

Materials Horizons: From Nature to Nanomaterials

Narayanasamy Sabari Arul
Vellalapalayam Devaraj Nithya *Editors*

Revolution of Perovskite

Synthesis, Properties and Applications

 Springer

Materials Horizons: From Nature to Nanomaterials

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Editors

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Preface

Perovskite oxides are ternary oxides (ABO_3) which consist of two different types of cations A (charge of 2+ or 3+) and are combined with cation B (charge of 4+ or 3+) and combined with O^{2-} anions forming a cubic or pseudo-cubic structure. In the family of perovskites, a great diversity in the combination of different A and B site cations can be found and it leads to a wide range of different physical properties. Perovskite oxide contains the freedom of combining metal, insulators, semiconductors and superconductors. Such combination of different elements in the structural framework of the perovskite unit cell can lead to a slight distortion of the ideally cubic structure. The two most common phases are rhombohedral and tetragonal with structural distortions in the percentage range which are correlated with changes in the physical properties of the material. The different phases and their transitions of perovskites are of great interest with regard to the fabrication of functional materials where researchers would like to utilize external parameters to control the material properties for specific applications.

This book solely focused on the Revolution of Perovskites and deals with the synthesis, properties, and application aspects of these materials. Moreover, the current challenges and future perspectives on the development of perovskites are expansively discussed in this book with the hope that the book will provide a deep insight into the state of the art of recent trends in perovskites. We would like to thank the editorial and production teams at Springer Nature for their help in publishing of this book.

Seoul, South Korea (Republic of)
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Contents

1	Introduction to Perovskites: A Historical Perspective	1
	Luis Ortega-San-Martin	
2	Magnetic, Electronic, and Optical Properties of Perovskite Materials	43
	Lin Fu, Bo Li, Shuang Li and Longwei Yin	
3	Preparation Methods of Perovskite-Type Oxide Materials	61
	Weiren Xia, Yao Lu and Xinhua Zhu	
4	Perovskite Materials in Biomedical Applications	95
	Jue Gong and Tao Xu	
5	Ion Transport and Stability Issues in Organic–Inorganic Perovskite Materials	117
	Monojit Bag and Priya Srivastava	
6	Perovskite Materials in Batteries	153
	John Henao, Yilber Pacheco and Lorenzo Martinez-Gomez	
7	Perovskite Materials in Photovoltaics	175
	Wei Wang and Zongping Shao	
8	Perovskite Materials in Electrocatalysis	209
	Xiaomin Xu, Yangli Pan, Lei Ge and Zongping Shao	
9	Perovskite Material-Based Photocatalysts	251
	Ravi Gundeboina, Venkataswamy Perala and Vithal Muga	
10	LEDs and Other Electronic Devices Based on Perovskite Materials	289
	Anastasia Vassilakopoulou and Ioannis Koutselas	

11 Future Challenges of the Perovskite Materials	315
Lin Fu, Bo Li, Shuang Li and Longwei Yin	
Correction to: Introduction to Perovskites: A Historical Perspective . . .	C1
Luis Ortega-San-Martin	

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Chapter 1

Introduction to Perovskites: A Historical Perspective



Luis Ortega-San-Martin

1 Introduction

There is no doubt that compounds with perovskite-like structure are again in the spotlight, especially for their potential applications in solar cells. The efficiency of these materials has grown so fast, and their possibility of reaching the markets seems so close since the photochemical properties of $\text{CH}_3\text{NH}_3\text{PbI}_3$ perovskite were first observed in 2006 by the research group of Tsutomu Miyasaka in Japan [1], that we are now in the middle of a new “perovskite boom” [2]. We probably have to go back to the 1990s to find the last period in which perovskites were so intensively investigated: the “boom” related with their magnetoresistance properties, see Fig. 1. This time, however, the excitement is much bigger and has even surpassed the superconductivity fever of the late 1980s: we are indeed in an explosive era of research the excitement about which has reached a much broader audience [3].

Perovskite-related materials might be new for a younger scientist that starts its Ph.D. in one of the perovskite-related fields but the study of this class of materials dates back to 1839, when the mineral *perovskite* was first described [4]. In fact, the recent perovskite solar cell “boom” is just one of the many that have occurred in the field of perovskite-related materials research (Fig. 1). Despite the incredible amount of literature regarding perovskites, it is hard to find one that has covered the events since the beginning, except for the recent scheme of key developments detailed by Patrick M. Woodward and Anton R. Chakhmouradian [5]. The aim of the present chapter is, consequently, to show the historical evolution of the perovskite research

The original version of this chapter was revised: The text in figure 1 has been amended and the patent number has been included in the references. The correction to this chapter is available at https://doi.org/10.1007/978-981-15-1267-4_12

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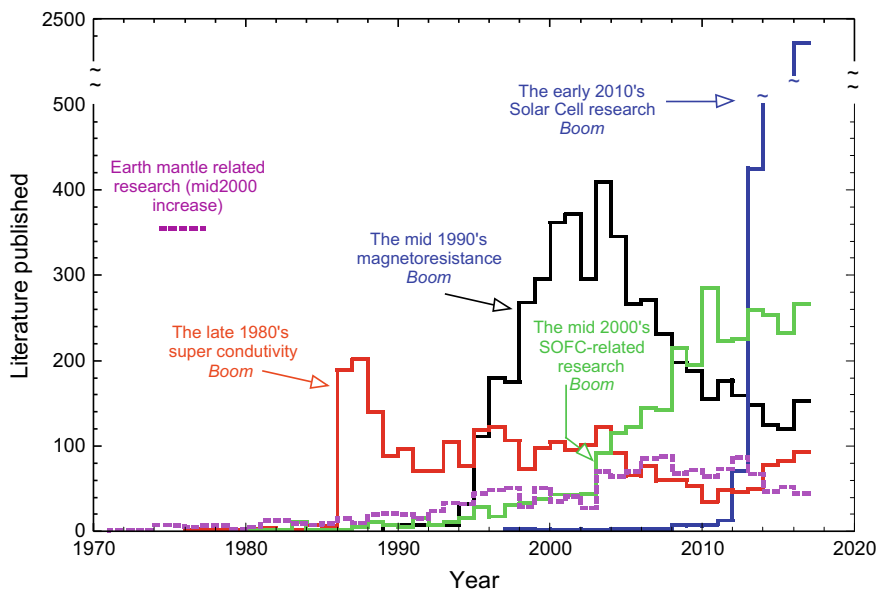


Fig. 1 Amount of literature published from 1970 to 2017 (complete years) on five different perovskite-related research fields that are still active. Data were obtained from SCOPUS database. Notes, erratum, editorials, book reviews, and news were removed from the results

from the nineteenth-century mineralogical and crystallographic problem to the present date plethora of potential and real applications of these materials. The present chapter has been focused on how this field grew over time and the problems that researchers faced, especially from the structural analysis of perovskite-type materials which have always been paramount to understand their properties. It is impossible to cover here close to two hundred years of research that has resulted in more than sixty thousand papers and a number of books. In this short historical review is not at all possible to do justice to the many scientists and engineers who contributed to the development of the perovskite world that we know today. Only major highlights have been touched on and, in drawing out these highlights, personal bias has been difficult to avoid. It is expected, however, that the reader forgives the omissions which I hope they be as less as possible.

Given that this chapter will be a historical account, not many crystallographic details on the perovskite and related structures are given. If the reader needs a good compilation and crystallographic details of the perovskite structure, the reference book by Robert H. Mitchell is still the best choice [6]. A well-recommended, textbook-like, work on perovskites is the one by Richard J. D. Tilley [7]. A more specialized compilation on the different perovskite properties is the one edited by Pascal Granger and colleagues [8].

For a more up to date work, the present book would be an excellent choice. If the objective is to know how this vast field of research started, the present chapter might be a good start.

2 Working Nearly Blind: Discovery and Problems Establishing Perovskite Mineral's Crystal System (1839–1925)

The discovery of the mineral perovskite is closely related to the famous scientific journey through the Asian part of Russia carried out by Alexander von Humboldt and fully funded by Tsar Nicholas I. The journey covered around 15000 km, from May to December 1829, with the aims of increasing geological, geographical, and biological knowledge of the vast Russian region. Humboldt was a well-known expert in geography so he invited to join the trip two of the best known German scientist of the time in the other two fields he did not master: Christian Gottfried Ehrenberg for the biological part and Gustav Rose as the expert in geology and mineralogy.

Gustav Rose's responsibilities in the journey included, among others, the chemical analyses of potentially new minerals that were to be discovered either in the field or in public and private mineral collections along the country, the analysis of the Caspian Sea waters and the microscopic examinations of sands and rocks in mines and mineral deposits of the Urals in order to detect the presence of diamonds, one of the greatest commodities of the time. Rose was also in charge of writing the expedition's diary, which was published in two parts, one in 1837 and the other one in 1849 [9]. The scientific papers related to his discoveries would appear during the 20 years after the trip (1829–1849).

Among the private collections that Rose visited the one of August Kämmerer, the chief of the Mining Pharmacy of Saint Petersburg, who also was an ardent mineral collector, is of special interest [9]. In 1839, Kämmerer, who became friends with Rose after the trip, sent a piece of rock collected in the Ural Mountains to the latter's laboratory at the University of Berlin (now Humboldt University). The Russian asked Rose to examine few strange crystals of what he suspected that could be a new mineral. After the examination, Gustav Rose confirmed that the crystals belonged to a new mineral with cubic symmetry, composed of calcium and titanium oxides. As suggested by Kämmerer, Rose accepted that the new mineral be named to honor Count Lev Alekseevich von Perovskiy, a well-known aristocrat and mineral collector that would later be an active political member of the Russian government, serving as Minister of the Interior between 1841 and 1852 [4, 10].

Although Gustav Rose was the first to make public the description of the new mineral he did not determine its exact chemical composition. It would be his brother, Heinrich, in 1844, the one who fully analyzed and deduced the actual chemical composition (CaTiO_3) which was then given as a mixture of oxides, $\text{CaO}\cdot\text{TiO}_2$, as it was customary in this time. A more detailed description of the crystal morphology and crystal system (supported by the first drawings, Fig. 2) of the mineral would be given the next year, in 1845, by Alfred Des Cloizeaux, a well-known French mineralogist of the time who concluded that Rose's initial description of the crystals as being cubic was correct [11].

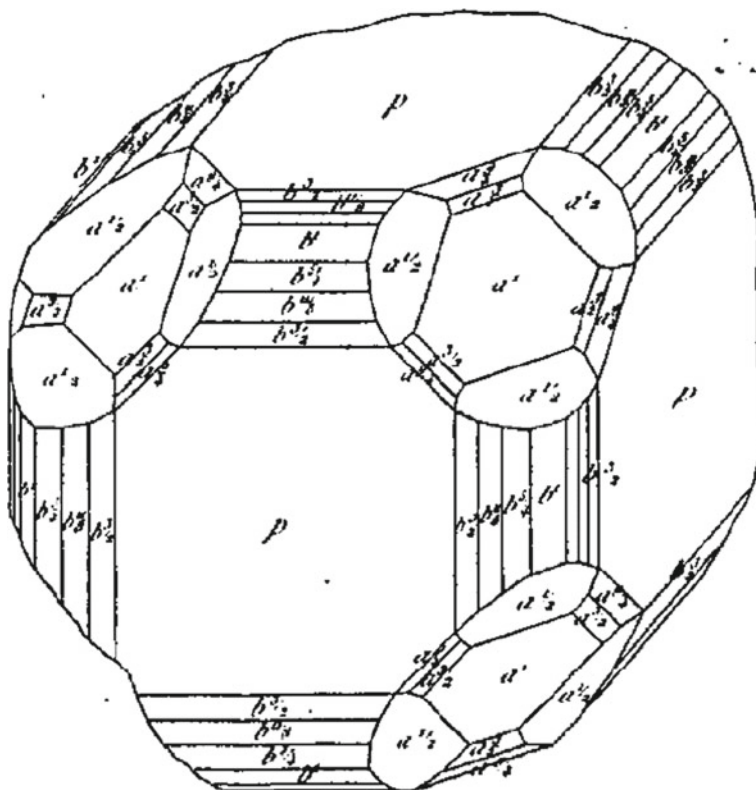


Fig. 2 Drawing of one perovskite mineral crystal collected in the Ural Mountains and studied by Des Cloizeaux in 1844. Letters refer to the different crystal forms that could be identified in perovskite, all of them belonging to the cubic crystal system according to Descloizeaux. Reproduced from Des Cloizeaux, *Ann. Chim. Phys.*, **1845**, 3(13), 338–42. Plate II, under the terms of the Bibliothèque Nationale de France, gallica.bnf.fr/

Soon afterward, *perovskite* crystals were discovered in rocks coming from other European regions [12] and even artificial crystals were grown in different French laboratories [13, 14], attracting an increasing number of the scientist to the study of this mineral; in fact, a “perovskite” mineral entry was soon added to every mineralogy manual of the time [15–17].

Des Cloizeaux was an enthusiast mineralogist who continued studying new minerals and specialized in their optical properties. A few years after his initial analysis of the *perovskite* crystals from the Ural Mountains, he examined new samples from Switzerland and found that they showed birefringence, an optical property not compatible with cubic crystals but common in crystals of lower symmetry [18, 19]. This observation seems reasonable now that we know that perovskite mineral belongs to the orthorhombic system [20], but that proposal was not accepted by most of his colleagues [21]. The majority of the mineralogists of

the time were not acquainted with the optical properties of minerals so, in order to deduce their crystal system, the only available instruments that they trusted were optical microscopes and, by that time, even the most careful visual inspection of the crystal forms always conducted to the same result: there were no deviations in the crystal forms that deserved a symmetry reduction from the cubic system.

The matter was not a trivial one because, in the nineteenth century, two characteristics of a mineral were needed in order to be properly classified and accepted as valid. One of such properties was the chemical composition and the other was its crystal system [22]. Consequently, after Des Cloizeaux proposal, an interesting, sometimes not polite, and seemingly endless discussion started, and it was not until more than 60 years later, in 1912, when Ove Balthasar Böggild, from the University of Copenhagen, finished this first wave of discussion. In the meantime, most of the best crystallographers and mineralogist of the nineteenth century weighed in the discussion [23]. Probably, the most interesting moment being the dispute between Heinrich Adolf Baumhauer and Alfredo Bensaude. The former was a known crystallographer who became the first to use the etching method on perovskite crystals in order to analyze the symmetry of the resulting etched figures from which he found complete agreement with Des Cloizeaux conclusions: perovskite crystals were orthorhombic [24]. Bensaude, on the other hand, was a younger engineer who had just got his Ph.D. at the University of Gottingen studying perovskite crystals. In this thesis, he criticized the validity of the etching technique even when his own experiments corroborated Baumhauer's work. Although it was finally known that the dispute was a result of a misunderstanding due to printing problems in Baumhauer's work [25], this dispute delayed the solution of the *perovskite's* crystal system problem and demonstrated how difficult these mineral's crystals were to study. The conundrum was only resolved at the beginning of the new century, thanks to the works of Bowman [21] and, finally, the one by Böggild [26]. Bowman's work is remarkable because he had to improve the goniometers of the time in order to tackle the problems presented with *perovskite* crystals [27]. The relevance of Böggild's work, on the other hand, resides on his critical review of all previous data and his own work from which he concluded that CaTiO_3 mineral had to be orthorhombic. He also proposed a crystallographic axial ratio of 0.9881:1:1.4078, which is, in fact, extremely close to what can be found nowadays using X-ray diffraction data.

3 Let There Be Light: The X-Ray Time and the Proposition of a Structure for the Mineral *Perovskite*. (1925–1950s)

By the time that Böggild presented his results, in 1912, an enormous breakthrough in science, and particularly in crystallography, had just happened: the discovery of the X-rays and, more importantly, the discovery of the diffraction of X-rays by the

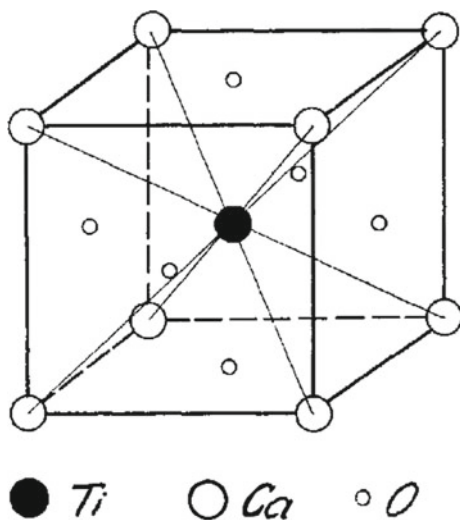
matter. Chemists, physicist, mineralogist, and material scientists in general were provided with a tool for the direct investigation of the internal structure of the crystals.

Contrary to all expectations, when this revolutionary technique was first applied to the *perovskite* crystals, the controversy with its crystal system resurrected and, again, a new, sometimes bitter, debate started among researchers. The first X-ray studies of *perovskite* crystals were independently made in 1925 by two different groups. One was based in Italy, at the Politecnico di Milano, where the experiments would be carried out by Giorgio Renato Levi and his student, the future 1963 Chemistry Nobel Prize winner, Giulio Natta [28]. The other group was from Norway, and it was based at the University of Oslo, led by Victor Moritz Goldschmidt. The first paper to be published was the report by Thomas Barth [29], one of the members of the second group, a very well organized team whose studies would later bring crystal chemistry to one of its brightest moments.

Both groups worked without knowing each other's existence but they deduced the same basic arrangement of the atoms in *perovskite*'s crystals: the proposed structure was represented by a cube with calcium lying at the cube's center, titanium at the origin of the cube (cell corners), and oxygen atoms at the center of each face (Fig. 3).

Both groups felt some disappointment when they realized that the X-ray data indicated a cubic structure given that, from the previous 70 years of research, it had been concluded that *perovskite* crystals were orthorhombic. What had just happened? Doubts were initially focused on the technique, which was considered less sensitive to the true symmetry of the crystals than optical or etching experiments. Was it possible to reconcile the axis ratios determined by Bögild ($a:b:c$ being 0.9881:1:1.4078.) with the cubic structure just proposed? Barth realized that those ratios were obtained if the unit cell of perovskite was constructed using the cube

Fig. 3 The basic structural arrangement of the atoms in the mineral *perovskite* as it was shown by Thomas Barth in 1925. Atomic positions were given as Ca = 0, 0, 0; Ti = $\frac{1}{2}$, $\frac{1}{2}$, $\frac{1}{2}$; O1 = $\frac{1}{2}$, $\frac{1}{2}$, 0; O2 = $\frac{1}{2}$, 0, $\frac{1}{2}$; O3 = 0, $\frac{1}{2}$, $\frac{1}{2}$. Reproduced from page 206 of Barth, T.F.W.: *Norsk Geol Tidsskr*, **1925**, 8. 201–216 (License under CC BY 4.0. <https://njb.geologi.no/vol-1-20/details/24/1002-1002>). Link for the license: <https://creativecommons.org/licenses/by/4.0/>

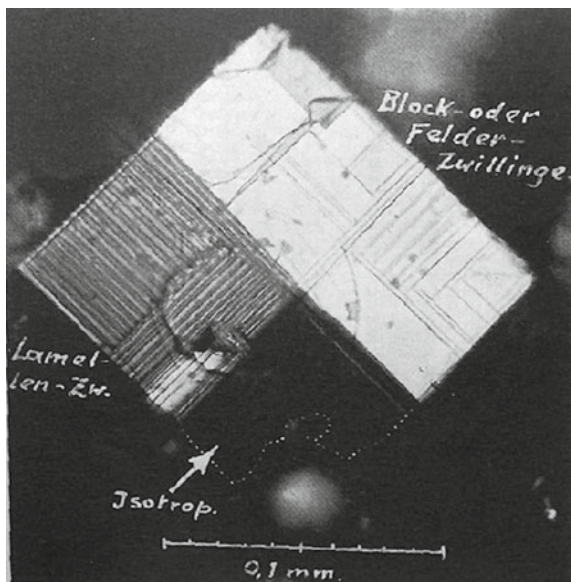


diagonals as cell edges and doubling the perpendicular axis (given that the cubic cell was determined as $a = 3.795 \text{ \AA}$, the resulting cell parameters should be $a = 5.367$, $b = 5.367$, and $c = 7.59 \text{ \AA}$, in good agreement with Böggild's deductions). For this cell to be correct, however, the X-ray patterns should have shown some extra spots that Barth did not observe although Levi and Natta were unsure of their presence. *Perovskite* crystal system was again inconclusive: cubic or pseudocubic (Monoclinic?).

A few years later, it would be the German Otto Zedlitz, from the University of Tübingen, who picked up the thread of these inconclusive works [30, 31]. He was decided to finish once and for all with all the inconsistencies observed in the literature published during the last... 100 years! In his 1936 paper, however, he soon realized that the task of solving the structure and the crystal system of the mineral *perovskite* was trickier than expected [30]. Consequently, he devoted the next 3 years to study some natural specimens together with synthetic *perovskite* samples that he grew. This work was so long and contained so many figures that the author had to reduce their number in order to meet the publishers' policy. The paper is a detailed account of the morphology, chemical, and optical properties of the mineral together with X-ray diffraction data [31]. Surprisingly, Zedlitz started describing previous works as coming from just beginners, especially those of Barth and Levi and Natta in 1925, and decided to start the crystallographic study from the very beginning: measuring crystal faces and angles in order to deduce the crystal system. Nevertheless, he found himself in the same situation than his predecessors: the task of proposing a crystal system of *perovskite* only from goniometric measurements was extremely difficult because the crystals looked like cubic but some stripes and crystal sections did not obey cubic laws. Would optical measurements or etching experiments help? Not, apparently, for he did not completely trust any of them: after performing several optical measurements and various etching experiments with different solvents, he concluded that none of them were reliable enough to solve the symmetry problem of *perovskite*. He finally concentrated on the X-ray diffraction. But this task was not easy, either. *Perovskite* crystals were not X-ray friendly for they contained too many imperfections and stripes (Fig. 4). From all his measurements, he was only able to say that *perovskite's* crystal structure wasn't cubic. He was also unable to find any systematics in the twinning laws and had to consider as valid those that Bowman had proposed 30 years before by means of optical and etching methods. He finally proposed a monoclinic unit cell which he did not manage to reconcile with Böggild axis ratios and was, essentially, the same unit cell that Levi and Natta had proposed, with $a \sim b \sim c \sim 7,6 \text{ \AA}$.

It was clear that the structure of *perovskite* had to be a distorted version of the ideal one proposed by Barth in 1925. However, the cause of the distortion eluded researchers until 1943, when the Hungarian crystallographer István Náray-Szabó, of the University of Technical Science of Budapest, proposed a plausible explanation from his study of single crystals from the National Museum of Hungary. In order to illustrate his idea, he came up with a representation of the *perovskite* structure that has become the standard in the field (see Fig. 5a): according to his vision, *perovskite's* structure should be considered as composed of a three-dimensional

Fig. 4 Microscopic photograph of a synthetic CaTiO_3 perovskite crystal showing its characteristic striated shape that so much hampered early works. Image from Plate XII, Fig. 2 as it appeared in Zedlitz, O.: *Der Perowskit. Mineralogische und röntgenographische Untersuchungen an Perowskit, Uhligit und Dysanalyt sowie an deren synthetischen Produkten. Neues Jahrb. Mineral., Geol., Beilage Bd. A, 1939. 75. 245–96.* Reproduced with permission from Schweizerbart Science Publishers



arrangement of corner-sharing TiO_6 octahedra with Ca occupying the resulting dodecahedral voids. If such a picture was made, the needed distortion from cubic symmetry would come from the tilting of those octahedra, in other words, from the deviation of the oxygen atoms from their ideal cubic positions (see Fig. 5b) [32]. This idea, missed for years, was crucial and is still correct although Náray-Szabó

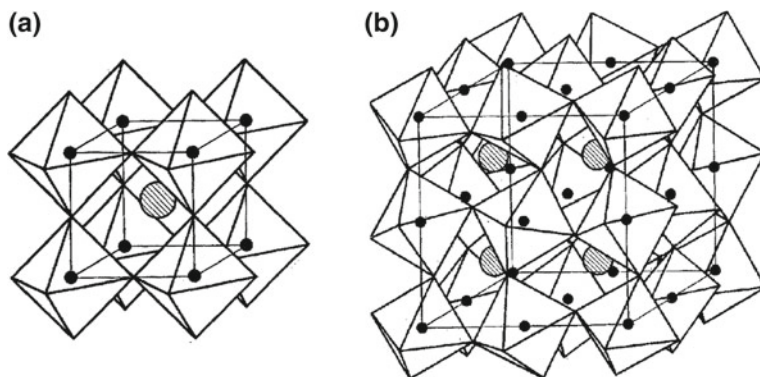


Fig. 5 Polyhedron sketches of the perovskite's structure suggested by Náray-Szabó in 1943. **a** ideal cubic crystal structure; **b** the structural distortion as a result of the oxygen displacement. The proposed unit cell (space group $P2_1/m$, #11), was $a \sim b \sim c \sim 7.60 \text{ \AA}$, $\beta \sim 90^\circ$, $Z = 8$. Sixteen independent atomic coordinates were needed to generate the structure. Reprinted by permission from Springer Nature, *Naturwissenschaften*, 31. 202–203, Der Strukturtyp des Perowskites (CaTiO_3), I. Náray-Szabó. © 1943

did not correctly find the appropriate space group and stick to the monoclinic unit cell with a pseudocubic parameter of $\sim 7.6\text{\AA}$, as proposed by his predecessors [31, 33]. His findings, however, were not very well received by Zedlitz who strongly criticized them for being... nonoriginal [34]! This unfair critic probably came from the fact that Náray-Szabó did not cite any of Zedlitz's works in which he had also attempted to draw the *perovskite* structure using a TiO_6 octahedron but had failed in finding the origin of the distortion. Whether the former was aware of the latter's papers or if they were intentionally not cited is not known.

A subsequent work by the outstanding English crystallographer Helen Dick Megaw, the first woman working in *perovskite* issues to that date, would prove that Náray-Szabó had slightly underestimated *Perovskite's* symmetry. Her work came in a time when other oxides which also showed a crystal structure similar to the mineral *perovskite* were being investigated by hundreds of researchers around the world for their recently discovered ferroelectric properties. The close dependence of these properties and the exact atomic structure encouraged the crystallographic studies of this type of compounds in the mid of the 1940s and later [35]. Megaw's participation was, consequently, very important and her work would later become a reference for anyone working on perovskite-type compounds. Being at that time the Assistant Director of Research in Crystallography at Birkbeck College (University of London), she prepared, among other compounds, synthetic CaTiO_3 samples and studied them using X-ray diffraction [36]. Megaw concluded that an orthorhombic space group could account for all the observed reflections without the need of reducing the symmetry to the monoclinic system. The orthorhombic description, first proposed in 1845, was back again. Due to the poor resolution of the powder diffraction instruments of the time, she proposed to still consider a unit cell with all parameters doubled although she knew that this would change when the X-ray detectors improved.

The scientific community would have to wait 10 more years to finally prove Megaw's findings and suggestions. The final structural model, and the end of the long saga of incorrect proposals would arrive in 1957, more than 100 years after *perovskite* discovery. This was when Kay and Bailey published their thesis results on synthetic and natural *perovskite* specimens. With some minor corrections, their structure proposal is still assumed as correct. They recognized that the task had not been easy for the preceding researchers, and neither for themselves, due to the omnipresent twinning in most of the specimens [20]. As previous researchers, Kay and Bailey prepared synthetic *perovskite* samples to be compared with several natural specimens. They observed that synthetic and natural specimens were equally difficult to study but managed to find a suitable crystal for single-crystal X-ray diffraction. After careful examination of the data, they concluded that an orthorhombic unit cell was adequate for indexing the data. The proposed structure, showing the displacements of the atoms from their ideal positions, is depicted in Fig. 6 (unit cell parameters and coordinates are also included).

The crystal structure suggested by Kay and Bailey has been revised several times since their original publication but no important changes have been introduced apart from a change of the setting in the space group, the most accepted one now being

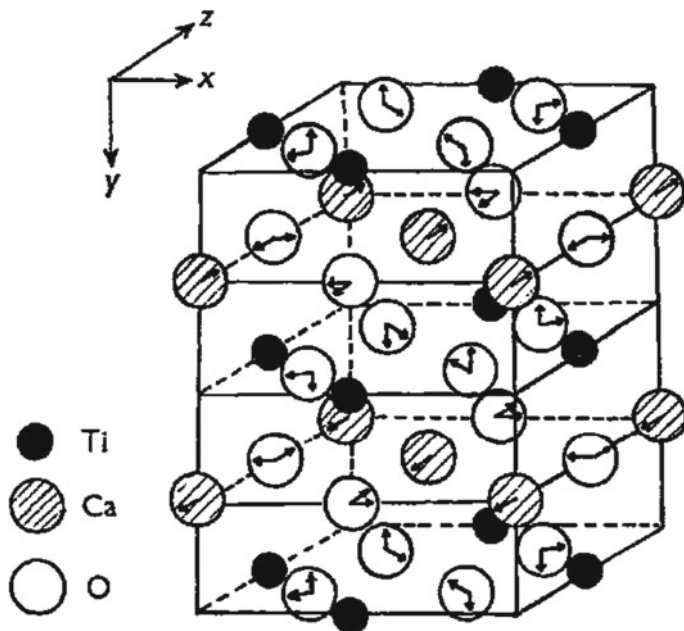


Fig. 6 Crystal structure of the mineral *perovskite* according with Kay and Bailey. Arrows inside each atom indicate their displacement from the ideal positions. The proposed unit cell in *Pcmm* space group (#62) was $a = 5.3670(1)\text{\AA}$, $b = 7.6438(1)\text{\AA}$, $c = 5.4439(1)\text{\AA}$, $\beta = 90.48^\circ$, $Z = 4$; with the following fractional coordinates: Ca = 0, $\frac{1}{4}$, 0.03; Ti = $\frac{1}{2}$, 0, 0; O1 = 0.463, $\frac{1}{4}$, -0.018 ; O2 = 0.232, -0.26 , 0.232. Reproduced with permission of the International Union of Crystallography from Kay and Bailey: *Acta Crystallogr*, **1957**, *10*, 219–226

Pbmm (a nonconventional setting of *Pnma*, #62) [37]. The majority of the single-crystal X-ray determinations and neutron diffraction measurements that would be carried out in the next 30 years were performed mostly on synthetic CaTiO₃ crystals. Detailed and definitive single-crystal studies on natural samples had to wait until the end of the 1990s [38, 39]. Most of the twinning laws were also completely determined by that decade. Modern techniques demonstrated that those laws had been correctly addressed by some of the earliest workers, such as Bowman in 1908 or even Kay and Bailey in 1957, although others were only determined after the use of modern techniques such as transmission electron microscopy (TEM).

The identification of most of the defects affecting the structure that so much had exasperated early researchers was also concluded in this period. Their complexity was finally demonstrated by a multicultural group of geologists from the University of California (USA) [40]. No wonder why nineteenth and the first half of the twentieth century's researchers had so many problems. "*Perovskites have the habit of doing the unexpected*", would someday say the British crystallographer Mike Glazer [41].

In any case, by the time Kay and Bay unambiguously determined *perovskite's* crystal structure, the number of synthetic compounds found to crystallize in the same type of structure was so high, that the word “perovskite” no longer remained associated to the mineral itself but to its crystal structure type. This change took place in the 1920s, when the group of the University of Oslo led by Victor Goldschmidt started a systematic study of the crystal structure of most of the known minerals and inorganic compounds of that time.

4 Perovskite Structure Enters in the Field of Materials Chemistry (1930–1950s)

World War I is usually remembered as the first major conflict in which chemical weapons were used at a great scale [42]. After the war, both participating and nonparticipating countries realized how important was the chemical industry in their economies and, more importantly, for their chances of victory in the event of a major conflict. When the war finished, Governments tried to gain knowledge and control of all the raw materials that could be exploited in their countries in order to be used in chemical industries. This also started a policy of taxes on chemicals and raw materials in order to favor own industries.

During WWI, Norway was a major exporter of calcium carbide (used to produce acetylene for welding metals, especially those used for the weapon industry) and the United Kingdom an important buyer. After the war, the United Kingdom established a new tax on the import of organic chemicals and included calcium carbide among them, putting at risk the Norwegian economy. This caused a lawsuit between both governments because the later did not agree that the carbide should be considered an organic chemical but rather an inorganic one.

Victor Moritz Goldschmidt, a well-known petrologist who was then the head of the Raw Materials Institute in Oslo and enjoyed great confidence from the Norwegian government [43], was chosen to support Norway's interests in the lawsuit. Goldschmidt proved to the British authorities that calcium carbide was a salt on the basis of its crystal structure so its tax was removed. In recognition, the Norwegian government equipped Goldschmidt's laboratory with an X-ray diffractometer with which he started a revolution in the field of crystal chemistry that would also lay the foundations of materials chemistry [44].

Thanks to this new instrument, he and his working group (which included who later would be well-known crystallographers and crystallochemists such as Thomas F. W. Barth, Gulbrand O. J. Lunde, Ivar W. Oftedal, and F. William Houlder Zachariasen) started studying the geochemical distribution and technical properties of economically important raw materials. By 1926, they had elucidated and rationalized the structure of at least 200 compounds of most of the known elements. Several AMO_3 oxides were among those compounds studied. To explain the different structures found in all those oxides, Goldschmidt's group adopted and

greatly expanded, the idea of the radius ratios between ions that had been proposed few years earlier by Gustav F. Hüttig for coordination compounds [45]. Using this idea, they were able to classify into different structure types most of the $A_mM_nO_x$ oxides known. This was a groundbreaking idea that is still in use nowadays. For the ABO_3 compound, this translated into the already well-known tolerance factor, t , given in Eq. 1 [46]:

$$t = \frac{r_B + r_X}{\sqrt{2}(r_B + r_X)} \quad (1)$$

Depending on the t values, they found that the crystal structures of the ABO_3 oxides could be classified into few distinct groups. On the basis of powder patterns, they found that these oxides adopted the same crystal structure that had just been found in the mineral *perovskite* if the tolerance factor was between 0.8 and 1. From now on, the name “perovskite” would be used both for the mineral itself and its structure type. It is to be noted that Goldschmidt group did not bother whether the actual symmetry was cubic or of lower symmetry.

The results of their investigation were published from 1922 to 1926 in a series of nine monographs under the common title “*Geochemische Verteilungsgesetz der elemente*” (Geochemical Laws of Distribution of the Elements), a classic work that is considered as the start of the new field of *crystal chemistry*. Soon after this important work, it would be William Zachariasen who expanded the data of all known ABO_3 oxides. Working under the supervision of Goldschmidt and later with the 1915 Physics Nobel Prize W.H.L. Bragg (during a short stay in Manchester), Zachariasen classified these oxides into four groups on the basis of their B-site coordination and on the r_B/r_O and r_A/r_O ratios (Fig. 7). He also improved the initial field of existence of perovskites narrowing their tolerance factor to $0.9 \leq t \leq 1.1$ [47].

A similar but less thorough work, which passed almost unnoticed, was carried out by the group of the future 1963 Chemistry Nobel Prize Giulio Natta. This new study was focused on ABX_3 halides (X being F, Br, and Cl) instead of oxides [48–50]. Their work was very interesting because they demonstrated that the

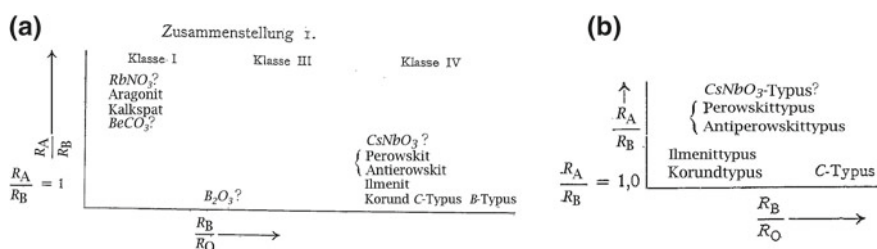


Fig. 7 First detailed classification of ABO_3 oxides according to the radius ratios of their components deduced by W. H. Zachariasen in 1928. **b** is a magnification of the perovskite region shown in **(a)**. Reproduced from Ref. [47] (Permission pending)

structure of the mineral *perovskite* could also be observed in ABX_3 compounds regardless of the nature of the X atom and provided that the tolerance factor described by Goldschmidt was between certain limits that they also found to be around 0.8 and 1.

These works boosted the research into ABX_3 compounds. By the end of the 1930s, more than 40 different element combinations were known to have a perovskite-type structure and it would not take long for the numbers to double [51]. Soon it became evident that this type of structure was quite versatile and capable to accommodate any type of ion regardless of its nature and oxidation state. First books on the newly created field of crystal chemistry, as the one by Robert Evans [52], drew the attention to this point: “(...) *it appears that this [the oxidation state of an ion] is a matter of only secondary importance and any pair of ions, provided they have radii appropriate to the co-ordination and an aggregate valency of 6 to confer electrical neutrality on the structure*” can adopt the perovskite-type structure.

The increase of compounds that were classified as having the perovskite structure came parallel to the improvements of the X-ray techniques and this posted a new problem. The structural model associated with the mineral *perovskite*, which was also taken as a reference or ideal model for similar compounds, was cubic but the mineral was orthorhombic. Moreover, crystallographers and chemists working on ABX_3 compounds increasingly realized that the X-ray diffraction patterns of most of them were, indeed, not cubic. Consequently, the appropriateness of the name “perovskite” for such compounds was put in doubt: if the mineral perovskite was not cubic, its name should not be used for cubic structures. This discussion seems superfluous now but it wasn't in the 1920 and 1930s. Several groups entered the discussion and criticized the sloppiness of Goldschmidt group for not focusing their attention on the hidden details of the diffraction patterns but on the general tendencies. With their instruments, Goldschmidt's group recognized that, while some compounds, such as $SrTiO_3$, were strictly cubic, others showed clear indications of lower symmetry. However, they were not interested in the subtleties as their main interest was to know the laws that governed the adoption of the different types of arrangements among the hundreds of existing compounds and minerals. Perovskite as a structural group seemed to be suitable.

Symmetry played such an important role in classifying substances (similar structures having different symmetries tended to be grouped separately) that a group of well-known crystallographers of the time, including Giulio Natta, proposed that the cubic “perovskite” compounds should be renamed after a cubic compound with the ideal perovskite structure. In 1928, Natta and his group of the Politecnico di Milano [49] proposed “caesium trichloro mercuriate, $CsHgCl_3$ ” as the name for the cubic arrangement because its structure, solved by his group, was cubic [48]. Luckily, this did not gain any adepts because it would had been unfair given that the first cubic perovskite described had been that of $KMgF_3$, discovered and published in 1925, at the same time that the structure of the mineral *perovskite* was published [53]. Another important crystallographer of the time, Ralph Wyckoff, joined the discussion at the beginning of the 1930s in one of his first (later indispensable) monographs on crystal structures [54]. Wyckoff not only disagreed with the fact

that perovskite structural model had been classified within the E_{21} group of cubic structures in *Strukturbericht* (“Structural Reports”), the reference work on crystal structures of the time, but with all previous crystallographers for choosing this structure model for all ABX_3 compounds irrespective of their symmetry (“*From a formal standpoint the studies upon which this choice of structure is based leave much to be desired*” he would say). In 1934, Waldbauer and McCan while studying compounds such as $NaNbO_3$, which had been classified as having a perovskite structure, came with new proposals: option one, use the name “*perovskite structure*” “*only for the mineral perovskite; option two discontinue the use of the name or, option three use the term ‘perovskite pattern’ for pseudo-cubic powder diffraction patterns*” [55].

By this time, this discussion had already crossed the field of crystal chemistry and was truly a material’s chemistry problem for it is in this decade that the first “perovskite” oxides were brought to market as white and yellowish-white pigments. The first commercially available pigment would be Lead titanate, $PbTiO_3$ (commercialized as Titanox L in the USA), although the first patents of an ABO_3 compound for its use as a pigment were for $CaTiO_3$, the artificial version of the mineral. The first application was filed in Norway by Victor M. Goldschmidt and Reidar Nielsen and assigned to the Titan A/S Company of Christiania (now Oslo) [56, 57]. Their international applications, filed at the US-Patent Office the same year, would be granted at the beginning of the 1920s. Barium titanate ($BaTiO_3$) and lead titanate ($PbTiO_3$) patents came soon after the calcium titanate ones. The lead compound, initially developed by the Titanium Pigment Company in the United States, was the only one that reached the European and American markets and was classified as pigment yellow 47. It gained extensive use in Europe in the 1940s and 1950s [58–60] but was later banned for the toxicity associated with its lead content.

Going back to the previous discussion (the suitability of the “perovskite” term), the annoying fact (at least for some crystallographers and chemists) was that the term “perovskite structure” had been applied, without distinction, to cubic and non-cubic ABX_3 compounds. So now... What should be the name for distorted phases? Which one should be used for the cubic compounds? Which group would retain the name of perovskite? None? Both? Some crystallographers did not propose alternatives but noted the incongruity, such as Otto Zedlitz in 1936 and in all of his later works [34], but others went further, as Cole and Espenschied [61]. The latter were studying $PbTiO_3$ for the pigment industry and found that despite this oxide had a tolerance factor within the range of the perovskite structure its powder diffraction pattern was neither cubic nor similar to other distorted phases. What should be done in this case? Was the tolerance factor an accurate way of predicting structures? Could it be that this oxide had a new and more distorted perovskite structure than all previous compounds? Those questions crossed their minds but, after deliberation, they proposed that the last option would probably be the correct one but they weren’t very convinced.

Those genuine concerns were creating a sense of discomfort among researchers until, again, the Hungarian Itsván Náray-Szabó proposed a reasonable solution to the conflicting views in 1943. He had recognized that the name perovskite was

being used for many ABX_3 compounds that did not show the ideal structure. He even realized that there were more distorted compounds than samples showing the ideal structure. Revisiting Cole and Espenschied's work on $PbTiO_3$ he confirmed that its lattice distortion was different than the usually found in other "distorted" perovskites. However, instead of disproving the perovskite name for all those compounds he decided to classify them into different subgroups and proposed the concept of "sister structures" (*Schwesterstrukturen* in the original German) [62, 63]. Náray-Szabó grouped all known perovskite-like compounds within this supergroup. Within these sister structures, he not only included all ABX_3 compounds (X being oxygen or a halide ion, irrespective of their distortion with respect to an idealized cubic structure) but went further and included compounds with BX_3 formula, such as ReO_3 and ScF_3 , with different degrees of distortion. The reason behind this inclusion was his revolutionary way of picturing the perovskite structure: "A common property of compounds belonging to the perovskite family is that their lattice is based on an endless three dimensional net of octahedra with the composition BX_3 ; the octahedra are connected by shared corners" [63]. According to this perspective, BX_3 compounds were no other thing but perovskites with a vacant place in the A-site. With this simple conception of the perovskite structure, there was no need to debate whether a distorted phase was or wasn't a perovskite: if it was composed of corner-shared octahedra, it would be classified as a perovskite sister structure.

It is to note, however, that Náray-Szabó was not the first to notice that BX_3 compounds could be considered as ABX_3 perovskites with the A-site empty. This had already been noticed by Gunnar Hägg while studying the interesting color changes of the tungsten bronzes (Na_xWO_3 phases): as the sodium atom leaves the structure and tungsten ions reduce from W^{6+} to W^{5+} , they show a surprising variability of colors [64, 65]. The perovskite nature of the parent phase ($NaWO_3$) had been proposed few years before [66] but Hägg realized that this structure did not collapse even if a fair amount of sodium was removed from the formula meaning that the perovskite structure type could be extended to compounds without A-cations. Robert Evans mentioned this in his crystal chemistry book but, apparently, did not gain much attention and no other group realized of the importance of this observation [52]. Consequently, it is fair to credit Naray-Szabó as the one who put order in the field.

Naray-Szabó's concept of Sister Structures was readily accepted although some concerns existed around the suitability of the term "sister". Harold Pierce Rooksby, for example, favored the term "pseudo-isomorphous" [67], but the debate did not last long and was ended, again, by Helen Megaw [36]. Although she favored the term "homotypic" over "pseudo-isomorphous" and the term *ideal perovskite type* (she would later use "aristotype") for the undistorted phase: "It seems convenient to define the term 'perovskite type' to include all structures which retain a pseudo-cell derived from that of the ideal cubic structure E_{21} by small distortions either of cell edge or of interaxial angle." She also considered that the cubic structure could be referred to as the "ideal perovskite type," as it is customary nowadays. Although it seemed the closing of the debate, the appropriateness of the naming was resurrected

again in the 1970s by Muller and Roy [68] who preferred to use the term “[perovskite] structure” when referring to the structure and just “perovskite” when the actual mineral is mentioned. The latter did not gain much attention and Megaw’s proposal is usually applied.

The rationalization of the distortions observed in “simple perovskites” would come later (at the beginning of the 1970s) as a result of the intense work carried out by Megaw and his postdoc student, Mike Glazer. A very recent, first-hand account of these events has been given by Glazer himself [69]. These studies resulted in two of the most cited papers in the perovskite world [41, 70] and were based in the detailed analysis of the idea planted by Naray-Szabó: many of the perovskite distortions are a result of oxygen displacements considering that they form part of a rigid BX_6 octahedron which adapts, by cooperative tilting, to the space left by the A-cation. Glazer produced a notation to describe these rotations which, although it has been refined over time [71–73], is still used by those studying the ABX_3 compounds of the perovskite family.

In any case, by the time when Megaw put an end to the naming dispute, the perovskite term had become a synonym of a new scientific revolution which had started just after the discovery of the ferroelectric properties of barium titanate, $BaTiO_3$, a compound known at least since 1888, the structure of which had been recently determined by Megaw itself, in 1945 [74].

5 The Ferroelectric Breakthrough: Perovskites Become “Trending Topic” (1940–1950s)

The initial discovery of the excellent dielectric properties in perovskite oxides, especially the ferroelectricity in barium titanate, is a bit blurred with several groups across the world credited as simultaneous discoverers [75–79]. The confusion seems to be related to the critical situation of the world: the start of World War II was on the horizon and any investigation that meant a military advantage or, even worse, which could fall into the enemy’s hands giving him a military advantage, was subjected to a publication embargo. In the late 1930s and early 1940s, research into dielectrics was under this vigilance making it difficult to know when a research that would later be published had actually started. If instead of searching scientific papers and reports, we do a patent search the story is a bit clearer. Available data seems to indicate that the discovery of the excellent properties of titanates was carried out in the United States.

Dielectrics are insulating materials that can be temporarily polarized under the application of external electric field. The polarization attained (or charge stored) is proportional to their dielectric constant. Back then, dielectric materials were used in capacitors, which were, and still are, important components of electric devices such as radio transmitters, quite critical in war times. Before and during World War II, the capacitors employed were prepared using sheets of the mineral mica and the

state-of-the-art ones were based on titanium dioxide (TiO_2 , titania). However, the reliability of the firsts was not good and the capacitance and dielectric constant of the latter was not very high. Consequently, the development of new dielectric materials was imperative for any belligerent country.

In this agitated state of the world, the discovery of the barium titanate properties fell on Eugene Wainer and his coworkers of the Titanium Alloy Manufacturing Company of New York. By 1940 Wainer was the head of the chemical and physical research laboratory [80]. Few years earlier, in the late 1930s, Wainer was working on the improvement of titanium dioxide dielectrics and found that adding alkaline earth titanates to titanium dioxide greatly improved the latter's dielectric properties. On April 27, 1939, Wainer and Norman R. Thielke filed a patent (granted in 1942) claiming that discovery: "*the dielectric characteristics [of titania-based dielectrics] are also considerably improved by adding to a titanium dioxide base a minor quantity of either barium, strontium or calcium titanate, or any mixture thereof. Of these, the best are barium titanate and strontium titanate*" [81]. They mentioned such advantages in a series of four consecutive patents (US 2277733 to 2,277736) filed in the same year and granted in the early 1940s [82]. From the filing to the final granting of those patents, other companies had already found the advantages of barium titanate over titanium dioxide and filed new, but very similar, patents [83]. By the time some of these patents came to light, several papers and reports were available in different parts of the world (United Kingdom, Russia, and Japan [76, 84]) highlighting the importance of Barium Titanate as a new dielectric material for capacitors.

The discovery was of great importance because BaTiO_3 had a hundredfold higher dielectric constant than any available dielectric material. Due to the significance of this discovery for the US Government, Wainer's paper describing the properties of several alkaline earth titanates (including strontium and barium titanate) which was submitted to the Journal of the Electrochemical Society in 1943 was banned from publication until a year after the end of World War II, in 1946 [85].

Although not all reports coincide [84, 86], the first recognition as of why barium titanate had such a high dielectric constant is usually credited to the work of Arthur von Hippel and his group of the Massachusetts Institute of Technology [87], who discovered that this was as a result of its ferroelectric properties (the compound attains permanent polarization after the application of an electric field). The first publication on the subject, however, is from a Russian group who announced it in 1945 [88]. Soon after, BaTiO_3 would also be found to be piezoelectric (which means that its polarization can also be attained after the application of a force) [89]. The discovery of both properties revolutionized the world of the electronics industry: the potential applications of barium titanate during the 1950s seemed endless and the number of researchers that started new investigations on its properties multiplied. Had it occurred in present days, there is no doubt that the word *barium titanate* would have been "trending topic."

As expected, practical applications started soon: it was used in capacitors and in piezoelectric transducers in a number of consumer and military applications, including phonographs, its first consumer product aside from military uses [76, 90].

Several studies were made during this decade to improve its properties and many of them included the addition of new elements in the A-site position of the perovskite structure. This is what led to the discovery of the piezoelectric properties of one of the most important perovskite-based ceramics together with barium titanate: the lead zirconate titanate family, better known by its acronym PZT (from $\text{PbZr}_{1-x}\text{Ti}_x\text{O}_3$ formula) [91], now ubiquitous in any appliance that needs a piezoelectric material (such as the ultrasonic transducers used in medical ultrasound imaging or in underwater sonars). Following the latter trend, it would not take long for the discovery of another group of ferroelectric perovskites of similar importance, the PMN family, a lead magnesium niobate ($\text{PbMg}_{1-x}\text{Nb}_x\text{O}_3$), which was discovered in the late 1950s by G. A. Smolenskii and A. Agranovskaya in Russia [92]. The importance of this discovery was related with the change in its dielectric constant around the Curie temperature (T_c) (the T_c is when these compounds change from being ferroelectric to paraelectric). In conventional ferroelectrics, there is a sharp maximum in dielectric constant around the T_c but, in the latter compounds, there is a blurred, rather spread out, maxima over a moderate temperature range. This allows a reasonable temperature range of operation for devices made from them. These new ceramic materials would find application a few decades later as electrostrictive actuators [78].

The decade of the 1950s was a period of rapid growth in the number of perovskite compounds (either simple ABX_3 or complex formulations such as $\text{A}_{1-x}\text{A}'_x\text{B}_{1-y}\text{B}'_y\text{O}_3$) that showed interesting ferroelectric properties. The field of dielectrics had changed forever. The 1960s would probably be the most prolific in this area but it involved the synthesis of many other families of compounds not always related with perovskites [93]. In any case, the legacy of that time is seen nowadays: BaTiO_3 , PMN and PZT oxide perovskites are still the base for the composition of most of the world's ceramic capacitors, a market that moves millions of dollars every year.

6 The Family Grows: From the Concept of “Sister Structures” to the Actual Meaning of “Perovskite-Type Structure” (the 1940s—Today)

The discovery of the ferroelectric properties of BaTiO_3 and related compounds was such a scientific breakthrough in the field of dielectrics that it also caused a boom in the field of perovskite-type compounds: from that moment on, the structural study of perovskites became a common topic in the solid-state and materials chemistry fields. This was a logical consequence given that the explanation of the ferroelectric and piezoelectric properties of these oxides could only be made on the basis of a full understanding of the perovskite structure, the precise arrangements of the atoms in the unit cell, the distortions resulting from the presence of different elements in the structure, and how the different atoms rearranged with temperature [94, 95].

Sub-types of the perovskite Family (three-dimensional net of octahedra)

I. General formula $B X_3$, ($X = O =$ or $F =$)

Small cell:

- a) Cubic, $Z = 1$
Type DO_9 ; ReO_3 , $a = 3,73 \text{ \AA}$
- b) Rhombohedral, $Z = 1$
Type DO ; ScF_3 , $a = 14,022 \text{ \AA}$
 $\alpha = 89^\circ 34,5'$

Large cell:

- c) Orthorhombic, $Z = 4$
Type DO_{10} ; WO_3 , $a = 7,60 \text{ \AA}$
 $b = 7,48$
 $c = 3,82$
- d) Orthorhombic, $Z = 4$
Type DO_8 ; MoO_3 , $a = 3,92 \text{ \AA}$
 $b = 13,94$
 $c = 3,66$

II. General formula ABX_3 , ($A =$ large cation, $B =$ middle sized cation with octahedral coordination, $X = O = F =, Cl =, Br =$)

Small cell:

- a) Cubic, $Z = 1$
Type $E 2_1$; $SrTiO_3$, $a = 3,899 \text{ \AA}$
- b) Tetragonal, $Z = 1$
New type; $PbTiO_3$, $a = 3,89 \text{ \AA}$
 $c = 4,13$

Large cell:

- c) Tetragonal, $Z = 8$
New type; $PbSnO_3$, $a = 7,86 \text{ \AA}$
 $c = 8,13 \text{ \AA}$
- d) Monoclinic, $Z = 8$
New type, $CaTiO_3$, $a = b = c = 7,60 \text{ \AA}$
 $\beta \infty 90^\circ$
- e) Tetragonal, $Z = 18$
Not perfectly known type:
 $Na_{0,4}WO_3$, $a = 17,5 \text{ \AA}$
 $c = 3,80$

Fig. 8 Naray-Szabó's proposition for the classification of perovskites. Reproduced with permission from Naray-Szabó, I. *Muegyetemi Közlemenyek*, **1947**, 1, 30–41

It is precisely as a result of these preceding methodical studies that today's idea of "perovskite structure family" was born. It went from covering all ABX_3 phases (whether cubic or not, old conflict overcome) to embrace a massive group of compounds that shared the basic building block identified by Naray-Szabó: a corner-shared BX_6 octahedra. The initial classification of perovskites and its sister structures is the one by Náray-Szabó shown in Fig. 8 (with minimal changes, it was the same one proposed by Megaw [36] few years later). As observed, ABX_3 phases and A-site vacant compounds were subdivided into minor groups depending on their distortion with respect to the ideal cubic structures.

In his enthusiasm to cover all possible perovskite-like compounds, he was a bit too impulsive and some non-perovskites, such as MoO_3 , sneaked in his "sisterhood" list, a forgivable mistake considering the precision of the instruments of his time. In any case, he immediately recognized the implications of his propositions: "putting together the possible A and B cations (...) well over 300 compounds with perovskite structure are possible (although not all can be prepared). Up to date about 60 of them are known, and in some cases only lack of rare elements prevented me from examining them" [63]. The possibility of introducing several elements in the same position had not been explored yet but he anticipated "that further investigations will reveal not only new compounds with the perovskite lattice but also new sub-types of this family." Náray-Szabó also revised the

Goldschmidt's concept of the tolerance factor (including Zachariasen corrections) that was used to establish the limits for the formability of perovskites, and extended its limits from 0.71 to 1.08 using recent ionic radii.

With the passage of time, the different subgroups that he considered were improved and perfected. A better organization of the ABX_3 perovskite subgroups came at the beginning of the 1950s. One of the most important works came from Elisabeth A. Wood [96]. She started the study of polymorphism in perovskites as a consequence of the different interpretations of the perovskite structure of two important ferroelectric crystals ($KNbO_3$ and $NaNbO_3$) and finished with a full rationalization of all known perovskites. Wood arranged them all according to the A and B ionic radii including the tolerance factor and the symmetry of the structures known as secondary variables. That allowed her to create field maps that showed the regions where a given structure type dominated (see Fig. 9). Those field maps resulted to be more precise than the tolerance factor to predict the existence of a perovskite structure for a given ABX_3 formula and even to predict its distortion. Such field maps or "structure fields," as they were nicknamed, became a very useful tool for the classification of the incredibly high number of new perovskite compounds that started to be made in the 1950s, one of the most fruitful decades in the field of perovskite research.

This boom was not only the result of the ability of the perovskite structure to accommodate different elements if a certain balance between the sizes of the A and B ions was maintained but it also came from the ability of the chemists, physicists, and material scientists for recognizing that every perovskite position could be filled with complex mixtures of ions if the same balance of sizes was kept. The first to observe this possibility were the early researchers on the dielectric properties of barium titanate who, as early as 1945, demonstrated that a solid solution existed between $BaTiO_3$ and $SrTiO_3$: barium could be gradually replaced by strontium in the A position, and the dielectric properties changed gradually [97, 98]. Later works, however, demonstrated that this could also have a profound effect on the B-site cations and on the properties of the resulting compounds if the oxidation states of these cations could be tuned as a result of the A-site substitution.

Worth mentioning works are those of G.H. Jonker and J.H. Van Santen, of the Philips Research Laboratories in the Netherlands, carried out on perovskite manganites of the general formula $Ln_{1-x}A_xMnO_3$ (Ln = lanthanide and A = alkaline earth cation) [99, 100]. In these studies, not only they again demonstrated that a solid solution existed for the whole x values, but that their magnetic properties, electrical conductivity, and the structural distortion could be tuned varying x. Initially expected to be antiferromagnetic and insulating, these oxides showed that, for certain x values, there was a change from a ferromagnetic and electrically conducting phase to a paramagnetic insulating one. In other cases, the changes only involved antiferromagnetic insulating states. The understanding of the those magnetic interactions (observed not only in manganites but in other transition metal-containing perovskites) was so interesting and important that new physical theories had to be introduced by outstanding physicists such as Zener [101, 102], Goodenough [103], Anderson [104], and Kanamori [105] to explain the phenomena

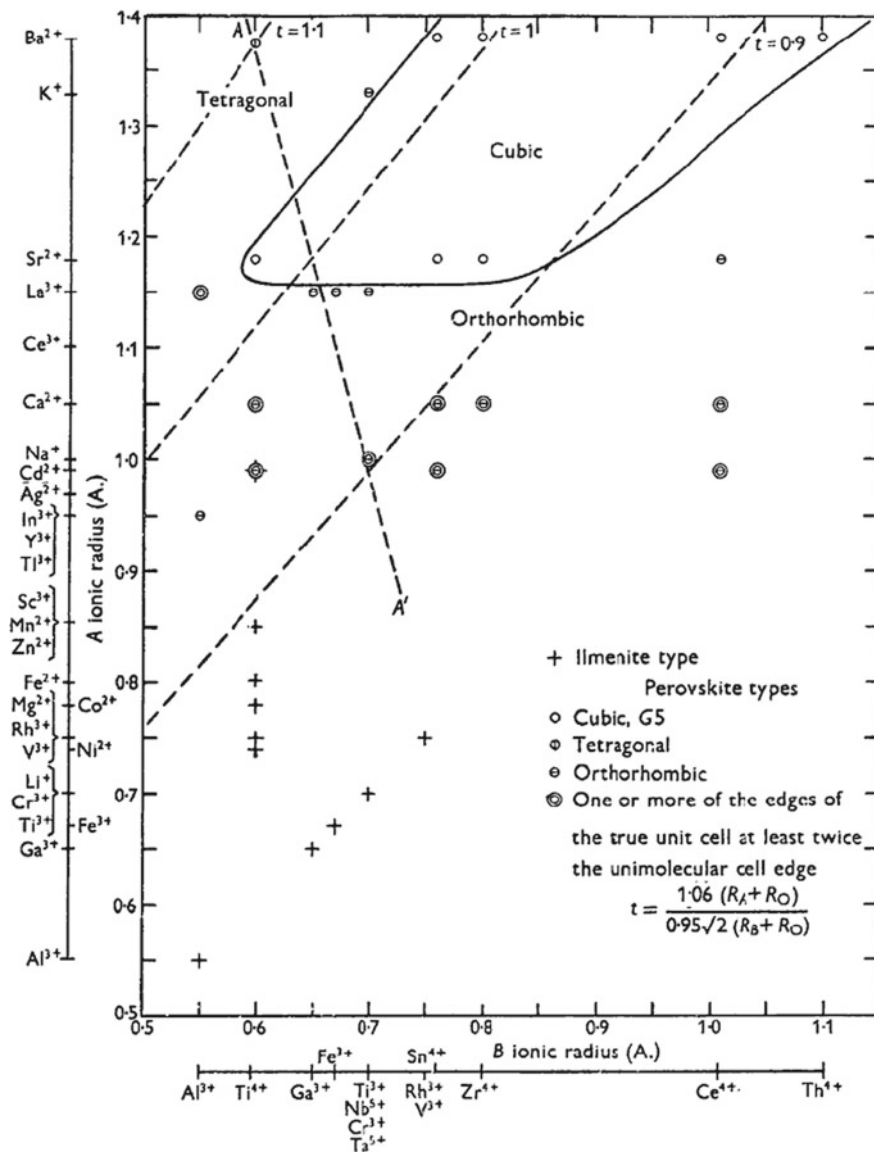


Fig. 9 An example of a structure field diagram of perovskites and ilmenites proposed by E. Wood that were common in the 1950s. Reproduced with permission of the International Union of Crystallography from page 359, E. Wood, *Acta Cryst.* **1951**, 4, 353–62

(known since as *double exchange* and *superexchange*). Even the future 1991 Physics Nobel Prize winner Pierre Gilles de Gennes participated in the construction of the theories [106]. From then on, many physicists became fully involved in the

understanding of the magnetic properties of perovskite oxides. As it had occurred with the dielectric properties before, a deep understanding of the perovskite structure and all its distortions became very important.

The systematic studies on perovskites continued in the 50s and new field maps were constructed [107–109]. Of those, Roy and Keith's studies are the most remarkable [108, 109]. They prepared a great number of different compounds with more complex chemical compositions such as KLaTi_2O_6 , $\text{Sr}_2\text{CrTaO}_6$, $\text{Ca}_3\text{NiTa}_2\text{O}_9$, and $\text{K}_2\text{CeLaTi}_4\text{O}_{12}$, among several others, with apparent formulas that did not resemble the original one, in order to create field maps of existence for the perovskite structure. They found, however, that many of them belonged to the perovskite family (their formula could be rewritten as $(\text{A}_{1-x-y}\text{A}'_x\text{A}''_y)(\text{B}_{1-x}\text{B}'_x)\text{O}_3$) which confirmed that this structure was able to tolerate almost any mixture of A- and B-cations in the A- and B-sites. Although they failed to classify many compounds as perovskites, because some of them were hexagonal variations (not considered as such by then), these works opened the doors for the preparation of innumerable oxide and halide mixtures with the perovskite structure. But more importantly, this allowed material scientists to explore all the possible properties that derived from the endless combination of ions on the A, B, or even X positions allowing for the finding of new and potential applications of perovskite materials.

It is as a result of so many studies that our concept of perovskite-type structure amplified toward our much broader concept. When did this broadening occur? A timid, but important, attempt in the right direction was made by Steward y Rooksby between 1951 and 1953. They realized that most of the compounds with the formula A_3BX_6 and $\text{A}_2\text{BB}'\text{X}_6$ prepared so far ($\text{X} = \text{O}^{2-}$ or halide ions; A = alkaline earth or ammonia ions) were so similar *“that the term ‘sister-structures’ suggested by Naray-Szabó to embrace the various members of the perovskite family, appears, therefore, particularly appropriate one to apply to the present group of compounds”* [110, 111]. Note that they did not consider them as part of the perovskite family (we do now) but, at least, they were considered as a family.

It was Francis Galasso's interpretation of the above-quoted paragraph what allowed the inclusion of most of the known A_3BX_6 and $\text{A}_2\text{BB}'\text{X}_6$ compounds on the perovskite family [112]. Although Rooksby and Steward never said that they were perovskites, Galasso properly identified that A_3BX_6 phases were in fact $\text{A}(\text{A}_{0.5}\text{B}_{0.5})\text{X}_3$ perovskites and $\text{A}_2\text{BB}'\text{X}_6$ compounds could be represented as $\text{A}(\text{B}_{0.5}\text{B}'_{0.5})\text{X}_3$. A year later, Brixner insisted that compounds in which A was a bigger cation, such as ammonia (NH_4^+), shared the same basic arrangement that the one found in perovskites [113]. However, in the second edition of his massive reference work “crystal structures”, Ralph Wyckoff only included the $\text{Cs}_2\text{BB}'\text{Cl}_6$ ($\text{A} = \text{Cs}$, B and B' = Au or Ag) halides under the perovskite group [51], but not the ammonia-based ones, which were grouped separately under the unglamorous epigraph of *“Ammonium ferric Hexafluoride, $(\text{NH}_3)_3\text{FeF}_6$, and closely related structures,”* including those structures related to the mineral elpasolite, K_2NaAlF_6 [114]. This separate, and estrange, grouping (made earlier also by Frank Wells in the first edition of his bestseller “structural inorganic chemistry” [115]) was probably influenced by the discoverers of the later compounds who never commented on this.

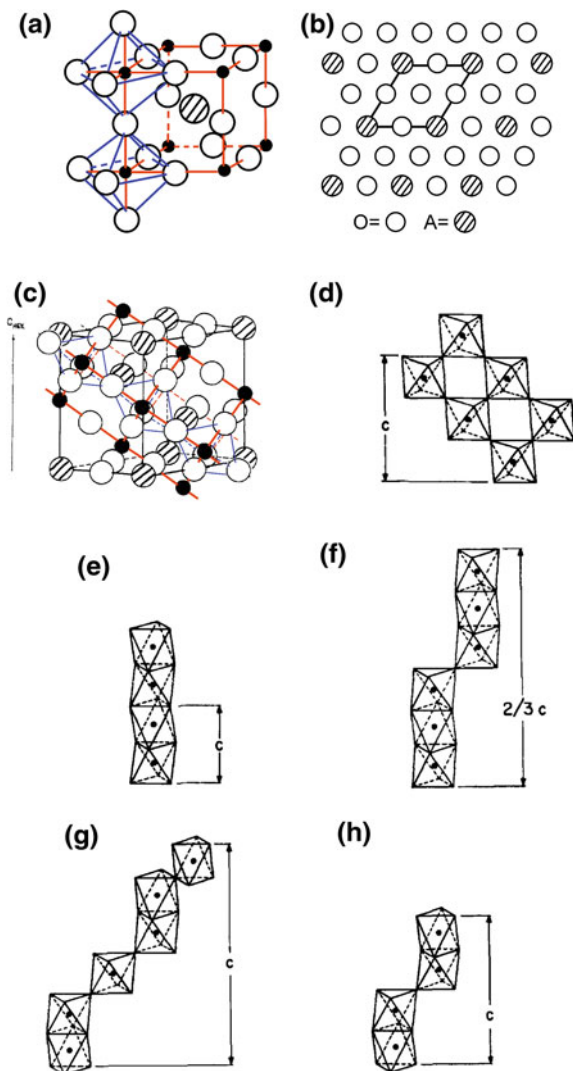
Lets elaborate on this further because that first group was the one of the future (1954) Chemistry Nobel Prize Laureate, Linus Pauling. In the earlier works of his group at the California Institute of Technology [116], they had already noted the similarities between the structure of the $A_2BB'X_6$ halides and the one adopted by the mineral *perovskite* but they did not propose such similarities with the structure of ammonium halides because they had elucidated them in 1924... one year before the perovskite structure was even available for comparison [117]!

Well-known monographs of the late 1960s and early 1970s that covered perovskite issues finally included them within the perovskite family [77, 115, 118]. Those compounds are now considered as a perovskite subgroup called double perovskites or “elpasolites”, the latter to honor the mineral of the same name, discovered in 1883 [119, 120], the structure of which was elucidated as early as 1932 [121]. The main difference with a conventional ABX_3 perovskite is that in an $A_2BB'X_6$ (or $A_2AB'X_6$) compound the cations in the B-site show a rock-salt-type ordering in the structure. Excellent recent reviews covering their distortions and all the interesting properties that result from the ordering of the B-cations are available [122–124].

Our concept of the perovskite family of compounds still had much room to grow. The most obvious missing “sister structure” was that of the B-site deficient perovskites given by the general formula $A_2B\Box O_6$, where \Box indicates an empty crystallographic position. The idea had already been launched by Linus Pauling in his 1924s paper on ammonium salts and later in 1928 when he reinvestigated the structure of K_2PtCl_6 [125]: he noted that the $(NH_4)_3BF_6$ structure observed for $B = Fe$ and Pt resembled the one found by his coworkers in the related mixed salts $(NH_4)_2SnCl_6$, K_2SnCl_6 , and $(NH_4)_2SiF_6$ [126, 127]. As Pauling noted, all those salts could be described using the $(NH_4)_3BF_6$ structure in which all the octahedral sites (B-sites) that were initially occupied by the NH_4^+ ions were now empty. Again, it is probably the result of the early appearance of these papers that the B-site vacancy structures would not be included in the perovskite family until the mid-1960s when Lewis Katz and Roland Ward [128] included them... still with some reservations (even considering that this close relation had also been pointed out by Wells [129]).

With regard to the growth of the perovskite family, the above-cited work by Katz and Ward is to be remarked. In order to describe the family, they considered the approach followed by A.F. Wells for describing many inorganic crystal structures: the different forms of packing spheres. In the present case, they considered that the perovskite structure could also be described not only as a result of a corner-shared BX_6 octahedra network but also on the basis of close-packed AX_3 layers. Those layers could then be stacked in a variety of close-packed sequences giving rise to the conventional perovskite structure if the sequence was of the cubic-close-packing type (that is, ABCABC...) and a number of alternative perovskite-related structures if the sequence was alternated with hexagonal-like close packing (ABAB...). Figure 10 shows the derivation of the hexagonal structures using Katz and Ward original ideas with the corresponding, and more reader-friendly, polyhedral description that John Longo and James Kafalas constructed from their work [130].

Fig. 10 The derivation of the hexagonal branch of the perovskite family from the different forms of stacking AX_3 layers. **a** the ideal perovskite structure; **b** a single AX_3 layer which is the (222) plane in the ideal perovskite structure (lines represent basis of the hexagonal cell); **c** the complete hexagonal unit cell constructed by a cubic close packing of AX_3 units (this cell contains two cubic cells shown in red); **d** the polyhedral view of corner-shared BX_6 octahedra (A-cations not shown) of the perovskite structure shown in (c); **e** the polyhedral view resulting from a purely hexagonal close packing of the AX_3 units; **f–h** polyhedral views of different stacking cubic and hexagonal packing sequences. Red lines indicate the cubic unit cell of the ideal perovskite and blue lines depict the BX_6 octahedra. Images **d–h**, reprinted from *J Solid State Chem*, 1, Longo J. M, Kafalas J.A., The effect of pressure and B-cation size on the crystal structure of $CsBF_3$ compounds (B = Mn, Fe, Co, Ni, Zn, Mg), 103–108, © 1969, with permission from Elsevier)



This new group of structures is now known as the hexagonal branch of the family and comprises a vast number of compounds with different stacking sequences [6]. It is to note that hexagonal perovskites were known before Katz and Ward's proposition was published: in 1948, it had already been discovered that barium titanate showed such a structural modification when it was crystallized from melts [131]. Nevertheless, given that this structural modification of $BaTiO_3$ did not show spontaneous polarization [35], the hexagonal modification of the perovskite structure did not attract much attention.

Other related structures were soon incorporated to the perovskite family. In this sense, a very interesting discovery occurred in 1953. Balz and Plietz, from the Max-Planck Society in Germany, found that besides the KNiF_3 perovskite, K_2NiF_4 also existed as an intermediate phase in the KF-NiF_2 system. The interesting issue there was that the new compound adopted a previously unknown structure type... but, somehow, related to the perovskite [132, 133]. Although it is difficult to relate this formula with that of an ABX_3 perovskite, the authors realized that this structure could be interpreted as a mixture of a perovskite layer of vertex sharing BX_6 octahedra alternated with a close-packed KF layer (Fig. 11a). That study was immediately followed by S.N. Ruddlesden and O. Popper, of the British Ceramic Research Association, who found that this structure was also adopted by oxides [134] but, more importantly, that this structure was also related to that of the new oxide $\text{Sr}_3\text{Ti}_2\text{O}_7$, with a more complicated formula than the previous one [135]. In the latter case, however, they realized that the structure could be described as a mixture of two perovskite layers alternated with a SrO close-packed layer (Fig. 11b). They immediately proposed that both structures could be derived from a general formula $\text{A}_{n+1}\text{B}_n\text{X}_{3n+1}$ for which n meant the number of perovskite layers alternated with a close-packed AX layer. Thus, the K_2NiF_4 was the $n = 1$ member, $\text{Sr}_3\text{Ti}_2\text{O}_7$ the $n = 2$ member, and the ABX_3 , *i.e.*, the perovskite structure, was the $n = \infty$ member. It is true that they did not propose the latter generalization but they did point out that triple or quadruple perovskite layers should be possible. Consequently, compounds of the general formula $\text{A}_{n+1}\text{B}_n\text{X}_{3n+1}$ (also written as $(\text{A}_n\text{B}_n\text{X}_{3n})\text{AX}$ to emphasize the close relation with the perovskite formula) are now known as the Ruddlesden-Popper (and not the Balz-Plieth) branch of the perovskite family. The observation of ferroelectric properties on some of its members in the 1960s accelerated the research into this family and resulted in the preparation of a number of compounds of this type [136]. Moreover, the discovery of high-temperature superconductivity on the distorted $\text{La}_{1-x}\text{Ba}_x\text{CuO}_4$ oxide by Georg Bednorz and Alex Müller in 1986 [137], which granted them the 1987s Nobel Prize in Physics, made these compounds internationally famous. Superconductivity and ferroelectricity are not, however, the only interesting properties of the Ruddlesden-Popper compounds as recent reviews have demonstrated [138, 139].

A closely related layered structure had been discovered a few years earlier by Bengt Aurivillius [140, 141]. Aurivillius, an expert in bismuth related oxides from the University of Stockholm, in Sweden, discovered that $\text{CaNb}_2\text{Bi}_2\text{O}_9$ and $\text{Bi}_4\text{Ti}_3\text{O}_{12}$ oxides could be described as if they were constructed from perovskite layers separated by bismuth oxide layers. Similarly to what we do now with the Ruddlesden-Popper phases (note, however, that Aurivillius layered phases predate the former), the general formula for these new phases can be expressed as $(\text{Bi}_2\text{O}_2)(\text{Bi}_{n-x}\text{B}_n\text{O}_{3n+1})$ where n stands for the number of perovskite layers between the “bismuth oxide” layers. Figure 11(d–f) shows a comparison of this structure with other layered forms of the perovskite structure. Again, the research into this family of compounds was boosted by the observation of ferroelectric properties on some of its members [142, 143]. The main interest of the Aurivillius phases remains in the

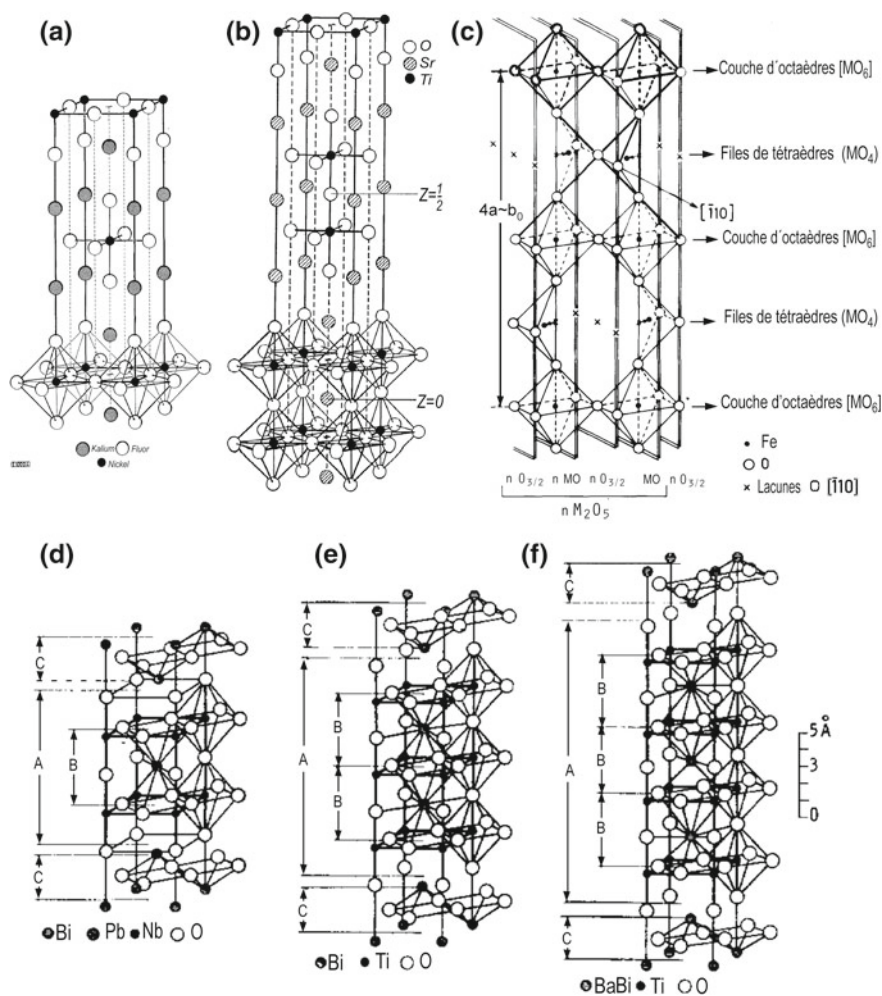


Fig. 11 Different members of the perovskite family. **a** and **b** the $n = 1$ and $n = 2$ members of the Ruddlesden-Popper layered series of the general formula $AX(A_nB_nX_{3n})$. **c** A brownmillerite structure of general formula $ABX_{2.5}$ (or $A_2B_2X_5$) with ordered oxygen vacancies (A ions not shown). **d–e** The $m = 2$, $m = 3$ and $m = 4$, respectively, of the layered Aurivillius phases of the general formula $(Bi_2O_2)A_{m-1}B_mX_{3m+1}$. As observed, all share different sequences of corner-shared BX_6 octahedra as in the ideal perovskite structure. **a** Reproduced with permission of the Deutsche Bunsen-Gesellschaft für physikalische Chemie and Wiley VCH from Balz D, K. Plieth (1955) *Zeitschrift für Elektrochemie, Berichte der Bunsengesellschaft für Phys Chem* 59:545–551. **b** Reproduced with permission of the International Union of Crystallography from Ruddlesden SN, Popper P (1958) The compound $Sr_3Ti_2O_7$ and its structure. *Acta Crystallogr* 11:54–55. **c** Reprinted from Mater Res Bull, 8, Grenier J-C, Pouchard M, Georges R, Variation thermique de la susceptibilité magnetique et de l'aimantation du ferrite bicalcique $Ca_2Fe_2O_5$, 1413–1420, © 1973, with permission from Elsevier. **e–f** Reprinted from J Phys Chem Solids 23, Subbarao EC, A family of ferroelectric bismuth compounds, 665–676 © 1962, with permission from Elsevier

field of dielectric materials due to the necessity of replacing the current lead-containing dielectrics for environmental and health reasons [144].

The almost crazy worldwide search (the second “boom” on perovskite research) for high-temperature superconductors that started after Bednorz and Müller’s discovery in 1986, led to the finding of many oxides that were superconductors above the temperature of liquid nitrogen [145–147]. It is interesting to note that another branch of the perovskite family is related to the most famous high T_c superconductor that was discovered in those years: the oxygen-deficient $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$ oxide [148]. This is because the latter’s structure can be considered as a perovskite phase with ordered oxygen vacancies. This new branch, however, was incorporated to the family much earlier: the fact that the perovskite structure could also support anion vacancies was probably first observed by the group of Roland Ward at the Polytechnic Institute of Brooklyn, New York. At the late 1940s, his research group was trying to prepare several ABO_3 perovskites with alkaline earth cations in the A-site, and iron and cobalt in the B-site. Interest was based on finding the stability of the +4 oxidation states that were expected for the latter metals in the resulting perovskite. Nevertheless, they consistently found that the latter oxidation state was never reached but “perovskite-like” patterns were always observed so they concluded that substantial amounts of oxygen vacancies had to be tolerated by the structure without any changes to the basic perovskite arrangement [149, 150]. Those studies by Ward’s group led to a very important, and completely forgotten, discovery: they found that this ability to tolerate variable amounts of oxygen vacancies resulted in a tunable mixed valence of the metal in the B-site allowing their use as effective catalysts [151]. Those studies, not yet cited, predate by almost 6 years the first usually quoted reports of perovskite catalyst, attributed to Giuseppe Parravano of Princeton University in 1952 [152, 153].

The possibility of studying iron compounds by Mössbauer spectroscopy, a technique that had recently been developed, greatly helped the growth of the perovskite family. In fact, it was the works on anion defective SrFeO_{3-x} ($0 < x < 0.5$) oxides carried out during that decade [154] what permitted to find that oxides classified as having the brownmillerite structure ($\text{A}_2\text{BB}'\text{O}_5$ formula) were in fact a subgroup of the perovskite family in which the oxygen vacancies were ordered in the structure (the brownmillerite structure is obtained when $x = 0.5$ in SrFeO_{3-x}). A model for the ordering of those vacancies would be derived later on [155, 156]. A perspective of this structure is shown in Fig. 11c together with other members of the family. This subgroup still bears the same name.

As the reader may note, by the early 1980s, the perovskite family had so greatly expanded that it was very difficult to recognize many of its members and their relation to the structure that more than 50 years ago had been proposed by Thomas Barth for the mineral *perovskite*. A new classification was desperately needed. Some classifications of the perovskite structure had been attempted before [118, 128, 157], the best one probably being that by Longo and Goodenough in 1970 when they covered the magnetic properties of perovskites and related structures (including layered compounds) [158]. This reference book, however, did not enjoy widespread knowledge and even later classifications by Wells or Megaw did not

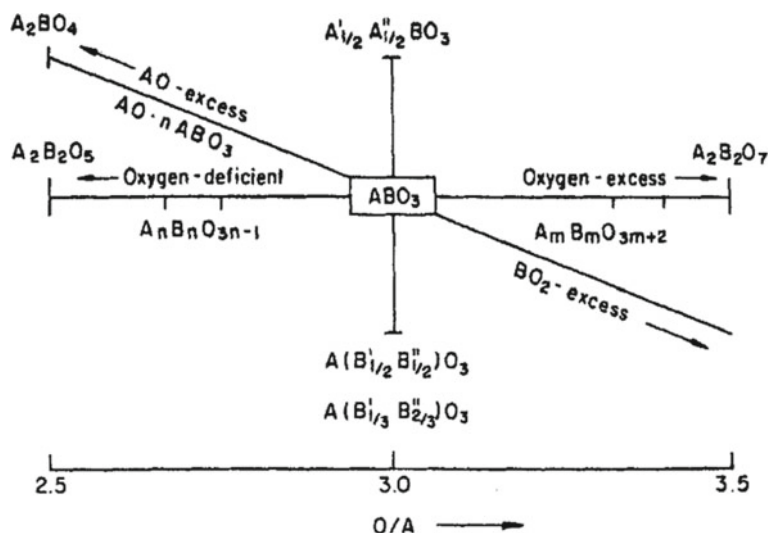


Fig. 12 The “perovskite space” as conceived by D. Smyth in order to rationalize all forms of the perovskite family. He published this updated version in his inspiring paper “travels through the perovskite space.” Reproduced from Smyth D.M., Chang E.K., Liu D.H. (1996) Travels through perovskite space. *Phase Transitions*, 58:57–73. Reprinted by permission of Taylor & Francis Ltd, <http://www.tandfonline.com>

use their classification scheme. Another attempt to rationalize all variants, including the different possibilities resulting from the presence of cation and anion vacancies, was carried out by Donald Smyth, from Lehigh University in Pennsylvania. Initially, Smyth focused on oxides and considered the family of perovskite-related structures as if they were located along a compositional line based on the anion/cation ratio (horizontal line in Fig. 12). On the one side were the compounds with less oxygen ($A_n B_n O_{3-n}$) than the parent ABO_3 phase and, on the other side, compounds with oxygen excess ($A_n B_n O_{3n+2}$, with $n \geq 4$). He also included all forms of $AB_{1-x}B'_xO_3$ compounds, irrespective of the type of ordering between B-cations and or hexagonal/cubic variations but missed the Aurivillius or Ruddlesden-Popper phases. Consequently, he revised his classification in 1989 and later in 1996 [159], to include those branches as shown in the diagonal lines of Fig. 12.

This classification was the basis for the rationalization of the structures adopted by *perovskite*-related minerals, and not just synthetic compounds, carried out by Roger Mitchell in 1996 [160] which has been revised in recent times [6, 161] showing that not all members of the family have been observed in nature.

For synthetic oxides, however, the best (and probably better known) classification and derivation of the perovskite structural family was that of Chintamani N.R. Rao and Bernard Raveau, developed in 1995 and updated in 1998 [162]. However, the most comprehensive rationalization of the perovskite family, including present and future

members, is probably that of Kirill S. Aleksandrov and his coworkers, of the Kirenski Institute of Physics in Russia. From 1997 to 2001, he published a series of papers rationalizing all possible members of the family (and their structural distortions) on the basis of two approaches: on the one side considering all possible derivations of the structure from a polyhedral view of the perovskite (the three-dimensional network of corner-sharing BX_6 octahedra) and, on the other side, considering that the perovskite structure derived from the close packing (cubic or hexagonal) of AX_3 units [163–165], as it had been previously done by Katz and Ward [128]. In his 2001 work, he realized that if all members of the “perovskite family tree” (as he dubbed it) were combined with the possibility of cation and anion mixtures in each of their positions, it was “*probably impossible to say, at present, how many substances belong to the perovskite family.*” Aleksandrov had been working on perovskites since the 1960s and was relatively well known for his work on the sequences of structural phase transitions on simple perovskites [166]. Although his results were very much the same than the ones proposed by Mike Glazer in the early 1970s [41, 70], his notation proposal was less “user-friendly” and did not gain many adepts.

In 1997, he coauthored a paper with B.V Beznosikov entitled “Hierarchies of perovskite crystals” where they organized all known and recently proposed perovskite family members using a system of windows as in the operative system of the same name. Each window could them be opened and a new group of windows appeared (Fig. 13 shows the “starting screen”).

The paper was a summary in English of their book on the same subject published in Russian that gained almost no attention from the international community. Surprisingly,

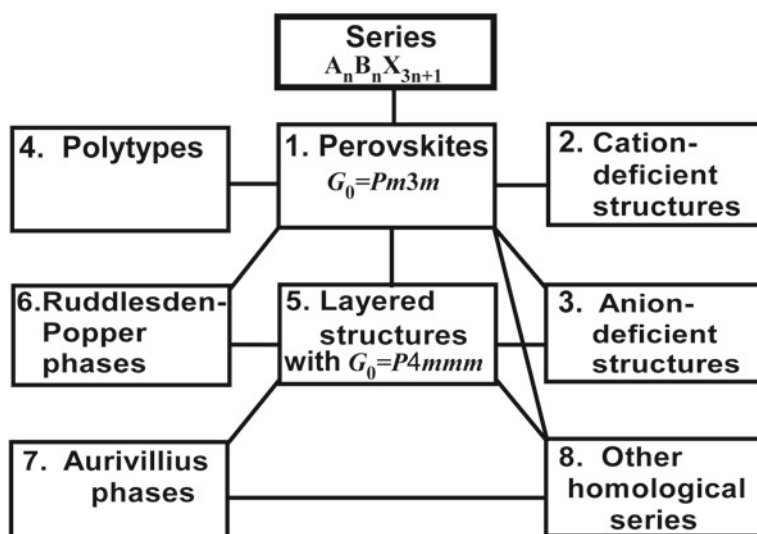


Fig. 13 The “Windows-like” tree of the perovskite family as viewed by Aleksandrov and Beznosikov in 1997 [162]. Every one of these “windows” opens a new tree of “windows”. This classification system is, so far, the most comprehensive perovskite “family tree” to date. Reprinted by permission from Springer Nature, *Physics of the Solid State*, 39:695–715. Hierarchies of perovskite-like crystals (Review), Aleksandrov K.S., Beznosikov V.V. © 1997

their paper also passed almost unnoticed although later revisions have gained more visibility [164]. Despite the low visibility of these works, their classification is, so far, the most comprehensive perovskite “family tree” to date and is, essentially, the same one that appears in the better known book by Roger Mitchell [6] although less beautifully illustrated and with a minor amount of references than the latter. Mitchell’s book is still the most important one about the perovskite family yet published. For natural perovskites, the best compilation is also a Roger Mitchell paper [161].

7 Golden Decades: Studies Diversify and New Properties and Many Potential Applications Are Discovered (the 1970s—Today)

By the end of the 1960s, the number of publications related to, and research groups around the world working with compounds having a perovskite-type structure, was so large that the need for extensive reviews was getting necessary in order to be able to organize, systematize, and understand all the data. The first general review covering most of the aspects of Perovskite-type compounds was written by Francis Galasso in 1969: “*Structure, properties, and preparation of perovskite-type compounds*” [77]. The book, although concise, basically covered all the fields in which perovskites were being studied by the time, *i.e.*, their crystal structures (including phase transitions), electrical conductivity (from insulators to superconductors), dielectric properties (ferroelectrics and piezoelectrics mainly), optical properties (from their use in lasers to filters), catalytic properties (both their application in fuel cells and as heterogeneous catalysts for CO were reviewed), thermal conductivity, and magnetic properties. Some space was also reserved in the book for the preparation procedures (powders, single crystals, and thin films) of the more than 550 compounds that were included in the review, from oxides to nitrides.

The hottest topic of the time was the dielectric properties of perovskites and Galasso’s book, however comprehensive, was not encyclopedic and did not completely cover the vast amount of work that was being carried out in this particular field. In fact, by the same time that the book was published, a large reference book covering ferroelectric materials came to light. In the new book, the volume 3 (group III) of the new Landolt-Börnstein series, a comprehensive collection of many of the perovskite family ferroelectrics, from the conventional $A_{1-x}A_xB_{1-y}B'_yO_3$ oxides to layered phases and A-site deficient oxides, was included, although not all of them were classified under the perovskite family [93]. Contrary to Galasso’s book, this was a true reference work that included data of more than four hundred compounds and thousands of references, a good deal of them on oxides of the perovskite family. The field of ferroelectrics grew so fast that the number of papers published every year followed a logarithmic trend that was calculated as $N = e^{(0.14*(Y-1921))}$ where N was the number of papers published in the year Y [167]. Nearly, 40% of the papers were related to perovskites, a truly overwhelming literature for the non-initiated. This

uncontrolled growth made that the Landolt-Börnstein volume focused on ferroelectrics had to update their compilation in 1974, 1981, and, again, in 1990.

Dielectric and related properties were not, however, the only interesting properties of perovskites. Their magnetic properties, although not being so popular because they had not yet found practical applications, were also being widely studied among physicists, chemists, and material scientists. Magnetic perovskites were studied because of their promising applications in magnetic recording devices, for example, but also because of the intricate magnetic phenomena they presented, which still weren't well understood despite the intense research carried out during the 1950s and 1960s. Although they were also covered in Galasso's textbook-like work, the complete guide to the magnetic properties of inorganic compounds of the perovskite family came almost at the same time than its ferroelectric counterpart. The data was compiled by John B. Goodenough and John M. Longo [158] in order to provide the reader with a complete picture of both the perovskite crystal structure and the different types of magnetic interactions possible in the structure, and not just as a collection of hundreds of references with scattered data. Again, however, the advances in this field were so fast that by 1975 almost two thousand papers existed on the field, so this work was updated and revised in 1978, in the 1990s and at the beginning of the twenty-first century.

More recent updates were the result of the discovery of colossal magnetoresistance in perovskite manganites of the general formula $\text{Ln}_{1-x}\text{A}_x\text{MnO}_3$ in the early 1990s [168–170]. Given that, contrary to their ferroelectric counterparts, the past two decades had again finished with no practical devices based on the magnetic properties of perovskites, the new discoveries were enthusiastically received in the hope that they would soon result in new revolutionary devices. Magnetoresistance (the property of changing the electric resistance by the application of a magnetic field, or vice versa) was the basis of the magnetic recording media and, consequently, the potential applications for perovskite materials broadened and included anything from magnetic recording heads to sensors and actuators [171]. After the fever of the late 1980s due to the discovery of high-temperature superconductivity, a new "research boom" started and studies on this topic multiplied across the world at a pace of nearly 200 papers on average every year from 1994 onward (see Fig. 1). Keeping up with the literature became a difficult task for any researcher. It is interesting to note that this discovery occurred in oxides that had already been studied in the 1950s [99, 100, 172]. The magnetoresistance itself had even been described in the 1960s [173].

Despite such intense work, magnetoresistive perovskites did not find the applications expected but new interesting properties and promising applications are still being discovered in such compounds [174]. Although perovskites show other interesting magnetic properties (a reader-friendly summary of which is included in Tilley's book [7]) magnetoresistance, and more recently multiferroic properties, have by far concentrated the research efforts after the 1990s discovery.

If, however, a decade has to be considered as the golden era of the perovskite's research history in what concerns to their properties and potential applications, it wasn't the 1990s but the 1970s. It might not be remembered as one decade where

groundbreaking discoveries were made, but it was in this decade when the diversification of studies truly began. It is in this period that the members of the perovskite family demonstrated that the “structure–property” relation as the key to the understanding of materials science was not an elemental truth: “*the perovskite structure stands as the outstanding example proving the error of this over-simplistic cliché*” [175]. This is because it was in this decade when many new important properties were observed which would also set the basis for future research. It is in this period, for example, when the first superconducting ABX_3 perovskites were found [176]. It was also in this decade when their ability for being the host for lasing elements, which have resulted in practical perovskite-based lasers, was developed [177, 178]. New electro-optic properties were also discovered and found applications in this decade [179, 180]. Their great stability (mixed with other compounds) for the immobilization of nuclear waste materials was also proved [181] and even resulted in practical use. Despite having been discovered more than 20 years before (and then forgotten), it was also in this decade that the most promising catalytic properties were reported and extensively investigated [182, 183]. The latter example is especially interesting because it also involved another Nobel laureate, Williard Frank Libby, 1960 Chemistry Nobel Prize, who started working on perovskite catalysts aiming at using them in automobile exhausts [184]. It was also in this decade when perovskite chromites were found as viable interconnecting materials for the newly born solid oxide fuel cell (SOFC) technology opening this field to the anxious perovskite researchers [185, 186]. Table 1 shows a short summary of several perovskites that have found application and the particular properties in which these applications are based.

But this decade is not just a golden era for material scientists but also for geologists. Since the 1940s, they had almost been relegated from the overwhelming amount of literature regarding perovskite-structured compounds. However, they would be back again. This was a result of two important findings that also caught the eye of the public opinion. On the one hand, it is in this decade when the first *perovskite* crystals were found in different meteorites [187, 188] but, more importantly, it is in this decade when it was found that, under pressures similar to those existing around the 600 km discontinuity of the Earth’s mantle, both the pyroxene $MgSiO_3$ and the pyrope $Mg_3Al_2Si_2O_{12}$ transformed into a $MgSiO_3$ phase with the perovskite structure [189, 190]. The latter discovery was very important because it implicated that most of the elements present below this depth (in other words, most of the Earth’s lower mantle) were arranged in the perovskite structure, making perovskite the most common structure on our planet [191].

Many of the developments in perovskite-related research in the next years were based, to a great deal, on the properties found in the 70s or on the preexisting ones. It is true, however, that many new properties have been discovered in recent days the most outstanding being their photochemical properties that make them potential candidates for the next generation of solar cells. Many of these properties, however, will be reviewed on the following chapters of the present book which would be a much better way for keeping track of the updates and for knowing the state of the art in each case.

Table 1 Properties and applications of some important perovskites. Note that some compositions may vary over a wide range of x . Data from Refs. [78, 175, 192]

Compound	Reference property	Application example
BaTiO ₃	Ferroelectricity	Multilayer capacitors in almost every electronic device
Pb(Zr, Ti)O ₃	Piezoelectricity	Piezoelectric transducers
Ba _{1-x} Sr _x TiO ₃	Positive temperature dependence of resistivity	P.T.C. Thermistor (used in temperature sensors, fuses, etc.)
(Pb _{1-x} La _x)(Zr _{1-x} Ti _x)O ₃	Transparent under visible light and piezoelectricity	Electro-optical modulator (used in military pilot goggles)
LiNbO ₃	Electro-optic effect (change in optical properties under an applied field)	Optical switches (used in lasers and optical fibers)
BaZrO ₃	High dielectric constant and low dielectric loss in the microwave region	Dielectric resonator in communication devices and antennas
Nd ³⁺ doped YAlO ₃	Efficient laser Host	Lasers (common in dentistry)
KNbO ₃	Nonlinear optical properties	Second harmonic generator (the ability to combine the energy of two photons to double the frequency) in lasers
Pb(Mg _{1-x} Nb _x)O ₃	Relaxor ferroelectric	Electrostrictive actuator (used in devices where high precision movement is required)
YBa ₂ Cu ₃ O _{7-x}	Superconductivity	Thin superconducting wires
BaRuO ₃	Electrical resistivity	Thin-film resistor (used in old printers)
La _{1-x} Sr _x MnO ₃	Mixed ionic electronic conductivity	Solid oxide fuel cell cathode in pre-commercial devices
LaCrO ₃	Mixed ionic electronic conductivity and stability under oxidizing and reducing conditions	Solid oxide fuel cell interconnecting material in pre-commercial devices
PbTiO ₃	High refractive index	Paints (discontinued for health reasons)
CH ₃ NH ₃ PbI ₃	Photochemical activity	Photoactive die for semiconductors used in solar cells (prospective)

8 Conclusions

Perovskites comprise a vast number of compounds that can be described by simple formulas such as that of the original mineral, CaTiO₃, or complex ones such as the ferroelectric Aurivillius compound Ba₂Bi₄Ti₅O₁₈. This chapter has shown how such different compounds became part of the same family at the same time that many important properties and applications were being discovered in each of them. Each discovery boosted the research into these compounds and new family members appeared making it a non-closing circle that still continues. The ability of the

perovskite lattice to adapt to complex mixtures of elements in each atomic position makes them excellent models for the study of several physical properties but also makes them very promising for finding new ones. What started as the crystallographic study of a rare mineral has completely changed to become an interesting and huge field of active research.

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Chapter 2

Magnetic, Electronic, and Optical Properties of Perovskite Materials



Lin Fu, Bo Li, Shuang Li and Longwei Yin

1 Introduction

The emergence of organic-inorganic hybrid halides perovskite materials has generated enormous interest in the photovoltaic research community. The materials present numerous advantages in electronic, magnetic, and optical properties. In the last few years, because of the strong electron–electron interaction with the 3d manifold and considerable physical strength, scientists have paid a great deal of attention to magnetic properties of perovskite materials, especially B-site substituted perovskite and perovskite manganite. The B-site substituted perovskites are a great playground for magnetic properties, as various combinations of different paramagnetic cations are possible at all of the three cation sites, allowing for a wide range of magnetic behaviors. Perovskite manganites have a wide range of magnetic properties, such as colossal multiferroic property, magnetocaloric effect, and magnetoresistance. There are various applications in magnetism such as magnetic refrigeration, bioprocessing, and miniaturized magnetic sensor applications. In addition, the electronic structure of perovskite materials is predominantly determined by the BX_6 ions, while the distinctive electronic character of perovskite materials is basically induced by the lone pair of lead ions. Moreover, one of the remarkable aspects of halide perovskites is that the grain boundaries are electrically benign. Therefore, it is promising to produce high-quality semiconductor films from solution processing methods for various photoelectric devices.

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2 Magnetic Properties

Recently, because of the discovery of B-site substituted perovskite oxides $A_2B'B''X_6$ and nanoscale manganese-based perovskite oxides ($AMnO_3$) which have colossal magnetoresistance, the studies of magnetic properties of perovskite materials have attracted a great deal of attention. They are used in the areas of sensors, catalysts, magneto-optical materials, fuels cells, et al.

Because of their unusual magnetic properties, recently there has been particular interest in the case of transition metal perovskite oxides. These perovskite oxides have special magnetic properties due to the strong electron–electron interaction with the 3d manifold and a considerable physical strength [1]. For instance, Cai reported that half-metallic ferromagnetism leads to giant magneto-optical Kerr effects in the $BiNiO_3$ with the orthorhombic structure. In the Hessler compound $PtMnSb$, Kerr rotation reached 1.28° at 1.87 eV, which is compared to the accepted maximum polarity Kerr rotation of 1.35° at 1.75 eV. The great p-d exchange influences half-metallic ferromagnetism with the semiconducting majority-spin electrons and the metallic minority-spin electrons, leading to large Kerr rotation of $BiNiO_3$ finally [2].

The perovskite structure has the compositional flexibility and excellent structure. Recently, half of the B-site cations have attracted a lot of attention, and these cations have been replaced by another cation. In this case, B' and B'' may keep disordered, or they can order at the B-site, forming a so-called $A_2B'B''X_6$, of which B-site ordered double perovskite. There are three ways that the B-site cations ordered, the structure increases the modifiability and complexity of the perovskite compounds, as shown in Fig. 1. In addition, the two different cations existing in B-site leads to novel and interesting combinations, ranging from 3d, 4d, or 5d transition metals from actinoides and lanthanoides to main group elements, whose oxidation states are various. Because of the fact that B-site cations determine the

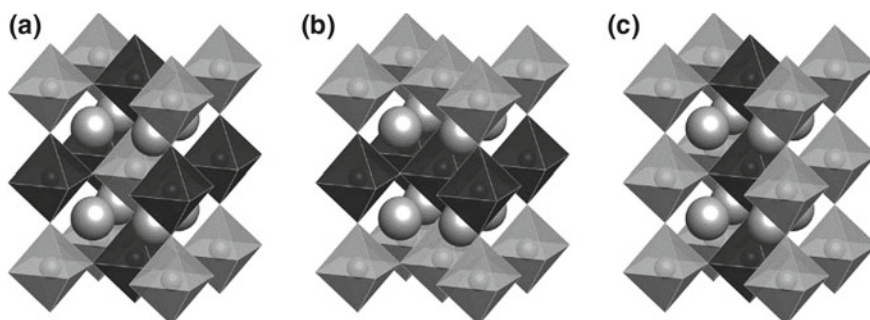


Fig. 1 The B-site cation combinations of $A_2B'B''X_6$ perovskites: **a** rock-salt, **b** layered and **c** columnar order [3] (Reprinted with permission from Ref. [3]. Copyright 2010, Published by The Royal Society of Chemistry)

magnetic order and electrical conductivity of $A_2B'B''X_6$, potential new materials with each cation combination and ordering can be ensured in a wide range.

The double perovskites are of good magnetic properties. For the reason that various combinations of paramagnetic cations are able to fit in these cation sites of $A_2B'B''X_6$, a large number of magnetic performances can be achieved. Although some compounds are antiferromagnetic (AFM), a variety of ferromagnetic (FM) or ferrimagnetic (FiM) compounds have been found in $A_2B'B''X_6$ perovskite compared to other oxides. Some have very high magnetic ordering temperature (T_C). In addition, considering the magnetic properties, the degree of cation order is very important. The spin-glass behavior has been observed with significant long-range magnetic properties in several disordered compounds. For example, low-dimensional magnetic properties have been found in JT distorted $A_2CuB''X_6$.

In so far as a single paramagnetic B-site cation in the $A_2B'B''X_6$ perovskite, the super-exchange interaction occurs over a relatively long distance. What is interesting is that the strength of the $90^\circ B'-O-(B')-O-B''$ nearest-neighbor (NN) interactions and the $180^\circ B'-O-B''-O-B'$ next-nearest-neighbor (NNN) interactions can often be of the same order, and can compete. The relative strength of these interactions depends on the nature of the paramagnetic ions. For example, it has been noted that the $90^\circ B'-O-O-B'$ interactions are stronger compared to $180^\circ B'-O-B''-O-B'$ in certain Ru compounds, due to the fact that the, e.g., orbitals of Ru are empty, so only π -interactions possible [4]. Similarly we would expect compounds with full t_{2g} orbitals and half-filled, e.g., orbitals to have relatively stronger 180° interactions.

In case of two magnetic B-site cations, things are a bit more complicated. There may be short-range $B'-O-B''$ interactions between the B-site cations, as well as long-range $B'-O-(B'')-O-B'$ and $B''-O-(B')-O-B''$ ones. It would perhaps be expected that the short-range $B'-O-B''$ interactions would be the strongest interaction in the system, dominating the magnetic ordering. However, in $A_2B'B''X_6$ perovskites, the $B''-O-(B')-O-B''$ superexchange interactions may sometimes be stronger than $B'-O-B''$ interactions, due to weaker energetic orbital overlap of the two different B-site cations. This has been shown for example in case of Sr_2CoOsO_6 , where the two B-cation sublattices order independently and at different temperatures [5]. Several compounds with $B' = R$ and $B'' =$ transition metal, such as various A_2RRuO_6 compounds, Sr_2TbIrO_6 and Ba_2NdOsO_6 , show the same behavior of the two B-cation sublattices ordering at different temperatures. Apparently, the orbital mixing between the lanthanoides and the transition metals is weak enough that the long-range superexchange interactions dominate the magnetic ordering. The energetic overlap of the two B-site cation orbitals is often poor in the $A_2B'B''O_6$ perovskites. Thus, it could be relatively common for the long-range $B'-O-(B'')-O-B'$ superexchange to be stronger than the short $B'-O-B''$ interaction in these compounds.

It is also possible to place paramagnetic cations at the A-position of the $A_2B'B''O_6$ perovskite. Compounds having Mn^{2+} or paramagnetic R in A-position are well-known. In this case, between A and B-site cations, there is possibly a magnetic coupling. For instance, Mn and Fe of Mn_2FeSbO_6 are spin-coupled to produce an elliptical spin helix with a disproportionate propagation vector [6].

The paramagnetic ions are also likely to occupy all three cation sites, such as $\text{Nd}_2\text{NiMnO}_6$, in which Ni and Mn order FM to each other at 200 K while Nd is ordered AFM to the B-site cations at 45 K [7]. However, the interaction between the A and B cations is usually very weak. For example, in R_2LiRuO_6 , the Ru–O–(Li)–O–Ru interaction is stronger than the R–O–R or R–O–Ru interaction [8]. This may be mainly because of the weak orbital mixing of lanthanide and transition metal elements. It is interesting to point out that Pb in A-site can improve magnetic properties because of the super-exchange interactions of electrons in Pb 6s [9]. Comparing with Pb or Sr in A-site, it has been found that the magnetic temperatures of $\text{A}_2\text{B}'\text{NbO}_6$, A_2NiReO_6 , A_2MnWO_6 , and A_2FeTiO_6 are higher [10].

JT distortion due to d^1 configuration is rare but has been found in $\text{A}_2\text{B}'\text{B}''\text{X}_6$ perovskites occasionally. In the case of $\text{Ba}_2\text{SmMoO}_6$, both JT distortion coincides and T_N appears, where the relatively high value of $T_N = 130$ K is influenced by orbital ordering [11]. In addition, JT distortion in $\text{Ba}_2\text{NdMoO}_6$ influences magnetic ordering, though the relations between magnetic order and orbital are slight [12, 13]. In $\text{Ba}_2\text{NaOsO}_6$, the JT distortion is not obvious, where the degeneracy of the track leads to weak ferromagnetic order. A similar compound, $\text{Ba}_2\text{LiOsO}_6$ is AFM indicating a fairly good balance between the two compounds.

In respect of 4d/5d metal, the spin-orbit coupling and spin delocalization covalently bonded by the bond are significantly improved compared with the 3d metal. Therefore, the magnetic moment of the 4d/5d element is significantly brought down, just as some compounds with Ru [14]. It is particularly interesting that because of its powerful SOC, Ir^{5+} compounds ($\text{Ba}_2\text{HoIrO}_6$ or R_2NaIrO_6) shows neither magnetic ordering of the Ir sublattice nor reduced moment at Ir. The reason is explained that the great SOC lifts the t_{2g} orbital degeneracy and creates a nonmagnetic ground state, even though the Ir^{5+} ions with typical low-spin d^4 are expected to be paramagnetic. In so far as R_2LiIrO_6 , the nonmagnetic ground state was found for Ir, while magnetic properties are resulted from the R ions. However, an Ir set the stage for the magnetic properties was found at higher temperatures. At low temperature, a nonmagnetic ground state of Ir is observed in R_2LiIrO_6 while the magnetic properties are ascribed to R ions at A-site. Nevertheless, Ir contributes to magnetic properties when the temperature gets higher. Structural distortions can quench the effect of SOC as it does in the case of Sr_2YIrO_6 .

In addition, most of the reported $\text{A}_2\text{B}'\text{B}''\text{X}_6$ perovskites with magnetic ordering are AFM, and some AFM order of more than 200 compounds has been reported. A single B' or B'' cation forms a face-centered cubic (FCC) lattice, and when magnetic cations of this FCC array shows up, a few common types of magnetic ordering are found. These are three types of orderings shown in Fig. 2. The types of I, II, and III are relied on the relative strengths of the NN and NNN of magnetic ions. Type I is found under the condition of stronger NN interactions than the NNN ones, where eight of the twelve NN cations are ordered AFM while the other NNN cations all ordered FM. We can easily find type II when the NNN interaction is more significant than the NN interaction. Type III is an intermediate form with relatively strong NN interactions and apparent NNN interactions. Similar to type I,

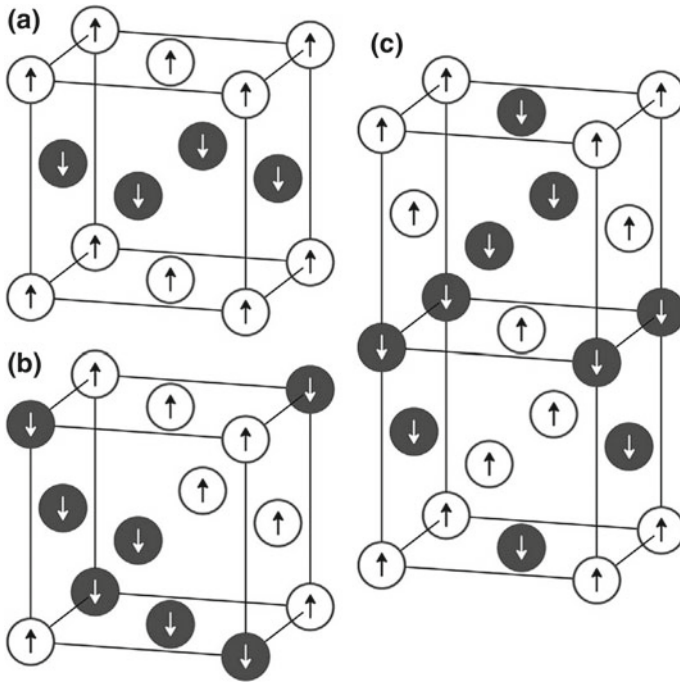


Fig. 2 Common orderings of $A_2B'B''O_6$ antiferromagnetic perovskites: **a** type I, **b** type II and **c** type III [15]. (Reprinted with permission from Ref. [15]. Copyright 1999, Published by The American Physical Society)

eight of the twelve NN cations are ordered AFM, but two of the six NNN cations are ordered AFM [15].

Magnetic properties lean on the orbital overlap of spatial ions while the overlap leans on the structure. For instance, the interaction of T_C and bandwidth which is estimated by bond length and angle has been established in the compound of $A'A''FeMoO_6$ with $A', A'' = Ca, Ba$ or Sr . Comparably, a linear dependence between T_N values and electronic bandwidth is found for some other Mo or W compounds.

Due to the presence of CMR, perovskite manganese oxide has a strong core electronic system, and strong interactions within the electron lead to complex electronic properties and magnetic properties [16]. The rich physics involving manganese oxides, such as phase separation [17], charge ordering (CO), and semi-metallicity, make it one of the highlights in the field of condensed matter physics along with many prototype devices designed and development of scientific research and formulation technology.

At present, nanoscale perovskite manganite has vast development potential and broad market prospects. There are various applications in magnetism such as magnetic refrigeration, bio-processing, and miniaturized magnetic sensor applications. A large number of researches make clear that as the size is reduced to the

nanometer scale strong rearranges, the magnetic properties of perovskite manganite will change dramatically, including large coercivities and surface spin-glass (SG) [18]. According to the scientists, when the particle size of perovskite manganate drops to the nanometer level, the spin core–shell structure is easily observed. In this process, the nature of the core is similar to the nature of the homologous sample, while the core has a large number of crystal defects leading to ineffective rotation of the core, leading to the appearance of magnetic dead layers. In addition, as the particle size decreases, the surface effect of the shell becomes stronger. At the same time, scientists have concluded that the surface super-exchange interaction is conducive to the development of the FM core [19].

For instance, nanosized FM manganite $\text{La}_{0.67}\text{Ca}_{0.33}\text{MnO}_3$ (LCMO) exhibits a reduced saturated magnetization with smaller grain size. On account of the magnetic properties of perovskite manganite, scientists put forward the core–shell model nanoparticles, where the core exists the original nature and the magnetic properties of the shell make a difference. The thickness of the shell is approximately 3 nm; this magnetic dead layer has been detected to exist along with the FM crystalline core [20]. Nevertheless, for the core–shell structure of $\text{La}_{0.25}\text{Ca}_{0.75}\text{MnO}_3$, the temperature of the CO transition gradually decreases and the pyramid becomes wide and weak as the grain size decreases. Pyramid, FM becomes the CG state [21]. This phenomenon involves endless rotation of the grain size while improving the FM interaction and suppressing the AFM state.

Nevertheless, for the core–shell structure of $\text{La}_{0.25}\text{Ca}_{0.75}\text{MnO}_3$, the temperature of the CO transition gradually decreases and the pyramid becomes wide and weak as the grain size decreases [21]. This phenomenon involves endless rotation of the grain size while improving the FM interaction and suppressing the AFM state. Phan and Yu [22] reviewed the RCP of various perovskite materials and summarized that compositions of $\text{La}_{0.845}\text{Sr}_{0.155}\text{MnO}_3$, $\text{La}_{0.815}\text{Sr}_{0.185}\text{MnO}_3$, $\text{La}_{0.7}\text{Ca}_{0.25}\text{Sr}_{0.05}\text{MnO}_3$, $\text{Gd}_5\text{Si}_2\text{Ge}_2$, and $\text{Pr}_{0.63}\text{Sr}_{0.37}\text{MnO}_3$ contributed the highest RCP values of 670, 533, 462, 535, and 511 J/kg in turn. In the report, some additional compositions such as HoMnO_3 , $\text{La}_{0.6}\text{Ca}_{0.4}\text{MnO}_3$, $\text{Pr}_{0.8}\text{Na}_{0.2}\text{MnO}_3$, $\text{Pr}_{0.8}\text{Na}_{0.15}\text{K}_{0.05}\text{MnO}_3$, $\text{Pr}_{0.8}\text{Na}_{0.1}\text{K}_{0.1}\text{MnO}_3$, and $\text{Pr}_{0.8}\text{Na}_{0.05}\text{K}_{0.15}\text{MnO}_3$ have performed the RCP of 540, 508, 356, 326, 292, and 293 J/kg. In addition, facile cooling power was contributed by the rest of manganites. For $\text{Yb}_{0.9}\text{Er}_{0.1}\text{MnO}_3$ and $\text{Yb}_{0.8}\text{Er}_{0.2}\text{MnO}_3$ with higher value of ΔH , this cooling power is increased [23].

3 Electronic Properties

The electronic structures of perovskites are determined by BX_6 blocks, of which are near the band edge in particular. For example, the Pb 6s-I 5p-antibonding orbital of the $[\text{PbI}_6]^{4-}$ units settles the valence band while the Pb 6p-I 5p σ -antibonding and Pb 6p-I 5s σ -antibonding orbitals control the conduction band [24]. The unique electronic properties of perovskite materials are essentially induced by lone pairs of lead ions. Unlike the electronic properties of most cations, Pb has an electron orbit

that occupies 6 s below the top of valence band (VB) [25]. The valence band maximum (VBM) has a stable antibonding interaction between I and Pb. Due to the special ionic and covalent nature of the electronic structure in perovskite materials, conduction band minimum (CBM) is mainly caused by the Pb state. As shown in Fig. 3, the electronic properties of the perovskite are attributed to the molecular structure of the perovskite material and to each ion. Like the perovskite structure of MAPbI_3 , the iodide ion produces VB at the A-site, while the iodide ion produces a conduction band at the B-site.

As can be seen from Fig. 3a, the density distribution of the different ions can have an effect on the electronic properties of the perovskite material. We can conclude that the cation-related state density is very low compared to VBM, which has no effect on the electronic band structure. The unique electronic structures of perovskites are displayed in Fig. 3, in contrast with the first and second generation, taking the Si and GaAs solar cell as examples. In traditional semiconductors, the CBM mainly depends on s orbital while the VBM on p orbital [27]. However, halide perovskite exhibits an inverted electronic band structure, leading to strong optical absorption compared with the first-and second-generation semiconductors.

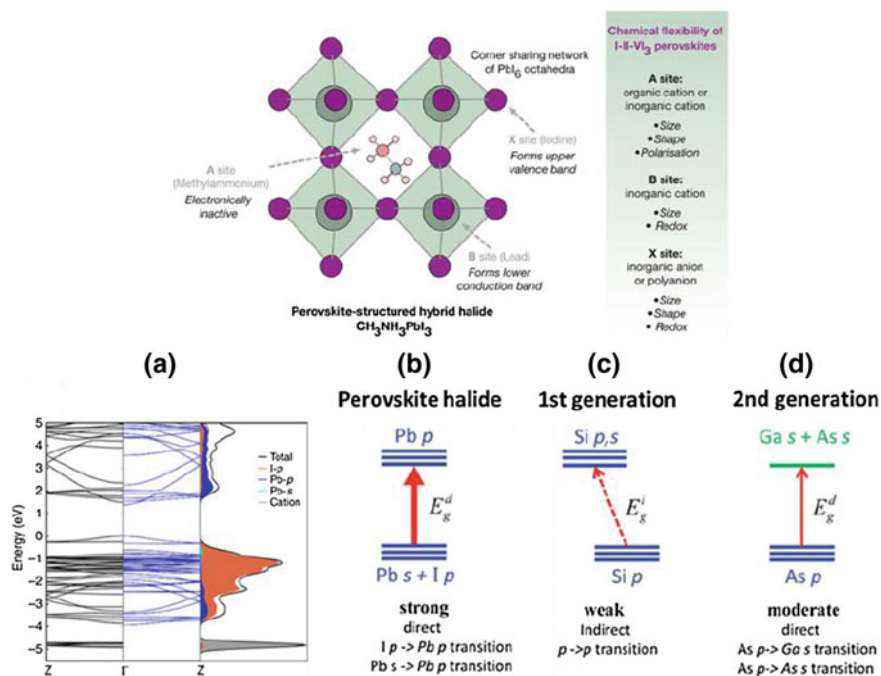


Fig. 3 a Contributions of MA, Pb, and I on the density of state of MAPbI_3 perovskite [26] (Reprinted with permission from Ref. [26]. Copyright 2014, Published by Springer Nature). Schematic optical absorption of b perovskite, c Si and d GaAs [27]) (Reprinted with permission from Ref. [27]. Copyright 2015, Published by Royal Society of Chemistry)

Compared with the previous absorber, perovskite materials are direct bandgap semiconductors and its transition possibility is much higher than others.

Although both MAPbI₃ and GaAs have direct bandgap, their electronic structures are quite different. First, the bottom end of the conduction band (CB) of the perovskite material is determined by the Pb b band. Instead, the lower end of the CB is determined by the delocalized s orbit of GaAs. Thus, the perovskite material has a higher state density (Fig. 4a) and a combined density of states (Fig. 4b). On the other hand, the band transition between CB and VB originates from the mixed halides of Pb s and I p to Pb p orbitals. The possible transition from Pb s to Pb p is higher than that of GaAs, as the ability of optical absorption for perovskites is much stronger than that of GaAs (see in Fig. 4c, d) (Fig. 5).

Another uniqueness of perovskites is the electrically benign grain boundary (GB). Different from the conventional polycrystalline GBs that are noxious for performance, the GBs of perovskite polycrystalline films are usually invisible [29], which has been reported to benefit the device performance [30]. There is a stable interaction between Pb s and I p, resulting in a higher VBM. Therefore, there

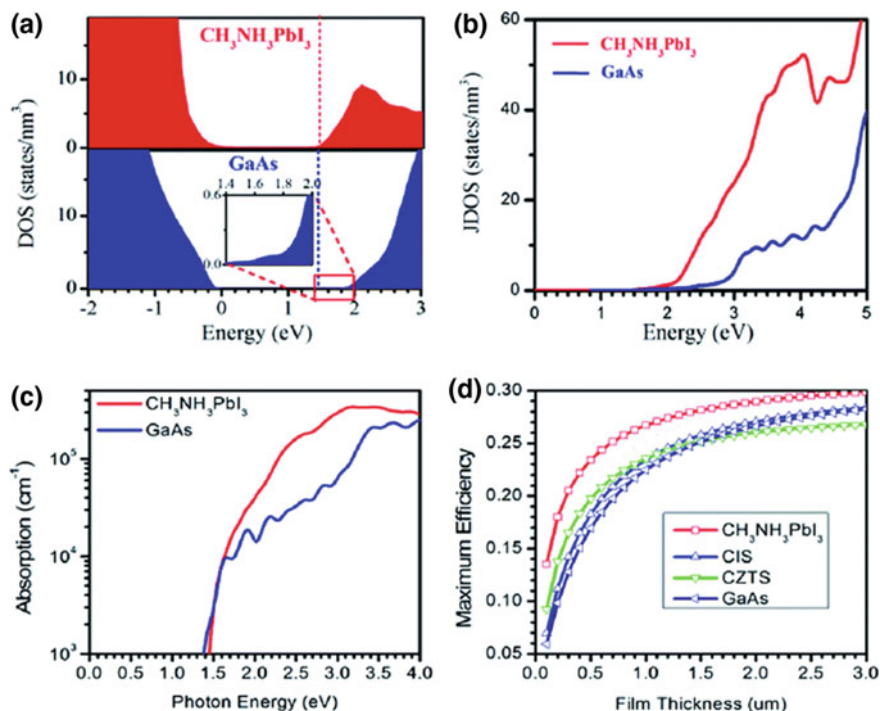


Fig. 4 a Density of states of MAPbI₃ and GaAs. The VBMs are referred to as zero energy and CBMs are marked in dashed lines, b Joint density of states of MAPbI₃ and GaAs, c optical absorptions of MAPbI₃ and GaAs, d maximum efficiencies calculated for MAPbI₃, CIS, CZTS, and GaAs as a function of film thickness [27] (Reprinted with permission from Ref. [27]. Copyright 2015, Published by Royal Society of Chemistry)

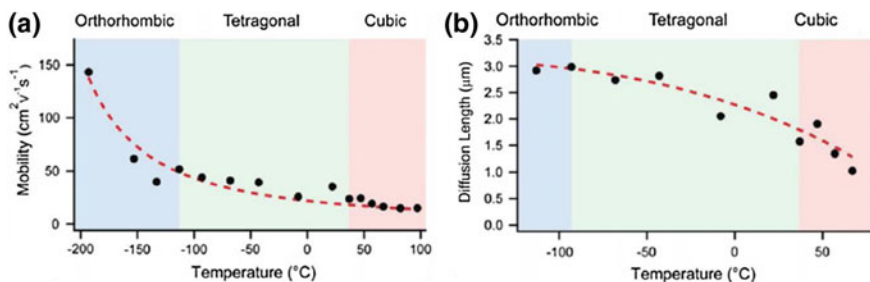


Fig. 5 Temperature dependence of (a) mobility and (b) diffusion lengths of MAPbI₃ [28] (Reprinted with permission from Ref. [28]. Copyright 2014, Published by Springer Nature)

are lower defect states and charge carrier recombination [27]. Due to the specific electronic structure, the perovskite material has strong carrier transport and carrier collection properties, which is advantageous for electronic performance. [27, 31, 32].

By solution method engineering, perovskite films with high quality can be produced. For example, the mobility of MAPbI₃ thin film can be enhanced by four magnitude (8–35 cm² V⁻¹ s⁻¹) through optimized deposition techniques [33]. At the same time, the diffusion length of the perovskite material varies with the preparation method. The relationship between the electronic properties of the perovskite material and the crystal structure can be seen from Fig. 2. As shown in Table 1, it can be clearly seen that various measurement methods and material compositions result in the electronic properties of the perovskite. When the phase of perovskite transforms to a cubic structure at room temperature, electronic properties are relatively reduced.

Table 1 Diffusion length and mobility of some hybrid perovskites

Compound (Perovskite Thin Films)	Measurement technique	Mobility (μ) (cm ² v ⁻¹ s ⁻¹)	Diffusion length, L _D (μ m)	Charge lifetime, τ (ns)	Reference
MAPbI ₃	PLQ	0.66	0.13	9.6	[34]
	PLQ	1.4	0.13	4.5	[35]
	TRTS	8.2	1.2	67	[36]
MAPbI _{3-x} Cl _x	PLQ	1.6	1.07	273	[34]
	TRTS	11.6	2.4	200	[36]
MAPbBr ₃	PLQ	8.9	1.06	51	[37]
CH(NH ₂) ₂ PbI ₃	PLQ	0.16	0.18	75	[38]
	TRTS	27	3.1	140	[39]
CH(NH ₂) ₂ PbBr ₃	TRTS	14	1.3	50	[39]
MASnI ₃	TRTS	1.6	0.03	0.2	[40]

4 Optical Properties

Perovskites are under intense research for the use in optoelectronics, not only in solar cells but also light detectors [41–43] and light-emitting diodes (LEDs) [44, 45]. This can be attributed to their excellent optical properties which are absolutely requested for the light absorbers as well as light-emitting materials, for example, the high absorption coefficients and tunable direct bandgaps.

The large absorption coefficients on the order of 10^5 cm^{-1} make it possible to reduce the thickness of organic-inorganic hybrid perovskites, which means easy fabrication and low cost. Therefore, the thicknesses of TiO_2 in perovskite are relatively low compared to the solid-state dye sensitized solar cells. This may encourage the development and commercialization of perovskite solar cells to some extent.

According to Shockley and Queisser (SQ) calculations, the upper limit for solar energy conversion efficiency using single-junction solar cell is close to 33% at 1.4 eV absorber energy bandgap. In spite of this, the recorded efficiencies of single-junction solar cells can hardly achieve the upper limit. Different kinds of adverse factors including defects, impurities, interfacial potential barriers, put off the approaching of the theoretical maximum. Figure 6 [46] gives the detailed description of the relationship between the maximum power conversion efficiency and the bandgap of absorber materials along with the recorded efficiencies by experiments. We can see that as the bandgap of absorber materials shifts away from

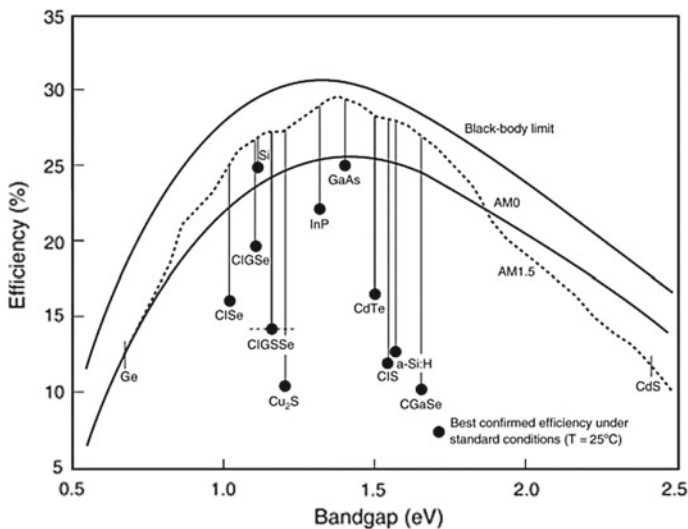


Fig. 6 Performance gaps between the best device efficiencies in the laboratory and attainable efficiencies for several solar cell technologies [46] (Reprinted with permission from Ref. [46]. Copyright 2006, Published by ELSEVIER BV)

the ideal value of 1.4 eV, the limit of maximal power conversion efficiency will decrease.

It is known that perovskite materials can be described as ABX_3 , where X is an anion and A and B are cations of different sizes. For the organic-inorganic halide perovskites of present interest, the larger cation A is organic group. It is generally methylammonium ($CH_3NH_3^+$, MA^+), ethylammonium ($CH_3CH_2NH_3^+$, EA^+), and formamidinium ($NH_2CH = NH_2^+$, FA^+). For efficient cells, cation B has universally been Pb^{2+} or Sn^{2+} . The anion X is a halogen, generally iodine (I^-), bromine (Br^-) and chlorine (Cl^-).

A remarkable versatility of perovskite is the tunability of the optical energy band through all of these compositions of A, B, and X. There are three kinds of structures of bulk perovskite that we usually observe: cubic, tetragonal, and orthorhombic, in order of decreasing symmetry. In general, once the size of the A cation is reduced, the structure would evolve from the original tetragonal symmetric structure to cubic symmetry [47]. The increased symmetry can make differences in the bandgap. On the other hand, the band structure of the perovskite is primarily dependent on the inorganic components. For example, in $MAPbI_3$, its valence band maxima originate from the σ bonding orbital composed of Pb 6s–I 5p. The conduction band minima consist of Pb 6p–I 5s σ and Pb 6p–I 5p π antibonding orbitals [48]. Therefore, the bandgap is mainly determined by the $[PbI_6]^{4-}$, which is also confirmed by the first principles modeling [49].

The cation A plays an important role in the phase structure of perovskites based on the empirical Goldschmidt tolerance factor theory, which is usually accompanied by the change in bandgap. In cubic perovskite structures, the alternation on A can still tailor the band structure to a small extent. For example, the bandgap of archetypal hybrid perovskite $MAPbI_3$ is around 1.5 eV. To narrow the bandgap of $MAPbI_3$ closer to 1.4 eV, $NH_2CH = NH_2^+$, a similar group to $CH_3NH_3^+$ has been applied, forming $FAPbI_3$ with a bandgap of 1.4 eV and significantly extended photocurrent generation spectrum at 870 nm. As for the $CsPbI_3$, the bandgap is in the range of 1.67 eV. The tendency has been described that with the increase in the size of A, like from Cs^+ to MA^+ or FA^+ , the value of the bandgap will gradually decrease (Fig. 7). However, further increasing the size of A to EA, the perovskite structure turns into orthorhombic symmetry with a larger bandgap of 2.2 eV [50]. According to the structural formation criterion, the volume threshold is $CH_3CH_2NH_2$ for the formation of cubic $APbI_3$. From this point, there are quite a limited number of molecules that can be applied in the A-position to modulate the band structures of perovskites.

However, the change of B and X in ABX_3 gives more considerable changes in bandgap. To avoid toxic lead (Pb), the development of lead-free or lead-less perovskite materials has attracted more and more interest all over the world. Tin (Sn), which is in the same family as Pb, is the first candidate as the alternative. Ogomi et al. [51] observed that the bandgap of $MASn_{1-x}Pb_xI_3$ can be tuned between 1.17 and 1.55 eV along with the absorption spectrum extended from the visible to the near-infrared region. The first demonstration of the lead-free $MASnI_3$ perovskite

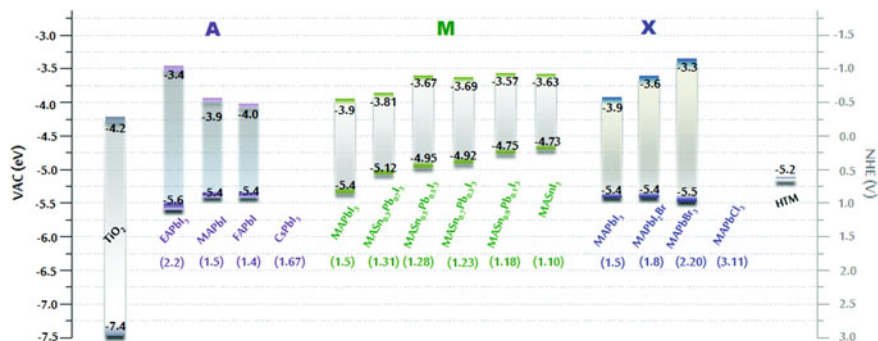


Fig. 7 Energy levels for some perovskites, TiO₂ and a conventional hole transporting material, 2, 2', 7, 7'-tetrakis-(N, N-dimethoxyphenyl-amine)-9, 9'-spirobifluorene (spiro-MeOTAD). The values under the chemical formula are the corresponding bandgap in eV [47] (Reprinted with permission from Ref. [47]. Copyright 2014, Published by RSC Pub)

came from Hao et al. [52]. With the narrowed bandgap of 1.3 eV, the PCE of this tin-based perovskite solar cell was over 5%. Nevertheless, the biggest problem to deal with the $\text{MASn}_{1-x}\text{Pb}_x\text{I}_3$ or MASnI_3 perovskite is yet to solve. It is difficult to prevent the oxidation of Sn to SnI_4 in the iodide perovskite. What is more, the low surface coverage and low crystal quality of Sn-based perovskite also cause low efficiency of the cell devices compared with the Pb equivalents.

In the X position of perovskites, halogen elements, Cl, Br, and I, are used mostly. The alternation of X can bring great changes in their bandgaps. For MAPbX_3 , the bandgaps of Cl, Br, and I are 3.11, 2.20, and 1.50 eV, respectively (see in Fig. 7). Figure 8 [38, 53, 54] shows the continuous change of absorption spectra of different perovskite materials with mixed halides. The works on altering A and X simultaneously represented exhibited similar results [55]. Substitution of B and X in Fig. 7 at the same time shows a large range of bandgap modulation which is from 1.10 to 3.11 eV. This tunability could be useful for multijunction perovskite solar cells or hybrid tandem solar cells with silicon or copper indium gallium diselenide (CIGS) thin films in pursuit of higher energy conversion efficiency.

The optical properties of low-dimension semiconductors, such as two-dimension (2D) nanowires and quantum dots (QDs), are usually revealed to be different from the commonly mentioned three-dimension (3D) materials. Analogously, with quantum confinement effect, the 2D-nanostructured perovskites and QDs perovskites show variable absorption edges and PL peak positions, in addition to better air- and photo-stability than the 3D ones. Taking advantage of defect tolerance, perovskite QDs exhibit unique optical properties in spite of the nature of intrinsic structural defects which are abundant in this system. These defects do not act as traps as the other compounds. Nearly all types of perovskite QDs, including the organic-inorganic hybrid and the all-inorganic materials, have been reported in recent years. What is more, the perovskite colloidal nanocrystals (NCs) offer highly saturated colors compared with the CIE (Fig. 9c) [56, 57]. By adjusting the

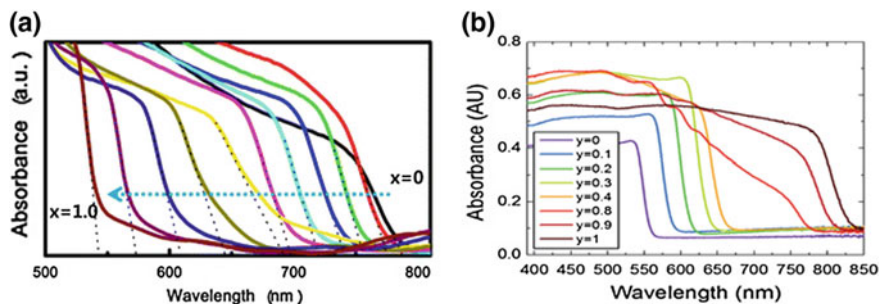


Fig. 8 Absorption spectra of **a** $\text{MAPbBr}_x\text{I}_{3-x}$, [53] (Reprinted with permission from Ref. [53]. Copyright 2013, Published by American Chemical Society). **b** $\text{FAPbI}_y\text{Br}_{3-y}$ [38] (Reprinted with permission from Ref. [38]. Copyright 2014, Published by RSC Publishing) perovskite films with different x or y increasing from 0 to 1

composition of X and A as well as the size and shape of these NCs, their photoluminescence (PL) peaks move in a continuous way. Like the $\text{CH}_3\text{NH}_3\text{PbX}_3$ NCs, the wide-color gamut and tunable color range are desirable, which can be seen in Fig. 9a, b [57]. At room temperature, the perovskite NCs are synthesized as the phases as follows: MAPbI_3 NCs are tetragonal; FAPbBr_3 , MAPbBr_3 , and FAPbI_3 NCs are cubic; CsPbBr_3 and CsPbI_3 NCs are orthorhombic. The narrow emission bandwidths, high photoluminescence quantum efficiency (PLQY), and tunable PL

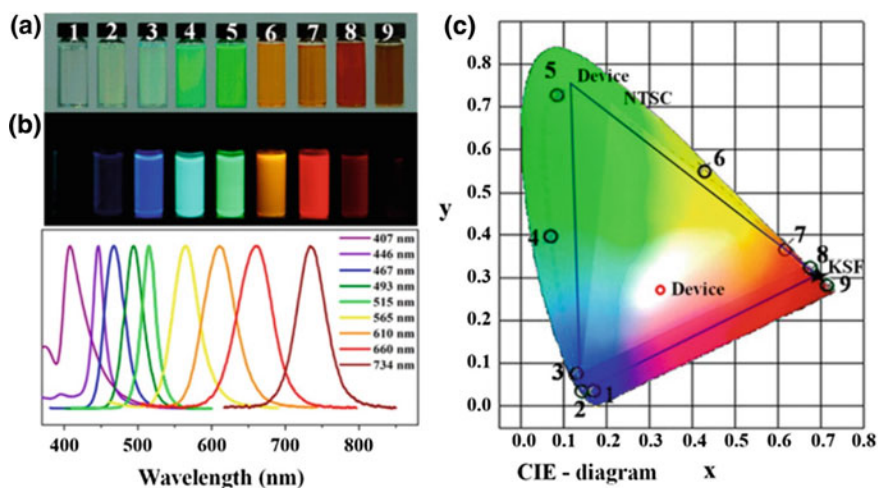


Fig. 9 Photographs (under mixed daylight and 365 nm UV excitation) **(a)** and corresponding PL spectra **(b)** of composition-tuned $\text{CH}_3\text{NH}_3\text{PbX}_3$ NCs. **c** PL spectra of $\text{CH}_3\text{NH}_3\text{PbX}_3$ NCs plotted on CIE chromaticity coordinates (no. 1–9, black circle) compared with common color standards (pc-WLED devices (blue lines), and NTSC standard (bright area)) [57] (Reprinted with permission from Ref. [57]. Copyright 2015, Published by American Chemical Society)

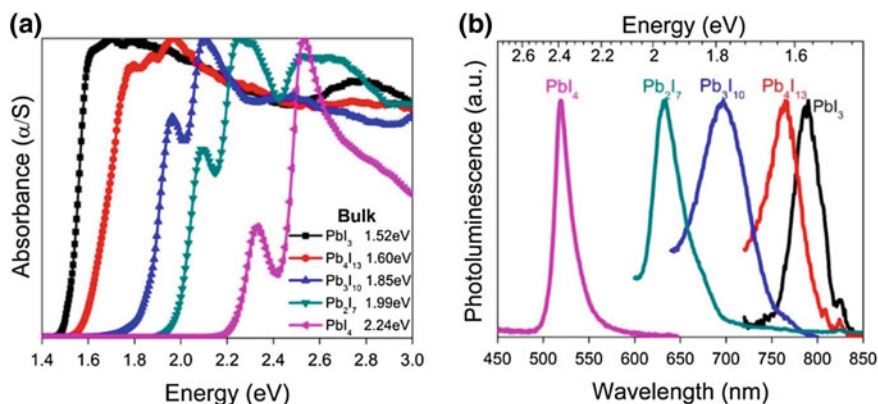


Fig. 10 Absorption (a) of bulk and photoluminescence (PL) spectra (b) of the thin films of MAPbI₃ and (BA)₂(MA)_{n-1}Pb_nI_{3n+1} with the value of n varying from 1 to 4 [58]. (Reprinted with permission from Ref. [58]. Copyright 2015, Published by American Chemical Society)

peak positions (from ultraviolet to near-infrared) of perovskite NCs meet the requirement for wide-color-gamut liquid-crystal displays (LCDs).

Compared to 3D-perovskites, 2D-perovskites are composed of layered structures divided by organic spacers. The composing formula of 2D-perovskites is as follow, A_nA'_{n-1}M_nX_{3n+1}, where A, A' are cations, M is metal and X is halide. The number of n determines the quantum-well thickness and, as a result, the optical bandgap [58]. For instance, the detailed optical information of the (BA)₂(MA)_{n-1}Pb_nI_{3n+1} family is displayed in Fig. 10. In addition, some researchers also found that the well designed 2D/3D hybrid perovskite can cover the virtues of both 2D- and 3D-perovskite, being an aggregate of desirable photo-electronic properties as well as the stability against moisture.

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Chapter 3

Preparation Methods of Perovskite-Type Oxide Materials



Weiren Xia, Yao Lu and Xinhua Zhu

1 Introduction

Perovskite-type oxide materials are one of the most important class functional materials, which exhibit abundant physical properties such as ferroelectric, piezoelectric, dielectric, ferromagnetic, magnetoresistant, and multiferroic properties [1–5], which are widely investigated in the past century. The perovskite oxide structures with a chemical formula ABO_3 is coined from the prototype $CaTiO_3$ mineral called perovskite, where A and B are two different metal ions with very different ionic sizes, and O anions are bonded to them. In a cubic perovskite structure, A ions occupy at cube corner positions (0, 0, 0), B ions sit at the body center position (1/2, 1/2, 1/2), and oxygen anions are located at face-centered positions such as (1/2, 1/2, 0), (1/2, 0, 1/2), and (0, 1/2, 1/2), as illustrated in Fig. 1a. A-sited ions are coordinated by 12 oxygens, fitting into the dodecahedral site of the framework (Fig. 1b). The B-sited ions are coordinated by six oxygens in octahedral coordination, which are transition metal ions such as 3d, 4d, and/or 5d elements. Since most of the metal elements in the periodic table can be used for constructing perovskite oxides by rationally combining different metal ions at A- and B-sites [6], therefore, the perovskite structure is featured to be structural simplicity and flexibility. By appropriate chemical substitutions at the A- and/or B-sites many desirable properties of perovskite oxides can be tailored. However, in practice, most perovskite oxides display a distorted structure rather than a cubic structure to accommodate the A- and B-cations. In the past century, the perovskite-type oxides are probably the most studied family of oxides. In the 1920s Goldschmidt et al. [1] carried out the pioneering structural works on the perovskites. Up to now, numerous perovskite oxide compounds with a variety of

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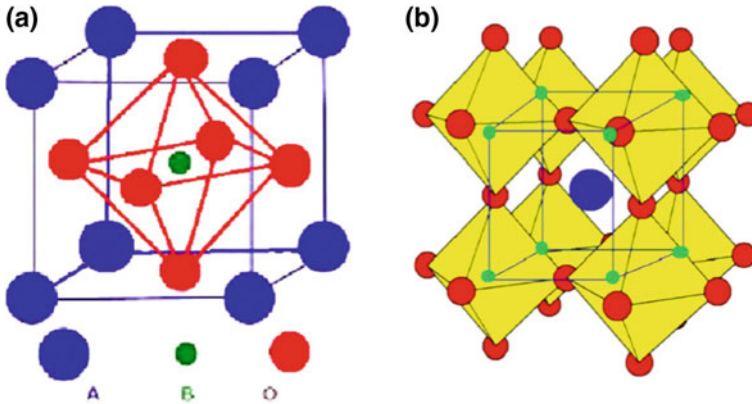


Fig. 1 **a** Unit cell of a cubic ABO₃ perovskite structure and **b** framework of an ABO₃ perovskite structure where corner-shared oxygen octahedral extending in three dimensions

properties have been synthesized. It is also demonstrated that the diversity of the synthesized perovskite oxide compounds provides the wide spectrum of ferroelectric, dielectric, piezoelectric, magnetic, optical and multiferroic properties, which have important applications in memory devices, sensors, actuators, ceramic capacitors, and multiple-state memories and spintronic devices controlled by electric and magnetic fields [7–10].

Many perovskite oxide compounds prepared by solid-state reaction exhibit polycrystalline nature. The problems of this synthesis technique arise from that some starting oxides (e.g., PbO, Bi₂O₃) may vaporize, and the resulting microstructures of perovskite oxides obtained by this method exhibit poor chemical homogeneity, significant particle agglomeration, and coarse large particle sizes. To solve the above problems and to produce homogeneous and stoichiometric perovskite oxide powders, wet chemical routes such as sol–gel process [11, 12], hydrothermal synthesis [13], microwave-hydrothermal synthesis [14], and microemulsion synthesis [15] techniques have been developed in recent years. Perovskite oxide nanopowders and thin films with controlled levels of dopants have been prepared via sol–gel process by using metal alkoxides as precursors. Perovskite oxide thin films are also successfully grown by physical vapor deposition (PVD) or pulsed laser deposition (PLD). With the progress of the miniaturization of microelectronic devices, electronic devices based on perovskite-type 3D oxide nanostructures are highly required, which have recently been developed. For example, artificial 3D perovskite (La,Pr,Ca)MnO₃ (LPCMO) nanoboxes have been fabricated by employing a combination of nanoimprint and PLD techniques for high performance correlated perovskite oxide nanoelectronics [16]. It is believed that the promising nanofabrication and nanoscale properties of the perovskite-type 3D oxide nanostructures will provide new possibilities for exploring novel size-induced perovskite oxide nanoelectronics.

Generally, perovskite oxide nanostructured materials can be classified as zero-dimensional (0D) (nanopowders), one-dimensional (1D) (such as nanowires, nanotubes, and nanorods), two-dimensional (2D) (e.g., thin films, nanodot arrays, and lamellae patterns), and three-dimensional (3D) (such as vertically aligned nanowires, rods, or tubes) nanostructures. In the past decades, much progress has been achieved in the fabrications of nanoscale perovskite oxide materials. In this chapter, we focus on the preparation methods of perovskite-type oxide materials, which cover bulk perovskite oxide ceramics, perovskite oxide nanopowders, perovskite (1D, 2D, and 3D) oxide nanostructures. First, we introduce the synthesized methods for bulk perovskite oxide ceramics and then summarize the prepared methods of perovskite oxide nanopowders, where solid, liquid, or gas phase precursors are used. Emphasizes are given to the preparation methods of perovskite (1D, 2D, and 3D) oxide nanostructures due to their superior physical and chemical properties, and the possibility for exploring intrinsic size effects of physical properties. Finally, we provide a future outlook of preparation methods of perovskite-type oxide materials.

2 Preparation of Bulk Perovskite-Type Oxides

The fabrication of perovskite-type oxide ceramics includes powder preparation, calcination, and final sintering. For the electrical property measurement, the perovskite-type oxide ceramic samples are needed to be machined, electroded, and poled. The poling process is performed by application of a DC field to orient the ferroelectric domains and induce piezoelectricity. A flowchart of a typical manufacturing process for perovskite piezoelectric ceramics with metal oxides as starting materials is shown in Fig. 2. Since the electromechanical properties of piezoelectric ceramics are highly dependent upon the processing conditions, to obtain the best piezoelectric properties, the poling voltage and temperature should be optimized in poling process. The physical properties (e.g., ferroelectric, piezoelectric, and dielectric properties) of perovskite oxide ceramics can be measured by different methods. Details can be found elsewhere [17].

3 Synthesis of Perovskite-Type Oxide Nanopowders

3.1 *Solid-State Reaction Route*

Solid-state reaction method is one of the most conventional routes for synthesizing perovskite oxide nanopowders (e.g., BiFeO_3 (BFO), KNbO_3 , etc.) [18, 19]. This process consists of weighting the starting materials (the corresponding oxides or carbonates), mixing, milling, and then calcinating them at elevated temperatures to

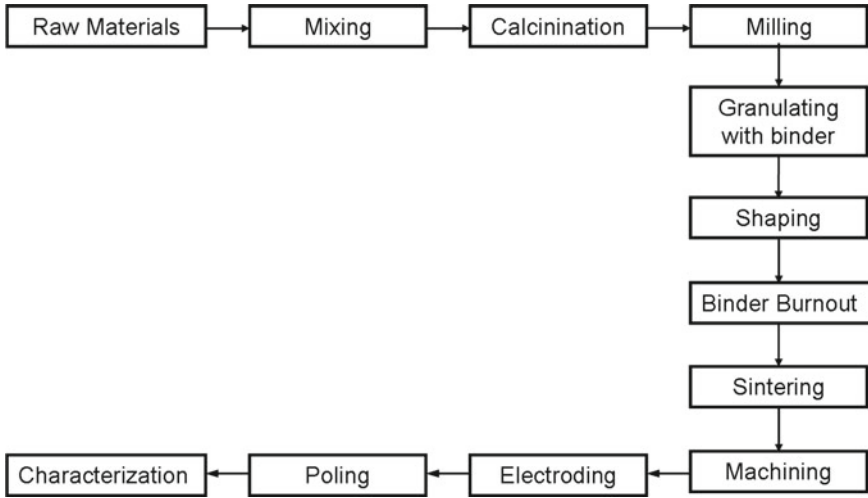


Fig. 2 Flowchart of a typical oxide manufacturing process for perovskite piezoelectric oxide ceramics

form the perovskite phase. For example, BFO multiferroic nanoparticles are obtained by solid-state reaction method with Bi_2O_3 and Fe_2O_3 as the starting oxides in the temperature range of 800–830 °C. This process includes weighting the starting materials (Bi_2O_3 and Fe_2O_3), mixing, milling, and calcinating them at high temperatures to form the perovskite phase. However, in the synthesis of perovskite stoichiometric BFO nanoparticles via solid-state reaction method, it is difficult to avoid the formation of impurity phases such as sillenite-type $\text{Bi}_{23}\text{FeO}_{39}$ and mullite-type $\text{Bi}_2\text{Fe}_4\text{O}_9$. To understand the formation process of BFO nanoparticles, the reaction pathways in the solid-state synthesis of multiferroic BFO were investigated [20]. It was found that the diffusion of Bi^{3+} ions into Fe_2O_3 controlled the whole process which involves the formation of impurity phases. A schematic diagram illustrating the reaction paths in the solid-state synthesis of BFO nanopowders is shown in Fig. 3 [20], which explains why small amounts of impurity phases are always companied with BFO nanoparticles. In addition, the particle size of Fe_2O_3 powder controls the diffusion distance, which plays an important role in controlling the formation of unreacted phases in this system. A similar condition also appears in the formation of BT nanoparticles from a mixture of BaCO_3 and TiO_2 , where the growth of BaTiO_3 is governed by the diffusion of Ba^{2+} ions through a perovskite layer [21, 22]. Besides the BT nanopowders, BaZrO_3 (BZ) powders are also synthesized by a similar method [23]. Besides the conventional solid-state reaction method, other prepared methods such as molten salt synthesis, mechanical milling method, sol–gel process, and hydrothermal synthesis are also developed, which are described below.



Fig. 3 A schematic diagram illustrating the reaction paths in the solid-state synthesis of BiFeO₃. When the solid-state reaction proceeds, the penetration profile of the Bi ions into the Fe₂O₃ particles leads to the formation of different compounds. Initially, the 25:1 (Bi:Fe) sillenite phase is formed in the outer surface and the 1:1 BiFeO₃ perovskite phase is developed as moving inside the structure. But the nuclei of the 1:2 Bi₂Fe₄O₉ phase is also formed in deeper inside, constituting the diffusion/reaction front toward the iron oxide core. As the temperature increases diffusion will be favored and more perovskite may be formed in spite of the iron-rich nucleus. Due to the competition between the complete formation of BFO and the crystallization of Bi₂Fe₄O₉ mullite crystals, the formation of BFO is blocked and eventually three phases coexist simultaneously. Reproduced with permission from [20], M. S. Bernardo, T. Jardiel, M. Peiteado, A. C. Caballero, M. Villegas. Reaction pathways in the solid state synthesis of multiferroic BiFeO₃. *J. Eur. Ceram. Soc.* 31(2011) 3047–3053 Copyright © 2011, Elsevier Ltd.

3.2 Molten Salt Synthesis (MSS)

As one of the simplest, most versatile, and cost-effective methods MSS method is widely used for preparing perovskite oxide powders [24]. For example, multiferroic BFO nanoparticles and La-doped BFO nanoparticles have been synthesized by MSS process in the NaCl [25, 26], NaCl–KCl [27, 28], NaCl–Na₂SO₄ [29], or KNO₃–NaNO₃ [30] molten salts. The formation mechanisms of BFO have also been investigated. It is found that the molten salts (e.g., NaCl, NaCl–Na₂SO₄, KNO₃–NaNO₃) play an important role in promoting the formation of BFO phase. Recently, Pb-based perovskite relaxors such as Pb(Mg_{1/3}Nb_{2/3})O₃ (PMN), Pb(Ni_{1/3}Nb_{2/3})O₃ (PFN) [31], Ba-based dielectric oxides such as Ba(Sr,Ti)O₃, Ba(Mg_{1/3}Ta_{2/3})O₃ [32], and perovskite La_{1-x}Sr_xMnO₃ (LSMO) nanoparticles [33, 34], are also synthesized by MSS process. Overall, MSS method is a simple, cost-effective, and environmental-friendly approach for obtaining perovskite oxide nanopowders with uniform compositions, ideal morphology, and high purity.

3.3 Mechanical Milling Method

Recently, perovskite oxide nanoparticles such as BT, PT, PZ, and BST have been successfully synthesized by using several mechanical milling methods [35, 36]. For example, by ball milling method perovskite manganite Pr_{0.7}Ca_{0.3}MnO₃

nanopowders with average crystallite size of 29 nm were also prepared [37]. X-ray powder diffraction patterns revealed that the $\text{Pr}_{0.7}\text{Ca}_{0.3}\text{MnO}_3$ nanopowders crystallized in an orthorhombic perovskite structure with a Pnma space group at room temperature. Magnetic measurements demonstrated that the $\text{Pr}_{0.7}\text{Ca}_{0.3}\text{MnO}_3$ nanopowders underwent a phase transition from ferromagnetic phase to paramagnetic phase at ~ 120 K. However, as viewed from the point of energy efficiency, the vibro-mill (or vibratory mill or vibro-energy mill) seems to be more attractive than the ball milling [35]. The vibro-milling has several advantages over the conventional ball milling produces, such as finer particles, narrower size distribution at a faster rate, simple equipment, low-cost starting precursors, and large-scale production of nanopowders. Therefore, the vibro-milling method can be recognized as a powerful method for synthesizing perovskite nanopowders. By choosing suitable milling time and the calcination conditions, high purity perovskite oxide nanopowders such as BT, PT, PZ with the smallest particle size of 100 nm, 17 nm, and 31 nm can be mass produced, respectively [38].

Recently, complex perovskite oxides such as $(\text{Bi},\text{Na})\text{TiO}_3$, $(\text{K},\text{Na})\text{NbO}_3$, $\text{Pb}(\text{Zr},\text{Ti})\text{O}_3$ have also been synthesized from micron-sized reactant powders via a mechanochemical reaction using a highly energetic ball milling process [39]. During the mechanical milling process, the mechanochemical activation by the heavy milling is the key step, which alters the physicochemical properties of the starting materials and the mechanism of synthesis. Welham [40] demonstrated that single-phase perovskite nanocrystalline BT powders were obtained in a very short milling time of ca. 30–40 min. Recently, multiferroic BFO nanopowders have also been synthesized directly at room temperature by mechanochemical synthesis [41].

Although mechanochemical method has the ability to produce the products with particle sizes at nanometer scale at room temperature, its small batch sizes and very long processing times make it unsuitable in large-scale industrial applications. In addition, intensive ball milling process may result in unfavorable contaminations from the milling media.

3.4 Wet Chemical Routes

3.4.1 Sol–Gel Processing

Since the reacting species are homogenized at the atomic level in a sol–gel process, the diffusion distances are much reduced in comparison to the conventional solid-state reaction. As a consequence, the final products can be obtained at much lower temperatures by sol–gel process. Up to date multicomponent perovskite oxide nanopowders with a controlled stoichiometry have well been prepared by sol–gel process [42–44]. The processing parameters such as the starting materials, concentration, pH value, and heat treatment schedule have an important effect on the

physical properties of perovskite nanopowders. A typical example is the sol–gel derived perovskite oxide BT nanopowders [45, 46]. To control the grain size and its distribution, the process parameters of the gels (e.g., post-annealing temperature, time and atmosphere, heating rate) must be optimized [47]. It is found that the annealing temperature plays an important role in controlling the BFO nanoparticle size. For example, the particle sizes of BFO nanoparticles annealed at 350 °C were less than 15 nm, whereas they are increased up to more than 100 nm as the annealed temperature was increased up to ~650 °C [48, 49]. In order to obtain the BFO nanoparticles with controlled grain size, shape, and crystallinity without additional sintering steps, modified sol–gel techniques such as Pechini method, polymer complex solution, and glycol-gel reaction were developed to synthesize BFO nanoparticles. Now the BFO nanoparticles with sizes from a few nanometers to micrometers can be obtained by carefully controlling the processing parameters such as solid-state polymerization and the heat treatment process.

3.4.2 Alkoxide-Hydroxide Sol–Precipitation Synthesis

Alkoxide–hydroxide sol–precipitation process was first proposed by Flaschen [50], which is widely used to produce crystalline perovskite oxide nanopowders at low temperatures and no further calcination at high temperatures are required. Perovskite BT powders have been synthesized by this method [50–53]. In this process, the hydrolysis and condensation processes are found to be the key processes of crystal growth. To well control the particle size and the shape of precipitates the water content and its additional method to the reaction system must be optimized. It is reported that BT nanopowders can be synthesized at low temperature as 80–100 °C via alkoxide-hydroxide method by using aqueous alkaline solution as a starting material [52]. That is ascribed to the hydrolysis–condensation reaction taking place instantly upon mixing the aqueous and alcohol solutions. However, the final products exhibit much agglomerated and inhomogeneous morphologies, which are unsuitable for the next powder processing and sintering. By using the solid barium hydroxide octahydrate as starting material, it is possible to modify the hydrolysis–condensation reaction due to the water molecules released in situ as $\text{Ba}(\text{OH})_2 \cdot 8\text{H}_2\text{O}$ was dissolved in the alcoholic solution. The experimental results have shown that BT nanoparticles with sizes smaller than 6 nm first nucleate at 50 °C without forming the intermediate TiO_2 anatase, and corner-sharing TiO_6 octahedra are formed at 60 °C. The average sizes of BT powders are increased up to about 7.5 nm at 80 °C, and the BT nanopowders exhibit an anomalous lattice expansion with a relatively high tetragonality [54].

3.4.3 Hydrothermal Routes

Hydrothermal Process

The hydrothermal method, also named as the autoclave method, involves heating an aqueous suspension of insoluble salts in an autoclave at a moderate temperature and pressure where a desired phase structure is formed. It is widely used for preparing perovskite nanoparticles due to synergetic effects from solvent, temperature, and pressure, which can provide stable final products and prevent the formation of impure phases. Perovskite BFO nanoparticles with controllable particle size and morphology have been synthesized by hydrothermal synthesis [55–60]. For example, Han et al. [55] reported on $\text{Bi}_{12}\text{Fe}_{0.63}\text{O}_{18.945}$ nanocrystals, nano- and submicrometer-sized BFO, and $\text{Bi}_2\text{Fe}_4\text{O}_9$ nanoparticles with different shapes synthesized under special hydrothermal conditions. Similarly, Wang et al. [56] also reported on hydrothermal synthesis of bismuth ferrite compounds, which were assisted by using various alkali metal ions such as K^+ , Na^+ , and Li^+ ions. Pure BFO nanoparticles were synthesized at 200 °C by using 7 mol l^{-1} KOH and 12 mol l^{-1} KOH, whereas NaOH and LiNO_3 solutions can stabilize $\text{Bi}_2\text{Fe}_4\text{O}_9$ and $\text{Bi}_{12}(\text{Bi}_{0.5}\text{Fe}_{0.5})\text{O}_{19.5}$ phases, respectively, in the final products regardless of their concentrations. When KNO_3 is used as the mineralizer, phase-pure BFO nanoparticles with particle size of ~ 5 nm are synthesized. These results reveal that the alkali metal ions (K^+ , Na^+ , and Li^+) play an important role in the formation of rhombohedral BFO, orthorhombic $\text{Bi}_2\text{Fe}_4\text{O}_9$, and cubic $\text{Bi}_{12}(\text{Bi}_{0.5}\text{Fe}_{0.5})\text{O}_{19.5}$ nanoparticles [61]. The reaction mechanism for the synthesis of the BFO nanoparticles by the hydrothermal method can be understood from the dissolution–crystallization process. In this process, Bi^{3+} and Fe^{3+} ions are first transformed into hydroxide $\text{Fe}(\text{OH})_3$ and $\text{Bi}(\text{OH})_3$ in the precursors, and then they are dissolved in the precursors under the presence of alkaline mineralizers (e.g., KOH, NaOH, LiOH) in hydrothermal conditions. As the Bi^{3+} and Fe^{3+} ionic concentrations in the alkaline solution are larger than their saturated concentrations, the BFO phase starts to be nucleated and precipitated from the supersaturated hydrothermal fluid, following the crystal growth [62]. Therefore, the particle size and morphology of BFO particles are closely related to the nucleation and crystal growth rate, which in turn are affected by the degree of supersaturation. A highly supersaturated precursor with high KOH concentration and high pH value will lead to a high nucleation rate but low growth rate, and thus smaller particle size [59]. In addition, the cationic radii of mineralizers have great effect on the size and morphology of nanoparticles. Hojamberdiev et al. [63] reported that the average particle sizes of BFO powders synthesized under mineralizers of LiOH, NaOH, and KOH, were 64 nm, 120 nm, 200 nm, respectively, indicating that the average particle size was increased with the cationic radii of the added mineralizers. Hydrothermal reaction is usually performed at temperature below 250 °C and even as low as 130 °C, much lower than the sol–gel process.

While the perovskite oxide nanopowders are synthesized directly by hydrothermal process, however, their products are usually highly defective in their

crystallographic structure [64, 65]. For example, in the BT nanopowders synthesized by hydrothermal method there exists structural defects such as lattice OH^- ions and barium vacancies (V_{Ba}''). The latter one is created at the surfaces of particles to maintain the whole electroneutrality of nanoparticle [64, 65]. Due to the coexistence of point defects such as barium, titanium, and oxygen vacancies and their annihilation each other the BT lattice becomes unstable. Since the point defects with different charges may compensate each other to neutrality, some vanishing vacancies are formed upon dehydration, leading to the formation of intragranular pores (shown in Fig. 4a), which partly disappears upon grain growth above 800 °C. In the MLCCs ceramics made from the hydrothermal BT powders, a strange expansion called “bloating phenomena” (shown in Fig. 4b) appeared at the final stage of sintering ceramics, which was resulted from the inherently OH^- ions and protons formed during the hydrothermal process [66].

Solvothermal Process

Solvothermal synthesis is normally carried out in a nonaqueous solution (e.g., NH_3 , methanol, ethanol, and n-propanol), which has the following advantages in comparison with the hydrothermal process [67]: (i) the reaction occurs under mild conditions and gives cubic-phase perovskite powder; and (ii) the powders with particle size on the nanometer scale, exhibiting low agglomeration and a narrow particle-size distribution, due to the differences between the solvents. Up to date, several attempts have been made to synthesize superfine BT nanopowder by solvothermal synthesis [67–69]. For example, using benzyl alcohol as solvent, BT and BZ nanoparticles were synthesized by solvothermal process at relatively low

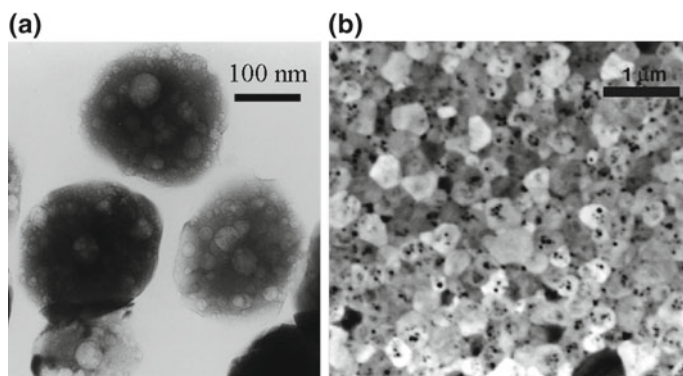


Fig. 4 **a** TEM image of the intragranular pores in the hydrothermal BaTiO_3 powders, and **b** dielectric X7R ceramics sintered at 1320 °C with many intragranular pores in the grains. Reproduced with permission from [66], C. Pithan, D. Hennings, R. Waser. Progress in the synthesis of nanocrystalline BaTiO_3 powders for MLCC. *Int. J. Appl. Ceram. Technol.* 2 (2005) 1–14 Copyright © 2005, American Ceramic Society

temperatures of 200–220 °C [70]. TEM image of the synthesized BT nanoparticles is shown in Fig. 5a, and an inset in which is their selected area electron diffraction (SAED) pattern, indicating the formation of cubic and tetragonal modifications of the BT perovskite structure. HRTEM images of two isolated particles with orientations in the [110] and [111] directions are shown in Fig. 5b and c, respectively. Figure 5d is a fast Fourier transform (FFT) pattern (equivalent to experimental electron diffraction pattern of the local region) obtained from the HRTEM image is shown in Fig. 5c, which confirms the well crystallization of the particles without defects. By using alcohol-based solvents such as ethanol, methanol, and n-propanol, nanosized ($\sim 20\text{--}60$ nm) cubic-phase BT powders were obtained [67]. However, the tetragonal BT nanopowders with sizes of 50–100 nm were synthesized by using EtOH as a solvent [68]. It was found that the particle size was dependent upon the feedstock concentration (e.g., the precursor concentration). With decreasing the particle size from 89 to 58 nm, the amount of the tetragonal phase in the powder was decreased from 85 to 57%, and the cell parameter ratio (c/a) also decreased from 1.0080 to 1.0071.

Microwave-Hydrothermal Process

The microwave-hydrothermal (M-H) process is a modified hydrothermal process, in which microwave is introduced to enhance the crystallization kinetics of hydrothermal process. In 1992, Komarneni et al. [69] performed the pioneering

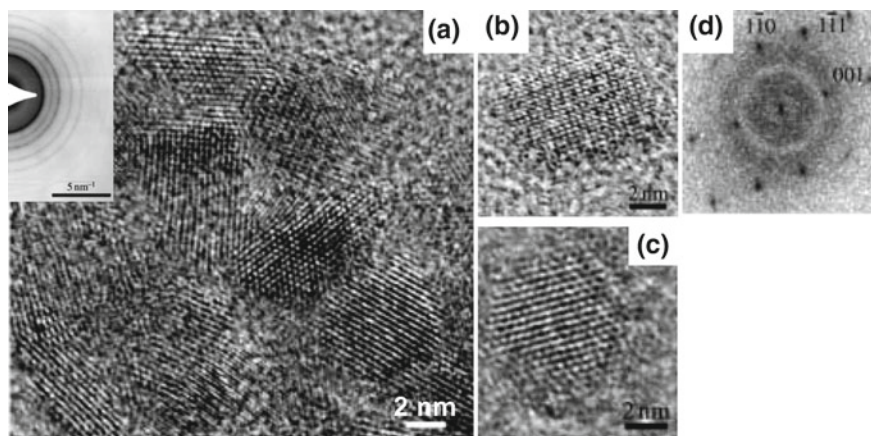


Fig. 5 a HRTEM image of an assembly of BaTiO₃ nanoparticle. The inset is the selected area electron diffraction (SAED) pattern. c, d HRTEM images of two isolated particles, and e the fast Fourier transform pattern obtained from the HRTEM image shown in (c). Reproduced with permission from [70], M. Niederberger, N. Pinna, J. Polleux, M. Antonietti. A general soft-chemistry route to perovskites and related materials: synthesis of BaTiO₃, BaZrO₃, and LiNbO₃ nanoparticles. *Angew Chem. Int. Ed.* 43(17) (2004) 2270–2273 Copyright Wiley-VCH Verlag GmbH & Co. KGaA

works on the microwave-hydrothermal synthesis of inorganic materials in liquid phase, and later they investigated the differences between the conventional hydrothermal syntheses (performed with conventional means of heating) and the M-H syntheses (performed under heating special autoclaves with microwaves). It is found that this process has some distinct advantages such as rapid internal heating, cost savings, as compared with the conventional hydrothermal synthesis. Up to date, the M-H process is widely used to rapidly synthesize numerous perovskite oxide nanopowders such as BT [71, 72], BST [73], and BFO [74, 75]. Microwave heating provides a new route for faster synthesizing novel inorganic nanomaterials, especially in the field of the perovskite oxide nanopowders, and it also allows to utilize more environmentally friendly solvents, yielding cleaner and purer products.

3.5 Chemical or Physical Vapor Deposition

Besides wet chemical solution methods, perovskite oxide nanopowders are also prepared by vapor deposition methods, in which the initial step is the preparation of gaseous precursor molecules by using suitable physical or chemical methods in aerosol reactors [76, 77]. Next, the precursor molecules react to each other in the vapor phase to produce tiny nuclei of the desired phase (namely gas-to-particle conversion). Subsequently, the primary particles become coalescence via collision, forming agglomerates linked together by van der Waals force [78]. The mechanism involved in the gas phase condensation process allows one to link the properties of gaseous precursors to the nanoparticles formed. Among the different physical vapor deposition processes such as thermal evaporation, magnetic sputtering, and laser ablation the main difference is their interaction process used to generate a vapor and/or plasma by the removal of the target material. The type, density, energy, and excitation of atoms, molecules, clusters, and micro- and macro-particles within the generated vapor (plasma) lead to different microstructures of nanocrystalline particles and thin films. The important parameters involved in the processing are time, temperature, and the amount of particles produced per unit volume. The decisive characteristics of the prepared nanoparticles include the size distribution of the primary particles, the grain boundaries, the pore sizes, the defect concentrations, and crystallinity. Recently, monodisperse PZT nanoparticles (4–20 nm in diameter) are prepared by gas phase deposition [79]. This process involves several steps, including the production of amorphous and irregularly shaped PZT nanoparticles; crystallization of the particles by an online thermal treatment; screening the crystallized particles by a low-pressure differential mobility analyzer to yield monodisperse, highly pure, and single-crystalline PZT nanoparticles. Monodisperse perovskite oxide nanoparticles produced by this method not only provide good opportunity for investigating the size effects in ferroelectric oxide nanoparticles but also are useful for the fabrication of ferroelectric nanodevices.

4 Preparation Methods of 1D Perovskite-Type Oxide Nanostructures

In the past decade, many 1D perovskite oxide nanostructures have been prepared. The most used techniques are “bottom-up” routes (such as template-based synthesis, hydrothermal synthesis, molten salt synthesis, electrospinning, solution-based chemical decomposition), and “top-down” approaches (such as focus ion beam (FIB) milling, nanoimprint lithography (NIL) techniques). Basically, the prepared methods for 1D perovskite nanostructures can be divided into two categories. One is template-free synthesis, and the other one is template-assisted synthesis. In this subsequent section, the recent progress in the fabrications of 1D perovskite oxide nanostructures is summarized.

4.1 *Template-Free Synthesis*

Up to date, several template-free methods such as hydro/solvothermal synthesis, molten salt method, electrospinning process have been used to synthesize 1D perovskite-type oxide nanostructures (e.g., nanowires, nanotubes). For example, Joshi et al. [80] reported the synthesis of single-crystalline perovskite BT and ST nanowires by solution-based template-free method. Single-crystalline BT [81], PT [82, 83], and PZT [84] nanowires were also synthesized by template-free hydrothermal method. Since no organic templates are used in this process, there is no need to remove the templates after synthesis of perovskite oxide nanowires. Single-crystalline BT, ST, and PT nanowires are also synthesized by molten salt method [85–87]. The key factors for nanowire formation by molten salt method are well controlling the surface and interface energies of precursors and the selected molten salts. Monocrystalline BT nanowires are also synthesized by a modified hydrothermal process with alkali metal titanates as synthetic precursors [88]. Tetragonal PT single-crystalline nanowires with necklace-like morphology are also synthesized by electrospinning method [89]. Their lengths are in the range of tens to several tens of micrometers, and the diameters vary from 100 to 200 nm. Similarly, tetragonal PZT nanofibers are synthesized by a sol–gel electrophoresis, which is used for constructing nanogenerators for mechanical energy harvesting [90, 91]. Multiferroic BFO nanowires were also synthesized by template-free hydrothermal method [92]. The diameter of an individual BFO nanowire was in the range of 45–200 nm and the length varied from hundreds of nanometers to several microns. Besides the perovskite ferroelectric oxide nanowires, single-crystalline perovskite manganite nanowires such as $\text{La}_{0.5}\text{Ca}_{0.5}\text{MnO}_3$ nanowires with an orthorhombic perovskite structure were also synthesized by a hydrothermal method [93]. They grew along [100] and had uniform diameter (~ 80 nm), and the lengths varied from several to several tens of micrometers. Similarly, single-crystalline $\text{La}_{0.5}\text{Sr}_{0.5}\text{MnO}_3$, $\text{La}_{0.5}\text{Ba}_{0.5}\text{MnO}_3$, and $\text{Pr}_{0.5}\text{Ca}_{0.5}\text{MnO}_3$ nanowires with a cubic perovskite structure

were synthesized by hydrothermal method [94–96]. In the $\text{Pr}_{0.5}\text{Ca}_{0.5}\text{MnO}_3$ nanowires the charge ordering transition was suppressed and a ferromagnetic phase was observed, whereas the antiferromagnetic transition disappeared.

Besides the above “bottom-up” approaches based on chemical routes, the “top-down” approaches such as FIB milling process is also used to fabricate 1D perovskite ferroelectric nanostructures such BT nanocolumns cut from BT single crystal [97, 98]. This process offers the advantage of user-defined morphological control of the produced nanostructures exception time-consuming and low-throughput characters.

Perovskite oxide nanotubes (PONTs) are also synthesized by using template-free method such as hydrothermal synthesis. For example, BT [99] and BST [100] nanotube arrays were fabricated on titanium substrates by hydrothermal method. Isolated crystalline BT and ST PONTs were also prepared by hydrothermal process performed at low temperature, where TiO_2 nanotubes were used as a bona fide precursor materials [101].

4.2 Template-Assisted Methods

The template-assisted method is a high efficient method for mass production of regular nanostructured arrays. To date, the popular templates are colloidal monolayers, anodic aluminum oxide (AAO), block copolymers (BCPs), and nanoimprint molds [102–105]. Through template-assisted methods, 1D perovskite oxide nanostructures (e.g., nanowires, nanotubes, nanorings, nanobelts) have been prepared, which offer the following advantages: (a) high-density regular nanostructured arrays; (2) large surface-to-volume ratio; and (3) dimension sizes of the final products with well control by the channels of the template. Among the commonly used template-assisted methods, the sol–gel template method is a popular one, which is widely used to fabricate highly ordered perovskite oxide nanostructures such as BT [106–108] nanowires, PT [109–111] nanotubes, and PZT [112–114] nanowires. In addition, perovskite manganite nanowires such as $\text{La}_{1-x}\text{Ca}_x\text{MnO}_3$ (LCMO, $x = 0.20$) nanowires with nearly uniform diameter of about 30 nm, and ordered array of $\text{La}_{0.67}\text{Sr}_{0.33}\text{MnO}_3$ nanowires with diameter of 60–70 nm and length up to tens of microns were also prepared using a simple sol–gel process combined with AAO as template [115]. Following the success of this method, perovskite oxide $\text{La}_{0.825}\text{Sr}_{0.175}\text{MnO}_3$ nanowires were also synthesized, which exhibited polycrystalline perovskite structure [116].

Besides perovskite oxide nanowires, perovskite oxide nanotubes are also fabricated by using a sol–gel template-based method. Hernandez et al. [117] reported the pioneering works on the synthesis of perovskite BT and PT nanotubes by using AAO templates involving the so–gel technique. By the same method, PZT nanotubes [117–122] and multiferroic BFO nanotubes [123–127] have been synthesized. Although the sizes and shapes of the nanotubes are easily controlled by the used templates, the nanotubes synthesized by template-based methods in general

exhibit polycrystalline structure, which is ascribed to the heterogeneous nucleation on the pore walls; few single-crystalline perovskite oxide nanotubes are synthesized by this method. Anti-ferroelectric PZ PONTs were synthesized by pulse laser deposition within AAO templates [128], which were composed of nanoparticles with sizes of 3–7 nm and the wall thickness was about 10 nm. Sol–gel electrodeposition process was also used to fabricate PZT nanotube arrays, where the channels of the AAO template were filled by prepared PZT sol driven by DC electrophoretic voltages [129]. Thus, the filling effect was greatly increased. Besides the negative templates, positive templates such as silicon and ZnO nanowires are also used to fabricate ferroelectric PZT nanotubes and metal-ferroelectric-metal composite nanotubes (Pt/PZT/Pt) by magnetron sputtering and/or pulsed laser deposition [130].

Perovskite manganite nanotube arrays (e.g., highly ordered $\text{La}_{2/3}\text{Ca}_{1/3}\text{MnO}_3$ nanotubes) were also reported, which are prepared by combining AAO template-assisted synthesis with microwave irradiation [131]. This method provides a convenient route to fabricate nanotube arrays at relative low temperatures. By using porous polycarbonate templates rare-earth manganese oxide nanotubes with nominal composition of $\text{La}_{0.325}\text{Pr}_{0.30}\text{Ca}_{0.375}\text{MnO}_3$ are also synthesized by pore wetting with the liquid precursor and then followed by microwave irradiation and further calcination at 800 °C [132]. Perovskite $\text{La}_{0.59}\text{Ca}_{0.41}\text{CoO}_3$ nanotubes are also prepared by a sol–gel template method [133]. By using a template-inorganic precursor under relatively low-temperature calcination perovskite LaNiO_3 nanotubes were also synthesized [134]. It was found that the LaNiO_3 nanotubes exhibited a polycrystalline structure, which were composed of very small crystals with size about 3–5 nm.

Ferroelectric PZT nanorings [135] and their periodic arrays [136] are also fabricated. Their microstructures are well characterized. Figure 6 shows the morphology of the resulting perovskite oxide PZT nanorings in bright-field TEM (Fig. 6a) and dark-field STEM contrast (Fig. 6b and c). The dimensions of the PZT nanorings are dependent on the channel size of the AAO template, but the narrowest of pores made are able to produce rings of the form illustrated in Fig. 6c. The perovskite crystallography nature of the PZT nanoring was confirmed by its SAED shown in Fig. 7a, and also revealed by direct imaging of lattice fringes Fig. 7b. The morphology of periodic arrays of PZT nanorings is revealed by SEM image (Fig. 8a), and the cross-sectional TEM image (Fig. 8b) demonstrates that the height of the PZT nanorings was in the order of ~ 10 nm [136].

Ordered BiFeO_3 nanorings are also successfully fabricated by combining AAO template-assisted PLD with ion beam etching techniques [137]. Their morphologies were revealed by AFM and TEM images (Fig. 9). Recently, Han et al. reported wafer-scale arrays of well-ordered $\text{Pb}(\text{Zr}_{0.2}\text{Ti}_{0.8})\text{O}_3$ (PZT) nanodiscs and nanorings fabricated by laser interference lithography (LIL) process companied with PLD method on SrTiO_3 single-crystal substrate with SrRuO_3 as bottom electrode [138]. Figure 10 shows SEM images of PZT nanodiscs and nanorings, which were obtained by post-annealing the amorphous PZT nanodiscs at a 650 °C and 700 °C, respectively. The epitaxial growth of PZT nanostructures on the $\text{SrRuO}_3(001)$

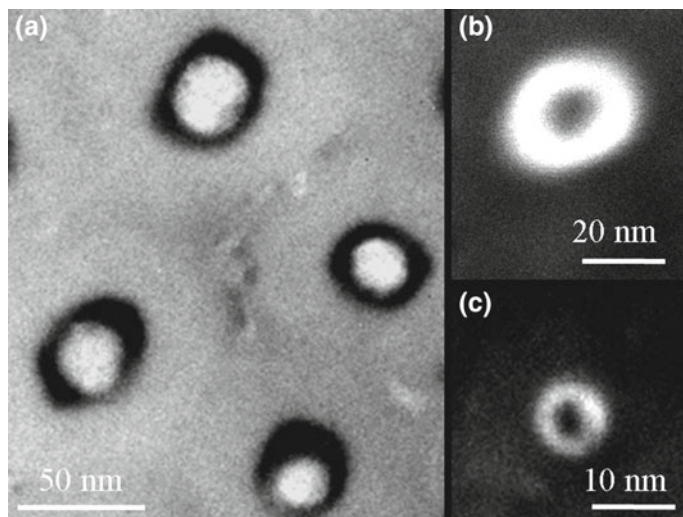


Fig. 6 **a** Bright-field cross-sectional TEM image of the PZT nanorings. **b, c** Dark-field STEM images of the nanorings with different outer diameters. Reproduced with permission from [135], X. H. Zhu, P. R. Evans, D. Byrne, A. Schilling, C. Douglas, R. J. Pollard, R. M. Bowman, J. M. Gregg, F. D. Morrison, J. F. Scott. Perovskite lead zirconium titanate nanorings: towards nanoscale ferroelectric “Solenoids”? *Appl. Phys. Lett.* 89 (2006) 122913(1–3) Copyright © 2006, American Institute of Physics

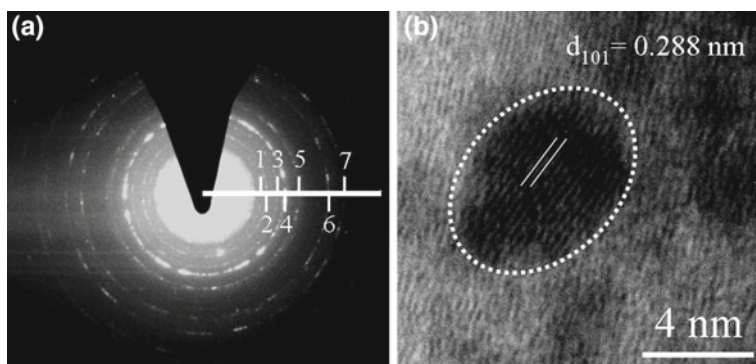


Fig. 7 **a** SAED pattern taken from the conformally coated PZT films. The first seven diffraction rings marked in the figure can be indexed as 001, 100, 101, 110, 111, 102, and 112, respectively of the perovskite form of PZT. **b** Lattice fringes of an elliptical PZT grain with size of ~ 5 nm in width and ~ 8 nm in length. The lattice spacing is characteristic of d_{101} perovskite PZT. Reproduced with permission from [135], X. H. Zhu, P. R. Evans, D. Byrne, A. Schilling, C. Douglas, R. J. Pollard, R. M. Bowman, J. M. Gregg, F. D. Morrison, J. F. Scott. Perovskite lead zirconium titanate nanorings: towards nanoscale ferroelectric “Solenoids”? *Appl. Phys. Lett.* 89 (2006) 122913(1–3). Copyright © 2006, American Institute of Physics

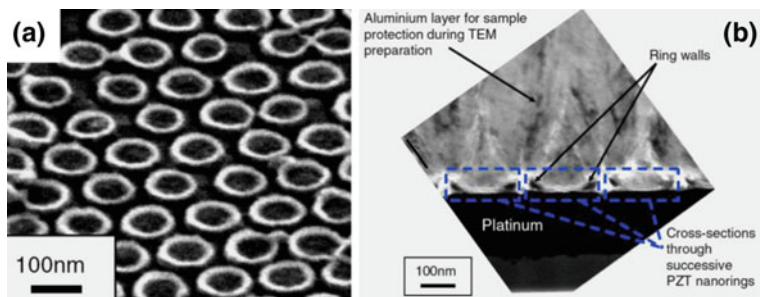


Fig. 8 **a** SEM image of the resultant PZT nanoring array (sample inclined to give some three-dimensional information) produced using nanospheres with a diameter of ~ 100 nm and wall thickness of ~ 10 nm. **b** Cross-sectional TEM image of the morphology and dimensions of the PZT nanorings. Reproduced with permission from [136], D. Byrne, A. Schilling, J. F. Scott, J. M. Gregg. Ordered arrays of lead zirconium titanate nanorings. *Nanotechnology* 19 (2008) 165608(1–5) Copyright © 2008, IOP Publishing Ltd.

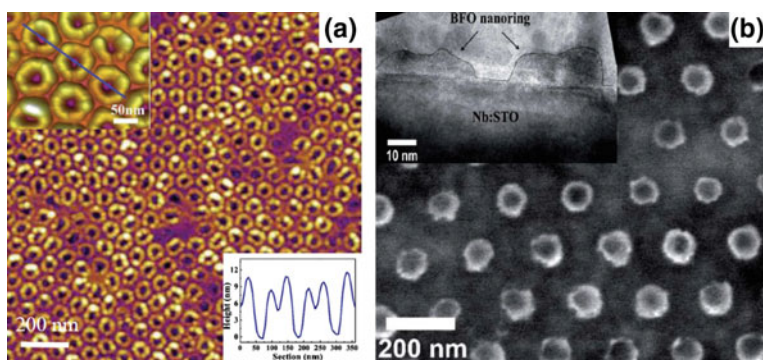


Fig. 9 **a** AFM topography and **b** planar TEM images of a large-scale BFO nanoring array. Two insets in **(a)** are the three-dimensional AFM image of the isolated BFO nanorings and the corresponding cross section line profile along the blue line. Inset in **(b)** is a cross-sectional TEM image of a single BFO nanoring. Reproduced with permission from [137], G. Tian, D. Chen, J. Yao, Q. Luo, Z. Fan, M. Zeng, Z. Zhang, J. Dai, X. Gao, J. Liu. BiFeO₃ nanorings synthesized via AAO template-assisted pulsed laser deposition and ion beam etching. *RSC Adv.* 7 (2017) 41210–41216 Copyright © 2017, The Royal Society of Chemistry

bottom electrode layer covering the (001)-oriented single-crystal substrate was revealed XRD and TEM images. PFM measurements demonstrate that the ferroelectricity is well retained in the PZT nanodiscs and nanorings.

NaNbO₃ nanobelt arrays with tunable aspect ratios are fabricated via a precursor-template route [139]. SEM images of Na₇(H₃O)Nb₆O₁₉·14H₂O nanobelt are shown in Fig. 11a. The precursor was post-annealed in air at 500–550 °C for 1–4 h to yield single-crystalline monoclinic NaNbO₃ nanobelt arrays, as shown in Fig. 11b.

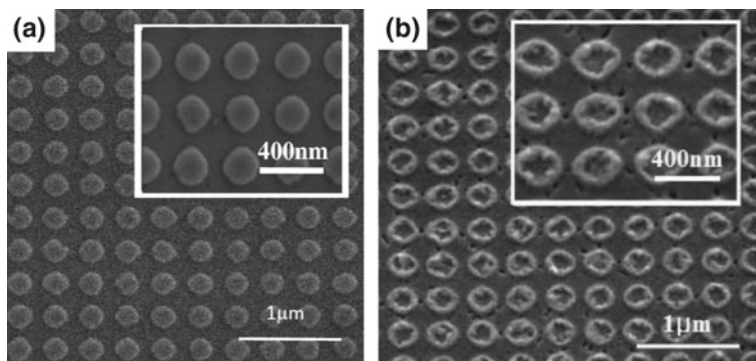


Fig. 10 SEM images of $\text{Pb}(\text{Zr}_{0.2}\text{Ti}_{0.8})\text{O}_3$ **a** nanodiscs and **b** nanorings, which were formed by post-annealing the amorphous PZT nanodiscs at 650 °C for 1 h and 700 °C for 1 h, respectively. Reproduced with permission from [138], H. Han, R. Ji, Y. J. Park, S. K. Lee, G. L. Rhun, M. Alexe, K. Nielsch, D. Hesse, U. Gösele, S. Baik. Wafer-scale arrays of epitaxial ferroelectric nanodiscs and nanorings. *Nanotechnology* 20 (2009) 015301 (1–6) Copyright © 2009, IOP Publishing Ltd.

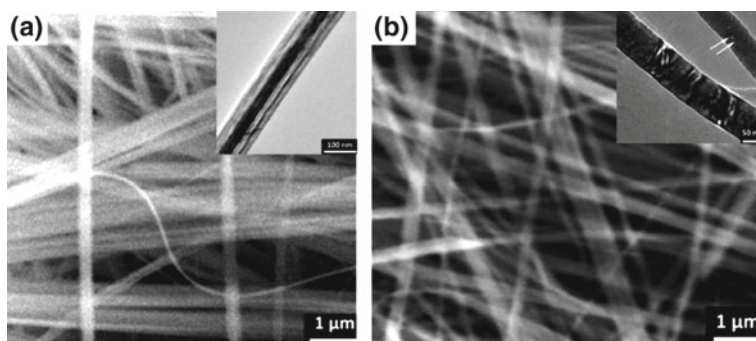


Fig. 11 SEM images of **a** $\text{Na}_7(\text{H}_3\text{O})\text{Nb}_6\text{O}_{19}\cdot 14\text{H}_2\text{O}$ nanobelts, and **b** NaNbO_3 nanobelts which are derived from the atomic rearrangements in the crystal structure of $\text{Na}_7(\text{H}_3\text{O})\text{Nb}_6\text{O}_{19}\cdot 14\text{H}_2\text{O}$ during post-annealing high temperature. Inset in **(a)** shows a TEM image of a single $\text{Na}_7(\text{H}_3\text{O})\text{Nb}_6\text{O}_{19}\cdot 14\text{H}_2\text{O}$ nanobelt with uniform width and some contrasty stripes along the nanobelt growth direction. Inset in **(b)** displays a TEM image of a single NaNbO_3 nanobelt, where some pits formed by thermal defects in original sublattices expanding to the surface during the high-temperature post-annealing are observed as marked by arrowheads in the inset. Reproduced with permission from [139], J. Wu, D. Xue. In situ Precursor-template route to semi-ordered NaNbO_3 nanobelt arrays. *Nanoscale Res. Lett.* 6 (2010) 14 (1–8) Copyright © 2010, Springer Open

5 Preparation Methods of 2D Perovskite-Type Oxide Nanostructures

Perovskite oxide 2D nanostructures include perovskite oxide thin films, nanodot arrays, lamellae patterns, nanosheets, nanoplates, nanowalls, which have important applications in the modern microelectronics. Therefore, in the past few years, several methods have been developed to synthesize perovskite oxide 2D nanostructures [140–142]. In this section, we introduce the fabrications of perovskite oxide thin films or multilayers, 2D perovskite ferroelectric oxide nanostructures based on planar structures, and perovskite oxide nanosheets.

5.1 Perovskite Oxide Thin Films or Multilayers

The growths of perovskite oxide thin films or multilayers are the process of converting the starting materials into the forms of films or multilayers on a substrate from their atoms, molecules, or ions in a gaseous state. The widely used methods for growth perovskite oxide thin films or multilayers include physical vapor deposition (PVD) methods such as PLD, RF magnetron sputtering, and chemical methods such as chemical solution deposition (CSD), chemical vapor deposition (CVD) and metalorganic chemical vapor deposition (MOCVD), and molecular beam epitaxy (MBE). Here, we shortly introduce widely used techniques such as PLD, CSD, CVD and MOCVD, and MBE.

5.2 Pulsed Laser Deposition (PLD)

In 1965 Smith and Turner first used the PLD technique to grow dielectric thin films [143], now the PLD technique becomes a popular method for thin film growth. The most important feature of the PLD process is the ability to achieve the compositions of the films almost identical with that of the target, even though the target involves a complex stoichiometry. By controlling the PLD process parameters (e.g., the laser influence, wavelength, pulse-duration and repetition rate, target to substrate distance, substrate temperature, chamber pressure) many perovskite oxide thin films or multilayers can be grown. Good reviews on the epitaxial growth of perovskite oxide thin films and superlattices can be found in the literature [140–142].

5.2.1 Chemical Solution Deposition (CSD)

CSD is an effective route for fabricating thin films with the advantages of low-cost, easy set-up, and coating of large areas. The development of which for perovskite

oxide thin films is dated to the mid-1980s [144, 145]. Up to date, many perovskite oxide thin films have been synthesized by CSD method [146–148]. In general, there are four basic steps during the growth of perovskite oxide thin films: (a) precursor solution preparation; (b) spin- or dip-coating the solution on substrate; (c) pyrolysis of the deposited solution at low temperatures; (d) crystallization of the films at high temperatures [147]. The growth rate and the degree of crystallinity of perovskite oxide thin films are dependent upon the temperature of the solution. To obtain the films with the desired thickness, the deposition/pyrolysis/crystallization steps are usually repeated several times. The processing factors such as precursor solution concentration, substrate and (eventual) bottom electrode stack, and annealing temperature play an important role in affecting the film nucleation and growth. Details can be found in good reviews contributed from Schwartz [146], Bassiri-Gharb et al. [147], and Zhang et al. [148].

5.2.2 CVD and MOCVD

CVD is one of the most popular routes to prepare perovskite oxide thin films in a large area with high quality and good performance. The key feature of the CSD process is the prepared materials that are required to have high vapor pressure using as the precursor and the substrate should be heated to a particular temperature to promote the deposition reaction as well as the motion of adatoms [149]. In the CVD process, the film composition and structure are greatly dependent upon the substrate temperature, the precursor delivery ratio, and the vaporizer temperature. In order to deposit successfully the complex multicomponent perovskite oxide thin films with uniform compositions over a large area, the used precursors should have matched thermal properties and reasonable vapor pressures. To better control the film quality some modified CVD technologies based on liquid injection [150] or the use of aerosol formation [151] have been developed. For example, perovskite PZT and lanthanum barium manganite ($\text{La}_{1-x}\text{Ba}_x\text{MnO}_3$) thin films were synthesized by liquid injection CVD method [152], and perovskite thin films of $\text{La}_{1-x}\text{Sr}_x\text{MnO}_3$ were deposited by aerosol and plasma-assisted CVD method [153].

Metal-organic chemical vapor deposition (MOCVD) is used to grow perovskite oxide thin films and superlattices [154]. This technique has some advantages over other physical deposition processes such as good control in film stoichiometry, high crystallization quality, and the ability to coat complex shapes and large areas. To meet the diversity of requirements, different MOCVD variants are developed such as low-pressure MOCVD, atmospheric pressure MOCVD, direct liquid injection MOCVD, and plasma-enhanced MOCVD [155]. In injection MOCVD process, the production of microdroplets of precursor solution is controlled by a high-speed electro-valve, which is injected into the evaporator system. The appropriate growth rates for different deposited materials are adjusted by the frequency as well as the time of the injection. Therefore, the final film stoichiometry can be well adjusted by controlling the respective concentrations of the precursors in the precursor liquid

source. Up to date, injection MOCVD is widely used to grow ferroelectric perovskite oxide thin films such as BST [156], PT [157], PZT [158], and BFO [159], and perovskite oxide superlattices such as (BT/ST)_n and (LSMO/STO)_n [160].

5.2.3 Molecular Beam Epitaxy (MBE)

The growth of perovskite oxide thin films by MBE method can be thought of as atomic spray painting, in which alternately shuttered elemental sources are employed to control the cation stoichiometry precisely, thus producing perovskite oxide thin films with high quality. The key steps for MBE growth of multicomponent oxides are the controlling oxide substrates terminated at well-defined ionic planes and monitoring the deposition of individual molecular/atomic layers, and so on. Reflection high-energy electron diffraction (RHEED) is widely used in MBE for the in situ monitoring the growing surface. Up to date, MBE has been used to grow perovskite oxide thin films and epitaxial heterostructures with exceptional quality [161–163].

5.3 2D Perovskite Oxide Nanostructures Based on Planar Structures

5.3.1 Top-Down Methods

Up to date different “top-down” methods such as electron beam lithography (EBL), nanoimprint lithography (NIL), have been used to fabricate 2D perovskite oxide nanostructures based on planar structures. For example, Alexe et al. performed the pioneering researches on the 2D arrangement of perovskite ferroelectric oxide nanostructures to be used for high-density ferroelectric memories [164]. By using EBL method regular arrays of SrBi₂Ta₂O₉ and PZT nanoisland capacitors with lateral dimensions ~100 nm were successfully fabricated. The BT nanodots were also fabricated by FIB methodology cutting from the BT single crystal, and the domain structures within the BT dots were examined [165].

5.3.2 Bottom-up Methods

Besides the top-down methods, bottom-up methods such as template-assisted synthesis have been used to fabricate 2D perovskite oxide nanostructures based on lateral arrays of nanodots. For example, 2D ordered ferroelectric oxide nanodots of BT, PZT, and SrBi₂Ta₂O₉ with narrow size distributions are fabricated by template-assisted “bottom-up” synthetic approaches such as nanosphere lithography (NSL) [166]. In order to deposit multilayers of materials from the same template

and to increase their crystallization quality of perovskite oxide ferroelectrics, silicon nitride shadow mask, AAO membranes, and gold nanotube membranes have been used as nanostencil masks for the fabrication of 2D arrays of perovskite oxide nanodots. For example, Lee et al. [167] fabricated the PZT nanodot arrays on the Pt/MgO substrates by using ultrathin AAO membranes as a stencil mask and PLD method. The density of ordered arrays of individually addressable Pt/PZT/Pt nanocapacitors can reach 176 Gb/inch^2 , which have promising applications in the ferroelectric memories with ultrahigh density.

5.4 Perovskite Oxide Nanosheets

Perovskite oxide nanosheets are also grown by wet chemical methods. Gao et al. [168] reported the synthesis of 2D single-crystal perovskite ZnSnO_3 nanoplates with the (111) facets as exposed plate surfaces via a one-step hydrothermal reaction. The morphology of as-synthesized perovskite ZnSnO_3 nanoplates is shown in Fig. 12, where the typical SEM and TEM images reveal the as-synthesized hexagonal plate-like ZnSnO_3 samples. The lattice fringes with spacing of 0.26 nm are clearly resolved in HRTEM image of an individual nanoplate, indicating the single-crystalline nature of the ZnSnO_3 nanoplate. The corresponding SAED is shown as inset in Fig. 12d, also confirms the single-crystalline nature of the ZnSnO_3 nanoplate. Rectangular perovskite $\text{La}_2\text{Ti}_2\text{O}_7$ platelets are also grown by molten salt method [169], where the $\text{Na}_2\text{SO}_4\text{-K}_2\text{SO}_4$ flux was used as molten salt and analytical grade TiO_2 and La_2O_3 oxides were used as the starting materials. While the syntheses of perovskite oxide 2D nanostructures are still at the embryonic stage, the applications of perovskite oxide 2D nanostructures in oxide microelectronic devices are full of great challenges. Much work remains to be done in this direction.

6 Preparation Methods of 3D Perovskite-Type Oxide Nanostructures

Generally, 3D nanostructures can be fabricated via “bottom-up” and “top-down” approaches. The “bottom-up” approaches to the fabrication of nanostructures start at the atomic or molecular level, and solution-based routes (e.g., sol-gel-based CSD, templating, and hydro/solvothermal synthesis) are the most commonly employed in the this approaches for fabricating 3D perovskite-type oxide nanostructures (i.e., vertically aligned nanowires, rods or tubes). The “top-down” approaches (e.g., FIB milling and some lithographical methods such as NIL) to fabrication of nanostructures include carving away the bulk ferroelectric material and creating coherently and continuously ordered nanosized structures by FIB technique [170]. For example, by FIB method Rémiens et al. created PZT

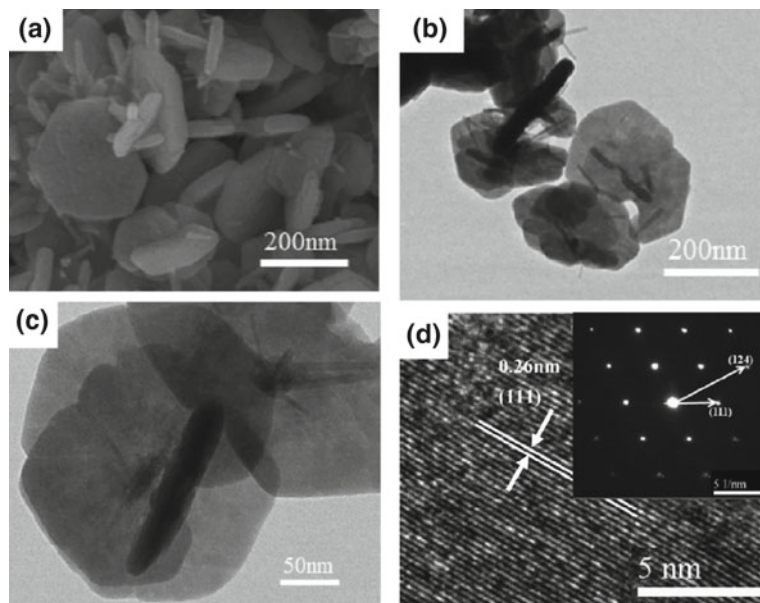


Fig. 12 Typical **a** SEM, **(b, c)** TEM, and **d** HRTEM images and SAED pattern (inset) of ZnSnO_3 nanoplates synthesized at 260°C via a one-step hydrothermal reaction. Reproduced with permission from [168], R. Guo, Y. Guo, H. Duan, H. Li, H. Liu. Synthesis of orthorhombic perovskite-type ZnSnO_3 single-crystal nanoplates and their application in energy harvesting. ACS Appl. Mater. Interfaces 9(9) (2017) 8271–8279 Copyright © 2017, American Chemical Society

nanoislands (with diameter of 50 nm), which were milled from an amorphous film layer and subsequently converted into crystalline PZT thin film by high-temperature annealing [171]. The advantages of “top-down” approaches for fabricating 3D perovskite oxide nanostructures via FIB milling are the precision positioning and well controlling in the shapes and sizes of the fabricated nanostructures. However, the FIB milling technique has significant drawbacks such as slow milling and patterning speeds, especially for fabricating larger structures, and it is not suitable for volume patterning nanostructures. In addition, problems are also arisen at the nanoscale due to the induced damages by the incident ions at the surfaces of the samples. Considering that the properties of 3D perovskite oxide nanostructures are much sensitive to their structures and ordered alignments, the fabrication of a large-scale nanostructure arrays with well control in the morphology and structure becomes much important [104]. To achieve the above goal, several techniques including photolithography, electron beam lithography, and scanning probe lithography have been used [172, 173]. Recently, PLD in combination with the AAO template has also been used to prepare 3D perovskite ferroelectric nanostructures. Ferroelectric PZT nanocapacitor arrays with Pt top electrodes were fabricated with ultrahigh density of $\sim\text{Tb}/\text{inch}^2$ density [170]. Besides the AAO template-assisted fabrication, other methods such as 3D nanotemplate PLD have

also been used to prepare 3D perovskite oxide nanostructures. In this method, an inclined substrate deposition onto the side surfaces of a 3D nanopatterned substrate is used as a 3D nanotemplate, which is schematically shown in Fig. 13, and details can be found in reference [174]. As one example, crystalline $(\text{La}_{0.275}\text{Pr}_{0.35}\text{Ca}_{0.375})\text{MnO}_3$ (LPCMO) nanobox were grown on a $\text{MgO}(001)$ substrate using 3D nanotemplate [16]. Figure 14 illustrates the fabrication procedure of the LPCMO nanobox, their typical SEM image, and the relationship between the wall-width of the LPCMO nanobox and the deposited time. It is found that the wall-width of the LPCMO nanoboxes can be controlled in the range of 30–160 nm by changing the deposition time. These LPCMO nanoboxes exhibit an insulator-metal transition at

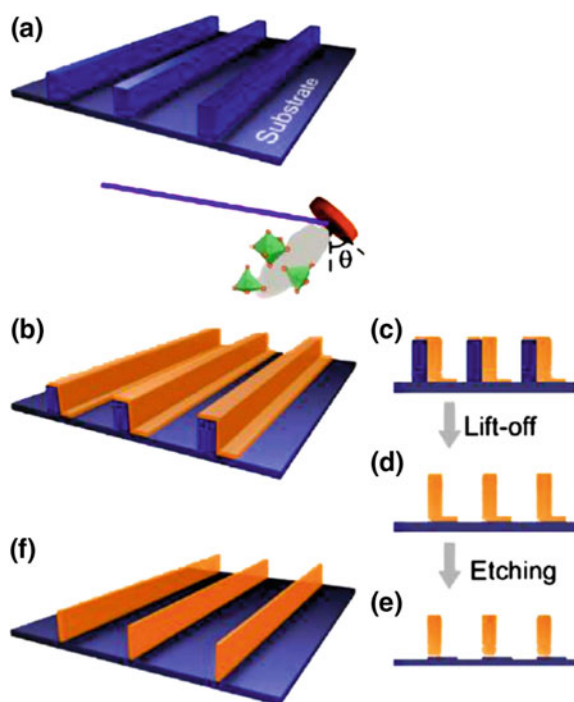
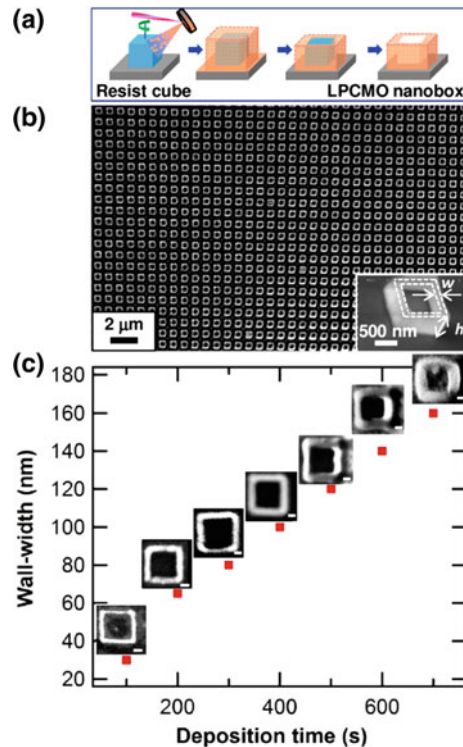


Fig. 13 Schematic flowchart of the 3D nanotemplate PLD method for perovskite oxide nanostructure fabrication. **a** First, template wall structures are patterned onto substrate by NIL using an organic resist (blue region). **b** Functional perovskite oxides (orange region) is then deposited onto the side surface of the template patterns by PLD. **c** Cross-sectional image of **(b)**. Cross-sectional images for nanowall-wire structure after **d** liftoff and **e** etching. **f** Finally, self-standing perovskite oxide nanowall-wire arrays are obtained. Reproduced with permission from [174], T. Kushizaki, K. Fujiwara, A. N. Hattori, T. Kanki, H. Tanaka. Controlled fabrication of artificial ferromagnetic $(\text{Fe,Mn})_3\text{O}_4$ nanowall-wires by a three-dimensional nanotemplate pulsed laser deposition method. *Nanotechnology* 23(48) (2012) 485308 (1–5) Copyright © 2012, IOP Publishing Ltd.

Fig. 14 **a** Schematic image for the fabrication procedure of the $(\text{La}_{0.275}\text{Pr}_{0.35}\text{Ca}_{0.375})\text{MnO}_3$ nanoboxes. **b** Typical SEM image of $(\text{La}_{0.275}\text{Pr}_{0.35}\text{Ca}_{0.375})\text{MnO}_3$ nanoboxes with 100 nm wall-width and 400 nm height. **c** The relationship between the wall-width of the $(\text{La}_{0.275}\text{Pr}_{0.35}\text{Ca}_{0.375})\text{MnO}_3$ nanoboxes and the deposition time. Reproduced with permission from [16], T. V. A. Nguyen, A. N. Hattori, Y. Fujiwara, S. Ueda, H. Tanaka. Colossal magnetoresistive $(\text{La,Pr,Ca})\text{MnO}_3$ nanobox array structures constructed by the three-dimensional nanotemplate pulsed laser deposition technique. *Appl. Phys. Lett.* 103(22) (2013) 223105 (1–4) Copyright © 2013, American Institute of Physics



higher temperature than that in the corresponding film, which has promising applications in the fields of oxide spintronics. 3D nanotemplate PLD technique provides a novel route to fabricate 3D perovskite oxide nanostructures.

7 Conclusions and Outlook

This chapter reviewed the state of art in preparation methods of perovskite-type oxide materials, with a wide range scope from bulk perovskite oxide ceramics to perovskite oxide nanopowders, and to perovskite 1D, 2D, and 3D oxide nanostructures. Conventional solid-state reaction is usually used to synthesize perovskite oxide ceramics. However, their resulting microstructures exhibit poor chemical homogeneity, serious particle agglomeration, and coarse large grains. Advances toward nanoscale oxide electronics have stimulated interests in the fields of perovskite oxide nanopowders. Therefore, the synthesis methods of perovskite oxide nanopowders with controlled particle size, morphology, and stoichiometry are highly required to be developed. Over the past decades, various synthesized methods using solid, liquid, or gas phase precursors have been developed to

synthesize high purity, ultra-fine, and agglomerate-free perovskite oxide nanopowders. 1D perovskite oxide nanostructures such as perovskite oxide nanowires and nanotubes are important structural units for constructing nanoscale electronic devices, and their novel properties open up new avenues of applications in the fields of electronics, energy harvesting, data storage. Both top-down-based direct lithography techniques and chemical bottom-up-based methods based on a solution or a dispersion (i.e., deposition in AAO templates, electrospinning, hydrothermal, and molten salt method) have been developed to synthesize 1D perovskite oxide nanostructures. Similarly, these methods are also used to fabricate 2D perovskite oxide nanostructures, which are now considered to be excellent candidates for future oxide electronic applications. Recently, 3D nanotemplate PLD technique has been developed to fabricate 3D perovskite oxide nanostructures (e.g., perovskite $(\text{La}_{0.275}\text{Pr}_{0.35}\text{Ca}_{0.375})\text{MnO}_3$ nanobox arrays) with controllable size and morphology. This technique makes use of epitaxial thin film deposition technique and nanolithography together, which can be used to fabricate nanoboxes with tunable wall-widths or the diameters, and their adjacent distance is reduced down to 10 nm, realizing the formation of 3D perovskite oxide nanostructures beyond the resolution limitations of top-down methods. 3D perovskite-type oxide nanostructures such as $(\text{La}_{0.275}\text{Pr}_{0.35}\text{Ca}_{0.375})\text{MnO}_3$ nanobox array structures fabricated by 3D nanotemplate PLD technique, exhibit an insulator-metal transition at higher temperature than that in the corresponding thin film, which provide a way to tune the physical properties of CMR oxide nanostructures, and find a promising application in oxide nanoelectronics taking the advantage of the huge electronic/spintronic phase transition. At present the growth techniques of perovskite oxide thin films used for perovskite oxide nanoelectronic devices are still in their infancy, and accurate compositional and structural controls at the atomic layer level are highly required. Recently, new techniques such as laser-MBE and reactive molecular beam epitaxy are developed, which permit to grow perovskite oxide thin films with thickness down to a monolayer limit [175]. Therefore, such 2D perovskite ultrathin films are as useful as graphene in exploring unconventional 2D correlated quantum phases and find promising applications in multifunctional electronic nanodevices.

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Chapter 4

Perovskite Materials in Biomedical Applications



Jue Gong and Tao Xu

1 Introduction

Biomedical technology plays a vital role in modern medical science and is of notable applications in the fields of cloning [1], biosensor [2], tissue engineering [3–6], artificial organ [7, 8], and regenerative medicine [9, 10]. So far, most of these biomedical applications were realized with the aid of complex bio-functional materials (e.g., hydrogel [6], porous silicon nanoparticles [11], 3D graphene scaffolds [12]), whose synthesis typically require advanced manufacturing for tailored control over the materials' morphology, sizes, structures, chemical, and mechanical properties [13–16], thereby rendering the fabrication processes economically and technically challenging. Moreover, majority of such bio-functional materials are applied in vivo [17, 18], where their biocompatibility, biodegradability, and potential toxicological effects have to be rigorously elucidated via long-term research studies under biophysical, biochemical, and clinical settings before commercialization [5, 16], which greatly hinders their practicality. Therefore, it is imperative to explore novel materials with relatively simpler fabrications for high-performance biomedical applications in vitro, so as to synergistically achieve large-scale accessibility at low costs and small health risks.

In recent years, biomedical applications employing perovskite materials have rapidly advanced due to in-depth understanding of their remarkable thermal, optoelectronic, and magnetic properties, as mentioned in previous chapters. In this chapter, we study current research progress of perovskite-based biomedical applications. Section 2 reviews X-ray detection and imaging achieved by organic–inorganic hybrid perovskites, and Sect. 3 analyzes novel practices of adopting

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magnetic perovskite nanoparticles for fast magneto-temperature control and adsorption of bovine serum albumin. Next, we discuss biocompatibility and cytotoxicity profile of hydroxyapatite–CaTiO₃ composite through introducing ongoing investigations in Sect. 4. At the end, limitations and future research directions of biomedical perovskites will be concluded in Sect. 5.

2 Organic–Inorganic Hybrid Perovskites for X-Ray Detection and Imaging

2.1 CH₃NH₃PbI₃ (MAPbI₃) Photodiode for Sensitive X-Ray Detection

X-ray has been known to possess exceptional penetrating ability in objects since its discovery [19], which greatly facilitates diagnostic and therapeutic treatments through various medical techniques, such as computed tomography (CT) [20], radiography [21], and radiotherapy [22].

Previously, X-ray photodetectors with outstanding sensitivities, responsivities, and detectivities were successfully demonstrated on lead halide perovskites due to promising material merits such as high charge carrier mobility–lifetime products ($\mu\tau$, $\sim 10^{-2}$ to $\sim 10^{-4}$ cm²/V), long carrier diffusion lengths, large material densities, and electron-rich (high atomic number Z) Pb, I, and Br elements that contribute to phenomenal X-ray absorption cross sections [23–29]. Yakunin et al. [25] previously came up with X-ray photodetectors in the form of MAPbI₃-based photovoltaic cell, whose architecture is shown in Fig. 1a. Since photovoltaic cell relies on the built-in electrical potential of p–i–n junction upon photoinduced carrier generation [25], they are essentially photodiodes, in which poly(3,4-ethylenedioxythiophene)–poly(styrenesulfonate) (PEDOT:PSS) and [6]-phenyl C61 butyric acid methyl ester (PCBM), respectively, serve as p-type and n-type charge transport layers [30–35]. Such photodiode showed functional response to simulated one-sun AM1.5 illumination (Fig. 1b), corresponding to a 10.4% power conversion efficiency. Most importantly, when applied toward 50-Hz X-ray photons (with peak energy of 75 keV and average energy of ~ 37 keV) [25], photodiode with MAPbI₃ active layer showed sensitive photocurrents (Fig. 1c, red), as compared with a reference silicon diode/YAG:Ce photodetector (Fig. 1c, blue) [25]. Characteristically, average photocurrent obtained from MAPbI₃ photodiode increased linearly with the dose rate (as measured in mGy s⁻¹ in air) of X-ray illumination (Fig. 1d), where greater thickness of MAPbI₃ layer led to concomitantly higher sensitivity with a maximum of 25 $\mu\text{C mGy}_{\text{air}}^{-1} \text{cm}^{-3}$ at 480 nm (Fig. 1d inset). This is due to more absorption of X-ray photons that have much longer penetration depths than visible light in MAPbI₃ (~ 10 μm at 10⁴ eV, ~ 20 nm at 550 nm) [25, 36, 37], owing to very small

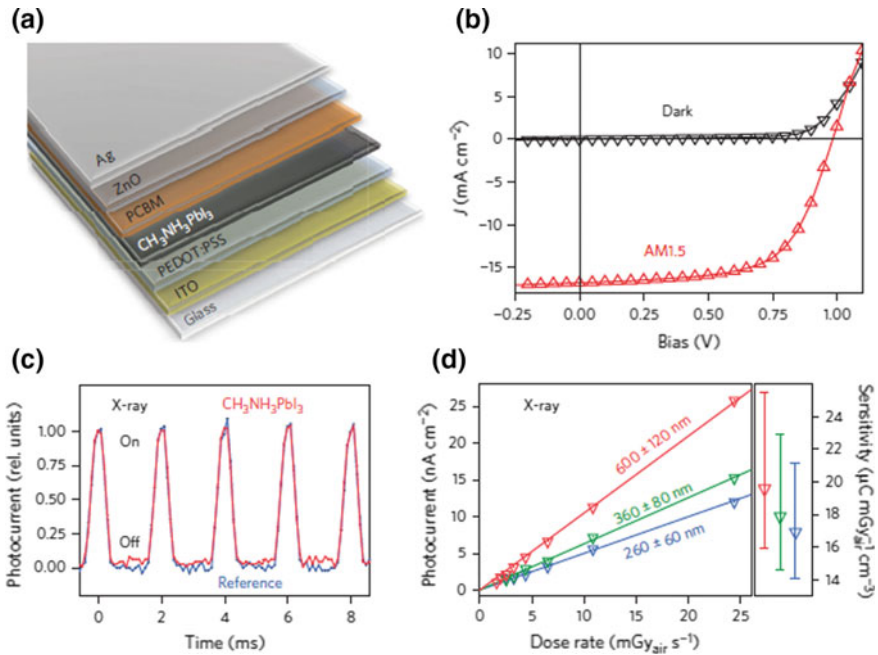


Fig. 1 **a** Device architecture of photovoltaic cell with MAPbI₃ as light-harvesting active layer; **b** Photocurrent–voltage curves of MAPbI₃ based photovoltaic cell under dark scan (black) and one-sun AM1.5 illumination (red); **c** Unbiased photocurrent response of MAPbI₃ photovoltaic cell (red) and silicon/YAG:Ce photodetector (blue) under pulsed X-ray illumination; **d** Photocurrent densities of photovoltaic cells with different thicknesses of MAPbI₃ active layers as a function of X-ray dose rate (left), specific sensitivities of photovoltaic cells with corresponding MAPbI₃ thicknesses (right). **a–d** reprinted from Ref. [25] with permission from Nature Publishing Group. Copyright 2015 Springer Nature

absorption coefficient μ in the range of X-ray energy (10^2 – 10^5 eV) through the following relationship:

$$\mu \propto \rho Z^4 / AE^3 \quad (1)$$

where ρ , Z , A , and E represent density, atomic number, atomic mass, and X-ray photon energy, respectively.

2.2 MAPbI₃ Photoconductor for X-Ray Imaging with High Responsivity

To achieve complete conversion of X-ray photons, it demands further increase in MAPbI₃ thickness to around 100 μm that corresponds to peak X-ray energy of 75 keV [25]. Such motif was realized by means of MAPbI₃-based lateral

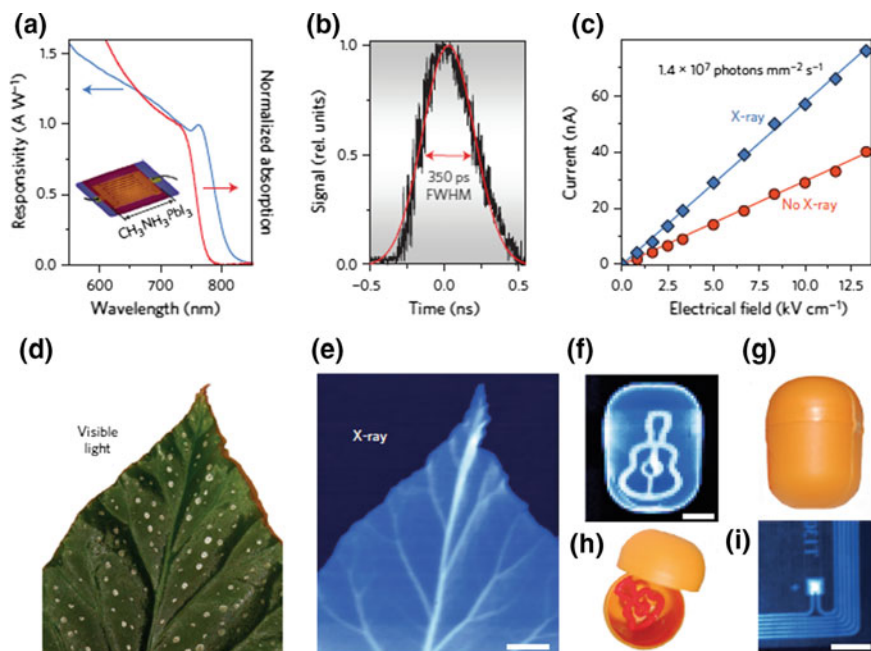


Fig. 2 **a** Responsivity (blue) and optical absorption (red) spectra of MAPbI₃-based lateral photoconductor; **b** Photoluminescence peak with experimental signal (black) and Gaussian fit (red), where a 350-ps full width at half maximum is achieved; **c** Current–voltage curves of photoconductor based on MAPbI₃ with 60- μ m thickness in darkness (orange) and under X-ray illumination (blue); X-ray imaging of a plant leaf where its veins are clearly seen (**d**, **e**) as achieved by the 60- μ m MAPbI₃ photoconductor, a Kinder Surprise egg showing contained gadget (**f**, **g**, **h**), and an electronic key card showing embedded chip and antenna (**i**). Scale bars in **e**, **f**, and **i**: 10 mm. **a**–**i** reprinted from Ref. [25] with permission from Nature Publishing Group. Copyright 2015 Springer Nature

photoconductor (Fig. 2a, inset) that showed excellent responsivity (R , $>1.0 \text{ AW}^{-1}$) at 2- μm MAPbI₃ thickness under visible light ranging from 550 to 750 nm (Fig. 2a, blue), closely matching its intrinsic absorption that edged at around 780 nm (Fig. 2a, red), where R is calculated as [38, 39]

$$R = \eta q G / E \quad (2)$$

in which η , q , G , and E stands for incident photon-to-electron conversion efficiency, electron charge, photoconductive gain, and photon energy, respectively. Moreover, a time-domain photoluminescence peak with full width at half maximum (FWHM) of 350 ps was obtained after 10-ps laser excitation (Fig. 2b) [25] indicating fast optoelectronic response of MAPbI₃-based photoconductor. Specifically, with X-ray

intensity of 1.4×10^7 photons/mm², a photocurrent closed to 60 nA was achieved on a photoconductor with MAPbI₃ thickness of 60 μm and electrode distance of around 100 μm at active area of 0.0057 cm² and 10.0 kV/cm electric field (Fig. 2c), which corresponds to responsivity of 1.9×10^4 charge carriers/photon [25]. Such outstanding responsivity enabled high-resolution X-ray imaging of a plant leaf (Fig. 2d, e), a Kinder Surprise egg (Fig. 2f–h), and an electronic key card (Fig. 2i).

2.3 *CH₃NH₃PbBr₃ (MAPbBr₃) Single Crystal for Low-Dose X-Ray Imaging with High Sensitivity*

One desired property of X-ray imaging is low-dose exposure for patients during medical examinations [26, 40]. Wei et al. [26] previously showed that 2-mm-thick MAPbBr₃ single crystal was able to grow on brominated (3-aminopropyl) triethoxysilane-modified silicon substrate with interfacial –NH₃–Br– atomic connection, which consequently permitted X-ray imaging with ultrahigh sensitivity and thus very low detectable dose. To assemble functional photodetector device upon MAPbBr₃/silane-modified silicon substrate, Wei and coworkers deposited fullerene (C₆₀) and bathocuproine (BCP) layers with respective thicknesses of 20 nm and 8 nm on top of MAPbBr₃ to form electron transport layers, before a 25-nm gold cathode was finally evaporated to finish constructing the device, as shown in Fig. 3a. Noteworthy, the –NH₃–Br– bonded perovskite interfacial layer exhibited a long carrier lifetime of 692 ns (Fig. 3b), thereby signifying that silane molecules did not create outstanding charge quench states that negatively impacted the carrier properties of MAPbBr₃ [26]. When compared with 150-μm-thick MAPbBr₃ photodetector, a 2-mm-thick counterpart notably achieved a formidable sensitivity of 322 μC Gy_{air}⁻¹ cm⁻² at –1 V bias under 50 keV X-ray radiation, thus allowing much lower detectable dose rate of 0.036 μGy_{air}s⁻¹ [26]. Most importantly, by fabricating C₆₀/BCP/gold microstructures (200-μm dimension, 400-μm spacings) that electrically connected with external current amplifier through copper wires on such 2-mm MAPbBr₃ single-crystal device (see schematics and inset photograph of Fig. 3d), a linear pixel detector array was formed.

Unidirectional scan of a N-shaped object made of copper cylinders (Fig. 3d) resulted in high-quality X-ray image under an ultralow dose rate of 247 nGy_{air}s⁻¹ where protruding shapes due to heavily absorbing copper cylinders are clearly viewable, as shown in Fig. 3e. Significantly, the applied small dose rate is equivalent to a dose–area product (DAP) of 2.5 Gy_{air} cm² h⁻¹ for a 20-cm-thick human examination area, and therefore is of more than one order of magnitude lower than current operational X-ray dose [26, 41–43].

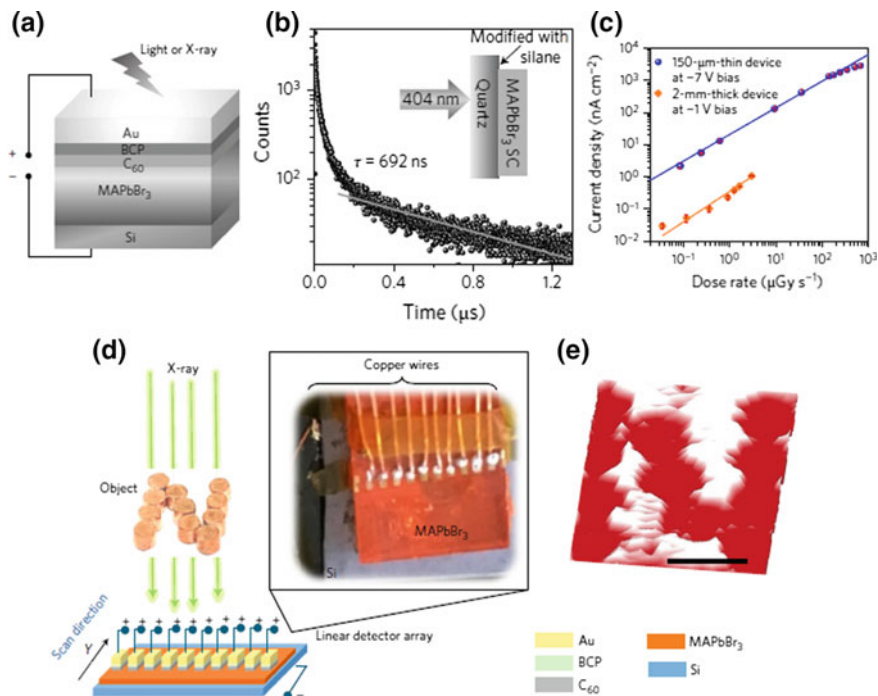


Fig. 3 **a** Device architecture of MAPbBr₃ single-crystal-based X-ray photodetector; **b** Time-resolved photoluminescence decay of $-\text{NH}_3\text{-Br-}$ interfaced MAPbBr₃ layer upon 404-nm laser excitation. Inset: schematic illustration of quartz, MAPbBr₃ single-crystal layers as well as laser direction. **c** Photocurrent density as a function of X-ray dose rate for detectors based on 150- μm (blue) and 2-mm (orange) thickness of MAPbBr₃ single crystal. **d** Schematics of X-ray imaging experiment on a N-shaped object comprised of copper cylinders based on the 2-mm single-crystal detector. Inset: photograph of zoomed-in MAPbBr₃ single crystal with microscale pixels made of C₆₀/BCP/gold stacking. **e** X-ray image of the N-shaped object shown in **d**. Scale bar: 5 mm. **a–e** reproduced from Ref. [26] with permission granted by Nature Publishing Group. Copyright 2017 Springer Nature

2.4 Polycrystalline MAPbI₃ Photoconductor with Polymer–Perovskite Interlayers for Large-Area and Low-Dose X-Ray Imaging

Although Wei et al. successfully realized X-ray imaging based on bulk MAPbBr₃ single-crystal absorber and predicted a promisingly low DAP on human body, their application is still limited by the small detecting area (5.8 mm \times 5.8 mm surface area of MAPbBr₃ crystal) [26], which subsequently hindered potential application on commercial X-ray imaging that usually relies on large-area flat panels (50 cm \times 50 cm) [40]. Additionally, another vital requisite for demonstrating X-ray imaging on large flat panels is the capability of materials to be prepared on

thin-film transistor (TFT) array matrices, which are required to convey electronic signal onto pixelated detectors for image generation [25, 26, 44–47]. It was not until Kim and coworkers that large-area hybrid perovskite layers were first deposited on TFT array that was made of hydrogen doped amorphous silicon, directly forming a large active area of $25.088 \text{ cm} \times 28.672 \text{ cm}$ (Fig. 4a) [40]. Kim et al. novelly constructed photodetector consisting of MAPbI_3 polycrystalline layer (MPC) with hundreds of micrometers thickness, as interfaced with polyimide- MAPbI_3 (PIMAPbI_3) and polyimide- MAPbBr_3 (PIMAPbBr_3) interlayers between charge collection electrodes, as shown in Fig. 4b.

Significantly, such device was featured with large-size perovskite crystallites ($\sim 20\text{--}100 \text{ }\mu\text{m}$) with sharp edges and distinctive crystallographic planes (Fig. 4c–f), thus indicating high crystallinities of perovskite layers and good charge transport properties [48, 49] that are desired for optoelectronic applications including photodetection of X-ray. Also, PIMAPbI_3 and PIMAPbBr_3 layers were prepared by spin-coated viscous polyimide/*N*-methyl-2-pyrrolidone solution blend [40], which gave rise to good physical contact between polymer–perovskite composites and electrodes (Fig. 4c and f), as indicated by the highly covered composite film with very flat surface (Fig. 4a).

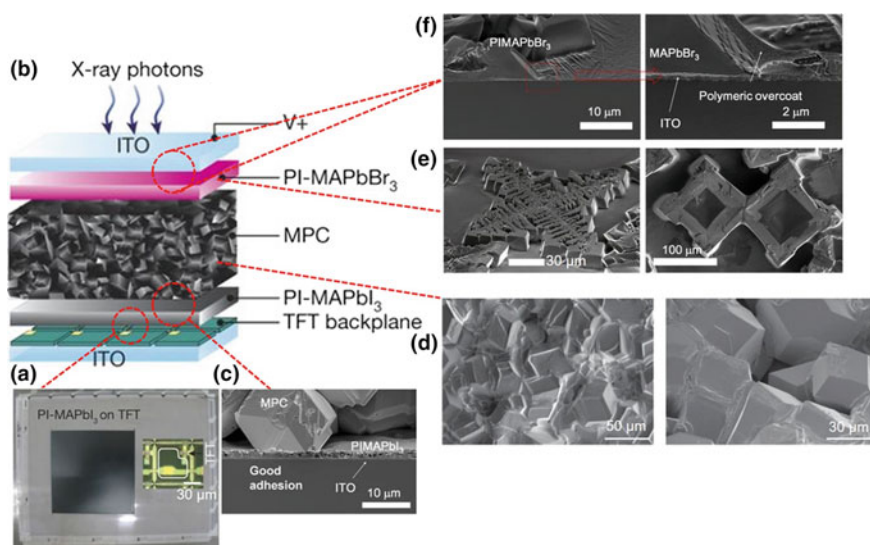


Fig. 4 **a** Photograph of PIMAPbI_3 film deposited on TFT array, with inset showing the pixel schematics. **b** Device structure of photodetector, showing different perovskite layers; **c** Scanning electron microscopy (SEM) image showing the crystal morphology of MPC layer and interface with PIMAPbI_3 , TFT/ITO electrode; **d**, **e** SEM images of MPC and PIMAPbBr_3 layers, respectively. Large crystallites with decades of micrometers size are observed; **f** SEM image of interfacial PIMAPbBr_3 /ITO structure, where polyimide textures are seen. **a–f** reproduced from Ref. [40] with permission from Nature Publishing Group. Copyright 2017 Springer Nature

The exceptional physical adhesion should facilitate transport of photocarriers through mitigating interfacial effects.

To characterize the optical and electronic properties of thick MPC layer by comparing with conventionally one-step deposited MAPbI₃ film, Kim and coworkers subsequently performed photoluminescence and optical absorption studies on MPC and spin-coated MAPbI₃ (adduct MAPbI₃) films, as shown in Fig. 5a. It can be clearly seen that MPC film has absorption onset and photoluminescence peak wavelength greater than adduct MAPbI₃ counterpart, thus indicating its smaller optical band gap and much greater crystallinity [50–54] in contrast with conventionally synthesized MAPbI₃ [55–58]. Time-resolved photoluminescence decay revealed a notably longer carrier lifetime on MPC film in comparison with adduct MAPbI₃ counterpart (Fig. 5b), thereby signifying the outstanding optoelectronic properties as suitable for subsequent device application. Furthermore, under ultraviolet laser excitation (337.1 nm), greater magnitude of applied voltage bias resulted in concomitantly shortened charge transit time of both electrons and holes (Fig. 5c and d), which therefore indicated the functional carrier response of MPC layer.

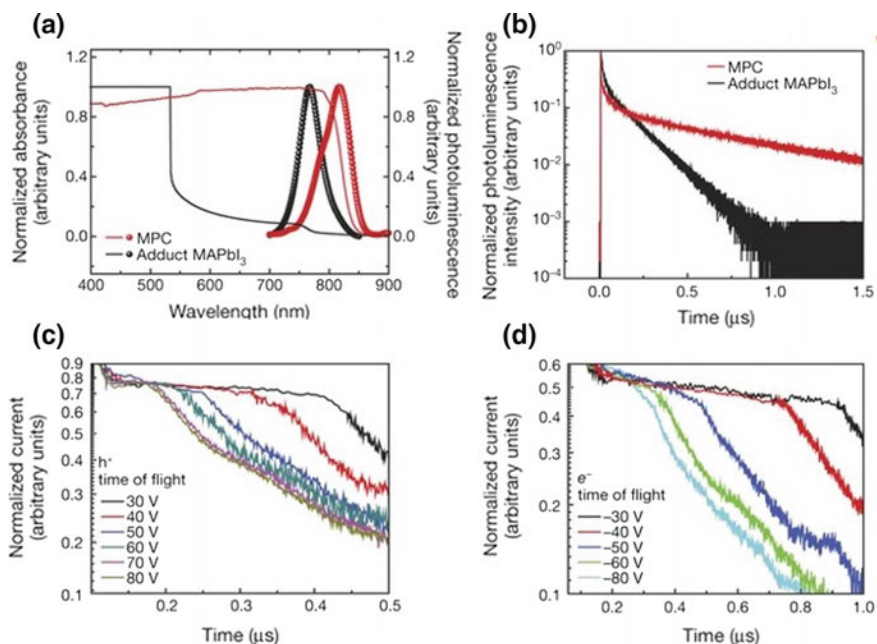


Fig. 5 **a** Static photoluminescence and absorption spectra of MPC (red) and adduct MAPbI₃ (black) films; **b** Time-resolved photoluminescence decays of MPC (red) and adduct MAPbI₃ (black) films; Time-dependent charge transients of holes **c** and electrons **d**, under externally applied positive and negative voltage biases, respectively. **a–d** reprinted from Ref. [40] with permission from Nature Publishing Group. Copyright 2017 Springer Nature

To fundamentally explore the characteristics of fabricated MPC-based X-ray detector, Kim and coworkers compared the calculated mass attenuation coefficients of MAPbI_3 to prototypical materials used in flat-panel X-ray detectors—amorphous selenium ($\alpha\text{-Se}$) [45, 59] as well as cadmium zinc telluride ($\text{Cd}_{0.9}\text{Zn}_{0.1}\text{Te}$) [60], and found out that MAPbI_3 owns distinctively better X-ray attenuation ability than $\alpha\text{-Se}$ in the energy range of 30–200 keV (Fig. 6a), as due to *K*-edge absorption of high-*Z* I and Pb atoms, respectively, at around 33 and 88 keV [40].

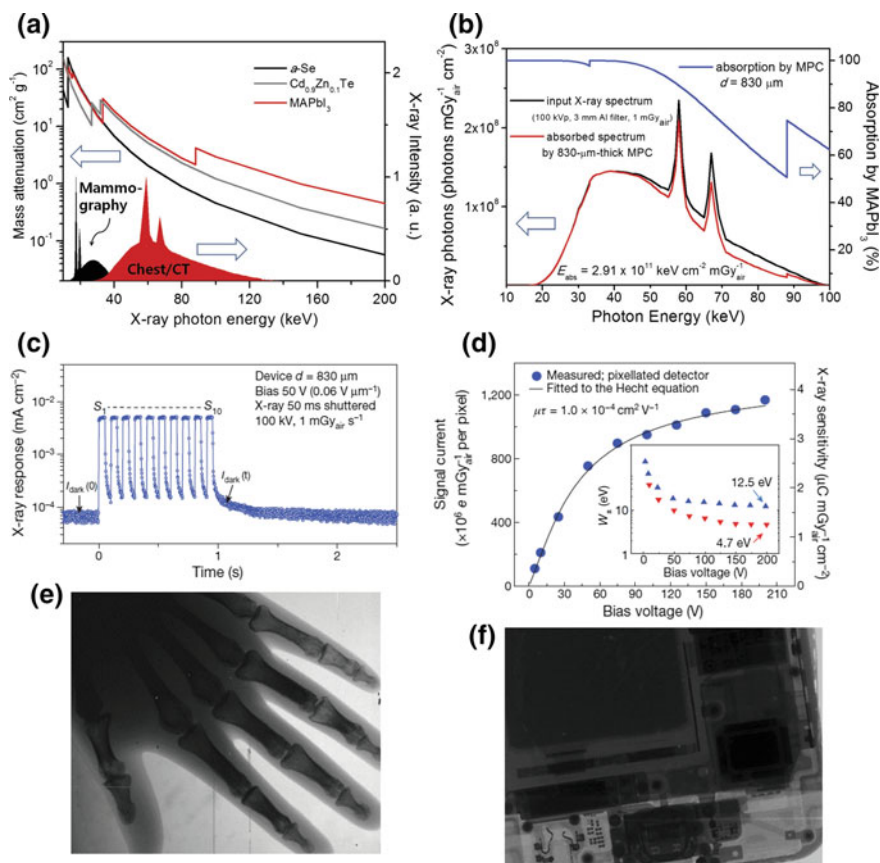


Fig. 6 **a** Mass attenuation coefficients of $\alpha\text{-Se}$ (black), $\text{Cd}_{0.9}\text{Zn}_{0.1}\text{Te}$ (gray), MAPbI_3 (red) and medical X-ray spectra; **b** X-ray absorption spectrum (red) and percentage (blue) by MAPbI_3 , under 100-kV peak voltage tungsten-source X-ray spectrum (black); **c** Photocurrent response of MPC-based detector under X-ray irradiation with 50-ms pulse widths; **d** Characterization of currents collected at pixel detector for MPC device. Inset: Voltage bias-dependent electron-hole pair separation energy (W_{\pm}). X-ray images of a hand (**e**) and a smartphone (**f**) with respective dose exposure of 25 $\mu\text{Gy}_{\text{air}}$ and 10 $\mu\text{Gy}_{\text{air}}$. **a–f** reproduced from Ref. [40] with permission granted by Nature Publishing Group. Copyright 2017 Springer Nature

Such large X-ray attenuation by heavily absorbing MAPbI₃ should accordingly facilitate medical application of X-ray in mammography and chest/CT, which have average populations of X-ray photons centered at around 30 keV and 60 keV (Fig. 6a), respectively. The stunning X-ray absorption capability by MAPbI₃ was further quantitatively evaluated by its X-ray absorption spectrum (Fig. 6b, red) under a tungsten radiation excited at peak voltage of 100 kV and attenuated by a 3-mm aluminum filter [40], where an 830- μm -thick MAPbI₃ layer achieved >80% absorption of X-ray ranging from 20 keV to around 65 keV (Fig. 6b, blue). Characteristically, the applied tungsten-source radiation was equivalent to dose rate ranging from 1 to 5 $\text{mGy}_{\text{air}}\text{s}^{-1}$ and total dose as 10–200 $\mu\text{Gy}_{\text{air}}$ [40]. With a dose rate of 1 $\text{mGy}_{\text{air}}\text{s}^{-1}$ and voltage bias of 50 V, this 830- μm MPC-based detector exhibited fast rise/fall of photocurrent under X-ray irradiation with 50-ms pulse width [40], as shown in Fig. 6c. Since the ultimate purpose of X-ray detector is to realize medical imaging, photocurrents were probed at pixelated detector, in which current magnitude (as measured in $e \text{ mGy}_{\text{air}}^{-1} \text{ pixel}^{-1}$) and device sensitivity monotonically increased with positive bias voltage (Fig. 6d) because of correspondingly enhanced charge extraction ability. While by fitting with Hecht equation [40, 61],

$$Q = Q_0 \mu \tau V d^{-2} [1 - e^{(-d^2 / \mu \tau V)}] \quad (3)$$

$\mu \tau$ was obtained as $1.0 \times 10^{-4} \text{ cm}^2 \text{ V}^{-1}$, in which Q , Q_0 , V , and d , respectively, stand for total charge, asymptotically collected charge, voltage bias, and thickness of detector. Due to extra impedance incurred with TFT pixel arrays, the energy required to separate electron–hole pairs (W_{\pm}) for pixelated detector is corresponding greater than TFT-free diode setting (Fig. 6d inset). Eventually, at voltage bias of 50 V (referring to $\sim 2.5 \mu\text{C mGy}_{\text{air}}^{-1} \text{ cm}^{-2}$ and $\sim 8 \times 10^8 e \text{ mGy}_{\text{air}}^{-1} \text{ pixel}^{-1}$), a high-resolution X-ray image was acquired on a hand with clearly outlined phalanges (Fig. 6e) with total dose exposure of only 25 $\mu\text{Gy}_{\text{air}}$ under 5 $\text{mGy}_{\text{air}}\text{s}^{-1}$ for 5 ms. Likewise, X-ray image of a smartphone (Fig. 6f) with just 10- $\mu\text{Gy}_{\text{air}}$ dose exposure was acquired at dose rate of 1 $\text{mGy}_{\text{air}}\text{s}^{-1}$ for 10 ms.

3 Magnetic Perovskite Nanoparticles for In Vitro Applications

3.1 *La_{0.7}Sr_{0.3}Mn_{0.98}Ti_{0.02}O₃ Perovskite Nanoparticles with Magneto-Temperature Properties*

Due to the fact that most transition metals have unpaired d-orbital electrons, oxide perovskites based on transition metals usually have prominent magnetic properties [62–69] and have been exploited in various applications, such as ferroelectrics [70, 71], ferromagnets [72], multiferroics [73, 74], and topological insulator [75].

Soleymani et al. [76] previously synthesized mixed-cation perovskite oxide— $\text{La}_{0.7}\text{Sr}_{0.3}\text{Mn}_{0.98}\text{Ti}_{0.02}\text{O}_3$ in the form of nanoparticles, via reaction between cetyltrimethylammonium bromide (CTAB)/*n*-butanol functionalized La/Sr/Mn/Ti nitrate salts as suspended in *n*-hexane (Mic-A), and CTAB/*n*-butanol functionalized

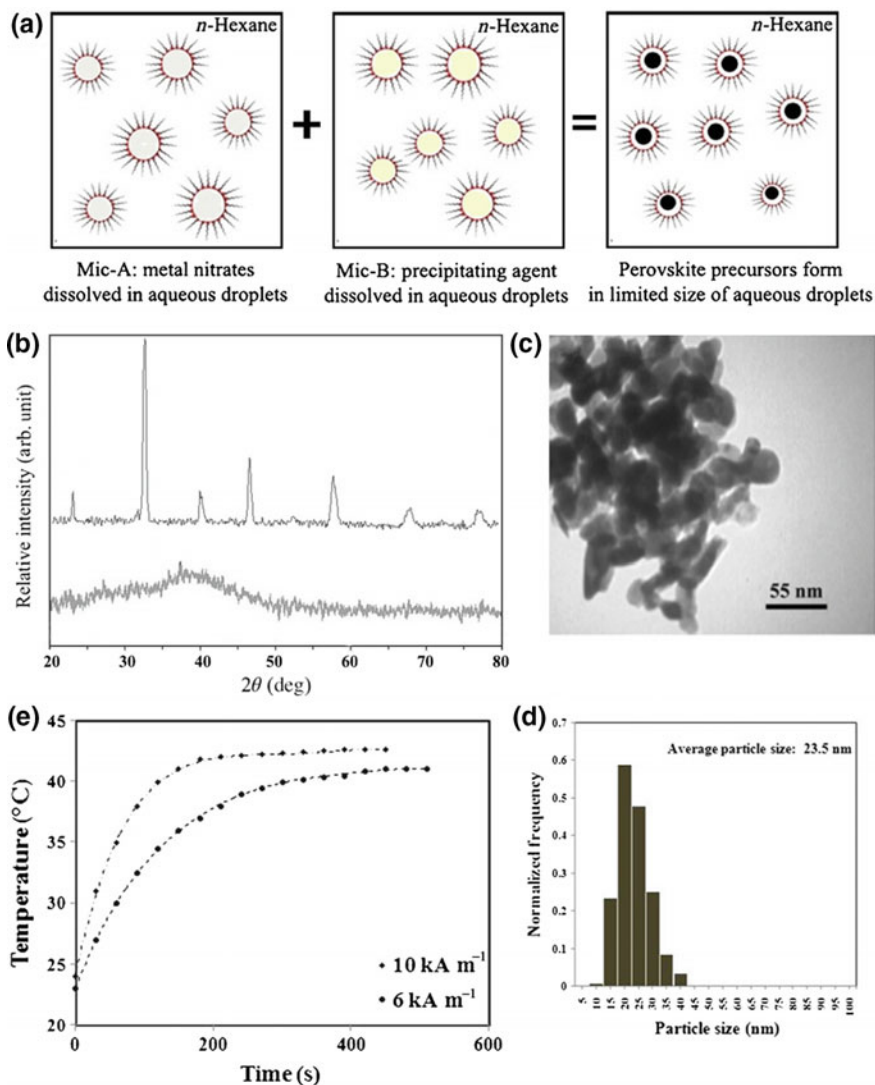


Fig. 7 **a** Schematic representation of synthesizing $\text{La}_{0.7}\text{Sr}_{0.3}\text{Mn}_{0.98}\text{Ti}_{0.02}\text{O}_3$ precursor; **b** X-ray diffraction patterns of crystalline $\text{La}_{0.7}\text{Sr}_{0.3}\text{Mn}_{0.98}\text{Ti}_{0.02}\text{O}_3$ (top) and amorphous precursor before calcination (bottom); **c** TEM image of $\text{La}_{0.7}\text{Sr}_{0.3}\text{Mn}_{0.98}\text{Ti}_{0.02}\text{O}_3$ nanoparticles; **d** Size distribution of $\text{La}_{0.7}\text{Sr}_{0.3}\text{Mn}_{0.98}\text{Ti}_{0.02}\text{O}_3$ nanoparticles; **e** Temperature rising curves under magnetic fields of 10 and 6 kA/m . **a–e** reprinted from Ref. [76] with permission from Springer Nature. Copyright 2016 Indian Academy of Sciences

ammonium oxalate in *n*-hexane (Mic-B), as shown in Fig. 7a. The as-formed precipitate upon mixture of Mic-A and Mic-B had amorphous nature, as confirmed by X-ray diffraction pattern (Fig. 7b bottom), was eventually calcined at 700 °C to obtain $\text{La}_{0.7}\text{Sr}_{0.3}\text{Mn}_{0.98}\text{Ti}_{0.02}\text{O}_3$ that was crystalline, as evidenced by the distinctive crystallographic orientations (Fig. 7a top). Furthermore, the nanoparticle format of $\text{La}_{0.7}\text{Sr}_{0.3}\text{Mn}_{0.98}\text{Ti}_{0.02}\text{O}_3$ was morphologically characterized by transmission electron microscopy (TEM) image, as shown in Fig. 7c, where the average size of perovskite nanoparticles was determined to be 23.5 nm (Fig. 7d) and closely corroborated with the calculated average size (20.1 nm) through Scherrer equation [76–79]:

$$D_{hkl} = k\lambda/b \cos \theta \quad (4)$$

where D_{hkl} is the average crystalline size; k is the shape factor (0.9); λ is the wavelength of X-ray (1.54 Å for $\text{Cu}_{K\alpha}$ radiation), and b , θ are, respectively, the FWHM, angle position for the most intensive diffraction peak ($\sim 33^\circ$ for the present work). Most importantly, under magnetic fields, the as-synthesized $\text{La}_{0.7}\text{Sr}_{0.3}\text{Mn}_{0.98}\text{Ti}_{0.02}\text{O}_3$ nanoparticles exhibited kinetic rise in temperature (Fig. 7e), where the threshold temperature was reached as heat generation equilibrated with the loss. The responsive rise in temperature under externally applied magnetic field, along with stabilized threshold temperature thus indicate the valuable potential of perovskite $\text{La}_{0.7}\text{Sr}_{0.3}\text{Mn}_{0.98}\text{Ti}_{0.02}\text{O}_3$ as heating mediators for hyperthermia-based cancer treatment.

3.2 Perovskite $\text{La}_2\text{NiMnO}_6$ Nanoparticles for Adsorption of Bovine Serum Albumin (BSA)

Many manganite perovskites (e.g., Ca-doped BiMnO_3 and LaMnO_3) demonstrate remarkable magnetic properties [80, 81]. When fabricated in the form of nanoparticles, the magnetic nature of manganite perovskites potentially allows useful applications in sensors [82], environmental remediation [83], drug delivery [84], magnetic resonance imaging [85, 86], immunoassay [87], and sorbents [88], as already achieved in Fe_3O_4 –metal–organic framework (MOF) core–shell structures [89] owing to their high porosity and large surface areas. Wu et al. [90] previously synthesized double-perovskite $\text{La}_2\text{NiMnO}_6$ (crystal structure shown in Fig. 8a) via co-precipitation of constituent metal ions, as followed by high-temperature sintering. The crystalline structures of as-prepared $\text{La}_2\text{NiMnO}_6$ after sintering at different temperatures were confirmed by X-ray diffraction patterns as shown in Fig. 8b, while the spherical shapes of nanoparticles sintered at 750 °C were verified by high-resolution TEM (HRTEM) image shown in Fig. 8c. On average, the size of $\text{La}_2\text{NiMnO}_6$ nanoparticles reached ~ 37 nm over the annealing

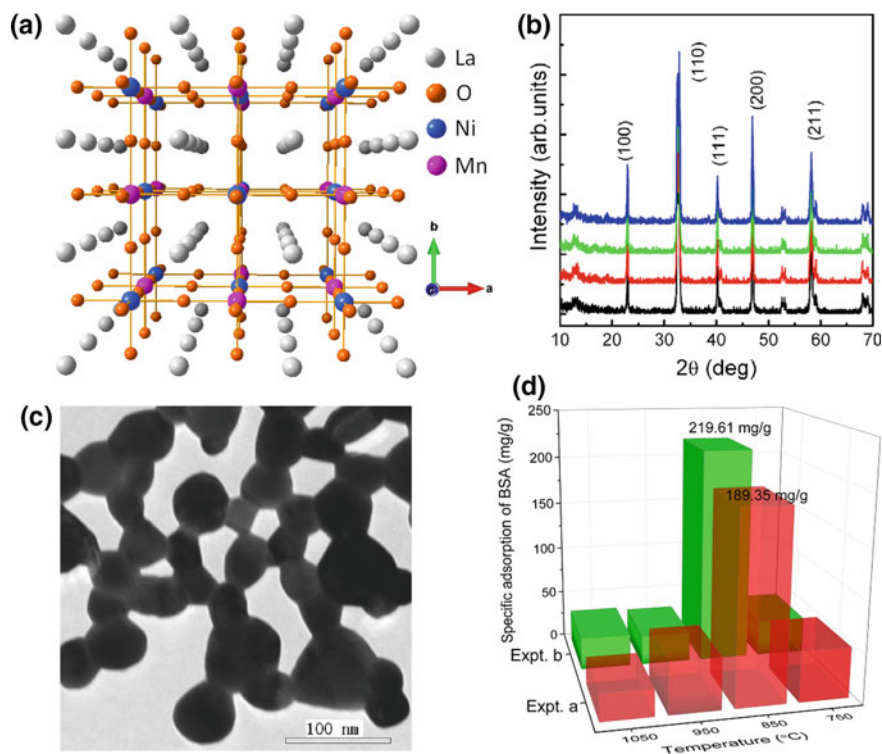


Fig. 8 **a** Crystal structure of double-perovskite $\text{La}_2\text{NiMnO}_6$ from (001) direction; **b** X-ray diffraction patterns of $\text{La}_2\text{NiMnO}_6$ sintered at 1050 °C (blue), 950 °C (green), 850 °C (red) and 750 °C (black) for 2 h; **c** HRTEM image of $\text{La}_2\text{NiMnO}_6$ nanoparticles sintered at 750 °C for 2 h; **b**, **c** reprinted from Ref. [90] with permission granted by Springer. Copyright 2013 Wu et al.; licensee Springer **d** Three-dimensional bar graph showing the specific adsorption of BSA on $\text{La}_2\text{NiMnO}_6$ nanoparticles annealed at different temperatures over two experiments, with data taken from Ref. [90]

temperatures at 750, 850, 950, and 1050 °C [90]. Wu and coworkers subsequently performed adsorption studies of BSA (a globular protein valuable in medical research) as characterized by ultraviolet spectra at 280 nm with two groups of experiments, and found that all the nanoparticle samples had astonishing adsorptive capacities for BSA protein (Fig. 8d), where $\text{La}_2\text{NiMnO}_6$ nanoparticles annealed at 850 °C achieved ~ 200 mg/g specific adsorption of BSA across two experimental sets. This research work identifies $\text{La}_2\text{NiMnO}_6$ nanoparticles as promising adsorbents for extracting BSA and is of great significance in relevant medical applications (e.g., probe for cancer cell targeting, biosensors [91, 92]).

4 In Vitro Biocompatibility of $(\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2\text{-CaTiO}_3)$ Composites in Cellular Cultures

Perovskite calcium titanate (CaTiO_3) has been known to play a major role in promoting the growth of hydroxyapatite ($\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$, HA) (main constituent of bones) as supporting substrate [93–96]. Also, CaTiO_3 favorably facilitates osseointegration and osteoblast adhesion between human body and implanted biomaterials [97]. Most of all, CaTiO_3 has notable electronic and mechanical properties, such as electrical conductivity [98], corrosion resistance [99], ferroelectricity [100], high bonding strength and stability [101], thus enabling valuable biomedical applications in biosensors [102], orthopedics [95], and maxillofacial reconstruction [103]. Although CaTiO_3 has demonstrated such extensive uses in conjunction with bones, the detailed biocompatible and toxicological profiles of the composite materials await insightful study.

Dubey et al. [98] previously conducted in vitro cell proliferation experiments to show that SaOS₂ human osteoblast cells (Fig. 9) and L929 mouse fibroblast cells (Fig. 10) can robustly culture on pristine HA (denoted H_1C_0), 60 wt% HA–40 wt%

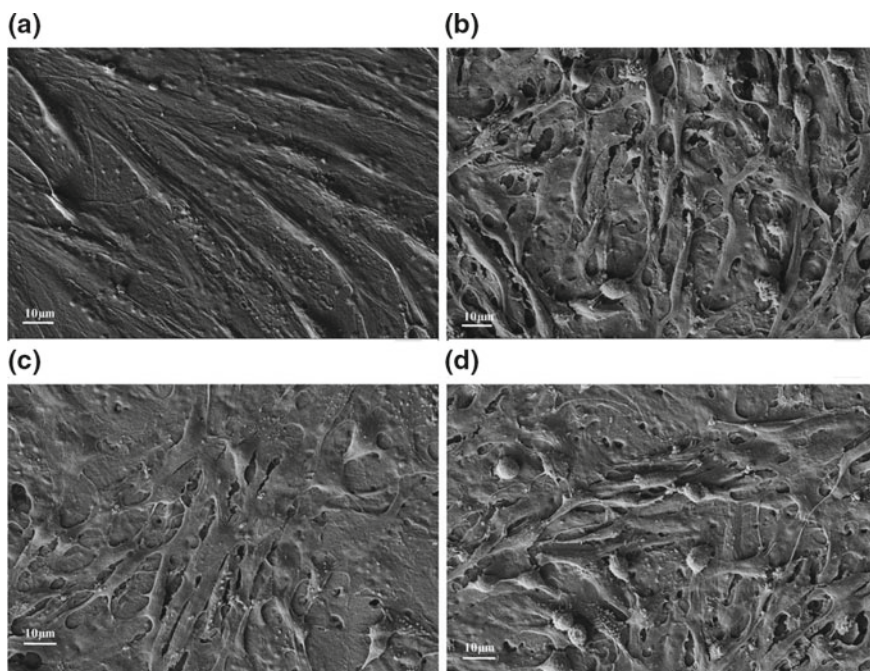


Fig. 9 SEM images of SaOS₂ human osteoblast cells cultured on **a** polymer disc substrate; **b** H_6C_4 ; **c** H_4C_6 ; **d** H_2C_8 composites. **a–d** reprinted from Ref. [98] with permission granted by John Wiley and Sons. Copyright 2010 Wiley Periodicals, Inc.

CaTiO_3 (H_6C_4), 40 wt% HA–60 wt% CaTiO_3 (H_4C_6), and 20 wt% HA–80 wt% CaTiO_3 (H_2C_8) composites. It can be clearly seen that dense bridge-like cytoplasmic connections were formed among cells (Fig. 10), with the studied cells firmly adhered on underlying composite substrates via flattened structures and extended filopodia (Fig. 9), thereby indicating biocompatibility of the synthesized HA– CaTiO_3 composites [98].

Furthermore, by utilizing 3(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay, Dubey and coworkers suggested that the population sizes of metabolically active SaOS₂ (Fig. 11a) and L929 (Fig. 11b) cells enlarged after incubation on HA– CaTiO_3 composites, as imparted by the increased mean optical density from the MTT assay plate reader over three experiments for each incubation period, as shown in Fig. 11. Such results indicated cytotoxicity of HA– CaTiO_3 composites did not adversely affect the cell viability, or at most, negligible on the studied cell subjects.

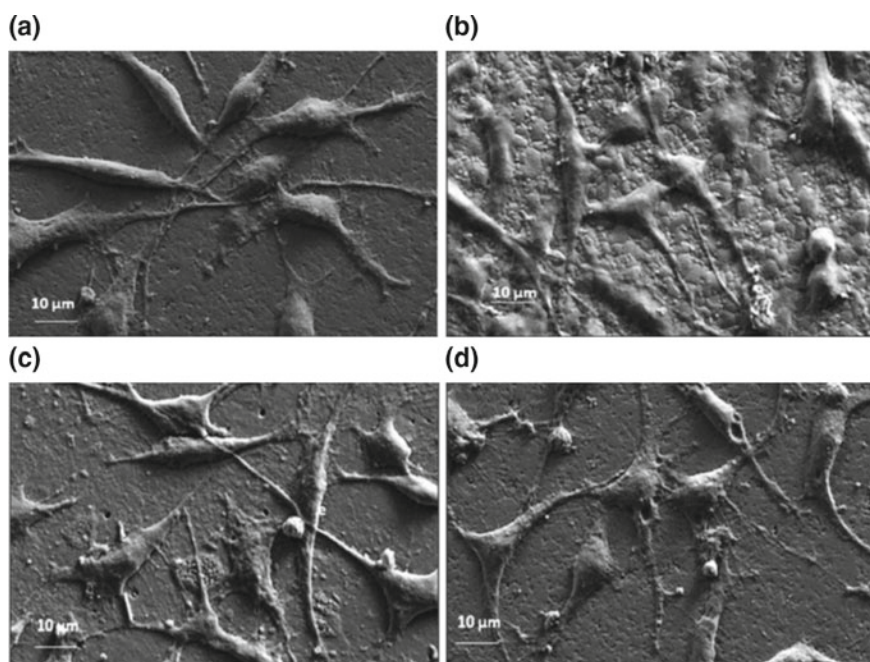


Fig. 10 SEM images of L929 mouse fibroblast cells proliferated on **a** H_1C_0 ; **b** H_6C_4 ; **c** H_4C_6 ; **d** H_2C_8 composite substrates. **a–d** reprinted from Ref. [98] with permission granted by John Wiley and Sons. Copyright 2010 Wiley Periodicals, Inc.

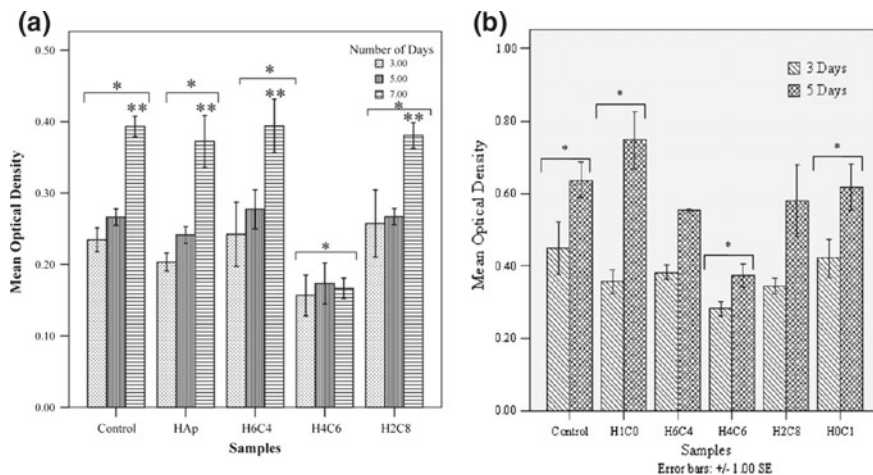


Fig. 11 Statistically summary of viable cell populations for human SaOS₂ (a) and mouse L929 (b) after incubation on different substrates for various periods. “*” indicates significant difference between average optical densities across incubation periods at $p < 0.05$, and “***” refers to statistically significant difference of 7 days incubation as compared to samples at 3 and 5 days at $p < 0.05$. **a, b** reprinted from Ref. [98] with permission from John Wiley and Sons. Copyright 2010 Wiley Periodicals, Inc.

5 Concluding Remarks

In this chapter, we systematically summarized the ongoing research progress that harnessed perovskites in biomedical applications. Because of the extraordinary solution processability, desirable crystallization kinetics, suitable optical band gaps, and high-Z Pb/I/Br heavy elements, MAPbX₃ perovskites ($X = \Gamma, \text{Br}^-$) have achieved successful demonstrations of X-ray detection and imaging. However, it demands stabilization of perovskite absorbers to further mature the relevant technologies, where we believe switching to Cs⁺-cationed or MA⁺/Cs⁺ alloyed motifs not only widens the band gaps for suppressed dark currents, but also significantly results in enhanced structural stabilities [104, 105] of perovskite materials. In addition, previous studies showed that two-dimensional (2D) lead halide perovskites enabled out-of-plane orientations of crystals on underlying substrates [106, 107], which may consequently lead to highly directional transport of X-ray-induced photocarriers. Based on this perspective, future research direction for realizing X-ray detectors and imagers with high sensitivities and responsivities should focus on fabricating large-area and thick 2D perovskite absorbers on thin-film transistor arrays through compatible printing (polycrystalline) and self-assembled (monocrystalline) methods.

Although Soleymani and coworkers provided clear evidence of magneto-temperature response on La_{0.7}Sr_{0.3}Mn_{0.98}Ti_{0.02}O₃ perovskite nanoparticles, the detailed material phases still have not been unambiguously elucidated due to inaccurate relative compositions of metal cations (the oxidation state of Mn is

calculated to be 3.22/0.98 based on +3, +2, +4, and -2 oxidation states of La, Sr, Ti, and O, respectively, indicating the existence of segregated crystal phase). Therefore, insightful structural analysis of material is needed for confirmation of the magnetically thermally active phase. In case of perovskite $\text{La}_2\text{NiMnO}_6$ nanoparticles, their ability to desorb BSA protein molecules has not been studied, which is required to fully comprehend the binding/detachment dynamics of enzyme reactions. As CaTiO_3 -based composites were proven to have promising biocompatibility and cytotoxicity, the future research direction of these composite materials is to further understand their structure–property relationships under more complicated chemical environments with variations in temperature, pH, and pressure.

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Chapter 5

Ion Transport and Stability Issues in Organic–Inorganic Perovskite Materials



Monojit Bag and Priya Srivastava

1 Introduction

Hybrid organic–inorganic perovskites (HOIPs) have emerged as an important class of material in the field of photovoltaics. One of their intriguing properties which make them more attractive is that they are mixed ionic–electronic conductors. That means they have both electrons and ions as charge conductors. The electronic transport follows the band transport mechanism, whereas ionic transport is by hopping of ions between thermodynamically favorable ion vacancies. Unique behavior is noticed when the electronic conductivity is modulated by ion transport or when ion transport is modulated by electronic transport. The interplay between this electronic and ionic transport leads to some undesirable issues such as hysteresis in current–voltage curves, thermoelectricity, switchable photovoltaic effects, instability to heat and light, and increased power conversion efficiencies. For the development of new HOIPs with reduced hysteresis, improved stability, and hence superior device performance for energy harvesting along with other electronic applications, the understanding of electronic and ionic conductivity and their relationship especially is critical.

Here, we have tried to explain the underlying mechanism of the ionic transport in perovskites under different external disturbances and its effect on the total conductivity and hence the performance of device by analyzing the relationship between electronic and ionic transport. We have first focused on vacancy-mediated ion transport in perovskites theoretically and explained it through experimental evidences in only perovskite material (metal/perovskite/metal) and device geometry (metal/ETL (HTL)/perovskite/HTL (ETL)/metal). The behavior of the device performance under different external disturbances is discussed. At the end, some stability issues related to HOIPs devices are introduced.

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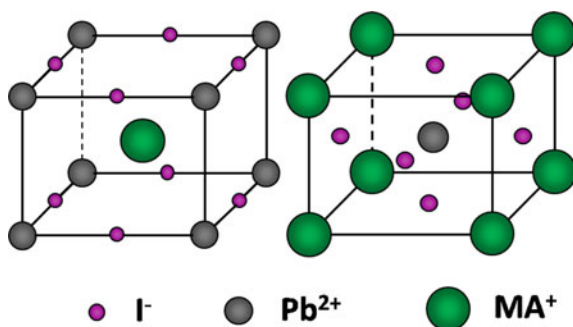
2 Vacancy-Mediated Ion Transport (Microscopic Transport Mechanism of Ion Migration)

Ionic conductivity is broadly classified into two types: migration of defect sites and the migration of different ionic species. Nevertheless, the defect sites are also responsible for migration of ionic species. Transport of intrinsic ionic vacancy and interstitial defects in organolead halide perovskites has significant consequences on perovskite-based devices in terms of performance and long-term stability. Migration pathways with their corresponding activation energies and the exact nature of the mobile ionic species can be well understood through vacancy-assisted migration of ions.

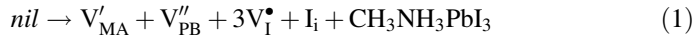
Microscopic transport mechanism of vacancy-mediated ion transport reflects mixed ionic–electronic conduction in these organic–inorganic hybrid perovskites. As illustrated in Fig. 1, the perovskite-type structure ABX_3 , where A is organic cation, B is metal, and X is halide ion, comprised of an extended framework of PbI_6 octahedra sharing the corners with the alkyl ammonium cation at the body central A-site and surrounded by 12 edge-centered nearest neighbor iodide ions. This can be understood in more simple way as a cubic structural lattice with organic cation A at body central position, metal ion B at corners and halide ions at the edge centers or alternatively as metal ion B at body central position, organic cation A at the corners, and halide ions at face-center position.

Organolead halide perovskites are prone to the defect formation, mostly point defects including ionic vacancies (V_{MA} , V_{Pb} , and V_I) and interstitials (MA_i , Pb_i , and I_i) along with some cation substitutions (MA_{Pb} and Pb_{MA}) and antisite substitutions (MA_I , Pb_I , I_{MA} and I_{Pb}), attributed to the low thermal stability of the perovskite material. Among all these types of point defects, the vacancy and interstitial defects are most likely due to their low formation energies and primarily responsible for the diffusion of ions in the material by creating shallow donor and acceptor levels near the band edges. Further in $CH_3NH_3PbI_3$, the vacancy-mediated ion transport is supported more because of the ease of formation of these Schottky disorders with the intrinsic vacancies of concentration exceeding 0.4% at room

Fig. 1 Structure of perovskite crystal lattice



temperature. All these Schottky- and Frenkel-type ionic disorders associated with vacancies and interstitials prevailing over electronic disorder specifically in $\text{CH}_3\text{NH}_3\text{PbI}_3$ can be shown by the following reaction in Kröger–Vink notation,



where nil indicates the perfect $\text{CH}_3\text{NH}_3\text{PbI}_3$ lattice, V represents a vacancy, subscripts are the ionic species, and superscripts are the effective defect charge (a prime for each negative charge and dot for each positive charge). I_i is the interstitials iodine. Interstitial defects due to the other two ions are not included here, as they are much bigger in size to be accommodated in the interstitial sites in the crystal of $\text{CH}_3\text{NH}_3\text{PbI}_3$.

2.1 Vacancy-Mediated Ion Transport Mechanism

Defect migration across the perovskite crystal has been modeled considering these four basic defects [1]. Migration pathway by conventional hopping between neighboring favorable positions is devised as shown in Fig. 2.

(i) V_{I} Migration:

For V_{I} , Γ ion migration takes place from the axial site to the equatorial position along an octahedron edge leading the vacancy V_{I} to migrate toward that axial site from the equatorial position, i.e., in the opposite direction (Fig. 2a). The activation energy of V_{I} (0.01–0.60 eV) is lowest among all other ion migrating vacancies V_{MA} and V_{Pb} showing the V_{I} -dominated defect migration in $\text{CH}_3\text{NH}_3\text{PbI}_3$ perovskites.

(ii) V_{MA} Migration:

Regarding V_{MA} , MA^+ ion hops between the adjacent cavities. The MA^+ ion migrate into the neighboring vacant A-site which involves the motion of ion through the unit cell face plane comprised of four Γ^- and four Pb^{2+} , i.e., crossing the Pb_4I_4 framework perpendicularly (Fig. 2b). The activation energy of MA^+ (0.45–0.90 eV) is higher than that of Γ^- ion implying slow MA^+ migration and need of the external disturbance for the significant MA^+ ion migration in the perovskite material.

(iii) V_{Pb} Migration:

For V_{Pb} , an in-plane migration has been investigated. The V_{Pb} migrate along the side of the face square of cubic unit cell formed by four Pb^{2+} ions at the corners and Γ^- ions at the edge centers tilted slightly (Fig. 2c). The high

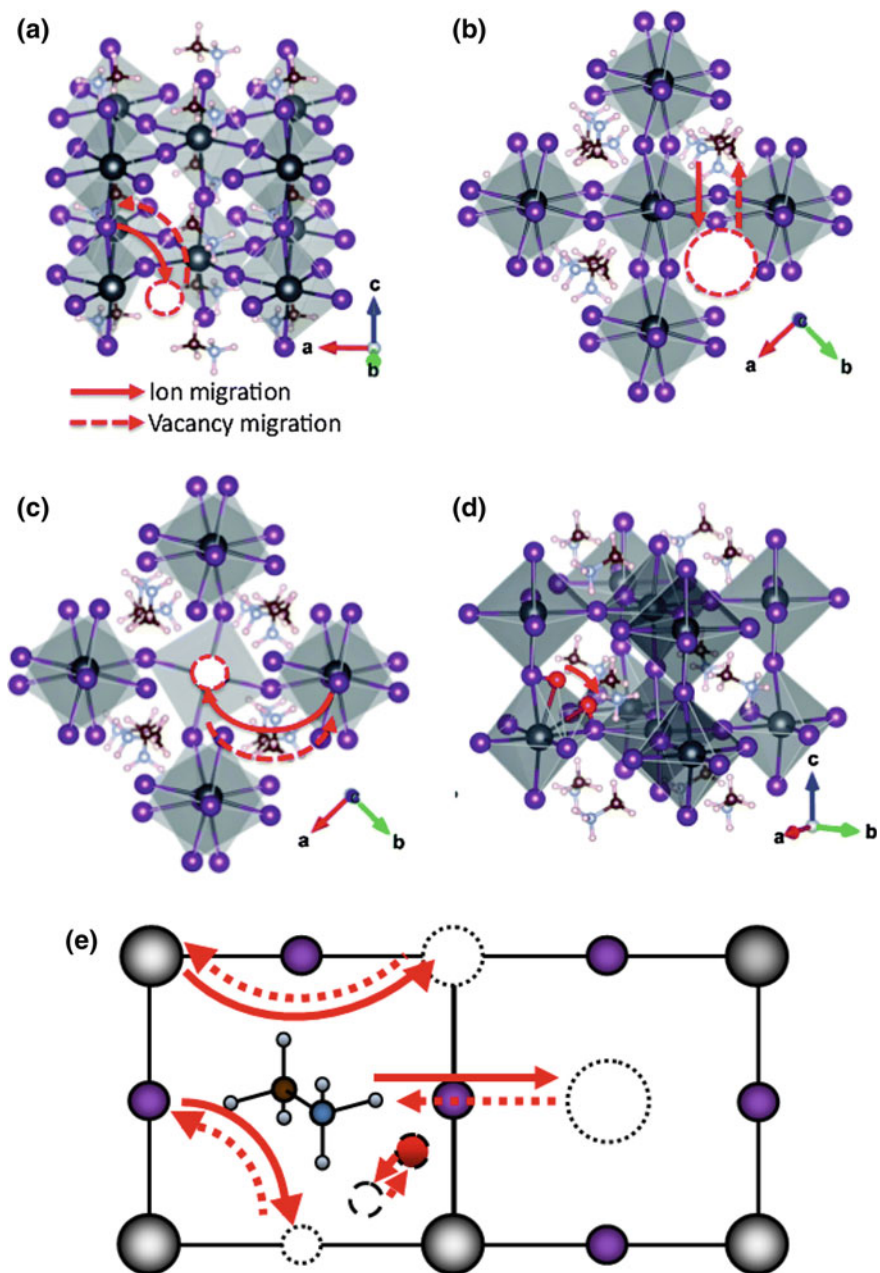


Fig. 2 Diffusion paths for the V_I (a), V_{MA} (b), V_{Pb} (c), and I_i (d). Schematic illustration of the ion migrations in 2D. White = H, brown = C, blue = N, purple = I, red = interstitial I, and black = Pb atoms. Reprinted with permission from (Defect migration in methylammonium lead iodide and its role in perovskite solar cell operation [1]. Copyright (2015). Reproduced with permission from the Royal Society of Chemistry

energy barrier for V_{Pb} migration (0.80–2.50 eV) suggests an immobile Pb sublattice.

(iv) **I_i Migration:**

The I_i migration takes place between the adjacent interstitial sites in the crystal lattice via path along the c -axis (Fig. 2d). The initial and final positions of the interstitial iodine ion are at almost equal distance between a couple of axial and equatorial iodine atoms. The activation energy of the I_i migration is same as that of V_I , suggesting the dominance of I_i migration along with V_I over V_{MA} and V_{Pb} migrations in case of I-rich perovskite crystals.

Diffusion coefficient or migration rate of the ions can be estimated from the calculated corresponding activation energies assuming Boltzmann-like barrier hopping transport. The estimated diffusion coefficient of Γ^- ions is about four orders of magnitude higher than that of MA^+ ions, suggesting the dominance of iodine ion migration over negligible diffusion of methylammonium ions in $\text{CH}_3\text{NH}_3\text{PbI}_3$ perovskite crystals. These results clearly confirm the mixed ionic–electronic conductance in the hybrid organic–inorganic perovskite materials. The key factor for majority ionic carrier iodine ions is the concentration of intrinsic iodine vacancies and interstitial iodine in the material, which is sensitive to thermal processing routes and synthesis conditions.

2.2 Paths of Vacancy Migration

In perovskite crystals, depending on the relative initial and final positions of the migration, there are more than one possible diffusion pathway for ion transport. However, in the cubic perovskite crystal lattice, these pathways specifically for iodine and methylammonium ions are isoenergetic and hence equivalent. But in tetragonal and trigonal crystal structure, all the migration pathways are not equivalent in energy due to the different surrounding structural configurations of a particular lattice point from another. Considering the tetragonal lattice structure of $\text{CH}_3\text{NH}_3\text{PbI}_3$ crystal, there are more than one possible diffusion pathway for iodine and methylammonium ions, which are discussed here.

(i) **Γ^- ion migration pathways**

In the perovskite crystal lattice, the iodine ions are placed at the six corners of the PbI_6 octahedron. In this octahedron, there are two types of position of the iodine ions which are equatorial and axial sites [2]. Depending on the final and initial sites, there could be three possible pathways for iodine migration across the octahedron (Fig. 3). These are (a) P1: from axial site to axial site, (b) P2: from axial site to equatorial site (or equatorial to axial site), and (c) P3': from equatorial to equatorial site. The third pathway requires very high impossible energy as it needs to cross the doubly positively charged Pb ion. Therefore, the third pathway P3' is not a feasible

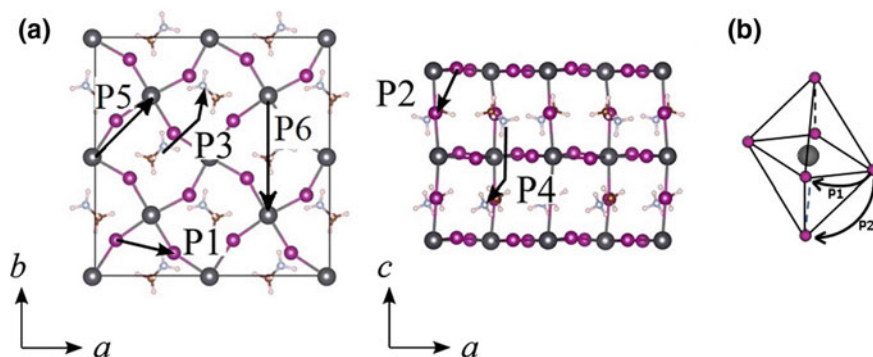


Fig. 3 a Vacancy migration paths of MAPbI₃. Arrows (P1, P2), (P3, P4), and (P5, P6) represent V_I, V_{MA}, and V_{Pb} paths, respectively. b Representation of paths P1 and P2 in the PbI₆ octahedral. White = H, brown = C, blue = N, purple = I, and black = Pb atoms. Adapted with permission from (First-Principles Study of Ion Diffusion in Perovskite Solar Cell [2]. Copyright (2015). Reproduced with permission from the American Chemical Society

path. Only P1 and P2 are possible feasible paths in case of tetragonal perovskite crystal.

The path P1 and P2 migration takes place along the $\langle 100 \rangle$ and $\langle 111 \rangle$ directions, respectively. The activation energy required along the path P1 is obviously less than along the path P2 since migration along the path P1 is between the equivalent positions in the same plane and to a smaller distance. However, migration along the path P2 leads to a completely different iodine position in the octahedron crossing a larger distance as compared to the path P1. Therefore, path P1 is more favorable as compared to the path P2. In case of cubic crystal lattice of CH₃NH₃PbI₃, both axial and equatorial positions in the octahedron are almost equivalent considering the energy aspect. Hence, both the pathways P1 and P2 are isoenergetic and equivalent.

(ii) MA⁺ ion migration pathways

For MA⁺ ion, the ion transport takes place by hopping to the corresponding position of the next adjacent crystal lattice. All the positions of MA⁺ ions are equivalent in the material, but the direction of migration makes the difference in case of tetragonal lattice structure, indicating anisotropic diffusion. In tetragonal crystal structure, the lattice parameters are $a = b \neq c$ and $\alpha = \beta = \gamma = 90^\circ$. Therefore, migration along the a - and b -axes is the equivalent and isoenergetic as the ion moves to the equal distance crossing the similar surrounding charge configuration along these two directions. However, the migration of ion along the c -axis requires covering more distance and a different surrounding charge configuration. Hence, there are basically two diffusion pathways for methylammonium ion migration in tetragonal crystal lattice (Fig. 3a). These are (a) P3: along the a - or b -axes covering the distance equal to a and (b) P4: along the c -axis covering the distance equal to c . These MA⁺ ion migration takes place along the straight line direction along $\langle 110 \rangle$ for P3 and $\langle 001 \rangle$ for P4. Obviously, the pathway P3 has less activation

energy, and hence more favorable as compared to pathway P4 as it requires less distance to be covered.

In case of cubic crystal lattice, $a = b = c$ and hence migration along all the three axes is equivalent and isoenergetic. Hence, these two types of different pathways are not seen in the cubic crystal lattice.

(iii) Pb^{2+} ion migration pathways

As described earlier, the Pb^{2+} migration takes place in the aforementioned Pb_4I_4 framework which is a plane formed by four Pb^{2+} ions at the corners and I^- ions at the edge centers. In this plane, Pb^{2+} ion can move either along the side of the square plane or along the diagonal. Therefore, there are two possible paths for Pb^{2+} migration which are (a) P5: along the side of the square plane and (b) P6: along the diagonal of the square plane (Fig. 3a). However, the Pb^{2+} migration in either of these two directions is difficult practically due to their high activation energy as compared to other two ions, but path P5 is more favorable and has less activation energy when compared to the pathway P6 along the diagonal of the square plane. These two types of migration pathways of Pb^{2+} ion are seen in both tetragonal and cubic perovskite crystal lattice.

3 Experimental Evidences of Ionic Transport

The migration of ions (vacancies as well as different ionic species) can also be verified by experimental means. Ionic conductivity significantly differs from the electronic conductivity in these types of perovskite materials. Normally, the activation energy (E_a) is in order of few hundreds of meV to few eV depending on the nature of ionic species, pathways for ions and vacancies migrations, light activation and thermal expansion of the lattice. In this current chapter, two kinds of device geometry will be discussed to elucidate the nature of ion migration and the impact of the device structure.

3.1 Charge Transport Dynamics in Organolead Halide Perovskite Materials (Metal/Perovskite/Metal Geometry)

Consider the simplest geometry of the hybrid perovskite material between two conducting metal electrodes (generally $\text{Au}/\text{CH}_3\text{NH}_3\text{PbI}_3/\text{Au}$) to study the ion migration and transport through the perovskite channel in the absence of ETL or HTL as shown in Fig. 4a [3]. Due to the absence of any ETL or HTL, there is no internal driving force such as built-in electric field at the interface. There is no heterojunction formed due to depletion or accumulation of ions at the metal/perovskite/metal interface. Therefore, there is a need for external modulation such

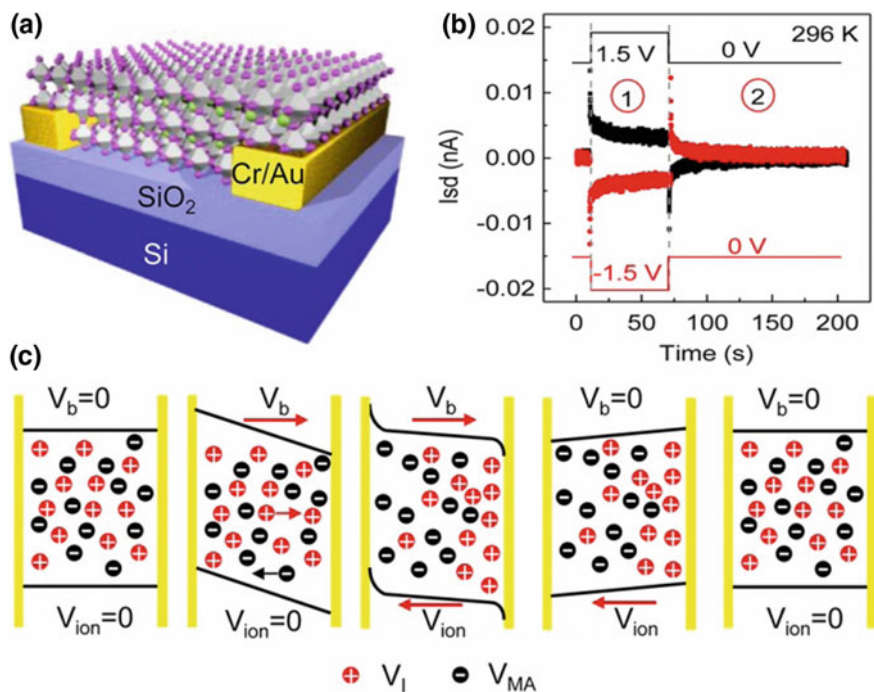


Fig. 4 Temporal response and schematics of the ion migration in organolead halide perovskite. **a** Schematic of a two-probe halide perovskite film device fabricated on a 300 nm SiO₂/Si substrate with 5 nm Cr/50 nm Au as electrodes. **b** Temporal response curves following positive and negative biasing at 296 K. **c** Schematic diagrams indicating the dynamics of ionic transport following the external bias. Reprinted with permission from (Electronic and Ionic Transport Dynamics in Organolead Halide Perovskites [3]. Copyright (2016). Reproduced with permission from the American Chemical Society

as bias, illumination, or temperature to study the charge transport dynamics through the perovskite active layer.

In the organolead halide perovskite materials such as CH₃NH₃PbI₃, the common defects are vacancies (V_{MA}, V_{Pb}, and V_I standing for CH₃NH₃⁺, Pb²⁺, and I⁻, vacancies, respectively) and interstitials (MA_I, Pb_I, I_{MA}, and I_{Pb}) due to their low formation energy. These defects generally assist in vacancy-mediated ion migration and mixed electronic–ionic conduction in hybrid perovskites by creating shallow donor and acceptor levels near the band edge which migrate from one site to another under external or built-in field. But as reported in the studies till date, these three types of vacancies do not contribute in the conduction equally because of their different activation energies for the transport. Among all three types of vacancies, V_I migration has the smallest activation energy barrier and considered to be the primary migration species in the hybrid perovskites. However, few studies also show the small contribution of V_{MA} vacancy to the conduction due to comparable activation energy. But the activation energy of V_{Pb} is much higher than that of other

two vacancies, and hence they are considered to be immobile and at rest during the investigation of ion transport.

The dynamics of the ion migration is described using the schematic as shown in Fig. 4b. The schematic is generally the spin-coated polycrystalline perovskite thin film coated on the substrate between two-probe symmetric metal electrodes (preferably Au or Cr/Au). The channel length is about 20–50 μm .

3.1.1 Mechanism

In the beginning, when no external bias is applied and the perovskite material is in dark (zero illumination) at room temperature, the vacancies (V_{MA} , V_{Pb} , and V_I) are randomly distributed throughout the device channel. After applying the positive external bias at time t_1 and removing at time t_2 , the interplay between electronic and ionic transport can be understood in the following stages since no other external disturbance is applied, i.e., the device is in dark at room temperature and at zero applied bias.

(i) Stage 0 (0 to t_1 seconds)

During this stage, no external bias is applied across the channel. The vacancies are distributed randomly in the film. There is no driving force for any kind of motion, and all the ions are at rest and hence there isn't any kind of ion migration and photoconductivity. Both the applied positive bias (V_b) and ion-induced electric field (V_{ion}) are zero, and hence the net potential across the channel (V_{net}) is also zero.

(ii) Stage I (t_1 to t_2 seconds)

This stage can be further divided into three more stages to have a more deeper understanding which are (a) t_1 to $t_1 + \delta$ seconds, (b) $t_1 + \delta$ to $t_1 + \delta + \alpha$, and (c) $t_1 + \delta + \alpha$ to t_2 seconds where δ is about less than $t_1/20$, and α is about $t_1/2$. Simplifying this, if the external bias is applied for about 60 s, then stage I (a) is from t_1 to $t_1 + (2-3)$ s, stage I (b) is from $t_1 + (2-3)$ s to $t_1 + (32-33)$ s, and stage I (c) is from $t_1 + (32-33)$ s to $t_1 + 60$ s or t_2 .

Stage I (a): During this stage, an external bias is just applied to the device at t_1 . All the charged species electrons/holes and ions respond to the applied bias and start moving. However, due to high mobility and much faster speed of electrons/holes than ions, an electronic current is observed instantly in very short time δ . But ions being heavy require more time to show any conductivity, and hence during this stage the current which shoots up is only the electronic current due to the applied bias, without any contribution from the ions (negligible ionic current). Let this instant electronic current due to the applied external bias be I_1 (at $t_1 + \delta$) for stage I.

Stage I (b): In this stage, after δ s of applying external bias, the ions start to drift slowly under the effect of external bias, leading to an ionic current with the same polarity as that of the electronic current. After few seconds, with continued motion of the ions under external bias, these oppositely charged ions start to pile up at the perovskite–electrode interface. This accumulation of ions at the electrodes leads to

an ion-induced electric field which is in opposite direction to that of the applied electric field. This opposing electric field partially cancels the applied electric field and results in the reduction of net electric field across the channel which leads to the decrease in the electronic current. With time, as more and more oppositely charged ions move toward the corresponding perovskite–electrode interface and accumulate there, the ion-induced electric field increases continuously and net electric field across the channel decreases leading to the continuous and gradual reduction in the total current.

Stage I (c): After some time (α seconds), the accumulation of ions at the interface reaches the equilibrium condition and gets saturated. This saturation is attributed mainly to the lack of mobile ion vacancies for further motion. After the equilibrium condition is reached, there is no more increase in the ion-induced electric field and the net electric field across the interface becomes stable with no further changes. During this stage, the total current through the device become constant with no further reduction. This current is the resultant of the interaction between electronic current due to applied bias and ion-induced current and can be considered to be the difference of bias-induced and ion-induced current. Let this constant total current after the device reached the equilibrium is denoted as I_{10} . However, since the magnitude of bias-induced current is more than the ion-induced current, the direction of total current is same as the direction of the bias-induced current as shown in Fig. 4c.

(iii) **Stage II (after t_2 seconds)**

As in stage I, this stage can also be further divided into three substages as (a) t_2 to $t_2 + \delta'$ seconds, (b) $t_2 + \delta'$ to $t_2 + \delta' + \alpha'$, and (c) $t_2 + \delta' + \alpha'$ seconds onward where δ' is about less than $t_1/20$, α' is about $t_1/2$ (very similar to δ and α).

Stage II (a): At time t_2 , the external bias is just removed and only the ion-induced electric field exists across the channel which is in opposite direction (to the previous net electric field across the device before t_2). This results in the instant switching of the polarity of the net electric field, and hence the current across the device (during the δ' seconds). During this stage, the net current is only the electronic current due to ion-induced electric field, and hence this instant current right after the external bias is removed (at $t_2 + \delta'$) at stage II can be denoted as I_2 .

Stage II (b): After $t_2 + \delta'$ seconds, due to the absence of the external bias which were acting as the driving force for the accumulation of ions, the accumulated ions start diffusing away from the perovskite–electrode interface toward the bulk of the material with time. This leads to the gradual decrease in the ion-induced electric field and hence continuous reduction in the net current across the channel.

Stage II (c): After sufficient time, when all the ions are returned back to their initial equilibrium position in the bulk of the material, the ion-induced electric field is reduced to zero. This leads to a zero total current in the device.

This behavior of current under this kind of applied bias is studied by Li et al, and the above-explained temporal behavior of current curves of the thin-film perovskite device of this type upon the application and removal of the bias voltage is shown in Fig. 4 along with the applied bias sequence. Such switchable behavior of current

under external bias is attributed to the ion migration within the organolead halide perovskite as explained above.

3.1.2 Quantification

Dehui Li and group characterized the contributions from electronic transport and ionic conduction quantitatively and determined the magnitude of ion-induced electric potential in these devices by investigating the temporal response of current in stage I (external bias applied) and stage II (external bias removed). They fitted the transient response at both stage I and stage II using the bi-exponential function. The example of fitting results for the temporal response of the total current under the applied external bias of 3 V is shown in Fig. 5a [3].

For stage I, the fitting bi-exponential function is expressed as

$$I(t) = I_{10} + (I_e - I_1) \exp\left(\frac{-t - t_1}{t_e}\right) + (I_1 - I_{10}) \exp\left(\frac{-t - t_1 - \delta''}{\tau_1}\right) \quad (2)$$

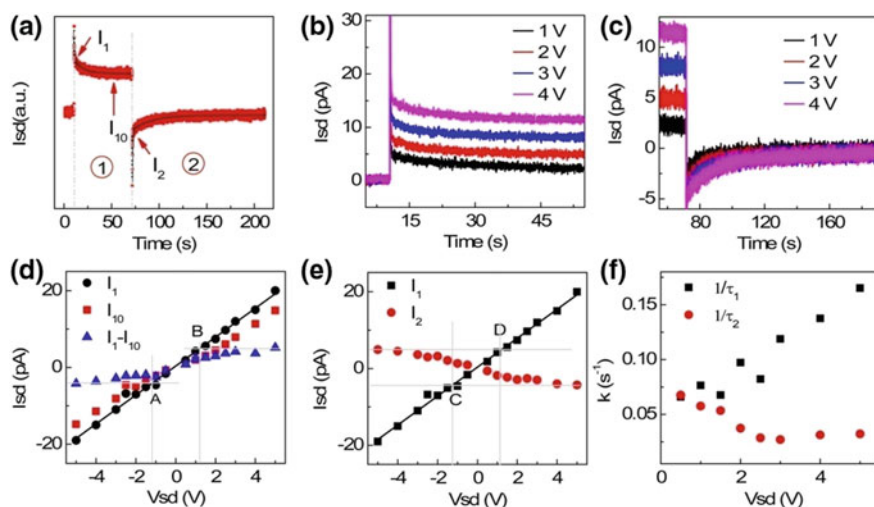


Fig. 5 External bias-dependent temporal response in organolead halide perovskite. **a** Temporal response curves following +3 V biasing at 296 K for a organolead halide device in the dark. **b**, **c** The applied external bias dependence of the temporal response curves for stage I (**b**) and stage II (**c**) for organolead halide perovskite film in the dark. **d** The applied external bias dependence of I_1 , I_{10} , and $I_1 - I_{10}$. **e** The applied external bias dependence of I_1 and I_2 . **f** The applied external bias dependence of ion decay rate [3]. Copyright (2016). Reprinted with permission from the American Chemical Society

where I_e and τ_e are related to the equipment response (RC delay) which are nearly identical for both stage I and stage II; τ_1 is the time constant of ion migration under applied bias for stage I; δ'' is the relaxation time of the equipment (less than 1 s).

Similarly, the temporal response curve of stage II can be fitted using the same bi-exponential function as

$$I(t) = I_{20} + (I_e - I_2) \exp\left(\frac{-t - t_2}{\tau_e}\right) + (I_2 - I_{20}) \exp\left(\frac{-t - t_2 - \delta''}{\tau_2}\right) \quad (3)$$

where I_{20} is the total constant current when the device reaches the equilibrium condition after removal of external bias at stage II (c) which is essentially zero; I_e and τ_e are related to the equipment response (RC delay) which are nearly identical for both stage I and stage II; τ_2 is the time constant for ion diffusion or restoration at stage II; and δ'' is the relaxation time of the equipment (less than 1 s).

Therefore, these parameters are measured experimentally, and the information on the electronic and ionic transport are extracted. The dynamics of ion transport can be deciphered by the decay time constants τ_1 and τ_2 since ion transport is responsible for the decay in the current. This study is also done by *D. Li* as described in the following section.

3.2 Bias Dependent

On increasing the applied external bias, the more number of mobile electrons/holes move at higher speed toward the corresponding electrode, and hence the instant current produced due to this external applied electric field right after switching on the applied bias I_1 also increases. The ion-induced electric field also increases with the increase in the applied external bias but only up to a certain extent. After reaching a particular value, the ion-induced electric field does not increase further and saturates. This may be attributed to the lack of available mobile ion vacancies for migration and accumulation. Therefore, the ion-induced electric current across the perovskite channel also first increases with applied external bias and then saturates. This behavior under applied external bias is also verified by *D. Li* group as shown in Fig. 5(b-d).

In the study done by *D. Li* and group, the bias-induced electronic current I_1 shows a linear relationship with the applied external bias (V_{sd}) as shown in Fig. 5d which indicates the formation of the Ohmic contacts between the metal electrodes and the perovskite thin films. However, I_{10} the constant total current, after the device reaches equilibrium condition, shows a nonlinear behavior. This is due to the ion-induced electric field on increasing the applied bias. Here, I_1 is the current purely by the applied external bias and I_{10} is the resultant electronic current induced by the interaction between applied external bias and ion-induced electric potential

which means $I_{10} = I_1 - I_{\text{ion-induced}}$, and hence the electronic current induced by the ion-induced electric potential ($I_{\text{ion-induced}}$) is $I_1 - I_{10}$. Therefore, the difference between I_1 and I_{10} ($I_1 - I_{10}$) is the electronic current induced by the ion-induced electric field which is opposite in direction. This current is equivalent to the instant current I_2 after the removal of the applied external bias at stage II which is also the electronic current induced by the ion-induced electric potential only but with opposite polarity. Therefore, $I_1 - I_{10}$ and I_2 follow the same trend with increasing applied bias but with opposite polarity. As expected, in the study by *D. Li* and group ($I_1 - I_{10}$) first increases with increasing external bias (V_{sd}) and then saturates and reaches a stable value around ± 4.5 pA when the external applied bias V_{sd} reaches ± 3 V as shown in Fig. 5c. Based on the I_1 versus V_{sd} plot from Fig. 5d, the voltage required to generate the current of ± 4.5 pA is found to be ± 1.2 V. Therefore, from this, the conclusion is drawn that the maximum value of ion-induced electric potential across the device of channel width $20 \mu\text{m}$ is around 1.2 V. Similarly, I_2 first increases with V_{sd} and then saturates at the same saturation current ± 4.5 pA and voltage ± 1.2 V as shown in Fig. 5e. The identical saturation behavior of I_2 and $I_1 - I_{10}$ with completely identical saturation current and voltage verifies that the contribution of ionic current to the total current is negligible.

The dynamics of ionic transport is also determined by studying the behavior of decay rate k , defined as τ^{-1} ($k_1 = \tau_1^{-1}$, $k_2 = \tau_2^{-1}$) since ion transport is mainly responsible for the decay in the current. The time constant τ_1 represents the dynamics of ion transport with the applied external bias (V_{sd}) for stage I, whereas τ_2 represents the dynamics without external bias (V_{sd}) for stage II. As expected for stage I, at higher applied external bias (V_{sd}), the ions migrate faster and reach the equilibrium conditions. This means with increase in the applied bias, the time required to reach the equilibrium condition reduces, i.e., τ_1 decreases and hence the decay rate k_1 continuously increases with increase in applied external bias for stage I. However, k_2 (for stage II) first decreases and then reaches a saturation value. This is attributed to the fact that for the stage II, the accumulated ions barely depend on the diffusion to reach stable equilibrium state. Therefore, in this stage the decay rate, k_2 , relies more on the distribution of the accumulated ions near the electrodes rather than diffusion. Before the ion-induced electric field reaches the saturation value, the ions in the channel become more polarized, i.e., more separated from each other toward the opposite perovskite–electrode interface. This leads to a longer average diffusion length, and hence more time (τ_2) is required to restore the separated ions back to their initial positions. Therefore, the ion restoration rate or decay rate k_2 decreases with increase in bias for stage II. However, when the ion-induced electric field reaches saturation, there is no more increase in the separation between the ions toward the opposite electrodes and the distribution of the polarized ions reaches a stable state with no further change, resulting in the constant restoration rate k_2 . Here, k_1 increases linearly with the applied bias, whereas k_2 first decreases till the saturation state is reached at 3 V, after saturation, i.e., $V_{\text{sd}} = 3$ V the decay rate k_2 becomes constant.

3.3 Illumination Dependent

In the perovskite materials and devices, the illumination is reported to enhance the ion transport leading to degradation of device. Instead of band-like transport of high mobility electrons, the ion transport takes place by hopping mechanism to the neighboring sites with varying activation energies under different illumination intensities. Increasing the illumination intensity reduces the activation energy significantly, enhancing the ion migration, and hence reducing the stability of the device.

This ion transport and the gradual transformation of $\text{CH}_3\text{NH}_3\text{PbI}_3$ into PbI_2 under illumination can be understood considering the stage 0 and stage I explained in Sect. 1 under the subsection mechanism. Let's take the same case of applying the external bias across the perovskite material thin film in the lateral device structure: metal/perovskite thin film/metal (more specifically $\text{Au}/\text{CH}_3\text{NH}_3\text{PbI}_3/\text{Au}$) but this time under illumination. The whole process occurs in the same way as explained earlier in stage 0 and stage I, but this time the illumination plays a major role. After Γ^- and MA^+ ions have migrated to their corresponding electrodes at the end of stage I, Γ^- reduction and subsequent I_2 volatilization process takes place at cathode under high-field poling supported by the illumination. Also, the MA^+ ions migrated toward anode evaporate in form of CH_3NH_2 assisted by moisture along with illumination. This MA^+ migration from cathode to anode and evaporation leads to the gradual transformation of $\text{CH}_3\text{NH}_3\text{PbI}_3$ to PbI_2 . This behavior is confirmed in the study by Y.C. Zhao and group, where they analyzed the change in the contrast of optical images of perovskite thin film under high electric field poling along with different illumination intensities.

In a study to quantize light-enhanced ionic transport in lead iodide perovskite thin films, Zhao and group analyzed high-field poling behavior of the $\text{Au}/\text{CH}_3\text{NH}_3\text{PbI}_3/\text{Au}$ lateral structure device with a 50- μm gap filled with $\text{CH}_3\text{NH}_3\text{PbI}_3$ perovskite and applied bias of 100 V under three different illumination intensities (0, 5, and 20 mW cm^{-2}). The change in the contrast of the optical images as a result of mobile ions under high-field poling was recorded under the optical microscope as shown in Fig. 6(a–c) [4]. Under illumination, black lines were observed after 10 s of poling expanding from cathode toward anode, whereas no contrast change in the images of perovskite film induced by ion migration was observed under dark conditions as shown in Fig. 6c. This change in the contrast of the films as a result of exposing to the illumination serves as the evidence for light-enhanced ion migration in perovskites.

3.3.1 Quantification

The ionic conductivity (σ_{ion}) is determined by subtracting the electronic conductance from the mixed conductance, i.e., $\sigma_{\text{ion}} = \sigma_{\text{total}} - \sigma_e$. The mixed conductivity σ_{total} in the perovskite film is obtained by performing a current–voltage (I–V) scan

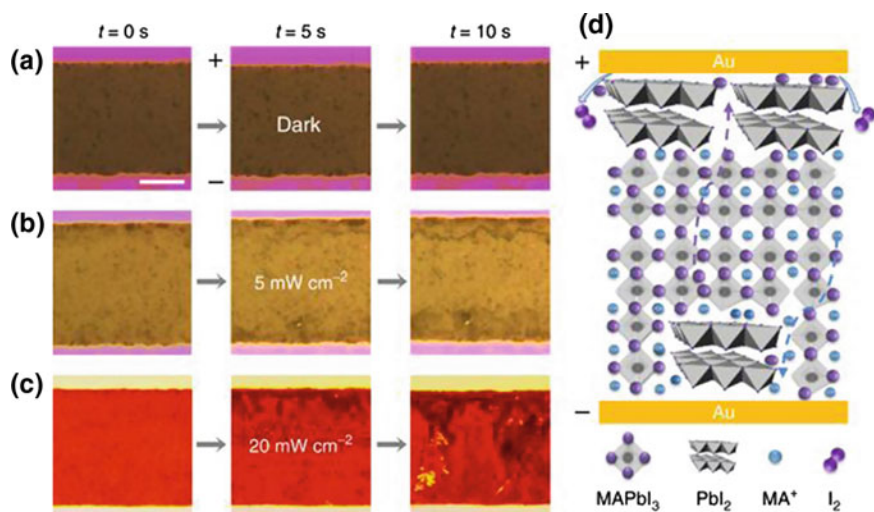


Fig. 6 a–c Optical dynamic images of perovskite film under electric poling and illumination of various intensities in ambient air at room temperature. **d** Schematic illustration of the ion migration of Γ^- anions and MA^+ cations through the film [4]. Copyright (2017) Nature. Reprinted with permission from Nature

first at the scan rate of 50 V s^{-1} in the $\text{Au}/\text{CH}_3\text{NH}_3\text{PbI}_3/\text{Au}$ device. After this, the standard technique for investigation of mixed conductors, galvanostatic characterization is performed with a sufficiently weak current to separate the pure electronic conductivity σ_e from the mixed conductivity σ_{total} . The rate-determining processes are ion migration and accumulation, whereas the electronic conductivity σ_e is used to determine the equilibrium value.

The schematic of the complete characterization of light-enhanced ionic transport is as shown in Fig. 7(a–e) [4]. In region I, initially both ions and electrons contribute to the conductance, corresponding to the fast I–V scan measurements (Fig. 7c). Subsequently, in region II only few ions contribute to the conductance of the perovskite films as these mobile ions get depleted gradually due to the accumulation at the two sides of the films (Fig. 7d). Finally, when all the mobile ions get blocked at the boundary, the conductance reaches a constant value with only electronic conductivity remaining in region III (Fig. 7e).

Zhao and group has extracted the pure electronic and ionic conductivities through the analysis described above over a wide range of illumination intensities and temperatures using the apparatus as shown in Fig. 6a. Under illumination, the screening effect produced by the photo-generated charge carriers leads to the reduction in ionization energy level. The corresponding ionization energies, under

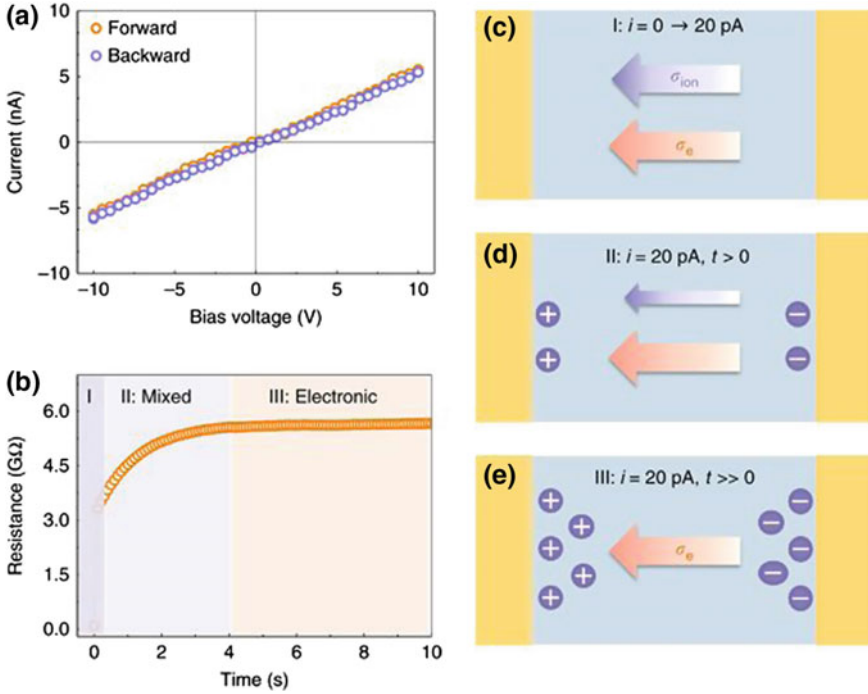


Fig. 7 Characterization method for separating the ionic conductance from the mixed conductance in the perovskite film **a** Voltage–Current (V-I) measurement of Au/perovskite/Au lateral device at 290 K under dark conditions. **b** Galvanostatic characterization of the lateral structure under dark conditions. **c–e** Schematic illustration of the conductance contribution from both ions and electrons in Regions I, II, and III, respectively [4]. Copyright (2017) Nature. Reprinted with permission from Nature

illumination intensities of 0, 0.05, 1, 5, and 20 mW cm^{-2} are 92, 84, 73, 44, and 53 meV, respectively, for the defects in the perovskite films. The semi-empirical formula is used to describe the corresponding electronic conductivity,

$$\sigma_e = ne\mu = N_d \times \exp(-\beta E_a) \times e(\mu_0 + aT^{-3/2}) + f(L_0) \times e \times (\mu_0 + aT^{-3/2}) \quad (4)$$

where N_d is the total defect concentration, β is the $1/k_B T$, k_B is the Boltzmann constant, E_a is the activation energy for the defects, a is the constant, L_0 is the light intensity, and $f(L_0)$ is the concentration of carrier generated by photo-excitation at an excitation power of L_0 .

The formula describing the hopping-like mechanism of ionic transport depends on the energy barrier E_a as

$$\sigma_{\text{ion}}T = ne\mu = \frac{Z_i e^2 N_A C_v D_0}{k_B V_m} \exp\left(\frac{-G_v}{5k_B T} - \frac{E_a}{k_B T}\right) = \sigma_0 \exp\left(\frac{E_a^{\text{eff}}}{k_B T}\right) \quad (5)$$

where Z_i is the ionic charge, N_A is the Avogadro's number, C_v is the concentration of intrinsic defects, V_m is the molar volume of perovskite, D is the diffusion coefficient, G_v is the formation energy of the vacancy defects, E_a is the activation energy for ionic diffusion, and E_a^{eff} is considered as excess vacancy formation energy in a vacancy-mediated mechanism.

Reflecting the reduction in activation energy for ionic transport, the corresponding slopes markedly decreases with increasing illumination intensities as seen from the linear region of the plot of $\sigma_{\text{ion}}T$ versus $1000/T$ from 140 to 295 K (Fig. 7e). There are two separate linear regions of the ionic conductance summarized in Table 1 as E_{a1} ($T > 250$ K) and E_{a2} ($180 < T < 250$ K). The E_{a1} values obtained in the range from 0.82 to 0.14 eV are assigned to MA^+ and Γ^- , whereas the quite small values of E_{a2} from 0.13 to 0.06 eV are assigned to H^+ . For both types of ions Γ^-/MA^+ and H^+ , as seen from the table, the activation energy shows a significant decline as the illumination intensity increases. This is consistent with the enhanced ionic conductivity observed in perovskites under high-intensity illumination. At constant external electric field, the ionic accumulation is accelerated by exposure to light because of the increased diffusion coefficient, $D = D_0 \exp\left(\frac{-E_a}{k_B T}\right)$.

Therefore, ionic transport becomes facile when light intensity increases.

This behavior of enhanced ion migration upon illumination has also been verified by D. Li in his study. Continuing to his work as explained in Sect. 3, he has performed the same experiment of applying external bias for a constant time and removing across the perovskite material in dark as well as under illumination. Based on the current decay rate k_2 , he calculated the ionic transport activation energy in dark and under illumination. The activation energy for ion transport under illumination (80 meV) was found to be much smaller than that in dark (260 meV), confirming that light illumination enhances the ion migration, consistent with the study done by Zhao as mentioned above. Lower activation energy for ion migration under light increases ion transport across the grain boundaries. Also, the ion-induced electric potential across the device of the perovskite thin film under

Table 1 The activation energies extracted from the ionic/electronic conductivities under different light intensities for MAPbI_3

Light intensity (mW cm^{-2})	E_{a1} ($T > 250$ K) (meV)	E_{a2} ($180 < T < 250$ K) (meV)	E_a (electronic) (meV)
0	Null	134	92
0.05	824	84	84
1	851	63	73
5	334	Null	44
20	144	Null	53

light illumination has been derived to be about 0.9 V, which is slightly smaller than that in dark (1.2 V). This may be attributed to the screening effect induced by photo-generated charge carriers.

3.4 Temperature Dependent

Among all the other factors responsible for the device degradation, temperature is also one of the most significant one. The temperature variations under the device operating conditions challenge the stability of the device. This may be attributed to the change in the behavior of ion migration with changes in the operating temperature as described below.

Continuing the study done by D. Li and group as in Sects. 3 and 3.1, he measured the temporal response of ionic and electronic transport at different temperatures has been explored. The external bias applied is 3 V to reach the saturation voltage. The thermally activated nature of the electronic current is verified by the exponential decay of the temperature-dependent I_1 and I_2 extracted from the temporal response curve (as explained in earlier section) with decreasing temperature. Arrhenius function is used to fit these exponential decay plots as

$$\ln(I) = C - E_a/k_B T \quad (6)$$

where I can be either the current decay (I_1 or I_2) or decay rate (k_1 or k_2), C is a constant, k_B is the Boltzmann's constant, T is the temperature, and E_a is the activation energy. The decay rate k representing the ionic transport was used to study the ionic transport dynamics. The relationship of k_1 or k_2 with T was well fitted using a single exponential function as shown in Fig. 8d. This suggests that only one type of ions (V_I) dominates the ionic transport in perovskite thin films. Otherwise, in case of contribution from more than one type of ionic species, bi- or tri-exponential function should have been used for fitting the k_1 and k_2 versus T curves.

In a more detailed study by Zhao, continuing his work as mentioned in previous section over a more wide range of temperature from 17 to 295 K is as shown in Fig. 9. There is a gradual increase in the resistance of the perovskite thin film because of slow depletion of ionic conductivity across the channel as reflected in the galvanostatic measurements at different temperatures (Fig. 9). Because of the reduced vibration frequency of ions at lower temperature, ionic relaxation time become large at low temperature and it is inversely correlated with temperature. This ionic relaxation time required to reach the steady-state resistance represents the kinetic constant k ($=1/\tau$) for ion migration at different temperatures from 100 to 295 K.

As expected, the pure electronic resistance decreases exponentially with increasing temperature and the corresponding defect ionization appears in an obvious linear region above 150 K (Fig. 9). Also, there is a conductivity flip below

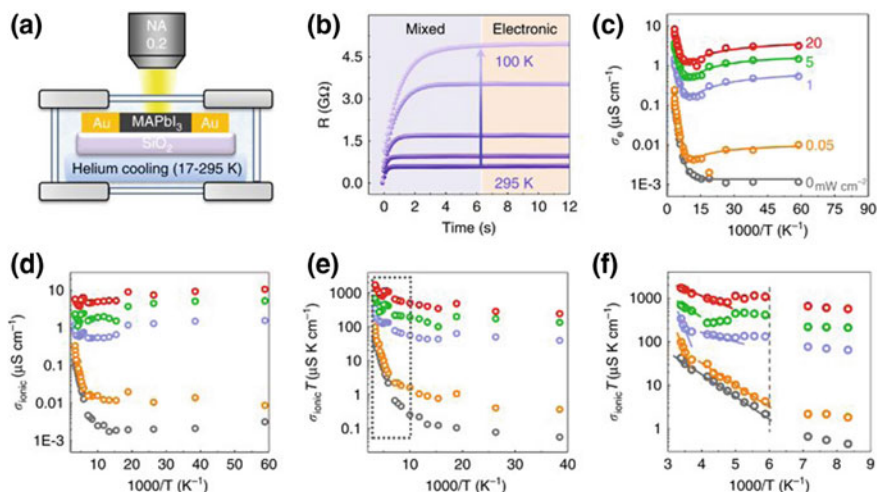


Fig. 8 Determination of electronic and ionic conductivities of perovskite film at various temperatures and various illumination intensities from 0 to 20 mW cm^{-2} . **a** The apparatus used in the experiments, with a helium cooling system. **b** Five typical galvanostatic curves (resistance vs. time) recorded at different temperatures. **c** Electronic conductivities at various temperatures from 17 to 295 K under various illumination intensities. **d** Ionic conductivities at various temperatures from 17 to 295 K under various illumination intensities. **e** Ionic conductivity multiplied by temperature, $\sigma_{\text{ion}}T$, as a function of $1000/T$ under various illumination intensities. **f** Zoomed-in view of the data in the dashed box in **e** [4]. Copyright (2017) Nature. Reprinted with permission from Nature

70 K under illumination. This behavior reflects an inverse power relationship of the mobility with the temperature as $\mu \propto T^{-3/2}$, from temperature 150 to 17 K. This is also reflected in mobility term of Eq. (5). The conductivity flip is very weak near 100 K without photo-excitation ($f(L_0) = 0$). This may be because of the extremely low carrier concentration near 100 K. The temperature-dependent ionic conductivity is as shown in Fig. 10 [3].

3.5 Morphology Dependent

A plethora of research is going on for the morphology optimization of perovskite thin film for the efficiency improvement of the perovskite solar cell devices. It has been reported in lot of studies that the efficiency and stability of the perovskite solar cell devices have been improved by optimizing the quality of perovskite thin-film devices. More compact, uniform, pinhole-free, and smooth films lead to more efficient and stable solar cell devices. The underlying reason behind this is the impact of large grain size, less grain boundaries, minimum vacancy concentration, and crystalline quality of the material in the device.

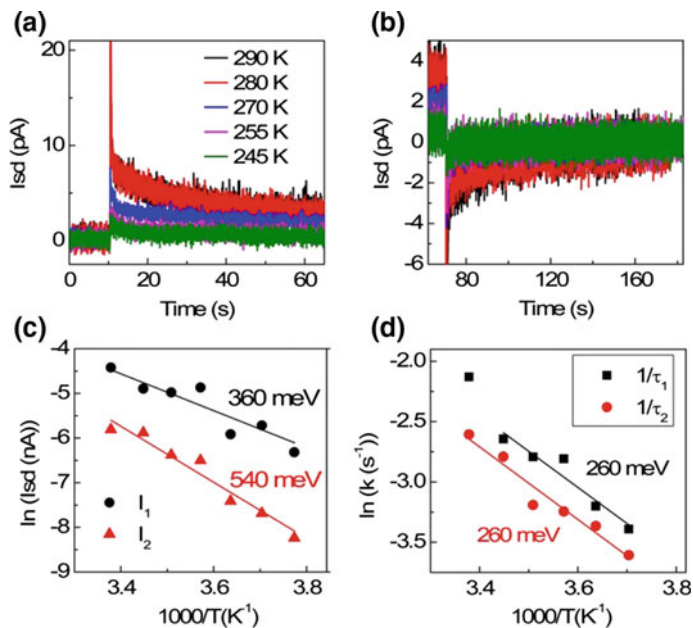


Fig. 9 Temperature-dependent temporal response in organolead halide perovskite. **a, b** The temperature dependence of temporal response curves for stages I (**a**) and II (**b**) under applied external bias of 3 V for the device under dark. **c** Arrhenius plots of the temperature dependence of I_1 and I_2 . **d** Arrhenius plots of the temperature dependence of ion decay rate [3]. Copyright (2016). Reprinted with permission from the American Chemical Society

The perovskite grain size is expected to affect the migration of ions since the devices with larger perovskite grain size have small number of grain boundaries and lower vacancy concentration. The ion migration is mediated by the ion vacancy concentration as discussed earlier. In case of highly crystalline single crystals, the perovskite grains are bigger in size and have fewer grain boundaries. This leads to lower concentration of ion vacancy required for ion migration, and hence activation energy for ion transport is much larger in more crystalline perovskite devices. However, in less crystalline or polycrystalline perovskite thin films, reduced grain size leads to larger grain boundaries and more defects in terms of ion vacancies. Therefore, perovskite thin films have more defects and ion vacancy as compared to the single crystals and facilitate ion migration. This enhanced ion migration in polycrystalline thin films leads to device degradation. Hence, a lot of research is going on to fabricate more crystalline perovskite thin-film devices to enhance device stability and efficiency.

Li and group also performed the same temporal response study (Sect. 3) on nearly single-crystalline microplate devices along with polycrystalline perovskite thin films with grain size typically of the order of 10 nm (Fig. 11) [5]. The perovskite thin films devices are fabricated by conventional spin-coating technique,

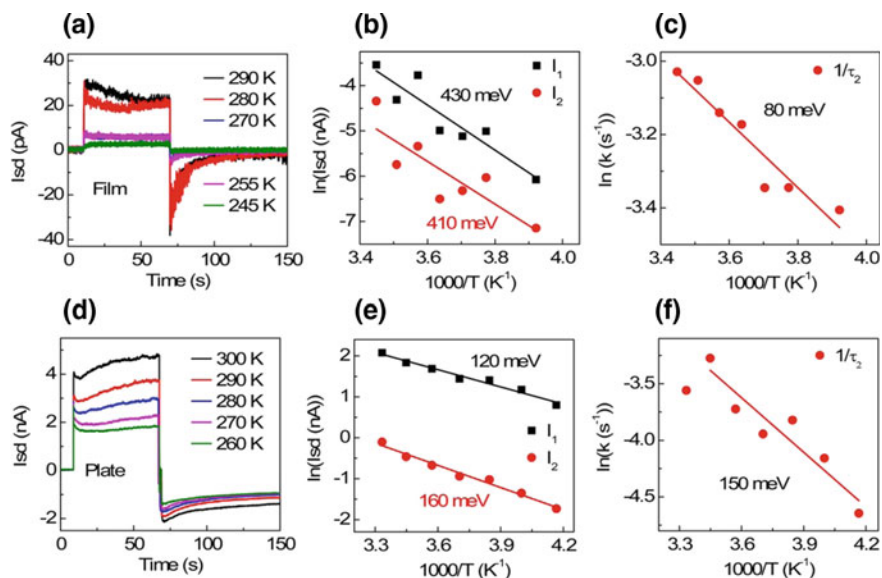


Fig. 10 Comparisons of the temperature-dependent temporal response in organolead halide perovskite film and microplate devices under illumination. The temperature dependence of the temporal response curves under the applied external bias of 3 V in the halide perovskite film device (a) and microplate device (d) under white light illumination. Arrhenius plot of the temperature dependence of I_1 and I_2 and ion decay rate k_2 in the halide perovskite film device (b, c) and microplate device (e, f) [3]. Copyright (2016). Reprinted with permission from the American Chemical Society

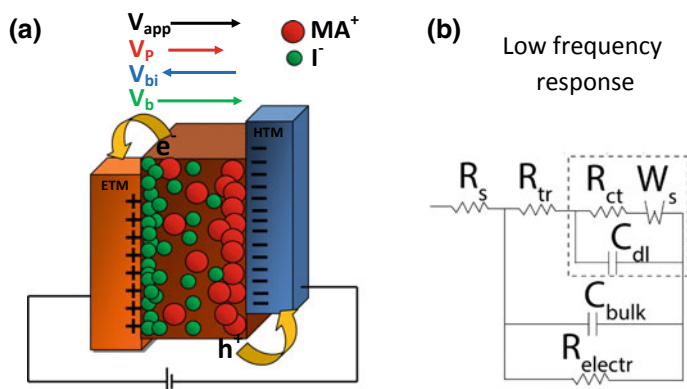


Fig. 11 a Schematic of the different fields V_b , V_{bi} , V_p , and V_{app} inside the perovskite material. b The equivalent circuit model of the device [5]. Copyright (2018). Reproduced with permission from the American Chemical Society

while the microplate devices are obtained by vapor-phase intercalation approach with larger grain sizes of micrometer-scale and fewer grain boundaries. Due to high crystalline quality of microplates with few defects leading to the lack of intrinsic charge carriers (electrons and holes), there is not any detectable dark current either under the applied bias V_{sd} or under the ion-induced potential, and hence transient measurement under illumination has been conducted to investigate the ionic and electronic charge dynamics in microplates.

3.6 Electronic and Ionic Transport Dynamics in Organolead Halide Perovskites

The ion migration activation energy is about 150 meV in microplate devices and about 80 meV in perovskite thin-film devices. The ionic transport rate in perovskite microplate devices is only about 10–25% than that in thin films.

The ion transport activation energy in microplates is considerably larger as compared to the thin-film devices. This large difference between the ionic migration energies of the microplates and films can be attributed to the better crystalline quality with larger grain size and fewer grain boundaries along with significantly reduced concentration of vacancy in microplates when compared with polycrystalline thin films. Therefore, ionic transport is highly suppressed in crystalline microplate devices; however, electronic transport is much easier than that in thin films. This is suggested by the low electronic transport activation energy in microplate devices (120–160 meV) as compared to that in thin-film devices (430 meV), which may be due to reduced scattering and charge trapping states at fewer grain boundaries in nearly single-crystalline microplates structure. More importantly, the higher ion migration activation energy and lower vacancy concentration leads to a considerably smaller ion-induced electric field of 0.3 V in the microplates (V_s 0.9–1.2 V in thin-film devices) and greatly suppressed hysteresis in current–voltage curves. Therefore, the improved crystalline quality of the material can significantly reduce the ionic transport, suppress or eliminate hysteresis, enhance electronic transport, and upgrade the efficiency and stability of the organolead halide perovskite solar cell devices.

4 Charge Transport Dynamics in Organolead Halide Perovskite Solar Cell (Device Geometry)

As discussed in the earlier section, in the absence of any ETM and HTM, there is no initial driving force for ion migration in the Au/CH₃NH₃PbI₃/Au device geometry. Therefore, the ions are either moving randomly or are at rest in the absence of any external disturbance. There is no net charge transport and accumulation in this case.

However, in perovskite solar cell device due to the presence of ETM and/or HTM, there is an initial driving force for the charge carriers created by the potential difference between ETM and HTM across the device.

In the device geometry of perovskite solar cells, the electron and hole transport layers are at different potentials creating a potential difference across the device (Fig. 11a). The absolute value of this potential difference between ETM and HTM across the device in the absence of any external bias is called **built-in potential** or **built-in voltage (V_b)**. This acts as the initial driving force for the migration of ions in perovskite. As a response to this V_b , the ions MA^+ and I^- start migrating even in dark and no-bias conditions. The V_b is in the direction from ETM to HTM, and hence positively charged MA^+ ions migrate toward HTM and negatively charged I^- ions migrate toward ETM accumulating at the corresponding electrodes. The accumulation of ions at these respective transport layers results in the modification of original built-in voltage. As the positively charged MA^+ is at HTL and the negatively charged I^- is at ETL, the resultant modified built-in field is from HTM toward the ETM (opposite to the direction of original V_b). Let this field created after modification of original built-in field due to the accumulation of ions at the respective electrode be effective **built-in field (V_{bi})**. This field plays an important role in deciding the kinetics of ion migration in the presence of external disturbance.

In the presence of illumination, the charges (electrons and holes) induced due to light are known as photo-carriers or photo-generated charge carriers. On application of external forward bias, the electrons move toward the ETM and holes migrate toward HTM leading to **photoinduced voltage (V_p)** due to this charge transfer. The direction of this V_p is from ETM to HTM, opposite to the effective built-in field (V_{bi}), and hence it tries to discharge the ions accumulated as a response to the original V_b under dark conditions. The interplay between these effective built-in field (V_{bi}), photoinduced voltage (V_p) and applied bias (V_{app}) decides the migration kinetics of ions in the perovskite material. Now under the combined effect of all abovementioned fields (V_{bi} , V_p , and V_{app}), the charges (ions and free electrons and holes) can follow different paths leading to the different behaviors of transport at the interface and bulk (Fig. 11a). Considering the charge transport in detail, the first and very common resistance in the path is contact resistance mostly due to connections of the device, from ETM and/or HTM and from the measurement apparatus itself (R_s).

After the charges have entered the device, they follow different paths attributed to their thermodynamics and kinetics. Most of the free charges electrons and holes due to their high mobility either pass easily or recombine facing the resistance due the charges at the interface and bulk known as electronic resistance (R_{electr}). Some of the charges (mostly ions) get stored in the bulk of the perovskite layer and accumulate there due to the existing fields in the bulk leading to a capacitive behavior at the bulk (C_{bulk}). The remaining free charges (electrons and holes) do not pass through the device easily as they are coupled with ion diffusion and accumulation at the interface. These free charges along with the coupled ions face the recombination/transport resistance (R_{tr}) at the interface. After that, these ions along with associated free charges either get accumulated at the interface due to

charge trapping and storage leading to the capacitive behavior at the interface or pass through the interface. The charge accumulation at the interface leads to the formation of a double-layer capacitance (C_{dl}). In the transport of ions and the associated free charges across the interface, there is transfer of charge as well as mass across the interface due to the ions. The charge transfer faces the resistance due to imperfect electrode contacts arising from imperfect films and double-layer capacitance known as interfacial charge transfer resistance (R_{ct}) and mass transfer is hindered by Warburg impedance (W_S) in Warburg ion diffusion across the interface. Considering this model of charge transport, the kinetic across the interface is modeled in form of an electrical circuit as shown below (Fig. 11b).

Generalized Warburg diffusion W_S is defined by the equation

$$W_S = A_W \frac{\tanh(j\omega T_W)^P}{(j\omega T_W)^P} \quad (7)$$

where T_W is the Warburg time constant; A_W is the Warburg impedance; and the exponent P is ~ 0.5 for finite-length Warburg diffusion. Warburg diffusion arises due to alkyl ammonium ion migration across the interface in hybrid perovskites, and hence it is a low-frequency component as described below.

As stated earlier, the hybrid organic–inorganic perovskite materials are mixed ionic–electronic conductors. This means that there is transport of ions as well as free carrier electrons and holes simultaneously in the device. Therefore, it is difficult to study the transport of only ions by normal techniques as they don't distinguish between ionic and electronic charge transport. However, Electrochemical Impedance Spectroscopy (EIS) is a powerful and nondestructive technique to study the ion transport processes in such mixed ionic–electronic conductors. EIS is able to distinguish between ionic and electronic charge transports as it allows the separation of the processes that occur on different time scales by examining the current response over a range of AC voltage frequencies. The alkylammonium ions are heavy and low in mobility transfer across the material in longer time (tens of milliseconds) as compared to the electrons ($<1 \mu s$). Therefore, the high-frequency spectrum is attributed to the impedance arising from the electronic transport and the low-frequency component is attributed to the impedance arising either from charge or mass transfer at the interface or Warburg ion diffusion. However, the time scale associated with iodine ion migration ($<1 \mu s$) is comparable to that of electronic transport. Therefore, the impedance response of iodine ion transport too is attributed to the high-frequency component of the spectrum and overlaps with the electronic response in the high-frequency semi-circle. Therefore, the low-frequency component of the EIS spectrum is only because of the alkylammonium ions in hybrid perovskites.

In the presence of external disturbance such as applied bias, illumination, and temperature, the migration of these ions is varied. This variation in the ion migration as a result of external disturbance is discussed below.

4.1 Bias Dependent

On application of forward bias to perovskite solar cells under illumination, the direction of applied bias (V_{app}) inside the perovskite material is in the direction from ETM to HTM. Since the direction of V_{app} is same as that of V_p and hence V_{app} adds to existing V_p , this added field induces further redistribution of ions throughout the bulk of the material. Therefore, V_{app} and V_p acting in same direction add up and compete with the oppositely directed V_{bi} and induce further redistribution of ions throughout the bulk and migration across the device.

Due to the interplay between these three fields, the nature of net field acting changes depending on the magnitude of applied bias V_{app} (Fig. 12). Let the net field acting across the material be denoted by V_{net} . This V_{net} is defined as resultant of all the three acting fields described above, i.e., $V_{net} = V_{bi} - (V_p + V_{app})$. Depending on the relative magnitude of these three fields, the behavior of ion transport across

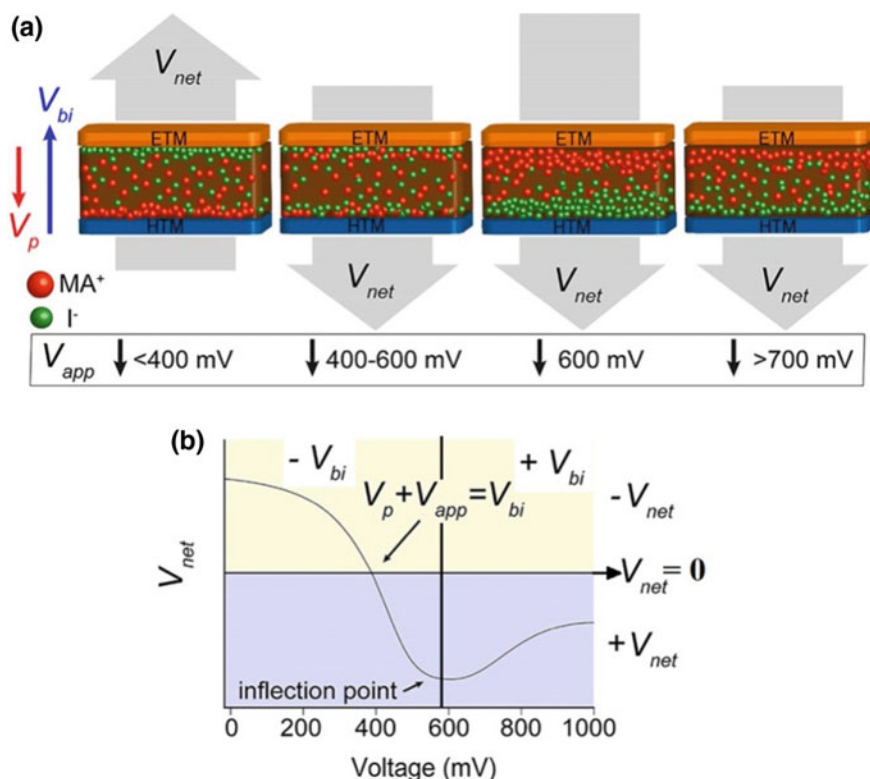


Fig. 12 **a** Schematic of the response in a perovskite device under the varying applied bias (V_{app}) as a result of interplay between V_{bi} , V_p , and V_{app} . **b** A qualitative representation of the magnitude shifts in V_{net} as a function of V_{app} [5]. Copyright (2018). Reproduced with permission from the American Chemical Society

the material can be described by considering three distinct response regions: in the low, medium, and high levels of V_{app} (Fig. 12a). In the beginning in the absence of any applied bias, the MA^+ ions are at HTM and Γ^- ions are at ETM as a response to the original built-in field (V_b) giving rise to effective built-in field (V_{bi}) as discussed earlier.

When the low level of V_{app} is applied, the added effect of V_p and V_{app} tries to compete and discharge the ion accumulation as a result of original built-in field (V_b). But this added field is not much higher in magnitude to affect the charge accumulation much. Therefore, the ions remain at their initial position and do not move much. However, as the V_{app} increases, the screening of the charges accumulated starts.

At a certain point, when the $V_p + V_{app}$ becomes equal to V_{bi} there will be no net directional driving force to induce ion migration. On increasing V_{app} beyond this point applying medium levels of V_{app} , the sum of V_p and V_{app} becomes more than V_{bi} and there is reversal in the direction of V_{net} . This reversal of the driving force will lead to the redistribution of ions and re-accumulation at the opposite electrodes of the device. Now, after reversal of V_{net} the MA^+ and Γ^- ions will start moving toward the ETM and HTM, respectively. These ions start getting accumulated there with increasing V_{app} in the medium level of V_{app} . As the ions start to accumulate at the opposite electrodes of the device, there is buildup of Coulombic potential and decay of effective V_{bi} . As the V_{app} increases, the Coulombic potential in the direction opposite to V_{bi} increases and V_{bi} decreases leading to continuous increase in V_{net} in the reverse direction.

At the end of the medium region, the ions get completely accumulated at the opposite electrodes. This means all the MA^+ ions get accumulated at ETM and all the Γ^- ions get accumulated at HTM. At this point, the effective built-in potential (V_{bi}) is generated again, but with opposite polarity. Since the positively charged MA^+ ions are at ETM and negatively charged Γ^- ions are at HTM, the direction of effective built-in field (V_{bi}) now is from ETM to HTM. Therefore, after this point, all the acting fields V_{bi} , V_p , and V_{app} are in the same direction and all will add up. This point at which V_{bi} changes polarity due to accumulation of ions at opposite electrodes is known as inflection point. On applying high level of V_{app} , V_{net} will increase further in the direction of V_p . At higher levels of V_{app} , V_{bi} gets saturated after all the ions got accumulated, and hence there is no further significant increase in V_{net} .

Therefore, these three regions can also be defined in terms of two significant points described above. The region starting from the zero volts to the point where $V_p + V_{app}$ become equal to V_{bi} is designated as low level of V_{app} . From this point ($V_p + V_{app} = V_{bi}$) to inflection point is the region of medium level of V_{app} , after the inflection point is higher level of V_{app} . For ITO/PEDOT: PSS/MAPbI₃/PCBM/Ca/Al device geometry, these regions come out to be as low (0–400 mV), medium (400–600 mV), and high (>600 mV) levels of V_{app} from experimental observations. In all these three regions, the parameters (C_{dl} , C_{bulk} , and A_w) described earlier changes with the change in distribution and migration of ions. The trends in circuit elements at low and high levels of applied bias are opposite in nature. The inflection

point is seen in the medium level of applied bias and the voltage at this point corresponds closely to the voltage at maximum power point of the device (V_{mpp}). These trends are attributed to the ion migration through the bulk and ion accumulation at the interfaces of the device as described below.

The double-layer capacitance (C_{dl}) and bulk capacitance (C_{bulk}) are associated with the accumulation of ion at the interface, and the resulting redistribution of electronic charges in the bulk, respectively. This type of ion buildup at the interface and in bulk may also pin electron charges and thus these can contribute to the absolute values of the capacitance. As already explained earlier, at low level of V_{app} , the distribution of ions is not much disturbed and they stay where they are until the V_{app} is increased further. Therefore, at low V_{app} there is very minimal change in both C_{dl} and C_{bulk} in the device with a certain degree of active layer degradation. At the low level of V_{app} , the ETM being positively charged under forward external bias attracts the Γ^- ions more toward itself with increasing voltage. Due to increase in the number of defect sites in the bulk, the ions are more mobile in the bulk leading to increase in the buildup of ions at the interface faster. This results in decreasing the depletion width at the interface leading to steady increase in the double-layer capacitance (C_{dl}) as the bias increases in this region. This kind of redistribution of ions at the interface induces changes in ion distribution within the bulk leading to a steady increase in the C_{bulk} too. The C_{dl} increases by $\sim 900\%$, whereas there is very modest increase in C_{bulk} of $\sim 42\%$. This may be attributed to the fact that effect of applied forward bias (V_{app}) is more near the interfaces as compared to in the bulk. Therefore, the redistribution of ions is more effecting at the interface than in the bulk leading to more significant change in the C_{dl} as compared to the C_{bulk} . At the point $V_P + V_{app} = V_{bi}$, the ions start moving toward the opposite interfaces leading to the discharging of interfacial ion accumulation and hence the capacitance C_{dl} at the interface during the medium region of V_{app} . Therefore, after this point there is continuous decrease in the value of C_{dl} in the medium V_{app} region. However, the value of C_{bulk} is still increasing during the discharging of C_{dl} . This may be attributed to the fact that discharging of ions accumulated at interface and their motion toward the opposite interface leads to accumulation of these ions in the bulk with decreasing depletion width for C_{bulk} for a short time interval during their motion toward the opposite electrode. This leads to steady increase in C_{bulk} during this interval at medium V_{app} . This means discharge of C_{dl} is accompanied by charge redistribution within the bulk leading to increase in the C_{bulk} during discharging of C_{dl} . This is the reason why the maximum value of C_{bulk} appears at higher V_{app} than the C_{bulk} maximum. At high V_{app} , the value of C_{bulk} starts decreasing after the inflection point is reached as the charges have already moved to the opposite electrodes and accumulated there. Also, there is continuous decrease in the value of C_{dl} since the point $V_P + V_{app} = V_{bi}$ till about the inflection point. After the inflection point, the value of C_{dl} decreases to its lowest value and saturates accompanying the continuous decrease in C_{bulk} during this time. At higher voltage, the value of C_{bulk} also starts saturating after reaching its lowest value attributed to saturation in the value of V_{bi} in this region of high V_{app} . Therefore, the maxima in C_{dl} occurs at the point $V_P + V_{app} = V_{bi}$ and the

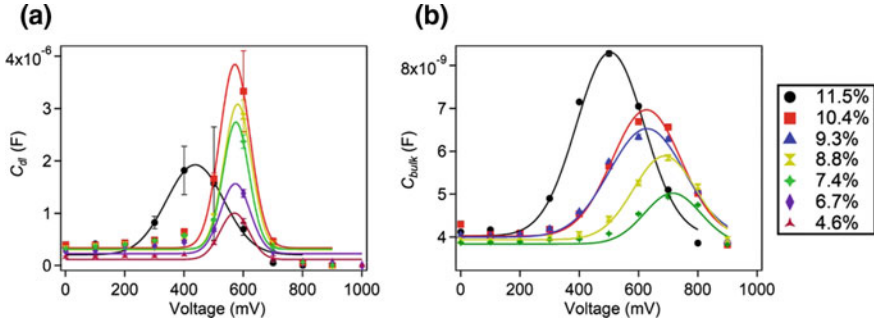


Fig. 13 Values for **a** double-layer capacitance (C_{dl}) and **b** bulk capacitance (C_{bulk}) from EIS equivalent fit circuit elements plotted as a function of DC bias at different device power conversion efficiencies [5]. Copyright (2018). Reproduced with permission from the American Chemical Society

maxima in C_{bulk} occurs at inflection point. As explained above, there is reversal in the trends of both double-layer and bulk capacitance at low and high level of V_{app} (Fig. 13). This can be attributed to the opposite nature of ion migration occurring in the two regions. At low level, the ions are accumulating more at their respective initial electrodes; however, at high level of V_{app} the ions are discharging and accumulating at opposite electrode. The direction of V_{bi} is also opposite in both the regions with respect to V_P . All these opposite trends of ion migration lead to the opposite trends in the circuit elements at low and high biases.

As discussed earlier, the ions are not much mobile at low V_{app} . On increasing the bias, they start discharging and moving in the opposite direction. Under higher biases in medium and high levels of V_{app} , they become more mobile. Therefore, Warburg impedance (A_W) and Warburg time constant (T_W) decrease on increasing V_{app} (Fig. 14). In the low V_{app} region, the ions don't significantly move. Hence, the A_W and T_W are almost constant and don't change much in this region. However, after the point $V_P + V_{app} = V_{bi}$, the ions start moving in opposite direction more significantly, and hence there is appreciable decrease in A_W and T_W after this point. Again at much higher bias, there is saturation in ion motion after they have reached the opposite interfaces and accumulated there. This leads to the constant A_W and T_W again at much higher bias.

Diffusion coefficient (D) and ionic conductivity (σ_{ion}) for the mobile ions can be estimated from T_W and A_W , respectively, using the following equations.

$$D = \frac{L_D^2}{T_W} \quad (8)$$

$$\sigma_{ion} = \frac{d}{a \times A_W} \quad (9)$$

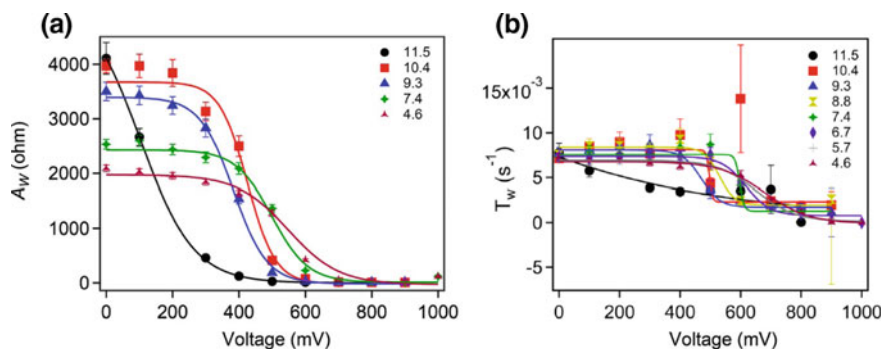


Fig. 14 **a** Warburg resistance (A_W) and **b** Warburg time constant (T_W) as a function of bias at different PCEs [5]. Copyright (2018). Reproduced with permission from the American Chemical Society

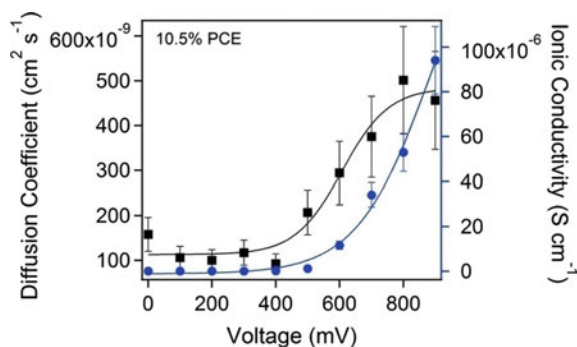
where L_D is the effective ion diffusion length, corresponding to the film thickness, a is the device active area, and d is the effective thickness of the active layer.

The effective diffusion coefficient and ionic conductivity remains constant at lower biases and steadily increase after the point $V_P + V_{app} = V_{bi}$ with increase in V_{app} as expected because of the similar reasons described above (Fig. 15).

4.2 Illumination Dependent

As discussed in the previous section, the fields responsible for the migration of ions in the hybrid perovskite materials are V_{bi} , V_P , and V_{app} . Under dark condition, the photo-generated field V_P will be absent. In this case, even after applying bias V_{app} , there will not be any ion transport. V_{app} alone will not be able to overcome V_{bi} to move ions to the opposite interfaces as described earlier. Therefore, the EIS plots under dark condition will show only a single charge transport regime at low forward

Fig. 15 Ionic diffusion coefficient (black, left) and ionic conductivity (blue, right) as a function of applied bias for device with PCE 10.5% [5]. Copyright (2018). Reproduced with permission from the American Chemical Society



bias voltage which is high-frequency spectrum attributed to impedance arising from electronic transport (Fig. 16a) [6]. However, the low-frequency spectrum attributed to the impedance arising from charge and mass transfer at the interface or Warburg diffusion will not be present. This indicates that only one type of charge transport (electronic) is predominant under dark conditions. The low-frequency component in EIS arises only in the illuminated perovskite associated predominately with the diffusion of ions (Fig. 16b).

In addition to the above effect of illumination on charge transport, the type of illumination also plays important role in ion migration dynamics. Comparing three types of light sources AM 1.5 G solar simulator, commercial white-LED light, and IR-only source (150 W commercial IR lamp), there is significant decrease in the low-frequency ion transport component under white-LED illumination [6]. The white light used does not emit IR or UV components. This indicates that ion transport is slower under white-LED as compared to solar simulator and IR-only source. Also, a lattice expansion is observed in the perovskite crystal (Fig. 17a) in case of solar simulator and IR-only source measured at operating temperature. However, no such structural lattice change occur when the device is exposed to white-LED alone at measured operating temperature of 28 °C (301 K). However, this transition reverses when the illumination is turned off. This reversible nature of device degradation is discussed in more detail in the upcoming section.

4.3 Temperature Dependent

As obvious, increasing the temperature will enhance ion migration and lead to faster degradation of the device. With increase in temperature, the activation energy for

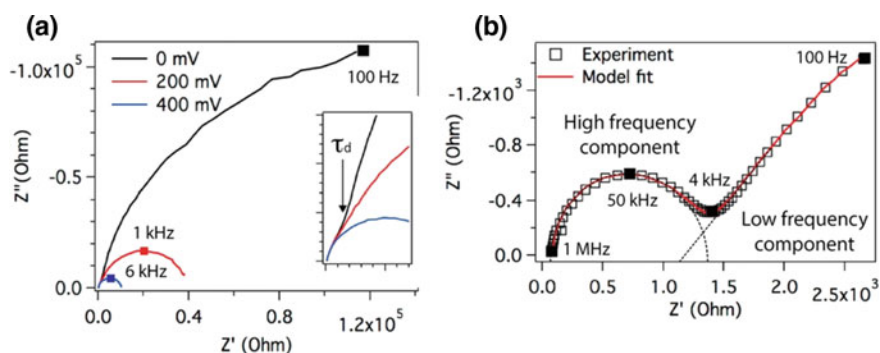


Fig. 16 **a** Nyquist plot of MAPbI₃ samples under dark. Inset: High-frequency component showing transport regime. **b** EIS plot of MAPbI₃ sample at 45 °C at 100 mW cm⁻² light intensity and 0 V applied bias showing both high- and low-frequency components [6]. Copyright (2015). Reproduced with permission from the American Chemical Society

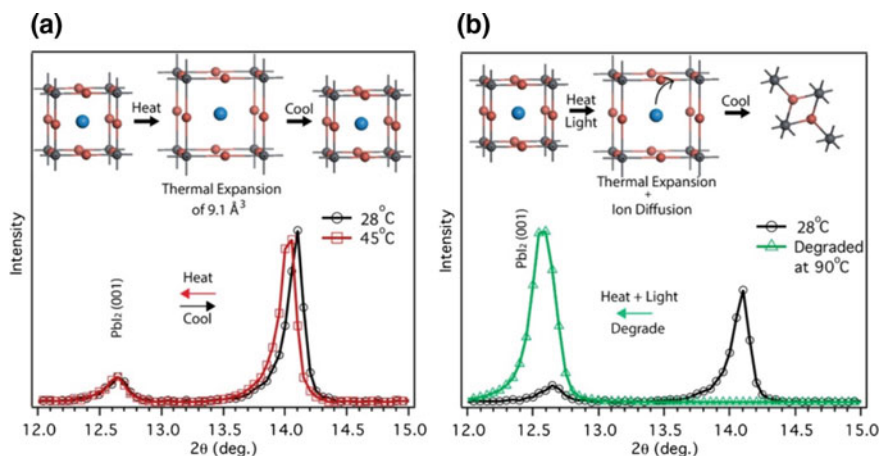


Fig. 17 **a** XRD of MAPbI₃ sample at room temperature (28 °C) and after heating with infrared lamp (~45 °C) in dark. The process is reversible once the device gets cooled. **b** XRD pattern of perovskite sample after prolonged heating in the presence of visible light, showing degradation of perovskite to PbI₂ structure [6]. Copyright (2015). Reproduced with permission from the American Chemical Society

ion migration decreases and ions became more mobile. This leads to easier ion transport inside the device and hence easier degradation.

On heating the perovskite device, lattice expansion is seen as discussed in previous section (Fig. 17b) leading to the conversion of CH₃NH₃PbI₃ perovskite to PbI₂ on further cooling. This transformation is not reversible as in case of illumination induced expansion. This may be explained as the MA⁺ ions after migration to one electrode breaks the bond with the crystal and evaporate at higher temperature leaving the lattice. Only Pb²⁺ and I⁻ ions are left in the sample and they combine to form PbI₂.

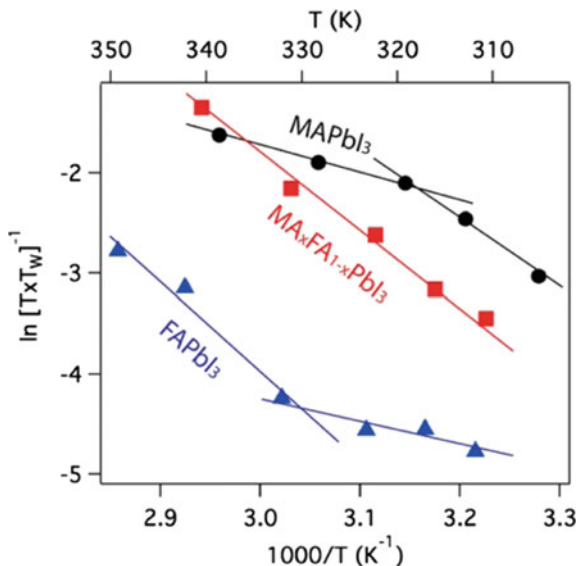
The activation energy (E_a) for ion diffusion can be estimated by the following relationship:

$$\frac{1}{T_W} = \frac{kT}{h} \frac{a^2 \alpha}{L_D^2} \exp\left(\frac{-E_a}{kT}\right) \quad (10)$$

where a is the lattice parameter for the perovskite, α is the coordination factor, and h is the Planck's constant.

As seen in Fig. 18, there is a discontinuity in Arrhenius-like behavior of ionic transport in CH₃NH₃PbI₃. This discontinuity may be attributed to the discontinuous volume change induced by a phase transition during lattice expansion. Also, as measured from the Arrhenius plot, the activation energy for ion migration is high at low temperature and it reduces significantly at higher temperature enabling the ease of ion migration at higher temperature in CH₃NH₃PbI₃.

Fig. 18 Arrhenius-like plot of $1/(T \cdot T_w)$ versus T^{-1} for MAPbI₃, FAPbI₃, and MA_xFA_{1-x}PbI₃ [6]. Copyright (2015). Reproduced with permission from the American Chemical Society



4.4 Size of Alkyl Ammonium Ion Dependent

The movement of ions also depends on the size of ion. The larger the size of the ion, the lower will be its mobility. Comparing the MAPbI₃, MA_xFA_{1-x}PbI₃, and FAPbI₃ perovskites, the migration of FA⁺ will be more difficult as compared to their counterparts in MAPbI₃ and MA_xFA_{1-x}PbI₃. The ionic diffusion coefficients follow the order of MA > MA_xFA_{1-x} >> FA. This means the diffusion coefficient is decreasing with increasing size of the ions. Ionic transport is slower in the perovskite with large-sized cation. Due to this reason, FAPbI₃ and MA_xFA_{1-x}PbI₃ are more stable than MAPbI₃ devices.

Also, MA_xFA_{1-x}PbI₃ does not undergo any kind of lattice expansion and structural phase transition. Their thermal expansion is quite low as compared to that of MAPbI₃. This can be seen in the Arrhenius plot (Fig. 18). The ionic diffusion in mixed system follows continuous Arrhenius behavior. For MA_xFA_{1-x}PbI₃, the same activation energy was observed for all the temperatures. Arrhenius plot for FAPbI₃ is also discontinuous indicating the phase transition. However, FAPbI₃ devices are stable attributed to their low diffusion coefficient.

5 Stability Issues

Despite tremendous progress in terms of device efficiency, perovskite-based materials lack stability especially under sunlight. The key issue of photoinduced degradation of perovskite-based solar cells prevents it from immediate

commercialization. There has been plethora of research to understand the degradation mechanism of perovskite-based solar cells under environmental condition. One of the common reasons for degradation of perovskite solar cells observed experimentally by multiple groups is the migration of mobile ions through the bulk of the film. The factors affecting the migration of ions and the overall device stability are discussed in the following sections.

5.1 *Role of Organic Cation*

It has been observed that the methylammonium lead triiodide degrades more rapidly than formamidinium lead triiodide-based perovskite devices or even a mixed cationic system due to the lower activation energy (shown in the table below) of methylammonium ions. The migration of ions can be even faster due to lattice expansion when the device is exposed to heat. MAPbI₃ transforms from tetragonal to cubic symmetry when it is heated up to 55 °C and the activation energy drops due to significant volume expansion of the lattice. A systematic study of perovskite solar cells reveals that the photodegradation under room light (no infrared component) is relatively low compared to the device exposed to sunlight or the device is exposed to room light at elevated temperature. By replacing methylammonium ion to a bigger ion like formamidinium or caesium or the combination of all three resulted in not only higher stability under dark condition but also the photostability in perovskite solar cells. The degradation is quasi-reversible as some of migrated ions diffuse back into the lead halide octahedral cage once the illumination is off. However, due to the trapping of ions at the grain boundaries as well as at the ETL or HTL interfaces result in gradual drop in device efficiency.

5.2 *Role of Halide Ion*

Although lead triiodide-based perovskites have shown the highest reported efficiency in the literature, the stabilities of such devices are poor compared to that of lead tribromide-based perovskite devices. Lead bromide has a shorter and stronger bond than lead iodide due to the smaller ionic radius of Br (1.96 Å) than iodine (2.2 Å). Therefore, the free volume of the octahedral cage is less in case of lead tribromide perovskites. Furthermore, the crystal structure of MAPbBr₃ at room temperature is densely packed cubic structure with $Pm\bar{3}m$ space group, whereas MAPbI₃ is disordered tetragonal with $I4/mcm$ space group. The activation energy required to migrate either halide ions or the organic cations can be significantly increased by shortening the lead–halide bond length with less amount of free space available for ion migration (Table 2).

Table 2 Activation energy of ions as reported by different groups

References	MAPbI ₃		MAPbBr ₃		FAPbI ₃		
	Defect	E_a (eV)	Defect	E_a (eV)	Defect	E_a (eV)	
[1]	V _I	0.08 (0.16)	V _{Br}	0.09			
	V _{MA}	0.46	V _{MA}	0.56			
	V _{Pb}	0.80	V _{Pb}	–			
	I _i	0.08 (0.16)					
[2]	V _I	0.58					
	V _{MA}	0.84					
	V _{Pb}	2.31					
[3] (Fig. 2.3)	V _I /V _I ⁺	Path P1	0.32/0.33		V _I /V _I ⁺	Path P1	0.55/0.50
		Path P2	0.44/0.45			Path P2	0.48/0.42
	V _{MA} /V _{MA} ⁺	Path P3	0.57/0.55		V _{FA} /V _{FA} ⁺	Path P3	0.61/0.57
		Path P4	0.89/0.89			Path P4	0.61/0.59
[4]	V _{MA}	<320 K	0.58	MA _x FA _{1-x} PbI ₃	V _{FA}	Operating Temp.	0.22
		>320 K	0.23			V _{MA} /V _{FA}	0.63

5.3 Role of Crystal Size and Purity

The photodegradation of perovskite nanocrystals is visualized by super-resolution luminescence microspectroscopy. Photoluminescence spectrum represents the crystal structure and its purity. The optical band gap of perovskite materials depends on the lead–halide–lead angle. If the angle is maximum, i.e., 180°, the band gap is minimum. As defect density of states increases, the bond angle decreases, and hence the band gap increases. Completely degraded structure will have bond angle of 120°. Therefore, by monitoring the PL spectrum, the structural changes are observed. It is also observed that the degradation rate of perovskite nanocrystals at its initial stage is slow and the photoluminescence remains unperturbed till the crystals starts to degrade rapidly. A three-dimensional perovskites then degrade into two-dimensional lead halide structure as shown in Fig. 19 [7]. Corresponding emission spectrum shows a gradual blueshift. Initial slow degradation is due to lack of defect sites present in the crystals; therefore, the migration of ions or vacancies is much slower. However, as the nanocrystal degrades, more number of defects sites, mostly vacancies get created. Increased defect density of states not only increases the ion mobility by opening up new pathways for ion migration, but also increases the probability of rapid collapse of the structure itself.

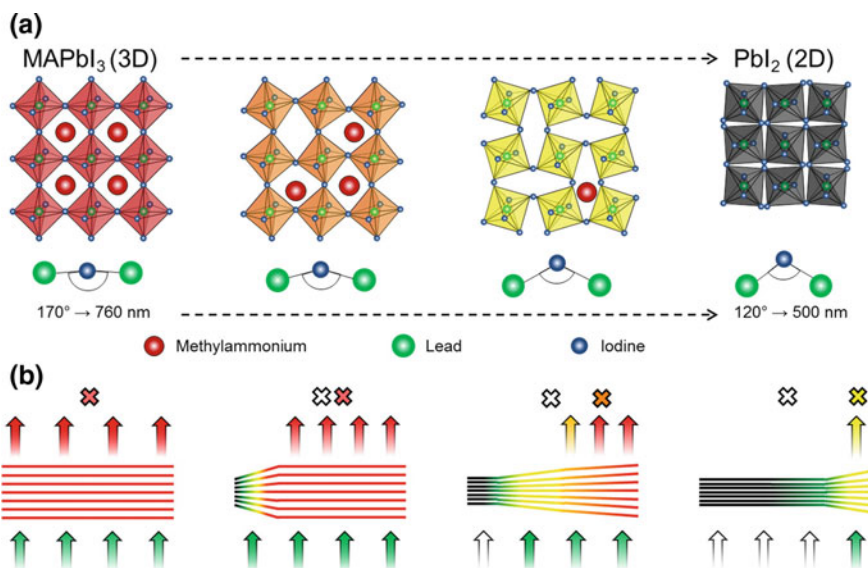


Fig. 19 Schematic representation of MAPbI₃ nanocrystal degradation under light exposure [7]. Copyright (2016). Reproduced with permission from the American Chemical Society

6 Conclusion

Ion transport in lead halide-based perovskite materials is unavoidable but can be minimized by many ways described in this chapter. The main cause of photodegradation in these materials is migration of ions, and hence it is important to stop the ion conductivity by reducing ion vacancies, increasing the size of cations, or by reducing space for ion migration. There have been numerous reports with strategy to improved photostability of perovskite solar cells. Clear understanding of ion migration in these mixed conductors will further help us to improve the photostability of lead halide-based perovskite solar cells for commercial utilization.

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Chapter 6

Perovskite Materials in Batteries



John Henao, Yilber Pacheco and Lorenzo Martinez-Gomez

1 Introduction to Perovskite Materials

Perovskite materials have been extensively studied since past decades due to their interesting capabilities such as electronic conductivity, superconductivity, magnetoresistance, dielectric, ferroelectric, and piezoelectric properties [1, 2]. Perovskite materials are known for having the structure of the CaTiO_3 compound and have the general formula close or derived from ABO_3 . Interestingly, perovskite materials can accommodate around 90% of metallic elements of the periodic table at positions A and/or B, maintaining the characteristic perovskite structure. This fact is incredibly advantageous for creating various combinations and allows obtaining compounds with a wide range of properties due to the complete or partial substitutions of cations [3].

Perovskite materials have been widely explored in applications related to their electrical, optical, and magnetic properties. They have been also used for the control of environmental pollution due to their thermal stability and catalytic properties. Other applications include sensing and adsorption of various aqueous and gas-phase

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species. In the field of electrochemistry, these materials have been employed as electrodes in rechargeable batteries and fuel cells [4].

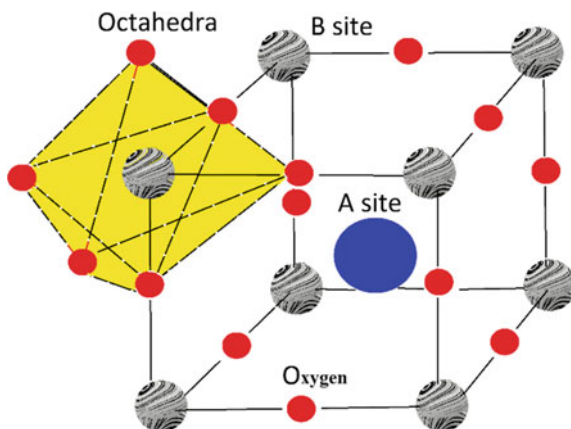
Specific examples of perovskite materials in engineering applications are EuTiO_3 and CaMnO_3 for energy-harvesting devices [5], doped LaFeO_3 and LaCoO_3 for solid oxide fuel cells [6], doped BaSrO_3 and BaFeO_3 for oxygen membrane separation [7, 8], SmFeO_3 and LaMnO_3 for gas sensing [9, 10], and NaTaO_3 and LaTiO_3 for photocatalytic cells [11, 12]. Due to its properties, perovskite materials have also called the attention of researchers for battery applications. For instance, the LaFeO_3 compound has been studied as negative electrode material for nickel–metal hydride (Ni/MH) batteries [13]. Other applications include perovskites as negative electrodes in Li–ion and Li–air batteries [4, 14]. The present chapter is focused on reviewing perovskite materials for battery applications and introduce to the main concepts related to this field.

1.1 Perovskite Structure

Perovskite materials took their name from the mineral called Perovskite (CaTiO_3), which was discovered by Gustav Rose in Russia in 1839 [15]. Ideal perovskite oxides present a crystal cubic structure with space group Pnma ($\text{Pm}\bar{3}\text{m}$ cubic system) described by the general formula ABO_3 , where A is a rare or alkaline earth metal and B is a first-row transition metal [16]. In this structure, cations with a large ionic radius coordinate to 12 oxygen atoms and reside in A-sites, while cations with a smaller ionic radius coordinate 6 atoms and reside in B-sites, Fig. 1.

According to the Goldschmidt's tolerance factor (t), which is an indicator for the stability and distortion of crystal structures and is defined by $t = (r_A + r_O)/\sqrt{2}(r_B + r_O)$ (where r_A , r_B , and r_O are the average ionic radiuses of A, B, and O atoms), the perovskite structure results most stable when t is close to the unit, that is, when it

Fig. 1 Schematic representation of the ABO_3 perovskite-type structure



forms a cubic structure. When t has deviation from the unit, the crystal structure tends to change from cubic to rhombohedral, orthorhombic, hexagonal, tetragonal, and monoclinic structures, depending on the deviation from Goldschmidt's tolerance factor. Overall, perovskites form in the interval from 0.75 to 1 [17].

Hundreds of compounds are known for presenting the perovskite structure, for instance, the FeMgSiO_3 called bridgmanite is one of the most abundant perovskite compounds in the earth [18]. Other types of perovskites are also found in the nature. For example, the $\text{Sr}_3\text{Ti}_2\text{O}_7$ is a layered perovskite compound, which is a common material in rocks [19]. Layered perovskites are usually a variation from ideal cubic perovskites. Especially, layered perovskites present a double-perovskite structure, that is, the unit cell is twice the unit cell of a conventional perovskites. They are formed by slabs of ABO_3 structure, which are separated by a secondary structure. The differentiating features for the layered perovskites are the type of secondary structure that separate the ABO_3 layers and the offsetting of the layers [20].

Three different basic layered perovskite structures are distinguished: (1) Dion–Jacobson-type structures, (2) Perovskite-like layered structures (PLS), and (3) hexagonal-type structures. They are formed by cutting the cubic perovskite structure across the (100), (110), (111) planes and by insertion of additional oxygen atoms. These structures have the following general formulas: $A'A_{n-1}B_n\text{O}_{3n+1}$, $A_nB_n\text{O}_{3n+2}$, and $A_nB_{n-1}\text{O}_{3n}$, respectively, where n represents the number of BO_6 octahedra that span one layer, and therefore specifies the thickness of the perovskite layer. In this structure, alkaline earth or lanthanide metals usually are located on the A positions, while the B cations are often niobium or titanium [20, 21]. Among the three different basic perovskite layered structures, the $A_nB_n\text{O}_{3n+2}$ PSL family has attracted most attention for technological applications due to their interesting ferroelectric and electrochemical properties [22]. In particular, perovskite layered oxides have been used as electrodes and materials for catalysis in metal–air, Li–ion, and Ni–MH batteries.

1.2 Preparation Methods

Several synthesis methods for the production of perovskite oxides are reported in open literature available [23]. Three main methods are distinguished among the several studies carried out in the field of batteries; these methods are the glycine–nitrate method, the solid-state reaction route, and the sol–gel technique [24]. The glycine–nitrate method involves the use of nitrates instead of oxides as precursors, nitric or stearic acid as dispersant, and glycine as fuel. Precursors, dispersant, and fuel are all mixed in distilled water and heated until the excess free water evaporates. Afterward, the resulting viscous liquid is autoignited by placing it in a pre-heated plate, which is then calcined at a temperature of about 1200 K for 3–5 h [25]. Several authors have used this method to obtain perovskite powders for battery applications. For example, Wang et al. [26] employed the glycine nitrate

method to prepare ABO_3 perovskite-type oxide to built-up negative electrodes for Ni/MH batteries. They used stearic acid ($C_{17}H_{35}COOH$) as both solvent and dispersant. In addition, they employed analytical grade precursors in stoichiometric amounts including the $La(NO_3)_3 \cdot 6H_2O$ and $Fe(NO_3)_3 \cdot 9H_2O$. The mixture was stirred by a magnetic mixer until a homogeneous solution was produced. The solution was ignited in air and the powders obtained were used as feedstock powder for the production of negative electrodes for Ni–MH batteries. It is worth mentioning that other investigations add a final step of calcination at 1123 K to favor the development of the perovskite-type structure.

Alternatively, in the solid-state reaction route, the mechanical mixing of high purity precursor oxides (99% purity) and carbonates or salts is followed by heating at temperatures of about 1500 K. The solid-state reaction route is often performed for 8–24 h, which allows the rearrangement of cations and favors the formation of the perovskite-type structure [27]. The reactions are usually presented at the interface of the mixed solids as ions diffuse from the bulk to the interface between particles. As a final step, the sintered material is often milled to obtain micrometric powders that subsequently are used to produce electrodes for batteries.

Another common synthesis method for the production of perovskite-type oxides is the sol–gel method. In particular, the sol–gel “Pechini” method is very common for the preparation of perovskite-type compounds [28]. The Pechini method involves the mixing of precursors (nitrates and/or oxides) by the addition of a chelating agent, ethylene glycol as the sol-forming product, desiccation, and calcination. Previous studies involving the usage of perovskite oxides for battery applications have reported the synthesis of ABO_3 perovskite-type powders by means of the Pechini method. For example, Song et al. [29] prepared $LaCrO_3$ starting from a mixture of $La(NO_3)_3 \cdot 6H_2O$, $Cr(NO_3)_3 \cdot 9H_2O$, citric acid, and ethylene glycol. These reagents were then dissolved in distilled water to form an aqueous solution and then stirred in a water bath at 353 K until a gel was formed. The gel was then desiccated in a drying oven at 353 K for 24 h. The gel obtained was ground and calcined at 1073 K for 2 h to form the final powders.

Overall, the sol–gel method is usually employed when small particle size is required. The sol–gel method allows the preparation of nanometric size powder while keeping homogeneous composition. This fact makes very attractive this method for the battery applications where diffusional and kinetic processes depend on the surface area of materials involved and its chemical composition. Alternatively, the solid-state reaction route is considered a high-temperature process that allows the preparation of micrometric powders. Comparing with the glycine–nitrate route and the sol–gel technique, the solid-state reaction route requires higher calcination temperatures and longer calcination times to yield pure crystals of oxides.

As an example, in the present book chapter, a layered perovskite-type oxide $Nd_2Ti_2O_7$ (Neodymium Titanate) with general formula $A_nB_nO_{3n+2}$ for $n = 4$ was synthesized by means of the sol–gel route. Tetrabutyl titanate ($Ti(OBu)_4$) and Nd_2O_3 were used as the precursors of Ti and Nd. The molar ratio of Nd/Ti was fixed at 1/1 and the amount of citric acid used was determined by $nCitricAcid/(nNd + nTi) = 2$. Nd_2O_3 (0.005 mol) was dispersed in a spot of water, and then 5 mL concentrated

HNO_3 was added to it drop by drop to dissolve the rare earth oxide. Citric acid (0.04 mol) was dissolved in 5 mL H_2O and then poured into the solution. The mixture was thoroughly stirred by the magnetic mixer. Then, the appropriate amount of $\text{Ti}(\text{O}i\text{Bu})_4$ (0.01 mol) was added with vigorous stirring. The solution was placed in a 60 °C liquid bath to vaporize water during 0.5 h. After 5 h, the mixture solution changed from colorless solution to pink solution and then to violet gel, see Fig. 2. The gel was then take out and calcined at 850 °C for 2 h in air.

In order to confirm the manufacturing temperature of the $\text{Nd}_2\text{Ti}_2\text{O}_7$ powder, DSC–TGA curves of the gel precursor were evaluated on the DSC–TGA–SDT Q600 thermal analysis instrument in ambient air and calefactive velocity of 20 °C/min as shown in Fig. 3. The DSC–TGA curve reveals the formation of four peaks. The first exothermic peak (90–125 °C) with relatively large weight loss (27%) is caused by the evaporation and burning of the organic substance. The second exothermic peak (360 °C) is attributed to the combustion of remaining organic substance and the third exothermic peak (514 °C) is related to the crystal lattice energy released during the formation of perovskite-type structure. The last exothermic peak is from the final formation of $\text{Nd}_2\text{Ti}_2\text{O}_7$. The measured overall weight loss is 77.26%, which indicates the complete removal of all the citric acid and all the organic substance. No further weight loss was above 815 °C, suggesting that the $\text{Nd}_2\text{Ti}_2\text{O}_7$ can be fabricated at about 815 °C.

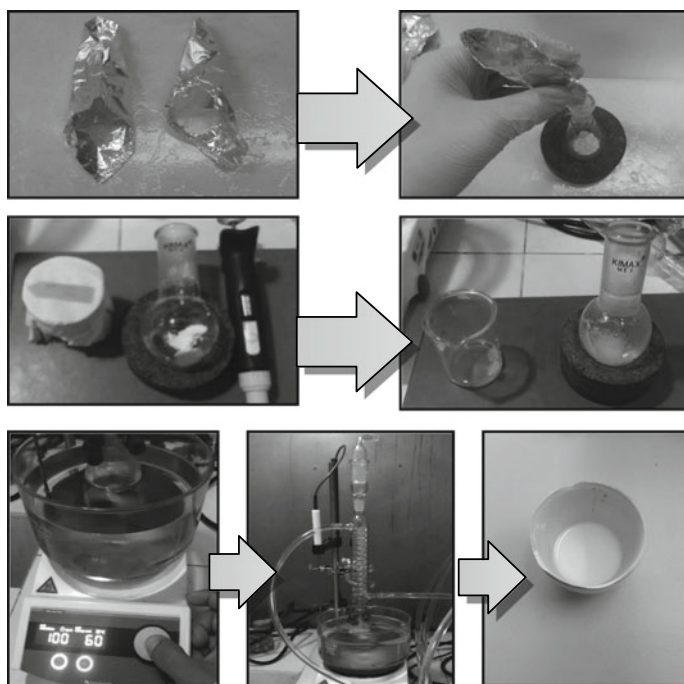
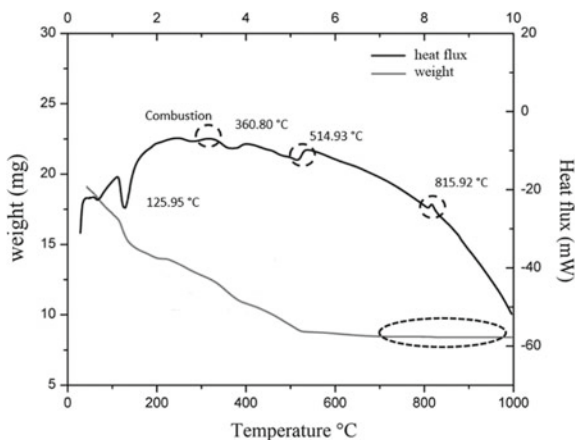


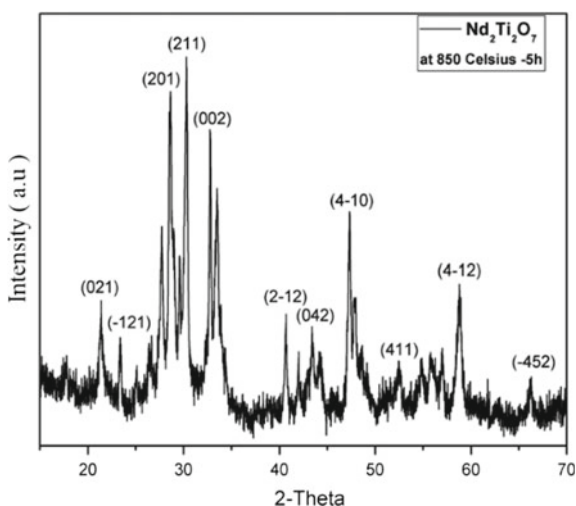
Fig. 2 Synthesis route for the gel precursor

Fig. 3 DSC–TGA analysis of the gel precursor



The crystal structure of the calcined neodymium titanate powder was analyzed by X-ray diffraction (XRD) using a specular reflection mode (Bruker D8 Advanced, Cu K α radiation, $k = 0.154056$ nm) over the angular range of $20^\circ < \Theta < 80^\circ$ with a step size of 0.008° and measuring time of 300 s per step. Figure 4 shows the XRD patterns of Nd₂Ti₂O₇. This pattern confirms the high crystallinity of the product. The XRD pattern is quite consistent to a single phase of layered perovskite Nd₂Ti₂O₇ and is indexed based on a monoclinic structure ($a = 7.6951494$ Å, $b = 13.044494$ Å, $c = 5.4716635$ Å y $\beta = 98.91025$) (CIF-2237725). These results suggest that the Nd₂Ti₂O₇-layered perovskite oxide, which usually forms at high temperature in conventional method (1100 °C) with a long reaction time (50 h), can be successfully synthesized at a relatively low temperature (815 °C) with shortened reaction time (2 h) by using sol–gel.

Fig. 4 XRD analysis of the Nd₂Ti₂O₇ powder obtained



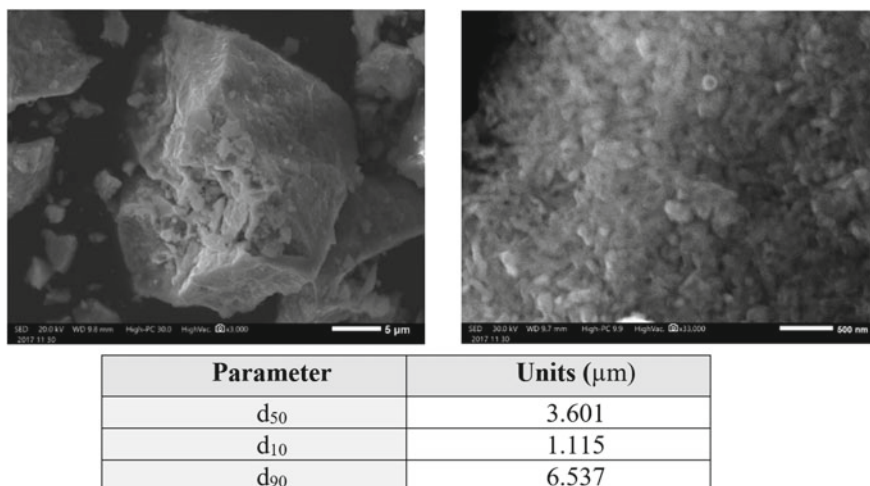


Fig. 5 SEM images of the calcined $\text{Nd}_2\text{Ti}_2\text{O}_7$ powder and particle size distribution analysis

The morphology and particle size distribution of the calcined powder was also studied by means of Scanning Electron Microscopy (SEM) and by using a laser diffraction equipment (LS1057083 Laser Diffraction, Malvern Instruments). The results of morphology and particle size analysis are presented in Fig. 5. These results reveal that irregular particles were obtained, which formed agglomerates of small nanometric powders. The agglomerates presented a mean particle diameter of 3.601 μm.

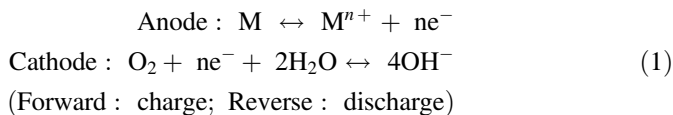
Usually, the perovskite-type oxide powders obtained by any of the methods described in the present section are further processed to build up electrodes or bulk parts that are intended to be used in batteries. In the next section, specific applications of perovskites in batteries will be discussed.

2 Perovskite Materials in Batteries

Open literature suggests that perovskite-type oxides have been often studied for applications in metal–air (Li–air, Al–air, Zn–air) [30, 31], Li–ion, and Ni–metal hydride (Ni–MH) batteries [32, 46]. To understand the usage of perovskites in the different battery technologies, a brief description of the operation of these technologies is presented in this section.

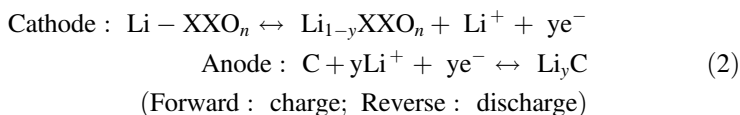
One of the battery technologies linked to numerous reports of the usage of perovskite-type oxides is the metal–air technology. The operation of a metal–air battery is associated with the metal oxidation at the anode, while an oxygen

reduction reaction occurs at the air-breathing cathode during discharge. Catalysis particles are often used on the surface of the air diffusion cathode, which reduces the O_2 from the surrounding air. Typically, these types of batteries use an aqueous or an aprotic electrolyte. The reactions in a metal–air battery can be described by the following equations [31]:



where M presents the metal and n is the oxidation number of the metal ion. The metal anodes are often alkali metals (e.g., Li, K, and Na), alkaline earth metals (e.g., Mg), or first-row transition metals (e.g., Fe and Zn) with good electrochemical equivalence; the air-breathing cathode often has an open porous architecture that allows continuous oxygen supply from surrounding air.

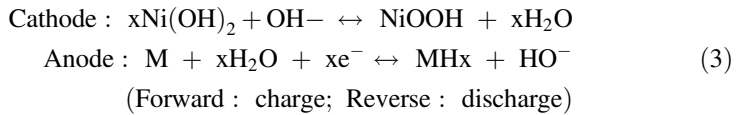
Alternatively, the Li–ion battery technology has been another opportunity field for the usage of perovskite materials. The operating principle of a Li–ion cell is based on the reversible exchange of lithium ions between the positive and negative electrodes, throughout the cycles of charge (positive delithiation) and discharge (positive lithiation). Each of the electrodes is made of materials that can be inserted or detached by lithium ions in their crystalline structure; these are called “active materials” or “intercalation materials.” During its use (cell in discharge), the negative electrode (site of an oxidation reaction) releases lithium ions which migrate through the electrolyte (ions conductor); these ions are inserted in the crystal lattice of the active material at the positive electrode (site of a reduction reaction). The passage of each lithium–ion by the internal circuit of the battery is exactly compensated by the passage of an electron in the external circuit to maintain electrical neutrality in each of the electrodes, thereby generating an electric current. During the charge, the cell operation is exactly the reverse process; in that case, external energy is necessary to reverse the reaction in electrodes. The reactions in a Li–ion battery can be described by the following equations [33]:



where X represents a metal and n is the number of oxygen in the oxide compound. Some examples of materials used as cathodes are $LiCoO_2$, $LiFePO_4$, and $LiMn_2O_4$. At the anode, the most common materials are carbons (graphite, coke, or hard carbon) and lithium titanate [34].

Another battery technology involving the usage of perovskite materials is the Ni–MH or Ni–oxide. This technology consists of a positive electrode (cathode) which experiences +2/+3 oxidation state change promoted by the electrochemical reaction during charge. Protons released from the cathode recombine with

hydroxide ions in the electrolyte. In the anode, a hydrogen storage compound is in charge of storing hydrogen in a reversible way. During charge, the applied voltage splits water molecules into hydroxide ions and hydrogen protons. Consequently, the reduction of water permits hydrogen protons to be in contact with the hydrogen storage alloy. Protons enter then into the bulk of the alloy to form a hydride driven by the voltage and diffusion caused by the concentration gradient. The reactions in a Ni–MH battery can be described by the following equations [46]:



where M represents an intermetallic or an oxide compound. The most common materials used at the cathode and anode are Ni(OH)₂ and LaNi₅, respectively.

Perovskite oxides have been widely studied as catalysis materials for Li–air batteries [36, 37]. In Li–air batteries, it is essential to catalyze the charge and discharge reactions in order to reduce kinetic losses because of breaking and forming of the O–O bond at the air-breathing cathode [35]. There is a growing interest in employing perovskite oxides in Li–air batteries due to their capacity to promote oxygen reduction (ORR) and oxygen evolution reaction (OER) and excellent catalytic performance [38, 39]. In fact, perovskite materials have been widely used as catalysts for fuel cells. Overall, perovskite oxides provide good catalytic performance when the structure in the B-site has a single electron-filled, and therefore, provides the favorable bond energy of M–O bond for the ORR and OER. One example of a perovskite oxide used as catalytic compound in Li–air batteries is the LaNiO₃ [40]. Some studies have suggested that both B-site and/or A-site doping on LaNiO₃ can be beneficial for catalytic properties. For example, Du et al. [41] prepared perovskite oxide nanoparticles by means of the sol–gel route. They synthesized LaNiO₃, LaNi_{0.92}Mg_{0.08}O₃, and LaNi_{0.85}Mg_{0.15}O₃. Especially, the LaNi_{0.85}Mg_{0.15}O₃ presented the highest catalytic activity among the compound studied. Overall, the improved OER activities of perovskites are related to the high covalency of transition metal–oxygen bonds. Doping the B position is then beneficial for the transition metal–oxygen covalency, which favors the catalytic behavior in perovskites. Other examples of perovskites in Li–air batteries are Sr_{0.95}Ce_{0.05}CoO_{3-d} and the La_{1.7}Ca_{0.3}Ni_{0.75}Cu_{0.25}O₄ with a layered perovskite structure, which exhibit high catalytic activity toward electrochemical Li₂O₂ oxidation in aqueous and a nonaqueous aprotic electrolyte, respectively [42, 43].

Perovskite materials have been also studied as cathode material for the Li–ion battery technology [32]. In Li–ion batteries, cathode materials with both high ionic and high electronic conductivities are required for large energy density. Most of the cathode materials used in rechargeable Li–ion batteries possess hexagonal-layered structure or spinel structure and are usually poor electronic conductors [35]. Perovskite oxides have called the attention of researchers because their electronic properties can be controlled by doping A- or B-sites. In addition, due to the different

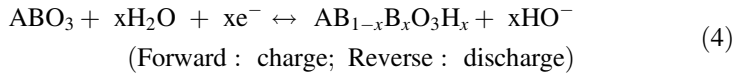
atomic sizes in the A- and B-sites and different valence states that can be obtained, the perovskite materials can act as materials for the intercalation and deintercalation of Li-ions during the charge and discharge processes. Examples of perovskite oxides studied as cathode materials for Li-ion batteries are $\text{La}_{1-x}\text{Li}_x\text{TiO}_3$ and $\text{LiNi}_{1/3}\text{Co}_{1/3}\text{Mn}_{1/3}\text{O}_2$ [44, 45].

Recently, ABO_3 perovskite systems were mainly focused on their electrochemical properties for the anode of Ni/MH batteries establishing what is normally called Ni-oxide batteries [46, 47]. Previous studies have revealed exciting results of their electrochemical capacity and cycle life especially in extreme environmental conditions [46]. Perovskite materials are known for their catalytic behavior in hydrogen-rich media, which have been the motivation to study these materials for electrochemical hydrogen storage. Overall, the usage of perovskite oxides in Ni-oxide batteries is based on the advantages presented for these materials in the catalysis and ionic conduction applications. For instance, perovskite oxides can be designed with a range of compositions and elements in A- and B-sites, which allow to tailor the electrochemical properties. In this sense, the stoichiometry and valence can be modified, so enhancing their hydrogen absorption properties. Other properties of interests for Ni-battery application are the high corrosion resistance of perovskite oxides and their thermal stability.

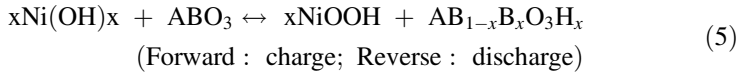
One of the first studies using perovskite oxides in the field of Ni-oxide batteries was carried out by Esaka et al. [48], who reported the $(\text{SrCe}_{0.95}\text{Yb}_{0.05}\text{O}_3)$ composition as negative electrode material for Ni-oxide batteries. Interestingly, this composition presented aqueous hydrogen absorption and desorption at room temperature. In fact, perovskite oxides have been widely studied as negative electrodes materials for aqueous Ni-oxide batteries. For instance, Deng et al. [13] also studied ABO_3 perovskite systems as negative electrodes reporting that compositions such as $\text{La}_{1-x}\text{Sr}_x\text{FeO}_3$ (for $X = 0.2$ and 0.4) present electrochemical activity, which is improved with temperature and is affected by the crystalline array. One of the key findings is that a rhombohedral structure in the $\text{La}_{1-x}\text{Sr}_x\text{FeO}_3$ system ($X = 0.4$) can accept more hydrogen within its structure in comparison to the orthorhombic one ($X = 0.2$). For example, the discharge capacity value of the rhombohedral structure was 77 mAh/g at room temperature; meanwhile, the orthorhombic structure presented a discharge capacity value of 54 mAh/g at room temperature. The environmental temperature is another crucial factor in the performance of perovskite materials in Ni-oxide batteries. Different authors have reported an increase of the electrochemical capacity of perovskites two to four times the value reported at room temperature. For example, Henao et al. [46] reported capacity values of the $\text{La}_{0.4}\text{Sr}_{0.6}\text{CO}_{0.8}\text{Fe}_{0.2}\text{O}_3$ compound that increased from 51.4 mAh/g at room temperature to 462 mAh/g at 333 K. Other authors have reported similar results [26, 29].

In perovskite oxides employed as negative electrode materials in Ni-oxide batteries, hydrogen insertion is associated with the electrochemical capacity of the system. Hydrogen absorption is given by the reduction of water at the interface electrolyte/electrode, where hydrogen protons are inserted within the perovskite

structure. The following reactions describe the behavior of an electrochemical Ni-perovskite (ABO_3 -type) oxide half-cell [46]:



In this manner, the total electrochemical reaction of a Ni/perovskite (ABO_3 -type) oxide battery is given by



According to the mechanisms proposed in the literature available, hydrogen protons are bonded with the lattice oxygen in perovskites. The chemical bond between oxygen and hydrogen is less strong when the bond between transition metals on the perovskite structure and oxygen is stronger. This phenomenon has been elucidated in various studies that have shown the effect of A-site and B-site substitution in ABO_3 compounds. In fact, it has been noted that doping affects strongly the electronic structure and chemical bonds, resulting in the enhancement of electrochemical properties [46, 49].

The improvement of the electrochemical properties of perovskite oxides in aqueous electrolytes in Ni-oxide batteries is another key property that motivates its application in these systems. The substantial improvement of the electrochemical capacity in perovskite oxides with temperature is often attributed to the improved atomic motion at the electrode/electrolyte interface and to their excellent corrosion resistance. It worth mentioning that mechanisms of improved electrochemical capacities of perovskite oxides have not been exposed at all; however, since hydrogen absorption is related to the bond H-O in the lattice, one can assume that when increased the environmental temperature more hydrogen protons are inserted in some extent into the perovskite structure. In fact, some authors have reported that free hydrogen atoms are usually present on perovskites because H-O bonds are broken with temperature [49]. At the same time, the increase of temperature favors their diffusion. Several experimental studies have demonstrated that hydrogen diffusion increases with the increment of temperature in perovskites [29, 46]. Table 1 summarizes the electrochemical properties of ABO_3 perovskite-type oxide used as negative electrode materials in Ni-oxide batteries so far.

Despite the numerous studies regarding the electrochemical properties of perovskites oxides, there is no evidence in the literature available on studying layered perovskite materials as negative electrode materials for Ni-oxide batteries. As mentioned above in this section, the basic lattice array is one of the key factors influencing the electrochemical properties of perovskites. For instance, in the $La_{1-x}Sr_xFeO_3$ perovskite compound, the electrochemical behavior changes remarkably when its basic lattice array is transformed from orthorhombic to rhombohedral because of the addition of the doping element from $x=0.2$ to $x=0.4, 0.6$, respectively [50]. Therefore,

Table 1 Compilation of properties for different ABO₃ perovskite-type oxide electrodes for Ni-oxide batteries reported in the literature

Perovskite-type oxides ABO ₃	Steady discharge capacity (mAh/g) at 125 mA/g		Diffusion coefficient (D) (cm ² /s)		Exchange current density (I ₀) (mA/g)		Reference
	298 K	333 K	298 K	333 K	298 K	333 K	
La _{0.6} Sr _{0.4} Co _{0.8} Fe _{0.2} O ₃	51.4	462	1.87×10^{-14}	*	39.9	*	[46]
LaFeO ₃	80	350	0.8×10^{-17}	4×10^{-17}	1.2	2.9	[13]
Nano-LaFeO ₃	130	375	1.1×10^{-14}	2.9×10^{-14}	28	80	[26]
LaCrO ₃	107	285	2.9×10^{-15}	*	38	*	[29]
LaGaO ₃	*	12	*	2.9×10^{-10}	*	70.6	[47]
La _{0.8} Sr _{0.2} FeO ₃	50	230	1.6×10^{-17}	4×10^{-17}	37.8	83.2	[50]
La _{0.6} Sr _{0.4} FeO ₃	70	350	0.8×10^{-17}	2×10^{-17}	42.8	78.3	[50]

*value not reported in the literature available

it may be very interesting to compare the mechanisms acting on layered perovskites with respect to traditional ABO₃ compounds. Due to the lack of literature available related to the usage of layered perovskites, in the present book chapter, it is presented one of the first studies carried out on layered perovskites as negative electrode material for Ni-oxide batteries. Electrode preparation and electrochemical studies performed on a layered perovskite oxide will be introduced in the next section.

3 Layered Perovskites as New Electrode Materials in Ni-Oxide Batteries

Layered perovskite oxides differ from conventional ABO₃ oxides in the sense that layered ones have a more complex structure including separation of basic perovskite array forming a type of channels. This subtle variation in the geometrical configuration of layered perovskites in comparison to conventional ones can be possibly reflected on the electrochemical performance of layered perovskites. Considering that hydrogen absorption in perovskites is associated with oxygen-related positions, one can expect that layered perovskites can also be able to support the insertion of hydrogen protons in their structure. In this section, a first approach on the study of layered perovskites as electrode material in Ni-oxide batteries is introduced. To achieve this goal, the Nd₂Ti₂O₅-layered perovskite compound previously prepared as nanometric powder by means of the sol-gel method according to the procedure presented in Sect. 2 has been used to prepare negative electrodes for a Ni-oxide cell.

3.1 $\text{Nd}_2\text{Ti}_2\text{O}_7$ Electrode Preparation and Electrochemical Setup

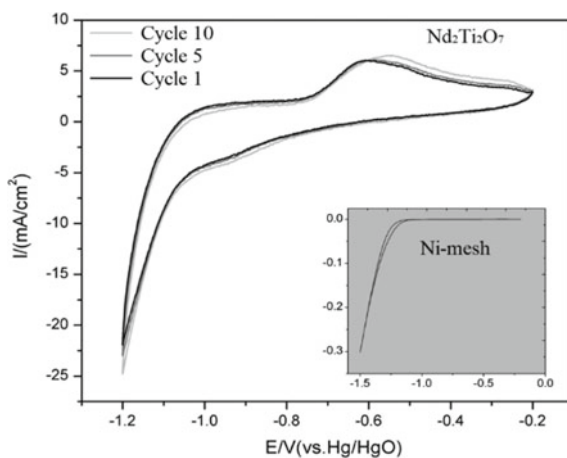
The $\text{Nd}_2\text{Ti}_2\text{O}_7$ electrodes were fabricated using the “latex” method. The $\text{Nd}_2\text{Ti}_2\text{O}_7$ nanometric powder was mixed with black carbon and polytetrafluoroethylene (PTFE). This mixture was prepared to provide the compound with good electrical conductivity and good handling during the electrochemical tests. The weight ratio of the $\text{Nd}_2\text{Ti}_2\text{O}_7$, carbon black, and PTFE was 90:5:5, respectively. Before depositing the powder mixture on the Ni-mesh, ethylene glycol was added to form a viscoelastic paste. A 1 cm^2 Ni-mesh was used as current collector, and consequently, it acted as support to deposit the active material. The deposited viscoelastic paste was dried for 2 h to evaporate the solvent. Then, a cold pressing machine was employed to cold-press the deposited active material onto the Ni-mesh at a pressure of 40 MPa.

The electrochemical performance of the prepared neodymium titanate electrodes was tested in a conventional three-electrode open-air cell (Potentiostat–Galvanostat, Gamry 1000E) using the layered perovskite compound as the working electrode, Hg/HgO as the reference electrode, Ni(OH)₂ as the counter electrode, and KOH (6 M) solution as the electrolyte.

3.2 $\text{Nd}_2\text{Ti}_2\text{O}_7$ Electrochemical Performance

Cyclic voltammetry experiments were performed to reveal the electrochemical reactions of the $\text{Nd}_2\text{Ti}_2\text{O}_7$ electrode in 6 M KOH aqueous solution. Figure 6 shows the cyclic voltammogram of the $\text{Nd}_2\text{Ti}_2\text{O}_7$ negative electrode.

Fig. 6 Cyclic voltammogram of the $\text{Nd}_2\text{Ti}_2\text{O}_7$ electrode



Cyclic voltammetry is normally used to study qualitative information about electrochemical processes, including the occurrence of oxidation and reduction reactions and the reversibility of a reaction [51]. In the present study, an oxidation peak appears at -0.9 V with respect to the Hg/HgO reference electrode, and a reduction peak appears at -0.625 V with respect to the Hg/HgO reference electrode. This pair of redox peaks suggests that a reversible electrochemical reaction is occurring on the $\text{Nd}_2\text{Ti}_2\text{O}_7$ working electrode. Interestingly, these peaks exhibit similar potential values compared with conventional electrodes used in Ni–MH batteries [52]. The desorption peak of hydrogen appears prior to the electrochemical oxidation peak of hydrogen, suggesting the possible existence of hydrogen chemisorption. Moreover, the reduction peak becomes more visible with increasing number of cycles, indicating that the $\text{Nd}_2\text{Ti}_2\text{O}_7$ electrode must be possibly activated. The inset in Fig. 6 corresponds to the cyclic voltammogram of the Ni-mesh used as current collector. Interestingly, the results demonstrate that there are no peaks indicating oxidation and reduction reactions involving the current collector material. In addition, if compared with the curve of the layered perovskite, it is clearly observable that the area under the curve of the layered perovskite is larger than that of the Ni-mesh, which directly related to the electrochemical capacity of the material. To quantify the capacity values of the layered perovskite electrode, the charge/discharge properties of the $\text{Nd}_2\text{Ti}_2\text{O}_7$ negative electrode were investigated using the chronopotentiometry technique. The working electrodes were fully charged and discharged at constant current densities of 10, 30, 60, and 125 mA/g. Table 2 summarizes the maximum discharge capacity values obtained in the experiments:

Results in Table 2 reveal that the electrochemical capacity of the layered $\text{Nd}_2\text{Ti}_2\text{O}_7$ perovskite compound is comparable with the electrochemical capacity values obtained for conventional ABO_3 perovskite oxides. For instance, recent studies focused on the usage of $\text{La}_{0.6}\text{Sr}_{0.2}\text{Co}_{0.2}\text{FeO}_3$ as negative electrode material for Ni–oxide batteries has reported electrochemical capacity values of about 51.4 mAh/g at 298 K and discharge current density of 125 mAh/g [46]. The fact that the electrochemical capacity of the electrodes increases when the applied current decreases is associated with the kinetics of the process. This phenomenon has been widely observed in Ni–MH and Ni–oxide cells.

One can also observe in Fig. 7 that the maximum electrochemical capacity of the electrodes depends on the number of electrochemical cycles. In all applied current densities, the capacity of the electrodes increases up to a maximum and then it

Table 2 Maximum discharge capacity values of the $\text{Nd}_2\text{Ti}_2\text{O}_7$ layered perovskite compound

Applied current density (mA/g)	Electrochemical capacity (mAh/g)
10	356.13
30	291.83
60	123.59
125	59.76

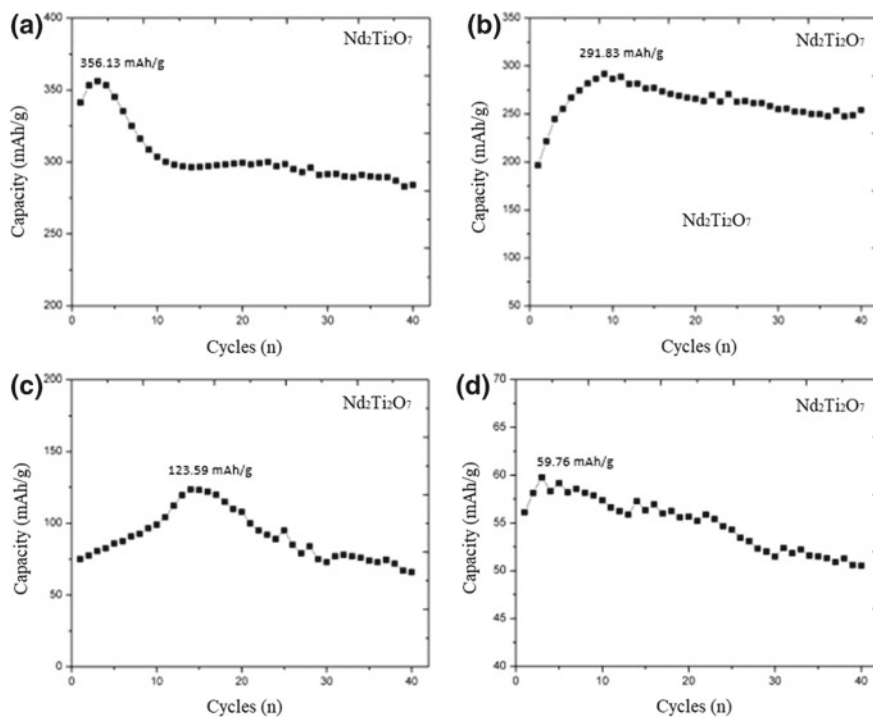


Fig. 7 Performance of the $\text{Nd}_2\text{Ti}_2\text{O}_7$ perovskite layered electrode at **a** 10 mA/g, **b** 30 mA/g, **c** 60 mA/g, **d** 125 mA/g applied current densities

stabilizes. This observation suggests that this layered perovskite composition must be activated electrochemically as normally occurs in conventional intermetallic electrodes used in Ni–MH batteries [53]. Activation is often associated with the expansion of the structure and cracking of the surface, which becomes exposed to the electrolyte. Figure 8 shows the SEM images of the electrodes before and after activation. The SEM image of the as-prepared electrodes shows a compacted powder typical of cold-pressed electrodes. Once the electrodes are activated, one can note that small particles appear on the surface of these electrodes indicating some perturbation on the initial structure of the electrode. Previous studies have obtained similar results after studying ABO_3 perovskite compounds due to the penetration of the electrolyte into the perovskite structure [54].

The hydrogen diffusion coefficient of the $\text{Nd}_2\text{Ti}_2\text{O}_7$ oxide was also calculated in the present study by the potential-step discharge technique. This method consists of applying a potential step while monitoring the anodic current–time response. The semi-logarithmic curve obtained from this experiment is often divided into two-time regions [55]. In the first one, the current undergoes a rapid decrease because of the rapid consumption of hydrogen on the surface of the electrode. The first region is governed by the charge transfer kinetics and/or by charge transfer and

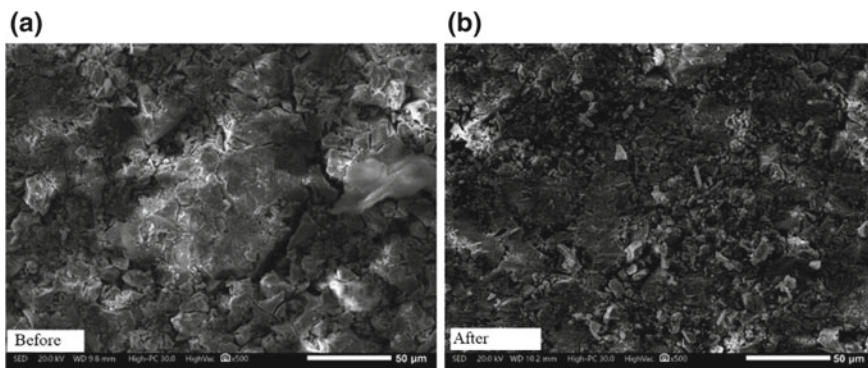
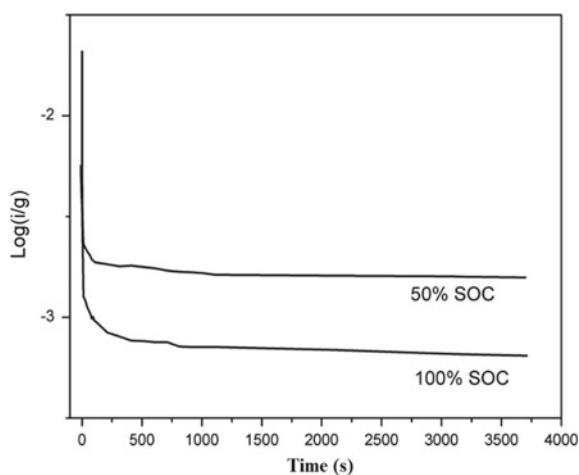


Fig. 8 SEM micrograph of the $\text{Nd}_2\text{Ti}_2\text{O}_7$ perovskite layered electrode **a** as-prepared electrode; **b** after 20 activation cycles

Fig. 9 Semi-logarithm curve of the $\text{Nd}_2\text{Ti}_2\text{O}_7$ electrode



diffusion (mixed kinetics). Nevertheless, in the second-time region, the current gradually decreases in a linear way with time and is controlled by the hydrogen diffusion. Figure 9 shows the semi-logarithmic curve of the anodic current–time response for the $\text{Nd}_2\text{Ti}_2\text{O}_7$ electrode at 50 and 100% state of charge (SOC). The results reveal that the current time response of the $\text{Nd}_2\text{Ti}_2\text{O}_7$ electrode presents both regions (mixed- and diffusion-controlled), which are descaled to each other due to the effect of SOC. The hydrogen diffusion coefficient D_H is often calculated from the diffusion-controlled region in the electrode bulk according to the following equation [46, 55]:

$$\log i = \log \left[\frac{6FD_H}{da^2} (C_0 - C_s) \right] - \frac{\pi^2}{2.303} \frac{D_H t}{a^2} \quad (6)$$

In Eq. (6), i is the anodic current density (A/g), D_H is the hydrogen diffusion coefficient (cm²/s), d is the density of the compound (g/cm³), a is the average radius of the particles, C_0 is the initial hydrogen concentration in the bulk of the electrode (mol/cm³), C_s is the surface hydrogen concentration of the electrode (mol/cm³), and t is the discharge time (s). According to Eq. (2), D_H was estimated as 5.69×10^{-13} and 4.84×10^{-13} and for the Nd₂Ti₂O₇ electrode at 50 and 100% SOC, that is, D_H decreases with the state of charge. These results can be related to the fact that diffusion paths are linked to oxygen-related positions within the perovskite oxide structure. Once the electrode initiates the charge process, many of these oxygen positions become occupied forming an O–H chemical bond. However, when the number of H⁺ ions inserted into the unit cell of perovskite oxides increases, the O–H bond reduces its strength and consequently the activation energy necessary to break the O–H bond. This fact makes easier the hydrogen ion transportation [49]. Interestingly, the Nd₂Ti₂O₇-layered electrode presents similar D_H values than conventional perovskite ABO₃ oxide electrodes [46].

The results obtained in the present chapter have shown that layered perovskite materials can be useful as electrode materials for Ni–oxide batteries since they can show reversibility and can store hydrogen electrochemically. Further studies involving techniques such as impedance and molecular dynamic simulations are encouraged for comparing the mechanisms acting on conventional perovskites and layered perovskites.

4 Perspectives and Suggestions Regarding the Selection of Perovskite Materials for Ni–Oxide and Metal–Air Batteries

It has already been noted that Ni–oxide battery performance is often better when the perovskite electrode is a doped material. The ABO₃ compound is predominantly orthorhombic or rhombohedral structures that generally are affected in the type of dopants used. There are several requirements for the electrochemical properties of Ni–oxide battery electrode, and all these properties must be balanced for practical use. It has been found that rare earth perovskite-type compounds have high electrochemical capacities with respect to conventional perovskite oxides since rare earth elements present high affinity for hydrogen absorption among the elements of the periodic table. Therefore, multiple elements including rare elements are needed in ABO₃ perovskite compounds to achieve good electrochemical capacities. In case

layered perovskite materials such as $A_2B_2O_7$ -type compounds are only the beginning of the way to understand their behavior, thus, new experiment involving different compositions should be performed.

Alternatively, for metal–air battery applications, a common target is to develop electrode materials with enhanced catalytic performance (electrical and chemical). In this sense, it is recommended the selection of small particles with a large surface area. The development of a rechargeable metal–air battery technology is expected to become one of the most widely used technologies in the future because of the high energy density that these systems can develop. In this sense, one of the critical points to solve before commercializing the metal–air technology is the slow kinetics of discharging and charging reactions at the air electrode.

5 Concluding Remarks

Perovskite materials have been associated with different applications in batteries, especially, as catalysis materials and electrode materials in rechargeable Ni–oxide, Li–ion, and metal–air batteries. Numerous perovskite compositions have been studied so far on the technologies previously mentioned; this is mainly because perovskite materials usually present reversible electrochemical behavior, high natural abundance, facile synthesis, low price, and environmental benignity. Some examples of demonstrated technological usage of perovskites are full cells where perovskite materials are often employed as materials for ionic transportation. Different forms of structural perovskites can be often produced by means of different chemical methods, which provides a great field of opportunity in different types of batteries. One of the examples given in the present book chapter was the layered perovskite material that, as far as authors concern, there is no at this time literature available regarding layered $A_2B_2O_7$ perovskites as negative electrode materials for Ni–oxide batteries. As already demonstrated in this chapter, layered perovskite materials can be alternative electrode materials for Ni–oxide batteries. Overall, ABO_3 perovskite oxides present excellent discharge capacity at high temperatures, and consequently, are regarded as a prominent alternative for negative electrode materials for the next generation of high-temperature and high-performance Ni/oxide batteries. Further research work is expected to optimize the properties and performance of $A_2B_2O_7$ layered compounds and on this way be able to discriminate the type of perovskite-type structure offering the best electrochemical performance.

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Chapter 7

Perovskite Materials in Photovoltaics



Wei Wang and Zongping Shao

1 Introduction

Energy is fundamental to the quality of our lives. Currently, we fully rely on an abundant and continuous supply of energy for living and working. However, modern society relies too heavily on fossil fuels based on the low-efficiency combustion technology, and their excessive consumption has caused serious greenhouse effects on the Earth. Furthermore, the fossil fuel resources will run out in the near future due to their nonrenewable nature, which will cause a serious energy crisis in the future. In order to solve abovementioned problems, alternative energy conversion systems with high efficiency based on renewable energy resources such as solar energy and wind energy are pursued. Among the renewable energies, solar energy is the most abundant energy source. One-day solar irradiation on the Earth's surface can provide us with about 10,000 times more energy than the entire energy demand of our planet per day [1–4]. Photovoltaic (PV) systems, which directly convert sunlight into electricity, have attracted more and more attention recently since they are considered as the key solutions to the extreme energy demand by efficient utilization of the abundant solar energy [5–9].

Various types of solar cells have been developed and intensively exploited such as silicon-based solar cells, dye-sensitized solar cells (DSSCs), perovskite solar cells (PSCs), etc. Numerous research efforts have been conducted to develop and design new-generation solar cells with higher efficiency and lower cost since the dominated silicon-based solar cells suffer from high cost and complex fabrication

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procedures [10–15]. Among various new-generation solar cells, DSSCs exhibit a promising future due to their relatively high PCE, ease of fabrication, low cost, and flexibility [16–19]. Recently, a compound with a perovskite structure plays a critical role in the field of solar cells. The perovskites have been used as the photoelectrodes in DSSCs and the light absorbers in PSCs [20–23]. In this chapter, we will outline the recent advances in the applications of perovskites in DSSCs and PSCs and then give some useful guidance for the future design and development of perovskite compounds in various photovoltaic-based applications.

2 Organic–Inorganic Solar Cells

2.1 A Brief Introduction of PSCs

During the past few years, hybrid organic–inorganic perovskites have become one of the hottest topics in chemistry and materials science disciplines, which have developed rapidly due to the attractive features in the solar-energy-based applications [24–27]. In particular, hybrid organic–inorganic perovskite was demonstrated as a game changer in the field of photovoltaics (solar cells), with great achievements in PCEs, which are comparable to those of conventional silicon solar cells and cadmium telluride and copper indium gallium selenide/sulfide-based solar cells [28]. PSCs have been developed quickly in the past 6 years as evidenced by more than 6000 publications on this hot and dynamic field.

Miyasaka et al. reported the first use of organic–inorganic perovskite in solar cells [29]. The new solar cells were created on a DSSC configuration with a thin perovskite layer such as $\text{CH}_3\text{NH}_3\text{PbI}_3$ (MAPbI_3) and $\text{CH}_3\text{NH}_3\text{PbBr}_3$ (MAPbBr_3) on the mesoporous TiO_2 and delivered PCEs of 3.8% and 3.1%, respectively. However, the stability of these solar cells was very poor due to the corrosive properties of the liquid electrolyte used in this configuration. In 2011, Park and co-workers developed a solar cell with the same dye-sensitized configuration and an improved PCE of 6.5% was achieved [30]. A solid-state electrolyte, spiro-OMeTAD was demonstrated to act as a hole transporting material (HTM) to replace the corrosive liquid electrolyte to enhance the cell stability [31]. Park et al. demonstrated a one-step fabrication of PSC with a solid-state electrolyte by a solution-based technique, as shown in Fig. 1 [31]. A PCE of 9.7% and a reasonable durability were achieved with this PSC.

PSCs have attracted more and more attention due to their rapid increase in PCE during only several years (3.8% in 2009, 10.9% in 2012, 19.3% in 2014, and 22.1% in 2017) [29, 32–34]. The high PCE essentially benefits from the attractive optoelectrical properties of the hybrid halide perovskites, such as the high sunlight absorption coefficient, superior electron/hole mobility, long lifetime and diffusion length of the carriers, and a direct band gap [35–39]. Furthermore, the organic–inorganic halide perovskites can produce loosely bonded excitons with a small

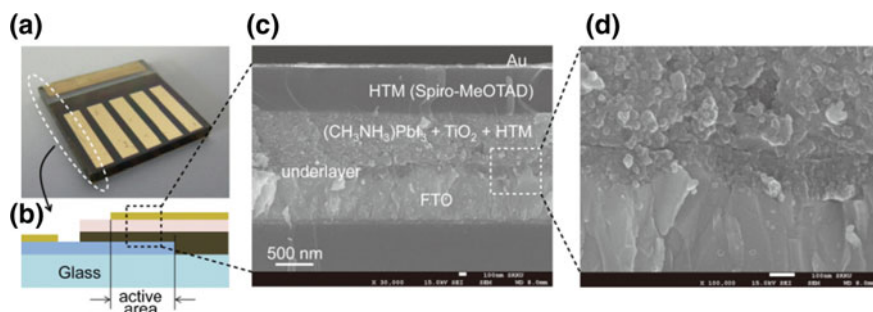


Fig. 1 **a** The real image of the PSC. **b** Cross-sectional structure of the PSC. **c** Cross-sectional SEM image of the PSC. **d** Active layer–underlayer–fluorine-doped tin oxide (FTO) interfacial junction structure. Reprinted with permission from Ref. [31]. Copyright 2012, Springer Nature

bonding energy, which promotes the production of free charge carriers in the perovskite with a small driving force [40, 41]. Thus, the rapid enhancement of the PCEs of PSCs can be very close to the theoretical efficiency level by combining the design and development of the perovskite materials with various elemental compositions, the crystalline film formation, interface construction and optimization as well as the unique architecture of the device, etc. [28, 30, 31, 33, 42–51].

TiO₂ is widely used as the electron transportation material (ETM) in PSCs and a high PCE of above 20% can be obtained with TiO₂ as the ETM [52–54]. Some other materials such as doped TiO₂, ZnO, SnO₂, Zn₂SnO₄, and BaSnO₃ are also reported as ETMs in PSCs although the PSCs based on some of these materials produced lower PCEs as compared with that of TiO₂ [55–59]. In this section, recent advances in perovskites for the use as light absorbers and ETMs in PSCs are outlined and discussed.

2.2 Light Absorbers

The recent progress of perovskite-based light absorbers in PSCs is divided into three aspects including the processing of perovskite films, the compositional engineering, and the device operational stability. This section aims to give some helpful guidelines for the future material design and better understanding of perovskite-based light absorbers in PSCs.

2.2.1 Processing of Perovskite Films

The improvement of the PCEs of PSCs requires the increase in the film quality of perovskite layers and the perovskite-based thin films should be pinhole-free, smooth and uniform, etc. A PCE as high as 15% was obtained by Liu et al. in

planar PSCs with the help of a vapor deposition method as shown in Fig. 2a [60]. Perovskite films with excellent uniformity over a range of length scales were obtained by this method. Thus, a high PCE was then delivered. This vapor deposition method can also be used in the preparation of the multi-stack thin films with larger cell areas [61].

Solution-based fabrication technique is widely used in solar cells due to the advantages of low energy consumption at a large-scale manufacture. However, the halide perovskites are demonstrated to be difficult to form compact, uniform, and flat films due to the strong tendency of the anisotropic crystallization when the used solvent was evaporated. As a result, it is necessary to develop new and efficient fabrication methods to improve the crystallization rate of the halide perovskites. In general, the kinetic control between nucleation and crystal grain growth is an effective route to produce halide perovskite layers with superior optoelectronic performance. Jeon et al. used a solvent engineering route to develop uniform perovskite films to achieve high PSC performance [62]. It was found that the use of dimethyl sulfoxide (DMSO) led to the formation of a MAI–PbI₂–DMSO intermediate to slow down the crystal growth of perovskites, which has attracted more and more attention by several research groups in the world after Jeon et al.'s work [21, 63, 64]. Park and co-workers also demonstrated a fast crystallization method based on spin coating of a MAPbI₃/dimethylformamide (DMF) solution with a subsequent exposure to chlorobenzene in order to promote the formation of uniform perovskite films (Fig. 2b) [65]. A gas-assisted solution-processing method was demonstrated by Huang et al., and uniform perovskite layers composed of densely packed single crystalline grains were obtained [66].

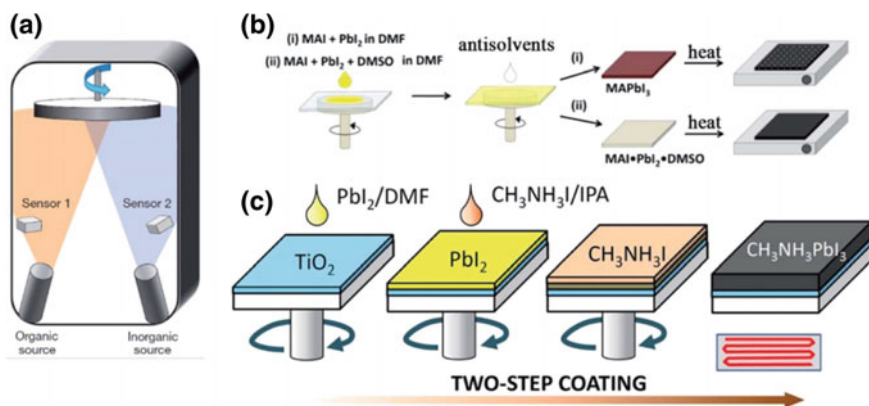


Fig. 2 Different deposition methods for high-quality perovskite films: **a** vapor deposition. Reprinted with permission from Ref. [60]. Copyright 2013, Springer Nature. **b** anti-solvents. Reprinted with permission from Ref. [65]. Copyright 2015, American Chemical Society. **c** two-step method. Reprinted with permission from Ref. [198]. Copyright 2015, Royal Society of Chemistry

Two-step method was also used to prepare uniform perovskite layer with high performance (Fig. 2c). Burschka et al. first developed a novel two-step method, in which PbI_2 was first deposited onto a porous TiO_2 film and the PbI_2 was converted into the MAPbI_3 perovskite by the subsequent exposure to the MAI solution. A PCE of 15% was obtained by mesoporous PSCs based on a perovskite film with an enhanced film quality [67]. Afterward, an interdiffusion method with a subsequent spin coating of PbI_2 and MA was reported by Xiao et al. and a PCE of 15.4% was obtained by PSCs with a planar configuration [68]. By further combining the interdiffusion method with a solvent annealing strategy, the quality of the perovskite films was further enhanced to reach a high PCE (15.6%) [69]. Recently, Bi et al. demonstrated that poly(methyl methacrylate) (PMMA) was used as the template for the production of perovskite films with fewer defects and bigger oriented grains by tailoring the nucleation and crystal growth rates and a certified PCE of 21.02% was obtained [70].

The fabrication of perovskite films with well-controlled morphology, superior surface coverage, and fewer pinholes can be obtained by different advanced fabrication techniques such as vapor-assisted solution process, spin coating, surface passivation, and fast deposition crystallization [60, 62, 67, 71, 72]. MAPbI_3 was successfully prepared by the spin coating method [67]. However, the achievement of high-quality films of pure-phase MAPbI_3 perovskites with excellent coverage was still very difficult. Some functional modifiers were added to the fabrication process of MAPbI_3 such as NH_4Cl , 1, 8-diiodooctane as well as solvent replacement of DMF by DMSO, and then higher PCEs and more uniform morphology are obtained [73–75].

Unfortunately, there are several disadvantages for the use of spin coating to prepare the MAPbI_3 perovskite since it is hard to obtain suitable solvents for the organic and inorganic parts of the halide perovskites. Vacuum evaporation is a promising technique to prepare MAPbI_3 film with a precise control of the film property [60]. The halide perovskites synthesized by co-evaporation of PbCl_2 and $\text{CH}_3\text{NH}_3\text{I}$ exhibited considerable film uniformity and coverage [60]. Co-evaporation of MAI and PbCl_2 led to a MAPbI_3 phase, and the perovskite film uniformity was much superior to that of the solution-processed one, as shown in Fig. 3 [60]. However, the use of high vacuum in this technique was not practical for large-scale manufacture. A vapor-assisted solution process based on the favorable reaction between the PbI_2 film and $\text{CH}_3\text{NH}_3\text{I}$ vapor was used to fabricate perovskite films with full coverage and small grain sizes with a 100% precursor transformation efficiency [76].

2.2.2 Compositional Engineering

One of the largest superiorities of the organic–inorganic perovskites is the structural and compositional flexibility and their optoelectronic properties can be well tuned by functional doping or replacement. The I in MAPbI_3 can be fully replaced with both Cl and Br to form MAPbCl_3 and MAPbBr_3 [77], and large single crystals of

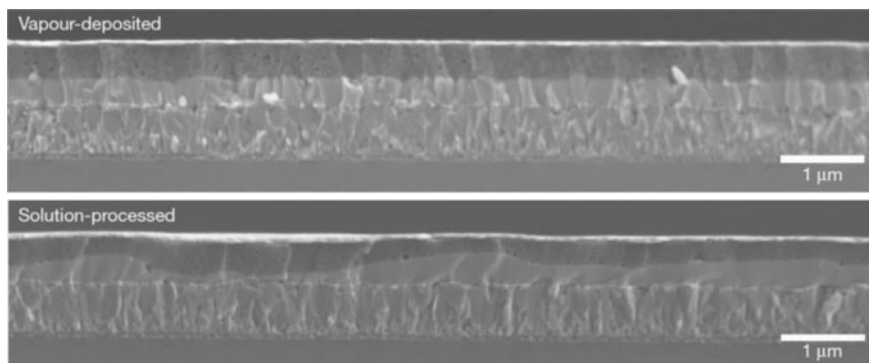


Fig. 3 Comparison of the perovskite film uniformity between vapor deposition and solution-processing methods. From top to bottom, the six layers are Ag, Spiro-OMeTAD, perovskite, compact TiO_2 , FTO and glass. Reprinted with permission from Ref. [60]. Copyright 2013, Springer Nature

MAPbCl_3 , MAPbBr_3 , and MAPbI_3 have been successfully prepared (Fig. 4) [78]. The band gaps of the halide perovskites increased with the decrease in the ionic size of the halide and the band gaps of MAPbCl_3 , MAPbBr_3 , and MAPbI_3 single crystals were 2.97, 2.24, and 1.53 eV, respectively [78]. However, the measured band gaps of the polycrystalline perovskite films were larger than those of single crystals [37, 79]. For example, the measured band gaps of polycrystalline MAPbCl_3 , MAPbBr_3 , and MAPbI_3 were 3.1, 2.3, and 1.6 eV, respectively [77, 80].

The diffusion length of the photo-generated electron–hole pair of the halide perovskite played a critical role in determining the PCEs of PSCs, which generally limited the thickness of the perovskite layer to several hundred nanometers [35, 36, 38, 81, 82]. For instance, Stranks et al. found that the electron and hole diffusion lengths for MAPbI_3 were 130 and 100 nm, respectively. Longer electron diffusion length (1069 nm) and hole diffusion length (1213 nm) were reported for Cl-doped perovskite ($\text{MAPbI}_{3-x}\text{Cl}_x$) [36]. It was also found that the Cl doping in $\text{CH}_3\text{NH}_3\text{PbBr}_3$ increased the lifetimes of the electron–hole pairs as shown in Fig. 5 [82]. For $\text{CH}_3\text{NH}_3\text{PbBr}_{2.4}\text{Cl}_{0.6}$, the average recombination lifetime was as high as 446 ns compared with 100 and 44 ns for $\text{CH}_3\text{NH}_3\text{PbBr}_3$ and $\text{CH}_3\text{NH}_3\text{PbI}_{3-x}\text{Cl}_x$, respectively. However, the corresponding average recombination lifetime of the $\text{CH}_3\text{NH}_3\text{PbBr}_{3-x}\text{Cl}_x$ perovskite decreased with a higher Cl doping amount. The high values of diffusion length and lifetime of the mixed halide perovskites presented some potential for the possible fabrication of PSCs with thicker perovskite layers without impairing the high performance.

Hao et al. studied the performance of PSCs with $\text{CH}_3\text{NH}_3\text{Sn}_{1-x}\text{Pb}_x\text{I}_3$ as the light absorbers [83]. The band gaps of these perovskites did not follow a linear trend (Vegard's law) in between these two extremes of 1.55 and 1.35 eV but had a smaller band gap (less than 1.3 eV), which extended the sunlight harvesting region to as high as 1050 nm. The PSCs with $\text{CH}_3\text{NH}_3\text{Sn}_{0.5}\text{Pb}_{0.5}\text{I}_3$ as the light absorber

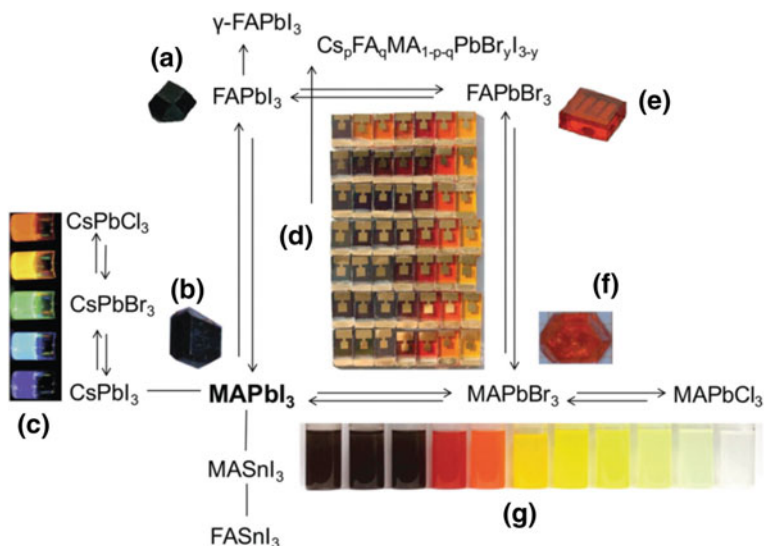
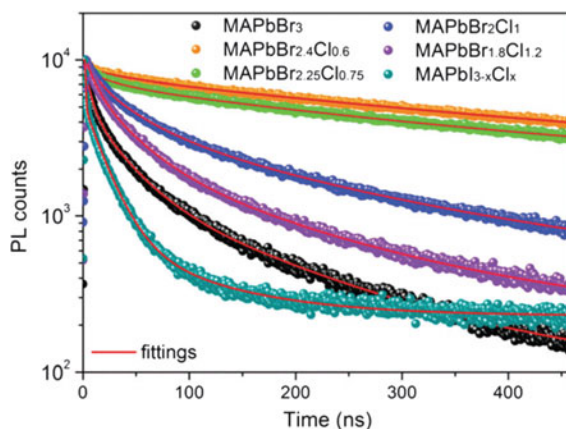


Fig. 4 The versatility of halide perovskites and their sunlight absorption capability. **a** single crystal of formamidinium ion (FA)PbI₃, **b** single crystal of MAPbI₃, **c** colloidal solutions of CsPbX₃ (X = Cl, Br, I) perovskites, **d** PSCs of 49 different compositions based on the MA/FA–Pb–Br/I systems, **e** single crystal of FAPbBr₃, **f** single crystal of MAPbBr₃ and **g** colloidal nanocrystals of MAPbX₃ (X = Cl, Br, I) perovskites. Reprinted with permission from Ref. [5]. Copyright 2017, Royal Society of Chemistry

Fig. 5 Time-resolved photoluminescence (PL) decay detected at the peak wavelength of emission for various MAPbX₃ (X = Cl, Br, I) perovskites. Reprinted with permission from Ref. [82]. Copyright 2014, Royal Society of Chemistry

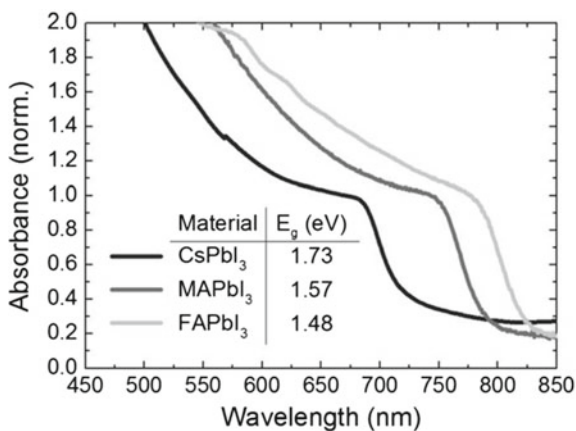


delivered a high short-circuit current density (J_{sc}) of over 20 mA cm^{-2} under simulated sunlight irradiation. Noel et al. demonstrated a totally Pb-free $\text{CH}_3\text{NH}_3\text{SnI}_3$ halide perovskite with a band gap of 1.23 eV for PSCs [84]. A PCE of higher than 6% was obtained under simulated sunlight irradiation. However, the $\text{CH}_3\text{NH}_3\text{SnI}_3$ perovskite suffered from poor stability and the main

issue was the stabilization of the valence states of Sn in this material, which needed to be solved. Importantly, this finding demonstrates that the replacement of Pb-based materials by nontoxic elements is possible to achieve high-performance PSCs. More recently, Krishnamoorthy et al. demonstrated that germanium (Ge) was also a suitable replacement for Pb in halide perovskites for PSCs by the computational screening and experimental investigation [85]. CsGeI₃ crystals with stable rhombohedral crystal structures were prepared experimentally, which showed no phase changes under the solar cell working conditions. MAGEI₃ crystals were also prepared, and their crystal structure, band gaps, and thermal stability were compared with CsGeI₃ crystals. The PSCs with CsGeI₃ and MAGEI₃ displayed photocurrent densities of 5.7 and 4.0 mA cm⁻², respectively, although the PCEs were very low (less than 0.2%). This study demonstrated the potential of Ge-based halide perovskites for the applications in PSCs.

Besides the X-site doping and B-site doping, the A-site cation in halide perovskites can also be substituted or doped. MA was doped by the FA ions with slightly larger ionic radius, which expanded the lattice and changed the tilting of the PbI₆-octahedra [21, 86]. As a result, a decrease of the band gap is from 1.59 eV for the MAPbI₃ to 1.45–1.52 eV for the FAPbI₃ [80, 86]. For example, the effect of the A-site cation size on the band gaps of the halide perovskites was studied as shown in Fig. 6 [87]. It was found that the lattice of the perovskite was enlarged when the A-site cation showed a larger ionic radius. The band gap was then reduced and a redshift in the sunlight absorption spectra was achieved. Thus, FAPbI₃ was more efficient than MAPbI₃ in PSCs. Due to the reduced band gap of FAPbI₃ (1.48 eV), a J_{sc} of >23 mA cm⁻² and a PCE of 14.2% were obtained [87]. Choi et al. used Cs doping in MAPbI₃ perovskites to enhance the PCEs of the PSCs. The PSCs assembled by Cs_xMA_{1-x}PbI₃ perovskite with a 10 mol% Cs doping amount showed an obvious enhancement in PCE from 5.51 to 7.68% [88]. This improvement in the PCE was assigned to the improved film morphology. The band gap of Cs_xMA_{1-x}PbI₃ perovskite was gradually increased from 1.52 eV (MAPbI₃)

Fig. 6 UV-Vis spectra for the APbI₃ perovskites formed, where A is Cs, MA or FA. Reprinted with permission from Ref. [87]. Copyright 2014, Royal Society of Chemistry



to 2.05 eV (CsPbI_3) with the increased Cs doping amount, implying that the band gaps of the mixed halide perovskites can be easily tuned by controlling the Cs doping amount in $\text{Cs}_x\text{MA}_{1-x}\text{PbI}_3$ perovskites to achieve the best performance.

2.2.3 Device Operational Stability

In the past 5 years, PSCs have been demonstrated as highly efficient sunlight conversion devices with PCEs comparable to those of conventional solar cells such as Si solar cells. However, the durability of PSCs is not satisfied up to now. Thus, the next challenge and future research direction is to make the halide perovskite as stable as other cell components in PSCs. There are several potential degradation factors to affect the stability of the PSCs. Humidity was reported to be the most critical factor for the performance degradation of PSCs due to the strong interaction of halide perovskites with water [89]. There are several deterioration mechanisms based on perovskite and other cell components, which was caused by this so-called extrinsic factor (humidity) [89]. The encapsulation strategy was reported to be useful to enhance the PSC stability by isolating the PSCs from the potential external (extrinsic) degradation factors [90]. However, the potential intrinsic degradation factors were also active under the inert conditions, which may impact the perovskite and other cell components. For example, small-molecule HTMs suffered from the crystallization at relatively high temperature, which reduced the electronic contact with the halide perovskite and the metal current collector and as a result, a degradation in the PSC performance was observed as shown in Fig. 7a [91]. Gold (Au) was widely applied as the current collector to fabricate lab-scale PSCs, and the quick performance degradation of PSCs was assigned to the Au migration within small-molecule HTMs as shown in Fig. 7b [92]. Thus, reducing the Au migration behavior was very important to improve the stability of PSCs with small-molecule HTMs. Carbon-based HTMs should be one of the possible solutions to solve abovementioned problem although the PCEs of PSCs with carbon-based HTMs were not as high as the PSCs based on small-molecule HTMs [93, 94]. Polymeric HTM was demonstrated to be an effective barrier to prevent the Au migration while the addition of chromium interlayers and alumina nanoparticle buffer layers also improved the PSC stability by inhibiting the Au migration behavior [92, 95–97].

Several kinds of water-resisting layers were demonstrated to be effective to enhance the PSC stability under humidified conditions. For example, hydrophobic ammonium ion was used as a water-resisting layer to decorate the perovskite surface to enhance the stability of perovskites in PSCs under humidified atmospheres [98]. In another work, Bi et al. used an amphiphilic 1, 1, 1-trifluoro-ethyl ammonium iodide as a moisture-resistant layer to improve the device stability under humidified conditions due to the hydrophobic CF_3 groups [99]. A water absorption polymer (PEG) was also used to prevent the reaction between water and the halide perovskite. PEG also displayed a self-healing behavior for the perovskite whereby the retained MAI reacted with PbI_2 again [100]. Wang et al. demonstrated a

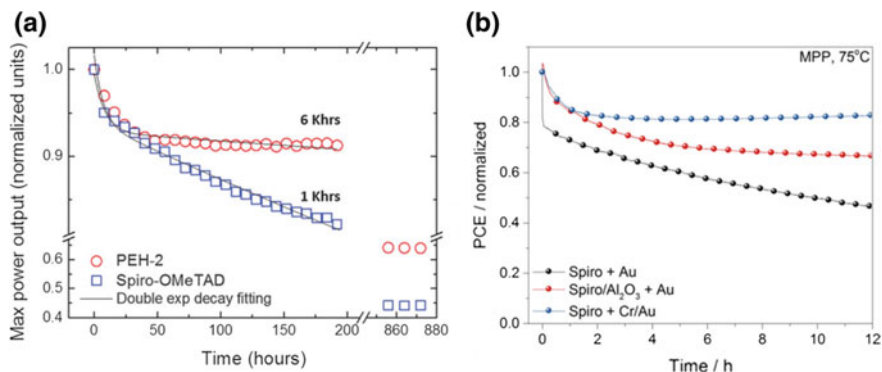


Fig. 7 **a** Maximum power point tracking (MPPT) over time with two different HTMs. Reprinted with permission from Ref. [91]. Copyright 2015, Royal Society of Chemistry. **b** MPPT of PSCs at 75 °C on a spiro-based device with different interlayers. Reprinted with permission from Ref. [92]. Copyright 2016, American Chemical Society

hydrophobic fluorosilane layer to protect the perovskite film to achieve a superior stability of the perovskite film in water [101].

The perovskite light absorber layer is the core part of the PSCs, and its durability is critical to the commercialization of PSCs in the future. The stability of perovskites may be relevant to the ionic defects. These defects did not seem to be particularly harmful within the bulk of the perovskite layer, since they can introduce donor and acceptor electronic states close or within the conduction band and valence band, respectively [102]. However, the ionic defects migrated from the bulk to the interface with the selective contact, which may have a negative effect on the PSC stability [103]. The ionic defects accumulated to form a space charge layer, which reduced the charge separation efficiency. Although the effect of this potential intrinsic factor (ionic defects) on the PSC stability is still under investigation, it is confirmed that the interface between the perovskite and the selective contact plays an essential role in the durability of PSCs. Suppressing the amount of defects by functional doping/substitution and surface passivation should be useful strategies to improve the PSC stability [104, 105].

2.3 Electron Transportation Materials

As mentioned above, the durability of PSCs can be governed by many factors such as the instability of the halide perovskites, the interfaces, and also the charge transport materials, such as ETMs and HTMs. More specifically, when TiO₂ was utilized as the ETM, it showed poor stability under ultraviolet (UV) light irradiation [12, 99, 106]. Different methods have been developed to enhance the durability of PSCs, such as the modification of TiO₂, the development of new ETMs, and the

introduction of interfacial layers between the cell components [55–59]. However, one approach without any additional processes is to develop new ETMs to replace or modify TiO_2 to obtain durable PSCs without impairing their high performance.

BaSnO_3 (BSO) perovskite oxide with a band gap of 3.2 eV has been applied in different fields such as transparent conducting oxide, gas sensor, and transistor [107–109]. Recently, BSO perovskite oxide is also used as an ETM to replace TiO_2 in PSCs due to its higher electron mobility and the same perovskite structure as the halide perovskites [59]. It was found that PSCs with BSO as ETM showed a higher PCE than that of TiO_2 (12.3 vs. 11.1%), which was assigned to the better contact between BSO and MAPbI_3 . The high electron mobility of BSO allowed an efficient charge collection and the wide band gap of BSO also avoided the sunlight absorption competition against MAPbI_3 . However, there are also some issues needed to be solved in this new BSO-based PSC. For example, BSO showed a higher electron–hole recombination rate under a high voltage, which should be solved in the future in order to make BSO a competitive ETM candidate for the applications in PSCs with high stability.

More recently, well-dispersed BSO nanoparticles were prepared by a simple peroxide-precipitation method [110]. A better coverage of BSO film greatly decreased the leakage problem of the current, and an optimized thickness of ETM layer was beneficial to the decrease in the cell resistance, which contributed to the improved PCEs of the PSCs. By comparing with the PSCs with pristine TiO_2 as an ETM, a PCE enhancement of 12% was achieved by PSCs with BSO as ETM due to the enhanced charge transfer capability and the reduced electron–hole pair recombination. Although this work may provide a new way to use perovskite oxides as ETMs in PCEs, the performance of this new BSO-based PSC can be further improved by tailoring the preparation methods of perovskite material as well as by rationally constructing the interfaces of the solar cells, which can be the future research directions and topics.

La-doped BSO (LBSO) perovskite oxide was reported to have a superior electron mobility of $320 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ and a conductivity of 4000 S cm^{-1} at room temperature [111]. However, LBSO films cannot be used on the flexible substrates and glass substrates due to the high formation temperature of the LBSO perovskite phase ($>1000 \text{ }^\circ\text{C}$) [108]. The development of advanced methods to fabricate the phase-pure LBSO thin film below $500 \text{ }^\circ\text{C}$ is then critical. The use of amorphous precursors with peroxy groups reduced the perovskite phase formation temperature to $900 \text{ }^\circ\text{C}$, which was still too high for the PSC application [112].

A mesoporous ETM based on LBSO has been investigated by Dai and co-workers [113]. The LBSO nanoparticles were synthesized and applied as mesoporous ETMs by spin coating with a low fabrication temperature of $510 \text{ }^\circ\text{C}$. It was found that LBSO film showed a suitable transmittance and no strong sunlight absorption competition against the halide perovskite. After optimization, the mesoporous LBSO-based PSC delivered a high PCE of 15.1%. As compared with the PSCs with BSO and TiO_2 as ETMs, the PSC with LBSO as ETM displayed the highest J_{sc} (Fig. 8a) which was assigned to the superior electron mobility of LBSO (the best quenching effect as shown in Fig. 8b). However, the fabrication

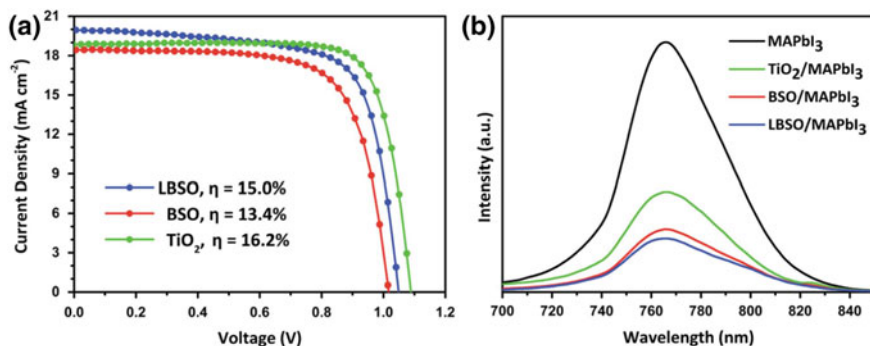


Fig. 8 **a** *J*-*V* curves of typical PSCs with LBSO, BSO, and TiO₂ obtained under AM 1.5 illumination. **b** Steady-state PL spectra of glass/*X*/MAPbI₃ (*X* = LBSO, BSO, TiO₂ or none) films with an excitation wavelength of 473 nm. Reprinted with permission from Ref. [113]. Copyright 2017, Royal Society of Chemistry

temperature (510 °C) of BSO perovskite was still too high to prepare solar cells on a glass substrate with high performance. Furthermore, the stability of LBSO-based PSCs was not addressed.

Huang et al. demonstrated a peroxy-precursor-based route for the preparation of BSO perovskite oxide at a temperature as low as 300 °C [114]. However, it is difficult to use this method to produce a colloidal solution to prepare the BSO film. Therefore, the development of a totally dispersed precursor colloidal solution for the preparation of BSO thin films at a large scale remains a challenge to achieve highly efficient and stable PSCs. For the first time, Shin et al. reported a crystalline superoxide–molecular cluster (CSMC) colloidal solution method to obtain LBSO perovskite phase at 300 °C [115]. The compact LBSO layer was then prepared from the CSMC colloidal solution by spin coating onto a FTO glass substrate and the PSC displayed a PCE of 21.2% by using LBSO as the ETM and MAPbI₃ as the light harvester.

The UV light-induced degradation in PCEs for PSCs with TiO₂ as ETM is considered as a serious issue for the practical use of PSCs under natural sunlight [12, 99, 106]. Up to now, the stability of PSCs was always tested with white light-emitting diode or UV light-filtered solar simulators [116, 117]. Shin et al. reported a light-soaking experiment under AM 1.5G irradiation with a xenon or metal-halide lamp (including UV light) to investigate the stability of PSCs with LBSO and TiO₂ as the ETMs [115]. The PSC with LBSO ETM displayed a much better stability than that of TiO₂. However, this cell architecture that included organic spiro-OMeTAD HTM was not suitable for studying the long-term stability of PSCs with LBSO as ETM because organic HTMs degraded the PSC performance as mentioned before. The PSCs with a two-sided glass-encapsulated architecture and NiO HTM were prepared and the stability tests of PSCs with LBSO and TiO₂ as ETMs were conducted under AM 1.5G irradiation. The PCE of the PSC with LBSO ETM kept 93.3% of its initial PCE after 1000 h' operation, while the

PSC with TiO_2 ETM showed no PCE within 500 h. The development of LBSO perovskite as ETM in PSCs may accelerate the commercialization of PSCs without requiring a UV filter for the conventional TiO_2 -based PSCs.

Besides the BSO-based perovskite oxides as the ETMs, some Ti-based perovskite oxides such as SrTiO_3 have also been used as the ETMs for PSCs [118]. Bera et al. used SrTiO_3 as a new ETM in PSCs [119]. PSCs with SrTiO_3 as ETM and $\text{CH}_3\text{NH}_3\text{PbI}_{3-x}\text{Cl}_x$ as light absorber exhibited an open-circuit voltage (OCV) of 1.01 V, which was 25% higher than that of TiO_2 (0.81 V). An enhancement of 17% in the fill factor (FF) was also obtained by controlling the thickness of the SrTiO_3 ETM layer. The use of SrTiO_3 led to a halide perovskite layer with large grain sizes and an excellent surface coverage, and as a result, an enhanced performance was achieved. The above results strongly recommended SrTiO_3 as a useful ETM for further development of the high-performance PSCs. However, the stability of PSCs with SrTiO_3 as ETM was not studied, which should be a future research direction.

The construction of double-layer or composite ETM is demonstrated to be a useful way to enhance the performance of PSCs with single-phase or single-layer perovskite oxide ETM [120, 121]. For example, Okamoto and Suzuki found that the construction of $\text{BaTiO}_3/\text{TiO}_2$ mesoporous double layer (MDL) as ETM enhanced the photovoltaic performance of PSCs [121]. The PCE of PSCs was increased from 9.89% of the cell with TiO_2 mesoporous single layer (MSL) as ETM to 12.4% of the cell with $\text{BaTiO}_3/\text{TiO}_2$ MDL as ETM. The larger MAPbI_3 crystals accompanied with the $\text{BaTiO}_3/\text{TiO}_2$ MDL resulted in the better sunlight absorption and improved J_{sc} . Moreover, the OCV of the PSC with $\text{BaTiO}_3/\text{TiO}_2$ MDL as ETM was also enhanced by reducing the electron-hole recombination, which was assigned to the reduced amount of MAPbI_3 crystal boundaries and unique band structure of $\text{BaTiO}_3/\text{TiO}_2$ MDL. Further optimization of the thickness of each layer in the MDL ETM is critical for the further enhancement of the cell performance of PSCs.

Although the PSC with SrTiO_3 as ETM showed a high OCV, its average J_{sc} was still much lower than that of PSCs with TiO_2 as ETM. Okamoto et al. utilized a $\text{SrTiO}_3/\text{TiO}_2$ composite ETM to overcome the drawback of lower J_{sc} of PSCs when pure SrTiO_3 was used as the ETM [120]. By optimizing the ratio of SrTiO_3 to TiO_2 , PSCs assembled by a $\text{SrTiO}_3/\text{TiO}_2$ composite with 5 vol.% anatase TiO_2 as ETM showed the highest PCE of 10.6%, which was even higher than that of TiO_2 ETM (9.98%). Wang et al. developed a graphene/ SrTiO_3 composite as a new ETM for PSCs [122]. Due to the superior charge mobility and conductivity of graphene, an improved J_{sc} was demonstrated. The graphene amount in the composite played an important role on the PCEs of PSCs. Excessive graphene reduced the sunlight harvesting capability of perovskite layer by introducing new recombination centers. By optimization the graphene amount, a remarkable PCE of 10.0% and a J_{sc} of 18.08 mA cm^{-2} were obtained, which were 46.0 and 45.6% higher than those of the SrTiO_3 -based PSCs, indicating the use of graphene was a useful way to enhance the J_{sc} of PSCs with SrTiO_3 as ETM. However, the FF of these PSCs was still too low, which strongly hindered the achievement of high PCE. This should be further studied in the future research.

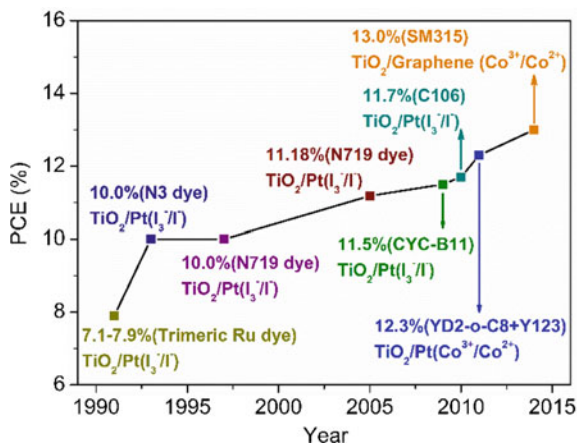
3 Dye-Sensitized Solar Cells

3.1 A Brief Introduction of DSSCs

The development of DSSCs in 1991 opened up a new horizon in the PV technology due to the relatively lower recombination rate in DSSCs than those of traditional solar cells [16]. DSSCs have attracted more and more attention as one of the most promising solar cells. In addition, DSSCs can be fabricated into a large variety of shapes, colors, and transparencies with a superior PCE under low sunlight irradiation intensity [6], which extends the indoor applications of DSSCs. The main challenges for commercialization of DSSCs are relatively low PCE, poor stability, and high price. A DSSC is typically composed of a dye-sensitized mesoporous semiconductor photoanode, a photocathode (also called counter electrode, CE) and an electrolyte with redox couples. To improve the PCEs of DSSCs, tremendous efforts are devoted to several aspects such as using nanostructured oxides and using additional compact and dense layers to improve the injection and collection efficiencies of the photoinduced electron-hole pairs and using panchromatic dyes or multi-dye systems to enhance the sunlight harvesting performance. In the past two decades, significant progress has been achieved in enhancing the PCEs of DSSCs. Figure 9 shows that superior DSSC performance can be obtained by using optimized and matched cell components. The PCE of DSSCs increased from 7.12% in 1991 to 13% in 2014 [10, 11, 16], suggesting that the DSSC technology is now practical if the cost of solar cell components can be reduced with further increased PCE.

Research for optimizing and enhancing the PV performance of DSSCs mainly focuses on modulating the physicochemical properties of main cell components of DSSCs: the sensitized dye, the metal oxide semiconductor (photoanode), the redox couple-based electrolyte, and the photocathode. To enable efficient DSSCs, the

Fig. 9 Selected PCE landmarks in DSSCs



rational design for all the components is required. In this section, the application of perovskite oxides as the photoelectrodes (photoanode and photocathode) will be summarized and discussed.

3.2 Photoanodes

Among the various strategies to improve the PCEs of DSSCs, the development of the photoanode is very important since the photoanode can allow the practical utilization of the photoinduced electron–hole pairs to determine the DSSC performance. The photoanode of DSSC is a mesoporous oxide-based layer composed of nanoparticles sintered on a conducting substrate such as the FTO glass. This mesoporous photoanode film functions as an effective support for the dye sensitizer, a pathway for the electron transfer and a porous membrane for electrolyte diffusion. The most widely used photoanode is TiO_2 with a band gap of 3.2 eV, and most of the research efforts have focused on the modification/decoration of the TiO_2 photoanodes [123–125]. However, the sole use of TiO_2 greatly limits the selection of other cell components in DSSCs such as the dye or the sensitizer. For instance, semiconducting quantum dot cannot be applied as a sensitizer for a TiO_2 photoanode since the conduction band of the quantum dot is lower than that of TiO_2 , which prevents the injection of excited photoelectron from the quantum dot sensitizer to TiO_2 . Although some other simple or complex oxides such as SnO_2 , ZnO , Nb_2O_5 , and Zn_2SnO_4 have also been demonstrated as photoanodes in DSSCs, they all showed much inferior PCE to that of TiO_2 [126–128]. Thus, it is critical to develop and design efficient photoanodes to replace TiO_2 . Some perovskites with ABO_3 structure had superior photovoltaic activity than TiO_2 , and their activity could be easily tuned by the functional doping or substitution in the A-site, B-site, and O-site.

As mentioned above, BSO and LBSO exhibited high electron mobility and electrical conductivity at room temperature. Recently, BSO and doped BSO have been widely used as photoanodes in DSSCs due to their superior light harvesting capability and electron conductivity/mobility to those of TiO_2 [129–131]. Due to the flexible structure of perovskite oxides, their sunlight harvesting capability, electron conductivity/mobility, and band structure can be tailored by rational selection and doping of the compositional elements and the tailoring of the synthesis methods. For example, different preparation methods were used to prepare BSO to enhance the PCE of BSO-based DSSCs [129]. The DSSC with co-precipitation-derived BSO photoanode showed a PCE of 1.1%. On the other hand, Li et al. also demonstrated the preparation of one-dimensional (1D) BSO hollow architectures by using $\text{BaCO}_3@ \text{SnO}_2$ core–shell nanorods as precursor for the application as photoanodes of DSSCs [130]. However, the PCE of this BSO-based DSSC was very low (<1.1%).

BSO nanoparticles have been synthesized through a facile liquid-phase reaction with a subsequent sintering procedure [131]. An attractive PCE of 5.2% was

obtained for a DSSC with the BSO nanoparticles as the photoanodes. First, amorphous BSO particles were precipitated before they gradually crystallized to form peroxo-precursor particles. Afterward, highly crystalline BSO nanoparticles were formed from these precursor particles by a calcination procedure. It was found that the thickness of the BSO film had strong influence on the PCEs of DSSCs. An increase in PCE of DSSC was found when the thickness of BSO film was increased. The highest PCE obtained from the DSSC with the 43- μm -thick BSO photoanode was assigned to its excellent electron collection capability, suggesting BSO was a potential photoanode for DSSCs. In another work, BSO nanoparticles were prepared by a simple water bath route for the application as photoanodes for DSSCs [132]. A chemical bath deposition (CBD) method was demonstrated to enhance the PCE of DSSC with BSO photoanode. An improvement of 21.7% in the PCE of DSSCs after the CBD treatment was achieved due to the enhanced physical connectivity, improved electron transfer capability, and enlarged electron lifetime. Fe doping was demonstrated to enhance the performance of BSO photoanode in DSSCs [133]. By optimizing the Fe doping amount, the highest PCE (7.78%) of DSSCs was achieved by a 0.03 mol% Fe-doped BSO photoanode due to the trade-off of the created ferromagnetism and the nanostructure change.

Besides the development of the new perovskite photoanodes such as BSO and doped BSO, a substitution of cations such as Ca^{2+} , Sr^{2+} , and Ba^{2+} was used to modify the electronic structure of TiO_2 to form a perovskite oxide for the application as the photoanodes for DSSCs [134]. Undoped and Mn-doped BaTiO_3 nanostructures were prepared by a co-precipitation route for the application as photoanodes in DSSCs [135]. Decreased particle sizes and band gaps were obtained by the increasing Mn doping amount. The PCE of DSSC reduced (0.007%) with a lower Mn doping amount (1 at.%) as compared to undoped one (0.012%). The PCEs of DSSCs enhanced to 0.025% and 0.045% with further increment of Mn doping concentration. Furthermore, the addition of TiO_2 scattering layer promoted the electron transfer and the DSSC efficiency enhanced for 7–17 times as compared to the pristine solar cells without the modification of the scattering layers.

In addition to the pure-phase perovskite oxide as the photoanode in DSSCs, the coupling of perovskite with other functional materials is also reported to enhance the PCEs of DSSCs. $\text{SrTiO}_3\text{:Sm}^{3+}\text{@SiO}_2$ (STS@ SiO_2) core-shell nanoparticles were prepared by a Stöber method in combination with a hydrothermal route [136]. This core-shell-structured composite was then used to modify TiO_2 photoanode in DSSCs. A down-conversion from UV light to visible light which well matched the light absorption region of N719 dye was achieved by STS@ SiO_2 core-shell nanoparticles. By comparing with the pristine TiO_2 and STS-doped TiO_2 photoanode, the DSSC with a STS@ SiO_2 -doped TiO_2 photoanode showed a superior PCE. A PCE of 5.07% was obtained by 10 wt% STS@ SiO_2 -doped TiO_2 -based DSSC, which was superior to that of pure TiO_2 (3.72%) and 10 wt% STS-doped TiO_2 (4.29%). The enhanced performance of STS@ SiO_2 -doped TiO_2 photoanode was assigned to the suppressed electron-hole recombination, enhanced light scattering, and down-conversion capabilities. In another study,

DSSC with a nanoporous SrTiO₃/SnO₂ composite photoanode showed a superior PCE of 3.4% as compared with the bare SnO₂ photoanode (2.2%) [137].

BSO is demonstrated as an important ETM in PSCs due to its superior electron mobility to TiO₂ and comparable electrical conductivity with TiO₂. BSO was also treated by TiCl₄ and/or the formation of BSO/scattering layer in the photoanodes in DSSCs to enhance the cell performance due to the improved collection efficiency of the photoinduced charge carriers. Rajamanickam et al. utilized BSO nanocuboids/nanoparticles as a photoanode for DSSC with a PCE of 0.71% [138]. Two scattering layer materials (TiO₂ and ZnO) at various TiCl₄ treatment times (1, 3, and 5 min) were conducted on the pristine BSO nanocuboids/nanoparticles for the application of photoanode in DSSCs. DSSCs with the BSO/TiO₂ scattering layer, the BSO/ZnO scattering layer, and the TiCl₄-treated BSO (1 min) photoanodes delivered PCEs of 1.14, 1.25, and 3.88%, respectively. Based on the combination of the TiCl₄ treatment and the scattering layer formation, a new composite photoanode was designed and developed [138]. DSSCs with BSO/TiO₂ scattering layer as photoanode after the treatment in TiCl₄ for 1 min showed a PCE of 5.68%, while a PCE of 4.28% was achieved by the BSO anode with ZnO scattering layer after treating in TiCl₄ for 1 min. Figure 10 shows the schematic diagram of DSSCs with pristine BSO, BSO/TiCl₄-treated, and BSO/TiCl₄-treated/scattering layer as photoanodes to obtain high PCE. It was found that, under the same TiCl₄ treatment, the ZnO scattering layer-based BSO photoanode showed an inferior performance to that of TiO₂ scattering layer, which was assigned to the lower dye adsorption amount of the ZnO scattering layer-based BSO photoanode caused by the smaller surface area and larger particle size of ZnO.

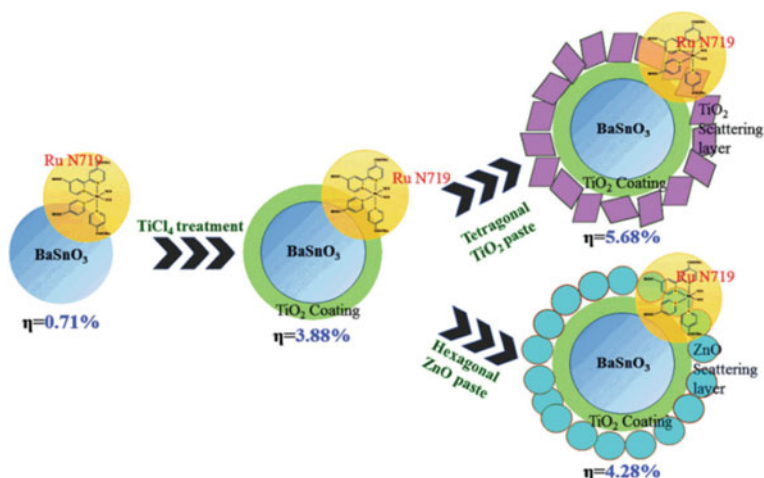
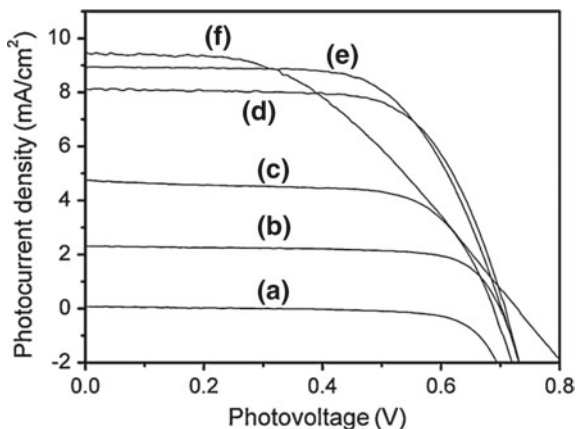


Fig. 10 Schematic diagram for the various photoanodes with achieved maximum PCE in DSSCs. Reprinted from Ref. [138] with permission from the PCCP Owner Societies

Kim et al. reported a SrTiO₃-TiO₂ composite as a photoanode in DSSCs, which showed an enhanced electron transfer capability and an electron-hole separation efficiency [139]. SrTiO₃-TiO₂ composite photoanode with rich heterojunctions and increased pore diameter was prepared by the anodization of a Ti foil and a subsequent hydrothermal procedure. As compared with the DSSC with pristine TiO₂ nanotube arrays (TNTAs) as photoanodes, DSSCs with a SrTiO₃-TiO₂ heterojunction photoanode showed a much higher PCE, which was assigned to the enhanced charge separation efficiency and the suppression of the recombination rate of electron-hole from SrTiO₃ on TiO₂ nanotube arrays through the interface with heterojunction. This successful synthetic approach in Kim et al.'s study can be easily transferred to other perovskite-based nanostructures for the applications in photoelectrochemical reactions and devices with improved efficiency. More recently, Tang and Yin presented a new Sr-doped TiO₂/SrTiO₃ nanorod array heterostructure as a photoanode in DSSCs [140]. This composite photoanode was prepared by a simple hydrothermal method, which showed a tunable microstructure and high photoelectrical conversion capability. The self-doping of Sr into the TiO₂ and the formation of SrTiO₃/Sr-doped TiO₂ heterostructure by the treatment of TiO₂ nanorod arrays in a Sr(NO₃)₂ solution resulted in a change in the sunlight response from UV light to visible light. By using this heterostructured photoanode, the dye loading amount was improved, which was assigned to the bonding of the hydroxide group on the photoanode surface and the carboxyl group of the dye molecule. The photoinduced electron-hole pair was also separated efficiently because of the formation of heterostructures and an improved PCE was achieved. DSSCs with the heterostructured photoanode delivered a PCE of 4.70% under the simulated sunlight irradiation (1 sun), which was almost 1.5 times higher than that of pristine TiO₂ photoanode (3.10%).

Apart from the coating of perovskite oxide on TiO₂ surface, TiO₂ nanoparticles were also used to decorate perovskite oxides to enhance the PCE of DSSCs when they are used as the photoanodes. TiO₂ nanoparticles with particle sizes of ~5 nm were decorated on BaTiO₃ by a TiCl₄ treatment, which were applied as photoanodes for DSSCs [141]. The optimized photoanode, designated as BaTiO₃/TiO₂(4), was prepared by 4 times of TiCl₄ treatments as shown in Fig. 11. The PCE of DSSC with this photoanode was 15% higher than that of TiO₂ photoanode, which was assigned to the dramatically enhanced electron lifetime and then the electron-hole recombination rate was reduced. Furthermore, the compositions of the TiO₂-BaTiO₃ nanocomposites also played a critical role in the PCEs of the DSSCs [142]. TiO₂ and BaTiO₃ nanoparticles were synthesized by sol-gel and solvothermal methods, respectively, and their composites with various weight ratios were used as photoanodes for DSSCs [142]. DSSC with pure BaTiO₃ photoanode showed a PCE of 6.83%, whereas that made of pure TiO₂ displayed a PCE of 7.24%. Further improvement in the PCEs of DSSCs was achieved by composting BaTiO₃ and TiO₂. The highest PCE of 9.40% was obtained in a DSSC with the nanocomposite with TiO₂ to BaTiO₃ weight ratio of 85 to 15. This enhancement in PCE was assigned to less recombination of photo-generated electrons, faster charge collection, and higher dye sensitization capability.

Fig. 11 *I-V* characteristics of the DSSCs with (a) BaTiO₃, (b) BaTiO₃/TiO₂(1), (c) BaTiO₃/TiO₂(2), (d) BaTiO₃/TiO₂(3), (e) BaTiO₃/TiO₂(4), and (f) BaTiO₃/TiO₂(5) electrode, respectively. Reprinted with permission from Ref. [141]. Copyright 2011, Elsevier Inc.



3.3 Photocathodes

Besides the development of photoanode materials for DSSCs [143, 144], many dyes with high sunlight absorption capability have been studied in DSSCs [145–147]. The most frequently investigated sensitizers in DSSCs are Ru(II)-containing complexes including N719, C101, etc. [146, 148]. In addition, the temperature and treating time for dye sensitizer adsorption played essential roles on the PCE of DSSC [148]. The electrolyte is another essential component in DSSCs. A quick and uninterrupted electron transfer is a fundamental requirement for the dye reproduction by the electrolyte. On the other hand, the redox couple in the electrolyte must have complete reversibility, chemical stability, and no sunlight absorption in the visible light region. The solvent must have low viscosity to ensure the rapid diffusion of the charge carriers and to offer excellent dispersion of the redox couple without any dye desorption capability. Up to now, the triiodide/iodide (I_3^-/I^-) redox couple in the acetonitrile is the most widely used electrolyte in DSSCs due to its superior solubility and ionic mobility [149–151]. The fast dye reproduction process by I^- and the low recombination rate of I_3^- and electrons resulted in a higher PCE of DSSC than those of other redox couples such as disulfide/thiolate (T_2/T^-), Co-complex (Co^{3+}/Co^{2+}), etc. [152–154]. After I^- regenerates the dye sensitizer and converts into I_3^- , it is essential to reduce I_3^- to I^- at a very fast rate. The interface between the electrolyte and the photocathode provides the region where the I_3^- reduction reaction (IRR) happens. The photocathode should present a fast electron transfer from the outer circuit and then promote the IRR process. Thus, high conductivity and superior electrocatalytic activity are the basic requirements for photocathodes [155–157].

Pt is the most investigated photocathode in DSSCs because of its high electrocatalytic activity and excellent electron transfer capability. However, Pt suffers from several disadvantages such as prohibitive cost and scarcity, which strongly limit its large-scale application as photocathodes in DSSCs. Currently, the key

issues are to seek cost-effective and highly active electrocatalysts to replace Pt. Several low-cost candidates, such as carbons, conductive polymers, and metal oxides/sulfides/selenides, have been widely investigated as novel photocathode alternatives [158–168]. Until now, some carbons, such as carbon nanotubes (CNTs), graphene, and carbon black (CB), have been investigated as photocathodes because of the high surface area and conductivity [169, 170]. Nonetheless, their performance remains unsatisfactory [171]. Functionalization, doping, and morphology control are useful strategies to enhance the electrocatalytic performance of carbon-based photocathodes [153, 172, 173]. Nonetheless, the complex preparation routes and inferior stability of the functional groups limited the large-scale applications. In addition, several metal sulfides and metal selenides also showed excellent IRR activity [174–177]. However, the inferior chemical stability and conductivity hindered the widespread applications. Metal oxides have attracted more and more interests as photocathodes because of their abundance, superior activity, and stability as well as low cost [178–180]. As compared with simple metal oxides, complex oxides are more appealing as photocathodes in DSSCs due to the superior flexibility in chemical and physical properties. Some complex oxides including spinel and delafossite have been used as photocathodes [181–183]. Nonetheless, their electrocatalytic activities were not very satisfactory because of the limited amount of active sites. Perovskite oxides have received particular attention as catalysts in various electrocatalysis-based applications over the past decades due to the excellent compositional and structural flexibility. After rationally selecting the compositional elements in perovskite oxides, high activity for electrocatalysis-based reactions can be obtained by various perovskite oxides [184–186], suggesting a potential in the application of perovskite oxides as photocathodes in DSSCs.

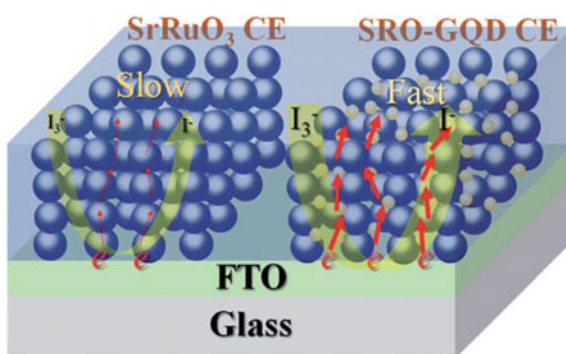
Pure-phase perovskite oxides such as $\text{La}_{0.67}\text{Sr}_{0.33}\text{MnO}_3$ (LSM) were used as low-cost Pt-free photocathodes for DSSCs [187]. However, its IRR activity was not satisfied as compared with Pt. Although the performance of LSM was not very good, this work makes an attempt to develop perovskite oxide-based electrocatalysts as potential photocathodes, which can reduce the cost of DSSCs and accelerate its commercialization processes. The low IRR activity of the perovskite oxides mainly come from their low electrical conductivity, which can be solved by the incorporation of carbon materials. Some metal oxide/carbon composites were also used as photocathodes in DSSCs, such as NiO@reduced graphene oxide (RGO) composites and SnO_2 @RGO composites [188, 189]. Xiong et al. proposed a solution combustion method to prepare LSM@RGO composite photocathode for DSSCs [190]. The positive synergetic effect between LSM and RGO greatly improved the IRR activity. DSSC with the LSM@RGO composite photocathode displayed a high PCE of 6.57%, which reached 92% of Pt photocathode (7.13%).

Due to the inferior electron mobility and low electrocatalytic activity of bismuth titanate ($\text{Bi}_4\text{Ti}_3\text{O}_{12}$, BTO) perovskite photocathode, Yu et al. designed BTO/graphene composites as photocathode for DSSCs to improve the PCE [191]. The BTO/graphene photocathode displayed a much higher photovoltaic performance than that of the pristine BTO photocathode due to the superior IRR activity, larger

specific surface area, and lower charge transfer resistance. After optimizing the conditions, the DSSC with BTO/graphene photocathode exhibited a superior PCE (9.70%) to pristine BTO photocathode (0.81%). The work offers a new and effective strategy to enhance the electrocatalytic activity of perovskite oxides with low conductivity. $\text{La}_{0.5}\text{Sr}_{0.5}\text{CoO}_{2.91}$ (LSC) perovskite oxides were prepared by a sol–gel route and applied as the photocathodes for DSSCs [192]. DSSC with LSC photocathode showed a PCE of 3.24%. To further improve the performance of LSC, the LSC/RGO nanocomposites were synthesized by a facile physical mixing route for the application as efficient photocathodes for DSSCs. The LSC/RGO exhibited a good IRR activity due to the synergetic effect between LSC and RGO. Therefore, the DSSC with the LSC/RGO photocathode delivered a PCE of 6.32%, which was much superior to that of pristine LSC photocathode (3.24%) or RGO photocathode (4.54%). More importantly, this PCE reached 88% of the Pt photocathode (7.18%) at the same conditions.

Strontium ruthenate (SrRuO_3 , SRO) perovskite oxides are widely used as catalysts for oxygen evolution reaction and electro-oxidation in fuel cells to replace costly Pt catalysts [193, 194]. Recently, in 2016, Cao and co-workers reported sputtered SRO perovskite film as a Pt-free photocathode in DSSCs, demonstrating promising electrocatalytic activity for IRR and resulting in PCE close to that of traditional Pt photocathode [195]. Furthermore, the authors used epitaxial strain via lattice mismatch as a way to tailor the electrocatalytic activity for IRR. Compared with the SRO/ SrTiO_3 photocathode, the in-plane tensile stress in SRO/ MgAl_2O_4 films increased the adsorption strength of the adsorbed I_3^- and then accelerated the IRR at the photocathode/electrolyte interface and I_3^- diffusion in the electrolyte, which resulted in an enhancement in the PCEs of DSSCs. This work provides new insight into the enhancement of IRR activity through rational design and engineering of the crystal and microstructure of perovskite films. More recently, graphene quantum dots (GQDs) were applied to decorate SRO as a composite photocathode (SRO-GQD) [196]. The highly porous SRO photocathode prepared from hydrothermal route showed a large surface area, superior electrolyte diffusion capability, and IRR activity. As a result, a higher PCE of 7.16% than the sputtered SRO photocathode (6.48%) was achieved. The improved IRR activity of

Fig. 12 The electron transfer processes of SRO and SRO-GQD composite for IRR in DSSCs. Reprinted with permission from Ref. [196]. Copyright 2017, Royal Society of Chemistry



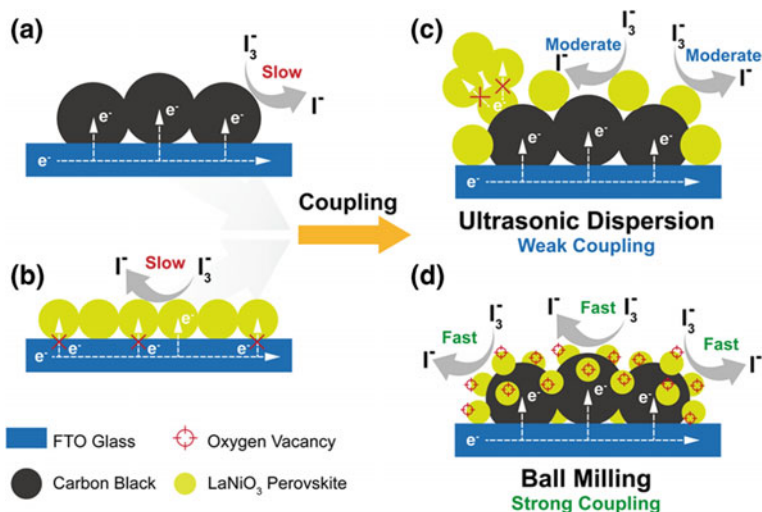


Fig. 13 Schematic illustrations of the reaction mechanisms with $LaNiO_3$, CB, and $LaNiO_3/CB$ electrocatalysts for IRR. Reprinted with the permission from Ref. [197]. Copyright 2017, Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim

SRO-GQD composite was attributed to the increased amount of active sites and improved electron transfer capability compared with the pristine SRO photocathode. The electron transfer pathways of SRO and SRO-GQD photocathodes for IRR were depicted in Fig. 12. Thus, DSSC with SRO-GQD photocathode delivered a high PCE of 8.05%, which was much superior to those of SRO (7.16%) and Pt (7.44%) photocathodes, further suggesting the critical role of the conductivity of photocathodes in achieving high PCEs in DSSCs.

In another work, Wang et al. reported a facile mechano-chemical route to prepare perovskite/carbon hybrids as photocathodes [197]. Large amounts of oxygen vacancies (active sites) were created in this route, which can be well tuned by the treating time of the ball milling (BM) process. DSSC assembled by the $LaNiO_3/CB$ photocathode with the optimized ball milling time showed a similar PCE (7.94%) to Pt (8.11%), which was much superior to $LaNiO_3$ or CB used alone as photocathodes. As shown in Fig. 13, a strong coupling effect between $LaNiO_3$ and carbon was created in the BM process compared with the ultrasonic dispersion route, which improved the charge transfer capability and IRR activity of the $LaNiO_3$ /carbon hybrid. Compared with $LaNiO_3$ and CB, the construction of strongly coupled $LaNiO_3/CB$ hybrid greatly improved the charge transfer capability, which contributed to the excellent IRR activity of $LaNiO_3/CB$.

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Chapter 8

Perovskite Materials in Electrocatalysis



Xiaomin Xu, Yangli Pan, Lei Ge and Zongping Shao

1 Introduction

Driven by pressing concerns over the growing energy demands, depleting fossil fuels, and impending climate change, one grand challenge facing humanity today is how to power the planet with renewable energy sources (e.g., wind and solar). Renewable energy is plentiful but tends to be intermittent in nature as the wind is not always blowing and the sun is not always shining. One prospective option to address the issue of energy supply intermittency is to develop electrochemical devices that can utilize electricity produced from renewable energy to enable the transformations between electrical energy and chemical energy. For example, in water electrolyzers, water molecules are split into hydrogen, which is a clean energy carrier that can be used in fuel cells to generate electricity. In fuel cells or metal–air batteries, the oxidation of hydrogen or metals is coupled with the reduction of oxygen to convert chemical energy into electrical energy. Unfortunately, these energy conversion technologies have low efficiency because of the sluggish kinetics of several key reactions involved. For instance, the overall efficiency of water electrolysis is dominated by the hydrogen evolution reaction (HER) at the cathode and the oxygen evolution reaction (OER) at the anode [1]. Likewise, the efficiency of rechargeable metal–air batteries is strongly dependent on the oxygen reduction reaction (ORR) during discharge and the OER during charge [2]. Increasing the efficiency of

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these devices would therefore necessitate the role of electrocatalysis to modify the rate of the relevant chemical reactions via the assistance of electrocatalysts that are active and stable.

The term “electrocatalysis” is generally referred to as a type of catalysis that leads to the change of the rate of an electrochemical reaction taking place at the interface between an electrode and an electrolyte. Despite its high relevance to renewable energy technologies, our current knowledge about the fundamental mechanisms underlying electrocatalysis is still inadequate. For instance, mechanistic insights into the OER are limited to theoretical understanding only, due to the complexity in this multistep four-electron reaction which lacks experimental observation on the details of electrode interfaces under realistic operating conditions. Nonetheless, years of intense academic research have seen significant breakthroughs in the development of electrocatalysts that can substantially lower the overpotential associated with the electrochemical reactions. While noble metal-based materials (e.g., Pt-, Ir-, and Ru-based materials) normally show optimum catalytic activity [3, 4], their widespread penetration into clean energy devices is hindered by their low abundance and high cost, which does not conform to the vision of a sustainable energy future. Hence, tremendous efforts have been devoted to the search for a wide range of inexpensive alternatives that consist of Earth-abundant elements. These include metals [5], oxides [6–8], (oxy)hydroxides [9, 10], chalcogenides [11], nonmetal carbon materials [12], and metal–organic frameworks [13], to name just a few.

Of all the candidates, perovskite materials have recently emerged as a new family of low-cost, high-efficient nonprecious metal catalysts for catalyzing relevant reactions including the ORR, OER, and HER [14]. Perovskites are known for their versatility in chemical, crystal, and electronic structures [15]. With a nominal formula generally expressed as ABO_3 , the perovskite chemical structure can accommodate nearly 90% of the elements within the “Periodic Table of Elements” by partial substitution of elements at A- or/and B-sites, while also allowing a changeable stoichiometry at the O-site [16]. In addition, a range of perovskite derivatives with varied crystal structures, including double perovskite, layered perovskite, and quadruple perovskite, further add to the diversity of the perovskite family. This flexibility gives rise to the tunability in the electronic structure of perovskites, making them ideally suited for the study of the structure–performance relationship in electrocatalysis. In oxygen electrocatalysis, a veritable explosion of research activity targeting the development of perovskite catalysts has been observed. On the other hand, the role of perovskites in the field of hydrogen electrocatalysis is less explored, and has been gaining growing attention until recently. In this chapter, we mainly focus on perovskite materials for the electrocatalysis toward the ORR, OER, and HER reactions. Considering that perovskite oxides are typically stable in alkaline media and can dissolve in acids, this chapter will primarily deal with those that work in alkaline environments unless otherwise stated. The electrocatalytic mechanisms are first introduced to offer a clear picture of the reactions taking place on perovskite catalysts. This is followed by a detailed discussion on the rational design of perovskite materials toward efficient electrocatalysis, which focuses on both catalytic activity and stability. In the context of the

pursuit of a sustainable future of energy, we also present applications of perovskite materials in electrocatalysis-related energy devices which can enable efficient use of renewable energy.

2 Mechanisms in Electrocatalysis on Perovskite Materials

Fundamental insight into the reaction mechanisms is a vital step toward understanding electrocatalysis on catalyst surfaces. Due to the complex interaction at the electrode interface, there is no single governing mechanism that unifies all electrocatalytic reactions. Even for the same reaction of interest, the mechanisms can differ with catalyst chemistries. Taking the OER in alkaline media as an example, one-metal-site mechanisms have been proposed for noble metals [17], dimeric molecules [18], and perovskite oxides [19], whereas distinct two-metal-site mechanisms have been introduced for the oxygen-evolving complex of photosystem II [20] and electrodeposited oxides [21]. In this section, only the conventional mechanisms (which are considered the most favorable) underlying the oxygen and hydrogen electrocatalysis on perovskite surfaces are highlighted.

2.1 Oxygen Electrocatalysis

Conventionally, the ORR reaction in alkaline solutions can be written as $O_2 + 2H_2O + 4e^- \rightarrow 4OH^-$. Previous experimental and computational studies show that the ORR on perovskite oxides is a consecutive, four-step, proton-coupled electron transfer process taking place on single surface metal sites [22, 23]. These four sequential steps are 1, hydroxide displacement; 2, peroxide formation; 3, oxide formation; and 4, hydroxide regeneration as shown in Fig. 1a [22]. In these reaction pathways, molecular O_2 or H_2O from the electrolyte and electron interact with surface metal sites to generate four different oxygenated adsorbates including OO^* , OOH^* , O^* , and OH^* (* denotes a reactive site on the catalyst surface), which is accompanied by the oxidation and reduction (redox) of surface metal sites whose oxidation state changes between $n +$ and $n + 1$. Energetically, the ORR reaction kinetics are largely determined by the binding energy of oxygen and the surface metal sites; too weak of an oxygen-binding energy leads to the first OH^* -to- OO^* displacement step being the rate-determining step (RDS), whereas too strong of an oxygen-binding energy renders the last O^* -to- OH^* transition step being rate-limiting [23]. An optimal perovskite catalyst binds to oxygen neither too weakly nor too strongly, which is commonly referred to as the Sabatier principle. For perovskite oxide surfaces that follow the same one-metal-site mechanism, the binding energies of the different reactive oxygen adsorbates involved in the ORR are highly correlated due to scaling relations [24, 25], which is the origin of the nonzero theoretical overpotential observed among many catalysts.

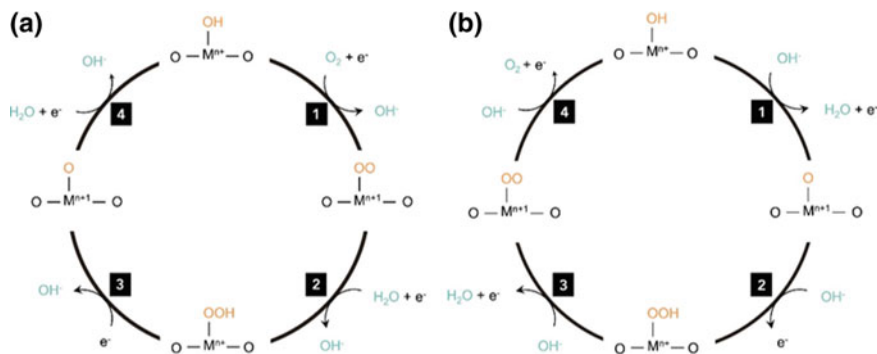


Fig. 1 **a** Four-step ORR mechanism on the surface of perovskite oxide catalysts in alkaline solutions. **b** Four-step OER mechanism on the surface of perovskite oxide catalysts in alkaline solutions (Reprinted with permission from Ref. [22]. Copyright 2017 Elsevier Inc.)

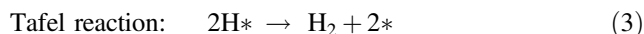
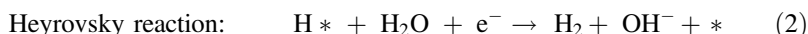
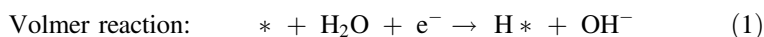
In a similar vein, the OER reaction on perovskite oxides in alkaline media, which can be written as $4OH^- \rightarrow O_2 + 2H_2O + 4e^-$, proceeds via four proton-coupled electron transfer steps including 1, hydroxide deprotonation; 2, peroxide formation; 3, peroxide deprotonation; and 4, hydroxide regeneration (Fig. 1b) [22]. In this mechanism, hydroxyl ions (OH^-) from the electrolyte interact with surface metal sites, resulting in the redox of the metal (with the oxidation state switching between $n +$ and $n + 1$). The reactive intermediates generated for the OER in base are the same as those for the ORR, which are, however, in a different sequence of O^* , OOH^* , OO^* , and OH^* . Similar to the ORR, the OER reaction kinetics are primarily controlled by the oxygen-binding strength on surface metal sites. For metal sites that bind oxygen too weakly, the second O^* -to- OOH^* peroxide formation step is rate-limiting. On the other hand, for metal sites that bind oxygen too strongly, the RDS may be the third OOH^* -to- OO^* peroxide deprotonation step [26]. The binding strength of the surface oxygen adsorbates is found to obey a scaling relation [27], analogous to the case of perovskites for the ORR, again hampering the development of a perovskite OER catalyst with zero overpotential. While the conventional OER mechanism considers surface metal as the sole reactive site, novel mechanisms that involve the participation of lattice oxygen have recently been proposed [28–32].

Note that the intermediate adsorbates on perovskite oxide surfaces in the above-mentioned ORR/OER mechanisms are not identical to those on metal surfaces in relevant mechanisms proposed based on density functional theory (DFT) calculations. Despite having similar elementary reaction steps, the ORR on metal surfaces involves a series of intermediates in the order of O_2^* , OOH^* , O^* , and OH^* [24], whereas the OER on metal surfaces involves a sequence of intermediates of OH^* , O^* , OOH^* , and O_2^* [17]. This difference can be rationalized from the different surface properties of metal surfaces and perovskite oxide surfaces, the latter being able to adsorb negatively charged species (such as OH^-) in alkaline conditions at the initial stage of

electrocatalysis [33]. Such difference also points to the diversity in reaction mechanisms that have been proposed for oxygen electrocatalysis, which again highlights the complexity of the oxygen chemistries on catalyst surfaces. To date, due to the underdeveloped time-resolved techniques, experimentally accessing the reaction intermediates on perovskite oxide surfaces remains a formidable challenge. Theoretically, to gain a better mechanistic understanding of the oxygen electrocatalysis, interested readers can resort to the pioneering DFT works by Rossmeisl et al. [17, 27, 34] and Nørskov et al. [24, 35, 36].

2.2 Hydrogen Electrocatalysis

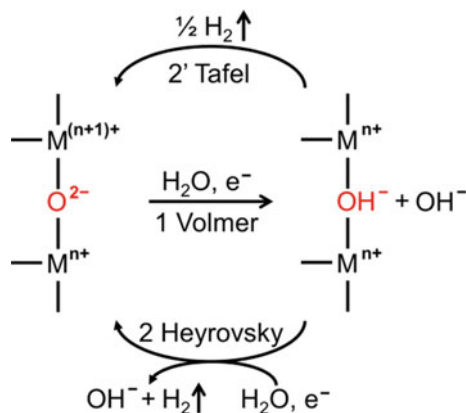
The overall reaction of the HER in basic solutions can be written as $2\text{H}_2\text{O} + 2\text{e}^- \rightarrow \text{H}_2 + 2\text{OH}^-$. Generally, the alkaline HER is a two-step electron transfer process, and may occur via either the Volmer–Heyrovsky mechanism or the Volmer–Tafel mechanism [37, 38]:



Both reaction pathways include the same Volmer reaction, which involves adsorption of molecular H_2O on the empty reactive sites, electrochemical reduction of adsorbed H_2O into adsorbed hydrogen atoms (H^*) and OH^- , desorption of OH^- to refresh the catalyst surface, and formation of H^* for H_2 evolution.

While the conventional HER mechanism has been well established based on experimental and theoretical results on metal catalysts [39], little is known about the HER process on oxide surfaces, especially in alkaline environments [40, 41]. Back in the 1990s, Goodenough et al. first experimentally observed the evolution of hydrogen on $\text{Sr}_{1-x}\text{NbO}_{3-\delta}$ ($0.05 \leq x \leq 0.3$) perovskite oxides in strong acids [19]. Based on electrochemical measurements, they proposed a possible HER reaction pathway and pointed out that the reactive site for the HER on perovskite oxides appears to be not the surface metal, but rather the surface oxygen anion. This is not unexpected considering that binding with positively charged metal cations would be kinetically unfavorable for H atoms. Very recently, Xu et al. showcased the efficacy of a $\text{Pr}_{0.5}(\text{Ba}_{0.5}\text{Sr}_{0.5})_{0.5}\text{Co}_{0.8}\text{Fe}_{0.2}\text{O}_{3-\delta}$ perovskite oxide in catalyzing the HER in bases, and proposed a reaction mechanism for the alkaline HER as shown in Fig. 2 [42], similar to that for the HER in acids [19]. Note that the O-site at the initial reaction state is not protonated here for the sake of simplicity. In this pathway, molecular H_2O from the electrolyte and electron interact with a bridging oxygen site to form an adsorbed H^* intermediate, where one adjacent surface metal can be reduced or oxidized (with the oxidation state switching between $n + 1$ and n).

Fig. 2 Two-step HER mechanism on the surface of perovskite oxide catalysts in alkaline solutions (Reprinted with permission from Ref. [42]. Copyright 2016 John Wiley and Sons)



However, it remains difficult to determine whether the second step proceeds via the Heyrovsky reaction or the Tafel reaction.

Unlike in the case of the ORR/OER with multiple reaction intermediates, the two-electron HER involves only one intermediate (H^*). Thus, no scaling relation is observed and an ideal zero overpotential can be achieved on advanced catalysts (e.g., Pt) [11], which represents a goal for optimizing the perovskite electrocatalysts. The rate of the overall HER reaction is mainly dependent on the binding strength of the H^* intermediate, which is more commonly referred to as the hydrogen adsorption free energy (ΔG_H) [43]. An optimal HER catalyst should bind to hydrogen neither too weakly nor too strongly, having a ΔG_H value close to zero.

3 Rational Design of Perovskite Materials Toward Efficient Electrocatalysis

While the proposed reaction mechanisms can offer a fundamental understanding of the electrochemical processes occurring on perovskite materials, they do not have the power to screen perovskites with remarkable catalytic effects (“activity”). Given the large number of perovskite candidates and their many physical properties influencing the catalytic activity, it is necessary to realize the rational design of perovskite materials toward efficient electrocatalysis. Generally, the activity of an electrocatalyst can be improved by either increasing the intrinsic activity of each reactive site or increasing the number of reactive sites (extrinsic activity) [44]. A combination of these two strategies can lead to further enhancement in activity. To rationalize the design of improved perovskite catalysts, property–activity relationships that govern the intrinsic activity, which are often referred to as “activity descriptors”, have been developed [45]. This section describes several important activity descriptors that have shown predictive power in discovering advanced perovskite catalysts, with a special emphasis on descriptors for the OER.

Nonetheless, these descriptors have their limitations and do not hold universally for all perovskite materials across all catalytic reactions. Thus, specific design strategies that aim to increase the intrinsic or extrinsic activity (or both) are discussed, focusing on a range of parameters that can be tuned in perovskite oxides to promote the catalytic performance of the ORR, OER, and HER. In addition to high activity, robust stability represents another important issue for efficient electrocatalysts. To facilitate improved catalyst design, major concerns over the stability of perovskite materials during electrocatalytic processes are included in this section.

3.1 Activity Descriptors

As mentioned earlier, the reaction rate of the OER is primarily determined by the strongly correlated binding energy of oxygen intermediates. Based on this understanding, Rossmeisl et al. utilized DFT calculations to establish the difference between the adsorption free energy of the O^* and OH^* intermediates ($\Delta G_{O^*} - \Delta G_{OH^*}$) as a universal activity descriptor for the OER on perovskite oxide surfaces [27], with the highest activity expected at a moderate binding of the oxygen intermediates. However, because of the experimental challenge in unambiguously distinguishing reaction intermediates, it is not straightforward to identify active perovskite catalysts using this descriptor. Directly related to the term $\Delta G_{O^*} - \Delta G_{OH^*}$ is the redox potential of transition metal cations prior to the catalytic OER, which has recently been proposed as a simpler activity descriptor [22]. Unfortunately, this approach is limited by the difficulty in the assignment of the redox transition in perovskite oxides preceding the OER. Alternatively, activity descriptors from both molecular orbital theory and band theory have proved fruitful in guiding the design of perovskite materials as efficient electrocatalysts [23, 26, 46].

3.1.1 Activity Descriptors from Molecular Orbital Theory

In the perovskite oxide structure, the B-site transition metal is sixfold coordinated to the oxygen to form an octahedron. In this octahedral environment, the transition metal d orbitals hybridize with the O 2p orbitals, forming π -bonding and π^* -antibonding (called t_{2g} orbital) where d_{xy} , d_{yz} , and d_{xz} orbitals have a weak spatial overlap with adjacent O 2p orbitals, and σ -bonding and σ^* -antibonding (called e_g orbital) where $d_{x^2-y^2}$ and d_{z^2} orbitals have a strong spatial overlap with nearby O 2p orbitals. Molecular orbitals depicting the metal–oxygen electronic states can be used to explain the electrocatalytic phenomena in perovskite materials. Bockris and Otagawa were the first to use the d-electron number (both t_{2g} and e_g electrons) of transition metal cations as an activity descriptor to clarify the OER activity observed on ABO_3 (B = Ni, Co, Fe, Mn, Cr, V) perovskites [47, 48]. They found an inverse trend between the OER overpotential and the number of transition metal

d electrons, which was concluded to play a major role in determining the binding strength of the OH* adsorbate via the filling of the metal–OH antibonding orbitals. Similar to this finding, recent DFT calculations showed that the binding energy of possible OER adsorbates has a scaling relationship with the transition metal d-electron number and oxidation state of perovskite oxides [49].

In contrast to the d-electron descriptor from Bockris and Otagawa, a more appropriate activity descriptor from molecular orbital principles is the filling of e_g orbital of surface transition metal cations reported by Shao-Horn and co-workers [26]. Based on the consideration that the e_g orbital has a higher overlap with a surface-anion adsorbate than does the t_{2g} orbital, the occupancy of e_g orbital can more directly influence the binding of adsorbed oxygen intermediates on surface transition metals and thus the OER activity. Through a systematic evaluation of more than 10 perovskite oxides with varying B-site elements in basic solutions, they demonstrated a volcano relationship between the OER activity (in terms of the overpotential needed to afford a $50 \mu\text{A cm}_{\text{ox}}^{-2}$ specific current density) and the e_g filling of B-site ions (Fig. 3a) [26, 45]. For the left/right branch of the volcano, perovskites having too little/much e_g orbital occupancy can result in too strong/weak interaction with oxygen intermediates, both leading to unfavorable OER activity. Sitting at the top of the volcano plot are perovskites with e_g filling close to unity, offering optimum binding of reaction intermediates and high OER performance. This observation is consistent with the Sabatier principle. The volcano trend is observed on a number of perovskite oxides, over a voltage span of 0.3 V, and across four orders of magnitude, rendering e_g filling as a universally applicable descriptor of OER activity. This descriptor has allowed the identification of a range of active perovskite OER catalysts, including $\text{Ba}_{0.5}\text{Sr}_{0.5}\text{Co}_{0.8}\text{Fe}_{0.2}\text{O}_{3-\delta}$ (BSCF) [26], $\text{SrNb}_{0.1}\text{Co}_{0.7}\text{Fe}_{0.2}\text{O}_{3-\delta}$ [50], $\text{Ca}_2\text{Mn}_2\text{O}_5$ [51], $\text{Ca}_{0.9}\text{Yb}_{0.1}\text{MnO}_{3-\delta}$ [52], and

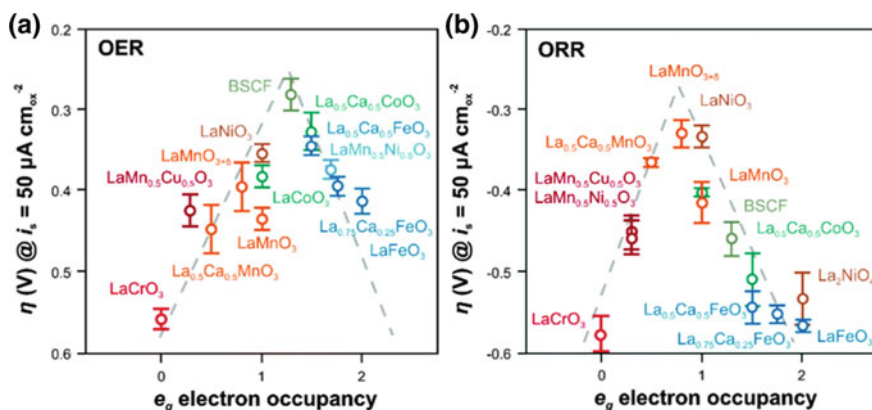


Fig. 3 The volcano relationship between **a** the OER activity or **b** the ORR activity in alkaline solutions, defined by the overpotential at a $50 \mu\text{A cm}_{\text{ox}}^{-2}$ specific current density, and the transition metal e_g electron occupancy on perovskites (Reprinted with permission from Ref. [45]. Copyright 2015 Royal Society of Chemistry)

$\text{CaCu}_3\text{Fe}_4\text{O}_{12}$ [53]. More recently, the application of the e_g -filling descriptor has been successfully extended to the screening of oxides with structures other than perovskite, for example, spinel [54] and rock salt [55] structures, for efficient OER electrocatalysts.

In a similar fashion, plotting the ORR activity (in terms of the overpotential required to give a $50 \mu\text{A cm}_{\text{ox}}^{-2}$ specific current density) of more than 10 perovskite oxides in alkaline media versus the e_g occupancy of surface transition metal cations can also obtain a volcano relationship as shown in Fig. 3b [23, 45]. Again, the perovskite ORR activity peaks at a near-unity filling of the e_g orbital for LaNiO_3 , LaCoO_3 , and LaMnO_3 , outperforming LaCrO_3 (e_g^0) with too low an e_g occupancy at the left branch of the volcano and LaFeO_3 (e_g^2) with too high an e_g filling at the right branch. The e_g -filling descriptor has seen success in discovering many efficient perovskite ORR catalysts, such as $\text{LaNi}_{0.25}\text{Co}_{0.75}\text{O}_{3-\delta}$ [56], $\text{LaNi}_{1-x}\text{Mg}_x\text{O}_3$ [57], $\text{LaNi}_{1-x}\text{Fe}_x\text{O}_3$ [58], and $\text{La}_{0.8}\text{Sr}_{0.2}\text{Mn}_{0.6}\text{Ni}_{0.4}\text{O}_3$ [59]. In the case where an identical e_g occupancy of B-site cations is observed in different perovskite materials, the transition metal–oxygen covalency is found to serve as a secondary activity descriptor [23, 26]. For example, the ORR/OER activity of LaMnO_3 , LaCoO_3 , and LaNiO_3 (all having an electronic state of e_g^1) increases with the extent of the covalent mixing between transition metal ions and oxygen atoms in the order of $\text{LaMnO}_3 < \text{LaCoO}_3 < \text{LaNiO}_3$.

Despite the usefulness of the molecular orbital-based e_g filling as a universal descriptor for picking perovskite ORR/OER catalysts, this descriptor has its own limitations. On the one hand, molecular orbital theory only considers the transition metal as the reactive site, and thus cannot efficiently capture the metal–oxygen covalency of highly covalent perovskite systems where both metal and oxygen can function as reactive sites [29, 30]. On the other hand, the estimation of the e_g electron number, which is based on the transition metal oxidation state and spin state extracted from ex situ measurements, remains ambiguous. For instance, there has been an ongoing debate over the spin state of cobalt-based perovskites [60–64].

3.1.2 Activity Descriptors from Band Theory

The success of the e_g -filling descriptor highlights the importance of catalyst electronic structure on the ORR/OER. In addition to molecular orbital theory, band theory can also offer insightful understanding of the electronic structure of catalyst materials. For example, previous theoretical works have correlated the catalytic activity of metal or alloy surfaces with the metal d-band center [65]. As for perovskite oxides, band descriptions (such as bandwidth) have also been developed to describe the trend in oxygen electrocatalysis [66, 67]. Very recently, Grimaud et al. reported a correlation between the computed O p-band center relative to the Fermi level and the OER activity of cobalt-based perovskites [46]. As depicted in Fig. 4a, the electronic structure characteristics of perovskite oxides are more accurately captured by the more delocalized O p-band, while the transition metal d-character is

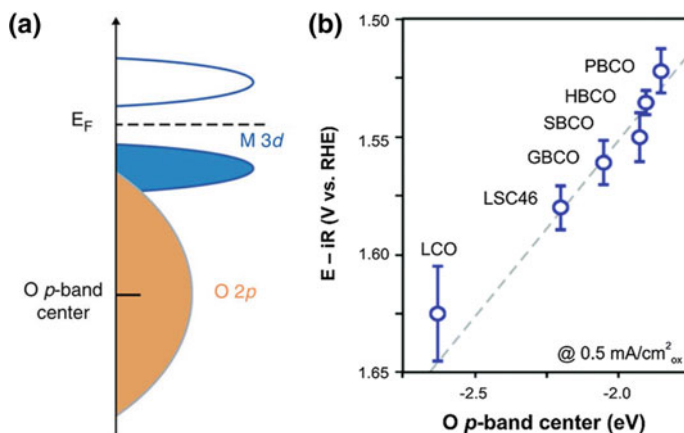


Fig. 4 **a** A schematic representation of the density of states for perovskite oxides, illustrating the O 2p and transition metal 3d bands (Reprinted with permission from Ref. [46]. Copyright 2013 Springer Nature). **b** The relationship between the OER activity in alkaline solutions, defined by the potential at a $0.5 \text{ mA cm}_{\text{ox}}^{-2}$ specific current density, and the O p-band center relative to the Fermi level for cobalt-based perovskite oxides including $\text{PrBaCo}_2\text{O}_{5+\delta}$ (PBCO), $\text{HoBaCo}_2\text{O}_{5+\delta}$ (HBCO), $\text{SmBaCo}_2\text{O}_{5+\delta}$ (SBCO), $\text{GdBaCo}_2\text{O}_{5+\delta}$ (GBCO), $\text{La}_{0.4}\text{Sr}_{0.6}\text{CoO}_{3-\delta}$ (LSC46), and LaCoO_3 (LCO) (Reprinted with permission from Ref. [45]. Copyright 2015 Royal Society of Chemistry)

still reflected through hybridized density of states. The O p-band center of several double perovskite and single perovskite oxides, which was obtained from DFT calculations, was found to scale linearly with the OER performance (Fig. 4b) [45, 46]; the closer the O p-band center to the Fermi level, the greater the OER activity. Despite acting as an alternative activity descriptor, the computed O p-band center remains to be verified by experimental investigations into the metal and oxygen states. Moreover, the universality of this activity descriptor needs to be testified on perovskite systems beyond cobalt-containing ones.

3.2 Design Strategies

In spite of the success in the establishment of activity descriptors, they appear to be not explicit enough in guiding catalyst design and could present a challenge in transforming into more practical design strategies toward active perovskite electrocatalysts. In practice, the activity of a perovskite catalyst can be increased by two means. One is to take into account the electronic effects, aiming to intrinsically increase the activity of each reactive site. This can be achieved by regulating the physical/chemical properties related to the perovskite electronic structures, such as composition, oxygen vacancy, and crystal structure. The other is to consider the geometric effects that can extrinsically increase the number of reactive sites. This involves the search for novel methods to synthesize perovskite nanostructures or

composites. This section covers catalyst design strategies based on these parameters, with special attempts to illustrate how each parameter can be separately tuned in perovskites for improved electrocatalysis. Note that these parameters are actually correlated to one another. For instance, changing the composition could affect the oxygen vacancy or crystal structure [29]. Moreover, there are cases when the intrinsic and extrinsic activities can be increased simultaneously. One example is that downsizing the perovskite oxides could also bring about changes in their electronic structure [68]. Considering the huge quantity of perovskites that have been studied thus far, it appears to be impractical to mention them all and thus only typical examples are given here. Other catalyst design parameters, such as strain [69–71] and conductivity [52, 71–74], can also influence the electrocatalytic performance of perovskites. However, they are not included here given that they are currently less explored.

3.2.1 Composition

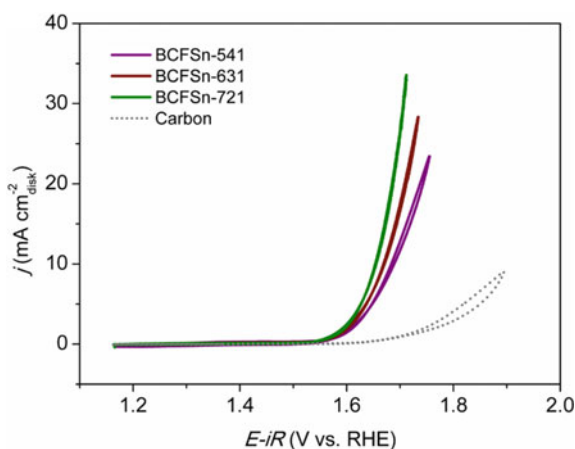
Perovskite materials are known for their flexibility in chemical compositions. Elemental substitution on the A- or/and B-site can alter the electronic structure of the B-site transition metal, thereby modifying the electrocatalytic activity. Generally, a doped perovskite oxide can be described using the formula of $A_{1-x}A'_x B_{1-y}B'_y O_3$, where A' and B' represent the A- and B-site dopants at a doping level of x and y , respectively. For the A-site substitution, A' is typically a rare-earth or an alkaline-earth metal. Hyodo et al. investigated the effect of rare-earth elements on the ORR activity of LnMnO_3 (Ln = lanthanides and Y) in alkaline solutions, and found an activity order of $\text{La} > \text{Pr} > \text{Nd} > \text{Sm} > \text{Gd} > \text{Y} > \text{Dy} > \text{Yb}$, consistent with the decreasing order of the Ln^{3+} ionic radius [75]. Partial replacement of lanthanides by alkaline-earth metals can further change the catalytic performance. For example, the ORR activity of $\text{Pr}_{0.8}\text{A}'_{0.2}\text{MnO}_3$ (A' = Ca, Sr, Ba) perovskites was reported to increase in the order of $\text{Pr}_{0.8}\text{Ba}_{0.2}\text{MnO}_3 < \text{Pr}_{0.8}\text{Sr}_{0.2}\text{MnO}_3 < \text{Pr}_{0.8}\text{Ca}_{0.2}\text{MnO}_3$ [76]. The effects of A-site doping on the ORR activity were also observed in other perovskite systems, such as $\text{La}_{1-x}\text{Ca}_x\text{MnO}_3$ [77], $\text{La}_{1-x}\text{Sr}_x\text{MnO}_3$ [78, 79], and $\text{La}_{1-x}\text{Ca}_x\text{CoO}_3$ [80]. Similar studies have also been conducted in the OER (e.g., $\text{La}_{1-x}\text{Sr}_x\text{CoO}_3$ [29, 73, 81] and $\text{La}_{1-x}\text{Sr}_x\text{FeO}_3$ [82]) and HER (e.g., $\text{Pr}_x(\text{Ba}_{0.5}\text{Sr}_{0.5})_{1-x}\text{Co}_{0.8}\text{Fe}_{0.2}\text{O}_{3-\delta}$ [42]).

Compared to the A-site doping, the B-site doping can more directly affect the catalytic activity of perovskite oxides because the B-site transition metal is considered the reactive site in conventional ORR/OER mechanisms. Sunarso et al. studied the influence of transition metals on the ORR activity of LaBO_3 (B = Cr, Mn, Fe, Co, and Ni) in alkaline media and found that the diffusion-limited current density of the ORR decreases in the order of $\text{LaCoO}_3 > \text{LaMnO}_3 > \text{LaNiO}_3 > \text{LaFeO}_3 > \text{LaCrO}_3$ [83], analogous to that reported by Suntivich et al. [26]. For the B-site substitution, B' can be a redox-active transition metal or a redox-inert element or both. For instance, partial substitution of Ni in LaNiO_3 with Fe [58] or Mg [57] could give rise to $\text{LaNi}_{0.8}\text{Fe}_{0.2}\text{O}_3$ or $\text{LaNi}_{0.85}\text{Mg}_{0.15}\text{O}_3$ with improved ORR activity. In particular, incorporating

catalytically inactive components into the B-site can help to tune the electronic structure of the original redox-active metal and thus contribute to enhanced catalytic performance. Notably, this aspect has been extensively studied on a multitude of perovskite systems involving a range of elements as dopants, such as Sc [84, 85], Ti [86], Nb [50, 84, 87], Mo [87], W [88], Sn [89], Bi [85], Si [90], P [91–93], and S [91]. Moreover, the accommodation of multiple dopants in the B-site can also alter the catalytic behavior [84, 85, 89]. For example, Xu et al. evaluated the OER activity of a series of iron and tin co-doped $\text{BaCo}_{0.9-x}\text{Fe}_x\text{Sn}_{0.1}\text{O}_{3-\delta}$ ($x = 0.2, 0.3, 0.4$, denoted as BCFSn-721, BCFSn-631, and BCFSn-541, respectively) [89]. Having negligible variance in physicochemical properties like surface area, morphology, crystal structure, oxygen nonstoichiometry, and surface Co oxidation state, the $\text{BaCo}_{0.9-x}\text{Fe}_x\text{Sn}_{0.1}\text{O}_{3-\delta}$ series offer a good activity comparison in terms of the concentration of different dopants. As shown in Fig. 5, the OER activity increases with a higher amount of Co occupying the B-site. This indicates the intrinsically better OER performance of transition metal Co ions relative to Fe ions in a perovskite structure, similar to the finding observed on $\text{SrB}_{0.9}\text{Ti}_{0.1}\text{O}_{3-\delta}$ ($B = \text{Co}, \text{Fe}$) perovskites [86]. A much improved OER activity was also found on the most active $\text{BaCo}_{0.7}\text{Fe}_{0.2}\text{Sn}_{0.1}\text{O}_{3-\delta}$ compared to the non-doped and the Fe or Sn single-doped counterparts, suggesting that a synergistic effect of the two dopants may be at work.

The effect of composition can be more complicated where both the A- and B-sites are doped with foreign elements [94–96]. In this scenario, a systematic investigation, more often case-by-case, is needed to study the relationship between composition and activity before locating an optimal composition. Apart from cation

Fig. 5 The OER activity of a series of $\text{BaCo}_{0.9-x}\text{Fe}_x\text{Sn}_{0.1}\text{O}_{3-\delta}$ perovskites ($x = 0.2, 0.3, 0.4$, denoted as BCFSn-721, BCFSn-631, and BCFSn-541, respectively) evaluated by cyclic voltammograms in 0.1 M KOH solution. The negligible OER activity of a carbon background is shown for reference (Reprinted with permission from Ref. [89]. Copyright 2015 John Wiley and Sons)



doping, the composition of perovskites can be further altered by introducing A-site cation deficiency [97–99]. For example, Zhu et al. synthesized several A-site-deficient $\text{La}_{1-x}\text{FeO}_{3-\delta}$ perovskites and obtained the optimal OER activity at a deficiency of $x = 0.05$ [97].

3.2.2 Oxygen Vacancy

A stricter way of describing the formula of perovskite oxides would actually be $\text{ABO}_{3\pm\delta}$ because many perovskite oxides have an oxygen stoichiometry that deviates from the nominal value of 3. While the effect of oxygen excess (i.e., oxygen stoichiometry of $3 + \delta$) in perovskites is merely studied in electrocatalysis, that of oxygen vacancy (i.e., oxygen stoichiometry of $3 - \delta$) has attracted plenty of research interest. Mefford et al. found that the OER activity of the $\text{La}_{1-x}\text{Sr}_x\text{CoO}_{3-\delta}$ series correlated strongly with the oxygen vacancy concentration (δ), with the highest activity obtained on $\text{SrCoO}_{2.7}$ with the largest vacancy content (Fig. 6a) [29]. Based on this, they proposed a lattice oxygen-mediated (LOM) OER mechanism that takes into consideration the involvement of lattice oxygen species and the role of surface oxygen vacancies (Fig. 6b). The validity of this LOM mechanism was further supported by DFT modeling. Later, the participation of lattice oxygen in the OER was experimentally verified by in situ ^{18}O isotope labeling mass spectrometry [30]. Different from the classically studied mechanism which only concerns surface metal sites, the LOM mechanism considers the importance of transition metal–oxygen covalency in triggering the reaction [29, 30]. These studies thus point to the critical role of oxygen vacancy, which cannot be ignored in the fundamental understanding of reaction mechanisms as well as the rational design of advanced perovskite electrocatalysts.

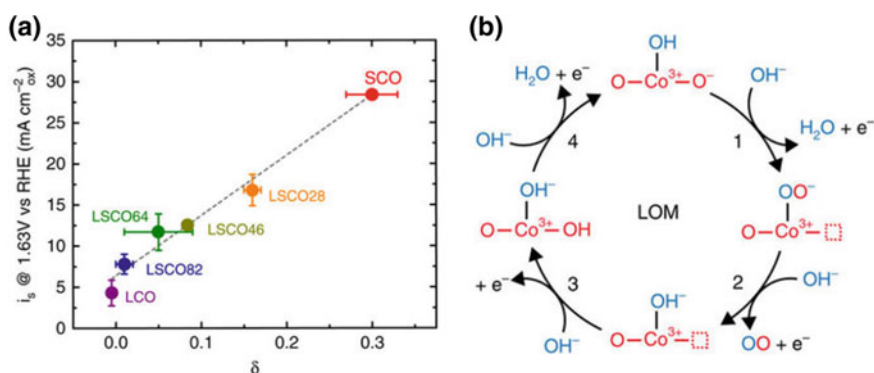


Fig. 6 **a** Correlation of the OER activity of $\text{La}_{1-x}\text{Sr}_x\text{CoO}_{3-\delta}$ with the oxygen vacancy concentration (δ), where $\text{La}_{1-x}\text{Sr}_x\text{CoO}_{3-\delta}$ is denoted as $\text{LSCO}(1-x)$, that is, LSCO82 for $\text{La}_{0.8}\text{Sr}_{0.2}\text{CoO}_{3-\delta}$. **b** A proposed lattice oxygen-mediated (LOM) OER mechanism for perovskites in alkaline solutions (Reprinted with permission from Ref. [29]. Copyright 2016 Springer Nature)

Normally, oxygen vacancy is inherent in most of the perovskite oxides and often varies accordingly with any compositional change in order to maintain charge neutrality within the perovskite structure. For perovskites with a specific composition, there are generally two approaches to creating oxygen vacancy. One is to control the synthetic procedures, in which thermal reduction during the formation of perovskite crystallinity may be crucial to the generation of oxygen vacancy. For example, flame spray method was reported to synthesize BSCF perovskite with an oxygen deficiency up to ~ 0.75 [100], much higher than that synthesized from other methods such as sol-gel process ($\delta \approx 0.44$) [42] and solution combustion method ($\delta \approx 0.4$) [26]. The highly oxygen-deficient BSCF was found to show a 55-fold enhancement in the OER current density relative to the sol-gel produced BSCF. The other route to increase the amount of oxygen vacancy is to conduct post-treatment on an existing perovskite, typically via reduction in H_2 or vacuum. For instance, oxygen-stoichiometric $CaMnO_3$ was converted into oxygen-deficient $CaMnO_{2.5}$ ($\delta = 0.5$) after a low-temperature reductive annealing process in 5% H_2/Ar , while the orthorhombic crystal structure was preserved [51]. With the introduction of oxygen defects, $CaMnO_{2.5}$ displayed a significantly improved OER activity compared to $CaMnO_3$. Under a much harsher reductive treatment in vacuum, Chen et al. prepared $BaTiO_{3-\delta}$ perovskite with abundant oxygen vacancies, showing enhanced performance in both the OER and ORR [101].

It should be noted, however, that increasing the number of oxygen defect sites does not necessarily lead to increased catalytic activity. This is because oxygen vacancy is not the sole factor that influences electrocatalysis. When oxygen vacancy changes, the metal electronic states (e.g., oxidation state and coordination) and metal-oxygen covalency change concomitantly. Wang et al. studied the HER activity of a series of H_2 -reduction-treated $NdBaMn_2O_{6-\delta}$ double perovskites with various oxygen vacancy contents ($\delta = 0.35, 0.54, \text{ and } 0.80$) [102]. The best activity was obtained for the sample with a moderate oxygen vacancy of $\delta = 0.54$, which they attributed to a combination of several factors including a distorted structure, a near-unity e_g occupancy, and an optimized O p-band center. This suggests that optimized catalytic activity may be achieved on perovskites with a moderate number of oxygen vacancy. A similar trending was also found in the $CaMnO_{3-\delta}$ perovskites ($0 < \delta \leq 0.5$) in the electrocatalysis of ORR/OER [103]. Therefore, caution needs to be exercised in the development of oxygen-deficient perovskite catalysts.

3.2.3 Crystal Structure

The crystal structure of perovskite oxides, both bulk and surface, is closely related to the electrocatalytic activity. For a perovskite with a specific nominal composition, its bulk crystal structure can vary with the synthesis conditions. For example, heat treating a $La_{0.7}Sr_{0.3}MnO_3$ precursor at 650, 750, and 850 °C led to the formation of tetragonal, cubic, and orthorhombic phase structure, respectively [104]. Electrochemical measurements indicated that the tetragonal $La_{0.7}Sr_{0.3}MnO_3$

exhibited the highest ORR activity. Another way to alter the bulk crystal structure is via post-treatment. For instance, crystalline $\text{LaNiO}_{3-\delta}$ perovskite, which has a rhombohedral phase at room temperature, transformed into a cubic-phase $\text{LaNiO}_{3-\delta}$ after being quenched from 800 °C to room temperature [105]. Such a phase transition contributed to a significant improvement in the ORR/OER catalytic activity. Apart from the quenching process, reductive annealing in H_2 can also change the perovskite bulk structure. In this respect, a cubic-phase $\text{Pr}_{0.5}\text{Ba}_{0.5}\text{MnO}_{3-\delta}$ perovskite was reported to convert into a tetragonal-phase $\text{PrBaMn}_2\text{O}_{5+\delta}$ layered perovskite after H_2 treatment, showing greatly enhanced activity toward both the ORR and OER [106].

While conventional research has mostly focused on crystalline perovskites for electrocatalysis, it has recently been shown that amorphous perovskites also exhibit considerable catalytic performance. For example, Trudel and co-workers developed a photochemical thin-film deposition technique to synthesize a series of amorphous perovskite oxides such as $\text{LaCoO}_{3-\delta}$ [107], $\text{La}_{0.7}\text{Ca}_{0.3}\text{CoO}_{3-\delta}$ [108], and BSCF [109], all showing favorable OER activity. In addition, magnetron sputtering deposition was used by Chen et al. to obtain amorphous BSCF nanofilms with exceptional OER performance [110]. The good catalytic activity may originate from the coordinately unsaturated surface metal sites of amorphous perovskites [111]. More research efforts are required to gain a better understanding of the origin of the catalytic activity of perovskite materials with an amorphous structure.

The surface crystal structure of perovskite materials can also influence the electrocatalytic performance. BSCF perovskite, which was crystallized from a conventional sol-gel process by calcination at 950 °C for 5 h, was found to have a surface amorphous oxide layer with a thickness of around 20 nm [112]. After heat treatment in argon (Ar) atmosphere, this amorphous layer gigantically grew to a thickness of 180–200 nm, deactivating the catalytic performance of BSCF toward the ORR. However, a same Ar treatment to pristine $\text{Ba}_{0.5}\text{Sr}_{0.5}\text{Co}_{0.2}\text{Fe}_{0.8}\text{O}_{3-\delta}$ with also a ≈ 20 -nm-thick amorphous layer did not lead to further amorphization while offering an increased amount of oxygen vacancy, which resulted in a notable improvement in ORR activity. This suggests a composition-dependent behavior upon treatment in Ar between the changes in perovskite surface structure and catalytic activity.

The surface crystal structure of perovskites with an identical composition can differ with the calcination conditions. For example, the BSCF perovskite calcined at 1050 °C for 5 h exhibited a nanoscale-thick spinel-phase layer between the bulk particle and the amorphous layer on the outermost surface [113]. This spinel layer could be removed by an oxygenation process via heat treatment in oxygen atmosphere (Fig. 7), giving rise to enhanced ORR/OER performance of the BSCF catalyst.

These studies highlight the tunability of perovskite structures in both the bulk and surface, which is achieved using experimental procedures that are performed *ex situ*. It should also be noted that perovskite structures can undergo *in situ* changes under realistic electrochemical conditions. For example, the structure transformation from BaNiO_3 to $\text{BaNi}_{0.83}\text{O}_{2.5}$ over the OER testing in alkaline media led to an

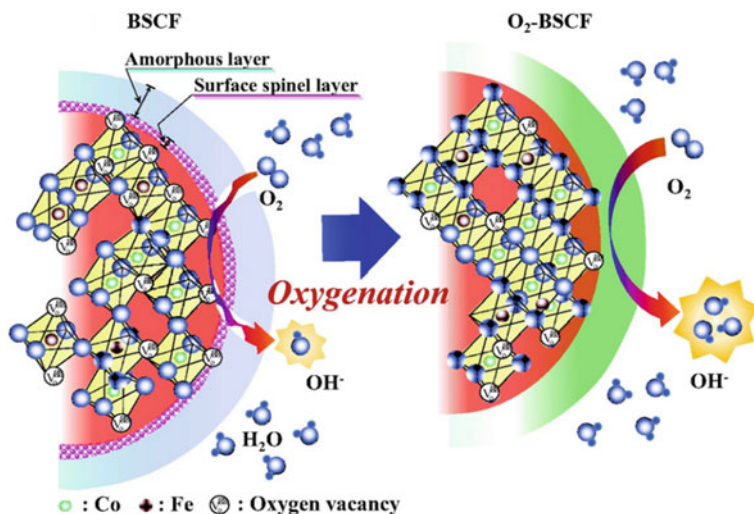


Fig. 7 A schematic representation of the effect of heat treatment in oxygen on the BSCF perovskite (Reprinted with permission from Ref. [113]. Copyright 2014 John Wiley and Sons)

improved catalytic performance [114]. To some extent, this is more of a stability issue, and thus will be discussed later in more detail in the section of stability concerns.

3.2.4 Nanostructure

Previous understanding of the electrocatalytic role of perovskites is mainly gained from bulk-sized materials, which are prepared from conventional sol–gel process [115], solid-state reaction [116], and high-pressure synthesis [117]. These bulk perovskites normally have a large particle size, a small surface area, and a featureless morphology, which can only offer limited catalytic capability. This is because a substantial proportion of inactive atoms in the bulk of perovskites are often not catalytically active toward reactions occurring at the surface. To address this issue, nanostructuring has been proposed as a technically viable approach [14]. Once a perovskite material is downsized to the nanoscale, an increase in surface area and also the number of reactive sites can be expected. Although a linearly increased number of reactive sites may not be necessarily achievable considering the accessibility issue of reactive sites, the increase in surface area does provide a greater number of reactive sites, thus contributing to an improved catalytic activity, more often on a catalyst mass basis. This has already been proved by the increased OER activity observed on the ball-milled BSCF catalyst [26], which has a particle size much reduced compared to the bulk BSCF.

Perovskite nanostructures can be attained by tailoring the synthetic parameters of conventional preparation methods. For example, Cho and co-workers developed an intriguing pattern of perovskite phase existence and particle growth by altering the calcination temperatures and lanthanum-dopant concentrations during the sol-gel synthesis of $\text{La}_x(\text{Ba}_{0.5}\text{Sr}_{0.5})_{1-x}\text{Co}_{0.8}\text{Fe}_{0.2}\text{O}_{3-\delta}$ perovskites [118]. At a reduced temperature calcination of 700 °C and a La doping content of $x = 0.7$, $\text{La}_{0.7}(\text{Ba}_{0.5}\text{Sr}_{0.5})_{0.3}\text{Co}_{0.8}\text{Fe}_{0.2}\text{O}_{3-\delta}$ perovskite with a particle size as small as 50 nm was obtained, showing a substantial improvement in the alkaline ORR/OER activity. This approach, however, requires the perovskite A-site to contain a lanthanide component, and thus may not be broadly extended to other perovskite oxides. Alternatively, a range of synthetic methods have been used to synthesize nanostructured perovskites, including precipitation method, hydrothermal synthesis, templating approach, and electrospinning [14]. In addition, several deposition-based techniques, such as physical vapor deposition (PVD), chemical vapor deposition (CVD), and electrodeposition, have also been developed to fabricate nanosized perovskite materials. The as-obtained perovskites possess plenty of morphological features, including nanowire [119], nanofiber [120], nanorod [121], nanotube [122], nanosphere [123], and nanocube [124], among others. These morphologies can lead to the different catalytic behaviors of nanostructured perovskites. For instance, compared to LaCoO_3 dense particles synthesized by a sol-gel route, LaCoO_3 porous particles and hollow nanospheres synthesized by a hydrothermal method showed an increased OER activity in an alkaline electrolyte (Fig. 8) [123].

Note that the improved catalytic performance of nanostructured perovskites may not be just a surface area effect. While LaCoO_3 porous particles and hollow nanospheres had a higher surface area than the dense particles, their increased OER activity was also attributed to the presence of amorphous surface structures formed during the hydrothermal synthesis [123]. Moreover, nanostructuring can further bring about a nanosize effect, that is, reducing the nanostructure size can tune the electronic state of perovskites. For example, Zhou et al. obtained LaCoO_3 nanoparticles with sizes of 60, 80, and 200 nm, and bulk LaCoO_3 particles by annealing a sol-gel precursor at 600, 700, 800, and 1000 °C, respectively [68]. Surprisingly, the best OER activity was observed on the 80 nm LaCoO_3 , which was ascribed to its optimal e_g filling of Co ions (~ 1.2) based on Shao-Horn's activity descriptor [26]. The nanosize effect can still be at play when the perovskite size is further reduced. For instance, Zhao et al. found that the OER activity of an electrospun double perovskite nanofiber with a diameter as low as 20 nm is intrinsically higher than those with larger diameters, which was presumably due to the favorable surface electronic structure and the possible presence of heterostructures [125]. It is therefore important to understand the electrocatalytic processes on nanostructured perovskites, both experimentally and theoretically. Such understanding, combined with that gained from bulk perovskite materials, can better guide the development of improved perovskite electrocatalysts.

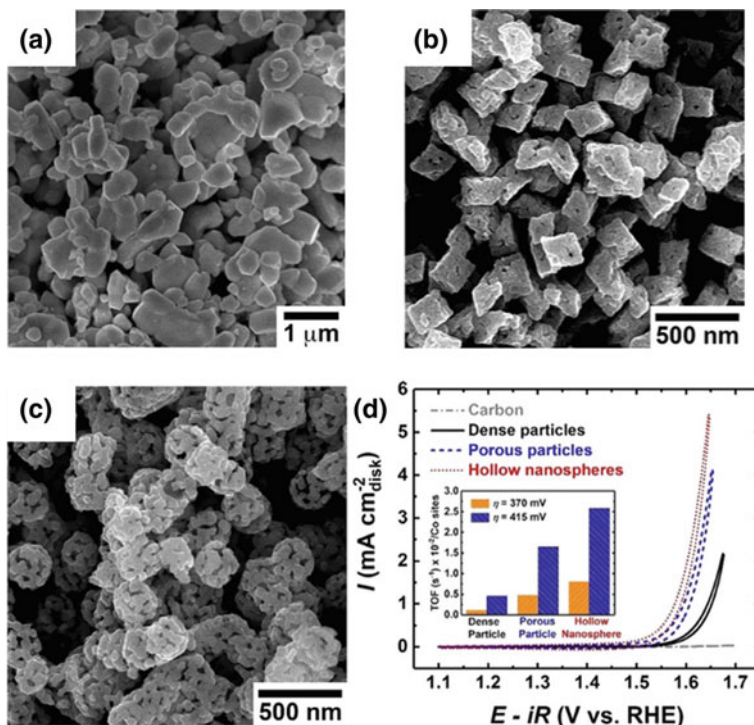


Fig. 8 Scanning electron micrographs of LaCoO_3 **a** dense particles, **b** porous particles, and **c** hollow nanospheres. **d** The OER activity of LaCoO_3 dense particles, porous particles, and hollow nanospheres evaluated by cyclic voltammograms in 0.1 M KOH solution (Reprinted with permission from Ref. [123]. Copyright 2017 American Chemical Society)

3.2.5 Composite

Forming composites with other materials, including carbons, metals, and metal oxides, among others, can also extrinsically increase the catalytic activity of perovskite materials. In particular, perovskite/carbon composites have attracted the most research interest [126]. The inclusion of carbon into a perovskite oxide was first used to remove any possible electrical conductivity limitations within some intrinsically insulating or semiconducting perovskites [127]. However, recent investigations have suggested that carbon could serve more than just the role of a conductive support [128–134]. For example, in the electrocatalytic ORR, carbon in perovskite/carbon composites was found to participate in the ORR mechanistic pathway by catalyzing the reduction of O_2 to HO_2^- [128–133]. In addition, carbon could act as a reducing agent to modify the electronic structure of perovskites. For example, acetylene black (a type of conductive carbon), when composited with BSCF, was found to reduce the cobalt cations to a lower oxidation state, which contributed to a greatly improved ORR/OER activity [134]. These observations

highlight the important roles of carbon in perovskite/carbon composites, which can be utilized to design improved perovskite-based hybrid catalysts.

Perovskite/carbon composites can be simply obtained using physical mixing, showing enhanced bifunctional activity toward the ORR and OER [135–138]. A more intimate combination between perovskites and carbons is expected to further improve the ORR/OER bifunctionality. This can be realized by means of advanced chemical synthesis, such as the CVD process [139–143], hydrothermal method [144, 145], and electrospinning [146]. However, due to the inherent incompatibility between the reductive carbon materials and the oxidative perovskite oxides, it remains challenging to obtain perovskite/carbon composites with a pure-phase perovskite component. For instance, the perovskite structure may not be preserved during the high-temperature CVD process [143]. To address this, modification to the current synthetic conditions is required. In addition, novel fabrication techniques should be developed to synthesize intact perovskite/carbon composites to achieve improved electrocatalysts.

Understanding the origin of enhanced catalytic activity on perovskite/carbon composites is important to the rational design of efficient perovskite catalysts. While conventional research has pointed to a “synergistic effect” between the perovskite and carbon, that is, two is better than one, it does not clarify the true reason behind the improved activity. Recently, Zhu et al. proposed three possible origins to explain the increased activity observed on perovskite/carbon composites (Fig. 9) [126]. First, the ligand effect at the interface can modify the electronic structure and thus lead to increased activity as mentioned earlier [134]. Second, the formation of interfacial heterostructures, in the form of covalent bonds or a new phase, can contribute to enhanced catalytic activity. This can be understood from the fact that strongly coupled perovskite/carbon composites made from chemical synthesis outperformed not only each individual component but also their physical mixtures. Third, the spillover effect can be at work for the improved bifunctionality of perovskite/carbon composites. In the composite, perovskites are considered to be more active toward the OER, whereas carbons are more active toward the ORR. During the ORR process, the OH^- generated on the carbon surface spills over to the perovskite surface, which promotes the ORR by releasing more reactive sites on the carbon surface, leading to increased ORR activity. In a similar way, during the OER process, O_2 produced on the perovskite surface spills over to the carbon surface, which facilitates the OER by releasing more reactive sites on the perovskite surface, giving rise to enhanced OER activity.

Despite the contribution to improved electrocatalysis, perovskite/carbon composites might suffer from carbon corrosion, a common issue for carbon-based materials especially in the case of the OER. This issue, although less severe in alkaline media as compared to acidic media [147], can still lead to the reduction of reactive sites and even degradation of catalysts under elevated potentials during the OER testing. One way to mitigate the carbon corrosion is by using graphitized carbon or nitrogen-doped carbon with improved corrosion resistance [148, 149].

Very recently, perovskites have also been hybridized with other conducting substrates, for example, Ni foam, via advanced synthetic methods such as

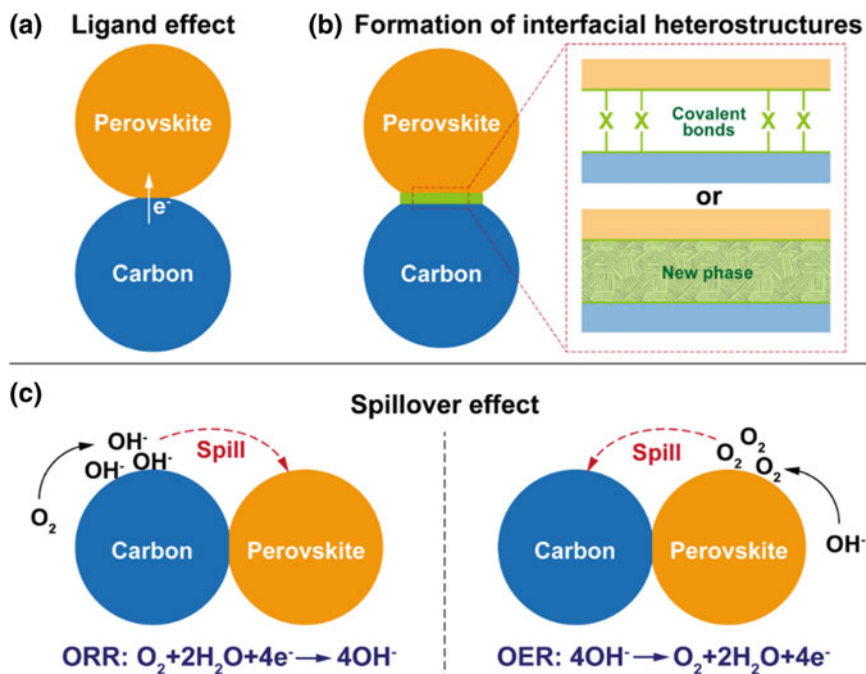


Fig. 9 A schematic illustration of three possible origins that may clarify the improved activity on perovskite/carbon composites. **a** Ligand effect. **b** Formation of interfacial heterostructures. **c** Spillover effect (Reprinted with permission from Ref. [126]. Copyright 2017 John Wiley and Sons)

hydrothermal method [150] and electrodeposition [151]. Similarly, due to the reductive nature of Ni foam, the difficulty in fabricating perovskite/Ni foam composites lies in the acquisition of pure oxidative perovskites in a high-temperature annealing process. Annealing under nonoxidative atmosphere can stabilize the Ni foam while also facilitating the formation of a perovskite phase if the precursor of perovskites is finely tuned. For example, Li et al. used electrodeposition to deposit a perovskite hydroxide precursor on Ni foam, which could convert into crystallized perovskite oxide upon a moderate annealing treatment in Ar [151]. Taking advantage from both the catalytically active perovskites and the 3D porous, highly conductive Ni foam, perovskite/Ni foam composites possess great potential in practical applications.

In addition to forming composites with conductive materials, perovskites can also be coupled with metal nanoparticles. Such hybrid materials are often synthesized by exsolution, a chemical process that is almost exclusive to perovskite oxides. Under heat treatment in a reductive atmosphere (e.g., H_2), an active metal initially incorporated in the perovskite lattice can go through a reduction process and then exsolve in the form of metal nanoparticles on the surface of the perovskite [152]. These metal nanoparticles can act as additional catalytic sites while also changing the original

perovskite electronic structure, thus leading to a different behavior in electrocatalysis. For example, Ni nanoparticle-decorated $\text{La}_{0.4}\text{Sr}_{0.4}\text{Ti}_{0.9}\text{O}_{3-\delta}$ composite, which was constructed from the $\text{La}_{0.4}\text{Sr}_{0.4}\text{Ti}_{0.9}\text{Ni}_{0.1}\text{O}_{3-\delta}$ parent perovskite using a facile exsolution process, can synergistically catalyze the alkaline HER at a significantly improved efficiency [153]. In the hybrid catalyst, $\text{La}_{0.4}\text{Sr}_{0.4}\text{Ti}_{0.9}\text{O}_{3-\delta}$ facilitates water dissociation, whereas Ni nanoparticles favor hydrogen adsorption for the recombination into H_2 . In a similar fashion, a Ag nanoparticle-modified $(\text{PrBa})_{0.95}\text{Mn}_2\text{O}_{5+\delta}$ double perovskite obtained from exsolution exhibited favorable ORR activity in alkaline electrolytes, which is due likely to the strong coupling between the perovskite and Ag nanoparticles [154]. Apart from metal nanoparticles, other nanomaterials, such as metal alloys [155–158], metal/metal oxide hybrids [159, 160], can also exsolve from the perovskite lattice by carefully tuning the perovskite composition and H_2 -reduction condition. These advantages of the exsolution process can bring about ample opportunities for making perovskite-derived composites with increased electrocatalytic performance.

Other catalytically active materials, such as hydroxides [161] and metal oxides [162–165], can also composite with perovskite oxides using either an infiltration process or chemical synthesis. These composite materials showed enhanced catalytic performance with respect to the sole perovskite component. To obtain optimized activity, both the perovskite oxide and the additive functional material should be cautiously selected. For example, BSCF, which is considered the benchmark perovskite OER catalyst, was composited with the benchmark ORR catalyst, commercial Pt/C, and obtained a substantially improved catalytic activity in both the ORR and OER [166]. However, these two components were physically mixed, which may not be able to unleash the full potential of their high activity. Future efforts should be devoted to the design of strongly coupled perovskite-based materials, either through experimentally developed synthetic methods or through theoretically established modeling approaches.

3.3 *Stability Concerns*

Compared to the significant advances in the establishment of design principles for improvement in catalytic activity, much less attention is placed on the stability of an electrocatalyst, which is actually of more relevance to realistic device-based applications. This is especially the case when it comes to perovskite OER catalysts. Even under ambient conditions, most of perovskite oxides will become amorphous during the OER, which is often accompanied by the leaching of A- or B-site cations into the electrolyte. For instance, amorphization was observed in the bulk and surface of highly active perovskite catalysts (e.g., BSCF), leading to the structural evolution from corner-sharing octahedra to edge-sharing octahedra [167, 168]. This phenomenon is more pronounced near the catalyst surface region than in the bulk, as evidenced from ex situ X-ray absorption spectroscopic measurements (XAS) [168].

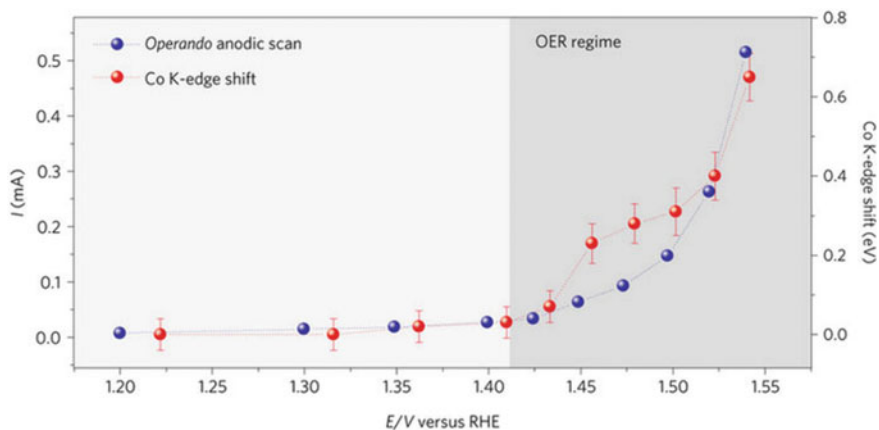


Fig. 10 OER current and shift of the Co K-edge position (taken from Operando XANES spectra) collected in situ during an anodic polarization of the BSCF-FS perovskite catalyst in 0.1 M KOH alkaline solution (Reprinted with permission from Ref. [100]. Copyright 2017 Springer Nature)

Understanding the changes occurring at the catalyst surface is therefore critical for the design of enduring perovskite electrocatalysts. This can be partially achieved through an improved understanding of the interaction between perovskite oxides and water electrolytes under a modeling system similar to the OER. For example, using in situ environmental transmission electron microscopy, Shao-Horn et al. observed structural oscillations on BSCF upon its interaction with water vapor under electron irradiation [169]. A more direct and viable way to access the changes on perovskite oxides is to use operando XAS measurements during realistic OER conditions, which was first reported by Fabbri and co-workers. Figure 10 shows an anodic polarization of a flame spray-synthesized BSCF nanocatalyst (BSCF-FS), where shift of the Co K-edge position, which was extracted from operando X-ray absorption near-edge structure (XANES) spectra, is recorded in line with the OER current [100]. It is noticeable that upon the onset of the oxygen evolution at 1.425 V versus the reversible hydrogen electrode (RHE), the Co K-edge shifts toward higher energy, corresponding to an increase in the Co oxidation state. Such change was not observed in a reverse cathodic polarization scan, indicating that the oxidation of Co is mostly an irreversible process. Further operando extended X-ray absorption fine structure (EXAFS) tests revealed the formation of a self-assembled surface layer consisting of OER-active metal (oxy)hydroxides, which is considered to be the origin of the significantly enhanced OER performance (in both activity and stability) based on an OER mechanism coupled with lattice oxygen participation.

These operando observations point to an important conclusion with respect to both catalyst activity and stability. Active perovskite OER catalysts can experience drastic changes in local chemical/electronic structures. This is substantially different from the previously mentioned activity descriptors which rely on ex situ physical properties (e.g., e_g -filling number) to guide catalyst design. On the other hand, a

perovskite oxide initially considered to be “unstable” could actually evolve into a “stable” catalyst through operando changes, in which case the original perovskite can be seen as a “precursor” (or “pre-catalyst”) of a real active catalyst [100]. A similar phenomenon was also found in acidic electrolytes on a SrIrO_3 perovskite, which transformed in situ during electrochemical testing into a highly active and stable $\text{IrO}_2/\text{SrIrO}_3$ catalyst [170].

It is noteworthy that the extent of changes on perovskite surfaces varies with different material compositions and chemistries, which may relate to the different concentrations of oxygen vacancy in perovskites [100]. Shao-Horn et al. has earlier correlated the computed O p-band center with the perovskite stability under alkaline OER conditions, and arrived at the conclusion that an optimum position of the O p-band center leads to high catalyst stability [46]. It is also important to note that not all perovskites are capable of evolving into active and durable catalysts. For example, several nickel-based and iron-based perovskite materials were found to lose activity upon prolonged OER tests under alkaline media [82, 171]. Based on these considerations, a case-by-case investigation into the stability (and activity) of a specific perovskite material can be necessary in the evaluation of its electrocatalytic performance.

Sometimes, the stability issue is of more interest to the industry than to the academia. The research community currently tends to report “stable” perovskite catalysts, more often simply from the viewpoint of electrochemical stability, without looking further into the catalyst structure either ex situ or in situ. Also, the catalyst degradation phenomena under OER testing and their origins are less explored [172–174]. In industrial settings, more rigid requirements are placed on the catalyst stability. For instance, the alkaline water electrolysis would require a catalyst to stably operate at temperatures of around 80 °C, under which condition perovskite OER catalysts may undergo changes even harsher than those at room temperature. To bridge this gap between the fundamental and applied research, more efforts should be devoted to understanding the changes in perovskites (e.g., degradation mechanisms) during the OER, which will also aid the establishment of an improved catalyst design principle that combines both catalytic activity and stability. The same holds true for perovskites in the ORR and HER electrocatalysis, although attention to these fields is still lacking.

4 Perovskite Materials for Electrocatalysis-Related Applications

The ORR/OER reactions are technologically relevant to metal–air batteries, whereas the OER/HER reactions hold key to the overall efficiency of a water electrolyzer. Over the years, a plethora of perovskite materials have been used in these devices [14, 175]. While conventional mechanistic understanding of electrocatalysis is gained mostly from bulk perovskite materials, they may not favor

practical applications because of their rather low catalytic activity, which is due to their huge particle sizes, low surface areas, and few morphological features. Comparatively speaking, nanosized and nanostructured perovskites are more suited for practical use because they offer increased reactive sites, improved accessibility of reactive sites, and better diffusion of gas reactants/products. In addition, the cost of an electrocatalyst can be more of concern for real-world devices. Also of importance is the catalyst stability, and very often a compromise has to be made between stability and activity. This section discusses the use of perovskite nanocatalysts made of Earth-abundant elements in metal–air batteries and water electrolyzers.

4.1 *Metal–Air Batteries*

Metal–air batteries are efficient energy conversion devices that can offer a theoretical specific energy even higher than that of the commercially available Li–ion batteries [176]. In general, a metal–air battery is composed of a metal anode, an electrolyte, and an air cathode. Among various anode candidates, Li and Zn are two of the most widely studied anode materials. The corresponding metal–air batteries are typically referred to as Li–air batteries and Zn–air batteries, respectively. Despite a major difference in electrolyte (i.e., normally nonaqueous electrolyte for Li–air batteries and aqueous electrolyte for Zn–air batteries), these two types of metal–air batteries suffer from one common scientific issue, that is, the slow kinetics of the ORR/OER reactions that determine the overall energy efficiency. At the cathode of a metal–air battery, oxygen is reduced upon discharge (ORR) and is evolved upon charge (OER). Therefore, an efficient, rechargeable metal–air battery would necessitate a bifunctional electrocatalyst that promotes both the ORR and OER.

Porosity is an important factor for achieving the practical use of perovskite catalysts in metal–air batteries. Zhang and co-workers reported the application of a three-dimensionally ordered macroporous LaFeO_3 perovskite (3DOM-LFO) as a cathode catalyst in a nonaqueous Li–air battery (Fig. 11a) [177]. The 3DOM-LFO catalyst had an interconnected, well-ordered, honeycomb-like pore structure (Fig. 11b), which was inherited from the polystyrene sphere template during a templating synthesis. Such a porous nanostructure could facilitate the diffusion of O_2 /electrolyte during discharge/charge processes while offering significantly enhanced surface area for catalytic ORR/OER reactions, both contributing to improved performance and cycle stability of the rechargeable Li–air battery compared to the nanoparticulate LaFeO_3 catalyst. Porous one-dimensional (1D) nanostructures possess high surface-to-volume ratios and abundant porosity, which can favor the availability of catalytic sites as well as the diffusion of O_2 gas when used as cathode catalysts for Li–air batteries. For example, a porous nanotubular $\text{La}_{0.75}\text{Sr}_{0.25}\text{MnO}_3$ perovskite fabricated by electrospinning was found to show a significant increase in both ORR/OER electrocatalysis and battery performance in a

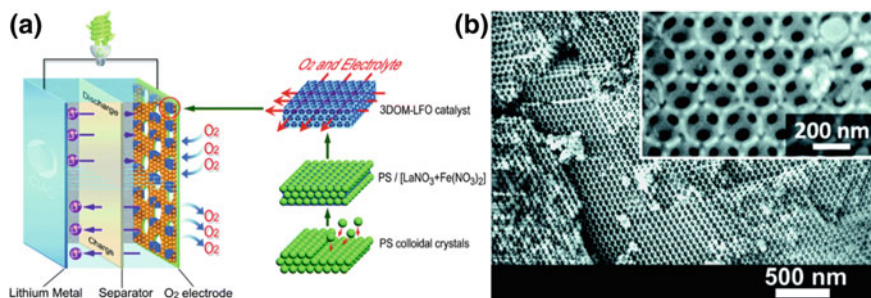


Fig. 11 **a** A schematic representation of the synthesis of a 3DOM-LFO perovskite and its application as a cathode catalyst in a rechargeable Li–air battery. **b** Scanning electron micrographs of the 3DOM-LFO catalyst, showing an interconnected, well-ordered, honeycomb-like pore structure (Reprinted with permission from Ref. [177]. Copyright 2013 Royal Society of Chemistry)

nonaqueous electrolyte [178]. Another useful way to obtain a porous cathode catalyst is via the growth of perovskites on 3D porous substrates (e.g., Ni foam). Pham et al. used hydrothermal synthesis to grow perovskite $\text{LaNi}_{0.9}\text{Cu}_{0.1}\text{O}_3$ nanosheet arrays on the surface of a 3D microporous Ni foam [150]. This composite was directly applied as a binder-free cathode, and exhibited notable ORR/OER activity and cycling performance in a nonaqueous Li–air battery.

It should be noted that the oxygen electrocatalysis in nonaqueous Li–air batteries, which follows the reaction of $\text{O}_2 + 2\text{Li}^+ + 2\text{e}^- \leftrightarrow \text{Li}_2\text{O}_2$, is quite different from that in aqueous solutions. Therefore, the design principles for advanced perovskite catalysts working in alkaline water may not be able to extend to the system of nonaqueous Li–air batteries. Despite the success of several perovskite nanocatalysts, little understanding is gained about the reaction mechanisms in nonaqueous electrolytes. While some attempts were made to evaluate the ORR/OER in nonaqueous solutions [119, 124, 150, 177, 178], more systematic investigations, rather than trial-and-error approaches, are needed in order to design better perovskite catalysts for nonaqueous Li–air batteries. By contrast, Zn–air batteries commonly adopt an aqueous alkaline electrolyte considering the stability of zinc anode and the manufacturing cost of the battery configuration. Although a higher concentration of potassium hydroxide solution (e.g., 6 M KOH) is used in Zn–air batteries, the oxygen electrocatalysis at the cathode follows the same reaction of $\text{O}_2 + 2\text{H}_2\text{O} + 4\text{e}^- \leftrightarrow 4\text{OH}^-$ as that in fundamental research. Therefore, the conventionally studied ORR/OER on perovskite materials in alkaline media is considered more relevant to the application in Zn–air batteries.

Recent years have witnessed the development of a myriad of perovskite nanomaterials for use in Zn–air batteries. Among the many candidates, perovskite/carbon composites have received more research attention, due primarily to their significantly increased electrical conductivity compared to the sole perovskites. For instance, a LaNiO_3 nanorod/reduced graphene oxide hybrid synthesized using a

hydrothermal method showed much enhanced conductivity relative to the LaNiO_3 nanorod, thereby leading to higher ORR/OER activity in alkaline solutions and also better cycle performance in rechargeable Zn–air batteries [144]. Prabu et al. reported perovskite $\text{LaTi}_{0.65}\text{Fe}_{0.35}\text{O}_{3-\delta}$ nanoparticles embedded both at the surface of and within nitrogen-doped carbon nanorods, which were prepared via electrospinning followed by calcination under Ar atmosphere [146]. Compared to $\text{LaTi}_{0.65}\text{Fe}_{0.35}\text{O}_{3-\delta}$ nanotubes without carbon, which were obtained after calcination under air, the $\text{LaTi}_{0.65}\text{Fe}_{0.35}\text{O}_{3-\delta}$ /carbon nanocomposite afforded increased catalytic sites, improved electrical conductivity, and highly promoted kinetics toward both the ORR and OER, resulting in an exceptionally higher Zn–air battery performance.

Although the abovementioned perovskite/carbon composites can give rise to an improvement in Zn–air battery performance, they are produced at a small scale using bench-based hydrothermal method or electrospinning technique, which may present a hurdle toward their widespread application. Recently, CVD has emerged as a versatile process to achieve scaled-up production of nanohybrids of perovskites and carbons (especially carbon nanotubes (CNTs)), in which transition-metal-containing perovskites are applied as substrates for the catalytic growth of CNTs. Chen and co-workers reported the CVD synthesis of a core–corona structured bifunctional catalyst (CCBC) and its application as a cathode catalyst in a rechargeable Zn–air battery (Fig. 12a) [139]. This CCBC composite is comprised of a highly OER-active LaNiO_3 derived core component and a highly ORR-active nitrogen-doped CNTs (NCNTs) corona with a bamboo-like structure (Fig. 12b). Owing to the strong coupling effect between these two active components, an optimized CCBC-2 catalyst, which was obtained by tuning the CVD synthetic parameters, exhibited outstanding discharge and charge performance, comparable to that of the benchmark catalysts in the ORR (i.e., Pt/C) and OER (i.e., LaNiO_3) (Fig. 12c). In addition, the CCBC-2 catalyst showed excellent cycling stability for 75 charge–discharge cycles (Fig. 12d). Using a similar CVD method, the same researchers also obtained an intertwined core–corona structured bifunctional catalyst composed of LaNiO_3 nanoparticles encapsulated by NCNTs, showing bifunctionality toward the ORR/OER in rechargeable Zn–air batteries [140]. The CVD process was further extended to the synthesis of perovskite/CNTs composites involving other perovskite oxides, such as $\text{LaMn}_{0.9}\text{Co}_{0.1}\text{O}_3$ [141] and $\text{La}_{0.5}\text{Sr}_{0.5}\text{Co}_{0.8}\text{Fe}_{0.2}\text{O}_3$ [142], and good Zn–air battery performance was achieved. However, the physical origin of the catalysts' high activity and stability is less understood. Moreover, the crystalline structure of the perovskite oxides might be destroyed after the CVD synthesis because of the presence of carbon serving as a reducing agent [143], which calls for more research inputs into the preparation of intact perovskites hybridized with conductive carbons.

While much progress has been made experimentally in fabricating perovskite catalysts for metal–air batteries, theoretically less is known about the relationship between structure, morphology, and performance under operational conditions.

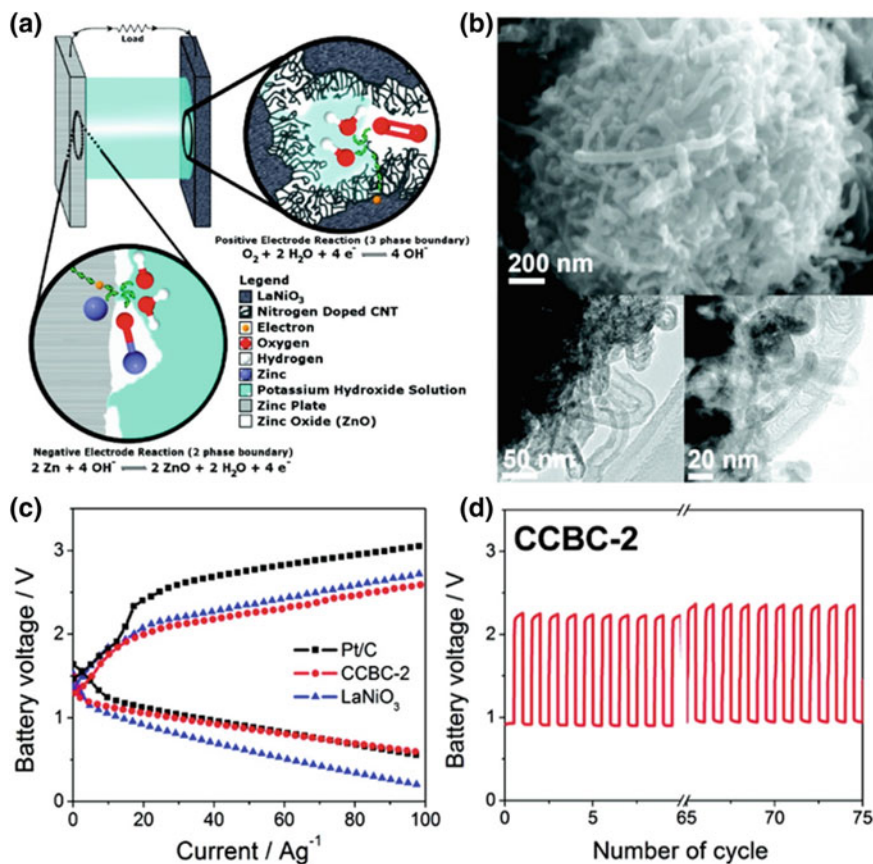


Fig. 12 **a** A schematic representation of a Zn–air battery and the reactions taking place on the electrodes. **b** Scanning electron microscopy and transmission electron microscopy images of the CCBC catalyst. **c** Discharge and charge polarization curves of the CCBC-2 catalyst in comparison to Pt/C and LaNiO₃. **d** Charge–discharge cycling performance of the CCBC-2 catalyst (Reprinted with permission from Ref. [139]. Copyright 2012 American Chemical Society)

This gap has to be bridged if perovskites are to be developed as practical electrocatalysts in real-world batteries. Stability remains to be another concern. Currently, lab-based metal–air batteries that adopt perovskite cathode catalysts can only sustain around 100 cycles of charge–discharge tests, which is far from the requirement of battery technologies. Another long-term goal would be to develop metal–air batteries that can work in ambient air [179], as the present catalyst performance is recorded in laboratory pure oxygen environment. This, however, could take more efforts from scientists, engineers, and the industry.

4.2 Water Electrolyzers

A water electrolyzer is one of the simplest systems for generating high-purity hydrogen. It is also a key component for the “indirect” solar to hydrogen production, converting the electricity generated from renewable solar energy (via solar panels) into chemical energy in hydrogen [180]. In water electrolysis, water is split into hydrogen and oxygen following the equation of $\text{H}_2\text{O} \rightarrow \text{H}_2 + 1/2\text{O}_2$. This reaction is a thermodynamically uphill process that necessitates an energy input of 286 kJ mol^{-1} at room temperature and pressure, corresponding to a theoretical minimum cell voltage of 1.23 V. However, the two half-reactions of water splitting, namely, the OER at the anode and the HER at the cathode, have sluggish kinetics and can cause large energy losses. For example, commercial water electrolyzers generally operate at a much higher cell voltage of 1.8–2.0 V [181]. The implementation of the water electrolysis technology would thus require highly active and stable OER/HER electrocatalysts to lower the large water-splitting overpotentials and to make the whole process more energy-efficient. While the state-of-the-art Ir-/Ru-based compounds [4] and Pt-group metals [3] offer the highest OER and HER activity, respectively, they suffer from low Earth abundance and high capital cost, hampering their commercial viability. It is thus appealing to develop perovskite materials as alternatives that contain Earth-abundant, low-cost transition metals, while still offering high catalytic activity. In addition, industrial electrolyzers work in strong alkaline electrolytes in order to avoid the corrosion from acids and reduce the total cost [182], where perovskites appear to be readily suited given the fundamental understanding of their electrocatalytic roles in alkaline media.

Ever since the first report by Xu et al. [42], showing that perovskite oxides can catalyze the HER in basic solutions, several works have focused on using perovskites as bifunctional catalysts toward both the HER and OER and the overall water splitting. A typical example was given by Zhu et al. [183], who reported the electrospinning synthesis of perovskite $\text{SrNb}_{0.1}\text{Co}_{0.7}\text{Fe}_{0.2}\text{O}_{3-\delta}$ nanorods (SNCF-NR) and their application as HER/OER catalysts in an alkaline water-splitting cell (Fig. 13a). Owing to the porous 1D nanostructure, SNCF-NR showed significantly enhanced HER and OER activity compared to the bulk-sized SNCF. When loaded on a Ni foam substrate (mass loading: 3 mg cm^{-2}) and used as the cathode and anode in a water electrolyzer containing 1 M KOH electrolyte, the SNCF-NR//SNCF-NR couple delivered a voltage of $\sim 1.68 \text{ V}$ to reach a geometric current density of 10 mA cm^{-2} (η_{10}) (Fig. 13b), a figure of merit associated with solar-to-hydrogen production [184]. Although inferior to the state-of-the-art Pt/C cathode and IrO_2 anode couple at this voltage, the SNCF-NR//SNCF-NR couple began to overtake at elevated voltages ($>1.78 \text{ V}$). The alkaline water electrolysis performance can be increased by coupling perovskite materials with nanocarbons. For instance, Hua et al. ultrasonically attached electrospun $\text{La}_{0.5}(\text{Ba}_{0.4}\text{Sr}_{0.4}\text{Ca}_{0.2})_{0.5}\text{Co}_{0.8}\text{Fe}_{0.2}\text{O}_{3-\delta}$ perovskite nanorods to reduced graphene oxide nanosheets and obtained a comparable η_{10} value of $\sim 1.66 \text{ V}$ in an overall water-splitting test [185]. Further improvement in performance can be attained by introducing additional reactive sites other than perovskites. For example, Hua et al.

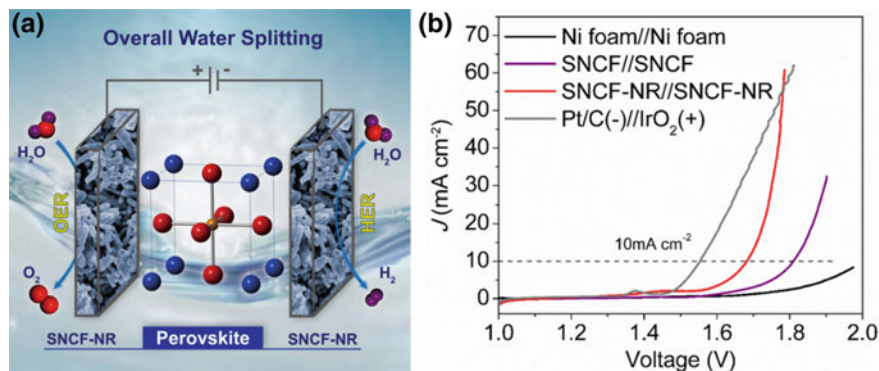


Fig. 13 **a** A schematic representation of a water electrolyzer using $\text{SrNb}_{0.1}\text{Co}_{0.7}\text{Fe}_{0.2}\text{O}_{3-\delta}$ nanorods (SNCF-NR) as both the cathode and anode. **b** Polarization curves of SNCF-NR//SNCF-NR, SNCF//SNCF, Ni foam//Ni foam, and Pt/C(-)//IrO₂(+) for overall water splitting in 1 M KOH (Reprinted with permission from Ref. [183]. Copyright 2016 John Wiley and Sons)

conducted H₂ treatment on A-site-deficient double perovskite nanowires, $(\text{PrBa}_{0.8}\text{Ca}_{0.2})_{0.95}(\text{Co}_{1.5}\text{Fe}_{0.5})_{0.95}\text{Co}_{0.05}\text{O}_{5+\delta}$, and obtained Co/CoO_x nanoparticles exsolved on the surface [159]. Since metal/metal oxide components are expected to show catalytic activity [38, 186], their synergistic coupling to perovskite oxides gave rise to a significantly enhanced performance for the HER/OER electrocatalysis and the overall water electrolysis ($\eta_{10} = 1.62$ V).

Despite the attempts at developing bifunctional perovskite materials for catalyzing both the OER and HER, the currently available perovskites only show moderate catalytic activity toward the HER [42, 102, 159, 183, 185], posing an inevitable overpotential of around 0.2 V for the alkaline water electrolysis. One straightforward way to circumvent this issue is to replace the perovskite-based cathode with the state-of-the-art Pt metal. For example, Chen et al. used magnetron sputtering, which is one popular type of PVD synthesis, to deposit amorphous BSCF nanofilms onto Ni foam substrates (mass loading: $38.7 \mu\text{g cm}^{-2}$) as highly active OER catalysts [110]. When combined with a Pt–Ni foam cathode, which was also obtained by magnetron sputtering deposition at a Pt mass loading of $38.7 \mu\text{g cm}^{-2}$, the BSCF//Pt couple showed significantly reduced voltage for overall water splitting compared to the BSCF//BSCF couple (Fig. 14a). This is mainly attributed to the intrinsically higher HER activity of Pt relative to BSCF [42]. The cell voltage was further reduced to a η_{10} value of 1.57 V for the BSCF//Pt couple when the mass loading of BSCF on Ni foam was increased to $154.8 \mu\text{g cm}^{-2}$. It is noteworthy that this high performance was achieved on electrodes with an ultralow catalyst mass loading, almost 20 times lower than that of SNCF-NR (3 mg cm^{-2}), which may have strong implications for practical use.

The aforementioned perovskite catalysts are evaluated in a simple, lab-based, room-temperature electrolysis cell, without taking into consideration the configuration of water electrolyzers. A more rational practice was recently reported by

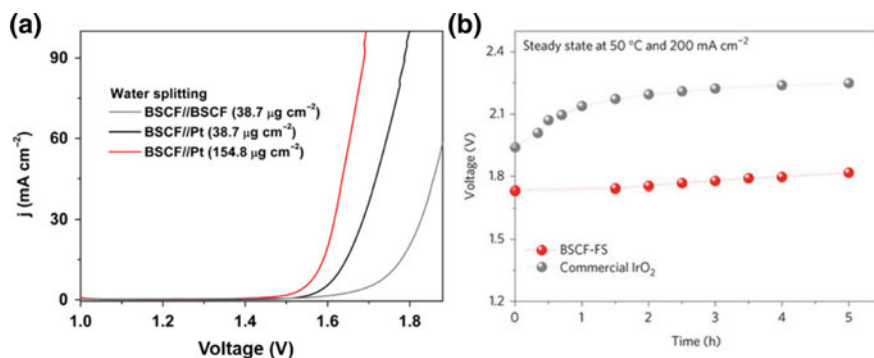


Fig. 14 **a** Polarization curves of BSCF//BSCF (both at $38.7 \mu\text{g cm}^{-2}$), BSCF//Pt (both at $38.7 \mu\text{g cm}^{-2}$), and BSCF//Pt (BSCF at $154.8 \mu\text{g cm}^{-2}$ and Pt at $38.7 \mu\text{g cm}^{-2}$) for overall water splitting in 1 M KOH (Reprinted with permission from Ref. [110]. Copyright 2017 American Association for the Advancement of Science). **b** Voltage versus time at $50 \text{ }^\circ\text{C}$ and a steady-state current density of 200 mA cm^{-2} obtained for membrane electrode assemblies (MEAs) having BSCF-FS and IrO_2 as the anode electrocatalyst (Reprinted with permission from Ref. [100]. Copyright 2017 Springer Nature)

Fabrizi et al. [100], who employed an alkaline membrane water electrolysis cell to assess the performance of BSCF-FS as an anode OER catalyst in comparison to the state-of-the-art IrO_2 . Membrane electrode assemblies (MEAs) containing Pt as the cathode and BSCF-FS or commercial IrO_2 as the anode were assembled with a 3 mg cm^{-2} catalyst loading. Compared to the IrO_2 -based MEA, the BSCF-FS-based MEA presented a lower and more stable cell voltage at steady-state conditions of more relevance to industrial settings, that is, a higher temperature of $50 \text{ }^\circ\text{C}$ and a larger current density of 200 mA cm^{-2} (Fig. 14b). Such measurement offers more solid evidence to the viability of perovskite BSCF-FS nanocatalyst as a low-cost alternative to IrO_2 for efficient water electrolysis.

While much progress has been made in the application of perovskite materials as electrocatalysts for water electrolyzers, there is still room for activity increase, especially in the case of the HER. However, bifunctionality of perovskites for both the HER and OER may appear to be a formidable challenge considering the substantially different reaction processes on perovskite oxide surfaces. Nonetheless, it should be noted that the development of perovskite HER catalysts is still in its infancy. An improved understanding of the reaction mechanism on perovskite surfaces, together with optimized catalyst design strategies, may help facilitate the location of highly active perovskite HER catalysts. At present, a water electrolyzer based on a perovskite OER catalyst coupled with a Pt HER catalyst seems to be a more practical option. Once again, the major concern remains to be the operational stability. The current understanding of catalyst stability is based on short-term electrochemical testing for no more than tens of hours, which is far from satisfactory. In addition, the testing conditions in research laboratories are found to deviate from those in industry. In this sense, application-oriented research should be more

extensively carried out for longer lifetimes at conditions more of industrial interest, for example, a temperature of 80 °C and a current density of $\sim 0.5 - 2 \text{ A cm}^{-2}$ [187]. Provided a combination of inputs into both fundamental and applied research, an operative water electrolyzer based on perovskite electrocatalysts can be expected.

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Chapter 9

Perovskite Material-Based Photocatalysts



Ravi Gundeboina, Venkataswamy Perala and Vithal Muga

1 Introduction

The rapid industrialization, the progression of human civilization, and escalating the use of traditional fossil fuels ensued in the extreme limits of pollution of air, water, and soil. It is observed that over the past few decades, the abusive use of pesticides, industrial chemicals, pharmaceutical and personal care products (PPCPs), organic dyes, and combustion byproducts have resulted in their undesirable accumulation in the environment. Of these, organic dyes have been extensively used in industries such as fabric, furniture, food, and paint. It is estimated that about 30–40% of these coloring agents in the form of “dye wastewater” are released (accidentally or without pretreatment) into water streams causing environmental and health problems. A few of these water-soluble dyes prevent sunlight causing damage to aquatic life. Some other dyes on exposure to sunlight decompose and generate carcinogenic byproducts. The mounting level of pollution together with the depletion of fossil fuel has forced scientists to look for new alternative energy sources and more effective mechanisms to curb pollution. Thus, the utilization of solar energy through a sustainable and cost-effective approach offers the solution to (a) reduce environmental pollution and (b) satisfy the demand for renewable energy.

Traditional methods of wastewater treatment such as coagulation, microbial degradation, adsorption of activated carbon, incineration, filtration, and sedimentation have become ineffective from an economic point of view or leave secondary pollutants. Advanced oxidation processes (AOPs), a group of established treatment methods including (i) photocatalytic oxidation using a semiconducting material as catalyst, (ii) ultrasonic cavitation, (iii) electron beam irradiation, (iv) Fenton’s

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reaction, and (v) reactions using O_3/H_2O_2 , UV/O_3 , and UV/H_2O_2 are found to be effective. The principle of AOP is to generate robust oxidizing species such as OH radicals in situ, which initiates a series of reactions that break down the dye molecule into smaller and less harmful substances, the so-called mineralization process [1–3]. Despite the good oxidation of organic pollutants (except method (i)), the complexity of these methods (AOPs), the high chemical consumption, and the relatively high treatment costs constitute the significant barriers for large-scale applications [2]. Hence, semiconducting material as a photocatalyst is anticipated to be both supplementary and complementary to a more conventional approach for the devastation of dangerous chemical wastes and energy production.

1.1 Heterogeneous Photocatalysis—Semiconductor Oxides as Photocatalysts

The execution of a chemical or biochemical reaction on the surface of the inorganic semiconductor is referred to as heterogeneous photocatalysis. For the fundamentals of photocatalysis, the readers can go through the excellent reviews reported [4–9]. The catalyst together with reactants/pollutants, upon irradiation of light, produce an electron (in conduction band) and hole (in valence band) leading to a series of reactions in which useful products are formed, or undesirable pollutants are decomposed to less harmful byproducts. The phase pure, well-crystallized, monodisperse, nano-sized semiconductor oxide with homogeneous morphology is ideal to be an efficient and chemically stable photocatalyst. As the abovementioned properties strongly depend on the preparative conditions of material, the selection of preparation method is essential. It is well known that the efficiency of a photocatalytic reaction or photocatalyst depends on several factors such as its crystallite size, surface area, band gap energy (E_g), recombination rate of photogenerated electron–hole pair during the photoreaction, nature of the reactant/pollutant, and nature of active sites on the catalyst, to mention a few (Fig. 1) [10]. The photocatalyst used must satisfy several functional requirements with respect to semiconducting properties: (i) suitable solar visible light absorption capacity with a band gap energy about 2.0–2.2 eV (visible light region) and band edge potentials suitable for splitting of water, (ii) capability for separating photoexcited electrons from reactive holes, (iii) minimization of energy losses related to charge transport and recombination of photoexcited electron–hole pair, (iv) chemical stability against corrosion and photo-corrosion in aqueous environment, (v) kinetically sui electron transfer properties from photocatalytic surface of water, and (vi) easy and cost-effective method of preparation.

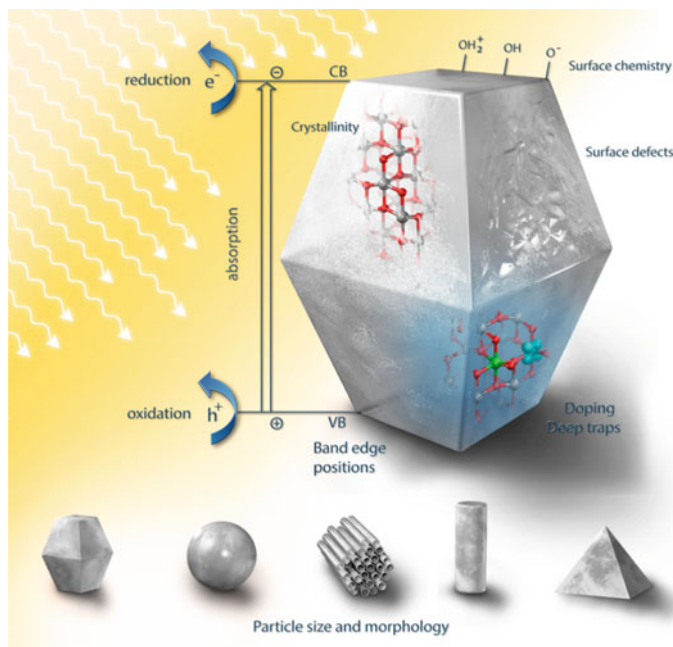
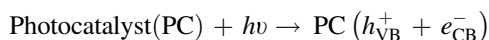


Fig. 1 Factors impacting photocatalytic activity of a single (idealized) inorganic semiconductor particle [10] Copyright 2018. Reprinted with permission from ACS publications

1.2 Mechanism of Semiconductor Photocatalysis

When a semiconductor absorbs light energy more than its band gap energy, it results in the promotion of the valence band electron into its conduction band. The formation of the electron (in the conduction band) and hole (in the valence band), known as “exciton” is the first step in the mechanism of semiconductor photocatalysis.



After photoexcitation, the excited electron–hole pairs either recombine or can migrate to the surface of the semiconductor. They (electron and hole) participate in various oxidation and reduction reactions with adsorbed species such as H_2O and O_2 . These oxidation and reductions are the primary reactions of photocatalytic degradation of pollutants and photocatalytic hydrogen production, respectively. In the photocatalytic water splitting reactions, the photoexcited electrons in the conduction band (CB) are responsible for generation of H_2 and OH^- by reacting with water. However, in order to initiate hydrogen and oxygen production, the conduction band level of the semiconductor should be more negative than the reduction potential of H_2 (H^+/H_2) and valence band level should be more positive than the

oxidation potential of O_2 (H_2O/O_2). However, the photocatalytic dye degradation mechanism is strongly affected by different model pollutants and photocatalysts. In addition, the different dominant radical species would determine the photoactivity and the decomposition path. Hence, the dye degradation mechanism is still not fully understood, even uncertain. A basic mechanism for the photocatalytic process of a semiconductor dye degradation and water splitting is presented in Figs. 2 and 3, respectively.

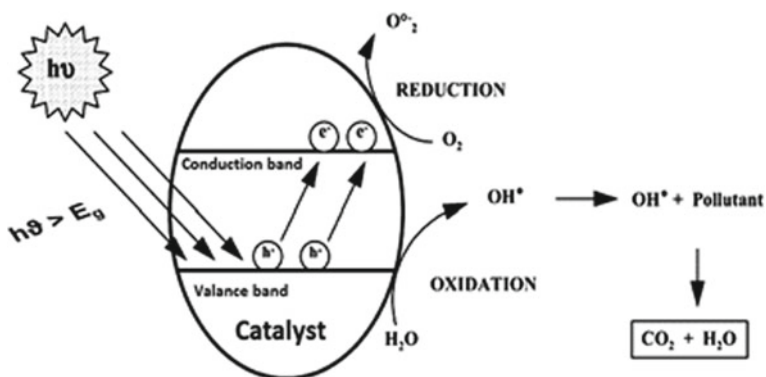


Fig. 2 Degradation process of pollutants using semiconductor oxides illuminated by UV light/solar light

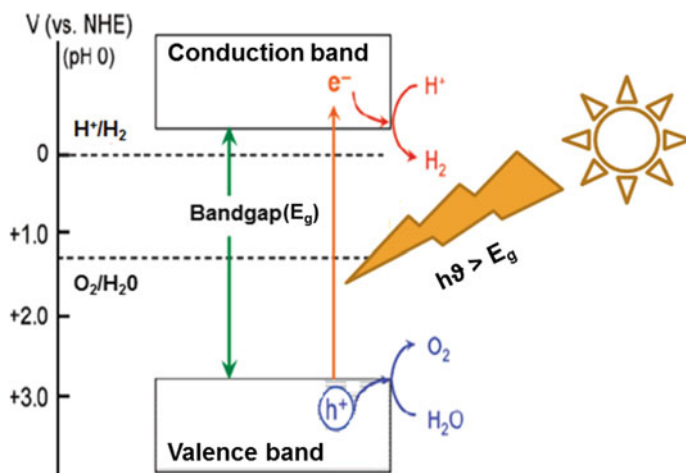


Fig. 3 Fundamental principle of semiconductor-based photocatalytic water splitting for hydrogen and oxygen production

2 Methods to Tailor the Photocatalytic Properties of Semiconductor Photocatalysts

Since the discovery of Fujishima and Honda [11] that water can be photo-electrochemically decomposed into H_2 and O_2 using a semiconductor (TiO_2) electrode under UV irradiation, extensive works have been carried out to produce hydrogen from water splitting using a variety of semiconductor photocatalysts. Since the major part (about 45%) of sunlight belongs to visible region, it is essential that the efforts to design the semiconducting photocatalysts should be toward the utilization of this part of solar light to ignite photocatalytic reactions. Hence, control of electronic band structure of material by adjusting its elemental compositions was recognized as the effective method to extend light response range.

The methods including metal and nonmetal substitution into semiconductor materials are considered to tailor its wide band gap to narrow band gap. Metal ion substitution creates impurity levels in the forbidden band as either a donor level above the valence band or an acceptor level below the conduction band as shown in Fig. 4.

These impurity energy levels decrease the band gap energy of the photocatalyst, thus shifting the photo-response of catalyst toward the visible region. There have been numerous reports on the modification of wide band gap photocatalysts using metal ion doping to make them visible light active [12–17].

It is also perceived in some cases that metal ion dopant can also act as a recombination center, resulting in decreased photocatalytic activities [12]. Doping of nonmetal ions such as N^{3-} , S^{2-} , and F^- is another method employed to narrow the band gap and improve the photocatalytic activity. Unlike metal ion dopants, nonmetal ion dopants are less likely to form donor levels in the forbidden band and

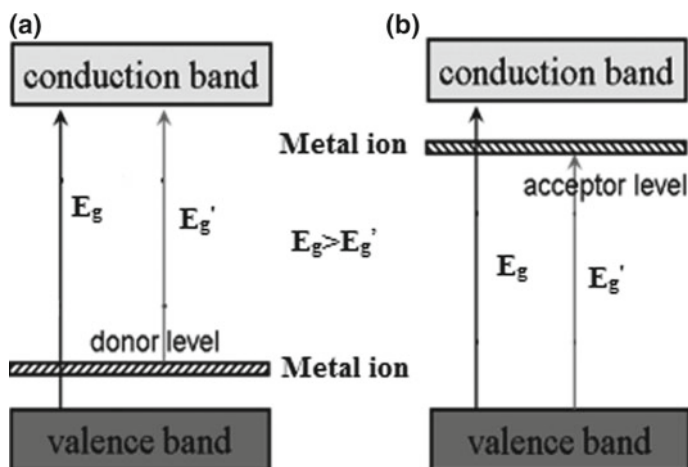
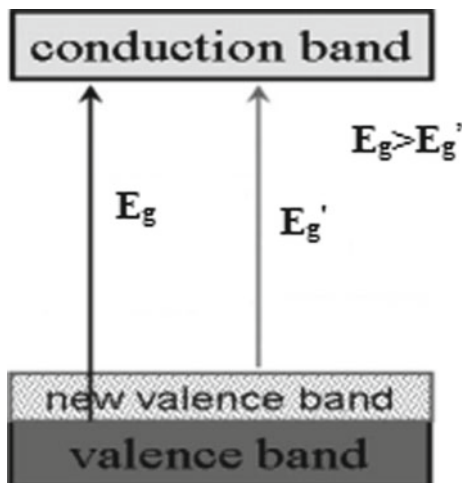


Fig. 4 a Donor level and b acceptor level formed by metal ion doping

Fig. 5 New valence band formation by doping of nonmetal ions



recombination centers. Hence, anion doping is more effective to enhance the photocatalytic activity. Since the study of N-doped TiO_2 by Asahi et al., the photocatalytic studies of anion-doped semiconductors have been attracted much attention. It was found that N substitution into the metal oxide can shift its photo-response into the visible region because of the contribution of N $2p$ orbitals to the valence band comprised of O $2p$ orbitals, thereby narrowing the band gap by moving the valence band upward (Fig. 5) [18]. The method of nonmetal ion doping has been widely used to modify some UV-light-active oxide photocatalysts [19–24].

However, there are also two momentous drawbacks observed in visible light-responsive photocatalytic reactions. First is the rapid recombination of electron/hole (e^-/h^+) pair. The second is low utilization of visible-light-excited high-level energy electrons (HLEEs) and limited visible light absorption of semiconductor photocatalyst. Moreover, there is an additional problem of rapid backward reaction during water splitting. These drawbacks can be overcome by the development of novel photocatalysts such as metal/nonmetal doped photocatalyst, noble metal surface-modified photocatalyst [25–27], dye/semiconductor-sensitized photocatalyst, and p–n heterojunction structure composite photocatalyst [28, 29].

The dopant in lattice brings defects and tunes the amount of oxygen vacancy. It is known that the photocatalyst, having lattice defects and oxygen vacancies, shows good photocatalytic properties by narrowing the band gap and suppressing recombination rate of photogenerated electron–hole [30–32]. When the noble metal is loaded onto the surface of the photocatalyst, the photogenerated electrons migrate to the surface of the host photocatalyst and are entrapped by the noble metal cocatalyst. Meanwhile, the photogenerated holes stay at the host photocatalyst and migrate to its surface. This results in the efficient separation of the photogenerated electrons and holes. Subsequently, the separately localized electrons and holes become involved in their roles as the reducer and oxidizer, respectively, in the photocatalytic reaction. The noble metals, such as Pt, Au, Ru, Pd, Ag, and Rh, are

usually used as effective cocatalysts for photocatalytic water splitting. To date, Pt-loaded photocatalysts have the highest photocatalytic activity for hydrogen evolution from water splitting reaction under the visible light irradiation [33, 34].

The methods including sensitization with organic dyes, the coupling of either p-type and n-type semiconductor or small and large band gap semiconductors, are another ways to improve the photocatalytic properties [35–37]. In the dye-sensitized semiconductor photocatalysis, the dye molecule electrons excited by visible light can be injected into the conduction band of the semiconductor to initiate the catalytic reactions. In the composite photocatalyst (coupling of large band gap semiconductor with a small band gap semiconductor with a more negative conduction level), the excited electrons from conduction band of a small band gap semiconductor by the absorption of visible light can be injected into the conduction band of a large band gap semiconductor, while the photogenerated holes are trapped in a small band gap semiconductor. Thus, an effective electron–hole separation can be achieved by these methods and results in higher photocatalytic activity. However, in p–n heterojunction photocatalysis, because of the difference of band gap and position between the two different semiconductors, the strong internal electric field at the interface can initiate the transfer of photoinduced electrons to the conduction band (CB) of the n-type semiconductor and of holes to the valence band (VB) of the p-type semiconductor for the reduction and oxidation reactions, respectively [38, 39]. It has been observed that the visible-light-excited high-level energy electrons (HLEEs) of narrow band gap semiconductors such as Fe_2O_3 , BiVO_4 , and BiFeO_3 could transfer thermodynamically to the conduction band of TiO_2 with a high-energy level platform, leading to the extended lifetime of photogenerated charge carriers and hence to the improved visible light activities [40–42]. Recently, graphitic carbon nitride ($g\text{-C}_3\text{N}_4$) has been used as a kind of metal-free n-type semiconductor for enhancing the photocatalytic performance of materials with poor photocatalytic activity due to its unique advantages of hydrophilicity and large specific surface area [43].

3 A Short Overview of Perovskite Oxides as Photocatalysts

Among the various types of photocatalysts reported so far, the lion's share comes from oxide semiconductors. The ease of preparation, less expensive, less toxicity, possessing tunable properties, and their utility in cyclic experiments are some of the useful features that have made to investigate oxide semiconductors extensively compared to other types of materials. Oxides such as TiO_2 , ZnO , WO_3 , vanadates (VO_4), and molybdates have been considerably studied as photocatalysts. Oxides belonging to perovskite family with the general formula ABO_3 have attracted the scientific community due to their remarkable properties and the wide range of applications, interesting structure, and flexible compositions. The properties include

high chemical stability, catalytic properties [44], multiferroicity behavior [45], order/disorder transformations, and range of electrical and ionic conductivities that include metallic, half-metallic with spin-polarized electrical conductivity [46, 47], and superconductivity [48]. These oxides are used in SOFC technology, radioactive waste encapsulation, magnetic memory components, and dielectric resonator materials [47, 49–51]. These perovskite materials have a distinctive structural feature that is beneficial to photocatalytic activity. The relationship between crystal structure and energy delocalization shows that the closer to 180° the B–O–B bond angle is, the easier the excitation energy is delocalized leading to higher photoactivity [52, 53]. Thus, materials belonging to ABO_3 family with ideal perovskite structure are expected to exhibit higher photoactivity.

Perovskite oxides (ABO_3) with the structural flexibility to tolerate replacement of A- and B-sites with different ionic species have led to many novel compositions. This chapter exclusively deals with the research work published on the photocatalytic activity of ABO_3 -based perovskite-type materials. Double, triple, and layered perovskites are not considered. Recently, there are fascinating review papers on perovskite materials related to their structure, synthesis, and applications in various fields. Ewelina Grabowska, reviewed the synthesis, characterization of selected perovskite oxides such as $SrTiO_3$, $KTaO_3$, $NaTaO_3$, $KNbO_3$, and $NaNbO_3$ and their applications in photocatalysis. Besides, the methods for enhancing the photocatalytic activity of those mentioned oxides along with the influence of different morphologies and surface properties are also discussed [54]. Guan et al. summarized the contemporary progress of perovskite materials and their photocatalytic applications in water splitting and environmental remediation, and presented the key challenges and viewpoints on the research of perovskite photocatalysts [55]. A review on photocatalytic CO_2 reduction using nano-sized perovskite oxides is reported by Sheng et al. This review correlated the physicochemical properties such as crystal structures, defect distribution, morphologies, and electronic properties to CO_2 photoreduction performance [56]. Pushkar Kanhere and Zhong Chen reviewed the perovskite materials that are classified by the B-site cations and their crystal structure, optical properties, and electronic structure accompanied by photocatalytic performance [57]. Saamyapra et al. discussed the recent development of perovskite, layered perovskite, and their composites especially with π -conjugated carbon materials toward photocatalytic applications [58]. ABO_3 -based photocatalysts for water splitting and the application of the modification scheme of ABO_3 photocatalysts in designing $A_xB_yO_z$ photocatalysts are systematically reviewed by Jinwen Shi and Liejin Guo [59]. Wei et al. described the basic principles of water splitting reaction, photodegradation of organic dyes and solar cells as well as the requirements for efficient photocatalysts [60]. Zhu et al. reviewed the catalytic performance of perovskite oxides with different morphologies for gas-, solid-, and liquid-phase reactions [61]. Shi et al. published a review on recent progress in photocatalytic CO_2 reduction over perovskite oxides. In this review, they have discussed recent advances in the design of perovskite oxides and their derivatives for photocatalytic CO_2 reduction, placing particular emphasis on structure

modulation, defect engineering, and interface construction as rational approaches for enhancing solar-driven CO_2 conversion to CH_4 , CO , and other valuable oxygenates ($\text{C}_x\text{H}_y\text{O}_z$) [62].

4 Recent Developments in Enhancing the Photocatalytic Activity of Perovskite Materials

Although there are some review papers on the photocatalytic applications with simple ABO_3 -based perovskite materials, a planned update is highly desirable to inform the latest progress, growing trends and updated summary of the current status concerning photocatalysis. Further, the rapid utilization of ABO_3 materials in photocatalytic applications requires a fresh assessment to provide a broad overview and possible future directions. Here, we have presented a review on the photocatalytic activity of lanthanum-based perovskites LaMO_3 ($\text{M} = \text{Fe}, \text{Co}$ and Mn) and BaMO_3 ($\text{M} = \text{Zr}$ and Sn) along with the recent developments in enhancing the photocatalytic behavior of alkali and alkaline earth metal-based perovskite materials (ATiO_3 ($\text{A} = \text{Ca}, \text{Ba}$, and Sr), ATaO_3 ($\text{A} = \text{Na}$ and K), and ANbO_3 ($\text{A} = \text{Na}$ and K)).

A succession of stable lanthanum-based perovskites, LaMO_3 ($\text{M} = 3d$ transition metal) has been experimentally and theoretically expounded to be competent photocatalysts due to the unique crystal structure, electric conductivity, etc. Among these, LaFeO_3 has attracted a great deal of interest due to its narrow band gap, inimitable optoelectronic properties structural flexibility, and applications in removing the potential environmental pollutants. The photocatalytic performance of LaFeO_3 is low in spite of its narrow band gap energy ((2.1 eV (for dense polycrystalline samples) and 2.6 eV for powdered samples)) [63, 64] due to limited visible light absorption and the low utilization of visible-light-excited high-level energy electrons [65]. Hence, the research has been aimed to improve its photocatalytic properties by altering its surface properties (like morphology, size of the particle, and surface area) and metal/nonmetal ions doping into its lattice. LaFeO_3 nanoparticles with different morphologies have been synthesized by sol-gel method [66], sonochemical method [67], electrospinning method [68], polymeric precursor route [69], and hydrothermal method [70, 71] to improve its catalytic, magnetic, and photocatalytic properties. Shudan Li and Xianlei Wang have prepared one-dimensional LaFeO_3 fibers with different morphologies by electrospinning method. They have reported the concentration effect of acetic acid and ferric nitrate solutions on the morphology of LaFeO_3 . The effects of morphology on photocatalytic activity have also been investigated [69]. The introduction of metal ion substitution in place of La/Fe in LaFeO_3 on its photocatalytic activity has also been explained [72–76]. Parrino et al. synthesized Cu-substituted LaFeO_3 perovskites ($\text{LaFe}_{1-x}\text{Cu}_x\text{O}_{3-\delta}$, where $x = 0.05, 0.10, 0.20$, and 0.40) by citrate auto-combustion method and discussed their photocatalytic activity by employing 2-propanol oxidation in the gas-solid regime as a probe reaction. They concluded that the

photocatalytic activity of the Cu(II)-substituted LaFeO₃ is improved by increasing the Cu amount up to 10 mol% and slightly decreased for higher copper contents. The higher activity of Cu(II)-substituted LaFeO₃ compared to that of pristine LaFeO₃ has been related with the presence of oxygen vacancies and with the reduced electron–hole recombination [77]. It was reported that the catalytic activities of the manganese-substituted LaFeO₃ were much higher than pure LaFeO₃ because of its higher oxygen vacancies, the variable valency of Mn ions, and the strong absorption in visible light [75]. Jauher et al. reported the synthesis of LaMn_xFe_{1-x}O₃ ($x = 0.0\text{--}0.5$) and examined their H₂O₂-assisted catalytic cationic and anionic dye degradation in the presence and absence of visible light irradiation. They observed that all the Mn-substituted ferrite compositions catalyzed the degradation of dyes both in the absence and presence of visible light irradiation and stated that the catalytic activity is not influenced significantly with increasing manganese content. LaMn_{0.2}Fe_{0.8}O₃ exhibited excellent photocatalytic activity for the degradation of both cationic and anionic dyes [78]. Based on these results, Dhiman et al. studied photocatalytic properties of the transition metals doped with LaMn_{0.2}Fe_{0.8}O₃ (LaMn_{0.2}M_{0.2}Fe_{0.6}O₃ (M = Cr³⁺, Co²⁺, Ni²⁺, Cu²⁺, and Zn²⁺)). All LaMn_{0.2}M_{0.2}Fe_{0.6}O₃ compositions (except M = Cr) have shown higher photocatalytic dye degradation which is attributed to the generation of vacancy defects and smaller band gap energy values [79].

Xicai Hao and Yongcai Zhang have synthesized porous nano-LaFeO₃ through a gel combustion method at the calcination temperatures of 200–400 °C and studied the photocatalytic reduction of aqueous Cr(VI) under visible light irradiation. The LaFeO₃ prepared at lower temperature exhibited enhanced photocatalytic activity in the reduction of Cr(VI) in aqueous solution under visible light irradiation [80]. Giuseppina et al. prepared LaFeO₃ nanoparticles via solution combustion method and investigated their photocatalytic efficiency for hydrogen production from glucose aqueous solution under UV and visible light emitted by light-emitting diodes (LEDs) [81]. Stable LaFeO₃ nanoparticles with a size of 15 nm and the band gap of 1.86 eV have been prepared by a sol–gel method using reduced graphene oxide (rGO) as a template by Ren et al. [82]. They have demonstrated that LaFeO₃-rGO can function as an efficient catalyst for degradation of methylene blue (MB) or rhodamine B (RhB) under visible light irradiation. From the controlled photocatalytic experiments, it was concluded that electron transfer from the dye molecule to hole dominated the oxidation process.

To overcome the low utilization of visible-light-excited high-level energy electrons (HLEEs) and limited visible light absorption of LaFeO₃, Muhammad et al. prepared ZnO-coupled Bi-doped porous LaFeO₃ and evaluated its visible light photocatalytic performance for 2,4-dichlorophenol (2,4-DCP) degradation and CO₂ conversion. The improved visible light activity is endorsed to the improved utilization of visible-light-excited HLEEs by protracting visible light absorption via the Bi-introduced surface states and coupling ZnO to introduce a suitable high-level-energy platform for accepting electrons. The mechanism of photocatalytic degradation of 2,4-DCP over ZnO/Bi-doped porous LaFeO₃ has also been discussed [83]. Yan et al. prepared a p–n heterojunction composed of p-type

LaFeO₃ and n-type g-C₃N₄ and studied its photocatalytic activity against Brilliant Blue (BB) degradation under the visible light irradiation. The improved photoactivity of g-C₃N₄ and LaFeO₃ is attributed to efficient separation of electron-hole pairs, a higher concentration of superoxide and hydroxyl radicals. Electron spin resonance (ESR) analysis and the active species trapping experimental results confirmed the Z-scheme photogenerated electron transfer mechanism integrated with the dye-sensitization effect of the photocatalytic reaction process [43]. Ibrahim et al. prepared n-type LaFeO₃ and evaluated its photoelectrochemical water splitting under simulated AM 1.5G solar illumination in view of factors influencing photocatalytic performance including the calcination temperature, the species, concentration, and the pH of the electrolyte that are evaluated [84].

A perovskite, lanthanum cobaltite (LaCoO₃), is considered as a promising catalytic material because of its appealing physicochemical properties such as electrical, ionic conductivities, and excellent electrochemical properties [85, 86]. But less visible light-harvesting, higher electron-hole recombination rates and a short lifetime of photogenerated charge carriers hindered its pragmatic applications. Feng et al. adopted sol-gel and deposition-precipitation method to get a PdO/LaCoO₃ heterojunction photocatalyst to enhance the H₂ production from formaldehyde aqueous solution without any additives at low temperature. The heterojunction structure can significantly improve the charge generation, enhance the visible light absorption, and efficiently confine the recombination of photogenerated electron-hole pairs to exhibit higher photocatalytic activity. The obtained results are demonstrated that PdO doping on the LaCoO₃ surface can effectively adjust band gap and Fermi energy levels to apply in hydrogen production from formaldehyde solution under visible light. It is found that the hydrogen production rate of 2 wt% PdO/LaCoO₃ is multiple of ten higher than pristine LaCoO₃ [87].

Minghui Wu et al. tried to prepare LaCoO₃ with propitious performance through creating oxygen vacancies in it. LaCoO₃ is prepared using natural sugarcane bagasse with tunable oxygen vacancy and enlightened the interaction between metal ions and sugarcane bagasse [88]. It has been stated that natural biomass like sugarcane bagasse and extract of *Pichia pastoris* GS115 may not only replace the usual chemical reagents because of the benefits of being green, environmental, and low cost but also provide ample functional groups to interact with the metal ions leading to varying in the surface properties, and even form a unique structure of the synthesized photocatalysts, which could tune the amount of oxygen vacancy and bring the lattice defects [89]. The characterization of bagasse is carried out to explicate its interaction with metal ions and role in the synthesis process. Moreover, the correlation between the amount of oxygen vacancy on the perovskite induced by biomass and the performance of photocatalytic hydrogen production is examined and reported that as-synthesized sugarcane bagasse-mediated LaCoO₃ perovskite had worthy photocatalytic performance in hydrogen production from formaldehyde solution under visible light irradiation than that prepared by conventional citric acid method [88]. Liqing et al. reported novel microbial synthesis of high efficient Cu-doped LaCoO₃ photocatalyst from *Pichia pastoris* GS115 for hydrogen production from formaldehyde solution under visible light irradiation. Copper doping

favors the formation of impurity level and appropriate oxygen vacancy. The biomass intervention in synthesis assists the adjustment of the crystal structure and surface structure of catalyst, which makes the diffraction angle and unit cell change and simultaneously regulates surface oxygen defects. Besides, the abundant organic functional groups from biomass residue on the surface act as a photosensitizer, so that the higher photocatalytic performance is obtained for the catalyst prepared by microbial synthesis than the catalyst prepared by traditional chemical methods [90]. Jayapandi et al. prepared pristine and silver (Ag)-modified LaCoO_3 via a hydrothermal process. Silver is considered as better metal dopant because Ag can induce transport of charge carriers and acts as an effective carbon removal, better photo absorber and provide active species for oxygen activation. Ag-modified LaCoO_3 degraded methylene blue (MB) in higher rate (99% in 10 min) compared to pure LaCoO_3 (75% in 10 min) [91].

The photodegradation of methyl orange within 90 min of visible light irradiation is observed using pure LaMnO_3 nanoparticles [92]. It is well known that the porous perovskite oxides with the improved specific surface area are vital to increasing the photocatalytic activity [93, 94]. Rajesh Kumar et al. examined the significance of different morphologies of LaMnO_3 particles for the degradation of RB dye under visible light irradiation. As-prepared porous LaMnO_3 nanoparticles degraded 95% of RB dye in 60 min of irradiation time. These results imply that the porous morphology and specific surface area plays a key role in the photocatalytic performance [95]. Peisong et al. studied the photodegradation of methyl orange dye under the visible light irradiation using YbFeO_3 [96] and EuFeO_3 [97].

The structural features of BaZrO_3 like ideal cubic crystalline phase with a bond angle of 180° of the ZrO_6 octahedral sites and largely dispersed conduction band have provoked to study its photocatalytic activity. Yupeng et al. studied for the first time the photocatalytic water splitting of BaZrO_3 under the UV light irradiation [98]. Later, the same group has tried to modify the electronic structure of BaZrO_3 to enhance the evolution rate of H_2 by doping Sn^{4+} in Zr^{4+} site. They observed that $\text{BaZr}_{0.7}\text{Sn}_{0.3}\text{O}_3$ exhibits the highest H_2 evolution rate [99]. They have also investigated the impact of Sr^{2+} substitution on photocatalytic water splitting activity of BaSnO_3 [100]. Borse et al. switched the UV active BaSnO_3 photocatalyst into a visible-light-active photocatalyst for O_2 production through the electronic structure modification by doping Pb^{2+} for Sn^{2+} [101]. Subsequently, attempts have been made to improve the photocatalytic activity of BaZrO_3 not only by metal ion doping [102, 103] but also preparing BaZrO_3 solid solutions [ex: BaZrO_3 - BaTaO_2N Solid-Solution Photocatalyst] [104] or heterojunction formation with other metal oxides [Ex: $\text{BaZrO}_3/\text{Cu}_2\text{O}/\text{Bi}_2\text{O}_3$] [105].

Titanium-based perovskite materials with the composition of ATiO_3 ($A = \text{Sr}$, Ca , and Ba) have been studied for photocatalytic applications for a long time even though having wide band gap energies due to their excellent resistance to photo-corrosion and high thermal stability [106]. Among the large number titanate perovskites, strontium titanate oxide (SrTiO_3) with an indirect band gap of 3.25 eV has been one of the most studied photocatalysts, exhibiting an unusual photocatalytic activity in various photocatalytic applications [107, 108]. Many researchers

have attempted to tune the electronic structure of titanium-based perovskite oxides to obtain the improved photocatalytic activity. Here, the recent developments in enhancing the photocatalytic applications of ATiO_3 ($A = \text{Sr}, \text{Ca}, \text{and Ba}$) have been presented.

A series of Au/SrTiO_3 nanocomposites with different Au contents have been synthesized by Xian et al., using a photocatalytic reduction method. The photocatalytic activity of the obtained composites was investigated for the photocatalytic degradation of acid orange 7 and methyl orange dyes under simulated sunlight and visible light irradiation, and a higher photoactivity observed for Au/SrTiO_3 nanocomposite than that of pure SrTiO_3 [109]. Liu et al. successfully synthesized WO_3 , nanosheets with different SrTiO_3 (La, Cr) contents. The photocatalytic activity of the as-prepared samples was measured in the decomposition of methylene blue under visible light irradiation [110]. Kissa et al. synthesized $\text{SrTi}_{1-x}\text{Rh}_x\text{O}_3$ photocatalyst considering different Ti/Rh ratios using a one-step hydrothermal synthesis and studied for the decomposition of methyl orange under visible light irradiation. 5% Rh-doped SrTiO_3 is shown to completely oxidize methyl orange within 30 min under visible light illumination [111]. Pure TiO_2 , SrTiO_3 , and $\text{SrTiO}_3/\text{TiO}_2$ nanocomposite were prepared by Devi et al. through a sol-gel method. The photoactivity of the as-prepared samples was evaluated by the degradation of 4-Nitrophenol (4-NP) under UV light irradiation, which revealed that the composite had improved photoactivity as compared to pure TiO_2 and SrTiO_3 [112]. Swapna et al. examined photocatalytic degradation of methylene blue under UV light with SrTiO_3 catalysts. The SrTiO_3 catalysts were prepared by a novel polymerizable sol-gel (PSG) approach for the first time [113]. SrTiO_3 powders were prepared by applying a polymeric precursor method by Silva et al. for the photocatalytic decomposition of methylene blue (MB), rhodamine B (RhB), and methyl orange (MO) dyes under UV illumination [108].

Goto et al. studied the photocatalytic decomposition of water over Al-doped SrTiO_3 ($\text{SrTiO}_3:\text{Al}$) catalyst under solar light irradiation [114]. The heterojunction semiconducting Au-Al/SrTiO_3 oxide was prepared by Saadetnejad et al. for hydrogen production by photocatalytic water splitting under visible light in the presence of methanol, ethanol, and isopropyl alcohol as sacrificial agents. They synthesized the Al/SrTiO_3 via solid-state reaction, while Au loading was done with the homogenous deposition-precipitation method. The results showed that the 0.25% Au-1.0% Al/SrTiO_3 composite oxide exhibited higher photocatalytic hydrogen evolution of 347 mmol/h with isopropyl alcohol as sacrificial agent [115]. Han et al. prepared Mg-modified SrTiO_x photocatalysts by solid-state method. The samples were shown excellent performance for the photocatalytic water splitting under solar light illumination and the maximum H_2 evolution activity was obtained with the $\text{Sr}_{1.25}\text{Mg}_{0.3}\text{TiO}_x$ material [116].

Pure SrTiO_3 is not considered as a good photocatalyst because it is only active under UV irradiation, and therefore cationic/anionic dopants are often doped into SrTiO_3 to make it visible light-responsive. Kou et al. used the transition metal ions such as Co, Fe, and Ni as dopants to improve the photocatalytic CO_2 reduction to CH_4 . Among the dopants, Co ions doped SrTiO_3 displays the most outstanding

photocatalytic performance for CO₂ photoreduction. Photo-deposition of Pt metal ions on co-doped SrTiO₃ surface further improves the CO₂ reduction to CH₄, and the yield of CH₄ under visible light irradiation is 63.6 ppm/h [117]. Luo and co-workers reported three kinds of SrTiO₃-containing (SrTiO₃, surface-Ti-rich SrTiO₃, and Sr(OH)₂-decorated SrTiO₃) photocatalysts for CO₂ reduction with H₂O vapor under visible light irradiation. They found that the molecular oxygen and CO were only the photoreduction products and the surface-Ti-rich SrTiO₃ exhibits the highest photocatalytic activity for CO₂ reduction [118]. By taking advantage of the loading/deposition of other metal or metal oxides on SrTiO₃ semiconductors, Li et al. prepared Rh and Au co-loaded SrTiO₃ through a photo-deposition process and studied the as-prepared catalysts for synthesis of syngas (CO and H₂) from photocatalytic reduction of CO₂ with water vapor under visible light irradiation [119]. Shoji et al. prepared the strontium titanate (SrTiO₃; STO) nanorod thin films loaded with amorphous copper oxide (CuO_x) nanoclusters. They found that compared to bare STO nanorods, the loading of the CuO_x-cocatalysts onto STO nanorods improved the photocatalytic carbon dioxide (CO₂) reduction using H₂O as an electron donor into carbon monoxide (CO) [120].

It is realized that the photocatalysts with a three-dimensional (3D) porous microsphere structure exhibited a high photocatalytic performance because they possess a high specific surface area and plenty of active sites for the target reaction, absorb more light through the reflection of pore walls, and are easy to be recycled by facile mechanical filtration [121]. Yang et al. prepared porous SrTiO₃ microsphere through a sol-gel method and observed its high photocatalytic performance for the Cr(VI) reduction under UV light irradiation [122]. Later, they tried to extend the photo-response of three-dimensional (3D) porous SrTiO₃ microspheres to the visible light region, thus enhancing their visible light photocatalytic activity [123]. They prepared three-dimensional porous La-doped SrTiO₃ (LST) microspheres via a modified sol-gel method. The agarose gel/SrCO₃ microsphere and La₂O₃ were used as the template and the La resource, respectively. The morphology of as-prepared LST-0.5 (agarose gel/SrCO₃/0.5 wt% of La₂O₃) sample is shown in Fig. 6. It is observed that these microspheres exhibit a higher photocatalytic activity than the undoped SrTiO₃ sample for the reduction of Cr(VI) under visible light irradiation. They have concluded that the observed redshift of absorption edge of SrTiO₃ inhibits the recombination of photogenerated electrons and holes, leading to the higher photocatalytic activity under visible light. Among all the as-prepared LST samples, LST-0.5 reduced 84% Cr(VI) within 100 min, exhibiting the highest photocatalytic activity. It is evident from Fig. 7 that the LST-0.5 sample has an excellent reusability, which may lay a good foundation for their practical application. They have also suggested photocatalytic mechanism for the reduction of Cr(VI) by porous LST microspheres under visible light as shown in Fig. 8.

Oxide mineral calcium titanate (CaTiO₃) was discovered in 1839 in the Ural Mountains and named for Russian mineralogist L. A. Perovski [124]. CaTiO₃ has also attracted a great deal of interest in the world of oxide electronics, not only as a functional material in electronic and piezoelectric devices but also as a

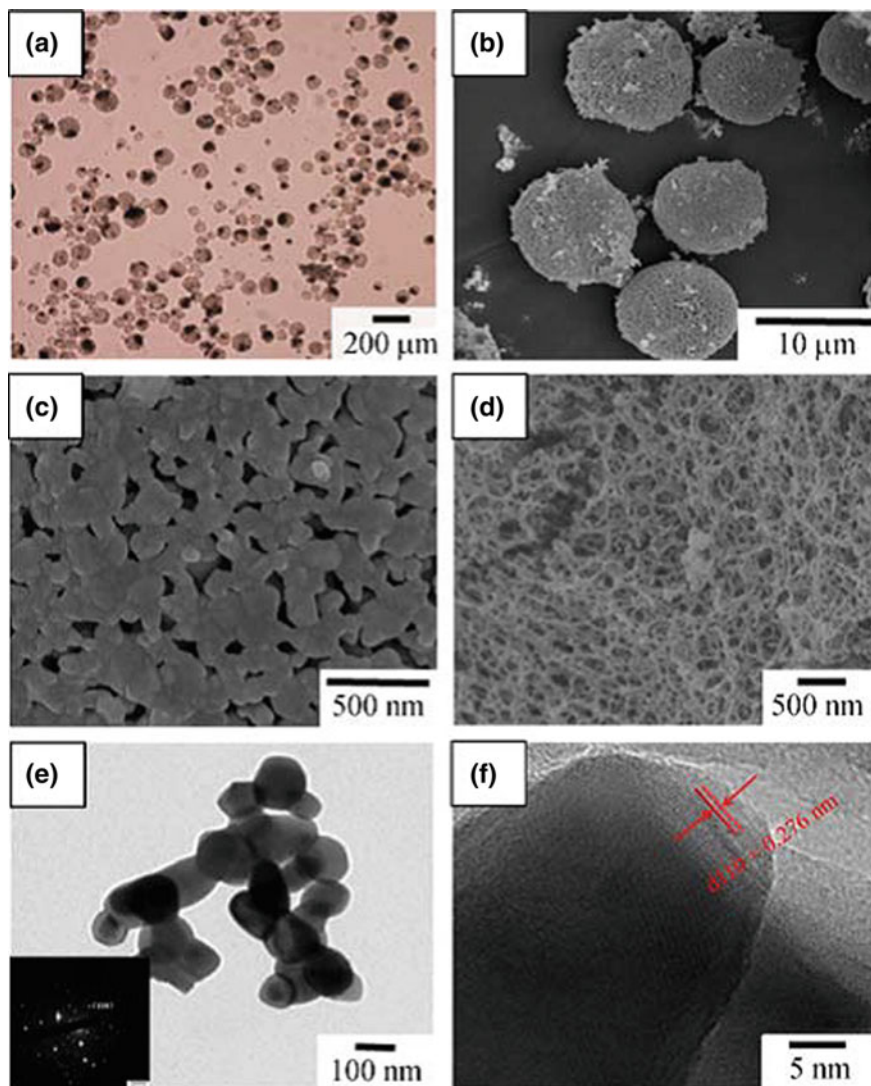


Fig. 6 **a** OM photo of agarose gel/SrCO₃/TiO₂/La₂O₃ composite beads; **b** low- and **c**, **d** high-magnification SEM photos of LST (**c**), outside surface; **d**, inner structure; **e** TEM photo with corresponding SAED pattern (inset); **f** HRTEM photo of LST [123] Copyright 2018. Reprinted with permission from Springer Nature

semiconducting material with a band gap of ~ 3.5 eV. However, due to its wide band gap (3.5 eV), CaTiO₃ was rarely used for the photocatalytic applications and its photoactivity is limited to UV excitation only. In the study by Yan et al., surface disorder-engineered CaTiO₃ were applied as the semiconducting materials for photocatalytic degradation of rhodamine B (RhB) under irradiation of simulated sunlight

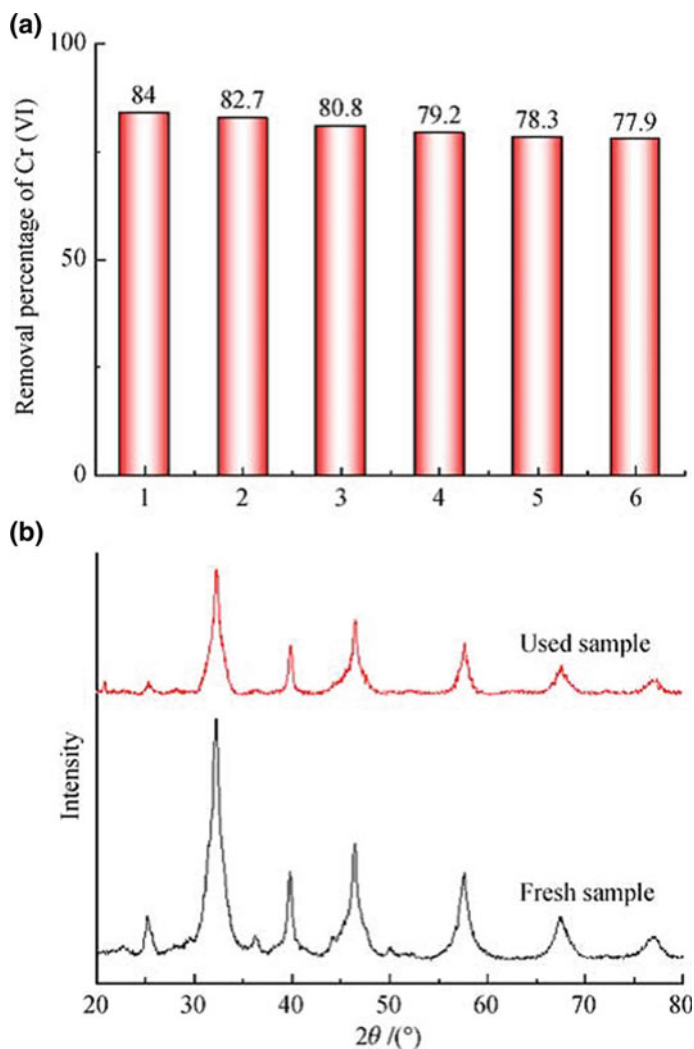


Fig. 7 **a** Recycling test of LST-0.5 for photocatalytic reduction of Cr(VI) under visible light and **b** XRD patterns of LST before and after six recycling tests [123] Copyright 2018. Reprinted with permission from Springer Nature

and UV light [125]. Graphitic carbon nitride ($g\text{-C}_3\text{N}_4$)- CaTiO_3 heterojunction photocatalysts were synthesized by Kumar et al. for the photocatalytic decomposition of rhodamine B (RhB) under UV, visible, and natural sunlight irradiation. The CaTiO_3 (CT) nanoflakes were homogeneously deposited onto the surface of $g\text{-C}_3\text{N}_4$ nanosheets by using a facile mixing method [126]. Yan et al. reported a facile hydrothermal approach to synthesize CaTiO_3 nanocuboids of controlled sizes that were used for degradation of rhodamine B under simulated sunlight irradiation.

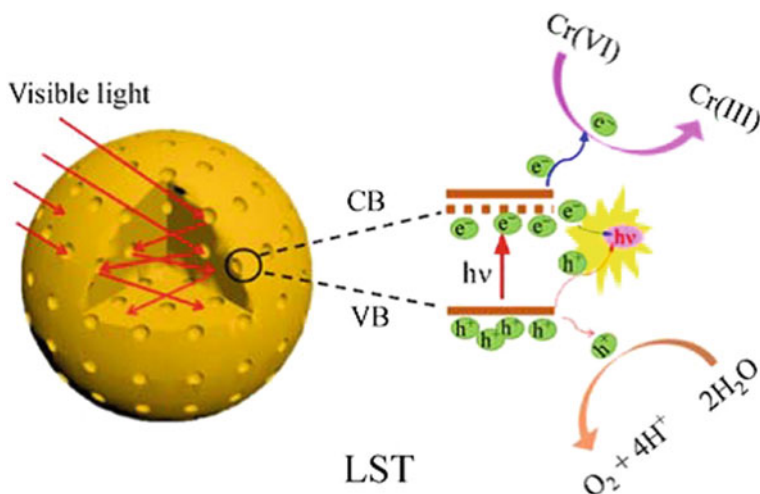


Fig. 8 Proposed photocatalytic mechanism for the reduction of Cr(VI) by porous LST microspheres under visible light [123] Copyright 2018. Reprinted with permission from Springer Nature

The photoactivity results demonstrated that CaTiO_3 nanocuboids exhibit superior photocatalytic activity when compared with CaTiO_3 nanoparticles [127]. Han et al. prepared an orthorhombic CaTiO_3 by solid-state, sol-gel, and hydrothermal methods and studied their photocatalytic performance for the degradation of methylene blue (MB) in an aqueous solution under the irradiation of visible light [128]. The photocatalytic activity of Ag-La co-doped CaTiO_3 powder for hydrogen evolution under UV and visible light was studied by Zhang et al. The photocatalyst, Ag-La co-doped CaTiO_3 , showed higher H_2 production activity than that of pure CaTiO_3 powder [129]. Im et al. synthesized CaTiO_3 @basalt fiber (BF) materials through a facile dipping method. This catalyst achieved high photocatalytic activity in CO_2 reduction ($\text{CH}_4 = 17.8 \mu\text{mol/g}$, $\text{CO} = 73.1 \mu\text{mol/g}$) [130]. Similarly, Yoshida et al. studied the composite catalysts of CaTiO_3 @basalt fiber (BF) materials, consisting of CaTiO_3 with various Ca/Ti ratios, for CO_2 photochemical reduction. CaTiO_3 (1.5:1)@BF, had superior CO_2 adsorption and also the best CO_2 reduction performance ($\text{CH}_4 = 17.8 \mu\text{mol/g}$ and $\text{CO} = 73.1 \mu\text{mol/g}$) [131].

The photocatalytic applications of Barium titanate, BaTiO_3 , are limited due to its wide band gap energy in the range of 3.7–3.8 eV. Selvarajan et al. synthesized the novel BaTiO_3 - SnO_2 nanocomposites by hydrothermal and precipitation deposition method. O-Chloroaniline and methylene blue were used as the model pollutants to evaluate the photocatalytic activity of the as-synthesized samples under UV-Vis light irradiation [132]. Nageri et al. reported the successful synthesis of Mn-doped barium titanate nanotube arrays by hydrothermal method, and the photocatalytic activity of these catalysts was evaluated by studying the degradation of methylene blue (MB) dye under visible light source [133]. Thamima et al. observed the

photocatalytic performance of the BaTiO₃ catalysts using various dyes such as Methylene Blue (MB), Malachite Green, and Alizarin Red S under UV light irradiation [134]. Maeda et al. synthesized rhodium-doped BaTiO₃ catalysts with five different Rh concentrations (1–5 mol%) by using a polymerized complex (PC) method to sensitize BaTiO₃ into visible light. The synthesized catalysts studied for hydrogen production by photocatalytic water splitting under visible light in the presence of methanol as an electron donor [135].

Tantalate-based semiconductor materials, such as alkali tantalates, which have unique crystal and energy band structures, are widely used in photocatalysis. Further, they possess both valence band and conduction band (CB consisting with Ta 5d orbitals at a high potential) potentials suitable for photocatalytic applications. Due to their high photosensitivity, nontoxicity, stability, and commercial availability, these catalysts scores highest among the large band gap semiconductors as a photocatalyst.

NaTaO₃ (band gap energy ~ 4.07 eV) has attracted extensive attention in photocatalytic applications due to its good stability and high photocatalytic activity. Moreover, NaTaO₃ is considered as a good host material for developing visible light photocatalysts, especially when doped with rare earth elements like La [136]. Wang et al. reported that W and N co-doped NaTaO₃ showed higher photocatalytic activity toward degradation of rhodamine B (RhB) under visible light irradiation than single-element-doped NaTaO₃ [137]. Lan et al. reported the photocatalytic activity of the La/Bi co-doped NaTaO₃ and pure NaTaO₃ materials for the degradation of methylene blue under visible light irradiation. The La and Bi co-doping reduces the particle size, thereby extends the absorption edge toward longer wavelength [138]. A novel sulfur-doped NaTaO₃ photocatalyst was successfully synthesized by Li et al. through a simple hydrothermal process, and its photocatalytic activity was evaluated by methyl orange (MO) and phenol degradation processes [139]. The interest in NaTaO₃ in water splitting reaction recently increased after the discovery of its photocatalytic properties as water splitting [140]. NaTaO₃-based photocatalysts doped with different rare earth metal ions such as Y, La, Ce, and Yb with a constant ratio of rare earth metal ions have been synthesized by Jana and co-workers from the solid-state method and tested their photoactivity toward H₂ production by water/methanol mixture (methanol as an electron donor) under solar light. The higher H₂ production has been obtained with the Y-doped NaTaO₃ catalyst. Further, the deposition of Pt nanoparticles as cocatalyst, over these catalysts, improves even further the water splitting activity [141]. The photocatalytic activity of La-doped and pure NaTaO₃ in the H₂ evolution under UV light was studied by Lopez-Juarez et al. The higher photocatalytic activity was observed in the 2 mol% La-doped NaTaO₃ catalysts [142].

As a functional material, potassium tantalate (KTaO₃) has good photocatalytic activity and suitable band gap energy (3.2–3.8 eV). Liu et al. found that the substitution of La for K in KTaO₃ (K_{1-x}La_xO₃) can significantly improve the activity for methyl orange (MO). They demonstrated that the incorporation of La³⁺ ions in KTaO₃ host lattice led to a monotonous lattice contraction and increased the BET surface area [143]. Krukowska et al. investigated the photocatalytic activity of

mono- and bimetallic noble metal nanoparticles (MNPs/BNPs) decorated on the surface of KTaO_3 using by hydrothermal reaction of KTaO_3 followed by photo-deposition of MNPs/BNPs. The photocatalytic activity was estimated considering three model reactions by measuring the decomposition efficiency of phenol solution, the removal efficiency of gaseous toluene, and amount of evolved H_2 production in the presence of formic acid solution under the presence of Vis or UV-Vis light source [144]. Reduced graphene oxide- KTaO_3 (rGO- KTaO_3) hybrid composites with various graphene contents have been prepared by Bajorowicz et al. via the hydrothermal method. The photocatalytic activity of the composite was studied by the degradation of phenol under visible light irradiation. The improved photocatalytic activity can be attributed to the photosensitizer role of graphene in the rGO- KTaO_3 composites as well as the formation of p-n heterojunctions between p-type rGO and n-type KTaO_3 [145].

For the first time, Chen et al. prepared carbon-doped KTaO_3 via a simple combination of hydrothermal and calcination processes with glucose as the carbon source and tested the obtained powders in a photocatalytic H_2 generation under simulated sunlight illumination. The excellent photocatalytic hydrogen production should be mostly ascribed to the enhanced separation efficiency of charge carriers. The H_2 production rate was higher for C-doped KTaO_3 (592 $\mu\text{mol/h}$) than pure KTaO_3 (228 $\mu\text{mol/h}$) due to the enhanced separation efficiency of charge carriers [146]. It is generally accepted that methanol is an important industrial feedstock and is derived from the photocatalytic reduction of CO_2 using tantalum-based perovskites. Xiang et al. prepared CuO-patched cubic NaTaO_3 (CNTO) photocatalysts with different Cu contents and carried out the photocatalytic reduction of CO_2 to methanol in isopropanol in a self-made batch reactor. The morphologies of pure NaTaO_3 nanocubes and nano-CuO-patched NaTaO_3 (CNTOs) were observed by SEM and TEM as shown in Figs. 9 and 10. 5 wt% CNTO was found to have the best activity (CH_3OH yield of 1302.22 $\mu\text{mol g/l h}$) and product selectivity in converting CO_2 to CH_3OH [147]. Also, authors have proposed the mechanism for photocatalytic reduction of CO_2 to methanol on CuO- NaTaO_3 photocatalyst (Fig. 11).

Nakanishi et al. studied the photoactivity of a set of Mg-, Ca-, Sr-, Ba-, and La-doped NaTaO_3 powders samples prepared by a solid-state method, while Ni, Pd, Rh, Cu, Au, and Ru cocatalysts were loaded in situ on doped photocatalysts by photo-deposition method, in photocatalytic CO_2 reduction to form CO using water as an electron donor. The best photocatalytic CO evolution obtained with Ag-loaded doped- NaTaO_3 photocatalysts [148].

Shao et al. synthesized highly efficient potassium tantalate (KTaO_3) materials, from a single-step hydrothermal method and investigated their activities in the reduction of carbon dioxide to methanol in isopropanol under UV light irradiation. Further, they found that the photoactivity of KTaO_3 increased with the loading of different NiOx contents and 2 wt% of NiO/ KTaO_3 sample exhibited highest methanol formation [149]. Li et al. synthesized KTaO_3 (KTO)-based samples by

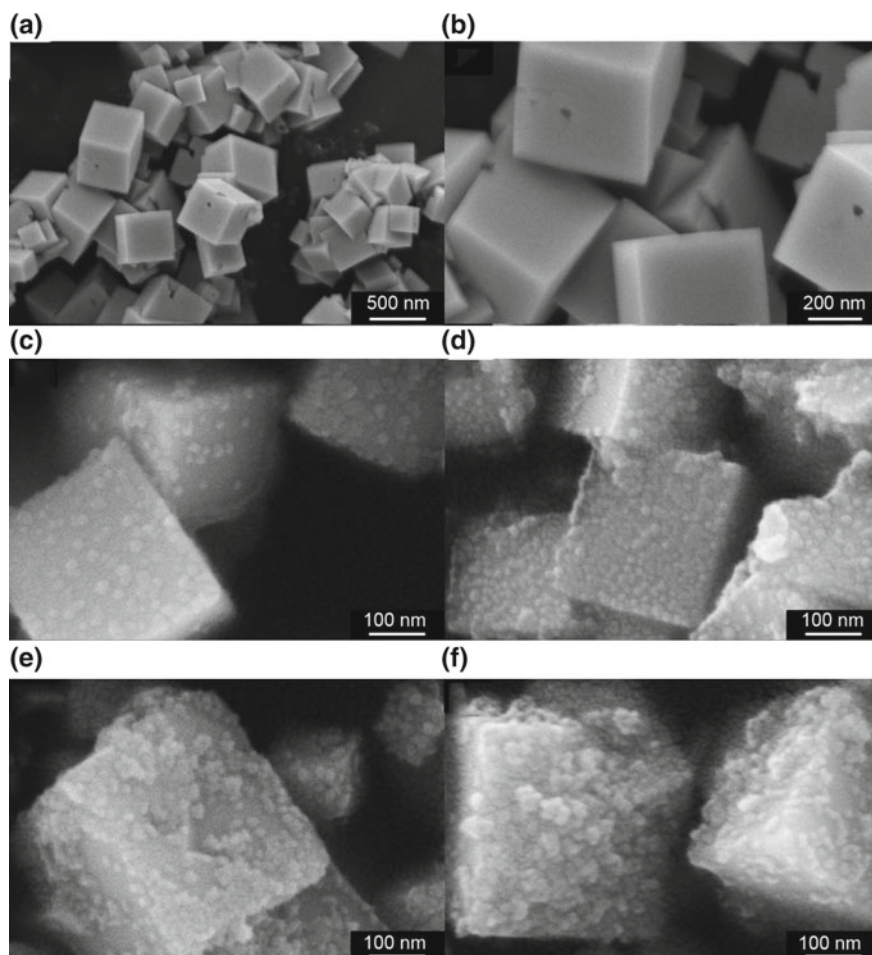


Fig. 9 SEM images: **a, b** pure NaTaO₃, **c** 2 wt% CNTO, **d** 5 wt% CNTO, **e** 7 wt% CNTO, **f** 10 wt% CNTO [147] Copyright 2018. Reprinted with permission from Elsevier

solid-state reaction (SSR-KTO) and solvothermal methods in hexane–water mixture (Hex-KTO) and ethanol (Eth-KTO) to reduce CO₂ with water under UV light irradiation. KTO prepared in hexane–water mixture sample with a nanoflake-like structure was found to have the best activity (20 times (H₂) and 7 times (CO)) and product selectivity in converting CO₂ to H₂/CO in comparison to other samples [150]. Fresno et al. compared the photocatalytic activity of NaNbO₃ and NaTaO₃ catalysts in the reduction of CO₂ under UV irradiation and found that the NaTaO₃ exhibits better photocatalytic properties regarding CO/H₂/CH₃OH/CH₄ (CO was the major product) product selectivity [151].

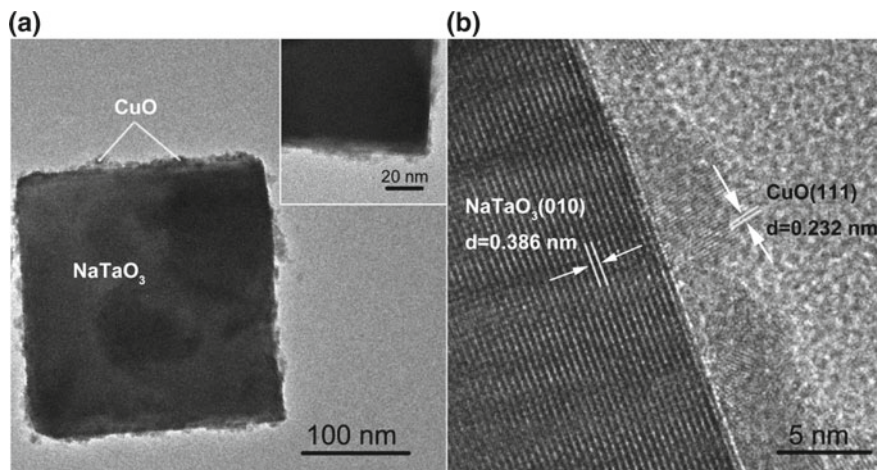


Fig. 10 **a** TEM image and **b** HRTEM image of 5 wt% CNTO [147] Copyright 2018. Reprinted with permission from Elsevier

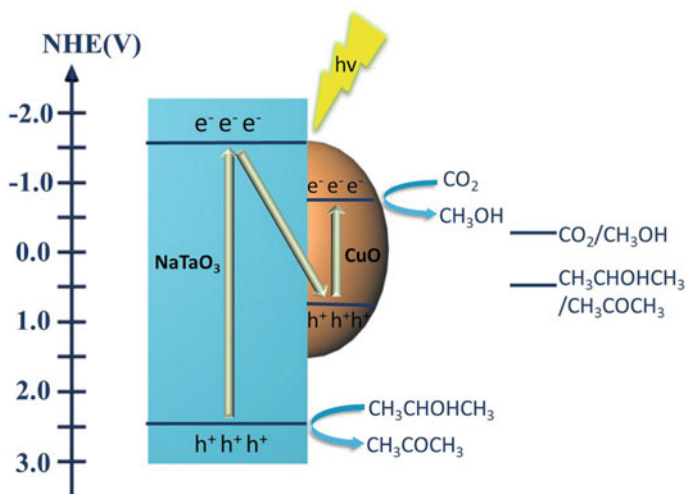


Fig. 11 The proposed mechanism for photocatalytic reduction of CO_2 to methanol on CuO-NaTaO_3 photocatalyst [147] Copyright 2018. Reprinted with permission from Elsevier

The niobium-based perovskite materials have been regarded as a potential candidates for a variety of photocatalytic applications compared to that of TiO_2 because the conduction band in niobates perovskite materials consists of Nb 4d which are situated at more negative potential (compared to TiO_2), thereby ensuring the separation and transfer of photoinduced charge carriers very precisely in their

photocatalytic applications. NaNbO_3 , possibly the most studied of the alkali niobates, is a well-known transparent semiconductor (with a band gap of 3.7 eV) with extremely technological importance. The photocatalytic activity of alkali niobates under UV radiation strongly depends on the nature of the “A” cation. If the A-site in alkali niobates is replaced by Ag ion, it extends the light absorption to visible region and improves the photocatalytic efficiency of alkali niobates. AgNbO_3 has a band gap of 2.7 eV, and thus it absorbs visible light. Wu et al. synthesized microcrystalline AgNbO_3 photocatalysts as a visible light photocatalyst for the photocatalytic decomposition of cationic dyes such as rhodamine B and methyl blue [152].

Sun et al. synthesized $\text{BiOI}/\text{NaNbO}_3$ p–n junction photocatalyst at low temperature by using a facile chemical bath method. The photocatalytic activity of the $\text{BiOI}/\text{NaNbO}_3$ composites was investigated for the degradation of MB under visible light irradiation [153]. NaNbO_3 with cubic crystal structure photocatalyst was synthesized by Chen et al. via a convenient solvothermal method and then Ru nanoparticles were added to it by photo-deposition technique. The photocatalytic activity of Ru/NaNbO_3 composite was exhibited outstanding performance for the degradation of RhB under visible light irradiation than that of naked and Ru-doped NaNbO_3 [154]. For the photodegradation of methylene blue (MB), Wang et al. fabricated cubic NaNbO_3 (c- NaNbO_3) photocatalyst at 350 °C by a surface ligand-assisted localized crystallization (SLALC) method. They found that the as-synthesized c- NaNbO_3 nanoparticles could adsorb 95% of methylene blue in 3 min and photodegrades 99.3% of methylene blue (MB) in 180 min [155]. Liu et al. investigated and compared wire- and cube-like NaNbO_3 perovskite catalysts with Pt loading for photocatalytic H_2 production and organic-pollutant degradation. It was found that after the incorporation of Pt NPs, the Pt/NaNbO_3 systems exhibited noticeably higher performance due to the better light absorption and the improved separation capability of photogenerated electron–hole pairs [156]. Liu et al. synthesized single-crystalline NaNbO_3 with wire- and cube-like morphology by a facile and eco-friendly route including a hydrothermal as well as in-site self-assembled process via optimizing thermal treatment temperature. Photocatalytic activity of as-synthesized samples was measured in the photocatalytic water splitting of H_2 under solar light irradiation. They have found that the photocatalytic activity of NaNbO_3 with wire morphology showed the highest H_2 evolution rate (330.3 $\mu\text{mol/g}$) among all the studied samples. The enhanced photoactivity of NaNbO_3 was mainly due to the good crystallinity, fewer defects, and perfect 1D nanowire morphology [157]. The research group led by Li et al. used a polymerized complex method to prepare three NaNbO_3 samples that structurally differed from each other: cubic and orthorhombic and mixed cubic–orthorhombic. The sample that consisted of mixed-phase NaNbO_3 showed the highest activity in CO_2 reduction to CH_4 . The authors explained the enhanced catalytic activity was attributed to the cubic–orthorhombic surface junctions which improved the charge separation [158]. The design of composite photocatalysts, in particular with

graphitic carbon ($g\text{-C}_3\text{N}_4$), has recently become most popular in research into the photocatalytic properties of niobate-based semiconductors. Shi et al. used this route to modify the photocatalytic properties of $\text{NaNbO}_3/\text{KNbO}_3$ semiconductors. They prepared $g\text{-C}_3\text{N}_4/\text{NaNbO}_3$ nanowire heterojunction photocatalyst via introducing polymeric $g\text{-C}_3\text{N}_4$ on NaNbO_3 nanowires (prepared by hydrothermal method) tested their photoactivity in reduction of CO_2 to CH_4 under visible light irradiation. They found that the $g\text{-C}_3\text{N}_4/\text{NaNbO}_3$ heterojunction exhibits the best photocatalytic performance than that of individual $g\text{-C}_3\text{N}_4$ and NaNbO_3 [159]. Similarly, Shi and his co-workers reported the photocatalytic reduction of CO_2 to CH_4 under visible light illumination, using $g\text{-C}_3\text{N}_4/\text{KNbO}_3$ composites as catalysts. The $g\text{-C}_3\text{N}_4/\text{KNbO}_3$ composite had more photocatalytic activity for CO_2 reduction to CH_4 as compared to pure $g\text{-C}_3\text{N}_4$ and KNbO_3 under similar reaction conditions [160].

Potassium niobate, KNbO_3 , has also been reported as a UV light sensitive photocatalyst because of its wide band gap energy (3.14 eV). Raja et al. prepared Bi-doped KNbO_3 powders by the solid-state reaction by varying the ratio of K/Bi in starting materials and tested their photocatalytic activity in the degradation of methyl orange (MO) aqueous solution under UV light. They found that the photocatalytic degradation of Bi-doped KNbO_3 was 2.25-fold higher than that of pure KNbO_3 and this can be due to increased BET surface area, crystallite size, and band gap alteration of the $\text{K}_{1-x}\text{Bi}_x\text{NbO}_3$ [161].

Zhang et al. reported the photocatalytic H_2 evolution from aqueous methanol with a micro-cubic structured potassium niobate (KNbO_3) semiconductor system under the illumination of visible light [162]. Nitrogen doping is an effective method for modifying light absorption behavior of wide band gap semiconductors. In view of this, N-doped NaNbO_3 nanocube structured powders were obtained by Wang et al. by applying a simple hydrothermal method. The photocatalytic activity of the N-doped KNbO_3 and pure KNbO_3 has been evaluated by photocatalytic water splitting as well as degradation of four organic contaminants (rhodamine B, orange G, bisphenol A, and pentachlorophenol) under visible light irradiation [163]. Hong et al. established a visible-light-driven photocatalytic system comprised of potassium niobate microspheres and reduced graphene oxide (KNbO_3/RGO) for hydrogen evolution. The hybrid nanocomposite (KNbO_3/RGO) exhibited the highest H_2 evolution rate compared to pure potassium niobate microspheres. This enhanced photocatalytic efficiency was attributed mainly to the proficient separation of photogenerated carriers at the heterojunction of two dissimilar semiconductors [164]. We have provided a Table 1 containing the photocatalytic activity results of recently published literatures (Years: 2015–2018) on ABO_3 -type photocatalysts.

Table 1 Photocatalytic activity results of recently published (Years: 2015–2018) ABO₃ type photocatalysts

Sample	Preparative conditions	Photocatalytic reaction	Light source	References
Hetero-structured LaFeO ₃ /g-C ₃ N ₄	Quasi-polymeric calcination method with the aid of electrostatic self-assembly interaction	Degradation of brilliant blue (BB)	300 W Xenon lamp with an UV cutoff filter ($\lambda > 420$ nm)	[43]
Cu-substituted LaFeO ₃	Citrate auto-combustion	Degradation of 2-propanol	1500 W high-pressure Xenon lamp	[78]
Mn-substituted LaFeO ₃	Sol-gel auto-combustion method	H ₂ O ₂ -assisted degradation of cationic and anionic dyes	Visible light	[79]
Transition metals (M = Cr ³⁺ , Co ²⁺ , Ni ²⁺ , Cu ²⁺ and Zn ²⁺) doped LaMn _{0.2} Fe _{0.8} O ₃	Sol-gel auto-combustion route	H ₂ O ₂ -assisted degradation of cationic and anionic dyes	Visible light	[80]
LaFeO ₃	Gel combustion method	Reduction of Cr(VI) in aqueous solution	Visible light (wavelength longer than 420 nm)	[81]
LaFeO ₃	Solution combustion synthesis	Photocatalytic conversion of glucose to H ₂	UV-LEDs (nominal power: 10 W) with wavelength emission in the range 375–380 nm	[82]
LaFeO ₃ -reduced graphene oxide nanoparticles (rGO NPs)	Reduced graphene oxide (rGO) as a template and high-temperature sol-gel method	Degradation of methylene blue (MB) or rhodamine B (RhB)	300 W xenon arc lamp with a UV-cutoff filter ($\lambda > 400$ nm)	[83]
ZnO/Bi-doped porous LaFeO ₃ nanocomposites	Wet chemical method	2,4-dichlorophenol (2,4-DCP) degradation and CO ₂ conversion	150 W GYZ220 high-pressure Xenon lamp $\lambda = 420$ nm	[84]
PdO/LaCoO ₃ heterojunction	Sol-gel and deposition precipitation method	Hydrogen production from formaldehyde aqueous solution	125 W Xe lamp	[88]
LaCoO ₃	Sugarcane bagasse hydrolysis by metal ions mediated process	Hydrogen production from formaldehyde solution	125 W Xe lamp	[90]
Cu-doped LaCoO ₃	Microbial synthesis using the extract of Pichiapastoris GS115	Hydrogen production from formaldehyde solution	125 W Xe lamp	[91]

(continued)

Table 1 (continued)

Sample	Preparative conditions	Photocatalytic reaction	Light source	References
Ag-modified LaCoO ₃	Hydrothermal method	Degradation of methylene blue (MB)	Sunlight	[92]
Porous LaMnO ₃ sub-micron particles	Hydrothermal process	Degradation of rose bengal dye	150 W mercury lamp ($\lambda > 400$ nm)	[96]
YbFeO ₃	Sol-gel process	Decomposition of methyl orange (MO)	150 W Xe lamp	[97]
EuFeO ₃	One-step microwave route	Decomposition of methyl orange (MO)	Metal halogen lamp (150 W) equipped with a JB400 filter	[98]
BaZrO ₃ modified with Cu ₂ O/Bi ₂ O ₃ quantum dots	Hydrothermal process followed by chemical reduction	Phenol photodegradation process in the liquid phase under UV-Vis and toluene degradation in the gas phase under visible irradiation	High-pressure mercury lamp (Heraeus, 150 W) for liquid-phase reaction and An array of 5 Vis LEDs ($\lambda_{\text{max}} = 415$ nm) for gas-phase reaction	[105]
SrZrO ₃ modified with Cu ₂ O/Bi ₂ O ₃ quantum dots	Hydrothermal process followed by chemical reduction	Phenol photodegradation process in the liquid phase under UV-Vis and toluene degradation in the gas phase under Vis irradiation	High-pressure mercury lamp (Heraeus, 150 W) for liquid-phase reaction and An array of 5 Vis LEDs ($\lambda_{\text{max}} = 415$ nm) for gas-phase reaction	[105]
Au/SrTiO ₃ nanocomposites with different Au contents	Photocatalytic reduction method	Degradation of acid orange 7 and methyl orange (MO)	Sunlight (200 W xenon lamp) and visible light ($\lambda = 420$ nm)	[110]
SrTiO ₃ (La,Cr)-decorated WO ₃ nanosheets	Sol-gel hydrothermal method	Degradation of methylene blue (MB)	Visible light (300 W Xe lamp; $\lambda = 420$ nm)	[111]
SrTi _{1-x} Rh _x O ₃	Hydrothermal synthesis	Degradation of methyl orange (MO)	Visible light (300 W Xe lamp (Oriel); $\lambda > 420$ nm)	[112]
TiO ₂ /SrTiO ₃ nanocomposite	Sol-gel method	Degradation of 4-Nitrophenol (4-NP)	UV light (medium pressure Hg vapor lamp of 125 W)	[113]
SrTiO ₃	Polymerizable sol-gel (PSG) approach	Degradation of methylene blue (MB)	UV light (medium pressure Hg vapor lamp of 125 W)	[109]

(continued)

Table 1 (continued)

Sample	Preparative conditions	Photocatalytic reaction	Light source	References
SrTiO ₃	Polymeric precursor method	Degradation of methylene blue (MB), rhodamine B (RhB) and methyl orange (MO)	UV illumination (UV lamps (TUV Philips, 15-W; $\lambda = 254$ nm)	[114]
Al-doped SrTiO ₃	Molten-salt synthesis	Decomposition of water to H ₂ production	Sunlight	[115]
Au/Al–SrTiO ₃ heterojunction	Solid-state reaction	Hydrogen production using sacrificial agents	Visible light (150 W xenon lamp)	[116]
Mg-modified SrTiO _x	Solid-state method	Hydrogen production	Solar light (AM 1.5 G solar simulator; $\lambda = 300$ –900 nm)	[117]
Co, Fe, and Ni-doped SrTiO ₃	Combined hydrothermal and solid-state reaction	CO ₂ reduction to CH ₄	Visible light (300 W Xe lamp; $\lambda > 420$ nm)	[118]
Different SrTiO ₃	Hydrothermal method	CO ₂ reduction with H ₂ O vapor	Visible light (300 W Xe lamp)	[119]
Rh and Au co-loaded SrTiO ₃	Photo-deposition process	CO ₂ reduction with H ₂ O vapor to syngas	Visible light (300 W Xe lamp; $\lambda > 400$ nm)	[120]
Cu _x O/SrTiO ₃ nanorod thin films	Hydrothermal method	CO ₂ reduction using H ₂ O to CO	UV light (Hg–Xe lamp, LA-410 UV)	[121]
CaTiO ₃	Hydrothermal route	Degradation of rhodamine B (RhB)	Simulated sunlight, and Visible light	[126]
g–C ₃ N ₄ –CaTiO ₃	Facile mixing method	Degradation of rhodamine B (RhB)	UV (Luzchem LZC 4 V UV irradiation chamber equipped with 12 UV lamps ($\lambda = 365$ nm), visible (two 14 W white light LED bulbs), and natural sunlight irradiation	[127]
CaTiO ₃ nanocuboids	Hydrothermal approach	Degradation of rhodamine B (RhB)	Simulated sunlight (200 W Xe lamp)	[128]
Orthorhombic CaTiO ₃	Solid-state, sol–gel, and hydrothermal methods	Degradation of methylene blue (MB)	Visible light (500 W Hg lamp)	[129]
Core–shell structured CaTiO ₃ @basalt fiber	Modified hydrothermal method	CO ₂ reduction with H ₂ O into CH ₄ and CO	UV light (6.0 W/cm ² mercury lamps (365 nm)	[131]

(continued)

Table 1 (continued)

Sample	Preparative conditions	Photocatalytic reaction	Light source	References
CaTiO ₃ @basalt fiber (BF)	Flux method	CO ₂ reduction with H ₂ O into CH ₄ and CO	UV to visible light (300 W xenon lamp)	[132]
BaTiO ₃ -SnO ₂	Hydrothermal and precipitation deposition method	O-Chloroaniline and methylene blue (MB)	Visible light (300 W Xe arc lamp; $\lambda > 420$ nm)	[133]
Mn-doped BaTiO ₃ nanotube arrays	Hydrothermal method	Degradation of Methylene blue (MB) dye	Visible light (300 W Tungsten lamp)	[134]
BaTiO ₃	Microwave-assisted peroxo route	Degradation of methylene blue, malachite green, and alizarin red S	UV light (6 W Hg lamp)	[135]
W and N co-doped NaTaO ₃	NaOH flux method	Degradation of rhodamine B (RhB)	Visible light (300 W xenon lamp; $\lambda > 400$ nm)	[138]
La/Bi co-doped NaTaO ₃	Hydrothermal synthesis	Degradation of methylene blue (MB)	Visible light (250 W high-pressure Hg lamp)	[139]
Sulfur-doped NaTaO ₃	Hydrothermal process	Degradation of methyl orange (MO) and phenol	UV (8-W Hg lamp and Visible light (250 W Hg lamp)	[140]
La-doped NaTaO ₃	Solid-state method	H ₂ evolution	UV light (high-pressure Hg lamp ($I_0 = 2$ mW·cm ⁻²))	[143]
La-doped KTaO ₃	Hydrothermal technology	Degradation of methyl orange (MO)	UV light (300 W lamp)	[144]
Mono- and bimetallic noble metals decorated KTaO ₃	Hydrothermal reaction	Decomposition of phenol solution, removal of gaseous toluene, and H ₂ production in the presence of formic acid solution	Visible (1000 W Xe lamp) or UV-Vis light irradiation (250 W Xe lamp)	[145]
rGO-KTaO ₃ hybrid composite	Hydrothermal method	Degradation of phenol solution	Visible-light (1000 W Xe lamp)	[146]
Carbon-doped KTaO ₃	Combination of hydrothermal and calcination process	H ₂ generation	Simulated sunlight (300 W Xe lamp)	[147]
CuO-patched cubic NaTaO ₃	Hydrothermal synthesis followed by phase-transfer protocol and solvothermal method	CO ₂ reduction to CH ₃ OH in isopropanol	250 W high-pressure mercury lamp	[148]

(continued)

Table 1 (continued)

Sample	Preparative conditions	Photocatalytic reaction	Light source	References
Mg-, Ca-, Sr-, Ba-, and La-doped NaTaO ₃	Solid-state method	CO ₂ reduction to CO using water as an electron donor	400 W high-pressure Hg lamp	[149]
KTaO ₃ and NiOx/ KTaO ₃	Hydrothermal method followed by impregnation method	Reduction of CO ₂ to methanol in isopropanol	UV light (250 W high-pressure mercury lamp; $\lambda = 365$ nm)	[150]
NaNbO ₃ and NaTaO ₃	Solid-state reaction	Reduction of CO ₂ to CO/H ₂ /CH ₃ OH/CH ₄ (CO was the major product)	UV light (four UV fluorescent lamps of 6 W; $\lambda = 365$ nm)	[152]
BiOI/NaNbO ₃ p–n junction	Chemical bath method	Methylene blue (MB)	Visible light (500 W Xe lamp)	[154]
Ru/NaNbO ₃ nanocomposite	Solvothermal method followed by photo-deposition technique	rhodamine (RhB)	Visible light (500 W Xe arc lamp (420 nm < λ < 800 nm)	[155]
Cubic phase NaNbO ₃	Surface ligand-assisted localized crystallization (SLALC) method	Methylene blue (MB)	UV to Visible (100 W Hg arc lamp; $\lambda = 365$ –600 nm)	[156]
Pt/NaNbO ₃	Hydrothermal method combined with the photo-deposition	H ₂ production by water/methanol mixture and degradation of rhodamine B (RhB) and 4-chlorophenol (CP)	Mimic sunlight irradiation and visible light (300 W Xe arc lamp)	[157]
Single-crystalline NaNbO ₃	Hydrothermal treatment	Water splitting of H ₂	300 W Xe arc lamp	[158]
g–C ₃ N ₄ /NaNbO ₃	Ultrasonic dispersion followed by heat treatment method	Reduction of CO ₂ to CH ₄	300 W Xe arc lamp	[161]
Bi-doped KNbO ₃	Solid-state reaction	Methyl orange (MO)	UV light ($\lambda = 254$ nm)	[162]

5 Conclusions and Insights

Perovskite oxides with the composition ABO_3 offer ample scope in designing novel compounds by the partial substitution of metal cations in A- and B-sites, which allows the preparation of isostructural series with different physical/chemical properties. The arrangement and position of the metal ions should be taken into consideration to understand the physical properties of perovskites. From the photocatalytic point of view, these perovskite materials have a special structural feature that is beneficial to photocatalytic activity. The relationship between crystal structure and energy delocalization shows that the closer to 180° the B–O–B bond angle is, the easier the excitation energy is delocalized leading to higher photoactivity. Thus, the bond angle between metal–oxygen–metal (B–O–B) in perovskite oxide is a significant structural parameter and must be taken into account. The modification of the electronic structure of a perovskite by dopants into its lattice is an effective way to affect its photocatalytic properties. However, more efforts needed to clarify the relationship between electronic structure and photocatalytic activity to develop novel perovskites with efficient photocatalytic activity. Other than band gap energy, crystallite size, and surface area, the vacancy of a metal cation and O^{2-} anions play important roles in the photocatalytic performance of perovskites oxides. The selection of preparative method also plays a significant role in the photocatalytic performance. A traditional method like high-temperature solid-state reaction results in the destruction of pore structures, and hence too low surface areas. Hence, controlled preparation of porous perovskite materials with a high surface area is highly desirable. The strategies as discussed are widely used to enhance the photocatalytic performance of perovskites. But the literature available on the adverse effect of such methods is limited. This should be considered appropriately in future.

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Chapter 10

LEDs and Other Electronic Devices Based on Perovskite Materials



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1 Introduction

During the last 20 years, new materials have been synthesized that allows the fabrication of novel electronic, optical, and optoelectronic devices, including light-emitting diodes (LEDs), solid-state lasers, sensors, and transistors. Some of the novel devices are based on low-dimensional (LD) semiconductors, the latter usually fabricated with artificial methods. However, lately new additions in these methods have included the inclusion of the natural occurring three-dimensional materials (3D) which are synthesized as two- (2D), one- (1D), or zero-dimensional (0D) forms. Low-dimensional (LD) semiconductors in general exhibit the phenomenon where the semiconductor's energy band gap (E_g) increases as the dimensionality of the material is reduced as it was experimentally verified in 1981 by Papavassiliou [1, 2] and independently by Ekimov [3]. Simultaneously as the dimensionality of the materials is reduced, the geometrical confinement of the electron and holes forces the native excitonic oscillator strength to increase. Examples of classical artificial 3D direct energy band gap semiconductors are the GaN, GaAlAs, and GaAs [4, 5] which can be formed to LD structures by virtue of methods such as molecular beam epitaxy or lithography [6]. However, a long well known, yet forgotten [7–9], class of materials has lately been revived, which is usually referred to as natural hybrid semiconductors or alternatively hybrid organic–inorganic semiconductors (HOIS). These natural materials are described as such due to their self-assembly-based synthesis and are composed out of organic and inorganic parts simultaneously; thus, these are also commonly referred to as HOIS. The most useful and robust HOIS to date are those based on single- or mixed-valency metal–halides complexes with

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organic molecules [9], metal–oxides [10–12], and metal–chalcogenides [1, 13, 14]. Some single-valence metal halide complexes can be described by a chemical formulae of $Z_xA_yM_zH_{x+y+z}$ [where M a monovalent metal = Ga, In, Tl, Cu, Au, etc.; Z = K, Cs, Rb, MeNH₃, etc.; A = (amine-H) or (diamine-2H)_{0.5}; X = F, Cl, Br, I]. Similarly, double valence metal halide complexes can be described by the general formula $Z_xA_yM_zX_{2z+y+x}$ or $Z_xM_{z+w}X_{2z+x}H_{2w}$ or $Z_xM_zM'_wX_{2z+2w+x}$ [where M, M' divalent metals = Ge, Sn, Pb, Zn, Cd, Hg, Cu, Ni, Co, Fe, Mn, etc.] [15, 16]. Other variants based on trivalent metals can be described as $Z_xA_yM_zX_{3z+y+x}$ [M trivalent metal = As, Sb, Bi, Ga, In, etc.] [17]. HOIS can also be synthesized by mixing metal cations of a different valence. In the case where only two types of cations are used, the resultant HOIS have lately acquired the short name of double perovskites. Details can be found in the literature [1, 18–20]. Some of the most interesting such single-valence metal cation HOIS are chosen below to portray their structure, which is also related to their properties that are essential to any type of electronic device. As examples of such HOIS, Fig. 1 presents crystal structures that are composed of a lead iodide network of three or lower dimensionality, where the 3D and LD inorganic networks serve as the active semiconductor, while the organic material is structurally alternating to the inorganic component, acting as barrier for both the electrons and holes of the semiconducting material. In particular, in Fig. 1, the following crystal structures of CH₃NH₃PbI₃ [21], (4F–C₆H₄CH₂CH₂NH₃)₂PbI₄ [22], ((NH₂)C = I (NH₂)₃)₃PbI₅ [23], and (CH₃NH₃)₄PbI₆·2H₂O [24] are depicted from left to right as 3D, 2D, 1D, and 0D HOIS. In fact, in the last years, the term perovskite is usually related to the 3D phase, while in fact all of the “perovskites” are hybrid semiconductors. Bottom row of Fig. 1 presents the absorption coefficient of these example structures as obtained from optical absorption or reflection measurements via the Kramers–Kronig causality relations. It is evident that the value of E_g shifts from low energy, c.a. 750 nm, to high energies, c.a. 350 nm, while the excitonic peaks also follow the band gap trend, as evidenced by the progressive movement of the distinguishable peak towards the left, i.e. higher energies, of the spectra in Fig. 1.

It can be easily observed that the lead–iodide network, which is 3D in Fig. 1a, transforms to a c.a. 6-Å-thick 2D lead iodide network (quantum wells) in Fig. 1b; in fact, the 2D HOIS is considered to be a superlattice of alternating organic and inorganic parts. In Fig. 1c, the right choice of organic component turns the proper stoichiometric inorganic network into 1D (quantum wires), while in Fig. 1d, excess of the same organic component that forms the 3D structure splits the inorganic network in individual PbI₆⁴⁻ octahedra (quantum dots).

As the dimensionality of the network decreases from 3D to 0D, the direct band gap increases while simultaneously the exciton binding energy increases to values larger than normally anticipated from classical solid-state theory for an absolutely thin 2D material, due to the dielectric enhancement; for example, the 2D HOIS excitonic binding energy can be at least ten times that of the 3D comparable material, usually in the order of 260 meV. Such large values allow for excitons to be stable at room temperature, so that novel and low-cost excitonic devices can be realized with HOIS usage, despite the soft character of HOIS that in time degrades.

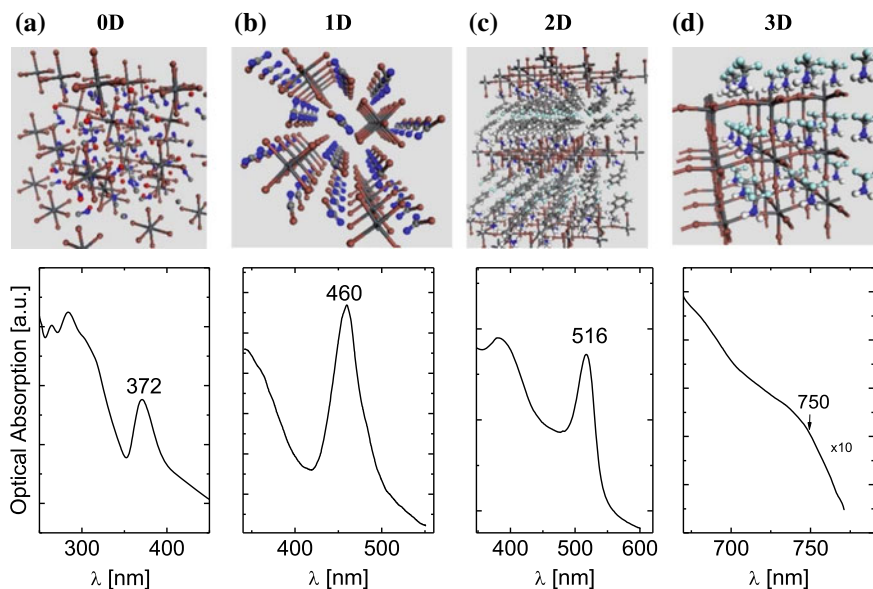


Fig. 1 Crystal structures from right to left of $\text{CH}_3\text{NH}_3\text{PbI}_3$ (a), $(4\text{F-C}_6\text{H}_4\text{CH}_2\text{CH}_2\text{NH}_3)_2\text{PbI}_4$ (b), $(\text{NH}_2\text{C} = (\text{I})\text{NH}_2)_3\text{PbI}_5$ (c), and $(\text{CH}_3\text{NH}_3)_4\text{PbI}_6 \cdot 2\text{H}_2\text{O}$ (d); where gray, red atoms stand for Pb and I, respectively; other colors represent the atoms of the organic component. Bottom row represents the corresponding optical absorption as function of λ , obtained from reflection measurements or thin-film measurements. Reproduced with permission [9] Copyright © 1997 Published by Elsevier Ltd

Finally, replacement of I to Br or Cl shift the band gap toward high energies while mixing different halide contents with Pb also allows for synthesizing materials with precise tunable band gaps in the c.a. 300–800 nm range, while the excitonic level is usually a few hundreds of meV lower than the band gap energy [25–28]. In fact, in Fig. 1 (bottom row), it can be observed that the excitonic state is almost indistinguishable from the E_g , while in the 2D and 1D cases, the excitonic peak becomes pronounced and separated from the absorption onset of E_g .

In general, it can be summarized that depending on the metal cation, the halide type as well as the organic component, it is possible to synthesize HOIS of various dimensionalities that have tunable band gap from 800 nm to almost 300 nm, while the choice of organic part with comparable energy band gap to the inorganic component allows for interaction among the two parts, and thus allows for energy transfer phenomena. Figure 2 presents some of the different possibilities for tuning HOIS excitonic peak, and the accompanying energy band gap, to suit any specific needs.

HOIS (perovskite) materials exhibit novel optical and excitonic properties not only because of the enhanced quantum confinement but also due to the dielectric confinement of their inherent LD excitons. In particular, the amine that usually covers the inorganic component of HOIS has much smaller dielectric constant (3 times less) and,

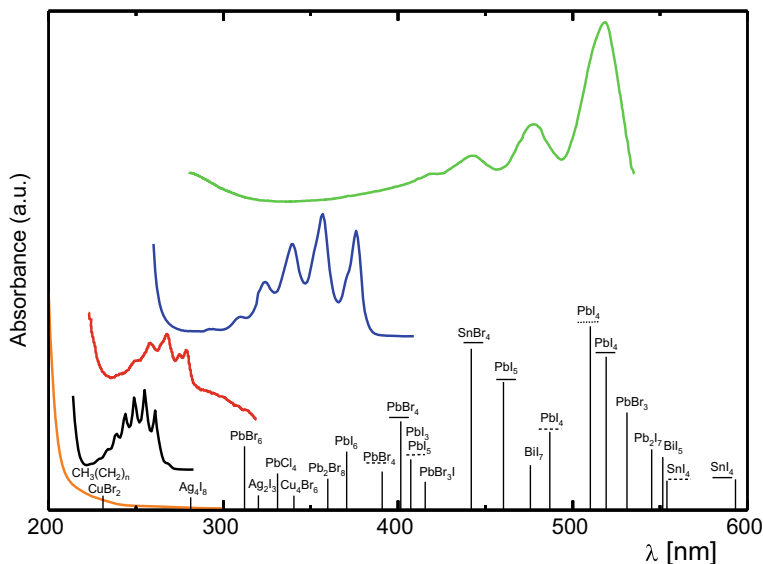


Fig. 2 Schematic presentation of the optical absorbance spectra of inorganic excitonic peaks (lower part) and organic networks (upper part) in several hybrid semiconducting systems. Adapted with permission from Ref. [29]. Copyright © 2001, Springer-Verlag/Wien

thus, allows the excitons to interact through the organic layer, increasing the excitonic binding energy as it is presented in Fig. 3, by the formation of image charges [30, 31] in the organic layer, which are used to model the inducement of boundary charges at the interfaces. In fact, the enhancing term of the excitonic binding energy is analogous to the $\frac{\epsilon_w - \epsilon_b}{\epsilon_w + \epsilon_b}$, where ϵ_w and ϵ_b are the well and barrier high-frequency dielectric constants, respectively. On the other hand, amine encapsulation is useful in terms of the excitonic binding energy, but the organic component is not conductive; thus, any electronic device based on HOIS would preferably need to allow for the passage of electrons and holes through the organic barrier, which itself would short circuit the device operation occurring through the semiconducting inorganic part. Should the barrier attain a much larger dielectric constant value than that of the quantum well, then the excitonic energy is decreased significantly.

HOIS and especially their inorganic part can attain not only the forms of 3D to 0D LD networks but also those of intermediate dimensionalities, such as the so-called quasi-0D, quasi-1D, and quasi-2D HOIS [16, 32]. Example of quasi-2D semiconductors is shown in Fig. 4, along with a schematic representation of the related 2D and 3D semiconductors. In the left of Fig. 4, the 2D semiconducting network composed of corner-sharing PbX_6 octahedra is alternated with 2D layers of the organic component. Furthermore, from left to right, the semiconducting layer is depicted as its thickness increases, while the general chemical formula of the crystalline quasi-2D HOIS is $(\text{CH}_3\text{NH}_3)_{n-1}(\text{Z})_2\text{Pb}_n\text{X}_{3n+1}$, where $Z = a$ long amine, $X = a$ halogen atom (here bromide for the spectra in Fig. 4). For crystalline

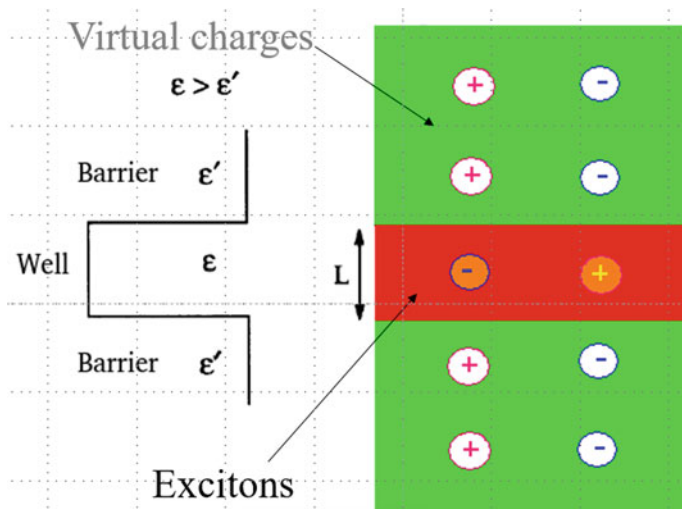


Fig. 3 Simple schematic representation of the image charges formed in the organic barrier due to the exciton electron-hole pair existing in the inorganic part of the HOIS

quasi-2D systems, the thickness of the layer increases for increasing n , n being an integer. When $n = \infty$, the material is a 3D semiconductor, commonly known as perovskite. In the case of $n = 1$, the system is the thinnest possible 2D HOIS for the lead halide materials. In fact, the $n = 1$ form is not absolutely 2D due to the finite layer thickness. Intermediate n values form the quasi-2D HOIS. Each HOIS of specific dimensionality exhibits strong excitonic optical phenomena, since excitons in HOIS have high binding energy, partly due to the dielectric confinement of the inorganic layer formed by the amines. In particular, HOIS and especially the quasi-2D class have distinct excitonic peaks and energy band gaps for every individual n value for given inorganic layer type and specific amine. This is not generally the case for all amine types. For example, when the amine is a long tail phenylalkylammonium [33], much different structures than those mentioned above, as the chemical formula, can be exhibited.

Quasi-2D semiconductors seem to be more interesting than the 0D, 1D, 2D, and 3D HOIS, although these are quite hard to synthesize in pure crystalline form. The reason is that the self-assembly nature of HOIS may allow the semiconductor to form in a mixture of various n , see Fig. 2, rather than in a unique n value system. On the other hand, mixtures of quasi-2D and 3D exhibit energy transfer phenomena. A set of quasi-2D and/or 3D nanoparticles in close proximity, such as a multi-phase powder, absorbs energy at all energy characteristic peaks relevant to the various n values, but the emission appears to originate mainly from the higher n value moiety, which has the smallest band gap [29, 35]. Such effects have been suggested to not only allow for efficient PL materials, where all optical excitation energy could be funneled to one n -phase emission only, but also for LEDs. In

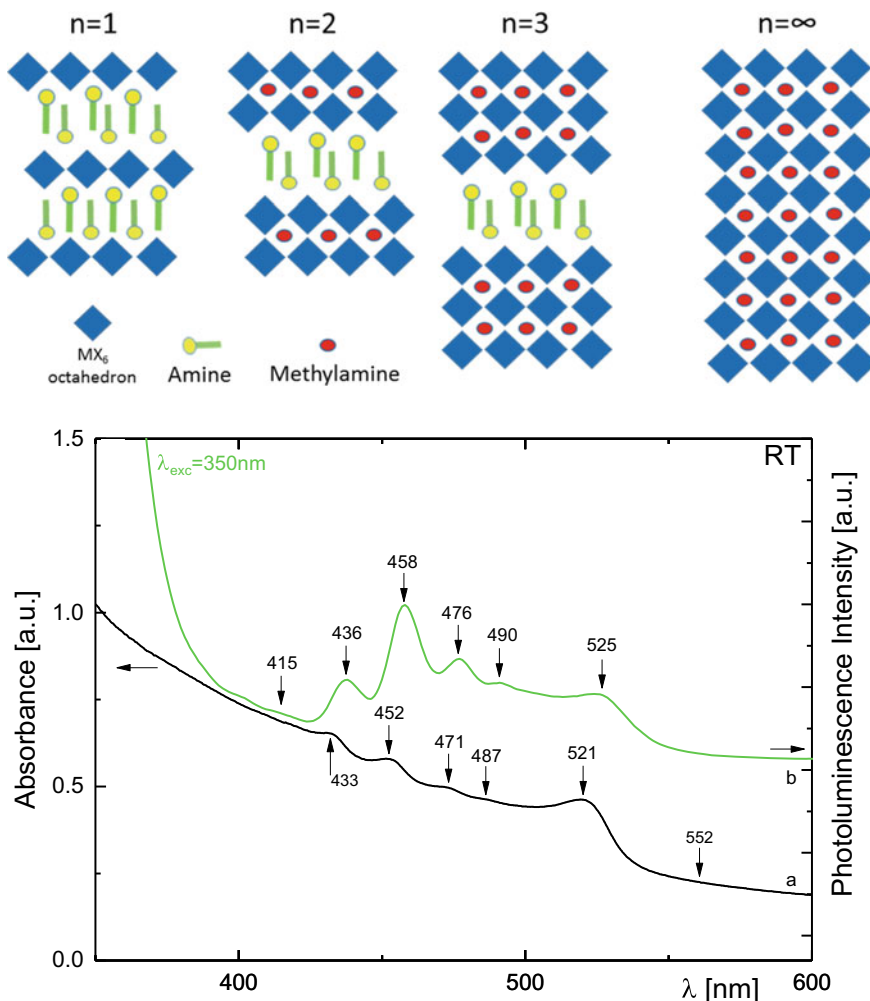


Fig. 4 Top: Layered structure for the crystalline $(\text{CH}_3\text{NH}_3)_{n-1}(\text{Z})_2\text{Pb}_n\text{X}_{3n+1}$ LD HOIS and Z is a large amine. Left is 2D ($n = 1$), while right is 3D ($n = \infty$) as $n = 1, 2, 3, \dots, \infty$. Adapted with permission from Applied Surface Science 400 (2017) 434–439. Copyright © 2017 Elsevier B.V. All rights reserved. Bottom: Optical absorption and luminescence spectra for a mixture of quasi-2D HOIS. Adapted with permission from Ref. [34]. Copyright © 2016 Elsevier B.V. All rights reserved

particular, diodes could be formed where the electrical energy is being funneled to an assortment of nanoparticles, yet the electroluminescence could appear to originate only from the smallest E_g component. Thus, all electron/hole pairs could participate to the LED emission at almost 100% efficiency. Such examples are provided below.

Due to the above phenomena, many interesting applications of halide perovskites have come to light recently. Although halide perovskites have been mainly used for photovoltaics over the past 5 years, these materials have unique features that are remarkably advantageous for various other applications. Here, we introduce a broad range of halide perovskite-related applications, such as light-emitting diodes (LEDs), lasers, electromagnetic radiation sensors (photodetectors, radiation detectors), transistors, pressure, and chemical sensors as well as lithium-ion batteries. In all cases, the active entity is the exciton; thus, the natural categorization of at least the LED devices is unraveling along with the material dimensionality as different excitonic and confinement effects arise in each type of LD structure.

Finally, it must be noted that there are other types of perovskites, inorganic perovskites, where the small organic molecules in their structure, such as CH_3NH_3^+ , are replaced by large cations such as Cs. Despite the fact that some such HOIS may be completely inorganic, their properties are much similar to the organic-inorganic hybrids.

2 Low-Dimensional Hybrid Organic-Inorganic Semiconductors in Light-Emitting Diodes—LEDs

The most extensively studied application of halide HOIS (perovskites), after extensive work in photovoltaics over the past 5 years, is their application in LEDs. The primary advantage of halide perovskite is their controllable photoluminescence (PL) property, especially the tunable optical band gap, which makes the halide perovskite a promising material for use in LEDs [36]. Although HOIS are being studied for over 30 years, it is only recently (last 8 years) that their potential in PVs and LEDs has been valued and an increasing number of research groups have focused with powerful experimental techniques on the HOIS properties in a range of external factors, as well as in a multitude of LED devices. In fact, their use in LEDs was first reported by Japanese groups, in the 1990s, even before the advent of photovoltaic systems [37–39] but these reports provided data for low temperature experiments and high turn-on voltages.

One of the first perovskite LED (PeLED) geometries published is presented in Fig. 5, along with the energy alignment of the Fermi levels of the metal contacts used in the device, such as the indium tin oxide (ITO) and Mg–Ag alloy, as well as the energies of the conduction and valence band of the active HOIS (Phenethylammonium₂PbI₄) and the HOMO/LUMO energy levels of the electron injection and hole-blocking layer, 1,3-Bis[2-(4-tert-butylphenyl)-1,3,4-oxadiazole-5-yl]benzene (OXD7). Also, in the right image of Fig. 5, the electroluminescence (EL) and PL spectra of this first reported device can be observed where there is a coincidence of the two peaks, both of them Stokes shifted with respect to the optical absorption at room temperature peak by 10 nm. In general, a small Stokes shift of the PL peak with respect to the optical absorption peak denotes a pristine semiconductor with small number of defects.

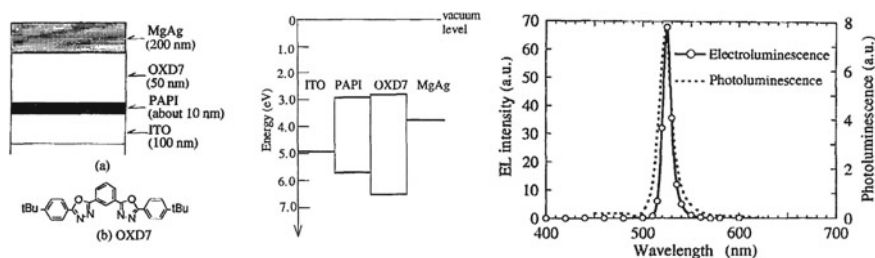


Fig. 5 Left: **a** Schematic of the first PeLED (spin-coated) along with **b** the structure of OXD7. Middle: Energy level alignment of the various device elements/layers. EL and PL spectra of the device at 77 K. Adapted with permission from Ref. [40]. Copyright © 1994 American Institute of Physics

The initial reports on PeLED did not possibly attract the attention of the scientific community because of the poor performance of these devices and their marked low stability, as these only functioned at cryogenic temperatures and high driving voltages. Also at cryogenic temperatures, Era et al. succeeded in fabricating green electroluminescent (EL) devices based on 2D-layered perovskite quantum-well structures with the use of phenylethylammonium lead iodide $(C_6H_5C_2H_4NH_3)_2PbI_4$. After years of the appearance of the previous reports, the first room-temperature operation of a PeLED was demonstrated in 2011 by Koutselas et al. for 4-fluorophenethylamine (4FPA) and oleylamine-based HOIS, followed by numerous reports [41]. The oleylamine-based 2D HOIS was also reported for the first time, where the nature of the oleylamine molecule provided the composite HOIS with strong chemical stability to acetone and water, although in general 2D HOIS are more resistant to humidity than the 3D HOIS.

Halide perovskites can be used as active materials for electrically driven photonic sources, but also HOIS can function as phosphors for optically pumped photonic sources [42]. Moreover, halide perovskites had been found to be useful as hole transport layers in LEDs based on polymeric materials [43]. In PeLEDs, there are a number of main concepts under consideration: external and internal quantum efficiencies which are not the same due to the interface coupling as light exits the perovskites, electrical charge injection from the front and back electrodes, charge transport, carrier lifetime and radiative recombination, and finally photon recycling; the light that is being generated is being absorbed and re-emitted. All these concepts need to be addressed in order to fabricate useful devices, yet their experimental analysis requires a multitude of techniques; thus, many materials research groups also synthesize devices to assess all such aspects. A modern PeLED schematic can be found in Fig. 6, where a PeLED is usually fabricated with a transparent front electrode, an electron-transporting layer (ETL), emitter, a hole-transporting layer (HTL), and a back electrode. Ideally, electron and hole-blocking layers would be needed, but the application of each layer complicates the geometry and the characterization. The presented device layer structure is as follows: ETL/halide perovskite/HTL or HTL/halide perovskite/ETL, where all these layers allow for

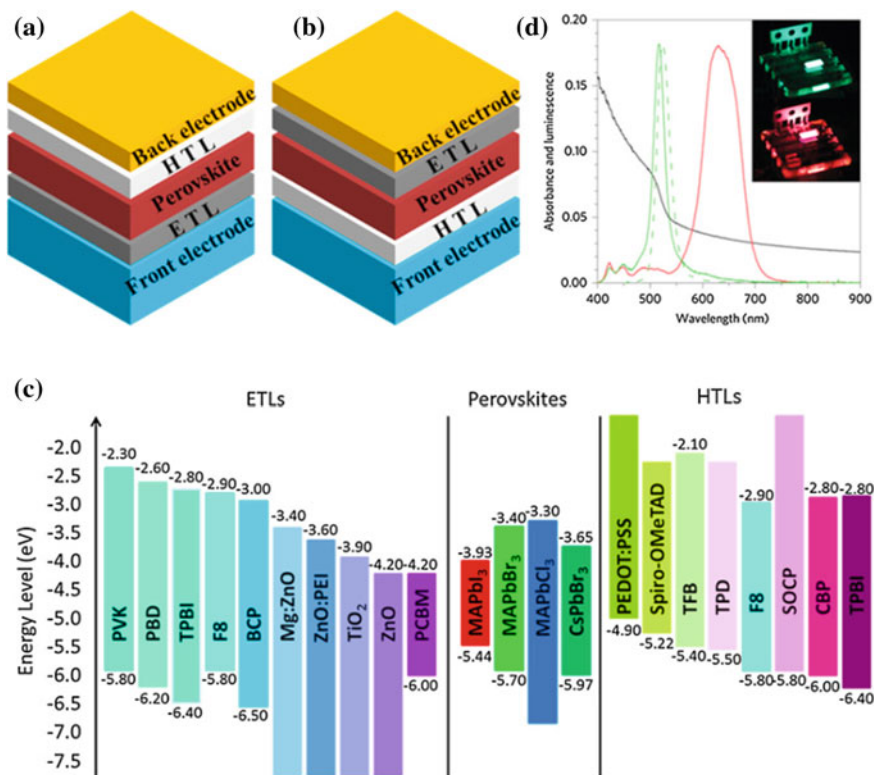


Fig. 6 **a, b** PeLED architectures in the conventional and inverted configuration, respectively. **c** Energy levels of materials used as perovskites, ETLs, and HTLs for PeLEDs, aligned to the vacuum level, as adapted with permission [45]. Copyright © 2017 Elsevier Ltd. **d** Optical absorption is shown with the black line. EL and PL spectra of CH₃NH₃PbBr₃ are shown as solid and dashed green lines, respectively. EL as red line CH₃NH₃PbBr₂. Inset image: green and red electroluminescence from the latter two HOIS, respectively. Adapted with permission from Ref. [46]. Copyright © 2014, Springer Nature

light emission which exits through the transparent electrode. As it was stated before, PeLEDs can be fabricated without the need of ETL/HTL layers reducing device complexity, cost, and degradability [41]. This can be performed even with plastic films where perovskites have been embedded in them. Moreover, it is usually observed that the excited electrons can also emit radiation by relaxing to empty states in the neighboring ETL/HTL layers, thus complicating the emission spectrum [44]. The first room-temperature oleylamine-based LEDs did not have high quantum efficiency and were fabricated using samples that had dried for period of months, while the first reported 2D PeLED that was fabricated based on 4FPA was only briefly discussed due to its smaller resistance to humidity [41]. Nowadays, PeLEDs can be encapsulated as well as covered with sacrificial device layers, such as MoO₃, that allow for some passivation toward oxidizing parameters.

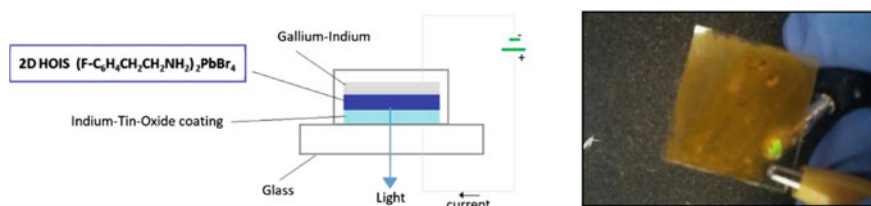


Fig. 7 Left: Schematic of a single-layer light-emitting diode based on HOIS. Right: PeLED based on $(4\text{FPA})_2\text{PbI}_4$ coated on ITO glass and operated with a clip dipped in Ga–In eutectic as adapted with permission from Ref. [40] Copyright © 2016 Elsevier Ltd. All rights reserved

Vassilakopoulou et al. were the first to re-analyze the simple fabrication of a 2D hybrid organic–inorganic green color PeLED based on 4FPA, functioning at room temperature, within ambient conditions for a few weeks. The resistance was afforded due to the synthetic procedure and drying method followed, as in the early days many device fabrication parameters were unknown [47]. In this reference, it was found that doctor blade technique functioned much better than spin coating for the preparation of a film that would on its own act as single-layer LED, as presented in Fig. 7. In contrast, to the modern PeLED designs, the 4FPA variant can be fabricated by simply painting a layer of $(4\text{-fluorophenethylamine-H})_2\text{PbI}_4$ on an ITO substrate, without the need for spin coating the film, nor presenting any interfacial ETL/HTL layers. On the other hand, the onset voltage was high, functioning at 5–10 V, probably due to the film thickness and the appearance of some needle-like phases, also detected in Ref. [48]. Such small details in the material structure, as well as other details focusing on the solvent [49], or on the ambient humidity, emphasize the structure–property–device functionality relation. Also, since then, several reports have shown the recombination kinetic relation to excitons and defect states [50, 51], while others have managed to stabilize the LEDs by in part proper manipulation of the active material. For example, 35 W/Sr/cm^2 EL can be obtained at 744 nm with 1 V turn-on voltage, while pure 2D HOIS can sustain for 14 h of continuous operation in contrast to the 3D analogs [52].

It is interesting that using 4FPA for 2D lead bromide-based HOIS, which emits at 410 nm, does not allow the analog Br-based 2D HOIS to function as single-layer LED and in this case impurities are needed to be introduced in the synthesis of $(4\text{-fluorophenethylamine-H})_2\text{PbBr}_4$ to allow it functioning as a single-layer LED [53]. It has been suggested that impurities or conducting inclusions allow the current passing from one 2D platelet to the next. Other authors have also used 2D Pb–Br-based HOIS in more complex device structures with about the same efficiency as the single-layer devices [44, 54]. Oddly enough, pristine $(\text{phenethylamine})_2\text{PbBr}_4$ can indeed serve as a single-layer LED material but with very small diminished efficiency. Although, in the previous example, fluorine modified phenethylamine appears to enhance the HOIS LED functionality, another important publication states the production of fluorine-free perovskite LEDs, by removing fluorine from layers of spiro-OMETAD [55]. In the 4FPA LED, previously, it is crucial to

understand that the fluorine atoms in the organic molecule induce only a small separation among the organic molecules, which, however, alters the coupling of the material's phonons to the electrons that in turn can affect the EL intensity as well as linewidth [56].

Green LEDs from bromine-based 3D organic–inorganic halide perovskites have been reported [50], achieving light emissions of 1500 cd m^{-2} , as well LEDs based on organometal halide HOIS amorphous nanoparticles with 3.8% efficiency [57]. In addition, high-performance amphiphilic HOIS have been synthesized that could be also employed for LED applications [58], while high-pressure studies have shown the intricate play of excitons with photoluminescence and structure [59], which can also be used for laser cooling, PVs and LEDs [60].

The poor performance of methylammonium lead iodide $\text{CH}_3\text{NH}_3\text{PbI}_3$ -based perovskite in LED applications can be attributed to low exciton binding energies in the range of 9–60 meV, [61] where for this lead–iodide HOIS, Li and co-workers successfully demonstrated the fabrication of 2D perovskite green LED based on phenylmethanamine lead iodide $(\text{C}_6\text{H}_5\text{CH}_2)_2\text{PbI}_4$, working at room temperature [62]. The authors investigated the stability of the device under 50% relative humidity conditions and found no degradation in the XRD pattern for 5 days. The intricate interplay of the halogen atom in the final device properties has led authors to mix various halogen atoms. Thus, as it was discussed before, Liang et al. utilized quantum-confined 2D perovskite based on 2-phenethylammonium lead bromide ($\text{PEA}_2\text{PbBr}_4$) to realize color-pure violet LEDs. This material was found to assemble in well-confined quantum-well structures with a repeating dielectric spacer layer, sandwiching 3D PbBr_6 octahedra in between, which is the typical 2D HOIS structure [44]. The same group, however, has reported that the emission color of a PeLED can be controlled by changing the halide anion, which is important because in general the EL especially in the region close to UV is not easily attainable by robust PeLEDs. Tan et al. demonstrated that the electroluminescence of halide perovskites can be varied in the visible and near-infrared regions, in a similar fashion. They fabricated PeLED using a 15 nm thick layer of $\text{CH}_3\text{NH}_3\text{PbI}_{3-x}\text{Cl}_x$ perovskite as the emitter and via compositional substitution between Br and I, the emission band can be shifted leading to red and green colors [46]. In addition, the emission color of a PeLED can be controlled by changing the n values of $(\text{RNH}_3)_2\text{A}_{n-1}\text{B}_n\text{X}_{3n+1}$ formula in quasi-2D perovskites. Ma and co-workers reported color tuning approach with a quasi-2D layered structure to get highly luminescent lead bromide perovskites. The color tuning of emission was obtained through the synthetic control of the chemical formula of $(\text{RNH}_3)_2(\text{CH}_3\text{NH}_3)_{n-1}\text{Pb}_n\text{Br}_{3n+1}$. By manipulating the quasi-2D layered structure, the quantum size confinement effect enabled the color tuning of emission. As n value increased from 1 to various integer numbers, which corresponds to the increase in inorganic layer thickness, the emissive redshifted, moved from deep blue to bright green [63]. Recently, Hu et al. reported on tunable PeLEDs with fine emission and different color regions by using the 2D perovskite structure (the n values were in the range of $n = 1$ to $n = 6$) achieved through butylammonium iodide ($\text{C}_4\text{H}_9\text{NH}_3\text{I}$) as a spacer.

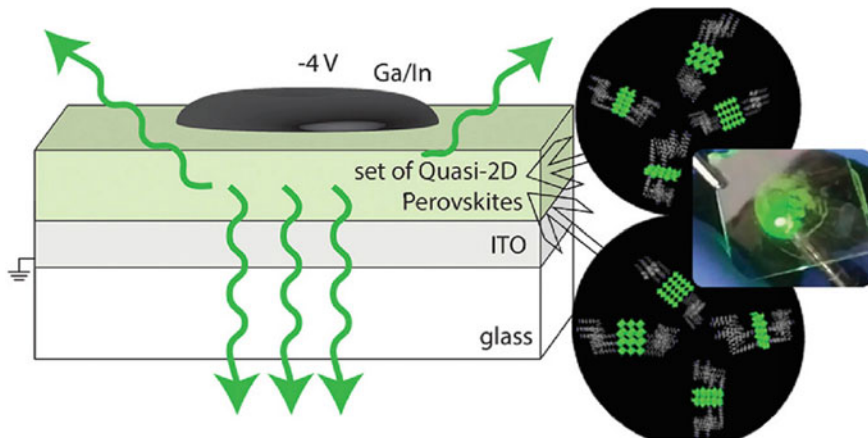


Fig. 8 Right: Schematic representation of a single-layer perovskite LED which is based on a thin film of a mixture of various quasi-2D and 3D HOIS nanoparticles in close proximity. Right a representation of the various quasi-2D nanocrystals along with an image of the LED in operation. Light is emitted only from the lowest band gap nanoparticles. Adapted with permission from Ref. [34]. Copyright © 2017, Elsevier Ltd.

As demonstrated, from Vassilakopoulou et al., mixtures of quasi-2D/3D HOIS are more stable than the single 3D or 2D HOIS and can serve as the active and single layer in PeLEDs. Also in this publication, they suggested that energy transfer effects manifest in the light emission process; thus, it is possible to fabricate an energy transfer LED. Such a concept along with a true operational device is presented in Fig. 8, presented in Ref. [34]. Later, the same concept has been proved by other more sophisticated techniques for quasi-2D HOIS embedded in polymers [64].

For completeness, it should be noted that the energy transfer effects have also been observed in other similar semiconductors, such as in Si-based systems as well as in inorganic perovskites such as CsPbBr_3 [65]. Energy transfer capable HOIS can be tuned so that the excitonic emission can cover any position in the visible spectrum, as long as it is based on Pb halides [66].

Using the inorganic variant of the 3D HOIS, CsPbX_3 , the group of Do investigated pure-colored CsPbX_3 perovskite quantum-dot-based monochromatic down-converted LEDs and they incorporated perovskite quantum dots with long-wavelength-pass dichroic filters and UV-curable binders [67]. Although the phenomena and PeLED devices based on HOIS for all dimensionalities have been well studied, there is always a range of conditions or treatments, such as heating or humidity, which impairs their functionality [68]. Efforts to include lead halide semiconducting units within inert matrices have already been made [69], as well as HOIS in the prospect of creating robust composites presenting properties attributed to the HOIS, alongside with the capability of sustaining these properties in time under various conditions, by virtue of the host matrix protection.

Such a protecting matrix could be served by a material with pores, as for example the MCM-41 family, which it can be either synthesized as white porous powder or in the form of thin transparent flexible films [see refs in 70], all exhibiting nm-sized mesopores achieving surface areas of the order of $1000 \text{ m}^2/\text{g}$. An also interesting subject for research is the quantum confinement induced on the perovskites by the limited growth within the pores, assuming that the pores are completely filled; partial growth along the pore diameter or along the axis of the pore would induce further quantum effects. Lately, research on the same subject has appeared, claiming that the entrapment of 3D perovskite nanoparticles within the pores of mesoporous matrices allows the encapsulation without the use of ligands for electronic state passivation [71].

Simultaneously with this work, authors have reported the observation of quantum confinement effects of 3D lead halide perovskites within mesoporous matrices. Koutselas group was the first to demonstrate a single-layer PeLED based on these composites of MCM-41/perovskite, where the EL signal is attributed to energy funneling from higher band gap nanoparticles toward the lowest band gap nanoparticles, which are the 3D perovskites. The MCM-41 was loaded with a set of nanoparticles all being a mixture of quasi-2D entities, i.e., in the above discussion multiple values of n . These photoluminescent composites present strong resistance to degradation due to chemicals, oxidation, or humidity due to the protecting silica matrix, providing viable routes for the stabilization of HOIS-based LEDs against degradation [70]. Such examples of novel PeLED materials are provided in Fig. 9,

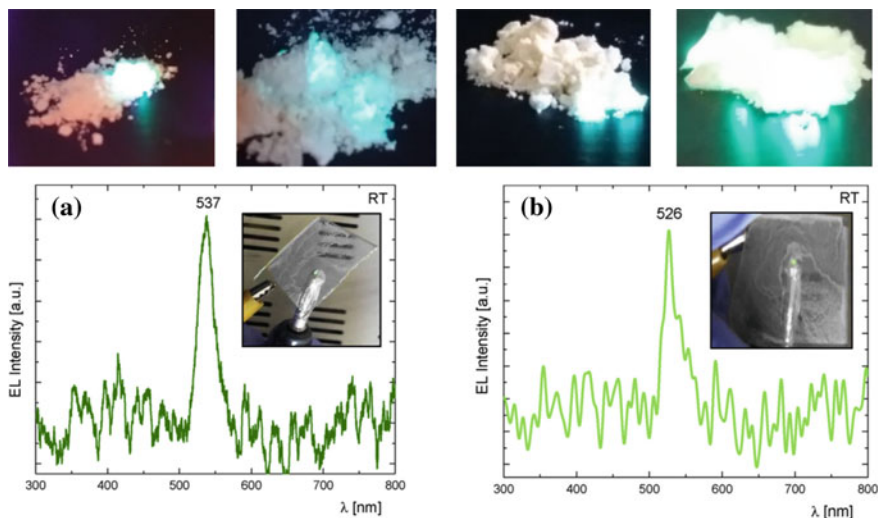


Fig. 9 Top: Images show mesoporous MCM-41 powder, spherical/nonspherical also calcined/non with entrapped mixtures of quasi-2D perovskites as these luminesce with 404 nm UV exciting radiation. Below the EL spectra and images of the single-layer LEDs. The mesoporous powders have been washed from any remaining external perovskite residues. Adapted with permission from Ref. [70]. Copyright © 2017 Elsevier Inc. All rights reserved

where the PL of these perovskite-loaded white scattering MCM-41 is observed, along with the EL spectra.

PeLEDs based on entrapped perovskites within other matrices and polymer films have slowly emerged, due to the simplicity of the perovskite synthesis and the novel room temperature phenomena.

It has been possible to eliminate the non-radiative charge recombination and unbalanced charge injection to modify the interface between the HTL and the active HOIS with amphiphilic polymer in order to suppress the non-radiative recombination, yielding devices with 14.4% efficiency [72]. Other groups have shown that polymers with entrapped quasi-2D perovskites can lead up to 20% external quantum efficiency, which is interpreted as 100% internal quantum efficiency [73, 74]. Similarly, octylphosphonic and or oleylamine can be used to cap inorganic 3D perovskite nanoparticles in order to stabilize them and create 6.5% external quantum efficiency diodes [75].

Another effort published was the fabrication of a PeLED device, from a composite film containing a blend of 1D (Meth-H)CdBr₃ and 2D (PhE-H)₂PbBr₄ HOIS in a 1D:2D 1:1 weight ratio, entrapped within polystyrene matrix. Cd as well as other impurities appears to enhance the PL of 2D HOIS and possibly the EL signal [76]. The final transformed encapsulated perovskite was a mixture of 3D, 2D-like, and 0D perovskites. The intense green light emitted by the LED device was visible with naked eye, in accordance with the EL signal which presented a strong peak at 536 nm. The composite film obtained a protective behavior, since the HOIS blend remained intact after DMF and tap water rinsing procedure [77].

3 Low-Dimensional Hybrid Organic–Inorganic Semiconductors in Lasers

Lasers have undoubtedly attracted the focus of the modern research as these are utilized in a series of end commercial devices. Lately, HOIS have been used for lasing materials due to their stable excitons as well as due to their polaritonic states. Solution-processed 3D and LD HOIS allow for the synthesis of low-cost materials, whose form is in many cases equivalent to that of crystalline HOIS. The films retain the room temperature excitonic states that exhibit high binding energy as well as associated oscillator strength, both which are needed for commercial novel devices. These concepts were realized in a quite long period of time, since the first low threshold perovskite lasing device was reported in 2014 [78], after almost 20 years from the first low-temperature perovskite-based laser that was published by Kondo et al. [79]. The HOIS emission tunability by mere simple chemical substitution, their low cost and synthetic simplicity, their evidenced small defect density, even for the solution-processed films, as well as their increased photoluminescence quantum yield contribute for their usage as lasing materials, where their efficiency may allow for the fabrication of energy-saving devices. Despite their inherent

instability, their low-temperature growth has allowed the realization of low threshold laser devices that work even in steady state at room temperature. In many cases, it would be ideal to the lasing mechanism should the materials involved are formed as wire-like [80]. The active perovskite materials can be either based on 3D, 2D or quasi-2D or mixtures of quasi-2D and 3D HOIS. The lasing mechanism is itself a complex phenomenon, and it can be induced either with optical or electronic means, while lately constant lasing has also been attained. The device geometry can vary, for example, the 3D materials have been reported to attain lasing using whispering gallery mode [81], Fabry–Perot cavities [82], or vertical cavity surface-emitting laser (VCSEL) [83]. Also, the triple cation mixed-halide perovskites have been found to exhibit lasing under nanosecond excitation and it has been found that the material properties allow for emission in the visible or NIR spectrum [84]. Distributed feedback lasers can also be fabricated [84–86], while losses in the 3D materials are of the order of 100 cm^{-1} ; in particular, the latter authors have shown that the DFB can be nanoimprinted, while DFB can also implement solution-processed perovskites. Amplified Spontaneous Emission (ASE) can also occur in quasi-2D perovskites at a threshold of $\sim 13\text{ }\mu\text{J}/\text{cm}^2$ at 785 nm [87], while many more groups have put similar complex analysis of quasi-2D lasing [88]. 3D perovskites can also form random lasing devices [89]. Besides the exciton resonance, the 2D HOIS have long known to exhibit lasing characteristics and in fact due to the biexciton resonances [79]. It was unfortunate that the first efforts for the 2D lasers were observed at only low temperatures, up to 40 K , with excitation intensity of $600\text{ kW}/\text{cm}^2$, while the intensity was increased by 30 times at 16 K .

A schematic representation of perovskite-based laser concepts is provided in Fig. 10.

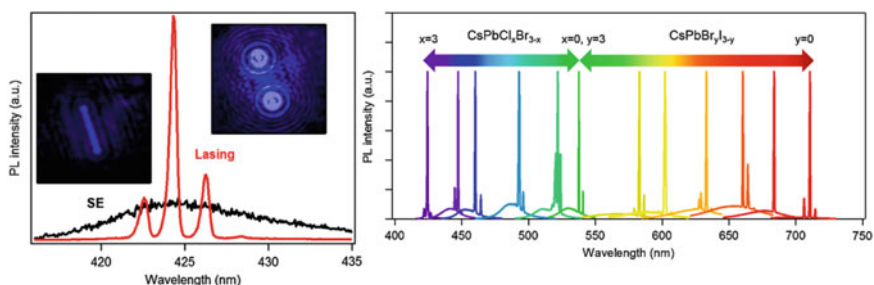


Fig. 10 Left: Emission spectra of a CsPbCl₃ NW below and above lasing threshold. The insets are fluorescence images of the NW below and above lasing threshold. The length of the NW is $7.2\text{ }\mu\text{m}$. Reprinted with permission from ACS Nano 10, 8, 7963–7972. Copyright (2016) American Chemical Society. Right: Broad wavelength-tunable lasing from single-crystal NWs of CsPbX₃ (X = Cl, Br, and I). Reprinted by permission from: Ref. [78]. Copyright © 2014, Springer Nature

4 Low-Dimensional Hybrid Organic–Inorganic Semiconductors in Sensors

In the following section, sensors to be reviewed are grouped into the following main categories: photo-, X-ray, gamma-ray, pressure, and chemical detectors. The first three rely on the same essential underlying phenomenon where the electromagnetic radiation alters or induces phenomena in the HOIS; thus, its electrical resistance changes reflecting the radiation intensity and/or energy under detection. The induced phenomena, beyond degradation of the HOIS, can be in most cases an electron/hole pair raised to an excitonic state or created in their conduction and valence bands, respectively. Also, a high exciton density will also create free carriers due to the exciton–exciton collisions; thus, even plasma of bound quasiparticles (excitons) is capable of creating free carriers, which are in turn detectable as resistance change. It is also possible that the system will relax by emission of a secondary photon; thus, perovskites have been used as scintillators. Chemical detectors are such that the molecule under detection will induce changes to the structure of the perovskite or will follow an electrochemical redox reaction on its surface, allowing the detection of either the HOIS redox or the sensing redox current. Pressure sensors function due to the optical properties change that accompany the HOIS unit cell axes decrease. For example, using computationally fast tight-binding parameters from Ref. [90], it is possible to compute the pressure effect on any given HOIS, provided that it is composed out of corner-sharing octahedral.

The aspect of using HOIS as photodetectors also originated 20 years ago, when the active HOIS-related scientific groups were measuring photoconductivity spectra from either grown crystals or from melted HOIS [91, 92]. In fact, photoconductivity spectra had been measured for 2D HOIS [32, 35, 37, 93, 94], as well as for some of the 1D HOIS, with remarkable agreement between the optical absorption and photoconductivity peaks, which is usually the case unless the materials shows the so-called “antibaric” behavior [95]. Similarly, absorption and photoluminescence studies for the 3D and 2D HOIS [96–98] studies have shown that under hydrostatic pressure the energy band gap decreases for the 2D, while it increases for some of the 3D analogs. A useful value for the redshift that allows the 2D perovskites to be used as sensors is the redshift of 90 meV/GPa.

X-ray detectors based on 3D HOIS have lately been shown [99] to be four times more sensitive than the α -Se detectors, while operating with smaller operating fields, while gamma-ray HOIS-based detectors for energies from 50 keV to 10 MeV are sensitive, cheap, and robust due to the combination of the highly mobile and with large lifetime carriers in the HOIS [100].

In the last years, a number of new reports have appeared on pressure mechanisms on perovskites. For example, the compressibility $\text{CH}_3\text{NH}_3\text{PbX}_3$ ($X = \text{Br}, \text{I}$) exhibits piezochromism by first becoming lighter in color and then black, while in parallel pressure increase lowers the material’s resistivity, which complicates the calibration of the material as pressure sensor [59]. Some examples of pressure and light sensor results are presented in Fig. 11.

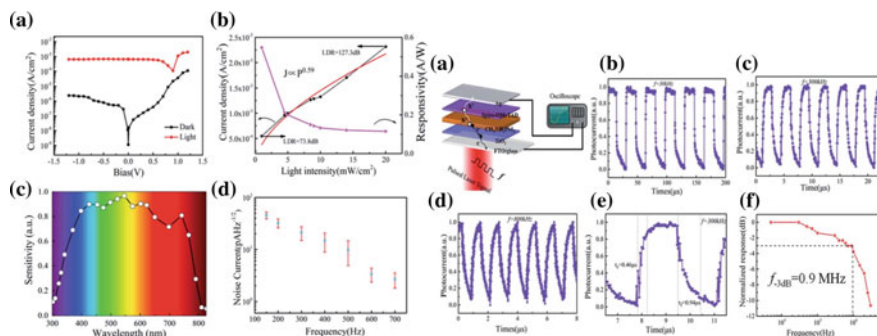


Fig. 11 Left: **a** J–V curves of the perovskite photovoltaic device in the dark and under 650 nm irradiation. **b** Linear dynamic range of the perovskite device and the responsivity. **c** Spectral response of the perovskite photodetector. **d** The noise current of the perovskite device measured under dark condition by using a lock-in amplifier (SR830) from 150 to 700 Hz frequencies at zero bias. Right: Schematic illustration of the perovskite device to measure photo-response speed. Time response characteristics of the device under different pulsed light illuminations, **a** perovskite device under pulsed light illumination (650 nm), **b** 30 kHz, **c** 300 kHz, **d** 800 kHz. **e** The magnified and normalized plots of one response cycle 300 kHz. **f** 3 dB bandwidth of perovskite device. Adapted with permission: RSC Adv., 2017, 7, 18224–18230. Published by The Royal Society of Chemistry

It is important to note that any type of photodetector can be used to detect not only maximum current at the position of the excitonic peak of each particular HOIS, but instead any HOIS can absorb, which alters its electrical resistance, of all photons above its band gap energy, making HOIS ideal for broadband detectors. Thus, besides the strong responsivity that can be attained, better than the Si photodetectors in some cases reaching 3.49 A/W, external quantum efficiency is 1190% at 365 nm, while at 780 nm the respective values are 0.0367 A/W and EQE of 5.84% at 3 V bias [101]. Schottky contacts can easily form upon such measurements; thus, in the early perovskite era, ohmic contacts were fabricated by sacrificing part of the HOIS crystal which would create ohmic contacts; yet, it is interesting that the Schottky diodes are also photoresponsive but no such publication exists to our knowledge.

Lately, a new type of perovskite sensor has also emerged based on its electrochemistry. In particular, Topoglidis and Koutselas group have for the first time used 3D and quasi-2D perovskites adsorbed on mesoporous TiO₂ electrodes that have been used as sensors [102]. In particular, it has been shown that HOIS can be indeed immobilized in a dichloromethane solution with diluted tetrabutylammonium hexafluorophosphate and can serve as the active medium for the detection of the CBr₄ pollutant in the same solution with a detection limit of 20 ppb/mol, where the addition of CBr₄ shifts the cathodic peaks to lower voltages. An example of this technique is provided in Fig. 12 where the blank material CV (black curve) exhibits cathodic peaks that are shifting left from c.a. 0.6–0.2 V upon addition of various concentrations of CBr₄. This work, along with those new research results, where

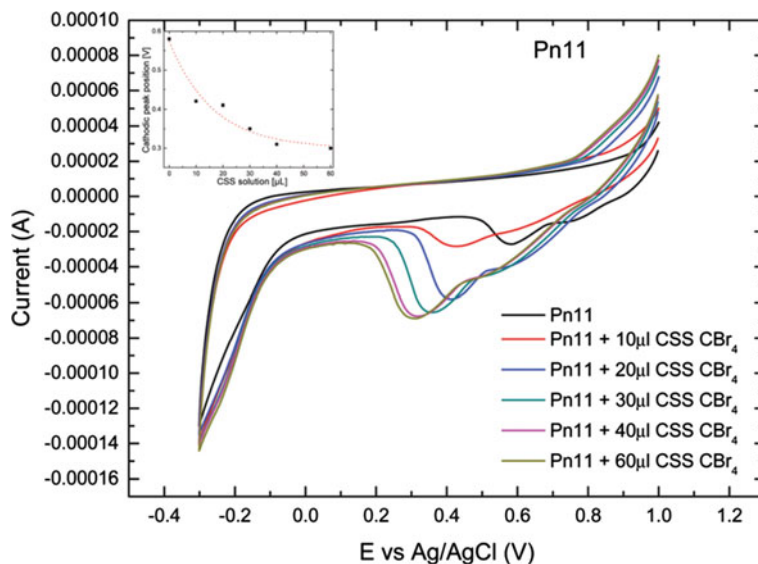


Fig. 12 Cyclic voltammetry scans for Pn11 (phenethylamine_y-CH₃NH₃PbBr_{3-x}Cl_x) in the presence of increasing amounts of CBr₄ in the solution, at a scan rate of 0.1 V/sec, where 10 μL of CSS is 10 mol of contaminant per 10⁹ mol of dichloromethane. The inset shows the dependence of the cathodic peak on the added concentration. Adapted with permission: Mater. Chem. Front., 2018, 2, 730–740. Reproduced by permission of The Royal Society of Chemistry

lead halide perovskites are used in lithium rechargeable batteries, portrays the ability of the perovskites to be used as cheap, application-specific, simple alternative solutions as active materials in a variety of applications. Similarly, to this work, other researchers have also worked on the electrochemistry of perovskites.

5 Low-Dimensional Hybrid Organic–Inorganic Semiconductors in Transistors

Field-effect transistors (FETs) have been traditionally fabricated with amorphous silicon and polysilicon, although different types of materials such as organic molecules, oxides, polymer, MoS₂, and carbon nanotubes have been applied to FETs and investigated to identify their intrinsic properties [103, 104]. Recently, organic–inorganic semiconductors continue to raise considerable excitement as potential alternative channel materials for traditional inorganic materials in FETs. In transistors, current flow occurs due to the majority carriers, which enter through the source (S) and leave through the drain (D), while the gate electrode modulates the conductivity at the gate region. This is a typical architecture for FETs as it illustrated in Fig. 13.

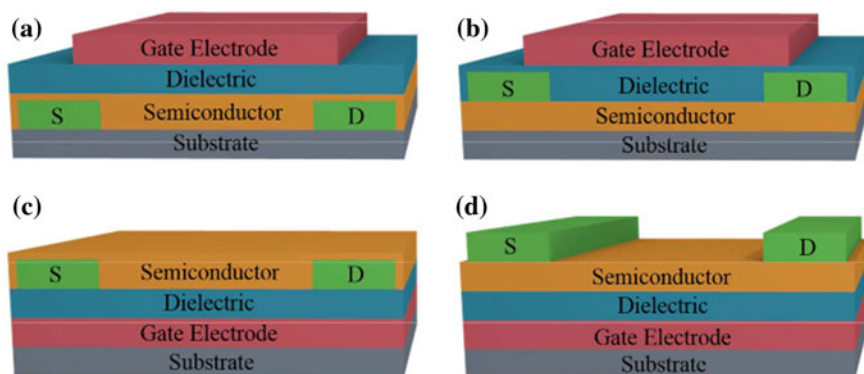


Fig. 13 Schematics of different kinds of FET architectures, where S and D refer to the source and drain electrodes, respectively: **a** top-gate bottom-contact, **b** top-gate top-contact, **c** bottom-gate bottom-contact, and **d** bottom-gate top-contact. Adapted with permission from [105]. Copyright © 2017, American Chemical Society

Mitzi and co-workers, in 1999, developed for the first time an organic–inorganic perovskite thin-film field-effect transistor. In this publication, thin-film FETs are fabricated with spin-coated 2D organic–inorganic hybrid perovskite $(\text{C}_6\text{H}_5\text{C}_2\text{H}_4\text{NH}_3)_2\text{SnI}_4$ as the semiconducting channel by making use of their fast charge-carrier transport properties, promising to be a channel material [106]. Later, efforts from the same group addressed tin(II) iodide-based organic–inorganic hybrid perovskites, in which they substituted the cation site with various phenethylammonium (PEA) series to test the impact of organic cation substitution on FET device performance, yielding an enhanced field-effect mobility of $1.4 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ in the saturation regime [107]. However, the major challenge in halide perovskite FETs is ion migration. Many years later, in 2015, Chin et al. investigated light-emitting FETs using the 3D lead iodide perovskite $(\text{CH}_3\text{NH}_3\text{PbI}_3)$ in order to show the relationship between screening effects and ionic transport by lowering the operating temperature of the FETs [108]. In the same year, Li et al. investigated halide perovskite phototransistors but found that the transistor performance with reference to field-effect mobility was relatively poor [109]. Despite the intense effort in the fabrication of perovskite FETs, instability and degradation upon repeated cycling need to be resolved to ensure the successful operation of halide perovskite FETs. To overcome these major problems, Senanayak et al. tried to develop a perovskite FET by changing the PbI_2 precursor mixture to a $\text{Pb}(\text{Ac})_2$ precursor. As a result, the electron field-effect mobility and device stability improved, and the electron field-effect mobility of charge transport was 0.5 and $2 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ at 300 and 100 K , respectively. Due to these modifications, the transistors exhibited current increase at the source and drain as well as reduced hysteresis behavior [110]. In addition, Duan and co-workers investigated FET performance using a thermally annealed MAPbI_3 microplate, which was entirely encapsulated with a boron nitride (BN) layer for greater stability [111]. This work based on a previous

report studied that the thermal annealing can change the n-type behavior of $\text{CH}_3\text{NH}_3\text{PbI}_3$ to p-type behavior, inducing a substantial decrease in the field-effect mobility [112].

In order to achieve an improved FET device, the main challenges including the charge transport, temperature-dependent transport as well stability should be overcome. However, it is considered that the results in the field of halide perovskite FET devices will reveal further developments in this area.

6 Low-Dimensional Hybrid Organic–Inorganic Semiconductors in Lithium–Ion Batteries

Besides the interesting electronic and photonic properties exhibited by hybrid perovskites, ionic migration allows for a variety of application in electrochemical devices. It is known that hybrid perovskites behave as charge storage materials for lithium–ion battery anodes [113]. The exact mechanism on how lithium–ions interact with the hybrid perovskite structure during the charging process is still an open question [114]. The architecture of a lithium–ion battery is shown in Fig. 14a. When a bias is applied, lithium ions move into the anode and intercalate with the anode material, which means that the battery is charging. When the electrons flow through an external circuit, the lithium ions go back to the cathode through the electrolyte, indicating battery discharge [115]. In 2015, the research group of Peng first reported lithium storage using $\text{CH}_3\text{NH}_3\text{PbBr}_3$ and $\text{CH}_3\text{NH}_3\text{PbI}_3$ with carbon black as the anode material via a hydrothermal method to demonstrate reversible charge–discharge capacities [113]. The first discharge capacity of $\text{CH}_3\text{NH}_3\text{PbBr}_3$

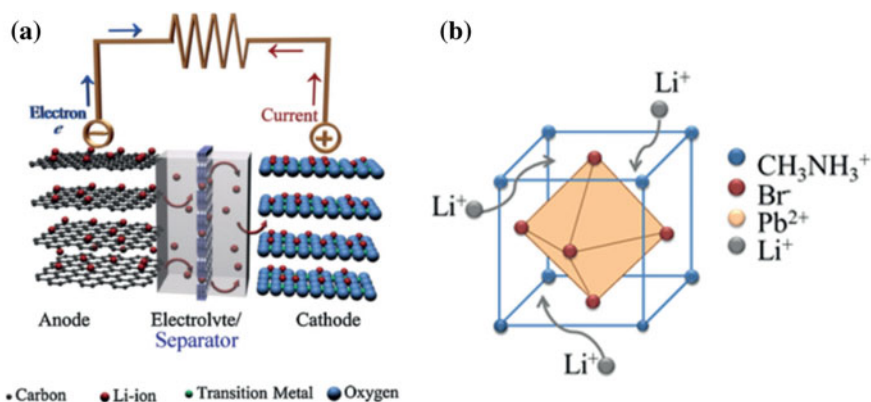


Fig. 14 a Schematic of a lithium–ion battery structure, as adapted with permission [115]. Copyright © 2011 Elsevier B.V. All rights reserved b 3D framework of a $\text{CH}_3\text{NH}_3\text{PbI}_3$ perovskite intercalated with multiple Li^+ –ions, as adapted with permission [116]. Copyright © 2017, American Chemical Society

was 331.8 mA h g⁻¹, while that of CH₃NH₃PbI₃ was 43.6 mA h g⁻¹. It is noted that the discharge capacity was similar to that of a commercial Li₄Ti₅O₁₂ anode. Recently, Vicente and Garcia-Belmonte revealed that perovskite-based electrodes exhibit high stability upon electrochemical cycling without severe distortion of the crystal structure, as they demonstrated the intercalation mechanism between CH₃NH₃PbBr₃ and Li⁺ ions as it shown in Fig. 14b. This fact indicates a topotactic intercalation for Li⁺ storage into the perovskite host, without effective structural alternation or rearrangement [116]. This aforementioned performance proves that halide perovskite-based materials are promising candidates for high-power battery applications.

7 Conclusions

Organic–inorganic hybrid perovskites, especially those whose inorganic part is based on metal halides, have obtained a tremendous attention in both industry and academic community, due to their unique optoelectronic properties as their exceptional performance in solar cells, LEDs, lasers, sensors, transistors and lithium–ion batteries has led to their consideration as novel active materials in recent years. As it has been described before, the realm that lies beyond the excellent features of these materials is still facing big challenges due to their toxicity and stability which prevent industrial scale up as well as due to their soft character. Lead halides appear to be the most promising and stable candidates; yet, lead content, however, as small as it may be, must finalize to zero environmental footprint. Multiple research groups have partially addressed these challenges, offering promising alternatives to organic–inorganic metal halide perovskites for developing high-performance and low-cost optoelectronic devices. Given the great progresses made in such a short duration, metal halide perovskite optoelectronics is expected to have a bright future. In all cases, a subjective view is that more work needs to be addressed in energy transfer capable perovskites, to the quasi-2D materials as well as to defect variants of the perovskites as these are much more compatible with non-laboratory conditions, such as an industry, where defects can be used to strengthen the final complex perovskites properties, that lie among complex interactions of electrons, phonons, excitons, polaritons, and light.

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Chapter 11

Future Challenges of the Perovskite Materials



Lin Fu, Bo Li, Shuang Li and Longwei Yin

1 Lifetime and Stability

Although, both the power conversion efficiencies and working areas of solar cells are steadily improving, the lifetime and stability of perovskite solar cells are still inadequate for practical applications. It is still a challenge to achieving long-term stability of perovskite solar cells. In addition to the considerable research focused on maximizing efficiency, recent researches have also aimed at solar cell stability. Stability problems of perovskite solar cells mainly result from three aspects.

One of the stability issues reported early in the development of perovskite solar cells is originated from the influence of UV light, especially when titanium oxide is employed as the electron transport layer, whether in mesoscopic or planar structures [1, 2]. Numerous researches have shown that oxygen vacancies emerge in titanium oxide when the devices are exposed and operated under UV light. These oxygen vacancies activated the deep trap states, leading to a rapid loss of device performance with a decrease of open-circuit voltage (V_{oc}) and fill factor (FF) [3]. Recent studies have demonstrated that the oxygen vacancies can be inhibited by introducing trivalent cations such as Al^{3+} , Nd^{3+} and In^{3+} , drastically increasing the UV stability [4, 5]. In addition, the chlorine-passivated titanium oxide compact layer exhibits much enhanced stability in air atmosphere, with <5% decay after continuous maximum power point output tracking. Even though these strategies can decrease the efficiency loss under exposure to UV light, oxygen vacancies are difficult to prevent completely, and thus the UV-induced instability issue will always be present in titanium oxide-based PSCs. Because UV-induced instability comes from the titanium oxide layer, for achieving stability another option is

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315

utilizing materials that either are intrinsically low in oxygen vacancies, or where the oxygen vacancy does not instigate deep trap states. Recently, an electron transport layer material using BaSnO_3 has been studied and developed for perovskite solar cells [6]. Unlike titanium oxide-based solar cells, devices with BaSnO_3 show much enhanced lifetimes under full light illumination, including both visible and UV lights.

The second obstacle for long-term stability is high temperature and moisture. Most studies of long-term stability are carried out at room temperature or inert atmospheres. In real practical application, solar devices can reach 80°C under light exposure. Thus, it is essential to consider high temperature for studying long-term stability. The moisture is also a key role in the decomposition of perovskite materials. The degradation of perovskites is attributed to hydrolysis reactions in which the organic species of perovskite reacts with water molecules to release HI and CH_3NH_2 . Recently, with the development of rubidium mixed cation perovskite, polymer-coated perovskite cells maintained 95% initial efficiency at 85°C after 500 h under full solar illumination and maximum power point tracking [7]. In addition, all-metal-oxide p-i-n perovskite solar cells with p-type NiO_x as HTL and n-type ZnO as ETLs, respectively, show improved stability against water and oxygen degradation as compared with the devices with organic transport layers.

The third issue to be considered is continuous light/dark cycle-induced perovskite device fatigue, which causes the performance loss. According to the report, the solar device performance reduces to less than 50% compared with its initial efficiency after dark aging in an open circuit, although performance increases with subsequent continuous illumination [8]. In addition, lower temperature significantly exacerbates the fatigue behavior in dark. The recent research reveals that the cyclic movement of charge carriers gives rise to defects within the perovskite layer, causing both lattice interstitials and ionic vacancies. In some other researches, perovskite solar devices recover their initial efficiency or even increase after dark aging [9]. Thus, how this light/dark cycle influences the stability and performance of perovskite materials is not yet clear, whereas, this issue is essential and must be resolved.

2 Lead Toxicity

Despite impressive performance and extraordinary potentials, perovskite solar cells are still far from real-life availability due to serious issues of toxicity. The toxicity of hybrid lead halide perovskites has been originated to the presence of lead in its chemical composition. Lead easily dissolves in water to form a toxic solution, causing serious environmental pollution, harmful to human beings and the ecosystem. The photovoltaic community is recently well aware of the toxicity issues that came from lead, the anxiety that poses for the public and the factors which argue against the acceptance of this technology [10]. Lead salts are quickly and efficiently absorbed by the human bodies. Lead's toxicity partly results from its

ability to mimic other beneficial metals (calcium, ferrum, and zinc) that participate in biological processes. Children are more vulnerable because the rate of lead ingestion is higher owing to their developing digestive system. To address the toxicity issue, many researches employ the group IV elements, tin (Sn) and germanium (Ge), to replace lead (Pb) [11–13]. Replacing lead by tin and germanium in perovskites can maintain both 3D structure and electronic dimensionality. Indeed, tin-based perovskite devices have shown the best performance among all lead-free perovskite solar cells. It should be noted that tin-based perovskite is less stable than lead-based ones due to the fact that 5 s orbital of tin is higher in energy than 6 s orbital of lead. For instance, the tin-based perovskite solar cells reported usually exhibit less than 10% of photovoltaic conversion efficiency [14]. In addition, the easy oxidation of tin and germanium from the +2 state to the +4 state owing to their high energy states of 4–5 s orbital obstacles them for further application in stable and long-term perovskite photovoltaic devices [15]. To further improve the photovoltaic conversion efficiency of tin-based perovskite solar cells, efforts must be paid to address the instability issue. It thus stimulates the interest to develop new classes of materials which can solve the issues of toxicity and stability while still maintaining the fascinating properties of lead-based perovskite materials.

3 Hysteresis and Measurement Standards

Hysteresis behaviors in perovskite solar cells have been commonly investigated, with more obvious hysteresis behavior in planar devices than that in mesoporous devices, and the devices with metal oxide transport materials typically showing higher hysteresis than the devices with organic transport materials [16]. Hysteresis is less common in inverted perovskite solar cells with organic charge transport materials [17], and it can also be decreased by mixed halide (bromide/iodide) perovskite active materials [18]. One proposed reason for the hysteresis behaviors in the perovskite solar cells can be attributed to the material stoichiometric ratios, which can affect ion diffusion, self-healing ability, and defect concentrations. The perovskite material morphology is also an unnegligible factor, affecting grain boundaries and interfaces of perovskite films [19]. In addition, ferroelectric polarization of the capacitive effects, ionic motion within the perovskite materials, and bias-dependent traps at the interfaces are also considered as the proposed reasons causing the hysteresis behaviors [20]. Phenomena related to hysteresis, such as ion migration [21], ferroelectricity [22], and charge buildup [23] has been extensively investigated. While it is obvious that the perovskite materials are ferroelectrics which can be poled, relative results cast doubt on the ferroelectricity as the possible reason of hysteresis [24]. Ionic mitigation is expected to occur in the perovskite materials, which likely contributes to hysteresis. While the question of the hysteresis has not been fully revealed in detail, it is clear that some practical

guidelines on the test of perovskite solar devices need to be established to handle this phenomenon. It is clearly necessary to report the data with both forward and reverse scanning direction measurement. In addition, scan rate could also affect the experimental results, as well as for keeping the device at a fixed bias before measurement [24].

4 Large-Area and Flexible Devices

Perovskite materials with low formation energies for deposition are compatible with roll-to-roll and other high-volume manufacturing techniques. These features make perovskite solar cells suitable for large-area and flexible devices with low production costs and low capital expenditure [25]. In addition, flexible devices are of interest for various applications where light weight properties and flexibility are desirable, such as unmanned aerial vehicles and wearable electronics. However, the scaling of the perovskite solar devices faces extensive challenges, such as the continuous fabrication of pinhole-free perovskite films and the selective charge carrier transport layers. In the large-area perovskite photovoltaic device, surface defects, bulk defects and interfacial defects can introduce recombination centers, leading to nonradiative recombination losses at the interface and the interior, thus causing the reduction of open-circuit voltage, short-circuit current and fill factor. In the case of flexible substrates which are suitable for roll-to-roll printing, the additional challenge is to ensure that all the device layers can be fabricated by low temperature and printable processes. To address these challenges, different fabrication procedures and architectures have been developed for large-area and flexible devices prepared such as doctor-blading and printing (inkjet printing, screen printing), direct contact intercalation method, electrode-position and spray-coating [26, 27]. However, considerable efforts are needed to optimize this process for fabricating high-efficient perovskite solar cells due to the fact that films and devices have tremendous sensitivity to some small details in fabrication procedure that affects the formation of perovskite film.

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