2015;](#page-147-0) Glick [2014\)](#page-143-0). Different groups of bacteria and fungi interact with higher plants.

This review adopts this broad definition and includes only bacteria.

3.2.2 The Diversity of Plant Microbiota Origin

Plants are in a constant selection of their microbial host from different surrounding microbial reservoirs (Hardoim [2015](#page-143-0)). Plant bacterial communities are not randomly established; they are controlled by many rules and factors. Plant microbiota is influenced by the soil type, plant immune system, plant development stage, season and host genotype and species (Hassani et al. [2018\)](#page-144-0), and the bacterial microbiota of plants is dominated by three major phyla (Proteobacteria, Actinobacteria, and Bacteroidetes) (Hassani et al. [2018\)](#page-144-0). Plant microbiota has different origins: soil, phyllosphere that is the leaf surface, flowers that are also called the anthosphere, the spermosphere that refers to the external surface of germinated seeds or the carposphere and plant fruit (Hardoim [2015\)](#page-143-0).

3.2.3 Plant Endophytic Bacteria

Several bacteria taxa can penetrate into plant tissues, but many factors control the colonization and the transmission of endophytes in plants. The quota of plant resources and the colonization ability of the bacteria are the most determinant (Compant et al. [2019\)](#page-141-0). A considerable variation in the ecology of endosphere and phyllosphere bacteria is noticed. Most endophytes spread systemically via the xylem to distinct compartments of the plant like stem, leaves and fruits; otherwise they can also penetrate the plant tissues through aerial parts of the plant such as flowers and fruits (Wallace et al. [2018;](#page-149-0) Compant et al. [2019\)](#page-141-0).

3.2.4 Functions of Endophytes

Plant-associated microbes include beneficial, neutral and pathogenic microorganisms. The plant growth-promoting bacteria (PGPB), which are the beneficial microbes, can improve and promote the plant growth by either producing some phytohormones like auxin, cytokinin and gibberellin (Herrera et al. [2016\)](#page-144-0) or secreting enzymes like ACC deaminase to reduce the ethylene level, the hormone of stress in plants. The PGPB can also improve the nutrient uptake by phosphate solubilization, nitrogen fixation or iron sequestration (Rascovan et al. [2016](#page-147-0); Olanrewaju et al. [2017\)](#page-147-0). A few studies also showed that some plant bacteria can have the ability to enhance host resistance against pathogen infection (Herrera et al. [2016](#page-144-0); Olanrewaju et al. [2017](#page-147-0); De Vrieze et al. [2018](#page-142-0)).

3.2.4.1 PGPB and Phytohormones

Khan et al. (2011) (2011) describe the phytohormones as chemical compounds that are produced in one part and exert an effect in another part influencing the physiological and biochemical processes of the plants (Khan et al. [2011](#page-144-0)). Phytohormones are critical for plant growth and development; they can regulate the protective responses of plants against both biotic and abiotic stresses (Table [3.1](#page-120-0)) by means of coordinated synergistic or antagonistic signals controlling downstream stress responses (Schmelz et al. [2003](#page-148-0); Khan et al. [2011](#page-144-0)).

Auxin

Auxins interfere in many important functions such as geotropism, phototropism, vascular tissue differentiation, apical dominance, root initiation, cell division and stem and root elongation (Grobelak et al. [2015\)](#page-143-0). The main auxin in plants that controls many important physiological processes is the indole-3-acetic acid (IAA) (Lüthen et al. [1999;](#page-145-0) Karadeniz et al. [2006\)](#page-144-0). Contrary to other auxins, such as indole-3-butyric acid (IBA) and phenyl acetic acid (PAA) also identified in plants (Normanly [1997](#page-146-0)), little is known about their physiological function. The IAA production by microbial isolates varies depending on different species and strains and is controlled by the availability of substrate(s). It plays an important and critical role in plant growth promotion because the bacterial IAA producers have the capacity to input IAA into the plant's auxin pool-generating modifications in the plant tissues (Finnie and Van Staden [1985;](#page-143-0) Karadeniz et al. [2006\)](#page-144-0). IAA can be synthetized using multiple pathways that differ sometimes in the same organism (Karadeniz et al. [2006\)](#page-144-0).

Cytokinin

Cytokinin is a phytohormone that regulates apical dominance, cell division, root elongation, seed germination, xylem and chloroplast differentiation, flower and fruit development, nutritional signalling, leaf senescence and plant-pathogen interactions (Sakakibara [2006](#page-148-0); De Rybel et al. [2016\)](#page-142-0). Cytokinin is produced in the plant roots and transported by translocation through the xylem (De Rybel et al. [2016\)](#page-142-0). Some microorganisms have the capacity to synthetize cytokinins (Arshad and Frankenberger [1991](#page-140-0)) and supply the soil to raise its cytokinin content and promote plant growth (Arshad and Frankenberger [1991;](#page-140-0) Spaepen [2015](#page-148-0)). Some studies also showed that cytokinin can regulate stomatal opening and restrict damaging foliar water loss by controlling stomatal closure (Arkhipova et al. [2005\)](#page-140-0).

Table 3.1 The ability of some phytohormone-producing microorganisms to decrease plant stress responses (Egamberdieva et al. [2017a\)](#page-142-0)

(continued)

Table 3.1 (continued)

Gibberellin

Gibberellin is a phytohormone, which is able to stimulate growth and activate an important growth process that includes stem elongation, seed germination, flowering, fruit setting that improves photosynthesis rate and chlorophyll content (Hedden and Thomas [2012;](#page-144-0) Halo et al. [2015;](#page-143-0) Olanrewaju et al. [2017](#page-147-0)). Genera of bacteria that produce GAs are Achromobacter xylosoxidans, Gluconacetobacter diazotrophicus, Acinetobacter calcoaceticus, Rhizobia, Azotobacter spp., Bacillus spp., Herbaspirillum seropedicae and Azospirillum spp. (Tsukanova et al. [2017\)](#page-149-0). Gibberellins can induce shoot growth and development and also root growth, root hair abundance, inhibition of floral bud differentiation in woody angiosperms, regulation of vegetative and reproductive bud dormancy and delay of senescence in many organs of a range of plant species (Nelson and Steber [2018](#page-146-0)).

3.2.4.2 Enzyme's Secretion

ACC Deaminase

All plants, in different development stages, are affected by ethylene. The synthesis of ethylene is usually affected by the concentration of different phytohormones, temperature, nutrient availability, light and the presence or not of any biotic or abiotic stress (Gamalero and Glick [2015](#page-143-0)). The presence of a high concentration of ethylene in plants is a response to different stresses such as the presence of metals, high temperature, water deficit or excess and pathogen presence (Ali et al. [2014\)](#page-140-0). Bacteria expressing 1-aminocyclopropane-1-carboxylic acid (ACC) deaminase have the capacity to lower the impact on plants of different stresses of biotic and abiotic kinds and enhance plant tolerance to the stresses. These PGPB reduce the stress by lowering the concentration of ethylene via hydrolysing ACC by the enzyme ACC deaminase (Gamalero and Glick [2015](#page-143-0); Raghuwanshi and Prasad [2018](#page-147-0)). A lot of recent studies proved that the inoculation of plants with ACC deaminase producing PGPR can provide a higher resistance to different biotic stresses and abiotic stresses such as salinity, drought and flood against various pathogens (Mallick et al. [2018;](#page-145-0) Saikia et al. [2018](#page-148-0); Gupta and Pandey [2019](#page-143-0)).

3.2.4.3 PGPB and Nutrient Uptake

Nitrogen Fixation

To ensure a good nutrition, plants require generally 16 nutrients (C, H, O, N, P, K, Ca, Mg, S, B, Cl, Cu, Fe, Mo, Mn and Z) that are taken up either by atmosphere or from soil in different concentrations (Marschner [2011\)](#page-145-0). The most vital components such as protein or nucleic acids are composed of nitrogen (Scherer-Lorenzen et al. [2008\)](#page-148-0). But the major problem is that more than 78% of the atmospheric nitrogen is not used by plants due to the non-assimilable form which is dinitrogen (N_2) . To be used and available for plants, it must be converted into ammonia. Plants do not have such mechanism in their cells (Haynes et al. [1993\)](#page-144-0). Some bacterial strains have the ability to fix nitrogen and convert it into ammonia. Two types of nitrogen-fixing bacteria are available; the symbiotic and free-living bacteria (Saharan and Nehra [2011\)](#page-147-0). The symbiotic bacteria can be Rhizobium. They are strictly bound to the leguminous type of plants and attracted towards plant roots. They form nodules to fix up to 80% of needed nitrogen; the free-living bacteria like Cyanobacteria or

Azoarcus (Graham and Vance [2000](#page-143-0)) survive on plant residues and are able to fix 20% of needed nitrogen (Dash et al. [2015](#page-142-0)).

Phosphorous Solubilization

Among the essential nutrients taken up from soil, is phosphorus (Lavania and Nautiyal [2013\)](#page-145-0). Due to its insolubility, the availability of phosphorous depends on the quality of soil (Yadav and Tarafdar [2001](#page-149-0)). Many researchers found that due to the presence of various beneficial bacterial species in soil, hydroxyapatite, di-calcium phosphate, rock phosphate and tricalcium phosphate are converted into soluble forms of phosphorous (Chen et al. [2006\)](#page-141-0). These microbes use different mechanisms to solubilize phosphate, including acid production and enzyme release, allowing plant growth enhancement. Some of the important phosphate-solubilizing bacterial genera are Rhizobium, Acinetobacter, Pseudomonas, Arthrobacter, Burkholderia, Enterobacter and Bacillus (Mehmood et al. [2018](#page-146-0)).

Iron Sequestration

One of the foremost important nutrients for plant growth is iron, due to the role it plays in several plant development mechanisms. Ferric ions are the iron forms taken up by plants from soil through transport mechanisms. PGPB have the capability to produce siderophores to compete and attain ferric ions $(Fe³⁺)$ from the surroundings under iron scarcity. Siderophores are small iron-chelating compounds that are released by some beneficial microorganisms. They significantly enhance plant biomass and chlorophyll content (Gull and Hafeez [2012;](#page-143-0) Mehmood et al. [2018](#page-146-0)).

3.2.4.4 PGPB and Stress Tolerance

Agricultural crops are exposed to many abiotic and biotic stresses that reduce crop yield (Atkinson and Urwin [2012](#page-140-0)). Different pathogenic microorganisms such as fungi, bacteria, viruses and nematodes attack plants. They induce biotic stresses, while abiotic stresses are more induced by drought, extreme temperatures, air pollution, salinity and moisture (Mehmood et al. [2018](#page-146-0)).

To protect their development and under stress conditions, plants recourse to different physiological and metabolic changes (Han and Lee [2005](#page-143-0)). Plant inoculation with PGPB eases their stress; these microorganisms not only enhance their ability to uptake nutrients from soil, but they can also enhance their ability to tolerate heavy metals or extreme weather conditions (Khan [2005](#page-144-0); Rojas-Tapias et al. [2012;](#page-147-0) Majeed et al. [2018\)](#page-145-0). Many studies have shown the positive action of the PGPB under biotic and abiotic stresses. For example, soybean crop is sensitive to soil salinity, nutrient and water uptake, nodule formation and ultimately yield which is reduced under saltstressed conditions (Han and Lee [2005\)](#page-143-0). The ability to tolerate heavy metals makes Pseudomonads good candidates under field conditions for phytoremediation (Chacko et al. [2009](#page-141-0)). Drought stress tolerance in crops has been enhanced by PGPR (Kim et al. [2012](#page-144-0); Vurukonda et al. [2016](#page-149-0)). PGPB showing ACC deaminase activity enhance growth and salt tolerance of red pepper seedlings (Capsicum annuum L.) by regulating stress ethylene synthesis (Siddikee et al. [2011\)](#page-148-0).

3.2.5 Localization of Endophytic Bacteria in Different Plant Organs

3.2.5.1 Leaf's Endophytes and Their Potential Use as PGP

Bacteria have the ability to colonize different plant organs and tissues including plant leaves. These microorganisms can improve the plant growth and enhance its development. Many studies demonstrated the potential of using leaves' endophytic bacteria as biofertilizers due to their ability to promote the plant growth. Gagne-Bourgue et al. ([2013\)](#page-143-0) isolated and characterized indigenous endophytic bacteria associated with leaves of switchgrass (*Panicum virgatum L.*) cultivars; the study showed wide bacterial diversity with a high plant growth potential. Another study in [2010](#page-141-0) by Bhore et al. described the isolation and identification of the bacterial endophytes from the leaves of Sambung Nyawa [Gynura procumbens (Lour.) Merr.] and their ability to enhance plant development due to production of cytokinin-like compounds. Leaves' endophytic bacterial strains can also act as biocontrol agents, conferring a protection against plant pathogens. This was demonstrated in a study in 2006 by Shiomi et al. where the suppression of plant diseases due to the action of endophytic microorganisms isolated from leaves has been proven. This experiment under controlled conditions involved endophytic bacteria isolated from leaves and branches of Coffea arabica L. and Coffea robusta L. The endophytic bacterial isolates tested proved to be effective in inhibiting spore germination and/or rust development, with values above 50%. The endophytic isolates were identified as Bacillus lentimorbus Dutky and Bacillus cereus (Shiomi et al. [2006](#page-148-0)).

3.2.5.2 Root Endophytic Bacteria Potentialities

The variation of endophytic bacterial communities depends on many criteria such as plant genotypes, field conditions, plant nutrient status, soil type and cultivation practices. Endophytic bacteria colonize different plant compartments and can be detected in different plant tissues like the root cortex, phloem and xylem. Root emergence sites can be considered as the perfect spot allowing the bacterial colonization. The emergence of the lateral roots forms an entrance to the epidermis, cortex and pericycle allowing the bacteria to penetrate the plant cells and be transported to different plant organs such as leaves and roots (Sprent and De Faria [1989;](#page-149-0) Agarwhal and Shende [1987\)](#page-140-0), because root cracks allow the leakage of plant metabolites, they become sites that attract bacteria (Hallmann et al. [1997](#page-143-0)). Many studies suggested that root hairs play an important and decisive role in plant root colonization by beneficial bacteria (Rincón et al. [2005;](#page-147-0) Mattos et al. [2008](#page-146-0)), but lateral roots can also be an entrance for the bacteria (Huang [1986\)](#page-144-0). To succeed the plant colonization, bacteria must have the motility and chemotaxis and be able to produce cell wall-degrading products and lipopolysaccharides. The importance of motility and polysaccharide production to facilitate the roots' bacterial penetration has been proven in a study including bacterial species like *Alcaligenes faecalis* and *Azospirillum brasilense* (Bashan and Holguin [1993](#page-140-0); You et al. [1995\)](#page-150-0) facilitating the penetration of those microbes. Chemotaxis is the key step of bacterial colonization of roots of several plant species (Zhulin et al. [1988;](#page-150-0) Turnbull et al. [2001](#page-149-0)). Chemotaxis and motility play many important roles in the symbiotic interactions of rhizobia with their hosts

(Currier and Strobel [1977](#page-141-0)). Motility and chemotaxis are two important characteristics of PGPR and play an important role in the interaction process (Gupta Sood [2003\)](#page-143-0). Bacterial root colonization has so many beneficial effects on plant development and growth (Kloepper et al. [1989\)](#page-145-0) using different mechanisms (Etesami and Maheshwari [2018](#page-142-0)). These bacteria colonize the internal plant tissues without any negative influence on their host. It has been proven in different researches that transplanting plant species in the absence of bacteria is notoriously difficult (Leifert et al. [1989;](#page-145-0) Hardoim et al. [2008\)](#page-143-0), demonstrating the role of bacteria in plant growth under stressful conditions.

3.2.5.3 Root Endophytic Bacterial Diversity and Their Potential as PGP

The endophytic bacteria colonizing the plant's roots have a very wide range of diversity, and many studies showed this variousness. Li et al. [\(2010](#page-145-0)) studied the community structure and diversity of endophytic bacteria in reed (Phragmites australis) roots growing in the Beijing Cuihu Wetland, China, using the 16S rRNA library technique. They found that Pleomorphomonas, Azospirillum, Aeromonas, Dechloromonas, Desulfovibrio and Sulfurospirillum were the dominant bacteria genera. These bacteria have important roles improving the plant metabolism. Therefore, this study shows that within the various bacterial communities found in reed roots, endophytic strains could have a great potential for improving phytotherapy on reed wetlands (Li et al. [2010\)](#page-145-0). In 1998, the diversity of bacteria associated with the roots of canola plants grown at three field locations in Saskatchewan, Canada, was investigated by Germida et al. ([1998\)](#page-143-0). They selected and identified randomly over 520 bacteria including 220 root endophytic bacteria. Four genera were identified: Bacillus, Flavobacterium, Micrococcus and Rathayibacter (Germida et al. [1998](#page-143-0)). Han et al. ([2009\)](#page-143-0) showed that the population diversity of culturable bacteria is abundant in the root domains of moso bamboo plants and that obvious differences exist among the rhizospheric, rhizoplane and endophytic bacterial communities. Abedinzadeh et al. ([2019\)](#page-140-0) characterize in their study a culturable rhizosphere and endophytic bacterial isolated from both rhizosphere soil and roots of maize plant with two types of irrigation: industrial and municipal wastewater. The results illustrated that both rhizosphere isolates and endophytic ones had various plant growth-promoting characteristics in terms of both the number and the production amount of these characteristics. A substantial number of the bacterial isolates (both endophytic isolates and rhizosphere isolates) were tolerant to heavy metals (multi-metal-resistant bacteria). Both endophytic isolates and rhizosphere ones showed remarkable resistance to salinity and tolerance to heavy metal presence. Another study in [2008,](#page-148-0) by Sheng and his team, showed two lead (Pb)-resistant endophytic bacteria from rape roots grown in heavy metal-contaminated soils. They found that during assays an increase in root elongation of inoculated rape seedlings was compared to the control plants. Strain G16 produced IAA, siderophores and ACC deaminase. Increases in biomass production and total Pb uptake in the bacteria-inoculated plants were obtained compared to the control. The two strains could colonize the root interior and rhizosphere soil of rape after root inoculation. In another study, Cherif et al. ([2015\)](#page-141-0) found that date palm

roots included endophytic communities that have the capacity to promote plant growth under drought conditions. Endophytic bacterial flora isolated from root and stem tissues of black pepper (Piper nigrum L.) was identified as an effective antagonistic endophyte for biological control of Phytophthora foot rot in black pepper (Aravind et al. [\(2009](#page-140-0)). To deal with soil contamination, 11 cadmium-tolerant bacterial strains were isolated from the root zone of Indian mustard (Brassica juncea L. Czern.) seedlings grown in Cd-supplemented soils. The bacteria isolated increased the plant tolerance to other metals including Zn, Cu, Ni and Co. The isolated strains including Variovorax paradoxus, Rhodococcus sp. and Flavobacterium sp. were able to stimulate root elongation of B. juncea seedlings in the presence and absence of toxic Cd concentrations. Some of the strains have also the capacity to produce auxins or siderophores. The isolated bacteria can be used as inoculants to improve plant growth under the presence of toxic Cd concentrations and for the development of plant-inoculant systems useful for phytoremediation of polluted soils (Belimov et al. [2005\)](#page-140-0). Most root microbiota is horizontally transferred, and microbiome is derived from the soil which contains a diverse range of microorganisms (Fierer [2017\)](#page-142-0). However, bacteria can also be transmitted vertically via seeds. Seeds represent an important source of microorganisms that proliferate in the plant root system (Liu et al. [2012\)](#page-145-0). In this regard, seed endophytic bacteria constitute a potential vector of PGPB (Bergna et al. [2018](#page-141-0)).

3.3 Potentialities of Seed Endophytic Bacteria

The growing interest for seed endophytic bacteria is due to their intrinsic characteristics. Indeed, seed endophytic bacteria are not typical: they have their own abilities and differ from endophytes from other parts of the plants (Compant et al. [2010](#page-141-0); Truyens et al. [2015;](#page-149-0) Lopez et al. [2018](#page-145-0)).

3.3.1 Seed Endophytic Bacteria Can Withstand the Conditions of the Particular Ecological Niche: Seed

Seed endophytic bacteria are able to survive in peculiar conditions: seeds are not a stable and rich ecological niche. During seed maturation, there is an accumulation of starch and a drastic decline in water content. The consequence is that they have to deal with dehydration and high osmotic pressure (Ebeltagy et al. [2000;](#page-142-0) Nicholson et al. [2000](#page-146-0); Mano et al. [2006;](#page-145-0) Compant et al. [2010](#page-141-0); Lopez et al. [2018](#page-145-0)). In order to survive in such conditions, they may have the trait of endospore formation, which protects them from the changes that occur inside seeds (Compant et al. [2011](#page-141-0)). As seeds can be in dormancy for a long time, they have to survive during this period (Nelson [2004](#page-146-0); Geisen et al. [2017](#page-143-0)) and to deal with starvation (Nicholson et al. [2000;](#page-146-0) Compant et al. [2010;](#page-141-0) Truyens et al. [2015](#page-149-0)). Endophytic seed bacteria have also a particular mode of nutrition because of the uncommon trophic resources of seeds. They possess a cortege of enzymes such as amylase to utilize starch as source of carbon (Mano et al. [2006](#page-145-0)) and phytase to use phytate as a source of phosphate (López-López et al. [2010\)](#page-145-0).

3.3.1.1 Motility

As seeds harden, seed endophytic bacteria have the ability to migrate through the plant and colonize other tissues (Johnston-Monje and Raizada [2011](#page-144-0); Truyens et al. [2015\)](#page-149-0). In this regard, Ebeltagy et al. [\(2000](#page-142-0)) and Okunishi et al. [\(2005](#page-147-0)) found out that almost all the seed endophytic bacteria from rice were motile. They can thus migrate from the different plant tissues to the seeds and vice versa. Of these endophytes, some use xylem vessels. They are endowed with a flagellum facilitating their mobility and assisted by ascending water flows guided notably by the phenomenon of evapotranspiration (Compant et al. [2010\)](#page-141-0). Others use intercellular spaces and are then equipped with enzymes capable of degrading cell walls (Dong et al. [1994\)](#page-142-0).

3.3.1.2 Competitive Advantage

As endophytic seed bacteria are seed-borne, they assure their presence in plants and are the first communities present in the seedlings (Truyens et al. 2014), which represent a competitive advantage comparing to the other bacteria present in the environment. Some studies proved the transmission from seed to mature tissues (Ferreira et al. [2008](#page-142-0); Ringelberg et al. [2012](#page-147-0)).

3.3.1.3 Vertical Transmission

Seed endophytic bacteria have also the potential to persist across generations, through the process of vertical transmission (Shahzad et al. [2018\)](#page-148-0). There is still a lack of evidence to confirm it, but some studies have already proved it for different species: Hardoim et al. ([2012\)](#page-144-0) for rice, Gagne-Bourgue et al. ([2013\)](#page-143-0) for switchgrass seeds, Truyens et al. ([2015\)](#page-149-0) for *Arabidopsis thaliana* and Cope-Selby et al. [\(2017](#page-141-0)) for Miscanthus.

3.3.1.4 Contribution to Germination

As seed endophytic bacteria are seed-borne, they are therefore the first communities present at the beginning of the seedling establishment. Consequently, these bacteria may play an important role during both germination and seedling development. Some studies showed that seed endophytic bacteria facilitate seed germination in soil (Rodríguez et al. [2018](#page-147-0)), and others assume that these bacteria are beneficial for both germination and seedling establishment (Truyens et al. [2015;](#page-149-0) Khalaf and Raizada [2018\)](#page-144-0). In this regard, the beneficial effects were shown in the cases of rice (Mano et al. [2006](#page-145-0); Kaga et al. [2009](#page-144-0)), eucalyptus (Ferreira et al. [2008](#page-142-0)) and maize (Rijavec et al. [2007](#page-147-0)). Seed-borne bacteria are sometimes indispensable for the germination process. Their removal by surface sterilization and antibiotic treatments inhibits drastically the seedling growth in the case of rice seeds (Verma et al. [2017\)](#page-149-0). One study also showed that seed endophytic bacteria might play a role to release seed dormancy. Goggin et al. ([2015\)](#page-143-0) showed that bacteria help release seed dormancy through production of cytokinins for ryegrass. Seed endophytic bacteria also share beneficial traits similar to other bacteria beneficial for plants. Indeed, they have

growth-promoting effects through their nutritional functions (Weyens et al. [2009](#page-149-0)) and hormone production (Herrera et al. [2016](#page-144-0)). Moreover, they display defensive functions as well (Weyens et al. [2009](#page-149-0)). They can also struggle against abiotic stresses such as metal pollution (Mastretta et al. [2009](#page-146-0)).

3.3.1.5 Growth-Promoting Effects

Numerous studies showed the growth-promoting effects of seed endophytic bacteria on plants such as rice (Hardoim et al. [2012\)](#page-144-0), cactus (Puente et al. [2009\)](#page-147-0), tomato (Xu et al. [2014](#page-149-0)) and wheat (Herrera et al. [2016\)](#page-144-0). They can display this property through the improvement of nutrition of their plant host or through the hormone production.

Nutritional Functions

Seed endophytic bacteria improve the nutrition of their host (Puente et al. [2009;](#page-147-0) Johnston-Monje and Raizada [2011;](#page-144-0) Truyens et al. [2015;](#page-149-0) Khalaf and Raizada [2016;](#page-144-0) Mitter et al. [2016;](#page-146-0) Khalaf and Raizada [2018\)](#page-144-0). This improvement is linked with not only the involvement of nitrogen fixation and nutrient mobilization (Weyens et al. [2009;](#page-149-0) Herrera et al. [2016](#page-144-0)) but also the production of enzymes and secondary metabolites important for plant nutrition (Shahzad et al. [2018\)](#page-148-0). The nutrient mobilization includes phosphate solubilization (Verma et al. [2001;](#page-149-0) López-López et al. [2010;](#page-145-0) Johnston-Monje and Raizada [2011](#page-144-0); Gagne-Bourgue et al. [2013;](#page-143-0) Xu et al. [2014;](#page-149-0) Chimwamurombe et al. [2016](#page-141-0)) iron acquisition (Weyens et al. [2009;](#page-149-0) Xu et al. [2014;](#page-149-0) Truyens et al. [2014](#page-149-0); Chimwamurombe et al. [2016\)](#page-141-0) and nitrogen fixation (Ebeltagy et al. [2000](#page-142-0); Verma et al. [2001;](#page-149-0) Gagne-Bourgue et al. [2013](#page-143-0); Zawoznik et al. [2014](#page-150-0); Xu et al. [2014;](#page-149-0) Chimwamurombe et al. [2016\)](#page-141-0).

Hormone Production

Seed-borne endophytic bacteria have the potential to produce various phytohormones (Shahzad et al. [2018\)](#page-148-0). For example, these bacteria can produce IAA (Ebeltagy et al. [2000;](#page-142-0) Xu et al. [2014](#page-149-0); Truyens et al. [2014;](#page-149-0) Zawoznik et al. [2014;](#page-150-0) Chimwamurombe et al. [2016](#page-141-0)), cytokinins (Weyens et al. [2009\)](#page-149-0). They can also suppress stress ethylene production by ACC deaminase activity (Weyens et al. [2009](#page-149-0); Johnston-Monje and Raizada [2011](#page-144-0); Xu et al. [2014](#page-149-0); Chimwamurombe et al. [2016](#page-141-0)).

3.3.1.6 Defensive Functions

Seed endophytic bacteria have defensive functions through various means. They can compete with pathogens for space and nutrients and produce hydrolytic enzymes. They also have the ability to act as biocontrol agents via antifungal and antibiotic activities. Eventually, they can induce plant defence mechanisms (Weyens et al. [2009\)](#page-149-0).

Competition for Space and Nutrients

Because seed endophytic bacteria evolve in the same ecological niches as some pathogens, they compete with them. Moreover, the production of siderophores leads to competitions with harmful microorganisms for the acquisition of iron (Loper and Henkels [1999](#page-145-0)).

Antibiotic and Antifungal Activities

Seed-borne bacteria have the ability to produce antimicrobial compounds (Johnston-Monje and Raizada [2011;](#page-144-0) Truyens et al. [2015;](#page-149-0) Mitter et al. [2016;](#page-146-0) Khalaf and Raizada [2016;](#page-144-0) Shahzad et al. [2018;](#page-148-0) Khalaf and Raizada [2018\)](#page-144-0). These may be volatile antimicrobial compounds (Mukhopadhyay et al. [1996](#page-146-0)), and can also involve lipopeptides such as surfactin, iturin and mycobacillin (Gagne-Bourgue et al. [2013\)](#page-143-0). Seed-borne endophytic bacteria own a cortege of hydrolytic enzymes useful for biocontrol such as chitinolytic enzymes (Mukhopadhyay et al. [1996\)](#page-146-0). The effects against pathogenic fungi are shown in several studies involving rice (Mukhopadhyay et al. [1996;](#page-146-0) Cottyn et al. [2001](#page-141-0); Bacilio-Jiménez et al. [2001](#page-140-0); Ruiza et al. [2011\)](#page-147-0), pumpkins (Fürnkranz et al. [2012](#page-143-0)), peanut (Sobolev et al. [2013\)](#page-148-0), tomato (Sundaramoorthy and Balabaskar [2013](#page-149-0)), maize (Gond et al. [2015\)](#page-143-0), wheat (Herrera et al. [2016](#page-144-0)) and cucurbits (Khalaf and Raizada [2018\)](#page-144-0).

Induction of Plant Defence Mechanisms

Seed-borne bacteria have the ability to trigger induced systemic resistance (ISR) and therefore to reduce plant susceptibility to pathogen attack (Weyens et al. [2009](#page-149-0)). They can release quorum-sensing molecules such as acetoin (Johnston-Monje and Raizada [2011\)](#page-144-0). Quorum-sensing molecules may participate by the induction of plant gene expression for defence (Mathesius et al. [2003](#page-146-0)). They can release substances and natural elicitors, such as hydrogen peroxide (Pitzschke [2016](#page-147-0)).

3.3.1.7 Reduction of Pollutant Phytotoxicity

Seed endophytes can reduce cadmium phytotoxicity: Mastretta et al. ([2009\)](#page-146-0) showed it for tobacco plants. They may also have properties of phytoremediation. Truyens et al. [\(2014](#page-149-0)) assume this phenomenon in the case of Agrostis capillaris with the phytoremediation of cadmium.

3.3.2 Some Examples That Demonstrate the Potential of Seed-Borne Endophytic Bacteria for Agricultural Use

The potential of seed endophytic bacteria is still unexploited for agricultural use. Some studies concerning different kinds of cultures have been led to and shown several benefits in order to improve crop yield. On one hand, the interest of seed endophytic bacteria was assessed for market gardening. Market gardening requires a large number of inputs such as pesticides and fertilizers. The studies mentioned below show how much the seed endophytic bacteria are interesting to replace non-sustainable agricultural inputs. They concern the following crops: tomatoes, beans, pumpkins, peanuts and cucurbits. On the other hand, other studies prove their use interest for big crops such as rice, wheat, barley, maize and soya, and reveal their key role for agricultural transition. Moreover, the effect of these bacteria has been

evaluated on forage crops, more precisely on agrostis. Finally, peculiar crops, which constitute niche market, are mentioned and reveal seed endophytic bacteria applications for agricultural use. The concerned cultures are quinoa and cactus.

3.3.2.1 The Potential of Seed Endophytic Bacteria for Market Gardening

Tomato

Two studies related to tomato have been found (Xu et al. [2014;](#page-149-0) Lopez et al. [2018\)](#page-145-0). They conduce not only to plant growth-promoting properties of entophytic bacteria originated from seeds but also to their biocontrol ability. Xu et al. (2014) (2014) have isolated 84 culturable endophytic bacteria from tomato seeds of four commercial varieties. Concerned isolated strains showed many plant growth-promoting traits such as (1) hormone production (37% of the isolates produced IAA), (2) phosphate solubilization (37% of the isolates), (3) siderophore production (24% of the isolates), nitrogen fixation (85% of the isolates) and (4) enzyme activity: 6% of the isolates showed ACC deaminase activity. The present study also assessed the effect of these strains on plant growth promotion and led to positive results: this experiment showed the ability of one strain to enhance the growth of tomato seedlings and as a consequence the potential of the concerned B. subtilis endophytic strain for industrial application. Lopez et al. [\(2018](#page-145-0)) have isolated bacteria and have studied plant growth promotion traits. The strains they found exhibited beneficial traits such as IAA production, siderophore production and phosphate solubilization. Moreover, they assessed not only antifungal activities from endophytic bacteria and in vivo antagonism but also the effect of cell-free supernatant of endophytic bacteria against fungal pathogens. The results led to the conclusion that seed endophytic bacteria are able to synthetize antifungal substances and have the ability to deter plant pathogens.

Beans

Two publications in relation to seed endophytic bacteria have been found on beans. Both of them show the potential of these bacteria to contribute to plant growth promotion and plant protection. Chimwamurombe et al. ([2016\)](#page-141-0) isolated 123 isolates from marama bean (Tylosema esculentum). They screened these strains for plant growth-promoting activities which revealed the ability of IAA production. The strains exhibit not only ACC deaminase activity, siderophore production, endoglucanase activity, protease activity, phosphate solubilization and nitrogen fixation but also production of N-acylhomoserine lactones, components which are involved in successful colonization (Compant et al. [2010](#page-141-0)) and play a role in plant defences (Schuhegger et al. [2006\)](#page-148-0). These bacterial activities may contribute to plant growth promotion and protect plant against pathogens. The lytic activities such as protease are useful for plant protection. López-López et al. [\(2010](#page-145-0)) have studied the bacterial endophytic community of Phaseolus vulgaris cultivars. The study has permitted to conclude that these bacteria have the ability to solubilize phytate. This property enables to improve plant iron nutrition.

Pumpkins

The study of Fürnkranz et al. [\(2012](#page-143-0)) explains the ability of seed endophytic bacteria of Styrian oil pumpkin (Cucurbita pepo L. subsp. pepo var. styriaca Greb) for biocontrol. In vitro antagonism tests against D. bryoniae showed positive results. Moreover, Fürnkranz et al. [\(2012](#page-143-0)) also reported 43 isolates that have the ability to inhibit the growth of the bacterial pumpkin pathogens Pectobacterium carotovorum, Pseudomonas viridiflava and Xanthomonas cucurbitae. They concluded that there can be promising use of these strains for agricultural purposes in relation to pumpkin crop protection against pathogens.

Peanut

Sobolev et al. [\(2013](#page-148-0)) made a survey on seed endophytic bacteria on peanut culture. The aim of the study included the characterization of strains that present an activity against the pathogen A. flavus. The results showed that some bacteria showed an ability to deter the phytopathogen.

Cucurbits

Khalaf and Raizada ([2018\)](#page-144-0) focused on the antagonist ability of seed endophytic bacteria from diverse cultivated cucurbits. They isolated 169 bacterial endophytes from cucumbers, melons and pumpkins. They affected different tests including dual culture assays against Rhizoctonia solani, Fusarium graminearum, Phytophthora capsici and Pythium aphanidermatum and tests in plants for antagonism against a foliar pathogen, which is the causative agent of cucurbit powdery mildew. Then, tests in vitro for assessing secretion of volatile organic compounds were realized, followed by the evaluation of extracellular ribonuclease activity, which play a role in plant defences against pathogens. It was found that the majority of the strains presented strong antagonistic properties. Seventy percent of the isolates have the ability to be effective against the phytopathogens. In addition, 67% of the strains produced organic volatile compounds, and 62% showed extracellular ribonuclease activity. All the results led to the belief in the potential of seed endophytic bacteria to suppress diseases issues linked to cucurbit crops.

3.3.2.2 The Potential of Seed Endophytic Bacteria for the Productivity of the Main Food Crops

Rice

Seed endophytic bacteria from rice have been studied in several studies, including Mukhopadhyay et al. [\(1996](#page-146-0)), Ebeltagy et al. [\(2000](#page-142-0)), Verma et al. [\(2001](#page-149-0)), Cottyn et al. [\(2001](#page-141-0)), Feng et al. ([2005\)](#page-142-0) and Mano et al. [\(2006](#page-145-0)). All the studies reveal the potential of seed endophytic bacteria isolated from rice cultivars, including not only plant growth promotion but also the biocontrol aspect. Feng et al. ([2005\)](#page-142-0) focused on Pantoea agglomerans rice endophytic bacterium. This bacterium has beneficial properties for plant growth promotion as nitrogen fixation and production of phytohormones (IAA, abscisic acid, gibberellic acid and cytokinin). Ebeltagy et al. [\(2000](#page-142-0)) have isolated endophytic bacteria originated from different tissues and organs

beneficial traits such as nitrogen fixation and IAA production. They also discovered other specific traits including pectinase and cellulose excretion, osmotic resistance and capsule formation. Verma et al. ([2001\)](#page-149-0) obtained similar results with positive results concerning cellulase and pectinase activity, IAA production and nitrogen fixation. Cottyn et al. [\(2001](#page-141-0)) isolated 428 bacteria. Their studies focused on the biocontrol properties. They found out that 4% of their isolates showed in vitro antifungal activity against Rhizoctonia solani and Pyricularia grisea. Mukhopadhyay et al. ([1996\)](#page-146-0) obtained results concerning biocontrol properties of isolates. They found that some bacteria they have isolated showed a high antifungal ability against the pathogens R. solani, Pythium myriotylum, Gaeumannomyces graminis and Heterobasidion annosum. More recently, this aspect was reinforced by the study of Ruiza et al. [\(2011](#page-147-0)). They found out that the 39 isolated seed-borne bacteria of rice exhibited probiotic activities and antifungal activity against Curvularia sp., F. oxysporum var. radices-lycopersici and Pythium ultimum in dual culture plates. These isolates also demonstrated plant growth-promoting traits such as nitrogen fixation, phosphate solubilization and production of IAA.

Maize

Studies relative to maize seed endophytic bacteria showed their potential for farming by the ability to express plant growth-promoting traits and show biocontrol activity. On one hand, the publication from Johnston-Monje and Raizada [\(2011](#page-144-0)) revealed that the 26 different genera of bacteria isolated from maize seeds exhibit plant growthpromoting traits (plant growth stimulation, nitrogen fixation, phosphate solubilization, iron sequestration, production of the phytohormones auxin and acetoin, possess ACC deaminase, pectinase and cellulase activities). They also discovered the ability of endophytes to show antagonism towards pathogens: a majority showed antibiosis against bacteria or yeast. Gond et al. [\(2015](#page-143-0)) worked particularly on the biocontrol traits of such endophytic bacteria: they evaluated bacteria for antifungal compound production by the evaluation of lipopeptide production. They also tested these isolates for induction of defence gene expression. It was found that the lipopeptide extracts produced by the isolates exhibited antifungal activity against *Fusarium* moniliforme and that one isolate showed the induction of pathogenesis-relative genes in relation to plant defence against fungal pathogens.

Wheat

Herrera et al. [\(2016](#page-144-0)) underlined the role of endophytic strains from wheat seeds by studying plant growth properties and antifungal activities against F. graminearum. More precisely, nitrogen fixation, IAA, phosphate solubilization and siderophore production were assessed, and all the traits presented at least one beneficial trait. The in vitro antagonism against F. graminearum and the biofilm production in relation to biocontrol activity were also tested and conduced to confirm the biocontrol activity of these strains.

Barley

Zawoznik et al. [\(2014](#page-150-0)) isolated eight isolates from barley seeds and screened them for nitrogen fixation and IAA production. They found out that the majority of the strains were able to fix nitrogen and that all of them were capable to produce the phytohormone aforementioned.

Soy Beans

Oehrle et al. [\(2000](#page-146-0)) focused on seed-borne endophyte from soya seeds. They did not focus on plant growth-promoting traits nor on biocontrol properties. They study the role of seed-borne microbes on root colonization by the symbiont B. japonicum. It was found that soybean microbiome can affect the symbiosis of nodulative bacteria.

3.3.2.3 The Potential of Seed Endophyte Bacteria for Forage Crops

Agrostis

Truyens et al. ([2014\)](#page-149-0) led studies on a forage crop, Agrostis capillaris. Seed endophytic bacteria from seeds of contaminated soils exhibited some traits such as ACC deaminase activity (78.4%), production of siderophores (42.4%), phytohormones biosynthesis (IAA, 82.6%; acetoin, 43.4%) and metal tolerance.

3.3.2.4 The Potential of Seed Endophytic Bacteria for Niche Market Cultures

Quinoa

Pitzschke [\(2016](#page-147-0)) made a study on seed-borne endophytes in quinoa. They tested the seed-borne endophytes for catalase and amylase activity, and the results were positive. Moreover, it was found that these microorganisms have high superoxide levels. They concluded that seed endophytic bacteria have a potential for agriculture use.

Cactus

Puente et al. ([2009\)](#page-147-0) discovered the remarkable abilities of endophytic bacteria in cactus seeds. They found out that these bacteria are necessary for the seed to develop. The endophytic bacteria have the property to promote the growth of giant cactus seedlings.

Tobacco

Mastretta et al. [\(2009](#page-146-0)) have isolated seed endophytic bacteria from Nicotiana tabacum. After having positive results for cadmium tolerance for many strains, they tested the isolates for plant growth promotion with and without cadmium stress. Generally, the strains permit an increase in biomass production. The potential of seed endophytic bacteria is notingly due to their vertical transmission trait which remains unclear but constitutes a potentially interesting trait for commercial use.

3.3.3 Vertical Transmission of Seed Entophytic Bacteria

Plants contain a number of microbes that constitute the plant microbiome. Among this microbiome, bacteria play an important role. These bacteria can be transmitted by different ways: on one hand, the transmission can be exerted in a horizontal way by the environment (via soil, atmosphere and insects), on the other hand, bacteria can be transmitted in a vertical manner (via pollen and seeds) (Frank et al. [2017\)](#page-143-0). Until now, the knowledge of their route of colonization remains unclear (Hardoim et al. [2012;](#page-144-0) Klaedtke et al. [2016](#page-145-0); Rodríguez et al. [2018](#page-147-0)). In their review, Shade et al. [\(2017](#page-148-0)) have reported three principal ways of transmission to constitute the seed microbiome; (1) through the xylem or nonvascular tissues of the mother plant, (2) the stigma of the mother plant and (3) contamination through contact with microorganisms.

3.3.3.1 Context

In their review, Truyens et al. [\(2015](#page-149-0)) underline the peculiar potential of seed endophytic bacteria because they may be transmitted across successive generations. Soil is generally considered as the main source of endophytic bacteria. Rodríguez et al. ([2018\)](#page-147-0) explained that the assumption of heritability of bacterial endophytes from seed to seed lacks evidence. To that extent, Vandenkoornhuyse et al. [\(2015](#page-149-0)) assumed that the weight of vertical transmission relatively to horizontal transmission is still unclear. Shade et al. [\(2017](#page-148-0)) pointed out the fact that this weight was relying on the plant species and fluctuant. Sanchez-Canizares et al. ([2017\)](#page-148-0) pointed out that even if the part of contribution of seed microbiome to constitute the future plant microbiome is small compared to environment contribution, seed microbiome does play a role for development of plant microbiome. Frank et al. ([2017\)](#page-143-0) have reported the beneficial effects of seed endophytic bacteria, and regarding this, they suggested that such mutualistic interactions may be transmitted vertically via seeds (Ewald [1989\)](#page-142-0). Vertical transmission may favour mutualism because endophytic bacterial survival relies on the fitness of its host plant (Truyens et al. [2015](#page-149-0)). At the beginning of the twenty first century, the vertical transmission of endophytic symbionts colonizing host plants with the seeds was proved with the biological model of grass species. Bacteria were not considered earlier, but fungal species such as Neotyphodium coenophialum were involved (Clay and Schardl [2002](#page-141-0); Selosse et al. [2004](#page-148-0)). Specific studies concerning bacteria were done later.

Transmission from Seed to Mature Tissues

There are several studies which prove the transmission of seed microbiome to mature tissues. Ferreira et al. showed in 2008 the vertical transmission of seed endophytic bacteria from seeds to seedlings. Their biological model was Eucalyptus sp. In 2012, Ringelberg et al. have confirmed this fact with another biological model, wheatgrass. They studied bacterial endophyte communities of two distinct wheatgrass varieties. In order to have a vision of bacterial communities, they used culturable and direct rDNA PCR amplification techniques. They compared the communities of leaves, seeds and roots. They affected the evaluation in two distinct media, and found out that cultures in both media showed the possible translocation of bacteria (Actinobacteria, Firmicutes, Gammaproteobacteria) from seeds to mature plant tissues.

Flower to Seed Transmission

One study went further and proved vertical inheritance of bacteria from flower to seed (Mitter et al. [2017](#page-146-0)). Mitter et al. [\(2017](#page-146-0)) succeeded to prove it by introducing the endophyte *Paraburkholderia phytofirmans* PsJN to the flowers of parent plants and by evaluating its inclusion to seed microbiome. The results permit to demonstrate that the introduction of endophytic bacteria to the flower brought modifications to seed endophytic bacteria communities in wheat.

Seed to Seed Transmission

The vertical transmission of seed microbiome has been proved for several species. Gagne-Bourgue et al. ([2013\)](#page-143-0) have succeeded to prove the vertical transmission of endophytic bacteria seed to seed in switchgrass seeds. In switchgrass plants some endophytic bacteria were reported which were also present in seeds collected a year earlier. Hardoim et al. ([2012\)](#page-144-0) investigated the transmission of seed endophytic bacteria from seed to seed by assessing the endophytic bacterial community encompassing two rice generations. To do that, they used two methods: cultivation-dependent and cultivation-independent methods. It was found that 45% of the bacterial community found in the first seed generation was also found in the second generation. Truyens et al. [\(2013](#page-149-0)) studied the changes in the population of seed bacteria of trans-generationally Cd-exposed A. thaliana. Their results suggested that there is a selective process of endophytes across generations that shape microbiome according to bacterial properties and environmental stress factors endured by the mother plant. Cope-Selby et al. ([2017\)](#page-141-0) wanted to study the extent of vertical transmission of endophytic bacteria in Miscanthus seed via the seed. They found out that vertical transmission is potentially a major source of the microbiome of Miscanthus seeds.

Conservation of Seed Microbiome Through Generations Improving Plant Fitness

This vertical transmission has interest for plant fitness. Some studies suggest that the advantageous microbiome is selected across generations to improve the survival of plant species depending on the biotope, suggesting a coevolution of seed microbiome in the long term. Several arguments suggest this selection: (1) conservation of seed microbiome through generation in the long term (Johnston-Monje and Raizada [2011\)](#page-144-0), (2) low diversity of seed endophytic bacteria compared to bacteria from other parts of plants (Adam et al. [2018;](#page-140-0) Bergna et al. [2018\)](#page-141-0) and (3) the shape of seed microbiome depending on the environmental conditions (Bergna et al. [2018;](#page-141-0) Adam et al. [2018](#page-140-0)).

Conservation of Seed Microbiome Through Generation in the Long Term

Johnston-Monje and Raizada ([2011\)](#page-144-0) made researches about the conservation of endophytic species from wild ancestor of maize, teosinte, to the domesticated plant genus Zea. They studied ten maize varieties for its endophytic communities by culturing, cloning and DNA fingerprinting. Despite of the variation of seed endophyte composition between the varieties, they noted a "core microbiota" of seed endophytic bacteria that is conserved from teosinte to modern cultivated varieties of maize.

Low Diversity of Seed Endophytic Bacteria Compared to Bacteria from Other Parts of Plants

Some studies (Bergna et al. [2018;](#page-141-0) Adam et al. [2018\)](#page-140-0) show that seed microbiome has a lower diversity than the microbiome of the other parts of the plant. Bergna et al. [\(2018](#page-141-0)) found that soil, root endosphere and rhizosphere were the habitats with the highest bacterial diversity, whereas seeds harbour selective bacterial communities. Adam et al. ([2018\)](#page-140-0) discovered similar results: contrary to rhizospheric microbiomes, seed microbiomes showed a low diversity.

The shape of seed microbiome depending on the environmental conditions (Bergna et al. [2018](#page-141-0); Adam et al. [2018\)](#page-140-0). Bergna et al. [\(2018](#page-141-0)) provides a better understanding of its origin. These studies focussed on: (1) to know the main drivers of the microbiome composition, (2) what the impact of soil quality on the seed microbiome is and (3) how the transmission of PGPB in the seed across generations works. It was found that plant habitat and genotype have its own microbiome and its own PGPB (plant growth-promoting bacteria). Studies also pointed out a selection process that conserves beneficial traits across generations depending on the biotope: seeds grown under field conditions included endophytic bacteria with biocontrol traits, whereas seeds of the successive generation grown in a pathogen-free and with a scarcity of nutrient harboured a majority of bacteria that have the ability to improve the nutrition of plants. These results show that the environment has the power to shape the seed microbiome and to improve the fitness of plant depending on its needs. Adam et al. ([2018\)](#page-140-0) also found out that soil exert an influence on seed microbiome.

3.3.3.2 The Importance of the Plant Genotype

Recently, studies permit to assume the link between plant genotype and seed microbiome, suggesting vertical transmission and coevolution between plants and seed microbiome. Adam et al. ([2018\)](#page-140-0) studied the Cucurbita pepo seed microbiome and its shaping agents. They evaluated bacterial communities of seeds and rhizospheres of 14 Styrian oil pumpkin genotypes in comparison to bulk soil. Their results permit to assume that there is a strong influence of the C. pepo genotype on the composition of the seed microbiome, which reinforces the vertical transmission aspect. Berg and Raaijmakers [\(2018](#page-140-0)) insisted that factors, as plant genotype and management practices, have also a strong influence on seed microbiome. They particularly insisted on the phenomenon of plant domestication, which is an important driver of seed microbiota, proving that vertical transmission is effective. In this regard, Rybakova et al. ([2017\)](#page-147-0) studied indigenous microbiota associated with the seed of several cultivars of oilseed rape. They discovered that cultivars had different microbiomes and that it (the microbiome) acted differently according to the concerned cultivar to inoculation, reinforcing the assumption that plant genotype is linked to seed microbiota and confirming the potential existence of vertical transmission of seed microbiota.

3.3.3.3 Possible Ways of Vertical Transmission

In their review, Truyens et al. [\(2014](#page-149-0)) detail the ways bacterial endophytes can get in, which are multiple. Indeed, seed microbiota may be transmitted: (1) from the vegetative parts of the plant to the seed via vascular connections, (2) via gametes (3) via future reproductive meristems.

3.3.4 Horizontal Transmission of Seed Endophytic Bacteria

Even if there are proofs of the role of vertical transmission of the seed microbiome, horizontal transmission of seed endophytic bacteria still seems to play the major role for seed microbiome constitution. The key component of construction of seed endophytic bacteria communities seems to be soil. Sanchez-Canizares et al. [\(2017](#page-148-0)) affirmed that soil is the main source of microbiome. This assumption is especially reinforced by the study of Hardoim et al. ([2012\)](#page-144-0). The team as mentioned above made a study on dynamics of seed-borne rice endophytes. They conducted an experiment to know what the factors are that shape the endophytic bacterial community structure. They found out that soil type is the major effector of the bacterial endophytes. The soil is not the only environmental part which influences the seed microbiome, Berg and Raaijmakers [\(2018](#page-140-0)) insisted that the rest of the environment and management practices have also a strong influence on seed microbiome. In this regard, Klaedtke et al. ([2016\)](#page-145-0) studied the influence of host genotypes and terroir on the structure of the seed microbiota by using the metabarcoding method. They analysed the results from different seed endophytic communities of five bean cultivars originated from farms. It was found that there was a significant effect of the location (i.e. the farm site) on the structure of both the bacterial and fungal communities: the location is estimated to be responsible for 12.2% and 39.7% of variance in bacterial and fungal diversity respectively, across samples. On the contrary, host genotype was not a determinant factor of variance in microbial assemblage structure. They concluded that seed endophytic bacterial communities are determined by the terroir, which is a driver for selection of seed microbiota. The environment thus plays a significant role. In this regard, Rezki et al. [\(2018](#page-147-0)) described in their study this "ecological drift". They focused on the assembly of seed-associated microbial communities within and across successive radish generations. Their work consisted in the evaluation of the seed microbiome of radish across successive generations by assessing its structure and composition to have a better understanding of the involvement of seeds in the transmission of plant bacteria. The results showed that seed microbial communities have a low heritability across plant generations. It was found that "ecological drift" is a factor for the assembly of seed microbiome.

3.4 Seed Endophytic Bacteria as Promising Tools for Agricultural Purposes

The seed-borne endophytic bacteria present a potential for agricultural use due to their remarkable abilities summarized in the figure (Fig. [3.1\)](#page-139-0). The examples mentioned before for the different kinds of crop systems (market gardening, big crops, forage crops, niche market cultures) demonstrate the relevance of endophytes for agricultural purposes. Numerous scientists assume that seed-borne endophytic bacteria are promising biofertilizers (Ruiza et al. [2011;](#page-147-0) Truyens et al. [2015](#page-149-0); Khalaf and Raizada [2016](#page-144-0); Khalaf and Raizada [2018](#page-144-0)). Berg et al. [\(2017](#page-141-0)) and Khalaf and Raizada [\(2018](#page-144-0)) insisted on their biocontrol potential. The characteristic of vertical transmission constitute a growing interest (Johnston-Monje and Raizada [2011\)](#page-144-0). Bergna et al. [\(2018](#page-141-0)) showed that seed can be considered as primary vehicle of PGPB transmission. Consequently, this is a potential strategy to maintain beneficial bacteria, and the design of seed treatments seems promising. Bacilio-Jiménez et al. [\(2001](#page-140-0)), Nelson ([2004\)](#page-146-0) and Mitter et al. [\(2017](#page-146-0)) highlighted on the competitive advantage of seed-borne endophytic bacteria for inoculation. Bacilio-Jiménez et al. [\(2001](#page-140-0)) assumed that these kinds of bacteria are strongly competitive and have the potential to be as competitive as bacteria introduced as inoculants. This is because seed-borne endophytic bacteria have a privileged interaction with their plant host (Nelson [2004\)](#page-146-0): they escape from competition because of the fact that they are from the beginning inside plant tissues. In this regard, Shade et al. (2017) (2017) qualify them as a starting point and an endpoint for community assembly. Seed endophytic bacteria seem thereby to be promising and efficient biofertilizers. Some scientists assume that seeds could play the role of support for inoculation by manipulating its microbiome (Berg and Raaijmakers [2018](#page-140-0); Adam et al. [2018\)](#page-140-0). In this regard, Berg and Raaijmakers [\(2018](#page-140-0)) considered that it would be interesting to join breeding and introduction of beneficial microbes with seeds as vectors of inoculation. In addition, bacteria in seeds might be less impacted by environmental constraints and mechanical perturbation (Mitter et al. [2017\)](#page-146-0). Since seed microbiome improves the germination conditions, plants grown from seeds would present the advantages (López-López et al. [2010\)](#page-145-0). This method could permit to increase targeted inoculant populations by pre-inoculation, with time, work and cost savings. For example, in Brazilian farming systems, the cultivation of eucalyptus has increased considerably. The development of eucalyptus is mainly conditioned by the endophytic fungi which act directly on the increase of eucalyptus growth. Cloned seeds inoculated with fungi improved yields (Vitorino et al. [2016\)](#page-149-0). Adam et al. [\(2018](#page-140-0)) found out that although there is a small difference between the C. pepo L. subsp. pepo var. styriaca genotypes, cultivar-dependent differences were found in the seed microbiome. They suggest that it could be possible to select the cultivar with its associated beneficial microbiome. Mitter et al. [\(2017](#page-146-0)) went further and succeeded to manipulate

Fig. 3.1 Seed endophytic bacteria and their traits for agricultural purposes

the seed microbiome in a targeted way. They conceived a way to introduce targeted and directed traits into plants in one generation without plant breeding strategy and thereby saving time. They demonstrated that the modulation of an optimized seed microbiome was possible. They developed a new approach to modulate seed microbiome by introducing beneficial strains into seeds and thereby to optimize plant development. They introduced the endophyte P. phytofirmans PsJN (a powerful plant growth promoter, Mitter et al. [2013\)](#page-146-0) to the flower of parent plant and demonstrated to include it in progeny seed microbiome. The aim of the experiment was to induce its vertical inheritance to the offspring generation. Experiment showed that this modulation of seed microbiome can be useful for agricultural ends. The endophyte mentioned above was introduced in both monocotyledon and dicotyledonous plant species (maize, wheat, soy, pepper), and it succeeded in all the cases. This modulation resulted in important modifications to seed microbiome composition. The modulation of the plant microbiome creates thereby new halobionts (Turner et al. [2013](#page-149-0)) and determines plant fitness (Jefferson [1994](#page-144-0)) by modulating plant's traits. The conception of novel seed-based microbiomes is thereby possible. Determining plant beneficial traits by modulating seed microbiome seems to be a feasible strategy to substitute abusive use of fertilizers and pesticides.

3.5 Conclusion

Seed endophytic bacteria are of growing interest because they have particular characteristics compared to bacteria from other plant compartments, known for their biofertilizing properties. Among these particular characteristics, it is possible to mention:

- 1. The resistance of these bacteria to difficult abiotic conditions.
- 2. The competitive advantage of these microbes.
- 3. Vertical transmission which would allow endophytic seed bacteria to be transmitted from generation to generation.

In connection with this ability of vertical transmission, some scientists consider that the seeds are the primary vehicles of beneficial bacteria. There is a selection of the procession of microbiome important for the host plant from generation to generation in order to increase the latter's fitness. Seeds are thus essential agronomic tools with an under-exploited potential. Indeed, they are not only a microbiological gold mine, but they are also a means of making bacterial inoculation viable and feasible for agronomic purposes. In this regard, it is not only possible to operate an alternative seed design for effective inoculation but also to manipulate the seed microbiome so as to introduce targeted agronomic traits.

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Microorganisms as Biocontrol Agents of Pests and Diseases 4

Muriel da Silva Folli-Pereira, Amanda Azevedo Bertolazi, Juliana Garlet, Lucas Zanchetta Passamani, Sávio Bastos de Souza, Fernanda Senna Ferreira Costa, and Alessandro Coutinho Ramos

Abstract

Plant diseases and insect pests are among the main factors that limit the world agroforestry production, and possible control measures of phytopathogens and insects include the use of chemical pesticides, although major concerns about their effects on human health and the environment limit their use. Biological control of pests and plant diseases through biocontrol agents, such as microorganisms, has been increasingly used, especially as a eco-friendly approach to the environment, and meeting demand of food products free from agrochemical residues. These microorganisms can be easily isolated by conventional methods and used mainly due to their ease of cultivation. Thus, this chapter presents the main microbial biocontrol agents of pests and plant diseases, and the mechanisms associated, as well as a discussion about the economic impacts of biological control.

Keywords

Biological control · In vitro screening · Pest-insects · Phytopathogens · Mechanisms · Economic impacts

M. da Silva Folli-Pereira (\boxtimes) · J. Garlet Universidade do Estado de Mato Grosso—Unemat, Av. Perimetral Rogério Silva, Alta Floresta, MT, Brazil e-mail: muriel.folli@unemat.br

L. Z. Passamani FAESA Centro Universitário, Vitória, ES, Brazil

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A. A. Bertolazi · S. B. de Souza · F. S. F. Costa · A. C. Ramos Biotechnology and Environmental Microbiology Lab, Universidade Vila Velha (UVV), Vila Velha, ES, Brazil

4.1 Introduction

Estimates from the United Nations demonstrate an accelerated population growth for the coming years, in which the world population is likely to exceed eight billion inhabitants in 2024 and 9.5 billion by 2050 (ONU [2012\)](#page-187-0). Certainly, this population growth will increase the demand for food, which traditionally, makes intensive use of pesticides for the control of pests and diseases, creating environmental and human health problems.

In the same proportion as the demand for food grows, so does society's concern about the impacts of pesticides use on the environment. Agricultural sector has been increasingly pressured to adopt more sustainable production systems that result in food production without the use of pesticides (Morandi and Bettiol [2009\)](#page-186-0).

The use of genetically modified plants or even plant cultivars that are resistant to pests and/or diseases is a widely used method for controlling pests and diseases (Havey [2018\)](#page-183-0), although it does not work well for all plant species because these germplasms are not widely used in commercial cultivars. For some plants, disease control through the use of pesticides has been inefficient, due to the resistance accumulated by the wide application of a limited number of registered molecules, which has favoured the use of other methods like the use of biological control agents (BCAs) (Rivera-Méndez et al. [2020](#page-188-0)). Despite certain control effects, the problems of plant resistance to pesticides, environmental pollution and human health are becoming more serious and have increasingly drawn the world's attention. To solve these problems, several studies have been carried out to research and explore biopesticides produced by involving endophytic microorganisms, to control plant diseases (Cui et al. [2019](#page-181-0)).

The application of fungicides to control root pathogens in the soil has a questionable efficacy, also resulting in the accumulation of toxic compounds and compromising the survival and diversity of soil microorganisms, which are useful for plant development and soil quality (Rojo et al. [2007](#page-188-0); Arora [2018\)](#page-179-0) and can cause pathogen resistance (Selim et al. [2014;](#page-189-0) Gholami et al. [2019](#page-183-0)). In this context, sustainable alternatives for disease control, such as BCAs, are necessary. The interaction of microorganisms used as BCAs with host plants results in important processes that lead to the suppression and/or elimination of phytopathogens, such as by the production of antibiotics, parasitism/predation, production of cell wall degrading enzymes or lytic enzymes, competition for nutrients or space and establishment of induced resistance in the plant (Chernin et al. [1995;](#page-181-0) Cohen-Kupiec and Chet [1998;](#page-181-0) Léon-Kloosterziel et al. [2005](#page-185-0); Verma et al. [2019](#page-190-0)).

Lopes [\(2009](#page-185-0)) highlights that the mass production of microorganisms to control pests and diseases, enabling the supply of large amounts of these agents, is essential for the evolution of this control method. Many biological control programs with microorganisms use the flood strategy, in which the control agent must be available in large quantities. Even for inoculative strategies, which occur with a certain periodicity, the availability of a microbial product in the most favourable times for application is essential. In this context, the market for biological products for the control of pests and agricultural diseases grew by more than 70% in 2019 in Brazil, for example, moving around R\$ 464 million in 2018. The Brazilian result is considered the most expressive in the sector's history and exceeds the percentage presented by the international market (Brazil [2019\)](#page-180-0).

4.2 Microorganisms with Biotechnological Potential for Biological Control of Pest-Insects and Phytopathogens

Insect pests are one of the main challenges in cultivated ecosystems, affecting the production costs, and considering that a large percentage of agricultural and forest plantations are found in the tropical regions, the incidence of these insects increases considerably. The search for forms of insect pests' control and regulation that are less aggressive to the environment, with less use of chemical insecticides, is gaining, and the increase in organic production systems also stimulates the development of new control alternatives (Van Driesche and Bellows [1996](#page-190-0); Fontes et al. [2020\)](#page-182-0). One of the control options with the least environmental impact is the biological control, which can be defined as "the purposeful utilization of introduced or resident living organisms, to suppress the activities and populations of one or more plant pathogens" (Pal and Gardener [2006\)](#page-187-0). Thus, the use of pathogens (microorganisms) in the biological control of insect pests has increased significantly in integrated pest management programs.

The microorganisms that cause disease in insects are called entomopathogens. First reports of insect diseases appeared almost 5000 years ago, when bees and silkworms were exploited by Egyptians and Chinese (Alves [1998](#page-179-0)). One of the major milestones in the control of insect pests with entomopathogens was the discovery of the bacterium Bacillus thuringiensis Berliner ([1915\)](#page-180-0) (Eubacteriales: Bacillaceae) in the early twentieth century. From this discovery, the microbial control of insects had great advances, reaching the Era of Genetic Engineering, giving rise to transgenic plants that involve strains of B. thuringiensis (Lopes [2009](#page-185-0)). The main microorganisms used in the control of insect pests are bacteria, fungi, viruses and nematodes, and all groups have products commercialized in many countries. Microbial insecticides have the entomopathogenic microorganisms in their formulation as an active biological compound, and their insecticidal action comes from the organism itself or a substance it produces. Its main advantage is greater selectivity and less or no toxicity when compared to conventional chemical insecticides.

Despite their potential use in sustainable disease management, the success of these microorganisms and their interaction with other methods worldwide, BCAs have not been widely used for most agricultural crops (Subedi et al. [2020\)](#page-189-0). However, studies involving plant-microorganism-microorganism interactions have been increasing in recent years and have stood out as an effective strategy to increase agricultural productivity in a sustainable way using BCAs of phytopathogens (Table [4.1\)](#page-155-0). Strategies for the biological control of plant diseases that use beneficial microorganisms, whether endophytic, rhizobacteria or residing in the phylloplane (e.g. Pseudomonas, Trichoderma and Bacillus species), are considered ecologically correct for the management of plant diseases (Ayukea et al. [2017\)](#page-179-0). These

microorganisms can act by direct antagonism, by inducing resistance, or even, in many cases, by both ways, concomitantly (Morandi and Bettiol [2009\)](#page-186-0). They provide several advantages for plants such as growth promotion and suppression and/or reduction of disease (Smith et al. [1999](#page-189-0)).

4.2.1 Bacteria

The main species of known entomopathogenic bacteria belong to the families Bacillaceae, Pseudomonadaceae, Enterobacteriaceae, Streptococcaceae and Micrococcaceae. However, the genus Bacillus Cohn ([1872\)](#page-181-0), of the Bacillaceae family, mainly the species B. *thuringiensis* (Bt) and its subspecies and varieties, have been the most used BCA of insect pests in different cultivated systems. According to ISAAA (International Service for the Acquisition of Agri-Biotech Applications) [\(2020](#page-184-0)), Bt is easily grown by fermentation, and for the past 50 years, it has been used as an insecticide by farmers around the world. Organic agriculture has benefited from the Bt insecticide, since it is one of the few products allowed in this cultivation system. Thus, considering the importance of the genus Bacillus for the biological control of insects, Fig. [4.1](#page-158-0) shows the main species, variety and subspecies of this genus used in the control of insect pests and which insect groups are controlled with these agents.

B. thuringiensis is a Gram positive, aerobically or optionally anaerobic bacterium, naturally found in the soil, and can be kept in latency for significant periods in adverse conditions. To be effective in controlling insects, this entomopathogenic bacteria needs to be in contact with the insect, and often needs to be ingested, thus producing endotoxins in the digestive system, leading the insect to death. In the sporulation phase, these bacteria synthesize proteins that accumulate on the periphery of spores in the form of crystals in one of the poles of the cell (Peferöen [1997\)](#page-187-0). These crystals are composed of one or more Cry proteins, which are highly toxic and specific to a certain group of insects. When ingested by the insect, B. thuringiensis produces Cry proteins which are activated in the alkaline condition of the intestine and pierce the midgut, leaving the insect unable to feed, so it dies within a few days (ISAAA [2020](#page-184-0)). This bacterium has a great biological diversity and can synthesize different toxic proteins to different pests. Being highly specialized, it is used mainly to control defoliating caterpillars, especially the subspecies B. thuringiensis kurstaki, known as Btk (Herrero et al. [2001;](#page-183-0) Usta [2013](#page-190-0)). Products that use Bt as an active compound have been available in the market since the 1970s, successfully controlling several insects of the order Lepidoptera, Diptera and Coleoptera. According to Wang et al. ([2020\)](#page-190-0), most strains of Bacillus associated with plants are plant growth-promoting rhizobacteria (PGPR), which provide new possibilities for sustainable agriculture, because this interaction results in plants resistant to a wide range of fungal and bacterial phytopathogens through various mechanisms.

Several species of PGPR of the genera Azotobacter, Azospirillum, Pseudomonas and Bacillus have been studied for their ability to biocontrol plant diseases (Rai and Nabti [2017](#page-188-0); Boukerma et al. [2017](#page-180-0); Gouda et al. [2018](#page-183-0)). In addition, several strains of

Biocontrol agent	Target phytopathogen	References
Acaulospora	Fusarium oxysporum f. sp.	Brum et al. (2012)
terricola	herbemontis	
Acremonium obclavatum	Puccinia arachidis	Sathiyabama and Balasubramanian (2017)
Alternaria sp.	Colletotrichum acutatum	Landum et al. (2016)
Ampelomyces quisqualis	Erysiphales ssp.	Angeli et al. (2013)
Choiromyces aboriginum	Rhizoctonia solani, Sclerotium rolfsii Rhizoctonia cerealis Fusarium graminearum F. oxysporum f. sp. perniciosum F. oxysporum f. sp. vasinfectum	Cao et al. (2009)
Bacillus atrophaeus	Colletotrichum gloeosporioides	Guardado-Valdivia et al. (2018)
Bacillus subtilis	Fusarium ssp. Ralstonia solanacearum	Yu et al. (2011) and Djaya et al. (2019)
Bacillus pumilus	Sclerotinia sclerotiorum Paecilomyces lilacinus	Cavalcanti et al. (2020) and Zou et al. (2007)
Bacillus amyloliquefaciens	Clavibacter michiganensis	Gautama et al. (2019)
Bacillus licheniformis	Phytophthora capsici Meloidogyne ssp.	Li et al. (2020) and Chen and Dickinson (2004)
Bacillus velezensis	Phoma foveat R. solani Fusarium avenaceum Colletotrichum coccodes Phytophthora nicotianae Botrytis cinerea	Cui et al. (2019), Guo et al. (2020), Jiang et al. (2018) and Jiang et al. (2019)
Bacillus thuringiensis	S. sclerotiorum Plutella xylostella	Wang et al. (2020)
Epicoccum nigrum	Fusarium verticillioides, Ceratocystis paradoxa Pectobacterium carotovora subsp. atrosepticum	Favaro et al. (2012) and Bagy et al. (2019)
Pasteuria penetrans	Meloidogyne ssp.	Dickson et al. (1992)
Pseudomonas chlororaphis	Erwinia carotovora ssp. Carotovora	Han et al. (2006)
Pseudomonas fluorescens	R. solani Macrophomina phaseolina	Shanmugaiah et al. (2009)
Pseudomonas aeruginosa	B. cinerea	Audenaert et al. (2002)
Pseudomonas putida	B. cinerea R. solanacearum Xanthomonas oryzae pv. oryzae X. oryzae pv. oryzicola Xanthomonas citri subsp. citri	Ongena et al. (2005) and Sun et al. (2017)

Table 4.1 Examples of screenings performed for biological control agents of plant pathogens

(continued)

Table 4.1 (continued)

(continued)

Biocontrol agent	Target phytopathogen	References
Trichoderma atroviride	Soil pathogens in pastures	Kandula et al. (2015)
Trichoderma asperellum	Meloidogyne javanica Sclerotium cepivorum Phytophthora megakarya	Sharon et al. (1993), Rivera- Méndez et al. (2020) and Mbarga et al. (2020)
Trichoderma citrinoviride	Cylindrocarpon destructans R. solani B. cinerea Alternaria panax C. destructans Phytophthora cactorum Pythium spp. B. cinerea	Park et al. (2018)
Trichoderma gamsii	Epicoccum nigrum, Scytalidium lignicola Pleospora herbarum Fusarium flocciferum	Chen et al. (2016)

Table 4.1 (continued)

Erwinia, Stenotrophomonas, Serratia, Burkholderia, Streptomyces, Actinomyces, Debaryomyces, Acinetobacter, Enterobacter, Escherichia and Ralstonia were evaluated as antagonists of several phytopathogens, demonstrating potential efficiency as BCAs (Saddler [2005;](#page-188-0) Meziane et al. [2006](#page-186-0); Bencheqroun et al. [2007;](#page-180-0) Messiha et al. [2007;](#page-186-0) Taqarort et al. [2008;](#page-190-0) Saravanakumar et al. [2008](#page-189-0); Xue et al. [2009;](#page-191-0) Liu et al. [2010;](#page-185-0) Ramadasappa et al. [2012](#page-188-0); Ramesh and Phadke [2012;](#page-188-0) Wei et al. [2013;](#page-191-0) Dukare et al. [2018](#page-182-0)). Of all the genera mentioned above, the species of Pseudomonas and Bacillus have been the most studied in recent years, and several results indicate that they can effectively control several plant diseases (Gao et al. [2018;](#page-182-0) Jiang et al. [2018](#page-184-0); Prakash and Arora [2021\)](#page-187-0).

Currently, there are more than 400 Bt-based formulations that have been registered in the market, and most of them contain viable insecticidal proteins and spores. Products formulated with Bt can be found in different formulations such as wettable powder, concentrated suspension, emulsifiable concentrate and dispersible granules (Usta [2013](#page-190-0)). In Brazil, wettable powder and concentrated suspension are the main formulations sold, and it is possible to find 17 registered commercial products based on Bacillus in the country (AGROFIT [2020a;](#page-178-0) ABCBio [2020](#page-178-0)). The great revolution that Bt brought was the possibility to produce transgenic plants resistant to insect pests. At the end of 2018, it was estimated that 23.7 million hectares were planted with crops containing the Bt gene in more than 40 countries, with the main cultivated Bt crops being cotton, (*Gossypium hirsutum* L.), corn (*Zea* mays L.) and soy (Glycine max (L) Merrill), and all crops were developed to be resistant to the attack of defoliating caterpillars (ISAAA [2020](#page-184-0)).

Fig. 4.1 Main species and varieties of the genus *Bacillus* used to control insect pests (Moraes et al. [1998;](#page-186-0) Silva [2000;](#page-189-0) ISAAA [2020;](#page-184-0) AGROFIT [2020a;](#page-178-0) ABCBio [2020](#page-178-0))

4.2.2 Fungi

Fungi are eukaryotic microorganisms whose cell walls contain chitin and/or cellulose and glucans, and most of them grow in filamentous form, producing hyphae that, when grouped, are called mycelium. The order Hypocreales (Ascomycota: Sordariomycetes) has the largest number of entomopathogenic genera known as Beauveria Vuill. (Cordycipitaceae), Metarhizium Sorokin (Clavicipitaceae), Isaria Pers. (Cordycipitaceae), Verticillium Nees von Esenbeck [\(1816](#page-186-0)) (Clavicipitaceae), Lecanicillium W. Gams & Zare. (Cordycipitaceae) and Nomuraea Maubl. (Clavicipitaceae) (Usta [2013](#page-190-0); Valadares-Inglis et al. [2020\)](#page-190-0).

There are frequent changes in the taxonomic classification of entomopathogenic fungi, and, with the help of molecular techniques, the phylogenetic position of some groups of fungi has been determined with greater precision, even for identification of species. For instance, *Metarhizium anisopliae* (Metschn.) Sorokin, one of the main

species used in insect control, was considered a complex of species that are being studied and identified. The first report of a fungi as a causative agent of disease in insects was in 1835 with Beauveria bassiana (Bals.-Criv.) Vuill., and between 1878 and 1888, efficiency of M. anisopliae as a microbial agent of pests' control was determined. Since then, more than 700 species of entomopathogenic fungi have been reported to cause disease in insects (Valadares-Inglis et al. [2020](#page-190-0)). But, despite that, the species B. bassiana and M. anisopliae are still the main fungi used in biological control programs, with an infinity of registered formulations and products. Figure 4.2 shows the main groups of insects controlled by these microorganisms. Another fungal species that also deserves to be highlighted is the microsporidium Paranosema locustae (Canning), which, until 2003, was known as Nosema locustae (Canning) and is a mandatory parasite in orthopterans and, among them, especially grasshoppers (Orthoptera). There are studies reporting its use in the control of grasshoppers in Argentina, Brazil, China, the United States and other countries (Feng et al. [2015;](#page-182-0) Valadares-Inglis et al. [2020\)](#page-190-0). It should be noted that Microsporidia is a phylum of unicellular parasitic spore-forming fungi. In the past, they were included among protozoa, but after genetic studies, it was discovered that they were related to fungi, so it is common to find older works identifying P. locustae as protozoa.

Entomopathogenic fungi have a broad spectrum of action, being able to colonize several species of insects, and the main advantage in relation to other groups of microorganisms is their infection capacity at all stages of hosts development. These fungi invade the body of insects, mainly through the cuticle or skin (integument), rapidly multiplying throughout the body and causing their death by the destruction of tissues and, occasionally, by toxins produced. After infection, the insects stop feeding and become slower, leading to their death. Entomopathogenic fungi have the characteristic of emerging from the body of insects, producing spores that can be dispersed by wind, rain and contact with other insects or by ejecting their spores in

Fig. 4.2 Main groups of insect pests controlled with B. bassiana and M. anisopliae (Silva [2000](#page-189-0); AGROFIT [2020b,](#page-178-0) [c](#page-178-0); ABCBio [2020\)](#page-178-0)

the air (Hajeck and Leger [1994](#page-183-0); Alves et al. [2008](#page-179-0); Valadares-Inglis et al. [2020](#page-190-0)). To be effective in insect control, entomopathogenic fungi require moisture to enable infection, so their efficiency increases considerably in hot and humid periods. Thus, the microclimate conditions found on the surface of plants and in the bodies of insect pests are fundamental for the establishment of infection. Regions of the insect's body, such as the mouth, anus and respiratory orifices, have a moist microenvironment, favourable to the entry and beginning of infection, positively influencing the efficiency of the pathogen (Valadares-Inglis et al. [2020\)](#page-190-0).

When applied to the environment, fungi can suffer abiotic damage that compromises their efficiency, such as exposure to ultraviolet (UV) radiation, which has a negative effect on spore germination, as well as on survival, reproduction, dispersion and virulence of the fungi (Alves [1998](#page-179-0)). Thus, the abiotic conditions at the time of application of products based on fungi must be carefully monitored, giving priority to applications at the end of the day or during the night, when solar radiation is less or absent and the relative humidity of the air is favourable to the action of the entomopathogen (Valadares-Inglis et al. [2020\)](#page-190-0).

Currently, commercial products with entomopathogenic fungi as an active compound are found in a significant number of countries, in different commercial formulations such as granules, emulsifiable oil, baits, dry and wettable powder, concentrated suspension and emulsifiable concentrate. In Brazil, the wettable powder is the most common formulation, and there are currently 60 registered mycoinsecticides, with B. bassiana (22) and M. anisopliae (34) species with the highest number of registered products (ABCBio [2020;](#page-178-0) AGROFIT [2020b,](#page-178-0) [c](#page-178-0)). Brazil stands out in the sustainable management of some insect pests with mycoinsecticides, such as leafhoppers (Hemiptera: Cercopidae) from pastures and sugar cane (Saccharum officinarum L.) where the area treated with the fungus M. anisopliae exceeds one million hectares. The use of B. bassiana in coffee plantations (Coffea sp. L.) to control the fruit borer (Hypothenemus hampei Ferrari (1867), Coleoptera) and in banana cultivation (Musa sp. L.) for the rhizome borer (Cosmopolites sordidus (Germar) Coleoptera) also deserves mention with significant results for the management of these pest species (Batista Filho et al. [2013\)](#page-179-0).

Endophytic fungi live inside plants, propagating in inter- or intracellular spaces without harming or causing apparent disease symptoms (Li et al. [2012;](#page-185-0) Park et al. [2018\)](#page-187-0). Several studies have shown that these fungi improve plant resistance to insects and pathogens, because they colonize all parts of the host, to activate primary plant defences and producing several metabolites with antimicrobial and antiviral activities (Gunatilaka [2006](#page-183-0); Macia-Vicente et al. [2008](#page-185-0); Maciá-Vicente et al. [2009;](#page-185-0) O'Hanlon et al. [2012;](#page-187-0) Segaran and Sathiavelu [2019\)](#page-189-0). They also increase plant tolerance to abiotic stresses such as drought, extreme temperature and salinity (Ownley et al. [2010;](#page-187-0) Park et al. [2018](#page-187-0)). Thus, due to their beneficial effects on the development, growth and fitness of host plants, these fungi have been considered valuable natural resources that can be exploited as BCAs against microbial pathogens (Zhang et al. [2014](#page-191-0); Gholami et al. [2019\)](#page-183-0).

Trichoderma spp. is undoubtedly one of the most studied and used fungi in the biological control of phytopathogens, including Fusarium spp., Rhizoctonia solani

Kuhn, Phytophthora spp., Sclerotium rolfsii Sacc, Pythium spp., Botrytis cinerea and Alternaria spp. (Zeilinger and Omann [2007](#page-191-0); Bettiol et al. [2008;](#page-180-0) Yao et al. [2016;](#page-191-0) Zeilinger et al. [2016](#page-191-0)). It is estimated that this microorganism could suppress at least 29 species from 18 genera of pathogenic fungi (Ahmed et al. [2010](#page-178-0); Zhu et al. [2020\)](#page-192-0), and this is one of the reasons that several species of Trichoderma were developed as commercial products, which are now used to control leaf pathogens and soil-borne diseases in several crops (Zeilinger et al. [2016](#page-191-0); Zhu et al. [2020\)](#page-192-0). In Latin America, the species Trichoderma asperellum, Trichoderma harzianum, Trichoderma stromaticum and Trichoderma viride have been the most commercialized (Morandi and Bettiol [2009](#page-186-0)). Some endophytic fungi, like Trichoderma citrinoviride, can produce large amounts of enzymes like 1,4-β-D-glucanase and cellulases that degrade the cell wall of important phytopathogenic fungi such as *Botrytis cinerea*, Cylindrocarpon destructans, Phytophthora cactorum and Pythium sp. (McQuilken and Gemmell 2004 ; Shi et al. 2013 ; Lin et al. 2016). Also, the use of *Trichoderma* can result in increased plant growth and soil remediation; therefore, these fungi provide more advantages as BCAs than viruses, bacteria and protozoa (Cavalcante et al. [2008](#page-180-0)).

4.2.3 Viruses

Viruses are infectious agents, whose genome contains only one type of nucleic acid (RNA or DNA), which is surrounded by a protein layer and can be encompassed by a membrane containing lipids. They are inert in the extracellular environment and can replicate only in living cells, being mandatory intracellular parasites of any living being (Reis and Santos [2016](#page-188-0)). Thus, they are also important agents for microbial control of insects, with Baculoviridae, Poxviridae and Reoviridae being the viral families already reported effective against insects.

The Baculoviridae family represents the main group of entomopathogenic viruses studied, and the literature reports more than 700 species of arthropods naturally infected with baculovirus. These viruses were first reported during the development of the silk industry in China in the sixteenth century, when they were responsible for causing a disease in the silkworm (Bombyx mori L. (Lepidoptera: Bombycidae)). Baculoviruses have a simple circular double DNA strand and comprise the genera Nucleopolyhedrovirus (NPV) and Granulovirus (GV). NPVs have polyhedral inclusion bodies, containing multiple viral particles, while GVs generally contain single particles, occluded in ovoid protein bodies (Castro et al. [1999;](#page-180-0) Usta [2013](#page-190-0); Das et al. [2019\)](#page-181-0). In most cases, baculoviruses are quite efficient because they are highly virulent and specific to hosts, in addition to being safe for human health and the environment, as they do not negatively impact plants, mammals, birds, fish or even non-target insects. (Castro et al. [2020](#page-180-0)). Thus, they are the main viruses used in the biological control of insects, and in Fig. [4.3,](#page-162-0) the baculovirus genera and the respective groups of insects that they control are presented.

The infection of insects by viruses occurs by ingesting viral polyhedra, and the penetration occurs in epithelial cells of the midgut. After entering the intestinal cells,

Fig. 4.3 Groups of insect pests controlled by *Nucleopolyhedrovirus* and *Granulovirus* (Silva [2000;](#page-189-0) Castro et al. [2020](#page-180-0))

the spread of the infection through the insect's body occurs with the arrival of the virus in the circulatory (hemolymph) and/or respiratory (trachea) system, with or without previous viral replication in the intestinal cells (Gelernter and Lomer [2000;](#page-183-0) Castro et al. [2020](#page-180-0)). During infection, a series of behavioural and morphological changes occur in the insect, starting with loss of appetite, followed by decreased growth, lethargy, discoloration and loss of the natural brightness of the integument due to tissue dissolution and accumulation of viral particles, until death of the insect. In the final stage of the infection process, a very common characteristic infection is observed, which is the migration of the infected insect to a higher position of the branch of the tree or plant, in order to facilitate the dispersion of the occlusion bodies. This behaviour of the insect (negative geotropism), caused by the viral infection, is called the "zombie effect" (Castro et al. [2020](#page-180-0)).

Insects of the order Lepidoptera are, for the most part, important pests of cultivated systems; thus, most pathology studies of viral diseases are concentrated in this order. There are reports that more than 700 species of insects were naturally infected with baculovirus and more than 90% of baculoviruses were isolated from Lepidoptera species, although they can be found in other orders, such as Diptera and Hymenoptera (Das et al. [2019](#page-181-0); Castro et al. [2020](#page-180-0)).

The largest baculovirus biological control program was established in Brazil to control the caterpillar Anticarsia gemmatalis Hübner, (1818) (Lepidoptera: Noctuidae), an important pest species in soybean plantations (G. max) in an area comprising 2,000,000 ha (Usta [2013\)](#page-190-0). Currently, in the country, 17 commercial baculovirus-based products are available to control caterpillars, mainly in soybeans (ABCBio [2020\)](#page-178-0).

4.2.4 Nematoda

The phylum Nematoda is considered the most numerous and varied group among multicellular animals. They are pseudocoelomate beings, with bilateral symmetry and filiform in at least one stage of their life cycle, occurring in the most different environments, and in certain ecosystems, they constitute the largest part of the soil microfauna. In the last three decades, they represent one of the most studied groups

of biological insect control agents, since several species of nematodes were experimentally proven to associate with different species of insect pests. They are currently considered an effective tool in integrated pest management programs, representing an important part of BCAs (Dolinski et al. [2017;](#page-182-0) Voss et al. [2019;](#page-190-0) Dolinski [2020](#page-182-0)).

Entomopathogenic nematodes belong to the order Rhabditida, in which the families Steinernematidae and Heterorhabditidae can be found, and where the genera Steinernema Travassos ([1927\)](#page-190-0) and Heterorhabditis Poinar [\(1976](#page-187-0)) are the main nematodes used in biological control, generally for soil insects. Both genera have a wide geographical distribution, with reports of occurrence in soils from six different biomes worldwide (Van Driesche and Bellows [1996;](#page-190-0) Voss et al. [2019\)](#page-190-0). Figure 4.4 shows the main groups of insect pests with potential for biocontrol by the genera Steinernema and Heterorhabditis. Currently, about 89 species of the genus Steinernema and 22 of the genus *Heterorhabditis* have been described, more than 70% of which have been described in the last 20 years. These nematodes act in symbiotic association with g-proteobacteria of the *Enterobacteriaceae* family. These bacteria are mobile, Gram-negative and produce toxins that cause the death of the insect, and antibiotics that prevent the growth of other opportunistic microorganisms. Two genera of bacteria were found associated with these nematodes: Xenorhabdus (Thomas and Poinar [1979](#page-190-0)) associated with the genus Steinernema and Photorhabdus (Boemare et al. [1993\)](#page-180-0) associated to Heterorhabditis (Dolinski [2020\)](#page-182-0). These nematodes invade hosts through natural openings (mouth, spiracles, anus) or wounds and penetrate the hemocoel. When introduced into the insect's hemocoel by the nematode, the bacterial cells are released into the hemolymph, where they excrete toxins, which kill the insect in 24 to 48 h. The nematode digests substances of the insect, in addition to feeding the bacteria, and multiplies for several cycles presenting four larval (juvenile) phases, an adult and egg phase inside the insect. When the insect's nutritional reserves are exhausted, the third larval phase ingests some bacteria and goes in search of another insect to infect. They are the so-called infective juveniles, the only phase adapted to survive outside the insect (Van Driesche and Bellows [1996;](#page-190-0) Voss et al. [2019\)](#page-190-0).

Several studies indicate that entomopathogenic nematodes are more efficient in controlling insects that are distributed in closed habitats with high humidity, low incidence of UV light and mild temperatures, and that is why they have been most effective for soil insect pests or borers. According to Dolinski et al. [\(2017](#page-182-0)), several studies tried to indicate the most favourable conditions and formulations for storage and application of nematodes in the field in Brazil, since several factors, such as

Fig. 4.4 Groups of insect pests with potential for biocontrol by the genera Steinernema and Heterorhabditis (Silva [2000](#page-189-0); Dolinski [2020](#page-182-0))

temperature and oxygen, directly affect the survival and infectivity of organisms stored in aqueous suspension. Almenara et al. [\(2012](#page-179-0)) highlight that the field application of pathogenic nematodes can be done with sprays containing different carriers, with dead bodies of insects or baits. In the case of using dead insects, infected larvae of Galleria mellonella L. (Lepidoptera: Pyralidae) are wrapped in clay and distributed throughout the field. This type of application is often more efficient than spraying with aqueous or gelatinous suspensions. Baits may contain nematodes complexed with different inert materials. However, the main difficulty in disseminating the use of this group of insect pathogens is the small number of companies that study and produce these nematodes, which compromises their large-scale application in the field (Dolinski et al. [2017\)](#page-182-0).

In addition to the genera Steinernema and Heterorhabditis already mentioned as potential BCAs, species Deladenus siricidicola Bedding [\(1968](#page-180-0)) (Neotylenchidae) also deserves mention, since it has been widely used to control the wood wasp (Sirex noctilio Fabricius (1773) (Hymenoptera: Siricidae)), an important pest species in Pinus sp. L. plantations. The nematode D. siricidicola has a bicyclic life cycle, parasitizing wasp larvae and remaining in the host until pupation and, when it moves to the reproductive organs, infesting and sterilizing the female's eggs. The female wasp then oviposits sterile eggs to host trees, which helps spread the nematode. Then, in a second part of its life cycle, *D. siricidicola* feeds on *Amylostereum* areolatum (Chaillet ex Fr.) Boidin, a symbiotic wood wasp fungus. The life cycle of the nematode in the fungus lasts only about 2 weeks, which means that several nematodes can be created in laboratory conditions in a short period. Mass-created nematodes are shipped to application areas in breathable plastic containers with water, and the nematode-water mixture is inoculated into holes made in trees with inoculation hammers; then the nematode goes in search of the fungus for food and is consumed by the wasp (Kenis et al. [2019\)](#page-184-0).

It is noteworthy that the only nematicide registered in Brazil is a product formulated based on D. siricidicola (ABCBio [2020](#page-178-0)), and this nematode has been used in several countries with pine cultivations in the southern hemisphere to control S. noctilio.

4.3 Advantages, Disadvantages and Challenges in Microbial Control

The advantages of using microbial insecticides are mainly related to the ecological benefit, because these agents are less harmful to the environment, mainly acting on a single species or on a specific group of insects and preventing the occurrence of secondary pests. Usta ([2013\)](#page-190-0) highlights that these products are often effective in small quantities and decompose rapidly, resulting in lower exposures and avoiding environmental problems. Another important point concerns the compatibility of this type of biocontrol with other methods and the smaller possibility of the insect to acquire resistance to pathogens.

As a main disadvantage, Carrano-Moreira ([2014\)](#page-180-0) mentions that specificity can represent an economic downside, since the cost of developing a bioinsecticide to combat a single pest species may not be competitive, since these products already have high production and registration costs. Another issue is related to the time of action, because consumers are used to chemical products that deliver results almost immediately after application, and microbial agents may require a few days or even weeks to be effective and achieve the expected results. One of the main limitations of microbial control is the inability of these microorganisms to persist in habitats exposed to UV radiation or desiccation. Thus, obtaining commercial formulations that allow these pathogens to increase their action time when applied is essential. In this context, Mandal [\(2019](#page-185-0)) considers that the development of nanoscale insecticides called nanopesticides may be an alternative to increase the efficiency of microbial insecticides. Das et al. (2019) (2019) report that studies involving the use of nanotechnology to increase the potential of baculovirus as an insecticide have been carried out, since this virus has a sensitivity to UV radiation, thus limiting its large-scale application as an insecticide.

According to Sampaio [\(2018](#page-188-0)), among the challenges of controlling insects with microorganisms, it is possible to highlight the necessary expansion of the availability of commercial products, currently concentrated in a few pathogens. Therefore, constant research involving the identification of new agents is necessary, increasing the number of insects controlled by microbial products. Finally, another important challenge in the use of microbial insecticides is cultural, since consumers need to think about this control in the context of integrated pest management, with constant assessment and monitoring of cultivated ecosystems. They also need to know all the factors that involve the population dynamics of insect pests, thus applying BCAs before the pest reaches the level of economic damage and using this control in an integrated way with other regulation tactics. Thus, Sampaio ([2018\)](#page-188-0) suggests that in addition to generating knowledge, actions aiming at disseminating, interacting, training and providing technical assistance to consumers about the use of entomopathogens may result in a favourable environment for the innovation of production systems, contributing to overcoming the issues imposed by the agrochemicals.

4.4 Mechanisms of Microorganism-Associated Biological Control

In the last four decades, there has been a considerable advance in the screening of antagonistic microorganisms capable of acting as BCAs and in the understanding of the mechanisms behind their action (Alabouvette et al. [2006](#page-178-0); De Corato [2020\)](#page-181-0).

The antagonistic effects of BCAs responsible for suppressing a disease promoted by a phytopathogenic organism can result from two main strategies: (1) through microbial interactions directly against the pathogen, mainly during its saprophytic phase, known as direct antagonism (parasitism, antibiosis and nutrient competition),

or (2) through an indirect action, inducing the development of systemic resistance in the host plant (Alabouvette et al. [2006](#page-178-0); Mhatre et al. [2019](#page-186-0)).

4.4.1 Parasitism

Different microorganisms directly inhibit the development of plant pathogens, thus acting as their natural parasites. Parasitism begins with the release of compounds by the pathogen, which act as chemotrophic molecules, guiding the growth of the parasite towards the pathogen. Then, the parasite adheres to the pathogen's surface and penetrates its cells through the release of lytic enzymes, causing damage to its internal organs and resulting in their death (Kiss [2003](#page-184-0); Heydari and Pessarakli [2010;](#page-183-0) Bardin and Pugliese [2020\)](#page-179-0). This type of antagonism, which causes the death of the target organism through parasitism, results in decreased density of the pathogens, thus reducing or eliminating the negative effects they have on plants (Milgroom and Cortesi [2004](#page-186-0)). Some strains of Trichoderma spp. are known to be parasite of a variety of phytopathogens, such as B. cinerea, Pythium spp., S. rolfsii, Sclerotinia sclerotiorum and Fusarium spp. (Waghunde et al. [2016\)](#page-190-0). In addition, some studies show the use of Trichoderma spp. in the control of pathogens in agriculture, like the pathogenic fungus R. solani, which causes various plant diseases, such as burning rice and black spots on potatoes (Jia et al. [2013](#page-184-0); Rahman et al. [2014\)](#page-188-0). Other mycoparasites, such as Coniothyrium minitans and Sporidesmium sclerotivorum, were also shown to be effective in controlling diseases caused phytopathogens like Sclerotinia spp. and others (Adams and Fravel [1993](#page-178-0); Jones et al. [2004\)](#page-184-0).

4.4.2 Antibiosis

Antibiosis is a common phenomenon, being one of the main mechanisms of action among BCAs and is defined as the antagonism resulting from the microorganism production of secondary metabolites that are toxic to other microorganisms. These metabolites are generally low molecular weight organic compounds and play an important role in the biocontrol of several pathogens (Raguchander et al. [2011;](#page-188-0) Köhl et al. [2019\)](#page-184-0). Several bacteria and fungi have been reported to produce antimicrobial metabolites, most with broad-spectrum activity, such as Agrobacterium, Bacillus, Pantoea, Pseudomonas, Serratia, Stenotrophomonas, Streptomyces, Trichoderma and Clonostachys (former Gliocladium) (Ongena and Jacques [2008;](#page-187-0) Ghorbanpour et al. [2018](#page-183-0); Prakash and Arora [2021](#page-187-0)).

A great diversity of molecules secreted by these microorganisms have been well studied for their role in suppressing several types of plant pathogens (Fravel [1988;](#page-182-0) Loper and Lindow [1993;](#page-185-0) Weller and Thomashow [1993\)](#page-191-0). They include not only stricto sensu antibiotics but also bacteriocins, enzymes, alkaloids, flavonoids, peptides, phenols, quinones, steroids, terpenoids, polyketides and volatile organic compounds (VOCs) like terpenoids and polyketides.

Among the antibiotics produced, 2,4-diacetylphloroglucinol (2,4-DAPG) is widely studied and has antifungal, antibacterial, anthelmintic and also phytotoxic activities (Weller et al. [2007](#page-191-0)), being considered the main antimicrobial metabolite involved in the biocontrol of phytopathogens (Sonnleitner and Haas [2011](#page-189-0)). The efficiency of phytopathogens inhibition by the action of 2,4-DAPG has been demonstrated in several plant-pathogen interactions such as Xanthomonas oryzae pv. oryzae on Oryza sativa (Velusamy and Gnanamanickam [2003\)](#page-190-0), C. michiganensis subsp. michiganensis on Solanum lycopersicum (Lanteigne et al. [2012\)](#page-184-0) and Fusarium oxysporum f. sp. cubense FOC on Musa ssp. (Ayyadurai et al. [2006\)](#page-179-0).

Several agents are known to produce more than one antibiotic that can act in biocontrol (Pal and Gardener [2006](#page-187-0)). For instance, syringomycin, syringopeptin and syringotoxin are antibiotics produced by *Pseudomonas syringae* pv. *syringae* and are important for biocontrol, because their main mechanism of action is the induction of ion channels in the membrane of the pathogen, which can lead to cytolysis and death of these organisms (Raaijmakers et al. [2006](#page-188-0)). However, Raaijmakers and Mazzola ([2012\)](#page-188-0) revealed that the action of antibiotics occurs in a concentrationdependent manner, since in high concentrations they can act as inhibitors and in low concentrations they mediate the intercellular signalling.

Although the knowledge about antibiotics and their application in biological control is broad, an analysis of the microbial genome revealed many antibiotic gene clusters that code for unknown antibiotics (Köhl et al. [2019](#page-184-0)). In addition, most of these substances are identified in in vitro techniques, which may not truly reflect field production and can generate false-positive results for inhibiting pathogens (Koch et al. [2018\)](#page-184-0). This highlights the importance of conducting in vivo tests, analysis of concentration and research about the lifetime of antibiotics in the rhizosphere (Raaijmakers and Mazzola [2012](#page-188-0); Arseneault and Filion [2017\)](#page-179-0). A single strain of a BCA can produce several types of secondary metabolites, with different and effective functions against diverse species of pathogens, whether they are fungi, bacteria or even nematodes (Yu et al. [2010;](#page-191-0) Mousa and Raizada [2013;](#page-186-0) Lugtenberg et al. [2016\)](#page-185-0). For instance, the CHAO strain of Pseudomonas fluorescens produces siderophores, phenazine, DAPG and cyanide, and the combination of these metabolites is responsible for the antagonism against Gaeumannomyces graminis var. tritici and Chalara elegans (Défago and Haas [1990](#page-181-0)). It is important to emphasize that a single metabolite does not usually account for all the antagonistic activity of a BCA. Different metabolites and their combinations can be responsible for the efficiency of an antagonistic action against a pathogen, and the exclusion of one of them may shorten or even cancel the entire activity (Köhl et al. [2019\)](#page-184-0).

4.4.3 Lytic Enzymes

One of the compounds most produced by microorganisms and used in the biocontrol of phytopathogens are lytic enzymes. There are several kinds of microbial biocontrol

enzymes like chitinases, cellulases, proteases and β-1,3-glucanases (Mishra et al. [2020\)](#page-186-0).

The suppression of plant phytopathogens activity can occur directly, in which the lytic enzymes will stress or lyse the cell wall of living organisms trough hydrolysis of a variety of polymeric compounds like chitin, proteins, cellulose, hemicellulose and DNA (Pal and Gardener [2006](#page-187-0)). This mechanism has been reported in the BCA Trichoderma sp., which produces cell wall-degrading enzymes such as β-1,3-glucanase, N-acetylglucosaminidases and chitinase that degrade the mycelium of phytopathogenic fungi (Cheong et al. [2017\)](#page-181-0).

The mode of action of lytic enzymes or their activity can be modified in order to increase the efficiency of this mechanism in the BCAs, as demonstrated in the control of R. solani by T. harzianum, which was attributed to the secretion of chitinase and cellulase, and a gamma mutation increased the efficiency and amount of enzymes in T. harzianum, improving its ability as a BCA (Ghasemi et al. [2019\)](#page-183-0). Also, postharvest inhibition of pathogens using the native or recombinant exo-β-1,3-glucanase secreted by *Pichia guilliermondii*, showing the involvement of natural and modified enzymes in the biocontrol activity has been demonstrated (Zhang et al. [2011](#page-191-0)). The activity of lytic enzymes can also contribute to indirectly suppress the diseases cause by phytopathogens trough the activation of plant defences. Some products of lytic enzyme activity like oligosaccharides or glycoproteins can elicit an induced systemic resistance (ISR) in plants before infection and effectively enhance the plant resistance against various pathogens (Xu et al. [2017;](#page-191-0) Savitha and Sriram [2017](#page-189-0)). Also, several defence-related enzymes can be activated by the BCA upon phytopathogen attack, like peroxidases (POD), polyphenol oxidases, phenylalanine ammonia-lyase (PAL), glucanases and chitinases (Zhu and Ma [2015;](#page-192-0) Doley et al. [2019](#page-182-0)).

Zhao et al. [\(2012](#page-192-0)) showed that Streptomyces bikiniensis HD-087 can effectively induce the resistance in cucumber by increasing activities of enzymes such as POD, PAL and β-1,3-glucanase. Moreover, arbuscular mycorrhizal fungi have been reported to regulate catalase, superoxide dismutase, ascorbate peroxidase and glutathione peroxidase content in potato plants infected with the bacteria Pectobacterium carotovorum, which causes blackleg disease (Bagy et al. [2019](#page-179-0)). However, the mechanism by which antagonistic microorganisms induces this enzymatic resistance has not been clearly elucidated due to the complex interactions among BCAs, hosts, pathogens and their nutritional and metabolic environments (Droby et al. [2009;](#page-182-0) Parafati et al. [2017a\)](#page-187-0).

4.4.4 Volatile Compounds

Volatile organic compounds (VOCs) are small (typically less than 300 Da), carbonbased molecules, characterized by low water solubility and high vapour pressure, which makes them available in a gaseous state under normal environmental conditions (Pagans et al. [2006;](#page-187-0) Morath et al. [2012](#page-186-0)). They belong to different molecular classes, including hydrocarbons, alcohols, thioalcohols, aldehydes, ketones, thioesters, cyclohexanes, heterocyclic compounds, phenols and benzene derivatives (Wheatley et al. [1997;](#page-191-0) Chiron and Micherlot [2005](#page-181-0); Morath et al. [2012\)](#page-186-0). These volatile metabolites are produced by virtually all living microorganisms, being identified as active in the control of oomycetes, fungi and phytopathogenic bacteria through antimicrobial activity and other cross-interactions. Due to their antimicrobial effects and because they present a reduced risk to the environment and to humans, as well as their possible application without the need for a spray, they are a promising and sustainable tool to replace artificial fungicides in the control of phytopathogens (Mercier and Jiménez [2004](#page-186-0); Fialho et al. [2010](#page-182-0); Parafati et al. [2017b\)](#page-187-0). In agriculture, the use of VOCs of microbial origin in the biocontrol of plant pathogens has not yet been implemented, mainly because this area is only being studied recently. However, recent progress has highlighted the potential benefits of microbial VOCs in this field (Tilocca et al. [2020](#page-190-0)).

VOCs are generally effective in low concentrations, and due to their volatility, they diffuse between soil particles and spread in the atmosphere over great distances from the point of application, where they can exert their inhibitory activity, without requiring direct contact between the producing microorganism and the target pathogen (Minerdi et al. [2009;](#page-186-0) Mitchell et al. [2010](#page-186-0); Heydari and Pessarakli [2010;](#page-183-0) Raza et al. [2016](#page-188-0)). Among the activities already related to VOCs, we can highlight inhibition of pathogens, inhibition of fungal spores germination, biocontrol of plant parasitic nematodes and induction of resistance mechanisms in plants, preventing them from being colonized by pathogens and also promoting their growth (Van Loon et al. [1998;](#page-190-0) Ryu et al. [2003;](#page-188-0) Compant et al. [2005;](#page-181-0) Mercier and Manker [2005;](#page-186-0) Farag et al. [2006;](#page-182-0) Gu et al. [2007;](#page-183-0) McKee and Robinson [2009;](#page-186-0) Fialho et al. [2011;](#page-182-0) Minerdi et al. [2011](#page-186-0); Morath et al. [2012;](#page-186-0) Yuan et al. [2012;](#page-191-0) Xu et al. [2015](#page-191-0)).

Among yeasts, alcohols (ethyl alcohol, 3-methyl-1-butanol and phenylethyl alcohol) and esters (ethyl acetate and isoamyl acetate) are the main VOCs produced. It was demonstrated that the application of yeast strains in the soil during the postharvest period suppressed the growth of some pathogenic fungi in a very efficient way and a production of high percentages of ethyl acetate and carbon dioxide was observed (Contarino et al. [2019\)](#page-181-0). Strains of beneficial bacteria also produce VOCs capable of inhibiting the growth of certain soil pathogens, being effective against both fungi and bacteria. Two bacteria commonly associated with plants, P. fluorescens and Serratia plymuthica, have been reported to emit volatiles that strongly suppress the growth of Agrobacterium tumefaciens and Agrobacterium vitis, and it was identified that the release of dimethyl disulfide was the cause of this bacteriostatic effect (Dandurishvili et al. [2011](#page-181-0)). It has also been reported that P. fluorescens effectively control the growth of the phytopathogen Ralstonia solanacearum in tomato plants, with the volatiles released by these bacteria having inhibited the growth of the pathogen in both agar and infested soil. In addition, these volatiles seemed to supress the expression of virulence characteristics involved in host colonization, such as motility, root colonization and biofilm formation, effectively controlling the tomato wilt (Raza et al. [2016](#page-188-0)).

More studies are still needed for VOCs to be used in agriculture in a practical way; nevertheless, their implementation is seen as an excellent alternative to the use of artificial pesticides, mainly due to their easy applicability and their effectiveness against several pathogens, both in soil and plants.

4.4.5 Nutrient Competition

Competitive exclusion is a determining factor in the composition of the soil microbiome, being a probable mechanism by which beneficial microorganisms can prevent the colonization of plants by phytopathogens (Zabalgogeazcoa [2008;](#page-191-0) Martinuz et al. [2012](#page-185-0)). Nutrient competition is a general phenomenon that regulates the population dynamics of microorganisms that share the same ecological niche and have the same physiological requirements when trophic resources are limited. The competition for nutrients occurs, for example, on the surface of a leaf, preventing the germination of fungal spores and reducing a possible infection (Fokkema [1996\)](#page-182-0). Competition for nutrients, especially carbon, is also quite common in the soil and is responsible for the well-known phenomenon of fungistase (Lockwood [1977](#page-185-0)), which describes the inhibition of fungal spores' germination in the soil. Although it is difficult to clearly demonstrate, competition for nutrients is certainly one of the mechanisms of many BCAs, and one of the best documented examples is the competition for carbon between pathogenic and non-pathogenic F. oxysporum. According to Couteaudier and Alabouvette [\(1990](#page-181-0)), non-pathogenic F. oxysporum is more aggressive in nutrient uptake, multiplying more quickly, thus having an advantage over pathogenic strains, partially explaining why they suppress the growth of these microorganisms. Recently, a study conducted by Solanki et al. [\(2019](#page-189-0)) demonstrated that competition for nutrients in the rhizosphere zone stimulates BCAs to produce compounds that act in the control of pathogenic microorganisms, such as lytic enzymes, indicating that the suppression mechanisms used by BCAs are not only correlated but also occur simultaneously.

Competition for smaller elements often occurs in the soil; for instance, competition for iron is one of the modes by which P. fluorescens limit the growth of phytopathogenic fungi and reduce the incidence or severity of the disease caused by these organisms (Lemanceau and Alabouvette [1993;](#page-185-0) Loper and Henkels [1997\)](#page-185-0). Several strains of soil *Pseudomonas*, which are PGPR, have been shown to be effective in suppressing soil-borne diseases (Haas and Defago [2005;](#page-183-0) Weller [2007\)](#page-191-0), and among its biocontrol activities, the release of siderophores can be highlighted, since they act as competitors, reducing the availability of iron for plant pathogens, including *F. oxysporum* and *Pythium ultimum* (Van Wees et al. [2008;](#page-190-0) Ahmed and Holmström [2014\)](#page-178-0). It is important to note that siderophores produced by other bacterial species, such as Bacillus subtilis, also exhibit biocontrol activities (Yu et al. [2011\)](#page-191-0). In addition to their ability to compete with soil pathogens by iron, the siderophores produced by PGPR have also been shown to protect different plant species by indirectly activating the mechanisms of ISR (Trapet et al. [2016\)](#page-190-0). Despite being common and well-studied, competition for nutrients between BCAs and pathogens is still a difficult phenomenon to explore from a practical point of view, especially for biological control in agriculture.

4.4.6 Induction of Systemic Response in Plants

Plants have several defence mechanisms against phytopathogens, which can be activated by biotic or abiotic elicitors (Vallad and Goodman [2004\)](#page-190-0) and can be induced by the varied groups of microorganisms, both by intact living cells or by their extracts (Morandi and Bettiol [2009\)](#page-186-0). The induction of plant resistance can be defined as the activation of latent mechanisms that are expressed after exposure of the plant to suitable elicitors (Sticher et al. [1997](#page-189-0)). Thus, the exposure of plants to certain pathogenic microorganisms or to physical and/or chemical stimuli caused by them can result in the expression of several locally and systemic defence responses (Agrios [2005;](#page-178-0) Dodds and Rathjen [2010\)](#page-182-0). These responses are typically divided into two groups: systemic acquired resistance (SAR) that occurs when there is an increased plant defence capacity against a wide range of pathogens, after local induction by a pathogen or its molecules, and induced systemic resistance (ISR) that occurs when there is an increased defence capacity of the entire plant against a wide range of pathogens, after local induction by beneficial microorganisms. These different types of resistance help the plant to contain the attacker and are characterized, for example, by the direct induction of antimicrobial proteins (Mauch-Mani et al. [2017\)](#page-185-0). However, even though ISR and SAR are effective against several pathogens, their effectiveness can be variable, like the infection of the pathogens Alternaria brassicicola and B. cinereal in Arabidopsis thaliana, in which only ISR was effective against these pathogens (Bisen et al. [2016](#page-180-0)).

Adenylate cyclase and cyclic adenosine monophosphate (cAMP) are key components of signal transduction processes involved in pathogenicity, morphogenesis and differentiation in many fungi. As reported for the necrotrophic pathogen B. cinerea, the cAMP signalling pathway is linked to the regulatory subunits of the PKA1 gene, which plays an important role in its vegetative growth and pathogenicity (Klimpel et al. [2002](#page-184-0)). Several studies have demonstrated the ability of microbial BCAs to regulate the formation of cAMP, thus supressing the pathogenicity of fungi (Lengeler et al. [2000\)](#page-185-0). In the work of Park et al. [\(2018](#page-187-0)), the expression of six genes involved in the growth and virulence of B. cinerea (BAC, BCG1, BOT, CHS3, PKA1) and *TRE1*) was significantly reduced in ginseng plants inoculated with the endophytic fungus T. citrinoviride, while the expression of genes related to plant defence (PR2, PR4, PR5 and PR10) were significantly upregulated in samples of pre-inoculated plant leaves (Park et al. [2018\)](#page-187-0).

In a study by Schumacher et al. ([2008\)](#page-189-0), mutants of B. cinerea for the BCG1 gene lost their pathogenicity and failed to produce the botrydial phytotoxin, which confirm the importance of BCG1 for signal transduction due to its involvement in the regulation of pathogenicity and vegetative growth (Schulze et al. [2004](#page-189-0)). Also, the BOT1 gene is controlled by signal transduction to develop the symptoms of grey mould disease (Siewers et al. [2005\)](#page-189-0), and during spore germination, TRE1 regulates carbohydrate metabolism by decomposing intercellular trehalose (Argüelles [2000\)](#page-179-0). Some studies have demonstrated that all genes mentioned above are involved with the virulence and growth of phytopathogenic fungi and may have their expression reduced when the host plants are pre-inoculated with the BCA Trichoderma sp. (Perazzolli et al. [2008](#page-187-0); Martínez-Medina et al. [2013;](#page-185-0) Park et al. [2018\)](#page-187-0).

The fact that beneficial microorganisms can promote ISR in plants of different genera demonstrates their ability to successfully protect plants against a wide variety of pathogens (Kloepper et al. [2004](#page-184-0); Van Loon and Bakker [2006;](#page-190-0) Van Wees et al. [2008\)](#page-190-0). Among beneficial microorganisms, Pseudomonas spp. and Bacillus spp. are the main documented PGPRs that induce ISR in plants during phytopathogen attack (Kloepper et al. [2004](#page-184-0); Van Loon and Bakker [2006](#page-190-0)). The BCA P. fluorescens, for example, was able to trigger ISR against P. syringae pv. tomato in A. thaliana (Van Loon et al. [1998](#page-190-0)). Also, the PGPR Bacillus cereus was able to induce systemic resistance in A. thaliana while simultaneously activating the salicylate and jasmonate-/ethylene-dependent signalling routes (Niu et al. [2011\)](#page-186-0).

One of the most common mechanisms related to ISR and modulated by the colonization of plants by beneficial microorganisms is the induction of phytohormones (Harman et al. [2004](#page-183-0)) like salicylic acid (SA), jasmonic acid (JA) and ethylene (ET) that act in signalling and stimulating the host's defence response against a variety of phytopathogens (Beneduzi et al. [2012](#page-180-0); Pieterse et al. [2014\)](#page-187-0). Studies on the ability to control diseases and promote plant growth using Bacillus spp. identified the production of SA, JA and ET by these bacteria as the main mechanism of biocontrol, reducing the incidence of root pathogens in inoculated plants (García et al. [2004;](#page-183-0) Wang et al. [2020\)](#page-190-0). Inoculation of ginseng (Panax ginseng) plants with T. citrinoviride also resulted in the induction of phytohormones (zeatin, abscisic acid and JA) that contribute to root development, in response to injuries caused by herbivorous attacks, and, also, induced a SAR against the six most relevant ginseng pathogens (Garay-Arroyo et al. [2012](#page-183-0); Pieterse et al. [2012](#page-187-0); Park et al. [2018\)](#page-187-0).

In addition to phytohormones, pathogenesis-related proteins (PR-proteins), such as β-1,3-glucanases, chitinases and ribosome-inactivating proteins, have antimicrobial activity and contribute to plant resistance against infection by pathogens (Pulla et al. [2010;](#page-188-0) Park et al. [2018\)](#page-187-0). The PR-proteins cause a "priming" effect, which corresponds to a physiological state of the plant, in which the cells respond to very low levels of a stimulus; thus the plant previously exposed to the inducing agent becomes sensitized (Saikkonen et al. [2010](#page-188-0); Dallagnol [2018\)](#page-181-0). The biocontrol of plant diseases through resistance induction has been verified in several studies involving BCAs, confirming its potential use as a tool for the biological control of diseases, since it has two highly desirable characteristics from the market point of view: simultaneous protection against multiple pathogens and systemicity (Morandi and Bettiol [2009](#page-186-0)).

4.5 In Vitro Screening of Potential Biological Control Agents

Screening of new microorganisms for use in biocontrol of plant pathogens is a complex process, in which many criteria are evaluated besides the antagonistic efficacy. The use of refined methodologies is crucial to explore the diversity of the plant's microbiome and to identify new potential BCAs. Köhl et al. [\(2011](#page-184-0)) demonstrated that the commercial use of BCAs is defined in nine steps, with step 2 of this process aiming at the origin and isolation of potential candidates. Different strategies can be used in the search for potential BCAs, and the selection of a more suitable screening method is dependent on several factors. Most of the adopted methodologies are carried out in two distinct stages: the first is conducted using in vitro techniques to identify potential agents, which will be used in the second phase of the study that is developed in vivo (Lecomte et al. [2016\)](#page-184-0). Thus, in vitro screening techniques play a fundamental role in screening, isolating and identifying potential BCAs (Lecomte et al. [2016;](#page-184-0) Besset-Manzoni et al. [2019\)](#page-180-0).

In vitro techniques for screening potential agents have been used to identify thousands of BCAs for more than 50 years (Johnson et al. [1960](#page-184-0); Dennis and Webster [1971a](#page-181-0), [b\)](#page-181-0). These techniques are used for the selection of microorganisms that exhibit direct pathogen antagonism using plate assays, with only one or two different microorganisms (Pliego et al. [2011](#page-187-0)). Raymaekers et al. ([2020\)](#page-188-0) reported that there are three main techniques used for in vitro evaluation of the direct antagonistic effect in relation to growth and infection of the pathogen: (I) dual culture assay; (II) dual culture-volatile compounds; and (III) liquid medium. The dual culture consists in the simultaneous cultivation of the agent with the pathogen, and the evaluation is carried out by means of the pathogen growth inhibition index in the solid medium (Fig. [4.5a\)](#page-174-0). This technique is widely used, and more than 2500 potential agents have been identified (Raymaekers et al. [2020\)](#page-188-0), in which the growth of the pathogen colonies is inhibited in the proximity of the outgrowing agents' colonies (Comby et al. [2017\)](#page-181-0). Using dual culture, Ramesh and Phadke ([2012\)](#page-188-0) screened agents for their antibacterial activity against R. solanacearum and found 22 isolates with an inhibition radius higher than 4 cm. Similar positive results were obtained by Adesina et al. (2007) (2007) that evaluated the antagonistic activity between R. solani and F. oxysporum and found 327 isolates with action potential. Although widely used and showing positive results, this type of culture excludes agent-pathogen interaction and cannot select agents that provide disease control by other mechanisms, such as root colonization and induction of systemic resistance (Pliego et al. [2011](#page-187-0)).

An adaptation to the dual culture technique is the cultivation of the pathogen and BCA in a solid medium, but physically separated (Fig. [4.5b](#page-174-0)). This strategy has been adopted to identify the exclusive action of volatile compounds produced by the BCA and allows the exclusion of other inhibition fonts (Raymaekers et al. [2020\)](#page-188-0). Volatile compounds produced by microorganisms have been shown to be efficient in inhibiting the growth of plant pathogens (Ezra et al. [2004](#page-182-0)). Fialho et al. [\(2010](#page-182-0)) analysing VOCs produced by Saccharomyces cerevisiae observed an 87% inhibition of the growth of the pathogen Guignardia citricarpa, which causes black spot disease. Fernando et al. [\(2005](#page-182-0)) identified 14 potential BCAs and observed a high rate of pathogen growth inhibition, demonstrating the efficiency of the method for the screening of agents. However, as observed in dual culture assay, this type of culture also excludes agent-pathogen interaction and cannot select agents that provide disease control by other mechanisms.

Fig. 4.5 In vitro screening techniques for direct biocontrol mechanisms. (a) Dual culture assay in which BCAs (grey) and the pathogen (pink) are spotted on the solid agar medium exhibiting the pathogen inhibition halo. (b) Dual culture assay-volatile compounds in which BCAs (grey) and pathogen (pink) are placed in solid agar medium with separate compartments. (adapted from Raymaekers et al. [2020\)](#page-188-0)

Different to the methodologies that use solid media, screening for new BCAs can also be performed in liquid culture media, in which the pathogen and agent are inoculated together, and the inhibition of pathogen growth is assessed by reading the optical density of the culture (Raymaekers et al. [2020](#page-188-0)). Since the 1990s (Broekaert et al. [1990\)](#page-180-0), this technique has shown promising results in the identification of new agents as demonstrated by Omar and Abd-Alla ([1998\)](#page-187-0) and Oh and Lee [\(2000](#page-187-0)). Even though it is used in many medical studies to identify agents that inhibit the growth of pathogens (Xie et al. [2018\)](#page-191-0), there are still some studies that demonstrate the use of this methodology for screening BCAs of plant diseases. However, the application of this technique is difficult when living BCAs are used, because during the inhibition evaluation process, a differentiation between pathogen and agent is necessary (Raymaekers et al. [2020\)](#page-188-0).

Aiming to evaluate the direct pathogen antagonism and the production of secondary metabolites (Raaijmakers et al. [2002](#page-188-0)), in vitro techniques are considered an important strategy for the initial screening, in order to save time and financial resources when compared to in vivo screening techniques (Köhl et al. [2011\)](#page-184-0). Therefore, it is from the results of in vitro assays that agents will be selected according to the growth inhibition of the pathogen (Askew and Laing [1994](#page-179-0); Abdalla et al. [2014](#page-178-0)). However, the efficiency of these assays has been questioned, since the results obtained in vitro are not always the same as those observed in the field (Besset-Manzoni et al. [2019\)](#page-180-0). One of the main factors associated with in vitro assays that can result in a false positive is the nutritional concentration of the medium, which is generally richer in nutrients compared to the rhizosphere and can lead to an exacerbated production of secondary metabolites (Köhl et al. [2019\)](#page-184-0). Different studies have demonstrated the nutritional effect of the medium on the production of secondary metabolites of BCAs (Bode et al. [2002](#page-180-0); Raaijmakers et al. [2002;](#page-188-0) Bosmans et al. [2016\)](#page-180-0); therefore, the development of new strategies for the screening

of new agents is crucial. According to Pliego et al. ([2011\)](#page-187-0), molecular approaches combined with screening methodologies can be important to optimize the process of identifying new agents. In this context, transcriptomics has emerged as a powerful tool to improve the screening techniques of BCAs. Atanasova et al. ([2013\)](#page-179-0) and Hennessy et al. [\(2017](#page-183-0)) revealed through transcriptomic analysis that secondary metabolites detected in vitro may not be expressed in vivo or have a secondary action in inhibiting the growth of the pathogen. Other studies have used transcriptomic analysis to investigate the expression of important genes associated to secondary metabolites production during the in vitro co-cultivation of agent and pathogen (Lysøe et al. [2017\)](#page-185-0) and observed a combination of different types of mechanisms during this interaction.

Even with methodological advances in recent years, there are still several difficulties to obtain a high success rate in in vitro screening techniques. Some studies discuss the possibility of using high-throughput in planta assays for optimization of the screening (Barnett et al. [2017](#page-179-0)); however, they also showed disadvantages due to their artificial character (Schreiter et al. [2014;](#page-189-0) Rybakova et al. 2016). Although the effectiveness of conducting in vitro screening is discussed, these methodologies are widely used, especially because potential BCAs are detected in an easy, fast and reproducible manner, and it is possible to perform screening on a relatively large collection of organisms (Köhl et al. [2019\)](#page-184-0). In addition to in vitro assays, it is important to extend this line of research to plants grown on natural substrates, increasing the selection efficiency of the most promising BCAs (Pliego et al. [2011](#page-187-0)). Finally, the constant improvement of in vitro methodologies, as well as the combination of resources in the initial isolation process, can be fundamental for the advancement in the scientific and commercial field of efficient BCAs production for hundreds of plant diseases.

4.6 Biological Control Agents from a Commercial Point of View

Biological control can occur in four different ways: natural, classic, augmentative and by conservation (Van Lenteren et al. [2018](#page-190-0); Baker et al. [2020](#page-179-0)). Natural biological control occurs in all ecosystems, through the action of beneficial microorganisms that naturally occur in the environment, without human interference (Van Lenteren et al. [2018\)](#page-190-0). The classic biological control was the first to be widely practiced and consists in the implantation and release of natural enemies, which are previously collected in areas of pest origin and then released in areas where the pest is invasive (Van Lenteren et al. [2018;](#page-190-0) Baker et al. [2020](#page-179-0)). Although this type of control is not always able to reduce the levels of invasive species, it can successfully supply the level of general equilibrium of the population, bringing enormous economic benefits (DiTomaso et al. [2017](#page-182-0)). In the augmentative biological control, natural enemies are produced in large scale to be released in large numbers, aiming at immediate control or control over several generations of crops with long production cycle (seasonal control). Finally, biological control by conservation involves implementing better survival conditions for existing natural species, by reducing the use of pesticides or using selective pesticides to conserve natural microorganisms, maintaining weed borders or providing cover with flowers to improve the performance of natural enemies (Van Lenteren et al. [2018;](#page-190-0) Baker et al. [2020](#page-179-0)). However, one or more biocontrol strategies must be considered for the implementation of biological control programs. The selection of the type of biological agent must be closely related to the cost, convenience, efficacy and reliability of the products, in addition to the adoption of cultural practices, such as good sanitation, soil preparation and water management, since the highest successes of biological control are achieved in situations where environmental conditions are more controlled or predictable (Pal and Gardener [2006\)](#page-187-0).

Most of the world's production of BCAs is concentrated in relatively small companies such as BioWorks, AgraQuest, Novozymes, Prophyta and Kemira Agro (Pal and Gardener [2006](#page-187-0)). However, there is a growing interest from large agrochemical companies, such as Monsanto, Bayer and Syngenta, as well as microbial industries, such as yeast producers for baking, beer and wine manufacturing, to acquire technologies for the development of BCAs. This is reflected in the number of subsidiaries and acquisitions made by large multinational companies that are concerned with offering their customers a broad portfolio to protect their cultures, with options for conventional products and more environmentally friendly products (Pal and Gardener [2006](#page-187-0); Droby et al. [2016\)](#page-182-0).

In 2015, the global pesticide market generated around U\$ 58.46 billion, with the market of BCAs accounting for around 1.7 billion, which corresponds to less than 2% of the market. However, there has been a percentage increase in sales since 2005, with growth projections of more than 15% per year (Van Lenteren et al. [2018\)](#page-190-0). The rapid annual growth of the BCAs market is the result of a combination of factors. The increase in the oil price, the increased demand for organic food, the concern for the health of workers and farmers living in rural areas and the increased demand for "safer" and more sustainable pesticides that do not cause damage to plantations and are able to provide a higher yield and healthier crops are the main factors for reducing dependence on chemical inputs (Pal and Gardener [2006](#page-187-0); Van Lenteren et al. [2018](#page-190-0)). In addition, the use of BCAs facilitates trade between exporting countries of agricultural products, which must comply with increasingly strict food safety laws, and can only commercialize products with low levels of chemical residues (Praneetvatakul et al. [2013;](#page-187-0) Van Lenteren et al. [2018\)](#page-190-0).

All forms of biological control have been extensively explored in the past 50 years, and the science behind it has gone from a trial and error-based method to more predictive, with several economic and environmental benefits. However, to ensure that its implementation is a viable and sustainable strategy is still a major challenge (Messing and Brodeur [2018\)](#page-186-0). The main problems to further dissemination are the lack of economic investments and incentives for new research, mainly by government entities, the political and marketing power of the dominant chemical industries in the market and the national and international laws that end up hampering a greater worldwide dissemination of the use of BCAs, such as the Convention on Biological Diversity (CBD [1993](#page-181-0); Messing and Brodeur [2018\)](#page-186-0). The terms established in that treaty give signatory countries sovereign rights over genetic resources and agreements over access and sharing the benefits resulting from their use. This means that the permission to collect potential BCAs, which are accidentally exported between countries, can only be granted by the country that has sovereign rights over the genetic resources, making research, mass production and the commercialization of products even more difficult (Van Lenteren et al. [2018\)](#page-190-0). These obstacles that still exist in relation to the use of BCAs need to be resolved immediately, so that trade and products commercialization could be widely encouraged and implemented in agricultural practices. The recognition of benefits conferred by the use of BCAs in total or partial substitution to agrochemicals by the main agricultural economies in the world, and the application of a "real cost" for the commercialization of these products, would be a good alternative to highlight and boost the market of BCAs (Praneetvatakul et al. [2013](#page-187-0); Van Lenteren et al. [2018\)](#page-190-0). This trend may further stimulate the development and registration of new BCAs, and projections of marketing strategies may help to increase sales and guarantee greater market share.

4.7 Conclusion and Future Prospects

BCAs of pest and plant diseases play an important role in restoring natural enemies and ecological balance in agricultural systems whose sustainability has been compromised by environmental degradation. In this context, the use of microbial agents to control pests and diseases should focus on agroforestry systems that guarantee, through management techniques, the maintenance of these microorganisms in agroecosystems and/or in the use of commercial products that can assist in recomposing their populations.

The implications of using microorganisms for biological control of insect pests and plant diseases may be limited by the difficulty in identifying many promising groups of microorganisms, since their morphological determination becomes very difficult due to the homoplasia of the characters used to differentiate species, even for specialists. Thus, in vitro techniques associated with molecular analysis are a fundamental tool for screening and identification of new potential BCAs, since they limit false-positive results. These types of techniques also help to develop research in a more refined way, ensuring specific results that allow the identification of potential BCAs together with their mode of action in the environment.

Regardless of the species of microorganisms used as a BCA, one thing is common: biotic and abiotic factors, environmental conditions such as temperature and relative humidity, soil type, resistant host genotypes (Barretti et al. [2012\)](#page-179-0) and disease pressure (Gerbore et al. [2014](#page-183-0)) can affect the performance of the BCA. The use of fungi of the genus Trichoderma in combination with PGPR could be a viable solution for sustainable agriculture; however, some gaps regarding the double inoculation of these two biocontrol agents need to be filled. It is necessary to research the mechanisms involved in this process in different agricultural systems, and also the impact of the concomitant use of these BCAs on the biodiversity of other microorganisms in the field, so that the maximum benefits can be obtained in terms of better plant growth, especially under stress conditions.

Despite recent advances on the importance of BCAs for plant health, there are still doubts about the effectiveness of their use in agriculture, mainly due to the lack of knowledge of how they would behave in complex environments. Therefore, a more comprehensive selection of beneficial microorganisms, adapted to different environmental conditions, will be fundamental for their applicability in agriculture. Based on advances in this area, it will be possible to identify which beneficial microorganism will be best adapted for the environmental conditions, as well as which mechanism will be the most suitable to protect plants from possible pathogens. Nevertheless, even with several challenges mentioned above, BCAs emerge as an important tool for combating pests and diseases in agriculture, as they are a sustainable and more economic alternative to the use of pesticides.

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An Insight into Role of Microorganisms in Composting and Its Applications in Agriculture

Bouchra El Hayany, Loubna El Fels, Lamfeddal Kouisni, Abdelaziz Yasri, and Mohamed Hafidi

Abstract

Several important improvements in the food security situation in the world were realized during the last decade. However, according to the report on 'The State of Food Security and Nutrition in the World', jointly published by Food and Agriculture Organisation (FAO), PAM (United Nations World Food Programme), IFAD (International Fund for Agricultural Development), UNICEF (United Nation's Children Fund) and WHO (World Health Organisation), the number of malnourished people increased by 36 million between 2015 and 2018, rising to 820 million in 2018. Climate change and soil degradation, leading to a decrease in soil fertility, have worsened the situation. In this alarming context, the development of agriculture in emerging and developing countries is one of the conditions for coming out of this systemic crisis. Many developing countries are

B. El Hayany · L. El Fels

L. Kouisni

A. Yasri INRA, Rabat, Morocco

AgroBioSciences Program, Mohammed VI Polytechnic University (UM6P), Benguerir, Morocco

M. Hafidi (\boxtimes)

AgroBioSciences Program, Mohammed VI Polytechnic University (UM6P), Benguerir, Morocco e-mail: hafi[di@uca.ac.ma](mailto:hafidi@uca.ac.ma)

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Microbial Biotechnologies, Agrosciences and Environment, Faculty of Sciences Semlalia, Cadi Ayyad University (UCA), Marrakech, Morocco

African Sustainable Agriculture Research Institute (ASARI), Mohammed VI Polytechnic University (UM6P), Laâyoune, Morocco

Microbial Biotechnologies, Agrosciences and Environment, Faculty of Sciences Semlalia, Cadi Ayyad University (UCA), Marrakech, Morocco

relying on plant nutrients through the improvement of soil fertility to achieve their agricultural development goals. Integrated management of plant nutrition can be achieved through the judicious use of both mineral and organic fertilizers for a sustainable agricultural development. Use of biofertilizers was declared as a safe, healthy and environment-friendly solution for soil improvement. Biofertilizers ameliorate the soil content in nutrients and enhance the diversity of microbial population, which is a major process to increase the productivity of agroecosystems.

Compost as organic biofertilizer is rich in organic matter and has an important role in soil fertility and in sustainable agriculture. During composting, a complex bacterial process is applied with many interacting factors, which degrade and stabilize the organic biodegradable waste. Microorganism's dynamics, influenced by the substrate composition and compost conditions, are the essential factors for a successful composting. This chapter describes the diversity and microbial succession during the composting process and highlights the behaviour of two groups of microorganisms in compost: (1) beneficial microorganisms which consist in bacteria and fungi degrading organic matter with positive effects on the soil and plants after compost utilization and (2) harmful microorganisms which can be introduced in compost through the original substrate by which the composting process started (yeast, fungi, protozoa, bacteria). These non-beneficial microorganisms are almost inactivated by high temperatures during composting or other mechanisms such as pH, ammoniac and antagonistic interaction.

Compost applications have also a beneficial impact on microbiological activity of the soil by increasing the microbial biomass such as phosphate-solubilizing and nitrogen-fixing bacteria, which consequently improve the ammonification rate and fluorescein diacetate hydrolysis activity. Additionally, compost spreading introduces various beneficial fungi in soil, which increase soil fertility and protect plant from diseases.

Keywords

Soil fertility · Biofertilizers · Composting · Organic fertilizers

5.1 Introduction

The massive utilization of chemical fertilizers to multiply and accelerate the agricultural production can have negative environmental impacts such as soil erosion and loss of its physicochemical and microbiological equilibria (Sánchez et al. [2017\)](#page-210-0), hence the need for alternative environmentally friendly agricultural supplies. To avoid these environmental pollutions, the use of composting technique can be the alternative method to improve agricultural production. Composting is a costeffective and suitable technique to produce a biofertilizer that can enrich the soil with essential elements and contributes to improve the physicochemical and microbiological proprieties of soil. It is a process requiring a complex ecosystem with many interacting factors (Yu et al. [2007\)](#page-211-0). It is used as a major process of organic wastes stabilizing, through the degradation of biodegradable components by microbial communities.

Microbial populations are instrumental and part of fundamental process that degrades and stabilizes organic matter and drive the productivity of agroecosystems. Microorganisms are the essential factors in the successful operation of composting and for perfect soil amendment. Their metabolic pathways lead to significant changes in the physicochemical parameters of the composting substrate, and that, in turn, contribute to changes in the structure of the microbial community (Chroni et al. [2009\)](#page-208-0). Mesophilic, thermophilic and thermotolerant microorganisms, including bacteria, actinomycetes, yeasts and fungi, have been widely reported in composts (Moreno et al. [2021\)](#page-210-0). Compost produced from biosolid wastes can provide a portion of the nutrient requirements for growth of crops and increase the microbial activity of soils. The organic matter in compost is notably beneficial as a soil conditioner by improving its quality, increasing water holding of soils and aeration and drainage of clay soils (Shammas and Wang [2009\)](#page-210-0).

Improving the diversity, dynamics and importance of compost microbial communities and their beneficial effect in agricultural productivity is important to determine the degree of compost quality. This chapter describes the diversity and microbial succession during composting and highlights the role of beneficial microorganisms degrading organic matter and having a beneficial effect on the soil and plant after compost spreading, and presents the conditions of destruction of harmful microorganisms, which can be introduced in compost with original substrate.

5.2 Composting Process Technologies

Composting is a biological and sustainable way of organic waste recycling by transformation of organic material into a soil-like product called humus. Compost can be made from most organic by-products (Cooperband [2002\)](#page-208-0). The common feedstocks used are: poultry, hog and cattle manures, food processing wastes, municipal leaves, brush and grass clippings, sawdust, green waste (GW); biowaste; municipal solid waste and sewage sludge (Rudnik [2019](#page-210-0)). Several technologies exist for composting such as windrows, aerated static piles, bunkers and in-vessel systems (Shammas and Wang [2009](#page-210-0)). In the windrow composting (conventional system), the oxygen supply and porosity should be improved through windrows turning and redistribution of cooler and hotter portions of the pile; furthermore, composting needs special conditions, mainly of temperature, moisture, aeration, pH and carbon to nitrogen (C/N) ratio, linked to highest biological activity in the various stages of the process (Rudnik [2019](#page-210-0)). Composting-based microbial communities have an important role in the biodegradation of organic materials and compost stability. In general, microorganisms are present in the initial stage of the composting process and are introduced with the primal substrate of composting (Fuchs [2010](#page-209-0)).

5.3 Source and Role of Microorganism Community During Composting

Microorganisms (bacteria, fungi, yeast, etc.) present during composting can have an urban or industrial source, and they can arise from human or animal faecal matter (human waste, sewage sludge, animal dung), as well as vegetable waste (food and plant waste).

5.3.1 Yeasts and Fungi

These microorganisms are almost more abundant in food waste due to vegetable residues with low pH, which favours the proliferation of fungi and yeasts, because of the ability of yeasts to grow at a lower pH (Ryckeboer et al. [2003;](#page-210-0) Fuchs [2010\)](#page-209-0). In sewage sludge waste, fungi are relatively less abundant; their roles are considered to be minor because of actions in a limited range of physicochemical conditions (Zhao et al. [2019](#page-211-0)). In sewage sludge, the fungal community is generally characterized by the dominance of the order Saccharomycetales and Agaricales, belonging to phyla Ascomycota and Basidiomycota, respectively, and by the existence of phylum Chytridiomycota (Robledo-Mahón Gómez-silván et al. [2019\)](#page-210-0). Saccharomycetales is an order of spore forming, and it is more tolerant to high temperature (Gu et al. [2017\)](#page-209-0). Some fungi have the ability to attack lignin and its derivatives through releasing ligninolytic enzymes. For instance, the white rot fungus (Phanerochaete chrysosporium) has been extensively studied due to its capacity to produce cellulose- and lignin-degrading enzymes at $36-40$ °C with maximum temperatures of 46–40 °C (Mouchacca [1997;](#page-210-0) El Fels et al. [2014a](#page-208-0)).

In general the role of fungal communities on composting is not underestimated, and its performance in mesophilic and maturation phase could increase in the degree of polycondensation and the cross-linking of organic compounds which improves the degree of stability and maturity during sludge composting (Amir et al. [2008;](#page-208-0) Zhao et al. [2019](#page-211-0)). Fungi community is known to be associated with the latter stage of composting and the degradation of complex molecules, such as lignocellulose (Robledo-Mahón Gómez-silván et al. [2019\)](#page-210-0). On the other hand, fungal consortium can influence compost quality.

Fungi can produce a diversity of extracellular enzymes that degrade organic matter; some of them can tolerate high temperatures within the composting environment so they can still be in the maturation phase to degrade complex organic matter (Gu et al. [2017](#page-209-0)). Fungi could be correlated with bacterial community evolution during composting of sludge, which indicate that the interactions between fungal and bacterial communities contribute largely to organic matter stability and compost safety. Zhao et al. ([2019\)](#page-211-0) showed that fungal community could participate in the transformation of dissolved organic matter components and the formation of humiclike substances through interacting with bacterial community.

5.3.2 Bacteria

Bacteria are known as the dominant microorganisms during composting; they are responsible for degradation of easily degradable organic material by using carbonaceous and nitrogenous organic matter as their main sources of energy. During composting of sewage sludge, bacteria are more important than fungi in the initial stages of the process due to more richness of sewage sludge with bacteria (Robledo-Mahón Gómez-silván et al. [2019](#page-210-0)); some studies indicate that order of Rhizobiales and Actinomycetales are the more abundant bacteria in sewage sludge followed by Clostridiales and Burkholderiales (Zhang et al. [2012](#page-211-0); Robledo-Mahón Gómezsilván et al. [2019](#page-210-0)). In food waste and especially during spoilage, the Lactobacillus and *Enterobacteriaceae* are the most dominant species (Wu et al. [2018](#page-211-0)). According to Anjali and Anitha ([1997\)](#page-208-0), the organic waste sample from agro-based industries and from the agriculture fields contains a large diversity of bacteria, such as Escherichia coli, Klebsiella, Pseudomonas, Bacillus, Micrococcus, Serratia, Enterobacteriaceae, Shigella, Proteus spp., Staphylococcus spp. and Salmonella spp. Saha and Santra ([2014\)](#page-210-0) showed that the bacteria present in municipal solid waste are metabolically active which leads to the production of various enzymes and bioactive compounds stimulating the biodegradation of waste.

Actinobacteria (also known as actinomycetes) are part of a large phylum of filamentous Gram-positive bacteria. These microorganisms are widely present throughout the world in different climatic zones both in soil and in the water. Besides being responsible for the characteristic smell of soil (Sánchez et al. [2017](#page-210-0)), they are important to the process of converting organic waste to stable and humified organic matter and degrading the relatively complex substrates such as lignocellulosic materials due to their decomposition ability. Actinobacteria have a special interest in composting application. They prefer neutral or alkaline pH; several are thermotolerant, in a temperature range from 50 to 60 $^{\circ}$ C (Moreno et al. [2021\)](#page-210-0). Most actinobacteria grow well when the substrate is moist and the oxygen supply is good and the most easily degradable substrates have already been consumed by bacteria (Insam and De Bertoldi [2007](#page-209-0)).

The degradation mechanism is through the action of synthesized enzymes such as α-amylase, proteases, lignin peroxidase and glucoamylase (Sánchez et al. [2017\)](#page-210-0). Streptomyces thermovulgaris, Actinobifida chromogena, Thermoactinomyces vulgaris (Sánchez et al. [2017](#page-210-0)) and Micromonospora carbonacea (Kausar et al. [2011\)](#page-209-0) are among the actinobacteria mostly found in compost.

5.4 Microorganism's Succession During Composting Phases and Microbial Diversity at Different Stages of Composting

Bacterial diversity profile during the composting depends on composting phases, on initial substrate and on composting conditions. In household biowaste composting, bacteria are less dominating in mesophilic phase than fungi, streptomycetes and yeasts. However, in thermophilic phase, fungi and yeasts decrease or disappear due

to higher temperature during this phase, which gives the opportunity for the dominance of thermophilic bacteria (Moreno et al. [2021](#page-210-0)). Thus, bacteria have a greater capacity to persist during composting than fungi (Suárez-Estrella et al. [2007\)](#page-211-0).

For the process of sewage sludge composting, Robledo-Mahón Gómez-silván et al. [\(2019](#page-210-0)) and Nakasaki et al. [\(2019](#page-210-0)) showed a predominance of Bacillales and Actinomycetales; during thermophilic phase, Ascomycota phylum was noticed as the predominant fungi, with a shift to Basidiomycota at the end of the process. Indeed, some group of Bacillales increased in the final stage of composting when organic matter was thoroughly degraded. In general, the microbial community compositions can be influenced by several physicochemical parameters during composting processes. Some studies declared that water-soluble carbon and temperature may influence microorganisms more than other parameters (Ishii and Takii [2003](#page-209-0); Tang et al. [2007\)](#page-211-0). While in another study (Liang et al. [2003](#page-210-0)), moisture content even had an impact on the microbial activity greater than the temperature (Zhang et al. [2011\)](#page-211-0). The temperature phase can be determined during composting evolution: mesophilic, thermophilic, cooling and maturation (Ishii et al. [2000;](#page-209-0) Moreno et al. [2021\)](#page-210-0). Changes in compost temperature and chemical conditions affect the evolution of the bacterial population in the compost. The composting process consists of three phases: mesophilic (\lt 45 °C), thermophilic (\gt 45 °C) and maturation. It is a highly dynamic process where bacteria and fungi have a major role in the decomposition and mineralization of organic wastes, with protozoa, worms and insects which have a lower or minor function in decomposition (Storey et al. [2015\)](#page-211-0).

5.4.1 Mesophilic Phase

The mesophilic phase starts with breakdown of the soluble and easily degradable compounds of organic matter performed by the mesophilic microorganisms such as bacteria from families Enterobacteriaceae, Pseudomonadaceae, Erythrobacteraceae, Streptomycetaceae, Comamonadaceae and genera Caulobacteraceae, Staphylococcus and Bacillus (Chang et al. [2021](#page-208-0)), some fungi and actinobacteria. These microorganisms degrade the easily assimilated compounds such as amino, lipids and sugars present in the feedstocks used for making compost (Insam and De Bertoldi [2007;](#page-209-0) Sánchez et al. [2017](#page-210-0)). The exothermic reactions, generated by microbial metabolic activity, increase the composting temperature; these conditions reduce the tolerance of mesophilic organisms which are then replaced by thermophilic microorganisms (Insam and De Bertoldi [2007](#page-209-0); El Fels et al. [2014a](#page-208-0), [b,](#page-208-0) [2015\)](#page-208-0).

5.4.2 Thermophilic Phase

The compost reaches the thermophilic phase when the temperature increase to 40 $^{\circ}$ C. Thermophilic microorganisms take over, and the degradation rate of organic matter increases (Rudnik [2019](#page-210-0)). During thermophilic phase, the microbial populations are

dominated by members of the genus Bacillus, and then microbial activity and diversity decrease dramatically; the proliferation of actinobacteria and other thermophilic bacteria occurs. These thermophilic microorganisms have the capacity to degrade complex molecules through enzymes excretion (Bernal et al. [2009;](#page-208-0) Sánchez et al. [2017](#page-210-0)). Among the Bacillus species that appear during thermophilic phase are Bacillus coagulans which can grow up to $65^{\degree}C$ and Bacillus thermoamylovorans which can grow in a temperature of about 50 $^{\circ}$ C and a pH from 5.4 to 8.5 (Combet-Blanc et al. [1995](#page-208-0)). Furthermore, Zhang et al. ([2020\)](#page-211-0) declared the bacterium Streptosporangiaceae and fungus Chaetomiaceae as biomarkers in the thermophilic and cooling stage of composting, respectively.

The heat reached during thermophilic phases depends on composting conditions, initial substrate and composting environment, for example, in the windrow process; 65° C is the maximum temperature reached during composting of activated sludge with palm waste (El Fels et al. [2014b\)](#page-208-0). Although during composting of activated sludge with green plant waste the temperature reaches 72 \degree C (Jouraiphy et al. [2005\)](#page-209-0), during this stage the elimination of pathogenic agents and seeds ability to germinate occurs. After thermophilic period, the pH stabilizes to a neutral level. This phase can last from a few days to several months (Rudnik [2019](#page-210-0)).

5.4.3 Cooling and Maturation Phase

After the high microbial activity during thermophilic phase, the carbon sources are reduced, and the compost starts to become stable after the cooling phase. Mesophilic bacteria and fungi reappear, and the maturation phase follows. This stage is characterized by the appearance of mesophilic bacteria, actinobacteria, some protists and a wide range of macro-organisms (including mites, springtails, ants, millipedes, centipedes, spiders, beetles and worms). During this stage, the precursors of the humic substances are formed (El Fels et al. [2014a;](#page-208-0) El Glauoi et al. [2020](#page-209-0)); its duration depends on the composition of the organic matter and the efficiency of the process (Rudnik [2019](#page-210-0)). Several authors have indicated the role of maturation phase in biodegradation of complex and recalcitrant compounds (Ait Baddi et al. [2004;](#page-208-0) El Fels et al. [2015\)](#page-208-0) through the cellulolytic and hemicellulolytic activities of microorganisms which are primarily achieved in thermophilic stage and continued during maturation (Vargas-García et al. [2010](#page-211-0); El Hayany et al. [2021a\)](#page-209-0). Fungi are decomposers in this stage; the most representative genera are Acremonium, Chrysosporium, Aspergillus, Penicillium, Mortierella and Trichoderma (Sánchez et al. [2017](#page-210-0)).

Due to the microbial degradation and nitrifying bacteria activity, various changes in physicochemical property of compost have been shown during the maturation phase; as decreasing of C/N ratio to values around 10 and increasing of nitrates which cause the reduction in $NH_4^{\,+/\!\!}NO_3^-$ ratio up to values less than 1 (El Fels et al. [2019\)](#page-209-0). Then, biodegradation leads to obtaining a mature and stable product available for agricultural spreading without impact on the environment. In general, the role of microorganisms during composting is summarized by transforming the recyclable

waste to final product "compost" that respects the international standards of agricultural use of compost (NFU 44–095 and NFU 44–051). These standards investigate the compost quality in terms of the degree of biodegradation of organic matter, heavy metal-tolerated levels; pathogenic bacteria, maturity and plant growth perfor-mance (Rudnik [2019](#page-210-0)). Therefore, final product can enrich the soil quality by enhancing absorbable nutrients and increase productivity of agricultural crops.

5.5 Composting as Source of Beneficial Microorganisms for Agriculture and Potential Tool for Pathogen Suppression

Compost application in agriculture has a positive influence on plant growth, health and disease suppressiveness (Lutz et al. [2020\)](#page-210-0). These effects are attributed to beneficial microorganism's existence in compost. Several microorganisms which are harmful for humans, animals or plants can be introduced into the compost with the initial substrates (Fuchs [2010\)](#page-209-0). High temperature is the most important factor for the destruction and the low persistence of pathogenic microorganisms during composting. The pathogens have different degree of sensibility to high temperature and to the duration of the heat period (Fuchs 2010); for instance, the deadly temperature for E. coli is 60 °C for 20 min, although for Salmonella spp. and Shigella spp. it is about 55 °C for 1 h (Golueke [1991](#page-209-0)). The pathogenic bacteria elimination has been confirmed through different studies which confirm the hygienic aspects of compost against the persistence of Pythium spp., Rhizoctonia spp., Erwinia spp. or Pseudomonas spp. (Christensen et al. [2002;](#page-208-0) Suárez-Estrella et al. [2007\)](#page-211-0).

High temperature is not the only factor of the hygienization. Even when the composting process does not reach the temperature level required for thermal kill, pathogens can be inactivated in compost (Fuchs [2010\)](#page-209-0). Other factors can influence pathogens removal and compost hygienization such as phenolic compounds (Elorrieta et al. [2003](#page-209-0)), ammonia (Lazarovits [2001\)](#page-210-0) and ethyl esters of hydroxyoleic acids as inhibitors of the pathogen Phytophthora spp. (Hoitink and Fahy [1986\)](#page-209-0). Some microorganisms produce volatile substances, often secondary metabolites, which can have toxic effect on other microorganisms, including pathogens (Wheatley [2002](#page-211-0); El Fels et al. [2015](#page-208-0)). Furthermore, there are indirect and direct factors affecting the pathogens survival like the solar exposition time, as well as the orientation of the composting pile (Suárez-Estrella et al. [2007\)](#page-211-0) and the complex interactions among microorganisms, compost substrate and environmental parameters (e.g. pH, temperature and moisture content) (Zhao et al. [2019](#page-211-0)). Several research studies have revealed the strong effect of factors on the persistence of pathogens, such as annual period, season, location and exposition time (Suárez-Estrella et al. [2004\)](#page-211-0); organic acids, aldehydes and alcohols (El Fels et al. [2018\)](#page-208-0); and antagonism and antibiotics produced by compost microorganisms, especially actinobacteria (El Fels et al. [2015\)](#page-208-0). According to El Hayany et al. [\(2018](#page-209-0)), the combination of humidity, temperature, ammonium and pH can lead to helminth

eggs destruction during composting. However, the removal of bacteria belonging to coliform groups can be linked to the high temperature during thermophilic phase (El Fels et al. [2015\)](#page-208-0).

The composting process leads to a product containing mainly beneficial microorganisms; these are supposed to play an important role in disease suppression; they are more competitive in colonizing organic residues during the composting process than pathogens (Fuchs [2010](#page-209-0)). Thornton ([2004\)](#page-211-0) work indicates that antagonistic Trichoderma species have the ability to outcompete the pathogenic fungus Rhizoctonia solani for nutrients, which prevents its growth (Speiser et al. [2020\)](#page-211-0). Nelson et al. ([1983\)](#page-210-0) also used Trichoderma strains to increase the suppressive potential of compost. Kwok et al. ([1987\)](#page-210-0) showed that bacterial antagonists could protect cucumber against R. solani (Fuchs [2010](#page-209-0)). The interactions between organisms can continue in the soil after the application of the compost. Fuchs [\(2010](#page-209-0)) showed that the microbiological activity of soils increased by compost amendments.

Microorganisms have an essential role in the transformation and migration of nutrients in the soil and compost; there exist different bacteria that are instrumental in the nitrogen transformation as well as fungi and bacteria favouring the solubilization of phosphorus and potassium. Among the decomposers, bacteria present in compost are Pseudomonas, Burkholderia, Zymomonas and Xanthomonas genera, and nitrogen-fixing aerobic bacteria could be found (Sánchez et al. [2017](#page-210-0)). Nitrogentransforming microorganisms that convert the ammonium into nitrites and nitrates during composting process are mostly decomposing bacteria, bacteria fixing the atmospheric nitrogen, nitrifying bacteria and archaea oxidizing the ammonium to nitrites and nitrates (Vargas-García et al. [2010](#page-211-0)). Among the nitrogen-fixing bacterial genera present in compost are Stenotrophomonas, Xanthomonas, Pseudomonas, Klebsiella, Alcaligenes, Achromobacter and Caulobacter (Pepe et al. [2013\)](#page-210-0) which are in turn involved in processes for organic matter degradation during composting (Insam and De Bertoldi [2007](#page-209-0); Sánchez et al. [2017\)](#page-210-0). The potassium-solubilizing bacteria can solubilize potassium, such as Bacillus mucilaginosus, Enterobacter hormaechei and Bacillus edaphicus. The genus Bacillus is related to the solubilization of potassium (Sugumaran and Janarthanam [2007\)](#page-211-0) and phosphorus, and at the same time, it is also involved with waste degradation in the composting process (Insam and De Bertoldi [2007;](#page-209-0) Sánchez et al. [2017\)](#page-210-0). Phosphate-solubilizing microorganisms are type of microorganisms which are widely distributed in nature. In the soil, although their number varies with the soil and compost type, they assimilate phosphate and solubilize it (Restrepo and Marulanda [2015](#page-210-0)). Phosphate is solubilized by bacteria and fungi by lowering the pH of the medium through the synthesis of organic acids that act on the insoluble phosphorus present in materials such as tricalcium phosphate, dicalcium phosphate and phosphoric rock (Sánchez et al. [2017](#page-210-0)). Rhizobia have the ability to solubilize phosphate, which is associated to the synthesis of 2-ketogluconic acid (Khan et al. [2014;](#page-210-0) Khan et al. [2007\)](#page-209-0). Other bacteria as cyanobacteria may contribute to enhance agriculture crops; they represent beneficial microorganisms and renewable biomass source. Cyanobacteria play a major role in different chemical transformations of soils and thus influence the

bioavailability of major nutrients like P to plants and increasing of crop production (Singh et al. [2011](#page-210-0)).

The beneficial microorganisms which are introduced to soil through compost spreading can breakdown and ferment organic fraction of the soil system and convert it into humus comprising nutrients while releasing hormones that improve plant growth, and they enhance the nutrients absorption by root system also retaining nutrients and moisture in the soil (Joshi et al. [2019\)](#page-209-0). In addition, microorganisms can consume contaminants present in soils, by their transformation into non-toxic products, their volatilization and the formation of humus and inert by-products (Bagyaraj [2014](#page-208-0)).

5.6 Monitoring and Characterization of Microbial Community Evolution During Composting

5.6.1 Composting Effect on Harmful Microorganisms

The composting windrow method based on lagooning sludge (LS) was proposed to assess the evolution of harmful microorganisms. Variation of faecal and total coliforms population in the compost was examined at different stages of composting. Physicochemical parameters and temperature were also measured during composting process of two mixtures with different proportions of LS and GW on a semi-industrial scale—M1 ($1/2$ LS + $1/2$ GW) and M2 ($1/3$ LS + $1/3$ GW)—for 200 days. The temperature measurement indicates that in thermophilic phase, the temperature increased to 45 °C and 50 °C, respectively; for mixtures M1 and M2 (Fig. 5.1), high temperature can contribute directly in destruction of pathogenic bacteria which leads to the safety and the hygienic quality of end products (El Fels

Fig. 5.1 Temperature evolution during composting of M1 and M2

	M1			M ₂		
Time (days)	0	45	200	Ω	45	200
C/N	29.00	16.26	8.24	26.49	14.57	9.40
Decomposition rate $(\%)$	-	19.29	47.62	$\overline{}$	25.30	36.41
$TKN(\%)$	0.84	1.03	1.42	0.88	1.15	1.36
TOC $(\%)$	24.36	16.75	11.71	23.31	16.76	12.79

Table 5.1 Physicochemical parameters evolution during composting of M1 and M2

et al. [2019](#page-209-0); El Hayany et al. [2021b](#page-209-0)). Thereafter, it decreases to reach room temperature; the second phase is called maturation phase during which only compounds resistant to degradation are remaining (El Fels et al. [2014b](#page-208-0); El Hayany et al. [2018\)](#page-209-0). El Fels et al. [\(2014b](#page-208-0)) found that the temperature increased to reach 65 $^{\circ}$ C at the 15th day of composting of sewage sludge with palm waste in a windrow, which indicates the higher degree of biodegradation of organic compounds.

Total organic matter decomposition increased during composting to reach a decomposition rate of 47.62% and 36.41% after 200 days, for M1 and M2 respectively (Table 5.1). Carbon/nitrogen ratio (C/N), a good indicator to evaluate compost maturity (El Glauoi et al. [2020](#page-209-0)), increased during composting for the two mixtures and reached final values 8.24 and 9.40, respectively, for M1 and M2 (Table 5.1). According to compost quality standards (NFU 44–051 and NFU 44–095) for agricultural use, a final C/N value around 8–12 is an indicator of mature compost. Faecal and total coliforms were used as faecal contamination indicator organisms (Redlinger et al. [2001;](#page-210-0) El Hayany et al. [2021b\)](#page-209-0). The standards indicate that the recommended faecal coliforms (FC) and faecal streptococci (FS) densities are $10³$ CFU/g and the final compost should be stable and suitable for use as soil amendment composts and should not contain pathogen microorganisms or viable seeds (Tønner-Klank et al. [2007](#page-211-0); El Fels et al. [2019\)](#page-209-0).

The evolution of faecal and total coliforms during LS composting with GW showed a prominent decreasing (Fig. [5.2](#page-204-0)) for the three mixtures; the decreasing rates are about 99.95% and 99.97%, respectively, for M1; M2 for FC and 99.88% and 98.70%, respectively, for M1; M2 for total coliforms. This decrease is due to the high temperatures reached during the thermophilic phase, the moisture reached during the maturation phase (27.8% and 30.09%, for M1; M2 respectively), emission of ammonia gas during composting in windrows along with treatment period (6 months) and non-availability of nutrients. The results indicate that the final values obtained are lower than the international standards recommended for the agricultural use. The results also indicate the good hygienic quality of the final products of composted sewage sludge and GW, which confirm the usefulness of composting processes for LS treatment. In another study based on windrows composting of activated sludge conducted by El Fels et al. ([2015](#page-208-0)), the decreasing rate of FC reached 99.95% and 95.50%, respectively, for mixture A (1/3 sludge +2/3 date palm tree waste) and mixture B (1/2 sludge +1/2 date palm tree waste) and 99.96% for total

Fig. 5.2 Evolution of total and faecal coliforms during composting of LS (a) and activated sludge (b) (El Fels et al. [2015](#page-208-0))

coliforms in the both mixtures, after 180 days of composting (Fig. 5.2). That indicates the safety of final product and decrease of agricultural risks.

Compost sanitization process and antimicrobial activities of actinobacteria showed that 12 active actinobacteria isolated from several composting phases were screened for their antagonistic activity spectrum against several wastewater-linked microorganisms known as human and plant potential pathogens (El Fels et al. [2015;](#page-208-0) [2016\)](#page-208-0). Results indicate that the intensity of inhibition is greater among isolates from the composting of 3 and 6 months, which indicates that development of the compost actinobacteria is connected to sanitization in the later stages of composting. El Fels et al.'s ([2015\)](#page-208-0) work indicates that according to antagonistic interactions screening out of the 12 active actinobacteria, 9 were active against Bacillus cereus, 7 against Staphylococcus aureus CCMB3, 6 against Micrococcus luteus, 3 against E. coli K12 and 2 against Pseudomonas aeruginosa and Enterobacter cloacae. In the antifungal activities, 7 out 12 were active against Rhodotorula rubra, 2 against Botrytis cinerea and 6 against Saccharomyces cerevisiae, which means that 3 strains were active against both Gram-positive (B. cereus; S. aureus; M. luteus) and Gramnegative bacteria (E. coli; P. aeruginosa; E. cloacae), 9 against Gram-positive bacteria and only 3 against Gram-negative bacteria. This study revealed the antagonistic activity of actinobacteria from composts and showed that heat is not the only cause of compost sanitization. It is a combination of various factors, including antagonism and antibiotics produced by compost microorganisms.

5.6.2 Dynamics of Mesophilic and Thermophilic Microorganisms During Composting

El Fels et al. [\(2015\)](#page-208-0) studied the dynamic of three main aerobic microorganisms during composting of sewage sludge mixed with tree palm waste; fungi, actinobacteria and bacteria, which were already presented as dominating taxa present during composting (Fig. 5.3). In this study, mesophilic and thermophilic fungi represent a peak of 40% and 50%, respectively, after 15 days of composting; at thermophilic phase, the total fungal mesophilic microflora decreased after 180 days of composting to reach 20% and 18%, respectively, for mixtures A and B. Chroni et al. ([2009\)](#page-208-0) showed that thermophilic fungi exhibited a small increase when temperature increases in the first month of composting; furthermore, cooling and

Fig. 5.3 (a) Mesophilic indigenous microflora groups during composting process: fungi $=$ moulds and yeasts. (b) Thermophilic microflora groups versus time of composting (El Fels et al. [2015\)](#page-208-0)

maturation stages are characterized by the development of a new mesophilic fungal community (Ishii et al. [2000\)](#page-209-0). The development of fungi in lignocellulosic substrates at the end of composting promotes their degradation and stabilization by their lignolytic enzymatic capacity (El Fels et al. [2015](#page-208-0)). Actinobacteria showed a peak in the order of 80% and 40% and 90% and 40%, for the mesophilic and thermophilic microflora of mixtures A and B after 2 months of composting respectively (Fig. [5.3\)](#page-205-0); the dominance of actinobacteria indicate good composting conditions (Kurola et al. [2011;](#page-210-0) Zhang et al. [2020\)](#page-211-0). The proliferation of actinobacteria in maturation phase is linked to their enzymatic capacity to break down recalcitrant molecules; this could confirm the increase of actinobacteria groups during the composting of lignocellulose-based waste. The mesophilic and thermophilic bacteria showed strong decrease during composting of the two mixtures which is due to the inhibition and destruction of pathogenic type after thermophilic phase and to more proliferation of actinobacteria and fungi responsible for biodegradation process.

In general, indigenous microflora such as bacteria, actinobacteria and fungi, which can break down organic matter in composted sewage sludge, showed a rise–fall–rise trend during the composting time according to temperature, oxygen, water and nutrient availability. The results from recent literature and presented in this chapter give a global information on the microorganisms' dynamic and evolution during composting by studying the behaviour of pathogenic (FC) and beneficial microorganisms during sewage sludge composting. This data justifies the usefulness of composting as green biotechnology, which can destroy pathogenic bacteria and fungi thorough the antagonistic activity of actinobacteria and temperature. Furthermore, the proliferation of actinobacteria in the final compost will have a beneficial effect on soil; its antagonistic activity has a positive influence on sanitary quality of soil and on plaint growth.

The plant germination is a process involving complex mechanisms, which can be affected by the presence of pollutants; therefore, the percentage of germination could indicate the quality of biofertilizers and its capacity to promote plants' germination and growth. Composts of LS showed a higher value in germination index: 124.67% and 158.31% for turnip, 126.51% and 108.79% for radish, 108.37% and 109.30% for cress and 139.44% and 143.48% for alfalfa, respectively, for M1 and M2 (El Glauoi et al. [2020](#page-209-0)) which indicates the perfect reduction of phytotoxic compounds and the agricultural quality of compost.

The compost application contributes certainly to improving the quality and quantity of agricultural crops through the disease suppression (Zhao et al. [2019;](#page-211-0) Lutz et al. [2020](#page-210-0)) and enhancing germination and radical growth. Several studies have indicated the positive effect of compost on crops. Hadar et al. [\(1985](#page-209-0)) showed that mature compost promotes the growth of tomato. Furthermore compost amendments can contribute to improvement and control of soil-borne diseases and in increasing sustainability and productivity in potato production systems (Larkin and Tavantzis [2013;](#page-210-0) Lutz et al. [2020](#page-210-0)); in improving leafy crops of spinach and turnip (Ansari [2008\)](#page-208-0). Goswami et al. [\(2017](#page-209-0)) indicated through a field experiment that application of drum compost and vermicompost enhances soil fertility and crop yield in an agro-ecosystem involving tomato and cabbage; they showed an

amelioration in soil health, nutrient availability, microbial diversity and physical stability and concluded that the combined use of drum compost and vermicompost with the recommended chemical fertilization enhances crop growth, yield and product quality of tomato and cabbage. In general, the productivity of vegetable crops is enhanced by compost and vermicompost application leading to sustainable and productive agriculture.

5.7 Future Prospects

The understanding and in-depth knowledge of composting mechanisms and the development of the methods for evaluating compost maturity lead to quality compost that is not only mature and high yielding but can be produced in a limited time, in order to satisfy the agricultural needs (Estrella-González et al. [2020;](#page-209-0) El Hayany et al. [2021a\)](#page-209-0). Abdellah et al. ([2021\)](#page-208-0) revealed that psychrotrophic strains accelerate the composting process by reducing the maturity period and improving compost quality. Tran et al. (2015) (2015) have studied the effect of lactic acid bacterium on the organic matter degradation and composting time. Other studies have suggested temperature control as the main factor to enhance composting period and quality. Xiao et al. ([2009\)](#page-211-0) have proposed thermophilic compost for rapid biodegradation and maturation of organic municipal waste. This application may be limited in comparison to hyperthermophilic composting which can accelerate composting period, humic acid formation (Liu et al. [2020](#page-210-0)), eliminate pathogenic microorganisms and enhance biodegradation of some organic pollutants (Chen et al. [2020\)](#page-208-0). Faster and large-scale techniques can be used for production of organic amendments such as industrial-scale and hyperthermophilic composting. The use of compost can be improved by raising awareness among farmers of the benefits and beneficial effects of compost on soil.

5.8 Conclusion

The different phases of composting process contain various communities of microorganisms. The first decomposition is done by mesophilic microorganisms, which rapidly break down the readily degradable compounds. The biodegradation process promotes the temperature increasing; reaching 50 \degree C and 65 \degree C, for the compost of LS with GW and activated sludge with date palm tree waste respectively. The heat contributes to suppression of microorganisms that may be human or plant pathogens; the examples presented in this study is the almost total destruction of faecal and total coliforms, which are an indicator of faecal contamination, after 200 days and 180 days, for composting of LS and activated sludge respectively. Compost sanitization is important, and there is clear indication of the crucial role of actinobacteria as antagonists for the inhibition of pathogenic microorganisms. Bacteria in compost are mostly heterotrophic, responsible for biodegradation, but denitrifying, nitrogen-fixing, hydrogen-oxidizing bacteria and sulphur-oxidizing bacteria can also be present, and their beneficial effects can be transported to soil and plants by proper application in agro-ecosystems.

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6

Plant Growth-Promoting Bacteria as Biostimulants of Crops in Saline Agroecosystems

Priya Mishra, Ankita Bhattacharya, Priyanka Verma, Chanda Bharti, and Naveen Kumar Arora

Abstract

Economy of many countries in the world hinges on the agriculture and thus directly or indirectly on soil quality. Soil salinity is one of the major abiotic constraints negatively impacting the agricultural productivity in arid and semiarid regions. Physical and chemical amendments (irrigation and leaching with chemicals) used to reclaim soil salinization pollute groundwater, waterbodies, and aquatic life. Use of plant growth-promoting bacteria (PGPB) for amelioration of salt stress in soil is a sustainable and ecofriendly measure, which not only reconciles saline soil but also augments nutritional values in soil. PGPB use array of mechanisms to promote growth and endurance in plants by minimizing the adverse effect of salinity. PGPB can enhance the expression of various salt stressrelated genes in plants that supplement growth and yield under salinity. This chapter focuses on the effects of salinity on agroecosystems and role of PGPB in alleviation of salt stress in plants. The chapter also emphasizes on the utilization of PGPB-based biostimulants in improvising soil health and strengthen plant productivity.

Keywords

Salinity · PGPB · Nutrients uptake · Phytohormones · Antioxidants · Reclamation

P. Mishra · A. Bhattacharya · P. Verma · C. Bharti · N. K. Arora (\boxtimes)

Department of Environmental Science, School of Earth and Environmental Sciences, Babasaheb Bhimrao Ambedkar University, Lucknow, Uttar Pradesh, India

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6.1 Introduction

A rapid increase in the global human population has posed the challenge of food security. According to the Global Agricultural Productivity Report (GAP) ([2019\)](#page-236-0), intensification in agricultural productivity is necessary to fulfill the demand for food of continuously increasing global population. Currently, global agricultural productivity is growing at an annual rate of 1.63% which is lesser than the required, i.e., 1.73% to sustainably produce food for ten billion population in $2050¹$. There are several abiotic constraints such as temperature anomaly, drought, salinity, nutrient deficiency, heavy metals contamination, and surplus use of synthetic chemicals, responsible for decreased productivity of the agroecosystems (Zhu [2016\)](#page-242-0). Among them, soil salinity is a well-known factor adversely hampering global agricultural production. Worldwide the total productive agricultural land is 13.4 billion hectares of which 11% (5.4 billion hectare) land surface is used in crop production.² According to Food and Agriculture Organisation (FAO) ([2017\)](#page-236-0), approximately 954.8 million ha of land in the world is covered with saline soil, and almost every continent of world is affected with soil salinization.

In high saline conditions, accumulation of excess sodium (Na⁺) and chloride $(C¹)$ ions in various plant organs creates cytotoxicity that counterfires plant growth and development process. Moreover, salinity induces oxidative stress by the generation of reactive oxygen species (ROS) that causes irreversible changes in cellular and physiological processes (Isayenkov and Maathuis [2019](#page-237-0)). Most of the crops including important cereals like rice, millet, and leguminous crops (beans, pigeon pea) are sensitive to salt stress (Grieve et al. [2012\)](#page-236-0). Some plants may tolerate salt stress by the means of ion homeostasis, modification in cellular membrane structure, and production of osmoregulatory compounds (Egamberdieva et al. [2019](#page-235-0)). In contrary to salt-tolerant varieties, salt-sensitive plants have lesser ability to cope salt toxicity which results in huge loss in yield and productivity. However, there are several approaches that can be used to abate the salt stress in the saline agroecosystems. Among them physical methods that include leaching, scraping, and flushing with irrigation and artificial drainage are being used for decades (Ayyam et al. [2019](#page-234-0)). Chemicals such as gypsum and $CaCl₂$ are also being used for improving soil water infiltration in arid and semiarid regions (Oster [1982](#page-239-0); Osman [2018\)](#page-239-0). As gypsum also contains phosphoric acid and chlorides, it leaches into groundwater and other water bodies through irrigation that negatively impacts aquatic ecosystems (Naveen et al. [2018](#page-239-0)). Besides this, physical methods used for saline soil reclamation are also time-consuming, labor intensive, and economically not feasible.

Development of salt-resistant crop varieties through efficient technologies such as plant breeding and genetic engineering has also been practiced since long for

¹Global agricultural productivity is growing at an annual rate of 1.63% which is lesser than the required, i.e., 1.73% to sustainably produce food for ten billion population in 2050. 2 <http://www.fao.org/3/y4252e/y4252e06.htm>

enhancing productivity in saline agroecosystems. Even after undeniable success in the area of genetic engineering and plant breeding, there are few drawbacks; they are highly expensive and time taking and require government approvals which may result in unnecessary hurdles. All such drawbacks reduce the dependency on such techniques (Coleman-Derr and Tringe [2014](#page-235-0)). Therefore, development of sustainable approaches that not only remediate saline soil but also increase crop yield is the need of the hour. Salt-tolerant plant growth-promoting rhizobacteria (ST-PGPR) emerged as ecofriendly and greener tools for programming crops for salt stress. ST-PGPR form mutualistic association with plants, and via salt stress responsive mechanisms, they help in plant's survival under saline conditions (Arora et al. [2012;](#page-234-0) Arora et al. [2021\)](#page-234-0). Their application in the form of biostimulants is proven to be beneficial in terms of enhancing productivity and providing resistance against salt stress conditions (Mishra et al. [2018\)](#page-238-0). This chapter targets to define the various mechanisms by which ST-PGPB enhance plant growth and help in survival in such harsh conditions. Apart from this, current use of ST-PGPB-based biostimulants for the better management of saline soil in comparison to other conventional approaches is also deliberated upon.

6.2 Effect of Salinity on Agroecosystems

Salinity adversely impacts agroecosystems by counteracting with the key metabolic processes in plants and properties of soil. Increased salt concentration in soil affects seed germination, photosynthesis, respiration, reproduction, solute transportation, defense mechanisms, gene expression, and hormonal responses in plants (Khan and Abdullah [2003](#page-237-0); Parihar et al. [2015](#page-239-0); Fallah et al. [2017](#page-236-0)). Salt-induced stress causes oxidative damage to the plants by producing ROS such as hydrogen peroxides $(H₂O₂)$, hydroxyl radicals (OH), and superoxides (O2⁻⁻). Production of ROS causes oxidative damage in cell membranes, lipids, proteins, and chloroplast (Gong et al. [2018;](#page-236-0) Goharrizi et al. [2019\)](#page-236-0). The process of nutrient uptake is also affected under salinity stress. As the concentration of soluble salts increases, it reduces formation of organic matter in soil and bioavailability of nutrients in different growth phases of plants (Walpola and Arunakumara [2011](#page-241-0); Moradi et al. [2019](#page-239-0)). Under extreme saline conditions, germination of seed is either delayed or completely inhibited. High salt concentration results in the low availability of water molecules which slows down activation of key enzymes involved in initial germination process (Khan and Weber [2008\)](#page-237-0). Under higher salt concentration, seed vigor, shoot length, and root length were found to be decreased in Perilla frutescens and Helianthus annuus L. (Zhang et al. [2011;](#page-242-0) Tewari and Arora [2016,](#page-241-0) [2018](#page-241-0)). In a study by Jafarzadeh and Aliasgarhzad ([2007\)](#page-237-0), high salt (NaCl) concentration in the soil decreased seed germination and seedling root length in sugar beet.

In the saline conditions, reduction in ribulose-1,5-bisphosphate carboxylase/ oxygenase (RuBisCO, EC 4.1.1.39) enzyme is found to be linked with low yield in agroecosystems. Apart from this, under high salt concentration, carotenoid and chlorophyll contents are also decreased which inhibit the process of photosynthesis (Gong et al. 2018). Salinity affects crop yield by the accumulation of Na⁺ ions in the reproductive tissues which subsequently inhibit process of fertilization and embryogenesis in many plants. Salinity causes harmful effects to stigma receptivity, pollen viability, and starch synthase activity in $Oryz^2$ sativa (Khan and Abdullah [2003\)](#page-237-0), while accumulation of $Na⁺$ ions in high concentration in the laminae of young leaves is found to be involved in delayed flowering, which in turn affects reproductive success (Pushpavalli et al. [2016\)](#page-239-0). In cotton, developmental stages like germination, fruiting, flowering and activities of metabolic enzymes are impacted due to high salt accumulation in vital tissues (Sharif et al. 2019). According to Zhang et al. (2013) (2013) , toxicity caused by Na⁺ accumulation is the primary reason of early leaf senescence and decrease in the crop yield in cotton. Fernandes et al. [\(2004](#page-236-0)) reported that lesser deposition of cellulose due to impairment of sucrose metabolism under saline conditions results in deterioration of fiber quality.

Soil salinization also affects various physical and chemical properties of soil. A large amount of soluble salts extract the water molecules from soil and affect moisture content, while introduction of carbonates and bicarbonates of sodium, potassium, calcium, and magnesium in the soil decreases H^+ ions and increases $OH⁻$ ions that also results in high pH value of the soil (Warrence et al. [2002](#page-242-0); Pearson and Bauder [2006;](#page-239-0) Cemek et al. [2007\)](#page-234-0). Under high salt concentration, calciumdominated soil may be converted into sodium-dominated soil which affects exchangeable sodium percentage (ESP) and makes soil dry and infertile (Chen and Banin [1975;](#page-235-0) Warrence et al. [2002](#page-242-0); Cemek et al. [2007](#page-234-0)). In saline conditions, aggregation of fine particles decreases porosity and aeration of soil, and affects soil structure and texture (Oster and Shainberg [2001](#page-239-0)). Waterlogging increases in saline soils due to reduced hydraulic conductivity which also affects aeration and slows down decomposition of organic matter (Oster and Shainberg [2001](#page-239-0); Pearson and Bauder [2006](#page-239-0); Cemek et al. [2007\)](#page-234-0).

Apart from interfering with physiological process in plants, salinity also affects microbial processes that help in marinating soil fertility (Rath et al. [2019](#page-240-0)). Salinity can alter microbial composition, diversity, and key biochemical processes such as soil respiration and enzymatic activities that collectively take part in making soil living and fertile (Hasbullah and Marschner [2014;](#page-237-0) Zhang et al. [2019](#page-242-0); Zhang et al. [2021\)](#page-242-0). Hence, it can be clearly stated that salinity is a major factor that is responsible for loss of fertility and productivity in saline agroecosystems.

6.3 Salt-Tolerant Plant Growth-Promoting Bacteria

Microorganisms are ubiquitously present living entities, and some can live and tolerate extreme surroundings with the help of special mechanisms that are the result of adaptation and evolution. Plants growing in harsh and unfavorable environment are surrounded by array of these minute creatures that help in tolerance to various biotic and abiotic stresses, in addition to providing plants with many growthpromoting factors in stressed conditions. A rich population of PGPB is found to be concentrated in the rhizospheric zone of plants as this region is quite rich in many
nutrients which attract soil-borne microbes. Hence this rhizospheric region can be designated as a mutually benefiting cite for both plant and microbes where exchange of active substances takes place. These PGPB can also thrive inside various plant tissues (endophytes) (Khare et al. [2018\)](#page-238-0) as well as above the plant surface (epiphytes) (Arora [2013](#page-234-0)). PGPB may help plants by providing resistance to unfavorable environmental conditions like drought salinity, high and low pH, heavy metals, etc. Stress-tolerant PGPB provide beneficiary effects to plants by a number of direct and indirect mechanisms even under abiotic stress conditions like salinity. These mechanisms include hormone production, nutrient acquisition, improving soil structure and composition by enhancing binding, cation exchange capacity, water holding capacity, bulk density, production of exopolysaccharides, antioxidants, osmolytes, and many others (Arora [2015](#page-234-0); Vaishnav et al. [2016](#page-241-0); Egamberdieva et al. [2019](#page-235-0)). Common salt-tolerant PGPB genera include Arthrobacter, Azotobacter, Azospirillum, Bacillus, Burkholderia, Klebsiella, Pantoea, Pseudomonas, Paenibacillus, Rhizobium, Rhodococcus, Serratia, Sphingomonas, Thiobacillus, and Xanthomonas (Arora et al. [2012;](#page-234-0) Arora et al. [2020](#page-234-0)).

Gram-negative Pseudomonas and Gram-positive Bacillus are among the most widely studied and best known PGPB genera (Etesami and Glick [2020](#page-235-0)). Among Pseudomonas spp., P. fluorescens, P. aeruginosa, P. putida, P. cepacia, P. entomophila, P. alcaligenes, and P. pseudoalcaligenes are most commonly studied PGPB (Deshwal and Kumar [2013](#page-235-0)). Recently, salt-tolerant strain P. entomophila PE3 was reported to provide salt stress tolerance to plants by producing exopolysaccharides (EPS) along with other growth-stimulating substances like phytohormones, siderophores, and mineral solubilization under saline conditions (Fatima and Arora [2021](#page-236-0)). In a study, fluorescent Pseudomonas sp. PF17 and its EPS-based formulation was documented to promote growth of sunflower as well as show biocontrol activity by solubilizing phosphates and producing metabolites like phytohormones, hydrogen cyanide, pyocyanin, and siderophores in high salt concentration even in vivo conditions (Tewari and Arora [2014;](#page-241-0) Tewari and Arora [2016](#page-241-0)). Likewise, P. putida has been found to upregulate transcriptional expression of five early salt stress-responsive genes, namely, ROS scavenging (APX2), detoxification (GLYI7), ABA signaling (RD29A and RD29B), and JA synthesis (LOX2), causing enhancement of germination rate and survival of Arabidopsis thaliana under salt stress (Chu et al. [2019\)](#page-235-0).

Bacillus strains like B. cereus, B. amyloliquefaciens, B. megaterium, B. toyonensis, B. licheniformis, B. pumilus, B. subtilis, and B. safensis are also well reported to promote growth of plants under salinity stress (Wang et al. [2018;](#page-242-0) Goswami et al. [2014](#page-236-0); Upadhyay et al. [2012](#page-241-0), [2019;](#page-241-0) Prakash and Arora [2021\)](#page-239-0). In a study, B. toyonensis COPE52 has been reported to enhance growth of tomato under salinity stress by modifying lipid and fatty acid composition of plant cells. The bacterium stimulated growth of tomato by producing indole-3-acetic acid (IAA), biofilm, and protease and also showed biocontrol against the fungi *Botrytis cinerea* (Rojas-Solis et al. [2020\)](#page-240-0). Apart from rhizospheric PGPB, an endophytic strain B. safensis ZY16 isolated from the root of halotolerant plant Chloris virgata Sw. was also reported to be engaged with phytoremediation of petroleumcontaminated soil, production of biofilm, IAA, siderophore, and phosphate solubilization under induced hypersaline conditions (Wu et al. [2019](#page-242-0)).

Other than Pseudomonas and Bacillus, several other PGPB genera have shown magnificent role in plant growth promotion and alleviation of salt stress. For instance, PGPR strain Serratia liquefaciens KM4 was investigated and found to reduce salinity stress and induced plant growth in maize by regulating various salt stress-related genes, ion homeostasis, leaf gas exchange, and redox potential (El-Esawi et al. [2018a](#page-235-0)). Salt and heavy metal-tolerant endophytic strain of Serratia rubidaea isolated from roots of quinoa plants solubilized complex form of phosphate and zinc and efficiently produced IAA, siderophore, and ammonia in quinoa (Mahdi et al. [2021\)](#page-238-0). Similarly, Azospirillum lipoferum and Azotobacter chroococcum inoculated maize plants showed significantly enhanced K^+/Na^+ ratio, antioxidative enzymes and osmolytes under saline condition (Latef et al. [2020\)](#page-238-0). In a similar study, inoculation of A. lipoferum and A. chroococcum in hopbush shrub (Dodonaea viscosa L.) elevated the germination rate as well as shoot and root length to many folds under saline conditions (Yousefi et al. [2017](#page-242-0)). In another study, endophytic bacterium Burkholderia phytofirmans PsJN was found to be able to scavenge ROS and improved osmotic balances in halophyte quinoa (Chenopodium quinoa Willd.) (Yang et al. [2020\)](#page-242-0).

Rhizobia-legume symbiosis is known to be sensitive to salt stress, but some of the salt-tolerant *Rhizobium* spp. have been reported which promote plant growth and fix nitrogen (N_2) under salt stress. For example, when Sinorhizobium meliloti RM1521 was inoculated in alfalfa, it showed higher number of nodulations even under salt stress (Bertrand et al. [2020\)](#page-234-0). Rhizobia are also being explored for their plant growthpromoting attributes in non-legume plants like rice, maize, wheat, and canola under stressed environment including salinity (Hussain et al. [2009;](#page-237-0) Saghafi et al. [2018\)](#page-240-0). Saghafi et al. ([2018\)](#page-240-0) have studied that *S. meliloti* and *Rhizobium leguminosarum* bv. phaseoli inoculation in non-legume canola (Brassica napus L.) may ameliorate salt stress by production of 1-aminocyclopropane-1-carboxylic acid (ACC) deaminase, IAA, and phosphate solubilization. Additionally, co-inoculation of Azospirillum brasilense and Rhizobium tropici in maize helped in production of ROS-scavenging enzymes and osmolytes conferring salinity tolerance (Fukami et al. [2018b\)](#page-236-0). Therefore, a wide array of PGPB have been reported and studied for their significant potential to ameliorate salt stress along with plant growth promotion, which in turn enhances productivity of crops grown in saline agroecosystems.

6.4 PGPB-Based Biostimulants in Saline Agroecosystems

Plant growth-promoting bacterial biostimulants can play magnificent role in stimulation of plant growth under salt stress conditions. These bacteria have a variety of mechanisms to withstand in saline conditions and enhance plant growth via increasing nutrient uptake, phytohormone production, antioxidant production, and many more (Fig. [6.1](#page-218-0)). These mechanisms have been briefly described below:

Fig. 6.1 Mechanisms used by PGPB to combat salt stress

6.4.1 Biostimulants for Nutrient Uptake

Plants require 17 essential elemental nutrients for their overall growth and development, which are categorized into macronutrients and micronutrients according to the amount required. Macronutrients include carbon (C), hydrogen (H), oxygen (O), nitrogen (N), phosphorus (P), potassium (K), calcium (Ca), magnesium (Mg), and sulfur (S) , and micronutrients include zinc (Zn) , copper (Cu) , iron (Fe) , manganese (Mn), boron (B), molybdenum (Mo), chlorine (Cl), and nickel (Ni). Although required in minute quantity, micronutrients are also essentially required, and their deficiency may give rise to various deformity symptoms in plants (Fageria et al. [2008\)](#page-236-0). Effect of salinity on the nutrient composition was observed in twelve B. napus L. cultivars and it was concluded to have negative impact on plant growth along with reduction in water and nutrient composition. K^+ , K^+/Na^+ , and Ca^+ content decreased, and stress ions $(Na^+$ and $Cl^-)$ concentration increased in all cultivars (Tunçtürk et al. [2011\)](#page-241-0). Several studies are available where PGPB are reported to improvise nutrient uptake in plants under saline conditions (Table [6.1\)](#page-219-0).

Nitrogen (N) is an element that is required by plants in large amounts, as most of the cell components require N as building block, that is why deficiency of N rapidly inhibits plant growth. Under salinity conditions N uptake by plant shoots decreases (Hu and Schmidhalter [2005](#page-237-0)). Biological nitrogen fixation makes global contribution of about 180×10^6 metric tons/year (Mayak et al. [2004\)](#page-238-0) of which 80% contribution is from symbiotic nitrogen fixers that are *Rhizobium* in legume plants and *Frankia*

Sr.				
no.	PGPB	Mechanism and effects	Host/plant	References
$\mathbf{1}$	Bacillus subtilis (BERA 71)	Decrease sodium accumulation and enhance the nitrogen (N), potassium (K), calcium (Ca), and magnesium (Mg) content	Chickpea (Cicer arietinum L.)	Abd-Allah et al. (2018)
$\overline{2}$	Bradyrhizobium japonicum and the endophyte Stenotrophomonas rhizophila	Improved root, shoot length, dry weight, N and P uptake, and number of nodules	Soybean (Glycine $max L$.)	Egamberdieva et al. (2018)
3	Azotobacter sp. $(Az1-Az6)$	Production of indole-3- acetic acid (IAA) and nitrogen fixation, enhances nutrient uptake and antioxidant enzymes, increasing fresh weight, dry weight, root and shoot length	Wheat (Triticum aestivum L.)	El-Nahrawy and Yassin (2020)
$\overline{4}$	Streptomyces laurentii, Sinorhizobium sp., and Bacillus safensis	Showed nitrogen fixation, solubilization of inorganic phosphate, production of phytohormones, ammonia, and acetoin	Mint OCimum basilicum L.)	AlAli et al. (2021)
\mathfrak{S}	Bacillus strains, Acinetobacter strains, Pseudomonas strains, Brevibacillus, Gordonia terrae, Chryseobacterium lathyri, Ensifer sesbaniae, and Paenibacillus illinoisensis	Showed high potential for dissolving calcium phosphate $[Ca_3(PO_4)_2]$	Peanut (Arachis hypogaea L.)	Jiang et al. (2019)
6	Bacillus halotolerans and Lelliottia amnigena	Significantly increased K ⁺ /Na ⁺ in root-shoot and reduced Na ⁺ in root- shoot, fix elemental nitrogen and solubilize phosphate and reduce proline accumulation	Wheat (Triticum aestivum L.)	El-Akhdar et al. (2020)
$\overline{7}$	Azotobacter chroococcum	Boosted N, P, and K concentration. exopolysaccharide (EPS) production, and melatonin production under salinity stress	Faba bean (Vicia faba L.)	El-Ghany et al. (2020)

Table 6.1 Nutrient uptake mechanisms used by PGPB to alleviate salt stress in plants

Sr.				
no.	PGPB	Mechanism and effects	Host/plant	References
8	Pseudomonas koreensis (MU2)	Significantly increase N, P, and K contents in plant leaf and upsurge K ⁺ uptake by 46%	Soybean (Glycine max L.)	Adhikari et al. (2020)
9	Pseudomonas argentinensis and P. azotoformans	Induce production of aminolaevulinic acid, indole-3-acetic acid (IAA), 1-aminocyclopropane-1- carboxylate deaminase, and solubilization of potassium and phosphorus	Mustard (Brassica juncea L.)	Phour and Sindhu (2020)
10	Enterobacter sp.	Enhance phosphate (P) solubilization, IAA production, siderophore production, HCN production, and possess ACC deaminase activity	Rice (Oryza sativa L.)	Sarkar et al. (2018a)
11	Alcaligenes sp.	Produces siderophores, zinc (Zn), IAA, GA, EPS, comprehend proline, antioxidants, and hydroxyl ion scavenging activity	Rice (Oryza sativa L.)	Fatima et al. (2020)
12	Achromobacter denitrificans and Ochrobactrum intermedium	Showed higher amount of atmospheric nitrogen fixation, phosphate solubilization, and indole-3-acetic acid production, an exopolysaccharide layer outside the cell surface	Rice (Oryza sativa L.)	Sultana et al. (2020)
13	Pseudomonas entomophila (PE3)	Showed potential to solubilize potassium (K), zinc (Zn) , and phosphorus (P) along with production of indole-3-acetic acid (IAA), gibberellic acid (GA) , exopolysaccharides (EPS), and siderophore	Sunflower (Helianthus annuus L.)	Fatima and Arora (2021)
14	Pseudomonas sp. (PF17)	Solubilize phosphate, produce siderophores, IAA, pyocyanin, HCN, chitinase and β -1,3-glucanase	Sunflower (Helianthus annuus L.)	Tewari and Arora (2016)

Table 6.1 (continued)

Sr.				
no.	PGPB	Mechanism and effects	Host/plant	References
15	Rhizobium radiobacter	Solubilize zinc and	Lettuce	Verma et al.
	LB ₂	phosphate, fix atmospheric nitrogen, produce EPS, IAA, and siderophores	(Lactuca sativa L.)	(2020)

Table 6.1 (continued)

colonizing in non-legume plants. Other 20% belong to free-living nitrogen fixers such as Acetobacter, Alcaligenes, Arthrobacter, Azospirillum, Azotobacter, Bacillus, Cyanobacteria, Clostridium, Derxia, Enterobacter, Klebsiella, Pseudomonas, Rhodospirillum, and Xanthobacter (Young and Haukka [1996;](#page-242-0) Sivasakthi et al. [2014;](#page-240-0) Ghorai et al. [2015;](#page-236-0) Di Benedetto et al. [2017\)](#page-235-0). Earlier, symbiotic biological nitrogen fixation was believed to occur only in legume plants with the help of legume-Rhizobium symbiosis, and many attempts were made to imitate this symbiosis in non-legume plants. In the late1980s, nitrogen-fixing microbes were discovered from root tissues of non-leguminous crops like sugarcane, maize, sorghum, and rice, which transformed the whole approach (Baldani et al. [1986;](#page-234-0) Cavalcante and Dobereiner [1988\)](#page-234-0). These N-fixing, non-nodule forming endophytes are termed as endophytic diazotrophic bacteria (Döbereiner [1992\)](#page-235-0). Apart from these, free-living nitrogen fixers also play very important role in agroecosystems. For instance, Azospirillum sp. which is known to be an excellent free-living nitrogen fixer is also found to produce phytohormones like IAA, jasmonic acid, and salicylic acid, and the phytohormone-mediated signaling is also believed to be contributing in abiotic stress tolerance in plants (Fukami et al. [2018a](#page-236-0)). Similarly, Rhizobium sp. was also reported to induce salinity stress tolerance both in legumes and non-legumes like canola (B. napus L.) (Saghafi et al. [2018\)](#page-240-0). A salt-tolerant strain Rhizobium radiobacter LB2 isolated from root nodules of Leucaena leucocephala was found to improve growth of lettuce by showing PGP traits such as zinc and phosphate solubilization and production of IAA, EPS, and siderophore (Verma et al. [2020\)](#page-241-0). Many studies have shown that PGPB can improve the *Rhizobium*-legume symbiosis that can fulfill need of N in plants under saline conditions (Saharan and Nehra [2011;](#page-240-0) Bhattacharyya and Jha [2012](#page-234-0)). Egamberdieva et al. ([2013\)](#page-235-0) reported that the inoculation with Rhizobium galegae along with PGPB strains Pseudomonas extremorientalis TSAU20 or Pseudomonas trivialis 3Re27 gave better nodulation, growth, and N assimilation in Galega officinalis L. (goat's rue) in salt stressed environment. Application of magnetite nanoparticle-based formulation including Rhizobium sp., endophyte Stenotrophomonas maltophilia, and mycorrhizal fungi induced the nodulation, nitrogen fixation, and growth of chickpea (Cicer arietinum L.) under saline conditions (Abd-Alla et al. [2019](#page-233-0)).

Phosphorus (P) is another important macronutrient required by plants as it is constituent of genetic material, proteins, and lipids required in storage and transfer of energy, photosynthesis, enzyme regulation processes in plants, etc. Plants may take only primary $(H_2PO_4^-)$ orthophosphate and secondary (HPO_4^{2-}) orthophosphate

ions. The insoluble forms present in soil such as tricalcium phosphate $(Ca_3PO_4)_2$, aluminum phosphate (A_3PO_4) , iron phosphate (Fe_3PO_4) , and complex organic phosphates can be solubilized by the help of variety of soil microorganisms either by solubilization or by mineralization. They do so by producing low molecular weight organic acids which can chelate mineral ions or can lower the pH to convert P into soluble form. On the other hand, mineralization process involves enzymatic degradation by enzymes like phosphatases and phytases which release phosphates from the complex P compounds (Maougal et al. [2014;](#page-238-0) Khan et al. [2013a](#page-238-0); Alaylar et al. [2020\)](#page-234-0). Salt-tolerant PGPB have been reported to display significant role in solubilization of P and make it available to plants grown under saline conditions. In a study, Srivastava and Srivastava ([2020\)](#page-240-0) have reported that phosphate-solubilizing bacteria (PSB) P. putida MTCC 5279 can contribute in alleviation of P deficiency and promote growth of A. thaliana under salinity-induced phosphate-starved conditions. In another study, *Arthrobacter* sp. and *Bacillus* sp. have been investigated to solubilize and enhance P uptake in maize grown in P-deficient saline soil (Vanissa et al. [2020\)](#page-241-0). Wang et al. ([2018\)](#page-242-0) reported some excellent phosphatesolubilizing strains of *Bacillus* spp. with potential to produce ACC deaminase, phytohormones, and fix nitrogen in Capsicum annuum L. under saline conditions. In a lime-induced salinity stress, consortia of PSB including strains from genera like Bacillus, Burkholderia, Erwinia, Pseudomonas, Rhizobia, and Achromobacter with organic P manure improved P uptake efficiency as well as growth of maize plant (Adnan et al. [2020](#page-233-0)). Mukhtar et al. ([2020\)](#page-239-0) used consortia of phosphate-solubilizing halophilic PGPB belonging to genera *Bacillus*, *Halobacillus*, and *Pseudomonas*, which promoted plant growth by producing IAA, ACC deaminase, siderophore, HCN, and fixing N along with P solubilization. Furthermore, the synergistic interaction between phosphate-solubilizing fungi and PSB is also being used to alleviate salt stress (Etesami and Alikhani [2019](#page-235-0)). For instance, recently, Zai et al. [\(2021](#page-242-0)) reported the synergistic effect of arbuscular mycorrhizal fungi Funneliformis mosseae and PSB Apophysomyces spartima on nutrient uptake (NPK) and photosynthesis in beach plum (Prunus maritima). Inoculated plant was found with increased P uptake by 68.9% under salt stress, in comparison to control.

Potassium (K) is an important macronutrient as 98% of it is found in earth crust in insoluble form as rocks and silicates. In the saline environment, it becomes necessary to increase the concentration of K^+ ions in the cytoplasm of plant cell to maintain osmotic pressure. However, high salinity tends to displace K^+ ions causing high ratios of Na⁺/K⁺ inside root and shoot systems disturbing osmotic balance and metabolic processes (Botella et al. [1997\)](#page-234-0). Sodic and saline soils are rich in K^+ minerals but in unavailable form. In such conditions, PGPB could work as a magnificent tool for enhancing salt tolerance and K-solubilizing activity in plants. K⁺-solubilizing bacteria (KSB) belonging to Bacillus spp. and Pseudomonas spp. are among the most dominant genera under salinity range of $2.3-3.5$ dSm⁻¹ (Jha and Subramanian [2016\)](#page-237-0). Salt-tolerant Enterobacter hormaechei isolated from water have been found to solubilize insoluble K and Ca minerals along with growth promotion in tomato under salinity stress (Ranawat et al. [2021](#page-240-0)). In a study, Ashfaq et al. ([2020\)](#page-234-0) evaluated phosphate-solubilizing ability of PGPRs isolated from paddy rhizosphere at different level of NaCl stress and also found KSB strains Acinetobacter pittii, Rhizobium pusense, Cupriavidus oxalaticus, and Ochrobactrum ciceri with K^+ solubilization potential under salinity stress.

Iron (Fe), an essential micronutrient is required for metalloenzymes involved in processes like photosynthesis and respiration. Fe is available in soil in two interconvertible oxidation states Fe^{2+} and Fe^{3+} , and among them Fe^{3+} is biologically unavailable to plants. Abiotic stresses like salinity and other environmental factors affect availability of Fe^{2+} ion to the plant as it is converted to Fe^{3+} . Also, under alkaline pH conditions, solubility of Fe reduces, causing chlorosis in plants. Under such conditions, siderophore-producing PGPB can act as a promising tool to make Fe available to plants (Ferreira et al. [2019](#page-236-0)). Fe-chelating siderophores produced by many PGPB help to overcome lower Fe deficiency under saline conditions (Deshwal and Kumar [2013](#page-235-0); Numan et al. [2018\)](#page-239-0). Bacillus aryabhattai, Ochrobactrum intermedium, and Achromobacter denitrificans were documented to upregulate the entD gene expression responsible for siderophore production under salt stress (Sultana et al. [2021](#page-241-0)). Recently, Fe deficiency and salinity stress in crops are found to be resolved by the application of siderophore-producing PGPB belonging to genera Streptomyces (Nozari et al. [2021\)](#page-239-0). In another study, PGPB P. fluorescens, B. *megaterium*, and *Variovorax paradoxus* were reported to be efficient siderophore producers under salt stress of 2% and 5% (Nadeem et al. [2016](#page-239-0)).

It has been studied that zinc-solubilizing bacteria (ZSB) can help plant to overcome Zn deficiency under saline conditions. Fluorescent pseudomonads when applied along with Zn in pistachio (Pistacia vera L.) were able to reduce salinity stress and enhance uptake of Zn in plants under saline conditions (Azarmi et al. [2016\)](#page-234-0). In another example, halotolerant endophytic bacteria of genera Enterobacter, Bacillus, and Pseudomonas isolated from internal tissues of sugarcane were found to solubilize Zn and K along with showing antifungal activity against *Fusarium* sp. (Pirhadi et al. [2016\)](#page-239-0). R. radiobacter LB2 strain isolated from the root nodules of salt-tolerant plant L. leucocephala was reported to promote growth of lettuce by solubilizing minerals like Zn and phosphate, and producing siderophore and IAA under salinity stress (Verma et al. [2020](#page-241-0)). Hence, application of PGPB-based biostimulants enhances nutrient uptake in plants which indirectly helps plant to withstand salt stressed conditions. It can be concluded that salt-tolerant PGPB can play a very important role in acquisition of both macro- and micronutrients in saline soils and maintaining the health and vigor of plant along with enhancing the productivity.

6.4.2 Biostimulants for Phytohormones

Phytohormones have significant role in plant growth and developmental processes, and are produced during different life stages of plants at varied concentrations. Major phytohormones are auxins IAA, gibberellins, cytokinins (CKs), abscisic acid (ABA), ethylene, salicylic acid (SA), and jasmonates that regulate plant growth and development. Salinity hampers the ability of plant to produce phytohormones

which negatively impact plant growth and metabolic reactions (Arora et al. [2020\)](#page-234-0). PGPB are reported to produce various phytohormones even under abiotic stresses such as salinity (Table [6.2\)](#page-225-0).

IAA was the first phytohormone identified; it is an important molecule needed in vascular differentiation process (Wang et al. [2001\)](#page-241-0). It has key role in regulating plant growth and controls cell elongation, vascular tissue development, and apical domi-nance (Wang et al. [2001;](#page-241-0) Fahad et al. [2015\)](#page-236-0). Level of IAA production in plants is found to decrease under salinity stress. Application of IAA producing bacteria can avail IAA to plant under saline condition. This exogenous IAA produced by PGPB may help plant in changing root architecture in a way that can absorb more water and minerals under drought-like conditions caused by salinity (Etesami and Beattie [2018\)](#page-235-0). In a study, Bacillus spp. were found to produce significant amount of IAA along with providing salinity stress tolerance by producing EPS and ACC deaminase (Din et al. [2019](#page-235-0)). In a study, Leclercia adecarboxylata was reported to significantly augment IAA (9.815 μg/mL) levels in tomato under saline conditions (Kang et al. [2019\)](#page-237-0). A halotolerant rhizobacteria, Rheinheimera sp. isolated from the rhizosphere of Cuminum cyminum was identified to produce huge amount of IAA (250 μg/mL) under salt stress conditions (Raval and Saraf [2020](#page-240-0)). Ul Hassan and Bano [\(2019](#page-241-0)) also documented the positive effect of IAA-producing PGPB *Pseudomonas moraviensis*, on the growth of wheat grown in saline and sodic soil; IAA-deficient mutant strain of P. moraviensis showed poor growth of wheat under saline conditions.

Ethylene hampers the growth and development of plant tissues under abiotic stresses; PGPB have potential to produce enzyme called ACC deaminase that cleaves the precursor ACC molecule of ethylene, thus saving plant from ethylene accumulation due to salinity (Glick et al. [1998](#page-236-0)). A number of studies are available which documented the ameliorative effect of ACC deaminase activity by PGPB under salinity. For example, Enterobacter sp. was found to show ACC deaminase activity along with some other growth promotion activities like phosphate solubilization, IAA, siderophore, and HCN production, in rice seedlings growing under salinity stress (Sarkar et al. [2018a\)](#page-240-0). Aneurinibacillus aneurinilyticus and Paenibacillus sp. isolated from rhizosphere of garlic when applied in consortia were found to produce ACC deaminase and significantly lower stress ethylene levels (nearly by 60%) in French bean (Gupta and Pandey [2019](#page-237-0)). In another study, Bacillus sp. were found to be potent modulators of ACC deaminase, ACC oxidase (ACO), and ACC synthase (ACS), thus alleviating salt stress in Zea mays (Misra and Chauhan [2020](#page-238-0)). In another study, application of ACC deaminase-producing PGPB Enterobacter sp. reported to promote growth of rice and millets under salinity stress (Sagar et al. [2020](#page-240-0)).

ABA plays an important role in saving plants during environmental stress conditions by enabling various signaling pathways in plants; it is an important part of plant life cycle essentially required from seed development, dormancy, stomatal opening and closure, maintaining water status during stress by acting as messenger and upregulating genes responsible for cell dehydration tolerance, etc. (Zhu [2002;](#page-242-0) Mahajan and Tuteja [2005](#page-238-0); Fahad et al. [2015](#page-236-0)). PGPB have caliber to maintain the level of ABA in plant suffering from salt stressed environment. In a study,

Sr.				
no.	PGPB	Mechanism/effect	Host/plant	References
$\mathbf{1}$	LBEndo1 as Bacillus sp. and <i>KBEcto4</i> as Pseudomonas lini	Induced IAA and siderophore production, as well as phosphate solubilization. Additionally, the KBEcto4 strain expresses the ACC deaminase enzyme (acdS gene)	Saltgrass (Distichlis spicata)	Palacio- Rodríguez et al. (2017)
$\overline{2}$	Enterobacter cloacae (HSNJ4)	Increase endogenous IAA content and reduced ethylene emission, produce both IAA and ACC deaminase, led to increase in root length, shoot length, number of lateral roots, and chlorophyll content	Canola (Brassica napus L .)	Li et al. (2017)
3	Rhizobium sp. LSMR- 32 and Enterococcus mundtii LSMRS-3	Production of -3-acetic acid (IAA), exopolysaccharides (EPS), and siderophores, solubilization of phosphate and formation of biofilm, ACC deaminase activities, and salt tolerance were all found highly significant in dual inoculant (LSMR- $32 + LSMRS-3$	Mungbean (Vigna radiata L)	Kumawat et al. (2020)
$\overline{4}$	Hartmannibacter diazotrophicus	Reduced ethylene emission by ACC deaminase production	Barley (Hordeum vulgare L.)	Suarez et al. (2015)
5	Bacillus subtilis (NBRI 28B), B. subtilis (NBRI 33 N), and <i>B. safensis</i> (NBRI 12 M)	Extenuate the adverse effects of ethylene by modulating ACC accumulation, ACC oxidase (ACO), and ACC synthase (ACS) activities; induced plant response for defense enzymes, chlorophyll, proline, and soluble sugar	Maize (Zea $mays$ L.)	Misra and Chauhan (2020)
6	Glutamicibacter sp. (YD01)	Showed ACC deaminase enzyme activity and IAA production; regulate the plant ethylene production, ACC content, and ACC	Rice (Oryza sativa L.)	Ji et al. (2020)

Table 6.2 Phytohormone production mechanisms used by PGPB to alleviate salt stress in plants

Sr. no.	PGPB	Mechanism/effect	Host/plant	References
13	Paraburkholderia phytofirmans	Activate specific genes such as ACC deaminase, auxin catabolism, N-acyl- homoserine-lactone, along with VOCs that reduce salinity stress	Arabidopsis thaliana	Ledger et al. (2016)

Table 6.2 (continued)

inoculation of soybean crop with PGPB isolated from rhizosphere of weeds provided salinity tolerance by production of phytohormones like IAA, gibberellic acid (GA), trans-zeatin riboside, and ABA (Naz et al. [2009](#page-239-0)). GA is required by plant for seed dormancy, lateral shoot growth, and floral organ development. It helps wheat crop under salinity stress by improving seed yield, replacing Na⁺ ions by K⁺ and Ca²⁺, and maintaining hormone homeostasis (Iqbal and Ashraf [2013\)](#page-237-0). Kumar et al. [\(2018](#page-238-0)) found that endophytic bacteria belonging to genus Bacillus and Pseudomonas significantly reduced the amount of stress-induced ABA production and enhanced production of GA4 and SA in drought and salinity-stressed tomato. Endophytic bacteria B. amyloliquefaciens RWL-1 isolated from rice seeds was reported to produce good quantity of gibberellins and promoted rice growth through modulating endogenous hormone level (Shahzad et al. [2016](#page-240-0)). Later the same endophyte was found to reduce the amount of endogenous ABA by 40.77% and 29.31% in 120 and 250 mM NaCl-stressed rice plants. PGPB also enhanced some of the amino acid levels like that of aspartic acid, glutamic acid, proline, alanine, threonine, glycine, serine, isoleucine, and lysine (Shahzad et al. [2017](#page-240-0)).

SA has important role in tolerance against various abiotic stresses and is recently gaining attention. SA is required by plant at an optimal concentration, and too much or too less may make plant more susceptible to abiotic stresses by disturbing plant redox status (Hara et al. [2012\)](#page-237-0). Under abiotic stress, higher production of endogenous SA is not good for plant health. However, exogenous sources and application of SA and production of SA by endophytic bacteria can help in plant growth under abiotic stresses (Kim et al. 2017). Inoculation of B. *subtilis* is reported to produce SA reduces the endogenous level (of SA) in wheat under saline conditions. On the other hand, in another study application of PGPB Bacillus sp. is found to reduce the synthesis of stress-induced SA, thus helping plant from oxidative stress caused by higher levels of the hormone under salinity (Lastochkina et al. [2017](#page-238-0)). Furthermore, Tewari and Arora ([2018\)](#page-241-0) reported the application of EPS- and SA-producing strain P. aeruginosa PF23 in growth promotion of sunflower under salinity. The extracted SA also showed biocontrol against phytopathogen Macrophomina phaseolina under salinity. Hence it can be concluded that phytohormones produced by PGPB could help plant to better survive under salt stress conditions.

6.4.3 Biostimulants for Antioxidants

Extreme environmental conditions such as salinity, drought, and waterlogging induce oxidative stress by generating ROS and reactive nitrogen species (RNS) in plants. Due to high salinity, concentration of oxidative stress markers such as malondialdehyde (MDA), superoxide (O_2^-) , and H_2O_2 also increases, which cause high membrane permeability, electrolytes leakage, lipid peroxidation, and other cell injuries (Mudgal et al. [2010;](#page-239-0) Khan et al. [2013b\)](#page-238-0). To overcome salinity-induced oxidative stress, plants produce antioxidative enzymes such as superoxide dismutase (SOD), catalase (CAT), monodehydroascorbate reductase (MDHAR), ascorbate peroxidase (APX), dehydroascorbate reductase (DHAR), glutathione reductase (GR), glutathione peroxidase (GPX), guaiacol peroxidase (GOPX), polyphenol oxidase (PPO), peroxidase (POD), and glutathione S-transferase (GST). Non-enzymatic antioxidants such as ascorbic acid, glutathione, alkaloids, phenolic compounds, non-protein amino acids, and a-tocopherols have also been reported in confronting oxidative stress in many plants (Kapoor et al. [2019\)](#page-237-0).

Presence of ST-PGPB may augment the antioxidant defense system in plant under saline conditions (Santos et al. [2018](#page-240-0); Fatima et al. [2020](#page-236-0)) (Table [6.3\)](#page-229-0). PGPB reduce salt stress by enhancing the production of antioxidative enzymes and reducing the concentration of oxidative stress markers in plants. In a study, sweet pepper plant inoculated with PGPB Bacillus thuringiensis enhanced plant growth by reducing lipid peroxidation, electrolyte leakage, O_2^- , and H_2O_2 content and regulating the proline content under salt stress (Al Kahtani et al. [2020](#page-233-0)). Similarly, PGPB Serratia *marcescens* isolated from *Capparis decidua* was found to decrease MDA, O_2^- , and $H₂O₂$ content and improve the level of SOD, CAT, and POD enzymes in wheat (Singh and Jha [2016\)](#page-240-0). In another study, A. chroococcum and/or Alcaligenes faecalis were reported to alleviate salt stress, augmenting the SOD, APX, and POD in canola (Abdel Latef et al. [2021\)](#page-233-0). ST-PGPR are also reported to modulate the expression of plant's genes involved in antioxidant defense system under salinity. For instance, in a study, Sapre et al. ([2018\)](#page-240-0) found that application of Klebsiella sp. decreased the MDA and H_2O_2 content and significantly increased SOD and POD enzymes in oat seedlings. Application of *Klebsiella* sp. also upregulated the expression of salt stressrelated genes rbcL and WRKY1 in oat seedling. Application of Dietzia natronolimnaea as biostimulant in wheat enhanced the expression of antioxidative genes including APX, MnSOD, CAT, POD, GPX, and GR (Bharti et al. [2016](#page-234-0)). Use of B. megaterium and Enterobacter sp. as biostimulants promoted growth of okra plant by the augmentation of ROS-scavenging enzymes, CAT, SOD, and APX and upregulation of antioxidative genes CAT , APX , GR , and $DHAR$ (Habib et al. [2016\)](#page-237-0). Li et al. ([2020\)](#page-238-0) reported that use of a novel salt stress mediating bacterium Kocuria rhizophila promoted growth in maize by enhancing antioxidant levels and removing deleterious effect of salt via regulating antioxidant encoding genes ZmGR1 and ZmAPX1 under salt stress.

Apart from the antioxidative enzymes, ST-PGPR could also alleviate negative impact of salt stress in plant via modulating the production of certain non-enzymatic antioxidant compounds in plants. For instance, ST-PGPR Bacillus halotolerans-

Sr.				
no.	PGPB	Mechanism/effect	Host plant	References
1	Serratia liquefaciens (KM4)	Upregulation of stress- related genes (APX, CAT, SOD, RBCS, RBCL, H ⁺ -PPase, HKT1, and NHX1), improved plant growth by regulating ion homeostasis, redox potential, leaf gas exchange, and stress- related genes expression	Maize (Zea $mays$ L.)	El-Esawi et al. (2018b)
\overline{c}	Bacillus firmus (SW5)	(APX, CAT, POD, Fe-SOD) promoted the growth and biomass yield, chlorophyll synthesis, nutrient uptake, gas exchange parameters, osmolytes levels, total phenolic, and flavonoid contents	Soybean (Glycine max L.	El-Esawi et al. (2018a)
3	Azospirillum lipoferum (FK1)	Expressions of three antioxidants genes (CAT, APX, and SOD), significantly improved nutrient acquisition, growth, biomass, photosynthetic pigment synthesis, osmolytes level, gas exchange attributes, phenols and flavonoids content, and enzymatic and non-enzymatic antioxidant levels	Chickpea (Cicer arietinum L.)	El-Esawi et al. (2019)
$\overline{4}$	Azospirillum lipoferum or Azotobacter chroococcum	Enhanced growth parameters, pigments, K ⁺ , osmolytes, K ⁺ /Na ⁺ ratio, and the activity of CAT, POD, and APX of the salt-affected maize, lower MDA and Na ⁺	Maize (Zea $mays$ L.)	Latef et al. (2020)
5	Pseudomonas pseudoalcaligenes (SRM-16) and Bacillus subtilis (SRM-3)	Showed ACC deaminase activity, siderophore, and indole-3-acetic acid (IAA) production, enhancing plant biomass, increased activities of defense-related system such as ion transport,	Soybean (Glycine max L.	Yasmin et al. (2020)

Table 6.3 Antioxidant production mechanisms used by PGPB to alleviate salt stress in plants

Sr. no.	PGPB	Mechanism/effect	Host plant	References
12	Burkholderia sp. MTCC 12259	Enhanced IAA, EPS, proline content, and antioxidant activities of plants	Rice (Oryza sativa L.)	Sarkar et al. (2018b)
13	Sphingobacterium BHU-AV3	Showed reduction in ROS level with increase in peroxidase (POD), polyphenol oxidase (PPO), SOD, CAT, ATP synthase, and carbohydrate metabolism	Tomato (Lycopersicon esculentum L.)	Vaishnav et al. (2020)
14	Bacillus licheniformis and <i>PB5</i> with Pseudomonas plecoglossicida	Contract oxidative stress through upregulation of CAT, GPX, and SOD, increase nutrient use efficiency, root length, shoot length, fresh and dry biomass of plant	Sunflower (Helianthus annuus L.)	Yasmin et al. (2020)

Table 6.3 (continued)

based biostimulant was reported to enhance development and growth in Coriandrum sativum L. by increasing the level of phenolic compounds 5-O-caffeoylquinic acid, cinnamic acid, 4-methoxy-cinnamic acid hexoside, K-3-O rutinoside, Q-3-Orutinoside, Q-3-O-glucoside, Q-3-O-glucuronide and flavonoid compound quercetin 3-O-glucuronide under saline conditions (Jiménez-Gómez et al. [2020\)](#page-237-0). In another study, ST-PGPR Rhizobium laguerreae was documented to increase certain phenolic and flavonoid derivatives including caffeoyl acid and quercetin in lettuce under salt stressed conditions (Ayuso-Calles et al. [2020](#page-234-0)). Likewise, El-Esawi et al. [\(2018b](#page-235-0)) also reported an increase in phenols, total free amino acids, soluble proteins, and flavonoid compounds and upregulation of antioxidative enzyme-related genes (APX, CAT, SOD) in S. *liquefaciens* inoculated maize plant under salinity stress. These findings disclose that application of antioxidant modulating ST-PGPR-based biostimulants could be useful to mitigate the negative impacts of salt-induced oxidative stress in plants.

6.4.4 Biostimulants for Soil Health and Reclamation

Saline soils contain large amount of soluble salts accumulated in root zones. Many methods are being applied for improving soil health and reclamation of salt from saline soils. Application of gypsum is the most common method used for reclamation of saline soil at global level (Hanay et al. [2004;](#page-237-0) Ezeaku et al. [2015](#page-236-0)). There are several other physical and chemical methods that are applied for restoring the quality of salt-affected soil. However, in relation to their effect on environment, economics,

and ease of availability, these methods have both pros and cons. In the present scenario, there is increasing demand for opting for long-term effective and sustainable approaches for reclamation of salt-affected soil. PGPB can be the potent option for improving soil health and ameliorating salt from saline soil. Numerous mechanisms of PGPB are found to be involved in remediation of saline soils. For instance, in a study by Grobelak et al. ([2018\)](#page-236-0), it has been reported that bacterial strains amended with sewage sludge converted the phosphorus into bioavailable form and increased the amount of organic nitrogen which helped in restoration of properties of saline soil. In a study by Tirry et al. [\(2021](#page-241-0)), it has been found that halotolerant PGPR strains P. putida, Alcaligenes sp., Klebsiella sp., and Pseudomonas cedrina were found to enhance the soil functioning and enzymatic activities by making soil biota more diversified. Application of these isolates in alfalfa (Medicago sativa) also improved the colonization of root arbuscular mycorrhiza (AM) which subsequently increased content of organic matter in soil. In a study done by Chaudhary et al. [\(2020](#page-234-0)), it has been observed that PGPR isolated from roots of Arthrocnemum indicum improved soil quality by enhancing phosphatase activity and bioavailability of nutrients (N, P, K, and Fe) in peanut grown in halophilic conditions. In a pot experiment performed under stressed conditions by Fazal and Bano [\(2016](#page-236-0)), the role of biochar incorporated with Pseudomonas sp. in improving

soil moisture content, proline content, and POD activity was reported.

Bioremediation of soil affected with stresses imposed by pollutants, heavy metals, and pesticides can be done by the application of halotolerant PGPB (Arora et al. [2020](#page-234-0)). Biofilm formation by halophilic bacterial strains Kocuria flava AB402 and Bacillus vietnamensis AB403 remediated contamination of arsenic (As) from hypersaline soils by the process of bioadsorption. In a study, Mallick et al. [\(2018](#page-238-0)) showed that EPS production by PGPR in saline soil helped in root colonization of beneficial microbes and limits the uptake of As by plants. P. aeruginosa strain FZ-2 isolated from leachates of non-active sanitary landfill has been reported to remove the toxicity of mercury (Hg) with more than 90% efficiency at different salt concentrations (Imron et al. [2021\)](#page-237-0). Saline soil with cadmium (Cd) contamination was reported to be ameliorated by inoculation of wheat seeds with *Pseudomonas* sp., which increased the proline expression in plants and lowered the proline degrading genes along with concentration of Cd in plant tissues, making it tolerant towards the salinity stress (Shavalikohshori et al. [2020\)](#page-240-0). Sequestration of carbon by PGP is also an important feature that helps in abatement of environmental stresses. Although plants and soil are the significant sink of carbon (Kumar et al. [2006\)](#page-238-0), mutualistic association of PGPB accelerates this process. For instance, mutualistic association of Bacillus spp. and mycorrhizal fungi in cassava crop (Manihot esculenta crantz) not only enhanced crop yield and but also helped in mineralization and nutrient cycling through carbon sequestration activity (Otaiku et al. [2019\)](#page-239-0). It has also been suggested that constant application of salt-tolerant PGPB-based biostimulants in soil can help in improvising the organic matter, nutrient level, microbial load, as well as improving microbial diversity in saline soil via rhizoremediation (Arora et al. [2021\)](#page-234-0). Hence, studies available on PGPB prove that these microbes could be utilised as a sustainable approach to remediate saline soil and enhance plant growth and production.

6.5 Conclusion

Enhancing the agricultural production in saline agroecosystems by sustainable means is an intimidating task. Soil salinity is one among the abiotic stresses that is responsible for reduced agricultural productivity in agroecosystems around the globe. Physical and chemical means for combating soil salinity are found to be less effective due to their environmental impact, while genetic engineering and plant breeding techniques for developing salt-tolerant crop varieties are also of limited use. On the other hand, application of salt-tolerant PGPR-based biostimulants has proven to be much effective in alleviating the salt stress than other conventional approaches. Application of ST-PGPR may intensify the capacity of plant to withstand such harsh conditions, ultimately increasing productivity of saline agroecosystems. Although there are a large number of ST-PGPR with capability to overcome ill effects of salinity, exploration of their mechanisms of salt-tolerance and interaction with host plant needs to be further investigated. Furthermore, translation of this knowledge for the development of biostimulants could extend their pertinence in restoration of fertility of saline agroecosystems.

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Signalling of Rhizosphere Microbiomes: Benign and Malign Borders 7

Subhoshmita Mondal and Sibashish Baksi

Abstract

In the course of evolution, symbiotic relationship is the most prominent and practical depiction of the term 'survival of the fittest'. Symbiosis is a long-term biological interaction between two distinct organisms and can be classified as mutualistic, parasitic and commensalism. Plant-microbiome and microbemicrobe interactions are the two most crucial symbiotic relationships in the biosphere that play an important regulatory role in crop production, plant development and growth, resistance against plant pathogens, value-added metabolites production, etc. The rhizosphere is the hotspot of such symbiotic interactions where soil microbes influence the physiological and biochemical expressions of the host plants by secreting metabolites, and, simultaneously, plant roots also discharge several different kinds of chemical molecules that affect the cellular expression of the neighbouring microbes. Metabolic expressions of both microbes and plants are critically regulated by each other and induced by different kinds of biotic and abiotic stresses. However, the rhizosphere of different plants houses the same or different kinds of microbial communities, and they have been found to respond uniformly or differently to the same or different types of stresses. Concisely, the existence of the living entities in the planet depends on the synchronized interkingdom symbiosis where plant and rhizomicrobiome play a key regulatory role. Therefore, detailed insight into the rhizospheric symbiosis is essentially needed to understand this interplay at the molecular level.

Keywords

Symbiosis · Rhizosphere · Endosphere · Rhizomicrobiome · Root exudates

S. Mondal \cdot S. Baksi (\boxtimes)

Department of Chemical Engineering, Jadavpur University, Kolkata, West Bengal, India

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7.1 Introduction to Microbiome

Signal transduction is a very popular area of research associated with almost every focus of biological sciences. In plants and microbes, signalling or communication from the cellular level to the level of the whole organism requires the involvement of vast diversity of functional organic compounds that are considered as secondary metabolites or bioactive compounds. There are immense demands for these bioactive compounds in the pharmaceutical, agro- and food-based industries, and plantassociated microbes are an attractive and promising source of these compounds (Müller et al. [2016;](#page-264-0) Gupta et al. [2020\)](#page-262-0).

Before introducing microbiome-plant relation, it is important to first understand the concept of the microbiome. Fundamentally, a microbiome is a community of microorganisms that either can be beneficial to the host plants or can be harmful. Plants are crowded with microbial organisms that colonize internal tissues as well as adhere to the external surfaces. The wide diversity of microorganisms in the soil, surrounding the root system, is collectively called rhizomicrobiome, and the surrounding soil area is known as the rhizosphere. Rhizomicrobiome critically regulates the physiological conditions of the host plant and also serves as a tank of additional genes that plants can access when needed (Compant et al. [2019;](#page-262-0) Rodriguez et al. [2019](#page-265-0); Qu et al. [2020\)](#page-264-0).

7.2 Who Is There? What Are they Doing?—The Rhizosphere Microbiome

Conceptually rhizosphere portrays the volume of soil influenced by root exudates and root microbiome including fungi, bacteria, protists, nematodes and invertebrates. Rhizosphere is complex and is a highly dynamic environment where the biogeochemical cycling of elements is steered by an enormous number of interactions between roots, minerals, organic compounds, solutes, gases and microorganisms (Paterson [2013](#page-264-0); Verma et al. [2019](#page-266-0)). The core plant microbiome defines the habitat, which is extended from the whole plant, as an individual, to particular regions (roots, leaves, shoots, flowers and seeds), as well as interactions between the roots and adhering or surrounding soil—the rhizosphere (Compant et al. [2019;](#page-262-0) Rodriguez et al. [2019;](#page-265-0) Qu et al. [2020](#page-264-0)).

7.2.1 Biodiversity of the Rhizomicrobiome: Classification and Significance

7.2.1.1 Diazotrophs: The Nitrogen-Fixing Rhizomicrobiome

The gamma diversity of the rhizomicrobiome community is greatly affected by different biotic and abiotic stresses which eventually affect the mode and intensity of the interactions, around the rhizosphere, and also affect the functionality of the associated ecosystem to a great extent. Nitrogen-fixing bacteria like Azotobacter and Rhizobium species are the most predominant candidates in the rhizosphere ecosystem that assimilate aerial nitrogen molecules into various nitrogenous compounds. Although the genus Rhizobium is primarily referred to by the term 'rhizobia', including several other genera like Bradyrhizobium, Sinorhizobium, Azorhizobium, Mesorhizobium. More than 70 species, disseminated over 13 different genera, are included under the designation rhizobia (Zaidi et al. [2017](#page-266-0)). Some other putative nitrogen-fixing actinobacterial species are Arthrobacter, Microbacterium, Georgenia, Mycobacterium and Leifsonia. Firmicutes like Bacillus and Psychrobacillus and proteobacteria like Bosea and Roseomonas are other nitrogenfixing rhizosphere microbes exhibiting promising symbiotic effect (Rilling et al. [2018\)](#page-264-0). An example of different nitrogen-fixing bacteria and their taxonomic classification from wheat rhizosphere and endosphere has been given in Table 7.1.

7.2.1.2 Phosphate Assimilating Rhizomicrobiome

Phosphate assimilation is another outstanding activity of rhizobacterium that supports the development and growth of the plant. Plant growth-promoting fungi, Aspergillus, Sclerotium, Fusarium, Penicillium and mycorrhiza are probably the most prominent rhizomicrobiome that carry out solubilization and mineralization of phosphorus. Additionally, Bacillus, Rhizobium and Pseudomonas have been found as the most efficient phosphate-solubilizing bacteria that support the growth of plants

Taxonomy group	Closest relatives
Assembly compartment: Rhizosphere	
Firmicutes; Bacilli; Bacillales; Bacillaceae; Bacillus	Bacillus megaterium strain from maize rhizosphere
Firmicutes; Bacilli; Bacillales; Bacillaceae; Bacillus	Nitrogen-fixing Bacillus megaterium strain from date palm soil
Firmicutes; Bacilli; Bacillales; Bacillaceae; Bacillus	Bacillus megaterium from acid soil
Firmicutes; Bacilli; Bacillales; Bacillaceae; Bacillus	Bacillus sp. strain from straw decomposition
Firmicutes; Bacilli; Bacillales; Bacillaceae; Bacillus	Bacillus megaterium strain from Juglans regia rhizosphere
Actinobacteria; Micrococcales; Micrococcaceae; Arthrobacter	Arthrobacter sp. strain from phthalate- contaminated soil
Firmicutes; Bacilli; Bacillales; Bacillaceae; Bacillus	Bacillus megaterium strain from acid soil
Assembly compartment: root endosphere	
Firmicutes; Bacilli; Bacillales; Bacillaceae; Bacillus	Bacillus aryabhattai strain from arsenic- contaminated soil
Bacteroidetes; Chitinophagia; Chitinophagales; Chitinophagaceae; Chitinophaga	Chitinophaga sp. from tomato rhizosphere
Actinobacteria; Corynebacteriales; Mycobacteriaceae; Mycobacterium	Mycobacterium sp. from manure- fertilized grassland
Actinobacteria; Micrococcales; Microbacteriaceae; Leifsonia	Leifsonia sp. from grassland rhizosphere

Table 7.1 Taxonomic identity of nitrogen-fixing bacteria from wheat rhizosphere and endosphere (adapted from Rilling et al. [2018\)](#page-264-0)

by releasing organic acids, like gluconic and ketogluconic acids, that affect the mobility of phosphorous through ionic interactions or by releasing phosphatases that unbound phosphate groups from organic matter (Sindhu et al. [2014;](#page-265-0) Kundan et al. [2015;](#page-263-0) Alaylar et al. [2020\)](#page-261-0). Additionally, bacteria like Serratia, Achromobacter, Brevibacterium, Alcaligenes and Xanthomonas have also been identified as efficient phosphate solubilises (Sindhu et al. [2014](#page-265-0)). Several different phosphate solubilising rhizobacteria and their host plants are presented in Table 7.2.

7.2.1.3 Microbial Iron Assimilation

Iron is another crucial element that is required to carry out different physiological activities in living organisms. However, total iron content in soil ranges between 2% and 4%, and iron deficiency stimulates the secretion of chelators like phytosiderophores and microbial siderophores and plants also release protons which eventually acidify the rhizosphere. Phytosiderophores, non-proteinogenic amino acids, usually bind to Fe^{3+} and transport back to the plant root tissue. Amongst microbes, fluorescent pseudomonads are the typical examples that secrete siderophores to promote iron intake in plants. Fungal siderophores have also been found carrying ferric ions with equal efficiency. Rhizoferrin is a very good example of siderophore that is produced by Rhizopus arrhizus, a fungus of the family Mucoraceae. Rhizobacteria Bacillus subtilis GB03 was found efficient for iron intake mechanisms for the plants (Yanes and Bajsa [2016;](#page-266-0) Ab Rahman et al. [2018;](#page-261-0) Oleńska et al. [2020](#page-264-0)). Dicots and non-graminaceous monocots have their indigenous iron up-taking mechanisms that include (1) reduction of soil pH by releasing of protons, (2) modification of iron solubility and (3) morphological modifications of root network. However, plants of the Poaceae family depend on phytosiderophores that bind to different trace elements like iron, zinc and copper and transport them into the root cells in chelated form. The rhizomicrobiome community secretes siderophores or organic acid anions that chelate the trace elements and eventually transport them into the root cells. Enterobactin, pyoverdine and ferrioxamines are some well-known bacterial siderophores, whereas ferrichromes are produced by fungi. Bacterial siderophores are not as effective source of iron for both monocotyledons and dicotyledons (Marschner et al. [2011](#page-263-0); Sah et al. [2017\)](#page-265-0).

7.2.1.4 Rhizomicrobiome Biodiversity: Communities and Habitats

Genetic manipulation of plants significantly regulates the assembly and nature of the immediate rhizomicrobiome community. Tian and co-workers investigated the rhizomicrobiome community of the wild and cultivated Afro-Asian rice species and found that the wild species possess an improved nucleotide and carbon metabolism capacity whereas cultivated species contain rhizobacteria engaged in xenobiotic degradation and metabolism of lipid, nitrogen and vitamins. Moreover, rhizomicrobiome in wild rice species overproduce and utilize methane in a balanced manner in comparison to the cultivated rice species (Tian et al. [2020a](#page-265-0)). Moreover, several instances have been found by researchers where the rhizomicrobiome community, associated with wild type strains, plays a crucial role in disease resistance. Tian et al. [\(2017](#page-265-0)) suggested that restructuring the rhizomicrobiome community can be a solution to rejuvenate the soil quality and productivity. A striking result was provided by Tian et al. [\(2020b](#page-265-0)) where wild and commercial soybean were simultaneously cultivated under similar conditions and found a continuous change in the rhizobacterial community, even after four continuous planting seasons, whereas the fungal community maintained a stable assembly. Spizellomycetaceae is the predominant fungal group of cultivated soybeans, whereas *Chaetomiaceae* and *Orbiliaceae* are more highly enriched in wild soybean (Tian et al. [2020b](#page-265-0)). Medicinal plants like Mentha haplocalyx, Perilla frutescens, Astragalus membranaceus and Glycyrrhiza uralensis also harbour different kinds of rhizobacteria like Pseudomonas koreensis, Sulfitobacter sp., Mesorhizobium sp., Pseudoxanthomonas sp. and Methylocystis sp., along with some other rhizoids like Coprinellus radians, Tilletiopsis pallescens, Paraglomus sp. Medicinal plants G. uralensis and Astragalus membranaceus have rhizomicrobiome community with a higher degree of diversity than the rhizomicrobiome present in *M. haplocalyx* and *P. frutescens* suggesting that plants growing under the same conditions may have different rhizomicrobiome preferences (Shi et al. [2018](#page-265-0)).

7.2.1.5 The Impact of Agricultural Practices on Rhizomicrobiome **Diversity**

Shifting cultivation practices in the hilly regions are also responsible for altering the soil characteristics of the farming area which, in turn, affects the rhizomicrobiome community. Acidobacteria, Proteobacteria and Verrucomicrobia are the predominant bacterial phyla in the hilly region where shifting cultivation is practised. Among them, Acidobacteria and Proteobacteria are the two most abundant phyla present in the natural forests, whereas Verrucomicrobia is the principal rhizobacterial phyla in fallow land. On the contrary, *Actinobacteria* phyla are the most predominant in the oil plant plantation soil. Fibrobacteres, Elusimicrobia, Lentisphaerae, Parvarchaeota, Armatimonadetes, Planctomycetes and Bacteroidetes are some other low abundant phyla found in the cultivation land of the hilly regions (Shi et al. [2019](#page-265-0); Manpoong et al. [2020\)](#page-263-0). Surprisingly, continuous cultivation of watermelon, potatoes and ginseng is often linked to the reduction of beneficial microorganisms, destruction of soil ecosystem and growth of pathogenic rhizomicrobiome. For example, the monoculture of peanuts (Arachis hypogaea) is

found responsible for the destruction of Glomeromycota which is a beneficial rhizomicrobiome phylum, and the same also supports the growth of pathogenic rhizoids like Fusarium spp. and Alternaria spp. (Cardarelli et al. [2020](#page-261-0); Tian et al. [2020b\)](#page-265-0).

7.2.2 Mode of Action: Perception and Attribution

Rhizomicrobiome is often considered as biological control system that employs several direct and indirect mechanisms to protect the plants and support their growth. Secretion of phytohormones, assimilation of trace elements and soil minerals are some of the most important direct mechanisms, whereas indirect mechanisms include quorum quenching among pathogenic bacteria, imposition of resistance against pathogens by rhizomicrobiome-producing metabolites, eco-niche competition, etc. (Chen et al. [2018](#page-262-0); Rahman et al. [2018\)](#page-264-0). Enterobacter spp., Bacillus spp., Streptomyces spp., Aspergillus spp., Pseudomonas spp., Gliocladium spp., Fusarium spp., Petriella spp., Lysobacter spp. and Pantoea spp. are significantly used as potential biocontrol agents. Agrobacterium radiobacter is another potential biocontrol agent that prevents crown gall bacterium infection (De Silva et al. [2019\)](#page-262-0). Suppression of autogenetic diseases of the plants is another crucial phenomenon regulated by the rhizomicrobiome communities. General suppression of autogenetic diseases is quite common and can be cured by maintaining balanced microbial consortia in the rhizosphere, whereas suppression of specific diseases requires proliferation of specific microbes which can be according to the root exudates (Tian et al. [2020c\)](#page-265-0). Primary metabolites, like amino and organic acids, along with the secondary metabolites, like phenolics, terpenoids and alkaloids, are secreted by plant roots, and the same has been found responsible for captivating and promoting beneficial microorganisms while confronting the growth of pathogenic microbiome in the rhizosphere. Niche colonization in the rhizosphere is often found essential as it helps the plants to evolve and adapt to a dynamic environment (Berendsen et al. [2012\)](#page-261-0). Antibiotics like iturin A and surfactin are produced by Bacillus sp., whereas Agrobacterium sp. produces agrocin 84 which is another potential antibiotic. Pyoluteorin, pyrrolnitrin and herbicolin A, which is a lipopeptide antibiotic in nature, are secreted by rhizomicrobiome. Secretion of two important enzymes, chitinases and glucanases, produced by rhizobacteria is induced by phytopathogenic fungi. Pseudomonas spp. are responsible for the production of antifungal metabolites like pyoluteorin, phenazines, 2,4-diacetylphloroglucinol (DAPG) and pyrrolnitrin (Ab Rahman et al. [2018\)](#page-261-0). Plants release organic carbon compounds from their roots which are eventually decomposed to $CO₂$ using a mechanism named as rhizosphere priming effect (Haldar and Sengupta [2015\)](#page-262-0). The large amounts of organic carbon released by the plant roots amplify the microbial numbers and activities in the rhizosphere (Jones et al. [2009](#page-263-0); Lugtenberg and Kamilova [2009;](#page-263-0) Mendes et al. [2013\)](#page-263-0). Plant roots are also equally responsible for rhizomicrobiome sustainability and maintaining a healthy rhizosphere environment. Plant roots secrete a group of substances which are collectively known as root exudates or rhizodeposits

Component	Effect
Epidermal	Quickly degraded to serve as nutrients
cells	
Border cells	Produce metabolites as nutrients
Soluble	Serve as potential carbon and nitrogen sources
lysates	
Root	Rich in sugar components, phenolics, amino acids; provide defence against
exudates	pathogenic microbes and function as chemoattractants
Mucilage	Function as chelator

Table 7.3 Root-associated rhizodeposits (Tian et al. [2020c](#page-265-0))

that can regulate the assembly of rhizomicrobiome and are essential for their sustainability (Marschner [2012](#page-263-0)). When the soil of the rhizosphere is subjected to qualitative comparison with bulk soil, increased microbial activities have been observed in the rhizosphere, and the increased microbial activity is associated with the secretion of organic carbon compounds by roots of the plant (Haldar and Sengupta [2015](#page-262-0)).

Rhizodeposits are the group of substances that are released from plant roots and include the following:

- 1. Soluble ions, monosaccharides of high and low molecular weight, and organic and amino acids.
- 2. Biomacromolecules like proteins, carbohydrates and lipids.
- 3. Unsolvable mucilage comprised of polygalacturonic acid and polysaccharides.
- 4. Secondary metabolites composed of flavonoids, antimicrobial compounds and nematicides (Haldar and Sengupta [2015](#page-262-0)).

Several different rhizodeposits, secreted from plant roots, are mentioned in Table 7.3.

7.3 Role of the Rhizosphere Microbiome in Signal Conversation

The rhizosphere is not only the essential component in plant-plant and microbemicrobe interactions but also takes part in communicating between plant and microbill communities (Fig. [7.1\)](#page-250-0).

However, microbe-assisted transformations of plant metabolism always remains in the focus of plant-microbe communications. Quorum sensing (QS) is another category of communication that takes place among bacterial families at both intraspecies and interspecies levels. It endorses bacterial populations to orchestrate their behaviour and cell density by exchanging of numerous signalling molecules (Whiteley et al. [2017;](#page-266-0) Stephens and Bentley [2020\)](#page-265-0). Additionally, several biophysical and physiochemical phenomena of microbial population, like biofilm development, degree of virulence of human pathogens and antibiotic production by plant growthpromoting rhizobacteria (PGPR), have been found critically regulated by QS. Quorum sensing also plays a vital role in interkingdom communication where some specific microbial signalling molecules induce systemic resistance in plant and also improve their immune system against plant pathogens (Venturi and Keel [2016;](#page-265-0) Raymaekers et al. [2020](#page-264-0)). Some antibiotics, when present in low concentration, are involved in microbial communication and trigger gene expression regulation in the surrounding bacterial cells. Primary and secondary metabolites like organic and amino acids, hormones, and phenolic or terpenoid compounds are the fundamental elements of rhizospheric communication that convey signals within a microbial population (van Dam and Bouwmeester [2016;](#page-265-0) Shastri and Kumar [2019\)](#page-265-0). Additionally, the high nutritional value of primary and secondary metabolites modifies the physiochemical assembly of soil microbes and also induces microbial cell division and colonization. Phytohormones like auxins, cytokinins and gibberellins are also an essential part of the interkingdom signal transduction that are essentially associated with plant growth and development. For example, when the plants are exposed to biotic and/or abiotic stress, the production of phytohormone ethylene is upregulated which eventually slows down or ceases the growth of plant roots. A specific compound, 1-aminocyclopropane-1-carboxylic acid (ACC), is used as the precursor for synthesizing ethylene. However, some bacteria produce ACC deaminase that hydrolyses ACC which eventually terminate the ACC to ethylene conversion. Finally, the decreased ethylene content triggers root development and maturation. Matsuoka et al. ([2016\)](#page-263-0) found that common fruits and vegetables were a promising

Fig. 7.1 Plant-microbe interactions taking place in the rhizosphere involving various chemical and signalling pathways

source of ACC deaminase producing endophytes. For example, endophytes, isolated from carrot, produce ACC deaminase that modifies stress-induced volatile metabolic profiles of carrots (flavour of carrots) (Venturi and Keel [2016;](#page-265-0) Foo et al. [2019;](#page-262-0) Basso et al. [2020](#page-261-0)).

An alternative theory of rhizomicrobiome recruitment in the rhizosphere proposed that both the plants and rhizomicrobiome respond to the low molecular weight compounds, secreted by either member of the rhizosphere. Activated cellular molecules subsequently take part in a cascade of cellular signal transductions which eventually leads to transcription of various genetic loci. Signalling mechanisms of the rhizosphere can be divided into three categories (Mommer et al. [2016](#page-264-0)):

- (a) Quorum sensing-based signal transduction among microbial intraspecies and interspecies.
- (b) Plant metabolite-based signalling from plant to rhizomicrobiome as symbiotic relationships.
- (c) As a result of signalling from rhizomicrobiome to plants, extracellular metabolites of the microbiome alter plant gene expression, defence system and root structure (Mommer et al. [2016\)](#page-264-0).

7.3.1 Microbe-Microbe Communication

Microbial cell density or population in the rhizosphere triggers several microorganisms to synthesize signalling compounds that synchronize their genetic expressions, and the same defines QS. Rhizosphere is comprised of diverse kinds of microbiomes with different population density, and QS signals play very important roles in shaping the rhizomicrobiome community. QS has been found responsible for developing and stabilizing microbial community and their communication among themselves as well as with the plants, which eventually affects the development of plant development (Padder et al. [2018](#page-264-0); Mukherjee and Bassler [2019\)](#page-264-0). For example, several proteobacterial rhizosphere isolates like P. chlororaphis, P. putida, P. syringae, Burkholderia, Serratia, Erwinia and Ralstonia act in response to N-acyl homoserine lactone (AHL) QS signals. The evolution of AHL has been found tightly associated with the modifications of plant gene expressions which, in turn, affect the growth and development of plants (Calatrava-Morales et al. [2018;](#page-261-0) Mhlongo et al. [2018;](#page-264-0) Balasundararajan and Dananjeyan [2019](#page-261-0)). It has been found that rhizosphere-residing species such as Burkholderia spp. and S. maltophilia, along with other Gram-negative bacteria, produce QS signals under diffusible signal factor family (cis-2-unsaturated fatty acids) [DSF]. Surprisingly, DSF is also found involved in ascribing innate immunity in plants and therefore are considered as interkingdom signals. Additionally, peptides like pheromones also act as QS signalling molecules in Gram-positive inhabitants that regulate scores of cellular processes at both intra- and interspecies level. Several types of microorganisms are found to release a group of volatile organic compounds
(VOCs) which play a significant role in interactions among microbial and plant communities. The volatile exudates from roots show a strong antifungal effect if supplemented with amino acids. On the contrary, soil-borne volatiles have an antibacterial effect when boosted with artificial root exudates but without any amino acids. Apart from exhibiting antimicrobial activity, VOCs act as signalling molecules that coordinate among intra- as well as interspecies gene expressions and interfere in other rhizospheric QS systems also. Involvement of VOCs in gene expression often makes them regulatory molecules for microbial biofilm generation, stress resistance, plant growth, immunity development and different genetic expressions, involved in hormone signalling pathways (Mommer et al. [2016](#page-264-0); Venturi and Keel [2016;](#page-265-0) Huedo et al. [2018;](#page-262-0) Ye et al. [2020\)](#page-266-0).

7.3.2 Plants -Microorganisms Communication

Irrespective of the parasitic or mutualist nature of microorganisms, plants play the role of host, and therefore interaction between plant and microbe substantially relies on phytochemical secretions. For example, plants cannot intake atmospheric nitrogen directly, and for this reason, they have to depend on nitrogen fixing bacteria such as rhizobia. Upon signal exchange and host-pathogen agreement, plants allow rhizobacteria to colonize root. Eventually, the nitrogen fixing rhizobacteria convert atmospheric nitrogen into ammonia (to proteins) via nitrogen fixation. The flavonoid compounds (2-phenyl-1,4-benzopyrone derivatives) were the first signal molecules discovered that induce bacterial nod genes during the signal exchange in between the host plant and its rhizobial symbiont (Mhlongo et al. [2018](#page-264-0); Sasse et al. [2018\)](#page-265-0). Nod genes are responsible for the production of nodulation factors (lipochitooligosaccharides (LCOs)) which are the first signal molecules that initiate nodule formation. Following identification of nod secreted LCOs, by epidermal kinase receptors of root nodules, a cascade of signal transduction is activated which eventually leads to nodule formation. Besides, LCO-assisted mutualism in between arbuscular mycorrhizal fungi (AMF or AM) and plants established the fact that these fungi substantially increase the capability of roots to access immobile phosphates from soil, whereas the fungi receive carbohydrates from plants. Despite the ecological importance, signalling-mediated symbiotic relationship is not clearly understood at the molecular level (Feng et al. [2019;](#page-262-0) Ratet [2019;](#page-264-0) Sakamoto et al. [2019\)](#page-265-0).

Strigolactone plant hormones secreted from roots are considered one of the primary signals to initiate AMF-plant symbiosis. Surprisingly, arresting of strigolactone by parasitic plants, like Striga spp. and Orobanche spp., helps them to sense their host and persuade seed germination at the proximate area of the roots. It has been recently drawn out that two loci RAM1 and RAM2, which encode GRAS domain transcription factor and an acyltransferase respectively, are badly affected in Medicago truncatula mutants (Castillejo et al. [2019](#page-261-0); Clear and Hom [2019](#page-262-0); Dhanker et al. [2020\)](#page-262-0). GRAS domain transcription factor and acyltransferase are solely responsible for the synthesis of cutin monomers which, in turn, play a crucial role in AM stimulation. Therefore, the affected GRAS domain transcription factor and acyltransferase make M. truncatula mutants unfit for AM symbiosis (Jiang et al. [2018;](#page-263-0) Vigneron et al. [2018](#page-266-0)). Like primary metabolites, plant secondary metabolites hold equal importance in signal transductions. Being very low molecular weight molecules, plant secondary metabolites, like phenylpropanoids, fatty acid and amino acid derivatives, are believed to cross cell membranes and cell wall freely and secreted into the rhizosphere soil. Advantages of secondary metabolites are often associated with reproductive and defense mechanisms of plants against several kinds of herbivores and pathogens. In the roots, secreted volatiles are often composed of substances that attract the enemies of root-consuming herbivorous, or they often comprise antimicrobial materials that protect roots from harmful microorganisms. Attractant properties of the root secreted volatiles can be well understood with the interaction between (E)-β-caryophyllene and Western corn rootworm (WCR) (Bécard [2017](#page-261-0); Lin et al. [2017](#page-263-0); Dixit et al. [2019](#page-262-0)). WCR is a herbivorous that feeds on the root of maize. However, during consumption, a volatile metabolite (E)-β-caryophyllene is secreted from roots that attracts entomopathogenic nematodes which eventually paralyse WCR, and within a few days, larvae of WCR are dead. This phenomenon illustrates how the volatiles in roots act as a signalling molecules (Bécard [2017](#page-261-0); Lin et al. [2017](#page-263-0); Dixit et al. [2019](#page-262-0)). An additional example, along with the signalling mechanism, of symbiotic relationship has been investigated and found that plants use some precise pattern recognition receptors (PRRs) to recognize microbe-associated molecular patterns (MAMPs) which are well-preserved molecules (like peptidoglycans, chitin, lipopolysaccharides and flagellin) in microbes. Following the identification of MAMPs, rhizomicrobiome, like mycorrhizae and rhizobia, and other plant growth-promoting fungi are recognized by the plant (Quiza et al. [2015](#page-264-0); Venturi and Keel [2016;](#page-265-0) Saijo et al. [2018\)](#page-265-0). Upon identification of non-self MAMPs, plant triggers basal immunological defence in the root system, commonly known as induced systemic resistance (ISR), which ultimately protects plants from leaf-feeding herbivorous and foliar pathogens. ISR is substantially distinct from systemic acquired resistance (SAR) (Kamle et al. [2020;](#page-263-0) Pršić and Ongena [2020\)](#page-264-0). Ethylene and jasmonate signalling pathways are the main regulatory signalling pathways of ISR, whereas SAR is triggered by plant pathogens, and the salicylic acid signalling pathway is a perfect example of SAR. Priming is another symbiotic mechanism where beneficial microorganisms tempt the plants to respond efficiently to different kinds of environmental stresses. Other than MAMP-mediated plant response, there are numerous other mechanisms through which rhizosphere bacteria induce plant response through symbiotic understanding (Kouzai et al. [2016;](#page-263-0) Mhlongo et al. [2016](#page-264-0); Nguvo and Gao [2019](#page-264-0); Yuan et al. [2019;](#page-266-0) Gupta and Bar [2020\)](#page-262-0). Symbiosis with the aid of interkingdom signalling molecules has also been investigated and found that upon root contact, *Laccaria bicolor*, an ectomycorrhizal fungus, secretes a 7 kDa MiSSP7 protein from hyphae. Afterwards, the protein of low molecular weight enters into the host cell nucleus and interacts with hormone co-receptors and eventually influences the jasmonic acid signalling pathway negatively. Salicylic acid and ethylene-dependent ISR have been identified in Arabidopsis where the sub-inhibitory concentration of 2,4-diacetylphloroglucinol

(DAPG) in the root or rhizosphere soil induces a defensive response against microbial leaf pathogens. Additionally, DAPG has been found to be a crucial molecular compound that takes part in root development via an auxin-mediated signalling pathway. In recent researches it has been proved that VOCs have gained considerable attention for being priming agents. 2,3-Butanediol (2,3-BD), a VOC secreted by Bacillus strains, identified as the first microbial VOC influencing the growth of Arabidopsis and provokes ethylene signalling-based ISR against phytopathogen Erwinia. Enterobacter, a root endophyte, secretes 2,3-BD that elevates the fungal resistance of maize and disturbs tritrophic interactions with herbivorous. Another important PGPR-producing VOC, indole, involves maturing the Arabidopsis root system using auxin signalling pathway. One interesting nature of indole is that it acts as a volatile signal to neighbouring plants against herbivorous and insect invasions. Phytohormone, including auxins, gibberellins and cytokinins, are identified with impacts on plant growth and organ development, host immune responses and hormonal signalling cascades. Although the symbiosis, at the rhizosphere, represents the interaction between rhizomicrobiome and plant roots, it ultimately influences the whole plant body implying the contribution of root-shoot signalling networks (Newcombe et al. [2010;](#page-264-0) Mabood et al. [2014](#page-263-0); Quiza et al. [2015;](#page-264-0) Venturi and Keel [2016;](#page-265-0) Chagas et al. [2018;](#page-261-0) Mhlongo et al. [2018](#page-264-0); Olowe et al. [2020;](#page-264-0) Vishwakarma et al. [2020](#page-266-0)).

As shown in Fig. [7.2](#page-255-0), before infection, the microbes compete among themselves for supplements and space. Beneficial microbes restrict the development and growth of plant pathogens through some physiological and physiochemical actions like the production of biostatic compounds, ingesting micronutrients or stimulating the immune response of the host plant. However, most of the microbes are symbiont, and they settle in different ecological niches. Therefore, they neither engage in affecting the host plants nor the competing pathogens but form a compound network of interactions that eventually affect plants and every other organism through infection or production of phytotoxic compounds (Berendsen et al. [2012](#page-261-0)).

7.4 The Benefice and Bull Effects: Plant and Human Health

Due to high intrinsic sensitivity, rhizomicrobiome can critically sense small changes in soil atmosphere as well as in the environmental conditions and respond accordingly in an immediate manner which labels them as bioindicators for assessing soil condition and quality. It is also important to describe the facts which show the impact of rhizosphere microbes on plant growth and human health. The following sections describe the good and ugly faces of the rhizomicrobiome (Mendes et al. [2013\)](#page-263-0).

7.4.1 Conducive Behaviour

The association of rhizobiome with plants eventually supports overall plant growth and protects against plant pathogens. Additionally, nutrient acquisition of plants is

Fig. 7.2 Flow chart of a combined rhizobiome communication where the influence of plants for activation of microbes via exudation of compounds stimulates **Fig. 7.2** Flow chart of a combined rhizobiome communication where the influence of plants for activation of microbes via exudation of compounds stimulates (green arrows) or inhibits (red blocked arrows) (green arrows) or inhibits (red blocked arrows)

also significantly influenced by the rhizosphere microbiome. It is well documented that nitrogen fixation in plants is essential for synthesizing amino and nucleic acids. Some well-known symbiotic nitrogen-fixing microbes also facilitate phosphorus intake and soil to plant nutrient translocation. However, the development of stable nutrient-rich soil depends on the presence of rhizomicrobiome which also suppress the soil-borne plant pathogens (Cocking [2003](#page-262-0); Turner et al. [2013](#page-265-0)). Rhizosphere regulates several principal pathways that attribute primary immunity to the plants and also takes part in antibiosis, competition for trace elements, nutrients and microsites, parasitism, intrusion with QS affecting virulence and induced systemic resistance. An interesting aspect is that at low concentration, antibiotic compounds act as mediators of intracellular signalling pathway, whereas paradoxical effects, such as growth inhibition, have been found associated with a high concentration of antibiotics. On the other hand, additional benefits of bacterial volatiles are associated with regulated mycorrhizal network establishment and tripartite interactions between bacteria, fungi and nematodes (Schouteden et al. [2015](#page-265-0); Maurya et al. [2018](#page-263-0); Sarkar et al. [2019](#page-265-0)). Concerning this context, it has been found that Paenibacillus polymyxa and Paenibacillus lentimorbus arrest substantial antifungal properties and eventually restrict the communications among nematode *Meloidogyne incognita* and fungus Fusarium oxysporum. Additionally, the rhizobacterial OS mechanism has been found to activate various genes like MPK3, MPK6, WRKY22, WRKY29 and Pdf1.2 that are responsible for plant defence. Following a combination of metabolic pathways two separate strains of P. fluorescens minimised the carbon intake of host plants, whereas the same strains were found beneficial as well as they protected the host plant against pathogen P. syringae. These findings indicate that the rhizobacteria have diverse and reflective effects on the metabolic pathways and immunogenic responsees of their host plants, thus regulating the biosynthesis of unfamiliar metabolites, along with known secondary metabolites (Mendes et al. [2013;](#page-263-0) Gkizi et al. [2016](#page-262-0); Kurokawa et al. [2021\)](#page-263-0).

It has been observed that the rhizomicrobiome promotes the development of some plants under severe conditions which can be illustrated by an example of Achromobacter piechaudii ARV8. Soil-borne bacterium, isolated from the arid and salty environment, increase the growth and biomass of plants following exposure to either drought stress or flooding conditions (Sunita et al. [2020](#page-265-0); Mishra et al. [2021\)](#page-264-0). It is well known; halotolerant bacteria succeed to survive under saline stress conditions. However, the alliance between the host-plant and halotolerant bacteria eventually promotes plant growth. For example, 130 rhizobacteria were isolated from the rhizosphere of wheat plants which were grown in a saline environment, and 24 of the isolates had a high salt tolerance level ($[NaCl] = 8\%$). Additionally, all the isolates, having high salt tolerance level, produced indole-3-acetic acid, whereas only six isolates of them produced gibberellin, and ten of them could solubilise phosphate. However, only two of the isolates had the nifH gene, which makes them potential nitrogen fixers. Salicornia brachiata, Brachybacterium saurashtrense sp. nov., Pseudomonas fluorescens, Halomonas sp., Zhihengliuella sp., Haererehalobacter sp., Vibrio sp., Streptomyces sp. GMKU 336, Cronobacter sakazakii, Pseudomonas spp., Brevibacterium casei, Pseudomonas spp., Rhizobium

radiobacter and Mesorhizobium sp. are some diazotrophic bacteria, newly isolated from roots, that produce 1-aminocyclopropane-1-carboxylic acid (ACC) and also have the phosphate dissolution and 1-aminocyclopropane-1-carboxylic acid (ACC) deaminase property (Jha et al. [2012;](#page-263-0) Alexander et al. [2019](#page-261-0); Jha [2019;](#page-262-0) Jha [2020\)](#page-262-0). Low temperature has serious effects on nodulation and nitrogen fixation. However, the legumes from the high arctic and temperate region can efficiently nodulate and fix nitrogen at relatively same rates in cold conditions. Another area of interest, in horticulture and agriculture, is the enhancement of plant growth by using microbial inoculants under cold conditions. For example, enhanced physiochemical activities and root growth of grapevine plants can be achieved by introducing *Burkholderia phytofirmans* PsJN microbes at 4° C. The same phenomenon has been encountered during the growth and nodulation of the soybean plant. It has been found that at 15 \degree C, nodule development in soybean plants is inhibited which eventually arrests the nitrogen fixation. However, when Serratia proteamaculans is co-inoculated with *Bradyrhizobium japonicum*, soybean growth and nodulation at 15° C have been observed. To identify the cellular mechanisms involved in the growth and development of plants at low temperatures, cold-tolerant, like P. fluorescens (at 10 °C) and *Pseudomonas corrugate* (at 4 $^{\circ}$ C to 28 $^{\circ}$ C), were selected due to their capacity of solubilizing phosphate, which eventually promoted the growth and development of plants. pH and high toxic compound concentrations are the two other main factors that regulate the plant growth. When corn plants are harvested in low pH soil, increased incitation of foliar lesions have been observed on corn but radically reduced when the plants are contaminated with 1-(3-Acetyl-2,4,6 trihydroxyphenyl)ethanone (DAPG)-producing bacteria P. fluorescens. This was possibly the first instance where the introduction of DAPG was found essential for restructuring the plants to fight against abiotic stress, whereas previously, DAPG was used as a pathogen controlling agent (Bordiec et al. [2011](#page-261-0); Berendsen et al. [2012;](#page-261-0) Mommer et al. [2016\)](#page-264-0).

Nowadays, bioremediation is being used as a potential substitute for removal of soil pollution. The idea of rhizoremediation is a combination of phytoremediation and bioaugmentation. Degradation of pollutants with rhizobacteria is a promising strategy to clean polluted sites. An evidential study showed an increased population of Actinobacteria, Verrucomicrobia and Denitratisoma in the polycyclic aromatic hydrocarbon-contaminated rhizospheres, signifying that these microbes may have a vital role in the rhizoremediation. Additionally, rhizoremediation of hydrocarbons has been achieved by using fungi Lewia sp. (Berendsen et al. [2012](#page-261-0); Mendes et al. [2013\)](#page-263-0).

However, successful application of microbial inoculants remains in its initial stages because of several limitations like shelf life, effective in different plant species, and irregular effectiveness across environments, etc. Finally, more insight is required on how rhizomicrobiome communicates with the host plant, and alters the metabolic pathways, to resolve the research gaps (Mendes et al. [2013\)](#page-263-0).

7.4.2 Wicked Behaviour: Opportunistic Microbes

Apart from the conventional rhizomicrobiome community, a separate microbial community has been identified which is generally non-pathogenic but acts as a pathogen under certain circumstances and termed 'opportunistic pathogens'. These opportunistic pathogens stay dormant in the host cell for a long period and attack immunocompromised hosts. The fanciful nature of climate reduces the quantity and regularity of annual rainfall in several geographical areas, which eventually forces the plants to experience drought stress. This stress induces several physiological changes in plants which also weakens the immune system of the plants and gives opportunity to the pathogens to flourish and attack the host plants (Overstreet and Lotz [2016](#page-264-0); Milici et al. [2020\)](#page-264-0). Recent studies revealed the opportunistic and virulent nature of plant symbiont Trichoderma reesei which is parasitic to other fungal strains also (Harman et al. [2004\)](#page-262-0). On the other hand, Pseudomonas aeruginosa is an opportunistic multidrug-resistant pathogen that is responsible for various diseases in plants and humans. They also secrete autoinducers, an external metabolite, that interrupts the signalling cascades of other microbial communities. Additionally, P. aeruginosa secretes several distinct signalling molecules: rhl, pqs, iqs, las and pch. Iqs, rhl and pqs responsible for intercellular communications, QS and quinolone signalling. With the collective effects of these signalling molecules, *P. aeruginosa* grows as a biofilm within the lungs of the patients, suffering from cystic fibrosis (Allesen-Holm et al. [2006](#page-261-0); Dekimpe and Deziel [2009](#page-262-0); Lee and Zhang [2015\)](#page-263-0). Apart from human pathogenicity, P. aeruginosa is a promising endophyte having the capability of phosphate and zinc solubilization and also secretes siderophores, vitamins and antibiotics which eventually support plant growth and provide resistance against phytopathogens (Kumawat et al. [2019](#page-263-0)). Burkholderia cepacia complex refers to a group of 17 closely related species that were believed pathogenic to the host plants. Unfortunately, recent studies revealed that the same microorganisms are also potential opportunistic human pathogen, responsible for human morbidity. B. cepacia complex is responsible for several diseases like urinary tract infections, chronic granulomatous disease, septic arthritis and meningitis. Most serious characteristic of the B. cepacia complex is that they can survive for a long period of time and multiply in aqueous environments even in presence of disinfectant agents and intravenous fluids (Baylan [2012](#page-261-0)). The symbiotic nature of B. cepacia gives them the advantages of being present as endophytes or by forming nodules in the leguminous hosts like rice, coffee and agave. However, despite being a potential human pathogen, B. cepacia is extensively used as phytopathogens and applied in agriculture for promoting plant growth at a significant level. The nitrogen and phosphorus fixation ability of *B. cepacia* makes them advantageous in agricultural practices. Moreover, B. cepacia can grow on crystal violet, methyl parathion and β-endosulfan, following degradation of these compounds, and the same characteristic promotes B. *cepacia* to be used for bioremediation (Rojas-Rojas et al. [2018\)](#page-265-0). Another potential multidrugresistant opportunistic pathogen is Alcaligenes faecalis. A. faecalis-induced infections are almost incurable as this pathogen is multidrug-resistant at a significant level. Postoperative endophthalmitis, diabetic foot infection, acute pyelonephritis

and severe pneumonia are some of the very common fatal diseases caused by the opportunistic pathogen A. faecalis. Bloodstream, middle ear and soft tissues are the most common parts of the human body vulnerable to A. faecalis infection (Kaliaperumal et al. [2006;](#page-263-0) Huang [2020\)](#page-262-0). The most striking advantage of using A. faecalis is that this pathogen promotes the growth of the plants in saline soil. For example, enhanced growth of canola plants has been achieved when A. faecalis was applied alone or in combination with *Azotobacter chroococcum*. This pathogen stimulates the growth of the plants following the synthesis of photosynthetic pigments and solubilization of proteins and sugars. A. faecalis also stimulates the production of antioxidant enzymes like ascorbate peroxidase, superoxide dismutase and peroxidases which are responsible for cellular damage repair and plant growth promotion. Additionally, A. faecalis can degrade 48% of the pyrene compound that makes this pathogen useful for bioremediation (Singha et al. [2018](#page-265-0); Abdel Latef et al. [2021\)](#page-261-0). Enterococcus faecium has been found in the gastrointestinal tract of the healthy human beings but can be life-threatening if they are exposed to the hospital environment where they acquire resistance against different types of generic drugs. On the other hand, Enterococcus faecium is a potential source of gibberellin that regulates the growth of various parts of the plants (Singh [2019](#page-265-0)). Rhodococcus equi, has no direct plant growth-promoting property, however, is used for bioremediation as it can withstand high mercury and arsenic-contaminated soil and successfully degrade the toxic xenobiotics. On the other hand, R , equi has been found responsible for cavitary pulmonary disease in AIDS patients and also cause pyogranulomatous pneumonia in young foals (Prescott [1991;](#page-264-0) Franchi et al. [2017](#page-262-0)).

Several soil-borne plant pathogens, other than bacteria and fungi, are also responsible for reduced crop production, and nematode and fungi are two of them. In extreme temperatures, nematodes, along with plant pathogenic fungi, become agronomically more vibrant than plant pathogenic bacteria. Bacterial pathogens like Dickeya dadantii, Dickeya solani, Agrobacterium tumefaciens, Ralstonia solanacearum, Pectobacterium carotovorum and Pectobacterium atrosepticum are the most well-known plant pathogens that infect plants through roots. Apart from pathogenic bacteria, fungi, nematodes and viruses are also responsible in the same manner causing plant damage. Viruses generally invade host plants using vector assisted transfer mechanisms. Nematodes or zoosporic fungi are often used as a vector by viruses to penetrate through the root tissue and infect the host plant (Berendsen et al. [2012](#page-261-0); Mendes et al. [2013\)](#page-263-0). Moreover, several opportunistic human pathogens like B. cepacia, P. aeruginosa, Stenotrophomonas maltophilia, Bacillus cereus and Proteus vulgaris have been found in rhizosphere environments, hosted by many wild and cultivated plants. Unfortunately, studies have been conducted focussing only on the existence of opportunistic pathogens. However, their relative virulence in clinical counterparts has been carelessly overlooked (Kumar et al. [2013](#page-263-0)).

7.5 Prospects and Outlook

The relationship among human, plant and soil microbiome exhibits a significant impact on the environment and also can influence the microbial communities which, in turn, makes it an interesting subject for further investigation and study. Detailed insight of methodologies, like environmental effect on genetic expression, identification and quantification of the plant and microbial exudates, will provide a clear picture about how one and all factors are interconnected and regulated by the other factor(s). Cutting-edge techniques associated with genomics, transcriptomics and proteomics can provide a detailed picture of the specific expression of genetic loci in the rhizomicrobiome (Phour et al. [2020](#page-264-0); Tian et al. [2020c](#page-265-0)).

Modification of rhizomicrobiome signalling, through genetic recombination, has been found responsible for extensive remodelling of cell signalling, and the same also discloses new avenues to develop genetically modified crops with better productivity with minimum agrochemical supplementation. Making use of selective beneficial rhizomicrobiome, plant metabolic pathways can be significantly modified, and the same also escalates the plant resistance against varying stresses. Depletion of human pathogens in the plant environment is another strategy to arrest the spreading of human diseases, and for this reason, it is essential to understand, in detail, how different factors make plant surfaces a suitable niche for human pathogens. To maintain the limitations of plant and human pathogens, varying and balanced trickeries must be developed that transmit the rhizobiome signals in favour of indigenous microorganisms only and hinder the biophysical activities of human pathogens. The introduction of plant breeding programs untying the molecular level of communications among plant lines and beneficial rhizomicrobiome could be a potential approach. The rhizosphere is important field of research for ecologists, molecular biologists and plant biologists to further study new outlooks of interactions stirring in this complex zone and rhizobiome (Mendes et al. [2013;](#page-263-0) Huang et al. [2014](#page-262-0); Venturi and Keel [2016](#page-265-0); Balasubramanian et al. [2020](#page-261-0)).

7.6 Conclusion

A complex symbiotic relationship, orchestrated between plants and microbial consortia, is responsible for morphogenesis, host-pathogen interactions, nutrients assimilation and QS. The relationship between plants and microbes is carried out by several inter and intraspecies signalling cascades where metabolites, hormones, volatile organic carbon, sugar molecules and phytochemicals act as signalling molecules. However, the composition of the phytochemicals and root exudates is often found revised under a modified environment that ultimately endorses the growth of some specific rhizomicrobiome communities, who, in turn, look after the host. Types of crops, local weather and soil conditions and rotational cultivation are some important independent variables that regulate the types and characteristics of the associated rhizomicrobiome community. Thus, deciphering the chemical language of interkingdom communication has become a difficult challenge for researchers. Therefore, in-depth investigation and implementation of different 'omics approaches' are strongly suggested to better understand the communication at the molecular level.

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Ecology of Arbuscular Mycorrhizae and Influence on Drought Tolerance in Crop **Plants**

8

Kamlesh K. Meena, Praveen Kumar, Ajay M. Sorty, U. Bitla, and H. Pathak

Abstract

Drought stress critically affects plant growth and productivity. Alleviation of drought-induced detrimental effects in plants is urgently required to achieve sustainable crop production. Consequently, there is constant demand of controlling strategies that can sustainably promote growth, development, and productivity of plants under limited moisture conditions. Among the proposed strategies, use of arbuscular mycorrhizal fungi (AMF) has gained significant attention due to their multifaceted capabilities. AMF-induced tolerance in plants against abiotic stresses such as heat, salinity, drought, and extreme temperatures is well-known. AMF symbiosis significantly strengthens the host plant against multiple abiotic stresses including drought and improves productivity. This chapter will mainly explore the ecology of AMF, their interaction with host plant and soil microbial communities, and influence of AMF-plant-soil microbiome interactions on plant-drought tolerance.

Keywords

Arbuscular mycorrhizal fungi · Drought · AMF interactions · Soil microbiology · Triangular response

K. K. Meena $(\boxtimes) \cdot P$. Kumar

Division of Integrated Farming System, ICAR-Central Arid Zone Research Institute, Jodhpur, Rajasthan, India

e-mail: kk.meena@icar.gov.in

A. M. Sorty · U. Bitla · H. Pathak

Agricultural Microbiology Laboratory, School of Edaphic Stress Management, ICAR-National Institute of Abiotic Stress Management, Baramati, Maharashtra, India

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8.1 Introduction

Drought is an increasingly detrimental abiotic stress that negatively affects the growth and development of plants. To restrict the ill effects of drought on crop productivity, availability of diverse adaptation and mitigation measures are needed (Nilsen and Orcutt [1996\)](#page-288-0). Drought stress induces cellular damage through increased production of reactive oxygen species (ROS). These lethal molecules (ROS) can cause oxidative destruction of proteins, DNA, and lipids and critically affect vital cellular processes (Miller et al. [2010](#page-288-0); Impa et al. [2012](#page-287-0)). To sustain crop yield under limited water supply, an effective rooting system is essential for plants to take up nutrients and water (Fohse et al. [1988\)](#page-285-0). Arbuscular mycorrhizal fungi (AMF) are a unique class of beneficial soil microorganisms that can form a symbiotic association with plant roots forming arbuscular mycorrhizae (AMs), which play a vital role in regulating the plant growth even under variety of unfavorable abiotic circumstances including drought (Philippot et al. [2013\)](#page-289-0). Most ancient, well-known mutualistic association is the endomycorrhizal symbiosis, in which specific soil fungi arbuscular mycorrhizal (AM) fungi—actively colonize the roots of up to 74–90% of the land plants on earth (Bonfante and Genre [2010](#page-285-0); van der Heijden et al. [2015\)](#page-290-0). Generally, AMF exhibit a broad-spectrum symbiosis; Klironomos ([2000\)](#page-287-0) confirmed that AMF were not host specific but strong functional variants of fungal species. Owing to the multifaceted role of AMF, their symbiotic association with plant roots is a well-known approach to improve plant tolerance to environmental stress such as drought and phosphorus (P) limitation (Brachmann and Parniske [2006](#page-285-0)). AMF provide nutrients to their host plants by producing hyphae that grow out from plant roots, effectively increasing the soil volume from which immobile nutrients can be acquired. This fact is corroborated by the phenomenon that most agricultural crops perform better and are more productive when they are colonized by AMF compared with non-mycorrhizal plants. Apart from beneficial effects on plant biomass, AMF also frequently increase plant-nutrient concentrations by facilitating the nutrient uptake and improve the amounts of secondary metabolites in plants (Mohamed et al. [2014](#page-288-0)).

Microbial communities native to the soil also play key role in the germination and hyphal growth of AMF. Microbes promote the establishment of AMF and also participate in the process of plant growth promotion. More importantly AMF bring modification of the root architecture for improving access of water and nutrients (Hameed et al. [2014\)](#page-286-0). AMF symbiosis-mediated growth elevation is not only due to enhanced uptake of water and mineral nutrients from the adjoining soil but also due to protection of the plants from fungal pathogens (Smith and Read [2008](#page-290-0)).

Despite having significant knowledge on influence of bacteria and AMF on plant growth and nutrition, there is a general lack of information on their performance under natural drought conditions. More studies elucidating the effect of these microorganisms are urgently needed to mitigate the influence of changing agroclimate conditions on crop productivity. Further, there is a dearth of knowledge on plant-AMF-soil microbe interactions under drought conditions. In this chapter we focus on importance of collective studies on plant-AMF-soil microbe interactions and their key role in alleviation of drought stress in plants.

8.2 Plant-AMF Interactions

Arbuscular mycorrhizal (AM) symbiosis is among the hoariest and most prevalent plant-fungal symbioses (Redecker et al. [2000](#page-289-0); Smith and Read [2008\)](#page-290-0). AMF can form symbiotic associations with plants and affect interspecific interactions by enlarging nutrient-absorbing part of plant root systems and further promote plant nutrient and water uptake and also induce tolerance to abiotic stress conditions (Smith and Read [2008](#page-290-0)). AMF are microscopic filamentous fungi that colonize the plant roots and rhizosphere and spread several centimeters in the form of ramified filaments (Bonfante and Genre [2010\)](#page-285-0) that perform an array of biological interactions in the soil habitat.

8.2.1 AMF, their Types, and Diversity

AMF are omnipresent root symbionts of above 90% of vascular plants and over 80% of the existing terrestrial plants (Wang and Qui [2006](#page-290-0)). Taxonomy of AMF is described in 214 known species in 4 orders, 13 families, and 19 genera, in the class Glomeromycetes of the phylum Glomeromycota (Muthukumar et al. [2009\)](#page-288-0). According to the fossil archives and molecular data, AM symbiosis is evolutionarily primitive and could have had occurred with the first terrestrial plants (Lee et al. [2012\)](#page-287-0). AMF or Glomeromycota are obligate symbiotic fungi that penetrate plant roots and form the arbuscules—a highly specialized hyphal structure that develops inside cortex cells and represents the main site of nutrient exchange between partners (Smith and Read [2008;](#page-290-0) Schußler and Walker [2010](#page-290-0)). AMF represent elements which are important to agricultural productivity and biogeochemical processes (van der Heijden et al. [2015\)](#page-290-0). These fungi are known to play an important ecological role in management practices in low-input agricultural systems (Ma et al. [2005](#page-287-0)). There are two distinct kinds of AMF, categorized by intraradical hyphal adaptations which are also termed as the Paris-type where hyphal development is exclusively intracellular, forming the characteristic coil-like structures in host plants' cortical cells, while the other one is Arum-type, which is characterized by intraradical hyphal development that mostly remains intercellular and forms arbuscules in root cortical cells. AMF are mostly of asexual type in reproduction; however, exchange genetic material between genetically distinct strains takes place when their hyphae anastomose (Hijri and Sanders [2005\)](#page-286-0).

8.2.2 Establishment of Symbiotic Association

The contribution of plant-derived and fungal signaling molecules that promote AM association encompasses a high degree of adaptation and genetic/metabolic coordination between mycorrhizal partners (Foo et al. [2013](#page-285-0)). Establishment of AM symbiosis is an intricate process involving a dedicated signaling pathway initiated by root-borne signal strigolactones, which are known to stimulate AM fungal activity (Van de Velde et al. [2017](#page-290-0)). AMF respond to the plant signals through consequent secretion of lipochito-oligosaccharide moieties, which induce a signal transduction pathway in plants upon perception. As this signal transduction pathway exhibits significant similarity with the one involved in root-nodule symbiosis, it is also known as the common symbiosis signaling pathway (CSSP), which has been elucidated in great detail in recent years (Gutjahr and Parniske [2013](#page-286-0)). Recent studies showed that formation of an infection structure called prepenetration apparatus (PPA) promotes cellular invasion (Genre et al. [2008\)](#page-286-0) and development of the intracellular arbuscules that serve as nutritional edge between the associates (Gutjahr and Parniske [2013\)](#page-286-0). Although the molecular mechanism involved in PPA formation is elusive, PPAs are thought to be a requirement for AMF contagion of host roots and to necessitate signaling through the CSSP (Genre et al. [2005](#page-286-0)). Establishment of AM is concomitant with an intricate process of reprogramming of the host cells including the stimulation of hundreds of genes (Calabrese et al. [2017\)](#page-285-0) of which some are expressed absolutely in cells with arbuscules. Majority of these genes are thought to be indispensable for intracellular establishment, functioning of the fungus and for correlation of symbiotic activity.

8.3 Significance of AMF Symbiosis

AMF promote many aspects of plant life. In particular, AMF improve plant nutrition and enhance growth, stress tolerance, and disease resistance. In addition, the hyphal networks of AMF improve soil characters such as soil particle aggregation, thereby improving the resistance of soil towards erosion due to wind and water. Finally, AMF decrease nutrient leaching from the soil and contribute to retention of nutrients in the soil and also decrease the risks of groundwater contamination.

8.3.1 Nutritional Enhancement

Importance of AMF symbiosis in nutrient transport has been extensively studied. Capability of nutrient transport varies with AMF species and is highly dependent on their root colonization ability. For instance, members of Gigasporaceae are sluggish root colonizers; however, they are superior soil colonizers, where they form denser extraradical mycelium network than the members of the Glomeraceae (Hart and Reader [2002a](#page-286-0)). Therefore, it should be clearly noted that Gigasporaceae are more essential in soil structure establishment and maintenance than Glomeraceae.

Gigasporaceae seem to be less efficient in transferring P to the host plant when compared with *Glomeraceae* (Hart and Reader [2002b](#page-286-0)). AMF possess high-affinity inorganic phosphate (Pi) transporters; the expression of this Pi transporter was localized to the extraradical hyphae of Glomus versiforme, which is the main site of phosphate uptake from the soil. Accumulated as polyphosphate, Pi is then rapidly translocated along the aseptate mycelium to the host plant (Hijikata [2010\)](#page-286-0). In mycorrhizal plants, nearly 70% of the whole phosphate uptake can be acquired via the AM pathway (Yang et al. [2012](#page-291-0)). Recent studies revealed nitrogen uptake in the AM symbiosis, with a significant role played equally for plant nutrition and for the regulation of the symbiosis functioning itself. In the soil, inorganic nitrogen is present as nitrate $(NO₃)$ and ammonium $(NH4⁺)$, and AMF possess specific transporters for both the N forms. In Rhizophagus irregularis, three sequences refer to ammonium transporters, and one nitrate transporter has been identified (Tisserant et al. [2013](#page-290-0)). Role of sulfur (S) in AM symbiosis is poorly understood. However, some studies have reported AMF-mediated S transfer in plants. Allen and Shachar-Hill [\(2009](#page-284-0)) reported that AMF can take up both organic and inorganic S and transfer it to the host plant. Lotus japonicas and Medicago truncatula have sulfate transporters $LjSultr1;2$ and $MtSultr1;2$ that respond to mycorrhizal symbiosis (Guether et al. 2009). Macronutrient like potassium (K) is not well studied with respect to AMF-plant symbiosis. A few studies have been performed in this regard where a number of plant K transporters were identified like Trk (transporter of K), HAK (high-affinity towards K uptake), and *SKC* (Shaker-like channels), but their role in AMF symbiosis is still not demonstrated (Garcia and Zimmermann [2014](#page-286-0)).

8.3.2 Abiotic Stress Tolerance

Importance of AMF-plant symbiosis in mitigation of abiotic stresses like drought, salinity, high temperature, and heavy metal in different agriculture crop is wellknown (Moradtalab et al. [2019](#page-288-0); El-Nashar [2017\)](#page-285-0). AMF symbiosis protects plants against a variety of abiotic stresses through various processes such as improved photosynthetic rate, uptake and accumulation of mineral nutrients, cellular accumulation of osmoprotectants, upregulation of antioxidant enzyme activity, and change in the rhizosphere microecosystem (Table [8.1](#page-272-0)) (Bárzana et al. [2015](#page-284-0); Calvo-Polanco et al. [2016;](#page-285-0) Yin et al. [2016\)](#page-291-0). Exploiting AMF symbiosis may boost P and N uptake and improve plant growth and productivity. The mycorrhizosphere formed by roots and AMF mycelium in soil effectively enhances water and nutrient uptake because of its larger expanse (Smith and Smith [2012\)](#page-290-0). AMF symbiosis decreases oxidative stress through declining membrane lipid peroxidation in plant under salinity stress (Abdel Latef and Chaoxing [2014](#page-284-0)). Further, it also improves integrity of the plasma membrane by lowering lipid peroxidation and alleviating electrolyte leakage due to membrane damage (Evelin et al. [2012](#page-285-0)). AMF defend plants against detrimental effects of ROS by increasing antioxidant enzymes like superoxide dismutase (SOD), catalase (CAT), peroxidase (POD), ascorbate peroxidase (APX), and glutathione reductase (GR) (Table [8.1\)](#page-272-0) (Evelin and Kapoor [2014](#page-285-0)). Improvement in cold

stress tolerance in plants due to inoculation of AMF was reported by Gamalero et al. [\(2009](#page-286-0)), where the authors linked AMF-induced mitigation through enhancement of photosynthesis. Cucumber root colonization by AMF might efficiently enhance the accumulation of phenolics, flavonoids, and lignin in the leaves and significantly reduce H_2O_2 accumulation under low-temperature conditions (Chen et al. [2013\)](#page-285-0). Elevated temperature, however, negatively impacts the process of mycorrhizae development, which can also hamper AMF colonization, spore germination, and hyphal growth of the fungi (Jahromi et al. [2008\)](#page-287-0). AMF-mediated improvements in photosynthetic rate, stomatal conductance, and transpiration rate indicate that AM symbiosis can deliver high gas exchange ability by reducing stomatal resistances and increasing $CO₂$ assimilation and transpiration fluxes (Zhu et al. [2012\)](#page-291-0). These outcomes evidently demonstrate that AM symbiosis can efficiently protect the PSII reaction center and structural and functional disruption of photosynthetic apparatus from stress-induced damages. AMF symbiosis plays a key role and also improves the plant health in heavy metal-contaminated soils (Nadeem et al. [2014\)](#page-288-0). AMF-induced enhanced plant tolerance towards heavy metals can be attributed to the mechanisms like restriction of heavy metals by AMF-secreted biomolecules, precipitation in polyphosphate granules in the soil, metal adsorption to chitin in the cell wall, chelation of metals inside the fungus, changes in rhizosphere pH, and regulation of variety of stress-responsive genes under stress conditions (Malekzadeh et al. [2011](#page-288-0)).

8.3.2.1 Rhizosphere Microbiology and Biochemistry

AM colonization exerts significant influence on the species composition of the soil microbial community by aggregating some groups and declining the others (Krishnaraj and Sreenivasa [1992](#page-287-0)). Participation of AM symbiosis in numerous physiological and biochemical processes including direct uptake and transfer of water and nutrients by AMF improves osmotic regulation, enhanced gas exchange and water use efficiency, and superior protection against oxidative damage has also been reported (Rapparini and Penuelas [2014\)](#page-289-0). Sustenance of AMF hyphae improves physical defense of SOM by stimulating soil aggregation, which is important for sandy soils and reclaimed mine soil where other binding agents are scarce (Birgitte and Leif [2000](#page-284-0)). AM symbiosis can enhance decomposition and increase N capture from organic material; mycorrhizal hyphae can also produce phosphatase to hydrolyze the organic P compounds (Hodge and Fitter [2010](#page-287-0)). Available nutrient content in the rhizosphere soil directly affects soil enzyme activity (He et al. [2010](#page-286-0)). Soil enzymes activity is related to the nutrients such as mineralization of N, P, and C and can be used as indicator to detect changes in the fertility and microbial functioning of soil. Saccharase enzyme imitates the law of accumulation and decomposition of soil organic carbon and indicates soil C cycling and important biochemical activities. The saccharase activity in the AMF-inoculated plants appeared significantly higher than that in the AMF-non-inoculated treatments; also enhanced activity of dehydrogenase, urease, and phosphatases in AMF-inoculated treatments remained higher than those in AMF-non-inoculated plants (Qian et al. [2012\)](#page-289-0). Esterase, trehalase, phosphatase, and chitinase activities were higher in the

rhizosphere of mycorrhiza-inoculated maize (Vázquez et al. [2000](#page-290-0)). Enhanced invertase, catalase, urease, and alkaline phosphatase activities were observed in rhizospheric soil of AMF-inoculated Amorpha fruticosa (Qin et al. [2018\)](#page-289-0) and also showed higher root mycorrhizal colonization, glomalin-related soil protein concentrations, as well as an increase in soil organic carbon (SOC), total N, Olsen P, and available K.

8.4 AMF Interactions with Indigenous Soil Microbes

Rhizospheric microbial communities contribute a vital role in plant health and development. Bacteria appear to represent the third component of AM symbiosis because there exists an influence by bacteria associated with the mycorrhizosphere on establishment and function of AMF (Frey-Klett et al. [2007\)](#page-285-0). Diverse types of bacterial communities thrive within the mycorrhizosphere, where they form association with mycorrhizal roots, spores, sporocarps, and extraradical hyphae (Rambelli [1973\)](#page-289-0). From Rhizoglomus irregulare spores, as many as 374 bacterial strains were isolated (Battini et al. [2016](#page-284-0)).

In general, three ways of interaction of between AMF and other microbial communities could be possible—synergistic, antagonistic, and neutral. Literature is available to generate an overview of these interactions. Mycorrhizospheric microbiota performs characteristic functional activities such as contributing as mycorrhiza helpers, in addition to direct plant growth promotion. Mycorrhizae helper bacteria may accelerate the germination of spores and establishment of mycorrhizal symbiosis. As an example, Streptomyces spp., Pseudomonas sp., and Corynebacterium sp. improved the germination of Funneliformis mosseae, G. versiforme, and Glomus margarita spores (Frey-klett et al. [2007](#page-285-0)). The enhancement of spore germination was credited to *Actinobacteria*—a group of bacteria that regularly interact with AMF spores, able to hydrolyze chitin which is a key component of the spore walls (Agnolucci et al. [2015\)](#page-284-0). Klebsiella pneumoniae, Trichoderma sp., and Paenibacillus validus increased germlings hyphal growth (Calvet et al. [1992;](#page-285-0) Hildebrandt et al. [2006](#page-286-0)), while a bacterial strain belonging to Oxalobacteraceae not only improved spore germination and germling growth but also enhanced root colonization to establish symbiosis (Pivato et al. [2009\)](#page-289-0). In addition, the development of AMF extraradical mycelia may be promoted by strains of Paenibacillus rhizosphaerae, Azospirillum sp., Rhizobium etli, Pseudomonas spp., Burkholderia cepacia, and Ensifer meliloti (Bidondo et al. [2011](#page-284-0); Ordoñez et al. [2016](#page-289-0); Battini et al. [2017](#page-284-0)).

Microbial biocontrol agents are capable to produce antibiotic effect, while some antifungal components originating from non-AMF microbes can affect the process of spore production and mycelial growth of AMF (Bitla et al. [2017\)](#page-285-0). Germination rate of G. mosseae was accelerated, and expansions of mycelia from germinated spores were improved due to the presence of *Trichoderma* spp.; however, the presence of Penicillium decumbens and Aspergillus fumigatus inhibited spore germination of G. mosseae (Calvet et al. [1992\)](#page-285-0). Some of the plant growth-promoting

microbial agents could produce volatile, hormones and other secondary metabolites (Sorty et al. [2016;](#page-290-0) Meena et al. [2019\)](#page-288-0) that can be detrimental to growth of AMF hypha and spores; Bacillus subtilis produces some unknown volatiles that inhibit the spore germination and hyphal growth of *Glomus etunicatum* (Xiao et al. [2008\)](#page-291-0). Filion et al. [\(1999\)](#page-285-0) observed that conidial germination of Trichoderma harzianum was enhanced by the fungal extract secreted by AMF while the *Fusarium oxysporum* f. sp. chrysanthemi were decreased; these effects were directly correlated with extract concentration. AMF- and phosphate-solubilizing bacteria (PSB) could interact synergistically because PSB solubilize thriftily accessible phosphorous compounds into orthophosphate that AMF can absorb and transport to the host plant (Nacoon et al. [2020](#page-288-0)).

8.4.1 Influence on Soil Microbial Community

The colonization of plants by AMF affects the quality and the quantity of the host plant root exudates and hence the structure of microbial communities in the rhizosphere and chemotactic responses of specific bacteria (Tahat and Sijam [2012\)](#page-290-0). Presence of AMF also exerts an influence on soil microorganisms concomitant with their extraradical mycelium, principal to the formation of a specific zone of soil which is generally called the mycorrhizosphere (Artursson et al. [2006\)](#page-284-0). Denaturing gradient gel electrophoresis (DGGE) profiles of 16S rRNA amplicons from total DNA extracts of pea rhizosphere appeared relatively similar between AMF-inoculated and AMF-non-inoculated rhizosphere. However, AMF (Glomus intraradices)-inoculated plants showed suppression of four to five specific bright bands (Wamberg et al. [2003](#page-290-0)). Direct root colonization with either G. mosseae or G. intraradices significantly modified the DGGE profiles of bacterial community from tomato rhizosphere. Both the AMF species had similar bacterial communities after 4 weeks. The bacterial taxa associated with the rhizosphere of tomato plants inoculated with G. mosseae were identified as Pseudomonas, Herbaspirillum, and Acidobacterium, while Bacillus simplex (clone TR03) was found to be affiliated only with *G. intraradices* (Lioussanne et al. [2010](#page-287-0)).

8.4.2 Long-Term Impact on Soil Microbiology

An AMF-rhizosphere microbial interaction significantly influences the soil microbiology and also affects the soil physiological and chemical characteristics. AMF influence the soil structure by binding and enmeshing soil particles into macroaggregates and by producing glomalin (Rillig and Mummey [2006](#page-289-0); Treseder and Turner [2007](#page-290-0)). Carbon (C) fraction of glomalin can range from 9 to 22%, habitation time in soil from 6 to 42 years and may represent >5% of total soil C (Rillig et al. [2001\)](#page-289-0). Soil aggregates are important for storage of C and nutrients. Soil aggregates are important for flourishing microbial communities, where they provide shelter in the form of micropores. Stable soil aggregates are long-lasting source of C because

Fig. 8.1 An overview of AMF associations within the plant rhizosphere under normal conditions

they significantly enhance the microbial activity and the process of C sequestration in soil (Fig. 8.1). Soil C is important for all microbial activities that are connected to the phenomenon of microbial plant growth promotion. AMF-rhizosphere microbial interaction maintains the C:N ratio through symbiotic exchange of nutrients and C. Long-term mesocosm exploration with single AMF strain showed that there is significant influence on the diversity of associative microbial communities and on alterations in aggregate formation. In a diverse AM community, this may specify a highly composite biotic heterogeneity in mycorrhizosphere soils (Rillig et al. [2005\)](#page-289-0). Fascinatingly, investigators constantly demonstrate enhanced concentration of available P in the smallest aggregates, in ultisols (Thao et al. [2008](#page-290-0)). AMF symbiosis affects the diversity of the microbial community as well as their function within the rhizospheric soil (Schreiner et al. [1997](#page-290-0); Olsson et al. [1997\)](#page-288-0). AMF can improve the quantity and movement of beneficial soil microorganisms including nitrogen fixers and phosphate solubilizers, which are important for growth, development, and productivity of plants (Linderman [1992\)](#page-287-0).

8.5 Impact of Drought Stress on Plant-AMF-Rhizosphere Microbial Interactions

8.5.1 Recognition of Drought Conditions and Consequences

Plant-microbe interactions at biochemical, physiological, and molecular levels established that microbial associations largely direct plant responses towards environmental stress(es) (Meena et al. [2017](#page-288-0), [2020\)](#page-288-0). Extended moisture stress decreases leaf water potential and stomatal opening; reduces leaf size; suppresses root growth; decreases seed number, size, and sustainability; delays flowering and fruiting; and restricts plant growth and productivity (Osakabe et al. [2014\)](#page-289-0). Drought condition also affects the plant root exudation (Sorty et al. [2018;](#page-290-0) Bitla et al. [2017](#page-285-0)). Root exudates act as direct communication pathway between plant and rhizosphere microbial communities; deviation in the composition of root exudates governs the diversity and function of the colonizing microbial communities (Sasse et al. [2018\)](#page-290-0). Droughtinduced changes in amount or composition of root exudates may be a situation- or species-dependent phenomenon (Gargallo-Garriga et al. [2018\)](#page-286-0). Drought-induced changes in root exudate profiles can alter the composition and movement of the surrounding soil microbiome, endorsing additional alterations to soil geochemistry that in turn alter enormousness and direction of soil community changes. Dynamics and structure of rhizosphere microbial communities depend on the type and quality of the decaying root materials and the composition of root exudates, ranging from simple sugars to complex aromatic compounds (Kos et al. [2015\)](#page-287-0). Drought condition has a substantial influence on the biomass and structure of soil microbial communities and their involvement in nutrient cycling (Schimel [2018](#page-290-0)). Water stress not only directly affects microbial community but also modifies the relative significance of the impact on plant AMF interaction (Monokrousos et al. [2020\)](#page-288-0). Although the available literature signifies beneficial interaction of AMF under drought conditions, the knowledge relating to the underlying mechanisms AMF-mediated plant growth enhancement under drought conditions and the potential beneficial effects on soil structure and indigenous microbial community is very limited. Thus, rigorous initiatives are critically needed to address the major knowledge gaps in the area of AMF-plant-microbe interactions (Fig. [8.1\)](#page-277-0).

8.5.2 Counter Responses of AMF to Drought Conditions

AMF symbiosis might play a significant role in alleviating the impact of drought on crop yield. AMF symbiosis could considerably enhance plant resistance to drought via increased dehydration avoidance and increased tolerance (Ruiz-Lozano and Azcon [2000](#page-289-0)). The root colonization by the AMF is represented by active absorptive surface zone and enhanced water and nutrient uptake even under limited moisture conditions. AMF symbiosis could increase drought tolerance via the increased soil water drive to the plant roots (Ruiz-Lozano et al. [2016](#page-289-0)). Impact of AMF on droughtplant relation also extends to the soil environment in terms of higher rate of soil aggregate formation and improved soil water conditions to the rhizosphere (Rillig et al. [2002](#page-289-0)). AMF also regulate plant physiological performance to alleviate drought stresses by the upregulation of antioxidant enzyme activity and jasmonate synthesis to reduce peroxidative damage and also improve stomatal conductance (Pedranzani et al. [2016](#page-289-0)). AMF-mediated enhancement of drought tolerance in C3 (Leymus chinensis) and C4 (Hemarthria altissima) plant species through upregulation of antioxidant system has been reported (Li et al. [2019\)](#page-287-0). Colonization of AMF can modulate morphological adaptation to improve drought tolerance of the host plant (Table [8.2\)](#page-279-0). Previous studies showed that less epicuticular wax and lower cuticle weight were observed in leaves of AMF-inoculated rose plants than non-AMFinoculated plants during drought acclimation (Henderson and Davies [1990\)](#page-286-0). Fluctuations are observed in root structure of trifoliate orange inoculated with or without F. mosseae. Considerably enhanced root total length, projected area, surface

Sr.			Abiotic		
no.	AMF strain	Host plant	stress	Influence on host	Reference
$\mathbf{1}$	Funneliformis mosseae	Rose geranium	Water stress	Increased the concentrations of N, P, and Fe	Amiri et al. (2017)
$\overline{2}$	Glomus mosseae and Glomus <i>intraradices</i>	Pistachio plants	Drought stress	Nutrient content is improved	Bagheri et al. (2012)
3	Glomus deserticola	Antirrhinum majus cv. Butterfly	Water stress	Increase the shoot and root dry mass, rise the water use efficiency, flower yield, nutrient and chlorophyll content	Asrar et al. (2012)
$\overline{4}$	Septoglomus constrictum	Tomato	Drought and heat stress	Enhanced stomatal conductance, leaf water potential, and relative water content	Duc et al. (2018)
5	Rhizophagus irregularis	Tomato and lettuce	Drought stress	Improved growth rate and efficiency of photosystem II, increase strigolactone production	Ruiz-Lozano et al. (2016)
6	Glomus mosseae	Sunflower	Drought	Increase dry matter, heavier seeds and greater seed and oil yield, increase the N percentage in leaves and seeds	Gholamhoseini et al. (2013)
$\overline{7}$	Rhizophagus irregularis	Hybrid poplar	Drought	A higher net photosynthetic rate and Chl fluorescence. better water use efficiency and water uptake, enhanced growth, and reduced loss of biomass	Liu et al. (2015)
8	Glomus <i>intraradices</i>	Rice	Drought	Enhanced the photosynthetic efficiency and the antioxidative enzyme activity	Ruiz-Sánchez et al. (2010)
9	Glomus versiforme	Citrus	Drought	Improving reactive oxygen metabolism	Wu and Zou (2009)
10	Funneliformis mosseae and Paraglomus occultum	Trifoliate orange	Drought	Escalated the leaf sucrose and fructose concentration, significantly increase the leaf sucrose	Wu et al. (2017)

Table 8.2 Alleviation of drought stress through AMF inoculation

(continued)

Table 8.2 (continued)

area, average diameter, volume, and number of first-, second-, and third-order lateral roots are observed in AMF trifoliate orange seedlings under well-watered and drought situations compared with non-AMF seedlings (Liu et al. [2015,](#page-287-0) [2016\)](#page-287-0). Comas et al. [\(2013](#page-285-0)) observed that alteration in root structure caused by AMF can deliver more exploration of soil volume to absorb water and nutrients from the soil, therefore potentially enhancing drought tolerance of the host plant.

8.5.3 Integrated Response Triangle of Plant-AMF-Rhizosphere Microbes

Under drought condition, root colonization by AMF generally decreases (Augé [2001\)](#page-284-0). Still AMF inoculation in crop is one of the best biological methods to alleviate drought stress. AMF can promote plant drought fitness either via enhanced nutrition or more direct effects on stomatal conductance and enhanced water use efficiency (Augé [2001](#page-284-0)). Mycorrhizal helper bacteria play a vital role in establishment of AMF symbiosis under drought stress. The symbiotic relationship between legumes and nitrogen-fixing rhizobia is susceptible to drought which induces failure of the infection and nodulation developments (Bouhmouch et al. [2005\)](#page-285-0); however, presence of AMF improves the performance of legume-rhizobial symbiosis under drought. Bacterial strains isolated from AMF spores also exhibit the ability of plant growth promotion; 17 actinobacterial strains were able to produce siderophores and IAA, mineralize phytate and solubilize inorganic phosphate and 10 putative N fixers to produce siderophore and solubilize P (Battini et al. [2016](#page-284-0)). Nitrogen and P are

transported towards the host through AMF extraradical hypha. Inoculation of drought-adapted AMF and Bacillus thuringiensis consortium increased growth a of maize under drought stress; it was observed that the co-inoculation increased plant nutrition and plant drought tolerance including regulation of plant aquaporins with several putative physiological functions (Armada et al. [2015\)](#page-284-0).

8.5.4 Influence of the Triangular Response on Plant Performance under Drought Conditions

Under drought conditions, plants undergo variety of morphological and metabolic changes that affect root exudations and soil microbial communities. Plant also alters the root-shoot ratio and stimulates root growth to gain access areas to the moisture from additional part of the adjacent soil. Drought-induced changes in root metabolites may also explain observed changes in root-associated microbiome (Xu et al. [2018\)](#page-291-0). Most microbes are susceptible to limited C. A significant amount of C is provided through root exudates which are thus important governing factors of microbial processes and shaping root-associated microbial communities (Peterson [2003\)](#page-289-0). AMF-induced improved soil aggregation and SOC are known to increase the abundance of plant growth promoting (PGP) bacteria that accelerate the processes like nitrogen fixation, phosphate solubilization, hormone production, and nutrient mobilization. Involvement of phytohormones such as strigolactones, gibberellins, auxin, abscisic acid, ethylene, jasmonic acid, salicylic acid, cytokinins, and brassinosteroids in AMF symbiosis and plant growth is extensively reviewed by Liao et al. ([2018\)](#page-287-0). These hormones improve extraradical hyphal growth of AMF (Bidondo et al. [2011](#page-284-0)) and also improve plant performance under varying abiotic conditions (Meena et al. [2011,](#page-288-0) [2017](#page-288-0); Sorty et al. [2016,](#page-290-0) [2018\)](#page-290-0). The hyphal growth regulates transport of nutrient and water to host plant under drought conditions. Thus the plant-AMF-soil microbe triangular interactions improve drought tolerance in plants and promote growth, development, and productivity.

Additional to nutrients mobilization, and phytohormones production, PGP microbes are involved in different activities of plant growth promotion through the production of wide types of metabolites and volatile compounds that directly or indirectly enhance plant growth and sustenance (Barea et al. [2002](#page-284-0); Rouphael et al. [2015;](#page-289-0) Sorty et al. [2016](#page-290-0), [2018](#page-290-0); Meena et al. [2017\)](#page-288-0). These activities also influence AMF-plant symbiosis, thus making it important to consider the activities performed by associative microbiota before selecting the AMF and bacterial combination for co-inoculation. P is quickly immobilized in the soil, forming insoluble compounds with aluminum/iron and with calcium in acid and alkaline soil, therefore becoming inaccessible to plants; P-solubilizing bacteria could work in interaction with AMF to enhance P availability and subsequent uptake by the plant. P-mobilizing bacteria, such as Streptomyces spp., Leifsonia sp., Bacillus pumilus, Lysinibacillus fusiformis, and E. meliloti, isolated from AMF spores of Rhizoglomus irregulare, showed synergistic action with AMF, promoting mineralization of soil phytate and facilitating P uptake by mycorrhizal plants (Battini et al. [2017](#page-284-0)).

Co-inoculation of PGP bacteria and AMF is known to significantly enhance plant growth than inoculation with the PGP bacteria or AMF alone. Co-inoculation also improves crop yield and shows improvement in uptake of N and P than single inoculated crop (Kim et al. [1998](#page-287-0); Singh and Kapoor [1998](#page-290-0)). Most of the researchers have already shown the importance of microbial co-inoculation in the mitigation of drought stress in crops under in vitro and field conditions (Meena et al. [2010\)](#page-288-0). Drought-resistant B. thuringiensis inoculated with indigenous AMF isolate could decrease water requirement by 42% in Retama sphaerocarpa, a positive AMF-plant growth promoting rhizobacteria (PGPR) consequence on plants grown under drought stress (Marulanda et al. [2006](#page-288-0)).

8.6 Recent Trends and Advancements on AMF Inoculants in Modern Agriculture

A variety of strategies for application of AMF have been developed during the last few decades. The prominent ones include combination of different AMF sp., AMF-PGPR co-inoculation, AMF inoculation with organic compost, and liquid inoculum. Marketable inocula are prepared with R . *irregulare* (syn. R *hizophagus* $irregularis$, formerly $G.$ intraradices) and $F.$ mosseae (formerly $G.$ mosseae) that are generalist symbionts and are common all over the world in nearly all soils and climatic zones (Smith and Read [2008](#page-290-0)). However, recently a very common practice of using AMF with other commercial biofertilizers of PGP microbes has gained significant popularity. It is a proven fact that co-inoculation of AMF and PGPR under drought stress can induce several beneficial changes in plants. Some studies have reported that co-inoculation of more than one AMF species with bacterial inoculants can significantly promote plant growth in addition to alleviating the drought stress. For instance, co-inoculation of G. mosseae and G. intraradices along with *Bacillus* sp. alleviates drought responses in *Lactuca sativa* (Vivas et al. [2003\)](#page-290-0). Consortium of Claroideoglomus etunicatum, R. intraradices, and F. mosseae inoculated with B. subtilis alleviates salt stress impact on Acacia gerrardii (Hashem et al. 2016), while a consortium of B. thuringiensis, Bacillus megaterium, and Pseudomonas putida inoculated with single R. intraradices sp. also enhances plant growth under drought stress (Ortiz et al. [2015](#page-289-0)). Compost-amended substrate inoculated with AMF Glomus iranicum in micropropagated date palm plantlets (cv. Feggous) revealed increased biomass, chlorophyll, and mineral nutrient contents than plantlets transplanted into compost-amended substrate or without compost amendment (El Kinany et al. [2019\)](#page-285-0). Combination of biochar and AMF C. etunicatum (syn. G. etunicatum), Rhizophagus irregularis (syn. G. intraradices), and F. mosseae (syn. G. mosseae) enhanced the growth of chickpea by improving synthesis of chlorophylls, rate of photosynthesis, membrane stability index (MSI), and relative water content (RWC); it also enhanced the nitrogen fixation ability of Cicer arietinum. Plants may have considerably contributed to growth promotion and the superior drought tolerance by retaining the optimal concentration of amino acids and other stress-responsive metabolites (Hashem et al. [2019](#page-286-0)). Organic compost

applied in combination with the inoculation of a native AMF synergistically promoted the growth of Anthyllis cytisoides in a neutral mine tailing under field conditions (Kohler et al. [2015](#page-287-0)). Organic compost amendment enhances soil nutrient status, stimulates mycorrhiza formation, enhances soil microbial function and soil enzyme activity, thereby inducing the nutrient turnover and plant performance. Glomus hoi applied as liquid inoculum form in $Oryza sativa L$ promoted growth and development and improved the production of rice plants under saline conditions. Liquid inoculum also reduced the detrimental effect of salinity on AMF-like mycorrhizal colonization, and decreased the ectophyte growth in soil (Fernández et al. [2011\)](#page-285-0). Liquid inoculum of AMF also increased the size and number of fruits, especially under deficit irrigation or low nitrogen input condition (Robinson Boyer et al. [2016](#page-289-0)).

8.7 Future Perspectives on AMF-Based Strategies for Agricultural Sustainability

Owing to their multifaceted function in agricultural systems, AMF are gaining significant popularity at grassroots level. Consequently, there is constantly increasing demand for AMF-based formulations. However, there is still a dearth of knowledge particularly with respect to the appropriate dosage, propagule density, optimization-related issues for varying agro-climatic conditions, post-inoculation survival, establishment and function of AMF under different biogeochemical and environmental regimes, etc. Further, a regulatory framework to determine quality standards of AMF-based products is yet to be formulated. Finally, there remains a huge scope for exploitation of new AMF strains from diverse natural habitats for promoting plant performances. Chronically stressed habitats can serve as excellent resources of resilient AMF diversity. Extensive use of mycorrhizal inocula in agriculture remains challenging due to their cost, variability in terms of quality, responses on plants, and incompatibility with high available P levels in soils under certain circumstances. Further, there is a need to develop the AMF products that are compatible with rhizospheric microbial communities and are tolerant to biotic and abiotic stresses. Although different types of combinations and amendments are already studied, there is a huge scope for optimization of AMF, crop, associated soil microbial communities, and soil physicochemical properties. Thus, there is a critical need to evaluate the AMF-plant-soil microbial response triangle and its importance in alleviation of abiotic and biotic stresses.

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Role of Metabolites Produced by Plant Growth-Promoting Bacteria in Biocontrol of Phytopathogens Under Saline Conditions

Tahmish Fatima, Priyanka Verma, Sushma Verma, Burak Alaylar, and Naveen Kumar Arora

Abstract

Soil salinity has continuously degraded the quality and quantity of crops and has challenged their health and defense mechanisms. Although relationship between salinity and biotic stress is poorly understood, yet due to negative impact of salts on plants, they become susceptible to diseases. Limiting the use of agrochemicals, salt-tolerant plant growth-promoting rhizobacteria (ST-PGPR) are emerging as potential replacements for use against combinatorial stresses such as salinity and pathogens. Under combinatorial stress, i.e., salt and biotic, ST-PGPR produce an array of metabolites which directly act upon the pathogens and also induce a number of molecular and signaling pathways activating a two-way defensive response. Siderophores, antibiotics, volatile organic compounds, and biosurfactants are among the metabolites produced by PGPR for suppressing the phytopathogens. Apart from these, PGPR initiate induced systemic resistance and resultantly protect and prepare the plants against the diseases. With dual role against salinity and pathogens, PGPR produce various metabolites such as exopolysaccharides, osmoprotectants, and antioxidants and initiate nutrient chelation and biofilming activity, thereby improving the cropping system. Quorum sensing and quorum quenching are also initiated by PGPR to

T. Fatima · S. Verma

P. Verma \cdot N. K. Arora (\boxtimes)

B. Alaylar

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Department of Environmental Microbiology, School of Earth and Environmental Sciences, Babasaheb Bhimrao Ambedkar University, Lucknow, Uttar Pradesh, India

Department of Environmental Science, School of Earth and Environmental Sciences, Babasaheb Bhimrao Ambedkar University, Lucknow, Uttar Pradesh, India

Department of Molecular Biology and Genetics, Faculty of Arts and Sciences, Agri Ibrahim Cecen University, Agri, Turkey

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limit the negative impact of salinity and pathogens and promote plant growth. Therefore, ST-PGPR exhibiting combinatorial defense mechanisms can be applied as stress relievers, antagonists, and biofertilizers to saline pathogeninfested soils and improve the cropping system by maintaining agricultural sustainability.

Keywords

Salt-tolerant plant growth-promoting rhizobacteria · Biocontrol · Antibiotics · Exopolysaccharides · Biosurfactants · Nutrient chelation

9.1 Introduction

The increasing human population along with the declining soil fertility and agricultural productivity has affected the global food security. Salinity stress has been a hassling issue, and about 20% of total agricultural lands are affected by the stress which is continuously increasing (Gupta and Huang [2014\)](#page-321-0). Currently, almost 1 billion ha of land across the globe is known to be degraded by salinity stress (Arora et al. [2018\)](#page-319-0). Saline soils are characterized by EC (electrical conductivity) > 4.0 dS/m along with low water holding capacity (WHC), structural instability, poor filtration rate, reduced nutrient content, and loss of beneficial microbes (Tanji [2002](#page-327-0); Fatima and Arora [2019](#page-321-0); Arora et al. [2020](#page-319-0); Sunita et al. [2020](#page-327-0)). Among the accumulated salts, NaCl causes the highest level of toxicity and growth retardation in plants. Under saline conditions, the plants are exposed to physical and biochemical challenges including osmotic imbalance, impaired nitrogen fixing ability, reduced uptake of water and nutrients, inhibition of seed germination, cell flaccidity and plasmolysis, stomatal closure, and ethylene stress (Arora et al. [2016\)](#page-319-0). The increasing risks of climate change and the resultant abiotic stresses also expose plants to biotic stresses. The complex interaction between the adverse environmental factors, phytopathogens, and plants is often referred as "disease triangle" (Pandey et al. [2017\)](#page-324-0). Salinity is reported to aggravate incidence of powdery mildew (by Botrytis cinerea) in Solanum habrochaites (Kissoudis et al. [2015](#page-322-0), [2016](#page-322-0)), wilt (by Fusarium oxysporum) in Solanum lycopersicum (Siddique et al. [2019](#page-326-0)), charcoal rot (by Macrophomina phaseolina) in chick pea and sunflower (Khare et al. [2011;](#page-322-0) Tewari and Arora [2014\)](#page-327-0), crown rot disease (by Fusarium culmorum) in wheat (Albdaiwi et al. [2019](#page-318-0)), and Fusarium sp., Verticillium, and Phytophthora in potato (Nachmias et al. [1993](#page-324-0); Grossi et al. [2020](#page-321-0)). Strange and Scott [\(2005](#page-327-0)) reported that about 10–20% of global agricultural productivity is affected by phytopathogens. The scenario of disease incidence is worse in arid and semi-arid areas. Egamberdieva et al. ([2011\)](#page-320-0) reported that 17% of the cucumber grown in saline soils of Uzbekistan were infected with *Fusarium solani*. The disease incidence was noticed to enhance proportionally from 40 to 93% when salinity increased from 0.01 to 5 dS m^{-1} (Al-Sadi et al. [2010\)](#page-319-0).

To overcome the increasing pathogenicity in plants along with climatic adversity, farmers are forced to make use of chemicals pesticides. But this, in turn, further aggravates the problem of soil salinity and degradation, challenging agricultural sustainability. Thus, there arises the urgent need of biological solutions to combat the multiple stresses faced by the plants. Plant growth-promoting bacteria/ rhizobacteria (PGPB/PGPR) association in the soil and rhizoplane are reported as efficient biostimulants which can reduce the pathogenicity in plants and improve the growth parameters in a hyperosmotic surrounding (Arora et al. [2018\)](#page-319-0). Biological control under salinity is dependent on the secondary metabolites produced by salttolerant PGPR (ST-PGPR) which are responsible for plant growth promotion and reduction in severity against phytopathogens under biotic and abiotic stresses (Mishra and Arora [2018](#page-323-0)). ST-PGPR can act as biocontrol agents and can even mitigate salt stress through various mechanisms (Table [9.1](#page-295-0), Fig. [9.1](#page-301-0)). These include direct action against the phytopathogenic virulence factors by synthesis of secondary metabolites such as antibiotics, phenolic compounds, siderophores, lytic enzymes, volatile organic compounds (VOCs), exopolysaccharides (EPS), and biosurfactants (Haas and Défago [2005;](#page-321-0) Suryadi et al. [2019\)](#page-327-0). The indirect mechanism of action involves increasing the competition for nutrients and space by chelating the necessary micro- and macronutrients such as nitrogen (N), phosphorous (P), potassium (K), iron (Fe), and zinc (Zn) and establishing a healthy PGPR-plant rhizopheric association (Kumar et al. [2012\)](#page-323-0). PGPR also enhance the innate and acquired immunity of plants (induced systemic resistance/systemic acquired resistance

(ISR/SAR), by stimulating various distal and local immunity responses through activation of jasmonic acid and ethylene pathways (Nurnberger et al. [2004\)](#page-324-0). At present various antifungal metabolites of ST-PGPR including siderophores, hydrogen cyanide (HCN), antibiotics, and VOCs are being utilized to control disease incidences in economically significant crops (Timmusk et al. [2019](#page-328-0)). The action of ST-PGPR involves two-way response mechanisms in multi-stressed plants: firstly, by limiting the infection in plants and secondly by promoting the growth of plants against the odds of salinity. Performing the latter function, these beneficial microbes enhance synthesis of phytohormones such as indole acetic acid (IAA), gibberellic acid (GA), chelation of nutrients, accumulation of osmoprotectants, antioxidants, and synthesis of ACC deaminase to support plant growth under salinity stress (Fatima and Arora [2019](#page-321-0)).

In the current scenario of climate change and global warming, the microbial technology can be used to overcome the problems of agro-productivity to diseases and salinity in an ecofriendly manner. However, to avail all the beneficial factors of ST-PGPR, a deeper knowledge about the spectrum of antifungal metabolites and their functionality under salt stress is required to be elucidated.

(continued)

Fig. 9.1 The dual role of ST-PGPR in inducing salinity tolerance and biocontrol of phytopathogens in plants under combinatorial stress and the associated metabolites involved

9.2 Role of PGPR Metabolites Involved in Suppression of Phytopathogens

The increasing risk of disease incidence in plants under saline conditions also induces various responses by rhizospheric microbes which lead to the exclusion of phytopathogens. The exogenous application of microbial biostimulants protects the plants through various mechanisms involved in synthesizing broad spectrum of secondary metabolites. Table [9.2](#page-302-0) illustrates various ST-PGPR which are reported in biocontrol of pathogens in different plants and the associated mechanisms involved. The following section describes the metabolites and mechanisms of PGPR involved in suppression of phytopathogens specifically under saline conditions.

9.2.1 Nutrient Competence

The severity and growth of phytopathogens are primarily dependent upon nutrient uptake. Majority of the pathogens spend a larger span of their life cycle in soil and thereby survive independent of the host. In this significant life stage, pathogens feed

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Table 9.2 (continued)

on soil nutrients and plant exudates to extend their virulence factors and ensure their survival, and then they multiply to a threshold population sufficient enough to cause infection in plants (Köhl et al. [2019](#page-322-0)). Pal and Gardener [\(2006](#page-324-0)) reported that phytopathogenic fungal species including Fusarium and Pythium mainly infect the plant through mycelial contact instead of sporulating and germinating on plant necrotic tissues. Therefore, these phytopathogens are susceptible to nutrient chelation by beneficial microbes reducing their chances of infection. In saline soils although the nutrient-deficient conditions impose some threat to the pathogens, their efficient salt-tolerance mechanisms in a way support their growth and proliferation. However, increasing nutrient competence by using microbial biocontrol agents could be a significant call in reducing the population of pathogens as well as lowering their communication with plants. Negating involvement of virulencemitigating factors (such as antibiotics, volatiles), the nutrient competence mode of action has to be further explored at epidemiology level to illustrate how each nutrient's deficiency impacts the stages of pathogen development. Potential ST-PGPR with remarkable nutrient chelation property stimulate distal and local substrate competition in soil excluding the phytopathogens (Kessel et al. [2005\)](#page-322-0). The competition for carbon, Fe along with limited N content is the key action of biocontrol agents to initiate resource capturing (Spadaro and Droby [2016](#page-327-0)). PGPR stimulate production of various secondary metabolites, enzymes, and organic/inorganic acids to ensure chelation of micro- and macronutrients. Primarily, these microbes very efficiently colonize the hotspots of nutrient cycling in plants such as exit points of secondary roots, damaged epidermal cells, and nectaries and directly take up water- and carbon-containing nutrients also feeding upon root mucilage (Pal and Gardener [2006](#page-324-0)).

Nitrogen, the most limiting nutrient in saline soil, is highly essential for phytopathogens for their survival and pathogenicity. As a universal fact, N improves plant structure and development even under environmental stresses such as salinity. It has been suggested that due to improvement in plant canopy structure, the disease susceptibility and survival chances of phytopathogens under salinity (or other abiotic stresses) increase (Tavernier et al. [2007](#page-327-0); Mur et al. [2017](#page-324-0)). Correlatively, Robert et al. [\(2002](#page-325-0)) found that wheat plants with low nutrient content showed 70% lesser spore production by rust fungus Puccinia triticina. These stats suggest that plant has to compromise its nutrient status in order to protect from pathogens. However, this cannot be the ultimate solution, and therefore there is the need of an intermediate link which could provide nutrients to the plant through intimate association while still excluding the chances of colonization by these phytopathogens. The symbiotic nitrogen fixation between legume and rhizobia can be considered as the most potential association to exclude infection of pathogens. This particular interaction is governed by a specific "entry molecule," i.e., lipochitooligosaccharides (LCOs) (Nod factors) which are produced by rhizobia after receiving root exudate signals (flavonoids) (Checcucci et al. [2017\)](#page-320-0). Therefore, the application of such symbionts would not only improve the nutrient status of plants under salt stress but would also help in excluding the colonization of phytopathogens (which lack these LCOs) and even deprive them of N content. LCOs have also been reported as elicitors of systemic resistance in plants (Limpens et al. [2015](#page-323-0)). Apart from these symbionts, free-living diazotrophic nitrogen fixers have also been reported in saline soil which efficiently mitigate salt toxicity in plants and protect them from disease incidence. Rodríguez et al. ([2020\)](#page-325-0) declared salt-tolerant Pseudomonas segetis strain P6 as a potential biocontrol agent on the basis of their ability to fix nitrogen, assimilate Fe and P and possession of quorum quenching (QQ) activity. The biopriming of tomato plants with the isolate showed protection against Pseudomonas syringae pv. tomato. Príncipe et al. [\(2007](#page-325-0)) isolated biocontrol and PGP native strains from saline soil of Argentina. The isolates identified as Bacillus sp. were able to grow up to 1M NaCl and possessed nitrogen-fixing, IAA-producing, and phosphate-solubilizing abilities along with the antifungal activity. The strains successfully inhibited Sclerotinia sclerotiorum, Sclerotinia minor, Sclerotium rolfsii, Fusarium verticillioides RC 2000, F. solani, Fusarium proliferatum RC 479, and Fusarium graminearum RC 664. Explaining the role of LCOs in salinity tolerance and biocontrol, Subramanian et al. [\(2016a](#page-327-0)) reported that soybean seeds treated with LCO and exposed to 80 mM and 100 mM NaCl stimulated signals of carbon, N, and energy metabolic pathways and also showed upregulation of genes including production of antioxidants and pathogenesis-related PR5 protein expression. Additionally, in non-legumes LCO induced enod genes expression which stimulated synthesis of chitinase, PR proteins, and antioxidant enzymes such as peroxidase and L-phenylalanine ammonia-lyase (PAL) (Schultze and Kondorosi [1996,](#page-326-0) [1998;](#page-326-0) Inui et al. [1997](#page-322-0); Wang et al. [2012\)](#page-328-0). Subramanian et al. ([2016b\)](#page-327-0) also proved the concept by reporting the expression of the above genes and metabolites in salt stressed LCO inoculated Arabidopsis thaliana plants, confirming the role of LCO in biocontrol.

Another limiting factor for the growth of phytopathogens includes the availability of Fe. Several phytopathogens have higher requirement of Fe than plants, and the chelation decides their severity of disease incidence and development of symptoms. Therefore, Fe is the prime requirement of pathogens as compared to other micronutrients such as manganese (Mn), copper (Cu), and boron (B), and while the former can be either beneficial or cause of infection in the host, the latter only benefit the host (Dordas [2008](#page-320-0)). In saline soil, high concentration of bicarbonates immobilizes Fe, and alkaline pH leads to oxidation of soluble ferrous to insoluble ferric (Arora et al. 2020), and the element concentration may be as low as 10^{-18} M (Lindsay [1979\)](#page-323-0). Under this Fe-deficient environment, no microbes can survive, and thus salt-tolerant PGPR initiate the production of low molecular weight secondary metabolites, i.e., siderophores to approach the minimum levels of 10^{-6} M supporting their growth (Pal and Gardener [2006\)](#page-324-0). Domiciling these high affinity Fe chelating siderophore-producing ST-PGPR helps to sequester the element from saline environment, and this renders the pathogens devoid of the necessary micronutrient. The production of siderophores also enhances the growth of plants under nutrient scant conditions of salinity, and these low molecular weight metabolites are even reported as elicitors of ISR in plants (Rosier et al. [2018\)](#page-325-0). Tortora et al. ([2011,](#page-328-0) [2012](#page-328-0)) demonstrated that Azospirillum brasilense strain REC2 and REC3 colonizing the rhizosphere of strawberry produced siderophore under iron-limiting conditions. The chemical composition revealed category of catechol-type siderophore which triggered ISR in plants and showed antifungal activity against Colletotrichum acutatum M11. Albdaiwi et al. ([2019\)](#page-318-0) found that halotolerant strains belonging to genera Bacillus, Oceanobacillus, and Halomonas, isolated from extremely saline environment of the Dead Sea region, showed significant PGP properties including siderophore production. The isolates were also able to inhibit the growth of phytopathogen F. culmorum.

In addition to primary role of N and Fe deficiency in exclusion of phytopathogens, other micro- and macronutrients are also chelated by PGPR; such as P, K, and Zn. However, their exact role in suppressing pathogens is not clear. May be the improved growth of plants by uptake of these elements could play important role in exaggerating the defense responses and maintain the health of the plant (Khare and Arora [2021\)](#page-322-0). Phosphorous, being an important constituent of nucleic acids (DNA and RNA), phospholipids, phosphoproteins, enzymes, and energy-rich compounds (ATP, ADP), is required for survival of plants, PGPR, as well as pathogens (Prakash and Arora [2019](#page-325-0)). Therefore its direct chelation by potential phosphate-solubilizing bacteria (PSB) would restrict the essential metabolic processes in pathogens. Another mechanism by Rasul et al. ([2019\)](#page-325-0) proposes that PSB mainly produce organic acids for nutrient assimilation, and these acids also induce antimicrobial and antifungal activity protecting the plant from unwanted associations. The application of P was able to suppress bacterial leaf blight in rice, pod, and stem blight in soybean, downy mildew, blue mold, brown stripe disease in sugarcane, leaf curl virus disease in tobacco, blast disease in rice, and yellow dwarf virus disease in barley (Potash and Phosphate Institute (PPI) [1988;](#page-324-0) Reuveni et al. [1998,](#page-325-0) [2000](#page-325-0); Huber et al. [1999](#page-321-0); Kirkegaard et al. [1999\)](#page-322-0). Potassium is another very important element to mitigate the salt toxicity in plants, establishing osmotic balance and regulating various biochemical processes such as stomatal closure (Arora et al. [2020\)](#page-319-0). With respect to biocontrol, K induces the thickening of plant cell wall protecting against the entry of phytopathogens (Dordas [2008](#page-320-0)). Therefore, the combined activity of K chelation helps the plant to respond efficiently in presence of phytopathogens and salt stress. Gao et al. ([2018\)](#page-321-0) found that K application suppressed Heterodera glycines in soybean by the release of cinnamic, ferulic, and salicylic acids and antioxidant enzymes. However, Davis et al. [\(2018](#page-320-0)) report that the exact relationship between K accumulation in plants and disease incidence is more or less species dependent. Among the micronutrients, Zn is also required to extend the plant defense strategies against diseases. Along with its well-established role as catalyst and protein co-factor, Zn also initiates production of antioxidant enzymes (Khederi et al. [2018](#page-322-0); Cabot et al. [2019\)](#page-319-0), activation of Znf gene (required to provide resistance against pathogens) (Wang et al. [2017](#page-329-0)), and metallothionein expression (MT; develops disease-resistant plant varieties) (Ćalić et al. [2017](#page-319-0)) in plants. Wadhwa et al. [\(2014](#page-328-0)) showed that exogenous application of Zn to cluster bean reduced the disease incidence by Rhizoctonia through upregulation of PAL and tyrosine ammonia-lyase (TAL) synthesis, which further regulated the phenylpropanoid metabolism and performed defense-related functions. However, in the absence of Zn solubilizing bacteria, the availability of nutrients can benefit the pathogenic fungi in establishing and enhancing their pathogenicity, which is also the case for P and

K. Henceforth, beneficial salt-tolerant biocontrol agents are domiciled in the rhizosphere to capture the essential nutrients before the pathogens take advantage. There are several reports addressing potent nutrient-solubilizing ST-PGPR candidates, but their direct or indirect role in reducing pathogenicity is lesser explored. Paul and Nair [\(2008](#page-324-0)) demonstrated that salt-tolerant biocontrol strain Pseudomonas fluorescens MSP-393, isolated from saline coastal ecosystems, showed various mechanisms of stress mitigation along with strategies for exclusion of pathogens. The isolate produced toxins and even had efficient nutrient chelation property to increase resource competition under saline conditions. Similarly, Sadfi-Zouaoui et al. [\(2008](#page-326-0)) suggested that the biocontrol activity of halotolerant Bacillus sp. against gray mold disease on tomato fruits was mainly due to the production of antifungal compounds and nutrient competence. Abdelshafy-Mohamad et al. ([2020\)](#page-318-0) studied the endophytic microbial population of medicinal plant *Thymus vulgaris* growing in naturally arid regions of Egypt. The study found that salt-tolerant Bacillus and *Enterobacter* strains showed biocontrol activity against F . *oxysporum* in tomato plants under salt stress. The biocontrol mechanism reported by the strains included production of antifungal compounds and chelation of Fe, K, Zn, and P, thereby minimizing the chances of pathogen survival under extreme conditions of salinity.

9.2.2 Antibiosis

Antibiosis is the most popular mechanism of root-colonizing rhizobacteria to fight against phytopathogens (Haas and Défago [2005](#page-321-0); Arseneault and Filion [2017\)](#page-319-0). Antibiosis is governed by production of low molecular weight compounds called antibiotics involved in biocontrol against various bacterial and fungal phytopathogens and plant parasitic nematodes (Beneduzi et al. [2012](#page-319-0)). Pseudomonads and Bacillus are well-known and extensively studied biocontrol agents because of production of wide range of antibiotics including pseudomonic acid, phenazine, pyoluteorin, pyrrolnitrin, pyoverdine, pyocyanin, 2,4-diacetylphloroglucinol (DAPG), cyclic lipopeptides, mycobacillin, surfactin, and subtilin (Gupta et al. [2015](#page-321-0); Singh et al. [2017](#page-327-0); Suryadi et al. [2019\)](#page-327-0). Among these the most widely reported antibiotic compounds for disease management include phenazines, DAPG, pyrrole compounds (e.g., pyoluteorin and pyrrolnitrin), and cyclic (lipo-) peptides (Shameer and Prasad [2018](#page-326-0)). In a study, Egamberdieva et al. [\(2017](#page-320-0)) found that Pseudomonas chlororaphis TSAU13 and Pseudomonas extremorientalis TSAU2 efficiently colonized the roots of tomato, promoted plant growth, and inhibited the growth of F. solani (causing foot and root rot disease in plants) under saline conditions. *Pseudomonas* sp. has been reported to produce antibiosis-related compound pyocyanin along with other PGP traits such as IAA and siderophores, which reduced the infection of M. phaseolina causing charcoal rot disease in sunflower under salt stress (Khare and Arora [2011;](#page-322-0) Tewari and Arora [2016\)](#page-328-0). Pseudomonas spp. MCC 3145 isolated from rhizospheric zone of rice was found to be very effective in biocontrol of major phytopathogens in mung bean (Vigna radiata). The coated seeds showed significant production of secondary

metabolites responsible for antibiosis including phenazine (phenazine-1-carboxylic acid) and biosurfactant under salt and heavy metal stress conditions (Patil et al. [2017\)](#page-324-0). P. extremorientalis TSAU20 showed significant results in control of damping off disease caused by Rhizoctonia solani in the seedlings of cotton plants growing under saline conditions (Egamberdieva and Jabborova [2013](#page-320-0)). Wang et al. [\(2015](#page-328-0)) reported salt-tolerant PGP strains including Pseudomonas protegens, P. chlororaphis subsp. aureofaciens, and Serratia plymuthica containing DAPGproducing genes (phlD, prnD, pltC, or $phzF$) capable of inhibiting growth of R. solani and S. sclerotiorum under saline conditions. Similarly, Rangarajan et al. [\(2003](#page-325-0)) elucidated antibiosis activity by Pseudomonas sp. strains against rice pathogens including Xanthomonas oryzae pv. oryzae and R. solani causing leaf and sheath blight, respectively, under saline conditions. Barriuso et al. [\(2008](#page-319-0)) isolated salt-tolerant strains of Bacillus sp. strain L81 and Arthrobacter oxidans strain BB1 and elucidated their role in biocontrol against foliar pathogen P. syringae as well as enhanced salt tolerance in A. thaliana.

9.2.3 Lytic Enzyme Production

Another mechanism involving biocontrol against phytopathogens by PGPR includes production of lytic/hydrolytic enzymes. These enzymes are capable of degrading organic components present in cell wall of phytopathogens and use them as carbon source. Chitinase, dehydrogenase, β -1,3 glucanase, lipase, phosphatase, and proteases are some of the important enzymes secreted by PGPR in response to the onset of biotic stress (Hayat et al. [2010;](#page-321-0) Kumar et al. [2020\)](#page-323-0). Mechanism of action involves breakdown of glyosidic bonds present in polysaccharides of pathogen cell wall structure, inhibiting the growth of fungal hyphal tips and germ tubes and causing partial swelling in the hyphae (Jadhav et al. [2017](#page-322-0)). Mohamed and Gomaa [\(2012](#page-323-0)) found that Bacillus subtilis and P. fluorescens could be very effective in growth promotion of radish (Raphanus sativus) and biocontrol through the production of lytic enzymes even under saline conditions. According to Tewari and Arora [\(2016](#page-328-0)), production of HCN, IAA, siderophore along with chitinase and $β-1,3$ -glucanase activities by *Pseudomonas* sp. PF17 was helpful in degrading cell wall and inhibiting *M. phaseolina* mycelial growth, thereby stimulating the productivity of sunflower under saline conditions. Salt-tolerant strains of Streptomyces (C and S2) were found to be effective in protecting sugar beet from root rot-causing phytopathogens, viz., R. solani AG-2, F. solani, and Phytophthora drechsleri by production of cell wall-degrading lytic enzymes both under saline and non-saline conditions (Karimi et al. [2012\)](#page-322-0). P. chlororaphis-66 and Mesorhizobium ciceri-4 have been reported for antifungal activity against several phytopathogenic fungi (F. oxysporum f. sp. ciceris, F. verticillioides, R. solani, F. solani, Alternaria alternata), protecting chickpea by producing hydrolytic enzymes under saline conditions (Shurigin et al. [2018](#page-326-0)). Ochrobactrum pseudogrignonense isolated from the rhizospheric zone of Imperata cylindrical (halophyte) showed upregulation of genes associated with the synthesis of lytic

and antioxidant enzymes against salinity. These enzymes played significant role in protection of plants by inducing resistivity and improving ability of plants to survive under salt-stressed conditions (Chakraborty et al. [2019\)](#page-319-0).

9.2.4 Induced Systemic Resistance (ISR) and Systemic Acquired Resistance (SAR)

Defense mechanisms play a very significant role in disease management through activation of various reactions which improves the immunity and growth of plants. The internal defense mechanisms in plants including induced systemic resistance (ISR) and systemic acquired resistance (SAR) are stimulated by PGPR in response to biotic and abiotic stresses. ISR is mainly governed by ethylene (ET) and jasmonic acid (JA) signaling pathways (Sharma et al. [2019\)](#page-326-0). Application of PGPR has been significant in triggering numerous defense reactions including stimulation of phytohormones, expressing the genes encoding defense-related proteins, enhancement in the rigidity of cell wall, production of antioxidants, phosphorylation mechanisms, and deposition of callose for the protection of plant against various phytopathogens (Nurnberger et al. [2004](#page-324-0); Van Loon and Bakker [2005](#page-328-0)). Interestingly, due to triggering of ISR the ET level in plants shoots up which could hinder their growth and productivity (Glick et al. [2007](#page-321-0)). Therefore, under such stressed conditions, beneficial microbes produce ACC deaminase (ACCD) enzyme to reduce the level of ethylene while still initiating ISR (Romera et al. [2019\)](#page-325-0). This complex signaling and maintenance of ET balance in plants by PGPR is a fascinating area which could unravel many interrelated pathways and molecular ambiguities involved in stress mitigation. PGPR produce a number of signaling molecules to communicate with biotic/abiotic or combinatorial stressed plants and resultantly initiate the ISR responses (Arora et al. [2020\)](#page-319-0). These signaling molecules include N-acyl homoserine lactones (AHLs), VOCs, and cyclodipeptides (CDPs) (Rosier et al. [2018](#page-325-0); Hartman et al. [2019\)](#page-321-0). AHLs play prominent role in the expression of genes related to the elicitation of ISR through quorum sensing (Viswanath et al. [2016\)](#page-328-0). The complex signaling by AHL not only induces ISR against pathogens but is also responsible for triggering induced systemic tolerance (IST) in salt-stressed plants (Yang et al. [2009\)](#page-329-0). Pang et al. [\(2009](#page-324-0)) observed that regulation of AHL signaling mediated by S. plymuthica HRO-C48 increased the resistivity in plants against Pythium aphanidermatum and B. cinerea. Additionally, categorizing the ISR elicitors, many molecules often referred as MAMPs (microbial-associated molecular patterns) include lipopolysaccharides (LPS), EPS, siderophores, biosurfactants, antibiotics such as DAPG, lipopeptides, pyocyanin, and pseudobactins are also responsible for imparting disease resistivity (Han et al. [2016](#page-321-0)). Therefore, most of these metabolites which are produced by PGPR under salt stress can serve the dual role of ISR as well as IST in plants. Rhizospheric bacterial strain of Stenotrophomonas maltophilia SBP-9 isolated from Sorghum bicolor showed PGP traits such as IAA, ACCD, GA, siderophore production, and phosphate solubilization. These traits were found helpful in growth promotion of plant and also induced

systemic resistivity and salt tolerance in wheat crop (Triticum aestivum L.) (Singh and Jha [2017\)](#page-327-0). Many studies have reported the role of Pseudomonas, Bacillus, and Rhizobium strains in elicitation of ISR which is found efficient in the protection of plants from phytopathogens prior to infection (Elbadry et al. [2006;](#page-320-0) Choudhary and Johri [2009](#page-320-0); Kumar et al. [2018\)](#page-323-0). Singh and Jha ([2016\)](#page-327-0) observed that PGPR Serratia marcescens CDP-13 decreased the infectivity of various fungal pathogens by inducing systemic resistance along with improvement of salt tolerance in wheat (T. aestivum L.). A halotolerant PGPR, Klebsiella spp. strain MBE02 was found responsible for expression of genes associated with ISR through activation of JA and ET signaling pathways. The strain was effective in inducing disease resistance against the fungal pathogens Aspergillus niger and Aspergillus flavus in peanut (Sharma et al. [2019](#page-326-0)). Bacillus vallismortis BS07M strain was found effective against biotic stress by triggering ISR in cabbage against soft rot pathogen *Pectobacterium* carotovorum along with the upregulated expression of salinity response gene P5CS (Park et al. [2016](#page-324-0)).

SAR is related to signaling of phytohormones and other protective compounds at the site of infection, and these signals induce resistance in plants to cope with biotic and abiotic stresses. These signals are generated at the primary site of infection and then are transported to the whole plant by phloem, hence improving the defense system of the plant (Shine et al. [2019\)](#page-326-0). Salicylic acid (SA) is the natural phenolic compound and phytohormone which improves resistivity of plants against phytopathogens and also supports growth of plant in salinity stress (Van Loon [2007\)](#page-328-0). SA also plays vital role in regulation of NPR1, NPR3, and NPR4 proteins inducing the signaling of SAR in stressed plants (Ali et al. [2018\)](#page-319-0). Notably, Sticher et al. [\(1997\)](#page-327-0) found loss of SAR in *npr1* mutant transgenic NahG plants which were unable to accumulate SA. The increasing level of SAR induction in plants is correlated with higher accumulation of pathogenesis-related proteins (PRs) and expression of gene encoding PR-1 proteins (De Vleesschauwer and Höfte [2009\)](#page-320-0). Although SAR is mainly initiated by pathogens, PGPR can indirectly regulate the pathways through upregulation of SA synthesis in plants under abiotic and biotic stresses (Vallad and Goodman [2004](#page-328-0); Kang et al. [2014\)](#page-322-0). In A. thaliana, two PGPR, i.e., *Bacillus* sp. strain L81 and A. *oxidans* strain BB1 isolated from *Pinus* spp., were found to be responsible for inducing SAR through signaling of SA-dependent pathway. The resultant PR activation genes helped in defending against the foliar pathogen P. syringae DC3000 under salinity (Barriuso et al. [2008\)](#page-319-0). An endophytic strain of *Pseudomonas aeruginosa*, when inoculated in combination with neem cake (Azadirachta indica), increased the SA content and thereby mediated SAR mechanisms, inducing tolerance against M. phaseolina and salinity in cotton (Rahman et al. [2016](#page-325-0)). SA along with EPS-producing isolate P. aeruginosa PF23EPS⁺ also remarkably reduced the pathogenicity of M. phaseolina under high salt concentrations in sunflower crop (Tewari and Arora [2018](#page-328-0)).

9.2.5 Other Mechanisms

Biological control of plant diseases by PGPR has been a notable alternative for chemical inputs in agriculture. Apart from the above mentioned secondary metabolites, PGPR can also involve other mechanisms and metabolites to combat phytopathogens in presence of salt stress. The dual activity of salt tolerance and biocontrol by ST-PGPR tremendously helps in increasing plant growth and productivity. This section discusses other metabolites and their possible mechanisms of action that are reported to combat phytopathogens in presence of salt stress.

9.2.5.1 Antioxidant System

In salt stress conditions, the generation of reactive oxygen species (ROS) peaks due to high buildup of sodium ions, and thereby it causes alterations in redox state, membrane-bounded protein denaturation, DNA deterioration, reduction in membrane fluidity, variations in protein formation, and impairment in cell homeostasis devastating cellular system and lastly results in cell death (Halo et al. [2015\)](#page-321-0). A number of enzymatic as well as non-enzymatic antioxidants are able to degrade ROS and are reported to favorably augment the endurance level of oxidative stresses in plants. Inoculation of Enterobacter spp. in tomato seedling under salt stress conditions showed high ascorbate peroxidase (APX) activities (Sandhya et al. [2010\)](#page-326-0). Catalase (CAT) and superoxide dismutase (SOD) activities were also reported to be amplified in gladiolus plant by application of PGPR inoculants (Damodaran et al. [2013;](#page-320-0) Kim et al. [2014\)](#page-322-0). Apart from salt stress tolerance, PGPR also suppress the oxidative damage due to plant diseases with the aid of antioxidant enzymes, e.g., SOD, CAT, glutathione peroxidase (GPX), glutathione S transferase (GST), peroxidase, ascorbate oxidase, and polyphenol oxidase (PPO) (Starlin and Gopalakrishnan [2013\)](#page-327-0). Egamberdieva et al. ([2017\)](#page-320-0) found that salt-tolerant P. chlororaphis TSAU13 and P. extremorientalis TSAU20 strains enhanced the antioxidant activity along with increased accumulation of proline in tomato under saline conditions. The strains also acted as biocontrol agents against the foot and root rot of tomatoes caused by *F. solani* extending their dual mechanism in plant growth promotion. The study correlated the fact that antioxidant systems help in extending the plant defense machinery. A study by Meena et al. [\(2000](#page-323-0)) summarizes that various defense enzymes including PAL, PPO, and peroxidase (PO) are associated with induction of systemic resistance against phytopathogens. PAL and PO are related to biosynthesis of phytoalexins and phenolics (Daayf et al. [1997\)](#page-320-0); PO and PPO are directly related to oxidative damage and lignification of host plant cells leading to restriction of disease incidence (Silva et al. [2004](#page-327-0)). Singh and Jha [\(2017](#page-327-0)) confirmed the concept by reporting that salt-tolerant S. maltophilia SBP-9 strain promoted growth of S. bicolor under salinity, and the ability of the bacteria to produce β-1,3 glucanase, PAL, PPO, and PO inhibited the fungal pathogen F. graminearum.

9.2.5.2 Volatile Organic Compounds (VOCs)

Microorganisms living in the rhizosphere also produce VOCs for signaling and establishing plant-microbe associations. VOCs are organic lipophilic fluids possessing high vapor pressure and are known to be responsible for communication between two or more organisms via signal transduction (Schulz-Bohm et al. [2017\)](#page-326-0). The wide spectrum of VOCs produced by PGPR include short-chain aliphatic aldehydes, esters, alcohols, organic acids, ethers, ketones, sulfur compounds, and hydrocarbons (Vaishnav et al. [2017\)](#page-328-0). The level, composition, and nature of VOCs produced by microbes varies according to the rhizospheric conditions, environmental stimuli, and even species of microbes. VOCs are actually multifarious molecules with strong ability to sustain salt tolerance in plants through increasing plant biomass, leaf area, chlorophyll content, flowering/fruiting, auxin, ABA, ethylene synthesis, nutrient uptake, regulation of HKT transporters (important for regulating K⁺/Na⁺ ratio), and sugar assimilation (Sharifi and Ryu [2018\)](#page-326-0). VOCs have also been directly related to activation of ISR in plants against phytopathogens (Raza et al. [2016\)](#page-325-0). Bacillus amyloliquefaciens GB03, a model organism reported for the production of over 25 VOCs, promoted growth of various plants under different ecological conditions (Ryu et al. [2004;](#page-326-0) Banchio et al. [2009;](#page-319-0) Choudhary and Johri [2009\)](#page-320-0). Cappellari and Banchio ([2019\)](#page-319-0) found that salt-stressed Mentha piperita plants when exposed to VOCs of B. amyloliquefaciens GB03 showed higher plant growth parameters and nutrient status, reduced Na⁺ uptake, induced SA pathway, enhanced accumulation of proline, and thereby imparted better resilience against salinity. The study declared the major VOC involved was acetoin (3-hydroxy-2-butanone). Correlating this study with previous reports, it was found that acetoin has been regarded as systemic resistance elicitor (Ann et al. [2013\)](#page-319-0), with biocontrol activity against P. carotovorum in tobacco, and triggering of PR (pathogenesis related) proteins in *Arabidopsis* (Rudrappa et al. [2010](#page-326-0)). Ledger et al. ([2016](#page-323-0)) found production of organic compounds such as 2-undecanone, 7-hexanol, 3-methylbutanol, and dimethyl disulfide by Paraburkholderia phytofirmans promoted growth of A. thaliana in the presence of salinity. Therefore, it can be concluded that these bioactive molecules can serve more than one function depending upon the stresses faced. To date there are almost no reports defining the combined action of VOCs against salinity as well as biocontrol (Fincheira and Quiroz [2018\)](#page-321-0), and wide study is required about these metabolites.

Among the various metabolites produced by biocontrol agents, hydrogen cyanide (HCN) is highly acclaimed due to its remarkable toxic properties (Cucu et al. [2019\)](#page-320-0). The primary mechanism behind HCN toxicity involves inhibition of cytochrome c oxidase and metallo-enzyme production in phytopathogens (Nandi et al. [2017\)](#page-324-0). Interestingly, HCN-producing microbial biocontrol agents are also found to be producing other antibiotics and cell wall-degrading enzymes (Ramette et al. [2006;](#page-325-0) Olanrewaju et al. [2017](#page-324-0)), suggesting some sort of common signaling. Production of HCN by PGPR also helps in increasing P availability and sequestration of the geochemical entities (Rijavec and Lapanje [2016](#page-325-0)). In a study, salt-tolerant isolates of Bacillus sp. were screened and were found to be positive for HCN production under salinity stress conditions (Sharma et al. [2015](#page-326-0)). Patil et al. ([2016\)](#page-324-0) showed that

HCN-producing P. aeruginosa GS-33 mitigated the infestation of M. phaseolina in soybean plants under salt stress conditions.

9.2.5.3 Exopolysaccharides

Bacteria also protect plants from the constraints of high levels of salinity by producing biopolymers such as EPS, capsular polysaccharides (CPS), LPS, teichoic acids (TAs), and β-1,2-glycans (Ormeño-Orrillo et al. [2012\)](#page-324-0). EPS have been recognized as the most promising components produced under saline conditions that bind to the available cations by forming a protective barrier known as rhizosheath around roots (Fatima et al. [2020](#page-321-0)). Apart from direct protection against environmental stresses such as salinity, EPS also have role in competence and defense against phytopathogens. High WHC of this polymer, which is more than 15 times its weight (Costa et al. [2018](#page-320-0)), plays a dual role in mitigating salinity stress and also exclusion of pathogens. Further, the presence of uronic acids in EPS is already well recognized as the property and structure defining moiety of the macromolecule, which aids in chelation of cations, formation of biofilms, and modification of sugar backbones (Liang and Wang [2015](#page-323-0); Mukherjee et al. [2019\)](#page-323-0). Timmusk et al. [\(2019](#page-328-0)) through kernel assay showed that biofilming ability of Paenibacillus polymyxa successfully inhibited Fusarium head blight (FHB) caused by F. graminearum in wheat. Interestingly, the study confirmed that uronates present in polysaccharides are the critical components for antagonism. Mukherjee et al. [\(2019](#page-323-0)) showed that EPS-producing halotolerant Halomonas sp. Exo1 strain showed biocontrol against F. oxysporum both under salinity and heavy metal toxicity stress, and the possible mechanisms hypothesized were siderophore production, P chelation, and EPS production. Tewari and Arora [\(2014](#page-327-0)) illustrated the role of EPS produced by P. aeruginosa PF23 in antagonizing phytopathogen M. phaseolina in sunflower under salt stress conditions. In the same study, EPS not only functioned as osmoprotectant but also acted as an important biocontrol metabolite. The role of EPS in plant growth and salt stress mitigation has also been reported by Fatima and Arora [\(2021](#page-321-0)), concluding that at optimized concentration, the metabolite enhanced the production and yield of sunflower and elevated the salt tolerance efficiency under field conditions. The study also showed the presence of uronates in Pseudomonas entomophila PE3 extracted EPS, which are reported in antagonism, therefore signifying the potential of EPS in biocontrol specifically under salt stress. Fatima et al. ([2020\)](#page-321-0) also reported growth enhancement of rice in saline soil upon inoculation with *Alcaligenes faecalis* extracted EPS.

9.2.5.4 Root Exudation

The phytochemicals discharged by the roots of plants as a result of secretion, diffusion, and cell lysis are called root exudates, and they play dynamic roles in governing plant-microbe interactions (Ortíz-Castro et al. [2009](#page-324-0)). A number of organic and inorganic compounds along with various signals including sugars, amino acids, vitamins, mucilage, phenols, flavonoids, and organic acids attract the microbial population through chemotaxis (Schreiter et al. [2018\)](#page-326-0). About 30–50% of carbon fixed by photosynthesis are released as root exudates which serve as signals for PGPR to initiate colonization (Kumar et al. [2006](#page-323-0)). The composition of root exudates depends upon the species of plant, growth substrate, developmental stages, as well as the stress involved (Dakora and Phillips [2002;](#page-320-0) Bertin et al. [2003](#page-319-0)). According to Schreiter et al. [\(2014](#page-326-0)), the root exudation and bacterial colonization are also dependent upon plant growth. Therefore, under saline conditions prior inoculation of plants with ST-biocontrol agents could be helpful in redesigning the root exudation by maintaining the growth of plants. Interestingly, Wang et al. ([2019a\)](#page-329-0) reported that pretreated tomato plants with a model biocontrol agent, Bacillus cereus AR156, upregulated the concentration of lactic acid and hexanoic acid in root exudates. These components in the root exudates further extended the biofilming ability of B. cereus AR156 and even reduced the efficacy of Ralstonia solanacearum. However, under saline conditions this complex signaling is not explored yet, and there are just hypotheses to illustrate the role and pattern of exudation modification by ST-PGPR. Tsegaye et al. ([2019\)](#page-328-0) characterized and identified strains of PGPR that have exploited carbohydrates, carboxylic acids, and amino acids as root exudates, and the strains also upheld multiple PGP traits, biocontrol properties, and ability to endure salt stress.

9.2.5.5 Quorum Sensing and Quorum Quenching

Another such signaling biocontrol mechanism involves AHL-induced quorum sensing. Although AHLs are widely reported as beneficial molecules for establishment of plant-microbe associations, mechanism of biocontrol by degrading AHLs through quorum quenching (QQ) is gaining attention (Chane et al. [2019\)](#page-319-0). There are a number of phytopathogens which enhance their virulence factors through quorum sensing, and thus QQ could be an effective mechanism of controlling the disease incidence while still reducing the chances of pesticide resistance in pathogens (Vesuna and Nerurkar [2020\)](#page-328-0). Ma et al. [\(2013](#page-323-0)) reported various strains inhabiting tobacco leaves, belonging to genera Bacillus sp., Acinetobacter sp., Lysinibacillus sp., Serratia sp., Pseudomonas sp., and Myroides sp. which showed QQ activity and potential as biocontrol agents. Surprisingly, although these strains quenched the signaling molecule (AHL), they were still successfully able to establish themselves in plants. Wang et al. ([2019b\)](#page-329-0) isolated strains of Pseudoalteromonas, Pontibacillus, and Altererythrobacter showing QQ activity and were also capable of growing under high salinity stress (58 g/L NaCl), pH 7.8, and temperature up to 50 $^{\circ}$ C. The study concluded that the enzymes produced by these QQ microbes could be used to solve pathogenesis-related problems in saline and high temperature stressed environments.

9.2.5.6 Biosurfactants

Biosurfactants are another structurally diverse group of amphiphilic compounds produced by microorganisms that confer the ability to pile up between fluid phases. Surfactants include fatty acids, phospholipids, polysaccharides, proteins, as well as some of their combinations (e.g., lipoproteins, glycoproteins, and glycolipids) (Yañez-Ocampo and Wong-Villarreal [2013](#page-329-0)). The primary mechanism of biocontrol by these surfactants include cell wall destabilization, inhibition of phytopathogens' attachment to plant surfaces, directly safeguarding the plants by formation of biofilms, replacing as a toxin during recognition, and binding of the pathogen receptors on plant cell membranes (Nihorimbere et al. [2011](#page-324-0); Lahkar et al. [2018\)](#page-323-0). Role of biosurfactants as promising biocontrol compounds and the associated mechanisms including parasitism, antibiosis, competition, ISR, and hypovirulence have been confirmed by several researchers (Thein and Prathuangwong [2010](#page-328-0); Velho et al. [2011](#page-328-0); Krzyzanowska et al. [2012](#page-323-0); Akladious et al. [2019](#page-318-0)). Rivas-Garcia et al. [\(2019](#page-325-0)) found that the combination of yeast Debaryomyces hansenii and bacteria Stenotrophomonas rhizophila isolated from marine environment, upon application to muskmelon, caused inhibition of Fusarium proliferum. The mechanism of biocontrol reported was production of VOCs and biosurfactants which possibly had reduced the attachment of pathogen to plants and reduced their virulence factors. Hassen et al. [\(2018](#page-321-0)) isolated novel Pseudomonas rhizophila S211 strain which was able to tolerate salt concentration up to 300 mM NaCl, pH range 6–10, and temperature up to 90 °C. The strain showed various PGP traits and even production of rhamnolipid biosurfactant. The study reported the potentiality of the strain in biocontrol, biofertilization, and rhizoremediation. A study by Elazzazy et al. [\(2015](#page-320-0)) showed biosurfactant-producing Virgibacillus salarius to effectively reduce surface tension under high salt stress conditions, wide temperature range (30 and 100 $^{\circ}$ C), and alkaline pH. Zhang and Tang ([2019\)](#page-329-0) illustrated that halotolerant rhamnolipidproducing strain upon inoculation to strawberry (pot assay) not only treated the symptoms of powdery mildew of strawberry caused by *Fragaria ananassa* but also improved root and shoot growth. Khare and Arora ([2021\)](#page-322-0) reported that biosurfactant extracted from Pseudomonas guariconensis LE3 had multifarious functions including biocontrol against charcoal rot in sunflower crop, growth enhancement of plants, improvement in shelf life of LE3-based bioformulation, enhanced plant-microbe interaction, and better soil properties. Mishra et al. ([2020\)](#page-323-0) found enhanced growth of mustard plant upon inoculation with various concentrations of Pseudomonas putida biosurfactant under field conditions. Therefore, the metabolite can be regarded as multifunctional with the potential of biocontrol as well as biofertilization and remediation. The addition of biosurfactants in bioformulation could be helpful in enhancing nutrient content of soil and microbial colonization which are otherwise

highly depressed in saline soil. Henceforth, the metabolite could be a hallmark in designing novel bioformulations for saline soil infested with pathogens.

9.3 Future Prospects

Soil pollution is a major concern across the globe which is directly hitting the food basket and the sustainability of agro-ecosystems. The increasing salinization of agroecosystems has led to the creation of marginal lands and has steeply brought down the productivity of crops. In the current scenario of climate change and global warming, the issues faced by agriculture are not only limited to abiotic stresses but have also increased the incidence of plant diseases. Salinity stress along with prevailing plant pathogens is an excellent example of abiotic and biotic stresses co-occurring in fields and declining the food production. Although there are many evidences about the synergistic effect of salinity and phytopathogens in agriculture, there are few reports signifying the combined impact of stresses on crops and their response (Nejat and Mantri [2017](#page-324-0); Zhang and Sonnewald [2017](#page-329-0)). The increasing degradation of soil and plant health demands the most sustainable and cost-effective biological approaches which can act to defend the odds of salinity as well as protect the plants from diseases. The application of ST-PGPR hold the potential to mitigate the salinity stress and also enhance the immunity responses in plants against phytopathogens. With the co-occurrence of abiotic and biotic stresses, the crosstolerance initiated by PGPR shares some common signals, responsive genes, metabolic products, and physiological responses which need to be investigated in detail (Foyer et al. [2016\)](#page-321-0). For example, Rejeb et al. [\(2014](#page-325-0)) reported that plants which were primarily affected by abiotic stress showed effective response against the subsequent biotic stress in comparison to the non-primed plant that lacked activation of defense signaling. Thus, the prior application of ST-PGPR can safeguard the plant against salinity and can also make plants immune form phytopathogens.

The complex stress signaling in plants upon inoculation with PGPR constitutes a number of directly and indirectly related responses which synergistically provide protection against multi-stresses (Chojak-Koźniewska et al. [2018](#page-320-0)). Some responses of PGPR including improvement of root system architecture (RSA), phytohormonal signaling, antioxidant activity, EPS production, and nutrient chelation act as common recovery methods both against salinity and pathogens (Tang et al. [2015](#page-327-0)). Yet there are some antagonistic interactions taking place at molecular and physiological levels which although provide resilience against one stress but increase the risk of the other. For example, the increasing concentration of abscisic acid (ABA) in plants under inoculation with ST-PGPR increases the defense against salinity, but ABA accumulation suppresses the disease resistance mechanism of SA and JA/ET signaling (Sivakumaran et al. [2016](#page-327-0)). Similarly, Sunita et al. [\(2020](#page-327-0)) summarized that production of VOCs by ST-PGPR, apart from regulating plant-microbe interaction, and virulence factors also are responsible for biosynthesis of osmoprotectants, phytohormones, and siderophores. Therefore, these complex interactions need to be further studied via the future techniques of "interactomics" (Yadav and Mani [2019\)](#page-329-0). Apart from intracellular interactions, there are various chemical kinetics and signaling taking place in the rhizosphere. The establishment of plant-microbe association requires a number of plant metabolites such as root exudates (flavonoids, tryptophan, ACC) and microbial metabolites including LCOs, VOCs, AHLs, and EPS (Sasse et al. [2018](#page-326-0)). In combinatorial stress (i.e., salinity and biotic stress), these interactions taking place in the rhizosphere can provide a better illustration of how ST-PGPR exclude the soil pathogens from colonizing the plants and also the metabolic signals generating from such novel associations. These metabolite "blends" present in the symbiotic associations and the dynamics involved can be studied using the advanced field of "signalomics" (Rothballer et al. [2018\)](#page-325-0).

The wide role of different microbial metabolites involved in mitigating biotic and abiotic stresses generates the idea of reclamation of saline lands excluding the soil pathogens, thereby increasing the fertility of soil and upgrading the health of plants. The injudicious increase in degraded lands casts another limitation for microbial technology, i.e., its application. Although there are many bioinoculants available in the market, due to soil dynamics and climatic variations the field results are less efficient. Therefore, if the exact mechanism of action of ST-PGPR is reported, the next-generation application technology can be applied to "mimic" the mechanism in soil either by directly adding metabolites and chemical attractants or by exposing the plants to stresses before plantation. Thereby, this would help in preparing the plants for the forthcoming environmental and biological challenges (Rosier et al. [2018\)](#page-325-0). Arora et al. [\(2020](#page-319-0)) discussed that addition of osmoprotectants or cell protectants in bioformulation aids in preparing the microbial cells and plants against initial environmental stresses and then acclimatizing to the unhospitable condition. Microbial technology thus holds the future potential of land reclamation and enhanced agricultural productivity.

9.4 Conclusion

Soil salinization has been a hassling issue ever since the beginning of industrial era. The loss of agricultural productivity due to increasing salt toxicity is increasing without any viable large scale biological solutions. Climate change and environmental factors have also increased the incidence of diseases in immuno-suppressed plants which are already facing stress due to salinity. Therefore, popularization of microbial techniques to mitigate the combinatorial biotic and abiotic stresses and ensure agricultural sustainability has become important. ST-PGPR produce an array of secondary metabolites which provide resilience against phytopathogens. Apart from indirectly excluding the soil pathogens by chelating essential ions and competing for space, these beneficial microbes also directly act upon the phytopathogens by secretion of several metabolites. ST-PGPR also induce the immune system of plant through ISR and SAR and help to defend against the pathogens. Thus, the dual role of ST-PGPR in plant growth promotion and protection under salt stress can be harnessed to achieve the goals of agriculture sustainability and food security by increasing the quality and quantity of produce.

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Endophytic Fungi: Important Source

of Biologically Active Molecules

10

Marwa Jardak, Mani Jayaprakashvel, Sami Aifa, and Sami Mnif

Abstract

Fungal endophytes are considered plant mutualists that protect their hosts against pathogens. Therefore, the search for such microorganisms from different ecosystems and the investigation of their biological activities could open up new promising possibilities for the control of infectious diseases and their vectors. Endophytic fungi can provide their macroscopic hosts with a kind of protection against surface pathogens and the predation of herbivorous insects. They excrete secondary antifungal, antibacterial metabolites, and/or cytotoxic agents. Accordingly, this article provides updated knowledge on natural compounds with antimicrobial (sessile and adherent form) and/or anticarcinogenic activities produced by endophytic fungi isolated from different plants in different regions of the world.

Keywords

Endophytic fungi · Bioactive secondary metabolites · Pathogens · Infectious diseases · Biofilm · Cancer

M. Jardak · S. Aifa · S. Mnif (\boxtimes)

M. Jayaprakashvel Department of Marine Biotechnology, AMET University, Chennai, Tamilnadu, India

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Laboratory of Molecular and Cellular Screening Processes, Centre of Biotechnology of Sfax, P O Box 1177, Sfax, Tunisia

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10.1 Introduction

The emergence of diseases and deadly viruses, the development of drug resistance, the failure of cancer chemotherapies, the complications associated with organ transplantation, and the increasing incidence of microbial infections are serious public health burdens. In addition, the risk of toxicity associated with the excessive use of synthetic drugs has increased. Many researchers around the world are trying to explore natural resources to obtain new drugs that are efficient and have low toxicity and low environmental impact. Important sources of bioactive molecules include fungi, which were the origin of the world's first discovered antibiotic, penicillin, produced by Penicillium notatum (Fleming [1929](#page-351-0)). Other novel fungal molecules were discovered, including griseofulvin from *Penicillium griseofulvum* and cyclosporin from *Tolypocladium niveum* (Oxford et al. [1939;](#page-354-0) Borel et al. [1994](#page-350-0); Valente et al. [2020\)](#page-356-0). In this context, endophytic fungi that colonize living plant tissue without causing apparent symptoms could be an important source of bioactive compounds (Powthong et al. [2018](#page-355-0); Vasundhara et al. [2019](#page-356-0); Khalil et al. [2020;](#page-352-0) Manganyi and Ateba [2020](#page-354-0)).

Bioactive compounds from endophytic fungi are becoming an interesting field of research particularly in cancer therapy (Uzma et al. [2018\)](#page-356-0). Taxol, an anti-cancer molecule that was originally isolated from the pacific yew (Taxus brevifolia), can be found as a cytotoxic drug that kills cancer cells on the World Health Organization website model list of Essential Medicines. It is used to treat breast cancer, ovarian cancer, non-small cell lung cancer, pancreatic cancer, and AIDS-related Kaposi sarcoma. However, Taxol can only be extracted from yew trees in very low concentrations, which has led researchers to look for alternative sources of natural Taxol. Interestingly, they succeeded in identifying endophytic fungal species from yew trees which have been repeatedly reported to produce Taxol (Wani et al. [1971;](#page-356-0) Stierle et al. [1993](#page-355-0); Mirjalili et al. [2012;](#page-354-0) Isah [2015](#page-352-0)). These important discoveries have fueled hopes of finding novel bioactive metabolites from endophytic fungi in various host plants that can be used for the treatment of infectious diseases and cancer.

10.2 Definition of an Endophyte

The etymological origin of the word "endophyte" comes from the Greek "endo" or "endon" which means "inside" and "phyton" which means "plant." In contrast to epiphytes, which are located outside the plant surfaces, endophytes are microorganisms that colonize the inner tissue of the plant below the epidermal cell layers without causing negative effects on the host plants (Hardoim et al. [2015;](#page-352-0) Vasundhara et al. [2019](#page-356-0); Fadiji and Babalola [2020](#page-351-0)). Endophytic microorganisms colonize the various parts of the plant essentially leaves, stems, and roots (dos Santos et al. [2018\)](#page-351-0). Different groups of microorganisms such as fungi, bacteria, actinomycetes, and mycoplasmas are called endophytes, but fungi are the most commonly isolated (Rodriguez et al. [2009](#page-355-0); Padhi et al. [2013](#page-354-0); Kharkwal and Kulkarni [2020\)](#page-352-0). Endophytes have an intimate relationship with host plants by producing

components that promote vegetative growth and protect the host against pathogens (Jia et al. [2016\)](#page-352-0). According to many studies, endophytic fungi can produce the same active components that are produced by the host plant (Zhao et al. [2010](#page-357-0); Wang et al. [2015;](#page-356-0) Venieraki et al. [2017](#page-356-0)). This is explained by the presence of fungal biosynthetic pathways similar to those of host plants (Stierle et al. [1993](#page-355-0); Jennewein and Croteau [2001;](#page-352-0) Naik et al. [2019\)](#page-354-0).

10.3 Plant-Endophyte Interaction Advantages

Each plant can host one or more species of endophytes (Gamboa and Bayman [2001;](#page-351-0) Strobel and Daisy [2003](#page-355-0)). Depending on the target species, there is a broad spectrum of endophytic and plant interactions ranging from simple commensalism to mutualism or symbiosis and then from commensalism to parasitism (Redman et al. [2001;](#page-355-0) Kogel et al. [2006;](#page-353-0) Aly et al. [2011;](#page-350-0) Wani et al. [2015\)](#page-356-0). Environmental conditions could be responsible for the definition of the type of interaction (Schulz and Boyle [2005;](#page-355-0) Kogel et al. [2006](#page-353-0)).

Colonization by endophytic microorganisms gives the plant many benefits, including the promotion of plant growth (Khare et al. [2018](#page-352-0)). In fact, the plant can benefit from increased growth while acquiring resistance to adverse abiotic stresses such as drought, heat, metal toxicity, and high salinity via several molecular mechanisms (Lata et al. [2018](#page-353-0); Rho et al. [2018](#page-355-0); Eid et al. [2019](#page-351-0); Sampangi-Ramaiah et al. [2020\)](#page-355-0). Endophytic microorganisms are regarded as inhibitors of the growth of phytopathogenic microorganisms (Bruisson et al. [2019](#page-350-0)) through the production of antibiotics or the induction of host defense mechanisms against phytopathogens (Gimenez et al. [2007](#page-351-0); Martinez-Klimova et al. [2017\)](#page-354-0). Besides the endophyte-host interaction, there are also endophyte-endophyte or endophyte-pathogen interactions. These types of interactions lead to the acquisition of several functions by endophytes such as the production of bioactive molecules and the control of phytopathogens through quorum quenching mechanisms (Kusari et al. [2013,](#page-353-0) [2014\)](#page-353-0).

10.4 Endophytic Fungi as Source of Bioactive Natural Molecules

Infection of plants by endophytes could cause a change in the level of gene expression of the host plant by stimulating the production of bioactive molecules (Deepika et al. [2016\)](#page-350-0). In addition, environmental factors, including biotic and abiotic conditions, balance of carbon-nutrition, genotype, and ontogeny of plant control, usually regulate the biosynthesis of plant secondary metabolites (Isah [2019](#page-352-0)). Moreover, endophytes are considered chemical synthesizers within plant tissues and a source of secondary metabolites and could therefore be used as potential sources for pharmaceutical products (Owen and Hundley [2004;](#page-354-0) Nisa et al. [2015](#page-354-0); Gouda et al. [2016;](#page-352-0) Vasundhara et al. [2019](#page-356-0); Rustamova et al. [2020](#page-355-0)). Indeed, endophytic fungi have been found to be useful in the discovery of new drugs such as antibiotics, antimicrobials, antioxidants, anti-tumor, antiviral, and anti-inflammatory substances

(Bedi et al. [2018;](#page-350-0) Uzma et al. [2018](#page-356-0); Abdel-Azeem et al. [2019;](#page-350-0) Gupta and Sharma [2020;](#page-352-0) Mishra et al. [2020](#page-354-0); Pal et al. [2020](#page-354-0)). These biomolecules can be alkaloids, steroids, flavonoids, and other types of structures (Lugtenberg et al. [2016;](#page-354-0) Vasundhara et al. [2019](#page-356-0)).

10.5 Antimicrobial and Antiviral Molecules from Endophytic Fungi

Currently, several studies report on a large number of antimicrobial compounds isolated from endophytic fungi and belonging to different structural classes such as alkaloids, peptides, steroids, terpenoids, phenols, isocoumarins, lactones, flavonoids, quinones, and others (Lugtenberg et al. [2016](#page-354-0); Bedi et al. [2018;](#page-350-0) Vasundhara et al. [2019](#page-356-0)). Taking the example of hypericin, a derivative of naphthodianthrone, and its precursor emodin produced by an endophytic fungus isolated from an Indian medicinal plant Hypericum perforatum, showed antimicrobial activities against several bacteria including Staphylococcus aureus, Klebsiella pneumoniae subsp. ozaenae, Pseudomonas aeruginosa, Salmonella enterica subsp. enterica, and *Escherichia coli* and against fungal pathogens such as *Aspergillus* niger and Candida albicans (Kusari et al. [2008\)](#page-353-0) (Table [10.1](#page-334-0)). Helvolic acid with antibacterial and antimycotic activities was isolated for the first time from an endophytic fungus *Pichia guilliermondii* Ppf9, which was collected from the rhizomes of the medicinal plant Paris polyphylla var. yunnanensis. Helvolic acid exhibits important antibacterial activity against all the bacteria tested, i.e., Agrobacterium tumefaciens, E. coli, Pseudomonas lachrymans, Ralstonia solanacearum, Xanthomonas vesicatoria, Bacillus subtilis, S. aureus, and Staphylococcus haemolyticus, with minimum inhibitory concentrations MICs in the range of 1.56 to 6.25 μg/mL and IC_{50} values from 0.98 μg/mL to 33.19 μg/mL comparable to the positive control streptomycin sulfate. Moreover, the helvolic acid inhibits the spore germination of *Magnaporthe oryzae* with an IC_{50} value of 7.20 μ g/mL (Zhao et al. [2010](#page-357-0)). The same compound was obtained along with hydrohelvolic acid and a new derivative called helvolic acid methyl ester from Fusarium sp., an endophytic fungus isolated from the leaves of Ficus carica. These compounds showed effectiveness against bacterial strains of B. subtilis, S. aureus, E. coli, and P. aeruginosa with MIC values ranging from 3.13 to 12.5 μg/mL and against some plant pathogenic fungi Botrytis cinerea, Colletotrichum gloeosporioides, Fusarium oxysporum, *Fusarium graminearum, and P. capsici with MIC values between 12.5 and 25* μ *g/* mL (Liang et al. [2016\)](#page-353-0).

An endophytic fungal strain belonging to the genus *Periconia* was isolated from the plant Piper longum. This strain is capable of producing piperine, a compound characterized by a good anti-mycobacterial activity against Mycobacterium tuberculosis and Mycobacterium smegmatis with MICs of 1.74 and 2.62 μg/mL, respectively (Table [10.1](#page-334-0)). Piperine also has anti-inflammatory, antimutagenic, and hepatoprotective properties (Verma et al. [2011\)](#page-356-0). Piperine is also produced by the endophytic fungus C. gloeosporioides, which is isolated from the stems of Piper

Table 10.1 Structures of some antimicrobial compounds isolated from endophytic fungi

(continued)

Table 10.1 (continued)

nigrum (Chithra et al. 2014). Yu et al. reported for the first time the endophytic fungus BS002 Penicillium sp. M-01, which is isolated from the seeds of the medicinal plant Sophora flavescens to produce 6,7-(2'E) dibutenyl-5,8-dihydroxy-(Z)-cyclooct-2-ene-1,4-dione (Table [10.1\)](#page-334-0). This compound inhibits the growth of various microorganisms such as Botryosphaeria berengeriana f. sp. piricola, Physalospora piricola, Cladosporium cucumerinum Ell. Arthur, F. oxysporum. sp. cucumerinum, and Fusarium moniliforme having a strong activity against P. piricola demonstrated by an antibacterial diameter of 45 mm (Yu et al. [2014](#page-357-0)).

Another endophytic fungus GDGJ-91 identified as Penicillium vulpinum isolated from the root of Sophora tonkinensis, a Chinese medicinal plant, yielded two compounds (-)-3-carboxypropyl-7-hydroxyphthalide and (-)-3-carboxypropyl-7hydroxyphthalide methyl ester, new phthalide derivatives. The compound $(-)$ -3carboxypropyl-7-hydroxyphthalide showed antibacterial activities against Enterobacter aerogenes, Shigella dysenteriae, Bacillus megaterium, B. subtilis, and Micrococcus lysodeikticus with MIC values ranging from 12.5 to 50 μg/mL. The second compound $(-)$ -3-carboxypropyl-7-hydroxyphthalide methyl ester exhibited antibacterial effect against E. aerogenes, B. subtilis, B. megaterium, and M. lysodeikticus with MIC values between 12.5 and 100 μg/mL (Qin et al. [2019\)](#page-355-0). Another study conducted by Qin et al. reported the production of 10-formyl andrastone, 10- demethylated andrastone A, and andrastin G, new andrastin derivatives, along with four known andrastin analogues from the same endophytic fungus P. *vulpinum*. These compounds showed antibacterial activities against several bacterial strains. Taking the example of 10- demethylated andrastone A, it inhibited the growth of B. megaterium with MIC value of $6.25 \mu g/mL$ (Qin et al. [2020\)](#page-355-0).

Two bioactive metabolites (2S)-butylitaconic acid and (2S)-hexylitaconic acid were isolated from an endophytic fungus, *Eupenicillium* sp. LG41, from the roots of the Chinese medicinal plant Xanthium sibiricum (Table [10.1\)](#page-334-0). These compounds showed strong antibacterial activity against Acinetobacter sp. BD4 (DSM 586) with

an MIC of 1 μg/mL being more important than the positive controls streptomycin and gentamicin (MICs $= 5 \mu g/mL$) (Li et al. [2014](#page-353-0)). The same microorganism Eupenicillium sp. LG41 was able to produce eupenicinicol B, a new compound effective against *S. aureus* subsp. aureus (DSM 799) with a MIC value of 1 μ g/mL with almost the same efficacy of gentamicin (Li et al. [2014](#page-353-0)). Ebada and Ebrahim [\(2020](#page-351-0)) reported the production of two epimers, aniduquinolone A and a new quinolone derivative by the endophytic fungus Aspergillus versicolor strain Eich.5.2.2 isolated from the petals of Eichhornia crassipes, an Egyptian plant. These epimers altogether inhibited the growth of S. aureus (ATCC700699) with a MIC value of 0.4 mg/mL.

The endophytic fungus Trichothecium sp., which was isolated from the medicinal herb *Phyllanthus amarus*, produces Trichothecinol-A and has an antimycotic activity against a number of filamentous fungi and yeast and a moderate activity against the yeast Cryptococcus albidusvar diffluens (NCIM 3372) with up to 20 μg/mL (Taware et al. [2014\)](#page-356-0) (Table [10.1\)](#page-334-0). Stemphyperylenol, from Botryosphaeria dothidea KJ-1 of the stems of white cedar (*Melia azedarach* L) (Table [10.1](#page-334-0)) showed a strong antifungal activity against the plant pathogen Alternaria solani with a MIC of 1.57 μM comparable to the commonly used fungicide carbendazim. The same endophytic fungus KJ-1 also produced other compounds, namely, pycnophorin, $β$ -sitosterol glucoside, and 5-hydroxymethylfurfural (Table [10.1\)](#page-334-0), which showed moderate antifungal activity against A. *solani* with the same efficacy as the positive control hymexazol (Xiao et al. [2014](#page-357-0)).

The two compounds cytosporone B and C (Table 10.1) were isolated from Phomopsis sp. ZSU-H76, an endophytic fungus from the stem of Excoecaria agallocha collected in South China Sea. Cytosporone B and C showed antifungal activity against C. albicans and F. oxysporum with MIC values in the range of $32-64 \mu$ g/mL (Huang et al. [2008\)](#page-352-0). Beauvericin (Table [10.1\)](#page-334-0), a depsipeptide, was obtained from an endophytic fungus *Epicoccum nigrum* isolated from the leaves of Entada abyssinica Steud, Cameroon. Beauvericin showed important activity against Bacillus cereus and Salmonella typhimurium with MIC of 3.12 and 6.25 μg/mL, respectively (Dzoyem et al. [2017](#page-351-0)).

Antibacterial compounds, -deoxyribolactone and hexylitaconic acid (Table [10.1](#page-334-0)), were obtained from endophytic fungi Curvularia sp. T12 isolated from the medicinal plant Rauwolfia macrophylla from Cameroon. 2'-deoxyribolactone showed antibacterial activities against four bacterial strains E. coli, Micrococcus luteus, Pseudomonas agarici, and Staphylococcus warneri with MIC values between 0.17 and 0.32 μg/mL. The compound hexylitaconic also exhibited antibacterial activities against the same tested strains with MIC values ranging from 0.29 to 0.58 μg/mL (Kaaniche et al. [2019\)](#page-352-0). Kheiric acid, a polyhydroxy acid, is produced by the endophytic fungi *Curvularia papendorfii* isolated from the Sudanese medicinal plant Vernonia amygdalina. This compound showed antibacterial effect against methicillin-resistant S. aureus (MRSA) with MIC value of 62.5 μ g/mL (Khiralla et al. [2020\)](#page-352-0). (+)- and (-)-preuisolactone A, two rare naturally obtained sesquiterpenoidal enantiomers, were produced by the endophytic fungus Preussia isomera XL-1326 isolated from Panax notoginseng plant. (+)-

preuisolactone A displayed a strong antibacterial effect against M. luteus with an MIC value of $10.2 \mu M$ (Xu et al. 2019).

Antimicrobial compounds can be used as preservatives in the control of food spoilage and foodborne infections such as the molecule 7-amino-4-methylcoumarin (Table 10.1) isolated from the fungal endophytic *Xylaria* sp. from *Ginkgo biloba*. This compound showed activity against several foodborne and food-spoilage microorganisms, including S. aureus, E. coli, Salmonella typhi, Salmonella enteritidis, Aeromonas hydrophila, Vibrio anguillarum, C. albicans, Penicillium expansum, and A. niger (Liu et al. [2008\)](#page-353-0). Another strain *Muscodor albus* (cz-620) isolated from a small vine in Indonesia produced a mixture of volatile organic compounds (VOCs) that showed antifungal activity against Stachybotrys chartarum. This strain of mold was found in the cellulose of building construction materials damaged by water (Samson et al. [2010\)](#page-355-0). The VOCs of Muscodor albus can be also used in the treatment of interior surfaces of buildings (Atmosukarto et al. [2005](#page-350-0)).

A new chromone derivative, S*)-2-(2'-hydroxypropyl)-5-methyl-7, 8-dihydroxy-chromone (Table [10.1\)](#page-334-0), was reported to be produced by an endophytic fungus *Penicillium aculeatum* (No. 9 EB), isolated from the leaves of *Kandelia* candel. This compound showed antibacterial activity against Salmonella strains with a MIC of 2 μM. Other compounds (bacillisporin A and bacillisporin B) isolated from the same microorganism (Table [10.1](#page-334-0)) showed strong antibacterial activity against B. subtilis with MIC values of 0.13 μ M (Huang et al. [2017\)](#page-352-0). Pereira et al. [\(2016](#page-354-0)) reported two molecules: 2-amino-3,4-dihydroxy-2-25-(hydroxymethyl)-14-oxo-6,12-eicosenoic acid and myriocin from an endophytic fungus Mycosphaerella sp. isolated from plant Eugenia bimarginata DC. (Myrtaceae). 2-amino-3,4 dihydroxy-2-25-(hydroxymethyl)-14-oxo-6,12-eicosenoic acid and myriocin are two eicosanoic acids which showed antifungal activities against Cryptococcus neoformans and Cryptococcus gattii with MIC values of 0.49 to 7.82 μM and 0.48 to 1.95 μ M, respectively (Pereira et al. [2016](#page-354-0)). Wu et al. ([2019\)](#page-357-0) evaluated the antifungal potential of three isocoumarin derivatives, botryospyrones A, B, and C, and a new natural tryptamine, (3aS, 8aS)-1-acetyl-1, 2, 3, 3a, 8, 8a-hexahydropyrrolo [2,3b] indol-3a-ol. These compounds were produced by *Botryosphaeria ramosa* L29, a marine mangrove endophytic fungus, isolated from the leaf of *Myoporum* bontioides and showed antifungal activities against F. oxysporum, P. italicum, and F. graminearum. All compounds are more active against F . oxysporum than the commonly used agricultural fungicide triadimefon (Wu et al. [2019\)](#page-357-0).

On the other hand, metabolites produced by endophytic microorganisms can play the role of antiviral agents. Taking the example of 6-O-demethyl-4 dehydroxyaltersolanol A (Table [10.1](#page-334-0)), a hydroanthraquinone derivative, obtained from the endophytic fungus Nigrospora sp. YE3033, which was isolated from the plant Aconitum carmichaelii. 6-O-demethyl-4-dehydroxyaltersolanol A inhibited the influenza virus strain of H1N1 (A/Puerto Rico/8/34) with IC₅₀ of 2.59 μg/mL (Zhang et al. [2016](#page-357-0)). Liu et al. [\(2019](#page-353-0)) discovered phomanolide, a rare 14-nordrimanetype sesquiterpenoid, produced by the endophytic fungus YE3135 identified as Phoma sp. isolated from the roots of Aconitum vilmorinianum. This new compound

exhibited an important antiviral inhibitory effect against influenza A virus (A/Puerto Rico/8/34, H1N1) with IC50 value of 2.96 \pm 0.64 μg/mL (Liu et al. [2019](#page-353-0)).

An endophytic fungus *Phomopsis* sp. from the plant *Diospyros carbonaria*, collected in French Guiana (Saint Elie), produces 3b-O-cis-p-coumaroylalphitolic acid, 3b-O-trans-p-coumaroylalphitolic acid, eucalyptic acid, betulinic acid, betulin, and betulinic aldehyde (Table 10.1), which inhibited the replication of the dengue virus with IC_{50} values of 3.0, 2.2, 2.3, 4.3, 4.1, and 7.5 μ M, respectively (Peyrat et al. [2017\)](#page-355-0).

The structures of some antimicrobial molecules produced by endophytic fungi are grouped in Table [10.1](#page-334-0). Other bioactive molecules from endophytic fungi with their antimicrobial activities are grouped in Table [10.2](#page-339-0) and with their respective MICs on representative strains.

10.6 Antibiofilm and Quorum Quenching Molecules

In nature, bacteria can adopt two radically different lifestyles, either in a planktonic state or in sessile form known as biofilm mode. Biofilm is probably the predominant lifestyle of bacteria that show cooperative behavior (Wong and O'Toole [2011;](#page-357-0) Penesyan et al. [2019\)](#page-354-0). Within the biofilm, bacteria either interact physically with each other to maintain "intimate" relationships, or they use the quorum sensing communication system by secreting specific signal molecules (Schuster et al. [2013;](#page-355-0) Li and Tian [2016](#page-353-0); Subramani and Jayaprakashvel [2019\)](#page-356-0).

Quorum sensing is a bacterial communication system from cell to cell that is responsible for the regulation of genes responsible for virulence, infection, invasion, colonization, biofilm formation, and pathogenesis in prokaryotic and eukaryotic organisms (LaSarre and Federle [2013](#page-353-0); Ćirić et al. [2019](#page-350-0)). Quorum sensing is facilitated by specific signaling molecules known as autoinducers (AIs), Lhomoserine N-acylated lactones (AHL) for Gram-negative bacteria, and oligopeptides (AIPs) for Gram-positive bacteria (Miller and Bassler [2001](#page-354-0); Haque et al. [2019](#page-352-0); Zhao et al. [2020](#page-357-0)). There is another family of common autoinducers for Gram-positive and Gram-negative bacteria known as autoinducers 2 (AI-2) (Miller and Bassler [2001](#page-354-0)). While quorum quenching is a process that disrupts quorum sensing (Murugayah and Gerth [2019](#page-354-0)), endophytes can present both quorum sensing and quorum quenching. Taking the example of the bacterial genera Acinetobacter and *Burkholderia*, which colonize the rhizosphere of the ginger plant (Zingiber officinale), they showed the coexistence of quorum sensing and quorum quenching systems (Chan et al. [2011](#page-350-0)) (Fig. [10.1](#page-341-0)).

AHL degradation, which is a quorum quenching mechanism, is generally performed by the two main enzymes lactonase and acylase (Kusada et al. [2019\)](#page-353-0). AHL-lactonase hydrolyzes the homoserine lactone ring of AHL independently of acyl chain length while decreasing its efficiency to bind to the transcriptional regulator (Dong et al. [2000,](#page-351-0) [2001](#page-351-0)). AHL-acylase irreversibly hydrolyzes the amide ring, producing HSL and fatty acid, as shown in Fig. [10.2](#page-342-0), which shows no signaling activity (Lin et al. [2003\)](#page-353-0). Another class of enzyme, known as oxidoreductase,

	Name of the			
Bioactive	endophytic	Source of	Specific antimicrobial	
compounds	fungi	endophytes	activities	References
Tribacopin AV	Trichoderma lixii (IIIM-B4)	Bacopa monnieri L.	Candida albicans $(MIC 25 \mu g/mL)$	Katoch et al. (2019)
Trypethelone	Coniothyrium cereale	Marine green alga Enteromorpha sp.	Mycobacterium phlei (zone of inhibition of 8 mm at 20 μ g/disk) S. aureus (zone of inhibition of 14 mm at $20 \mu g/disk$ E. coli (zone of inhibition of 12 mm at $20 \mu g/disk$	Elsebai et al. (2011)
Microsporaline B, Microsporaline C	Pestalotiopsis microspora	Leaves of Scaevola taccada (Gaertn.) Roxb	C. albicans ATCC 10231 (MIC 25.0 μg/ mL)	Liao et al. (2020)
Gamahorin	Pestalotiopsis microspora	Leaves of Scaevola taccada (Gaertn.) Roxb	C. albicans ATCC 10231 (MIC 12.5 μg/ mL)	Liao et al. (2020)
Halobacillin	Trichoderma asperellum	Chinese medicinal plant Panax notoginseng	E. faecium (CGMCC 1.2025) (IC50 5.24 μ M) S. aureus COL (CGMCC1.2465) (IC50 14.00 μM)	Ding et al. (2012)
6-Formamide- chetomin	Chaetomium sp. M336	Huperzia serrata (Thunb. ex Murray) Trev	Escherichia coli (MIC $0.78 \mu g/mL)$ Staphylococcus aureus (MIC 0.78 µg/ mL) Salmonella typhimurium ATCC 6539 (MIC 0.78 μg/ mL)	Yu et al. (2018)
Cyclopeptides PF1022F	Trichoderma asperellum	Chinese medicinal plant Panax notoginseng	E. faecium (CGMCC 1.2025) (IC50 $7.30 \mu M$) S. aureus COL (CGMCC1.2465) (IC50 19.02 μM)	Ding et al. (2012)
Dicerandrol C	Phomopsis longicolla C81	Red seaweed Bostrychia radicans	S. aureus (ATCC 6538) (MIC 1 μg/mL) S. saprophyticus (ATCC 15305) (MIC $2 \mu g/mL$	Erbert et al. (2012)

Table 10.2 Antimicrobial compounds reported from endophytic fungi along with their activities

(continued)

Bioactive compounds Xanalteric acids I	Name of the endophytic fungi Alternaria sp.	Source of endophytes Mangrove	Specific antimicrobial activities MRSA (MIC $125 \mu g$ /	References Kjer et al.
		plant Sonneratia alba	mL)	(2009)
Ophiobolins P	Ulocladium sp.	Endolichenic	B. subtilis (MIC $62.5 \mu M$) MRSA (MIC $31.3 \mu M$)	Wang et al. (2013)
Alterporriol N	Stemphylium globuliferum	Mentha pulegium	MRSA (MIC $62.5 \mu g$ / mL) E. faecalis (MIC $15.63 \mu g/mL)$	Debbab et al. (2009)
Chermesin A	Penicillium chermesinum EN-480	Marine red alga Pterocladiella tenuis	Micrococcus luteus $(MIC 8 \mu g/mL)$ Candida albicans $(MIC 32 \mu g/mL)$ Escherichia coli (MIC $32 \mu g/mL$ Vibrio alginolyticus $(MIC 32 \mu g/mL)$	Liu et al. (2016)
Flavipesins A	Aspergillus flavipes	Mangrove plant Acanthus ilicifolius	Staphylococcus aureus (MIC $8.0 \mu g$ / mL) Bacillus subtilis (MIC $0.25 \mu g/mL)$	Bai et al. (2014)

Table 10.2 (continued)

catalyzes the reduction of carbonyl to hydroxyl of the acyl side chain (Uroz et al. [2005\)](#page-356-0) (Fig. [10.2\)](#page-342-0).

The autoinducers (AIPs) of Gram-positive bacteria are oligopeptides typically consisting of 5 to 17 amino acids. Post-transcriptional modifications of the side chain by the incorporation of a thiolactone, lanthionine, lactone, or isoprenyl group lead to the formation of various structures and binding selectivity for Gram-positive bacteria (Lazazzera and Grossman [1998](#page-353-0); Federle and Bassler [2003;](#page-351-0) Williams et al. [2007;](#page-356-0) Bouyahya et al. [2017](#page-350-0)). Gram-positive bacteria synthesize and secrete AIPs in the extracellular environment by surface oligopeptide transporters since the wall of these bacteria is impermeable to AIPs (Federle and Bassler [2003\)](#page-351-0). Some studies reported on the ability of endophytes to degrade or modify AHL. Examples would be the endophytic fungus *Meliniomyces variabilis* JH2, which showed the ability to degrade C6- and 3O and C6-HSL, and the disappearance of AHL signal molecules using the Chromobacterium violaceum assay (Uroz and Heinonsalo [2008](#page-356-0)).

The endophytic fungus Penicillium restrictum, isolated from the stems of a milk thistle plant (Silybum marianum), produced a number of polyhydroxyanthraquinones, five new compounds with ω-hydroxyemodin, emodic

Fig. 10.1 Schematic representation of quorum quenching responses of endophytic microorganisms; QQ quorum quenching, QS quorum sensing, AHL N-acyl-
L-homoserine lactone Fig. 10.1 Schematic representation of quorum quenching responses of endophytic microorganisms; QQ quorum quenching, QS quorum sensing, AHL N-acyl-L-homoserine lactone

Fig. 10.2 The degradation or modification of quorum sensing molecule AHL by three different enzymes of quorum quenching: AHL-lactonase, AHL-acylase, and oxidoreductase. Mechanism of quorum quenching enzymes: AHL-acylase, AHL-lactonase, and oxidoreductase

acid, (+)-2'S-isorhodoptilometrin, and emodin. The evaluation of the ability of polyhydroxyanthraquinones to quench the quorum sensing system of the gene regulator (agr), responsible for the production of toxins and the pathogenesis of methicillin-resistant S. aureus (MRSA) (Thoendel et al. [2011\)](#page-356-0), showed the ability of these compounds to suppress quorum sensing with IC_{50} in the range of 8–120 μ M (Figueroa et al. [2014\)](#page-351-0). The evaluation of the quorum sensing inhibition by 100 extracts of several species of Penicillium showed that 33% of the tested extracts produce QS-inhibiting molecules. Two QSI, patuline and penicillic acid, were produced by Penicillium coprobium and Penicillium radicicola, respectively. In addition, patulin could eliminate P. aeruginosa biofilms. Biofilm treated with patulin is more sensitive to the treatment of tobramycin with an increase of cellular mortality within the biofilm (Rasmussen et al. [2005\)](#page-355-0).

The fungus *Aspergillus flavipes* AIL8, which was isolated from the mangrove plant Acanthus ilicifolius in China, produced a new aromatic butyrolactone flavipesins A. This compound exhibited an antibiofilm activity against S. *aureus* by interfering in the biofilm matrix and causing the decrease of living cells using concentrations from 390.6 to 97.7 μg/mL (Bai et al. [2014\)](#page-350-0). Phukhamsakda and collaborators discovered a new abscisic acid derivative, roussoellenic acid, which is produced by the endophytic fungus Roussoella sp. (MFLUCC 17–2059) isolated from Clematis subumbellata Kurz plant from Thailand. The antibiofilm assay revealed that roussoellenic acid is able to inhibit 34% and 83% of S. aureus DSM 1104 biofilm at a concentration of 16 and 128 μg/mL, respectively (Phukhamsakda et al. [2018\)](#page-355-0). Parasuraman et al. reported the ability of Blastobotrys parvus PPR3 metabolites, an endophytic fungus isolated from the wood of Avicennia marina, to inhibit QS-controlled virulence factor in P. aeruginosa PAO1 by reducing the

production of exopolymeric substance (EPS), pyocyanin, protease, chitinase, elastase, alginate, motility, and biofilm formation (Parasuraman et al. [2020\)](#page-354-0).

10.7 Anticancer Molecules

Cancer is one of the most serious diseases worldwide, causing death of 9.6 million and 18.1 million new cancer cases in 2018 (Bray et al. [2018](#page-350-0)). A wide number of fungal endophytes present a potential source of new anticancer drugs (Uzma et al. [2018\)](#page-356-0) including the famous drug, generating a turnover of more than three billion dollars a year, known as Taxol or paclitaxel (Zhu and Chen [2019\)](#page-357-0). Taxol is a diterpenoid produced for the first time by the endophytic fungus *Taxomyces* andreanae, isolated from the plant T. brevifolia (Stierle et al. [1993\)](#page-355-0). This compound was characterized by its unique mode of action compared with other anticancer agents since it blocks the mechanism of growth of cancer cells (Yan-Hua et al. [2020\)](#page-357-0). Taxol is a cytoskeletal drug that targets microtubules which are fundamental structural components in cells formed by the association of protofilaments of tubulin, α- and β-subunits. Microtubules are very dynamic and undergo rapid cycles of polymerization and depolymerization (Kerssemakers et al. [2006](#page-352-0); Yan-Hua et al. [2020\)](#page-357-0). They play a role in cellular organization including the constitution of mitotic fibers necessary for cell division in M phase (Wilson [1975\)](#page-356-0). Taxol could penetrate the cell and bind to microtubule β-tubulin subunits (Löwe et al. [2001\)](#page-354-0). Taxol is a microtubule stabilizer that inhibits depolymerization of microtubes and subsequently promotes the increase of free tubulin. Thereafter the microtubules thus formed are rigid and nonfunctional (Yang and Horwitz [2017](#page-357-0)). The cell cycle is stopped, and cells remain blocked in G2-M phase of mitosis preventing any multiplication and, thereby, any tumor proliferation and lead finally to apoptosis (Miller et al. [2013](#page-354-0)) (Fig. [10.3a\)](#page-344-0). In addition, the expression of androgen receptors (AR) can be inhibited by the action of Taxol, at the transcriptional level, blocking the AR nuclear translocation and FOXO1-mediated repression of transcriptional activity (Gan et al. [2009](#page-351-0)) (Fig. [10.3b](#page-344-0)). Finally, Taxol could inhibit anti-apoptotic Bcl-2 expression by inducing the release of BAX-mediated cytochrome c and subsequent apoptotic cell death (Miller et al. [2013](#page-354-0)) (Fig. [10.3c](#page-344-0)). The FDA (Food and Drug Administration) approved Taxol for the treatment of advanced breast, lung, and refractory ovarian cancers (Cremasco et al. [2009\)](#page-350-0). Zhou et al. [\(2010](#page-357-0)) reported that more than 19 genera of endophytic fungi produced Taxol and its analogues (Zhou et al. [2010\)](#page-357-0).

Raj et al. [\(2015](#page-355-0)) isolated Taxol from endophytic fungal Cladosporium oxysporum from the Moringa oleifera plant. This Taxol inhibits human colon cancer cell HTC 15 growth with an IC₅₀ value of 3.5 μ M (Raj et al. [2015](#page-355-0)). Another anticancer compound, Trichothecinol-A, was produced from endophyte Trichothecium sp. isolated from the medicinal herb P. amarus. Trichothecinol-A was confirmed to have a potent cytotoxicity against HeLa and B16F10 cells with an IC_{50} value of 500 nM (Taware et al. [2014](#page-356-0)). This compound is considered as an anti-metastatic drug by the inhibition of wound migration of MDA-MB-231 cells (Taware et al. [2014\)](#page-356-0) (Table [10.3\)](#page-345-0).

Fig. 10.3 Mechanism of action of anticancer compound Taxol

Another compound, digoxin, was used in recent years as anticancer agent alone or in combination with Taxol (Huang et al. [2018;](#page-352-0) Pereira et al. [2019](#page-354-0); Wang et al. [2020\)](#page-356-0). El-Sayed et al. reported the production of digoxin by the endophytic fungi E . *nigrum* isolated from the bark of Egyptian Terminalia arjuna plant. Digoxin showed anticancer activity against CHO-K1, A-549, HepG-2, MCF-7, and HEp-2 cancer cell lines with IC₅₀ values of 35.14, 10.76, 33.42, 34.66, and 12.99 μ g/mL, respectively (El-Sayed et al. [2020\)](#page-351-0).

Multirostratin A, a new cytochalasin, was isolated from the endophytic fungi Phoma multirostrata EA-12 from Eupatorium adenophorum. Multirostratin A showed anticancer activities against HL-60, A-549, SMMC-7721, SW-480, and MCF-7 cell lines with IC₅₀ of 7.8, 10.8, 9.8, 15.8, and 11.6 μ M, respectively (Chen et al. [2015\)](#page-350-0). Recently, the isolation of five new cytochalasans, named diaporthichalasins D–H, was reported from the endophytic fungus Diaporthe sp. SC-J0138 isolated from the leaves of Cyclosorus parasiticus. Diaporthichalasins D and H exhibited cytotoxic effect against human carcinoma A549, HeLa, and HepG2 cells with IC50 ranging from 8.8 to 20 μM (Yang et al. [2020\)](#page-357-0) (Table [10.3](#page-345-0)). Moreover, four isoprenylated epoxy derivatives pestalofones F–H and pestalodiols C were obtained from the endophytic fungi Pestalotiopsis fici isolated from Camellia sinensis, a Chinese plant. These compounds showed cytotoxic effects against HeLa and MCF-7 cells with IC_{50} of 11.9–36.4 µmol/L (Shu-Chun et al. [2011\)](#page-355-0). In 2013, another active molecule identified as siccayne was isolated from the same endophytic fungus P. fici. This compound displayed

Table 10.3 Structures of some anticancer metabolites from endophytic fungi **Table 10.3** Structures of some anticancer metabolites from endophytic fungi

anticancer activity against HeLa and HT29, with IC_{50} values of 48.2 and 33.9 μ M, respectively (Liu et al. [2013\)](#page-353-0). Pestalotiopsis vaccinii, an endophytic fungus isolated from the mangrove plant K. candel (L.) Druce, in China, was reported to produce pestalamine A. This compound exhibited cytotoxic activity against HeLa, HepG2, and MCF-7 cell lines with IC_{50} values of 22.0, 32.8, and 40.3 μ M, respectively (Zhou et al. [2014](#page-357-0)). Another endophytic fungus from the genus Pestalotiopsis, Pestalotiopsis microspora HF 12440 isolated from the stem of Artocarpus heterophyllus, was reported for the first time to produce $(+)$ -sydowic acid, a bisabolane-type sesquiterpene. (+)-sydowic acid displayed a cytotoxic effect against murine leukemia P-388 cells with IC_{50} of 2.56 μ g/mL (Riga et al. [2020\)](#page-355-0). Liu et al. [\(2020a](#page-354-0)) reported the cytotoxic activity of four new cyclodepsipeptides, trichodestruxins $A - D$ (1–4), produced by the *Trichoderma harzianum*, an endophytic fungus from the fruit of Physalis angulata L. in China. These compounds exhibited cytotoxic effect against human cancer cell lines P388, HT-29, and A549, with IC₅₀ values ranging from 0.7 to 19.1 μ M (Liu et al. [2020a](#page-354-0)).

Guignardones Q and S with anticancer activities were isolated from an endophytic fungus Guignardia mangiferae A348 collected from the plant Smilax glabra. They showed cytotoxicity against MCF-7 cell line with IC_{50} values of 83.7 and 92.1 μ M, respectively (Sun et al. [2015](#page-356-0)). Vincristine, a terpenoid indole alkaloid, was isolated from the endophytic fungus Talaromyces radicus from Catharanthus roseus. This compound showed selective anticancer activities against HeLa, MCF7, A549, U251, and A431 with IC₅₀ values of 4.2, 4.5, 5.5, 5.5, and 5.8 µg/ mL, respectively, but no effect was registered against HEK293 (normal cells). Furthermore, Vincristine allows the apoptotic induction of Hela cell line (Palem et al. [2015](#page-354-0)). Kuriakose et al. isolated Vincristine from another endophytic fungus Eutypella spp. - CrP14 from the same plant. They reported the antiproliferative effect of this compound against HeLa, A459, and A431 cells with IC_{50} of 14, 10, and 4.8 μg/mL, respectively. Vincristine was able to induce apoptotic death in A431 cell lines (Kuriakose et al. [2016](#page-353-0)) (Table [10.3](#page-345-0)).

Cerrenin D, a new triquinane-type sesquiterpenoid, with cytotoxic effect was obtained from the endophytic fungus Cerrena sp. A593 isolated from Pogostemon cablin. Cerrenin D showed cytotoxicity against human cancer cell lines SF-268 $(IC_{50}$ 41.01 μM), MCF-7 (14.43 μM), NCI-H460 (29.67 μM), and HepG-2 (44.32 μM) (Liu et al. [2020b](#page-354-0)).

The structures of some important anticancer molecules produced by endophytic fungi are grouped in Table [10.3](#page-345-0). A list of some anticancer compounds found as endophytic fungi metabolites is shown in Table [10.4.](#page-347-0)

10.8 Molecules with Antimicrobial and Anticancer Activities

Recently, molecules produced by endophytic fungi have showed both antimicrobial and anticancer activities. Terrein (4,5-dihydroxy-3-(1-propenyl)-2-cyclopenten-1 one) was obtained from Aspergillus terreus (JAS-2), isolated from Achyranthes aspera, and showed antifungal, antibacterial, and cytotoxic activities (Goutam

inds from endophytic fungi Table 10.4 Anticancer compounds from endophytic fungi Table 10.4 Anticane

et al. [2017\)](#page-352-0). In fact, it was able to inhibit the growth of phytopathogenic fungi B. sorokiniana (57.14%), A. flavus (52.5%), and Alternaria alternata at $10 \mu g/\mu L$. At a concentration of 20 μg/mL, Terrein exhibited 50% inhibition against Enterococcus faecalis and 38.3% and 48% inhibition against Aeromonas hydrophila and S. aureus, respectively. Moreover, lung cancer cell lines A-549 were sensible to this compound with an IC_{50} of 121.9 μg/mL (Goutam et al. [2017](#page-352-0)). Perveen et al. [\(2017](#page-355-0)) purified three metabolites from the endophyte fungi E. nigrum (2-methyl-3nonyl prodiginine, Bis (2-ethylhexyl) phthalate and a meroterpenoid, preaustinoid A, having antimicrobial and cytotoxic activities. They concluded that these compounds have great potential, and these may be applied in textile (dyeing), pharmaceutical (drug, infectious agents), and food (preservatives) industries. Monarda citriodora, a plant that harbors diverse endophytic fungi, among them, the isolated endophyte MC-24L (Cladosporium tenuissimum), has the potential to be a source of novel cytotoxic/antimicrobial compounds (Katoch et al. [2017\)](#page-352-0).

10.9 Future Prospects

For drug discovery, natural products have the most significant pharmaceutical potential. A study was carried out years ago on molecules from medicinal plants. Nowadays, endophytic fungi are considered as the most precious natural source of bioactive molecules. Many bioactive endophyte-generated molecules have already been characterized. Researchers should try to set up databases of all molecules generated by endophytes and their tested activities to help perform in silico molecular docking for recognizing new targets of these molecules and thus find out further applications. Although we already know a number of molecules from endophytes, research has to continue to find more molecules since there is a huge diversity of fungal endophytes. One of the most recent approaches in drug discovery from endophytes is co-cultivation. In the co-cultivation strategy, a culture of two or more organisms from different species can be conducted. Thus, their physiology could be modified to synthesize cryptic compounds that cannot be produced in single-strain cultivation strategy.

10.10 Conclusion

Endophytic fungi represent an important group of microorganisms which are capable of synthesizing biologically active compounds combating against various pathogens and destroying cancer cell lines. This achievement became possible by the molecules produced by these endophytes and which could be synthesized inside and even outside the plants in the lab and at industrial scales. In this context, this review highlighted the previous discoveries of molecules from endophytes and their use against prokaryotic and eukaryotic cells. This is to attract the attention of researchers all over the world toward this field and the importance of exploitation of endophytic microbes for medical and pharmaceutical purposes.

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Drought Stress and Sustainable Sugarcane 11
Production

Varucha Misra, S. Solomon, A. K. Mall, Murtaza Abid, M. M. Abid Ali Khan, and Mohammad Israil Ansari

Abstract

Drought, limiting crop production and productivity factor, is known to hinder cane growth and photosynthetic ability along with a decrease in biomass production and yield. The occurrence of drought is a common problem around the world. Several cane-growing countries are facing this problem causing losses in cane yield by up to 60%. Recently, 40% production loss in cane due to drought conditions has been reported in India in the states of Maharashtra and Karnataka. The decline in the formation of tillers, rolling, folding, shredding and discoloration in leaves, decrease in the area of leaves with narrow width, etc., are some of the prominent effects of drought seen in sugarcane. In the tolerant cane varieties, there are some other chief characteristics that have been observed such as enhancement in malondialdehyde (MDA) and proline content and drop in photochemical efficiency. Identification of selective traits for developing droughttolerant varieties through breeding is a way to face such conditions without leading to much loss in yield and sugar production. Other management practices, including the use of plant growth-promoting rhizobacteria can also help in

V. Misra · A. K. Mall

S. Solomon

M. Abid

M. M. Abid Ali Khan (\boxtimes)

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ICAR-Indian Institute of Sugarcane Research, Lucknow, Uttar Pradesh, India

Chandra Shekhar Azad University of Agriculture and Technology, Kanpur, Uttar Pradesh, India

Department of Biochemistry, King George's Medical University, Lucknow, Uttar Pradesh, India

Department of Botany, Shia P. G. College, Lucknow, Uttar Pradesh, India

M. I. Ansari Department of Botany, University of Lucknow, Lucknow, Uttar Pradesh, India

lessening the loss in cane productivity in agro-ecosystems prone to drought conditions.

Keywords

Sugarcane \cdot Drought stress \cdot Climate change \cdot Sugarcane production \cdot Yield loss

11.1 Introduction

Drought is one of the most devastating and constraining abiotic stresses which causes hindrance to the development and growth of plant and affects its productivity by causing severe losses (Saranga et al. [2002](#page-371-0); Apel and Hirt [2004\)](#page-368-0). These losses depend on genotype, duration of the drought, the intensity of the drought, and the growth phase at which drought strikes (Shao et al. [2009](#page-371-0); Anjum et al. [2011\)](#page-368-0). Drought is defined as a condition when there is a deficiency in the plant water status wherein alteration in plant growth and its development occurs, which induces changes in the physiological as well as biochemical processes of plant (Anjum et al. [2017](#page-368-0); Zargar et al. [2011](#page-373-0)). In general, plant experience such stress only when water does not reach the roots for its uptake or when rate of transpiration is very high implying more water loss from the plant (Anjum et al. [2011](#page-368-0)). A number of factors determine drought in plants, viz., reduction in water status of the cells, reduction in turgor pressure, reduction in growth and development of cells, enhancement in stomatal closure, and wilting (Lisar et al. [2012](#page-370-0)). When drought conditions are severe, photosynthesis may be either reduced or stopped leading to aggravation of other metabolic processes (Cornic and Massacci [1996;](#page-369-0) Pinheiro and Chaves [2011\)](#page-371-0). In such a condition, loss of turgidity in cells is seen that causes an increase in the concentration of solutes in the cytosol and extracellular matrix of the plant cells resulting in a reduction of enlargement process of cells.

Sugarcane, like all other crops also shows a prominent drought effect. It is well known that a fully ripened sugarcane crop undergoes four stages: germination, tillering, grand growth, and ripening wherein larger water uptake has been reported in tillering and grand growth phase (Ramesh [2000](#page-371-0)), and these two critical stages are known to be used for identifying and distinguishing tolerant cane varieties for drought conditions (Endres et al. [2010\)](#page-369-0). Taiz and Zeiger ([2006\)](#page-372-0) had revealed that for uptake of carbon dioxide by plants, the opening of stomata is a necessary condition; however, this needs a high transpiration rate which might be hindered due to less water content (Molina [2002](#page-370-0)). Sugarcane belonging to C_4 family may have the capability to facilitate its growth and development in such a situation with the help of capability to reduce the photorespiration and water loss. Different physiological changes have been observed in sugarcane crop when exposed to drought or water-deficient conditions (Fig. [11.1](#page-360-0)). It is well known that roots are the first part of the plant which detect the water-deficient conditions. Under such a situation, sugarcane crop senses the hydraulic perturbation which activates roots to send signals to plant for changing the stomatal processes under drought conditions (Buckley [2005\)](#page-369-0).

Fig. 11.1 Physiological response of sugarcane under drought stress conditions

But this crop has the capability to tolerate such a condition by causing accumulation of osmoprotectants, such as glycine betaine, proline, etc. (Wahid [2007\)](#page-372-0).

Several studies have illustrated the changes that sugarcane undergoes when faced with drought stress (Misra et al. [2020a,](#page-370-0) [b\)](#page-370-0). Activation of a large number of perturbations starting from initial phases of growth to its maturity status, disturbances in the water status of the crop, variations in transport, and uptake of ions have been reported under drought conditions (Lutts et al. [1996](#page-370-0); Bajji et al. [2000\)](#page-368-0). Reduction in tillering, rolling of leaves, folding, shredding and leaf reflectance, leaf coloration, coating of wax on sugarcane stalks, reduction in leaf area, and narrower leaves are the alterations which have been reported in leaf morphology under such conditions (Shrivastava and Srivastava [2006](#page-371-0)). Besides, decrease in membrane lipid per oxidation; increase in plasma permeability, mainly in droughtsensitive cultivars; reduced transpiration and respiration rates; increase in ABA and ethylene; and increase in proline (de Oliveira et al. [2018](#page-369-0)) and malondialdehyde (MDA) content are also being observed in drought stress (Shrivastava and Srivastava [2006\)](#page-371-0). Sanji et al. ([2002\)](#page-371-0) observed a decrease in photochemical efficiency (F_v/F_m) as well as potential activity (F_v/F_o) of Photosystem II in canes grown under drought conditions, while higher utilization rate of soil water has also been reported in such a case in this crop (Li-huan et al. 2010). Furthermore, less production of fresh and dry

matter, delay in tillering, and short internodal length are some more morphological changes which are being observed due to drought stress (Zlatev and Lidon [2012](#page-373-0)).

11.2 Status of Drought Occurrence

Drought is an important crop-limiting factor around the globe. The occurrence of drought is a common feature nowadays since the climate change problem has emerged (Shrivastava et al. [2016](#page-371-0)). Drought influences sugarcane productivity and sugar production in many cane-growing parts of the world. Brazil, the highest producer of sugarcane, faces the problem of drought stress due to seasonal variations in rainfall. Reports have shown that there was a fall in cane production to 545.9 million metric tons in comparison to the previous years having an average production of 597.1 million tons (Lewis [2014](#page-370-0)). In the year 2012, sugarcane production of 52 million tons had been reported from the northeast part of Brazil illustrating a fall of 10–15% as compared to the previous year. This had resulted in the downfall of sugar output $(10-15%)$ from the previous year. In 2009, due to the dry weather that prevailed in the country, there was a sharp decline in productivity to 18.17% in the month of November. In 2010, a drop in productivity was also reported due to water stress. This fall has been reported to be 7.26% from growth to cutting phase in comparison to the year 2009. In Cuba, although the country faces adequate rainfall throughout the year, the plant faces water stress conditions in some or other parts of the year. In Myanmar, sugarcane production is also reported being limited by this abiotic stress that generally occurs during the months of March and April. Another sugar-producing country, Pakistan, has also shown a decrease in sugarcane production due to less rainfall and longer tenure of drought stress (Anonymous [2002\)](#page-368-0). An uneven pattern of rainfall in the Philippines also causes low cane production in this country (Smith [1978](#page-372-0)). Seasonal pattern of rainfall has also been seen in China due to which lower cane yield was reported (Smith [1978\)](#page-372-0); however, southern regions of China, particularly Guangxi province, had more prominent problems of drought for cane growth and production (Li and Solomon [2003\)](#page-370-0). About 30 provinces of Thailand have been regarded as disaster zones on basis of the occurrence of severe drought causing huge damage in the province of Khon Kaen (National News Agency of Thailand [2014](#page-370-0)). In India, 23 droughts have been faced by this crop from 1891 to 2004, and recently in 2015 and 2016, thus a frequent occurrence of drought has been reported (Anand [2004](#page-368-0); Shrivastava and Srivastava [2006;](#page-371-0) Shrivastava et al. [2016\)](#page-371-0). Zarabi [\(2004](#page-373-0)) had shown that drought causes losses in productivity and yield that was expected to affect productivity by 10%. In 2015, just 88% of monsoon rain occurred that caused drought in half of the world, while the other half showed excess rainfall leading to waterlogging conditions. Maharashtra, the highest cane-producing state of India, faced rigorous drought over the past 3 years, especially Marathwada and Vidarbha regions. Kelkar-Khambete (2014) (2014) had revealed that due to deficiency in rainfall and fall of groundwater level, the productivity and growth of agricultural crops were hampered to a great extent. Ram [\(2017](#page-371-0)) had shown that in 2014–2015, sugarcane covers an area of 5.06 million ha, but in 2015–2016, it decreased to

4.297 mha, while in 2016–2017, it further decreased to 4.503 mha due to continuous drought in Tamil Nadu, Maharashtra, Karnataka, and other states. Shrivastava and Srivastava ([2006\)](#page-371-0) had shown the tenure of drought occurring in various states of cane-growing area of India. Assam showed recurrence of deficient rainfall once in 15 years, while states like West Bengal, M.P., Coastal A.P., Maharashtra, Konkan, Kerala, Bihar, Orissa, South interior Mysore, Uttar Pradesh, Vidarbha Gujarat, Eastern Rajasthan, Tamil Nadu, Kashmir, Rayalaseema, and Telangana of India showed once in 5 years. However, western Rajasthan of India showed once in 2.5 years.

11.3 Sugarcane Adaptations in Drought Stress

Sugarcane crop, just like any other crop, needs to survive drought conditions by certain adaptive mechanisms such as escape or avoidance or tolerance (Levitt [1980;](#page-370-0) Chaves et al. [2003\)](#page-369-0) or a combination of these (Pimentel [2004;](#page-371-0) Bueno et al. [2006](#page-369-0)). In the escape mechanism, success will only be attained when the plant escapes the critical stages of growth before the onset of stress (Campos et al. [2004](#page-369-0)). In avoidance mechanism, the plant needs to avoid dehydration process by maintaining high water status during the stress period (Blum [2005;](#page-369-0) Kooyers [2015\)](#page-370-0). In such a strategy, water loss is either minimized or maximized. The minimization of water loss involves closure of stomata, reduction in leaf area, and senescence of old leaves, while maximization involves the proliferation of roots to reach the level of water wherever available (Lopes and Reynolds [2011\)](#page-370-0). Such an adaptive strategy is generally taken up by the plant in the case when severe water stress conditions occur (Ferreira et al. [2017\)](#page-369-0). In the tolerance adaptive mechanism, the plant needs to maintain its proper functioning even under stress conditions (Levitt [1980;](#page-370-0) Blum [2005\)](#page-369-0) or regain the recovery of water status in plants after stress is released (Lopes and Reynolds [2011\)](#page-370-0). For doing so, precise alteration in osmotic potential and rigidity in cells of either cell wall or other small cells are required by the crop (Chaves et al. [2003](#page-369-0)). This strategy is favorable for the plant when stress conditions are either mild or moderate as it helps in maintaining the growth of the plant in such a situation (Ferreira et al. [2017\)](#page-369-0). Besides, it has been bestowed with a number of natural endowments that help in the survival of this crop under abiotic stresses particularly drought. Compensatory ability, allopolyploidy, carbon sequestration, and tolerance to abiotic stress attained by crossing the varieties with Saccharum spontaneum are some of these. The compensatory ability is attributed by the compensatory physiologic continuum (tillering potential, development of two types of roots (set and shoot roots), leaves and vast ratooning potential) owed to sugarcane crop. These special properties of sugarcane help the plant in sustaining in abiotic stress conditions (Shrivastava et al. [2015,](#page-371-0) [2016,](#page-371-0) [2017\)](#page-371-0). Besides, the requirement of higher favorable temperatures for cane growth, development, and physiological process, with the exception in process of sucrose storage, is another important ability of sugarcane that helps in survival under high temperatures which makes it different from other C_3 crops. Furthermore, as a response of drought stress, several adaptations have been reported for its

survival. These are as follows: possessing slender cane stalks (Singh and Ramakrishnan [1977](#page-372-0)); proper root system of canes; less shoot root ratio; maintenance of moisture content in leaf sheath having greater than 76% (Shrivastava et al. [2003\)](#page-371-0); higher production of osmolytes such as trehalose, free proline, glycine betaine, etc. (Ho et al. [1988;](#page-369-0) Guimarães et al. [2008;](#page-369-0) Shrivastava et al. [2016](#page-371-0)); higher release of drought-induced electrolytes (Viqueira et al. [1985\)](#page-372-0); leaves of the cane possessing higher N and K content while in stalks, higher P and K content; and an elevated amount of hydrolysis of starch in stalks when under water-deficient conditions (Sales et al. [2012](#page-371-0)).

11.4 Traits for Identifying Drought-Tolerant Cane Varieties

Exposure of sugarcane to drought conditions causes several alterations in physiological and biochemical attributes. Some alterations in attributes cause growth retardation and loss in crop yield, while some attributes help in maintaining the physiological processes as under normal conditions. On this basis, tolerant and susceptible cane varieties for drought conditions are differentiated and identified. Accumulation of proline and low production of glycine betaine in such a situation are common physiological attributes seen in cane as well as in other plants as a tolerant character for drought conditions (Verbruggen and Hermans [2008;](#page-372-0) Chen and Murata [2011;](#page-369-0) Giri [2011](#page-369-0)). Direct association of higher tillering, smaller leaf area, and erect narrow leaves with drought resistance have been reported in variety CP 43/33. Besides, deeper supra stomatic activities, higher amount of sclerenchymatous fibers, thicker external epidermal cell wall and cuticle, copious parenchyma cells, and lower number of stomata have been considered to be the indicators for drought resistance in sugarcane plant (Bajwa and Ahmad [2002\)](#page-368-0). Furthermore, some cane varieties were revealed to avoid drought conditions by adopting the avoidance mechanism, for example, in variety BF-162, rolling of leaves was observed, but in Co 1148 the leaf curling was slight (Venkataramana et al. [1984](#page-372-0)). Also, studies have revealed the various indicators for tolerance to such conditions in sugarcane. Silva et al. [\(2008](#page-372-0)) had shown the association of increased production and yield with an increase in stalk number and stalk height along with an increase in weight under drought conditions. Several studies have reported that there are also indirect means of selection criteria for selecting tolerant cane varieties. These are leaf chlorophyll index, leaf and canopy temperature, stomatal conductance, canopy conductance, rate of photosynthesis, and transpiration (Silva et al. [2007;](#page-372-0) Endres et al. [2010](#page-369-0); da Silva et al. [2012;](#page-369-0) Basnayake et al. [2015](#page-369-0)). Thomas and Howarth ([2000\)](#page-372-0) and Blum [\(2005](#page-369-0)) revealed that higher green leaf area under drought stress is another trait that is considered for the selection of drought-tolerant varieties. Besides, leaf photochemical capacity is also one selecting trait for drought tolerance (Guo and Tan [2015](#page-369-0)). Furthermore, an important selection criterion is the root characteristics of sugarcane crop which generally a crop adapts for survival under drought condition (Songsri et al. [2008;](#page-372-0) Wang et al. [2009\)](#page-372-0). In sugarcane crop, the development of deep large roots, as well as higher root length density, may be considered as a selection criterion for drought

stress (Tardieu et al. [1992](#page-372-0); Smith et al. [2005;](#page-372-0) Blum [2005](#page-369-0); Tardieu [2012\)](#page-372-0). Also, thickness of main or tertiary roots under drought conditions is another important selection factor for a tolerant or resistant variety. The study had shown that there are certain cane hybrids obtained by crossing sugarcane with sorghum and Co 213 possessing deep roots which help in withstanding such conditions (Shrivastava and Srivastava [2006\)](#page-371-0). Singh and Ramakrishnan ([1977\)](#page-372-0) had also revealed rootpulling resistance as another trait for drought tolerance whose value ranged from 285.3 to 331.3 kg/plant. Besides, relative turgidity of the cells in cane varieties was decreased rapidly under such a situation as observed in Co 285 and Co 312 due to higher evaporation leaf surface area. Naidu and Bhagyalakshmi ([1973](#page-370-0) and [1987\)](#page-370-0) had shown that almost 90% of stomata get closed on the fifth day, while in case of drought-susceptible cane varieties, relative turgidity decreases less rapidly (76% in Badilla and 0% in Kaludai Boothan) after the drought conditions ended, while only 50% of stomata get closed on the seventh day. Sang-Wu et al. [\(1984](#page-371-0)) had reported that decrease in the rate of respiration can be a distinguishing feature for tolerant and susceptible cane varieties. Proline content in water-deficient conditions had also been considered as a physiological parameter for identifying drought resistance in sugarcane (Chen et al. [1995](#page-369-0)). Furthermore, there are certain attributes such as trehalose and glycine betaine in sugarcane crop that helps in tolerating not only the drought stress but also other multiple abiotic stresses (Shrivastava and Srivastava [2012;](#page-371-0) Shrivastava et al. [2015](#page-371-0), [2017](#page-371-0)). Some of the indigenous varieties developed in India had tolerance characteristics toward drought were Hemja and Katha which were known for adaption under drought stress (Srinivasan [2004](#page-372-0)).

Development of drought-tolerant varieties has always been an area of attraction for breeders; however, the problem of climate change has made the cane breeders work even more on it. Various sugarcane drought-tolerant varieties have been developed, like Serato, F 134, CP 72/2083, ISD 37, etc. In India, All India Co-ordinated Research Project on Sugarcane (AICRP(s)) works on identification of drought-tolerant varieties, and Central Varietal Release Committee (CVRC) releases some of the identified varieties for commercial purposes, such as Co 8371, Co 87,263, Co 98,014, CoLk 94,184, Co 0218, Co 99,004, etc. (Sinha [2016\)](#page-372-0).

11.5 Response of Sugarcane Crop Under Drought Conditions

Drought is the most important problem of concern for the production of any crop. The emerging problem of climate change has added fuel to fire as this has caused the frequent occurrence of droughts, thereby causing huge losses in agriculture (Boyer [1982;](#page-369-0) Shrivastava et al. [2016;](#page-371-0) Arora [2019;](#page-368-0) Mall et al. [2020](#page-370-0)). Hall et al. [\(1961](#page-369-0)) and Campos et al. [\(2004](#page-369-0)) had revealed that crop responses to drought stress are dependent on the species and more frequently on the genotype of the crop. Also, there are other factors such as duration and severity of drought as well as age and phase of crop growth at which drought occurred, on which the response of crop depends (Yang et al. [1993;](#page-372-0) Chimenti et al. [2006;](#page-369-0) Pinheiro and Chaves [2011](#page-371-0)). Even if the time of drought exposure to sugarcane crop is very less, causing no visible symptoms,

cells can still lose turgidity and growth of cane may retard to some extent. Cane yield, quality, and sugar recovery are the main parameters of concern under drought stress conditions. Even a small-scale drought may cause a reduction in yield if it occurs at critical stages of growth (Shrivastava et al. [2016](#page-371-0)). Drought in terms of soil implies that there is less moisture content in it, and this causes yield loss of 0.39 mt/ day when there was a loss in moisture content for 75–90 days, but there was a loss in cane yield of 0.256 mt/day after 90–105 days of such conditions (Shrivastava and Srivastava [2006](#page-371-0)). The experiments conducted on effect of drought on plant and ratoon canes Misra et al. [\(2016](#page-370-0)) revealed that post-harvest sucrose losses increased after 240 h of harvest when sugarcane was grown under drought conditions in comparison to the ones grown under normal conditions.

In sugarcane crop, alterations in the morphological and physiological response under drought stress conditions were seen (Mall et al. [2019](#page-370-0)). Under drought stress condition, the thickness of the sugarcane plant was revealed to be dependent on the magnitude of drought. Cane varieties possessing thinner stalks and mother shoot showed higher green leaves, tillers, and rate of leaf emergence and increased leaf area, in comparison to ones having relatively thicker stalks and taller mother shoots. Higher rate of tillering, leaf number, and ratio of tiller/root weight were also related to drought (Shrivastava and Srivastava [2006\)](#page-371-0). Higher tiller production was seen when drought occurred during the early stages of the growth phase of sugarcane, particularly in several parts of subtropical region of India, which implies that canes having thinner stalks may prosper well than the thicker ones (Lal et al. [1968](#page-370-0)). Misra et al. ([2020a](#page-370-0)) showed a comparison of the morphological differences in sugarcane under drought and waterlogging stress conditions. They revealed that number of internodes and root weight decrease under drought conditions, but the average internodal length increases. Singh and Reddy ([1980\)](#page-372-0) showed that under drought stress there was no significant difference in leaf area and leaf weight; however, there was a decrease in leaf area index. Physiological processes such as leaf temperature, photochemical electron transport, stomatal conductance, rate of transpiration, photosynthesis, respiration, photo-assimilate partitioning were reported to be altered under drought conditions (Negi et al. [1971](#page-370-0)). The severity of drought also causes effect on the water content of cane leaves (Uprety et al. [1999\)](#page-372-0). From mild to severe drought conditions, Chen et al. ([1995\)](#page-369-0) had revealed that water content of the leaves (free water content and relative water content) deteriorated progressively. The situation was observed to be worst in drought-sensitive cane cultivars such as Co 6304 and Yuetang 57–423 as compared to drought-tolerant ones like Guitang 11 and NCo 310 (Ru Kai et al. [1996\)](#page-371-0). Li-Huan et al. [\(2010](#page-370-0)) had revealed that water potential had a dominating effect on drought resistance. Besides, a reduction in photosynthesis rate was also seen under such a condition due to the closure of stomata. Reduction in nitrate reductase activities has also been reported under such a situation (Sairam [1994\)](#page-371-0). Venkataramana et al. ([1986\)](#page-372-0) had revealed that during the formative phase of cane growth, an increase in stomatal diffusive resistance (K_s) on both sides (abaxial and adaxial) of the sugarcane leaf has been reported when the stress ranges from 60 to 150 days. Furthermore, uptake of nutrients in such a condition has been reported to be reduced due to lower extension of roots in the soil. Also, several

metabolic processes were hampered due to reduction in growth hormones such as cytokines, gibberellins, etc. Besides, an increase in accumulation of malondialdehyde (MDA) and permeability of plasma membrane (PMP) was seen during such a situation. Other physiological indexes such as PS II photochemical efficiency (F_v/F_m) , PS II potential efficiency (F_v/F_o) , variable fluorescence quenching rate ($\Delta F_v/F_o$), and efficiency of potential quantum conversion ($\Delta F_v/F_t$) were decreased in such a situation. A positive relationship between PMP and MDA and the negative relationship between PMP and F_v/F_m as well as between F_v/F_p and $\Delta F_v/F_o$ had been revealed (Gao et al. [2006](#page-369-0)). Furthermore, the high fiber content in ratoon canes was also seen under partially or unirrigated condition (Zhang et al. [1996\)](#page-373-0).

11.5.1 Management Strategies for Sugarcane Under Drought Conditions

For minimizing or overcoming the drought effect on sugarcane crop, management measures have been recommended. The management strategies used for coping with drought condition in case of sugarcane are listed below.

11.5.1.1 Cultural Practices as Management Strategy for Drought Stress As it is known that under drought condition soil moisture content (SMC) gets deteriorated and so as to avoid further loss in SMC, lower leaves of cane stalks, which get dried in standing canes in fields may be removed to be used in the interrow spaces available between the clumps. Earthing up is another way which may be performed in plant and ratoon crop, irrespective of autumn and spring season planting. Also, for checking loss of moisture at surface level, hoeing as cultural practice in the inter-row spacing of sugarcane may be performed.

11.5.1.2 Chemical Application for Mitigating Drought Stress Effect

Exogenous application of nitric oxide enhances the tolerance power in canes for facing the drought (Silveira et al. [2017](#page-372-0)). Misra et al. ([2020b\)](#page-370-0) showed that usage of formulation of benzalkonium chloride and sodium metasilicate was effective in managing the post-harvest sucrose losses in sugarcane under drought stress condition. In drought condition, canes have often been infected with insects and pests, and so as to control it, usage of chlorpyrifos 20EC ω 5 lha⁻¹ in 1200 L water as spray in cane rows has been preferred for controlling termites, while for black bug and rodents, application of endosulfan (35EC @670 mL/ha in 1000 L water) and pre-baiting followed by zinc phosphide baiting thereafter bromoiodide cake baiting, respectively, have been known to be effective (Shrivastava and Srivastava [2006](#page-371-0)).

11.5.1.3 Use of Plant Growth-Promoting Rhizobacteria (PGPR) in Mitigating Drought Stress in Sugarcane

The application of PGPR in sugarcane has also been reported as another effective management strategy for coping up with drought stress (Pereira et al. [2019](#page-371-0)). PGPR are important to plants due to several beneficial effects. The production of antimicrobials, phytohormones, and siderophores and rise in nutrient levels are the reasons behind their beneficial impact (Someya and Akutsu [2005](#page-372-0); Ribeiro and Cardoso [2012;](#page-371-0) Bal et al. [2013](#page-368-0); Paungfoo-Lonhienne et al. [2014](#page-371-0); Verma et al. [2019\)](#page-372-0). In drought stress conditions, these rhizobacteria have the capability of increasing the tolerance power of plants by modulating nutrient uptake and phytohormone levels and improving water uptake by secreting metabolites such as exopolysaccharides (Arora et al. [2020\)](#page-368-0). Change in hormonal content helps in rising the capability of water absorption in sugarcane (Aroca and Ruiz-Lozano [2009](#page-368-0)). Kour et al. ([2020\)](#page-370-0) reported phosphate-solubilizing drought-tolerant rhizobacteria with ability to enhance the productivity under drought stress. Pereira et al. ([2019\)](#page-371-0) showed that microbial communities present in rhizosphere positively affected sugarcane under drought stress conditions with role of soil bacteria such as Bacillus, Falsibacillus, Streptomyces, Arthrobacter, and Microbacterium. These bacteria help in coping up with drought stress conditions in sugarcanes. Chandra et al. [\(2018](#page-369-0)) reported that two rhizobacterial strains (BSSC11 and BMSE7) caused an improvement in cane growth and an increase in cane tolerance capability under drought stress conditions. Furthermore, application of Azospirillum under drought stress conditions (in sugarcane) increases the nitrogen, phosphorus, and potassium content in plants. The study further showed that this interaction varies from genotype to genotype under drought stress conditions. The cultivar M 1176/77 showed a 15% rise in shoot growth and 75% in root dry mass under drought stress when inoculated by strain of Azospirillum (Moutia et al. [2010\)](#page-370-0). Chandra et al. [\(2021](#page-369-0)) reported that Bacillus BS87, a strain from sugarcane rhizosphere, is a preferred option as a bio-fertilizer that can aid to augment the cane growth of the plant and ratoon crop particularly during abiotic stress conditions.

11.6 Future Prospects

Though sugarcane is far superior in tolerating drought conditions through its natural endowments, still there is a need for evaluation of cane germplasm under such a situation. Furthermore, the development of varieties by using *Saccharum* or other related genera having peculiar characteristic (physiological and biochemical) for tolerance toward drought or multiple abiotic stress conditions through breeding is also another aspect to be worked upon. Molecular work on this aspect will help in identifying the genes responsible for helping in tolerating such conditions and also identifying the tolerant cane varieties. Although the first genetically modified (GM) sugarcane has been developed in Indonesia using bet A gene as a tolerant variety to drought conditions, its field performance is still lacking which needs to be worked upon. Also, more transgenic varieties are needed to be developed which could help in managing the problem of low production and productivity in drought conditions. Scanty information is available on application of PGPR and their mechanisms in sugarcane under drought stress conditions which needs to be further investigated. New strains of PGPR tested under drought stress condition need to be

inculcated in bio-fertilizers for further investigation under drought-prone ecosystems.

11.7 Conclusion

Sugarcane is a major producer of sugar and ethanol and has also gained much more importance in the present scenario. The natural abilities (low photorespiration, reduced water loss, high temperature tolerance, and several physiological adaptations) possessed by this crop are the characteristic features helping under drought stress conditions. But still, the present scenario of unpredictable weather as a result of climate change is causing cane production more prone to drought stress. Heavy losses have also been reported in sugar production under drought conditions from different parts of the world. However, management of drought stress in sugarcane by various strategies are now known, and these need to be researched upon further so as to tackle the impact of drought and climate change for this very important crop.

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The Beneficial Effects of Arbuscular Mycorrhizal Fungi and Compost on Plant Tolerance to Drought and Salinity Stresses: A Study on Date Palm and Alfalfa

Mohamed Ait-El-Mokhtar, Raja Ben-Laouane, Abderrahim Boutasknit, Mohamed Anli, Fatima El-Amerany, Salma Toubali, Soufiane Lahbouki, Toshiaki Mitsui, Said Wahbi, Marouane Baslam, and Abdelilah Meddich

Abstract

Phoenix dactylifera L. and Medicago sativa L. are two important crops, which play economic, social, and ecological roles in oasis ecosystems. However, the ecosystems where these plants grow are subjected to drought and salinity, which are the main limiting factors for their productivity. The present study was carried out to evaluate the date palm and alfalfa response to drought and salinity stresses and to study the effect of arbuscular mycorrhizal fungi (AMF) and compost in

M. Ait-El-Mokhtar

Laboratory of Agro-Food, Biotechnologies and Valorization of Vegetal Bioresources, Department of Biology, Faculty of Sciences Semlalia, Cadi Ayyad University, Marrakech, Morocco e-mail: a.meddich@uca.ma

T. Mitsui

M. Baslam

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Laboratory of Agro-Food, Biotechnologies and Valorization of Vegetal Bioresources, Department of Biology, Faculty of Sciences Semlalia, Cadi Ayyad University, Marrakech, Morocco

Department of Biology, Faculty of Sciences and Techniques Mohammedia, Hassan II University, Casablanca, Morocco

R. Ben-Laouane · A. Boutasknit · M. Anli · F. El-Amerany · S. Toubali · S. Lahbouki · S. Wahbi · A. Meddich (\boxtimes)

Laboratory of Biochemistry, Faculty of Agriculture, Niigata University, Niigata, Japan University, Niigata, Japan

Department of Life and Food Sciences, Graduate School of Science and Technology, Niigata University, Niigata, Japan

Laboratory of Biochemistry, Faculty of Agriculture, Niigata University, Niigata, Japan University, Niigata, Japan

enhancing the drought and salt tolerance. Date palm seedlings were grown under well-watered (75% field capacity: FC) and drought stress (25% FC) conditions with and without AMF inoculation on the one hand. On the other hand, date palm and alfalfa seedlings were grown under non-saline and saline conditions (0 and 240 mM NaCl; 0 and 120 mM NaCl respectively) with and without AMF inoculation and compost addition. Growth, mineral, physiological, as well as biochemical traits were evaluated in this study. Plant growth traits especially shoot and root dry weight were negatively affected by drought and salt stress. Nevertheless, mycorrhizal and composted plants showed higher growth parameters under drought and salt stress conditions compared to the untreated plants. AMF and compost mitigated the decline of P, K^+ , and Ca^{2+} concentrations induced by drought and salinity. Besides, AMF inoculation improved physiological traits through elevating photosynthetic efficiency, stomatal conductance, and leaf water potential under the two abiotic stresses. Furthermore, drought and salt stresses caused an increment in the antioxidant enzymes activities (superoxide dismutase, catalase and peroxidase, as well as ascorbate peroxidase). However, AMF inoculation induced further increase of these activities. In conclusion, colonization with AMF and compost addition could protect date palm and alfalfa plants against the negative drought and salt stress effects by mitigating their oxidative damage and improving their nutrient acquisition.

Keywords

Arbuscular mycorrhizal fungi · Compost · Drought stress · Salt stress · Growth · Oxidative stress · Tolerance · Date palm · Alfalfa

12.1 Introduction

The production of many crops in the world is confronted with problems caused by drought and salinity than by any other characteristics of climate (Ashraf et al. [2013;](#page-405-0) Gupta and Huang [2014;](#page-408-0) Ait-El-Mokhtar et al. [2020a](#page-405-0)). Drought and salinity are two widespread environmental abiotic stress factors in more than three quarters of the land surface of the globe (Li et al. [2007](#page-409-0)). These constraints impair almost all plant processes including morphological, physiological, and biochemical aspects, causing cell death, reduction in sizes of fruits and leaves, stomatal closure, photosynthetic perturbations, osmotic and oxidative stress, and membrane permeability (Nawaz et al. [2010;](#page-409-0) Fahad et al. [2017](#page-407-0); Ait-El-Mokhtar et al. [2020b;](#page-405-0) Ben-Laouane et al. [2020a](#page-406-0)). In order to mitigate their effects on agriculture, the cultivation of plants that have the ability to survive in dry and saline environments is encouraged.

Date palm and alfalfa are among the drought- and salinity-resistant plants cultivated in dry and quasi-dry land (Yaish and Kumar [2015](#page-411-0); Mouradi et al. [2018;](#page-409-0) Ait-El-Mokhtar et al. [2020c](#page-405-0)). Palm trees are known as a symbol of life in the desert due to their diverse benefits. Its cultivation offers fruits which contain essential nutrients (e.g., carbohydrates, amino acids, fibers, and minerals) as well as bioactive

molecules (e.g., vitamins and antioxidants) (Vayalil [2012](#page-411-0); Kamal-Eldin and Ghnimi [2018\)](#page-408-0). Regarding alfalfa, it is considered as an important forage crop for hundreds of species of herbivores and prey animals and for industry due to its nutritional composition (i.e., protein) and to its high productivity (Flajoulot et al. [2005](#page-407-0)). Even though they have the ability to resist, they still suffer from severe damage and are going to extinct slowly over time.

Nowadays, many techniques are provided to manage abiotic stresses. The appropriate irrigation practices and plant breeding technologies are mostly used to overcome these issues (Hasegawa and Bressan [2000;](#page-408-0) Munns [2002\)](#page-409-0). However, they are very expensive and consume more energy. Thus, the use of biological sources, like mutualistic microorganisms (e.g., arbuscular mycorrhizal fungi (AMF)) and organic amendments (e.g., compost), might be an alternative solution to increase either soil fertility or plant growth during stressful environments (e.g., drought and saline conditions) (Rady et al. [2016](#page-410-0); Santander et al. [2019\)](#page-410-0). AMF are symbiont fungi that belong to the phylum Glomeromycota (Schubler et al. [2001\)](#page-410-0). They can confer many advantages to host plants as inducing plant growth, mineral acquisition water uptake, and increasing plant resistance to biotic and abiotic stresses (Porcel et al. [2012;](#page-410-0) Giri [2017;](#page-408-0) Meddich et al. [2018\)](#page-409-0). Otherwise, compost is a bio-organic compound that is generated by recycling different organic wastes, such as leaves, grass, fruit, vegetable, manure, and olive mill waste (Azim et al. [2017\)](#page-406-0). The application of compost to the soil is considered as one of the most important and effective strategies that still applies in the present times. Compost promotes plant growth due to its richness in humic acid, hormones, and beneficial microorganisms (Keeling et al. [1995\)](#page-408-0) and its provision of essential micro- and macronutrients (El Amerany et al. [2020\)](#page-407-0).

The interaction of roots with AMF and compost could mitigate and reduce water and salt stress severity by improving photosynthetic activity and chlorophyll content (Hidri et al. [2016\)](#page-408-0), reducing the absorption of sodium $(Na⁺)$ and chloride $(Cl⁻)$ (Daei et al. [2009](#page-407-0)), and increasing the level of their antioxidation compounds such as polyphenol oxidase, superoxide dismutase, and catalase (Lakhdar et al. [2008;](#page-408-0) Meddich et al. [2015;](#page-409-0) Ye et al. [2019;](#page-411-0) Ait-El-Mokhtar et al. [2019,](#page-405-0) [2020a\)](#page-405-0). Many crops could potentially benefit from compost as well as AMF; however, the benefit might be different and depends on either crop species, compost doses, or AMF strains used. Here, we will discuss the beneficial effects of AMF as well as compost, produced locally, on the physiology and biochemistry of date palm and alfalfa plants subjected to water and salt stresses.

12.2 Materials and Methods

12.2.1 Preparation of AMF and Seedlings

The used AMF were Glomus monosporus, Glomus clarum, and Glomus deserticola strains and Aoufous mycorrhizal fungi consortium (obtained from the palm grove of Tafilalet, southeast of Morocco) (Meddich et al. [2015\)](#page-409-0). This consortium consisted of a mixture of native species as follows: *Glomus* sp. (15 spores g^{-1} soil), *Sclerocystis* sp. (9 spores g^{-1} soil), and *Acaulospora* sp. (1 spore g^{-1} of soil).

Date palm (Boufeggous cv.) and alfalfa (Medicago Sativa L.) seeds were disinfected using sodium hypochlorite (10%) for 10 min and were rinsed multiple times using sterile distilled water. The germination was carried out at 38 °C for 3 weeks for date palm seeds and at $28 \degree C$ for 1–2 days for alfalfa seeds.

12.3 Experimental Design and Growth Conditions

12.3.1 Experiment 1

Two-month Phoenix dactylifera cv. Boufeggous seedlings were planted in 5 L black plastic buckets filled with 4 kg of sterilized (3 h at 180 °C) sand–peat mixture (2:1 v/ v). The methodology for applying water stress was described by Tobar et al. [\(1994](#page-411-0)) and Meddich et al. [\(2000](#page-409-0)). The pots were then placed in a greenhouse. Five mycorrhizal treatments (non-inoculated control, Aoufous mycorrhizal consortium, G. deserticola, G. clarum, and G. monosporus) and two water regimes (25% and 75% FC) were applied.

12.3.2 Experiment 2

Young seedlings of alfalfa were transplanted into plastic pots containing sterile sand, mixed with sterile compost (autoclaved at 200 °C for 1 h for 3 successive days) at a rate of 5%. The used compost was characterized as follows: 0.270 mg/L P (phosphorus), $0.03 \cdot 10^{-3}$ mg/g NH₄⁺ (ammonium), $0.07.10^{-3}$ mg/g NO₃⁻ (nitrate), 0.44 NH_4 ⁺/NO₃⁻ ratio, 7.86 pH, 30.65% TOC (total organic carbon), 2.19% N (nitrogen), 14 C/N ratio, and 49% ashes. This experiment was performed in a greenhouse (with a 16/8 h day/night cycle and average temperature of 25.5 \degree C, relative humidity average of 68.5%, and light of 410 μ m⁻² s⁻¹) for 2 months, at the Faculty of Science Semlalia, Cadi Ayyad University Marrakesh, Morocco. The experiment consisted of two levels of salt 0 and 120 mM NaCl with and without Aoufous consortium application $(+AMF$ and $-AMF)$. The soil salinization was gradually carried out to prevent osmotic shock. The bags were irrigated as needed with distilled water and different salt solutions to keep the target salt levels. Two treatments were applied: uninoculated plants (control), and Aoufous consortium (AMF-treated plants) under 0 and 120 mM NaCl conditions. Many agro-physiological and biochemical traits were evaluated after 2 months of cultivation.

12.3.3 Experiment 3

After 2 months of germination, the palm plants were transplanted into plastic pots containing sterile sand. Seedlings were irrigated as needed with distilled water for

5 months after germination, and then they were subjected to 0 and 240 mM NaCl conditions. The experiment was performed in a greenhouse for 10 months under the same conditions as in the experiment 2. The experiment was designed in four treatments with two levels of salt in the soil (0 and 240 mM of NaCl) with and without Aoufous consortium inoculation $(+AMF)$ and $-AMF$). Ten replicates were performed for each treatment for a total of 40 completely randomized block pots.

12.3.4 Experiment 4

After 2 months of germination, date palm seedlings were transplanted into plastic pots containing a mixture of sterile sand and compost. The organic amendment was prepared from green waste and was the same compost used in the experiment 2. The compost was added in a proportion of 5% (w/w) when transplanting seedlings. Five months after transplantation, two salinity levels were applied (0 and 240 mM NaCl) as describe in experiment 3.

12.4 Measured Parameters

12.4.1 Assessment of Root Colonization, Growth, and Plant Biomass Accumulation

At harvest, the root part was separated from the shoot one and the biometrical traits (plant height, number of leaves, leaf area, and dry weight of shoots and roots) were measured. The roots were cut into 1 cm segments and were stained for mycorrhizal colonization assessment as described by Phillips and Hayman ([1970](#page-410-0)). The percentage of mycorrhizal colonization was measured using the formula described by McGonigle et al. [\(1990](#page-409-0)).

12.4.2 Water Content, Relative Water Content, and Leaf Water Potential

The water content (WC) of the aerial part was expressed in grams of water per gram of the dry matter (g/g DW). The relative water content (RWC) was determined on the leaf at the same level according to Barrs and Weatherly [\(1962](#page-406-0)). The leaf water potential (LWP) was determined using a pressure chamber as described by Scholander et al. ([1965\)](#page-410-0).

12.4.3 Stomatal Conductance, Chlorophyll Fluorescence, and Electrolyte Leakage

Stomatal conductance (gs) was measured at harvest using a porometer system (Leaf Porometer, Decagon Device, Inc., Washington, USA) following the user manual instructions. Chlorophyll fluorescence was determined using a chlorophyll fluorometer (Opti-sciences OSI 30 p) after 30 min of leaf dark adaptation. Three parameters were recorded: initial (F_0) , maximal (F_m) , and variable (F_v) fluorescence. The efficiency of photosystem II was expressed as the F_v/F_m ratio. Electrolyte leakage (EL) measurements were performed according to Lutts et al. ([1996\)](#page-409-0).

12.4.4 Photosynthetic Pigments, Hydrogen Peroxide, Malondialdehyde, and Phenolic Contents

Photosynthetic pigments were extracted as described by Smith and Benitez ([1955\)](#page-410-0), and the content of chlorophyll a, chlorophyll b, carotenoids, and total chlorophyll was estimated according to Arnon's ([1949\)](#page-405-0) formula. Malondialdehyde (MDA) and hydrogen peroxide concentrations in leaves and roots were evaluated following the methods of Dhindsa et al. [\(1981](#page-407-0)) and Velikova et al. ([2000\)](#page-411-0), respectively. The phenolic compound extraction was carried following the method of El Hadrami et al. [\(1997](#page-407-0)), and their concentrations were evaluated using the Folin–Ciocalteu reagent protocol.

12.4.5 Antioxidant Enzymatic Activities

Assay of enzymes was performed according to Ait-El-Mokhtar et al. ([2019\)](#page-405-0), and the supernatants were kept at -20 °C for subsequent biochemical assays. The activity of superoxide dismutase (SOD, EC 1.15.1.1) was determined following Beyer and Fridovich's [\(1987](#page-406-0)) method. The catalase (CAT, EC 1.11.1.6), ascorbate peroxidase (APX, EC 1.11.1.11), and peroxidase (POD, EC 1.11.1.7) activities were measured according to Aebi [\(1984](#page-405-0)), Amako et al. (1994) (1994) , and Polle et al.'s (1994) (1994) protocols respectively. For measurement of PPO activity (EC 1.14.18.1), it was performed following the method of Hori et al. ([1997\)](#page-408-0). The protein concentration in the different extracts was determined as described by Bradford ([1976](#page-406-0)) using bovine serum albumin (BSA) as a standard.

12.4.6 Nutrient Analysis

The mineral analyses were carried out on powdered oven-dried shoots and roots attacked with 98% H₂SO₄ and 30% H₂O₂. P concentrations were measured according to Olsen and Dean [\(1965](#page-409-0)). Na⁺, K⁺, and Ca²⁺ concentrations were

assessed by flame photometry (JENWAY, PFP7) following the method of Wolf [\(1982](#page-411-0)).

12.4.7 Statistical Analysis

The results data are means based on five replicates \pm standard error (S.E.) per treatment. The statistical analysis was carried out using COSTAT software (version 6.3). Data were subjected to analysis of variance (ANOVA). Differences between means were assessed by Duncan's multiple range test (DMRT) at $P < 0.05$.

12.5 Results

12.5.1 Effects of AMF on Improving Tolerance of Date Palm to Drought Stress

12.5.1.1 Effect of Water Stress on Palm Root Colonization with AMF

The frequency of infection (F) of date palm root system by AMF was lightly affected by the deficiency of soil water (Fig. $12.1a$). For the two imposed water regimes, F stayed high $(>60\%)$ for all the tested fungi. Aoufous consortium, G. *monosporus*, and G. clarum were more infectious ($F > 90\%$) than G. deserticola whatever the applied water regime. The intensity of palm roots colonization by different AMF decreased with increasing soil water deficiency (Fig. 12.1b). Mycorrhizal intensity was higher for Aoufous consortium, G. *monosporus*, and G. Clarum than for G. deserticola.

Fig. 12.1 Effect of water deficit on frequency (a) and intensity (b) of mycorrhizal infection after 9 months from AMF inoculation of date palm roots. Bars of each parameter labeled by different letters are significantly different ($P < 0.05$) as assessed by Duncan's test

12.5.1.2 Effect of AMF and Water Stress on Growth and Mineral Nutrition of Date Palm

The obtained results showed that mycorrhizal palms showed greater number of leaves compared to the non-mycorrhizal plants and regardless of the applied water regime (Table [12.1](#page-382-0)). The palms inoculated with Aoufous consortium, G. monosporus, and G. clarum had significantly improved leaf area compared to the control or G. deserticola mycorrhizal plants, while leaf numbers and leaf area were significantly reduced under water stress. In addition, shoot dry matter accumulation was significantly enhanced with the application of AMF and regardless of the applied water regime.

The Aoufous consortium, G. monosporus, and G. clarum application produced more shoot dry matter under severe water regime. Furthermore, mycorrhizal plant shoots recorded higher concentrations of P, K, and Ca compared to the non-mycorrhizal plants regardless of the water treatment (Table [12.2](#page-383-0)). In the same vein, Na concentrations were significantly higher in inoculated plants under favorable water regime (75% FC).

12.5.1.3 Effect of AMF and Water Stress on the Water Status of Date Palm

For both water regimes, mycorrhizal plants recorded a similar WC to the control (Table [12.3](#page-383-0)). In contrast, inoculated plants with Aoufous consortium and G. monosporus showed an increased relative WC compared to the stressed control. Moreover, AMF-treated palms recorded higher LWP values in comparison to the control under both water treatments. G. monosporus and Aoufous consortium inoculated plants had the highest LWP, while the stomatal resistance was significantly enhanced in non-inoculated palms compared to the inoculated ones.

12.5.1.4 Effect of Water Deficit and AMF on Peroxidase and Polyphenol Oxidase Activities and Phenolic Content

Peroxidase (POX) and polyphenol oxidase (PPO) activities were significantly increased in the presence of water stress (Table [12.4\)](#page-384-0). In the presence of water stress, POX activity was higher in G. deserticola-treated palms, while PPO activity was significantly greater in Aoufous consortium and G. monosporus inoculated plants compared to the non-inoculated plants. Under 25% FC, soluble phenol values were increased in inoculated and control plants except G. deserticola-treated palms. The highest content was recorded in G. clarum and G. monosporus inoculated plants.

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					Na
Water regime		P (mg/plant)	K (mg/plant)	Ca (mg/plant)	(mg/plant)
75% FC	Control	3.61 ± 0.21 f	1.68 ± 0.05 f	1.19 ± 0.07 h	0.20 ± 0.01 f
	Aoufous consortium	$9.90 + 0.58c$	$4.67 + 0.27c$	$2.58 + 0.15e$	$0.53 + 0.03h$
	G. monosporus	$18.11 + 1.06a$	$7.86 + 0.46a$	1.91 ± 0.11 f	$0.72 \pm 0.04a$
	G. clarum	$10.99 \pm 0.64b$	$2.57 + 0.15e$	$3.77 + 0.22a$	$0.46 + 0.02c$
	G. deserticola	$11.10 \pm 0.65b$	$5.67 + 0.33h$	$3.15 + 0.18c$	$0.72 + 0.04a$
25% FC	Control	1.75 ± 0.10 g	1.10 ± 0.04 g	1.11 ± 0.06 h	$0.22 + 0.01$ f
	Aoufous consortium	$11.56 + 0.68$	$3.66 \pm 0.21d$	1.63 ± 0.09 g	$0.35 \pm 0.02d$
	G. monosporus	$4.91 \pm 0.29e$	$3.83 \pm 0.22d$	$3.42 + 0.20$	$0.35 \pm 0.02d$
	G. clarum	$8.77 + 0.51d$	$1.96 + 0.11f$	$2.90 + 0.17d$	$0.45 + 0.02c$
	G. deserticola	5.39 ± 0.31 g	1.10 ± 0.04 g	1.03 ± 0.06 h	$0.29 \pm 0.01e$

Table 12.2 Effect of water deficit on the mineral content of mycorrhizal and non-mycorrhizal date palm after 9 months from AMF inoculation

Values of each parameter labeled by different letters indicate significant differences ($P < 0.05$) as assessed by Duncan's test

Table 12.3 Effect of water deficit on water status of mycorrhizal and non-mycorrhizal date palm after 9 months from AMF inoculation

Water Relative water Leaf water content potential (LWP) (WC)(g/g) content	Stomatal resistance (s/cm)
Water regime (RWC) $(\%)$ (MPa) dw)	
75% $2.99 \pm 0.23a$ $78.27 \pm 1.42b$ $-30.37 \pm 0.70d$ Control	$2.35 \pm 0.10c$
FC $-27.57 + 0.40c$ Aoufous $2.80 + 0.07a$ $79.33 \pm 2.08b$ consortium	$2.25 + 0.20c$
$3.21 \pm 0.47a$ $82.65 \pm 2.32a$ $-23.97 \pm 0.89a$ G. monosporus	$2.17 + 0.12c$
G. clarum $2.84 \pm 0.12a$ $76.51 \pm 2.18b$ $-27.20 \pm 0.23c$	$2.42 \pm 0.05c$
$2.83 \pm 0.13a$ $71.96 \pm 2.09c$ $-28.52 \pm 0.96c$ G. deserticola	$2.43 \pm 0.19c$
25% $2.88 \pm 0.08a$ $78.27 + 1.42e$ -35.03 ± 1.72 f Control	$3.21 + 0.06a$
FC Aoufous $-28.61 \pm 0.77c$ $2.94 + 0.04a$ $79.33 + 2.08d$ consortium	$2.15 + 0.14c$
$2.99 \pm 0.21a$ $-25.46 \pm 0.63b$ $82.65 \pm 2.32d$ G. monosporus	$2.13 \pm 0.02c$
$-30.67 \pm 0.15d$ G. clarum $2.68 \pm 0.17a$ $76.51 \pm 2.18e$	$2.25 \pm 0.10c$
G. deserticola $3.03 \pm 0.27a$ $71.96 \pm 2.09e$ -33.09 ± 1.08 e	$2.51 + 0.15$

Values of each parameter labeled by different letters indicate significant differences ($P < 0.05$) as assessed by Duncan's test

Table 12.4 Effect of water deficit on peroxidase (POD) and polyphenol oxidase (PPO) activities and phenol content of mycorrhizal and non-mycorrhizal date palm after 9 months from AMF inoculation

Water regime		POD (Unit/g fw)	PPO (Unit/g fw)	Phenols content (Catechine equivalent/g fw)
75% FC	Control	$281.67 \pm 6.34i$	$366.67 \pm 3.71i$	660.32 ± 3.36 h
	Aoufous consortium	$418.89 + 6.23 h$	606.67 ± 5.08 h	952.38 ± 7.11 g
	G. monosporus	750.00 ± 6.58 e	736.67 ± 3.92 g	$615.87 \pm 6.09i$
	G. clarum	723.33 ± 9.68 f	850.00 ± 3.25 f	$1401.97 \pm 7.48c$
	G. deserticola	576.11 \pm 6.92 g	$1070.00 + 6.58e$	$1177.78 \pm 5.53e$
25% FC	Control	$1427.50 \pm 7.74b$	$1176.67 \pm 6.79d$	$1347.30 \pm 7.45d$
	Aoufous consortium	$1124.17 + 6.65c$	$1816.67 + 6.79a$	$1022.22 + 2.23f$
	G. monosporus	$1428.33 + 4.10b$	$1588.33 \pm 8.55b$	$1629.52 + 4.88b$
	G. clarum	$1060.00 \pm 6.96d$	$1181.67 \pm 6.88d$	$2101.59 \pm 5.67a$
	G. deserticola	$1780.00 + 3.31a$	$1290.00 + 9.33c$	1026.03 ± 7.36 f

Values of each parameter labeled by different letters indicate significant differences ($P < 0.05$) as assessed by Duncan's test

12.5.2 Effects of AMF on Improving Tolerance of Date Palm to Salt **Stress**

12.5.2.1 Effect of Salt Stress and AMF on Growth Parameters of Date Palm

The salt stress significantly reduced the growth performances including shoot height, number of leaves, leaf area, and shoot and root dry weight (Table [12.5](#page-385-0)). The greatest reductions were recorded for root dry weight and leaf area (41 and 39%, respectively). The application of AMF diminished the deleterious impact of salt on the growth traits. Indeed, inoculated palms under stressed conditions had significant high plant height, number of leaves, and leaf area. Plants' dry weights were greater in mycorrhizal palms than in non-mycorrhizal plants.

No AMF colonization was observed in non-inoculated date palm roots. In the absence of salinity, AMF inoculation induced important date palm root colonization, while the application of NaCl stress significantly reduced the colonization of the root system of inoculated seedlings. Effectively, mycorrhizal frequency and intensity declined by 43 and 63%, respectively, under 240 mM NaCl (Table [12.5](#page-385-0)).

12.5.2.2 Effect of Salt Stress and AMF on Water and Physiological Traits of Date Palm

The application of salinity significantly reduced LWP and stomatal conductance (33 and 38%, respectively) (Table [12.6](#page-386-0)). However, AMF application significantly improved water and physiological traits in comparison to non-inoculated palms under 240 mM NaCl. The highest improvements were recorded for stomatal conductance and F_v/F_m (112 and 116%, respectively).

Values of each parameter labeled by different letters indicate significant differences (Values of each parameter labeled by different letters indicate significant differences (P $<$ 0.05) as assessed by Duncan's test $<$ 0.05) as assessed by Duncan's test

12.5.2.3 Effect of Salt Stress and AMF on Nutrient Contents of Date Palm

Plants' phosphorus content was significantly reduced by salt stress (43%), while Na uptake was increased two times in stressed palms in comparison to the control. In addition, K and Ca contents in plants grown in the presence of salinity were lowered by 45 and 31%, respectively compared to the control. In contrast, AMF inoculation improved the P, K, and Ca uptake and reduced Na concentrations in stressed plants (Fig. [12.2](#page-388-0)).

12.5.2.4 Effect of Salt Stress and AMF on Photosynthetic Pigments of Date Palm

Photosynthetic pigments content was significantly lowered under 240 mM NaCl (Fig. [12.3\)](#page-389-0). Application of NaCl stress reduced Chl a by 54%, Chl b by 43%, carotenoids by 37%, and total chlorophyll content by 50%, respectively, in comparison to the non-stressed date palm. In contrast, mycorrhizal plants showed an improvement of pigments under salt stress.

12.5.2.5 Effect of Salt Stress and AMF on MDA, H_2O_2 , and Protein Contents of Date Palm

The results in Table [12.7](#page-390-0) indicate that salt stress induced a significant enhancement of MDA and H_2O_2 concentrations in shoots and roots of date palms in comparison to the control. However, salinity significantly reduced protein concentration in all date palms. AMF inoculation showed a decline in MDA and H_2O_2 contents, while it recorded an increase in protein content (34%).

12.5.2.6 Effect of Salt Stress and AMF on Antioxidant Enzyme Activity of Date Palm

Salt stress induced a significant enhancement of antioxidant enzyme (SOD, CAT, POD, and APX) activity both in shoots and roots (Figs. [12.4](#page-391-0) and [12.5](#page-392-0)). This activity was greater in roots compared to the shoots. Furthermore, AMF application further increased the activity of these enzymes in stressed and non-stressed date palms.

12.5.3 Effects of AMF on Improving Tolerance of Alfalfa to Salt Stress

12.5.3.1 Effect of Salt Stress and AMF on Alfalfa Growth Traits

Salt stress application significantly reduced shoot and root dry weights of M. sativa (Fig. [12.6\)](#page-393-0). In addition, dry biomass production was improved in shoot and root by AMF treatment compared to the control under salt stress. Under normal conditions, shoot dry weight was increased by AMF compared to non-inoculated plants.

12.5.3.2 Effect of Salt Stress and AMF on Alfalfa Physiological Traits

The stomatal conductance values in M. sativa plant were decreased under salt stress with no significant difference between AMF inoculated and non-inoculated plants

Table 12.7 Effect of salinity on the content of hydrogen peroxide, MDA, and protein contents in the shoot and roots of mycorrhizal and non-mycorrhizal date

mycorrhizal and non-mycorrhizal date palm after 8 months from AMF inoculation. Bars of each parameter labeled by different letters are significantly different (P V $<$ 0.05) as assessed by Duncan's test

Fig. 12.6 Effect of salinity on shoot (a) and root (b) dry weight of mycorrhizal and non-mycorrhizal alfalfa after 2 months from AMF inoculation. Bars of each parameter labeled by different letters are significantly different ($P < 0.05$) as assessed by Duncan's test

(Fig. [12.7a\)](#page-394-0). However, the photosynthetic efficiency (F_v/F_m) has shown no significant difference in all treatments under normal and salt stress conditions (Fig. [12.7b\)](#page-394-0). This parameter was enhanced when plants were inoculated with AMF under salt stress and non-stress conditions. In addition, salt stress significantly decreased LWP values, in treated and untreated AMF plants (Fig. [12.7c\)](#page-394-0). However, no significant difference has been observed in mycorrhizal plants under salt stress compared to the control. The highest LWP value was reported in AMF inoculated alfalfa under normal conditions. As shown in Fig. [12.7d](#page-394-0), inoculation with AMF was significantly effective to decrease electrolyte leakage. The decrease of this parameter in mycorrhizal plants was significant under saline and non-saline conditions compared to non-inoculated plants.

12.5.3.3 Effect of Salt Stress and AMF on Alfalfa Biochemical Traits

PPO- as well as POD-specific activities were distinctly increased under salt stress (Table [12.8](#page-395-0)). Nevertheless, these activities were significantly pronounced in the root than in the shoot tissue. Under salt stress conditions and in the root part, the highest PPO activity values were observed in plants inoculated with AMF. However, the obtained results under stress showed that POD activity was higher in mycorrhizal plants compared to non-mycorrhizal plants in shoot and root tissues.

12.5.4 Effects of Compost on Improving Tolerance of Date Palm to Salt Stress

12.5.4.1 Effect of Compost Application and Salinity Stress on Growth Performances of Date Palm

As shown in Table [12.9](#page-396-0), the salinity stress significantly decreased biometrical parameters (plant height, leaf numbers, leaf area, and shoot and root dry weight). The most affected parameter by salt stress was root dry weight, which showed a decrease of 45% followed by leaf area with a decrease of 39%. The application of compost significantly enhanced the growth parameter in the presence of 240 mM

Table 12.8 Effect of salinity on the polyphenol oxidase (PPO) and peroxidase (POD) activity in shoot and root of mycorrhizal and non-mycorrhizal alfalfa after 2 months from AMF inoculation Table 12.8 Effect of salinity on the polyphenol oxidase (PPO) and peroxidase (POD) activity in shoot and root of mycorrhizal and non-mycorrhizal alfalfa after 2 months from AMF inoculation

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Table 12.9 Effect of salinity on growth parameters of amended and non-amended 10 month-old date palms **Table 12.9** Effect of salinity on growth parameters of amended and non-amended 10 month-old date palms

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NaCl. Date palms grown in the presence of compost under salt stress showed an increase of plant height, number of leaves, leaf area, and dry weight compared to non-amended seedlings under the same conditions.

12.5.4.2 Effect of Compost Application and Salinity Stress on Mineral Nutrition of Date Palm

Figure [12.8](#page-398-0) shows that shoot phosphorus content was significantly decreased by salinity (41%), while Na content was enhanced five times in stressed plants compared to the control. In addition, aerial Ca and K concentrations of salt-affected palms decreased by 37 and 31%, respectively, in comparison to the control. Date palm seedlings amended with compost showed an increase in the concentration of K, P, and Ca and a decrease in Na content in the shoot of palms grown in the presence of salt stress.

12.6 Discussion

12.6.1 Effects of AMF on Improving Tolerance of Date Palm to Water **Stress**

Drought is one of the main constraints that affects plant growth and development, consequently hampering productivity (Fernández-Lizarazo and Moreno-Fonseca [2016\)](#page-407-0). Exposure of plants to drought is identified as the most harmful environmental stress for plants, as it reduces their growth and yield more than any environmental constraint (Izanloo et al. [2008](#page-408-0); Osakabe et al. [2014\)](#page-409-0). Besides, date palm is considered a resistant species to drought because of its many adaptive strategies such as the optimization of water use (Meddich et al. 2015), thus affecting the plant morphology, physiology, and biochemical factors (Baslam et al. [2014](#page-406-0); Benhiba et al. [2015\)](#page-406-0). The use of AMF can offer a possibility to alleviate drought and other environmental constraints, by forming symbiotic associations with most terrestrial vascular plants. These fungi are famous to improve plant growth and health by enhancing mineral uptake and by improving tolerance to different environmental stresses (Clark and Zeto [2000](#page-407-0); Turnau and Haselwandter [2002](#page-411-0); Ben-Laouane et al. [2019\)](#page-406-0). Many studies showed that AMF symbiosis could protect the date palm plant against harmful effects of drought by a better capacity to colonize the root system (Baslam et al. [2014;](#page-406-0) Meddich et al. [2015;](#page-409-0) Paymaneh et al. [2019\)](#page-409-0). Our study reported that the AMF inoculation has strongly enhanced the host plants' mycorrhization parameters under drought stress. The strong level of root colonization under low soil WC is linked to the best adapted and/or effective AMF-plant interaction under drought (Lee et al. [2012\)](#page-408-0). The root colonization can enhance host plant growth under abiotic stress conditions (Meddich et al. [2018](#page-409-0); Ait-El-Mokhtar et al. [2019;](#page-405-0) Ben-Laouane et al. [2019;](#page-406-0) Boutasknit et al. [2020\)](#page-406-0). However, the application of AMF benefited date palm height, number of leaves formed, leaf surface, and shoot and root dry matter production compared to the control plants under water stress (Goicoechea et al. [2014;](#page-408-0) Meddich et al. [2015\)](#page-409-0). The improvement of growth and biomass of mycorrhizal

Fig. 12.8 Effect of salinity on aerial mineral uptake of amended and non-amended 10 month-old date palms. Bars of each parameter labeled by different letters Fig. 12.8 Effect of salinity on aerial mineral uptake of amended and non-amended 10 month-old date palms. Bars of each parameter labeled by different letters $<$ 0.05) as assessed by Duncan's test V \mathbf{P} are significantly different (

plants under water stress indicates a positive role of mycorrhizal symbiosis in host plant growth. In addition, the beneficial effect of AMF on date palm plants growth, under low soil water, may be related to the better uptake of low mobility nutrients from the substrate. In fact, a previous study of our team showed that date palm inoculated with AMF accumulated more mineral nutrition in leaves than non-AMF plants whatever the soil moisture regime is (Baslam and Goicoechea [2012](#page-406-0); Baslam et al. [2014;](#page-406-0) Meddich et al. [2015\)](#page-409-0). The possible mechanism of the stimulation activity of AMF on the performance of date palm is the modulation of the root system architecture and a better absorption of water and poorly mobile macro- and micronutrients (Rouphael et al. [2015;](#page-410-0) Meddich et al. [2015](#page-409-0)). It is well known that AMF can enhance the water status of host plants under water stress conditions. Meddich et al. [\(2015](#page-409-0)) reported higher leaf WC and LWP in mycorrhizal than in non-AMF plants under drought. This enhanced water status of AMF plants may be due to external hyphal extraction of soil water (Ruiz-Lozano and Azcón [1996\)](#page-410-0), higher stomatal conductance (Zhu et al. [2012](#page-411-0); Meddich et al. [2015](#page-409-0)), indirect effect of increased phosphate and other nutrient uptake (Meddich et al. [2020](#page-409-0)), greater osmotic adjustment (Boutasknit et al. [2020](#page-406-0)), as well as greater root hydraulic conductivity (Augé [2001](#page-405-0)) than non-AMF plants under water stress conditions. Anli et al. [\(2020](#page-405-0)) observed that better plant nutrition due to mycorrhizal symbiosis can help date palm to improve water absorption, mitigate the negative impact of water stress and maintain growth. In addition, a better water circulation in the plant may partially explain this tolerance in the presence of AMF. In fact, plant molecular analysis demonstrated the expression of genes coding for synthesis of aquaporins or water channel proteins in the roots of AMF plants under drought stress conditions (Zézé et al. [2007](#page-411-0)). Besides, AMF hyphae may reward the inhibition of aquaporin activity in AMF plants under water deficit (Quiroga et al. [2019\)](#page-410-0). It is known that prevention of dehydration during drought stress is the result of a close-fitting balance between the ability of root to uptake and distribute water throughout plant tissues (Wahbi et al. [2005;](#page-411-0) Quiroga et al. [2019](#page-410-0)). Other studies reported that AMF plants often present greater stomatal conductance compared to non-AMF plants under drought stress (Augé et al. [2014;](#page-406-0) Boutasknit et al. [2020\)](#page-406-0). Many studies found that plants inoculated with AMF showed an enhancement in the stomatal conductance under drought, such as rosemary (Rosmarinus officinalis L.) (Sánchez-Blanco et al. [2004\)](#page-410-0), tangerine (Citrus tangerine) (Wu et al. [2008](#page-411-0)), date palm (P. dactylifera L.) (Meddich et al. [2015](#page-409-0)), and rice (Oryza sativa L.) (Ruíz-Sánchez et al. [2011\)](#page-410-0). The increase in the stomatal conductance of mycorrhizal date palms under water stress can be attributed to a better water potential of the leaves. The water potential of plant leaves increased under drought stress according to preexisting literature (Chitarra et al. [2016;](#page-407-0) Mo et al. [2016;](#page-409-0) Anli et al. [2020\)](#page-405-0). However, the LWP of mycorrhized date palm was lower than that of the control group under drought stress, which could be due to the fact that AMF helped plants to adapt to drought stress.

Drought stress leads obviously to an overproduction of reactive oxygen species (ROS) which can threaten cells by inducing destructive physiological interference to plants (Cruz De Carvalho [2008\)](#page-407-0). Nevertheless, ROS accumulation may inhibit photosynthesis and respiration and may affect membrane permeability, gene

expression, and protein functions (Erice et al. [2007\)](#page-407-0). Plants could protect themselves against oxidative damage caused by stress through enzymatic and non-enzyme detoxification mechanisms of the ROS (Yang et al. [2008;](#page-411-0) Guo et al. [2010\)](#page-408-0). Caravaca et al. ([2005](#page-406-0)) showed that non-AMF plants under drought stress conditions increased their SOD and POX activities as compared to AMF plants because these plants had a lesser oxidative stress. This demonstrates that during long periods of drought, AMF are crucial to help date palm plants to cope with the oxidative stress (Baslam et al. [2014\)](#page-406-0). As a result, the accumulation of ROS in plants under drought stress was kept at a relatively low level by strengthening the components of the scavenging enzyme system, thereby reducing the degree of cell membrane peroxidation and mitigating the severe damage caused by drought stress. Mycorrhizal plants under water stress have been shown to accumulate soluble sugars that are known to improve their ability to tolerate drought conditions (Boutasknit et al. [2020;](#page-406-0) Anli et al. [2020\)](#page-405-0). Numerous studies have shown that soluble sugar concentrations in mycorrhizal plants were higher than those in non-mycorrhizal plants under drought stress (Meddich et al. [2015](#page-409-0); Huang et al. [2020](#page-408-0); Boutasknit et al. [2020\)](#page-406-0).

12.6.2 Effects of AMF on Improving Tolerance of Date Palm and Alfalfa to Salt Stress

As expected, salinity negatively affects alfalfa and date palm growth parameters (shoot and root biomass, plant elongation, and leaf area). It has been reported that root dry weight is generally less damaged by excess salts than shoot dry weight as observed here in alfalfa plants (Munns and Tester [2008](#page-409-0)). However, in date palm seedlings, root biomass was more critically affected by salt stress than shoot biomass. A previous study explains this by the fact that roots are the first to be exposed to excess soil salinity where they take minerals and water and transport them to the leaves (Garg and Pandey [2016](#page-408-0)). On the other hand, roots play a key role in salt tolerance, as they are the first area of defense against NaCl and are able to directly reduce or block sodium absorption (Rana et al. [2019](#page-410-0)). Salt generally affects root length and structure by decreasing cell size and division and affecting differentiation pathways (Bernstein [2013](#page-406-0)). The decrease in growth due to salinity is reported by previous studies on date palm (Diatta et al. [2014](#page-407-0); Sperling et al. [2014](#page-411-0)) and alfalfa (Elboutahiri et al. [2008](#page-407-0); Ashrafi et al. [2014\)](#page-405-0) cultivars. Previous research has indicated that the reduction of plant establishment and growth by salinity is owing to both the osmotic and ionic phases. During the first phase, growth inhibition is mainly due to the difficulty for the plant to absorb soil water. Second phase is due to the toxic effect of the NaCl ions in the plant, which is observed with the higher salt concentration (Porcel et al. [2012;](#page-410-0) Evelin et al. [2019\)](#page-407-0).

Mycorrhizal status, measured here as mycorrhizal frequency and intensity, was significantly declined under saline conditions. This could be due to delayed germination of spores and reduced growth and extension of AMF hyphae (Juniper and Abbott [2006;](#page-408-0) Elboutahiri et al. [2010](#page-407-0)). Salinity might also increase H_2O_2 level in the AMF roots, which can eventually lead to the deterioration of arbuscules (Fester and Hause [2005](#page-407-0)).

In this study, AMF inoculation with indigenous Aoufous consortium seemed to improve host tolerance salinity by mitigating the negative effects of salt stress on plant growth and development. Indeed, AMF improved different growth parameters in the salt-stressed alfalfa and date palm plants. The enhanced growth of AMF-treated plants under salt stress may be linked to improved mineral nutrition of the host plant through AMF (Evelin et al. [2019](#page-407-0)). Previous studies reported that plants treated with AMF in the presence of NaCl stress showed a significant improvement in the uptake of P, K^+ , and Ca^{2+} , versus a significant reduction in Na⁺ uptake which could promote biomass production (Sallaku et al. [2019](#page-410-0)). Inorganic phosphate transporters were found on Glomus versiforme hyphae that implicated in the direct uptake of P. In addition, a glutamine synthase gene was discovered in *Rhizophagus intraradices*, which increases the probability of N metabolism at the hyphae level that can be transported later to the plant (Salvioli et al. [2012](#page-410-0)). Felicia et al. ([2017\)](#page-407-0) showed that improved nitrogen absorption in saltstressed AMF plants is related to higher expression of nitrate and ammonium transporters. The improvement of P and N absorption through AMF inoculation could improve the tolerance of alfalfa and date palms to salt stress as demonstrated in our data. This may be due to the role of P in preserving the integrity of vacuolar membrane and facilitating the Na⁺ compartmentalization in the vacuoles (Miransari [2011;](#page-409-0) Bothe [2012\)](#page-406-0). Furthermore, potassium ions are essential to the plant because of their implication in the osmotic equilibrium, have a vital role in the stomata opening/ closing, and are a main element in protein biosynthesis (Evelin et al. [2019](#page-407-0)). On the other hand, calcium plays the role of a second messenger in the nuclear region of root hairs, and during salinity, its level is enhanced to transmit signals (Evelin et al. [2009\)](#page-407-0). Minimizing the storage of Na^+ and Cl^- ions in tissues is a mechanism used by plants to fight against salt stress. Miransari ([2011\)](#page-409-0) showed that AMF could alleviate salt stress on plant growth by accumulating $Na⁺$ in their vacuoles as strategies of tolerance to salt stress. The increases in K^+ and Ca^{2+} uptake are other strategies that allowed AMF plants to better tolerate salinity.

AMF application might improve the soil physicochemical and biological traits, which indirectly could positively influence plants' growth and tolerance to abiotic stress. Production of glomalin is the key factor of the contribution of AMF to soil aggregation. This glycoprotein substance improves soil structure by retaining soil particles in aggregates and keeping them stable, which enhances soil aeration and drainage and generates greater microbial activity (Garcia et al. [2019](#page-407-0); Ben-Laouane et al. [2020b\)](#page-406-0). Glomalin also plays a critical role in capturing several toxic elements, such as Na⁺ ions (Kohler et al. [2010](#page-408-0)).

Salt stress also disrupted and damaged the photochemical reactions of photosynthesis, particularly PSII, as shown by the decrease in the F_v/F_m ratio in alfalfa and date palm plants. In addition, salinity had an adverse effect on chlorophyll concentration. This negative effect may be related to the disruption of chloroplast by salinity (Parida and Das [2005](#page-409-0); Parihar et al. [2015](#page-409-0)) and specific enzymes responsible for the photosynthetic pigment synthesis (Murkute et al. [2006](#page-409-0)). A reduction in mineral uptake necessary for chlorophyll biosynthesis may also decrease the chlorophyll concentration. However, the present study showed an increment in chlorophyll content, photosystem II efficiency measured as F_v/F_m ratio, as well as stomatal conductance in alfalfa plants and date palm seedlings inoculated with AMF under salt stress. These enhancements may be related to a better photosynthesis capacity as a result of improved $CO₂$ assimilation and can be linked to mineral uptake enhancement particularly magnesium, an essential constituent of chlorophyll molecule (Sheng et al. [2008\)](#page-410-0). The improvement in the activity of PSII in AMF plants under salt stress was most probably linked to an accumulation of certain metabolites such as proline, sugar, and glycine betaine and enhancement of the antioxidant activity, which may protect the PSII complex and the $CO₂$ -fixing enzymes (Sheng et al. 2008). Similarly, AMF plants and seedling had greater capacity to assimilate $CO₂$ due to high stomatal conductance. Augé ([2000\)](#page-405-0) proposed that enhanced sink strength of AMF roots could be a cause of the observed favorable effect of AMF inoculation on stomatal conductance (gs). In addition, AMF colonization leads to a higher LWP under salinity as a result of changing root morphology (Augé et al. [2008\)](#page-405-0). Sheng et al. ([2008\)](#page-410-0) reported that AMF application improved plant WC versus non-AMF plants. This can be explained by the increase of hydraulic conductivity and water absorption ability mediated by AMF application on the plant roots even under salt stress (Kapoor et al. [2008\)](#page-408-0). The enhancement of water uptake could contribute to the maintaining of a larger leaf area and better gs and therefore better $CO₂$ assimilation. Campanelli et al. [\(2013](#page-406-0)) showed the importance of AMF in increasing water availability to the plant, possibly by improving root density, which reduce saline stress in alfalfa. Previous research has shown that AMF association affects plant water regulation by enhancing hydraulic conductivity via the positive regulation of root aquaporin genes, by boosting osmolytes or by activating hormonal signals like abscisic acid (ABA) that mediates stomatal conductance (Xie et al. [2018](#page-411-0)).

Under stressful conditions, the reduction in photosynthetic performance lets plants absorb more light energy than can be consumed by photosynthetic carbon fixation. This excessive accumulation of energy will lead to generation of ROS in the leaves of plants (Hossain and Dietz [2016](#page-408-0)). Our data showed that salt stress induced oxidative damage through increased H_2O_2 content, lipid peroxidation, and electrolyte leakage in date palm and alfalfa plants. The same results were reported by Lamaoui et al. [\(2019](#page-408-0)) in Argania spinosa, Rasool et al. [\(2013](#page-410-0)) in Cicer arietinum L., Alqarawi et al. [\(2014](#page-405-0)) in Ephedra aphylla, and Sandhu et al. ([2017\)](#page-410-0) in M. sativa. Roots are the organs that are directly affected by saline conditions; therefore, they have a higher ROS accumulation than shoots. ROS interact with unsaturated lipid membranes leading to the loss of its integrity (Pedranzani et al. [2016\)](#page-409-0). Our results demonstrated that AMF inoculation protected alfalfa and date palm plants from ROS as indicated by the decrease of electrolyte leakage, MDA, and H_2O_2 content in treated plants compared to the control. Stress-induced oxidative damage results from the increase of ROS such as H_2O_2 , leading to lipid peroxidation and then membrane dysfunction. ROS have the potential to diffuse through membrane aquaporin for affecting the cells over longer distance (Lamaoui et al. [2019](#page-408-0)). AMF may have restricted the diffusion of radicals by eliminating them at the sites of production. The reduced ROS accumulation and lower membrane damage in AMF plants may be related to the significant enhancement of antioxidant activities and phosphate metabolism (He et al. [2007](#page-408-0)).

In the present report, higher activity of antioxidant enzymes such as SOD, CAT, POD, PPO, and APX in AMF plants compared with non-AMF plants under salt stress was combined with the decrease of H_2O_2 accumulation and lipid peroxidation as well as electrolyte leakage reduction. This may indicate a less oxidative damage in the AMF plants. The same results were reported by Abdel Latef and Chaoxing [\(2011](#page-405-0)) in Solanum lycopersicum, Wu et al. [\(2010](#page-411-0)) in Poncirus trifoliate, and Hidri et al. [\(2016](#page-408-0)) in Sulla carnosa. It is known that SOD is the first enzyme that catalyzes the transformation of O_2 ⁻ into H_2O_2 and O_2 . APX and CAT interact with these active forms of oxygen and keep them at low levels. They act to detoxify H_2O_2 by transforming it in water and oxygen (Mittler 2002). Thus, reduced H_2O_2 and MDA levels in inoculated plants might be due to significant increase in these antioxidant activities (He et al. [2007\)](#page-408-0). Therefore, inoculated date palm and alfalfa plants are more tolerant to salt stress than non-treated ones as the result of the higher antioxidant activity, which may explain their higher biomass growth.

12.6.3 Effects of Compost on Improving Tolerance of Date Palm to Salt Stress

Many researches have reported that organic amendments confer various positive changes in plant growth and physiological and biochemical traits under salinity stress (Tartoura et al. [2014](#page-411-0); Beykkhormizi et al. [2018\)](#page-406-0). Compost application significantly alleviated the negative effects of salinity which can be explained by the fact that plants are able to absorb macro- and micro-nutriments provided by the composted waste (Cavagnaro [2014](#page-406-0)). Moreover, compost amendment can improve soil physicochemical and biological parameters (Weber et al. [2014](#page-411-0)) and enhance the liberation of nutrients in the soil, making them available to the plant (Akhzari et al. [2015\)](#page-405-0).

The deleterious effects of salinity on plant growth and development may be linked to nutrient imbalances (Evelin et al. 2019). In our report, the P, K⁺, and Ca^{2+} uptake in date palm plants decreased under saline condition. In addition, Na⁺ uptake was higher in seedlings grown under 240 mM NaCl, inducing the nutrient imbalance and thus disrupting photosynthesis and other metabolic processes (Ramoliya et al. [2004\)](#page-410-0). Compost application has been reported to affect plant physiological functions, particularly mineral uptake, and thus plant tolerance to salinity conditions (Chaichi et al. [2017](#page-406-0); Mbarki et al. [2018\)](#page-409-0). Chaichi et al. [\(2017](#page-406-0)) reported that tomato plants grown under salt stress treated with compost tea enriched with AMF presented an improvement of ion uptake, such as K^+ , Ca^{2+} , and Mg^{2+} . In addition, Mbarki et al. [\(2018](#page-409-0)) demonstrated that the organic matter application enhances N and P contents in plants grown in salt stress. The increase of K^+ , P, and Ca^{2+} acquisition could be among strategies of salt stress tolerance. The

enhancement of date palm tolerance to soil salinity through enhanced P uptake could be related to the role of this element in conserving the cell membrane integrity and in helping the compartmentalization of toxic ions in vacuoles (Bothe [2012](#page-406-0)). Furthermore, the limitation of $Na⁺$ uptake by the decrease of $Na⁺$ mobility in composttreated soil could be considered as another strategy to improve plant adaptation in salinity conditions (Mbarki et al. [2018\)](#page-409-0). Moreover, organic amendment has been reported to be directly linked to soil Na removal including Na leaching and Na adsorption ratio (Chaganti and Crohn [2015;](#page-406-0) Sun et al. [2016\)](#page-411-0).

12.7 Future Prospects

Since the last few decades, the scientific community has highlighted the importance of the use of AMF and compost to overcome various effects of environmental constraints on plant performances in the context of the changing climate. The present study opens new avenues for promising biotechnological techniques to mitigate the deleterious effects of drought and salinity on crop development and productivity. AMF and compost play a pivotal role in plant growth promotion and improve plant tolerance to drought and salt stress, which are closely linked to climate change. The combined effect of AMF and compost should be investigated with different formulations including various tolerant AMF strains and consortia (to drought and salt) and various composts prepared from different organic materials. Furthermore, molecular approaches could present new insights into the underlying mechanisms involved in the alleviation of these abiotic stresses on plant growth and development to promote their productivity in hostile environments.

12.8 Conclusion

Our study showed that inoculation with AMF or compost improved date palm and alfalfa growth under salinity or drought conditions. The native Aoufous consortium was the most effective in increasing the growth, physiological traits, as well as antioxidant enzymes under drought conditions. These indigenous AMF have also been effective in promoting the tolerance of date palm and alfalfa to salt stress. Drought and salt stress significantly reduced growth of date palm and alfalfa plants due to their evident effects on physiological as well as biochemical parameters. These constraints enhanced hydrogen peroxide and lipid peroxidation production inducing membrane integrity loss and simultaneously decreased the essential nutrient uptake. AMF inoculation and application of compost alleviated the harmful impact of drought and salinity on plant growth performances, by enhancing relative water content, LWP, photosynthetic efficiency, pigment content, antioxidant enzymes, and P, K^+ , and Ca^{2+} contents. In addition, AMF and compost application mitigated the salt stress-induced changes by reducing the excess uptake of Na⁺ under saline conditions. Based on these findings, our results support the view that plants inoculated with AMF or amended with compost can alleviate the deleterious effects under drought and salt stresses and stimulate plant development, mainly growth, physiological traits, accumulation of antioxidant enzymes, and reduction of cell membrane damage. Thus, these indigenous biofertilizers like AMF and compost could be an encouraging biological tool to improve plant performance and development and to alleviate drought and salt stress damages.

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13

Phosphate-Solubilizing Actinomycetes as Biofertilizers and Biopesticides: Bioformulations for Sustainable Agriculture

Brahim Bouizgarne

Abstract

Currently, there is a growing need of biofertilizers and biopesticides for sustainable agriculture, especially those formulated by using microorganisms or their products. Actinobacteria (actinomycetes) could display positive interaction with plants by acting as rhizosphere colonizers, endophytes, or symbionts. Numerous studies focused on their beneficial effects toward plants as growth promoters by mechanisms such as phosphate solubilization, production of phytohormones, decreasing ethylene production, or protection against phytopathogens (by production of siderophores, antibiotics, and lytic enzymes or by inducing systemic resistance). Thus, efficient strategies were developed to explore their potential in order to enhance plant fitness and crop yield. Since the last few decades, interest in actinomycetes able to solubilize insoluble phosphate forms is particularly increasing. However, these works are still not sufficient compared to that on the Gram-positive Bacillus and the Gram-negative Pseudomonas bacteria. In addition, actinomycetes with antagonistic effects against phytopathogens are largely isolated and their effects proved in greenhouses and/or field experiments. Although phosphate-solubilizing actinomycetes display high diversity, versatility and adaptation to harsh conditions, high metabolite production potential, and suitability to formulations, rare commercial compounds are available in markets. This chapter presents an overview of the diversity and importance of actinomycetes in natural and agricultural soils. It also presents recent knowledge on beneficial traits of phosphate-solubilizing actinomycetes to plants and their application as biofertilizers and/or biopesticides, with emphasis on

B. Bouizgarne (\boxtimes)

Laboratory of Plant Biotechnology (Biotechnologies Végétales), Faculty of Sciences, University Ibn Zohr, Agadir, Morocco

e-mail: b.bouizgarne@uiz.ac.ma

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actinobacteria-based formulations and obstacles that hinder their development and commercialization.

Keywords

Phosphate-solubilizing actinomycetes · Diversity · Biofertilizers · Biopesticides · Sustainable agriculture

13.1 Introduction

After nitrogen (N), phosphorus (P) is the most essential nutrient for plant growth and represents one of the major growth-limiting macronutrients. It accounts for between 0.2 and 0.8% of the dry weight of plants, and it is contained within nucleic acids, enzymes, co-enzymes, nucleotides, and phospholipids. P is essential for plant growth and development. Adequate P availability is required in many physiological and biochemical plant activities including cell division, photosynthesis, development of aerial and root systems, formation of flowers and seeds and maturity, N fixation in legumes, and starch storage.

In soil, P exists as insoluble inorganic phosphorus and insoluble organic phosphorus. In general, most soils are poor regarding available P (orthophosphate), and its deficiency severely restricts plant growth and yields. In fact, availability of soluble forms of P in the soils is limited because phosphorus is largely present as complexed, insoluble forms of iron (Fe), aluminum (Al), and calcium (Ca) (respectively calcium phosphate $(Ca_3(PQ_4)_{2})$, in calcareous soils and aluminum phosphate (AlPO) and ferrous phosphate (FePO) in acidic soils. In addition, soluble forms are rapidly converted in complex forms. Fe and Al at high pH and Ca at low pH fix the soluble form into insoluble form rendering it unavailable to the plants (Johnson and Loepper [2006\)](#page-430-0). This conversion is also responsible for the loss of about 75% of chemical P fertilizer, added to soils each year that rapidly becomes unavailable for plants.

Currently, constantly increasing global food demand and need to improve agricultural productivity led to the use of excess chemical containing P fertilizers. The Food and Agriculture Organization (FAO) of the United Nations [\(2017](#page-430-0)) reports that the world's population is expected to grow to almost ten billion by 2050, and global food security could be in jeopardy, due to mounting pressures on natural resources and to climate change. Each year, huge amounts of P based fertilizers are applied in conventional agriculture. However, only a low percentage of P contained in these fertilizers (30%) can be used by plants, while most of it is either leached or converted into insoluble phosphates. Thus, conventional agriculture causes soil and groundwater pollution including eutrophication and accumulation of toxic compounds. In addition, direct application of rock phosphate as fertilizer is not effective in most soils as plants could not extract P from these forms. During the last decades, the search for alternative methods such as the isolation and application of phosphatesolubilizing soil microbia gained much attention. Their use for plant growth

promotion is a promising ecofriendly strategy for improving crop productivity while preserving environmental quality. Indeed, increasing P use efficiency is a major challenge in intensive agricultural production systems that could be addressed efficiently by these bacteria as it could result in enhancing the growth and yield of economically important crops. Phosphate-solubilizing soil microbia can effectively solubilize insoluble rock phosphate forms or mineralize organic phosphate forms into soluble form, consequently making soluble P bioavailable to plants. They also prevent the released P from being immobilized again (Richardson et al. [2011\)](#page-431-0). Among soil microorganisms, phosphate-solubilizing actinomycetes are of great interest. Nowadays, attempts to develop their application for biological plant growth promotion as alternative strategy is ongoing, and efforts are made toward better understanding of phosphate-solubilizing actinomycetes' functional diversity, their root and rhizosphere colonizing ability, as well as their solubilizing mechanisms and other growth-promoting traits. In addition, actinomycetes are well known for their production of lytic enzymes and secondary metabolites involved in their antagonistic effects against phytopathogens. Among secondary metabolites, antibiotics are often biodegradable, making them a useful tool for the development of biopesticides with minimal side effects toward the environment (Law et al. [2017\)](#page-431-0). In order to make actinomycetes efficient components of sustainable agriculture, development of bioformulations with phosphate-solubilizing actinomycetes is considered an open research field which is still poorly considered. Indeed, one proposed strategy is to combine rock phosphate with slow-release actinomycetes as a sustainable solution for agriculture. In this chapter, we focused on diversity of soil actinobacteria, their ability to solubilize P, and their potential as biofertilizers. The mechanisms of inorganic phosphate solubilization and of organic P mineralization by these actinomycetes are highlighted, and the potential of their formulations and examples of their efficient use as biofertilizers and/or biopesticides in a sustainable agriculture framework are discussed. Finally, their potential as suitable components for diverse formulations is discussed with emphasis on obstacles that hinder development of such products.

13.2 Diversity of Actinobacteria and Their Importance in Soil

Actinomycetes are Gram-positive, filamentous bacteria with a high Shargaff coefficient (GC content greater than 70%). They are aerobic bacteria but some are facultative anaerobes. These bacteria are heterotrophic or chemotrophic but most are chemo-heterotrophs. They are able to use a wide variety of energy sources including recalcitrant complex polymers (Lacombe-Harvey et al. [2018;](#page-431-0) Castañeda-Cisneros et al. [2020\)](#page-429-0). One of the common features to all actinomycetes is the presence of mycelial structures with frequent formation of aerial mycelium and conidia. These characters bring them closer to fungi. However, these microorganisms are indeed bacteria because they exhibit all the characteristics of prokaryotic microorganisms, in particular the absence of a nuclear membrane surrounding the nucleus. The diameter of their filaments is also similar to that of bacteria; the filaments of species belonging to the genus Streptomyces have a diameter of the order of 0.5–2.0 μm (Loria et al. [2003\)](#page-431-0). Actinomycetes were at the origin of the discovery of actinomycin by Wacksman in 1940 from a culture of Streptomyces antibioticus (Wacksman and Woodruff [1940\)](#page-433-0) and streptomycin in Streptomyces griseus (Barka et al. [2016\)](#page-428-0). To date, these bacteria hold great importance in antibiotic biotechnology despite progress in chemical synthesis. In fact, 75% of the known antibiotics are naturally derived from actinomycetes and more particularly from the genus Streptomyces. Taxonomically, actinomycetes belong to the kingdom prokaryotes, Class Actinomycetes, and order Actinomycetales (Ludwig et al. [2012](#page-431-0)). Most actinomycetes have vegetative mycelium, also named substrate mycelium and an aerial mycelium. The aerial mycelium forms a cottony or powdery structure. The structure of vegetative mycelium varies depending on the composition of the culture medium, the growth conditions particularly the temperature, and the presence of stimulating or inhibiting substances. These mycelia can show pigmentation variable: white, gray, yellow, orange, etc., and often spores allow them to survive under unfavorable conditions and the resumption of vegetative growth when conditions become less hostile. Actinomycetes are adapted to various ecological environments, so they can be found in natural soils, in fresh or saline waters, and in the air. However, they are more abundant in the soil than other environments. In natural soils, they represent one of the most abundant microorganism groups among the soil microbial community in comparison with other telluric microorganisms. Species belonging to the genus Streptomyces can account for almost 95% of the total micropopulation of soil actinomycetes (Barka et al. [2016\)](#page-428-0). Their vertical distribution in soil ranges from the surface to more than 2 m deep (Breton et al. [1989\)](#page-429-0) where their density ranges from about 10^6 to 10^9 cells per gram (Barka et al. [2016\)](#page-428-0). The abundance of actinomycetes at soil level is influenced by several physicochemical parameters of the soil. Indeed, the temperature, the pH, and the humidity of the soils govern their growth. They can be preserved for long periods, thanks to the spores, which germinate in contact with exogenous nutrients (Goodfellow and Williams [1983\)](#page-430-0). Some actinomycetes are pathogenic such as Streptomyces scabies, S. acidiscabies, S. brasiliscabiei, S. caviscabies, S. europaeiscabiei, S. ipomea, S. stelliscabiei, S. turgidiscabies, and S. reticuliscabies (Hudec et al. [2021;](#page-430-0) Corrêa et al. [2021\)](#page-429-0). Other actinomycetes belonging to the genus Frankia are symbiotic of higher plants forming associations called actinorhiza and allowing N fixation (Bouizgarne et al. [2015\)](#page-429-0). However, most known actinomycetes are saprophytes or rhizosphere colonizers. Their saprophyte lifestyle is well studied as they are involved in decomposition of most recalcitrant organic compounds in polymeric form, in particular keratin, chitin, cellulose, and lignins (Gopalakrishnan et al. [2014;](#page-430-0) Bhatti et al. [2017](#page-428-0); Lacombe-Harvey et al. [2018;](#page-431-0) Gong et al. [2020\)](#page-430-0). By their ability to fix N and to decompose dead organic matter and organic matter recycling, they contribute to agricultural soil fertility.

The diversity among the actinomycetes could be evaluated by studying classical morphological and cultural characteristics as described by the International Streptomyces Project (ISP) (Shirling and Gottlieb [1966](#page-432-0)) and by more modern molecular methods (gene sequencing) (for review see Bouizgarne and Ait Ben Aoumar [2014\)](#page-429-0).

13.2.1 Phosphate-Solubilizing Actinobacteria as Biofertilizers

In order to apply actinomycetes as efficient plant growth promoters in greenhouses and fields, they should be efficient root colonizers and show good rhizosphere competence (El-Tarabily et al. [2008](#page-429-0); Bouizgarne [2013](#page-429-0)). The dynamics of root colonization ability of actinomycetes was well-studied by Merzaeva and Shirokikh [\(2006](#page-431-0)) and Franco et al. ([2007\)](#page-430-0). Actinomycetes show various plant growthpromoting (PGP) mechanisms. Mechanisms of action of actinomycetes could be either direct or indirect. Direct mechanisms include N fixation and production of phytohormones and siderophores (Fe chelators), providing plants with soluble phosphate and increasing nutrient uptake, while indirect stimulation of plant growth includes protection against phytopathogens either by antagonistic effects (production of secondary metabolites, competition, parasitism) or induced resistance (Bouizgarne [2013](#page-429-0)). Figure 13.1 summarizes the mechanisms involved in the beneficial effects of actinobacteria.

Numerous actinomycete genera and species including Streptomyces, Micromonospora, Actinopolyspora, Actinomadura, Kitasatospora, Nocardioides, Kibdelosporangium, Thermobifida, and Goirdonia possess phosphate-solubilizing potential (Hoberg et al. [2005](#page-430-0); Barreto et al. [2008;](#page-428-0) Abdulla [2009](#page-428-0); Franco-Correa et al. [2010;](#page-430-0) Satyaprakash et al. [2017](#page-432-0)). Phosphate-solubilizing actinomycetes exhibit various mechanisms in order to make P available for plants and contribute to their plant growth promotion abilities. These mechanisms are as follows.

Fig. 13.1 Mechanisms deployed by actinobacteria as plant growth promoting rhizobacteria (PGPR) and biocontrol agents

Solubilization by Lowering Soil pH and Cation Chelation Organic acid production is the principal mechanism for solubilization of soil P. This is allowed by microbial production of organic acids or the release of protons (Hoberg et al. [2005;](#page-430-0) Abdulla [2009](#page-428-0); Kumar et al. [2018](#page-431-0)). In alkaline soils, solubilization of complex insoluble calcium rock phosphate forms is rendered easy by decreasing soil pH by producing organic acids. Organic acids are the products of glucose which are used as carbon sources (Satyaprakash et al. [2017\)](#page-432-0). The ability of actinomycetes to increase P availability by organic acid release was shown by Hoberg et al. [\(2005](#page-430-0)), Abdulla [\(2009](#page-428-0)), Farhat et al. ([2015\)](#page-429-0), and Vargas Hoyos et al. [\(2021](#page-433-0)). Abdulla ([2009\)](#page-428-0), investigating actinomycetes originating from St. Katherine, Egypt, found that organic acids were produced by 97% of the isolated actinobacteria. Organic acids such as gluconic and 2-ketogluconic acid were found in culture extracts (Vargas Hoyos et al. [2021\)](#page-433-0). However, gluconic acid is the most commonly reported and most efficient solubilizing agent (Jog et al. [2014;](#page-430-0) Farhat et al. [2015;](#page-429-0) Vargas Hoyos et al. [2021\)](#page-433-0). Work by Farhat et al. [\(2015](#page-429-0)) demonstrated that solubilizing activity of a Streptomyces was proved to be concomitant with a lowering in pH due to the secretion of gluconic acid. Moreover, the bacterium showed presence of the gene gdh that encodes the glucose dehydrogenase (GDH) responsible for gluconic acid production from glucose and pqq (involved in biosynthesis of the pyrroloquinoline quinone cofactor of GDH). Another work by Jog et al. [\(2014](#page-430-0)) aimed to investigate the key glyoxylate cycle enzymes isocitrate dehydrogenase (IDH), isocitrate lyase (ICL), and malate synthase (MS) in the culture supernatant of a strain of Streptomyces with high phosphate solubilization potential. Results showed a significant increase in gene expression of the two enzymes (ICL and MS) and their activities that resulted in malate overproduction, responsible for lowered pH during stationary phase.

Solubilization by Mineralization of Organic Phosphate Mineralization occurs in soils where large amount of organic P compounds such as nucleic acids, phospholipids, sugar phosphates, phytic acid, and phosphonates are converted. Mineralization of organic phosphates through the secretion of phosphatases (enzymes that convert organic compounds containing P) is well known. Alkaline phosphatases (Juma and Tabatabai [1988\)](#page-430-0) or acidic phosphatase enzymes (Richardson et al. [2011\)](#page-431-0) were found in various actinomycete genera such as Actinomadura, Micromonospora, Streptosporangium, Nocardia, and Thermobifida (Franco-Correa et al. [2010;](#page-430-0) Bhatti et al. [2017](#page-428-0)). Moreover, Tao et al. ([2008\)](#page-432-0) found that solubilization by acidification and mineralization of organic phosphate may coexist in the same actinobacterial strain. Mineralization by both acidic and alkaline phosphatases (enzymes able to hydrolyze phosphoric esters) was found in Streptomyces and Thermobifida (Franco-Correa et al. [2010\)](#page-430-0), while phytates (enzymes able to convert inositol phosphate) were also found to be another existing mechanism deployed by Streptomyces (Reza-Ghorbani-Nasrabadi et al. [2012](#page-431-0)).

In laboratory, the ability of selected actinobacterial isolates to solubilize phosphate from the insoluble tricalcium phosphate $(Ca_3 (PO_4)_2)$, both qualitatively and qualitatively, is usually tested by using solid and liquid media (Nautiyal [1999\)](#page-431-0).

Fig. 13.2 Protocol for isolating phosphate-solubilizing actinobaceria and development of commerial biofertilizers

Plating the bacterial isolates on NBRIP (National Botanical Research Institute's phosphate) containing 10 g/L de Glucose, 5 g/L de MgCl₂.6H₂O, 0.25 g/L de $MgSO₄$.7H₂O, 0. 2 g/L de KCl, 0.1 g/L (NH4)₂SO₄, 15 g/L d'agar, et 5 g/L de $Ca_3(PO_4)$, or Pikovskaya medium (PVK) is used. After incubation, isolates which showed clear halos around their colonies were considered as phosphate solubilizers. Diameter of the halos are measured for each isolate by subtracting colony diameter from the total diameter. The NBRIP medium was successfully used for checking phosphate solubilization by Actinomyces and Streptomyces (Farhat et al. [2015\)](#page-429-0). Quantitative estimation of phosphate solubilization could be carried out according to Mehta and Nautiyal [\(2001](#page-431-0)) on liquid NBRIP medium under shaking and measurements of phosphate concentrations according to Fiske and Subbarow [\(1925](#page-429-0)). Figure 13.2 presents protocol scheme for isolation, characterization of phosphate-solubilizing actinobacteria, characterization of their PGP traits in vitro and in presence of plants, as well as development of commercial phosphatesolubilizing-based biofertilizers.

Phosphate-solubilizing microorganisms are group of beneficial microorganisms that could improve plant growth by making soluble P available to them. Among this group, phosphate-solubilizing actinomycetes could enhance plant growth by improving P acquisition efficiency, thereby converting the insoluble forms of P to soluble form. Consequently, they could represent an efficient promising approach for managing P deficiency in agricultural soils and also as biofertilizers. P use efficiency in agricultural lands can be improved through inoculation of soil or seed and seedling treatment by phosphate-solubilizing actinobacteria. Several studies demonstrated that plant growth promotion relies on the ability of the actinomycetes to solubilize phosphate (El-Tarabily et al. [2008;](#page-429-0) Dicko et al. [2018\)](#page-429-0). Actinomycetes belonging to genera Streptomyces, Streptoverticillium, and Micromonospora (El-Tarabily et al. [2008](#page-429-0); Gupta et al. [2010](#page-430-0); Kumar et al. [2018\)](#page-431-0) were studied for their ability to solubilize phosphates. In general, their solubilizing effects were particu-larly showed in deficient phosphate soils. El-Tarabily et al. [\(2008](#page-429-0)) reported that the rhizosphere-competent actinobacterium Micromonospora endolithica induced increase in available P in the soil, in greenhouse experiments. This isolate promoted the growth of bean plants (Phaseolus vulgaris) through the solubilization of rock phosphate (as evidenced by increases in the growth of roots and shoots) in comparison with another, non-phosphate-solubilizing Micromonospora species. In a work by Jog et al. ([2014\)](#page-430-0), strains of Streptomyces mhcr 0816 and mhce 0811 showing phosphate solubilization activity significantly improved wheat (Triticum aestivum) growth concomitant to an increase in mineral nutrients (Fe, manganese [Mn], and P). Similar work was conducted on sorghum by using strains taxonomically close to Streptomyces tsusimaensis, Streptomyces caviscabies, Streptomyces setonii, Streptomyces africanus, and an unidentified Streptomyces spp. in greenhouse conditions, showing significant enhancement in plant height, leaf area, stem weight, leaf weight, root length, root surface area, root volume, and root dry weight. Experiments conducted in field conditions showed that those isolates resulted in enhancement in rice growth and yield (Gopalakrishnan et al. [2013](#page-430-0)). More recent work by Boubekri et al. (2021) (2021) and Vargas Hoyos et al. (2021) (2021) , reported that phosphate-solubilizing actinomycete strains enhanced wheat $(T.$ *aestivum*) and soybean growth. Combination of phosphate-solubilizing actinomycetes in a tripartite association with plants and other beneficial microorganisms could result in enhanced plant growth effects by synergy between microorganisms. Co-inoculation of Streptomyces MCR9, Streptomyces MCR 26, and Thermobifida MCR24, with the arbuscular and mycorrhizal fungi Glomus mosseae, resulted in stimulated fungal spore germination and fungal development from spores. In pot experiment, this combination led to significantly increased total mycorrhizal root length of Glomus inoculated plants, shoot biomass enhancement, and an increased N and P uptake (Franco-Correa et al. [2010](#page-430-0)). In addition to phosphate solubilization, actinomycetes could also act by other mechanisms including phytohormones such as indole acetic acid (Tokala et al. [2002;](#page-432-0) Hasegawa et al. [2008](#page-430-0)) as well as increasing other nutrients' availability such as N. Streptomyces lydicus strain WYEC108 showed to increase the nodulation frequency in pea and could produce siderophores allowing improved uptake of iron in pea nodules (Tokala et al. [2002\)](#page-432-0). Table [13.1](#page-420-0) presents plant growth promotion exhibited by selected phosphate-solubilizing actinomycetes in experiments under greenhouse or in natural conditions.

13.2.2 Phosphate-Solubilizing Actinomycetes as Biopesticides

The search for antagonists among soil microorganisms is a preferred way to control plant diseases and is considered as a good alternative to chemicals which create imbalances in the microbial community structures and have detrimental effects on the environment. Among natural compounds produced by actinomycetes, antibiotics and enzymes could play a key role in inhibition of other soil microorganisms.

Table 13.1 Plant growth-promoting traits exhibited by phosphate-solubilizing actinobacteria Table 13.1 Plant growth-promoting traits exhibited by phosphate-solubilizing actinobacteria (continued)

Around 23,000 bioactive secondary metabolites are reported to be produced by microorganisms of which 45% (10,000 compounds) are produced by actinomycetes (Berdy [2005](#page-428-0)). The genus Streptomyces is the largest producer of known secondary metabolites of actinomycetes with approximately 70–80% bioactive natural products (Berdy [2005](#page-428-0)). Since the discovery of the first bacterial antibiotic by Selman Abraham Waksman (awarded with The Nobel Prize in Physiology or Medicine [1952\)](#page-432-0), a huge progress has been made on developing researches on the discovery of new antibiotics. By their ability to colonize rhizospheric environments, actinomycetes can compete with several telluric microorganisms. Competition could involve production of siderophores, which allow Fe to chelate and thus deprive other microorganisms, particularly phytopatogens. Some actinomycetes are parasites of fungi and produce enzymes such as chitinases and β-1,3 glucanases that allow them to degrade the wall of fungal cells. The ability to produce siderophores and chitinolytic and glucanolytic enzymes, involved in the antagonism against phytopathogens, are well documented (Hong et al. [2002](#page-430-0); de Vasconcellos and Cardoso [2009;](#page-429-0) Khamna et al. [2009;](#page-431-0) Gopalakrishnan et al. [2014\)](#page-430-0).

Due to their antagonistic abilities against phytopathogens, actinomycetes have been the subject of several studies aimed at the biocontrol of phytopathogenic diseases. For example, the strain S. lydicus WYEC108 with mycoparasitic properties toward Pythium ultimum and Rhizoctonia solani (Yuan and Crawford [1995](#page-433-0)) acts by the production of chitinases (Mahadevan and Crawford [1997\)](#page-431-0), while works by Hong et al. [\(2002](#page-430-0)) demonstrated the involvement 1,3-β-glucanase in antagonism by Streptomyces against several fungal pathogens including Pythium aphanidermatum, Colletotrichum higginsianum, Acremonium lactucum, and Fusarium oxysporum. Currently, antibiotic-producing actinomycetes constitute a valuable tool in biological control of plant pathogens. The strategy of searching antagonistic actinomycetes had been the subject of many studies mostly in natural soils and rhizospherical soils of various plants. In order to select high effective antagonists, some considerations regarding soil sampling are to be taken. Linderman et al. [\(1983](#page-431-0)) and Cook et al. [\(1987](#page-429-0)) recommended searching antagonistic microorganisms in healthy plants in a field with diseased plants of the same species. In addition, antagonistic effect of actinomycetes may be linked to the production of antibiotics in rhizospherical soils, which proved to provide more actinomycetes than non-rhizosphere soils (Crawford et al. [1993](#page-429-0)). For example, population densities of Streptomyces griseoviridis were higher in rhizosphere soil of Brassica rapa sp. oleifera and Daucus carota than in non-rhizosphere soil (Kortemaa et al. [1994](#page-431-0), [1997\)](#page-431-0). Thus, it's recommended to search effective actinobacteria in samples of rhizosphere soils (Bouizgarne [2013\)](#page-429-0). At laboratory scale, suitable techniques for screening for effective antagonistic strains are to be considered. In vitro, actinomycete activities could be monitored by using various techniques: direct confrontation, agar disc method, or activities of culture filtrates or culture extracts.

The ability of several actinomycetes to produce antifungal compounds which inhibit in vitro bacterial and fungal growth has been largely studied. This

antagonistic effect might allow them to inhibit the microbial growth of several bacterial and fungal phytopathogens in greenhouse and field conditions and thus reduce or eliminate the impact of most plant diseases of soil-borne origin (Hamby and Crawford [2000;](#page-430-0) El-Tarabily and Sivasithamparam [2006;](#page-429-0) de Vasconcellos and Cardoso [2009;](#page-429-0) Jog et al. [2014;](#page-430-0) Bouizgarne and Ouhdouch [2017\)](#page-429-0). The antagonistic properties of Streptomyces against phytopathogens belonging to bacteria and fungi such as Alternaria, Aspergillus, Clavibacter, Colletotrichum, Curvularia, Fusarium, Penicillium, Rhizoctonia, Sclerotium, or Xanthomonas are well established (Khamna et al. [2009](#page-431-0); de Oliveira et al. [2010;](#page-429-0) Poovarasan et al. [2013](#page-431-0); Jog et al. [2014;](#page-430-0) Tamreihao et al. [2016\)](#page-432-0). In addition to suppression of bacterial and fungal plant diseases, species of Streptomyces also showed antagonistic effects against the plantparasitic nematode Meloidogyne incognita (Nimnoi and Ruanpanun [2020\)](#page-431-0). However, few studies demonstrated that actinobacteria could release their antibiotics in soil. Streptomyces hygroscopicus var. geldanus used to control pea root rot caused by R. solani produces geldanamycin (an antibiotic with antibacterial, antiprotozoal, antifungal activities), which was detected at soil level (Rothrock and Gottlieb [1984\)](#page-432-0). Nigericin was also detected in soil by Trejo-Estrada et al. [\(1998\)](#page-432-0). Thus, several actinomycetes or their antifungals have been used in biological control of plant diseases. Streptomyces griseus var. autotrophicus or its antifungal metabolite, faeriefungin (Nair et al. [1989](#page-431-0)), has been used to control Fusarium wilt vascular disease of asparagus (A. officinalis L) (Smith et al. [1990](#page-432-0)). Geldanamycin produced by Streptomyces hygroscopicus var. geldanus was applied to suppress R. solani in soil (Rothrock and Gottlieb [1984\)](#page-432-0). Fungichromine from cultures of Streptomyces padanus have been demonstrated effective in reducing damping-off caused by R. solani in cabbage (Shih et al. [2003](#page-432-0)). The effects of the antibiotics blasticidin S, kasugamycin, and oligomycin A have demonstrated to control rice blast caused by *Magnaporthe oryzae* (Law et al. 2017). Importance of antibiotics in biocontrol was also demonstrated, for example, by Beausejour et al. ([2001\)](#page-428-0) who showed that a mutant of Streptomyces strain defective in the production of geldanamycin lost the ability to control common scab disease. In addition, antagonism by antibiotics could also be involved in plant growth promotion as indirect mechanism. De Vasconcellos and Cardoso ([2009\)](#page-429-0) reported that *Streptomyces kasugaensis* able to inhibit the growth of Fusarium and Armillaria pine rot resulted in plant promotion in growth of Pinus taeda under greenhouse experiment. Tokala et al. ([2002\)](#page-432-0) demonstrated that S. lydicus WYEC 108 induced increased root nodulation and nitrogenase activity and nodular assimilation in pea (*Pisum sativum*). Among the well-known commercialized products, antagonistic Streptomyces violaceusniger YCED9, an antifungal producer (Hamby and Crawford [2000\)](#page-430-0), also showed carrot growth promotion under gnotobiotic conditions. Another indirect mechanism by which actinomycetes could enhance growth of plants is protection by induction of systemic resistance toward subsequent challenge by phytopathogens. It was reported that cucumber showed increased disease resistance toward the phytopathogen Sclerotinia sclerotiorum by modulated salicylic and jasmonic acid pathways in response to a Streptomyces application (Mun et al. [2020](#page-431-0)).

Although phosphate-solubilizing actinomycetes were isolated in laboratories and their antagonistic effects against phytopathogens were demonstrated in vitro, only few researches demonstrated their protective effects in greenhouse and/or field conditions. It is obvious that strains with phosphate solubilizing abilities (and eventually other PGP) traits) in combination with biocontrol effects could result in more plant fitness and health. It was showed that species of phosphate-solubilizing Streptomyces could inhibit phytopathogens of rice (Debananda et al. [2009\)](#page-429-0) or tomato (de Oliveira et al. [2010\)](#page-429-0). Efficient biocontrol effects by phosphate-solubilizing actinomycetes were shown, for instance, against Pythium aphanidermatum and F. oxysporum f. sp. radicis-lycopersici, respectively, causal agents of damping-off and foot and root rot of tomato (Postma et al. [2013\)](#page-431-0), against Fusarium wilt of chickpea (Gopalakrishnan et al. [2011](#page-430-0)), and against sheath blight disease caused by Rhizoctonia solani in rice (Tamreihao et al. [2016](#page-432-0)). Table [13.2](#page-425-0) presents selected examples of phosphate-solubilizing actinomycetes with antagonism toward plant pathogens and, when achieved, their biocontrol abilities of plant diseases.

13.3 Bioformulations of Actinobacteria: Present and Future **Perspectives**

Sustainable agriculture could rely on soil management, genetic engineering of plants, and application of bioinoculants in order to increase nutrient amounts available to plants or protect them against phytopathogens (Arora [2018\)](#page-428-0). Plant growthpromoting bacteria (PGPB)-based bioinoculants could be applied bioformulations. Bioformulation is the process of mixing microorganisms (with nutritional supplements) or their products with inert materials to enhance their activity and viability during storage and field application.

Bioformulation of phosphate-solubilizing microbes as inoculants has been the focus of several studies in the last few decades, as it could represent an efficient sustainable, ecofriendly strategy. In fact, the use of less-soluble P sources such as rock phosphate in combination with actinomycetes or microbial consortia (actinobacteria and AMF, or other eubacteria) presents some advantages over phosphate fertilizers: (1) low cost of culture conditions including cheap byproducts and formulations including cost-effective carriers, (2) lower to no environmental detrimental impact, and (3) slow release of P from the rock phosphate to the soil, which could contribute to limit the rate of P adsorption and precipitation. The later aspect has attracted attention, and research is currently directed toward developing smart fertilizers with gradual release of nutrients. Other traits regarding quality and safety standards for registration could be summarized as follows: (1) availability of the microorganism in viable and active form and adequate inoculum density, (2) effectiveness as plant growth promoter and/or biocontrol agent against plant pathogens, (3) no toxicity to humans or animals is observed, (4) rapid biodegradation, and (5) no genetic transfer possibility to other related microorganisms.

The carrier plays the role to guarantee long shelf life and to make formulation application to plants easiest possible. Shelf life could be evaluated as the ability to

maintain viability at a high population density. In addition, the carrier should support the viability of the actinomycetes during its application. It is obvious that the ability of actinobacteria, particularly those of the genus Streptomyces, to form desiccantresistant spores makes them ideal bioinoculants as they can be formulated in dry formulations. This trait is not found in Gram-negative bacteria. Indeed, dry formulations (granules or powders) are generally preferred because of extended shelf life and easy transportation and storage, which can be suspended in liquid (oil, water, or oil in water emulsion) at the time of application (Sabaratnam and Traquair [2002\)](#page-432-0). Peat, rice, talcum powder, starch granules, Kaolin clay, Zeolite, powdered milk, charcoal, and alginate beads are examples of good carriers (Trejo-Estrada et al. [1998](#page-432-0): Sabaratnam and Traquair [2002](#page-432-0); Soares et al. [2007;](#page-432-0) Postma et al. [2013\)](#page-431-0). For instance, biopesticide such as Streptomyces melanosporofaciens EF-76, used to control potato's scab disease, was formulated in chitosan-polyphosphate beads (Jobin et al. [2005](#page-430-0)). Streptomyces pseudovenezuelae strain 13.4.2, a biocontrol agent for F. oxysporum f. sp. radicis lycopersici (causal agent of tomato foot and root rot disease), was formulated with animal bone charcoal (ABC) (Postma et al. [2013\)](#page-431-0). Among works on bioformulations of phosphate-solubilizing actinobacteria, Tamreihao et al. [\(2016](#page-432-0)) used a talcum powder formulation for Streptomyces corchorusii UCR3–16 for application as biofertilizer for rice plants.

Actinomycete-based commercial bioformulations with biocontrol activities are Mycostop (a fungicide containing S. griseoviridis K61, formulated as wettable powder), Actinovate (a fungicide containing S. lydicus, formulated as soluble powder), Nogall (a bactericide containing *Agrobacterium radiobacter* strain K1026), Mykocide (a fungicide containing Streptomyces colombiensis), Actofit (an insecticide containing Streptomyces avermitilis), Bactophil (a product for controlling seed diseases containing Streptomyces albus), Actin (a fungicide containing Streptomyces atrovirens as liquid formulation), and Bialaphos (a herbicide containing S. hygroscopicus and Streptomyces viridochromogenes as powder formulation) (Vurukonda et al. [2018;](#page-433-0) Gosal et al. [2020](#page-430-0)). In addition, available are some active substances derived from Streptomyces BLA-S (a fungicide containing blasticidin S), Kasumin and Kasurab (fungicides containing kasugamycin), and Agrimycin (a bactericide containing streptomycin) (Vurukonda et al. [2018\)](#page-433-0). Mycostop, a well-known and largely used biofungicide, based on the mycelium and spores of S. griseoviridis, was proved to be effective against *Fusar*ium wilt of carnation, damping-off of cruciferous plants, and root rot of cucumber (Tahvoven [1988](#page-432-0)). In addition, two products with both biocontrol and PGP activities are available: Action Iron[®] and Micro 108° , both containing S. lydicus WYEC108, but to our knowledge, no product with phosphate-solubilizing actinomycetes is available despite of their abovementioned advantages. In the light of such a fact, we can say that while the knowledge of the mechanisms by which actinomycetes are acting as phosphate solubilizers and/or biopesticides, and although numerous research papers are published on development of bioinoculants containing formulations, few actinomycete-based products are currently available in markets, and rare (if not available at all) are those with proved P-solubilizing activities. For

instance, Mycostop is the only Streptomyces-based plant protection product registered in the EU, Canada, and the USA (Vurukonda et al. [2018](#page-433-0)), others in Asia and Ukraine. However, most of them are registered as products for plant protection. Among constraints that hinder development and commercialization of bioformulations, we can cite:

- Difficulties regarding isolation procedures, identification of the microbial inoculant, and in developing cost-effective, optimal growing conditions for inoculum production as well as avoiding possible contaminations.
- Suitable carrier for bioformulation to ensure long shelf life and suitable formulation form (powder, liquid, or granule) and method of application (soil inoculation as mixture or by irrigation, seed inoculation, and application to leaves or roots of seedlings) (Law et al. [2017](#page-431-0)).
- Problems regarding ascertaining efficiencies in field conditions, as in case of many selected microorganisms, usually in vitro does not correlate with their in vivo behavior and consequently expected goals are not reached.
- Problems regarding discrepancies in efficiency caused by ecological parameters interference as bioformulations might be affected by physical and chemical factors such as organic matter, pH, nutrient level, and soil moisture which might result in failure in field conditions.
- Difficulties to meet farmers' needs, particularly in terms of ease of application method and concerns about comparative costs and efficiency between chemical and biological products. In this context, biological products should be attractive.
- Concerns regarding regulatory standards: quality control and regulation of biofertilizers and biopesticides (Arora et al. [2016](#page-428-0)).

13.4 Conclusion

Numerous studies addressed the search and application of plant growth-promoting bacteria or rhizobacteria (respectively, PGPB and PGPR). Actinomycetes could constitute a group of great importance due to mechanisms that benefit plant growth. Thus, sustainable agriculture, based on environmentally friendly techniques by using actinomycetes as growth promoters and/or disease biocontrol agents, could reduce the use of chemicals. The objective is to reduce negative impact on environment while developing smart, slow P-releasing biofertilizer formulations. Though numerous researches concerned actinomycetes as a particular soil-adapted taxon, few researches addressed the application of phosphate-solubilizing actinomycetes as PGPB for enhancing plant growth and/or as biopesticides at field scale. The advantages of using actinomycetes are numerous: (1) they are adapted to most soils with ability to thrive in different environmental conditions, (2) their high rhizosphere competence and adaptation to root conditions of most crops, (3) their ability to produce resisting spores, (4) their remarkable production of diverse metabolites with antibiotic activity, especially by Streptomycetes strains, (5) their biocontrol abilities toward plant pathogens, and (6) their suitability to formulations

as they can be formulated as dry or liquid formulations with relatively long shelf life. When applied in fields, several of them might display other PGP mechanisms (phytohormone production, siderophore productions, etc.) that can contribute largely to their effects in soils. Thus, adequate understanding of the mechanisms involved in enhancing plant growth effects and their interaction with biotic and abiotic factors should be taken into consideration.

In addition to lytic enzymes and antibiosis, eliminating deleterious phytopathogens could also be due to systemic resistance induction that confers protection to plants. Despite their remarkable traits, actinomycetes are underexplored for plant growth promotion, as compared to other bacteria such as *Pseudo*monas, Bacillus, or fungi (such as Phosphomax, Symbion-P, or Biophos). It is noticed that only few commercial efficient products based on actinomycetes are available for use in agriculture and no phosphate-solubilizing bioformulations of actinomycetes are available. Abovementioned obstacles are to be overcome, and researchers are encouraged to make actinomycetes available to farmers, by producing multifunctional formulations with phosphate-solubilizing actinomycetes as biofertilizers and as biopesticides. Researches in this topic are worth to be encouraged, and deep investigations should be performed for species not yet studied. Species able to produce various PGP mechanisms including phosphate solubilization, lytic enzymes, show antibiosis and induction of systemic resistance in plants are considered great candidates for successful formulations and sustainable agriculture.

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Active Role of Yeast in Environmental
Sustainability

Ketaki Ramani, Manan Desai, Disha Changela, and Kruti Dangar

Abstract

The present global economy with an increasing number of government policies and technological innovations fosters an increasing urge toward environmentally sustainable solutions. For centuries, yeasts have been used in fermentation processes. The unique physiology and versatile growth conditions make yeasts a promising tool for bioproduction of various products ranging from wines to jet fuels and, more recently, substituting certain plastic materials. Metabolic engineering tools and genome sequencing have seen tremendous growth in recent years, enhancing the production rates and decreasing the overall cost. Yeasts possess the potential to contribute to sustainability and a green economy. This chapter covers recent developments related to yeasts in white biotechnology.

Keywords

Saccharomyces cerevisiae · Sustainable fermentation · Biofuels · Biomaterials · White biotechnology · Food security · Biofertilizers · Bioagents

K. Ramani

M. Desai Department of Biochemistry, The Institute of Science, Mumbai, Maharashtra, India

D. Changela \cdot K. Dangar (\boxtimes) Department of Biochemistry, Saurashtra University, Rajkot, Gujarat, India

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Department of Microbiology, School of Science, RK University, Rajkot, Gujarat, India

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14.1 Introduction

The environment is essential for all the living beings from humans to microorganisms. Throughout ages, both human activities and natural causes have had a significant negative impact on the ecosystem (Fig. 14.1). It is, therefore, essential to adopt more sustainable practices to prevent further degradation and with the hope to repair the existing damage. The concept of sustainability was realized early during the eighteenth to nineteenth century by the German forestry industry to address the detrimental effects of the over-exploitation of forests (Vornholz [1994](#page-452-0)). It has been a highly discussed topic because of its global impact, but now in the post-COVID-19 pandemic period, the approach towards sustainable environmental development requires serious consideration.

However, there is no single sustainable development approach since it deals with multifaceted development problems. Broadly, industrial sustainability requires economic viability, environmental compatibility, and social responsibility. The aim of developing sustainable industries is to promote economic growth without environmental degradation. When used responsibly, biotechnology can help equilibrate biotic and abiotic relationships by providing solutions for various anthropogenic activities, propelling research toward socioeconomic and environmental benefits through renewable energy sources and other industrial applications. Developing countries such as India and Brazil are now eyed as potential markets mainly for agricultural (green) and industrial (white) biotechnologies (Barcelos et al. [2018\)](#page-448-0).

Fig. 14.1 Impact of growth and development on the environment. Rapid and uncontrolled growth of human activities and natural phenomena form a wicked interconnected cascade of events that have depleted natural resources and land quality for cultivation and polluted nearly all ecological niches. This skewed ecological balance has affected global climate and urgently requires more sustainable solutions

Table 14.1 Different color codes assigned to biotechnology and their applications in various industries (Kafarski [2012;](#page-450-0) Matyushenko et al. [2016](#page-450-0); Barcelos et al. [2018](#page-448-0); Graciano et al. [2019](#page-449-0); Steiner [2020\)](#page-451-0)

Color	
code	Industry
White	Industrial biotechnology
Green	Agricultural biotechnology, geomicrobiology, biofuels, biofertilizers/pesticides, and bioremediation
Blue	Coastal, marine, and freshwater biotechnology
Red	Biomedicine, biopharmaceutics, molecular diagnostics, vaccines and therapeutics
Yellow	Food and nutritional biotechnology
Brown	Desert and arid zone biotechnology
Gold	Bioinformatics and nanotechnology
Violet	Ethical, moral, and legal issues related to patents, inventions, and intellectual property rights
Grey	Waste management biotechnology
Dark	Abuse of biotechnology through bioterrorism and biowarfare

The "green" and "white" colors are among the other color codes (Table 14.1) assigned to identify the different areas of biotechnology.

Industrial or white biotechnology is based on modifying biological organisms, processes, products, and systems for producing goods and services. An industrial alliance where the by-product of one becomes the starting material for another would allow sustainable industrial ecology. Although bioproducts are less toxic and considered renewable, biodegradable, and recyclable, optimizing the efficiency and specificity of bioprocesses and the specific characteristics of bioproducts would not only increase its profitability and capacity but also reduce its environmental footprint. Among the various microorganisms used, one has provided humans with bread, beer, and wine since antiquity—the yeasts. These versatile microbes have applications in various biotechnological enterprises. This chapter briefly describes the role of yeasts in sustainable biotechnology (Ivanov and Hung [2010](#page-450-0); Gavrilescu [2010;](#page-449-0) Ezeonu et al. [2012](#page-449-0)).

14.2 Yeasts and Yeast Engineering

A quick Internet search will describe yeasts as a single-cell eukaryotic microorganisms constituting about 1% of all described fungal species belonging to the kingdom fungi. These versatile chemoorganotrophs are broadly categorized into two phyla, ascomycetes and basidiomycetes (non-saccharomyces yeasts). Taxonomically diverse yeast communities are of ecological importance, and their dynamics have been mainly studied in managed soils like vineyards or agricultural fields (Kurtzman and Fell [2006](#page-450-0); Yurkov [2018](#page-452-0); Li et al. [2020\)](#page-450-0). A popular member of the ascomycetes phylum is the Baker's yeast or Saccharomyces cerevisiae. Through gene duplication and metabolic rewiring, it has gained an evolutionary advantage

Industry	Application	References
Biopharmaceuticals	Protein production	Love et al. (2018)
Vaccine development	Hepatitis virus and human papillomavirus vaccines	Kumar and Kumar (2019)
Food industry	Valuable metabolites production and food waste valorization	Karim et al. (2020)
	Terpenoid and polyphenol production as natural food preservatives	Lyu et al. (2019)
Agriculture and animal husbandry	Sustainable protein feed for aquaculture	Øverland and Skrede (2017)
	Animal feed additives	Shurson (2018)

Table 14.2 Use of yeast and yeast-based products in industries that are currently being focused on for sustainable development

known as the Crabtree effect, allowing a competitive dominance by outgrowing other microorganisms (Hagman et al. [2013](#page-449-0); Dashko et al. [2014](#page-449-0); Sabater-Muñoz et al. [2020\)](#page-451-0).

Since the mapping of the yeast genome in 1996, S. cerevisiae has become a wellestablished cell factory in industrial biotechnology. Various strains have been used, produced in vitro, or subjected to adaptive evolutionary methods to develop a library based on the product and other parameters such as pH, temperature, and salt concentrations to aid in various industrial processes; some recent applications are summarized in Table 14.2. Despite its successful domestication and contribution to the developing bioeconomy, the current approach is considered unsustainable. A potential solution is a systems-based approach where metabolic engineering and the available gene manipulation tools can help increase the productivity and usability of a given strain (Nandy and Srivastava [2018\)](#page-451-0). In the post-genomic era, with an increase in genetic and biochemical data, the focus of metabolic engineering has now shifted to manipulating the entire cell and not just a single pathway—systems metabolic engineering. This approach is an advantage over conventional genetic engineering techniques by expanding the metabolic engineering toolbox enabling control of gene expression and modulating regulatory networks throughout the cell, facilitating overproduction of secondary metabolites (Yadav et al. [2012;](#page-452-0) Borodina and Nielsen [2014\)](#page-448-0).

14.2.1 Fermentation

There appears a classical association between fermented foods and beverages and the human diet that has been part of the local gastronomic tradition. Fermentation is a very diverse process involving a series of enzymatic biotransformation of major and minor food components determined by the substrate, microorganisms, and the product. The most commonly used microorganisms include yeasts and several bacterial species such as Acetobacter, lactic acid bacteria, Propionibacterium, and Bacillus spp. (Kårlund et al. [2020\)](#page-450-0). Engineered S. *cerevisiae* strains produced by

rewiring different metabolic pathways now provide innovative approaches for developing sustainable and novel yeast-based processes. This allows the optimization of the fermentation process in S. cerevisiae using episomal plasmids to overexpress selected genes, reconstruct heterologous pathways and develop robust genome-scale metabolic models (Hou et al. [2012](#page-449-0)). For instance, an important pathway target for strain engineering is the Ehrlich pathway allowing the production of short branched-chain fatty acids that serve as intermediates for the production of industrially important chemicals (Yu et al. [2016](#page-452-0)).

A successful and advantageous implication of metabolic engineering is observed in vitiviniculture. For a more sustainable approach, the wine industry has resorted to microorganisms that influence various stages from grape harvest to bottling and waste treatment, including fermentation. Microbial resources exploitable for sustainability improvements include a wide array of genera and species comprising yeasts (both non-Saccharomyces and Saccharomyces), fungi, and bacteria. Early inoculation of non-Saccharomyces yeasts such as Debaryomyces vanrijiae or Torulaspora delbrueckii has been shown to improve the aroma profile or contribute to the fruity notes by increasing the volatile thiol content, respectively. In addition, they provide must (young wine; freshly crushed grape juice) bioprotection by producing antimicrobial and antifungal compounds. They are often mixed with the commercial starters of S. cerevisiae early during vinification (Curiel et al. [2017;](#page-448-0) Nardi [2020\)](#page-451-0). Another example is of a biocontrol agent, Metschnikowia pulcherrima. It produces an insoluble red compound with antifungal activity, pulcherrimin, and is effective against several pathogenic yeasts such as Candida albicans. However, M. pulcherrima does not show antifungal activity against S. cerevisiae and is also compatible with alcoholic fermentation, making it a suitable starter prior to Saccharomyces inoculation. The positive influence of non-Saccharomyces yeasts allows controlled mixed fermentation by considering a mixed starter of selected non-Saccharomyces strains and S. cerevisiae to enhance the wine quality. They also help prevent the accumulation of unwanted compounds such as ochratoxin A or ethyl carbamate, affecting food safety. Apart from improving the quality of the wine, a proper combination of yeasts and/or bacterial strains such as lactic acid bacteria along with relevant modification in processing and technology can help in energy savings as well as in reduced use of additives like sulfites (Padilla et al. [2016](#page-451-0); Benito et al. [2019](#page-448-0); Nardi [2020](#page-451-0)). Like wine, beer brewing also requires yeast fermentation. The term beer refers to a broad group of fermented beverages with a different taste and aroma. In general, beer is a product of fermented cereals or hopped drink obtained from liquified starch. The most commonly used yeast for beer production, in particular lager-type beer, is *Saccharomyces pastorianus*. As with wine, genome editing and evolutionary engineering have allowed the designing of hybrid yeasts to enhance flavors and aroma, exploring new opportunities for its industrial application (Denby et al. [2018;](#page-449-0) Iattici et al. [2020\)](#page-450-0).

Beer brewing is closely connected with the microbial fermentation of cereals. The most abundant by-product produced during beer manufacturing is the brewers' spent grain (BSG), which is mainly barley grain husks. This protein and fiber-rich bulk constitute about 85% of the total by-products obtained. In large-scale beer-producing countries like the United States, Brazil, or Germany, enormous amounts of BSG are produced and require proper disposal. One of the main applications of BSG has been as an animal feed or disposed of in landfills. However, it may serve an alternative purpose. Given its beneficial attributes and bioactive contents, BSG is being incorporated into food products such as ready-to-eat snacks or cookies, promoting different and sustainable applications and potential reusability of this agro-industrial by-product. Furthermore, it can also be reused as a growth medium for yeasts by replacing current yeast culture media sustainably and economically (Lynch et al. [2016;](#page-450-0) Cooray et al. [2017;](#page-448-0) Iattici et al. [2020\)](#page-450-0).

Fermentation is not restricted only to brewing and wine production. Fermented food products, in particular traditional and indigenous products, have a significant sociocultural impact and are an archaic and inexpensive way to prepare food products with longer shelf life, better nutritive properties, and a good source of probiotic microorganisms such as different genera of lactic acid bacteria (Rezac et al. [2018\)](#page-451-0). Various microorganisms used, including yeasts, impart characteristic flavor to the fermented food. Several milk products such as cheese and yogurts as well as different flavored milk exploit a variety of yeast strains. The correct selection of microorganisms and raw materials can have a significant impact on the final product. For instance, yeast strains of Kluyveromyces lactis and Debaryomyces hansenii are responsible for the characteristic aroma of Camembert cheese. Similarly, the addition of yeast strains Saccharomyces boulardii and S. cerevisiae in acidophilus-yeast fermented milk produced by a bacterial strain *Lactobacillus acidophilus* increases the antioxidant properties of the milk as well as enhances the viability of the bacteria (Nandy and Srivastava [2018](#page-451-0)). More recently, yeast fermentation of coffee beans has been shown to improve the quality of the coffee. Fermentation of green coffee beans with *Wickerhamomyces anomalu* (formerly *Pichia anomala*) increased the antioxidant property and reduced the total tannin content of fermented coffee (Haile and Kang [2020](#page-449-0)).

Food sustainability aims to provide food security, optimal use of natural and human resources, and respect to biodiversity and ecosystems (Tamang et al. [2020\)](#page-451-0). Fermented food products have high nutritive content and cater to food security issues in countries like Nigeria, thereby providing subsistence to the regional economy and boosting rural livelihood (Adesulu and Awojobi [2014\)](#page-448-0). Another example is that of cereals, which are an important part of the human diet. During the processing stages, by-products such as bran and BSG are generated in large quantities. Despite being rich in nutrients, these by-products are used as feed, fuel, substrates for biorefinery, or waste and are only partially recycled. Fermentation along with tailored enzymatic treatments of these by-products provides a feasible approach for innovative enhancements in the bioprocessing technology, thereby encouraging sustainable green biotechnologies to revalorize the high quantity of by-products (Verni et al. [2019;](#page-452-0) Tlais et al. [2020\)](#page-452-0).

14.2.2 Food Security

Food security and insecurity are serious global issues, especially in developing and underdeveloped nations. Security refers to the availability, access, and utilization of food, whereas insecurity is associated with disruption of food due to the climate, inconsistent cropping patterns, or unemployment (Challa et al. [2019](#page-448-0)). The concept of food security originated in the mid-1970s to address the global food crisis and combat global hunger. The classical and widely accepted definition of food security "when all people, at all times, have physical and economic access to sufficient, safe, nutritious food that meets their dietary needs and food preferences for an active and healthy life" is predominantly governed by two key factors, price and affordability. The high cost of nutritious food consumes nearly the entire earnings of low-income people, making it unaffordable for many, especially those from underdeveloped countries like Nigeria (Herforth et al. [2020](#page-449-0)). Population growth has led to an increased demand for healthy human food and animal feed, particularly in developing countries, steering the research toward more sustainable nonconventional sources. Figure [14.2](#page-441-0) compares the impact on food insecurity through the prevalence of undernourishment and the number of undernourished people in developing and underdeveloped countries like India and Nigeria, respectively. According to the Food and Agriculture Organization (FAO) of the United Nations, developing nations like India have taken a positive step toward food security and are likely to reduce the prevalence of undernourishment in the future, whereas countries like Nigeria require more support in dealing with food insecurity (FAO [2020](#page-449-0)). Microorganisms provide an elegant solution to address this issue, and their properties such as fermentation (previous section) have been exploited through biotechnology to effectively improve the nutritive quality of food and provide a potential solution for global food shortage. Apart from being associated with fermentation, yeast S. cerevisiae has proven to be a promising and inexpensive source for producing proteins from microbial biomass, often referred to as single-cell proteins (SCPs) (Razzaq et al. [2020](#page-451-0)). There are also commercially available products from Saccharomyces, Fusarium, and Torulopsis such as Marmite[®] or Quorn[™]. The latter contains a mycoprotein from a filamentous fungus Fusarium venenatum to manufacture food-grade SCP and is used as a meat substitute (Upcraft et al. [2020\)](#page-452-0).

Traditionally, as discussed in the previous section, fermentation has been used to make various food products (like cheese) and beverages (wine or beer) and preserve food improving their storage life. With the increasing need for healthy and nutritive food products, this age-old technique has been revisited to improve the nutritive value of the food product and ensuring food security. This has led to the popularization of indigenous fermented products that have had traditional and cultural significance. For example, yeasts like Pichia kudriavzevii and S. cerevisiae are predominantly present in nearly all indigenous fermented food and beverages of sub-Saharan African countries like Ethiopia, producing compounds like folate and providing probiotic properties (Johansen et al. [2019;](#page-450-0) Koricha et al. [2020](#page-450-0)). Similarly, when yeast is used as a starter in microbial fermentation of cocoa beans, it produces functional volatile compounds and increases the nutritive value, quality, and safety

13.8% (2018-2020) and is expected to reach 23% by 2028-2030. Similarly, Nigeria has also seen a gradual increase in the number of undernourished since 2005-2007 from ten million to 24.6 million (2017-2019) and is expected to the years 2003–2005, PoU has decreased from 22.2% to 14% in 2017–2019. Also, the NoU has decreased from 251.1 million in 2003–2005 to 189.2 million in 2017-2019. In contrast, in underdeveloped countries like Nigeria, the prevalence of undernourishment has seen a gradual rise since 2011-2013 from 12.0% to to the years 2003–2005, PoU has decreased from 22.2% to 14% in 2017–2019. Also, the NoU has decreased from 251.1 million in 2003–2005 to 189.2 million in 2017–2019. In contrast, in underdeveloped countries like Nigeria, the prevalence of undernourishment has seen a gradual rise since 2011–2013 from 12.0% to 13.8% (2018–2020) and is expected to reach 23% by 2028–2030. Similarly, Nigeria has also seen a gradual increase in the number of undernourished since 2005–2007 from ten million to 24.6 million (2017–2019) and is expected to reach 59.2 million by 2028–2030. This projection is represented and predicted by the Prevalence of Undernourishment (PoU) projection tool based on the FAO data (FAO 2020) the Prevalence of Undernourishment (PoU) projection tool based on the FAO data (FAO [2020](#page-449-0)) of the product; this has attracted the interest of the chocolate industry (Mota-Gutierrez et al. [2019\)](#page-450-0).

The probiotic properties of fermented products have added another layer of eubiotics to food security. By definition, eubiotics refer to the use of feed additives such as probiotics, prebiotics, essential oils, and organic acids to ensure the hygienic and healthy living of the livestock. The increasing global demands for food have resulted in the industrialization of animal productions and administration of antimicrobial drugs to livestock. Such uncontrolled and prolonged use of antibiotics has contributed to antibiotic resistance in animals, thus increasing the risk of zoonotic diseases. Pathogenic bacteria such as Salmonella or Campylobacter use animalderived food products (milk, meat, or eggs) as reservoirs and cause foodborne infections in humans (Santovito et al. [2018\)](#page-451-0). Therefore, a healthy relationship between humans and animals is required to prevent another pandemic (The Lancet [2020\)](#page-452-0). Prebiotic feed additives can modulate the poultry gastrointestinal tract by limiting the establishment of campylobacter, thereby increasing bird growth rates and preventing human foodborne infections (Ricke et al. [2020\)](#page-451-0). Yeasts and yeastderived products have recently been explored and found to control the spread of zoonoses. Yeast cell wall-based products provide a sustainable commercial alternative to antimicrobial growth products and improve host immunity. In addition, Saccharomyces-derived prebiotic refined functional carbohydrates have been found to reduce human foodborne pathogens in poultry (Santovito et al. [2018;](#page-451-0) Froebel et al. [2019](#page-449-0)). Yeasts and yeasts-derived products are versatile and serve as a potential economic tool to combat malnutrition in developing countries. Future studies and research are required to explore and develop innovative applications of yeasts related to food security.

14.2.3 Biofertilizers and Biocontrol Agents

Accumulation of industrial effluents, pesticides, and other agro-chemicals degrade the quality and nutritive value of the land, resulting in poor crop yield. Food security and crop productivity depend on soil characteristics, which in turn are modulated by the dynamic chemistry of soil microbes that have co-evolved with plants (Arora [2018\)](#page-448-0). Agroecological practice of biofertilization appears as a promising method of revitalizing the soil through microorganisms (Gouda et al. [2018;](#page-449-0) Arora et al. [2020\)](#page-448-0). Soil arbuscular mycorrhizal fungi (AMF) are microbial symbionts of plants, which are now being used to improve yields of important crops. AMF form an intricate network with plant roots and aid in increasing the overall biomass productivity, crop performance, and soil quality. As a result, AMF is being considered as a natural alternative to chemical fertilizers to prevent further soil degradation, promote sustainable agriculture, and boost global food security. A practical example of this can be seen in the countries of the Great Mekong Region that are slowly adopting biofertilization as opposed to conventional practices leading to increased production of biofertilizers in China. However, this technology is in its infancy and requires extensive research (Rodriguez and Sanders [2015;](#page-451-0) Igiehon and Babalola [2017;](#page-450-0)

Atieno et al. [2020;](#page-448-0) Gujre et al. [2021](#page-449-0)). Among other microbes, yeasts have shown a potential to be bioagents capable of managing sustainable agriculture. Yeasts have shown activity against several diseases affecting fruits, vegetables, and plants. Various yeast species play diverse roles in sustainable agriculture. For example, Cryptococcus sp. serve as biocontrol agents inhibiting growth of pathogens and reducing growth of lesions and necrosis in plants. Alvarez et al. ([2019\)](#page-448-0) reported yeast strain Candida sake 41E as biocontrol agent against Penicillium expansum, a common post-harvest pathogen of apple. Brewers' yeast waste was found to enhance the growth and nutrient content of sugarcane and tomato plants (Lonhienne et al. [2014\)](#page-450-0). Another example is of S. cerevisiae strain PSY-4 that is known to promote plant growth, especially for corn plants. Similarly, yeast strains D. hansenii Dh-67, Lachancea thermotolerans Lt-69, and S. cerevisiae Sc-6 have shown to promote seedling growth and development by increasing its dry weight and chlorophyll content. Yeasts also help control and prevent post-harvest diseases. Candida sp. prevent growth of pathogenic stains like Penicillium digitatum and Botrytis cinerea in fruits like grapes, apples, peaches, and citrus fruits. Recently, psychrophilic Antarctic yeasts such as Metschnikowia fructicola, Pichia guilliermondii, and Saccharomycopsis schoenii among others were found to prevent growth of phytopathogens at low temperatures and being considered as bio-control agents used to prevent post-harvest diseases among fruits when stored in cold (Ferreira et al. [2019](#page-449-0); Fernandez-San Millan et al. [2020](#page-449-0); Mukherjee et al. [2020](#page-450-0)). Despite these observations and reports, the use of yeasts and yeast waste as biofertilizers requires more innovative studies to further probe into this aspect and help promote sustainable agriculture.

14.2.4 Biofuels

The global economy is dependent on carbon-based fuels. With depleting petroleum reservoirs and associated geopolitical issues, a need for more sustainable fuel sources has increased, leading to a paradigm shift directed towards biofuels. Presently, the most widely used biofuel is ethanol which is produced from sugar cane, corn, or wheat. Other than ethanol, advanced alcohols such as propanol, 1-butanol, and 3-methyl 1-butanol are also gaining popularity among alcohol obtained from renewable lignocellulosic biomass processed from food and agricultural wastes (Hegde and Trabold [2018](#page-449-0)). High concentrations of ethanol can be produced by exploiting the make-accumulate-consume strategy during alcoholic fermentation (Hagman et al. [2013\)](#page-449-0). This remarkable evolutionary trait has proven beneficial in the framework of a sustainable industry.

Bioethanol is the most widely used biofuel with a distinguished history dating back to the early 1900s. Popularly, it has been blended with gasoline (gasohol) to reduce the emission of exhaust gases. Several sources such as lignocellulosic biomass, or agricultural and forest residues, can be used to produce bioethanol. Apart from these, even newspaper has been considered for bioethanol production to minimize municipal solid wastes. Yeast-based bioethanol production depends on the conversion rate of carbohydrates to ethanol and the industrial conditions maintained during production. Yeast strains such as *Pichia stipitis* NRRL-Y-7124, *S. cerevisiae* RL-11, and *Kluyveromyces fragilis* Kf1, in particular *S. cerevisiae*, have shown to be efficient bioethanol producers from spent coffee grounds (Mussatto et al. [2012\)](#page-451-0). Although S. cerevisiae shows tolerance to ethanol, the sustainability of fermentation reaction can be significantly affected by high alcoholic stress (at levels >15%). To overcome this, directed evolution and metabolic engineering have led to the development of ethanol-tolerant S. cerevisiae mutant strains. However, since the commercial yeast, S. cerevisiae, cannot ferment pentose sugars, ethanol-tolerant and thermotolerant K. marxianus, capable of fermenting both hexose and pentose sugars, can be used. Furthermore, modifications such as cellular immobilization are advantageous by improving cell density, high substrate conversion, and resistance toward inhibitors for sustainable commercialization of bioethanol production. Depending upon the feedstock, pretreatment, hydrolysis, and fermentation parameters used for S. cerevisiae and K. marxianus, the bioethanol production can vary from 0.21 to 1.2 g/L/h with an ethanol concentration of 20.6 to 69.2 g/L (Stanley et al. [2010;](#page-451-0) Ruriani et al. [2012;](#page-451-0) Byadgi and Kalburgi [2016](#page-448-0); Azhar et al. [2017\)](#page-448-0). Interestingly, ethanol productivity can be increased to 6.55 g/L/h using immobilized S. cerevisiae on MCM-41 zeolite with alginate embedding to ferment sugar molasses (Zheng et al. [2012\)](#page-452-0). However, under favorable conditions, higher concentrations of bioethanol (21.45 g/L) can also be obtained from stems of the shrub *Prosopis juliflora* using

K. marxianus (Sivarathnakumar et al. [2019](#page-451-0)).

Nevertheless, S. cerevisiae remains an attractive option for the biofuel industry. It is now metabolically engineered to meet the growing demand for advanced biofuels: butanols (Kuroda and Ueda [2016\)](#page-450-0), terpenes such as farnesene (Tippmann et al. [2016\)](#page-452-0), bisabolene (Peralta-Yahya et al. [2011\)](#page-451-0), amorphadiene as a jet fuel substitute (Buijs et al. [2013](#page-448-0)), and fatty acid ethyl esters (FAEEs), as an alternative for biodiesel (Zhou et al. [2016](#page-452-0)). Furthermore, metabolic engineering has also allowed improved tolerance towards short-chain alcohols and industrial production of long-chain alkanes in S. cerevisiae (Swidah et al. [2015](#page-451-0); Buijs et al. [2015](#page-448-0)). Besides S. cerevisiae, several other species are exploited by the biofuel industry. Yarrowia lipolytica is a nonconventional oleaginous yeast found in dairy products and oily foods. It is a non-pathogenic obligate aerobic yeast capable of consuming hydrophobic substrates (different types of oils, fats, and hydrocarbons) and other renewable carbon sources such as lignocellulosic sugars. Oleaginous yeasts, in general, have unique physiology allowing them to produce lipids and oleochemicals. Metabolically engineered Y. *lipolytica* is used for manufacturing sesquiterpenes like farnesene, which, in turn, is used in biodiesel production. Other oleaginous species such as Rhodosporidium toruloides, Lipomyces starkey, Rhodotorula glutinis, Trichosporon fermentans, and Cryptococcus curvatus are emerging as promising hosts for the biofuel industry (You et al. [2017;](#page-452-0) Darvishi et al. [2017](#page-448-0); Adrio [2017;](#page-448-0) Liu et al. [2019\)](#page-450-0). Recently, Martinez-Silveira et al. ([2019\)](#page-450-0) reported optimization and production of biodiesel by oleaginous strain of Rhodotorula graminis S1/2R.

Another promising source of biofuel is biohydrogen. Hydrogen gas is considered one of the cleanest fuels produced from food wastes. Several microorganisms produce biohydrogen via dark fermentation of organic feedstocks and biomass, making it an attractive alternative for biofuel (Cabrol et al. [2017;](#page-448-0) Hegde and Trabold [2018\)](#page-449-0). Alternately, yeast-based biohydrogen can be produced using bioengineered S. cerevisiae or by appropriate pretreatment of S. cerevisiae or edible fungus Gymnopus contrarius J2, to increase the production of biohydrogen (Waks and Silver [2009](#page-452-0); Sheng et al. [2018;](#page-451-0) Antonopoulou [2020](#page-448-0)). Despite its potential, there are no standardized protocols for the industrial production of biohydrogen. Currently, biohydrogen is being integrated with fungal biofuels (biodiesel and bioethanol) for improved energy recovery (Ghosh and Roy [2019](#page-449-0); Srivastava et al. [2020\)](#page-451-0). Even though biohydrogen and bioethanol can serve as an alternative to conventional fuels, more research is required, in particular, for yeast-based production to explore its usability for sustainable, eco-friendly applications.

14.2.5 Biomaterials

Biomaterials are characterized as natural or synthetic materials comprising entirely or partly from biological sources capable of interacting with living systems. These are used extensively in medicine, tissue engineering, and (bio)material science among others. Yeast is the latest addition to the already available biomaterials. The filamentous nature of S. cerevisiae has recently allowed innovative applications related to material fabrication. It has found applications in the textile and packing industries. The type of fungus and fabrication factors affect the nature of myceliumbased composite materials. For instance, heat-pressed mycelium materials have density and elastic modulus similar to natural materials such as cork or wood. These lignocellulosic-based composites are renewable, hydrophobic, nonflammable, and biodegradable, therefore less environmentally toxic compared to synthetic materials. Mycelium composites from Trametes versicolor, when integrated with flax, hemp, or straw, exhibit good insulation properties than conventional. As a result, fungal composites have found innovative applications in the textile and automotive industries (Cerimi et al. [2019](#page-448-0); Appels et al. [2019](#page-448-0); Elsacker et al. [2019\)](#page-449-0). Fungal mycelium composites have also found a very unexpected application. To reduce exposure to formaldehyde emission from certain wood materials like medium-density fiberboard or particle boards, mycelium-based biopolymer composites have gained attention. The mycelia digest and bind to the surface of lignocellulosic materials forming an entangled network providing additional mechanical strength to the wood panels along with fire resistance and acoustical absorption properties. Moreover, mycelium modification reduces water absorption and thickness swelling of the hybrid composites, making it a promising system for the packing and furniture industries (Sun et al. [2019](#page-451-0)).

Yeasts have also carved a niche for themselves in the electronics industry, where yeast-derived cathode material has shown to be a promising material for energy conversion and storage in lithium-oxygen batteries (Zhu et al. [2018](#page-452-0)). Another exemplary application developed by VTT Technical Research Centre of Finland and Aalto University, Finland, is the unique Koravaa fungal headphones made of various bioengineered materials: a 3D-printed headband made from yeast-produced lactic acid, ear padding made of a fungal hydrophobin and plant cellulose, the imitation leather-like material from fungus Phanerochaete chrysosporium, speaker mesh from biosynthetic spider silk produced by microbes and the outer foam of myceliumcellulose composite [\(www.korvaa.com\)](http://www.korvaa.com).

Yeast-based biomaterials have also found uses in tissue engineering. Although the application of yeasts in this field has been poorly explored, several yeast species, especially those used in the food industry and with the "Generally Regarded As Safe" (GRAS) status, can have potential application in tissue engineering (Kyle et al. [2009\)](#page-450-0). The bioengineered yeast-derived nanovacuoles used to enhance drug penetration in tumor xenografts without eliciting an immune response (Gujrati et al. [2016\)](#page-449-0) or chromosomal integration for collagen production in Pichia pastoris and Hansenula polymorpha highlight some of the applications of yeasts related to tissue engineering (de Bruin et al. [2000](#page-449-0); Werten et al. [2001\)](#page-452-0).

14.3 Conclusion and Future Prospects

Yeasts have immeasurable applications in biotechnology with a global focus on developing new sustainable products. The omics era has revolutionized the commercial applications of this microorganism. The systems-level understanding of yeasts has further expanded the horizons for future explorations and innovation in yeast biotechnology. The newly developed CRISPR/Cas9-based gene editing has been used to modify several *Saccharomyces* and numerous non-Saccharomyces yeast genomes. It was used to create genetically modified (GM) yeast strains of enological relevance to enhance the flavor and manufacture safer wines (van Wyk et al. [2020;](#page-452-0) Vilela [2021](#page-452-0)). However, this technology is still in its infancy and requires standardization and ethical approval for using GM strains for commercial applications. S. cerevisiae is the best-studied and one of the most widely used eukaryotes in several industrial processes. Despite its efficient adaptation, as the omics era progresses toward untangling the yeast genome, there is still a great potential of either optimizing existing strains or exploiting the immense natural reservoir of environmental isolates. Apart from the industrially dominating S. cerevisiae, several species such as Kluyveromyces sp. and others are being explored and emerging as promising candidates ushering sustainable white biotechnology. With more insight and understanding of yeast dynamics and interactions with other microorganisms, newer, innovative, and sustainable applications can be expected in the future.

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Suppressive Effect of Root Knot Nematode Meloidogyne spp. During Composting of Tomato Residues 15

Azim Khalid, Soudi Brahim, Périssol Claude, Imane Thami-Alami, and Roussos Sevastianos

Abstract

The suppression of pathogens in general, as well as phytopathogenic agents in particular, by the composting process is quite oftenly attributed to the sanitation effect of the thermophile phase. This ensures hygienization and sanitation of compost against plant pathogens and phytoparasites to prevent their dissemination in horticultural production. The root knot nematode is one of the most economically damaging genera of plant-parasitic nematodes on horticultural crops. Composting horticultural wastes should ensure compost hygienization from root knot nematodes. Thus, the objectives of this work are to produce hygienic compost based on tomato residues and to study the suppression kinetic of Meloidogyne spp. during composting. Three levels of initial C/N ratio were tested (20, 30, and 40), combined with three levels of initial moisture (30, 50, and 80%). Several parameters were monitored such as temperature, oxygen, as well as the quality parameters of the composts produced. The results obtained showed

A. Khalid (\boxtimes)

S. Brahim

I. Thami-Alami

Research Unit of Integrated Crop Production, Regional Center of Agronomy Research, INRA-CRRA, B.P.124, Inzegane, Agadir, Morocco

Department of Environment and Natural Resources, IAV Hassan II-Rabat. B.P. 6202, Madinat Al Irfane, Morocco

P. Claude · R. Sevastianos

Institut Méditerranéen de Biodiversité et d'Ecologie marine et continentale (IMBE) Aix-Marseille Université, CNRS, IRD, Avignon Université, Campus de l'Etoile, Centre Saint-Jérôme, Marseille Cedex, France

Laboratory of Microbiology, Regional Center of Agronomy Research, INRA-CRRA Avenue Mohamed Belarbi Alaoui B.P 6356-Rabat Institute, Inzegane, Agadir, Morocco

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that despite the thermophile phase at the start of the composting marked by a temperature reaching 60 \degree C, 10% of the root knot nematodes' larvae were not eliminated. This shows that temperature alone as a sanitizing factor does not allow the compost hygienization. However, at the end of the process, root knot larvae were completely suppressed. This is probably owed to the contribution of the mesophilic flora which colonizes the substrate after the thermophile phase.

Keywords

Compost hygienization · Meloidogyne spp. · C/N ratio · Mesophile phase · Crop damage

15.1 Introduction

Cultivated plants are often exposed to many critical conditions which favor pathologies inception following different forms of metabolic disturbance. These abiotic stressors can be climatic (high or low temperatures) or anthropogenic in origin through the application of substances at high doses of toxicity such as pesticides or heavy metals. According to Singla and Krattinger [\(2016](#page-473-0)), biotic stress involves a living organism qualified as a pathogenic agent (fungus, bacteria, mycoplasma, virus, and viroid) or other agents such as mites, insects, mollusks, nematodes, and herbivores (Bonnemain and Chollet [2003\)](#page-471-0). Several parasites attack crops under Moroccan conditions, such as phytoparasitic nematodes (Meloidogyne spp.) that represent one of the most important and damaging parasites with regard to cultivated plants and more particularly vegetable crops (Karajeh [2008;](#page-472-0) Asif et al. [2020\)](#page-471-0).

Compost is a hygienic product, stable in composition, and rich in humic substances, resulting from a biochemical conversion by aerobic biodegradation of organic matter (Azim et al. [2017](#page-471-0)). The suppressive ability of composts to plant diseases has been attributed to the chemical composition of composts, availability of nutrients, and microbial composition due both to the supply of microorganisms and to the stimulation of those in the soil (Pane et al. [2019](#page-472-0)). All composts, if correctly processed and applied, can suppress telluric phytopathogens in amended soils and ensure healthy soil to the crop. However, not all composts have the same ability to effectively inhibit plant pathogens. Yet, soils in general are not suppressive, and disease management is based mainly on chemical control (Tian et al. [2002](#page-473-0)). Consequently, interest in the use of biological control means was increasingly considered in recent times with growing concern for sustainable agriculture that respects the environment (Barratt et al. [2017](#page-471-0)).

Nowadays, the market for compost and organic soil conditioners seems to be promising for the agriculture, home gardening, landscaping, horticulture, and construction sectors. In fact, the overall compost sector is expected to reach an estimated \$8.9 billion by 2025, with a CAGR (Compound Annual Growth Rate) of 5% to 7% from 2020 to 2025 (Fig. [15.1](#page-455-0)). The main precursors of this market are the increasing

Fig. 15.1 Growth of global compost market and the main opportunities for compost market segment (Lucintel [2020](#page-472-0))

search for organic products and the increased awareness of the dangers associated with chemical fertilizers and pesticides (Lucintel [2020](#page-472-0)).

Recently, studies have focused on the use of composted organic matter for the biological protection of plants against nematodes (Hallmann and Meressa [2018\)](#page-472-0). Indeed, the use of compost has been able to significantly reduce the nematode population on plants to keep it below an acceptable threshold (Atandi et al. [2017\)](#page-471-0). This has oriented research toward the promotion of other forms of composts. On the other hand, the suppressive effects on phytopathogenic diseases and phytoparasitic nematodes are often observed during the composting process, opening up entirely new perspectives. Noble and Roberts [\(2004](#page-472-0)) have claimed that a sufficient exposure time of thermophile temperature is enough to eradicate nematodes during composting. Menke and Grossmann ([1971\)](#page-472-0) reported that for the sanitation of Meloidogyne incognita inoculated in the organic residues of pepper and tomato, it is necessary to go through a thermophile phase with an average temperature of 57 \degree C for at least 19 h in pile composting. In contrast, for sanitation of M. incognita inoculated to green waste in the form of egg masses, a maximum temperature of 74 \degree C during 4 days was required in dynamic free heap composting (Noble and Roberts [2004](#page-472-0)). However, it is only progressively that researchers begin to understand under what conditions root knot nematodes can resist during composting. The objective of this work is to produce a hygienic compost based on tomato residues and to study the suppression kinetic of root knot nematodes during composting with different initial C/N ratios and moisture.

15.2 Materials and Methods

15.2.1 Studied Factors

Composting was carried out in Melk Zher experimental center (INRA-Agadir) 45 km south of Agadir city (coordinate: N30.0440758,W-9.548735). This study focused on composting green waste from tomatoes, sheep manure, and sawdust. During the biodegradation process, microorganisms use carbon as an energy source and nitrogen for the production of proteins necessary for their development. For this reason, initial C/N ratios of the mixtures (20, 30 and 40) were calculated according to the method as suggested by Azim et al. (2018) (2018) (2018) . The choice of tomato waste was motivated by the fact that horticultural producers consider it to be a source of inoculum for root knot nematodes *(Meloidogyne spp.)*. As a consequence, the composting of this high risky waste for vegetable crops requires rigorous monitoring throughout the process, while acting on two parameters: temperature and competition between microorganisms.

Composting was carried out in 220 L biocomposters ("Biolane" brand, Finland) with a patented natural ventilation system, equipped with a thermal probe and an evacuation hatch. Moistening is done in a way to start with three moisture levels $(30, 50, \text{ and } 80\% \text{ v/v})$ for each level of C/N ratio. The factors studied were the initial C/N ratio with three levels (20, 30, and 40) and the initial moisture with three levels $(30, 50, \text{ and } 80\% \text{ (v/v)}).$ All the treatments were carried out in biocomposters in number of nine having received the combination of the two factors studied (three levels of C/N $*$ three levels of RH% = 9 combinations).

15.2.2 Formulation of the Mixtures

The method presented below allowed to carry out relatively balanced mixtures with respect to their C/N (Table [15.1](#page-457-0)). It is based for each category of waste, on their total carbon and total nitrogen contents. The raw materials were brewed, in particular those of tomato crop, to obtain a particle size of less than 3 cm. The constituents were mixed manually (Picture [15.1a](#page-458-0)) and transferred to the biocomposters (Picture [15.1b\)](#page-458-0). The amount of water applied was adjusted with a probe measuring the moisture of the mixture in order to guarantee a starting threshold in accordance with the levels of the factor studied.

15.2.3 Observations and Measured Parameters

15.2.3.1 Physical Parameters

The temperature reflects the level of microbial activity. A multiparameter probe (CompostManager®V3.11.f- [SLC], UK) was carefully placed 80 cm deep in the biocomposter in order to measure the actual temperature of the compost pile. This probe also measures relative moisture (v/v %), O_2 and CO_2 level instantly with a

Picture 15.1 Manual mixing of the constituents (a). Biocomposter filled with mixed ram materials (h)

correction of the O_2 content as a function of temperature. Relative moisture content was measured before watering the biocomposters to avoid values biasing. The measurements were taken weekly for the first 2 months and then every 10 days until the end of the composting process.

15.2.3.2 Physicochemical Parameters

All analyzes were performed in three repetitions for each sample taken from the nine combinations throughout the duration of the experiment. The sampling consisted in taking three composite samples of the biocomposter from the center of the pile. A reversal is carried out every 2 weeks to homogenize the heap.

The pH was measured using an InoLab pH meter (at room temperature) in a 1/10 (w/v) aqueous extract (compost/distilled water) after stirring for 30 min. The electrical conductivity (EC) was measured on the other hand (at room temperature) using a Bioblock Scientific EC meter in an aqueous extract 1/5 (w/v) (compost/distilled water) after an hour of agitation.

The organic matter (MO) was obtained by difference between the weighed mass of the dry sample (M1) and the mass of the same sample calcined at $480\degree\text{C}$ (M2) at a constant weight for more than 6 h. The incinerator used was that of NaberTherm. The total organic carbon (TOC) of the samples was estimated from MO using the following formula:

$TOC = OM/1.724$

All analyses were performed in triplicates for each sample taken from the nine biocomposters during the experiment. The sampling consists in taking three composite samples of the biocomposter from the center of the pile. Biomass turning was carried out every 2 weeks to homogenize the heap. Analysis of the total nitrogen content was performed using the standard Kjeldahl procedure (Gerhardt: Kjeldatherm-Vapodest 20, Germany) as described by Jackson [\(1967](#page-472-0)).

15.2.3.3 Microbial Parameters

Determination of the Bacterial Load of the Mesophilic and Thermophile Flora To follow the evolution of the total mesophilic and thermophile microflora, microbial analyses were carried out according to the method described by Saidi et al. [\(2009](#page-472-0)). The work was carried out under aseptic conditions under the hood. The material and the culture medium were sterilized under 120 $^{\circ}$ C for 20 min:

- Sample extraction and dilutions: A series of dilutions were carried out using 10 g of compost and 90 mL of sterile distilled water as the mother solution was stirred for 2 h. Using a sterile pipette, 1 mL of each dilution was spread on the petri dishes containing TSA (Tryptic Soy Agar) as medium. The petri dishes were incubated at 22 °C for mesophile flora and 45 °C for thermophile flora for 5 days. After incubation, a colony count was performed only on dishes with a number of colonies between 30 and 300.
- Results expression: The determination of the bacterial load is made by counting the colonies, and the results are expressed in CFU (colony-forming units)/1 g of compost: according to the mathematical formula below:

$$
N = \sum C/(n_1 + 0.1 n_2) * d * V
$$

where

- *N*: Number of CFUs per gram of compost.
- *Σ*: colonies: Sum of colonies of interpretable boxes.
- *V*: Volume of spread solution (1 mL).
- $n!$: Number of dishes considered at the first dilution selected,
- *n*2: Number of dishes considered at the second dilution used,
- $d!$: Factor of the first dilution selected.

15.2.3.4 Nematode Extraction and Counting

The extraction of root knot nematodes (Meloidogyne spp.) was carried out in the laboratory from the roots of infested tomato plants. Since tomato waste is one of the essential components of this trial, it is important to monitor the suppressiveness effect of root knot nematodes during composting:

• Selection of infested roots: Tomato roots were separated from the soil by shaking them lightly or by passing them under a water shower or after soaking. The roots were then placed on a paper towel to absorb excess of water. They were then cut to extract the inoculum.

Nematode extraction: Baermann [\(1917](#page-471-0)) modified method was used for this step by collecting the infested tomato roots with nematodes, rinsing, and cutting them finely with a scissor. The cut roots were ground with a plastic hammer avoiding excessive pressure. The ground paste was sieved successively in sieves of different diameters ranging from 1 mm to 40 μm. Nematodes were then recovered in a beaker by rinsing the sieve with a jet of water. A bleach drop was added to the recovered nematodes to burst the egg masses keeping them in suspension by shaking for 30 min. Nematode counting was performed in a small grid plate under $40\times$ magnification of a microscope and reported to gram of roots.

The inoculation of the biocomposters with the nematodes was carried out with a suspension of 120,000 J2/5 L/biocomposter (5 L of fresh water containing larvae J2 of Meloidogyne spp. at a concentration of 24 J2/mL). The inoculation density was set to 545 J2/L of biocompost with useful volume of 220 L. The extraction of the larvae was complemented by the presence of egg masses in the aqueous suspension. Each egg mass contains about 200 to 300 J2 larvae.

15.2.4 Cress Test (Compost Quality)

This test assesses the maturity of a compost in terms of its anti-germination effect on watercress seeds. The test consists of watercress seeds germinating on a mixture of compost with black peat (40% to 60% by volume) and counting the number of seedlings emerged at 2 and 7 days. This test is carried out in a phytotron under controlled conditions (25 \degree C and 80% of humidity). For each of the nine treatments, three repetitions were carried out in addition to control (black peat) at 100%.

15.2.5 Statistical Analysis

All statistical analyses were performed using IBM SPSS Statistics 25. Multiple comparisons of means were confirmed by the Tukey test at $p < 0.05$.

15.3 Results and Discussion

The evolution of the composting process was determined by monitoring some physicochemical and microbial parameters for 2 months. All of these parameters provide information on the transformations that have occurred during composting. Likewise, they make it possible to better assess the degree of degradability of the initial substrates and to determine the maturity of the final products.

15.3.1 Physical Parameters

15.3.1.1 Temperature

The temperature evolution during composting is a first index which reflects the degree of degradability of the compost. In fact, temperature is a result of the microbial activity during fermentation, resulting from the lysis of carbon-carbon bonds, which is an exothermic reaction (Chang et al. [2019](#page-472-0)). However, the compost temperature curve studied at a classic pace of composting was characterized by two important phases (fermentation phase and maturation phase). The fermentation phase is characterized by a thermophile cycle with a rise in temperature up to 70 °C (Fig. 15.2). This increase is the result of intense microbial activity which results from the degradation of the simple molecules present in the substrate (Azim et al. [2018](#page-471-0)).

The maturation phase is characterized by a decrease in temperature to ambient one by involving the fungi in the degradation of complex molecules (cellulose, hemicellulose, lignin, etc.). The most significant temperatures resulted from the treatment C/N20 ratio. This can be explained by the presence of nitrogen which promotes the development of microbial activity more intensely compared to other treatments C/N30 and C/N40. Likewise, C/N20 entered the cooling phase early compared to the other two treatments. The carbon content in the C/N30 and C/N40 treatments is high, which explains the continuity of the microbial activity of degradation even after 42 days of mixture.

15.3.1.2 Oxygen Consumption

Figure [15.3](#page-462-0) shows the evolution of the oxygen consumption of the different combinations. The values are expressed by difference between the ambient oxygen content (21%) and the oxygen content in the pile's center. The initial oxygen

Fig. 15.2 Temperature evolution of the different treatments during the composting period

Fig. 15.3 Evolution of the oxygen consumption of the different treatments during the composting period

Table 15.2 Pearson correlation value (R^2) between oxygen consumption and initial moisture values

	C/N20	CM30	C/N40
Pearson correlation value (R^2)	0.9993	0.9459	0.9976

consumption values of the C/N20 treatments, C/N30, and C/N40 are positively correlated (Table 15.2) with the initial moisture contents (30, 50, and 80%). In fact, the higher the initial moisture of the pile is, the more intense microbial activity becomes while maintaining adequate ventilation and porosity. This result confirms those found by Mustin (1987) (1987) and Khater (2015) (2015) .

The evolution of oxygen consumption does not follow the same trend between treatments. However, it appears clear that the most intense oxygen consumption was recorded by the C/N20 treatment with 7.5% against 6.62 and 5.87%, respectively, for C/N30 and C/N40. This demand corresponds with the rise in thermophile temperature (Fig. [15.2](#page-461-0)) which is the result of microbial activity as reported by Chang et al. [\(2019](#page-472-0)). Oxygen consumption peaks were only recorded for the C/ N20-HR50 combinations, C/N20-HR30, C/N40-HR80, and C/N40-HR30 only 10 days after mixing (DAM) (9.78, 10.65, 10.92, and 8.97%). These consumption peaks also coincide with the thermophile temperature peaks which are 72.03, 65.07, and 54.65 °C, respectively. Regarding the combination C/N40-HR30, its temperature peak is around 54.84 \degree C which was 2 days earlier compared to the other abovementioned combinations. It should also be noted that the amplitude of $O₂$ consumption is higher for C/N20 and C/N40 treatments than for that of C/N30, despite the persistence of mesophilic temperatures during the composting period. The $O₂$ consumption of the C/N40 treatment is very variable because the percentage

of sawdust is high, which implies a high porosity and subsequently drainage of the moist water. These conditions are unfavorable to microbial growth and development, which is hampered by low moisture that does not exceed 30% (v / v) .

15.3.2 Physicochemical Parameters

15.3.2.1 pH

Figure 15.4 shows the evolution of the pH of the different combinations during the composting period. At the beginning of the composting process, there is an initial acidic pH (6.4). The initial acidic pH of the mixtures is due to the nature of these raw materials, in particular those of tomatoes which have a pH of 5.94. After 20 days of mixing, the pH has increased to be alkaline around 7.5. This increase in pH can be explained by the development of the thermophile flora which hydrolyzes protein and organic nitrogen; this generates a rise in ammonium nitrogen and subsequently a rise in pH. Between 20 and 40 DAM, the pH is slightly stabilized for C/N20 and C/N40. The steady trend is maybe due to the buffering capacity of the humus during the maturation phase (Chaher et al. [2020\)](#page-471-0). Concerning C/N30, there was a slight acidification probably due to the production of organic acids and the dissolution of $CO₂$ in the medium and/or the degradation products of simple molecules (carbohydrates and lipids Fig. 15.4). The pH is stabilized to a slightly alkaline value, which promotes the development of actinomycetes and alkaline bacteria (Mustin [1987\)](#page-472-0). Statistical analysis revealed no significant differences between the different initial C/N ratios or between the values of the initial RH.

Fig. 15.4 Evolution of the pH of the different treatments during the composting period

15.3.2.2 Electrical Conductivity

Figure 15.5 shows the evolution of the EC of the different combinations during the composting period. The EC for the ratios C/N20, C/N30, and C/N40 had an initial value between 10 and 14 dS cm^{-1} . After 10 days of composting, a decrease in the salinity rate is observed, reaching values between 2 and 6 dS cm^{-1} which is maybe due to the humification of organic materials which was transformed salt and micromolecular organic acids to macromolecular humus (Sanchez-Monedero et al. [2018\)](#page-473-0). The successive moistening of the biocomposters was done with low-salinity water (0.9 dS cm⁻¹) without turning. After 2 months of the process, the EC increases very slightly for C/N20 and C/N30 composts. This can be explained by the temperature and humidity conditions which are favorable for the degradation of organic matter and its mineralization and thus an increase in EC (Chaher et al. [2020](#page-471-0)). There is a significant difference between the EC values of the C/N20, C/N30, and C/N40 treatments. The salinity is proportional to the high-salinity tomato waste $(15.75 \text{ dS cm}^{-1})$. It should be noted that by decreasing the C/N ratio, the proportion of tomato waste increases, and subsequently the EC increases.

15.3.2.3 C/N Ratio

Figure [15.6](#page-465-0) illustrates the evolution of the C/N ratio of the different combinations during composting period. After the first 10 days of composting, the nitrogen content decreased from values between 1.31 and 1.28% to 1.25 and 1.19%. This decrease is mainly linked to nitrogen losses in the form of volatile ammonia during thermophile phase (Jiang et al. [2019\)](#page-472-0). The initial C/N ratios decreased from 20.68, 28.98, and 39.60 to 19.16, 23.39, and 27.08, respectively, for the three treatments C/N20, C/N30, and C/N40 at the end of the process. The decrease in C/N ratio is generally linked to the loss of organic carbon by biodegradation of organic matter and the

Fig. 15.5 Evolution of the EC of the different treatments during the composting period

Fig. 15.6 Evolution of the C/N ratio of the different combinations during the composting period

release of $CO₂$ (Meng et al. [2018](#page-472-0)). The final values attained by C/N20 and C/N30 treatments are around 20 after 2 months of composting, affirming the good maturity of the final compost. According to Barje (2010) (2010) , a compost is considered mature if it has a C/N ratio between 10 and 20. The decrease in C/N in the C/N40 treatment is more marked than those of C/N20 and C/N30 treatments. This may be due to the temperature which continued to be in the mesophilic ranges for the C/N40 treatment and therefore, a continuation of the degradation of carbon by the mesophilic fungi (Jiang et al. [2019\)](#page-472-0).

15.3.3 Biological Parameters

15.3.3.1 Thermophilic and Mesophilic Microflora

The diversity of the existing microflora is linked to the different levels of biodegradability of the organic constituents. Different communities of microorganisms will thus succeed one another according to their specialty of decomposition and their enzymatic arsenal. This succession of decomposition explains the succession of the different phases of composting (Liu et al. [2018\)](#page-472-0). However, the total thermophilic microflora allows better control of the thermophile phase which constitutes the critical phase during the composting process.

Figures [15.7](#page-466-0) and [15.8](#page-466-0) respectively show the evolution of the mesophilic and thermophilic flora as a function of all the treatments. At the start of the process, the total mesophilic and thermophilic flora are equal. After 80 DAM, a significant increase in the total mesophilic flora (Fig. [15.8](#page-466-0)) was observed and reached a value

Fig. 15.7 Evolution of the mesophilic flora according to the three treatments during composting

Fig. 15.8 Evolution of the thermophilic flora according to the three treatments during composting

of 1.2 10^4 CFU/g compost. The proliferation of this flora indicates the predominance of mesophilic bacteria responsible for the degradation of labile organic matter (Saidi et al. [2009](#page-472-0)). This predominance also indicates an early maturity of the compost with the development of antagonistic microorganisms (Fuchs [2002\)](#page-472-0), which gives the compost the ability to self-sanitize and thereby protect plants against telluric diseases transmitted through the compost (Pérez-Piqueres et al. [2006\)](#page-472-0). The richness of compost in beneficial microorganisms improves the biodiversity of overexploited

soils. Indeed, the amendment of soils with compost induces an increase in the populations of soil microorganisms by a factor of 1000 (Lazarovits 2001), which clearly shows the benefits of compost compared to peat which, in several studies, has been found to be much less rich in microorganisms (than composts) (Larbi [2006\)](#page-472-0). Statistical analysis revealed no significant difference between all treatments.

The thermophilic flora (Fig. [15.8\)](#page-466-0) in the compost studied experienced high values, showing that the compost is progressing well. The thermophile phase which coincides with the rise of the thermophilic microflora was indeed short (25 DAM). Near the end of the process, the thermophilic flora stabilized at the same time as the mesophilic flora began to increase in 84 DAM. Mustin [\(1987](#page-472-0)) indicated that microorganisms in the compost, at any time, create the conditions for their own destruction, which are optimal for the following microbial populations engaged in composting. Composting also transforms organic matter into a substrate that becomes hostile for the growth and survival of most pathogens (Deloraine et al. [2002\)](#page-472-0). According to Soudi ([2005\)](#page-473-0), these are either antagonisms of the populations of saprophytes developed in the compost, or the toxicity of the substances generated by the metabolism of this microflora or even unfavorable conditions found at certain times of the composting (pH, moisture, C / N ratio or others).

15.3.3.2 Evolution of Root Knot Nematode Populations

Figure [15.9](#page-468-0) shows the evolution of the population of root knot nematodes (Meloidogyne spp.) according to the different treatments throughout the process. The initial values at inoculation were equal in terms of J2 larva (545 J2/L of biocomposter giving 54.5 J2/100 mL substrate). With the presence of egg masses and the favorable conditions to their hatching (temperature around 35° C and RH of 60%), part of the egg masses hatched to directly give J2 larvae. This explains the difference in initial density at sampling between the different combinations. The rise in temperature during composting normally suppresses the majority of pathogenic germs. This "hygienization" of the compost is generally carried out for composting taking place in good conditions (turning, aerobic, sufficient duration, humidity). Noble and Roberts [\(2004](#page-472-0)) have shown that almost all germs and parasites are destroyed during composting, as long as the temperatures are between 40 and 70 °C. With regard to root knot nematodes M. incognita, the lethal temperature is 57 °C (Menke and Grossmann [1971;](#page-472-0) Duval [1992](#page-472-0)).

On the third sampling, the population densities dropped to record an average value of 114.44 J2/100 mL (substrate) with a standard deviation of 34.68 J2/100 mL (substrate). This decrease corresponded the thermophilic phase of the different combinations and reached its end 14 DAM. During the mesophilic phase, the densities of the nematodes increased again toward the fourth sample for all combinations. This increase may be due to the hatching of residual egg masses after the first inoculation. The temperature conditions (mean of 40.05 ± 5.02 °C) and moisture content (mean of $27.06 \pm 13.01\%$) were in fact slightly favorable. This clearly shows that the egg masses can withstand thermophilic temperatures which have reached 68.91, 72.03, and 65.22 \degree C, respectively, for the combinations C/N20 M80, C/N20 M50, and C/N30 M80. These thermophile temperatures are in

Fig. 15.9 Evolution of root knot nematodes (Meloidogyne spp.) according to the different treatments throughout the process. (a) stands for the treatment with the initial C/N ratio of 20, (b) for treatment with initial C/N ratio 30, and (c) for treatment with initial C/N ratio 40

fact maximum temperatures reached in the substrate at the sampling locations. This result, therefore, overturned what was proved by Duval ([1992\)](#page-472-0).

On the fifth and the sixth samplings, all nematode populations decreased drastically toward values close to zero except for the combination C/N20 M50, which was kept stable between the fourth and the fifth sampling. The thermal profile remained mesophilic, in particular for the C/N40 treatment with these three variants (average 42.78 ± 0.95 °C) and the C/N30 treatment (average 41.89 ± 3.28 °C). On the other hand, the thermal profile of C/N20 treatment cooled to record an average of 34.71 \pm 0.52 °C, which partly explains the less drastic drop in the densities of the J2 larvae.

For the last two samplings (seventh and eighth samplings), the nematode densities remained close to zero until the end of the process. Despite the mesophilic temperature between 20 and 35 $^{\circ}$ C, root-knot nematodes were almost eliminated, which can be explained by the oriented antagonism of the mesophilic flora against root-knot nematodes. Indeed, in some cases, the time/temperature combination is not sufficient to eradicate the pathogens, and yet they are eliminated. Thus, for the same organism, the temperature necessary for its destruction is lower in a compost heap than in the laboratory (Lopez-Real and Foster [1985](#page-472-0)). During composting, microbial antagonisms, along with the toxic substances formed or even unfavorable conditions that occur at certain times of composting (pH or others), are all associated to be suppressive against pathogens (Duval [1992](#page-472-0); Soudi [2005](#page-473-0); Pane et al. [2019\)](#page-472-0). Fungi, bacteria, nematodes, and viruses that cause crop damage are very vulnerable to stress from composting. Even organisms that form sclerotia and are very persistent in the soil are destroyed (Soudi [2005](#page-473-0)). The hygienization process of J2 larvae takes place by thermal remediation of fermentable waste during the thermophile phase. In contrast, the survival of egg masses of Meloidogyne spp. is influenced in a lesser extent by thermophile temperature (Hallmann and Meressa [2018](#page-472-0)). At the end of the process, only the combinations C/N20 M80, C/N20 M50, and C/N30 M50 are free from root knot nematode larvae in their respective samples. This confirms that these combinations have been effective for the eradication of Meloidogyne spp. inoculated as larvae and egg masses.

15.3.4 Compost Quality Tests

Figure [15.10](#page-470-0) shows the results of the cress test on the produced composts according to the C/N treatments. Statistical analysis revealed no significant difference for the initial C/N factor compared to a control consisting of 100% black peat. The cress test has shown that the composts produced are not phytotoxic since the average germination rate exceeds 50%. However, it should be noted that composts with a germination index of less than 60% should not be amended before 90 days have elapsed since the crop was set up (Soudi [2005\)](#page-473-0). This is the case for composts C/N20 $(GI = 58.89\% \pm 0.51)$ and C/N40 (IG = 56.67% \pm 1.2), while that for C/N30 can be amended to the soil even just before transplanting or sowing the crop.

Fig. 15.10 Cress test results on compost produced according to C/N20, C/N30, and C/N40 treatments

15.4 Future Prospects

While increasing compost production and demand, attention must be paid to the compost quality and plant safety. As far as compost is amended on the agricultural soils, stakeholders should avoid making it a potential dissemination agent of plant diseases and parasites. Therefore, plant and crop safety should be at higher standards of quality check for the plant diseases, parasite control and suppression during composting. There is a wide research area to determine the optimal conditions to suppress phytopathogens and parasites during composting at multiscale level.

According to the Sustainable Development Goals (SDG) of the United Nations, carbon sequestration is one of the main actions that could be taken in to consideration especially under the SGD $N^{\circ}13$ (Climate Action). Regrettably, carbon sequestration is less considered than carbon emission attenuation. Besides and since compost market is expending, policy makers and stakeholders could take advantage of carbon trade and finance to boost compost production and tackle organic waste issue. This objective could be achieved through subsidizing circular economy (compost plant and machinery), regulation improvement, government institutions procurement of compost, community composting, funding R&D for startups creation, networking of compost stakeholders, and education and extension for farmers and future generations.

15.5 Conclusion

The results of this study indicate a good biodegradability of the raw materials, in particular the treatments C/N20 and C/N30 which have shown good results in terms of maturity and optimization. On the other hand, the C/N40 treatment will take an additional period of time to arrive at a final C/N ratio of less than 15. With regard to the kinetics of suppression of root knot nematodes, a slight resistance of the nematodes was observed after the thermophile phase, despite the thermophilic temperature up to 73 \degree C, where 90% of the J2 larvae were eradicated. This shows that the thermophilic temperature alone during the composting process does not completely eliminate J2 larvae of Meloidogyne spp. At the end of the process, the nematodes are probably destroyed by the antagonism of the mesophilic flora. Increasing initial C/N ratio increases the duration of composting and subsequently its production cost. C/N20 and C/N30 treatments appear to be the most suitable for direct soil amendment. At the same time, the sanitation of the compost vis-à-vis the nematodes cannot be carried out exclusively by thermophilic temperature (70 $^{\circ}$ C); the results have clearly shown that the combined action of thermophilic temperature (reduction of the population), with the action of the mesophilic flora (antagonistic action), was finally able to sanitize the compost of this hazardous phytoparasites. It is recommended to carry out other validation tests in order to detect which groups of microbes have a nematicidal and antagonistic action against root knot nematodes during the mesophile phase.

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Analyzing the Capabilities of Actinobacteria for Remediation Through Metagenomic Analysis of Contaminated Habitats 16

Kruti Dangar, Ketaki Ramani, and Disha Changela

Abstract

Industrialization has increased the number of pollutants in air, water, and land to toxic levels. Effectively removing these pollutants from the environment is a challenge faced by many countries around the world. Heavy metals such as lead (Pb), cadmium (Cd), and zinc (Zn) and organic pollutants such as polycyclic aromatic hydrocarbons (PAHs) are taken up by organisms at various levels of ecological chains causing deteriorating effects on all the organisms. The bioremediation process has long been known to sustainably detoxify environmental pollutants with the help of microorganisms. Polluted areas often harbor microorganisms that are not cultivable but essential for the bioremediation process. Metagenomic studies help to identify such organisms and present the array of microorganisms apt for bioremediation of the contaminated site. Advances in sequencing techniques help identify microorganisms faster. It also helps with the identification of specific genes, proteins, and enzymes that can hasten the remediation process. Actinobacteria are soil dwellers and have a unique set of enzyme systems with the ability to take up these pollutants effectively. This chapter presents the various metagenomic approaches and the Actinobacteria reported possessing the bioremediation ability.

Keywords

Bioremediation \cdot Metagenomics \cdot Actinobacteria \cdot Heavy metals \cdot Organic pollutants

Department of Biochemistry, Saurashtra University, Rajkot, Gujarat, India

K. Ramani Department of Microbiology, School of Science, RK University, Rajkot, Gujarat, India

K. Dangar $(\boxtimes) \cdot$ D. Changela

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16.1 Introduction

Bioremediation is a process that deals with microorganisms to degrade and detoxify environmental contaminants (Lovley [2003](#page-487-0); Chakraborty et al. [2012](#page-484-0); Arora [2018\)](#page-483-0). Mainly three approaches are used to classify the process of bioremediation. The first approach is natural attenuation that is based on the native microbial communities which degrade or detoxify contamination naturally. Biostimulation (second approach) deals with native organisms along with some addition of nutrients to increase the rate of biodegradation. The third approach is bioaugmentation which requires the addition of non-native organisms into the contaminated site to enhance the process of bioremediation (Salanitro et al. [2000](#page-489-0); Payne et al. [2011](#page-488-0)). The population of bacteria in the soil, ocean, and human body are approximately 2.6×10^{29} , 3.6×10^{29} , and 10^{12-14} cells, respectively (Torsvik and Ovreas [2002;](#page-490-0) Sogin et al. [2006](#page-489-0); Tremaroli and Bäckhed [2012;](#page-490-0) De Mandal et al. [2014\)](#page-485-0). Actinobacteria are Gram-positive bacteria with high GC content in DNA (Ventura et al. [2007;](#page-490-0) Hamedi et al. [2013](#page-486-0)). The genera belonging to Actinobacteria show variation in taxonomic affiliation, physiology, morphology, and biocatalytic potential. (Atlas [1997](#page-484-0); Singh et al. [2013\)](#page-489-0). Actinobacteria, Acidimicrobiia, Coriobacteriia, Nitriliruptoria, Rubrobacteria, and Thermoleophilia are the six major classes of the phylum Actinobacteria (Ventura et al. [2007](#page-490-0)). Actinobacteria are an important source for antimicrobial (Wang et al. [2021](#page-490-0)), antitumor (Kamala et al. [2020\)](#page-486-0), antiinflammatory (Nair and Abraham [2020](#page-488-0)), and antioxidant compounds (Law et al. [2020\)](#page-487-0). Today, two-thirds of the world's antibiotics are derived from Actinobacteria (Mast et al. [2020](#page-487-0)). Huge numbers of researchers have documented the role of Actinobacteria as soil amendment agents (Yadav et al. [2021\)](#page-490-0) as formulations of biofertilizers and biopesticides (Shivlata and Satyanarayana [2017\)](#page-489-0). Actinobacteria are also reported to improve soil health by nitrogen fixation (Sathya et al. [2017](#page-489-0)) and phosphate solubilization (Yadav et al. [2018;](#page-490-0) Lunggani and Suprihadi [2019\)](#page-487-0), along with increasing uptake of iron and reduce biotic and abiotic stresses (Prathyusha and Bramhachari [2018\)](#page-488-0). The diverse pollutants such as pesticides, heavy metals, dyes, hydrocarbons/polyaromatic hydrocarbons, and plastic are degraded or decomposed / detoxified by Actinobacteria. The list of few species belonging to phylum Actinobacteria along with their ability to degrade pollutants is enlisted in Table [16.1](#page-476-0). It clearly shows a multipotential facet of Actinobacteria in various habitats.

16.2 Metagenomics

The major uphill battle is to identify and know the ecological role of 90–99% of organisms that cannot be cultivated under standard laboratory conditions (Dangar et al. [2017\)](#page-484-0). The combination of polymerase chain reaction (PCR) and nextgeneration sequencing (NGS) technologies has helped a lot in measuring microbial diversity along with the discovery of genes, enzymes, pathways, and diverse metabolic products (Felczykowska et al. [2015\)](#page-485-0). Various molecular methods such as

Actinobacteria	Pollutant	Reference
Actinomadura	Poly (3-hydroxybutyrate-co-3-	Shah et al. (2010)
sp. AF-555	hydroxyvalerate	
Aminobacter sp. MSH1	Benzonitrile herbicides	Frkova et al. (2014)
Amycolatopsis and Streptomyces species	Heavy metals such as Zn, Pb	El Baz et al. (2015)
Amycolatopsis oliviviridis sp. nov.	Polylactic acid bioplastic	Penkhrue et al. (2018)
Amycolatopsis orientalis	Azo dye (amido black)	Chengalroyen and Dabbs (2013)
Arthrobacter sp. AK-YN- 10	s-triazine	Sagarkar et al. (2016)
Arthrobacter sp. U3	Mercury	Giovanella et al. (2015)
Arthrobacter sulfureus BZ73	Petroleum hydrocarbons	Margesin et al. (2013)
Cellulomonas variformis GPM2609	Hydrocarbons	Brito et al. (2006)
Dietzia sp. PD1	Azo dyes (Congo red and indigo carmine)	Das et al. (2016)
Dietzia sp. strain GS-1	Disodium terephthalate	Sugimori et al. (2000)
Frankia alni ACN149	Atrazine	Rehan et al. (2014)
Gordonia alkanivorans HKI0136 ¹	Tar	Kummer et al. 1999
Gordonia sp. JAASI	Chlorpyrifos	Abraham et al. (2013)
Janibacter limosus $P3 - 3 - XI$	Phenols and its derivatives	Su et al. (2019)
Janibacter sp. KAS23	Pentachlorophenol	Khessairi et al. (2014)
Kibdelosporangium aridum JCM 7912	Poly (-lactide)	Jarerat et al. (2003)
Kocuria indica DP-K7	Methyl red	Kumaran et al. (2020)
Kocuria sp. CL2	Pentachlorophenol	Karn et al. (2011)
Microbacterium mangrovi MUSC 115T	Starch	Ser et al. (2018)
Micrococcus glutamicus NCIM 2168	Sulfonated diazo dye C.I. reactive Green 19A	Saratale et al. (2009)
Mycobacterium chlorophenolicum PCP-I	Pentachlorophenol	Wittmann et al. (1998)
Nocardiopsis sp.	Azo dye reactive Orange-16	Chittal et al. (2019)
Nocardiopsis sp. mrinalini9	Polythene, plastic, and diesel	Singh and Sedhuraman (2015)
Pimelobacter simplex BZ91	n-alkanes, phenol	Margesin et al. (2013)
Rhodococcus equi TB-60	Toluene-2,4-dicarbamic acid dibutyl ester (TDCB)	Akutsu-Shigeno et al. (2006)
Rhodococcus erythropolis	Oil	Zvyagintseva et al. (2001)

Table 16.1 Species of actinobacteria reported to degrade or detoxify/remove pollutants

(continued)

genetic fingerprinting, metagenomics, metaproteomics, and metatranscriptomics have resolved the limitation of non-cultivable approaches (Kumar et al. [2020;](#page-487-0) Abiraami et al. [2020](#page-483-0); Mukherjee and Reddy [2020](#page-488-0)).

The term metagenomics was given by Jo Handelsman in 1998. Metagenomics is based on the study of genetic material directly collected from environmental samples followed by sequencing and analysis of data to comprehend the occurrence and adaptation of microbes (Handelsman et al. [1998\)](#page-486-0). The extraction of total genomic DNA, amplification, sequencing, and analysis of sequencing data are four milestones of sequence-based metagenomics (Kamble et al. [2020;](#page-486-0) Bøifot et al. [2020;](#page-484-0) Grieb et al. [2020;](#page-486-0) Dhanjal et al. [2020\)](#page-485-0). The quality and quantity of final results rely on various key decisions such as sample collection, storage, protocols for DNA extraction, and amplification of DNA. Sequencing platform and annotation tools via bias gene are reported by various researchers (Garrido-Cardenas and Manzano-Agugliaro [2017;](#page-485-0) Berini et al. [2017](#page-484-0); Garlapati et al. [2019\)](#page-485-0). Figure [16.1](#page-478-0) shows the overview of the process of sequence-based metagenomics.

Sequencing technology is growing rapidly and has transcended from classical technology to NGS. The various sequencing technologies such as Applied Biosystem's SOLiD, Ion Torrent, Pacific Biosciences (PacBio), single-molecule real-time (SMRT) sequencing, 454/Roche, and Illumina/Solexa are reported in a huge number of research papers (Thomas et al. [2012](#page-490-0); Fakruddin and Chowdhury [2012;](#page-485-0) Cao et al. [2017](#page-484-0); Nguyen et al. [2020](#page-488-0)). The assembly of sequences obtained by sequencing is carried out by de novo and reference-based. The DNA sequences are

Fig. 16.1 Overview of process of sequence-based metagenomics analysis

sorted into a group which is referred to as binning. These groups can further be used to portray an organism which is called as operational taxonomic unit (OTU). Binning of sequences is converted into groups (Thomas et al. [2012](#page-490-0); Edet et al. [2017\)](#page-485-0).

The iMicrobe ([http://imicrobe.us\)](http://imicrobe.us) (Youens-Clark et al. [2019\)](#page-490-0), Viral Informatics Resource for Metagenome Exploration (VIROME) (<http://virome.dbi.udel.edu/>) (Wommack et al. [2012\)](#page-490-0), Metagenomics Rapid Annotation using Subsystem Technology (MR-RAST) [\(https://www.mg-rast.org/\)](https://www.mg-rast.org/) (Meyer et al. [2008](#page-488-0)), European Bioinformatics Institute (EBI) ([http://www.ebi.ac.uk/metagenomics\)](http://www.ebi.ac.uk/metagenomics) (Mitchell et al. [2020](#page-488-0)), and Kyoto encyclopedia of genes and genomes (KEGG) [\(https://](https://www.genome.jp/kegg/) www.genome.jp/kegg/) (Ogata et al. [1999](#page-488-0); Kanehisa and Goto [2000\)](#page-487-0) databases are used in metagenomics for identification and functional gene diversity of residing microbes (Kim et al. [2013\)](#page-487-0). The functional aspects of metagenomics opened the key for the identification of desirable genes, enzymes, and proteins. The total genomic DNA is isolated directly from the environment and is inserted into the appropriate host. This is followed by observation of the expression of desirable genes, proteins, and enzymes that have been reported (Rondon et al. [2000](#page-489-0): Guazzaroni et al. [2015;](#page-486-0) Singer et al. [2020\)](#page-489-0). Hence, using the tool of metagenomics, a diverse array of Actinobacteria have been discovered which is a prospective way for bioremediation.

16.3 Role of Actinobacteria in Soil and Importance of Metagenomic Analysis

Actinobacteria include a diverse group of significant species, the majority of which possess the properties to promote plant growth and decontaminate pollutants (Shivlata and Satyanarayana [2017](#page-489-0)). Actinobacteria are also reported as soil alteration agents (Fracchia et al. [2006\)](#page-485-0). They enhance the productivity of soil by nitrogen fixation (Ribbe et al. [1997](#page-488-0)), phosphate solubilization (Yadav et al. [2018;](#page-490-0) Lunggani and Suprihadi [2019\)](#page-487-0), and positive support to plant mycorrhizal growth, and their association (Sathya et al. [2017\)](#page-489-0) boosts the iron accessibility by siderophore production (Valencia-Cantero et al. [2007](#page-490-0). Actinobacteria reduce the growth of soilborne pathogens and plant pathogens by the production of antagonistic enzymes, decrease soil biotic and abiotic stress, and enhance the fertility of soil (Errakhi et al. [2007;](#page-485-0) Srivastava et al. [2014;](#page-489-0) Heng et al. [2015;](#page-486-0) Faheem et al. [2015](#page-485-0)). Several researchers have reported the potential of soil Actinobacteria for biodegradation (Frkova et al. [2014\)](#page-485-0), detoxification (Giovanella et al. [2015\)](#page-485-0), dye decolorization (Chengalroyen and Dabbs [2013](#page-484-0)), and bioplastic degradation (Penkhrue et al. [2018](#page-488-0)). It indicates that Actinobacteria act as a prospective solution to clean up the polluted sites and protect the soil ecosystem from pollutants. One gram of soil contains approximately 10^8 bacterial cells (Raynaud and Nunan [2014\)](#page-488-0); however, owing to the limitations of culture-dependent approaches, only 1–10% of bacteria can be cultivated and maintained under the standard laboratory conditions (Handelsman [2004\)](#page-486-0). The remaining 90–99% non-cultivable bacteria with hidden potential can be identified by metagenomic and other omic approaches (Riesenfeld et al. [2004;](#page-489-0) Alves et al. [2018\)](#page-483-0). It is important to identify the Actinobacteria communities involved in various significant functions in soil as degradation, detoxification, and/or removal rate of pollutants. Metagenomic studies assist to identify the diversity and functional attributes of non-cultivable bacteria. Metagenomic analysis also increases our knowledge about the total microbial ecology, soil ecology at genes, and genome level. Furthermore, such metagenomic approaches contribute toward a better understanding of the Actinobacteria from various contaminated and/or non-contaminated habitats with extreme complexity.

16.4 Metagenomic Studies of Actinobacteria Involved in Bioremediation of Heavy Metals

In the last three decades, due to massive industrialization and urbanization, there has been an increase in pollution as well as the dissemination of heavy metals (Iyer et al. [2005\)](#page-486-0). Several studies demonstrated the existence of bacteria in polluted habitats with metal resistance genes (MRGs), degradation genes, and antibiotic resistance genes (Liu et al. [2020:](#page-487-0) Guo et al. [2020;](#page-486-0) Ma et al. [2020](#page-487-0)). The outcome of the identification of bacteria by culture-dependent methods and culture-independent methods has shown huge variance (Dangar et al. [2017](#page-484-0)). Recent developments in molecular biology and NGS approaches have greatly enhanced our understanding of the microbial communities involved in various bioremediation processes for environmental cleanup (Techtmann and Hazen [2016](#page-490-0); Arora et al. [2018](#page-484-0); Bharagava et al. [2019\)](#page-484-0). Literature has revealed the presence of key microbes in some specific contaminated sites and has been reported for bioremediation. For example, Brevundimonas spp., Rhodanobacter, and Rhodocyclaceae were shown to have the ability to reduce nitrate and uranium respectively, in contaminated sites (Kavitha et al. [2009;](#page-487-0) Green et al. [2012\)](#page-485-0). The residing bacterial communities at various heavy metal [cadmium (Cd), lead (Pb), zinc (Zn), chromium (Cr), and arsenic (As)] contaminated soils were captured by pyrosequencing of 16S rRNA gene fragments (Hur et al. [2011;](#page-486-0) Sheik et al. [2012;](#page-489-0) Chodak et al. [2013\)](#page-484-0). Water was collected from New Mexico, USA, and the DNA was extracted by using Geneclean® Turbo-Kit and the sequencing platform IlluminaIIx used for the metagenomic study. The metagenomic-based taxonomic profile showed the presence of Firmicutes, Proteobacteria, and Actinomycetes in an aquifer contaminated with tetrachloroethene (Reiss et al. [2016\)](#page-488-0). The water samples were collected from the hot springs of Reshi and Yumthang, Sikkim, India. The metagenomic analysis of these two sites has shown the presence of Actinobacteria with a relative abundance of 22.68% and 98.1%, respectively. Further, the metadata was analyzed by BacMetScan V.1.0 which demonstrated the presence of metal resistance genes. The gene for Zn tolerance was found in the Yumthang hot spring, and resistance of copper (Cu), Cd, Hg, and As was detected in Reshi hot spring (Najar et al. [2020\)](#page-488-0).

Three soil samples (S1, S2, and S3) contaminated with Pb and Zn were collected from Bama mine, Iran. S1 S2 and S3 contained low, moderate, and high concentrations of heavy metals correspondingly. Each soil sample was subjected to extraction of total genomic DNA using PowerSoil DNA isolation kit. 16Sr DNA from soil was amplified using 515F and 806R primers. PCR products were sequenced by MiSeq Illumina platform, and sequencing data were analyzed by the Quantitative Insights into Microbial Ecology software package (QIIME). As a result of the analysis of metagenomic data, total 2252 operational taxonomic units were observed in three samples. The second most abundant percentage of phyla was Actinobacteria in S1 (19.92%,), S2 (19.66%), and S3 (17.28%). In heavy metal site S3, the most abundant genus was Solirubrobacter (16.73%) which belonged to the phylum Actinobacteria (Hemmat-Jou et al. [2018\)](#page-486-0).

The metagenomic approach was used to understand the structural and functional diversity present in the Ganges Brahmaputra Delta aquifer system. An arseniccontaminated groundwater of the abovementioned site was subjected to metagenomic analysis. The metagenomic DNA was isolated by PowerWater® DNA Isolation Kit for whole-genome shotgun (WGS) sequencing. The metagene data was analyzed through the MG-RAST (Metagenomic Rapid Annotations Using Subsystems Technology) server. The results demonstrated that the most abundant bacteria were Proteobacteria (62.6%) and Actinobacteria (3.7%) (Das et al. [2017](#page-484-0)).

Soil samples contaminated with Pb, Zn, and Cr were explored for identification of bacterial communities by metagenomics. The metagenomic DNA was extracted by a modified method as given by Zhou et al. [\(1996](#page-490-0)) and Deja-Sikora ([2012\)](#page-485-0). To identify the microbial community of metagenomic DNA, the amplification of the V3 and V4

region of the 16S rRNA gene was performed by using primers 357F and 786R. PCR products were subjected to pyrosequencing on GS FLX and GS Junior instruments. Deja-Sikora ([2012](#page-485-0)) reported that the most abundant phylum was Proteobacteria, while Actinobacteria were also observed. Along with the most abundant class of Actinobacteria, other classes such as Alphaproteobacteria, Acidobacteria, Gammaproteobacteria, Sphingobacteria, and Betaproteobacteria were also observed in polluted soil. The study presented novel findings of a more abundant uncultured genus of Solirubrobacter (Actinobacteria, Solirubrobacteraceae) in the Olkusz soils (Gołębiewski et al. [2014\)](#page-485-0).

Soil contaminated with vanadium was collected from vanadium smelting plant, Panzhihua City, China. Metagenomic DNA was collected by FastDNA Spin Kit and amplified by PCR primers 338F and 806R for amplification of 16S rRNA genes. The 16S rRNA gene amplified product was sequenced by using Illumina MiSeq platform. Metadata was analyzed and converted into OUTs (operational taxonomic units), and for identification of bacterial community, UPARSE version 7.0 [\(http://](http://drive5.com/uparse/) [drive5.com/uparse/\)](http://drive5.com/uparse/) and Ribosomal Database Project (RDP) classifier [\(http://rdp.](http://rdp.cme.msu.edu/) [cme.msu.edu/\)](http://rdp.cme.msu.edu/) were used. From metadata of each sample, 24 phyla were observed, among them the most dominant phyla was Actinobacteria with a relative abundance of $16.27-50.57\%$. To support this finding, Cao et al. ([2017\)](#page-484-0) have described Actinobacteria along with other phyla such as Proteobacteria, Acidobacteria, and Firmicutes commonly residing in the soils contaminated with vanadium. The presence of *Actinobacteria* was higher and *Firmicutes* was lower with increasing the depth of the smelting plant (Wang et al. [2021\)](#page-490-0).

The soil samples were collected from Korea $(36^{\circ}44'05''N, 127^{\circ}12'12''E)$ and Belgium $(51^{\circ}12'41''N; 5^{\circ}14'32''E)$ which were contaminated with various heavy metals. PowerSoil DNA Isolation Kit was used for the isolation of total genomic DNA. DNA was amplified with 519F-816R primers, and amplified products were sequenced by using $MiSeq^{TM}$ platform. Metadata was further analyzed by QIIME. In samples Proteobacteria, Actinobacteria, Verrucomicrobia, Bacteroidetes, Chloroflexi, Planctomycetes, Firmicutes, and Acidobacteria phyla were existing with more than 1% of relative abundance. Faoro et al. (2010) (2010) and Janssen [\(2006](#page-486-0)) observed that the most dominant phyla, Proteobacteria and Actinobacteria, were observed in soil collected from most parts of the world. Proteobacteria and Actinobacteria act as pivotal microorganisms in soil by performing various activities such as biological nitrogen fixation, oxidation of iron and methane, production of plant growth hormones, and solubilization of various metals (Miyashita [2015;](#page-488-0) Itävaara et al. [2016;](#page-486-0) Yadav et al. [2018\)](#page-490-0). Nocardia and Arthrobacter belong to the phylum Actinobacteria and showed the highest abundance in Korean soil (Ofek et al. [2012;](#page-488-0) Igalavithana et al. [2019](#page-486-0)). Both genera increase the plant growth, whereas Nocardia enhances the process of degradation of phenolic compounds (Malarczyk et al. [1994](#page-487-0); Yadav et al. [2018](#page-490-0); Igalavithana et al. [2019\)](#page-486-0).

16.5 Metagenomic Studies of Actinobacteria Involved in Bioremediation of Organic Pollutants

The degradation of polycyclic aromatic hydrocarbons (PAHs) and volatile aromatics collectively indicated as benzene, toluene, ethylbenzene, and xylene (BTEX) were reported in soil samples by using various biological techniques (Andreoni and Gianfreda [2007](#page-483-0)). The cultivable strains of *Rhodococcus* and *Arthrobacter* belonging to Actinobacteria were found in BTEX polluted soils (Stapleton and Sayler [2000;](#page-489-0) Hendrickx et al. [2006](#page-486-0)). The phenanthrene degradation genes were observed in Nocardioides sp. KP7 (Saito et al. [1999;](#page-489-0) Kanaly et al. [2000;](#page-487-0) Daane et al. [2001\)](#page-484-0). In diesel-contaminated Canadian high Arctic soils, metagenomics was coupled to reverse transcriptase real-time PCR (RT-qPCR) analysis. The results showed the presence of hydrocarbon-degrading genes, which mainly belonged to Gammaproteobacteria, Alphaproteobacteria, and Actinobacteria (Yergeau et al. [2012\)](#page-490-0). The high abundance of Actinobacteria was observed along with cytochrome P450 alkane hydroxylase genes associated with increased degradation of hydrocarbon in diesel-contaminated sites (Schneiker et al. [2006](#page-489-0); Yergeau et al. [2012](#page-490-0)). The addition of heavy metals and hydrocarbons in an environment creates disturbance of residing microbial communities. Over the time, some microbes develop an ability to degrade, resist, and/or utilize heavy metals and hydrocarbons (Girvan et al. [2005;](#page-485-0) Van Dorst et al. [2014](#page-490-0); Pessoa-Filho et al. [2015\)](#page-488-0). Most studies were conducted on tapping the potential of such microbial communities to enhance the productivity of bioremediation (Bell et al. [2013](#page-484-0)). By performing the metagenomic analysis of natural and diesel-contaminated soils, the change in the shift of the dominant phylum from *Proteobacteria* to *Actinobacteria* was observed (Jung et al. [2016](#page-486-0)). The genus such as Rhodococcus, Nocardioides, and Gordonia belonging to Actinobacteria were found to possess alkane 1-monooxygenase enzyme (Van Dorst et al. [2014;](#page-490-0) Jung et al. [2016](#page-486-0)). The E26, E29, and E44 sites of petroleum seepage near marine sediments were collected from the Eastern Gulf of Mexico. Metagenomic analysis depicted the dominance of *Actinobacteria* with high relative abundance in a sample collected from E44 (Dong et al. [2019\)](#page-485-0). Pyrosequencing proved that the main shifts of bacterial communities were caused by the addition of a mobilizing agent. It strongly represented the decrease in proliferation of Actinobacteria (Mycobacteriaceae) and Bacteroidetes (Chitinophagaceae) leading to the slowdown of PAH degradation. The study also revealed the occurrence of Actinobacteria in PAH-polluted soil by metagenomics (Lladó et al. [2015\)](#page-487-0). The petroleum muck sample was collected from Kandla, India. DNA isolation kit and Ion Torrent platform were used for isolation of metagenomic DNA and sequencing, respectively. A total of 2,228,423 sequences were annotated using this link <http://metagenomics.nmpdr.org>. The most dominant phylum observed was Proteobacteria with 99.09% of relative abundance, while Actinobacteria was 0.70%. It showed that Actinobacteria can reside in polluted sites (Joshi et al. [2014](#page-486-0)).

16.6 Conclusion and Future Prospects

The ecological role of Actinobacteria in the polluted ecosystems is still largely unknown. Hence, it would be interesting to explore vast genetic resources particularly represented by non-cultivable Actinobacteria using the recently developed approaches of metagenomics. The development of new algorithms, standardization of protocols, and integration of functional and sequence analysis of metagenomic, transcriptomics, and proteomics studies have provided a clearer understanding of Actinobacteria-based bioremediation pathways. The development of cloning and expression systems of metagenomic DNA can be used for the production of enzymes in large quantities and would certainly add to the possibilities of altering the enzyme for desired traits so as to enhance the removal of pollutants from polluted habitats. Continued efforts to characterize soil Actinobacteria diversity and functional attributes will add to our understanding of the potential utility of these bacteria as a source of useful products for biotechnology.

The world is facing a challenge to tackle the enormous amount of increasing pollutants in the environment. Organic pollutants and heavy metals are difficult to degrade and are contaminating soil as well as water. Biological approaches are a sustainable way to address the pollution problem. Microorganisms have been isolated from contaminated sites and used for the bioremediation process. However, there lie a plethora of microorganisms that cannot be cultivated under lab conditions and possess the ability to degrade the pollutants present in the contaminated sites. Metagenomic approaches are robust and comprehensive allowing identification of the microorganism and metabolic pathways from samples, thereby their potential for bioremediation can be harnessed. Actinobacteria have been identified from soil and water samples at several contaminated sites using metagenomic techniques. Advances in metagenomics and the ability of Actinobacteria toward bioremediation of several pollutants show promise towards achieving a clean environment.

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17

Biodegradation and Remediation of Pesticides in Contaminated Agroecosystems: Special Reference to Glyphosate and Paraquat

Mohamed Maldani, Laila Nassiri, and Jamal Ibijbijen

Abstract

The usage of pesticides in agricultural practices contributes to an improvement in food production through monitoring insects, weeds, and crop diseases, with the aim of ensuring food sustainability to meet the needs of increasing population. However, the widespread application of these substances has numerous harmful consequences on the soil and on both environmental and human health. Moreover, in developed nations, the nonrational usage of chemicals, as well as banned forms, presents a major danger and increases contaminated agricultural lands at alarming rates, as well as polluting surface and groundwater. Glyphosate [N- (phosphonomethyl) glycine] is a broad-spectrum systemic herbicide that blocks the enzyme required by plants to produce amino acids and proteins. At present, in all fields (environment, agriculture, toxicology), it has become important to talk about paraquat (1,1'-dimethyl-4,4'-bipyridium) when it comes to glyphosate. Similarly, in recent years, these two herbicides have been the focus of many toxicities and biodegradation studies. Bioremediation by microbial biotechnology is also one of the most recommended solutions to alleviate the impact of these contaminants and is known to be an environmentally safe soil and water remediation technology. The principle of bioremediation is the modification and removal of pesticides in the form of nontoxic compounds used as nutrients for plants. Several approaches are commonly used, such as biostimulation and bioaugmentation. The discovery of potent microbial strains and the screening of degradation genes are currently a challenge for scientific researchers. In this context, this chapter highlights and summarizes contaminants, their

M. Maldani \cdot L. Nassiri \cdot J. Ibijbijen (\boxtimes)

Environment, Valorization of Microbial and Plant Resources Unit, Faculty of Sciences, Moulay Ismail University of Meknes, Meknes, Morocco e-mail: j.ibijbijen@fs.umi.ac.ma

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environmental implications, and the biotechnological use of bacteria that may be used for bioremediation in order to remediate polluted areas.

Keywords

Pesticides · Contamination · Glyphosate · Paraquat · Bioremediation · Biotechnology

17.1 Introduction

In the frenzy of sustainable production with better yields, agriculture has been modernized by means of mechanization to meet the needs of both humans and animals. In the face of a scarcity of arable land, this modernity has implemented new methods that are constantly improving. One of the main aspects of plant development is the management and eradication of weeds. Since the industrial revolution and in the face of the rampant demography of humanity, most modern crop protection activities have called for the use of phytosanitary products of various natures operating on the entire growth cycle of unwanted plants, widely known as weeds. If it is agreed that phytosanitary products of all kinds contribute to the improvement of agricultural speculation, it must be understood that their imprudent use constitutes a direct and indirect threat to humans, animals, and the functioning of the agrosystems concerned.

Soil is probably the most exposed environmental factor and definitely the most susceptible to pesticide contamination. Its weakness can be clarified in the sense that it is rapidly polluted, while its remediation may take several decades after the removal of toxins prior to its rehabilitation. Humans are either directly or indirectly impacted by the harm and effects of the use of pesticides. According to the World Health Organization (WHO [2017](#page-547-0)), the rise in the number of diseases associated with chemical intoxications, such as colorectal cancer, leukemia, allergies, Parkinson's, is primarily attributed to the use of photoproducts and chemicals (e.g. pesticide) that cause health problems and death in many parts of the world. Similar findings have been verified at the national level by the Center for Antipoison and Pharmacovigilance of Morocco (CAPM [2010](#page-536-0)). To ensure the long-term viability of plant defense products, many countries have banned or restricted the use of pesticides in agriculture. The European Union has just voted to ban the use of glyphosate for 5 years. This chapter highlights and summarizes contaminants, their environmental implications, and the biotechnological use of bacteria that may be used for bioremediation in order to remediate polluted areas. The purpose of this chapter is as follows: what is a pesticide? and what are its derivatives, its modes of action, and its relevance to agriculture? several other questions that have made us interested in this research area, to which this chapter offers answers.

17.2 Background on Pesticides

17.2.1 Definitions

There are many definitions of pesticides according to scientific or commercial meanings in the literature. Any of these meanings are given according to the objective sought.

17.2.1.1 Definitions of "Pesticide" According to Researchers

Pesticide is the act of killing a pest. It is a chemical compound or mixture used to control pests including insects, fungi, molds, and weed species. These substances are also widely referred to as plant protection products (Allsop et al. [2015](#page-535-0)). The pesticide is a term composed of "pest" insect harmful to the plant and "cide" from the Latin "caedere" which means, to attack, slaughter, and kill (Rainaud [2013\)](#page-544-0). Generally, the term pesticide is a common name for all molecules or formulations of products that kill harmful species, whether used in the agricultural field or in other applications.

17.2.1.2 Definition According to the CAPM

According to Centre Antipoison du Maroc (CAPM), pesticides refer to all chemical or biological products intended to kill living elements considered harmful (microbe, animal, or plant) or intended to prevent their growth, including unwanted species of plants or animals responsible for damage during or injurious to the cultivation, processing, storage or marketing of food, agricultural products, wood, vectors of human or animal diseases and harmful organisms of materials, premises, and habitats. Pesticides shall also designate an active substance, a commercial specialty, or formulation consisting of one or more active substances as well as a variety of adjuvants, solvents, inert ingredients, residual substances, and metabolites that occur during degradation of the product (CAPM [2010](#page-536-0)).

17.2.1.3 Definition According to the FAO and the IARC

A pesticide is a substance or combination of chemical or biological compounds, which is intended to repel, kill, or combat harmful organisms or to be used as a plant growth regulator. According to the International Agency for Research on Cancer (IARC), pesticides are substances used to prevent, control, or remove organisms considered to be harmful. It also talks about phytosanitary products or plant protection products (WHO [2017\)](#page-547-0).

17.2.1.4 Definition According to the Profanes

A plant protection product, in the case of profanes, is a product used to treat or prevent plant disease organisms, is frequently used to increase the yield of treated crops or to suppress the growth of certain plants, is sometimes used to ensure better survival of seeds and fruits, and may be dependent on one or more active substances or microorganisms. These active ingredients may be mineral or organic, may be of natural origin, or may be derived from synthetic chemistry (Crop Life Maroc [2018\)](#page-537-0).

17.2.1.5 Definition According to the US Environmental Protection Agency (USEPA)

A pesticide is a substance or combination of substances intended for the following purposes: prevent, kill, repel, or reduce any harmful organism. Besides, pesticide products contain both active and inert ingredients: an "active ingredient" prevents, kills, repels, or attenuates a parasite or is a plant regulator, defoliating, dehydrating, or stabilizing nitrogen. In regards to, "inert ingredients", which are any substance other than an active ingredient, intentionally included in a pesticide product which is important for the performance and usability of products (USEPAO [2004](#page-546-0)).

17.2.2 Pesticides Roles

Pesticides for agricultural use are among the deciding factors for pest control in the production of crop. Certainly, for a long time, the main means of struggle were physical: collecting of larvae, eggs, adult insects, destruction of diseased plants with fire, and manual and mechanical weeding (Calvet [2005\)](#page-536-0). However, in their search for better yields, humans had no choice but to use pesticides intensively. Bearing in mind that without the use of pesticides the production will be poor, the use of pesticides in agriculture has become inevitable in order to ensure good safety and better crop production. More concisely, these items are used, on the one hand, to combat crop pests and, on the other hand, to ensure the supply of nutrients required for the production and growth of plants (Zhang [2018](#page-547-0)).

The combination of protection provided to crops by pesticides and the availability of nutrients guarantees a significant yield. The lack of arable land due to desertification and salinization leaves little choice but the use of pesticides and GMOs to feed humanity and combat famine. This position given to pesticides is beneficial; farmers are able to maximize agricultural production. Pesticides have a variety of beneficial effects. These include protecting crops, preserving food and resources, and preventing vector-borne diseases and other species that may pose a threat to public health and the economy (National Research Council [1993](#page-542-0)). According to Boulet [\(2005](#page-536-0)), pesticides are primarily used in agriculture for about 90% of uses but may also be used in other professional sectors or are intended for domestic or therapeutic usage. All of this demonstrates the significant role that pesticides perform in fields other than agriculture.

17.2.3 Historical Overview and Evolution of Pesticides

The pesticide understanding provides a brief overview of pesticide history. Historically, men have often learned how to adapt to nature through simple or complex evolutionary practices. Around 4000 years ago, farmers in the Tigris and Euphrates used ancient methods to improve the productivity of their soil through basic methods of weed eradication and the elimination of rats. In his 2005 novel, Calvet explains that in ancient times, man's genius led him to primitive activities that were basically physical: the elimination of larvae, eggs, and adult insects, elimination of diseased plants with burning, and mechanical and manual weeding. Gradually, the genius of man has often grown. According to Calvet ([2005\)](#page-536-0), citing Homer and Pliny assumes in his book that the usage of chemicals is comparatively ancient. This seniority is demonstrated by the usage of sulfur (after Homer) and arsenic (according to Pliny). It is estimated that arsenic has been used as an insecticide since the end of the seventeenth century, as well as nicotine, the toxic properties of which were discovered by Jean de la Quintinie (1626–1688) stated by Calvet [\(2005](#page-536-0)).

Armed with accumulated expertise in the elimination of crop pests, humans have become creative and developed guidelines for the management of insecticides, fungicides, and herbicides, which became quite popular in the nineteenth century. Over the ages, man has made an attempt to monitor the rivals of civilizations. One of the symbols of neutralizing forces and devastating crops is the usage of pesticides in a variety of ways. These chemicals have been used to a small degree since antiquity, the key component of which is insecticides. Historically, around 4500 years ago, Sumerians were considered to be the first to use insecticides to destroy mosquitoes and mites. Sulfur is the primary composition of these insecticides. In the fifteenth century, the Chinese started to use mercury and arsenic to monitor insects in the garden. While the seventeenth century was characterized by the usage of tobacco as a contact insecticide according to the IARC, it emerged in the 1991 edition.

Plant and animal products have been more widely used and readily available as active ingredients in pesticides. As for the eighteenth and early nineteenth centuries, only a few chemical substances were usable, and their usage was not quite common. Pyrethrum, extracted from the dried flowers of Chrysanthemum cineraria folium "pyrethrum daisies," has been used as an insecticide for over 2000 years. From 1870 onward, the number of compounds available for use increased gradually and diversified (George and Shukla [2011](#page-538-0)). In the twentieth century, coinciding with the Second World War, the advancement of technology provided considerable momentum to the many methods used to produce biological weapons from such pesticide ingredients. In the end, this initiative contributed to the development of synthetic pesticides. Expansion of synthetic pesticides intensified in the 1940s by finding the insecticidal properties of DDT (dichlorodiphenyl trichloroethane), BHC (β-benzene hexachloride), aldrin, dieldrin, endrin, chlordane, parathion, captan, and 2,4-D. Among these, DDT has been the most common due to its wide-spectrum action and low mammalian toxicity and has also reduced insect-borne diseases such as malaria, yellow fever, and typhus, as stated in the November 2000 Pesticide History Blog (Kinkela [2011\)](#page-540-0). In the same bulletin in pesticide history, almost generalized usage of DDT has been documented, culminating in resistance trends found in house flies in 1946. In addition to product tolerance, according to some sources, DDT has caused harm to nontarget plants and animals.

Another research shows the harm incurred by the abuse of chemicals. But new-generation pesticides seemed very healthy, particularly compared to the types of arsenic that killed people in the 1920s and 1930s (Martini and Phillips [2009\)](#page-542-0). In addition, issues with the spontaneous usage of these pesticides have been illustrated by Rachel Carson in her book Silent Spring (Carson [2002](#page-536-0)). In recognition of the adverse consequences and hazards of these goods, an educational program has increased awareness of the health hazards that may be linked with the unregulated usage of pesticides and paved the way for cleaner and more environmentally sustainable products. Work started in the 1970s and 1980s on the manufacture of safe pesticides has persisted. Research has culminated in the creation of welldeveloped herbicide groups, the best-selling and most effective in the world of glyphosate (Duke [2018](#page-537-0)), low-use sulfonylurea and imidazolinone herbicides, as well as dinitroanilines and aryloxyphenoxypropionate and cyclohexanediones.

As with insecticides, the 3rd generation of pyrethroids was synthesized, with the advent of avermectins, benzoylureas, and Bacillus thuringiensis as a spray remedy. The fungicide families triazole, morpholine, imidazole, pyrimidine, and dicarboxamide have appeared during this time. In this desperate sprint to sell the agrochemical product lines, these substances had a single mode of operation, rendering them more selective, and the emergence of resistance issues on the other side of the coin contributed to consideration of management techniques to reduce negative impact (George and Shukla [2011](#page-538-0)). According to George and Shukla's [\(2011](#page-538-0)) study on pesticides and cancer, research efforts in the modern age of the 1990s centered on finding new members of established families with higher selectivity and enhanced environmental and toxicological profiles. In addition, modern agrochemical families have been launched in the market; such as triazolopyrimidine, triketone, and isoxazole herbicides; strobilurin and azolon fungicides; and chloronicotinyl, spinosyn, fiprole, and diacylhydrazine insecticides. Many of these modern agrochemicals are used in grams rather than in kilograms per hectare. Advances in genetics have made it possible to set up a pest management scheme requiring the use of genetically engineered crops with exposure to a large variety of herbicides or pests. These involve herbicide-tolerant crops such as soya beans, rice, canola, cotton, rice hybrids, European corn borer, and wheat wart (Benbrook [2012;](#page-536-0) Abubakar et al. [2020](#page-535-0)). In addition to the genetic factor, the usage of advanced pest control strategies is rapidly common, discouraging the production of pest species and reducing the use of agrochemicals. These developments have altered the essence of insect management and have the ability to minimize and/or modify the essence of the agrochemicals used. New concept techniques and methods of implementation minimize the likelihood of pesticide poisoning and cancer risk (George and Shukla [2011\)](#page-538-0).

17.3 Classification of Pesticides

About 100 chemical groups of pesticides and nearly 10,000 industrial formulas, comprising of the active components and adjuvants, are described in numerous types (liquids, solids, granules, powders) in the literature. Pesticides presently accessible in the market are distinguished by a range of chemical structures, functional classes, and behaviors that their description is complicated (WHO [2009](#page-547-0)). In general, pesticide consists of two types of constituents: the active ingredients which give it its herbicide, insecticidal, or fungicidal activity and the formulants which complete the formulation. These two components shall decide the family to which each pesticide individual belongs. The formulations are normally composed of one or more active substances and one or more adjuvants (Abubakar et al. [2020](#page-535-0)). It improves the performance, protection, and ease of use of the product (Leong et al. [2020\)](#page-541-0). The wording often refers to the physical shape in which the plant safety commodity is placed on the market. Commonly, active substances can be categorized either by the existence of the organisms to be combated (1st classification system) or by the molecular nature of the primary active agent that makes them up (2nd classification system) (Fig. 17.1).

17.3.1 Biological Classification

17.3.1.1 Herbicides

They are the most commonly used pesticides in the world. Herbicides are meant to restrict the adventitious plant species vying with plants to be covered by slowing their development by suppressing their photosynthesis phenomenon. They can be limited or absolute (Kaur [2019\)](#page-540-0). Industrial herbicides have several common pathways for operation. Several enzymes involved in the biosynthesis of amino

Fig. 17.1 Classification of pesticides (Calvet [2005\)](#page-536-0)

acids are active sites for herbicides (e.g., glyphosate). Many different herbicide groups inhibit photosynthesis by binding to the quinone binding protein (e.g., paraquat), D-1, to avoid the movement of photosynthetic electrons (Duke [1990;](#page-537-0) Dayan et al. [2019\)](#page-537-0).

17.3.1.2 Insecticides

Insecticides are chemicals engineered to destroy or reduce dangerous insects. Insecticides are used to defend plants from insects. They interfere by killing them or stopping their life cycle. There are various types: neurotoxins, insecticides that work on respiration, and insecticides that interact with the establishment of the cuticle. Organochlorines, organophosphates, and carbamates are the most widely found families. Insect repellents such as diethyltoluamide (DEET) (Nauen et al. [2019\)](#page-542-0) are also listed in this group.

17.3.1.3 Fungicides

Fungicides are toxins that destroy or suppress the fungi and molds responsible for some diseases. In these species, they may behave differently. A distinction is rendered between respiratory inhibitors, cell division inhibitors, sterol biosynthesis inhibitors, amino acid, protein biosynthesis fungicides, and finally carbohydrate and polyol metabolism fungicides (Zubrod et al. [2019](#page-547-0)).

17.3.1.4 Other Pesticides

These include algaecides (against algae), rodenticides (against mice, rats, moles, and other rodents), and acaricides (against mites).

17.3.2 Chemical Classification

17.3.2.1 Inorganic Pesticides

Present inorganic pesticides have reasonably low toxicity and no effects on the ecosystem. Pesticides in this category, such as borates, silicates, and sulfuric, are minerals derived from the soil. There are no more inorganic insecticides. The only herbicide being used today as a complete weed killer is sodium chlorate. Most of the inorganic pesticides are sulfur and copper-based fungicides. These are used for vines, fruit trees, and many vegetable crops (Calvet [2005](#page-536-0)).

17.3.2.2 Organometallic Pesticides

This category comprises fungicides; the molecule is made up of a metal complex such as zinc and manganese and the organic anion dithiocarbamate. Examples of such pesticides are mancozeb (with zinc) and maneb (with manganese) (Calvet [2005;](#page-536-0) Amghar [2019\)](#page-535-0).

17.3.2.3 Organic Pesticides

These are pesticides that include organic compounds and are usually categorized into three major groups: organochlorine, organophosphorus, and carbamate.

17.3.3 Classification According to the Chemical Families

Pesticides can also be categorized according to the chemical family to which the active substances belong. The major pesticides commonly used belong to a few broad chemical families: organophosphates, organochlorines, carbamates, pyrethroids, and triazines, are the key classes of pesticides that have been reported to be carcinogenic in different models (George and Shukla [2011;](#page-538-0) Kaur [2019\)](#page-540-0).

17.3.3.1 Organochlorines

Organochlorine pesticides are organic compounds, and the oldest synthetic pesticides. These are molecules comprising at least one carbon-chlorine bond formed by chlorination of different unsaturated hydrocarbons (Mawussi [2008;](#page-542-0) Rani et al. [2017](#page-544-0)). Organochlorine molecules are lipophilic and very persistent, distinguished by low water solubility but strong organic solvent solubility, immune to biological and chemical degradation (Singh and Singh [2017](#page-545-0); Pierre et al. [2018](#page-543-0)). In comparison, the impact of ultraviolet radiation is usually more harmful and derivatives are even stable than the original compounds (Yang et al. [2004](#page-547-0)). Organochlorine pesticides include DDT, hexachlorobenzene, aldrin, dieldrin, and lindane. The usage of pesticides with organochlorine is officially outlawed in many temperate nations, but many are still used in marine ecosystems. Furthermore, they appear to be used in certain tropical countries (Gao et al. [2008](#page-538-0); Brodskiy et al. [2016](#page-536-0); Qu et al. [2017\)](#page-544-0).

17.3.3.2 Organophosphates

Organophosphates are synthetic substances that degrade quite rapidly in the atmosphere but have neurotoxic effects on vertebrates (Testud and Grillet [2007](#page-545-0); Poirier et al. [2017\)](#page-544-0). Organophosphates comprise both phosphorus-containing insecticides (chlorpyrifos) and herbicides (glyphosate). They are both produced from phosphoric acid and are usually the most harmful of all pesticides (Eto [2018\)](#page-538-0). These compounds are a class of pesticides that function on the enzyme acetylcholinesterase. They function by irreversibly blocking acetylcholinesterase, which is important for nerve transmission in insects, humans, and most animals (Poirier et al. [2017](#page-544-0)). The capacity to block acetylcholinesterase (and thus toxicity) can differ greatly from one compound to another. Organophosphorus compounds are destroyed by sunlight, climate, and soil, while limited quantities can remain and end up in food and water (Sharifi et al. [2015](#page-544-0); Khedr et al. [2019\)](#page-540-0). However, while organophosphates degrade faster, they are more toxic and pose a danger to consumers of these compounds (Eto [2018\)](#page-538-0).

17.3.3.3 Bipyridines

Bipyridines are a family of organic, heterocyclic, and crude formula $(C_5H_4N)_2$ chemical compounds, formed by two coupled pyridines, which are used as precursors in herbicides and insecticides. Paraquat and diquat are the major herbicides in the chemical family (Khan [1974\)](#page-540-0).

17.3.3.4 Pyrethroids

Pyrethroids are neurotoxic insecticides used, on the one hand, for crop safety in cultivation and, on the other, for the management of harmful insects (Kadala [2011\)](#page-540-0). There are natural pyrethroids present in chrysanthemum flowers (Li et al. [2020a\)](#page-541-0). Its presence dates back to the 1970s, after the ban on pesticides created by organochlorine, which accumulated in the atmosphere and the human body. Synthetic pyrethroids are more robust, particularly with regard to light (Qiang et al. [2002\)](#page-544-0). They are therefore more lipophilic and thus better cross the cuticle of insects and are inserted into biological membranes (Ensley [2018](#page-538-0); Pedersen et al. [2020\)](#page-543-0). The lethal poisonings connected with these agents remain extraordinary. Their accelerated hepatic metabolism greatly decreases human toxicity. They were also an alternative to older molecules (organochlorines, organophosphates, carbamates, etc.) (Ramchandra et al. [2019](#page-544-0)).

17.3.3.5 Carbamates

Carbamates used as insecticides were found in the 1950s. Carbamates are used as insecticides and fungicides on a very significant variety of insects, aphids, and mites, as well as on nematodes and mammals owing to their capacity to inactivate the enzyme acetylcholinesterase (Testud and Grillet [2007\)](#page-545-0).

17.3.3.6 Triazines

Triazines include a broad variety of applications. Many of them are employed as selective herbicides. The selective existence of triazines is attributable to the fact that certain plants can metabolize these compounds while others may not (Hall et al. [2018\)](#page-539-0). They monitor several broadleaf weeds and grasses (D'Archivio et al. [2018\)](#page-537-0). Mainly used for maize production, these pesticides are often used for citrus fruits, nuts, sugar cane, sorghum, and cotton (Villamizar and Brown [2016](#page-546-0); Le Bars et al. [2020\)](#page-541-0). Triazines are among the oldest herbicides, and studies into these substances began in the 1950s, with triazine herbicides triggering cancer in humans is also under consideration.

17.4 Pesticides and the Environment

17.4.1 Transport Mechanisms of Pesticide in the Environment

A large number of pesticides penetrate plants in different forms (stomata, cuticle, root, etc.). The remaining quantity is distributed into the atmosphere and does not only reside in the position where it has been used. Even a pesticide is detected in places far from where it is used. They actually move from one location to another (Wang et al. [2019\)](#page-546-0). The pesticide transport may be carried out in a variety of forms. In this segment, we shall restrict ourselves to transport by runoff, infiltration, groundwater drainage, air drift, and volatilization (Boulet [2005;](#page-536-0) Sharma et al. [2020\)](#page-544-0) (Fig. [17.2\)](#page-501-0).

Fig. 17.2 Pesticides transport in the environment (Calvet [2005](#page-536-0))

17.4.1.1 Runoff

Rainwater runoff happens anytime the rain is heavy enough or lengthy enough for the surface layer of the soil to be fully saturated. Due to the permeability strongly related to the soil texture, extra rain cannot reach the soil. In these conditions, the water then rises to the surface in a limited concentration of time to form gullies or by utilizing land drainage routes (ditches and underwater drains) to enter the watercourses. This water takes everything in its course, including pesticides. Pesticide exposure by water runoff is caused by the slope of the land, the quality of the plant cover and its value, the form of land, the planting methods, the severity of the rainfall, the physicochemical characteristics of each pesticide, and the period between the application of the pesticide and the rain following the application. Pesticides are present in bodies of water, lakes, and reservoirs through this method of transport. These storage sites will gradually penetrate the soil (Calvet [2005](#page-536-0); Chen et al. [2019](#page-536-0)) (Fig. 17.2).

17.4.1.2 Infiltration

Water soaking in the soil may contribute to dissolved pesticides. This penetration in areas of stagnation of water or in soils with a sandy texture is distinguished by rapid permeability, taking into account the high porosity rate (Barriuso and Calvet [1992\)](#page-536-0). In the upper layers, where soil microorganism activity is essential, pesticides can undergo some degradation. Chemical and physical processes can often convert or dilute the existence of pesticides. These various mechanisms usually make pesticides less harmful (Ren et al. [2018\)](#page-544-0). The amount of water penetration depends on the porosity of the soil. Porous soil is composed of hard products such as sand and gravel. Water infiltrates easily in this form of soil and may penetrate the phreatic zone. Consequently, the latter is susceptible to pollution. Conversely, fine-textured soil with a batting crust, such as clay, is less permeable to pollution, so water is more steadily infiltrating (Calvet [2005](#page-536-0)) (Fig. [17.2\)](#page-501-0).

17.4.1.3 Underground Drainage

Drainage happens after the water has crossed the upper horizons of the surface and is expressed by seeping to create trickles of water. Generally, it happens when clay soil is present at deep soil thicknesses (Barriuso and Calvet [1992](#page-536-0)). In agricultural areas, especially in clay or fine-textured soils, excess water is drained by groundwater drainage to watercourses. This hypodermic flow allows the transport of pesticide contaminants to the sites of deposition or to the waterways. Pesticides with rainwater penetrate into the soil reach groundwater drainage system and discharged into the streams (Singh et al. [2019](#page-545-0)) (Fig. [17.2](#page-501-0)).

17.4.1.4 Air Drift

Air drift allows the wind to scatter droplets of pesticide vapors beyond the goal area. It can be a significant cause of pollution for the surrounding areas of the treated areas. The key variables that affect drift are the scale of the sprayed pesticide, wind direction, and spray height. Big droplets easily settle in the treated region, but fine droplets may remain longer in the air and be carried by the wind over long distances (Fang et al. [2017\)](#page-538-0) (Fig. [17.2](#page-501-0)).

17.4.1.5 Volatilization

During and after spraying, various pesticides begin to volatilize. These vapors grow above the treated region and are then carried by air currents over long distances. The phase of volatilization is accentuated by the sun. These pesticides ultimately are transported by runoff, infiltration, and underground drainage. The pesticide residues are detected somewhere else before their disappearance or reduction (Houbraken et al. [2016](#page-539-0)) (Fig. [17.2](#page-501-0)).

17.4.2 Behavior of Pesticides in the Soil

The paradigm of sustainable agriculture with the establishment of gigantic latifundium-type farms has little choice but to return to the use, often huge, of pesticides in order to increase yields. These pesticides interact with terrestrial environments by their residues, having produced the intended results. These residues become unnecessary environmental contaminants (Khan [1980;](#page-540-0) Fang et al. [2017\)](#page-538-0). Residues may or may not have an impact on the environment, and their existence in the soil requires a number of physical processes and physicochemical, biochemical, and chemical reactions. These various soil associations somehow decide the behavior of the residues and their destiny (Khan [1980](#page-540-0)). Transport in and out of the soil system is governed by physical and physicochemical processes, though, chemical and biochemical reactions influence pesticide transformations, resulting in molecular alterations and degradation toward complete mineralization (Ren et al. [2018\)](#page-544-0).

17.4.2.1 Retention

Some pesticide molecules can be susceptible to temporary or long-term sequestration in the soil. This condition is linked to the accumulation or immobilization of pesticide residues (Calvet [2005](#page-536-0)). This retention phenomenon, according to Guimont [\(2005](#page-539-0)), is a mechanism that immobilizes the pesticide molecules or their transformation or degradation components, regardless of their dissolved or gaseous condition. By means of this concept, it is clear to understand that the pesticide pathway is first rendered by going through the unsaturated zone of the soil until it comes into touch with the solid process, which is, of course, its end. This movement is not at all accidental; it is attributed to the sequence of many physicochemical and to biological phenomena.

The final result of the preservation mechanism is the release of molecules after changes to the configuration of the solid phase or the nature of the liquid phase. According to Guimont ([2005\)](#page-539-0), while preservation is due to adsorption, the release is due to desorption. According to the same source, where preservation is due to stabilization, release occurs by molecular diffusion alone or through hydrolysis reactions or shifts in the arrangement of solid constituents. It concludes that, in both situations, the release takes the molecules into the liquid process where they become mobile and can be transferred and consumed by living organisms.

17.4.2.2 Adsorption

In addition to the retention, the pesticide activity in the soil may follow or is affected by the adsorption mechanism (Khan [1980](#page-540-0)). In their studies, Kah et al. ([2007\)](#page-540-0); Wang et al. [2020;](#page-546-0) Wang et al. [2020\)](#page-546-0) estimated that adsorption can be defined as a surface phenomenon that leads to pesticide aggregation, ionized or not, at the interface of two immiscible phases (a solid phase produced by clay particles and organic matter and a liquid phase (the soil solution) in which pesticide molecules are contained in solution). This condition is commonly found in the soil's solid/liquid interface. Adsorption can occur in this interface, unrolling as often on the outer surface of the soil particle facing the liquid as on the inner surface of the pores in contact with the soil solution. Adsorption does not arise in the soil in the same manner, since it is closely associated with the existence of the substrate. In cultivated soils, pesticide adsorption at the liquid/solid soil interface is the most significant as opposed to uncultivated soils (Khan [1980](#page-540-0)). In addition, Barriuso and Calvet ([1992\)](#page-536-0) demonstrate that the process of adsorption depends on the quality of the soil. They find that soils abundant in organic matter consume all nonionic pesticides in vast amounts. In this regard, two pesticide families are characterized by their loads of markedly different

Fig. 17.3 Parameters that affect pesticide adsorption in soil

adsorption characteristics: (1) cationic pesticides are highly absorbed by soils containing a lot of clay minerals (especially montmorillonite and vermiculite) and organic matter; (2) anionic pesticides are highly consumed by soils abundant in metal oxides and hydroxides (Khan [1980](#page-540-0); Barriuso and Calvet [1992;](#page-536-0) Calvet [2005\)](#page-536-0).

In addition to the existence of the soil structure, there are other influences that may greatly affect the absorption of pesticides in the soil. These involve temperature, soil and water pH, particle size distribution, surface area of solids, soil/water ratio, and adsorbent physical properties (Fig. 17.3). This entire set of variables and parameters combined allows it possible to assess the adsorption potential of the soil.

Adsorption-desorption Process

Adsorption-desorption mechanisms in the soil play a crucial role in all physical processes influencing pesticide residue actions in the crop-plant environment, such as volatilization in the air, soil water movement resulting from soil movement and pesticides leaching to the surface or groundwater, and absorption from the soil by plants or fauna. In addition, biological activity and decay are often impaired by adsorption. Adsorption is commonly characterized as the adhesion or attraction of one or more ion or molecular layers to the surface. In soil, the amount of all forms of ion or molecule fixation on or in the solid process is termed adsorption (Barriuso and Calvet [1992](#page-536-0); Yavari et al. [2020\)](#page-547-0).

Pesticides may be adsorbed by soil organic matter and inorganic soil fractions, and the interaction between adsorption at all sites relies on the soil properties as well as the chemical composition of the pesticide. Adsorption of inorganic and organic matter is not attributed to a single pesticide-soil interface process. There is a spectrum of potential adsorption interactions, beginning with fixed site adsorption

and finishing with a distinction of three-dimensional stages, such as aqueous solution and soil organic matter. Links differ between those that are reversible and those that are derived from so-called attached residues (Calvet [2005](#page-536-0); Sharifi et al. [2015;](#page-544-0) Sarkar et al. [2020;](#page-544-0) Wang et al. [2020;](#page-546-0) Wang et al. [2020](#page-546-0)). Pesticide adsorption may be quantitatively defined by a linear adsorption coefficient (KD) or by adsorption constants extracted from the Langmuir or Freundlich adsorption equation. The linear KD is the concentration quotient in the solid phase of the soil divided by that of the liquid phase of the soil. It is relevant to the classification of adsorption by dilute aqueous solutions where the distinction between the solid and liquid phases of the soil is more or less independent of the concentration (Calvet [2005](#page-536-0)). Numerous experiments have shown that adsorption is strongly associated with organic soil carbon (García-Delgado et al. [2020\)](#page-538-0), at least in the case of nonionic pesticides.

17.4.2.3 Mobilization

Pesticide mobility in soil is related, on the one hand, to adsorption and, on the other, to the mass flow of dissolved fractions. Adsorption slows down the mass wave, which consists of diffusion, convection, and dispersion, and is also a function of removing pesticides from the solution through biological and chemical reactions (Calvet [2005;](#page-536-0) Elbana et al. [2019](#page-538-0); Holten et al. [2019](#page-539-0)). Thereby the diffusion is a physical mechanism by which molecules, atoms, and ions–because of their thermal mobility–go from higher concentrations to lower concentrations. This means of transport is independent of the flow of water. Whereas, convection is the passive movement of solutes in moving water. It is a function of the volume flow velocity of water. On the other hand, the dispersion is the diffusion or combination of solutes in flowing pore water arising from the varying flow speeds of each volume of water. Like convection, it is a connection to the mass movement of soil water, which relies on the current. Based on these processes influencing the mobility of pesticides in the soil, the related soil parameters (apart from adsorption coefficients and microflora biodegradation) are texture, porosity, density, layer humidity, flow velocity, and aggregate stability (Calvet [2005](#page-536-0)).

17.4.3 Transfer of Pesticides from Soil to Water

Surface runoff, tile absorption, and incorporation through groundwater are the primary mechanisms of pesticides travelling through water (Climent et al. [2019\)](#page-537-0). These systems are also possible causes of pesticide pollution of rivers, reservoirs, and groundwater (Wang et al. [2019](#page-546-0)). Contamination of groundwater and drinking water with pesticides has been of major interest in recent years. Forecasting leaching trends for surface pesticides are also becoming extremely relevant in determining potential concentrations in groundwater and drinking water in the future.

Given the extraordinary value of adsorption properties and degradation rates for soil pesticide leaching, soil texture may be definitive in specific cases. Organic soil matter influences the mobility and leaching of pesticides not only by adsorption but also favorably by producing greater aggregate stability (Kah and Brown [2007](#page-540-0); Zhu et al. [2019](#page-547-0)). Furthermore, organic matter improves pesticide mobility and leaching by forming water-soluble additives between pesticides that are poorly soluble in water and humic compounds that are easily soluble in water. The temperature also causes the leaching of pesticides in the soil. Interactions between different chemicals is linked to soil mobility and leaching can take place under the mutual control of their solubility in water or competition for soil adsorption sites (Vryzas [2018](#page-546-0); Larson [2019;](#page-541-0) Sarkar et al. [2020\)](#page-544-0).

The interaction of all the parameters examined above and others not explored may contribute to the occurrence of pesticide residues in groundwater. The amounts of residues of pesticides found in groundwater from agricultural usage include those for which theoretical and experimental models have not been predicted to enter groundwater. In previous years, chlorinated pesticides such as aldrin and DDT have become the pesticides most widely contaminating drinking water (Grung et al. [2015](#page-539-0); Meftaul et al. [2020](#page-542-0)). Their existence was not anticipated due to their poor solubility in water; but, due to their biological and chemical durability, they were able to enter groundwater. Currently, pesticides found in groundwater after agricultural usage belong to a number of chemical groups, including carbamates, triazines, acetanilides, uracils, dinitrophenols, and others (Nantia et al. [2017;](#page-542-0) Zhao et al. [2017\)](#page-547-0). Traces of readily biodegradable pesticides can be identified in groundwater at an analytically measurable degree if their mobility is large.

17.4.4 Detection and Quantification of Pesticides in Environmental Matrices

Analysis, when pesticides are identified or quantified, is important both for food security and protection and for the ecosystem. Many testing units and laboratories are interested in pesticide detection in various matrices: soil, fruit, water, and blood. Abundant literature on this topic demonstrates that researchers more or less adopt the approach by changing that information according to needs (matrices, pesticide form, etc.).

Pesticides are found in different environmental components in the form of complicated mixtures, and, in general, at low concentrations, their study involves a preparation to simplify and reconcentrate samples that must conform with requirements such as viability, matrix, and respect for the ecosystem, etc. Pesticide extraction is a critical step until the study of the above; extraction is carried out according to the matrix: (1) for strong matrices (such as soils and sediments) one of the initial methods to be used for the extraction of solid matrices has been the extraction by Soxhlet. However, it is a lengthy technique involving massive quantities of solvents. Today, the most widely employed methods are microwaveassisted extraction and extraction accelerated by a solvent at high temperature and pressures (Cruz [2016](#page-537-0)); (2) for liquid matrices liquid-liquid extraction is one of the first methods used to separate organic compounds from liquid matrices. This is an

Fig. 17.4 Pesticide analysis steps (Van der Hoff and van Zoonen [1999;](#page-546-0) Reemtsma et al. [2013](#page-544-0); Moreno-González et al. [2017](#page-542-0))

efficient method because Soxhlet extraction has many disadvantages (use of massive quantities of solvents, lengthy handling, multiple measures, etc.) (Tankiewicz et al. [2011\)](#page-545-0). Improvements have been made to this methodology, in particular, the production of liquid-liquid micro-extraction, which requires just a few microliters of solvents and in other methods based on other concepts, such as solid-phase extraction (SPE), micro-extraction on solid phase (MESP), and stir-bar sorptive extraction (SBSE). At present, with the latest technologies, it is possible to evaluate water samples without previous extraction while retaining sensitivity consistent with the study of a non-highly diluted natural medium (Reemtsma et al. [2013](#page-544-0)) (Fig. 17.4).

Depending on the type of the pesticides being examined, samples that have been extracted using one of the techniques mentioned above may be analyzed in order to classify the pesticides present. Two analytical separation techniques are typically used for their detection and quantification: gas chromatography (GC) (Van der Hoff and van Zoonen [1999\)](#page-546-0) and liquid chromatography (LC) (Moreno-González et al. [2017\)](#page-542-0) (Fig. 17.4). These methods are combined with a basic or universal detector. Thus, while having accuracy, the mass spectrometer has the benefit of being a virtually universal detection instrument.

17.4.5 Transformation and Degradation of Pesticides

In soil, pesticides experience separate reactions to biotic and abiotic transition (Jaiswal et al. [2017\)](#page-540-0). Abiotic reactions involve all those that are not enzymatic but are caused by reactive chemical species or molecular function in the soil or by catalysis of nonliving soil constituents such as metal oxides and organic or mineral surfaces (Calvet [2005;](#page-536-0) Cycoń et al. [2013](#page-537-0); Khedr et al. [2019](#page-540-0)). In comparison, biotic reactions are catalyzed by enzymes (Calvet [2005;](#page-536-0) Kumar et al. [2018\)](#page-540-0). To date, it is also quite challenging to differentiate between abiotic and biotic triggers of chemical reactions in the soil. In different instances, the same reaction substance originates partly from the enzyme phase and partly from the abiotic phase (Martin-Laurent and Therond [2017\)](#page-542-0). The transition from one mechanism to another is neither sudden nor clearly established. The distinction between the two pathways utilizing sterilized and unsterilized soil does not imply the proportion of abiotic and biotic reactions found in natural soil, since sterilization, in addition to preventing enzymatic behavior, induces improvements in soil structure as in free radicals in soil or singlet oxygen in the aqueous process (Mamouni et al. [2018\)](#page-541-0). Therefore, the abiotic portion of the conversion products derived from sterilized soil should be treated as a minimum limit of possible abiotic reactions.

Pesticide mineralization involves the full breakdown into tiny inorganic molecules such as $CO₂$, CO , $H₂O$, $NH₃$, $H₂S$, and Cl. This is the only way to remove xenobiotic substances from the atmosphere (Andreu and Picó [2004](#page-535-0); Calvet [2005](#page-536-0)). A final product arising from the co-metabolic operation of some organisms can be further degraded by other classes of organisms or may be exposed to an abiotic attack. So, soil mineralization is a dynamic mechanism involving multiple biotic and abiotic causes. The overall degradation quantification of international soil compounds is very relevant for their ecotoxicological assessment. The physicochemical properties of the pesticide decide the behavior and biological activity of the pesticide in the soil. The main properties of pesticides include molecular size, ionization, lipophilicity, polarization, and volatility, but usually one or two of them have a dominant effect (Calvet [2005](#page-536-0); Guimont [2005\)](#page-539-0).

The fate of pesticides in the soil ecosystem typically depends on the abiotic transformation associated with physical, chemical, and photochemical processes, as well as the biological transformation associated with the behavior of living organisms, in particular microorganisms (Omakor et al. [2001](#page-543-0); Jaiswal et al. [2017\)](#page-540-0). The pesticide behavior in soils is defined by the following processes: (1) volatilization, (2) plant absorption, (3) leaching and runoff, (4) soil sorption and binding, (5) chemical degradation, and (6) soil microorganism degradation (Calvet [2005\)](#page-536-0). Volatilization is the main cause of pesticide loss in target areas, especially when pesticides are added to the soil or plant surface. The rate of failure always exceeds that of chemical degradation, runoff, or leaching (Houbraken et al. [2016\)](#page-539-0). The absorption of pesticides by the roots of plants is another process that decreases the volume of pesticides in the soil. This process is potentially the primary cause of bioaccumulation in the food chain and the primary path of human and animal exposure (Navarro et al. [2007\)](#page-542-0).

Owing to the different processes that pesticides undergo in the soil, their longevity in this setting is generally limited, although in certain situations they may leave residual residues in the soil for weeks, months, or even years (Testud and Grillet [2007;](#page-545-0) Du et al. [2017](#page-537-0); Oudejans et al. [2020](#page-543-0)). The half-life of a chemical depends on the variety of variables, including climate, soil texture, pH, temperature, humidity, minerals, organic material, form, and quality of the substance and its single or multiple applications (Calvet [2005](#page-536-0)). Further tests, including different physical and chemical properties and soil parameters, are therefore needed to evaluate the pesticide activity in this environment.

17.5 Microbial Degradation of Pesticides: Biotic Degradation

In the preceding parts and paragraphs, the issue and function of pesticides and their physicochemical characteristics have been discussed. There is also a question of the assimilation or deterioration of the pesticide in the soil following usage and in what shape it is degraded. It is evident that pesticides are not assimilated by plants and contaminants are present in the soil owing to the spraying and recovery process. Their effect on vulnerable microfauna and groundwater pollution is increasingly understood. In order to minimize or alleviate their harmful effects on the ecosystem in general and, in particular, on soils and waterways, consideration or enforcement of the degradation protocol for these pesticides is important and crucial. The bibliography dealing with this topic illustrates the biologic degradation of pesticides.

17.5.1 Mode of Pesticides Degradation in the Soil

In order to keep the soil fertile, it is important to concentrate on the pesticide fate in the soil. If soil scientists are persuaded that restoring soil fertility by pedogenesis is a long-term method, some researchers still believe that microorganism degradation remains a fascinating process. The microbiological degradation of pesticides in soils has been extensively documented. Degradation originates from the action of microorganisms, meaning that the pesticide is used as a nutritional substrate and is degraded by enzymes (Khan et al. [1976\)](#page-540-0). To this, it should be added that, in general, biodegradation is more essential than abiotic degradation. According to Calvet [\(2005](#page-536-0)), the mode of degradation is exceptional in the so-called recalcitrant pesticides. The dynamics of of microorganisms is found in the upper horizons of the soil where the conditions are optimum due to the existence of organic matter, oxygen and humidity, and the iso-humic complex (Liu et al. [2018](#page-541-0)).

17.5.2 Pesticides Biodegradation

Particular focus was put on the dominant mechanism of biodegradation in the pesticide degradation in the surface layers of the soil. The observations made by Calvet ([2005\)](#page-536-0) make it possible to understand how microorganisms work to remove pesticides from the soil. He concludes that biodegradation is due to various chemical transformations that change the composition and structure of the molecules brought to the soil. This research adds that these modifications may be restricted to the plain and simple removal of a functional group leading to different intermediates or transformation products or to the complete degradation of mineral molecules (mineralization). Throughout this method of biodegradation and mineralization, a whole series of intermediate molecules between the initial molecule and the final molecules can thus be generated and made available in the soil (McAuliffe et al. [1990;](#page-542-0) Moneke et al. [2010](#page-542-0); Obuotor et al. [2016;](#page-543-0) Poirier et al. [2017\)](#page-544-0).

It is important to realize that the intermediate molecules are transitory. According to Barriuso et al. ([1996\)](#page-536-0), it may be concluded that they have features that vary from primary molecules since, more frequently, they do not have the same retention and toxicity as the original molecule, but are not inherently less polluting and less harmful, which requires recognizing them and understanding their environmental properties and destiny. It should be remembered that only the process of pesticide mineralization contributes to their complete removal from the natural environment (Andreu and Picó [2004](#page-535-0)). It is also certain that mineralization is the safest approach to neutralize pesticides by extracting them from the environment and preserving soil fertility.

17.5.3 Microorganisms' Role in Biotic Degradation

Microflora activity is often used to generate substances that benefit the natural environment. This activity is created by biotic degradation in soils with the collective or individual involvement of fungi, algae, protozoa, and bacteria. However, pesticide-degrading microorganisms are typically primarily composed of bacteria and fungi, since they are mostly present and identified during degradation processes involving microorganisms (Jacob et al. [1988;](#page-540-0) Benslama [2014;](#page-536-0) Kryuchkova et al. [2014;](#page-540-0) Obuotor et al. [2016](#page-543-0)). The phenomena favoring the involvement of bacteria and fungi as formidable agents in the biotic degradation of pesticide molecules is the existence of ideal conditions for their growth. They should adapt to the temperature and humidity levels in order to take action. The biodegradation dependent on pH, carbon, and mineral content is determined by the presence of clay in the soil. Calvet [\(2005](#page-536-0)) also considers that the size of microbial biomass is equally significant. It is an average of 200 mg C/kg in sandy soils low in organic matter and can be around 900 mg C/kg in rich soils. This microflora is not made up of a single species but of a variety of species. In order to differentiate its activity from other microorganisms

operating in the biotic degradation of pesticides, its role is defined as degrading. The activity of microflora in the process of degradation depends closely, at the same time, on its enzymatic activity, which defines the nature of the chemical reactions, and on its environment, which affects its growth and survival (Aislabie and Lloyd-Jones [1995\)](#page-535-0). There are three components: physical (temperature, water content, and soil structure), chemical (organic compounds (phenolic acids, amino acids), inorganic (amino nitrate, orthophosphate) dissolved in soil solution, oxygen, pH), and biological (other organisms, plant roots, soil animals) (Aislabie and Lloyd-Jones [1995;](#page-535-0) Calvet [2005;](#page-536-0) Kah et al. [2007\)](#page-540-0).

17.5.4 Nature and Reactions Involved in the Biodegradation Action

The process of biodegradation will begin when the environmental conditions are optimum. However, it is important to note that the basic feature of degradation due to microorganisms is the catalysis of chemical reactions by enzymes. In order to achieve this, pesticides must be dissolved during the soil liquid phase, which is necessary to activate the process. According to (Calvet [2005\)](#page-536-0), there are mainly two situations: (1) chemical reactions catalyzed by intracellular enzymes; in this situation, pesticides must be absorbed in order to be processed; (2) chemical reactions are triggered by extracellular enzymes. In this situation, pesticide absorption is not required. Both conditions tend to ensure the degradation of the pesticide compounds, based on whether or not they have to be absorbed in advance. A minimum of environmental conditions are needed for microorganisms to begin their pesticide molecule degrading activities. Now we need to consider the processes by which these microorganisms are able to degrade pesticides. Calvet ([2005\)](#page-536-0) and Gupta et al. [\(2016](#page-539-0)) consider that microorganisms can be active in pesticide degradation under different mechanisms of action.

17.5.4.1 Direct Metabolism

Microorganisms require nutrients that are critical to their development (N, P, K, S, and trace elements), water, and energy for their growth and maintenance of activity. From a biochemical point of view, in the absence of absorption of light energy, particularly from the sun, the production of energy results from the exchange of electrons between the electron donors (organic and inorganic oxidizing compounds) and the electron receptors (molecular oxygen, nitrates, sulfates, ferric compounds, and organic compounds) (Calvet [2005](#page-536-0); Wang et al. [2020;](#page-546-0) Wang et al. [2020](#page-546-0)). This direct metabolism is the consequence of the use of pesticides as an energy source. Numerous pesticides may be substantial or complementary sources of energy for microorganisms by various catabolic and enzyme-catalyzed chemical reactions. By utilizing this energy from pesticide degradation, the bacteria that make up the larger part of the microorganisms are able to conduct all their chemical reactions by targeting the original molecule and inorganic molecules by mineralization. On the other hand, other microorganisms may only conduct part of the transition process. This circumstance involves the aggregation of joint efforts through the presence of many organisms to achieve mineralization, each utilizing successive chemical transformations as a source of energy (Pino and Peñuela [2011;](#page-543-0) Teerakun et al. [2020\)](#page-545-0).

The beneficial function of the consortium in degradation is not just due to the existence of all the enzymes required for degradation but may also benefit from the sharing of nutrients and the removal of substances that prevent degrading microorganisms (Calvet [2005\)](#page-536-0). Microorganisms are even able to destroy several pesticides belonging to various chemical families by a direct metabolism pathway (Abraham et al. [2014;](#page-535-0) Ramya and Vasudevan [2020\)](#page-544-0). Depending on the existence of the functional groups, the degradation of pesticides by these microorganisms is more or less simple. The processes contribute to the production of inorganic molecules (carbon dioxide, ammonia, water, sulfate anions, and phosphates).

According to Fournier et al. ([1996\)](#page-538-0), kinetics presents a delay step that precedes a fast mineralization phase. The subsequent application of the pesticide would eliminate the lag step. It is also possible to transfer the mineralization potential from treated soil to untreated soil. The soil where mineralization happens includes unique bacteria capable of generating it. However, the speed of mineralization is improved by successive applications. As described above, mineralization is complete degradation. This mineralization is a pathway for direct metabolism. It happens in a series of chemical reactions, the first of which is hydrolysis, or oxidation, reduction, or addition, accompanied by other forms of transformation (Calvet [2005\)](#page-536-0).

17.5.4.2 Co-metabolism

This degradation mechanism was first described by Leadbetter and Forster in [1959](#page-541-0). According to Dalton and Stirling ([1982\)](#page-537-0) co-metabolism is a process in which microorganisms ensure their conservation and proliferation at the expense of the organic substrate by degrading pesticides without becoming a source of energy and nutrients for them, the initial reactions of which are catalyzed by non-specific. In general, as opposed to direct metabolism, co-metabolism does not lead to complete pesticide degradation, particularly where a single strain concerns the initial pesticide molecule. On the other hand, it is likely that many strains can function together in sequences to achieve degradation and to produce metabolites, or even to use others as energy substrates and mineralize them (Teerakun et al. [2020\)](#page-545-0). The metabolites produced have properties different from those of the original pesticide, in particular those linked to their transport and toxicity. They are also more polar and thus watersoluble and therefore more poisonous.

17.5.4.3 Conjugation and Condensation

The particularity of the conjugation process is that it refers to the unification of two molecules. Methylation and acetylation are two conjugation reactions in soil microflora (Schrack et al. [2009\)](#page-544-0). This type of mechanism leads to the union of about two to five molecules and polycondensates of larger molecular size when a greater number of molecules are brought together. The reactions of polycondensates induced by microorganisms play an important role in the incorporation of pesticides into humic substances in the soil and contribute to the formation of bound residues (Calvet [2005\)](#page-536-0).

17.5.5 Herbicides Studied

17.5.5.1 Glyphosate

Definition and Nomenclature

A variety of definitions are given for glyphosate, but the one that seems fairly concise and complete to us is the definition given by Yu et al. ([2007\)](#page-547-0), Zhan spi1; et al. ([2018\)](#page-547-0) and by Steinrücken and Amrhein ([1980\)](#page-545-0) that "Glyphosate (N-phosphonomethyl) glycine) is a broad-spectrum systemic herbicide that blocks an enzyme that the plant requires to produce amino acids and proteins." Through its consistency and performance, glyphosate is the most commonly used herbicide in the world, by individuals as well as by professionals. Its effectiveness at low doses makes it commonly used. According to Maldani et al. [\(2017](#page-541-0), [2021](#page-541-0)), it is the bestselling herbicide in Morocco and around the world. Its crucial role is much more valued in the production and development of genetically modified plants in order to tolerate herbicides (Duke and Powles [2009](#page-537-0)). The products of glyphosate have been sold worldwide. These products have been sold under a variety of titles, including: Abundit Extra, Credit, Xtreme, Glifonox, Glyphogan, Ground-Up, Rodeo, Roundup, Touch Down, Tragli, Wipe Out, and Yerbimat (IARC [2018](#page-539-0)).

Historical Overview on Glyphosate

By observing the chronology of all subjects addressed in the scientific literature concerning glyphosate, the first to be developed was Henri Martin in Schaffhouse (Switzerland) in 1950, without understanding the scope of the possibilities provided by this pesticide. It was perceived to be a result of secondary importance. Two decades later, the work of John E. Franz, a chemist in the Monsanto Company (1970), applied herbicide properties and showed that glyphosate, a systemic herbicide, destroys plants by blocking the enzyme required to produce proteins (Duke and Powles [2008\)](#page-537-0). Subsequently, glyphosate was patented under the trading mark Roundup. Despite this significant finding, the most notable findings of its use were that glyphosate was sold in Malaysia for rubber cultivation and then in the United Kingdom for wheat cultivation beginning in 1974. Also, in the same year, it was first authorized by the American authorities in the United States, for weeding in nonagricultural zones. After the discovery of its fascinating herbicide properties, glyphosate has achieved worldwide success. In spite of its widespread application, Monsanto embarked on a wide-ranging campaign to develop genetically modified organisms (GMOs) for greater resistance to Roundup in the 1990s. From 1996 onward, the company marketed Roundup Ready crops based on corn and soy GMOs in 2011. Massive areas have been sown by these two speculations, and more than 650,000 tons of glyphosate have been applied worldwide.

Glyphosate has attracted a number of companies following the expiry of its American patent in 2000 and provided the world-famous popularity and the craze of individuals and professionals. It is sold under a number of brand names by more than 40 firms (Nandula [2010;](#page-542-0) Duke [2018](#page-537-0)). The market leader is Monsanto, which supplies all of the herbicides produced worldwide. Other companies such as Syngenta, Bayer, BASF, and DOW also offer their own glyphosate products for the majority of the market share. The evolution of glyphosate did not happen like a peaceful river. Some have begun work on environmental and public health effects. In 2012, the research by Professor Gilles-Eric Séralini on the long-term toxicity of Roundup created a stir. Then, in March 2015, the WHO-dependent IARC classified glyphosate as likely to be carcinogenic to humans; consequently, a range of countries have taken precautionary action on their use. France, for its part, has agreed to prohibit its usage within 3 years (Duke [2018;](#page-537-0) Lautre [2019](#page-541-0)). These fair timeframes consist of enabling farmers and researchers to identify substitutes that are less toxic than glyphosate. Glyphosate is currently [accepted](https://eur-lex.europa.eu/legal-content/AUTO/?uri=CELEX:32017R2324) in the European Union until 15 December 2022 (EFSA [2021\)](#page-537-0).

Characteristics

Durand [\(2007](#page-537-0)) classifies glyphosate (Fig. 17.5) in the poor organic acid family as very soluble in water: 10.5 g/L at 20 $^{\circ}$ C at a pH of 2. This acid is approximately ten times less soluble than organic solvents. In addition to this active ingredient, other glyphosate herbicides include different chemical additives, such as surfactants, which promote the absorption of glyphosate by the plant in order to achieve its impact. In order to help describe glyphosate at the physical and chemical levels, Table 17.1 points forth the key characteristics.

Mode of Action

In the process of weed neutralization, herbicides follow two modes of action, preemergence herbicide which is a substance that works before weeds are formed and the postemergence herbicide. In the case of postemergence herbicides, a distinction must be made between those which establish a contact action and those which manifest a systemic reaction. As with other herbicide classes, glyphosate is consumed by the leaves and branches and is then transferred to the roots and the aerial portion by the plant's vascular system. According to Steinrücken and Amrhein [\(1980](#page-545-0)), it works by preventing the biosynthesis of aromatic amino acids (tyrosine, phenylalanine, and tryptophan) that are necessary for the growth and survival of plants. The deficiency of aromatic amino acids contributes to the termination of protein production and the development of some phenolic compounds. Durand [\(2007](#page-537-0)) considers that the blocked enzyme is 5-enolpyruvylshikimate-3-phosphate synthase (EPSPS), which is localized in chloroplasts and catalyzes the synthesis of 5-enolpyruvylshikimate-3-phosphate (EPSP), phosphoenolpyruvate (PEP), and shikimate-3-phosphate; glyphosate molecules compete with phosphoenolpyruvate (PEP) and not with shikimate-3-phosphate. It does not bind directly to the active site of the enzyme but to a potential allosteric location, creating a structural difference that would keep PEP from binding. Inhibition of EPSP triggers the interruption of three aromatic amino acids (phenylalanine, tyrosine, and tryptophan) which are important for plant synthesis (Giesy et al. [2000](#page-538-0)) (Fig. [17.6](#page-516-0)).

Glyphosate Adjuvants

Adjuvants boost the physicochemical properties and the biological performance of the active ingredients; they can be solvents, dispersants, emulators, or surfactants. These compounds do not have herbicide action (Rainaud [2013](#page-544-0)). In some nations, such as France, all formulations containing glyphosate produce surfactants such as adjuvants (Rainaud [2013\)](#page-544-0). This raises the contact area between the sprayed liquid and the leaves for improved action by encouraging the penetration of the product through the cuticle, pore, or plant stomata. Even if their function is limited to a subordinate intervention to promote contact, they are therefore necessary for optimal herbicide activity. It is projected that just 10% of the volume of glyphosate sprayed will penetrate the plant (Leaper and Holloway [2000](#page-541-0)). It should be remembered that there is a multitude of surfactant-type adjuvants available. Various forms of surfactants are ethoxylated fatty amines, phosphate esters, alkyl polyglucosides, and propoxylated quaternary ammonium salts. Each of the surfactants has its own peculiarities and physical, chemical, biological, and toxicological effects (Kaczmarek et al. [2019\)](#page-540-0).

In glyphosate formulations, primarily surfactantsare in the first two families. The Roundup variety primarily uses fatty ethoxylated tallow amines; the most widely used is polyoxyethylene amine (POEA), a detergent that facilitates the distribution of spray droplets on the leaves and is found to be approximately 15%, and studies have shown that it is 10,000 times more harmful to human cells than glyphosate (Mesnage

Fig. 17.6 Mechanism of action of glyphosate (Dill [2005\)](#page-537-0)

et al. [2013](#page-542-0)). Thus, the separate products in the Roundup variety composed of 14.5% to 75% glyphosate salts, whereas the remainder of the formulation comprises of a dozen large adjuvants, the structure of which is mostly held confidential (Deschomets [2001](#page-537-0); Rainaud [2013](#page-544-0)).

Glyphosate in Soil

The behavior and transformation of glyphosate in soil depends on the different interactions with the herbicide and soil under specific environmental conditions such as precipitation, temperature, organic matter, etc. and are affected by numerous soil factors and processes (Gimsing and Borggaard [2001;](#page-539-0) Bento et al. [2016](#page-536-0); Khan [1980;](#page-540-0) Hermansen et al. [2020](#page-539-0); Meftaul et al. [2020\)](#page-542-0). Application rates and formulations can also have an effect on pesticide activity (Weber et al. [1965;](#page-546-0) Weber [2018](#page-546-0)). In their experiments, Bento et al. ([2016\)](#page-536-0) assessed the dissipation kinetics of glyphosate and its metabolite AMPA in the soil as a feature of temperature and soil moisture and found that, under biotic conditions, glyphosate dissipation and AMPA formation/dissipation are mainly influenced by temperature and humidity and glyphosate was 30 times more persistent in cold and dry weather than in hot and humid weather.

Adsorption

Glyphosate is active and quickly absorbed in soils, therefore not quite mobile (Zhao et al. [2009\)](#page-547-0), and in certain situations, primarily sandy or limestone soils, adsorption is more moderate (Sprankle et al. [1975](#page-545-0); Piccolo et al. [1994](#page-543-0)). Adsorption of glyphosate relies on many factors; pH highly affects glyphosate adsorption (Ololade et al. [2019\)](#page-543-0); typically, adsorption rises as the pH reduces. Glyphosate is negatively charged in highly alkaline pHs, as are the surfaces of clay minerals, oxides, and organic matter, reducing adsorption. Glyphosate is attached to soil constituents by its phosphonic group, and this results in standard interactions with the occurrence of competition with phosphate at adsorption sites (Sprankle et al. [1975](#page-545-0); Pessagno et al. [2008;](#page-543-0) Pereira et al. [2019](#page-543-0)). Gimsing and Borggaard [\(2001](#page-539-0)) have shown that on goethite, phosphate can also remove glyphosate from its sorption sites. According to Laitinen et al. [\(2008](#page-541-0)), the impact of the concentration of phosphorus in two soil types (clay and sandy loam) on glyphosate adsorption decreased with a rise in phosphorus concentrations (Fig. 17.7).

Several studies have reported that soil physicochemical properties such as organic matter quality, acidity, and texture largely affect the irreversible or reversible reaction of glyphosate in soil (Gómez Ortiz et al. [2017](#page-539-0); Silva et al. [2019](#page-545-0); Meftaul et al. [2020](#page-542-0)). As glyphosate is a small molecule of carboxyl, amino, and phosphonate groups, it is strongly absorbed by minerals in soils (Gimsing et al. [2007](#page-539-0)). As a consequence, glyphosate is heavily adsorbed to clay and organic matter and largely

Fig. 17.7 Glyphosate behavior in soil

immobile in the soil, rendering it quite inaccessible to microbial degradation and accumulation in soil over time. As a consequence, glyphosate and AMPA can survive for more than a year in clay soils and wash rapidly in sandy soils (Okada et al. [2019](#page-543-0)). On the other hand, glyphosate can bind to humic substances through its phosphonic acid group and react with polyvalent cations adsorbed to organic matter and clay (Piccolo et al. [1994](#page-543-0); Gómez Ortiz et al. [2017](#page-539-0)).

Glyphosate Mobility in Soil

Glyphosate mobility in various compartments is potentially restricted due to its strong soil sorption capacity (Piccolo et al. [1994](#page-543-0); Padgette et al. [1995](#page-543-0); Zhao et al. [2009;](#page-547-0) Padilla and Selim [2020](#page-543-0)). Dose, occurrence, preferential flow, sorption and degradation, and soil type affect the amount of glyphosate usable for leaching (Vereecken [2005](#page-546-0); Norgaard et al. [2014](#page-542-0); Duke [2020\)](#page-537-0). According to Borggaard and Gimsing ([2008\)](#page-536-0), glyphosate leaching is typically caused by precipitation and soil composition, whereas sorption and degradation impair the mobility of glyphosate in soils.

Glyphosate can be transported in dissolved or particulate form and can enter water bodies (Meftaul et al. [2020\)](#page-542-0). Owing to its low mobility, soil volatilization and low vapor pressure $(9.8 \times 10^{-8} \text{ mmHg}$ at 25 °C) of glyphosate in the atmosphere is marginal (Giesy et al. [2000\)](#page-538-0). In addition, runoff is another movement from agricultural fields and urban areas such as waterproof and linked paved surfaces (Grandcoin et al. [2017\)](#page-539-0). As a consequence, the usage of glyphosate in certain impermeable and linked surfaces in some European countries is banned (Meftaul et al. [2020\)](#page-542-0).

Presence of Glyphosate and Its Metabolites in Water Sources

Glyphosate traces have been identified in many surface and groundwater systems (Peruzzo et al. [2008](#page-543-0); Toss et al. [2017\)](#page-545-0). Persistence is generally 170 days, with a halflife of between 45 and 60 days (Peruzzo et al. [2008](#page-543-0)). Some experiments have also demonstrated a half-life of many years (Carlisle and Trevors [1988](#page-536-0)). Nowadays, glyphosate and its main metabolite AMPA have also been found in surface water and also groundwater (Okada et al. [2020\)](#page-543-0). Glyphosate and AMPA levels in surface water have been found to be as high as 10^{-6} µg/L in several European countries. These concentrations were above the European Union drinking water cap (Botta et al. [2009\)](#page-536-0). The findings of the US Geological Survey in nine states revealed that AMPA was found more regularly than glyphosate; its concentration was equivalent to or higher than that of glyphosate. The analysis of glyphosate and AMPA pathways across the water cycle and their association with various soils is mandatory in order to determine their environmental effect. While glyphosate is a commonly used herbicide worldwide, the possibility of environmental contamination by transport systems for these substances is still not well documented.

Impact of Glyphosate on Soil Microflora

Studies on the ecotoxicity of glyphosate and its metabolites to soil fauna are also inconclusive (Dominguez et al. [2016](#page-537-0)). Indeed, experiments have shown that

glyphosate does not greatly impact soil microflora when used at normal doses. Glyphosate toxicity to soil microorganisms is usually deemed negligible as glyphosate undergoes accelerated microbial degradation and immobilization in soils (Giesy et al. [2000\)](#page-538-0). On the other hand, various experiments have reported chronic effects on soil microflora as a whole: worms, microbes, fungi, etc. (Druille et al. [2013;](#page-537-0) Dominguez et al. [2016](#page-537-0); Iori et al. [2020](#page-540-0); Romina et al. [2020](#page-544-0)). Glyphosate functions by inhibiting the function of 5-enolpyruvylshikimate-3-phosphate synthase (EPSPS), an enzyme occurring in plants, microbes, and fungi (Padgette et al. [1995\)](#page-543-0), some of which play a key role in the soil nutrient cycle (Zhan et al. [2018](#page-547-0)).

Impact of Glyphosate on Human Health

According to the WHO classification, glyphosate is graded as "U" (presents an unlikely or acute danger of daily use) (WHO [1994](#page-546-0)). Several authors have described the clinical existence of glyphosate and its accumulation in blood, urine, and breast milk (Zouaoui et al. [2013;](#page-547-0) Bus [2015;](#page-536-0) Niemann et al. [2015;](#page-542-0) Tsao et al. [2016](#page-546-0); Woźniak et al. [2020\)](#page-547-0). Glyphosate toxicity is defined by multiple symptoms such as gastrointestinal signs, impaired consciousness, hypotension, respiratory impairment, metabolic acidosis, and renal failure (Chang et al. [1999](#page-536-0); Eddleston [2020\)](#page-537-0). The death rate from glyphosate toxicity is estimated to be 3.2% in the research, including 601 patients with acute glyphosate poisoning. In the same report, the authors suggested that mortality was closely correlated with older age, increased intake, and increased intake of plasma glyphosate $(>734 \text{ mg/mL})$ (Rainaud [2013](#page-544-0)).

The glyphosate composition includes surfactants that are expected to improve its toxicity. According to Zhang et al. ([2019\)](#page-547-0), glyphosate exposure may raise the risk of non-Hodgkin lymphoma by 40% and thus trigger cancer. Several reports have demonstrated that glyphosate influences human health; it can induce endocrine, nervous system, and leukemia disorders (De Roos et al. [2005;](#page-537-0) Mahoney [2018;](#page-541-0) Gastiazoro et al. [2020;](#page-538-0) Martinez et al. [2020\)](#page-542-0). Enzyme disruptions have also been hypothesized and shown in human cell cultures (disruption of cytochrome P450, DNA structure in human mammary epithelial and placental cells) (Benachour et al. [2007\)](#page-536-0) (Fig. [17.8](#page-520-0)).

Degradation of Glyphosate

After application, most pesticides reach the soil due to direct usage and/or leaf leaching (Torstensson [1985](#page-545-0); Xiang et al. [2020\)](#page-547-0). Pesticide-containing plants can return to the soil during the growing cycle (leaf senescence) or after harvest as crop residues. Glyphosate degradation in the soil is primarily biological with laboratory half-lives (DT50) varying from less than 1 day to 40 days (Mamy and Barriuso [2005](#page-541-0)). The predominant metabolite of glyphosate AMPA is more persistent: the DT50 ranged from 25 to 75 days (Feng and Thompson [1990](#page-538-0); Mamy and Barriuso [2005;](#page-541-0) Singh et al. [2020\)](#page-545-0). Glyphosate degradation relies on soil composition and properties, climatic factors, and management practices for phosphate fertilizers (Gimsing et al. [2007](#page-539-0); Helander et al. [2012](#page-539-0); Munira et al. [2016](#page-542-0)). The key product arising from the metabolism of glyphosate is AMPA, which has a strong

Fig. 17.8 Glyphosate effects on human health

environmental persistence and high soil mobility (Simonsen et al. [2008\)](#page-545-0) and is therefore poisonous to nontarget species (Mañas et al. [2009;](#page-541-0) Singh et al. [2020](#page-545-0)).

Glyphosate-Degrading Microorganisms

Microorganisms perform a vital function in the transformation and mineralization of organophosphates. Thus, there is a broad variety of bacteria in the literature that degrade glyphosate. Microorganisms play a crucial role in the transformation and mineralization of organophosphates. Thus, in the literature there is a wide range of bacteria which degrade glyphosate; Table [17.2](#page-521-0) summarizes in chronological order the bacteria which degrade glyphosate.

Mechanism of Glyphosate Biodegradation

In soil as well as in water, glyphosate degrades primarily through biotic processes under the action of microorganisms (Sharifi et al. [2015\)](#page-544-0); glyphosate biodegradation processes have been extensively studied. In reality, glyphosate is effectively biodegraded by soil microorganisms. During the biodegradation of glyphosate, two metabolic pathways were identified, the first leading to the intermediate formation of glycine and the second leading to the formation of AMPA (Durand [2007\)](#page-537-0). The rupture of the C-P lyase bond contributes to the development of sarcosine (Nmethylglycine) and inorganic phosphorus. Sarcosine, in turn, is transformed into glycine and formaldehyde. Subsequently, glycine is used by bacteria to synthesize protein, nucleic acid, and amino acids such as serine, cysteine, methionine, and histidine (Fig. [17.9](#page-525-0)). Jacob et al. ([1985\)](#page-540-0) and ([1987\)](#page-540-0) described for the first time the

Table 17.2 Microorganism-degrading glyphosate Table 17.2 Microorganism-degrading glyphosate

Table 17.2 (continued) Table 17.2 (continued)

Table 17.2 (continued) Table 17.2 (continued)

Fig. 17.9 Biodegradation mechanism of glyphosate (Zhan et al. [2018](#page-547-0))

metabolic pathway for the degradation of glyphosate by Pseudomonas sp. PG2982, isolated from the soil, and Pipke et al. ([1987b\)](#page-544-0), worked on the Arthrobacter sp. strain, GLP-1 report. The study of Pseudomonas sp. PG2982 was the first to show this metabolic pathway for glyphosate by a bacterium. The second degradation mechanism for glyphosate contributes to the development of AMPA; this pathway includes the transformation of glyphosate into AMPA through the loss of a C2 unit (glyoxylate). This compound is then dephosphorylated by C-P lyase and transformed into methylamine, followed by formaldehyde (Singh et al. [2020](#page-545-0)).

Enzymes Involved in the Degradation of Glyphosate

The common feature of all the reactions involved in microorganism degradation is that they are all catalyzed by enzymes, most of which are intracellular. This means the reactions take place inside microbial bodies and are accompanied by pesticide absorption. In glyphosate biodegradation, glyphosate oxidoreductase (GOX) is the main enzyme for the degradation of glyphosate to AMPA via the cleavage of the C-N bond. Thus, phosphonoacetaldehyde hydrolase, phosphonoacetate hydrolase, and phosphoenolpyruvate hydrolase are the four catabolic C-P-binding enzymes of C-P lyase distinguished by degrading glyphosate bacteria (Villarreal-Chiu [2012\)](#page-546-0). However, only C-P lyase can detach the bond of C-P from glyphosate, whereas the other three enzymes are extremely restricted to their own substrate (Bujacz et al. [1995;](#page-536-0) Zhan et al. [2018](#page-547-0)). The C-P bond of glyphosate is stable for hydrolysis and chemolysis and photolysis. The C-P lyase complex with a strong specificity for glyphosate is, therefore, necessary for the degradation of glyphosate via the cleavage of the inactivated C-P bond and the formation of sarcosine. Extremely selective C-P

lyase for glyphosate has not been characterized at a genetic and biochemical level (Sviridov et al. [2014](#page-545-0)).

Extraction and Analysis of Glyphosate

In the background of environmental problems, pesticides to be analyzed, such as glyphosate, can be found in a number of matrices. In general, pesticides are more or less directly related to their constituents, regardless of the model. They are surrounded by a variety of organic and inorganic molecules from the media themselves or by adjuvants employed throughout the application of the products.

Pesticide extraction in different environmental matrices is an important and crucial phase in the pesticide analysis (Karasali et al. [2016\)](#page-540-0), the aim of which is twofold: the first is to remove pesticides from their matrices, and the second is to isolate them from other substances in order to obtain solutions for their chemical analysis. The extraction shall be carried out using solvents selected according to the properties of pesticides and matrices (Narenderan et al. [2019](#page-542-0)).

The lack of sufficient chemical groups in glyphosate molecules (e.g., chromophores or fluorophores) hinders the detection of glyphosate molecules through traditional detectors. Moreover, due to its ionic character, low volatility, and low mass, it is difficult to decide the low concentrations needed for residue analysis (Ibáñez et al. [2005](#page-539-0)). Determination of soil residues at a low level of concentration (for example, less than 0.1 mg/kg) is often difficult due to the complexity of this matrix sample (Ibáñez et al. [2005\)](#page-539-0). Owing to the extremely polar existence of glyphosate, the extraction of organic solvents from environmental matrices is almost difficult.

Most of the methods developed for glyphosate and AMPA analysis need derivatization procedures to enable gas chromatography or high-performance liquid chromatography (HPLC) analysis (Wang et al. [2016\)](#page-546-0). GC/MS procedures include the derivatization of various reagents (Ibáñez et al. [2005\)](#page-539-0). An analytical approach has been established and validated for the rapid and rigorous determination of low concentrations of glyphosate and its primary metabolite AMPA in soil by LC-MS/ MS. The preparation of the sample involved a 9-fluorenyl-methylchloroformate (FMOC-Cl) derivatization stage until it was determined to improve the chromatographic conduct of glyphosate and AMPA. The process meets the criteria for excellent sensitivity and unmistakable confirmation of residues identified using two MS/MS transitions for each compound (Karasali et al. [2019\)](#page-540-0).

17.5.5.2 Paraquat

Definition and Nomenclature

Paraquat is a weed killer that affects nearly all plant organisms (Tu and Bollen [1968\)](#page-546-0). It prevents the respiration and photosynthesis cycles. This substance is rapidly absorbed by the leaves and transferred by the sap. However, this translocation happens only in the direction of plant organs where the movements of the sap are necessary. The flagship product of Syngenta, Gramoxone, is the most popular trade name for paraquat, although several producers still market herbicides under many different terms.

Historical Overview of Paraquat

Paraquat was first synthesized by Weidel and Russo in [1882](#page-546-0) and used as an herbicide in 1955 at the Jealott's Hill research center (Sousa et al. [2020](#page-545-0)). Paraquat is a herbicidal pesticide developed for commercial purposes as early as 1961 by Imperial Chemical Companies (ICI), which later became Syngenta and was first used in agriculture in 1962 (US EPA [1987](#page-546-0)). After glyphosate, paraquat is one of the most commonly used herbicides in the world (Maldani et al. [2017](#page-541-0)). In 2003, the European Union approved paraquat by registering it in Annex I to Directorate 91/414/EEC by Direction 2003/112/EC. Despite its toxicity to humans and the environment, marketing authorization was issued. Paraquat is officially outlawed in Europe. The European community has revoked the directive authorizing paraquat, arguing that the authorization protocol did not take adequate account of the correlation between the herbicide and Parkinson's disease.

Characteristics

Paraquat (1,1'-dimethyl-4,4'-bipyridinium) is an active herbicidal agent (photosynthetic inhibitor) belonging to the quarterly ammonium family (Bipyridiles) (Fig. 17.10 , Table 17.3). Paraquat is decomposed at about 300 °C. It is soluble in an acidic or neutral solution and unstable in a simple medium since it is rapidly hydrolyzed (Table 17.3).

Mode of Action

Paraquat is a fast-acting, nonselective contact herbicide absorbed by the vegetation. It destroys plant tissue by disrupting photosynthesis and breaking up cell membranes, enabling water to escape, contributing to rapid leaf drying (Fig. [17.11\)](#page-528-0). It may even be transported within the plant, which raises the risk of

Fig. 17.11 Mechanism of action of paraquat (Bonneh-Barkay et al. [2005](#page-536-0))

residues (Pomeroy [2012\)](#page-544-0). Studies have shown that paraquat molecules transmit electrons from iron-sulfur centers to chloroplast photosystem I. As chlorophyll captures energy from sunlight, it is transmitted as an electron flow through the "Photosystem I." Reduced paraquat molecules combine with oxygen to create superoxides $(O₂)$ that generate hydroxyl radicals that invade the plant's cell membranes (Bonneh-Barkay et al. [2005](#page-536-0)). Generally, paraquat diverts this flow, creating extremely reactive free radicals that easily kill cell membranes, reverse their material, and manifest themselves as yellowing and drying (Cohen and Doherty [1987\)](#page-537-0). The leaves turn brown, often less than 30 min after application, and in the case of extreme sunlight, complete drying is observed after a few days.

Paraquat in Soil

Paraquat and Soil Texture

In soil pesticide behavior, a specific parameter, the texture of the soil, must be tested. Pesticides and sorption do not occur in the same way depending on soil composition. Paraquat is no exception to this state of affairs. In their studies on the impact of activated clay particles on the adsorption of paraquat from aqueous solution, Tsai et al. [\(2003](#page-545-0)) observed that the size of the clay particles played a key role in the adsorption potential and the rate of adsorption. It seems obvious that the mobility of the paraquat remains closely associated with the texture of the soil. Thus, in soil with a prevailing silt grain, paraquat is not or is not a tiny mobile. This condition is often found in soils with a silky texture and clay loam silt. On the other hand, it is mildly

mobile in sandy soil (Damanakis et al. [1970;](#page-537-0) Amondham et al. [2006](#page-535-0); Sarkar et al. [2020\)](#page-544-0).

Sorption and Desorption of Paraquat

Despite mobility and behavior, the sorption and desorption of paraquat in soils depend on the quantity and relative quality of clay minerals and organic matter (Knight and Denny [1970](#page-540-0); Gondar et al. [2012](#page-539-0)). It is useful to realize that the behavior of the adsorption exhibits variability based on the quality of the clay that makes up the clay-humic complex. Khan [\(1974](#page-540-0)) and Gondar et al. ([2012\)](#page-539-0) argued that loaddependent controls absorb soil pH. Findings of Weber et al. [\(1965](#page-546-0)), indicate that, in the case of kaolinite, adsorption happens on the edges or faces of clay particles rather than in intermediate locations. The absorption of paraquat can rely on other factors in the soil. Thus, Weber et al. ([1965\)](#page-546-0) and Amondham et al. ([2006\)](#page-535-0) showed that oxidized minerals, such as iron oxides, are the other factors likely to impact the adsorption/desorption of paraquat with comparatively little effect. Weber and Weed [\(1968](#page-546-0)) showed that the temperature and duration of exposure did not greatly impact kaolinite or montmorillonite sorption of paraquat.

The correlation between the absorption of paraquat and the texture and some elements of the soil is not often known. Indeed, in their numerous experiments, Pateiro-Moure et al. ([2009\)](#page-543-0) have shown that the existence of organic matter does not inherently improve the adsorption of paraquat in some soils rich in clay. They concluded that the adsorption of paraquat by agricultural soils improved when organic matter was eliminated by soil treatment with H_2O_2 . It follows from this finding that there is a simple explanation based on the fact that, in the presence of an organic matter-clay mineral complex, organic matter obstructs some of the possible sites offered by clay minerals for the binding of paraquat.

Paraquat Transport in Soil

It is important to look at the conditions of movement on the ground. Studies on soil adsorption/desorption have shown that there are major repercussions for the fate of paraquat in soil and surface waters. Research of the 1980s outlined the numerous fundamental processes for the fate and transport of paraquat in soils. Studies explained the reliance of these mechanisms on soil organic matter and clay content. This association between transport pathways for paraquat and unique soil parameters, such as soil organic matter, has been verified by Khan [\(1974](#page-540-0)), Gondar et al. [\(2012](#page-539-0)), Zbair et al. ([2019\)](#page-547-0), and Sarkar et al. ([2020\)](#page-544-0). The importance of transporting paraquat through the soil profile has been the subject of several experiments and has been questioned by several authors. Khan et al. ([1975](#page-540-0)) observed that herbicide movement would occur as it is adsorbed to colloidal clays or as organic matter filled with paraquat. To further understand this phenomenon, studies performed by Vinten et al. ([1983\)](#page-546-0) on a soil column found that the suspension of Li-montmorillonite transported more than 50% of 14C-paraquat added to sandy loam soils at a depth of 12 cm. Leonard et al. ([1979\)](#page-541-0) experiments in Georgian Piedmont concentrated on the mechanical and physical properties of paraquat. The author's studies have shown that saltwater or wind erosion of paraquat-laden

sediments is the only possible method for transferring paraquat to surface waters. Through a synthesis of studies and experience explaining the transport of paraquat in the soil (Vinten et al. [1983;](#page-546-0) Amondham et al. [2006](#page-535-0); Zbair et al. [2019;](#page-547-0) Sarkar et al. [2020\)](#page-544-0), it can be shown that the nature of the soil is fundamental, which explains the optimal conditions for the transport of the herbicide in the substrate by the complexity of the colloid bonds and the interaction between them.

Presence of Paraquat and Its Metabolites in Water Sources

The great flexibility of paraquat has contributed to overexploitation in a variety of regions. While paraquat is typically inactivated by photodegradation and nonreversible adsorption of clays or organic materials, several studies have documented the existence of this herbicide in water supplies (Ardiwinata et al. [2019;](#page-535-0) Li et al. [2020b\)](#page-541-0). Water contamination can occur, for example, by vertical transport of dissolved paraquat colloids collected through the soil. Moreover, its excellent hydrolysis resistance and its high water solubility greatly lead to an elevated likelihood of water leakage (Badroo and Nandurkar [2019;](#page-535-0) Goel and Singh [2019\)](#page-539-0). The involvement of paraquat in drinking water supplies may pose a serious threat to human health due to its acute toxicity, which has been the key reason for its ban in many countries. Inexpensive and highly efficient materials capable of extracting this herbicide from the water have become increasingly important. It is one of the key ways of exposing humans to paraquat.

Impact of Paraquat on Soil Microflora

The presence of bacteria in the soil leads to the fertility of the soil and is an important connection in pedogenesis. The existence of pesticides such as paraquat may be seriously influenced by the bacterial community and the dynamism of these bacteria as a major factor in soil productivity (Maldani et al. [2018\)](#page-541-0). Paraquat can have detrimental consequences for the organisms responsible for the nutrient cycle. In order to elucidate this effect of herbicides on the soil trophic chain, Gadkari [\(1988](#page-538-0)) examined the effects of paraquat on the mixed culture of nitrifying bacteria in the aqueous laboratory method. This researcher observed that paraquat-induced total inhibition of ammonium and nitrate oxidation. To obtain this effect, two doses of 5 μg/mL and 10 μg/mL were used. These two concentrations had the benefit of completely inhibiting ammonium oxidation for more than 40 days. In addition to inhibition of ammonium, it has been observed that nitrate oxidation has also been completely inhibited at the same concentrations for 28 days. This impact persisted at lower doses of 1 μg/mL, where ammonium and nitrate oxidation were found to be prevented for more than 18 days. This experience demonstrates that the application of paraquat, even at concentrations often deemed poor, has a substantial effect on the proper functioning of the trophic chain of the soil. Its usage must be justified so as not to affect the soil's vitality and fertility. Other experiments also studied the impact of paraquat on soil microorganisms. According to Martin et al. [\(2001](#page-541-0)), who examined the effect of paraquat on symbiotic nitrogen-fixing bacteria (Rhizobium sp.). Only 17.14% of the strains evaluated were immune to paraquat up to 400 ppm. Other

experiments have shown that paraquat does not impact soil microflora (Dennis et al. [2018\)](#page-537-0).

Impact of Paraquat on Human Health

Paraquat poisoning as a result of oral ingestion was first described in 1966. It tends to be highly harmful to humans. In this regard, various instances of disease and/or death have been recorded (Hayes et al. [1991](#page-539-0)). The dosage of lethal ingestion of paraquat in humans is 35 mg/kg (Fonger et al. [2014](#page-538-0)). A limit of 3.5 mg/h can be ingested through the skin or respiratory tract without injury (Wagner [1983](#page-546-0)). Ingestion of paraquat frequently induces burning sensations in the mouth and throat, accompanied by inflammation of the gastrointestinal tract, culminating in stomach discomfort, lack of appetite, nausea, vomiting, and diarrhea. Paraquat is drained by the digestive tract within 2 h of digestion. Next, paraquat accumulates in body organs, such as the liver, kidneys, lungs, and central nervous system (Gil et al. [2014\)](#page-539-0). Some indicators of toxicity include thirst, shortness of breath, and fast heart rate. Such toxic symptoms include respiratory dysfunction, lung sores, and liver injury. Any symptoms may not develop before a few days after exposure (Bourée and Ensaf [2016\)](#page-536-0). The most harmful results of paraquat are typically found in workers exposed to this herbicide. The effects examined are moderate liver injury, renal tubular necrosis, and pulmonary fibrosis. Above everything, pulmonary fibrosis is liable for mortality, and unintentional intake of paraquat may even contribute to serious systemic toxicity (Garnier et al. [2003](#page-538-0)). The oxidative and free radical processing properties of paraquat will contribute to oxidative stress in several organs, which would facilitate the onset of diseases such as cancer or pulmonary inflammation (Tyagi and Singh [2020](#page-546-0)). According to the findings of Ahmadian et al. [\(2020](#page-535-0)) on lymphocytes, paraquat may be involved in peripheral lymphocyte apoptosis via a cascade of cellular events that are closely related to oxidative stress. Several reports have demonstrated that there is a clear link between paraquat and Parkinson's disease (Tanner et al. [2011](#page-545-0); Tamano et al. [2019](#page-545-0)) (Fig. [17.12](#page-532-0)).

Paraquat Degradation

Paraquat can be degraded by exposure to natural or chemical influences or also biological factors. As exposed to ultraviolet light, sunlight, and soil microorganisms, paraquat degrades to fewer harmful products than the parent compound (Huang et al. [2019\)](#page-539-0). However, since paraquat quickly absorbs soil particles, it prevents sunlight decomposition. The US Environmental Protection Agency (US EPA [1987](#page-546-0)) report showed that paraquat sprayed on sandy soil surfaces would not decay until the soil had been irradiated with natural sunlight for 2 years.

Microorganisms Degrading Paraquat

In addition to the degradation by light, it is important to realize that this process may also be achieved in a biological way. Based on several studies, a broad variety of bacteria present in soil solution can slowly degrade the bioavailable paraquat (Table [17.4\)](#page-533-0). Other laboratory and field studies and incubation experiments have verified and concluded that microbial degradation induces generally slow

Fig. 17.12 Effects of paraquat on human health

degradation of paraquat in its bioavailable shape, as shown by the researchers (Funderburk and Bozarth [1967](#page-538-0)) in their numerous studies on the mechanisms of degradation of paraquat. The findings of the experiment by Teerakun et al. [\(2020](#page-545-0)) aimed at testing the optimization conditions of paraquat biodegradation by the microbial consortium showed that the consortium had bacteria having similarity with Sphingomicrobium marinum (97%), Ferrovibrio xuzhouensis (93%), Azospirillum lipoferum (93%), Altererythrobacter xinjiangensis (94%), and Xanthobacter autotrophicus (92%).

Biodegradation Mechanism of Paraquat

The mechanism for biodegradation of paraquat has been identified as seen in Fig. [17.13](#page-534-0) (Huang et al. [2019\)](#page-539-0); the first step in transforming paraquat is demethylation to monoquat by microbial action. A new oxidative cleavage of the monoquat cycle forms the 4-carboxy-1-methylpyridinium ion in the next step. Pyridinium carbons are emitted as $CO₂$ through 14C marking, and 4-carboxy-1methylpyridinium ion is readily converted to methylamine and $CO₂$ through microbial activity in soils. Methylamine can be used as a source of nitrogen and carbon for microbial growth. During paraquat biodegradation, ring fragmentation compounds identified as three carboxylic acids were oxalate, formate, and succinate, while methylamine and carbon dioxide were identified as the ultimate metabolites. However, paraquat degradation enzymology is seldom reported, and no other intermediate has been described. Microorganisms that degrade paraquat can use the product of pathways upstream of cellular energy through glycolytic and tricarboxylic acid pathways. Further studies on the biodegradation of paraquat are required to detect

Microorganisms	Source	Gram	Paraquat use/results	References
Providencia rettgeri	Soil, Nigeria		Use of paraquat as nutrients	Obuotor et al. (2016)
Pseudomonas sp.	Soil, Nigeria	$\overline{}$	Use of paraquat as nutrients	Obuotor et al. (2016)
Clostridium prazmowski	Soil contaminated with paraquat	$+$	80.3% degradation of paraquat after 3 days	Han et al. (2014)
Sporohalobacter orenetal	Soil contaminated with paraquat		86.2% degradation of paraquat after 3 days	Han et al. (2014)
Enterobacter cloacae PQ02	Soil, city Sanya, China		95% degradation of paraquat (50 mg/L) in 7 days in the presence of an additional electron donor such as sucrose	Wu et al. (2013)
Pseudomonas putida	Industrial Biotechnology Innovation Center, Colombia	\equiv	47.3% of paraquat (69.76 mg/ L) was degraded in 3 days in the presence of 25% of nutrients, about 95% of degradation of paraquat (69.76 mg/L) in 3 days in the presence of 15 g/L of activated charcoal	Kopytko et al. (2002)
Aerobacter aerogenes	Soils		Use of paraquat as the sole source of carbon and nitrogen	Tu and Bollen (1968)
Agrobacterium tumefaciens	Soils		Use of paraquat as the sole source of carbon and nitrogen	Tu and Bollen (1968)
Pseudomonas fluorescens	Soils	$\overline{}$	Use of paraquat as the sole source of carbon and nitrogen	Tu and Bollen (1968)
Bacillus cereus	Soils		Use of paraquat as the sole source of carbon and nitrogen	Tu and Bollen (1968)

Table 17.4 Paraquat-degrading microorganisms

the typical metabolites and enzymes responsible for the transformation of the various intermediates. Degradation by biological means or not causes one to raise concerns regarding the lifetime of paraquat in the soil. The most widely recorded half-life values vary from roughly 7 years (Hance et al. [1980\)](#page-539-0).

Extraction and Analysis of Paraquat

Several studies have centered on validation and creation of methods for measuring paraquat in various matrices (Shivhare and Gupta [1991;](#page-545-0) Maya et al. [2011;](#page-542-0) Pizzutti et al. [2016](#page-544-0); Rashidipour et al. [2019\)](#page-544-0). Taking into account their physicochemical

Fig. 17.13 Biodegradation metabolism of paraquat (Huang et al. [2019](#page-539-0))

properties, which preclude their determination by multi-residue methods, several methods and analytical techniques for the determination of paraquat have been documented in recent years (Pizzutti et al. [2016](#page-544-0)). Spectrophotometric, spectrofluorimetric, potentiometric (Vytvras and Šimǐčkovǎ-Štajerova [1989;](#page-546-0) Maya et al. [2011](#page-542-0); Yao et al. [2013\)](#page-547-0), and methods using GC with solid-phase extraction and solid-phase micro-extraction (Almeida and Yonamine [2007\)](#page-535-0), have been used. Most of the experiments applied concerned environmental matrices, such as water (Maya et al. [2011;](#page-542-0) Shawish et al. [2012](#page-544-0); Yao et al. [2013\)](#page-547-0) and biological matrices, such as blood and urine (Almeida and Yonamine [2007](#page-535-0); Shawish et al. [2012](#page-544-0)). LC coupled with mass spectrometry (LC-MS/MS) and ionization is now the preferred method owing to parameters such as specificity and selectivity (Pizzutti et al. [2016\)](#page-544-0).

17.6 Conclusion

Soil is one of the most susceptible ecosystems to contamination by chemical inputs, such as pesticides. It is easily polluted, although it can take many years to restore again. Glyphosate and paraquat are the most powerful and commonly used herbicides in the world. Unfortunately, they are among the phytosanitary products that induce contamination of natural resources, such as soil and water. Generally, the scientific work on these two herbicides is restricted to laboratory studies owing to analytical difficulties in the field. Bioremediation or biological decontamination of areas contaminated by a pesticide is primarily focused on the isolation of strains with bioremediation ability. Biodegradation optimization is area of focus along with the studies on the impact of abiotic factors on microbes. Evaluating the ecological threats of a herbicide requires monitoring the evolution of the active molecule in tandem with the microbial biomass of contaminated soil.

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Microbial Degradation of Polychlorinated Biphenyls (PCBs): Usage of Bacteria and Fungi 18

Ningthoujam Samarendra Singh

Abstract

Microbial communities are almost omnipresent in various ecosystems all over the world and can be utilized for degrading pollutants from the environment matrices. Among the pollutants, polychlorinated biphenyls (PCBs) are the most hazardous chemicals that cause profound deleterious effects on human health and biota communities. The present chapter attempts to summarize the biodegradation of polychlorinated PCBs by bacteria and fungi in laboratory and field conditions. Microbial degradation is often regarded to be the best alternative to traditional physical and chemical approaches due to sustainability and cost-effective benefits. This chapter concludes with forward directions as challenges and prospects in PCBs microbial degradation.

Keywords

Biodegradation · Bioremediation · Bacteria · Environmental pollution · Fungi · Polychlorinated biphenyl

18.1 Introduction

Polychlorinated biphenyls (PCBs) are anthropogenic and aromatic chlorinatedorganic chemicals that exhibit high persistent, bioaccumulative nature and toxic effect on human health and ecosystems (Erickson [2001](#page-570-0); Vasilyeva and Strijakova [2007;](#page-574-0) Erickson and Kaley [2011](#page-570-0); Grimm et al. [2015](#page-571-0); Chitsaz et al. [2020\)](#page-570-0). The

N. S. Singh (\boxtimes)

Division of Agricultural Chemicals, ICAR-Indian Agricultural Research Institute, New Delhi, Delhi, India

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biphenyl backbone structure of PCBs has 1–10 chlorine atoms, forming at least 209 congeners configuration (Ballschmiter and Zell [1980;](#page-569-0) Wiegel and Wu [2000](#page-574-0)) (Fig. 18.1). Generally, PCBs are synthesized by catalytic chlorination of biphenyls nucleus, resulting in a complex mixture of different congeners (Boria et al. [2005\)](#page-569-0). Some of the PCBs properties are largely governed by the chlorination level onto the biphenyl nucleus (Elangovan et al. [2019](#page-570-0)) (Table [18.1](#page-550-0)). For example, the lowerchlorinated PCBs are volatile, oily liquids, colorless, and hydrophilic, while other higher-chlorinated PCBs act differently (Borja et al. [2005\)](#page-569-0). Owing to high boiling point, high flashpoint, nonflammable, and electrical insulating properties, PCBs are often used for many commercial and industrial purposes, such as pesticides, capacitors, dyes, lubricants, rubber products, transformers, pigments, heat transfer fluids, plasticizers, coolants, carbonless copy paper, and hydraulic fluids (Erickson [2001;](#page-570-0) Erickson and Kaley [2011](#page-570-0); Pentyala et al. [2011;](#page-573-0) Grimm et al. [2015](#page-571-0); IARC [2016;](#page-571-0) Sharma et al. [2018](#page-573-0)). They are mainly sold in markets under trade names like Aroclor in the USA, Clophen in Germany, and Kanechlor in Japan (IARC [2016;](#page-571-0) Sadañoski et al. [2020\)](#page-573-0).

Despite the PCBs restriction imposed by the Stockholm Convention on uses and production, it was estimated in 1929 that about 1.3–1.5 million metric tons of PCBs produced worldwide (of which more than 70% are tri-, tetra-, and penta-chlorinated biphenyls) have contributed contamination in various environmental matrices like soil, sediment, air, and water (Breivik et al. [2002;](#page-569-0) Vasilyeva and Strijakova [2007;](#page-574-0) Pieper and Seeger [2008;](#page-573-0) Grimm et al. [2015](#page-571-0); IARC [2016](#page-571-0)) (Fig. [18.2](#page-551-0)). For instance, the presence of considerable PCBs congener ranging from 16.15 to 477.85 μg/ kg (dry weight) in river sediments of Pearl River Delta, China (Lai et al. [2015](#page-572-0); Wang et al. [2019b\)](#page-574-0). In fact, a majority of PCBs contamination sources are associated with accidental discharges, improper disposal, poorly maintained transformers, leachate, hazardous waste sites, uncontrolled landfills, atmospheric deposition, and incinerators (Breivik et al. [2002\)](#page-569-0).

Lammel and Stemmler ([2012\)](#page-572-0) studied on global atmosphere-ocean circulation model from 1950 to 2010 periods and reported that PCB congeners are widely distributed in various environments. Moreover, it was also established that PCBs in air, water, and soil could persist over a long time (Sharma et al. [2018\)](#page-573-0). PCBs deposition in the soil is considered to be the ultimate source and sink due to adsorption on soil organic carbon (IARC [2016\)](#page-571-0). This adsorption is influenced by sorbate properties, sorbent properties, and sorption mechanism (Robinson and Lenn [1994\)](#page-573-0). PCBs are found to be detected in the Ny-Alesund soil, Arctic, and even Antarctica, transported by atmospheric, riverine, ice, and biota system (Zhang et al.

Table 18.1 Physical and chemical properties of polychlorinated biphenyls (PCBs) Table 18.1 Physical and chemical properties of polychlorinated biphenyls (PCBs)

Source: IARC [\(2016](#page-571-0))

Fig. 18.2 Estimated global production of PCB congeners between 1930 and 2012. Source: Breivik et al. [\(2002](#page-569-0)) and IARC [\(2016](#page-571-0))

[2014;](#page-574-0) Grimm et al. [2015;](#page-571-0) Hung et al. [2016](#page-571-0); IARC [2016;](#page-571-0) Sharma et al. [2018](#page-573-0); Lo Giudice et al. [2019](#page-572-0)). Moreover, the fate and persistence of individual PCBs in the environment differ greatly according to the type of congeners (Breivik et al. [2002\)](#page-569-0). Bioaccumulation of PCBs in the bodies of phytoplankton, zooplankton, plants, fish, and other organisms occurs through the uptake of contaminated water (Jan Campfens [1997;](#page-571-0) Gomes et al. [2013](#page-571-0); Ododo and Wabalo [2019](#page-572-0)). Similarly, the bioaccumulation of PCBs in the leaves, roots, and seeds of the mangal plant results from contaminated sediments (Alegria et al. [2016](#page-569-0); Kelly et al. [2007](#page-571-0)). Additionally, PCBs are known to cause adverse effects on humans health, wildlife, and ecological system (Maervoet et al. [2004](#page-572-0); Arora [2018](#page-569-0)). The exposure of PCBs could lead to multiple health problems in humans, for example, developmental problem, cancer, adverse immune system, tumor initiation and promotion, skin diseases, abnormal behavioral effects, reproductive failure, ill-reproductive system, nervous system problems, genotoxicity, and endocrine system disruption (Pieper and Seeger [2008;](#page-573-0) Grossman [2013;](#page-571-0) Elnar et al. [2015](#page-570-0); Chakraborty and Das [2016](#page-569-0); Faroon and Ruiz [2016;](#page-570-0) Balejčíková [2018](#page-569-0)).

18.2 Analytical Methodology for the Determination of PCBs

In microbial degradation study, gas chromatography (GC) is the most commonly used instrument for quantifying the PCBs congeners and their metabolites. Study by Su et al. ([2019\)](#page-574-0) employed GC 2010 Plus equipped with an ECD and Sil capillary column (60 m \times 0.25 mm \times 0.25 µm) film thickness to measure PCB 77 concentrations. The carrier gas was used as helium at a flow rate of 4.3 mL/ min. The oven temperature was set as 80 °C for 3 min, followed by 80 °C to 160 °C at a rate of 8 °C/min, 160 °C to 270 °C at a rate of 4 °C/min, and 270 °C for 25 min. The detector and injector temperatures were programmed at 250 °C and 270 °C, respectively. Another study examined the 33 commercial paint pigments from local paint stores using GC-MS/MS and found 50 PCB congeners, especially azo and phthalocyanine pigments (Hu and Hornbuckle [2010](#page-571-0); Grossman [2013\)](#page-571-0). Mouhamadou et al.'s ([2013\)](#page-572-0) study in fungal biodegradation has also demonstrated PCBs analysis by using GC equipped with a DB-XLB capillary column $(0.25 \text{ mm} \times 60 \text{ m} \times 0.25 \text{ mm})$ and ECD detector, Agilent Technologies, USA. Hydrogen was used as the carrier gas for the analysis. The parameter of the GC instrument was maintained as follows: injector temperature at $250 \degree C$, detector temperature at 310 °C, and the column temperature at 50 °C. Moreover, Beaudette et al. ([1998\)](#page-569-0) investigated the PCBs degradation with Perkin-Elmer 8500 GC coupled with ECD detector and capillary column (15 m \times 0.53 mm \times 0.5 mm). The instrument employed ultrapure nitrogen as the carrier gas, where the injection volume is 1 mL with injection port temperature 250 $^{\circ}$ C. The initial oven temperature was at 120 °C for 25 min, raised to 210 °C at 10 °C/min, and held at 210 °C for 9 min. The detector temperature was maintained at 300 °C.

It was reported by Sangely et al. ([2009\)](#page-573-0) that PCBs were extracted from 500 mL aqueous assays using n-hexane through liquid-liquid extraction. It was monitored using high-resolution GC-MS (HRGC-LRMS), Thermo Finnigan Trace 2000 series fitted with Restek RTX-5MS columns (30 m \times 0.25 mm \times 0.25 µm) on electron impact mode. Helium was used as carrier gas at the rate of 1.2 mL/min and an injection volume of 1 μ L sample in split-less mode at 250 °C. It is also regarded to offer the most sensitive and reproducible ionization technique. The GC-MS was kept at 250 °C. The oven temperature was programmed initially at 50 °C for 1 min, ramped up 20 °C/min to 310 °C, and maintained for 6 min. The parameters of GC running full-scan electron impact as follows: 5 min for the solvent delay, 70 eV of electron impact energy, source temperature at 200 °C, 150 μ A for emission current, and 350 V at detector voltage. In another research work, Sun et al. ([2016\)](#page-574-0) reported that the quantitative analysis of the PCBs and MeO-PCBs was carried out using GC/MS instrument, Agilent Technologies, USA, fitted with a DB-5 MS capillary column (30 m \times 0.25 mm \times 0.25 µm) that operated by selected ion monitoring (SIM) mode with an electron impact source, using helium as the carrier gas at 1.0 mL/min flow rate. The initial oven temperature was kept at 80 $^{\circ}$ C, ramped to 140 °C at 10 °C/min, and raised to 300 °C at 2.5 °C/min. The selected ion monitoring (SIM) mode was used for quantitative determination. Similarly, Zhang et al. [\(2016](#page-574-0)) also developed a field analysis approach for the bioremediation study of PCBs like

Aroclor 1016, 1221, 1232, 1242, 1248, 1254, and 1260 from the contaminated soil and sediment. In this approach, the soil sample (0.5 g) was extracted by KMnO₄ $(2 \text{ mL}, 0.2 \text{ M})$ and H_2SO_4 $(0.5 \text{ mL}, 6 \text{ M})$ under the headspace solid-phase microextraction with polydimethylsiloxane (100 mm) fiber samples and analyzed using a portable scale GC-MS instrument equipped with ECD detector. Moreover, Yin et al. [\(2011](#page-574-0)) reported that PCB analysis using GC-MS of Agilent 7890-A coupled with Agilent 5973 mass-selective detector, HP-5 capillary column (30 m \times 0.25 mm ID \times 0.25 µm), and Agilent autosampler 7890-A injector.

It has been shown that PCBs and their metabolites from the composite soil sample were extractions using hexane/acetone (1:1, v/v) and 1% acetic acid in n-hexane/ acetone (1:1, v/v) in the Dionex 200 ASE system, Palaiseau, France. It was analyzed using GC-MS, Varian, CA, fitted ion trap detector and DB-5MS column, Agilent, Czech Republic (30 m \times 0.25 mm \times 0.25 mm). The split/split-less injector was maintained at 240 °C. The oven temperature was programmed initially at 60 °C and held for 1 min in the split-less mode, opened splitter with ratio 1:50, heated up to 120 °C at a rate of 25 °C/min, and then finally ramped up to 240 °C at a rate of 2.5 °C/min and held for 28 min. Besides, the instrument was set with solvent delay time at 5 min and transfer line temperature at 240° C under electron impact at 70 eV (Stella et al. [2015](#page-574-0)). Additionally, Sangely et al. [\(2009](#page-573-0)) demonstrated that PCBs analysis was done using HRGC-LRMS fitted with Restek RTX-5MS column (5% diphenyl; 95% dimethylpolysiloxane; 30 m \times 0.25 mm \times 0.25 µm) on EI mode. The sample (1 μ L) was injected in split-less mode at 250 °C. It was operated using helium as carrier gas at a 1.2 mL/min flow rate. The oven temperature initially set up at 50 °C for 1 minute, ramped up to 310 °C at 20 °C/min, and held at 310 °C for 6 min. Another study conducted by Chakraborty and Das ([2016\)](#page-569-0) determined PCBs and their degradation products using Thermo Scientific Trace GC Ultra with model Polaris Q MS equipped with TR-1MS capillary column (30 m \times 0.25 ID 0.25 µm). The ion source was maintained at $230 \degree C$, and the transfer line temperature was continued at 280 °C. The oven temperature program was set up at 50 °C for 2 min, ramped up to 80 °C at 10 °C/min for 5 min, increased to 280 °C at 15 °C/min for 5 min, and then held at 300 °C at 10 °C/min for 5 min. The injection volume of the instrument was found to be 1 μL under a slit ratio of 20:1. Helium was used as carrier gas at 1 mL/min with the solvent system as ethyl acetate/water $(50-95\%, v/v)$ under a linear gradient for 40 min. The MS operated with IE at 70 eV with a mass range from 40 to 600 a.m.u at three micro-scans. Besides, Chen et al. ([2017](#page-569-0)) reported that PCBs congeners from commercial milk were extracted using the QuEChERS method and then cleaned up by gel permeation chromatography. Then, it was then quantified by GC fitted with Bruker Scion TQ triple quadruple MS using the BR-5MS column (15 m \times 0.25 mm \times 0.25 µm). The carrier gas was used as helium at a constant flow rate of 1.8 mL/min. The transfer line was maintained at 280 $^{\circ}$ C and source temperatures were maintained at $250\degree\text{C}$, respectively. The initial oven temperature was started at 90 °C and held for 1 min, raised to 150 °C at a rate of 50 °C/min and held for 1 min, ramped up to 310 °C at a rate of 8 °C/min, and held for 3 min. The MS/MS was employed in EI positive mode at 70 eV. The injection volume was 2 μL under pulsed split-less mode at 250 °C. The individual PCB

analytes were quantified from the sum of two MRM transitions and characterized using their abundance ratios in the instrument consoles.

Concurrently, Horváthová et al. [\(2018](#page-571-0)) have evaluated the quantitative analysis of seven PCB congeners, namely, PCB 8, PCB 28, PCB 52, PCB 101, PCB 118, PCB 153, and PCB 138 on HP5890A GC-ECD fitted with silica capillary column (30 m \times 0.25 mm) with nonpolar stationary phase HP-5MS (0.25 mm). The parameters of the column temperature were maintained as follows: initial temperature raised from 70 °C to 150 °C at 25 °C/min for 2 min, ramped up from 150 °C to 200 °C at 3 °C/min, and increased from 200 °C to 280 °C at 8 °C/min and held for 3 min. Helium was used as carrier gas with a constant flow of 1.5 mL/min. The injection temperature of the instrument was 250 °C using split injection mode. Recently, Olatunji ([2019\)](#page-572-0) has shown to determine PCBs congeners in fresh root and leafy vegetables using GC-MS Analysis, Agilent Technologies, quipped with DB-5 capillary column. The sample $(1.0 \mu L)$ was injected in the split-less mode with helium as carrier gas at a flow rate of 3 mL/min. The injector port was maintained at 250 °C, the initial temperature at 70 °C for 2 min, ramped up to 180 °C at rate 25 °C/ min and held for 3 min, $15 \degree C/\text{min}$ to $250 \degree C$ and held for 2 min, and then $8 \degree C/\text{min}$ to 290 \degree C and held for 5 min. Furthermore, Elangovan et al. [\(2019](#page-570-0)) carried out PCBs analysis after sample extraction by using gas/liquid chromatography (GC/LC) tandem with mass spectrometry (MS).

18.3 Microbial Degradation of PCBs

PCBs are comprised of complex mixtures of diverse chemical congeners (Pieper [2005\)](#page-573-0). They can be removed using different approaches such as physical, chemical, and biological methods (Robinson and Lenn [1994](#page-573-0)). Firstly, the physical method includes destroying the PCBs congeners through incineration at high temperature under thermal oxidation, for example, removing PCBs deposition from soil (Robinson and Lenn [1994](#page-573-0)). Secondly, the chemical method involves employing dechlorination of PCBs using alkali metal, polyethylene, and glycolate reagents (Robinson and Lenn [1994](#page-573-0)). However, these physical methods and chemical methods are known to be uneconomical as well as non-environmental friendly in nature (Gangar et al. [2019](#page-570-0)). Lastly, the biological method relies on PCB's removal by microbial communities and their diverse enzymes through aerobic, anaerobic, bioaugmentation, and bio-stimulation degradation (Robinson and Lenn [1994;](#page-573-0) Kour et al. [2021\)](#page-572-0) (Fig. [18.3\)](#page-555-0). It usually involves mediation by aerobic and anaerobic microorganisms to degrade to less toxic chemical compounds, such as carbon dioxide, water, and methane through mineralization, transformation, and metabolism process (Robinson and Lenn [1994](#page-573-0); Vasilyeva and Strijakova [2007;](#page-574-0) Pieper and Seeger [2008](#page-573-0); Gomes et al. [2013](#page-571-0)). More specifically, PCBs biodegradation is mostly attributed by diverse enzymes, namely, 2-hydroxyl-6-oxo-6-phenylhexa-2,4-dienoic acid hydrolase, dihydrodiol dehydrogenase, 2,3-dihydroxybiphenyl dioxygenase, and biphenyl dioxygenase (Elangovan et al. [2019\)](#page-570-0). Although biostimulation degradation is done by providing natives microbes or consortiums

Fig. 18.3 Classification of different approaches to microbial degradation of PCB congeners

with added nutrients, electron donors, or acceptors but bioaugmentation degradation is done by adding selected laboratory-grown microbes to soil or media under experimentation (Hou et al. [2020](#page-571-0)). Indeed, the biological method is regarded as a cost-effective and eco-friendly approach to remove PCB congeners from the heavily polluted environment (Harms et al. [2011](#page-571-0)).

There are two major routes in PCBs biodegradation: mineralization and co-metabolism process. In mineralization process, PCBs are utilized by microbes as carbon and energy source and converted into constituent elements (Borja et al. [2005\)](#page-569-0). While in co-metabolism process, the PCBs and another suitable substrate are utilized by microbes as carbon and energy source without complete conversion into the constituent element (Borja et al. [2005](#page-569-0)). The effectiveness of PCBs biodegradation is directly influenced by carbon sources, microorganisms, solubility, bioavailability, electron acceptors, pH, conductivity, PCBs structure, temperature, light intensity, oxygen content, and concentration (Robinson and Lenn [1994;](#page-573-0) Borja et al. [2005\)](#page-569-0). For instance, microbial dechlorination of PCB congeners is slower in ortho-substituted PCBs than in meta- and para-substituted PCBs (Wiegel and Wu [2000\)](#page-574-0). As such, the versatility and complex metabolic interactions are an important part of microbial communities (Pieper [2005](#page-573-0)).

18.3.1 Bacterial Degradation

Earlier PCBs biodegradation work by Ahmed and Focht [\(1973](#page-569-0)) reported that Achromobacter sp. and Pseudomonas aeruginosa could metabolize mono- and di-chlorinated biphenyls degradation as the sole carbon source. Similarly, it was also observed that the Achromobacter sp. is responsible for PCBs degradation to chlorinated benzoic acid (Furukawa et al. [1978\)](#page-570-0). Bedard et al. ([1987\)](#page-569-0) also found Alcaligenes eutrophus H850 and Pseudomonas putida LB400 are highly capable of co-metabolizing a broad range of PCB congeners. Yet, Aroclor 1254 contaminated soil from the transformer spill is dechlorinated reductively by more than 50% using mixed microbes and sediment under anaerobic conditions at $12 \degree C$ (Tiedje et al. [1993\)](#page-574-0). Another study by Arai et al. ([1998\)](#page-569-0) found that Rhodococcus erythropolis TA421 can degrade biphenyl using 2,3-dihydroxybiphenyl dioxygenase, biphenyl

2,2',4,4', 5 Congener 2,2',4,4'Congener 2,2',4 Congener 2,2' Congener

Fig. 18.4 Anaerobic pathway of PCBs biodegradation (Borja et al. [2005](#page-569-0))

dioxygenase, and hydrolase. Indeed, *Bacillus* sp. strain JF8 isolated from the compost tends to utilize the biphenyl compounds, such as tetra- and pentachlorobiphenyl for carbon sources (Shimura et al. [1999](#page-573-0)). As noted, the bioremediation of Aroclor 1254 contaminated soil from the transformer spill results in nearly 50% degradation when mixed with soil, dechlorinating organisms, and river sediment (Robinson and Lenn [1994](#page-573-0)). Rhodococcus sp. strain RHA1 is found to transform Kanechlors 200, 300, 400, and 500, including chlorobenzoic acid within 3 days (Seto et al. [1995\)](#page-573-0). Moreover, Billingsley et al. [1997](#page-569-0) studied the PCBs transformation as the carbon source by Pseudomonas strain LB400. Many studies have demonstrated that the higher-chlorinated PCBs degrade anaerobically into lower-chlorinated PCBs in sewage sludge, surface soil, aerobic bioreactors, and surface sediments (Ahmed and Focht [1973](#page-569-0); Abramowicz [1995](#page-569-0); Borja et al. [2005](#page-569-0); Pieper and Seeger [2008;](#page-573-0) Elangovan et al. [2019](#page-570-0)) (Fig. 18.4). For example, anaerobic dehalogenation of PCBs by Dehalococcoides sp. (Borja et al. [2005](#page-569-0)). At the same time, Wiegel and Wu ([2000\)](#page-574-0) revealed that PCBs biodegradation undergoes reductive dichlorination activities in contaminated soil and aquatic sediment by microbial cleavage of metaand para-position of congeners under anaerobic conditions. Kaya et al. [\(2018](#page-571-0)) investigated the anaerobic dechlorination of PCB 118 and Aroclor 1254 by indigenous organohalide-respiring bacteria in the sediment microcosms, namely, Grasse River, Fox River, and Baltimore Harbor. The authors stated that the dechlorination of penta-, hexa-, and hepta-chlorinated PCBs were about 88%, 69%, and 51% in Grasse River; 88%, 87%, and 83% in Fox River; and 66% and 70% in Baltimore Harbor, respectively.

Under anaerobic and aerobic conditions, acclimatized microbes such as Rhodococcus sp., Pseudomonas sp., Pseudoxanthomonas sp., Agromyces sp., and Brevibacillus sp. isolated from the PCBs containing transformer oil using polyurethane foams as bio-carrier could dechlorinate PCBs regardless of the number of chlorine atoms attached to biphenyl ring (Nabavi et al. [2013\)](#page-572-0). Notably, Davison and Veal ([1997\)](#page-570-0) evaluated Alcaligenes faecalis type II BPSI-2 and Sphingomonas paucimobilis BPSI-3 that mineralize PCBs to $CO₂$ and water ranging from 24.4%

to 381%. Furukawa ([2000\)](#page-570-0) also outlined that Alcaligenes sp. strain Y42 and Acinetobacter sp. strain P6 could co-metabolize PCBs as the sole source of carbon for growth and energy. The author noted that the rate and extent of PCBs dichlorination depends on various factors, namely, electron donors, pH, temperature, carbon sources, and dechlorinating bacteria. Burkholderia xenovorans LB400 and Rhodococcus sp. RHA1 can mineralize 2-chlorobiphenyl in anaerobic and aerobic biotreatment (Rodrigues et al. [2006](#page-573-0)). Rayford and Payne et al. [\(2011](#page-573-0)) underlined the use of in situ bioaugmentation of dehalorespiring bacterium such as Dehalobium chlorocoercia that dechlorinate Aroclor 1260 by about 56% in contaminated sediment of Baltimore Harbor after 120 days. Lu et al. ([2018\)](#page-572-0) suggested that Dehalococcoides mccartyi CG1 could contribute to enantioselective dichlorination of chiral PCB 174, PCB 149, and PCB 132. P. aeruginosa MD2 exhibited biodegradation of PCBs in the wastewater of Notwane sewage treatment plant, Botswana (Mathews [2014](#page-572-0)). With the use of metagenomics, three D. mccartyi strains CG1, CG4, and CG5 that dechlorinate Aroclor 1260 on tetrachloroethene addition can be identified from the studied site (Wang et al. [2014](#page-574-0)). *Pseudomonas furukawaii* sp. nov isolated from PCB-contaminated soil in Japan showed strong linkage to Pseudomonas genus with 97.8% sequence similarities with Pseudomonas balearica strain SP1402T (Kimura et al. [\(2018](#page-572-0)).

Hitherto, it has been established by Fujihara et al. ([2015\)](#page-570-0) that P. aeruginosa KF702 isolated from PCBs-contaminated soil could utilize PCBs as the sole source of carbon, due to biphenyl catabolism genes that facilitate the degradation of aromatic chemical compounds. Likewise, P. aeruginosa JP-11 isolated from coastal sediments of Odisha, India, degraded more than 98% of PCB congeners, as sole carbon sources, using HOPDA benzoate-catechol metabolism pathway in 72 h period (Chakraborty and Das [2016\)](#page-569-0). Recently, Nuzzo et al. [\(2017](#page-572-0)) have isolated two native organohalide-respiring bacteria belonging to genera Dehalococcoide from the PCB-contaminated marine sediment of the Venice Lagoon. Other researchers observed that in situ PCBs dechlorination by D. mccartyi CG1 in river sediment microcosm could be stimulated through halo priming of tetrachloroethene (Xu et al. [2018\)](#page-574-0). As the chlorination level increases, the efficiency of PCBs dichlorination decreases rapidly (Furukawa [2000](#page-570-0)). Horváthová et al. ([2018\)](#page-571-0) showed the effectiveness of PCBs biodegradation in Delor 103-contaminated river sediments using individual bacterial strains and their consortia, namely, Achromobacter xylosoxidans, Stenotrophomonas maltophilia, Ochrobactrum anthropic, and Rhodococcus ruber. The observation further revealed that the consortia of R. ruber could result in greater PCBs degradation than individual isolate, establishing a synergistic relationship with other exogenous native microbes that contribute nearly 50% of PCBs biodegradation. Moreover, the resuscitated Castellaniella sp. SPC4 could aerobically degrade PCB 77 in 20 mg/l of media at a 0.066/h rate using a bph-encoded biphenyl pathway (Su et al. [2019](#page-574-0)).

Some of the bacteria genera are highly efficient in degrading PCBs by aerobic oxidation, namely, Acinetobacter, Alcaligenes, Achromobacter, Janibacter, Burkholderia, Comamonas, Paenibacillus, Pseudomonas, Sphingomonas, Ralstonia, Enterobacter, Micrococcus, Corynebacterium, Bacillus, Arthrobacter,

Fig. 18.5 Aerobic pathway of PCBs biodegradation by biphenyl-oxidizing bacteria (Furukawa [2000;](#page-570-0) Sharma et al. [2018](#page-573-0))

and Rhodococcus (Furukawa [2000](#page-570-0); Borja et al. [2005](#page-569-0); Leigh et al. [2006;](#page-572-0) Elangovan et al. [2019](#page-570-0)) (Fig. 18.5). Moreover, Ponce et al. ([2011\)](#page-573-0) reported that tocopherol and berry extract might enhance the aerobic degradation of PCBs in the soil by B. xenovorans strain LB400, suggesting the protective effect of antioxidant compounds. Also, Nwinyi [\(2011](#page-572-0)) studied bacteria such as Pseudomonas, Micrococcus, Arthrobacter, and Acinetobacter isolated from landfill soil of Edo State, Nigeria, that utilize PCBs containing askarel oil as the sole carbon source through aerobic degradation. Commandeur et al. [\(1996](#page-570-0)) found that Alcaligenes sp. JBI used aerobic degradation to metabolize the lower-chlorinated PCBs (<5 chlorine atoms) using 3-dihydroxybiphenyl 1,2-dioxygenase and catechol 2,3-dioxygenase. Subsequent studies reported that the lower-chlorinated PCBs might be degraded rapidly by aerobic degradation (Ahmed and Focht [1973;](#page-569-0) Tiedje et al. [1993;](#page-574-0) Furukawa [2000](#page-570-0); Borja et al. [2005;](#page-569-0) Bedard [2008](#page-569-0); Pieper and Seeger [2008](#page-573-0)). It is also assumed that the aerobic degradation of PCBs can take place in surface soil and sediments by microbes that utilize PCBs and convert into chlorobenzoic acids through the biphenyl catabolism pathway (Furukawa [2000](#page-570-0); Borja et al. [2005;](#page-569-0) Vasilyeva and Strijakova [2007;](#page-574-0) Pieper and Seeger [2008\)](#page-573-0). In another study, De et al. ([2006\)](#page-570-0) showed that the ability of *Pseudomonas* CH07 to aerobically biodegrade the Clophen A-50 by more than 40% within 40 h period.

According to Jia et al. [\(2019\)](#page-571-0), at pH 7 and 45 \degree C, Arthrobacter sp. could hydrolyze PCBs by meta-cleavage; and Alcaligenes sp. could co-metabolize PCBs by oxidative degradation. Wang et al. ([2019a](#page-574-0)) also studied the removal of PCBs from the contaminated site by D. mccartyi strains CG3, D. mccartyi strains SG1, Methanosarcina, and Desulfovibrio using reductive dehalogenase CG3-RD11 and SG1-RD28, where interspecies synergistic interaction in the microbial communities facilitates organohalide respiration. Based on Horváthová et al. [\(2019](#page-571-0)) experiment, mixed cultures of *Rhodococcus* sp. and *S. maltophilia* can carry out PCBs

biodegradation in minimal mineral water media, resulting in 59% PCBs degradation in contaminated sediment and 65% PCBs degradation in water media. More recently, Samadi et al. [\(2019](#page-573-0)) isolated and identified Lysinibacillus macrolides and Bacillus firmus from PCBs-contaminated soil with the electrical-transformer liquid that removes 2-chlorobiphenyl and 2,4-dichlorobiphenyl by more than 80% within 96 h. The biodegradation of PCB congeners by diverse bacterial species is shown in Table [18.2.](#page-560-0)

18.3.2 Fungal Degradation

The fungal biodegradation of PCB congeners is considered to be an effective way for remediation of contaminated sites. In 1993, a study by Šašek et al. ([1993\)](#page-573-0) found that the white-rot fungi such as Hnigricans nigricans strain can achieve more than 50% PCBs degradation in polluted soil. The authors also noted higher PCBs removal in the fungal consortia consisting of Pleurotus ostreatus, Pseudomonas B2, H. nigricans, Candida boidinii, Lentinus edodes, and Candida lipolytica than by individual isolate within the 6 weeks, indicative of the synergistic effect of the microorganisms. In particular, other researchers studied Phanerochaete chrysosporium in low-N (ligninolytic) and high-N (non-ligninolytic) media that undergo Aroclors degradation as high as 60.9% of Aroclors-1242, 30.5% of Aroclors-1254, and 17.6% of Aroclors-1260 and observed no difference in degradation in ortho-, meta-, and para-chlorines attached to the biphenyl nucleus (Yadav et al. [1995](#page-574-0)). It has been found that white-rot fungi is able to degrade PCBs, namely, 2,3–4,4'-dichlorobiphenyl, dichlorobiphenyl, 2,4',5-trichlorobiphenyl, $2,2',4,4'$ -tetrachlorobiphenyl, $2,2',5,5'$ -tetrachlorobiphenyl, and $2,2'$ $,4,4',5,5'$ hexachlorobiphenyl. Of these white-rot fungal strains, Bjerkandera adusta (UAMH 8258 and UAMH 7308), P. ostreatus (UAMH 7964), and Trametes versicolor UAMH 8272 show the best results in mineralizing PCBs congeners using lignin peroxidase and manganese peroxidase enzyme. More specifically, it was found that there is a limited abiotic loss of about $3.4 \pm 11\%$ of 2.2% , 4, 4'-TeCB and $17 \pm 6.7\%$ of 2,2',4,4',5,5'-HCB (Beaudette et al. [1998\)](#page-569-0). Another worker has reported that Doratomyces nanus, Doratomyces purpureofuscus, Doratomyces verrucisporus, Myceliophthora thermophila, Phoma eupyrena, and Thermoascus crustaceus isolated from highly contaminated industrial sites could degrade PCBs between 29 and 85% after 7 d, in which D. verrucisporus show maximum PCBs removal (Mouhamadou et al. [2013](#page-572-0)).

Indeed, autochthonous ascomycetes such as *D. nanus*, *D. purpureofuscus*, D. verrucisporus, M. thermophila, P. eupyrena, and T. crustaceus exhibit remarkable capacity to degrade the PCB congeners (18.7–33%) without preference for lower or higher-chlorinated biphenyl using mesocosms in the historically contaminated soil and sediment within 6 months (Sage et al. ([2014\)](#page-573-0). Stella et al. [\(2015](#page-574-0)) isolated indigenous fungi belonging to orders Ascomycota, Paxillus, Phoma, Mortierella, and Basidiomycota from aged PCBs-contaminated soil and found to possess a very high potential for microbial degradation of PCBs through PLFA

PCBs (commercial			
name or congener			
types)	Bacteria species	Degradation	References
Aroclor 1242	Acinetobacter P6	Over 49 days of treatment, Acinetobacter P6 in enriched soil with PCBs reduces 65–75% of PCBs and transforms 48-49% to ${}^{14}CO_2$ (including soil carbonates), while control reduces only 10% of the PCBs and convert less than 2% to ${}^{14}CO_2$	Focht and Brunner (1985)
Aroclor 1221	Gram-negative psychrotolerant strains Sag-50A and Sag-50G	After 6 weeks of incubation, the slurries of PCBs-contaminated Arctic soils degrade Aroclor 1221 by about 71-76% at 30 °C	Mohn et al. (1997)
Aroclor 1242	Burkholderia xenovorans LB400 and Rhodococcus sp. RHA1	The bacterial strains in Aroclor 1242- contaminated river sediment could anaerobically dechlorinate 32% of the chlorine from Aroclor 1242 after 1 year PCBs removal rates are 54–57% for high and low inoculation densities during the aerobic phase	Rodrigues et al. (2006)
Clophen A-50 (coplanar PCBs)	Marine mercury-resistant bacterium: Pseudomonas sp. CH07	Pseudomonas sp. CH07 can degrade by more than 40% within 40 h	De et al. (2006)
Aroclor 1242 and Aroclor 1260	Aerobic heterotrophic bacteria	The presence of Enzyveba results in marked removal of low-chlorinated biphenyls from the soil by 75% and 135% under slurry and solid-phase conditions, respectively	Di Toro et al. (2006)
PCB 10, 28, 52, 138, 153, and 180	Staphylococcus xylosus	In mineral salts medium, PCB 138 and 153 are degraded by 71% and 66%, respectively within 24 h ($p < 0.05$)	Leaes et al. (2006)
Aroclor 1260	Dehalococcoides bacteria	The Dehalococcoides bacteria dechlorinate 64 PCB congeners carrying four to nine chlorines in Aroclor 1260	Bedard et al. (2007)

Table 18.2 Biodegradation of PCB congeners involving bacterial species

PCBs (commercial name or congener			
types)	Bacteria species	Degradation	References
		in the sediment, Housatonic River	
Aroclor 1242	Pseudomonas aeruginosa TMU56	P. aeruginosa TMU56 following 4 days incubation is capable of degrading 73.3% of Aroclor 1242 (200 ppm) without biphenyl as an inducer and grow on 2,4-, 2,5-, 2,20-, and 4,40- dichlorobiphenyl	Hatamian- Zarmi et al. (2009)
Aroclor 1260	Dehalobium chlorocoercia	In mesocosms, bioaugmentation with dehalorespiring bacterium reduces about 56% of penta- and higher- chlorinated PCBs than that of control after 120 days	Rayford and Payne et al. (2011)
2-chlorobiphenyl, 4-CB and 2,4'-chlorobiphenyl	Burkholderia xenovorans strain LB400	For 85% removal of 2,4'-CB, bioaugmentation (strain LB400) plus biostimulation (tocopherol or berry extract) takes less time (13 days) than bioaugmentation alone (20 days)	Ponce et al. (2011)
Askarel oil (PCBs blend)	Pseudomonas sp., Arthrobacter sp., Micrococcus sp., and Acinetobacter sp.	Following incubation for 7 days, bacterial strains are able to utilized aerobically the askarel oil at 15 µL and $20 \mu L$ concentrations of askarel oil in minimal media	Nwinyi (2011)
2,3,4,5,6 pentachlorobiphenyl	<i>Chloroflexi</i> group	In Anacostia River microcosms, after 135 days of incubation time, about $10-15%$ removal of the total chlorines per biphenyl is observed in bioaugmentation treatments with or without electron donors like $1,2,3,4-$ tetrachlorobenzene and pentachloronitrobenzene	Park et al. (2011)

Table 18.2 (continued)

Table 18.2 (continued)

Table 18.2 (continued)

analysis. Moreover, Tigini et al. ([2009\)](#page-574-0) isolated and identified native mitosporic fungal species from heavily Aroclor 1260 PCBs-contaminated sites; such as Penicillium chrysogenum, Scedosporium apiospermum, Penicillium digitatum, and Fusarium solani that biodegrade $4,4'$ -dichlorobiphenyl and $2,2',5,5$ -0 -tetrachlorobiphenyl regardless of ligninolytic enzymes. Pointing ([2001\)](#page-573-0) has inferred that white-rot fungi bioremediate the PCBs using ligninolytic enzymes, glycosylated heme peroxidases, lignin peroxidase, and Mn-dependent peroxidase.

Furthermore, Kamei et al. [\(2006](#page-571-0)) found that Phlebia brevispora TMIC33929 could biodegrade and transform nearly 50% of coplanar PCBs and 30% of 3,3',4,4',5-pentaCB to monomethoxylated PCBs. The study also shows that P. brevispora degrades especially non-chlorinated meta-position, and adsorb PCBs onto fungal biomass (Fig. [18.6](#page-564-0)). Sangely et al. ([2009\)](#page-573-0) revealed that P. chrysosporium exhibit substantial ability to degrade PCB 28, PCB 52, PCB 101, PCB 118, PCB 138, PCB 153, and PCB 180 ranging from 39% to 49% in water and soil and adsorbed about 42–54% of PCB congeners onto the mycelium. Fungal strains such as Trametes sp., Polyporus sp., Nigroporus sp. F33 and Nigroporus sp. U11 isolated from the lower northern region of Thailand tend to degrade more than 90% of 2,4-PCB in culture media after 15 days (Siripong et al. [\(2009](#page-574-0)). Similarly, four fungal strains, namely, LC1, LC2, LC3, and LC4, isolated

3,3',4,4'-tetraCB

5'-hydroxy-3,3',4,4'-tetraCB

5'-methoxy-3,3',4,4'-tetraCB

Fig. 18.6 Pathway of PCBs biodegradation by P. brevispora TMIC33929 (Kamei et al. [2006](#page-571-0))

from contaminated sediment of Licun River could degrade PCBs congener in the presence of sodium dodecyl sulfate: 35% by LC1, 25% by LC2, 38% by LC3, and 15% by LC4 within 35 days (Yin et al. [\(2011](#page-574-0)). The researchers also concluded that about 7% of PCBs suffer losses due to volatilization and fungal biosorption. As further noted by Federici et al. [\(2012](#page-570-0)) work, the bio-augmentation of *Lentinus* tigrinus with maize stalk in contaminated soil removes Aroclor 1242 and Delor 103 by about 33.6 \pm 0.3%.

Gayosso-Canales et al. ([2012\)](#page-570-0) study also found that the addition of PCB congener could induce 20-fold more production of laccase by P. ostreatus, resulting in enhanced removal of Delor 103/106 from the transformer oil in 30 days. It was reported that Aspergillus niger could biodegrade 65% of PCB 1, 38% of PCB 3, and 52% of PCB 38, but not PCB 126 during the study periods. Subsequent work on three fungi isolates revealed that the A. bisporus could remove about $31.32-83.91\%$ PCBs; P. ostreatus could deplete approximately 37.88–78.29% PCBs; and L. edodes could biodegrade about 17.38–75.30% PCB, within 12 weeks, respectively (Gąsecka et al. [2015](#page-570-0)). Stella et al. [\(2017](#page-574-0)) also showed that bioaugmentation of P. ostreatus in dumpsite soil could degrade 50% of PCB's aromatic moiety into hydroxylated and methoxylated PCB derivatives such as chlorobenzoates, chlorobenzaldehydes, chlorobenzyl alcohols, and chlorocresol over 12 weeks. During the degradation study, Eurotiomycetes and Sordariomycetes tend to degrade and adsorb PCBs in low SOC soil more than in high SOC soil (Huang et al. [2018](#page-571-0)). More recently, Perigon et al. ([2019\)](#page-573-0) pointed out that Thermothelomyces thermophila,

Schizophyllum commune, Thermothelomyces heterothallica, Thermoascus crustaceus, and F. solani might tolerate and degrade the PCB congener irrespective of their origins and laccase enzymes, for example, 84.79–88.11% of PCBs degradation by F. solani, 79.86–86.61% of PCB degradation by T. heterothallica, 95.22–95.91% of PCB degradation by T. crustaceus, 93.44–94.64% of PCB degradation by T. thermophila, and 80.91–86.20% of PCB degradation by S. commune under medium liquid experiment. It was established by Sredlova et al. [\(2020](#page-574-0)) that PCBs biodegradation in contaminated water achieves removal percentages of about 82, 80, 65, and 50% of di-, tri-, tetra- and penta-chlorinated PCB congeners using spent oyster mushroom substrate in the trickle-bed bioreactor, respectively. As shown in Table [18.3](#page-566-0), fungal species biodegrade different PCB congeners in various environment.

18.4 Conclusion and Future Prospects

Many studies have reported the isolation and identification of PCBs-degrading microbes, including their degradation pathways. However, the biodegradation of PCB congeners has remained a great challenge worldwide because of the physical and chemical properties of PCBs, such as low availability, toxicity, hydrophobicity, high sorption, and persistence. It often takes several months, or years, or decades in biodegrading the PCB congeners in various studied environment via complex networks due to physiological, biochemical, ecological, and genetic factors, such as, pH, temperature, tolerance, substrate specificity, kinetics, aerobic or anaerobic conditions, substrates (sediment or soil), other contaminants, etc. Moreover, there is a limitation in upscaling the laboratory findings to fields because in some cases, the selected cultivable bacteria and fungi isolates from laboratory results may not be practical in treating the highly contaminated sites in field conditions. Metagenomic techniques that characterize the composition of microbial communities and their habitat relationships are also susceptible to the common limitations of molecular techniques such as loss of genetic information and provide little information on quantitative physiological characteristics. Although microbial degradation of PCBs is found to suffer from certain drawbacks, it remains the most promising approach due to cost-effectiveness, safety, eco-friendliness, and sustainability. However, a multidisciplinary approach involving chemical, physical, and biological sciences is required to achieve the desired results. Omic approaches like metagenomics have found a new way in identifying un-culturable microbes capable of degrading PCB congeners along with their metabolic pathway. Future research needs to study PCB-degrading microbes for improving their efficiency, survivability, and performance of microbial dynamics using genetic engineering and molecular techniques. Numerous microbes belonging to bacteria and fungi can biodegrade PCBs in aerobic and anaerobic conditions through bioaugmentation and bio-stimulation processes, and there is a need to exploit them efficiently in field conditions.

Table 18.3 Biodegradation of PCB congener involving fungal species Table 18.3 Biodegradation of PCB congener involving fungal species

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