Classification of Primary Users Using Deep Residual Learning

J. Vikneshwar, K. Muthumeenakshi, and S. Radha

Abstract The novel idea of cognitive radio (CR) for designing wireless communication devices was developed to mitigate the scarcity problems in the available spectrum. This problem came into light after the rapid development in the field of wireless communication devices. The CR promises to solve the scarcity problem by improving the utilization of the spectrum. This also solves the underutilization of the spectrum in some frequency bands. In general, CR has the ability of learning and adapting to their environment. CR allows secondary users (SU) to share the licensed spectrum of primary users (PU) provided that PU is not subject to interference. Spectrum sensing is a fundamental task of CR which helps it to obtain opportunistic spectrum access to its users. Various methods have been proposed to provide an efficient spectrum sensing. In order to improve the learning ability of CRs and to provide an efficient spectrum sensing method, machine learning algorithms can be applied. The objective is to analyze an efficiently performing machine learning algorithm that can be applied to the extracted data. The extracted dataset contains the PU's transmission signal patterns as spectrograms, which can be used to obtain labeled classes, i.e., whether the PU is present or not. Following that, a classifier is developed from the analysis of the transmission patterns, which is trained and tested for sensing the PU transmission pattern in a dynamic environment.

Keywords Cognitive radio · Spectrograms · Spectrum sensing · Deep learning

1 Introduction

The idea of CR addresses the intersection of personal wireless technology and computational intelligence [\[1\]](#page-5-0). The term itself refers to the radio devices that are capable of learning and adapting to their environment. After the agile development in the field of wireless communication, the number of devices used in a wireless spectrum has tremendously increased. This has led to a scarcity problem in the available spectrum.

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CRs was designed to mitigate the problem of scarcity by improving the utilization of the spectrum, by providing the unlicensed users opportunistic spectrum access. This also solves the under-utilization of the spectrum in some frequency bands. In order to perform cognitive tasks, the CR should be aware of its RF environment. It should sense its surroundings and identify all types of RF activities.

Spectrum sensing is a technique by which the CRs learn about its environment. It helps the CR to obtain opportunities for spectrum access and also allows Secondary User (SU) to share the licensed spectrum of Primary User (PU) provided that PU is not subject to interference. Thus, spectrum sensing was considered as a primary function of any CR [\[2\]](#page-5-1).

Apart from regular spectrum sensing techniques like a matched filter, energy detection, cyclostationary feature detection, etc., which sense the spectrum efficiently, this work deals in applying machine learning algorithms to CRs spectrum sensing which eventually increases the precision of the sensing [\[3\]](#page-5-2). The applied algorithm using residual learning creates a classifier model that trains and is capable of classifying the Primary User's (PU) transmission pattern into 10 different classes of patterns.

1.1 Problem Description

- CRs can sense the PU transmission pattern and can occupy the spectrum such that there is no potential interference.
- Existing spectrum sensing techniques, even though efficient and robust, can be replaced by machine learning-based spectrum sensing techniques.
- In this paper, a 2-layer CNN model is compared with the residual learning technique (ResNet-50) with transferable weights, to have a better detection.

The following section gives a brief description of the dataset used. Section [3](#page-3-0) comprehensively explains the system model used in this work followed by the its performance analysis in Sect. [4.](#page-4-0) Section [5](#page-4-1) summaries the paper and takes it into further discussions.

2 Description of the Dataset

The dataset used in this paper is obtained from [\[4\]](#page-5-3) with appropriate licenses. As described in [\[4\]](#page-5-3), the spectrograms characterize the PU's scenarios into different classes. Spectrograms are visual representations of frequencies of the signal as it varies with time. The spectrogram images are used as inputs for the CNN models in this paper. The images are a 64×64 greyscale images.

Figure [1](#page-2-0) shows a sample image of the obtained dataset. Each spectrogram covers 4 channels for 50 ms with a bandwidth of 10 MHz (4×2.5 MHz channels) to assess the spectrum occupancy of each channel. The dataset obtained was divided into 10

Fig. 1 Sample image of the dataset

mutually exclusive classes each class containing approximately 8000 training and 2000 testing images.

From the complete collection of 89,000 spectrograms, 25,000 spectrograms were labeled by one of the authors. Note that human-applied labels are unverified, and based on subjective visual interpretation, as we did not have access to measurement methods.

Each class in the data set were labeled with respect to its occupancy in the channels. With classes 0 to 4 having absence in the few channels and 5 to 9 having all the channels present. A detailed description of all the classes is given in Fig. [2.](#page-2-1)

Class₅

active

Class₀ Channel 3 active Channel 3 active

Class₂ 2&3 moderately active

1&2 sparsely active

Tass

Class₆ All channels All channels frequently active

Fig. 2 Labels of different classes

Class₇ **Class 8** All channels

All channels sparsely active moderately active

All channels randomly active

2&3 active

3 System Model

The system uses a residual learning-based deep convolution neural network model for detecting the different classes in the dataset. This type of model is also called a deep residual network model. Deep neural networks have shown their high performance on image classification tasks but meanwhile, it has more training difficulties[\[5\]](#page-5-4). Due to its complex and vanishing gradient, it usually takes a long time and a lot of computational resources to train deeper neural networks. Deep Residual Networks (ResNets), however, can make the training process easier and faster. And at the same time, it achieves better accuracy compared to their equivalent neural networks [\[6\]](#page-5-5). Deep Residual Networks have been proven to be a very successful model on image classification [\[7\]](#page-5-6). The images used in the dataset are briefly explained in the previous section. This section comprehensively discusses the underlying concept in the working of the deep residual network. This work initially considers a two-layer convolutional neural network for classification between 2 categories and then for multi-categorical classification. As the two-layer model failed for more correlated classes, a deeper model was built using residual learning architecture ResNet50.

Figure [3](#page-3-1) describes the system model used for spectrum sensing in CR using ResNet-50. The input image passes through the convolution res-net, gets interpolated to acceptable image size. Then the image will be treated as matrices of pixel or vectors of the pixel. After passing through layer after layer, the model learning from each layer, a weight vector is obtained. The last convolution layer Conv 5_x has four 512 layers and four 2048 layers which are flattened to 1×8192 vector. The fully connected layer is defined with a dense function consisting of a 1000 node output layer with a ReLU activation function. A multi-class node output with the

Fig. 3 ResNet-50 Model for spectrum sensing

SoftMax activation function is created. It generates a 1×10 label vector containing probabilities of different target classes. This vector can be used to predict the test image and provide appropriate probabilities.

4 Performance Analysis of the Classifier Model

The model, as usual, is trained on training sets and is tested on test sets or validation sets. The accuracy while training and testing all of the samples are given at the end of each epoch, where single epoch trains for a batch size of optimal value. This model is trained on 20,000 spectrograms and is tested using 5000 spectrograms for 15 epochs for a step size of 625 comprising a batch size of 32. The ResNet-50 algorithm was implemented using the open-source TensorFlow python library running on Nvidia GTX 1050 graphics processing unit (GPU) card. A comparison of 2-Layer CNN and ResNet-50 is shown in the Table [1.](#page-4-2)

Confusion matrix was also used as one of the metric analyzers which showed us the classification accuracy for each and every class.

A key point to be noted from the matrix (see Fig. [4\)](#page-5-7) is that class 6 had a perfect prediction and an area-under-curve (AUC) of 1. Also, classes 1, 3, 9 had relatively poor AUC, i.e., more of those class's images were wrongly classified into other classes. Thus, more training is needed in certain classes.

5 Summary and Discussion

Spectrum sensing is a key aspect of the paradigm of CR networks. As conventional sensing techniques had some relative constraints, machine learning was used to predict the classes of PU's spectrum scenarios.

In this work, convolutional neural networks were used as a deep learning network to predict PU scenarios. Initially, a 2-layer deep CNN model was used and then it was upgraded to a residual learning-based ResNet-50 model. Further simulation and prediction results indicated that the ResNet-50 model was more precise and robust in classifying the PU classes. Also, ResNet-50 was able to classify more correlated classes into their appropriate individual classes. A few identical classes scored a relatively lower accuracy rate compared to other classes.

As a future extension, the Region-based CNN (RCNN) model can be trained using a self-annotated dataset. This model can classify a single class test image into regions

Fig. 4 Confusion Matrix for the ResNet-50 model

of interest, were interest regions are the whitespaces. This can be further trained and can be used to predict the mask region with more accuracy and which can be used to allocate the SU's spectrum in the available channels without potential interference.

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