

# Chapter 7

## Bioelectrochemical and Reversible Interconversion in the Proton/Hydrogen and Carbon Dioxide/Formate Redox Systems and Its Significance in Future Energy Systems



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### 7.1 Introduction

Energy is an integral part of human activities. The industrialization and growing population in the world require increasing amounts of energy. To a great extent, major economies of the present-day world rely on fossil fuels. Increasing consumption of fossil fuels is not environmentally friendly, since fossil fuels release greenhouse gases and other pollutants as major contributors to planet warming (European Commission 2003). These global energy problems could be solved by sourcing environmentally clean, cheap, and sustainable energy systems. The introduction of hydrogen ( $H_2$ ) is considered as the universal vector for conveying renewable forms of energy and as an ultimate ideal sustainable non-pollutant fuel (Rand 2011; Ren et al. 2017; Sahaym and Norton 2008). The proposal to use  $H_2$  as both an energy vector and an ultimate fuel has become known as hydrogen economy or hydrogen energy system in the present day (Fig. 7.1), though the term “hydrogen economy” was first used by J. O’Mara Bockris in 1970 at General Motors Technical Center (Abe et al. 2019). On the other hand, electrical energy is the most important, versatile, and useful of the secondary energy sources and can be obtained and stored by electrochemical reactions in suitable electrochemical devices. Fuel cells that convert the primary energy source,  $H_2$ , to the secondary one, electricity, to generate water ( $H_2O$ ) are key technologies for a hydrogen economy.  $H_2$  can be produced from  $H_2O$  as a huge storeroom of  $H_2$ , although a lot of electric energy is required in splitting  $H_2O$  into  $H_2$  and oxygen ( $O_2$ ) by electrolysis at conventional electrodes. In any event, electrochemistry, a truly interfacing science, plays vital and important role to construct environmentally clean, cheap, and sustainable energy systems.

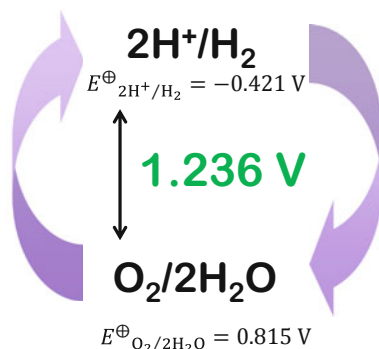
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**Fig. 7.1** Schematic of the hydrogen economy, in which two redox couples:  $2\text{H}^+/\text{H}_2$  and  $\text{O}_2/2\text{H}_2\text{O}$  play important roles. The difference in the biological standard redox potentials ( $E^\ominus$ ) of the two couples is 1.236 V at  $1 \times 10^5$  Pa and  $25^\circ\text{C}$ .  $E^\ominus$  is referred to the standard hydrogen electrode (SHE)



Future well-being of the planet Earth may lie in the hands of electrochemists (Rand 2011).

However, the electrochemical interconversions between proton ( $\text{H}^+$ ) and  $\text{H}_2$  and between  $\text{O}_2$  and  $\text{H}_2\text{O}$  usually have large energy losses in kinetics at conventional electrodes. Such energy loss is often called overpotential in the field of electrochemistry. It is very important to minimize the energy loss during the interconversion of energy sources to realize ideal and sustainable energy systems. In order to decrease the overpotentials of the  $\text{H}_2$  oxidation and the  $\text{O}_2$  reduction in  $\text{H}_2/\text{O}_2$  fuel cells, devices have to rely on the requirement of catalysts, usually novel metals such as platinum (Pt). Because of availability and economic issue in the use of Pt, extensive researches have been done during the last few decades to decrease or replace Pt in fuel cells.  $\text{H}_2\text{O}$  splitting into  $\text{H}_2$  and  $\text{O}_2$  also requires catalysts.

One of the strategies to decrease the overpotential of the interconversion is utilization of redox enzymes as electrode catalysts. Hydrogenase ( $\text{H}_2$ ase) catalyzes the reversible redox reactions of  $\text{H}^+/\text{H}_2$ , while multicopper oxidase (MCO) catalyzes a 4-electron redox reaction of  $\text{O}_2$  without producing any intermediate. Photosynthetic organisms or thylakoid membranes can catalyze photochemical splitting of  $\text{H}_2\text{O}$ .

On one level,  $\text{H}_2$  shows poor properties in storage and transportation issues. Alternative and attractive fuels in this sense may be some liquid or ionic solutes with high water solubility that can be completely burned in their oxidation. One of the possible fuels is formate ( $\text{HCOO}^-$ ) (Asefa et al. 2019). However, it is very important to construct a technology to re-reduce its oxidized product, carbon dioxide ( $\text{CO}_2$ ), in order to prevent an increase in the greenhouse gas. Unfortunately, the redox interconversion of the  $\text{CO}_2/\text{HCOO}^-$  couple has extremely high kinetic barrier at conventional electrodes, and then large overpotentials are required. Energetic researches have been devoted to find and synthesize effective catalysts for the interconversion of the redox couple (Chaplin and Wragg 2003; Enthaler et al. 2010; Gunasekar et al. 2016; Asefa et al. 2019; Grubel et al. 2020). The platinum group elements show relatively high catalytic activity (Enthaler et al. 2010; Gunasekar et al. 2016; Grubel et al. 2020). However, the selectivity and activity in such metal-based catalytic reactions are not acceptable for ideal and sustainable energy systems. The

development of effective catalysts for the interconversion reaction is an attractive subject. From biochemical viewpoints, it is well-known that methylotrophic bacteria express a variety of redox enzymes involved in the C<sub>1</sub> metabolism (Kletzin and Adams 1996; Rotaru et al. 2014; Shi et al. 2015). Molybdenum (Mo) or tungsten (W)-containing formate dehydrogenase (FDH) catalyzes the reversible interconversion of the CO<sub>2</sub>/HCOO<sup>-</sup> redox couple under mild conditions (Reda et al. 2008; Bassegoda et al. 2014; Maia et al. 2016; Yu et al. 2017). Electrochemical properties of FDHs have been actively investigated (Reda et al. 2008; Bassegoda et al. 2014; Sakai et al. 2015, 2017; Jang et al. 2018; Jayathilake et al. 2019).

Significant advantageous properties of biological redox catalysts (or redox enzymes) compared with metal-based catalysts are (1) extremely high catalytic activity, (2) low reorganization energy, (3) high specificity, (4) high identicalness and uniformity (thanks to biological expression), and (5) enormous chemical versatility. These factors are very convenient from the viewpoint of their application. In addition, the redox potential of the electrochemically communicating site of redox enzymes can be definitely defined, which leads to more rigorous discussion on current-potential curves of catalytic waves. However, redox enzymes have huge size and are fragile. The size matter causes characteristic features in direct communication of enzymes with electrodes. In general, the non-catalytic direct redox signal density of redox enzymes is too low to be detected since the surface concentration of such enzymes with huge sizes is quite low, and therefore the enzymatically amplified catalytic redox signal is actually detectable. The orientation of redox enzymes is a key factor determining the distance between the electrode surface and the redox site located near the surface of the enzyme of a large size, since the standard interfacial electron transfer rate constant ( $k^\circ$ ) decreases exponentially with the distance ( $d$ ) between the electrode surface and the redox site of the enzyme, as given by the following equation (Bard and Faulkner 2001):

$$k^\circ = k_{\max}^\circ \exp(-\beta d) \quad (7.1)$$

where  $k_{\max}^\circ$  is the standard rate constant at the closest approach, and  $\beta$  is the decay coefficient. Therefore, it is very important to control the orientation of redox enzymes on electrodes by tuning the nanostructure and the surface property of mesoporous electrodes as scaffolds for the enzymes.

In this chapter, several applications of bioelectrocatalytic reactions of H<sub>2</sub>ase and FDH will be introduced. The adsorption of these enzymes on suitably tuned mesoporous electrodes realizes bioelectrocatalytic reversible conversion of H<sub>2</sub> and HCOOH. MCO-modified electrodes and thylakoid membrane-modified electrodes realize a 4-electron reduction of O<sub>2</sub> and photobioelectrochemical splitting of H<sub>2</sub>O, respectively. H<sub>2</sub>/O<sub>2</sub> and HCOO<sup>-</sup>/O<sub>2</sub> biofuel cells are constructed by the combination of suitable bioelectrodes. Photosynthetic energy conversion is also realized by the combination of these enzyme-modified electrodes.

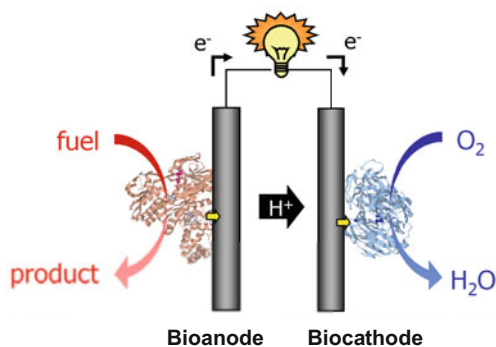
## 7.2 H<sub>2</sub>/O<sub>2</sub> Biofuel Cells

Biofuel cells (rightly, biological fuel cells) are bioelectrochemical devices that convert the reaction energy in the oxidation of several biological fuels with O<sub>2</sub> into the electrical energy with the aid of redox enzymes as electrode catalysts (Barton et al. 2004; Cracknell et al. 2008; Meredith and Minteer 2012; Mazurenko et al. 2017a, b; Mano and de Poulpique 2018). The concept of the biofuel cell was first introduced by Yahiro et al. (1964). Generally, biofuel cells consist of a two-electrode setup with the aid of corresponding redox enzymes (Fig. 7.2); fuels are oxidized at the bioanode, and electrons flow through the external electric circuit to the biocathode, at which oxidants, usually O<sub>2</sub>, are reduced (to H<sub>2</sub>O). Some proton-exchange membrane is often employed to separate two compartments while accelerating H<sup>+</sup> transfer, although it is not essential thanks to the substrate specificity of redox enzymes. The first H<sub>2</sub>/O<sub>2</sub> biofuel cell was reported in 2001 (Tsujiura et al. 2001a), though whole bacterial cells *Desulfovibrio vulgaris* (Hildenborough) were used as electrode catalysts. In the recent past, O<sub>2</sub>-tolerant or O<sub>2</sub>-sensitive H<sub>2</sub>ases are frequently utilized as electrode catalysts in biocathodes of H<sub>2</sub>/O<sub>2</sub> biofuel cells (Vincent et al. 2007; Armstrong and Hirst 2011; Lojou 2011; Mazurenko et al. 2017a, b).

H<sub>2</sub>ases catalyze H<sub>2</sub> oxidation and also frequently inverse H<sup>+</sup> reduction. They are classified into [Ni-Fe]-, [Fe-Fe]-, and [Fe]-H<sub>2</sub>ases according to the active site component (Vincent et al. 2007; Armstrong and Hirst 2011). The native electron acceptors of H<sub>2</sub>ases can be replaced with several artificial redox compounds (in the oxidized form). Some redox compounds reduced by the H<sub>2</sub>ase reaction are re-oxidized at electrodes. In this way, the enzymatic reaction can be coupled with the electrode reaction through the artificial redox compound called mediator. This reaction system is referred to as mediated electron transfer (MET)-type bioelectrocatalysis (Fig. 7.3, upper) and is applicable to most other redox enzymes.

Suitably tuned electrodes can play as electron donors for H<sub>2</sub>ases; the enzyme reaction is directly coupled with the electrode reaction without any mediator. The reaction system is referred to as direct electron transfer (DET)-type bioelectrocatalysis (Fig. 7.3, lower). Enzymes capable of the DET-type reactions

**Fig. 7.2** Schematic of biofuel cells



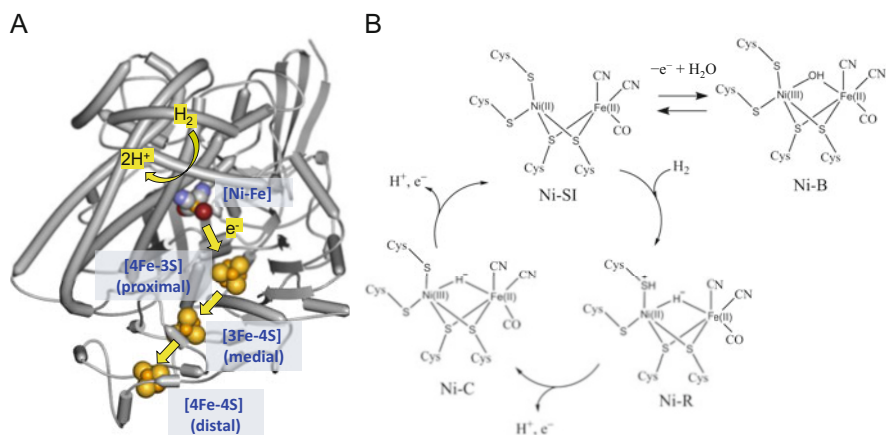
## Mediated Electron Transfer (MET)-type



## Direct Electron Transfer (DET)-type



**Fig. 7.3** Schematic of mediated electron transfer (MET) and direct electron transfer (DET)-type bioelectrocatalyses



**Fig. 7.4** (a) Structure of a [Ni-Fe]-H<sub>2</sub>ase. The structural data were taken from PDB 5XLE. The pathway of the electron transfer is indicated by yellow arrow lines. (b) The structural change in the catalytic cycle and the oxidative inactivation to the Ni-B state (Mazurenko et al. 2017b)

are small in number. Standard and O<sub>2</sub>-sensitive [Ni-Fe] H<sub>2</sub>ase from *Desulfovibrio vulgaris* Miyazaki F (*Dv*MF) is composed of large and small subunits. The large subunit contains [Ni-Fe] cluster as the catalytic center for the 2H<sup>+</sup>/H<sub>2</sub> conversion, while the small subunit contains three iron-sulfur (FeS) clusters called proximal, medial, and distal in turn from the [Ni-Fe] cluster (Fig. 7.4). In the DET-type communication, the distal FeS cluster plays as the electrode-active redox center (Lojou 2011). [Ni-Fe]-H<sub>2</sub>ases from *Dv*MF, *Escherichia coli* (*Ec*), *Allochromatium vinosum* (*Av*), *Ralstonia eutropha* (*Re*), and *Ralstonia metallidurans* (*Rm*) have been frequently used as bioanode catalysts for the H<sub>2</sub> oxidation (Mazurenko et al. 2017b), since the formal potential of the distal FeS cluster of these H<sub>2</sub>ases is rather negative. This characteristic is important to minimize the overpotential of the H<sub>2</sub> oxidation.

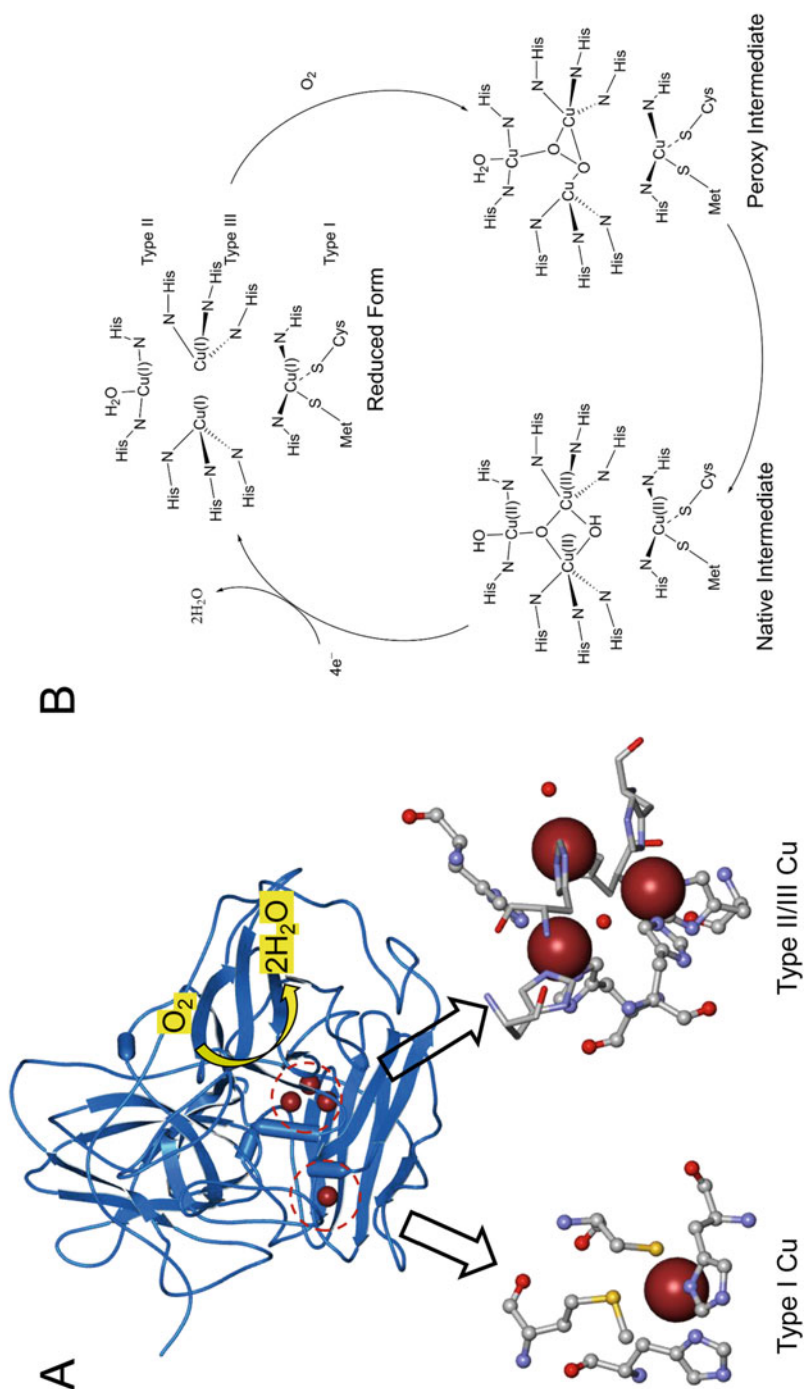
Thermostable and O<sub>2</sub>-tolerant H<sub>2</sub>ase from *Hydrogenovibrio marinus* (*Hm*) (Yoon et al. 2011) is also useful, though its formal potential is slightly positive compared with those of the abovementioned H<sub>2</sub>ases (So et al. 2016).

MCO catalyzes a 4-electron reduction of O<sub>2</sub> into H<sub>2</sub>O without generation of intermediate species. The native electron donors of MCOs can be replaced with artificial redox compounds (reduced form) or even with electrodes. Therefore, MCO can play as an electrode catalysis in the 4-electron reduction of O<sub>2</sub> in both MET- and DET-type bioelectrocatalyses (Mano and de Poulpiquet 2018). MCOs contain four copper (Cu) atoms classified into a type 1 Cu site (T1) and a type 2–3 Cu (T2/3) cluster. O<sub>2</sub> is reduced at the T2/3 Cu cluster, while electrons are accepted at the T1 Cu site from reduced mediators in the MET-reaction or from electrodes in the DET-reaction (Fig. 7.5). Bilirubin oxidase (BOD) from the fungus *Myrothecium verrucaria* (*Mv*) has been frequently utilized as a bioelectrocatalysis, since *Mv* BOD works even at neutral pH (Tsujimura et al. 2004) (in contrast, optimum pH values of most MCOs are located in slightly acidic region), and the formal potential of the T1 Cu site is rather positive (Tsujimura et al. 2005; Christenson et al. 2006; Kamitaka et al. 2007). Thermostable BOD from the bacterium *Bacillus pumilus* (*Bp*) is also utilized (de Poulpiquet et al. 2014).

Electrochemical coupling of an H<sub>2</sub>ase-based bioanode and an MCO-based biocathode yields an H<sub>2</sub>/O<sub>2</sub> biofuel cell. The power density of fuel cells is the product of the current density and the cell voltage. In order to increase the limiting current density, mesoporous materials such as Ketjen black, carbon nanotubes, carbon nanofibers, and gold nanoparticles are often utilized, since the ratio of the electrochemically active surface area against the projected area increases, as the major reason in the MET-type reaction.

On the other hand, such mesoporous structures work as scaffolds suitable for the DET-type reaction and improve the heterogeneous electron transfer kinetics. Probability of the enzyme orientations suitable for the DET-reactions increases in the mesoporous structures with sizes close to that of the enzyme (Sugimoto et al. 2016, 2017; Kitazumi et al. 2020). This is the curvature effect of the mesoporous structure in the DET-type reaction. The microporous structure also improves the heterogeneous electron transfer kinetics between enzymes and the electrode because of an increase in the electric field at the edge of the microstructures due to the expansion of the electric diffuse double layer (Kitazumi et al. 2013, 2020).

On the other hand, in order to increase the open-circuit voltage (OCV) and to decrease the overpotential in H<sub>2</sub>/O<sub>2</sub> biofuel cells, it is important to utilize an H<sub>2</sub>ase with a negative formal potential for the distal FeS and an MCO with a positive formal potential for the T1 Cu site. Fast kinetics in the electroenzymatic reaction is also important to decrease the operational overpotential. The curvature effect and the electric double layer effect of nanostructured materials are very important to improve the performance of the DET-type bioelectrocatalysis. In the case of the MET-type reaction, some energy loss (some gap in the formal potentials of a mediator and an enzyme,  $E_M^{\circ'}$  and  $E_E^{\circ'}$ ) is required to create the standard driving force ( $-\Delta_r G^\circ$ ) in the electron transfer from a substrate-reduced enzyme to an oxidized mediator for the



**Fig. 7.5** (a) Structure of BOD. The structural data were taken from PDB 3ABG. (b) The catalytic cycle of a 4-electron reduction of O<sub>2</sub> at the T2/3 Cu site (Mazurenko et al. 2017b)

substrate oxidation (and vice versa), in which  $\Delta_r G^\circ$  is the standard Gibbs energy of the reaction and is given by:

$$\Delta_r G^\circ = -nF(E_M^{\circ'} - E_E^{\circ'}), \quad (7.2)$$

where  $n$  and  $F$  are the total number of electrons in the electron transfer and the Faraday constant, respectively. The requirement of the situation in which  $E_M^{\circ'} > E_E^{\circ'}$  for the substrate oxidation is attributable to the fact that the self-exchange electron transfer rate constant ( $k_{\text{self}}$ ) of artificial mediators is usually very small (or the reorganization energy ( $\lambda$ ) is usually very large for artificial mediators that easily change the structure during the redox reaction), as given by the following equations (Marcus and Sutin 1985):

$$k \cong \sqrt{k_{\text{self,D}} k_{\text{self,A}} \exp\left(-\frac{\Delta_r G^\circ}{RT}\right)}, \quad (7.3)$$

and

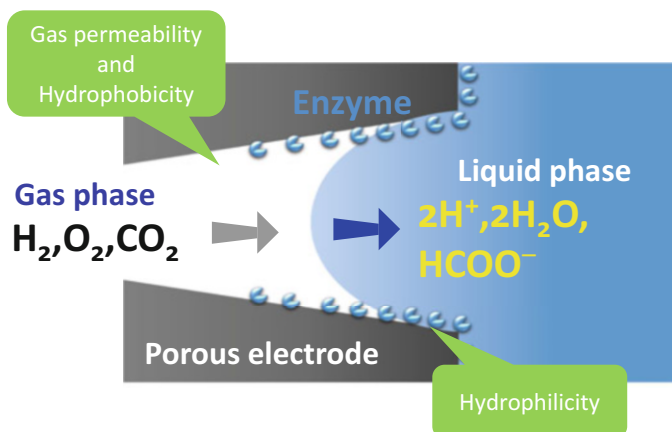
$$RT \ln \frac{k_{\text{self}}}{Z} = -\Delta^\ddagger G_{\text{self}}^\circ = -\frac{\lambda}{4}, \quad (7.4)$$

where  $k_{\text{self,D}}$  and  $k_{\text{self,A}}$  are  $k_{\text{self}}$  of the electron donor and acceptor, respectively.  $R$  and  $T$  are the gas constant and the absolute temperature, respectively.  $Z$  is a coefficient of the absolute kinetics, and  $\Delta^\ddagger G_{\text{self}}^\circ$  is the standard Gibbs energy of the activation. In this sense, the DET-type bioelectrocatalysis is preferred to the MET-type one in theory to minimize the overpotential.

Since the saturated concentrations of  $\text{H}_2$  and  $\text{O}_2$  in aqueous solutions are very low, the catalytic current becomes controlled by the diffusion of the gaseous substrates soon after electrolysis under quiescent conditions. Gas bubbling is often utilized to convect the electrolyte solutions and supply such gaseous substrates. More suitable method to minimize or avoid the concentration polarization near bioelectrodes is utilization of gas diffusion bioelectrodes under quiescent conditions (Fig. 7.6). A review article details several setups of the systems (So et al. 2017). In order to bring out better performance of gas diffusion bioelectrodes, the control of the hydrophobicity for the gas diffusion and the hydrophilicity for the enzymatic reaction is very important. Some redox enzymes utilize gaseous substrates not in solvated state but in gas phase. Typical examples are Mo- or W-complexed molybdopterin FDHs (Zhong et al. 2015) and probably most of  $\text{H}_2$ ases. Therefore, the three-boundary phase in gas diffusion bioelectrodes provides a situation very suitable for the DET-type bioelectrocatalyses of gaseous substrates and drastically increases the catalytic current density.

Unfortunately,  $\text{H}_2$ ases have one drawback in electrochemical application; most of  $\text{H}_2$ ases including  $\text{O}_2$ -tolerant ones are non-catalytically oxidized and inactivated into the Ni-B state (Fig. 7.4) at high potentials of electrodes and solutions even in the





**Fig. 7.6** Schematic of gas diffusion electrodes

absence of O<sub>2</sub>. Although the oxidative inactivation is reversible, the characteristic restricts the performance of H<sub>2</sub>/O<sub>2</sub> biofuel cells especially at decreased operational potentials, at which the electrode potential of the H<sub>2</sub>ase-bioanode would become sufficiently positive. Several attempts have been reported in order to overcome this issue. In the MET-type configuration, hydrogels based on viologen entities were used to immobilize DvMF H<sub>2</sub>ase and mediate the electron transfer from the enzyme to the electrode (Plumeré et al. 2014). The enzymatically reduced redox gels on the electrode were auto-oxidized with dissolved O<sub>2</sub> on the side of the electrolyte solution, but the partially oxidized gels were immediately reduced by the MET-type enzymatic reaction, and then the solution potential in the gels remained rather negative, resulting in the protection of the O<sub>2</sub>-sensitive H<sub>2</sub>ase in the gels from the oxidative inactivation by O<sub>2</sub>. Because of the MET-type reaction system, direct oxidative inactivation of H<sub>2</sub>ase on the bioanode is also minimized. In the DET-type configuration, it was found that a gas diffusion electrode system was effective to protect the oxidative inhibition of H<sub>2</sub>ase even at high electrode potentials (So et al. 2017). Since the oxidative inactivation at electrodes can be considered as an electrochemical competitive inhibition of H<sub>2</sub>ase (So et al. 2014a, b) (Fig. 7.4), the inhibition was minimized by the catalytic cycle predominantly proceeding at increased concentrations of gaseous H<sub>2</sub> at the three-boundary phase biointerface.

The performance of H<sub>2</sub>/O<sub>2</sub> biofuel cells is well summarized in the literature (Mazurenko et al. 2017b). The OCV values of most of the H<sub>2</sub>/O<sub>2</sub> biofuel cells in the literature are approximately 1 V, and some DET-type electroenzymatic devices give OCVs of 1.12–1.14 V (Monsalve et al. 2015; So et al. 2016; Xia et al. 2016). These OCV values are very close to the standard electromotive force of 1.23 V for the 1 atm-H<sub>2</sub>/O<sub>2</sub> fuel cell at 25 °C and OCVs of Pt-based polymer electrolyte H<sub>2</sub>/O<sub>2</sub> fuel cells (about 1 V) at 80 °C. This means that the overpotentials in both of the

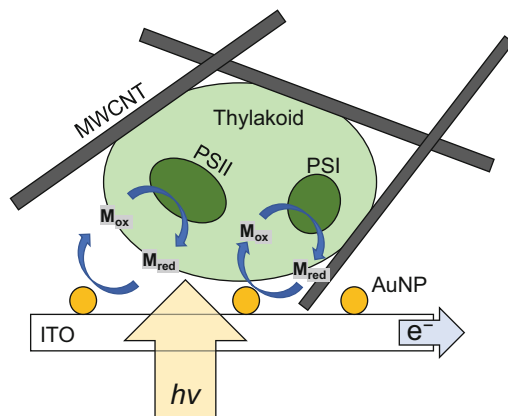
bioanode and biocathode are very small. The maximum power density of the H<sub>2</sub>/O<sub>2</sub> biofuel cells reported to date is 6.1 mW cm<sup>-2</sup> at 25 °C under 1 atm-H<sub>2</sub>/air conditions (Xia et al. 2016) and 8.4 mW cm<sup>-2</sup> at 40 °C under 1 atm-H<sub>2</sub>/O<sub>2</sub> conditions (So et al. 2016) both under quiescent conditions. These values are the world record at present as H<sub>2</sub>/O<sub>2</sub> biofuel cells.

### 7.3 Construction of Photobioelectrochemical H<sub>2</sub>O Splitting System

Water splitting ( $2\text{H}_2\text{O} \rightarrow 2\text{H}_2 + \text{O}_2$ ) would be one of the important technological breakthroughs to construct a hydrogen economy. Electrolysis of H<sub>2</sub>O at conventional electrodes results in large overpotential (and then large energy loss), though water splitting of seawater (or sodium chloride solution) is industrially used to provide sodium hydroxide and chlorine. Photochemical water splitting is still under development. The photosynthesis of photosynthetic organisms occurs in the thylakoid membrane in the chloroplast. The photosynthesis converts CO<sub>2</sub> in the atmosphere to organic substances by excited electrons generated by photobiochemical splitting of H<sub>2</sub>O as a sacrificial reagent (Barber 2009); in water splitting in the photosynthesis, the excited electrons are shunted, not to H<sup>+</sup>, but to the electron transport chain in photosystem II (PSII). When the excited electrons are extracted to an electrode, the photosynthetic charge separation system may be regarded as a photo-driven bioanode. In order to construct photo-driven bioanodes, several artificial electron acceptors have been utilized for chloroplasts (Haehnel and Hochheimer 1979; Okano et al. 1984), photosystem I (PSI) (Hill et al. 1985), PSII (Lemieux et al. 2001), photosynthetic microorganisms (Martens and Hall 1994; Torimura et al. 2001; Tsujimura et al. 2001b), and thylakoid membranes (Mimcault and Carpentier 1989; Carpentier et al. 1989; Hasan et al. 2014).

Characteristics required as mediators to be used in photo-driven bioanodes are low barrier in electrode kinetics, high stability, suitable solubility, and low redox potential (to minimize the overpotential). In addition, ideal mediators should have large O<sub>2</sub> tolerance (because O<sub>2</sub> evolution occurs at the bioanode with the PSII function) and have high permeability of biomembranes when whole cells or organisms are used. By considering these factors and a linear-free energy relationship in the electron transfer from thylakoid membranes to mediators (Kano 2019), our group proposed to use 1,2-naphthoquinone (NQ) or hexaammineruthenium (III) ([Ru(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup>) as a mediator of photo-driven bioanodes based on thylakoid membranes from spinach (Takeuchi et al. 2018; Adachi et al. 2019). The thylakoid membranes were embedded within water-spread multi-walled carbon nanotubes (MWCNTs) that were mounted on a light-permeable indium tin oxide (ITO) electrode (Fig. 7.7). MWCNTs are stabilized with each other by  $\pi$ - $\pi$  stacking after drying. ITO electrodes were spattered to form a thin gold (Au) film with a thickness of 4 nm before

**Fig. 7.7** Schematic of a thylakoid membrane/MWCNT-mounted Au/ITO electrode as a photo-driven bioanode



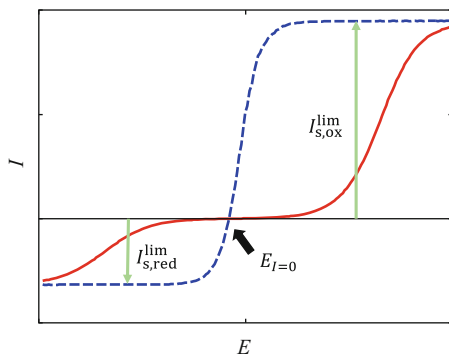
MWCNT-mounting in order to improve the electrode kinetics of the mediator. The thin Au film was acceptably light permeable. The photocurrent densities were  $0.1 \text{ mA cm}^{-2}$  with NQ (Takeuchi et al. 2018) and  $0.18 \text{ mA cm}^{-2}$  with  $[\text{Ru}(\text{NH}_3)_6]^{3+}$  (Adachi et al. 2019) at a light flux density of  $1.5 \text{ mmol m}^{-2} \text{ s}^{-1}$ . The latter value of the photo current density is the world record at present as a photo-driven bioanode to realize photobioelectrochemical  $\text{H}_2\text{O}$  splitting.

Electrochemical coupling of the photo-driven bioanode with a DET-type MCO-based biocathode yielded a bioelectrochemical photocell (called bio-solar cell) (Adachi et al. 2019). The cell exhibited an OCV of  $0.61 \text{ V}$  and a maximum power of  $50 \text{ } \mu\text{W cm}^{-2}$ , the best performance in the world. Ideally, in this bio-solar cell,  $\text{O}_2$  is reduced to  $\text{H}_2\text{O}$  at the biocathode and is regenerated at the photo-driven bioanode with PSII from  $\text{H}_2\text{O}$ . This is a typical and ideal example of an electrochemical device to underpin a hydrogen economy in Fig. 7.1, though further trial to decrease the overpotential of the photobioelectrochemical  $\text{H}_2\text{O}$  splitting is required in the future.

## 7.4 Bioelectrochemical Hydrogen Economy and Its Expansion to C1 Society

As mentioned in Sect. 7.2, most  $\text{H}_2$ ases catalyze both  $\text{H}_2$  oxidation and  $\text{H}^+$  reduction. The broken line in Fig. 7.8 shows a typical steady-state catalytic wave of bidirectional DET-type bioelectrocatalysis in the case of a system with a low kinetic barrier in the interfacial electron transfer between an enzyme and an electrode (Armstrong and Hirst 2011). The oxidized and reduced substrates are reversibly interconverted, indicating that the system does not have any overpotential in the interconversion. Such reversible redox reactions are almost impossible to be realized with metal-based catalysts and are only realized by biocatalysts in electrochemistry.

**Fig. 7.8** Conceptional steady-state catalytic waves in bidirectional DET-type bioelectrocatalysis under quiescent conditions with rather large (broken line) and small (solid line) values of the standard interfacial electron transfer rate



Similar sigmoidal waves of bidirectional DET-type bioelectrocatalysis were observed with W-containing FDH from *Methylobacterium extorquens* (*Me*) AM1 for two redox couples of  $\text{CO}_2/\text{HCOO}^-$  and  $\text{NAD}^+/\text{NADH}$  (Sakai et al. 2017; Reda et al. 2008; Bassegoda et al. 2014) and ferredoxin-NADP<sup>+</sup> reductase (FNR) from *Chlamydomonas reinhardtii* for a redox couple of  $\text{NADP}^+/\text{NADPH}$  (Siritanaratkul et al. 2017; Wan et al. 2018).

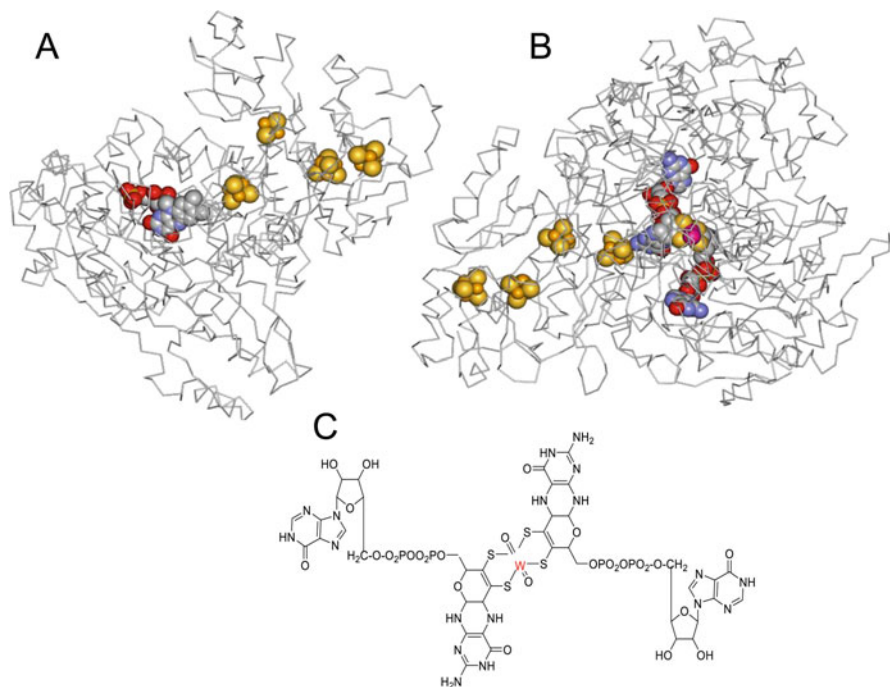
The FDH from *Me*AM1 is a heterodimeric soluble enzyme in the family of molybdopterin enzyme; W-containing subunit contains a tungstopterin cofactor as the catalytic center for the  $\text{CO}_2/\text{HCOO}^-$  interconversion and FeS clusters, while diaphorase subunit contains a non-covalently bound flavin mononucleotide (FMN) as the catalytic center for the  $\text{NAD}^+/\text{NADH}$  interconversion and FeS clusters (Fig. 7.9). The FNR is a monomeric flavoenzyme with a molecular mass of 45 kDa having flavin adenine dinucleotide (FAD) as a sole redox cofactor.

The appearance of the steady-state wave indicates that any concentration polarization does not occur at the electrode surface and that the current is controlled by the electroenzymatic process. At positive potentials, the steady-state current reaches a limiting value ( $I_{s,\text{ox}}^{\text{lim}}$ ) for the oxidation of a reduced substrate ( $\text{H}_2$  in the case of  $\text{H}_2$ ase) as given by:

$$I_{s,\text{ox}}^{\text{lim}} = n_S F A k_{c,\text{ox}} \Gamma_E, \quad (7.5)$$

where  $n_S$  is the number of electrons of the substrate,  $A$  is the surface area of the electrode,  $k_{c,\text{ox}}$  is the catalytic constant of the substrate oxidation by the enzyme, and  $\Gamma_E$  is the surface concentration of the enzyme. At negative potentials, the steady-state current reaches a limiting value ( $I_{s,\text{red}}^{\text{lim}}$ ) for the reduction of an oxidized substrate ( $\text{H}^+$  in the case of  $\text{H}_2$ ase) as given by:

$$I_{s,\text{red}}^{\text{lim}} = n_S F A k_{c,\text{red}} \Gamma_E, \quad (7.6)$$



**Fig. 7.9** Tentative structures of (a) diaphorase subunit and (b) molybdopterin subunit. (c) The structure of W-centered molybdopterin (Moco). The structural data for diaphorase and molybdopterin subunits were taken from PDB 5XF9 (NAD<sup>+</sup>-reducing H<sub>2</sub>ase) and PDB 1H0H (formate dehydrogenase from *Desulfovibrio gigas*), respectively

where  $k_{c,red}$  is the catalytic constant of the substrate reduction. The ratio  $I_{s,ox}^{lim}/I_{s,red}^{lim}$  ( $= k_{c,ox}/k_{c,red}$ ) does not necessarily correspond to the equilibrium potential of the substrate ( $E_{S,eq}$ ):

$$E_{S,eq} = E_S^{\circ'} + \frac{n_S F}{RT} \ln \frac{c_{ox}}{c_{red}}, \quad (7.7)$$

where  $E_S^{\circ'}$  is the formal potential of the substrate, and  $c_{ox}$  and  $c_{red}$  are the bulk concentrations of the oxidized and reduced substrates, respectively. The reason is that the process is not an elementary reaction, and therefore law of mass action does not hold. Fortunately, the potential at which the steady-state sigmoidal wave crosses the potential axis of zero current (called zero current potential,  $E_I = 0$ ) is identical with  $E_{S,eq}$ .

With a high kinetic barrier in the electron transfer between an enzyme and an electrode, steady-state waves show two sigmoidal parts, as shown by a solid line in Fig. 7.8. In such cases, one could not get the information on  $E_{S,eq}$ . The shifted values of the wave in the direction of the potential axis correspond to the overpotentials caused by the kinetic processes. When the catalytic constants are sufficiently large,

some concentration polarization will occur, and the current depends on time. Under such conditions, rotating disk electrode methods are required to get steady-state currents. When the limiting current is controlled by the diffusion of substrate, the reductive and oxidative limiting currents reflect  $c_{\text{ox}}$  and  $c_{\text{red}}$ , respectively, as in the case of non-catalytic conventional electrochemical measurements.

Even for the MET-type bioelectrocatalysis, bidirectional mono-sigmoidal waves were observed under logically tuned conditions for the  $2\text{H}^+/\text{H}_2$  redox couple with *Desulfovibrio vulgaris* cells (Tatsumi et al. 1999) and the  $\text{CO}_2/\text{HCOO}^-$  redox couple with W-containing FDH (Sakai et al. 2015).

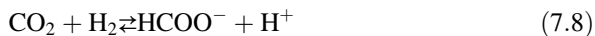
The essential requirement for such a two-way DET-reaction is that  $E_S^{\circ'}$  and the formal potential of the electrochemically active site in enzymes ( $E_E^{\circ'}$ ) are close with each other in order to minimize the kinetic barrier of uphill intramolecular electron transfer of one of the bidirectional reactions in the enzymes, as evidenced by Eqs. (7.2) and (7.3), where  $E_M^{\circ'}$  in Eq. (7.2) is replaced with  $E_S^{\circ'}$ . In the case of the MET reaction, it is very important to adjust the pH value to satisfy the condition in which  $E_M^{\circ'} \cong E_E^{\circ'}$  (Tatsumi et al. 1999; Sakai et al. 2015).

The other requirement for fast electron transfer of the uphill reactions ( $\Delta_r G^\circ > 0$ ) is that  $k_{\text{self}}$  in Eq. (7.3) should be very large, that is,  $\lambda$  in Eq. (7.4) should be very small. Peptide matrix frequently plays an important role to minimize the geometric change. Therefore, the uphill electron transfer is often observed in the intramolecular electron transfers in redox proteins in some instances. (Such situation of small value of  $\lambda$  in redox proteins also affects  $E_E^{\circ'}$ . For example, although  $\text{Cu}^+$  prefers a tetrahedral coordination and the ligand of  $\text{Cu}^{2+}$  arranges in a square planar configuration, the ligands and the conformations of type I copper of blue copper proteins (e.g., of MCO) remain almost unchanged during the redox reaction, and they considerably deviate from those preferred by  $\text{Cu}^{2+}$ , leading to a destabilization of the oxidized state and then a rise in the formal potential to get strong oxidation activity.)

In the case of FDH, the formal potentials of tungstopterin, FeSs, and FMN seem to be located close with each other and also close with those of the redox couples of  $\text{CO}_2/\text{HCOO}^-$  and  $\text{NAD(P)}^+/\text{NAD(P)H}$ . Molybdopterin enzymes frequently show the catalytic activity toward bidirectional redox reactions of biologically important redox couples with negative formal potentials such as the carboxylate/aldehyde redox couple (Reda et al. 2008; Bassegoda et al. 2014; Sakai et al. 2015; Huber et al. 1995; Siritanaratkul et al. 2017; Wan et al. 2018). Therefore, bioelectrocatalytic reactions with molybdopterin enzymes as well as  $\text{H}_2$ ases will attract lots of attention in the near future.

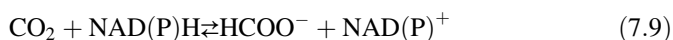
As mentioned above,  $\text{H}_2$  is an attractive energy source in the sustainable society. Although  $\text{H}_2$  has many excellent properties as fuel,  $\text{H}_2$  has critical problems in its storage and transportation issues because of its gaseous properties. Liquid fuels or highly water-soluble ionic solutes are easy to store and transport. One of the possible candidates as fuels is  $\text{HCOO}^-$  (Enthaler et al. 2010; Asefa et al. 2019) as described in Introduction. Since the formal potential of  $\text{CO}_2/\text{HCOO}^-$  and  $\text{H}^+/\text{H}_2$  is very close

with each other, the interconversion between the two redox couples is very useful to underpin the sustainable society:

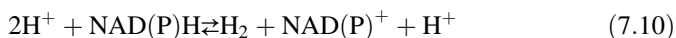


Several attempts have been tried (Enthaler et al. 2010; Asefa et al. 2019). We have realized the reversible interconversion without overpotentials by using the bidirectional catalytic reactions of H<sub>2</sub>ase from *DvMF* and FDH from *MeAM1* (Adachi et al. 2018). Such biotechnology would facilitate the storage and the transportation of the primary energy sources.

In addition, FDH from *MeAM1* realizes the reversible interconversion between CO<sub>2</sub>/HCOO<sup>-</sup> and NAD(P)<sup>+</sup>/NAD(P)H couples:



On the other hand, NAD<sup>+</sup>-reducing H<sub>2</sub>ase (from *Hydrogenophilus thermoluteolus*) realizes the reversible interconversion between 2H<sup>+</sup>/H<sub>2</sub> and NAD(P)<sup>+</sup>/NAD(P)H couples:



The structure of the NAD<sup>+</sup>-reducing H<sub>2</sub>ase has been solved (Shomura et al. 2017) and is considered to be similar to that of the FDH from *MeAM1*.

## 7.5 Conclusion

Bioelectrochemical coupling of H<sub>2</sub>ase and FDH reactions with the electrode reaction realizes electrochemically reversible redox reactions of the two redox couples, 2H<sup>+</sup>/H<sub>2</sub> and CO<sub>2</sub>/HCOO<sup>-</sup>, respectively. The redox couples are also reversibly interconverted with each other by using corresponding enzymes. NAD<sup>+</sup>-reducing H<sub>2</sub>ase, FDH, and FNR are also utilized as electrocatalysts for an electrochemically reversible redox reaction of the biologically most important redox couple, NAD(P)<sup>+</sup>/NAD(P)H. Bioelectrocatalytic reactions based on MCO and thylakoid membrane realize the 4-electron reduction of O<sub>2</sub> and the photobioelectrochemical H<sub>2</sub>O splitting, respectively, with small overpotentials. These bioelectrochemical and biochemical reactions can construct a hydrogen/C1 economy as an expansion of the hydrogen economy (Fig. 7.10).

In addition, the NAD(P)<sup>+</sup>/NAD(P)H redox couple can be reversibly linked to the redox couples 2H<sup>+</sup>/H<sub>2</sub> and CO<sub>2</sub>/HCOO<sup>-</sup> by NAD<sup>+</sup>-reducing H<sub>2</sub>ase and FDH, respectively. The reversible NAD(P)<sup>+</sup>/NAD(P)H redox couple is also linked to a huge variety of biological redox reactions by NAD(P)-dependent dehydrogenases. This linkage is very useful in (electro)enzymatic organic synthesis and





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