

# Chapter 9

## Actions on Alternating Matrices and Compound Matrices



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### 9.1 Introduction

In this note, we consider the action of  $SL_n(R)$  on  $Alt_n(R)$ , the space of alternating matrices of order  $n$  over  $R$ , by conjugation:  $V \mapsto \sigma V \sigma^t$ , for  $\sigma \in SL_n(R)$ ,  $V \in Alt_n(R)$ . We prove (See Theorem 9.2) that the matrix of the above linear transformation (associated to  $\sigma$ ) is  $\wedge^2 \sigma$ .

These results are well known to experts when  $R$  is a field, but we worked it, as we will need it, in a sequel, over any commutative ring  $R$ . (The book [5] gives some details.)

In the last section, we restrict to the case when  $n = 4$ . We show that by taking a suitable basis of  $Alt_4(R)$  we can get a map from  $SL_4(R)$  to  $SO_6(R)$ . Moreover, this map induces an injection from  $SL_4(R)/E_4(R)$  to  $SO_6(R)/EO_6(R)$  (See Theorem 9.3). The case when  $R = \mathbb{C}$  is proved in [1].

In some sense, this result is reminiscent to the Jose–Rao Theorem in [3, Theorem 4.14], when  $n = 2$ , where it was shown that

$$SUM_r(R)/EUM_r(R) \rightarrow SO_{2(r+1)}(R)/EO_{2(r+1)}(R)$$

is injective. (We refer the reader to [3] for details.)

In recent article [4], Jose–Rao have shown that for  $v, w \in R^{r+1}$ ,  $\sigma \in SL_{r+1}(R)$ , the Suslin matrix

$$S_r(v\sigma, w\sigma^{t^{-1}}) = AS_r(v, w)B,$$

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for some  $A, B \in \text{SL}_{2r}(R)$ , with  $AB$ , the Euler characteristic of  $\sigma$ . We may regard Theorem 9.2 as a prelude to this result; it signifies that the Suslin form brings out the Euler characteristic, whereas the alternating form only displays the initial  $\wedge^1$  and  $\wedge^2$ .

## 9.2 Preliminaries

In this section, we recall a few definitions, state some results and fix some notations which will be used throughout this paper.

Let  $R$  be a commutative ring with 1. Let  $M_r(R)$  denote the set of all  $r \times r$  matrices with entries in  $R$ .

**Definition 9.1** The General Linear group  $\text{GL}_r(R)$  is defined as the group of  $r \times r$  invertible matrices with entries in  $R$ .

**Definition 9.2** The Special Linear group is denoted by  $\text{SL}_r(R)$  and is defined as  $\text{SL}_r(R) = \{\alpha \in \text{GL}_r(R) : \det(\alpha) = 1\}$ . It is a normal subgroup of  $\text{GL}_r(R)$ .

**Definition 9.3** The group of elementary matrices  $E_r(R)$  is a subgroup of  $\text{GL}_r(R)$  generated by matrices of the form  $E_{ij}(\lambda) = I_r + \lambda e_{ij}$ , where  $\lambda \in R$ ,  $i \neq j$  and  $e_{ij} \in M_r(R)$  with  $ij$ th entry is 1 and all other entries are zero.

Note that  $e_{ij}e_{rs} = \begin{cases} e_{is} & \text{if } j = r \\ 0 & \text{if } j \neq r \end{cases}$ .

Following are some well-known properties of the elementary generators:

**Lemma 9.1** For  $\lambda, \mu \in R$ ,

- (1) (Splitting Property)  $E_{ij}(\lambda + \mu) = E_{ij}(\lambda)E_{ij}(\mu)$ ,  $1 \leq i, j \leq r$ ,  $i \neq j$ .
- (2) (Steinberg relation)  $[E_{ij}(\lambda), E_{jk}(\mu)] = E_{ik}(\lambda\mu)$ ,  $1 \leq i, j, k \leq r$ ,  $i \neq j$ ,  $i \neq k$ ,  $j \neq k$ .

*Remark 9.1* In view of the Steinberg relation,  $E_r(R)$  is generated by

$$\{E_{1i}(\lambda), E_{i1}(\mu) : 2 \leq i \leq r, \lambda, \mu \in R\}.$$

Note that  $E_{ij}(\lambda)$ ,  $i \neq j$ ,  $\lambda \in R$ , is invertible with inverse  $E_{ij}(-\lambda)$ . In fact,  $E_{ij}(\lambda)$  belongs to  $\text{SL}_r(R)$ . Hence,  $E_r(R) \subseteq \text{SL}_r(R) \subseteq \text{GL}_r(R)$ .

We now recall the notion of the compound matrix:

**Definition 9.4** (Minors of a matrix) Given an  $n \times m$  matrix  $A = (a_{ij})$  over  $R$ , a minor of  $A$  is the determinant of a smaller matrix formed from its entries by selecting only some of the rows and columns. Let  $K = \{k_1, k_2, \dots, k_p\}$  and  $L = \{l_1, l_2, \dots, l_p\}$  be subsets of  $\{1, 2, \dots, n\}$  and  $\{1, 2, \dots, m\}$ , respectively. The indices are chosen such that  $k_1 < k_2 < \dots < k_p$  and  $l_1 < l_2 < \dots < l_p$ . The  $p$ th-order minor defined

by  $K$  and  $L$  is the determinant of the submatrix of  $A$  obtained by considering the rows  $k_1, k_2, \dots, k_p$  and columns  $l_1, l_2, \dots, l_p$  of  $A$ . We denote this submatrix as  $A \begin{pmatrix} k_1 & k_2 & \cdots & k_p \\ l_1 & l_2 & \cdots & l_p \end{pmatrix}$  or  $A(K | L)$ .

**Theorem 9.1** (The Cauchy–Binet formula) *Let  $A$  be an  $m \times n$  matrix and  $B$  an  $n \times m$  matrix over  $R$ , where  $m \leq n$ . Then the determinant of their product  $C = AB$  can be written as a sum of products of minors of  $A$  and  $B$ , i.e.,*

$$|C| = \sum_{1 \leq k_1 < k_2 < \cdots < k_m \leq n} \det A \begin{pmatrix} 1 & 2 & \cdots & m \\ k_1 & k_2 & \cdots & k_m \end{pmatrix} \det B \begin{pmatrix} k_1 & k_2 & \cdots & k_m \\ 1 & 2 & \cdots & m \end{pmatrix}.$$

The sum is over the maximal ( $m$ th order) minors of  $A$  and the corresponding minor of  $B$ . In particular,  $\det(AB) = \det(A) \det(B)$ , if  $A, B$  are  $n \times n$  matrices.

**Definition 9.5** Suppose that  $A$  is an  $m \times n$  matrix with entries from a ring  $R$  and  $1 \leq r \leq \min\{m, n\}$ . The  $r$ th compound matrix  $C_r(A)$  or  $r$ th adjugate of  $A$  is the  $\binom{m}{r} \times \binom{n}{r}$  matrix whose entries are the minors of order  $r$ , arranged in lexicographic order, i.e.

$$C_r(A) = \left( \det A \begin{pmatrix} i_1 & i_2 & \cdots & i_r \\ j_1 & j_2 & \cdots & j_r \end{pmatrix} \right).$$

**Lemma 9.2** (Properties, See [2, 5]) *Let  $A$  and  $B$  be  $n \times n$  matrices over  $R$  and  $r \leq n$ . Then*

- (i)  $C_1(A) = A$ .
- (ii)  $C_n(A) = \det(A)$ .
- (iii)  $C_r(AB) = C_r(A)C_r(B)$ .
- (iv)  $C_r(A^t) = (C_r(A))^t$ .

### 9.3 Associated Linear Transformations

We shall always work over a commutative ring  $R$  with 1. In this section, we find the linear transformation of the action of  $SL_n(R)$  on the space of alternating matrices.

**Definition 9.6** A matrix  $A \in M_n(R)$  is said to be alternating if  $a_{ij} = -a_{ji}$  and  $a_{ii} = 0$ , for  $1 \leq i, j \leq n$ .

**Notation** The space of all alternating  $n \times n$  matrices over a commutative ring  $R$  will be denoted by  $Alt_n(R)$ . It is clearly a free  $R$ -module of rank  $1 + 2 + \cdots + (n - 1) = \binom{n}{2}$  with basis  $B_{ij} = e_{ij} - e_{ji}$ ,  $1 \leq i < j \leq n$ . □

One has the action of  $SL_n(R)$  on  $Alt_n(R)$  by

$$\begin{aligned}
 SL_n(R) \times Alt_n(R) &\rightarrow Alt_n(R) \\
 (\sigma, A) &\mapsto \sigma A \sigma^t.
 \end{aligned}$$

This action enables one to associate a linear transformation  $T_\sigma : Alt_n(R) \rightarrow Alt_n(R)$  for  $\sigma \in SL_n(R)$ , via  $T_\sigma(A) = \sigma A \sigma^t$ .

We input the next observation for completeness; which can be found in [5, pp. 399–400].

**Lemma 9.3** *Let  $\sigma : R^n \rightarrow R^m$  be a  $R$ -linear map. Then the matrix of the linear transformation  $\wedge^r \sigma : \wedge^r R^n \rightarrow \wedge^r R^m$  is  $C_r(M(\sigma))$ , where  $M(\sigma)$  is the matrix of  $\sigma$  and  $r \leq \min\{n, m\}$ .*

**Proof** This is well-known to experts when  $R$  is a field. We compute it as follows:

Let  $e_1, \dots, e_n$  be a basis of  $R^n$  and  $f_1, \dots, f_m$  be a basis of  $R^m$ . Let us compute the matrix of  $\wedge^r \sigma$  w.r.t. the standard basis  $e_{i_1} \wedge \dots \wedge e_{i_r}$  of  $\wedge^r R^n$  and  $f_{j_1} \wedge \dots \wedge f_{j_r}$  of  $\wedge^r R^m$  ordered lexicographically. Suppose  $1 \leq i_1 < \dots < i_r \leq n$  as usual. Then

$$\begin{aligned}
 \wedge^r(\sigma)(e_{i_1} \wedge \dots \wedge e_{i_r}) &= \sigma(e_{i_1}) \wedge \dots \wedge \sigma(e_{i_r}) \\
 &= \sum_{j_1=1}^m d_{j_1 i_1} f_{j_1} \wedge \dots \wedge \sum_{j_r=1}^m d_{j_r i_r} f_{j_r} \\
 &= \sum_{1 \leq j_1 < \dots < j_r \leq m} \det A \begin{pmatrix} j_1 & j_2 & \dots & j_r \\ i_1 & i_2 & \dots & i_r \end{pmatrix} (f_{j_1} \wedge \dots \wedge f_{j_r}),
 \end{aligned}$$

where  $A^t$  denotes the matrix of the linear transformation  $\sigma$ . □

Since  $\wedge^r(\sigma \circ \tau) = \wedge^r(\sigma) \circ \wedge^r(\tau)$ , it is clear from Lemma 9.3 that the multiplicative property of compound matrices hold, i.e.

$$C_r(AB) = C_r(A)C_r(B),$$

where  $A$  is an  $m \times n$  matrix,  $B$  is an  $n \times m$  matrix and  $r \leq \min\{m, n\}$ .

Let us compute the matrix associated to  $T_\sigma$  for  $\sigma \in SL_n(R)$ . We prove that it is the matrix  $\wedge^2 \sigma$ .

**Theorem 9.2** *Let  $\sigma \in SL_n(R)$ . Then the matrix of the linear transformation  $T_\sigma$  w.r.t. the basis  $\{B_{ij} : 1 \leq i < j \leq n\}$  is the same as the matrix of the linear transformation  $\wedge^2 \sigma : \wedge^2 R^n \rightarrow \wedge^2 R^n$ ; which is the compound matrix of order 2 associated to  $\sigma$ .*

**Proof** Let  $\sigma = (a_{ij})$ . For  $1 \leq i < j \leq n$ , by definition,

$$\begin{aligned}
T_\sigma(B_{ij}) &= \sigma B_{ij} \sigma^t = \sigma(e_{ij} - e_{ji})\sigma^t = \sigma e_{ij} \sigma^t - \sigma e_{ji} \sigma^t \\
&= \sum_{r=1}^n \sum_{s=r+1}^n a_{ri} a_{sj} B_{rs} - \sum_{r=1}^n \sum_{s=r+1}^n a_{rj} a_{si} B_{rs} \\
&= \sum_{r=1}^n \sum_{s=r+1}^n (a_{ri} a_{sj} - a_{rj} a_{si}) B_{rs} \\
&= \sum_{r=1}^n \sum_{s=r+1}^n \det \sigma \begin{pmatrix} r & s \\ i & j \end{pmatrix} B_{rs}.
\end{aligned}$$

Thus  $[T_\sigma] = \left( \det \sigma \begin{pmatrix} i & j \\ r & s \end{pmatrix} \right) = C_2(\sigma)$ . The rest follows via Lemma 9.3.  $\square$

The following Corollary gives the explicit form of  $[T_{E_{1i}(\lambda)}]$ , where  $E_{1i}(\lambda) \in E_n(R)$ . Since  $E_{i1}(\lambda) = E_{1i}(\lambda)^t$ , by Lemma 9.2(iv) one has,  $[T_{E_{1i}(\lambda)}] = [T_{E_{i1}(\lambda)}]^t$ .

**Corollary 9.1** *Let  $A = E_{1i}(\lambda) \in E_n(R)$ ,  $\lambda \in R$ . Let  $\alpha = \{i_1, i_2\}$ ,  $\beta = \{j_1, j_2\}$ , where  $1 \leq i_1 < i_2 \leq n$  and  $1 \leq j_1 < j_2 \leq n$ . Then the  $(\alpha\beta)$ th entry  $\det A(\alpha|\beta)$  of  $\wedge^2 A$  is given by*

$$\det A(\alpha|\beta) = \begin{cases} 1 & \text{if } \alpha = \beta \\ (-1)^r \lambda & \text{if } |\alpha \cap \beta| = 1, 1 \in \alpha, i \in \beta \text{ and } 1, i \notin \alpha \cap \beta \\ 0 & \text{otherwise,} \end{cases}$$

where  $r$  is the number of integers in  $\alpha \cap \beta$  between 1 and  $i$ .

**Proof** Clearly if  $\alpha = \beta$ , then  $\det A(\alpha|\beta) = 1$  as the submatrix  $A(\alpha|\beta) = A \begin{pmatrix} i_1 & i_2 \\ j_1 & j_2 \end{pmatrix}$  is either  $I_2$  or an upper triangular matrix  $\begin{pmatrix} 1 & \lambda \\ 0 & 1 \end{pmatrix}$ .

If  $1 \in \alpha, i \in \beta$  and  $1, i \notin \alpha \cap \beta$ , then for  $r \in \alpha \cap \beta$ ,  $A(\alpha|\beta)$  is of the form  $A \begin{pmatrix} 1 & r \\ r & i \end{pmatrix}$  if  $1 < r < i$  and is of the form  $A \begin{pmatrix} 1 & r \\ i & r \end{pmatrix}$  if  $i < r \leq n$ . Note that if  $A = (a_{ij})$ , then

$$a_{jk} = \begin{cases} 1 & \text{if } j = k \\ \lambda & \text{if } j = 1, k = i. \\ 0 & \text{otherwise.} \end{cases}$$

Thus if  $1 < r < i$ ,  $A \begin{pmatrix} 1 & r \\ r & i \end{pmatrix} = \begin{pmatrix} a_{1r} & a_{1i} \\ a_{rr} & a_{ri} \end{pmatrix} = \begin{pmatrix} 0 & \lambda \\ 1 & 0 \end{pmatrix}$  and hence  $\det A(\alpha|\beta) = -\lambda$ .

Also if  $i < r \leq n$ ,  $A \begin{pmatrix} 1 & r \\ i & r \end{pmatrix} = \begin{pmatrix} a_{1i} & a_{1r} \\ a_{ri} & a_{rr} \end{pmatrix} = \begin{pmatrix} \lambda & 0 \\ 0 & 1 \end{pmatrix}$  and hence  $\det A(\alpha|\beta) = \lambda$ . All other entries of  $\wedge^2 A$  contains either a zero row or a zero column.  $\square$

### 9.4 The 4 × 4 Case

L. N. Vaserstein studied the case when  $n = 4$  in [6]. We consider the Vaserstein space  $V = \text{Alt}_4(R)$  of dimension 6.

**Definition 9.7** Let  $\pi$  denote the permutation  $(1\ r + 1) \cdots (r\ 2r)$  corresponding to the form  $\begin{pmatrix} 0 & I_r \\ I_r & 0 \end{pmatrix}$ . The elementary orthogonal matrices over  $R$  are defined by

$$oe_{ij}(\lambda) = I_{2r} + \lambda e_{ij} - \lambda e_{\pi(j)\pi(i)}, \text{ if } i \neq \pi(j),$$

where  $1 \leq i, j \leq 2r$  and  $\lambda \in R$ .

**Definition 9.8** The elementary orthogonal group  $\text{EO}_{2r}(R)$  is the subgroup of  $\text{SO}_{2r}(R)$  generated by the matrices  $oe_{ij}(\lambda)$ , where  $1 \leq i < j \leq 2r, i \neq \pi(j)$  and  $\lambda \in R$ .

It is observed that the matrix  $[T_\sigma]$  w.r.t. the basis  $\{B_{12}, B_{13}, B_{14}, B_{23}, B_{24}, B_{34}\}$ , where  $\sigma = E_{li}$  or  $E_{i1}, 2 \leq i \leq 4$  are not orthogonal w.r.t. the standard form  $\begin{pmatrix} 0 & I_3 \\ I_3 & 0 \end{pmatrix}$ . However, we have the following lemma.

**Lemma 9.4** *With respect to the ordered basis  $\{B_{12}, B_{13}, B_{14}, B_{34}, -B_{24}, B_{23}\}$ , the matrix  $[T_{E_{1i}(\lambda)}]$  and  $[T_{E_{i1}(\lambda)}], 2 \leq i \leq 4$  are elementary orthogonal w.r.t. the standard form.*

**Proof** By Lemma 9.3, w.r.t. the basis  $B_1 = \{B_{12}, B_{13}, B_{14}, B_{23}, B_{24}, B_{34}\}$ , the matrix of  $T_{E_{12}(\lambda)}$  is the compound matrix of order 2 associated to  $A = E_{12}(\lambda)$ . By Corollary 9.1,  $\det A(\{1, 3\}, \{2, 3\}) = \lambda$  and  $\det A(\{1, 4\}, \{2, 4\}) = \lambda$  and all other  $\det A(\alpha|\beta) = 0$  if  $\alpha \neq \beta$ . If  $\alpha = \beta$ , then  $\det A(\alpha|\beta) = 1$ . Thus (24)th and (35)th entry of  $[T_{E_{12}(\lambda)}]_{B_1}$  are  $\lambda$ . Hence we have  $[T_{E_{12}(\lambda)}]_{B_1} = E_{24}(\lambda)E_{35}(\lambda)$ . Then w.r.t. the basis  $B_2 = \{B_{12}, B_{13}, B_{14}, B_{34}, -B_{24}, B_{23}\}$ , the matrix  $[T_{E_{12}(\lambda)}]_{B_2} = E_{26}(\lambda)E_{35}(-\lambda)$  which is by definition  $oe_{26}(\lambda)$  w.r.t. the permutation  $\pi = (14)(25)(36)$ . Similarly w.r.t. the basis  $B_2$  one has

$$\begin{aligned} [T_{E_{13}(\lambda)}]_{B_2} &= E_{34}(\lambda)E_{16}(-\lambda) = oe_{34}(\lambda). \\ [T_{E_{14}(\lambda)}]_{B_2} &= E_{15}(\lambda)E_{24}(-\lambda) = oe_{15}(\lambda). \\ [T_{E_{21}(\lambda)}]_{B_2} &= E_{62}(\lambda)E_{53}(-\lambda) = oe_{62}(\lambda). \\ [T_{E_{31}(\lambda)}]_{B_2} &= E_{43}(\lambda)E_{61}(-\lambda) = oe_{43}(\lambda). \\ [T_{E_{41}(\lambda)}]_{B_2} &= E_{51}(\lambda)E_{42}(-\lambda) = oe_{51}(\lambda). \end{aligned}$$

Hence the result. □

In general one has the following.

**Proposition 9.1** *Let  $\sigma \in \text{SL}_4(R)$ . Then the matrix of the linear transformation  $T_\sigma$  on the Vaserstein space  $V$  w.r.t. the ordered basis  $\{B_{12}, B_{13}, B_{14}, B_{34}, -B_{24}, B_{23}\}$  is an orthogonal matrix w.r.t. the standard form.*

**Proof** Let  $\tilde{\psi}_3 = \begin{pmatrix} 0 & I_3 \\ I_3 & 0 \end{pmatrix}$ . Let  $\beta$  be the matrix of  $T_\sigma$ . We show that  $\beta$  is in the orthogonal group of  $\tilde{\psi}_3$ .

Let  $\mathfrak{p}$  be a prime ideal of  $R$ . It suffices to show that  $\beta_{\mathfrak{p}}$  is in the orthogonal group of  $\tilde{\psi}_3$ , for all prime ideals  $\mathfrak{p}$  of  $R$ . (Note that of  $T_{\sigma_{\mathfrak{p}}}$  is the same as the matrix of  $(T_\sigma)_{\mathfrak{p}}$ .)

As  $R_{\mathfrak{p}}$  is a local ring,  $\text{SL}_r(R_{\mathfrak{p}}) = \text{E}_r(R_{\mathfrak{p}})$ , for all  $r \geq 2$ . Hence,  $\sigma_{\mathfrak{p}}$  is an elementary matrix, i.e. it is a product of elementary generators  $\varepsilon_1, \dots, \varepsilon_k$ , for some  $k$ . We may assume that  $\varepsilon_i$  is of type  $E_{1i}(x)$  or  $E_{i1}(x)$ , for some  $i$ , and arbitrary  $x \in R$ .

Now,  $T_{\sigma_{\mathfrak{p}}} = \prod T_{\varepsilon_k}$ . By Lemma 9.4, the matrix of each  $T_{\varepsilon_j}$  is an elementary orthogonal matrix w.r.t. the ordered basis  $\{B_{12}, B_{13}, B_{14}, B_{34}, -B_{24}, B_{23}\}$ . Hence, so is  $T_{\sigma_{\mathfrak{p}}}$ , for all prime ideals  $\mathfrak{p}$  of  $R$ .  $\square$

But one has the following:

*Remark 9.2* Let  $\sigma \in \text{SL}_4(R)$ . Then the matrix of the linear transformation  $T_\sigma$  on the Vaserstein space  $V$  w.r.t. the ordered basis  $\{B_{12}, B_{13}, B_{14}, B_{23}, B_{24}, B_{34}\}$  is an orthogonal matrix with respect to the form  $\begin{pmatrix} 0 & \alpha \\ \alpha & 0 \end{pmatrix}$ , where  $\alpha = \begin{pmatrix} 0 & 0 & 1 \\ 0 & -1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$ .

**Proof** Let  $A$  and  $B$  denote the matrices of  $T_\sigma$  w.r.t. the bases

$$B_1 = \{B_{12}, B_{13}, B_{14}, B_{34}, -B_{24}, B_{23}\} \text{ and } B_2 = \{B_{12}, B_{13}, B_{14}, B_{23}, B_{24}, B_{34}\},$$

respectively. Let  $P$  denote the transition matrix from  $B_1$  to  $B_2$ . Then clearly  $P = I_3 \perp \alpha$  and  $P^{-1}AP = B$ . Note that  $P^{-1} = P^T = P$ . Hence  $P^{-1}A^tP = (P^{-1}AP)^t = B^t$ . By Proposition 9.1,  $A$  is orthogonal w.r.t. the standard form  $\tilde{\psi}_3 = \begin{pmatrix} 0 & I_3 \\ I_3 & 0 \end{pmatrix}$ . Thus we have

$$A\tilde{\psi}_3A^t = \tilde{\psi}_3 \Rightarrow P^{-1}(A\tilde{\psi}_3A^t)P = P^{-1}\tilde{\psi}_3P \Rightarrow B(P^{-1}\tilde{\psi}_3P)B^t = P^{-1}\tilde{\psi}_3P,$$

which means  $B$  is orthogonal w.r.t. the form  $P^{-1}\tilde{\psi}_3P = \begin{pmatrix} 0 & \alpha \\ \alpha & 0 \end{pmatrix}$ .  $\square$

### 9.5 Injectivity

In this section, we show that we can obtain a map from  $\text{SL}_4(R) \rightarrow \text{SO}_6(R)$  and this map induces an injection  $\frac{\text{SL}_4(R)}{\text{E}_4(R)} \hookrightarrow \frac{\text{SO}_6(R)}{\text{EO}_6(R)}$ .

**Proposition 9.2** *The map  $\varphi : \text{E}_4(R) \rightarrow \text{EO}_6(R)$  is defined as  $\varphi(\sigma) = [T_\sigma]$  is surjective.*

**Proof** Note that  $\text{EO}_6(R)$  is generated by the elementary orthogonal matrices  $oe_{12}(\lambda)$ ,  $oe_{21}(\lambda)$ ,  $oe_{13}(\lambda)$ ,  $oe_{31}(\lambda)$ ,  $oe_{23}(\lambda)$ ,  $oe_{32}(\lambda)$ ,  $oe_{24}(\lambda)$ ,  $oe_{42}(\lambda)$ ,  $oe_{34}(\lambda)$ ,  $oe_{43}(\lambda)$ ,  $oe_{35}(\lambda)$  and  $oe_{53}(\lambda)$ . By the same argument as that of Lemma 9.4, one has

$$\begin{aligned} [T_{E_{23}(\lambda)}] &= oe_{12}(\lambda), [T_{E_{32}(\lambda)}] = oe_{21}(\lambda), [T_{E_{24}(\lambda)}] = oe_{13}(\lambda), \\ [T_{E_{42}(\lambda)}] &= oe_{31}(\lambda), [T_{E_{34}(\lambda)}] = oe_{23}(\lambda), [T_{E_{43}(\lambda)}] = oe_{32}(\lambda), \\ [T_{E_{14}(-\lambda)}] &= oe_{24}(\lambda), [T_{E_{41}(-\lambda)}] = oe_{42}(\lambda), [T_{E_{13}(\lambda)}] = oe_{34}(\lambda), \\ [T_{E_{31}(\lambda)}] &= oe_{43}(\lambda), [T_{E_{12}(-\lambda)}] = oe_{35}(\lambda), [T_{E_{21}(-\lambda)}] = oe_{53}(\lambda). \end{aligned}$$

Hence  $\varphi$  is surjective. □

**Lemma 9.5** *Let  $u$  be a unit in  $R$  with  $u^2 = 1$ . Then  $uI_4 \in E_4(R)$ .*

**Proof** This follows from Whitehead's lemma. Explicitly, if

$$\alpha_1 = \begin{pmatrix} I_2 & (1-u)I_2 \\ 0 & I_2 \end{pmatrix}, \alpha_2 = \begin{pmatrix} I_2 & 0 \\ -I_2 & I_2 \end{pmatrix}, \alpha_3 = \begin{pmatrix} I_2 & 0 \\ uI_2 & I_2 \end{pmatrix},$$

then clearly  $\alpha_1, \alpha_2, \alpha_3 \in E_4(R)$  and the direct computation shows  $uI_4 = \alpha_1\alpha_2\alpha_1\alpha_3$ . Hence the result. □

**Proposition 9.3** *Let  $\alpha \in M_4(R)$  such that  $\alpha A \alpha^t = A$  for all  $A \in \text{Alt}_4(R)$ . Then  $\alpha = uI_4$ , where  $u^2 = 1$ .*

**Proof** Let  $\alpha = (\alpha_{ij})_{4 \times 4}$ . Consider the generators  $\{B_{ij} : 1 \leq i < j \leq 4\}$  of  $\text{Alt}_4(R)$ . From  $\alpha B_{1i} \alpha^t = B_{1i}$ ,  $2 \leq i \leq 3$ , one has

$$\alpha_{11}\alpha_{ki} - \alpha_{1i}\alpha_{k1} = 0, \quad i+1 \leq k \leq 4, \quad (9.1)$$

$$\alpha_{i1}\alpha_{ki} - \alpha_{ii}\alpha_{k1} = 0, \quad i+1 \leq k \leq 4, \quad (9.2)$$

$$\alpha_{11}\alpha_{ii} - \alpha_{1i}\alpha_{i1} = 1. \quad (9.3)$$

Now (9.1)  $\times \alpha_{ii}$  - (9.2)  $\times \alpha_{1i} \Rightarrow (\alpha_{11}\alpha_{ii} - \alpha_{1i}\alpha_{i1})\alpha_{ki} = 0$ . Thus by (9.3),

$$\alpha_{ki} = 0, \quad i+1 \leq k \leq 4.$$

Also (9.1)  $\times \alpha_{i1}$  - (9.2)  $\times \alpha_{11} \Rightarrow (\alpha_{11}\alpha_{ii} - \alpha_{1i}\alpha_{i1})\alpha_{k1} = 0$ . Again by (9.3),  $\alpha_{k1} = 0$  for  $k = 3, 4$ .

Now we show that  $\alpha_{21} = 0$ . Consider  $\alpha B_{13} \alpha^t = B_{13}$ , we get

$$\alpha_{11}\alpha_{23} - \alpha_{13}\alpha_{21} = 0, \quad (9.4)$$

$$\alpha_{21}\alpha_{33} - \alpha_{23}\alpha_{31} = 0, \quad (9.5)$$

$$\alpha_{11}\alpha_{33} - \alpha_{13}\alpha_{31} = 1. \quad (9.6)$$

Now (9.4)  $\times \alpha_{31}$  - (9.5)  $\times \alpha_{11} \Rightarrow (\alpha_{11}\alpha_{33} - \alpha_{13}\alpha_{31})\alpha_{21} = 0$ . Thus by (9.6),  $\alpha_{21} = 0$ . Hence



$$\alpha_{ij} = 0 \text{ for } 1 \leq j < i \leq 4. \tag{9.7}$$

Similarly using  $\alpha B_{i4} \alpha^t = B_{i4}$ ,  $1 \leq i \leq 3$ , one can show that

$$\alpha_{ij} = 0 \text{ for } 1 \leq i < j \leq 4. \tag{9.8}$$

From (9.7) and (9.8),  $\alpha_{ij} = 0$ ,  $\forall i \neq j$ .

Now from (9.3) and the relations obtained from  $\alpha B_{i4} \alpha^t = B_{i4}$ ,  $1 \leq i \leq 3$  one get,  $\alpha_{11} \alpha_{22} = \alpha_{11} \alpha_{33} = \alpha_{11} \alpha_{44} = \alpha_{22} \alpha_{44} = 1$  and hence  $\alpha_{11} = \alpha_{22} = \alpha_{33} = \alpha_{44} = u$ , where  $u \in R$  with  $u^2 = 1$ . Hence the result.  $\square$

**Theorem 9.3** *One has an injective homomorphism*

$$\bar{\varphi} : \frac{\text{SL}_4(R)}{\text{E}_4(R)} \hookrightarrow \frac{\text{SO}_6(R)}{\text{EO}_6(R)}$$

( $\bar{\varphi}$  is induced by the homomorphism  $\varphi : \text{SL}_4(R) \rightarrow \text{SO}_6(R)$ ).

**Proof** Let  $\alpha \in \text{SL}_4(R)$  with  $[T_\alpha] = I_6$ . Then  $\alpha V \alpha^t = V$ , for all  $V \in \text{Alt}_4(R)$ . Thus by Proposition 9.3,  $\alpha = uI_4$  with  $u^2 = 1$ . By Lemma 9.5,  $\alpha \in \text{E}_4(R)$ . Hence  $\frac{\text{SL}_4(R)}{\text{E}_4(R)} \hookrightarrow \frac{\text{SO}_6(R)}{\text{EO}_6(R)}$ .  $\square$

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