# Prasun Kumar · Vijay Kothari  *Editors*

# Wound Healing Research

Current Trends and Future Directions



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Current Trends and Future Directions



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ISBN 978-981-16-2676-0 ISBN 978-981-16-2677-7 (eBook) <https://doi.org/10.1007/978-981-16-2677-7>

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## About the Editors



Prasun Kumar is presently working as an Assistant Professor at the Department of Chemical Engineering, Yeungnam University, Republic of Korea. He holds a Ph.D. in Biotechnology from CSIR-Institute of Genomics and Integrative Biology, Delhi, India. His main areas of research are biopolymers, microbial biodiversity, bioenergy, microbial biofilms, quorum sensing, quorum quenching, and genomics. He has over seven years of experience in applied microbiological research including over 2 years postdoctoral research experience as BK-21 plus fellow at Chungbuk National University, Republic of Korea. His research work is oriented towards valorization of lignocellulosic biowastes into value-added products and antibiofilm compounds. To his credits, there are over 29 articles in various peerreviewed SCI journals including Trends in Microbiology, Biotechnology Advances, and Bioresource Technology. To date, his work has fetched decent citations with an h index of 24 and an i10 index of 33. He has been contributing to scientific society by actively reviewing articles for more than 32 SCI journals and was awarded the peer review award by Publons in the year 2018. He serves as the editorial board member of few international journals and also worked as the guest editor for the journals, namely 'Polymers' and 'Frontiers in Bioengineering and Biotechnology'.



Vijay Kothari is a microbiologist. His primary research interest is AMR (antimicrobial resistance). His group is actively involved in investigating antimicrobial/anti-virulence potential of natural products as well as synthetic compounds. In recent past, his lab has extensively investigated the anti-pathogenic activity of various traditional medicine formulations, e.g. Panchvalkal, Panchagavya, Triphala, etc., against different antibiotic-resistant bacterial strains including the wound-infective species. His lab was awarded SRISTI-DBT-BIRAC Appreciation Award for validation of anti-infective potential of a traditional polyherbal formulation—Herboheal. He has also been awarded two AIMS (Artificial Intelligence Molecular Screen) award projects by Atomwise Inc., USA for identifying novel anti-infective leads. Dr. Kothari has also contributed substantially to the field as an active editor and reviewer, and has been conferred the Sentinel of Science award by Publons in 2016 recognizing his contribution as a peer reviewer.

# Part I Cellular and Physiological Aspects of Wound Healing

## <span id="page-10-0"></span>Classification of Wounds and the Physiology of Wound Healing



Ankit Gupta

#### Abbreviations



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<sup>©</sup> The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2021 P. Kumar, V. Kothari (eds.), Wound Healing Research, [https://doi.org/10.1007/978-981-16-2677-7\\_1](https://doi.org/10.1007/978-981-16-2677-7_1#DOI)



#### 1 Introduction

Skin is the outermost covering of the body that is frequently exposed to external stress, pathogens, etc. and acts as a barrier against the outer environment (Rinnerthaler et al. [2015](#page-56-0); Wong et al. [2016](#page-59-0); Gallo [2017;](#page-49-0) Losquadro [2017](#page-52-0); Gravitz [2018\)](#page-49-0). It shields internal tissues and organs of the body and provides protection against mechanical stress, microbial infection, fluid imbalance, maintains thermal dysregulation, and also permits the sensations of touch, heat, and cold (Richmond and Harris [2014](#page-55-0); Belkaid and Tamoutounour [2016](#page-46-0); Chen et al. [2018b](#page-47-0); Choi and Di Nardo [2018](#page-47-0); Kwiecien et al. [2019;](#page-52-0) Kabashima et al. [2019](#page-51-0)). As the skin is subjected to a range of external and internal pressures, it is susceptible to various types of injuries or damage. When the integrity of the multiple layers of skin, mucosal surfaces, or organ tissue is lost due to any mechanical force (such as accidental or intentional etiology), disease, or microbial infection, etc., it leads to cellular damage and the occurrence of wound (Kujath and Michelsen [2008;](#page-52-0) Wilkins and Unverdorben [2013;](#page-59-0) Putnam et al. [2015;](#page-55-0) Gonzalez et al. [2016;](#page-49-0) Obagi et al. [2019;](#page-54-0) Herman and Bordoni [2020](#page-50-0)). In other words, loss in the skin, mucosal membrane, or tissue integrity due to internal or external factors is called as wound (Kujath and Michelsen [2008](#page-52-0); Sarabahi and Tiwari [2012](#page-56-0); Wilkins and Unverdorben [2013;](#page-59-0) Putnam et al. [2015](#page-55-0); Herman and Bordoni [2020](#page-50-0)). As the skin is constantly subjected to a variety of stress factors, several kinds of immune cells, including Langerhans cells (LCs), γδ T-cells, regulatory T-cells (T<sub>reg</sub>), and resident memory T-cells (T<sub>RM</sub>) are recruited into the skin, which plays a vital role in sustaining the physiological homeostasis (Hikosaka and Wurtz [1989;](#page-50-0) Liu et al. [2016](#page-52-0); Ono and Kabashima [2016;](#page-54-0) Sorg et al. [2017;](#page-57-0) Kabashima et al. [2019](#page-51-0)). The basic underlying architecture of the skin and various immunological barriers present in the skin has been highlighted in Fig. [1](#page-12-0).

The occurrence of wounds allows the entry of bacteria, viruses, or external chemicals into the body, which in turn can reason inflammation and can reason local infection (wound infection) or systemic infection (septicemia) (Percival [2002\)](#page-55-0). This is a potential threat to the human organs, body, and sometimes can also lead to life-threatening conditions. Recent reports suggest that every year worldwide, scores of people are susceptible to irregular wound healing that in turn leads to long-term recovery, due to improper treatment, and moderately effective wound healing therapies (Fife and Carter [2012;](#page-48-0) Leavitt et al. [2016](#page-52-0); Sen [2019](#page-56-0); Rodrigues et al.

<span id="page-12-0"></span>

Fig. 1 The basic architecture and immunological barrier of the skin. (a) Structural outline of the varying layers of the skin and its components. The first layer consists of microbes such as bacteria, fungi, and viruses located at the top of the skin surface, followed by hair follicles and sweat glands. This forms the first line of defense by producing antimicrobial factors. The second layer is the epidermis which comprises four distinct strata and possesses the keratinocytes and other immune cells that are triggered upon infection. The layer below this, known as the dermis, is enriched in leukocytes and also serves as a reservoir for immune cells recruited through the bloodstream. The lowermost layer of the skin is called the adipose tissue and subdivided into white and subcutaneous white adipose tissue. This layer predominantly contains lipid-dependent immune cells and also provides defense by secreting antimicrobial peptides and factors. (b) Functional overview of each layer of skin corresponding to the reference mentioned in (a). The figure is adapted from Kwiecien, K. et al. 2019, Cytokine Growth Factor Rev. (Kwiecien et al. [2019\)](#page-52-0)

[2019\)](#page-56-0). Therefore, wound care and rapid wound healing are critical and have clinical significance, and therefore more effective therapies of wound healing are needed.

From the early period of human life, the human body is continuously exposed to various types of injuries and diseases. Therefore, humans have always tried to find easy and effective ways of wound care and wound healing (to stop bleeding, minimize microbial infection on wounds, and in accelerating the healing process), for the successful treatment of different types of wounds (Gottrup and Leaper [2004;](#page-49-0) Broughton et al. [2006](#page-46-0); Shah [2011](#page-56-0); Sarabahi and Tiwari [2012;](#page-56-0) Jones [2015\)](#page-51-0). Wound care has evolved over thousands of years and its treatment is an ancient area of specialization in medical science. Through evolution, the wound healing ability by regeneration of organs was replaced by repair through inflammation and subsequent deposits of the matrix proteins at the wound site (Sarabahi and Tiwari [2012](#page-56-0)). Wound care has evolved from ancient Greek medical practice (460–136 BC) through the middle ages (476 AD–1453) to the modern era of wound care (fifteenth century to twenty-first century) (Sarabahi and Tiwari [2012\)](#page-56-0). During this period, we have always tried to achieve rapid wound healing to prevent wound infection and to avoid other clinical complications (Sarabahi and Tiwari [2012\)](#page-56-0). The recent developments in the branches of cellular pathology, human physiology, molecular biology, microbiology, surgery, polymer chemistry, and allied fields have helped us substantially in understanding the basic mechanism of the wound healing process. With this knowledge, clinicians are now trying to find new protocols/methods of wound care and wound healing (Sarabahi and Tiwari [2012](#page-56-0)).

Wound healing is a multifaceted biological process that requires the intricate collaboration of various cell types and their products in sequential steps (Kujath and Michelsen [2008](#page-52-0); Sorg et al. [2017;](#page-57-0) Kabashima et al. [2019;](#page-51-0) Rodrigues et al. [2019\)](#page-56-0). During this process, several types of immune cells are recruited at the wound site in response to micro-environmental conditions caused by inflammatory challenges (Singh et al. [2017\)](#page-57-0). These cells and chemical factors help in maintaining homeostasis upon the inflammatory challenges (Singer and Clark [1999;](#page-57-0) Gurtner et al. [2008;](#page-50-0) Eming et al. [2014](#page-48-0); Martin and Nunan [2015](#page-53-0); Oishi and Manabe [2018;](#page-54-0) Lim et al. [2019;](#page-52-0) Rodrigues et al. [2019\)](#page-56-0). At the same time, the local environment at the site of infection changes with the improving health status of the individual. It is critical that physicians understand the fundamental physiological processes involved in the proper treatment of any cellular damage or any wound. Understanding the physiology of a typical trajectory of infection and healing through different phases guides the way for comprehending the basic principles of wound healing. With the help of this knowledge, health care professionals can develop the necessary skills to care for a wound, and the body can accomplish the complex task of tissue repair. Additionally, successful wound healing should be prioritized to reduce morbidity arising out of improper wound management (Kujath and Michelsen [2008](#page-52-0); Gurtner et al. [2008;](#page-50-0) Singh et al. [2017](#page-57-0)).

In this chapter, we talk about two key aspects of wound healing, which are (i) classification of various types of wounds, and (ii) the cellular physiology of wound healing. Here, we first discuss the classification of these wound types in detail, which is fundamental to identifying a particular wound. Proper identification of the wound is required for a planned and successful treatment of any patient without spreading any further contamination/infection and damage to the wound or the patient's body. Next, we discuss the cellular basis of wound healing and provide detailed information on different stages of wound healing. We also provide a detailed role of the several cell types and chemical factors involved in this process. Finally, we summarize our current understanding of the fundamental mechanism and the physiology of wound healing.

#### 2 Classification of Wounds

Various types of trauma can damage the organ tissues and lead to the occurrence of the wound. Once the body tissues are damaged, proper wound care is required to achieve complete wound healing. In wound care, we ensure that wounds are appropriately cleaned, dressed, and treated to stop further infection (Kujath and Michelsen [2008](#page-52-0); Wilkins and Unverdorben [2013;](#page-59-0) Onyekwelu et al. [2017;](#page-54-0) Herman and Bordoni [2020\)](#page-50-0). In wound care, the first and crucial step is to identify the wound and wound type. For efficient wound management, one requires detailed knowledge of the reason of tissue damage and occurrence of a wound, likelihood of surgical site infection, characteristics of the wound, and wound type (Vu et al. [2009;](#page-59-0) Levy et al. [2013;](#page-52-0) Mioton et al. [2013;](#page-53-0) Herman and Bordoni [2020](#page-50-0)). This is possible only when we have a demarcation between various wound types or injuries, and the wounds are properly classified into different classes. Wound classification is also required because the wounds of a healthy person and a diseased person (a person having diabetes, malnutrition, patients receiving grafts, or any other disorder) shows a drastic variation in bacterial contamination, infection, as well as they may also show a differential ability to achieve wound healing (Percival [2002;](#page-55-0) Vu et al. [2009;](#page-59-0) Jones [2015](#page-51-0); Onyekwelu et al. [2017](#page-54-0); Herman and Bordoni [2020\)](#page-50-0).

Proper classification of wounds can be achieved, when one can accurately predict the probability of postoperative complications, surgical site infections, and reoperation (Mioton et al. [2013;](#page-53-0) Herman and Bordoni [2020](#page-50-0)). Several medical practitioners have attempted wound classification, but the disparities observed across various injuries and associated wounds make it a challenging and complex process (Belkaid and Tamoutounour [2016](#page-46-0); Choi and Di Nardo [2018;](#page-47-0) Rodrigues et al. [2019\)](#page-56-0). The main problem with wound classification is the low inter-rater reliability of wounds among medical professionals (Levy et al. [2013](#page-52-0); Onyekwelu et al. [2017;](#page-54-0) Herman and Bordoni [2020\)](#page-50-0). Further, wound classification does not effectively work in neonatal surgical wounds and chronically ill children, and it requires a different classification scheme for this demography (Baharestani [2007;](#page-45-0) Vu et al. [2009;](#page-59-0) Herman and Bordoni [2020\)](#page-50-0). Once the details of wound characteristics (based on their etiology, morphology, skin integrity, stage of infection, etc.) have been documented properly, we have to plan for an optimized treatment to avoid further contamination and damage to the wound or patient's body. This is required to select a suitable treatment protocol at the time of diagnosis. Thus, it is necessary to classify wounds in several groups or subgroups for their proper identification, as described below.

#### 2.1 Classification of the Wounds According to Etiology

Based on the homeostatic response of the wound, it becomes important to classify them. The severity of the wound depends on the mechanism of injury and any understated comorbidities of the patient. The first mode of classification discussed herein is the classification of wounds based on etiology. Etiology is nothing but the characterization of the wounds based on the comprehensive assessment of the cause of the injury (Gamelli and He [2003;](#page-49-0) Spanholtz et al. [2009;](#page-57-0) Kuhajda et al. [2014\)](#page-52-0). Some wounds appear easy for the clinicians to classify, whereas some may represent more complicated tasks to identify. Assessing the root cause of the problem and rectifying it is also critical in preventing a recurrence of the wound. Thus, intentional or unintentional wounds depending on the severity, are classified into several different types, as described below (Table 1). The four main types of wounds, when classified by etiology, are trauma wounds, burn injuries, penetrating injuries, and surgical wounds.

#### 2.2 Classification of the Wounds According to the Rank-Wakefield System

To accurately assess wounds, different management strategies have to be developed. This depends on the type and extremity of injury, which is of great importance. Sometimes, injuries of high extremity require amputation. Therefore, it becomes essential to classify wounds based on the treatment strategy that has to be employed. One such classification system is the Rank and Wakefield classification (Mackay [1995;](#page-53-0) Vidyarthi and Gupta [2003;](#page-59-0) Purcell [2016](#page-55-0)). According to the Rank and Wakefield point of view, wounds are divided into two broad categories: tidy and untidy

	Type of wounds	Description	References
	Blunt trauma wounds	When a blunt object directly comes in contact with the body.	Simon et al. (2020)
2.	Burn injuries	When a small area of the body or other tissue is affected by burns.	Spanholtz et al. $(2009)$
3.	Penetrating injuries	When the skin is pierced due to the penetration of a foreign object in the body and leads to an open wound.	Kuhajda et al. (2014)
$\overline{4}$ .	Incisional wounds	When an incision/cut is made through muscle causing damage and disruption to the tissues.	Gamelli and He $(2003)$

Table 1 Classification of the wounds according to etiology

	Type of wounds	Description	References
1.	Tidy wounds	These are inflicted by sharp objects causing minimal contamination and tissue remains well-vascularized on the edge of the skin. Primary healing occurs with time.	Mackay (1995), Vidyarthi and Gupta $(2003)$ , Purcell $(2016)$
$\mathfrak{D}$	Untidy wounds	Results from tearing, crushing, avulsion, vascular injury, or burns. These contain devitalized tissue and require debride- ment of all the devitalized tissue to create a tidy wound for the initial assessment. Healing occurs through sec- ondary approaches.	Mackay (1995), Vidyarthi and Gupta (2003)

Table 2 Classification of the wounds according to the Rank-Wakefield system

wounds (Table 2). This was one of the earliest and simplest modes of classification and served the purpose of guiding wound management strategies.

#### 2.3 Classification of the Wounds According to the Duration of Wound Healing

Time plays a vital role in injury management and healing or repair of wounds. Thus, based on the time frame of the healing process, wounds can be surgically categorized as acute and chronic. These two terms are considered as references to the cause as well as the time frame of the wound recovery (Bowler et al. [2001](#page-46-0); Moreo [2005;](#page-54-0) Morton and Phillips [2016](#page-54-0); Powers et al. [2016;](#page-55-0) Maqsood [2018\)](#page-53-0). The timeframe for this criterion to be applied and to consider a wound as chronic is approximately 6 weeks. Any wound which can be expected to heal in a shorter period can be classified as acute (Bowler et al. [2001](#page-46-0); Moreo [2005;](#page-54-0) Korting et al. [2011](#page-51-0); Jacobsona et al. [2017](#page-51-0); Maqsood [2018\)](#page-53-0). Chronic wounds are ones that do not proceed through the standard stages of wound healing in an appropriate and timely fashion. Chronic wounds are frequently found to stall in the inflammation phase of healing (Quirinia [2000;](#page-55-0) Alexiadou and Doupis [2012\)](#page-45-0). One of the critical examinations to be performed on chronic wound patients is the vascular examination since the main driving factor for potential healing of these wounds is the proper recruitment of immune cells through the bloodstream. Chronic wounds are also characterized by an absence of balance in the production and degradation of cells in the wound healing process (Alexiadou and Doupis [2012;](#page-45-0) Morton and Phillips [2016;](#page-54-0) Powers et al. [2016](#page-55-0)) (Table [3\)](#page-17-0). The differing types and subtypes of wounds, when classified according to the duration of wound healing, are described below.

	Type of wounds		
$\mathbf{1}$ .	Acute wounds	Description These arise out of damage to bony structures and soft tissues, which involves a trauma injury caused by environmental factors such as knife cuts, insect bites, burns, etc.	References Moreo (2005), Maqsood (2018)
	Scrapes or abrasion	Skin causes friction when rubbed against rough surfaces. E.g., Skinned knees and rope burns.	Korting et al. $(2011)$
	Missile or velocity wounds	Deep body tissue damage caused by a high-speed gunshot.	Hamdan (2006), Lone et al. (2009)
	Contusions Wounds caused due to breaking of bones by a forcible strike on the body or getting pulled away or avulsion from the rest of the bone. E.g., Hit by a ball or loss of nail, tooth, etc.		Broder (2011)
	Cut or crush wounds	When a sharp or heavy object falls on the body, causing a slice or cut. Road injuries are also included in this category, where damage occurs to the dermis and parts of the hypoderm.	Bowler et al. (2001), Montella et al. (2014)
	Lacerations	Tearing of soft body tissues, which can either be internal or external. E.g., Punching body and childbirth.	Pergialiotis et al. (2014)
	Radiation wounds or ulcers	Injuries caused to the underlying soft tissues by ionizing radiation. E.g., Chemotherapy.	Jacobsona et al. (2017)
2.	<b>Chronic</b> wounds	These are caused by metabolic perturbations or tissues injuries which heal slowly.	Morton and Phillips $(2016)$ , Powers et al. (2016)
	Venous/vas- cular ulcers	Occurs in the lower extremities in the legs also known as stasis ulcer or dermatitis. Usually seen in old age groups.	Vasudevan (2014)
	Diabetic wounds/ ulcers	Due to neuropathic conditions and compromised immune system, the body is unable to prevent infection and turns small wounds into chronic.	Alexiadou and Doupis (2012)
	Pressure ulcers	Also known as bedsores and normally found in the paralytic condition. Due to the immobility of the body, blood flow is restricted in muscles and tissues.	Bhattacharya and Mishra $(2015)$
	Ischemic wounds	It occurs as a result of a clinical blockage of blood supply to vascular beds, resulting in glucose and oxygen shortage for cellular metabolism.	Quirinia (2000)

<span id="page-17-0"></span>Table 3 Classification of the wounds according to the duration of wound healing

### 2.4 Classification of the Wounds According to the Integrity of the Skin

Another method of classification, that is based on the degree of damage to the integrity of the skin. This method heavily dictates wound management and healing

Type of wounds	Description	References
Open wound	These injuries occur due to skin laceration with or without tissue loss.	Bauer and Aiken $(1989)$ , John et al. (2014)
Closed wound	These injuries occur without any disruption in skin integrity and the skin remains intact.	John et al. $(2014)$

Table 4 Classification of the wounds according to the integrity of the skin

strategy since the integrity of the skin is the key component required for proper repair and regeneration (Bauer and Aiken [1989](#page-46-0); John et al. [2014\)](#page-51-0). It is a broad and straightforward mode of classification since it does not entail many categories or subtypes. A different version of the same classification emerges when we classify wounds into superficial and deep wounds (Bauer and Aiken [1989](#page-46-0); John et al. [2014\)](#page-51-0). The major criterion is the capacity of the skin to heal itself, which depends upon the extent of damage to the skin, which can then leads us to the classification as superficial, deep dermal, or full-thickness (Table 4). When classified according to the integrity of the skin, the wounds can be divided into two groups, as described below.

#### 2.5 Classification of the Wounds According to the Bacterial Contamination or the Degree of Contamination

It is essential to restrict the spread of injury and infection caused by the wounds, and for that, it is essential to confirm that the wounds are properly cleaned and appropriately dressed. One can surmise that a surgical wound is considered a contaminated wound when an external object has come into contact with the skin leading to a high risk of infection (Devaney and Rowell [2004](#page-48-0); Sarabahi and Tiwari [2012;](#page-56-0) Onyekwelu et al. [2017;](#page-54-0) Gorvetzian et al. [2018](#page-49-0); Herman and Bordoni [2020\)](#page-50-0). The extent to which the contamination has occurred dictates the wound management strategy and the urgency to clean up the wound (Table [5\)](#page-19-0). When there is a presence of wound contamination, the optimal method of management is to proceed with wound closure after an initial period of delay. Thus, to classify the condition and cleanliness of wounds, the Centers for Diseases Control and Prevention (CDC) has established four classes of the degree of contamination (Devaney and Rowell [2004;](#page-48-0) Sarabahi and Tiwari [2012](#page-56-0); Onyekwelu et al. [2017](#page-54-0); Gorvetzian et al. [2018](#page-49-0); Herman and Bordoni [2020\)](#page-50-0)<sup>'</sup> as described below.

	Type of wounds	Description	References
$\mathbf{1}$ .	Clean wounds	Uninfected surgical wounds where no internal damage is seen and only skin microflora has been contaminated such as hernia repair, exploratory laparotomy.	Sarabahi and Tiwari $(2012)$ , Herman and Bordoni (2020)
2.	Clean-contam- inated wounds	These wounds occur when surgical wounds with microbial flora, under an uncontrolled condition, penetrate the genitourinary tract, respiratory, and alimentary tract. E.g., Hys- terectomy, lobectomy.	Margenthaler et al. $(2003)$ , Devaney and Rowell (2004)
3.	Contaminated wounds	These wounds are marked by the introduction of microflora in a previously uncontaminated part of the body due to a major break in aseptic technique or gross spillage from the intestinal tract (cholecystectomy with bile spillage or acute inflammation).	Devaney and Rowell $(2004)$ , Gorvetzian et al. (2018)
4.	Heavily con- taminated wounds	These are typically old traumatic wounds in which necrotic tissues are present that involve clinical infection, such as infection including repair of a perforated bowel.	Onyekwelu et al. (2017), Gorvetzian et al. (2018)

<span id="page-19-0"></span>Table 5 Classification of the wounds based on the degree of contamination

#### 2.6 Classification of the Accidental Wounds Based on Their **Origin**

A clue to the cause for any skin lesions or wound can be determined from the physical assessment of the injury. Molecular mechanisms governing skin wound healing are still not completely understood. This puts the onus on the primary level of wound assessment and classification to guide wound management strategies. Clinicians find it difficult to have a unanimous denomination of wounds, since each tissue that has to heal, or the reason that initiates the wound will dictate a distinct approach (Ikpeme et al. [2010;](#page-50-0) Abrahamian and Goldstein [2011](#page-45-0); Iyer and Balasubramanian [2012;](#page-51-0) Okonkwo and DiPietro [2017;](#page-54-0) VanHoy et al. [2020](#page-58-0); Schaefer and Tannan [2020](#page-56-0); Sveen et al. [2020\)](#page-58-0). The final mode of classification discussed here involves assessing the root cause or origin of the wound. The major benefit of this approach is that it expedites the initial step towards wound healing and thereby improves clinical outcomes. For this purpose, Table [6](#page-20-0) briefly describes the classification of wounds based on the morphology and pathophysiological process for the type of damage.

	Type of wounds	Description	References
1.	<b>Mechanical</b> wounds	Disruption of the skin integrity including the mucous layer, caused by any mechanical force on the body. Mechanical wounds can be divided into abraded/abrasion wounds, puncture wounds, incised wounds, cut wounds, crush wounds, torn wound, bite wound, shot wound, etc.	Sveen et al. (2020)
2.	<b>Chemical wounds</b>		
	Acid wounds	These occur due to exposure to acids causing chest pain and vomiting.	VanHoy et al. $(2020)$
	Base wounds	Similar to acid wounds but more toxic. Necrotic tissue becomes liquified, and lysis of cell and protein occurs.	VanHoy et al. $(2020)$
3.	<b>Wounds caused by</b> radiation	Tissue damage caused by radiation exposure.	Iyer and Balasubramanian (2012)
4.	Wounds caused by thermal stress		
	Burning wounds	These are skin injuries caused by contact with hot surfaces, flame, hot liquids, or steam.	Schaefer and Tannan (2020)
	Freezing wounds	Injuries caused by the cold temperature that leads to contraction of the blood vessels and results in thrombosis.	Sarabahi and Tiwari (2012)
5.	<b>Wounds caused by</b> diseases		
	Bone infection	Inflammation of bones by bacteria or fungus.	Ikpeme et al. $(2010)$
	Diabetes	Due to neuropathic conditions and compromised immune system body is unable to prevent infection and turns small wounds into chronic. This is a type of chronic wound.	Boniakowski et al. $(2017)$ , Okonkwo and DiPietro $(2017)$
	Gangrene	Necrosis caused by bacterial infection leading to the death of body tissue.	Tan et al. (2018)
	Immunosuppressive disorder wounds	Surgical wounds associated with the compromised immune system.	Raje and Dinakar (2015)
6.	<b>Surgical wounds</b>	An incision/cut usually made during sur- gery using a scalpel.	Onyekwelu et al. (2017)
7.	<b>Microbial infection</b>	Penetration of pathogens or microbes through cuts on the body, causing diseases.	Aly (1996)
8.	Wounds caused by animals	Wounds caused by an animal bite.	Bjornstig et al. (1991), Abrahamian and Gold- stein $(2011)$

<span id="page-20-0"></span>Table 6 Classification of the wounds according to the origin

#### 3 Physiology of Wound Healing

A consecutive loss of function in any anatomical structure, which leads to tissue disruption can be described as a wound. As soon as any damage is done to the tissue, organ, or body, multiple parallel and interrelated pathways are activated (Singer and Clark [1999;](#page-57-0) Gurtner et al. [2008;](#page-50-0) Eming et al. [2014;](#page-48-0) Martin and Nunan [2015;](#page-53-0) Oishi and Manabe [2018;](#page-54-0) Lim et al. [2019;](#page-52-0) Rodrigues et al. [2019](#page-56-0)). These cellular and extracellular pathways work in a coordinated manner, and their corresponding functions must be carried out in the proper sequence, at the appropriate time to achieve wound healing (Gosain and DiPietro [2004](#page-49-0); Guo and Dipietro [2010;](#page-50-0) Bielefeld et al. [2013;](#page-46-0) Sgonc and Gruber [2013](#page-56-0); Eming et al. [2014;](#page-48-0) Bonifant and Holloway [2019;](#page-46-0) Rodrigues et al. [2019\)](#page-56-0). Once the healing is completed, these pathways are stopped in a precise order to avoid extreme reactions or delayed responses (Bayat et al. [2003;](#page-46-0) Diegelmann and Evans [2004](#page-48-0); Rodrigues et al. [2019\)](#page-56-0). Despite the intricate nature of wound healing pathways, it is noteworthy that these mechanisms that regularly take place in the human body are precisely programmed and work without complication (Guo and Dipietro [2010](#page-50-0)). Interruptions in these processes can lead to delayed wound healing and a high risk of patient mortality.

Wound healing is a common phenomenon of repair, growth, and tissue regeneration (Hunt et al. [2000;](#page-50-0) Diegelmann and Evans [2004;](#page-48-0) Broughton et al. [2006;](#page-46-0) Boateng et al. [2008](#page-46-0); Velnar et al. [2009](#page-58-0); Wang et al. [2018\)](#page-59-0). In other words, this is a complex and dynamic biological process that requires intricate spatial and temporal synchronization of various cell types and mediators, interacting in an extremely sophisticated cascade of cellular events (Hunt et al. [2000](#page-50-0); Gonzalez et al. [2016;](#page-49-0) Sorg et al. [2017](#page-57-0); Kabashima et al. [2019](#page-51-0); Rodrigues et al. [2019\)](#page-56-0). During the healing process, various immune cells, such as neutrophils, monocytes, Langerhans cells (LCs), γδ T-cells, CD+ resident memory T-cells (TRM), and others are recruited at the wound site. In response to micro-environmental conditions and different cytokines, these cells maintain homeostasis upon inflammatory challenges (Hunt et al. [2000;](#page-50-0) Gurtner et al. [2008;](#page-50-0) Singh et al. [2017](#page-57-0); Rodrigues et al. [2019](#page-56-0)). Details of these cells and chemical factors are provided in Tables [7](#page-22-0) and [8](#page-24-0), respectively, and also in succeeding sections. Since the process of wound repair is continuous, at the time of injury, various cellular and biological events are activated to restore the integrity of skin (Hunt et al. [2000;](#page-50-0) Gonzalez et al. [2016;](#page-49-0) Sorg et al. [2017](#page-57-0); Kabashima et al. [2019;](#page-51-0) Rodrigues et al. [2019](#page-56-0)). Therefore, to better understand this physiological process that is happening at the wound site and nearby tissues, molecular events in wound repair are categorized into the following stages: hemostasis, inflammatory phase, angiogenesis, growth phase, re-epithelialization, and tissue maturation and remodeling (Hunt et al. [2000](#page-50-0); Guo and Dipietro [2010](#page-50-0); Sgonc and Gruber [2013;](#page-56-0) Eming et al. [2014](#page-48-0); Gonzalez et al. [2016](#page-49-0); Sorg et al. [2017](#page-57-0); Bonifant and Holloway [2019;](#page-46-0) Rodrigues et al. [2019\)](#page-56-0). These stages of wound healing proceed sequentially but also overlap with each other (Table [9](#page-28-0) and Fig. [2\)](#page-29-0).

The primary response in the process of wound healing is: contraction of the wounded blood vessels as well as platelet activation to form a fibrin clot, ultimately

<span id="page-22-0"></span>Table 7 Cells involved in wound healing. The table has been reproduced with permission from Singh S. et al., [2017](#page-57-0), Surgery (Singh et al. 2017) and Greaves N. S. et al., J. 2013, Dermatol. Sci. (Greaves et al. [2013](#page-49-0))

	Time of	Growth factors		
Cell type	action	released	Function	References
Platelets	Immediately after injury	TGF- $\alpha$ , TGF- $\beta$ , IL-1, lipoxins, leukotrienes, thromboxane-A <sub>2</sub> , TNF- $\alpha$ , serotonin IGF-1, CTGF, VEGF, PDGF, EGF, FGF-2	• Release of inflam- matory mediators $(EGF, TGF-\beta,$ PDGF, FGF, seroto- nin, histamine, thromboxane, pros- taglandins, bradyki- nin) • Activation of the coagulation cascade • Thrombus formation	Abe et al. (2001), Egozi et al. (2003), Workalemahu et al. $(2003)$ , Anitua et al. $(2004)$ , Sun et al. $(2009)$ , Johnston et al. (2011), Greaves et al. $(2013)$ , Singh et al. (2017)
Neutrophils	$1 - 48 h$	$TGF-\beta, CTGF,$ TNF- $\alpha$ , IL-1	• Release of proteo- lytic enzymes and ROS generation • Increase vascular permeability • Phagocytosis of bacteria • Wound debridement	Egozi et al. (2003), Werner and Grose $(2003)$ , Workalemahu et al. $(2003)$ , Strid et al. $(2004)$ , Hu et al. $(2010)$ , Greaves et al. $(2013)$ , Singh et al. (2017)
Keratinocytes	8 h	$TGF-\beta, EGF,$ FGF-2, VEGF, IGF-1, TNF- $\alpha$ , IL-1, uPA, tPA, $PAI-1$	• Releases inflam- matory mediators · Stimulate adjacent keratinocytes · Neovascularization	Sahni and Francis $(2000)$ , Egozi et al. $(2003)$ . Workalemahu et al. $(2003)$ , Sun et al. $(2009)$ , Hu et al. $(2010)$ , Greaves et al. $(2013)$ , Singh et al. (2017)
Lymphocytes	72–120 h	IL-1, interferon- $\gamma$	• Regulates prolifer- ative phase of wound healing · Collagen deposition	Werner and Grose $(2003)$ , Strid et al. $(2004)$ , Hu et al. $(2010)$ , Mahdavian Delavary et al. $(2011)$ , Greaves et al. $(2013)$ , Singh et al. (2017)
Fibroblasts	120 <sub>h</sub>	$TGF-\beta, HGF,$ FGF-2, PDGF, VEGF, CTGF,	· Synthesis of gran- ulation tissue • Produces ECM components	Abe et al. (2001), Yang et al. (2005), Conway et al. (2006), Giannouli

(continued)

Cell type	Time of action	Growth factors released	Function	References
		IGF-1, $Ang-1$ , Ang-2, uPA	• Collagen synthesis • Release of inflam- matory mediators and various proteases	and Kletsas $(2006)$ , Sun et al. (2009), Greaves et al. $(2013)$ , Singh et al. (2017)

Table 7 (continued)

 $CTGF$  connective tissue growth factor;  $ECM$  extracellular matrix;  $EGF$ : Epidermal growth factor; FGF-2 Fibroblast growth factor-2; HGF hepatocyte growth factor; IGF-1 insulin-like growth factor; IL Interleukin; PAI plasminogen activator inhibitor; PDGF platelet-derived growth factor; TGF- $\alpha$  transforming growth factor-α; TGF- $\beta$  transforming growth factor-β; TNF- $\alpha$  tumor necrosis factor- $\alpha$ ; tPA tissue plasminogen activator; ROS reactive oxygen species; uPA urokinase plasminogen activator; VEGF vascular endothelial growth factor

stopping the bleeding (Clark [2003;](#page-47-0) Geer and Andreadis [2003](#page-49-0); Cogle et al. [2004;](#page-47-0) Rodrigues et al. [2019\)](#page-56-0). Immediately after clot formation, the injured tissues release growth factors and pro-inflammatory cytokines (see Table [8](#page-24-0) for detail). As soon as the bleeding is controlled, various inflammatory cells, for instance, neutrophils, monocytes, and macrophages, are recruited at the wound site to promote the inflammatory phase. Additionally, the adaptive immune system also gets activated to fight against a variety of self and foreign antigens (Park and Barbul [2004](#page-55-0); Brown and Watson [2011;](#page-46-0) Davies et al. [2013a](#page-47-0), [b;](#page-47-0) Hoeffel and Ginhoux [2018](#page-50-0); Larouche et al. [2018;](#page-52-0) Rodrigues et al. [2019](#page-56-0)). In the next phase, closely aligned with the inflammation phase, new blood vessel formation ensues through angiogenesis (Rodrigues et al. [2019\)](#page-56-0). This involves the activity of neuromas cell types within the perivascular space. Here, along with the proliferation of the endothelial cells, circulating progenitor cells from the bone marrow and pericytes within the basal lamina also participate in new blood vessel formation within the perivascular space (Asahara et al. [1997;](#page-45-0) Ceradini et al. [2004](#page-47-0); Armulik et al. [2011;](#page-45-0) Ansell and Izeta [2015](#page-45-0); Kosaraju et al. [2016;](#page-51-0) Zhan et al. [2018;](#page-60-0) Rodrigues et al. [2019\)](#page-56-0). It is then followed by fibroblast migration and proliferation, synthesis of the matrix proteins, ECM formation, keratinocyte proliferation, and differentiation, regeneration of hair follicles, etc. during the growth and proliferative phase of wound healing (Martin [1997](#page-53-0); Werner et al. [2007;](#page-59-0) Donati et al. [2017](#page-48-0); Rodrigues et al. [2019\)](#page-56-0). Finally, the reorganization and remodeling of ECM, as well as the rearrangement of granulation tissue to scar tissue, completes the wound healing process, and synthesis as well as cross-linking of collagen provide stability to the healing tissue. In this section, we discuss each of these processes in detail to understand the physiology of wound healing (Table [9\)](#page-28-0).

<span id="page-24-0"></span>



(continued)



Table 8 (continued)



(continued)



matrix; EGF epidermal growth factor; FGF-2 Fibroblast growth factor-2; GH growth hormone; HGF hepatocyte growth factor; IGF-1 insulin-like growth factor, IL Interleukin; MMP matrix metalloproteinases; MMP-2 matrix metalloproteinase-2; PA plasminogen activator; PAI plasminogen activator inhibitor; PDGF platelet-derived growth factor; PGE2 Prostaglandin E2; TGF-a transforming growth factor-cx; TGF-ß transforming growth factor-ß; TNF-a tumor wis virgino contents on the selection interestingular or the selective mass of the selection of the sel PDGF platelet-derived growth factor; PGE2 Prostaglandin E2; TGF-α transforming growth factor-α; TGF-β transforming growth factor-β; TNF-α tumor Ang Angiopoietin; BM-MSC bone marrow mesenchymal stem cell; CTGF connective tissue growth factor; DETC dendritic epidermal T-cell; ECM extracellular matrix; *EGF* epidermal growth factor; *FGF-2* Fibroblast growth factor-2; *GH* growth hormone; *HGF* hepatocyte growth factor; *IGF-1* insulin-like growth factor; IL Interleukin; MMP matrix metalloproteinases; MMP-2 matrix metalloproteinase-2; PA plasminogen activator; PAI plasminogen activator inhibitor; necrosis factor-or, tPA tissue plasminogen activator; uPA urokinase plasminogen activator; VEGF vascular endothelial growth factor necrosis factor-α; tPA tissue plasminogen activator; uPA urokinase plasminogen activator; VEGF vascular endothelial growth factor

Table 8 (continued)

Table 8 (continued)

		Events during the		
Phase	Main process	process	Time	References
Hemostasis	• Vasoconstriction • Platelet plug for- mation (primary hemostasis) • Coagulation and reinforcement of the platelet plug	• Vascular contrac- tion · Platelet aggrega- tion and degranula- tion • Thrombus formation	Immediately after injury	Mathieu et al. $(2006)$ , Guo and Dipietro (2010), Mahdavian Delavary et al. $(2011)$ , Bielefeld et al. (2013), Sun et al. (2014), Rodrigues et al. (2019)
Inflammatory phase	• Release of growth factors and cyto- kines by platelets, immune cells, and disrupted matrix • Invasion of inflammatory cells (neutrophils, monocytes, macrophages)	• Vascular exuda- tion • Neutrophil infil- tration • Monocyte con- version to macro- phage · Matrix enrich- ment in proteogly- cans • Lymphocyte infiltration	Day $0-3$	Mathieu et al. $(2006)$ , Guo and Dipietro (2010), Mahdavian Delavary et al. $(2011)$ , Bielefeld et al. (2013), Sun et al. (2014), Rodrigues et al. (2019)
Growth and proliferative phase	• Granulation tis- sue formation and neovascularization • Formation of endothelial cells and new vessel • Pericytes in neovascularization and wound healing • Circulating pro- genitor cells in neovascularization and wound healing • Regeneration of hair follicles	· Re-epitheliali- zation • Angiogenesis · Fibroblast infil- tration and prolif- eration · Collagen forma- tion • ECM formation	Day 3-15	Mathieu et al. $(2006)$ , Guo and Dipietro $(2010)$ , Mahdavian Delavary et al. $(2011)$ , Bielefeld et al. (2013), Sun et al. (2014), Rodrigues et al. (2019)
<b>Tissue</b> remodeling	• ECM reorganiza- tion and remodeling · Myofibroblast formation • Contraction of the wound • Cell apoptosis	• Vascular matura- tion and regression • Conversion of fibroblast to fibrocyte · Collagen degra- dation and formation	Day 15- Month to years	Mathieu et al. $(2006)$ , Guo and Dipietro $(2010)$ , Mahdavian Delavary et al. $(2011)$ , Bielefeld et al. (2013), Sun et al. (2014), Rodrigues et al. (2019)

<span id="page-28-0"></span>Table 9 Major stages in the physiological process of wound healing

<span id="page-29-0"></span>

Fig. 2 Hemostasis in wound healing. This is the first phase of wound healing, which occurs as soon as any damage or injury is done on any tissue or organ. In response to the injury, the outermost layer of the skin works towards preventing excess loss of blood in a process known as vasoconstriction. Thereafter, the platelets are forced into action, where they release essential factors and drive the platelet plug formation. The final part of hemostasis stage involves the reinforcement of the platelet plug through the coagulation process. The figure is adapted with permission from Negut, I. et al. 2018, Molecules (Negut et al. [2018](#page-54-0))

#### 3.1 Coagulation and Hemostasis

This is the first phase in the process of wound healing, which occurs as soon as any damage or injury is done on any tissue or organ (Fig. 2, Table [9](#page-28-0)). This event occurs on a micro- or macro-vascular scale where various cellular responses promote blood clotting and prevent exsanguination or blood loss at the site of injury (Mathieu et al. [2006;](#page-53-0) Guo and Dipietro [2010](#page-50-0); Mahdavian Delavary et al. [2011](#page-53-0); Bielefeld et al. [2013;](#page-46-0) Singh et al. [2017](#page-57-0); Rodrigues et al. [2019](#page-56-0)). The key point of this mechanism is (i) to prevent exsanguination in order to protect the vascular system and keep the organs unharmed during the time of injury, and (ii) to provide a scaffold for migrating cells essential for complete healing (Robson et al. [2001;](#page-56-0) Velnar et al. [2009;](#page-58-0) Guo and Dipietro [2010;](#page-50-0) Bielefeld et al. [2013;](#page-46-0) Smith et al. [2015](#page-57-0); Singh et al. [2017;](#page-57-0) Negut et al. [2018;](#page-54-0) Rodrigues et al. [2019](#page-56-0)).

Hemostasis and reparative wound healing are strongly controlled by the balance between coagulation, endothelial cells, thrombocytes, and fibrinolysis (Falanga [2005;](#page-48-0) Velnar et al. [2009;](#page-58-0) Smith et al. [2015](#page-57-0)). This process is completed in three steps: (i) Vasoconstriction—contraction of the muscular wall of the blood vessels, in order to stop the bleeding; (ii) Primary hemostasis—platelet aggregation and platelet plug formation; and (iii) Secondary hemostasis—activation of the coagulation cascade and formation of fibrin mesh. These processes in combination form the thrombus (platelet plug and the fibrin mesh), which then allows the entry of various immune cells and growth factors to achieve wound healing (Martin [1997](#page-53-0); Robson et al. [2001](#page-56-0); Werner and Grose [2003](#page-59-0); Falanga [2005](#page-48-0); Bielefeld et al. [2013;](#page-46-0) Rodrigues et al. [2019](#page-56-0)).

#### 3.1.1 Vasoconstriction

During this process, the blood vessels constrict rapidly to minimize or stop bleeding at the injury site. This reflexive contraction of the vascular smooth muscle is triggered by the molecule endothelin, which is released in response to the damaged endothelium (Martin [1997;](#page-53-0) Velnar et al. [2009;](#page-58-0) Godo and Shimokawa [2017;](#page-49-0) Rodrigues et al. [2019](#page-56-0)). In addition to this, some other molecules such as catecholamines, epinephrine, norepinephrine, prostaglandins, and PDGF (platelet-derived growth factor) also regulate the process of vasoconstriction. Due to increased acidosis and hypoxia at the wound site, the initial contraction of the vessels temporarily reduces bleeding and requires further activation of the coagulation cascade for the complete wound healing (Martin [1997;](#page-53-0) Robson et al. [2001](#page-56-0); Werner and Grose [2003;](#page-59-0) Falanga [2005](#page-48-0); Velnar et al. [2009;](#page-58-0) Godo and Shimokawa [2017](#page-49-0); Rodrigues et al. [2019](#page-56-0)).

#### 3.1.2 Primary Hemostasis (Formation of the Platelet Plug)

Blood platelets play a crucial role in this healing phase. Under normal conditions, anti-thrombotic properties of the endothelial cell layer do not allow platelet activation, attachment, and aggregation (Nording and Langer [2018](#page-54-0); Suzuki-Inoue et al. [2018;](#page-58-0) Rodrigues et al. [2019\)](#page-56-0). As a primary response to an injury or blood vessel rupture, the inside-out signaling pathway gets activated, leading to integrin activation and increased platelet binding to other platelets as well as ECM (Goto [2008;](#page-49-0) Golebiewska and Poole [2015](#page-49-0)). Subsequently, activation of the outside-in signaling cascade further triggers the plate activation and modifies the actin cytoskeleton or change in platelet shape. The self-association of activated platelets and their binding to ECM seals the ruptured blood vessel by forming a "platelet plug" (Goto [2008;](#page-49-0) Rumbaut and Thiagarajan [2010](#page-56-0); Golebiewska and Poole [2015;](#page-49-0) Rodrigues et al. [2019\)](#page-56-0). Several molecular factors such as integrins ( $\alpha$ IIbβ3,  $\alpha$ 2β1, etc.), active molecules (ADP, serotonin, calcium, histamine, etc.), glycoproteins (platelet glycoprotein Ib-IX-V and glycoprotein VI), thromboxane A2, fibronectin, vitronectin,

thrombospondins, etc. regulate platelet activation and help in the "platelet plug" formation (Goto [2008](#page-49-0); Rumbaut and Thiagarajan [2010;](#page-56-0) Golebiewska and Poole [2015;](#page-49-0) Rodrigues et al. [2019](#page-56-0)).

Platelets also secrete growth factors and cytokines, including PDGF (plateletderived growth factor), TGF-β (transforming growth factor-β), IGF (insulin-like growth factors), and EGF (epidermal growth factor) within the "platelet plug." These molecules act as promoters in wound healing by providing the scaffold for migration of various immune cells, including keratinocytes, leukocytes, fibroblasts, and endothelial cells, as a reservoir for the subsequent phases of healing (Robson et al. [2001](#page-56-0); Falanga [2005\)](#page-48-0).

#### 3.1.3 Coagulation and Platelet Plug Fortification

This process is called secondary hemostasis, where the platelet plug is reinforced by fibrin mesh to promote the platelet plug or the thrombus formation (Monagle and Massicotte [2011;](#page-54-0) Xu et al. [2016\)](#page-60-0). The "platelet plug" provides the surface for the coagulation process. Here, the intrinsic and extrinsic coagulation pathways get stimulated by the exposure of the sub-endothelial matrix and lead to the activation of factor X. The activation of factor X induces conversion of the precursor molecule prothrombin into thrombin, which finally leads to cleavage of fibrinogen into fibrin (Goto [2008;](#page-49-0) Vojacek [2017](#page-59-0); Rodrigues et al. [2019](#page-56-0)). The factor XIII crosslinks with fibrin, which then binds to the plate plug and forms a thrombus or secondary hemostasis plug (Monagle and Massicotte [2011](#page-54-0); Xu et al. [2016](#page-60-0); Tomaiuolo et al. [2017\)](#page-58-0). The thrombus offers a framework for the migration of various immune cells at the wound site for the subsequent phases of healing.

#### 3.2 Inflammatory Stage

Inflammation is a primary nonspecific immune response of the body to harmful environmental signals, such as any pathogen or bacterial infection, any damage or injury to the cells, or due to any toxic substances (Audial and Bonnotte [2015;](#page-45-0) Kuprash and Nedospasov [2016;](#page-52-0) Watson et al. [2017](#page-59-0); Chen et al. [2018a](#page-47-0); Negut et al. [2018\)](#page-54-0). Any cellular and vascular response to injury after an immediate hemorrhage is characterized as the inflammatory phase (Table [9\)](#page-28-0). The inflammation can be characterized by swelling, pain, redness of the tissue, and loss of cellular function (Fig. [3](#page-32-0)). This is a defense mechanism of the immune cells, where the principal focus is initiating the wound healing process and suppressing the infection (Ferrero-Miliani et al. [2007;](#page-48-0) Medzhitov [2010;](#page-53-0) Nathan and Ding [2010](#page-54-0); Chen et al. [2018a](#page-47-0)). A variety of mediators are released after injury, like activation of platelets and their cytokines, and the byproducts of hemostasis, which triggers the inflammatory response from the injured tissue cells and capillaries (Ferrero-Miliani et al.

<span id="page-32-0"></span>

Fig. 3 Inflammatory phase in wound healing. Once hemostasis phase is complete, the blood vessels dilate and recruit the white blood cells, antibodies, growth factors, and enzymes at the site of injury. The neutrophils begin to mount the typical host response and endeavor to destroy toxic agents, foreign antigens, and microbes. The other kind of phagocytic cells, the macrophages, then proceed to autolyze any necrotic tissue. Finally, the keratinocytes, fibroblasts, and endothelial cells are activated for further stages of wound healing. The figure is adapted with permission from Negut, I. et al. 2018, Molecules (Negut et al. [2018](#page-54-0))

[2007;](#page-48-0) Medzhitov [2010](#page-53-0); Nathan and Ding [2010;](#page-54-0) Audial and Bonnotte [2015](#page-45-0); Chen et al. [2018a](#page-47-0)).

The inflammatory cells are recruited at the wound site through a complex process. Notably, the injury leads to an immediate increase in intracellular  $Ca^{+2}$ , and a calcium wave  $(Ca^{2})$  appears during the initial few seconds of the injury. The increased amount of intracellular  $Ca<sup>+2</sup>$  modifies gene transcription by activating protein kinase-C and  $Ca^{+2}/CaMK$  (calmodulin-dependent protein kinase) (Tran et al. [1999](#page-58-0); Cordeiro and Jacinto [2013](#page-47-0); Audial and Bonnotte [2015](#page-45-0)). Apart from the increased amount of intracellular  $Ca^{+2}$ , other cellular responses or damage signals including reactive oxygen species (ROS) gradient (such as  $H_2O_2$ ), damageassociated molecular patterns (DAMPs) (purines, peptides, uric acid, and ECM components), nitric oxide (NO), lipid mediators, and various chemokines (CC cytokines and CXC cytokines) activates the transcription-independent pathways for the localization of the inflammatory cells (neutrophils, macrophages, mast cells, etc.) (Jacinto et al. [2001;](#page-51-0) Lansdown [2002](#page-52-0); McNeil and Steinhardt [2003;](#page-53-0)

Halliwell [2006](#page-50-0); Kufareva et al. [2015;](#page-52-0) Audial and Bonnotte [2015](#page-45-0); Hughes et al. [2017;](#page-50-0) Rodrigues et al. [2019](#page-56-0)). In combination, these molecules diffuse through epithelial tissues and trigger instantaneous gene transcription-independent responses through regulating Tyr kinases receptor and ERK or JNK signaling (Tran et al. [1999;](#page-58-0) Cordeiro and Jacinto [2013](#page-47-0)). These chemotactic damage stimuli, which are produced by epithelial cells, are sensed by the immune cells present in the nearby tissues situated in and around the wound site. This triggers the inflammatory response at the site of injury. As a result, the immune cells are recruited wound sites to clear the foreign antigens and microbes (Ferrero-Miliani et al. [2007](#page-48-0); Nathan and Ding [2010;](#page-54-0) Audial and Bonnotte [2015;](#page-45-0) Chen et al. [2018a\)](#page-47-0).

The inflammatory phase can be divided into (i) early phase and (ii) late inflammatory phase. The early phase, which initiates during the late phase of coagulation, activates the complement cascade of the immune system to stop infection at the wound site. At this stage, the neutrophils are co-localized at the site of injury in response to growth factors and cytokines such as TGF-β, complement components (C3a and C5a), and the molecules released by platelets and bacteria (Velnar et al. [2009;](#page-58-0) Cerqueira et al. [2016](#page-47-0)). Here, the neutrophil cells remove foreign antigens or bacteria through phagocytosis by releasing the ROS (reactive oxygen species) and proteolytic enzymes (Velnar et al. [2009;](#page-58-0) Roberts et al. [2018](#page-56-0)). Once all the foreign bodies are removed through neutrophils, these cells are eliminated from the wound site before the initiation of the late phase. During the late phase of inflammation, various molecules such as cytokines (PDGF, TGF-β) clotting factors, complement components (C3a and C5a), leukotriene B4, and platelet factor IV, and other breakdown products attract and recruit another phagocytotic cell macrophage at the site of injury. Once migrated, these macrophages continue the phagocytosis process for the clearance of toxic substances. Additionally, these cells provide a scaffold for various growth factors (TGF- $\alpha$ , TGF- $\beta$ , EGF, etc.), and activate keratinocytes, fibroblasts, and endothelial cells for the later stages of wound healing (Hunt et al. [2000;](#page-50-0) Diegelmann and Evans [2004;](#page-48-0) Velnar et al. [2009](#page-58-0); Wang et al. [2018\)](#page-59-0).

In response to chemotactic damage signals, a variety of immune cells like macrophages, neutrophils, dendritic cells, mast cells, and T-cells are recruited at the site of infection to initiate wound healing and stop further infection (Hunt et al. [2000;](#page-50-0) Diegelmann and Evans [2004;](#page-48-0) Broughton et al. [2006;](#page-46-0) Velnar et al. [2009;](#page-58-0) Rodrigues et al. [2019](#page-56-0)). Each cell has a defined architecture and function in wound healing, which are as follows:

#### 3.2.1 Neutrophils in Wound Healing

Neutrophils are the most abundant and highly motile type of granulocytes. These cells are generally found in blood and are recruited as "first responders" at the wound site. At the time of injury, various surface receptors (GPCRs (G protein-coupled receptors), integrins Fc receptors, and pattern recognition receptors (PARs), etc.) present on neutrophils detects stress signals (such as ROS gradient, DAMPs, lipid mediators, and chemokines) secreted from the endothelial cells (Robson et al. [2001;](#page-56-0) Velnar et al. [2009;](#page-58-0) Lammermann et al. [2013;](#page-52-0) Cerqueira et al. [2016;](#page-47-0) Rodrigues et al. [2019\)](#page-56-0). In response to these signals, neutrophils migrate from the bloodstream towards the site of injury. Once recruited at the injury site, activated neutrophils initiate phagocytosis and snuff out toxic agents, foreign antigen, and microbes by producing toxic granules, proteolytic enzymes, oxidative burst, and neutrophil extracellular traps (NETs) (Velnar et al. [2009](#page-58-0); Thieblemont et al. [2016](#page-58-0); Roberts et al. [2018](#page-56-0); Oliveira et al. [2018\)](#page-54-0).

The toxic granules secreted by the neutrophils have specific functions. The primary granules or Azurophilic granules secreted from neutrophils contain azurocidin, myeloperoxidase, serine proteases (elastase, cathepsin-G, and protease-3), and lysozyme; while the secondary granules contain matrix metalloprotease 8 (MMP-8), lactoferrin, human cationic antimicrobial protein (hCAP-18), and collagenase-2, etc. (Reeves et al. [2002](#page-55-0); Faurschou and Borregaard [2003](#page-48-0); Wilgus et al. [2013;](#page-59-0) Rodrigues et al. [2019](#page-56-0)). The proteases present in these toxic granules degrade the basement membrane as well as the ECM and aid the neutrophils in escaping from the bloodstream and entering the injured tissue or the wound site (Velnar et al. [2009](#page-58-0); McCarty and Percival [2013\)](#page-53-0). These proteases also cleave laminin, elastin, vitronectin, fibronectin, collagen-IV, and possess antimicrobial activity. At times, an increase in the neutrophil-derived proteolytic enzyme production can result in cleavage of growth factor receptors, growth factors, and ECM causing tissue damage (Sedmak and Orosz [1991](#page-56-0); Rodrigues et al. [2019;](#page-56-0) Westby et al. [2020](#page-59-0)).

The activated neutrophils also secrete NETs into the extracellular space. NETs are chromatin filaments lined with cytosolic proteins and histones. This is one of the most important molecules critical for neutrophil-mediated phagocytosis, which is required to capture the pathogens, foreign antigens, and cell debris present in the extracellular space through the process of NETosis (Jorch and Kubes [2017;](#page-51-0) Rodrigues et al. [2019\)](#page-56-0). The process of vital NETosis helps these cells stay alive and perform phagocytosis to eliminate bacteria, viruses, and cell debris at the site of injury (Jorch and Kubes [2017;](#page-51-0) Rodrigues et al. [2019](#page-56-0)).

In the initial step of phagocytosis, neutrophils get recruited at the wound site in reaction to various stress signals such as ROS gradient, DAMPs, lipid mediators, and chemokines, etc. In response to these signals, neutrophils mobilize towards the injury site along with the bloodstream. The neutrophils present in the bloodstream secrets toxic granules that degrade the basement membrane as well as the ECM and allow neutrophils to enter the site of injury (Velnar et al. [2009;](#page-58-0) McCarty and Percival [2013\)](#page-53-0). Once recruited at the wound site, neutrophils spread the chromatin filaments lined with proteases outside of the cell through NETosis (Jorch and Kubes [2017;](#page-51-0) Rodrigues et al. [2019\)](#page-56-0). Thereafter, the neutrophils detect antigens using GPCRs, Fc receptors, integrins, and PARs, and a phagocytic cup is formed to engulf the foreign antigens and microbes (Jorch and Kubes [2017;](#page-51-0) Rodrigues et al. [2019\)](#page-56-0). As soon as the neutrophil engulfs the foreign molecules, the toxic granules, proteolytic enzymes, oxidative bursts secreted from the cell degrade the internalized molecule and eliminate bacteria, viruses, and cell debris at the wound site (Velnar et al. [2009;](#page-58-0) Braem

et al. [2015](#page-46-0); Jorch and Kubes [2017](#page-51-0); Roberts et al. [2018](#page-56-0); Rodrigues et al. [2019\)](#page-56-0). Once the neutrophil-mediated phagocytosis is completed and when neutrophils have achieved their charge, they undergo necrosis/apoptosis and are thrown away from the wound surface by macrophages, which is termed as efferocytosis (Bratton and Henson [2011;](#page-46-0) Jun et al. [2015](#page-51-0); Jorch and Kubes [2017](#page-51-0); Rodrigues et al. [2019](#page-56-0)).

#### 3.2.2 Macrophages in Wound Healing

Macrophages can be defined as monocytes-derived cells that proliferate due to the differentiation of the monocytes present within the wound (Gordon and Taylor [2005;](#page-49-0) Malissen et al. [2014;](#page-53-0) Gordon et al. [2014;](#page-49-0) Das et al. [2015;](#page-47-0) Rodrigues et al. [2019\)](#page-56-0). Macrophages are critical in the inflammatory response of wound healing. The M-CSFR is also recognized as CSF1R (colony-stimulating factor 1 receptor), that is, the receptor for IL-34, and CSF1 or M-CSF (cytokines colony-stimulating factor-1) controls/regulates the development of macrophages (Greter et al. [2012;](#page-49-0) Stanley and Chitu [2014](#page-57-0); Easley-Neal et al. [2019\)](#page-48-0). These cells situated in the skin from the time of development and are recruited at the wound site in response to platelet and mast cells degranulation which then leads to an increase in stromal-derived factor 1 (SDF1/ CXCL12) and hypoxia-inducible factors (Gordon and Taylor [2005;](#page-49-0) Gordon et al. [2014;](#page-49-0) Das et al. [2015](#page-47-0); Gordon and Martinez-Pomares [2017\)](#page-49-0). These chemical factors also raise additional monocytes and amplify the macrophage inflammatory response. After recruitment at the wound site, the tissue-resident macrophage removes toxic metabolites, dead cells, and necrotic tissues through phagocytosis (Gordon and Taylor [2005;](#page-49-0) Gordon et al. [2014;](#page-49-0) Das et al. [2015](#page-47-0); Gordon and Martinez-Pomares [2017\)](#page-49-0).

Macrophage-mediated phagocytosis is a stepwise process wherein during the early stages of healing, the microbicidal and pro-inflammatory macrophages (M1-macrophages) release various chemical molecules like IL-6 and IL-1β, and TNF-β to fight infection (Gordon and Taylor [2005](#page-49-0); Das et al. [2015;](#page-47-0) Gordon and Martinez-Pomares [2017;](#page-49-0) Rodrigues et al. [2019\)](#page-56-0). The early macrophages recruited at the wound site release several chemoattractants, including MCP-1 (monocyte chemoattractant protein-1), within the damaged tissue, which can raise additional monocytes and intensify the macrophage inflammatory response (DiPietro et al. [1995;](#page-48-0) Evans et al. [2013](#page-48-0)). These M1-macrophages identify and engulf the pathogens into the phagosomes and kill them through ROS response and oxidative burst (Slauch [2011](#page-57-0); Das et al. [2015;](#page-47-0) Rodrigues et al. [2019](#page-56-0)). The M1-macrophages also secrete MMPs that digest the ECM and thrombus and also activates the conventional inflammation pathway (Takeuchi and Akira [2010](#page-58-0); Malissen et al. [2014](#page-53-0); Rodrigues et al. [2019](#page-56-0)).

By and large, neutrophils and macrophages both show similar stages of the phagocytosis process. However, the maturation of phagosomes (early endosomes, late endosomes, and lysosomes) shown by the macrophage is absent in the neutrophil and ensues through fusion with numerous granules comprising proteases and
antimicrobial agents (Jorch and Kubes [2017](#page-51-0); Rodrigues et al. [2019\)](#page-56-0). Both the cells also show differences in the phagocytic receptors present on the cell surface.

The macrophages also demonstrate a phenotypic conversion from M1-macrophage (pro-inflammatory macrophage) to alternatively activated macrophage (M2-macrophage) (Galli et al. [2011](#page-48-0); Rodrigues et al. [2019\)](#page-56-0). These M2 macrophages participate in the process of angiogenesis for the production of new blood vessels and also in the proliferation stage and re-epithelialization phase of the wound healing (Rodrigues et al. [2019](#page-56-0)). The involvement of the macrophages in the physiology of wound healing has been addressed significantly; however, the knowledge of macrophage origin and their function in wound healing is still not completely understood (Gordon and Taylor [2005;](#page-49-0) Slauch [2011](#page-57-0)).

#### 3.2.3 Mast Cells in Wound Healing

Mast cells (MCs) are ubiquitous resident cells and important for the innate immune system, and these cells are present in abundance in the barrier organs such as skin (Kalesnikoff and Galli [2008;](#page-51-0) Wulff and Wilgus [2013](#page-59-0); Komi et al. [2020](#page-51-0)). MCs originate in the bone marrow and move to skin and mucosa, a perivascular region of the connective tissue (Sonoda et al. [1983](#page-57-0); Trabucchi et al. [1988](#page-58-0); Kaur et al. [2017\)](#page-51-0). Mast cells, expressed in various tissues, show heterogeneity in phenotype and functions, which in turn is influenced by the change in the microenvironment (Nakano et al. [1985](#page-54-0); Rao and Brown [2008](#page-55-0)). MCs primarily function as effector molecules in initiating allergic reactions by activating acute inflammation, mediating immunoglobulin E (IgE) reactions, and also help to combat helminth infestations (Akimoto et al. [1998](#page-45-0); Wulff and Wilgus [2013;](#page-59-0) Rodrigues et al. [2019](#page-56-0)). These cells also participate in the re-epithelialization process as well as in the angiogenesis and help regulate mechanoresponsive mechanisms and promote scarring (Kischer et al. [1978;](#page-51-0) Akimoto et al. [1998;](#page-45-0) Foley and Ehrlich [2013](#page-48-0)).

Mast cells can prevent skin infection by releasing antimicrobial peptides in the early stages of wound healing (Siebenhaar et al. [2007](#page-57-0); Di Nardo et al. [2008](#page-48-0); Wang et al. [2012\)](#page-59-0). These cells generate chymase and tryptase enzymes, histamine, VEGF, etc., which in turn help in ECM degradation, allow neutrophil flux, and promote keratinocyte proliferation and re-epithelialization, and enhance collagen synthesis and fibroblast proliferation (Caughey [2007;](#page-46-0) Rodrigues et al. [2019](#page-56-0)), which in conjunction contributes to wound healing process.

#### 3.2.4 Role of Dendritic Cells (DCs) in Wound Healing

DCs are accessory cells or antigen-presenting cells (APC) that work as key modulators between innate and adaptive immunity and are involved in priming the T-cell response (Steinman et al. [1983](#page-57-0); Said and Weindl [2015;](#page-56-0) Dixon et al. [2017;](#page-48-0) Rodrigues et al. [2019\)](#page-56-0). Within the epidermis, DCs are present as Langerhans cells (LCs) (Steinman et al. [1983;](#page-57-0) Romani et al. [2003](#page-56-0)). Epidermal LCs are considered as the

first immunological barrier. In humans, these cells possess unique surface receptors and atypical Birbeck granule (a unique cytoplasmic organelle) (Romani et al. [2003;](#page-56-0) Taylor et al. [2005](#page-58-0); Said and Weindl [2015\)](#page-56-0). At the time of infection, both Langerhans and Dendritic cells are found in the skin-draining lymph nodes (Steinman and Cohn [1973;](#page-57-0) Steinman et al. [1983;](#page-57-0) Romani et al. [2003](#page-56-0); Rodrigues et al. [2019](#page-56-0)). The dendritic cells have a stronger antigen-presenting ability as compared to the macrophages (Hume [2008](#page-50-0); Rodrigues et al. [2019](#page-56-0)). In humans, we observe the presence of CD1C+ DC, CD14+ DC, CD141+ DC, and CD207+ DC at the wound site (Romani et al. [2003;](#page-56-0) Taylor et al. [2005;](#page-58-0) Said and Weindl [2015](#page-56-0)). When the DCs encounter the antigens, the LCs downregulate e-Catherin expression, which in turn allows their migration into the draining lymph nodes and activates T-cell mediated adaptive response (Tamoutounour et al. [2013](#page-58-0); Rodrigues et al. [2019\)](#page-56-0). In humans, specific plasmacytoid dendritic cells (pDCs) are also recruited at the site of injury. This cell type senses the purines released by the wounded cells and triggers acute inflammatory response and secrets interferon-α and interferon-β (INF-α and INF- β) (Hume [2008;](#page-50-0) Rodrigues et al. [2019](#page-56-0)).

#### 3.2.5 T-Cells in Wound Healing

T-cells or T-lymphocytes constitute a significant component of the immune system. T-cells interact with APCs and proliferate and differentiate into a particular type of effector T-cell (Skapenko et al. [2005](#page-57-0); Wilson and Brooks [2013;](#page-59-0) Heath and Carbone [2013\)](#page-50-0). Among the two variants ( $\gamma \delta$  + T-cells and  $\alpha \beta$  + T-cells), the  $\alpha \beta$  + T-cells are found abundantly in the human skin (Mestas and Hughes [2004;](#page-53-0) Povoleri et al. [2018;](#page-55-0) Rodrigues et al. [2019](#page-56-0)). The  $\gamma \delta$  + T-cells are of two types: V $\gamma$ 5- negative or V $\gamma$ 5positive, and allow increased localization of neutrophils and DCs into the skin in reaction to IL-7 and TNF-α (Rodrigues et al. [2019](#page-56-0)). DETCs or  $\alpha\beta$  + T-cells in the epidermis are the only subtypes of T-cells that produce chemical factors such as growth factors and cytokines (Jameson et al. [2002,](#page-51-0) [2004;](#page-51-0) Rodrigues et al. [2019\)](#page-56-0). These cells act on the keratinocytes and are crucial in the healing process, which facilitates wound re-epithelialization and wound closure (Jameson et al. [2002](#page-51-0), [2004;](#page-51-0) Rodrigues et al. [2019\)](#page-56-0). The DETCs keep examining the epidermis for the infection, and as soon as it gets any damage signals, the DETCs get activated and change its morphology (Jameson et al. [2002,](#page-51-0) [2004](#page-51-0); Rodrigues et al. [2019\)](#page-56-0). The activated DETCs release several growth factors including KGF-1, KGF2, and IF-1, regulating keratinocyte proliferation at the site of wound and leads to re-epithelialization (Jameson et al. [2002](#page-51-0), [2004](#page-51-0); Rodrigues et al. [2019\)](#page-56-0).

The ɑβ + T-cells presenting the CD8+ receptor become cytotoxic T-cells (CD8+ killer T-cells), while the T-cells presenting CD4+ receptor become helper T-cells (CD4+ helper T-cells) (Skapenko et al. [2005](#page-57-0); Wilson and Brooks [2013](#page-59-0); Heath and Carbone [2013](#page-50-0); Rodrigues et al. [2019\)](#page-56-0). Upon inflammation, populations of antigenspecific T-cells become tissue-resident memory T-cells that play a critical role in the inflammatory response as well as attract antigen-specific memory T-cells towards the site of inflammation (Mijnheer and van Wijk [2019\)](#page-53-0). Additionally, the CD8+ killer T-cells and CD4+ helper T-cells are also found at the site of chronic inflammation (Skapenko et al. [2005;](#page-57-0) Wilson and Brooks [2013](#page-59-0); Mijnheer and van Wijk [2019](#page-53-0)). The specific helper cells (CD4+ helper T-cells) play a key role in the immunepathogenesis of autoimmune diseases and can be categorized into two major subsets: Th1 and Th2 cells (Skapenko et al. [2005](#page-57-0); Mijnheer and van Wijk [2019](#page-53-0)). Here, the Th1 cells secrete IFN-γ and fight the intracellular bacteria, while the Th2 cells release IL-4 and IL-13 and fight against helminth infection (Skapenko et al. [2005;](#page-57-0) Wilson and Brooks [2013](#page-59-0); Zhang et al. [2014;](#page-60-0) Mijnheer and van Wijk [2019\)](#page-53-0). Conversely, the CD8+ killer T-cells possess the cytolytic activity and produce pro-inflammatory cytokines. These killer T-cells secrete TNF and INF-γ and protect the body against viral infection and tumors (Wilson and Brooks [2013](#page-59-0); Zhang et al. [2014;](#page-60-0) Mijnheer and van Wijk [2019](#page-53-0)).

### 3.3 Growth and Proliferative Phase of Wound Healing

Once the initial immune responses are completed and the inflammatory phase is over, the tissue repair process is initiated (Falanga [2005;](#page-48-0) Velnar et al. [2009;](#page-58-0) Negut et al. [2018;](#page-54-0) Rodrigues et al. [2019](#page-56-0)). The main aim of this stage is to ensure the closure of the wound area through the process of angiogenesis, fibroplasia, and re-epithelialization (Falanga [2005](#page-48-0); Velnar et al. [2009](#page-58-0); Gonzalez et al. [2016;](#page-49-0) Han and Ceilley [2017;](#page-50-0) Rodrigues et al. [2019\)](#page-56-0). This phase can be identified by the initiation of proliferation, phenotypic alteration, and migration of fibroblast and endothelial cells, followed by ECM deposition as well as granulation tissue formation (Bauer et al. [2005](#page-46-0); Greaves et al. [2013](#page-49-0); Gonzalez et al. [2016;](#page-49-0) Han and Ceilley [2017\)](#page-50-0). Overall, the proliferative phase can be explained by two significant events (i) granulation tissue formation, angiogenesis, and neovascularization, and (ii) re-epithelization (Fig. [4](#page-39-0), Table [9\)](#page-28-0).

### 3.3.1 Granulation Tissue Formation, Angiogenesis, and Neovascularization

In this process, activated fibroblast and endothelial cells support the synthesis of granulation tissues, ECM, and collagen; it provides support for the further cellular influx, new blood vessels, and various inflammatory cells (Gurtner et al. [2008;](#page-50-0) Velnar et al. [2009;](#page-58-0) Eming et al. [2014](#page-48-0); Rodrigues et al. [2019](#page-56-0)). The freshly synthesized matrix works as a replacement for the interim network of fibrin and fibronectin (Velnar et al. [2009\)](#page-58-0). Fibroblasts are present in the body's connective tissues and maintain the structural integrity of these tissues (Lynch and Watt [2018;](#page-53-0) Rodrigues et al. [2019](#page-56-0)). These cells have high plasticity and have heterogeneity based on their activation status (Rodrigues et al. [2019\)](#page-56-0). Fibroblast cells synthesize and remodel the extracellular matrix, secrete cytokines as well as growth factors, and help in immunomodulation during the healing process (Lynch and Watt [2018;](#page-53-0) Rodrigues

<span id="page-39-0"></span>

Fig. 4 Growth and proliferative phase in wound healing. During the proliferative phase, tissue repair and neovascularization occur with the help of keratinocytes and endothelial cells. Initially, the fibroblasts, with the help of collagen and other ECM factors, drive the granulation tissue formation. Thereafter, epithelial cells resurface the wound under the command of a cascade of growth factors in a process known as re-epithelialization. The figure is adapted with permission from Negut, I. et al. 2018, Molecules (Negut et al. [2018\)](#page-54-0)

et al. [2019\)](#page-56-0). The lower lineage fibroblast expresses myofibroblast markers such as α-SMA, which forms a large amount of ECM required for scar formation, and the collagen fibrils provide higher mechanical strength to the tissue (Rodrigues et al. [2019\)](#page-56-0). The dermal papilla fibroblast contributes to hair follicle development and regeneration, as well as differentiates into myofibroblasts or smooth muscle cells (Fujiwara et al. [2011;](#page-48-0) Rodrigues et al. [2019\)](#page-56-0). The myofibroblast cells dedifferentiate and release molecular factors such as fibronectin, hyaluronan, periostin, osteopontin, endothelin, angiotensin, vitronectin, CCN2, and Cx43 and further assist in wound healing (Velnar et al. [2009;](#page-58-0) Rodrigues et al. [2019](#page-56-0)).

The microvascular endothelial cells (ECs) are primarily associated with the formation of new blood cells; in response to activation signals from adjacent cells, the ECs migrate within the fibrin/fibronectin-rich clot, whereas the activated ECs establish and strengthen the interaction with the neighboring perivascular cells (Rodrigues et al. [2019](#page-56-0)). Here, the endothelial cells sense the proangiogenic activation signals such as PDGF-B, FGF, VEGF, TGF-alpha, and angiopoietins, secreted from the adjacent cells, and start proliferating to initiate the process of angiogenesis (Tonnesen et al. [2000;](#page-58-0) DiPietro [2016](#page-48-0); Rodrigues et al. [2019\)](#page-56-0). During the process of angiogenesis, the ECs exhibits heterogeneity and are present as tip cells and stalk cells (Gerhardt et al. [2003;](#page-49-0) Rodrigues et al. [2019\)](#page-56-0). Heterogeneity of ECs is regulated by Notch pathways involving effector molecules  $(Eg, VEGF)$ , which are generated by proliferating keratinocytes, subcutaneous adipose stromal cells, and macrophages in the wound environment (Hellstrom et al. [2007;](#page-50-0) Suchting et al. [2007;](#page-57-0) Rodrigues et al. [2019](#page-56-0)), which regulates the heterogenicity observed for ECs. During angiogenesis, the ECs present at the tip sense VEGF and other signaling molecules secreted from macrophages, epidermal cells, and the subcutaneous adipose tissues (Rodrigues et al. [2019\)](#page-56-0). In response to these chemicals, the tip ECs extend their filopodia and control and organize the formation of new capillaries, and the stalk ECs trail the tip cells and preserve the integrity of the vascular system (Rodrigues et al. [2019](#page-56-0)).

Pericytes and circulating progenitor cells are crucial for the process of neovascularization during wound healing. The pericyte cells are rooted within the basement membrane of the vascular system and play a vital role in(de)stabilization of the microvasculature system and in the generation of a vascular barrier to bacteria and other external agents (Hall et al. [2014](#page-50-0); Rodrigues et al. [2019](#page-56-0)). Pericytes can wrap around numerous endothelial cells as they have a large body and extended cell membrane (Crisan et al. [2008](#page-47-0); Hall et al. [2014](#page-50-0); Rodrigues et al. [2019](#page-56-0)). The pericytes show multipotent regeneration capacity and contribute to wound healing by interacting with ECs and hematopoietic cells (HCs) and depositing the ECM to the injury site (Rodrigues et al. [2019\)](#page-56-0). The hematopoietic stem cells and endothelial progenitor cells (HSCs and EPCs) participate in new blood vessel formation by binding to endothelial cells at sites of hypoxia/ischemic tissue (Rajantie et al. [2004;](#page-55-0) Cogle et al. [2004](#page-47-0); Rodrigues et al. [2019](#page-56-0)). Here, the progenitor cells generated at bone marrow sense chemokines and are attracted towards increasing chemokine gradients, and once they are incorporated into sprouting endothelium, they differentiate into endothelial cells (Takahashi et al. [1999](#page-58-0); Rajantie et al. [2004](#page-55-0); Rodrigues et al. [2019\)](#page-56-0).

#### 3.3.2 Re-Epithelialization

The term re-epithelialization means restoring an intact epidermis after a cutaneous injury (Falanga [2005](#page-48-0); Velnar et al. [2009](#page-58-0); Clark [2014](#page-47-0); Rousselle et al. [2019;](#page-56-0) Rodrigues et al. [2019](#page-56-0)). Re-epithelialization can also be defined as the migration of keratinocytes over the vascularized tissue by producing specific matrix molecules (Falanga [2005;](#page-48-0) Velnar et al. [2009;](#page-58-0) Rousselle et al. [2019;](#page-56-0) Rodrigues et al. [2019](#page-56-0)). The movement of epithelial cells from the epidermis begins within hours after injury over the denuded surface (Falanga [2005](#page-48-0); Clark [2014](#page-47-0); Rodrigues et al. [2019](#page-56-0)). The epithelial cells form a barrier across new tissue between the wound and the environment. The epidermis plays a crucial role in conferring protection against microorganisms, extreme temperature, UV radiation, and excessive water loss (Watt [2014;](#page-59-0) Rodrigues et al. [2019](#page-56-0)). The primary cells responsible for epithelialization are the basal keratinocytes released from the wound edge, and they become activated for

migration as soon as the injury occurs (Seifert and Maden [2014;](#page-56-0) Xu et al. [2015;](#page-60-0) Rodrigues et al. [2019](#page-56-0)). Various modulators are involved in successful wound closure, including cytokines, growth factors, extracellular matrix, cellular receptors, matrix metalloproteinase, etc. (Rousselle et al. [2019](#page-56-0); Rodrigues et al. [2019](#page-56-0)).

In general, re-epithelialization includes several stages like the movement of keratinocytes from the wound edge, proliferation of keratinocytes, and its differentiation. Migration of keratinocytes is the early phase of wound re-epithelialization, wherein the epithelial sheet migrates towards the center of the wound (Odland and Ross [1968](#page-54-0); Rodrigues et al. [2019](#page-56-0)). This event is observed for a few hours to 1 day from the time of the injury. This involves flattening and extension of keratinocytes, the formation of lamellipodia, and ruffling of cytoplasmic projections. Also, events like the loss of cell-cell and cell-matrix interaction, recantation of intracellular tonofilament at the end of cytoplasm, and the formation of actin filament are observed (Odland and Ross [1968;](#page-54-0) Stenn and Depalma [1988;](#page-57-0) Takeo et al. [2015;](#page-58-0) Galliot et al. [2017\)](#page-48-0). While in the proliferative stage of keratinocytes, cells are restricted from the foremost edge of the wound (Krawczyk [1971;](#page-52-0) Clark and Henson [1996;](#page-47-0) Rodrigues et al. [2019\)](#page-56-0). Therefore, to increase the strength of cells, epidermal stem cells from interfollicular epidermis present near the hair follicle bulge, or sebaceous gland proliferate towards the edge of the wound nearly 2 to 3 days after the injury (Tumbar et al. [2004](#page-58-0); Ito et al. [2005](#page-50-0); Levy et al. [2007;](#page-52-0) Gurtner et al. [2008;](#page-50-0) Lau et al. [2009](#page-52-0); Snippert et al. [2010](#page-57-0); Mascre et al. [2012\)](#page-53-0). As the suprabasal layer of differentiated keratinocytes loses its ability to proliferate, only the basal keratinocytes can move (Morasso and Tomic-Canic [2005](#page-54-0)). The proliferation of keratinocytes is regulated by growth factors name a few EGF, ECM, and integrins (Michopoulou and Rousselle [2015](#page-53-0)). There are various other growth factors, including FGFs (fibroblast growth factors), HGF (hepatocyte growth factor), HB-EGF (heparin-binding epidermal growth factor), IGF-1 (insulin growth factor-1), TGF- $\alpha$ , TGF-β, GM-CSF (epidermal granulocyte-macrophage colony-stimulating factor), NGF (nerve growth factor) which are secreted by multiple cells during injury. Simultaneously, wound-related signals are also released, e.g., nitric oxide, which is synthesized by macrophages (Witte and Barbul [2002;](#page-59-0) Werner and Grose [2003;](#page-59-0) Santoro and Gaudino [2005](#page-56-0); Raja et al. [2007](#page-55-0); Barrientos et al. [2008](#page-46-0); Pastar et al. [2014\)](#page-55-0). Finally, the epidermis is renewed by the proliferation of stem cells, undergoing terminal differentiation of their progeny, leaving the basal layer and moving towards the surface (Koster and Roop [2007\)](#page-52-0).

In addition to re-epithelialization, keratinocytes have mainly three sites of origination: the intrafollicular epidermis (IFE) during wound healing; hair follicles and sebaceous glands; and melanocytes. At the time of injury, interfollicular-derived stem cells give rise to new progenitors with active keratinocytes, which expand and repair the wound (Aragona et al. [2017\)](#page-45-0). IFE has been found to produce high levels of integrins, which are more adhesive as compared to amplifying cells (Jones et al. [1995;](#page-51-0) Watt [2002b;](#page-59-0) Nieuwenhuis et al. [2007\)](#page-54-0). Furthermore, there are three main integrins attached to the basal cells:  $\alpha$ 3β1 and  $\alpha$ 6β4 that bind to laminin,  $\alpha$ 2β1 that binds to collagen, and  $\alpha \nu \beta$ 5 that binds to vitronectin; several other integrins are also present over the basal, lateral, and apical surface (Watt [2002a](#page-59-0); Raymond et al. [2007\)](#page-55-0). The second most important site from where the keratinocytes can originate in the hair follicle and sebaceous gland. The role of the hair follicle and sebaceous gland is to give rise to the hair shaft, whereas the sebaceous gland lubricates the surface of the skin by releasing lipids. The bulge is present at the hair follicle base and is further categorized into (a) lower bulge, (b) mid bulge, and (c) upper bulge stem cells. A secondary hair germ is present below the bulge consisting of progenitor cells derived from the lower bulge stem cells. To this, the junctional zone and the sebaceous gland are attached vertically above the hair bulge. The sebaceous glands have four subdivisions of stem cells, which include the LRIG1+ stem cells, bulge stem cells, and LGR6+ stem cells, as well as BLIMP1 expressing cells (Oshima et al. [2001](#page-55-0); Barker et al. [2008](#page-46-0); Fuchs and Horsley [2011](#page-48-0); Brownell et al. [2011](#page-46-0)). Also present are melanocytes, which are dendritic, neural crest-derived cells, producing melanin. The role of melanin is crucial in the pigmentation of the skin, occurring due to burns and protects the skin from UV radiation and ROS (Nishimura et al. [2002;](#page-54-0) Costin and Hearing [2007](#page-47-0); Solanas and Benitah [2013\)](#page-57-0).

### 3.4 Tissue Maturation and Remodeling in Wound Healing

This is the last stage of wound healing, where the closure of an acute and chronic wound is achieved, which in turn can go on for several months or sometimes more than a year (Binstock [1991;](#page-46-0) Greenhalgh [1998;](#page-49-0) Velnar et al. [2009;](#page-58-0) Gurtner et al. [2011;](#page-50-0) Gonzalez et al. [2016](#page-49-0); Negut et al. [2018](#page-54-0); Rodrigues et al. [2019](#page-56-0)). This stage is also termed as the maturation phase, wherein the development of fresh epithelium and scar tissue formation occurs once the wound is closed, and the body attempts to regain normal tissue structure to achieve complete healing (Witte and Barbul [1997;](#page-59-0) Greenhalgh [1998;](#page-49-0) Ramasastry [2005;](#page-55-0) Gurtner et al. [2011;](#page-50-0) Rodrigues et al. [2019\)](#page-56-0). In other words, this stage determines whether the wound will recur or not. The primary aim of this stage is to reorganize wound tissue, degradation, and regeneration of the extracellular matrix, leading to normal healing (Hunt et al. [2000](#page-50-0); Baum and Arpey [2005\)](#page-46-0). The variety of growth factors such as TGF-β, PDGF, and FGF, are activated during injury and tissue repair, regulate the remodeling phase (Steenfos [1994;](#page-57-0) Amjad et al. [2007](#page-45-0)). The remodeling phase can also be characterized by the loss of multiple cell types, i.e., decrease in tissue cellularity occurs (Fig. [5](#page-43-0), Table [9\)](#page-28-0). Also, the disappearance of myofibroblast, fibroblast, pericytes, endothelial cells, and deposition of ECM (particularly interstitial collagen) takes place (Gurtner and Evans [2000;](#page-50-0) Li et al. [2007](#page-52-0); Petreaca and Green [2014;](#page-55-0) Rodrigues et al. [2019\)](#page-56-0).

In the remodeling phase, the reorganization of tissue occurs mainly through collagen. During this, the wounded tissue has less strength as compared to the unwounded tissue. To increase the tensile strength, collagen bundles increase in diameter and recover approximately 80% of the original strength (Robson et al. [2001;](#page-56-0) Clark [2014;](#page-47-0) Qin [2016](#page-55-0)). At this stage, subsequent variations in the composition and deposition of the ECM matrix take place. Collagen type III, formed during the proliferative stage, undergoes degradation, and production of robust collagen type I

<span id="page-43-0"></span>

Fig. 5 Maturation and tissue remodeling phase in wound healing. This is the final phase of healing that occurs after the wound closure has been achieved. It involves remodeling of the initially formed type III collagen into the more robust interwoven type I collagen. This phase is also driven by a variety of growth factors, but the density of blood vessels and the amount of cellular activity is drastically reduced. The maturation phase typically ends with the process of scar formation. The figure is adapted with permission from Negut, I. et al. 2018, Molecules (Negut et al. [2018\)](#page-54-0)

increases (Clark and Henson [1996;](#page-47-0) Greenhalgh [1998;](#page-49-0) Singer and Clark [1999](#page-57-0); Hunt et al. [2000;](#page-50-0) Cheung and Anseth [2006](#page-47-0); Gurtner et al. [2008](#page-50-0); Guo and Dipietro [2010\)](#page-50-0). The newly synthesized collagen type I matrix is highly organized, in contrast to the collagen type I bundle. Finally, the wound margins are brought closer to each other, and connective tissues start to shrink in size. During the remodeling and maturation process, the density of macrophages, blood vessels, fibroblasts, and inflammatory cells reduces due to emigration and apoptosis from the wound area (Greenhalgh [1998;](#page-49-0) Medrado et al. [2003](#page-53-0); Hinz and Gabbiani [2003](#page-50-0); Rodrigues et al. [2019\)](#page-56-0). Macrophages are crucial during wound remodeling, wherein a fibrolytic phenotype is taken up, which helps in breaking the excessive ECM and engulfment of ECM debris (Rodrigues et al. [2019\)](#page-56-0). At the same time, myofibroblasts move to the border of the wound and help in the contraction of smooth muscle cells during healing. However, they also express the "don't eat me" signal by overexpressing CD47 and stop phagocytosis of the fibroblast by macrophages (Chawla et al. [2016](#page-47-0); Wernig et al. [2017](#page-59-0); Kohale et al. [2018](#page-51-0); Rodrigues et al. [2019](#page-56-0)). Thus, the interaction between macrophages and fibroblast cells is crucial for the deposition of excessive matrix and collagen, which results in hypertrophic scars (HTS) formation (Greenhalgh [1998;](#page-49-0) Rodrigues et al. [2019\)](#page-56-0). Also, the punctured blood vessels formed during angiogenesis are repaired, and the newly formed blood vessels are subjected to pruning and give rise to a stable and well-perfused blood vessel, thereby completing the process of maturation and remodeling (Rodrigues et al. [2019](#page-56-0)).

# 4 Discussion

In this chapter, we have highlighted two critical aspects of wound care and wound healing, which are the following: (i) Identification of wounds and their classification, and (ii) Physiological mechanism of wound healing. The occurrence of wounds and poor wound care is a potential threat to the body tissues and can ultimately cause damage to the human body organs and result in life-threatening conditions. We show that identifying wound type such as the reason for tissue damage, the likelihood of surgical site infection, and characteristics of the wound represent the first and crucial step in proper diagnosis and treatment of wounds. We also demonstrate that despite several available classification schemes such as etiology, morphology, the integrity of skin, stage of infection, etc., we still lack the proper identification of wounds, and scores of people die every year due to medical complications. Thus, we must find more effective and promising wound healing therapies with optimum clinical significance.

Next, we discussed the cellular and molecular mechanisms entailing the wound healing process. Here, we have first explained the cellular events that happen after the body encounters any wound, and we list out the involvement of various immune cells (Table [7\)](#page-22-0) as well as cytokines and growth factors (Table [8](#page-24-0)) in the physiology of wound healing. We have presented that the different stages of wound healing are well defined, are precisely programmed, and work in a synchronized manner without any complication to achieve tissue repair and restore tissue function. In the past several years, the process of wound healing has been addressed by various researchers, but there is still a debate over the recruitment and clearance of several immune cells in wound healing, and this needs to be investigated. Additionally, most of our knowledge of wound healing and skin repair is derived from murine models. As we know that there are several differences in the immune cells recruited at the wound site, skin architecture, and elasticity, adherence of skin to the underlying structure, etc. between humans and rodents, we need more studies on human systems to get a more accurate understanding of this process. Furthermore, the differences in the time and the actual process of wound healing observed due to variation in nutrition, age, sex, hormone level, oxygenation, stress level, and disease such as alcoholism, diabetes, skin fibrosis, and immunosuppressive disorders also demand a further understanding of wound healing mechanism.

To overcome all these limitations, which lead to impaired wound healing, several advanced strategies and technologies such as the use of skin grafts, use of ECM and cell-based therapies, use of the biodegradable scaffold, etc. are being employed to <span id="page-45-0"></span>facilitate wound healing and to reduce the healing time. However, these advanced methodologies are moderately effective and need to be standardized for patients with chronic wounds or other comorbidities for improved and effective wound healing. Overall, we believe that an improved classification system for various wounds, the development of newer and advanced strategies for the discovery of cellular alteration and cellular diversity, as well as other technological advances, will help in achieving promising, effective, and clinically significant wound healing therapies.

Acknowledgments I would like to thank Dr. Vijay Kothari for providing me this opportunity to write this chapter and for his valuable suggestions. I would also like to thank Dr. Bharat Iyer and Dr. Himanshu Joshi for their valuable inputs.

Conflict of Interest The author has no conflict of interest to declare.

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# Mechanisms of Collective Cell Migration in Wound Healing: Physiology and Disease



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# 1 Introduction

Wounds or scars can arise due to physical injuries or as an outcome of a disease process and can have an accidental or intentional etiology in living organisms (Sen et al. [2009](#page-79-0)). The disruption of the integrity of various organs and tissues often leads to the formation of wounds. For example, skin being an outer barrier of the body is constantly affected by various external stress factors and has therefore developed a set of complex mechanisms to protect itself (Hunt [1988\)](#page-78-0). Because of its accessibility and extraordinary capability to restore tissue integrity, the skin is considered to be the most preferred organ for exploring response mechanisms toward tissue damage and its repair (Lawrence [1998\)](#page-79-0). Studies from skin injury and its repair mechanism have revealed the novel fundamental principles of tissue regeneration and regenerative biology (Skover [1991](#page-79-0)). Right after an injury, wound healing is triggered which involves an extensive communication between various cellular constituents and their extracellular matrix (Winter and Scales [1963\)](#page-80-0). The identification of potential therapeutic targets for chronic and non-healing wound treatment is extremely challenging because the physiological complexity and the molecular processes of wound restoration are poorly understood (Strecker-McGraw et al. [2007\)](#page-80-0). Future investigations must concentrate on developing an integrated research approach combining the

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expertise from genetics, cellular, biochemical differences of normal, and chronic wounds, which may not only help in understanding the reason for delayed wound healing but at the same time help the community design better therapeutics for the same.

In this book chapter, we shed light on the fundamental steps of wound healing process and try to understand how different external factors affect the wound healing process. We will also focus on collective cell migration which plays a key role in migration of adjacent cells to the site of wound. We compare the mechanisms and factors affecting wound healing in normal cells compared to diseased ones and we conclude with an insight on the need for combined research efforts in wound healing for better understanding the process and design molecular tools and technologies for its repair.

### 2 Basic Steps in Wound Healing

A complex dynamic mechanism involving a series of highly coordinated physiological events is natural wound healing. A cascade of precisely regulated interactions among various cellular and immunological systems operate for healing a wound (Hunt [1988](#page-78-0); Sen et al. [2009\)](#page-79-0). Wound healing in healthy individuals consists of three phases: Coagulation and hemostasis, Inflammatory phase, Proliferative phase and wound Remodeling phase (Velnar [2009](#page-80-0)). The process of wound healing begins with hemostasis and the stimulation of several inflammatory cells. In the intermediate stage, proliferation and migration of cells, matrix deposition, and angiogenesis occur. The late stage of wound healing involves remodeling of extracellular matrix (ECM), forming scarred tissues. Specialized tissues such as liver and skeletal tissues, however, have distinctive regeneration and tissue repair forms and adopt various pathways (Lawrence [1998](#page-79-0)). Additionally, the supportive microenvironment at the wound surface plays a major role in maximizing the healing potential. However, by causing disruptions in tissue repair processes that are otherwise precisely regulated, many local and systemic factors may influence the healing process, resulting in non-healing or chronic wounds (Eming et al. [2014](#page-78-0); Reinke and Sorg [2012;](#page-79-0) Velnar [2009\)](#page-80-0) (Fig. [1\)](#page-63-0).

# 2.1 Coagulation and Hemostasis

Blood coagulation, also known as clotting is a process where the blood in liquid form turns into semisolid blood clot. This is essential to avoid loss of blood. The coagulation cascade is essential for hemostasis to occur. Immediately after an injury, the process of coagulation and hemostasis begins. The main purpose of this is to protect the vascular system so that, after damage, the usual physiological functions of the vital organs remain intact (Broughton et al. [2006a](#page-77-0)). Another long-term goal is

<span id="page-63-0"></span>

Fig. 1 Timeline for wound healing. The diagram shows main wound healing phases such as coagulation, inflammation, proliferation, and remodeling. The early stages of healing process would take place within 60 min up to 48 h, while the later stages of healing is a slow process requiring few days to months for the wound to repair completely producing scar tissue

providing a scaffold for the invading migratory cells that are needed in the later stages of wound healing process (Pool [1977](#page-79-0)). In order to minimize the loss of blood after an injury, the damaged ends of the blood vessels constrict rapidly. The reflex vasoconstriction can incidentally reduce the amount of bleeding or even may cause a complete cessation of blood leakage at the wound site. The blood clotting process is elicited by the initiation of coagulation cascade. The platelets then would adhere and aggregate at the wound site. Two major pathways operate in the coagulation cascade: the extrinsic pathway and the intrinsic pathway (Smith et al. [2015\)](#page-79-0).

### 2.1.1 The Extrinsic Pathway

This pathway is also called as the Tissue Factor (TF) pathway, named after a cell surface integral membrane protein (Morrissey et al. [2012](#page-79-0)). TF is also called as Tissue thromboplastin or Coagulation factor III or CD142 (Morrissey et al. [1987](#page-79-0)). This single-pass membrane protein is in its glycosylated form which is 46 kDa in size. Also, it does not require proteolysis for its activity during coagulation. Tissue Factor is also implicated to play a role during thrombogenesis, inflammation, cancer, angiogenesis, cellular immune response, and embryogenesis as well (Butenas [2012\)](#page-77-0). They are found abundantly in outermost layers surrounding the blood vessels, keratinocytes of skin, and more abundantly in certain organs such as kidney and brain. Their expression is comparatively lesser in synovial tissues and skeletal muscle tissues (Drake et al. [1989](#page-78-0); Fleck et al. [1990](#page-78-0); Smith et al. [2015\)](#page-79-0). But, it is not expressed in vascular endothelial cells and circulating cells, but can be induced by certain inflammatory factors (Butenas [2012](#page-77-0)) and hypoxia (Yan et al. [1999\)](#page-80-0). This protein is expressed only when there is mechanical or chemical injury to the vasculature exposing it to blood flow. This leads to the binding of Sub-endothelial TF to circulatory plasma factor VIIa, which is also a glycosylated protein, forming a complex. This complex formation requires calcium ions as well. This then triggers blood clotting by activating Zymogen factor IX and factor X into serine proteases, Factor IXa and Factor Xa. A surge of thrombin is induced, which also is a serine protease. A cross-linked insoluble fibrin clot is formed as an end product by multiple feedback reactions of thrombin, which involves efficient cleavage of fibrinogen and activation of Factor XIII via proteolysis (Butenas [2012](#page-77-0); Smith et al. [2015\)](#page-79-0). Tissue Factor Pathway Inhibitor (TFPI), a plasma serine protease inhibitor inhibits the TF-fVIIa complex through its direct binding to fXa (Piro and Broze [2005\)](#page-79-0).

#### 2.1.2 The Intrinsic Pathway

Also called the contact pathway, is activated without the involvement of TF. This pathway initiates when the plasma comes in contact with negatively charged biological or artificial surfaces (Maas and Renné [2018](#page-79-0); Smith et al. [2015\)](#page-79-0). The initiation of this pathway is through Factor XII (fXII) stimulation, also involving Kininogen (HK) and Plasma Kallikrein (PK) as its subsequent downstream activators. Unlike the extrinsic pathway, this does not require Calcium ions for the initiation of cascade. When blood encounters artificial or biological surfaces there is a change in the conformation of zymogen fXII converting it to an active form of fXII (fXIIa), which is a serine protease (Smith et al. [2015\)](#page-79-0). A positive feedback loop occurs where fXIIa activates plasma prekallikrein to Kallikrein and fXI to fXIa, in turn hydrolyzing fXII by PK, this amplifying fXIIa. PK further cleaves high molecular weight Kininogen (HK) from Bradykinin from its precursor protein, further leading to the activation of thrombin and formation of fibrin clot (Naudin et al. [2017](#page-79-0)). A major inhibitor of fXIIa, fXIa, and PK is known as Serpin C1 esterase inhibitor (C1INH), plays a crucial role in regulating intrinsic pathway (Maas et al. [2011\)](#page-79-0).

Thrombin is a potent platelet activator. At the site of injury after the initiation of extrinsic or intrinsic pathway, platelets aggregate and cause vasoconstriction which results in hypoxia, increase in glycolysis, and change in pH (Landén et al. [2016\)](#page-79-0). After a certain time, vasodilation occurs allowing platelets, keratinocytes, endothelial cells, fibroblasts, and leukocytes to reach the clot. The above cells release various chemotactic factors like different growth factors and cytokines to activate inflammatory processes (Reinke and Sorg [2012\)](#page-79-0). Various growth factors such as transforming growth factor-beta (TGF-β), platelet-derived growth factor (PDGF), insulin-like growth factor-1 (IGF-1), simple fibroblast growth factor (bFGF), and vascular endothelial growth factor (VEGF) are released from the platelet alpha granules. PDGF and TGF-β help in engaging neutrophils and monocytes into the wound site. Meanwhile, VEGF, TGF-α, and bFGF are required for angiogenesis through the activation of endothelial cells. PDGF also recruits fibroblasts to the



Fig. 2 Platelet activation. Platelets can recruit various other biomolecules such as cytokines, anticoagulation factors, antiangiogenic factors, and growth factors to the wounded area to accelerate wound healing. Adapted and modified from reference Golebiewska and Poole [\(2015](#page-78-0))

injury site for collagen and extracellular matrix production, which enable cellular migration in the subsequent phases of the healing process (Pool [1977\)](#page-79-0) (Fig. 2).

# 2.2 Inflammatory Phase of Wound Healing

The characteristics of inflammation are redness, swelling, heat, pain, and loss of tissue function. Inflammation is the second stage of the wound healing mechanism which starts within a day of injury and lasts for several days to weeks. In case of chronic non-healing wounds, the inflammatory phase may last significantly longer. The major goal of inflammation is establishing an immune barrier against the invading pathogens. The inflammatory phase is divided into two phases, early and late inflammatory phases (Hart [2002](#page-78-0)). These symptoms in turn reflect increased [permeability](https://www.sciencedirect.com/topics/immunology-and-microbiology/permeability) of the vascular [endothelium](https://www.sciencedirect.com/topics/neuroscience/endothelium) due to the influx of inflammatory cells and proangiogenic factors by chemokines and immune cells at the site of the wound. This step is essential in order to remove the cell debris and foreign bodies from the wound (Ridiandries et al. [2018](#page-79-0); Takeuchi and Akira [2010](#page-80-0)). Rapid migration of neutrophils and monocytes, as well as macrophages, keratinocytes, mast, and dendritic cells to the site of injury is a characteristic of this phase. The innate immune system plays a fundamental role in causing acute immune response thereafter promoting the activation of acquired immune response. The inflammatory response can be of two types, Pattern Associated Molecular Patterns (PAMPs) and Damage Associated Molecular Patterns (DAMPs) (Landén et al. [2016](#page-79-0)).

Pattern Associated Molecular Patterns The type of response triggered by pathogens such as bacterial polysaccharides and polynucleotides, foreign to the host cells is called as PAMPs. They provide ligands for TLRs (Strbo et al. [2014](#page-80-0)).

The signals are recognized by C-type Lectin Receptors (CLRs), Toll-Like Receptors (TLRs), NOD-like Receptors (NLRs), and Retinoic Acid-inducible genes (RIG)-I-like Receptors (RLRs). This induces the activation of downstream pathways such as NFkB and MAPK pathways, leading to cytokines, chemokines, and antimicrobials gene expression (Landén et al. [2016](#page-79-0); Takeuchi and Akira [2010\)](#page-80-0).

**Damage Associated Molecular Patterns** This kind of response is stimulated when host stressed cells such as necrotic cells release certain proteins, DNA, and RNA. They can activate inflammasomes (Strbo et al. [2014](#page-80-0)).

#### 2.2.1 Early Inflammatory Phase

The recruitment of neutrophils at the injury site is the first step in response to the chemokines in order to prevent infection by invasion of pathogens. This corresponds to the early inflammatory phase. Neutrophils attack and remove invading pathogens, foreign particles, and damaged tissues by phagocytosis. This phagocytic activity is crucial because wounds with microbial infection would not heal (Robson [1997\)](#page-79-0). Infiltration of neutrophils into the site of wound takes place within 24–36 h. Various chemoattractants, such as formyl methionyl peptides and TGF-β secreted by platelets and invading bacteria, helps in recruiting neutrophils to the wound site (Robson et al. [2001\)](#page-79-0). Due to biochemical alterations on the membrane surface of Neutrophils, they become adhesive in nature. This allows them to bind to the endothelial cells that cover the wound in the post-capillary venules (Hart [2002](#page-78-0); Lawrence [1998\)](#page-79-0). The neutrophils pass through the endothelial surface layer. Chemokines are secreted by the endothelial cells activating a stronger adhesion system, which are Integrin mediated (Flanagan [2000\)](#page-78-0). Additionally, chemokines can induce the expression of Vascular Cell Adhesion Molecule (VCAM), Intracellular adhesion molecule 1 (ICAM1), P-Selectin, and E-Selectin on endothelial cells to promote the adhesion of neutrophils onto the endothelial cells, which leads to neutrophil extravasation from the blood vessels to the wound site (Vestweber [2015\)](#page-80-0). Neutrophils are thus exposed to various chemokines and also release various cytokines like Inter-Leukin (IL) 1β, IL6, and Tumor Necrosis Factor (TNF)- $\alpha$  to intensify inflammatory response, further stimulating Vascular Endothelial Growth Factor (VEGF) and IL8 (Reinke and Sorg [2012\)](#page-79-0).

#### 2.2.2 Late Inflammatory Phase

Monocytes migrate toward the site of inflammation after 3 days of injury, differentiating them into macrophages. Like neutrophils, macrophages get into the site of injury by a myriad of chemoattractants, secreted by platelets and invading



Fig. 3 Progression from inflammation to proliferation. This step marks the reduction of pro-inflammatory cytokines and rise in anti-inflammatory cytokines. The anti-inflammatory macrophages are reduced tissue inflammation, contributing toward angiogenesis and accelerated wound healing. Adapted and modified from Ellis et al. ([2018\)](#page-78-0)

pathogens. Compared to neutrophils, macrophages have a longer life span and can work at lower pH (Pierce et al. [1991;](#page-79-0) Ramasastry [2005](#page-79-0)). Macrophages act as key regulators and provide a pool of tissue growth factors, such as TGF-β, and other biochemical mediators like collagenase and fibroblast growth factor (FGF), which are essential for the activation of fibroblasts, keratinocytes, and endothelial cells (Broughton et al. [2006b;](#page-77-0) Diegelmann and Evans [2004](#page-78-0); Hunt et al. [2000](#page-78-0); Ramasastry [2005\)](#page-79-0). Macrophages remove cell debris and bacteria through phagocytosis and Reactive Oxygen Species (ROS) production. They can even stimulate angiogenesis, fibroplasia and ECM production, and progression toward proliferative phase of wound healing. Macrophages can also stimulate adaptive immune cells such as lymphocytes to secrete chemokines and amplify inflammatory response as well as progress toward the proliferative phase (Landén et al. [2016](#page-79-0)). At the very end of the inflammatory phase, lymphocytes get into the wound site by the combined action of interleukin-1 (IL-1), immunoglobulin G (IgG), and complement immune system components (Broughton et al. [2006b;](#page-77-0) Hart [2002](#page-78-0); Hunt et al. [2000](#page-78-0)). The IL-1 plays a crucial role in collagenase regulation during collagen remodeling, as well as generation and degeneration of ECM components (Hart [2002;](#page-78-0) Hunt [1988\)](#page-78-0). This step is also known to induce an adaptive immune system, however, their role in wound healing process is yet to be explored (Fig. 3).

# 2.3 Proliferative Phase of Wound Healing

This phase begins with subsiding inflammation and promoting re-epithelialization, neovascularization, and formation of granulated tissue. Macrophages play a crucial role in subsiding inflammation and inducing proliferation. They play a vital role in secreting growth factors such as PDGF, FGF, TGF-α, TGF-β, VEGF, and many more. These growth factors promote Extracellular Matrix (ECM) formation (Reinke and Sorg [2012\)](#page-79-0). Such growth factors also induce fibroblast migration towards the wound site. They proliferate rapidly as fibroblasts enter the wound site and begin to develop essential extracellular matrix proteins such as fibronectin, hyaluronic acid, type I and type III collagen, and proteoglycans, which will facilitate further wound healing processes (Ramasastry [2005](#page-79-0); Robson et al. [2001](#page-79-0); Witte and Barbul [1997](#page-80-0)).

#### 2.3.1 Re-epithelialization

A new connective tissue is formed, controlled by regulatory cytokines such as IFN-γ and TGF-β. These cytokines stimulate fibroblasts to synthesize collagen, fibronectin, and other substances required for ECM formation, closure of gaps, and restoring mechanical strength of the wound. The epithelial and non-epithelial cells release variety of growth factors such as KGF, EGF, NGF, and IGF-1 (Werner and Grose [2003\)](#page-80-0). This phase is also marked by the decrease in contact inhibition by altering cell adhesion molecules such as desmosomes, hemi-desmosomes, tight junction and focal adhesion proteins, activation of membrane-associated kinases, increased permeability to calcium ions, and cytoskeletal rearrangements in the cells. All these characteristics act in a synergistic fashion in order to facilitate cell migration. Dissolution of the fibrin clot is through collagenase and elastase (Jacinto et al. [2001\)](#page-78-0). In case of skin injury, keratinocytes migrate toward chemotactic gradient established by cytokines such as IL1 which is present over the fibronectin matrix (Clark et al. [1982](#page-78-0)). The migration of cells ceases when the cells come in contact with each other. Small GTPases such as RhoGTPases modulate cytoskeletal structures, mainly actin, thereby creating a shift in migration and contact inhibition (Reinke and Sorg [2012](#page-79-0)).

#### 2.3.2 Neovascularization

Neovascularization or angiogenesis is a complex phenomenon involving cellular, molecular, and humoral cues. Angiogenesis takes place via two steps: vessel sprouting and vessel anastomosis. Vessel sprouting requires the orchestration of anti-inflammatory cytokines and pro-repair macrophages. The pro-repair macrophages secrete VEGF, PDGF, bFGF, and serine protease thrombin. The endothelial cells in the existing blood vessels bind to these growth factors to initiate signaling cascades. This enables the endothelial cells to secrete various proteases, which dissolve basal lamina and proliferate to the wound site. This process is called vessel sprouting. Matrix metalloproteases further assist in dissolving the lamina to increase the propagation of the endothelial cells. The newly formed vessel sprouts surrounding the tissue form tubular canals which can interconnect with other vessels and differentiate into mature blood vessels through pericytes and smooth muscle cells. The initial blood flow would complete the angiogenesis process (Ellis et al. [2018\)](#page-78-0).

#### 2.3.3 Formation of Granulated Tissue

This is the last step of the proliferation stage. Fibroblasts, granulocytes, and macrophages are mainly involved during this phase. The congregated fibroblasts contract the wounded region by pulling the wounded edges together mediated by integrins and cytoskeletal machinery (Li et al. [2005](#page-79-0)). The fibronectin/fibrin-rich matrix is slowly replaced by loose bundles of fibroblasts, type III collagen, and new blood vessels (Ellis et al. [2018](#page-78-0); Reinke and Sorg [2012](#page-79-0)). The major cells playing a role during this phase are fibroblasts. Fibroblasts secrete hyaluronic acid, collagen, glycosaminoglycans, proteoglycans, and fibronectin, which are the constituents of the ECM. Fibroblasts are also known to secrete PDGF-βb, TNF-α, and IL6, which would also induce re-epithelialization (Takehara [2000](#page-80-0)). Fibroblasts are influenced by TGF-β secreted by Pre-repair macrophages. Re-epithelization is initiated by EGF, KGF in case of keratinocytes and TGF-α as well (Schultz et al. [1991\)](#page-79-0). Since a dense matrix is formed as a provisional wound matrix, this might lead to scar formation. This tissue is highly vascular, which appears red and can be traumatized easily (Reinke and Sorg [2012](#page-79-0)). The end of this phase is marked by the replacement of maturing fibroblasts by differentiating myofibroblasts (Desmouliere et al. [2014;](#page-78-0) Hinz [2007\)](#page-78-0).

# 2.4 Remodeling Phase of Wound Healing

The final stage of wound healing is the remodeling phase that takes place from day 21 to a year or more, depending on the severity of the wound. During this process, fresh epithelium growth, and final scar tissue formation occur (Velnar [2009](#page-80-0)). The granulation of the tissue stops by apoptosis of fibroblast cells. With less metabolic activity, the wound is now avascular and non-cellular (Greenhalgh [1998\)](#page-78-0). Smooth muscle actin (SMA) are secreted by myofibroblast cells are responsible for contractile force generation. They also release MMPs to degrade collagen, which was formed during granulation formation (Desmouliere et al. [2014](#page-78-0); Hinz et al. [2012\)](#page-78-0). The ECM goes through several changes during the final stage of wound healing, the notable one being the substitution of Type III collagen with stronger Type I collagen in small parallel bundles (Ellis et al. [2018](#page-78-0); Reinke and Sorg [2012\)](#page-79-0). The end result of this process would be a fully formed mature scar formed with high tensile strength, however, the original strength of the tissue is forever lost (Velnar [2009\)](#page-80-0).

### 3 Single Cell Migration

Single cell migration is regulated by the molecular mechanism inducing single cell polarization. The small GTPase protein belonging to RHO family of proteins plays an important role in establishing cell polarity. Along with this small GTPase protein, RAC and CDC42 activate the cytoskeleton rearrangement from the leading edge, which includes active actin polymerization. The formation of filopodia and lamellipodia is due to actin polymerization. Further, this also promotes the interaction of integrin with ECM. In the back end of cell, RHO protein starts distinct signaling which results in actomyosin contraction (Pollard and Cooper [2009](#page-79-0)).

There are multiple factors involved in the single cell migration such as Intracellular signaling and extracellular mechanical cues. Cell migration requires actin polymerization at the leading edge assisted by integrins and focal adhesion molecules in order to bind to the 2D matrix. However, conditions are totally different in 3D matrix which resembles in vivo conditions. Actin polymerization starts in the front and myosin-driven contraction forces lead to the detachment of the cell at the rear position (Ridley [2003\)](#page-79-0). Growth factors such as insulin, EGF, VEGF, TGF-alpha/beta, and ECM ligands also affect cell migration.

New adhesions form adhering to their new attachment sites at the leading edge of the cells. These adhesions form complexes that become more stable and constitute integrins, focal adhesion proteins which bind to the ECM in the matrix (Hood and Cheresh [2002\)](#page-78-0). RhoA protein activates the myosin II motor which produces contractile forces from the leading edge of the migratory cell. This results in the net forward directional movement of the cell (Ridley [2003\)](#page-79-0).

Extracellular mechanical cues affect the cell migration and are mainly studied in a physiological condition which 3D matrix. In 3D matrix, cell behaves differently with respect to the 2D matrix. Cells present in 3D matrix display a morphological distinct phenotype when compared to 2D. The main components of the 3D matrix are collagen and fibrin which make the matrix dense, affecting cell migration. Matrix metalloproteinases (MMPs) are the class of enzymes present in the plasma membrane, for example, Basigin/CD147. This family of enzymes cleaves the ECM matrix, which generates cell-scaled tracks helping in cell migration (Wolf and Friedl [2009\)](#page-80-0). Without proteolytic activity, cells experience exceptional distortions and barely get through ECM pores in 3D lattices (Wyckoff et al. [2006\)](#page-80-0). This results in retardation of migration of cell as to cross small pores, nucleus has to be deformed so that cell is able to migrate. As we know there are multiple types of cell in a multicellular organism, all distinct type of cells shows different migration patterns such as nerve cell is long and thin which can be modelled in 1D while in tumor cell with proteolytic activity can migrate easily in 3D matrix while if Proteolytic activity is lost, cells need to deform its nucleus for cell migration.

Stiffness of the matrix also affects the cell migration. One can make cells move in a unidirectional way just by modelling the stiffness of the matrix. The stiffness of 3D matrix decreases due to cross linking collagen by lysyl oxidase resulting in higher cell migration and metastasis in tumor cells (Levental et al. [2009\)](#page-79-0).

# 4 Collective Cell Migration

Wound healing involves movement of a large number of cells in a synchronized way resulting in new tissue formation. It is a similar process seen during the developmental process of multicellular organisms. However, Collective cell migration is also seen in tumor formation and angiogenesis. Hence, an in-depth knowledge of the wound healing mechanism is necessary. In collective cell migration, cells move in one direction and show a similar pattern of movement which is not seen in single cell migration. Cells also respond to the environment they are in; this helps cells to form better coordination between 2 cells so that all cells follow similar movement which is required in wound healing.

If cells are closely bound/ attached to each other, they can show collective migration but if they are distinct apart, they are free to move in any direction which results in single cell migration. In the cohesive cell group, the outer cells lead the other cells present in the bunch in a particular direction. These cells sense the microenvironment which helps in the migration of whole cell cluster. The cells which are present outside in the cluster get a higher level of signals such as chemoattractants. These outermost cells also play a vital role in ECM remodeling which is required for collective cell migration. Cells present inside the cluster rely on the cell–cell interaction for the movement and to collectively polarize. Further, cells present inside the cluster can also influence the actions of the outer cells of cluster, which can affect the collective cell migration (Fig. [4](#page-72-0)).

### 5 Mechanism of Collective Cell Migration

In a cluster of cells, cells are interconnected by mechanical integrity, cell–cell cohesion, and intercellular signaling. Cell junctions play a vital role in collective cell migration.

# 5.1 Adherens Junctions

Coupling of the migratory cells is mediated by cadherins and immunoglobulin family proteins residing on the plasma membrane. In cancer cells, the suppression of E-cadherin combined with the overexpression of N-cadherin and neural cell adhesion molecules triggers the aggregate movement in which intercellular interactions are maintained. This approach is referred to as the fragmented transformation of epithelial–mesenchymal transition (Lee et al. [2006\)](#page-79-0).


Fig. 4 Front and rear mechanics of cell migration

# 5.2 Desmosomes

During wound healing, migration of keratinocytes retains cell–cell junction (Shaw and Martin [2009\)](#page-79-0). Furthermore, desmosomal proteins localized in membrane interact during migration of the aggregate in advanced epithelial tumor (Christiansen and Rajasekaran [2006\)](#page-78-0).

### 5.3 Integrins

Integrins are the plasma membrane proteins, which help the cell in cell–ECM interaction. Recently, it was shown that alpha5beta1 integrin interacts with fibronectin which results in cell migration (Casey et al. [2001](#page-78-0)). If inactivates the beta1 integrin, cell–cell cohesion is lost which results in loss of collective migration and results in the migration of single cell (Hegerfeldt et al. [2002](#page-78-0)).

### 5.4 Growth Factors and Chemokines

Single cell polarization, migration, and persistence of migration are influenced by a variety of growth factors and chemokines as well. There are multiple growth factors involved in collective cell migration and wound healing such as TGF-alpha and beta, PDGF, VEGF, Serotonin, TNF-alpha, Leukotrienes, Interleukin-1, Lipoxins, and Interferon gamma. These growth factors are produced by various cells responsible for wound healing for paracrine and autocrine signaling of the cluster of cells (Steed [1997\)](#page-80-0).

# 5.5 Cell–Cell and Cell–ECM Interactions

Intercellular adhesion and cell–ECM interaction are responsible for the morphological change in the tissue. Extracellular domain of Cadherin receptor is significant for intercellular adhesion (Shapiro and Weis [2009\)](#page-79-0). Heterodimeric integrin receptor helps the cell to interact with the ECM. Integrin after interacting with ECM generates mechanical and chemical signals. ECM fibers also control the migration of cells and provide the directional cues. When the cluster of cells is migrating, cells present in the outermost receives the mechanical cues. Cadherins promote the signaling for the actin polymerization and also form adherent junctions, which maintain the integrity of the cluster of cells. Inactivation of Cadherins alters the collective cell dynamics (Shapiro and Weis [2009](#page-79-0)). If cadherins are inactivated and Integrins show the active binding to ECM, this will result in the breakdown of cluster and random movement of cells will be observed.

Cadherin plays a significant role in cell chemotaxis and interprets the chemical cues. Cadherin is required for the cell polarization and transmits the signal to the cells present inside the cluster. The cells present outside in the cluster are responsible for the direction and migration path. These cells adapt the matrix in 3D and produce MMPs, which cleaves the proteins present in ECM and make a path for the migration of cells.

Once the cells start migrating from the cluster, the cells present inside are polarized and push the cells from interior of the cluster for the movement. Actomyosin contraction along with focal adhesion maturation at the leading edge of the cells enables the cells to contract from the rear edge. Further, the signal is transmitted to the cells present inside the cluster from outside and activation of small GTPase protein belonging to RHO family results in the activation of actomyosin. This allows cells to contract themselves at the rear edge.

Mechanosensing is necessary for the cells which are present inside a cluster. During cell migration, cells sense the forces exerted by the preceding cells. Cells sense the physical properties through their microenvironment via focal adhesions and adherens junctions. Mechanotransducers are the key protein, which help cells in mechanosensing. Mechanotransducers experience a conformational change after extending, uncovering a new protein connection domain and initiating biochemical flagging, which thus can adjust the strength of adhesion (Das et al. [2015\)](#page-78-0). Under tension,  $\alpha$ -catenin experiences a conformational change which brings about expanded junctional steadiness (Yonemura [2011\)](#page-80-0). At the time a fraction of cells can participate in the pulling force and stop the cluster to migrate. There can be "tugof-war" between the cells in the cluster during migration which can even break the focal adhesion and junction between the cells. This suggests that cells present outside the cluster are not solely responsible for the movement. The individual cells present inside the cluster sense gradient and chemotaxis and determine the polarity of the cluster. If the size of cluster is small, cells have the freedom to polarize in any direction and can show migration in different directions.

Cells change direction during migration upon collision with other cells where the cell–cell contacts induce inhibitory actions over migratory cells (top). This leads to change in cytoskeletal rearrangement ultimately leading to change in cell polarity and migration (bottom). Many membrane resident molecules interact at cell–cell contact sites triggering the activation of reaction cascades ultimately activating RHOA activation and RAC inhibition. Opposite phenotype happens on the leading edge of cells, i.e., RAC is activated, microtubules and actin machinery are organized preparing cells for protrusions leading to directed cell migration. Adapted and modified from Mayor and Etienne-Manneville ([2019\)](#page-79-0).

### 6 Factors in Wound Healing Process (Fig. [5\)](#page-75-0)

## 6.1 Nutrition

It is well known that nutrition plays a significant role in wound healing. In 1747, James Lind, a Scottish Surgeon showed that wound repair is enhanced by taking citrus fruits. Angiogenesis and collagen deposition are reduced, which affects the fibroblast function in the malnutrition state of the organism. Vitamin A, Carbohydrates, and omega-3 fatty acids play a vital role during wound healing (Campos et al. [2008\)](#page-78-0). Carbohydrates being the source of energy for the cell play an essential role in repairing the wound. Glucose is the major energy source required for the organism

<span id="page-75-0"></span>

which produces ATP. ATP provides energy required for angiogenesis and formation of new tissue in wound healing.

Proteins are one of the major factors which affect the wound healing process. Fibroblast proliferation, collagen synthesis, wound remodeling can be altered by the deficiency in proteins. In addition, protein deficiency will also alter the activation of immune cells. There are multiple amino acids such as arginine, proline, and lysine, which play a vital role in collagen synthesis which provide the outcome as wound healing.

Vitamin A promotes wound healing process by an increased proliferation of fibroblasts and collagen synthesis. Vitamin C is also important for the wound healing process as its deficiency leads to improper immune response, which increases the risk of wound infection. Vitamin C deficiency may lead to decreased angiogenesis and increase in capillary fragility.

### 6.2 Hypoxia

As the local vascular supply is disrupted in wound, they become hypoxic to some extent. For re-epithelization, sufficient oxygen is required which induces wound healing. The elder patients going through surgery or having peripheral vascular disease have bad healing capacity whereas hyperbaric oxygen induces wound repair. However, oxygen is also essential for the deposition of collagen in ECM as it acts as a substrate for the hydroxylation of proline and lysine amino acids. It has also shown that proper supply of oxygen reduces the risk of wound infection (Kurz et al. [1996\)](#page-78-0).

# 6.3 Smoking

Smoking reduces the fibroblast migration and proliferation and also affects the immune system function, downregulation and collagen deposition. In addition, smoking shows effects like chemotaxis, migration dysregulation and oxidative bactericidal mechanism which results in poor wound healing (Sørensen [2012](#page-80-0)).

### 6.4 Stress

Many diseases such as cancer, diabetes, cardiovascular disease are linked to stress. Delayed wound healing is shown in the student going under academic's stress such as examination. Stress leads to anxiety and depression. Due to the anxiety and depression, a person can get into poor sleep patterns, improper eating habits, less exercise, and sometimes consume alcohol. Further, in stressed state, there is a change in concentration of adrenal and pituitary hormones. These conditions play a negative role during wound repair.

### 6.5 Diabetes

Diabetes dysregulates the cellular function such as defect in chemotaxis of leukocyte, phagocytosis, impaired T-Cell immunity, and dysfunction in fibroblasts and epithelial cells which affect wound healing capacity. In addition, Neuropathy caused by diabetes can also affect the healing of the wound (Gary Sibbald and Woo [2008\)](#page-78-0). VEGF is required for the wound healing process. However, during diabetic state, VEGF concentration is reduced which can impair wound healing process (Quattrini et al. [2008](#page-79-0)).

### 6.6 Age

Wound healing capacity is inversely proportional to the age, i.e., with an increase in age, wound healing capacity decreases, and vice versa. This is due to the altered inflammatory response and delayed T-cell activation and alteration in the production of chemokines. It has been shown that if an aged person performs regular exercise, wound healing can be fast due to the production of anti-inflammatory molecules due to exercise (Keylock et al. [2008](#page-78-0)).

# 7 Conclusions

Complete understanding of mechanisms involved in wound healing has direct implications in clinical relevance. The primary focus for epithelial cells is to migrate collectively and restore the barrier generated or broken during wounds. Therapeutic approaches can only be developed once we fully uncover the molecular mechanisms underlying different aspects of collective cell migration at the wound site. One special focus is on growth factors that stimulate or enhance the migration of cells. Possible perspective therapeutics might involve the incorporation of cell migration enhancing growth factors in bandages and applied at the site of wound that would promote cell migration of epithelial layers to heal the wounds. For example, applying prostaglantin E2 (PGE2) on mouse skin wound enhanced the cell migration leading to better wound healing efficiencies.

Multiple fundamental cellular processes in development, health, physiology, and disease involve largely collective cell migration. Despite tremendous progress in dissecting mechanisms of it our knowledge of collective cell migration still remains limited. The larger questions which will involve the integrated approaches would be how various signals—biological, chemical, physical, mechanical are integrated and regulate cellular movements. What makes or keeps the leader cells as leaders in terms of cytoskeletal machinery and membrane organization. What kind of forces operate at different layers of cells and how are they regulated during the process of wound healing. What makes cells behave differently in collective migration than individual migrations. The kind of questions can be answered by better coordination between experimental biologists and mathematical modelling of the same to dissect out the patterns and parameters involved in cell migration leading to wound healing. Collective cell migration has been recognized to play pivotal roles in processes like tissue regeneration, morphogenesis, and disease biology with focus on cancer biology. Understanding the molecular mechanisms and ground rules in wound healing will not only help us understand the process better, but we can apply those observations in designing better therapeutics for the same.

Acknowledgments We thank all the members of DB lab for critical inputs over this review. DB thanks IITGN for startup grant and SERB-DST for Ramanujan fellowship. CM, SK, AH thank MHRD for research fellowships.

Conflict of Interest The authors declare no conflict of interest.

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# Part II Natural Products in the Management of Infected Wounds

Natural Products as Wound Healing Agents



Eman A. Khalil, Sara S. Abou-Zekry, Diana G. Sami, and Ahmed Abdellatif

# 1 Introduction

Chronic wounds are of increasing importance due to the economic burden of prolonged patient care and associated cost. Poor circulation and oxygenation due to venous and arterial insufficiency are major contributing factors to the incidence of chronic and non-healing wounds (Emanuelli et al. [2016;](#page-95-0) Jhamb et al. [2016;](#page-96-0) Guest et al. [2018\)](#page-96-0). Diabetes and its complications are other precipitating factors for chronic wounds. Pressure ulcers or Bedsores are especially a problem for immunecompromised, elderly and bedridden patients leading to costly and prolonged care.

With a cost above USD10 billion annually (Raghav et al. [2018](#page-98-0)), there is a considerable need for effective wound care products worldwide, especially in developing countries where the healthcare systems are struggling to cope with other health problems. Therefore, wound management and prevention remains a challenge for healthcare (Sibbald et al. [2012](#page-98-0)). Inappropriate use of antibiotics causes antimicrobial resistance which often leads to poor wound healing, chronicity, and poor general condition. With the rise of bacterial resistance, natural products provide an excellent alternative to commercial antibiotics and other traditional wound care products.

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<sup>©</sup> The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2021 P. Kumar, V. Kothari (eds.), Wound Healing Research, [https://doi.org/10.1007/978-981-16-2677-7\\_3](https://doi.org/10.1007/978-981-16-2677-7_3#DOI)

### 2 Wound Management

Preventive actions are essential to prevent the incidence of chronic wounds in diabetic and bedridden patients. The prevention of chronic wounds includes proper skincare and nutrition as well as awareness of healthcare workers to ensure proper patient mobility to prevent pressure ulcers (Langemo et al. [2015](#page-97-0)).

Debridement and cleaning of necrotic tissues from the wound to decrease infection are usually performed mechanically or biologically. Enzymes used in biological debridement may cause inflammation, and surgical techniques often remove both healthy and infected necrotic tissues, therefore, slowing the healing process (Falabella and Chen [2009](#page-95-0)). In some cases, larvae are used for maggot debridement, which removes only necrotic tissues. Skin grafts are sometimes used to cover resistant ulcers with healthy tissue (Han and Ceilley [2017\)](#page-96-0). Tissue substitutes have gained interest recently due to their availability, biodegradability to promote healing (Han and Ceilley [2017](#page-96-0); Maarof et al. [2016](#page-97-0)). The drawback of these scaffolds is their high cost (Han and Ceilley [2017\)](#page-96-0).

Several growth factors are sometimes used to promote tissue regeneration and wound healing. Platelet-derived growth factor (PDGF), epidermal and fibroblast growth factors, show promising results (Barrientos et al. [2014\)](#page-95-0). Hyperbaric oxygen, negative pressure, and Vacuum-Assisted Closure are non-invasive techniques used to enhance blood flow and oxygenation in the wound area to accelerate healing (Nain et al. [2011\)](#page-97-0). These devices are noisy and expensive and are not recommended for patients on an anticoagulant.

The first line of treatment for wounds should be topical treatment with antibiotics. The chronic use and abuse of antibiotics, especially in low-income countries, leads to bacterial resistance and complicates wound care (Tsourdi et al. [2013\)](#page-99-0). The topical application of drugs is useful in wound healing as it provides a higher concentration of the active ingredient at the wound site. Unfortunately, wound covering and multiple drug applications are required.

Different types of dressings are used for wound treatment; the choice of the kind of wound dressing hinge on the location and status of the wound. Vaseline gauze is cheap but may cause damage to the granulation tissue due to the need to frequently change the dressing, films are occlusive and retain moisture. Hydrocolloids cannot be used on infected wounds, and hydrogels are commonly used on dry wounds. Other synthetic polymers such as foams and hydro-fibers minimize trauma to the wound and have an absorptive capacity to remove exudate (Han and Ceilley [2017\)](#page-96-0).

Antibiotic resistance and the cost associated with synthetic dressings can contribute to poor healing and chronic wounds. Many natural products are available at a reasonable cost. They are commonly used by folk medical practitioners in different cultures may provide an alternative to synthetic dressings and commonly used antibiotics. Below we discuss some examples of these natural products.

### 3 Natural Products for Wound Care

### 3.1 Phytotherapy

Natural medicinal plants are widely used topically for wound healing. Ginkgo, Green tea, Aloe vera, Ginseng, and olive oil, as well as other plants, are effective in wound healing (Pazyar et al. [2014\)](#page-98-0). Phytochemicals help in treating inflammatory conditions and might aid in skin tissue regeneration. Plant bioactive components (phytochemicals) may improve tissue regeneration by removing oxidative stress and reducing inflammation by inhibition of Nuclear Factor Kappa B (NF-κB). More research is needed to illustrate the molecular targets of such active components and the development of effective wound care formulations (Shah and Amini-Nik [2017\)](#page-98-0). We will discuss how some phytochemicals could help in wound healing.

Turmeric from the rhizome of Curcuma longa. Turmeric has antioxidant, antiinflammatory, antidiabetic, antimicrobial, and wound healing potential (Gupta et al. [2012\)](#page-96-0). The main component of turmeric is curcumin, which is useful in wound healing (Sami et al. [2020](#page-98-0)).

Curcumin inhibits arachidonic acid metabolism and downregulates lipoxygenase, Cyclooxygenase 2, and inducible nitric oxide synthase (iNOS) enzymes (Rao [2007\)](#page-98-0). As a result, curcumin has anti-inflammatory and anti-oxidative properties; and prevents oxidative damage in human keratinocytes and fibroblasts. Proteases in curcumin might aid in stopping bleeding, and it could be a potential natural therapy to control severe pain associated with burns (Cheppudira et al. [2013](#page-95-0)). Further studies are needed to reveal the specific molecular targets of different Turmeric extract components.

Turmeric is used for many skin conditions, such as psoriasis. Wound dressing made from turmeric is effective as antibacterial, anti-inflammatory, and promotes rapid wound healing in diabetic and non-diabetic animals (Meizarini et al. [2018](#page-97-0)). It also accelerated the healing of alveolar osteitis after tooth extraction (Lone et al. [2018](#page-97-0)).

Oregano is an essential oil extracted from Origanum vulgare family Lamiaceae (Olmedo et al. [2014\)](#page-98-0). Oregano contains mainly phenol isomers carvacrol and thymol, as well as their precursor monoterpenes  $γ$ -terpinene and  $p$ -cymene. Oregano shows antimicrobial, antifungal, anti-inflammatory, and antioxidant effects (Boateng and Catanzano [2015;](#page-95-0) Boateng and Diunase [2015](#page-95-0)).

Pomegranate juice and peel contain a considerable amount of bioactive polyphenols, such as tannins, flavanols, and gallic acid. The peel which represents half of the fruit weight has higher polyphenolic compounds with promising wound healing potential (Ismail et al. [2012;](#page-96-0) Mo et al. [2014](#page-97-0)).

Pomegranate peel extract gel is effective as a wound healing agent in experimental animals. Rats treated with 5.0% gel showed wound closure in 10 days, while those treated with lower concentration (2.5%) took longer. While control rats' wounds healed in 16–18 days (Chidambara Murthy et al. [2004\)](#page-95-0). Pomegranate peel based-ointment improved wound closure and epithelialization (Hayouni et al. [2011\)](#page-96-0). In diabetic rats, pomegranate gel promoted rapid healing, collagen regeneration, fibroblast infiltration, vascularization, and epithelialization (Yan et al. [2013\)](#page-99-0).

Overall, the healing promoting potential of plant extracts was been verified in animal models of wound healing. The safety and efficacy of these plant extracts must be verified in human-controlled studies to be able to accept them as a standard of care in wound treatment. Such plants improved collagen deposition, epithelialization, and vascularization in diabetic and non-diabetic animal models. The list of plants is extensive (for a full review see Agyare et al.  $(2016)$  $(2016)$ ). Here are some examples; Catharanthus roseus (Nayak and Pinto Pereira [2006\)](#page-97-0), Calotropis gigantea (Deshmukh et al. [2009](#page-95-0)), Ageratum conyzoides (Oladejo et al. [2003](#page-98-0)). Chromolaena odorata (Koca et al. [2009](#page-97-0)), Kigelia Africana (Agyare et al. [2013a](#page-94-0)), Carica papaya (Higashimori et al. [2005;](#page-96-0) Nayak et al. [2007;](#page-98-0) Gurung and Skalko-Basnet [2009\)](#page-96-0). Combretum mucronatum (Kisseih et al. [2015](#page-97-0)), Jatropha curcas (Shetty et al. [2006\)](#page-98-0), Occimum sanctum (Goel et al. [2010](#page-95-0)). Table [1](#page-86-0) shows a summary of some other plants used in wound models.

### 3.2 Apitherapy (Honey Bee Products) for Wound Healing

#### 3.2.1 Honey

Honey is used in folk medicine for burns and wounds due to its remarkable benefits. Honey contains many bioactive components such as vitamins, amino acids, and enzymes (Bagde et al. [2013](#page-95-0)). Honey differs in its chemical and biological properties depending on the environment, season, and type of plants that bees feed on. Honey is considered to be a better choice in the treatment of burns and pressure ulcers relative to the amniotic membrane, silver sulfadiazine, and nitrofurazone dressings (Günes and Eser [2007](#page-96-0)).

Honey-based wound dressings possess potent antimicrobial activities on both Gram-positive and negative bacteria (Fawole et al. [2012;](#page-95-0) Sarhan and Azzazy [2015;](#page-98-0) Abou Zekry et al. [2020](#page-94-0)). The antimicrobial effect of honey is due to the presence of methylglyoxal (MGO) (Adams et al. [2008;](#page-94-0) Mavric et al. [2008;](#page-97-0) Israili [2014\)](#page-96-0). Honey also lowers the pH of the wound area, which provides skin fibroblasts with better growth conditions, and hinders bacterial growth (Lee et al. [2011;](#page-97-0) Oryan et al. [2016;](#page-98-0) Gethin et al. [2008\)](#page-95-0). Honey also maintains its antibacterial activity in the presence of catalase, which is usually present in chronic wounds. Honey also has an antiinflammatory role, and it promotes angiogenesis (Molan [2006](#page-97-0); Tonks et al. [2007\)](#page-99-0). Manuka honey also stimulates monocyte toll-like receptor 4, which leads to the activation of TNF-α, and interleukins 1-β, and 6. Such factors are critical for wound repair and tissue regeneration (Tonks et al. [2007](#page-99-0)).

Animal studies showed that wounds treated with honey have improved epithelialization, vascularization, and granulation tissue formation (Haryanto et al. [2012;](#page-96-0) Alizadeh et al. [2011](#page-94-0)). Folk medicine and clinical studies proved the effectiveness of honey over the years. Scientific studies also proved the benefits of honey as an analgesic, and in reducing swelling, and skin discoloration (Vijaya and Nishteswar [2012;](#page-99-0) Nikpour et al. [2014](#page-98-0)).

Plant	Wound model	Outcome	
Abies cilicica, and Cedrus libani	Incision wounds in rats, treated with 1% ointment Excision wound in mice	Improved tensile strength at day 10, 33 $-40\%$ compared to control $2\%$ Enhanced wound closure	Tumen et al. (2011)
Blumea balsamifera	Excision wound in mice treated with 10 and 20% in olive oil daily	Enhanced wound healing Reduced the inflammatory cells and increased collagen formation	Pang et al. (2014)
Centella asiatica	$0.2\%$ topical solution of asiaticoside in Streptozotocin- diabetic rats 1 mg/kg oral in guinea pigs excision wounds	Significant increase in hydroxyproline, and tensile strength Enhanced collagen deposition and epithelialization	Agyare et al. (2013a)
Croton adamantinus	Excision wound in mice. treated with 1% for 3 days	Enhanced wound closure, and epithelialization. Improved collagen deposition	<b>Ximenes</b> et al. $(2013)$
Croton zehntneri	Excision wound in mice treated with 20% oil twice daily	Improved wound healing Enhanced fibroblasts and col- lagen deposition	Cavalcanti et al. (2012)
Cymbapogon nardus	Excision wound in diabetic mice, treated with essential oil	Accelerated wound healing Reduced inflammatory cytokines	Kandimalla et al. (2016)
Eucalyptus globulus	Excision wound in rats treated with $16.6\%$ nano-emulsion	Improved wound healing	Sugumar et al. $(2014)$
Justicia flava	Excision wound treated with 7.5% extract	Improved angiogenesis, collagenation, and re-epithelialization	Agyare et al. (2013b)
Lannea welwitschii	Excision wound in rats treated with 7.5% extract	Improved healing and tensile strength Enhanced angiogenesis, colla- gen deposition, and wound re-epithelialization	Agyare et al. (2013b)
Lavandula angustifolia	Excision wound treated with $1\%$ essential oil	Decreased wound area in the early phase of healing Increased transforming growth factor B, and myofibroblasts	Mori et al. (2016)
Lavandula spicata	Excision wound in rats, treated with lavender ointment 4%	Enhanced wound closure Well organized dermis and faster keratinization	Ben Djemaa et al. $(2016)$
Origanum vulgare and Curcuma longa	Excision wound in diabetic rats, treated with ointment and nanomaterial formulations	Enhanced wound healing, lower infection, improved col- lagen deposition by day 10 compared to day 21 in control	Sami et al. (2020)
Origanum <i>vulgare</i> and Salvia triloba	Incision wound in rats and excision wound in mice, treated once/day with	Improved tensile strength at day 10, 45% compared to $14\%$ in control	Suntar et al. (2011)

<span id="page-86-0"></span>Table 1 Plant extracts used in wound healing models

(continued)

Plant	Wound model	Outcome	
	ointment, Origanum 12.5%, and Salvia 7.5%, with olive oil	Accelerated wound healing and re-epithelialization	
Pinus halepensis and <i>Pinus</i> pinea	Excision wound in mice, treated with 1\% ointment Incision wound in rats treated with $1\%$ ointment	40–54% better wound contrac- tion 80–125% higher collagen con- tent after 7 days of treatment Better tensile strength at 10 days	Suntar et al. (2012)
Plectranthus tenuiflorus	Excision wound in rats, treated with 10% solution	Complete re-epithelialization by day 14 and healing by day 18	Khorshid et al. (2010)
Pupalia lappacea	Excision wound treated with 1\% to 20\% extract ointment	Increased collagen deposition, re-epithelialization, granula- tion tissue formation, and angiogenesis	Udegbunam et al. $(2014)$
<b>Rosmarinus</b> officinalis	Excision wound in diabetic rats treated with oil for 3 days	Enhanced wound closure Dense well organized collagen Higher vascularization, and extracellular matrix deposition by day 15	$Abu-Al-$ <b>Basal</b> (2010)

Table 1 (continued)

Modified from Perez-Recalde et al. ([2018\)](#page-98-0)

#### 3.2.2 Bee Venom

Bee Venom is medically used for many conditions such as chronic pain, arthritis, tumors, and wound healing. It also has antimicrobial and anti-inflammatory properties (Abou Zekry et al. [2020](#page-94-0); Lee et al. [2005](#page-97-0), [2010](#page-97-0)).

The efficacy of Bee Venom on wound healing has been proven on deep wounds on diabetic and non-diabetic mice (Han et al. [2011;](#page-96-0) Hozzein et al. [2018\)](#page-96-0) using traditional gauze dressing as well as in rats using nanomaterial dressing (Abou Zekry et al. [2020\)](#page-94-0). Collagen deposition and wound closure rates were significantly improved by bee venom. Bee venom also reduced fibronectin, transforming growth factor-β1 (TGF-β1), and vascular endothelial growth factor (VEGF) and promoted healing by inhibiting fibrosis, and increasing epithelialization. Bee venom could be viewed as a potential treatment for enhancing wound healing, especially in diabetic wounds (Hozzein et al. [2018\)](#page-96-0).

#### 3.2.3 Propolis

Propolis or bee glue is the material used by bees for the construction of the beehive. It is composed mainly of resin and wax (80%), and essential oils (10%) (Gomez-Caravaca et al. [2006](#page-96-0); Huang et al. [2014\)](#page-96-0). It is commonly used in skincare products due to its antiinflammatory, antioxidant, and antibacterial properties. It is useful in the treatment of acne vulgaris, and it improved wound healing in human diabetic ulcers and increased collagen content in wounds significantly (Henshaw et al. [2014](#page-96-0); Olczyk et al. [2014\)](#page-98-0).

#### 3.2.4 Royal Jelly

Royal jelly is a jelly-like secretion of the worker bees that contains proteins (18%), carbohydrates (15%), and lipids (3–6%). Royal jelly contains many bioactive compounds (Sugiyama et al. [2012\)](#page-99-0). It has proven its effectiveness in both wound-healing models (Kim et al. [2010\)](#page-97-0), and in diabetic foot ulcers, possibly due to its effect on the wound blood vessels and collagen regeneration, as well as its antimicrobial activity (Siavash et al. [2015\)](#page-98-0).

### 4 Marine Extracts for Wound Care

The ocean is rich in an extraordinarily diverse range of natural bioactive compounds. Marine organisms and their bioactive compounds are parts of thousands of promising pharmaceutical substitutes. Many studies confirmed that marine extracted macromolecules combined with bioactive molecules or synthetic polymers could promote skin healing and tissue regeneration (Chandika et al. [2015](#page-95-0)). Compounds such as chitin, fucoidan, collagen, carrageenan, alginate, chitosan, and other molecules have been successfully used to improve wound healing (Yeo et al. [2012\)](#page-99-0).

### 4.1 Marine Collagen

*Marine collagen* is an abundant protein in the body of vertebrates; it also forms more than 50% of the skin and plays a crucial role in providing a scaffold for different cell types. It is involved in cell proliferation, differentiation, migration, and attachment (Anand et al. [2013;](#page-94-0) Kasoju et al. [2013\)](#page-97-0). Collagen can be obtained from many sources, which, unfortunately, carries a risk of potential disease spread (Song et al. [2006\)](#page-99-0).

Recently, collagen from marine organisms has grabbed the attention in tissue regeneration development due to its high potential in clinical applications plus its low risk in the transmission of diseases to human recipients (Hoyer et al. [2014\)](#page-96-0). Salmon collagen showed significant wound healing properties in research studies (Shen et al. [2008\)](#page-98-0). Collagen films implanted in rats improved the morphological appearance of the rat dermis and significantly enhanced new blood vessel formation. Other marine organisms (Fig. [1](#page-89-0)) are promising sources of marine collagen.

### 4.2 Marine Chitin

Marine chitin and Chitosan are abundant in nature. The exoskeleton of marine crustaceans (Fig. [2\)](#page-90-0) is the major source of chitin. Byproducts of the food industry

<span id="page-89-0"></span>

Fig. 1 Marine sources of collagen. (a) Salmo salar (Atlantic Salmon), image source [https://www.](https://www.alibaba.com/product-detail/Scottish-Salmon-salmo-salar_137741088.html) [alibaba.com/product-detail/Scottish-Salmon-salmo-salar\\_137741088.html](https://www.alibaba.com/product-detail/Scottish-Salmon-salmo-salar_137741088.html) (b) Oncorhynchus keta (Chum salmon), image source https://knowyour.fi[sh/resources/species-pro](https://knowyour.fish/resources/species-profiles/chum-salmon)files/chum-salmon (c) Stomolophus meleagris (jellyfish), image sources [https://www.carolinanature.com/pix/jelly](https://www.carolinanature.com/pix/jellyfish0394.jpg) fi[sh0394.jpg](https://www.carolinanature.com/pix/jellyfish0394.jpg) (d) Stichopus Japonicus, image source [https://www.shutterstock.com/search/](https://www.shutterstock.com/search/stichopus+japonicus) [stichopus+japonicus](https://www.shutterstock.com/search/stichopus+japonicus) (e) Lates calcarifer, image source [http://www.marinespecies.org/aphia.php?](http://www.marinespecies.org/aphia.php?p=taxdetails&id=278957) [p](http://www.marinespecies.org/aphia.php?p=taxdetails&id=278957)=[taxdetails&id](http://www.marinespecies.org/aphia.php?p=taxdetails&id=278957)=[278957](http://www.marinespecies.org/aphia.php?p=taxdetails&id=278957)

such as crab and shrimp shells are readily available and provide an endless source of chitin. Chitin and chitosan are effective in wound healing, and as antimicrobials, and they were used with other polymers for wound dressing and skin tissue engineering (Hu et al. [2007](#page-96-0); Kurita [2006](#page-97-0); Nwe et al. [2009\)](#page-98-0). Hydrogel wound dressing made from crab shell chitin enhanced the healing environment, and blood vessel formation, and increased granulation tissue in deep wounds (Murakami et al. [2010](#page-97-0)).

### 4.3 Marine Fatty acids

Marine fatty acids, are primary precursors of several mediators and take part in the synthesis of membrane phospholipids. Therefore, they have essential functions in inflammation, chemotaxis, hemostasis, and stimulating epithelial cell proliferation (Ziboh et al. [2000;](#page-99-0) Calder [2006](#page-95-0)).

Marine extracted fatty acids (Fig. [3\)](#page-90-0) from different sources, were examined for their wound healing potential. Fatty acids extracted from mollusks (Mytilus galloprovincialis) and gastropods (Rapana venosa) (Badiu et al. [2010](#page-95-0)), and sea cucumber (Stichopus chloronotus) (Fredalina et al. [1999a\)](#page-95-0), showed

<span id="page-90-0"></span>

Fig. 2 Marine sources of chitin. (a) Snow Crab, image source [https://chefs-resources.com/seafood/](https://chefs-resources.com/seafood/shellfish/crab-species/snow-crab/i) shellfi[sh/crab-species/snow-crab/](https://chefs-resources.com/seafood/shellfish/crab-species/snow-crab/i) (b) Squid bone, image source [https://en.wikipedia.org/wiki/](https://en.wikipedia.org/wiki/Cuttlebone#/media/File:Cuttlefish-Cuttlebone2.jpg) [Cuttlebone#/media/File:Cuttle](https://en.wikipedia.org/wiki/Cuttlebone#/media/File:Cuttlefish-Cuttlebone2.jpg)fish-Cuttlebone2.jpg (c) Shrimp Shells, image source [https://energis.](https://energis.com.au/2015/12/shrimp-shells-to-solar-cells/#post/0) [com.au/2015/12/shrimp-shells-to-solar-cells/#post/0](https://energis.com.au/2015/12/shrimp-shells-to-solar-cells/#post/0) (d) Fungal Mycelium, image source [https://](https://alexhyde.photoshelter.com/image/I0000Pm3ZvENDy.k) [alexhyde.photoshelter.com/image/I0000Pm3ZvENDy.k](https://alexhyde.photoshelter.com/image/I0000Pm3ZvENDy.k)



Fig. 3 Marine polyunsaturated fatty acids (PUFAs) extracted from gastropods, sea cucumber, and mollusks. (a) Mytilus galloprovincialis (b) Rapana venosa, image source [https://www.](https://www.flickr.com/photos/117184384@N07/29527502712)flickr.com/ [photos/117184384@N07/29527502712](https://www.flickr.com/photos/117184384@N07/29527502712) (c) Stichopus chloronotus (Greenfish Sea Cucumber), image source https://www.fl[ickr.com/photos/animaliaproject/8375094218](https://www.flickr.com/photos/animaliaproject/8375094218)

anti-inflammatory effects and reduced the healing time, as well as, increased vasculature and collagen formation in wound models.

Sea cucumbers are cylindrical echinoderms rich in fatty acids and are unique for their regenerative power. There are approximately 1500 species of these marine invertebrates, which are commonly used for traditional medicinal purposes in Southeast Asia. Several animal studies showed that wounds treated with topical application of sea cucumber extract showed improved healing in comparison to controls (Fredalina et al. [1999b;](#page-95-0) Masre et al. [2012\)](#page-97-0).

### 4.4 Marine Alginate

Marine Alginates are polysaccharides extracted from brown seaweed, such as Sargassum, Eclonia maxima, Ascophyllum nodosum, Macrocystis pyrifera, and Laminaria japonica (d'Ayala et al. [2008;](#page-95-0) George and Abraham [2006\)](#page-95-0). Alginate wound dressings are well accepted in wound management, due to their antibacterial properties, ability to maintain a moist physiological environment, facilitating wound healing by absorbing excess wound fluid. Additionally, alginates can be manufactured in different forms such as films, foams, gels, wafers, and nanofibers (d'Ayala et al. [2008;](#page-95-0) Gombotz and Wee [1998\)](#page-96-0). They are also used in deep wounds as an alternative to grafting.

### 5 Fish Skin Grafting

Split Skin Grafts are one of the standards of care for deep wounds and full-thickness burns (Puri et al. [2016\)](#page-98-0). When autologous grafts are a problem due to the large size of burns, doctors often rely on cadaveric and pigskin for temporary coverage. Unfortunately, cadaveric and pigskin grafts carry the risk of infection and immune response (Moss et al. [1994](#page-97-0)).

Acellular fish skin is an alternative source of xenograft, providing a safe and effective, skin substitute, (Yang et al. [2016;](#page-99-0) Baldursson et al. [2015\)](#page-95-0). Acellular fish skin has also been used in chronic wounds (Yang et al. [2016\)](#page-99-0). The skin of some species (e.g., Atlantic cod) is rich in omega-3 polyunsaturated fatty acids, and eicosapentaenoic and docosahexaenoic acids, which are effective anti-inflammatory and antibacterial agents (Magnusson et al. [2015\)](#page-97-0). Fish skin is an ideal choice in treating wounds where cadaveric skin is not available due to its availability and the minimal processing required in the preparation of fish skin to maintain its threedimensional structure (Alam and Jeffery [2019](#page-94-0)).

### 6 Modern Delivery Systems for Natural Products

Several new delivery systems were developed to improve the therapeutic potential of natural products, such as including hydrogels, nano-scaffolds, and nanoparticles (Johnson and Wang [2015](#page-96-0); Sarabahi [2012](#page-98-0)). Hydrogels are water-based gels that are effective in rehydrating necrotic tissue allowing its removal without damage to neighboring healthy tissues (Sarabahi [2012](#page-98-0)). Hydrogels may be conjugated or loaded with other bioactive materials and other nano-formulations such as curcumin and oregano (Sami et al. [2020](#page-98-0)) as well as other material such as hyaluronic acid (HA) (Sharma et al. [2018\)](#page-98-0), these hydrogels were effective in reducing infection and improving wound healing but were inferior to ointment preparations in animal studies (Sami et al. [2020\)](#page-98-0).

### 7 Nanomaterial in Wound Care

Nanomaterials provide an excellent addition to wound care products as they enhance drug penetration, provide controlled release, increase their stability and protect them from being degraded; therefore, minimal amounts of drugs can be used to avoid toxicity (Goyal et al. [2016;](#page-96-0) Kalashnikova et al. [2015\)](#page-96-0). Nanomaterials for wound care are superior to conventional dressings, due to their physical characteristics such as the large surface-to-volume ratio, and high porosity, which enhance exudate absorption and achieve better wound permeation (Miguel et al. [2018\)](#page-97-0). Nanomaterials absorption through the skin is hypothesized to occur through inter and intracellular routes as well as via skin appendages (Fig. [4\)](#page-93-0) (Palmer and DeLouise [2016\)](#page-98-0). The only limitation of nanomaterials, either nanofibers or nanoparticles, is the cost and the difficulty of large-scale commercial production (Chen et al. [2018](#page-95-0)).

Chitosan is a widely used biopolymer for nanoparticle preparation due to its availability, biodegradability, and biocompatibility (Kamat et al. [2016](#page-96-0)). The antibacterial and antifungal nature of chitosan makes it a good wound healing agent (Katas et al. [2013](#page-97-0)). Chitosan nanoparticles are promising nanocarriers for drug delivery due to their safety as they are synthesized using non-toxic solvents (Agnihotri and Aminabhavi [2004](#page-94-0)). They also have high antimicrobial activity due to their spherical character and positive charge, which interacts with the negative charge of the bacterial cell membrane resulting in membrane disruption, and cell death (Divya et al. [2018](#page-95-0); Jayakumar et al. [2010\)](#page-96-0).

Nanofibers offer great advantages over current commercial dressings, as they have a structure similar to the extracellular matrix. Nanofibers may be loaded with growth factors and other bioactive materials for wound healing to promote angiogenesis, decrease infection, and accelerate wound healing rate (Rath et al. [2016\)](#page-98-0). Polyvinyl alcohol is a common chemical used for the synthesis of nanofibers due to

<span id="page-93-0"></span>

Fig. 4 Mechanisms of Nanoparticle penetration through the skin. Nanoparticles are absorbed based on the particle size, charge, morphology, and type of polymer used. Nanoparticles can penetrate the skin via the (1) Appendageal route, as hair follicles, sweat glands. (2) The intracellular pathway through Fig. 4 Mechanisms of Nanoparticle penetration through the skin. Nanoparticles are absorbed based on the particle size, charge, morphology, and type of polymer used. Nanoparticles can penetrate the skin via the (1) Appendageal route, as hair follicles, sweat glands. (2) The intracellular pathway through comeocytes (3) Intercellular route where particles pass between corneocytes. From Palmer and DeLouise (2016), open access Creative Common CC BY corneocytes (3) Intercellular route where particles pass between corneocytes. From Palmer and DeLouise [\(2016](#page-98-0)), open access Creative Common CC BY

<span id="page-94-0"></span>its proven biocompatibility (Abou Zekry et al. 2020; Sarhan et al. [2016;](#page-98-0) Puppi et al. [2011\)](#page-98-0). It is usually combined with many natural products to improve wound healing in human and animal studies (Sami et al. [2020;](#page-98-0) Abou Zekry et al. 2020; Sarhan et al. [2016\)](#page-98-0).

### 8 Conclusions

Folk medicine remains a rich source for potential wound healing products. In times of antibiotic resistance and economic difficulties, many societies around the world go back to their roots and use traditional natural products for wound care. Evidencebased biomedical research proved many of these natural products as effective wound healing products either in their raw form or as modern formulations. New drug delivery tools increase the local availability of the drug and enhance wound healing. Nanomaterials are promising tools of drug delivery for wound healing, however, they remain limited due to the high cost and difficulty of industrial-scale production of such nanomaterial. More clinical trials are needed to ensure the safety and efficacy of these products for human use in mainstream wound care. The challenge remains to identify, isolate, and characterize the active ingredients from these natural products, and to identify their mechanisms of action.

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# Wound Healing Agents from Natural Sources



Subramani Parasuraman and Pandurangan Perumal

# 1 Introduction

Wounds are injuries including cuts, scratches, scrapes, and punctured skin that break the skin or other tissues of the body. Wound healing is the body's response to injury in an effort to restore normal structure and function through regeneration and repair. The wound healing process starts in the moment of injury and comprises various steps including hemostasis, inflammation, proliferation, re-epithelialization, and angiogenesis. Wound healing can be accomplished by either a primary union or a secondary union.

Wound healing is a complex process. The failure in wound healing process leads to the progression of nonhealing chronic wounds. The wound healing process is affected by many factors including age and sex hormones, nutrition, oxygenation, stress, diabetes, obesity, infection, medications, alcoholism, and smoking and it leads to the development of chronic wounds.

The wound can be managed by hyperbaric oxygen therapy, debridement (removal of dead or inflamed tissue), dressing (films, gauze, hydrogel dressings, hydrocolloid dressings, dressings containing silver or alginates, and foam dressings), medications, ultrasound and electromagnetic therapy, negative pressure wound therapy, skin grafts, and patient education (Wound Care Treatment Methods; Cologne [2006\)](#page-135-0).

Anti-inflammatory agents, analgesics, antimicrobials agents, and hemostatic agents are the commonly used medications for the management of wounds. Natural

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<sup>©</sup> The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2021 P. Kumar, V. Kothari (eds.), Wound Healing Research, [https://doi.org/10.1007/978-981-16-2677-7\\_4](https://doi.org/10.1007/978-981-16-2677-7_4#DOI)

substances (e.g., silver, honey, and plant extracts) are also used for the management of the wound. Centella asiatica and Aloe vera are commonly known for their wound healing activity (Kumar [2017](#page-141-0)). But, in folk medicine, various plant extracts are used for the management of the wound which is not well documented. In this chapter, the importance of natural sources for the discovery of drug candidates for the management of wounds is discussed.

### 2 Drug

A World Health Organization (WHO) Scientific Group defined a drug as "any substance or product that is used or intended to be used to modify or explore physiological systems or pathological states for the benefit of the recipient" (World Health Organization [1972\)](#page-152-0).

### 3 Sources of Drugs

The drugs were discovered by trial and error method, until the end of the nineteenth century. Basically, drugs are obtained from plants, animals, marine organisms, microbiological, minerals, synthetic and semisynthetic sources, and recombinant deoxyribonucleic acid (r-DNA) technologies.

Plant sources of drugs are obtained from plant parts and plant phytoconstituents. Plant sources are considered the oldest sources. Medicinal plants are generally used as substances to treat or prevent diseases. The early documented records of herbal medicines dating back to 5000 years in India and China indicates the importance of medicinal plants in the healthcare system (Parasuraman [2018\)](#page-146-0). In ancient times, most drugs were derived from plants. Examples of plant parts that can be used are leaves, flowers, fruits, seeds, roots, barks, and stems. For example, digitoxin and digoxin are obtained from the leaves of *Digitalis purpurea* (Plantaginaceae) which are cardiac glycosides. Vincristine and vinblastine are the anticancer drugs obtained from the flowers of Vinca rosea (Apocynaceae). Strychnine is obtained from the seeds of Strychnos nux-vomica (Loganiaceae) which acts as a central nervous system stimulant. Reserpine is obtained from the roots of Rauwolfia serpentina (Apocynaceae) which is a hypotensive agent. Atropine is obtained from the bark of Atropa belladonna (Solanaceae) which is an anticholinergic (Rates [2001\)](#page-148-0).

In the aspect of animal sources, hormones play a very vital role in the treatment of diseases or deficiencies by replacement therapy. Insulin is the most commonly used hormone to treat diabetes mellitus to maintain the blood sugar level. Insulin is obtained from the pancreas of bovine or porcine (Aronoff et al. [2004](#page-133-0)). The cod liver oil contains high levels of omega-3 fatty acids, vitamin A and D which are obtained from the liver of codfish.

Mineral sources are obtained from some minerals including acids, bases, and salts such as potassium chloride. For example, iron therapy is used to treat anemia, kaolin and activated charcoal are used as antidiarrheal agent.

Synthetic drugs are chemically synthesized in the laboratory. Some of the drugs are obtained earlier from natural sources and nowadays synthesized in the laboratory. The main advantages of chemically synthesized drugs are that the quality can be controlled, the process is easier and cheaper, more safe and potent, and it is suitable for large-scale production. Examples of synthetic sources are meperidine (analgesic), diphenoxylate (antidiarrheal), and co-trimoxazole (antimicrobial). Semisynthetic drugs are normally obtained from natural drugs and their chemical structures are altered to improve their pharmacological properties. Examples of semisynthetic drugs are diacetylmorphine, ethinyl estradiol, ampicillin, and atropine bromide (Rates [2001\)](#page-148-0).

DNA recombinant technology involved restriction endonucleases enzymes that cleavage of DNA at specific sequences, and the gene of interest is coupled to rapidly replicating DNA. The new genetic combination has been inserted into bacterial cultures and allows the production of genetic material. For example, human insulin can be produced by using recombinant DNA technology by the modification of porcine insulin. Due to the variation in the amino acid chain of porcine and human insulin, the amino acids alanine of porcine insulin at the 30th position of the B chain is changed with threonine to convert it into human insulin (Khan and Firoz [2015\)](#page-140-0).

# 4 Current Perspectives on Drug Discovery from Natural Sources

The increase in the global population has overburdened the existing resources for the discovery of new drugs. The rise of new diseases and increasing resistance of microbes to the currently available drugs is also increasing at an alarming rate. Factors such as food habits, sedentary lifestyles, and changing environments have been attributed to change in the normal disease patterns. New pathways of tolerance are evolving and spreading globally, threatening our ability to manage widespread infectious diseases, resulting in prolonged sickness, disability, and death (Venkateskumar et al. [2019\)](#page-152-0). Hence, the discovery of new drugs or searching the new leads from natural sources is essential.

Globally, natural products are playing an important role in preventing and treating human disease. Natural product drugs are obtained from various sources including terrestrial microorganisms, marine organisms, terrestrial vertebrates, invertebrates, and terrestrial plants. Between 1981 and 2002, about 28% of the new chemical entities launched on the market are natural products or natural product-derived drugs. From 2000 to 2005, over 20 new drugs introduced onto the market were from natural sources (Chin et al. [2006](#page-135-0)). Nearly 75,000 higher plant species have been estimated to exist on Earth, and only 10% have been used in traditional medicine. Around 1–5% is tested for its biological activity and is considered to have a therapeutic value (Koparde et al. [2019\)](#page-141-0).

According to the World Health Organization (WHO), about 80% of the world's population is relying on plant-based systems of drugs for their primary healthcare

needs, and plants contribute 80% of the raw materials in the traditional medical system. The traditional medicine system accounts for 40% of all healthcare provided in China, and the usage of traditional medicine serves the demands of developed countries (Parasuraman [2018\)](#page-146-0). Globally, the market for and acceptance of herbal products has grown from day to day. The adverse effect of allopathic drugs contributes to a rise in the usage of herbal medications that led to the rapid growth of the herbal medicines industry.

There are many newly emerged diseases in these few decades such as acquired immune deficiency syndrome (AIDS), Ebola, and coronavirus disease 2019 and still do not have any cure for them. Tuberculosis is reemerged diseases that are resistant to chemotherapeutic agents that are available nowadays. However, synthetic chemists have failed to solve these health challenges. Thus, drugs from natural sources are another alternative way to solve these health challenges, as they are less costly, widely accepted, and less toxic when compared to allopathic medicine. The search for active constituents present in the plant began in the nineteenth century. Later many compounds were isolated from the plant including morphine, codeine, atropine, and digoxin. Many anticancer drugs are obtained from natural sources which include doxorubicin, etoposide, irinotecan, and vinblastine (Moshi [2005\)](#page-143-0).

The multidisciplinary study approach has provided an impetus to find specific pharmacophores as the site of action other than the extension of therapeutic armament and herbal therapy. Different approaches to herbal medicines contribute to further drug development efforts in both single molecules of drug and polyherbal formulation (Parasuraman and Perumal [2020\)](#page-146-0).

### 5 Natural Sources as Wound Healing Agents

### 5.1 Plant Sources of Wound Healing Agents

The use of plant and plant-based substances has a long history in the treatment of various diseases. Many plants are used for the treatment of wounds in folk medicine and many plants are experimentally tested for their wound healing activity. In Table [1,](#page-104-0) the plants which are screened for their wound healing activity are listed. The clinical efficacy of Ageratina pichinchensis (Asteraceae), Angelica sinensis (Apiaceae), Alchemilla vulgaris (Rosaceae), Aloe vera (Liliaceae), Calendula officinalis (Asteraceae), Lavandula stoechas (Lamiaceae), Mimosa tenuiflora (Leguminosae), Origanum vulgare (Labiatae), Radix astragali (Leguminosae), Rehmanniae radix (Scrophulariaceae), and Salvia miltiorrhiza (Lamiaceae) are reported elsewhere (Lordani et al. [2018\)](#page-142-0). The plants' secondary metabolites such as alkaloids, glucosinolates terpenoids, and phenolics possess wound healing activity.

(+)- $Epi$ - $\alpha$ -bisabolol: It is a sesquiterpene alcohol present in the leaves of *Lippia* dulcis (Verbenaceae), Peperomia galioides (Piperaceae), and Matricaria

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Table 1 List of the plants that are screened for their wound-healing activity Table 1 List of the plants that are screened for their wound-healing activity

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Table 1 (continued) Table 1 (continued)



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*chamomilla* (Asteraceae). Villegas et al.  $(2001)$  $(2001)$  isolated the  $(+)$ -*epi*- $\alpha$ -bisabolol from Peperomia galioides and reported its wound healing activity.  $\alpha$ -bisabolol is known for its antioxidant, anti-inflammatory, anti-infective, and antiplasmodial activities. And it also inhibits melanogenesis by lowering intracellular cyclic Adenosine monophosphate (cAMP) levels in dermal hyperpigmentation (Kamatou and Viljoen [2010\)](#page-140-0).

4-Hydroxybenzaldehyde (4-HBA): 4-HBA is phytoconstituent of *Gastrodia elata* (Orchidaceae) and it accelerates acute wound healing by promoting keratinocyte cell migration (Ha et al. [2000;](#page-139-0) Kang et al. [2017\)](#page-140-0).

Alkannins/Shikonins: Alkannins/shikonins are chiral-pairs of naturally occurring isohexenylnaphthazarins and found in the roots of *Alkanna tinctoria* (Boraginaceae), Onosma echioides (Boraginaceae), Lithospermum erythrorhizon (Boraginaceae), and Echium italicum (Boraginaceae). Alkannins/shikonins are present in more than 150 species that belong to genera of Alkanna, Arnebia, Echium, Lithospermum, and Onosma of the Boraginaceae family (Papageorgiou et al. [2008;](#page-146-0) Sagratini et al. [2008\)](#page-148-0). Karayannopoulou et al. ([2011\)](#page-140-0) and Nikita et al. [\(2015](#page-145-0)) reported wound healing activities of alkannins/shikonins in animal models. Alkannins/shikonins are known for their wound healing activity (Papageorgiou et al. [2008](#page-146-0)).

Arnebin-1: It is a naphthoquinone derivative from the plant root of *Arnebia nobilis* (Boraginaceae). Arnebia nobilis root extract is commonly used to treat wounds in India (Thangapazham et al. [2016\)](#page-151-0). It has a pro-angiogenic effect and promotes the wound healing process by increasing Endothelial nitric oxide synthase (eNOS), Vascular endothelial growth factor (VEGF), and Hypoxia-inducible factor 1-alpha (HIF-1 $\alpha$ ) expression levels in diabetic rats (Zeng and Zhu [2014](#page-153-0); Zeng et al. [2015](#page-153-0)).

Asiatic Acid: It is a phytoconstituent of edible plant *Centella asiatica* belongs to the Apiaceae family. Centella asiatica has been identified as a medicinal herb that finds traditional applications in the treatment of leprosy and wound. It was mentioned as a wound-healing plant in the French pharmacopeia in 1884 and has been used in ancient traditional Chinese culture for the past 2000 years, and also has a history connected with the Ayurvedic medicine that dates back to about 3000 years ago (Nabi et al. [2019\)](#page-144-0). Asiatic acid is a triterpenic acid and showed numerous pharmacological activities including anticancer, anti-inflammatory, antioxidant, cardioprotective, gastroprotective, hepatoprotective, and neuroprotective properties. It also showed beneficial effects in the management of Alzheimer's, cerebral ischemia, dementia, hyperglycemia, liver fibrosis, metabolic syndrome, Parkinson's diseases, obesity, and wound (Nagoor Meeran et al. [2018](#page-144-0)). In in vitro experiments, Asiatic acid showed concentration-dependent enhancement of collagen type I and type III synthesis in fibroblasts cells and the action is mediated through the Transforming growth factor-beta (TGF-β)/Smad pathway (Wu et al. [2012a](#page-152-0)). Asiatic acid derivatives namely "ethoxymethyl 2-oxo-3,23-isopropylidene-asiatate" also showed the strongest and the fastest wound healing activity in in silico experiments (Jeong [2006](#page-139-0)).

Asiaticoside: It is a triterpenoid saponins of Centella asiatica (Apiaceae) (Sh Ahmed et al. [2019](#page-149-0)). Asiaticoside exhibited wound healing activity in rabbits by increasing the formation of granulation tissue and collagenase triggered re-epithelialization (Ozdemir et al. [2016](#page-145-0)). Asiaticoside also induced type-I collagen synthesis in human dermal fibroblast cells (Mukherjee et al. [2015](#page-143-0)).

Baicalin: It is a flavone glycoside and is one of the main phytoconstituents responsible for the pharmacological actions of Scutellaria baicalensis (Lamiaceae), a Chinese herb used for the treatment of psoriasis. This compound exhibited antiviral activity, anti-inflammatory activity, and photoprotective effects. In psoriatic patients, baicalin blocks the pathological changes of keratinocytes (Bonesi et al. [2018](#page-134-0)). The nanohydrogel preparation of baicalin exhibited wound healing activity and this effect may be due to its antioxidant and anti-inflammatory properties. Baicalin has the ability to inhibit nitric oxide and Tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) which is playing a key role in the inflammatory pathway (Manconi et al. [2018\)](#page-142-0).

Calophyllolide: It is neoflavone isolated from the seeds of Calophyllum inophyllum (Calophyllaceae). It exhibited wound healing activity in the incision wound model in mice. Calophyllolide (6 mg/animal; topical application) accelerates wound closer rate by around 80% and 97% at day 7 and day 14 post-treatment whereas povidone-iodine (100 mg/animal; topical application) promoted closure of the wound area by around 71% and 93% at day 7 and day 14 post-treatment, respectively (Nguyen et al. [2017\)](#page-145-0).

Chlorogenic Acid: It is an ester of caffeic acid with quinic acid (phenolic acids), found in apples, carrots, pears, sweet potatoes, tomatoes, coffee, and tea. A high concentration of chlorogenic acid is found in coffee (Gagliardini et al. [2017\)](#page-137-0). In both in vitro and in vivo experiments, chlorogenic acid exhibited significant wound healing activity (Moghadam et al. [2017;](#page-143-0) Chen et al. [2013\)](#page-135-0).

**Curcumin:** Curcumin, a polyphenol from the rhizome of *Curcuma longa* (turmeric plant), belongs to the Zingiberaceae family. The medicinal values of turmeric have been known for thousands of years. In Asian countries, turmeric is traditionally used as an antioxidant, anti-inflammatory, antimutagenic, antimicrobial, and anticancer agent (Hewlings and Kalman [2017\)](#page-139-0). Curcumin and its preparations are well reported for its wound healing activity. The wound-healing effect of curcumin is attributed to its anti-inflammatory, anti-infectious, and antioxidant properties (Akbik et al. [2014\)](#page-132-0). Mohanty et al. ([2012\)](#page-143-0) reported the wound healing properties of curcumin-loaded oleic acid-based polymeric bandages in Sprague Dawley male rats.

**Deoxyelephantopin:** It is a sesquiterpene lactone present in *Elephantopus scaber* and Elephantopus carolinianus (Asteraceae). Deoxyelephantopin exhibited anticancer activity against breast, cervical, colon, liver, lung, and nasopharyngeal cancer (Mehmood et al. [2017\)](#page-143-0). It also showed hepatoprotective activity against lipopolysaccharide/d-galactosamine (LPS/D-GalN)-induced fulminant hepatitis in rodents (Huang et al. [2013\)](#page-139-0). Singh et al. ([2005\)](#page-150-0) reported the wound healing activity of deoxyelephantopin. It showed a significant wound healing activity in excision (0.2% gell; topical application), incision (0.2% gel; topical application), and dead space wound (4 mg/kg, b.w.; systemic application/oral) models in rodents.

Ellagic Acid: It is a phenol compound present in several fruits including pomegranates and vegetables. Primarizky et al.  $(2017)$  $(2017)$  reported the wound healing activity of ellagic acid in incision wound model in rats. In another study, ellagic acid not effectively increases the collagen levels in dermal wound rats and also not inhibited neutrophil infiltration (Mo et al. [2014](#page-143-0)). Mo et al. studied the wound healing effect of ellagic acid using linear incision, excision, and burn wound models and found that ellagic acid has wound healing activity, but does not increase the collagen levels. Ellagic acid is also not associated with any deformations or exuberant granulation that might have occurred in the healing process (Mo et al. [2014\)](#page-143-0).

Emodin: It is a trihydroxyanthraquinone and phytoconstituent present in Reynoutria japonica (Polygonaceae), Ventilago leiocarpa (Rhamnaceae), Cassia nigricans (Caesalpinaceae), Cassia obtusifolia (Fabaceae), Embelia ribes (Myrsinaceae), Rheum officinale (Polygonaceae), and Aspergillus ochraceus (Trichocomaceae). Emodin is known to have anti-allergic, antibacterial, anticancer, antidiabetic, anti-inflammatory, anti-osteoporotic, antiviral, hepatoprotective, immunosuppressive, and neuroprotective activities (Dong et al. [2016](#page-136-0)). Tang et al. [\(2007](#page-151-0)) reported wound healing activity of emodin (at the dose levels of 100, 200, and 400 μg/ml; topical application) using excisional wound model in rats.

Entagenic Acid: It is a phytoconstituents from the seed kernel of Entada pursaetha or Entada rheedii (Leguminosae). This plant is indigenous to Africa, Asia, Australia, and Madagascar. Entagenic acid exhibited wound healing activity in rats. Entagenic acid also showed inhibitory activity against Glycogen synthase kinase 3 beta (GSK3B) protein (target for wound healing activity through Wnt signaling pathway) with minimum binding  $(-8.79 \text{ kJ mol}^{-1})$  and docking  $(-8.95 \text{ kJ mol}^{-1})$  energy in in silico studies (Vidya et al. [2012](#page-152-0)).

Ferulic Acid: Ferulic acid is a natural antioxidant and an abundant phenolic phytochemical found in vegetables and fruits, such as tomatoes, rice bran, and sweet corn. Ghaisas et al. studied the wound healing activity of ferulic acid in diabetic rats using the excision wound model and the results indicate that ferulic acid can promote wound healing and inhibiting oxidative stress in diabetic rats (Ghaisas et al. [2014\)](#page-138-0). Isoferulic acid is an isomer of ferulic acid that exhibited anti-inflammatory action (Gadallah et al. [2020\)](#page-137-0).

Gallic Acid: It is a phenolic compound present in number of land plants. Gallic acid is a strong antioxidant and anti-inflammatory agent. In in vitro experiments, gallic acid exhibited wound healing activity by accelerating the cell migration of keratinocytes and fibroblasts (Yang et al. [2016\)](#page-153-0). Preparation of gallic acid also exhibited the wound healing activity and substantially increases TGF-β expression. In wound healing process, inflammation is a crucial phase followed by the proliferation and maturation phase which is inhibited by gallic acid by blocking the nuclear factor κB (NF-κB) pathway (Karatas and Gevrek [2019](#page-140-0)).

Honey: Honey is a sweet and viscous food substance made by honey bees. Bees collect honey from the sugary secretions of plants (floral nectar) or from secretions of other insects (honeydew). Vijaya and Nishteswar [\(2012](#page-152-0)) reported the clinical effect (wound healing) of honey. It promotes the natural healing process and also possesses anti-inflammatory action.

**Juglone:** Juglone is a toxic isomer of lawsone present in almost all of the parts of the walnut tree (Chudhary et al. [2020](#page-135-0)). Juglone ameliorates wound healing by increasing the activation and/or expression of Cell division control protein 42 homolog (Cdc42), Ras-related C3 botulinum toxin substrate 1 (Rac1), and Alpha-p21 activated kinases ( $α$ -PAK) (Wahedi et al. [2016\)](#page-152-0).

Kaempferol: It is a flavonoid and found in many edible vegetables including apples, blackberries, broccoli, brussels sprouts, cucumbers, grapes, green beans, green tea, lettuce, onions, peaches, potatoes, raspberries, spinach, squash, and tomatoes (Calderón-Montaño et al. [2011](#page-135-0); Liu [2013](#page-142-0)). Kaempferol is known to have anticancer, anti-inflammatory, antioxidant, cardioprotective, hepatoprotective, and neuroprotective activities (Ren et al. [2019](#page-148-0)). Kaempferol exhibited wound healing activity in both diabetic and nondiabetic animals using excisional and nondiabetic incisional wound models in rats at a concentration of 1% w/w ointment (Özay et al. [2019\)](#page-145-0). Ambiga et al. isolated kaempferol from flowers of Ipomoea carnea (Convolvulaceae) and studied its wound healing activity using incision and excision wound models in rats. In both models, kaempferol exhibited significant wound healing activity by the increased weight of the granulation tissue and hydroxyproline content in the incision wound model and by the reduction in the wound area, a faster rate of epithelialization, an increased dry weight of the tissue, and increased hydroxyproline content in excision wound model (Ambiga et al. [2007\)](#page-132-0).

Lawsone: It is also known as hennotannic acid. Lawsone is a naphthoquinone and is one of the phytoconstituents of Hina/Lawsonia inermis (Lythraceae). Hennotannic acid is a red-orange dye and known to have antibacterial, antifungal, antiparasitic, antitumor, and antiviral activities (López López et al. [2014\)](#page-142-0). Mandawgade and Patil reported wound healing activity of lawsone in rodents using excision and incision model at the dose levels of  $50 \pm 3$  mg/kg (per oral) and 0.1 w/w (topical/ointment). In both models, lawsone exhibited significant wound healing activity (Mandawgade and Patil [2003](#page-142-0)). Lawsone preparations also showed a significant wound healing activity in rodents (Bascha et al. [2016](#page-134-0)).

Luteolin (3',4',5,7-Tetrahydroxy Flavone): It is a naturally occurring flavonoid present in flowering plants including Martynia annua (Martyniaccae) and Reseda luteola (Resedaceae) (Lodhi et al. [2016;](#page-142-0) Cristea et al. [2003\)](#page-136-0). In Chinese traditional medicine, plants rich in luteolin have been used for the treatment of cancer, hypertension, and inflammatory disorders (Lin et al. [2008\)](#page-142-0). Lodhi and Singhai [\(2013](#page-142-0)) reported the wound healing activity of luteolin and the effect is due to its capacity to enhance tissue antioxidant levels.

Madecassic Acid: It is a natural pentacyclic triterpenoid and first isolated from Centella asiatica belonging to the Apiaceae family. Various extracts of Centella asiatica are well reported for their wound healing activity (Somboonwong et al. [2012\)](#page-150-0). Asiatic acid (aglycone), madecassic acid (aglycone), asiaticoside (glycosides), and madecassoside (glycosides) are triterpenoid compounds present in Centella asiatica and these compounds are ingredients with pharmacological activities (Wu et al. [2012a](#page-152-0)). Asiatic acid and madecassic acid is also stimulating collagen I secretion in dermal fibroblast cells (Bonte et al. [1994\)](#page-134-0).

Mannose-6-Phosphate: It is the major sugar in Aloe vera (Asphodelaceae). In rabbits, mannose-6-phosphate effectively prevented flexor tendon adhesion forma-tion after anastomosis by inhibiting transforming growth factor β1 (Xia et al. [2012\)](#page-153-0). A randomized, interventional clinical trial (Phase II) was carried out in 2008 to investigate the efficacy and safety of mannose-6-phosphate in accelerating the healing of split-thickness skin graft donor sites (Bush [2008](#page-135-0)). This compound is also used for the treatment of fibrotic disorders (US6093388A) (Ferguson and BTG International Ltd [2000](#page-137-0)).

Oleanolic Acid: Oleanolic acid is one of the common pentacyclic triterpenoid compounds commonly found in apple, pomegranate, lemon, mandarin, bilberries, pears, grapes, persimmon, jujube, and olives (Žiberna et al. [2017](#page-153-0)). Moura-Letts et al. reported the wound healing activity of oleanolic acid, isolated from Anredera diffusa (Family: Basellaceae) (Moura-Letts et al. [2006\)](#page-143-0). Oleanolic acid also exhibited antidiabetic, anticancer, and antioxidant activates (Wang et al. [2011a,](#page-152-0) [b](#page-152-0); Žiberna et al. [2017](#page-153-0)).

**Picroliv:** It is an active hepatoprotective principle isolated from *Picrorhiza kurroa* (Plantaginaceae) (Girish and Pradhan [2012](#page-138-0)). Picroliv improved re-epithelialization, neovascularization and migration in endothelial, dermal myofibroblasts, and fibroblasts cells into the wound bed and upregulates the expression of VEGF and α-Smooth muscle actin (α-SMA) (Singh et al. [2007\)](#page-150-0).

Quercetin: It is a bioflavonoid present in more than 20 plants including Allium cepa (Amaryllidaceae), Allium fistulosum (Amaryllidaceae), Asparagus officinalis (Asparagaceae), Apium graveolens (Apiaceae), Brassica oleracea (Brassicaceae), Calamus scipionum (Calamoideae), Camellia sinensis (Theaceae), Capparis spinosa (Capparaceae), Centella asiatica (Apiaceae), Coriandrum sativum (Apiaceae), Hypericum hircinum (Clusiaceae), Hypericum perforatum (Hypericaceae), Lactuca sativa (Asteraceae), Malus domestica (Rosaceae), Morus alba (Moraceae), Moringa oleifera (Moringaceaei), Nasturtium officinale (Brassicaceae), Solanum lycopersicum (Solanaceae), Prunus avium (Rosaceae), Prunus domestica (Rosaceae), and Vaccinium oxycoccus (Ericaceae). Quercetin is known for its antiatherosclerotic, antihypercholesterolemic, antihypertensive, antiinflammatory, and antiobesity activities (Anand David et al. [2016\)](#page-133-0). Quercetin and its preparations exhibited wound healing activity in preclinical studies (Gomathi et al. [2003;](#page-138-0) Jangde et al. [2018\)](#page-139-0).

Taspine: It is an alkaloid present in Croton lechleri (Euphorbiaceae) and Magnolia x soulangiana (Magnoliaceae). Porras-Reyes et al. reported the wound healing activity of taspine (at dose levels of 10–250 μg/rat with body weight of 280–320 g) using a linear incision model in rodents. Taspine at 250 μg showed significant increases in mean breaking strength and wound tensile strength (Porras-Reyes et al. [1993\)](#page-147-0).

Ursolic Acid: Ursolic acid is a lipophilic pentacyclic triterpenoid, found in rosemary, lavender, marjoram, thyme, organum, apple fruit peel, and berries. It exhibits anti-inflammatory, antioxidant, anti-carcinogenic, antiobesity, antidiabetic, cardioprotective, neuroprotective, hepatoprotective, anti-skeletal muscle atrophy, and thermogenic effects (Seo et al. [2018](#page-149-0); Parasuraman et al. [2020](#page-146-0)). Pravez and Patel ([2014\)](#page-147-0) reported the wound healing activity of stearoyl glucoside of ursolic acid using excision and incision wound models. Naika et al., reported wound healing activity of ursolic acid isolated from Clematis gouriana using in silico (against glycogen synthase kinase 3-β) and in vivo (using excision and dead space wound models) studies (Naika et al. [2016](#page-144-0)).

## 5.2 Wound Healing Potential of Marine Organisms

In recent years, the study of marine organisms for their bioactive potential has expanded, and now one-third of the available medicines are either natural products or have been produced based on nature-borne lead materials, and almost 60% of drugs approved/and pre-New Drug Application (NDA) candidates (not including biologicals) for the pharmacotherapy of cancer are of natural origin (Porras-Reyes et al. [1993](#page-147-0)). Approximately 15,000 pharmacologically active substances have been extracted from marine organisms, many of which are structurally unique and absent in terrestrial organisms. The ocean provides an opportunity to explore newer lead molecules as it has more than 13,000 molecules and these are secondary metabolites. The majority of bioactive molecules have been isolated from benthic animals, such as ascidians, bryozoans, cnidarians, echinoderms, mollusks, polychaetes, and sponges. The reports say that only 5% of our oceans have been studied and current research trends focus on the intensive search of this vast and diversified marine ecosystem for the exploration of potent bioactive compounds. In the past few years, researchers and pharmaceutical firms have been making efforts to discover new pharmacological agents from marine sources (Venkateskumar et al. [2019](#page-152-0)).

The red pigment isolate of Vibrio sps, bioactive compounds from Micrococcus sp. OUS9, low molecular weight fucoidan of Undaria pinnatifida (Alariaceae), organic and aqueous extracts of Ceratothoa oestroides (Cymothoidae), extract of Fucus vesiculosus (Fucaceae), methanolic extract of Padina gymnospora (Dictyotaceae), methanol-water layer and n-hexane layer fractions of Starfish, compounds of Astragalus species (cycloastragenol, astragaloside IV, cyclocephaloside I and cyclocanthoside E) and Sea-star coelomic fluid (SCF) extract of Sea-star Astropecten indicus (Astropectinidae) were exhibited wound healing activity in preclinical studies (Baveja et al. [2018;](#page-134-0) Sevimli-Gür et al. [2011;](#page-149-0) Shiva Krishna et al. [2019](#page-150-0); Kumari et al. [2020;](#page-141-0) Park et al. [2017](#page-146-0); Sofrona et al. [2020](#page-150-0); Baliano et al. [2016;](#page-133-0) Craciun et al. [2015](#page-136-0); Dai et al. [2016\)](#page-136-0). The marine sources are the most unexplored area for the search of lead for drug discovery. Hence, exploration of marine sources may give the new lead for drug discovery.

### 5.3 Antioxidant Therapies for Wound Healing

The wound is an injury and several factors such as accidental traumas or surgery contribute to wound generation. Wound healing is the natural process that results in the restoration of the structural and functional integrity of damaged tissues. Several biochemical and cellular pathways involving in the wound healing process and deficiencies in this pathway may result in the failure of the wound healing process. Additionally, oxygen- and nitrogen-centered reactive species are known to play vital roles in regulating healing. In wound sites, reactive species may be in high concentrations which can cause harmful effects on cells and tissues and promote oxidative stress (Barku [2019](#page-133-0)). This Reactive oxygen species (ROS) play an essential role in the mechanism of the normal wound-healing response (Dunnill et al. [2017](#page-136-0)).

Physiologic antioxidant defenses include the ROS-detoxifying enzymes superoxide dismutase, catalase, glutathione peroxidases, and peroxiredoxins; endogenous and exogenous low-molecular-weight antioxidants such as vitamin C, vitamin E, glutathione, and phenolic are nonenzymatic defenders against ROS (Fitzmaurice et al. [2011\)](#page-137-0). Green leafy vegetables and fruits are rich sources of low-molecularweight antioxidants, which can enhance and accelerate the wound healing process by inhibiting free radicals and neutralizing reactive oxygen species.

Herbal wound products are remarkably growing and it induces the healing by modulating inflammatory mediators such as cytokines, eicosanoids, nitric oxide, and other growth factors. In the traditional medicine system, combinations of herbs such as polyherbal/herbomineral formulations are used to have better pharmacotherapeutic activity (Aslam et al. [2016\)](#page-133-0). The plants have complex enzymatic (superoxide dismutase, catalase, glutathione peroxidase, and glutathione reductase) and nonenzymatic (ascorbic acid, glutathione, proline, carotenoids, phenolic acids, flavonoids, and tannins) antioxidant defense systems to prevent the toxic effects of free radicals (Barku [2019](#page-133-0)). The antioxidant properties of the herbs may enhance and accelerate the wound healing process. MEDIHONEY® Gel contains 100% active Leptospermum honey in a hydrocolloid suspension and indicated for Wound and Burn dressing. Honey is known for its antioxidant, antibacterial, and wound healing activates and is approved by the United States Food and Drug Administration (FDA) for wound healing applications (Fitzmaurice et al. [2011](#page-137-0)).

### <span id="page-131-0"></span>6 Future Prospective

Skin is the protective barrier and wound on the skin may lead to serious morbidity and mortality. The wound-healing process is affected by numerous factors including humidity and oxygenation, infection, stress, increasing prevalence of acute and chronic wounds, and patient-related factors such as age, lifestyle, and health status. Wound healing is an important physiological process; when it fails, the quality of a patent's life becomes worst, especially in patients with chronic metabolic disorders. Currently, one-third of the adult population is living with diabetes and 6.5 million cases of chronic skin ulcers annually (Dreifke et al. [2015](#page-136-0)). In 2016, the global Wound Healing market was valued at approximately US\$22.01 billion and is projected to register a cumulative annual growth rate of over 3.7% by 2022 (Polerà et al. [2019](#page-147-0)). The cost of the management for chronic and nonhealing wounds is increasing which may affect the patient's quality of life. Hence, the search for new lead or drugs from natural sources is essential to reduce the cost of the treatment. In the traditional medicine system, numerous herbs are reported to have wound-healing properties and those plants are used in folk medicine to treat wounds. The plant and marine sources are extensively studied for their wound-healing activity (preclinical studies) and further clinical studies are required to explore the possible effect on humans.

Nature always has been a valuable source of drugs and about 80% of the world's population is dependent on plant-based systems of medicine for their primary healthcare needs. During the past 30 years, up to 50% of the approved drugs by the regulatory agencies are from natural products (plant products or their derivatives). In the area of cancer, around the 1940s to date, 85 out of 175 small molecules are being either from natural products or their derivatives (Veeresham [2012](#page-152-0)). The utility of natural products as sources of new drugs/lead is still alive and well. Hence, exploring the natural sources or their derivatives for new drug discovery may give numerous drug molecules for the management of wounds, as well as other diseases.

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# Wound Healing: Understanding Honey as an Agent



Victor B. Oti

# 1 Introduction

Honey, is a supersaturated solution that is sweetened and viscous in nature, which is derived from the gathering and modification of nectar by honey bee (Apis mellifera) (Vallianou et al. [2014;](#page-181-0) Okpala [2019\)](#page-180-0). Honey has been depicted to be a God gift to humanity and globally, it is one of the ancient items used as food. Honey has fructose (40%), glucose (40%), water (20%), vitamins, enzymes, organic acids, and minerals; its pH is 3.6 and a specific weight of 1.4 (Manyi-Loh et al. [2011](#page-179-0)). It is non-toxic, non-irritant, and can be available easily and not too costly, and has been playing role in healing wounds over thousands of years (Molan [2006](#page-179-0); Majtan [2011;](#page-179-0) Aziz and Abdul Rasool Hassan [2017\)](#page-177-0). There are many clinical evidences that reported the effectiveness of honey in this usage and application in the past few years ago but the efficacy behind honey was available in just recent times (Aziz and Abdul Rasool Hassan [2017;](#page-177-0) Medhi et al. [2008;](#page-179-0) Ede et al. [2017\)](#page-178-0). The physical and biological properties of honey are understood in healing of wounds, having numerous bioactive compounds which have potential in enhancing wound healing processes (Frydman et al. [2020;](#page-178-0) MEDIHONEY®HCS [2013;](#page-179-0) Gambo et al. [2018](#page-178-0)). The therapeutic property of honey in healing of wounds is as an outcome of the synergistic role of chemical debridement of devitalized and dead tissues from ulcerations by engulfing of oedema and catalase which is usually done by the hygroscopic characteristics of it, the enhancement of epithelization and granulation from the edges of wounds, the microbial honey features, its nutritional features and the hydrogen peroxide production (Mandal and Mandal [2011;](#page-179-0) Clark and Adcock [2018](#page-178-0)). Treatment of wounds with honey is simple and does not cost much, it possesses bactericidal content, due to its high viscosity, it creates an obstacle physically, forming an ecosystem that is slightly wet which shows to be significant and enhances healing of wounds (Frydman et al.

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<sup>©</sup> The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2021 P. Kumar, V. Kothari (eds.), Wound Healing Research, [https://doi.org/10.1007/978-981-16-2677-7\\_5](https://doi.org/10.1007/978-981-16-2677-7_5#DOI)

[2020;](#page-178-0) Jull et al. [2015;](#page-179-0) Samarghandian et al. [2017\)](#page-180-0). Full healing has shown in an observational group study of sick people in 2 weeks, a positive result was shown in most situations, and in a clinical trial that is controlled, individuals showed betterment but the differs in recovery time when compared to the control groups (Jull et al. [2008a](#page-179-0)). Honey's physical features only will impact the wound healing domain positively and its processes, in a specific manner due to the acidic character of honey, its low pH and studies have agreed that wound surface acidification accelerates recovery by facilitating the oxygen that is giving out from haemoglobin (Clark and Adcock [2018\)](#page-178-0). Furthermore, pH is less favourable for the activity of protease that helps reduce the destruction of the matrix that is used in repairing tissues. The increased honey osmolarity that is hinged on its enhanced content of glucose plays a significant function in wound healing processes, reasonable findings depict sugar pastes as favourable in wound healing (Okpala [2019;](#page-180-0) Maddock [2012](#page-179-0)). If blood movement under injury is enough to restore liquid that was cellularly unavailable. after which the role of the osmolarity of glucose on the outside literally develops an efflux of lymph in which such outflow is of high importance to the healing process (Hixon et al. [2019\)](#page-178-0). Wounds are damage to the physiological compartment in the cells of the skin and an insult to its functionality in protecting and its connection to where organs and tissues lie underneath. Wounds that are acute are often caused by damage that is external, for example mechanical damage, Ultraviolet radiation, thermal radiation among others while chronic wounds are result from internal damages in the form of a circulatory compromise (Molan and Rhodes [2015;](#page-180-0) Sarheed et al. [2016\)](#page-180-0). They include diabetic ulcer and leg ulcer. When circulation is not sufficient, tissue necrosis may result due to inadequate tissue nutrients and thus potentiates proinflammatory cytokines. Wound healing processes exhibit the overlapping of the haemostasis, inflammatory, growth or proliferation, and remodelling phases in specified durations (Sarheed et al. [2016\)](#page-180-0). The process begins with the haemostasis which is achieved in few minutes, then the bacteria and debris, which get eliminated during the inflammation phase from the wound. After the inflammation phase, invasion of blood vessels and creation of new epithelium and connective tissues, as well as contraction of the wound, this is the proliferation phase that takes place. The remodelling phase is the stage where collagens are aligned on tension lines, and the remaining tissues are eliminated through apoptosis (Hixon et al. [2019](#page-178-0)). Militating factors in the healing process include old age, poor circulation, and infection among others. Microbial load control of a wound is one of the most significant aspects to look into when you want an optimal environment for healing to occur (Ruttermann et al. [2013](#page-180-0)). A bacterial level that is higher than 105 bacteria in 1 g in tissues of wound was reported to impact negatively in the process of wound healing, especially chronic and surgical wounds (Ede et al. [2017\)](#page-178-0). Honey-treated wounds heal within a mean of 13 days faster than the silver sulfadiazine treatment in a study (Aziz and Abdul Rasool Hassan [2017](#page-177-0)). Significant findings from pre-clinical, animal model studies, and clinical researches have reported honey's ability in healing wounds (Aziz and Abdul Rasool Hassan [2017;](#page-177-0) Medhi et al. [2008;](#page-179-0) Gambo et al. [2018;](#page-178-0) Jull et al. [2008a](#page-179-0); Hussain [2018](#page-178-0); Hosseini et al.

[2020;](#page-178-0) Smaropoulos and Cremers [2020\)](#page-180-0). This chapter will look at honey as an agent for wound healing.

## 2 Brief Explanation on Wound

Wounds are disarrangement or disruption of the skin cells integrity and its operations and a nuisance to its functionality in the protection and connection of organs and tissues that are underlined (Sarheed et al. [2016](#page-180-0); Boateng et al. [2008](#page-177-0)). Wounds can be primarily as a result of accidental tear, cut, scratch, extreme temperature, pressure, chemicals, and electrical current, infection and/or disease (which might include: ulcers, diabetes, cancers among others) (Gottrup et al. [2005;](#page-178-0) Song and Salcido [2011\)](#page-180-0). Wounds can be either superficial, partial thickness, or complete thickness in nature (Sarheed et al. [2016;](#page-180-0) Alavi et al. [2016](#page-177-0)).

## 2.1 Wound Types

#### 2.1.1 Acute Wounds

Wounds that are acute can heal at a short time void of complications and has a skin integrity loss feature that suddenly happens (Sarheed et al. [2016\)](#page-180-0). The acute wounds may be either traumatic or surgical in nature. Fibroblasts, platelets, keratinocytes among others facilitate healing and restored the integrity of tissues (Boateng et al. [2008;](#page-177-0) Gottrup et al. [2005](#page-178-0); Janis et al. [2010](#page-179-0)).

## 2.1.2 Chronic Wounds

Prolonged wounds heal in a longer time and has predictors associated with weak epidermal and dermal tissue integrity (Sarheed et al. [2016;](#page-180-0) Gottrup et al. [2005](#page-178-0); Song and Salcido [2011\)](#page-180-0). Predisposing factors either change the balance between the immune system of the patients and wound bioburden or damage the cycle of the healing process (Janis et al. [2010;](#page-179-0) Moffatt [2005](#page-179-0)). With respect to duration, if the wound did not show any signs of healing or did not heal in 2 weeks, it is referred to as a wound that is chronic (Gottrup et al. [2005\)](#page-178-0). Factors could hamper with perfusion of the vessel leading to wounds that are chronic (e.g. vascular ulcers), related to metabolic changes, e.g. diabetes which can cause ulcer of the foot due to diabetes (Alavi et al. [2016](#page-177-0)). Resistant microbial presence, prolonged healing and inflammation phase, and tissue with friable granulation among others are features of chronic wounds (Sarheed et al. [2016;](#page-180-0) Janis et al. [2010\)](#page-179-0).

# 2.2 Aspect of Wound Healing Phases

The wound healing process is grouped into four (4) major interactive phases as discussed below (Fig. 1) (Bowler et al. [2011\)](#page-177-0).

# 2.2.1 Phase of Haemostasis

The haemostasis phase is marked by microvascular wounds and the discharge of blood features at the site of the wound (Sarheed et al. [2016;](#page-180-0) Moffatt [2005\)](#page-179-0). There is a touch between platelets and the damaged blood vessels and binds to the wall. Such adherence triggers the platelets to discharge the growth factors, cytokines, and various negotiators of inflammation, which brings about the collections of platelets and activating the inherent and external coagulation mechanisms shape a clot of fibre



Fig. 1 Wound healing phases (Sarheed et al. [2016;](#page-180-0) Ruttermann et al. [2013](#page-180-0); Bowler et al. [2011\)](#page-177-0). Wound healing phases often begin with haemostasis where blood components are released at the site of the wound. The inflammation phase commences together with haemostasis but in some cases few minutes after injury and can last for about 3 days. In the proliferative phase, the inflammatory cells that remain generate cytokines to begin development of new blood vessels. The remodelling phase, rearrangement of collagen along strain lines, and tissues that are beyond limits are eliminated through cell death

that prevents post destruction of blood. The healing cascade is triggered by the growth factors released by the platelets (Reinke and Sorg [2012](#page-180-0)).

## 2.2.2 Phase of Inflammation

This phase begins together as haemostasis commences but in some cases a few minutes after injury up to 1 day and can stay up to around 3 days (Eming et al. [2007\)](#page-178-0). Grouped platelets contain vasoactive amines like histamine and prostaglandins, other amines that is in granules extracted by the cellular mast which led to higher microvascular water content and dilation of blood vessels in reaction to injury, resulting in liquid hydrolysis into the intracellular room. This gives space for the movement of exudates that is rich in proteins and monocytes through and across the wound tissue which causes oedema (Bowler et al. [2011](#page-177-0)). These are typical signs of the inflammatory processes; within about 24 h sufferers begin to talk about distress at the site of injury (Sarheed et al. [2016;](#page-180-0) Eming et al. [2007](#page-178-0)).

## 2.2.3 Phase of Proliferation

The phase of proliferation starts just after the end of the inflammation. The surviving proinflammatory cytokines generate signalling molecules to introduce angiogenesis, that is necessary to preserve a substantial blood flow inside the bed of the wound (Sarheed et al. [2016\)](#page-180-0). Red blood cells that are newly generated often cause the development of the epithelial cells (made up of extracellular matrix and collagen) and make available the nutrients that are needed (Wilkins et al. [2012](#page-181-0)).

#### 2.2.4 Phase of Remodelling

In the phase of remodelling, the rearrangement of collagen along strain lines, and tissues that are beyond limits are eliminated through cell death (Sarheed et al. [2016;](#page-180-0) Ruttermann et al. [2013](#page-180-0)). This phase often happens when the wound is closed superficially.

# 2.3 Factors that Affect Wound Healing

Factors that affect wound healing are discussed below.

## 2.3.1 Oxygen Presence

The presence of oxygen is essential for tissue repair and infection resistance, and is used by adenosine triphosphate for cellular energy production (Gottrup [2004\)](#page-178-0). It performs on various stages of recovering process by stimulating the development of new blood vessels, segmentation of keratinocytes, relocation, re-epithelialization, multiplication of fibroblasts and formulation of collagen, and encourages deceleration of wounds (Sarheed et al. [2016](#page-180-0)). Oxygen and transient hypoxia when injury occurs is important in stimulating the recovery process by triggering cytokine production and growth variables from fibroblasts, macrophages, and keratinocytes. Prolonged injuries that have oxygen tissue strain of not more than 20 mmHg is usually hypoxic relative to natural rates of 30–50 mmHg (Rodriguez et al. [2008\)](#page-180-0). Through impaired vascular flow, predictors of prolonged injury like diabetes or advanced age could trigger weak oxygen presence (Guo and Dipietro [2010](#page-178-0)). Integrative revascularization treatments have been used in diabetic foot ulcers to reverse the hypoxic conditions. Thus, it was also found that such methods can cause harsh effects to diabetes patients (Sarheed et al. [2016\)](#page-180-0).

## 2.3.2 Bioburden from Wound

Intact skin acts on the surface of the skin itself to regulate the microbial community. The subcutaneous tissue is uncovered as dignity is compromised due to injury, creating a suitable space for microbial colonization and growth (Gardner and Frantz [2008\)](#page-178-0). But this does not necessarily lead to infection due to the bridge between the immune system and the wound's bioburden (Sarheed et al. [2016](#page-180-0)).

## 2.3.3 Wound Infection

Microflora of the skin is available in approximately 105 microbial cultures, and has no challenges clinically (Moffatt [2005\)](#page-179-0). However, when tempered, microbes grow and invade tissues, initiating a chain of microbial mechanisms that trigger a response that is inflammatory in nature which can result in a deferred healing process and destruction of tissues (Sarheed et al. [2016;](#page-180-0) Bowler et al. [2011](#page-177-0); Eming et al. [2007\)](#page-178-0). Infection occurs when it damages host tissues. Among other negative effects of wound infection is inflammation that is delayed as a result of long-term rise in cytokines of inflammatory cells. This makes the injury proceed to a prolonged phase and healing is halted within the 3 months stipulated time (Bowler et al. [2011\)](#page-177-0). The long-term swelling is linked to elevated matrix metalloproteinases stages that have the ability to degrade extracellular matrix, a major feature of the wound healing's proliferation stage which happens because elevated stages of proteases come at the risk of reduced levels of protease inhibitors that happens in nature. From a microbiological point of view, infection of wounds is the presence of microbes that multiplies at the site of the injury, which suppresses the immune system of the host. It hinders injury to heal by the discharge of microbial poisons and shows infection which is symptomatic and sign that is active (Reinke and Sorg [2012](#page-180-0)).

## 2.3.4 Wounds Associated Bacteria

Open wounds that are infected can accommodate a myriad of bacteria, including Escherichia coli, Pseudomonas aeruginosa, and methicillin-resistant Staphylococcus aureus (MRSA) (Weese et al. [2007\)](#page-181-0). Overall, wounds that are infected are polymicrobial in nature and most often are infected with pathogenic bacteria which are in the domain, mucosal indigenous microorganisms, and adjacent skin microflora (Moffatt [2005](#page-179-0); Weese and van Duijkeren [2010](#page-181-0)). Microbes of the bacterial origin are the major organism that leads to infections of wounds apart from other microbes that use skin as their habitat, but in certain mixed infections other microbes such as fungi have been found. Gram-positive bacteria like; S. *aureus* and E. coli predominate in the first phase of formation of chronic wound (Sarheed et al. [2016\)](#page-180-0). In the last step, Pseudomonas species are generally seen and can penetrate layers that are deep in wound, leading to serious injury of the tissues. Others might include aerobic bacteria, for example Staphylococcus (such as methicillin-resistant S. pseudintermedius) and Streptococcus species (S. pyogenes), and anaerobic bacteria which are seen in about 50% of injuries that are prolonged (Weese et al. [2007;](#page-181-0) Weese and van Duijkeren [2010](#page-181-0)).

#### 2.3.5 Prolonged Wounds and Formation of Biofilm

A biofilm is an anchoring community of microorganisms characterized by cells embedded in a secreted extracellular polymeric substances (EPS) matrix that adhere in an irreversible manner to a substratum or interface or to each other, and it shows a changed phenotype with regards to the transcription of genes (Garrett et al. [2008\)](#page-178-0). First, a film that is conditioned is formed and consists of starch and proteins stick on the area that is solid. It prepares the surface to take in the first cellular biofilm of the incipient. Second, bacteria approach and begin to adhere to the area by Van der Waals forces and the likes as well as the electrostatic current on the surface of the bacteria which is negative (Donlan and Costerton [2002](#page-178-0)). The attached bacteria are wrapped in a polymer matrix called EPS. The organism adsorption causes quorum sensing that is involved in the gene expression and its regulation with regards to changes in the density of the cellular numbers (Bowler et al. [2011\)](#page-177-0). This results to biofilm change phenotypically, producing response of harmful factors to signals from other organisms in the biofilm. Such factors that have a coverage that is generated from EPS lead to an enhanced antimicrobial resistance. Recommendations that EPS may spontaneously react with antimicrobial agent has been suggested which halts their approach to bacteria and exerting antibacterial activity (Sarheed et al. [2016](#page-180-0)). Biofilms also protect bacteria from host defences by coating the sugar

coating, while they emit substances in the film that impairs phagocyte entry. This knowledge is paramount to intervention modalities for chronic wounds. In management of clinical injury, cleaning the wound quickly is imperative and the elimination of foreign bodies and necrotic tissue from the area that surrounds the injury to increase the potential for healing of wounds, which is also called debridement (Wolcott et al. [2008\)](#page-181-0).

## 3 Aspect of Honey

Honey is a sweet, viscous, supersaturated solution derived by the gathering and modification of sap by honeybees (Bogdanov et al. [2008](#page-177-0)). Bees are known to produce honey, which is a winter food source. Bees can soar about 55,000 miles approximately to get sap from about two million floras and create around honey of one pound. Bees of the worker's class throw up honey and partially digest it beforehand packing them in a honeybunch (Ajibola et al. [2012\)](#page-177-0). In the honeycomb, they spread the honey with their extensions to properly disperse the sap and prevent fermentation of the solution. Honeybees inject the solution's antibacterial function during pollen collection and ripening. The difference in honey depends on the origin of the plant, and hundreds of variations of them have been documented (Wang and Li [2011\)](#page-181-0). Since honey is highly variable and the substance structure is hinged on the bud from where it is manufactured as many products of plant origin, its antibacterial activity might differ depending on the type of honey (Khan et al. [2007](#page-179-0)). To research on honey's therapeutic properties and its mechanism of deed, it is important to confirm the arrangement of the ingredient. The diet reported in honey is low when relates to the actual intake per day, but its importance is hinged on its varied functional properties (Hussain [2018](#page-178-0)). Honey is mainly composed of 95% dehydrated bulk carbohydrates. Furthermore, it has substances other than those mention above like amino acids, proteins, aromatic compounds, organic acids, amino acids, vitamins, minerals, and polyphenols (Mandal and Mandal [2011](#page-179-0)). It is composed of a number of different properties, which gives honey its ideal ability to enhance injury recovery. Honey has a low water content, which provides a moist healing environment (Mandal and Mandal [2011](#page-179-0); Mandal et al. [2011\)](#page-179-0). The extraordinary thickness of it acts as a shielding obstacle against cross-contamination and subsequent infection. It possesses a 3.6–3.7 pH range in which almost all bacteria and most bacteria cannot thrive grow in such toxic domain (Samarghandian et al. [2017\)](#page-180-0). Furthermore, it encourages the creation of lymphocytes that help the reactions of the body's immune system. One key antibacterial constituent in this liquid is  $H_2O_2$ . Although, despite low levels of hydrogen peroxide, some honey effectively control bacteria (Mandal et al. [2011](#page-179-0)). Rapid healing was noted, especially burns, and the long-standing ulcers healed due to honey's ability to accelerate the process of recovery (Song and Salcido [2011\)](#page-180-0). This liquid does not possess any bad reactions in system unlike most antimicrobial agents do, but it has an operative antibacterial property against hardy strains, e.g. MRSA (Samarghandian et al. [2017](#page-180-0); Ajibola et al. [2012](#page-177-0)). Honey also has

excellent cleansing properties and is a unique way to reconstruct damaged tissue with minimal scarring. Honey possesses antioxidant and anti-inflammatory properties in addition to the aforementioned (Song and Salcido [2011;](#page-180-0) Janis et al. [2010](#page-179-0)). In recent years, the concept of its usage as a remedy has become brighter and more popular (Gambo et al. [2018;](#page-178-0) Samarghandian et al. [2017](#page-180-0); Hussain [2018\)](#page-178-0). Some studies have shown that ancient treatments once have considerable controlled proof to back the miraculous function of honey in the treatment of injuries and other diseases (Hussain [2018;](#page-178-0) Khan et al. [2007;](#page-179-0) Mandal et al. [2011\)](#page-179-0).

# 3.1 Types of Honey

There are many different types of honey, including Manuka honey (New Zealand), Medihoney, Jerry Bush Honey (Australia), Kanuka Honey, Grass Honey, Beech Honey, Blueberry Honey, Sage Honey, Clover Honey, Buttercup Honey, Dew Honey among others (Fig. 2). It is known to inhibit more than 80 types of bacteria and other organisms (MEDIHONEY®HCS [2013](#page-179-0); Bogdanov et al. [2008](#page-177-0); Ajibola et al. [2012](#page-177-0)). Medihoney trademark is one of the initial medically certified honey as a professional injury management medical product in the America, Australia, and Europe (Manyi-Loh et al. [2011](#page-179-0); MEDIHONEY®HCS [2013\)](#page-179-0). As reported by Maddock, honey may interfere with the reaction of fibronectin and *Streptococcus* pyogenes on destroyed cell surface (Maddock [2012\)](#page-179-0). Thus, the agent against bacteria which is inherent in honey is primarily due to osmotic pressure and the enzymatic



Fig. 2 Different types of honey (MEDIHONEY®HCS [2013;](#page-179-0) Clark and Adcock [2018](#page-178-0); Bogdanov et al. [2008](#page-177-0); Ajibola et al. [2012\)](#page-177-0). Honey has different types, which is mostly based on their country of origin and plant species

production of  $H_2O_2$  (MEDIHONEY®HCS [2013\)](#page-179-0). The production of reduced levels of  $H_2O_2$  has been shown to improve tissue oxygenation required for tissue re-development. Components other than  $H_2O_2$  in honey that increase the activity of antibacterials include phenolics, lysosomes, flavonoids, and other components that are not discovered (Bernstein [2013\)](#page-177-0). Increased osmolality (17% water, 83% sugar) and reduced pH (3.6–3.7) enhances the function of antibacterial by preventing bacterial multiplication and promoting cure. Although, there is a dire concern in surface antibacterial agents usage in managing wound infections (Hussain [2018;](#page-178-0) Molan [2011;](#page-180-0) Kateel et al. [2016\)](#page-179-0).

# 3.2 The Role of Honey in the Wound Healing Process

Honey's role in the wound healing process cannot be overemphasized (Fig. [3](#page-164-0)). They are grouped under the following subheadings.

## 3.2.1 Cross-Contamination Prevention

The sticky nature of honey not only provides a wet injury domain and creates space for the re-growth of skin cells throughout the injury, but also gives a protective boundary that protects the patient by stopping cross-contamination (Manyi-Loh et al. [2011;](#page-179-0) Tan et al. [2009](#page-181-0)). Infections of the wound and subsequent colonization by bacteria are caused by microorganisms derived from the inner skin of the patient, flora of the respiratory and gastrointestinal tracts via interaction with outside environmental surfaces, air, water which are contaminated, and dirty palms of hospital practitioners can occur (Wilkins et al. [2012;](#page-181-0) Tan et al. [2009](#page-181-0)).

## 3.2.2 Stimulation of Tissue Growth

Tissue regrowth is imperative in process of managing injury. Honey causes the development of fresh capillaries, the fibroblasts generation which is used in place of connective tissue in the skin deeper layers, and synthesize collagen fibres that create energy for repair (Okpala [2019](#page-180-0); Rozaini et al. [2004](#page-180-0)). Furthermore, it triggers regrowth of cells of the epithelium that create novel skin covers on the recovered wounds, impedes the development of keloid and scarring, and eliminates the want for skin grafts in large wounds (Maddock [2012;](#page-179-0) Kateel et al. [2016\)](#page-179-0).

#### 3.2.3 Self-degrading Debridement

Studies have reported that the process of wound healing, which creates the domain of such wet injury provided by honey, enhances self-degrading debridement processes

<span id="page-164-0"></span>

Fig. 3 The mechanisms of honey in wound healing processes (Vallianou et al. [2014](#page-181-0); Samarghandian et al. [2017](#page-180-0); Molan and Rhodes [2015\)](#page-180-0). Firstly, due to its antibacterial activity, it produces an environment that is free from infection which enhances healing of wounds. Furthermore, honey possesses a great acidity level that is bactericidal in nature. Secondly, honey that promotes wound healing is its role in stimulating host cells to achieve healing. Honey triggers the generation of cytokines by monocytes. Interestingly, honey enhances the growth of T and B lymphocytes that help generate antibodies and enhance foreign cell phagocytosis

(Molan [2002](#page-179-0), [2006](#page-179-0); Wilkins et al. [2012\)](#page-181-0). Lymph is often drawn from deeper tissues due to the increased osmotic pressure as well as usual washing of bed of the injury (Simon et al. [2009](#page-180-0)). In fact, the protease contained in the lymph contributes to debridement role. Odours occur in injury which is dominated by anaerobic organisms like Clostridium species and Bacteroides, and in rod-like bacilli like; Proteus and *Pseudomonas* due to the metabolic properties of proteins. As a result, it produces a bad odour chemicals like sulphur and ammonia substances in the final product (Vallianou et al. [2014](#page-181-0); Manyi-Loh et al. [2011\)](#page-179-0). It is interesting to know that honey gives bacteria a substituted sugar source and when processed, produces lactic acid.

## 3.2.4 Bioburden

Honey has been reported to have significant antibacterial action against myriad pathogenic bacteria of wounds and biofilms (Vallianou et al. [2014](#page-181-0); Mandal and Mandal [2011;](#page-179-0) Garrett et al. [2008\)](#page-178-0). Surprisingly, honey has been used to heal refractory wounds and has been shown to infect a myriad of multidrug-resistant organisms, like; vancomycin-resistant enterococci (VRE), MRSA, and multidrugresistant P. aeruginosa, which has been reported to be active in in vitro researches. Research by Rendell et al. ([2001\)](#page-180-0) demonstrated that acidification of wounds has been shown to promote healing because of the low pH that promotes the sum of  $O_2$ unburden from haemoglobin in the vessels. Apparently, acidification stops the ammonia created by bacterial breakdown, in addition to lysozyme, hydrogen peroxide, and phenolic compounds, from harming body tissues (Williams et al. [2009;](#page-181-0) Oyeleke et al. [2010\)](#page-180-0).

#### 3.2.5 Anti-inflammatory Effect

Honey has anti-inflammatory properties and has been shown in clinical and in vitro studies of human burns (Manyi-Loh et al. [2011;](#page-179-0) Hixon et al. [2019](#page-178-0); Adebolu [2005\)](#page-177-0). Prospective penalties of successfully dealing with swelling comprise quick drop of ache, oedema, and exudate. In addition, scars of the hypertrophics are reduced by evading long-term swelling that can lead to fibrosis (Manyi-Loh et al. [2011\)](#page-179-0). Decreasing swelling then may reduce exudate production and dressing exchanges frequently, reducing dressing costs, control interval, and needless injury to the bed of the injury and the sufferer (Molan and Rhodes [2015\)](#page-180-0).

# 3.3 Aspects of Honey Properties.

The aspects of the healing properties of honey with their useful effects are summarized in Table [1](#page-166-0).

#### 3.3.1 Antibacterial Action

Honey has exhibited diverse antibacterial activities on infected wounds (Ede et al. [2017\)](#page-178-0). Various studies have found minimum inhibitory concentrations (MICs) of honey in wide classes of pathogenic bacteria that contaminate injuries (Okpala [2019;](#page-180-0) Ede et al. [2017](#page-178-0); Gambo et al. [2018\)](#page-178-0). The stage is often below 10%; honey's concentration is generally much lower than that existing beneath the injury. Reduced susceptibility is often recorded on pathogenic fungi wounds with honey, with an MIC of honey ranging from 10 to 50% (Gambo et al. [2018\)](#page-178-0). Honey has shown to

Property	Attributed factors	Role	References
Antimicrobial	Acidity, increased osmolarity, $H_2O_2$ , and non-peroxide components	Inhibitory and/or microbicidal, pain reduction, immunostimulatory	Okpala (2019), Manyi-Loh et al. $(2011)$ . Ede et al. $(2017)$ , and Gambo et al. (2018)
Antioxidant	Phenolic acids, flavonoids	Prevent formation of free radicals	Molan $(2011)$ and Baltrusaityte et al. (2007)
Anti- inflammation	White blood cell (leucocytes)	Decreases inflamma- tion, reduces scarring in wounds	Molan and Rhodes (2015), Hussain (2018), and Molan (2011)
Immunological	Macrophages, leucocytes	Cytokine synthesis, provides substrate for glycolysis	Manyi-Loh et al. $(2011)$ and Tonks et al. (2007)
Malodour	Volatile acids	Reduces malodour in wounds	<b>MEDIHONEY®HCS</b> $(2013)$ and Gethin $(2011)$

<span id="page-166-0"></span>Table 1 Overview of the healing properties of honey with their useful effects

have a wide spectrum of antibacterial action and it halts both Gram-positive and Gram-negative bacteria from proliferating, and also aerobic and anaerobic organisms (Vallianou et al. [2014](#page-181-0)). Of specific choice to the professionals of injury management is their efficacy to bacteria that shows wide antimicrobial resistance like VRE, coagulase-negative Staphylococci, Pseudomonas, MRSA, Stenotrophomonas maltophilia, and Acinetobacter baumannii (Song and Salcido [2011\)](#page-180-0). Medical professionals has shown interest in the outcomes of a prolonged concept "resistance training" experiment with four bacteria that contaminated injury in which there was definite perpetual reduction in honey's vulnerability and mutants of the isolates that shows resistant in honey were absent. The researchers conclude that as far as high levels of this agent honey are clinically kept, the danger of the organisms that gains resistance to this agent is minimized. Similar researches have reported that honey fight organisms in a biofilm environment, and wound healing with antibiotics and silver has proven ineffective (Garrett et al. [2008\)](#page-178-0).

#### 3.3.2 Anti-inflammatory Effect

Honey has long been used as an anti-inflammatory substance (Hussain [2018](#page-178-0)). Much evidence that honey has actions against swelling is exhibited from a myriad of studies. In a clinical point of view, many reports that this natural agent reduces exudate and swelling, minimizes damaging and also possess sedative activity when it is used to swollen burns and injuries (Khan et al. [2007](#page-179-0); Nagane et al. [2004\)](#page-180-0). Straight indication of anti-inflammatory actions in the hospital setting possesses biological and chemical components in the arrangement of reduced stages of lipid peroxide and malondialdehyde in biopsy samples in histologically burned honey clinical trials. A reduction in the amount of inflammatory cells presence has been observed (Molan [2002\)](#page-179-0). There are scientific observations that this agent possesses a primary anti-swelling property and not an advanced action due to the activity against bacteria in the agent that eliminates the organisms that cause swelling is because of different of the anti-swelling effects reported in studies that involve burns and injury as the study population. In prototypes of animal origin, these aseptically generated wounds were found to have little or no bacteria (Mandal and Mandal [2011;](#page-179-0) Hixon et al. [2019\)](#page-178-0). Honey's anti-swelling activity also reduced the severity of mucositis in head and neck radiotherapy, reduced the symptoms of dyspepsia, and in various clinical trials that reduced the number of bleeding sites in the gingiva in testing its use. It has been reported to treat gingivitis (Molan [2011](#page-180-0); Subrahmanyam et al. [2001\)](#page-181-0). It has also been shown to relieve various ophthalmological inflammatory conditions, relieve pain in unhealed lower extremity sores, and also in kids who were operated of tonsils. Findings retrieved from studies that involve animal origin also show anti-swelling effect: Triggered colitis chemically in mice is reduced and before administration of the natural agent to mice is associated with subsequent gastric inflammation due to ethanol administration prevented the occurrence (Molan [2011\)](#page-180-0). Five hundred (500) microlitres of 50% injection of honey into the mice's paws in 60 min before lipopolysaccharide jab resulted in reduced inflammation, less reaction to discomfort, as well as reduced levels of prostaglandin E2 and nitric oxide (Kassim et al. [2010](#page-179-0)).

In a burn clinical trial in which silver sulfadiazine was related to this natural agent, honey reported a reduced stages of inflammatory markers, reduced malondialdehyde stages, and small numbers of swelling cells are shown in biopsy specimens (Molan and Rhodes [2015\)](#page-180-0). Several findings of anti-swelling action in scientific burns and injuries with little or no bacteria present indicate that there are little or no bacteria due to the aseptically created wounds secondary effects of honey's antibacterial activity in eliminating inflammatory bacteria (Ede et al. [2017;](#page-178-0) Molan and Rhodes [2015](#page-180-0); Bilsel et al. [2002;](#page-177-0) Prakash et al. [2008](#page-180-0)). The actions of anti-swelling by honey were also reported in hospital-based studies to minimize the extent of neck and head mucositis radiation therapy and to irritation of the gingiva therapy. The anti-swelling property of honey is demonstrated in hospitalbased studies to cure dyspepsia and has been shown to be excellent in alleviating different swelling situations in ophthalmology. This agent is also shown to reduce ache that comes with the operation of the tonsils in kids as well as for uncured lower limb ulcers (Molan and Rhodes [2015\)](#page-180-0). In Laboratory investigations with mice, this agent showed a key role in minimizing peritoneal bonds after caecum operation, which usefulness as a therapeutic agent for colitis that is triggered chemically and hinders irritation of the gastrointestinal tract (GIT), which is triggered by doses of alcohol. Injecting 50% honey inside mice paws before inoculating injecting lipopolysugars lead to reduced inflammation, decreased feeling of discomfort, and reduced levels of markers of inflammations (Kassim et al. [2010](#page-179-0)). Research that involves carrageenan-triggered oedema in mice paw, pre-treatment with honey resulted in a minimized dose-dependent oedema and clampdown of nitric oxide synthase induced enzyme, IL-6, COX-2, and tumour necrosis factor-alpha (TNF- $\alpha$ ) gene expression. Anti-inflammatory activity of honey is due to the current herbal phenolic compounds. Although, there was no relationship between the levels of actions of anti-swelling seen in the phenolic compounds that are observed and in honey (Kassim et al. [2010](#page-179-0)). The phenolic component may be involved because it is a group of compounds that exhibits inflammatory cytokine TNF-α synthesis stoppage, but in recent times, it is an added main honey's non-phenolic constituent. Although, a unique constituent of anti-swelling was found, it is rather a protein that functions differently (Kassim et al. [2010](#page-179-0)). This honeybee-derived protein, aparbumin-1, has been found as an element in honey that halts macrophage phagocytosis, which is the initial stage in a series of swelling responses to necrotic soft tissue as well as microorganisms. Studies confirmed methylglyoxal, which is a compound seen only in large amounts in honey, acts in response with aparbumin-1 to saccharify. Glycated aparbumin-1 is a considerable tougher stopper of phagocytosis than the unchanged aparbumin-1 seen in honey. Solution of about 0.5% of honey resulted in the stoppage of phagocytosis of about 67% (Hussein et al. [2012](#page-178-0)).

#### 3.3.3 Antioxidant Activity

Since ROS acts as a messenger and amplifies the feedback of the inflammatory response, honey's antioxidant activity may also contribute to its anti-inflammatory effect, a process that is blocked by phenolic antioxidants (Molan [2011\)](#page-180-0). The use of antioxidants on wounds was shown to minimize swelling, and the key role of honey in making burn healing better was reported to be due to its antioxidant function (Molan [2011;](#page-180-0) Subrahmanyam et al. [2003\)](#page-181-0). Phenolics and methyl syringate are abundant in honey and have been proven as intoxicating superoxide scavengers, which might be probably used to eliminate the key ROS messengers that amplify swelling (Inoue et al. [2005\)](#page-178-0).

#### 3.3.4 Immune Stimulating Effect

The elimination of honey's antibacterial infection can be further enhanced by its immunostimulatory effect (Molan and Rhodes [2015\)](#page-180-0), and thus, the antiinflammatory effect of honey always weakens it to some extent. If in any way immune reaction triggers back the cure of injuries by encouraging control contamination, it certainly contributes to curing via motivation and development of restored tissue (Molan and Rhodes [2015](#page-180-0); Molan [2011](#page-180-0)). Frequent hospital-based findings show that a fast cure is obtained if injuries are healed with honey, and related reports were found in different studies of animal models (Molan [2011;](#page-180-0) Majtan et al. [2010;](#page-179-0) Majtan [2014](#page-179-0)). These findings may be considered the result of honey-suppressing infections. Thus, in laboratory observations with skin burn ulcer on pigs and mice under operations situations, the injuries were found devoid of bacterial cells and the application of honey further enhanced healing rate (Majtan et al. [2010](#page-179-0); Majtan [2014\)](#page-179-0).

In vitro studies have demonstrated that honey's immunostimulatory activity on white blood cells results in cytokines synthesis that leads to cell trigger and development (Molan and Rhodes [2015](#page-180-0)). At a 1% concentration of honey, it was reported to trigger monocyte discharge of TNF-α. However, the triggering of swelling is usually reflected as detrimental, the anti-swelling effects of honey modulate this swelling response (Molan [2006](#page-179-0); Molan and Rhodes [2015](#page-180-0)). Thus, when 1% honey was applied to swollen macrophages, which are generated by activation of monocytes by opsonized zymosan and lipopolysaccharide, TNF- $\alpha$ release was not increased and honey formed in respiratory bursts. It suppressed the formation of reactive oxygen intermediates (Tonks et al. [2003\)](#page-181-0). In a related development, at 1% concentration of honey, TNF-α from monocytes, a cytokine known to play a role in tissues in vivo, interleukin-1 beta (IL-1β), and found to stimulate IL-6 release repair (Majtan et al. [2010](#page-179-0)). Honey has also shown to trigger angiogenesis in vitro in the mice aortic ring test, with honey concentrations up to approximately 0.2% (Rossiter et al. [2010\)](#page-180-0).

#### 3.3.5 Debriding Action

Debridement with honey was reported in some hospital-based studies that involve burns (Molan [2011;](#page-180-0) Esmon [2004](#page-178-0)). One such study reported that honey has the ability to alter eschar development, but in situations where silver sulfadiazine was used, eschar was formed (Thomson [2011\)](#page-181-0). Several researches that reported honey to be potent in debridement of injuries has been found in repository of hospital-based findings on the efficacy of honey as an agent of debridement (Molan [2009](#page-179-0), [2011\)](#page-180-0). Studies that are carried out in hospital settings also report honey as an excellent unusual choice for operational debridement for genital necrotizing fasciitis management (Molan [2011](#page-180-0)). Clinical trials comparing honey and hydrogels for wound debridement showed no statistically significant differences, but found that honey gave better debridement (Gethin and Cowman [2009](#page-178-0)). Outcomes when related to those online from different researches, and in the loss of wounds of the venous leg, honey was retarded than larval treatment, but greater to some cadexomer iodine, hydrogels, enzymes, and hydrocolloids. It was concluded that in experiments with adjacent rabbits on experimental wounds, honey-soaked gauze treated wounds were kept clean and saline-soaked gauze treated wounds resulted in concentrated dark scabs (Molan [2011](#page-180-0)). A report backed the insinuations that honey leads to wound debridement (Molan [2011\)](#page-180-0). It has been postulated that honey enhances plasmin action, an enzyme that particularly degrades fibrin but is incapable of degrading collagen matrix required for tissue amendment. Treating cultures of inflamed macrophages can increase plasmin activity because honey skyrocketed plasmin action in the liquid and honey halt macrophage synthesis of plasminogen activator inhibitor (PAI) (Molan [2011;](#page-180-0) Esmon [2004\)](#page-178-0). Plasminogen activator inhibitors usually block the transformation of plasminogen, the enzymatically sedentary originator of plasmin, to energize plasmin. Since swelling enhances PAI synthesis, it is expected that honey will reduce PAI production, as honey's anti-inflammatory action has been established (Esmon [2004](#page-178-0)).

## 3.3.6 Malodour Activity

Malodour of wounds are a result of combined products of anaerobic organisms, especially bacteria, e.g. Bacteroides, facultative anaerobes (P. aeruginosa), and also volatile fatty acids synthesized from dead tissue (Gethin and Cowman [2009](#page-178-0)). Honey possesses myriad bactericidal, damaging, and halting roles on bacterial cells (Molan [2006\)](#page-179-0) and also makes use of its sugar to minimize volatile acids which possess the ability to minimize malodour of wounds (Pieper [2009](#page-180-0)), which is a sure clinical gain for patients (Gethin and Cowman [2009;](#page-178-0) Gethin [2011](#page-178-0)). A study by Gethin and Cowman (Gethin and Cowman [2005](#page-178-0)) found a case series of eight participants that involves patients aged 22–82 years old and an array of chronic and acute wounds which were around for about 18 months. All the injury except ulcer of mixed causal agents and rheumatoid was healed (94 and 54%, respectively) after a month of using honey as a therapy. Malodour of the wound occurs in three participants and pre-therapy with honey removed the odour fully.

#### 3.3.7 Anti-pain Activity

Pain reduction is a significant part of quick wound healing (Wilkins et al. [2012\)](#page-181-0). Reports by observed information show that treatment of wet injury with honey is able to increase the healing rate and decrease pain that is linked with injuries when related to injuries that are not wet (Hussain [2018](#page-178-0)). Different clinical results that were reported with respect to honey usage have healing properties, anti-pain activity (Okpala [2019;](#page-180-0) Pieper [2009\)](#page-180-0), and scar minimizing are also reported (Dunford et al. [2000;](#page-178-0) Molan [2001\)](#page-179-0). Antibacterial activity of honey negates wound infection and thereby retards recurring inflammation that is caused by infection. The double role gives a strong anti-pain effect and also enhances healing. Worthy to note is that honey also gives a domain that is wet for the tissues of the wound and creates a physical barrier between tissue and bandages. By guiding the strict use of dressings to bed of wounds, bandage changes lead to less pain and tissue destruction (Molan [2002\)](#page-179-0). By meticulously evaluating the injury, the initial aim of healing can be investigated and precise honey application can be suggested.

# 3.4 Mechanism of Action of Honey

Honey's effectiveness largely relates to its therapeutic characteristics like antioxidant, anti-swelling, and antimicrobial actions among others (Samarghandian et al. [2017;](#page-180-0) Hixon et al. [2019](#page-178-0)). Furthermore, it strengthens the body's defence system and triggers the development of cells. Most of the features that make honey a great treatment agent have been described briefly above. Scientists have discussed the ingredients in honey that help enhance the antimicrobial activity (Gambo et al.

[2018\)](#page-178-0). The central ideas are low acidity, osmotic action, and  $H_2O_2$  action. This natural agent is known for its composition of glucose and partly water, and these sugars and water molecules interact strongly to keep other microorganisms largely free of water molecules. Unrestricted  $H_2O$  is measured as water activity (aw), which is as low as 0.562–0.62 for this agent. Bacteria are suppressed in such a toxic domain. Although, the outcomes of researches that relates the efficacy of nature with the effects of adulterated honey, which has the same concentration of sugar and water, show that honey has additional elements that can inhibit bacteria (Vallianou et al. [2014\)](#page-181-0). The low acidity of honey is due to the existence of gluconolactone that is synthesized due to the catalytic activity of maturing sap (Molan [2006](#page-179-0)). Most times, honey's reduced pH prevents a myriad of organisms from breeding at an optimal pH range. Thus, in the experimental environment, the culture liquid has a deactivating activity on the natural agent and prevents its stoppage. Hydrogen peroxide is synthesized by glucose oxidase (located in the hypopharynx of the honey bee) and, when diluted, its action is enhanced 2500–50,000 times. The level of this compound that is found in honey can be evaluated by the quantity of catalase and glucose oxidase (Mandal and Mandal [2011\)](#page-179-0). At increased concentrations, this compound could lead to the destruction of proteins and cells in tissues by producing oxygen radicals. It is interesting to know that some dim honey positively suppressed organisms, notwithstanding the addition of catalase, which justified that extra non-peroxide features were effective (Bernstein [2013](#page-177-0); Mittal et al. [2012\)](#page-179-0). Honey displays non-peroxide antibacterial action and its major factors which destroy microbes have not yet been determined. However, it is believed that this ingredient comes from the unique floral source from which honey originated. Honey is labelled as "non-peroxide" when it exhibits antibacterial activity, even though it is exposed to catalase, which destroys hydrogen peroxide (Bittmann et al. [2010\)](#page-177-0). Experiments by Snow and Manley-Harris (Snow and Harris [2004\)](#page-180-0) relate the effects of a tenfold surplus of catalase with the usual quantity of catalase that is used to terminate  $H_2O_2$ . There was an insignificant association among  $H_2O_2$  and non-peroxide, demonstrating that the non-peroxide action of the antibacterial agent was not because of the remaining  $H_2O_2$  that honey possesses (Snow and Harris [2004\)](#page-180-0). Although, the therapeutic mechanism of honey is not still known, nevertheless it is thought to be derived from a wide array of honey phytochemicals. Discovery was done recently which shows that  $H_2O_2$  action only does not result to DNA strand scission, slightly it is the link attraction among  $H_2O_2$  and the phenolic constituents existing in honey (Bernstein [2013](#page-177-0)). Further experiments have shown that elimination of  $H_2O_2$  by catalase prevents bacterial DNA breakdown, but polyphenols pull out from honey are mediated by the Fenton reaction in the company of Cu  $(II)$  and  $H_2O_2$ . The plasmid DNA was fragmented to small quantity, honey polyphenols showed pro-oxidant action that damages DNA (Bernstein [2013\)](#page-177-0). Therefore, oxidative stress induced by phenol/hydrogen peroxide describes the tool of this agent's antibacterial action and DNA destroying effects (Jaganathan and Mandal [2009\)](#page-179-0). Inflammation is a key stage in treating injuries, and this natural agent has been shown to trigger intracellular monocytes to discharge the cellular messengers' cytokines TNF-a, 1, and IL-6 that mediate immune responses. Furthermore, honey encourages the

synthesis of T, B, and neutrophils that help immunity. In chronic wounds, sustained swelling could stop restoration and leads to destruction (Mittal et al. [2012](#page-179-0)). In extreme swelling, white blood cells discharge prostaglandins, causing ache. Other biochemical messengers encourage inflammation, restricting blood movement via capillaries and starving the damaged tissue for the nutrients and  $O<sub>2</sub>$  it needs. Species of reactive  $O_2$  are generated and can wear away body matters. Unnecessary fibroblast action results in scarring and fibrosis. Because honey has an enhanced antiswelling effect, applying it on a wound reduces the effects of white blood cells, reducing ache, scarring, and injury exudate (Mandal and Mandal [2011](#page-179-0)). Antiswelling effect of honey is related to its phenolic composition, the findings of experiments conducted on rat paw swelling show that extracts of honey methanol and ethyl acetate reduce inflammatory markers and signs. Through suppression of swelling, reduction of ache, and minimization of mediators of swelling examined (PGE2 and NO) (Clark and Adcock [2018](#page-178-0)). The presence of polyphenols and flavonoids in honey enhances its antioxidant action, it is known as honey's capacity to hunt free radicals. These free radicals tend to be detrimental to human system due to the fact that they create havoc to the body's proteins and DNA and lead to the destruction of cells. Honey's antioxidant action is also pointed towards its capacity to halt the early development of free radicals rather than its capacity to hunt free radicals. Swelling is the body's normal reaction to contamination and wound and produces superoxide. Superoxide is changed to  $H_2O_2$ , producing highly reactive peroxide radicals (Brudzynski and Lannigan [2012\)](#page-178-0). Peroxide radicals are produced due to the Fenton reaction and are catalyzed by metal ions ( $Fe<sup>3+</sup>$  and  $Cu<sup>2+</sup>$ ). The presence of polyphenols and flavonoids in honey appropriate these metal ions in composite with biological particles, and this process has made honey a very strong antioxidant. Polyphenols hinder severe prolonged diseases like carcinoma, diabetes, and cardiovascular disease which result due from oxidative trauma (Brudzynski et al. [2012\)](#page-178-0). Specifically, polyphenols in honey have been shown to inhibit oxidative degradation reactions. Inoue et al. ([2005\)](#page-178-0) evaluated the antioxidant activity of different honey using a radical of 1, 1-diphenyl-2-picrylhydroazyl (DPPH) and (methyl  $(CH_3)$ , hydroxyl (OH), and superoxide anion  $(O_2)$ ) hunting system. Honey of the buckwheat type showed the maximum hunting action against radicals of hydroxyl and DPPH, and Manuka honey hunts especially for superoxide anion radicals because of its increased methyl silicate amount. Apparently, the honey polyphenols are strongly associated with the antibacterial, antioxidant, and anti-

## 4 Honey and Its Role on Wounds

swelling activity of honey (Inoue et al. [2005](#page-178-0)).

In the human system, honey is able to minimize oxidative reactions, considering the important antioxidant components characterized by its ability to feed on free radicals (Molan [2011\)](#page-180-0). Furthermore, flavonoids and other polyphenols in honey are capable to impound ions of metals in the form of complexes in order to halt the free radicals

formation (Baltrusaityte et al. [2007](#page-177-0)). Hence, honey would exert its antioxidant properties to stop free radicals formation triggered by ions of metals, for example  $Fe<sup>3+</sup>$  and Cu<sup>2+</sup>. Honey can as well trigger body immune system to fight infection. To be more specific, it encourages the multiplication of T and β lymphocytes in cells as well phage-producing  $H_2O_2$ , which is a major component of their bacteria destroying activity (Molan and Rhodes [2015;](#page-180-0) Molan [2011](#page-180-0)). Also, the mechanisms of action of honey include antimicrobial, antioxidant, and peroxide generator, which enhance its ability of inducing pro-inflammatory cytokine production, and retards biofilm formation, halt progression of bacterial cell cycle, together with its capacity to retard wound pH and modulate pain perception (Samarghandian et al. [2017;](#page-180-0) Hussain [2018](#page-178-0); Bowler et al. [2011;](#page-177-0) Wolcott et al. [2008](#page-181-0)). Regardless of wounds severity, honey serves promising to occupy a convincing position especially in the processes of treating injury. Ingle et al. ([2006\)](#page-178-0) carried out a study that is randomly controlled on wound healing with honey. Honey among ancient wound treatment substances was shown to create moist healing environs, particularly harmless to (human) body (internal/external) tissues yet stimulating both healing processes and epithelialization. Increasingly gaining acceptance as mainline wound care, there still remains some concerns about honey's potency, stability, and contamination from natural sources, all of which have brought about some increases in products' licensing, regulation, and standardization (Ingle et al. [2006\)](#page-178-0). How honey gets applied to a wound, and how it gets supplemented in wound dressing, is believed to influence its healing efficacy (Molan [2006,](#page-179-0) [2011\)](#page-180-0). This is because wound exudates can either activate or dilute its healing properties. Indeed, advances continue to increase about honey's wound healing properties, how it is used, its product availability, and development around the globe. Yaghoobi et al. [\(2008](#page-181-0)) reviewed the evidence of using honey scientifically in treating injuries as an agent of antiswelling, antioxidant, antibacterial, and against viruses. In its traditional perspective/sense, honey was reported to remain very promising in treating burn, wounds that are infected, and those that resist cure as well as boils, ulcers, pilonidal sinus, as well as diabetic foot ulcers (DFUs) (Yaghoobi et al. [2008\)](#page-181-0). Honey was also shown to be efficacious in treating venous ulcers and malignant wounds as it would improve wound hygiene, especially when it is used in coating the dressing (Bernstein [2013\)](#page-177-0). Oryan et al. (Oryan and Zaker [1998\)](#page-180-0) researched both meta-analysis and narrative studies of biological features and therapeutics role of honey in curing injuries. Their study revealed that good quantities of lipids, amino acids, carbohydrates, proteins, minerals, and vitamins in honey help in wound healing, as it provides minimum trauma during redressing (Oryan and Zaker [1998](#page-180-0)). Also, medicinal values and wound-healing properties can differ across honey types and can improve the results of curing injuries by minimizing unnecessary scar development. Honey not only helps in both limiting and preventing bacterial infection, but also, reduces the bioburden of (emerging/existing) wound(s) (Vallianou et al. [2014\)](#page-181-0). This function is derived from its biochemical properties associated with peroxide generation via intrinsic glucose oxidase activity. Honey possesses both hydroscopic and mechanophysical properties, by hampering biofilm development to limit the degree/extent of wound oedema (Hussain [2018;](#page-178-0) Vandamme et al. [2013](#page-181-0)). Besides, honey's wound healing potential prevails via mechanisms of antimicrobial action, immunologic modulation, and physiological mediation (Molan and Rhodes [2015](#page-180-0)). Primarily, an injury must have occurred before the processes of wound hypoxis can then start to take place, which subsequently gets followed by bacterial colonization specifically around the wound itself. After honey is applied, both clinical and (proposed) mechanisms can take place, through inflammatory, proliferations, and remodelling phases, after which the wound healing it would subsequently target to become accomplished (Clark and Adcock [2018;](#page-178-0) Maddock [2012\)](#page-179-0). Besides, the clinical uses of honey would cut across acute, chronic, and mixed acute/chronic wounds. Majtan [\(2011](#page-179-0)) found several honey models and their biological roles, which are able to induce wound-healing capacities. The immunomodulatory action of honey on immune/cutaneous cells takes place during the process of wound healing (Majtan [2014\)](#page-179-0). Upon its application to any given wound, honey basically begins to either inspire or hinder the discharge of some factors as MMP-9, cytokines, and Reactive Oxygen Species (ROS), from safe and cutaneous cells and this is very much dependent on the condition of wound (Majtan [2014](#page-179-0)). Moreover, honey can equally induce emitting of proinflammatory cytokines and MMP-9, which is agreed to take place during the inflammatory and proliferative wound-healing phases. By controlling inflammation of wounds, honey has shown great promise to bring an end to any of such prolonged inflammation of wounds, in the view to reduce the raised levels of proinflammatory cytokines, MMP-9, as well as ROS (Molan and Rhodes [2015;](#page-180-0) Majtan [2014\)](#page-179-0). Honey's wound healing activity/potential involves a great deal of processes, from sanitizing the surrounding of wound location and indeed, the capacity to remain very efficacious in both healing and repair processes, so as to eventually reduce the scar formation. More promisingly, honey depicts great potential to help heal wounds that may seem medically complex to solve (MEDIHONEY®HCS [2013;](#page-179-0) Hixon et al. [2019\)](#page-178-0).

# 5 Empirical Studies of Wound Healing using Honey

Evidence of using honey to heal wounds has been reported by several researchers (Okpala [2019;](#page-180-0) Clark and Adcock [2018](#page-178-0); Molan [2011](#page-180-0)). Positive honey reports of wound healing were found in randomized controlled trials (RCTs) and clinical trials (Molan [2006,](#page-179-0) [2011](#page-180-0); Malik et al. [2010\)](#page-179-0). The profits of using honey to treat wounds have also been shown in several researches with multiple wounds, allowing honey to be compared with other treatments (Motallebnejad et al. [2008](#page-180-0); Rashad et al. [2009\)](#page-180-0). Researches that are clinically carried out include burns that are either partially thick or surface, surgical injuries that are infected, prolonged ulceration of the leg purulent myositis pus, and donor location from split-thickness skin grafts, a type of necrotizing fasciitis. Fournier's gangrene, and a catheter performed at the exit site of the central vein (Malik et al. [2010](#page-179-0); Baghel et al. [2009;](#page-177-0) Sami et al. [2011\)](#page-180-0). Evidence that honey is not harmful is found in the lack of hostile properties shown in quite a number of studies (Molan [2011](#page-180-0); Sami et al. [2011\)](#page-180-0). Patients are often reported to have

severe pain in honey, when the wound is swollen and also because of the acidity content in honey (Molan [2011\)](#page-180-0). Nerve endings of the nociceptor that notice sourness are sensitized by swelling. This is a scientific evaluation that honey susceptibility reduces in times when the amount of honey required to enable honey's antiinflammatory action is retained in the wound bed explain inflammation (Vandamme et al. [2013\)](#page-181-0). The justification for the honey's act to enhance destruction of wound infections and to promote recovery is obviously justified nevertheless poorly acknowledged due to the lack of advertising (Bernstein [2013](#page-177-0); Molan [2011](#page-180-0)). What little is known about honey by wound care professionals is another bioactivity that is also associated with encouraging the recovery of injury: anti-swelling role, antiox-

idant role, stimulating self-degrading debridement, encouraging cell development

for the healing of tissues and osmosis (Okpala [2019](#page-180-0); Hussain [2018\)](#page-178-0). The study compared the activities of silver sulfadiazine and honey in the burns therapy (Aziz and Abdul Rasool Hassan [2017](#page-177-0); Jull et al. [2015;](#page-179-0) Vandamme et al. [2013\)](#page-181-0). They found that the thorough cure period for surface thick injuries had a usual healing time reduction of 5 days, indicating that honey was highly preferred. The percentage of wounds that were treated and the amount of injuries contaminated that undergone sterilization were as well considerably higher for honey related to less harmful silver sulfadiazine. Research by Jull et al. [\(2015](#page-179-0)) reported a poor value indication of a difference between orthodox cure and honey therapy of mild severe injuries. The average variance in the period of treatment between these two methods was statistically insignificant. Two studies on the time-to-heal outcome of venous leg ulcers treated with honey impregnation and normal care or hydrogel therapy were uncertain if honey enhances wound cure (Jull et al. [2015](#page-179-0)). Examination of every study showed harsh outcomes (whether treatment is in-line with therapy or without therapy) revealed considerably further trials stated among a set of honey, such as worsening discomfort and ulcers. There was uncertainty if this therapeutic agent could minimize the contamination rate of leg ulcers. Research by Kateel et al. [\(2016](#page-179-0)) posited that surface application of honey was greater in action than superior to orthodox therapeutic methods in the treatment of DFUs in three of the five studies, which are randomly controlled, but two of the five RCTs cured of two treatments and there was no difference. No adverse events were also reported. Jull et al. [\(2015](#page-179-0)) reported that there was no statistically significant association between honey cure and saline gauze after 4 months or the healing of undesirable wound pads after a month. There was inadequate proof to comment on the activity of honey on the infection of diabetic foot ulcers. One study likewise observed honey's activity on DFUs in RCTs of four persons. The report showed that the association is insignificant statistically in cure rates of regulated sets and honey (Tian et al. [2014\)](#page-181-0). Vandamme et al. [\(2013](#page-181-0)) study did not find enough backup for anti-inflammatory effects, deodorant effects, debridement effects, or injury discomfort and found an insignificant good antibacterial activity that points honey as favourable. Studies have shown that it is uncertain if honey improved recovery in a prolonged injury of varied populace when compared to regular povidone-iodine and film dressing (Jull et al. [2015\)](#page-179-0). The honey-treated wounds healed an average of 13 days faster than the silver sulfadiazine-treated wounds. Harsh outcomes like bulimia, irritation, contractures,

and hypertrophic scarring were found in 4% of honey groups when related to the 28% of silver population (Jull et al. [2008b](#page-179-0)). The research determined the antibacterial action of honey from the source and adulterated ones on the development and biofilm establishment of *Streptococcus mutans*. The honey from the source showed reduced growth and larger zones of inhibition than the adulterated wells (Nassar et al. [2011\)](#page-180-0). Various researches have reported that honey is active in limiting oral pathogens. S. mutans is the major bacterium that causes tooth decay, including other bacteria that produce microbial communities on the tooth surface, called tooth biofilms. Living organisms synthesize lactic acid that decalcifies teeth (Nassar et al. [2011\)](#page-180-0). At 100% concentration, honey shows excellent outcomes counter to P. aeruginosa, S. aureus, and other organisms that is found in contaminated core canals. Recent researches by Gambo et al. [\(2018](#page-178-0)) and Ede et al. ([2017\)](#page-178-0) have found the antibacterial activity of honey showed antibacterial activity against a test bacterium in which S. aureus was more active than P. aeruginosa (Gambo et al. [2018\)](#page-178-0), while, P. aeruginosa has the highest MIC (50 mg/ml) (Ede et al. [2017](#page-178-0)). They both conclude that honey activity is successful in inhibiting pathogenic bacterial isolates and should be considered as a therapeutic agent for wound infections (Ede et al. [2017;](#page-178-0) Gambo et al. [2018\)](#page-178-0). Recent studies reported medical-grade honey (MDH) as having pro-healing and antimicrobial characteristics, especially for paediatric severe wounds (Smaropoulos and Cremers [2020](#page-180-0)). Hosseini et al. [\(2020](#page-178-0)) reported that honey accelerates wound healing and has a promoting effect on wounds more than any other natural product. In a 2020 study by Frydman et al. ([2020\)](#page-178-0), Manuka Honey Microneedles (MHM) have been proven to possess marvellous bactericidal effect especially against MRSA at concentrations below 10%, vacuum-treated honey was the most bactericidal, showing as high as bacterial concentrations reported to kill  $8 \times 10^7$  CFU/ml. Treatment of wounds assays showed that at a concentration of 0.1%, cooked honey reported an incomplete closure of the wound, whereas vacuumtreated honey tended to have closure of wound that is faster (Frydman et al. [2020](#page-178-0)).

# 6 Conclusion

This chapter briefly described the use of honey as a potential wound healing agent. Since ancient times, honey has been used as an important nutritional medicine. It has antibacterial, anti-inflammatory, immune-stimulating, antioxidant, and malodorous properties that may help combat infectious agents and wound healing. With the advent of antibiotics, pharmaceutical companies have focused on the development of potentially harmful and exorbitant antibiotics. In the new era, antimicrobial resistance has resulted in researchers to look at the efficacy of old therapies. They are currently exploring honey's mechanism of action, an appreciable number of studies have succeeded in determining the biochemical reasons for their activity. Honey's effectiveness as a wound healing tool has been clearly shown, thus, the once abolished solution has recently developed general interest as an established therapeutic solution.

# <span id="page-177-0"></span>7 Future Prospects for Using Honey in Wound Healing

The future outlook of honey and its use in wound healing revolves around the following:

- 1. Clinical trials should focus on discovering new antibacterial properties of honey. This provides a variety of treatment options for different infectious agents.
- 2. An approach that is systematic is imperative in the assessment and identification of injury infections which will help clinicians treat patients with wound infections.
- 3. Honey researchers are now investigating into honey's mechanism of action, and most have recorded substantial breakthroughs in the validation of the biochemical explanations towards this regard.
- 4. Researchers have started to demonstrate the abundant composition of phenols which is in honey that halts malignant cell growth and gives antitumour function (Jaganathan and Mandal [2009\)](#page-179-0).

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### 1 Introduction

Wound is defined as the anatomical rupture of the tissue that can be due to chemical, microbial, thermal, physical, or immunological changes (Ikobi et al. [2012\)](#page-217-0). Restoration of the structural and functional integrity of the wound is a dynamic process involving a cascade of various phases like inflammation process, tissue formation, and tissue remodeling. The wound healing capacity may vary based on the wound environment and the individual's health status (Kerstein [1997](#page-217-0)). As per the retrospective survey carried out by Sen in 2019  $\sim$ 8.2 million people have wounds with or without infections that results in \$28.1 billion to \$96.8 billion Medicare cost. Treatment cost of surgical wounds, diabetic foot ulcers, and other chronic wounds with physiological complications like aging, metabolic disorders, obesity imposes huge challenges at economic, clinical, and social front. Economically, the annual usage of wound care products is expected to reach \$15–22 billion by 2024. Looking to the increasing number of cases and economic burden, "WOUND" is incorporated as one of the categories by The National Institutes of Health's (NIH) Research Portfolio Online Reporting Tool (RePORT) (Sen [2019\)](#page-220-0).

There are various agents available for restoring and healing the wound. To name a few, antibiotics and antiseptics, desloughing agents, chemical debridement (hydrogen peroxide, Eusol), collagenase ointment, wound healing promoters, etc. (Raina et al. [2008\)](#page-220-0). However, owing to the higher treatment expenses, side effects, and drug resistance, public attention is moved towards utilization of the ethnobotanical knowledge as primary healthcare to address their healthcare needs and concerns

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<sup>©</sup> The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2021 P. Kumar, V. Kothari (eds.), Wound Healing Research, [https://doi.org/10.1007/978-981-16-2677-7\\_6](https://doi.org/10.1007/978-981-16-2677-7_6#DOI)

(Robinson and Zhang [2011\)](#page-220-0). As per the World Health Organization (WHO), approximately 70–80% of the world's populations depend on nonconventional medicines that are mainly of herbal origin for healthcare (Stephen et al. [2014\)](#page-221-0). The most ancient and traditional medicinal system of India, "THE VEDIC MEDI-CINE," attributes to a holistic approach to health and personalized medicine (Semwal et al. [2015\)](#page-220-0).

This chapter aims to discuss various traditional plants used for the treatment and management of different types of wounds.

### 2 Types of Wound and Pathophysiology

Owing to the increasing complexities of wound repair, choosing appropriate diagnosis and to know about the related risk, classifying various types of the wound is very imperative (Devaney and Rowell [2004](#page-215-0); Irfan-Maqsood [2018](#page-217-0)). Wounds that have been caused by burn usually classified based on its cause, colour, location, etiology, depth of injury, degree of contamination, wound healing duration, etc. (Baranoski and Ayello [2008](#page-214-0); Chard [2008;](#page-215-0) Gomez and Cancio [2007](#page-216-0); Tiwari [2012\)](#page-222-0). Cutaneous wounds are formed due to some external factors like accidental injuries and internal factors like prolonged diseased conditions, e.g., diabetes. Wounds are basically classified as chronic wounds and acute wounds, depending on their healing period.

Chronic Wound Due to aging, obesity, diabetes, and cardiovascular diseases, rate of the chronic wounds is expected to rise in the future (Powers et al. [2016\)](#page-219-0). This type of wound does not follow timely and orderly healing process (Mustoe [2004;](#page-218-0) Moreo [2005\)](#page-218-0). Such wounds are categorized into (1) venous/vascular ulcers: It is very common type of wound and more prevalent in legs (Nelson et al. [2006](#page-219-0); Jones and Nelson [2007\)](#page-217-0). The major events consist of activation of polymorphonuclear cells (PMN), endothelial cells, platelet aggregation, and internal edema (Brem et al. [2004\)](#page-215-0). (2) Diabetic ulcers: Majorly the small wound injuries in the diabetic patient remain unnoticed for longer period of time owing to compromised neurological actions. Additionally, partially functional immune system will not allow the speedy recovery of the injury that leads to diabetic ulcers (Mustoe [2004;](#page-218-0) Moreo [2005\)](#page-218-0). (3) Pressure ulcers: Paralytic patients are most prone to such conditions due to the immobility of the body. The ratio of the blood pressure, the blood capillaries, and blood flow disturbs markedly. (4) Ischemic wounds: In such wounds, the blood supply gets restricted to the injured part that results in less or no supply of oxygen and glucose, and hence the metabolism and repair process get either slow or halted (Xue et al. [2009\)](#page-222-0). Apart from all these conditions, microbial colonization at wound cause inflammation in the chronic condition wherein the proteinase-induced tissue degradation caused due to anaerobic condition lead to the severity of the chronic wound (Darenfed et al. [1999\)](#page-215-0).

Acute Wound It is defined as the type of wound caused by an external injury like trauma or surgery. Such wounds heal in stipulated time and order as it follows an accurate balance of generation and degradation of cells and extracellular matrix (Bowler [2002](#page-215-0)). Types of acute injuries are briefly described as follows; superficial wounds that may occur due to puncturing of the skin by nails or knives. Scrapes that occurs due to rubbing of skin with some rough surfaces. Avulsions or Contusions are produced by pulling any body part or forceful strike. Crush or cut occurs when person has been injured with some sharp instrument like a knife or when some heavy object result in crushing of the tissue. Childbirth is an example of the wound known as lacerations wherein tremendous force is required in order to tear the tissues. Velocity wounds are caused by gunshots or ballistic trauma where high-speed objects enter the body, injuring the tissue integrity (Muhammad et al. [2016\)](#page-218-0).

### 3 Mechanism of Wound Healing

Compromised wound healing leads to the poor quality of life that results in less active lifestyle (Hopman et al. [2009](#page-217-0); Edwards et al. [2013\)](#page-215-0). Chronic wounds are characterized by a longer wound healing period with prolonged or halted proliferation phase. The USA claims approximately 25 billion dollars on wound management annually (Sen et al. [2011](#page-220-0)). Wound healing is a crucial physiological collaborative process that depends on the critical interplay between cellular and biochemical processes (Shaw and Rognoni [2020\)](#page-220-0). The normal wound healing process is basically divided into four steps, i.e., hemostasis, inflammation, proliferation and repair, and lastly remodeling (Reinke and Sorg [2012](#page-220-0); Morton and Phillips [2016\)](#page-218-0) (Fig. [1\)](#page-185-0). Any disturbances in these cascadual events lead to the formation of chronic ulcers and/or excessive scarring (Landén et al. [2016](#page-217-0)).The major steps of the wound healing process are as follow;

Hemostasis This phase of the repair begins within minutes to hours of injury (Queen et al. [2004](#page-219-0)). The very first response to the injury is the constriction of the blood vessels, but the spasm relaxes soon. In order to seal the blood vessel, the army of the platelet reaches to the wound and starts secreting vasoconstrictors, i.e., serotonin along with initialization of the blood clotting events (Reinke and Sorg [2012\)](#page-220-0). In ATP dependent fashion, platelet adheres to Type I collagen for forming the hemostatic plug and also activate proteins like fibronectin, vitronectin, and thrombospondins which collectively aid for migration of keratinocyte, fibroblasts, and other immune cells (Balaji et al. [2015](#page-214-0)). Eventually, platelet degranulation stimulates the release of several immune-modulators like IL-1 $\alpha$ , IL-1 $\beta$ , IL-6, IF-γ and tumor necrosis factor (TNF)- $\alpha$  to activate the complement system that in turn releases histamine, which causes capillary dilation. This event allows the switching of the hemostasis phase to the inflammation phase by accelerating the migration of immune cells to the wound site (Ellis et al. [2018\)](#page-215-0).

<span id="page-185-0"></span>



Inflammation The inflammatory stage of the wound healing represents initially by erythema, swelling, and warmth. This phase generally lasts for 3–4 days. However, the events greatly overlay with phase one. The events of this phase are very tightly regulated by the release of various factors by timely cellular communication. Briefly, the inflammatory phase consists of the following events. This phase facilitates infiltration of neutrophils and monocyte to the injury site, wherein they repair tissue and engulf foreign organisms (Bianchi and Manfredi [2009\)](#page-215-0). The broken fibrin gets digested by fibrinogen. The key regulators like TNF- $\alpha$ , IL-1 $\beta$ , IL-6, CXCL8, CXCL2, and monocyte chemo-attractant protein-1 (MCP-1) level increases at greater extent in order to stimulate angiogenesis, boosting keratinocyte and fibroblast levels and also aid in adhesion of keratinocytes to dermal layer (Theilgaard-Mönch et al. [2004](#page-222-0)). Macrophage secretes many forms of the matrix metalloproteases (MMPs) that helps to remove dead tissues (Field and Kerstein [1994](#page-216-0)).

Proliferation and Repair Onset of the proliferation phase will occur on day 4 of the injury, and it usually last till 21 days. This phase is characterized by angiogenesis, collagen deposition, tissue granulation, and re-epithelializing of the wound surface. Briefly, Fibroblast is the main player of tissue granulation along with type III collagen. The re-establishment of the vascular channels, i.e., angiogenesis is carried out by pericyte cells by regenerating the outer lining of the endothelial cells. The epithelialization is initiated by the proliferation and migration of the keratinocytes from the wound edge to the center by migration of the epithelial stem cells. Keratinocytes, macrophage, platelets are known to secrete epidermal growth factor (EGF), keratinocyte growth factor (KGF), and transforming growth factor-α (TGF-α) that may help promoting re-epithelialization (Iorio et al. [2015](#page-217-0)).

Wound Remodeling Remodeling usually commences around 2 weeks post-injury and continues till approximately 1 year, depending upon the individual's health status. This phase is marked by scar formation (Landén et al. [2016\)](#page-217-0) and the slowing of angiogenesis. During this process, the protein collagen type III is replaced by the collagen type I, which is stronger in nature (Wynn [2004](#page-222-0)). Lastly, the contraction of the wound is promoted by the myofibroblasts (Yannas et al. [2017\)](#page-222-0) that eventually differentiate into fat cells (Plikus et al. [2017\)](#page-219-0).

# 4 Traditional Approach for Enhancing Wound Healing and Its Ethnopharmacological Validation

Day by day, the process of drug discovery is becoming extremely costly, riskier, and inefficient. Failure of developed drugs and serious innovation deficit is the major concerns of big pharmaceutical companies. As a result of which, there has been a remarkable shift to multi-targeted drugs based on the traditional Medicare knowledge. Reports state the usage of specific plant parts for the treatment of wounds. In this chapter, we have presented various medicinal plants and polyherbal formulations that display wound healing properties. Table [1](#page-188-0) summarizes the list of the plants, their scientific and common name, part of the plant used for the healing purpose, status of their pharmacological validation, and inform about the In Vivo and In Vitro studies that have been carried out.

All the plants listed in Tables [1](#page-188-0) and [2](#page-209-0) display the wound healing activity for different types of wounds when used alone or as polyherbal decoction respectively. Elevated wound contraction, increased tensile strength, proliferation of fibroblast, angiogenesis, differentiation of keratinocytes, re-epithelization, and remodeling are the key features of the wound healing process that have been observed during the treatment with plant extracts. The biomarkers of the wound healing process, i.e., hydroxyproline, hexuronic acid and hexosamines are found to be increased upon treatment using listed medicinal plants. Chronic wounds are characterized by the prolonged period of wound recovery. Microbial infections at wound site increase complications in the wound healing in such conditions. Tables [1](#page-188-0) and [2](#page-209-0) mention about the plants with antimicrobial and antifungal activities that might be added advantage provided by these plants for treatment of the microbial infection at the wound site. Reactive oxygen species are the toxic products that cause oxidative stress during the inflammatory phase of the wound healing process. Plant secondary metabolites such as alkaloids, phenols, triterpene, etc. are known to have free radical scavenging abilities, and hence such plants are considered as beneficial for wound care. As per our observations, listed members in Tables [1](#page-188-0) and [2](#page-209-0) have been demonstrated powerful antioxidant properties that makes them utilized for wound treatment. The plant extract of Acanthaceae members reported significantly reduced wound size in rat excision wound model by enhanced collagenation, angiogenesis, and re-epithelialization (Agyare et al. [2013a\)](#page-214-0). A. aspera and A. sessilis leaves are known to use in wound care in the Ethiopian region. The results of the scientific studies proved the remarkable increase in neovascularization, epithelization, and in numbers of fibrocytes in order to organize epidermal layer. Ointment of methanol leaf extract, ethanol, and chloroform extract cream of P. lappacea is a well-known African folklore medicine for the treatment of chronic wounds, skin infections, and boils (Agyare et al. [2009\)](#page-214-0). The methanolic root extract of the Buchanania lanzan Spreng displayed increase in the tensile strength of the incision and excision wound model as compared to the methanolic extract of the fruit (Chitra et al. [2009](#page-215-0); Pattnaik et al. [2013](#page-219-0)). Some of the studies have reported the isolation of potent bioactive compounds from plant extracts for wound healing. For instance, Centella asiatica (L.) is known for the treatment of burns and post-operative hypertrophic scars. Isolates from this plant, i.e., asiaticoside and triterpene (asiatic acid, madecassic acid, and madecassoside) are the prime components responsible for wound healing (Somboonwong et al. [2012\)](#page-221-0). Protocatechuic acid from the n-butanol fraction of Trianthema portulacastrum L. (Yadav et al. [2017\)](#page-222-0), calophyllolide from Calophyllum inophyllum (Nguyen et al. [2017\)](#page-219-0), Flavonoids [hyperoside, isoquercitrin, rutin and  $(-)$ -epicatechin] and naphthoquinones (hypericins) from Hypericum perforatum (Suntar et al. [2010a](#page-221-0)), leutolin from Martynia annua (Lodhi and Singhai [2013\)](#page-218-0), emblin compound from Embelia ribes Burm. (Swamy et al. [2007\)](#page-221-0), quinone compound, embelin from Embelia ribes Burm. (Swamy et al. [2007](#page-221-0))

<span id="page-188-0"></span>

Table 1 Ethnobotanical information on plants used in the treatment of various types of wound Table 1 Ethnobotanical information on plants used in the treatment of various types of wound



















Table 1 (continued) Table 1 (continued)





Table 1 (continued) Table 1 (continued)













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 $\overline{AM}$  Antimicrobial,  $AF$  Antifungal,  $AO$  Antioxidant,  $AI$  Anti-Inflammatory AM Antimicrobial, AF Antifungal, AO Antioxidant, AI Anti-Inflammatory



Table 2 Ethanobotanical information on Polyherbal Formulation used in the treatment of wounds Table 2 Ethanobotanical information on Polyherbal Formulation used in the treatment of wounds

<span id="page-209-0"></span>





Table 2 (continued) Table 2 (continued)



<span id="page-213-0"></span>and calceorioside B, homoplantaginin (hispidulin-7-O-glucoside), and plantamajoside from the aerial parts of Plantago major subsp. major L. (Genc et al. [2020](#page-216-0)) have also demonstrated their active role in the wound healing process. The ethanolic extract of the *Woodfordia fruticosa* flower found immune-modulatory effects during the primary phase of wound healing. Levels of pro-inflammatory cytokines TNF-α, IL-6, and anti-inflammatory cytokine IL-10 levels were found to be up-regulated (Verma et al. [2013](#page-222-0)). Amongst polyherbal preparations, ampucare was found to be most effective.

### 5 Conclusion

This chapter displays a vast variety of medicinal plants and polyherbal formulations showing wound healing properties that are tested and validated using In Vivo and In Vitro models. In spite of the rich knowledge regarding the traditional Medicare system, there is a lack of clinical evidences for most of these formulations. Other major challenge includes variation in the scientific proofs from the observations of the tribal communities. This may be because, the researchers screen the pharmacological compound using organic solvent systems and sometimes water, whereas traditionally it is being used as paste or juice of the fresh plant material and sometimes they also being mixed with some other plant species so as to impart synergistic effects. Our observations also confirm that the polyherbal formulations are more effective for wound care even at low doses. Most of the available studies display the extent to which specific plants component or polyherbal formulation is effective in the wound healing process. It, therefore, behooves the strong need of the hour to find out novel bioactive compound(s) of the available formulations by characterizing the plant extracts in order to study its molecular targets, which can be beneficial to all for wound care.

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# Mainstreaming Traditional Practices for Wound Management



Bharat Patel, Vijay Kothari, and Niyati Acharya

#### 1 Introduction

A significant public health issue remains to be the successful management of wounds (Järbrink et al. [2016](#page-246-0)), and delay to cure, or elongation of the wound healing cycle contributes to the additional economic and social burden on health care facilities, staff, and patients. A wound can be defined as a disruption in the continuity of the epithelial lining of the skin or mucosa. Injury, due to surgery or accident, results in destruction of tissue, disruption of blood vessels and extravasations of blood constituents, and hypoxia. Wound healing is a very complex and dynamic process and has three phases (inflammatory phase, proliferative phase, and maturation phase) which works by replacing devitalized and damaged cellular structures and layers of tissue. The damaged tissue is repaired by many underlying biochemical events integrated into an organized cascade of processes. Many developing countries have witnessed the prevalence and tremendous rise of different types of wounds such as chronic and acute wounds, pressure ulcers, venous stasis ulcers, and diabetic ulcers. Developing countries have exponentially increased average lifespan, which has contributed to the development of many degenerative diseases (Frykberg and Banks [2015](#page-245-0)).

Curing of wounds has been a matter of interest for many, starting from the days of Sushruta in Ancient India. Sushruta Samhita provides two different sections concerned with the healing of such wounds and mentions more than 100 plants both individually and in combination for the treatment of wounds. Sushruta not only listed techniques and medications for getting a clean wound supported by healing,

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but also the medicines for managing scars associated with wounds (Kumar et al. [1994;](#page-246-0) Udupa et al. [1970](#page-248-0)). Traditional medicine (also known as indigenous or folk medicine or alternative medicine) knowledge database has been established over generation to generation in different cultures before the context of modern medicine has been used to manage various diseases. Traditional medical practices in human cultures before the introduction of modern science have been ancient and culturallybased medical practices. In accordance with the social and cultural heritage of particular nations, traditional medicine practices differ widely. The challenges of sustaining health and managing diseases are faced by the establishment of a medical system in every human society.

Around 80% of the population in Asian countries depends on traditional medicine for their basic health care-related needs. The uses of herbal, Ayurveda, Siddha, Unani, Acupuncture, and some other non-medical expertise and procedures are known as traditional or indigenous traditional medicines (World Health Organization [2002](#page-249-0)). The World Health Organization (WHO) defines natural remedies as "public health, strategies, values and skills that incorporate medicines based on plants, animals and minerals, spiritual medications, home remedies and exercises, used individually or jointly to cure, evaluate as well as prevent disease or establish quality of life-being" (World Health Organization [2019](#page-249-0)). Many traditional systems of medicine (TSM) like Ayurveda and Siddha from India, Traditional Chinese herbal medicine (TCM) from China, Traditional African Medicine (TAM) from Africa and Native American medicine are the classical examples of successfully adopted TSM practices. For certain traditional medicine procedures worldwide, the usage of plant species in the treatment of acute and chronic wounds is very popular. Depending on it, several species have been tested for their wound healing action throughout the tropical and subtropical parts of the world. But several medicinal plants and promising bioactives still need to be tested in terms of modern, more powerful, and costeffective wound healing product development. The use of medicinal plants, animal products, methods of preparation, type of care and attention given to patients vary among different cultures in the traditional approach to wound care; however, severity and cause of the wound are some significant considerations. Generally, traditional medicines were overlooked by the modern medical systems after allopathy medicine was introduced to the world. Ayurveda, the Indian traditional system of medicine, is the most ancient system but still facing evidence-based issues with respect to global acceptance. Nevertheless, recent research and developments have arisen a positive wave in the perceptions of traditional medicines with respect to conventional medicine. In terms of wounds, modern medicine is slightly different from traditional practices. Traditional methodologies concentrate on natural resources such as water, plants, animals, and minerals, and the majority of the world's population continues to value and practice them widely. In modern medicine, the most frequently used antiseptic drugs for preliminary care of wounds contain povidone-iodine/or its combination, chlorhexidine, hydrogen peroxidebased preparation, silver nitrate formulations, silver sulfadiazine, and topical antibiotics. The routine clinical settings are typically used for the burns, cuts, and scratches of acute skin infections. Nevertheless, a continuous or overuse of topical antibiotics

can trigger problems related to antimicrobial resistance amongst pathogens. Thus, consideration should be given to find an alternative methodology that improves the healing process, whether it generally progresses or is inhibited by different agents such as corticosteroids or anti-inflammatory agents. Natural bioactive research is always focused on ethno-botanical knowledge, and several drugs used today have been developed from such traditional medicinal plants. Traditional medicinal plant preparations have been successful in wound management because of their multifactorial advantages, including disinfection, debridement, and the provision of an appropriate environment for natural healing processes (Ghadi et al. [2016\)](#page-246-0).

Evidence-based scientific claims on natural alternatives help to promote herbal cures as a complementary or alternative treatment. Traditional medication has begun to be accepted by people to fulfill their health needs through natural ways. The Indian government has also expressed their commitment and ability to facilitate the correct usage of traditional medicines. The Indian Medical Research Council has been releasing many publications and has established several scientific advisory panels working on conventional medicines and also promoting alternative therapies for the better management of various diseases (Tandon and Yadav [2017](#page-248-0)). Involvement of the alternative approach in mainstream therapy can only be possible with the wider acceptance of such practices with scientific evidence. Issues regarding standardization and commercialization, clinical evidence, and regulatory framework for such treatments must be resolved in order to ensure the safety and efficacy of such products globally.

#### 2 Traditional Medicines and Wound Therapy

Majority of traditional systems of medicine advocate the use of plant-, animal-, and mineral-based products. They offer a wide range of medicinal plants to treat skin conditions, including cutting, injuries, and burns. Wound healing is a dynamic and orderly followed series of overlapping, interacting physiological processes with the four most important phases like coagulation, inflammation, proliferation/migration/ re-epithelialization/granulation, and remodeling/maturation (Reinke and Sorg [2012;](#page-248-0) Xue and Jackson [2015\)](#page-249-0). Many medicinal plant-based preparations have been reported to be used for the management of wounds because of their incredible ability to influence the process of wound healing at all different phases. Extensive research in the area of wound healing and management through plant-derived medicinal products has been carried out, and most of the studies involve screening of plant extracts and fractions for wound healing activity followed by bioactivity guided isolation of active principles. Many plant drugs from Ayurveda and TCM have well established their use in wound healing due to the presence of phenolics, flavonoids, essential oils, and polysaccharides. Some plant species like Aloe vera, Centella asiatica, Panax ginseng, Curcuma longa, Shorea robusta, Carthamus tinctorius, Argyreia speciosa, Angelica sinensis, Azadirachta indica, Hypericum perforatum have been reported with promising healing potential, and many of them are present in some popular products in several global markets. The combination of antioxidant, antibacterial, anti-inflammatory, and analgesic properties of many of the herbal products used for wounds. Products may be taken by mouth or applied directly to the wounds.

Some of the plants which were evaluated pharmacologically for their wound healing activity with possible underlying mechanisms have been listed in Table [1](#page-227-0). Some available marketed formulations were also complied with for their activity in wound healing (Table [2](#page-230-0)).

# 2.1 Advantages of Drug Delivery System for Traditional **Medicines**

Many of the bioactives having wound healing potential like curcumin, asiaticosides, bacosides, and wide variety of flavonoids belong to the class of compounds having poor solubility and permeability, which ultimately results in poor bioavailability. Poor bioavailability of phytochemicals might also be due to their high intrinsic activity, poor absorption, rapid metabolism, and clearance from the body. With the advent of novel drug delivery systems, target-based delivery of bioactive have differing benefits over traditional wound formulations. Drug delivery system technology is effective in supplying wound healing medication at a fixed rate and delivery of actives at the target site, reducing the toxic effects with better drug bioavailability. Incorporation of bioactive into the suitably delivery system often significantly improves solubility, stability, reduced toxicity, enhanced biologic function, improved tissue macrophage transportation, stable absorption, and lessen physiochemical degradation (Reddy et al. [2012](#page-248-0); Martins et al. [2015\)](#page-247-0). The advanced nano formulations have smaller particles and a large volume-to-surface ratio that raises the probability of biological contact and absorption at wound location (World Health Organization [2019\)](#page-249-0). These are suitable for repeated topical distribution of medications, provoking cell to cell reactions, cell proliferation, vascularization, cell signaling, and biomolecules needed for successful wound healing (Mihai et al. [2019\)](#page-247-0). Micelles, hydrogel, nanoparticles, nanofibrer, and nanoemulsions are widely explored approaches for the target delivery of different bioactives like aloemannans, curcumin, epigallocatechin gallate, and dihydroquercetin (Marziyeh et al. [2018\)](#page-247-0).

# 2.2 Quality Issues and Analytical Challenges for the Traditional Medicines

The detection and verification of bioactives and the safety of the subsequent herbal medicines are one of the main concerns in modern therapeutics. The analysis and standardization of traditional medicine are subjective but phytochemical screening

	Vernacular			
	name of the		Wound healing	
Botanical name	plant	Details	activity	References
Achyranthes	Aghedo	Aqueous extract of	Anti-inflammatory	<b>Bhosale</b>
aspera Linn.		leaves at 800 mg/kg in Swiss albino mice	activity, antioxidant	et al. (2012)
			activity	
Acacia catechu Wild	Kher (Baval)	Heartwood extract	Antioxidant activity, anti-inflammatory	Stohs and Bagchi
			action, tissue protec-	(2015)
			tant, and analgesic	
			activities	
<b>Abies</b>	Tallish patra	Leaves extract at	Anti-inflammatory	Nayak et al.
webbiana Lindl		$400 \frac{\text{mg}}{\text{kg}}$ p.o. in	activity	(2004)
		Sprague Dawley rat		
Azadirachta indica	Neem	5% ointment of leaves extract on rat	Anti-inflammatory, antibacterial, antifun-	Barua et al.
			gal, and antiviral	(2010)
			properties	
Tinospora	Galo/Giloy	5% ointment of leaves	Anti-inflammatory,	Barua et al.
cordifolia		extract on rat	antibacterial	(2010)
			properties	
Asparagus	Shatavari	Whole plant extract at	Anti-inflammatory	Vema et al.
racemosus		500 mg/kg	activity and preven- tion of ulceration	(2017)
<b>Berberis</b>	Daru Haridra	Hydro-alcoholic	Anti-granuloma	Kumar et al.
aristata		extract of bark at 200 mg/kg in Wistar	activity and anti- inflammatory	(2016)
		albino rats		
Caesalpinia	Kaanchakaa	Hydroethanolic	Anti-inflammatory	Ra et al.
bonducella		extract of seeds in		(2019)
		Wistar rats		
<b>Terminalia</b>	Saaj	Methanolic extracts	Anti-inflammatory	Mbiri et al.
<i>browniii</i>		of bark at 150 mg/kg in wistar rats		(2016)
	Haldar	20% of ethanolic		Dons and
Curcuma longa		plant extract in albino	Inhibited the growth of wound associated	Soosairaj
		rats	pathogens and	(2018)
			increased the rate of	
			wound healing	
Cynodon	Drow	Aqueous extract of	Wound healing prop-	<b>Biswas</b>
dactylon		the plant $(15\% \text{ w/w})$ on Wister rats	erty through its antioxidative activity	et al. (2017)
Emblica	Amla	Bark extract on	Fast contraction of	Talekar
officinalis		Wistar rats	wound and	et al. (2017)
			antioxidant	
Vitex negundo	Nirgundi/	Leaves extract on	Fast contraction of	Talekar
	Nagod	Wistar rats	the wound and anti- oxidant activity	et al. (2017)

<span id="page-227-0"></span>Table 1 Important traditional medicinal plants with wound healing actions



## Table 1 (continued)





Formulation Polyherbal cream	Ingredients 5% aqueous extracts of Chenopodium album,	Animal species under study Wistar rats	Wound healing actions <b>Increased fibroblasts</b> cells collagenation and	References Shivhare and Jain
	Coccinia indica. Momordica dioica, Praecitrullus fistulosus, and Trichosanthes dioica		angiogenesis	(2020)
Gel and butter	5% ethanolic extract of Juca (Libidibia ferrea)	Dogs	Dermal healing through wound fibroplasia also exhibited antimicrobial activity	Américo et al. (2020)
Nano disper- sion ointment	1% grape seed extract (proanthocyanidins)	Wistar rats	Inhibits the inflamma- tory response also improves the cell adhe- sion and proliferation	Rajakumari et al. (2020)
Chitosan- based gel formulation	Vitexin	Sprague Dawley rats	Increased cell prolifera- tion and provide re-epithelization	Bektas et al. (2020)
Castor oil-based ointments	2, 4, and $6\%$ w/w of extracts of Zingiber officinale, Curcuma longa, Aloe barbadensis, Citrus aurantium, and Emblica officinalis	Wistar rats	Anti-inflammatory and wound healing effects	Soujanya et al. (2020)
Jatyadi Ghrita (Ayur- vedic dosage forms)	Jati-Jasminum sambac; Nimbapatra- Azadirachta indica; Patolapatra- Trichosanthes dioica; Katuka-Picrorrhiza kurroa: Darvi—Berberis aristata, Nisha- Curcuma longa; Sariva-Hemidesmus <i>indicus</i> : Manjishta—Rubia cordifolia; Abhaya-Terminalia chebula: Madhuka-Glycyrrhiza glabra; Naktahva-Pongamia pinnata; Siktaka-Honey bee wax; Tuttha-purified blue	Wistar rats	The period of epitheliza- tion of the burn wound significantly decreased, improvement in the per- centage of wound contraction	Dhande Priti et al. (2012)

<span id="page-230-0"></span>Table 2 Preclinical reports on wound healing activity of some herbal formulations





Formulation	Ingredients	Animal species under study	Wound healing actions	References
Polyherbal $2\%$ and $5\%$ gel	Methanolic extract of aerial parts with 4:4:2 proportion of each of Datura stramonium Linn., <i>Plumbago</i> zeylanica Linn., Argemone Mexicana Linn. respectively	Wistar albino rats	Antimicrobial activity, promoted the wound healing process and accelerated remodeling of damaged tissue	Dev et al. (2019)

Table 2 (continued)

methods followed by marker specific identification and characterization is the most common approach to ensure the quality of raw material and finished products.

Following substantial developments in modern scientific production, the quality assurance techniques applicable to herbal goods also have a large void. For research and development, the focus was put on utilizing single, fast, and therefore economical (i.e., TLC, HPTLC) methods for primary qualitative analysis or by using colloquial techniques (i.e., HPLC, UV, NMR) to allow the quantitative analysis of target or indicator molecules as well. UV spectroscopic research is being used to identify the marker molecules from the traditional herbs qualitatively and quantitatively. Infrared spectroscopy, NMR, and Mass Spectroscopy are being used to elucidate the structure of biologically active components. For standardization of herbal formulations, the usage of spectrophotometric techniques and marker molecules has its own merits and demerits. Certain chromatographic techniques like HPLC, HPTLC, and GC have broad applicability in the characterization of bioactives but are not cost-effective and lacking in real time application for polyherbal formulation standardization. (Laguerre et al. [2007](#page-246-0); Mukherjee et al. [2011](#page-247-0); Butt et al. [2018\)](#page-245-0).

Innovations in genetic analysis have led to many advances in DNA marker techniques as an important tool for standardization and material validation for wound healing herbs (Ganie et al. [2015](#page-246-0)). The usage of DNA barcoding allows the recognition of individual bioactive using small standard DNA areas, defined as a barcode system of DNA. DNA barcoding is commonly used by the science community and industry for molecular recognition to address a broad variety of problems of taxonomy, molecular phylogenetic, population genetics, and biogeography. Work on DNA barcoding has made major progress in current years in the field of traditional medicines, as examined by Qiu et al. [\(2017](#page-248-0)). With the help of LC-MS and next-generation DNA sequencing techniques are used to detect the presence of adulterants and contaminants in place of the authentic herbal drugs in market formulations. cDNA microarray strategies have been proposed to understand the ability of bio actives like asiaticoside to induce functional gene expression in human dermal fibroblasts in in vitro study.

#### 2.3 Regulatory Concerns

Traditional medicine is a great source for the new drug discovery with the principles of ethnopharmacology as a successful strategy to be followed. Although a plethora of natural products have been tested for their wound healing properties in vitro and in vivo, very few have reached the level of clinical trials and even rarer have been launched successfully in the market as approved medicines. Assimopoulou and Karapanagioti [\(2016](#page-244-0)) has reviewed many traditional plants from different cultures and reported that around only 6% of plants have been systematically investigated pharmacologically for their wound healing potential. In the perspective of this, more intensified efforts and emerging development are required to exploit the full potential of nature to design novel wound management dosage forms (Assimopoulou and Karapanagioti ([2016\)](#page-244-0). Further drug development is a very time-consuming process, and many natural therapeutics have not sufficiently complied with the global standards for wider acceptance. Country-specific regulations and lack of harmonized regulatory guidance for marketing and sale of safe and efficacious herbal products are some of the major features hampering the mainstreaming of such therapeutics.

# 2.4 Safety Aspects

Traditional medicines are usually deemed safe, focusing upon their long-term usage in different traditions. Scientific progress has helped to develop numerous wound healing products to help cure wounds and associated problems. But following administration, instances of significant adverse effects on the skin through allergic reaction, rashes, and other skin-related problems have been found with some natural products. The toxicity was linked in many instances to pollutants and to use of adulterant and non-authenticated sources of the drug. Many of the TWM (traditional wound medicine) being used as natural remedies, though, may also be highly poisonous in some instances. Ultimately, if not correctly tested, wound medicine can pose a chance of harmful consequences on the skin and surrounding mucosa. Therefore, the determination of the safety aspects and clinical toxicity studies are some of the major concerns (Wilson [2005\)](#page-249-0). For an instance, honey is considered safe to be given as a wound healing agent, rarely leading to allergic reactions or adverse effects. However, some clinical studies reported that the use of honey may result in itching, as well as the interaction between honey and the wound site may be painful because of its acidic nature. However, with the growing number of clinical studies on the safety and therapeutic efficacy of herbal products, many more herbs can be implemented with full range of safety information being used in wound management.

# 3 Bridging the Ayurveda–Allopathy Gap for Wound Management

Modern medicine practitioners are normally informed of the framework of western medicine, their activity and sign of usage, drawbacks, and their role in medical care. Though traditional medicine practices mention quite a large number of herbal/herbomineral formulations for use in wound management, their widespread use in the modern world will be possible only after these formulations are characterized in terms of their chemical constituents and dosage, their wound healing potential is validated through carefully designed experiments, and the molecular mechanisms associated with their biological activity are elucidated. As a demonstration of how the modern scientific approach can be applied for studying traditional polyherbal formulations, we are presenting two examples here: Panchvalkal and Herboheal.

Panchvalkal is a polyherbal Ayurvedic formulation prescribed for diverse indications, such as burns, bedsores, non-healing ulcers, etc. (Joshi and Vaidya [2013\)](#page-246-0). Though it was since long assumed that its wound healing potential in part may be dependent on its antimicrobial properties, it was pending since long to elucidate the molecular mechanisms associated with its possible activity against wound-infective bacteria. The first report describing the quorum-modulatory effect of this ancient formulation on different pathogenic bacteria viz. Serratia marcescens, Chromobacterium violaceum, and Staphylococcus aureus appeared in 2018 (Patel et al. [2018\)](#page-247-0); wherein Panchvalkal at 250–750 microgram/ml, besides altering the production of the quorum sensing-regulated pigments in these bacteria, also exerted in vitro effect on antibiotic susceptibility, hemolytic potential, and catalase activity of the pathogens. In vivo assay did confirm the protective effect of this panchvalkal formulation on the nematode host Caenorhabditis elegans challenged with the pathogenic bacteria. Repeated exposure of S. aureus to panchvalkal was not found to induce resistance in this bacterium. Besides being capable of interfering with bacterial intercellular communication, this formulation also was reported to possess moderate prebiotic property. More details on its clinical use are available in Palep et al. [\(2016](#page-247-0)) and the monograph on this formulation edited by Joshi and Vaidya [\(2019](#page-246-0)). Recently a group led by one of the authors of the current chapter identified molecular targets of this formulation in the notorious pathogenic bacterium *Pseu-*domonas aeruginosa (Patel et al. [\(2019a\)](#page-247-0)). This formulation in vitro was found to be capable of affecting QS-regulated traits (biofilm, pyocyanin, pyoverdine) of Pseudomonas aeruginosa. It raised the susceptibility of the test bacterium to cephalexin and tetracycline antibiotics. Even repeated exposure of the bacterium to PF did not cause reversal of the effect of Panchvalkal formulation (PF) on QS-regulated traits of P. aeruginosa. In vivo efficacy of PF was demonstrated in the model worm host Caenorhabditis elegans, wherein PF-treated bacteria could kill lesser worms than their PF-unexposed counterparts. The whole transcriptome study indicated  $\sim$ 14% of the P. aeruginosa genome getting expressed differently under the influence of PF. Major mechanisms through which Panchvalkal seemed to display its antivirulence effect are the generation of nitrosative and oxidative stress, and disturbing metal (molybdenum and iron) homeostasis, besides interfering with QS machinery.

Another polyherbal wound-care formulation, whose example we are presenting here is "Herboheal" whose formulation traces back to the folklore use of its crude form by certain tribal populations. Its antipathogenic efficacy against three multidrug-resistant strains of gram-negative bacterial pathogens often associated with wound infections was investigated by Patel et al. ([2019a](#page-247-0)). Herboheal at  $>0.1\%$  v/v inhibited in vitro production of QS-regulated pigments in C. violaceum, S. marcescens, and P. aeruginosa by 19–55%. It seemed to disturb bacterial QS by acting as a signal-response inhibitor. This formulation suppressed the hemolytic activity of all three bacteria by  $\sim$ 18–69%, while eliciting their catalase activity by  $\sim$ 8–21%. Herboheal inhibited *P. aeruginosa* biofilm formation up to 40%, reduced surface hydrophobicity of P. aeruginosa cells by  $\sim$ 9%, and increased (25%) their susceptibility to lysis in presence of human serum. Exposure of these test pathogens to Herboheal ( $\geq 0.025\%$  v/v) could effectively reduce their virulence towards the nematode host C. elegans.

Repeated subculturing of P. aeruginosa on the Herboheal-supplemented growth medium was not found to induce resistance to Herboheal in this notorious pathogen, and this polyherbal preparation also exerted a post-extract effect ([https://doi.org/10.](https://doi.org/10.32388/359873) [32388/359873](https://doi.org/10.32388/359873)) on P. aeruginosa, wherein virulence of the Herboheal-unexposed daughter cultures (of the Herboheal-exposed parent culture) was also attenuated. Molecular mechanisms associated with anti-infective property of Herboheal against another important pathogen, Staphylococcus aureus, were investigated by Patel et al. [\(2019b](#page-247-0)). This formulation had an inhibitory effect on bacterial growth and QS-regulated pigment (staphyloxanthin) production at  $\geq 0.025\%$  v/v. It not only inhibited S. aureus biofilm formation, but eradicated pre-formed biofilm too effectively. This formulation raised bacterial susceptibility to human serum heavily while compromising its hemolytic potential. Herboheal-treated bacteria expressed significantly reduced virulence towards the nematode worm C. elegans. Even repeated exposure of S. aureus to this polyherbal formulation was not observed to give rise to resistant phenotype. Whole transcriptome analysis indicated genes associated with virulence, quorum sensing, hemolysis, enzyme activity, transport, basic cellular processes, and transcriptional regulators as the major targets of Herboheal in S. aureus.

Above mentioned studies validate the traditional use of two different polyherbal formulations in wound care by demonstrating their efficacy against pathogenic bacteria commonly present in infected wounds. Information on the molecular targets of both above polyherbal formulations identified in two different pathogens can be useful in the development of novel anti-infectives against wound-infective bacteria. Such investigations on traditional formulations from a modern science perspective are a good demonstration of the therapeutic utility of the "polyherbalism" concept, inherent to many traditional medicinal practices of Asian origin. It also exemplifies the utility of modern "omics" tools for validation of the traditional medicine.

#### 4 Latest Technologies for Wound Management

With the advent of the modern nano material concept, wound treatment materials are currently being marketed in the form of nano scaffolds, gels, films, and woven fabrics. The discovery of electrospinning is an advanced and effective method that produces fine fibers using electrical fields that can be reduced to nanometers that produce fiber pads for wound care. Because of the scaffolding design, these nano fibrous pads help in cell proliferation and can facilitate absorption of nutrients, exchange of gases, and excretion of waste (Zhang et al. [2007](#page-249-0)). Publications on electrospun matrices combined with allopathic drugs with various fiber phenotypes are known in different fields of study (Wilson [2005\)](#page-249-0). Electrospinning matrices introduced for traditional medicines have generated considerable attention in the medical profession. This is considered to be made of complex carbohydrates, hemicelluloses, anthraquinones, and antioxidants that can be spread on wounds for topical use (Marziyeh et al. [2018\)](#page-247-0). It is also well known that curcumin spontaneously facilitates the production of collagen during the wound healing process. Chitosan nanoparticles loaded with curcumin impregnated in collagen-alginate scaffolds have been used successfully for diabetic wound healing with better tissue regeneration (Karri et al. [2016\)](#page-246-0).

Chronic skin wound healing is a dynamic physical phenomenon that is controlled by various types of cells, growth factors, chemokines, and cytokines. Traditionally, wound healing mechanisms are categorized into four synchronized stages of inflammation, swelling, multiplication, and renovating how every wound needs to go through to recover naturally (Williams et al. [1950](#page-249-0)). Active components such as growth factors (GFs) and wound healing peptides have drawn many concerns based on their mechanisms capable of facilitating one or more stages of healing. Numerous formulations loaded with specific GFs were formulated for localized delivery, i.e., epidermal growth factor (EGF), vascular endothelial growth factor (VEGF), nerve growth factor (NGF), platelet-derived growth factor (PDGF-BB), etc. Many hydrogel-based formulas have been reported for their tissue engineering potential in wound therapy (Malafaya et al. [2007](#page-247-0); Hasnain et al. [2010](#page-246-0)).

These days, dressings on most wounds are still unable to solve problems of resistance to bacterial infection, protein absorption, and elevated incidence of exudates. The key to satisfactorily solving the problem may be the use of inorganic substances like clay minerals, metal cations, zeolites, etc. Such products have demonstrated biocompatibility and the ability to promote cell adhesion, proliferation, cell differentiation, and absorption. Inorganic ingredients offer improved physicochemical and biological properties of wound dressings and have proved to be promising and easily accessible products in the treatment of chronic types of wounds (García-Villén et al. [2020](#page-246-0)). Hydrogel with natural inorganic clay material and spring water has been reported to accelerate wound healing with better biocompatibility in comparison to powder samples at the same concentration (Saghazadeh et al. [2018\)](#page-248-0).

Researchers also have contributed significant attention to lipid nanoparticles (LNPs) because of their high flexibility, increased adhesion to skin and film

formation, allowing hydration and skin integrity to be maintained, as well as more successful absorption through the skin barriers. Owing to the natural adhesive properties and ability to promote the healing process, some natural and biocompatible polysaccharides, including alginate, are commonly used as a wound dressing (Lee and Mooney [2012\)](#page-246-0).

# 5 Preclinical and Clinical Research

The growing interest for Traditional Wound Healing Medicine (TWHM) by the consumer and the immense potential of natural products has resulted in the combined and holistic approach towards treatment aspects. TWHM therapy is based on largely traditional use and clinical experience (Dorai [2012](#page-245-0)). Comprehensive accounts on usefulness and observations from generation to generation provide some evidence of the efficacy of wound medicine. Nevertheless, there is a requirement for a clinical study to get further proof of its protection and efficacy. Investigating any function of traditional medicine in maintaining health, establishing a rational approach to policymaking in traditional medicine and, finally, focusing on how to harmonize classical medicine with modern medicine is the need of time. Several plant species are being medically tested by various preclinical pharmacological methods and later on patients in clinical trials.

Preclinical reports on plant drug extracts rich in phytochemicals, and bioactives like curcumin, asiaticoside, quercetin, arnebin-1, etc. are promising to offer costeffective therapeutics for chronic wound healing and skin regeneration (Thangapazham et al. [2016\)](#page-248-0). Unraveling possible modes of action with exact molecular mechanisms and targets of natural products can offer new insights into the therapeutics benefits of such bioactives in wound healing in the skin and other tissues.

Following the principles of ethnopharmacology, systematic screening of plant constituents may lead to a successful strategy for drug development. Assimopoulou and Karapanagioti [\(2016](#page-244-0)) has reported that the majority of approved medicines for wound healing are based on references of the traditional medicine systems, supporting this strategy as one of the most promising one to guide the drug development process. However, only 6% of plants have been systematically investigated pharmacologically for their wound healing potential, and more intensified efforts and emerging advancements are needed to exploit the potentials of nature for the development of novel medicines (Assimopoulou and Karapanagioti [2016](#page-244-0)).

The total number of natural products that successfully underwent clinical trials in patients with wounds, ulcers, and burns is relatively very small compared with preclinical trials reported. This could be due to the clinical trial failures attributed to poor bioavailability, difficulties in administration and efficacy, instability, and safety-related factors.

Majority of trials reported for the formulations have used topical application of such bioactives for external wounds, burns, and ulcers. Further, combining such bioactives with any biomaterials can help solve bioavailability-related constraints and can deliver the actives to the target sites more effectively. With the help of strong preclinical and clinical background only, substantial therapeutic claims for the traditional medicines can be proposed. Many traditional wound care formulations have reached the world market by testing the ethno-medicinal arguments of various ancient traditions.

Many plants from Ayurveda and from TCM have been evaluated and reported to have wound healing effects owing to their antimicrobial, antioxidant, antiinflammatory, and angiogenesis inducing effects. The active ingredients, bioactivities, clinical uses, formulations, methods of preparation, and clinical value of 36 medical plant species have been described by Shedoeva et al. [\(2019](#page-248-0)). So many species are famous wound healing products used by many cultures and ethnic groups around the globe, such as Centella asiatica, Curcuma longa, and Paeonia suffruticosa. Out of those listed plants, many of them, for e.g., Arctium lappa, Angelica sinensis, Paeonia Suffruticosa, Centella asiatica, Lithospermum erythrorhizon have been reportedly available and used in the form of ointment successfully for wound care. Aloe vera and Sophora flavescens gels and essential oils from sources like Blumea Balsamifera, Boswellia sacra, Calendula Officinalis, Camellia Sinensis, Carthamus tinctorius, Cinnamonum cassia, Commiphora myrrha, Lonicera japonica have been extensively utilized for the preparation of spray and oils for wound management (Shedoeva et al. [2019\)](#page-248-0).

We have enlisted some of the most studied traditional plants with the wound healing effects reported along with the preclinical details in Table [1.](#page-227-0) Preclinical evaluation of the various wound healing formulations with their mechanism of action is listed in Table [2](#page-230-0). While clinical evaluation of different natural products and formulations have been listed along with clinical outcome in Table [3.](#page-239-0)

## 6 Limitations

Heavy metal intoxication and the presence of some endotoxins and aflatoxins in raw material are two major issues associated with traditional medicines and or Herbal Medicinal Products (HMPs).

Long-term use of traditional medicine has been found to contain heavy metal toxins, which can cause serious problems. The use of Ayurvedic herbal medicine products has been linked with lead, mercury, and arsenic poisoning. Estimated values on daily ingestion of such heavy metals have to be established compared with global regulatory standards.

Out of more than 6000 Ayurvedic medicines, it is estimated that 35–40% of the Ayurvedic medicines intentionally contain at least one metal. These metalcontaining medicines are prepared through specific detoxification processes called the *Shodhana* process, involving multiple heating/cooling cycles and the addition of specific herbs and liquid medium.

<span id="page-239-0"></span>

Table 3 Clinical studies and clinical trials of natural products for Wound healing properties Table 3 Clinical studies and clinical trials of natural products for Wound healing properties

(continued)



Table 3 (continued)



Many herbal extracts/products are found contamin wated with endotoxins (Yang et al. [2002](#page-249-0)) depending upon the method of preparation, storage, and experimental conditions. Endotoxins are lipopolysaccharides (LPS) correlated with gram-negative bacteria's cell membrane, which have distinct biological consequences.

Yang et al. ([2002\)](#page-249-0) reported that aqueous extract from *Chromolaena odorata* and polyphenolic extract from Cudrania cochinchinensis has mitogenic effects due to the presence of such LPS. The herbal extracts also would need to be screened for endotoxin and often should be examined for the impact of an LPS from E. Coli in wound healing effects and skin cell development effects in in vitro and in vivo models. Such LPS of *E. coli* origin on both normal human skin fibroblasts and keratinocytes in culture have shown stimulatory effects.

#### 7 Future Aspects Towards Wound Care and Management

The growing usage of traditional drugs and treatments needs more clinically relevant support for the concepts underlying interventions and treatment efficacy. Recent wound care developments in the theoretical and biological sciences, coupled with advancements in genomics and proteomics, may play a major role in the validity of such therapies. Current rehabilitation involves healing medication and its delivery to the location of concern and fulfilling that aim in clinical care. Gene and stem cell therapies are evolving as a modern and successful path for improving wound healing pathology. Gene encoding for growth factors or cytokines has demonstrated the strongest ability to promote the healing of wounds. New approaches to functional genomics may help in the understanding of the cellular processes associated with tissue morphogenesis and enable molecular signals and routes to be classified (Eming et al. [2014](#page-245-0)). Genomics and proteomics tools will be used to profile the individual wounds to customize the treatment for patients. Gene therapy or cellbased therapy that delivers angiogenesis growth factors and recombinant protein medications can bring benefits over other tissue repair therapies. New growth factor requirements, such as angiogenic gene sutures, autologous stem cell transplantation, genetically altered tissue-engineered structures, and growth factor impregnated dressings or sprays, are currently under study as promising future trends in wound healing therapeutics (Yamakawa and Hayashida [2019](#page-249-0)).

The new drug delivery vehicles, created by nanotechnology, raise the innovative and stimulating potential of controlled and continuous delivery of drugs through the impenetrable skin barrier is a splendid clinical application of wound treatment options. Small size is a core aspect, but in order to obtain effectiveness as a topical delivery method, nanoparticles must also possess some intrinsic properties. Consequently, such ingredients must be capable of adapting as a portion of their design and targeted to applicable pathological variations.

The reactive oxidative species play a major role in significant cell damage at high amounts and may result in cellular proliferation, which also hinders the recovery process by disrupting cell components, DNA, proteins, and lipids (André-Lévigne et al. [2017](#page-244-0)). Therefore, if herbal extract has antioxidant ability and an increased antimicrobial function, it may be a successful therapeutic agent to speed up the cycle of wound healing. Oxidative trauma frequently takes a significant part in the cure of compromised wounds. This oxidative stress can trigger harm to developing tissue (Amini-Nik et al. [2018](#page-244-0); Nethi et al. [2019\)](#page-247-0).

Microcirculation is typically impaired in the case of burning wounds, due to a reduction or interruption of blood supply, which in effect induces ischemia and eventually reperfusion, which therefore creates oxidative stress. In theory, therapy that might improve blood supply or scavenge the free radicals could mitigate the harmful effects of oxidative stress. Therefore, by regulating oxidative stress, antioxidants rich herbal extracts may be predicted to encourage rapid epithelization. Botanicals with good antioxidant or free radical scavenging operation may play an important role in tissue regeneration also (Hasnain et al. [2010](#page-246-0)).

In specific in vivo tests, both pharmaceutically and biologically, the herbal extracts facilitate faster wound healing than control and non-medicated classes. Numerous herbal extracts encourage the healing process that consists of active agents such as triterpenes, alkaloids, flavonoids, tannins, saponins, anthraquinones, and other biomolecules. In experimental animals, a number of secondary plant isolates like alkaloids, terpenoids, phenolics have been found as active constituents responsible for implementing tissue repair (Thakur et al. [2011\)](#page-248-0), as a result, many medicinal plants and bioactives were cited and known to have effects on wound healing and antioxidant properties.

The capacity to precisely interpret the microbiota has been transformed with the introduction of DNA barcoding techniques. Yet, knowledge of how the cutaneous microbiome progressively shifts during typical stages of wound healing is limited. The wound microbiota comprises living microbial communities ("microbiota") which communicate in the damaged tissues to each other and to their environments. The wound microbiota's function in compromised healing and development to problems associated with infection is a field of ongoing research that has gained from advancements in next-generation sequencing technologies. Finding alternative ways to modulate the microbiome in the hope of improving wound healing is of utmost importance, with increasing concerns about antibiotic over-prescription and the development of pharmacological resistance (Burmeister et al. [2018\)](#page-245-0).

#### 8 Conclusion

In this chapter, we have highlighted wound healing potential of traditional medicines with respect to the conventional modern medicines available for wound treatment. Factors affecting the development of promising wound healing agents have also been discussed so as to offer such bioactives as one of the mainstream therapeutic options for wound management. Different approaches for the target delivery of such potential classes of compounds can provide wider acceptance and better clinical outcomes. Quality issues and analytical techniques, safety and regulatory concerns <span id="page-244-0"></span>along with the clinical reports on traditional medicines and formulations have been discussed to suggest the better future implementation of such therapies.

We present all observations in the assumption that we have had a lot to consider from existing approaches, some of which might certainly offer different ingredients and strategies for the clinical complexity of today. Combining traditional and modern expertise will produce better wound healing treatments with less adverse effects.

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# Check for

# Traditional Probiotics, Next-Generation Probiotics and Engineered Live Biotherapeutic Products in Chronic Wound **Healing**

Shilpa Deshpande Kaistha and Neelima Deshpande

# 1 Introduction

Wounds are injuries that break the skin and other body tissues (Bryant and Nix [2012\)](#page-281-0). These may be caused by cuts, abrasions, chemical, radiation or temperature (hot or cold) burns, gunshots, surgery or an underlying metabolic condition such as diabetes mellitus, atherosclerosis, arteriosclerosis or renal damage (Martin and Nunan [2015](#page-284-0)). Based on their ability to heal, wounds are broadly classified as acute wounds that typically follow the natural progression of healing irrespective of the severity of injury typically within 4 weeks of injury onset. On the contrary, 'wounds that fail to go through the normal healing phases in an orderly and time bound manner, usually taking more than three months to heal despite adequate diagnosis and intervention measures are classified as chronic wounds' (Frykberg and Banks [2015\)](#page-282-0). Chronic wounds may occur superficially in the skin epidermis and dermis or may be present in deep tissues. All acute wounds may progress into chronic wounds based on infection type, inadequate blood supply, pressure on wound or incorrect hygiene and wound care. Microbial infections are the largest cause of progression of acute wounds into chronic wounds and strategies to prevent pathogenic infections that hinder the wounds healing process are important to address (Drago et al. [2019\)](#page-282-0).

Usual wound treatment strategies include tissue debridement, compression, hyperbaric oxygen therapy, restoration of arterial inflow, removal of pressure, management of underlying systemic conditions and the use of antibiotic regimens (Han and Ceilley [2017\)](#page-283-0). Despite the application of such integrated management, chronic wounds often appear to be arrested in the inflammatory stage without further

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<sup>©</sup> The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2021 P. Kumar, V. Kothari (eds.), Wound Healing Research, [https://doi.org/10.1007/978-981-16-2677-7\\_8](https://doi.org/10.1007/978-981-16-2677-7_8#DOI)

progression to the curative proliferation and remodelling phases. Typically, an aggressive proinflammatory milieu and the presence of pathogenic microorganisms perpetuate the fight mode of the system without allowing a moderation of events in favour of healing (Frykberg and Banks [2015\)](#page-282-0). Polymicrobial biofilms hosting a myriad of pathogens are capable of orchestrating a cellular and biochemical storm disrupting wound healing events (Scalise et al. [2015](#page-286-0); Drago et al. [2019\)](#page-282-0). Staphylococcus aureus, Pseudomonas aeruginosa and Enterococcus faecalis, are some of the main biofilm-forming organisms associated with wound infections. Biofilms harbouring multiple drug-resistant (MDR) pathogens further decrease the effectiveness of antimicrobial therapy (Scalise et al. [2015](#page-286-0)). The complex interplay of biofilmforming pathogens and chronic inflammatory milieu in recurrent chronic wounds challenges the current wound treatment efficacy and alternative methods are increasingly being explored (Scalise et al. [2015\)](#page-286-0). The increasing global antibiotic resistance threat further demands that we approach the problem by looking at out-of-the-box solutions.

A non-conventional strategy for chronic wound care would be to address one of the root causes of poor wound healing that involves bringing to balance the natural host–microbe interaction (Kadam et al. [2019\)](#page-283-0). Live biotherapeutics (LBP) consist of biological products containing living organisms that can help in prevention, treatment and cure of disease or conditions (Charbonneau et al. [2020](#page-281-0)). LBP encompass within them different types of biotics and their products. Amongst these are (a) traditional probiotics are 'micro-organisms originally derived from fermented foods and faecal matter, scientifically proven to confer health benefits on host' (b) Next generation probiotics are defined as 'live commensal microorganisms identified on the basis of comparative microbiome analyses that, when administered in adequate amounts, confer a health benefit on the host', (c) Genetically engineered LBPs include probiotics genetically engineered to be safe and those that can act as biosensors, delivery agents etc. in addition to their general health benefits (Martín and Langella [2019](#page-284-0)).

Beneficial microorganisms inhabiting the human body popularly known as human microbiota play a crucial function in our health (Drago et al. [2019\)](#page-282-0). Cutaneous dysbiosis is hence considered one of the primary causes of disruptive pathogenesis and delayed wound healing in chronic wounds (Sanford and Gallo [2013\)](#page-286-0). Recent metagenomics studies comparing microbiome of healthy and non-healthy subjects have provided evidence that the use of beneficial microbiota or replenishment of unhealthy tissues with beneficial microbiota can help in reversing disease onset and severity (Sanford and Gallo [2013](#page-286-0)). The 'gut-brain-skin axis' model gives credence to the interrelationship between intestinal microbiome, emotional well-being, systemic as well local inflammations (Arck et al. [2010\)](#page-280-0). The skin encompasses complex neuroendocrine and associated lymphoid tissues as well as phylogenetically diverse microbial communities defining its unique physiology and wellness (Slominski [2005\)](#page-286-0). Gut microbiota influences the cutaneous tissue by enhancing absorption of nutrients with systemic immunomodulatory and hormonal effects (Cani [2018\)](#page-281-0). Hence oral probiotics have distal wellness effects on cutaneous tissues while topical applications have also shown promising results (Yu et al. [2020\)](#page-287-0).
In this chapter, the non-vaccine preventive and therapeutic application of microorganisms and their products in the form of Live biotherapeutic products (LBP), which includes traditional probiotics, next generation probiotics and engineered live biotherapeutics administered orally or directly to the infected site for the treatment of cutaneous chronic wounds will be discussed. Some relevant terminologies related to LBP are defined in Box 1.

#### Box 1 List of Terminologies

Live Biotherapeutic Product (LBP): 'A biological product that contains live organisms and is applicable to the prevention, treatment or cure of a disease or condition of human beings; and is not a vaccine, virus or delivery vector' (Martín and Langella [2019](#page-284-0)).

Probiotic: 'Live microorganisms that, when administered in adequate amounts, confer a health benefit to the host' (Martín and Langella [2019](#page-284-0)).

Prebiotic: 'A non-digestible compound that, through its metabolization by microorganisms in the gut, modulates composition and/or activity of the gut microbiota, thus conferring a beneficial physiological effect on the host' (Martín and Langella [2019](#page-284-0)).

Synbiotic/Conbiotic: 'Dietary supplements that are a combination of probiotics and prebiotics that benefit the host by improving the survival and implantation of live microbial dietary supplements in the gastrointestinal tract, by selectively stimulating the growth and/or by activating the metabolism of one or a limited number of health-promoting bacteria' (Martín and Langella [2019\)](#page-284-0).

Parabiotic: 'Non-living cells which when administered in sufficient amounts confer benefits to consumers' (Martín and Langella [2019\)](#page-284-0).

Postbiotics: 'Intracellular soluble products or metabolites secreted by live cells which confer physiological health benefit and replace administration of live or non-viable bacteria' (Martín and Langella [2019\)](#page-284-0).

Genobiotic: 'Probiotics that has as main mechanism of action that brings to a clinical improvement the change in gene expression' (Gorreja [2019](#page-282-0)).

Metagenomics: 'Collective genome of microorganisms from an environmental sample obtained directly by isolating the genome and circumventing culture techniques prior to using sequencing methodologies' (Escobar-Zepeda et al. [2015\)](#page-282-0).

Metabolomics: 'Comprehensive analysis of all the metabolites present in a sample' (Escobar-Zepeda et al. [2015](#page-282-0)).

Microbiome: 'Complete collection of micro-organisms with their genome present in an environment' (Berg et al. [2020](#page-281-0)).

Microbiota: 'Set of micro-organisms inhabiting an environment or host' (Berg et al. [2020](#page-281-0)).

#### Box 1 (continued)

Next Generation Sequencing: 'High throughput, massively parallel genome sequencing technologies that low cost and very fast compared to traditional DNA sequencing methods' (Escobar-Zepeda et al. [2015](#page-282-0)).

Next Generation Probiotics (NGP): 'Live microorganisms identified on the basis of comparative microbiota analyses that, when administered in adequate amounts, confer a health benefit on the host' (Martín and Langella [2019\)](#page-284-0).

Engineered Live Biotherapeutic Product: 'Live Biotherapeutic organisms genetically engineered or modified for the prevention, treatment or cure of a disease or condition of human beings' (Ozdemir et al. [2018\)](#page-285-0).

## 2 Chronic Wounds and Factors Impeding Their Healing

Chronic wounds are the cause of significant morbidity, mortality and high economic costs for patients (Olsson et al. [2019\)](#page-285-0). Lack of proper wound healing in an orderly and timely fashion is characterized by chronic inflammation with increased levels of reactive oxygen species (ROS), protein degrading enzymes and cytokines leading to cellular senescence and microbial colonization (Morton and Phillips [2016](#page-285-0); Zhao et al. [2016](#page-287-0); Rahim et al. [2017\)](#page-286-0). Multiple systemic and local factors can contribute to non-healing chronic wounds, i.e. age, vascular supply, nutritional factors, immune function and metabolic disorders (Balsa and Culp [2015](#page-280-0)). These types of wounds last for approximately 12 months and may recur in 60–70% of the patients resulting in high rates of morbidity (Frykberg and Banks [2015\)](#page-282-0). Based on differential diagnosis, chronic wounds may be categorized as arterial/ischemic ulcers, diabetic ulcers, pressure/decubitus ulcers, venous ulcers and post-operative wound complications in patients with co-morbidities (Frykberg and Banks [2015\)](#page-282-0).

Arterial/Ischemic Ulcers These occur due to inadequate oxygen and nutritionally rich blood supply (perfusion) to the affected body part resulting in tissue necrosis and ulceration. The common etiological factors include diabetes mellitus and its complications including vasculitis, peripheral neuropathy, peripheral artery disease, arteriosclerosis, atherosclerosis, joint immobility, hypertension, trauma injury or injury due to improper footwear, renal failure etc. These are usually observed on lower extremities such as lower legs, ankles, heels or toes (Morton and Phillips [2016\)](#page-285-0). Arterial ulcers are typically characterized by well-defined rounded margins with a punched-out crater. Outer skin or nails have pale, taut, dry appearance with hair loss, grey or yellow fibrotic base, low temperature, poor pulse and underlying deep wounds. Wounds are typically painful and when untreated can result in complications such as tissue necrosis and require amputation in severe conditions (Morton and Phillips [2016\)](#page-285-0).

**Diabetic Ulcers** These are major complications of uncontrolled diabetes mellitus reported in about 15% of patients being treated for ulceration and gangrene in the lower extremities (Frykberg and Banks [2015](#page-282-0)). Frequently underlying aetiologies include neuropathy, trauma and peripheral artery disease. Diabetic ulcers are graded based on severity as grade 0 (intact skin), grade 1 (superficial ulcer), grade 2 (deep ulcer to tendon, bone, or joint), grade 3 (deep ulcer with abscess or osteomyelitis), grade 4 (forefoot gangrene) and grade 5 (whole foot gangrene). In almost 85% of such infections, amputation is the final outcome (Zhao et al. [2016](#page-287-0)).

**Pressure/Decubitus Ulcers** When undue pressure is exerted on body parts such as joints, back or heel for extended periods of time due to impaired movement, it causes restriction of blood flow to the tissue parts resulting in sores (Morton and Phillips [2016\)](#page-285-0). This is typically observed in hospital patients undergoing post-surgical operative complications, rehabilitation centres and home care bed-rest prescribed populations.

**Venous Ulcers** Chronic venous diseases such as varicose veins and chronic venous insufficiency often result from improper functioning of the venous valves. This causes blood to pool in veins resulting in inflammation, pain and subsequent ulceration. These are observed in lower extremities such as lower leg or ankles and usually present as large, superficial wounds with irregular margins. The associated oedema and atrophy causes loss of skin pigmentation. Venous leg ulcers (VLU) is a type of recurrent chronic wound estimated to affect quality of life style, work productivity and high health care costs (Morton and Phillips [2016\)](#page-285-0).

Post-operative Wound Complications in Patients with Co-morbidities Certain patients show an increased risk of post-operative wound infection complication and dehiscence due to co-morbidities such as poorly controlled diabetes, hypothyroidism, nutritional deficiencies, peripheral vascular diseases, immunosuppressive conditions, cancer and tobacco smoking (Delmore et al. [2017](#page-281-0)). Nutrition deficiencies cause slow metabolic processes leading to poor wound healing post-surgery. Reduced blood circulation leads to ischemia and compromised oxygen delivery creates hypoxic conditions conducive to chronic non-healing surgical wounds. Dysregulated and suppressed healing inflammatory conditions in such patients also lead to delayed repair and increased incidence of wound infections and dehiscence following surgery.

## 2.1 Pathophysiology of Chronic Wound Healing

Normal wound healing consists of four phases which include homeostasis, inflammation, proliferation and remodelling (Fig. [1\)](#page-255-0) (Morton and Phillips [2016\)](#page-285-0). Homeostasis sets in an hour after injury and involves blood clotting and vasoconstriction. Platelets and epithelial cells release chemokines, cytokines and growth factors which help recruit leucocytes to areas of injury for the healing process (Ridiandries et al. [2018\)](#page-286-0). Inflammation phase may occur from 5 to 7 days and is the interplay of the immune cells and any ensuing infectious organisms. Phagocytic cells such as

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Fig. 1 Normal wound healing phases, chronic wound events and reversal effects by Live Biotherapeutic application. (a) Normal wound healing: Depiction of pathophysiological phases and events in normal wound healing process which include homeostasis, inflammation, proliferation and remodelling. (b) Chronic wounds: Corresponding events occurring in chronic wounds at each of the phases leading to the development of non-healing wound. (c) Effect of LBP administration in reversing the events in each phase leading to wound healing

macrophages and neutrophils are recruited to pathogen-associated molecular patterns (PAMP) of wound microbiota to prevent bacterial growth and phagocytose any cellular debris. Wound macrophages also secrete growth factors to stimulate vascularization and formation of a provisional extracellular matrix (ECM). Complement and plasma proteins including antibodies enhance phagocytosis and antibodymediated cytotoxicity. Proliferation phase may last from 2 to 20 days based on severity and is characterized by tissue granulation, angiogenesis and epithelialization. Angiogenesis in response to increased cytokines and growth factors such as epidermal growth factor (EGF), vascular endothelial growth factor (VEGF) and tumour growth factor-β (TGF-β) and fibroplasia helps in the synthesis of granulation tissue. In the healing phase, modulators such as interleukin 4 (IL4), interleukin 10 (IL10), glucocorticoids, prostaglandins induce reparative M2 macrophage

phenotype. The M2 macrophages secrete growth factors that induce cellular proliferation, anti-inflammatory cytokines and phagocytose neutrophils in the wounds. In the remodelling phase, provisional ECM matrix is replaced by proteoglycan and collagen molecules (Bryant and Nix [2012;](#page-281-0) Martin and Nunan [2015](#page-284-0)).

In chronic wounds, this normal wound healing process remains disrupted and does not usually proceed beyond the inflammation or proliferation phase despite intervening wound management strategies (Baczako et al. [2019\)](#page-280-0). Several factors contribute to the delayed wound process that stretches beyond the normal 3-month period observed for acute or healing wounds (Morton and Phillips [2016](#page-285-0); Han and Ceilley [2017](#page-283-0)). Co-morbidity factors such as diabetes, obesity, vascular insufficiency, recalcitrant microbial infections, nutritional deficiency, ageing and factors such as pressure and oedema in the wound environment significantly hamper the process (Frykberg and Banks [2015](#page-282-0)). Increased pro-inflammatory cytokines, toxic ROS and proteases lead to tissue degradation impairing wound healing. Inflammatory milieu represented by an abundance of neutrophils is considered a biological marker for chronic wound inflammation (Zhao et al. [2016\)](#page-287-0). Neutrophils are one of the major causes of an overproduction of pro-inflammatory cytokines such as interleukin-1 β (IL1 $\beta$ ) and Tumour Necrosis Factor  $\alpha$  (TNF $\alpha$ ), ROS and proteases that cause direct damage to the extracellular matrix and cellular membranes leading to cell senescence. Levels of various matrix metalloproteinase (MMP) such as collagenase, gelatinase A, gelatinase B, stromelysin, neutrophil elastase and serine proteases are markedly elevated in pressure ulcers and chronic venous leg ulcer wounds in comparison to acute wounds (Lazaro et al. [2016](#page-283-0)). Wound fluid from chronic venous leg ulcers is found to be rich in inflammatory cytokines  $TNF\alpha$  and  $IL1\beta$  produced by activated macrophages and neutrophils that increase MMP production with decrease in Tissue Inhibitor of Metallo Proteinases (Morton and Phillips [2016\)](#page-285-0). Growth factors are signalling molecules that regulate cellular migration, differentiation as well as proliferation. Deregulation of various growth factors such as platelet-derived growth factor (PDGF), VEGF, EGF, basic fibroblast growth factor (bFGF) and granulocyte-macrophage colony-stimulating factors (GMCSF) has been reported in chronic wounds, events not observed in acute wounds. Lack of this antiinflammatory-inducing environment results in poor resolution of the inflammation driving events and the future healing events of cellular proliferation remain in limbo (Baczako et al. [2019\)](#page-280-0). In addition, wound environments such as hypoxia, wound desiccation, wound pressure and temperature provide multiple signals for sustaining the state of chronic inflammation and delaying the wound healing process (Han and Ceilley [2017](#page-283-0)). Diminished angiogenesis is a marked feature causing decreased cell migration of endothelium progenitor cells, keratinocytes and fibroblasts essential for tissue regeneration (Zhao et al. [2016\)](#page-287-0). An important pathogenic factor is the presence of biofilm-forming wound pathogens that are refractory to the immune response and antibiotic regimens and perpetuates the non-healing wound saga (Rahim et al. [2017\)](#page-286-0).

## 3 Chronic Wound Microbiology

Most microorganisms in clinical settings are found to exist in community living mode popularly referred to as microbial biofilms. These are typically found attached to substrata (biotic or abiotic, solid–liquid or air-–liquid interface) wherein the community flourishes covered by a self-secreted exopolymeric matrix protecting its residents from the immune system or antimicrobial products (Donlan and Costerton [2002\)](#page-282-0). In the human body, normal microbiota may consist of true pathogens, opportunistic pathogens or commensals, all of which are reported to exist as natural biofilms (Sanford and Gallo [2013\)](#page-286-0). Infectious diseases including chronic wounds are largely a tug-of-war between the immune response and the virulence of the infectious agent (Scalise et al. [2015\)](#page-286-0). Dysbiosis of natural microbiota plays a significant role in augmenting pathogenic biofilm infections that prolong the inflammatory stage of wound healing by activating neutrophils and macrophages and creating an inflammatory cytokine storm contributing to poor healing in chronic wounds (Metcalf and Bowler [2013](#page-284-0); Drago et al. [2019](#page-282-0)).

Wounds can be contaminated with both normal skin microbiota and invading pathogens, such as the multiple drug-resistant biofilm-forming ESKAPE organisms: 'Enterococcus caecum, Staphylococcus aureus, Klebsiella pneumoniae, Acinetobacter baumannii, Pseudomonas aeruginosa and Enterobacter spp' amongst other bacteria and fungi. Pathogenic biofilms in wounds have been found to be polymicrobial (widely influenced by location and environmental factors), highly resistant to immune factors and antimicrobial treatments which are typically the cause of persistent and recurrent infections (Wu et al. [2019](#page-287-0)). As a microbial biofilm matures it can adapt to the changes in its surrounding by quorum sensing signalling, allowing it to thrive in the pervading settings. Biofilms are reported to be present in only 6% of acute wounds but over 90% of chronic wounds (Drago et al. [2019\)](#page-282-0). Scanning electron microscopy of 50 chronic wound specimens were found to contain biofilms in 6% of acute wounds and 60% biofilms in chronic wounds. Molecular sequence analyses with denaturing gradient gel electrophoresis showed polymicrobial communities and strict anaerobic bacteria not detected by culture methods (James et al. [2008\)](#page-283-0).

The major evidence that biofilms physically impair wound healing comes from murine, porcine and rabbit ear wound models wherein the presence of pathogenic biofilms significantly delayed healing and required antibiotic or debridement as treatment regimens (Metcalf and Bowler [2013;](#page-284-0) Pereira et al. [2017](#page-285-0)). In the mouse chronic wound model, Ps. aeruginosa biofilm delayed wound healing without affecting the general health of the mice (Metcalf and Bowler [2013](#page-284-0)). Clinical evidence in several case studies also highlights that biofilms exist in wounds. A Global Wound Biofilm Expert Panel has provided a clinical focus on identification, management and care of biofilms in chronic wounds for improved patient care (Schultz et al. [2017\)](#page-286-0).

The role of wound microbes and their compositional diversity in non-healing chronic wounds is presented by the following studies. In a recent report, a Bayesian statistical model was used to model patient-to-patient variability in the identification of microbiome changes in wounds versus healthy skin. No effect of tissue debridement was found in wound microbiome of 20 outpatients with chronic wounds while Enterobacter, a facultative anaerobic bacteria was significantly associated with reduced healing (Verbanic et al. [2020](#page-287-0)). Next generation DNA sequencing profiled Diabetic Foot Ulcers (DFU) microbiome of 39 patients who had not received any oral or topical antimicrobial in 14 days before the study. While short-duration DFU showed only the presence of Streptococcus agalactiae in two cases and S. aureus in three cases, respectively, longer-duration DFU  $($ >6 weeks) were highly polymicrobial ranging from 19 to 125 different bacteria (average, 63) (Malone et al. [2017](#page-284-0)).

In a retrospective multicentre surveillance study with 792 diabetic foot patients from which 1803 causative organisms were isolated, 48.5% of the patients had polymicrobial infections. The pathogen profile included 22.2% S. aureus, 7.7% methicillin-resistant S. aureus (MRSA), 21.8% Enterococcus spp., 9.4% Ps. aeruginosa, 8.9% Proteus mirabilis, 7.9% E. coli, 7.5% Klebsiella spp., 6.6% Coagulase negative Staphylococci (CoNS), 5.9% anaerobics and 2.3% fungi. Of these 15.8% were moderate and 34% high biofilm formers, respectively (Al-Joufi et al. [2020](#page-280-0)).

Two thousand nine hundred sixty-three patients (chronic diabetic foot ulcers (DFU)  $N = 901$ ; Venous leg ulcers (VLU)  $N = 916$ ; decubitus ulcers  $N = 767$ ; non-healing surgical wounds  $N = 370$ ) were analyzed for the chronic wound microbiota by 16S rDNA pyrosequencing. A high prevalence of Staphylococcus (63%) and Pseudomonas aeruginosa (25%) was found in all wounds in addition to anaerobic and commensal bacteria (Wolcott et al. [2016\)](#page-287-0). Anaerobes found in chronic wound microbiome include Prevotella, Peptoniphilus, Peptostreptococcus, Anaerococcus and Fingoldia (Choi et al. [2019](#page-281-0)). A more comprehensive study of 40 different chronic DFU using bacterial tag FLX amplicon pyrosequencing (bTEFAP) technology found that Corynebacterium sp. was the most prevalent amongst other obligate anaerobes such as Bacteroides, Peptinophilus, Fingoldia, Anaerococcus and Peptostreptococcus spp. (Dowd et al. [2008a\)](#page-282-0). Other major genera included frequently cultured organisms such as Streptococcus, Serratia, Staphylococcus and Enterococcus spp. The authors also introduced the concept of functional equivalent pathogroups (FEP) as 'consortia of genotypically distinct bacteria that symbiotically produce a pathogenic community' (Dowd et al. [2008a\)](#page-282-0). A survey of bacterial biofilm diversity in chronic wounds (DLU, VU and PU) using denaturing gradient gel electrophoresis (DGFE), pyrosequencing and full ribosome shotgun sequencing, reports that major populations included *Staphylococcus*, Serratia, Stenotrophomonas, Pseudomonas, Peptoniphilus, Enterobacter and Finegoldia spp. (Dowd et al. [2008b\)](#page-282-0). In another study by the same group, a comparison of microbiome on contralateral intact skin versus diabetic ulcer reveals higher diversity on intact skin. Wounds showed higher incidence of anaerobic bacteria (Peptoniphilus, Finegoldia, Anaerococcus) and opportunistic pathogens such Corynebacterium, Staphylococcus and E. coli. Significant differences in diversity were

found between intact skin and wound samples with distinct similarities between different wound samples (Gontcharova [2010](#page-282-0)).

A longitudinal study of 88 type II diabetics with chronic foot ulcers microbiome over 10 weeks using 16S rDNA PCR and sequencing revealed a significantly less biodiversity than control skin. The most abundant genera on diabetics included Staphylococcus followed by Corynebacterium, Acinetobacter and unclassified Enterobacteriaceae (Gardiner et al. [2017\)](#page-282-0).

Polymicrobial nature of 40 separate venous leg ulcer infections were evaluated using bTEFAP titanium and metagenomics approaches (Wolcott et al. [2009\)](#page-287-0). Majority of the uncharacterized bacteria belonged to Bacteroides, Staphylococcus and Corynebacterium species. Streptococcus, Finegoldia, Peptoniphilus, Proteus and Pseudomonas were also found in the wounds. However, individual wounds were found to have distinct site-specific biofilm-based microbial footprints. Interestingly, in this study other microorganisms were mapped to Apicomplexa (related to Plasmodium yoelii) and fungi such as Candida albicans, C. glabrata and Aspergillus were also genetically mapped but not verified (Wolcott et al. [2009](#page-287-0)). Sequence reads closely related to dsDNA viruses such as human herpesvirus, human adenovirus, Staphylococcus phage, Bacteriophage B3, Corynebacterium phage and closely related retro transcribing virus amongst others were also identified using gene identification (Wolcott et al. [2009](#page-287-0)).

In a comprehensive study comparing microbiota of skin versus four types of chronic wounds, S. aureus, Proteus, Helcococcus, Enterobacter and Pseudomonas genera were found in pre-debridement wound samples, while Micrococcus, Paracoccus and Kocuria in control skin samples. A comparison of organisms based on their oxygen requirement revealed that the abundance of facultative anaerobes was  $20.8 \pm 29.7\%$  (*Enterobacter*) in unhealed wounds versus 5.32  $\pm$  7.21% (Corynebacterium) in healed wounds (Verbanic et al. [2020\)](#page-287-0). Fusobacterium and Actinobacillus were found to be strongly associated in the initial phases of healing proving the resilience and stability of skin microbiota in an equine wound healing model (Kamus et al. [2018\)](#page-283-0).

The role of mycobiota (fungi) or fungal-bacterial biofilms also plays a significant part in chronic non-healing wounds. A cross-sectional study of mixed aetiology without standardized treatment reported that 23% of chronic wounds contained fungi using molecular biology methods (Dowd et al. [2011](#page-282-0)). Nuclear ribosomal internal transcribed spacer (ITS1) sequencing was used to longitudinally profile 100 non-healing diabetic foot ulcers wherein 80% of the wounds contained fungi, while culture-based techniques only identified fungi in 5% of colonized wounds. Cladosporium spp. was the most abundant species followed by Candida spp. (albicans > parasilopsis > tropicalis > glabrata > smithsonii) (Kalan et al. [2016\)](#page-283-0).

These studies suggest that dominant pathogenic organisms colonize most chronic wounds, which differ significantly in composition and diversity from commensal bacteria.

## 3.1 Commensals in the Cutaneous Microbiome

Based on culture studies and 16S rDNA metagenomic sequencing, majority of cutaneous microbiota can be grouped into four phyla, Firmicutes (24%), Bacteroidetes (7%), Proteobacteria (17%) and Actinobacteria (52%) and includes Staphylococcus spp., Micrococcus spp., Acinetobacter spp., Corynebacterium spp. and Propionibacteria spp. (Martin et al. [2010](#page-284-0)). The sub-epidermal compartments contain higher numbers of Proteobacteria such as Burkholderia spp. and Pseudomonads; Actinobacteria and lower Firmicutes (Byrd et al. [2018\)](#page-281-0). Moist areas of the skin (navel, axilla, groin, sole of feet, inner knee and inner elbow) are abundant in members of phyla Firmicutes (Staphylococcus spp.) and Actinobacteria (Corynebacterium spp.). Sebaceous sites (back area, forehead, nasal sides, behind ears) which form the anaerobic and lipid-rich environments are rich in Actinobacteria while dry areas (forearms, hands, legs etc.) of the skin have varying biodiversity of the four phyla (Sanford and Gallo [2013\)](#page-286-0). Microbial inter-variability is often found between individuals while intra-variability is found between various body sites and niches. Even so, the dominant genera remain stable and include Staphylococcus, Propionibacterium and Corynebacterium followed by Streptococcus and Pseudomonas in interpersonal variability (The Human Microbiome Project Consortium [2012\)](#page-287-0). Besides bacteria, fungi and viruses are also present as commensals. High throughput sequencing for pan mycobiome analysis shows that Malassezia genus is the most predominant represented by M. restricta followed by Aspergillus, Candida and Cryptococcus (Kalan et al. [2016\)](#page-283-0). Metagenomic sequencing has identified Human Papilloma Virus and Polyomavirus as common species of cutaneous virome followed by Circoviruses and bacteriophages of the abundantly found bacterial genera (Hannigan et al. [2015](#page-283-0)). Analyses of human skin virome determined by purification of virus-like particles (VLPs), deep sequencing, 'clustered regularly interspaced short palindromic repeat' (CRISPR) identification and network analyses techniques shows the persistence of temperate cutaneous phages, which may contribute to novel gene transfer amongst the microbiome (Hannigan et al. [2015](#page-283-0)).

Commensal or mutualistic microbiota are known to confer health benefits by production of antimicrobial products, maintaining pH, competition for space and nutrition, immunomodulation and strengthening the epithelial barrier functions (The Human Microbiome Project Consortium [2012;](#page-287-0) Martín and Langella [2019\)](#page-284-0). Surface level proliferation of non-pathogenic wound microbiota which do not cause invasion of underlying tissue are typically tolerated by the host and recent studies show that they may actually help in the wound healing and repair processes (Sanford and Gallo [2013\)](#page-286-0).

# 4 Non-conventional Treatment Strategies of Chronic Wounds: Live Biotherapeutics

Typically, advanced wound care strategies are applied if failure of even 50% wound area reduction is observed after 4 weeks of conventional treatment (Frykberg and Banks [2015](#page-282-0)). A healing approach that brings balance to the system by replenishing the useful and removing the harmful elements can be the key to treating chronic wounds. A direct application of our understanding of healthy human microbiome has led to the exploration and development of a novel class of non-conventional treatment strategies known as live biotherapeutics.

Live biotherapeutics (LBP) includes both well-defined live naturally occurring and genetically engineered organisms for the prevention and treatment of a disease or condition (Martín and Langella [2019](#page-284-0); Rouanet et al. [2020\)](#page-286-0). The medicinal use of microorganisms as pharmaceutical products is being referred to as live biotherapeutics by the US Food and Drug Administration (US FDA) and the European Pharmacopeia (Ph.Eur). The FDA defined these as 'a biological product that (1) contains live organisms, such as bacteria (2) is applicable to the prevention, treatment or cure of a disease or condition of human beings; and (3) is not a vaccine' and by PhEur as 'medicinal products containing live micro-organisms (bacteria or yeasts) for human use' (Dreher-Lesnick et al. [2017](#page-282-0); Rouanet et al. [2020\)](#page-286-0). The desirable criteria in a LBP include safety for all age groups, non-toxicity, antibiotic and antifungal sensitivity, genetic stability, high survivability in acidic environments, biofilm forming so as to create an effective barrier against pathogens, high shelf life, ease of high biomass production and environmentally safe with low-risk biocontainment (Rouanet et al. [2020](#page-286-0)).

## 4.1 LBP Benefits and Their Modes of Action

LBP are considered as administration of safe organisms that can confer health benefits and curative effects to humans (Martín and Langella [2019\)](#page-284-0). Several mechanisms proposed for the positive effect of live organisms are discussed below and depicted in Fig. [2](#page-262-0).

## 4.1.1 Adhesion, Aggregation Ability and Colonization

The ability to form a protective lining over host surfaces is an important criterion for potential probiotics that results in transient colonization of epithelial lining and provides competition to pathogens (Pereira and Bártolo [2016\)](#page-285-0). Adhesion, aggregation and biofilm formation ensures low rates of LBP clearance and an opportunity for the organisms to multiply and produce metabolites that promote immunomodulation and stimulate host metabolic pathways (Monteagudo-Mera et al. [2019\)](#page-284-0). Adhesions

<span id="page-262-0"></span>

Fig. 2 Live biotherapeutics and their beneficial properties

depend on cell surface hydrophobicity as well as the presence of adhesion promoting surface components.

Cellular aggregation refers to the property of cells to aggregate and precipitate. Lactobacillus spp. secrete cell aggregation factors that mediate self-aggregation as well as act as pheromone-like factors that induce surface protein adhesins (Miljkovic et al. [2015](#page-284-0)). Moreover, recent studies also show that probiotics (Lactobacillus rhamnosus, L. plantarum, L. reuteri and L. fermentum) themselves are potent biofilm formers and secrete quorum sensing signalling autoinducer peptides (AIP) and quenching molecules that influence host cells as well as other biofilm-forming pathogens (Spangler et al. [2019\)](#page-286-0). In vitro studies with different epithelial cell lines assess the adherence ability of probiotics and pathogen antagonism (Lopes et al. [2017;](#page-284-0) Rosignoli et al. [2018\)](#page-286-0).

#### 4.1.2 Competitive Exclusion

Beneficial probiotics have the ability to exert vigorous competition to incoming pathogens for ecological niche and nutritional resources. Reduction in pH and regulating the oxidation–reduction potential in the body by probiotic consumption alters environments which create colonization resistance for pathogenic organisms. The metabolites and local conditions created by probiotics help to normalize commensal microbiota in the gut epithelium (Abatenh et al. [2018](#page-280-0)). In a human keratinocyte culture model, L. reuteri ATCC 55730 reduced keratinocyte death due to S. aureus by competitive exclusion (Prince et al. [2012\)](#page-285-0).

### 4.1.3 Enhance Epithelial Barrier Integrity

Probiotics are found to enhance epithelial cell barriers which is a major defence mechanism used to prevent invasiveness of pathogenic organisms (Abatenh et al. [2018\)](#page-280-0). The mechanism pertains to the ability of bacteria to adhere to epithelial tissue, form biofilms and produce metabolites that help maintain homeostasis in epithelial barrier function. Probiotics enhance goblet cell expression and secretion of mucin along the intestinal tract and mucosal surfaces, preventing bacterial movement and provide a protective layer (Liu et al. [2020\)](#page-284-0). The surface layer proteins of L. plantarum interact with TLR domains and induce gene expression of F-actin distribution increasing trans epithelial resistance (TER) levels and enhancing tight junction stability (Liu et al. [2011](#page-284-0)). They are also reported to increase extracellular signal-regulated kinases (ERK) phosphorylation resulting in reduced host cell apoptosis (Prado Acosta et al. [2016](#page-285-0)). Short-chain fatty acids (SCFA) particularly butyrate, which is the preferential energy source for colonic epithelial cells are produced by probiotics which also boost tight junction protein expression, secretion of mucin by goblet cells and reduce enterocyte senescence (Yan et al. [2007\)](#page-287-0).

#### 4.1.4 Antimicrobial Properties

The commensal microbiota contributes to host health by inhibiting pathogenic growth and creating colonization resistance by the production of antimicrobial products (Fijan [2016](#page-282-0)). Antimicrobial substances produced by probiotics include organic acids (formic acid, acetic acid, phenyl lactic acid, lactic acid, benzoic acid), acetaldehyde, acetoin, diacetyl and short-chain fatty acids (SCFA) as well as carbon dioxide, hydrogen peroxide and bacteriocins (Fijan [2016;](#page-282-0) Abatenh et al. [2018\)](#page-280-0). Lantibiotics, a class I bacteriocins containing lanthionine/β methyllanthionine residues such as gallidermin, epidermin, hominicin are abundantly produced by S. gallinarum, S. epidermidis and S. hominis, respectively (Götz et al. [2014\)](#page-282-0). Coagulase negative Staphylococcus species are reported to produce bacteriocins,

heat-stable antimicrobial peptides as well quorum sensing signalling molecules for interspecies communication (O'Sullivan et al. [2019](#page-285-0)).

Topical application of human skin microbiota protects against S. aureus by the production of strain and species-specific antimicrobial peptides that are synergistic with defensins such as LL37 in pigskin and mice model (Nakatsuji et al. [2017](#page-285-0)). In a recent survey, 21 different bacteriocins produced in seven human body sites characterized by colony mass spectrophotometry, were found to inhibit opportunistic Gram-positive pathogens such as Cutibacterium acne, S. epidermidis and MRSA. 16S rDNA sequencing revealed Bacillus spp. (licheniformis, endophyticus and safensis) and Coagulase Negative Staphylococcus spp. (capitis, hominis, epidermidis, simulans, warneri) as the antimicrobial producing microbiota (O'Sullivan et al. [2019](#page-285-0)).

Staphylococcus epidermis, commensal bacteria-laden polyethylene glycol dimethacrylate (PEG-DMA) was used to decolonize Methicillin-Resistant S. aureus USA300 by the production of SCFA such as acetic, propionic acid and butyric in a skin wound mice model (Kao et al. [2017\)](#page-283-0). Transcriptional analysis of commensal S. epidermis inhibiting S. aureus biofilm revealed that the non-proteinaceous small molecule products modulated several S. aureus gene expression including biofilm formation (Glatthardt et al. [2020](#page-282-0)). Phenol-soluble modulins (PSM) production by S. epidermidis can cause targeting cytolysis of Streptococcus pyogenes and S. aureus in conjunction with host immune antimicrobial peptides (AMP) (Cogen et al. [2010](#page-281-0)).

Lactobacillus plantarum culture supernatant was found to contain pro-healing and anti-pathogenic compounds such as antimicrobials (5-methyl-hydantoine, benzoic acid, mevalonolactone etc.); biosurfactants (distearin, di-palmitin, and 1,5-monolinolein); anesthetics (barbituric acid derivatives) and quorum sensing autoinducer (AI-2) precursors (4,5-dihydroxy-2,3-pentanedione and 2-methyl-2,3,3,4-tetrahydroxytetrahydrofurane) (Ramos et al. [2015\)](#page-286-0).

#### 4.1.5 Biosurfactants

Amphipathic molecules produced by microbes as secondary metabolites that can influence surface/interfacial tensions of fluids are biosurfactants. Probiotic biosurfactants possess antimicrobial, antiadhesive and antibiofilm properties (Hajfarajollah et al. [2018](#page-283-0)). L. plantarum and Pediococcus acidilactici produce biosurfactants that inhibit adhesion and biofilms in S. aureus CMSS 26003 by affecting the expression of quorum sensing and biofilm genes such as cidA, sarA, icaA, dltB, sortase A, agrA (Yan et al. [2019](#page-287-0)). Cell-derived biosurfactants Lactobacillus jensenii and L. rhamnosus demonstrated antimicrobial and antibiofilm activities against multiple drug-resistant A. baumannii, S. aureus and E. coli (Sambanthamoorthy et al. [2014\)](#page-286-0).

#### 4.1.6 Antimetabolites

Bacterial microbiome produces metabolites such as folates, indoles, serotonin, gamma aminobutyric acids, SCFA (acetate, propionate, butyrate) and trimethylamine N oxides which can bind to host membrane and nuclear receptors causing physiological changes that can be beneficial or be the cause of inflammation and disease (Abatenh et al. [2018](#page-280-0); Liu et al. [2020\)](#page-284-0). Lactococcus lactis and L. reuteri bacterial metabolites induce anti-inflammatory T regulatory cell differentiation by increasing transcriptional upregulation of Fox P3 and IL10 gene expression. The metabolites also suppressed CD11c and MHC Class II expression on dendritic cells (Fu et al. [2018\)](#page-282-0). Lactobacillus plantarum DC400 co-cultured with L. sanfranciscensis DPPMA174 produced plantaricin (PlnA), a pheromone that shows antimicrobial activity, induces proliferation of human keratinocyte NCTC 2544 cells and increases keratinocyte growth factor 7 (FGF7), VEFG-A, transforming growth factor-β1 (TGF-β1), and interleukin-8 (IL-8) genes (Pinto et al. [2011](#page-285-0)).

#### 4.1.7 Antioxidant Properties

Antioxidant properties of probiotics regulate oxidative damage to lipids, proteins and nucleic acid caused by excessive and unmanaged ROS and hydroxyl radicals generated in diseased conditions (Wang et al. [2017\)](#page-287-0). The antioxidant properties of different probiotic strains are attributed to various mechanisms, which include (1) metal ion chelating ability and preventing ions from catalyzing oxidation reactions; (2) Production of antioxidant metabolites such as glutathione, butyrate and folate; (3) Downregulation of host ROS generating oxidases (NADPH, COX-2, Cytochrome P450); (4) Upregulating host antioxidant metabolites by overcoming vitamin B group deficiencies by probiotic supplementation and (5) Regulating host signalling pathways (Nrf2-Keap1-ARE transcription elements, NFκB, MAPK, PKC pathways) for promoting genes encoding antioxidant and detoxifying enzymes (Wang et al. [2017\)](#page-287-0).

### 4.1.8 Endocrine Effectors

Microbe–host interaction influencing changes in hormonal levels is now being actively studied as microbial endocrinology (Neuman et al. [2015\)](#page-285-0). Quorum sensing molecules such as autoinducers crosstalk with host hormone signalling pathways. Host hormones also affect bacterial growth and gene expression which in turn influences host behaviour. L. reuteri mediated vagus nerve mediated pathway controlled upregulation of hormone oxytocin resulted in enhanced wound healing. The bacteria triggered oxytocin also activated CD4+ FoxP3+ CD25+ T regulatory

cells providing transplantable wound healing in naïve Rag 2-deficient mice (Poutahidis et al. [2013\)](#page-285-0).

### 4.1.9 Angiogenic Activity

Angiogenesis is crucial for proper wound healing and probiotics such as Bacillus polyfermenticus (B.P) used for treatment of intestinal disorders cause an increase in cellular migration, permeability and tube formation in human intestinal microvascular endothelial cells. B.P treatment of mice colitis model showed increased IL8 production with reduced rectal bleeding and disease severity. The mechanism of angiogenesis induction was dissected to be NFκB/Interleukin 8/CXCR-2 dependant manner (Im et al. [2009](#page-283-0)).

#### 4.1.10 Immunomodulatory

Recent studies show that probiotics have an effect on innate, humoral and cellular immunity (Clarke et al. [2010](#page-281-0); Naik et al. [2012;](#page-285-0) Thaiss et al. [2016;](#page-286-0) Cheng et al. [2019;](#page-281-0) Delgado et al. [2020](#page-281-0)). Pathogen recognition receptors such as Toll-Like Receptors (TLR) and NOD-like receptors (NLR) are triggered by probiotic-associated molecular patterns (PAMP) such as flagella, pili, surface layer proteins (SLP), capsular polysaccharides, lipoteichoic acids and lipopolysaccharide (Thaiss et al. [2016\)](#page-286-0). These, in turn, activate MyD88, MAPK, NF-kB and other signalling pathways that regulate growth factors, cytokine and chemokine-induced inflammation and enhance epithelial functions (Liu et al. [2020](#page-284-0)). Lactobacilli in various studies are shown to stimulate intra-epithelial T cells, NK cells, dendritic cells, macrophages and neutrophils (Salas-Jara et al. [2016;](#page-286-0) Abatenh et al. [2018\)](#page-280-0).

Functional genomic studies demonstrate that probiotics play an immunomodulatory role by influencing inflammation related gene expression and are referred to as Genobiotics (Gorreja [2019\)](#page-282-0). Probiotics play a major role in the T cell differentiation into Treg (Fog P3+)/Th1/Th2 type cells and the antibody isotypes being produced. Lactobacillus reuteri can indirectly boost wound healing via upregulation of oxytocin via T regulatory cells (Poutahidis et al. [2013\)](#page-285-0).

Commensal bacteria such as Roseburia intestinalis, Bacteroides fragilis, Akkermansia muciniphila can cause an increase in anti-inflammatory IL10 and IL22 cytokine production (Gurung et al. [2020](#page-283-0)). The production of SCFA by skin commensals suppresses skin inflammation by upregulating Treg-specific transcription factors FoxP3 and IL10 (Schwarz et al. [2017\)](#page-286-0).

## 4.1.11 Nutritional Amendments

Probiotics such as *Lactobacilli* and *Bifidobacterium* are natural producers of watersoluble vitamin B group, vitamin K and digestive enzymes such as esterases, lipases, Co-enzymes A, Co-enzyme Q, NAD and NADP (Gu and Li [2016\)](#page-282-0). Oral L. reuteri NCIMB 30242 was used in a placebo-controlled, double blind, randomised, parallel arm, multicentre study which showed increased serum 25-hydroxyvitamin D compared to placebo group (Di Marzio et al. [2008\)](#page-281-0). Probiotics enhance the absorption of nutrients, vitamins and minerals and induce amino acids and organic acid production (Quigley [2019\)](#page-286-0). Bacteroides ovatus is a probiotic species capable of digesting xyloglucans using enzymes not found in humans (Larsbrink et al. [2014](#page-283-0)). Probiotics hence play a major role in fulfilling nutritional deficiencies leading to a robust immune system capable of defending against pathogens and accelerating the wound healing process.

The above-mentioned LBP benefits are highly genus and strain specific. While many can be used for systemic health and disease amelioration, tailored LBP prescription seems to be necessitated based on a detailed analysis of the microbiome dysbiosis, metabolic profiling and requirements of a patient-specific disease condition (Lin et al. [2019](#page-284-0)). In Fig. [1](#page-255-0), the role of LBP in chronic wound healing depicts how oral or topical application can reverse the destructive turn of events that are characteristic of chronic wounds and re-establish the normal wound healing process.

# 4.2 Live Biotherapeutic Products Types and Their Application for Chronic Wounds

Live biotherapeutic Products (LBP) is a terminology for a pharmaceutical product containing live organisms that can be used for the prevention/treatment/cure of diseases (Martín and Langella [2019\)](#page-284-0). Topical LBP administration can affect the cutaneous wound environment while oral systemic administration can also help in wound healing. Gut microbiota influences skin immunity by enhancing a balanced systemic immune response (Salem et al. [2018\)](#page-286-0). With disturbed gut immunity, intestinal pathogens and metabolites enter the bloodstream and gather in the cutaneous tissue causing disease. The 'gut-brain-skin' hypothesis suggests that gut microbiome modulation using probiotics can influence distal effects such as neuronal skin inflammation and even hair follicle development (Arck et al. [2010\)](#page-280-0).

The following kinds of LBP will hence be considered in their role in chronic wound healing: traditional probiotics, next-generation probiotics and engineered live biotherapeutics.

## 4.2.1 Traditional Probiotics

'Probiotic' means 'for life' in the Greek language. The traditional definition of probiotics endorsed by World Health Organization (WHO), Food and Agriculture Organization and United Nations (FAO) and The International Associate for Probiotic and Prebiotics (ISAPP) is as follows: 'Probiotics are live organisms that, when

administered in adequate amounts, confer a health effect on the host' (Hill et al. [2014\)](#page-283-0). Around 1900, Russian scientist Elie Metchnikoff, showed that live bacteria (Lactobacillus bulgaricus) in fermented foods (yogurt and milk) improved the gastrointestinal tract functions. In 1965, Lilly and Stillwell introduced the term 'Probiotics' (Gasbarrini et al. [2016\)](#page-282-0).

Traditional Probiotics (TP) include specific bacterial strains of Lactic Acid Bacteria (LAB) such as Lactobacillus (rhamnosus, lactis, acidophilus, plantarum, casei, delbrueckii subsp. bulgaricus, gasseri, fermentum, reuteri, johnsonii, paracasei and salivarius); Bifidobacterium (animalis subsp. lactis, longumadolescentis, animalis, bifidum, breve); Propionibacterium acidilactici, Leuconostic mesenteroides, Enterococcus faecium, Streptococcus thermophiles etc. Saccharomyces boulardii, a yeast probiotic has been compared with bacterial probiotics and found to be highly effective in treatment and prevention of diarrhoea and intestinal illness associated with long-term antibiotic usage, Clostridium difficile infections, and traveller's diarrhoea (Chamberlain and Lau [2016\)](#page-281-0). Topical probiotics for skin also include Nitrosomonas eutropha, an ammonia oxidizing soil bacteria with antibacterial, anti-inflammatory properties used for removal of skin wrinkles (Notay et al. [2020\)](#page-285-0). The lysate from Vitreoscilla filiformis, a non-pathogenic Gram negative bacteria isolated from thermal spa waters, is being used for beneficial skin effects with atopic dermatitis and seborrheic dermatitis symptoms (Gueniche et al. [2008\)](#page-283-0).

The major origin of traditional probiotics is fermented non-digestible carbohydrates, dairy-based foods and intestinal origin microorganisms. GRAS (generally recognized as safe) status has been conferred on traditional probiotics due to their ubiquitous appearance, fermentative metabolism and contribution to host enteric health. A combination of prebiotics (foods supporting probiotics) and probiotics are referred to as conbiotic or synbiotic and now considered a type of functional food (Martín and Langella [2019;](#page-284-0) Charbonneau et al. [2020\)](#page-281-0). Traditional probiotics are known to produce antimicrobial peptides, antioxidant compounds, regulate mucosal IgA production, maintain intestinal epithelial cell integrity and even modulate bile acid production and secretion (Abatenh et al. [2018\)](#page-280-0). Therefore, such probiotics which provide a distinct health benefit may be included in the category of live biotherapeutics.

The other major category for probiotics are the normal commensal human body microbiota. Gut microbiome directly or indirectly affects metabolic functions, immune system and protection against pathogens (Cani [2018\)](#page-281-0).

Recent research suggests that the microbiome is a regulator of the 'gut-brain- skin axis' influencing the three-way communication between the central, enteric and cutaneous system involving the nervous, immune and endocrine signalling (Arck et al. [2010;](#page-280-0) Salem et al. [2018\)](#page-286-0). A hypothetical model of how the 'gut-brain-skin axis' can be a useful model to understand the role of LBP administrations in mitigating disease conditions as well as enhance wound healing is depicted in Fig. [3](#page-269-0). For the treatment of chronic wound diseases, LBP may be administered orally (probiotic as dietary supplement), or topically at the site of the wound. A plethora of LBP benefits may be exerted at the intestinal levels which are communicated to the brain. A

<span id="page-269-0"></span>

Fig. 3 Live bio-therapeutics and the 'Gut-brain-skin axis' for chronic wound healing and repair. Oral application of LBP leads to several benefits to gut enterocytes. These are shared to brain via neuropeptides (e.g. serotonin) and systemically to enhance repair in affected body tissues such as chronic wounds. A healthy brain provides signals of tissue regeneration through oxytocin. Topical application of LBP leads to increased wound healing through beneficial properties exerted at the site of wound as well as through the cutaneous associated lymphoid tissues (CALT)

release of stress-relieving neuropeptides can modulate the systemic inflammatory response including sites of chronic wounds and induce reparative processes. Oral probiotics can systemically downregulate inflammatory responses and compress wound repair healing processes by rapid collagen deposition as well as promoting skin allostasis (Salem et al. [2018](#page-286-0)). Topical application of specific probiotics leads to altering wound microbiome, enhanced re-epithelization and immune response modulation (Erdman and Poutahidis [2014](#page-282-0)).

Several in vitro, mice and human experiments suggest that probiotic supplementation has many beneficial effects on skin health as well as in healing of cutaneous wounds (Table [1\)](#page-270-0). Treatment with probiotics L. brevis producing high exopolysaccharide showed accelerated progress from granulation formation to re-epithelialization in cutaneous wound site in Wistar rat model (Heydari Nasrabadi et al. [2011\)](#page-283-0). LAB also produces lactic acid and other antimicrobial products that prevent the growth of pathogenic wound organisms (Liu et al. [2011;](#page-284-0) Ramos et al. [2012;](#page-286-0) Rosignoli et al. [2018;](#page-286-0) Yan et al. [2019](#page-287-0); Sürmeli et al. [2019;](#page-286-0) Ong et al. [2020](#page-285-0)). A large number of in vitro, experimental and in vivo studies show efficient antagonistic activity of probiotics against wound pathogens S. aureus, Ps. aeruginosa,

<span id="page-270-0"></span>

Table 1 Effect of live biotherapeutics in chronic wound models Table 1 Effect of live biotherapeutics in chronic wound models

(continued)

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Table 1 (continued)



<sup>a</sup>cfu: colony forming units <sup>a</sup>cfu: colony forming units

A. baumanii and E. coli (Wieërs et al. [2019](#page-287-0)). In an in vitro study, antimicrobial efficacy of probiotic combination SYNBIO (1:1 L. rhamnosus IMC501 and L. paracasei IMC 502IN) was demonstrated against chronic ulcerative lesion isolated bacteria. Co-aggregation and adherence of SYNBIO to human keratinocytes (HaCaT cells) and human fibroblast (NHF) was also found which could create a protective shield preventing pathogen biofilm formation (Coman et al. [2020\)](#page-281-0). In an in vivo human plasma biofilm model, wound pathogens S. aureus, Ps. aeruginosa, S. epidermidis, E. faecium and C. albicans were challenged to probiotics B. lactis, L. plantarum and commensal S. cerevisiae. L. plantarum was found to inhibit Ps. aeruginosa biofilm completely with differential effect on other pathogens.

Using in vitro human epidermis model, topical treatment with heat-treated L. johnsonii NCC 533 (HT La1) reduced radiolabelled S. aureus adhesion by 74% and induced the expression of antimicrobial peptide hBD-2 (Rosignoli et al. [2018\)](#page-286-0). Further, in an open-label multicentre study, topical lotion application containing HT La1 twice daily for 3 weeks to 31 atopic dermatitis patients with S. aureus load was correlated with local clinical improvement as well as controlled S. aureus colonization (Blanchet-Réthoré et al. [2017\)](#page-281-0).

Novel LAB probiotic wound healing patch containing LAB in an adhesive gas permeable membrane producing nitric oxide gas was tested on ischaemic wounds in a New Zealand white rabbit model over 21 days and showed statistically significant wound healing (Jones et al. [2012\)](#page-283-0).

Effect of probiotics on re-epithelialization has also been recorded. Primary human keratinocyte monolayers were scratched and treated with lysates of L. reuteri, L. rhamnosus GG, L. fermentum or L. plantarum. Increased rates of re-epithelialization were recorded with L. rhamnosus GG lysate. Keratinocyte proliferation was increased upon treatment with L. reuteri. L. rhamnosus GG treated scratches revealed elevated expression of wound healing chemokines such as CXCL2 and its receptor CXCR2 using microarray analysis (Mohammedsaeed et al.  $2015$ ). Treatment of keratinocytes infected with S. aureus with  $10^8$ cfu/ml of live L. rhamnosus GG/spent culture fluid was found to increase viability of infected keratinocytes by 65% and 57%, respectively. The mechanisms involved were competitive extrusion and growth inhibition by live bacteria and inhibition of adhesion to keratinocytes (Mohammedsaeed et al. [2014\)](#page-284-0).

Cutaneous probiotics such as S. thermophilus increase amounts of ceramides and phosphorylcholine through sphingomyelinase production in keratinocytes to improve skin barrier functions and promote wound healing (Di Marzio et al. [2008\)](#page-281-0). A meta-regression analysis of six animal studies with random effect model estimated that bacteria probiotic therapies (70% kefir gel, L. fermentum, L. plantarum, L. reuteri, L. brevis) are effective in treatment of cutaneous wounds (Blanchet-Réthoré et al. [2017\)](#page-281-0).

In diabetes rat model, perioperative supplementation of Probiatop® (four probiotic strains consisting of L. paracaei LPC-37, L. rhamnosus HN001, B. lactis HN019 and L. acidophilus NCFM (dose  $10^9$  cfu) or maltodextrin as control provided 5 days prior to induction of second-intention wound resulted in better healing

possibly due to increased vascularization, collagen type 1 deposition and reduced inflammatory response (Campos et al. [2020](#page-281-0)).

In an oral probiotic supplementation study with 60 humans (aged 40–85 years) suffering from grade 3 DFU, increased wound healing with a decrease in metabolic parameters such as fasting glucose levels, lipid profiles and inflammatory markers were recorded. Thirty individuals received probiotic capsules (L. casei, L. acidophilus L. fermentum and B. bifidum) while 30 were treated with placebo in addition to standard wound care treatment (Mohseni et al. [2018\)](#page-284-0).

A case study of diabetic woman, age 83 years, suffering from chronic limb ischemic wound infected with MDR K. pneumonia, E. faecalis and P. mirabilis infections was reported. The wound was topically treated with a mixture of 100 billion cfu lyophilized probiotics bacteria of L. plantarum NCIBMB 43029 (20%), L. acidophilus NCIBMB 43030 (20%) and Streptococcus thermophilus NCIMB 30438 (40%) three times a week for 24 days with no systemic or topical antibiotic treatment. The wound condition stabilized in 2 weeks following topical probiotic application with tests negative for  $E$ . *faecalis* (day 12 post application) and P. mirabilis and K. pneumoniae (day 21 days post application), respectively. Metabolomic profiling by Nuclear Magnetic Resonance (NMR) analysis of the infected wound showed a change in at least 30% of the metabolites including decreased pyrimidine (indicative of infection resolution), polyamine putrescine (involved in protection from oxidative stress, phagolysosomes and biofilm formation,), lysine (reduced bacterial proteolytic activity) and 2,3-butenediol (K. pneumonia metabolite) levels (Venosi et al. [2019\)](#page-287-0).

L. plantarum was topically applied on venous ulcer lesions of 14 diabetics and 20 non-diabetic patients for 30 days. Tissue debridement, granulation formation and complete healing were observed in 43% diabetics and 50% non-diabetics with decreased PMN, enhanced IL8 levels in ulcer bed cells, apoptosis/necrotic cell death and induced wound healing (Peral et al. [2010\)](#page-285-0).

Pre-operative probiotic or synbiotic administrations can contribute to reduction in post-operative surgical wound dehiscence in patients with co-morbidities such as diabetes, hypertension, ageing, cancer surgery and pre-existing immunocompromised conditions. A meta-analysis investigating randomized controlled trials testing probiotic efficacy in controlling infection related complications in colorectal surgery concluded that multi-strain probiotics have reduced surgical site and non-surgical site infections. This was largely attributed to immunomodulation and pathogen inhibition by probiotic administration (Liu et al. [2017\)](#page-284-0). In a randomized controlled double-blind study, efficacy of oral probiotic formulation containing L. acidophilus LA5, B. lactis BB-12, L. plantarum, Saccharomyces boulardii (5.5  $\times$  10<sup>9</sup> cfu) given 1 day prior operation and continued for 15 days' post-operation was tested in colorectal surgery patients. Treated patients showed statistically significant lower wound infections, post-operative pneumonia and requirement of mechanical ventilators (Kotzampassi et al. [2015\)](#page-283-0).

Nutritional benefits, reduced pathogenic load and further immunomodulation appear to be the major contribution of probiotic administration for wound healing and repair. Although several in vitro and animal studies indicate positive effects of

probiotics on wound healing, there are far fewer human studies targeting chronic wounds.

#### 4.2.2 Next Generation Probiotics (NGP)

Beneficial micro-organisms useful in prevention, cure or treatment of disease, identified using comparative genomics and next generation sequencing technology, are referred to as Next Generation Probiotics (NGP) (Martín and Langella [2019\)](#page-284-0). Metagenomics approaches, next generation sequencing and computational technologies are used for screening and characterization of microbial isolates from specific sites and associated diseases, bypassing the traditional microbial culture and cloning methods. Using metagenomic approaches, nucleic acid material directly extracted from body tissues is amplified using polymerase chain reaction (PCR) with primers targeting conserved bacterial regions. The DNA sequence of the amplicons is then determined using high throughput Next Generation Sequencing (NGS) technology. Comparative genomics and computational softwares are used for phylogenetic analysis and identification of microbiome at particular body sites or tissues (Hodkinson and Grice [2015](#page-283-0)).

Increased awareness about the human microbiome using NGS technology and the association of disease with dysbiosis is used to identify beneficial commensal microbiota that can be used to restore tissue homeostasis. Identification of microbiota in wounds or disease conditions irrespective of the polymicrobial nature and heterogeneity in terms of spatial and nutritional requirements can help determine novel biomarkers of diseased tissue. Measurements of microbial population dynamics, inflammatory markers and wound healing progression can be conducted in consort with administration of probiotics or microbiome transplantation (Hodkinson and Grice [2015\)](#page-283-0). Identification of previously unknown species can also be performed using advances in culturomics using high throughput culture conditions and mass spectrometry (MALDI-TOF MS) (Bilen et al. [2018\)](#page-281-0). New information regarding the molecular burdens of pathogen and commensals at wound sites now offers a precise and targeted preventive and curative approach for disease amelioration (Chang et al. [2019](#page-281-0)).

Strategies for isolation of NGP require comprehensive information and analyses of microbiota composition, their metabolome and host responses from big data study groups involving healthy, disease and experimental sets. Akkermansia muciniphila, Bacteroides fragilis, Faecalibacterium prausnitzii, Christensenella minuta, and Pasabacteriodes goldsteinii are some of the NGP under investigation (Chang et al. [2019\)](#page-281-0). NGP bacterial species such as Bifidobacteirum longum, Eubacterium limosum, Enterococcus hirae, Enterococcus faecium, Collinsella aerofaceins and Burkholderia cepacia show promising effects as anticancer immunotherapeutics (Cani and Van Hul [2015](#page-281-0)). Akkermansia muciniphila is a potential key NGP candidate which modulates endocannabinoid system which is a regulatory component in targeting obesity, type 2 diabetes and inflammation (Cani et al. [2014\)](#page-281-0).

There are some encouraging reports of beneficial commensals identified using the next generation sequencing technologies in wound healing and care. Comparative metagenomics of healthy and diseased skin microbiome show distinct differences in the biodiversity and microbial loads and several such studies on chronic wounds are discussed previously in the section on wound microbiology. There are however no NGP related human studies with chronic wound infections. Promising data have been obtained with microbiome reconstitution studies in Atopic Dermatitis, a recurrent chronic skin inflammatory disease associated with S. aureus pathogenesis. Commensal Coagulase Negative Staphylococci (CoNS) isolated from AD patients were found to be deficient producers of antimicrobial activity against pathogenic S. aureus in comparison with CoNS isolated from skin of healthy volunteers. An antimicrobial peptide producing CoNS, S. hominis A9 ( $1 \times 10^5$  cfu) was used for autologous microbiome transplantation onto AD patients. Once a day application reduced S. aureus colonization while twice a day application for a week completely inhibited S. aureus growth in AD patients (Nakatsuji et al. [2017\)](#page-285-0).

Treatment with Roseomonas mucosa, a commensal isolated from healthy volunteers improved AD outcome in mice and cell culture model while R. mucosa from AD patients worsened symptoms. In an open-label phase I/II safety and activity trial with 10 adults and 5 paediatric patients ('the Beginning Assessment of Cutaneous Treatment Efficacy for Roseomonas in Atopic Dermatitis trial; BACTERiAD I/II'), R. mucosa treatment resulted in a significant reduction in disease severity, S. aureus count and topical steroid requirement. No treatment complications or adverse effects were observed (Myles et al. [2018](#page-285-0)). Successful microbiome transplant studies in AD provide hope that such studies can be conducted for chronic wound healing using the appropriate microbiome diagnostics.

Most studies with NGP are in various stages of in vitro or in vivo animal studies with few targeted towards chronic wound healing. However, many NGP candidates are involved in regulating metabolic syndromes and disorders including inflammation and hence can be beneficial in wound healing and repair according to the 'gutskin-brain axis' hypothesis (Arck et al. [2010](#page-280-0)). Our recent understanding of chronic wound microbiome can be translated into the oral or local application of identified NGP commensals for wound healing and repair. Skin dysbiosis hence can be effectively treated by administering topically or systemically distinctly identified beneficial probiotics tailored to a particular chronic wound (Tsiouris et al. [2017](#page-287-0)).

#### 4.2.3 Engineered LBP

Current probiotics are designed for general health and wellness and do not particularly target treatment of a specific condition. Moreover, these typically form transient microbiota and are not retained for long durations (Abatenh et al. [2018](#page-280-0)). Even the identification and reconstitution of generalized microbiota does not provide guaranteed treatment of diseases. The use of genetically engineered live organisms for disease diagnosis and treatment has been envisaged by the combination of synthetic probiotic biology, system engineering and molecular metabolomics

(Ozdemir et al. [2018](#page-285-0)). Development of engineered probiotics producing specific substances relevant and customized to a certain condition either constitutively or upon induction promise to be next-gen pharmaceutical products (Sessions et al. [2017\)](#page-286-0). Live engineered probiotics that also detect presence of biomarker chemicals indicative of an altered metabolism or condition will be useful as biosensors (Charbonneau et al. [2020\)](#page-281-0). While most studies have focussed on engineering of E. coli and L. lactis, recent studies are focused on developing genetically engineered beneficial commensal microbiota as they are better at colonization and may increase success in treatment strategies (Praveschotinunt et al. [2019](#page-285-0)). Bioengineered probiotics offer great promise as delivery agents for antibiotics, bacteriocins, immune stimulants, growth factors as well as antagonists for harmless metabolites at the site of disease (Wang et al. [2017](#page-287-0); Charbonneau et al. [2020](#page-281-0)). Other applications include diagnosis and detection of disease by introducing pathogen-related quorum sensing systems into probiotics (Zhou et al. [2020](#page-287-0)).

Engineered Lactobacillus casei BL23 producing superoxide dismutase (SOD) or catalase (CAT) treatment of mice with Crohn's disease had lower intestinal inflammation, increased gut enzymatic activity and faster recovery (LeBlanc et al. [2011\)](#page-284-0). An auxotrophic strain of S. epidermis NRRL B-4268 containing three deletions of alanine biosynthetic genes (two Alanine racemases alr1, alr2 and d-alanine aminotransferase dat) was designed as a live LBP, the growth of which can be controlled using d-alanine in the absence of antibiotics or genes coding for antibiotic resistance. S. epidermis colonized on cultures human skin model in vitro and increased the expression of human β defensins when supplemented with d-alanine (Dodds et al. [2020\)](#page-282-0).

Introducing biomolecules in chronic wound environments deficient in factors responsible for vascularization, re-epithelialization and immunomodulation serve in enhancing wound healing. A key role of chemokines in regulating wound healing by angiogenesis and leukocyte recruitment for the synthesis of growth factors and cytokines has been delineated (Ridiandries et al. [2018](#page-286-0)). Preclinical studies in mice administered with CCL2, CCL21, CXCL12 and CXCR4 antagonist were shown to improve wound healing. Recombinant CXCL12 delivering transformed Lactobacillus reuteri were administered topically to wounds in mice (Vågesjö et al. [2018](#page-287-0)). An increased proliferation of dermal cells and macrophages with TGF-β production was observed. Lactobacillus caused decreased local pH inhibiting peptidase CD26 activity with increase in local CXCL12. Treatment of hind limb ischemia model in mice with the CXCL-12 Lactobacilli accelerated wound closure. However, in severe hyperglycemic mice, rCXCL12 *Lactobacillus* was able to normalize blood flow in the wound but help in closure only at day 1 after induction and but not thereafter. Using an in vitro wound re-epithelialization model, CXCL12 producing L. reuteri treatment caused increased keratinocytes and macrophages proliferation. Treatment was found to be safe and only locally functional as neither bacteria nor the chemokine was found systemically (Vågesjö et al. [2018](#page-287-0)). The technology was used to develop ILP100, a novel investigational new product using a novel drug delivery technology by Ilya Pharma which is approved for first-in-human/Phase I trial [\(https://www.ilyapharma.se/](https://www.ilyapharma.se/)).

Aurealis Therapeutics developed a genetically engineered *Lactococcus lactis* probiotic (AUP-16) producing three products human basic fibroblast growth factors (FGF2, bFGF), IL4 and macrophage colony stimulating factor (CSF1) for treatment of non-healing wounds and regenerative diseases, which has clinical trial application approval for DFU patient trial by German Health Authority Paul-Ehrlich-Institute [\(https://aurealistherapeutics.com/aurealis-therapeutics-receives-clinical-trial-applica](https://aurealistherapeutics.com/aurealis-therapeutics-receives-clinical-trial-application-approval-for-aup-16-diabetic-foot-ulcer-patient-trial/) [tion-approval-for-aup-16-diabetic-foot-ulcer-patient-trial/\)](https://aurealistherapeutics.com/aurealis-therapeutics-receives-clinical-trial-application-approval-for-aup-16-diabetic-foot-ulcer-patient-trial/).

Such studies provide the basis for the development of engineered biologics promoting chronic wound healing.

## 5 Regulatory and Intellectual Property Issues

According to Roots Analysis, a business research and consulting group, the LBP market is projected to exponentially expand at the rate of 38% annually with the USA market alone being worth 2.1 billion by the year 2030 [\(https://www.](https://www.rootsanalysis.com/microbiome-contract-manufacturing/) [rootsanalysis.com/microbiome-contract-manufacturing/\)](https://www.rootsanalysis.com/microbiome-contract-manufacturing/). Several pharmaceutical companies are interested in investing in the initiative and therefore regulatory and intellectual property issues need formulation. Stringent safety regulatory protocols are mandated due to the living nature and multifactorial mode of action of LBP (El Hage et al. [2017\)](#page-282-0). It is imperative that LBP are tested for safety, efficacy, quality of manufacturing and consistency in well-controlled human clinical trials (Rouanet et al. [2020](#page-286-0)).

LBP are considered as pharmaceutical products in comparison to probiotics, which are essential dietary supplements, though many are now being considered under LBP. Traditional probiotics intended for use as dietary supplements are regulated by the USA Food and Drug Administration (FDA) Center for Food Safety and Applied Nutrition and do not require FDA approval before being sold as food products or supplements (Venugopalan et al. [2010\)](#page-287-0). The safety and authenticity of the product are monitored by the Dietary Supplement Health and Education Act (DSHEA) of 1994 that also ensures Current Good Manufacturing Practices (GMP) requirements in probiotic production. The law allows manufacturers of probiotics to make health claims for the products which must be substantiated by experts in the field. They also can declare a structure/function claim that states that the product does not affect normal functioning of the human body. While in Europe, diseasespecific health claims cannot be made with dietary supplements. Such claims (supported with scientific evidence) can be made with an FDA mandated disclaimer statement [\(http://internationalprobiotics.org/regulation-probiotics-usa/](http://internationalprobiotics.org/regulation-probiotics-usa/)). A category of medicinal foods in the USA permits probiotic categories to be administered under physicians' supervision and intended for a specific disease condition (Lewis et al. [2019\)](#page-284-0).

Unlike other Microbiotic Medicinal Products (MMP), LBP are clearly defined and identified by both the US FDA and European Pharmacopoeia. According to the European Union, LBP are 'medicinal products containing live micro-organisms

(bacteria or yeasts) for human use' and exclude faecal microbiota transplants and gene therapy) as per Directive 2001/83/EC ('Council Directive (EC) 2001/83 of 6 November 2001 on the Community Code Relating to Medicinal Products for Human Use'. 2001). In the USA, the Center for Biologics Evaluation and Research (CBER) under the Food and Drug Administration (FDA) is yet to approve LBP as a medicinal product (Dreher-Lesnick et al. [2017\)](#page-282-0). Any substance to be used as a drug must be approved by the FDA or an Investigational New Drug (IND) application must be sought. In 2016, regulatory considerations were put forth for conducting human clinical trials with LBP (U.S. Department of Health and Human Services [2016\)](#page-287-0).

An engineered LBP as a therapeutic application must be with a well-characterized microorganism in the form of investigational new drug application (IND) (Charbonneau et al. [2020\)](#page-281-0). Each engineered LBP strain is unique regarding properties related to by-products, colonization, distribution, persistence, clearance, pharmacokinetics and toxicity. The mode of application may differ being oral, topical or systemic depending on the type of disease or condition as in the case of chronic wounds. Additionally, issues regarding the stability of exogenously inserted genetic material as well horizontal transfer of antibiotic/virulence genes must be addressed. Biocontainment of engineered LBP within the target site as well as prevention of engineered LBP from contaminating the environment are some of the issues that regulatory bodies are currently focussing on (Lee et al. [2018](#page-284-0)). No engineered LBPs have yet been approved for human use, although several natural LBP are now in clinical development stage and proof of concept are awaited for the development of future protocols.

## 6 Challenges and Future Outlook

The foremost challenge for the development of LBP as mainstream therapeutics for chronic wound healing is that most of the current probiotic studies are with heterogeneous strains and often uncontrolled. This has created a general public perception linking probiotics to health providing fortified foods and nutritional supplements. There is, however, little clinical research-based evidence to correlate the two effects. A review of literature brings forth a gap between translation from in vitro and in vivo laboratory studies to clinical application which requires large-scale human clinical trials. Today, people have access to probiotics over the counter. A limited understanding of the beneficial role of the different formulations, strains, dosage requirements for a particular chronic wound healing condition remains. Even professional opinions of the health benefits about probiotics remain invalidated. Several health organizations are already pointing out that the indiscriminate use of probiotics as health supplements may lead to the junking of a potentially useful strategy due to lack of proper understanding of the host–LBP interaction. Change in this perception requires major investments from regulatory bodies, government health departments and physicians.

<span id="page-280-0"></span>LBPs are currently perceived as adjuncts to conventional approaches to disease treatment. Major advantages of engineered LBP are that they can be used as delivery agents for drugs and antibodies. It is foreseen that LBP will be conjoined with alternative treatments in different bioformulations (herbal, antibiotics, cancer therapy, drug molecules, nanoparticles etc.) delivered in diverse pharmaceutical formats such as lozenges, capsules and wound dressings. In most cases, antibiotic-resistant probiotics are being used in order to sustain their colonization. This, however, remains a risk particularly in the use of probiotic strains in immunocompromised patients and must be addressed.

Even though several new species have been identified through metagenomic approaches, the majority of beneficial live organisms are difficult to culture in the current paradigm. Production of LBP as a product involves several more steps beyond screening and characterization. Current Intellectual Property laws for biologicals prevent the patenting of live and naturally occurring microorganisms but allow patenting of genetically engineered organisms. All LBP would have to go through a series of pharmaceutical clinical trials. The final phases of pharmaceutical product development are randomized, placebo-controlled, double-blind, clinical trials with well-designed study models that can provide evidence for the clinical benefits of prescribing LBP. Delivery systems and encapsulation need to be standardized and the risk associated with genetic mutations must be considered. Toxicity and environmental safety issues regarding its release and subsequent consequences must be worked out. The other challenges pertaining to commercialization of the products lies with developing adequate and expert manpower as well as infrastructure for LBP mass production, and formulating industry standards with regards to reproducibility issues and safe manufacturing practices.

The potential application of LBP in chronic wound healing can be revolutionary. A targeted approach of using next generation molecular techniques and systems biology to understand the deficient microbiome and metabolic profiles in chronic wound patients followed by tailored reconstitution with a live biotherapeutics product has far-reaching potential.

Conflict of Interest There is no conflict of interest.

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# Role of Probiotics in Wound Healing



Amandeep Singh, Arpna Devi, and Uttam Kumar Mandal

### 1 Introduction

A wound can be described as an injury or damages in the body part, particularly in which rupture is formed in the skin or tissue (Velnar et al. [2009](#page-302-0)). This may occur due to various reasons; among them, the most common are thermal, physical damage, or medical and physiological conditions. According to a retrospective analysis in 2018, Medicare beneficiaries identified that globally around 8.2 million people had wounds with or without infections (Sen [2019\)](#page-301-0). As per the market analysis, the global wound care market has witnessed a steady increase. In 2014, the estimated global market was around \$2.8 billion, and it is expected to reach up to \$15 billion by 2022 and \$22 billion by 2024 (Sen [2019](#page-301-0)). This sharp rise signifies the importance as well as the gravity of the problem, which needs special attention to address the problem of the wound and wound-related complications.

Various types of wound are classified as lacerated, contusion, abrasion, ulcer, incised wound, and burn wound. Laceration wound may be defined as a type of wound which is produced by shredding of soft body tissue. It is craggy and rugged in nature. This type of wound is often infected with bacteria from the object that causes a cut. Contusion is the injured and destructed blood vessels beneath the skin resulting from a rush in the skin. Abrasion, in line with the meaning of the word, is a type of wound which is produced by rubbing the skin against a rough surface.

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<sup>©</sup> The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2021 P. Kumar, V. Kothari (eds.), Wound Healing Research, [https://doi.org/10.1007/978-981-16-2677-7\\_9](https://doi.org/10.1007/978-981-16-2677-7_9#DOI)

<span id="page-289-0"></span>The ulcer is an open wound that is mainly produced on the skin in case of impaired blood circulation, pressure, and injury. If this type of wound is not treated in time, it can cause severe medical complexity and ultimately may take an extended time for healing. Incised wound results from cutting off the skin with a sharp object or incising that causes laceration. This type of wound is usually long and deep. Burn wound is another unique type of wound that is initiated by hot liquids, electricity, fire, extreme heat of the Sun, and chemicals. Burn wounds are classified as the firstdegree, the second-degree, and the third-degree burn, where the degree of burn is evaluated on the bases of size and depth of the burn.

### 2 Wound Healing Procedure

Wound healing is a natural repairing process of damaged tissue. Usually, wound healing is a well-ordered and distinguished biological procedure that takes care on its own. In the wound healing process, there is the involvement of some mediators and several cellular components such as growth factor or cytokine, microvascular cells, fibroblasts, keratinocytes, immune surveillance cells, blood cells like WBC and platelets, extracellular matrix, parenchymal cells, etc. (Falanga [2005;](#page-299-0) Singer and Dagum [2008](#page-301-0); Bunman et al. [2017](#page-299-0)). The whole process of wound healing is classified under four phases, namely hemostasis, inflammatory, proliferative, and maturation. Elsewhere they are alternatively described as coagulation/ inflammation, granulation and tissue formation, and matrix remodeling or scar formation phase.

### 2.1 Coagulation/Inflammatory Phase

Instantly afterward the injury, the platelets bind with the impaired blood vessels, a hemostatic reaction starts which increases the blood-clotting cascade, avoids extreme bleeding, and offers tentative defense to the injured area (Fig. [1a\)](#page-290-0). Simultaneously, blood platelets deliver various cytokines, apoptosis-inducing agents, and growth factors (Weyrich and Zimmerman [2004\)](#page-302-0). The main elements of platelets release transforming growth factors are platelet-derived growth factor (PDGF), TGF-A1 and TGF-2 and some proactive cells like macrophages, neutrophils, and leukocytes (Delavary et al. [2011](#page-299-0)). Phagocytic and leukocytes cells deliver reactive species and proteases that have antibacterial properties and protect the wound from unknown pathogens and harmful bacteria. The phase of inflammatory is followed by the apoptosis of proactive cells; it starts progressively in some days after the formation of the wound. The agreeable mechanism of action of inflammation is not much understandable. Many reported studies recommend that cytokines, such as interleukin 1, bioactive lipids, and TGF-A1 such as lipoxins, resolvins, and cyclopentenone prostaglandin are the major component in this process (Gilroy

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Fig. 1 Representation of various stages of wound healing. (a) Coagulation/inflammatory phase, (b) Proliferative phase: granulation and tissue formation, (c) Matrix remodeling and scar formation

et al. [2004;](#page-300-0) Eming et al. [2007\)](#page-299-0). The main purpose of all these elements in the inflammatory process is still under investigation.

### 2.2 Proliferative Phase: Granulation and Tissue Formation

After the completion of the proactive phase, the new phase, i.e., the proliferative phase (Fig. 1a, b) starts. Growth factors formed by residual migration of epidermal, inflammatory cells and dermal cells act in juxtacrine, autocrine, and paracrine ways to produce or preserve its cellular proliferation and cellular migration. All actions are important in the development of granulation tissue and epithelialization (Falanga [2005\)](#page-299-0).

The dermal and epidermal cells start to shift and propagate towards the wound site. The appropriate blood supply is required for the distribution of nutrients, gas, and metabolite exchange for the effective wound healing procedure. Initiation of an angiogenic response is equally important. The procedure of wound healing initiates instantly after the injury at the low level of oxygen, resulting in disturbance of blood vessels. This causes the creation of pro-angiogenic factors. The infected site is enriched by fibroblast PDGF, vascular endothelial growth factor (VEGF), and growth factor 2 (FGF-2) delivered by platelets and afterward by resident cells (Humar et al. [2002](#page-300-0)). These all are essential receptors for injury-induced angiogenic initiation. In the reaction of process, endothelial cells degrade basement covering that drifts to wound bed, proliferates and forms cell to cell associates and thus ultimately forming new blood vessels (Folkman and Klagsbrun [1987\)](#page-299-0). Currently, it has been confirmed that endothelial progenitor cells (EPCs) also take part in wound thermbolysis. Generally, EPCs are help in the blood flow in retort to the injury and it present into the bones (Asahara et al. [1997](#page-299-0); Liu and Velazquez [2008;](#page-300-0) Leone et al. [2009](#page-300-0)). Consequently, EPCs embed into the refurbished microvasculature, pleasing place adjoined to endothelial cells and contiguous to the injured area.

Mobilization of endothelial progenitor cell is transmitted by nitric oxide, metalloproteinases (MMP), VEGF, and matrix, specifically MMP-9. EPC engraftment and perhaps distinguishing happen in reaction to stromal cell-derived factor and has turn into probable freshly, insulin-like growth factor (IGF) (Leone et al. [2009;](#page-300-0) Maeng et al. [2009\)](#page-300-0).

#### 2.3 Matrix Remodeling and Scar Formation

Wound reconstruction and re-epithelialization are vital steps at this stage. This occurs due to epidermal and dermal cell migration and proliferation resulting from usual blood flow at the wound site (Fig. [1c](#page-290-0)). Fibroblasts multiply the surrounding by the extracellular matrix (ECM), and wound constitutes granulation tissue insertion with recently developed blood vessels. At the same time, a provisional matrix containing fibronectin, hyaluronic acid, collagen III, and fibrin gradually substitute ECM primarily containing collagen I. Then occurs shrinking of wound and matrix modification (Fig. [1c](#page-290-0)) (Wang et al. [2012](#page-302-0)). Wound contraction is principally attained by distinguishing myofibroblasts or fibroblasts that produce in respond to TGF-A. Tissue tension is induced in the influence of a few matrix proteins (e.g., tenascin C, α-smooth muscle actin (α-SMA), ED-A fibronectin) (Hinz [2007\)](#page-300-0). Fibroblastproduced contraction power is subsequently put out to ECM through ECM receptor-dependent and cytoskeleton-associated, mechanic coupling focal adhesion complexes, i.e., integrin receptors (Hinz [2007\)](#page-300-0). Further mechanism escorted shrinking of the wound is fibroblast motility in significant matrix rearrangement. This

energetic and reciprocated procedure engages a delay sequence of ECM production and deterioration in a fibroblastic or stromal cell.

At this juncture, matrix modified enzymes, specifically MMPs, take part in the modification of the matrix microenvironment in the favor of the recovery process. Lastly, apoptosis of fibroblastic cells resulting in the development of a new cellular granulating tissue with tensile strength related to normal skin (Fig. [1c\)](#page-290-0) (Ehrlich et al. [1999\)](#page-299-0). Even though the significance of apoptosis in granulation tissue modification and lesion production is generally admitted, the stimulation responsible for apoptosis is not completely defined. It is indicated that FGF-2, TGF-A, and TNF can cause a rise in the total apoptotic cells during the final process of healing (Desmouliere et al. [1995;](#page-299-0) Akasaka et al. [2004](#page-299-0)).

### 3 Strategies for Wound Healing

Conventional treatment of wound involves the use of antimicrobial agents as ointment, cream, and other semi-solid dosage forms. In developing countries, people still rely on these conventional medications (Nimia et al. [2019](#page-301-0)). However, they are not preferred by the majority of the patients. These medical preparations are messy, stick and stain clothes; their applications by finger or applicator produce pain to the affected area and incur the possibility of further microbial contamination. Woven gauze impregnated with antimicrobial agents is preferred by hospital staff and clinicians. It requires force to remove during subsequent applications and lead to patient discomfort, pain, and mechanical debridement. This negatively impacts sleep, standard of life, and movement of daily living of the patients. Patients need to take pain killers orally or intravenously, which is inconvenient to older people and children. The post-burn injury leaves hypertrophic scars up to 67% of the affected persons, and they suffer from physical impairments, social stigma, and many a time a distinct syndrome called dysmorphophobia (Hawkins et al. [2018\)](#page-300-0).

It is very clear from the previous study that wounds should be healed by protecting them from contamination and loss of moisture, which is achieved with the help of wound dressing (Hanna and Giacopelli [1997\)](#page-300-0). However, in doing so, wound dressing delays or impairs wound healing. A good and effective wound dressing should have an impression of biological and structural features of the skin extracellular matrix and should deliver systematized oxygen permeability (Okur et al. [2020](#page-301-0)). According to the nature of the action, wound dressings are divided into three different classes: bioactive, inert/passive, and interactive (Okur et al. [2020\)](#page-301-0). Various conventionally available wound dressings and their characteristics features are highlighted in Table [1.](#page-293-0) These dressings, the majority of them being inert or passive, bioactive and interactive in nature, have various drawbacks like stickiness, cytotoxicity, dryness issues, and not used in third-degree burns. This triggers the search for alternative and superior wound healing agents devoid of abovementioned patient non-compliance issues.

Dressing					
type	Formulation	Disadvantages			
Inert/	Gauzes	• Adhere to wounds			
passive		• Interrupt the wound pallet when removed			
		• Acceptable mainly for minor wound			
		• Not used for third-degree burns supervision			
		• Wounds with desiccate escharing			
	Hydrocolloids	• Applied in the wound with high exudates			
		• Can be cytotoxic			
		• Support an acid pH at the application site			
<b>Bioactive</b>	Alginates	• Less use in no or less exudating wounds			
		• Responsible for lack of moisture			
		• Escharing			
		• Change daily			
	• Not prescribe to administration in wounds with necrosis Collagens				
		• Third-degree burns			
	Hydrofibers	• Inflammation of the wound dressing causing to extension and			
		reduction of adhesion			
	Hydrogels	• May cause an excess amount of water			
		• Weak mechanical properties			
Interactive	Semi-permeable	• Used an extra sheet for hydrogels and foams			
	films				
	Semi-permeable	• Can cause dryness and scabbing			
	foams				

<span id="page-293-0"></span>Table 1 Commonly available wound dressings and their characteristic features

From the earlier times, it has been believed that the wounds heal when they are kept dry. But this concept was changed, and the idea of moist wound healing emerged after the famous claim and scientific explanation by George Winter in 1960. According to one of his landmark studies, he opined that epithelial cells require more energy during the dry wound healing and consuming much time, and if a moist wound occurs, they require less time as compared to dry wounds (Winter [1962\)](#page-302-0). Various other studies say that moist wound healing can accelerate the inflammatory response and also helps to enhance cell proliferation and wound healing in deeper dermal wounds (Bryan [2004](#page-299-0)). Since then, the concept of advanced medicated dressings made of hydrogel, hydrocolloid, alginate, silicone sheets, etc. have been introduced as advanced strategies of wound healing (Ousey et al. [2016\)](#page-301-0).

#### 4 Probiotics as Wound Healing Booster

As per the definition of WHO, probiotics are living organism when they take in specific amount gives positive effects on the host (O'Toole et al. [2017\)](#page-301-0). The most consistently used probiotic microorganisms are Lactobacillus acidophilus, Bifidobacterium bifidum, Saccharomyces boulardii, Lactobacillus rhamnosus, and Bacillus coagulans. Administered orally, they are effective for the treatment of gastroenteritis, enteral dysbacteriosis, and pediatric post-antibiotic-associated diarrhea in humans. Presently, a variety of probiotics are being explored for wound healing because of their immunomodulating activity (Nole et al. [2014](#page-301-0)). Strains of Lactobacillus and Bifidobacterium have exhibited in vitro efficacy for wound healing against commonly used pathogens like Staphylococcus aureus and Staphy-lococcus epidermidis (Sikorska and Smoragiewicz [2013;](#page-301-0) Misic et al. [2014\)](#page-300-0). Topically probiotics have been investigated by various in vitro studies and animal models for chronic wound therapy in burn-related wounds, gastric ulcers, diabetic and non-diabetic foot ulcers (Peral et al. [2009](#page-301-0)).

As effective wound healing agents, probiotics can provide the following advantages (McFarland [2009](#page-300-0)):

- It can reduce the chances of systemic sepsis.
- It is considered safe from its established and safe oral use.
- It will lead to or promote a positive bacterial balance in the skin.
- It will improve in skin's innate immunity.
- It is free from any type of unwanted effects.
- It is very compatible, and formulation of topical use would be easy to prepare.
- It is not much expensive.

### 4.1 Mechanism of Probiotic in Wound Healing

Wound healing action of probiotics is based on their battling with the pathogens at the wound site for adhesion and utilization of nutrients and growth factors. These help probiotics in the prevention of microbial colony formation and modulation of host immune response (Oelschlaeger [2010\)](#page-301-0). Additionally, probiotics generate low molecular weight substances that is lactic acid and bacteriocins (Sikorska and Smoragiewicz [2013](#page-301-0); Sonal et al. [2014\)](#page-302-0). Probiotics have immunomodulatory abilities by activating transcriptional pathways and T-cell activation that are linked to cytokines. Moreover, there are some elements, i.e., bacterial components or its byproducts which activate specific receptors of the human body that initiate the inflammatory process. The wound healing mechanism is based on the same theory of beneficial actions obtained by probiotics in the gastrointestinal tract where bacteria host interactions involve epithelial cells, regulatory T lymphocytes, and dendritic cells (Wong et al. [2013](#page-302-0)). Furthermore, one study by Peral et al. ([2009\)](#page-301-0) has shown that probiotics may act in wound healing through the competitive inhibition of pathogenic P. aeruginosa and some disturbances in communication pathways of bacteria (Wong et al. [2013\)](#page-302-0). All these activities of probiotics mentioned above can reduce bacterial load at the wound site, regulate inflammatory cell infiltration and thus promote wound healing (Peral et al. [2009](#page-301-0), [2010](#page-301-0); Brachkova et al. [2011\)](#page-299-0).

### 4.2 Efficacy of Probiotics for Different Types of Wounds

When the skin undergoes any kind of injury, it is contaminated with the airborne pathogen. The skin cohesion, as well as integrity, is compromised. The whole process of natural wound healing has been described in Sect. [2.](#page-289-0) These stages are further impacted by the development of oxidative stress (Knackstedt et al. [2020](#page-300-0)). In recent past, growing trend has been observed to study the efficacy of probiotic microorganisms to address wound infection and improve the speed of the healing process (Fardin and Keri [2021\)](#page-299-0). Burn wound is a special type of wound caused by fire, chemicals, radiation, and electricity. An overall increase in the healing process was observed when burn wounds were treated with Saccharomyces cerevisiae (Oryan et al. [2018\)](#page-301-0). Meticillin-resistant Staphylococcus aureus (MRSA) is one of the most widely stated disease pathogens able to infect burn wounds (Karska-Wysocki et al. [2010\)](#page-300-0). Some studies have shown the ability of *L. acidophilus*, L. casei to be effective against MRSA and efficacious for burn wounds. In another study, Prince et al. [\(2012](#page-301-0)) tested the efficacy of L. reuteri, L. rhamnosus.

For burn-related wounds caused by S. *aureus*, the researchers proposed this efficacy as the pathogen's ability to induce keratinocyte cell death. In a recent study, Jones et al. [\(2012](#page-300-0)) reported the healing efficacy *L. fermentum* loaded patches for wounds caused by S. aureus. An increased wound closure concurrent with the development of probiotic-induced nitric oxide (gNO) was established.

Diabetic foot ulcer is another chronic type of complication whose treatment is quite challenging as this is prone to microbial infection, which takes further delay in the healing process. There are many reports where efficacies of probiotics like Lactobacillus acidophilus, Bifidobacterium bifidum, Lactobacillus fermentum, and Lactobacillus casei have been established against the treatment of diabetic foot ulcer (Sekhar et al. [2014](#page-301-0); Mohseni et al. [2018;](#page-301-0) Amini et al. [2021](#page-299-0)). Gastric ulcer is another complicated disease where use probiotics like Lactobacillus rhamnosus, Lactobacillus gasseri, Lactobacillus acidophilus, Lactobacillus rhamnosus, Saccharomyces boulardii, Lactobacillus acidophilus, and Bifidobacterium longum have shown proven track record of good wound healing activity (Lam et al. [2007](#page-300-0); Khoder et al. [2016](#page-300-0); Dharmani et al. [2013](#page-299-0)).

# 5 Systemic and Topical Use of Probiotics for Wound **Healing**

Probiotics can be effective in two ways: Topical application will outcompete the growth of biofilm-forming pathogens and help immune systems too overall boosting the healing process. Oral supplementation of probiotics boosts the immune system and defense mechanisms that can work at distant places (where wounds are present). Probiotics can promote the process of healing by modulating the inflammatory response. In one study, Valdez et al. [\(2005](#page-302-0)) reported the efficacy of a topical probiotic containing Lactobacillus plantarum for the treatment of burn wounds infected with Pseudomonas aeruginosa. The observation was reconfirmed by Peral et al. ([2009\)](#page-301-0) by a different study. In humans, Lactobacillus plantarum, while put on to second- and third-degree burns, was equally beneficial as silver sulfadiazine in declining the infection probability, promoting granulation tissue, and wound healing. Skin commensals, organisms that reside on the skin, have also shown beneficiary action for wound healing. In one study, Lopes et al. [\(2017](#page-300-0)) claimed that Propioniferax innocua, a skin commensal, has the ability to deteriorate established biofilms. Staphylococcus caprae is capable to provide antimicrobial activity in opposition to methicillin-resistant S. aureus and inhibit S. aureus colonization in a mouse model (Paharik et al. [2017\)](#page-301-0). Staphylococcus epidermidis gives antimicrobial activity that particularly focuses on S. aureus and S. pyogenes and suppresses swelling via lipoteichoic acid (Lai et al. [2009](#page-300-0); Periasamy et al. [2012;](#page-301-0) Christensen and Brüggemann [2014](#page-299-0)). In another study, Wang et al. [\(2014](#page-302-0)) proved the efficacy of probiotic bacteria C. acnes in the mouse model to treat wound infection caused by S. aureus through the creation of propionic acid. Few more studies with the proof of beneficiary actions of probiotics are summarized in Table [2](#page-297-0) (Cinque et al. [2011](#page-299-0)).

### 6 Challenges in the Utilization of Probiotics for Wound **Healing**

In spite of the promising aspect of probiotics for wound treatment, it has not emerged as a medical product yet. There are few concerns that need to be addressed. The improvement in new technologies to preserve the probiotics viability for effective delivery as topical formulation is a challenging task. It will permit the delivery of probiotics as an intact entity and will reach their target site. Considering the issue of bacterial viability in stressful environments, such as lyophilization and high temperatures, present studies have attention on enhancing the viability of probiotics (Rokka and Rantamäki [2010](#page-301-0)). Another major concern is that the probiotic bacteria may translocate into the bloodstream, causing sepsis and bacteremia. The safety of topical use of probiotics as wound healing agents has not been investigated extensively. Their possible entry to the systemic circulation might be a major concern to the immune-compromised patients apart from the chance of additional infection of the existing wounds by the probiotics (Wilmink et al. [2020\)](#page-302-0). There is an immunological concern about the capacity of skin microflora in the wound healing procedure. It is already established that the lack of microbiota can reduce healing time (Canesso et al. [2014](#page-299-0)). On the other side, cases of wound infections increase only because of exogenous bacteria control into the systemic and local elements of patient skin. Thus, it is possible when equilibrium is attained within bacteria and host that permits wound healing progression (Robson [1997](#page-301-0)). Microbial microflora can negatively influence the wound healing procedure.

		Route of	Animal/		
S. No	Probiotic	administration	human	Outcome	References
1	<b>Bacillus</b> subtilis	Topical	Male Wistar rats	The probiotic strain was found to be use- ful ulcer healing	Shahsafi (2017)
$\overline{2}$	Lactobacillus brevis	Topical	Male Wistar rat	The study demon- strated a momentous reduction in swelling and an accelerating of wound healing	Zahedi et al. (2011)
3	Lactobacillus plantarum	Topical	Mice	L. plantarum showed potential effect for treatment of P. aeruginosa burn wound infection	Valdez et al. (2005)
$\overline{4}$	Recombinant Escherichia coli	In vitro physi- cally wounded monolayer model	$\overline{a}$	The study proved to be a significant mile- stone against the clinical application of probiotic vehicle for wound healing processes	Choi et al. (2012)
5	Lactobacillus plantarum	Topically	Male Wistar rats	The study showed to decrease in swelling and promoting of wound healing in rats	Heydari et al. $(2011)$
6	Lactobacillus plantarum	Topical	Rabbit	Lactobacillus plantarum showed great capacity as a therapeutic agent in reducing scarring and burn wound infection	Satish et al. (2017)
$\tau$	Lactobacillus paracasei LPC-37, Lactobacillus aci- dophilus NCFM, Lactobacillus rhamnosus HN001, and Bifidobacterium lactis HN0019	Oral	Rat	The study showed a rapid contraction of wound size in rats by decreasing the pro- active phase, speed- ing up fibrosis, and deposition of collagen	Tagliari et al. (2019)
8	Lactobacillus reuteri	Topical	Sprague Dawley male rats	Lactobacillus reuteri successfully stimu- lated the wound healing procedure and produced encouraging results	Khodaii et al. (2019)
9	Lactobacillus acidophilus	Orally	Human	This study indicates the antibacterial effi- cacy and	Jebur (2010)

<span id="page-297-0"></span>Table 2 Published research works on wound healing activity of Probiotic

(continued)

S. No	Probiotic	Route of administration	Animal/ human	Outcome	References
				immunological prop- erties of Lactobacil- lus acidophilus through investigation of burn wound path- ogenic agents with susceptibility testing	
10	Saccharomyces cerevisiae	Topical	Male Sprague Dawley rats	The topically applied S. cerevisiae improved the resto- ration procedure of burned wounds with additional beneficial actions	Oryan et al. (2018)
11	L. bulgaricus, L. plantarum, and L. acidophilus	Topical	Female mice	The combination of these probiotics showed rapid wound healing	$Al-$ Mathkhury and Al-Aubeidi (2008)
12	Kefirs <sup>a</sup> natural probiotic	Topical	Rat	The kefir natural probiotic gives better healing to serious burn as contrast to conventional silver sulfadiazine treatment	Huseini et al. (2012)

Table 2 (continued)

<sup>a</sup>Kefir is fermented milk drink like a thin yogurt that is prepared from kefir grains enriched with Probiotic bacteria like Bifidobacterium bifidum, Streptococcus thermophilus, Lactobacillus acidophilus, Lactobacillus delbrueckii subsp. bulgaricus, Lactobacillus kefiranofaciens, Lactobacillus helveticus, Lactococcus lactis, and Leuconostoc species

### 7 Conclusion

This chapter highlights the basics of wound healing mechanism and pathophysiology involving various steps such as coagulation/inflammatory phase, proliferation phase granulation tissue formation, and matrix remodeling. Apart from conventional and some emerging novel strategies, use of probiotic for effective wound healing has shown promising new areas. Various studies of probiotics in animal and human models have confirmed compact in various skin conditions. Topical applied probiotics for burn infections have shown to decrease the pathogen load. Therefore, the possible use of probiotics for wound infections stays worthy to explore in the future. As such, there is no probiotic-based formulation available in the market that claims directly to be used for the treatment and management of wound. But, given enough scientific evidence with further research and patient-friendly dosage forms,

<span id="page-299-0"></span>probiotics can prove effective alternative treatment options for various acute and chronic wounds.

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# Use of Probiotic Bacteria and Their Bioactive Compounds for Wound Care



Sarita Devi and Prasun Kumar

### 1 Introduction

Various commensal microbes start to inhabit the human body at birth and remain throughout one's existence. In comparison to harmful and infective microbes that can destroy the host barriers and cause disease pathogenesis, commensal microorganisms found in symbiotic communities are adapted for survival without sacrificing the integrity of the host (Thursby and Juge [2017](#page-331-0); Lukic et al. [2017\)](#page-328-0). Exploration of the human body and the functional integration and harmonization of microbiomes has shown that the microbiota has a vital influence on various biological functions like modulation of the immune system and fortification against infections (Lukic et al. [2017](#page-328-0)). The significance of commensal microbes in keeping up host well-being has been identified initially in gut microbiome investigation. The germ-free animals have been shown to be more susceptible to pathogen invasion (Kamada et al. [2012\)](#page-327-0), have disrupted mucosal wound healing (Hernández-Chirlaque et al. [2016](#page-327-0)), and are more vulnerable to chemical poisoning (Breton et al. [2013](#page-325-0)). The methods that alter its composition to strengthen the physiological, immunological and metabolic functions of the host have become increasingly significant due to the major systemic and local consequences of the gut microbiome. This resulted in the discovery of advantageous microbial species (symbiotic) and increased host well-being. The lactic acidproducing microorganisms (Lactobacillus and Bifidobacteria) are among the most

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Fig. 1 Different inhabitants of skin microbiota

broadly investigated microbes, most often alluded to as probiotics, which have health benefits (Belkaid and Hand [2014\)](#page-325-0).

The WHO (World Health Organization) has described the probiotic microbes as "live microbes that confer a health benefit on the host when administered in adequate amounts" (Mahajan and Singh [2014\)](#page-328-0). These are live microbes (yeast or bacteria, predominantly, Fig. 1), the normal microbiome's members, which can equilibrate the human microflora and results in the predominance of advantageous microbes for the body (Tsiouris and Tsiouri [2017](#page-331-0)). These microorganisms help to reduce the level of low-density lipoproteins and contribute to down-regulate inflammation and the host's immune response (Hakansson and Molin [2011;](#page-327-0) Jones et al. [2012a;](#page-327-0) Wong et al. [2013\)](#page-331-0). They are consumed as microbial food supplements. Different terms are used in the literature on nutrition for non-digestible fermented carbohydrates, which alter the gut microbiome (prebiotics) by endogenous bacteria, and combinations of probiotic microbes and prebiotics as synbiotics (Patel and Denning [2013\)](#page-329-0). The probiotic microbes have been accounted for to be advantageous for treating or preventing various inflammatory cutaneous (skin) diseases (Hacini-Rachinel et al. [2009\)](#page-327-0), respiratory tract infections (McFarland [2011\)](#page-328-0), diabetes prevention and control (Rad et al. [2016](#page-329-0)), gastrointestinal (GI) disorders (Ringel-Kulka et al. [2011;](#page-330-0) Demers et al. [2014\)](#page-326-0), ulcerative colitis (Abdin and Saeid [2008](#page-325-0)) and urogenital infections (Reid et al. [2001\)](#page-330-0) to give some examples. Recent studies have also emphasized the use of non-viable compounds of probiotic microbes, known as

postbiotics, as a more stable probiotics alternative (Tsilingiri et al. [2012;](#page-331-0) Volz et al. [2014;](#page-331-0) Christensen and Brüggemann [2014](#page-326-0)). Postbiotics have gained significant importance in treating various disorders related to inflammation, where the utilization of live microbes carries the perils linked with excessive immune system activation.

The mode of action of microbes (probiotics) is attributed to their capability towards the immune response improvement, contend with harmful microorganisms for adherence at specific locations, antagonize the pathogenic microbes, and antimicrobial substance fabrication (Mahajan and Singh [2014](#page-328-0)). The probiotics' health benefits include prevention and treatment of several diseases and conditions such as lactose intolerance, gastrointestinal disorders, necrotizing colitis, irritable bowel syndrome, inflammatory bowel disease, allergies, numerous cancers, Upper respiratory infections, urogenital infections, Arthritis, AIDS, different oral health diseases such as prevention of dental caries, halitosis and periodontal diseases and many other effects which are under exploration (Mahajan and Singh [2014](#page-328-0)). The outcome of numerous clinical studies suggests that the probiotic microbes may use their advantageous effect for the treatment and prevention of various disorders to accomplish human well-being. The typical foods containing probiotics include kefir, yogurt, miso, sauerkraut (non-pasteurized), tempeh, sourdough bread, kimchi, and pickles (in brine, not vinegar), e.g. the L. acidophilus containing yogurt, which gives the yogurt, its valuable gastrointestinal health-related properties (Rezac et al. [2018\)](#page-330-0).

The studies further suggest that some microbial probiotic strains and the mixture of probiotics such as a milk drink kefir (fermented) can have a positive influence on the wound repair process either by per os administration or topical application (Rodrigues et al. [2005](#page-330-0); Huseini et al. [2012;](#page-327-0) Bourrie et al. [2016](#page-325-0)). The main reason for mortality and morbidity is impaired wound healing for a substantial portion of the population (Menke et al. [2007\)](#page-328-0). Moreover, a higher degree of focus and research investigation is required to assess novel pharmaceutical compounds that can enhance wound healing and reduce the occurrence of chronic wounds and ulcers due to the substantial financial encumbrance and social influence of wound demands (Frykberg and Banks [2015](#page-326-0)). This book chapter reviews current information about probiotics on both GI epithelium and skin associated with their therapeutic properties. It also addresses their antimicrobial potential and identifies molecular and cellular mechanisms of action, suggesting innovative approaches to treating wound healing disorders.

### 2 Effect of Probiotics on Skin Microflora

The inherent microbiota flourishing within the gut of any individual is known to play an important role in gut-health, but what about our skin? Many millions of microbes live there, and during wound healing, the probiotic microbes may have enormous ability to prevent infections. The skin can serve as a physical barrier with numerous functions, e.g. thermoregulation, fluid homeostasis, metabolic and neurosensory

<b>Lactobacillus</b>	<b>Bifidobacterium</b>	<b>Enterococcus</b>	<b>Lactococcus</b>	<b>Streptococcus</b>
L. acidophilus	<b>B.</b> thermophilum	E. faecium	L. lactis	S. thermophilus
L. casei	<b>B.</b> animalis	E. faecalis		
L. brevis	B. breve			
L. fermentum	B. longum			
L. curvatus	<b>B.</b> infantis			
L. gasseri	<b>B.</b> adolescentis			
L. reuteri				
L. johnsonii				
L. rhamnosus				
L. salivarius				
L. plantarum				
Propionibacterium	<b>Saccharomyces</b>	<b>Kluvveromyces</b>	Leuconostoc	Pediococcus
P. jensenii	S. cerevisiae	K. lactis	L. mesenteroides	P. acidilactici
P. freudenreichii	S. boulardii			

Table 1 List of different probiotic strains

Source: Lew and Liong ([2013\)](#page-328-0)

functions, immune responses and primary protection against infection as the skin's harsh environment prevents many microorganisms from inhabiting its surface (Romanovsky [2014;](#page-330-0) Sugiura et al. [2014\)](#page-330-0). The skin contains two different kinds of microbe, i.e. resident and transient microbial strains (Table 1). The coagulasenegative Staphylococci (S. epidermidis), Propionibacteria (P. avidum, P. acnes and P. granulosum), Bacillus sp., Acinetobacter, Micrococci, and Corynebacteria are the most common resident species of skin. The transient microbial species include E. coli, P. aeruginosa, and S. aureus. The resident species are capable of establishing and reproducing the microbial colonies skin, thus offering an advantageous environment, while transient often refers to non-advantageous microbes which cannot produce colonies on the skin surface (Christensen and Brüggemann [2014\)](#page-326-0).

In the competitive exclusion of antagonistic microbes that cause skin infection, skin processing proteins, sebum, and free fatty acids, the microbiota of the skin have a significant function. Intriguingly, the inhabitant microflora can be seen as "beneficial" to the healthy host but can be detrimental to the host with disrupted skin integrity (Cinque et al. [2011\)](#page-326-0). The harmful microbes are preparing to advance into the body to colonize it at the point when the skin barrier is injured. This is especially perilous if the [antibiotics-](https://www.medicalnewstoday.com/articles/10278.php)resistant harmful microbe in question causes significant harm to skin or other tissues (Cinque et al. [2011\)](#page-326-0). Normally, *S. aureus* is present in the nose regions of about 30% of the population and generally does not cause skin damage. However, when the skin barrier is broken, S. aureus may result in [serious](https://www.cdc.gov/hai/organisms/staph.html) [infections](https://www.cdc.gov/hai/organisms/staph.html). S. aureus is notorious for biofilms production, as soon as it occurs, the microbe appends to a surface, e.g. the sugar molecules and the skin create a matrix (protective) around the microbe. These films are generally antibiotic-[resistant](https://www.ncbi.nlm.nih.gov/pubmed/28407419) and are thus pose major health jeopardy (Kumar et al. [2020\)](#page-328-0). S. aureus can cause [sepsis](https://www.medicalnewstoday.com/articles/305782.php) when it spreads to the blood, the [main cause of](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2811947/) a child's death who has experienced serious burn injuries (Sakr et al. [2018\)](#page-330-0). Another harmful microbe P. aeruginosa, also

identified to develop biofilms, is frequently present in infected wounds caused by burns. Normally present in a gut, this pathogenic microbe [attacks](https://www.cdc.gov/hai/organisms/pseudomonas.html) and colonizes the skin, accompanied by other body organs, e.g. the lungs and liver in immunecompromised persons such as blistered patients by burns (Church et al. [2006\)](#page-326-0).

The microorganisms may even cause atopic dermatitis (AD), rosacea, eczema, acne, and psoriasis. Although there are insufficient investigations following the probiotic's approach for the treatment of microbiota associated cutaneous diseases, it is fascinating to believe that usage of probiotic (topical) may be helpful for the prevention and/or treatment of microorganisms-related skin disease (Simmering and Breves [2009;](#page-330-0) Krutmann [2009\)](#page-328-0). Significant evidence is also available in the prior art showing that probiotic microbes are effective for atopic dermatitis prevention, mostly in children during the post- and pre-natal periods (Martinelli et al. [2020\)](#page-328-0). The controversial evidence remains, however, that the probiotic strains are successful in treating atopic dermatitis, and for this, further research is required. While presently not acknowledged as standard dermatological clinical procedures, some of the investigational studies are also there, indicating promising outcomes in wound healing, acne vulgaris, photo-protection with probiotics, and eczema treatment. While such outcomes are encouraging, more large-scale trials must be carried out before the incorporation of such treatment modalities into clinical practice (Rather et al. [2016](#page-330-0)).

Although the precise "probiotics-action" mechanism on the skin is not clear, it was proposed that microbial strains may produce a shielding barrier that averts overlaying malicious microbes (known as bacterial interference) from being detected by skin cells. Such an incidence can hinder the communication of Langerhans cells and keratinocytes with the immune system, thus avoiding an immune response (Fijan et al. [2019\)](#page-326-0). It has likewise been noticed that antimicrobial properties of probiotics, such as antibiotics, may be used as alternatives to traditional therapies. In addition, the probiotics' immune-modulating effects help to reduce immune responses such as inflammation, redness and irritation (Lukic et al. [2017](#page-328-0)).

Stokes and Pillsbury conjectured a correlation in 1930 between an individual's stress or emotional state and stomach health, which further affects the well-being of the skin. They were well ahead of their time with their observations and hypothesis, and recent studies provide convincing proof of the correlation between these three different anatomical regions (Bowe and Logan [2011](#page-325-0)). A somewhat different approach to improving the skin's microbiota is done in the field of cosmetic treatments by topical treatment. A variety of items are available in the market, the majority of them are in the form of probiotic skin care creams. Although most research on the probiotics beneficial effects on the health status of skin have been performed through the oral administration, there is substantial proof that direct application on the skin is also a viable mode of treatment (Guéniche et al. [2008;](#page-327-0) Huseini et al. [2012](#page-327-0)). Future research could help to elucidate variations in the effectiveness of probiotics administered topically and orally. While antibiotics are used in wound care, the resistance to multiple drugs is widespread and infections persist. Various alternatives are being searched by scientists. Can microbes have much-needed strategies to avert infections that are life-threatening?

# 3 Fermented Probiotic Supernatant/Extract and Wound **Healing**

According to the theory of the gut–brain–skin axis, the use of probiotics modulates the microbiome that may have significant benefits on skin inflammation and skin homeostasis (Arck et al. [2010\)](#page-325-0).

Increasing evidence suggests that microbial compounds, such as fragments of the cell wall, intra- and/or extra- cellular metabolites and even dead bacterial cells, can evoke some skin immune responses and improve the function of the skin barrier. Antimicrobial and immunomodulatory activities have been confirmed in extracts (cell-free) of lactic acid bacteria that have probiotic potential, indicating the use of probiotics in non-viable forms (Iordache et al. [2008](#page-327-0)). The alternative option may be natural cell components and metabolites in cases where the delivery of live cells is not feasible. In addition, at room temperature, cell metabolites and components are more stable than viable cells and are thus more acceptable for topical applications. Human clinical trials have shown that probiotics exert not only dermal benefits via the gastrointestinal pathway, but also via topical applications. By means of in vitro studies, Iordache et al. [\(2008](#page-327-0)) showed that the expression of soluble virulence factors by opportunistic dermal pathogens such as Pseudomonas aeruginosa and Staphylococcus aureus was inhibited by cell-free extracts of lactic acid bacteria with probiotic potentials such as Lactobacillus plantarum, L. casei and Enterococcus faecium and decreased their adherence ability to the cellular substrate represented by HeLa cells. Meanwhile, Guéniche et al. ([2010\)](#page-327-0) observed a statistically significant change after the use of cell lysate from Bifidobacterium longum sp. versus placebo in different inflammation-related parameters, such as a reduction in vasodilation, oedema, TNF-alpha release, and mast cell degranulation, using human skin explants (ex vivo) model. Three nanogel formulations consisting of probiotic supernatants (Bacillus subtilis sp. natto, Lactobacillus reuteri and L. fermentum) loaded chitosan nanogels have been prepared from the corresponding culture (Iordache et al. [2008\)](#page-327-0).

The characterization of the chitosan nanogels was done previously by Zetasizer, FTIR and TEM. The efficacy and dressing activity of the prepared formulations were evaluated by examining wound closure and histological trials in Sprague-Dawley rats. The findings showed that all formulations of probiotic lysate had advantages over the mechanism of wound healing. Nevertheless, Bacillus subtilis natto has an enhanced wound healing rate, which is well understood in pathology research. It is suggested as a promising candidate for wound healing purposes by the favourable effects of probiotic lysate nanogels, including rational wound closing rate, good wound appearance, and adequate histological observation through in vivo analysis (Ashoori et al. [2020\)](#page-325-0). Tsiouris et al. [\(2017](#page-331-0)) suggest that as a pharmacological treatment of wounds, sterile kefir extracts (70% kefir gel, L. fermentum, L. brevis, L. reuteri, L. plantarum) are more effective than the probiotic treatment of yeast (S. boulardii). While several studies and patents on the use of probiotic extracts for topical application on the skin have been published, the underlying mechanisms or the specific compounds responsible for the benefits of bacterial extracts on the skin



Fig. 2 Role of different bioactive compounds derived from probiotics in skincare (Source: Lew and Liong [2013\)](#page-328-0)

remain unclear. The growing demand for probiotic dermal formulations further increases the need to understand the specific mechanisms of action. This chapter of the book is intended to report on the bacterial compounds that contribute to beneficial dermal effects and certain potential mechanisms of action of different bioactive compounds from probiotic supernatant or extract (Fig. 2).

# 4 Bioactive Compounds from Probiotics for Wound **Healing**

### 4.1 Hyaluronic Acid

The macromolecule hyaluronic acid is formed by polymerizing approximately 2000–25,000 repeating units of two sugar molecules, N-acetyl glucosamine and glucuronic acid (Chong et al. [2005](#page-326-0)). The hyaluronic acid's molecular weight, depending on the source, could range from  $10^4$  to  $10^7$  Da. In dermatology, hyaluronic acid has been used extensively as a biomaterial for stimulating wound healing and for bioengineering purposes. Besides, being utilized in cosmetic and dermatology goods, it is also broadly utilized in ophthalmology, drug delivery and pharmacology rheumatology (Kogan et al. [2007](#page-328-0); Lew and Liong [2013\)](#page-328-0). In most mammalian skin, hyaluronic acid is found to serve as a matrix. The hyaluronic acid is essential to preserve the structure of the standard stratum corneum and to maintain various epidermal barrier' functions. The hyaluronic acid also has a role in a number of other significant functions in the skin, e.g. in controlling cell proliferation, differentiation and tissue repair and in water immobilization in tissues. It also helps facilitate the water-soluble molecules and ion solutes transportation and retains the extracellular dermal matrix owing to its high-water binding ability. The hyaluronic acid is highly osmotic in nature that is significant for regulating tissue hydration during inflammatory processes (Weindl et al. [2004](#page-331-0)).

It has also been documented that by triggering β-defensin-2 via Toll-like receptors, the hyaluronic acid (low molecular weight) enhances epithelial protection (Gariboldi et al. [2008\)](#page-326-0). β-Defensins, which are expressed in many body tissues, most remarkably epithelial surfaces and leukocytes, are the prevailing antimicrobial peptides involved in the host's response against bacterial infections (Menendez and Finlay [2007](#page-328-0)). Gariboldi et al. ([2008\)](#page-326-0) have stated that in all layers of the epidermal compartment, the low molecular weight hyaluronic acid treatment for murine skin enhanced mouse β-defensin-2 release.

It has been revealed by Taylor et al. [\(2004](#page-331-0)) that after injury, the fragments of hyaluronic acid are released, resulting in augmented chemokine IL-8 expression in the cells of the endothelium, thus stimulating the same to identify wound and initiate wound repair, while, during wound repair, the hyaluronic acid's antioxidant properties averted oxygen free radicals' damage on tissue granulation (Trabucchi et al. [2002\)](#page-331-0). Moreover, the exogenous hyaluronic acid plays a supportive role in wound repairing due to its capability to retain moisture, thus promoting various physiological processes, e.g. provisional matrix's proteolytic degradation to facilitate epithelial migration, regeneration and remodelling (Chantre et al. [2019\)](#page-326-0).

Commercially, Hyaluronic acid is obtained from rooster combs and few Streptococcus Group C (attenuated strains), which, as part of their capsule, naturally produce this compound. A detailed description has been documented of various sources from which hyaluronic acid can be extracted. It has been described that hyaluronic acid preparation from microbial sources contains very less contaminating

nucleotides, endotoxins and proteins, than those from animal sources (Shiedlin et al. [2004\)](#page-330-0). In order to produce hyaluronic acid, very few microbial strains are known to date, e.g. *Pasteurella multocida* (Gram negative) and group A and group C streptococci (Gram positive). It was described for the first time in 2009 that hyaluronic acid is produced by S. thermophilus YIT2084 (a putative probiotic strain) in milk broth through fermentation (Izawa et al. [2009\)](#page-327-0). S. zooepidemicus fermentations have also been reported to produce hyaluronic acid (low molecular weight, <200 kDa) under optimized fermentation conditions (Lew and Liong [2013](#page-328-0)). Recently, an alternative has emerged to produce higher hyaluronic acid yield by fermenting recombinant microbial strains that are Generally Recognized As Safe (GRAS).

### 4.2 Sphingomyelinase

Sphingomyelinase enzyme, from glucosylceramide and precursors of sphingomyelin, produces phosphorylcholine and ceramides for the extracellular lipid bilayers development in the stratum corneum (Slotte [2013\)](#page-330-0). Its activity has been shown to be significant for skin barrier function (Bocheńska and Gabig-Cimińska [2020\)](#page-325-0). A drop in stratum corneum' ceramide results in epidermal barrier dysfunction and water loss (Mizutani et al. [2009](#page-329-0)), including a deprivation of protection against bacteria and antigens. In addition, the reduced stratum corneum's ceramide levels have been advised as a potential contact dermatitis's aetiological factor, atopic dermatitis, irritant dermatitis and psoriasis (Murata et al. [1996;](#page-329-0) Berardesca et al. [2001\)](#page-325-0). It is present in the interstices of stratum corneum and epidermal lamellar bodies and has been graded as the basis of their pH optima as neutral, acidic and alkaline sphingomyelinase. The soluble glycoprotein with an optimum activity at acidic pH (pH 5.0) is identified as the acidic sphingomyelinase. The neurological disorder Niemann–Pick syndrome resulted from the absence of this enzyme in humans. It was identified that persons suffering from Niemann–Pick syndrome also exhibited an aberration in the homeostasis of the permeability barrier of skin with very slow recovery kinetics resulting in acute disruption of the barrier (Lew and Liong [2013](#page-328-0)). Taking into account that acid Sphingomyelinase is contained in the outer part of the epidermis, the production of ceramides, the acid Sphingomyelinase is therefore responsible and further for basal permeability barrier functions. Moreover, the skin ageing has been related to a reduction in inner epidermal acid Sphingomyelinase (Jensen et al. [2005](#page-327-0)). The neutral sphingomyelinase, on the other hand, is associated with the cell membrane and, during permeability barrier repair, is significant for cell signalling through increased ceramide accumulation (Kreder et al. [1999\)](#page-328-0). In aged skin, the decreased neutral Sphingomyelinase activity in the outer and inner epidermal layers was found (Lew and Liong [2013\)](#page-328-0), possibly due to decreased proliferation rates, resulting in decreased barrier repair capacity. Mice deficient in TNF-induced neutral Sphingomyelinase activation indicated a smaller increase in epidermal proliferation upon barrier disruption and abridged barrier repair capacity (Kreder et al. [1999\)](#page-328-0). The neutral

Sphingomyelinase activities in lesional and non-lesional atopic dermatitis skin were also reported to be reduced, linked with impaired keratins expression and cornified envelope proteins, which are vital for skin barrier functions (Lew and Liong [2013\)](#page-328-0).

Sphingomyelinase is found in mammalian cells and various microbes (bacteria and yeast), with large Sphingomyelinase activity variations among different microbial strains. The microbial Sphingomyelinase is a secretory protein released into the media from cells, whereas mammalian neutral Sphingomyelinase is a membranebound protein (Di Marzio et al. [2001](#page-326-0)). The alkaline sphingomyelinase can be extracted from probiotic microbes and is an enzyme located exclusively in the intestinal brush border and bile that hydrolyses sphingomyelin into sphingosine, sphingosine-1-phosphate and ceramide, contributing to apoptosis of epithelial cells. In premalignant and malignant intestinal epithelia and in ulcerative colitis tissues, decreased levels of alkaline sphingomyelinase have been identified (Soo et al. [2008\)](#page-330-0). Reduced alkaline sphingomyelinase levels have been observed in premalignant and malignant epithelial and ulcerative colitis tissues (Soo et al. [2008\)](#page-330-0).

### 4.3 Lipoteichoic Acid

One of the immune-stimulating structural constituents of both non-pathogenic and pathogenic Gram-positive bacterial cell walls is called lipoteichoic acid that has very critical role in bacterial growth and physiology (Villéger et al. [2014\)](#page-331-0). Prior investigations revealed that Lipoteichoic acid could serve as a major pathogen-associated molecular pattern, resulting in nitric oxide (NO), activation of NF-ĸB (nuclear transcription factor), pro-inflammatory cytokines and other pro-inflammatory mediators' production (Kao et al. [2005](#page-327-0); Lebeer et al. [2012](#page-328-0)). An infection or injury in the host's body is followed by the inflammatory reaction to restore and preserve homeostasis. The lipoteichoic acid from S. aureus (a pathogenic Gram-positive bacteria) may, however, induce chronic inflammation and resulting in septic shock, an example of systemic inflammatory response syndrome development (Lew and Liong [2013\)](#page-328-0). The structure-activity correlation investigations of lipoteichoic acid revealed that important strain-specific variations may occur, although, most lipoteichoic acid molecules have a similar basic structure. Unlike Lipoteichoic acid from S. aureus, Lew and Liong ([2013](#page-328-0)) isolated lipoteichoic acid from beneficial probiotics, e.g. L. plantarum that induced tolerance by protection against the pro-inflammatory cytokines production associated with  $TNF-\alpha$  sepsis.

Lipoteichoic acid has been found to promote skin protection against microbial infections through toll-like-receptor induction upon topical application (Sumikawa et al. [2006](#page-331-0)). In the cutaneous pathogen recognition system, the toll-like receptor activation initiates the release of antimicrobial peptides (soluble effectors) that maintain dermis sterility (Lai et al. [2010\)](#page-328-0). The most popular forms of antimicrobial peptides that contribute against skin bacterial infections, in the host response are human β-defensins and cathelicidins. Various Lactobacilli and Bifidobacteria species have adequate amounts of Lipoteichoic acid to upsurge dermal cellular defence

against microbial infection (Lew and Liong [2013](#page-328-0)). Lipoteichoic acid also contributed to cutaneous wound healing by activating human β-defensins, in addition to the antimicrobial properties, and accomplished a number of immune-modulatory functions, performing not only as pro-inflammatory agents but also as a main connexion between the adaptive and the innate immune system (Diamond et al. [2009](#page-326-0)).

## 4.4 Peptidoglycan

The polymerization of *N*-acetylmuramic acids and  $\beta(1-4)$ -linked *N*acetylglucosamine, cross-linked by short peptides containing alternating D- and Lamino acids produce peptidoglycan (PG) that is considered as the main structural constituent of microbial cell wall responsible for upholding the shape and to provide shield against osmotic lysis (Dziarski [2003](#page-326-0)). Peptidoglycans are particularly copious in Gram-positive bacterial strains, where it accounts for around 90% of the cell wall's weight and thickness up to 80 nm (Lew and Liong [2013](#page-328-0)). On the other hand, the cytoplasmic membrane under the lipopolysaccharide-containing outer membrane of Gram-negative bacteria is surrounded by a relatively thin layer of peptidoglycan (thickness < 10 nm). Although the structure and development of the peptidoglycans are amazingly preserved across bacterial species, it has been found that the chain lengths depend on the bacterial species and various conditions of growth (Lew and Liong [2013\)](#page-328-0).

By stimulating the innate immunity system through Toll-like receptor 2, the peptidoglycan plays a crucial role in the skin's protection against pathogenic microbes resulting in the secretion of numerous chemokines and cytokines that are involved in immune responses (Niebuhr et al. [2010\)](#page-329-0). [It has also been demonstrated](https://www.powerthesaurus.org/it_has_also_been_demonstrated/synonyms) that the peptidoglycan has the capability to activate NF-kB (nuclear factor) and triggers the interleukin-8 production abundantly from keratinocytes, indicating that peptidoglycan plays a vital role in the chemokines and cytokines production from keratinocytes (Matsubara et al. [2004\)](#page-328-0). Numerous other peptidoglycan recognition molecules also recognize the peptidoglycan, including the nucleotide oligomerization domain-containing proteins (CD14), peptidoglycan lytic enzymes (lysozyme and amidase) and a family of Peptidoglycan recognition proteins (PGRPs, Dziarski [2003;](#page-326-0) Kumar et al. [2010](#page-328-0)). These molecules induce the responses of the host to microbes, mediate the antimicrobial peptides or degrade Peptidoglycan and chemokines release that results in recruitment of phagocytic cells to the site of infection (Dziarski and Gupta [2005;](#page-326-0) Lew and Liong [2013\)](#page-328-0). The microbe-derived molecules like peptidoglycan have been reported to be able to induce or increase the expression of human β-defensins in whole skin keratinocytes of the humans, contributing to the stimulation of host's innate immunity (Sørensen et al. [2005\)](#page-330-0). Lactobacilli peptidoglycan stimulates innate immune response through Toll-Like Receptor 2 and also to increases the IL-12 production and other regulatory factors by macrophages, which further results in skin protection (Paradis-Bleau et al. [2007;](#page-329-0) Lew and Liong [2013\)](#page-328-0).

### 4.5 Lactic Acid

Lactic acid is an organic acid, classified as one of the  $\alpha$ -hydroxy acids, with one hydroxyl group attached to the alpha position of the acid and produced by microbial fermentation or chemical synthesis. The lactic acid produced by chemical synthesis mostly consists of the racemic mixture ( $DL$ -lactic acid), while  $L(+)$ - or  $D(-)$ -lactic acid (optically pure) can be derived through fermentation using appropriate microorganisms (Wee et al. [2006;](#page-331-0) Tang and Yang [2018\)](#page-331-0). At sufficient concentrations, Lactobacilli strains may produce lactic acid to show antibacterial activity against the majority of the pathogenic microbes on the skin (Lew et al. [2013](#page-328-0)). They metabolize carbohydrates with at least 50–85% lactic acid, either homo-fermentatively or hetero-fermentatively, for production of the main end product, i.e. lactic acid (Yeo and Liong [2010](#page-332-0)). Lactic acid has been extensively utilized for a long time in skin care products and cosmetic regiments, e.g. exfoliants, moisturizers and emollients (Smith [1996\)](#page-330-0). One of the causes that lactic acid ( $\alpha$ -hydroxy acids) is frequently used as a chemical peeling agent and an exfoliator is because of its profound effect on skin desquamation. The induction of skin desquamation is done by the dissociation of the cellular adhesions, which occurs via the chelating action of  $\alpha$ -hydroxy acids as a result of reduced concentration of epidermal calcium ions. The reduced epidermal calcium ion level also tends to promote cell growth and delays cell differentiation, resulting in younger-looking skin (Soleymani et al. [2018](#page-330-0)). Moreover, due to its ability to boost the function of the stratum corneum barrier, it has the potentials for various skin applications and also improves the ceramide's production by keratinocytes. The improved ceramide 1-linoleate to oleate ratio has a significant role in enhancing the functions of the skin barrier (Yamamoto et al. [2006\)](#page-332-0). Pasricha et al. ([1979\)](#page-329-0) have investigated lactic acid's antimicrobial activity against dermal pathogens, e.g. beta haemolytic Streptococci, S. aureus, Proteus species, E. coli and P. aeruginosa. Owing to its non-toxic and non-sensitizing properties, the longlasting topical use of lactic acid cream has been suggested as a preventive remedy for acne vulgaris, in addition to its antimicrobial activity.

### 4.6 Acetic Acid

Acetic acid is produced both chemically and by microbial fermentation at industrial level. Heterofermentative lactic acid bacteria can produce acetic acid via the hexose monophosphate or pentose pathway (Yeo and Liong [2010](#page-332-0)). The acetic acid usage has been described from time to time as a topical agent in the treatment of microbial infections and also been used to treat superficial infections and burns. When several antibiotic-resistant strains cause infection and where therapeutic options are insufficient, it has been suggested as the best remedy (Nagoba et al. [2008](#page-329-0)). It has been shown that acetic acid exerts antibacterial effects on several microbes, including S. aureus and P. aeruginosa (Lew and Liong [2013](#page-328-0)).

### 4.7 Diacetyl

Some strains of the genera Streptococcus, Leuconostoc, Lactobacillus and Pediococcus can produce diacetyl, also referred to as 2,3-butanedione. Lactobacilli and *Bifidobacteria* strains might produce diacetyl (concentrations up to 30 mg ml<sup>-1</sup> s), signifying their possibility for antimicrobial dermal activities with maximum sensitivity compared to Gram-positive bacteria against Gram-negative bacteria and fungi (Lew et al. [2013](#page-328-0)). Although the majority of the Gram-negative bacteria, e.g. Bartonella sp. Borrelia burgdorferi, P. aeruginosa, Pasteurella multocida, Vibrio vulnificus, Klebsiella rhinoscleromatis, Helicobacter pylori and S. typhi, are not typical skin microflora residents, it has been suggested that they cause cutaneous infections. Diacetyl, at a very low concentration of 100 ppm, has been proved to be bactericidal against E. coli and S. aureus (Lanciotti et al. [2003](#page-328-0)). A pathogen S. aureus has appeared as a major infectious microbe of skin and soft tissue, including cellulitis, folliculitis and impetigo (Miller and Cho [2011](#page-328-0)), and one of the most common skin pathogens identified is E. coli (Doern et al. [1999\)](#page-326-0). The diacetyl's antimicrobial activity has been well acknowledged, but, there is very little research available on topical application of diacetyl and considerable investigations are needed to establish its effects on the skin and other tissues.

### 4.8 Antimicrobial Substances

The growing attention has been given to the possible topical application of probiotic microbial strains' ability to produce potent antimicrobial toxins (i.e.  $H_2O_2$ , organic acids, bacteriocins and bacteriocin-like substances) to effectively avert pathogen adhesion and outcompete undesired microorganisms (Fig. [3](#page-316-0)) (Gillor et al. [2008;](#page-326-0) Cinque et al. [2011](#page-326-0)). The compositions comprising probiotic microbes, spores and their products, have been described by Farmer [\(2005](#page-326-0)), apposite for topical usage on the skin, can be utilized to impede the growth of microbes and combinations thereof. Different treatment approaches and therapeutic systems to prevent the growth of pathogens and combinations thereof through topical application of pharmaceutical compositions, comprising of isolated species of Bacillus, spores or an extracellular product of B. coagulans (a supernatant or filtrate of a fermented B. coagulans culture) are also disclosed in the invention.

Spigelman and Ross ([2008\)](#page-330-0) have also given a composition and method for the probiotic microorganisms' application to skin surfaces to avert or constrain pathogenic microorganisms' contamination. The probiotic microorganisms include bacteria, yeast or fungi. The appropriate probiotics should be selected on the basis of one or more unique characteristics, the desired characteristics being the competitive exclusion of pathogenic microbes from the surface to which they are applied, antibiotic sensitivity, human tissue adherence, a high resistance to oxygen and acid and antimicrobial activity. More specifically, the procedure consists of multiple

<span id="page-316-0"></span>

Fig. 3 Demonstration of potential mechanisms of action of probiotics' antagonistic effects

methods of application (e.g. wiping paper, spraying and lotions) of one or more probiotic microbes to a wide range of surfaces (e.g. hospital equipment, fixtures and human skin), effectively avoiding, at least partially, their infection, invasion, growth and cross-contamination by pathogenic microorganisms.

The technique depends on the probiotic's capability to produce isolated colonies to generate a protective layer that can prevent and eliminate pathogenic microbes unable to survive on top of other bacteria. Depending on various factors such as the therapeutically effective amount, type, probiotic application mode or the degree of contamination of the biological or non-biological surface, the probiotic application is recommended for a suitable period. The method, therefore, suggests the usage of a single or a multitude of diverse probiotic microbes, serially adding multiple layers of bacteria to combat single or many resistant kinds of pathogenic microorganisms. Numerous lactic acid bacterial species producing a number of bacteriocins, including Lactobacillus, Pediococcus, Lactococcus, Leuconostoc, Carnobacterium and Propionibacterium have been reported in relation to the potential use of bacteriocinproducing strains as probiotic and bio-protective agents (Mokoena [2017\)](#page-329-0). Lactococcus sp. HY 449 bacteriocin was capable of preventing the growth of skin inflammatory bacteria, e.g. S. epidermidis, S. aureus, P. acnes and Streptococcus pyogenes (Oh et al. [2006\)](#page-329-0). The bacteriocin's inhibitory effect used in the research work done by Oh et al. [\(2006](#page-329-0)) was triggered by the bacteriolytic activity on the cell membranes and cell walls of P. acnes. Acknowledgments to its antimicrobial

properties, bacteriocin from Lactococcus can be used for many purposes in cosmetic applications.

The eubiotic product, according to Teodorescu [\(1999](#page-331-0)), is a mixture of three probiotic Lactobacillus acidophilus LR, LV and LD strains, the association in the mixture in equivalent parts before lyophilization, for the treatment and maintenance of tegument. In order to abolish pathogenic microflora and to be immune to cosmetic composition, this eubiotic substance is capable of preserving the skin pH at physiological values.

### 4.9 β-Defensins

Lactobacillus extracts can induce dose-dependent β-defensins production in skin cells, which may be useful in reducing or preventing the growth of skin microbial populaces (Sullivan et al. [2009](#page-331-0)). The effective quantity of Lactobacillus extract is applied to a skin wound or an open cut that may have been in direct contact with soil or unwanted microbes on a chronic basis, added to clean skin to uphold a hale and hearty skin flora. These extracts can also be beneficial in treating acne. Indeed, when applied consistently over a 2-month span, the  $L$ . plantarum extract containing topical compositions/lotions is shown to lessen the occurrence of both inflamed and non-inflamed acne lesions.

Furthermore, the extracts were used as a preservative in cosmetic or pharmaceutical items, especially L. plantarum, which has a wide range of activity against Gram-negative and Gram-positive bacteria. Acne vulgaris is a multifactorial disorder characterized by P. acnes hyper-colonization, inflammation and immune responses. In an in vivo study, the synbiotic capability of Konjac glucomannan hydrolysates and probiotic bacteria to inhibit P. acnes growth has recently been reported, indicating that it may be promising to develop a new probiotic therapy alternative to minimize the acne episodes (Cinque et al. [2011](#page-326-0)).

### 5 Probiotics, Infections and Intestinal Wound Healing

In antimicrobial treatment of pathogens, the close association of lactic acid bacteria and Bifidobacteria with epithelial cells makes them ideal probiotic candidates (Lukic et al. [2017\)](#page-328-0). Lactic acid bacteria and Bifidobacteria use their interaction with gut epithelial cells to hinder pathogens' growth directly and capability to enhance tissue repair mechanisms and host mucosal defence systems. In combating overt and opportunistic pathogens, these properties are of paramount importance.

## 5.1 Probiotics: Direct Inhibition of Pathogens' Growth

The antimicrobials' production, pathogens displacement from mucus and epithelial cells, removal of pathogens by co-aggregation and quorum quenching are recommended direct probiotic action mechanisms against pathogens. The organic acids,  $H_2O_2$ , reuterin, diacetyl and bacteriocins are the antimicrobials produced by probiotic strains. The anti-pathogenic activity against Gram-negative pathogens is essentially due to the production of organic acids by numerous probiotic strains, from both lactic acid bacteria and Bifidobacteria (Piqué et al. [2019\)](#page-329-0). Hydrogen peroxide producing Lactobacilli (L. jensenii, L. fermentum and L. acidophilus) have been associated with abridged count of Gram-positive bacteria (fastidious anaerobe), including Prevotella, Bacteroides, Mycoplasma sp. and Gardnerella (Atassi et al. [2006](#page-325-0); Breshears et al. [2015](#page-325-0)). L. reuteri produced a well-known antimicrobial metabolite Reuterin (3-hydroxypropionaldehyde) and thought to exert its influence through the thiol groups' oxidation in the target pathogenic microorganisms (Schaefer et al. [2010\)](#page-330-0). Importantly, without killing beneficial microorganisms, reuterine will precisely inhibit the growth of harmful gut bacteria, causing L. Reuteri to kill gut invaders while keeping the microbiota of the natural gut intact. Reuterin also exhibits antimicrobial activity against the common chronic wound pathogen, *Staphylococcus* (Arqués et al. [2008\)](#page-325-0). Diacetyl is produced by Lactobacilli, another metabolic product that also shows a broad range of antimicrobial ability against Gram-negative and Gram-positive pathogens (Kang and Fung [1999;](#page-327-0) Langa et al. [2014](#page-328-0)).

Bacteriocins, the second class of metabolites from probiotic strains, are very small peptides by microbes that display a wide spectrum of antimicrobial activity both in vitro and in vivo (Minami et al. [2009\)](#page-329-0). S. salivarius, (producing bacteriocin), a commensal of oral epithelium, is a potential inhibitor of S. pyogenes (a pathogen) triggers pharyngitis and cutaneous infections (Heng et al. [2011](#page-327-0)). Both in adults and children, S. salivarius's prophylactic oral administration has shown beneficial effects in preventing recurrent infections of S. pyogenes (Di Pierro et al. [2013](#page-326-0)).

The potential of auto-aggregation by several microbial strains (probiotics), including Bifidobacterium longum, L. delbrueckii and L. rhamnosus, confer the antimicrobial ability to co-aggregate with other microorganisms that include the common wound pathogens Candida albicans and S. aureus (Barzegari et al. [2020\)](#page-325-0). In addition to co-aggregation and antimicrobial metabolites' production, probiotic microbes can move intestinal pathogens from the epithelium of the gut or stomach. The specific surface molecules obtained from Lactobacilli (extracellular polysaccharides) have the ability of displacement, which further allow L. paracasei to competitively adhere to gut epithelial cells and displace the harmful microbes (Rutherford and Bassler [2012](#page-330-0)).

The inhibition of harmful microbes' quorum sensing (QS) system is another emerging antimicrobial mechanism of lactic acid-producing microbes. Quorum sensing refers to an intercellular communication mechanism that microorganisms use to modify cell-population density-based gene expression to form biofilm and confer virulence (Pastar et al. [2013](#page-329-0); Kumar et al. [2020](#page-328-0)). Majority of the pathogens, including microbes usually found in chronic wound infections (e.g. P. aeruginosa and S. aureus), use quorum sensing for resistance to host defence, virulence and biofilm formation (Lukic et al. [2017](#page-328-0)). Nevertheless, probiotics can interfere with the quorum sensing of pathogens. Specifically, L. plantarum has been shown to prevent the quorum sensing signalling molecules (acyl-homoserine-lactone) production by P. aeruginosa, along with the decrease in the formation of biofilm (Valdez et al. [2005\)](#page-331-0).

### 5.2 Epithelial Barrier and Probiotic Effects

The probiotics can enhance the epithelial barrier's function, thereby limiting pathogen invasion, besides direct antimicrobial effects on harmful microorganisms, (Ohland and MacNaughton [2010\)](#page-329-0). By improving expression and controlling the localization of tight junction proteins both in vivo (Karczewski et al. [2010\)](#page-328-0) and in vitro (Anderson et al. [2010](#page-325-0)), they have a well-defined function in strengthening the gastrointestinal barrier, e.g. increased occludin, claudin and zonula occludens 1 expression in the gut in newborn piglets resulting from oral administration of L. reuteri (Yang et al. [2015\)](#page-332-0). In the same way, following oral administration of L. plantarum, occludins and zonula occludens 1 were recruited to the tight junction region (Karczewski et al. [2010\)](#page-328-0). Additionally, a mixture of eight distinct probiotic bacterial strains stimulates the suppression of chronic inflammation by epithelial barrier function fortification, which have been shown in the murine models of chronic ileitis (Ewaschuk et al. [2008\)](#page-326-0).

In addition to *Lactobacilli*, the probiotic strain belonging to genus Bifidobacterium has also shown similar effects. The expression and trans-epithelial resistance of tight junction proteins occluding and zonula occludens 1 were increased by B. infantis in human gut epithelia. Improved trans-epithelial resistance has also been correlated with enhanced cell signalling events significant for extracellular signal-regulated kinases phosphorylation, barrier formation and p38 (Fijan et al. [2019](#page-326-0)). In order to verify the effects of probiotics on wound healing in the gastrointestinal tract, various experimental models, including acetic acid-induced ulcers, full thickness wounds and intestinal anastomoses, have been extensively examined. The beneficial effects of *Lactobacilli* in these studies were largely mediated by stimulation and activation of fibroblast proliferation and/or migration by the epithelial cells (Lukic et al. [2017\)](#page-328-0).

Aside from improving the epithelium repair, the presence of L. plantarum has been shown to linked with increased production of collagen in the intestine (Nasrabadi et al. [2011\)](#page-329-0), and similar skin effects have been shown in hairless mouse model with UVB induced skin photo-ageing after oral administration of L. acidophilus (Lukic et al. [2017](#page-328-0)). Given that the chemokines, cytokines and growth factors have regulated the epithelial cells' and fibroblasts' functions (Pastar et al. [2014\)](#page-329-0), the epidermal barrier fortification by probiotics is closely linked with their

impact on immune components. Probiotics also have an effect on innate immune components of the intestinal barrier by inducing β-defensin, which is known to promote wound healing in addition to its function in fighting intestinal pathogens (Lukic et al. [2017](#page-328-0)).

In vivo murine studies have revealed that commercially available probiotic microbial mixture can be utilized for the stimulation of the vascular endothelial growth factor (VEGF) and transforming growth factor β (TGF β) expressions (Dharmani et al. [2013](#page-326-0)), while Saccharomyces boulardii containing probiotic formulation was shown to stimulate insulin-like growth factor (IGF), epidermal growth factor (EGF) and its receptor activity (EGFR) (Fordjour et al. [2010](#page-326-0)). Additionally, L. rhamnosus has been reported to stimulate hypoxia-inducible factor  $2\alpha$  (a master controller of progenitor stem cell recruitment during tissue repair) in vivo (Wang et al. [2011](#page-331-0)).

#### 6 Chronic Wounds and Probiotic Therapy

Considering the potential role of skin microbiota and biofilms in skin-related diseases, the investigators have begun to investigate probiotics for the chronic wounds' treatment e.g. kefir extracts (natural probiotic compounds) containing topical gels have been applied to infected burn wounds in rats and have shown improved collagen formation and epithelialization compared to controls treated with silver sulfadiazine (Lukic et al. [2017\)](#page-328-0). The probiotics administered to mice (orally) were able to modulate interleukin-10 levels and skin immune cell density after an injury caused by UV radiation, indicating that these beneficial microbes in cutaneous tissues can exert strong immune-modulatory effects (Guéniche et al. [2006](#page-327-0)). The investigators have also isolated microbes from burn wounds and demonstrated that most of the microbial strains were extremely vulnerable to L. acidophilus (Jebur [2010\)](#page-327-0). Via competitive inhibition of pathogenic microbe P. aeruginosa and disruption of bacteria–bacteria communication pathways, i.e. quorum sensing, L. plantarum has a potential role in the topical treatment of wounds (Peral et al. [2009\)](#page-329-0). Some of the useful tools for reconstructive surgery include bio-prostheses and implants, but they are at greater risk for formation of biofilm and chronic infection. Therapies based on probiotics can play a role in reducing these complications, e.g., surfactants obtained from probiotics have been shown in a voice prosthesis model to lessen pathogenic microbial colonization and extend graft function (Rodrigues et al. [2004\)](#page-330-0). Some probiotic strains are capable of producing oxidative reactions that impede the growth of fungi and the formation of biofilms (Reid et al. [2006](#page-330-0)). These impacts may be due to pathogenic microbial adhesion changes and can also be used prophylactically in high-risk patients. However, in clinical environments such as infected (contaminated) mesh, contracture or extrusion for the breast implant, and other prosthetic complications, the use of probiotics remains unproven but is considered as a field of considerable research potential (Wong et al. [2013\)](#page-331-0).

Some experiments have revealed the feasibility of manipulating microbial properties to facilitate wound healing through the application of principles in tissue engineering, e.g., investigators have produced a topical patch containing nitric oxide producing probiotics (e.g. lactic acid bacteria), a molecule well recognized to enhance the synthesis of fibroblast collagen and increase tissue blood flow (Isenberg et al. [2005](#page-327-0)). This bacteria-impregnated patch substantially improved wound closure in infected and ischemic wounds in rabbits measured after 3 weeks (Jones et al. [2012b\)](#page-327-0). Further, investigations are needed in order to understand the probiotics' role and possible delivery mechanisms for non-healing wounds. In conjunction with traditional approaches to wound healing, probiotic-based therapies could be a significant adjunct for potential paradigms of wound treatment. The genetically modified microorganisms or engineered microbial by-products may play a role in regulating interactions of host-bacteria or bacteria–bacteria to facilitate the repair of cutaneous tissue in addition to the exogenously administered probiotic strains.

### 7 Probiotics and Cutaneous Wound Healing

Bifidobacteria and Lactobacilli are the most widely studied potential probiotics for numerous dermatological conditions, including non-healing wounds (Baquerizo Nole et al. [2014](#page-325-0)). The protective abilities of probiotic microbes against skin pathogens have been demonstrated in numerous in vitro experiments with human keratinocytes (Lukic et al. [2017](#page-328-0)). The probiotic strains, L. rhamnosus and B. longum, similar to their impact on the gut epithelium, have been shown to mend tight junction functions and expression of zonula occludens 1, claudin 1 and occludin in S. aureus infected keratinocytes (Sultana et al. [2013\)](#page-331-0). Unlike L. rhamnosus, B. longum augmented the claudin 4 expression, another major tight junction protein (Sultana et al. [2013](#page-331-0)), proposing that B. longum can affect tight junction function through a substitute mechanism by lessening para-cellular permeability and therefore averting the pathogen invasion.

Furthermore, the Toll-like Receptor 2 activation increases the tight barrier function in keratinocytes as well as gut epithelial cells (Yuki et al. [2011](#page-332-0)). B. longum's modulation related to functions of tight junction seems to be Toll-like Receptor 2 dependent as tight junction protein levels and trans-epithelial electrical resistance cease to upsurge when Toll-like Receptor 2 is neutralized or blocked, respectively (Sultana et al. [2013](#page-331-0)). The implications of the commonly used  $L$ , *rhamnosus*, on the other hand, on keratinocytes are Toll-like Receptor 2-independent, indicating that this probiotic species utilizes another method to augment tight barrier function (Sultana et al. [2013](#page-331-0)). The mitogen-activated protein kinase pathway, known to increase tight barrier function through modulation of extracellular signal-regulated kinases and p38 (Lukic et al. [2017\)](#page-328-0), is a possible pathway involved in this process (Lukic et al. [2017](#page-328-0)).

The probiotic species  $(L.$  plantarum and  $L.$  reuteri) also possess the capability to upsurge tight barrier function in primary human keratinocytes (Sultana et al. [2013\)](#page-331-0). L. rhamnosus and L. reuteri also improve re-epithelialization through enhanced keratinocyte migration and cellular proliferation (Mohammed et al. [2015\)](#page-329-0). The probiotics are also able to cause re-epithelialization through chemokines induction, e.g. L. rhamnosus augmented the chemokine CXCL2 and its receptor CXCR2 expressions that stimulates proliferation and migration of keratinocyte during normal wound healing (Mohammed et al. [2015](#page-329-0)). Although the majority of probiotic microbes have been advantageous for function associated with keratinocyte, L. fermentum deceases viability of keratinocyte and re-epithelialization (Mohammed et al. [2015](#page-329-0); Lukic et al. [2017\)](#page-328-0), demonstrating strain-specific effects once again.

The antibacterial activities of already established probiotics, e.g. reduction of pathogen adhesion and inhibition of pathogen growth, are the methods of fortification against cutaneous wound infections. The protective effect exhibited by L. rhamnosus by S. aureus growth inhibition in infected keratinocytes is yet unknown mechanism (Mohammedsaeed et al. [2014\)](#page-329-0). The probiotics like L. rhamnosus and L. casei Shirota exhibited antimicrobial activity that is not due to acid (Mohammedsaeed et al. [2014](#page-329-0)), hydrogen peroxide or bacteriocin production (Vesterlund et al. [2004\)](#page-331-0), featuring a number of protective mechanisms by probiotics. Additionally, L. plantarum extract, by interfering with its quorum sensing system, disrupt P. aeruginosa's pathogenic characteristics, a widespread chronic wound pathogen. Through inhibition of P. aeruginosa virulence factors pyocyanin, elastase and rhamnolipid, this extract without live probiotics was able to reduce biofilm growth and bacterial adhesions (Ramos et al. [2012](#page-330-0)).

Lactobacilli can also, by competitive exclusion, inhibit pathogen invasion into keratinocytes. L. rhamnosus and L. reuteri are capable to impede the initial S. aureus adhesion to keratinocytes and displace already attached S. aureus to human keratinocytes (Mohammedsaeed et al. [2014](#page-329-0); Lukic et al. [2017](#page-328-0)). The pertinent molecules that contribute in S. aureus exclusion and displacement from keratinocytes derived from human are still unidentified, but they depend on moonlight proteins: a class of multi-functional bacterial adhesins that may, among many functions, bind to epithelial cells (Kainulainen and Korhonen [2014\)](#page-327-0). The enolase from L. crispatus, an example of a moonlight protein, can bind to collagen-I and laminin (Antikainen et al. [2002](#page-325-0)), while L. plantarum enolase binds to fibronectin and avert S. aureus adhesion to epithelial cells (Castaldo et al. [2009\)](#page-325-0). A mechanism for displacement, as illustrated by L. rhamnosus, will enable probiotics not only to protect keratinocytes from infection but also to rescue them, both of which are important features for potential clinical applications. The supernatants, lysates and metabolites from probiotic microorganisms have been extensively investigated in vivo and in vitro to address protection of utilizing live probiotic bacteria topically, showing beneficial effects similar to live microorganisms (Mohammedsaeed et al. [2014;](#page-329-0) Lukic et al. [2017](#page-328-0)).

In vivo wound repair investigations were mainly focused on topical application of probiotics that support in vitro data, showing enhanced wound repair via increased tissue repair and reduced bacterial load in rodent wound models (Rodrigues et al.

[2005\)](#page-330-0). L. plantarum (topical application) inhibited colonization of wounds caused by P. aeruginosa in a mouse model with burns by clearing Pseudomonas from the liver, spleen and skin, via lessening apoptosis and increasing phagocytosis and (Valdez et al. [2005](#page-331-0)). Even the use of kefir (mixture of lactic acid bacteria and yeasts) has resulted in improved healing with antifungal and antibacterial effects (Lukic et al. [2017](#page-328-0)).

L. plantarum (topical use) has interfered with pathogen colonization caused by S. aureus, S. epidermidis and P. aeruginosa in human burn wounds (Peral et al. [2009\)](#page-329-0). Topically applied L. plantarum Treatment with lessened bacterial load and encouraged wound repair is found to be comparable with silver sulfadiazine treatment. One possible mechanism underlying *L. plantarum's* antimicrobial/antipathogenic characteristics is that P. aeruginosa and L. plantarum stimulate reverse effects on the infection (Hessle et al. [2000\)](#page-327-0). L. plantarum (Gram-positive bacteria) activates the secretion of interleukin-12, which activates natural killer cells and cytotoxic T cells to secrete IFNγ, while Gram-negative pathogen P. aeruginosa favourably stimulates interleukin  $-10$ , which prevents those functions (Hessle et al. [2000\)](#page-327-0). The antagonistic inflammatory response regulation, however, does not account for antibacterial effects of L. plantarum on S. aureus, a Gram-positive pathogen. Topical use of L. plantarum also boosted wound healing in human chronic venous ulcers (Peral et al. [2010\)](#page-329-0) infected predominantly with P. aeruginosa and S. aureus, stimulated a continuous process of healing that decreased microbial load and triggered the granulation tissue formation (Peral et al. [2010;](#page-329-0) Lukic et al. [2017\)](#page-328-0). Polymorphonuclear cells screened from the ulcer bed showed augmented interleukin-8 production, decreased apoptosis percentage and necrosis upon L. plantarum treatment. Taking its antimicrobial and immunomodulatory effects in humans into account, L. plantarum, by controlling interleukin-8 levels and controlling the entry and activity of Polymorphonuclear cells travelling from peripheral blood to the ulcer, is thought to inhibit pathogen colonization (Peral et al. [2010](#page-329-0)).

### 8 Future Perspectives

The new insight and healing potential of advantageous microbial probiotics are illustrated in the book chapter as an alternative and healthy approach to treating patients with skin-related wounds/disorders. The examination of microbiota, including beneficial microorganisms by using high-throughput genomic technologies, will elucidate new pathways and molecular mechanisms that can improve our knowledge of how commensal microbes, including non-healing wounds, can cope with different diseases. Moreover, it is important to identify cross-communication among the beneficial microorganisms and the host's respective pathways. The selection of bacterial species is of particular significance, because the impacts of probiotic bacteria can be highly strain-specific. Their incorporation as an integrative therapy provides new possibilities to treat patients with wound healing disorders, taking into account the studies expended on probiotics and their important role in human health.
# 9 Conclusions

Based on recent in vitro and in vivo research, this book chapter documents the ability of cellular components or probiotic metabolites to promote skin health and dermatological advancement. Although numerous investigations have indicated encouraging potentials of probiotics and their supernatants for skin health, we predict that such an argument for skin health is still at its early stages, with the requirement of more comprehensive final topical applications and human trials (well-designed) to validate the exact doses required, safety and regulatory compliances, possible side effects, host dependency, and, essentially, the precise mechanisms for indirect and direct actions of the live cells and/or therapeutic compounds. The microbial colonization occurs immediately after injury, and the cross-talk between the innate immune response, pathogens and microflora almost simultaneously begins. Cutaneous microbiota has an advantageous impact on the wound curing process through several potential processes, both positively and harmfully, dependent on the microorganisms prevailing in the area of the wound. The identification of microorganisms derived from the nonspecific immune (innate) response is essential for triggering the process of wound healing, and particularly for the preliminary stage of severe (acute) inflammation. The microbe S. aureus, however, can cause infection, impaired healing of chronic and acute wounds. Besides the microflora on skin, the gastrointestinal microorganisms may similarly influence the wound curing process, by influencing, indirectly or directly, several features that control the therapeutic potential, e.g. blood pressure, tissue oxygenation levels, immune response and inflammations. Furthermore, several mechanisms have been recognized as to how the gut microbiome could affect the energy metabolism of the host and thus the incidence of indications of metabolic syndrome, e.g. Diabetes, hypertension, obesity and hyperlipidemia, which were also associated with very slow wound healing. For a substantial portion of the population, impaired wound healing is a major reason for morbidity and mortality. Taking the above seriously, the scientific community guides the investigation to a deeper understanding of the cross-talk amongst host immune response and microorganisms, with the objective of developing new methods for therapeutic wound care based on the therapeutic use of probiotic microbes. The probiotics are advantageous host microbes and, on the basis of evidence so far, may have a positive effect on the wound curing process. Probiotics administration has been associated with improvements in the topical and per os wound curing process. Is the management of the human microbiome, gastrointestinal as well as cutaneous, essentially crucial for the chronic wounds and ulcers treatment therapies that have eluded us so far, or is it just another factor that needs to be taken seriously for this therapeutic entity to be treated? Time is going to say.

Acknowledgments The author gratefully acknowledges The Director, CSIR-IHBT (Institute of Himalayan Bioresource Technology), Palampur, Himachal Pradesh and the Council of Scientific and Industrial Research (CSIR), New Delhi, for providing basic computational facilities for carrying out the present study.

Conflicts of Interest The author declares that there is no conflict of interests.

Funding No external funding was received for writing this book chapter.

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# Development of Novel Anti-infective Formulations for Wound Disinfection



Regalin Rout

# 1 Introduction

A wound infection is the localization of pathogenic microorganisms which have invaded into viable tissue surrounding the wound. This leads to inflammation and damage of tissues as well as delays the healing process. Though there are some infections which are self-contained and heal on their own, some infections if not treated can be life-threatening and need medical attention (White et al. [2006;](#page-353-0) Cutting and White [2005](#page-348-0)). Nowadays, wound care has acquired global attention in the healthcare sector to improve the research, and to fasten the healing process, the wound needs to be protected from infection from microorganisms (Maxson et al. [2012\)](#page-351-0). Basically wound healing entails a three-step process according to some authors such as inflammation, proliferation, and maturation/remodeling, whereas nowadays it is described as a four-step process such as hemostasis, inflammation, proliferation, and maturation (Broughton et al. [2006\)](#page-348-0).

The functional aim of these processes is to heal the wound through different stages such as prevention of loss of blood, cleaning the area of infection, and finally repairing the wound site. This hemostasis phase is included in the inflammation process in the three-phase approach. These processes are programmed and time bound to heal the wound successfully and appear in a programmed manner. Certain factors such as oxygenation, infection, age and sex hormones, stress or anxiety, diabetes, obesity, medications, alcohol, smoking, and nutrition obstruct the process of wound healing, which demands further research to improve the healing process as well as protecting the wound before it gets fatal. More research on information relating to these factors could lead to improvement of healing of wounds. The different stages of wound healing are described as follows and in Fig. [1](#page-334-0).

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<sup>©</sup> The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2021 P. Kumar, V. Kothari (eds.), Wound Healing Research,

[https://doi.org/10.1007/978-981-16-2677-7\\_11](https://doi.org/10.1007/978-981-16-2677-7_11#DOI)

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Fig. 1 Sequential order of different stages of wound healing

## 1.1 Hemostasis

Hemostasis begins when an injury occurs in our body. It is the first and foremost stage of healing process. It starts with vascular constriction followed by blood clotting. When the blood vessel shrinks, it eventually slows down the blood flow platelets and adheres together to close the gap in the blood vessels. Then with the process of clotting the platelet binds with threads of fibrin. Then the platelets attach to the sub-endothelium surface of the ruptured blood vessel's epithelial wall followed by the adherence of the strands of fibrin. Once the fibrin mesh starts, the blood is converted to a gel form with the release of the protein prothrombin. The release of prothrombin initiates clot formation which retains the platelets and blood cells in the site of wound. Though the clot or thrombus is an important factor in the healing stages of wound, sometimes it may lead to strokes or heart attacks if it enters through the circulatory system after detaching from the vessel walls.

## 1.2 Inflammation

Hemostasis which is immediately followed by inflammation is the second stage that happens in wound healing. It begins right after the bleeding is controlled, and the inflammatory cells are migrated to wound infection area (Gosain and DiPietro [2004;](#page-349-0) Broughton et al. [2006](#page-348-0); Campos et al. [2008](#page-348-0)). This phase is basically characterized by removal of damaged cells or debris, pathogens, and bacteria from the site of infection. During the stage of healing process, the neutrophils, growth factors, and enzymes cause swelling with redness and pain at the wound site. Though inflammation is a natural process of wound recovery, it could be challenging if it is prolonged or excessive. This happens mostly in immunocompromised individuals (Midwood et al. [2004\)](#page-351-0). Therefore, reduction of this phase is often the main goal in therapeutics. As this induces proliferative phase of healing, it is a necessary part in the complete process.

# 1.3 Proliferation

The proliferative phase which is also known as rebuilding phase is the third step to wound healing and overlaps with the inflammatory phase. The wound is remodeled with novel tissues that are made up of collagen and extracellular matrix when it comes to the step of contraction. In this stage, the macrophage recruits fibroblasts which create collagen fibers. In the presence of adequate oxygen and vitamin C, granulation of tissue forms at the site of the wound bed. Oxygen along with two amino acids proline and lysine is required for the collagen synthesis, whereas vitamin C is needed for the hydroxylation of proline to hydroxyproline, an amino acid present in collagen. Fibroblasts form a collagen bed during the process of granulation to fill the defect and produce new capillaries. The edges of the wound are pulled together to reduce the size of infection. Finally, the process of epithelialization occurs in which the epithelial cells enter the site of injury and resurface it to provide protection from the outer environment. This is a fast process when wounds are kept moist and hydrated. This humidity can be retained if the wounds are dressed within 48 hours after the injury to optimize the final process of proliferation, i.e., epithelialization (Broughton et al. [2006;](#page-348-0) Campos et al.).

# 1.4 Maturation

Maturation which is also called as remodeling stage occurs when the wound is completely healed. It is also the concluding phase of wound healing. This phase includes transformation of collagen from type III to type II. The phase starts to work in the third stage of infection and continues up to 2 years (Mancl et al. [2013\)](#page-350-0). In this stage, the cells that are involved in repairing the wound are eliminated by the process of apoptosis. Collagen fibers are aligned along the tension lines and cross link occurs. This reduces the thickness of the scar and makes the skin stronger than before. The final resulting scar will never have the original strength of the wound, and only about 80% of the tensile strength (Broughton et al. [2006](#page-348-0); Campos et al. [2008;](#page-348-0) Midwood et al. [2004\)](#page-351-0).

In the abovementioned phases, it is found that wound healing is a much complex and fragile process. Certain exogenous and endogenous factors can regulate such events as well as influence the process of healing. It can also be affected by some systemic disorders, such as diabetes, immunosuppression, venous disease, and metabolic deficiencies in the elderly. Apart from this, smoking and cortico-therapy also hinder the process of early healing of wound.

It is already found that wounds are also susceptible to certain pathogenic bacteria such as Pseudomonas aeruginosa (Gram-positive) and Staphylococcus aureus (Gram-positive) which colonize in skin wounds, thereby producing biofilms. Once the biofilms are produced, bacterial cells are aggregated and immobilized in an adhesive matrix of extracellular polymeric substances (Kumar et al. [2013](#page-350-0), [2020;](#page-350-0)

Wolcott et al. [2010\)](#page-353-0). This leads to the weak penetration of antibiotics and subsequently makes the elimination of bacteria difficult due to the host clearance mechanisms, i.e., antibodies and phagocytes through the microbial biofilm (Kumar et al. [2013,](#page-350-0) [2020;](#page-350-0) Breidenstein et al. [2011;](#page-348-0) Mah et al. [2003;](#page-350-0) Taylor et al. [2014;](#page-353-0) Ovington [2003\)](#page-351-0). In addition, toxins produced from bacteria lead to an excessive, detrimental inflammatory response such as development of antibiotic resistance and delayed wound healing followed by prolonged hospitalization (Rajpaul [2015;](#page-352-0) Wilson [2003\)](#page-353-0). Therefore, wound infections and its healing have emerged as a big cause of death and burden toward the healthcare system (Kish et al. [2010;](#page-350-0) Hannigan et al. [2015;](#page-349-0) Howell-Jones et al. [2005](#page-349-0); Rajanbabu and Chen [2011](#page-352-0)). Based on the above scenario, different anti-infective therapies and formulations were suggested which will be described in this chapter.

### 2 Anti-Infective Formulations for Wound Disinfection

#### 2.1 Antimicrobial Therapy for Treating Chronic Wounds

Due to the emergence of multidrug resistance, treatment of wound infection leads to the invention of different anti-infective formulations. Among those antimicrobial peptides (AMPs) are short-amino-acid chains that are involved in resolving the wound infections (Kalia et al. [2014;](#page-349-0) Rajanbabu and Chen [2011](#page-352-0); Zasloff [2002\)](#page-353-0). They also play a vital role in defending against pathogenic microorganisms and also take part in modulation of immune response. They are believed to be evolutionarily conserved in all living kingdoms from prokaryotes to eukaryotes (Giuliani et al. [2007\)](#page-349-0). Based on their secondary structure, they are divided into beta-sheet, alpha-helical loop, and extended peptides. A lot of research has already been done on beta-sheet and alpha-helical groups as they are the two most frequently occurring groups in nature. Each AMPs consist of 100 amino acid residues with a cationic charge from +2 to +9, lysine, arginine, and hydrophobic residues (Martin et al. [1995:](#page-351-0) Huang et al. [2010](#page-349-0); Dorica-Mirela and Ionel [2009;](#page-348-0) Huang et al. [2011](#page-349-0); Halstead et al. [2015\)](#page-349-0). It has a broad spectrum of antimicrobial activity toward the microbes that also include drug resistant bacteria which is not hindered by any biological fluids, exudates, or biofilms. AMPs act faster at numerous sites of the wound infected area reducing the chance of resistance as well as any further microbial infection (Gottrup et al. [2014](#page-349-0); Lai and Gallo [2009](#page-350-0); Felgueiras and Amorim [2017a](#page-349-0), [b;](#page-349-0) Malmsten et al. [2007](#page-350-0)).

In the process of wound healing, biomolecules are invaded at the site of infection and induce the consecutive phases of healing. Several antimicrobial agents including AMPs being part of our immunity system provide protection against foreign invaders. Once the infection appears, proteases are cleaved at specific sites to release heparin-binding epidermal growth factors (HB-EGF) and amphiregulin. These HB-EGF induce the expression of epidermal AMPs to fasten wound healing due to the presence of their antimicrobial properties (Pasupuleti et al. [2007\)](#page-351-0). In the

hemostasis phase, the cleavage of proteins like fibrinogen or thrombin occurs via the complement and coagulation cascades which leads to production of many AMPs with antimicrobial activity from the fragments of those proteins (Felgueiras and Amorim [2017a](#page-349-0), [b\)](#page-349-0).

During the inflammatory phase, neutrophils entered the site of wound infection which are known to be one of the most significant producers of AMPs. Monocytes and lymphocytes enter the site of infection once the neutrophils are already in. Neutrophils also contain  $\alpha$ -defensins which are known as human neutrophil peptides (HNPs) in azurophilic granules, cathelicidins when stored in specific granules, and calgranulins in the cytosol (Borregaard and Cowland [1997;](#page-348-0) Ganz [1987\)](#page-349-0). Despite the fact that defensins are commonly used in neutrophil phagolysosome because of their antiviral and antibacterial activity, they also promote bacterial phagocytosis. Besides phagocytosis, macrophages also play an important role in inflammation and chemotactic activity toward monocytes, T cells, and immature dendritic cells (Ganz et al. [1985;](#page-349-0) Edwards and Harding [2004\)](#page-349-0). Like other AMPs, cathelicidins also possess a broad spectrum of antimicrobial activity against bacteria, fungi, and viruses. They are trimmed by proteinase 3 into the AMP, hCAP-18, once they are released from the granules (Rodríguez-Martínez et al. [2008](#page-352-0)). This hCAP-18 is also known as LL37. These cathelicidins are liable for the expression of the vascular endothelial growth factor (VEGF) and by producing monocytes it also transactivates the epidermal growth factor (EGFR). This leads to the migration of keratinocytes to the site of wound infection (Tokumaru et al. [2000;](#page-353-0) Sørensen [2016\)](#page-352-0).

In the proliferation stage, it is found that the epidermal keratinocytes, like hBD-2, hBD-3, RNase7, and psoriasin, possess most of the AMPs that are involved in healing. During this phase, the LL37 and calgranulins such as S100A8 (calgranulin A)/S100A9 (calgranulin B) reach their peak of expression. It is found that many defensins belong to the same ancestral gene due to which the neutrophils (inflammation) and keratinocytes (proliferation) share the same AMPs and antimicrobial proteins. The expression of these defensins is basically dependent on the phases of wound healing (Roupé et al. [2010;](#page-352-0) Nurjadi et al. [2012](#page-351-0)). Upon a cut or infection, the EGFR activation in epidermal keratinocytes induces the expression of beta defensin-3 (hBD-3). Unlike beta defensins the expression of calgranulins, S100A8, or S100A9 is induced by the activation of growth factors or by pro-inflammatory cytokines. This leads to linking growth and tissue regeneration with expression by AMPs (Nurjadi et al. [2012;](#page-351-0) Steinbakk et al. [1990](#page-352-0)). Certain epidermal AMPs such as nBD-3 and RNase7 showed antibacterial activity against Staphylococcus aureus, whereas psoriasin is effective against *Escherichia coli* and calgranulins against the strain Candida albicans (Gläser et al. [2005;](#page-349-0) Zanger et al. [2010;](#page-353-0) Niyonsaba et al. [2007\)](#page-351-0). This shows that epidermal AMPs have a broad spectrum of antibiotic activity that helps in wound healing. Apart from protecting the wound from foreign attackers, the AMPs are also involved in other non-antimicrobial functions.

It is also found that hBD-2, hBD3, and psoriasin have chemoattractant properties. The former activates dendritic cells via TLR-4 because of its chemoattractant properties toward immature dendritic cells and memory T cells. This is also involved in inducing the expression of keratinocytes as well as in its proliferation, migration, and cytokine production (Röhrl et al. [2010\)](#page-352-0). hBD-3 takes part in activating the mast cells and increases its vascular permeability. This also induces the second-level expression of keratinocyte differentiation markers, thereby simultaneously promoting the proliferation of endothelial cells (Roupé et al. [2010](#page-352-0); Abdillahi et al. [2012\)](#page-347-0). During the remodeling phase, the highly antimicrobial collagen type VI gives protection to the connective tissue of the skin (Ye et al. [2018.](#page-353-0)).

## 2.2 Antibacterial Agents in Wound Dressings

#### 2.2.1 Antibiotics

Though it is found that many bacteriostatic or bactericidal antibiotics contribute in wound healing, their influence on wound repair is still unnoticed. Among several effective antibiotics against microorganisms, only quinolones, aminoglycosides, tetracyclines, and cephalosporins have been proved to be useful as antimicrobial wound dressings for effective wound healing which is later described in Table [1](#page-339-0).

The above table is precise information regarding the method of action of the antibiotics involved in wound dressings as it is already known that antibiotics interfere with certain bacterial functions and metabolic pathways. They inhibit some of the bacterial pathways such as inhibition of synthesis of bacterial cell wall and interfere with the synthesis of proteins. They also obstruct in blockage of certain metabolic pathways and inhibit the synthesis of nucleic acids (Kohanski et al. [2010;](#page-350-0) Rai et al. [2016](#page-352-0)). Though antibiotics have already proved to be useful for the wound treatment, their irregular usage may lead to antibiotic resistance (Kalia et al. [2014;](#page-349-0) Pîrvănescu et al. [2014](#page-351-0)). Researchers already found that some microorganisms like S. aureus and P. aeruginosa considerably developed resistance against antibiotics (Friedman et al. [2016\)](#page-349-0). It is already found that 70% of bacteria causing wound infections are resilient to some regularly used antibiotics (Chávez-González et al. [2016\)](#page-348-0). This leads to an urgent demand for finding different substitutes which can be used for wound healing such as essential oils (Shrestha et al. [2014](#page-352-0)) and nanoparticles (Pîrvănescu et al. [2014](#page-351-0)).

#### 2.2.2 Natural Antimicrobials for Wound Infections

Because of the increasing antibiotic resistance against contagious strains, researchers are now focused on the huge collection of bioresources. Most of them in the collection are naturally available herbs. There are certain natural agents such as garlic, curcumin, ginger, clove, goldenseal, and oregano with antimicrobial properties. It has also been reported that some wounds have complex polymicrobial infections that are caused due to activities of certain natural agents (Seow et al.

Antibiotic	Material used during wound dressing	Susceptible species	References
Amoxicillin (class: quinolones)	Sponges collected from bacterial cellulose	Escherichia coli, Candida albicans, Staphylococcus aureus	Liu et al. (2018)
Ciprofloxacin (class: quinolones)	Calcium alginate films	E. coli, S. aureus, Pseudomonas aeruginosa	Contardi et al. (2017)
	Films and nanofiber mats of Povidone	E. coli, Bacillus subtilis	Li et al. (2017)
	Electrospun fibers based on thermoresponsive polymer $poly(N-$ isopropylacrylamide), poly(l-lactic acid-co-ε-caprolactone)	E. coli, S. aureus	Pamfil et al. (2017)
	Hydrogels from 2-hydroxyethyl methacrylate/citraconic anhydride- modified collagen	S. aureus	Anjum et al. (2016)
Tetracycline (class: tetracycline)	Cotton fabric enclosed with chitosan-poly(vinyl pyrrolidone)- <b>PEG</b>	E. coli, S. aureus	Khampieng et al. (2014)
Doxycycline (class: tetracycline)	Poly(acrylic acid) nanofiber mats	S. aureus, Strepto- coccus agalactiae	Michalska- Sionkowska et al. (2018)
Gentamicin (class: aminoglycosides)	Thin films made from collagen, chitosan and hyaluronic acid	E. coli, S. aureus, P. aeruginosa	Ahire et al. (2017)
	Sodium carboxymethyl cellulose loaded with antibiofilm agents (xylitol and ethylenediaminetetraacetic acid)	S. aureus, Bacillus subtilis, P. aeruginosa, E. coli	Khampieng et al. (2014)
Kanamycin (class: aminoglycosides)	Nanofibers made with a combina- tion of polyethylene oxide and hyaluronic acid	Listeria monocytogenes, P. aeruginosa	Rădulescu et al. (2016)
Cefuroxime and cefepime (class: cephalosporins)	Biocompatible nanostructured com- posite based on naturally derived biopolymers (chitin and sodium alginate)	E. coli, S. aureus	Etebu and Arikekpar (2016)

<span id="page-339-0"></span>Table 1 List of antibiotics contained within wound dressings

[2014\)](#page-352-0). The antimicrobial properties of essential oils and honey have already been confirmed by studies.

#### 2.2.3 Essential Oils

Essential oils also abbreviated as "EOs" are plant secondary metabolites and are a derivative product of leaves, twigs, seeds, barks, and roots of plants. These isolated metabolites from plants consist of wound repairing properties such as antioxidant, anti-inflammatory, anti-allergic, antiviral, antimicrobial, and regenerative (Agyare et al. [2016](#page-347-0)). The antimicrobial properties of plant-based metabolite for wound healing lie in different chemical constituents such as cinnamaldehyde, thymol, geraniol, menthol, and carvacrol (Semeniuc et al. [2017;](#page-352-0) Scagnelli [2016;](#page-352-0) Aumeeruddy-Elalfi and Mahomoodally [2016](#page-348-0)). The presence of these constituents is based on their isolation processes such as hydro-distillation, microwave-assisted extraction, microwave-generated hydro-distillation, steam distillation, microwave steam diffusion, and ultrasound-assisted extraction (Aumeeruddy-Elalfi et al. [2016;](#page-348-0) Kavoosi et al. [2013](#page-350-0)). Numerous publications explained that antimicrobial properties of essential oils are mostly dependent on their constituents. The constituents found are mostly thymol, cinnamaldehyde, geraniol, and carvacrol. EOs attack lipids and phospholipids of the cell membrane (Altiok et al. [2010\)](#page-347-0). In the bacterial cell wall, EO is involved in the cytoplasm outflow and decrease in pH, whereas its role in impairment of certain cellular processes such as ATP biosynthesis, DNA transcription, and protein synthesis is also seen. The role of interference of EOs in the cytoplasmic membrane is also described by other groups. This occurs by interfering with the transportation of nutrients through the cell membrane and coagulation of bacterial cell matter (Walsh et al. [2003\)](#page-353-0).

After so many in vitro tests, usage of EO toward multidrug resilient microorganisms proved to be beneficial because of its no or very minute effects on the resistance development in comparison to antibiotics (Sienkiewicz et al. [2014;](#page-352-0) Zenati et al. [2014;](#page-353-0) Liakos et al. [2014](#page-350-0)). EOs of other plant-based products such as thyme, peppermint, lavender, cinnamon, rosemary, eucalyptus, and lemon grass have been found to possess antimicrobial properties. Over and above their antibiotic and antiseptic properties, essential oils have antimicrobial properties as they been used in wound dressings (Liakos et al. [2015;](#page-350-0) Nogueira et al. [2014](#page-351-0)). Sometimes during the treatment of wound infections, EOs may be required in high concentrations or a repetitive application which may arise in some adverse effects (Table [2](#page-341-0)).

#### Helichrysum Essential Oil

Helichrysum essential oil is useful in healing wound infections because of its antiinflammatory, antifungal, and antibacterial properties. It contains arzanol a substance that plays an important role in healing because of its anti-inflammatory properties (Popoola et al. [2015\)](#page-351-0). Helichrysum oil helps to prevent scarring by regenerating new cells because of its antioxidant properties (Ammon [2006\)](#page-347-0). This oil increases collagen production and thereby reduces skin rashes and infections. This oil may cause sunburn as it is sensitive to sun. It is not advisable to use in case of any recent surgery or internal hemorrhaging.

	Effective		
	time		
<b>Essential Oils</b>	period	<b>Benefits</b>	References
Helichrysum	More than	Anti-inflammatory, antifungal,	Popoola et al. (2015),
essential oil	3 months	and antibacterial properties	Ammon (2006)
Frankincense essential oil	1 month	Improves skin tone and kills hacteria	Boukhatem et al. (2013)
Geranium essential oil	1 month or more	Antibacterial and anti- inflammatory properties	Ferdaous et al. (2016)
Lavender essential oil	1 week	Antibiotic, antioxidant, and anti- septic properties	Mori et al. $(2016)$ , Bai et al. $(2014)$ , Imane et al. $(2017)$ , Kwakman et al. (2010)
Carrot seed essential oil	1 month	Antibacterial and antifungal properties	Shinde et al. (1999)
Cedar wood essential oil	1 month	Anti-inflammatory and analgesic properties; may treat acne	Lauren et al. (2016), Marino et al. $(2001)$
Hyssop	3	Heals wounds, prevents infec-	Fatemeh et al. (2011), Pariya
essential oil	months or more	tions, and reduces the appearance of wrinkles and acne scars	et al. $(2015)$
Vitamin E oil	3 weeks or more	Boosts collagen production	Nesrine et al. $(2013)$
Tea tree oil	1 month	Antiviral, antibacterial, and anti- fungal nature	Appendino et al. (2007), Edmondson et al. (2011), Evandri et al. (2005)
Neroli essen- tial oil	1 month	Reduces pain and inflammation	Ammar et al. (2012), Valerón-Almazán et al. (2015)
Rosehip seed oil	6 weeks	Improves wrinkles and acne	Kim et al. (2017)
Coconut oil	10 days	Softens the skin and reduces inflammation	Varma et al. (2019), Nevin and Rajamohan (2010)
Almond oil	1 month	Comprises vitamin E; soothes and moisturizes the skin	Ahmad (2010)

<span id="page-341-0"></span>Table 2 Description about essential oils that play a major role in healing scars

### Frankincense Essential Oil

Frankincense essential oil is also known to be beneficial for the skin by soothing the skin and evening out the complexion. It is also useful for the treatment of scars as it kills microorganisms. This oil enhances the growth of new skin cells, tightens the skin, and diminishes the scars. Because of the presence of the active ingredient boswellic acid, it shows anti-inflammatory benefits (Boukhatem et al. [2013\)](#page-348-0). This essential oil sometimes causes skin irritation and abdominal problems. This oil is not recommended to use if you take blood thinners.

#### Geranium Essential Oil

Geranium oil is used for the treatment of skin burns or scars by soothing inflammation and improving the skin tone. It also stimulates new cell growth and helps in making the scars less visible due to its antiseptic, antimicrobial, and antibacterial nature. It is found that rose geranium oil is very effective for the prevention and treatment of inflammatory skin conditions which can be visible within a month (Mori et al. [2016](#page-351-0)). Precautions should be taken in case of patients having high blood pressure or cardiovascular diseases.

#### Lavender Essential Oil

Lavender commonly known as Lavandula angustifolia is a well-known traditional medicine used all over the world. It inhibits the growth of infection caused by microorganisms because of its antibiotic, antioxidant, and antiseptic properties (Imane et al. [2017](#page-349-0)). The antibacterial activity of lavender oil is mainly due to the presence of two components, i.e., linalool and linalyl. Their EO contains excess amounts of constituents like linalool, linalyl acetate, 1,8-cineole, and camphor. In vitro studies with lavender EO showed strong antibacterial activity and inhibition of the growth of microbial strains such as  $E$ , coli,  $S$ , aureus, and  $P$ , aeruginosa (Kwakman et al. [2010\)](#page-350-0). For surface disinfection, lavender EO was used in the form of a prophylactic or topical application. This oil encouraged wound healing in the primary phase which includes stimulation of collagen production, differentiation of fibroblasts, and also fastening the establishment of granulation tissue (Bai et al. [2014](#page-348-0)).

This oil helps to prevent scars by promoting cell and tissue growth. It was found in 2016 that this oil shows potential in wound healing as well as is a natural remedy for repairing damaged skin tissues (Mori et al. [2016\)](#page-351-0). It also increases collagen production and regeneration of tissue, thereby encouraging wound healing or repairing especially in the early phase of treatment. It fastens wound healing by shrinking its size by topical application.

#### Carrot Seed Essential Oil

Carrot seed essential oil is also having beneficial effects toward wound scars. This is due to its antibacterial and antifungal properties. It is also useful in treating older scars and the results can be visible in a month. This is an inexpensive oil to be used for wound healing (Shinde et al. [1999\)](#page-352-0).

Cedar Wood Essential Oil

Cedar wood oil has the potential in treating the skin scars and acne. It has the property to lower inflammation and helps in pain relieving. The results can be seen after a month of consistent use. It also causes allergic reactions.

#### Hyssop Essential Oil

Hyssop essential oil assists in repairing damaged skin like the abovementioned oils. It also possesses antiseptic, antifungal, antimicrobial, and antibacterial properties. It has the potential to heal wound infections and reduce the wrinkles and scars produced from acne (Fatemeh et al. [2011](#page-349-0)). A publication in 2011 shows that this essential oil can be used for medicine (Pariya et al. [2015](#page-351-0)). The results with the use of hyssop oil can be visible within few months. Hyssop oil is not recommended to be used in case of epilepsy and hypertension patients. It should also not be used in higher doses.

#### Vitamin E Oil

Vitamin E oil prevents scars and retains the moisture of the skin while boosting the production of collagen. It protects the skin from damage caused by UV radiation. The results can be visible after a few weeks of use. It may cause allergic reactions, skin rash, and itchiness. Studies have shown that it improves the cosmetic appearance of scars significantly (Nesrine et al. [2013\)](#page-351-0).

#### Tea Tree Oil

Melaleuca alternifolia which is commonly known as tea tree oil is a very wellknown EO for its use as traditional remedies. Extraction of this oil is mainly from the leaves and terminal branches of the Melaleuca alternifolia. The final product after extraction is a combination of 100 different components such as monoterpenes and sesquiterpenes (Appendino et al. [2007](#page-348-0)). Among these the mixture of terpinen-4-ol and 1,8-cineole is the highly active component having analgesic, antiviral, antibacterial, antifungal, antiprotozoal, and anti-inflammatory properties. Because of its healing properties in history, it has importance in modern medicine. Nowadays, its useful components are used in dermatological creams and ointments.

Numerous data show that wound infections upon treatment with tea tree oil integrated into functional dressings and were investigated against microorganisms. For example, an electrospun polycaprolactone nonwoven mat covered with a layer of chitosan and containing tea tree EO was studied against S. aureus in vitro. This data showed inhibition of growth of S. aureus when exposed to tea tree oil (Edmondson et al. [2011\)](#page-349-0). Likewise, it is also found that chitosan loaded with tea tree oil exhibits antimicrobial activity and inhibits the growth of strains such as S. *aureus, E. coli, and C. albicans* (Evandri et al. [2005\)](#page-349-0).

#### Neroli Essential Oil

Neroli essential oil is also known to be used for the treatment of scars and a variety of skincare conditions. This is mostly used for reducing pain and inflammation at the wound infection site. It is known as a good healer because of its antimicrobial, antifungal, and antioxidant properties. The effects can be visible after a month of prolonged use. It also causes skin irritation and allergic reactions. It is one of the expensive essential oils used for wound healing (Ammar et al. [2012;](#page-347-0) Kim et al. [2017\)](#page-350-0).

#### Rosehip Seed Oil

Rosehip seed oil is a relatively less expensive essential oil. This oil is used for the improvement of skin condition, treatment of scars, wrinkles, and acne. In 2015, researchers found that rosehip seed oil is used for the healing of the appearance of the scars after surgery (Kim et al. [2017](#page-350-0)). It is also seen that using the oil twice a day for a period of 12 weeks found significantly less discoloration, atrophy, and redness. The results can be seen after 6 weeks of use. This oil causes irritation and allergic reaction and is not recommended to use in case of diabetes, kidney stones, or anemia. It can be used as a carrier oil for essential oils.

#### Coconut Oil

Coconut oil helps to reverse skin damage, moisturize skin, and heal skin disorders because of the presence of fatty acids and micronutrients in it. Owing to its antioxidant and anti-inflammatory properties, it also improves in healing skin conditions (Varma et al. [2019](#page-353-0).). It also increases collagen production and also moisturizes and softens the skin. In 2019, an ongoing research found that coconut oil also protects skin cells from inflammation (Nevin and Rajamohan [2010](#page-351-0)). The research to find out the real mechanism of action is still continuing. Sometimes it causes irritation or allergic reaction after consistent use of 10 days (Nevin and Rajamohan [2010\)](#page-351-0).

#### Almond Oil

Almond oil has the potential to reduce the appearance of scars. This oil has numerous benefits toward skin cells as it contains vitamin E. Due to the presence of vitamin E, it hydrates, soothes, and moisturizes dry or damaged skin. It also helps in rejuvenating the skin cells and improves the skin complexion and tone. Owing to its anti-inflammatory properties, it improves the skin tone soon. It also helps in reducing the stretch marks and itching. The results can be visible after a month of use. It is not advisable to use this oil if you have diabetes and any recent surgery (Zeeshan 2010).

#### 2.2.4 Honey

Honey is derived from the collection of nectar by the honeybee, Apis mellifera, and is then modified to honey. Honey has been used as a traditional medication since decades, whereas the science behind its efficacy has been recently discovered. Besides its use as a natural healer for controlling diseases such as cardiovascular, gastrointestinal tract ailments, and upper respiratory tract infections, it is also used in healing wound infections (Kwakman et al. [2010](#page-350-0)). It is not just a sugary syrup that helps in wound dressings, but it helps in healing the wounds because of the presence of certain bioactive components. These components help in reducing inflammation, granulation, angiogenesis stimulation, and wound epithelialization (Aumeeruddy-Elalfi et al. [2016\)](#page-348-0). Numerous publications have ascribed several factors about honey's bacteriostatic and bactericidal activity which are as follows:

- Its acidic nature (pH:3.4–6.1) encourages macrophages to eliminate bacteria and helps in microbial biofilm inhibition (Molan [2006\)](#page-351-0).
- The high osmolality in its chemical composition obstructs microbial development (Israili [2014\)](#page-349-0).
- Owing to certain components such as hydrogen peroxide, lysozyme, antioxidants, flavonoids, phenolic acids, methylglyoxal, and bee peptides, it possesses antibacterial activity (Boateng and Diunase [2015](#page-348-0); Simon et al. [2009](#page-352-0)). Particularly, inhibition of bacterial growth occurs via hydrogen peroxide, thereby triggering oxidative damage to pathogen macromolecules. It prevents the growth of microorganisms upon reacting with the bacterial cell wall, as well as with intracellular lipids, proteins, and nucleic acids (Kuś et al. [2016\)](#page-350-0).
- There are 14 different varieties of honey that are responsible for its high efficacy toward microorganisms that take part in infection in wounds. Investigations published by Kus et al. have shown that cornflower, buckwheat, and thyme honey were the most effective ones among the different types of honey and inhibit the growth of bacterium S. aureus (Sherlock et al. [2010\)](#page-352-0). Honey from Ulmo tree (Ng and Lim [2015](#page-351-0)), melaleuca (Jantakee and Tragoolpua [2015](#page-349-0)), and longan flower (Cooper [2014\)](#page-348-0) inhibits the growth of MRSA microorganisms.
- Floral source, species of bee, and geographical distribution basically determine the composition of honey. To overcome certain limitations that exist in the traditional honey such as deactivation of glucose oxidase by spores, only honey with certified activities is recommended. This introduces medical honey in the market; e.g., chestnut, manuka, thyme, and revamil (Packer et al. [2012\)](#page-351-0) are compared with "traditional honey" with good certainty and quality. Nowadays, few companies produce dressings containing Manuka honey such as Actilite®,

Algivon®, MediHoney®, and Activon Tulle® (Aumeeruddy-Elalfi and Mahomoodally [2016](#page-348-0)).

- Manuka honey is extracted from Manuka tree which comprises antibacterial activity in biological fluids (Aumeeruddy-Elalfi and Mahomoodally [2016](#page-348-0)). The antibacterial properties are not only because of the hydrogen peroxide but also due to the high amounts of the antibacterial compound (methylglyoxal) (Bulman et al. [2017\)](#page-348-0). Manuka honey also obstructs the growth of MRSA microorganisms and S. pyogenes, along with gram-negative strains such as E. coli and P. aeruginosa (Lu et al. [2014\)](#page-350-0). It also restricts establishment of microbial biofilm at the infection sites of wounds (Yang et al. [2017a,](#page-353-0) [b\)](#page-353-0). Manuka honey is used in wound dressings as it possesses anti-inflammatory and antibacterial activity along with wound repair efficacy. From researchers such as Yang and coworkers, Manuka honey is found to be a functional antibacterial agent that has antibacterial activity against MRSA as well as other bacteria such as E. coli, and P. aeruginosa (Saikaly and Khachemoune [2017\)](#page-352-0).
- As per the clinical beneficial effects of honey, based on randomized controlled trials a recent report was published (Rai et al. [2009\)](#page-352-0). Several publications even show varied effects depending on the type of wound, and it has also been found that honey has some detrimental effects. Therefore, more research and clinical trials are essential for establishment of clinical benefits of honey to reduce the wound infections.

# 2.3 Nanoparticles

With the discovery of nanoparticles the field of nanoscience emerged in 1959 as one of the fastest growing developments in medicine in the twenty-first century. Ever since its application in medicine, nanoparticles have the potential to be used for the improvement of current therapies and diagnostics as we have already mentioned about the occurrence of multidrug resistance as a major problem in wound disinfection. Treatment of wounds with such multidrug-resistant bacteria has become a major task due to the failure of antibiotics in controlling the infection (Yang et al. [2017a](#page-353-0), [b\)](#page-353-0). In this regard, nanoparticles are considered to be a promising replacement as they exhibit bactericidal activity against several pathogens compared to the conventional antibiotics. Their potential in wound disinfection cannot be ignored with their diminished side effects and no microbial resistance (Kumar et al. [2018](#page-350-0)).

A nanoparticle upon invading a bacterial cell wall discharges toxic metal ions or reactive oxygen species (ROS), thereby achieving its bactericidal effect. Upon contact with bacterial cell wall, the negatively charged groups attract positively charged nanoparticles at bacterial surfaces by establishing van der Waals forces, receptor–ligand, and hydrophobic interactions (Kandi and Kandi [2015](#page-350-0); Simões et al. [2018;](#page-352-0) Baek and An [2011](#page-348-0)). By entering the cell wall of bacteria, nanoparticles also interfere with metabolic path and cellular organelle such as mitochondria. Besides

<span id="page-347-0"></span>bacterial cell wall, certain extra factors can also affect the tolerance of bacteria toward nanoparticles.

Depending on the mechanism of nanoparticle toxicity, susceptibility of microorganisms is determined. For example, S. aureus and Bacillus subtilis are less susceptible, whereas E. coli is very vulnerable to CuO and ZnO NPs (Ashkarran et al. [2012\)](#page-348-0). Its toxicity depends on the composition, modification, and intrinsic properties of nanoparticles. Silver nanoparticles are higher effective toward strains of E. coli and S. aureus than Cu nanoparticles (Lu et al. [2009](#page-350-0); Pramanik et al. [2012](#page-352-0)). CuO nanoparticles exhibit higher toxicity against E. coli, B. subtilis, and S. aureus than zinc nanoparticles. The toxicity of Cu nanoparticles is directed by several factors such as high temperature, aeration, low pH, and bacteria concentration (Zewde et al. [2016\)](#page-353-0).

Silver nanoparticles are found to be extensively used against microbes as they possess inhibitory action toward more than 650 microbial species and antibiotic resistant bacteria (Volkan et al. [2016\)](#page-353-0).

Wounds that are non-healing due to infection are still a challenge to consider. Therefore, designing of advanced novel materials that could be used at wound site for dressings is required. Recent publications showed that the use of nanoparticles in wound dressings has the potential to become ideal candidates for the delivery of beneficial molecules and drugs to the wound site to improve the healing. In this chapter, we present the incorporation of several antibiotics, essential oils, honey, and inorganic nanoparticles, allowing the creation of composite materials for multipharmacological goals during the process of wound healing.

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# Part III Interdisciplinary Approach to Wound Care

# SilverSol® a Nano-Silver Preparation: A Multidimensional Approach to Advanced Wound Healing



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# **Abbreviations**



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# 1 Introduction: Silver in Medicine

# 1.1 Ancient Use: Silver Compounds

Silver, a lustrous metal, has been known globally since ancient times. Metallic silver was known to the Chaldeans since 4000 BC, especially for making valuable goods. Traditionally the royal families used silver in tableware. It is interesting to note that silver came into medicinal use during the first millennium AD. Primeval Egyptians, Romans, Phoenicians, Greeks and others used silver to preserve freshness to prevent spoilage of water, milk, food (Alexander [2009](#page-393-0)). North Americans used to drop a silver coin in water for its preservation and for a long-distance transportation prior to the discovery of refrigerators. Persian King (600 to 530 BC) would drink water only if it is brought in silver vessels.

These ancient simple practices of preservation of water and food got connected to antimicrobial properties of silver much later when microbes were discovered (1665) and their role in infections was established (1884). However, the question arises whether silver is soluble enough in the water to impart a protective effect for health? As silver is sparingly soluble in water, just a few parts per trillion, it is believed that the potential ligands of goblet forming silver salts give rise to its antimicrobial potential. Most silver salts viz. silver sulfadiazine, silver halides and silver nitrate being water soluble have antimicrobial properties and oligodynamic effects.

Silver nitrate was discovered in the thirteenth century. However, its medicinal use came into existence in 1614, when it was used internally by Angelo Sala as a counterirritant, purgative, and for the treatment of brain infections. Carl Siegmund Franz Crede, a German obstetrician, in the 1880s effectively used silver nitrate eye drops for treating gonorrhoeal ophthalmia (ophthalmia neonatorium) in infants. The practice of using 1% solution of silver nitrate, reduced the incidence of gonorrhoeal ophthalmia to 0.13% from 7.8% (Schneider [1984\)](#page-395-0). Systematic studies of silver ions were conducted by Vonnaegele. He reported the effect of silver against 650 species of unicellular organisms, proposing its promising bactericidal effects (Searle [1920\)](#page-395-0). Colloidal silver also has been shown to be effective in puerperal sepsis,

staphylococcal sepsis, tonsillitis, acute epididymitis, and other infectious diseases (Duhamel [1912](#page-393-0); Sanderson-Wells [1916;](#page-395-0) Brown [1916](#page-393-0)).

It is only by the twentieth century, the use of metallic silver for water purification was scientifically established. Later it was expanded for the treatment of wound and eye infections and for dental hygiene including the prevention and correction of pyorrhoea, gingivitis, and bad breath. Traditionally silver was used as a blood purifier, for the prevention of palpitation of the heart and for the reatment of offensive breath has been reported in 980 AD. Swallowing silver was also found to be useful in stopping epileptic seizures. In India, the Ayurvedic system of medicine describes the use of processed metals viz. gold, silver, lead, mercury for various disease conditions. The use of silver in Ayurvedic therapeutics dates back to the period of Charaka (300 BC) (Galib 2011).

## 1.2 Modern Trends: Ionic Versus Metallic Silver

Historically silver has been used for a medical purpose in different forms— as an additive in ceramic (zeolite) or in glass matrix, as silver salts (chlorides, nitrates, sulphides) or as elemental silver, though the nomenclature emerged much later. The Microbicidal capacity of different silver forms was mainly dependent on their capacity to release silver ions (Das et al. [2005\)](#page-393-0). Silver nitrate has been mentioned in the Pharmacopeia in Rome in 69 B.C. (Hill and Pillsbury [1939\)](#page-394-0), whereas, record of its medicinal use has been found in 702–705 AD. Colloidal silver was first used in 1891 (vide infra). Consumption of silver by humans over millennia bespeaks its safety. In India, 275 tons of silver is consumed every year in metallic form (Silver foil) as an additive in foods and sweets (Das et al. [2005](#page-393-0)).

With the advancement of nanomedicine in the twentieth century, several products containing metallic silver in nano form  $\left($  <100 nm particle size) have been developed. The advantage of nano-silver over traditional forms is mainly due to its nano size with large effective surface area. This allows it to be used at extremely low concentrations without side effects compared to silver ions or silver nitrate. In the early twentieth century, medicinal nanoscale silver colloids became available commercially under trade names Collargol, Argyrol, and Protargol and thereafter their use became widespread within 50 years (Nowack et al. [2011](#page-394-0)). Collargol, a silver preparation with 10 nm particle size was used as early as in 1897 (Nowack et al. [2011\)](#page-394-0). The estimated worldwide production and use of nano-silver by 2011 was 320 tons per year (Nowack et al. [2011\)](#page-394-0). Among the 1300 nanotechnology-based marketed metallic products one fourth comprise nano-silver (Munger et al. [2014\)](#page-394-0). Compared to ionic silver (chemical forms), nano-silver has distinct physicochemical properties which lead to their efficacy as an antibacterial, anti-viral, and antiinflammatory agent (Yardley [1998\)](#page-396-0).

# 1.3 SilverSol®: Coated Nano Silver

SilverSol®, developed by American Biotech Labs (ABL), USA using a patented technology,—(US6743348B2 United States) is a uniquely engineered colloidal silver preparation having microbicidal, wound healing and several other activities (Holladay et al. [2001\)](#page-394-0). A decade of efforts by ABL has resulted in the development of SilverSol®. The term "sol" specifies the chemical nature of the silver preparation as 'a pure mineral permanently suspended in the water where the mineral's charge is transferred to the entire body of the water'. The elemental form of zero-valent metallic silver particles is coated with silver oxide, with particle size ranging between 5 and 50 nm. The nano size confers multidimensional bioactivity and high stability to the product. SilverSol<sup>®</sup> is the only patented engineered product in the world, containing nano-silver particles with proven safety and multidimensional efficacy at extremely low concentrations. A wide variety of SilverSol® formulations available both in liquid and gel forms are colourless, odourless, and tasteless.

# 1.4 The Multidimensional SilverSol<sup>®</sup>

The nano-silver particles in SilverSol® have a unique structural arrangement, which confers a wide range of activities to SilverSol® at extremely low concentrations. SilverSol<sup>®</sup> has significant antimicrobial activity, effective against several microbes, including fungi, bacteria, protozoa and viruses, which makes it efficacious in various infectious conditions such as Malaria, Influenza, human immunodeficient viral (HIV), and Hepatitis B viral infections, vaginal infections, oral and urinary tract infections. Severe infectious conditions caused by antibiotic-resistant bacteria— MRSA and VRE can also be treated successfully with SilverSol®. Besides antimicrobial activity, SilverSol® has been shown to possess activity in cancer cell lines. Several in vitro and in vivo studies; conducted to confirm its potential, have yielded positive results with clinical evidence in Malaria, MRSA infections, HIV, pain, inflammation of various origins as well as in wound healing of various aetiology. SilverSol<sup>®</sup> oral products have also been used as immune enhancers.

The current review focuses on the promising effects of various SilverSol<sup>®</sup> products in the treatment of acute and chronic wounds. It also gives its detailed attributes regarding safety, efficacy and pharmacology. Physicochemical properties and regulatory status of the product are also described. Clinical cases with complex, infected wounds of varied aetiology and severity, treated with SilverSol® have been described in a separate section. Case histories of over 22,000 patients undergoing various dental procedures treated with SilverSol<sup>®</sup> products have been summarized. Quicker healing time with relief from post-surgical pain and swelling was found to be prominent in these patients.

# 2 Silversol® Technology

# 2.1 History of SilverSol® Discovery

Dr. William D. Moeller (Photograph 1), an entrepreneur; transitioned in the mid-1970s from a highly successful insurance career to become part owner and CEO of multiple mining companies, including American Consolidated Mining Company and Clifton Mining Company. Along with his sons, he developed property in and around Gold Hill, Utah, USA. A former mining boomtown during the turn of the twentieth century, Gold Hill is riddled with valuable mineral deposits. Its original operations ceased after World War II as the demand for metals waned [\(https://en.](https://en.wikipedia.org/wiki/Gold_Hill_Utah) [wikipedia.org/wiki/Gold\\_Hill\\_Utah\)](https://en.wikipedia.org/wiki/Gold_Hill_Utah). William and his team picked up where the old miners left off, assaying, drilling, and mining the veins for their famously high levels of copper, silver, gold, lead, and tungsten.

William had a great talent and tenacity when it came to solving problems. He was able to focus and engineer a way to solve complex problems. He acquired the mining property from the scattered owners with small plots, gradually building a block of mining claims that incorporated about 13 square miles of land. During the 1990s, the prices of precious metals dropped to historic lows. William overcame this hurdle by diversifying into the application of silver, which had been a mainstay in medicine prior to the advent of antibiotics. He teamed up with Robert Holladay—an electrician and chemist, and Herbert Christensen—an engineer, and together they began to research colloidal silver products and the manufacturing methods for them. Their efforts resulted in the formation of nano-silver with antimicrobial and other properties that are far better than those of the usual colloidal silver, due to their innovative tetrahedral structure coating. William was not only successful in obtaining patents to protect the invention but was also successful in getting FDA approvals for several versions of the product.



Photograph 1: Dr. William D. Moeller (1936–2014)
Today ABL, the company founded by Williams, has continued the original spirit of advancing the manufacturing and providing highly effective and very stable forms of medicinal silver. Along with universities and government labs, and in close collaboration with other research groups, such as Viridis Biopharma Pvt. Ltd. (VBPL) from Mumbai (India), they spent the next decade researching the silver particles they had created and testing them against a large number of varied microorganisms. The vast experimental data that was generated proved that  $\text{SilverSol}^{\circledR}$  was capable of killing most pathogenic bacteria including *Yersinia pestis* causing bubonic plague and also many yeasts, fungi and viruses (Roy et al. [2007;](#page-395-0) Pedersen et al. [2008;](#page-395-0) Pedersen and Moeller [2009;](#page-394-0) Revelli et al. [2011](#page-395-0)). They also proved it was safe for use on and in humans and animals (Munger et al. [2014](#page-394-0)). They showed that if taken orally it did not harm the probiotics and when used topically on a wound, it protected the wound from infection, expedited the healing, reduced the inflammation and pain (Revelli et al. [2011](#page-395-0)), and reduced the formation of scar tissue (Pedersen and Moeller [2009](#page-394-0)). This unmatched silver technology created by William and his team is known worldwide as SilverSol® Technology. Over 400 major studies conducted including published clinical studies demonstrate the capability of the technology. SilverSol® besides safe was found to be highly effective against pathogens that are difficult to manage. It is making waves on many disease fronts, including treatments for ailments like Malaria (Pedersen and Hedge [2010\)](#page-394-0), MRSA, and HIV (Pedersen et al. [2008\)](#page-395-0), to name but a few. The studies done in various government laboratories in US, showed the killing of infectious viruses viz. SARS and H5N1 by the technology (Pedersen et al. [2008](#page-395-0)).

William's diligent work led to the technology obtaining several US FDA clearances, as well as clearances and approvals by other international governments. It has garnered more than 70 US and international patents, with numerous new patents currently pending. Before he died, William's goal was to change the medicinal history of the world. Having sold more than 22,000,000 units of SilverSol Technology products worldwide and having helped improve the lives of hundreds of thousands of patients, it is safe to say he achieved his goal.

## 2.2 Characterization of SilverSol<sup>®</sup>

SilverSol<sup>®</sup>, a colloidal solution of silver is a 2-phase stable solution of metallic silver. The crystalline solid phase of ultrahomogenous silver in the liquid phase of water forms solid state epitaxy—an amazing phenomenon of metallic aquasols in which the crystalline templet imparts its structure to the amorphous solid phase, imposing the amorphous phase to crystallize as per the crystalline template. In SilverSol<sup>®</sup>, silver in Ag<sub>4</sub>O<sub>4</sub> forms a thin coating around metallic silver suspended in water. This confers a unique tetrahedral structure to SilverSol®, wherein metallic nano-silver particles are surrounded by 4 AgO and 2 water molecules (Fig. [1\)](#page-361-0). This structure, while being stable, also imparts multidimensional biological properties to

<span id="page-361-0"></span>

Fig. 1 Tetrahedral structure of SilverSol<sup>®</sup>

SilverSol<sup>®</sup> nanoparticles (NPs) without any side effects (Sect. [2.3](#page-362-0) safety studies). This is of key importance, as the safety of the NPs is a major concern in nanomedicine.

The phase analysis of SilverSol®, using advanced material science has been reported by Roy et al. They conducted phase equilibrium studies of the biphasic SilverSol®. Various techniques viz. Differential thermal analysis (DTA), Thermogravimetric analysis (TGA), X-ray diffraction (XRD), Scanning electron microscopy (SEM), and Transmission electron microscopy (TEM) were used for the solid phase analysis. On the other hand by using Fourier-transform infrared spectroscopy (FTIR), UV–VIS, and Raman spectroscopy the liquid water phase was analysed. The readers may refer to the paper published by the group for the detailed methodology and the data (Roy et al. [2007\)](#page-395-0). Some salient features highlighting the peculiar distinctive characteristics of SilverSol® as enlisted below:

- The water phase of the SilverSol® was reported to be pure and the purity was maintained in the presence SilverSol® nano particles.
- Transmission electron microscopy revealed that the particle size of nano-silver ranged between 10–50 nm with a median around 30 nm. It was observed that the bigger particles of 20–30 nm size comprised of a group of 5–7 nm particles, seized together by relatively weak bonds (vide infra). These 5 nm, metallic, silver-containing 'mobile' units, with oxide cover (in the form of layers or 'skins) around them, confer stability and unique bio-activity to SilverSol®.
- Roy et al. also compared SilverSol® to several silver colloids that were commercially available. Using Raman Spectroscopy, the SilverSol® could clearly be distinguished from any of the silver colloids, as well as from the deionized water and the HPLC water. This led to the conclusion that while the water was pure in the presence of metallic silver, its structural arrangement was different than that of HPLC and deionized water (Roy et al. [2007](#page-395-0)).

<span id="page-362-0"></span>Later in 2011, an interesting study was conducted by Revelli and the group. They observed using TEM and photoelectron spectroscopic imaging (PSI), various microorganisms treated with SilverSol<sup>®</sup> and demonstrated the localization of silver NPs within the microbes. Using TEM, they observed the localisation of clustered silver NPs both within Escherichia coli and Staphylococcus aureus but not in several other bacterial strains studied. However, when the PSI technique was used, all treated strains showed dispersed silver particles localized within all the bacterial cells (Revelli et al. [2011\)](#page-395-0).

# 2.3 Safety Studies of SilverSol<sup>®</sup>

Recently, Metallic NPs with a wide range of composition and size, have been introduced for the treatment and diagnosis of several ailments and diseases. This has, however, led to an increase in human exposure to several metals including silver. The safety of metallic NPs is an ongoing concern due to their accumulation in the body which is likely to cause some side effects (Magaye et al. [2012\)](#page-394-0). It has been shown that NPs can have varied degree of cellular uptake and toxicity through their interactions (influenced by their size, shape, charge, and the constituent material) with biological systems (Albanese et al. [2012](#page-393-0)). Almost a quarter of currently available commercial nanoproducts used for the medicinal purpose are made up of nanoscale silver (Munger et al. [2014](#page-394-0)) which have extensive medicinal and surgical applications (Rai et al.  $2014$ ; Lee and Jun  $2019$ ). It is therefore the responsibility of the manufacturer to ensure the safety of their silver NP product.

## 2.3.1 Safety of SilverSol®: Unique Structure

The unique arrangement of metallic silver and its oxide in water (vide supra) ensures the essential non-toxicity and the safety of SilverSol®. Safety of SilverSol® has been established both for topical application and oral administration. It is widely known that excessive oral intake of ionic (soluble form) silver, may cause irreversible blackening of the skin termed as Argyria. Trop et al. reported raised liver enzymes and argyria when silver-based dressing was used in burn patients (Trop et al. [2006\)](#page-396-0).

## 2.3.2 Safety of SilverSol®: Ultra-Low Effective Dose

SilverSol<sup>®</sup> containing 10–40 ppm silver is more efficient, about 1000 times than other forms of colloidal or ionic silver, in destroying pathogens. On the contrary, some silver products contain up to 300,000 ppm silver (Sellman [2010](#page-395-0)). Consumption of at least 900 mg of silver in a year (2.4 mg/day for 1 year) is needed to develop Argyria. The recommended dosage of 10 ppm SilverSol<sup>®—1/2</sup> to 1 spoon (15–30 µg) in 1.5–3 ml) once or thrice a day is 50 times less than the dose needed to develop argyria. As per the Environmental Protection Agency (EPA) standards, 5 μg/kg body weight silver per day (350 μg/day for a 70 kg adult) can safely be taken by an adult [\(https://www.govinfo.gov/content/pkg/FR-1999-08-17/html/99-21253.htm](https://www.govinfo.gov/content/pkg/FR-1999-08-17/html/99-21253.htm)). Considering this cut-off, consumption of 6 tablespoon 10 ppm ASAP solution every day by an average weight person would not cross the safety limits even if continued up to 72 years. Besides these safety calculations, a complete safety profile of  $\text{SilverSol}^{\circledR}$ , has been established by ABL by conducting more than 30 studies. Some of them are enlisted below.

## 2.3.3 Cytotoxicity Studies In Vitro

ASAP 10 ppm silver solution was studied on Murine fibroblast cell line—L929 by Nelson Laboratories USA using agar overlay test method. In brief, in a 6 well plate, L929 cells were grown to 80% confluency. Over the cell mat, a layer of 1% agar was put, on which the discs containing 100 μl of 10 ppm ASAP solution were placed. The plates were then incubated. The effect of silver that diffuses through the agar layer was studied on cell growth. The results were assessed in terms of the zone around the disc as per the criteria stated in the United States Pharmacopeia  $\&$ National Formulary. The score of cytotoxicity due to ASAP was 1 as compared to the positive control—latex natural rubber, which gave a score of 4 (Nelson Laboratories Report 2013).

## 2.3.4 Animal Toxicity Studies

Acute oral toxicity ASAP 22 ppm solution was tested in rats as per the guidelines of the Federal Hazardous Substances Act (FHSA) regulations, 16 CFR 1500 (NAMSA, California report 1999). ASAP 22 ppm, 5 gm/kg (a dose 50 times higher than that of the human dose) was given to rats. There was no significant toxicity or mortality observed in rats monitored over 14 days. Another study was conducted at Shri CB Patel Research Centre, Mumbai, India, using 10 and 32 ppm ASAP solutions. A dose of 50 ml/kg body weight was injected into the peritoneal cavity of Swiss albino mice. There was no mortality and no organ toxicity seen at the end of 72 h, which implied that the safety criteria as per the USP requirements were met.

### 2.3.5 Selective Inaction on Probiotics

Colloidal silver has emerged as an effective antimicrobial agent, acting across a wide spectrum of the microbial population. Chemical compounds and antibiotics are effective over a smaller range of microbes, whereas colloidal silver can kill over 600 types of microorganisms. Interestingly, it has been reported by VBPL that SilverSol<sup>®</sup> 10 and 22 ppm does not kill bacteria used in probiotics. In an in vitro study, SilverSol<sup>®</sup> 10 and 22 ppm was studied against two marketed probioticsLactisyn (containing Lactobacillus lactis, Lactobacillus acidophilus, Streptococcus lactis and Streptococcus thermophilus) and Kyo-Dophilus<sup>®</sup> (containing *Lactobacil*lus acidophilus, Bifidobacterium bifidum and Bifidobacterium longum). There was no inhibition of bacteria in the formulation when grown in presence of ASAP (Data on file at ABL). Another more extensive study conducted at Dr. Ron W Leavitt's laboratory, Brigham Young University, in 2004 showed similar results. They showed ASAP to be bactericidal against the pathogens tested at varying degrees but not against the various bacteria used as probiotics viz. Lactobacillus, Bifidobacterium and Streptococcus species. They used several concentrations of ASAP 32 ppm (0.13 ppm to 16.0 ppm) in broth microdilution assay against standard ATCC bacterial cultures. Based on Minimum inhibitory concentration (MIC) results, they reported that the growth of Bifidobacterium was not affected at all at the highest concentration (16 ppm) and growth of Lactobacillus was marginally affected at 4 and 8 ppm. Whereas all pathogens tested were inhibited at MIC of 2 ppm (Data on file at the ABL). The study concluded that the consumption of probiotics in conjunction with ASAP would be beneficial.

### 2.3.6 Clinical Safety: Effect on Haematology and Metabolic Markers

Clinical safety of silver and other metallic NP has been a continuous concern. Several in vitro and in vivo studies have been shown the safety of SilverSol<sup>®</sup> as described in Sect. 2.1.3 to 2.1.5. However, it is important to ensure the clinical safety of SilverSol®. Munger et al. conducted a Phase 1 clinical study. Healthy volunteers were given 10 and 32 ppm SilverSol<sup>®</sup> for 14 days and the effect on biological systems was evaluated.

The first prospective double-blind placebo-controlled crossover study in the 60 healthy volunteers was conducted by Munger et al. This systematic study was conducted as par the 'International Conference on Harmonisation of Technical Requirements for Registration of Pharmaceuticals for Human Use' Guidelines for Good Clinical Practice and the Declaration of Helsinki, with the approval from the Institutional Review Board—University of Utah (Munger et al. [2014\)](#page-394-0). The study registration was done with [Clinical-Trials.gov](http://clinical-trials.gov) (identifier: NCT01243320). Total 36 subjects received orally placebo as 15 ml sterile water or 15 ml 10 ppm oral SilverSol<sup>®</sup> solution and 24 subjects received 32 ppm oral silver particle daily for 14 days. At the end of 14 days, there was 3 days wash out period, after which the volunteers received a crossover dose of sterile water or dose of respective silver particles. All the subjects were investigated at baseline and at the end of 3, 7 and 14 days for physical examination, medical and drug history, a panel of metabolic markers, hematology and urine analysis. There were no significant changes in clinical or physical findings and in the metabolic, hematologic or urine analysis.

In the above-mentioned study, eighteen subjects receiving 10 ppm and eleven subjects receiving 32 ppm SilverSol®, also underwent cardiac and abdominal MRIs post 3–14 days of treatment. There were no morphological or structural changes noted. The markers of oxidative damage and inflammation—hydrogen peroxide

<span id="page-365-0"></span>production or peroxiredoxin protein expression and pro-inflammatory cytokine RNA expression analysed in these volunteers were unchanged. IL-8, IL-1 $\alpha$ , IL-1 $\beta$ , MCP1 (Monocyte chemoattractant protein-1) and NQO1 (NADH quinone oxidoreductase-1) showed no statistical difference between the subjects treated with active silver and placebo solutions.

# 2.4 Efficacy of SilverSol®

The activity of SilverSol® has been shown in vitro and in vivo studies. Studies conducted in human suffering from several illnesses too have indicated its efficacy. ABL so far has conducted more than 400 studies at 60 different private, U.S. government, university and military labs across the world. All the data collectively confirm the activity, efficacy and safety of SilverSol® (Data on file at the ABL).

#### 2.4.1 Microbicidal Activity In Vitro

Traditionally use of ionic silver (silver nitrate) as an antimicrobial was most popular. It was used as both bacteriostatic and bactericidal (Ricketts et al. [1970;](#page-395-0) Berger et al. [1976b;](#page-393-0) Tilton and Rosenberg [1978](#page-395-0); Ritchie and Jones [1990](#page-395-0)), antifungal (Miller and McCallan [1957](#page-394-0); Brown and Smith [1976;](#page-393-0) Berger et al. [1976a](#page-393-0)), protozoicidal, (Wysor and Zollinhofer [1972](#page-396-0)) and antiviral (Coleman et al. [1973\)](#page-393-0) agent. However, it was not so effective against bacterial spores, cysts of *Entamoeba histolytica* and Mycobacteria (Zanger et al. [2008](#page-396-0)). In case of Pseudomonas aeruginosa a non-linear order of death was observed with silver ion (Brown and Anderson [1968\)](#page-393-0). Whereas a rapid bactericidal action of silver ion (silver nitrate 0.5 and 1  $\mu$ g/ ml) was observed in water but not in broth (Ricketts et al. [1970](#page-395-0)). Several other forms of silver viz. silver citrate, lactate and proteinate, and silver sulfadiazine have also been developed.

Ravelin in 1869 (Ravelin [1869](#page-395-0)) was the first to report antimicrobial effect at extremely low concentrations of metallic silver and other metal derivatives. Von Naegeli found that metallic silver at 0.0000001% (1 ppm) concentration would kill the common fresh-water Spirogyra (Von Naegelli [1893](#page-396-0)). Germination of Aspergillus niger spores was prevented by metallic silver at 60 ppm (0.00006%) (Russell and Hugo [1994\)](#page-395-0). Interestingly, SilverSol<sup>®</sup> too kills nearly all microorganisms at similar concentrations 10–50 ppm including the ones resistant to antibiotics.

In the studies conducted with standard ATCC culture and resistant strains; SilverSol<sup>®</sup> showed antimicrobial potential. An in vitro study was conducted using SilverSol<sup>®</sup>- Silver Water Dispersion<sup>TM</sup> Solution (De Souza et al. [2006](#page-393-0)). Eight microorganisms viz. Shigella flexneri, Salmonella typhi, S. aureus 6538 P. Bacillus subtilis, Candida albicans, MDR (multiple-drug resistant) strains of E. coli and P. aeruginosa, methicillin-resistant S. aureus were treated individually with Silver Water Dispersion™ and nineteen commonly used antibiotics. Further synergistic activity of Silver Water Dispersion™ was studied by the group using it in a combination with individual antibiotics. MICs of Silver Water Dispersion™ and the antibiotic solutions were determined using the macro-dilution test. The agar cup method was used for zone of inhibition studies using individual antibiotics and synergistic combinations. MIC of Silver water dispersion™ Solution was found to be in the range of  $2-17$  ppm, the lowest inhibitory concentration was against S. typhi. The highest inhibitory concentration was found to be against B. subtilis. Total 96 tests were conducted to determine the synergistic activity of Silver Water Dispersion™ in combination with other standard antibiotics. Five combinations were found to be synergistic- with amakacin, cefoperazone, ceftizidine (against MDR—E. coli and P. aeruginosa) and kanamycine, 89 were additive, and two were antagonistic—with amoxicillin and oxacillin.

Microbicidal activity of ASAP nano-silver solution was reported by Bhat et al. against drug-resistant pathogens—bacteria and Candida. The resistant bacteria studied included Methicillin-resistant Staphylococcus aureus (MRSA), Vancomycin-resistant Enterococcus faecalis, drug-resistant Escherichia coli, ESBL (extended-spectrum β-lactamase) producing Klebsiella pneumoniae, drugresistant Pseudomonas aeruginosa, Salmonella typhi, and Shigella flexneri were isolated from clinical samples. The inhibitory and microbicidal effects of ASAP were determined by broth dilution and suspension test. S. aureus ATCC 25923 and E. coli ATCC 25922 were used as controls. Bacteria were found to be more susceptible than Candida. It was observed that an exposure time of around 30–60 min would kill bacteria while C. albicans was killed after 120 min of exposure to ASAP (Bhat et al. [2009\)](#page-393-0).

In another study by Revelli et al., the activity of 10 ppm SilverSol<sup>®</sup> was compared with 5 antibiotics—Erythromycin, Ofloxacin, Tetracycline, Penicillin and Cefaperazone. MIC was also determined against Streptococcus pyogenes (ATCC 19615), Streptococcus gordonii (ATCC 10558), Escherichia coli O157: H7 (ATCC 43895), Streptococcus mutans (ATCC 25175), Streptococcus pneumoniae (ATCC 6303), E. coli (S.E. 163 Luria Strain B ATCC 11303), Klebsiella pneumoniae (ATCC 13883), S. typhimurium (ATCC 14028), Enterobacter aerogenes (ATCC 13048), P. aeruginosa (ATCC 27853), Streptococcus faecalis, Shigella boydii, Staphylococcus aureus, Klebsiella oxytoca, Salmonella enterica subsp. arizonae and, *Enterobacter cloacae*. MIC for the majority of gram-negative organisms tested was found to be 2.5 ppm and for P. aeruginosa, Shigella boydii, and K. oxytoca showed lower MICs  $(1.67$  ppm,  $2.19$  ppm,  $1.25$  ppm, respectively) (Revelli et al. [2011\)](#page-395-0).

Recent collaborative studies conducted at Texas Tech University have investigated the antibacterial activity of SilverSol® Gel (Ag-gel). The group investigated the inhibitory activity of Ag-gel against bacteria causing tooth decay and plaque formation (Tran et al. [2019](#page-395-0)). The activity of Ag-gel was first tested against individual bacteria and colony forming units (CFU) were monitored. the effect of Ag-gel on biofilm formation was determined by placing suspensions of these bacteria (approximately  $4 \times 10^2$  CFU—colony forming units of each) on a 6 mm paper disc. Ag-gel



Fig. 2 Kill Time Curve for SilverSTAT® versus Silver Sulfadiazine Cream

0.5 gm was applied on the inoculated discs which were then placed on the growth medium in plates. Micro-aerobic conditions were generated using EZ GasPak in a jar in which the plates were incubated at 37  $\degree$ C for 24 h. Biofilm formation was monitored by scanning electron microscopy. In the CFU assay, Ag-gel inhibited 100% growth of all the test bacteria, as against in the placebo gel and in the blank cellulose disc wherein bacterial growth was seen to be as high as 6 logs. In the biofilm formation assay, S. salivarius, S. sanguis, S. mutans and the mixture of all three strains developed microcolonies on the cellulose discs indicating the formation of typical biofilms. In presence of Ag-gel, no such biofilm formation was seen.

In another study done by the group, synergistic action of silver colloidal gel (Ag-gel) with 5% Betadine solutions was determined against both Gram negative and Gram-positive bacteria. The experiments were carried out using colony forming unit assay, and confocal laser scanning microscopy. Ag-gel alone inhibited the growth of all the bacteria, except Klebsiella pneumoniae CI strain. On the contrary, bactericidal activity was not seen when 5% Betadine was used alone. Interestingly, Ag-gel in combination with 5% Betadine solution, completely eliminated even *K. pneumoniae* (Tran et al. [2020](#page-395-0)).

SilvrSTAT<sup>®</sup> 32 PPM hydrogel—one of the products of SilverSol<sup>®</sup> used as an antibacterial wound dressing gel has shown significant bactericidal effect as seen in the comparative kill curves with currently available silver products approved for use in wound management. In-vitro comparison of kill curves for SilvrSTAT® (32 ppm silver) exemplify clinical relevance of SilvrSTAT<sup>®</sup> over silver sulfadiazine cream (10,000 ppm), for eradication of MDR microbes in infected wounds (Fig. 2)—Data on file at ABL.

## 2.4.2 Clinical Efficacy of SilverSol<sup>®</sup>

Multidimensional SilverSol® products have demonstrated clinical effects in numerous ailments, besides wound healing. This section gives an overview of these studies.

In 4 various hospitals, about 120 studies have been conducted in patients with various illnesses viz. eye infections, upper respiratory tract infections, retroviral infections, malaria, external cuts, abdominal pain and diarrhoea, urinary tract infections, sore throats, bronchitis, vaginal yeast infections, ear infections, gonorrhoea, pelvic inflammatory disease, various mouth problems, etc. Almost all the patients treated orally with 10 ppm SilverSol® recovered within 1 week (Data on file at ABL).

In Ghana, West Africa studies were conducted at three hospitals by Dr. Kwabiah, Dr. Sackey, Dr. Abraham at Air Force Station Hospital, Korie-Bu Teaching Hospital and at the Justab Clinic/Maternity respectively. Fifty-eight patients were treated by their attending physicians in respective hospitals using ASAP 10 ppm Solution as an alternative to antibiotics both as an oral and topical application depending upon the illness. Orally the dosages given were 5–10 ml twice or thrice a day for infections including malaria, HIV, fungal skin infections, upper respiratory and urinary tract infections, peptic ulcers, infectious abscesses, sore throat and pelvic inflammation. In case of halitosis and gingivitis it was used as a mouth wash, and in vaginal yeast infection as a douche. ASAP drops were used for eye infections such as conjunctivitis, ear infections viz. otitis media and upper respiratory tract infections such as sinusitis and rhinitis. Within 7 days all the patients showed a complete recovery. The details of all these studies are deposited as ABL's proprietary records and we are not describing these studies here in detail as the focus of the article is wound healing.

SilverSol<sup>®</sup> products, 10 ppm and 30 ppm SilverSol<sup>®</sup> liquid as well as the 32 ppm SilvrSTAT™ Hydrogel, have been used effectively in dental surgeries (e.g. extractions, bone grafts, Guided Tissue Regeneration, Periodontal, Laser, Dental Implant and Endodontic surgeries). A profound ability of these products has been demonstrated by Willoughby AJM in treating oral infections and speeding up wound healing without negatively impacting the oral microbiome (and probiotic bacteria) or gut health. The readers can visit [www.ddsource.com](http://www.ddsource.com) to for specific dental protocols and learn more. Some of the data has been given in a separate Sect. [3.6.8](#page-390-0) below.

# 2.5 Bioavailability and Pharmacodynamics of SilverSol<sup>®</sup>

After an oral administration of SilverSol®, its concentration in urine and blood was measured by Munger et al. in a study conducted in healthy volunteers (Munger et al. [2014\)](#page-394-0). In this study, healthy volunteers were given 15 ml of 10 ppm and 32 ppm SilverSol<sup>®</sup> for 14 days. Blood and urine were collected on the 14 day at  $\leq$ 2 h of ingestion of the last dose. In the group receiving a 10 ppm dose, blood samples were also collected at  $\geq$ 24 h. after ingestion of the last dose on the third and seventh day of administration. Silver concentration was measured by using ICP-MS. In the 10 ppm dosing group, 42% of the subjects showed peak silver concentration (mean concentration 1.6  $\pm$  0.4 μg/L) in the serum at the end of 14 days. In the group receiving the higher dose –32 ppm, 92% of the subjects showed peak silver levels in the serum with the mean value  $6.8 \pm 4.5 \,\mu g/L$ . There was no silver detected in the urine irrespective of time and dose.

As to the drug interaction, SilverSol<sup>®</sup> does not interfere with most of the other pharmaceutical compounds but works synergistically with medications. If taken in conjunction with antibiotics, it will work synergistically to increase the antibiotic effectiveness by tenfold (Sellman [2010\)](#page-395-0). Importantly, its antibacterial action does not affect the beneficial gut flora. The nano-silver particles in SilverSol® do not interfere with the hydrochloric acid production in the stomach. They do not fall out of suspension and hence do not accumulate in the tissues or the skin reducing risk of developing Argyria, (i.e., blue man syndrome) (Roy et al. [2007\)](#page-395-0).

# 2.6 SilverSol<sup>®</sup>: Regulatory Status and Products

Different forms of silver nano products viz. silver citrate, lactate, proteinate and nitrate have been listed in pharmacopoeias and formularies around the world. The old British National Formulary included a silver nitrate lotion, but it was removed from the 1993 issue (BNF [1993](#page-393-0)). and only silver sulphadiazine came thereafter. Cream of silver sulphadiazine and ophthalmic solution of silver nitrate have been included in The USP XXII (USP [1990\)](#page-396-0). Other silver products such as silver metal, silver protein, silver acetate, silver nitrate, and silver sulphadiazine have been mentioned in Martindale, The Extra Pharmacopoeia (Martindale [1993\)](#page-394-0).

In the case of SilverSol<sup>®</sup> products, several approvals from various regulatory agencies in the US, India and Canada have been received by ABL and VBPL. Product licence for Silver Biotics Antimicrobial Wound Cream and Silver Biotics Antimicrobial Hand & Body Wound Lotion has been given in Canada. Similar products for dental applications have been available under the brand names OraSIL™ and CuraSIL®. US FDA has given marketing approvals to various SilverSol® products viz. ASAP OTC® Wound Dressing Gel, SilvrSTAT® Antibacterial Wound Dressing Gel, AGRX Wound Wash Antibacterial Silver Skin and Wound Cleanser (Prescription), and AGX Wound Wash Antibacterial Skin and Wound Cleanser (Over the Counter). The FDA has recently approved the SilverSol<sup>®</sup> gel as a prescription medicine for its use in the management of diverse wounds viz. caused by first and second degree burns, abrasions, lacerations, diabetic ulcers, skin, tears, and surgical wounds (Sellman [2010](#page-395-0)). In India, VBPL has been granted product licenses by FDA; for Colloidal Silver Solution 10 ppm, Amorphous hydrogel with 32 ppm colloidal silver, Amorphous hydrogel Wound dressing with 32 ppm colloidal silver and Colloidal Silver Solution 40 ppm. In 1991, the USEPA established an oral reference dose of 0.005 mg/kg/day for silver. According to this recommendation, in the case of SilverSol® the daily intake limit of silver, for an average size adult, would be about an ounce/day of a 10-ppm product.

Considering the wider application of SilverSol<sup>®</sup>, a large number of products in gel or liquid form with different strengths are being produced and marketed by ABL. The main treatment categories where SilverSol® can be used, are immune support, skin and wound care, and oral care. These products are prepared without any artificial ingredients, dyes or flavours, preservatives or additives and are gluten free, 100% vegetarian and probiotic friendly.

#### 3 Wound Healing

## 3.1 Global Challenge of Wound Healing

Would healing, controlled by several biological and molecular events, is a complex physiological cascaded process of damage repair involving three major phases viz. (1) cell migration and proliferation (2) extracellular matrix deposition and (3) remodeling. This normal course of healing may get impaired or delayed in certain pathophysiological and metabolic conditions viz. uncontrolled diabetes, diabetic neuropathy and vascular diseases resulting in the formation of chronic non-healing wounds (Mustoe et al. [2006](#page-394-0)). Infection of such wounds; especially with drug resistant microorganisms further complicates the management. Such situations often pose a therapeutic challenge to a medical practitioner.

Chronic wounds, due to prolonged morbidity pose a humanitarian and economic burden both at the individual and at the national level. Foot ulcers are common in diabetes (around 15–25%) (Marston [2006](#page-394-0)) which can become chronic and nonhealing due to complications of diabetes leading to a need of amputation of lower extremities in 12% of them (Greer et al. [2013](#page-393-0)). The costs involved with the healing of an ulcer can be up to US\$45,000 (Paquette et al. [2002\)](#page-394-0). In addition, the detrimental consequences on the patient's quality of life because of diminished mobility and significant loss of productivity will have a socioeconomic impact. In addition, acute and emergency wound care resulted through trauma, surgery and burns requires several procedures and high cost. In developed countries, the treatment cost of chronic wounds has been estimated to be 1–3% or even more of the total health care expenditure (Olsson et al. [2019\)](#page-394-0). The prevalence of chronic wounds was reported to be 6% in 2016 in Wales, UK, which resulted in to about 5.5% cost to the National Health Services (NHS) (Phillips et al. [2016](#page-395-0)). Worldwide, the annual average cost for wound care has been reported to be around \$2.8 billion in 2014 and it is estimated to rise up to \$3.5 billion by 2021 (Settipalli [2015\)](#page-395-0). Skin scarring is an additional burden implicated in wound healing, which can bring about an annual cost of US\$12 billion.

Overall, wound healing is a great challenge both in case of handling acute emergencies and managing chronic non-healing wounds. Healthcare professionals

and patients look for novel medicine, medical devices, and newer treatment modalities, that can offer better treatment options to improve healing rates, minimizing complications and reduce hospital stays. This has created great attention for both the scientific fraternity and the commercial enterprises. The current market of wound healing products surpasses US\$15 billion annually and the amount spent per year for handling wound scarring is about US\$12 billion (Sen et al. [2009\)](#page-395-0). Innovative biotechnology-based treatment procedures and medical devices are being developed for improved wound management. The SilverSol® products for wound healing, developed using advanced technology are at the top of the list, scientifically addressing various aspects of wound healing viz. safety, efficacy, faster healing and reducing morbidity.

## 3.2 Historical Aspect of Wound Healing

Wounds and their management have been a part of human existence since the time man first arrived on earth. It is interesting to examine the methods and preparations used to heal wounds over the centuries. One of the oldest records of wound management—the Smith Papyrus, was discovered by Dr. Edwin Smith—a wellknown scholar in 1862. The writings (discovered by Smith) date back to around 2600 BC and cover many aspects of patients' care. It describes the cleaning and suturing of wounds, the use of antiseptics, adhesives such as acacia gum and resins, and bandaging. The resin coated bandages that were used to wrap mummies were used for wound dressing. Over thousands of years, a variety of materials have been used for wound healing such as spider webs, dung, various species of animal and insects, vinegar, beer, wine, honey, leaves and tree bark. The first description of 'three healing gestures"—washing the wounds, making the plasters, and bandaging the wound was found to be recorded on mud tablets during 2200 BC (Ackerknech [1982;](#page-393-0) Richard [1991;](#page-395-0) Yardley [1998](#page-396-0)). An interesting description of using a bandage for wound healing was found in Mesopotamian culture. It states that "mix in milk and beer (the bandage) in a small copper pan; spread on the skin; bind on him (on patient's wound), and he shall recover" (Farrar and Krosnick [1991](#page-393-0)). Egyptians used adhesive bandages which contained honey and grease for the protection of wounds from infection and vegetable fibres' lint to aid drainage of the wound. Honey has been used for thousands of years and is still a part of many advanced wound dressings. Even in India, a long before the birth of Christ, honey was used for wound care. Greek Physician Hippocrates practiced 3 measures for wound healing— (1) cleaning and drying the wound edges (2) bringing wound edges as close as possible to accelerate healing and (3) applying warm or cold wine as an antiseptic (Farrar and Krosnick [1991;](#page-393-0) Brown [1992;](#page-393-0) Yardley [1998](#page-396-0)). This description interestingly covers the modern concept of 'The TIME' in wound management (vide infra).

# 3.3 Challenges in Wound Healing: Current Practices and Novel Approaches

A wound, whether minor or major causes a lot of suffering to the patient due to pain, swelling and inflammation. It can cause temporary disability to prolong immobility and affect the overall quality of life. However, inflammation of the wound, the first response of the body to an injury, induces migration of various polymorphic mononuclear blood cells and monocytes to the site of injury to remove cell debris and bacteria by phagocytosis. This initial inflammation is needed for initiating the proliferative phase of the damage-repair cascade. Under normal physiological conditions, the body follows the damage repair cascade— $(1)$  cell migration and proliferation (2) extracellular matrix deposition and (3) remodeling, which leads to wound healing. Under such normal physiological condition, therapeutic management of wounds includes supportive drug/non-drug modalities to enhance the natural process. Various wound dressings and treatments have been evolved considerably to handle such conditions. However, in case of impaired physiological condition as in diabetes, or for severe wounds, their management is a foremost challenge. Wounds tend to become chronic, infectious and non-healing in patients with co-morbidities (vide supra). Such complex wounds that include lacerations, diabetic-, pressure-, and venous- ulcers, infectious third-degree burns require a systematic management strategy, simultaneously addressing inflammation, infection and impaired physiological process.

The inflammation phase is a part of a wound healing process at the initial stage of an injury (vide supra). However, prolonged inflammation can lead to tissue damage and hamper the natural healing process. Infection of wounds is a major concern that complicates wound management. Especially wounds that take longer to heal are more prone to infections. Skin is a natural habitat for common bacteria such as Staphylococcus epidermidis, and various other species viz. Staphylococcus and Corynebacterium, Brevibacterium, Proprionibacterium acnes, Pityrosporum, hence, serves as a potential source of wound contamination (Bowler et al. [2001b;](#page-393-0) Broughton et al. [2006;](#page-393-0) Schreml et al. [2010](#page-395-0)). Normally wounds can heal in presence of these bacteria, but colonization of bacteria in slow healing wounds may hamper the healing process. Cell debris and local hypoxia at the site of the wound promote bacterial colonization and subsequent chronic infection. Colonization by drugresistant bacteria viz. MRSA and VRE further complicate wound healing. Proliferating bacteria at the site of the wound penetrate deeper healthy tissue resulting in tissue damage and uncontrolled inflammation, leading to severe wound.  $\beta$ haemolytic Streptococcus pyogenes and Streptococcus agalactiae, Staphylococcus aureus, Proteus, Klebsiella, Pseudomonas, Escherichia coli, Stenotrophomonas, Acinetobacter, and Xanthomonas are common pathogens that can infect wounds (Bowler et al. [2001a;](#page-393-0) Ovington [2003](#page-394-0)).

Prolonged inflammation and severe infection of wounds affect the normal wound healing process. Infections reduce the essential growth factors and degrade fibrin that required for natural healing. Moreover, prolonged, and uncontrolled inflammation

induces tissue damage, supports bacterial growth and collectively hampers the healing process. Biofilms formed by colonizing bacteria further create a hypoxic environment that damages tissues, supports bacterial growth and hampers fibroblast proliferation and collagen production required for the natural wound healing process. These factors collectively cause wound complications (Anderson and Hamm [2012;](#page-393-0) Okur et al. [2020](#page-394-0)). Besides these factors, other co-morbidities such as immune suppression and smoking have a negative influence on the wound healing process.

With the advancement of science and technology wound repair has become more organized through a holistic approach involving wound factors, local tissue factors, patient factors, and environmental factors. Increasing knowledge of the mechanisms of wound healing and advancement of technology has led to the expansion of superior wound healing modalities, such as hyperbaric oxygen therapy, bioengineered skin and tissue equivalents and negative pressure wound therapy (Wu et al. [2010\)](#page-396-0). The details of such advancements are covered by others in this book. However, the authors would like to mention here that the 'The TIME' concept is now considered to be an essential Wound Care Process. It covers 4 important measures of wound healing namely T—Tissue, Removal of devitalized tissue, I— Inflammation/Infection and its prevention  $\&$  control, M—Moisture Management and **E**—Edge Protection. SilverSol<sup>®</sup> wound healing products made from silver NPs address all these aspects of 'The TIME' concept.

## 3.4 Silver in Wound Healing

Hippocrates (400 BC) mentioned in his medical writing, beneficial effects of silver in healing and in disease-alleviating properties. He highlighted an ability of silver in tissue repair and wound healing and applied silver preparations for the treatment of ulcers. Marion Sims; an American physician in 1852, who was a pioneer in the field of surgery and known as the "father of modern gynaecology" used fine silver wires to close the fistulas after surgical repair of vesico-vaginal fistula. He also employed silver catheters for urinary diversion until complete healing of repairs (Sims [1884\)](#page-395-0). Later, Halsted, an American surgeon, who stressed upon the strict sterile practices during surgical methods, treated wound infections using silver foil (Hill and Pillsbury [1939](#page-394-0)). In 1520 the Swiss physician Paracelsus used Silver nitrate as a medication for wounds,' both for an internal therapy and for a topical application (Alexander [2009\)](#page-393-0). Later during the period of 1800–1900 silver nitrate was effectively used to treat complicated wounds like skin ulcers, compound fractures, and oozing wounds. Crusius treated burn injuries with silver nitrate in the 1890s (Alexander [2009\)](#page-393-0). The first record of applying colloidal silver on the wound as an antiseptic was found in 1891 (Grier [1968](#page-393-0)). Roe in 1915, an ophthalmic surgeon used successfully colloidal silver to treat infected corneal ulcers (Roe [1915](#page-395-0)).

Though the knowledge of using silver in wound healing is age-old, efforts to develop newer forms of silver for optimizing delivery, efficacy and safety are still on even today. SilverSol® qualifies all these aspects to be a successful medicinal product.

# 3.5 SilverSol<sup>®</sup> in Wound Healing

 $\text{SilverSol}^{\otimes}$  is a breakthrough among the currently available advanced wound-healing technologies. It offers a next generation therapy for the treatment and management of severe, chronic and infectious wounds that are difficult to manage. The clinical efficacy of SilverSol® products has been proven in wounds of varied etiology such as acute and traumatic wounds, lacerations, diabetic-, pressure-, and venous- ulcers, infectious wounds, third degree burns, MRSA and VRE infected wounds. It is among the few nano-silver technologies which have received FDA approvals for various formulations for wound healing. Various formulations manufactured using the SilverSol<sup>®</sup> technology are available under brand names such as Armor Gel<sup>TM</sup>, ASAP OTC™ Wound dressing gel, AGRX Wound Wash, Antibacterial Silver Skin and Wound Cleanser (Prescription), and AGX Wound Wash, Antibacterial Skin and Wound Cleanser (Over the Counter). SilvrStat®, Megaheal, Hyrdoheal, and Silverex Heal are some of the approved prescription varieties of the SilverSol<sup>®</sup> gel available for the treatment of lacerations, first- and second-degree burns, diabetic ulcers, skin tears, abrasions, various surgical wounds, and MRSA and VRE infected wounds.

# 3.6 SilverSol®: Mechanisms of Action

SilverSol® consists of metalic nano-silver with a silver oxide coating. Being metallic in nature, it acts through quite a different mechanism as compared to that of ionic silver. Various silver products irrespective of the form of silver present in them, require ionization of the metallic silver for their antimicrobial activity. The highly reactive Positively charged silver ions (Ag+) are highly reactive and kill microbes by binding to proteins, DNA, RNA, and chloride ions of microbes which are negatively charged. These ionic silvers can steal 1 electron, however, the SilverSol® metallic nano-silver has the ability to steal multiple electrons.

Conventional silver products hence (ionic form) impart their effect through the direct contact with microbes—chemical reaction. SilverSol® technology works by catalytic action, which allows the silver NP to first destroy the pathogens and then instantly recharge and "kill" continually—like a rapid-fire machine gun (Sellman  $2010$ ). This makes SilverSol<sup>®</sup> incredibly powerful, destroying pathogens thousands of times more effectively than a simple colloidal or ionic silver. This explains why other silver solutions/suspensions need to be used at concentrations up to 300,000 ppm of silver, while SilverSol® performs effectively even at 5–30 ppm. In addition, ionic silver can also bind to negatively charged particles viz. proteins and chlorides in the wound bed fluid, reducing the bioavailability of ionic silver. Hence the high concentration of silver will have to be used for maintaining prolonged activity.

It is also known that microbes develop resistance to ionic silver but not to metallic silver by sequestering silver in its more innocuous state—ionic or sulphide form and thereby detoxify the silver. However, as reported by Revelii et al., silver present in SilverSol® being metallic, accumulates in most bacterial cells in a quite different form—as small particles seen by PSI technique (vide supra) (Revelli et al. [2011\)](#page-395-0). Secondly, each silver NP in SilverSol<sup>®</sup> remains always embedded with a resonant frequency, which allows the particles to have a continuous impact on things, without direct contact with them. Moreover, the particles also have an electrostatic charge that adds to its effect.

The unique wound healing action of SilverSol<sup>®</sup> has also been attributed to its stimulatory effect on stem cell regeneration (Sellman [2010\)](#page-395-0). The two properties of metallic silver viz. high conductivity and bactericidal action impart the overall efficacy to SilverSol<sup>®</sup>. In addition, SilverSol<sup>®</sup> has been shown to be non-toxic to healthy cells in cytotoxicity experiments in vitro. This has an added advantage as local application of SilverSol<sup>®</sup> as the wound site will not have any damaging effect on healthy tissue.

 $\text{SilverSol}^{\circledR}$  is effective in wound healing. It exerts effects of silver at 3 levels namely, prevention or clearing of infections, improving healing process, and controlling inflammation. This ultimately speeds up the wound healing and reduces pain leading to a positive clinical outcome. SilverSol® has shown promising clinical efficacy in infectious wounds through its remarkable bactericidal activity even against MRSA and VRE. Several case studies demonstrating the effect of SilverSol<sup>®</sup> in the treatment of wounds of varied etiology and severity are discussed in the following section.

# 3.7 Effect of SilverSol® in Wound Healing: Case Studies

This section describes various case studies to illustrate the efficacy of various SilverSol® branded products (ARMOR GEL™, MEGAHEAL, ASAP OTC, SilvrSTAT®, Silver Biotics Pet Vet Veterinary Gel) used for the treatment of various skin infections, and wounds of varied etiology including mild cuts, lacerations, first and second degree burns, pressure ulcers, traumatic wounds, and chronic wounds. In addition, SilverSol<sup>®</sup> in a gel form, wound wash and dressing has been used in tens of thousands of patients undergoing dental procedures. The wound healing potential of these SilverSol<sup>®</sup> products viz. wash solution ASAP<sup>TM</sup> (10 ppm) and a gel SilvrSTAT<sup>TM</sup> (32 ppm) was evident through the remarkable recovery in these patients. A separate subsection is dedicated to summarizing effects in a large number

of cases undergoing dental procedures. It opens another avenue for wound healing in oral care and focal infections (vide infra).

# 3.7.1 Use of SilverSol® in Mild to Moderate Wounds

Case 1 A 11-year-old female got a large abrasion on the elbow and hip due to a scooter accident. After thorough washing of wounds with water, ARMOR GEL™ was applied to the affected area once for the first 2 days, and every 2 days after that. Wounds were covered with bandages, which were changed and each time the ARMOR GEL™ was reapplied. The bandages were discontinued after day 7, but the ARMOR GEL™ was still applied once daily up to day 10. A complete recovery was seen by day 12 (Figs. 3 and 4).

Case 2 A 22-month-old male child got a deep injury on the forehead. The wound was approx. 2 cm. long and 0.75 cm deep. It was cleaned and sutured at the hospital. Bacitracin was applied to the wound and was protected with a bandage. After returning home, ARMOR GEL™ was applied instead of bacitracin. The gel was then reapplied with the bandage change—1–4 times in a day (a repeated application was needed as being small, the child tended to remove the bandage). A bandage and ARMOR GEL™ were continued till day 9 after removing the sutures by a physician on day 5. Complete healing was achieved by day 9 (Fig. [5\)](#page-377-0).



Fig. 3 CASE 1



Fig. 4 CASE 1

<span id="page-377-0"></span>

Fig. 5 CASE 2



Fig. 6 CASE 4

## 3.7.2 Use of SilverSol® in Superficial Pellet Wounds

Case 3 (Published) SilverSol® product Megaheal amorphous hydrogel was used for multiple pellet wounds. A 35-year-old male patient was injured accidentaly by shotgun. The wounds caused by multiple pellets were superficial and had not damaged bones and distal neurovascular status been intact. Musculoskeletal injuries were significant. Supportive treatment was given along with broad spectrum antibiotics and anti-tetanus therapy. After washing the wounds with saline, Megaheal was applied thrice a day which was followed by dressing. Patient followed every fifth day, showed gradual healing of the wounds and painless shading of remnants pellet. A complete healing was achieved by 2 weeks. The detailed case report is available as a published paper (Dharmshaktu et al. [2016\)](#page-393-0).

# 3.7.3 Use of SilverSol® in Surgical Wounds

**Case 4** A 63-year-old female had multiple surgical wounds. ARMOR GEL<sup>TM</sup> was applied to the wounds and were covered with bandages. It was reapplied 5 times daily every time with the new dressing. No other treatment or products were used for wound healing. Pictures of the wounds were taken on day 1 and day 28 (Fig. 6).



Fig. 7 CASE 5



Fig. 8 CASE 6

Case 5 A 52-year-old diabetic male undertook the voluntary corrective repair of hallux valgus and pre-dislocated second metatarsophalangeal joint (MPJ) right foot. The Silver hydrogel was applied to sutures immediately after surgery and every third day throughout the post-surgical care. The patient's sutures were removed on day 18. There was no indication of dehiscence/pull out or any sign of infection (Fig. 7).

Case 6 A 56-year-old female patient underwent plantar digital neuroplastic surgery to her third interspace right foot. The Silver hydrogel was used after surgery with dressing which was changed every third day till the  $15<sup>th</sup>$  day. On day 15 sutures were removed. There was no pull-out, dehiscence or infection seen (Fig. 8).

Case 7 A 72-year-old male diabetic patient had to go for surgical resection of his fourth metatarsal head which was secondary to acute osteomyelitis. After surgery on the day 3 silver hydrogel was applied to the surgical wound with the change of dressing. Thereafter on every third day repeated dressing with hydrogel application was continued for 21 days. The wound healed completely by day 21 (Fig. [9](#page-379-0)).

Case 8 (Published) A 34-year-old female is a case of drug abused (IV Dilaudid injection) who developed an abscess to her right foot. Incision and drainage procedure (I&D) was urgently needed. After I&D, SilvrStat<sup>®</sup> was applied for 4 days. The dressing was changed weekly thereafter till the coaptation of tissue. Sutures were removed on day 21 without signs of infection, dehiscence or pull out (Lullove and Bernstein [2015](#page-394-0)).

<span id="page-379-0"></span>

Fig. 9 CASE 7



Fig. 10 CASE 9

Case 9 A 38-year-old female had a brown-recluse spider bite at the right medial lower leg. The wound so caused was irrigated and an ovine forestomach dermal template was used to obtained wound closure along with silver hydrogel application and collagen dressing. At the end of 6 weeks, there was no evidence of cytotoxicity with the collagen dressing or recurrence of infection. The wound healed completely thereafter (Fig. 10).

Case 10 Surgical wound with MRSA infection—A female patient after mastectomy got her wound infected with MRSA. Silver Sol gel was applied 4 times a day for 5 weeks. A series of photographs reveal gradual healing and complete resolution in 5 weeks (Fig. [11\)](#page-380-0).

## 3.7.4 Use of SilverSol® for Skin Diseases

Case 11 A 5-year-old child suffering from eczema involving the bottom sides, and toes of the right foot. He was treated with the repeated application ARMOR GEL™ 24 ppm 2–3 times a day. On each application of ARMOR gel, after it could absorb, the Silver Biotics Skin Cream was applied over. After the open cracks and wounds

<span id="page-380-0"></span>

Fig. 11 CASE 10



Fig. 12 CASE 11

healed, only the skin cream was used daily. There was complete healing of eczema without any sacring by day 21 (Fig. 12).

Case 12 A 10-year-old female suffering from ringworm infection with inflamed and painful skin was treated with ARMOR GEL™ local application twice a day and the affected area was left uncovered. The infection got completely cured by day 10 (Fig. [13](#page-381-0)).

## 3.7.5 Use of SilverSol® in Burns

Case 13 A 62-year-old male got a wound because of the spillage of hot grease onto the foot. The patient had diabetes and had undergone a liver and kidney transplant. The wound was covered with a bandage after the application of ASAP OTC and ARMOR GEL™ (24 ppm) hydrogel-wound dressing at the hospital. The ASAP OTC was then reapplied every day at the time of bandage change for 30 days. Thereafter only ASAP OTC was used on the wound which was completely healed by day 128 (Fig. [14](#page-382-0)).

<span id="page-381-0"></span>

Fig. 13 CASE 12

Case 14 A 1.5-year-old female child burnt her hand on a stove burner. The patient was hospitalized for 3 days and treated by a physician for severe second degree burns. After being discharged, ARMOR GEL™ (24 ppm hydrogel wound dressing) was used topically whenever the dressings were changed. Skin grafts were suggested by the physician but were put on hold. At the physician's direction, the product used was switched from ARMOR GEL™ to the prescription version SilvrSTAT<sup>®</sup> from around day 17. The wounds were healed completely by day 105 (Fig. [15](#page-382-0)).

Case 15 A 62-year-old male underwent a laser procedure that was done for hyperpigmentation. His hand got burnt during the procedure. The patient's wound was cleaned after the examination by a physician. The wound was covered with a bandage after the application of ASAP OTC/ARMOR GEL™ (24 ppm hydrogel wound dressing). The bandage was changed once a day with the application of ASAP every time until day 8. After day 8, only ASAP OTC was used on the wound, and it was applied 3–4 times per day. Complete healing was seen by day 27 (Fig. [16\)](#page-383-0).

Case 16 An 88-year-old woman had complex wounds due to burns which was needed skin implantation. But the skin grafting was not successful due to her age and

<span id="page-382-0"></span>

Fig. 14 CASE 13



Fig. 15 CASE 14

<span id="page-383-0"></span>

Fig. 16 CASE 15



Fig. 17 CASE 16

compromised immunity. The patient recovered completely as the wound healed by 67 days of treatment with  $SivrSTAT^{\circledR}$  (Fig. 17).

# 3.7.6 Use of SilverSol® for Complicated Wounds

This section covers several complicated cases with traumatic laceration, diabetic wounds, infectious wounds (MRSA), various ulcers due to diabetes or vasculopathies, pressure ulcers (bed sores) etc. Several cases have shown recovery



Day 1 4.2 x 4.0 x 0.4 cm **Day 15** 

**Day 45** 

Fig. 18 CASE 17

from ulcers with various severity when treated with SilverSol® products. Some representative cases have been shown below.

Case 17 Diabetic wound infected with MRSA—A 71-year-old male diabetic patient with peripheral artery disease and past medical record of having sensory neuropathy, hypertension and dyslipidaemia. He was suffering from a complex wound located at the lateral left hallux extending to the dorsal left foot, secondary to his co-morbid conditions. Wound cultures were done which showed intense growth of MRSA along with Proteus vulgaris and Enterobacter cloacae. The wound was cleaned with debridement weekly and SilverSTAT® was applied daily with Adaptec dressing. A complete wound resolution was seen at the final evaluation on day 45 (Fig. 18).

Case 18 Diabetic wound infected with MRSA—A 70-year-old diabetic patient with an amputated limb suffered from a chronic wound. After amputation, the prosthetic device could not be fitted for 1 year due to the non-healing wound that further became complicated with MRSA infection. On the use of SilvrSTAT®, the wound healed completely within 4 months, and the patient became well enough for prosthetic fitting (Fig. [19\)](#page-385-0).

Case 19 Traumatic serious laceration—A 47-year-old healthy male got a traumatic laceration about 2.5-inch-long to the eye and forehead. The orbital bone was broken, and a serious hematoma developed on both eyelids and the bridge of the nose. The wound was cleaned and closed by suturing that required eighteen stitches over the

<span id="page-385-0"></span>





Fig. 20 CASE 19

eye and ten at the forehead. SilverSol® liquid was given orally two teaspoons twice a day and SilverSol® gel was applied topically 4 times a day. Pictures were taken at the hospital immediately after suturing and every day thereafter. Complete healing occurred by day 7 (Fig. 20).



1.3 x 1.5 x 0.3 cm

### Fig. 21 CASE 20

Case 20 Chronic Diabetic Ulcer A 70-year-old female patient presented with a wound that had not healed for 13 months. The wound was located at plantar medial right foot hallux. Medical history included diabetes; rheumatoid arthritis; hypertension and end stage renal disease. Treatment by debridement every 2 weeks and daily application of Silver $\text{STAT}^{\textcircled{D}}$  with Adaptic dressing brought about complete resolution of the wound by the final evaluation on day 52 (Fig. 21).

### Case 21 and 22: Chronic Diabetic and Pressure Ulcers

ASAP wound dressing was used in 2 cases one having diabetic foot ulcers and the other having chronic pressure ulcer for 6 months. Both the cases were treated with SilverSol<sup>®</sup> and they showed recovery by 65 days and  $3\frac{1}{2}$  months of treatment respectively (Fig. [22\)](#page-387-0).

### Case 23: Bullous Pemphigoid MRSA Infected Wound

A 48-year-old woman having MRSA infection with Bollous Pemphigoid (autoimmune) complication was treated with SilverSol<sup>®</sup>. Oral administration of two table spoons twice a day of SilverSol® liquid was prescribed. The SilverSol® gel was given for topical application once a day at the time of bandage change. The treatment led to a reduction in MRSA infection and autoimmune attacks on tissues. Wound epithelialization was seen after 10 days of treatment (Fig. [23\)](#page-387-0).

Case 24: Diabetic Ulcer A 70-year-old diabetic female patient with sensory neuropathy developed ulceration. Earlier history indicated suffering from rigid bunion deformity, end stage renal disease, hypertension, and dyslipidaemia.

The patient was diagnosed having chronic neuropathic clinical infection Wagner grade 2, IDSA-no (Infectious Diseases Society of America) associated with the ulcer located at plantar medial right foot first metatarsophalangeal joint. Wound cultures report indicated moderate infection with Oxacillin-susceptible S. aureus and light

#### <span id="page-387-0"></span>Diabetic foot ulcer



30 June 2008







4 July 2008 18 July 2008 August 2008

*Pressure ulcer* **-** present for 6 months prior to using *Silver Sol gel*



Fig. 22 CASE 21, 22





11 Sept 2008 13 Oct 2008 10 November 2008 2 January 2009





Fig. 23 CASE 23

growth of gram-negative rods. The patient's treatment included debridement and cleaning of the wound every 2 weeks. SilverSTAT® application with adaptic dressing was done daily. A Complete wound resolution occurred by the final evaluation on day 55 (Fig. [24](#page-388-0)).

Case 25 (published) A 33-year-old patient was seen in the hospital for an infected right great toe ulceration. The patient had the previous history of diabetic foot infections and this was the third occurrence on the same foot. The Patient encountered extreme pain of his right foot when he was brought to the hospital. Investigations revealed a 2.0 cm diameter ulcer to the medial aspect of the right great toe and a 4 cm tunnel from the proximal plantar first MPJ to distal plantar right great toe. No probing to the bone was identified. Past medical history included IDDM (for 26 years); depression; asthma; left great toe amputation (in 2012) The patient was allergic to Erythromycin and iodine. The patient was given operative treatment

<span id="page-388-0"></span>

Day 1  $0.9 \times 1.0 \times 0.3$  cm

**Day 55** 

Fig. 24 CASE 24



Day 1  $1.5 \times 1.5 \times 0.2$  cm



which included debridement and incision/drainage of the abscess. Post-operative treatment included the application of SilverSTAT® every 3 days (Lullove and Bernstein [2015](#page-394-0)).

Case 26 A 58-year-old patient presented with an open wound to the left foot. The patient underwent a scheduled split thickness skin graft from the left thigh as a donor site. Past medical history included NIDDM and hypertension. Post-surgery, the patient was treated with SilverSTAT® to protect the donor site, viz. the left anterior thigh, and the application was changed every 3 days (Fig. 25).

Case 27 A 68-year-old non-diabetic patient came to the hospital for an initial consultation for a non-healing wound to the right foot. The patient was unable to



Day 1 Incision 4.0 x 0.1 cm Ulceration is 2.0 x 2.0 x 1.2 cm deep



Fig. 26 CASE 27

relate further information regarding the wound, however, he stated that he had problems walking and was also complaining of pain. The patient underwent interventional angioplasty, which showed occlusion of the peroneal artery of the right leg.

The patient was operated for osteomyelitis of the fifth metatarsal. The patient was placed on negative pressure wound therapy for 2 weeks. Also, SilverSTAT® was placed into the wound and the incision on the foot was protected with a collagen dermal template with ECM. The wound completely epithelialized by post op day 44. The integrity of the incision dorsally without large evidence of adhesion scarring or fibrosis was easily seen (Fig. 26).

### 3.7.7 Horse Leg Wound Healing Using PetVet Gel

Case 28 The cause of the wound was unknown. The horse was kept in an outdoor corral, and the owner thought it may have been caused by a cougar or possibly by another horse. There was a large flap of skin missing from the wound and it was infected. The attending vet suggested that putting the horse down was probably the best option due to the infection, the wound's large surface area, and the inability to cover the wound due to its location. The owner was familiar with the Silver Biotics Pet Vet gel and opted to try and heal the wound first. The Silver Biotics Pet Vet gel was applied to the wound once daily by the owner and left uncovered. No other wound healing products were used. The tissue regrew and the wound completely closed after about 6 months. The infection quickly subsided and did not reoccur. It continued to heal to almost unnoticeable conditions, with both the skin and hair growing back, and almost no scar tissue formation (Fig. [27\)](#page-390-0).

<span id="page-390-0"></span>

Fig. 27 CASE 28

## 3.7.8 SilverSol® in Dental Pain Infection and Inflammation: Case Studies

Andrew Willoughby, Reconstructive General Dentist from Canada and one of the co-authors of this chapter, used over 14 years SilverSol® products on his patients as a topical application during various dental surgeries viz. extractions, bone grafts, Guided Tissue Regeneration, Periodontal, Laser, Dental Implant and Endodontic surgeries. He has developed dozens of novel clinical protocols for specific surgical, endodontic and periodontal treatments utilizing these nano-silver products for various dental procedures. He has evaluated and assessed the efficacy and performance of the 10 ppm and 30 ppm SilverSol<sup>®</sup> liquid as well as the 32 ppm SilvrSTAT<sup>TM</sup> Hydrogel. Willoughby has confirmed the effect of these products in reducing the twelve most common oral pathogens and the formation of biofilms by them. He monitored DNA of these pathogens in saliva applying the polymerase chain reaction (PCR) test.

His exhaustive dental research with  $\text{Silversol}^{\circledR}$  has demonstrated its profound ability to treat oral infections and speed up wound healing without negatively impacting the oral microbiome (and probiotic bacteria) or gut health. When utilized as a part of an integrated clinical protocol, Willoughby found that these Nano-silver products effectively eradicated bacterial infections associated with gum disease, tooth decay and dental infections, as well as accelerated wound healing and reduced inflammation. The fact that these products prevented post-operative/surgical infections and ultimately contributed to better patient outcomes far more effectively than other commonly used chemical disinfectants and antiseptics. He reported his findings and experiences using a combination of direct visual observations, intra-oral pictures, digital x-rays, computed tomography scans, ortho-pantographs, oral DNA tests and periodontal probing on over 22,000 patients having undergone almost 39,000 procedures for 20 different dental conditions (Fig. [28\)](#page-391-0). He used different

<span id="page-391-0"></span>

Fig. 28 Various dental procedures for which SilverSol® products were used

Table 1 SilverSol® products used for dental procedures

Silverstat <sup>®</sup> Antimicrobial gel during the procedure followed by a 10-day regimen of ASAP 10 ppm + 3% diluted H <sub>2</sub> O <sub>2</sub> mouth rinse $(3 \times \text{daily})$
ASAP 10 ppm + 3% diluted $H_2O_2$ rinsing followed by ASAP 10PPM for final rinse during the procedure
ASAP 10 ppm during the procedure
ASAP 10 ppm + 3% diluted $H_2O_2$ rinsing followed by SilverStat <sup>®</sup>

Nano-silver products alone or in combination with  $1-3\%$  dilute  $H_2O_2$  (Table 1). Willoughby states his experience in his report "...After the use of these Nano-silver products (both during and after surgery) on thousands of my dental patients, the antimicrobial/wound healing benefits of this technology are truly impressive... .the products help create a noticeable reduction in post-operative infection rates, accelerate healing times along with a clinically significant reduction in pain and swelling ..." Corroborating Dr. Willoughby's clinical research, other leading Dental Implant surgeons have experienced very similar clinical outcomes with these Nano-silver products.

## 3.7.9 Oral Care and Focal Infection: (Proposed?) Role of SilverSol®

The oral cavity and teeth are one of the major sites that provide favourable environment for the growth of microbes. Poor oral care can lead to biofilm formation. Adental plaque containing such biofilms can have higher than  $10^{11}$  microbes/mg (Li et al. [2000](#page-394-0)). Such oral microflora comprisings of mainly anaerobic gramnegative rods of diverse species. Apical periodontitic teeth can harbour around 200 species and marginal periodontitic teeth can harbour more than 500 species (Moore and Moore [1994;](#page-394-0) Tronstad [1992](#page-395-0)). It is now well accepted that such a focal infection in the oral cavity can spread to distant sites in the body—'Focal infection theory' (Miller [1891](#page-394-0)). The theory proposed by W Miller in 1891 speculated diffusion of microbes and their toxins from the focal infection to a distant body site. Immunocompromised hosts suffering from chronic diseases viz. cancer, diabetes arthritis or patients receiving immunosuppressive treatment are more prone to focal infection. Moreover, marginal and apical periodontitis can be a potential risk factor for the development of systemic diseases. Various dental conditions viz. alveolar abscesses, pyorrhoea alveolaris (periodontitis), and apical periodontitis, cellulitis, general oral sepsis and endodontically treated teeth, pulppless teeth, with the infection caused by viridans group streptococci are the major cause of focal infection (Easlick [1951](#page-393-0); Pallasch and Wahl [2000](#page-394-0); Murray and Saunders [2000\)](#page-394-0). Various dental procedures including endodontic treatment, periodontal surgery or even tooth extraction and root scaling to treat these conditions facilitate the dissemination of bacteria into the systemic circulation. This dissemination leading to bacteraemia may occur within a minute after the oral procedure. The displaced microbes can reach the peripheral blood capillary system, lungs and heart causing injury through local infection, microbial toxins and inflammation (Kilian [1982\)](#page-394-0).

The SilverSol<sup>®</sup> product—Ag-gel has been shown to have remarkable activity by Tran et al. against bacteria contributing to tooth decay and plaque formation— Sect. [2.4.1](#page-365-0) (Tran et al. [2019](#page-395-0)). It was found to be bactericidal and was able to prevent biofilm formation. The above-mentioned clinical experience of Andrew Willoughby during dental procedures enables authors to extrapolate that the effect of SilverSol<sup>®</sup> may have the potential to control focal infections and the resulting systemic consequences described above. However, experimental evidence have to be generated through further studies.

Conflict of Interest The authors, A de Souza, Managing Director; Vora AH, Director; Mehta AD, director; and Godse CS Assistant Medical Director are from Viridis BioPharma Pvt. Ltd., whereas, Moeller K, is the Managing Director and Chief Executive Officer and Moeller C is the Director of Communication at ABL, LLC. Both, Viridis Biopharma and American Biotech manufacture and market SilverSol® products. Willoughby AJM is associated with DDSource, which is promoting SilverSol<sup>®</sup> products OraSIL™ and CuraSIL for dental applications.

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# Preclinical Models for Wound-Healing and Repair Studies



Subramani Parasuraman

# 1 Introduction

The skin is a physical barrier and protects against microbial invasions and maintains temperature and fluid homeostasis. Destruction of skin barrier causes wound and this wound is major causes of gangrene and septic death (Öhnstedt et al. [2019](#page-427-0)). In addition, wound environment, including bacterial infection, oxidative stress, hypoxia, and ischemia are playing a major role in wound progression (Whittam et al. [2016\)](#page-429-0). In recent years, many of the natural, synthetic, and semisynthetic compounds are explored for their pharmacological properties including wound care.

A wound is a form of injury that occurs relatively rapidly when the skin is torn, sliced, or punctured or when a contusion is created by blunt force trauma and therefore compromises its protective function; as a result, the wound can be contaminated by microorganism (Kenneth [2017](#page-426-0)). Healing is a process where the normal structure and functions of the injured cells are restored by two distinct processes such as regeneration and repair (Mohan and Mohan [2011](#page-427-0)). Acute and chronic wounds are the different forms of the wound (Demidova-Rice et al. [2012](#page-425-0)). In acute wounds, the normal processes of regeneration, tissue development, and remodeling occur in a timely manner. In a chronic wound, the wound fails to heal due to various pathological and physiological reasons that contribute to impaired healing (Clark. [2014;](#page-425-0) Krzyszczyk et al. [2018\)](#page-426-0).

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### 2 Wound Healing

Wound healing is a normal biological process that consists of four phases: hemostasis, inflammation, proliferation, and tissue remodeling or resolution. The process of wound healing depends on the patient factor (age, underlying illnesses/disease, and the effect of the injury on healing), wound factor (organ or tissue injured, extent of injury, nature of injury, contamination or infection), and other local factors such as hemostasis and debridement, and timing of closure (Wound Management).

Wounds that show impaired healing have not progressed through the normal stages of the wound healing process and become a condition of pathologic inflammation. In the United States, nonhealing wounds affect around 3–6 million people and result in an immense annual expense of >\$3 billion per year (Zarubova et al. [2020\)](#page-429-0). The percentage of nonhealing wounds is increasing globally; hence, the search for newer agents has also increased. To study the therapeutic efficacy of newer agents, preclinical models are used. These models are used to study the complex biochemical and cellular process of wound healing and repair and to study the safety, efficacy, and potency of any investigational compounds. In this chapter, the animal models used in wound healing and repair studies are briefly described.

### 3 Preclinical Models

The preclinical models are used to study the pharmacological and toxicological properties of investigational drugs/compounds. In pharmacological studies, drug release patterns (pharmacokinetic) and efficacy are evaluated using cell lines or animal models. In toxicological studies, local and systemic toxicities are studies. The pharmacological models used in wound-healing and repair studies are summarized in Table [1](#page-399-0) and different types of rodent wound models are summarized in Fig. [1](#page-400-0).

Mice, rats, pigs, and rabbits are most commonly used as experimental animals in wound-healing research. In that, pig models have a higher correlation to human healing. In wound-healing research, in vivo models are most commonly used, because these models allow investigating the biochemical, molecular, and histological changes in wound and healing parameters. In vivo models are simulating the real body condition and the experiment is clinically relevant but it is a time-consuming process. All the in vivo experiments should be carried out with the prior approval of the Institute ethical committee.

In recent decades, in vitro experiments are recommended in preclinical research to avoid the utilization of animals in research. In vitro experiments also reduce the duration of the experiment and help the researchers to screen the larger number of investigational compounds in a short duration. The in vitro experiment has few limitations which include, (1) not suitable for the long-term pharmacological and

Type of study	Model
In vitro	Cell proliferation assay
Ex vivo	Skin explant
In vivo (acute wound models)	Excision wound
	Incision wound
	Burn wound
	Dead-space
	Wound chamber
In vivo	Ischemic wound (ear wound)
	Pressure ulcer
	Pressure-induced deep tissue injury
	Biofilm-infected wound
	Diabetic wound
	Flap surgery
	Chemically impaired wound healing
	Parabiosis
	Denervated wound
	Tape stripping
	Xeno-grafts
In silico	Molecular docking studies

<span id="page-399-0"></span>Table 1 Pharmacological models used in wound healing and repair studies

toxicological experiment; (2) may give false-positive results because of low specificity; (3) in general, the culture methods are more expensive.

# 3.1 Cell Proliferation Assay

Coagulation, inflammation, migration-proliferation, and remodeling are four different phases of wound healing. After tissue injury, the wound margins may exhibit a higher proliferative activity. The proliferative activities may be influenced by the various growth factors and cytokines, keratinocytes. The effect of the investigational compounds on cell migration, cell proliferation, and wound healing is studied using scratch assays.

Requirement: Human keratinocyte cell or Human peritoneal mesothelial cells, mouse keratinocyte cell, culture media, EDTA-trypsin solution, methylthiazol tetrazolium (MTT), colorimetric assay, culture flasks/microtiter plate, contrast microscopy.

<span id="page-400-0"></span>

Fig. 1 Different types of rodent wounds models A: Excisional wound; B: Excisional splinted wound; C: Incisional wound; D: Ischemia/Reperfusion ulcer; E: Ischemic wound; F: Open ulcer; G: Skin fold chamber; H: Burn model; I: Infected wound and J: Xenograft. (Reprinted with permission from Elsevier (License Number 4886771206862; Dated 12 Aug 2020); Reference: Sami et al. [2019\)](#page-428-0)

#### 3.1.1 Procedure

- Cutaneous wound healing is a multistep process involving different types of cells, including epidermal keratinocytes, endothelial cells, fibroblasts, and peripheral nerve cells. During wound healing, re-epithelialization could be a critical step involving the relocation and proliferation of keratinocytes from the encompassing epidermis and appendages. Keratinocytes at the wound margin continue to proliferate behind actively moving cells after an injury, resulting in a dense, hyperproliferative epithelium seen at the wound margin as migrating cell sheets. (Hara-Chikuma and Verkman [2008](#page-426-0)).
- Human keratinocyte cell cultures: Neonatal human keratinocytes (cultured within keratinocyte basal medium [KBM]) or Human keratinocytes from adult skin (cultured within Dulbecco's Modified Eagle Medium [DMEM] with 10% heatinactivated fetal bovine serum [FBS] and 1% L-glutamine) are cultured in suitable culture medium. EDTA-trypsin solution is used for detaching cells from flasks/microtiter plates (Hara-Chikuma and Verkman [2008\)](#page-426-0). In vitro wound-healing assay is used to measure cell proliferation and cell migration.
- Cell proliferation assay: Cell proliferation activity is evaluated by using the MTT colorimetric assay. The assay is based on the reduction of a yellow tetrazolium salt to purple formazan crystals. The cells are seeded at a density of  $1 \times 10^4$  cells/well in a 96-well microtiter plate, incubated at 37 °C under 5%  $CO<sub>2</sub>$  for 24 h prior to treatment. Later, 100  $\mu$ L of test compound (different concentration) is added to the well and incubated at 37 °C under 5%  $CO<sub>2</sub>$  for 48 h. After the exposure, cell viability is determined by the MTT assay. An aliquot of MTT solution (25  $\mu$ L, 5 mg/mL) is added into each well and incubated at 37 °C in a 5% CO<sub>2</sub> incubator. After 3 hours (h) incubation, 200 μL of Dimethyl sulfoxide (DMSO) is added to each well and shaken for 10 min to dissolve the purple formazan crystals formed. The Optical Density (OD) of the resulting purple dye is measured at 570 nm using an ELISA plate reader (Wang et al. [2011\)](#page-429-0).
- Wound scratch assay: The cells are seeded at a density of  $5 \times 10^4$  cells per well are seeded in six-well cell culture plates. The cells are allowed to grow to 70–80% confluence as a monolayer. Later the monolayer confluent cells are scrapped over the horizontally with a sterile pipette tip. Another scratch is made in a perpendicular way to the first, making a cross in each well. After scratching, the medium is removed by washing with phosphate-buffered saline (PBS). Later, a fresh medium containing 5% V/V of heat-inactivated FBS and treatments is added to each well, and cells are grown for 24 h (Governa et al. [2019\)](#page-426-0). The scratch induced that represented wound is photographed at 0 h (before the treatment) and 24 h (after the treatment) using phase-contrast microscopy at  $\times$  40 magnifications. Wound region and total area are measured using suitable software. The rate (percentage) of wound closure is calculated mathematically.

Percentage of wound closure  $=$   $\frac{\text{(Wound area 0 h - Wound area 24 h)}}{\text{Wound area 0 h}} \times 100$ 

• Rat/Human peritoneal mesothelial cells: Rat peritoneal mesothelial cells are obtained from the peritoneal walls of male Wistar rats and identified by immunocytochemical assay techniques (Matsumoto et al. [2012\)](#page-427-0). Human peritoneal mesothelial cells are collected from the omental tissue of the patients who are experiencing abdominal surgery (Ryu et al. [2012\)](#page-428-0). The peritoneal mesothelial cells are maintained in DMEM containing glucose (5.6 mM), FBS (10%), penicillin (100 units/ml), and streptomycin (100 μg/ml) in 5%  $CO_2$  at 37 °C (Matsumoto et al. [2012](#page-427-0)). In vitro wound-healing assay is used to measure cell migration.

– Wound Scratch Assay: The cells are seeded in a 24-well culture plate at a density of 2  $\times$  10<sup>5</sup> cells/well in DMEM medium, incubated at 37 °C and 5%  $CO<sub>2</sub>$ . The cells are allowed to grow to 70–80% confluence as a monolayer. Later the monolayer confluent cells are scrapped over the horizontally with a sterile pipette tip and debris is removed by washing with PBS. The cells are treated at various concentrations of investigational compound by diluting with serum-free DMEM. The scratch induced that represented the wound is photographed at 0 h (before the treatment) and 24 h (after the treatment) using phase-contrast microscopy at  $\times$  40 magnifications. The migration rate is calculated using suitable software and wound closure is calculated mathematically (Muniandy et al. [2018](#page-427-0)).

Percentage of wound closure  $= \frac{(Measurement at 0 h - Measurement at 24 h)}{1 + (Meanment at 24 h)}$ Measurement at 0 h  $\times$  100

– Cell spreading assay: Increased cell spreading can enhance epithelial wound closure. The intestinal epithelial cells are seeded on 13-mm collagen-coated coverslips at  $\sim$ 40 confluencies, and allowed to adhere overnight. Later, the cells are stimulated with an investigational compound for 4 h. Prior to the experiment and after the stimulation of cells, the cell surface area is measured and compared to nonactivated cells. E-Cadherin, a junctional marker is to strain the cell surface area and individual cell surface area is quantified using the images obtained from confocal microscopy with the help of suitable software (Sumagin et al. [2013\)](#page-428-0).

### 3.2 Skin Explant

Skin explant is an ex vivo model. In this model, explant culture is used for quantitative assessment of the wound epithelialization. It is also used to study the marker of interest in the epithelialization process at specific time points (Mazzalupo et al. [2002](#page-427-0)). Human and Swine skin are used as a model in skin explant wound.

Requirement: Hair remover, 70% ethanol, anesthetic agent, rodent surgical table, surgical scalpel handle, scalpel blade, surgical scissors, surgical sutures, rodent cage with fasting grills, cotton, polyethylene bottle, hydroxyproline.

#### 3.2.1 Procedure

• This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.



Fig. 2 Method for adherent ex vivo cultivation (adapted from Andjelic et al. [2014\)](#page-425-0)

- Human skin: Human abdominal skin is obtained from healthy donors. Dermal fragments are obtained by cutting fresh skin samples in sterile conditions in 1 mm<sup>3</sup> fragment (Isnard et al.  $2001$ ).
- Swine skin: Skin specimen is excised from the paravertebral region of a young swine (2–4 months old). The skin is excised with an electrokeratome at a depth of 0.2–0.3 mm and explants are prepared using the sterile technique (Hebda. [1988\)](#page-426-0).
- Explants are incubated in a culture medium (contains culture medium, FBS, antibiotics, and growth factors) and examined daily under a phase-contrast microscope.
- The medium is replenished with a DMSO vehicle or investigational compound daily. Equal numbers of cells are seeded in the culture plate. The control cultures are maintained in order to determine the increase in cell number during the incubation period (Isnard et al. [2001](#page-426-0)).
- Explants are examined daily for initiation of epidermal outgrowth under phase contrast microscope. Representative outgrowths are photographed and the region of outgrowth is approximated as the result of the width and height measurements. (Mazzalupo et al. [2002](#page-427-0)). Normally, the percentage growth of explants is measured on days 1, 2, and 3, and the radius of outgrowth is measured on days 2, 4, and 7 of the experiment (Fig. 2).

# 3.3 Excision Wound

The rodent excision wound model is one of the widely accepted models to study the effect of the investigational compound for its wound-healing activity because of the simplicity and reproducibility of the method. The rodent excisional wound model is

an acute model and widely used to study the healing index of any investigational compound. This model is considered to resemble acute clinical wounds that required healing by second intention. Wound healing in rodents is primarily by contraction whereas a human heals by re-epithelialization. Mice, rats, rabbits, and pigs are commonly used as experimental animals in the excision wound model.

Requirement: Hair remover, 70% ethanol, anesthetic agent, rodent surgical table, surgical scalpel handle, scalpel blade, surgical scissors, rodent cage with fasting grills, cotton, graph paper.

### 3.3.1 Procedure

- This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.
- The animal is placed on a rodent surgical table.
- The back of the animals is shaved. If requires, a hair remover can be applied to remove the hair on the dorsal surface. Preferably on the thoracic region (1 cm away from the vertebral column and 5 cm away from the ear of the rats).
- After shaving the skin surface is cleaned with 70% ethanol.
- Later, an area of  $7 \times 7$  mm or about  $\approx 500$  mm<sup>2</sup> is marked to make an excision.
- An excision wound is created by a surgical blade and the wound is left undressed (Fig. 3).
- The wounded animals are house individually on rodent cages that contain fasting grills to avoid coprophagia and other infections.
- After the creation of the wound, the investigational compounds are applied once/ twice daily for 21/24 consecutive days.
- The wound area is measured at regular intervals after the creation of the wound with the help of a transparent sheet using millimeter-scale graph paper. Generally, wound contraction is measured at every 4 days interval until completion of the study.



Fig. 3 Excision wound model (adapted from Nayak et al. [2011\)](#page-427-0)

• The wound-healing effect of the investigational compound is compared with the untreated group and the percentage of wound healing is calculated using the following formula (James and Victoria. [2010;](#page-426-0) Murthy et al. [2013\)](#page-427-0):

Percentage of wound healing  $=$   $\frac{\text{Healed area}}{\text{Total wound area}} \times 100$ 

• At the end of the study, tissue of the excision wound is collected and used for histopathological analysis to study the microscopic structure of inflammatory cells, necrotic cells, fibroblast cells, collagen fibers, and blood vessels.

# 3.4 Incision Wound

The rodent incisional wound model is an acute model. Incisional is classified as primary (first intention) or secondary closure (second intention) and sutured immediately after wound infliction or not respectively. Primary and secondary closure is the model to study the biomechanical investigation of wound strength and examine scarring at late time points, respectively (Masson-Meyers et al. [2020](#page-427-0)). Mice, rats, rabbits, and porcine are commonly used as experimental animals in the incision wound model.

Requirement: Hair remover, 70% ethanol, anesthetic agent, rodent surgical table, surgical scalpel handle, scalpel blade, surgical scissors, surgical sutures, rodent cage with fasting grills, cotton, polyethylene bottle, hydroxyproline.

#### 3.4.1 Procedure

- This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.
- The animal is placed on a rodent surgical table.
- The back of the animals is shaved. If requires, hair remover can be applied to remove the hair on the dorsal surface of the paravertebral area.
- About 6-cm long, two linear-paravertebral incisions are made using a sterile surgical blade through the full thickness of the shaved skin at a distance of 1.5 cm (for rats) from the dorsal midline of each side of the vertebral column.
- Later, the wound is closed with three surgical interrupted sutures (non-absorbable braided non-capillary and siliconized) of 1 cm apart (Fig. [4](#page-406-0)).
- The wounded animals are house individually on rodent cages that contain fasting grills to avoid coprophagia and other infections.
- After the creation of the wound, the investigational compounds are applied once/ twice daily for 8 consecutive days.

<span id="page-406-0"></span>

Fig. 4 Incision wound model (adapted from Demilew et al. [2018](#page-425-0))

- The sutures are removed eighth post wound day and skin breaking strength, protein content and collagen content are measured on the tenth day.
- Measurement of breaking strength: On day 10, the animals are sacrificed using a suitable euthanasia method. Later, the sutures are gently removed and wound stripes of equal size (width) are cut and removed. Both the ends of the wound stripes are fixed at a fixed distance using steel clips. One side of the wound stripe is connected with a polyethylene bottle and it is filled with water gradually till the wound strip is broken at the site of the wound. The volume of water requires to break the wound is noted and expressed as the tensile strength of the wound in gram (Fig. 4) (Patil et al. [2012\)](#page-427-0).
- *Estimation of protein content:* The total protein content of the skin tissue is measured by the Lowry method using bovine serum albumin as a standard.
- Estimation of collagen (hydroxyproline content): The collagen content of wound tissue is measured using a hydroxyproline assay. The sample is incubated at 60 °C for 15 min to get the dry weight. Then the sample is homogenized with 6 N hydrochloric acid (HCl) and incubated for 4 h at  $130\degree$ C to promote acid hydrolysis. Later, the pH is adjusted to 7.0 at room temperature. The concentration of hydroxyproline in wound tissue is measured by the interpolation method (using the absorbance of hydroxyproline standard solutions). The hydroxyproline standards are prepared at a concentration of 1.0–100 μg/mL. A 10 μL of standard and samples are transferred to a 96-well microplate. Then, 90 μL of 0.056 M chloramine-T solution and 100 μL of Ehrlich reagent are added to each well. The absorbance of standards and sample is measured at 550 nm. The concentrations of hydroxyproline in tissue homogenates are calculated by the amount of HCl used and finally per milligram of dry tissue, based on a standard curve (Caetano et al. [2016\)](#page-425-0).
- Immunohistochemistry and Western blotting: During the study, skin sample from wound area is collected from different time points and used for immunohistochemistry and Western blotting analysis to study the protein expression rate.

# 3.5 Burn Wound

A burn wound is created by heat (thermal), chemical, or radiation to the skin or tissues. Burn is one of the common injuries that can lead to serious morbidities. Healing response in burn wound is due to the effects of their impact on the viability of cells and tissue. A burn wound by thermal injury is used to measure angiogenesis, contraction, re-epithelialization, granulation tissue formation, scarring, and wound tissue biochemistry (Masson-Meyers et al. [2020\)](#page-427-0). The rate of wound healing is depended on the depth of the burn. Thermal burns create an extensive zone of frank necrosis including dead cells. Rats are commonly used as experimental animals.

Requirement: Hair remover, 70% ethanol, anesthetic agent, rodent surgical table, hot plate, rodent cage with fasting grills, cotton, graph paper.

# 3.5.1 Procedure

- This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.
- The animal is placed on a rodent surgical table.
- In the dorsal surface of the animal between the lower parts of both scapulas are shaved and hair is removed.
- Later, a partial thickness burn is made by placing a hot plate (size may vary depending on animal model) on the prepared area for 10 s at the temperature of  $75 \degree C$ .
- The burnt area is about 10% of the total body surface area.
- The wounded animals are housed individually on rodent cages that contain fasting grills to avoid coprophagia and other infections.
- After the creation of the wound, the investigational compound is applied once/ twice daily for 14 consecutive days.
- Immediately after the burn and on days 3, 7, 10, and 14 after burn injury, the burnt area is measured using millimeter-scale graph paper.
- The lesion/injury of the wounds of animals is evaluated using color, exudates, swelling of the wound surface, wound bed, and the consistency of tissues encompassing the wound.
- The wound-healing effect of the investigational compound is compared with the untreated group and the percentage of wound healing is calculated using the following formula.

Percentage of wound healing  $= 1$ 

$$
-\left(\frac{\text{Wound area on the corresponding day (cm}^2)}{\text{Wound area on day zero (cm}^2)}\right)
$$
  
× 100

• At the end of the experiment, the animals are sacrificed using a suitable euthanasia method, and tissue of the healed wound is collected for histological examination (Somboonwong et al. [2012\)](#page-428-0).

### 3.6 Dead-Space

This model is used to study the physical changes in granuloma tissues and ideal for biochemical assessment. Rats are commonly used as experimental animals in deadspace wound model.

Requirement: Hair remover, 70% ethanol, anesthetic agent, rodent surgical table, polypropylene tube/sterile cotton pellets, rodent cage with fasting grills, cotton, and other chemicals for biochemical/histopathological analysis.

#### 3.6.1 Procedure

- This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.
- The animal is placed on a rodent surgical table.
- The dorsal surface of the animal (in the regions of dorsal and lumbar vertebrae) is shaved and hair is removed.
- Later, 1 cm incision is made on dorsolumbar part (two sides) and a polypropylene tube ( $0.5 \times 2.5$  cm<sup>2</sup>) or sterile cotton pellets (5 mg each) is placed on the deadspace of the lumbar region on the dorsal surface of the rat on each side and wounds are closed with suture material.
- In this model, the investigational compounds are administered orally.
- On the tenth post-wounding day, the experimental animals are sacrificed and granulation tissue formed around the implanted tubes/sterile cotton pellets are carefully dissected out.
- The wet weight of the tissue is measured and tensile strength (force required to open a healing skin wound) is determined using a tensiometer. Collected granulation tissue is processed for the estimation of free radicals, antioxidants, and collagen (hydroxyproline, a major component of the protein collagen) tissue parameters. The tissue sample is also used to study histology.
- The collected granulation tissue samples are dried at  $60^{\circ}$ C for 12 h and used for the determination of dry granulation tissue weight. The dried tissue is added with

5 ml 6 N HCl and kept at  $110^{\circ}$ C for 24 h. The neutralized acid hydrolysate of the dry tissue is used for the determination of hydroxyproline (Murthy et al. [2013;](#page-427-0) Nayak et al. [2009;](#page-427-0) Agarwal et al. [2009](#page-425-0); Gautam et al. [2014\)](#page-426-0).

### 3.7 Wound Chambers

This model is useful to observe the wound healing process, such as angiogenesis and extravasation of blood-borne inflammatory cells into the wound site (Davidson et al. [2013\)](#page-425-0). Rats and pigs are commonly used as experimental animals in the wound chambers model.

Requirement: Hair remover, 70% ethanol, anesthetic agent, rodent surgical table, wound chambers, animal/rodent cage with fasting grills, cotton, and other chemicals for biochemical/histopathological analysis.

#### 3.7.1 Procedure

- This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.
- The animal is placed on a rodent surgical table.
- The dorsal surface of the animal (from the scapula to pelvis) is shaved and hair is removed and sterilized with polyvidone iodine.
- A full-thickness skin incision is made vertical to the spine through the panniculus carnosus to the fascial plane. A space that is around the same estimate as the chamber is opened under the dermis and the sterile wound chambers (wound chambers are made of stainless steel wire mesh measuring 1 cm  $\times$  2.5 cm long and they are closed at both ends by Teflon caps/titanium) with caps are slipped below the skin. The incisions are closed through individual 4.0 nylon sutures (Fig.  $5$ ).
- Two/four champers are implanted on the dorsum of each animal and the investigational compounds are injected directly into the chamber.
- Evaluation plan 1:
	- Wound in a skin island: In this model, wound healing is monitored for 4 and 8 days. This model comprises two concentric punch (4 mm) biopsies, which results in a central full-thickness wound encompassed by a skin island. A 4 mm punch is used to create a full-thickness wound extending through the panniculus carnosus muscle. The encompassing skin island is then concentric on the original full-thickness wound using a 10 mm punch biopsy needle.
	- Wound without skin: In this model, wound healing is monitored for 10 days. In this model, a 10-mm punch is used to make a full-thickness wound and the outer rim of the wound encompasses the edge of the wound chamber. In both

<span id="page-410-0"></span>

Fig. 5 Dorsal skin fold chamber (adapted from Wong et al. [2010](#page-429-0))

wound models, the wound chamber is inserted with the flange buried under the skin edges.

- In this model wound contraction, wound closure, and re-epithelialization are evaluated.
- Wound contraction and wound closure are evaluated by measuring tattooed margins from macroscopic wound images and comparing the digital photographs of the wounds on different time points respectively.
- At the end of the experiment, wounds are biopsied and the tissue samples are fixed in 4% neutral buffered formalin for histopathological examination. The tissue sample is embedded in paraffin and sectioned for staining with hematoxylin-eosin (H&E). The stained tissue sections are examined for re-epithelialization under a light microscope (Nuutila et al. [2016](#page-427-0)).
- Evaluation plan 2:
	- After implantation, the rats are sacrificed on days 3, 7, 12, 18, or 22 after chamber implantation and wound fluid is collected by aspiration with the help of a syringe and discarded. The substance deposited in the chambers is collected with a scalpel and stored at  $-80$  °C until analysis. From the sample total protein, collagen (hydroxyproline content), and glycosaminoglycans (GAG) leaves are estimated (Siméon et al. [2000\)](#page-428-0).

# 3.8 Ear Wound

The ear wound model is used to measure epithelialization and neovascularization of the wound in living animals. In this model, mice and rabbits are used as experimental animals.

Requirement: Hair remover, 70% ethanol, anesthetic agent, rodent surgical table, wound chambers, animal/ rodent cage with fasting grills, cotton, and other chemicals for biochemical/histopathological analysis.

### 3.8.1 Procedure

- The ear wound model is an ischemic wound model and has been used in reperfusion injuries, burn studies, and flap necrosis.
- This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.
- The animal is placed on a rodent surgical table.
- In mice, 2-mm full-thickness hole punched through the center of each ear is made to create a wound (Buckley et al. [2011\)](#page-425-0).
- In rabbits, 6 mm full-thickness dermal punches (4 numbers) are made on the inner surface of both ears down to bare cartilage by removing the epidermis, dermis, and perichondrium. The wound in one ear is served as control and a wound in the other ear is treated with the investigational compound (Jia et al. [2011](#page-426-0)).
- In the ear wound model, the animals are treated for a week's time. The animals are sacrificed on the eighth post-wounding day and tissue samples are collected in two parts. One part is used for histological examination and the other part of the tissue is used for biochemical or protein analysis.
- The major limitations of the ear wound model are the process of healing over an avascular cartilage base on materials testing and thickness of the skin as compared to the trunk (Davidson et al. [2013\)](#page-425-0).
- Ischemic wound model:
	- This model requires anesthesia. Ears of the experimental animals are shaved and injected with pre-anesthetic medication. Later, the animal is anesthetized and placed on the surgical table. One ear of the animal is rendered ischemic and the other ear serves non-ischemic control. The dorsal surface of the animal (rabbit) ears is saved and the surgical site is cleaned with betadine solution. Using a #15 blade, a small incision is made to the level of bare cartilage at the base of the ear. The caudal, central arteries, and circumferential circulation are identified and ligated so the ear is perfused only rostral artery with preservation of the caudal, central, and rostral veins to render the rabbit ear ischemia. This method results in ischemia in 7–10 days.
	- The incision is closed with 4–0 or 5–0 polypropylene suture and covered with sterile gauze.
	- Six-millimeter full-thickness dermal punches (4 numbers) are made on the inner surface of both ears down to bare cartilage by removing the epidermis, dermis, and perichondrium. The distance between the wounds is a minimum of 20–30 mm. The wound in one ear is served as control and a wound in the other ear is treated with investigational compound. To keep the wound from being desiccated, an occlusive dressing is used to cover the wound site (Fig. [6](#page-412-0)).
	- The use of aged rabbits may delay the healing process and healing may take up to 26 days (Jia et al. [2011](#page-426-0); Chien and Wilhelmi [2012](#page-425-0)).

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# 3.9 Pressure Ulcer

This model is a resemblance of localized skin/underlying tissue damage due to bone prominence due to pressure. Rats and mice are used as experimental animals in pressure ulcer models.

Requirement: Hair remover, 70% ethanol, anesthetic agent, rodent surgical table, wound chambers, animal/rodent cage with fasting grills, cotton, and other chemicals for biochemical/histopathological analysis.

#### 3.9.1 Procedure

- This model is also referred to as "Vessel ligation".
- This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.
- The animal is placed on a rodent surgical table.
- The dorsal surface of the animal is shaved and hair is removed and cleaned with 70% isopropanol.
- A prototype is used to mark the location of the magnetic plates in animals. The dorsal surface of the skin is carefully pulled up and positioned between two, circular ceramic magnetic plates that are 12 mm diameter and 5.0 mm thick, with a mean weight of 2.4 g and 1000 G magnetic force. This technique is designed to leave a 5.0-mm skin bridge between the two magnets (Fig. [7\)](#page-413-0) (Lanzafame et al. [2007\)](#page-427-0).
- During ischemia–reperfusion cycles, animals are not immobilized, anesthetized and animals are allowed food and water ad libitum.
- The use of the magnet compressed the skin and reduced blood flow causes ischemia, and removal of the magnet allows blood to reperfuse into the ischemic region of the skin. During the ischemic phase of the ischemia–reperfusion cycle, magnets are held to the skin purely by magnetic attraction (Peirce et al. [2000](#page-427-0)).
- One ischemia–reperfusion cycle consisted of 2 h of ischemia and 0.5 h of reperfusion. A maximum of five compression cycles (five ischemia–reperfusion cycles) is administered per day followed by a period of 11.5 h of reperfusion

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Fig. 7 Pressure ulcer models (adapted from Wong et al. [2010](#page-429-0))

conducted for 2 or 3 days. After completion of the specified total number of compression cycles, the magnets are removed for a final 11.5 h of reperfusion, and the animals are euthanized and their treatment sites analyzed. Or 3 cycles of 12 h of ischemia and 12 h of reperfusion used to decubitus ulcer formation.

- The animals resume normal activity within a few minutes of magnet placement.
- The wounded animals are house individually on rodent cages and treated with the investigational drug.
- Animals are observed over a 21-day period following the ischemia–reperfusion cycles. The ulcer stage is graded using a standardized grading scale (Ulcer stage 0: Intact, normal, skin with normal capillary refill; Ulcer stage 1: Intact skin, nonblanchable erythema; Ulcer stage 2: Superficial/partial skin loss involving epidermis and dermis; Ulcer stage 3: Full-thickness loss with damage and necrosis of subcutaneous tissue; Ulcer stage 4: Full-thickness loss with extensive destruction, tissue necrosis, and with exposure of muscle and bone) (Stadler et al. [2004\)](#page-428-0).
- Ulcer stages and skin temperature alterations are recorded at regular intervals. Few animals in a group are euthanized on days 5, 10, 15, and 21 and the tissue sample is collected for histologic evaluation.

### 3.10 Pressure-Induced Deep Tissue Injury

It is a form of pressure ulcer and is induced by moderate compression. This model mimics the pathology of pressure-induced deep tissue injury without producing any morphological damage to the skin layer. In this model, rats are used as experimental animals.

Requirement: Anesthesia, surgical table, povidone-iodine, isopropyl alcohol, 3 M™ Steri-Strip™ Reinforced Adhesive Skin Closures, others.

#### 3.10.1 Procedure

- This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.
- The animal is placed on a rodent surgical table throughout the experimental period and sustainability of the anesthetic level is determined by the whisker movement.
- The fur on the compression site of the rat is removed and static pressure of 100 mmHg (13.3 kPa equivalent) is applied to an area of 1.5 cm<sup>2</sup> in the tibialis region of the right limb of the rats. The compression force applied to the rat is continuously monitored by an electronic balance under the indenter (Teng et al. [2011\)](#page-428-0). A laser Doppler flowmeter is used to monitor the blood flow at the compression site.
- The duration of compression is 6 h on each of the two consecutive days. The animals are allowed to recover from anesthesia and monitored for their ambulatory activities (ambulatory activity is restored  $\sim 0.5$  h after each compression procedure).
- The investigational compound is administered to the animals for 14 or 21 days and the wound healing effect is assessed by measuring wound area (Shi et al. [2016\)](#page-428-0).
- At the end of the study, rats are euthanized and tibialis anterior muscles are dissected and stored for histopathological analysis (Nelissen et al. [2018\)](#page-427-0).

# 3.11 Biofilm-Infected Wound

Pathogenic biofilms represent a critical component of nonhealing wounds, employing many specific strategies to suppress endogenous inflammatory responses and to resistance to traditional therapeutics (Seth et al. [2012a\)](#page-428-0). Mice, rats, and rabbits are used as experimental animals in biofilm-infected wound models.

Requirement: Hair remover, 70% ethanol, anesthetic agent, local anesthetics, rodent surgical table, wound chambers, wound-infective bacteria (example: Pseudomonas aeruginosa) culture, animal/rodent cage with fasting grills, cotton, and other chemicals for biochemical/histopathological analysis.

#### 3.11.1 Procedure

#### Rabbit ear model

- This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.
- The animal is placed on a rodent surgical table.
- The ears of the rabbits are shaved and hair is removed and cleaned with 70% ethanol and injected 1% lidocaine/1:100,000 epinephrine, intradermally at the planned wound sites.
- The wound is created down to the perichondrium on the ventral surface of the ear and dressed with semi-occlusive transparent film (Tegaderm dressing). Individual wounds are inoculated with bacteria (*Pseudomonas aeruginosa*) ( $10^6$  colonyforming units (CFU)/mL of bacteria at a volume of 10 μL) on postoperative day 3 (Seth et al. [2012b](#page-428-0)).
- The wound is treated with an investigational compound or redressed on postoperative days 4–12 days or until harvesting of wounds.
- At the end of the study, the animals are euthanized; wounds are harvested and used to test for the presence of bacteria in the wound bed and bacterial counts. Also, confirm biofilm formation scanning electron microscopy (SEM) analysis is performed to visually confirm biofilm formation within the wound bed. Part of the tissue is used for the histological analysis using H&E stain. The tissue sample is also used for biochemical and molecular biological studies to determine the protein levels (Gurjala et al. [2011](#page-426-0); Seth et al. [2012c](#page-428-0)).

#### Rodent Model

• In the rodent model, a full-thickness,  $1.5 \times 1.5$  cm surgical excision wound is created on the dorsal of the body and covered with semi-occlusive/semipermeable polyurethane transparent film. Approximately  $2 \times 10^6$  CFU/mL of *Pseudomonas* aeruginosa strain applied topically to the wound of each rodent. The day when the wound is created is designated day 0. Wounds are harvested at 8 h, and 1, 3, and 7 days post wounding and then processed for biochemical, histological, and immunohistochemical examinations (Watters et al. [2013;](#page-429-0) Kanno et al. [2010\)](#page-426-0).

# 3.12 Diabetic Wound

The diabetic wound does not follow the normal wound healing pattern of events and microbial infections further disrupt this process. Diabetic wound models are an example of impaired wound healing. The animal models of diabetic wound healing are used in combination with additional inducing factors that delay healing (Elliot et al. [2018](#page-425-0)). Rats are used as experimental animals in the diabetic wound model.

Requirement: Streptozotocin, tuberculin syringe (1 cc) with needle, glucometer, others.

#### 3.12.1 Procedure

- *Induction of diabetics:* In overnight-fasted rats, diabetes mellitus is induced by administering freshly prepared, single intraperitoneal injection of streptozotocin (55 mg/kg) in distilled water, pH 4 or cold 0.1 M citrate buffer, pH 4.5. Diabetes mellitus is confirmed by measurement of fasting blood glucose level after 48 h of induction. Rats with fasting blood glucose of >200 mg/dL are considered as diabetics and used for the experiment (Parasuraman et al. [2019](#page-427-0); Nayak et al. [2007\)](#page-427-0).
- Diabetic animals used for further wound healing studies using incision wound/ excision wound/dead-space or wound chambers models.

# 3.13 Flap Surgery

Flap surgery is a type of chronic wound model and represents partial skin flap necrosis in humans. This model is used to repair wounds caused by congenital abnormalities, trauma, tumor excision, or other causes (Hsueh et al. [2016](#page-426-0)). Rats are used as experimental animals in flap surgery wound models.

Requirement: Hair remover, 70% ethanol, anesthetic agent, local anesthetics, rodent surgical table, wound chambers, animal/rodent cage with fasting grills, cotton, and other chemicals for biochemical/histopathological analysis.

#### 3.13.1 Procedure

- This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.
- The animal is placed on a rodent surgical table in the prone position and their limbs are immobilized with adhesive tape.
- The dorsal thoracic region of the animal is shaved and hair is removed and cleaned with 70% isopropanol or 0.5% topical alcoholic chlorhexidine solution.
- A skin flap measuring  $10 \times 4$  cm (approx.) is raised with a cranial base on the dorsal surface of a rat (Fig. [8\)](#page-417-0) (Cury et al. [2013\)](#page-425-0).
- The skin flap is elevated using an incision with a number 15 scalpel blade in the delimited area and adjacent muscles are removed by using blunt scissors. The skin flap is released from the encompassing tissues, brought up to the relevant

<span id="page-417-0"></span>

area, and sutured with a simple interrupted suture at 4.0 monofilament nylon line. The skin flap comprised superficial fascia, a fleshy panicle, subcutaneous tissue, and skin (Estevão et al. [2013\)](#page-426-0).

• Immediately, after the surgical procedure, the rats are treated at least once for 7 consecutive days. At the end of the study, the animals are anesthetized and photographed with a digital camera with the demarcation of the necrotic area and total area for calculating the percentage of the necrotic area (Estevão et al. [2013\)](#page-426-0).

Percentage of flap necrosis = 
$$
\frac{\text{Area equivalent of necrotic tissue}}{\text{Total area to the flaps}} \times 100
$$

• The tissue fragments are collected from cranial (containing healthy tissue), median (between the cranial and caudal areas), and the caudal (including the area of necrosis) areas for histological analysis.

# 3.14 Chemically Impaired Wound

It is an impaired wound healing model. Premature rabbits are used as animal models.

Requirement: Hair remover, anesthetic agent, rodent surgical table, concentrated HCl (80%), cotton, graph paper.

### 3.14.1 Procedure

- This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.
- The animal is placed on a rodent surgical table.
- The back of the animals is shaved. If required, a hair remover can be used to remove the hair on the dorsal surface and left for 24 h.
- Few (two or three) drops of concentrated HCl (80%) are topically applied carefully on the shaved skin. The skin-burned rabbits are housed separately under sterile conditions in an isolated room (Abu-Zinadah [2009](#page-425-0)).
- After the creation of the wound, the investigational compounds are applied once/ twice daily for 5 weeks.
- The wound area is measured at regular intervals after creation of the wound using millimeter-scale graph paper.
- The wound-healing effect of the investigational compound is compared with the untreated group and the percentage of wound healing is calculated using the following formula (James and Victoria [2010](#page-426-0); Murthy et al. [2013](#page-427-0)).

Percentage of wound healing 
$$
=
$$
  $\frac{\text{Healed area}}{\text{Total wound area}} \times 100$ 

### 3.15 Parabiosis

Parabiosis is the method of joining two animals so that they can share blood supply with each other (Conese et al. [2017\)](#page-425-0). In the parabiosis model, two animals are surgically joined to establish common blood circulation to study the circulatory physiology, immunology, metabolic diseases, cancer metastasis, and various biological processes including the hematopoietic cells migrating to the place of injury from circulating blood in tissue remodeling and repair and roles of nonresident progenitors/stem (Frozoni et al. [2012](#page-426-0); Wong et al. [2010](#page-429-0)). Transgenic mice are used in the parabiosis model.

Requirement: Anesthesia, surgical table, surgical instruments, povidine-iodine solution, isopropyl alcohol, silk suture, painkiller, others.

#### 3.15.1 Procedure

- This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.
- Age-matched, adult transgenic mice are used for the experiment.



Fig. 9 Parabiotic models permit (adapted from Wong et al. [2010\)](#page-429-0)

- The animals (two mice) are anesthetized and placed on a rodent surgical table.
- The surgical site of animals (both the animals) is shaved and sterilized with 5% povidine-iodine solution. For both the animals, on the dorsal side, a skin incision is made from the hip to the angle of the mandible. Animals are aligned, and the connective tissue and the skin edges are sutured together with absorbable silk suture material and joined with 7 or 9-mm wound clips at the dorsal side (Fig. 9) (Frozoni et al. [2012;](#page-426-0) Sung et al. [2019\)](#page-428-0).
- After surgery, each parabiont is administered with a suitable painkiller to relieve both acute and chronic pain for the first 2 days. The parabiont is also administered with sterile normal saline to prevent dehydration.
- The wound clips are removed after 2 weeks.
- Reparative dentinogenesis is stimulated by the administration of test compound for the next 4 weeks (maybe varies based on study protocol).
- During/at the end of the experiment, changes in body weight, weight loss, levels of pro-inflammatory cytokines are measured. This model is used to study the importance of circulating cells in normal and diseased animals (Wong et al. [2010;](#page-429-0) Sung et al. [2019](#page-428-0)).

# 3.16 Denervated Wound

This model results in impaired healing of wounds and has lower inflammatory cells, particularly macrophages. This model demonstrates decreased microvascular responses due to denervation-induced desensitization of vascular smooth muscle. Reduction in microvascular responses may delay wound healing (Shu et al. [2015\)](#page-428-0). Rats and mice are used in the denervated wound model.

Requirement: Anesthesia, a surgical instrument for rodent surgery, 70% ethanol, rodent surgical table, surgical scalpel handle, scalpel blade, surgical scissors, rodent cage with fasting grills, cotton, graph paper, others.

#### 3.16.1 Procedure

- This model requires anesthesia.
- The animals are fasted overnight and anesthetized with a suitable anesthetic agent. If a nude mouse is used no need to remove the fur. The normal mice or rats are used as experimental animals fur should be removed on the surgical surface.
- A midline skin incision (approx. 5 cm for rats/3 cm for mice) is made at the 13th costovertebral angle (identified by palpation). The skin and subcutaneous tissues are separated and the T9 to L1 vertebrae are exposed. The nerve roots are exposed bilaterally, the nerve is transected distal to the point of trifurcation, and the wound is closed. After recovery from anesthesia, the animal is checked for response to stimuli on the wound site (if no response to the needle stimuli indicates successful nerve resection).
- After 2 days of the nerve resection, the animal is re-anesthetized and two 5 mm full-thickness excisional skin wounds are created on each side of the midline in the denervated skins.
- The experimental animals are housed individually after surgery. The investigational compound/material is administered intradermally around the wound.
- The wound area is measured on 7, 14, and 21 days of the experiment. Wound biopsies are also performed on 7, 14, and 21 days of the experiment including encompassing unwounded tissues. The collected tissue sample is used for enzyme-linked immunosorbent assay, histological, and immunohistochemical analyses (Fukai et al. [2005;](#page-426-0) Shu et al. [2015\)](#page-428-0).

# 3.17 Tape Stripping

In this model, the stratum corneum is removed with the help of adhesive tape. Generally, the epidermal compartment is left intact in this model of wounding. This model depends on various factors including pressure exerted when applying the tape onto the skin, adhesiveness of the tape, velocity and direction of tape removal and the number of tape strips (Wilhelm et al. [2017](#page-429-0)). Mice are used in the tape stripping model.

Requirement: Anesthesia, elastic adhesive bandage, homogenizer, others.

# 3.17.1 Procedure

- The tape stripping model is used to evaluate a topical antibiotic for *Staphylococ*cus aureus infections. This model is also known as the superficial skin bacterial colonization and infection models.
- This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.
- The fur is stripped (area of 2 cm<sup>2</sup>) from the anesthetized animal with an elastic adhesive bandage.
- An elastic adhesive bandage is stripping the back of the animal 7–10 times in succession, the transepidermal water loss (TEWL) reached approximately 70 g/  $m^2$  h.
- Following this procedure, the animal skin becomes visibly damage and no frank bleeding. The damage is characterized by glistening and reddening.
- After stripping off the animal skin,  $5 \mu L$  droplets containing  $10^7$  Staphylococcus aureus cells and allowed overnight to initiate bacterial infection (Dai et al. [2011\)](#page-425-0).
- After 24 h of infection, animals are treated with respective assigned treatment. During the study period, animals are sacrificed at different time points and skin samples (area of  $2 \text{ cm}^2$ ) are collected and homogenated with water. The diluted homogenate is used for the determination of colony-forming units (CFU) (Hu et al. [2010](#page-426-0)).

# 3.18 Xeno-Grafts

Xeno-grafts are tissues transplanted from one species to another species. Xeno-grafts protect wounds from bacterial and physical trauma, reduce pain, and increase moisture and heat retention (Buchbinder and Buchbinder [2007\)](#page-425-0). Athymic (nude) mice and nude rats are used for the experiments.

Requirement: Anesthesia, surgical table, povidone-iodine, isopropyl alcohol, 3 M™ Steri-Strip™ Reinforced Adhesive Skin Closures, others.

# 3.18.1 Procedure

• Human skin harvest: Normal human skin is harvested from unidentified individuals by elective abdominoplasty procedures. About 2 cm punch biopsy is used to harvest uniform samples of skin for engraftment. The dermis is dissected from the underlying fat tissue; grafted tissue included the stratum germinativum. The punch biopsy specimens are dissected and xenografted within 1 h of surgical harvest (Shanmugam et al. [2015\)](#page-428-0).

- Xeno-graft:
	- This model requires anesthesia. The animals are anesthetized with a suitable anesthetic agent.
	- The animal is placed on a rodent surgical table and all the surgical procedures are performed in sterile conditions.
	- The dorsal region of the animal is sterilized using povidone-iodine followed by isopropyl alcohol.
	- On the flank region (both sides) two graft beds of 2 cm in diameter are removed. Full-thickness human skin xenografts are placed on each mouse wound bed and secured using 3 M™ Steri-Strip™ Reinforced Adhesive Skin Closures.
	- On postoperative days 7 and 14 dressings are changed at which time  $3 \text{ M}^{\text{TM}}$ Steri-Strip™ Reinforced Adhesive Skin Closures are removed. Xenografts remained dressed until day 30. Graft viability is assessed at 2 months.
	- The animals are housed separately under sterile conditions and allowed to engraft.
	- At the end of the experiment imaging analysis, histochemical analysis, and immunohistochemistry are performed (Shanmugam et al. [2015](#page-428-0)).

# 3.19 In Silico Models

In silico models, the experiment is performed on the computer or via computer simulation. It was first introduced to the public in 1989 in the workshop "Cellular Automata: Theory and Applications" in Los Alamos, New Mexico (Vanjari et al. [2012\)](#page-428-0). Drug discovery is a time-consuming multi-step process comprising synthesis, quality control, preclinical testing, toxicity testing, and clinical trials. With the help of in silico approach, the biological activity and toxicity profile of any investigational compounds are predicted using computer programs and this may help the researchers to find promising compounds with better therapeutic efficacy. These promising compounds can be taken for the further drug discovery process. In silico approaches are reducing the time gap between synthesis and clinical trials, and also reduce the cost.

The ligand-based or target-based virtual screening method is used to predict the binding affinity between investigational compounds and target receptors. Restoration of injured tissue/skin is mediated through blood cells, cytokines, and growth factors activity. Regulation of growth factors and inflammatory cytokine and inhibition of Glycogen synthase kinase  $3-\beta$  (GSK3-β) enzyme are essential to enhance the wound-healing process (Tatke [2020\)](#page-428-0). Many of in silico studies are reported on the prediction of the interaction between the investigational compound and GSK3-β, which minimize the cost and time duration of the experiment (Raja Naika et al. [2015;](#page-428-0) Harish et al. [2008](#page-426-0)).

#### 4 Summary

Humans and other mammals are complex organisms that involve a complex network of cells, circulating factors, and hormones. The use of animals in preclinical research may give the lead for the therapeutic effect and toxicological properties of the investigational drugs/compounds. In preclinical research, in vitro models are also used to screen the therapeutic effect and toxicological properties, but the test is carried out with specific cells or organs and it may not give the effect of investigational drugs on the whole organism. In vitro studies may reduce the number of animals used for the experiment. In recent years, the disease models are emerging from in vitro studies but they must be validated in a whole organism; otherwise, they remain speculative (Barré-Sinoussi and Montagutelli [2015\)](#page-425-0).

Wound healing is a complex process and various animal models are used to study the effect of drugs on the healing process and the factors affecting the healing process. In vitro models are relatively inexpensive, convenient, and fast for the researcher and helpful to obtain the results in a short time. In vitro studies are also used to screen multiple samples simultaneously using specific cell lines. However, in vitro models are difficult to simulate a "real world" application (Perez and Davis [2008\)](#page-427-0). The in vivo animal models are used to study the safety and efficacy of the investigational compound. The rodents and small mammals are commonly used as experimental animals in wound healing research but time-consuming process. The animal experiment should be performed with prior approval from Institute Animal Ethical Committee and followed the three Rs (Reduction, Refinement, and Replacement) principles to minimize the discomfort to the animals and reduce the number of animals in the experiment. Features of general wound models are represented in Fig. [10](#page-424-0).

Wound healing models involved surgical procedures, which can increase the pain and experimental stress to the animals. Whenever possible, the wound healing models can be refining to reduce the pain and experimental stress to the animals. Also, the appropriate measures should be taken on postoperative or postexperimental care. Another major issue with the experiment is the disposal of biological waste and animal carcasses. Once the experiment is over, or any animal died during the experiment it should be disposed of as per Institutional biosafety guidelines to prevent environmental contamination.

In recent years, the wound healing research focus has moved to in silico and in vitro models to avoid the use of a large number of animals in the research which helps to understand the cell growth and phases of wound healing (Sami et al. [2019\)](#page-428-0). A simulation model (mathematical/computational modeling) is also available to understand the healing mechanism, which is also called a non-animal model. This

<span id="page-424-0"></span>

Fig. 10 Features of general wound models. Reprinted with permission from Elsevier (License Number 4886770742994; Dated 12 Aug 2020); Reference: Grada et al. [2018](#page-426-0))

<span id="page-425-0"></span>model helps to predict the treatment of wounds with novel therapies and reduces the cost and duration of the experiment (Flegg et al. [2015](#page-426-0)).

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# Chronic Wounds: An Overview of Wound Healing and Experimental Models for Wound Studies



Diana G. Sami and Ahmed Abdellatif

# 1 Introduction

The skin is the first-line defense against the environment. Other functions of the skin include thermoregulation, fluid homeostasis and immune surveillance (Clark [2014\)](#page-450-0). Delayed wound healing is a serious problem affecting millions of patients and costs healthcare systems billions of dollars annually (Steiner et al. [2006](#page-455-0); Badia et al. [2017\)](#page-449-0).

Wound management is a challenge for healthcare providers (Sibbald et al. [2012\)](#page-455-0). It is expected that the annual wound care products market will reach \$15–22 billion by 2024 (Sen [2019\)](#page-455-0). Chronic infections and resistant wounds increase the length of hospital stay and cost billions of dollars of patient care (Gainza et al. [2015](#page-451-0); Sen [2019;](#page-455-0) Han and Ceilley [2017\)](#page-451-0). Chronic wounds also cause high mortality rates (Escandon et al. [2011](#page-450-0); Sen [2019](#page-455-0)).

Wound healing models are necessary to understand the pathophysiology of wound healing, as well as to test new therapeutic approaches (Ud-Din and Bayat [2017\)](#page-456-0). Wound models can be designed In silico, In vitro, Ex vivo, and In vivo using computational, cell culture, wound biopsies, and animal models (Andrade et al. [2015;](#page-448-0) Ud-Din and Bayat [2017](#page-456-0)).

In this chapter, we will provide an overview of skin anatomy, types of wounds, and the mechanism of healing in acute and chronic wounds. Also, we will discuss the wound microbiome in different wounds and experimental methods to assess wound healing.

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### 2 Skin Anatomy

### 2.1 Skin Histology

The epidermis is the superficial layer of the skin (Carmichael [2014](#page-449-0); Yousef et al. [2020\)](#page-457-0). The epidermis is composed of different cell types organized in various layers, e.g., Stratum spinosum, Stratum granulosum, Stratum lucidum, and Stratum corneum as the outermost layer, made of keratin and dead keratinocytes (Fig. 1) (Murphrey et al. [2020;](#page-453-0) Schlüter et al. [2014;](#page-455-0) Yousef et al. [2020](#page-457-0)).

The dermis is the connective tissue layer underneath the epidermis. It consists of the papillary dermis and the deeper reticular dermis. The dermis contains sweat glands, blood vessels, hair follicles, muscles, and sensory neurons (Brown and Krishnamurthy [2020](#page-449-0)). The hypodermis or subcutaneous tissue is deep to the



Fig. 1 Anatomy of the human skin. Wikimedia Commons, USGOV (Public Domain)
dermis and contains adipose lobules that insulate and protects the skin (Yousef et al. [2020\)](#page-457-0).

# 2.2 Skin Proteomics

Tissues have distinctive architecture and functional characteristics. The extracellular matrix and its proteins contribute to the structure and function of the skin. Proteins such as glycoproteins, collagen, and regulators of collagen assembly are known as small leucine-rich proteoglycans (SLRPs) (Mikesh et al. [2013\)](#page-453-0).

The basement membrane is rich in collagen type IV, laminin, nidogen/entactin, and heparin sulfate proteoglycans (Yurchenco and Schittny [1990](#page-457-0)). Collagen VII and anchoring fibrils connect the basal and reticular lamina in the basement membrane, thus promoting dermal to epidermal adhesion (Burgeson et al. [1990](#page-449-0)).

The dermis contains collagen types I and III (Watt and Fujiwara [2011](#page-457-0)). Fibrilassociated collagens such as collagen types XII and XIV are distributed throughout the matrix (Kielty and Shuttleworth [1997](#page-452-0)). Collagen type XII plays a part in the stromal architecture, while collagen type XIV is important for the regulation of fibrillogenesis (Ansorge et al. [2009;](#page-448-0) Young et al. [2002](#page-457-0)). Tenascin-X is an extracellular matrix protein that is also found in the dermis (Egging et al. [2007](#page-450-0)).

# 2.3 Skin Immunological System

Communication between immune and non-immune cells and the skin microbiota contributes to the produce immune responses. Some anatomical sites are considered immunologically important such as hair follicles and sweat glands (Kabashima et al. [2019\)](#page-452-0). In addition to their function as a physical barrier, Keratinocytes are the main component of the epidermis and are considered as part of the innate immune system. On inflammation, Keratinocytes express antigen identification receptors and secrete cytokines, such as tumor necrosis factor (TNF), and Interleukins (IL-33 and IL-1) (Carmi-Levy et al. [2011](#page-449-0)). These cytokines, in turn, activate and recruit other immune cells (Nestle et al. [2009](#page-453-0)). The hair follicle is classified as a site of immune privilege (Paus et al. [2003\)](#page-454-0). Hair follicles also house Tissue-resident memory T cells (TRM cells), which are responsible for long-term skin immunity (Adachi et al. [2015](#page-448-0)).

Sebaceous glands produce lipids that contribute to forming the skin barrier and its function. Also, they produce antimicrobial peptides, cytokines, and chemokines that regulate skin immunity (Mattii et al. [2018](#page-453-0)). In vitro analysis showed that Propionibacterium acnes induced production of IL-6, Transforming growth factor β) TGFβ, and IL-1β, which activates dermal dendritic cells to prime TH17 cells, which promote neutrophil recruitment and inflammation. For further details, see (Kabashima et al. [2019\)](#page-452-0).

The skin is covered with numerous microorganisms that significantly affect the immune system (Byrd et al. [2018\)](#page-449-0). Microbiota composition vary between the surface and deeper areas of the skin (Grice et al. [2008](#page-451-0)). The metabolites and the structural components of microorganisms might affect both innate and adaptive immunity. Staphylococcus epidermidis, is the most common commensal bacteria of the skin, produces lipoteichoic acid, which inhibits the release of inflammatory cytokines from keratinocytes (Lai et al. [2009\)](#page-452-0). Pathogenic microorganisms stimulate the production of inflammatory cytokines and chemokines, therefore recruiting neutrophils and monocytes, leading to infections such as folliculitis and hidradenitis. On the other hand, viruses invade the skin and produce latent infections and form their nest in skin appendages, probably due to their unique immune nature (Egawa et al. [2015\)](#page-450-0).

The dermis is characterized by extracellular matrix (ECM), which provides a scaffold for immune cell migration (Wolf et al. [2003\)](#page-457-0). A network of blood and lymphatic vessels and neurons is distributed through the ECM. This vascular system constantly recruits immune cells to the skin, including skin-resident dendritic cells (DCs), macrophages, neutrophils, and T cells, which detect foreign pathogens. Dendritic cells that have caught antigens go to the draining lymph nodes through the lymphatic system (Tomura et al. [2010](#page-456-0)). Neurons provide the sensory function to the skin and can communicate directly with the immune system (Riol-Blanco et al. [2014\)](#page-455-0).

Adipose tissue has a group of immune cells, including T cells, B cells, and macrophages. It is essential for the defense against *S. aureus* infection as they produce cathelicidin, which kills bacteria (Zhang et al. [2015](#page-457-0)).

# 3 Chronic Wounds

The healing of acute wounds depends on the extent of the injury. Clinical assessment of acute wounds takes into consideration the method of injury and damage to the soft tissues and bony structures to optimize wound care and ensure sound healing (Nagle et al. [2020](#page-453-0)). Wounds that do not heal within 2–3 weeks are considered chronic. These wounds negatively impact the quality of life of patients (Rahman et al. [2010;](#page-454-0) Han and Ceilley [2017](#page-451-0)). Chronic wounds are caused mainly by the following causes; vascular, traumatic, malignant, pressure, and diabetic wounds (McCosker et al. [2019;](#page-453-0) Iyun et al. [2016](#page-452-0)).

### 3.1 Diabetic Wounds

Diabetic wounds and foot infections are a major problem affecting about 20% of diabetic patients worldwide (Ogurtsova et al. [2017\)](#page-454-0). Diabetic foot management costs

nearly USD10 billion annually (Raghav et al. [2018](#page-454-0)). Foot complications include infection, ulceration, and gangrene (Ray et al. [2005](#page-454-0)).

Metabolic complications due to diabetes, hyperglycemia, peripheral neuropathy, and impaired circulation disrupt the wound healing process (Popov [2010](#page-454-0); Zhao et al. [2016b;](#page-457-0) Baltzis et al. [2014\)](#page-449-0). Poor oxygenation and circulation are contributing factors to poor wound healing in diabetic patients (Berlanga-Acosta et al. [2013\)](#page-449-0).

# 3.2 Pressure Ulcers

Pressure ulcers or bedsores are the most common example of tissue necrosis (Roaf [2006\)](#page-455-0), developing mainly in elderly and bedridden patients (Tubaishat et al. [2018;](#page-456-0) Grey et al. [2006](#page-451-0)). Pressure ulcers affect about 2.5 million patients per year in the USA and cost over 10 billion USD per year. Skin surfaces over the bony prominences (e.g., hips, ankles, heels, coccyx, scapulae) are the most vulnerable areas (Baron et al. [2016](#page-449-0); Mendoza-Garcia et al. [2015](#page-453-0)).

Pressure, shear, friction, and moisture are the main factors involved in the pathogenesis of pressure ulcers (Grey et al. [2006\)](#page-451-0). Due to continuous pressure, the blood supply to the skin is obstructed, leading to poor circulation resulting in tissue death and ulcer development (Anders et al. [2010\)](#page-448-0). Pressure ulcers may be prevented by changing patient positions frequently (Nageswaran et al. [2015](#page-453-0)).

# 3.3 Other Types of Ulcers

Venous Leg Ulcers occur due to chronic venous insufficiency, especially in the lower limbs (Guest et al. [2018;](#page-451-0) Comerota and Lurie [2015](#page-450-0)), due to the local rise in blood pressure and leakage of macromolecules into the extra-vascular space. Tissue edema and fibrosis impair oxygen diffusion, therefore, causing tissue ischemia and death (Guenin-Macé et al. [2014;](#page-451-0) Morton and Phillips [2016\)](#page-453-0).

Arterial Ulcers are a less common type of ulcers. They occur because of arterial insufficiency and poor perfusion, leading to insufficient skin oxygenation and tissue breakdown (Guenin-Macé et al. [2014](#page-451-0)).

Traumatic wounds usually occur after road traffic injuries, gunshot wounds, and bone fractures, these wounds are, in some cases, life-threatening, depending on the site of injury. In most cases, traumatic wounds are usually contaminated by skin flora and to a certain level by environmental organisms (Robson [1997](#page-455-0)). Infected traumatic wounds cause heavy bacterial burden, which impacts wound healing negatively by increasing the metabolic requirements of the patient, and by stimulating pro-inflammatory cytokines and by the effects of cytokines secreted by bacteria (Devriendt and de Rooster [2017](#page-450-0)). The presence of necrotic tissue at the wound site increases the severity of infection, complicates healing, and prolongs care.

Malignant wounds usually occur as a complication of cancer spreading to the subcutaneous tissues. They are commonly seen on the breast and chest wall. Malignant wounds are usually complicated with the poor general condition and the associated pain, exudate, and sometimes hemorrhage. Treatment of malignant wounds is primarily palliative to relieve pain and control infection (Ramasubbu et al. [2017\)](#page-454-0).

Other types of skin ulceration include burn and immune dysfunction (Tomioka et al. [2018](#page-456-0)).

# 4 Mechanism of Wound Healing

## 4.1 Normal Healing Process

The normal healing process of the skin is characterized by coagulation, acute inflammation, proliferation, and remodeling (Khodaeian et al. [2015](#page-452-0)).

After the acute injury, the coagulation cascade is activated, leading to the formation of a clot to prevent bleeding (Gilbert et al. [2016](#page-451-0)), and to protect the wound from infection (Bielefeld et al. [2013\)](#page-449-0). The release of pro-inflammatory cytokines and growth factors from activated plates recruits inflammatory cells to the wound and initiates an inflammatory phase (Gilbert et al. [2016](#page-451-0); Hameedaldeen et al. [2014](#page-451-0)). Neutrophils and macrophages are key players in this phase. Neutrophils remove bacteria, foreign objects from the wound and produce proteolytic enzymes such as matrix metalloproteinase (MMP) to break down dead tissue (Hameedaldeen et al. [2014\)](#page-451-0). Monocytes later differentiate to macrophages and phagocytose foreign organisms and dead neutrophils, and also release transforming growth factor ß (TGF-ß) and other cytokines, therefore, enhance fibroblasts and epithelial cells migration into the wound area (Bielefeld et al. [2013](#page-449-0); Hameedaldeen et al. [2014\)](#page-451-0).

The proliferation phase is characterized by angiogenesis, extracellular matrix (ECM) synthesis, and re-epithelialization (Gilbert et al. [2016](#page-451-0); Emanuelli et al. [2016\)](#page-450-0). Macrophages shift to an anti-inflammatory phenotype expressing antiinflammatory mediators, proteases, and growth factors, such as vascular endothelial growth factor (VEGF) and TGF- ß to encourage cell proliferation and protein synthesis. Endothelial cells and fibroblasts then enhance new blood vessels and fibrous tissue formation to form granulation tissue (Tsourdi et al. [2013\)](#page-456-0).

The remodeling phase is the last step leading to skin recovery. Immature ECM and collagen type III are degraded by MMPs and replaced with collagen type I (Zhao et al. [2016a;](#page-457-0) Gilbert et al. [2016](#page-451-0); Bielefeld et al. [2013](#page-449-0)). Subsequently, collagen fibers rearrange across tension lines, facilitating cross-linking, and increasing the tensile strength of the wound (Emanuelli et al. [2016](#page-450-0); Baltzis et al. [2014\)](#page-449-0).

# 4.2 Chronic Wound Healing Process

In chronic wounds, reactive oxygen species (ROS) are the key players. Elevated levels of ROS cause oxidative damage in DNA, proteins, and lipids leading to tissue damage (Donato-Trancoso et al. [2016](#page-450-0)). They induce inflammation, which in turn leads to epithelial dysfunction, decreased reperfusion, impaired angiogenesis resulting in poor ulcer healing (Blakytny and Jude [2006](#page-449-0)). Chronic skin ulcers are characterized by reduced levels of tissue inhibitors of matrix metalloproteinases, which result in elevated levels of matrix metalloproteinases to accelerate tissue degradation (Baltzis et al. [2014](#page-449-0); Amin and Doupis [2016\)](#page-448-0). MMPs destroy growth factors involved in healing, such as TGF- β1, insulin growth factor (IGF-I), and platelet-derived growth factor (PDGF), which are crucial for the healing process, there inhibiting re-epithelization (Falanga [2005](#page-450-0)). High concentrations of ROS and low TGF- β1 expression level increase macrophage chemoattractant protein-1 (MCP-1) levels, which attracts greater numbers of macrophage, leading to sustained inflammation (Blakytny and Jude [2009](#page-449-0)). Impaired angiogenesis is seen in patients with chronic ulcers. Hypoxia-inducible factor- $1\alpha$  (HIF- $1\alpha$ ), which iduces angiogenesis is induced in response to hypoxia resulting in the transcription of growth factors like VEGF, which is important for angiogenesis. In chronic ulcers, HIF-1 $\alpha$  is downregulated, leading to low expression of VEGF as a result of poor angiogenesis and impaired wound healing (Catrina et al. [2004;](#page-449-0) Larouche et al. [2018\)](#page-452-0).

# 5 Wound Management

Pressure ulcer prevention requires risk assessment, patient mobility and nutrition, skincare, and regular pressure redistribution (Langemo et al. [2015\)](#page-452-0). Treatment plans may include the use of local (topical) treatment, such as antimicrobials, antioxidants, growth factors, and analgesics (Gupta et al. [2017](#page-451-0)). Although antibiotics are the first line of treatment of wounds to prevent infection, the extensive use of antibiotics leads to antimicrobial resistance (Norman et al. [2016](#page-454-0); Ayukekbong et al. [2017](#page-448-0)).

Wound Dressings have been used to protect wounds and accelerate healing. The choice of dressing varies depending on the type of the wound, its location, and the amount of exudate (Gupta et al. [2017](#page-451-0)). Non-healing or chronic and infected wounds may require debridement or the excision of necrotic tissue to clean the wound, and decrease infection (Leaper et al. [2011](#page-452-0); Burtis and Dobbs [2009](#page-449-0)). Debridement is done surgically or biologically (Woo et al. [2015](#page-457-0); Falabella [2006\)](#page-450-0). Biological debridement employs the use of enzymes, which may lead to inflammation, while surgical debridement removes necrotic and healthy tissues non-selectively (Falabella [2006\)](#page-450-0).

Other plans include the use of Vacuum-Assisted Closure (VAC), or negative pressure to accelerate chronic wound healing (Nain et al. [2011](#page-453-0); Han and Ceilley [2017\)](#page-451-0). The vacuum enhances oxygenation, blood flow, and tissue repair (Schreiber [2016;](#page-455-0) Huang et al. [2014\)](#page-451-0). Alternative plans include the use of Hyperbaric Oxygen therapy (HBOT), aiming to increase the oxygen concentration in the patient's blood. There are still some doubts that high oxygen pressure might harm the brain (Tuk et al. [2014](#page-456-0)). Finally, some cases require surgical treatment and the use of autologous, full, or partial thickness skin grafts (Serena [2015](#page-455-0)).

Artificial Intelligence and Machine Learning can lead to a revolution in wound care practice. In the past few years, applications for wound photography and measurement of the wound area were developed. Such electronic tools can be integrated easily with the use of telemedicine and the use of electronic medical record systems (Queen [2019\)](#page-454-0). Machine learning tools can analyze the wound area and recommend treatment for effective wound management (Queen [2019\)](#page-454-0).

#### 6 Wound Microbiome

Skin commensal microorganisms are essential for the development of the host immune response and protection against pathogenic microorganisms (Tr et al. [2018\)](#page-456-0). In the following part, we will discuss the microbiome of healthy skin and how this microbiome is disturbed in acute and chronic wounds. Understanding the wound microbiome may improve the understanding of wound healing and lead to advances in treatment strategies.

# 6.1 The Healthy Skin Microbiome

Over 1000 bacterial species belonging to 19 phyla are commensals of the superficial layers of the skin (Grice et al. [2008,](#page-451-0) [2009\)](#page-451-0). The bacterial composition differs according to the anatomical location of the skin and its moisture content. Propionibacterium and Staphylococci species dominate sites with many sebaceous glands (Tr et al. [2018\)](#page-456-0), while sites with high moisture are dominated by Corynebacteria and Staphylococci spp. (Grice et al. [2009\)](#page-451-0). Whereas dry sites are highly abundant in β-proteobacteria, Flavobacteriales, and other Gram-Negative organisms (Grice and Segre [2011](#page-451-0)). Recent studies proved that the healthy skin microbiome extends into the deeper parts of the dermis with higher proportions of Proteobacteria and Actinobacteria (Tr et al. [2018\)](#page-456-0). In contrast to the bacterial microbiome, the mycobiome (fungal microbiome) component differs by anatomical location rather than moisture or sebaceous content (Findley et al. [2013\)](#page-450-0). The fungal skin community is mainly composed of the Malassezia genus (Paulino et al. [2008\)](#page-454-0). Feet as an exception are characterized by high fungal diversity and lower stability. This explains why diseases of the feet are common sites of recurrent fungal infections. Most recent, high throughput metagenomic sequencing has identified the Human Polyomavirus and Circoviruses are the main constituents of the skin virome (Tr et al. [2018\)](#page-456-0). Moreover, bacteriophages (Staphylococcus phages) are also major components of the skin virome (Landini et al. [2015\)](#page-452-0). Recent studies have shown that environmental and genetic factors help define normal skin flora (Chen and Tsao [2013\)](#page-449-0).

# 6.2 Acute Wound Microbiome

Understanding the microbiome of acute wounds helps in the understanding of the healing process (Xu and Hsia [2018](#page-457-0)). One study investigated the microbiota of open fractures. There were significant differences in the bacterial composition of wounds at initial admission in the hospital and after discharge. On admission, Pseudomonas, Corynebacterium, and Anaerococcus were more abundant in wounds if compared to normal skin. After the wound was healed, the skin was rich in Staphylococcus and decreased in Pseudomonas (Hannigan et al. [2014](#page-451-0)). Another study investigated the microbiome of burn wound patients. Burn wounds in these patients show an abundance of thermophilic organisms such as Aeribacillus and Nesterenkonia and a decrease of Corynebacterium (Plichta et al. [2017\)](#page-454-0). There was a significant relationship between different bacterial species present and postburn complications, as wound infection, sepsis & pneumonia. Propionibacterium acnes was associated with a greater risk of pneumonia and wound infection (Xu and Hsia [2018\)](#page-457-0).

The microbes available in acute wounds do not obstruct the inflammatory response. Staphylococcus epidermidis produces lipoteichoic acid, which decreases inflammation. Keratinocytes express antimicrobial peptides (AMPs) that provide protection against pathogenic bacteria. Probiotic supplements such as Lactobacillus reuteri may accelerate wound healing. Bacteria such as Staphylococcus aureus produce superantigens that reduce interleukin (IL-17) and consequently enhance the wound healing process. Pseudomonas enhances epithelization and angiogenesis through transforming growth factor beta-activated kinase 1 (TAK1) signaling. (Tr et al. [2018](#page-456-0)).

# 6.3 Chronic Wound Microbiome

Polymicrobial biofilms play a critical role in impaired wound healing (James et al. [2008\)](#page-452-0). Non-healing wounds have high numbers of microorganisms, including anaerobic bacteria, which may hinder the healing process. These bacterial biofilms increase the expression of cytokines IL-1B, IL-8, IL-6, chemokine ligands 1 and 8, and TNF-α. IL-8 is a potent neutrophil chemoattractant. The biofilm decreases matrix metalloproteinase  $-3$ , and vascular endothelial growth factor (VEGF) expression. Thus contributing to poor vascularization of the wound bed further prevents the delivery of exogenous therapeutics. Although chronic wounds have inflammation phase, the total number of macrophages and activated fibroblasts are low preventing healing (Tr et al. [2018\)](#page-456-0).

Modern tools such as next-generation sequencing shed more light on the diversity of the wound microbiome. For example, in pressure ulcers, the most dominant phyla observed were Firmicutes, Proteobacteria, and Actinobacteria (Ammons et al. [2015](#page-448-0)) There is a clear difference in the microbial composition of pressure ulcers in diabetic and non-diabetic patients (Xu and Hsia  $2018$ ). In diabetic foot ulcers, the most abundant bacteria found were Corynebacterium, Bacteroides, Peptoniphilus, Finegoldia, Anaerococcus, Streptococcus, and Serratia spp. (den Reijer et al. [2016\)](#page-450-0). Another study showed that Streptococcus and Clostridiales Family XI, a family of anaerobic bacteria, were more abundant in diabetic rather than non-diabetic patients (Clark [1989](#page-450-0)). Deeper non-healing ulcers have high levels of anaerobes and Gram-negative bacteria. Shallow healed ulcers showed a high abundance of *Staphylococcus aureus*. The most dominating fungal species found in diabetic foot ulcers (DFU) are Candida (parapsilosis, albicans, and tropicalis) and Trichophyton mentagrophytes as (Uberoi et al. [2020](#page-456-0)). Finally, in venous ulcer, the most dominant bacteria include Bacteroides species, S. aureus, Pseudomonas, Corynebacterium species, various anaerobes, and Serratia (Thomsen et al. [2010\)](#page-456-0). A study used debridement samples to demonstrate the association between bacteria and ulcer healing. They found that non-healed wounds at 6 months showed higher bacterial abundance and diversity. Those wounds had significantly high Actinomycetales and low Pseudomonas if compared with healed ulcers (Tuttle et al. [2011](#page-456-0)).

# 7 Experimental Wound Models

### 7.1 In Silico Models

Computational and mathematical models or in silico models utilize data analysis and bioinformatics to study wound healing. They may be used to test potential therapeutics and to design synthetic tissues for skin regeneration. Their main disadvantage is that they rely on the parameters that are chosen by the researcher and lack the biological complexity of the human skin. The outcome of such studies should be applied in a biological model to confirm the results (Menke et al. [2010](#page-453-0)).

#### 7.2 In Vitro

The pathogenesis of wound healing may be studied in vitro; such models may help understand scar formation and healing processes (van den Broek et al. [2014\)](#page-457-0). Examples of these models include single-layer cell cultures and co-cultures. Skin explants are also used as or three-dimensional cultures (Fig. [2\)](#page-440-0).

<span id="page-440-0"></span>

Fig. 2 Different types of In vitro wound healing assays. (a) Scratch Assay in mono-layer cell culture. (b) Boyden co-culture Chamber. (c) Skin Explant. (with permission from Sami et al. [2019\)](#page-455-0)

# 8 Single or Mono-layer Cell Culture

Conventional cell culture is a relatively easy and fast approach to wound healing study (van den Broek et al. [2014](#page-457-0)). Single or Mono-layers of cells, e.g., human or animal fibroblasts or keratinocytes, are commonly grown in culture. The layer of cells is disrupted using a scratch tool (sterile glass or plastic instrument) (Fig. 2). this scratch assay is commonly used to study the migration of cells in vitro. This method is usually utilized when studying various factors or drugs that affect cell migration and proliferation. The cell culture environment is controlled, which may be seen as an advantage for this technique, where factors may be added or removed depending on the purpose of the study (Henemyre-Harris et al. [2008\)](#page-451-0). Human skin is a complex organ with many interacting cell types, which makes this model of limited value (Henemyre-Harris et al. [2008\)](#page-451-0).

# 8.1 Co-Cultured Cell Cultures

To overcome the problems of single-layer cell culture, different systems are developed to allow for the co-culture of different cell types to study (van den Broek et al. [2014\)](#page-457-0). The trans-well system employs a chamber (Boyden Chamber) with a filter membrane separating it into two. The pore size is used to control the migration of cells (Fig. 2) (Boyden [1962](#page-449-0)).

Co-cultures provide insight into the factors affecting migration and cell–cell interaction. (Werner et al. [2007\)](#page-457-0). However, this system is a two-dimensional system with the availability to study only two cell types at a time, which limits its use (Ud-Din and Bayat [2017](#page-456-0)).

# 8.2 Ex Vivo Models

Skin explant is a type of culture in which the top layers of the skin are grown in vitro after removing fat and subcutaneous tissue (Fig. [2\)](#page-440-0). Healthy and diseased skin samples may be collected from surgical procedures. This model is used for the study of wound repair and inflammation and to test the effects of different drugs (Cho et al. [2013](#page-450-0); Ud-Din and Bayat [2017;](#page-456-0) Reus et al. [2012](#page-454-0)). This system has the advantage of being a three-dimensional structure with multiple cell types in which the micro-environment can be studied (Cho et al. [2013](#page-450-0); Nayak et al. [2013;](#page-453-0) Karamichos et al. [2009](#page-452-0)). Other factors can be controlled and changed to simulate in vivo conditions such as pH, nutrients, and temperature (Nayak et al. [2013](#page-453-0)).

A major disadvantage is the lack of nerve supply, which is thought to be essential in skin repair (Cho et al. [2013](#page-450-0)). This model is also highly variable depending on the age, sex, and health condition of the donor.

Other examples of Ex vivo models are used to study scars and evaluate therapeutics affecting keloids and hypertrophic scars (Iqbal et al. [2010](#page-451-0); Zhang et al. [2009;](#page-457-0) Syed et al. [2013\)](#page-456-0).

# 8.3 In Vivo Models

Models utilizing living animals are the most clinically relevant models in wound healing studies. Humans, small and large animals, are often used. They provide a complex biological environment involving multiple aspects of the pathophysiology of wound healing. Animal models are essential for testing new therapeutic techniques before moving to human clinical trials (Stephens et al. [2013\)](#page-456-0). For a full review of the different wound models see Sami et al. [2019.](#page-455-0)

#### 8.3.1 Human Wound Models

Using humans as a model is the ideal situation for wound research since the pathophysiology of healing, especially in acute wounds, is almost identical among all human beings. They are clinically important, especially in clinical trials (Wilhelm et al. [2017\)](#page-457-0). A summary of some of the acute wound models is shown in Table [1](#page-442-0). Unfortunately, such studies have legal, ethical, and practical concerns (Trøstrup et al. [2016](#page-456-0)).

Chronic wounds include venous leg ulcers, diabetic ulcers, and pressure ulcers, and others (Frykberg and Banks [2015\)](#page-451-0). Human chronic wounds provide an opportunity to study the delayed healing process. They are accessible, and scientists are able to get wound tissue samples post debridement surgery. Wound swabs and tissue specimens from chronic ulcers also can be obtained during outpatient visits (Pastar et al. [2018\)](#page-454-0). They thus provide a better opportunity to study the underlying factors of

Model	Technique and depth	Comments	Applications	References
Partial thickness model	Skin stripping with adhesive tape. Limited to stratum corneum, without affecting the dermal blood vessels	Simple and non-in- vasive. Variable wound, depending on the number of strips, pressure, and adhe- sive power.	Used to assess new wound dressing. therapeutic agents & skincare products.	Sobiepanek et al. (2019), Gao et al. (2013)
	Suction blister model involves the epidermis.	The negative pres- sure causes splitting in the basal mem- brane and separation between epidermis and dermis.	To assess healing and collagen syn- thesis. Measurements of pharmacological agents.	Koivukangas and Oikarinen (2003)
	Abrasive wound model involves the epidermis.	A semi-invasive technique using sur- gical brush to scrub skin.	Useful for differen- tiating wound dressing properties	Wigger- Alberti et al. (2009)
	Laser wounds. Variable depth	A laser used to induce superficial wounds affecting epidermis or deeper layers. High wound reproducibility.	Useful for topical pharmacological studies	Marquardt et al. $(2015)$
	Microdermabrasion (MDA). Variable depth	A minimally inva- sive technique using abrasive crystals to cause mechanical abrasion and remov- ing the stratum corneum. Less expensive than lasers.	A nonsurgical cos- metic procedures to treat scars, photoag- ing. Improves the trans- dermal drug delivery	Shah and Crane $(2020)$
	Split-thickness wounds. Variable depth	It involves the removal of a 100- 1500 micron-thick layer of the epider- mis/upper dermis using a sharp blade. The epidermal appendages are left to determine re-epithelization.	It is used to evaluate the effect of age on wound healing and to test the topical application of thera- peutics and growth factors.	Wilhelm et al. (2017)
Full- thickness wound model	A full-thickness wound and com- plete removal of epidermis and dermis.	A scalpel or derma- tome is used to create standardized wounds.	It is used to test new treatments.	Misic, et al. (2014)

<span id="page-442-0"></span>Table 1 Acute wound models in human

wound healing on the molecular and histopathological levels (Pastar et al. [2018\)](#page-454-0). Another point is that wound fluid is rich in information on metabolomics, proteomics, and wound microbiome environment, which plays an important role in the impaired healing process. Such studies will lead to personalized and targeted treatments that result in improvements in the outcome (Dowd et al. [2011](#page-450-0)).

Many difficulties prevent the widespread use of patients with chronic wounds as study subjects. For example, histological studies require multiple biopsies at multiple time points, which is not practical as it disturbs the healing process and is counterintuitive to the study's purpose of improving healing. Patients compliance and cooperation is also a major factor in establishing a well-controlled and statistically significant study (Nuutila et al. [2014](#page-454-0)). Moreover, ethical considerations prevent infecting the human wound or the use of an untreated control subject (Ud-Din and Bayat [2017;](#page-456-0) Ito and Cotsarelis [2008](#page-452-0)).

#### 8.3.2 Rodents

The skin anatomy of the rodents is different from that of humans, as rodents have a thin epidermis and dense hair, as well as the presence of subcutaneous muscle (Panniculus carnosus), which accelerate wound healing (Dorsett-Martin [2004](#page-450-0); Ito and Cotsarelis [2008\)](#page-452-0). Also, rodents lack apocrine and eccrine glands (Dorsett-Martin [2004](#page-450-0) and have endogenous vitamin C, which is crucial in all phases of healing (Wong et al. [2011;](#page-457-0) Moores [2013](#page-453-0); Dorsett-Martin [2004](#page-450-0)). Finally, the rodents' immune system is stronger compared to humans (Seaton et al. [2015\)](#page-455-0).

Despite all these differences, rodents remain extensively used in wound healing studies due to their low cost, availability, which makes them suitable for large studies (Trøstrup et al. [2016\)](#page-456-0). Different models of wounds were developed to study acute and chronic wounds (Fig. [3\)](#page-444-0).

#### 8.3.3 Rabbits

The rabbit ear is commonly used as a model for wound healing. The cartilage of the rabbit ear heals by re-epithelialization and granulation formation. It is characterized by high vascularization, which is similar to the human dermis. The high breeding cost is the main disadvantage of using rabbits (Rittié [2016](#page-455-0)). Table [2](#page-445-0) summarizes previous research using rabbits as a model for wound healing.

#### 8.3.4 Pigs

Porcine (pigs) have shared anatomical and physiological similarities to humans that make them promising models for wound healing. Pigs have thick skin with apocrine glands, sparse hair, and rely on exogenous vitamin C. Wounds heal by re-epithelization. In contrast to humans, pigs have poor dermis vasculature

<span id="page-444-0"></span>

Fig. 3 Different types of wound models in rodents. (with permission from Sami et al. [2019\)](#page-455-0)

(Summerfield et al. [2015](#page-456-0)). Pigs are not an ideal model due to the high cost and the requirement for large experimental setups, which limits their use, especially in largescale experiments where a large number of animals is needed (Parnell and Volk [2019\)](#page-454-0). Table [3](#page-446-0) is a summary of previous research that used pigs as a wound model.

#### 8.3.5 Zebrafish

Zebrafish (Danio rerio) are small freshwater fish that are commonly used in biological research (Li et al. [2011;](#page-452-0) Trompouki et al. [2011\)](#page-456-0). Among other models, zebrafish have several advantages. They have a high degree of genetic conservation with humans, a large number of offspring, and their maintenance cost is cheap (Trompouki et al. [2011\)](#page-456-0). Wounds in the epidermis of zebrafish and mammalian embryos close in the same way both of them re-epithelialize without inflammation, granulation tissue formation, and scarring (Brockes et al. [2004\)](#page-449-0). The caudal fin of the zebrafish is unique since it can fully regenerate within 10–14 days (Alvarez et al. [2018\)](#page-448-0). A previous study used a laser to introduce full-thickness wounds on the flank of adult zebrafish (Richardson et al. [2013](#page-455-0)). They showed that wound re-epithelialized rapidly without inflammation and blood clot formation (Richardson et al. [2013\)](#page-455-0). From our point of view, this model is not suitable to study acute wounds that occur in humans as they do not go through the phases of wound healing (inflammation, granulation, and scaring).

Wound type	Method	Comment	References
Excisional wound model	A full-thickness excision on the backside of the ears.	This model imitates the acute healing process in humans.	Oian et al. (2017)
Diabetic model	A full-thickness wound on the ear in diabetic animals. Diabetes is induced by Alloxan, or Streptozotocin (STZ), or by a high-fat diet.	<b>Application</b> Useful to study diabetic wounds. <b>Limitations</b> High mortality rate of dia- betic rabbits.	Wang et al. (2010)
Cutaneous ischemia- reperfusion ulcer	Ischemia/reperfusion injury was induced by applying and remov- ing magnets over the ear between the central artery and marginal veins.	<b>Application</b> This model is used to study type 1 pressure ulcers. <b>Limitations</b> A small sample size (four animals) was used in this study.	Schivo et al. (2017)
Ischemic skin wound model	Ligation of two arteries at the base of the ear. A punch biopsy was used to create deep ulcers.	<b>Application</b> Used to study pressure ulcers as it resembles the healing process of the human.	Ahn and Mustoe (1990)
Skinfold chamber model	A chamber is placed on top of holes punched through the cartilage.	<b>Application</b> To study the vascular changes in the presence of shear stress.	Ichioka et al. (1997)
Burn wound model	Heated rod (90 $\degree$ C) to induce burns.	<b>Application</b> It is considered a good model example to study hypertrophic scar.	Friedrich et al. (2017)
Infected model	Bacteria were inoculated in full- thickness wounds.	<b>Application</b> It is used to study the antibacterial effect of drugs in chronic and infected wounds.	Dai et al. $(2011)$ , Seth et al. (2012)
Parabiosis model	Two rabbits are joined surgically at the ears.	<b>Application</b> It is used to study immunol- ogy, cancer metastasis, and metabolic disorders. <b>Limitations</b> High risk of mortality.	Andresen et al. (1957), Dai et al. (2011)
Denervated wound model	Surgical excision of the sensory nerves of one ear.	<b>Limitations</b> Wound completely healed by 15 days.	Yagmur et al. (2011)

<span id="page-445-0"></span>Table 2 The use of rabbit ear as wound Models

# 8.3.6 Caenorhabditis elegans

The epidermis of Caenorhabditis elegans consists of a simple epithelium on top of a basal lamina. Epithelial cells secrete flexible collagenous cuticles (Chisholm and Hsiao [2012](#page-449-0)). C. elegans has been used as a model to study the different biological

Wound			
Type	Method	Comment	References
Excisional wound model	Full-thickness wounds are created on the back of the animal in normal or diabetic pigs.	<b>Limitations</b> High cost and small sample size. Wounds in diabetic pigs heal faster (18 days) com- pared to humans.	Singer and McClain (2003)
Radiation- induced wound	The leg was irradiated, and a full-thickness excision is made.	<b>Limitations</b> Skin became normal after 2 weeks later. High cost	Bernatchez et al. (1998)
Cutaneous ischemia- reperfusion ulcer	Two magnets are implanted subcutaneously and exter- nal magnets are applied to cause compression and ischemia of the skin.	<b>Application</b> Used to study vascular supply and the circulation of the skin. <b>Limitations</b> The small sample size used in the study.	Vaena et al. (2017)
Ischemic skin wound model	A skin flap is created and a silicone sheet to avoid reattachment. Circular excisional wounds are made in the flap.	Decreased blood reperfu- sion and delayed macro- phages occurred in the ischemic wounds. <b>Limitations</b> High surgical skills are required Pocket filled with fluid form under the flap. Small sample size and small wounds are hard to analyze.	Patil et al. (2017), Roy et al. (2009), Seaton, et al. (2015)
Burn wound model	A heated metal bar (80- 110 °C) is used to create burn wound.	<b>Application</b> A simple, non-invasive model for burn wounds. It is important to study the therapeutic effect of new drugs and study hypertro- phic scarring. May be used to study wound infection. <b>Limitations</b> Small burn area. A modification uses a steel bar to control the depth of the burn.	Rapp et al. (2015)
Infected	An incisional/excisional	<b>Application</b>	Dai et al. (2011);
wound	wound is created and inoc-	Used to study	Hirsch et al. $(2008)$ ,
model	ulated with microorgan- isms, or foreign bodies, in	antibacterial drugs. Used to study bacterial	Kalan et al. $(2016)$ , Seaton et al. (2015)
	normal and diabetic pigs.	interaction in the wound	

<span id="page-446-0"></span>Table 3 The use of pigs as wound Models (modified from Sami et al. [2019](#page-455-0))

(continued)

Wound			
Type	Method	Comment	References
		environment. Useful to study infection in chronic diabetic wound. <b>Limitations</b> Diabeteic wound healing did not resemble human wound healing pattern.	
Dead space wound model	Skin incision and removing part of the back muscles.	<b>Application</b> The model was useful to study the effect of nega- tive pressure wound therapy.	Huang et al. $(2014)$ , Murthy et al. (2013), Nayak et al. (1999)
Denervated wound model	Nerve is cut followed by skin compression.	<b>Application</b> Suitable to study bed- sores. <b>Limitations</b> Requires high surgical skills and is complicated by muscle atrophy. Wound heals within 3 weeks, which does not allow for studying chronic wounds.	Seaton et al. $(2015)$

Table 3 (continued)

processes involved in wound healing. Its development is well understood, which enables researchers to trace the lineage of different cells involved in the wound repair process (Bao et al. [2006](#page-449-0)).

# 9 Conclusion

The wound healing process is complex and requires extensive research to be understood and for the development of new therapeutic strategies. To the best of our knowledge, there are no animal models that demonstrate identical wound healing criteria to human wound pathophysiology. Since human models are of limited value to research, various animal models have been developed to enhance our understanding of the healing process. Multiple models should be used to study different types of wounds, either sequentially or in combination, e.g., in vitro and in silico models could be used before moving to animal models. Despite the ethical concerns and their associated disadvantages, animal models remain necessary for the study of the complex pathophysiology of wound healing and for testing different treatment approaches.

<span id="page-448-0"></span>Rodent models are the most cost-effective, and therefore, large numbers can be used, leading to statistically significant studies. Rats are, in our view, are preferred for wound studies despite the presence of the subcutaneous muscle (Panniculus carnosus). Recent studies (Maldonado et al. [2014](#page-452-0); Sami and Abdellatif [2020](#page-455-0)) report wound models that overcome the contraction element of the subcutaneous muscle and create ulcers very similar to human pressure ulcers, which provide an excellent tool for future wound research.

Many reliable wound assessment tools have been developed (Lima et al. [2018](#page-452-0)) to assess wound healing in small animal models with high reliability, therefore making these models even more significant for translational wound research.

Conflict of Interest The authors declare no competing financial interest.

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# Experimental Wound-Care Models: In Vitro/In Vivo Models and Recent Advances Based on Skin-on-a-Chip Models



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# 1 Introduction

The ageing of the EU population, generally associated with comorbidities, is a considerable challenge for the healthcare systems. Chronic wounds are one of the most frequent comorbidities related to aged populations (Frykberg and Banks [2015\)](#page-481-0).

The treatment of complicated skin injuries continues to be an emergent problem for the scientific and medical community. Although many available therapeutic options in the clinical environment to treat skin lesions, like conventional (e.g. gauzes, adhesive strips), advanced dressings (e.g. hydrocolloids, hydrogels, creams), implants, and skin substitutes, they do not present the "ideal" properties (Sood et al. [2014\)](#page-484-0). So, the researchers have been concentrating on the production of wound dressings, able to reduce the healing process time, as well as re-establish the quality of patients' life (Dreifke et al. [2015;](#page-481-0) Rezvani Ghomi et al. [2019](#page-483-0)).

Further, the clinical translation of wound devices is greatly correlated to the physicochemical characterisation and preclinical evaluation that assess the formulations' quality, safety, and efficacy (Ruggeri et al. [2020](#page-484-0)). Moreover, the woundhealing therapies' commercialisation process is laborious, expensive, and prolonged involving the in vitro, in vivo, and clinical assays. The preclinical evaluation of most therapies is performed through in vitro and in vivo animal models, that present low predictability when tested in humans (Dellambra et al. [2019](#page-481-0)). Apart from these facts, the EU's seventh Amendment to the Cosmetics Directive banned animal models for testing cosmetic products, and different agencies have supported the 3R principle (Replacement, Reduction, Refinement) (Ranganatha and Kuppast [2012](#page-483-0); Doke and

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Dhawale [2015](#page-481-0)). Also, the European Medicines Agency (EMA) described the guidelines to implement the 3Rs policy in the testing of medicinal products, referring to the requirements to test medical products for human use, as reviewed by Dal Negro et al. [\(2018](#page-481-0))

In this way, different efforts made by companies (e.g. GlaxoSmithKline, Johnson & Johnson, L'Oréal, Novartis, Proctor & Gamble, and Unilever) and researchers have resulted in the use of non-animal alternative strategies to estimate the biological performance of therapies through the development of in vitro 3D models. Several industries already developed skin models, skin tissue engineered and protocols to evaluate skin features, such as SkinEthic®, epiCS®, EpiDerm®, and EpiSkin®. These are examples of reconstructed skin models validated, available in the market, and able to be used as an alternative to the in vivo assays (European Commission, [2020\)](#page-481-0).

In this way, it was possible to obtain in vitro assays with reproducibility, by using skin constructs to characterise genetic damage from topical therapeutic formulations; full-thickness skin models to study the drug penetration into damaged skin; and skin structures to ascertain the effect of the LED light in the acne' therapy (Yu et al. [2019;](#page-485-0) Wei et al. [2020](#page-485-0)).

However, most of these 3D skin models are static and cannot reproduce all skin functional and morphological features, as well as cell interactions, and dynamic microenvironment found on native human skin (Wufuer et al. [2016;](#page-485-0) Sriram et al. [2018;](#page-484-0) Wang et al. [2017\)](#page-485-0).

Taking this into account, the organ-on-a-chip platforms arise as revolutionary systems that require a small quantity of reagents, cells, and samples to replicate the structure, morphology, and dynamic conditions of a specific tissue. Furthermore, this preclinical system affords vast possibilities to replicate different disease conditions by perfusing the chip with diverse molecules (Low and Tagle [2017;](#page-482-0) van den Berg et al. [2019](#page-484-0); Wu et al. [2020\)](#page-485-0).

This chapter describes the leading preclinical platforms used for the biological evaluation of wound healing therapies, evidencing their main advantages and disadvantages. Special attention is dedicated to the new promising in vitro skin models, reporting the main production techniques and their use as a platform for testing wound dressings, topical formulations, and cosmetic products or studying pathological and physiological alterations associated with skin diseases. We also address the main future perspectives enrolling in the development of the skin models accelerating the clinical translation of different innovative wound healing therapies.

# 2 Biological Assessment of Wound Healing Therapies: Actual Preclinical Platforms

The constant development of wound healing therapies has revolutionised the preclinical research area. The evaluation of safety, toxicity, and efficacy in a doseresponse trend are some parameters that need to be determined before the clinical trials, following the regulatory guidelines, as reviewed by Bernard et al. ([2018\)](#page-481-0)

In the first instance, the wound healing process is assessed through in vitro assays (e.g. wound scratch or transwell migration assays) (Grada et al. [2017;](#page-482-0) Liang et al. [2007;](#page-482-0) Van Meerloo et al. [2011](#page-484-0)). These are easily executed, and are approachable assays that can be used to evaluate the primary skin cells' migration ability (keratinocytes and fibroblasts). These in vitro models afford an effective first approach to discover and screen the drug's effects (Abd et al. [2016\)](#page-480-0).

However, to reproduce the biological conditions (immune response, cell–cell and cell–matrix interactions) on wound healing mechanisms, researchers have created the organotypic cultures. These are composed of two layers: dermis and the epidermis, being used to characterise the keratinocyte–fibroblast crosstalk during the wound healing mechanism and evaluate the therapeutic effects of a topical treatment applied in 3D cultures (Oh et al. [2013;](#page-483-0) Weinmüllner et al. [2020](#page-485-0)).

Similarly, the ex vivo human wound samples have been employed to study the mechanism of wound epithelialization.

Even so, animal models remain the standard preclinical platform that gives a complete knowledge of the biological response of therapies at the local and systemic level (Barre-Sinoussi and Montagutelli [2015](#page-481-0)).

However, the use of alternatives to animal experimentation has been a topic very explored by cosmetic and pharmaceutical companies, due to ethical and economic concerns.

Herein, we depict the most current in vivo, ex vivo, and in vitro models in the skin regeneration area, describing their advantages and disadvantages. It is also discussed the recent innovations performed in the development of the skin-on-a-chip, which are classified as micro-engineered models that replicate the morphology and functions of the human skin.

## 2.1 In vivo Models

Actually, the preclinical testing is essentially conducted through in vivo models. In general, in vivo wound models have several potential features since they enable: (1) the study of multiple interactions between diverse cell populations that normally occur during the wound repair process; (2) the search of multiple players enrolled in the healing process (e.g. cytokines, hormones, growth factors); (3) the specific reduction/silencing of target genes to evaluate their impact on healing process; (4) the investigation of an efficient immune response; (5) the induction of various wounds in the same animal, and (6) the induction of different types of wounds (burns, surgical injuries, crushing, etc.) (Barre-Sinoussi and Montagutelli [2015;](#page-481-0) Masson-Meyers et al. [2020;](#page-483-0) Sami et al. [2019](#page-484-0)).

The utilisation of in vivo animal models for studying the pathophysiology of cutaneous wound repair and testing/optimising novel therapeutic approaches enables the evaluation of biological performance under systemic conditions, making possible the promotion of cell communications and interactions between local cells, and immune cells recruitment (Barre-Sinoussi and Montagutelli [2015;](#page-481-0) Sami et al. [2019\)](#page-484-0).

Thus, different animal models have been employed for wound healing assay, including rodents (e.g. mice and rats), pigs, rabbits, and zebrafish, which share some anatomic and physiologic properties with human skin, as illustrated in Fig. [1](#page-462-0).

Among the different animal models, rodents are the most utilised in woundhealing studies since they are easily available, to handle and low cost to acquire and preserve. Further, its small body size avoids high quantities of chemical use, and the duration of wound healing assay is shorter ( $\approx$  21 days).

Besides, the mice and/or rats used in in vivo assays are genetically modified organisms (transgenic or various knockouts/knockins) that simplifies the study of the molecular pathways, and they can be easily controlled to reproduce the particular wound conditions (e.g. diabetes, skin infections, hypoxia, and ischemia) (Dellambra et al. [2019](#page-481-0); Pastar et al. [2018;](#page-483-0) Wilhelm et al. [2017\)](#page-485-0).

During the wound healing assay, the histology analysis, and the mechanical properties of the regenerated skin tissue, biochemistry as well as the expression of genes provide essential parameters to estimate the progression of the wound healing process. Furthermore, non-invasive methods like bioluminescence and laser Doppler imaging can also examine blood flow and other wound healing parameters.

The incisional wound, obtained by cutting the skin with a sharp blade, is a model suitable to study the scar tissue formation over long periods, but not appropriate for the characterisation of the epithelialisation process. Excisional wound models are mostly used for the characterisation of the contraction rate and epithelialization in murine models, which allow the identification of the essential signalling pathways related to the wound healing progress, such as  $W$ nt/β-catenin, transforming growth factor-β (TGF-β), Notch, and bone morphogenetic proteins (BMPs) (Pastar et al. [2018\)](#page-483-0). Additionally, to evaluate the biological processes dependent on epithelialisation, cell proliferation, and angiogenesis, a silicon splint wound murine model is employed (Park et al. [2015\)](#page-483-0). Further, the parabiosis model has been exploited to evidence the role of cytokines and cells (inflammatory and mesenchymal cells) on delayed wounding in diabetic mice (Song et al. [2010](#page-484-0); Wong et al. [2010\)](#page-485-0).

The dorsal skinfold chamber enables real-time high-resolution microscopic imaging, and it is widely applied in the study of vasculature physiology (Wong et al. [2010\)](#page-485-0). Finally, the least invasive murine wound model is the tape-striping wound model, which consists of disruption of the epidermal layer by withdrawing just the stratum corneum and stratum granulosum. However, to reproduce the partialthickness wounds, the murine skin is not suitable since it possesses a thin epidermis and large hair follicles, compromising the induction of superficial wounds

<span id="page-462-0"></span>

Fig. 1 Representative illustration and description of the "skin" structure and composition of the in vivo animal models commonly used in wound healing assays and the human skin

which assure the consistency and reproducibility (Dellambra et al. [2019](#page-481-0); Sami et al. [2019\)](#page-484-0).

On the other hand, considering the clinical relevance and prevalence of chronic wounds in the human population, significant efforts were made to create diabetic models for wound healing studies, namely the diabetic db/db mice, which exhibited a pronounced impaired wound healing (excisional and incisional wounds) in comparison to the normal in vivo models (Mendes et al. [2012\)](#page-483-0).

Mertz and Eaglstein in 1978 created a porcine wound-healing model to study the wound-healing processes, namely the formation of scar tissue, the skin regeneration, and the microorganisms colonisation at the wound site (Meyer et al. [1978](#page-483-0); Sullivan et al. [2001](#page-484-0)).

Amongst all in vivo models, the porcine model possesses a similar skin structure in comparison to the human skin, concerning features like epidermal and dermal thickness, arrangements of hair follicles, and blood vessels (Dellambra et al. [2019\)](#page-481-0). Moreover, the compounds of the dermis (e.g. collagen and elastin) are analogous to human skin and present a comparable distribution of immune cells and melanocyte. Also, the pigskin sticks to underlying tissue like human skin.

More important, the wound healing process in pig animals occurs essentially due to the epithelialization process in a similar way to humans.

Due to these resemblances, the pig is a model used in several dermatological subjects (melanoma, depigmentation and vitiligo disorders, and wound healing therapies) (Dellambra et al. [2019](#page-481-0); Pastar et al. [2018\)](#page-483-0).

On the other hand, the porcine model enables the biological evaluation of distinct therapies in the same animal since it can induce multiple wounds. Indeed, a few wound healing pig models were already developed namely, the incisional, excisional injuries, skin infection, burns, UV radiation injury, and skin hypoxia. The porcine models have been validated for the assessment of the biological effect of diverse wound healing therapies, including various common wound care products available in the market (Rittié et al. [2013](#page-484-0)).

However, these models are expensive and have some limitations such as the lack of the eccrine sweat glands, their larger size and complexity which implies the management by skilled professionals, as well as the challenging induction and maintenance of diabetes in pigs. On the other hand, the development of transgenic pigs is more complex than mice and rats (Pastar et al. [2018](#page-483-0)).

In turn, the rabbit ear model has been applied in the research of deregulated wound healing in the situations as ischemia and infection, which are determinant in the progression of chronic wounds. This model has also been used to test the effects of bacterial contamination on wound healing and discover/develop new potential treatments (Chien and Wilhelmi [2012](#page-481-0)).

Further, the ischemic wound model is also widely used in the rabbit ear, which is generated by suturing off the ear's arterial blood supply. This model is relatively inexpensive to maintain and create multiple wounds in a single animal. Despite this, the rabbit ear model is incapable of fully recapitulating the human setting since the dermis is firmly connected to the cartilage and it is avascular (Chien and Wilhelmi [2012;](#page-481-0) Pastar et al. [2014](#page-483-0)).

Finally, zebrafish is an animal model generally used, since this model presents a genome analogous to humans, embryonic transparency, rapid embryonic development, many offspring, and easily manipulated genetic content (Spitsbergen and Kent [2003;](#page-484-0) Chávez et al. [2016](#page-481-0)).

The "zebrafish" skin is composed of an epidermis separated by a basal membrane from a collagen-based dermis, becoming this model proper for wound healing studies, since it possesses a structure and primary mechanisms similar to the human wound healing process (Li and Uitto [2014;](#page-482-0) Richardson et al. [2013](#page-484-0)).

On the other hand, zebrafish are also used in studies regarding melanogenesis, pigment disorders, and melanoma (Choi et al. [2007;](#page-481-0) van Rooijen et al. [2017\)](#page-484-0). Indeed, the zebrafish skin possesses cells with black pigment, which present a similar behaviour to the human melanocytes (Li and Uitto [2014;](#page-482-0) Li et al. [2011\)](#page-482-0). However, the zebrafish did not possess an epidermal barrier and skin appendages.

Despite the potential of using animal models in the testing/screening of wound care products, recently, the researchers/industries have been centred on discovering non-animal alternative approaches. The animal experiments are expensive, timeconsuming, require ethical concerns, and the results are frequently non-predictive when tested in humans (Stirland et al. [2013](#page-484-0)). According to the Humane Society International Organization, about 90% of the medicines that displayed promising results in animal models were unsuccessful when tested on humans. Also, different agencies (European Union Reference Laboratory-European Centre for the Validation of Alternative Methods (ECVAM) and Organisation for Economic Cooperation and Development (OECD)) are enforcing the 3 Rs strategy, which defends the reduction, refinement, and replacement of animal use in experimentation. The ex vivo and in vitro models are detailed in the following sub-sections, evidencing the primary potentialities.

# 2.2 Ex vivo Models

The ex vivo skin models are widely used to determine topical or injected therapies' effectiveness due to their time and cost-effectiveness. Compared to the in vitro skin models, ex vivo models can replicate the structure of extracellular matrix (ECM), cell signalling mechanisms and metabolism, incorporation of skin appendages, and the effects of dermal absorption (Flaten et al. [2015](#page-481-0)).

These models' skin samples are typically acquired from surgical operations such as abdominoplasty (Xu et al. [2012a](#page-485-0)). The skin is separated from underlying fat to enable the appropriate attachment of the dermis layer to the culture plate. Different types of wounds can be induced, like an excisional wound with a punch (3–4 mm) and a full-thickness skin injury by using biopsy punch (8–10 mm). After that, the therapeutic approaches can be directly applied to the wound site, and then the reepithelisation mechanism can be monitored through histomorphometric analysis and keratin immunostaining (Planz et al. [2016](#page-483-0)).

Ex vivo skin models are more appropriate to determine the effect of drug formulations, transdermal delivery, topical penetration, and percutaneous absorption. As potential advantages, the human ex vivo wound models present the total thickness of epidermis and dermis, Langerhans cells, melanocyte cells, and nerve endings, allowing the establishment of standardised wound depths (Pastar et al. [2014\)](#page-483-0).

However, ex vivo models fail in the blood supply, restricting the assays including immune cell infiltrates, and the culture of the human skin ex vivo during at least 2 weeks is too laborious, as highlighted in the literature (Pastar et al. [2014;](#page-483-0) Gordon et al. [2015](#page-482-0)).

The NativeSkin<sup>®</sup> is an ex vivo skin model currently available in the market. It consists of skin biopsies obtained from patients submitted to the surgery, in which skin samples are placed within the supportive matrix that provides a suitable moist environment for skin dermis. This model has been utilised as a standardised ex vivo skin model before clinical trials since it possesses a protective and physiological barrier and stratum corneum layer appropriated for absorption assays. However, NativeSkin<sup>®</sup> is not able to replicate a pathological condition.

Another wound model example is the murine skin explant utilised to characterise the keratinocytes' migration. In this case, the fascia is separated from the mouse skin, and the removed skin sample is immersed in the cell culture medium. After that, the influence of pharmacological therapies on keratinocyte migration can be assessed. This model can study the interaction between keratinocytes and fibroblasts. However, it does not possess immune cells, blood supply, and the ECM (Pastar et al. [2014\)](#page-483-0).

Also, porcine skin samples can be used as ex vivo models for wound infection and screening the activity of antimicrobial therapies. In the first instance, the porcine skin sample is sterilised, and the partial-thickness wounds can be induced with a dermatome. In contrast, the chronic wound environment can be mimicked by the growth of bacterial biofilms (e.g. Pseudomonas aeruginosa (P. aeruginosa) and Staphylococcus aureus (S. aureus)) on wounds. This type of model was already used to evaluate the therapeutic effect of formulations containing iodine and silver, surfactant-based dressings, and honey ointments. This model presents similar cutaneous physiology to the human skin, and the tissue can be easily achieved from meat processing. However, the porcine ex vivo skin models exhibit a limited host immune response, and the commensal microbiome is not present (due to the sterilisation process) (Gordon et al. [2015](#page-482-0)).

Having in mind, the limitations of material used in ex vivo explants, bioengineered skin substitutes have emerged. Different improvements have been performed in tissue engineering, allowing the development of diverse wound reepithelialization models.

# 2.3 In vitro Skin Models

The in vitro skin models arise as an alternative more accessible, rapid, no presenting ethical concerns neither immune responses. In general, in vitro models to test/screen the wound healing therapies are subdivided into: (1) 2D skin models; (2) 3D static



Fig. 2 Representation of the main in vitro models used for testing/screening wound healing therapies, describing their advantages and disadvantages and their temporal evolution

skin models and more recent; (3) Skin-on-a-chip models based on microfluidic systems.

Figure 2 summarises the main strong points and limitations of these in vitro skin models.

In the initial phase of the drug screening process, the 2D in vitro assays are the most used to investigate the formulations' biocompatibility and ability to promote cell activities. These conventional assays are commonly used since they are easy, cheap, and relatively fast, providing a quick assessment of a large number of samples under controlled conditions (Abd et al. [2016](#page-480-0)).

Cell 2D monolayers are extensively used to screen compounds for initial assessment of the toxicity profile of therapeutic formulations. Such assays act as quick and pre-selective screening tools (Planz et al. [2016\)](#page-483-0).

The skin irritancy was the first assay performed by evaluating the products' effect in normal human keratinocytes (Rheinwald [1989](#page-483-0)).

In turn, the cell proliferation assay is done to ascertain the material/drug compound's ability to promote cell growth, whereas the cell cytotoxicity assay evaluates the cytotoxic effect of the therapies on cell viability (Wilhelm et al. [2017\)](#page-485-0).

In general, the cytotoxicity is evaluated following the guidelines described in ISO 10993-5 standard, which was updated in 2009. There are available in vitro cytotoxic assays such as 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) that ascertain the percentage of viable cells through the quantification of the formazan converted by the mitochondrial enzymes. The quantity of formazan converted by the cells' metabolic activity is correlated to the percentage of viable cells (Van Meerloo et al. [2011](#page-484-0)). Other cytotoxicity assays include the neutral red uptake (NRU) test, colony formation test, and (2,3-bis-(2-methoxy-4-nitro-5 sulfophenyl)-2H-tetrazolium-5-carboxanilide) (XTT) test. In these assays, the cell cultures should be selected according to the intended in vivo application.

The cytotoxicity assays afford qualitative and quantitative approximations about the cytotoxic potential of a therapeutic formulation since it is possible to examine the cell morphology (through optic microscopy) and the cells' activity (Bernard et al. [2018\)](#page-481-0). The continuous (immortalised) cell lines such as HeLa, L929, WI-38, 3 T3 or CHO are most used in the screening phase (Pizzoferrato et al. [1994](#page-483-0)). In the evaluation of materials for wound healing purposes, the fibroblasts are the most used cell type, since they are the main cells enrolled on the different mechanisms of the healing process, namely in the production of the ECM compounds. Further, the keratinocytes can also be used considering their role in maintaining the epidermal layer and other mechanisms of the wound healing process (Bernard et al. [2018](#page-481-0)).

Another in vitro experiment widely done by researchers is the scratch woundhealing assays that evaluate the "materials" ability to induce cell migration and proliferation (Liang et al. [2007](#page-482-0)). This assay enables the measurement of the gap "closure" rates and/or the cell number that migrate to the "wound gap". However, this assay does not mimic the intricate relationship between cell migration and proliferation (Buenzli et al. [2020;](#page-481-0) Jin et al. [2020\)](#page-482-0).

On the other hand, 2D co-cultures have also been used, since they give more insight regarding cell–cell interaction, namely the keratinocytes and fibroblasts interaction which is relevant during the wound healing and scar formation processes. However, the 2D cell culture model does not precisely imitate the in vivo cell microenvironment. These models are unable to simulate the complex interaction between the epidermal layer, skin and immune cells, as well as are insufficient to the accurate representation of what occurs in vivo (Sami et al. [2019](#page-484-0)).

In this way, 3D in vitro models arise since they faithfully recapitulate the human skin structure, cell–cell interactions and mimic the drug metabolism in a specific environment. In 3D skin models, the structure encourages cell adhesion, favouring tissue growth and development. So, the 3D skin models are produced in vitro by using diverse cell types, and materials aim to provide: (1) an epidermal barrier with keratinocytes, that prevent "microorganisms" infiltration and dehydration; and (2) a
dermal layer containing mature fibroblast or stem cells able to stimulate the wound healing mechanism (Flaten et al. [2015](#page-481-0)).

Researchers have used culture systems based on static 3D matrices that support the epidermal differentiation and maturation, and the air–liquid interface that enables the stratification process, allowing a more accurate evaluation of cell proliferation into a microenvironment more similar to found in vivo conditions (Löwa et al. [2018;](#page-482-0) Dhiman et al. [2005\)](#page-481-0).

Similarly, to ex vivo wound models, the 3D in vitro models can also evaluate the effects of diverse molecules on cells' migration and keratinocyte–fibroblasts interactions during the healing process. Generally, the human keratinocytes are seeded on a matrix composed of type I collagen incorporating fibroblasts or devitalised human dermis (Xu et al. [2012b;](#page-485-0) Mendoza-Garcia et al. [2015\)](#page-483-0).

Furthermore, these models can be employed to examine the pathophysiology of the healing process by inducing a wound through a biopsy punch. The wound can also be induced through the  $CO<sub>2</sub>$  laser, and needle punctures Then, the reepithelialisation process is evaluated by histomorphometry analysis or immunostaining (Pastar et al. [2014](#page-483-0); Planz et al. [2016\)](#page-483-0).

The most used 3D in vitro skin models are EpiDerm®, EpiSkin®, and SkinEthic®, which are essentially composed of human fibroblasts and keratinocytes layers inserted on the 3D supportive matrix. All the models present the proper cell metabolic and mitotic activity, expressing the epidermal differentiation markers (Flaten et al. [2015;](#page-481-0) Planz et al. [2016](#page-483-0); Hänel et al. [2013](#page-482-0)).

However, this type of model presents some shortcomings, namely the basic organisation and few cell types compared to the in vivo native skin. In this way, the complex cellular interactions that occur during inflammation and angiogenesis processes are not replicated. Further, the functional dermal–epidermal junction is not mimicked, leading to incomplete differentiation and instability of cell interactions. Also, these models presented other limitations like unability of: (1) replicating all structural and functional properties of native skin; (2) promoting a controlled microenvironment, (3) imitating the cell–cell interactions, nutrients and cytokines diffusion, and (4) conducting the unreliable results in dermal penetration evaluation, due to its weak barrier function (Wufuer et al. [2016](#page-485-0); Sriram et al. [2018;](#page-484-0) Wang et al. [2017\)](#page-485-0).

In this sense, a critical and actual trend is developing microfluidic devices for better mimicking skin function and acting as wound healing research platforms.

The skin-on-a-chip technologies are the most recent in vitro models reported in the literature, which have been developing to screen drugs and treatments. These biomimetic platforms are characterised by possessing a small size and increased complexity, requiring low quantities of samples (from nanoliters to picoliters) (van den Berg et al. [2019](#page-484-0); Wu et al. [2020](#page-485-0); Lee et al. [2017\)](#page-482-0). Skin-on-a-chip is a system that allows the culture of skin tissues within a microfluidic platform, provides management of physical and biochemical issues, as well as mimics the 3D microenvironment of the native skin. It is also possible to generate the model with three layers, sensory organs, appendages, and a full vascular network (van den Berg et al. [2019;](#page-484-0) Kilic et al. [2018](#page-482-0); Mori et al. [2017\)](#page-483-0).

These devices are typically composed of glass and silicone functionalised with bioactive materials that aim to reproduce the specific organ microenvironment. The multi-channel merged with a micro-perfusion system creates dynamic culture conditions, enabling the delivery of nutrients for long periods of time, and simultaneously promote the transport of wastes and the maintenance of oxygen levels (Planz et al. [2016](#page-483-0); Stark et al. [2004](#page-484-0)).

After the creation of the chip, it can be incorporated into a multiorgan chips system, simulating a full "organism" native environment, offering wide opportunities to develop more potent therapeutic approaches for wound healing disorders.

# 3 The Emergent Strategies to Fabricate In Vitro Skin Models

Most skin models constitute a 3D structure that affords a guide for cell adhesion and proliferation during wound healing and neovascularization processes. The morphology, structural integrity, and elasticity are strongly influenced by the production methodology. In this way, the researchers have been used different techniques that allow obtaining 3D scaffolds with peculiar structural properties (as represented in Fig. [3](#page-470-0)).

## 3.1 Freeze-Drying Technique

The freeze-drying method commonly uses water as a porogen to generate porous hydrogels. In brief, after freezing the polymer solution, the lyophilisation process is performed to promote solvent evaporation, and hence the pores are created within the structure. The composition of a hydrogel, the freezing temperature, and the duration of freeze-drying cycles are critical factors that influence the pore size and scaffold morphology (O'Brien et al. [2005](#page-483-0)). This is an easy but time-consuming and laborious method, that does not use organic solvents, requiring rigorous temperature control to avoid the collapse of the internal pores hydrogel (O'Brien et al. [2005](#page-483-0); Yun et al. [2018](#page-485-0); Nicholas et al. [2016\)](#page-483-0).

Hilmi et al. produced chitosan scaffolds composed of a single layer for dermal fibroblasts' culture, through freeze-drying technique (Hilmi et al. [2013](#page-482-0)). They presented a highly porous architecture (93  $\pm$  12.57%) with pore sizes between 40 and 140μm. The fibroblast cells were cultured into chitosan scaffolds, and cell– chitosan interaction was enhanced, confirming structures' suitability for acting as a template for cell proliferation.

In turn, Ma et al. developed a bilayer skin model based on chitosan, which was composed of a chitosan film (casting method) and a chitosan sponge layer

<span id="page-470-0"></span>

<b>Techniques</b>	<b>Advantages</b>	<b>Disadvantages</b>
00 <b>Freeze-drying</b>	- Easy and acessible; - Did not require solvent organics; - Promotes the obtainment of highly porous structures.	- Requires a strict control over the temperature of freezing; - The time of lyophilization process also influences the pore sizes; - The mechanical integrity is weak.
Electrospinning	- Fibrous structure similar to the skin extracellular matrix; - The membranes present a high surface-to-volume ratio and porosity; - Different types of polymers can be used.	- Poor tensile strength; - Limited control over pore structures; - Poor cellular infiltration; - Unable to create complex 3D architecture.
<b>3D Printing</b>	- 3D structures with a precise control on overall structural architecture; - The materials can be selected according to desired mechanical properties and biodegradation profile; - High reproducibility and throughput.	- Post-processing steps increase the time and cost of production; - The crosslinking agents can be non-compatible with the cell incorporation.
Micropatterning Micromolding	- 3D structures with specific geometry and size; - Precise control over dynamic fluid behaviours and external physical factors; - Requires a small amount of materials and reagents; - High reproducibility and throughput.	- The optimization production process can be slow; - High complexity; - The UV-crosslinkable polymers are the most used materials.

Fig. 3 List of the main advantages and disadvantages of the techniques mostly used in the production of skin models

(freeze-drying technique) (Ma et al. [2001](#page-482-0)). The stability of the bilayer model structure promoted the fibroblasts' proliferation during 4 weeks.

## 3.2 Electrospinning

The electrospinning technique uses outside the applied electric field to produce thin fibres from a polymeric solution injected through a syringe. During the ejection process of polymeric solution, the solvent evaporates, and the fibres are deposited in a collector (Yun et al. [2018;](#page-485-0) Nicholas et al. [2016](#page-483-0); Miguel et al. [2018](#page-483-0)).

The electrospinning enables the production of networks of interconnected fibres with dimensions and morphology similar to the skin ECM's collagen fibres. Further, the electrospun membranes are also characterised by possessing high porosity and surface-to-volume ratio, which afford a surface area suitable for cell activity (Yun et al. [2018](#page-485-0); Nicholas et al. [2016;](#page-483-0) Miguel et al. [2018\)](#page-483-0).

Different polymers, natural and synthetic, or blends can be employed to fabricate electrospun membranes. However, electrospinning still has some challenges, including the low tensile strength, restricted control of pore sizes, and incapacity to produce complex 3D morphology. Besides, the low cellular infiltration into electrospun scaffolds is a significant issue, which has been overcome by managing the diameter of fibre and pores as well as combining biological signs that propel the cell migration and proliferation (Miguel et al. [2018;](#page-483-0) Heydarkhan-Hagvall et al. [2008\)](#page-482-0).

Recently, Miguel et al. created a bilayer skin substitute by using PCL/silk fibroin to produce the top layer, mimicking the epidermis features. In contrast, the silk fibroin/hyaluronic acid/thymol combination was used to obtain a dermis bottom layer to absorb the exudate excess and promote cell proliferation (Miguel et al. [2019a](#page-483-0)). The results evidenced that the top layer presented a higher fibre diameter  $(471.4 \pm 151.6 \text{ nm})$ , low porosity  $(64.28 \pm 2.59\%)$ , high water contact angle  $(103.10 \pm 6.57^{\circ})$ , and avoid the S. *aureus* and P. *aeruginosa* infiltration. On the other side, the bottom layer exhibited a lower fibre diameter (295.4  $\pm$  88.4 nm), high porosity (85.24  $\pm$  2.47%), high swelling ratio ( $\approx$ 45), low water contact angle  $(38.77 \pm 5.32^{\circ})$ , which promoted the fibroblasts attachment and proliferation.

The same authors also fabricated a bilayer skin substitute combining electrospinning and 3D printing techniques (Miguel et al. [2019b\)](#page-483-0). To accomplish that, the authors electrospun PCL/silk sericin to obtain the top layer and 3D printed a chitosan/sodium alginate to achieve a hydrogel-based bottom layer. The authors verified that the epidermis layer was mimicked by a dense polymeric electrospun membrane. In contrast, a 3D printed hydrogel reproduced the dermis layer with suitable hydrophilic character, porosity, and biological properties for promoting cell activity.

## 3.3 3D Printing

3D printing is a newer technology that enables the production of 3D structures with accurate control structure and composition of the 3D matrix (Yun et al. [2018;](#page-485-0) Nicholas et al. [2016\)](#page-483-0). This technique also displays other strong points, namely the custom design, high reproducibility, and high throughput (Koch et al. [2012](#page-482-0); Koch et al. [2010](#page-482-0)).

Inkjet printing, laser-assisted printing, fused deposition model (FDM), and stereolithography are some examples of the 3D printing techniques developed. The laser printing technique was optimised to arrange the keratinocytes and fibroblasts impregnated into a 3D collagen matrix (Koch et al. [2012](#page-482-0)).

To accomplish the production of a multicellular skin graft, the bio-ink was composed of: (1) NIH-3 T3 fibroblasts; (2) HaCaT keratinocytes; (3) a collagen hydrogel; and (4) a sheet of Matriderm®, that act as a supportive matrix.

Lee et al. reported the layer-by-layer assembly of the collagen and keratinocytes and fibroblasts cells (Lee et al. [2014\)](#page-482-0). The authors verified that the cell viability on 3D skin construct was high (>94%), and the skin tissue displayed 3–7 different cell layers, after 14 days of air–liquid interface culture.

However, these 3D-printed skin models cannot fully reproduce the human skin due to the lack of the vascular network, which is determinant for the cellular supply of nutrients and growth factors.

In this way, the potential of 3D printing has been explored in microfluidic systems production. This approach will allow obtaining structures with tailored geometry and size containing a spatial distribution of stem cells and/or bioactive molecules, essential in the healing process.

In work performed by Leng et al. a skin microfluidic device was produced by 3D printing (Leng et al. [2013](#page-482-0)). A mixture of alginate and collagen was employed as a supportive matrix for cell proliferation. The human fibroblast cells were integrated within the biopolymer sheet, which was continuously extruded, under spatiotemporal control in terms of cellular localisation and density. In vivo experiments were done on immunodeficient mice, and the printed biopolymer sheets were placed on the excision position, revealing that the skin substitute promoted the keratinisation and wound healing process (demonstrated through the trichrome and keratin 14 staining). Additionally, the printing process of this device  $(1 \text{ m}^2)$  lasts only 48 min.

Apart from producing microfluidic devices, 3D printing can promote cell incorporation into structures, since it can deposit different cell lines in a precise location, creating diverse cell patterns in 3D structures. In general, the cell patterning in skin models consists essentially of incorporating just skin cells in bilayer substitutes recapitulating the native skin structure. However, to match the human skin constitution, other skin' compounds like melanocytes, stem cells, and glands should also be incorporated (Koch et al. [2012](#page-482-0); Lee et al. [2014\)](#page-482-0).

Another challenge is the post-processing steps customarily used in 3D printing techniques. Some examples of post-processing steps that complicate the workflow

and increase the duration and the cost are the thermal treatment and filtration and the photopolymerisation process (Yun et al. [2018](#page-485-0); Nicholas et al. [2016\)](#page-483-0).

More recently, significant research is centred on producing novel biomaterials for 3D printing—referred to as 4D printing. The 3D printing of these stimuli-responsive materials enables to modify/functionalise the constructs' shape after the printing process, by exposing it to an external stimulus. Lastly, the issues regarding regulatory aspects for printed skin models need to be established to allow the use of these materials in clinical trials (Ashammakhi et al. [2018](#page-481-0)).

## 3.4 Micropatterning and Micromolding

Advanced techniques like micropatterning and micromolding enable to produce 3D structures with specific geometry and size. Nowadays, these technologies are the most used in the production of microfluidic platforms (Nicholas et al. [2016;](#page-483-0) Verhulsel et al. [2014](#page-484-0)).

The microfluidics-based platforms have been received significant attention since it allows accurate adjustment of skin-on-a-chip models' cellular microenvironment, controlling, at the microscale, the fluid flow and operation factors (e.g. gaseous exchanges, temperature, mechanical force).

Soft lithography is one example of how to create these patterns, which consisted of the micromolding of hydrogel solution using a stamp composed of polydimethylsiloxane (PDMS) (Song et al. [2017](#page-484-0); Lee and Sung [2018\)](#page-482-0).

Through this strategy, it is possible to obtain several channels running throughout a hydrogel, which can be personalised according to the stamp's design. Furthermore, live cells can be incorporated inside the hydrogel solution, obtaining a structure with a homogeneous cell distribution. Stereolithography and laser microstructuration are other micropatterning methods that can be used to produce such structures (Nicholas et al. [2016](#page-483-0); Verhulsel et al. [2014\)](#page-484-0).

Also, the skin-on-a-chip devices can recapitulate the highly organised skin tissue architecture and confer a microenvironment that simulates the dynamic forces exerted during the healing process (Yun et al. [2018;](#page-485-0) Lee and Sung [2018](#page-482-0)).

In general, the microfluidic technology requires small fluid' volumes  $(10^{-9}$  to  $10^{-18}$  L) in hollow microchannels, which exhibit an appropriated laminar flow within the microfluidic systems (Mohammadi et al. [2016;](#page-483-0) Hasan et al. [2014\)](#page-482-0). Further, the samples' required small amount overcome the limitations associated with the low availability of the patient-derived samples. The use of low amounts of reagents and drugs to be tested enables the detection of biomarkers with more sensitivity (Lee et al. [2017](#page-482-0); Mohammadi et al. [2016](#page-483-0); Hasan et al. [2014](#page-482-0)).

Wufuer et al. created a microfluidic-based skin-on-a-chip system, which was composed of three layers: keratinocytes (epidermal), fibroblasts (dermal), and endothelial cells (vascular), composed of PDMS and separated by transparent and porous membranes (Wufuer et al. [2016](#page-485-0)). To simulate the inflammatory condition into the device, the tumour necrosis factor-α (TNF-α) was perfused and then was evaluated the effect of dexamethasone. The results indicated that the skin-on-chip model can be used for screening and testing the drugs' therapeutic effect, replacing animal experimentation. On the other hand, Lee et al. engineered a 3D in vitro skin chip by using PDMS and collagen hydrogels with vascular structure. After that, the dermal fibroblasts and keratinocytes were perfused into the microfluidic device, and the cells remained viable for 10 days (Lee et al. [2017](#page-482-0)).

In turn, Mah et al. produced a microfluidic system to be used in in vitro assays for skin permeation studies. It requires low medium volumes (70–200  $\mu$ L) and a small skin tissue sample  $(0.283 \text{ cm}^3)$ , which makes it a device widely employed in the pre-formulation assays for testing expensive and low-available drugs (Mah et al. [2013\)](#page-482-0).

The combination of conventional microfluidic and tissue engineering technologies has enabled the fabrication of the more complex skin microsystems, offering helpful and effective platforms for evaluation of the drug screening (Wang et al. [2017\)](#page-485-0).

Besides, in situ biosensors could be incorporated into the chip to provide real-time readouts about wound healing parameters and simultaneously detect the therapeutic responses to the drugs (Kilic et al. [2018](#page-482-0)). Such emerging technology is up-and-coming and can constitute a precious device for animal-free testing, namely in the cosmetic and pharmaceutical industries.

## 4 Applications of the In vitro Skin Models

In general, the main purposes of developing in vitro skin models are the testing of the efficacy and penetration of drugs on the skin and the study/evaluation of the mechanisms and therapeutic effects on skin disease conditions (e.g. wounding, burns, psoriasis, or melanoma). The main in vitro skin models developed for drug screening and skin disorders study purposes will be described in the following subsections.

#### 4.1 Evaluation of Drugs Toxicity and Penetration

The majority of the currently available in vitro skin models are intended to mimic the main skin layers (epidermis, dermis). EpiSkin® and EpiDerm® were the first skin models validated, in 1998, by ECVAM as a predictive skin corrosion model, accepted in 2004, with the publication of the OECD test guideline (Fentem and Botham [2002](#page-481-0)).

Afterward, the EpiDerm<sup>®</sup> SIT and the SkinEthic<sup>®</sup> Reconstructed Human Epidermis (RHE) were approved as skin irritation model in 2008 (Alepee et al. [2010;](#page-480-0) Kandarova et al.  $2009$ ), and SkinEthic<sup>®</sup>, epiCS<sup>®</sup>, EpiDerm<sup>®</sup>, and EpiSkin<sup>®</sup> as in vitro artificial skin models for skin irradiation, corrosion and phototoxicity evaluation.

SkinEthic®, epiCS®, EpiDerm® are composed of keratinocytes seeded on polycarbonate membrane, whereas EpiSkin® consists of a stratified differentiated human keratinocytes cell layer seeded on a type I bovine collagen matrix and type IV human collagen film.

The LabCyte EPI-MODEL 24 was produced in Japan as a reconstructed human epidermis model and validated for hazard prediction. This model is formed by a human keratinocytes layer cultured under favourable conditions at an air–liquid interface, creating the stratified structure characteristic to the "skin" epidermis after 14 days.

Apart from these skin models that replicate the epidermis and dermis layers, the skin models incorporating additional skin compounds can reproduce the physiological and functional features of the native human skin, which will contribute to obtain results more predictive.

Concerning the drug screening/testing purpose, different skin models were already developed as extensively reviewed in (Planz et al. [2016;](#page-483-0) Mathes et al. [2014\)](#page-483-0).

Among different works reported, we highlighted the work conducted by Abaci et al. who prepared a human skin-on-a-chip system comprised of epidermal and dermal compounds and then incorporated into a pumpless microfluidics system (Abaci et al. [2015\)](#page-480-0). The chip was created to display a stable air–liquid interface enabling the blood circulation rate similar to that found in human skin tissues. The size of the chip decreases the volume of the culture medium and the cells needed. The medium's recirculation at the desired flow rate was achieved without using a pump or external tube connection, and this system was suitable to maintain the barrier function for 3 weeks. Further, the immunohistochemistry assays indicated that the keratinocytes' differentiation and location were achieved, forming the epidermis' sub-layers, after 1 week of the culture. The authors also validated the skin-on-a-chip potential to act as a preclinical platform for drug testing purposes. The haematoxylin/eosin staining and immunostaining assays showed that the doxorubicin promotes a spatial detachment of the basal layer.

In turn, Mori and co-workers described an alternative strategy to fabricate a perfusable vasculature in human skin equivalents (Mori et al. [2017](#page-483-0)). The evaluation of cell distribution within the perfused and non-perfused skin-equivalents enabled to evidence the important role of the vascular channels in the transport of the nutrients essentials to maintain the cell proliferation and viability (as shown in Fig. [4\)](#page-476-0).

Afterward, the diffusion of different drug molecules from the epidermal layer to the vascular channels was measured under perfusion. All data gathered demonstrated that the skin-on-a-chip system exhibits promising properties to be used in drug testing, evidencing the importance of vascular perfusion.

In another work, Wagner and co-workers reported a multiorgan microfluidic device production able to maintain 3D tissues derived from human primary hepatocytes and human skin biopsies (Wagner et al. [2013](#page-485-0)). This two-organ system maintains a long-term functionality for at least 28 days, promoting the tissues' molecular

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Fig. 4 Histological analysis relating to the assessment of the barrier function of in vitro skin model: Perfused and non-perfused skin equivalents stained with haematoxylin and eosin staining (a, b); Histological and immunostained images of epidermal layers (c–f) and vascular channels (g–l); Confocal images of vascular channel immunostained with ZO-1 antibody (m); Evaluation of barrier function through the "water" repellency by the epidermal layer (n); Assessment of barrier function by measurement of capacitance (o). Reprinted from Biomaterials, vol. 116, Mori et al. Skin integrated with perfusable vascular channels on a chip, 48–56, with permission from Elsevier (Mori et al. [2017\)](#page-483-0)

interplay. Also, this platform revealed an outstanding performance in the testing of troglitazone at different molecular levels.

## 4.2 Skin Diseases Models

As previously mentioned, the skin models that mimic specific skin disorders are equally relevant in discovering new and more effective therapies. In this way, cutaneous wounds, inflammatory skin diseases, and skin cancer are examples of the pathologies that were already replicated in in vitro skin models, as reviewed by (Randall et al. [2018;](#page-483-0) Semlin et al. [2011;](#page-484-0) Sarkiri et al. [2019](#page-484-0)). Some disease models are also commercially available, such as MelanoDerm®, Melanoma®, and Psoriasis® (Amelian et al. [2017](#page-481-0)).

For example, the full-thickness skin models utilised as a photodermatitis model enabling the evaluation of UV light exposition. On the other hand, the skin inflammation response to UV stimulus can be induced by incorporating into the epidermal layer dendritic cells.

Additionally, several approaches to induce wounds on skin model, including abrading, burning, and scratching. The most common injury model is the burn induced by a brass string heat to 150 °C (Emanuelsson and Kratz [1997\)](#page-481-0). As an alternative, Vaughan et al. used the laser to induce wounds (6 mm  $\times$  1 mm  $\times$  400 µm) for assessing the reepithelialisation and ageing in vitro (Vaughan et al. [2004](#page-484-0)).

Wufuer et al. replicated the inflammation and edema mechanisms on an in vitro human skin-on-a-chip device (Wufuer et al. [2016\)](#page-485-0). In order to accomplish such a purpose, the chip was composed of three PDMS layers interspersed by porous membranes that allow the co-culture of three cell layers (keratinocytes, fibroblasts, and endothelial cells) mimicking the skin structure. Then,  $TNF-\alpha$  was perfused through the microfluidic channels to mimic an inflammatory condition. The drug' efficacy is based on dexamethasone's perfusion within the microfluidic platform, and the results evidenced that the levels of IL-1β, IL-6, and IL-8 were decreased. Further, the results also highlighted that the permeability of chips treated with dexamethasone was lower than the chips treated just with TNF- $\alpha$  (Fig. [5](#page-478-0)). The authors could conclude that the perfusion of culture medium and other bioactive compounds into microfluidic channels of the skin-on-a-chip device allowed to recreate the microenvironment peculiar to the native human tissue.

Further, the skin disorders such as atopic dermatitis and psoriasis have been replicated in artificial skin models.

The researchers started to generate in vitro skin substitutes by utilising skin cells isolated from psoriasis' patients. As an example, the TESTSKIN™ model was employed to study psoriasis, in which it was verified that the psoriatic "donors fibroblasts induce the healthy donors" keratinocytes hyperproliferation (Saiag et al. [1985\)](#page-484-0).

Besides, the inflammation was already induced (through the perfusion of the CD4 + T cells) in the human primary keratinocytes' culture after being seeded on the

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Fig. 5 Analysis of the permeability and therapeutic effect on skin-on-a-chip edema model. Illustrative representation of the edema skin model induced by TNF-α, resulting in vascular leakage (a); Schematic representation of the skin edema model in the microfluidic device with TNF- $\alpha$ exposure after the treatment with Dexamethasone (b); The chip treated with TNF- $\alpha$  presented improved permeability to FITC-dextran in comparison to the non-treated chips (c). Reprinted from Scientific Reports, vol. 6, Wufuer et al. Skin-on-a-chip model simulating inflammation, edema and drug-based treatment, 37,471, open-access article with permission from Springer Nature (Wufuer et al. [2016\)](#page-485-0)

decellularised dermis for 7 days at the air–liquid interface (Lorthois et al. [2019](#page-482-0); Shin et al. [2020](#page-484-0)).

On the other side, the psoriatic phenotype was postulated into in vitro skin models through the addition of IL-22. The secretion of IL-22 by Th17 cells is the most potent keratinocyte activation (Bernard et al. [2012;](#page-481-0) Boniface et al. [2005;](#page-481-0) Boniface et al. [2007\)](#page-481-0). The gene expression results revealed that the IL-22 treatment induced a decline in the expression of filaggrin, loricrin, and involucrin, the main keratinocyte differentiation markers.

Considering this, BIOalternatives, Dermatest GmbH, MatTek Corporation, or Straticell construct organotypic psoriatic models for compounds screening (Bernard et al. [2012](#page-481-0)).

Besides that, the skin cancer models were also developed by incorporating the tumour cells into a 3D matrix. Among different skin carcinoma models, Commandeur et al. proved the suitability of a cell carcinoma for drug development (Commandeur et al. [2012](#page-481-0)).



Fig. 6 Production of an organotypic melanoma-spheroid skin model. The melanoma cells were seeded on a non-adhesive petri dish with PBS and incubated for 15 days, which promote the formation of the melanoma spheroids with 500μm of diameter. The live/dead assay was then performed on spheroids, staining the live and dead cells with green and red colour, respectively (a); The spheroids were collected and mixed with fibroblasts and collagen I to constitute the dermal section of the full-thickness skin substitute (b). Reprinted from Cell death & disease, vol. 11, Vorsmann et al. Development of a human three-dimensional organotypic skin-melanoma spheroid model for in vitro drug testing, open-access article with permission from Springer Nature (Vorsmann et al. [2013\)](#page-485-0)

An organotypic hybrid system replicating the metastatic melanoma was also developed (Vorsmann et al. [2013](#page-485-0)). In this case, the melanoma spheroids with standard sizes were incorporated into the dermal matrix to mimic the 3D structure and multicellular complexity of tumour in vivo (Fig.  $6$ ). The authors verified that the TRAIL (tumour necrosis factor-related apoptosis-inducing ligand) cell sensitisation and co-application of sublethal doses of UV-B cisplatin was distinguished between 2D and 3D models. In 2D culture, both treatments affected equally the cancer cell line. However, the melanoma spheroids embedded into skin equivalent were potently compromised by TRAIL+cisplatin treatment, whereas the TRAIL+UVB combination did not affect the melanoma spheroids. Through this work, the authors could establish a human skin model that recreates the melanoma conditions, which will help improve the search for tailored therapies.

#### 5 Conclusions and Future Perspectives

The preclinical development of innovative skin therapies requires the realisation of assays aims to assess their absorption, efficacy, and safety.

In this way, the human skin excised and animal models are still the "goldstandard" methodologies that better mimic the structural and physiological features. <span id="page-480-0"></span>Nevertheless, due to the restricted accessibility, differences in anatomy and physiological processes, and ethical concerns, these strategies have been replaced by using non-animal alternatives. Thus, different in vitro 3D models have been created and applied to rapidly screen and optimise skin formulations. In general, these 3D models mimic the skin "layers'" epidermis and dermis, and they are cultured in air– liquid interface conditions. However, most skin 3D models available are static, incapable of recapitulating the cell interactions and dynamic environment found on native skin tissue.

In this way, with the recent advances in the wound care area, the combination of 3D printing and microfluidic devices arises as an attractive future direction for the production of the microengineered skin-on-a-chip platforms capable of incorporating different skin cells and compounds as well as providing control over dynamic conditions of the cell culture within the microfluidic system (blood and nutrients flow, mechanical forces, etc.).

In these systems, the "cells" growth occurs in a microenvironment, in which dynamic perfusion of the nutrients, gases are possible, promoting the epidermal morphogenesis and differentiation. Such strategy constitutes the starting point for developing preclinical models that more precisely represent the human conditions.

However, the economic and technical constraints related to the microfluidic devices require further investigations to adjust these procedures. The production of accessible, readily and reproducible, with elevated robustness and at a low price, has not yet been achieved. Furthermore, future developments should include the addition of other cells (immune and endothelial cells) and skin appendages (sweat glands or hair follicles), providing a more realistic in vivo situation.

Future efforts for implementing these models have also to be performed, as for (1) establish systemic methodologies to improve the predictability; (2) correlate in vivo data and systematic investigation; and (3) adjust the cultivation procedures to obtain tissue structures similar to the native conditions.

Overall, the future trend depends on the advancement of multi-organ-chips aiming to generate human-on-a-chip systems able to replace animal experimentation during preclinical assessment, and consequently speed up the commercialisation process of the new therapeutic formulations. We believe that the 3D skin models will become a crucial tool for developing more effective therapeutic formulation for skin disorders.

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# Potential Biomedical Applications of Marine Sponge-Derived Chitosan: Current Breakthroughs in Drug Delivery for Wound Care



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## 1 Introduction

Chitosan is a polymer of natural origin linear polysaccharide obtained from marine shrimp, crabs, and cell walls of yeasts and fungi. Commercially various grades of chitosan are produced from chitin. It is available in the market in various forms ranging from dry flakes to fine powder. Some techniques including capillary viscometers, size exclusion chromatography with light scattering, and ultracentrifugation are used to estimate the average molecular weight of chitosan. Based on the process and degree of deacetylation (DDA), the molecular weight varies from about 3800 to 2,000,000 Da (Barbosa et al. [2011\)](#page-502-0). Chitosan has unique features of the amino-polysaccharide group which possesses a high binding capacity and unique functionality and makes it available for wide applicability (Salehi et al. [2016\)](#page-505-0).

Chitosan solubility primarily depends upon the quantity of protonated amino groups within the polymeric chains, i.e., the proportion of acetylated and non-acetylated D-glucosamine units. It is soluble in organic and inorganic acids like perchloric, nitric, acetic, hydrochloric, and phosphoric acids (El-Gamal et al. [2016\)](#page-503-0). The solubility of chitosan in water is pH dependent which allows processing ability under several conditions, which makes it available to a good range of applications particularly within the field of the cosmetic industry (Croisier and Jérôme [2013\)](#page-502-0). Prompt differences between chitin and chitosan are remarked by their solubility in a different solvent system. The chitin is soluble in N, N-dimethylacetamide (DMAc) in the presence of 5–10% w/v lithium chloride and

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<sup>©</sup> The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2021 P. Kumar, V. Kothari (eds.), Wound Healing Research, [https://doi.org/10.1007/978-981-16-2677-7\\_16](https://doi.org/10.1007/978-981-16-2677-7_16#DOI)

insoluble in dilute acid solutions while the reverse is for chitosan (El-Gamal et al. [2016\)](#page-503-0).

According to Cheung et al. ([2015\)](#page-502-0), chitosan-related drug delivery systems are extensively used in gels, tablets, films, and particulate dosage forms in the form of a novel carrier of delivering the active ingredient. Few techniques are being widely used, especially chemical crosslinking, ionic gelation, microemulsion, and spray drying method. Though they are beneficial, having some limitations including timeconsuming, less profitability, and more processing cost makes chitosan to be used less on a commercial scale (Badhe et al. [2015\)](#page-501-0). Chitosan has been extensively studied for various applications because of its nontoxicity, biocompatibility, less immunogenicity, biodegradability, easy availability, derivability, and inexpensiveness. It shows wound healing actions due to hemostasis and bactericidal and antimicrobial properties and also acts as a biomedical drug carrier in the pharmaceutical product (Abdul Khalil et al. [2016\)](#page-501-0). Other than the pharmaceutical field, chitosan is being used in the process of food product manufacturing, food preservative, and biocompatible film and recycling of products during waste material management in the food industry. Chitosan has also been used as an absorbent for the treatment of wastewater including pollutants, heavy metals, dyes, as well as the complexation process (Al-Manhel et al. [2018](#page-501-0)).

# 2 Degree of Deacetylation of Chitin for the Synthesis of Chitosan

The degree of deacetylation defines the molar ratio of the D-glucosamine units to the sum of both portions of N-acetyl-D-glucosamine and D-glucosamine units (Fig. [1\)](#page-488-0). The enzymes like lipases, lysozyme, and glucosaminidases cause depolymerization of chitosan and yield active chitooligosaccharides which are having greater antimicrobial activity, and its monomeric products like glucosamine are quickly metabolized and eliminated from the human body. Hence chitosan is regarded as mostly biodegradable and biocompatible with the human body organs (Logith Kumar et al. [2016\)](#page-504-0). Successfully it has been used in the treatment of Alzheimer's disease, along with few more brain diseases such as anxiety, and glaucoma by Xu et al. The study also reported significant increases in the permeability of the hydrophobic drug compounds (Xu et al. [2017](#page-506-0)). According to Chiappisi and Gradzielski ([2015\)](#page-502-0), chitosan helps to self-associate the hydrophobic polymers and origin. This inherent tendency can be further improved by suitable hydrophobic modification of chitosan such as N-alkylation as seen in other hydrophilic polymers. Several research papers were published on the biocompatibility issue of chitosan, but it was extensively studied and reported with a degree of deacetylation by Giri and coworkers. The study reported that the entrapment of biological cells in hydrogel made by chitosan did not produce incompatibility with normal biological function (Giri et al. [2012\)](#page-503-0).

<span id="page-488-0"></span>

Fig. 1 Conversion of chitin to chitosan on deacetylation

#### 3 Biomedical Applications

The biocompatible chitosan molecule has many amino moieties, due to the protonation of amino groups; it may produce many positive heads and can bind with the negative charged microbial cell membrane and ultimately damage the membrane, which causes leakage of intracellular microbial components residues. The very simple mechanism of antimicrobial actions of chitosan may have great attention in the generation of various biomedical formulations (Fig. [2\)](#page-489-0).

#### 3.1 Chitosan in Cosmetic Technology

Libio et al. ([2016\)](#page-504-0) studied and suggested chitosan to be suitable for cosmetic applications. The study reported biocompatible film made up of chitosan utilizing citrate and acetate as a salt neutralizer (Libio et al. [2016](#page-504-0)). The cosmetic film was prepared with an appropriate concentration of excipients. During the study, the plasticizer such as glycerol was added and further evaluated for moisture content, the thickness of the biofilm, swelling index, acid-soluble substances, X-ray diffraction, and differential scanning calorimetry (DSC). The citrate-neutralized chitosan film exhibited greater stability along with acceptable thickness value, swelling property, low moisture value, and reduced solubility in the acidic buffer. The DSC study revealed possible interaction with water molecules, a critical factor in the cosmetic industry. From the relevant study, it was supposed to provide a more stable biofilm in citrate medium without the presence of glycerol; hence, the release study of hyaluronic acid (HA) was ascertained in the skin model. The in vivo model

<span id="page-489-0"></span>

Fig. 2 Chitosan in biomedical application

showed the desquamation of stratum corneum with or without the presence of HA on the application of film and resulted in hydration. The study also suggested that the citrate-based chitosan film in the absence of glycerol produced exfoliation of the skin needed in cosmetic preparation.

Chitosan and its derivatives being highly soluble in water make them most preferable in the cosmetic industry. Carboxymethylated chitin and chitosan have their similarity characteristic in the three-dimensional arrangement of polysaccharides, which makes them hydrophilic, improved viscosity, cationic binding property, satisfied osmotic value, gelling property, and biodegradability. Moreover, modified chitosan and chitin could function as a biological membrane permeability barrier, as seen in hyaluronate, which formed a protective layer surrounding the human ovum. Similarly in another review, the carboxymethyl chitosan in the cosmetic industry for potential applications is being used and discussed in terms of antioxidant, drug delivery, and antibacterial property, the stability of the emulsion, and moisture retention property (Jimtaisong and Saewan [2016](#page-504-0)).

Chitosan is one of the few popular cationic polymers of natural origin that has been used in the cosmetic industry for the preparation of lotions, skincare products, nail paints, creams, and other skincare and hair treatment products. Chitosan on application as topical preparation forms an elastic film that protects and moisturizes the skin surface. In cosmetic formulation, chitosan is being popularly used as moisturizers such as in sunscreen preparation, lotion, etc. (Chen [2008](#page-502-0)). It protects and smoothens the skin from harsh environmental conditions and topical exposure to chemicals. It also showed that chitosan drastically increases the hydration property of HA. The study already proved that it protects the skin and lips from UV A and UV B radiation from sunlight and moisturizes in the winter season (Chen [2008](#page-502-0)). In lip care preparation, the addition of chitosan makes the lips softer and also retains the color for a long period with excellent water repellant property. In another study, Tzaneva and coworkers studied the antimicrobial property of chitosan in deodorant preparation. The result revealed the microbial enzyme inhibition character of chitosan which resulted in the antimicrobial property (Tzaneva et al. [2017\)](#page-506-0). The anti-odor property, adhesion property, and compatibility with the biological membrane of chitosan formulation were found superior to triclosan (Tzaneva et al. [2017\)](#page-506-0).

#### 3.2 Antimicrobial Activity of Chitosan

The antimicrobial property of chitosan and its related derivatives have proved to be most effective against the majority of viruses, fungus, bacteria, and yeast (Rabea et al. [2003\)](#page-505-0). It is reported to have added advantages and a significantly broad range of antimicrobial properties, as well as chances of transmitting the pathogenic antigen toward human cells are the least (Campaniello et al. [2008](#page-502-0); Campaniello and Corbo [2010\)](#page-502-0). Hence, there has been a tremendous use of chitosan in most food and packaging industries for a broad range of antimicrobial properties (Ganguly [2013\)](#page-503-0). Chitosan can be fabricated and molded to biofilms which form a protective barrier and can effectively protect against disease-causing pathogens. A literature study revealed an excellent solubility of chitosan under acidic conditions which facilitated easy incorporation into solid and liquid dosage products. Friedman and coworkers found effective use of low-molecular-weight chitosan at acidic medium preferably below pH 6.0 for its antioxidant, antimicrobial, and preservative activity in solid and liquid content (Friedman and Juneja [2010;](#page-503-0) Goy et al. [2009\)](#page-503-0). There has been market availability of few chitosan-related products especially for wound treatment, such as Kyoto cell™ and Chitoderm™ (Jardine and Sayed [2014](#page-503-0); Cheung et al. [2015](#page-502-0)), and fabrics with antimicrobial properties, such as CRABYON™ and Bac-Shield™ (Lim and Hudson [2003\)](#page-504-0). In the recent era, there is a big challenge for the formulators to develop an antimicrobial drug against antimicrobial resistance. Few articles were published with the key focus of chitosan and derived products for its unique antimicrobial activity for the quest of new antimicrobials (Tomayko et al. [2014\)](#page-506-0). In brief, it can be stated that the prominent antimicrobial activity, as well as the least precipitation of toxicity in the human body, made it favorable in the wide application of food and packaging, in topical preparations, and also in the seed industry. However, the exact underlying mechanism of chitosan interaction with a microbial strand for antimicrobial effect is still to be unveiled. Few scientists argue for the minimum inhibitory concentration (MIC) below 20 ppm for chitosan to be reliably used in systemic infection and novel techniques of medicine preservation. However, chemical modification of the chitosan principal structure could make it possible to achieve the above stated, whereas such modification may result in desired polymeric character, biocompatibility, and so on.

#### 3.3 Chitosan as Anti-Infective

The drug molecule and the excipients such as chitosan derived from natural resources are the most preferable sources by the pharmaceutical industry. Natural products including chitosan have added advantages including biocompatibility, biosafety, green source, biodegradability, and expected broad range of antimicrobial activity (Atay [2019\)](#page-501-0). Dai and coworkers reported its significant advantage over other antimicrobials in terms of low toxicity, prominent antimicrobial activity, higher drug diffusion through polymer mass, and less chance to develop microbial resistance (Dai et al. [2011\)](#page-502-0). It was observed that chitosan targets through the outer cell membrane of bacteria and interacts mainly with lipopolysaccharide and peptidoglycan along with teichoic acid for gram-negative and gram-positive bacteria, respectively. The groups such as N-acetyl glucosamine and muramic acid, carboxy, and most importantly phosphate groups interact tightly with polycations of chitosan (Sashiwa and Aiba [2004](#page-505-0)). Chitosan also forms a complex with covalent ions present on the surface of the microbial wall and ultimately damages the outer cell membrane. Similarly, the antibacterial activity of chitosan was found at a concentration of 200 mg/l; it found that polycationic chitosan binds to a charged phosphate layer of bacteria and causes agglutination. At higher concentrations, the higher amount of cations most easily maximizes the net positive charge on the bacterial surface, ultimately increases density, and makes the bacterial colony in suspension (Sashiwa and Aiba [2004](#page-505-0)). Chitosan profoundly acts on the microbial cell membrane and changes cellular permeability. In a UV-spectrophotometric absorption study, it ascertained the leakage of intracellular components by chitosan binding. The subsequent disruption of the cellular membrane could be the result of cellular depolarization. Furthermore, it is well described as toxins to microbes and their growth (Raafat et al. [2008](#page-505-0); Badawy and Rabea [2011](#page-501-0)). There are few more antimicrobial mechanisms well described including inhibition of the microbial enzyme, solubilization of the bacterial toxins, and excretion as a soluble mass from the host cell. Another major mechanism is the inhibition of mRNA and protein formation by interacting cationic chitosan with microbial including bacteria, fungus, and yeast deoxyribonucleic acid (DNA) (de Britto et al. [2011](#page-502-0)). It also reported dose-dependent microbial inhibitory action in the in vitro study (Bakshi et al. [2018](#page-502-0)).

Rabea and coworkers provided extended information on the antimicrobial effect of chitosan, generally extraneous and intrinsic factors such as degree of deacetylation (DDA), temperature, pH of the medium, molecular weight of chitosan, polymerization, solubility in the solvent, organisms, etc. The wider antimicrobial activity of chitosan was reported to be further increased by chemical modifications such as the incorporation of a new functional group and complexation by polyelectrolyte between microbes and polymers (Rabea et al. [2003\)](#page-505-0). The chitosan-derived mass does possess the same principle of the antibacterial mechanism as that of chitosan (Kong et al. [2010](#page-504-0)). Kim and coworkers prepared quaternary chitosan and observed the antimicrobial property. They observed that combined with pentameric alkyl compound the said derived chitosan possessed an additive effect on microbes (Kim et al. [1997](#page-504-0)). Similarly, it reported that the quaternary salt of chitosan was superior to parent chitosan and gradually the antimicrobial activity increased with an increase in alkyl chain length, which could be attributed to the hydrophobicity of alkylated chitosan (Xu et al. [2010](#page-506-0)). In another study, the quaternary compound of chitosan was successfully evaluated for antimicrobial action against a broad range of bacteria, fungus, and yeast. It assumed that the strong interaction between hydrophobic alkylated chitosan and microbial phospholipid attenuated antimicrobial property (Rúnarsson et al. [2010](#page-505-0); Fu et al. [2011\)](#page-503-0). Likewise, Jia and co-researchers developed several moieties of quaternary chitosan and tested effectiveness on Escherichia coli. It was observed that those salts exhibited superior activity in an acidic condition against E. coli as compared to chitosan. Streptococcus species are commonly responsible for major dental caries in humans. It reported that chitosanoligosaccharide along with glycidyl trimethylammonium chloride linked via covalent bond was found to be effective against Streptococcus species (Badawy [2010;](#page-501-0) Jia and Xu [2001;](#page-503-0) Kim and Choi [2002](#page-504-0)). Similarly, Belalia and coworkers successfully found and reported the antimicrobial effect of quaternary chitosan salt against Listeria monocytogenes (96%) and Salmonella typhimurium (100%) (Belalia et al. [2008\)](#page-502-0). Likewise, trimethoxy chitosan (TMC) was tested for antimicrobial effectiveness in wound healing; the experiment provided evidence of electrospun mats of TMC/polyvinyl alcohol and mats of TMC/polyvinyl pyrrolidone for antimicrobial activity against E.coli and S. aureus (Avadi et al. [2004\)](#page-501-0). Trimethyl chitosan is preferred as one of the most studied quaternary salts of chitosan for its MIC at 0.125 μg/ml against E. coli and 0.0625 μg/ml against S. aureus (Sajomsang et al. [2008,](#page-505-0) [2009\)](#page-505-0). Few other bacteria such as Enterococcus feacalis and Pseudomonas aeruginosa are also found to be susceptible to TMC, with an MIC of chitosan as 128 μg/ml and 256 μg/ml, respectively (Kim et al. [2003](#page-504-0)). Similarly, bactericidal cotton fabrics are also designed and impregnated with alkylated chitosan and further quaternary substitution and methylation. From the relevant research, it proved that the incorporation of quaternary ammonium salts in chitosan structure increased antimicrobial property in wound healing including hydrophilic character (Belalia et al. [2008](#page-502-0)).

### 3.4 Lyophilized Chitosan Sponges for Wound Dressing

Biomedical sponges fabricated with chitosan were reported to be used in many topical drug delivery devices (Freier et al. [2005](#page-503-0)). The chitosan-loaded sponges with enormous micropores have their unique benefit, which includes ease in soaking the medicament, lightweight, and absorption of excess amount of medicament. To fabricate and develop chitosan sponges, there have been reported many processes

including leaching, gas foaming, and lyophilization (Lim and Hudson [2003;](#page-504-0) Pezeshki-Modaress et al. [2014;](#page-505-0) Gupta and Shivakumar [2010;](#page-503-0) Ji et al. [2011](#page-503-0)), but the most accessible and popular technique to design the sponges is the process of lyophilization. In the case of wound recovery, the process to accelerate the natural healing mechanism and compatibility with host cells by chitosan proved to be a major advantage in sponge fabrication consideration (Tucci et al. [2001](#page-506-0); Khor and Lim [2003](#page-504-0); Harkins et al. [2014\)](#page-503-0). It was reported that chitosan-based sponges are preferably hydrophilic as the presence of the amino group keeps the contact tissue area moist and prevents the loss of moisture in dosage delivery consideration (Harkins et al. [2014](#page-503-0); Ozkaynak et al. [2005](#page-505-0)). There is a significant finding in severe musculoskeletal wound treatment about chitosan sponge. It has been observed that there is a drawback of systematic antibiotics which are unable to reach the injury site and the poor blood flow to the infected site diminishes the natural healing process and thus immunity. Hence to overcome the difficulty, antibiotics loaded in chitosan sponge are considered as a better alternative in local delivery (Dai et al. [2011;](#page-502-0) Hanssen [2005](#page-503-0)). On application, over time chitosan sponges facilitate the drug release to the wound area without compromising the biocompatibility, frequency of administration, and secondary topical treatment.

# 3.5 Chitosan-Based Coatings Formed by Chemical Bonding **Methods**

The technique such as the phase inversion method was successfully employed to fix chitosan on fabric materials, e.g., cellulose and cotton by chemical and mechanical bonding method (nonwoven) (Wang et al. [2016](#page-506-0)), for effective treatment in wound healing. It was noticed that fabrics bonded with chitosan exhibited a decrease in water absorption property as well as antimicrobial property (Wang et al. [2016\)](#page-506-0). Fibroblasts are the key point during the process of tissue repair in wound healing and migration to the broken tissue is needed during the healing process (Rahmati et al. [2020\)](#page-505-0). A study was done by Lou ([2008\)](#page-504-0) on a mice model that compared chemically bonded fabrics and an untreated one. The result indicated a superior healing process and there was no significant cytotoxic effect even after 24 h of chitosan impregnated fabricated material (Lou [2008\)](#page-504-0).

# 3.6 Marine Sponge Collagen as a Template for Wound Treatment

Collagen is termed as a matrix system of a three-dimensional network enclosing connective tissue and forms a porous network in a dense network. Generally, they are arranged as a layer of a network and also termed as a sponge. The presence of

porosity and unique surface character of the network like mesh is an essential and important characteristic of collagen sponge (Lim et al. [2019\)](#page-504-0). In several biomedical applications including wound repair and bone tissue engineering, the antigenic property of sponge collagen of the marine source must be considered (Nakamura et al. [2019](#page-505-0); Meyer [2019\)](#page-504-0). The structural finding of marine collagen reveals a lack of tyrosine which is responsible for the antigenic property (Bardakova et al. [2018\)](#page-502-0), whereas the antigenic telopeptide region comprised of helical C and N terminal easily cleaved by pepsin and other enzymes ultimately loses the antigenic property of the collagen sponge. The unique clinical approach of collagen sponge is in wound care, cartilage and bone repair, intravaginal contraception, implants, cosmetics, and drug delivery because of its excellent moisture-retaining property (Chvapil [1977](#page-502-0), [1982\)](#page-502-0). In a study carried by Lin and coworkers, Callyspongiidae is a family of marine sponges collected from the coast of Western Australia and assessed for the characteristic by using scanning electron microscopy (SEM) and eosin. The result found the excellent bioabsorbable character, cell attachment, and most ideal property for ideal biomedical collagen (Lin et al. [2011](#page-504-0)). As discussed earlier in this chapter, chitosan and its carboxymethylated derivatives have excellent antimicrobial properties. Hence there is a quest for the suitability of chitosan along with marine collagen sponge in biomedical applications including wound care and bandage, bone and tissue regeneration, and novel drug delivery system (Khor and Lim [2003\)](#page-504-0).

It already reported the use of chitosan in prosthetics, orthopedic, hernia treatment, implants, etc. (Khor and Lim [2003](#page-504-0)). Fibrin glue is a biodegradable agent used in abdominal operations for the stoppage of bleeding and wound healing. In a study, it prepared bioadhesive gel of photo cross-linkable chitosan including azide and lactose group and exhibited superior adhesive property than fibrin glue (Kucharska et al. [2010](#page-504-0)). Similarly, chitosan does possess the required characteristics for ideal contact lenses such as gas permeability, clarity, stability, wettability, and optical corrections (Ishihara et al. [2001](#page-503-0)).

### 3.7 Role of Chitosan in Gene Delivery

Popularly chitosan is used as a vector in a nonviral genetic delivery strategy. It strongly binds with deoxyribonucleic acid by electrostatic interaction and is followed by cellular endocytosis without disrupting the chitosan–DNA complex (Grossman and Nwabunma [2013](#page-503-0)). It also plays a significant role in both membrane attachment and lysosomal degradation and removal of the encapsulated complex for efficient cellular genetic transmission (Bergmann and Stumpf [2013\)](#page-502-0). It was reported to develop a novel hybrid DNA–chitosan complex and fabricated to a nanosphere system. The complex is further conjugated with a ligand for effecting targeting and to accelerate the process of macromolecular endocytosis. During the study, a suitable amount of lysosomotropic agents was incorporated for protection against lysosomes. It reported that the prepared complex resisted serum nuclease and could help encapsulate other biomedical agents without compromising stability on long-term

storage and several procedures including lyophilization (Kumar et al. [2004;](#page-504-0) Tehrani et al. [2012](#page-505-0)).

The major drawback associated with chitosan-fabricated DNA nanoparticles reported poor solubility in water and low passage across the cell. Few strategies were adopted for improving transfection and aqueous solubility in the case of chitosan and its derivatives at different pH labels. Successfully chitosan-anchored DNA nanoparticles were administered through oral, peroral, topical, and pulmonary routes. In most cases, loaded chitosan nanoparticles were generally prepared by ion gelation technique with genetic material optimized by altering as well as a selection of suitable excipients. In most cases, chitosan-tripolyphosphate (TPP)-impregnated nanoparticles showed promised results.

#### 3.8 Chitosan in Tissue Engineering

In the case of tissue engineering, chitosan has been successfully used as a bio-scaffold for skin and tissue regeneration (Hoemann et al. [2007\)](#page-503-0). Chitosan and its derivatives gradually biodegrade as new tissues formed at the application site. Moreover, it does not develop any kind of inflammatory response and toxicity, making it most ideal for a scaffold in tissue engineering. Likewise, microporous chitosan structures are successfully being employed as scaffolds for bone osteoblasts as well as cutaneous repair and regeneration process (Sarkar et al. [2013](#page-505-0); Romanova et al. [2015](#page-505-0); Cañas et al. [2016](#page-502-0)). The three-dimensional arrangement of chitosan and scaffold makes it more similar in relating extracellular matrix components; hence, it provides a stimuli response for regeneration, proliferation, and adhesiveness to tissues (Keong and Halim [2009](#page-504-0)). Reports already published related to biocompatibility, broad antimicrobial activity, and wound repair property make it more preferable for bone and tissue engineering. The marketed formulations comprising chitosan and fabricated scaffolds for biomedical applications such as sponges, biofilms, topical, and cutaneous gels were successfully developed (Arca and Senel [2008\)](#page-501-0). Similarly, a review reported by Hsieh and coworkers in 2015 reported chitosan applicability in soft and adipose tissue, hepatic target delivery, central nervous system, optical delivery, and blood capillary reengineering (Hsieh et al. [2015\)](#page-503-0).

# 3.9 Clinical Studies on Chitosan-Based Medical Textile Products for Wound Care

The manufacturing of fabrics made by either natural or synthetic fibers needs a deep study on charge development characteristics. Successfully it studied the prevention of induced static charge on polysaccharide fiber surface by a chitosan or derived

chitosan coating (Lim and Hudson [2003](#page-504-0)). In the medical-related textile field, it has profoundly been used in surgical wound threads, nanocomposite fibers, nonwoven fibers, antimicrobial sponges, and sutures. Lim and Hudson in 2003 published a manuscript on antimicrobial cotton impregnated by derivatized chitosan in wound care (Lim and Hudson [2003](#page-504-0)). On a severe battlefield, there is always a need for emergency treatment for bleeding. In this connection, HemCon® chitosan-coated hemostatic bandage was developed for the treatment of emergency hemorrhage on the battlefield. Likewise, Celox™ and ChitoGauze® invented by the US military exactly work in a similar way to that of surgical gauze. The underlying mechanism reveals swelling and the formation of a gel-like network on exposure to blood. The unique mechanism says that it does not interfere with the normal clotting mechanism even also best work on heparinized blood (Bennet et al. [2014\)](#page-502-0). Recently, similar to that mentioned above ChitoGauze®, ChitoFlex®, and GuardaCare® are few surgical dressings with antimicrobial property developed by US military services. A comparison was made in a clinical trial between Celox™ and traditional pressure bandage. It showed extreme effectiveness in hemostasis in limb injury by Celox™ (Hatamabadi et al. [2015](#page-503-0)). Likewise, Chito-Seal<sup>TM</sup> and Clo-Sur<sup>PLUS</sup> PAD are a few topical hemostasis chitosan-fabricated products developed for improving hemostasis in the vascular and percutaneous site. The mechanism reveals that positive charge chitosan binds to negatively charged red blood cell and shortens the clotting time and also hemostasis time. Chitosan is equally effective in binding the platelets and shortens the hemostasis time (Nguyen et al. [2007\)](#page-505-0). Few of the wound dressing marketed products such as Tegasorb™ and Tegaderm™ are effectively being used in the prevention of infection in severe burns, deep wounds, dermal ulcers, and limb cosmetic surgery. Chitosan generally swells and develops three-dimensional gel on the wound surface and promotes the healing process (Weng [2008](#page-506-0)). Similarly, few more marketed products available for wound care are ChiGel, Chitopack  $C^{\mathcal{B}}$ , and TraumaStat™.

#### 4 Drug Delivery Systems Utilizing Chitosan Based Sponges

The topic discusses and provides a summary of chitosan along with a sponge-related scaffold in biomedical applications (Murray et al. [2019;](#page-504-0) Bramhachari et al. [2016\)](#page-502-0). Generally, sponges are the porous structure comprising of all evolutionary organisms of the lowest multicellular animal *Phylum Porifera*, pore bearing species. Generally, they comprise several layers in the body cells having layers of porous channels that allow water and water-soluble substances to circulate through. Ideally, these contain a thin layer of specialized cells that represent a sandwich-like structure consisting of jelly but spongy like mesophyll (internal ground tissue). Unlike other animals, they are asymmetrical (round, cylindrical, and sac-like) with unique two-layer (outer dermal and gastral innermost layer). Most sponges comprise an internal skeleton of silicon dioxide or calcium carbonate which allows the maximum amount of water to flow and leave through the osculum. Sessile aquatic organisms



Fig. 3 Chitosan-marine sponge scaffold in biomedical application

including sponges and corals were found under the wall of deep water (Pisani et al. [2015;](#page-505-0) Blanquer and Uriz [2011\)](#page-502-0). Diverse marine microbial dense sponges which include the yellow tube sponge, Aplysina fistularis, the purple vase sponge, Niphates digitalis, the red encrusting sponge, *Spiratrella coccinea*, and the gray rope sponge, Callyspongia sponge, have attracted the attention of the global community including drug delivery, organ-specific delivery, and regeneration of tissue. Recently, scientists from the global community including oncology have been devoted to the application of sponge in biomaterial study, bone and tissue engineering, antimicrobial study, wound care and regenerative medicine, and advanced methodologies used for the bioprospection of marine microorganisms (Bramhachari et al. [2016\)](#page-502-0). There has been a tremendous discovery of marine-derived sources and their biopharmaceutical applications including chitosan. This study also gave a brief account of the potent antimicrobial bioactivity of chitosan and fabricated sponge (Matica et al. [2019\)](#page-504-0) along with their biomedical applications in drug delivery systems and targeting (Fig. 3).

In biomedical and tissue engineering in bone and cartilage, the scaffold systems by sources from natural origin have gained much more attention from scientists. In this scenario, a tri-scaffold system was successfully prepared by lyophilization and freeze-drying technique. The mentioned scaffold system (Chi-HAp-MSCol) comprises chitosan (Chi), hydroxyapatite (HAp), and marine sponge collagen (MSCol) of Ircinia fusca. The system was successfully characterized and tested for significance in bone grafting and healing. During the study, the individual components such as Chi, Chi-HAp, and tri-scaffold were compared with each other (Pallela et al. [2012\)](#page-505-0). The report says, Chi and HAp obtained from marine sponge collagen together are considered for successful novel drug delivery systems including nanoparticles, nanosphere, and microparticulate dosage form. Successfully a nanocomposite system comprised chitosan/gelatin/nanohydroxyapatite (nHA) prepared by Bagheri-Khoulenjani and coworkers in 2013. While preparing the nanosphere, few variables and their effect on nanocomposite were considered such as chitosan to gelatin ratio, gelatin to nanohydroxyapatite ratio, the concentration of chitosan, and stirring rate studied. The result showed the excellent value in particulate size, morphology, and rate of healing in cartilage tissue injury (Bagheri-Khoulenjani et al. [2013\)](#page-502-0). Similarly, a localized drug delivery system for the treatment of severe gum injury was formulated utilizing a suitable combination of chitosan and polyvinyl alcohol (PVA). The emulsification technique (water in oil) was employed for fabricating the core active carboxymethylated chitosan and tested for antimicrobial and healing efficacy in gum disease (Wang et al. [2009](#page-506-0)). There have been suitable considerations of chitosan–nHA nanocomposite in cell regeneration and proliferation, healing, increased expression of the protein, and cartilage formation (Liu et al. [2013\)](#page-504-0). Similarly, a chitosan with nHA was successfully used in the scaffold system to release prenylated flavonol glycoside (icariin) for bone regeneration and healing (Fan et al. [2012\)](#page-503-0). Venkatesan and coworkers designed a multiwalled carbon nanotube (f-MWCNT) scaffold system utilizing marine sponge-derived natural hydroxyapatite along with chitosan employing the freeze-drying technique. Later on, the multiwalled nanotube was characterized and tested for efficacy in drug delivery related to bone repair and graft substitute (Venkatesan et al. [2011](#page-506-0)).

In the recent century, the novel drug delivery system utilizes natural origin especially chitosan as an active carrier (Dash et al. [2011;](#page-502-0) Sonia and Sharma [2011\)](#page-505-0). Earlier stated in the chapter, chitosan along with other bioactive material has profoundly been used in controlled drug delivery devices because of its nontoxic and biocompatible properties (Sharma et al. [2015\)](#page-505-0). Chitosan as an excipient as well as active constituent is used in many pharmaceutical products such as in hydrogel, biodegradable implants, polyelectrolyte complex, and bioconjugates. Similarly, it has successfully been used in protein and peptide delivery, gene therapy, integration with growth factors, vaccine delivery, antimicrobial agent delivery, and bioimaging procedures (Habibi and Lucia [2012;](#page-503-0) Grossman and Nwabunma [2013](#page-503-0); Kumar et al. [2004\)](#page-504-0). Chitosan is a kind of excipient, which is successfully used in almost all routes of drug delivery which includes oral, ocular, topical, and targeted drug delivery (Hagenaars et al. [2009](#page-503-0); Verheul [2010;](#page-506-0) Subbiah et al. [2012\)](#page-505-0). Few reports have already been published on chitosan as a carrier along with bioactive materials including marine-derived sponges in nanospheres, nanogels, biofilms, etc. (Subbiah et al. [2012;](#page-505-0) Benediktsdóttir et al. [2014](#page-502-0)). There has been a tremendous survey on the mucoadhesive character of chitosan, as its positive charge can easily undergo ionic interaction with a negatively charged mucus layer. The negative charge to mucus is attributed to sialic and sulfonic acid only (Jayakumar et al. [2010](#page-503-0); Abd Elgadir et al.

[2015\)](#page-501-0). Few scientists also claimed its penetration enhancement property as well as in nasal drug delivery (Kim [2013](#page-504-0); Pardeshi and Belgamwar [2016](#page-505-0); Rassu et al. [2016\)](#page-505-0). Several chitosan formulations have been proposed and commercially available for the brain targeting the delivery of drugs (solutions, suspension, gels, microemulsion, and powders). As discussed in this section, chitosan and its derivatives along with marine sponges and other suitable excipients are also effective in dosage design, targeting, and delivering drugs (Anitha et al. [2011a](#page-501-0), [b,](#page-501-0) Anitha et al. [2012\)](#page-501-0).

#### 5 Chitosan in Biotechonology

Cytolytic T-lymphocytes are the class of lymphocytes termed as killer T cell which kills cancerous cells as well as the cells infected with viruses. It has been reported that chitosan possesses antiviral property as it can successfully kill infected cells as well as cancerous cells. Hence it has already been reported as successful in various biomedical systems (Snima et al. [2012;](#page-505-0) Kim and Rajapakse [2005\)](#page-504-0). The unique cationic charges of amino groups of chitosan could possess additional functional property to activate both immune and defense systems. On the lab scale, it was observed that chitosan and its derivatives can stimulate the extracellular lysosomal activity in mammalian cells followed by the formation of connective tissue. It also found chitosan to act as a self-defense mechanism against microbial infection in almost all biological cells. The free radical scavenging activity and antioxidant property of chitosan were evaluated by Klotzbach in 2008. The study demonstrated chitooligosaccharides had potential scavenging activity on lab-induced free radicals in the cellular system (Klotzbach et al. [2008](#page-504-0)). In biotechnology, there has been a potential role of enzyme immobilization technique (Urrutia et al. [2018\)](#page-506-0). Successfully it has been involved in the immobilization technique to preserve the property of enzyme and protein. The preservation helps in structurally redesigning enzymes, improvement of stability, three-dimensional configuration, the introduction of new functional group, and improvement of selectivity toward macromolecular structure, which is highly essential for biotransformation (Coma [2013](#page-502-0)).

# 6 Recent Patents on Chitosan Based Sponges Promoting Wound Healing

Chitosan-based biofilm was successfully developed and studied for bactericidal efficacy along with tricrotic acid (Hoggarth and Hardy [2015\)](#page-503-0). Villaneuva et al. [\(2016](#page-506-0)) successfully invented an edible bioactive film of chitosan with extracted quinoa protein (Villaneuva et al. [2016\)](#page-506-0). Similarly, an invention was made on watersoluble modified chitosan carried out by Michael addition with 2-methacryloyloxyethyl choline phosphate. The antibacterial film coating was further studied and characterized by the antimicrobial property (Jie et al. [2019](#page-504-0)). Liu et al. [\(2007](#page-504-0)) prepared marine-derived chitosan/carboxymethyl chitosan-based rapid hemostatic sponge having long hemostasis time and limited hemostasis capability toward the wounds with a large amount of bleeding during use (Liu et al. [2007](#page-504-0)). The hemostatic material of the invention has good toughness, can be bent and folded at will, has short hemostasis time, can be used for treating the wounds with a larger

amount of bleeding, achieves a more rapid hemostasis effect in clinical application, and can be used for hemostasis in clinical surgeries and promoting wound healing. Yu et al. ([2010\)](#page-506-0) and coworkers formulated a water-soluble chitosan-based hemostatic sponge and described its preparation method. This formulation is used for stanching and boosting wound healing of clinical operation (Xiao-Juan et al. [2010\)](#page-506-0). In an attempt made by Arthur and coworkers, a highly dense chitosan structure utilizing simultaneous vacuum and high compression technique was prepared. The technique resulted in denied chitosan membrane which possessed satisfied characterization along with significant clinical activity in mammals (Decarlo et al. [2014\)](#page-503-0). Further, in 2012, patent number CN102526795A has been published. This invention relates to chitosan-based styptic sponge with a thrombin immobilization effect, and the chitosan-based styptic sponge is a porous sponge made from chitosan with the thrombin immobilization effect and hemostatic. The preparation method of the chitosan-based styptic sponge provided by the invention comprises the following steps: immobilizing thrombin with chitosan or carboxymethyl chitosan; adding other styptics, cryoprotectants, and crosslinking agents to prepare the porous styptic sponge, wherein the weight ratio of the chitosan or carboxymethyl chitosan to the thrombin is  $100:(0.1-20)$ ; and pre-freezing, lyophilizing in a vacuum, casting, cutting, encapsulating, and sterilizing to prepare the chitosan-based styptic sponge. According to the chitosan-based styptic sponge, the thrombin is immobilized through the chitosan or carboxymethyl chitosan, so that the stability and procoagulant activity of the thrombin can be improved, and the property of the prepared chitosan-based styptic sponge is more stable, the procoagulant and wound healing effects are remarkably improved, and the chitosan-based styptic sponge can be widely applied to wound or surgery hemostasis (Guo et al. [2012](#page-503-0)). Chitosan microfibrils and chitosan-alginate microfibrils with the addition of calcium were used to construct dressing material. The microfibrils are fabricated in a sponge form and successfully evaluated in the wound healing procedure. The prepared microfibrils were evaluated for mechanical strength, uptake of biological fluid, compatibility to the cell, and hemostatic property, which showed that the prepared sponge meets the basic criteria of the physicomechanical and biological activity. This demonstrated the use of chitosan-based microfibril as an effective dressings material for the treatment of wounds (Polish patent application no. P 385031 and P 385032, 2008).

# <span id="page-501-0"></span>7 Conclusion

The wound is damage and breakdown of cellular components of tissues. Growth and regeneration of cellular moieties initiate the healing process which consists of a series of biological reactions to regenerate and reestablish the cellular components in broken tissue. Therefore, the wound healing process should be carried out in a normal biological way with the help of a drug formulation having zero toxicity. If it is delayed due to abnormal reactions or side effects, it may produce cancer. Such a situation can be controlled by the activation of the caspase-mediated apoptotic program with the application of natural formulations having zero side effects. One of the important natural polymers is marine-sourced chitosan which can be incorporated to produce many formulations to achieve this effect. Therefore, it could be an attempt to develop marine sponge-derived chitosan-incorporated formulations, may stimulate the apoptotic system, as well as impart wound healing actions.

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# Biomedical Applications of Biodegradable Polymers in Wound Care



Sónia P. Miguel, Maximiano P. Ribeiro, and Paula Coutinho

## 1 Introduction

Until nowadays, the health care professionals continue to concern with the pain/ complications induced by the different skin injuries, namely to the wounds difficult to treat and heal, which can evolve to a chronic state (Frykberg and Banks [2015\)](#page-587-0). The treatment implies to cover the wound site with a dressing that confers protection to the injury against harmful external agents and dehydration. Furthermore, an active dressing can provide a moist environment adequate for stimulating the healing process (Mogoşanu and Grumezescu [2014\)](#page-590-0). Indeed, several biomaterials such as foams, gauzes, hydrocolloids, hydrogels, and films are recommended for wound coverage. These dressings possess unique features such as (i) protect the peri-wound skin, (ii) sustain suitable moisture at the wound and prevent the dehydration, (iii) restrict microbial biofilms, (iv) clean the damaged tissues, (v) reduce the pain, (vi) remove dead and nonviable tissues, and (vii) limit the odors (Mogoşanu and Grumezescu [2014](#page-590-0); Song et al. [2018\)](#page-593-0).

Apart from these properties, essential requirements for a wound dressing are the biocompatibility and biodegradability. When an 'ideal' wound dressing is implanted on human body, it must not elicit any immunological response, and it should be degraded at a controlled rate, presenting non-toxic degradation products easily metabolized and eliminated (Mogoşanu and Grumezescu [2014;](#page-590-0) Song et al. [2018\)](#page-593-0). The wound dressing should act as a transitory supportive matrix for cell migration, adhesion and proliferation until tissue regeneration process is attained. So, the biodegradable materials are preferable because the non-degradable materials require

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removing/replacement, increasing the pain/discomfort to the patient and risk of infection (Song et al. [2018](#page-593-0); Mogoşanu et al. [2012\)](#page-590-0).

Among different types of biodegradable materials, the natural polymers such as polysaccharides (e.g., alginates, chitosan, heparin, hyaluronic acid), proteins (e.g., collagen, gelatin, keratin, silk fibroin) and proteoglycans are broadly used in wound care, due to their biocompatibility, biodegradability and similarity to macromolecules recognized by the human body (Song et al. [2018;](#page-593-0) Mogoşanu et al. [2012](#page-590-0)).

Furthermore, synthetic biodegradable polymers like Poly(lactide-co-glycolide) (PLGA), polyglycolic acid (PGA), polylactic acid (PLA), poly-ε-caprolactone (PCL), polyurethane (PU) and polyethylene glycol (PEG) have also been explored in the wound dressings field (Mir et al. [2018](#page-590-0)).

Despite the excellent biological properties exhibited by natural polymers, they had weak stability and mechanical properties, whereas synthetic polymers present remarkable mechanical performance but fail in the cell interaction. In this way, the strategy most adopted by the researchers passes through the blending of natural and synthetic polymers targeting the attractive properties of both types of biodegradable polymers.

Here, we describe the biodegradable natural and synthetic polymers, presenting their degradation mechanisms and application on wound dressings development and respective biological effects in vitro and/or in vivo.

## 2 Biodegradable Polymers in Wound Care

### 2.1 Protein-Based Polymers

#### 2.1.1 Collagen

Collagen remains the major extracellular matrix (ECM) proteic compound that exhibits excellent biological properties for wound healing applications. Collagen is mainly obtained from animal tissues. However, the collagen extracted from fish skin, fins, scales, bones, or swim bladders has recently gained special attention (Venkatesan et al. [2017;](#page-594-0) Nagai and Suzuki [2000](#page-590-0); Zhang et al. [2014](#page-595-0); Chandika et al. [2015](#page-585-0); Felician et al. [2018](#page-586-0)).

In general, the collagen has been widely employed in wound dressings production due to their biological features, namely haemostatic, biocompatible, low antigenicity, controlled biodegradability, and ability to stimulate the cell attachment and growth (Song et al. [2018](#page-593-0); Chattopadhyay and Raines [2014;](#page-585-0) Lee et al. [2001\)](#page-589-0).

Furthermore, the collagen dressings are flexible and can absorb high amounts of exudate, acting as a competitive substrate for collagenase, which can reduce enzymatic degradation of tissue. Simultaneously, their low pH minimizes the risk of bacterial colonization (Song et al. [2018;](#page-593-0) Chattopadhyay and Raines [2014\)](#page-585-0).

The in vitro/in vivo degradation of collagen is essentially performed by enzymes available on the human body like matrix metalloproteinases and collagenases, resulting in amino acids fragments that exhibit chemotactic effect for cells enrolled in the wound healing process (Song et al. [2018;](#page-593-0) Chattopadhyay and Raines [2014;](#page-585-0) Lee et al. [2001\)](#page-589-0). In general, the collagen's biodegradability is manipulated by using chemical crosslinker agents (e.g., glutaraldehyde, carbodiimides and succinimides), which promotes the establishment of covalent bonds between amino and carboxyl groups. Collagen can also be blended/mixed with other polymers (natural or synthetic) or modified chemically to achieve the improvement of mechanical properties and biodegradation profile.

In the literature, it is possible to find different collagen-based wound dressings from powders, gels, films, scaffolds to electrospun membranes. Several works reported the biocompatibility of collagen dressings in vitro (Parenteau-Bareil et al. [2010;](#page-591-0) Craciunescu et al. [2014](#page-585-0)) and in vivo (Chen et al. [2019;](#page-585-0) Oancea et al. [2000\)](#page-590-0). Furthermore, Promogran®, Biobrane®, Helitene®, ActiFoam®, and SkinTemp® are examples of collagen-based wound dressings available in the market. In this sub-section, different collagen-based wound dressings reported in the literature will be described and listed in Table [1.](#page-510-0)

In Werner's work, the collagen-based matrices' performance was evaluated to treat full-thickness wounds and compared with Matriderm® (a bioengineered construct for dermal regeneration composed of bovine collagen coated with  $\alpha$ -elastin hydrolysate) (Petersen et al. [2016](#page-591-0)). The animal assays were performed using minipigs as an animal model due to its anatomical and physiological similarities to human skin. The authors noticed that the developed biomaterials promoted a significant acceleration and improvement of dermal wound repair since the interconnected porous structure of scaffold enables keratinocytes, fibroblasts, and endothelial cells migration.

In turn, Serdar and their co-workers used the electrospinning technique to obtain three-layered doxycycline (DOX) collagen-loaded nanofibrous membranes (Tort et al. [2017a\)](#page-593-0). To accomplish that, the authors produced the first layer, which was composed of coaxial nanofibers containing 1% PCL and 4.5% collagen in the core, while the shell was composed of 2.5% DOX and 2.5% polyethylene oxide. Then, the chitosan (CS) was used to produce the second layer, and the sodium alginate to fabricate the third layer.

Through this layered structure, the authors intend that the first and second layers would contact the wound to reduce/control the inflammation, whereas the collagen– DOX coaxial nanofibers (third layer) will improve the wound healing process. The incorporation of DOX into the shell allowed its rapid release, which is crucial to inhibit matrix metalloproteinase (MMP-2). On the other hand, the core part of coaxial nanofibers composed of collagen will create an appropriate environment to promote cell proliferation in the production of an ECM-like structure at the wound site. The main findings revealed that this layered nanofiber wound dressings presented good bioadhesion, mechanical and wettability properties.

Alternatively, Mehta et al. compared the performance of collagen dressings impregnating silver sulfadiazine with conventional dressings in second-degree burns (Mehta et al. [2019\)](#page-590-0). The authors applied silver-sulphadiazine-impregnated collagen and conventional dressings in 25 patients with similar burn wounds to

<span id="page-510-0"></span>

Table 1 Description of the several collagen-based wound dressings reported in the literature Table 1 Description of the several collagen-based wound dressings reported in the literature





Table 1 (continued) Table 1 (continued)





Table 1 (continued)



accomplish such a purpose. The results evidenced that the patients treated with silver-sulphadiazine-impregnated collagen presented an improved wound healing after 7 days of treatment. Contrarily, for the group treated with conventional dressings, the wound healing process was enhanced in just 14 patients. Such evidence reinforces the silver-sulphadiazine-impregnated collagen's ability to treat second-degree burn wounds since it controlled infection, reduced the pain and did not induce any severe complications.

As already mentioned, the most source of collagen used is the bovine and/or porcine animal. These sources can present some limitations associated with immune rejection, viral transmission, and others. In this way, the researchers identified the marine collagen as a new promising viable alternative. For example, Zhang et al. showed that collagen extracted from Tilapia skin presented biocompatibility, and it is absorbed and degraded by tissues (Zhang et al. [2016](#page-595-0)).

Further, the same team evaluated wound healing properties of tilapia collagen sponges (pepsin soluble collagen sponge (PCS) and acid-soluble collagen sponge (ACS) compared with bovine collagen sponge (BCS) and bovine collagen electrospun (BCE I and BCE II) in in vivo assays using rats full-thickness wounds (Chen et al. [2019\)](#page-585-0). The results revealed improved wound healing in the PCS, ACS, BCE I and BCE II groups, as well as an increase in hydroxyproline and protein contents. Also the fibroblasts proliferation and the synthesis of collagen was enhanced in these groups when compared to control group and the groups treated with BCS and Woundplast. Furthermore, histopathological and immunohistochemical examinations confirmed the positive effect of PCS, ACS, BCE I, BCE II on neovascularization, collagen synthesis, fibroblasts' proliferation, re-epithelialization and restoration of skin appendages. Then, the collagen-based materials' ability to induce the angiogenesis was evaluated through the staining of the vascular endothelial marker CD31 (Fig. [1](#page-517-0)). The results confirmed that the CD31 expression was significantly encouraged by PCS, ACS, BCE I and BCE II, indicating the vascularization process as fundamental in the progression of the healing.

Regarding the augment of wound dressings' healing potential, researchers have been combined the collagen with other natural polymers, including CS, alginate, gelatin and others. For example, Xie et al. prepared a composite dressing blending collagen, CS and alginate (Xie et al. [2018](#page-594-0)). The CS–collagen–alginate composite (CCA) produced by paintcoat and lyophilization presented swelling ability, porosity, degradation and mechanical properties proper for wound dressing applications. Moreover, the in vivo assays revealed that CCA composite dressing, gauze and CS healing rates of  $48.49 \pm 1.07\%$ ,  $28.02 \pm 6.4\%$  and  $38.97 \pm 8.53\%$ , respectively, after 5 days, as shown in Fig. [2](#page-518-0). Further, the CCA composite dressing exhibited, in the early stage, a stimulatory effect on the epidermal growth factor (EGF), basic fibroblast growth factor (bFGF), transforming growth factor β (TGF-β) and CD31 expression.

In turn, Lin and their collaborators immobilized antimicrobial peptides (AMP) on top of the substrate of alginate, collagen and hyaluronic acid (HA) to develop a new antimicrobial wound dressing (Lin et al. [2019](#page-589-0)). After that, the chemical crosslinking between compounds was achieved, obtaining the ALG/HA/COL–AMP composite

<span id="page-517-0"></span>

Fig. 1 Immunohistochemical analysis of CD31 expression and the microvessel density (MVD) in wounded tissues. Reprinted from Marine Drugs, vol. 17, Chen et al., Fish Collagen Surgical Compress Repairing Characteristics on Wound Healing Process In vivo, 33, open-access article with permission from MDPI (Chen et al. [2019](#page-585-0))

wound dressing. The chemical bonding approach allowed the modulation of the porosity, elongation at break, tensile strength, swelling profile, and biodegradability, as demonstrated in vitro. The weight loss of dressings increased when the incubation time also increases, i.e., the dressings degraded 25%–30%, 55%–60% and 80%– 90% after one, two and four weeks, respectively. Furthermore, the AMP-loaded wound dressing promoted a sustained release of AMP, had good biocompatibility, and displayed antimicrobial activity. Finally, the authors also verified that the ALG/HA/COL–AMP wound dressing improved the recovery process of infected full-thickness wounds in animal models, inhibiting or killing bacteria in these infected wounds (*Escherichia coli* and *Staphylococcus aureus*) (as shown in Fig. [3\)](#page-519-0).

### 2.1.2 Gelatin

Gelatin is derived from collagen through thermal, acid or alkaline treatment. It is broadly used in regenerative medicine due to its abundance, low cost and biological properties, namely biocompatibility, biodegradability and low antigenicity (Mogoşanu and Grumezescu [2014\)](#page-590-0). The gelatin is known as an excellent biological

<span id="page-518-0"></span>

Fig. 2 Evaluation of in vivo performance of the chitosan–collagen–alginate (CCA) composite dressings on the treatment of wounds induced on rats: Macroscopic images of the wound surface at different timepoints (a) and the wound healing ratio of the dressings and the gauze control group (b). Reprinted from International Journal of Biological Macromolecules, vol. 107, Xie et al., Preparation of chitosan-collagen-alginate composite dressing and its promoting effects on wound healing, 93–104, with permission from Elsevier (Xie et al. [2018\)](#page-594-0)

material since it contains adhesive peptide sequences (arginine–glycine–aspartic acid (RGD) domains) recognized by integrin cell receptors, playing a crucial role in cell adhesion mechanism (Ulubayram et al. [2002\)](#page-593-0).

In the wound dressing's field, the more attractive characteristics of gelatin are biodegradability and biocompatibility. It promotes cell adhesion and proliferation and presents reduced immunogenicity and risk of pathogen transmission in relation to the collagen.

<span id="page-519-0"></span>

Fig. 3 Determination of the antibacterial properties of the wound dressings: E. coli and S. aureus colonies obtained from the wound tissues treated with gauze, alginate/hyaluronic acid/collagen (ALG/HA/COL), ALG/HA/COL-antimicrobial peptides (AMP), Aquacel Ag and formed on agar plates (a); Quantification of the number of bacteria remaining in wound area at day 4 (b). Reprinted from International Journal of Biological Macromolecules, vol. 140, Lin et al., Biofunctions of antimicrobial peptide-conjugated alginate/hyaluronic acid/collagen wound dressings promote wound healing of a mixed-bacteria-infected wound, 330–342, with permission from Elsevier (Lin et al. [2019\)](#page-589-0)

However, the weak mechanical properties and water stability of gelatin are the main complications in wound dressings production. For example, gelatin has been chemically functionalized with unsaturated methacrylic and thiol groups, and crosslinked by genipin, glutaraldehyde or periodate-oxidized alginate (Song et al. [2018\)](#page-593-0). Besides, the gelatin can be used in blends with other polymers (natural and synthetic) to augment gelatin-based wound dressings' structural integrity.

<span id="page-520-0"></span>

Table 2 Description of the most recent work reporting the production and characterization of several gelatin-based wound dressings Table 2 Description of the most recent work reporting the production and characterization of several gelatin-based wound dressings





Table 2 (continued)

Table 2 (continued)









Table 2 (continued)





Table 2 (continued)

Table 2 (continued)

Thus, gelatin has been used to develop the different biomaterials (hydrogels, sponges, hydrofilms, membranes etc.) as listed in Table [2](#page-520-0). In the clinic, the gelatin is used as a haemostatic sponge (Hu et al. [2012](#page-588-0)).

Ramalingam et al. reported the in vitro effect of integration gelatin into the PCL/Gymnema sylvestre extract on the physical and biological properties (Ramalingam et al. [2019\)](#page-591-0). The authors produced electrospun nanofibers by combining PCL  $(8\%)$ , gelatin  $(4\%)$  and natural herbal extracts. The different assays demonstrated that gelatin's inclusion into nanofibers resulted in increased wettability, which encourages fibroblasts and keratinocytes attachment, spreading and proliferation (Fig. [4\)](#page-530-0). Additionally, the initial burst release of extracts from electrospun membranes is crucial to avoid bacterial colonization. Overall, Gymnema sylvestre loaded PCL/Gel hybrid mats are suggested to be used as an anti-infective nanofibrous wound dressing.

Posteriorly, Zhao et al., chemically modified gelatin with UV-crosslinkable monomers, yielding to a gelatin methacrylate hydrogel (GelMA) (Zhao et al. [2020b\)](#page-595-0). The authors incorporated into hydrogel isolated exosomes derived from human umbilical vein endothelial cells (HUVECs). The in vitro assays showed the internalization of HUVECs-exosomes by keratinocytes and fibroblast cells, as well as the promotion of cells' proliferation and migration. In turn, in vivo assays demonstrated the controlled release of HUVECs-exosomes from GelMA hydrogel promoted the re-epithelialization, collagen deposition and angiogenesis, which have beneficial effects on the wound healing progression.

More recently, Rehman et al. reported GelMA-based hydrogels' production incorporating different concentrations of reduced graphene oxide (rGO) to be used in the chronic wound care (Rehman et al. [2019](#page-591-0)). To accomplish such purpose, the authors initially modified gelatin with methacrylate groups and then mixed directly with rGO (at 0.001, 0.002 and 0.004%) and photoinitiator (Irgacure 2959), enabling the production of porous hydrogels (pore size of 50μm) after 10 seconds under UV irradiation. Furthermore, the authors observed a slight decrease on the degradation rate by the incorporation of rGO into GelMA hydrogel, presenting a degradation rate of  $\approx 40\%$  in GelMA rGO in comparison to GelMA hydrogel, which presented  $\approx$ 70% of degradation rate, after incubation in phosphate-buffered saline (PBS) solution for 28 days. The in vitro assays with fibroblasts, keratinocytes and endothelial cells evidenced the hydrogels' biocompatibility, highlighting its ability to promote cell migration. The authors also performed the in vivo chicken embryo angiogenesis assay, and they verified that GelMA hydrogel inclosing 0.002% w/w rGO improved the production of a highly branched capillary network compared to the blank GelMA hydrogel (as shown in Fig. [5\)](#page-531-0).

In another study, the gelatin was grafted with dopamine to improve the adhesiveness, haemostatic and antioxidant assets (Liang et al. [2019b](#page-589-0)). The authors also added polydopamine-coated carbon nanotubes (CNT-PDA) with excellent photothermal effect and antibiotic DOX to treat the infected wounds. All results suggested that CNT-PDA concentration influenced the porosity, conductivity, mechanical and rheological properties, swelling and biodegradability. Moreover, hemo- and biocompatibility of these hydrogels were confirmed by haemolysis and culture of

<span id="page-530-0"></span>

Fig. 4 Fluorescence microscopic images showing that the human primary dermal fibroblasts (a) and keratinocytes (d) adhered and proliferated at the surface of electrospun mats. F-Actin of fibroblasts and keratinocytes cells was stained green and red, respectively; the nuclei were stained blue. Scale bar corresponds to 20μm. Reprinted from Nanomaterials, vol. 9, Ramalingam et al., Poly-ε-caprolactone/gelatin hybrid electrospun composite nanofibrous mats containing ultrasoundassisted herbal extract: Antimicrobial and cell proliferation study, 462, open-access article with permission from MDPI (Ramalingam et al. [2019\)](#page-591-0)

mouse fibroblast cells. In contrast, the hydrogels' in vivo performance in an infected wound was demonstrated by wound closure rate, collagen metabolism, granulation tissue thickness, epidermis regeneration and immunofluorescence staining of TGF-β3 and CD31.

Further, to obtain a bilayer wound dressing, Garcia-Orue and their co-workers succeeded in a bilayer wound dressing with gelatin and different crosslinkers

<span id="page-531-0"></span>

Fig. 5 In vivo Chicken Embryo Angiogenesis (CEO) assay to evaluate the angiogenic potential of the GelMA hydrogels: the formation of the matured blood vessels (marked with black arrows) was increased on group treated with  $0.002$  wt% rGO nanoparticles (GrG2) (a); Quantification of the different angiogenic parameters (blood vessel junction, length and thickness) (b–d). Reprinted from International Journal of Nanomedicine, vol. 14, Rehman et al., Reduced Graphene Oxide Incorporated GelMA Hydrogel Promotes Angiogenesis For Wound Healing Applications, 9603, openaccess article with permission from Dove Medical Press Limited (Rehman et al. [2019](#page-591-0))

(Garcia-Orue et al. [2019\)](#page-587-0). To accomplish that, they developed a resistant and non-degradable upper layer by lactose-mediated crosslinking of gelatin and a porous lower layer with a great swelling ability through the crosslinking of gelatin with citric acid. Additionally, to improve its wound healing ability CS was incorporated into the lower layer. Further, the authors evaluated the stability of the hydrofilms, and the results showed that all hydrofilms, when immersed in PBS for 72 h, maintained about 96% of their dry weight. In general, the dressings presented good swelling and occlusivity features, and they did not show cytotoxicity in vitro. The authors also performed an ex vivo assay, where the biological performance of hydrofilms was determined by incubating them with skin explants samples. The results proved the biocompatibility of the dressings and their excellent beneficial effect on the wound healing process.

In turn, Adeli-Sardou et al. evaluated the role of electrospun polycaprolactonegelatin nanofibres containing lawsone in wound healing (Adeli-Sardou et al. [2019\)](#page-584-0). Initially, the authors incorporated lawsone (at different concentrations: 0.5%, 1% and 1.5%) into PCL/gelatin nanofibers, through coaxial electrospinning. After nanofibers' production, authors confirmed by electronic microscopy the nanofibres core shell structure, bead less morphology, with a mean diameter of  $\approx$  200 nm. Then, the determination of nanofibers' weight loss in PBS revealed that the PCL/gelatin mats had the lowest weight loss ( $\approx$ 12%) in comparison to PCL/gelatin/0.5% lawsone ( $\approx$ 20%), PCL/gelatin/1% lawsone ( $\approx$  30%) and PCL/gelatin/1.5% lawsone  $(\approx 40\%)$  after 14 days of incubation. The coaxial PCL/gelatin nanofibers prolonged the lawsone release for 20 days, which increased the cell attachment and proliferation. The gene expression of TGF-β1, collagen (COL1) and EGF were also quantified in vitro, evidencing that the PCL/gelatin incorporating 0.5% and 1% of lawsone significantly promoted the gene expression of TGF-β1 and COL1. About in vivo assays, the data revealed the PCL/gelatin/1% lawsone have the highest impact on healing, with an increase of wound reepithelization after 14 days.

#### 2.1.3 Silk Fibroin

Silk is a natural protein extracted from the silkworm Bombyx mori. Silk fibroin (SF) is sorted out the mulberry silk after removing silk sericin (Altman et al. [2003\)](#page-584-0). It is a semi-crystalline structure that exhibits remarkable mechanical properties, with superior tensile strength, exceptional elasticity and flexibility (Jao et al. [2016\)](#page-588-0).

Furthermore, SF can also be obtained from spiders, such as Antheraea mylitta, which produce silks with better cell adhesion and mechanical properties, and reduced solubility in acidic solvents (Jao et al. [2016](#page-588-0)).

Besides, SF possesses excellent biocompatibility, controllable biodegradability, low immunogenicity and inflammatory potential, and water vapour and oxygen permeability, making them an attractive option for skin regeneration applications (Kundu et al. [2013\)](#page-589-0). More importantly, it has been reported that SF promotes human keratinocytes and fibroblasts adhesion and enhances the type I collagen deposition in vitro.

Likewise, SF possesses a diverse variety of amino acids on its backbone structure that enable the functionalization through the attachment of biomolecules or antibodies (Jao et al. [2016;](#page-588-0) Kundu et al. [2013\)](#page-589-0).

On the other hand, SF can also be blended with other polymers and crosslinked to achieve stable and biocompatible wound dressings. Finally, SF presents a responsive intrinsic capacity to pH changes, allowing the control of the biodegradation mechanism, which occurs through the proteolytic body enzymes (Jao et al. [2016\)](#page-588-0).

It is easy to modify its structure, which controls the degradability, tensile strength, elasticity and flexibility of SF-based wound dressings. Furthermore, SF enables the introduction of physical crosslinker, haemostatic and self-healing agents and their processing into different constructs, such as films, hydrogels and sponges (as listed in Table [3\)](#page-533-0).

	Incorporated	Type of		
Polymeric	bioactive	wound		
combinations	compounds	dressing	<b>Main findings</b>	Refs.
SF/soy protein	None	Electrospun membrane	- The incorporation of soy protein enhanced the hydro- philicity and water retention ability of electrospun mem- branes - The scaffolds were bio- compatible and promoted the full-thickness wounds healing, in rat models	(Varshney) et al. 2020)
SF	Zinc oxide nanoparticles	Hydrogel	- The SF hydrogels were coated with zinc oxide nanoparticles to confer anti- microbial properties to the dressings - The fibroblasts cells adhered and proliferated at the surface of SF hydrogels coated with zinc oxide nanoparticles - The hydrogel presented antibacterial effect against E. coli	(Majumder et al. 2020)
SF/PCL/ quaternized chitin	None	Nanofiber film	- The SF/PCL nanofibers were obtained through electrospinning technique, and then were functionalized with quaternized chitin by layer- by-layer self-assembly approach - The dressings presented suitable flexibility, tensile strength and antibacterial activity - In vivo assays demon- strated the acceleration of the in vivo vascular recon- struction within 15 days	(Hu et al. 2020)
SF	None	Film and sponge	- The SF films induced higher cell migration in comparison with collagen films - The cells on the surface of SF films exhibited higher gene expression associated with wound repair than on the collagen films	(Hashimoto) et al. 2020)

<span id="page-533-0"></span>Table 3 List of recent works reporting the production and characterization of silk fibroin-based wound dressings

	Incorporated	Type of		
Polymeric combinations	bioactive compounds	wound dressing	<b>Main findings</b>	Refs.
			- The ECM-production- related were upregulated in	
			cells seeded on SF sponges	
<b>SF/HA</b>	Zinc oxide	Coaxial	- The core-shell configura-	(Hadisi
	nanoparticles	electrospun nanofibers	tion of nanofibers improved the sustained release of the	et al. 2020)
			antimicrobial agent (zinc	
			oxide nanoparticles)	
			- The addition of zinc oxide nanoparticles conferred	
			antibacterial activity to the	
			dressings in a dose-	
			dependent fashion	
			- High concentrations of zinc oxide $(>3 \text{ wt%)}$	
			induced cytotoxic effects on	
			the cells	
			- The incorporation of zinc oxide nanoparticles signifi-	
			cantly reduced the in vivo	
			inflammatory response	
SF/CS/alginate	Diclofenac sodium	Membrane	- The membranes combined	(Pacheco)
			the mechanical properties of SF, the antimicrobial action	et al. 2020)
			of CS and the ideal exudate	
			absorption of alginate	
			- The diclofenac sodium was released from the mem-	
			branes in 7 h when incu-	
			bated in a simulated solution	
			of wound exudate - The biocompatibility of	
			the membranes was demon-	
			strated in contact with	
			fibroblasts	
SF	Poly (hexamethylene	Sponge	- The PHMB-loaded sponges presented pore sizes	(Liang et al. 2020)
	biguanide)		of 80-300µm	
	hydrochloride		- The PHMB was continu-	
	(PHMB)		ously released from sponges for up to 20 days	
			- The PHMB-loaded	
			sponges promoted the	
			growth inhibition of E. coli	
			and S. aureus	

Table 3 (continued)

Polymeric combinations	Incorporated bioactive compounds	Type of wound dressing	<b>Main findings</b>	Refs.
SF/ polydopamine (PDA)	None	Electrospun membrane	- The PDA coating enhanced the wettability and protein adsorption capacity of SF nanofibers - The adhesion as well as cell proliferation were improved by SF nanofibers coated with PDA - The membranes enhanced wound healing in animal model compared to the commercial dressing $(3 M^{TM})$ Tegaderm <sup>TM</sup> )	(Zhang et al. 2019b)
SF/paramylon	None	Film	- Paramylon possessed suit- able biological properties that improve the immune response - The films presented high thermal stability and high stiffness values - The SF/paramylon films showed blood and cells' compatibility	(Arthe et al. 2020)
SF	Curcumin	Film	- The films presented a prolonged release of curcumin, flexibility and gas permeability - The prepared films pro- vided a significant protec- tive barrier against bacterial penetration, without induc- ing cytotoxic effects on cells	(Zhang et al. 2019a)
<b>SF/PDA</b>	None	Film	- The SF was obtained through Antheraea perny, which is rich in Arg-Gly- Asp adhesive sequences - The PDA presence induced the roughness and hydrophilicity of films - The histological analysis demonstrates that the PDA-coated SF films mini- mized the wound inflamma- tion and improved the epithelialization and colla- gen deposition	(Wang et al. 2019a)

Table 3 (continued)

Polymeric combinations	Incorporated bioactive compounds	Type of wound dressing	<b>Main findings</b>	Refs.
SF/CS	Polydopamine nanoparticles (PDA-NPs)	Cryogel	The cryogel possessed an ECM-like macroporous structure, enabling the cell adhesion and tissue ingrowth - The cryogel presented antioxidative activity during inflammatory responses - The cryogel containing PDA-NPs exhibited photothermally assisted antibacterial activity - The combination of photobiostimulation of infrared light enabled to the cryogel perform the bio- chemo-photothermal syner- gistic therapy	(Han et al. 2019)
<b>Sf/PVA</b>	None	Electrospun membrane	- The methanol-treated SF/PVA membranes mim- icked the structure of the endogenous ECM - The in vivo assays dem- onstrated that the dressings seeded with epidermal stem cells promoted granulation tissue regeneration on the third day	(Huang et al. 2019)
SF/Konjac glucomannan	None	Sponge	- The blend ratio of SF/konjac glucomannan sponges influenced the pore structure - The konjac glucomannan improved the water absorp- tion and compression strength of sponges - The dermal fibroblast cells adhered and proliferated on sponges	(Feng et al. 2019)
SF	Gelatin micro- spheres loaded with neurotensin	Membrane	- Neurotensin is an inflam- matory modulator in wound healing - The SF-based film pro- moted the best healing per- formance in the treatment of wounds on rat diabetic models, promoting the fibroblast proliferation and the formation of collagen fibres	(Liu et al. 2019)

Table 3 (continued)

Polymeric combinations	<b>Incorporated</b> bioactive compounds	Type of wound dressing	<b>Main findings</b>	Refs.
			- The gelatin microspheres presented suitable properties to acts as a carrier for con- trolled release drugs	
SF/HA/alginate	None	Sponge	- The scaffold presented soft and elastic properties with a mean pore diameter of 93µm - The scaffold exhibited good physical stability - The fibroblasts adhered and proliferated on scaffolds - The scaffold showed an improved reepithelialization and ECM remodelling	(Yang et al. 2019)
SF	Silver nanoparticles	Electrospun membrane	- The SF nanofibrous mats were coated by silver nanoparticles in situ using dandelion leaf extract - The silver nanoparticles- coated SF mats showed good mechanical strength, water absorption and ade- quate porosity - The nanofibrous mat could be used as a therapeutic device, drug delivery vehi- cle and tissue-engineered constructs	(Srivastava et al. 2019)
SF/ carboxymethyl chitosan (CMCS)	None	Electrospun membrane	- After the production of SF electrospun nanofibers, CMCS was adsorbed at its surface, through electro- static layer-by-layer self- assembly techniques - The mats presented remarkable hydrophilicity and robust mechanical properties - The modified mats were biocompatible and presented enhanced antibacterial activity	(Tu et al. 2019)
<b>SF/PCL</b> <b>SF/HA</b>	Thymol	Electrospun membrane	- SF was blended with PCL to obtain a dense and pro- tective upper layer of an asymmetric membrane - The combination of SF	(Miguel) et al. 2019)

Table 3 (continued)

Polymeric	Incorporated bioactive	Type of wound		
combinations	compounds	dressing	<b>Main findings</b>	Refs.
			with HA enabled to obtain a porous and bioactive bottom layer that avoids the exudate accumulation and promote cell migration - The electrospun asym- metric wound dressings presented excellent biologi- cal properties and structural similarities to the native skin structure	
SF	EGF	Electrospun membrane	– The SF nanofibers were functionalized with EGF aims to improve the biolog- ical performance in the wound healing process treatment of chronic wounds - The EGF-functionalized membranes promoted the adhesion of fibroblasts until $2.5$ -fold - The controlled release of EGF from membranes reduced the wound area in an in vitro wound model until 15%	(Woltje et al. 2018)
SF/alginate	Amniotic fluid	Hydrogel and electrospun fibres	- The electrospun SF fibre was combined with alginate hydrogel loading amniotic fluid to produce a bioactive wound dressing - The amniotic fluid is composed of multiple bio- active molecules that pro- mote the wound healing - An increase in amniotic fluid improved the cell adhesion, proliferation and collagen deposition	(Ghalei et al. 2018)
SF	Recombinant spider silk pro- tein (4RepCT)	Electrospun membrane	- The SF nanofibrous scaf- fold was coated with 4RepCT to promote the cell binding, growth and confer antimicrobial features - The recombinant spider silk protein was linkage to the SF surface of the scaf- fold by self-assembly - The functionalized SF	(Chouhan et al. 2018a)

Table 3 (continued)

Polymeric combinations	Incorporated bioactive compounds	Type of wound dressing	<b>Main findings</b>	Refs.
			scaffolds allowed the cocultivation of different cell types	
SF/collagen	Fenugreek	Electrospun membrane	- The SF content improved the fibre diameter and tensile strength of membranes - The nanofibres showed excellent antioxidant prop- erties - The presence of collagen enhanced the migration of fibroblasts	(Selvaraj et al. 2018)
SF/chitin	Silver nanoparticles	Sponge	- The 3D porous scaffolds inhibited the growth of E. coli, S. aureus and C. albicans - The scaffolds were bio- compatible and promoted cell attachment	(Mehrabani et al. 2018)
SF/PVA/aloe vera	Vitamin E	Electrospun membrane	- Starch nanoparticles loaded with vitamin E were incorporated into SF/PVA/ aloe vera nanofibres - The VE release was con- trolled, which improved the antioxidant activity and promoted the wound healing process - The electrospun mem- branes promoted the fibro- blast adhesion, proliferation and collagen secretion	(Kheradvar et al. 2018)
SF/glucose	None	Film	- The addition of glucose to the SF films improved the flexibility and absorption capacity, without affecting the biocompatibility of the dressing - The films were biocom- patible and supported the wound closure	(Panico) et al. 2019)
<b>SF/PVA</b>	EGF, bFGF, $LL-37$	Electrospun membrane	- After 14 days of treatment, the SF-based dressings accelerated the wound clo- sure - The dressings promoted	(Chouhan et al. 2018b)

Table 3 (continued)


expression of the TNF-α

Table 3 (continued)

To the best of our knowledge, to date only three SF-based medical products have been approved for clinical use: SeriScaffold® (Allergan Medical, Inc.) from the U.S. Food and Drug Administration (FDA), TymPaSil® (CG Bio Inc.) from the Ministry of Food and Drug Safety of South Korea and Sidaiyi® (Suzhou Soho Biomaterial Science and Technology Co., Ltd) from the China Food and Drug Administration (CFDA). Among these, only Sidaiyi® has application on skin wound healing (Zhang et al. [2017](#page-595-0)).

Regarding the literature, Zhang and their collaborators developed a SF film clinically oriented for skin repair (Zhang et al. [2017\)](#page-595-0). In the first instance, the morphology of SF film was compared with the Suprathel® and Sidaiyi® films. SF films revealed fluid handling capacity, gaseous permeability, good transmittance, waterproofness, as well as biocompatibility and acting as a bacterial barrier. After that, SF films' effect on the full-thickness skin defects healing was assessed in animal models, rabbits and porcine. Concerning the rabbit full-thickness skin defects, the groups treated with the SF films healed after 14 days post-surgery, whereas the groups treated with Suprathel or Sidaiyi healed after 17 and 21 days, respectively. Further, the wounds covered with the SF films presented a faster re-epithelialization, better angiogenesis, and more hair follicles compared to other groups. With respect to the porcine full-thickness skin defects, the long-term biocompatibility evaluation of the SF films (30 and 90 days post-implantation) has demonstrated that the regenerating skin attained the thickness of normal skin after 30 and 90 days, which revealed improved remodelling than other groups. Notably, the data obtained highlighted the superior healing time performance of SF film compared to other spongy wound dressings. Besides, the SF film promoted a fast and remarkable skin regeneration process without antimicrobial agents' addition. Moreover, the efficacy and safety of the SF film were assessed in a randomized, single-blind parallel controlled clinical study with 71 patients. The results confirmed the SF's excellent biological properties, promoting faster wound healing than Sidaiyi® with a median healing time of  $(9.86 \pm 1.79 \text{ vs } 11.35 \pm 3.03 \text{ days})$ . Such evidence of a short healing time is of great importance to reduce hospitalization stay and health care costs.

SF's promising properties on skin defects treatment have been motivating several researchers to develop different types of SF-based biomaterials. For example, Ju et al. developed an SF nanomatrix through the electrospinning technique and then, evaluated their performance in a burn rat model (Ju et al. [2016](#page-588-0)). To accomplish that, the authors mixed SF with polyethylene oxide and electrospun the solution onto a rotating drum collector, in which sodium chloride crystals were dispensed. The sodium chloride crystals act as porogens that increase the thickness and pore size of SF nanomatrix. After the electrospinning process, SF nanomatrix was immersed in ethanol 100%, for 1 h for SF's re-crystallization, after be drying. In turn, the in vivo assays showed that the SF nanomatrix accelerated the burn wounds healing in rats, which was substantiated by the wound size reduction, collagen, epithelialization and PCNA expression. At the molecular level, the data obtained noticed that the SF nanomatrix suppressed the pro-inflammatory cytokines (IL-1 $\alpha$ , IL-6, IL-10

and TGF-β), stimulating the re-epithelialization and reducing the duration of wound healing process and formation of scar.

Furthermore, Han et al. produced a mussel-inspired CS/SF cryogel functionalized with polydopamine nanoparticles (PDA-NPs) responsive to near-infrared light (Han et al. [2019](#page-587-0)). The cryogel was obtained through the cryo-gelation of CS, SF and PDA-NPs at sub-zero temperatures. CS/SF cryogel combines the excellent biocompatibility and inherent antibacterial properties of CS with high air permeability, breathability, flexibility, tensile strength and SF's moisture retention. In turn, the PDA-NPs promote both cell activity and skin tissue regeneration process, preventing the microbial infection and controlling ROS's accumulation at the wound site.

After the cryogel production, the water absorption ability was characterized by monitoring the adsorbed water mass after immersion in deionized water for 24 h. The results revealed that the PDA-NPs-CS/SF cryogel can maintain a moist environment at wound bed. In this work, the authors also immobilized EGF into cryogels, and they determined that the EGF release profile was gradual along time. Then, the photothermal effect of the PDA-NPs-CS/SF cryogels was confirmed in the antibacterial and antioxidant assays, where the NIR irradiation of cryogels promoted an enhanced antibacterial effect and a decrease on the level of ROS. Similar findings were observed in in vivo assays, where NIR irradiation groups showed improved tissue regeneration and wound healing.

On the other hand, the SF obtained from wild silkworm Antheraea pernyi is rich in RGD sequences and was used by Wang et al. to develop a wound dressing (Wang et al. [2019a\)](#page-594-0). Firstly, the authors coated the SF film with polydopamine (PDA)- (PAF) to improve cell adhesion and wound healing. Indeed, roughness and hydrophilicity were increased by the PDA coating (water contact angle values decreased from 61.17  $\pm$  0.80° to 40.30  $\pm$  1.28°). In this way, the attachment and spreading of rat marrow mesenchymal stem cells (rMSCs) was improved on PAF films, where it is possible to see the cell lamellipodia and filopodia (Fig. [6a\)](#page-543-0). Furthermore, the cell activity in PAF presence was characterized (as shown in Fig. [6b\)](#page-543-0). The authors also evaluated the films' ability to induce the migration of rMSCs, and the PAF films showed better results (Fig. [6c and d](#page-543-0)). In turn, the in vivo assays proved that the PAF films improved wound healing in rats, promoting the formation of the hair follicle, new epithelial tissue, collagen deposition and reduced inflammatory cell infiltration.

In turn, Hashimoto et al. investigated the application SF biomaterials as wound dressing, compared with a commercial collagen material (Hashimoto et al. [2020\)](#page-587-0). So, the SF films and sponges were produced, and then fibroblasts were cultured on these SF-based biomaterials. In the SF films, the cell migration assays were done using time-lapse imaging, and the results evidenced that the migration rate of fibroblasts on SF film was more than triple about collagen film and glass surfaces. On the other hand, the authors also characterized the gene expression profiles of MMP3, FGF2, IL-1β, Col3a1 and TGF-β1 for fibroblasts seeded on SF sponges. In general, the results showed that SF sponges could accelerate skin epithelialization, reconstruction, wound repair and minimize scar tissue formation.

<span id="page-543-0"></span>



## 2.1.4 Keratin

Keratin, a major fibrous protein, constitutes the body's outer coverings such as hair, wool, nail, etc. Recently, keratin due to its outstanding biocompatibility and biodegradability has attracted enough attention. The good mechanical durability of keratin is assured by the presence of disulphide bonds (Rouse and Van Dyke [2010\)](#page-591-0). It also contains various amino acids, namely cystine, lysine, proline and serine, and it can be handily processed into various types of wound dressings (Rouse and Van Dyke [2010\)](#page-591-0).

The degradation of the keratin complex supramolecular organization is mainly accomplished by the synergic action of microbial keratinases and other keratinolytic enzymes. The resulting predominant product is the cysteine, which is readily available to other microbial hydrolytic enzymes (Jin et al. [2017\)](#page-588-0).

Additionally, the keratin-based wound dressings are suitable for accelerating wound healing mainly in chronic wounds due to the interaction with the proteolytic environment at the wound site. Moreover, keratin extracted from hair contains RGD and leucine–aspartic acid–valine (LDV) cell adhesion sequences, noticed also in different ECM proteins namely fibronectin (Hamasaki et al. [2008](#page-587-0)). This contributes to the role of keratin on the support of cell adhesion and proliferation. Indeed, Yamauchi et al. verified improved fibroblasts adhesion and proliferation in the presence of keratin than type I collagen (Yamauchi et al. [1998](#page-595-0)).

Table [4](#page-545-0) describes different keratin-based biomaterials reported in the literature employed in skin regeneration applications.

Wang et al. extracted through the action of urea, sodium dodecyl sulphate (SDS) and 2-mercaptoethanol, keratin from human hair. The authors then combined keratin with PU and silver nanoparticles (AgNPs) to produce nanofibrous mats (Wang et al. [2016\)](#page-594-0). The presence of keratin on mats' composition improved the hydrophilic character (water contact angle reached  $39 \pm 3.5^{\circ}$  at 30% of keratin), promoting an enhanced cell interaction, adhesion and spreading. The cells cultured on PU/keratin mats displayed cytoplasmic extensions, evidencing the bioadhesive character of the keratin. On the other hand, the PU/keratin/AgNPs mats presented a pronounced antibacterial effect against S. *aureus* and  $E$ . *coli*. In turn, the in vivo assays proved that the composite nanofibrous mats did not elicit TNF-α secretion and promoted the skin regeneration process.

Despite the advantages of the keratin, hair keratin possesses fragility and brittleness, limiting its biomedical application. In this way, the researchers overcome such limitation through the blend or crosslink keratin with other materials. Hartrianti et al. produced a sponge of human hair keratin with alginate crosslinked by 1-ethyl-3 dimethylaminopropyl carbodiimide hydrochloride (EDC) (Hartrianti et al. [2017\)](#page-587-0). The chemical interaction between keratin and alginate was promoted by EDC, which resulted in amide bonds between carboxylic and amine groups found on compounds. After preparing the alginate–EDC–keratin mixture, it was subjected to a freezedrying cycle to attain a sponge. The mechanical assays revealed a higher value of compression and tensile modulus for the crosslinked keratin–alginate sponges. The

<span id="page-545-0"></span>

**Table 4** Description of the most recent works reporting the use of keratin in the production of wound dressings Table 4 Description of the most recent works reporting the use of keratin in the production of wound dressings (continued)



Table 4 (continued)





enzymatic degradation assays were also done by incubating the sponges with proteinase K and chymotrypsin for 30 days. The data obtained revealed that the sponges with the highest keratin content presented a higher weight loss of their original weight (74.5  $\pm$  4.5%) in comparison to the sponges with highest alginate content (17.5  $\pm$  3.7%) when incubated with proteinase K. Unlike, when the sponges were immersed in contact with chymotrypsin, the keratin-alginate sponges were more resistant. Moreover, in vitro and in vivo assays highlighted the high keratin content in sponges promoted the adhesion and proliferation of fibroblasts, controlled the immunological reaction and supported the cellular infiltration, neotissue formation and neovascularization.

In turn, Lin et al. developed a composite membrane composed of keratin and CS via UV irradiation (Lin et al. [2018](#page-589-0)). To perform that, the keratin was prepared from human hair, mixed with CS-azide and exposed for 15 min to UV radiation. The SEM analysis noticed that the samples had a compact structure, presenting great structural integrity. On the other hand, the composite membranes with more keratin amount presented a lower water contact angles, i.e., keratin: CS at 0.25:1, 0.5:1 and 1:1 ratios had water contact angles values of 101  $\pm$  3°, 95  $\pm$  4° and 86  $\pm$  3°, respectively. Afterwards, the composite membranes' ability to induce cell migration was evaluated, and the results revealed that the keratin had a crucial role in cell migration (as shown in Fig. [7\)](#page-550-0). Then, the subcutaneous implantation of membranes in animals highlighted the biocompatibility and biodegradability of the wound dressings.

More recently, Konop and their co-workers evaluated the role of insoluble fur (from mouse) keratin-derived powder loading silver nanoparticles (Konop et al. [2020](#page-588-0)). After preparing keratin-derived wound dressing, it was coated with the colloidal silver suspension by soaking for 60 min. The keratin dressings containing AgNPs exerted excellent antimicrobial properties against E. coli and S. aureus. Concerning the in vivo biological evaluation, the dressings promoted a faster epithelialization compared to the control wounds, encouraging the epithelial cellular migration and proliferation. Besides, the keratin dressings provided a suitable moist environment for wound healing mechanisms.

In turn, Navarro et al. produced a 3D keratin-based construct by UV crosslinking in a lithography-based printer (Navarro et al. [2020](#page-590-0)). In the first instance, the keratin was obtained from human hair and then combined with a photosensitive initiatorcatalyst-inhibitor (riboflavin-SPS-hydroquinone) solution 0.001% wt/vol hydroquinone (the small molecule that reduces the scarring of severe burn wounds). After the printing process with the lithography-based printer, the keratin hydrogels were exposed to the UV radiation. The in vivo efficacy of Halofuginone-loaded hydrogels on dermal wound healing using a porcine burn model showed that the printed hydrogels provided a low inflammation environment, low late-stage vascular proliferation, with the improvement in collagen deposition over 70 days. Further, keratin and Halofuginone's combination displayed significant healing enhancement from 30 to 70 day.

<span id="page-550-0"></span>

Fig. 7 Evaluation of keratin-chitosan membranes' ability to induce the migration of the fibroblast cells. The microscopic images were acquired at 0 h, 2 h, 6 h, 8 h, and 16 h after removing the culture-insert well mould. Reprinted from Polymers, vol. 10, Lin et al., Photo-crosslinked keratin/ chitosan membranes as potential wound dressing materials, 987, open-access article with permission from MDPI (Lin et al. [2018\)](#page-589-0)

# 2.2 Naturally-Derived Polysaccharides

Apart from the natural biodegradable proteins, the natural polysaccharides are also considered an attractive therapeutic option for wound dressings development. Among different natural polysaccharides described in the literature, this section will only focus on the natural polysaccharides-based wound dressings most reported in the literature, namely HA, CS and alginate.

#### 2.2.1 Hyaluronic Acid

Hyaluronic acid (HA) is a natural polymer included in heteropolysaccharides group known as glycosaminoglycans (GAGs), and can be found in the human vitreous humour, joints, rooster comb, umbilical cord, skin and connective tissues. HA is comprised of repeated disaccharide units of β-d-glucuronic acid and N-acetyl-dglucosamine, alternately linked by  $β-1,3$  and  $β-1,4$  glycosidic bonds (Graça et al. [2020\)](#page-587-0). HA possesses unique viscoelastic properties, good biocompatibility and biodegradability, making it a promising material for regenerative medicine. In wound healing applications, HA offers a high potential due to its high-water uptake capacity, preventing the wound dehydration, providing moist environment and promoting the healing process (Voigt and Driver [2012](#page-594-0)). HA is rapidly metabolized by hyaluronidases, with half-lives going from hours to days.

At initial phases of wound healing, HA acts a transitory matrix that helps the nutrients supply and remove waste products from wounds. Further, HA promotes the keratinocytes proliferation and migration. Also, HA induces the activity of endothelial cells and macrophages, which can contribute to blood vessels formation and regulate the collagen fibres production (Voigt and Driver [2012](#page-594-0)). HA can interact with endothelial cell receptors (CD44), promoting cell proliferation and angiogenesis, enhancing collagen deposition, and increasing the re-epithelialization in skin regeneration (Seol et al. [2018;](#page-592-0) Silva et al. [2016](#page-592-0)).

However, the weak mechanical properties and biodegradation profile of HA can be modulated through the blending of other polymers or producing HA chemical derivatives. Many carboxyl and hydroxyl groups, available within the HA structure, enable easy chemical modification and chemical crosslinking (Graça et al. [2020](#page-587-0)).

Different HA-based wound dressings were already developed from the clinical perspective, such as HylaSponge® System, Hyalomatrix® and Hyalosafe®, and others (Graça et al. [2020](#page-587-0)). However, the researchers have been developing HA-based wound dressings to overcome shortcomings of commercial HA-based wound dressings (e.g., high production costs and low mechanical stability). Table [5](#page-545-0) described the more recent works dedicated to the production and characterization of HA-based wound dressings.

Wu et al. produced a hydrogel of gelatin and HA crosslinked by EDC (Wu et al. [2017\)](#page-594-0). Through this strategy, the composite gelatin and HA hydrogel will meet both components' excellent biological properties. The crosslinked hydrogels presented a porosity between 40% and 70% with a pore size of 100–400μm. Further, in vitro assays confirmed hydrogels biocompatibility and ability to induce fibroblasts migration, while in vivo assays indicated that the hydrogels promoted the wound healing process on animal wound models.

Hong et al. produced two kinds of HA-based hydrogels: one is made through physical crosslinking of HA solution (HA1) and other by chemical crosslinking of HA (HA2) (Hong et al. [2018\)](#page-587-0). The authors then evaluated the healing effect of HA hydrogels in the repair of full-thickness skin defects on rabbits and they noticed that the HA2 hydrogel presented a great promotion in wound reduction and healing



<span id="page-552-0"></span>Table 5 Description of the most recent work reporting the production and characterization of several hyaluronic acid-based wound dressings





Polymeric combinations	<b>Incorporated</b> bioactive compounds	Types of wound dressing	<b>Main findings</b>	Refs.
			development and colla- gen deposition	
HA/alginate	None	Powder	- The HA/alginate pow- ders presented a better water adsorption ability and shorter blood clotting time than com- mercial haemostatic agents - The dressings exhibited a controlled degradation profile in the presence of hyaluroni- dase and lysozyme - Cell proliferation and migration of fibroblasts were noticed when they were seeded in contact with dressings	(Chen et al. 2020)
HA/PU/starch	None	Electrospun membrane	- The SEM and TEM analysis evidenced the core-shell membrane - The coaxial nanofibres presented suitable mechanical properties for wound dressing applica- tions - The in vitro cytotoxic- ity assays revealed that the nanofibres were bio- compatible, which posi- tively affect the wound healing	(Movahedi et al. 2020)
<b>HA/PDA</b>	None	Hydrogel	- The HA-based hydro- gel was developed based on the Michael addition reaction between PDA and thiolated HA - The inclusion of PDA into HA hydrogel improved the cell affinity and tissue adhesion - The PDA-bearing hybrid hydrogel inhibited bacterial growth	(Yu et al. 2020)

Table 5 (continued)

	<b>Incorporated</b>	Types of		
Polymeric	bioactive	wound		
combinations	compounds	dressing	<b>Main findings</b>	Refs.
HA	None	3D-printed hydrogel	- HA was modified with methacrylic anhydride and 3,3'-dithiobis (propionylhydrazide) aims to crosslink the 3D-printed hydrogel through UV irradiation and click reaction - The storage modulus of hydrogels was directly related to the increase on methacrylated HA con- centration - The hydrogel presented a high swelling ratio and sustained degradation rate	(Si et al. 2019)
<b>HA/PVDF</b>	Active pharma- ceutical ingre- dient ionic liquids $(API-ILs)$	Membrane	- The API-ILs were blended in a bilayer membrane made of PVDF and HA layers - Cell adhesion was noticed on the surface of the bilayer membrane - The release of API-ILs from dressings was cru- cial to avoid an exuber- ant inflammation response	(Abednejad et al. 2019b)
HA	None	Membrane	- A dressing was devel- oped by using high- and low-molecular weight HA - The dressings reduced the inflammation bio- markers and accelerated the healing process - The samples treated with HA dressings showed a higher expres- sion of defensin-2 (anti- microbial peptide), suggesting antibacterial functions	(D'Agostino et al. 2019)
HA	Platelet-rich plasma (PRP)	Scaffold	- The biofunctionalized HA scaffold composed of PRP and HA	(De Angelis et al. 2019)

Table 5 (continued)









Polymeric	<b>Incorporated</b> bioactive	<b>Types of</b> wound		
combinations	compounds	dressing	<b>Main findings</b>	Refs.
HA/ Nanocrys- talline cellulose	CS nanoparticles loaded with <b>GM-CSF</b>	Membrane	- The composite dress- ing showed appropriated mechanical properties, swelling capacity and controlled release of <b>GM-CSF</b> - The wounds covered with composite dressing exhibited a faster wound closure and reepithelialization	(Karimi Dehkordi et al. 2019)
<b>HA/PDA</b>	rGO	Hydrogel	- The hydrogel-based on HA-graft-dopamine and rGO by using a $H_2O_2/$ HPR system was obtained - The hydrogels displayed similar mechanical properties to human skin, high swell- ing ratio and adequate degradation profile - The addition of PDA to the hydrogel composi- tion conferred it antioxi- dant and haemostatic capacity and tissue adhe- siveness - The NIR irradiation improved in vivo antibacterial behaviour - The hydrogel promoted the collagen deposition, granulation tissue thick- ness and vascularization	(Liang et al. 2019a)
HA/collagen	Heparin-bind- ing EGF-like growth factor $(HB-EGF)$	Hydrogel	- HB-EGF in hydrogels containing HA and col- lagen was released over at least 72 h, promoting keratinocyte migration, EGFR-signalling and HGF expression in der- mal fibroblasts - Hydrogels induced the epithelial tissue forma- tion in wounds in a por- cine skin organ culture model	(Thones et al. 2019)

Table 5 (continued)





acceleration. Furthermore, the HA2 hydrogel also improved the secretion of α-smooth muscle actin (α-SMA) and vascular endothelial growth factor (VEGF), which is beneficial for wound contraction angiogenesis mechanisms.

An injectable nanocomposite hydrogel dressing composed by HA and reduced graphene oxide (rGO) with adhesiveness, good mechanical properties, as well as antibacterial and radical scavenging abilities was produced by Liang and their collaborators (Liang et al. [2019a\)](#page-589-0). To accomplish such purpose, the HA-graftdopamine conjugate (HA-DA) was produced by a chemical EDC procedure to confer HA adhesiveness and antioxidant property. Afterwards, the HA-DA polymer chains were crosslinked with rGO@ polydopamine (PDA) by oxidative coupling of catechol groups between them and using as an initiator system  $H_2O_2/h$ orseradish peroxidase (HRP). Then, the authors determined the water uptake ability of hydrogels, which achieved a maximum water absorption of  $\approx$ 300% after 3 days. Unlike, the hydrogels degradation rate depended on the rGO@PDA concentration. Further, the presence of rGO into hydrogel formulation conferred to HA-DA/rGO hydrogels with photothermal property, which was crucial to impair the E. coli and S. aureus growth in vitro and in vivo infected skin wound model. Additionally, the hydrogels comparing to commercial Tegaderm® film showed higher in vivo wound healing effect regarding collagen metabolism, granulation thickness and wound closure.

As an alternative, Ying et al. explored an approach to improve HA hydrogels' mechanical strength through the enzymatic crosslinking method (Ying et al. [2019\)](#page-595-0). In this work, the HA/collagen (COL) hydrogel was produced through the action of HRP. In the first instance, HA and collagen were branched with phenolic hydroxyl groups, which result in HA-Tyr and COL-P, covalently crosslinked with HRP and  $H_2O_2$ .

The swelling assays revealed that the individual HA-Tyr hydrogel (95%) was higher than individual COL-P hydrogel (30%), after 3 days of incubation, evidencing the high absorption ability of HA. In terms of enzymatic degradability, the COL-HA hydrogel showed at first 6 h an initial burst phase, when incubated with collagenase and hyaluronidase. In contrast, the hydrogels maintained about 70% of its weight when incubated without enzymes' presence.

In respect to the in vitro assays, the COL-HA hydrogel was biocompatible, allowing the vascular cells infiltration, while in vivo assays showed that the hydrogel stimulated the angiogenesis epithelium and consequently the reestablishment of skin tissue. Moreover, COL-HA hydrogel mimicked ECM for cell proliferation and differentiation, which promoted the formation of the vessels and collagen fibres (Fig. [8\)](#page-561-0).

On the other hand, De Angelis et al. reported in vitro and in vivo assessment of a bio-functionalized scaffold composed of platelet-rich plasma (PRP) and HA in an observational study including 182 patients with chronic ulcers (diabetic and vascular) (De Angelis et al. [2019](#page-585-0)). Overall, the results evidenced that Group treated with PRP + HA encouraged the skin regeneration process, reducing the healing time. The HA provides a moist environment, induces the growth factors secretion, and acting as a transitory dermal substitute, while PRP promotes the cells' activity.

<span id="page-561-0"></span>

Fig. 8 Histomorphological evaluation of skin tissues stained by the haematoxylin and eosin after 4, 7 and 14 of wound induction. The groups were treated with Yunnan powder, HA-Tyr hydrogel, COL-P hydrogel and COL-HA hydrogel. The black arrow, red arrow and red round represented epidermal layer, inflammatory cells and fibroblasts and collagen fibre, respectively. Reprinted from Materials Science and Engineering C, vol. 101, Ying et al., In situ formed collagen-hyaluronic acid hydrogel as a biomimetic dressing for promoting spontaneous wound healing, 487–498, with permission from Elsevier (Ying et al. [2019](#page-595-0))

Additionally, after 80 days of treatment, the patients treated with PRP + HA had 98.4%  $\pm$  1.3% of re-epithelization compared to 87.8%  $\pm$  4.1% in the group treated only with HA. Based on data gathered, this work suggests that the combined use with PRP and HA constitutes a promising treatment for chronic ulcer.

Si et al. used the 3D bioprinting technique to produce double-crosslinked HA-based hydrogels (Si et al. [2019\)](#page-592-0). Firstly, the authors synthesized two different HA chemical derivatives to improve HA hydrogels' mechanical properties. The HA can be crosslinked via click reaction by modification with 3,3'-dithiobis (propionylhydrazide) (DTP) (HA-SH), and UV-crosslinked with methacrylic anhydride (HA-MA). Afterwards, the HA derivatives solutions were mixed and

bioprinted, and then exposed to UV LED curing system. In this way, the HA-SH was crosslinked by click reaction, and simultaneously through the UV irradiation, obtaining a double-crosslinked HA-MA/SH hydrogel. The morphological characterization of hydrogels revealed that all hydrogels possessed a microporous structure with a pore size between 30 and 50μm. The swelling ratio decreased with the increase of HA-SH content (the lowest ratio was  $20.62\% \pm 9.13\%$ ), but when the proportion between HA-SH and HA-MA is same, the water absorption performance was more controlled along 12 h of incubation. In turn, the in vitro assays demonstrated that hydrogels hyaluronidase degradation exceeded 55% in 3 days, which differ with the ratio of the HA-MA on hydrogels' composition. The biocompatibility of hydrogels was also confirmed, and the 3D bioprinting of hydrogels enables to obtain structures with pre-determined architecture, which can be tailored to different wound dressings applications.

Further, Movahedi et al. produced core-shell structured PU/starch (St) and PU/St/ HA nanofibres through coaxial electrospinning technique (Movahedi et al. [2020\)](#page-590-0). The nanofibres' wettability was evaluated through the determination of water contact angles, and the results confirmed the hydrophilicity of the membranes presenting values of 61.4  $\degree$  and 42.8 $\degree$  for PU/St and PU/St/HA. About the biodegradation profile, the assays revealed that, after 3 weeks of incubation in PBS solution, 85–93% of the weight of electrospun membranes remained, whereas the PU/St/ HA nanofibres swelling ratio were higher (625.23%). In vitro and in vivo assays evidenced that the nanofibres were biocompatible, promoted the cell adhesion and accelerated the wound closure. Such results were attributed to HA' properties, such as (i) induction of the cellular activity, (ii) provision of a moist environment and (iii) improvement of the tissue repair (Table [5\)](#page-552-0).

### 2.2.2 Chitosan

Chitosan (CS) is a naturally biodegradable polysaccharide, broadly used in wound healing applications. CS, derived from the alkaline N-deacetylation of chitin, is the crustacean exoskeleton's main structural component. Chitin is composed of glucosamine and N-acetyl glucosamine linked by  $β(1-4)$  glycosylic bonds.

CS, a cationic polysaccharide, displays several promising properties such as low immunogenicity, haemostasis, biocompatibility, degradability, scar prevention and antimicrobial activity with relevance for wound healing and tissue engineering (Song et al. [2018;](#page-593-0) Matica et al. [2019](#page-590-0)).

CS is biodegraded by enzymes, namely the lysozymes able to disrupt the acetylated units linkages, or by acid hydrolysis, resulting in CS oligosaccharides, able to stimulate macrophages and fibroblasts migration and proliferation (Kim et al. [2008\)](#page-588-0).

Further, CS and derivatives possess antimicrobial activity through different mechanisms: i) The CS positively charged groups interacts with the negatively charged groups found on the bacterial cell wall, inducing internal osmotic imbalances and hence inhibiting microorganisms' growth; ii) CS forms a polymeric layer around bacteria, impairing the cellular transport mechanisms and nutrients

absorption; and iii) CS chelate  $Ca^{2+}$  or  $Mg^{2+}$  found in the bacterial cell wall, inhibiting the bacterial growth (Simões et al. [2018\)](#page-592-0).

However, CS application as wound dressings presents some limitations like the low mechanical resistance and weak water solubility. In this way, the crosslinking agents have been employed to allow the formation of the linkages between polymeric chains, adjusting the elasticity and resistance of the CS-based materials (Song et al. [2018\)](#page-593-0). Furthermore, CS can also be chemically modified and combined with other polymers to overcome such handicaps (Ahsan et al. [2018](#page-584-0)). For example, methodologies like alkylation, acetylation and carboxymethylation can be adopted to tailor the CS solubility (Song et al. [2018](#page-593-0)).

CS has been widely investigated for wound healing applications owing its excellent intrinsic features. Indeed, there are already available many commercial CS-based wound dressings and works reporting its in vitro and in vivo performance (as presented in Table [6](#page-564-0)).

Intini et al. used CS as a raw material to produce skin 3D-printed scaffolds (Intini et al. [2018](#page-588-0)). The authors used an extrusion-based 3D printing technique to achieve a precise shape and spatial distribution of the 3D-CS structures. After that, co-cultures of fibroblasts and keratinocytes were seeded on 3D scaffolds, and the SEM images set the successful cellular colonization of the 3D structures. After 35 days, scaffolds were filled by cells, developing a skin-like layer composed of a co-culture of fibroblasts and keratinocytes. Moreover, in vivo assays on diabetic rat models evidenced that the CS scaffolds promoted the tissue regeneration with improved functionality compared to the wounds treated with a commercial product (wound dressing composed of a blend of carboxymethylcellulose and calcium alginate coated with a semi-permeable layer of PU).

On the other hand, Hou et al. developed cryogels with glycol chitosan (GC) and ε-polylysine (EPL) with outstanding antibacterial efficacy and haemostatic property (Hou et al. [2020\)](#page-588-0). To achieve that, GC-EPL cryogels were produced by copolymerization of GC methacrylate and EPL acrylamide at sub-zero temperature  $(-20 \degree C)$ . The cryogels presented a swelling ratio around 4000%, and an interconnected porous construct with pore size between 10 and 100μm. In turn, the cryogels showed higher clotting rate and lower blood clotting index in comparison to groups treated with Combat Gauze and gelatin sponge. On the other hand, in vivo haemostatic assay also demonstrated that the GC-EPL cryogels could decrease the blood loss (90%) compared to the untreated group. The improved haemostatic properties of GC-EPL cryogels is due to the potentiated effect of CS and EPL combination that allow increasing the positive charges. Further, the cryogels showed 77.84% bacterial killing efficiency front to Methicillin-resistant S. aureus (MRSA) and 68.59% to E. coli. However, the increment in EPL concentration to 0.5% w/v promoted an augment on the killing efficiency to 99.61% and 99.84%. Finally, the in vivo assays suggested that the cryogels facilitated the normal wound healing process in MRSA infected skin injuries.

Another work performed by Chalitangkoon and their collaborators, a watersoluble derivative of CS (hydroxyethylacryl chitosan (HC)) was blended with sodium alginate (SA) to obtain films (Chalitangkoon et al. [2020\)](#page-585-0). HC is a water-



<span id="page-564-0"></span>













soluble CS resulted from the modification of CS via Michael addition reaction with hydroxyethylacrylate. The authors also added Ag particles to HC/SA films through an in situ chemical reduction immersion method to augment the films antibacterial activity. As main results, the authors verified that Ag incorporation into HC/SA films improved the mechanical attributes and antibacterial activity, without compromising the biocompatibility.

Wang et al. produced a self-adapting hydrogel of CS and oxidized konjac glucomannan (OKGM) through the dynamic Schiff-base bond formation, allowing adaptation to irregular wounds under natural conditions (Wang et al. [2020b\)](#page-594-0). In this way, CS was selected as backbone and OKGM as crosslinker, to obtain the hydrogel network. Since CS presents a limited solubility in aqueous media, a protonated tranexamic acid aqueous solution was selected to dissolve CS. Further, the aldehyde groups of OKGM can perform the reduction of silver nitrate to elemental silver to stabilize the reduced Ag. The authors then evaluated the hydrogels' adhesion ability by a lap shear test, where the higher adhesion strengths of hydrogels could be attributed to the hydrogen-bonding linkages and chemical crosslinking due to the Schiff-base reaction between amine groups of tissue proteins and the aldehyde groups of the hydrogels. It is also possible to verify that the hydrogel possessed self-adaptability, making viable its application as an injectable hydrogel. The antibacterial assay demonstrated that the in situ synthesized AgNPs avoided the E. coli and S. aureus growth. In contrast, the hydrogels did not induce cytotoxicity when they were incubated with mouse fibroblast cells, and they showed higher therapeutic effect than commercial hydrogel (AquacelAg®) when applied on the full-thickness skin injury.

Shahzadi et al. prepared CS membranes by freeze gelation method incorporating a pro-angiogenic molecule (thyroxine) (Shahzadi et al. [2020\)](#page-592-0). After the CS hydrogel production, it was performed an immersion in thyroxine solution overnight to promote the total absorption of thyroxine into CS hydrogel. Afterwards, the authors characterized the hydrogels' swelling rate, and they verified that the CS-thyroxine hydrogel started swelling from 200% (after 15 min) and then increased and reached to 1300% after 8 days of incubation. Similarly, the hydrogels released 15–20% of drug within 15–20 min, and after 24 h, almost 70%. Also, the thyroxine incorporated into hydrogels was crucial to induce the blood vessels' formation and in combination with CS encouraged the healing process.

In turn, Wang et al. used a water-soluble CS derivative- CMCS and genipin (as crosslinker agent) to produce several wound dressings: hydrogel, membrane and sponge (Wang et al. [2020a\)](#page-594-0). Concerning the water absorption ability, the CMCS sponges presented a higher ability due to its porous structure. Further, the expression of α-SMA, MMP-1 and TGF-β1 were determined and the results demonstrated that: i) the maximum amount of  $\alpha$ -SMA protein was registered on CMCS sponges, ii) CMCS sponges also induced the secretion of TGF-β1 by fibroblasts and iii) CMCS sponges demonstrated the lowest expression of MMP-1 protein. From the in vivo assays, the wounds treated with CMCS sponges revealed faster-wound closure and complete re-epithelialization after 14 days.

## 2.2.3 Alginate

Alginate is an anionic polysaccharide obtained from the brown seaweed cell wall and excreted by some bacteria. It has low cost, excellent biodegradability, biocompatibility, gelling ability, and is ease to functionalize (Pawar and Edgar [2012\)](#page-591-0).

In wound dressing applications, alginate is widely used due to its biocompatibility, chemical and physical cross-linking abilities, mild and physical gelation process, non-thrombogenic nature, and the structural similarity to the structure of ECM. In general, alginate-based wound dressings are accurately accepted due to its favourable ability to maintain a physiological moist environment, minimize bacterial colonization and facilitate the healing process (Song et al. [2018;](#page-593-0) Chandika et al. [2015\)](#page-585-0).

However, alginate's main drawbacks are the weak mechanical features, low cell adhesion, and non-degradability in mammals, since they did not possess the enzyme (i.e., alginase) responsible for cleaving the polymer chains. Thus, scientists have been combined alginate with other polymers or molecules to boost their mechanical properties and degradability (Lee and Mooney [2012](#page-589-0)). The ionically cross-linked alginate gels can be disintegrated by releasing the divalent cations, due to exchange reactions with monovalent ions such as sodium ions.

Despite the existence of some alginate-based wound dressings offered by the market (e.g., Algisite Ag®, Sorbalgon Ag®, Gentell Calcium Alginate Ag®) (Sarheed et al. [2016\)](#page-591-0), the researchers have been dedicating to the design of more promising alginate-based wound dressings (as presented in Table [7\)](#page-573-0).

Summa et al. reported the composite polymeric material's characterization based on SA and povidone-iodine (PVPI) in animal model (Summa et al. [2018](#page-593-0)). Such combination enabled to combine the outstanding alginate' wound healing properties with the PVPI' antimicrobial (bactericidal and fungicidal) properties. So, the SA/PVPI films were prepared through the casting technique, and then its biocompatibility was demonstrated since the percentage of viable cells was 93.2%, after seeded for 24 h in contact with films. Further, the in vitro and in vivo antiinflammatory assays showed the inhibition of the production of pro-inflammatory cytokines (IL-1 $\beta$  and IL-6) by the films, which was essential to regulate the inflammation phase of the healing process. Similarly, the SA/PVPI films increased the hydroxyproline levels and reduced the re-epithelialization time.

Further, Ahmed et al. prepared calcium alginate (CA) wafer dressings incorporating ciprofloxacin (CIP) (Ahmed et al. [2018\)](#page-584-0). To accomplish such purpose, the CA-CIP mixtures were subjected to the lyophilization cycles to obtain wafers. The authors then determined the swelling, porosity, moisture content and water absorption of dressings, verifying that the CA-CIP wafer dressings can manage wound exudate, preventing the maceration of healthy skin cells. Further, the initial burst release of CIP (59.40  $\pm$  0.64, 74.39  $\pm$  3.59 and 91.43  $\pm$  1.21% of CIP was released after 6 h, from the wafers loaded with 0.005, 0.010 and 0.025% of CIP) lead to the rapid eradication of the E. coli, S. aureus and P. aeruginosa. More important, the

	<b>Incorporated</b>	Types of		
Polymeric	bioactive	wound		
combinations	compounds	dressing	<b>Main findings</b>	Refs.
Alginate	Papain	Membrane	- The papain was immobilized at the surface of alginate membranes to improve its wound healing properties - The enzyme remained active after immobilization, while the matrix protected the enzyme from deactivation $-$ About 64.1% of the enzyme was released from the membrane after 24 h of incubation	(Moreira Filho et al. 2020)
Sodium alginate	Satureja cuneifolia	3D-printed	– The 3D scaffolds	(Ilhan et al.
(SA)/PEG	plant extract (SC)	scaffolds	restrained E. coli and	2020)
			S. aureus growth - The fibroblasts remained viable on/within 3D alginate scaffolds	
Alginate	Ibuprofen	Hydrogel	- The loading of ibu- profen into the scaf- fold was improved by cause the high surface area of the alginate hydrogels - The ibuprofen was sustained release over $12 - 24 h$ - The hydrogel supported the in vivo wound healing process by suppressing the inflammation and maintaining the wound hydration	(Johnson et al. 2020)
SA/pNIPAM	Diclofenac sodium <b>Basic fibroblast</b> growth factor (bFGF)	Hydrogel	- The thermosensitive hydrogels were pro- duced by incorporat- ing nanogels of pNIPAM loaded with diclofenac sodium and basic fibroblast growth	(Lin et al. 2020)

<span id="page-573-0"></span>Table 7 Description of the most recent works reporting the production and characterization of alginate-based wound dressings

Polymeric combinations	<b>Incorporated</b> bioactive compounds	Types of wound dressing	<b>Main findings</b>	Refs.
			- The hydrogels presented a desirable storage modulus, high swelling ratio, and a suitable water vapour transmission rate - The controlled release of diclofenac $(92\%)$ and bFGF $(80\%)$ promoted a better healing effect with a wound contrac- tion of 96% after 14 days	
<b>SA</b>	Borosilicate bioglass (BBG)	Membrane	- The composite dressing possessed good water absorption performance - The dressings showed outstanding wound healing ability in full-thickness skin defects in rats	(Wu et al. 2020)
Alginate	Sulphanilamide	Hydrogel	- The alginate fibres crosslinked with glu- taraldehyde presented higher mechanical properties and low swelling degree - The hydrogel fibres exhibited bactericidal activity towards S. aureus and E. coli - The surface of hydrogel fibres stimu- lated the cells adhe- sion and proliferation	(Sun et al. 2020)
Alginate	Vicenin-2	Film	- The film dressings were smooth, translu- cent, and with good flexibility - The film was able to promote the release of Vicenin-2 to the wound area in a con- trolled manner	(Tan et al. 2020)

Table 7 (continued)

Polymeric	<b>Incorporated</b> bioactive	<b>Types of</b> wound		
combinations	compounds	dressing	<b>Main findings</b>	Refs.
SA/kappa- carrageenan	Silver nanoparticles	Bio- platform	– The polymeric bio-platforms exhibited exceptional antibacterial proper- ties towards S. aureus and $E.$ $\text{coli}$ - The dressings pro- moted the formation of fibrous tissues, hair follicles and wound area contraction on mice with a second- degree burn	(Zia et al. 2020)
SA/PLA/PVA	None	Electrospun membrane	- In vitro experiments showed that nanofibrous mem- branes provide ade- quate support for human and rat fibro- blasts growth - The electrospun membranes enhanced the wound healing in vivo - The SA/PLA/PVA dressing reduced the inflammatory response and promoted the pro- tein deposition	(Bi et al. 2020)
SA/poloxamer 407/ pluronic F-127/PVA	Amikacin	Hydrogel	- The hydrogel mem- brane had good mechanical properties, outstanding swelling properties and surface porosity - The amikacin- loaded hydrogels exhibited higher zone inhibition towards S. aureus and P. aeruginosa - The hydrogel mem- branes promoted the formation of granula- tion tissue, re-epithelization and faster wound closure	(Abbasi et al. 2020)

Table 7 (continued)
Polymeric combinations	<b>Incorporated</b> bioactive compounds	Types of wound dressing	<b>Main findings</b>	Refs.
Alginate	None	Membrane	- A clinical trial was done to compare the therapeutic properties of the alginate dress- ing and negative pres- sure wound therapy - The alginate demon- strated similar healing efficacy to that of negative pressure wound therapy	(Casanova et al. 2020)
Alginate	Vitamin D3	Hydrogel	- The hydrogels were biodegradable presenting a weight loss percentage of 89% after 14 days - The hydrogels were cytocompatible and haemocompatible - In vivo assays dem- onstrated that the hydrogels promoted the re-epithelialization and granular tissue formation	(Ehterami et al. 2020)
Alginate	Chlorhexidine hexametaphosphate (CHX-HMP)	Film	- The alginate film provided the release of CHX over 14 days - The dressings exerted an inhibitory effect against bacterial growth - After 7 days, the alginate film incorpo- rated with CHX presented an enhanced antibacterial effect in comparison to the sil- ver alginate	(Duckworth et al. 2020)
Alginate	Naringenin	Hydrogel	- The developed hydrogels presented a suitable porosity with interconnected pores and an appropriate biodegradation profile $(89\% \text{ after } 14 \text{ days})$	(Salehi et al. <b>2020</b> )

Table 7 (continued)

Polymeric	<b>Incorporated</b> bioactive	Types of wound		
combinations	compounds	dressing	<b>Main findings</b>	Refs.
			- The hydrogels were biocompatible and promoted a greater wound closure than the gauze-treated wound	
Alginate	Zinc oxide nanoparticles (ZnO)	Electrospun membrane	- The fibroblasts and keratinocytes prolifer- ated at the surface of alginate membranes, and ZnO provided strong antibacterial properties - The mats exhibited mechanical properties and water vapour per- meability values simi- lar to those found on human skin	(Dodero et al. 2019)
<b>Bacterial</b> cellulose	Alginate	Membrane	- The alginate was impregnated into bac- terial cellulose hydrogels in order to contribute to moist environment at the wound site – The composite dressings presented enhanced water- retention properties, antibacterial activity, and reduced adhesive- ness to the wound tissue	(Sulaeva et al. 2020)
Sodium algi- nate/alginate	None	Self-healing hydrogel	– The self-healing hydrogel was pro- duced by mixing adipic acid dihydrazide-modified gelatin with monoaldehyde- modified sodium algi- nate - The spraying of the two-precursor solution resulted in rapid filming after $2-21$ s	(Du et al. 2020)

Table 7 (continued)



### Table 7 (continued)

	Incorporated	<b>Types of</b>		
Polymeric combinations	bioactive compounds	wound dressing	<b>Main findings</b>	Refs.
			- The hydrogels improved the wound healing process in dia- betic mice	
Alginate/PVA	Honey	Electrospun membrane	- The increase in honey content improved the antioxi- dant activity of the nanofibrous mem- branes - The honey-loaded nanofibres also dem- onstrated antibacterial effects towards S. aureus and E. coli	(Tang et al. 2019)
Alginate	Ciprofloxacin	Wafers	- The alginate-based wafers possessed the ideal wound healing features (wettability and porosity) $-$ The ciprofloxacin release showed an ini- tial burst peak, which is crucial to inhibit the bacterial growth – The dressings showed biocompati- bility with human adult keratinocytes	(Ahmed et al. 2018)
Oxidized sodium alginate and polyacryl- amide (PAM)	Dopamine	Hydrogel	- The self-healing of the hydrogel was achieved by the hydrogen bonds and dynamic Schiff cross- linking - The hydrogel exhibited efficient self-healing ability, high tensile strength and ultrastretchability - The catechol groups of dopamine aug- mented the cell and tissue interaction with hydrogel	(Chen et al. 2018a)

Table 7 (continued)

Polymeric combinations	<b>Incorporated</b> bioactive compounds	<b>Types of</b> wound dressing	<b>Main findings</b>	Refs.
Sodium alginate	Povidone-jodine	Film	– The dressing blended the wound healing properties of alginates with the bac- tericidal and fungi- cidal of povidone- iodine – The films reduced the inflammatory response in in vivo and in vitro assays – The animals treated with films showed a higher wound closure when compared to untreated animals	(Summa et al.) 2018)

Table 7 (continued)

CIP-loaded wafers exhibited enhanced water absorption capacity, bacterial inhibition and biocompatibility than Algisite Ag® commercial dressing.

In another study, Tang et al. used SA combined with polyvinyl alcohol (PVA) to produce electrospun membranes loaded with honey, by using the electrospinning technique (Tang et al. [2019](#page-593-0)). After the preparation of PVA and SA aqueous solutions, the honey was added at different concentrations. The authors verified that the honey incorporation from 0% to 20% augmented the nanofibres' diameter from 379  $\pm$  65 nm to 528  $\pm$  160 nm. Furthermore, the nanofibrous membrane with 20% of honey presented the lowest fluid handling ability (12  $\pm$  8%) and the highest weight loss (84.82  $\pm$  0.42%) in PBS for 24 h. Also, honey promoted a high radical scavenging activity (66  $\pm$  7% after 9 h of interaction) and high bacterial inhibition for E. coli and S. aureus. However, the honey/SA/PVA nanofibrous membrane presented excellent biocompatibility, facilitating cell proliferation.

Du et al. prepared a self-healing hydrogel formed between adipic acid dihydrazide modified gelatin (Gel-ADH) and monoaldehyde-modified sodium alginate (SA-mCHO) (Du et al. [2020](#page-586-0)). Through these chemical modifications, the hydrogel is obtained by dynamic and Schiff base bonds between monoaldehydemodified SA and ADH-modified Gel, resulting in rapid gelation (2 -21 s). Besides, the rapid spray filming ability offers rapidity and flexibility for covering wounds with different shapes and sizes. The hydrogels showed superior cytocompatibility, and the bacterial barrier assays evidenced that the hydrogels provide significant barriers to S. aureus and Candida albicans for 12 h.

Recently, Dodero et al. produced alginate membranes incorporating zinc oxide (ZnO) nanoparticles through the electrospinning technique, which was then exposed to a washing cross-linking process to achieve greatly stable wound dressings

(Dodero et al. [2019](#page-585-0)). In this way, the mats' crosslinking process was done using different ionic crosslinking agents  $(Ca^{2+}, Sr^{2+}$  or  $Ba^{2+}$  ions) and its influence on biological properties was evaluated. The in vitro assays demonstrated that the strontium- and barium-cross-linked membranes promoted cell viability results similar to the commercial porcine collagen membrane (control group). However, the calcium-cross-linked mats displayed good stability over 10 days of incubation in physiological conditions. In turn, the antibacterial experiments confirmed that the ZnO nanoparticles confer antibacterial activity of mats against E. coli, maintaining the cells' viability. Overall, the results suggested that the alginate-based mats could be successfully used to prepare surgical patches and wound healing products.

In another work, Johnson et al. used pressurized gas expanded liquid technology (PGX) to produce alginate hydrogels with a very high surface area that facilitated the ibuprofen loading (Johnson et al. [2020\)](#page-588-0). As the main findings, the authors verified that the PGX processing generated highly interconnected networks of alginate with high internal surfaces areas ( $\approx$ 200 m<sup>2</sup>/g), which allowed high loadings of ibuprofen (> 8 wt%). Moreover, the drug-loaded alginate scaffolds were crosslinked with calcium ions resulting in durable, bulk and structured hydrogels. In vivo results suggested that the drug-loaded alginate hydrogels accelerated the wound healing and restoration of native skin structure within 21 days. The combination of the alginate (provides to the wound site a moist environment) with the delivery of ibuprofen (regulates the inflammatory response) presented promising properties to be employed in skin injuries treatment.

### 2.3 Synthetic Polymers

The natural polymers have revealed excellent biological performance on the wound healing process, however, the control of their mechanical features and degradation rates is a major limitation. Unlike, synthetic polymers with predictable, reproducible and improved mechanical and physical properties can be synthesized under standardized conditions. In this way, the degradable synthetic polymers are preferred to non-degradable polymers, since they can be replaced by new skin tissue.

Among different biodegradable polymers, Poly(lactide-co-glycolide) (PLGA), Polyethylene glycol (PEG), Polyurethane (PU) and Polycaprolactone (PCL) are the most reported in wound dressing applications.

PLGA can be synthesized varying lactide/glycolide ratios to tailor the degradation rates, hydrophobic/hydrophilic balance, mechanical properties and crystallinity. This biodegradable copolymer, approved by the FDA displays biocompatibility, mechanical performance and are easily manipulated to obtain selected shapes and sizes (Song et al. [2018;](#page-593-0) Lanao et al. [2013\)](#page-589-0). Zhao et al. prepared PLGA nanofibre constructs through the electrospinning technique (Zhao et al. [2019](#page-595-0)). To improve the electrospun scaffold's biological performance, the authors modified the nanofibres' surface with PDA and then loaded them with bFGF and ponericin G1 to improve tissue remodelling and antibacterial properties of the membrane. After that, the authors verified that the PLGA nanofibre scaffold functionalized with PDA presented morphological and surface character that can encounter skin regeneration requirements. Besides, the loading of bFGF and ponericin G1 on the nanofibrous constructs improved the adhesion and proliferation of cells, promoting the increasement of the expression of epidermal repair-related genes. Finally, the in vivo assays confirmed the PDA-PLGA/bFGF/ponericin G1 nanofibre scaffold's excellent potential to promote wound healing, collagen deposition and tissue vascularization.

PEG is a biocompatible, flexible, hydrophilic and non-immunogenic polymer, making it a promising material for the development of wound dressings (Song et al. [2018\)](#page-593-0). Further, its mechanical, thermal properties and crystallinity can be stabilized through blending the PEG with other polymers, whereas the biological features can be improved by the functionalization with bioactive molecules, like growth factors (Shahverdi et al. [2014](#page-592-0)). Chen et al. produced a PEG-based hydrogel to be used as wound sealants (Chen et al. [2018b\)](#page-585-0). According to the obtained data, the PEG-based hydrogels showed good biocompatibility and presented better results in wound closure and bleeding control compared to a commercial product (Coloskin). In general, the results demonstrated that the biodegradable adhesive PEG-based hydrogels present suitable handling procedures, worthy tissue adhesion, controlled degradability and elastomeric mechanical properties to be used as tissue adhesive sealant.

PU has been a favourable choice for medical devices, due to its toughness, durability, biocompatibility, biostability and biodegradability (Guelcher [2008](#page-587-0)). PU presents semi-permeability, protecting the wound from external environment and bacterial invasion. The PU membrane provides favourable moist environment for cell proliferation and hence healing process (Song et al. [2018\)](#page-593-0). The limited adherence of PU-based wound dressings can be surpassed through the covering by collagen or collagen-based peptides, promoting the cell adhesion (El-Sayed et al. [2011\)](#page-586-0). Namviriyachote et al. developed a PU foam dressing with the addition of natural polyols and incorporating silver and asiaticoside to endow antimicrobial properties (Namviriyachote et al. [2019\)](#page-590-0). The incorporation of alginate and hydroxypropyl methylcellulose in PU foams improved the water absorption ability and compressive strength. In turn, the foam dressings showed satisfactory release of silver and asiaticoside. When applied in a deep partial-thickness wounds porcine model, the groups treated with PU foams incorporating antimicrobial molecules presented an improved epithelial cells and fibroblasts proliferation and consequently tissue repair process.

Finally, PCL is a saturated aliphatic biodegradable polyester employed in different biomedical applications and has been approved by FDA. PCL can result from the degradation of linear aliphatic polyester and autocatalyzed bulk hydrolysis (Labet and Thielemans [2009\)](#page-589-0). It has distinctive mechanical properties, and is highly valued as non-toxic, biodegradable and bioresorbable polymer, be easily sorted out into different forms and shapes (Mir et al. [2018\)](#page-590-0).

PCL is degraded by microorganisms, hydrolytic and enzymatic (under physiological conditions), or intracellular mechanisms. However, the PCL degradation rate is slow (2–4 years) in comparison to the PLGA. Due to this reason, PCL is more attractive and preferably employed for applications on long-term implants and drug delivery systems (Song et al. [2018\)](#page-593-0).

In skin regeneration purposes, PCL is mainly used to produce electrospun membranes, since it is easily electrospunable and provides the structural integrity to the electrospun nanofibres. Gámez-Herrera et al. decorated the PCL-based nanofibres with electrosprayed PLGA microparticles containing the natural antibacterial compound—thymol (Gámez-Herrera et al. [2020\)](#page-587-0). Through this strategy, the authors obtained dressings that inhibit bacterial growth, without compromising the human dermal fibroblasts and keratinocytes cells. Furthermore, the in vivo assays indicated that the synthesized dressings reduced the bacterial load after 7 days in infected murine excisional wounds.

#### 3 Conclusions and Future Perspectives

The wounds treatment (acute and chronic) constitutes a significant clinical challenge worldwide. Nowadays, the clinicians protect the wounds by using dressing materials capable of assuring a moist environment and offering alleviation of pain symptoms. However, the most used wound dressings are non-degradable, impairing the healing process during its removal/replacement. In this way, the biodegradable polymers have arisen as an excellent strategy to produce biodegradable wound dressings able to act as a transitory supportive matrix as well as providing cues and signals to stimulate the functional tissue connections during tissue regeneration.

In general, natural biodegradable polymers are preferable to synthetic polymers because of their excellent intrinsic biocompatibility. Despite this, natural polymers are mechanically inferior, and the modulation of their chemical properties is not easy as for synthetic polymers.

Thus, it seems that the future for biodegradable polymers is the development of hybrid polymers, mixing different polymers, via various procedures like blending, chemical and grafting reactions. Indeed, numerous recent studies have been demonstrated the excellent effects of these polymeric combinations in wound healing applications.

Nevertheless, an emerging interest arise in the development of 3D matrices that act simultaneously as a matrix for cell proliferation and drug delivery system, incorporating and delivering bioactive agents that propel the healing process. In this way, the future passes by using more precise and advanced technologies (e.g., 3D bioprinting techniques and electrospinning) and nanotechnology to produce the nanosized particles for drug delivery that will boost the wound healing process. Such combination of advanced manufacturing techniques and nanotechnology will constitute an excellent strategy to produce more efficient biodegradable wound dressings to treat severe and devastating skin injuries that affect millions of people worldwide.

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# Role of Biodegradable Polymer-Based Biomaterials in Advanced Wound Care



Haren Gosai, Payal Patel, Hiral Trivedi, and Usha Joshi

### 1 Introduction

Skin is considered as the largest organ in the body and any breakage, tearing, or defects in the skin are termed as wounds. Wounds generally develop as a result of physical/thermal damage or due to presence of any underlying pathological conditions (Percival [2002](#page-615-0)). Wounds are classified on the basis of skin damage, nature of wound repair process, number of skin layers damaged, and area of the skin disrupted (Xiao Liu and Jia [2018\)](#page-617-0). They can be further categorized into either acute or chronic, based on the mechanism of the wound healing. Acute wound most commonly includes tissue injuries and healed within 8–12 weeks. However, chronic wounds have tendency to last longer and the recovery process can take up to 12 weeks or more (Bryant and Nix [2015](#page-613-0)). The presence of co-morbidities like diabetes, osteoarthritis and infection makes treatment of wounds even more precarious. In people with such co-morbidities, chronic wounds are often observed and if they left untreated it may lead to hospitalization and in some extreme cases lead to amputations (Frykberg and Banks [2015\)](#page-614-0).

Wound healing is a complex, dynamic, and multi-phase physiological process which involves cellular, biochemical, and enzymatic components (Sezer and Cevher [2011\)](#page-616-0). Even though, wounds have capability to heal by themselves, appropriate wound-dressing is necessary as it prevents infection and accelerate the rate of wound repair (Mir et al. [2018](#page-615-0)). Since, ancient time humans have used various natural materials such as honey, animal fats, and plant fibers for wound-dressing, as found

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Dressing	Advantages	Limitations	Trade name
Gauze	Cost effective, readily avail- able at clinics and pharmacy, easy to use	Dry dressing, poor barrier protection, tissue damage on replacement/removal, once saturated with exudate becomes ineffective	PETRONET®, Medifin <sup>™</sup> , Bactisafe™, CutiCell <sup>TM</sup>
Foam	Available in different shapes and sizes, comfortable, easy to apply, easy to remove	Additional tape/adhesive needed if non-adherent, not useful for dry/non-draining wounds, need frequent changing to prevent excess moisture accumulation	Aquacel™, Biatain <sup>®</sup> . PolyMem®, Tegaderm™
Film	Transparent, examination without removal is possible, impermeable, reduces friction	Does not absorb moisture, sticks to the wounds, not for draining wounds	Bioclusive®, Cardinal Health, DermaView™
Alginate	Highly absorptive, non-occlusive, hemostatic properties for bleeding wounds, easily removable, can be used on infected wounds	Secondary dressing required for adherence, produces odor, not suitable for dry wounds, burns	Maxorb <sup>®</sup> . Sorbalgon <sup>®</sup> , Algicell <sup>®</sup>
Hydrofiber	Highly absorptive, no need for frequent changing, easy to remove	Non-adherent, requires sec- ondary dressing, not suitable for dry wounds	DuoDERM <sup>TM</sup> , Versiva®
Hydrocolloid	Self-adherent, comfortable, available indifferent shapes/ sizes/thickness, reduces pain, moderately absorptive, ther- mal insulation	Sometimes difficult to remove, produces odor, may leave residue in wound bed, not suitable for heavy draining wounds	Amerex®, Comfeel®, CovaWound™
Hydrogel	Rehydrate wound, reduces pain, promotes autolytic debridement, easily remov- able, can be used for infection with topical medications	Non-adherent, require sec- ondary dressing, not suitable for heavy draining wounds, may macerate skin sometimes	Biolex <sup>™</sup> , DermaGel™, Carrasyn®

Table 1 Types of wound dressings

in written medical records in Egypt (Mir et al. [2018;](#page-615-0) Sezer and Cevher [2011\)](#page-616-0). Traditionally, dry dressings—cotton, lint, wool bandages, and gauzes are used for treatment of small wounds and cuts. These materials keep the wounded area dry and allow the blood, exudates, and tissues to scab over. However, they provide poor barrier against germ invasion, need adhesives, and can damage new tissues during replacement or removal (Lei et al. [2019](#page-614-0)). Hence, studies conducted have put forward the hypothesis that modern moist-dressings are comparatively better than dry-dressings and promote higher rate of healing. One such study conducted by Shi et al. [\(2020](#page-616-0)) has reported that modern moist-dressings have better biocompatibility, degradability, improve the microenvironment and relieve pain.

In the last few years, a wide range of wound-dressings have been introduced with properties suitable for treatment of different kinds of wounds (Table 1), with the

choice of dressing dependent on the location, surface area/depth, type of tissue damaged, exudate, and condition of the wounded skin (Weir [2020\)](#page-617-0). A perfect wound-dressing absorbs wound exudates, provides efficient barrier, maintain a moist microenvironment, thermal stability, and prevents further tissue damage (Das and Baker [2016\)](#page-613-0). Though, it must be acknowledged that there is no one specific dressing that can be used to manage a variety of wounds let alone at different stages of healing process (Psimadas et al. [2012\)](#page-615-0).

Biodegradable polymer-based wound-dressings have emerged as the new improved, efficient, and eco-friendly option for the management of the wounds. It has been estimated that the global market for biopolymer based wound-care is expanding and will continue to do so in the following years (Song et al. [2018\)](#page-616-0). Biodegradable polymers or biopolymers as the name indicates are polymers of natural or synthetic derivation that can be broken down into simpler components. They are diverse in compositions and have tunable physical behavior. Moreover, they are renewable, cost effective, and found in wide range of variety (Smith et al. [2016\)](#page-616-0). Furthermore, biopolymers are regarded as excellent source material for wound-care because of their bioactive properties that facilitates cell growth, has potential for regeneration, provides antimicrobial environment and immunomodulation (Sahana and Rekha [2018\)](#page-616-0). Another property that makes biopolymers a potential candidate for wound-care is their capacity to absorb a huge quantity of water. Use of biopolymers with drugs that are directly released into wounds have also become popular in last few years (Smith et al. [2016](#page-616-0)). Both natural and synthetic types of biopolymers are being used in wound-care but natural biopolymers are preferred for to their biodegradability, lower antigenicity and renewability (Sezer and Cevher [2011](#page-616-0)).

Natural biopolymers include proteins, polysaccharides, polyesters, and proteoglycans with alginate, cellulose, carrageen chitosan, collagen, etc. being the most extensively used biopolymers (Moohan et al. [2020](#page-615-0)). Despite having certain advantages, the mechanical properties and rate of degradation are not easily manipulated in natural biopolymers. In contrast, synthetic biopolymers have demonstrated to be more easily modified as they are produced under controlled conditions. Some of the synthetic biopolymers used such as saturated aliphatic polyesters (polyglycolic acid, PLA), polyanhydrides, polyurethane, and polyphosphazenes in wound management (Song et al. [2018\)](#page-616-0).

Engineering of biopolymer to construct suitable biomaterial for wound management also plays a major role (Piraino and Selimović [2015](#page-615-0)) in the development process. The selection of the polymer used for developing biomaterial is a crucial factor in defining the properties of the given biomaterial. When designing biomaterial, the most essential requirement is biocompatibility—property that ensures that a particular material can perform with a suitable host response (Piskin [1995](#page-615-0)). This biocompatibility is dependent on several biological and physicochemical properties of the biopolymer including shape-structure, biodegradability, hydrophobicity/ hydrophilicity, molecular weight, solubility, and material chemistry (Kohane and Langer [2008\)](#page-614-0). Some other properties of the biopolymers aside from biocompatibility that needs to be considered are a) should not generate a continued inflammatory

response, (b) should have degradation rate that coincides with their function, (c) should have mechanical properties that are suitable for their appropriate use, (d) should not produce toxic degradation products that cannot be easily resorbed or excreted, and (e) should have necessary permeability and processability for intended use (Ulery et al. [2011](#page-616-0)). Advancements in technology have allowed the development of several biomaterials for wound care and management. Wound dressings composed of foam, hydrogels, hydrocolloidal, or those with incorporated biologicals with specific properties have become more readily available. Other advanced treatments like artificial skin substitutes are also being studied along with many other techniques that will find their application in the future (Dai et al. [2020](#page-613-0)).

In this book chapter, we have taken a measured look on the specific properties of several biopolymers which could be helpful to select appropriate biopolymers for wound care management. The novel biomaterials, their designing and applications have been also discussed. The chapter also focused on the recent development in the field of wound care management and the most probable direction it could gain in coming years. This chapter could be useful to researchers, students, and stakeholders for generating effective wound care management.

#### 2 Wound Healing Process

Wound healing process developed as an evolutionary advantage and necessary factor for survival after injury (Sorg et al. [2017](#page-616-0)). The process of wound healing is complicated and involves extensive mediation between the different cellular constituents of the skin cells and the surrounding extracellular matrix (ECM), in a highly sophisticated manner (Eming et al. [2016\)](#page-614-0). Since, wound healing is a frequent cause of mortality and morbidity, it poses an immense challenge to the field of clinical care. To promote healing of the injuries, to limit the scarring on patients, and restoration of tissue functions are the major goals of the wound repair system. To accomplish these objectives, there have been several advancements to develop better dressings, therapies, and techniques for wound management (Velnar et al. [2009\)](#page-616-0). Thus, fully understanding the mechanism of wound healing at a cellular and biochemical level is crucial for designing better therapeutic approaches. The events taking place during the wound healing process can be arranged into three overlapping and sequential phases: the inflammatory phase, the proliferation phase, and the remodelling phase (Cañedo-Dorantes and Cañedo-Ayala [2019](#page-613-0)).

### 2.1 The Inflammatory Phase

The early vascular inflammatory phase begins immediately within few seconds of the injury and involves coagulation and hemostasis. The major target of this phase is to sound alarm to the body and prevent further damage. The activation of coagulation cascade is initiated upon breaking of the skin, which leads to platelet coagulation and formation of a "clot plug" to stop exsanguination and infection (Robson et al. [2001](#page-615-0)). The next secondary inflammatory phase is responsible for elimination of the pathogen and cleaning the wound. Vasodilation takes place to facilitate the migration of leukocytes to the wound area, this is followed by characteristic inflammation features—edema, dolour, and erythema (swelling, pain, and redness). The cell response starts within 24 hours and can last up to 2–4 days (Gonzalez et al. [2016](#page-614-0)). The predominant phagocytic cells (macrophage and neutrophils) along with the activated immune cells mount a host response against the invading pathogens and helps in cleaning the wound by or autolyzing the necrotic tissues and debris (Shankar et al. [2017\)](#page-616-0).

### 2.2 The Proliferation Phase

The proliferation phase begins approximately around 48 hours after the injury and continues for around 2 weeks, thereafter. The phase occurs when homeostasis and an immune response have been achieved and is characterized by tissue damage repair (Sorg et al. [2017](#page-616-0)). The proliferative phase focuses on: (i) the proliferation of fibroblasts and their differentiation, (ii) production of collagen III and its interaction with skin cells, (iii) formation of new tissues by reepithelization, (iv) angiogenesis formation of new vessels by endothelial proliferation, and (v) repairing of the damaged nerves and regeneration. Most of the functions involved in the proliferative phase are carried out by the predominant macrophage cells (Cañedo-Dorantes and Cañedo-Ayala [2019\)](#page-613-0). The final step in the proliferative phase is the formation of granulation tissues comprising of a highly dense mixture of fibroblasts, granulocytes, macrophages, capillaries, and loose collagen bundles (Reinke and Sorg [2012](#page-615-0)).

### 2.3 The Remodelling Phase

The concluding phase of the wound repair process is termed as the remodelling phase and takes place from 21st day of the injury and continues for around 1 year or more. The phase is characterized by wound maturation and regaining of skin integrity. This is achieved by the turnover of collagen III into collagen I along with the decrease in the cellularity due to apoptosis (Thiruvoth et al. [2015\)](#page-616-0). During this phase, the development of new epithelium and formation of scar tissues is observed. Scar demarcation can be identified as the end of the remodelling phase. However, the tissues in the wound area gain only 80% of their previously demonstrated tensile strength (Gurtner and Wong [2013\)](#page-614-0). The damage sustained by the hair follicles and the sweat glands present at the wound area cannot be repaired and they are permanently lost after the wound repair (Robson et al. [2001\)](#page-615-0).

### 3 Factors Affecting Wound Healing Process

Several factors play a significant role in impeding the healing process of the wounds. These factors may either be local—those impacting wounds only or systemic—any underlying morbidity that could interfere with the repairing mechanism (Beyene et al. [2020](#page-613-0)). Some of these factors have been reported to be interlinked and work concurrently to impair the healing process.

Hypoxia: Though most of the wounds are hypoxic due to sustained tissue damage, a certain amount of oxygen is necessary for wounds to get healed. Oxygen is required by the neutrophils and macrophages to conduct phagocytosis. It has also been found to be essential in collagen deposition for tissue repair (Harper et al. [2014\)](#page-614-0).

Infection: Infection indicates the presence of pathogens in the wound area. Microorganisms that are present in environment or skin surface can enter the body through wounds and colonize or multiply there. This leads to prolongation of the inflammatory phase ultimately causing delay in wound repair (Guo and DiPietro [2010\)](#page-614-0).

Necrosis: Presence of dead or necrotic tissues can delay healing. Both slough (wet tissue) and dry eschar must first be removed by either wound cleansing or phagocytic cells to continue with the healing process (Thomas Hess [2011\)](#page-616-0).

Immunosuppression: People suffering from cancer, HIV, or malnutrition have been reported to have immunosuppression, which is responsible for interfering with the regular wound repair process. Additionally, drugs or therapies (radiation) taken for the treatment of the disease may aggravate inflammation and ultimately hamper the healing cascade (Harper et al. [2014\)](#page-614-0).

Chronic Diseases: Diabetes mellitus, coronary artery disease, and vascular artery disease are some of the chronic diseases that have been reported to impede wound healing. Cardiorespiratory diseases alter the available oxygen supply, creating a hypoxic environment unsuitable for wound repairment. In case of diabetes, the high blood sugar level influences the working of leukocyte preventing them from carrying out their part in the healing process (Tsioufis et al. [2012\)](#page-616-0).

Since numerous factors influence wound healing process, maintenance of a healthy, sterile, and stable microenvironment is a prerequisite for efficient wound repairment. An acute wound may turn into a chronic wound if required steps are ignored. To prevent this, it is critical to select the appropriate wound dressing, ensure their proper application, to carry out effective wound cleansing, and perform constant monitoring of the wound. The dressings must be changed regularly to make sure there is no infection, exudate leakage, or presence of odor. If some chronic illness is present, specific precautions pertaining to that disease must be followed to avoid any complications in wound healing (Armstrong et al. [2018](#page-613-0)).

### 4 Biodegradable Polymers and their Properties

As discussed earlier, complex process like wound healing requires a material that is biocompatible with host tissue. Biocompatibility can be defined as the ability of any biomaterial to function with a suitable host response in a particular wound healing process (Piskin [1995](#page-615-0)). Host tissue response to the material is controlled by many biological and physiochemical factors of biodegradable polymers. Some of the factors that can be mentioned are surface energy, material chemistry, molecular weight, solubility, mechanism of degradation and/or erosion, lubricity, hydrophilicity or hydrophobicity, and shape and structure of the biodegradable polymer can influence the material's biocompatibility (Kohane and Langer [2008](#page-614-0)). Biocompatibility of a polymer with host tissue should be constant phenomenon as the physicochemical, mechanical, and biological properties of a biodegradable polymer can differ with time. Essentially, biodegradation of polymers changes their properties like tensile strength, color, and shape and therefore, the polymer under consideration should exhibit compatibility to the host tissue during the whole healing process. Moreover, the degradability not only depends on the environmental factors like heat, light of chemicals, but also on the chemical structure of polymer and environmental condition (Bismarck et al. [2002\)](#page-613-0). The products generated in the degradation process of a polymer will differ in compatibility to the host tissue when compared to the parent material. A biopolymer can be considered ideal for healing process if its degradation products are not harmful to the body.

Several other important properties must be considered while selecting the biodegradable polymers. These are as follows:

- Time taken by biomaterial for degradation should be equal to the regeneration or healing process to ensure proper remodelling of the tissue.
- Biopolymer should constantly exhibit appropriate permeability and processability for its specific wound healing application.
- The mechanical properties and compatibility of biopolymer should remain unchanged during the entire degradation process. In addition to this, the properties should remain unaffected during the patient's day-to-day activities (Song et al. [2018\)](#page-616-0).
- When the biopolymer under consideration implanted in vivo, it should not stimulate a toxic response or inflammation to the host tissue (Williams [2009](#page-617-0)).
- The material should have an acceptable shelf life.
- Degradation products should not be harmful, and easily digested and removed from the host body (Schmitt and Polistina [1963\)](#page-616-0).
- In the case of scaffold-guided tissue engineering, the biodegradable polymer should be processable into a proper shape fitting the defect's site, with a proper micro-nanostructure (Puppi et al. [2010\)](#page-615-0).
- The polymeric materials should be designed into a scaffold structure so as to carry mechanical properties and degradation rate which is suitable for maintaining the spaces required for cell ingrowth and matrix creation. Furthermore, it should be able to bear stresses and loading (Nair and Laurencin [2007](#page-615-0)).

Other essential qualities of polymers, like particle size, bulk density, surface area, and morphology should be considered when the polymers are used as controlled drug delivery systems because these may affect drug release from the system.

Both naturally derived and synthetic polymers find their applications in biomedical field (Table [2\)](#page-604-0). Plant-based natural polymers include polysaccharides like cellulose, alginate, and dextran while animal-based polymers are collagen, silk, chitosan, etc. These biomaterials must be selected carefully because they have characteristic bioactivity and therefore, show high variation in compatibility to the host tissue. If they are not compatible, these biomaterials can provoke immunogenic response when used in the healing process. In contrast to this, synthetic polymers exhibit more predictable physical properties and are generated by chemically engineered degradation profiles, which render them biologically inert. Synthetic polymers like polyglycolide (PGL), polylactide (PLA), and polycaprolactone (PCL) are exploited highly as they are linked by ester bond which enables their hydrolytic degradation. Other hydrolytically degradable molecules include anhydride, carbonate, urea, amide, thioester, urethane, imine, and imide bonds, which have the sites for cleavage under biological conditions. Enzymatic cleavage between carbon-carbon bonds leading to the susceptibility to hydrolysis using acid and base in many molecules such as sulfonamides, phosphonates, and ethers. However, electronic biodegradable polymers utilize the above-mentioned hydrolyzable linkages to degrade in physiological, aqueous conditions (Feig et al. [2018](#page-614-0)).

#### 5 Novel Biomaterials (Natural and Synthetic)

Human body has a complex structure and it is difficult to find the desired characteristics from the single polymeric biomaterials for the wound healing process. Recently researchers have shown the advancement in synthesis and design of biodegradable polymer and polymers are being designed for specific medical applications (Bianchera et al. [2020](#page-613-0)). This has led to integration of versatile and combinational approaches to design the biomaterial which has paved path for innovation in discovering novel biodegradable biomaterials. This area has witnessed more advances and researchers now have developed medical devices like delivery vehicles for pharmacological applications, three-dimensional (3D) porous scaffolds for tissue engineering, and temporary prostheses (Li et al. [2020](#page-614-0)). Efforts are being made to use biomaterials as bio-ink for 3D bioprinting because biodegradable materials owing biological and physicochemical properties, can replicate the properties of different tissues (Zidarič et al. [2020\)](#page-617-0).

One more area that has shown progress is the generation of tissue repairing hydrogels. These hydrogels have the property of dual adhesiveness, which adheres to both tissue and implant biomaterial. Moreover, these gels show bioactivity which helps in the regeneration of tissues. For instance, Gao et al. [\(2019](#page-614-0)) have developed a unique bioglass (BG) (oxidized sodium alginate (OSA)) composite hydrogel with dual adhesive and bioactive properties. This has opened a vast area for potential

Biodegradable				
polymer	Source	Property	Medical use	References
Collagen	Fibroblasts	High biocompati- bility and biodegradability	Nerve regeneration, drug delivery Vitreous replace- ment, skin replace- ment, skin patches, bond-filling and repair, enhanced epithelialization rate	Powell et al. (2008)
Gelatin	Skin, bones, and connective tissues of animals such as domesticated cattle, chicken, pigs, and fish	Biocompatible, biodegradable, and nonimmunogenic	Treatment of severe burn wounds	Jaipan et al. (2017)
Silk fibroin	Nephila clavipes and Araneus diadematus spiders, Bombyx mori domestic silk- worms, and Antheraea pernyi and Samia cynthiaricini wild silkworms	Robust mechanical strength with high tensile strength, modulus, stiffness, and extensibility, enzymatic biodeg- radation with con- trollable rate, payloads stabiliza- tion capability due to hydrophobic interactions with $\beta$ -sheet crystallite domains	<b>Biological</b> drug delivery, gene ther- apy, wound healing, bone regeneration	Shavandi et al. (2017)
Keratins (Ker)	Wool, hair, nails, feathers, and horns	Biodegradability, biocompatibility, and mechanical durability	Drug delivery, wound healing, tis- sue engineering, and cosmetic applications	Arslan et al. (2017)
Chitosan (CS)	Exoskeletons and shells of crustaceans	Biocompatibility analgesic effect, hemostatic effect, antitumor activity, and muco-adhesive properties	Antitumor drug delivery, gene delivery, protein and peptide drug delivery, antibiotic delivery, polyphe- nol delivery	Zhao et al. (2015)
Hyaluronic acid (HA)	Connective tissue of mammals	Non-immunogenic polysaccharide, hygroscopic nature	Promotion a scar- free wound regen- eration Drug delivery agent for different routes such as nasal, oral, pulmonary,	Mele (2016)

<span id="page-604-0"></span>Table 2 Properties of biodegradable polymers and medical use

Biodegradable				
polymer	Source	Property	Medical use	References
			ophthalmic, topical, and parenteral, as an aid in eye surgery	
Alginate (Alg)	Brown algae and also produced by some bacteria	Biocompatibility and gelation can be done easily	Stimulate repara- tive wound processes	Patel et al. (2007)
<b>BSA</b> fibers	Purified from blood	Biocompatible and biodegradable	Wound applications	Dror et al. (2008)
Polyurethanes and their derivatives	Synthetic	Biocompatibility, strength, and flexibility	Wound dressing, to make surgical drapes, tubing, hos- pital bedding, and injection equipment implants	Davis and Mitchell (2008)
Silicone	Synthetic	Nontoxic, nonallergenic, and highly biocompatible	Skin treatment in severe burns and wounds	Momeni et al. (2009)

Table 2 (continued)

clinical applications. Kaygusuz et al. ([2017\)](#page-614-0) have invented the alginate-based wound dressings by combining it with antimicrobial properties of cerium ions and chitosan. To generate these dressings, alginate films were crosslinked with chitosan mixed cerium (III) solution. These dressings were flexible, ultraviolet protecting, and antibacterial.

In addition to this, there is a growing interest in the development of tunable and versatile electrospun biocomposite fibers as wound dressings. The main advantage of using these fibers in wound healing process is their resemblance to natural extracellular matrix which fastens the healing process. Their high surface area-tovolume ratio, tunable porosity, sufficient gas exchange, and possibility to include different active substances and living cells into the fibers further enhances the process. Both solution (blend, coaxial, and emulsion) electrospinning and green solvent-free electrospinning approaches can be utilized to produce ultrafine biocompatible fibers from different materials which can be utilized for wound (Palo et al. [2019\)](#page-615-0).

### 6 Wound Healing Mechanism of Natural Polymers

Wound healing is a complex process expecting the use of an ideal dressing material for facilitation of the process. According to current trends, biodegradable materials are frequently exploited for the wound healing processes. There are various diverse



Fig. 1 Role of biopolymers in wound healing process

mechanisms developed for these materials that how they act on wound and participate in different stages of wound healing process. Each biomaterial has its own mechanism and role in wound healing process.

As discussed earlier, wound healing process consists of precisely regulated and well-balanced events like coagulation and inflammatory phase, hemostatic phase, remodeling phase, and proliferation phase. Biopolymers used for wound care or wound healing process must exhibit their impact on any wound healing process to stimulate rapid healing in less time. Biopolymers were found to exhibit a positive impact on every stage in this process as indicated in Fig. 1. Frequently used biopolymers for wound healing process are chitosan, alginate, collagen, fucoidan, etc. (Sahana and Rekha [2018\)](#page-616-0).

### 6.1 Chitosan

As described before in this chapter, healing of wound composed of four diverse stages. Chitosan alone and chitosan-based polymer has known to have positive impact on each and every step after application as wound healing material.

Coagulation and hemostasis: Surface-induced thrombosis accompanied with blood coagulation and fast coagulation in vivo by affecting platelet activation can be stimulated by Chitosan. It also helps to block endings with pain reduction and blood clotting by acting as hemostat.

The inflammatory phase: Chitosan-based hydrogels forms a suitable microenvironment to conduct healing process by regulating associated cells and releasing factors. This hydrogel-based dressing material can restrict secretions of inflammatory mediators such as interleukin 1β, interleukin 8, prostaglandin E, and others with increasing different tissue repairing processes (Jayakumar et al. [2011\)](#page-614-0). Previous work also indicated that action of leukocytes, neutrophils, and macrophages, tissue granulation stimulation for suitable inflammatory reaction are also enhanced by Chitosan-based hydrogels (Takei et al. [2012](#page-616-0)).

**Proliferation:** Platelets are responsible for releasing growth factors, Transforming Growth Factor (TGF)-β1 and platelet derived growth factor (PDGF)-AB, which can be stimulated by chitin and Chitosan, especially when applied in high concentrations (Okamoto et al. [2003](#page-615-0)). It also activates tumoricidal activity of macrophages for 3D growth by supplying non-protein matrix. Hydrogels based on chitosan could promote regular collagen deposition, angiogenesis, fibroblast proliferation, and amplify level of natural hyaluronic acid (HA) production at the wound site by depolymerizing itself for the liberation of N-acetyl-β-D-glucosamine (Jayakumar et al. [2011\)](#page-614-0).

**Remodeling:** Dermal tissue component, the N-acetyl glucosamine (NAG) plays a key role in repair of scar tissues, present in chitin and chitosan (Archana et al. [2013\)](#page-613-0). It had been already proved that chitosan films of low deacetylation degree were found significant in dressing superficial wounds (Borderud et al. [2015](#page-613-0)).

Chitosan alone, able to heal the acute wounds but chronic wounds have different patterned mechanism could be utilized (Ito et al. [2013](#page-614-0)). Chitosan-based hydrogels are appropriate to deliver growth factors, stem cells antimicrobial agents, and peptides to balance the biochemical events of inflammation in the chronic wound and thus enhance healing.

### 6.2 Collagen

Collagen is the main component of ECM and predominant inside connective tissues. The key points to maintaining balance between synthesis and breakdown of collagen which decides future of wound healing (Schwartz et al. [2002](#page-616-0)). It has an important role in an inflammatory phase as numerous proteolytic enzymes are secreted and small fragments of collagen [Arg-Gly-Asp] acting as mitogenic for fibroblast and chemotactic for macrophages thus stimulating proliferation and formation of granulation tissue (Bellis [2011\)](#page-613-0). It also promotes migration as gelatin interacts with keratinocytes and epithelial–mesenchymal transmission necessary for tissue repair (Pastar et al. [2014](#page-615-0)). There are various processes utilized such as reduction in size to nanoscale, surface modification, and tagging with anti-inflammatory. Depolymerization and antimicrobial substances for the enhancement of physiological action of collagen. There are various collagen-based healing materials used such as membrane, films, powder, sponges, and hydrogel. Most frequently used are Derma Col, Fibracol, Biopad, citrix, Cellerate, Cutimed, Stimulen, Helix bioactive collagen, and Biostep (Sahana and Rekha [2018\)](#page-616-0).

### 6.3 Alginate

At the wound bed, alginate presents a wet environment and takes in exudates. Alginates found to decrease infection, pain, and odor. It also plays role in hemostasis phase of healing process. Ion exchange occurs when dressing having alginate material comes in contact with exudates of wound. Alginate dressing materials have calcium ions and exudates or blood has sodium ions, so replacement takes place and as a result of which alginate fibers forms a gel (Jones et al. [2006\)](#page-614-0). Alginates are also able to promote monocytes and thus directly affect the healing process. Alginates have low adhesive properties and so mixed with chitosan to increase adhesion, proliferation, and cell interaction (Yao et al. [2012](#page-617-0)). Several commercially available wound care products have alginate as their main ingredient such as Nu-dermetc, Kaltostat, Algicell, Calcicare, 3 M Tegaderm, Algisite, Cutimed alginate, and Dermalginate (Sahana and Rekha [2018\)](#page-616-0).

#### 6.4 Hyaluronic Acid

D-glucuronic acid and N-acetyl-d-glucosamine are repeating units joined by  $\beta$ -1,4 and  $β-1,3$  glycosidic linkages alternatively to form hyaluronic acid. High molecular weight hyaluronan possesses anti-inflammatory properties while in case of low molecular weight, it has pro-inflammatory activities. The pro-angiogenic effect is carried out by the degradation product of HA. It is found to alter healing process with reduction of scar and decreased collagen synthesis when post-injury supplementation of exogenous is carried out. Several commercial wound care products having HA are Dermaplex, Regenecare, Hyalomatrix, Hyalofill, etc. It is also part of skin care protective products with cosmetics outcomes (Litwiniuk et al. [2016;](#page-614-0) Sahana and Rekha [2018\)](#page-616-0).

### 6.5 Cellulose

Cellulose is preferred in wound care as it has properties to retain moisture and wet wounds are found to heal quicker because of sufficient delivery of growth factors and other molecules to the healing tissue (Sulaeva et al. [2015](#page-616-0)). Cellulose has a porous structure that mimics ECM of skin and helps in tissue regeneration. It can absorb exudates and result in intake of cell debris (Kucińska-Lipka et al. [2015\)](#page-614-0). Cellulose-based commercially available dressings are Suprasorb, Dermafill, and Curity Exu-Dry Cellulose (Sahana and Rekha [2018](#page-616-0)).

Each biopolymer has its own mechanism to cure wounds as indicated in Table [3](#page-609-0). It suggests the ability of biopolymers to connect themselves with the ongoing events of wound healing and increase the healing rate by stimulating various processes.

Biopolymer	Biological mechanism	
Collagen	Chemotactic for macrophages, stimulates proliferation of fibroblast, induces release of ECM components by fibroblast	Bellis $(2011)$ ; Pastar et al. $(2014)$
Cellulose	Maintenance of moisture, exudates absorption	Kim et al. $(2018)$
Alginic acid	Promoted monocytes, stimulate fibroblast proliferation and migration	Jones et al. $(2006)$
Hyaluronic acid	Proliferation and migration, promote fibroblasts and keratinocytes, anti-inflammatory	Huang et al. $(2018)$
Chitosan	Migration and proliferation, induce fibroblast and keratinocytes	Shi et al. (2018)
Fucoidan	Mitogenic to keratinocytes and fibroblast, Angiogenic	Park et al. (2017)

<span id="page-609-0"></span>Table 3 Biological mechanism of different biopolymers

Table 4 Specificity of biopolymer toward types of wounds

Biopolymer	Wound types	References
Collagen	Foot ulcers, chronic wounds, large open cuts, bed- sores, surgical wounds, minor burns, heavy-to-low excretion wounds	Rehfeld et al. (2017); Bellis (2011)
Cellulose	Plastic/reconstructive surgeries, chronic wounds, <b>burns</b>	Sulaeva et al. $(2015)$
Alginate	Cavity wounds, postoperative wounds, infected wounds, heavy to moderately exhausting wound, surgical incisions or dehisced wounds, pressure ulcers, partial and full-thickness wounds, dermal wounds	Aderibigbe and Buyana $(2018)$ ; Yang and Jones (2009)
Hyaluronic acid	Chronic wounds, wounds having partial and full thickness	Litwiniuk et al. $(2016)$
Chitosan	Acute wounds and pressure ulcers	Ahmed and Ikram (2016)

Biopolymers also possess their appropriateness toward the types of wounds as indicated in Table 4. Collagen, cellulose, alginate, HA and Chitosan have their own priority for the type of wounds which also indicate their specific mechanism of action but at the same time they can carry various disadvantages such as collagen cannot be used for patience allergic to birds, products of swine or cattle, third degree burns and dry wounds. Similarly, cellulose could not be utilized for burns of second and third degree. Alginate is also not applicable to treat dry, eschar wounds, and also for third-degree wounds (Sahana and Rekha [2018\)](#page-616-0).

Thus, biopolymers have been successively utilized for wound care. Biopolymers with diversified origins are well studied because of their excellent environmentfriendly properties. Still many issues related to the use of biopolymers that restrict their use but it will be solved in future and biopolymers will emerge as one of the most significant wound healing mechanisms.

# 7 Applications of Biodegradable Materials in Wound **Healing**

Healing of wound, a tightly restricted physiological progression involving different subsequent stages and any destruction in this sequence can lead toward chronic wounds and indirectly affecting the quality of life of patients. Nowadays, novel wound dressing material is highly expected to have astonishing wound repair and skin rejuvenation advantage instead of preventing wound infection (Qu et al. [2019\)](#page-615-0). Traditional wound healing process involves the use of gauze made up from cotton (Sezer and Cevher [2011\)](#page-616-0) having numerous drawbacks like disrupting epithelium, which was newly formed leading to eliminate and fast damaging newly formed epithelium upon removal and causing rapid drying out of the wound bed (Siritientong et al. [2014](#page-616-0)) but they became popular due to their lower cost. The standard protocol carried out today is swabbing, dressing, and cleaning (Dreifke et al. [2015](#page-613-0)). If a skin lesion is extended, allograft or autograft might be used and could give rise to immunological issues like immune rejection (Suarato et al. [2018\)](#page-616-0). As far as characteristics are concerned about the ideal dressing material, it must eliminate unnecessary exudates to escape maceration of tissue, support autolytic debridement, maintains wetness, sufficient water vapor, and oxygen permissible within the wound. In favor of application/removal from patient's body, it should be adhesive and flexible.

Hence, in search of dressing materials with novel functions that could resolve the troubles of traditional dressing materials, various biomaterials have gained attention of scientific community due to their biodegradability and biocompatibility. Natural protein- as well as carbohydrate-based biomaterials are exploited for their use in wound dressing material.

Protein-based biomaterials include utilization of collagen, gelatin, silk protein, etc. Collagen is considered as protein which is abundant in animal providing strong support to tissues (An et al. [2016\)](#page-613-0). Collagen offers verities of dressing material in different forms like electrospun fibers, scaffolds, and hydrogels, which could be applied on ulcers and burn wounds (Yoon et al. [2018\)](#page-617-0). A multistructured nanofibrous dressing material is synthesized using poly-ε-collagen electrospun matrix having transforming growth factor-β1 (TGF-β1) and customized with polypeptide-based nanocarriers found to speed up healing and wound closure (Albright et al. [2018\)](#page-613-0). Mimura et al. [\(2008](#page-615-0)) had suggested a significant role of gelatin in wound healing process by performing and concluded that wound healing process of cornea can be promoted through gelatin hydrogels by transplanting fibroblast precursors into corneal stroma. Silk protein synthesized by various arthropods like spiders and silkworms has also attracted the focus as in vitro study revealed that silk mats having epidermal growth factors and ciprofloxacin (Chouhan et al. [2017\)](#page-613-0) increased keratinocytes proliferation and human dermal fibroblast.

Naturally, derived polysaccharides have also been found efficient in this field. Lloyd et al. [\(1998](#page-614-0)) stated that homoglycans are biocompatible material that occurs naturally and exploited in wound healing to modulate the cellular responses. Hyaluronic acid (HA) has a hygroscopic nature and so it is exploited to synthesize hydrogel structure so it can promote a scar-free wound healing and keratinocyte migration and angiogenesis (Dreifke et al. [2015](#page-613-0)). Chitosan (CS) being intrinsic antifungal, antibacterial, mucoadhesive, and hemostatic makes it the most preferable dressing material. Du et al. [\(2009](#page-613-0)) had reported the role of chitosan membrane in prop up healing process of wound and reduced scar tissue formation in a corneal alkali burn of rabbit. There are numerous CS-based dressing materials proposed like CS-gelatin sponge (Lanzhen et al. [2007\)](#page-614-0), CS-silk hydrogels (Silva et al. [2012\)](#page-616-0), and CS-aloe-vera membrane (Wani et al. [2010\)](#page-616-0). Alginate also offers its use in this field by removing microbial cells and necrotic tissues when utilized along with enzymatic components and antimicrobial. It can also initiate reparative wound healing process by providing polysaccharide base during its utilization (Patel et al. [2007\)](#page-615-0).

# 7.1 Advantages of Biopolymers to Limit Microbial Formation on Wound

There are many studies that reported the formation of biofilms on chronic and acute wounds, mixed etiologies and full-thickness burns, etc. (Metcalf and Bowler [2013\)](#page-615-0). Reports also suggest that half of the chronic wounds contain biofilm. If a majority of biofilm-containing wounds have delayed healing mechanisms then biofilm could be contributing many billions of dollars to the global cost for wound management process. Thus, based on the clinical and in vivo experiments, it is required to devise effective anti-biofilm strategies, to encourage wound healing in clinical practice. Various potential anti-biofilm agents have been proposed such as ethylenediaminetetraacetic acid (EDTA), xylitol, and lactoferrin, however, they do not have higher efficiency to prevent biofilm formation on wound. Recent studies suggested that biodegradable polymers such as chitosan, collagen, and polyhydroxyalkanoates are found to be effective antibiotic carriers (Pavithra and Doble [2008](#page-615-0)). Mogoşanu and Grumezescu ([2014\)](#page-615-0) have reported cellulose and nanocellulose prevent and control biofilm formation on wound. Ward et al. [\(2020](#page-617-0)) have also reported that a medium chain length polyhydroxyalkanoate prevents colonization of Pseudomonas aeruginosa, one of the most common bacteria that infect chronic wounds.

#### 1. Limitations of biopolymers as wound dressing materials

- Sometimes advantages may come with some restrictions also. Biopolymers/ biomaterials are biocompatible with human tissue which is their main advantage but due to this property it cannot be exploited for extended time periods.
- Productivity is another main concern since they are derived from the natural resources so it is very difficult to synthesis a sufficient quantity of products for practical use.
- Origin of synthesis also limits the use of these materials as products may vary slightly in physiological and biological activities even though they are produced by same animal but residing in different areas.
- These products are easily degradable under various conditions like heat and light. So they must be utilized instantly after extraction to avoid any kind of non-uniformity.
- These materials can provide an excellent growth environment for microorganisms due to which chances of contamination increase while their sterilization can also stimulate negative impacts on the structural properties.

Despite having many disadvantages, biodegradable and biocompatible polymers have gained attention from scientific community due to their diversified role in wound healing process.

#### 2. Future perspectives

Many approaches for wound healing are present nowdays, still the consequences of healing during chronic conditions are still conceded. Thus, the medical and healthcare community required wound care products having tunable physicochemical and biochemical properties such as hemostasis, bioresponsive, and antimicrobial. Therefore, advancement in knowledge of biopolymer with these properties will lead to the developments in technologies of bioengineering and regenerative medicine and aid in wound healing and tissue engineering. Moreover, the addition of knowledge and understanding of biopolymer and their mechanisms will lead to the successful application of biopolymer-based scaffolds, drug, or growth factors loaded dressing, 3D artificial skin, etc. Also, advances in biopolymer engineering with nanotechnology will help to develop effective technologies such as bioprinting and 3D electrospinning. These technologies which could be alternate solutions in replacement of extracellular matrices by growth factor delivery, cell-based therapy, and tissue engineering to restore injured tissue.

## 8 Conclusion

This book chapter discussed recent advancements and the advantages of biopolymers looked at their applications in wound healing management. Wound healing is a very complex and dynamic process and depends on interaction between the cells, growth factors, and extracellular matrix. Various biopolymers have been extensively studied and reported as excellent biocompatible and bioactive compounds. Currently, collagen, alginate, chitosan, etc. are the biopolymers that are frequently used in the wound care industry. Advancements in the knowledge and understanding of convergent technology for biopolymers will shape the future of medical and healthcare industry to satisfy the unmet needs.

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# Atmospheric Pressure Plasma Therapy for Wound Healing and Disinfection: A Review



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## 1 Introduction

The wound healing process, particularly in the skin, has been studied extensively for more than a century. A wound typically involves breaking the layers of the skin and damaging the underlying tissues. An array of biochemical events takes place when the layers of skin are broken to repair the damage for healing. They are blood clotting, infl[ammation,](https://en.wikipedia.org/wiki/Inflammation) tissue growth, and tissue remodeling (Nguyen et al. [2009;](#page-636-0) Rieger et al. [2015](#page-636-0)). Several signal molecules like cytokines, chemokine, enzymes, and proteins also participate in the healing process. The healing process is complex in nature as it is prone to be interrupted by various factors like infection, diabetes, venous or arterial diseases, and metabolic deficiencies. The wound then becomes chronic in nature and takes longer time to heal (Enoch and Price [2004](#page-634-0)). The main features of these wounds include severe inflammation, relentless infections, and drug resilient biofilms. This is mainly due to the excessive amounts of signal molecules like pro-inflammatory cytokines, proteases, senescent keratinocytes, endothelial, fibroblasts, and macrophages (Woo et al. [2007;](#page-638-0) Stojadinovic et al. [2008](#page-637-0)). Hence, maintaining a proper balance of signal molecules and growth factors can reverse the chronicity of wounds for improved healing.

It is well known that some wounds are burdened with different levels of bacterial or microbial loads. Bryant et al. defined that the microbial load in a wound exists in five forms: contamination, colonization, critical colonization, biofilm, and infection (Bryant and Nix [2011](#page-633-0)). Hence, asepsis of microbes is primarily important. There are

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<sup>©</sup> The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2021 P. Kumar, V. Kothari (eds.), Wound Healing Research, [https://doi.org/10.1007/978-981-16-2677-7\\_19](https://doi.org/10.1007/978-981-16-2677-7_19#DOI)

many methods used to reduce the bacterial load and they include debridement, appropriate wound cleansing, use of antibiotic medicines, application of ointments, and use of proper bandages. However, in most wounds, the effect of these traditional medical antiseptics and ointments are not effective due to the presence of some bacteria which are resistant to drugs like Methicillin-Resistant Staphylococcus aureus (MRSA). Therefore, there is a need for a new method for skin disinfection to attend to the quick healing of chronic wounds (Vasilets et al. [2009](#page-637-0); Lloyd et al. [2010;](#page-636-0) Haertel et al. [2014](#page-634-0); Weltmann and von Woedtke [2017](#page-637-0)).

While there are innumerable traditional and non-traditional treatments available for wound healing therapy, very few have shown their effectiveness in accelerating wound repair. It has been found that traditional treatments are expensive and the recovery time is very long (Xiong [2018](#page-638-0)). Moreover, modern synthetic allopathicbased medicines also have limitations like they are allergic and resistant in some patients. Some medicines are also very expensive (Majumdar and Sangole [2016](#page-636-0)). It is also reported that though several curative wound healing techniques are employed; very few satisfactory therapies for chronic wounds are available (Xu et al. [2015a](#page-638-0), [b](#page-638-0), [2015\)](#page-638-0). This has provoked the scientists and researchers to discover alternative novel technologies for wound healing and disinfection and validate its use.

Cold atmospheric pressure (CAP) plasma-based techniques are recently being considered as a potential alternative therapy for chronic wound healing and disinfection (Salehi et al. [2015](#page-637-0)). Cold atmospheric plasmas are generated at atmospheric pressure and exist in weakly ionized gaseous state. They consist of ground and excited atoms, radicals, neutral molecules, ions, negatively charged particles, and ultraviolet radiation (Lieberman and Lichtenberg [2005](#page-636-0)). As the temperature of these plasmas are low (approx. 40  $\degree$ C), living tissues can be easily treated. The Reactive Nitrogen Oxygen Species (RNOS) along with electric fields generated from these plasmas have been shown to decrease bacteria in wounds to promote healing (Duchesne et al. [2018](#page-633-0)). For this reason, CAP plasma has been extensively used in the last decade in biomedical research, particularly in dermatology, wound healing, blood coagulation, treatment of cancer, disinfection of infectious matter, sterilization of medical devices, and cosmetics (Daeschlein et al. [2015;](#page-633-0) Mohd Nasir et al. [2016;](#page-636-0) Klämpfl et al. [2012](#page-635-0); Chatraie et al. [2018](#page-633-0); Schmidt et al. [2017](#page-637-0); Isbary et al. [2012;](#page-634-0) Heinlin et al. [2010;](#page-634-0) Duval et al. [2013;](#page-634-0) Kang et al. [2014;](#page-635-0) Vandamme et al. [2012;](#page-637-0) Nasruddina et al. [2014](#page-636-0); O'Connor et al. [2014](#page-636-0)). CAP plasma is also popular for sterilizing heat-sensitive surfaces and medical equipment (Kong et al. [2009](#page-635-0)). Various in vitro and in vivo investigations with CAP plasma have indicated the growth and migration of fibroblasts as well as epithelial cells to the site of inflammation. Moreover, CAP plasma has also shown an affirmative effect on the epidermal growth factors and initiation of angiogenesis for promoting chronic wound healing (Fridman et al. [2008\)](#page-634-0). About less than 5% ROS and more than 80% RNS generated from plasma have been reported to penetrate tissues having thicknesses of 500μm (Woedtke et al. [2013](#page-637-0)). However, the actual penetration depths of plasma are yet not clear. Studies of CAP plasma on chronically infected wounds, pruritus, shingles, and various skin diseases have been reported to be treated successfully (Lademann et al. [2011;](#page-635-0) Ulrich et al. [2015](#page-637-0)). Hence, since CAP plasma treatments are pain free; have

short treatment times, nontoxic, non-allergic, and have sterilizing properties, they are favorable for wound healing (Daeschlein et al. [2012;](#page-633-0) Lademann et al. [2013](#page-635-0)).

#### 1.1 Plasma as a Useful Tool in Medical Field

Though, plasma is a familiar word in biology, where it is described as one of the components of blood, the term "Plasma" used in this chapter is the fourth state of matter. This state of matter is obtained by providing energy to the gaseous state of matter. Naturally, there are always few electrons and ions in any volume of neutral gas. The source of these free charged particles can be due to the interaction of cosmic rays or radioactive radiation with the gas (Conrads and Schmidt [2000\)](#page-633-0). Usually, laboratory plasma is formed by supplying electrical energy to the neutral gas. On application of an electric field, free charge carriers already present in the gases accelerate and collide with gas molecules. The charged particles also collide with the surfaces of the electrodes. These collisions result in multiplication of charged particles. This generation of charged particles is eventually balanced by the loss of the charged particle so that steady-state plasma is developed (Conrads and Schmidt [2000\)](#page-633-0). The plasma, thus produced is an ionized gas containing approximately the same numbers of ions and electrons. It also contains neutrals that are not ionized. Along with electrons and ions, enormous amount of reactive species are produced depending upon the type of gas used for formation of plasma. Various power sources like direct current (DC), capacitive coupled radio frequency (RF), inductively coupled RF, and microwave can be used to form plasma.

Non-thermal (cold) and thermal (hot) plasmas are the common two types of plasmas. In non-thermal plasma, the temperature of ions and neutrals is less than the electron temperature. In the case of thermal plasmas, the temperature is relatively similar for electrons and heavy particles and can typically reach temperatures of several thousand degrees kelvin (Roth [1995\)](#page-637-0). These plasmas can function over a wide span of pressure regimes. Accordingly, plasmas are further categorized as low pressure and atmospheric pressure plasmas. Typically, low-pressure plasma operates in a glow discharge mode with typical pressure range of 10–100 Pa while atmospheric pressure plasma operates in an arc discharge mode, also called as hot plasma (Lieberman and Lichtenberg [2005](#page-636-0)). Even though the generation of low-pressure plasma is comparatively easy, it requires vacuum chamber and pumping systems which are costly. Secondly, continuous plasma treatment is not possible by using low-pressure plasmas. Hence, atmospheric pressure plasmas are more preferred for applications like material processing, biomedical applications where expensive pumping systems can be avoided (Becker et al. [2005](#page-633-0)).

Both thermal as well as non-thermal plasmas are useful for few biomedical applications. The thermal plasmas that are hot are mainly used for ablation and cauterization of biological tissue and in cosmetics, e.g., Argon plasma coagulation (APC), for removal of wrinkles, regeneration of skin (Canard and Védrenne [2001;](#page-633-0) Pereira-Lima et al. [2000](#page-636-0); Foster et al. [2008](#page-634-0)). Non-thermal plasmas on the other hand do not exhibit any damaging effect as obtained from thermal plasma due to its ambient temperatures and are hence known as Cold Atmospheric Pressure (CAP) plasma. Such plasmas are widely applicable in the area of medicine. The "Plasma Medicine" term usually relates to the use of plasma for biomedical applications. Plasma medicine includes several low-temperature applications of plasmas like sterilization; wound healing; cancer treatment; dermatology, dentistry, and treatment of implants (Laroussi [2018\)](#page-635-0).

#### 1.2 Cold Atmospheric Pressure Plasma Devices

According to classical Paschen law, if one goes for atmospheric pressure, interelectrode distance has to be reduced. In case of plasmas generated at atmospheric pressure, the gap between the electrodes is few millimeters (Eliasson and Kogelschatz [1991\)](#page-634-0). Mostly, the plasmas produced at atmospheric pressure are thermal plasmas where the neutral gas temperatures are very high and are similar to the temperature of other species like electrons and ions. Now the question remains that whether there is any method to produce atmospheric pressure plasma at low temperatures? The answer is yes. This type of plasma with low temperature and generated at atmospheric pressure can be achieved by maintaining proper electrode geometry, discharge gas, and frequency of the applied voltage (Kogelschatz [2003\)](#page-635-0). As far as biomedical applications are concerned, the dielectric barrier discharge (DBD) plasma and pencil-like plasma produced by Atmospheric Pressure Plasma Jet (APPJ) have been used extensively. Dielectric barrier discharges are very much suitable for obtaining the atmospheric pressure diffused plasma in large volumes while APPJ is suitable for localized treatment like skin infection or tooth cleaning.

#### 1.2.1 Dielectric Barrier Discharge

DBD (Eliasson and Kogelschatz [1991;](#page-634-0) Kogelschatz [2003](#page-635-0)) is a kind of AC discharge that operates at atmospheric or higher pressures. This DBD discharges are also employed for the production of ozone gas using air or oxygen (Yao et al. [2015\)](#page-638-0). Nowadays, Ozonizers are effective tools for ozone generation and are being used worldwide for water treatment. In these discharges, the presence of dielectric material on one or both the electrodes is important while generating the plasma. The gap between the two electrodes for the discharge is very small (~few millimeters). These discharges at atmospheric pressure are created using AC high voltage generators of 1–50 kV and frequencies of 50 kHz to 1 MHz. Figure. [1](#page-622-0) shows various electrode configurations, which are used to generate DBD discharges.

Typically, in the DBD, a dielectric material exists on one of the metal electrodes. The ceramic materials, glass, quartz, and thin layers of polymers are preferred as dielectric materials. As the dielectric material does not permit the DC current to flow, alternating voltages (AC) are used for operating these discharges. Also, the stability

<span id="page-622-0"></span>



of the discharge mostly depends upon the type of discharge gas. The helium gas gives a stable glow discharge while gases like nitrogen, oxygen, and argon are unstable and change to filamentary discharges. However, it is still possible to operate them in the stable glow discharge mode by changing electrode configuration. The dielectric constant, as well as the thickness of the dielectric material, determines the displacement current passing through dielectric layer. In most applications, the dielectric material attached to the electrode limits the high current and hence arcing phenomenon in the gas space. Such type of DBD plasma is more suitable for continuous surface modifications at atmospheric pressure. As compared to other types of discharges, the DBD is the most suitable method to produce non-thermal plasma at atmospheric pressure. In addition to that scaling up to higher dimensions is comparatively easy in the DBD.

#### 1.2.2 Atmospheric Pressure Plasma Jet

Recently, many researchers have developed an atmospheric pressure plasma jet, a kind of DBD in cylindrical electrode configuration. The discharge generated using atmospheric pressure plasma jet shows many characteristics similar to conventional glow discharge produced at low pressure. A typical schematic of an atmospheric pressure plasma jet and the APPJ device developed by the Institute for Plasma Research (IPR) in contact with human skin is shown in Fig. 2. This configuration composed of two cylindrical electrodes. The plasma-generating gas like helium, argon, oxygen, or their combinations are used. By applying high voltages between the electrodes, plasma is produced. The ionized gas that exits through a nozzle is termed as plasma jet. The plasma jet or plume coming out through the nozzle is used to treat the substrate, which is kept at few millimeters. Non-thermal plasma jets operating at atmospheric pressure are emerging as a novel research topic in plasma physics. The ongoing and future research in this field will be beneficial for material treatment as well as for medical applications. The main advantage of this type of plasma is its capability to supply significant amounts of reactive species at low gas



Fig. 2 Atmospheric pressure Plasma Jet developed by the Institute for Plasma Research (a) Schematic and (b) Plasma jet in contact with human skin

temperatures. The plasma plume that comes out from the nozzle interacts with its surrounding environment like ambient air. The interaction changes the properties of the discharge plasma by releasing reactive species and in turn also the treated surface. Thus, this type of plasma jet is not restricted by defined physical walls, but increases their usage for material treatment applications like human skin and teeth (Pan [2010\)](#page-636-0).

In the last few decades, developments have been made in the design of an APPJ for its application in different areas. Various plasma jet arrangements exist depending upon the electrode configuration, gases employed, and power supply used to generate the plasma. Plasma jets can be transferred arc or non-transferred arc. When one of the electrodes is outside the body of jet, it is referred to as transferred arc jet. In a non-transferred arc jet, an electric field is generated between the two electrodes and the plasma jet or plume protrudes outside due to the flow of plasma generating gas. Plasma jets have been generated using noble gases like argon and helium. There exist different configurations of the plasma jets depending upon the usage of dielectric material and they can be categorized as Dielectric free electrode (DFE) (Babayan and Jeong [1998](#page-633-0); Jeong et al. [1998](#page-635-0)), Dielectric barrier discharge (DBD) (Abuzairi et al. [2016](#page-633-0); Lu et al. [2008](#page-636-0)), and DBD-like jets (Léveillé and Coulombe [2005](#page-636-0); Shashurin et al. [2009](#page-637-0)). Some configurations are also of single electrode (SE) type plasma jets (Stoffels et al. [2003;](#page-637-0) Lu [2009](#page-636-0)). In case of DFE jets, in absence of dielectric material, the plasma produced touches the electrodes. However, the widely used configuration of DBD jets composed of the quartz tube, which acts as a dielectric material and the electrodes are present on the external side of this tube.

Both DBD and APPJ devices are used for biomedical applications. Recently, many plasma devices are commercially available for wound healing. Some of them are kINPen, a CE certified RF argon plasma jet, PlasmaDerm, MicroPlasma α and β device, and Plasma jet (Tigres, Plasma MEF technology) (Xiong [2018\)](#page-638-0).

## 2 Interactions of Cold Atmospheric Plasma with a Living Cell

Atmospheric pressure plasma interacts with the living cells by various mechanisms as reported in the literature. Plasma contains charged particles, various reactive oxygen species (ROS), reactive nitrogen oxygen species (RNOS), excited molecules, and ultraviolet (UV) photons and these play an important role when plasma interacts with the biological matter. It is reported in the literature that (Moisan et al. [2001;](#page-636-0) Stoffels et al. [2008;](#page-637-0) Boudam et al. [2006](#page-633-0)):

- 1. Microbial DNA in the cell gets destroyed by UV radiation.
- 2. Intrinsic photo desorption erodes the microorganism by breaking their chemical bonds.
- 3. Atomic and molecular radicals etch the cell surface, thus destroying them.

<b>ROS</b>	Formula	Plasma reaction
Hydrogen peroxide	$H_2O_2$	$OH + OH \rightarrow H_2O_2$
Hydroxyl radical	$OH+$	$e + N_2 + M \rightarrow N_2^+ + 2e + M$
		$N_2^+ + H_2O \rightarrow N_2 + H_2O^+$ $H_2O^+ + H_2O \rightarrow OH + H_3O^+$
Superoxide	O <sub>2</sub>	$e + O_2 + M \rightarrow O_2^- + M$
Singlet oxygen		$O_2 + e \rightarrow O_2(\Delta_g^1) + e$

Table 1 Production of ROS from plasma

(M- third body particle)

Table 2 Production of RNS from plasma

<b>RNS</b>	Formula	Plasma reaction
Nitric oxide	NO.	$N_2 + e \rightarrow N + N + e$ N + O + N <sub>2</sub> $\rightarrow$ NO + N <sub>2</sub>
Peroxynitrite	$ONOO^-$	$NO^+ + O_2^- \rightarrow ONOO^-$
<b>Nitrite</b>	$NO2^-$	$NO + O_3 \leftrightarrow NO_2 + O_2$
		$2 NO_2 + H_2O \rightarrow HNO_2(aq) + HNO_3(aq)$

4. Oxidative damage is done to the membranes and DNA by ROS/RNOS species.

5. Charged particle accumulates on the cell membrane thus leading to its rupture.

Cellular proliferation, differentiation, and viability are also influenced by active species in the plasma (Lin et al. [2015](#page-636-0)). Both ROS and RNOS play a vital part as normal components of the cellular metabolism. ROS and RNOS include hydroxyl ions, atomic oxygen, singlet delta oxygen, superoxide, hydrogen peroxide, and nitric oxide. Various reactions involved in the production of ROS and RNOS are given in Tables 1 and 2, respectively (Arjunan [2011\)](#page-633-0). For example, peroxidation of unsaturated fatty acids is caused by hydroxyl species. It has been reported both ROS and RNOS assist in wound healing. ROS induces oxidative stress. Wound healing is positively influenced at lower concentration of ROS. The regulation of immune deficiencies, cell proliferation, induction of phagocytosis, control on collagen synthesis, and angiogenesis are mainly affected by RNOS. It is established in the literature that nitric oxide regulates the biological functions by working as intracellular messenger (Mone et al. [2014;](#page-636-0) Stallmeyer et al. [1999;](#page-637-0) Lu [2008](#page-636-0)). Both ROS and RNOS are capable of reducing the microbiological burdens and bacterial colonization which is one of the main contributing and well-recognized factor of impairing wound healing. Moreover, a direct influence on the cellular level during wound healing is also demonstrated by the presence of these species. For this reason, atmospheric pressure plasma jet has a greater potential for the treatment of chronic and non-healing wounds.

# 3 Anti-Microbial Ability of APPJ for Disinfection of Wound on Skin

Researchers have shown from their works that plasma has disinfectant properties and can be used in various skin-related treatments. Scholz et al. have reported that APPJ has potential to inactivate both Gram-positive and -negative bacteria, fungi, viruses, and yeasts (Scholz et al. [2015](#page-637-0)). It is well known that excluding the gut, the next place in the body where more microorganisms are found is the skin. Among the microorganisms, bacteria are found to be the most abundant followed by fungi and viruses on a normal skin of a healthy human. Bacteria usually enter into the body through cuts, punctures, surgery, burns, or preexisting skin disorder and develop skin infection thereby hindering the process of wound healing (Robson [1997](#page-637-0)). As plasma is demonstrated to deactivate bacteria with high efficiency, the use of APPJ can help in reduction of the bacterial load in open wounds. Among the various types of bacteria, nine different species are present on a relevant wound and their strains were identified as shown in Table 3 (Daeschlein et al. [2012](#page-633-0)).

Daeschlein et al. showed that after APPJ treatment for two minutes using argon gas, highest reduction factor (RF) was found for PA followed by HS, MSSA, CA, and EF (Helmke et al. [2011\)](#page-634-0). Darmawati et al. also studied microorganisms like SA, PA, Methicillin-Resistant Staphylococcus aureus (MRSA), and Carbapenem-Resistant Pseudomonas aeruginosa (CRPA), with argon-based APPJ for 2 min of treatment. They observed that the inhibition zones were highest for CRPA and lowest for SA. These and the previous results indicate that APPJ was more effective for Gram negative bacteria inactivation (Darmawati et al. [2019](#page-633-0)). It is known that the sensitivity of killing is dependent on cell wall thickness (Ermolaeva et al. [2011\)](#page-634-0). APPJ treatment was more effective in deactivating Gram negative than the Gram positive bacteria because of the presence of their thin cell wall thickness. It has been reported by many researchers that the surface of the living organisms undergo a mechanical action referred to as "etching" due to the various reactive species

Sr. No.	<b>Species</b>	Reference strain
-1.	Escherichia coli (EC)	<b>ATCC 25922</b>
2	Pseudomonas aeruginosa (PA)	ATCC 15442:
		<b>ATCC 9027</b>
3.	Klebsiella group (K. pneumoniae sp. pneumoniae, K. oxytoca)	<b>ATCC 700324</b>
4.	Methicillin-sensitive Staphylococcus aureus (MSSA)	<b>ATCC 1924</b>
	Staphylococcus aureus (SA),	<b>ATCC 6538</b>
5.	Staphylococcus epidermidis (SE)	<b>ATCC 12228</b>
6.	Proteus group (P. mirabilis, P. vulgaris)	<b>ATCC 6380</b>
7.	Enterococcus faecalis (EF)	<b>ATCC 29212</b>
	Enterococcus faecium (EF)	<b>ATCC 6057</b>
8.	Candida albicans (CA)	<b>ATCC 10231</b>
9.	Hemolytic streptococci (HS) (group A and B)	ATCC 27956

Table 3 Common microbial reference strains used for in vitro studies

produced by plasma (Chau et al. [1996](#page-633-0); Lerouge et al. [2000](#page-635-0)). The so-called etching causes fissure in the membranes of microorganism, which leads to their deactivation. Laroussi et al. compared the inactivation of E. coli  $(10^6 \text{ CFU/ml})$  on agar for 120 s using a plasma formed using helium gas alone and mixture of helium with oxygen gases. They observed that the inactivated area was more by using helium/oxygen gas mixtures compared to that obtained by pure helium (Laroussi et al. [2006](#page-635-0)). A more detailed review of the use of APPJ for microbial inactivation can be obtained from the work done by Ehlbeck et.al ( [2011](#page-634-0)). Hort et al. demonstrated the effect of APPJ using both air and nitrogen gases at 100 W powers on P. aeruginosa, S. aureus, and C. albicans. It was seen that air and nitrogen plasma significantly affected P. aeruginosa and S. aureus than C. albicans. Among the gases employed in APPJ, nitrogen exhibited a greater microbiocidal effect (Hort et al. [2017\)](#page-634-0). Hence, for bacterial disinfection gases like argon, helium with oxygen gas mixture, and nitrogen are commonly used depending upon the type of bacteria.

Chronic wounds do not heal because of the presence of biofilms and they are observed in 90% of chronic wounds. Biofilms are a collection of different bacterial species (planktonic form of bacteria) having a protective layer adhering to the wound surface in the form of glycocalyx (Attinger and Wolcott [2012](#page-633-0)). As these biofilms are resistant to antimicrobial treatments therefore they need to be eradicated. Conventional techniques that use high heat and chemicals are not recommended as they use toxic chemicals and cause surface degradation. APPJ has also shown to have a great potential to deactivate the biofilms. Most bacteria that are present in the biofilm are E. coli, P. aeruginosa, S. aureus, and Streptococcus pyogenes. Xu et al. in their work demonstrated that atmospheric pressure plasma jet (APPJ) with helium gas completely inactivated S. aureus biofilms in 10 min and reported a 99% reduction in biofilm as compared to untreated samples. They attributed the biofilm inactivation to the presence of reactive species present in the plasma and plasma-induced intracellular ROS (Xu et al. [2015a](#page-638-0), [b](#page-638-0), [2015](#page-638-0)). Theinkom et al. also studied the effect of APPJ using air against E. faecalis (EF) for 10 min treatment and reported a reduction in CFU of E. *faecalis* by  $\geq$  5 log. It was observed that the results obtained by chlorhexidine and UVC radiation were also comparable with the effectiveness of APPJ. On examination by spectrometric measurements, it was observed that cytoplasmic membranes were intact after this treatment (Theinkom et al. [2019\)](#page-637-0). Patenall et al. studied the growth of biofilms using helium CAP plasma jet for 5 min. Biofilm grown for 8 h showed 4–5 log reduction in bacterial cells compared to 2 log reductions which were achieved on biofilms grown for 12 h. Hence, reducing the formation of biofilms by CAP plasma is time dependent (Patenall et al. [2018](#page-636-0)).

#### 4 In Vitro Studies Using APPJ on Skin

Skin is the largest organ of the body and it covers about  $2 \text{ m}^2$  on the body. Life would not be possible without skin. The epidermis, which is the outermost part of the skin, is considered to be the most immunologic organ as it is responsible for all types of

skin diseases (Wende et al. [2010\)](#page-637-0). The wound healing occurs only when the skin cells stop cell division and migrate for wound closure (Liu et al. [2017](#page-636-0)). It is reported that wound closure is induced in the infected 2-D skin model using APPJ (Hunt et al. [2000\)](#page-634-0). This was achieved as there was an enhancement of proliferation rate of skin cells after APPJ treatment, which is a key requirement for healing wounds. Moreover, after plasma treatment, the overall antibacterial efficacy also increased due to extracellular trap formation (Fritsch [1998](#page-634-0)). All these factors are responsible for reduction in wound inflammation and aids wound healing.

Several researchers have indicated that the plasma cell interactions mainly depend on the plasma source, time duration as well the type of cell it is being treated (Kim et al. [2010,](#page-635-0) Kalghatgi et al. [2011](#page-635-0), O'Connell et al. [2011\)](#page-636-0). Low plasma treatment durations are beneficial as it stimulates cell viability, proliferation, differentiation, and migration, whereas longer time durations lead to cell apoptosis/necrosis (Xiong [2018\)](#page-638-0). Most of the skin cells such as keratinocytes, fibroblasts, and endothelial cells are affected in a positive manner by the CAP plasma treatment as reported in the literature. It is seen that these cells migrate in wound beds resulting in timely wound healing. Duchesne et al. found that a quicker scratch closure was observed at 24 hrs. After the scratch when the treatment time was fixed for 1 min. Among the three cells studied, i.e., keratinocyte, endothelial, and fibroblasts cells, they showed 70%, 50%, and 25% smaller scratches, respectively, than that of the control sample. The shortlived ROS and RNS species were chiefly responsible for improving the scratch wound closures (Duchesne et al. [2018](#page-633-0)).

During the complex process of wound healing, both keratinocytes and fibroblasts secrete various cytokines such as IL-1 and IL-6 and growth factors like transforming growth factor  $\alpha$  (TGF- $\alpha$ ), which facilitate via intracellular signaling pathways. This in turn influences cellular mechanisms such as proliferation, migration, adhesion, or a contraction for wound healing. Arndt et al. studied fibroblasts cells using two types of plasma jets that are commercially available namely MicroplaSter and KINpen. Treatment on fibroblasts with the MicroplaSter and KINpen devices induced expressions of diverse wound healing relevant cytokines as well as growth factors for influencing cellular mechanisms that are important for wound healing. In their investigations, they indicated that the plasma not only activates the fibroblasts but also helps to convert into myofibroblasts which are responsible for wound contraction. Skin homeostasis and physiological tissue repair are maintained by myofibroblasts (Hinz [2016,](#page-634-0) Arndt et al. [2018](#page-633-0)).

In another study by Arndt et al. an exposure of APPJ for 2 min was done on primary human dermal fibroblasts (2F0621, 9F0438, 9F0889). A significant amount of increase in several proteins, e.g., CD 40 Ligand (CD154), GRO alpha (CXCL1), IL-1 ra (IL-1F3), IL-6, IL-8, MCP-1(CCL2), and Serpine E1 (PAI-1) were observed after treatment (Arndt et al. [2013](#page-633-0)). The same jet was also used to study Human Cytokine Arrays (array kit ARY005) for a treatment time of 2 min. After incubating for 24 hrs, it was found that on mRNA level, molecules of TGF-ß1 and TGF-ß2 were induced after the APPJ treatment. Since these transforming growth factors are responsible for cell migration and proliferation, synthesis of extracellular matrix, angiogenesis, remodeling, and the breaking strength of the repaired tissue, it can be suggested that APPJ activates and facilitates in healing wound (Amento and Beck [1991\)](#page-633-0). It is also necessary that extracellular matrix (ECM) components like  $\alpha$ -SMA are produced for wound healing. After APPJ treatment it was found that both collagen type 1 and  $\alpha$ -SMA mRNA expressions were induced suggesting that it influenced both collagen synthesis and activation of fibroblasts. Hence, all the studies mentioned above revealed that the important genes, which are crucial for wound healing, are activated by CAP plasma treatment (Folkman [2006](#page-634-0)).

Endothelial cells play an important role in angiogenesis where they produce growth factors like fibroblast growth factor-2 (FGF2) which is involved in the formation of blood vessels. Several chemical signals secreted by the body control the process of angiogenesis. These signals along with the growth factors induce endothelial cells for the development of new blood vessels (Nugent and Iozzo [2000;](#page-636-0) Shekhter et al. [2005](#page-637-0)). Kalghatgi et al. studied endothelial cells after treating it with APPJ for 30 seconds and demonstrated that the proliferation rate was twice than that of the untreated cells after five days of plasma treatment. The high rate of proliferation was due to the fibroblast growth factor-2 and reactive oxygen species (Kalghatgi et al. [2010](#page-635-0)).

Other factors responsible for wound healing are the adhesion molecules like  $\alpha$ 2 and β1-integrin, E-cadherin, and the epidermal growth factor receptor (EGFR), which play a key role in cell migration and proliferation for cell–cell and cell–matrix interactions. Haertal et al. demonstrated the application of APPJ (kINPen) device on HaCaT-keratinocytes for 10 and 30 s and the adhesion molecules were observed to increase significantly after 10 s (Haertel et al. [2011\)](#page-634-0).

Studies have also been carried out using the Tigres MEF plasma device on 3D skin models consisting of a keratinocyte containing an epidermal layer and a fibroblast/collagen dermal matrix. Various plasma parameters like process gas (air/nitrogen), input power (80–300 W), and treatment time (5 to 20s) were varied to understand the effect on 3D skin model. It was observed that less treatment durations exhibited better cell compatibility. Compared to nitrogen gas, usage of air was more damaging. Moreover, higher input power gave adverse effects on 3D skin model morphology and also on the release of inflammatory cytokines. Hence, low treatment times, lower input power, and use of nitrogen gas were recommended for wound decontamination (Wiegand et al. [2016\)](#page-637-0).

#### 5 In Vivo Studies of APPJ on Mice

Xu et al. studied the effect of argon gas APPJ on wound healing in the skin of the mice (Xu et al. [2015a,](#page-638-0) [b](#page-638-0), [2015\)](#page-638-0). Two full-thickness wounds of 4 mm diameter were made on either side of the dorsal midline of each mouse. APPJ treatment was given for 10– 50 s in intervals of 10s for 14 days without any interruption on one wound of each mouse. It was found that there was an improvement and almost complete closure of the wound toward the 13th day when the treatment time was less than 40 s compared to the other wounds that was not treated by APPJ. Whereas when the

treatment time was 50 s, the healing of wound was lesser even on the 14th day. The reactive species so formed were able to inactivate the bacteria around wound and promote its healing. However, as the treatment time increased, the same reactive species played a detrimental role in wound healing causing cell death by apoptosis or necrosis (Xu et al. [2015a](#page-638-0), [b,](#page-638-0) [2015\)](#page-638-0). Both Dunnill et al. and Kurahashi et al. in their studies reported that ROS was not only responsible for disinfection during the inflammatory phase, but also in the regulation of tissue repair which involves migration, proliferation, and angiogenesis (Dunnill et al. [2017;](#page-633-0) Kurahashi and Fujii [2015](#page-635-0)). However, excessive ROS production creates an imbalanced redox homeostasis, which in turn hinders the healing process (Dunnill et al. [2017](#page-633-0), Kurahashi and Fujii [2015\)](#page-635-0).

Kubinova et al. and Zhang et al. analyzed the effect of APPJ when operated with air on the healing of skin wound model in rats (Kubinova et al. [2017;](#page-635-0) Zhang et al. [2019\)](#page-638-0). The skin wounds were treated daily for 14 days for 1 and 2 min. It was observed that on the seventh day, there was a significant epithelization and wound contraction compared to the wounds that were not treated. Gene expression analysis indicated no enhanced inflammatory reaction which would interrupt the process of wound healing (Kubinova et al. [2017,](#page-635-0) Zhang et al. [2019\)](#page-638-0). When compared to Xu et al.'s work, the APPJ treatment performed by Kubinova et.al gave better results with air as a processing gas; they attributed the reason for better results to the increased NO species produced with plasma. On evaluation of the species formed from the plasma, it was found that air plasma treatment was able to produce a large amount of NO species in the living tissue which, in turn, accelerated the healing of the wound and its closure (Hsu et al. [2006](#page-634-0)).

A study was conducted by Arndt et al. using MicroPlaSter beta device for 2 min using argon gas on mice, which was subjected to two 6-mm full-thickness wounds made on both sides of the dorsal midline (Arndt et al. [2013](#page-633-0)). The results were then compared with mice which were treated with only argon gas without creating plasma. Improved wound closure was observed with Argon plasma treatment on the third and fifth day and the wounds healed after 15 days. However, there were some differences between the two treatments. After APPJ treatment, an increased quantity of macrophages was observed in the early phases of wound healing mainly due to an elevation of the immune defense which cleans the wound area by inducing phagocytosis. (Arndt et al. [2013](#page-633-0)).

#### 6 Clinical Studies Using APPJ

Clinical trials using atmospheric pressure plasma jet have been done for disinfection of infectious matter which is essential for healing chronic wounds. Clinical trials have also been carried out on patients, to evaluate the change in the wound dimensions in both width and length using some of the commercially available plasma jets.

Isbary et al. carried out clinical studies using argon-based MicroPlaSter alpha and beta commercially available plasma jet devices on 24 patients infected with chronic wounds. The treatment was carried out for 2 min every day. The results were compared with another study on 36 patients using APPJ for 5 min. Using standard procedures bacterial load was measured after the treatments for different times with these devices. It was observed that MicroPlaSter beta device showed a major reduction in bacterial load  $(23.5\%, P < 0.008)$  compared to that treated by MicroPlaSter alpha device  $(40\%, P < 0.016)$ . The treatment did not have any side effects on the patients. Both the devices were found to be effective with 2 min on chronic wounds (Isbary et al. [2010\)](#page-635-0).

In order to assess the wound closure dimensions after APPJ treatment using MicroPlaSter device Isbary et al. conducted another study on 70 patients with chronic wounds and the treatment time varied from 3–7 min. Wound dimensions were measured before and after the treatment and compared with wounds that were not treated. The same treatment was also performed on patients having chronic venous ulcers for 3–7 min. A significant reduction in the dimensions, i.e., width and length of the wounds were observed in patients with chronic wounds whereas there was only a reduction in ulcer width in patient having chronic venous ulcers. The studies indicate that further research with the use of APPJ for chronic venous ulcers has to be pursued (Isbary et al. [2013](#page-635-0)). Clinical studies using PlasmaDerm and KINPen have also been tried on patients and have indicated a reduction in bacterial colonization by tenfold in the wound volume than the patients who have not been given the plasma treatment (Emmert et al. [2013](#page-634-0); Lademann et al. [2013](#page-635-0)).

#### 7 Mechanisms Involved in Wound Healing Using Plasma

Wound healing is a complex phenomenon involving various pathways. Reactive Oxygen Species (ROS) and Reactive Nitrogen Oxygen Species (RNOS) of CAP play a vital role in healing and sterilization of the wounds. Wound healing mechanisms by atmospheric pressure plasma is proposed in the following two ways:

- 1. Interaction of ROS with the affected area.
- 2. Interaction of RNOS with the affected area.

Both these mechanisms help side by side in order to heal the wound and by assisting in one or more ways during hemostatic, inflammatory, proliferative, and maturation phases. The major species in ROS and RNOS which interact with the wound area are hydrogen peroxide  $(H_2O_2)$  and nitrogen oxide (NO). Both these mechanisms are explained as below:

Effect of ROS

1. ROS are involved in fibroblast-associated collagen production and the synthesis of growth factors (Sen et al. [2002](#page-637-0)).

- 2. In the initial stage of homeostasis, ROS helps in recruiting platelet, platelet activation, and mediating tissue factors such as TF-mRNA (Graves [2012](#page-634-0)).
- 3. ROS increases messenger ribonucleic acid (mRNA) expression of antiinflammatory cytokines and decreases mRNA expression of pro-inflammatory cytokines (Lee et al. [2016\)](#page-635-0).
- 4. ROS induces the expression of TGF-β (Fathollah et al. [2016](#page-634-0)).
- 5. ROS  $(H_2O_2)$  behaves as a second messenger for platelet-derived growth factors, vascular endothelial growth factors, and tissue growth factors (Graves [2012\)](#page-634-0).

Effect of RNOS

- 1. Nitric oxide (NO) gas molecules help in the expansion of microvessel lumens during growth of new blood capillaries and in the opening of reserve collateral, which helps in the improvement of tissue nutrition and renewal of cell population (Vasilets et al. [2015\)](#page-637-0).
- 2. Scar tissues soften as collagen bundles are loosened by NO resulting in forming younger tissues with the renewal of cell pool of fibroblasts (Vasilets et al. [2015\)](#page-637-0).
- 3. NO also prevents dysplastic transformation of fibroblasts and recurrent keloid growth (Vasilets et al. [2015\)](#page-637-0).
- 4. The NO synthase is responsible for the inflammatory and proliferative phase of the healing process and they are significantly found in bone fracture areas and also in wounds developed due to burns (Fridman and Freidman [2013](#page-634-0)).
- 5. Nitrogen oxide species (NOS) are linked to macrophage activation in the wound, cytokine synthesis, proliferation of fibroblasts, and epithelialization (Fridman and Freidman [2013](#page-634-0)).
- 6. NO helps in the delivery of immune system components to the site of infection because of increasing the microcirculation (Fridman and Freidman [2013](#page-634-0)).
- 7. RNOS forms nitrated fatty acids (NO2-FAs) which are electrophile biomolecule. It induces anti-inflammatory polymorphic neutrophils, which help in wound healing (Fridman and Freidman [2013\)](#page-634-0).

# 8 Conclusion

Cold atmospheric pressure plasma addresses several modes of wound healing action like anti-inflammatory, antimicrobial, tissue stimulation, re-epithelialization, and neovascularization. From the in vitro and in vivo studies, the antimicrobial as well as the wound healing properties of plasma have been clearly established. Furthermore, CAP plasma has also successfully demonstrated wound healing capabilities during clinical studies without any side effects. Cold atmospheric pressure based plasma devices indeed show a high potential in wound healing as it helps in inactivating the microorganisms in the first stage and in the later stage stimulates cell proliferation and migration. This makes plasma a novel and a promising alternative remedial technique for wound healing, particularly, chronic wounds.

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# Quorum Sensing as a Therapeutic Target in the Treatment of Chronic Wound Infections



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## 1 Introduction

Wound is defined as a simple or a serious skin or tissue injury, which can spread to other tissues and anatomical structures such as muscles, subcutaneous tissue, nerves, tendons, and bone. Skin is the most probable organ which has a high risk for impairment, injury, scratches and burns. A wound is created when a damage occurs to the epithelium layer and connective tissues which eventually weakens the primary immune system of the human body. Thus, reconstruction of functions of epithelium or other layers of skin is most important. This process is called wound repair or healing. Wound healing follows through a cascade of overlapping phases (Negut et al. [2018\)](#page-654-0). The biology of wound healing involves the coagulation or hemostasis, inflammation, migration, proliferation, re-epithelialization and finally restoration (Fig. [1](#page-640-0)) (Garraud et al. [2017](#page-653-0)). As soon as wound develops, thromboxane A2 and prostaglandin helps in the rapid vasoconstriction at the site of injury. A cascade of clotting processes initiates along with vasoconstriction. The first to arrive at the site are platelets, which favours hemostasis and produces several growth factors and cytokines (vascular endothelial growth factor, platelet-derived growth factor and fibroblast growth factor). These chemoattractants encourage the movement of inflammatory cells like monocytes, neutrophils, lymphocytes and macrophages to the site of injury. Initially, at 24 hours the neutrophils land at wound site to phagocytize bacteria and to clear microbial and other debris from the damaged

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<sup>©</sup> The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2021 P. Kumar, V. Kothari (eds.), Wound Healing Research, [https://doi.org/10.1007/978-981-16-2677-7\\_20](https://doi.org/10.1007/978-981-16-2677-7_20#DOI)

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Fig. 1 Illustration of different stages of wound healing, progression of microorganisms and biofilms in the wounds

area. Reactive oxygen species produced by polymorphonuclear leukocytes mediates the elimination of external contaminants. The vital step that proceeds in 48–72 hours during the process of wound healing is the accumulation of macrophages. The phase of proliferation usually starts with migrated macrophages, which helps in the inflammatory healing through the discharge of cytokines, attraction of blast cells, and removal of debris. In the remodelling phase, fibroblast cells lay down for the components of extracellular matrix and allow re-epithelialization. Eventually, the wound is fully repaired up to 70–80% of its original tensile strength after angiogenesis and new capillary bed formation by epithelial cells. Wound contracture is completed by myofibroblasts through actin filaments (Rodrigues et al. [2019\)](#page-654-0).

The processes involved in wound healing should occur at the exact time and endure for a specific period. Wound healing is delayed when these conditions fail to occur. There are several factors that interfere with different phases of wound healing and results in improper or impaired healing. Wounds that failed to accomplish rapid healing process due to the impaired tissue repair are delayed acute and chronic wounds. These wounds never follow a normal wound healing process rather enters into a stage of pathologic inflammation. Most non-healing chronic wounds are related to diabetes mellitus, blood pressure or venous stasis disease and ischemia (Guo and DiPietro [2010\)](#page-653-0). Current statistics draws to the fact that more than six million of the world population is severely affected by chronic wounds. In a study conducted in India, says that 4.5 and 10.5 per 1000 population are affected by chronic and acute wounds, respectively (Shukla et al. [2005\)](#page-655-0). Acute wounds are emerged due to external damage caused by bites, surgical injuries, abrasions, burns, lacerations, gunshot injuries or burns. Acute wounds heal within a stipulated time irrespective of the wound's nature (Bowler et al. [2001](#page-652-0)). Generally, chronic wounds arise as minor traumatic injuries such as insect bites, simple scratch on dry skin or penetrating injuries. These injuries are supposed to heal within few days to weeks. Patients with underlying pathologies may cause non-healing chronic wounds. These pathologies include non-diabetic neuropathies, diabetic-induced pathologies, venous insufficiency, atherosclerosis, thrombosis, arterial insufficiency, varicosis,

macroangiopathy, microangiopathy, immobility and excessive pressure. Different types of chronic wounds are venous ulcers, arterial ulcers, diabetic ulcers and pressure ulcers. Different pathologies of these wounds are elongated inflammation, tenacious infections and development of antibiotic-resistant biofilms contributed by microbial species, which leads to the formation of non-healing wounds (Demidova-Rice et al. [2012\)](#page-652-0).

Impaired wound healing may also have contributed by dysbiosis of host skin microbiota. Microbial species present on the skin are beneficial to the host and the dysbiosis of skin microbiota can alter their normal gene expression levels to pathogenesis. A small injury on the skin may cause the reason for colonization and proliferation of skin microbiota and other pathogenic microorganisms in the wounds, which impede the healing process (Williams et al. [2018\)](#page-655-0). Some studies suggested a multifaceted impact of cutaneous microbiome on wound healing. In order to stimulate the skin health and rejuvenation, an equilibrium among different types of microorganisms is required. Most skin microbiome is composed of the species of Streptococcus, Corynebacterium, Staphylococcus, various anaerobes and Pseudo-monas (Cogen et al. [2008](#page-652-0)). These microbes have contributed to both favourable and harmful effects on the host, based on their load and cutaneous environment. The ultimate role of skin commensal is to dissuade the invasion of pathogens and to stimulate the host immune system. This is interrupted often by the development of tissue injury and ultimately causes delayed wound healing (Johnson et al. [2018](#page-653-0)).

A series of local and systemic host responses are initiated at the wound surface and surrounding areas after the colonization by microorganisms. These host responses can be purulent discharge or painful erythrema. Generally, acute and chronic wounds are populated mainly by polymicrobial communities. The severity of infection is likely to be dependent on various factors like, site, type and size of wounds, incidence of exogenous contamination, burden and nature of microorganism, immune status of host and pathogenicity contributed by the microbial load in the wound (Bowler et al. [2001\)](#page-652-0).

#### 2 Chronic Wound Biofilms

The transition of wounds from acute to chronic state is greatly influenced by the establishment of several microbial populations and development of biofilms in the wound bed. It is also reported that biofilms present in 6% and 90% of total acute and chronic wounds, respectively (Attinger and Wolcott [2012](#page-652-0)). The microbial biofilms found to be residing in non-healing wounds include species of *Pseudomonas*, Staphylococcus, Corynebacterium and other varieties of organisms. These biofilms are recalcitrant to antibiotics and thus exert negative impact on wound healing (Williams et al. [2018\)](#page-655-0). Biofilms are generally regarded as a population of microorganisms either of single or mixed species attached to a substrate or host surface and protected from external harsh stimuli through their exopolymeric substances (EPS) matrix. Around, 99.9% of all microorganisms found in natural and pathogenic environments exist in their biofilm state (Black and Costerton [2010\)](#page-652-0) (Fig. [1\)](#page-640-0).

As these biofilm exhibits extreme resistance to antibiotics and exhibits biofilm tolerance, their significant risk on public health is a challenging threat. These biofilms are responsible for several chronic and persistent infections such as cystic fibrosis, otitis media, pneumonia and so on. Biofilm communities may also form by fungi and viruses other than bacteria. Their EPS matrix contains proteins, extracellular DNA, carbohydrates and other biomolecules (Clinton and Carter [2015\)](#page-652-0). Biofilms resist the action of antibiotics through decreased metabolic activity, EPS which acts as a mechanical barrier and expression of antibiotic-resistant genes through plasmids. The physiology of biofilms inhibits the penetration of various immune cells thus escape easily from host-mediated phagocytosis. Even, biofilms stimulate the chronic inflammation in the wound environment and thus deteriorate the healing cycle (Goldberg and Diegelmann [2020\)](#page-653-0).

Majority of the pathogens causing biofilm infections occur as polymicrobial which increases the severity of infection and complicates the treatment. Biofilms are dynamic architectures that includes 5 stages of formation and they are reversible attachment to surface, irreversible attachment, cell proliferation, growth and differentiation and dispersion of cells. Biofilms escape from antibiotic therapy through the production of various virulence factors and toxins. A cell-to-cell communication, called quorum sensing is associated with the production of different virulence factors and the development of biofilms (Clinton and Carter [2015](#page-652-0)).

Wound infections are often caused when the epidermal barrier is removed or damaged due to some reasons. This damage invites microbial contamination and colonization in the wounds. Chronic wounds often fail to heal or delay the healing process owing to the development of chronic biofilms. In a previous report, wounds formed in patients suffering from cystic fibrosis were not healed or responded to antibiotic treatment. This was further explained that polymorphonuclear leukocytes and antibiotics failed to remove refractory biofilms of P. aeruginosa present in their chronic wounds (Li et al. [2020](#page-653-0)). According to the studies, only a small population of microorganisms surviving in the wounds are identified and others are yet to be revealed. If one could explore the whole microbiome involved in non-healing chronic wounds, more therapeutic strategies can be developed based on the type of host–pathogen interactions. A study by a group of researchers surveyed microbiome of 30 wounds found in humans. They could identify 12 different bacterial genera from wounds. A large population of microbes from wounds were strict and facultative anaerobes, among which many were not identified using standard culturing techniques and this provided an evidence of incredibly diverse microbial flora in chronic wounds (Dalton et al. [2011](#page-652-0)).

Wound microbiome conferring to various infections can be explored using various in vitro, in vivo and in silico approaches. The application of in vitro culture techniques enables to study the types of microbial flora or biofilms, immune responses of host, virulence factors of biofilms and to mimic the wound microbiome in laboratory for further analysis. In silico techniques including various sequencing strategies helps in the identification and detection of microbial population in genera or species wise and also target its various virulence traits. There are several established animal models to study the wound microbiome. Animal models are well suited to study single species or polymicrobial species-related infections in acute and chronic wounds (Dalton et al. [2011\)](#page-652-0).

There are several strategies to target biofilms at wound sites. Among them, some are thought to be involved in the sharp removal of biofilms which enhances the susceptibility of planktonic bacteria to antimicrobial therapy. But biofilms initiate to regain its mature form within 48–72 hours. BBWC, known as the Biofilm Based Wound Care is a treatment algorithm that properly focuses on the resistance of biofilms to antibiotics and highlights the necessity to develop successive and concurrent treatment methods (Hurlow et al. [2016](#page-653-0)). Traditional and current therapeutic strategies to control the growth of biofilms in wounds are discussed below.

### 3 Traditional Therapeutic Strategies

As the wound biofilm shows extreme tolerance to antimicrobial agents and therapy, potential strategies or agents are required to eliminate them from wounds. The available methods mainly target three steps: either prevent the formation of biofilms or removes the biofilms or kills the bacteria involved in biofilms. The antibiofilm strategies involving prevention include: aseptic methods and barrier dressings to prevent contamination, probiotics, QS inhibitors and anti-deposition agents to prevent expression of EPS and colonization and management of wound environment through pH, moisture and bacterial entrapment to prevent infection. The removal methods include biofilm disruption by physical (ultrasound methods, surfactants, biofilm entrapment etc.) or chemical methods (enzymatic, chelation methods etc.). Administration of topical antiseptics or systemic antimicrobial agents facilitates the inhibition or killing of biofilms (Metcalf et al. [2016\)](#page-654-0). Sharp debridement is one of the wound debridement techniques firstly employed to remove bacterial biofilms. In this first step, necrotic tissue, inactivated tissue, poor healing tissue and foreign bodies are removed in the clinical practice. This wound debridement helps in the prevention of initial attachment or colonization of bacterial biofilms, especially by Staphylococcus aureus and P. aeruginosa which may further cause secondary bacterial infections. Hydrosurgical debridement is developed recently to eliminate the disadvantage of other debridement techniques and this focuses on the painless removal of necrotic or damaged tissue (Schultz et al. [2018;](#page-655-0) Kim and Steinberg [2012;](#page-653-0) Caputo et al. [2008](#page-652-0)).

Negative pressure wound therapy is another extensively used method for the treatment of wounds from the past 20 years. The merits of this therapy involve improved blood flow near the wound site, enhanced growth of granulation tissue, reduced tissue oedema and effective reduction of wound bioburden (Matiasek et al. [2017;](#page-654-0) Han and Ceilley [2017\)](#page-653-0). Guoqi et al. [2018](#page-653-0) showed that negative pressure wound treatment decreased the production of virulence factors and biofilm components of P. aeruginosa and enhanced the wound healing in an in vivo model of rabbit

with ear biofilm infection. They used different atmospheric pressure of  $-75$ ,  $-125$ and  $-200$  mmHg which significantly reduced the motility of bacteria and improved the wound healing in rabbit ear (Guoqi et al. [2018](#page-653-0)). Ultrasound is another method employed to remove biofilms. In addition, antibiotics combined with ultrasound enabled the destruction of biofilm to a long extent. In a study, gentamycin activity on biofilms of P. aeruginosa and Escherichia coli was significantly enhanced by ultrasound. Also, ultrasound in clinical wound care achieved much attention in the last few years (LuTheryn et al. [2020](#page-654-0)).

Nanomedicine in biofilm wound care is also popular as other therapeutic strategies. Nanoparticles are nanosized particles that exhibited various bioactive properties. They are widely applied in the antibiofilm therapy against various bacteria. There are nanoparticles which designed as antimicrobial agents against bacteria found in wound surface or used for targeted drug delivery towards the wound biofilms owing to their unique physical and chemical properties. Several nanoparticles-based antimicrobial coatings or dressings are available as treatment methods for biofilms present in the wounds. For instance, silver nanoparticle dressings, a broad-spectrum dressing agent was considered to be the first choice of treatment for bacterial biofilms. Around 90% of bacteria in the wounds were killed, when 5 to10 g/ml of silver ion was used. The ability of silver ions to inhibit the growth of bacterial biofilms and to reduce the local anti-inflammatory effect, ultimately led to the rapid healing of the wounds (Koo et al. [2017](#page-653-0); Toy and Macera [2011\)](#page-655-0).

The mechanism of antibiofilm agents on the biofilm disruption is different. Some alter the cell envelop and some dislocate the matrix. There are some categories that encourages apoptosis. D-leucine and D-tyrosine act on cell envelope by interfering with the amyloid fibres required for the tight packing of biofilm structure. Zaragozic acid is another example of biofilm inhibitor which perturbs lipid rafts and cell membrane. Norspermidine, AA-861 and parthenolide are biofilm inhibitors that aids in the dislocation of EPS. Nitric oxide is an apoptosis-inducing biofilm inhibitor, which causes the disintegration of bacterial biofilms (Oppenheimer-Shaanan et al. [2013](#page-654-0)).

One of the antibiofilm agents, N-acetyl cysteine was successfully used to resolve chronic wound biofilms of *P. aeruginosa*. In this study, an in vitro biofilm system was employed which developed using the biofilms obtained from diabetic mouse chronic wounds. The action of this agent on wound healing was through the reduction of the biofilm mass and disruption of EPS. Thus, N-acetyl cysteine was able to mitigate the wound biofilms causing chronic infections in vitro (Li et al. [2020\)](#page-653-0). As EPS is the hallmark of biofilm structure, dispersion of biofilm EPS can result in the destruction of biofilms. The combination of dispersion agents with antibiotics is always thought to be a good therapy against wound biofilms. Beta amylase, an enzyme formed by oral bacteria has been reformulated as a dispersion agent as it targets exopolysaccharide bonds and disrupts biofilms. Deoxyribonuclease I, DNase and glycoside hydrolase dispersion B are few examples of EPS dispersing agents. Aminoimidazole, a synthetic dispersion agent showed potential activity against biofilms of S. aureus (Clinton and Carter [2015\)](#page-652-0).

Altogether, based on the data available so far, the first and most important step in the treatment of biofilms in the wounds is to apply antibiofilm agents, specific biocides and antibiotics which can physically remove the biofilms and/or later inhibit them. The evidence states that the management of superficial infections initiated with the design and development of topical antimicrobial agents such as polymyxin B, bacitracin and neomycin. The topical application of antibiofilm agents combined with antibiotics provided excellent results in biofilm wound care and this therapy is known to be ubiquitous (Jones and Kennedy [2012](#page-653-0)). Now, more attention is paid to the biofilm wound care to link the gap between wound clinics and in vitro microbiology conditions. This facilitated more therapeutic advancements in the field of biofilm wound care.

#### 4 Cell to Cell Signalling in Chronic Wounds

The production of various signalling molecules in the wound area leads to intercellular interactions among organisms which may lead to either single or multi species biofilms. The cell-to-cell communications in wound biofilms are mediated mainly by acyl-homoserine lactones or autoinducer 2 molecules. Acylated homoserine lactone molecules and furanone-based systems or autoinducer 2 are observed in cell-to-cell signalling by Gram negative and Gram positive bacteria. From the available reports, these two signalling molecules are found in the majority of the chronic wounds (Rickard et al.  $2010$ ). In general, quorum sensing (OS) is regarded as a biochemical or molecular cell-to-cell signalling or intercellular communications dependent mainly on the production of autoinducers outside the cell. The change in the host–pathogen interactions and pathogenicity occurs when the population of bacteria detects these signalling molecules and responds to it. Microorganisms exhibit antibiotic tolerance, chronic and persistent infections and enhanced pathogenicity through quorum sensing pathways. QS is also found in biofilms rendering more antimicrobial resistance to them. Other than their EPS matrix, biofilms escape from host immune response by activating QS pathways. The treatment of chronic wound infections is challenged by the biofilm-activated QS pathways (Ng and Bassler [2009](#page-654-0); Miller and Bassler [2001;](#page-654-0) Leid [2009](#page-653-0)).

The most common pathogens that worsen the healing of wounds and transforms into chronic wounds are *P. aeruginosa* and *S. aureus*. The quorum sensing systems of P. aeruginosa and S. aureus interfere with the healing of wounds. The roles of QS systems in the pathogenicity of wounds are studied using various wound infection animal models (Nakagami et al. [2011](#page-654-0)). In some circumstances, these two pathogens interact in the site of injury and leave a negative impact on the virulence through their multispecies interactions. The evolutionary dynamics among these pathogens light into the evolutionary successions, separation of niches and co-evolution of resistance, which helped them to modify the pathogenesis and damage on host. In addition, it also stated that the pathogen–pathogen interaction can also be competition other than the cooperation for resources (Rezzoagli et al. [2020](#page-654-0)).

The QS pathway is initiated when the concentration of autoinducer molecules reaches its threshold level and interacts with its cognate receptor proteins. The interaction of protein and its ligand, in turn, controls the regulation of several virulence factors. The major QS systems of P. aeruginosa are N-acyl-homoserine lactone (HSL) based RhlR-RhlI and LasR-LasI pathways, and the Pseudomonas quinolone signal (PQS) system. The LasI and RhII are two HSL synthases that mediate the synthesis of autoinducers, N-(3-oxo-dodecanoyl)-L-homoserine lactone and N-butanoyl-L-homoserine lactone, respectively. The QS-dependent virulence factors of P. aeruginosa are pyocyanin, pyoverdin, exotoxin, elastase, alkaline protease and staphylolytic proteases. Other QS and biofilm-dependent factors are rhamnolipids, alginate, exopolysaccharides, siderophores and different types of motility. These toxic factors help in the colonization, differentiation and pathogenesis of P. aeruginosa in wound niches (Lee and Zhang [2014\)](#page-653-0). There are clinical evidence showing interference of healing process in chronic wounds by QS path-ways of P. aeruginosa (Rickard et al. [2010](#page-654-0)). The categories at great risk to develop infections caused by QS systems of P. aeruginosa are patients with severe burns, complication of diabetes and chronic cutaneous wounds (Mihai et al. [2014;](#page-654-0) Weinstein and Mayhall [2003](#page-655-0)). As this pathogen is able to cause persistent infections and continuous inflammation, it is difficult to mitigate using commonly used antibiotics from chronic wounds (Chaney et al. [2017\)](#page-652-0).

Staphylococcus aureus is another commonly found pathogen in chronic wounds, which intrudes the wound healing process through the QS mediated virulence and toxin production. The QS pathway of this Gram positive bacteria is called as AGR (accessory gene regulator) system. The autoinducer molecules are peptides (AIPs or autoinducing peptides) encoded by agr gene. Accessory gene regulator causes the activation of several toxins, virulence factors and biofilm-mediated infections. The recalcitrance of these bacteria towards antibiotics is due to the activation of QS systems in biofilms and their interaction with innate immune responses and that leads to elevated inflammation (Kong et al. [2006;](#page-653-0) Yarwood et al. [2004\)](#page-655-0). Therefore, the role of QS inhibition in the management and treatment of chronic wound infections and its effect on wound healing are discussed below.

# 5 QS as Therapeutic Target to Treat Chronic Wound Infections

Thus, QS of these bacteria helps to attach, colonize and proliferate within the wound tissues through the production of cell-associated and extracellular virulence factors. The inhibition of QS pathways in wound surfaces aids in the anti-infection therapy to reduce the inflammation and delayed wound healing. Quorum quenching or inhibiting agents (QSIs) are mainly classified into two groups. They are signal supply and response inhibitors (Rutherford and Bassler [2012;](#page-655-0) LaSarre and Federle [2013\)](#page-653-0). The process of quorum quenching is defined as the enzymatic cleavage of QS

signals, which abolish their signalling process. AHL lactonases and acylases are two quorum quenching enzymes that chop HSL rings and amide bond of AHL respectively (Nusrat et al. [2011\)](#page-654-0). There are enzymes that alter the activity of AHL such as AHL reductases and oxidases. Thus, these QSIs block cell–cell signalling among bacteria or other microbes such that only host–pathogen interaction is altered through decreased virulence factor production without impeding the growth of bacteria. Thus, later immune cells eradicate these bacteria, which are less virulent and more antibiotic susceptible (Brackman and Coenye [2014;](#page-652-0) Das and Singh [2018\)](#page-652-0).

Several animal models of wound infection are employed to examine the effect and inhibition of QS in wound healing and to correlate the type of clinical infection. However, studies related to QS inhibition in the wound healing are scarce. The mechanism of action of QS inhibitor or quenching molecules is through the inhibition or reduction of virulence factor production and biofilm formation. The application of QS inhibitors along with clinical antibiotics as adjuvant or combination therapy yields promising results. Yet, their ability to repair epithelial infection is not elucidated (Kalia [2013](#page-653-0); Bhardwaj et al. [2013\)](#page-652-0). The ability of QS inhibitor to abrogate the production of various factors and its effect on injured airway epithelial cells was investigated by Ruffin and co-workers in 2016 (Ruffin et al. [2016\)](#page-654-0). Different strains of P. aeruginosa from laboratory and clinical CF and non-CF samples were used to assess the effect of 4-hydroxy-2,5-dimethyl-3(2H)-furanone on wound healing, proliferation rates, migration rates and repair of human airway epithelial cells of primary non-cystic fibrosis. The study showed a decrease in QS through the repair of airway epithelial cells. Finally, they concluded, that the inhibitor was able to act on bacterial exoproducts and counteracted with its harmful effect on wound repair. Altogether, they suggested the use of human airway epithelial cells from cystic fibrosis patients as a clinically significant pathological model to assess chronic Pseudomonal infection in wounds (Ruffin et al. [2016](#page-654-0)).

The role of traditional folk medicine and herbal formulation in wound care was always fascinating. There are few researchers still interested in exploring the herbal medicine in wound care in the modern era. Since pre-historic era, people found traditional and complementary medicine as adorable and affordable with maximum efficiency and even after the advent of modern medicine (Dorai [2012](#page-652-0)). A group of researchers investigated the anti-infective potential of herboheal (a polyherbal formulation) on wound infective bacteria. Herboheal inhibited the production of QS-associated pigments by multidrug-resistant bacteria causing wound infections. Herboheal interfered with the QS signal response of C. violaceum, P. aeruginosa and S. marcescens. This herbal-based preparation also reduced virulence in nematode model, Caenorhabditis elegans infected with P. aeruginosa. Overall, this study validated the use of herboheal as a wound care formulation against these bacterial infections (Patel et al. [2019](#page-654-0)).

Another polyherbal preparation named as *Panchvalkal* is mentioned in Indian traditional medicine. This herbal formulation affected the QS-associated virulence factors of P. aeruginosa in vitro and in vivo. Around 14% of the pathogen genome was significantly affected by *Panchvalkal* formulation. This formulation was able to rescue C. elegans from P. aeruginosa mediated killing. Also, the formulation
enhanced the susceptibility of P. aeruginosa to different antibiotics such as tetracycline and cephalexin (Joshi et al. [2019\)](#page-653-0). Panchvalkal formulation enhanced the wound healing cycle by reducing the burden of microorganisms associated with the wounds. In a study, some bacteria associated with wound infections such as Chromobacterium violaceum, S. aureus and Serratia marcescens were reduced significantly by the QS modulating property of *Panchvalkal*. Thus, suggested the application of Panchvalkal formulation in the treatment of wound infection and delayed wound healing (Patel et al. [2018](#page-654-0)). Manuka-type honeys always gained attention in wound care due to their broad-spectrum antimicrobial properties and low capacity to develop microbial resistance. Sugars present in honey are associated with the reduction of QS and biofilms in *P. aeruginosa*. Likewise, honey is proposed as a promising agent for wound dressings to treat the wound infections caused by this bacterium (Lu et al. [2019](#page-653-0)). In a similar study, honey showed a potent effect on virulence factors (exotoxin) and QS systems  $(LasR \text{ and } Rh/R)$  of P. aeruginosa (Ahmed and Salih [2019](#page-652-0)).

Abbas and Shaldam [2017](#page-652-0) investigated the ability of Glyceryl trinitrate to inhibit QS systems of P. aeruginosa and five more clinical strains of P. aeruginosa from burn wound infections. Glyceryl trinitrate is a FDA accepted drug with antimicrobial and wound healing activity. Also, this compound possesses antifungal activity and antibiofilm activity against *Candida albicans* and *P. aeruginosa*, respectively. This compound showed QS quenching property against Chromobacterium violaceum through the reduction of pigment, violacein. This indicated the effect of glyceryl trinitrate on QS inhibition. They also showed a decrease in the production of several virulence determinants including pyocyanin and protease. Computational approaches exposed the strong affinity of glyceryl trinitrate to bind with the LasR and RhlR regulators in comparison to the native ligands. Therefore, they recommended the use of this QSI as a topical or systemic agent for the treatment of burn infections caused by the drug-resistant P. aeruginosa and its biofilms (Abbas and Shaldam [2017](#page-652-0)).

In the below section, animal models investigated to study the impact of QS inhibition in chronic wound infections are discussed.

# 6 Animal Models to Study QS Interference in Chronic Wounds

There are several advantages of using animal wound healing models over human models. They enable to elucidate the mechanisms of wound regeneration and repair, to test the efficiency and safety of new therapeutic methods and allows to use animals with impaired wound healing regardless of harmful effect, which is strictly prohibited in the use of humans (Grada et al. [2018\)](#page-653-0). There are several murine models used for assessing the QS regulated pathogenesis of P. aeruginosa and its inhibition through antibacterial, anti-persister or anti-virulence therapy. These therapies serve

important roles in the drug development trials against multidrug-resistant P. *aeruginosa*, which are the need of the hour. The different murine wound models practiced so far to evaluate/assess the therapeutic efficacy of QS inhibitors and antibiotics are, role of QS systems in infection, efficacy of inhibitors of antibiotic tolerance, therapeutic efficacy of antibacterial agents against acute pneumonia and effectiveness of combined topical and systemic antibiotic agents are full-skin thickness burn injury model, abdominal burn and infection model, murine persistent/ relapsing full-skin thickness burn injury model, large area burn wound model, acute lung infection and open wound infection model of P. aeruginosa (Maura et al. [2018\)](#page-654-0).

The quantification or presence of autoinducers in the wounds is a directive indicative of QS and severity of infections. Researchers quantified autoinducer, HSL produced as a result of QS signal of P. *aeruginosa* in a rat ischemic wound infection model. The study revealed the ability to speculate the severity of infection by QS pathway and this data could be applied to suggest the exact treatment to abolish QS signalling in chronic wound infections (Nakagami et al. [2008\)](#page-654-0). In a study, sodium salicylate was reported to interfere with QS regulated virulence factor production in chronic wound infections caused P. aeruginosa in a simulated wound fluid. The QS inhibitor decreased virulence phenotypes and expression of virulence genes contributed by Las, Rhl and Pqs systems. There were other bacterial strains isolated from wounds that also produced QS signals. The QS inhibitor was active against most of the wound strains other than  $P$ . *aeruginosa*. Thus, the study suggested the use of sodium salicylate as QS inhibitory agent against wound infections caused by a broad range of bacteria and serves as an alternative to the traditional antibiotic therapy (Gerner et al. [2020](#page-653-0)).

Green tea is one of the popular drinks worldwide owing to its exceptional benefits on human health. In addition to this, traditional Chinese medicine has tagged tea with medicinal properties and as a healthful drink. One of the tea phytochemical, polyphenols holds potential biological properties such as antimicrobial, neuroprotective, anti-inflammatory, anti-carcinogenic, antioxidant and cholesterolreducing properties. Yin et al. [2015](#page-655-0) investigated the ability of tea polyphenols to block the QS pathways and to interrupt QS regulated virulence factor production by P. aeruginosa. The prophylactic potential of these phytochemicals was evidenced with reduced bacterial pathogenesis in in vivo infection models of C. elegans and excision wound mice. A significant decrease in biofilm development, elastase production, total proteolytic activity and motility and enhanced wound healing were witnessed in wound infection models. The study potentiated the application of tea polyphenols as a QSI in the treatment of infections caused by P. aeruginosa (Yin et al. [2015\)](#page-655-0).

The efficacy of QSIs which target signal biosynthesis to prevent the bacterial infections of chronic wounds was demonstrated using a murine burn wound model of P. aeruginosa infection. In this study, topical application of lactonases and ciprofloxacin together, reduced the systematic spread of bacteria and morality in murine burn wound model. The combined topical application of enzymes and antibiotics inhibited the population so that quorum was not formed and thus

prevented its spread. Therefore, the study provided more light into the quenching of QS to treat chronic wound infections (Gupta et al. [2015\)](#page-653-0). Wang and co-workers elaborated the anti-QS potential of chlorogenic acid on P. aeruginosa using in vitro, in silico and C. elegans and mouse wound infection models. Chlorogenic acid protected the nematode from death from the colonization of pathogen in the gut and its pathogenesis. Also in wound infection model, the reduced pathogenicity of P. aeruginosa was supported by strong hastening effect in wound healing cycle. The study concluded the possibility of using chlorogenic acid in anti-virulence therapy in chronic wound infections caused by P. aeruginosa (Wang et al. [2019](#page-655-0)).

QS pathway was targeted in another study which coordinately regulated the virulence determinants of the pan-resistant pathogen, P. aeruginosa. Halogenated anthranilic acid (primary precursor in the biosynthetic pathway involved in PQS systems) analogues were used in the above study which significantly inhibited the PQS pathway and downregulated the expression of genes associated with multiple virulence factor regulator,  $Mv$ fR. The thermal injury mice model which received the bacterial infection could restrict the systemic dissemination of bacteria and reduced the mortality rate when administered with anthranilic acid analogues. Also, the QSI showed increased osmosensitivity of other clinically relevant pathogens. Thus these analogues could be exploited in future for the design and development of potential anti-infective agents to block the infections caused by P. aeruginosa and other clinically relevant pathogens (Lesic et al. [2007](#page-653-0)).

Quorum sensing agents combined with antibiotics are used as adjuvant therapy for enhanced healing of infected wounds. Thus in a study, RNAIII inhibiting peptide (RIP) along with tigecycline unveiled negative effect on methicillin-resistant S. aureus (MRSA)-linked murine wound infection model. RIP is thought to be interfering with the agr system of MRSA. FS10, a RIP-derived compound along with antibiotic reduced the formation of biofilm and production of toxins by MRSA in the murine model. The animal group which received FS10 soaked and parenteral administration of tigecycline exhibited significant healing, more epithelialization and collagen deposition. This was correlated to the reduced bacterial load in the test groups in comparison to the control groups. In the future, topical application of FS10 and parenteral administration of tigecycline as adjuvants may show positive effect on wound infections caused by MRSA through a novel therapeutic target known as QS (Simonetti et al. [2016\)](#page-655-0). Likewise, another RIP derivative FS8 and tigecycline were combined and tested its anti-QS efficacy in a rat model with staphylococcal vascular graft infection to prevent prosthesis biofilm. The QS inhibitor, FS8 was recommended as an adjuvant for traditional antibiotics to remove staphylococcal biofilm infections from wounds (Simonetti et al. [2013](#page-655-0)).

A group of researchers reported that the contents present in the extract of Brazilian pepper tree could be used as an anti-virulence agent to treat MRSA skin and tissue infections, which are resistant to antibiotics. Brazilian pepper tree has already found a place in Brazilian Pharmacopoeia and it was considered always as chief in the Brazilian traditional medicine. This is owing to the medicinal properties of tree such as their anti-inflammatory and anti-septic properties in the healing of ulcers and wounds. A flavone-rich extract named 430D-F5 quenched the agr system

of S. aureus and subsided the dermonecrosis in mouse skin infection model. The study explained the wide potential of non-biocide inhibitory agents in the management of skin infections (Muhs et al. [2017](#page-654-0)).

Anti-virulence strategy targeting the biosynthesis of signal involved in QS of Gram negative pathogens is always a best treatment modality. Todd et al. [2017](#page-655-0) identified a small molecule lead, ambuic acid which significantly inhibited the production of AIP in clinically significant pathogen, MRSA. They also demonstrated the same in a mouse model of MRSA skin challenge model. The QS inhibitor was able to prevent the tissue injury induced by MRSA in the animal model. Together, the outcome of work introduced a new lead for the development of QS inhibitor which targets the biosynthesis of AIP by Gram positive pathogens. Also, they exposed the possibility to convert in translational medicine for skin infections (Todd et al. [2017](#page-655-0)).

#### 7 Future Directions and Conclusions

There are several studies dealing with the anti-QS activity of compounds or drugs in the prevention of chronic wound biofilms and associated infections in vitro conditions. Quorum sensing is regarded as a virulence strategy produced by several multidrug-resistant pathogens and its biofilms. The inhibition or quenching of quorum sensing is considered as an effective tactic to reduce and prevent the chronic infections of wounds. Among several wound infection models available, murine models are recommended to study the impact of QS and the effect of QSIs on drugresistant bacteria. This is also due to the close physiological similarity between humans and mice. They are important as pre-clinical experiments before the human clinical trials. To date, little information is available on different animal models of wound infection. Their application in the screening steps of QSIs is dramatically reduced due to practical and ethical concerns. Another problem with animal models relies on the difficulty to compare the outcome with the clinical infections. This is owing to the need for a high load of microorganisms to mimic infections in the in vivo model and the terrible physical and mental traumatization faced by the animals. In this context, other animal models should be recommended or developed as an alternative to murine and rat models to screen different QSIs.

Several natural quorum sensing inhibitors were tested successfully against multidrug-resistant pathogens. Nevertheless, more insights are required for the application of natural QSIs to treat wound infections, as these molecules may be less toxic for topical or systemic application. In addition, more investigations are required to extract the full potential of anti-QS agents along with the antibiotic adjuvants against drug-resistant bacteria. The translation of QSIs and antibiotics into therapeutic dressing materials would be a potential method to prevent and reduce the bacterial biofilms in chronic wounds. Integration of QSIs to other antimicrobial therapy could serve as an excellent strategy to remove antibiotic-resistant strains. Antimicrobial photodynamic therapy (aPDT) is emerged as an alternative cure to <span id="page-652-0"></span>eliminate antibiotic-resistant strains. aPDT requires a photosensitizer or drug which will get activated in presence of oxygen and light and leads to the generation of reactive free radicals. Thus, antimicrobial photodynamic therapy along with photoactive QSIs could be applied to treat wound infections which prevent the generation of resistant strains in the future. Also, future studies dealing with the depth of QSIs in wound care would explore a different arsenal of therapeutic agents in comparison to the conventional antimicrobial agents.

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# Biofilm: A Challenge to Overcome in Wound Healing



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# 1 From Planktonic to Biofilm

Nature is often unfavourable due to its unpredictability. Everyone prefers to follow the principle of 'strength through unity' for combatting it rather than individually. Microbes are also not out of this rule as they are commonly found in the form of multicellular aggregates known as biofilm. This is a cluster of microbial cells attached to various biotic and/or abiotic surfaces and interfaces by the formation of a protective extracellular polymeric substances [EPS] matrix (Costerton et al. [1999;](#page-669-0) Stoodley et al. [2002](#page-672-0); Hall-Stoodley et al. [2004](#page-670-0)). Many bacterial species transform themselves from planktonic to biofilm, depending on the physical stress in their growing environment and to take advantage of the greater nutrients' availability from the substrata. The biofilm mode of lifestyle can also afford UV exposure, metal toxicity, acid exposure, dehydration and it could restrict the penetration of antibiotics and antimicrobial agents as well (Grossart [2010;](#page-669-0) Teschler et al. [2015;](#page-672-0) Yin et al. [2019\)](#page-672-0). Hence, bacterial biofilms remain a major concern in a broad range of area like the environment, food industry and especially the biomedical sector.

# 2 How this Lifestyle Grows Up?

Microbial species adopt a wide variety of mechanisms through which they can contact a surface, firmly attach, promote cellular communications and initiate growth as a complex structure. In contrast to the establishment of individual microorganisms

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<sup>©</sup> The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2021 P. Kumar, V. Kothari (eds.), Wound Healing Research, [https://doi.org/10.1007/978-981-16-2677-7\\_21](https://doi.org/10.1007/978-981-16-2677-7_21#DOI)



Fig. 1 Schematic diagram depicting the stages involved in biofilm development

by classical competition of natural selection, the bacterial community in a biofilm develops entirely by self-organization and cooperation (Hall-Stoodley et al. [2004;](#page-670-0) Jefferson [2004](#page-670-0)). The formation of biofilm follows a sequence of mechanical, chemical and biological processes. Microbes enter into biofilm state through five stages (Fig. 1), which is initiated by a preliminary reversible attachment, then an irreversible attachment followed by maturation stage I, maturation stage II and finally dispersion of the bacterial consortium within the developed biofilm (Sauer et al. [2002](#page-671-0); Stoodley et al. [2002;](#page-672-0) Kaplan [2010\)](#page-670-0). The initial two stages involve with the adhesion of planktonic microbial cells to the substratum by physical forces like van der Waals forces, steric interactions and electrostatic interactions (Marić and Vranes [2007](#page-671-0); Garrett et al. [2008\)](#page-669-0). It can also attach to the surfaces employing bacterial appendages such as pili or flagella, which is further modulated by different types of factors like temperature, pressure and surface functionality. Hydrophobic interaction between the surface and the bacteria plays an important role in biofilm cells aggregation where the bacteria can adhere to a hydrophobic nonpolar surface through this interaction (Garrett et al. [2008](#page-669-0); Tribedi and Sil [2014](#page-672-0)). The extent of microbial attachment is greatly influenced by cell surface hydrophobicity which is further strengthened by the presence of extracellular filamentous appendages. Hydrophobic interactions act by increasing the non-polarity between the adhering surface and the attached microbial cells. Concisely, microbial cells initiate biofilm formation with a loose adhesion followed by specific, strong and irreversible binding to a particular substratum (Hall-Stoodley et al. [2004\)](#page-670-0).

The first two stages of biofilm development are followed by maturation stages with the construction of complex architecture, channels and pores (Davies [1998\)](#page-669-0). This phase witness the onset of microbial communications by the production of autoinducers that orchestrate the expression of biofilm-specific genes (Dubern and Diggle [2008](#page-669-0); Joo and Otto [2012;](#page-670-0) Parai et al. [2018](#page-671-0)). This particular phase involves a set of precisely synchronized events which includes the development of EPS matrix to stabilize the biofilm network along with the production of extracellular DNA (eDNA) for cellular communication and stabilization of biofilm. Experimental evidence has reported that young Pseudomonad biofilms are an easy target for DNase treatment than their mature counterparts which suggest the stabilizing role played by eDNA during the initial stages of biofilm formation when EPS components are barely present (Whitchurch et al. [2002](#page-672-0); Gloag et al. [2013\)](#page-669-0). Irreversible adhesion leads to cellular aggregation followed by the formation of microcolonies. In the maturation stage, biofilms adapt to the external environment by manipulating their integral structures, physiological aspects and basic metabolism. The final stage in the lifecycle of biofilm is the dispersion phase where the bacterial cells disseminate from the biofilm matrix and revive to their motile form from their sessile form. Various biochemical changes occur within the dispersing biofilm such as the production of different saccharolytic enzymes responsible for the degradation of stabilizing polysaccharides, thereby aiding the colonization of the bacterial cells to new surfaces (Sutherland [2001](#page-672-0); Guilhen et al. [2017](#page-670-0)). The dispersal strategies can be broadly explained by three different mechanisms, namely seeding, erosion and sloughing. Seeding dispersal was best explained in non-mucoid Pseudomonas aeruginosa biofilms, where the microcolonies differentiate to form stationary bacteria of sessile phenotype, and the inner region containing liquefied microcolonies of motile cells. These planktonic motile cells then come out of that colony structures via swimming which leaves a hollow mound inside. Staphylococcus aureus exhibit erosion dispersal where their biofilm aggregates surrounded by EPS are found to be continuously shed from the core structure. These dispersed cells are found to have physiological similarity with the biofilm rather than their planktonic parts. Another strategy for biofilm dispersal happens in the later phase of biofilm development where a large portion of the biofilm detaches suddenly from the core aggregation. Although it is known that single cells can actively move across surfaces through gliding and twitching motility in some species, there are pieces of findings for shearmediated motion exhibited by P. aeruginosa and mixed-species biofilms (Hall-Stoodley et al. [2004](#page-670-0); Kaplan [2010\)](#page-670-0).

#### 3 Antimicrobial Resistance of Biofilm

Biofilms show increased survival and resistance to conventional antibiotics mainly by the protection conferred by EPS. Biofilm forming bacteria have a general trait of increased antibiotic resistance (Stewart and William Costerton [2001](#page-672-0); Davies [2003\)](#page-669-0). Biofilm cells are 10 to 1000 times less susceptible to a specific antibiotic as compared to their planktonic counterparts (Gilbert et al. [2002](#page-669-0)). Several mechanisms are accounted for the drug resistance mechanisms conferred by biofilm, viz. (i) formation of chemical and physical barriers against antibiotic penetration; (ii) evolution of resistant phenotypes called persister or mutator cells; (iii) antimicrobial agent inactivation by chemical modification, hydrolysis, alteration of the target sites and activation of efflux pumps; (iv) presence of eDNA to chelate cationic antibiotics; (v) expression of antibiotic resistance genes and (vi) heterogenicity in biofilm cells population which indeed trigger stress responses under unfavourable conditions like low oxygen and nutrients (Mah and O'Toole [2001;](#page-671-0) Davies [2003;](#page-669-0) Høiby et al. [2010\)](#page-670-0). Even sub-inhibitory concentrations of aminoglycosides could induce biofilm formation in Escherichia coli and P. aeruginosa as part of their defensive mechanisms (Hoffman et al. [2005\)](#page-670-0). Since biofilm formation is common for most bacterial pathogens found in chronic wound infection, the increasing antibiotic resistance of biofilm is a serious concern in wound healing (Donlan [2001;](#page-669-0) Metcalf and Bowler [2013\)](#page-671-0).

#### 4 Biofilm and its Clinical Relevance

The adherent behaviour of bacteria is more predominant and a clinically relevant process over the planktonic one. Biofilms have severe harmful pathogenic manifestations on human health, that contribute to almost 80% of the total chronic and recurrent microbial infection (Römling and Balsalobre [2012\)](#page-671-0). This is mainly due to the increased antibiotic resistance of biofilm compared to its planktonic counterparts from the same bacterial culture (Hall-Stoodley and Stoodley [2009;](#page-670-0) Vasudevan [2014\)](#page-672-0). Biofilm formation has been robustly found in diseases like otitis media, dental caries, chronic wound infection, osteomyelitis, chronic rhinosinusitis, recurrent urinary tract infection, endocarditis, cystic fibrosis-associated lung infection and many more. Some common bacteria which are frequently produced biofilm during the establishment of the disease include S. aureus, P. aeruginosa, E. coli, Staphylococcus epidermidis, Streptococcus sp., Candida sp. A complete list can be found in Table [1.](#page-660-0) Biofilms are also a major concern in clinical settings causing medical device-related infections. Surface depositions of biofilm on medical devices like intravenous catheters and cardiac pacemakers were first observed by an electron microscope in the early 1980s (Donlan and Costerton [2002\)](#page-669-0). Microorganisms like S. epidermidis and S. aureus are most frequently found in association with medical devices, followed by P. aeruginosa and an array of other opportunistic pathogens which cause infections during an invasive medical intervention or chemotherapy in an immune-compromised individual. Biofilm formation on medical implants has even led to the characterization of a new infectious disease called chronic polymerassociated infection. Medical devices that are well documented as biofilm substratum are mainly intravenous catheters, cardiac pacemakers, joint prostheses, prosthetic heart valves, peritoneal dialysis catheters, dentures, contact lenses, cerebrospinal fluid shunts and endotracheal tubes (Donlan and Costerton [2002;](#page-669-0) Litzler et al. [2007;](#page-671-0) Veerachamy et al. [2014](#page-672-0); Yadav et al. [2020\)](#page-672-0).

Disease name	Biofilm forming microorganism	References
Cystic fibrosis	Staphylococcus aureus, Pseudomonas aeruginosa, Haemophilus influenzae, Streptococcus pneumoniae	Ciofu et al. (2015)
Chronic wound infection	S. aureus, P. aeruginosa, Enterococcus faecalis, Proteus spp.	James et al. (2008a, b)
Chronic otitis media	S. aureus, P. aeruginosa, Staphylococcus epidermidis, S. pneumoniae, H. influenzae, Moraxella catarrhalis	Thornton et al. (2011)
Endocarditis	Streptococcus spp., Staphylococcus spp., Candida spp., Aspergillus fumigatus	Donlan and Costerton (2002)
Periodontitis and dental caries	Fusobacterium nucleatum, Peptostreptococcus micros, Bacteroides intermedius, Bacteroides forsythus, Porphyromonas gingivalis, Tannerella forsythia, Treponema denticola, Bifidobacterium denticum	Colombo and Tanner (2019)
Pleuropulmonary infections	P. aeruginosa, S. aureus, H. influenzae, Candida albicans, S. pneumoniae, Klebsiella sp., Escherichia coli, Acinetobacter sp., A. fumigatus	Boisvert et al. (2016)
Osteoarticular infec- tions (osteomyelitis)	S. aureus, Streptococcus pyogenes, H. influenzae, Enterococcus spp.	Brady et al. (2008)
Skin infection	S. aureus, S. epidermidis, Propionibacterium acnes	Coenye et al. (2008)
Rhinosinusitis	S. aureus, S. pneumoniae, H. influenzae, M. catarrhalis	Maina et al. (2018)
Urinary tract infection	E. coli, Klebsiella pneumoniae, Proteus mirabilis, Serratia spp., P. aeruginosa, S. aureus, E. faecalis	<b>Hatt and Rather</b> (2008)
Medical device- related infection	S. aureus, S. epidermidis, P. aeruginosa, K. pneumoniae, E. faecalis, C. albicans, P. mirabilis	Jamal et al. (2018)

<span id="page-660-0"></span>Table 1 List of biofilm-associated diseases and their causative organisms

# 5 Characteristics of Chronic Wounds

The physiological process of wound healing is attributed to four overlapping phases of haemostasis, inflammation, proliferation and repair of the matrix involving epithelialization and remodelling. A chronic wound can be defined as a wound that is restrained from progressing through an inflammatory stage to its next subsequent stages, thereby disrupting the orderly events of wound healing (Attinger and Randy [2012\)](#page-668-0). Depending upon their aetiologies and pathogenesis, they can be categorized into three groups: venous leg ulcers, diabetic foot ulcers and pressure ulcers. Owing to their unique origins, non-healing chronic wounds are unlikely to have a customary cure for all its types. However, non-healing chronic wounds share certain common features, such as the release of excessive levels of proinflammatory cytokines by the necrotic tissues, proteases, reactive oxygen species (ROS) and senescent cells. The situation is worsened by the collateral existence of persistent infections along with a deficiency of functional stem cells. The constant influx of immune cells are secondarily stimulated by repetitive tissue injury that ends up in a prolonged proinflammatory cytokine cascade which further elevates the level of proteases (Frykberg and Banks [2015\)](#page-669-0). Inside acute wounds, proteases are meticulously regulated by their inhibitors but these protease levels surpass the inhibitor counts in case of chronic wounds. Proteases are tightly regulated by their inhibitors in acute wounds but in the case of chronic wounds, protease levels exceed their respective inhibitor counts. This causes the destruction of extracellular matrices (ECM), and the degradation of the growth factors and their receptors. The feature prevents the wound from proceeding into the proliferative phase and also attracts further inflammatory cells, thus lengthens the inflammatory stage (Mccarty and Percival [2013\)](#page-671-0). Similarly, increased ROS production in chronic wounds damages the ECM proteins and causes other cell damages instead of providing defence against microorganisms (Schreml et al. [2010\)](#page-671-0). Fibroblasts are essential cells in the wound healing process, which in case of venous leg ulcers and pressure ulcers become phenotypically altered and exhibit senescence with diminished ability to proliferate for the formation of granulation tissue (Stanley and Osler [2001](#page-672-0); Clark [2008\)](#page-669-0). Additionally, non-functional senescent keratinocytes at the periphery of wounds proliferate, but they cannot fully differentiate into migrating keratinocytes (Morasso and Tomic-canic [2005](#page-671-0)). These ultimately advance the epithelial build-up, often seen around the edge of the chronic wounds (Attinger and Randy [2012\)](#page-668-0). Mesenchymal stem cells (MSC) are reported to have a crucial role in wound healing (Ennis et al. [2013\)](#page-669-0). But deficient and defective MSCs in case of chronic wounds are found to obstruct the remodelling of microvasculature for which they get recruited into the circulation in response to injury. Hence, the key to reverse the chronicity of non-healing wounds is by exploring the underlying molecular and physiological mechanisms behind the phenomenon of non-healing and restoring the optimal balance of cytokines, growth factors, proteases and metabolically competent cells which can, in turn, improve the extent of healing.

### 6 Biofilm in Chronic Wounds

The assemblage of three-dimensional bacterial biofilm is the prevailing phenotype of bacteria in a chronic non-healing wound bed fused with the extracellular matrix. This sessile form of bacteria assists its inhabitation in a chronic wound more proficiently than its planktonic counterparts (Wei et al. [2019a,](#page-672-0) [b\)](#page-672-0). Evidence has confirmed the presence of bacterial biofilms in chronic wounds by experimentally inducing it in animal models along with the demonstration of clinical wounds (Wright et al. [1999;](#page-672-0) Thomson [2011](#page-672-0); Metcalf et al. [2014\)](#page-671-0). Various topologically distinct types of wound biofilm viz. static, colonized, slimy, pigmented found in human chronic infections are represented in Fig. [2](#page-662-0). Bacterial biofilm levels up the severity of chronic wounds by diverse factors starting from the heterogeneous nature of biofilm consortium to interspecies relation within that mixed population. The presence of biofilm gradient and persister cell community, incompetent antimicrobial penetration along

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Fig. 2 Different visualization of wound biofilm. A static, non-progressing wound on a stable diabetic patient. Arrows indicate the suspected appearance of biofilm (a). A heavily colonized biofilm in wound bed of a stage IV pressure ulcer. Arrow indicates a possible biofilm layer with a slightly thicker and opaque appearance (b). An ischaemic and infected wound with suspected biofilm-forming through and over a previously-applied gauze dressing (c). A chronic wound bed with green pigmented biofilm (d). Viscous, pale, green-blue, slimy suspected biofilm covered on a forefoot amputation (e). Wound surface exhibited yellow suspected biofilm, possibly mixed with slough as found in a failed surgical wound (f). Biofilm re-formed quickly over granulation tissue in an ischaemic wound  $(g)$ . The same suspected biofilm could be removed atraumatically using forceps to reveal the granulation tissue beneath (h). Images are reproduced with kind permissions from authors (Metcalf et al. [\(2014](#page-671-0)) and the Journal of Wound Care

with variable pathogenesis imposed by respective residing microorganisms further intensifies the chronicity. The spread of infection is not dependent on the number of species present within the biofilm, rather it can be regulated by the abundance in normal skin microflora (Brandwein et al. [2016\)](#page-669-0). Though some pathogenic species (notably S. aureus and P. aeruginosa) can replace the harmless skin commensals and cause infection, which then requires probiotic therapy in the form of re-colonization with 'healthy commensal' bacteria (Krutmann [2009\)](#page-670-0). Interspecies relation is another important factor that determines the net behaviour of the community whether they belong to 'functional equivalent pathogroups' where they act synergistically leading to a chronic wound (Lewis [2005\)](#page-670-0). Most of the chronic wounds are persistent infections and the biofilms found here are pre-formed (Ito et al. [2009;](#page-670-0) Li et al. [2020\)](#page-671-0). Inhibition of biofilm formation is always easier than to eradicate a pre-formed or mature biofilm which already consists of a special type of cells called persister cells which neither grow nor die easily. Moreover, these cells are almost resistant to conventional antibiotic dosages which further emerge the threat of antimicrobial resistant strains when tried with higher dosages for their removal in clinical settings. In vitro studies have found that a test antibiofilm compound can disperse a pre-formed biofilm only in higher concentration compared to the dose required for the inhibition of the same biofilm during formation (de la Fuente-Núñez et al. [2014;](#page-669-0)

Algburi et al. [2017](#page-668-0)). One of the major reasons behind this is the reversible features of the first two phases in a biofilm developmental pathway as discussed earlier. Once it starts to establish the complex interactions among the cell population and modulates phenotypically, it becomes ready to escape and endure regular antibiotic treatments (Keren et al. [2004;](#page-670-0) Percival et al. [2011](#page-671-0); Olsen [2015\)](#page-671-0). Clinical studies have also reported re-emergence of biofilm communities from wound bed even after their successful removal following surgical debridement of chronic wounds. Additionally, biofilms in chronic wounds may differ from the types of infection hindering the application of specific treatment approaches for chronic wounds (Wright et al. [2002\)](#page-672-0).

#### 7 Role of Biofilm Matrix in Wounds

The prolonged persistence of bacterial biofilm interferes with the potentiality of their treatment within chronic wounds. Bacterial biofilm can invade such wounds earliest by 10 hours after its inception, and persist until the wound remains open (Harrison-Balestra et al. [2003](#page-670-0); Kim and Steinberg [2012\)](#page-670-0). An array of growth associated factors like oxygen, nutrient, pH, osmolality and chemical agent concentration regulates the microenvironment of a biofilm. The main reason behind this prevalence is biofilm composition, which includes EPS along with proteins, polysaccharides, lipids, eDNA, water, ions and nutrients. This concomitantly determines the types and stages of microorganisms present within the biofilm matrix (Percival et al. [2011;](#page-671-0) Jensen et al. [2017](#page-670-0)). Biofilms evade antimicrobial challenges by multiple mechanisms as discussed earlier, of which the most important being resistance imparted by EPS. Incomplete penetration of the antimicrobials to a chronic wound completely differs from its acute state in terms of susceptibility towards antimicrobials. The inert nature of EPS retards the diffusion of antibiotics, making biofilms a predominant cause of persistent infections (Metcalf and Bowler [2013;](#page-671-0) Mendoza et al. [2019](#page-671-0)).

# 8 Prevalence, Detection and Management of Biofilm in Wounds

Bacterial biofilms are one of the most predominant causes behind delayed healing of approximately  $60-80\%$  of chronic non-healing skin wounds (James et al. [2008a,](#page-670-0) [b;](#page-670-0) Brandenburg et al. [2018\)](#page-669-0). Factors like wound size, position, moisture content, host immunity, comorbidity can influence the chronicity and healing time of wound infection. The microenvironment of chronic wounds facilitates biofilm form of bacterial growth, as it accommodates necrotic debris with low oxygen tension and dampened but sustained host immune response (Wu et al. [2019](#page-672-0)). The lethality of a chronic wound may vary from prolonged inflammation to debridement and even amputation of distal limbs in extreme cases. However, the prevalence of biofilm in the case of acute wounds is not at par with their chronic counterparts. About 60% of chronic non-healing wounds requiring debridement are connected with biofilms, while 6% of acute wounds were identified to be laden with biofilm (James et al. [2008a](#page-670-0), [b](#page-670-0); Metcalf and Bowler [2013](#page-671-0)).

Detection of biofilm in chronic wound infection mainly focuses on two primary aspects: biofilm localization and identification of the causative organisms. Although the presence of biofilms have been reported widely in a majority of chronic non-healing wounds, yet there is a lack of precise techniques to achieve these two primary goals (Hurlow et al. [2016](#page-670-0)). Tissue biopsy from debrided wound base is crucial for precise identification of the causative organism other than inefficient superficial wound swabbing (Lipsky et al. [2012](#page-671-0); Høiby et al. [2014](#page-670-0)). Current biofilm detection methods can be broadly categorized into morphological assay, microbiological assay and molecular assay.

## 8.1 Morphological Methods

With a thickness of less than 100μm, lack of distinct distinguishable feature and heterogeneous distribution throughout the wound base and eschars make it difficult to visualize wound biofilms with the naked eye (Bjarnsholt et al. [2013](#page-668-0)). Thus, more than one sample should be drawn both from upper and deep wound layers to increase the accuracy of the respective diagnostic methodology (Wu et al. [2019](#page-672-0)). Slough, debris or exudates are sometimes visually mistaken as biofilms by healthcare professionals. Hence diagnosis of biofilm rely upon high-resolution microscopy such as scanning electron microscopy (SEM) and confocal laser scanning microscopy (CLSM) since wound swabbing or histological examinations may lead to falsenegative results depending on the sample collection site. Microbial electrochemical technology is another precise optical method to visualize electrochemically active biofilms which can be explored by healthcare professionals for uncomplicated identification of biofilms (Schmidt et al. [2017](#page-671-0)).

## 8.2 Microbiological Methods

Microbiological assays are almost carried out daily in clinical settings to identify the causative agents of acute infections. On contrary, biofilm-associated chronic wound infections are challenging because chronic wounds harbour multiple pathogens, typically 2–5 species co-existing within one ulcerative wound (Dowd et al. [2008\)](#page-669-0). Most of the bacteria in chronic wounds enter a slow-growing stationary phase which is characterized as a viable but non-culturable (VBNC) state (Li et al. [2014\)](#page-671-0). This might be an adaptive mechanism for surviving a stressful microenvironment consisting of antimicrobial exposure, low pH, less oxygen and limited nutrients. Culturing becomes even more difficult by the presence of multispecies within the

same biofilm matrix. Moreover, VBNC bacteria require special cultural techniques, such as under temperature stress and starvation. Several other physical methods like sonication, and chemical methods like dithiothreitol treatments along with standard tissue culture methods are reported for acquiring clinical samples. But neither a single method exhibits a gold standard for the collection of chronic wound samples through microbiological assays nor any study has examined the effectiveness of these methods.

# 8.3 Molecular Methods

Metagenomic evaluations utilizing conserved 16S ribosomal RNA sequences were developed to overcome the limitations encountered by microbiological assays with direct identification of the pathogens involved in chronic wound associated biofilm formation. Development of several advanced techniques such as denaturing gradient gel electrophoresis (DGGE), which is a common method for semi-quantitative (around 40%) analysis of complex biofilm diversity within a chronic wound. DGGE separates DNA sequences having identical length but different sequences based on greater stability as observed in the case of G–C pairing over A–T pairing when exposed to the DNA denaturants (Kolbert and Persing [1999](#page-670-0); Davies et al. [2004\)](#page-669-0). Other sophisticated methods include partial ribosomal amplification and pyrosequencing (PRAPS), full ribosomal amplification, cloning and Sanger sequencing (FRACS) and partial ribosomal amplification, denaturing gradient gel electrophoresis, and Sanger sequencing (PRADS) applied individually or in combination to identify, characterize and quantify bacterial strains that escape conventional culturing technique (Dowd et al. [2008\)](#page-669-0). Partial ribosomal amplification holds the capability of amplifying up to 600-bp only but full ribosomal amplification can amplify the entire 16S rRNA of a bacteria. Due to the diversity and variability of bacterial load within a chronic wound, different types of molecular methods are employed to determine bacterial identity, diversity and epidemiology (Dowd et al. [2008\)](#page-669-0). These technologies are quite old in the study of environmental microbiota and are being used nowadays to extensively examine the complex microbiological structure in a chronic wound biofilm. Peptide nucleic acid (PNA) is a synthetic DNA analogue that displays more appropriate and easy nucleic acid binding and fluorescence in situ hybridization (FISH) is a popular method to detect specific DNA sequence on a chromosome. PNA-FISH is a modified and combined form of PNA and FISH with higher specificity and sensitivity, that is designed to target the 16S rRNA of specific bacterial species within a biofilm. PNA-FISH in combination with CLSM is a useful tool to separately identify each species clusters present in a tissue sample collected from a wound of heterogeneous biofilm (Malic et al. [2009;](#page-671-0) Kirketerp-Møller et al. [2008\)](#page-670-0).

# 8.4 Other Methods

A set of methodologies falling under a particular category cannot meet the demand of accurately detecting biofilms in chronic wounds in a complex, intricate clinical scenario. Although molecular techniques appear to be quite promising in identifying the causative bacteria of biofilm, it fails to differentiate between true pathogens from other contaminations (Dowd et al. [2008\)](#page-669-0). Henceforth, the newly emerging diagnostic methods for chronic wound biofilms come into play. This involves two techniques: wound blotting which is a newly proposed methodology to provide a non-invasive and precise evaluation of wound beds. Another one is a transcriptomics approach to differentiate true pathogens from other bacteria in the biofilm matrix. Nextgeneration sequencing of RNA (RNAseq) is a highly modern approach to identify the gene expression in a chronic wound biofilm and thus noted as a cutting-edge technique to evaluate a huge sample pool in a short time.

Acute infections are predominantly involved with planktonic phenotypes having more aggressive and rapidly dividing cells that invade the host tissues and stimulate a strong inflammatory response (Mendoza et al. [2019](#page-671-0)). Acute infections can be eradicated via the combined action of antimicrobials with cellular and humoral host immune responses. Chronic non-healing infections composed of highly tolerant bacterial biofilms require removal of the colonized device in most of the cases or surgical excision of infected tissue (Frykberg and Banks [2015\)](#page-669-0). The immense contribution of biofilms in the chronicity of non-healing wounds has forced researchers to propose new guidelines for biofilm-based wound care (Schultz et al. [2017\)](#page-671-0). This involves meticulous sharp debridement, application of broad-spectrum antibiotics with the administration of local antibiofilm agents in case of chronic and critically ischemic wounds (Wolcott and Rhoads [2008](#page-672-0)). Modern approaches like ultrasound-based debridement, nanoparticle therapies, phage therapy, quorum sensing inhibitors (QSIs), cationic antimicrobial peptides, phytochemicals, EPS degrading enzymes are now being implemented to manage the wound infections and subsequently to attenuate biofilm progression (Jones and Kennedy [2012](#page-670-0); Wei et al. [2019a](#page-672-0), [b](#page-672-0); Dhar and Han [2020\)](#page-669-0). Among these, QSIs are a well-known class of antibiofilm agents that aim to disrupt biofilm formation and to impede virulence factor productions by blocking quorum sensing pathways (Kalia et al. [2014](#page-670-0)). The formation of an inactive complex with QS receptors leads to the cessation of the whole signalling pathway as found by the QSIs mode of action (El-Mowafy et al. [2014;](#page-669-0) Maisuria et al. [2016;](#page-671-0) Parai et al. [2020\)](#page-671-0). There are facets of QSI limitations also which either completely or partially block the QSI activity, for example C-30 injections can negatively regulate the expression of some QS-mediated virulence genes such as lasA, lasB, hcnAB, rhlAB, chiC, phnAB and phzABCDEFG without hindering the expression of *lasI/R* and *rhII/R* gene clusters (Hentzer et al. [2003\)](#page-670-0). Fatty acids are currently reported to exhibit selective inhibition and/or cause complete disruption of biofilm formation, due to their wide diversity and ability to block biofilm formation by interfering with microbial virulence. They mainly act by enhancing the susceptibility of microorganisms towards other antimicrobials or

sometimes by acting as a signal molecule, targeting several QS regulated gene functions (Kumar et al. [2020](#page-670-0)).

#### 9 Implications of Biofilm on Wound Healing

The process of wound healing is a complex biological process that proceeds in an orderly manner involving four distinct but overlapping phases—homeostasis, inflammation, proliferation and remodelling. The phases involve explicit temporal interactions between numerous types of cells, extracellular matrix molecules and soluble factors (Velnar et al. [2009](#page-672-0)). The implications of biofilm on chronic wounds are not only confined to retard healing or as a financial burden, but it also can develop into an overt or persistent infection derived from the insertion of a medical prosthetic device. The situation can also give rise to antimicrobial resistance along with the increasing risk of tissue toxicity (Mendoza et al. [2019](#page-671-0)). Generally, wound healing involves an orderly transition that includes epithelialization, granulation tissue formation, inflammatory phase of angiogenesis, tissue reorganization and tissue regeneration (Dovi et al.  $2004$ ). Unlike normal wound healing where the inflammatory phase is generally short and lasts for a few days, chronic wounds exhibit an extended inflammatory phase, which ultimately delays the progression of wound healing. This prolonged inflammatory phase witnesses the release of a wide variety of cytokines and blood cells, especially neutrophils and macrophages, with changes in oxygen gradient and pH level at the wound site. Bacterial biofilms often avail a fringe benefit of this situation by manipulating cellular (leukocytes, keratinocytes, endothelial cells and fibroblasts) functions, inflammatory cellular response, cutaneous innate immune response and repair phase (Zhao et al. [2016;](#page-672-0) Omar et al. [2017\)](#page-671-0). The diversity of microbial species in a bacterial biofilm enclosed within the protective layer of EPS ensures their uninterrupted presence in chronic wounds throughout infection. The inert nature of EPS safeguards the bacteria from antibiotic medicament, thereby imparting antibiotic resistance along with persistent infection. Antibiotics mainly target metabolically active and actively proliferating bacterial cells. As a result, bacteria within a biofilm matrix have reduced susceptibility towards antibiotic treatments as the biofilm is mainly dominated by slowgrowing or starved dormant cells, which are usually exposed to nutrient limitation (Crabbé et al. [2019\)](#page-669-0). Furthermore, spatial heterogeneity of bacterial cells within biofilms empowers an important survival strategy whereby some of the cells under different metabolic states, are more definite to survive in any metabolically directed attack (Mah and O'Toole [2001\)](#page-671-0).

## <span id="page-668-0"></span>10 Future Perspective

Although non-healing wounds have various in vivo limitations that restrains their recovery or complete cure but biofilm remains to be one of the predominant causes behind its lack of rehabilitation. Biofilms on the other hand are difficult to eradicate due to various constraints regarding their isolation, identification and accurate remediation. Despite an array of potential treatments for biofilm-associated chronic wounds are present to clinicians, no reliable diagnostic methods are currently available for the detection and identification of biofilms. Sophisticated imaging techniques are available but they always require special equipment, highly trained personnel and precision of time. Sometimes it seems to be impractical in clinical settings on the regular basis owing to its huge percentage of patients. However, the current methods available such as wound blotting-based sharp debridement can precisely remove the biofilm-affected wound portion without causing much collateral damage to adjacent tissue. A comprehensive understanding of the chronic recurrent infection caused by biofilms and its drug-resistance mechanism can help to chalk out explicit therapeutic care. In a parallel approach, studying the host– microbe interaction on a molecular level can unlock the underlying intricate mechanisms which can further be used in understanding and improvising the strategies dealing with the chronicity of non-healing wounds. Rapid progress in the field of biofilm research along with innovative strategies may set the foundation for accurate diagnostics and effective treatment of chronic wounds. Although new antibiotic therapeutics are being constantly explored, novel findings and their applications are poles apart due to some unresolved constraints. The primarily high concentration of the therapeutics beyond the permissible limit, followed by cost-effectiveness and effectual storage potential are a few reasons. Therefore, recently virulence attenuation approaches are being examined that involve drugs that do not kill the bacteria but interfere with their ability to produce virulence factors. This in turn will enfeeble the biofilm, sensitizing the bacteria to antibiotics and eventually accelerating or resuming wound healing.

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# The Potential of Essential Oils as Topical Antimicrobial Agents in the Age of Artificial Intelligence



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# 1 Introduction

The ability to effectively heal wounds is the key to our survival because a slow healing wound will continuously expose the devitalized tissue to colonization and establishment of a wide variety of endogenous and potentially harmful microorganisms, which can result in life-threatening infections. In this case, surgical site infection (SSI) can result in delays in wound healing, impaired cosmetic outcome, and increased healthcare cost (Badia et al. [2017](#page-683-0)). Topical antibiotics are applied to surgical wounds with dressing after surgery to reduce the risk of SSI. A clinician may choose to prescribe a topical antibiotic on a wound after careful consideration of the underlying infective process and assessing the risks and benefits of treatment. As the action of topical antibiotics is localized, there is a reduced likelihood for undesirable effects that could affect the body systemically, exhibiting symptoms such as nausea and diarrhea. Therefore, effective wound dressing loaded with antimicrobial agents after surgery is necessary to deal with such pathological conditions, with the aim of reducing SSIs. The main function of antibiotics in wound healing is to prevent the growth of pathogens causing the infection and potentially, to promote healing (Altoe et al. [2019\)](#page-683-0). However, the use of antibiotics in modern medicine has given rise to the development of antimicrobial resistance

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<sup>©</sup> The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2021 P. Kumar, V. Kothari (eds.), Wound Healing Research, [https://doi.org/10.1007/978-981-16-2677-7\\_22](https://doi.org/10.1007/978-981-16-2677-7_22#DOI)

(AMR). Antibiotic- and multidrug-resistant isolates are increasingly reported in infected wounds (Godebo et al. [2013;](#page-685-0) Pirvanescu et al. [2014\)](#page-686-0) and one of the common causes of community-acquired infections (van Duin and Paterson [2016\)](#page-687-0). Hence, wound healing and infection without effective antimicrobials in place pose a formidable medical challenge.

The emergence of AMR is accelerated by injudicious use of antibiotics; thus there remains an urgent necessity for novel or alternative antimicrobials to fight against bacterial evolution that continues to resist new drug classes. Due to concerns with regard to AMR spread, natural products, particularly, essential oils (EOs) are viewed as a promising yet understudied group of potential antimicrobial agents that can be incorporated into wound dressings. Over the last decade, many studies have been conducted to explore the use of natural products as alternative therapies, for example, testing against resistant bacteria (Moo et al. [2020](#page-686-0); Yang et al. [2019;](#page-688-0) Yap et al. [2013\)](#page-688-0) and developing formulations for antimicrobial wound dressing (Edwards-Jones et al. [2004;](#page-684-0) Pereira Dos Santos et al. [2019](#page-686-0)). However, conventional drug screening methods are too slow as opposed to the more rapidly developing levels of resistance, notwithstanding other scientific obstacles such as molecular target validation (Hughes et al. [2011](#page-685-0)), endogenous resistance potential as well as drug permeability and intracellular accumulation (Silver [2011\)](#page-687-0). Advances in genetics, genomics, and artificial intelligence (AI) represent a new paradigm for drug discovery to combat AMR. In this chapter, we aim to explore the potential of taking AI-based approaches as applied in the screening of novel antimicrobial peptides (AMPs), and applying these approaches on topical antimicrobial discovery from EOs. While majority of the essential oil screening studies remain in vitro and in vivo, computational and statistical framework involving AI, machine learning, and deep learning models is a step forward for in silico research to help guide EO drug discovery. This is in tandem with increased chances of success in the wet laboratory that can be performed after predictive models have been established in silico.

# 2 Practical Outlook for Essential Oils Drug Discovery in Topical Wound Care

The wide range of therapeutic properties such as bactericidal, virucidal, and fungicidal effects of EOs has garnered considerable attention of great medicinal importance (Swamy et al. [2016\)](#page-687-0). Their structural diversity and complex chemical composition with about 20–60 different bioactive compounds present in many of these EOs fit the multitarget hypothesis in which they are not subject to contributing to resistance by this very attribute of possessing multiple molecular targets (Silver [2011\)](#page-687-0). A literature survey was performed on both PubMed® and Google Scholar, with search keywords including "essential oil," "antibacterial activity," "induction of resistance\*", and "reduce susceptibility\*" [\(https://pubmed.ncbi.nlm.nih.gov/](https://pubmed.ncbi.nlm.nih.gov/) and <https://scholar.google.com/>, accessed 2020 October 25). No evidence of resistance

for major clinically important pathogens has yet to be reported on EOs. Most EOs are composed of only two to three major compounds which are present in fairly high proportions (20–70%) and other minor compounds that present in low amounts. EOs are composed of mainly compounds from the terpenes family and other aromatic and aliphatic constituents (Swamy et al. [2016\)](#page-687-0). Despite exhibiting a wide range of pharmacophores that offer great potential for physiochemical properties, EOs are often excluded in drug discovery (Lipinski [2016\)](#page-686-0), partially due to their volatility and hydrophobicity (Feyaerts et al. [2020](#page-684-0)). It is also important to note that the chemical constituents of plant EOs can be affected by various factors such as geographical location, climate, and stage of maturity; these factors subsequently affecting the antimicrobial properties (Pichersky et al. [2006](#page-686-0)). Additionally, difference in extraction methods is also related to difference in the stereochemical properties of the EOs (Lahlou [2004\)](#page-686-0). Hence, a highly integrated interdisciplinary approach is necessary to assess the drug-likeness potential of EOs and the components in silico, to ensure fewer false positives and late-stage attritions in lead development. Although EOs were known to have possessed some undesirable characteristics that result in their exclusion from Lipinski's well-known Rule of Five (Ro5) as drug candidates with good oral bioavailability (Lipinski [2016](#page-686-0)), they have some unique properties that make them ideal for topical applications. One of the key challenges for topical antimicrobial agents is that the highest concentration may remain at the skin surface and decline in the subcutaneous fat, hence, there is a potential that the local concentrations may not remain above the minimal inhibitory concentrations for high-level resistant strains. Natural terpenes are widely recognized for use as safe transdermal permeation enhancers in the pharmaceutical industry (Fox et al. [2011;](#page-684-0) Gao and Singh [1998](#page-684-0)). Previous studies have indicated that terpenes are a favorable group of compounds for transdermal administration together with lipophilic and hydrophilic topical antimicrobials in wound healing with the purpose of maximizing therapeutic effects (AbdelSamie et al. [2016;](#page-683-0) Sims et al. [2018\)](#page-687-0). It is because the principal step for the dressing of infectious wounds is to prevent infection by delivering sufficiently high concentrations of antibiotics to the wound site; at the same time being biocompatible without exerting adverse effects to the surrounding tissue.

Other than enhancing the antibiotic efficacy, real potential of EOs as antimicrobial molecules is still under-scrutinized with present-day methods. As noted above, while vast majority of the EOs major compounds have so far been identified and characterized (Dhifi et al. [2016\)](#page-684-0), conventional screening of EOs for drug discovery is likely to produce a library of previously seen compounds. Thus, the AI-based screening perspective should focus on models of seeing which had not previously been seen.

# 3 Applications of Artificial Intelligence for Antimicrobial **Resistance**

The commonly used framework for predictive antimicrobial testing and drug discovery includes families of algorithms of both supervised and unsupervised learning methods, including naïve Bayes (NB), Support Vector Machines (SVM), and artificial neural networks (ANN) (Table [1](#page-677-0)).

## 3.1 Prediction of Antimicrobial Resistance

Currently, there are two main conventional methods to detect AMR. The first one is antimicrobial susceptibility testing (AST) and establishment of the minimal inhibitory concentration (MIC) (Vasala et al. [2020\)](#page-687-0), and second one is an emerging method, whole-genome sequencing method for antimicrobial susceptibility testing (WGS-AST) (Su et al. [2019;](#page-687-0) Vasala et al. [2020\)](#page-687-0). The classic AST technique only allows quantification of AMR levels, but it does not explain the resistance mechanisms at mechanistic or molecular levels. On the other hand, WGS-AST is a robust and accurate tool for AMR detection with insights into the resistance mechanisms and evolution of the pathogens (Ellington et al. [2017](#page-684-0)). This is achieved by the growing effort of various types of AMR phenotypes and genome-based databases, such as complete genome multilocus sequence typing (cgMLST) (Feijao et al. [2018\)](#page-684-0), Pathosystems Resource Integration Center (PATRIC, [www.patricbrc.org](http://www.patricbrc.org)) (Wattam et al. [2014;](#page-687-0) Wattam et al. [2017](#page-687-0)), Comprehensive Antibiotic Resistance Database (CARD, <https://card.mcmaster.ca/>) (Alcock et al. [2020](#page-683-0); Jia et al. [2017\)](#page-685-0), ARG-ANNOT (Antibiotic Resistance Gene-ANNOTation) (Gupta et al. [2014\)](#page-685-0), and Virulence Factor Database (VFDB, <http://www.mgc.ac.cn/VFs/main.htm>) (Chen et al. [2016;](#page-684-0) Liu et al. [2019\)](#page-686-0). Detecting AMR phenotypes from bacterial genomic data employs basic concepts in the genome-wide association studies (GWAS), i.e., to gain knowledge of the changes of DNA sequences, or mutations that present among bacterial strains and understand how these variations impact the phenotypes. Researchers have since build phenotype identification algorithms based on variants for some clinically important bacteria, such as Escherichia coli, Klebsiella pneumoniae, and Staphylococcus aureus (Gordon et al. [2014;](#page-685-0) Stoesser et al. [2013\)](#page-687-0). Davis et al. have computed a k-mer-based machine learning algorithm to classify the AMR SIR (Susceptible, Intermediate, or Resistant) of Acinetobacter baumannii, Mycobacterium tuberculosis, S. aureus, and Streptococcus pneumoniae on a wide range of antibiotics including carbapenem, beta-lactam, and co-trimoxazole, from the metadata in PATRIC (Davis et al. [2016\)](#page-684-0). On the other hand, a protein sequence-based machine learning algorithm was established to classify the Gram-negative bacteria for acetyltransferase (aac), beta-lactamase (bla), and dihydrofolate reductase (dfr). The study considered both AMR and non-AMR bacterial protein sequences by using game theory to identify protein

Type	Algorithm	Resource	Description	Website	Ref.
Unsupervised learning	Principal Component Analysis (PCA)	Scikit-learn	Cluster analysis to identify represen- tative compounds with strong in vitro antimicrobial activity	https:// scikit- learn.org/ stable/	(Ragno et al. 2020)
	Composite non-negative matrix factor- ization (cNMF)	Ianevski et al.	Prediction of drug combination synergy	$\overline{a}$	(Ianevski et al. 2019)
Semi- supervized	Hedge (Adaboost)	Davis et al.	Species-specific AMR phenotype and genotype pre- diction with RAST and PATRIC annotation services	$\equiv$	(Davis et al. 2016)
Supervized learning	Support Vec- tor Machine (SVM)	Chowdhury et al.	Game theory approach to iden- tify AMR genes	$\equiv$	(Chowdhury et al. 2019)
		Artini et al.	Binary classifica- tion model to identify chemical components involved in the inhibition of bio- film formation	$\overline{\phantom{0}}$	(Artini et al. 2018)
		Patsilinakos et al.	Binary classifica- tion model to identify chemical components responsible for inhibition of bio- film formation	$\qquad \qquad -$	(Patsilinakos et al. 2019)
	Artificial neural net- works (ANN)	Fast Artifi- cial Neural Network (FANN) library	Prediction of anti- microbial activi- ties based on chemical composition	http:// leenissen. dk/fann/ wp/	(Daynac et al. $2015$ )
		<b>ALOGPS</b> v 2.0	Interactive online prediction of logP	http:// www. vcclab. org/lab/ alogps/	(Tetko et al. 2001)
		DeepTox	Computational method for toxic- ity prediction	http:// www. bioinf. jku.at/	(Mayr et al. 2016)

<span id="page-677-0"></span>Table 1 Artificial intelligence algorithms and predictive models for antimicrobial drug discovery

(continued)

Type	<b>Algorithm</b>	Resource	Description	Website	Ref.
				research/ DeepTox	
	ANN-genetic	Rajkovic	Process variables		(Rajkovic
	algorithm	et al.	optimization for		et al. $2015$ )
			EO mixture anti-		
			microbial testing		

Table 1 (continued)

features for the machine learning model. Finally, a supervised method, SVM, was used to predict AMR genes (Chowdhury et al. [2019](#page-684-0)).

AI-based computational tools have also been applied to predict the antimicrobial activity of EOs or to classify the EOs based on their antimicrobial activities (Su et al. [2019\)](#page-687-0). As mentioned earlier, the inherent variability in composition in EOs has been a serious concern in drug screening. To overcome this, an ANN approach has been developed to select EOs with comparable antimicrobial activities, without considering their chemical variations. Daynac et al. screened several EOs chemical compositions with corresponding AST data against S. aureus, E. coli, Clostridium perfringens, and Candida albicans and retained only compounds with known antimicrobial properties (Daynac et al. [2015\)](#page-684-0). The study demonstrated that the fast artificial neural network (FANN) software was able to predict the antimicrobial activities of two or more microorganisms simultaneously while the most accurate prediction was observed for S. aureus (Daynac et al. [2015\)](#page-684-0). Rajkovic et al. showed that model based on ANN incorporated with the genetic algorithm were able to allow optimization for the experimental variables (e.g., test duration, test compound concentrations, and mass ratio), and at the same time provided good prediction accuracy for the antifungal effects of Thymus vulgaris L. and Cinnamomum cassia L. EOs (Rajkovic et al. [2015](#page-687-0)).

A growing amount of scientific and clinical evidence has implicated that bacterial biofilm formation plays a significant role in impeding wound healing (Metcalf and Bowler [2013\)](#page-686-0) and it is also one of the main resistance mechanisms that make a bacterial infection hard to eradicate (Sharma et al. [2019](#page-687-0)). In general, Gram-positive bacteria such as S. aureus, S. epidermidis, and Streptococcus pyogenes are predominant species identified in the early stage of SSIs, while Gram-negative bacteria such as E. coli, K. pneumoniae, and P. aeruginosa are mainly responsible for the chronic infections (Negut et al. [2018;](#page-686-0) Simoes et al. [2018\)](#page-687-0). Many experimental data has pointed to the unique anti-biofilm potential of EOs against both Gram-positive and Gram-negative bacteria (Bilcu et al. [2014](#page-683-0); Cabarkapa et al. [2019;](#page-684-0) Firmino et al. [2018;](#page-684-0) Kumari et al. [2017;](#page-686-0) Lagha et al. [2019\)](#page-686-0). Therefore, EOs with anti-biofilm activity pose a better chance as good drug candidates for wound dressing and treating infections. Experimentally, the exact mechanism on how or which EO components influenced biofilm production remains unclear and understudied. A few studies have demonstrated how AI-based applications can solve the complex matrix of EOs compositions and experimental anti-biofilm potencies leading to

identification of chemical components that are mainly responsible for the antibiofilm activity or prediction of EOs that can inhibit bacterial growth (Artini et al. [2018;](#page-683-0) Patsilinakos et al. [2019](#page-686-0); Ragno et al. [2020](#page-687-0)).

One of the limitations of genome-based AMR prediction is that, the predictive power is dependent on the accuracy and consistency of the culture-based AST data for model training. Thus, efforts should be put on standardizing and maintaining the quality of the data for phenotypic testing so that the prediction outcomes do not diverge over time. Additionally, it is necessary to establish a comprehensive database for multiple bacterial species, because a large training dataset is required to optimize the key parameters for specific species and infections.

# 3.2 AI-Based Synergy Testing

The approach of combining drugs has attracted much interest due to some promising positive outcomes, such as synergizing therapeutic efficacy, reducing side effects, and preventing the emergence of AMR. Many studies have also demonstrated the potential of natural products to act synergistically with antibiotics (Khameneh et al. [2019;](#page-685-0) Naghmouchi et al. [2012;](#page-686-0) Pizzolato-Cezar et al. [2019;](#page-686-0) Yap et al. [2013](#page-688-0)), aiming to reduce antibiotic burden and restore the activity of the antibiotic. Antimicrobial peptides (AMPs) remain at the forefront of the arena in antimicrobial discoveries (Magana et al. [2020](#page-686-0)), in which they are key components of the body's innate immune system for defense against a plethora of pathogens. AMPs and the derivatives also offer great potential in terms of chemical space and they are widely distributed in nature including unconventional sources such as unculturable soil and marine bacteria (Magana et al. [2020\)](#page-686-0). However, it is time-consuming and labor-intensive to identify optimal drug-natural product combinations from a large number of possible spaces (e.g., medicinal plants, Eos, and antimicrobial peptides). Hence, AI-based technologies offer a new paradigm to speed up and optimize the drug screening process. The enormous amount of natural product-based compounds available and the diversity of plausible combinations have resulted in the accumulation of the ever-expanding and highly diversified volume of low- and highthroughput experimental data. While a growing number of reports claiming to have identified promising synergisms and the respective modes of action (Cho et al. [2020;](#page-684-0) Yang et al. [2017;](#page-688-0) Yang et al. [2020](#page-688-0)), the challenge remains to find the most optimistic combination and mechanism of action to tackle specific infections and to mitigate specific resistance mechanisms in the context of lead discovery. Hence, mining the bibliome for experimental antimicrobial combinatorial data provides scientists another elevated dimension to evaluate the most promising combination on test. Existing text mining tools have also been demonstrated to be useful for extracting and reconstructing pharmacokinetic drug–drug interactions from experimental evidences and clinical text (Iyer et al. [2014;](#page-685-0) Kolchinsky et al. [2015\)](#page-685-0). Jorge et al. were inspired by these computational frameworks and an iterative workflow to curate AMP and antibiotic combinations tested against major pathogens was developed (Jorge et al. [2012](#page-685-0); Jorge et al. [2016\)](#page-685-0). As a result, the curated database [\(http://sing.ei.uvigo.es/antimicrobialCombination/](http://sing.ei.uvigo.es/antimicrobialCombination/).) encompasses 1556 combinations (345 AMPs and 282 drugs), tested against P. aeruginosa, S. aureus, E. coli, Listeria monocytogenes, and C. albicans (Jorge et al. [2016\)](#page-685-0). Although this workflow has been integrated between the state-of-art text mining and expert manual curation, it offers great potential to further investigate the utilization of deep learning approaches to speed up the manual curation process, and possibly for application on EOs antimicrobial testing in literature and test on pathogens that frequently cause wound infections.

Lanevski et al. employed a novel unsupervised learning algorithm, composite non-negative matrix factorization (cNMF), and significantly reduce the number of drug combination experiments, at the same time maintain high accuracy, by using the drug combination response prediction (DECREASE) model (Ianevski et al. [2019\)](#page-685-0). The output of DECREASE is expressed in the predicted combination response matrices which are compatible with SynergyFinder (Ianevski et al. [2017](#page-685-0)) and Combenefit (Di Veroli et al. [2016](#page-684-0)) for synergy scoring and determination. Intriguingly, the only input needed for the DECREASE model is a submatrix of the drug combination dose–response measurements, without the need for synergistic mechanisms, or structural and/or target information. Such mechanism-unbiased approach is therefore posing great potential in identifying new synergies.

Widespread interest of AMPs have yielded unprecedented amount of AI based AMP databases and platforms (Cardoso et al. [2019](#page-684-0); Lv et al. [2020\)](#page-686-0), and this no doubt significantly contribute to training the AI models more precisely. Nevertheless, most AI-based AMP approaches are binary classification models that do not take continuous activity information into consideration. While the evolution of resistant bacteria is growing at an alarming rate, an AI model which can understand and predict the activity of drugs continuously against specific bacteria will be valuable for future study. On the other hand, AMPs are composed of bioactive small proteins, which allow sequence-based training and prediction that offers high precision (Bhadra et al. [2018\)](#page-683-0) and room for drug design and optimization (Magana et al. [2020](#page-686-0)). In comparison, EOs stand a greater chance in fragment-based drug discovery; while the experimental data and phytochemical database of EOs remain largely unstructured. Hence, a substantial amount of public AI-based EOs databases are warranted.

#### 3.3 Drug Discovery Parameters and Filters

Ideally, compounds with a possible dermal application must be able to cross the lipids layer barrier and be soluble in both lipophilic and hydrophilic environments. The *n*-octanol/water partition coefficient (denoted as  $log P$ ) is often used as a gold standard to evaluate the compound properties (Valko [2004](#page-687-0)). However, laboratory determination of  $\log P$  is not only time and resource consuming; it is also complicated due to the complexity of the real interaction between human skin tissue and the test compound (Kosina et al. [2018\)](#page-685-0). Hence, many nonexperimental approaches

employing mainly quantitative structure–activity relationship (QSAR) techniques and multiple linear regression analysis have since been developed for the estimation of log P (Katritzky et al. [2000\)](#page-685-0). Tetko et al. developed a method, ALOGPS  $v2.0$ [\(http://www.vcclab.org/lab/alogps/](http://www.vcclab.org/lab/alogps/)) to assess the n-octanol/water partition coefficient on the basis of neural networks trained using 12,908 molecules available from PHYSPROP database of Syracuse Research Corporation (Tetko et al. [2001](#page-687-0)). When comparing the prediction ability of ALOGPS to other  $log P$  prediction programs, it was concluded that the prediction performance was primarily determined by the diverseness of the molecular structures subjected to train the methods rather than the design of the methods or algorithms (Tetko et al. [2001\)](#page-687-0). This study also demonstrated the effectiveness of using heuristics on neural networks to obtain important results without tapping into the complex problems of solvation theory for log P determination. Combination of experimental (chromatographic) and computational (ALOGPS v2.1) methods have been applied to study the influence of log P on wound healing, and it was found that compounds with higher lipophilicity (higher log P) exerted greater wound healing activity (Bakht et al. [2014](#page-683-0)). Feyaerts et al. subsequently extrapolated the ALOGPS model alongside with structure– activity relationship analyses from public databases (such as PubChem and ChemSpider) to predict the bioavailability of EOs (Feyaerts et al. [2020](#page-684-0)). By using

computational and statistical methods, the study ascertained that, contrary to the established drug discovery filters such as Ro5 and Lead Likeness, most EO components passed the stringent criteria for good drug candidates (Feyaerts et al. [2020](#page-684-0)). Early identification of potential toxicity in drug discovery is crucial to prevent significant financial and resource loss in late-stage attritions. Many AI-based predictive algorithms have been developed for this purpose to flag potentially toxic compounds. The rise of deep learning, a machine learning method based on multilayer perceptron networks outperformed traditional machine learning approaches (e.g., naïve Bayes, SVM, and random forests) in accurately predicting toxicity in the Tox21 Data Challenge (Mayr et al. [2016](#page-686-0)). As a result, DeepTox pipeline [\(http://](http://www.bioinf.jku.at/research/DeepTox/) [www.bioinf.jku.at/research/DeepTox/\)](http://www.bioinf.jku.at/research/DeepTox/) ensemble a multitask deep neural networks model for toxicity prediction was developed. The pipeline uncovered not only previously known toxicophores but also novel, previously undiscovered toxicophores, suggesting that deep learning algorithms could potentially unfold new chemical knowledge (Mayr et al. [2016\)](#page-686-0). In the context of topical applications, several classification models derived from machine learning algorithms (e.g., classification and regression tree, SVM, and ANN) have been developed to predict the skin sensitization potency (Wilm et al. [2018\)](#page-688-0). However, training datasets of these models were primarily based on the rodent local lymph node assay while human experimental data remain scarce, let alone well-characterized, high-quality datasets as compiled by Hoffmann et al. ([2018\)](#page-685-0). For these reasons, the predictive power of skin sensitization on human health is limited by insufficient data for model training in both theoretical and experimental approaches.

## 4 Current Understanding and Future Perspective

AI-based technologies could significantly reduce the number of possible drug candidates, in vitro and in vivo experiments, thus accelerating the drug discovery process with less cost than conventional approaches. The practice of AI is said to depend largely (~80%) on processing and cleaning the aggregated data and relatively less on the algorithm application (~20%) (Vamathevan et al. [2019](#page-687-0)). Thus, the predictive power of any AI approach relies heavily on the availability of high-quality dataset in large volumes. Training data for the algorithm needs to be accurate, and as complete as possible in order to maximize predictability. Therefore, in order to optimize key parameters specifically for EOs exploration, many public databases on commercial and non-commercial EOs have to be built to prevent possible selection bias. AI-based methods ensemble methodological and experimental data mining also prone to a high risk of bias. Various important information about the experimental methods such as natural products extraction method, antibiotic, statistical analysis, and animal model, may collectively compromise the reproducibility of the studies. Such opinion has been formed on the basis of studies performed largely in vitro during the last two decades that may have oversight when these bacteria are investigated together with the wounds. It should be emphasized that the studies reported did not investigate specifically the antimicrobial effects of the EOs on wound healing but rather the in vitro activities.

Data in various formats, such as chemical compounds, genomic and protein sequences, AST, and literature text, have been employed to train different AI-based models. Whether the type of data is even appropriate and what data should be experimentally generated and curated are also the fundamental considerations for AI-based technologies. AI-based applications are more powerful when trained by data curated with good annotations in a systematic manner, to minimize the effect of noisy training (Vamathevan et al. [2019](#page-687-0)). As discussed above, many applications presented had been developed from largely unstructured sources with variable data quality. These methods may also be subjected to bias during selection of parameters applied for data processing and cleaning. Thus, ongoing efforts should be emphasized to generate good quality open annotated data to foster the application of AI in EOs for drug discovery. Next, a sufficiently large set of experimental data relating to pharmacological endpoint of EOs for the validation of AI models is important. As had been previously mentioned, the most important part of any AI-based approach is the quality and quantity of the underlying data used to train the models. Unlike AMPs, the limited availability of data on EOs and the compounds that make the final stage into approved drugs has limited the number of pharmacological end-points forecasted by the available machine learning tools. Looking at the increasing incidences of SSI associated with AMR, there is currently an unmet need for in silico predictive tools for topical medicine drug discovery targeting wound infections.

Among the machine learning methods, emphasis has been given to the QSAR, which applies physicochemical properties to predict the biological activity of the <span id="page-683-0"></span>AMPs or the peptide sequences (Cardoso et al. [2019\)](#page-684-0). It is hypothesized that, the integration of the AI-based methods, especially the antimicrobial patterns would improve the output quality (Porto et al. [2018](#page-686-0)). This hypothesis was tested on an algorithm, named Joker, which combined the AMPs sequences with linguistic model-based antimicrobial patterns, and hit searches were improved against bacteria (Porto et al. [2018](#page-686-0)). There is also a gap between selecting drug candidates that fulfil drug discovery criteria and at the same be time effective in treating resistant bacteria in wound infections. AI-based approaches that are applied to predict AST results and drug synergism, coupled with QSAR, have the potential to improve the predictive analytics and aid decision-making along the drug discovery pipeline.

Acknowledgment The authors would like to acknowledge the HCT Interdisciplinary Research Grant (113118) from the Higher Colleges of Technology for supporting this study.

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