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New Ocular Drug Delivery Systems

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41.1 Introduction

Visual impairment is a global health concern that has a significant impact on patients' physical and mental health. Leading causes of visual impairment are age-related macular degeneration (AMD), diabetic retinopathy (DR), glaucoma, eye inflammations, and retinal vein occlusion (RVO). A significant number of macular abnormalities that require surgery are also quite common in the elderly (macular holes, epiretinal membranes, vitreomacular adhesions, diabetic macular traction detachments, etc.). The number of people affected by visual problems is increasing due to an overall aging population. For example, the projected number of people with age-related macular degeneration in 2020 is 196 million, increasing to 288 million in 2040 worldwide [\[1](#page-11-0)]. Likewise, the number of people with DR and vision-threatening DR (VTDR) has been estimated to rise to 191.0 million and 56.3 million, respectively, by 2030 [\[2](#page-11-1)]. The total global economic burden of eye diseases and visual impairment was estimated at \$3 trillion in 2010 and projected to increase by approximately 20% by 2020 [\[3](#page-11-2)].

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With better understanding of ocular physiology and pathology, there have been numerous pharmacological agents such as dexamethasone for ocular inflammation, travoprost and bimatoprost for glaucoma, and anti-vascular endothelial growth factor (anti-VEGF) drugs for ocular neovascularization. The success of treatment not only depends on drug potency and patients' genetic response, but also relies heavily on the delivery method used, tissue barriers, and physicochemical properties of pharmacological agents involved [\[4](#page-11-3)]. Ocular barriers and clearance mechanisms make delivering therapeutic doses to the posterior segment of the eye challenging. These barriers include the ocular surface that causes drug loss from lacrimal fluid, lacrimal fluid-eye barriers that limit drug absorption, and blood-ocular barriers that prevent distribution of drug [\[5](#page-11-4)].

The eye offers multiple entry routes through which pharmacological agents can be delivered, including topical, systemic, periocular, and intraocular routes [\[4](#page-11-3)]. Although the optimal dose and delivery routes can usually be chosen for each drug, an issue facing most existing treatment regimen is frequent administration due to fast clearance, low bioavailability, or low stability of pharmacological agents [[4,](#page-11-3) [5](#page-11-4)]. This frequent application may increase risks of complications, lower patient compliance, and cause significant socioeconomic burden on healthcare systems [[4\]](#page-11-3). Therefore, designing and developing new ocular drug delivery systems (DDSs) that can achieve

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controlled and extended drug release to targeted location, improve drug bioavailability, and preserve drug stability over both manufacture and release is in great demand. It was estimated that the total current market size for sustained ocular drug delivery system is worth more than \$9.3 billion and continuously growing [\[6](#page-11-5)].

Targeted and sustained ocular drug delivery is a rapidly developing area and has great potential to achieve more effective treatment regimen for most eye diseases. This chapter presents current advancements in ocular drug delivery systems, classified into small-molecule and macromolecular biological drugs (particularly protein therapeutics). An emphasis is on systems currently in clinical studies and promising to be available on the market.

41.2 Drug Delivery Systems for Small-Molecule Drugs

Small molecules are molecules with a molecular weight less than 900 daltons [[7\]](#page-11-6). Small molecules have a short half-life requiring frequent dosing and can result in poor patient compliance. Smallmolecule ocular drugs, such as dexamethasone for inflammation; triamcinolone acetonide (TA) for uveitis, retinal vein occlusion (RVO), and diabetic macular edema (DME); bimatoprost for glaucoma and ocular hypertension; travoprost for glaucoma and ocular hypertension; and fluocinolone acetonide (FA) for glaucoma and ocular hypertension, are commonly prescribed to combat posterior segment diseases and symptoms.

Small-molecule drugs can be challenging to delivery to the posterior segment due to the ocular barriers and clearance mechanisms of the eye previously mentioned. Topical DDSs for small molecules, such as ophthalmic solutions and eye drops, can circumvent these challenges due to their ability to diffuse across many ocular barriers. Since they diffuse easily throughout the eye, however, small-molecule DDSs can be challenging to localize; additionally, the delivery is limited by the clearance mechanisms of the eye and administering a high initial dose to counter the clearance and diffusion of drug may become

toxic [\[8](#page-11-7)]. Furthermore, most treatments for posterior segment disease cannot be systemically administered since they will not reach a therapeutic dose within the eye itself $[8, 9]$ $[8, 9]$ $[8, 9]$ $[8, 9]$ $[8, 9]$. Intraocular injections may also be used to bypass some of these barriers, but the invasiveness of injection increases the risks of complication, such as retinal detachment, retinal hemorrhage, endophthalmitis, and increased ocular pressure [\[10](#page-11-9)[–12](#page-11-10)]. A minimally invasive, localized, controlled, and extended release of small molecules would provide the safest and most effective DDS.

With the growing number of patients with posterior segment diseases (both medical and surgical), an increasing number of systems are being developed that allow for more effective treatment. Advances in DDSs address current issues with delivering small molecules to the posterior segment. Microneedles, hydrogels, topical treatment, injectable substances, and implants will be addressed in the following sections. Table [41.1](#page-2-0) summarizes small-molecule DDSs currently in clinical trials or recently approved by the U.S. Food and Drug Administration (FDA).

41.2.1 Ocular Implants

One of the main benefits of solid ocular implants is that they eliminate the need for repetitive treatments by administering drug for extended periods. EyePoint Pharmaceuticals developed a solid polymer implant called Durasert™. This implant can release small molecules for up to 3 years. The implant is only 3.5 mm in length and 0.37 mm in diameter, allowing it to be injected through a needle. The Durasert™ technology has been approved by the FDA for the following products: Iluvien® (fluocinolone acetonide intravitreal implant), Retisert® (fluocinolone acetonide intravitreal implant), and Vitrasert® (ganciclovir). The properties of this system allow for the customization of release duration, linear release kinetics, and high drug loading [[13,](#page-11-11) [14\]](#page-11-12).

Allergan has also developed Bimatoprost SR, a biodegradable polylactic intracameral implant that releases drug for 4–6 months for the treatment of open-angle glaucoma and ocular hyper-

Product name		Therapeutic		Release	Developmental
company	Target disease	agent(s)	Mechanism of action	duration	stage
Microneedle (Clearside Biomedical)	Uveitis RVO DME	Triamcinolone acetonide	Suprachoroidal injection using a microneedle	Bolus injection every 3 months	Phase 3 Phase 3 Phase 2
Bimatoprost ring (Allergan)	Open-angle glaucoma and ocular hypertension	Bimatoprost	External application of a silicone ring with an inner polypropylene structure	Up to 6 months	Completed phase 2 study that had similar results to eye drops but less adverse events
Hydrogel technology platform (Ocular Therapeutix)	Postsurgical pain and inflammation Glaucoma and ocular hypertension Retinal neovascular diseases	Dexamethasone Travoprost (PGA) Tyrosine kinase inhibitor	Bioabsorbable hydrogel plug or depot with capacity for controlling release rate of variety of drugs by modulating hydrogel pore size, and duration of hydrolysis	Up to 30 days	Dextenza [®] received FDA approval in 2019 Phase 1 for OTX-TIC Phase 1 for OTX-TKI
Dexycu® (EyePoint Pharmaceuticals)	Inflammation associated with cataract surgery	Dexamethasone	Intracameral injection that uses citric acid ester/Verisome® technology	21 days	FDA approval in early 2018
Bimatoprost SR (Allergan)	Open-angle glaucoma and ocular hypertension	Bimatoprost	Degradable, intracameral implant that is injected into the anterior chamber	4–6 months	NDA accepted in $mid-2019$
GB-102 (Graybug Vision)	nAMD, DME, RVO	Sunitinib malate	Intravitreal injection of PLGA nanoparticles	Up to 6 months	Started phase 2 trial
DurasertTM (EyePoint Pharmaceuticals)	Posterior uveitis. intermediate uveitis, panuveitis	Fluocinolone acetonide	Injectable, dexamethasone intraocular suspension	3 years	FDA approval in late 2018
ENV515 Travoprost XR (Envisia Therapeutics)	Glaucoma and ocular hypertension	Travoprost	Biodegradable intracameral implantation made from PRINT© technology	6 months	Completed phase 2 study that lowered IOP similarly to ophthalmic solution

Table 41.1 Summary of clinical drug delivery systems for small-molecule drugs

tension. Bimatoprost SR is injected directly into the anterior chamber, allowing a much lower loading dose as compared to ophthalmic bimatoprost 0.03% solution. Furthermore, the elimination of total drug exposure improves the safety of treatment by leading to fewer adverse events. After a completed phase 3 study that showed a similar reduction in IOP by 30% as compared to daily topical treatment, the new drug application (NDA) for Bimatoprost SR was approved in mid-2019 [[15,](#page-11-13) [16\]](#page-11-14).

Envisia Therapeutics created ENV515 Travoprost, another degradable polylactic intracameral implant that showed promising results as a treatment for glaucoma and ocular hypertension. A phase 2 study showed that its release of travoprost for 6 months lowers IOP similarly to ophthalmic solution [[17,](#page-11-15) [18\]](#page-12-0).

41.2.2 Injectable Micro/ Nanoparticles

Due to the wide availability of different materials that can be tailored for specific drugs and applications, micro/nanoparticles have also been an attractive drug delivery method for therapeutic agents. Synthetic, biodegradable polymers are commonly used to develop DDSs due to their biocompatibility, adjustable degradation, and reproducible release [[19\]](#page-12-1). While intravitreal injections are more invasive than other administration routes, they allow for more direct delivery to the targeted site with well-controlled doses.

Poly(D,L-lactate-*co*-glycolide) (PLGA) has evolved into most widely used copolymer for making micro- and nanoparticles since its approval by FDA for medical and biological applications. Graybug Vision's GB-102, which recently started phase 2 clinical trials, consists of sunitinib malate-loaded poly(lactic-*co*-glycolic acid) (PLGA) microspheres that are injected into the inferior vitreous for the treatment of neovascular AMD (nAMD), diabetic macular edema (DME), and RVO. Administering by intravitreal injection allows for a localization of the microspheres, which does not disrupt vision since they are injected inferiorly. The PLGA microspheres allow for controlled, extended release of drug and high drug loading. Thus, the annual number of injections could be reduced to twice per year [\[20](#page-12-2)]. On the other hand, PLGA microspheres have some disadvantages such as low drug encapsulation and generation of acidic environments upon degradation [\[4](#page-11-3)].

Dexycu®, recently approved by the FDA, is an intracameral injection using Verisome® technology to deliver dexamethasone for 21 days for inflammation associated with cataract surgery. Developed by EyePoint Pharmaceuticals, Verisome® technology is a drug delivery system that has a wide range of applications including peptides, small molecules, and proteins. This system can be formulated into a biodegradable solid, gel, or liquid substance that provides a controlled, extended release of drug. It serves as an alternative to corticosteroid drops post-cataract surgery [\[21](#page-12-3)]. The Verisome® technology has application for delivery to the posterior segment.

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41.2.3 Injectable Hydrogels

Hydrogels have become one of the most useful materials for biomedical applications including drug delivery, tissue-engineering scaffolds, and cell transplantation since their development in 1960s [\[22\]](#page-12-4). Their high water content and mild preparation conditions make them inherently good candidates as drug delivery carriers for cells and many labile therapeutic agents such as small molecules, peptides, proteins, and nucleic acids [\[23](#page-12-5)–[25\]](#page-12-6). Some hydrogels can modulate their gelation behavior upon changes of environment, such as temperature, pH, and ionic strength [[26](#page-12-7), [27](#page-12-8)]. This makes them injectable through small-gauge needles as either aqueous solution or liquid-like form, and then they solidify into hydrogels at physiological conditions [[26](#page-12-7), [27](#page-12-8)]. These injectable hydrogels are easily fabricated and have gained significant interests as drug delivery candidate into small spaces within the body, specifically the ocular structures.

Ocular Therapeutix's hydrogel technology is a versatile platform employed to create sustainedrelease therapies, including OTX-TP, OTX-TIC, and OTK-TKI, that may expand treatment options across multiple ocular conditions. The easy-to-inject, bioresorbable hydrogel has an adjustable and consistent release rate [[28,](#page-12-9) [29\]](#page-12-10). OTX-TP, which releases travoprost on the ocular surface for up to 90 days, is recruiting patients for its phase 3 clinical trial [[30\]](#page-12-11). Similarly, OTX-TIC releases travoprost for 3–4 months for the treatment of glaucoma and ocular hypertension. Preclinical studies in beagles have demonstrated sustained intraocular pressure lowering and maintenance of drug levels in the aqueous humor [\[31](#page-12-12)]. Lastly, OTX-TKI is administered via intravitreal injection and releases tyrosine kinase inhibitors (TKIs) for 6 months. Preclinical studies in Dutch belted rabbits demonstrate that a therapeutic level of drug was maintained for up to 12 months with efficacy in a VEGF-induced vascular leakage model [[32\]](#page-12-13). While this technology seems effective, safety and biocompatibility need to be further investigated.

Huu et al. have developed a light-responsive hydrogel that releases nintedanib-loaded nanoparticles for the treatment of macular degeneration and DR for up to 30 weeks [\[33](#page-12-14)]. This system allows for a more controlled release of drug than bolus injections since drug release is triggered by UV exposure. Preliminary studies have shown that the light-sensitive nanoparticles are safe and biocompatible [[33\]](#page-12-14). Further research must be conducted before starting clinical trials.

41.2.4 Ocular Inserts

Eye drops are commonly prescribed due to the ease of use and noninvasive qualities. Even when patients follow dosage regimens, they are less effective than other routes due to low absorbance and fast clearance within the eye. DDSs that increase the contact time of drug with the cornea allow for better delivery. Figure [41.1](#page-4-0) shows Allergan's bimatoprost ring, an externally applied ring that releases for up to 6 months for the treatment of open-angle glaucoma and ocular hypertension. It consists of an outer silicone ring that covers a polypropylene support structure shown in Fig. [41.2](#page-4-1). The concentration gradient allows drug to diffuse passively from the silicon matrix to the tear film. A completed phase 2 study showed similar results to eye drop treatment [[15,](#page-11-13) [16\]](#page-11-14). The bimatoprost ring was able to reduce IOP with clinical relevance over less than 19 months when applied every 6 months [[15,](#page-11-13) [16](#page-11-14), [34](#page-12-15)]. A major challenge with this design is determining proper loading dose of drug and achieving a controlled drug release due to the variability of the ocular surface conditions.

Fig. 41.1 External application of bimatoprost ring onto the upper fornix [\[34\]](#page-12-15)

Ocular Therapeutix's resorbable hydrogel technology, as previously mentioned, is also used as an ocular insert. Dextenza® releases dexamethasone on the ocular surface for up to 30 days as a treatment for postsurgical pain and inflammation [\[28](#page-12-9), [29](#page-12-10)]. It is placed in the canaliculus through the punctum, activated by moisture, and reab-sorbed in the nasolacrimal system [[35\]](#page-12-16). In mid-2019, the FDA accepted the resubmission of the NDA for Dextenza®.

41.2.5 Microneedles

Micron-size needles, or microneedles, enable minimally invasive delivery of free or encapsulated drug. Clearside Biomedical developed a microneedle and injector that administers a suprachoroidal injection of corticosteroid triamcinolone acetonide (CLS-TA), which is Clearside Biomedical's proprietary suspension of TA. It is used for the treatment of uveitis, RVO, and DME. The injector allows for consistent insertion of microneedle into the suprachoroidal space. Thus, this method reduces the risks commonly associated with intravitreal injections, including the potential for retinal damage [[36\]](#page-12-17). Due to the small surface area of the microneedle, this system is limited to small molecules and microneedles cannot always deliver a therapeutic dose. Currently, the use of microneedles for the treatment of uveitis is undergoing a phase 3 clinical trial. Around 46.9% of patients receiving treatment had an increase in visual acuity from baseline as compared to only 15.6% of the control patients [\[36](#page-12-17), [37](#page-12-18)]. As for safety, around 11.5% of treated patients had increased IOP but the control patients did not have any increases. Clearside Biomedical plans to submit a new drug application to the FDA and other regulatory agencies outside of the USA by the end of 2019 [[36\]](#page-12-17). Clearside Biomedical is also conducting a phase 3 clinical trial for a combination therapy of suprachoroidal CLA-TA with one or two intravitreal injections of anti-VEGF [\[37\]](#page-12-18). Reported in a phase 2 study, the combination treatment group had a 61% reduction in the number of re-treatments as compared to the group treated with aflibercept alone [[38\]](#page-12-19).

With the increasing demand for effective treatments, there are many microneedle DDSs in preclinical stages for the delivery of small molecules. An example of microneedle in preclinical stages includes a fenestrated microneedle made from titanium deep-reactive ion etching developed by Khandan et al. [[39\]](#page-12-20). The design of the fenestrated needle increases the surface area, allowing for a higher dose of drug. It may also allow for the delivery of some larger molecules [\[39](#page-12-20)]. Another example is a rapidly dissolving microneedles fabricated from polyvinylpyrrolidone (PVP) designed by Thakur et al. [\[40](#page-12-21)]. Moreover, the rapidly dissolving microneedle is less invasive than previously developed microneedles. It also has the potential to deliver macromolecules [[40\]](#page-12-21).

41.3 DDS for Macromolecular Biological Drugs

With the rapid progress in biotechnology in the last several decades, there has been a growing number of macromolecular biological drugs such as peptides, proteins, and nucleic acids developed for prevention, diagnosis, or treatment of a variety of diseases [[41\]](#page-12-22). Ophthalmology is one field that has benefited enormously from the development of biological therapeutics. For example, the introduction of anti-VEGF therapy has revolutionized the clinical management of ocular neovascular diseases and eventually evolved into the standard of care for wet AMD and PDR [[42–](#page-12-23)[44\]](#page-13-0). Despite its great success, a major challenge of anti-VEGF drugs and other protein therapeutics is their fast clearance and relatively short half-life [\[10](#page-11-9), [45\]](#page-13-1). Therefore, frequent and repeated intravitreal (IVT) injections (every 4–6 weeks) are required for management of chronic eye diseases. However, these repeated IVT injections usually present increased risk of potential complications including endophthalmitis, retinal detachment, intravitreal hemorrhage, and cataract [\[10](#page-11-9), [12\]](#page-11-10). Additionally, the significant socioeconomic burden upon patients, families, and healthcare systems cannot be ignored. In 2017, it was estimated that there were 22.3 million IVT injections performed globally and 5.4 million injections in the

Product name		Therapeutic		Release	Developmental
company	Target disease	agents	Mechanism of action	duration	stage
Refillable rigid port delivery system (Genentech)	Wet AMD	Anti-VEGFs (ranibizumab)	A refillable. nonbiodegradable reservoir intravitreal implant with a diffusion- control mechanism	4–6 months. on-demand refill	Phase 2
OTX-IVT (Ocular Therapeutix and Regeneron)	Wet AMD and other serious retinal neovascular diseases	Anti-VEGFs (aflibercept)	Intravitreal injection of bioabsorbable hydrogel with capacity of controlling release by modulating hydrogel pore size and hydrolysis	4–6 months	Collaboration with Regeneron for ongoing in vitro and in vivo study
Encapsulated cell technology (Neurotech)	Retinal degenerative diseases like AMD, glaucoma, RP and MacTel	CNTF	Genetically engineered NTC-200 cell line capable of continually releasing CNTF	Over 2 years	NT-501 for treatment of MacTel in phase 2
Posterior micropump (Replenish Inc.)	Retinal diseases	Various (ranibizumab)	Wirelessly controlled micropump implant that releases drug through a one-way valve	3 months	Phase 3

Table 41.2 Summary of clinical drug delivery systems of macromolecular biological drugs

USA alone [[12\]](#page-11-10). Thus, a sustained DDS for protein drugs like anti-VEGF is greatly needed to reduce the frequency of IVT injections and the associated societal burdens.

Recent years have seen a variety of drug delivery systems being developed for controlled and extended delivery of anti-VEGF and other protein drugs in the form of ocular implants, cellbased systems, injectable nano/microparticles, injectable hydrogels, and composite systems [[4\]](#page-11-3). However, only a limited number of systems are advancing in clinical trials. The main challenge of these DDSs is maintaining a sustained therapeutic level of protein bioactivity during both manufacture and release stages, since biological drugs like proteins rely heavily on their complex structural integrity to perform their biological activities (e.g., neutralization of a cytokine or a growth factor). Numerous stress factors during DDS fabrication, release, and degradation such as presence of catalyst, changes in temperature

and pH, and polymer-induced aggregation/ adsorption [\[5](#page-11-4), [46,](#page-13-2) [47](#page-13-3)] can contribute to loss of protein bioactivity. In this section, some of the most promising ocular DDSs for biological drugs, both in clinical studies and preclinical studies, are discussed. And Table [41.2](#page-6-0) summarizes the DDSs currently in clinical studies.

41.3.1 Ocular Implants

Refillable rigid port delivery system (RPDS) is an intravitreal implant that is the size of a grain of rice (Fig. [41.3\)](#page-7-0). It consists of a subconjunctival refill port outside of the eye and an intravitreal reservoir preloaded with drug. It was first developed by Forsight Vision4, and then licensed exclusively to Genentech as the platform offering ranibizumab (Lucentis®) in a sustained-release format. One of the biggest advantages of RPDS is that on-demand refills can be performed in an in-

Fig. 41.3 The ranibizumab refillable port delivery system (RPDS) [[49](#page-13-5)]

office procedure using a customized exchange needle. The replacement with new drug not only maintains drug potency, but also provides reproducible and predictable drug release after each refill. However, since the drug reservoir is nonbiodegradable, both surgical implantation and removal are required which may increase risks of complications [\[48](#page-13-4)]. Recent phase 2 data showed that the median time to refill was 8.7, 13.0, and 15.0 months in PDS 10 mg/mL, PDS 40 mg/mL, and PDS 100 mg/mL [[49\]](#page-13-5). The data also demonstrated that the PDS 100 mg/mL arm had visual and anatomic outcomes comparable with monthly intravitreal ranibizumab group based on the adjusted mean BCVA [\[49](#page-13-5)].

Replenish Inc.'s posterior micropump (PMP) system is a mini programmable drug pump fabricated using principles of microelectromechanical system (MEMS) technology. The pumping mechanism is based on electrolysis and the pump includes a drug refill port as well as a check valve to control drug delivery [[50\]](#page-13-6). Recently, the system has been investigated for safety and surgical feasibility to deliver ranibizumab for treatment of diabetic macular edema (DME) in a phase 1

study. It was reported that the PMP was safely implanted subconjunctivally in 11 diabetic patients with DME, and capable of delivering a programmable microdose of ranibizumab into the vitreous cavity for 90 days [[51\]](#page-13-7).

Recently, a nanoporous film device has been developed by Zordera Inc. for controlled delivery of anti-VEGF for ocular neovascularization (Fig. [41.4](#page-8-0)). This intravitreal implant consists of capsule, preloaded with drug pellet, sandwiched between a nanoporous thin film and a second impermeable thin-film layer made from biodegradable polycaprolactone (PCL). The device is only 40 μm thick, which allows intravitreal implantation through a syringe. The pore size can match the drug molecule diameter to achieve sustained, zero-order release over the course of several months before polymer complete degradation. Recent studies have established in vitro release of ranibizumab for 16 weeks, and safety and in vivo release on New Zealand rabbit model for 3 months [[52\]](#page-13-8).

Encapsulated cell technology (ECT) is essentially a cell-based delivery system and has been proven to be a very effective strategy for long-

term delivery of biologically active proteins and polypeptides to both central nervous system (CNS) and retina [\[53](#page-13-9)]. Neurotech Pharmaceuticals, Inc. has been developing its ECT platform targeting retinal degenerative diseases like AMD, glaucoma, retinitis pigmentosa, and macular telangiectasia (MacTel). The basis of this ECT platform is the genetically engineered human retinal pigment epithelial cell line, known as NTC-200 cell line, capable of continuously secreting ciliary neurotrophic factor (CNTF). The cells are packaged into a nonbiodegradable polymeric capsule device consisting of internal scaffolding and a semipermeable exterior membrane, which allows for controlled cell growth and continuous protein production and delivery for over 2 years. Despite its invasive implantation and surgical removal procedure, this system is theoretically versatile for different cell lines and protein therapeutics with controlled, continuous, and sustained release [\[54](#page-13-10)]. Neurotech's NT-501 ECT for CNTF delivery is undergoing phase 2 clinical studies for the treatment of MacTel and glaucoma [\[55](#page-13-11), [56](#page-13-12)].

Although the above ocular implant systems have shown promising results in preclinical studies and are currently advancing to/in clinical stages, the invasive implantation and sometimes surgical removal procedures (if nondegradable material used) required for these devices can increase risks of complications. Therefore, injectable delivery systems such as micro/nanoparticles, hydrogels, and particle-hydrogel composite systems have emerged recently as promising strategies for controlled delivery of protein drug in a minimally invasive manner.

41.3.2 Injectable Micro/ Nanoparticles

Since most macromolecular biological drugs like protein are hydrophilic, they can usually be encapsulated into polymeric capsules by the solvent/evaporation method from emulsifications such as water-in-oil-in-water (w/o/w) or solid-inoil-in-water (s/o/w) emulsions [\[19](#page-12-1)]. To preserve the protein stability during manufacture, additional protein stabilizers like albumin, sugars, and surfactant are commonly employed. After identifying bovine serum albumin (BSA) as a major protective agent for bevacizumab during emulsification procedure, Varshochian et al. developed an albuminated PLGA nanoparticle for sustained delivery of bevacizumab [\[57](#page-13-13)]. The nanoparticles were fabricated by w/o/w double emulsion using albumin as a stabilizer, with a size of ~200 nm. Their recent studies in rabbits showed that vitreous concentration of bioactive bevacizumab was maintained above 500 ng/mL for about 8 weeks after single intravitreal injection [[58\]](#page-13-14). Bevacizumab-loaded PLGA microparticles were also fabricated using s/o/w method by Zhou Ye et al. [[59\]](#page-13-15). The size of particles was 2–7 μm. A significantly prolonged half-life of bevacizumab in vitreous (9.6 days) and aqueous humor (10.2 days) has been achieved in New Zealand albino rabbits, compared to 3.91 days and 4.1 days for free drug, respectively [[59\]](#page-13-15). However, low protein encapsulation efficiency (usually <60% for microparticles and <30% for nanoparticles, respectively), high initial bursts (20–50% of encapsulated protein in the first

24 h), incomplete release of the entrapped proteins, and loss of protein drug bioactivity during release are major challenges for PLGA particulate systems [\[19](#page-12-1), [60](#page-13-16), [61](#page-13-17)].

Among the above challenges, preserving protein drug stability and bioactivity during manufacture and release remains the biggest challenge for PLGA micro/nanoparticle systems. Protein stability is mainly affected by moisture-induced aggregation caused by initial fast water uptake, nonspecific protein adsorption to polymer surface, and covalent/non-covalent aggregation due to acidic microenvironment after polymer degradation [\[5](#page-11-4), [47](#page-13-3)]. Although stabilizers are added to counter these stress factors, their primary effects are during manufacture and initial release. Significant low protein bioactivity is usually seen after 2–3 months of release [\[62–](#page-13-18)[64\]](#page-13-19). Recent studies showed that more hydrophilic polymers such as PEG-based PLGA diblock/triblock copolymers, alginate, and polyethylene glycolpolybutylphthalate (PEG-PBT) may improve release and protein bioactivity during release [\[65](#page-13-20)[–67](#page-13-21)]. For example, Adamson et al. investigated different formulations of their PEG-PBT microparticles (based on PEG length, PEG:PBT ratio, and water/polymer ratio) for sustained release of their newly designed anti-VEGF dual antibody [\[66\]](#page-13-22). It was reported that more than 50% of protein bioactivity can be maintained throughout 6 months of release in vitro. Inhibition of laser-induced choroidal neovascularization by single intravitreal injection of drug-loaded microparticles was also found in primates [[66\]](#page-13-22). Despite progress in polymers, a more important concern for these injectable particulate systems is that migration of these particles after injection into the eye can cause faster clearance by phagocytes, ocular lymphatic, and vascular circulation and sometimes even lead to complications such as glaucoma and ocular inflammation [[66,](#page-13-22) [68\]](#page-13-23). This movement of particles in the eye may limit their applications as sustained DDS by IVT injections.

41.3.3 Injectable Hydrogels

Ocular Therapeutix's injectable and bioresorbable hydrogel technology is recently also seeking application for sustained anti-VEGF delivery. They are collaborating with Regeneron (manufacturer for aflibercept) to investigate the feasibility of OTX-IVT for sustained delivery of aflibercept for 4–6 months targeting wet AMD and other serious retinal neovascular diseases [\[69](#page-14-0)].

Thermoresponsive hydrogels have been particularly attractive candidates as injectable ocular DDS in a minimally invasive manner because they used temperature change as the trigger for their gelation and changes in swelling. At room temperature, these hydrogels either are in solution form or have a fluidlike consistency. After injection into the eyes, they either in situ cross-link or solidify into a solid form upon reaching the body temperature [[26,](#page-12-7) [27](#page-12-8)]. These hydrogels usually have a sharp volume phase transition temperature at \sim 30–33 °C, which makes them ideal candidates for localized and extended drug delivery. Recently, Kang-Mieler et al. have developed a poly(*N*-isopropylacrylamide) (PNIPAAm)-based thermoresponsive hydrogel by cross-linking PNIPAAm with poly(ethylene glycol) diacrylate (PEG-DA) or poly(ethylene glycol)-*co*-(L-lactic acid) diacrylate (PEG-PLLA-DA) through free radical polymerization [\[70,](#page-14-1) [71](#page-14-2)]. It was shown that this system is capable of localized release of proteins such as bevacizumab and ranibizumab for about a month and induced no long-term effects on retinal function [[71,](#page-14-2) [72](#page-14-3)]. Additionally, controlled degradation and complete release from these hydrogels were achieved by incorporating biodegradable copolymer and other additives [\[71\]](#page-14-2). Other than PNIPAAm, thermoresponsive copolymer poly(2 ethyl-2-oxazoline)-*b*-poly(*ε*-caprolactone)-*b*poly(2-ethyl-2-oxazoline) (PEOz-PCL-PEOz) was also synthesized and used to make biodegradable thermoresponsive hydrogels for extended release of bevacizumab by Wang et al. [[73](#page-14-4)]. Good in vitro and in vivo biocompatibility was achieved using human retinal pigment epithelial cell line and rabbit for 2 months, respectively [[73\]](#page-14-4). An extended release of bioactive bevacizumab from hydrogels for 1 month in vitro was established, although no in vivo efficacy data on animal models have been reported [[73\]](#page-14-4). Poly(ethylene glycol)-poly-(serinol hexamethylene urethane), or ESHU, thermoresponsive hydrogel was developed by Rauck et al. to encapsulate and extend the

delivery of bevacizumab after IVT injection into New Zealand white rabbit eyes [\[74](#page-14-5)]. It was reported that the system was easily injected through a 31-gauge needle with a force required less than for a 1% solution of hyaluronic acid, a commonly injected ophthalmic material. The system was proved to be biocompatible in rabbit eyes and a significant higher bioactive bevacizumab concentration was detected in eyes receiving bevacizumab-loaded hydrogel IVT injections than those receiving the bolus injections [[74\]](#page-14-5).

Instead of preforming a hydrogel with phase transition properties as injectable DDS, alternative strategy is developing in situ-forming hydrogels, which usually consist of polymers in aqueous solution form and are spontaneously chemically or physically cross-linked into solid hydrogels upon physiological conditions after injection [[26\]](#page-12-7). Loading with adjustable drug dosage could usually be easily achieved for these hydrogels since most protein drugs are hydrophilic and can be completely dissolved into the aqueous precursor at desirable concentrations. In addition, since usually no initiator is necessary, better protein stability and biocompatibility are anticipated [[26\]](#page-12-7). Recently, a vinyl sulfone-functionalized hyaluronic acid (HA-VS)-thiolated dextran (Dex-SH) in situ-forming hydrogels have been developed by Chau et al. for controlled delivery of bevacizumab [\[75](#page-14-6)]. The bevacizumab-containing polymer solution was injected into rabbit eyes and then chemically cross-linked into transparent hydrogels at physiological condition. Binocular indirect ophthalmoscope (BIO) images, full-field electroretinogram (ERG), and histology showed that the hydrogels were safe for rabbit eyes after injections. It was also promising that a concentration of bioactive bevacizumab, about $10⁷$ times higher than bolus injection, was reportedly maintained 6 months after injection [[75\]](#page-14-6).

Although injectable hydrogels are very promising vehicles for use in developing new extended ocular DDSs, challenges such as (1) difficulty sterilizing, especially when biomolecules such as proteins are encapsulated [[76,](#page-14-7) [77\]](#page-14-8); (2) potential for toxic effects caused by residual initiators after polymerization [\[78\]](#page-14-9); and (3) most-of-the-time relatively faster release of protein drugs (less than

2–3 months) due to their inherently higher water content [\[73–](#page-14-4)[75\]](#page-14-6), have limited their application as extended ocular DDS for protein therapeutics.

41.3.4 Composite DDSs

As discussed before, although injectable polymeric micro/nanoparticles usually can provide controllable and sustained drug release through adjustments made to their polymer composition, difficulties to localize them to the injection site in the eyes can be problematic for long-term release applications. Due to their either thermoresponsive or in situ gelation properties, injectable hydrogels can be good candidates as second carrier for micro/nanoparticles to obtain localized and extended drug release after injection [\[4\]](#page-11-3). This composite DDS may offer advantages over both particles and hydrogels alone by further extending release and reducing initial burst. In addition, both proteins and small molecules can be encapsulated into particles and hydrogels in a variety of ways to enhance delivery potential. More recently, this strategy has been validated by Kang-Mieler et al. who have combined their injectable PNIPAAm-based thermo-responsive hydrogel with PLGA microspheres to create a microsphere-hydrogel composite DDS [[79–](#page-14-10)[81](#page-14-11)]. Their DDS was able to encapsulate ranibizumab or aflibercept and release them in a controlled manner for \sim 200 days [\[80,](#page-14-12) [81\]](#page-14-11). In vitro bioactivity during release and in vivo efficacy in laser-induced CNV rodent model have been established [\[64,](#page-13-19) [82\]](#page-14-13). By controlling the amounts of microspheres suspended within the hydrogel, total amount of drug delivered to the retina can be controlled without changing the volume and injectability of the system. More recently, by introducing hydrolytically degradable polymer poly(ethylene glycol)-co-(L-lactic acid) diacrylate to PNIPAAm-based hydrogels, they were able to make their hydrogel also biodegradable. It was found that this microspherehydrogel DDS was more biocompatible and at the same time capable of releasing bioactive aflibercept for 6 months in vitro [\[64\]](#page-13-19).

41.4 Conclusions

Due to the increased number of patients afflicted by a variety of ocular disorders, sustained DDSs providing better treatment and management of the medical and surgical diseases previously discussed in this chapter are currently in high clinical demand. Comparing to traditional methods of ocular drug delivery such as eye drops, periocular/intraocular injections, and systemic delivery, sustained DDSs are designed to encapsulate and deliver the specific therapeutic agents to the target sites for an extended period of time at a therapeutic level without any detriment to the drug. Since most of the small-molecule ophthalmic drugs are more stable than protein therapeutic drugs in terms of maintaining activity during DDS manufacture and release, there are numerous DDSs for small-molecule drugs available in the market or advancing in clinical studies. However, utilization of full clinical potential of novel sustained DDSs for protein drug (e.g., anti-VEGF) has been limited by protein instability during DDS manufacture and release. Overall, with the advancement in drug discovery and development, biomaterials, and microfabrication techniques, novel sustained DDSs for a variety of drugs targeting for ocular diseases are expected to grow fast and achieve better management and control of ocular diseases in future.

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