

# Intraocular Tumors

Vikas Khetan  
*Editor*

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 Springer

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## Foreword by Brenda Gallie

Dr. Khetan has assembled strong leaders in ocular oncology, representing the multidisciplinary teams required for optimal care of intraocular tumors. The chapters show various approaches, with input from many geographic regions addressing the major intraocular tumors, that are biologically the same, no matter where the patients live.

Readers at all levels of expertise, ranging from general ophthalmologists and trainees to expert consultants, can find useful details in this book. The knowledge provided ranges from the very earliest history of these cancers to envisioning incorporation of personalized genomic knowledge into care, the future that is arriving quickly.

Several of the chapters describe the same elements, illustrating different approaches. Major differences are of interest, for example, the retinoblastoma prognosis to save an eye: different chapters cite systems that are significantly different, but generally they all recognize the difficulties this creates and welcome the newest, evidence-based, TNMH standard classification, developed by international collaboration and published in 2017 in the major Tumor, Node, Metastasis cancer staging manual. Incorporation of “H” for heritability shows the leadership of the field of ocular oncology in cancer in general; retinoblastoma is the first cancer in which heritability is recognized to influence outcome.

Against the historic background of the Cooperative Ocular Melanoma Study, which demonstrated that multicenter collaboration works, the current concern about accurately counseling the patient on prognosis emerges as a hot topic with many viewpoints that may best be resolved by good data and evidence.

Consistent in all chapters is support for collaborative research to generate a sound basis for treatment of ocular cancers! This book provides a good base to achieve high-quality evidence in support of the best care for our patients.

This book is not to be read from beginning to end. Rather, the reader can focus on finding details for their clinical or scientific issue at hand. Many chapters may be relevant, and frequently authors cross-reference so that the reviewer can also consider information in a different chapter.

Congratulations to Dr. Khetan! You have succeeded in leading a large multidisciplinary team, who have all contributed to produce a novel and useful book on intraocular tumors.

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## Foreword by Lingam Gopal

Subspecialization (or super specialization) is at the same time a boon and a bane. Very rapidly what was within the competence of a general ophthalmologist becomes the domain of the specialist (of course) with better quality of care being delivered. Ocular oncology has grown to be a distinct subspecialty in ophthalmology, courtesy some landmark developments in the management of common intraocular tumors. The developments in the field of imaging, chemotherapy, and genetics have been nothing short of being phenomenal. The force that drives these rapid strides is passion for the specialty and the ardent desire to make a difference.

Vikas Khetan has that passion. Ever since he became a vitreo-retinal fellow first, and then a fellow with the legendary Dr. Brenda Galle, this passion has been evident. In addition to making a difference to the way the specialty is practiced in his place of work, he strived to bring together like-minded people across the region to create forums for interaction.

It is this desire to disseminate knowledge that made him to bring together luminaries in the field and make them contribute to this textbook. This book does not pretend to be an all-encompassing encyclopedia of ocular oncology, but concentrates on the more common intraocular tumors. Retinoblastoma and choroidal melanoma take the center stage with detailed exposition of the diagnostic, genetic, and therapeutic aspects. General topics include imaging of ocular tumors (from ophthalmologist's and radiologist's perspective), pathology of intraocular tumors, management of the anophthalmic socket, and the art of counseling. Most of the chapters were written by internationally renowned ocular oncologists with decades of experience in the art and science of treating patients with intraocular tumors.

I am sure this textbook will be a good addendum to the practitioners of ocular oncology in delivering quality care, utilizing all available tools to control the tumors.

Singapore  
September 2018

Lingam Gopal

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## Preface

Ocular oncology is an emerging subspecialty in ophthalmology that is gradually making a place for itself. At the time of writing this text book, it is estimated that there are about 200–250 ocular oncologists all over the world. In India, until a few years ago there were only a handful of trained ocular oncologists; however, there is an encouraging trend and a lot of youngsters are now taking up this specialty. The world of ocular oncology is developing at a very rapid pace, and newer treatment modalities are emerging every other day.

The aim of the book is to provide the readers with a basic, yet detailed reference for a variety of intraocular tumors. Most of the chapters in the book are written by world experts. I hope it helps our readers in understanding the nuances of intraocular tumors.

I would like to offer my deepest thanks to the families and children who allowed us the privilege of participating in their care.

This work would not have been possible without the support of my family and friends. The time that I spent on this project was taken out the time from my spouse and child, and I am thankful to them for letting me do this.

I would also like to thank my parents and siblings for their constant and endless support.

I dedicate this book to the Founder of Sankara Nethralaya—Dr S S Badrinath. I sincerely hope that the world has many more visionaries like him.

Chennai, India

Vikas Khetan



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## Acknowledgements

This book has been a wonderful journey, made possible by many beautiful people from around the globe, all coming together to make this happen.

I would like to thank all the contributors for their time, diligence and hard work in preparing the individual chapters and help enhance the knowledge with the readers.

I would like to especially thank my two mentors Drs Brenda Gallie and Lingam Gopal for their constant support and guidance in my career. I would also like to thank them for writing the forewords for this book.

A word of mention to my other mentor Dr Alex V Levin, who taught me the art of examining the patient as a whole.

I would also like to thank my family members for their understanding and support. It is the sacrifice of personal time that enable me to indulge in this book editing.

I am grateful to Springer Nature and their associates especially Mr Naren Aggarwal, Rakesh Kumar Jotheeswaran and Vignesh Manohar for their help with the book.

Last but not the least, I am grateful to Sankara Nethralaya and all the patients that I have cared for who have allowed me to share the information with the readers.

Wishing you happy reading...

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## About the Editor

**Vikas Khetan** is a specialist in vitreoretina, ocular oncology and ocular genetics. He is an alumnus of Sankara Nethralaya, Chennai, Hospital for SickKids, Toronto and Wills Eye Hospital, Philadelphia. He is a well-published author with articles in both national and international journals and has contributed chapters in many books. He is a well-known speaker at various conferences and a reviewer for many journals. He is currently the section editor of Ocular Genetics for *Indian Journal of Ophthalmology* and is also a section editor for *Nepalese Journal of Ophthalmology*.

He is the recipient of many awards including the prestigious JM Pahwa award at VRSI meeting, IJO Gold award for paper published in IJO for the year 2012 and IJO best reviewer award. He is also the recipient of many travel grants for various meetings like APAO, APVRS, etc. Last year he received the P Siva Reddy International award at the AIOS meeting. He also received SAO (SAARC Academy of Ophthalmology) excellence award at the SAO meeting in Kathmandu last year.



# Retinoblastoma: Diagnosis, Classification and Management

1

Bhavna Chawla

## 1.1 Introduction

Retinoblastoma is the most common primary intraocular malignancy of childhood. It contributes to approximately 4% of all pediatric cancers. The incidence is around 1 in 18,000 live births [1]. The tumour was initially described as fungus haematodes in 1809 [2]. It was renamed as Retinoblastoma in 1926 by the American Ophthalmological Society after a general consensus was reached that the tumour originated from retinoblasts [3]. The retinoblastoma gene (RB1), encoded on chromosome 13q14, was the first described tumor suppressor gene. Constitutional loss of one RB1 allele causes cancer predisposition, and loss of the second allele in a developing retinal cell leads to retinoblastoma. Retinoblastoma can be sporadic or inherited. Older age and unilateral presentation is usually seen in sporadic tumours, whereas younger age and bilateral presentation is observed in inherited tumours. All cases of bilateral tumours are heritable and carry a germline mutation of the RB1 gene. They account for approximately one third of all the RB cases. Only a small proportion of unilateral retinoblastoma cases are heritable.

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## 1.2 Diagnosis

The average age for diagnosis of retinoblastoma is 18 months and 95% of children are diagnosed by the age of 5 years. Bilateral disease is diagnosed earlier than unilateral disease. Germline tumors can present as early as the first month while sporadic cases are diagnosed later, usually by 24 months of age [4].

A whitish pupillary reflex or leucocoria is the most common presenting symptom. Other signs include strabismus, poor vision and redness of the eye. In some instances, retinoblastoma may also present as buphthalmos, aseptic orbital cellulitis or phthisis bulbi. Proptosis and fungating orbital masses are signs of advanced disease, which may be accompanied by metastasis in the bone, bone marrow, lymph nodes, and central nervous system [5].

Figure 1.1 shows some of the clinical presentations of this tumour. Typically, the diagnosis of retinoblastoma is established by characteristic ophthalmic findings, often requiring general anaesthesia, and B-scan ultrasonography. A dilated fundus examination of both eyes with 360° scleral depression should be undertaken in all suspected cases. The tumour appears as an elevated mass in the fundus (Fig. 1.2). There may be multiple tumours in the same eye (Fig. 1.3). RetCAM is a wide angled fundus camera which helps to document the tumor and assess response to therapy. Other findings may be present such as the presence of vitreous and/or sub-retinal seeds, vitreous



Strabismus



Red Eye



Leucocoria

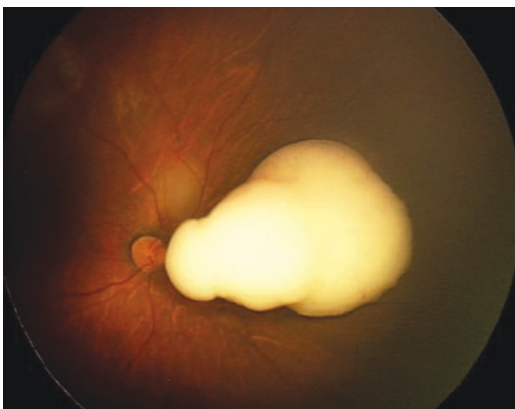


Shrunken Eyeball

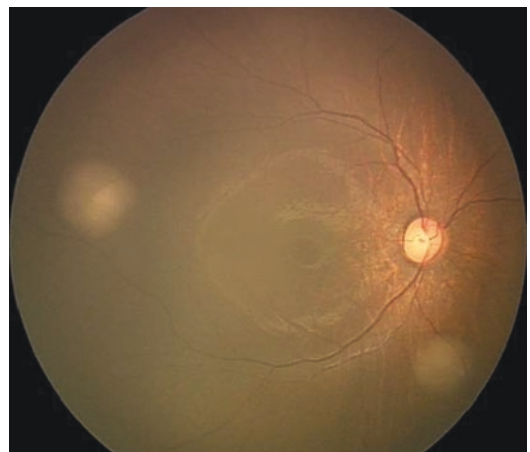


Orbital mass

**Fig. 1.1** Various clinical presentations of retinoblastoma



**Fig. 1.2** Fundus picture showing the elevated tumour



**Fig. 1.3** RetCAM image of the right eye showing two tumours

haemorrhage, sub-retinal fluid, retinal detachment etc. It is also important to evaluate the anterior segment and look for abnormal findings such as neo-vascularization of the iris, pseudohypopyon, cataract, ectropion uveae, hyphaema, iris seeding by tumor cells, buphthalmos or other abnormalities (Fig. 1.4). Measurement of intraocular pres-

sure should be done. Some of these eyes may have elevated intraocular pressure, that could be due to neo-vascular glaucoma, anterior shift of the lens with resultant pupillary block and angle closure or the obstruction of the outflow of aqueous humour

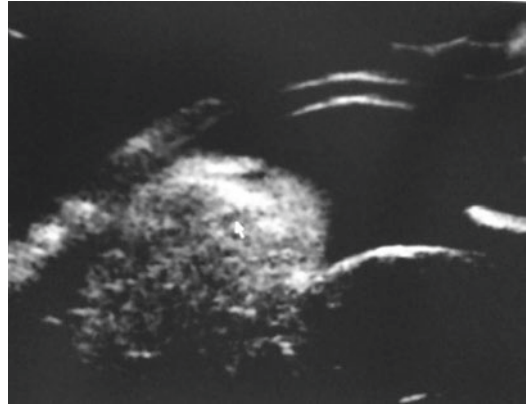


**Fig. 1.4** Examination under anaesthesia

through the trabecular meshwork by the tumour cells [6].

Imaging plays an important role in confirming the diagnosis and in differentiating retinoblastoma from other simulating conditions. Imaging also helps in staging the disease and assessing the tumour response to treatment. When considering a diagnosis of retinoblastoma, there are several other diseases which need to be differentiated. These include congenital cataract, Coats' disease, persistent fetal vasculature, retinopathy of prematurity, retinal detachment, vitreous hemorrhage, Toxocariasis and coloboma and endogenous endophthalmitis. A misdiagnosis, although rare, can occur as some diseases, particularly end-stage conditions, may simulate retinoblastoma closely, resulting in a diagnostic dilemma [7, 8].

The various imaging modalities available include B scan ultrasonography, ultrasound biomicroscopy (UBM), fluorescein angiography (FA), optical coherence tomography (OCT), computed tomography (CT) scan and magnetic resonance imaging (MRI) [9]. Along with clinical examination, B-scan ultrasonography helps to establish the diagnosis in the majority of cases. On ultrasonography, retinoblastoma appears as an elevated mass in the posterior segment of the eye, with areas of high internal reflectivity due to calcification within the mass. It is also very useful in cases of diagnostic dilemma, to differentiate retinoblastoma from other common conditions that may present with leucocoria. Other advantages of ultrasound include its wide availability, simplicity of use by an ophthalmologist, no requirement for anaesthesia and lack of exposure to radiation. High-frequency UBM provides high-resolution in vivo imaging of the anterior segment in a non-



**Fig. 1.5** Ultrasound biomicroscopy for anterior segment invasion

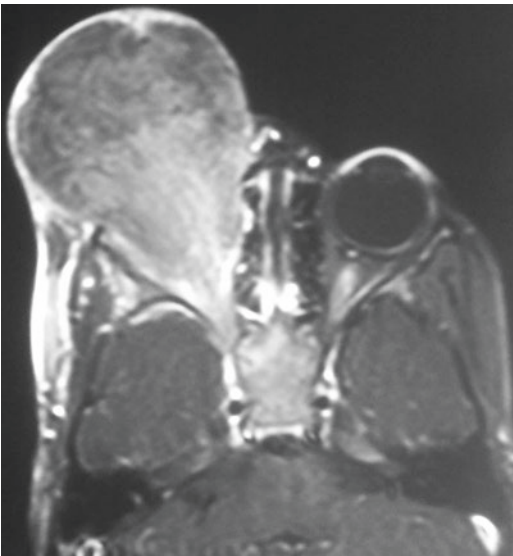
invasive fashion. In addition to the tissues easily seen such as the cornea, iris, and sclera, structures hidden from clinical observation, like the ciliary body and angle, can be imaged and their morphology assessed (Fig. 1.5). Ultrasound bio-microscopy imaging has been shown to document anterior disease and contribute to the management of children affected with retinoblastoma [10, 11]. It is also used prior to intra-vitreous chemotherapy to look for tumour extension. Fluorescein angiography can be done for smaller tumors which show minimally dilated feeding vessels in the arterial phase, blotchy hyperfluorescence in the venous phase and late staining. Hand-held high-resolution spectral domain optical coherence tomography has been evaluated in retinoblastoma and found to be useful [12]. CT scan is most sensitive to detect calcification within the tumour (Fig. 1.6) and aids in identifying extraocular extension. However, it should be used sparingly due to the risk of exposure to ionizing radiation, especially in cases with germline mutation. It is usually reserved for cases that do not demonstrate calcification on ultrasound and/or cases of diagnostic dilemma with atypical presentation. Neuroimaging with contrast MRI is the imaging modality of choice in advanced cases with suspected extraocular extension for assessment of orbital, optic nerve and intracranial extension (Fig. 1.7). MRI has the advantage of superior soft tissue resolution as compared to CT scan and does not expose the child to radiation. There are several

studies on the diagnostic accuracy of MRI in predicting optic nerve invasion [13, 14]. Rarely, children with retinoblastoma have a pineal tumour (trilateral retinoblastoma) that may be found on imaging. MRI has also been helpful in tumor staging of patients who present with orbital cellulitis

and in differentiating between inflammation of the coats and extra-ocular invasion [15]. Cerebrospinal fluid and bone marrow evaluation are not performed routinely and should only be undertaken if indicated clinically or by imaging studies.



**Fig. 1.6** CT scan showing intralesional calcification in the left eye



**Fig. 1.7** T1 weighted MR image showing extensive orbital invasion by the tumour

### 1.3 Classification and Staging

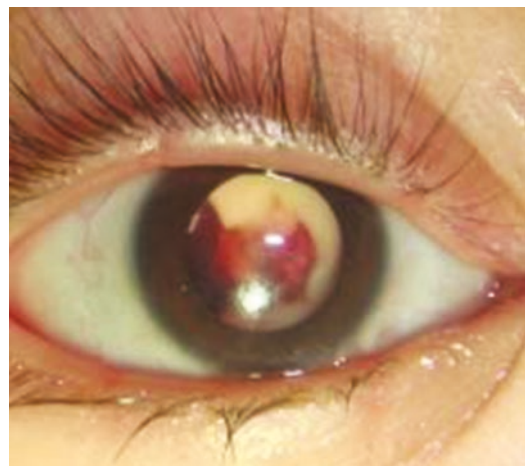
Retinoblastoma may be intra-ocular (Fig. 1.8) or extra-ocular at presentation.

Appropriate management requires correct staging of the tumour at the time of diagnosis. Hence, a knowledge of the classification systems for staging of retinoblastoma is essential.

In the 1960s, the primary treatment modalities for retinoblastoma were surgery and external beam radiotherapy (EBRT). It was during this period that Dr. Algernon Reese and Dr. Robert Ellsworth developed a classification system for intraocular retinoblastoma that had prognostic significance for maintenance of sight and control of local disease (Table 1.1) [16].

The Reese Ellsworth classification system had a few drawbacks. These included:

- a. A worse ocular prognosis for peripheral, large and multi-focal tumours as they were presumed to be more aggressive in the EBRT era.



**Fig. 1.8** Intraocular tumour



**Table 1.1** Reese-Ellsworth classification for retinoblastoma

Group	Likelihood of salvage	Features
I	Very favorable for maintenance of sight	a. Solitary tumor, <4 DD, at or behind the equator b. Multiple tumors, none >4 DD, at or behind the equator
II	Favorable for maintenance of sight	a. Solitary tumor, 4–10 DD, at or behind the equator b. Multiple tumors, 4–10 DD, behind the equator
III	Doubtful for maintenance of sight	a. Any lesion anterior to the equator b. Solitary tumor, >10 DD, behind the equator
IV	Unfavorable for maintenance of sight	a. Multiple tumors, some >10 DD b. Any lesion extending anteriorly to the ora serrata
V	Very unfavorable for maintenance of sight	a. Massive tumor involving more than one half of the retina b. Vitreous seeding

DD disc diameters

**Table 1.2** International Classification System for retinoblastoma [17]

Group	Clinical features
A Very low risk	All tumors are 3 mm or smaller, confined to the retina, and located at least 3 mm from the foveola and 1.5 mm from the optic nerve.
B Low risk	Retinal tumors may be of any size or location not in Group A. No vitreous or subretinal seeding allowed. A small cuff of subretinal fluid extending no more than 5 mm from the base of the tumor is allowed.
C Moderate risk	Eyes with only focal vitreous or subretinal seeding and discrete retinal tumors of any size and location. Vitreous or subretinal seeding may extend no more than 3 mm from tumor. Upto one quadrant of sub-retinal fluid may be present.
D High risk	Eyes with diffuse vitreous or sub-retinal seeding and/or massive, non-discrete endophytic or exophytic disease.
E Very high risk eyes	<i>Eyes with one or more of the following</i> <ul style="list-style-type: none"> <li>• Neo-vascular glaucoma</li> <li>• Massive intraocular hemorrhage</li> <li>• Aseptic orbital cellulites</li> <li>• Phthisis or pre-phthisis</li> <li>• Tumor anterior to anterior vitreous face</li> <li>• Tumor touching the lens</li> <li>• Diffuse infiltrating retinoblastoma</li> </ul>

- b. No distinction was made between sub-retinal and vitreous seeds in the classification system and the presence of sub-retinal seeding was not addressed.

In the 1990s, systemic chemotherapy started becoming popular as a primary treatment for retinoblastoma. Therefore, a new classification system that could predict the results of chemotherapy with more accuracy was needed (Table 1.2). Thus, the International Classification System for intraocular retinoblastoma was introduced, and it was found to be a good predictor of chemoreduction success [17, 18].

Recently, the American Joint Committee on Cancer has formulated the 8th edition of retinoblastoma staging, with the view to define the extent of disease at the time of diagnosis and to predict eye survival, metastatic risk, and patient survival [19]. Unique to the 8th edition tumour node metastasis (TNM) staging for retinoblastoma, is the inclusion of germ line cancer predisposition, which incurs a high risk for new post-diagnosis tumors and second primary tumours such as osteosarcoma and cutaneous melanoma, thus affecting overall patient survival. It has introduced the stage category H to indicate the germ line status of RB1 gene (H1) inferred clinically by bilateral retinoblastoma, reti-

**Table 1.3** The International Retinoblastoma Staging System

Stage	Description	
Stage 0	Eye has not been enucleated	
	Conservative treatment	
Stage I	Eye enucleated, completely resected histologically	
Stage II	Eye enucleated, microscopic residual tumor in the form of	
	1. Tumor invasion into extrascleral space	
	2. Tumor invasion into the cut end of optic nerve	
Stage III	Regional extension	a. Overt orbital disease
		b. Pre-auricular or cervical lymph node extension
Stage IV	Metastatic disease	a. Hematogenous metastasis
		1. Single lesion
		2. Multiple lesions
		b. CNS extension
		1. Pre-chiasmatic lesion
		2. CNS mass
3. Leptomeningeal and CSF disease		

retinoblastoma with an intracranial primitive neuroectodermal tumor (i.e., trilateral Rb), patient with family history of retinoblastoma, or molecular definition of a constitutional RB1 gene mutation. For extra-ocular retinoblastoma, the International Retinoblastoma Staging System proposed by Chantada et al. is used to stage the tumour (Table 1.3) [20]. The tumour is staged from Stage 0 to Stage IV, depending upon the extent of invasion, taking histopathology and imaging findings into account.

## 1.4 Management

The management of retinoblastoma depends on several factors such as age of the child, laterality and stage of the disease at presentation, and visual potential of the affected eye. The primary aim of treatment is survival of the child, with globe preservation and maintenance of vision being secondary goals. In the past, the tumour was associated with a high mortality but with the introduction of enucleation, the survival rate improved dramatically. While enucleation remains the standard of care for advanced intra-ocular tumours, conservative treatment which can result in globe salvage and preservation of useful vision is being successfully used for less advanced disease. These therapies include focal consolidation with trans-pupillary thermotherapy, laser photocoagulation and cryotherapy, sys-

temic chemotherapy, radiation treatment with plaque brachytherapy or external beam radiotherapy, and local injections of chemotherapeutic agents through the sub-tenon or subconjunctival route, as an adjunct to systemic chemotherapy. In the following section, each of these treatment modalities will be discussed in detail.

## 1.5 Trans-pupillary Thermotherapy

Transpupillary thermotherapy (TTT) is used for local control of the tumour, alone or in conjunction with systemic chemotherapy. The procedure is usually carried out under general anaesthesia using an infra-red diode laser (810 nm) mounted on an indirect ophthalmoscope (Fig. 1.9). The laser is applied directly to the tumour under wide pupillary dilation. The target temperature ranges between 45 and 60 °C, which spares the retinal vessels from coagulation as it is below their coagulative threshold [21, 22]. A spot size of 1.2 mm and a mean power of 300–600 mW is used to cover the tumour. Initially, the power is started at 200 mW and increased or decreased at 5 mW increments until an adequate take is observed in the mass [21, 22]. Effective therapy usually requires multiple sessions at monthly intervals. Various mechanisms of action for TTT have been described which include a direct



**Fig. 1.9** Trans-pupillary thermotherapy

cytotoxic effect of heat on tumour cells, induction of apoptosis, heat-induced alteration of tumour microenvironment, modulation of drug resistance of tumour cells and increased uptake of carboplatin into tumour cells at temperatures above 44 °C [23, 24].

There are several studies on the role of TTT in retinoblastoma. Abramson et al. proposed that tumours <1.5 DD in base diameter can be treated with TTT alone [21]. Shields et al. treated 188 tumours (80 eyes) with TTT and reported complete regression in 161 (85.6%) tumours and recurrence in 27 (14.4%) tumours [22]. Complications reported included focal iris atrophy (36%) and peripheral focal lens opacity (24%).

## 1.6 Laser Photocoagulation

The purpose of argon laser photocoagulation (532 nm) is to coagulate all the blood supply to the tumour. Feeder vessels are obliterated at a mean power of 350 mW over a duration of 1–4 s. Only small and posterior tumours located away from the fovea and optic disc are managed by laser photocoagulation. Complications include retinal detachment, vascular occlusions, retinal traction, and preretinal fibrosis [25–27].

While TTT can be used for tumours adjacent to the fovea or optic nerve, laser photocoagulation can damage these vital areas. Thermotherapy causes a lower rise in temperature and its higher wavelength (810 nm) as compared to argon laser helps it to act directly on the retina so that the blood vessels are not damaged. On the contrary, during laser therapy (532 nm), the blood vessels are coagulated, leading to retinal ischemia [26, 27].

## 1.7 Cryotherapy

Cryotherapy alone may be used as primary therapy for small peripheral tumours located anterior to the equator. Cryotherapy induces the tumor tissue to freeze rapidly, and a temperature upto  $-90\text{ }^{\circ}\text{C}$  causes intracellular ice crystal formation, protein denaturation, pH changes, and cell rupture, resulting in damage to the vascular endothelium with secondary thrombosis and infarction of the tumour tissue. Tumors are typically treated three times (triple freeze and thaw technique) per session trans-conjunctivally, with one or two sessions at monthly intervals [28, 29]. It is most effective for tumours <4 mm in basal diameter and 2 mm in thickness. It can also be used as an adjunct to systemic chemotherapy and has synergistic effect when applied within 2–3 hours of intravenous chemotherapy. The complications are few and rarely serious, and include lid edema, transient conjunctival edema, serous retinal detachments and retinal tears. Vitreous hemorrhage can be observed in large or previously irradiated tumours [30, 31].

## 1.8 Systemic Chemotherapy

It was in the 1990s that systemic chemotherapy was used to treat intraocular retinoblastoma after observing good tumour control and ocular salvage rates of 30–70% when intravenous chemotherapy was given prior to EBRT [32]. The recognition of increased risk of second non-ocular cancers with EBRT further led to more extensive use of chemotherapy. Today, it is one of the most widely used treatment modalities for retinoblastoma.

The main objectives of chemotherapy for intraocular retinoblastoma are eye salvage and avoidance of enucleation or EBRT.

Systemic chemotherapy is indicated for large tumours that cannot be treated with local therapy alone, recurrent lesions, relapsed tumours, and as adjuvant therapy to enucleation surgery in cases with high risk histopathology features [33–35]. Systemic chemotherapy is also used as a part of multi-modal treatment for extra-ocular retinoblastoma. The various chemotherapeutic drugs used in treatment include carboplatin, etoposide, vincristine, methotrexate, cyclophosphamide, melphalan, doxorubicin, and triethylene melamine in various combinations. The most commonly used intravenous chemotherapy drugs are vincristine, etoposide, and carboplatin (VEC) [36]. Table 1.4 shows the standard dosage and schedule of drugs that are recommended for use. Cyclosporine has also been used to overcome the problem of drug resistance [37]. Other drug combinations such as two-drug therapy of vincristine and carboplatin have also been proposed so that the side effects of etoposide can be avoided [38].

Systemic chemotherapy combined with focal therapy has been the mainstay of globe-preserving treatment for less advanced disease [39]. The tumour size undergoes reduction following chemotherapy, and local therapies such as cryotherapy, laser photocoagulation, or TTT are used to eradicate the remaining disease. This combined treatment approach has been shown to be more efficacious for tumour control than chemotherapy alone. By employing combina-

tion therapy, Shields et al. reported tumour control rates of 100% for Group A, 93% for Group B, 90% for Group C, and 47% for Group D eyes [18]. In a recent study from our centre, systemic chemotherapy and focal consolidation was found to achieve outcomes that were comparable to those reported from the West [40]. Close monitoring by a paediatric oncologist is essential during therapy to look for any signs of drug toxicity. Although the VEC regimen is usually well tolerated, side effects include myelosuppression, neutropenia, infections, liver toxicity, and increased risk of second malignancy [41, 42]. Ototoxicity and nephrotoxicity may be rarely observed.

## 1.9 Local Chemotherapy

Although systemic chemotherapy in combination with focal therapy has achieved good outcomes, intravenous chemotherapy can lead to serious toxic side effects including myelosuppression and infection. As a result, newer treatment approaches have focused on localized delivery of chemotherapy to minimize the systemic side effects of intravenous chemotherapy. Routes of local chemotherapy delivery include subconjunctival or sub-tenon injections that are given as an adjunct to systemic chemotherapy. In recent years, targeted forms of drug delivery such as intra-arterial and intra-vitreous chemotherapy have shown promising results and gained popularity.

**Table 1.4** Intravenous chemotherapy for retinoblastoma [5]

Drug	Dosage/route	Schedule	Side effect/remarks
Carboplatin Platinum coordinator compound which cross- links DNA	560 mg/m <sup>2</sup> /day 18.6 mg/kg/day for children <3 years	Day 0	Nephrotoxicity, ototoxicity, neurotoxicity, hypomagnesemia Escalation of dose is done depending on stage of disease.
Etoposide Inhibits DNA	150 mg/m <sup>2</sup> /day 5 mg/kg/day for children <3 years	Days 0 and 1	Allergic reactions, hepatotoxicity, CNS toxicity, hypotension, AML, mucositis. Escalation of dose is done depending on stage of disease.
Vincristine Vinca alkaloid	1.5 mg/m <sup>2</sup> /day (0.05 mg/kg/day for children <3 years) maximum dose 2 mg	Day 0	Neurotoxicity, myelosuppression. Avoid extravasation.

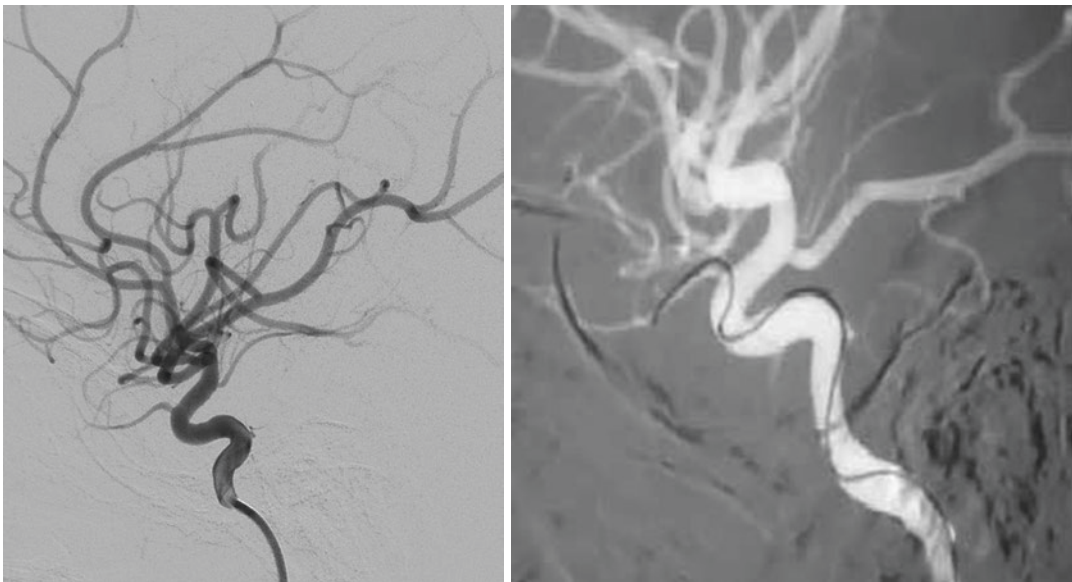
### 1.9.1 Sub-conjunctival/Sub-tenon Chemotherapy

It has been observed that systemic chemotherapy alone may not be sufficient to treat Groups C and D eyes. Friedman et al. reported that only 53% of Reese Ellsworth Group V eyes could be controlled with chemotherapy alone [35]. Chan et al. and Villablanca et al. reported that approximately 40% of group C and 70% of group D eyes failed systemic chemotherapy alone [43, 44]. Therefore, local injections of chemotherapeutic agents have been used with varying degrees of success, usually as an adjuvant to systemic chemotherapy to avoid enucleation and external beam radiotherapy in these cases. Sub-conjunctival carboplatin has been noted to result in favourable outcomes in those tumours that progressed despite ablative therapy [45]. The trial proposed by the Children's Oncology Group (COG) involved the use of systemic chemotherapy with carboplatin, vincristine, and etoposide, along with subtenon carboplatin for group C and D eyes [46, 47]. The sub-tenon route, though slightly more invasive than the sub-conjunctival route, is associated with a decreased incidence of lid swelling and a rapid diffusion of drug. For Group C and D

tumours, the use of 20 mg sub-tenon carboplatin along with chemo-reduction and focal consolidation has been recommended by the Children's Oncology Group [48]. Optic nerve ischaemic necrosis, reduced ocular motility due to fibrosis, orbital fat necrosis and pseudo-preseptal cellulitis are some of the reported side effects of treatment [49–51].

### 1.9.2 Super-Selective Intra-arterial Chemotherapy

In 2004, Japanese investigators described the technique of 'selective ophthalmic artery infusion' (SOAI), where a micro-balloon catheter was positioned by a trans-femoral artery approach at the cervical segment of the internal carotid artery, just distal to the orifice of the ophthalmic artery [52, 53]. Abramson and Gobin further modified the technique of SOAI into direct intra-arterial (Ophthalmic artery) infusion [54]. The technique, known as super-selective infusion, involved advancing a micro-catheter into the orifice of the ophthalmic artery through a trans-femoral artery approach (Fig. 1.10). In a Phase I/II clinical trial, Abramson et al. reported their



**Fig. 1.10** Intra-arterial chemotherapy

initial experience with intra-arterial ophthalmic artery chemotherapy using melphalan in 10 children with advanced retinoblastoma who were indicated for enucleation [54]. Since then, several investigators have reported their experience with selective intra-arterial chemotherapy [55, 56]. Intra-arterial chemotherapy has been reported to be associated with an overall success rate of 55–100% in salvaging the globe, in addition to the advantage of very low systemic toxicity. The most commonly used agent is melphalan; topotecan and carboplatin can be used in recalcitrant cases.

Based on the encouraging results in preliminary studies, Selective Intra-arterial Chemotherapy (SIAC) has also been used as a first line treatment in less advanced cases of intraocular retinoblastoma [57]. Although more widely used for refractory cases, SIAC has also been investigated in treatment naive eyes [58–60]. Chen et al. have studied the effect of IAC in infants less than 3 months of age [60]. Their study suggests that IAC as primary therapy is a feasible and promising treatment for retinoblastoma in infants less than 3 months of age [60]. Simultaneous bilateral ophthalmic artery chemosurgery for bilateral retinoblastoma (tandem therapy) has also been reported [61].

Although intra-arterial chemotherapy has the advantage of fewer systemic side effects as compared to intravenous chemotherapy, there are concerns about retinal toxicity of melphalan. Exposure to fluoroscopy related radiation and ophthalmic artery occlusion are other concerns. Michaels and co-workers reported the toxicities and outcome of 19 eyes in 17 patients with retinoblastoma receiving SIAC treatment between 2008 and 2013 [62]. From the 87 treatments, mild local reactions were common. Myelosuppression was more common after triple-agent SIAC than single-agent melphalan. Further, SIAC is not always a straightforward procedure, and it may require an alternative approach [63, 64]. Alternative routes of intra-arterial chemotherapy for intraocular retinoblastoma appeared in the short term as effective and safe as the traditional drug infusion through the ophthalmic artery.

### 1.9.3 Intra-vitreous Chemotherapy

Intravenous chemotherapy has poor penetration in the avascular vitreous cavity. Hence, vitreous seeds remain the biggest challenge in the management of intraocular retinoblastoma. Intra-vitreous chemotherapy (IViC) has overcome this problem and found to be effective in the treatment of vitreous seeds. Similar to intra-arterial chemotherapy, melphalan remains the drug of choice for IViC. The use of melphalan is based on *in vitro* studies by Inomata and Kaneko [65]. Among the 12 anti cancer drugs that were studied, melphalan was found to be the most effective against retinoblastoma [65].

Munier et al. have described the technique of IViC injections with melphalan drug in a dose of 20–30 µg/0.1 mL [66]. The injection is given 3–3.5 mm away from limbus. The globe is shaken after the injection for uniform distribution of drug in the vitreous. After withdrawing the needle, triple freeze-thaw cryotherapy application is done at the injection site to avoid needle-track seeding. The procedure can be repeated every 7–10 days until a complete response is achieved [66]. Complete response is established if the seeds (1) completely disappear (vitreous seeding regression type 0), or are converted into (2) refringent and/or calcified residues (vitreous seeding regression type I), (3) amorphous, often non-spherical, inactive residues (vitreous seeding regression type II), or (4) a combination of the last two (vitreous seeding regression type III) [66]. With this technique, Munier et al. reported vitreous seed regression rate of 84% in eyes that had already been treated with intravenous and/or intra-arterial chemotherapy [66]. A localised peripheral salt-and-pepper retinopathy at the injection site was the only complication observed [66]. Another study by Shields et al. showed 100% (11/11) success rate with 1–4 cycles of monthly IViC (melphalan 20–30 µg) at 2 year follow-up [67].

Some investigators have also used topotecan as intravitreal injection [68]. Topotecan has a longer half life; it is used in a concentration of 8–20 µg/0.04 mL. Ghassemi et al. studied the

effect of intravitreal topotecan (8–20 µg in 0.04 mL of balanced salt solution) combined with melphalan (40 µg in 0.04 mL of diluent) and found the combination to be safe and effective [68].

It is important to bear in mind that IViC is not a primary treatment modality but should be used as a salvage therapy in cases of recalcitrant and recurrent vitreous seeds.

Careful case selection and meticulous screening is very important. Contraindications for IViC include anterior segment or ciliary body invasion, group E retinoblastoma, presence of complete posterior vitreous detachment, diffuse vitreous seeds in all quadrants and total retinal detachment [69]. The risk of extra-ocular spread following IViC was evaluated by Smith et al. [70]. Of the 315 eyes of 304 patients who underwent 1300 injections, the proportion of patients with extra-ocular spread was found to be 0.003 [70]. Besides the risk of needle track seeding, the drug itself can have side effects on the retinal function. There have been some concerns about permanent melphalan induced retinal toxicity as evidenced by reduced ERG amplitude [69].

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## 1.10 Radiotherapy

Radiation therapy has an established role in selected patients. It may be administered in the form of plaque brachytherapy or EBRT.

### 1.10.1 Plaque Brachytherapy

Plaque brachytherapy is mainly used as a secondary treatment option for recurrent and residual tumours after failure of systemic and focal therapies. The indications include unilateral solitary tumours <16 mm in base and <8 mm in thickness located anterior to the equator upto the ora serrata [71–74]. Larger tumours and those involving the macula are not suitable for plaque therapy. For tumours near the optic disc, special plaques with a notch are used. The most commonly used radioisotopes are Iodine ( $I^{125}$ ) and Ruthenium ( $Ru^{106}$ ) [75–77].  $I^{125}$  seeds are inserted into a gold carrier

to protect the normal surrounding tissue from radiation effects. A dose of 40 Gy is provided to the apex of the tumour with the help of dosimetry planning. The plaque is kept in situ for a period of 2–4 days, until the desired radiation dose has been delivered.

Radiation therapy may be associated with side effects that include dryness of eye, madarosis, cataract, scleral necrosis, retinopathy, papillopathy, optic neuropathy, and strabismus [71–74]. Second malignancies are not associated with local therapy. Shields et al. found plaque brachytherapy to be particularly useful for tumours that failed treatment with other conservative modalities. They observed tumour control in 79% of cases at 5-year follow-up, with young patients without vitreous or subretinal seeding showing the best long-term control [74]. Plaque brachytherapy has come up not only as a secondary treatment modality for recurrent or resistant tumours but also as a primary treatment. The American Brachytherapy Society Ophthalmic Oncology Task Force (ABS-OOTF) recommends primary brachytherapy for unilateral anterior lesions less than 15 mm in base and upto 10 mm in thickness in the absence of vitreous seeding [78].

### 1.10.2 External Beam Radiotherapy

External beam radiotherapy (EBRT) was extensively used for treatment of retinoblastoma prior to the chemotherapy era. Due to concerns about radiation induced growth deformities and second malignancies, the popularity of EBRT declined [79, 80]. Although it has limited use in intraocular retinoblastoma these days, EBRT is used as adjuvant therapy in cases with residual microscopic disease after enucleation, and as part of multi-modal therapy for orbital retinoblastoma. Side effects of EBRT include dryness, foreign body sensation, cataract, radiation retinopathy and papillopathy. Systemic complications like secondary malignancies in cases with germline mutations have been attributed to radiotherapy. Orbital hypoplasia is another side effect of EBRT (Fig. 1.11).

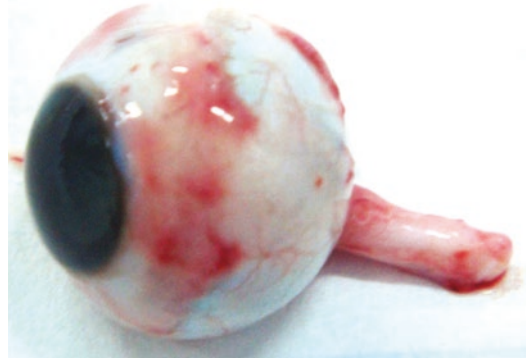


**Fig. 1.11** Late effects of radiation therapy

The advent of newer radiotherapy techniques has led to improved radiation delivery to the target with better sparing of normal tissue. Stereotactic conformal radiotherapy (SCR) uses highly accurate positioning to deliver treatment with small beams. A recent study has shown that SCR provides more homogeneous dose within the target volume and similar or lower doses to the surrounding normal tissues [81]. However, its efficacy over plaque therapy has not been proven. Proton beam therapy also provides a uniform dose coverage of the target and unlike photon beams, does not distribute energy beyond the target. As a result, the incidence of late effects of radiation are minimized [82]. However, proton therapy is expensive and is not widely available. Sethi et al. compared the risk of second malignancies in retinoblastoma survivors treated with photon and proton radiation therapy [82]. A significant difference was observed in the 10 year cumulative incidence of radiotherapy induced second malignancies between the proton and photon modalities ( $p = 0.015$ ) [82].

### 1.11 Enucleation

Although one of the oldest modalities of treatment, enucleation remains the standard of care for advanced intraocular retinoblastoma with poor visual potential. Most often, Group E



**Fig. 1.12** Enucleated eyeball

tumours are treated by enucleation. Unilateral Group D tumours may also be offered enucleation, especially if the potential for vision is poor. Due to late presentation, enucleation is one of the most commonly performed procedures in the developing world [83]. The surgery is done using minimal manipulation, and an optic nerve stump of 15 mm is recommended, to minimize the chances of residual disease at the resected end of the optic nerve. Gross inspection of the enucleated globe should be done to look for any suspicious area (Fig. 1.12). An adequate sized implant should be placed in the socket to restore the lost volume at the time of surgery. Careful microscopic examination of the enucleated specimen should be performed to look for presence of high risk histopathological features. These include tumour infiltration into the iris, ciliary body, anterior chamber, massive choroidal invasion, scleral invasion and post-laminar optic nerve invasion [84]. Cases with one or more high risk features should be treated with six cycles of intravenous chemotherapy (VEC) as prophylactic treatment against local recurrence/systemic metastasis [85]. Presence of tumour cells in the extra-scleral tissues or at the resected end of optic nerve is indicative of residual microscopic disease, which should be treated with adjuvant chemotherapy and radiotherapy. An ocular prosthesis (artificial eye) is usually fitted at six weeks after surgery and every effort should be made to achieve a good cosmetic outcome.



## 1.12 Extraocular Retinoblastoma

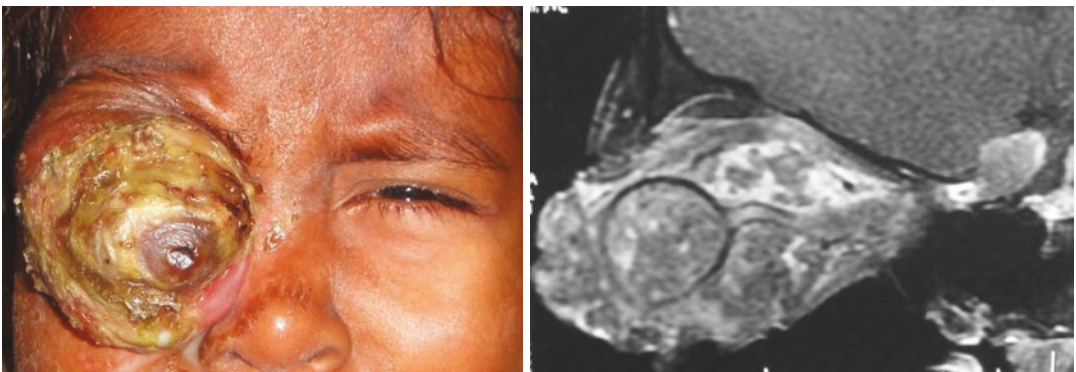
Although extraocular disease is rare in the West, it is not an unusual feature in the developing world, where it constitutes 20–50% of all cases [83, 86, 87]. Extra-ocular disease is associated with a 10–27 times higher risk of metastasis and therefore demands a more aggressive treatment approach [87]. Extra-ocular disease may be non-metastatic (confined to the orbit and regional lymph-nodes) or metastatic. Figure 1.13 shows a child with tumour involving the right eye and extensive orbital invasion.

Orbital exenteration, a mutilating and disfiguring surgery, was used to treat patients with overt orbital disease in earlier days. Studies have shown that a multi-modal approach that consists of neo-adjuvant chemotherapy, surgery, EBRT, and adjuvant chemotherapy is effective in managing local orbital extension [88–90]. Treatment is initiated with 3–6 cycles of systemic chemotherapy. This induces tumour regression and makes enucleation surgery possible. After enucleation, orbital irradiation is administered followed by adjuvant chemotherapy for a total of 12 cycles.

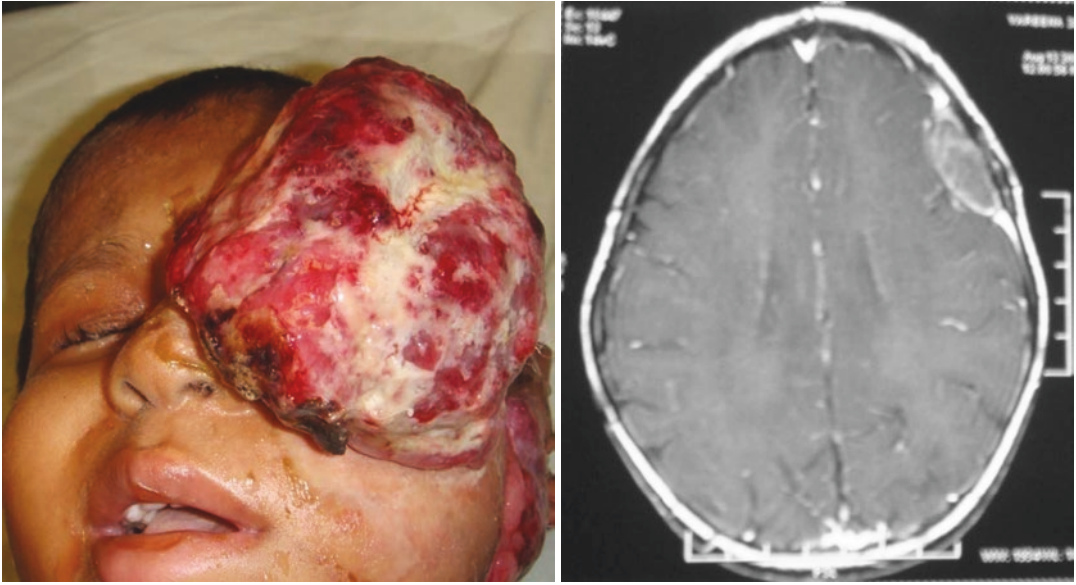
Chantada et al. reported a 5-year EFS rate of 84% in 15 patients with orbital or preauricular disease treated with chemotherapy that included vincristine, doxorubicin, and cyclophosphamide or vincristine, idarubicin, cyclophosphamide, carboplatin, and etoposide [89]. These patients also received EBRT of 4500 cGy administered to

the optic chiasma for patients with orbital disease and to the involved nodes for those with pre-auricular lymphadenopathy.

Recently, a prospective randomized comparative study on 54 cases of Stage III Retinoblastoma (International Retinoblastoma Staging System) was published from our centre [91]. For chemotherapy, patients were randomized into two groups; one group was treated with high-dose triple-drug chemotherapy consisting of VEC and the other group with carboplatin and etoposide, alternating with cyclophosphamide, idarubicin, and vincristine (five drugs). The study showed more effective tumour control and a better safety profile with the VEC protocol [91]. Central nervous system (CNS) metastasis was the most common cause of relapse and death. None of the cases needed orbital exenteration. Patients with metastatic extraocular disease have a poor prognosis when treated with regimens of conventional doses of chemotherapy. Recently, there has been encouraging data to suggest that patients with distant metastatic disease may benefit from high-dose chemotherapy and EBRT in conjunction with bone marrow stem cell transplantation. Metastasis to the CNS can occur in advanced, untreated cases (Fig. 1.14). It is rare for a patient with metastatic CNS involvement to survive using the therapies described above. Second malignant neoplasms are a major concern for survival. Osteosarcoma is the commonest second malignancy; other second neoplasms include rhabdomyosarcoma and melanoma.



**Fig. 1.13** Orbital retinoblastoma



**Fig. 1.14** Metastatic retinoblastoma

### 1.13 Regression Patterns

Differentiation of tumour regression from an incomplete response or recurrence is critical for appropriate management. Upon regression, the tumour usually assumes a smaller size and attains some degree of calcification. While some tumours become completely calcified, others may have minimal or no calcification, making assessment of regression challenging (Table 1.5). Regression patterns following systemic chemo-reduction have been described [92].

### 1.14 Prenatal Diagnosis

Advances in technology have facilitated pre-natal diagnosis of retinoblastoma [93]. Prenatal diagnosis can facilitate anticipatory planning for the child and the family. Both imaging as well as genetic testing can be used for prenatal diagnosis. Investigators have used high resolution ultrasound at 37 weeks of gestation to detect a 2–3 mm elevated lesion in a foetus at risk of heritable retinoblastoma [93]. Soliman et al. compared the conventional postnatal screening of familial retinoblastoma with prenatal RB1 mutation identifi-

**Table 1.5** Regression patterns in retinoblastoma following systemic chemotherapy

Type	Regression pattern
Type 0	No visible remnant
Type 1	Completely calcified remnant
Type 2	Completely noncalcified remnant
Type 3	Partially calcified remnant
Type 4	Atrophic chorioretinal flat scar

cation followed by planned early-term delivery [94]. They concluded that in case of a parent having retinoblastoma, prenatal molecular diagnosis with early-term delivery increased the chances of infants having no detectable tumours at birth, better vision outcomes, and less invasive therapy [94].

To summarize, significant advances have been made in the diagnosis and management of retinoblastoma [95]. A clear understanding of these is essential for achieving the best outcome.

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# Genetics of Retinoblastoma for Patients and Their Families

# 2

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## 2.1 Introduction

Retinoblastoma is the most common intraocular cancer in children. The number of children affected each year in each country can be estimated from the population, live birth rate, and infant death rate. The global incidence rate for retinoblastoma is 1 in 16,000 to 18,000 affected children per live birth world wide and is not impacted by environment or race [1]. However, poverty impacts directly on outcomes, resulting in estimated 30% survival with vision in low-

income countries through late diagnosis and poor awareness of parents and health care systems. High-income countries show survival greater than 95%.

Retinoblastoma is the first cancer recognized to be caused by genetic alterations. While “mutation” has been used extensively to mean the genetic change in the gene causing disease, this word is no longer considered appropriate in genetic counseling [2]. A high proportion of patients who are predisposed to retinoblastoma due to a constitutional change in the *RBI* gene, have no family history: the pathogenic variant is most commonly “new” to the affected child and the family. As explained below, the term “germline” is misleading to describe every patient who has retinoblastoma who carries an *RBI* pathogenic variant. The new staging classification [3] for retinoblastoma has a simpler and more accurate designation, H1, described in detail below.

Retinoblastoma is too rare for universal screening of all babies, but genetic testing of blood of each affected child for any *RBI* pathogenic variant in the predisposing tumor suppressor gene, *RBI*, supports family counseling and attention to H1 high risk relatives to optimize early diagnosis, safe eye salvage, and life-long surveillance for the secondary cancers in H1 persons carrying *RBI* pathogenic variants.

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## 2.2 Genetic Basis of Retinoblastoma

We have a rich understanding of the genetic basis and pathogenesis of retinoblastoma. In 1971, Knudson's observation that only two events ("hits") occurred to generate retinoblastoma was based on the simple observation of age at diagnosis of children with bilateral vs. unilateral retinoblastoma [4]. It had been observed that children with bilateral retinoblastoma were genetically susceptible to develop tumors in both eyes, and could pass this risk to their offspring. The location of this predisposition gene was suspected to be on chromosome 13q because children missing part of the "D" group of chromosomes [5–9], developed retinoblastoma. Studies of tumor and blood DNA then showed that when genomic markers could distinguish the two copies of chromosome 13 in blood, many retinoblastoma tumors showed only one, which was often duplicated [10, 11].

This observation led to the discovery of the first gene which encodes a protein that normally suppresses development of cancer. The *RBI* tumor suppressor gene is located at chromosome 13q14.2 [12, 13], and encodes the retinoblastoma protein (pRB), an important regulator of the cell division cycle and genomic stability in most cell types [1].

The first hit [4] that predisposes children to develop retinoblastoma is the functional loss of one of the two *RBI* alleles in most or all cells of the patient (*RBI*<sup>+/-</sup>). Offspring of *RBI*<sup>+/-</sup> persons can inherit the pathogenic allele. The second hit is an acquired *RBI* somatic pathogenic variant that arises in one susceptible retinal cell and initiates cancer progression. Because only one additional hit is required, *RBI*<sup>+/-</sup> children usually develop multiple retinoblastoma tumors in both eyes, but may also have only unilateral disease.

Non-heritable retinoblastoma develops in a person with two normal *RBI* alleles in their constitutional cells (*RBI*<sup>+/+</sup>). The two pathogenic variants or "hits" in both *RBI* alleles in the cancer are somatic, only in the retinal cell that becomes the tumor. Because *RBI*<sup>+/+</sup> persons are

not predisposed, they develop only one unilateral tumor. In addition, a novel form of non-heritable unilateral retinoblastoma has been recognized: in 2% of unilateral patients with normal *RBI* alleles in the tumor (*RBI*<sup>+/+</sup>), the *MYNC* oncogene is highly amplified, driving the retinal cells to proliferate out of control and cause aggressive cancer [14].

Commonly, the genomic instability induced by loss of *RBI* leads to other specific genomic alterations, including gains in oncogenes *MDM4*, *KIF14* (chromosome 1q32), *MYCN* (chromosome 2p24), *DEK* and *E2F3* (chromosome 6p22) and loss of the tumor suppressor gene *CDH11* (chromosome 16q22-24) [15, 16]. It is interesting that this "signature" of genomic changes in retinoblastoma tumors was first identified in 1985 by study of karyotypes of tumors [17], and is virtually unchanged in 2018 highly sophisticated "next generation" genomic analysis of retinoblastoma tumors [18].

The human retinal cell that is highly susceptible to become cancer when both *RBI* alleles are non-functional, shows properties of cone photoreceptor precursor cells [19]. Mature cones reside in the outer photoreceptor layer of retina, but the earliest identified very small retinoblastoma tumors in newborn predisposed (*RBI*<sup>-/-</sup>) infants are clearly observed by optical coherent tomography to be located in the inner nuclear layer [20, 21]. It is speculated that a human *RBI*<sup>-/-</sup> cone precursor cell remains in, or mislocalizes to the inner nuclear layer [1, 21].

Loss of *RBI* is not sufficient for malignant transformation: rather, the *RBI*<sup>-/-</sup> developing retinal cell that has lost both copies of *RBI* (*RBI*<sup>-/-</sup>) fails to complete differentiation, and instead forms a benign precursor tumor, retinoma [22]. Signature genomic changes characteristic of retinoblastoma were found in both retinoma and retinoblastoma in individual eyes, with adjacent retina normal. The extent and magnitude of the genomic copy number changes were less in retinoma, and increased in extent in adjacent retinoblastoma. The key genomic changes that push benign retinoma to malignant retinoblastoma are still unknown.



### 2.3 Cancer Staging

Cancer staging of retinoblastoma depends on the anatomic location of the tumor and extent of spread outside the retina, and is intended to help clinicians identify patients at high risk for metastases and assess the likelihood to safely treat and salvage the eye. Prognosis for the patient, eye and vision depend on the location of tumor origin, size of tumor, extent of subretinal fluid, presence of tumor seeds under the retina and in the vitreous, and features on pathology of the enucleated eye that suggest high risk that the tumor may have already metastasized. These children need further treatment before metastatic cells are ever detected (adjuvant chemotherapy). The 2017 AJCC 8th edition of cancer staging for retinoblastoma is based on international consensus and evidence from an international survey of 1728 eyes including data on the initial clinical and pathological features at first diagnosis and eye and life outcomes. Four previous eye staging systems [23–26] were compared for success to predict eye salvage without using external beam radiation. The same data was then analyzed by the new 8th edition retinoblastoma staging system, showing

better separation of eye outcomes than any of the previous systems [3]. Retinoblastoma is the first cancer to recognize the importance of genetic status in patient outcome, including “H” for heritability (Table 2.1) [3, 8].

Patients with bilateral or trilateral tumor, positive family history or identification of a *RBI* pathogenic variant on high sensitivity genetic testing are considered H1. Unilateral patients who have not been tested, or who show a variant in *RBI* of unknown significance (which could be a normal polymorphism) are categorized HX. H0 applies to siblings and offspring of an H1 person who test negative for the known familial *RBI* pathogenic variant of their relative. H0\* is proposed to categorize probands in which no *RBI* pathogenic variant is found in blood, and parents of affected children who test negative in blood for their child’s known *RBI* pathogenic variant, but who remain at low risk (<1%) of undetectable mosaicism (the *RBI* pathogenic variant arose in only one cell of the developing embryo, which then affected the germline) [27]. However, offspring of H0\* subjects who test negative for the known familial *RBI* pathogenic variant are truly H0; those who test positive are H1 and require

**Table 2.1** AJCC 8th edition cancer staging includes H for heritability

Heritability	Definition	Examples
HX	Unknown or insufficient evidence of a constitutional <i>RBI</i> pathogenic variant	Unilateral without genetic testing
H0	Absence of familial <i>RBI</i> pathogenic variant in blood	Offspring who test negative for parent’s <i>RBI</i> pathogenic variant Parents and siblings of proband who has homozygous methylation of <i>RBI</i> in tumor and not in blood Parents and siblings of proband who is negative in blood for both <i>RBI</i> pathogenic variants found in tumor
H0*	Absence of familial <i>RBI</i> pathogenic variant in blood and residual <1% risk of undetectable mosaicism	Parents of H1 proband who test negative for proband’s <i>RBI</i> pathogenic variant Proband who tests negative in blood for both <i>RBI</i> pathogenic variants found in tumor
H1	Presence in blood of <i>RBI</i> pathogenic variant	Bilateral retinoblastoma Trilateral retinoblastoma (retinoblastoma with intracranial CNS midline embryonic tumor) Retinoblastoma and close family history of retinoblastoma

intensive surveillance for retinoblastoma starting from birth and life-long for other cancers.

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## 2.4 Genotype-Phenotype Correlations

The number of retinoblastoma tumors each person develops depends on the type of *RBI* pathogenic variant [28]. Nonsense and frame shift germline pathogenic variants that lead to absent or truncated dysfunctional *RBI* protein (pRB) result in almost complete penetrance (>95% of patients develop retinoblastoma) and high expressivity (more than 90% have bilateral disease). Partially functional *RBI* pathogenic variants show lower penetrance (more carriers of the pathogenic allele without retinoblastoma) and reduced expressivity (fewer tumors, more unilateral disease) with later onset of tumor [29]. Reduced penetrance *RBI* pathogenic variants include in-frame or missense changes, distinct splice and intronic pathogenic variants [30], pathogenic variants in the promoter region that reduce the level of *RBI* transcription [28], and methylation of the promoter reducing expression of that allele [31]. *RBI* pathogenic variants in exons 1 and 2, or exons 26–27, may be low penetrance because they can result in a truncated but otherwise normal, pRB. Large deletions encompassing *RBI* and the *MED4* gene result in only 30% of the number of tumors that full penetrance/expressivity pathogenic variants do, since loss of heterozygosity of such a deletion (the second hit in 70% of retinoblastoma [11]) results in 0 copies of the essential *MED4* gene, and such cells cannot survive [32].

Some *RBI* mutant alleles show a parent-of-origin effect. Best characterized are the c.607+1G>T substitution affecting splicing [33, 34] and c.1981C>T (p.Arg661Trp) missense pathogenic variant [35]. Both skew *RBI* expression in favor of the maternal allele: if the mutated allele is inherited from the mother, expression of pRB is sufficient to suppress tumor development to as few as 10% of carriers; when inherited from the father, the low expression of the same mutated *RBI* allele leads to full penetrance retinoblas-

toma. The mechanism of reduced impact of these specific pathogenic variants when inherited from the mother is due to a differentially methylated region in intron 2 called CpG85 which shows parent-of-origin-specific DNA methylation [36], causing the preferential expression of maternal vs paternal allele [35].

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## 2.5 Risks for Each Individual Depend on Genetic Test and Clinical Presentation

Genetic testing allows clinicians to select a surveillance program depending on the level of risk [37]. All bilaterally affected children carry a *RBI* pathogenic variant and are H1: in addition to treatment of their bilateral disease, they benefit from surveillance of the retinas to detect more developing retinoblastoma tumors in infancy, and lifelong surveillance for second cancers. Unilaterally affected children without a close family history have 15% to 19% [8] likelihood to carry an underlying *RBI* pathogenic variant; high sensitivity genetic testing can determine which of these children are H1 and at a high risk to develop bilateral disease, or if no *RBI* pathogenic variant is found they are H0\* with <1% residual risk of an unidentified *RBI* pathogenic variant.

Once the *RBI* pathogenic variant is known in a H1 proband with bilateral or unilateral retinoblastoma, their offspring or siblings who test positive (also H1) for that specific *RBI* pathogenic variant have near 100% risk in infancy for retinoblastoma, and a lifelong risk for second cancers [38]. For patients with Li-Fraumeni cancer predisposition syndrome, a surveillance protocol including whole body MRI has been shown to detect asymptomatic cancers and improve 5-year survival rate [40]. A surveillance protocol to diagnose the types of second cancers common in retinoblastoma H1 persons is needed. Low penetrance/expressivity *RBI* pathogenic alleles have reduced risk for retinoblastoma, as described above, but no data is available on their lifelong risk for second cancers.

All bilateral probands are classified as H1, even in the event that no *RBI* pathogenic variant

**Table 2.2** Checklist for genetic counseling for families affected by retinoblastoma

Retinoblastoma genetic counselling checklist	H1	H0	HX	H0*
<i>H status proband</i>				
<i>Eyes</i>				
Early detection and regular monitoring for RB tumor by EUA	X		X	
Routine clinic exam to look for retinoma				(X) <sup>a</sup>
<i>Risk of secondary malignancy</i>				
Pathogenic RB1 variant increases risk of other cancers	X		X	(X) <sup>a</sup>
Regular surveillance (life long)	X		X	(X) <sup>a</sup>
Avoidance of direct sunlight	X		X	(X) <sup>a</sup>
<i>H status parent</i>				
<i>Prenatal counselling</i>				
Prenatal genetic diagnosis	X			
Early full term delivery at 36 weeks if fetus shown to be H1	X			
Genetic test for baby, confirm H1 status at birth	X		X	X <sup>b</sup>
Examination without anesthetic from birth until tumor found	X		X	X <sup>b</sup>
Examination under anaesthetic if tumor found and between 3 months and 3 years age	X		X	X <sup>b</sup>

<sup>a</sup><1% risk to be H1; unknown second cancer risk

<sup>b</sup>Until genetic test determines if H0 or H1

is found in blood. The number of *RB1* pathogenic variants found divided by the number of bilateral probands tested is the clinical test sensitivity of a given testing lab, and is important to validate *RB1* pathogenic variant test in that laboratory (Table 2.2). Best practice recommends that the lab report include this clinical test sensitivity in order to guide families and clinicians in selecting a surveillance protocol for the relatives. Labs highly focused on detection of pathogenic *RB1* alleles currently have 97% clinical test sensitivity [8]. The missing 3% of bilateral patients with no identifiable *RB1* pathogenic allele are most likely to have low-level undetectable mosaicism and remain H1. Siblings and offspring of an H1 proband require regular examination as indicated in Table 2.2 and Skalet et al. [37].

Probands with unilateral retinoblastoma where no *RB1* pathogenic variant is found in blood and with no tumor available for testing, are scored H0\*, and carry a residual risk of undetectable mosaicism. The level of residual mosaicism risk will vary depending on the clinical *RB1* test sensitivity of the testing lab. Surveillance protocols to monitor for retinoblastoma development in the other eye, and in siblings and offspring are guided by these calculations [37].

When the blood test is negative for both *RB1* pathogenic variants identified in tumor of unilateral probands, the proband is still H0\* with residual small risk of undetected mosaicism. However, since mosaicism cannot be inherited, the proband's parents, siblings and offspring who test negative for the two specific *RB1* pathogenic variants detected in the proband's tumor can be scored H0, and require no additional cancer surveillance. If an offspring of the unilateral H0\* proband carries one of the pathogenic *RB1* alleles of the original tumor, the unilateral proband is proven to be H1 mosaic and will benefit from immediate retinal surveillance to facilitate early treatment [29].

## 2.6 Availability of Test

Molecular testing for *RB1* pathogenic variants in laboratories exhibiting high clinical sensitivity identifies the causative *RB1* variant in 97% of bilaterally affected patients [8]. This knowledge supports surveillance of infants and adults depending on their risk to be H1, and carry their family's pathogenic variant [37]. Clinicians can use these guidelines to determine the need for examination under anesthesia, frequency of vis-

its, need for life long oncological surveillance, prenatal counseling and early treatment of H1 babies.

However, high sensitivity genetic testing is not available worldwide, particularly in developing countries, due to lack of reliable local expertise and cost barriers for testing abroad. In such circumstances, the clinicians' knowledge of retinoblastoma is of utmost importance, to identify individual family members at risk of retinoblastoma and lifetime risk of second malignancies. A future effective tumor surveillance program may decrease the morbidity and mortality of each at risk H1 individual.

Patients and their family also benefit from knowledge of the genetic basis of retinoblastoma so that they seek appropriate and prompt genetic counselling. Sometimes, even though parents were aware that retinoblastoma is heritable, they may not understand that their children need to be screened from birth. Early eye screening is associated with lower tumor burden, lower treatment burden, higher rate of ocular salvage and better visual outcome [40].

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## 2.7 Genetic Counseling for Families with Retinoblastoma

“Genetic counseling is the process of providing individuals and families with information on the nature, inheritance, and implications of genetic disorders to help them make informed medical and personal decisions” [28]. Knowing the risk for each new baby in a family with history of retinoblastoma is very important to save vision, eyes, and life.

When either parent is H1 or H0\* [8], two prenatal options are available to determine if the fetus has inherited the known *RBI* pathogenic variant: chorionic villus sampling (CVS) during the first trimester and amniocentesis during the second and third trimester. There is a low risk of

miscarriage due to the procedures, 1% and 0.5% respectively. Parents can chose to use the fetal status (H1 or H0) information for pregnancy management decisions. They can opt to terminate or proceed with the pregnancy. Parents can also consider a third trimester amniocentesis when the fetus is more mature at which time the procedural risk is that of early premature delivery rather than miscarriage. If parents decline invasive procedures, they can opt for a series of third trimester fetal ultrasounds beginning at 30–32 weeks of pregnancy followed by a blood test from the baby at birth to test for the *RBI* pathogenic variant. However, only medium to large retinoblastoma will be detected by obstetrical ultrasound [29, 41].

If the fetus is negative for the parent's/familial *RBI* pathogenic variant (H0), there is no need for any intervention or examination after birth as the baby carries the same risk as the general population [37].

If the fetus is H1 and carries the parent's *RBI* pathogenic variant, early term delivery at 36 weeks gestation is shown to optimize vision and eye outcomes, supporting clinical examination at birth of both retinas [29]. Highest sensitivity to find tiny retinoblastoma before they even extend out of the inner nuclear of the retina is obtained by hand-held optical coherent tomography and wide-field retinal imaging without anaesthetic: early detection of a tumor increases the opportunity to optimize vision and chance to save the eye [42]. When a tumor is noted, EUA is necessary for full documentation and treatment. With the common type of pathogenic *RBI* gene, that produces no *RBI* tumor suppressor protein, there is >90% chance that the child will develop retinoblastoma tumors at some time in childhood. The infant needs regular examination without anesthesia, and after about 3 months of age under anaesthetic, even if no tumor has been noted on full clinic exams [37]. The H1 infant also carries a lifetime risk of developing second cancers [38].

## 2.8 Case Examples

### 2.8.1 Case 1

Age 1 year, only child, diagnosed with bilateral retinoblastoma, was treated with right eye enucleation (Stage T2b, pT1), showing tumor adherent to the optic nerve head. Genetic test showed *RBI* pathogenic deletion, confirming that the child is H1. The *RBI* pathogenic deletion was not found in either parent.

#### Risk to sibling

- Both parents do not show the pathogenic *RBI* deletion in blood and are H0\*.
- The likelihood of having another child with retinoblastoma is <1%, due to remaining risk of undetectable low-level mosaicism in either H0\* parent.
- Each sibling can be tested at birth for the probands' *RBI* pathogenic variant. While the chances are low, this will ensure intense surveillance for tumors in infancy for only those siblings found to carry the proband's pathogenic variant and are H1.
- Sibs who test negative are H0, at population risk for retinoblastoma.

#### Risk to future offspring of proband

- Each offspring carries a 50% risk to inherit the *RBI* pathogenic variant.
- Prenatal diagnosis with early term delivery will optimize outcomes for any H1 fetus found to carry the *RBI* pathogenic variant.
- Offspring who test negative are H0, therefore at population risk for retinoblastoma.

#### Risk of secondary malignancy

- The *RBI* pathogenic variant in H1 persons imposes an increased lifetime risk of other cancers, specifically osteosarcoma, soft tissue sarcoma, melanoma and others.
  - Regular surveillance of signs and symptoms of secondary malignancies is suggested.

- Protocols for surveillance for second cancers are being developed and may include whole body MRI.
- Avoidance of excessive direct sunlight is recommended.

### 2.8.2 Case 2

The second child in a family with no history or prior knowledge of retinoblastoma was diagnosed at age 1.5 years with unilateral tumor, treated by enucleation (Stage cT3c, pT2). Genetic test showed the child is H1 with a *RBI* pathogenic variant (c.2086A>T). Blood test for the proband's *RBI* pathogenic variant revealed that the mother is H1 and has the same pathogenic *RBI* variant in 10% of her white blood cells (i.e. she is mosaic for the pathogenic variant).

#### Risk to sibling

- Mother carries a *RBI* pathogenic variant in 10% of her white blood cells (H1).
- For mosaic individuals, the proportion of cells with the *RBI* pathogenic variant in the various tissue types is dependent on the timing of the pathogenic event in embryogenesis. The maternal gonadal cells may or may not be affected, and if affected, may involve only a portion of the germ cells. Therefore, the risk to the proband's siblings of inheriting the pathogenic variant is between 0% and 50%. Each sibling can be tested for the pathogenic *RBI* variant at full term birth, or prenatally to facilitate early term delivery if H1.
- Siblings who test negative are H0, at population risk for retinoblastoma.

#### Risk to future offspring of proband

- Each offspring carries a 50% risk to inherit the pathogenic *RBI* variant.
- Prenatal diagnosis with early term delivery will optimize outcomes for any fetus found to carry the pathogenic *RBI* variant.
- Offspring who test negative are H0, at population risk for RB.

### Risk of secondary malignancy

- The pathogenic *RBI* variant in H1 persons imposes an increased lifetime risk of other cancers, specifically osteosarcoma, soft tissue sarcoma, melanoma and others. The risk for mosaic H1 person is assumed to be less but no evidence yet confirms this.
- Regular surveillance of signs and symptoms of secondary malignancies is suggested.
- Protocols for surveillance for second cancers are being developed and may include whole body MRI.
- Avoidance of excessive direct sunlight is recommended.

### 2.8.3 Case 3

The H1 mosaic mother of the proband in case 2 is now pregnant.

#### Risk to the unborn child

- Test for the pathogenic *RBI* variant at full term birth, or prenatally to facilitate early term delivery if H1.

## 2.9 On the Horizon

Genetic testing undeniably helps to identify patients at risk and facilitates earlier treatment, increasing the probability of globe and life salvage for heritable retinoblastoma patients [40].

The analysis of cell free placental DNA (cfpDNA) in maternal plasma is an effective and less invasive method of screening for common fetal chromosomal defects. The concept that placental DNA could be found in maternal plasma was discovered in 1997 [43], and is now reality in clinical care [44]. Although prenatal cfpDNA genetic testing for *RBI* variants is not yet clinically available, this strategy could be a non-invasive tool in decreasing the risks of miscarriage associated with amniocentesis and chorionic villus sampling.

The quest for gene targeted therapies for retinoblastoma is ongoing [45]. Other genetic

changes in retinoblastoma tumor cells are hopeful targets for novel, specific therapies [46], such as differential expression of specific microRNAs, recurrent pathogenic variants in the *BCOR* and *CREBBP* genes, up regulation of spleen tyrosine kinase (SYK oncogene) and epigenetic changes that promote increase expression of the SYK oncogene enhancing retinoblastoma cell survival [45].

However, the genomic instability resulting from loss of *RBI* [22] undermines successful gene targeted treatment, pointing to early diagnosis and definitive treatment while the tumor is intraocular without invasion of choroid and optic nerve, as the most effective way to save lives.

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# Changing Trends in Retinoblastoma Management and What Is in Store for the Future

# 3

Jesse L. Berry

## Key Points

- Retinoblastoma is the most common intraocular tumor in childhood
- The management of this tumor has changed significantly over the past several years including advances in local delivery of chemotherapy with intravitreal injection now done via safety enhanced techniques
- Seeding regression is nearly 100% with intravitreal chemotherapy however toxicity has been reported and the mechanisms and risk-factors have not yet been elucidated
- Advances have also been made in the use of hand-held Optical Coherence Tomography for diagnosis and monitoring
- The high-resolution imaging provided by OCT enables enhanced detection of tumors, including those that are “invisible” on funduscopy.
- Optical coherence tomography has demonstrated the potential to detect recurrences masked by retinal scars
- Imaging of small tumors may help us better understand the cell of origin for retinoblastoma

- Retinoblastoma is known to have a genetic underpinning secondary to a mutation in the RB1 tumor-suppressor gene; nonetheless the genetic, genomic and epigenetic changes at the level of the tumor have not been readily assessed or correlated with clinical features or prognosis due to the inability to biopsy this tumor. This is a broad area for future research.

## 3.1 Retinoblastoma

Retinoblastoma (Rb) is the most common intraocular cancer of childhood with an incidence of 1 in 15,000 live births or about 12 per million children ages 0–4 years [1, 2]. Retinoblastoma accounts for 2% of all childhood cancers and approximately 8% percent of cancer in the first 4 years of life [3]. Worldwide there are 9000 new cases annually with the greatest number of cases seen in Asia and Africa where the birth rate is higher [4]. However the rate per live birth remains the same and no significant gender or racial predilection for the development of Rb has been described [5]. Maternal nutrition [6], HPV infection [7, 8], and advanced paternal age [9] have been suggested as predisposing etiologies but have not been definitively confirmed. Worldwide, the survival of children with retinoblastoma has improved [10] however disparities in the treatment and survival of children with this ocular cancer remain [11–16]. The treatment of retinoblastoma continues to evolve with a focus on

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more localized therapies to spare systemic toxicity. Nonetheless, there remains a critical need for personalized therapies. Retinoblastoma was one of the first cancers with a known genetic underpinning due to a mutation in the *RB1* retinoblastoma tumor suppressor gene (RB1) [17, 18], and has provided enormous insights into cancer biology; however, because this tumor cannot be safely biopsied, we still know very little about the genetic, genomic and epigenetic changes in this tumor that may affect treatment and prognosis for eye salvage [19]. This chapter will discuss the diagnosis, staging, and current treatment paradigms for retinoblastoma as well as discuss the future of this disease.

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### 3.2 Diagnosis and Staging

The most common presenting sign of retinoblastoma is leukocoria, or loss of the red reflex, followed by strabismus [20]. Because retinoblastoma is rare, and screening requires a dilated, or low-light examination for loss of the normal red reflex, retinoblastoma remains undiagnosed until the cancer is quite advanced. Sometimes the tumor progresses to that point that it undergoes massive intratumoral necrosis and the child presents with significant ocular and periocular inflammation mimicking endophthalmitis or preseptal/orbital cellulitis [21].

Any child with leukocoria, strabismus or periocular inflammation should undergo a dilated fundus examination by an ophthalmologist followed by an examination under anesthesia (EUA) for any concern for retinoblastoma. The differential diagnosis of retinoblastoma includes other causes of leukocoria, such as Coats, persistent fetal vasculature (PFV), retinal astrocytic hamartoma, retinopathy of prematurity (ROP), familial exudative vitreoretinopathy (FEVR), retinal detachment, endophthalmitis, toxocariasis, toxoplasmosis, (old) vitreous hemorrhage and cataract [22].

On clinical examination, classically, retinoblastoma demonstrates single or multiple creamy white nodular retinal-based masses with prominent intralesional blood vessels. There are three primary clinical patterns of retinoblastoma

growth: endophytic, exophytic and rarely diffuse infiltrating wherein a distinct mass is not seen. Endophytic growth occurs when the tumor grows from the retina into the vitreous cavity and is frequently associated with vitreous seeding, wherein small pieces of the tumor break off and proliferate in the vitreous compartment [23]. Exophytic growth occurs when the tumor expands in the subretinal space causing exudative retinal detachments and subretinal seeding. This growth pattern is more likely to demonstrate invasion to the choroid, a known risk factor for orbital relapse and metastatic disease [23]. Advanced tumors generally demonstrate a combination of these growth patterns. Pathognomonic features of retinoblastoma include intralesional calcium and tumor seeding, in the vitreous and subretinal spaces. This can occur either at diagnosis or in association with a tumor recurrence. More information on diagnosis can be found in Chap. 1.

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### 3.3 Imaging Modalities for Retinoblastoma

Imaging modalities can be critical in the diagnosis of retinoblastoma. The most commonly used modality is b-scan ultrasonography which frequently demonstrates a dome-shaped retinal mass with diffuse intralesional calcium [24]. Calcium can often be most clearly seen on Computed Tomography (CT) scans, however it is not recommended that this modality be used if there is a suspicion for retinoblastoma given exposure to radiation in a child with a possible cancer predisposition syndrome [25, 26].

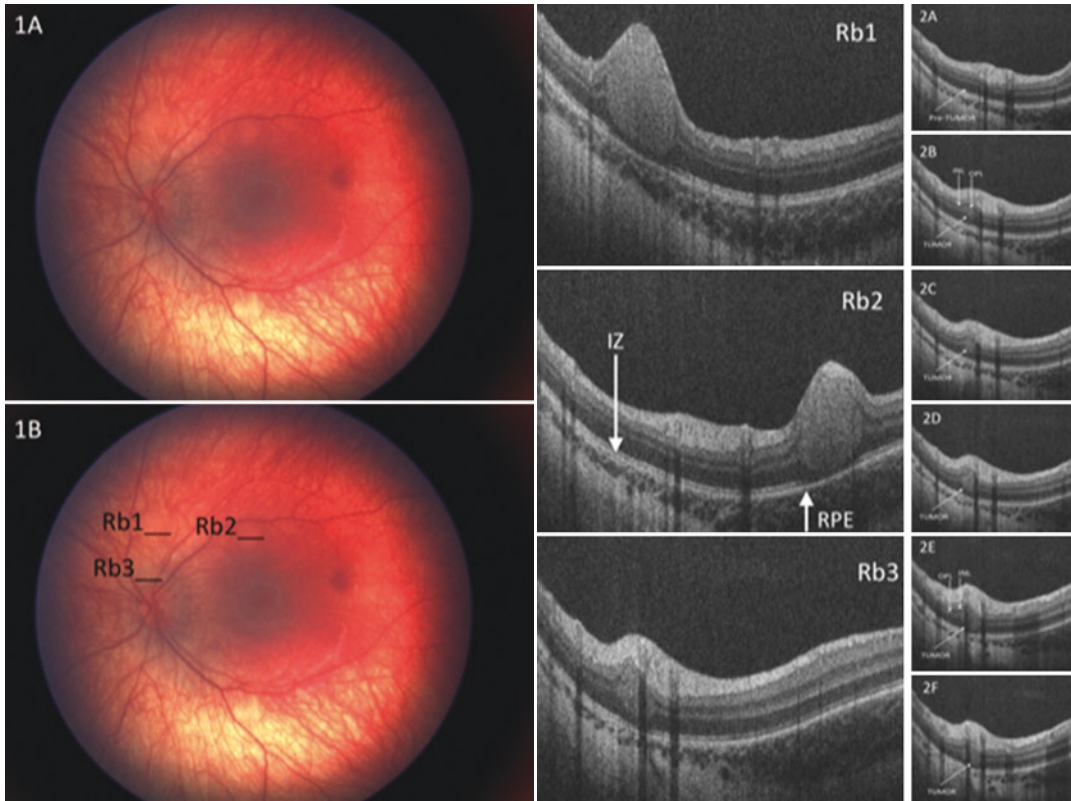
Magnetic resonance imaging (MRI) is the preferred imaging modality for most clinicians and recommended at initial staging. On *T1* imaging, retinoblastoma is slightly hyperintense (bright) to the vitreous. The tumor demonstrates moderate to marked enhancement. On gadolinium-enhanced *T1* weighted images, finely dispersed areas of low signal intensity correspond to areas of calcification. On *T2* weighted imaging, the tumor is classically dark compared with the vitreous. The partially calcified areas may appear as

hypointense foci [27]. Aside from its diagnostic value, MRI is performed with three main goals: (1) determine whether there is optic nerve extension, which is often better seen on fat-suppressed imaging [28] (2) extraocular/orbital extension, and (3) trilateral or tetralateral retinoblastoma. Trilateral retinoblastoma refers to a concomitant primitive midline neuroectodermal tumor (PNET) in the pineal region or the suprasellar cistern. Trilateral disease is found in 1.2–6.7% of patients with retinoblastoma and can be found at diagnosis or during/after treatment of the ocular disease [29]. Tetralateral (or quadrilateral) retinoblastoma is defined as the present of intraocular retinoblastoma and tumor in both suprasellar and pineal regions. MRI is often used as continued screening for these CNS tumors up to the age of 3 years (5 years in some centers). Post-enucleation enhancement in the orbit and the cut end of the optic nerve has been described on MRI and can be seen in these screening evaluations. Without a mass or clinical signs of orbital recurrence, this should be considered a benign finding [30]. Fluorescein angiography (FA) can also be critical in the diagnosis of retinoblastoma, particularly when Coat's disease is also being considered on the differential. Classically, FA demonstrates changes in the caliber of both small and large caliber vessels, with intratumoral retinal leakage and neo-vascularization of the iris [31]. General information on the imaging modalities available for choroidal tumors can be found in Chap. 4.

Finally, in the last several years, the advent of hand-held Optical Coherence Tomography (OCT), which allows for acquisition of images from an anesthetized, supine patient, has brought OCT into the realm of pediatric ocular oncology. The application of OCT has become critical for the management of retinoblastoma including detecting normal retinal anatomy underneath tumors, monitoring treatment response, identification of very small 'invisible' tumors, as well as early retinoblastoma recurrences [32–41]. As shown in Fig. 3.1, small retinoblastoma tumors appear as a smooth round, grey homogenous lesion involving the outer retina; there is 'tenting' of the overlying inner retinal layers. Larger tumors demonstrate posterior shadowing artifacts as well

as more extensive involvement of the inner retinal layers [37]. It is difficult to distinguish retinoblastoma from benign retinocytomas on OCT, however, longitudinal perspective showing stability of the lesion can aid the clinician in making appropriate management decisions [42]. Use of OCT helps define other associated features such as sub-retinal fluid, intraretinal fluid, or intra-tumoral calcifications which may be difficult to assess when significant structural distortions from the tumor obscure the normal adjacent retina. For example, the ability to identify a fovea that has not been damaged by direct tumor involvement and/or associated fluid suggests that the future vision in the eye may be intact and thus the eye may merit salvaging therapy. Imaging of the vitreous with OCT imaging can also be valuable in clinical decision making. Even in the era of intravitreal injections of chemotherapy, vitreous seeding is the main cause of tumor relapse and requires more aggressive management. Clinically, vitreous seeds may be difficult to detect if they present as very fine dust type-seeds scattered just anterior to the retina. While difficult to detect on funduscopy, these are well visualized with OCT [43]. As shown in Fig. 3.2, OCT can be used to image vitreous seeding of various morphologies including large spherical, hollow seeds, with posterior shadowing on the retina [44].

As smaller and smaller tumors are imaged, OCT may also help us understand the cell of origin for retinoblastoma, which remains unclear. An early description of small tumors on OCT described lesions "centered in the inner nuclear layer (INL)" that appeared to "consume" the middle layer while "sparing of the outer retinal layer" [36]. This led the authors of that paper to conclude that the cell responsible for retinoblastoma originated from the INL. However, the smallest lesions imaged to date appear to involve mostly the outer nuclear layer (ONL) and outer plexiform layer (OPL) with some extension into the INL. This supports the hypothesis that retinoblastoma shares a cellular lineage with the photoreceptors [40]. This is further supported by the characteristic finding of the normal outer plexiform and inner retinal layers "draping" over the outer retinal tumor [45]. Involvement of inner



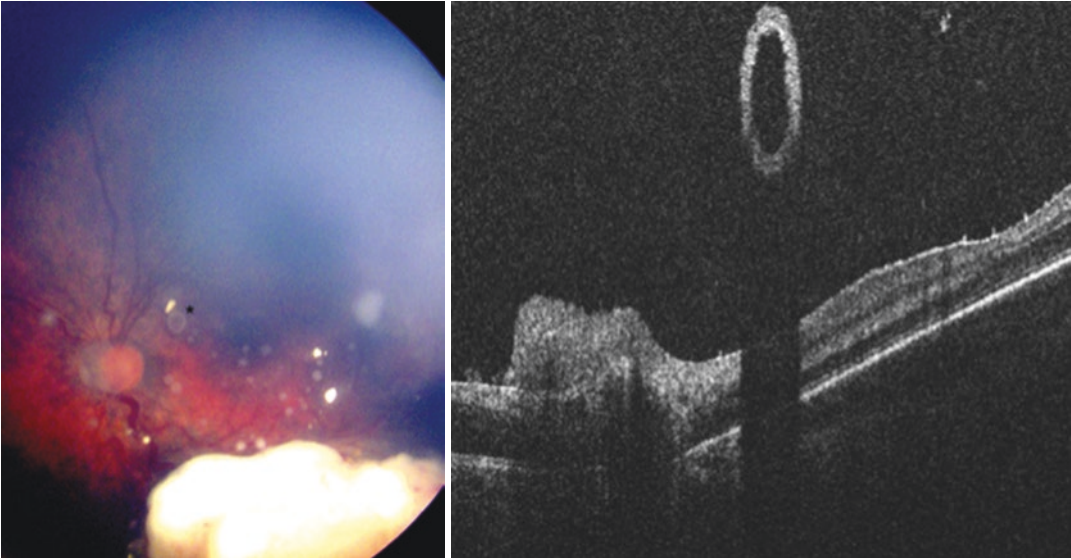
**Fig. 3.1** OCT features of small retinoblastoma. (1A) Color fundus photograph of the left eye demonstrates 3 retinoblastoma tumors. (1B) Color fundus photograph of the left eye demonstrates 3 retinoblastoma tumors, marked by the arrows. The smallest tumor, barely visible on fundoscopy, lies just superior to the optic nerve. Rb1-3: Spectral-domain OCT of the three tumors shows homogeneous dome shaped masses with overlying inner retinal draping. Tumor #3 is located in the outer retina involving the outer nuclear and possibly the outer plexiform layer. The inner nuclear (INL) and inner plexiform layer (IPL) drape over the tumor. There is also an outer retinal abnormality in all tumors affecting the external limiting mem-

brane (ELM), inner segment-outer segment junction (ISOS), ellipsoid zone (EZ), and interdigitation zone (IZ). There is shadowing on OCT from the retinal vessels overlying the tumor which are also seen clinically. (2A–F) Optical Coherence Tomography (OCT) montage of images through the smallest lesion moving from the superior aspect towards the optic nerve. (2A) The lateral aspect of the larger tumor is seen peripherally. (2B) Most superior aspect of lesion. The inner nuclear layer (INL), outer nuclear layer (ONL) and outer plexiform layer (OPL) are shown in (2B, 2E) with the OPL and INL seen draping over the edges of the small tumor (Adapted from Berry et al. [40]. Reproduced with permission)

retinal structures may be from migration of malignant cells towards the inner retina and blood supply or general tumor expansion from the ONL. Imaging of small retinoblastoma tumors that primarily involve the outer nuclear layer is consistent with *in vivo* studies that suggest that the retinoblastoma cells of origin are the cone precursor cells. These cells are exquisitely sensitive to loss of a functional retinoblastoma protein [46, 47].

### 3.4 Grouping & Staging

Since the advent of the chemotherapy era in the late 1990s intraocular retinoblastoma has been classified according to the International Intraocular Retinoblastoma Classification (IIRC) described by Murphree [48]. The classification scheme separates intraocular retinoblastoma into five groups (A–E) based on size, location and presence of fluid, seeding and other clinical



**Fig. 3.2** Imaging of a spherical Seed in retinoblastoma. The clinical applications of handheld Optical Coherence Tomography (OCT) imaging (Biotigen, USA) for retinoblastoma continue to evolve and include characterization of small tumors, tumor recurrences, evaluation of seeding and retinal anatomy. OCT done at staging examination of the left eye in a 13-month old child diagnosed with bilat-

eral retinoblastoma (Group C right eye, Group D left eye) demonstrated normal foveal architecture, preretinal dusting of small hyper-reflective seeds and a hollow reflective cystic structure floating above the retina, with shadowing posteriorly, which correlated clinically with a large translucent spherical seed in the vitreous cavity (asterisk) (Adapted Berry et al. [44]. Reproduced with permission)

features. IIRC Group A eyes can generally be treated with local therapy only (laser therapy), with each classification progressively advancing until Group E, which is an eye functionally destroyed by tumor and according to the classification should be considered for salvage therapy only in very rare situations such as bilateral Group E eyes. Group A is associated with the greatest likelihood and Group E the least likelihood of ocular salvage based on chemoreduction protocols [49–52]. Recently however this has been supplanted with the AJCC 8th edition classification for retinoblastoma which includes a 4th factor to the TNM staging, H, for heredity and is the first cancer in the AJCC to have such a staging. This new staging classification is discussed in more detail in Chap. 1.

At most centers, staging consists of clinical examination and MRI (see imaging) however bone marrow aspiration or lumbar puncture may also be performed in patients if there is concern regarding the extent of disease such as extraocular extension, cerebrospinal fluid (CSF) or bone

marrow metastases. This is not done routinely if the disease is confined to the globe.

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### 3.5 Treatment

In the early 1900s the only successful treatment for retinoblastoma was enucleation. This treatment is still used today for advanced disease or recurrent tumors poorly responsive to other therapies, particularly in the setting of poor visual prognosis. However, given that 40% of new retinoblastoma cases involve both eyes this treatment modality was devastating for many children and thus attempts at globe salvage were undertaken.

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### 3.6 EBRT

Historically external beam radiotherapy (EBRT) was a mainstay of treatment for many years however it is now rarely used except in certain

salvage situations. Increased risk of orbital bony hypoplasia, which was very difficult to remedy cosmetically, and worse, second primary tumors, particularly in patients younger than 12 months of age, led clinicians to seek alternative therapies [53]. Even without EBRT, patients with heritable retinoblastoma are at an increased risk of many other types of second primary cancers throughout life including bony and soft tissue sarcomas and melanoma [10, 53–72].

Retinoblastoma survivors with a familial germline mutation are at slightly higher risk of a second primary tumor compared with those with a *de novo* germline mutation, in particular melanoma [69]. For germline patients, the risk of developing a second primary malignancy outside of the eye is approximately 30% at 40 years after the initial diagnosis [65, 67]. Unfortunately, patients at risk for a second non-ocular tumor are at risk of third and fourth tumors with increasing mortality regardless of EBRT exposure [73, 74].

EBRT has remained in the treatment arsenal for vitreous seeding, a common cause of relapse after systemic or intra-arterial chemotherapy [75]. However, a new treatment modality that involves intravitreal injection of chemotherapy has largely made this obsolete (see below). Recalcitrant retinal recurrences (particularly in an only remaining eye) may also still be treated with EBRT.

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### 3.7 Systemic Chemoreduction

As an alternative to EBRT, Gallie, Murphree and several other ocular oncologists pioneered the use of chemotherapy in the 1990s [76, 77]. It has since become the backbone of treatment for retinoblastoma.

Systemic chemotherapy given for retinoblastoma is generally described as chemoreduction as the goal of chemotherapy administration is to shrink the tumor so that focal consolidative therapy (e.g. laser and/or cryotherapy) may be effective [78]. Focal consolidative therapy is directly destructive to tumor cells; it may also be used to augment penetration of chemotherapy into the eye [79]. Since the early 1990s, systemic 3-drug

chemotherapy, along with local consolidation therapy, is a common and well documented therapy for globe salvage for patients with retinoblastoma [80].

Various regimens are used for systemic therapy, most typically carboplatin, vincristine, and etoposide with 3–6 cycles being given based on the extent of disease. Success rates for advanced Group D eyes are reported at approximately 50% with chemoreduction and local consolidation [51, 52]. At Children’s Hospital Los Angeles, the chemoreduction protocol consists of intravenous carboplatin 390 mg/m<sup>2</sup> (13 mg/kg for children <36 months) × 2 days, etoposide 150 mg/m<sup>2</sup> (5 mg/kg for <36 months) × 2 days, and vincristine 1.5 mg/m<sup>2</sup> (0.05 mg/kg for <36 months) × 1 day, for 6 cycles every 28 days (i.e. CEV). Infants less than 6 months of age at diagnosis receive a modified dosing regimen with a 50% decrease in all agents for the first cycle [81]. Children with Group B eyes (less advanced) are treated with three initial cycles [82]. The therapy is augmented with local consolidation therapy, which includes diode or argon laser therapy (532 nm or 810 nm laser), and/or cryotherapy often used for larger lesions anterior to the equator.

While generally safe and well tolerated, systemic toxicity is known to occur. This leads to dangerous cytopenias, peripheral neuropathies [83], hearing loss [84] and rarely secondary leukemias [85–88]. Because of this, localized methods of chemotherapeutic delivery were touted to increase chemotherapeutic efficacy and minimize systemic toxicity. The main focus of this has been intra-arterial delivery of chemotherapy directly to the eye via the ophthalmic artery.

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### 3.8 Intra-arterial Chemotherapy

Local methods of intra-arterial delivery of chemotherapeutic agents were pioneered by Suzuki and Kaneko in Japan [89] and Abramson and Gobin in the United States [79, 90–94]. Abramson and colleagues modified the Japanese protocol wherein, under general anesthesia, a cannula is introduced through the femoral artery and advanced to but

not through the os of the ophthalmic artery. Fluoroscopy is used to confirm the position of the catheter before the chemotherapy is infused into the artery, approximately over 30 min [79]. Typically the initial agent of choice is melphalan although carboplatin, and/or topotecan can also be used. Initial doses are melphalan 0.4 mg/kg (with a maximum starting dose of 5 mg), carboplatin 50 mg and topotecan 0.2–4 mg [95].

This technique has been termed super selective ophthalmic artery chemotherapy or ophthalmic artery chemosurgery. Using Melphalan, Abramson and colleagues reported eye salvage rates superior to systemic chemoreduction, especially when used as primary versus second-line therapy for recurrent disease [95]. Group D eyes treated with intra-arterial chemotherapy have been reported with salvage rates ranging from 36% to 100% [96], with several large series of showing a rate between 78% and 100% [97–104]. Prospectively evaluated success rates for advanced Group D eyes have been reported as 100% when this is used as primary therapy [104]; however this has not been repeatable at all centers. A randomized clinical trial has been recommended to determine the efficacy and safety of this approach, as well as to determine the optimum chemotherapeutic agent. The Children's Oncology Group recently closed a trial of intra-arterial chemotherapy for patients with unilateral Group D disease, however results have not yet been reported.

Side effects, mostly vascular in nature, have also been described including ciliary flush, sectoral occlusive choroidopathy, and concerns exist about potential for stroke with this method of delivery [105–107]. There is also a learning curve with this technique with higher rates of vascular complications reported early on [108]. The total dose of whole body radiation given with multiple fluoroscopies also remains undefined. A more concerning trend, however, is that with greater success in salvage more advanced eyes are being treated with local chemotherapy only, this there may be an increased risk of both metastatic disease and orbital recurrences. A meta-analysis by Yousef et al. of intra-arterial chemotherapy for retinoblastoma found multiple reports of meta-

static disease, while others have found equal, but relatively higher rates of metastatic disease and orbital relapse regardless of whether salvage therapy is attempted [109–114]. In general, as long as enucleation is reserved for the most advanced eyes, attempts at salvage therapy with enucleation for persistent or recurrent disease appear to be safe [115]. No therapy, including primary enucleation with adjuvant systemic chemotherapy, has been shown to completely eliminate the risk for metastatic disease. Thankfully, this remains a relatively rare event following a diagnosis of retinoblastoma confined to the intraocular space, regardless of treatment modality.

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### 3.9 Intravitreal Chemotherapy

Seeding is one of the main indications for secondary enucleation in eyes that undergo attempted salvage therapy. Prior to 2012 recurrent seeding after chemotherapy was treated with EBRT, or if visual potential was poor, it may prompt enucleation in order to spare the child from radiation. This paradigm shifted dramatically in 2012 when Francis Munier introduced his safety-enhanced technique for intravitreal injection of chemotherapy which included a paracentesis with extraction of aqueous humor to lower the intraocular pressure prior to injection [116, 117]. This was not the first time that attempts had been made at injection of medication, chemotherapy or vectors had been made into the vitreous in retinoblastoma eyes [118, 119]. In fact, it was first described in 1962 by Ericson and Rosengren but reports of extraocular spread limited its use [120]. With the addition of the safety measures proposed by Munier, which were intended to lower the intraocular pressure and thus prevent reflux of intraocular fluid, intravitreal injection of melphalan has since been found to be safe when considering the risk of extraocular spread, and highly effective in eradicating vitreous seeding [89, 116, 117, 121–136]. As with any new therapy, the ideal dose of melphalan is not yet known and ranges widely from 8 to 50  $\mu\text{g}$  with an equal range of number and interval of injection, although the average range is 20–30  $\mu\text{g}$  given weekly until

there is clearance of seeds [123, 124, 132]. Success rates are near 100% in eradicating vitreous seeds, and globe salvage even in advanced eyes is on par with previous studies using EBRT to control seeding [135, 137], however both anterior and posterior toxicity, loss of ERG function in doses over 30  $\mu\text{g}$  and acute hemorrhagic retinopathy with devastating consequences have been reported (Fig. 3.3) [127, 134, 138, 139]. The mechanism of toxicity for the hemorrhagic retinopathy has been hypothesized to involve induction of a posterior vitreous detachment followed by retrohyaloidal injection of the medication causing toxicity secondary to concentration against the retina [134]. This however will require further research to better elucidate the mechanism for this acute toxicity. Nonetheless, the overall efficacy and relative safety of intraocular injection of chemotherapy have led to recent reports of use intracamerally for anterior segment seeding but the long term safety and outcomes of this are not yet known [140].

Given the new treatment paradigm for seeding, a new classification has been proposed, describing three patterns of vitreous seeding, based on their clinical morphology, which have prognostic significance [141]. These patterns include “dust,” (type 1) which are small fine granules or vitreous haze, “spheres,” (type 2) which are spherical vitreous opacities, which may have a translucent or opaque center, and “clouds,” (type 3) which are dense, sheet-like collections of vitreous opacities. Seeds can occur in any of four vitreous compartments: retrohyaloidal, vitreous, subretinal, or anterior chamber. The seed classification has been shown to predict the number and overall dose of intravitreal melphalan injections required for local control [137, 142]. A retrospective study with a cohort of 28 patients found that at a mean dose of 25–30  $\mu\text{g}$ , eyes with dust required three injections, eyes with spheres required four injections and eyes with clouds required the most number of injections (median 6) although some eyes responded to only one injection in all classification. Cloud type seeds also required the highest cumulative dose of melphalan [137]. These findings were similar to a larger study by Francis et al. that demonstrated dust type seeding required

fewer injections and a lower overall dose than spheres and both lower than clouds for complete regression [142]. (Fig. 3.4) These studies found that the spherical seed class was the most likely to demonstrate recurrence and thus took the longest for complete clinical clearance (Fig. 3.5). This finding is supported by recent histopathologic correlation which found that spherical seeds can be composed of either non-necrotic viable retinoblastoma cells or an outer rim of active cells with a necrotic center however both types actively disperse viable cells which make them most likely to recur. This research also found that the cloud-type seed may take the longest to clear clinically but is mostly composed of macrophages and non-viable necrotic seeds and thus may not actually require more injections than the other seed classes [143].

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### 3.10 Adjuvant Systemic Chemotherapy for High-Risk Histopathologic Features

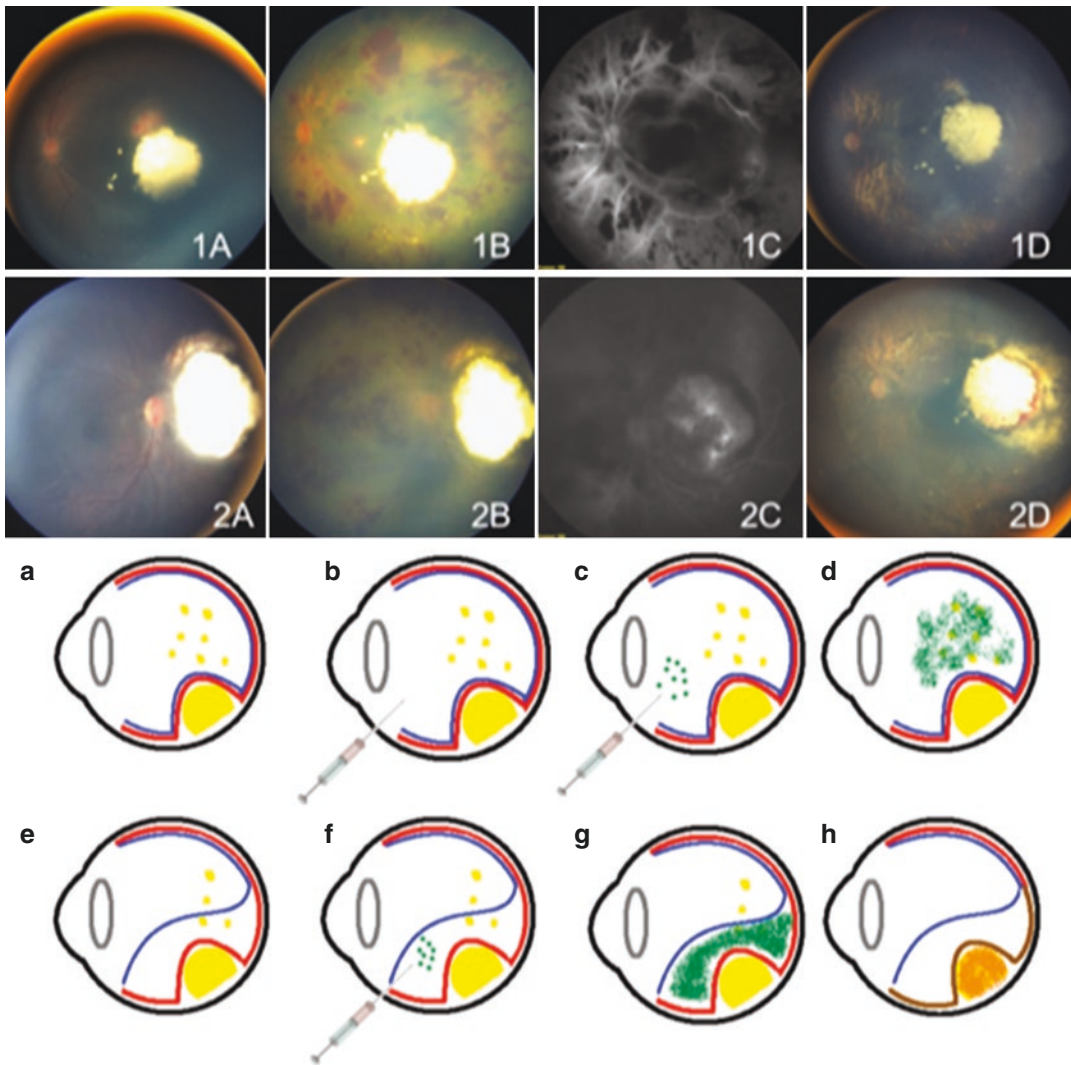
There is no clear consensus on whether or not patients with high-risk pathologic features (such as massive choroidal invasive, post-laminar optic nerve invasion, and scleral invasion) should receive post enucleation adjuvant chemotherapy. A meta-analysis by Kim suggests that the risk with isolated post laminar involvement is 16% and with concomitant massive choroidal invasion the risk increases to nearly 33% and thus adjuvant chemotherapy is recommended and given at most centers [144]. Despite the lack of consensus on these features, there is general acceptance that optic-nerve invasion with involvement of the resection margin or bulky extra-scleral spread are highly predictive for extraocular relapse; in these scenarios, post-enucleation adjuvant systemic chemotherapy is indicated [145–147].

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### 3.11 Orbital Relapse and Metastatic Disease

Orbital relapse occurs in about 4% of patients after primary enucleation, most within 12 months [148]. These patients are at increased risk for





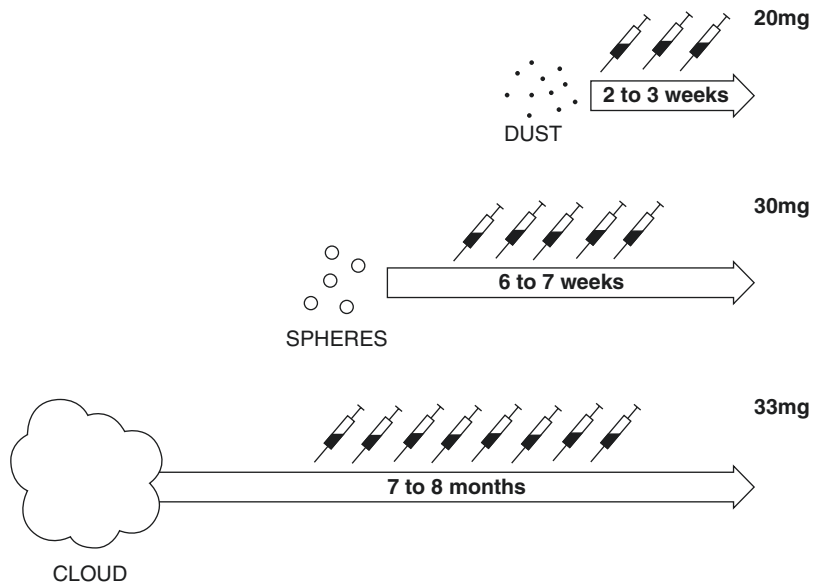
**Fig. 3.3** Intravitreal melphalan toxicity. (1A, 2A) Fundus photographs of the left eye from patient 1 and right eye from patient 2 revealing partially treated retinoblastoma with associated vitreous seeding located in the macula and nasal to the optic disc respectively. (1B, 2B) Fundus photographs from patient 1 and patient 2, respectively, revealing diffuse retinal edema with associated intra and preretinal hemorrhages. (1C, 2C) Mid-phase fluorescein angiography of the left eye from patient 1 and the right eye from patient 2, respectively, revealing areas of blockage corresponding to the areas of retinal hemorrhage and intraocular tumor, with vascular sheathing. (1D, 2D) Fundus photographs at follow-up of the patient 1 and patient 2, respectively revealing diffuse chorioretinal atro-

phy in the posterior pole with a demarcation between the normal and atrophic retina. (a) Schematic rendition of an eye with retinoblastoma and associated vitreous seeding. The blue line represents the hyaloid face; the red line represents the retina and the yellow mass represents the tumor. (b–d) shows injection of melphalan (green) into the vitreous cavity via the pars plana. (e) represents a localized posterior hyaloid detachment over the tumor. (f, g) Injection of melphalan into the subhyaloid space due to presence of a partial detachment. (h) Treated retinoblastoma (orange) with retinal atrophy (brown line) and resolution of vitreous seeding. (Adapted from Aziz et al. [134]. Reproduced with permission)

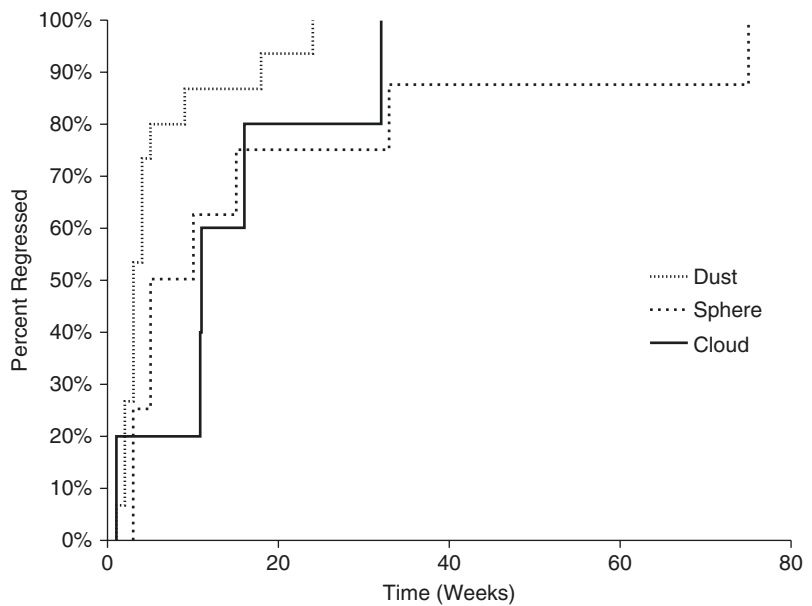
metastatic disease. Treatment for orbital recurrence (confined to the orbit) is systemic high-dose multi-agent chemotherapy as well as

orbital radiotherapy. Patients with disseminated metastatic disease such as bone-marrow involvement receive high-dose 3–4 agent chemotherapy,

**Fig. 3.4** Seeding classification and treatment response. Illustration summarizing vitreous seed classification and response to intravitreal melphalan: number of injections received, time to response, and median dose of melphalan per injection. (Adapted from Francis et al. [142]. Reproduced with permission)



**Fig. 3.5** Kaplan-Meier curves for seed regression based on classification. Median time to seed regression was 3 weeks for dust (range 1–24 weeks), 8 weeks for spheres (range 5–75) and 11 weeks for clouds (range 1–32 weeks) which was significant ( $p = 0.07$ ). There was 100% seeding regression was seen in all classes of seed. Spheres were the most likely to recur and thus have the widest range from time to regression. (Adapted from Berry et al. [137]. Reproduced with permission)



bone marrow transplantation, and may also receive intrathecal radiotherapy [148].

Metastatic disease, while rare in the developed world, had remained largely fatal until recent trials of intensive multimodal therapy (including high-dose multi-agent chemotherapy and radiotherapy to bulky sites) with autologous hematopoietic stem cell rescue have shown success with reported 5-year event-free survival >60% [149–155].

### 3.12 Genetic Disease and Personalized Medicine for Retinoblastoma

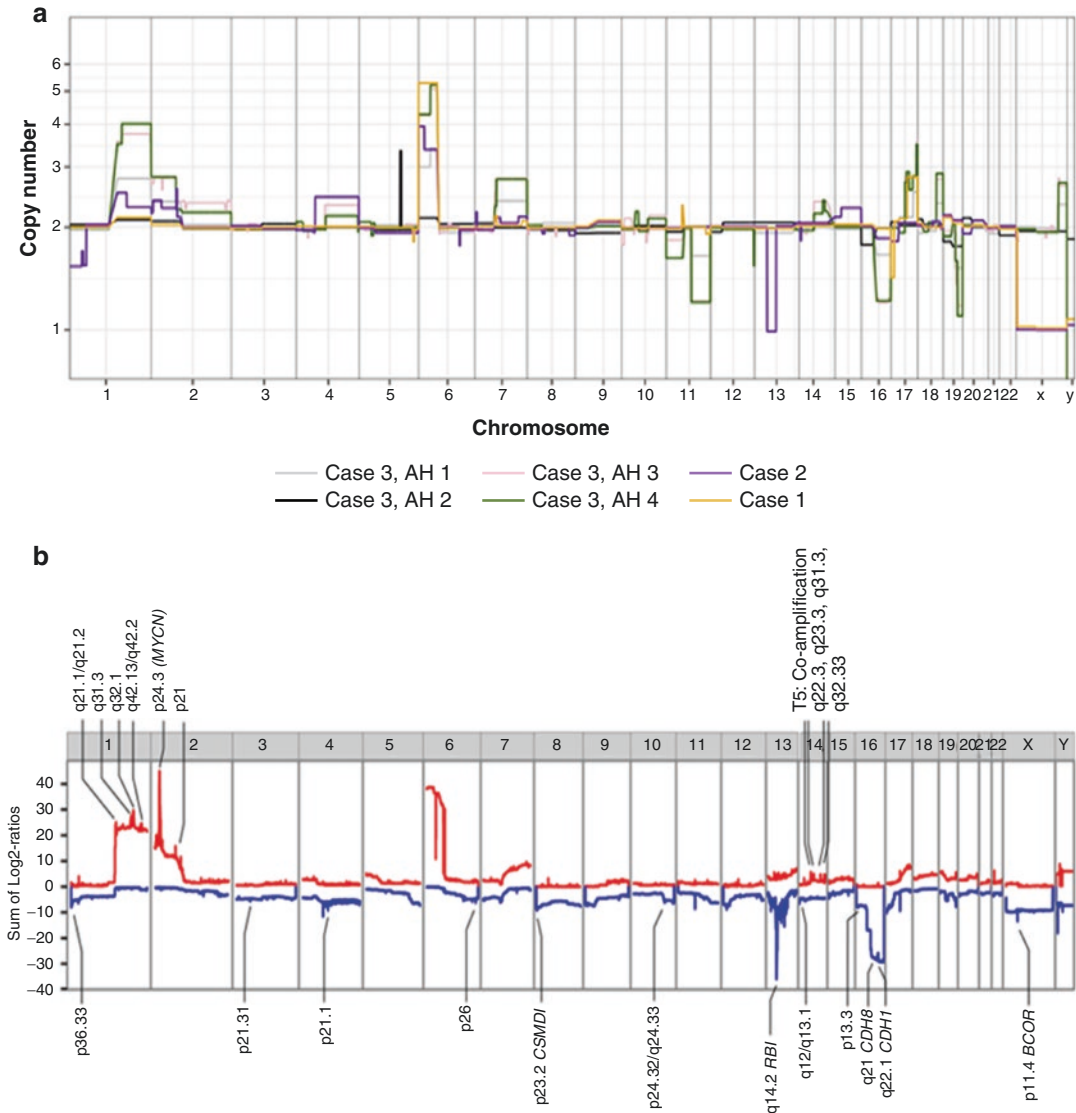
Retinoblastoma is a known genetic disease. Tumorigenesis is initiated by a mutation in the *RBI* gene on chromosome 13q, which was the first tumor suppressor gene to be discovered [18, 156–161]. The inheritance pattern of retinoblastoma was instrumental in the discovery of the RB

tumor suppressor gene [162]. Based on clinical genetics, there are three forms of Retinoblastoma: familial (10%), sporadic heritable (30%) in which a new mutation in the suppressor gene is present in all/many cells of the body, and non-heritable (60%) wherein both mutations in the *RB1* gene occur as somatic events in the tumor. Due to familial cases of retinoblastoma, it was known that retinoblastoma harbored a genetic underpinning. However, the genetic locus for this tumor was only elucidated in the past few decades. In 1971, Knudson first described the “two-hit hypothesis” suggesting that the RB gene requires mutations in both active gene copies in order for a child to manifest retinoblastoma [162]. The hypothesis suggested that in heritable cases a germline mutation was present in all (or most) cells of the body, and that a second somatic mutation was needed for tumor formation. Germline cases represent approximately 40% of retinoblastoma cases [163]. These patients tend to have bilateral and multifocal tumors and have a significantly increased risk for secondary tumors including pinealoblastoma (PNET). Their future offspring have a 45% chance of developing retinoblastoma (due to penetrance, it is not 50%) [164].

In the non-heritable cases, both mutations in the *RB1* gene occur as somatic events, thereby explaining why somatic disease was always unilateral, unifocal and often seen in older children. Additionally, it did not predispose to second primary cancers. Approximately 15% of unilateral cases are found to have germline mutations [163, 165]. Some children may present with unilateral disease but subsequently progress asynchronously to bilateral involvement. Thus, serum genetic testing to determine whether the initial mutation is germline is critical to the management of these patients and families. It is recommended that children have genetic testing, particularly in the setting of unilateral disease regardless of age at presentation [166]. Further information on the genetics of retinoblastoma and testing for these mutations can be found in Chap. 2

Investigating the tumor suppressor pathway regulated by *RB1* has provided unprecedented insights into the genetic mechanisms of tumori-

genesis, not only for retinoblastoma but also for virtually all human cancers. Despite this, we have not been able to leverage the growing field of cancer genomics for retinoblastoma patients which has dramatically impacted the care of breast, lung and prostate cancer patients [167–175]. This is primarily because we cannot safely biopsy this tumor and thus cannot correlate the genetic and epigenetic changes at the level of the tumor with clinical outcomes. Thus, since the 1990s the only real change in the management of retinoblastoma has been a focus on more localized delivery of chemotherapy to the eye with no role for tumor-derived genetic factors in the initial management of this disease. Preliminary research has, however, suggested that there are factors that predispose to increased tumor anaplasia, which correlates with a poor prognosis [176, 177]. Further studies have shown tumor-derived cell-free-DNA (cfDNA) is present in the aqueous humor of advanced retinoblastoma eyes. This cfDNA can be reliably collected, amplified and even evaluated for chromosomal alterations (e.g. small regions of gains and losses) [19]. It is also known from studies on tumor tissue, that chromosomal copy number alteration (gains and losses of partial sections of chromosomes) is a common secondary genomic change that allows for tumor progression [178, 179]. Interestingly, the tumor-derived cfDNA in the aqueous mimics the changes at the level of the tumor suggesting that the aqueous can be used as a liquid biopsy, or ‘surrogate biopsy’ for retinoblastoma (Fig. 3.6). This allows for the unique opportunity to evaluate these chromosomal changes in the tumor DNA found in the aqueous (e.g. before an eye has been enucleated) so that they can be associated with clinical tumor features, response to therapy and prognosis. Given that a paracentesis is now routinely performed before each injection of intravitreal melphalan (see intravitreal chemotherapy) and no cases of extraocular spread have been reported [180], it appears that a paracentesis is safe to perform in eyes with retinoblastoma even during active therapy. This method of isolating cfDNA from the aqueous has been termed the ‘surrogate liquid tumor biopsy’ and is the first time that retinoblastoma tumor-derived DNA has been isolated without enucleation from eyes undergoing



**Fig. 3.6** Chromosomal copy number variation profiles from the aqueous humor and tumor composites. Chromosomal copy number variations (CNV) in single samples from the aqueous humor compared to Summative tumor CNV by Kooi et al., CNV from aqueous cfDNAs from 3 patients (a) compared to a summation of CNV pro-

files from 71 retinoblastoma tumor tissue samples from Kooi et al. [178]. (b) Show a very similar pattern of chromosomal gains and losses typical for retinoblastoma. (Adapted from Kooi et al. [178] and Berry et al. [19]. Reproduced with permission)

salvage therapy [19]. While this research is early, it may finally allow for identification of the *RBI* mutation in (nearly) all patients without enucleation and finally allow for genotypic-phenotypic correlation and important prognostic information. Evaluation of the aqueous humor during treatment for eyes with retinoblastoma has allowed for identification of a possible biomarker that portends a

poor prognosis for globe salvage with therapy. In this study the authors found that identification of an increased copy number on a segment of chromosome 6p (termed gain of 6p) was associated with a 10× increased risk of the eye requiring enucleation after failed attempts at eye salvage [181]. Genes on 6p (*DEK*, *E2F*) are known to play a role in retinoblastoma tumorigenesis but the exact

mechanism of 6p gain, or even the single gene player, is not yet known [182]. It will be important to obtain aqueous humor at diagnosis from eyes undergoing salvage and to evaluate the outcomes of these children prospectively before fully elucidating the prognostic impact of this potential biomarker. While there have been great strides in the diagnosis, imaging, staging and the local delivery of chemotherapy to augment globe salvage for these patients, there has been a complete lack of targeted therapies. The 'surrogate liquid tumor biopsy' may finally allow for the development of personalized medical therapy for retinoblastoma patients. Thus, we are entering into an exciting landscape for the management of this disease.

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# Imaging of Intraocular Tumours

# 4

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## 4.1 Color Photography

In the digital revolution, most film cameras have now been replaced by digital technology. The advantages of digital imaging are many, producing high resolution images with relatively inexpensive digital single lens reflex (DSLR) cameras, without the need for processing film or slides, hence giving an instant image [1]. Several devices are available with different ways of taking the image. This varies from ocular piece attachment, where a camera is attached to the eyepieces of the slit-lamp, to a beam attachment, where the camera is located to the optical beam path via a module (most slit lamp cameras), and finally instruments where the camera is integrated into the slit-lamp. In the latter type of machine, a beam splitter and camera are built into a single unit, requiring the camera to be connected to a computer.

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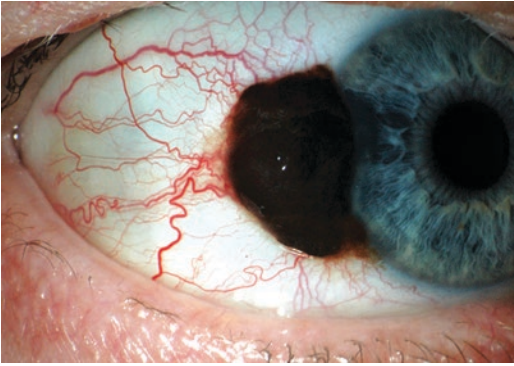
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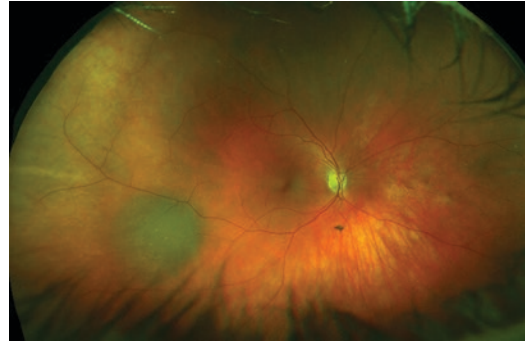
In ocular oncology, anterior segment color photography allows a good view of the anterior segment components. This is very helpful in documenting conjunctival lesions, iris tumours and pseudotumours, and the anterior chamber angle can be photographed with a gonioscopic lens. Slit-lamp colour photography is able to produce faithful colour reproduction of the tissues being photographed and has the advantage of slit-lamp magnification and utility of the slit-beam to highlight contours or to use diffuse illumination (Fig. 4.1).

Fundus photography is commonly used method for documenting fundus and intraocular pathology. The first device was introduced by Zeiss and Nordensen in 1926. It provided a 20° fundus image. Later, the field of view expanded to 30–45° which became the standard for the traditional fundus camera [2]. Fundus photography usually requires pupil dilation, multiple 45° images are captured, and then stitched together using computer software to create a panoramic view. Fluorescein angiography (FFA) or indocyanine green (ICG) can also be captured with these techniques.

Optomap ultra-widefield imaging (Optos PLC, Dunfermline, UK) was founded in 1992 by Douglas Anderson after the late detection of his child's retinal detachment. Optomap is a digital ultra-widefield (UWF) retinal imaging system (Scanning Laser Ophthalmoscope) with two low-powered laser wavelengths, green 532 nm and red 633 nm, that scan the fundus simultaneously. The green laser images the layers from the sensory



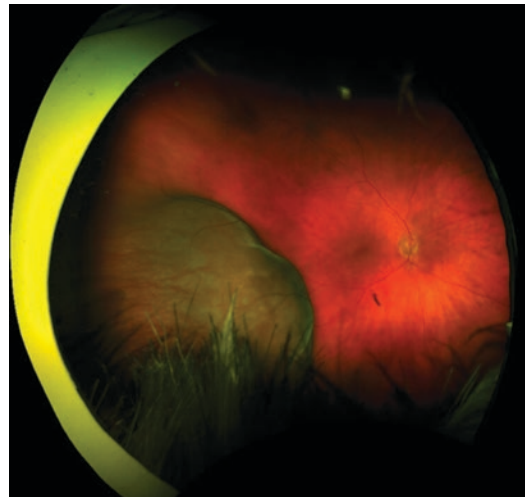
**Fig. 4.1** Slit-lamp colour photograph showing a conjunctival melanoma at the temporal limbal area of the right eye



**Fig. 4.2** Optomap ultra-widefield imaging (Optos PLC, Dunfermline, UK) of the right fundus showing a choroidal naevus in the inferotemporal mid-peripheral location

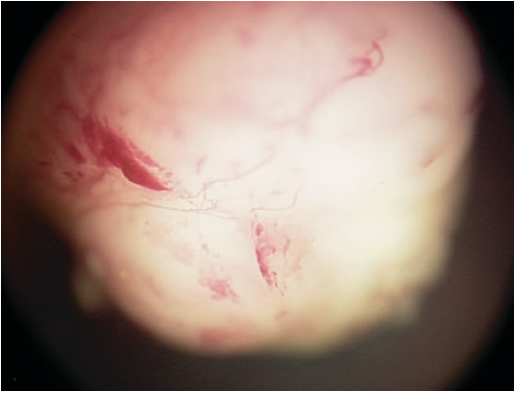
retina to the retinal pigment epithelium (RPE), whereas the red laser scans the deeper structures from the RPE to the choroid. In contrast to the simple illuminating effects of white light used in conventional ophthalmoscopy techniques, the Optomap allows review of retinal structures in their individual laser separations. The Optomap provides an ultra-widefield, non-mydratic, 82% view ( $200^\circ$ ) of the retina, with a resolution of  $14\ \mu\text{m}$ , and higher resolution at  $100^\circ$ , of  $11\ \mu\text{m}$ , in single image capture, taking less than 1 s, while with traditional methods, only 10–15% of the retina is captured in one single shot.

UWF autofluorescence captures of the retina using autofluorescence and a green laser (532 nm). Colour imaging and autofluorescence can be carried out in one workflow and allows a brighter view of the macula than with a blue laser. It also allows FFA and ICG angiography [2]. In the evaluation of melanocytic fundus lesions, Shields et al. identified 5 risk factors that predict differentiating small choroidal melanomas from nevi [3]. Reznicek et al., studied the role of wide-field imaging and autofluorescence for evaluating of the criteria established by Shields. The mean fundus autofluorescence intensity of melanomas was significantly lower than the autofluorescence of choroidal nevi, which may help in differentiating between lesions [4]. Disadvantages of this camera system include false colours giving rise to erroneous pigmentation in amelanotic lesions, peripheral distortion, and eyelid and eyelash artefacts (Figs. 4.2 and 4.3).



**Fig. 4.3** Optomap ultra-widefield imaging (Optos PLC, Dunfermline, UK) of the same patient in Fig. 4.2, taken 3 years later, showing malignant transformation into choroidal melanoma

In paediatric ocular oncology, retinoblastoma specialists use an integrated fundus camera, the RetCam (Clarity Medical Systems, Pleasanton, California, USA) to document and monitor fundus tumours undergoing treatment during examinations under anaesthesia in the supine patient. This is used for screening neonates for retinopathy of prematurity as well as in other paediatric retinal diseases. Retinoblastoma, a childhood intraocular tumor accounts for 11% of all childhood cancers [5, 6]. This camera allows color fundus photos to be taken using a fiber optic light cable with a range of lenses that can image



**Fig. 4.4** RetCam (Clarity Medical Systems, Pleasanton, CA) image of the fundus in a paediatric patient demonstrating a retinoblastoma lesion (Group D, ICRB classification)

30–130° of the retina, and with appropriate filters can also take fluorescein angiograms. A handheld lens and light source unit is placed on the cornea with a viscoelastic gel coupling agent. It is possible to take anterior segment photos as well, but not with a slit beam source. It requires clear media making it more suited to use in infants and children rather than in adults [7]. Documentation with the RetCam is especially useful in the follow up of retinoblastoma patients, aiding careful comparison to judge therapeutic success (Fig. 4.4).

## 4.2 Fundus Fluorescein Angiography (FFA)

Sodium fluorescein is a fluorescent dye, of which 80% is protein bound and 20% is free in the plasma [8, 9]. It is the free form that is responsible for the emission of fluorescent light [9]. Due to these properties, fluorescein behaves like a micromolecule that diffuses easily from fenestrated blood vessels or any breakdown of endothelial tight junctions. It diffuses quickly into tissues but is also quickly washed out. When excited by blue light (460–490 nm), this dye fluoresces in the green-red visible light spectrum (520–630 nm) [10]. The fluorescent spectrum within visible light is blocked by retinal pigment epithelium (RPE) and therefore gives limited information on the choroidal circulation except

on the choriocapillaris during the first 40–60 s of angiography [9].

Angiographic features of intraocular tumours depend on tumour pigmentation, depth of location within the choroid, intrinsic vascularity and associated secondary changes of the overlying retina.

For choroidal naevi, a small naevus confined to the outer choroid without involvement of overlying choriocapillaris may demonstrate a granular or normal appearing angiogram. A larger lesion that encroaches on the choriocapillaris as well as a highly pigmented lesion will appear more hypofluorescent [11]. Less-pigmented lesions and larger lesions with increased intrinsic vascularity may appear more hyperfluorescent [11]. Associated secondary changes of the overlying retina may give rise to patterns of hyperfluorescence (subretinal neovascular membrane (SRNVM), drusen and RPE atrophy, RPE detachment) or hypofluorescence (RPE clumping, RPE fibrous metaplasia (with late staining)) [11]. The diagnostic utility of FFA in choroidal naevi is often employed to differentiate subretinal fluid associated with a choroidal naevi arising from a SRVNM or from RPE failure.

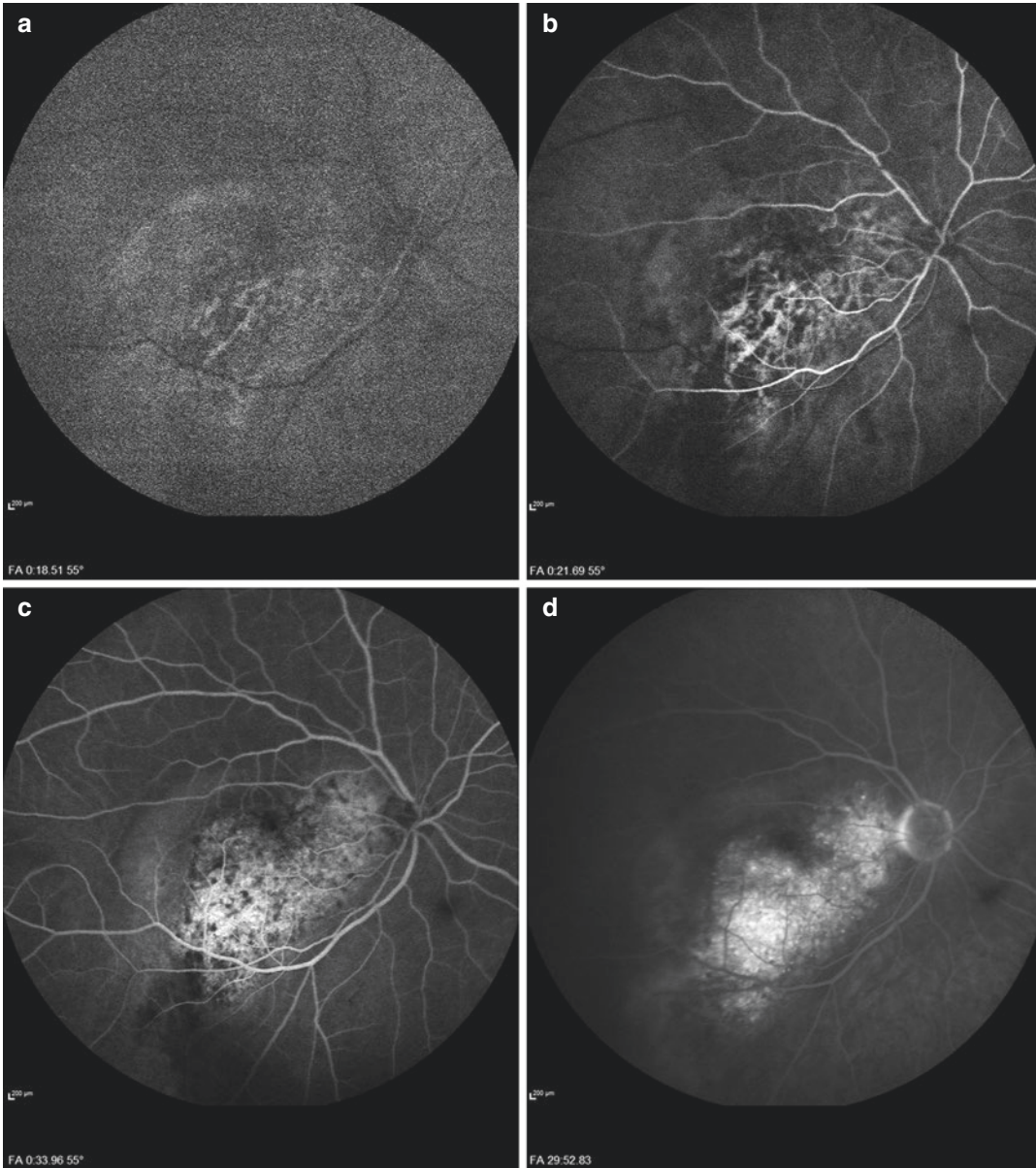
Similarly, a small choroidal melanoma with normal overlying RPE may show no appreciable abnormality on angiography [11]. A large melanoma with overlying RPE disruption will show mottled hyperfluorescence in the arterial phase from filling of tumour vessels, and diffuse staining of the lesion and its overlying subretinal fluid in the late phases [11]. A larger amelanotic melanoma, particularly one that has broken through Bruch's membrane, might show more clearly the characteristic double circulation during the later arterial or early venous phase due to simultaneous filling of the choroidal and retinal vasculature [11, 12].

In choroidal metastasis, there is general hypofluorescence of the lesion in the arterial and early venous phases with pinpoint foci of hyperfluorescence appearing over the tumour beginning in the late venous phase (usually later than with choroidal haemangioma or melanoma), and increasing in intensity and extent with leakage of dye into overlying exudative retinal detachment [11].

In retinal capillary haemangiomas, there is rapid tumour filling via a dilated feeder vessel and visibility of fine intrinsic tumour vasculature in the arterial phase and late hyperfluorescence, often with leakage of dye into the vitreous [13]. FFA is particularly helpful in differentiating the feeder and drainage vessels to guide laser ablation of the tumor.

FFA in circumscribed choroidal haemangiomas generally adds little diagnostic information [13, 14]. Typically there is early filling of the tumour vessels before filling of the retinal vessels and diffuse late staining of the mass (Fig. 4.5) [13, 15].

In retinal arteriovenous malformation, FFA demonstrates the abnormal arteriovenous connection and presence or absence of intervening



**Fig. 4.5** Fundus fluorescein angiography of a circumscribed choroidal haemangioma showing early filling of the tumour vessels before filling of the retinal vessels (a)

and progressive staining and leakage of the mass throughout the later stages (b–d)

capillaries [11, 16]. FFA in retinal cavernous haemangioma has a pathognomonic filling pattern, the tumour remains hypofluorescent throughout the arterial and early venous phases. In the late venous phase, the upper half of the saccular aneurysms begin to fill and appear as fluorescent caps. This is due to staining of supernatant plasma overlying sedimented blood cells [16]. There is usually no demonstrable leakage on angiography in both retinal arteriovenous malformations and retinal cavernous haemangiomas [11, 16].

FFA can be useful in the initial diagnosis of vasoproliferative tumours, in helping to differentiate it from peripheral exudative haemorrhagic chorioretinopathy by demonstrating the vascular supply of these lesions that show rapid filling in the early phase with diffuse leakage in the late phases [16, 17]. In contrast to retinal capillary haemangiomas, retinal feeder vessels are only mildly dilated in vasoproliferative tumours [13]. Due to the peripheral location, ultra-wide-field angiography is usually required to image these lesions.

### 4.3 Indocyanine Green Angiography (ICGA)

Indocyanine green (ICG) is a tricarbo-cyanine dye that is more protein bound compared to sodium fluorescein (98% vs 80%), and therefore diffuses out of fenestrated vasculature much slower than sodium fluorescein [8, 18]. ICG maximally absorbs infrared light at 805 nm and maximally fluoresces at 835 nm wavelength. At these near-infrared wavelengths, penetration through ocular pigment and media opacities is much more efficient compared to shorter wavelengths of visible light used in fluorescein angiography (FA) [18]. These two properties make ICGA a more effective method at imaging the choroidal vasculature compared to FA.

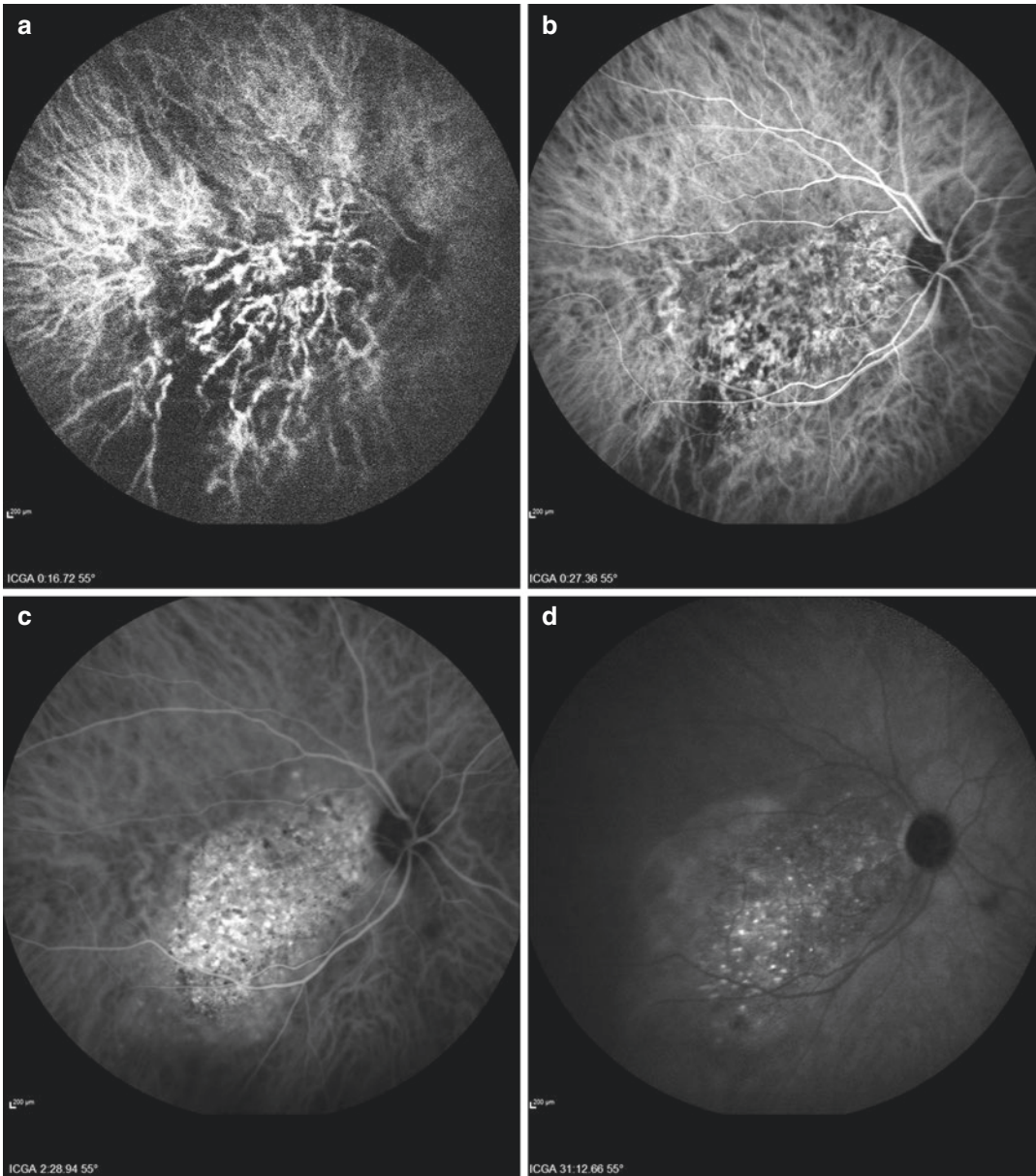
ICGA is particularly useful in the differentiation of amelanotic choroidal tumours, such as amelanotic choroidal melanoma, choroidal haemangioma and metastasis.

Choroidal haemangiomas have the most consistent and characteristic ICG pattern amongst

intraocular tumours (Fig. 4.6). In the early stages of the study (10–20 s), a network of small-caliber lacy vessels is seen rapidly fluorescing in a web configuration that completely obscures the normal choroidal pattern (Fig. 4.6a) [14, 19]. These vessels are distinctly different from those seen with choroidal melanomas and choroidal metastases [20]. Often, the fluorescence originates at the periphery of the lesion in a wreath pattern and spreads to the center of the tumour within seconds. By about 1 min, choroidal haemangiomas completely fill with dye and the tumour reaches maximal fluorescence (Fig. 4.6c). This maximal brightness at 1 min is brighter than any other intraocular tumour, and is very suggestive of the diagnosis [20]. In mid-phase (6–10 min), the hyperfluorescence usually begins to wane and in the very late phases (>30 min), the tumour becomes hypofluorescent relative to the surrounding choroid, a phenomenon known as ‘wash-out’ (Fig 4.6d) [19]. This phenomenon is due to the low-resistance, high-flow properties of the vascular tumour that causes a more rapid clearance of dye from the tumour compared to the normal surrounding choroid and is uniquely seen in choroidal haemangiomas [19, 20].

A choroidal naevus and a choroidal melanoma can show overlapping ICGA features, and have variable ICG fluorescent patterns depending on the degree of tumour pigmentation, tumour thickness and tumour vascularity. In general, more pigmented as well as minimally elevated lesions are less fluorescent. Whereas, amelanotic and thicker lesions are more fluorescent [20]. The speed of fluorescence is much slower compared to a choroidal haemangioma, and usually begins at about 1–2 min and reaches maximal fluorescence at about 18 min [14]. The intensity compared to the surrounding choroid is generally hypofluorescent [14]. The intrinsic tumour vasculature of choroidal naevi often resemble normal choroidal vessels in caliber, configuration and branching pattern but differ in being brighter and lacking small-caliber twig vessels [20]. On the other hand, intrinsic tumour vasculature in choroidal melanomas are identified early in the study (<20 s) as variable caliber, randomly branching vessels with small twig vessels arising





**Fig. 4.6** Indocyanine green angiography of a circumscribed choroidal haemangioma showing characteristic early filling of lacy tumoural vessels (a), progressive fill-

ing of the tumour (b), maximal hyperfluorescence (c), and late 'washout' phenomenon (d)

from the main vessels [11]. The vessel walls show staining in the intermediate phase and leakage in the late phase (>30 min) [19]. They may also show abnormal features such as marked dilation, hairpin turns, corkscrew loops and random crisscrossing within the tumour [20]. Microvascular patterns of choroidal melanoma

have been found to inform prognosis, with complex microcirculation patterns (parallel vessels with cross links, branching arcades, loops and networks) associated with poor prognosis [21].

In choroidal metastasis, there is a diffusely homogenous hypofluorescence compared to the surrounding choroid in the early frames (<1 min).

The normal perfusing choroidal pattern can often be faintly visualised underlying the lesion as if the tumour is acting as a relative filter. In the late frames (by 30 min), there may be persistent hypofluorescence, or late leakage into the overlying neurosensory detachment [20]. Intrinsic vessels are generally not observed because of the relative thinness of the tumour [19].

#### 4.4 Fundus Autofluorescence (FAF)

Autofluorescence relies on the stimulated emission of light from naturally occurring fluorophores within human tissue. The main source of fundus autofluorescence is from lipofuscin, a product of degraded photoreceptor outer segments that accumulates within the RPE [22]. Intracellular lipofuscin accumulates with age and excessive lipofuscin accumulation within the RPE has been proposed as a marker of RPE disease and eventual photoreceptor degeneration [23, 24]. Other structures of the eye and their autofluorescence properties are listed in Table 4.1.

Choroidal tumours can secondarily affect the RPE. RPE hyperplasia, atrophy, fibrous and osseous metaplasia and drusen accumulation are associated with long-standing choroidal tumours, while intracellular lipofuscin accumulation is

associated with more metabolically active tumours [22]. Lipofuscin accumulation appears as orange pigment when overlying pigmented choroidal tumours, but appears as brown pigment when overlying non-pigmented choroidal tumours [26].

Choroidal naevi and choroidal melanoma show only minimal intrinsic autofluorescence, with more pigmented lesions appearing more hypoautofluorescent and less pigmented lesions showing slight hyperautofluorescence. This is likely related to unmasking of deeper scleral autofluorescence [22, 27]. Extrinsic autofluorescence from secondary RPE changes are much more dramatic and informative. Choroidal naevi generally display extrinsic hypoautofluorescence from chronic RPE hyperplasia or atrophy, whereas choroidal melanoma generally show extrinsic hyperautofluorescence from lipofuscin accumulation and subretinal fluid [28]. Lipofuscin accumulation is particularly evident when the melanoma is small and active, and is less obvious with chronicity and tumour enlargement as the RPE degenerates with hyperplasia, atrophy, fibrous or osseous metaplasia [3]. Following treatment of choroidal melanoma, autofluorescence might increase due to increased lipofuscin, but eventually becomes hypoautofluorescent as lipofuscin fades from cell death [29, 30].

In choroidal metastasis, there is intrinsic hypoautofluorescence as the lesion blocks transmission of scleral autofluorescence [31]. Focal areas of lipofuscin (as brown pigmentation) may be seen overlying the lesion and these appear hyperautofluorescent [31, 32]. Following irradiation, there may be increased lipofuscin accumulation with confluent brown pigmentation over the lesion that appear intensely hyperautofluorescent [31]. Other areas of hyperautofluorescence associated with choroidal metastasis include the advancing tumour edge and subretinal fluid [31, 33].

Both circumscribed and diffuse choroidal haemangiomas have general intrinsic hypoautofluorescence or isoautofluorescence [34]. Extrinsic autofluorescence may be hyperautofluorescence from lipofuscin and subretinal fluid or hypoautofluorescence from RPE hyperplasia, metaplasia or atrophy. Following treatment, choroidal

**Table 4.1** Fundus autofluorescence features of surrounding tissues related to intraocular tumours

Finding	Hyper-AF	Iso-AF	Hypo-AF
Orange/brown pigment (lipofuscin)	3+	–	–
Calcium	3+	–	–
Subretinal fluid	1+	–	–
Drusen	1+	–	–
Subretinal blood			
Fresh	–	–	3+
Old	1+	–	–
RPE hyperplasia	–	–	1+
RPE atrophy	–	–	2+
RPE fibrous metaplasia	–	–	2+

Adapted from Almeida et al. [25]  
1+, mild; 2+, moderate; 3+, intense. *Hyper-AF* hyperautofluorescence, *hypo-AF* hypoautofluorescence, *NA* not applicable, *RPE* retinal pigment epithelium

haemangiomas show increased intrinsic hypoautofluorescence and extrinsic hypoautofluorescence from further RPE hyperplasia or atrophy [34]. FAF is also useful in detecting prior resolved subretinal fluid and may show troughs of hypoautofluorescence from subtle RPE atrophy.

Choroidal osteomas show isoautofluorescence in the calcified portions where the RPE is intact, while decalcified portions show hypoautofluorescence due to RPE fibrous metaplasia or atrophy [35]. The periphery of the lesion have also been found to show hyperautofluorescence associated with granular hypoautofluorescent dots, which suggest an increased metabolic activity of the RPE along the margins of the tumour together with atrophic areas of RPE loss [36]. Associated overlying active CNV will appear hyperautofluorescent [35].

Intraocular lymphomas are divided into the uveal form and the retinovitreal form. Uveal lymphomas can be associated with overlying subretinal fluid which cause RPE irritation and multifocal dots of lipofuscin accumulation [25]. The retinovitreal form have a more diverse but distinctive FAF pattern. Yellow infiltrates seen ophthalmoscopically correspond to sub-RPE tumour infiltration and they appear weakly hyperautofluorescent possibly due to accumulation of lipofuscin within the tumour masses [37]. Brown clumps may develop overlying the subRPE yellow lesions, and these appear brightly hyperautofluorescent [37]. Yellow infiltrates may become confluent and spontaneously regress, leaving the RPE atrophic as a hypoautofluorescent area [37]. White infiltrates, on the other hand, are retinal infiltrates of tumour cells and these appear hypoautofluorescent due to blockage of underlying RPE autofluorescence [37].

Astrocytic hamartomas are classified into 3 types: Type 1 lesions are relatively flat, round or oval semitransparent, light-gray masses that are non-calcified. Type 2 lesions contain multiple calcified nodular areas with a mulberry-like appearance. Type 3 lesions contain features of both types 1 and 2, with a peripheral semitranslucent non-calcified rim and a calcified central portion [38]. Type 1 lesions have intrinsic hypoautofluorescence, as it partially blocks

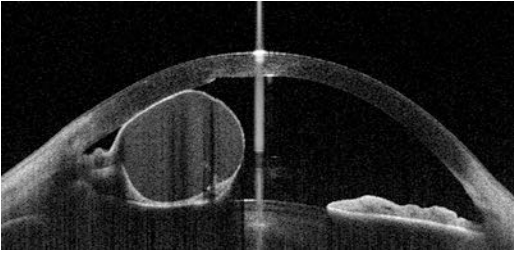


**Fig. 4.7** Fundus autofluorescence of a type 3 astrocytic hamartoma showing characteristic peripheral hypoautofluorescent non-calcified rim and a contrasting central bright hyperautofluorescent calcified portion

underlying RPE autofluorescence [39]. Type 2 lesions are brightly hyperautofluorescent from the calcification and type 3 lesions have a characteristic peripheral hypoautofluorescent rim, and a contrasting central bright hyperautofluorescent portion (Fig. 4.7) [39].

#### 4.4.1 Optical Coherence Tomography (OCT)

Optical coherence tomography (OCT) is a non-invasive diagnostic optical device, that was first introduced in early 1990s [40]. It is capable of providing cross-sectional view of both anterior and posterior segments. OCT is an important device in an ocular oncology practice and several types of OCTs are available: Anterior segment OCT (AS-OCT), ultra-high resolution OCT (UHR-OCT), spectral domain OCT (SD-OCT) and enhanced depth imaging OCT (EDI-OCT). These have varying resolution ranging from 1 to 25  $\mu\text{m}$ . Spectral domain OCT has replaced time domain domain OCT because of higher speed and resolution [41]. It is commonly used in ocular oncology as it gives anatomic localization of tumors, and adds important features to the diag-



**Fig. 4.8** Anterior segment optical coherence tomography showing a large iris stromal cyst with corneal apposition

nosis, treatment, follow up and response to treatment [42].

AS-OCT can be used for the diagnosis of ocular surface lesions. Some studies showed a good correlation with histopathology of the lesion [43, 44]. It is also used for imaging anterior segment tumours, mainly the iris (Fig. 4.8) [45], but ultrasound B scan or ultrasound biomicroscopy (UBM) remain the best methods to image anterior tumors, especially of the ciliary body with superior images to those on AS-OCT [43, 45].

Posterior segment OCT is helpful in identifying nevi from small choroidal melanomas, with a better view of subretinal fluid (SRF), and deeper layers of the choroid [46], especially when enhanced depth imaging (EDI-OCT) feature is used [41, 47]. Choroidal nevi appear as dome-shaped with overlying retinal pigment epithelium alterations, drusen, and even photoreceptor loss, while small melanoma shows moderately dome-shaped with overlying shallow SRF that often shows “shaggy” photoreceptors [42]. On the surface of choroidal naevi, chronic changes can lead to choroidal neovascularization and even outer retinal tabulation [48]. Choroidal metastases usually appear as a hyporeflective band in the deeper choroid causing enlargement of the supra-choroidal space, along with findings of intraretinal oedema and subretinal fluid [41, 49]. The anterior choroidal surface in choroidal metastasis often has a “lumpy, bumpy” appearance, as opposed to a “smooth” profile in choroidal melanomas [42]. In choroidal hemangiomas, there may be visible expansion of the affected small, medium, and large choroidal vessels in the macular or para-macular area [42, 50].

Medina et al. [49], studied amelanotic and melanotic choroidal naevus, choroidal melanoma, circumscribed choroidal haemangioma (CCH) and choroidal metastasis using EDI-OCT. They were able to identify the tumour distinctly from the surrounding normal choroid, suggesting that EDI-OCT may be used as a complementary technique to ultrasonography for measuring tumours less than 1 mm in height (Table 4.1).

Shields et al. described the appearance of choroidal lymphoma on EDI-OCT as the ocean surface with a “calm”, flat infiltration of the choroid in a thin lesion; a “rippled” appearance in a thicker lesion; and undulating “seasick” appearance in a thick lesion [51]. In vitreoretinal lymphoma several distinct patterns on OCT are observed, including hyper-reflective subretinal infiltrates, hyper-reflective infiltration in inner retinal layers, retinal pigment epithelium undulation, clumps of vitreous cells and sub-RPE deposits. Of these, the hyper-reflective subretinal infiltrates have an appearance unique to vitreoretinal lymphoma, with features not seen in other diseases (Fig. 4.9) [52].

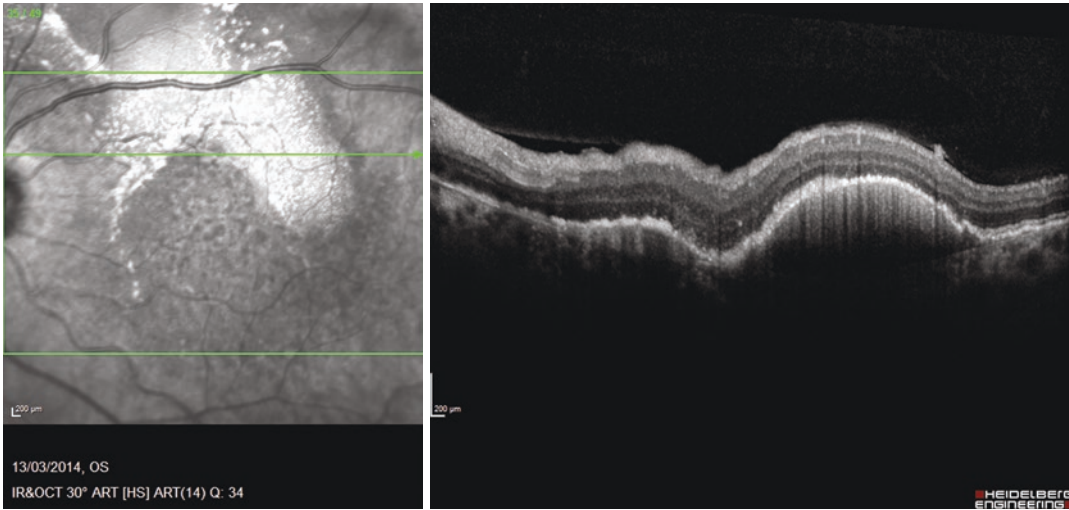
Choroidal osteoma appears as subtle horizontal hyper-reflective lines (bony lamellae), horizontal or vertical tubular channels (possible vessels), and an intrinsic sponge-like appearance within the choroid [42]. Retinal or retinal pigment epithelial changes overlying an osteoma, as well as choroidal neovascularization can also be observed [53].

Hand-held high-resolution SD-OCT is a useful device for children, mainly in retinoblastoma patients [54]. Tumours appear as thickened and disorganized outer retinal layers with posterior shadowing [49, 54] (Table 4.2).

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## 4.5 Optical Coherence Tomography Angiography (OCT-A)

Optical coherence tomography angiography (OCT-A) is a novel, noninvasive modality that provides en-face retinal angiographic images



**Fig. 4.9** Optical coherence tomography of the macula with vitreoretinal lymphoma showing hyper-reflective subretinal infiltrates and sub-retinal pigment epithelium deposits

**Table 4.2** Enhanced depth-imaging OCT features of choroidal tumours

Clinical diagnosis	Choroidal reflectivity	Choroidal shadowing	Choriocapillaris visibility	Large vessel visibility	Inner sclera
Amelanotic naevus	Medium	–	+	+	+
Melanotic naevus	High	±	±	±	–
Melanoma	High	+	–	–	–
Haemangioma	Low to medium	+	±	–	–
Metastasis	Low	–	+	+	+

Adapted from Medina et al. [49]

+ detectable, – non-detectable, ± variably detectable

using the principle of split-spectrum amplitude decorrelation angiography [55, 56], providing an image of blood flow through vessels in different vascular layers. Preliminary studies have revealed interesting findings that suggest the possibility of differentiating choroidal melanoma from naevus using the OCT-A, but larger studies are needed to confirm these findings. Comparisons with the normal contralateral eye have shown that in choroidal naevi, there is no difference in the superficial foveal avascular zone (sFAZ), deep foveal avascular zone (dFAZ), superficial capillary vascular density (sCVD) or deep capillary vascular density (dCVD) [57]. However, suspicious choroidal naevi with three or more risk factors for transformation showed reduction in dCVD compared to

contralateral eyes [57]. In treatment-naïve choroidal melanomas, there was no difference in the sFAZ compared to the normal contralateral eye, however, dFAZ was enlarged, but only in macular choroidal melanomas [57, 58]. The sCVD is reduced only if subretinal fluid is present, and dCVD is reduced in all cases of choroidal melanoma regardless of subretinal fluid and tumour location, and the reduction is proportional to tumour thickness and diameter [57, 58]. Choroidal melanomas treated with plaque radiotherapy demonstrated significant enlargement of the foveal avascular zone and decreased capillary vascular density of both the superficial and deep plexuses, even in eyes with no clinical evidence of radiation maculopathy [59].

## 4.6 Summary

The ocular oncologist employs a range of imaging devices to diagnose, record and judge treatment response in tumours. As new devices appear, the ability to recognize patterns to make a final diagnosis becomes of ever increasing importance.

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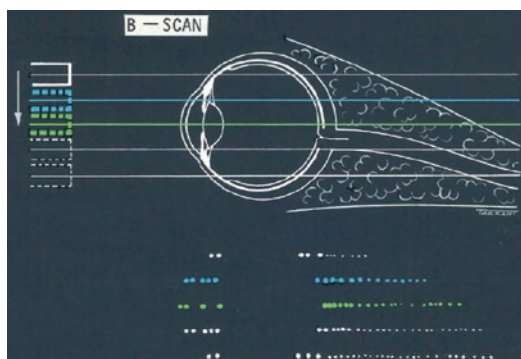
# Ultrasound Examination in Intraocular Tumours

# 5

Marie Restori and Mandeep S. Sagoo

## 5.1 B-Mode Ultrasound

A transducer emits ultrasonic pulses and echoes received by the same transducer are recorded as electrical signals and displayed on a screen as brightness modulated spots. Strong echoes are displayed as bright spots and weak echoes as dim spots [1, 2]. The transducer is moved in a straight line (Fig. 5.1) or rocked through an angle and the echoes are plotted on the display, their positions on the screen based on an assumed average speed of sound in the tissue of 1550 m/s. This B-Scan represents a cross sectional image of the eye and orbit. Most dedicated eye scanners use a mechanically rocked single transducer to produce trapezoidal shaped (sector) B-Scans. The transducer is usually housed in a column of oil or water within a plastic case, the outer front surface of which forms the probe footprint for contact to the eye or eyelid. Typical frame rates are 24 B-Scans per



**Fig. 5.1** B-scan technique

second. Operators often scan on the open anaesthetised eye using a viscous gel couplant. Each line of echoes that comprises the B-Scan is known as an A-line. Some operators measure echo amplitude using an A-Scan (like an A-line but echoes plotted as spikes) in addition to B-Scan to help differentiate pathology. Good grey-scale on the B-scan enables a wide range of echo amplitudes to be displayed as different shades of grey and so A-scan measurements can be unnecessary. A maximum amplitude echo is recorded when the sound pulses strike tissues perpendicularly. This can be achieved by either moving the probe or asking the patient to move the eye or a combination of both.

Solid state array probes are comprised of numerous transducers which are electronically fired in sequence to simulate a single moving transducer to produce either a trapezoidal shaped

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(sector) or rectangular shaped (linear) image. Scanners that use array probes assume a system velocity of 1540 m/s. Much higher frame rates are achievable with array probes, typically using 42 B-Scans per second and working through closed eyelids. Sector arrays have a smaller footprint, give a wider-angle view and are useful for imaging the equatorial globe. Disadvantages are poor anterior globe view and decreasing lateral resolution with depth. Linear arrays have a larger footprint, poorer equatorial view but image the anterior structures well and the lateral resolution is constant with depth. Higher frequencies enable shorter pulses which give better axial resolution but these frequencies are preferentially absorbed. A compromise between axial resolution and penetration of sound is required. Most images shown herein were taken at 14 MHz. The probe is moved across the eye to produce a whole series of B-Scan images which the operator can visualise as a virtual 3D image in seconds.<sup>1</sup>

### 5.1.1 Tumour Appearances on B-mode

Tumours are seen as a group of echoes attached to the coats of the eye in a domed, ‘collar-stud’ or sessile overall shape (Figs. 5.2, 5.3, 5.4, 5.5, 5.6, and 5.7) [1]. The anterior surface of a tumour may be smooth or irregular. Internal echo amplitudes may be uniform or varied.

Malignant melanomas, tend to be domed or ‘collar-stud’ in shape and are generally of mixed, medium and low, internal echogenicity (Fig. 5.2) [3]. ‘Choroidal excavation’ (Fig. 5.2a)—replacement of normal stronger choroidal echoes by lower amplitude tumour echoes—is often detected. Choroidal haemangiomas show uniform, high or medium, echo amplitude (Fig. 5.3a, b). Calcifications, seen as very high amplitude echoes, are detected in osteoma (Fig. 5.3c) and retinoblastoma (Fig. 5.8). Calcifications, even micro-calcifications, cause shadowing (Figs. 5.3c

<sup>1</sup>Examination times should be kept as short as possible to minimise exposure times. Also the power outputs settings on scanners should be set as low a practical and ideally should have an MI setting ~0.2 or less.

and 5.8). Extra-scleral spread of tumours (Figs. 5.5 and 5.7) into fat is recognised by the contrast in brightness between the lower echogenicity of the tumour and that of normal fat.

Measurements are taken of the lesion base in two orthogonal meridians and the maximum tumour elevation. Repeat measurements at regular intervals can be used to assess growth or regression.

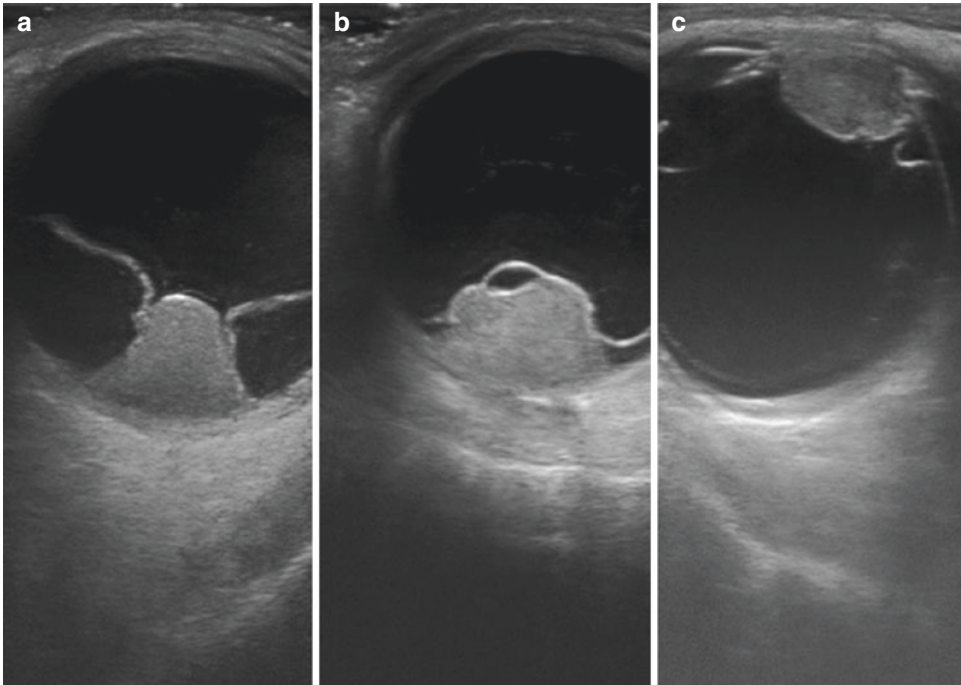
## 5.2 Ultra High Frequency B-scanners (Ultrasound Biomicroscope-UBM)

If only the anterior globe structures are of interest then higher frequency transducers (30 MHz, 50 MHz, 60 MHz or even 100 MHz) can be used. Such high frequency probes are difficult to deploy as an array and therefore, UBMs tend to have a single transducer mechanically rocked. As sound attenuation is problematic at these frequencies, probes need to couple to the open anaesthetised eye. Manufacturers have devised innovative, disposable coupling techniques for these scanners. UBMs are useful for examining for example, very small ciliary body tumours. If the anterior structures are not optically opaque then anterior segment optical coherence tomography is preferable.

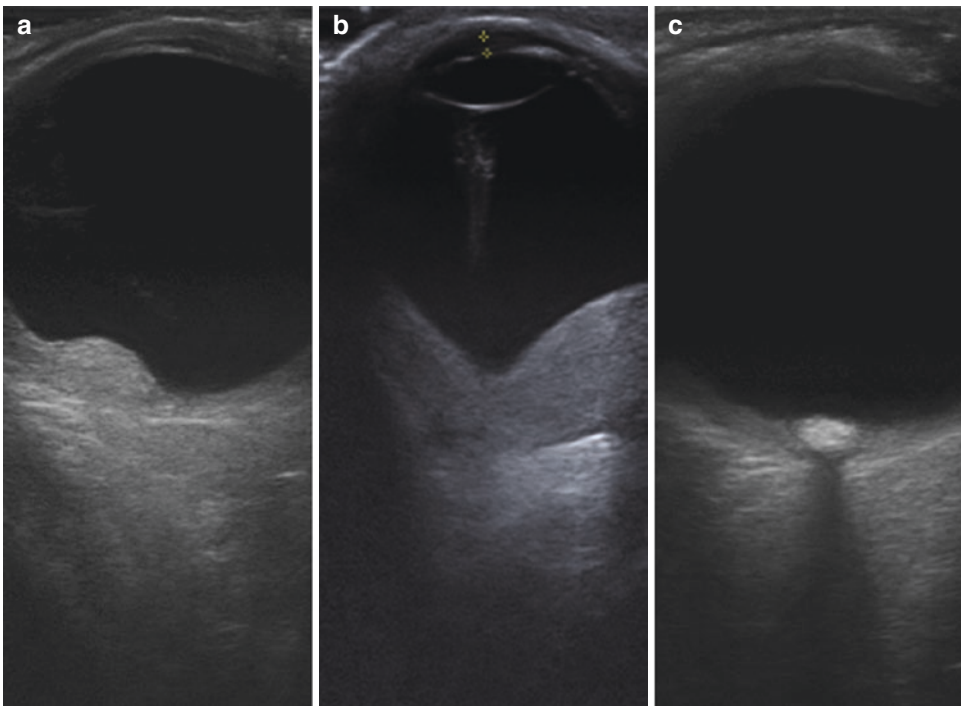
## 5.3 Imaging of Movements

At frame rates of 24 B-Scans per second and higher, any movements of the eye and contained pathology are seen clearly in real time. Haemorrhage can be seen to swirl following eye deviations whereas most of the internal echoes within a solid lesion are static. Blood flowing in tumour vessels can be visible on the real-time B-Scans but this requires the patient’s eye and the probe to be static. Whether blood flow is visible in this way is determined by the B-Scan frame rate and the velocity of the blood flow during systole<sup>2</sup> and system sensitivity.

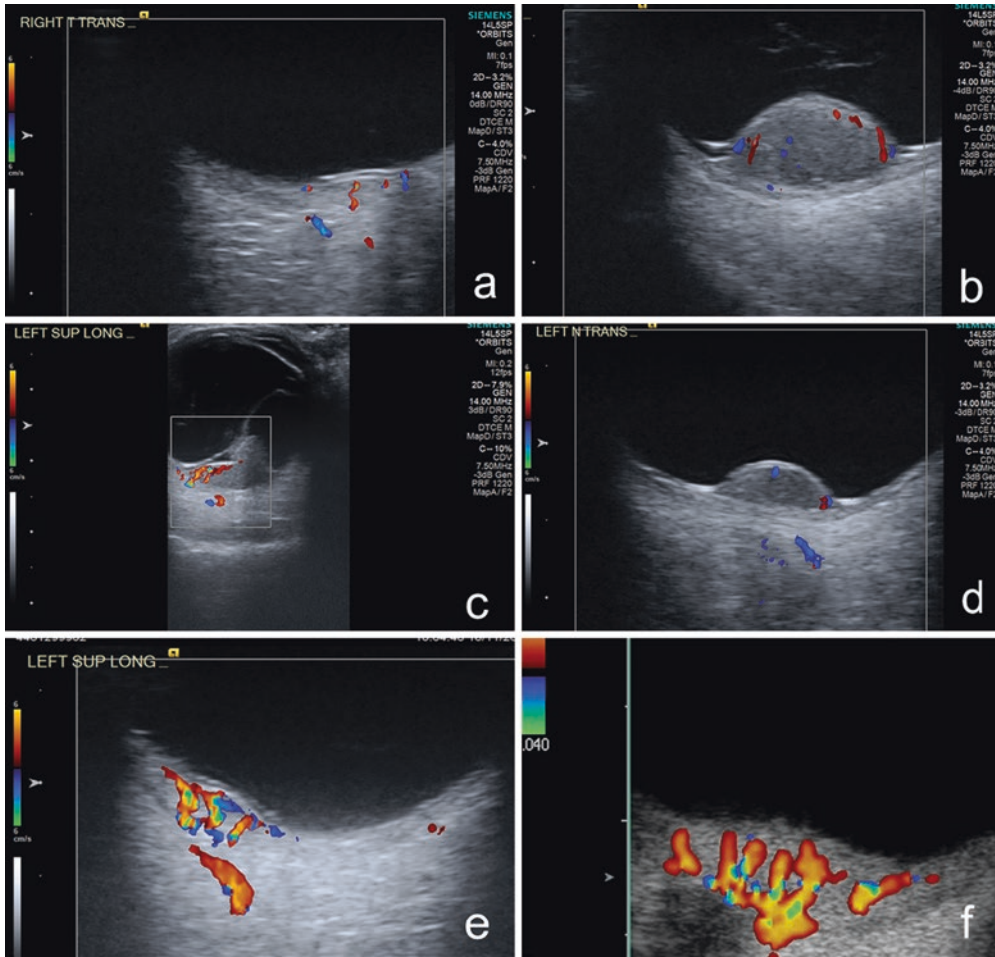
<sup>2</sup>Nyquist theorem.



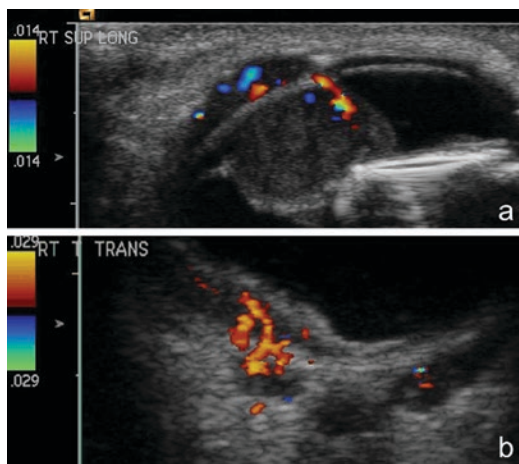
**Fig. 5.2** B-Scans malignant melanoma: medium and low internal echogenicity: retinal detachment. (a) Posterior 'collar-stud'. (b) Bi-lobed posterior 'collar-stud'. (c) Ciliary body lesion



**Fig. 5.3** B-Scans. (a) Choroidal haemangioma: uniform high internal echogenicity. (b) Choroidal haemangioma: uniform medium internal echogenicity: closed funnel retinal detachment; shallow anterior chamber; Sturge-Weber syndrome. (c) Choroidal osteoma: high internal echogenicity shadowing from calcification



**Fig. 5.4** Colour flow maps showing internal blood vessels; (a–d) malignant melanoma. (e, f) Choroidal haemangioma

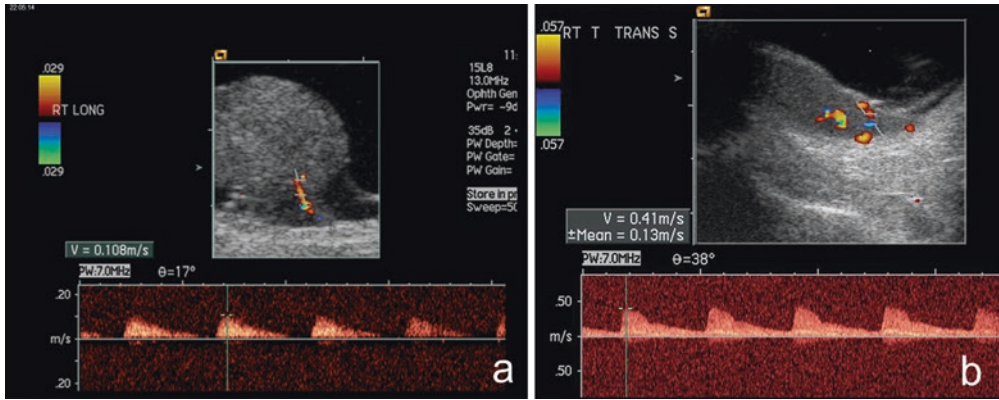


**Fig. 5.5** Colour flow maps: zoomed images: intra-ocular lymphoma. (a) Iris lesion with anterior spread. (b) Posterior sessile lesion with spread into orbital fat pad

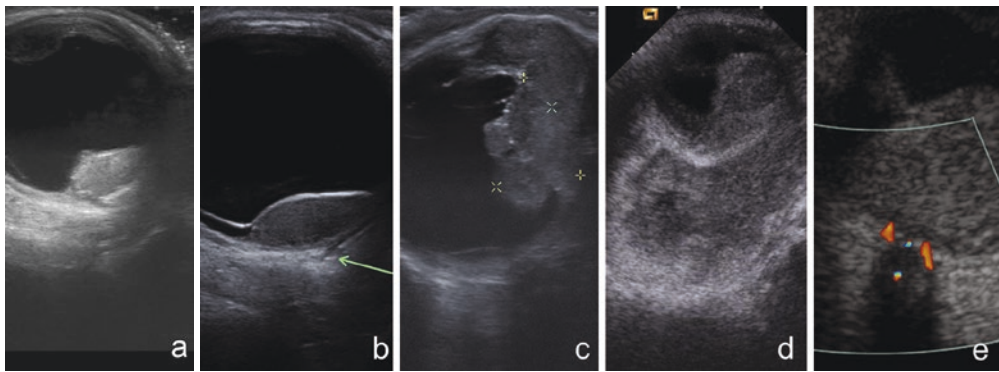
Following measurements and movement examination operators may wish to perform blood flow studies.

### 5.4 Colour Flow Mapping and Spectral Doppler Techniques

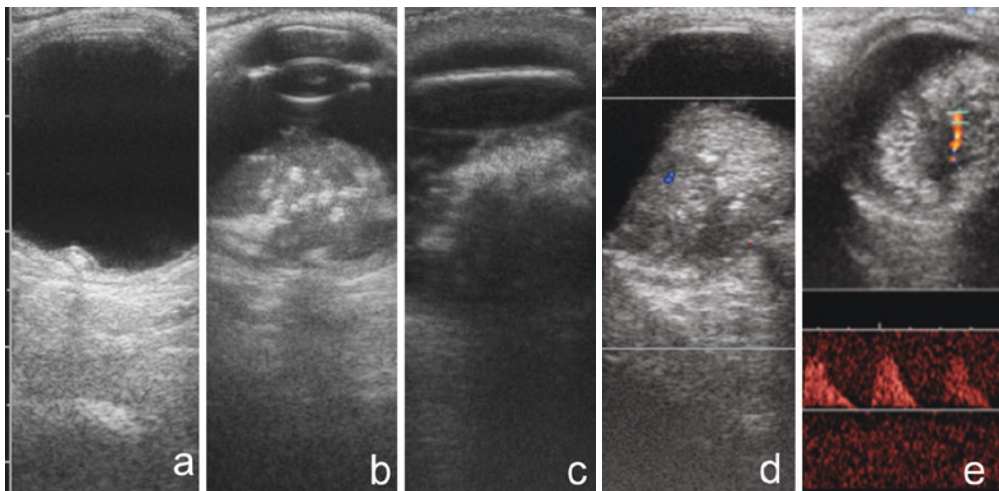
Colour Flow Mapping is a technique to image blood flow in colour and is available on array scanners. A window of variable size is positioned on a region of interest on the B-Scan. The patient’s eye and the probe should be static to detect small displacements in echo positions over short time intervals. Any displacements detected are con-



**Fig. 5.6** Colour flow maps with spectrogram. (a) Malignant melanoma: systolic velocity of internal flow 11 cm/s. (b) Choroidal haemangioma: systolic velocity of internal flow 41 cm/s



**Fig. 5.7** Malignant melanoma. (a, b) Small extra-scleral spread (arrow). (c) Massive anterior extra-ocular spread: cursors mark intra-ocular portion of lesion. (d) Massive posterior extra-ocular spread filling entire orbital cavity. (e) Spread into the optic nerve sheath detected on colour flow map (arrow)



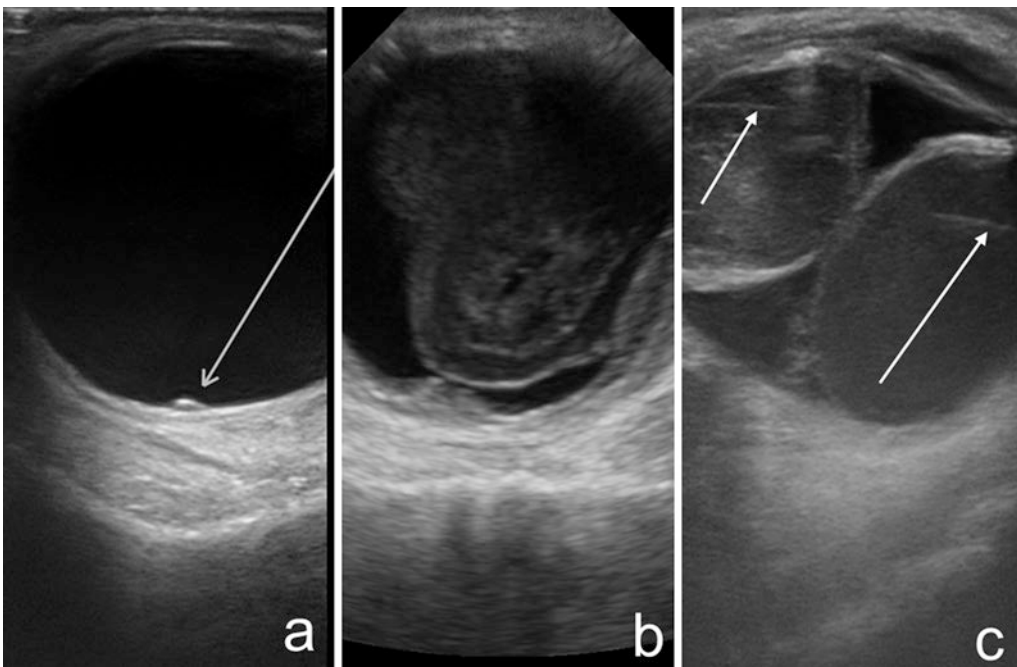
**Fig. 5.8** Retinoblastoma (a-d) showing varying degrees of calcification and consequent shadowing. (e) Velocity of blood flow in retinoblastoma of 13 cm/s

verted to velocities and plotted in real time onto the B-Scan in colour. Any inadvertent movements of the patient's eye or the probe is seen as a burst of colour on the screen. Arteries are seen as colour flashes at the heart rate and veins as a continuous colour. Convention in all body parts is to show flow towards the probe in red and away from the probe in blue. If the velocity scale is set too low the colours wrap around and the directional information is lost. Colour flow maps showing blood flow in tumours are shown in Figs. 5.4, 5.5, 5.6, 5.7, and 5.8. A gate (seen as two horizontal white lines on image) is placed on the imaged blood vessel and an angle correction made by aligning a marker along the vessel. A graph of blood flow velocity against time, known as a spectrogram or spectrograph (Figs. 5.6, 5.7, and 5.8) is plotted. Arterial traces on the Spectrogram repeat with each heart beat whereas veins show no fluctuation in velocity on the display. The spectrogram is a quantitative technique which allows measurement of the maximum systolic velocity. Usually a tumour depth of 2 mm is required to measure accurate velocities.

Most melanomas have a maximum systolic velocity around 11 cm/s (range 5–30 cm/s). The higher velocities in this range are only seen in aggressively growing lesions. Choroidal haemangiomas appear highly vascular on colour flow mapping (Fig. 5.4e, f) and systolic velocity within most are around 20 cm/s. (range 15–50 cm/s). Similarly, higher velocities in this range are only seen in actively growing lesions. Posterior mucosa associated lymphoid tissue (MALT) lymphomas appear sessile, the base of the tumours is much larger than the elevation. Although lesions are often very shallow they frequently spread into the orbit. Internal echogenicity is mixed, medium and low. Blood flow is easy to detect within both the intra-ocular and orbital portions of posterior lymphoma (Fig. 5.5b).

Metastatic tumours vary in appearance considerably, sometimes reflecting the appearance of the primary. The appearance can change rapidly.

Shallowly elevated echolucent dilated vortex veins (Fig. 5.9a), may mimic a small lesion but can be observed to flatten on compression. Eccentric disciform or peripheral exudative



**Fig. 5.9** (a) Echolucent dilated vortex vein: flattens on compression. (b) Posterior vitreous detachment with dense intra gel haemorrhage: disciform lesion (peripheral exudative haemorrhagic chorioretinopathy) with areas of

sub-retinal haemorrhage. (c) Supra-choroidal haemorrhage; attachment to vortex ampullae of vortex vein seen as a straight lines (arrows)

haemorrhagic chorioretinopathy with associated subretinal haemorrhage (Fig. 5.9b) may mimic a metastatic deposit. Supra-choroidal haemorrhage (Fig. 5.9c) with active bleeding can be confusing but will be seen to reduce in size on follow up.

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## 5.5 Standardized A Scans

A-scans can be used once the B scan determines the site and topography of the tumour [4, 5]. These are able to give information on reflectivity and attenuation of the ultrasound waves by internal structures. However, good grey-scale B-Mode with high frame rates to echo amplitudes of pathology to be assessed, enable dynamic studies of pathology and blood flow movement to be imaged on the B-Scan. B-Mode images as described here are comprised of numerous A-Scan data lines but presented in a different format. Although, some workers place stress on the exact echo amplitude as measured on an A-scan line, this may reflect the use of scanners with poorer grey scale facility.

With experience of diagnostic B-Scans the need to access the raw A-scan data becomes unnecessary. Echo amplitude is highly dependent on angle of incidence of incoming sound pulses. Probe movement and good B-Mode grey-scale enable relevant echo amplitude data to be quickly

assessed. Colour flow mapping and spectral Doppler can be used instead on suitable B mode scanners to provide additional data in tumours studies.

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## 5.6 Conclusions

Ultrasound techniques are an essential part of ocular oncology, particularly as most intraocular tumours do not undergo diagnostic biopsy. B-scan with colour flow mapping is very useful in refining the differential diagnosis.

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# Uveal Melanoma: Diagnosis, Classification and Management

# 6

Ronel Veksler and Ido Didi Fabian

## 6.1 Introduction

Uveal melanoma (UM) is the most common primary intraocular malignancy in adults, yet a relatively rare cancer. Among all melanoma cases, approximately 5% arise from the ocular and adnexal structures [1]. Of these, the majority are uveal in origin. There are approximately 1400 newly diagnosed cases in the US and 500 in the UK each year. Incidence of UM varies by various factors. UM mainly affects light-skinned individuals, though it can occur less frequently in dark-skinned. In the US, the incidence is approximately 5 per million population, but higher in non-Hispanic whites with approximately 6 new cases per million population per year. In Hispanics, incidence is 1.7 per million and in blacks <0.5 per million population [2–4]. In Europe, incidence increases with latitude, with approximately 2 cases per million in Mediterranean countries, up to 6 per million in central European countries and >8 per million population in Scandinavia [5]. UM is rare in Asians. The malignancy affects males and females at a similar rate, with a slight predominance among males. The mean age of diagnosis is approximately 60 years; however, the peak range is in the eighth decade of life [3, 6].

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UM arises from melanocytes in the choroid, ciliary body, referred together as posterior UM, or iris. Approximately 95% of the cases are posterior UM, with the vast majority involving the choroid, and the remaining 5% are iris melanomas. Risk factors associated with UM include fair skin, light eyes and northern European ancestry. The development of UM is considered to be a sporadic event, although several syndromes are known to be associated with higher risk to develop the eye cancer, including ocular melanocytosis, dysplastic nevus and BAP1 cancer predisposition syndrome [7–9]. There is currently no definite evidence linking UV light with UM. Choroidal nevus, which is found in approximately 5% of the general population [10], rarely transforms into melanoma (1/9000) [11]. However, the presence of typical clinical features, including the presence lipofuscin, tumor >2 mm in elevation, proximity to the optic disc, presence of subretinal fluid and symptoms, are associated with increased risk for tumor growth and transformation into UM [12].

The most common presenting symptom in patients with UM is blurred vision, followed by photopsia, floaters, visual field defects and less common symptoms. In approximately 1/3 of UM patients, tumors are incidentally found on routine eye examination (i.e. asymptomatic) [13]. Patients with Iris melanoma often present with complaints of iris color changes. Clinical diagnosis of UM requires the expertise of an ocular oncologist. In most cases, the diagnosis is



established following clinical examination, in which a pigmented dome-shaped mass is observed. Ultrasound (US) examination, both A- and B-mode, is a critical tool in the diagnosis and evaluation of UM, demonstrating an elevated intraocular lesion (B-scan) with low-medium internal reflectivity (A-scan). Additional imaging tools, among others, include optical coherence tomography (OCT), with enhanced depth imaging (EDI), and fluorescein angiography (FA). Suspicious iris or choroidal nevi may require serial fundus photographs to demonstrate tumor growth (i.e. malignant transformation). Tumor biopsy by various techniques is rarely used for diagnosis, but commonly performed for prognostication [14].

The American Joint Committee on Cancer (AJCC), which uses the universal tumor (T), node (N), and metastasis (M) (TNM) staging, divides UM into anterior (i.e. iris) and posterior (i.e. ciliary body and choroidal) systems, which differ anatomically and prognostically. On analysis, higher AJCC stage was found to correlate with an increased risk of metastatic spread and death [15].

Various treatment modalities are available today for UM. Historically, enucleation was the only treatment option, still used today as the primary modality, mainly for large tumors. Most cases nowadays, however, are treated conservatively by means of radiotherapy, mainly plaque brachytherapy or proton-beam radiotherapy [16]. Several laser-based techniques, used for other ophthalmic indications, were also tested for UM, including laser photocoagulation and photodynamic therapy, showing variable results [17].

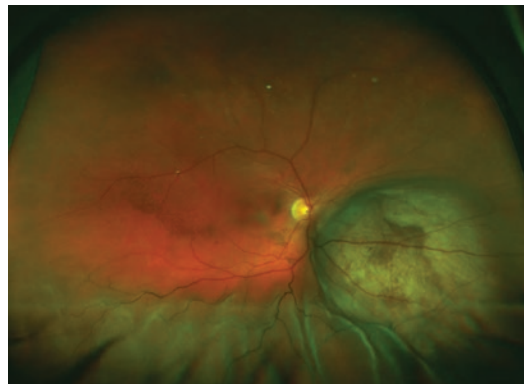
This chapter will discuss the diagnosis, classification and management options for UM.

## 6.2 Diagnosis

The history for UM or any suspicious intraocular tumor should include questions about the past general medical history, family history of cancer as well as history of any type of malignancy with the individual, past ocular problems and interventions, systemic and ocular use of medication,

and current visual symptoms. In a review by Damato et al. of 2384 UM patients in the UK [13], nearly 40% had blurred vision, 9% photopsia, 7% floaters, 6% visual field loss, 3% visible tumor, 2% pain, and 2% metamorphopsia. Interestingly, 30% of patients reported no symptoms.

In contrast to most systemic malignancies, UM diagnosis is based on clinical examination, rather than tissue-biopsy. The reasons are, one, to avoid intraocular biopsy-related complications, and two, because in the vast majority of cases, clinically-based diagnosis is sufficiently accurate. In the Collaborative Ocular Melanoma Study (COMS), the largest prospective multicenter trial in the field of ocular oncology, 413 cases clinically diagnosed with UM were examined also by means of histopathology (following enucleation) [18]. Of these, 411 (99.5%) were confirmed to be UM. The definite diagnosis, treatment and monitoring of UM should be done by an expert in the field of ocular oncology. Clinical examination is based primarily on biomicroscopy and indirect ophthalmoscopy. Most cases of posterior UM demonstrate a pigmented mass with overlying retinal detachment (Fig. 6.1). Iris melanoma, the rarest form of uveal melanoma, usually appears in the inferior part of the iris, with presenting symptoms include iritis, glaucoma, hyphema and sector cataract [19]. Secondary glaucoma can result either because of compression of the anterior-chamber angle,



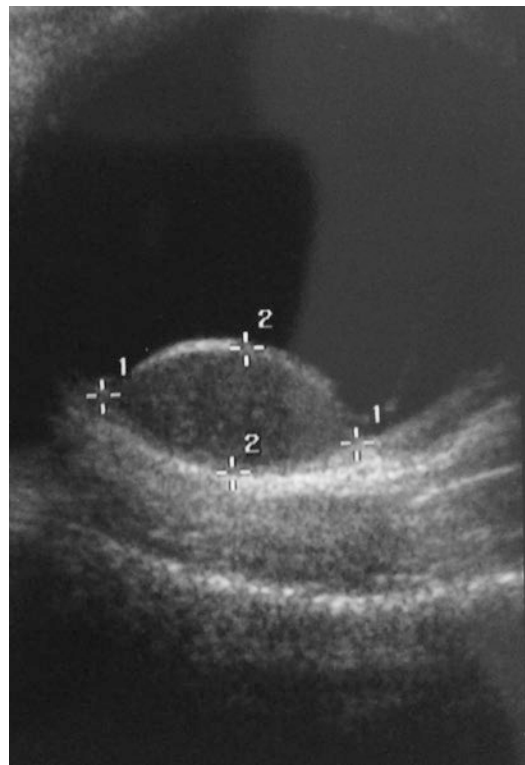
**Fig. 6.1** A color photo of a right eye juxtapapillary choroidal melanoma on the nasal side of the optic disc. Note the inferior retinal detachment

**Table 6.1** Common pseudomelanomas [20–22]

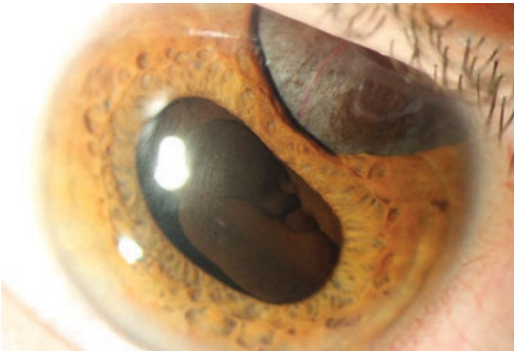
Choroidal pseudomelanoma	Ciliary body pseudomelanoma	Iris pseudomelanoma
Choroidal nevus	Iris cyst arising from the pigment epithelium	Iris cyst
Peripheral exudative hemorrhagic chorioretinopathy	Medulloepithelioma	Iris nevus
Congenital hypertrophy of the retinal pigment epithelium	Leiomyoma	Essential iris atrophy
Hemorrhagic detachment of the retina or pigment epithelium	Age-related hyperplasia of the non-pigmented ciliary epithelium (Fuchs adenoma)	Foreign body
Circumscribed choroidal hemangioma	Adenocarcinoma	Peripheral anterior synechia
Age-related macular degeneration	Adenoma	Secondary metastasis

tumor invasion of the angle or blockage of the trabecular meshwork due to infiltration of either tumor cells or macrophages. The tumor color is brown in most cases but can also be amelanotic. Ophthalmoscopy may reveal intrinsic tumor vessels, sentinel episcleral vessels, anterior segment pigment dispersion, synechiae, corectopia and ectropion uvea.

Several conditions can simulate UM (Table 6.1). However, clinical examination in addition to ancillary tests are usually sufficient to make a diagnosis. Ancillary tests commonly used include color fundus photography, US, OCT, FA, indocyanine green (ICG) angiography, and fundus autofluorescence, used as needed, tailored per patient. The most important auxiliary test is the US, with both A- and B-modes. The most important feature of the A-mode is the internal reflectivity, which typically shows low-medium spikes in case of UM. Although not a pathognomonic feature, however, it greatly assists in differentiating UM from other benign (e.g. choroidal hemangioma) and malignant (e.g. choroidal metastasis) tumors. In B-mode, the choroidal tumor appears as a dome-shaped or mushroom-shaped mass (Fig. 6.2). Using B-mode (and independently A-mode), tumor dimensions can be measured, including base diameter and height, and internal vascularity, using a Doppler probe, can also be evaluated. In addition, a choroidal excavation and orbital shadowing may be observed, as well as areas of extraocular extension. Ciliary body melanoma may remain asymptomatic and unrecognized for a long period of time, before symptoms develop due to disloca-

**Fig. 6.2** Dome-shape choroidal mass as demonstrated by B-mode ultrasonography

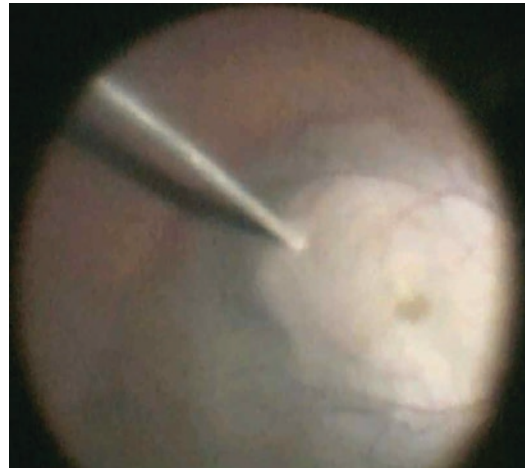
tion of the lens (which causes astigmatism), cataract, elevated intraocular pressure or iris root detachment (Fig. 6.3). Dilated episcleral blood vessels (sentinel vessels) can also be noticed. Ultrasound biomicroscopy (UBM) is especially valuable in the detection and follow-up of ciliary body melanomas, specifically small ones (<4 mm in elevation), which are harder to detect using



**Fig. 6.3** A color photo of a large ciliary body melanoma that have detached the iris root and can be seen also through the pupil

other methods and allows differentiation from a cyst as well evaluation of extension of the tumor [23]. The output of US examination not only assists in establishing the correct diagnosis, but also guides treatment (enucleation vs. conservative, and radiotherapy planning) and is a very powerful tool in monitoring cases following primary treatment. OCT is a useful non-invasive tool to detect overlying subretinal fluid as well as changes in the retina and sub-retinal layers. With enhanced depth imaging EDI-OCT, it is possible to evaluate also deeper structures such as the choroid and sclera. Using EDI-OCT, Shields et al. were able to characterize small choroidal melanoma and differ the malignant lesions from benign choroidal nevi [24]. Features of choroidal melanoma included increased tumor thickness, subretinal fluid, subretinal lipofuscin deposition, and retinal irregularities. OCT is also a useful imaging tool in monitoring post-radiotherapy complications, such as macular edema. FA and ICG are not commonly used for the initial diagnosis of UM. However, in certain cases these modalities are found useful, for example, in demonstrating early filling of a choroidal hemangioma, differentiating it from an amelanotic melanoma. FA features of UM include intra-tumor as well as choroidal circulation (“double circulation”). Angiography tests are also useful in detecting neovascularization of the disc or retina post-radiotherapy [24].

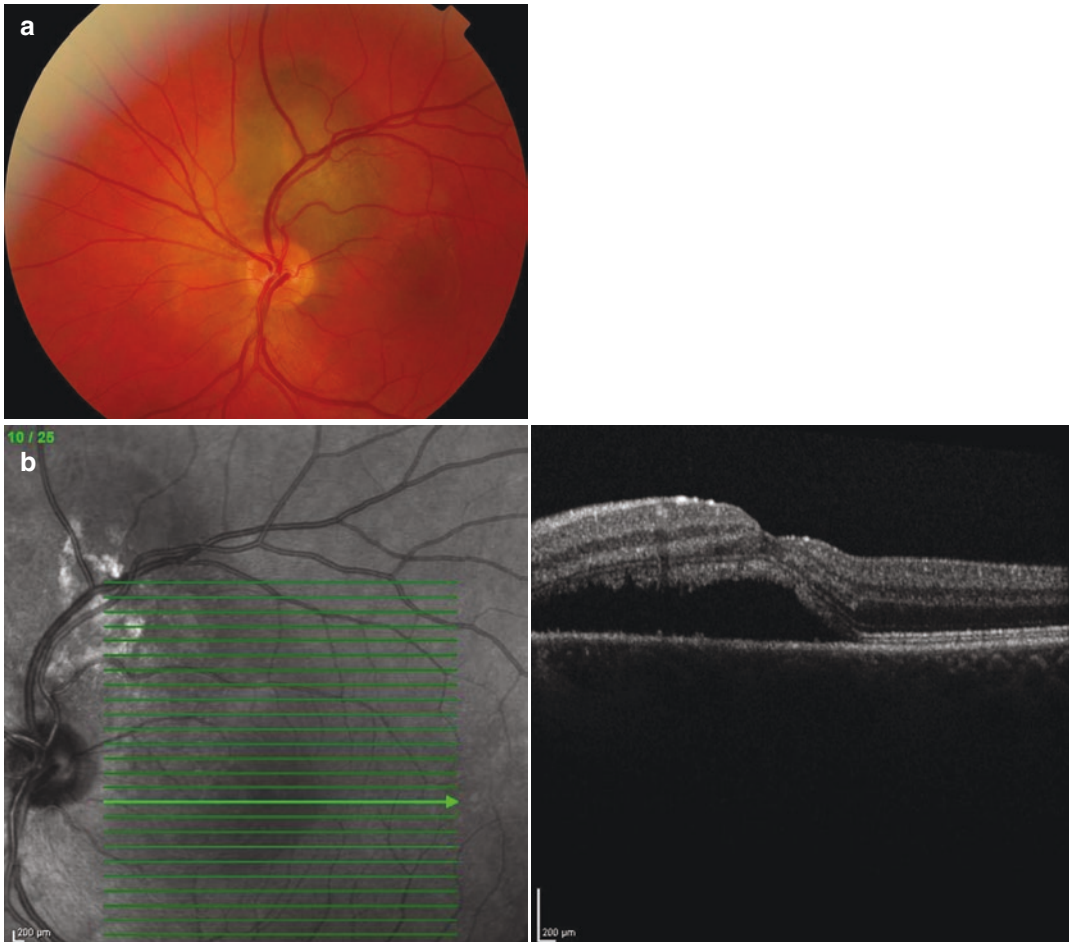
Biopsy is rarely performed to establish the diagnosis of UM. However, in certain cases it is a useful tool to differentiate between tumor types.



**Fig. 6.4** A right eye Transvitreal biopsy of a posterior amelanotic choroidal melanoma using a 25G needle. Histopathological analysis confirmed the diagnosis of a melanoma and demonstrated monosomy 3, a prognostic factor known to be associated with higher chances to develop distant metastasis

Tumor biopsy can be performed by various ways, depending on tumor site (iris, ciliary body or choroid), size, equipment and expertise. Sampling can be done by use of a fine needle aspiration biopsy (i.e. cytology), incisional or excisional tumor biopsy (i.e. pathology). A ciliary body mass can be reached via transscleral route, whereas a post-equatorial choroidal tumor by a transvitreal approach (using a needle (Fig. 6.4), or vitrectomy). Tissue is often used for prognostic evaluation as well and biopsy yield is considered to be very high [25].

At times, differentiating a choroidal nevus from an early melanoma can be challenging (Fig. 6.5). Radiotherapy, the most common treatment modality used for UM, may result with complications such as retinopathy, papillopathy, maculopathy, neovascularization, secondary glaucoma and need for secondary enucleation [26], hence treatment should be used only in cases of definite tumor growth or highly suspected tumors. Shields et al. analyzed 2514 consecutive cases of choroidal nevi, investigating predictive features for growth into melanoma [12]. Tumor growth was observed in 9% and 13% of eyes after 5 and 10 years, respectively. Factors found to be predictive of growth included tumor thickness greater than 2 mm, subretinal fluid,



**Fig. 6.5** A right eye juxtapapillary choroidal A color photo of a left eye juxtapapillary suspicious choroidal lesion (a). Risk factors for growth included presence of

symptoms, proximity to the optic disc, orange pigment and subretinal fluid, also seen on optical coherence tomography (OCT; b)

presence of symptoms, orange pigment, tumor margin within 3 mm of the optic disc, ultrasonographic hollowness, and halo absence. A useful mnemonic to recall these risk factors is “To find small ocular melanoma using helpful hints”, representing thickness, fluid, symptoms, orange pigment, margin, ultrasonographic hollowness, and halo absence.

### 6.3 Classification

UMs are routinely classified according to tumor dimensions, although different studies used different size definitions. According to the COMS, small tumors are 1.5–3.0 mm in height and 5.0–

16.0 mm in diameter, medium tumors are 3.1–8.0 mm in height and  $\leq 16.0$  mm in diameter and large tumors are  $>8.0$  mm in height and  $>16.0$  mm in base diameter [27]. The COMS have specified tumor size as the strongest indicator for metastasis and metastatic death [28]. Ten-year survival rates for UM have been published as 81% for small melanomas, 60% for medium melanomas, and 35% for large UMs [29].

The AJCC classification of posterior UM is based on tumor dimensions (base diameter and tumor height), ciliary body involvement and extraocular extension (T category) [15]. Staging, which combines T categorization with lymph node involvement (N) and distant metastasis (M), is used for prognostic estimation. Shields et al.

investigated the clinical features and prognosis of posterior UM based on the seventh edition AJCC staging system. Of the 7731 patients enrolled, after therapy, Kaplan-Meier estimates of metastasis at 1, 5, 10, and 20 years were <1%, 5%, 12%, and 20% for stage I; 2%, 17%, 29%, and 44% for stage II; 6%, 44%, 61%, and 73% for stage III, and 100% by 1 year for stage IV. Kaplan-Meier estimates of death at 1, 5, 10, and 20 years were <1%, 3%, 6%, and 8% for stage I; <1%, 9%, 15%, and 24% for stage II; 3%, 27%, 39%, and 53% for stage III, and 100% by 1 year for stage IV [15].

AJCC classification of iris melanoma is based on tumor size in the iris, existence of secondary glaucoma, extension into the ciliary body, choroid and sclera, as well as extraocular extension [30]. Mostly due to the visibility of iris tumors, 75% of patients are diagnosed with T1 tumors, 19% with T2, <1% with T3 and 5% with T4. Secondary metastasis is more common when secondary glaucoma exists, with a hazard ratio (HR) of 4.51, when the tumor is categorized as T2 (vs. T1, HR 4.09) and when the tumor category is T4 (vs. T1, HR 30.8). Melanoma-related death risk increases with age, T2 category (vs. T1, HR 8.07) and T4 category (vs. T1, HR 20.3).

With genetic analysis taking a more central part in prognostication in oncology in general, and ocular oncology in specific, cytogenetic studies enable classification of UM based on molecular properties of the tumor. This topic will be further discussed in the UM genetics chapter.

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## 6.4 Management

UM is potentially a deadly metastatic cancer that can spread hematogenously primarily to the liver, but also to the lung, bone, and skin [31]. Once metastasis occurs, survival is estimated at 9 months [32]. As mentioned, a major risk factor for distant spread is the tumor size at presentation. It is therefore important to detect a choroidal tumor as early as possible and begin treatment. While a watchful waiting approach is not warranted in case of a definite UM, it may be employed in selected cases of small suspicious

nevi, if close monitoring can be guaranteed. However, once tumor has shown evidence of growth, intervention to reach tumor control should be practiced.

Historically, enucleation (i.e. eye removal) was the only treatment modality available for UM. In enucleation surgery, the whole eye is removed, while the extraocular muscles and other orbital tissues remain intact. In advance cases of large extraocular extension, enucleation may not suffice, and exenteration (i.e. removal of the content of the globe) should be practiced. In the 1970's, Zimmerman and colleagues noted an increase in the rate of metastasis and death following enucleation [33]. The authors hypothesized (known as the "Zimmerman hypothesis") that a rise in intraocular pressure at the time of cutting of the optic nerve causes systemic dissemination of tumor cells. Consequently, various enucleation-enhanced techniques were introduced in an attempt to reduce this risk. However, further investigations suggested that metastases are dispatched to the systemic circulation early in the course of disease, regardless of the primary surgery, likely at or before presentation and treatment. Today, enucleation is reserved for large UM, cases associated with a circumferential drainage angle component ("ring melanoma"), melanoma encircling the optic disc, melanoma with large extraocular extension and as a secondary treatment modality after failure of conservative measures [34].

Plaque radiotherapy or brachytherapy for UM was first explored by Stallard in England in the 1940's [35]. The first used applicator was radioactive cobalt 60, followed by iridium 192, ruthenium 106, Iodine 125 and palladium 103. The COMS' medium-sized UM arm demonstrated no significant difference in mortality rate between conservatively treated patients (by means of a iodine 125 applicator) and patients undergoing primary enucleation [36]. Consequently, plaque brachytherapy became the mainstay treatment for medium-sized UM, whereas enucleation was generally abandoned as a primary modality for this indication. The most commonly used applicator today is iodine 125, mainly in north America, and ruthenium 106 in Europe. The

COMS included only selected cases of choroidal melanoma, excluding cases of extraocular extension, juxtapapillary tumors, ciliary body and iris melanomas. Further investigations, however, on these UM subtypes, found plaque brachytherapy to be very useful in reaching tumor control, establishing the treatment modality as the main option for most UM cases [37–39]. Using ruthenium 106 plaques for iris melanoma in 19 consecutive cases, Agraval and colleagues reported a 100% tumor control and eye retention rate after a mean follow-up of over 5 years [40]. Fernandes et al. reported similar results using iodine 125 plaques for iris melanoma [41]. Sagoo et al. reported their results in treating juxtapapillary choroidal melanoma with plaque brachytherapy [42]. Tumor control was found in 14% and 21% after 5 and 10 years, respectively. They also found on analysis that eyes treated with adjuvant transpupillary thermotherapy (TTT) had lower chances of recurrence. Krema and colleagues used iodine 125 plaques for ciliary body melanomas in 42 patients [43]. After a median follow-up time of 43 months, tumor control was reported to be 98%. Shields et al. used plaque brachytherapy for large posterior UM ( $\geq 8$  mm in thickness) and found it to be useful, reaching tumor control after 10 years of follow-up in 87% of cases.

Proton beam radiotherapy for UM was first described in Boston in 1980 by Gragoudas and colleagues [44]. Since its introduction, it became an important conservative therapeutic alternative for this indication, used by many centers across the world [45–47]. It utilizes a beam of protons to irradiate a tissue with the dose deposited over a narrow depth range. As it is delivered from an external device (subtype of external beam radiotherapy), rather than positioned on the eye wall, like radioactive plaque surgery, it may cause side effects in extraocular structures, such as the eyelids, lacrimal gland, and the tear ducts. Damato et al. reported the results of a total of 349 UM patients (all choroidal melanoma) [47]. The 5-year actuarial rates were 3.5% for local tumor recurrence and 9.4% for enucleation. Papakostas and colleagues have also used this modality for large UM [48]. Inclusion criteria included tumors with a height of 10 mm or greater or a longest

linear diameter greater than 16 mm or a height greater than 8 mm when the optic nerve was involved. Ten years following primary treatment, 70% of eyes were retained and tumor control was achieved in 88% of eyes.

Complications of radiotherapy, whether plaque or proton beam radiotherapy, include radiation retinopathy, papillopathy, maculopathy, cataract, neovascularization, secondary glaucoma and phthisis bulbi. Sagoo et al. investigated 650 cases of juxtapapillary choroidal melanoma treated by means of plaque radiotherapy [26]. After 10 years of follow-up nonproliferative retinopathy was present in 75% of eyes, proliferative retinopathy in 32%, maculopathy in 65%, papillopathy in 77%, cataract in 80%, neovascular glaucoma in 22%, vitreous hemorrhage in 42%, and secondary enucleation was performed in 26% of eyes. In addition, a final visual acuity of 20/200 or worse was measured in 77% eyes. Damato and colleagues reported a final visual acuity of 20/200 or better after 8 years of follow-up in 42% of UM patients treated with proton beam radiotherapy [47]. Using 4-monthly intervals of intravitreal injections of bevacizumab to UM patients that underwent plaque brachytherapy, Shah et al. showed that macular edema and radiation maculopathy were reduced and visual acuity improved [49]. Yet, the long-term impact of intravitreal injections of anti-vascular endothelial growth factors for this indication remains to be proven.

Focal laser therapy for small UM was explored in the 1970's and on with variable results. Xenon-arc and argon laser were used in various centers in an attempt to reach tumor control while preserving vision [50, 51]. Oosterhuis and colleagues subsequently introduced TTT, a diode laser that can penetrate deeper into the melanoma [52]. The technique was adopted by several centers as a primary treatment modality for small UM, but some have questioned its efficacy in terms of local tumor control and specifically the risk of iatrogenic extraocular spread [53]. TTT is also used in conjunction to plaque brachytherapy to achieve better tumor control. Photodynamic therapy (PDT) using various photosensitizers was also tested for small UM, in both pigmented

and non-pigmented choroidal tumors [54, 55]. Fabian et al. used PDT with verteporfin for small pigmented posterior-pole choroidal melanoma, with encouraging results, achieving 80% tumor control rate after 15 months of follow-up, elimination of sub-retinal and significant improvement of visual acuity [17]. Further investigation however, on a larger cohort and for a longer period, were less encouraging, showing that this modality was useful only in 60% of eyes, and according to Kaplan-Meier estimates, 51% of eyes after 3 years [56].

External tumor resection is another, yet not commonly used treatment modality for posterior UM. It allows pathological confirmation and genetic analysis while preserving the globe. However, because of the surgical complexity and length and since it is considered useful only for selected cases, only a handful of centers offer this treatment. Another approach used by several clinicians is to resect the tumor using a vitrectomy technique (i.e. internal approach). However, this too hasn't gained much popularity among experts in the field. A common approach to treat iris melanoma is by tumor resection, although plaque brachytherapy is used currently for this indication in most centers. Interestingly, it was found that after a very long follow-up time of over 30 years, late solitary extraocular recurrence from previously resected iris melanoma may occur [27].

Future management of UM is likely to rely on the use of multimodality imaging techniques, focus on early tumor detection and treatment by means of focal therapy, which will result with a high tumor control rate and improved side effect profile.

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# Genetics of Uveal Melanoma

# 7

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## 7.1 Introduction

Uveal melanoma (UM) is the most common primary intraocular tumor in adults [1], arising in the three main structures of the uveal tract: the choroid, the ciliary body and less frequently in the iris. Unlike tumors occurring in the choroid or ciliary body, those found in the iris are generally associated with a good prognosis [2, 3]. About half of all patients with choroidal or ciliary body UM, in contrast, develop hematogenous dissemination mainly to the liver. In the last

10 years, we have seen the introduction of molecular genetic testing/techniques into routine clinical practice for the stratification of UM patients according to their risk of developing metastatic disease; aiding subsequent clinical management [4–6]. Despite this, once metastatic disease has developed, there is little in the way of effective treatment (reviewed in [7–9]). Recent, innovative high-resolution and detailed genetic analyses are increasing our understanding of the molecular biology of UM not only to further enhance prognostication and patient management but also in the development of targeted therapies to improve survival.

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## 7.2 Uveal Melanoma Prognosis

### 7.2.1 Chromosomal Changes

Specific chromosomal changes of prognostic value are commonly found in UM cells. Loss of one copy of chromosome 3 (monosomy 3; M3) and polysomy 8q (8qG) are strongly associated with the development of metastatic disease, reportedly resulting in an intermediate metastatic risk when occurring in isolation and a high metastatic risk when both occur together, with a 70–80% reduction in the 5 year survival rate [8–10]. In contrast, the gain of the short arm of chromosome 6 (polysomy 6p) is often found in choroidal melanoma with disomy 3 (D3) and has been associated with a good prognosis [11–13].

Cases in which polysomy 6p occurs together with M3 or 8qG have, however, also been reported suggesting a more complex classification and evolution of copy number variation (CNV) in UM [11, 14–17]. In a recent comprehensive molecular analysis of UM by The Cancer Genome Atlas (TCGA), four groups were described based on somatic CNV ordered by increasing chromosomal instability [13]. The four groups could be further defined by the mutation status of three key genes *EIF1AX*, *SF3B1* and *BAP1* as described later in this chapter. In addition to chromosomal alterations, both M3 and 8qG are associated with the following clinical and histological features; large tumor size, ciliary body involvement, epithelioid cell morphology, high mitotic count and Periodic Acid Schiff (PAS)-positive closed loops [18, 19]. Using data from patients treated at the Liverpool Ocular Oncology Centre, an interactive web based tool, Liverpool Uveal Melanoma Prognosticator Online (LUMPO), has been developed which combines clinical, histopathological and chromosome 3 status of the tumor to produce a personalized survival curve for each patient [20]; this is used for patient management and screening frequency. External validation of the LUMPO tool in a cohort of patients treated at the University of California San Francisco confirmed the utility of the interpolation of such datasets to predict UM specific mortality [21]. In support of this, another web-based prognostic tool “Prediction of Risk of Metastasis in Uveal Melanoma (PRiMeUM)” was recently described [22]. This tool provides an individualized prediction of metastatic risk within 48 months following treatment using clinical and tumor characteristics and the copy number status of chromosomes 1p, 3, 6 and 8 when known. The continued refinement and external validation of these metastatic risk models is necessary as our understanding of the involvement of other genetic alterations increases and as tests to detect these changes are introduced into routine clinical practice. In this regard, version III of LUMPO, which includes chromosome 8q data, is currently undergoing internal validation; examples of a patient

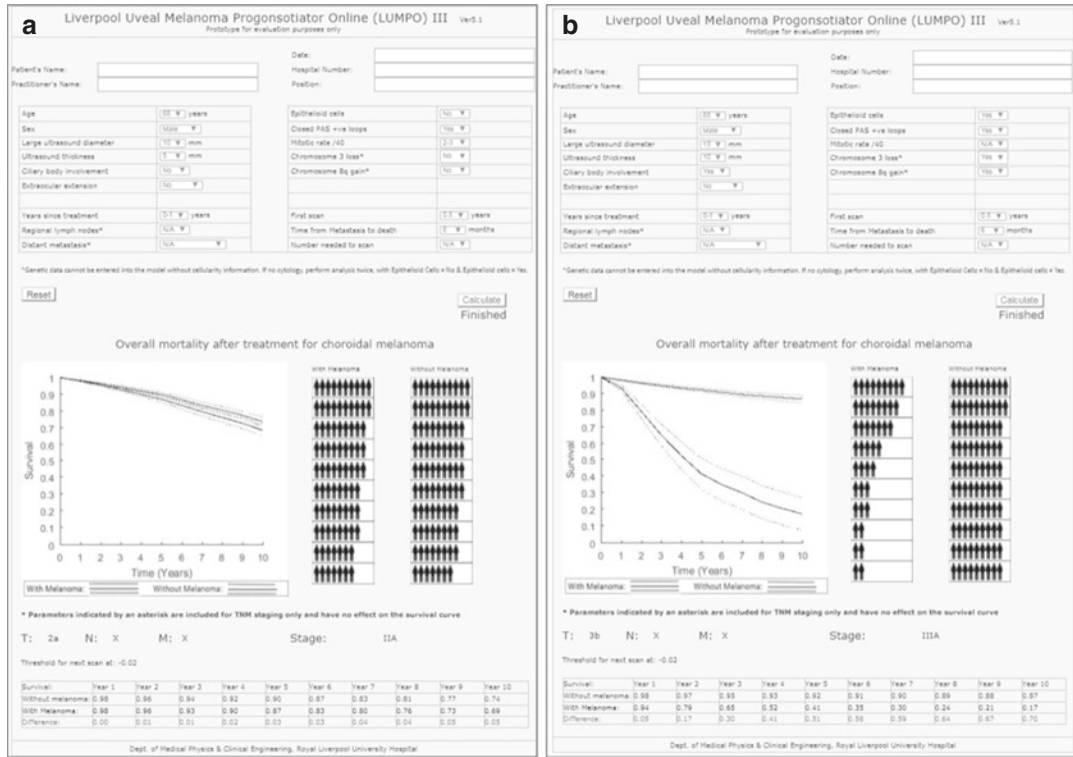
with a low metastatic risk and a high metastatic risk UM are shown in Fig. 7.1.

To assess chromosomal CNV a variety of molecular genetic methods are routinely used by molecular pathology laboratories. These include; fluorescence in situ hybridization (FISH), microsatellite analysis (MSA), multiplex ligation dependent probe amplification (MLPA), comparative genomic hybridization (CGH) and single nucleotide polymorphism (SNP) analysis (reviewed in [23]). Not only do the sensitivities of these techniques to detect chromosomal CNV differ but also their requirement for differing amounts and quality of input DNA, which may influence their utility for small biopsy and formalin fixed paraffin embedded specimens. In addition, MSA requires a matched blood sample from the patient [24]. Of importance for prognostic testing is the ability of the chosen technique to provide reliable results in samples taken following radiotherapy. This has recently been demonstrated for both MLPA and MSA when testing biopsy samples taken following completion of proton beam radiotherapy [25].

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### 7.3 Gene Expression Profiling

Gene expression profiling (GEP) has been used by several groups to stratify UM patients according to their metastatic risk and to gain further insight into the pathogenesis of the disease [26–28]. Using microarray techniques, differences in gene expression patterns between low metastatic risk D3 UM and high metastatic risk M3 UM could be demonstrated. Data generated by Onken et al. [26] have since been used to develop a commercially available assay, DecisionDx UM, (Castle Biosciences, Friendswood, Texas, USA) that examines 12 differentially expressed target genes and classifies tumours as either Class 1 (low risk) or Class 2 (high risk) [6]. Class 1 choroidal melanomas are reported to resemble normal uveal melanocytes and melanocytic low-grade tumors, whereas class 2 tumors have a more de-differentiated profile that resembles primitive neural/ectodermal stem cells, which



**Fig. 7.1** Representative images of LUMPO III output showing a 68 year old male with (a) a low metastatic risk UM and (b) a high metastatic risk UM

may contribute to their metastatic potential [29]. Similar to the refinements made to classifications based on chromosomal CNV, GEP classifications have recently been expanded to include an additional category (class 1B) for tumors with intermediate metastatic risk [30]. Class 1B tumors that gave rise to metastatic disease, could be identified by their expression of PRAME mRNA (preferentially expressed antigen in melanoma) [31]. The 5-year actuarial rate of metastasis was 0% for Class 1 (PRAME-), 38% for Class 1 (PRAME+), and 71% for Class 2 tumors. Median metastasis-free survival for Class 1 (PRAME+) patients was 88 months, compared to 32 months for Class 2 patients.

The DecisionDx<sup>®</sup>-UM test uses RNA extracted from a variety of specimen types including fine needle aspiration biopsy (FNAB), FFPE specimens, or resected tumor and the met-

astatic risk classification provided is not further imputed using clinical or histological features of the tumor. Whether RNA-based GEP or DNA-based analysis of chromosomal CNV is more accurate in predicting UM metastatic risk remains controversial and is dependent on the sensitivity of the method used to determine chromosomal CNV, in particular M3. In a Collaborative Ocular Oncology Group Report it was suggested that GEP provides more reliable predictions for metastasis-free survival compared to multi-SNP analysis [32]. However, it should be noted that the median follow-up time in this prospective study was only 17.4 months. In addition, GEP was compared only with respect to chromosome 3 status as a measure of metastatic risk, but not with a predictive model that includes genetic, clinical and histopathological features of the tumor.

## 7.4 Genetic Mutations in Uveal Melanoma

Despite progress in the development of prognostic models, UM that take an unexpected clinical course have been described and it is clear, that a binary classification into high and low risk tumors is an oversimplification. Recent advances in the molecular characterisation of UM using multiple and complex molecular techniques have identified additional molecular changes that contribute to the development and progression of this disease [13, 33–42]; these are discussed below.

### 7.4.1 GNAQ/GNA11

Mutually exclusive mutations in *GNAQ* (chromosome 9q21.2) or *GNA11* (chromosome 19p13.3) encoding  $\alpha$  subunits of G proteins have been identified in approximately 86–89% of all primary UM [39, 40, 43, 44]. The *GNAQ/GNA11* alterations described so far are missense mutations (i.e., single amino acid substitutions) occurring most commonly in codon 209 (exon 5) and less frequently in codon 183 (exon 4) of both genes. The occurrence of *GNAQ/GNA11* mutations in benign uveal nevi (including melanocytomas) [39, 43, 45, 46] and the majority of UM, independent of prognosis, suggests that they are an initiating event in tumor development. Detection of *GNAQ/GNA11* mutations, whilst not of prognostic value, can be used to classify melanoma metastases with unclear primary tumors and may be of use as biomarkers of tumour spread. For example, *GNAQ/GNA11* mutations in circulating cell-free DNA were detected in the plasma of 9/22 UM patients with metastasis [47]. Similar results were achieved by Saakyan S.V. et al. in the Moscow ocular oncology lab (unpublished data).

The altered *GNAQ/GNA11* gene product suppresses the intrinsic guanosine triphosphatase (GTPase) activity, which normally inactivates the  $\alpha$  subunit of the G protein. This results in an increased activation of downstream signaling pathways, such as MAPK and PKC, influencing proliferation, differentiation and apoptosis [48]. MAPK activation depends on Ras. RasGRP3, a

guanine exchange factor for Ras, was recently shown to be significantly and selectively overexpressed in response to *GNAQ/11* mutations in UM, suggesting its potential as a therapeutic target [49]. Using the information about downstream signaling pathways, MAPK, PI3K (phosphoinositide 3-kinase) and PKC inhibitors were tested in UM cell lines and xenograft animal models with *GNAQ* or *GNA11* mutations [50–55]. Although encouraging in vitro and in vivo data demonstrating efficacy of these inhibitors has driven clinical trials of these agents in patients with metastatic UM, the initial data from these human studies show little success of these agents to improve overall survival for UM patients with metastatic disease (reviewed in [56, 57]). One explanation for this is that other downstream pathways modulate the response of UM cells. A possible candidate in this regard is the “Hippo Tumor Suppressor Signaling Pathway”. Key enzymes of this signaling pathway include the oncoproteins YAP (yes-associated protein) and TAZ (transcriptional coactivator with PDZ-binding motif), which regulate the activity of transcription factors. Studies demonstrate that *GNAQ/GNA11* mutations promote tumorigenesis by activating the YAP oncoprotein independently of the Hippo tumor suppressor signaling pathway [58, 59]. Because of the potential for multiple signaling pathways to be activated downstream of *GNAQ/GNA11* studies have focused on the identification of upstream effectors that could be targeted therapeutically. Recently, the small GTPase ARF6 was identified as such an effector of oncogenic *GNAQ* signaling to induce multiple downstream signalling pathways including PLC/PKC, Rho/Rac and YAP, as well as  $\beta$ -catenin signalling [60]. Inhibition of ARF6 with a small-molecule inhibitor reduced UM cell proliferation and tumorigenesis in a mouse model [60].

### 7.4.2 BAP1

Biallelic inactivation of the *BRCA1*-associated protein 1 (*BAP1*; Chromosome 3p21) gene due to chromosome 3 loss and the presence of a *BAP1* mutation on the remaining copy, is associated with

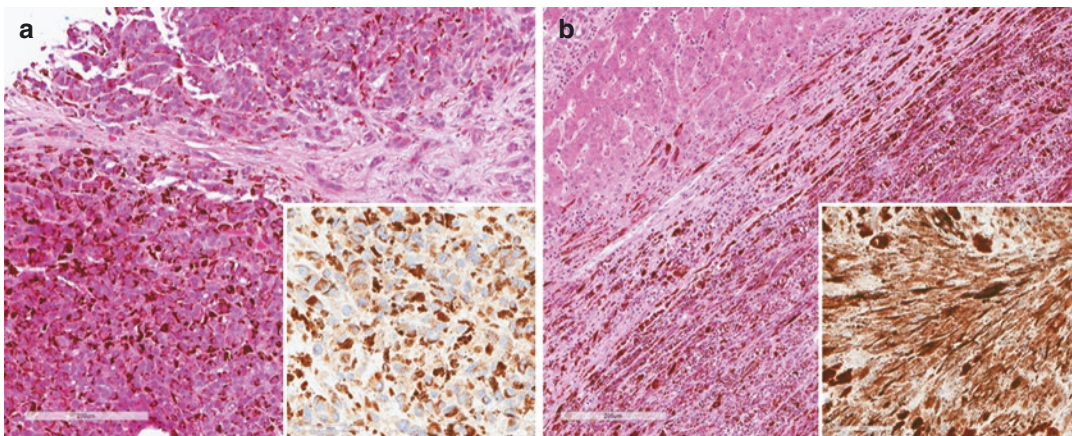
an increased risk of metastasis in patients with UM [35, 61–63]. BAP1 is a nuclear-localised deubiquitylase (DUB) belonging to the ubiquitin carboxy-terminal hydrolase (UCH) family of DUBs [64]. It has multiple functions, including involvement in DNA damage responses, chromatin remodelling, cell differentiation and proliferation [65]. In *in vitro* studies of UM cell lines, knockdown of *BAP1* led to dedifferentiation of UM cells and the acquisition of stem cell like characteristics [66].

The identification of somatic *BAP1* gene mutations in ~85% of all metastatic primary UM supports its role as a tumor suppressor in this disease [13, 35]. *BAP1* inactivating mutations occur along the entire length of the gene and are associated with a loss of nuclear expression of the BAP1 protein (nBAP1) [67], with data supporting the use of BAP1 immunohistochemistry to evaluate metastatic risk [67–70]. It is of interest, however, that not all metastatic UM have *BAP1* mutations or loss of nBAP1 protein [68, 71, 72] (Fig. 7.2). Moreover, nBAP1-positive/M3 UM were shown to be associated with prolonged survival compared to nBAP1-negative/M3 UM [73].

Treating the loss of a tumor suppressor therapeutically is much more difficult than inhibiting an oncogene. One approach is “synthetic lethality”; for example, *BAP1* loss may result in the activation of alternative signalling pathways, which in turn can be targeted by drugs. According

to this principle, histone deacetylase inhibitors (HDACi) were identified as a possible class of drugs for *BAP1*-mutated UM. Preliminary results of *in vitro* and *in vivo* HDACi-treated UM cells indicate an effect of these agents to slow tumor growth and increase the expression of genes associated with differentiated melanocytes [74].

In addition to somatic mutations, truncating germline mutations of the *BAP1* gene have been demonstrated in families predisposed to the development of a variety of malignant tumors [75–77]. These include amongst others UM, renal cell carcinoma, mesothelioma and cutaneous melanoma. In a recent study examining families with two or more family members diagnosed with UM, which has an incidence of around 1% of all UM, germline *BAP1* mutations were identified in 19–22% of the families tested [78]. Of interest in the families without *BAP1* mutations were distinct family histories and high rates of prostate cancer in first- and second-degree relatives, suggesting the presence of other cancer predisposition genes. It is important therefore, that family histories for patients with UM are collected; current evidence indicates that genetic testing and counselling should be recommended for families with first or second degree relatives who have developed two or more of the tumours commonly associated with the *BAP1* predisposition syndrome (reviewed in [79, 80]).



**Fig. 7.2** BAP1 protein expression in metastatic uveal melanoma to the liver. H&E images of two cases of liver metastases with high power inserts showing (a) BAP1

negative uveal melanoma cells and (b) BAP1 positive uveal melanoma cells

### 7.4.3 SF3B1

Harbour et al. [36] first described mutations in codon 625 of the splice factor 3B subunit 1 gene (*SF3B1*) in UM with a favorable prognosis. Further studies confirmed this mutational hotspot together with codon 666 [81, 82] in 9–24% of all UM [34, 37, 42, 82]. *SF3B1* is a component of a spliceosome whose role is to transform precursor mRNA into mature mRNA by separating the introns. Although the exact function in UM is unclear, *SF3B1* mutations are reportedly associated with alternative mRNA splicing at the 3' end of genes [82]. Although originally associated with a good prognosis, data now demonstrate that *SF3B1* mutations identify a subset of D3 tumors with late-onset metastases [42]. In support of this, Griewank et al. [71] detected an *SF3B1* mutation in codon 625 in one of 26 metastases. Recently mutations in another splice factor *SRSF2* have been described predominantly in D3-UM, which similar to *SF3B1* mutations can alter translation initiation [13].

### 7.4.4 EIF1AX

Mutations in the amino terminal region of eukaryotic translational initiation factor 1A gene on chromosome X (*EIF1AX*) have been reported in 13–19% of ciliary body and choroidal UM [13, 34, 37] and 42% of iris melanomas [44]. *EIF1AX* mutations are associated with good prognosis D3 [13, 34, 37, 61] and Class 1 tumors [33] and are generally mutually exclusive to the presence of *SF3B1* or *BAP1* mutations. The functional significance of *EIF1AX* mutations in UM remains unclear, however a recent study suggests that aberrant translational regulation occurs in *EIF1AX* mutant cells possibly leading to their positive clonal selection [83].

### 7.4.5 Additional Molecular Changes

Alongside the genetic alterations commonly associated with UM development and metastasis, a number of less frequent alterations have been

described that are also likely to have prognostic and/or functional implications for UM progression. A gain-of-function mutation in *PLCB4* (chromosome 20p12.3) was detected in 1 out of 56 UM sequenced [84]. *PLCB4* is a downstream target of GNAQ/GNA11 suggesting that this is an alternative way of activating this signaling pathway. Similarly, a recurrent mutation in *CYSLTR2* in 4 of 9 UM samples that lacked mutations in *GNAQ/GNA11* was reported [85]. *CYSLTR2* is a G-coupled protein receptor that activates the G $\alpha$  subunits, again acting as an alternative means of activating downstream signaling pathways. In support of this *GNAQ*, *GNA11*, *PLCB4* and *CYSLTR2* mutations are found in a mutually exclusive pattern. Royer-Bertrand *et al* also report five somatic mutations identified in more than one of 33 UM samples examined; *TP53BP1*, *CSMD1*, *TTC28*, *DLK2*, and *KTNI* [38]; the biological significance of these is not yet clear. Other genetic changes of relevance to metastatic progression include the amplification of *DDEF1* [86] and *PTP4A3* [87] on chromosome 8q.

It is not only genetic but also epigenetic alterations that regulate gene expression, thereby playing an important role in carcinogenesis. Epigenetic changes that silence tumor suppressor genes (TSG) or activate oncogenes include DNA methylation and changes in the expression levels of both small and long non-coding RNAs (lncRNA). Six small non coding microRNAs (let-7b, miR-199a, miR-199a\*, miR-143, miR-193b and miR-652) were found to differentiate class 1 and class 2 UM [88]. Another study identified 30 miRNAs that were differentially expressed between UM that had metastasised and those that had not [89]. Other studies examining individual miRNAs describe the upregulation of miR-367, miR-149, miR-134 and miR-20a in UM tissues and cell lines, promoting proliferation and migration [90–92]. Conversely, miR-34a [93], miR-137 [94] and miR-32 [95] expression levels are reported to be downregulated in UM samples and cell lines.

Long non-coding RNAs are non-protein coding transcripts longer than 200 nucleotides. In recent years, the importance of these molecules to regulate gene expression has been increas-

ingly recognized. Unlike miRNAs, lncRNAs display complex secondary and tertiary structures allowing them to bind to key regulatory proteins, RNA and DNA; regulating processes such as transcription, tumorigenesis and metastasis. Of particular note in UM, the knockdown of *RHPN1 antisense RNA 1 (RHPN1-ASI)* a 2030-bp transcript on chromosome 8q24, inhibited cell proliferation and migration both in vitro and in vivo [96]. In addition, the lncRNAs *LINC00152 (CYTOR)* and *BANCR*, are reported at higher abundance in poor prognosis as compared with good prognosis UM [13].

Abnormal promoter hyper-methylation of CpG islands has been shown to play an important role in the inactivation of TSG in cancer. In UM, promoter methylation of the TSG *RAS association domain family 1 (RASSF1)*, located on chromosome 3p21.3, was associated with an increased risk of metastatic disease [97]. In the recent analysis of samples by TCGA four DNA methylation clusters were identified. Within these clusters D3-UM possessed distinct DNA methylation patterns dependent upon whether they had an *EIF1AX* or *SF3B1/SRFR2* mutation; M3/BAP1-aberrant UM showed a single profile associated predominantly with low levels of methylation [13].

Genome wide association studies (GWAS) are large scale genetic analyses aimed at identifying common variants associated with a specific disease. In UM, the first reported GWAS study identified a susceptibility locus at 5p15.33 in or around the *CLPTMIL* locus. Further work is now necessary to determine the significance of these findings for UM oncogenesis [98].

## 7.5 Future Challenges for Molecular Genetics of Uveal Melanoma

Our knowledge and understanding of the molecular pathology of UM has improved dramatically over the last 20 years. This has been made possible not only due to advances in molecular techniques, but also by the availability of well-characterised and clinically annotated tumor samples from patients consenting to scientific

research. Nevertheless, the data presented above show the complexities of these analyses and the current gaps in our understanding of how they contribute to UM progression and metastasis. This is further highlighted by atypical UM that do not fit the current prognostic classifications and thus require additional evaluation.

In order to improve the molecular classification of tumors both for prognostic and therapeutic purposes, custom designed genetic panels are being developed for clinical use; with the ultimate goal to be able to turn molecular knowledge into targeted therapeutic approaches stratified according to the genetic profile of each individual patient. In this regard, genetic testing is currently performed on primary UM samples due to a lack of availability of metastatic tissue. In order to use this information in the treatment of individual patients with metastatic disease, we must assume that few genetic differences exist between the primary and the metastatic UM. Whilst this may be the case, our current understanding of the molecular landscape of UM metastases in the liver is limited, with only few studies examining (a) infiltrating immune cells [99] (b) chromosomal aberrations [72, 100, 101], (c) mutations [71, 102] and (d) GEP [103]. In order to better understand the UM metastases, international multicentre research groups have been formed that focus their research on these tumors (e.g. UMCure2020, <http://www.umcure2020.org/en/>).

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# Choroidal Melanoma: Clinical Trials and What Have We Learned from Them

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The last decade has seen a paradigm shift in oncology care, especially with respect to clinical trial design. This has ensured quick translation of biological knowledge to clinical practice [1]. Uveal melanoma is the most common primary intraocular tumor with an estimated annual incidence between 4.3 and 8.6 cases per one million in the Western world [2]. The current management and understanding of choroidal melanoma have benefitted greatly from well conducted research and clinical trials. In this chapter, we will review the impact of clinical trials and how they have influenced the current management of choroidal melanoma.

## 8.1 Risk Factors

Several risk factors have been implicated with uveal melanomas, most notably host factors [3, 4].

Weis and Shah (2005) conducted a meta-analysis of patients with uveal melanoma and ultraviolet light exposure looking at 133 published reports and suggested welding as a risk factor [3]. Their meta-analysis yielded inconsistent results associating ultraviolet light with development of uveal melanoma. It has been suggested that chronic occupational sunlight expo-

sure non-significantly increases the risk of uveal melanoma.

Another meta-analysis by Weis and Shah (2006) showed strong evidence associating the host susceptibility factors of iris color, skin color, and ability to tan with uveal melanoma [4].

## 8.2 Pathogenesis

Uveal melanomas have been characterized by numerous genetic mutations. While cutaneous melanomas are associated with mutations in BRAF, uveal melanomas are associated with somatic mutations in GNAQ and GNA11. GNAQ and GNA11 are genes that code for the  $\alpha$ -subunit of G proteins that act with G protein coupled receptors [5]. Uveal melanoma samples had 83% rate of mutations in GNAQ/GNA11 [6–8]. These mutations result in a persistently active G $\alpha$ -subunit.

Activation of G $\alpha$  results in upregulation of MAPK, PI3K-Akt-mTOR, and Hippo pathways [5]. These downstream pathways are associated with cell proliferation regulation and have been implicated in uveal melanomas.

Additional genes have been found to affect uveal melanoma. BAP1 is a nuclear deubiquitinase on chromosome 3p that acts as a tumor suppressor [5]. Mutations in BAP1 inactivate the gene and have been found in primary uveal melanomas (47%) and metastatic melanomas (84%). SF3B1 is a gene that encodes splicing factor 3B

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subunit 1. Mutations in this gene have been associated with improved prognosis and features of younger age, fewer undifferentiated cells, and disomy 3. EIF1AX mutations are associated with disomy 3 and are less frequently associated with metastases. Immune checkpoint inhibitors have shown efficacy in many tumors, including cutaneous melanoma, and further research could lead to better treatment for metastatic uveal melanoma involving the mutations described.

### 8.3 Collaborative Ocular Melanoma Study

The Collaborative Ocular Melanoma Study (COMS) was a National Eye Institute funded study that commenced in 1985. The COMS predominantly consisted of 2 multicenter clinical trials to compare treatment outcomes in medium and large choroidal melanoma and also to assess the natural history in small choroidal melanoma. The findings of the COMS are detailed as follows:

- *Small Choroidal Melanoma*—Diameter of 5–16 mm and 1.5–2.4 mm height. These patients were enrolled in a clinical registry and monitored.
- *Medium Choroidal Melanoma*—Diameter  $\leq$ 16 mm and 2.5–10 mm height. These patients were randomized to either enucleation or Plaque brachytherapy with Iodine-125.
- *Large Choroidal Melanoma*—Diameter  $>$ 16 mm and  $>$ 10 mm apical height. Patients with large choroidal melanoma were randomized to external beam radiation (20 Gy) after enucleation or enucleation alone.

#### 8.3.1 Main Results

- *Small Choroidal Melanoma*—204 patients were enrolled in the registry and median follow up was 92 months. 75% patients were treated and 6 deaths were reported due to metastatic melanoma.

- *Medium Choroidal Melanoma*—Total 1317 patients were enrolled. There was no statistically significant difference in mortality between the enucleation and the brachytherapy group.
- *Large Choroidal Melanoma*—Total 1003 patients were enrolled in this trial. Patients that received pre-enucleation radiation did not show a statistically significant 5-year mortality benefit. In this study the 5-year mortality related mortality was 27%.

#### 8.3.2 Additional Information Obtained from COMS by Report Numbers

1. *Report # 1—Accuracy of Diagnosis*—The Collaborative Ocular Melanoma Study misdiagnosis rate was 0.48%, emphasizing that the challenge in choroidal melanoma is optimizing treatment and not determining correct diagnosis [9].
2. *Report # 2—Enucleation Complication*—The COMS included 981 eyes that were enucleated and there were no perioperative deaths and no accidental ruptures of the globe [10]. Unanticipated extrascleral extension was found in 1.5% and overall complication rate was 2.8%.
3. *Report # 3—Trial for an uncommon disease*—This report discussed the formulation and conduct of trials for an uncommon disease like choroidal melanoma [11]. The COMS recruited very good number in spite of being a rare condition.
4. *Report # 4—Mortality for small melanoma*—Of the 204 patients followed with small melanoma, 6 deaths were reported by the clinical center as due to metastatic melanoma [12]. The Kaplan-Meier estimate of 5-year all-cause mortality was 6.0%.
5. *Report # 5—Small Melanoma Growth Predictors*—Of all small choroidal melanomas that were subjected to observation, 21% demonstrated growth at 2 years and at 5 years 31% had documented growth [13]. As per

- the COMS report, factors significantly associated with growth were greater initial tumor thickness and diameter, presence of orange pigment, absence of drusen, and absence of areas of retinal pigment epithelial changes adjacent to the tumor.
6. *Report # 6—Histopathologic characteristics*—In choroidal melanomas, the most common cell type was mixed cell (86%) followed by spindle cell (9%) and epithelioid cell (5%) [14]. Overall, 81.1% on histopathological examination showed local invasion, scleral invasion was present in 55.7% of eyes, and extrascleral extension was visible in 8.2%.
  7. *Report # 7—Sociodemographic and Clinical Predictors of Participation*—The variables that were significantly predictive of enrollment were older age, residence in the same state as the trial, larger tumor basal diameter, and worse initial visual acuity in the study eye [15]. This report is useful not only for uveal melanoma but also understanding patient willingness to enroll in any clinical trial.
  8. *Report # 8—Clear cell differentiation*—Two patients on histopathology showed clear cell features and the cytoplasm contained scattered glycogen granules, premelanosomes, and melanosomes [16]. These can be misdiagnosed as metastatic clear cell carcinoma to the choroid.
  9. *Report # 9—External Validity Large Tumors*—Eligible patients who enrolled in the trial were similar to eligible patients who did not enroll with respect to most factors considered and hence the results of the COMS large melanoma study can be generalized to all patients [17].
  10. *Report # 10—Mortality in large choroidal melanoma*—There was no survival difference attributable to pre-enucleation radiation of large choroidal melanoma [18].
  11. *Report # 11—Complications of treatment of large choroidal melanoma*—Complications were infrequent (early pain and later poor prosthetic mobility being most common) during the 5-year period following enucleation surgery [19]. There was no indication that pre-enucleation radiation had resulted in more serious complications.
  12. *Report # 12—Ultrasound characteristics in the COMS study* [20].
  13. *Report # 13—Ultrasonography consistency*—The COMS Echography Center demonstrated that its grading protocol is consistent over time [21].
  14. *Report # 14—Cause Specific Mortality Coding*—As in all clinical trials, accurate reporting of cause-specific mortality in patients is difficult. In the COMS study also death certificates underestimated the proportion of deaths due to metastatic choroidal melanoma [22].
  15. *Report # 15—Metastatic Status at the time of death*—There were 1003 patients that were enrolled in the large melanoma subgroup and of these 457 patients died during the study monitoring with an estimated median survival (from time of enrollment) of 7.4 years [23]. Of all the deaths 62% (269 patients) had histopathologically confirmed melanoma metastasis at the time of death, and an additional 215 (92 patients) had suspected based on imaging and tests but without pathological confirmation. Multiple sites of metastasis was identified in 87% of patients. The most common site of metastasis as liver (93%) followed by lung (24%), and bone (16%).
  16. *Report # 16—Visual acuity after brachytherapy for medium tumors*—Vision loss is one of the most common side effect from brachytherapy and after 3 years of treatment 43% of patients had vision of 20/200 or worse and 49% of eyes had lost 6 or more lines of visual acuity from the pretreatment level [24]. The predictors of poor vision included history of diabetes, thicker tumors, tumors close to or beneath the FAZ, tumor-associated retinal detachment, or tumors that were not dome shaped [24].
  17. *Report # 17—External Validity Medium Tumors*—This report was conceived to document that the enrolled patients in the trial depicted the patients in routine clinical practice that are treated with brachytherapy [25].

18. *Report # 18—Mortality Rates in Medium Tumors*—In the medium size tumor group, after a follow up of 12 years the mortality was same in the enucleation group and the brachytherapy group. The five-year rates of melanoma related death were 11% and 9% following enucleation and brachytherapy [26].
19. *Report # 19—Predictors of treatment failure after Brachytherapy*—Of the 638 patients that were followed after brachytherapy, 11% were enucleated and 9% had documented treatment failure [27]. The most common risk factors for treatment failure were older age, greater tumor thickness and proximity of the tumor to the foveal avascular zone. Treatment failure accounted for most enucleation in the first 3 years of life and after 3 years the most common cause was ocular pain.
20. *Report # 20—Time trends in tumor size*—This COMS report analyzed the management trends over 10 years and found that the more recent tumors are smaller in size and enucleation is employed less for tumors with less than 15 mm basal diameter. Enucleation is reserved more for larger tumors greater than 15 mm [28].
21. *Report # 21—Comparison of clinical and histopathological measurements*—The clinical measurement was less than the histopathological measurement by more than 2 mm in 32 eyes (5%), which occurred more frequently when the tumor was within 2 mm of the optic disc [29]. The echographic and histopathological measurements of apical height agreed within  $\pm 2$  mm in 579 eyes (90%). This report indicated that the ultrasonographic measurements are accurate for brachytherapy planning.
22. *Report # 22—Fellow eye changes after treatment*—There was no evidence that fellow eyes of patients whose affected eye was treated with pre-enucleation radiation or with brachytherapy were at greater risk of loss of vision or new ophthalmic diagnoses than eyes of patients treated with enucleation alone [30].
23. *Report # 23—Screening for metastasis*—COMS patients were screened annually for metastasis and new cancers using liver function test (alkaline phosphatase, AST, ALT, or bilirubin) [31]. Elevated findings (1.5–2 times upper limit of normal) prompted a diagnostic or imaging test to confirm or rule out cancer recurrence. Use of liver function test has high specificity (92%) and predictive values (46%) but low sensitivity (15%).
24. *Report # 24—Mortality at 10 years in the large choroidal melanoma study*—On longer follow up of the large melanoma group it was confirmed that pre-enucleation radiation did not confer any survival advantage. Ten year all-cause mortality was 61% for the enucleation only group and the pre-enucleation radiation group. Melanoma related death was also not difference in the two arms (45% in the pre-enucleation radiation arm and 40% in the enucleation alone arm) [32].
25. *Report # 25—Second Primary Cancer*—The most common second primary cancer was prostate (23%) followed by breast (17%). Prior radiation did not increase the occurrence of second cancer. Excluding basal or squamous cell skin cancer, the 5 year rate of a second primary cancer was 7.7% [33]. Routine medical surveillance is warranted, especially for those with a history of smoking.
26. *Report # 26—Metastatic Characteristics*—Patients with choroidal melanoma had a metastasis rate of 25% at 5 years and 34% at 10 years. [34] Most common metastatic site was liver (89%). Survival after metastasis was poor with a death rate of 80% at 1 year and 92% at 2 years.
27. *Report # 27—Cataract after Brachytherapy*—By 5 years, 83% of study eyes were reported to have a cataract and 12% had undergone cataract surgery in the study eye [35]. As expected the rates of cataract surgery increased with the radiation dosage to the lens. Eighteen percent of eyes that received higher than 24 Gy radiation required cataract surgery. Only 4% of eyes that received less than 12 Gy underwent cataract surgery. The median visual acuity improved from 20/125 before cataract to



20/50 after cataract surgery. The most common cause of lack of visual improvement after cataract surgery was presence of radiation retinopathy.

28. *Report # 28—Long term mortality after brachytherapy*—Mortality rates were not different in the brachytherapy arm (43%) versus the enucleation arm (41%) [36]. The primary predictors of time to death were older age and larger maximum basal tumor diameter.

### 8.3.3 Quality of Life Reports from the COMS

The COMS report studied the difference in quality of life between the plaque brachytherapy group and the enucleation group. Until 2 years the brachytherapy group reported better visual function (peripheral vision for driving) and that difference was negated by 3–5 years [37]. The COMS study did document that patients who underwent plaque brachytherapy noted more symptoms of anxiety compared to the enucleation group.

## 8.4 Treatment

From enucleation to more conservative, globe-saving methods, the management and treatment of choroidal melanomas have evolved over recent decades. For moderately sized tumors, the COMS demonstrated that enucleation versus plaque brachytherapy resulted in similar outcomes for patients [26]. In addition to these techniques, other modalities include transpupillary thermotherapy (TTT), proton beam radiotherapy, photocoagulation therapy and internal resection.

### 8.4.1 Transpupillary Thermotherapy

Mashayekhi et al. (2015) investigated the outcomes of primary TTT upon choroidal melanomas [38]. At 10-year follow up, there was a 42% chance

of tumor recurrence in patients who underwent primary TTT. Tumor recurrence was associated with several high-risk features, including ocular symptoms (decreased visual acuity), presence of subretinal fluid, tumor thickness, elevation of residual tumor scar after treatment, and proximity of tumor to optic disc. It was noted that a higher number of high risk tumor features was associated with greater recurrence, e.g. 3–5 factors at 35% risk and 6–7 factors at 55% risk. Further, additional TTT after recurrence still resulted in a 50% probability of tumor recurrence.

When used in conjunction with plaque radiotherapy, TTT may prove useful. In particular for juxtapapillary melanomas, plaque radiotherapy with TTT demonstrated a slight but nonsignificant improvement in local tumor control and reduced metastatic rates [39].

### 8.4.2 Proton Beam Radiotherapy

Proton beam radiotherapy has emerged as an alternative, globe-salvaging treatment for choroidal melanoma. Dendale et al. (2005) observed that 5-year overall survival and metastasis-free survival were 79% and 80.6%, respectively [40]. Risk factors for local tumor recurrence were increased tumor diameter and greater macular area receiving more than 30 CGE (cobalt Gray equivalent). The 5-year enucleation for complications rate was 7.7%. Those at risk for enucleation were associated with tumor thickness and lens volume receiving at least 30 CGE.

### 8.4.3 Transscleral Resection

Transscleral resection (TSR) can serve as a possible surgical treatment for uveal melanomas [41]. TSR was first reported in the 1960s by Stallard and Muller and may be an appropriate surgical treatment for patients ineligible for plaque brachytherapy, proton beam irradiation, or for those wishing to retain their eye. For TSR, 5- and 10-year recurrence rates have been reported at 24% and 32%, respectively. Local recurrence was associated with large tumor base diameter greater

than 16 mm, absence of adjuvant plaque brachytherapy, and retinal detachment. Metastasis rates following TSR at 5- and 10-year were 28% and 44%, respectively. While TSR may also carry a heightened risk of metastasis at 5- and 10-years (28%, and 44% respectively), possible pre-operative proton beam radiotherapy may aid in improving recurrence and survival [41].

#### 8.4.4 Minimizing Radiation Retinopathy

Radiotherapy for choroidal melanoma has been associated with increased retinal ischemic drive and VEGF production [42]. Radiation maculopathy is a serious complication of radiotherapy. OCT-evident macular edema may be detected as early as 4 months after radiotherapy. Prophylactic intravitreal bevacuzimab injections were found to reduce the radiation-related macular edema. In a study by Shah et al. (2014), bevacuzimab injection at time of plaque removal and every 4 months thereafter for two years was associated with a significant reduction in radiation-related macular edema and improved visual outcomes [42].

#### 8.4.5 Fine Needle Aspiration Biopsy for Cytogenetics

Fine needle aspiration biopsy (FNAB) can aid in the diagnosis and prognosis of uveal melanomas [43]. Singh et al. (2016) investigated the utility of FNAB of uveal melanoma with 25 gauge needle. FNAB was found to have a 92% positive diagnostic and 84% prognostic yield. The study demonstrated the safety and efficacy of FNAB in establishing the diagnosis and prognosis of uveal melanomas.

### 8.5 Cytogenetics

Cytogenetic analysis has allowed for a greater understanding of uveal melanoma prognosis. Immunotherapies are currently under investiga-

tion to guide specific treatment of uveal melanomas.

#### 8.5.1 Chromosome 3

The most significant chromosomal abnormality associated with uveal melanomas is a full or partial loss of chromosome 3 (monosomy 3) [44]. Monosomy 3 demonstrates the strongest association with metastasis and decreased survival. Other chromosomal abnormalities associated with uveal melanomas are chromosome 1p, 6q, 8q.

#### 8.5.2 Gene Profile Assay

The COOG (Collaborative Ocular Oncology Group) multicenter study established the superiority of gene expression profile (GEP) classification in determining uveal melanoma prognosis. GEP signaling and molecular signatures of RNA expression have helped categorize uveal melanoma (UM) into class 1 (low metastatic risk) and class 2 (high metastatic risk) [45]. The 15 gene incorporated assay utilizes PCR to quantify mRNA of key genes obtained from enucleation sample or fine needle aspiration biopsy samples. The COOG study demonstrated that the GEP assay successfully classified 97.2% of cases. The GEP was class 1 in 62% and class 2 38.1% of cases. At mean 18 month follow up, metastasis was detected in 1% of class 1 cases and 26% of class 2 cases.

Further, the only significant factor that enhanced the accuracy of the GEP classification was found to be largest basal tumor diameter (LBD). The 5-year metastasis-free survival estimates were [46]

- Class 1, basal diameter <12 mm—97%
- Class 1, basal diameter ≥12 mm—90%
- Class 2, basal diameter <12 mm—90%
- Class 2, basal diameter ≥12 mm—30%

The response to treatment also varied based on the gene profile. Class 1 UM tumors tend to regress more rapidly than class 2 tumors in the

first 6 months after plaque radiotherapy. Class 1A and 1B tumors regress at similar rates after plaque radiotherapy [47].

### 8.5.3 Newer Markers

Several new markers have been investigated for determining uveal melanoma prognosis.

The cancer-testis antigen PRAME (preferentially expressed antigen of melanoma) has been found to be expressed in several malignancies, including uveal melanoma [48]. PRAME was found to be expressed in 45% of primary uveal melanomas and both PRAME and HLA class 1 were expressed in 50% of uveal metastases. PRAME-specific T cells can target PRAME positive uveal melanomas. Through PRAME T cell receptor (TCR) gene therapy, T cell-directed immunotherapy may serve as a novel and effective means by which to target uveal melanomas.

In addition to GEP and PRAME, five particular genes have been found to also be associated with uveal melanoma: GNAQ, GNA11, BAP1, SF3B1, and EIF1AX. BAP1 was associated with higher risk of metastasis and poor prognostic factors (class 2 and older age) [49]. EIF1AX and SF3B1 were associated with better prognosis factors (EIF1AX with class 1 GEP and SF3B1 with younger age). GNAQ and GNA11 were not associated with prognosis. By further understanding the relationships between specific mutations and uveal melanoma prognosis, targeted therapies may be developed to improve patient outcomes.

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## 8.6 Metastatic Melanoma

### 8.6.1 Prognosis

The Helsinki University Hospital Working Formulation was developed for staging uveal melanoma [50]. Three prognostic variables for metastasis were used to establish this model: performance index (patient overall health), largest diameter of the largest metastasis, and serum alkaline phosphatase (evaluation of hepatic function). The model divides newly diagnosed meta-

static uveal melanoma into 3 prognostic stages with a predicted median overall survival of either >12 months (IVa), <12 to 6 months (IVb), or <6 months (IVc). The work by Kivelä et al. (2016) validated the Working Formulation as a reliable tool to categorize prognosis of metastatic uveal melanoma.

### 8.6.2 Adjuvant Therapy for High Risk Patients

Uveal melanomas typically have demonstrated resistance to adjuvant chemotherapy [51]. Systemic adjuvant use of dacarbazine, an intravenous alkylating agent, for uveal melanoma did not demonstrate any improvement in survival outcomes. Adjuvant interferon has not been shown to improve overall survival. Several novel cytotoxic, immunomodulatory, and targeted compounds are being investigated in the metastatic setting, alone and in combinations, which may be applicable to the adjuvant setting. Also several immune check point agents are currently being investigated.

### 8.6.3 Treatment

The evolution of our understanding of uveal melanoma tumorigenesis has created increased possibilities for targeted therapies. There have been numerous recent and ongoing investigations into specific systemic therapies for uveal melanoma.

Targeted therapies have investigated particular genes on chromosome 3, in particular BAP1 [52, 53]. BAP1 is located on chromosome 3p21.1 and is a tumor suppressor gene that encodes histone H2A ubiquitin hydrolase. Mutations resulting in loss of function or silencing of BAP1 have been implicated in class 2 uveal melanoma and poor survival. Currently, there has been increased interest into histone deacetylase (HDAC) inhibitors to target the downstream effects of absent BAP1 in uveal melanoma.

As referred to prior, GNAQ and GNA11 somatic mutations have been implicated in uveal melanoma [52]. In the presence of these

mutations, the MAPK (mitogen-activated protein kinase) pathway is upregulated in uveal melanoma tumors and liver metastases. Protein kinase C (PKC) plays a critical role in this pathway and has served as a therapy target. PKC inhibitors, such as AEB071 or AHT956, have shown increased apoptosis and tumor reduction in uveal melanoma cell lines with GNAQ or GNA11 mutations. In a phase II multicenter trial, PKC inhibitor selumetinib demonstrated successful tumor shrinking and survival compared to chemotherapy. Further work to target the MAPK pathway may reveal not only enhanced ways to control uveal melanoma, but also insight into the complex pathways that promote tumorigenesis.

Uveal melanoma upregulation of IGF-1 receptor (IGF-1R) may also serve as a targeted therapy modality [52]. IGF-1 signaling can induce cell migration and invasion. The liver has been demonstrated to produce IGF-1 and may promote an environment conducive to high rates of metastasis. Current studies into IGF-1R inhibitors, such as cyclolignan picropodophyllin, has shown in human UM-derived cell lines to reduce tumor cell proliferation, invasion, and migration. Research into cixutumamab, a monoclonal antibody against IGF-1R, has shown merit in its reduction of UM cell migration.

While anti-VEGF therapies have developed an expanded role in ophthalmology, their role in targeting angiogenesis in the tumors remains critical. Currently, bevacizumab with temozolamide (phase II) and ranibizumab with proton beam irradiation (phase I) are currently under investigation [52]. Sunitinib is also a potential therapy, with inhibition of VEGF, platelet-derived growth factor receptor (PDGFR), c-KIT, FMS-like tyrosine kinase 3 (FLT-3) and RET.

Further work into immunotherapy has provided additional insight into treatment of uveal melanoma. Ipilimumab is a CTLA4 inhibitor that has gained greater attention recently for its role in targeting uveal melanomas [52]. The GEM1 study suggested an improved disease control rate and survival rate with use of ipilimumab monotherapy.

Nivolumab and pembrolizumab are monoclonal antibodies against PD-1, a co-inhibitor recep-

tor that decreases anti-tumor activity of T cells [52]. Currently there are phase II trials investigating the role of pembrolizumab in patients with metastatic uveal melanoma.

These numerous, novel systemic targeted therapies highlight the advances in our understanding of uveal tumor biology.

The management and treatment of uveal melanomas is evolving rapidly. Improved diagnostic and prognostic measures, such as gene expression assays, can aid in early detection of uveal melanoma and improve survival outcomes. Novel therapeutic strategies through targeted therapy can help ophthalmologists effectively counsel and provide appropriate treatment to improve morbidity and mortality. Extensive clinical trials will continue to help shape the way in which we understand and treat uveal melanomas over decades to come.

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# Pathology of Intraocular Tumors

# 9

Subramanian Krishnakumar

## 9.1 Intraocular Tumors

### 9.1.1 Introduction

Intraocular tumors are generally challenging to the general pathologist. The challenges are due to several reasons such as the less of ophthalmic pathology training in the undergraduate and post-graduate pathology curriculum, the need for the background knowledge of ophthalmology and finally the small sample size [1].

The intraocular components of the eye includes the iris tissue and ciliary body which are part of the anterior segment, and the posterior segment which comprises the vitreous fluid, vascular choroid, which is a continuation of the ciliary body, the multilayered retinal tissue in front of choroid and retinal pigment epithelium that is located between the retina and the choroid. These structures are involved in inflammation and also have the potential to develop both primary malignant tumors because of the genetic events/mutation/chromosomal aberrations and also secondarily invaded by malignant tumors that

spread from outside the eye and metastasize from other organs. Structures such as sclera, the outer coat of the eye and optic disc/nerve can be involved by inflammation and tumors and may mimic intraocular tumors. Thus, diagnosing intraocular tumors has its own challenges.

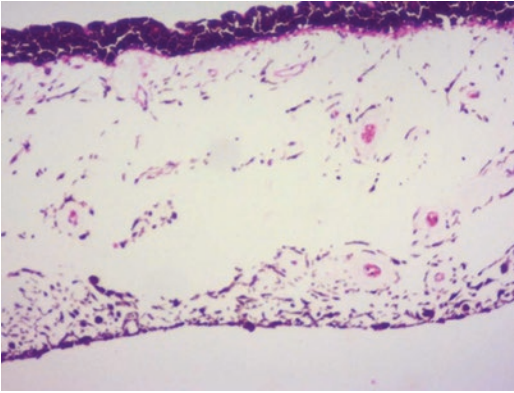
The diagnosis of intraocular tumors needs the knowledge gained from various non-invasive techniques such as ultrasound bio microscopy, ultrasound, Optical Coherence Tomography (OCT) imaging, computed tomography (CAT) scan, magnetic resonance imaging (MRI) imaging and fundus photography. This supports the light microscopy observation and complemented by immunohistochemistry technique, molecular techniques such as Polymerase Chain reaction (PCR) for diagnosis of tuberculosis and PCR-Sequencing for identifying MYD88L265P and IgH gene clonal rearrangements for diagnosing primary intraocular large cell lymphoma [2].

The applications of molecular techniques like microarray based gene expression profiling in uveal melanoma [3], retinoblastoma [4] and studying chromosomal aberrations in uveal melanoma [5] helps in prognosticating the tumors. The newer digital pathology is sure to advance ophthalmic pathology by helping the ophthalmic pathologist to share the images with peers for second opinion and later could enable the application of machine learning in ophthalmic pathology [1].

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**Fig. 9.1** Iris tissue showing the pigmented epithelium and the stroma

## 9.2 Iris

### 9.2.1 Background

The components of the iris tissue include pigment epithelium, non-pigment epithelium, blood vessels, smooth muscle fibers and the stroma composed of mesenchyme, immune cells, and blood vessels (Fig. 9.1) [6]. Iris tissue is involved in many disorders ranging from genetic diseases that have a structural defect [7] to inflammatory disorders [8] where iris is inflamed and presents as iris nodules [9]. In recent years, many high-throughput technologies like proteomics and sequencing are used to study the iris tissue which will help in understanding the biology, functions and diseases of iris tissue [10–12].

## 9.3 Iris Tumors

### 9.3.1 Introduction

Iris is involved in both primary and secondary tumors, where the clinical presentation is just an iris mass [13, 14]. It is also involved by secondary tumors extending from the adjacent tissues such as from the ciliary body, retina, and ocular surface and from the solid tumors from distant organs which metastasis to the iris. Iris tissue also involved in hematological malignancies [15].

### 9.3.2 Classification of the Iris Tumors Based on the Clinical Behaviour

#### 9.3.2.1 Benign Tumors

- Iris nevus
- Iris melanocytoma
- Iris pigment epithelial adenoma
- Iris leiomyoma
- Iris leiomyoepithelioma
- Iris neurofibroma

#### 9.3.2.2 Intermediate Grade Tumors

- Iris melanocytic tumors of uncertain malignant potential
- Epstein-Barr virus-associated smooth muscle tumor

#### 9.3.2.3 Malignant Tumors

- Iris melanoma
- Iris pigment epithelial adenocarcinoma
- Rhabdomyosarcoma
- Ewing's sarcoma

#### 9.3.2.4 Vascular Tumors

- Capillary/cavernous/racemose hemangioma

#### 9.3.2.5 Hematological Tumors

- Lymphoma-primary/secondary
- Plasmacytoma

#### 9.3.2.6 Metastatic Tumors of Iris

#### 9.3.2.7 Secondary Iris Tumors Resulting from Extension of Local Tumors

### 9.3.3 Benign Primary Tumors of the Iris

#### 9.3.3.1 Iris Nevus

**Clinical Notes** This arises from the melanocytes in the iris stroma. It presents as pigmented spot in the iris. Iris nevi can be either focal or diffuse. Focal iris nevus can be slightly elevated. Diffuse iris nevus can involve large component of the iris.



**Histopathology** Iris nevus is pigmented. Bleaching is necessary to study the cell morphology. The nevus is composed of both spindle cells and polygonal cells. The nevus cells have tiny nucleoli. Rarely, these nevus cells can have clear cytoplasm and form rosettes [16, 17]. There is no atypia in these nevus cells. The risk of melanoma transformation of iris nevi is less than 10% [18].

**Histopathology Differential Diagnosis** Iris nevus should be differentiated from iris melanoma. If there are clear cells, then balloon cell nevus [17, 19], xanthomathous infiltration [20] and metastatic renal cell tumor all come in the differential diagnosis [21].

**Immunohistochemistry** Melanocyte lineage markers such as Melan-A and SOX 10 will help to identify the nevus. However CD68 may be needed to identify xanthoma and when metastatic tumor is suspected a panel of immunohistochemical markers is needed as given in Table 9.1.

**9.3.3.2 Iris Melanocytoma**

**Clinical Notes** Iris melanocytoma presents as a black nodule in the iris. It can be localized or show a diffuse pattern. There could be pressure effect and invasion in to the adjacent structures [22, 23]. Iris melanocytomas may show spontaneous necrosis [24] and regress spontaneously [25]. Iris melanocytic lesions have a favorable outcome and malignant transformation is rare [25–29]

**Histopathology** Bleach preparation of the tumor shows oval to round cells with low nucleocytoplasmic ratio. There is a tiny nucleolus with no atypia or mitotic activity.

**Histopathology Differential Diagnosis** Iris Melanocytic Tumors of Uncertain Malignant Potential and Iris melanoma.

**Immunohistochemistry and Genetic Study** Immunohistochemistry has limitations except proliferative index Ki-67, which is low in melanocytoma. However, mutation studies on iris melanocytoma for mutations such as GNAQ, GNA11, and EIF1AX are absent.

**9.3.3.3 Iris Pigment Epithelial Adenoma**

**Clinical Notes** Iris Pigment Epithelial (IPE) adenoma is seen in adults and present as an iris nodule. Clinically it mimics melanoma. These tumors are located in the superficial portion of iris and do not invade the iris stroma [30].

**Histopathology** Bleached preparation is required. The tumor shows intensely pigmented epithelial cells which are arranged in tubular and cord like pattern with a cystic component that contain melanophages. Periodic acid–Schiff (PAS) stain shows deposition of basement membrane material around the tumor cells.

**Table 9.1** A basic panel for immunohistochemical markers for metastatic tumors

Immunohistochemical markers	Site of the tumor					
	Breast	Colon	Lung	Prostate	Thyroid	Melanoma
Cytokeratin 7	+	±	+	±	+	–
Cytokeratin 20	±	+	±	±	–	–
GATA3	+	–	–	–	–	–
TTF1	–	–	+	–	+	–
CDX2	–	+	–	–	–	–
GCDFP-15	+	–	–	–	–	–
HMB45	–	–	–	–	–	+

The full immunohistochemical staining panel is outside the scope of this volume

**Histopathology Differential Diagnosis** IPE adenocarcinoma to be considered if mitotic activity and nuclear atypia is present [14, 30–33].

**Immunohistochemistry** Epithelial markers such as cytokeratin and epithelial membrane antigen (EMA) are positive.

#### 9.3.3.4 Iris Leiomyoma

**Clinical Notes** This tumor is common in younger age group and in females. The tumor presents as a nodule in the iris. These tumors are usually not pigmented and are slow growing. The tumor could cause pressure effects on the adjacent tissues causing glaucoma and cataract [34–37].

**Histopathology** Iris leiomyoma is composed of spindle cells arranged in a fascicular pattern with oval nuclei and myxoid changes can be seen in the tumor. There is no atypia and no mitotic activity.

**Histopathological Differential Diagnoses** Spindle cell melanoma and Epstein-Barr virus (EBV) associated smooth muscle tumor of the iris.

**Immunohistochemistry** The tumor cells express smooth muscle actin (SMA), desmin and are negative for melanocyte markers such as SOX-10, Microphthalmia transcription factor (MITF), S-100 and HMB45 and negative for Epstein Barr virus associated RNA by insitu hybridization.

#### 9.3.3.5 Iris Leiomyoepithelioma

**Clinical Notes** This tumor is pigmented and mimics melanocytoma. There is only one case report [38]. It is seen in younger age group and presents as a nodule.

**Histopathology** The tumor is composed of spindle and polygonal cells. The polygonal cells representing the epithelial origin and spindle cells show the smooth muscle differentiation and the polygonal cells may have a prominent nucleolus. There is no atypia and mitotic activity.

**Histopathology Differential Diagnosis** Melanocytoma and melanoma.

**Immunohistochemistry** The tumor cells are positive for smooth muscle actin (SMA), desmin, mesenchymal marker vimentin, epithelial marker-EMA and may be positive for melanocyte lineage markers such as SOX-10, MITF, S-100 and HMB45

#### 9.3.3.6 Iris Neurofibroma

**Clinical Notes** The tumor presents as iris nodule. There is associated neurofibromatosis type 1.

**Histopathology** The tumor is composed of spindle cells with wavy nuclei and no nuclear atypia. The spindle cells are intermixed with collagen tissue and a few scattered mast cells are seen.

**Histopathology Differential Diagnosis** Leiomyoma and spindle cell nevus if there is no pigmentation [39]

**Immunohistochemistry** S-100, SOX- 10 proteins are moderately positive, as these proteins are also expressed in melanocytes correlation with light microscopy is required.

### 9.3.4 Intermediate Grade Tumors

#### 9.3.4.1 Iris Melanocytic Tumors of Uncertain Malignant Potential

**Clinical Notes** These tumors present as a pigment nodule in the iris. This is a small subset of iris nevus which has BRCA1 associated protein 1 [BAP1] mutation and could transform to melanoma [40].

**Histopathology** This arises from the melanocytes in the iris stroma. The nevus cells are composed of spindle cells and epithelioid cells with minimal nuclear atypia or local invasion. These atypical nevus cells have prominent nucleoli.

**Histopathology Differential Diagnosis** Iris melanoma is an important differential diagnosis.

**Immunohistochemistry** There is loss of nuclear staining for BAP1 protein in the epithelioid cells.

### 9.3.4.2 Epstein-Barr Virus (EBV): Associated Smooth Muscle Tumor

**Clinical Notes** Epstein-Barr virus (EBV)-associated smooth muscle tumor is rare and presents as a solitary mass in the iris or systemically in other organs. These tumors are usually fleshy, vascularized and not pigmented. This tumor occurs in immune suppressed patients due to post-transplant medications and infection with human immunodeficiency virus [41].

**Histopathology** The tumor is cellular and composed of a mixture of predominantly spindle cells arranged in a fascicular pattern and small foci of epithelioid cells with prominent nucleoli. There could be minimal nuclear atypia and mitotic activity and this should not be mistaken for evidence of a sarcoma [42]. These tumors have favorable prognosis [41]. There is infiltration by lymphocytes and the prognosis and histopathology severity depends on the level of immunosuppression.

**Histopathological Differential Diagnosis** Leiomyoma, amelanotic melanoma, leiomyosarcoma and metastatic spindle cell tumors.

**Immunohistochemistry and Molecular Techniques** The tumor cells are positive for SMA, desmin and negative for melanocyte markers such as SOX10, MITF, S-100 and HMB45. Epstein Barr virus associated RNA by in situ hybridization is positive in the tumor and confirms the diagnosis.

## 9.3.5 Malignant Tumors of the Iris

### 9.3.5.1 Iris Melanoma

**Clinical Notes** Iris melanoma presents as pigmented nodule in the iris.

**Histopathology** Bleached preparation of the tumor shows both spindle and epithelioid cells or

one cell type. Iris melanomas behave aggressively and can invade the orbit [43] and metastasize [44]. Thus, iris melanomas can be classified in to class 1 and class 2 melanomas based on their biological behaviour similar to the choroidal melanomas based on gene expression profiling. Most of the iris melanomas show monosomy 3 [45, 46].

**Histopathology Differential Diagnosis** Iris melanocytic tumors of uncertain malignant potential.

### 9.3.5.2 Iris Pigment Epithelial Adenocarcinoma

**Clinical Notes** This is a pigmented tumor and presents as an iris nodule. The tumor mimics iris melanoma [47, 48].

**Histopathology** This tumor originates from the fully differentiated iris pigment epithelium. Bleach preparation shows a tumor composed of atypical pigment epithelial cells arranged in tubular and tubulo-acinar arrangement. These cells are oval to cuboidal with adequate cytoplasm. There is moderate high nucleo cytoplasmic ratio with prominent nucleoli. There is deposition of periodic acid Schiff (PAS) positive basement membrane around the tumor cells. Scattered vascular channels are seen within the tumor. There could be local invasion into the adjacent structures.

**Histopathological Differential Diagnosis** Metastatic adenocarcinoma from the various solid organs such as breast, lung, kidney, esophagus etc. that could metastasize to the iris [49–53].

**Immunohistochemistry** These tumors are positive for S-100, vimentin, cytokeratin and negative for HMB45 and EMA.

### 9.3.5.3 Iris Rhabdomyosarcoma

**Clinical Notes** This tumor presents as a nodule in the iris. Only 3 cases have been reported [54–56]. These tumors are considered to be predominantly rhabdomyoblastic differentiation of the iris

medulloepithelioma. These tumors could arise either from the mesenchymal cells in the iris stroma or from rhabdomyoblastic differentiation of the primitive medullary epithelial cells in iris [55].

**Histopathology** The histopathology is like rhabdomyosarcoma elsewhere. The tumor is cellular and composed of tumor cells which are oval cells with prominent nucleoli and spindle cells. Nuclear atypia and mitosis are seen.

**Histopathological Differential Diagnosis** Teratoid medulloepithelioma with a rhabdomyoblastic component to be ruled out with serial sections. Metastatic rhabdomyosarcoma to the iris should be ruled out with clinical information [57]. Malignant Rhabdoid Tumor both primary and metastatic to be ruled out with imaging studies on the kidney.

**Immunohistochemistry** Muscle markers such as desmin, actin and myogenin are positive and there is negative expression of the nuclear SMARCB1/INI1 protein by immunohistochemistry in the rhabdoid tumor cells [58–60].

#### 9.3.5.4 Ewing's Sarcoma of Iris

**Clinical Notes** Ewing's sarcoma is a primary bone tumor in childhood and can present as an iris mass [61].

**Histopathology** The tumor is cellular and there is diffuse infiltration of small round cells. The cells have minimal cytoplasm and contain glycogen which can be stained by PAS. The nucleus of the tumor cell has indentations and tiny nucleoli. The tumor is divided into lobular arrangement by fibrous septa.

**Histopathology Differential Diagnosis** The round cells can morphologically mimic Retinoblastoma, acute lymphoblastic leukemia cells, and lymphoma cells. A diagnosis of primary Ewing's sarcoma should be made only after metastatic Ewing's tumor is excluded [62].

**Immunohistochemistry and Molecular Tests** Immunohistochemistry shows strong membra-

nous CD99 positivity, and molecular testing for the fusion gene EWS-FLI1, caused by the t(11;22)(q24;q12) translocation, helps in diagnosis [63].

#### 9.3.5.5 Vascular Tumors of Iris

**Clinical Notes** Vascular tumors in the iris have been reported. These tumors include capillary hemangioma, cavernous hemangioma, racemose hemangiomas and micro hemangiomas. Hemangiomas are noted at birth they can either regress or enlarge [64]. Capillary hemangiomas are rare. Racemose hemangiomas [arteriovenous malformation] are common [65]. Iris hemangiomas may be associated with choroidal hemangiomas [66].

**Histopathology** The tumor is composed of vascular spaces filled with blood, similar to cavernous hemangioma elsewhere.

### 9.3.6 Hematological Disorders of Iris

#### 9.3.6.1 Iris Lymphoma

**Clinical Notes** Iris lymphomas present as iris nodules and thickening of iris. Iris lymphoma can be classified into 2 categories. In the first, iris lymphoma is a component of the extra nodal marginal zone B cell lymphoma or mucosa-associated lymphoid tissue (MALT) lymphoma. These lymphomas are usually low grade lymphomas [67, 68]. In the second, iris tissue is the primary presentation of non-Hodgkin's lymphomas such as Mantle cell lymphoma, T cell lymphoma and Anaplastic large cell lymphoma. Primary Mantle cell lymphoma has been reported in the iris. This lymphoma has aggressive behavior [68, 69]. T cell lymphoma and Anaplastic large cell lymphoma can invade the iris and present as iris nodules, and diffuse infiltration [70, 71].

**Histopathology** Diffuse infiltration with atypical lymphoid cells is seen. Plasma cells can be seen in malt lymphoma. Large cells with atypia can be seen in anaplastic large cell lymphoma.

**Immunohistochemistry** A panel of immunohistochemical markers for B cell, T cell, NK cell, CD 30, ALK, cyclin D1 is needed. A detailed description of lymphomas is beyond the scope of this chapter. Lymphomas are in detail discussed elsewhere.

### 9.3.6.2 Plasmacytoma of Iris

**Clinical Notes** Plasmacytoma in the iris could be part of multiple myeloma or isolated plasmacytoma of iris. It can present as an iris mass. Histopathology and immunohistochemistry are needed to establish the diagnosis [72]. Serum immunoelectrophoresis is needed to rule out monoclonal gammopathy.

**Histopathology** Diffuse infiltration with plasma cells is present. The plasma cells can have intranuclear inclusions.

**Immunohistochemistry** Kappa and lambda chains are needed to confirm the clonality of the plasma cells. In reactive plasma cell infiltrates both kappa and lambda chains will be positive and in monoclonal disease such as plasmacytoma only one of these chain is positive and helps to make the diagnosis.

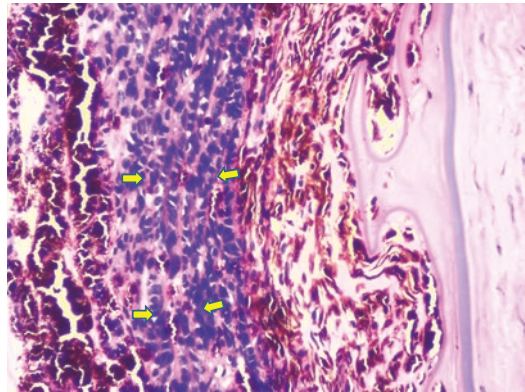
### 9.3.6.3 Metastatic Tumors of Iris

**Clinical Notes** Metastatic tumors to iris are rare. Iris secondary deposits can present as a nodule or thickening of the iris. The patient could present with pain or hyphema. Tumors both from solid organs and lymphoreticular disorders can involve the iris. Iris involvement accounts for less than 10% of uveal metastases [73]. Solitary iris metastasis is rare [74, 75]. Clinicopathological correlation is important [53, 76].

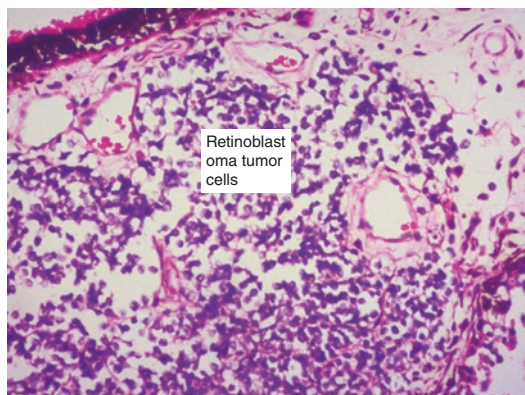
**Histopathology** The tumors could be arranged in diffuse pattern composed of small round cells, or glandular pattern with atypia and areas of necrosis. A panel of Immunohistochemistry markers is needed to know the site of organ from which it has originated. This is discussed in Table 9.1.

### 9.3.6.4 Secondary Iris Tumors Resulting from Extension of Local Tumors

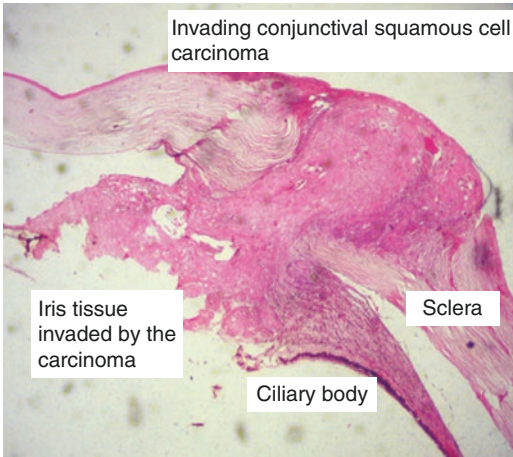
**Clinical Notes** Secondary iris tumors present either as pigmented or amelanotic, fleshy vascularized nodule. Ciliary body melanoma and medulloepithelioma can extend into the iris and mimic an iris tumor [77] (Fig. 9.2). Anteriorly situated retinoblastoma can invade the iris and can present as a nodules in the iris [78] (Fig. 9.3). Tumors from the ocular surface especially squa-



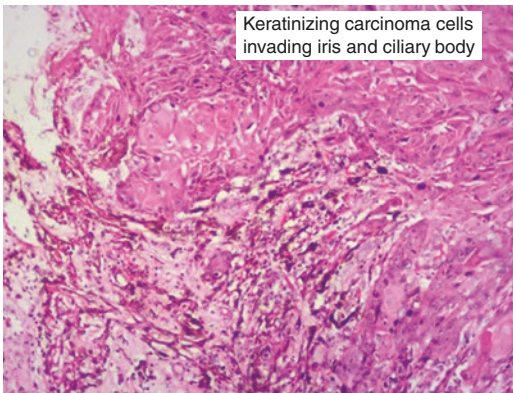
**Fig. 9.2** Ciliary body teratoid medulloepithelioma tumor cells (arrows) invading the iris tissue. The tumor cells are seen arranged in a thin cord like pattern invading in to the iris stroma



**Fig. 9.3** Retinoblastoma tumor cells invading the iris tissue. The tumor cells are undifferentiated and arranged in a nodular pattern in the iris stroma. There are numerous blood vessels in the iris stroma



**Fig. 9.4** Part of the enucleated sample shows the anterior segment. The pinkish stained tumor cells from the limbus and the conjunctiva are seen invading the corneal stroma and invading into the anterior chamber and invading the iris tissue and portions of the ciliary body



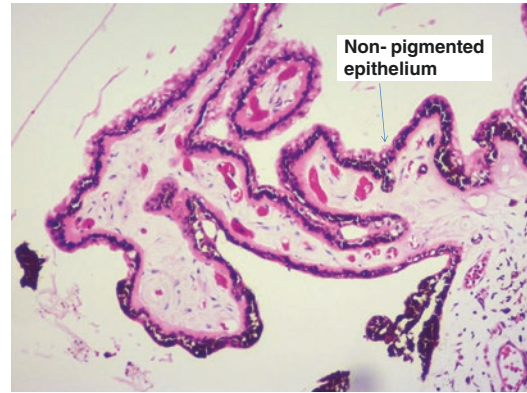
**Fig. 9.5** Conjunctival Squamous cell carcinoma invading the iris tissue and portions of the ciliary body

ous cell carcinomas can extend in to the iris (Figs. 9.4 and 9.5) to simulate primary iris tumor [79]. Conjunctival carcinomas and melanomas can extend intraocularly and invade the iris [80–82].

## 9.4 Ciliary Body

### 9.4.1 Introduction

Ciliary body is continuation of the iris and an important component of the uveal tract. The com-



**Fig. 9.6** Ciliary body with processes with pigmented and non pigmented epithelium

ponents of ciliary body include the pigment epithelium which secretes the aqueous humor, non-pigmented epithelium which secretes the vitreous fluid, blood vessels and smooth muscle fibers which control the lens shape and the stroma composed of blood vessels, dendritic cells, macrophages, lymphocytes and mesenchymal cells (Fig. 9.6). Proteomics studies done on ciliary body has identified novel proteins that may help to understand diseases of the ciliary body [83, 84]. Ciliary body can be involved in both primary tumors and secondary tumors.

### 9.4.2 Tumors of the Ciliary Body

#### 9.4.2.1 Classification of Ciliary Body Tumors Based on Their Clinical Behavior

##### Benign Primary Tumors

- Ciliary body Nevus
- Ciliary body melanocytoma
- Adenoma of the ciliary pigment epithelium
- Fuch's Adenoma of the ciliary non-pigment epithelium
- Adenoma of the ciliary non-pigment epithelium
- Ciliary body leiomyoma
- Ciliary body Schwannoma
- Glioneuroma
- Astrocytoma

**Intermediate Grade Tumors**

- Perivascular epithelioid cell tumor, also known as PEComa

**Malignant Tumors of the Ciliary Body**

- Ciliary body melanoma
- Ciliary adenocarcinoma from pigment epithelium
- Ciliary adenocarcinoma from non-pigment epithelium
- Leiomyosarcoma
- Medulloepithelioma

**Hematological Tumors of Ciliary Body**

- Lymphoma-primary/secondary

**Miscellaneous Tumors**

- Solitary fibrous tumor
- IgG4 Inflammatory tumor

**Metastatic Tumors**

Benign primary tumors of the ciliary body

**9.4.2.2 Ciliary Body Nevus**

**Clinical Notes** This is usually seen in adults and presents as ciliary body mass [85]. There could be pigmentation extending to the adjacent structures [86]. Clinically, it mimics melanocytoma and melanoma.

**Histopathology** The nevus is pigmented. Bleaching shows the nevus is composed of spindle cells with bland nuclei. There is no atypia or mitotic activity. The nevus could be seen extending to sclera and adjacent structures [86, 87]

**Histopathology Differential Diagnosis** Ciliary body melanocytoma/melanoma.

**Immunohistochemistry** Melanocyte lineage markers such as Melan-A and SOX 10 are positive.

**9.4.2.3 Ciliary Body Melanocytoma**

**Clinical Notes** This presents as a black nodule in the ciliary body and mimics melanoma. There could be pigmentation in the anterior chamber and adjacent sclera. Invasion into the anterior

chamber is common. This must not be mistaken for melanoma with extension, ciliary body adenoma, and pigmented adenocarcinoma [88, 89].

**Histopathology** This arises from the melanocytes in the ciliary body stroma [88]. The tumor shows densely pigmented cells. Bleached preparation shows that there are 2 types of cells. Type 1 melanocytoma cells are polyhedral with a small round uniform with nucleus showing a low nucleocytoplasmic ratio. They have tiny nucleoli. Type 2 melanocytoma cells have a spindle cell appearance [90]. Melanocytomas undergo spontaneous necrosis and numerous pigment laden macrophages are seen in histopathology [91]. There could be extra ocular extension of the nevus [92]. Transformation to a melanoma is rare but has been reported [93].

**Histopathological Differential Diagnosis** Ciliary body melanoma.

**Immunohistochemistry** Ki 67 is very low in melanocytoma.

**9.4.2.4 Adenoma of the Ciliary Pigmented Epithelium**

**Clinical Notes** These tumors are rare and seen in middle age around 50 years. Clinically presents as multiple nodules in the ciliary body. There could be extension into the iris and anterior chamber.

**Histopathology** The tumor can be densely pigmented or partially pigmented. The tumor shows a varied pattern ranging from trabecular to pseudo glandular pattern containing cystic spaces that are filled with acid mucopolysaccharide. PAS positive basement membrane is seen around the tumor cells. Bleach preparation shows intermediate to large size oval round cells with small to prominent nucleoli. The tumors may show necrosis and mitotic activity is low. Invasion into the adjacent structures is seen [94].

**Histopathology Differential Diagnosis** Adenomas of the non-pigmented ciliary epithelium, which can be pigmented due to reactive

proliferation of the pigmented epithelium, melanocytoma and melanoma.

**Immunohistochemistry** These tumors are positive for vimentin, cytokeratin 18 and negative for melanocyte markers [95].

#### 9.4.2.5 Ciliary Fuchs's Adenoma-from Non-pigmented Epithelium

**Clinical Notes** This presents as a ciliary body nodule and patients can have visual blurring due to pressure effects. It mimics ciliary body melanoma.

**Histopathology** There are duct like structures of the non-pigmented epithelium in the tumor. PAS positive eosinophilic basement membrane material surrounds the duct like structures. There is no atypia or mitotic activity [96].

#### 9.4.2.6 Ciliary Adenoma of the Non-pigmented Epithelium

**Clinical Notes** These tumors rare and are reported in adults. These tumor presents as a ciliary body nodule. The tumor could be partially pigmented. The tumor nodule is usually well defined [97–101]. Clinically it mimics melanoma.

**Histopathology** The tumor shows a combination of solid, glandular and papillary areas in a myxoid background. The tumor cells are small size with oval to polygonal with tiny nucleoli. The tumor cells are surrounded by a PAS positive basement membrane like material. There is no necrosis, atypia and no mitotic activity. Smooth muscle differentiation [102] and areas of calcifications have been reported [103].

**Histopathological Differential Diagnosis** Important ones are the low-grade ciliary adenocarcinoma, epithelioid leiomyoma.

**Immunohistochemistry** These tumors are positive for vimentin, cytokeratin 18 and S-100. Melanocyte markers such as SOX-10, Melan-A and HMB45 are negative.

#### 9.4.2.7 Primary Ciliary Body Leiomyoma

**Clinical Notes** This tumor is usually not pigmented and presents as a nodule. There are 2 types of this tumor based on the cell of origin. Mesodermal leiomyoma originates from the smooth muscle layer of vessel walls. Mesoectodermal leiomyoma arises from the ciliary muscle which is a neural crest derivative [104–109]. These tumors are associated with local eye complications because of the mass effect such as lens dislocation, glaucoma and retinal detachment [104–107, 110].

**Histopathology** These tumors are usually well circumscribed. Mesodermal leiomyoma is a cellular tumor and composed of bland spindle cells showing a fascicular pattern of arrangement. The spindle cells have elongated nuclei. There is no nuclear atypia. Mesoectodermal leiomyoma is a cellular tumor and composed of spindle cells that show a fascicular pattern with fibrillary cytoplasm with focal areas showing cystic degeneration [111]. The tumors stain for both smooth muscle marker and neural marker [112, 113].

**Histopathological Differential Diagnosis** Epithelioid variant of leiomyoma should not be mistaken for melanoma [114].

**Immunohistochemistry** Mesodermal leiomyoma tumor cells are positive for smooth muscle actin, vimentin and negative for melanocyte markers such as SOX-10, MITF, and Melan-A. Mesoectodermal leiomyoma positive for smooth muscle actin, vimentin and negative for melanocyte markers and S-100 known neural marker is positive in mesoectodermal leiomyoma.

#### 9.4.2.8 Ciliary Body Schwannoma

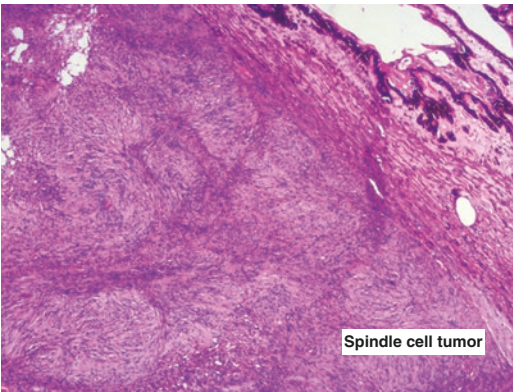
**Clinical Notes** These tumors present as an amelanotic mass in the ciliary body [115]. These tumors arise from the posterior ciliary nerve. The tumors are slow growing and cause pressure effects to the local adjacent structures of the eye. It is important to rule out systemic disorders such as neurofibromatosis or Carney complex [115].



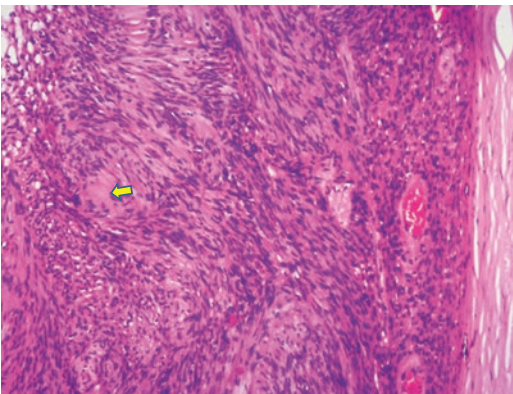
**Histopathology** The tumor is composed of spindle cells that are wavy and have bland nuclei and abundant eosinophilic cytoplasm. The tumor cells are arranged in a cellular pattern (Antoni A) with palisaded called verocay bodies and with a hypocellular myxoid component (Antoni B) (Figs. 9.7 and 9.8). The tumor could be pigmented or have a plexiform pattern [116–119].

**Histopathology Differential Diagnosis** Ciliary body spindle cell amelanotic melanoma.

**Immunohistochemistry** The tumors are positive for S-100 in the cytoplasm and nucleus of the tumor cells and vimentin and negative for melanocyte markers such as Melan-A and SOX-10.



**Fig. 9.7** Cilio choroid schwannoma showing spindle cells



**Fig. 9.8** Cilio choroid schwannoma showing a cellular tumor composed of spindle cells arranged in Antony A pattern showing verocay body formation (arrow)

#### 9.4.2.9 Glioneuroma

**Clinical Notes** This arises in the ciliary body presenting as a fleshy mass in the anterior chamber angle. These tumors are classified under choristoma and contains both glial and neuronal elements [120, 121].

**Histopathology** The tumor has hypocellular appearance and made of wavy cells which show markers for glial tissue and axonal cells. There could be dysplastic rosettes and calcification. There is no atypia [122].

**Histopathology Differential Diagnosis** Serial sections are needed along with clinical information and imaging to rule out teratoid medulloepithelioma of the ciliary body.

**Immunohistochemistry** Glial fibrillary acidic protein (GFAP) is positive in the glial portion of the tumor and synaptothophysin is positive in the neuronal portion of the tumor.

#### 9.4.2.10 Astrocytoma

**Clinical Notes** Astrocytoma of the ciliary body is a benign tumor. It is thought to be a choristoma or metaplastic process from the normal ciliary epithelium [123–125].

**Histopathology** The tumor is composed of spindle cells in a fibrillary stroma. There could be minimal variation in nuclei size and shape.

**Immunohistochemistry** GFAP is positive in the spindle cells.

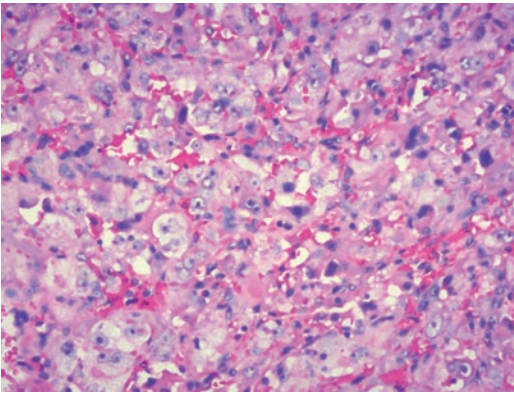
### 9.4.3 Borderline Malignant Tumor

#### 9.4.3.1 Perivascular Epithelioid Cell Tumor/PEComa

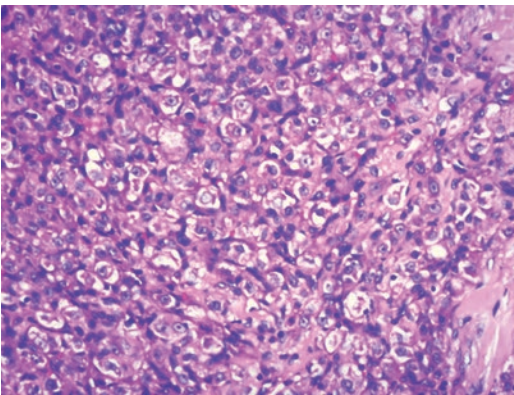
**Clinical Notes** Perivascular epithelioid cell tumor, also known as PEComa is a mesenchymal neoplasm originating from perivascular myoid cells. It presents as a ciliary body tumor and mimics melanoma [126, 127].

**Histopathology** The tumor is cellular and has both spindle and epithelioid cells in perivascular arrangement. The cytoplasm appearance ranges from clear to granular. The tumors biologically behave in both benign and aggressive ways. Benign PEComas do not show atypia, however malignant PEComas show areas of necrosis, with nuclear atypia and mitotic activity [128] (Fig. 9.9).

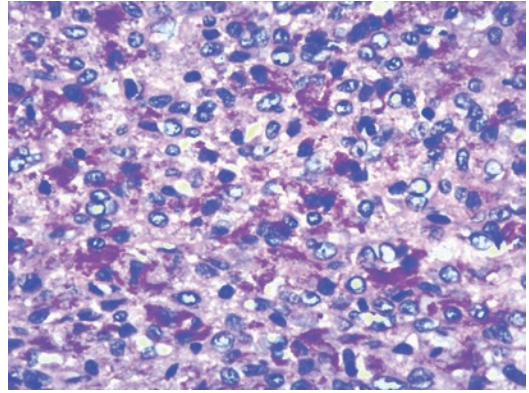
**Histopathology Differential Diagnosis** Alveolar soft part sarcoma, (Figs. 9.10 and 9.11) amelanotic melanoma and metastatic tumors.



**Fig. 9.9** PECOMA—the tumor is composed of cells arranged around the vessels and predominantly epithelioid cells and less of spindle cells are seen. The epithelioid cells have prominent nucleoli. There is nuclear atypia (representative histology from orbital biopsy)



**Fig. 9.10** Alveolar soft part sarcoma tumor showing nests of tumor cells separated by septa. The tumor cells are large epithelioid with prominent nucleoli. The cytoplasm shows vacuolation and granularity



**Fig. 9.11** PAS stain in alveolar soft part sarcoma showing PAS positive inclusions in the cytoplasm of the tumor cells



**Fig. 9.12** Ciliary body tumor that is not pigmented

**Immunohistochemistry** PEComas express both muscle markers and melanocytic markers such as Melan-A, HMB 45. Cytokeratins are usually negative.

#### 9.4.4 Malignant Tumors of the Ciliary Body

##### 9.4.4.1 Ciliary Body Melanoma

**Clinical Notes** Ciliary body melanoma presents as a nodule (Fig. 9.12) or a diffuse mass involving both the ciliary body and the choroid [129] or involve the ciliary body in a circumscribed diffuse pattern known as ring melanoma. The prognosis is not good in ring melanoma [130].

**Histopathology** Bleaching is needed to study the cell morphology. The tumor is cellular and composed of spindle and epithelioid cells. Spindle cells are elongated cells with a spindle nucleus and tiny nucleoli. Epithelioid cells are round to polygonal cells with high nucleo-cytoplasmic ratio and prominent nucleoli. Mitotic activity can be seen. If the tumor is composed of spindle cells it is called as spindle cell melanoma. If both the spindle and epithelioid cells it is called as mixed melanoma and only epithelioid cells it is called as epithelioid cell melanoma.

**Histopathology Differential Diagnosis** If the tumor is amelanotic ciliary body leiomyoma, epithelioid cell type leiomyoma, benign peripheral nerve sheath tumor and solitary fibrous tumor are to be ruled out.

**Molecular Study** Prognostication based on both chromosomal aberrations and gene expression profiling for class 1 and class 2 signature is applicable to ciliary body melanoma similar to choroidal melanoma [131].

#### 9.4.4.2 Ciliary Adenocarcinoma Arising from the Pigmented Epithelium

**Clinical Notes** This is a rare tumor and presents as a nodule in the ciliary body with secondary cataract and glaucoma due to pressure effect of the adjacent structures [132, 133]. Clinical examination and imaging studies alone have limitations in the diagnosis and differentiation from melanoma.

**Histopathology** These tumors are pigmented and show infiltrative growth patterns into the ciliary body stroma and choroid. The tumor is composed of epithelial cells arranged in a cord and glandular pattern. PAS positive basement membrane material is seen around the tumor cells. The tumor cells have moderate nucleo cytoplasmic ratio with a prominent nucleolus. Nuclear atypia is present [134, 135].

**Histopathology Differential Diagnosis** Pigmented adenoma arising from the ciliary epi-

thelium and metastatic tumor in the ciliary body. Local stromal invasion, nuclear atypia and mitotic activity are the clue to differentiate it from pigmented adenoma.

**Immunohistochemistry** Markers for melanocyte origin such as SOX-10, MITF, S-100 are variably positive and the tumors cells are positive for EMA, pancytokeratin (AE1/AE3), cytokeratin 8/18 and cytokeratin 5/6.

#### 9.4.4.3 Ciliary Adenocarcinoma Arising from the Non-pigmented Epithelium

**Clinical Notes** These are rare tumors and occur in both children and adults. They present as anterior chamber mass, non-pigmented and fleshy and vascularized or as an epibulbar mass. There could be hyphema with pressure effects on the adjacent structures [136].

**Histopathologically** The tumors can have patterns such as solid, papillary, and a special type of tumor known as pleomorphic adenocarcinoma. The solid tumor pattern shows the arrangement of the tumor cells in gland like patterns, surrounded by PAS positive material. The tumor cells have round to polygonal in shape with a high nucleo-cytoplasmic ratio with a prominent nucleolus. The papillary pattern shows the arrangement of the tumor cells in tubular and papillary patterns. There is mitosis and atypia and these tumors are graded from low grade to high grade [137, 138] based on the mitosis and invasiveness.

Pleomorphic adenocarcinoma of the non-pigmented epithelium of the ciliary body is a variant of the tumor which is more aggressive and can spread locally and also have metastasis [136, 139].

**Histopathology Differential Diagnosis** Medulloepithelioma and retinoblastoma should be ruled out when it occurs in children. Metastatic tumors from breast, lung, and gastrointestinal tract carcinomas can show the papillary and glandular patterns [140, 141].

**Immunohistochemistry** Markers for melanocyte origin such as SOX-10, MITF, S-100 are negative and the tumor cells are positive for EMA and cytokeratins. Proliferative index Ki 67 is high in the tumor. A panel of markers to identify the source of metastatic tumor should be done (Table 9.1).

#### 9.4.4.4 Primary Ciliary Leiomyosarcoma

**Clinical Notes** The tumor presents as a ciliary body mass with obstruction to the vision. These tumors are not pigmented [142, 143]. Important clinical differential diagnosis include amelanotic melanoma and medulloepithelioma.

**Histopathology** These tumors arise from the ciliary body stroma. The tumor is cellular and is composed of both polygonal cells and spindle cells. The polygonal cells have a hyperchromatic nuclei and eosinophilic cytoplasm. Nuclear atypia and mitotic activity are seen in the tumor cells.

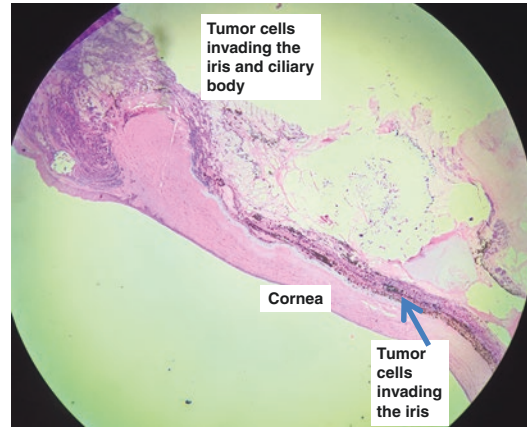
**Histopathology Differential Diagnosis** Amelanotic melanoma and teratoid medulloepithelioma with a sarcomatous component to be ruled out.

**Immunohistochemistry** Smooth muscle alpha actin, pan-actin HHF-35, and desmin is positive whereas immunohistochemistry for melanocytic markers, such as S-100, Melan-A, and HMB-45, are negative [142].

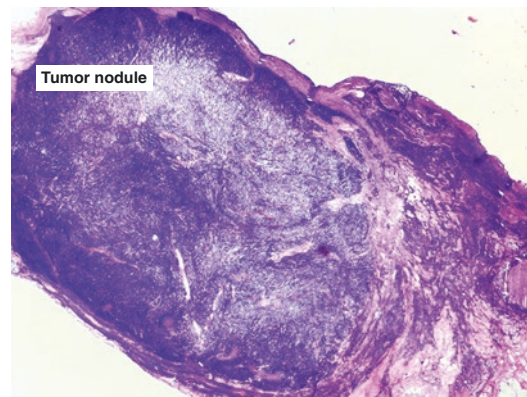
#### 9.4.4.5 Medulloepithelioma

**Clinical Notes** This tumor originates from the non-pigmented ciliary epithelium, but can be partially pigmented [144]. It presents as a ciliary body mass and can extend into the iris and extrascleral extension can be seen (Figs. 9.13 and 9.14). These tumor are reported in children [145–148] and also in adults.

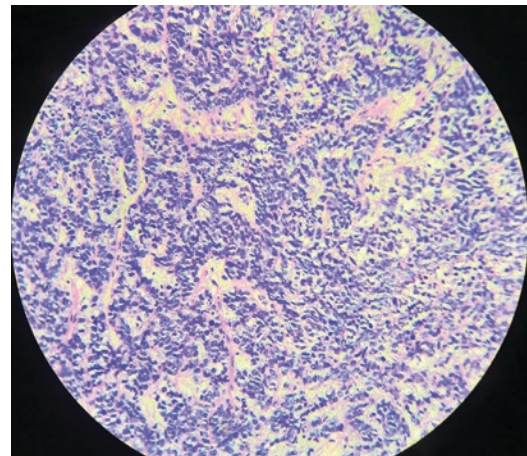
**Histopathologically** The tumor is heterogeneous and shows both solid and cystic portions. The tumor is composed of poorly differentiated neuroepithelial cells arranged in a spindle cell pattern (Fig. 9.15). The tumor may also show



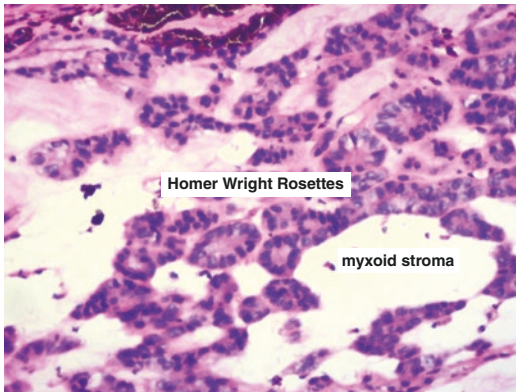
**Fig. 9.13** Medulloepithelioma of the ciliary body with anterior segment extension



**Fig. 9.14** Medulloepithelioma of the ciliary body with scleral and extrascleral extension



**Fig. 9.15** Primitive spindle cells of the medulloepithelioma



**Fig. 9.16** Ciliary body medulloepithelioma tumor showing Homer-Wright rosettes with tumor cells arranged around a central neural material and in a myxoid stroma

duct and cord like pattern in a myxoid stroma. The tumor may show homer-wright rosettes (Fig. 9.16) and rarely Flexner-wintersteiner rosettes. These tumors are subtyped as teratoid medulloepithelioma when hyaline cartilage, rhabdomyoblasts and brain tissue is seen and non-teratoid medulloepithelioma in absence of them [149]. Medulloepitheliomas spreads locally in the adjacent structures and also to distant site [150–152]. All medulloepitheliomas are potentially malignant tumors and graded as follows (adapted from [153])

Grade I medulloepithelioma (benign, no atypia, no mitosis, no spindle cell atypical component). Grade II medulloepithelioma, local invasion present [invasion into ciliary body iris]. (Pleomorphism, mitotic activity in both the rosettes, and presence of sarcomatous spindle cell component) and Grade III medulloepithelioma with extra scleral extension [153].

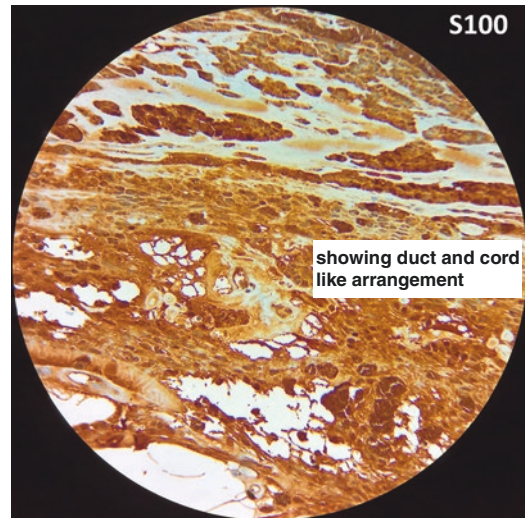
### Histopathology Differential Diagnosis

Anterior retinoblastoma with extension in to the iris and ciliary body has to be ruled out. Ciliary body adenomas, primary adenocarcinoma of the iris and ciliary body and metastatic neuroblastoma have also to be ruled out.

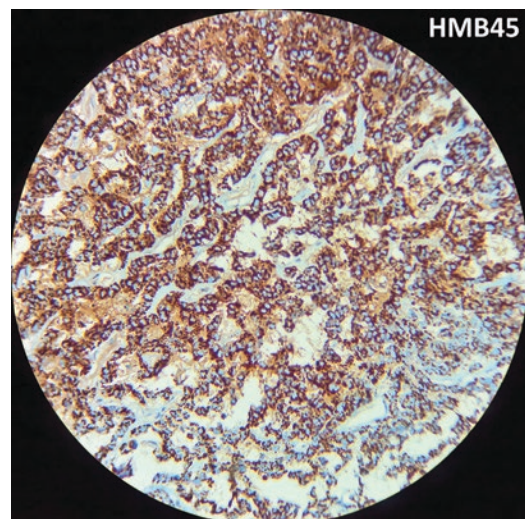
### Special Stains and Immunohistochemistry

Acid mucopolysaccharide stain such as colloidal iron stain and alcian blue stain are positive in the mucinous stroma in the tumor.

Immunohistochemistry has limitations and mesenchymal markers such as vimentin may be positive in the spindle cells. The other markers such as neuron specific enolase, synaptophysin are not specific for medulloepithelioma. Pigmented medulloepithelioma may be positive for S 100 and HMB45 (Figs. 9.17 and 9.18).



**Fig. 9.17** Medulloepithelioma tumor cells showing duct and cord like arrangement positive for S-100 protein by Immunohistochemistry



**Fig. 9.18** Medulloepithelioma tumor cells showing duct and cord like arrangement positive for HMB45 protein by Immunohistochemistry

#### 9.4.4.6 Hematological Disorders of Ciliary Body

**Clinical Notes** Ciliary body is involved by non-Hodgkin lymphomas (NHL), in two ways. In the first, there is primary lymphoma of the ciliary body in diffuse ring like pattern. This occur in both extra nodal marginal zone lymphoma-MALT type which is a low grade lymphoma [154] and also in both diffuse large cell B lymphoma which is a high grade lymphoma where there could be involvement of iris too [155] and in the rare aggressive Mantle cell lymphoma (MCL) [156]. A detailed description of lymphomas is beyond the scope of this chapter. Lymphomas are in detail discussed elsewhere.

#### 9.4.4.7 Miscellaneous Tumors of the Ciliary Body

Solitary Fibrous Tumor of the Ciliary Body [157], and IgG4-related intraocular inflammation have been reported in the ciliary body [158] and oligodendrogliomas of the ciliary body [159].

## 9.5 Choroid

Choroid is highly vascular and is sandwiched between the retinal pigment epithelium and sclera. Choroid tissue contains melanocytes, blood vessels and nerves. The melanocytes in the choroid belong to two categories. First type of melanocyte does not respond to cytokines, growth factors and hormones unlike the second one which belongs to the nevus category which responds to all stimuli. Choroid, because of its rich vascular supply and pigmentation is home to primary tumors and secondary tumors from solid organs.

### 9.5.1 Classification of Choroidal Tumors Based on Clinical Behaviour

#### 9.5.1.1 Benign Primary Tumors

- Choroidal melanocytoma
- Bilateral diffuse uveal melanocytic hyperplasia/bilateral diffuse uveal melanocytic proliferation (BDUMP)
- Choroidal leiomyoma

- Choroidal schwannoma
- Choroidal neurofibroma

#### 9.5.1.2 Intermediate Grade Tumors

- Perivascular epithelioid cell tumor, also known as PEComa
- Solitary fibrous tumor

#### 9.5.1.3 Malignant Tumors of the Choroid

- Choroidal melanoma

#### 9.5.1.4 Vascular Tumors of Choroid

- Cavernous hemangioma

#### 9.5.1.5 Hematological Tumors of the Choroid

- Primary choroidal lymphoma-part of MALT lymphoma
- Secondary lymphomatous infiltration
- Leukemic infiltration

#### 9.5.1.6 Metastatic Tumors of Choroid

#### 9.5.1.7 Secondary Choroidal Tumors Resulting from Extension from a Local Tumor

- Retinoblastoma
- RPE adenocarcinoma
- Conjunctival squamous cell carcinoma

#### 9.5.1.8 Miscellaneous Tumors

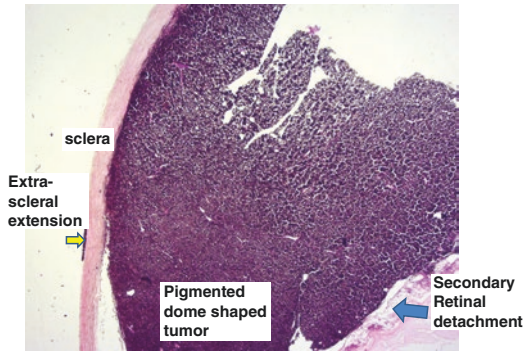
- Choroidal osteoma

### 9.5.2 Benign Primary Tumors of the Choroid

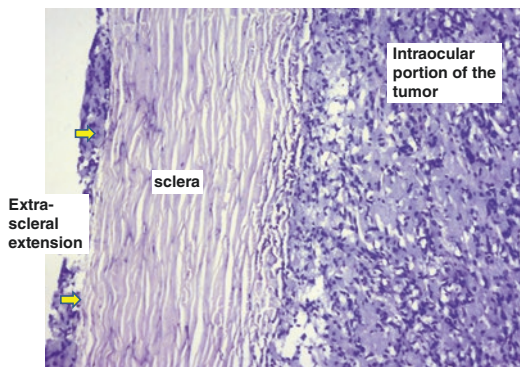
#### 9.5.2.1 Choroidal Melanocytoma

**Clinical Notes** Choroidal melanocytomas are rare. These tumors are heavily pigmented and they could mimic choroidal melanoma when it presents as a choroidal mass [89, 160, 161].

**Histopathology** Bleaching of the tumor is needed. The tumor is made of oval to polyhedral cells with a low nucleo cytoplasmic ratio, the nucleus is bland and a tiny nucleoli (Figs. 9.19 and 9.20). There is no atypia and mitotic activity. Melanocytomas undergo



**Fig. 9.19** Choroidal melanocytoma with extrascleral extension (arrow). The tumor is densely pigmented



**Fig. 9.20** Bleached preparation of the choroidal melanocytoma with extrascleral extension (arrow). The tumor cells are bland with bland nuclei

necrosis and attract numerous melanophages [162, 163]. Malignant transformation of melanocytoma to melanoma is rare, however has been reported. It is challenging to identify melanoma transformation in a necrotic melanocytoma [163]. However, serial sections are required not to miss foci of epithelioid cells or atypia. Melanocytomas may show extra scleral extension [164].

**Histopathology Differential Diagnosis** Choroidal melanoma must be differentiated based on the cell type and nuclear atypia.

**Molecular Studies** Chromosomal aberrations such as Monosomy 3 is negative in melanocytoma.

### 9.5.2.2 Bilateral Diffuse Uveal Melanocytic Proliferation (BDUMP)

**Clinical notes** BDUMP (Bilateral diffuse uveal melanocytic proliferation) is a rare paraneoplastic eye manifestation of an underlying systemic tumors such as lymphomas. This can lead to visual loss. It presents as choroidal thickening [165, 166].

**Histopathology** Enucleated eye shows there is increased numbers of spindle cells which are mostly choroidal nevus type melanocytes. These melanocytes have bland nuclei. There is no atypia [167].

**Differential Diagnosis** Diffuse uveal melanoma, however the clinical context is different and chromosomal aberrations as reported in uveal melanoma are not there and absent GNAQ mutation.

### 9.5.2.3 Leiomyoma–Choroid

**Clinical Notes** Leiomyomas are smooth muscle tumors and they arise from the mesenchyme of the choroidal stroma. These tumors can lead to reduced vision and presents as an intraocular tumor.

**Histopathology** The tumor expands the choroid in a dome shaped mass situated in the choroid and there could be secondary retinal detachment. The tumor is cellular and composed of interlacing spindle cells. There is usually no atypia and the tumors are not pigmented [168–171].

**Histopathology Differential Diagnosis** Neurilemmoma, amelanotic melanoma and solitary fibrous tumor of the choroid.

**Immunohistochemistry** Leiomyoma cells are strongly positive for muscle markers especially smooth muscle actin (SMA), desmin, and h-caldesmon and negative for melanocyte markers. Solitary fibrous tumor is positive for nuclear STAT 6 protein.

## 9.5.3 Vascular Tumors of the Choroid

### 9.5.3.1 Hemangiomas

**Clinical Notes** Choroidal hemangiomas are benign vascular hamartomas without systemic associations. Lesions are usually solitary and unilateral. Intravenous fluorescein angiography, indocyanine green angiography, ultrasonography, optical coherence tomography are helpful ancillary tests for diagnosis of circumscribed choroidal hemangiomas [172].

**Histopathology** The vascular tumor shows features seen in cavernous hemangioma at other sites.

## 9.5.4 Intermediate Grade Malignant Tumors

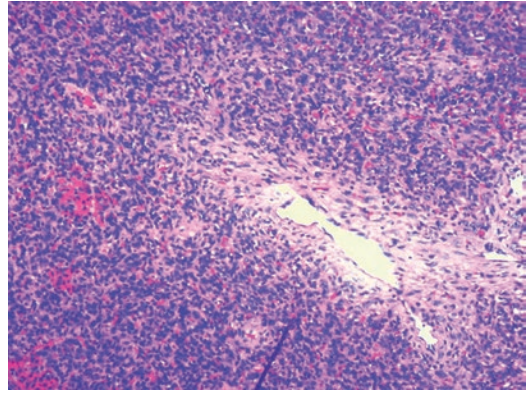
### 9.5.4.1 Solitary Fibrous Tumor (SFT)/ Hemangiopericytoma

**Clinical Notes** This tumor is common in the orbit, however it can present as a choroidal mass [173]. There is reduced vision because of the secondary retinal detachment.

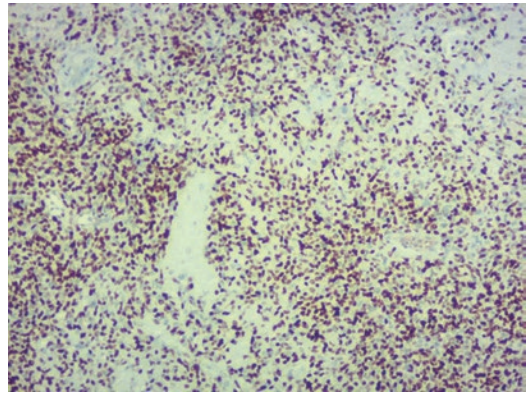
**Histopathology** The tumor originates from the choroid mesenchyme. The tumor is composed of both cellular and myxoid areas. The cellular portion of the tumor is composed of spindle cells mixed with fibroblasts with thickened collagen (Fig. 9.20). Scattered branching blood vessels with a few inflammatory cells are seen within the tumor. These tumors range from benign to malignancy in their biological behavior. Aggressive tumors show necrosis, mitosis >4 per ten high power fields.

**Histopathology Differential Diagnosis** Monophasic synovial sarcoma, spindle cell melanoma and leiomyoma.

**Immunohistochemistry and Molecular Study** Solitary fibrous tumors and hemangiopericytoma (HPC) are part of the same tumor with NAB2-STAT 6 fusion gene. STAT6 immu-



**Fig. 9.21** Solitary fibrous tumor showing dilated sinusoidal channel with cellular tumor in a patternless pattern (representative histology from orbital biopsy)



**Fig. 9.22** Solitary fibrous tumor showing nuclear STAT6 protein positivity (representative histology from orbital biopsy)

nohistochemistry reflects the fusion status. Immunohistochemistry for nuclear STAT6 protein expression is confirmative [173] (Figs. 9.21 and 9.22).

### 9.5.4.2 Perivascular Epithelioid Cell Tumor, Also Known as PEComa

**Clinical Notes** These are rare tumors occurring in the eye. One case has been reported in the choroid [174].

**Histopathology and Immunohistochemistry** These tumors have been described under the ciliary body tumors.



**Histopathology Differential Diagnosis**  
Amelanotic melanoma and metastatic tumor.

## 9.5.5 Malignant Tumors of Choroid

### 9.5.5.1 Choroidal Melanoma

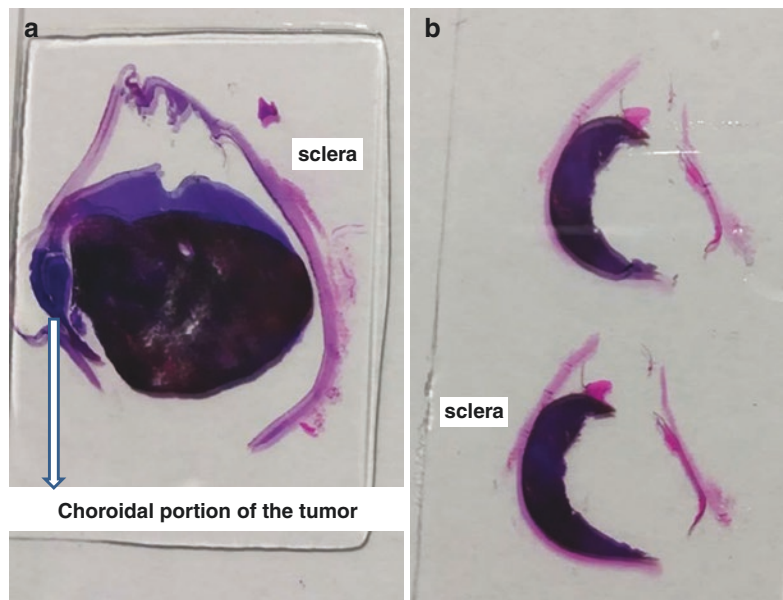
**Clinical Notes** Melanoma of choroid is common primary intraocular tumor in adults in US/Europe. It is less common in the Asian Indians [175]. The tumor can present as choroidal mass (Figs. 9.23 and 9.24) or as diffuse choroidal melanoma. Focal choroidal melanoma presents as a dome shape arises from the choroidal melanocytes. The enucleated eye ball shows the pigmented tumor having either a dome shaped or a mushroom shaped tumor depending on the break in bruch's membrane. Diagnosis of choroidal melanoma is based on fundus photography and MRI imaging. The tumor dimensions are measured from the height and base of the tumor. Based on the largest tumor diameter [LTD] the melanomas are classified in to small choroidal melanoma <10 mm in diameter, medium sized between 11 to 15 mm and large tumors >15 mm [176].

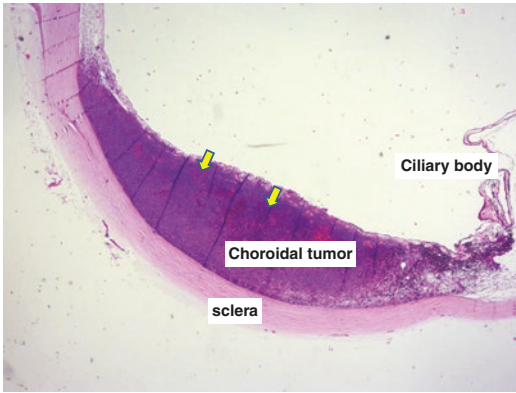
**Histopathology** Bleach preparation of the tumor to remove the melanin is necessary to

study the cell morphology clearly. The tumor is cellular and has 2 types of cells. Spindle cells (A and B) and epithelioid cells. Spindle A cells have a nuclear groove and spindle B cells have tiny nucleoli (Figs. 9.25, 9.26, and 9.27). Epithelioid cells are large with oval to polygonal shape with a low nucleo-cytoplasmic ratio with the nucleus showing a vesicular chromatin and prominent nucleoli (Fig. 9.28). Spindle cell melanoma is predominantly made up of spindle cells and epithelioid cell melanomas are composed of epithelioid cells respectively. Mixed cell melanomas are composed of both spindle cells and epithelioid cells. Melanoma cells can have rhabdoid featured with intracytoplasmic inclusions and also show rhabdomyoblastic differentiation when they are extrascleral extension (Figs. 9.29 and 9.30).

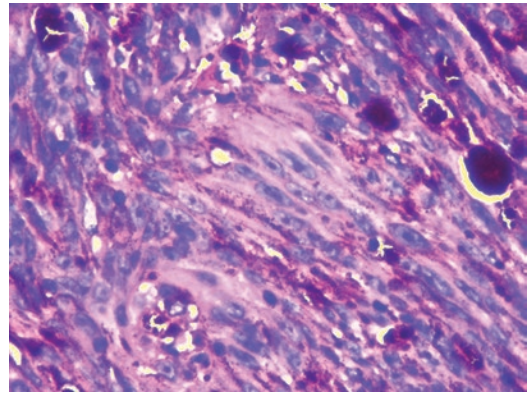
**Prognostic Parameters** Ciliochoroidal melanoma and choroidal melanomas develop haematogenous metastasis to liver, lung and bone marrow. The biological behavior of uveal melanoma is based on many factors ranging from size of tumor and histopathological information. Large tumor volume, large tumor diameter [176] epithelioid cells, nuclear atypia, mitosis, presence of tumor infiltrating lymphocytes (Fig. 9.31),

**Fig. 9.23** Photomicrograph of the slides showing sections from enucleated eye ball showing choroidal melanoma tumors. (a) Shows a choroidal pigmented tumor which is large and broken the bruch's membrane and projecting into the vitreous. (b) Shows a pigmented choroidal tumor which is extending throughout the choroid on one side

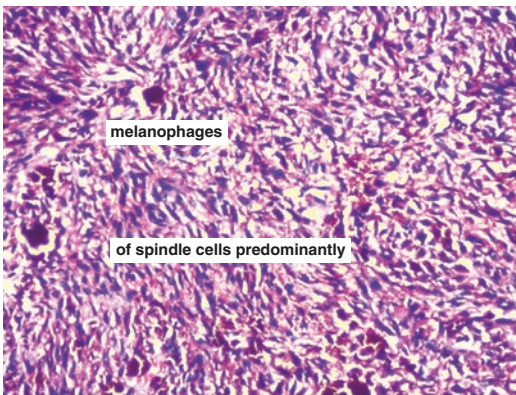




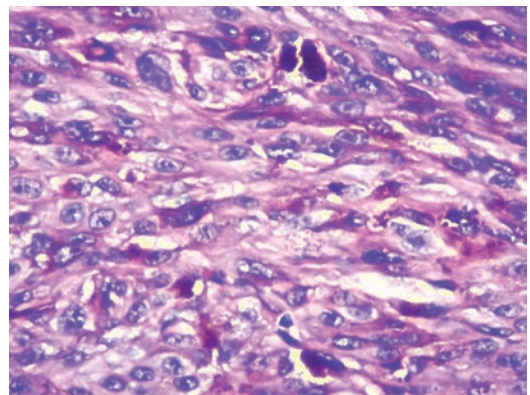
**Fig. 9.24** Enucleated Eye ball showing a dome shaped tumor of the choroid-choroidal melanoma (arrow)



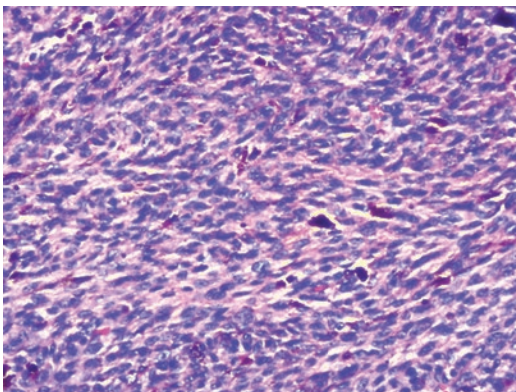
**Fig. 9.27** Higher magnification of the tumor showing the spindle B cells in choroidal melanoma with tiny nucleoli



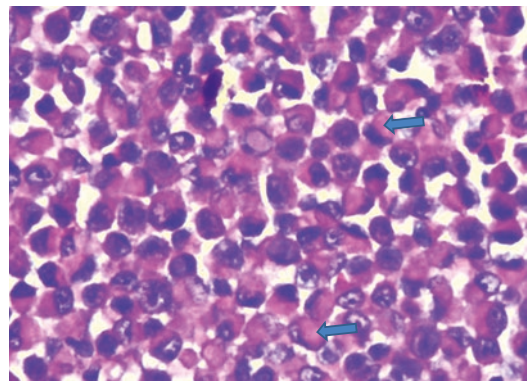
**Fig. 9.25** Choroidal melanoma composed of spindle A cells predominantly with scattered melanophages



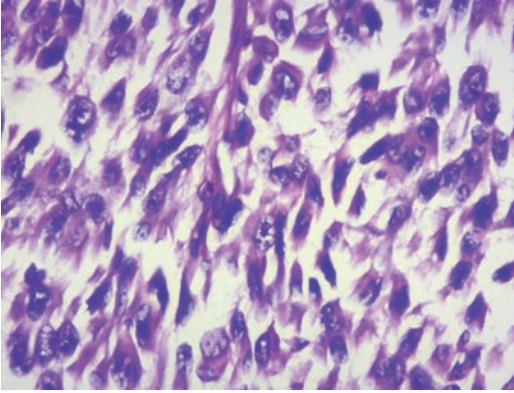
**Fig. 9.28** Choroidal melanoma showing the epithelioid cells with prominent nucleoli



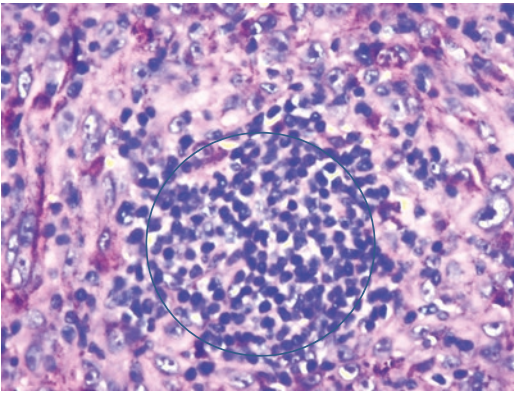
**Fig. 9.26** Choroidal melanoma composed of spindle B cells predominantly with tiny nucleoli



**Fig. 9.29** Amelanotic epithelioid melanoma cells with rhabdoid features in choroidal tumor. The tumor cells show eosinophilic inclusions (shown by arrow) in the cytoplasm of the tumor cells and the nucleus of the tumor cell is pushed to the periphery



**Fig. 9.30** Amelanotic choroidal melanoma cells with rhabdomyoblastic pattern



**Fig. 9.31** Tumor infiltrating lymphocytes (marked by circle) amongst the choroid melanoma cells

and presence of extra vascular matrix patterns mostly loops and networks contribute to aggressive behavior of the melanoma [177, 178].

However, many of the histopathological parameters such as cell types [179]. Nucleolar grade assessment, tumor infiltrating lymphocytes, and vascular patterns have shown to have limitations and these may not be applicable when the ophthalmologist does FNAB for diagnosis and prognosticating the melanoma and manages the eye melanoma with local therapy without enucleation.

**Molecular Testing** Currently 2 molecular tests are available as standard of care in ocular oncology. One technique is based on gene expression profiling using microarray. The authors have iden-

tified a set of genes to predict risk of a patient's tumor spreading in 5 years [3, 180–183]. This test measures a list of up regulated and down regulated genes in uveal melanoma and the melanoma is classified in to class 1 (low risk) and class 2 (high risk tumor). Uveal melanoma patients with class 2 gene expression profile have a high risk for developing liver metastasis in next 5 years compared to uveal melanoma patients with class 1 gene expression in their tumor samples who have a less chance for developing liver metastasis. There are limitations in using gene expression profiling as the panel cannot differentiate between metastatic tumors to the choroid and class 2 melanoma from a Fine needle aspiration biopsy sample [184] and recently intratumoral heterogeneity in gene expression profile results has been reported in uveal melanomas [185].

The second technique relies on the use of chromosomal aberrations to prognosticate choroidal melanoma. Loss of chromosome 3 and gain of chromosome 8 are associated with liver metastasis. Chromosomal aberrations can be studied using FISH [186–190]. Intratumoral heterogeneity has also been reported in chromosomal aberrations too [191].

**Histopathology Differential Diagnosis** Choroidal melanocytoma, Leiomyoma, solitary fibrous tumor, neurilemmoma and metastatic tumor in the choroid if the tumor is amelanotic.

**Immunohistochemistry** It is usually not needed, however if the tumor is amelanotic then immunohistochemistry is needed. Choroidal melanoma is positive of Melanocyte markers such as Melan-1, SOX-10 and HMB45.

#### 9.5.5.2 Choroidal Osteoma

**Clinical Notes** It is a choristoma and develops from the choroidal mesenchyme. It is usually bilateral [192].

**Histopathology** The tumor is seen in the middle portion of the choroid and surrounded by the choroidal mesenchyme. The tumor is composed of compact bone. There are marrow spaces that con-

tain dilated thin-walled blood vessels and mesenchymal cells. These tumors grow very slowly [193, 194]. Occasional fast growth of the tumor has been reported [195]. The overlying retina shows thinning.

**Histopathology Differential Diagnosis** It has to be differentiated from the osseous metaplasia of the retinal pigment epithelium (RPE) in phthisical eye.

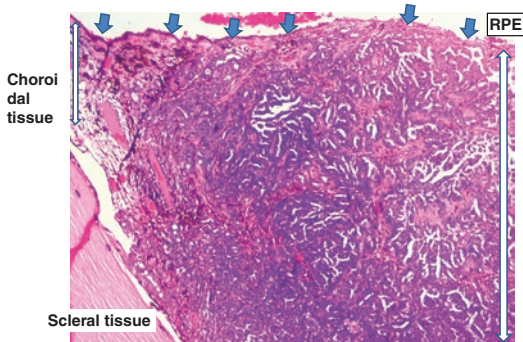
### 9.5.5.3 Choroid Metastasis

**Clinical Notes** Choroidal vascularity makes it a favourable site for the hematogenous spread of tumors from other sites such as the breast, thyroid, lung, kidney, GI tract, cutaneous melanoma, or others [196]. Choroidal metastasis indicates poor prognosis [197, 198].

Breast and lung primary tumors are the common primary tumors that metastasize to the choroid [73].

**Histopathology** Rarely eye is enucleated for diagnosis of metastatic tumors of choroid. In the enucleated tumor there are tumor cells forming a papillary and glandular pattern and may contain mucin secreting cells (Fig. 9.32).

**Histopathology Differential Diagnosis** Amelanotic secondary tumor deposits in the choroid from primary tumor elsewhere may simulate choroidal melanoma. However, clinical information and immunohistochemistry for melanocyte



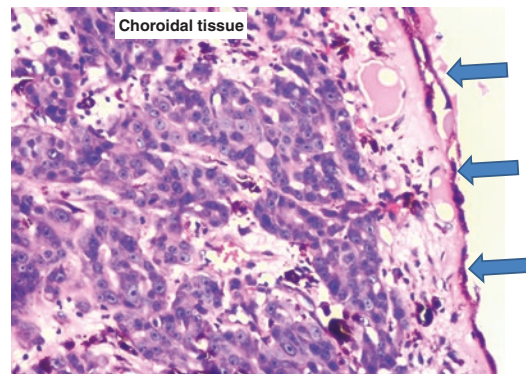
**Fig. 9.32** Metastatic adenocarcinoma deposit in the choroid (marked by double arrowhead) underlying the retinal pigment epithelium (RPE) (shown by single arrow). The tumor cells show a papillary pattern

markers helps to confirm the tumor is melanocyte origin. Choroidal deposits from cutaneous melanoma are rare but diagnosis is made with clinical information and imaging. With the availability of immunotherapy for skin melanoma, choroidal secondary deposits may be seen.

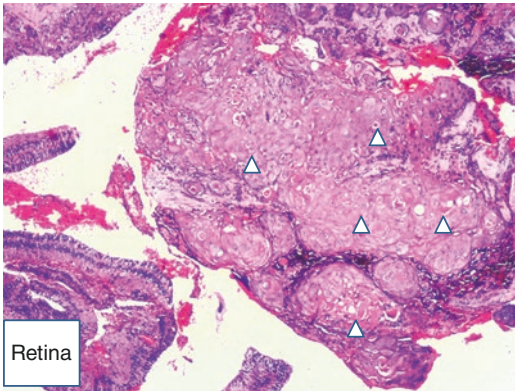
**Immunohistochemistry** Clinical information, imaging and a panel of immunohistochemical markers are needed to identify the type of tumor [199]. Please refer to Table 9.1 for panel of markers. A detailed panel is beyond the scope of this book [200].

### 9.5.5.4 Secondary Choroidal Tumors Resulting from Extension from a Local Tumor

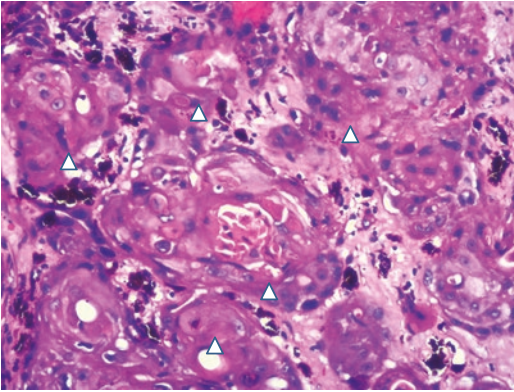
Choroid can be invaded by retinoblastoma tumor and also from intraocular invasion of ocular surface squamous cell carcinoma and rarely from the adenocarcinoma of retinal pigment epithelium. Intraocular extension of lacrimal gland adenocarcinoma may secondarily invade the choroid (Fig. 9.33). Intraocular extension of ocular surface carcinoma may mimic intraocular tumor or inflammation and the eviscerated or enucleated portion of the eye may show the tumor in the choroid. Presence of keratinizing atypical cells or keratin pearl formation by the tumor cells is diagnostic (Figs. 9.34 and 9.35).



**Fig. 9.33** Choroidal invasion of adenocarcinoma of the lacrimal gland. The tumor cells have invaded in the choroid and are arranged in glandular pattern with duct like structures are seen in the tumor. The retinal pigment epithelium is shown by arrow



**Fig. 9.34** Eviscerated portion of the intraocular contents showing local intraocular extension of conjunctival squamous cell carcinoma in to choroid (shown by triangle cartoon) with portions of the retina are seen



**Fig. 9.35** Well differentiated keratinized conjunctival squamous cell carcinoma (shown by triangle cartoon) in the choroid (shown by triangle cartoon) in to choroid. Scattered choroidal melanocytes are seen

## 9.6 Tumors Arising from the Retina

Retina is a special multilayered neural tissue. Retina is prone not only to genetic diseases, retinal infections by virus, parasites and immune mediated disorders and metabolic disorders but also to tumors, both primary and secondary.

### 9.6.1 Benign Retinal Tumors

#### Retinal vascular tumors

- Retinal hemangioblastoma
- Cavernous hemangioma of the retina
- Retinal vasoproliferative tumor

### 9.6.2 Intermediate Grade Tumors

- Retinoma

#### 9.6.2.1 Malignant Retinal Tumors

##### Primary

- Retinoblastoma
- Vitreo retinal lymphoma

##### Secondary

- Leukemic infiltration into retina
- Melanoma cells infiltrating retina
- Metastatic tumor cells in retina

#### 9.6.2.2 Rare Intraocular Tumors- Possibly from Retina

- Primitive Neuroectodermal tumor/Ewing's sarcoma-retina
- Synovial Sarcoma
- Extra renal Rhabdoid tumor

### 9.6.3 Benign Tumors

#### 9.6.3.1 Vascular Tumors of Retina

##### Retinal Hemangioblastoma

**Clinical Notes** Retinal capillary hemangioblastoma may be an isolated lesion within the retina or associated with von Hippel-Lindau (VHL) syndrome when there could be hemangioblastomas of the central nervous system [201]. Patient

could have vision loss because of retinal detachment.

**Histopathology** The vascular tumors are seen in the retinal tissue that could show secondary detachment because of serous exudates. The vascular tumor is composed of numerous small capillaries, spindle cells and surrounded by foamy stromal cells. There is no atypia [202]. The stromal cells are the neoplastic cells and they are positive for CD133 [203].

#### **Histopathological Differential Diagnosis**

Coat's disease, when there is more retinal and vitreous exudates.

#### **Cavernous Hemangioma of the Retina**

**Clinical Notes** Retinal cavernous hemangioma could lead to vision loss [204]. There could be associated Central nervous system hemangioma.

**Histopathology** The retinal tissue shows blood-filled sinusoidal spaces. There could be associated fibro glial proliferation within the inner retinal layers [205, 206]

#### **Histopathological Differential Diagnosis**

Coat's disease, retinal hemangioblastoma.

#### **Retinal Vasoproliferative Tumor (VPTRs)**

**Clinical Notes** Retinal VPTR is rare vascular tumor also known as nodular and massive retinal gliosis. They could be unilateral with no associated systemic disorder or bilateral associated with retinitis pigmentosa, retinopathy of prematurity, and coats disease etc. [207].

**Histopathology** The vascular tumor arises from the retina and there is secondary retinal detachment. The vascular tumor takes a nodular shape that may be small or large one causing significant retinal detachment. There are secondary changes in the retinal pigment epithelium such as osseous metaplasia and exudates in the vitreous. The tumor predominantly shows spindle cell proliferation around the dilated thickened blood ves-

sels of retina. The spindle cells are mostly astrocytes and glial cells. The thickened material around the blood vessels is PAS positive. There is no atypia and no mitosis.

#### **Histopathological Differential Diagnosis**

Retinal hemangioblastoma, astrocytoma and oligodendroglioma.

**Immunohistochemistry** S 100 and GFAP are positive in the spindle cells. CD 34 is positive in the blood vessels. Ki 67 is low.

### **9.6.4 Intermediate Grade Tumors of the Retina**

#### **9.6.4.1 Retinoma**

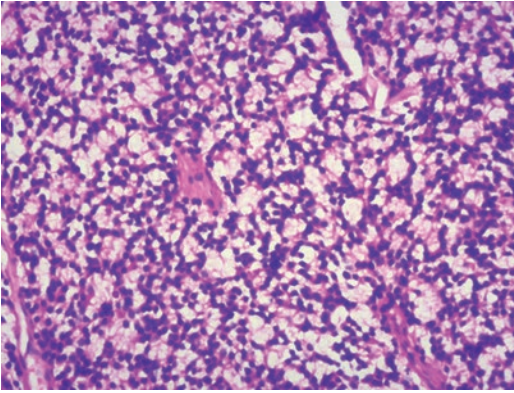
**Clinical Notes** Retinoma is the benign precursor to retinoblastoma. In most cases, it remains benign for the lifetime of the individual, rarely progressing to retinoblastoma [208].

Retinoma has three (3) characteristic clinical features: a grey, translucent mass in the retina; cottage cheese-like calcification; and a hyperplastic retinal epithelium/chorioretinal scar [209]. Vitreous seeds have also been observed associated with retinoma though rarely [210]. Retinoma has been observed clinically in 1.8% [209] to 3.2% [211] of retinoblastoma cases and by pathology in 15.6% [212] to 20.4% [213] of enucleated retinoblastoma specimens.

**Histopathology** Retinoma is histologically distinct from retinoblastoma. Retinoma displays abundant fleurettes, eosinophilic cytoplasm, foci of calcification and non-proliferative cells [212, 214] (Fig. 9.36).

#### **Histopathology Differential Diagnosis**

Retinoma lacks the typical features of retinoblastoma (Homer Wright and Flexner-Wintersteiner rosettes, nuclear moulding, abundant mitoses and necrosis), and is often observed adjacent to retinoblastoma tumor in enucleated specimens [212].



**Fig. 9.36** Tumor lobule showing extensive fleurettes with no atypia, no mitosis and no necrosis. This pattern is seen in retinoma

**Immunohistochemistry** Proliferative index Ki 67 is low and p75NTR protein is expressed in retinoma and not in retinoblastoma.

## 9.6.5 Malignant Tumors of Retina

### 9.6.5.1 Retinoblastoma

**Clinical Notes** Retinoblastoma is a common intraocular tumor in children. More than 90% of the tumors are diagnosed before the age of 3. The clinical presentation varies from leukocoria/squint/proptosis. Mutations in retinoblastoma (RB1) gene, an important tumor suppressor gene, with subsequent loss of functional retinoblastoma protein have been attributed as one of the common events in development of several tumors and as a sole contributor to majority of germinal retinoblastoma tumors.

**Genetics** Based on the pattern of RB1 gene inactivation, retinoblastoma is classified as hereditary (germline) and non-hereditary form. The germ line form tends to present earlier and mostly bilateral in manifestation with multifocal tumors while the non-hereditary form is usually unilateral in manifestation with unifocal tumors [215]. Although, RB1 gene mutation is an initiating event, several secondary genetic lesions following the RB1 gene mutation is required for the tumorigenesis and progression. Copy number

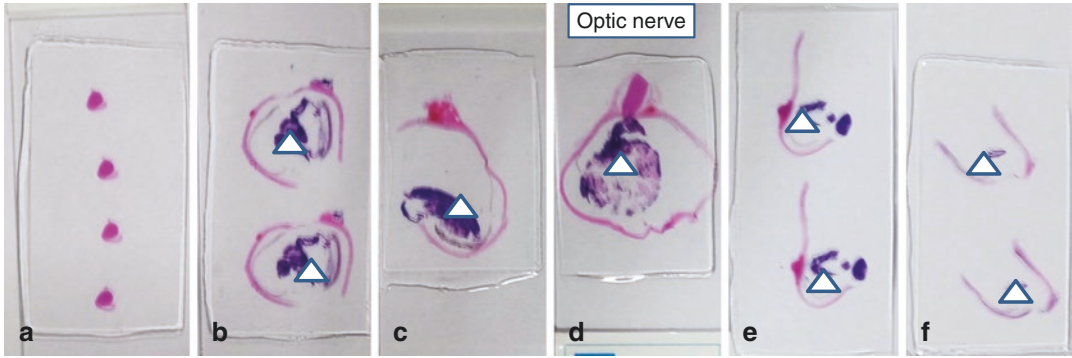
gains have been frequently identified on 1q, 2p, 6p and loss on 16q in most retinoblastoma samples. Recent studies [216] exploring the characterization of the genomic regions have thrown light on the identity of gains in several oncogenes and loss in tumor suppressors such as CDH11, DDX1, DEK, E2F3, KIF14, MDM4, MYCN and RBL2 which might play a role in RB progression. Recent reports suggest that approximately 2.7% of unilateral sporadic retinoblastoma tumors do not carry any mutation in the RB1 gene. These samples screened for other copy number gains revealed NMYC amplification. It is now widely accepted that NMYC amplification, albeit at low frequencies, can be an initiator in subset of RB tumors where a fully functional RB protein is detectable [217].

**Histopathology** The eye grossing of the enucleated retinoblastoma and sectioning is done according to the International Retinoblastoma Staging Working Group (IRSWG) guidelines (Fig. 9.37) [218]. In tumors which are enucleated prior chemotherapy, the enucleated globe on sectioning shows a basophilic tumor arising from the layers of retina with retina detached (Fig. 9.38).

The tumor is arranged in lobules around the central blood vessels. There are areas in the periphery of the tumor lobules showing necrotic/apoptotic zones (Figs. 9.39 and 9.40).

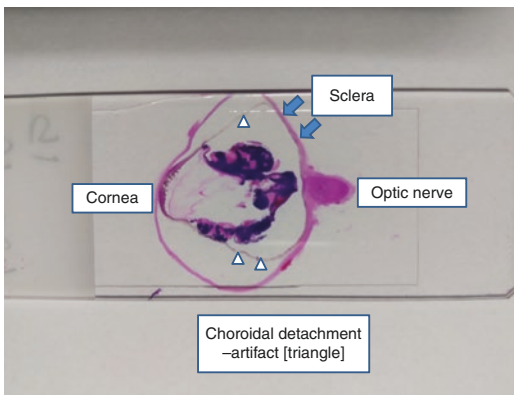
When the tumor is subjected to chemotherapy, the tumor shows extensive calcification with a few viable tumor cells (Fig. 9.41).

**Patterns of Growth in Retinoblastoma** The tumors may show 4 patterns of growth. In endophytic growth pattern the tumor grows towards the vitreous. In exophytic growth pattern the tumor cells grow towards the choroid. In mixed patterns of tumor growth, there is both endophytic and exophytic growth pattern. The fourth pattern is diffuse infiltrating retinoblastoma where the tumor cells infiltrate the retina and there could be intraocular inflammation like presentation [219, 220].

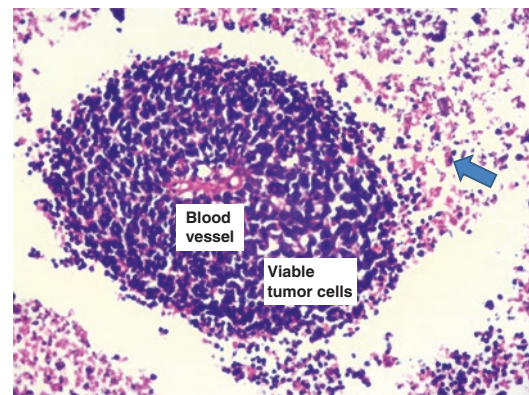


**Fig. 9.37** Photomicrograph of the glass slide of the enucleated eye ball showing the sections taken according to International Retinoblastoma Staging Working Group (IRSWG) guidelines. (a) Surgical end of optic nerve. (b),

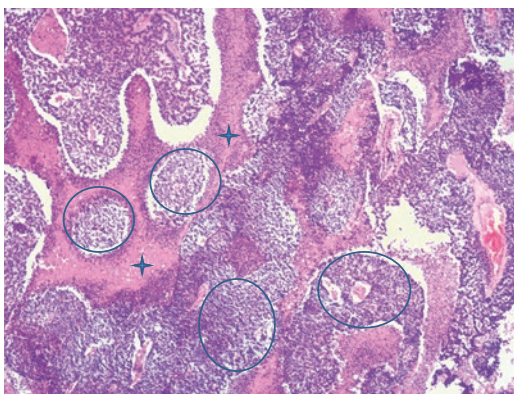
(c, e) Lateral portion of the globe. (d) Pupil optic nerve axis sections of the eye ball and (f) bread loaf sections from the lateral calotte showing sclera and choroid. The tumor is shown by the triangle cartoon



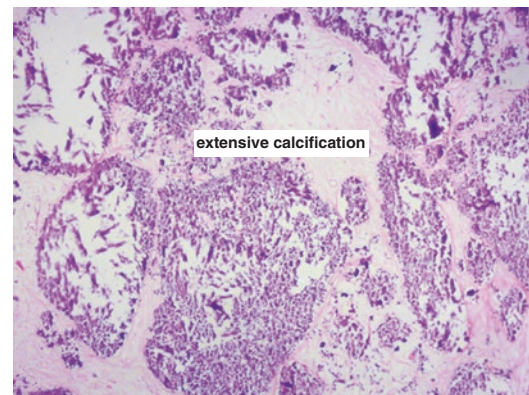
**Fig. 9.38** Photomicrograph of the glass slide of the enucleated eye ball showing a retinoblastoma infiltrating the retina in a diffuse pattern



**Fig. 9.40** Retinoblastoma tumor cells arranged around central blood vessel. Viable cells are seen close to the blood vessel and necrotic cells are seen in the peripheral portion (arrow). This pattern of arrangement of tumor cells around central blood vessel is known as pseudorosettes



**Fig. 9.39** Posterior segment of the enucleated eye ball showing a retinoblastoma with tumor cells arranged in lobules and showing areas of necrosis (arrow) and viable tumor cells (circle)



**Fig. 9.41** Retinoblastoma with extensive calcification of the tumor lobules in the posterior segment



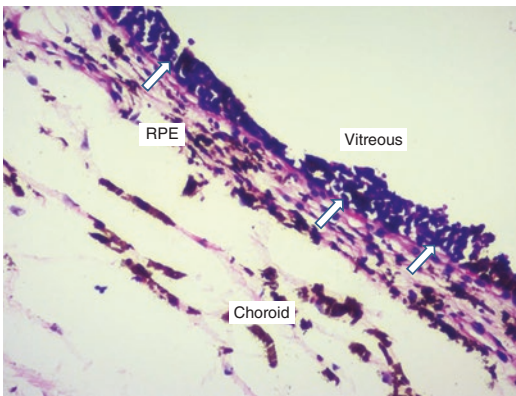
### 9.6.6 Invasiveness of Tumor Cells

**Choroid** The bread loaf sections from the lateral portion of the eye ball are looked at to comment on the choroidal invasion and sclera invasion. The sections from the pupil optic nerve axis and slightly lateral to the pupil optic nerve axis are looked at to comment on the choroidal invasion of the tumor. The tumor cells show various levels of retinal pigment epithelial invasion (Fig. 9.42) and choroidal invasion. When the tumor cells invading the choroid are 3 mm and less and not touching the sclera fibers it is known as focal choroidal invasion (Fig. 9.43).

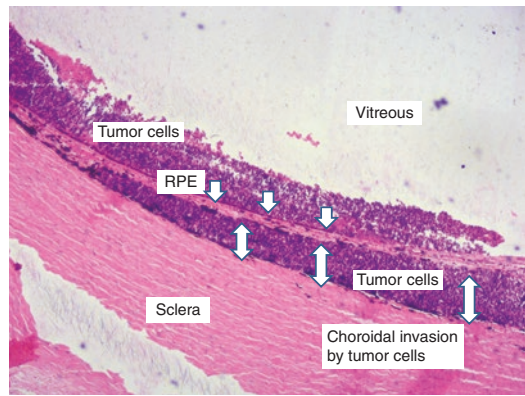
When the tumor cells have invaded the choroid more than 3 mm and touching the sclera fibers it is massive choroidal invasion of choroid (Fig. 9.44).

**Optic Nerve** The optic nerve is looked for tumor cell invasion in the lamellar portion and post lamellar portion of the optic nerve and the transected end of the optic nerve is screened for tumor cells both in the optic nerve and in the meningeal sheath of the optic nerve (Fig. 9.45).

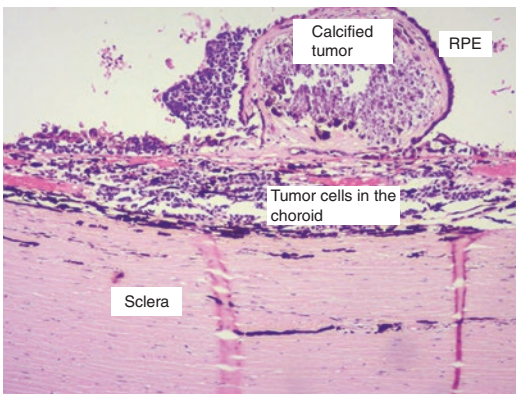
**Anterior Segment** There could be anterior segment invasion in the form of iris surface lining of



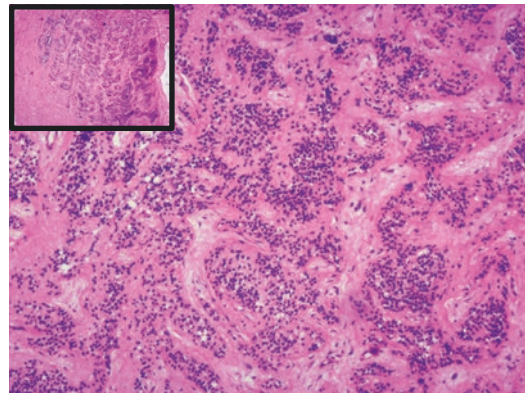
**Fig. 9.42** Retinoblastoma tumor cells invading the retinal pigment epithelium. The arrows show the retinal pigment epithelium (RPE)



**Fig. 9.44** Retinoblastoma with invasion of choroid by tumor cells >3 mm. The double arrowhead shows the choroidal space invaded by the tumor. The line of single arrow shows the retinal pigment epithelium (RPE)



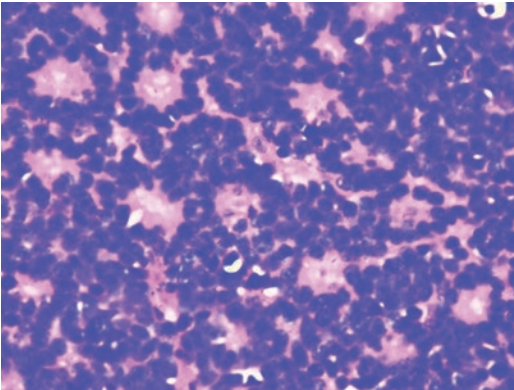
**Fig. 9.43** Retinoblastoma with focal invasion of choroid by tumor cells. There is calcified tumor nodule below the retinal pigment epithelial cells



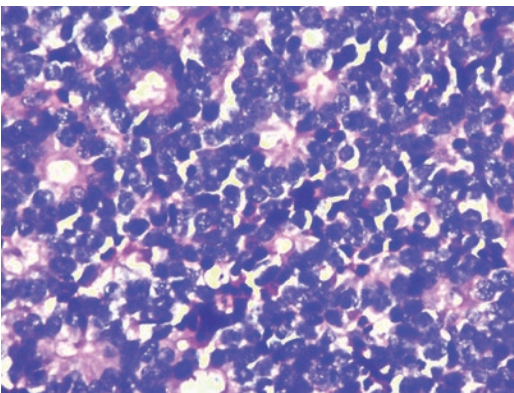
**Fig. 9.45** Retinoblastoma with invasion of lamellar and post lamellar portion of the optic nerve. Inset showing the optic nerve showing the post lamellar portion

tumors, iris stroma showing tumors, anterior chamber angle invaded by tumor cells. Prognosis based on anterior segment invasion is evolving. High risk retinoblastoma suggests that there is choroid invasion >3 mm and invasion of the post laminar portion/surgical end of optic nerve.

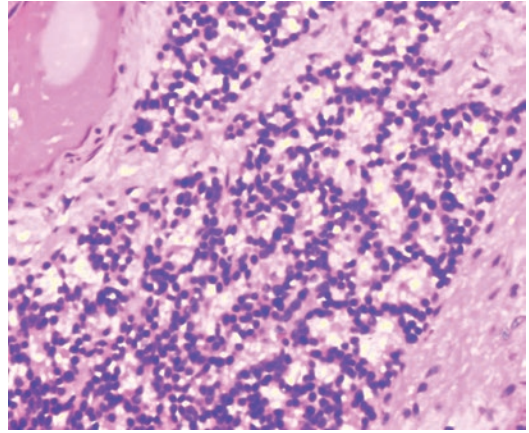
**Differentiation** The tumor cells also show some pattern formation. These are called as rosettes. The tumor cells may be arranged around a central neural material or around a lumen. The former is called as homer-wright rosettes (Fig. 9.46) and the latter one is called as Flexner–wintersteiner rosettes (Fig. 9.47). Tumor cells can also show extensive photoreceptor differentiation and have arrangement like bouquet. This is known as fleurettes (Fig. 9.48).



**Fig. 9.46** Retinoblastoma tumor cells showing Flexner–Wintersteiner rosettes with central lumen



**Fig. 9.47** Retinoblastoma tumor cells showing Homer Wright rosettes with central neuropil

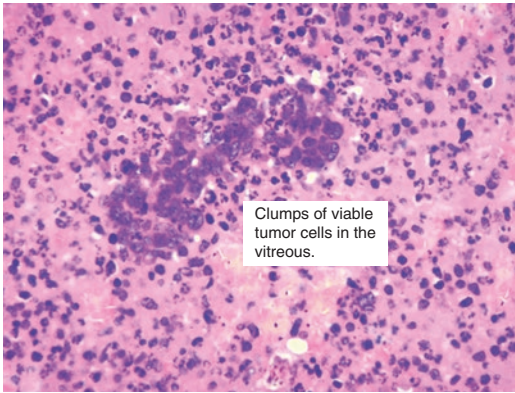


**Fig. 9.48** The lobule of retinoblastoma shows tumor cells with extensive photoreceptor differentiation with and have arrangement like bouquet. This pattern of tumor cell differentiation is known as fleurettes

**Vitreous Seeds** Retinoblastoma tumors because of the proliferative nature show extensive necrosis and tumor cellular debris seeps in to the vitreous fluid. The vitreous seeds contain necrotic and viable cells, Some blood vessels can be seen within the vitreous seeds, there are not real blood vessels are just extracellular matrix. Vitreous seeds may escape to the anterior segment. Tumors with vitreous seeds are respond to poorly to systemic chemotherapy [221]. Histopathologically vitreous seeds are of three types. Vitreous seeds can be single viable tumor cells, clumps of cells which may contain viable and necrotic cells and finally the necrotic cells and inflammatory cells post chemotherapy such as melphalan (Fig. 9.49).

**MYCN Retinoblastoma** RB1 mutation is negative in this category of tumor and these are unilateral RB. These tumors are seen in young children and are invasive. Morphologically these tumors are different. These tumor cells are large with multiple nucleoli and necrosis and there is no differentiation and no calcification. It is important to identify these tumors because they may need aggressive therapy and may not respond to platinum based therapy [217].

**Molecular Profiling** Gene expression profiling showed that retinoblastoma tumors could be clas-

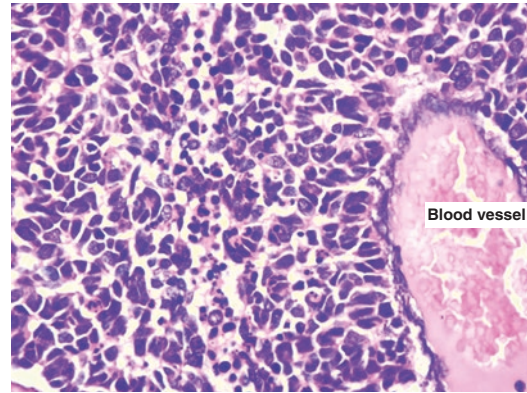


**Fig. 9.49** Clumps of viable tumor cells in the vitreous. The vitreous contains numerous cellular debris some viable and majority appear necrotic

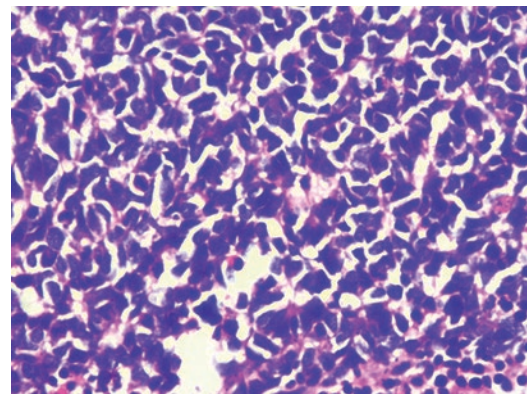
sified in to 2 groups [4]. Group 1 retinoblastoma showed an invasive pattern of growth while Group 2 retinoblastoma were found to have proliferating tumor cells retaining cone photoreceptor cells [4].

**Anaplasia** Anaplasia is considered a bad prognosis and in general indicates the poorly differentiated tumor cells. Grading of anaplasia is important in retinoblastoma as patients with retinoblastoma who do not have invasion of choroid or optic nerve and if the tumor shows severe anaplasia there is increased risk for metastasis. The anaplasia is based on tumor size, shape, nuclear atypia and molding. Three grades of anaplasia have been identified such as mild, moderate, or severe anaplasia [222, 223] (Figs. 9.50 and 9.51).

Retinoblastoma tumors with high risk histopathology features metastasize rapidly to the brain if there is extensive optic nerve invasion and tumor cells spread through the cerebrospinal fluid. If the tumors show extensive choroidal invasion, they metastasize to the bone marrow and the regional nodes. Metastases after 3 years are rare and secondary tumors such as osteogenic sarcoma, peripheral neuroectodermal tumor/Ewing's sarcoma should be ruled out.



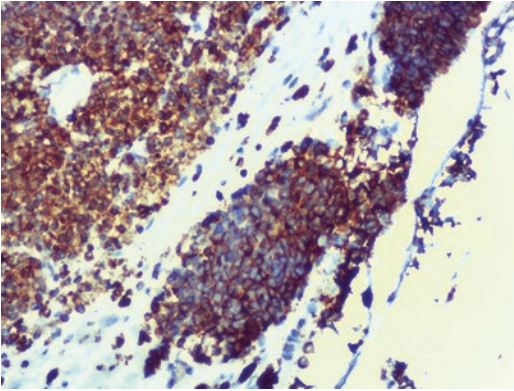
**Fig. 9.50** Retinoblastoma tumor cells elongated and molding-moderate atypia. There is no rosettes formation by the tumor cells



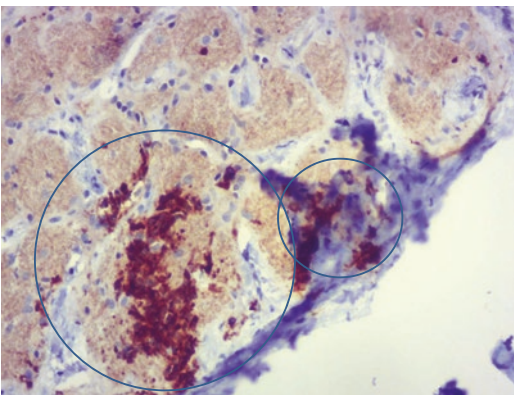
**Fig. 9.51** Retinoblastoma tumor cells severe anaplasia with tumor cells elongated and molding-severe atypia. There is no rosettes formation by the tumor cells

**Immunohistochemistry** Synaptophysin is helpful in staining retinoblastoma tumor cells, it can help to document the choroidal invasion and help to identify the suspicious foci of tumor cells in the post laminar portion of the optic nerve (Figs. 9.52 and 9.53).

**Update** Recently, tumor-derived cell-free DNA from anterior chamber aspirate in retinoblastoma patients has shown 6p gain in the aqueous humor is a potential prognostic biomarker for poor clinical response to therapy and this has a lot of potential to prognosticate Retinoblastoma tumors [224].



**Fig. 9.52** Retinoblastoma with focal invasion of choroid by tumor cells showing synaptophysin positivity in the tumor cells showing a membrane positivity



**Fig. 9.53** Surgical end of optic nerve showing foci of retinoblastoma tumor cells showing synaptophysin positivity. The tumor cells are enclosed in the circle

### 9.6.7 Vitreo Retinal Lymphoma

It is a high grade lymphoproliferative disorder where atypical lymphocytes infiltrate the retina and vitreous. The topic is discussed detail under lymphomas.

### 9.6.8 Secondary Tumors of Retina

Local intraocular tumors such as medulloepithelioma can secondarily invade the retina [84, 147, 225] (Fig. 9.54). Retina is also involved in tumors that originate from other organs. They are uncommon and simulate inflammation of the retina.

### 9.6.9 Rare Primary Intraocular Tumors

#### 9.6.9.1 Primitive Neuroectodermal Tumor/Ewing's Sarcoma of the Retina

**Clinical Notes** Primitive neuroectodermal tumors/Ewing's sarcoma is a malignant round cell tumor that usually arises in the bone and soft tissues. First, these tumors could arise in the extremities or pelvis of children with unilateral and bilateral retinoblastoma post chemotherapy [226–228]. Here, important differential diagnosis in osteogenic sarcoma, bone metastasis from retinoblastoma. In the second category, Ewing's sarcoma can present as an intraocular mass from the peripheral retina [229]. In the third category Ewing's sarcoma metastatic to choroid has been reported [230, 231].

**Histopathology** Tumor is cellular and is composed of round cells, with areas of necrosis and rosettes. Both Homer-Wright rosette and Flexner-Wintersteiner rosettes can be seen, however the former is more predominant. Cells could show nuclear atypia and there is no calcification.

**Histopathology Differential Diagnosis** This tumor closely mimics retinoblastoma as it has round cells, necrosis and rosettes and anaplastic retinoblastoma.

**Immunohistochemistry and Molecular Testing** Membranous staining of CD99 and molecular testing for EWS/FLI-1 fusion transcript in the tumor confirms the diagnosis.

#### 9.6.9.2 Primary Intraocular Synovial Sarcoma

**Clinical Notes** Synovial sarcoma is a malignant tumor of soft tissues and rare in the intraocular portion of the eye. There are a few cases where synovial sarcoma has been reported in the conjunctiva [232]; and orbit [233, 234] and one case has been reported with origin from intraocular location post retinal surgery [235].

**Histopathology** The tumor is cellular and shows spindle cells arranged in a fascicular pattern. The spindle cells show nuclear atypia and mitotic activity.

**Immunohistochemistry and Molecular Testing** IHC and molecular studies are a must for diagnosis. Tumor cells are positive for TLE1, EMA and the t(X; 18) translocation and the *SYT-SSX* fusion gene are specific markers for synovial sarcoma.

### 9.6.9.3 Malignant Extra Renal Rhabdoid Tumor

**Clinical Notes** Malignant extrarenal rhabdoid tumor is a rare and highly aggressive tumor of childhood. Intraocular involvement by malignant extrarenal rhabdoid tumor is rare. It had been reported in the Lid [236], orbit [237–240]. There have been 2 cases of primary intraocular tumor [58, 60] and one with intraocular mass simulating retinoblastoma as a result of metastasis [59].

**Histopathology** The tumor is cellular and composed of large tumor cells with nucleus pushed to one side with eosinophilic cytoplasm and having prominent nucleoli. The tumor shows mitosis and areas of necrosis.

**Histopathology Differential Diagnosis** Retinoblastoma, Peripheral neuroectodermal tumor/ Ewing's sarcoma are to be considered.

**Immunohistochemistry** Tumor cells are focal positive for CD99, neuron specific enolase and neurofilament. There negative staining for desmin and myoD1 and there is loss of nuclear staining for SMARCB1/INI1 protein in the tumor cells.

### 9.6.10 Retinal Pigment Epithelium

The retinal pigment epithelium (RPE) is a special layer between the retina and choroid. The RPE rests on a membrane called Bruch's membrane [241].

RPE cells show reactive proliferation in phthisical eye and in chronic inflammations. However, the RPE is also frequently involved in tumors.

Primary tumors arising from the RPE

### 9.6.11 Tumors of the Retinal Pigment Epithelium

Benign tumor

Classification of RPE tumors based on clinical behaviour

Primary tumors of RPE

- Reactive hyperplasia of the RPE
- Simple and combined hamartoma of the RPE
- Congenital hypertrophy of RPE
- Adenoma of RPE

Primary malignant tumor

- Adenocarcinoma arising from the Retinal Pigment Epithelium

#### 9.6.11.1 Benign Tumor

##### Reactive Hyperplasia of the Retinal Pigment Epithelium

**Clinical Notes** It presents as [pigmented patches. Nodular RPE hyperplasia can simulate a choroidal melanoma. There is some amount of vision loss because of secondary retinal detachment [242, 243].

**Histopathology** Reactive hyperplasia of the retinal pigment epithelium is a non-neoplastic proliferation. RPE proliferation could be small foci or present in considerable amount. There could be associated osseous metaplasia of the RPE too.

**Histopathology Differential Diagnosis** Nodular Hyperplasia of the RPE cells can have a pseudo glandular pattern and mimic adenocarcinoma. There is no atypia and mitotic activity. The epithelial cells are surrounded by PAS positive basement membrane.

##### Simple and Combined Hamartoma of the Retinal Pigment Epithelium

**Clinical Notes** Hamartomas of the retinal pigment epithelium are rare.

**Histopathology** The cells are heavily pigmented due to the presence of large melanosomes.

### **Congenital Hypertrophy of the Retinal Pigment Epithelium**

**Clinical Note** Congenital hypertrophy of the retinal pigment epithelium as the name mentions is a focal hypertrophic benign retinal pigment epithelial cell. The cells are heavily pigmented due to the presence of large melanosomes.

### **Adenoma of the Retinal Pigment Epithelium**

**Clinical Note** It is seen as a pigmented nodule in the choroid and may mimic melanoma.

**Histopathology** Adenoma of the RPE arises from the RPE. These tumors can invade the adjacent structures such as the choroid and retina. The tumor shows cords and tubular arrangements of cells which are closely arranged and the tumor cells are surrounded by PAS positive basement membrane like material and the tumor cells can also show vacuolar pattern or a combined pattern.

**Histopathology Differential Diagnosis** If there is atypia or mitotic activity the tumor should be considered as RPE adenocarcinoma.

**Immunohistochemistry** The neoplastic RPE cells are positive for Melan A, MITF, SOX-10 cytokeratin and vimentin.

## **9.6.11.2 Primary Malignant Tumors**

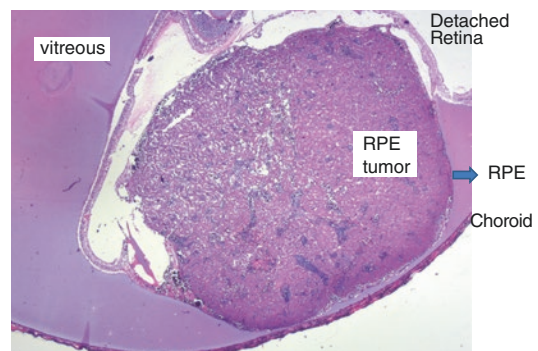
### **Adenocarcinoma Arising from the Retinal Pigment Epithelium**

**Clinical Notes** Adenocarcinoma is a rare tumor from the RPE. There are some predisposing factors such as a chorioretinal scar, congenital hypertrophy of the RPE and a phthisical eye [244, 245]. The tumor if pigmented could mimic a melanoma and if amelanotic a metastatic tumor [246].

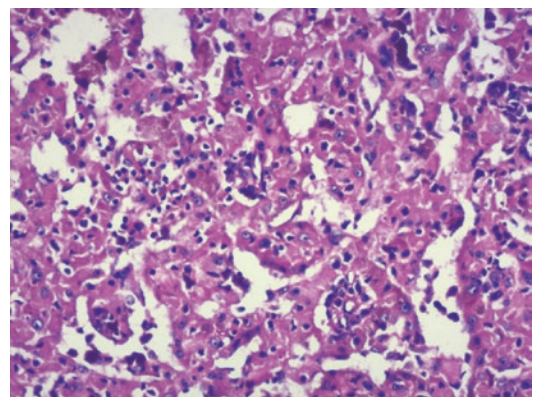
**Histopathology** The tumor arises from the retinal pigment epithelium. The tumor because of its location can invade the retina causing secondary

retinal detachment. It can invade the choroid and the adjacent structures such as optic nerve. The tumor cells are heavily pigmented. Bleaching is needed to study the tumor. The tumor shows varied patterns like tubular, papillary, multiple vacuolations in the cells or combined patterns (Figs. 9.54 and 9.55). The tumor cells are surrounded by PAS positive material. The tumor cells show nuclear atypia and mitotic activity [247]. Extra scleral extension could be seen rarely [248].

**Histopathology Differential Diagnosis** The tubular and papillary patterns can be seen in reactive hyperplasia and adenoma. However there is usually no atypia and mitotic activity. Epithelioid cell melanoma can mimic RPE adenocarcinoma.



**Fig. 9.54** RPE adenocarcinoma arising from the retinal pigment epithelium



**Fig. 9.55** RPE adenocarcinoma showing tubular and glandular arrangement of tumor cells

**Immunohistochemistry** The tumor cells express both melanocyte markers and epithelial markers. Ki-67 can be low to high [249].

### 9.6.12 Optic Disc and Optic Nerve Tumors

We mainly discuss those tumors that can have an intraocular tumor like presentation

#### Primary tumors

- Melanocytoma of the optic disc and Optic nerve
- Medulloepithelioma of the optic disc and optic nerve
- Astrocytoma of the optic nerve
- Meningioma of the optic disc and optic nerve
- Atypical teratoid/rhabdoid tumors

#### Secondary tumors of the optic nerve

- Metastatic tumors

#### 9.6.12.1 Primary Tumors

##### Optic Disc Melanocytoma

**Clinical Notes** It is a benign melanocytic tumor arising from the optic disc and optic nerve. The tumor is usually asymptomatic. However visual testing may show abnormal visual fields.

**Histopathology** It is a densely pigmented tumor and bleaching shows the tumor is composed of oval cells. The tumor cells have a low nucleocytoplasmic ratio and have tiny nucleoli. There is extensive necrosis with inflammation. Melanophages are seen scattered within the tumor.

**Histopathology Differential Diagnosis** Melanoma to be ruled out with serial sections.

##### Optic Nerve Medulloepithelioma

**Clinical Notes** Optic nerve medulloepitheliomas have been reported [250]. They can mimic intraocular tumors.

**Histopathology** The histopathology and grading is similar to ciliary body medulloepithelioma discussed earlier.

##### Atypical Teratoid/Rhabdoid Tumors

**Clinical Notes** These are very rare tumors and seen in young children. They can present as proptosis. There are 3 cases reported earlier with involvement of the optic nerve [251].

**Histopathology** The tumor cells are large epithelioid with cytoplasm showing eosinophilic globular inclusions. The nucleus is slightly eccentric and there are prominent nucleoli in the tumor cells. Mitotic activity and areas of necrosis are seen. Serial sections are recommended and clinical correlation and immunohistochemistry and genetic testing is suggested.

**Histopathological Differential Diagnosis** Anaplastic Retinoblastoma; Teratoid medulloepithelioma with Rhabdomyoblastic component.

**Immunohistochemistry** The tumor is usually positive for EMA, vimentin, S-100 protein and negative for desmin, myogenin, INI1, chromogranin, synaptophysin, CD34 and CD99 [250].

## 9.7 Conclusion

Thus, in this chapter on intraocular tumors I have tried to classify the tumors based on anatomical site of origin and also list the primary and secondary tumors that have occurred based on the published literature. I have also tried to classify these tumors based on their clinical behaviour as benign, intermediate and malignant. The classification given above is slightly from the recently published WHO classification of intraocular tumors [252]. The chapters would enable the ophthalmologist and Pathologist with little knowledge of Ophthalmic pathology to diagnose the complex intraocular tumors.

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# Ocular Von Hippel-Lindau Disease

# 10

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Von Hippel-Lindau (VHL) disease is an autosomal dominant, multisystem disorder with a predilection for the central nervous system (CNS) and the retina. The incidence of VHL disease is approximately 1 in 40,000 to 1 in 54,000 live births [1]. Retinal capillary hemangioblastoma is the most common and often the earliest manifestation of VHL disease [2]. Therefore, ophthalmologists play a crucial role in the early diagnosis and management of these patients.

## 10.1 History

Eugen von Hippel, a German ophthalmologist, coined the term *angiomatosis retinae* in 1904 which later came to be known as retinal hemangioblastoma (retinal hemangioma) [3]. Lindau, a Swedish pathologist, established the relationship between cerebellar and retinal hemangioblastomas. In 1964, Melmon and Rosen reported cases of “von Hippel’s disease” and “Lindau’s disease” with overlapping ophthalmic, CNS, and visceral manifestations, establishing the clinical spectrum of “von Hippel–Lindau” disease [4].

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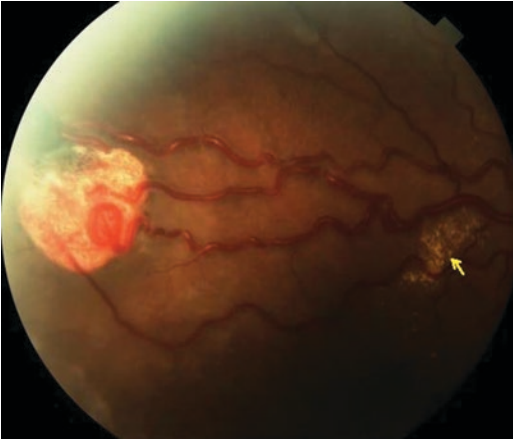
## 10.2 Ophthalmic Manifestations

Retinal capillary hemangioma, a slow growing benign hamartoma, is the most common and earliest presentation in VHL. The mean age at diagnosis of retinal capillary hemangioma in VHL disease is 25 years and most patients present between their 10th and 40th years [5, 6]. The frequency of occurrence of retinal capillary hemangioma in VHL disease has been reported to vary from 49% to 85% [7–9]. It usually manifests as a solitary tumor but one-third of patients have multiple hemangiomas [10]. Half of the patients have bilateral involvement [11].

Retinal capillary hemangiomas are usually orange-red circumscribed, round vascular tumors supplied by a pair of dilated and tortuous feeder vessels (Fig. 10.1).

They can be asymptomatic. In cases with exudation, they can present with diminution of vision or metamorphopsia. They can be classified (Table 10.1) based on the location, morphology, effects on the retina and relationship to VHL disease [12, 13].

Most of the retinal capillary hemangiomas are in the temporal periphery and accompanied by at least one pair of dilated retinal vessels [2, 14, 15]. Juxtapapillary retinal capillary hemangiomas are less common (11–15% of cases) and their appearance can vary depending on whether the lesion is endophytic, exophytic or sessile. The endophytic variant appears as an orange red protrusion from the anterior surface of the optic disk and adjacent



**Fig. 10.1** Fundus photograph showing a round orange retinal lesion with prominent dilated retinal vessels typical of retinal capillary hemangioma. Note the presence of exudation (arrow)

**Table 10.1** Classification system for retinal hemangioma [3]

Basis	Classification
Retinal distribution	1. Peripheral 2. Juxtapapillary 3. Bilateral
Effects of retina exudative	a. Vascular dilation/exudation b. Retinal detachment c. Vitreoretinal traction
Systemic involvement	L-. Without VHL L+. With VHL

retina [2]. The exophytic tumor is adjacent to or surrounding the optic disk and can simulate chronic papilledema [2]. The sessile variant of juxtapapillary retinal capillary hemangioma is subtle in appearance and can be difficult to diagnose [2].

Secondary effects are predominantly exudative (25%) or tractional (9%) [2]. Intraretinal and subretinal exudation are limited to the vicinity of the hemangioma, but can also produce a macular star exudate leading to visual deterioration. Secondary glial proliferation on the retina and in the vitreous can lead to tractional retinal detachment [2]. The anterior segment is rarely involved secondarily with complications such as neovascular glaucoma and cataract.

### 10.3 Natural History of Disease

The retinal capillary hemangioma probability increases progressively with age. Classification systems aiding in staging the clinical progression have been developed by Vail [16].

The natural course of retinal capillary hemangiomas can be either of progression, stability or spontaneous regression [5]. Small lesions may remain stable for years, may show gliosis without leakage, or enlarge. In late stage, they may cause massive exudation and retinal detachment, uveitis, neovascular glaucoma and phthisis bulbi.

### 10.4 Differential Diagnosis (Table 10.2)

The fundus findings of retinal capillary hemangioma are typically diagnostic and can be observed during ophthalmoscopic examination. However, the following conditions should be ruled out:

**Coat's Disease:** Intraretinal exudation and collection of subretinal fluid is present, both, in Coat's disease and retinal capillary hemangioma. The vascular abnormality, however, is diffuse in Coats's and localized in retinal capillary hemangioma. Prominent feeder vessels, circumscribed round retinal angioma, family history and systemic features of VHL disease are also absent [2].

**Wyburn–Mason Syndrome (Racemose Angioma):** The dilated vessels of racemose angioma do not have an intervening orange red circumscribed retinal capillary hemangioma. They do not leak blood, serum or exudate.

**Retinal Cavernous Hemangioma:** This is a cluster of small, saccular vascular dilations around a central vein, but there are no prominent feeder vessels or exudation.

**Retinal Macroaneurysm:** Presents with subretinal, intraretinal or vitreous hemorrhage. It is centered on a retinal arteriole and feeder vessels are absent. There is often a history of systemic hypertension.

**Vasoproliferative Tumor:** Presents with retinal capillary hemangioma, orange color and presence of exudation. The differentiating feature is the absence of prominent feeder vessels and extreme peripheral retinal location of VPRT.

**Astrocytic Hamartoma:** Prominent vascularity makes the differentiation from retinal capillary hemangioma difficult. However, astrocytic hamartoma

**Table 10.2** Diagnostic features of retinal vascular tumors

Type	Location	Appearance	Feeder vessels	Exudation
Retinal capillary hemangioma	Juxtapapillary/peripheral	Round reddish mass	Prominent	Present
Coat's disease	Peripheral	Irregular dilatations with telangiectasia	Absent	Present
Cavernous hemangioma	Non-specific	Saccular grape like clusters	Absent	Absent
Racemose angioma	Diffuse	Dilated tortuous retinal vessels	Absent	Absent
Vasoproliferative tumor	Periphery	Orange globular mass	Absent	Present
Retinal macroaneurysm	Posterior	Round red lesion	Absent	Present
Astrocytic hamartoma	Posterior pole	Translucent or white mass	Absent	Usually absent

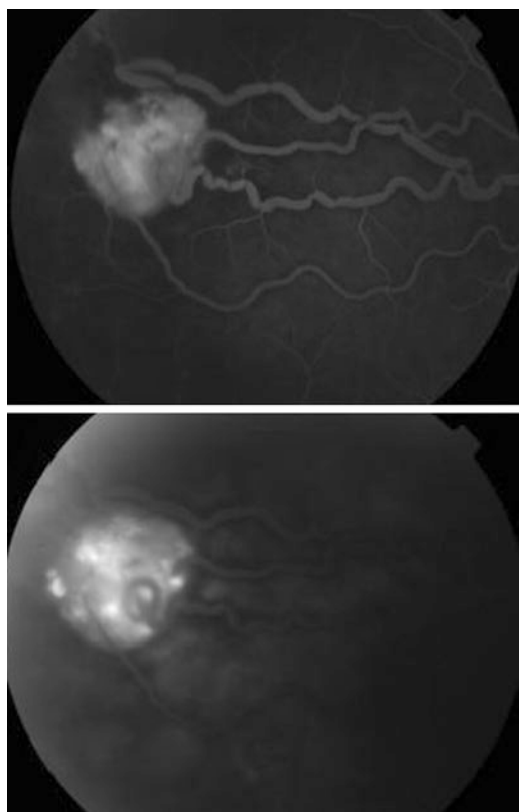
is usually translucent, does not have feeder vessels and is calcified.

Others: RPA adenoma or uveal melanoma with prominent feeder vessels can sometimes resemble capillary hemangioma. Juxtapapillary retinal capillary hemangioma can also simulate unilateral disc edema, juxtapapillary choroiditis, choroidal neovascularization, choroidal hemangioma and amelanotic choroidal melanoma.

## 10.5 Diagnostic Methods

Fluorescein angiography is the most informative diagnostic tool to detect retinal capillary hemangioma. Due the vascular nature of the tumor and endophytic growth pattern it exhibits a dramatic and relatively unique pattern of hyper-fluorescence [17]. Obtaining early-phase images is critically important. Fluorescein is evident in the early arterial phase in the dilated feeder arteriole, the tumor has fine capillary homogeneous filling, and the draining vein becomes prominent in the venous phase. The tumor demonstrates progressively intense hyper-fluorescence with late leakage of dye into the overlying vitreous humor (Fig. 10.2). Fluorescein angiography is helpful in establishing the diagnosis of juxtapapillary hemangioma and can be an adjunct to treatment planning by differentiating the feeder arteriole from the draining vein. Fluorescein angiography is particularly helpful for assessment of the tumor's response the treatment.

Other diagnostic modalities may be employed but have a minimal role. ICG can help differenti-



**Fig. 10.2** Fluorescein angiogram demonstrating (top) progressive and complete filling of the hemangioma, retinal artery and the vein and (bottom) late leakage of the retinal capillary hemangioma

ate choroidal lesions, such as choroidal hemangioma or choroidal neovascular membrane from retinal capillary hemangioma [2, 18].

Ultrasonography can help measure the tumor thickness. A-scan shows an initial spike followed by high internal reflectivity and B-scan shows a well-demarcated retinal lesion without choroidal invasion [19]. If present, B-scan can also document secondary exudative retinal detachment.

MRI can detect associated CNS hemangiomas. Color Doppler imaging and laser scanning tomography can be used to document tumor blood flow and angiographic changes in feeder vessels after treatment [2, 20].

## 10.6 Treatment

Treatment depends upon the location, size and related complications, presence of bilateral multiple tumors and the likelihood of new tumor formation. Despite treatment, 25% of patients show permanent visual loss (vision of 20/40 in one or both eyes) and 20% have visual acuity less than 20/100 in at least one eye [2].

Treatment modalities include observation, cryotherapy, plaque radiotherapy and vitreoretinal surgery. Understanding of VHL protein function and tumorigenesis have led to new treatment that target the biology of the disease, as opposed to ablative or surgical approaches. Molecules upregulated in the VHL mutation, such as VEGF and PDGF, have been targeted in investigational anti-angiogenic therapies, both in systemic and ocular manifestation of the disease [10].

### 10.6.1 Observation

It can be considered if the retinal capillary hemangioma is very small (up to 500 microns), not associated with exudation, does not threaten the vision or has undergone spontaneous regression with gliosis, sheathing and lack of feeder vessels [2]. Juxtapapillary hemangiomas are more commonly treated with primary observation in that they can remain stable for years. Treatment should only be taken when tumor progresses or causes a threat to visual acuity, due to the adverse effect of treatment on the optic nerve and major vessels [13, 21].

### 10.6.2 Laser Photocoagulation

To treat small to medium-sized retinal capillary hemangiomas in eyes with clear media. At The New York Eye Cancer Center, we typically first close the arterial feeder vessel(s). If such indirect tumor devascularization is not achieved, we encircle the posterior 180° of the tumor to avascular scar. If complete vascular regression has still not been achieved, then we apply laser directly to the hemangioma (Fig. 10.3). A response rate of 91–100% has been shown with laser treatment [22, 23]. In general, response to laser treatment is evaluated in 4–6-week intervals (Fig. 10.4).

### 10.6.3 Cryotherapy

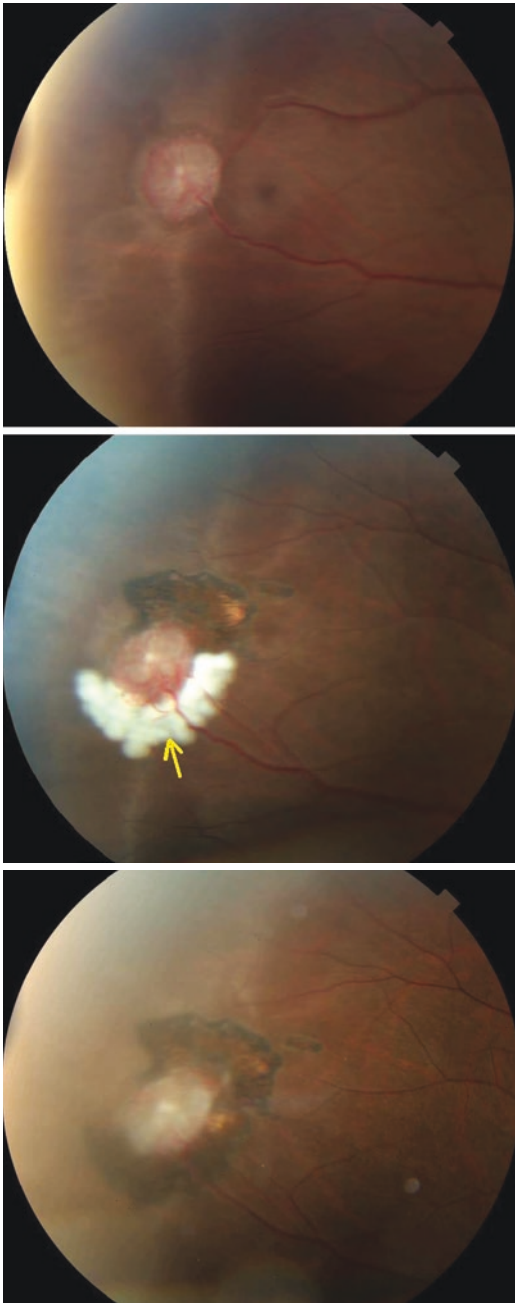
Anterior location of the hemangioma, subretinal fluid which can reduce the laser energy uptake and diameter greater than 3 mm are indications of cryotherapy. Double freeze-thaw technique is employed under indirect ophthalmoscopy [5]. The cryotherapy is applied until the ice ball completely encloses the hemangioma before thawing is initiated [2]. A 15-year review found that all hemangiomas under 3.75 mm in diameter successfully responded to cryotherapy. However, there is a risk of cryotherapy-related exudative detachment.

### 10.6.4 Plaque Brachytherapy

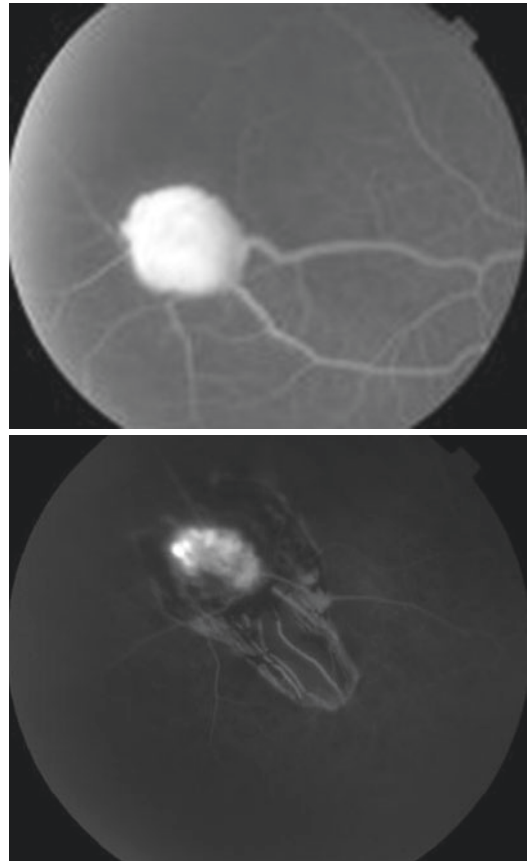
A recent study proved that plaque treatment was efficacious for retinal capillary hemangiomas that were 5 mm or less in diameter [2]. Radiation complications can be related to tumor location and radiation dose to the fovea and optic nerve [24, 25].

### 10.6.5 Anti-Angiogenic Medications

Drugs like bevacizumab and ranibizumab, have been used, but do not provide long-term cessation of tumor growth or reduction in subretinal fluid



**Fig. 10.3** Fundus photograph showing (top) retinal capillary hemangioma measuring  $2.0 \times 2.0$  mm in size before and (middle) immediately after placement of photocoagulation on the feeding artery delimiting the lesion. Argon green laser was used with spot size of 250–500 microns, duration of 0.20 s, and power of 250–500 mW. Total of 200 spots (arrow) were applied. (Bottom) Delineated stable lesion after 6 weeks of treatment



**Fig. 10.4** Fluorescein angiogram (top) prior to treatment with laser photocoagulation and (bottom) 6 weeks after treatment. Note the presence of sclerosed vessels

[26–28]. A prospective study of intravitreal pegaptanib, an aptamer that inhibits VEGF isoform 165, found that pegaptanib did not influence lesion regression, but can minimally decrease exudation in some cases [29]. A small case series of oral propranolol was studied in 7 patients, the hemangiomas showed stability of the lesions during treatment [28].

### 10.6.6 Others

Transpupillary thermotherapy (TTT), photodynamic therapy, proton beam radiation have also been described with inconsistent results. Pars plana vitrectomy may become necessary for

advanced and complicated cases [5]. Enucleation is performed for blind-painful eyes unresponsive to conservative therapy.

## 10.7 Systemic Manifestations

The systemic manifestations of VHL include CNS hemangiomas of the brain and spinal cord, renal cell carcinomas, renal cysts, pheochromocytomas, pancreatic cysts, islet cell tumors, epididymal cystadenomas, endolymphatic sac tumors of the inner ear and adnexal papillary cystadenomas of the broad ligament [30]. About 50% of VHL patients manifest only one feature of VHL disease, and very few develop all the manifestations [2, 8]. After retinal capillary hemangioma, the most frequently affected organ systems are the CNS, kidneys and adrenal glands, many of them occurring years after the initial presentation with retinal capillary hemangiomas [30].

The diagnosis of VHL disease is based on retinal capillary hemangioma or CNS hemangioma, visceral lesions and a family history of similar lesions (Table 10.3). After the diagnosis is made, both ophthalmic and systemic screening protocols should be followed. At The New York Eye Cancer Center, we perform brain and abdominal imaging at diagnosis and every 2 years thereafter.

**Table 10.3** Diagnostic criteria for von Hippel-Lindau disease [2]

Family history	Features
Positive	Any one of the following: 1. Retinal capillary hemangioma 2. CNS hemangioma 3. Visceral lesions <sup>a</sup>
Negative	Any one of the following: 1. Two or more retinal capillary hemangiomas 2. Two or more CNS hemangiomas 3. Single retinal or CNS hemangioma with a visceral lesion <sup>a</sup>

<sup>a</sup>Visceral lesions include renal cysts, renal carcinoma, pheochromocytoma, pancreatic cysts, islet cell tumors, epididymal cystadenoma, endolymphatic sac tumor, and adnexal papillary cystadenoma

Initial and yearly medical physical, neurologic examinations are obtained.

According to National Cancer institute, VHL disease can be classified, on clinical grounds, into two main types: Type I (pheochromocytoma absent) and Type II (pheochromocytoma present) [2].

## 10.8 Genetics

VHL disease is an autosomal dominant disease, due to heterozygous mutation of VHL tumor suppressor gene located on chromosome 3p25-26. The protein product of the VHL gene forms a complex with other proteins that targets hypoxia inducible factors (HIFs) for degradation. Mutations in the VHL gene result in stabilization of the HIFs, which bind to specific enhancer elements in the VEGF gene and stimulate angiogenesis.

Patients with a suspicion or diagnosis of VHL should undergo both genetic testing and counseling. With a near complete penetrance of the disease, genetic testing has been proven to be helpful in early diagnosis and clinical screening for disease manifestations.

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# Intraocular Lymphomas

# 11

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## 11.1 Background

Lymphoma is a malignant neoplasm derived from monoclonal proliferations of B- or T-lymphocytes and rarely, natural killer (NK) cells. Ophthalmic involvement is relatively rare and may involve the ocular adnexa, orbit or intraocular structures. The intraocular lymphomas represent about 0.01% of ophthalmic diseases [1, 2]. They may arise as primary tumours within the eye, either within the vitreoretinal or uveal tract, or occur as secondary manifestations of a systemic Non-Hodgkin lymphoma (NHL). Vitreoretinal lymphomas (VRL) often occur in association with central nervous system (CNS) disease and are aggressive

tumours. In contrast, primary uveal lymphomas tend to be low-grade B-NHL, and have a good prognosis. Secondary lymphomas represent an intraocular manifestation of a systemic NHL, and commonly occur in the choroid. Given their rarity and ability to masquerade clinically as inflammatory processes, the clinical diagnosis of intraocular lymphoma (both VRL and uveal lymphoma) is generally challenging, and typically requires a vitrectomy/chorioretinal biopsy for histopathological confirmation. Treatment of VRL is controversial and varies between centres, particularly in the absence of a concurrent CNS disease [2]. Although the earlier recognition of VRL has improved in recent times, the prognosis remains poor. Here, we summarize the available literature on both VRL and uveal lymphomas.

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## 11.2 Primary Vitreoretinal Lymphoma (PVRL)

### 11.2.1 Definition

Vitreoretinal lymphoma is defined as a malignant lymphocytic neoplasm affecting the retina with or without involvement of the vitreous or the optic nerve. It is considered a subset of PCNSL, and can occur concurrently, subsequent to- or antedate the cerebral disease. In the case of the latter, the primary site is considered to be the eye. VRL is a high-grade B-cell NHL, often running a rapid clinical course.



### 11.2.2 Historical Perspective, Nomenclature and, Classification of the Intraocular Lymphomas

Triebenstein, in 1920, first reported an intraocular lymphoma, which on further examination was most likely to have been a primary choroidal lymphoma [3]. Three decades later, first Cooper and Riker, and then Givner described Triebenstein's disease as 'malignant lymphoma of the uveal tract' [4, 5]. In 1975, Klingele and Hogan called it 'ocular reticulum sarcoma' [6]. The term 'reticulum cell sarcoma' was first used by Vogel in 1968 to also describe the retinal form of this disease [7]. This terminology was soon shown to be a misnomer and, with the advent of World Health Organization (WHO) lymphoma classification in 2001, this disease entity was renamed as "intraocular lymphoma" with a specific subtyping as a diffuse large B-cell lymphoma [8, 9]. Whilst the terminology 'primary intraocular lymphoma' or 'PIOL' was proposed [10, 11], it became clear that choroidal and vitreoretinal lymphomas differed significantly from each other (each histologically, genetically and clinically) and should not be subsumed under the same term of 'PIOL'. Hence, the term 'primary vitreoretinal lymphoma (PVRL)' was proposed to differentiate this entity from 'primary uveal (choroidal) lymphoma', and it is currently the accepted terminology to describe this subset of intraocular lymphomas [12–15]. It has been recommended that the intraocular lymphomas be subtyped according to their location and whether they are primary or secondary to CNS or systemic involvement [13]. Any further classification of the lymphomas are based on the subsequent revision of the WHO Lymphoma classification [16].

### 11.2.3 Epidemiology of VRL

VRL is rare, but its exact incidence is unknown with most data relating to PCNSL [17]. PCNSL with ocular involvement and PVRL represent 1% of non-Hodgkin lymphoma (NHL), 1% of all intracranial tumors and less than 1% of intra-

ocular malignancies [18]. In recent times, a true increase in the incidence of VRL and PCNSL has been noted in both immunodeficient and immunosuppressed patients [1, 19, 20]. Although rare cases have been reported in infants and adolescents, VRL is usually a disease of adults [1, 13, 17, 21–23]. Patients are usually older than 40 years with the mean age of presentation being the fifth and sixth decade [1, 14, 17]. Many reports in the literature suggest females to be affected more frequently than males [24–26].

### 11.2.4 Pathogenesis of VRL

The exact etiology of VRL remains very unclear with several hypotheses being proposed but most of these being far from proven. For example, these include the role of infectious agents, the chemokine hypothesis, selective homing of malignant lymphocytes to the eye and clonal transformation of local polyclonal inflammatory cells.

In anecdotal cases, infectious agents such as Epstein-Barr virus (EBV), Human herpes virus-8 (HHV8) and *Toxoplasma gondii* have been proposed to be involved in the pathogenesis of VRL [27, 28]. EBV transforms human B-lymphocytes eventually leading to continuous proliferation while HHV8 viral genome expresses genes responsible for inhibition of apoptosis, cell cycle entry and angiogenesis with proliferation of B-lymphocytes [20, 29]. The role of *Toxoplasma gondii* in lymphomagenesis is unknown. However, It is possible that a chronic immunological response to ocular *T. gondii* infection could lead in some cases to VRL development [28].

Chemokines and chemokine receptors selective for B-lymphocytes were identified in retinal pigment epithelium (RPE) and malignant B-cells, respectively [30]. It has been suggested that B-cell chemokines may be involved in the pathogenesis of VRL by selectively attracting lymphoma cells to the RPE from the choroidal circulation [30]. Another hypothesis on the infiltration of malignant lymphocytes from the systemic circulation into the eye and brain suggests that permissive retinal endothelial cell

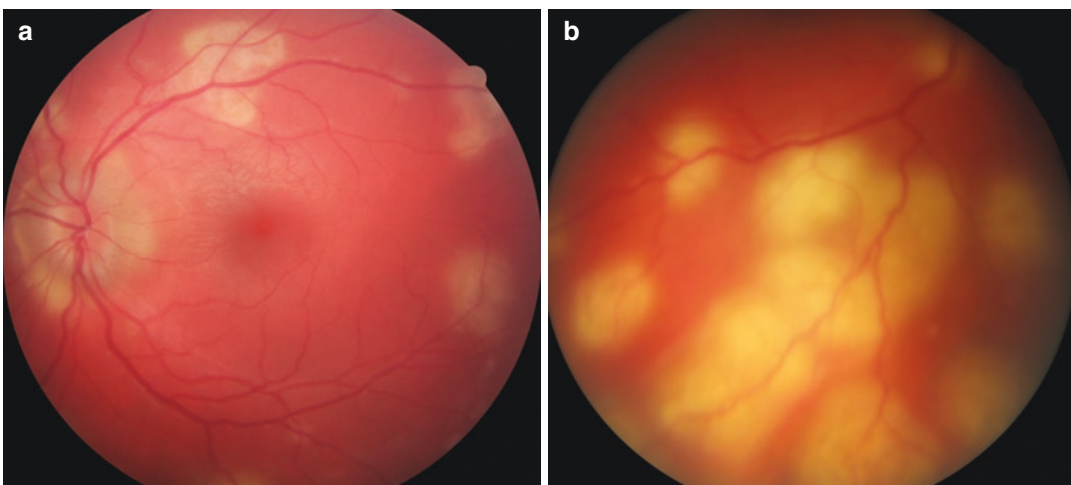
receptors and lack of robust immune surveillance may allow preferential entry of malignant lymphocytes to the retina than the choroid with subsequent clonal proliferation in the eye [2, 12, 31].

One group suggested that VRL develop subsequent to an infectious uveitis [28]. This opens up another hypothesis that initial polyclonal proliferation of inflammatory lymphocytes may undergo mutagenesis leading to a monoclonal proliferation characteristic of VRL. Endoantigens from a non-infectious uveitis may produce the same phenomenon [2].

### 11.2.5 Clinical Features of VRL

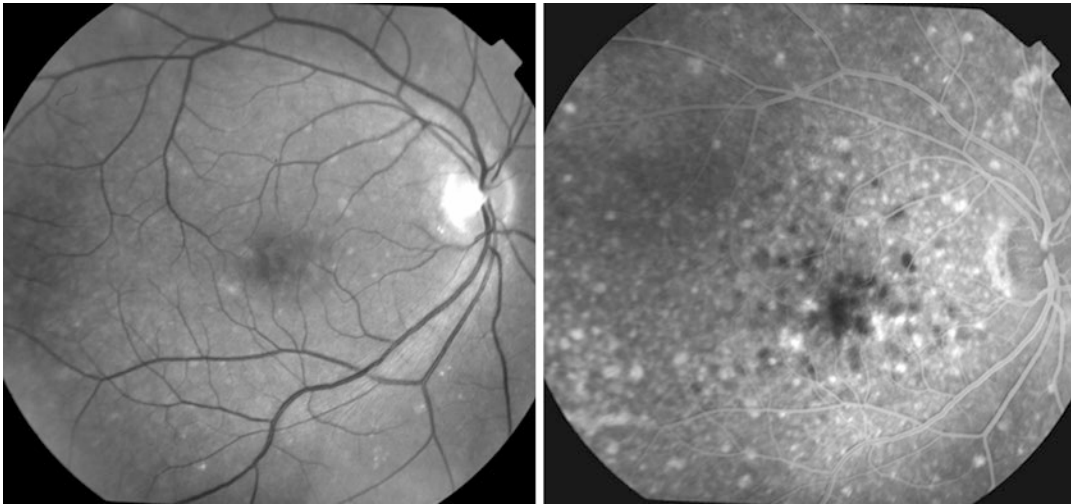
Diagnosis of PVRL is difficult and based on clinical features, investigations and microscopic evaluation. While clinical signs aid the diagnosis of VRL to some extent and investigative tools help differentiating it from mimics, it is the microscopic evaluation that remains the diagnostic cornerstone in such patients [2, 32–61]. Between 64% and 83% cases of PVRL are bilateral with a frequent tendency to mimic posterior chronic uveitis [13, 14, 17]. Most are insidious in onset and in many, timely diagnosis is marred by diagnostic difficulties. Blurring of vision and/or floaters are presenting symptoms. Yet, visual acu-

ity can be relatively well preserved [17]. Vitreous floaters may be noticed long before VRL is suspected, and are often attributed to normal degenerative changes or uveitis [13]. Steroids may temporarily benefit the patient, but thereby delay the diagnosis of VRL. Anterior segment inflammation is usually absent [13, 32]. Keratic precipitates and cells may be seen in the anterior chamber but infiltrating cells are usually confined to the vitreous cavity [13, 33]. Pseudohypopyon is a rare presentation. Sheets and clusters of lymphomatous cells may be seen in the vitreous. These cells are larger than inflammatory cells and may not cluster with the reactive cells, resulting in an ‘aurora borealis’ appearance from cells lining along the vitreous fibrils [13]. A mild to moderate haze may be seen which, at times is accentuated at the periphery or superiorly (Fig. 11.1a, b) [13, 17]. Lymphoma cells may grow along the Bruch’s membrane under the RPE. These may be seen as creamy lesions with orange-yellow infiltrates deep to the retina (Fig. 11.1a, b) [17]. Islands of dislodged RPE lie over these deposits, which with early or diffuse involvement gives rise to a characteristic ‘leopard-skin’ pigmentation (Fig. 11.2) [13]. RPE atrophy and subretinal fibrosis may result from spontaneous resolution [35]. Optic nerve and orbital involvement are rare but may occur [36, 37].



**Fig. 11.1** Pathognomonic subretinal and vitreal infiltrates involving the (a) left and (b) right of an elderly female with VRL and simultaneous CNS disease. There is addi-

tional optic nerve involvement of the left eye. Images care of Stefan Seregard, Sweden



**Fig. 11.2** Typical leopard skin alterations seen on the later phase of the fluorescein angiography, in a patient with subsequently diagnosed VRL. Images care of Nathalie Cassoux, France

Concomitant CNS involvement is present in 16–34% of patients with VRL [17]. Subsequent PCNSL occurs in 42–92% patients of VRL within a mean interval of 8–29 months [17]. Hemiparesis, ataxia and new-onset seizures common presentation of CNS involvement [36, 38].

### 11.2.6 Diagnosis of VRL

As mentioned previously, although clinical examination and ocular imaging are help in the diagnosis of VRL, the gold standard for its diagnosis is demonstration of neoplastic lymphocytes in the eye.

#### 11.2.6.1 Optical Coherence Tomography (OCT)

Direct infiltration of retinal by lymphomatous cells with focal growth creates a semi-opaque gray spot that appears homogenous on OCT. Lymphomatous infiltration can be seen as nodular, hyper-reflective signals in the form of dots, bands and nodules at or above the level of RPE in the eyes of patients with VRL using spectral-domain OCT (SD-OCT) [39, 40]. However, these hyper-reflective spots need to be differentiated from those seen in age related macular degeneration or diabetic macular edema [41, 42].

#### 11.2.6.2 Fluorescein Angiography (FA)

FA shows early and late hypofluorescent lesions in cases with outer retinal involvement [2]. Punctuate hyperfluorescent window defects, round hypofluorescent lesions and vasculitis were observed by Cassoux et al. in their study on 44 patients with VRL [32]. Leakage of fluorescein along retinal veins and periarteriolar staining has been demonstrated in PVRL [41].

#### 11.2.6.3 Indocyanine Green Angiography(IGA)

In the early phase of VRL, small hypofluorescent lesions are seen while such lesions become less apparent in the later phases [17]. FA and IGA when used together, have a positive- and negative- predictive value of 89% and 85%, respectively, for VRL [44].

#### 11.2.6.4 Fundus Autofluorescence (FAF)

FAF is a non-invasive technique that can acquire a topographic map of the lipofuscin distribution in the RPE cells. One of the characteristic FAF findings in eyes with VRL is a granular pattern consisting of hyperautofluorescent spots surrounded by a hypoautofluorescent ring [45]. Lymphomatous infiltration in the sub-RPE space alters RPE metabolism

leading to hyperautofluorescence [40]. On the other hand, lymphomatous infiltration above the RPE may block autofluorescence from RPE cells resulting in a granular pattern of hypoautofluorescent spots surrounded by hyperautofluorescent rings [40]. Hypofluorescent spots ('leopard spots') seen on FA correspond to hyperautofluorescent spots on FAF [40, 45]. Whitish areas of retinal infiltration appear hypoautofluorescent on FAF and hyperfluorescent on FA [45].

#### 11.2.6.5 Ultrasound B Scan (UBS)

Although UBS findings are not specific for VRL, it can be used in cases where posterior segment is difficult to visualize [17]. Findings on UBS include vitreous debris, retinal detachment, elevated chorioretinal lesions, and widening of optic nerve [17].

#### 11.2.6.6 Vitreous Sampling

Although lymphoma cells are typically located between the RPE and the Bruch's membrane, they may invade the vitreous. Vitreous biopsy (VB) and pars plana vitrectomy (PPV) are the 2 methods practised to sample the vitreous. VB targets the core vitreous resulting in the collection of 1–2 mL of sample while PPV targets the core and cortical vitreous resulting in collection of 50–100 mL of fluid [46]. In order to ensure adequate and maximum cellular viability, samples need to be transported to the laboratory without delay, and preferably with prior notification of the laboratory. A number of soft fixative solutions can be used to send the sample when suspecting VRL [47]. We use 'Shandon' cytofix (also called Cytolyt) or HEPES-glutamic acid buffer mediated Organic solvent Protection Effect (HOPE) solution [17, 46].

In addition to maximizing sample size, PPV also improves vision by clearance of vitreous debris. The greater cellularity of a PPV as compared to a core vitreous biopsy also permits performance of additional tests such as the immunophenotyping of the cells using immunohistochemistry (IHC) or flow cytometry, DNA extraction for IgH monoclonality and polymerase chain reaction (PCR) studies (see below) [46]. Retinal tears and tumour seeding through

the sclerectomy port to the epibulbar space may occur very rarely [46, 48].

#### 11.2.6.7 Neuroimaging, CSF Examination and Brain Biopsy

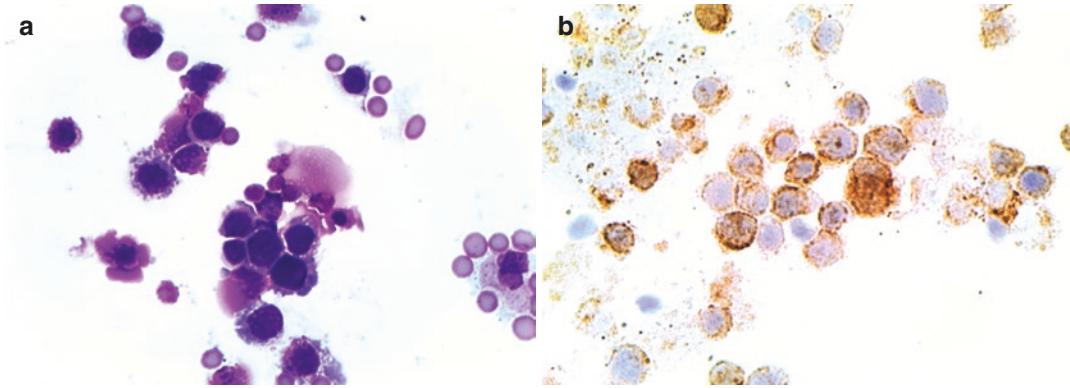
Since VRL is a subset of PCNSL, it is crucial that CNS lesions be excluded with the help of contrast enhanced magnetic resonance imaging (MRI). CNS lesions may be uni- or multifocal that appear hypodense on T1-weighted and hyperdense on T2-weighted images [17, 49]. Identification of lymphoma cells in CSF in a patient with concurrent oculocerebral lymphoma can spare the patient from an additional invasive procedure, such as vitrectomy. However, it must be noted that the yield of lymphoma cells in the Cerebral Spinal Fluid (CSF) is low, with a false negative rate of 25% in patients with CNS lesions [8, 50]. Stereotactic brain biopsies need to be performed in patients with suspicious MRI lesions but a negative CSF cytology.

#### 11.2.6.8 Light Microscopy of VRL

Although malignant lymphoid cells can be visualised using a Papanicolou or Hematoxylin-eosin stain, a Giemsa or Diff-Quick stain of a cytospin is preferred since they outline the characteristics of lymphoma cells better. VRL cells are typically fragile and can be tightly packed in the sub-RPE, and appear as large lymphoid cells with scanty basophilic cytoplasm and large nuclei, which may be round, oval, bean-shaped or hypersegmented with prominent nucleoli and mitoses (Fig. 11.3a) [52–54]. Inflammatory background with admixed macrophages and small T-cells or necrotic lymphoma cells may be seen [51, 55].

#### 11.2.6.9 Immunohistochemistry and Flow Cytometry

Demonstration of a dominant B-cell infiltrate within the vitreous and of monoclonality of the B-cells, either as kappa or lambda chain restriction, supports the diagnosis of a lymphoma. As above, most VRL are of B-cell origin and thus express CD19, CD20, CD22 and CD79a (Fig. 11.3b) [56]. Aberrant concomitant expression of MUM1 and BCL-6 has also



**Fig. 11.3** (a) May Grunewald Giemsa staining of a vitrectomy specimen, demonstrating large atypical lymphocyte blasts with a small rim of cytoplasm, and some smaller scattered erythrocytes (MMG,  $\times 60$  objective).

(b) CD79 immunostaining of the same vitrectomy sample showing the clear positivity of the neoplastic lymphocytes for this marker (DAB,  $\times 60$  objective)

been reported [55]. Exceptionally rare cases of anaplastic large cell and T-cell types of VRL have been described [57, 58]. T-cell lymphomas express CD3 and thus make differentiation from a reactive infiltrate difficult. In such cases, PCR studies for T-cell receptor gene rearrangements can help in establishing the diagnosis of T-cell type VRL.

#### 11.2.6.10 Other Laboratory Tests

Analysis of interleukin (IL) ratios is used in some centres to provide additional information in the diagnosis of lymphoma. Malignant B-cells express high levels of IL10 while inflammatory cells express high levels of IL6 [59–61]. A raised IL10:IL6 ratio is therefore suggestive of an intraocular manifestation of a B-cell NHL.

More commonly, diagnostic laboratories assess the B-cell infiltrate for monoclonality using polymerase chain reaction, directed against the immunoglobulin heavy chain gene (IgH-PCR). This test requires good quality DNA to be extracted from the cells within the vitreous infiltrate [62].

Blood tests for human immunodeficiency virus, complete blood count and test to exclude unusual causes of uveitis may also be useful.

#### 11.2.6.11 Genetics of VRL

Molecular studies have demonstrated that VRL arise from a post-germinal centre B-cell with the

immunoglobulin gene demonstrating a highly mutated pattern, similar to that observed in PCNSL [63]. The Myeloid differentiation primary response 88 (*MYD88*) gene is mutation in about 70% of cases of VRL, and hence this can be used to confirm the diagnosis of VRL [64]. The chromosomal translocation  $t(14;18)$  involving the *bcl2* gene has been reported in some PVRL, although this is not used in the diagnostic arena [20, 65].

#### 11.2.6.12 Differential Diagnosis

The differential diagnosis of VRL, a challenging masquerade syndrome, includes a variety of infectious and non-infectious lesions. These include viral retinitis, extensive retinochoroidal toxoplasmosis, syphilitic retinitis, Whipple's disease, sarcoidosis, tuberculosis, Behcet's disease, idiopathic uveitis and metastatic carcinomas [66].

#### 11.2.6.13 Treatment of VRL

There are no consensus international guidelines to date for VRL treatment. The ultimate goal of eradicating the VRL cells within the eye to reduce the risk of relapse in the form of CNS infiltration has to be balanced with preserving sight and the treatment toxicities. Hence, it is clear that treatment of PCNSL/PVRL requires a multidisciplinary approach, and that each patient's case must be discussed in detail and in a timely manner, prior to treatment commencement [67–69].

Ocular radiotherapy, intravitreal methotrexate and/or rituximab, administration of high-dose systemic methotrexate (HD-MTX), myeloablative chemotherapy, and immunotherapy have been reported to achieve remission of PVRL, although many patients relapse with the recurrent disease occurring either in the form of ocular disease (even in the contralateral eye) or CNS lymphoma [70].

When whole brain radiotherapy (WBRT), either as CNS prophylaxis or for consolidation for existent disease, should be administered remains unclear. When HD-MTX is given with WBRT for consolidation, delayed neurotoxicity, most particularly dementia, has been reported as an important complication. Studies suggest that WBRT could be deferred until relapse without compromising survival in elderly patients. Alternatives to HD-MTX include dose-reduced WBRT and consolidative high-dose cytarabine (HD-Ara-C), high-dose chemotherapy and autologous stem cell transplantation for selected patients, pomalidomide or ibrutinib, in conjunction with local therapy. The reader is referred to recent reviews on PVRL treatment, which understandably does overlap with CNSL management [70–72].

#### 11.2.6.14 Prognosis of VRL

With an overall 5-year survival rate of less than 25%, the prognosis of VRL remains poor despite advancements in new treatments [73, 74]. However, promising new therapies are on the horizon with new generation anti-CD20 antibodies [75], kinase inhibitors [76], chimeric antigen receptor therapy using T-cells [77], and with targeted new therapies directed against mutant cells, including MYD88 mutant B-lymphocytes [78]. Hence, we have to remain hopeful rather than fatalistic.

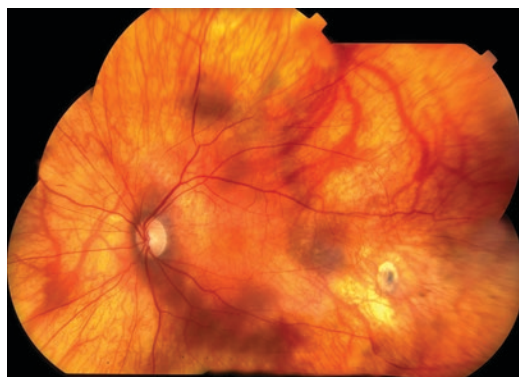
## 11.3 Uveal Lymphomas

Uveal lymphomas can be subdivided into ‘primary’ neoplasms of the choroid, iris and ciliary body as well as ‘secondary’ uveal lymphomas in patients with disseminated disease. These lymphomas mainly involve the choroid.

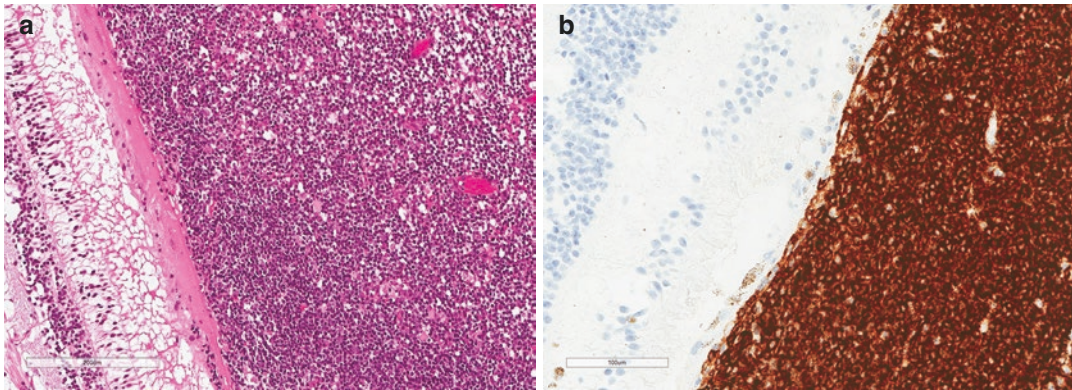
### 11.3.1 Primary Uveal Lymphomas

**Epidemiology** The cause of primary uveal lymphomas is unknown. The exact incidence of primary uveal lymphomas is also unclear, although it appears that there are at least 100 cases in the literature since first described by Triebenstein in 1920 (see above). Various terms have been given to uveal lymphoma in the literature, including uveal pseudotumour, reactive lymphoid hyperplasia, and then uveal lymphoproliferative neoplasia. It was only in 2002 that it was convincingly established that most uveal lymphomas represented ‘extranodal marginal zone B-cell lymphomas’ (EMZL), according to the WHO Lymphoma Classification [79].

**Clinical Features** Primary uveal lymphoma usually occurs unilaterally, and is more common in men than women. Presentation is in the sixth and seventh decades of life. Typical presenting symptoms include recurrent, painless episodes of blurred vision and metamorphopsia, caused by secondary serous retinal detachment involving the fovea. The key early signs of primary uveal lymphoma involving predominantly the choroid include multifocal, yellow-pink choroidal swellings on fundus examination (Fig. 11.4). The vitreous usually remains clear. In some patients, subconjunctival or episcleral extensions may become apparent as ‘salmon patches’ [17, 80].



**Fig. 11.4** Montage of a fundoscopy demonstrating an extensive primary choroidal lymphoma. Image care of Bertil Damato, San Francisco



**Fig. 11.5** (a) Enucleated eye of another case of primary choroidal lymphoma, demonstrating the location of the tumour cells within the choroid and their relationship to Bruch's membrane, the retinal pigment epithelium, and

the overlying atrophic retina (H&E stain; scale bar on image). (b) Immunostaining for the same case demonstrating the positivity for CD20 (scale bar on image)

**Pathology** On microscopy, the uvea is filled with a dense infiltrate of monocytoid and plasmacytoid lymphoma cells (Fig. 11.5a). These are typically small, and are located in the so-called 'marginal zone', surrounding reactive germinal centres. The number of mitoses is small. The infiltrate can be extensive, involving the whole uvea, and also extending into the extraocular space by using the scleral exit routes. On immunohistochemistry, the B-cells express CD20, CD79a and PAX5 (Fig. 11.5b). The plasmacellular differentiation can be highlighted in the immunoglobulin light and heavy chains immunostains. Monoclonality can also be demonstrated using IgH-PCR [79]. The overlying Bruch's membrane is not infiltrated by the primary uveal lymphoma cells, but the retina may demonstrate atrophy of the photoreceptors.

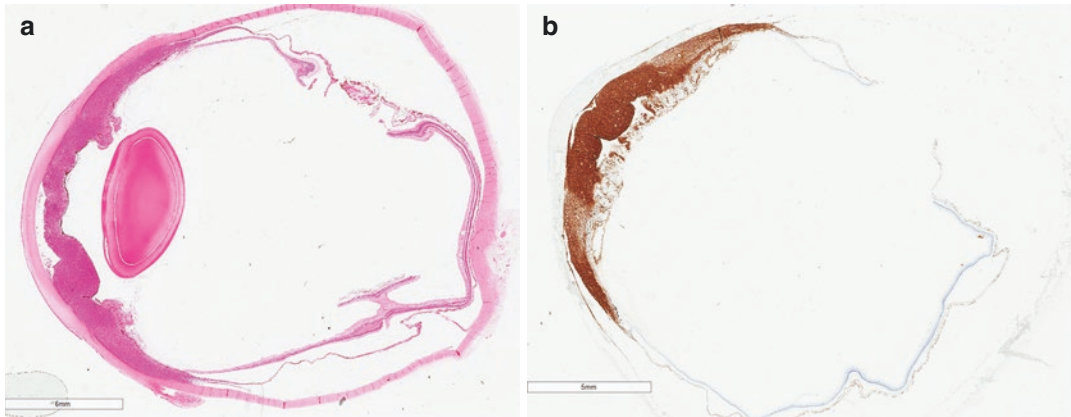
**Genetics** Very few genetic studies have been undertaken of uveal lymphomas. It is assumed that they would demonstrate similar translocations to the EMZL of other locations, e.g. those of the ocular adnexa.

**Treatment** If untreated, uveal lymphoma can cause glaucoma through extensive involvement of the iris and ciliary body, and retinal detachment, ultimately making the eye blind and painful. Treatment with low-dose radiotherapy induces complete tumour regression with few if

any residual effects and good improvement in vision. The survival prognosis is usually good (*cf.* VRL).

## 11.4 Secondary Uveal Lymphoma

Secondary uveal lymphomas are neoplasms that arise outside the central nervous system and then spread to the eye [12]. The incidence of this is not clear, as most Stage IV systemic NHL patients are not examined systematically for ophthalmological changes. Many patients with secondary uveal lymphoma are elderly, in their sixth decades although cases in young individuals have been described [81–83]. The choroid is the most frequent intraocular structure to be involved; exceptionally rarely, additional infiltration of the anterior chamber, optic nerve and even the retina is seen [81–88]. Presenting features and findings of ocular examination depend on the structures involved. Histologically, the majority of the secondary uveal lymphomas described in literature are of diffuse large B-cell lymphomas (Fig. 11.6a, b) [89]; rare cases of anaplastic large cell lymphoma and peripheral T-cell lymphoma have also been described [81–88, 90, 91]. In cases where the ocular manifestation is concurrent to the systemic lymphoma, management revolves around treatment of the systemic lymphoma, and usually includes a combination of surgical exci-



**Fig. 11.6** (a) Low power of an enucleated eye demonstrating extensive anterior uveal involvement by a secondary lymphoma (scale bar on image). (b) CD20 immunostaining of the same case (scale bar on image)

sion, chemotherapy and radiotherapy. In ocular lesions which are subsequent to the primary, chemotherapy and/or radiotherapy is advocated.

## 11.5 Summary

Intraocular lymphomas are a heterogeneous group of neoplasms, which essentially can be divided into where they occur in the eye, and their pathological subtype. The VRL are the most common of these tumours and are the most aggressive, with poor survival often being associated with CNS involvement. The uveal lymphomas can be divided into those that are primary and secondary. The former are low-grade B-cell NHL with a very good response rate to low-dose radiotherapy, and a minimal risk of CNS involvement. Secondary uveal lymphomas represent manifestations of systemic NHL in the eye, usually in patients with very advanced disease. The outcomes of these patients is dependent on the particular subtype and the response to systemic therapy.

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# Choroidal Hemangioma and Its Management

# 12

Shweta Gupta and Swathi Kaliki

## 12.1 Introduction

Choroidal hemangioma is the most common vascular tumor of the uveal tract. It is a benign tumor, which is either circumscribed (Fig. 12.1) or diffuse (Fig. 12.2) based on the extent of choroidal involvement. The circumscribed type is usually sporadic and is not associated with systemic abnormalities, whereas diffuse type is associated with facial naevus flammeus or encephalofacial angiomatosis, e.g. Sturge-Weber (SW) syndrome [1].

## 12.2 Circumscribed Choroidal Hemangioma

Circumscribed choroidal hemangiomas (CCHs) are relatively rare hamartomatous vascular tumors [1]. They often manifest as unifocal lesions and commonly present unilaterally with extremely rare bilateral involvement [2–4].

### 12.2.1 Clinical Features

#### Symptoms

Majority of the tumors remain asymptomatic till 2nd to 5th decades. Patients with parafoveal

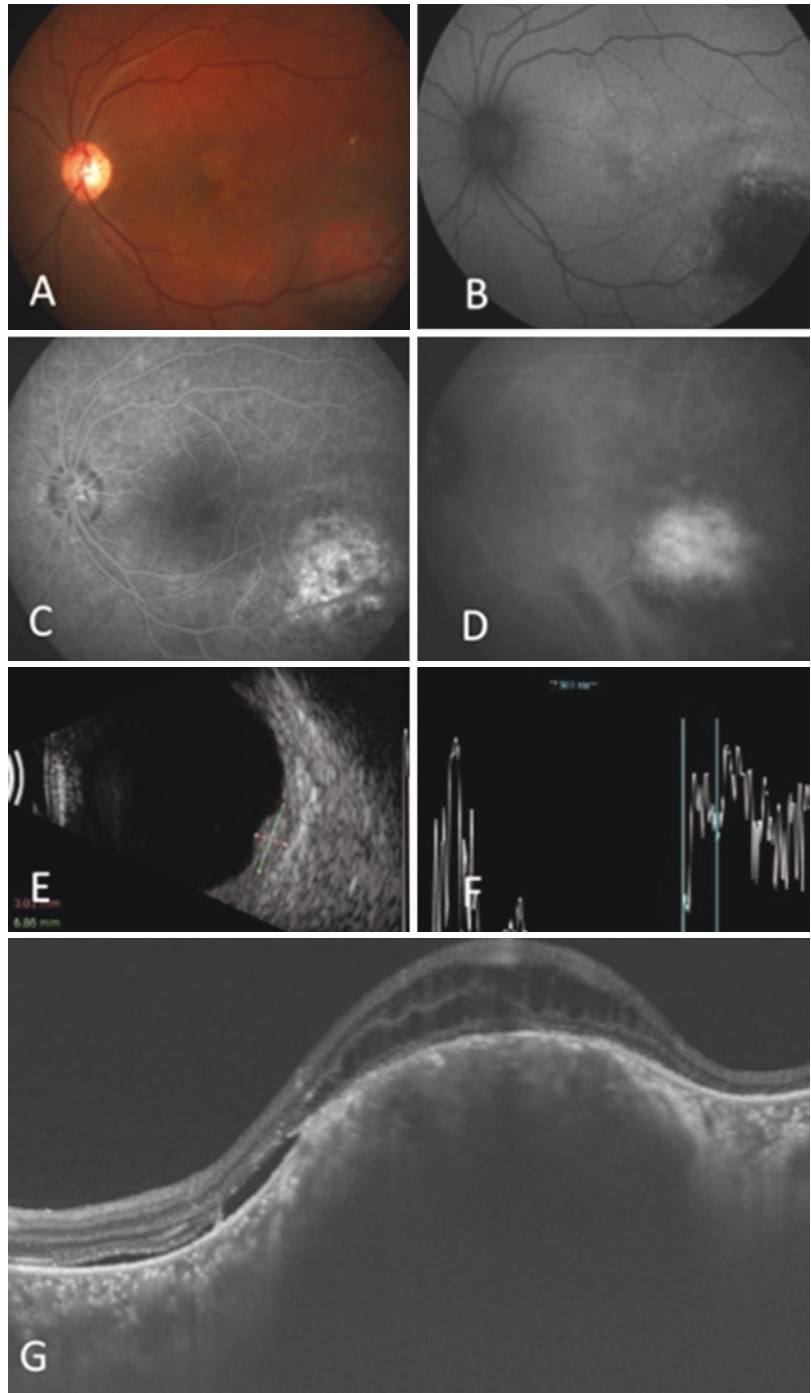
tumors present with either gradual or sudden onset diminution in visual acuity, defect in the visual field, floaters, photopsis and metamorphopsia secondary to exudation of fluid [1, 5]. Subfoveal tumors cause central vision loss due to serous macular detachment or cystoid macular edema [6]. They may also produce hyperopic shift secondary to the anterior displacement of the retina [1].

#### Signs

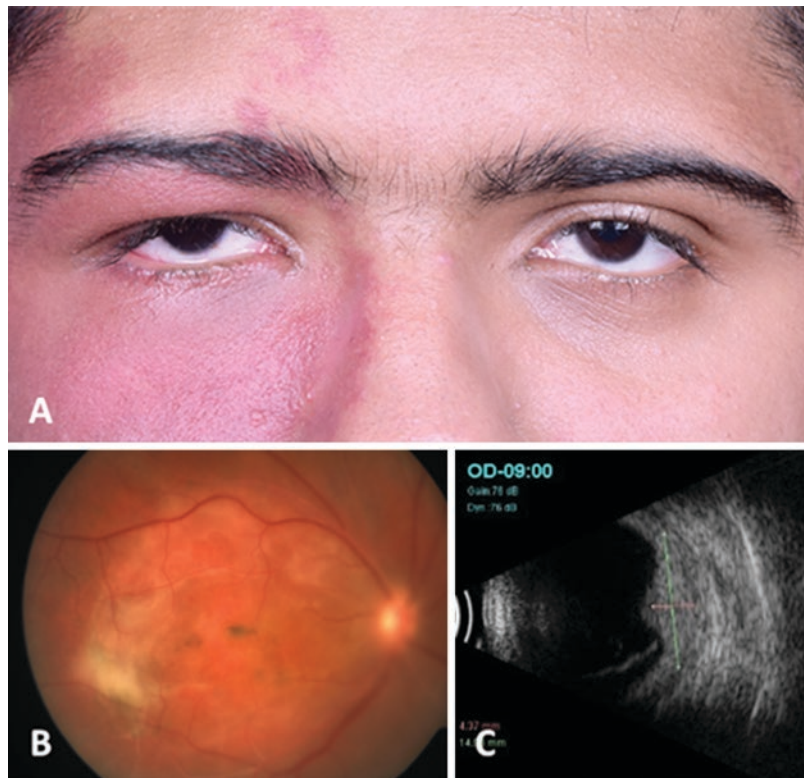
Majority of circumscribed choroidal hemangioma are easily diagnosed with Indirect ophthalmoscopy. They appear as a discrete, indistinct, smooth, round or oval, orange-red lesion with homogenous surface usually located behind the equator usually at the macula or surrounding the optic disc [7–9]. Associated clinical features include overlying retinal edema, pigmentary changes within the retinal pigment epithelium, orange pigment deposition, subretinal fluid, exudative retinal detachment, subretinal fibrosis and macular edema [1]. Sometimes a total RD can occur which may lead to neovascular glaucoma.

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**Fig. 12.1** Circumscribed choroidal hemangioma. **(a)** Fundus photograph showing reddish orange lesion in the inferotemporal quadrant. **(b)** Autofluorescence showing hypofluorescence of the lesion with mild hyperautofluorescence of the cuff of subretinal fluid. **(c)** Fluorescein angiography showing early mottled hyperfluorescence. **(d)** Indocyanine green angiography showing early hyperfluorescence. **(e)** B-scan ultrasonography showing a acoustically solid choroidal lesion with **(f)** high internal reflectivity on A-scan. **(g)** Enhanced depth imaging Optical coherence tomography showing retinal edema, subretinal fluid, choroidal lesion with widening of choroidal vessels



**Fig. 12.2** Diffuse choroidal hemangioma in Sturge-Weber syndrome. (a) External photograph showing port-wine stain involving the right periocular region. (b) Tomato catsup fundus with nodular component of the lesion in the macular area. (c) B-scan showing diffuse choroidal thickening with central nodular component



## 12.2.2 Ancillary Investigations

### 12.2.2.1 Ultrasonography

Circumscribed choroidal hemangioma shows high anterior spike as well as typical homogenous high internal reflectivity similar to the surrounding choroid on A scan and acoustic solidity without significant shadowing on B scan [1, 7, 8]. Ultrasonography also helps in measuring the tumor dimensions [10].

### 12.2.2.2 Fluorescein Angiography

Fundus fluorescein angiography (FFA) adds little information to the diagnosis of choroidal hemangioma, as it shows some typical but non-pathognomic features [1, 2, 5, 7, 8]. Prearterial/early arterial phases display irregular linear hyperfluorescence due to filling of choroidal vasculature and large tumor vessels before the retinal vessels [8]. Profuse accelerating leakage originating from a tiny hyperfluorescent foci is

noticed due to intrinsic vasculature in the arterial and venous phase [1]. Intraretinal hyperfluorescence can be seen in late phases secondary to widespread leakage of the dye in the intraretinal cystic spaces [5].

### 12.2.2.3 Indocyanine Green Angiography (ICGA)

Indocyanine Green (ICG) angiography is more important than FFA in the diagnosis of choroidal hemangioma. Typical angiographic feature is the appearance of lacy, diffuse and strong hyperfluorescence in arterial phase at an average of 1.2 min after injection due to 'early filling' of intratumoral vessels within 30 s of dye injection. This is followed by a characteristic late 'wash out' which appears as hypofluorescence relative to surrounding tumor at 30–60 min [9]. The tumor shows characteristic 'Mulberry appearance' with a surrounding rim of hyperfluorescence in the late frames [1, 11].

#### 12.2.2.4 Spectral Domain and Enhanced Depth Imaging Optical Coherence Tomography (SD-OCT and EDI-OCT)

SD-OCT helps in identification and evaluation of secondary retinal changes in choroidal tumors and has replaced traditional FFA and ICGA to monitor activity of these tumors following treatment [12].

EDI-OCT is useful in assessment of lesion with 0.1 mm thickness which are very thin to get measured with the help of conventional ultrasonography [13]. Circumscribed choroidal hemangioma on EDI scans shows a homogenous hyporeflective appearance resulting from enlarged vascular interfaces across the layers of the choroid and typical multilobular pattern corresponding to the intrinsic spaces of intratumoral vessels [14, 15]. A sloping anterior surface without choriocapillaries compression and a hyper-reflective halo surrounding the tumor is also seen [13, 16].

The evaluation of integrity of RPE and the inner segment/outer segment photoreceptor junction is useful while considering various treatment options. They are also important prognostic factors for potential visual outcome following treatment. At baseline, it helps to distinguish acute leakage (subretinal fluid with normal retinal thickness and preserved architecture of photoreceptors and) from chronic leakage (subretinal fluid with intraretinal edema, retinoschisis, retinal atrophy and photoreceptors loss [17, 18].

It is worthwhile for monitoring treatment response based on decrease in central foveal thickness, regression of macular edema or exudative retinal detachment and restoration of foveal anatomy [12, 19]. OCT can detect early as well as recurrent sub-retinal and intraretinal fluid following treatment, before it becomes clinically apparent and causes deterioration in vision [19, 20].

#### 12.2.2.5 Autofluorescence

It further evaluates the status of overlying RPE and allows detection of subtle subretinal fluid (SRF). Choroidal hemangiomas appear hypo or

isofluorescent in comparison to the perilesional choroid. Overlying lipofuscin and fresh SRF shows hyper-autofluorescence and RPE hyperplasia, chronic SRF, localized fibrosis and atrophy shows hypo-autofluorescence [21].

#### 12.2.2.6 Magnetic Resonance Imaging (MRI)

Choroidal hemangiomas appear hyperintense to the vitreous in T1-weighted images and isointense in T2-weighted images, unlike most of the intraocular tumors, which are hypointense on T2. On gadolinium administration, the tumor shows a marked enhancement [8, 22].

#### 12.2.2.7 Histopathology

Choroidal hemangiomas are histopathologically classified into three types as capillary, cavernous and mixed type based on predominant type of vessel [23]. Majority of the circumscribed hemangiomas are cavernous and mixed type. Large, thin-walled blood-filled endothelium lined vascular channels and separated by thin intervascular septa constitute the cavernous type. The capillary type consists of small blood vessels with indistinct endothelial cells and intervening loose connective tissue [1].

Extensive cystic changes, in the outer retinal layers overlying the tumor may coalesce to form retinoschisis. The pigmented rim seen clinically is caused by irregularly compressed choroidal melanocytes at the periphery of the hemangioma [1].

### 12.2.3 Differential Diagnosis

#### Amelanotic Choroidal Melanoma

Choroidal hemangioma is a typical orange-red lesion unlike a choroidal melanoma. It demonstrates high internal reflectivity on A scan as opposed to low-medium reflectivity in melanoma. The characteristic findings of acoustic hollowness and choroidal excavation seen in melanoma on ultrasonography are almost never seen with a hemangioma [6].

### Choroidal Metastasis

Choroidal metastasis usually appears dull or creamy yellow and is frequently multifocal and bilateral as compared to unifocal, unilateral and orange-red color of a hemangioma [24].

### Posterior Scleritis

Posterior scleritis can be confused sometimes with choroidal hemangioma but is usually accompanied by inflammatory signs and choroidal folds [25].

## 12.2.4 Management

Treatment of circumscribed choroidal hemangioma differs for each case and depends on the severity of symptoms, presence or absence of subretinal fluid and potential for visual recovery [8, 26].

Treatment is not necessary for asymptomatic cases with extramacular lesions, without any evidence of subretinal fluid as there is low risk of progression in size or visual deterioration. These lesions can be safely observed with periodic review [1, 5].

Various treatment options have been described for tumors in which intervention is indicated. The treatment for circumscribed choroidal hemangioma has advanced considerably in recent years from cryotherapy [27], conventional photocoagulation, external beam radiation therapy to new options including photodynamic therapy, proton beam radiotherapy, episcleral plaque radiotherapy, transpupillary thermotherapy (TTT) and anti-VEGF pharmacotherapy.

Current treatment strategy is to treat the primary tumor and the subsequent secondary effects concurrently from the onset. Detailed peripheral fundus examination by indirect ophthalmoscopy and panoramic imaging modalities is imperative [10].

### 12.2.4.1 Laser Photocoagulation

Argon green laser (514 nm) is used to create whitish appearance at the surface of the tumor. This creates a chorioretinal adhesion and subsequently helps to resolve the SRF [8]. Scatter photocoagulation to the tumor surface on one or

more occasions for vision threatening exudative RD results in resolution of SRF with retinal reattachment and temporary visual improvement, but tumor regression may not be seen [21]. Post treatment SRF recurrence and need for additional treatment was observed in 40% of the patients [8]. Final visual acuity is often poor with laser photocoagulation [28]. Numerous complications have been described in association with laser photocoagulation such as cataract, bleeding, secondary choroidal neovascularization and retinal ablation resulting in visual field defects [1, 29, 30].

### 12.2.4.2 Transpupillary Thermotherapy (TTT)

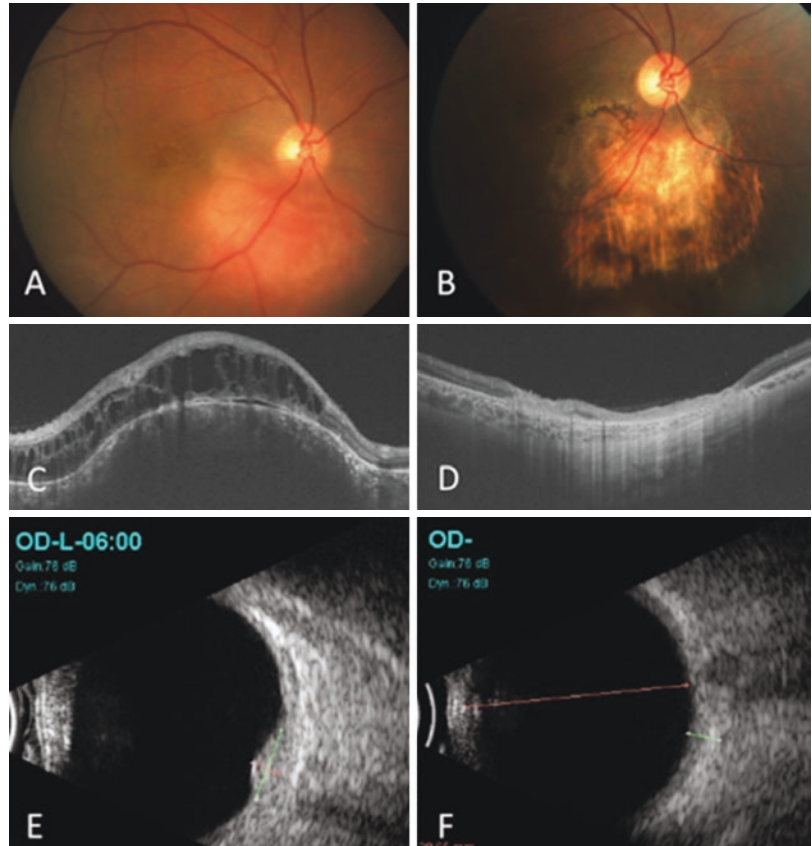
TTT is an appropriate treatment option for extrafoveal tumors (Fig. 12.3). A diode laser (810-nm) with 300–1200 mW power, 2–14 min exposure time and 2–3 mm spot size is used to create confluent greyish spots over the entire tumor surface [31]. This raises temperature inside the tumor above 45 °C but below 60 °C. It leads to the formation of less evident chorioretinal scar in comparison to photocoagulation. ICG has also been described to enhance the effect of TTT [32]. It helps in subretinal fluid resolution and induces tumor regression leading to preservation or improvement in vision [31, 33]. TTT can cause certain complications such as cystoid macular edema (CME), preretinal fibrosis and retinal vascular occlusion making it unsuitable for subfoveal and peripapillary tumors [31].

### 12.2.4.3 Photodynamic Therapy (PDT)

PDT is safe and effective therapy for circumscribed choroidal hemangioma. Currently, it has been recommended as the first line treatment for subfoveal and juxtafoveal tumors [1, 21, 34–37]. It offers the advantage of tissue-specific vascular occlusion and tumor destruction while sparing adjacent neurosensory retina [27]. Verteporfin is sequestered in abnormal large caliber vessels and selective occlusion of choroidal neovascularization can be achieved. Thus, the overlying neurosensory retinal layers and Bruch membrane are almost unaffected, leaving retinal function intact [29].



**Fig. 12.3** Treatment with transpupillary thermotherapy. (a) Pre-treatment fundus photograph showing choroidal hemangioma inferior to the optic disc. (b) Post-treatment fundus photograph showing chorioretinal atrophy with sparing of retinal vessels. (c) Pre-treatment enhanced depth imaging optical coherence tomography (EDI-OCT) showing retinal edema, subretinal fluid, and choroidal lesion. (d) Post-treatment EDI-OCT showing resolution of retinal edema, subretinal fluid, and choroidal lesion with chorioretinal scar. (e) Pre-treatment B-scan showing dome shaped choroidal elevation. (f) Post-treatment B-scan showing resolution of the choroidal lesion



There are numerous treatment protocols including verteporfin injection parameters (Injection over 10 min versus bolus injection), total number of treatment sessions (1–5 sessions), laser power (50–100 J/cm<sup>2</sup>), duration of exposure (83–186 s), and number of laser spots (single or overlapping multiple spots). Similar results have been observed with different protocols but more RPE and retinal changes were noticed with bolus injections. It is preferable to wait for 4–6 months after PDT for resolution of SRF, before additional treatment sessions as repeat or over treatment may result in RPE atrophy and adverse visual outcomes [38–47].

Excellent anatomical outcome in terms of resolution of subretinal fluid and induction of tumor regression as well as functional outcomes in terms of visual improvement or stabilization have been reported in 73–100% of patients with a single treatment in majority of cases [12, 46–48]. Few unusual and transient complications have

been described including vascular occlusion, choroidal effusion, choroidal atrophy and perifoveal hemorrhage [39, 45, 49, 50].

#### 12.2.4.4 External Beam Radiation Therapy

Efficacy of external beam radiotherapy (EBRT) in cases of circumscribed hemangioma is varying between different case series. Ritland et al. demonstrated complete resolution of RD and tumor regression along with visual acuity improvement in 90% of eyes (dose 20–24 Gy) [51]. Schilling and Kong et al. have reported good anatomical and functional outcomes [23, 52, 53]. Madreperla et al. described EBRT as less effective in treatment of circumscribed hemangioma in comparison to diffuse ones [28].

#### 12.2.4.5 Proton Beam Radiotherapy

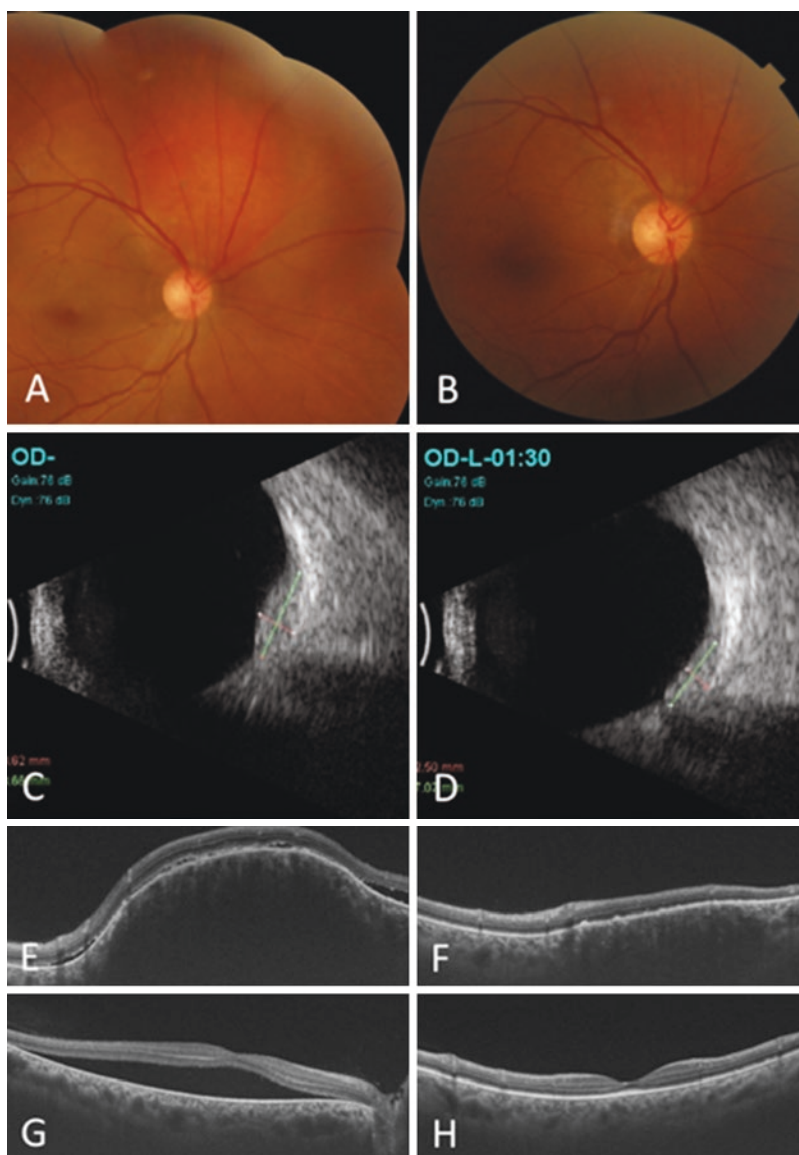
Proton beam radiotherapy is a useful therapeutic alternative for larger choroidal hemangiomas with

extensive exudative retinal detachment. Before introduction of PDT, proton beam radiotherapy was mostly preferred to treat macular and juxta-papillary CCH as charged particles have a highly localized and uniform dose distribution. There are conflicting reports regarding the usage of proton beam therapy in such situations. Zografos et al. [54] and Hannouche et al. [55] described it as ideal for treatment in this critical region (dose 16–18 Gy) while Lee and Hungerford [56] found only slight advantage over EBRT in terms of optic neuropathy or maculopathy.

#### 12.2.4.6 Plaque Radiotherapy

Episcleral plaque radiotherapy with I-125 [5, 28], Co-60 [57], Ru-106 [28, 51] (Fig. 12.4) is an effective treatment for large circumscribed hemangiomas with extensive SRF where PDT would not be possible and juxta-papillary tumors unresponsive to PDT or TTT [28]. Low-dose radiation delivery (20–40 Gy) to the tumor apex is sufficient. Tumor regression, resorption of SRF and regression of iris neovascularization have been reported with I-125 and palladium-103 plaque radiotherapy [58, 59]. Vision deterioration

**Fig. 12.4** Treatment with plaque radiotherapy. (a) Pre-treatment fundus photograph showing choroidal hemangioma superior to the optic disc. (b) Post-treatment fundus photograph showing resolution of the choroidal lesion. (c) Pre-treatment B-scan showing dome shaped choroidal elevation. (d) Post-treatment B-scan showing resolution of the choroidal lesion. (e) Pre-treatment enhanced depth imaging optical coherence tomography (EDI-OCT) showing subretinal fluid and choroidal lesion. (f) Post-treatment EDI-OCT showing resolution subretinal fluid and flattening of the choroidal lesion. (g) Pre-treatment EDI-OCT showing subretinal fluid involving fovea. (h) Post-treatment EDI-OCT showing healthy fovea with no subretinal fluid



secondary to radiation retinopathy and optic neuropathy may occur in up to 30% of patients [58].

#### 12.2.4.7 Anti-VEGF Treatment

Single or multiple intravitreal injections of anti vascular endothelial growth factor (VEGF) e.g. Bevacizumab with or without PDT or TTT has been tried to resolve SRF [60, 61].

#### 12.2.4.8 Oral Beta Blockers

Oral propranolol has been recently found to be efficacious for partial or complete resolution of SRF associated with CCH [62, 63].

### 12.2.5 Visual and Anatomical Prognosis

Shields et al. have noticed complete resolution of SRF in patients with shorter duration of symptoms and tumor location in inferior quadrant. Poor initial visual acuity, delay in referral and failed prior laser photocoagulation are predictors of poor final visual acuity [5]. According to Schilling et al., a successful functional outcome is dependent on the time interval between the onset and commencement of therapy and formation of subretinal fibrosis. Subretinal fibrosis has been described as consistent finding after the treatment, which was seen irrespective of the treatment choice or duration of treatment and was responsible for poor visual prognosis [53].

## 12.3 Diffuse Choroidal Hemangioma

Diffuse choroidal hemangioma is a benign vascular tumor with ill-defined borders and widespread extension in the posterior choroid involving almost entire choroid [1]. It is associated with Sturge-Weber syndrome, an encephalotrigeminal angiomatosis that is a triad of facial, ocular and cerebral vascular malformations. Diffuse choroidal hemangioma can be present in 30–50% of patients with Sturge-Weber Syndrome [3, 64].

### 12.3.1 Clinical Features

#### Symptoms

Diagnosis of diffuse choroidal hemangioma is usually forthright in the presence of Sturge-Weber syndrome. The typical cutaneous features in the syndrome encourage an early ocular examination in these patients. Thus it is frequently diagnosed at a young age in the eye ipsilateral to the nevus flammeus [64]. The choroidal involvement is mostly unilateral, however bilateral diffuse choroidal hemangioma have been described in association with bilateral facial nevus flammeus [3].

Patient with diffuse lesions may also present with visual deterioration caused by amblyopia. Significant amblyopia can either occur due to hyperopic refractive error, foveal distortion or from a secondary exudative retinal detachment [1]. The pupil shows a bright red reflex in the involved eye in contrast to the normal reflex in the opposite pupil [65].

However, if asymptomatic or in presence of subtle clinical features at presentation, diagnosis may be delayed.

#### Signs

Diffuse choroidal hemangioma shows an extensive orange-red thickening of the posterior choroid, classically described as ‘tomato-catsup fundus’, which is predominantly seen in the macular area. These are very large lesions and often extend anterior to the equator. There may be concomitant exudative retinal detachment with macular involvement, cystoid degeneration in the sensory retina over the tumor surface with RPE disruption and photoreceptor loss [23].

An exhaustive ocular examination is crucial to assess various ocular signs in a neuro-oculocutaneous hemangiomatosis. These include ipsilateral congenital or developmental glaucoma (70%), asymmetry of the optic cup with enlargement of optic disc, conjunctival/episcleral hemangioma (70%) and choroidal hemangiomas (50%) [64, 66]. Other ocular manifestations such as retinal vascular tortuosity, iris heterochromia, retinal detachment, and strabismus are also described [64, 67].

### 12.3.2 Ancillary Investigations

Diagnosis is predominantly clinical but sometimes, posterior polar lesions may not be instantaneously discernable on fundus examination due to diffuse boundaries of the tumor [68]. The anterior extension of the lesion can be easily recognized on indirect ophthalmoscopy, wide-angle photography and angiography.

Ultrasonography reveals a diffuse thickening of choroid with medium to high internal reflectivity. FFA demonstrates extensive involvement with persistent hyperfluorescence over the late phases of the angiogram. No 'washout' phenomenon is noticed on ICG angiography as in circumscribed choroidal hemangiomas. Diffuse lesions share similar features as CCH on SD-OCT, autofluorescence and MRI [10].

### 12.3.3 Histopathology

Proliferation of small along with the large blood vessels is seen in diffuse choroidal hemangioma and is usually classified as a mixed hemangioma [1].

### 12.3.4 Management

Treatment of diffuse choroidal hemangioma is challenging. Decision for treatment is taken after assessment of visual symptoms and potential for visual recovery.

Asymptomatic cases that lack subretinal fluid and lesions with subtle clinical features at presentation are usually considered for observation. These untreated lesions may present later in life with advanced disease or complications and poor visual prognosis [68].

Currently, radiotherapy and photodynamic therapy are the preferred modalities of treatment.

#### 12.3.4.1 Radiotherapy (External Beam Radiotherapy and Proton Beam Radiotherapy)

These tumors are classically treated with lens sparing EBRT (1250–2000 cGy). However,

EBRT takes months for absorption of subretinal fluid and there is probability of recurrence or persistence of fluid requiring additional radiotherapy [23, 36, 37, 53, 69, 70]. EBRT and proton beam radiotherapy [54, 71] have been demonstrated as an effective treatment of these lesions with regression of tumor and resolution of subretinal fluid. The potential side effects secondary to radiation exposure and damage to overlying retina with subsequent decrease in vision need to be considered.

#### 12.3.4.2 Plaque Radiotherapy

More targeted radiation can also be utilized to treat these lesions with the plaque (Cobalt-60 and Ruthenium-106) placement over the thickest part of the tumor [57, 72]. These treatment modalities help in tumor regression and complete resolution of the exudation in majority along with glaucoma control in some cases [73]. Prompt clinical response and lesser complication rate make this a safe and effective alternative for diffuse lesions [72].

#### 12.3.4.3 Photodynamic Therapy

Photodynamic therapy is a relatively non-invasive and efficient treatment for diffuse choroidal hemangioma in lesions with shallow SRF. Similar results, in terms of regression of the tumor and resolution of exudative RD, are noted after a single spot over the thickest part of the tumor or multiple non-overlapping spots in single or multiple sessions. Variable improvement in visual acuity is expected depending upon the degree of amblyopia [68, 74–77]. However, following PDT the exudative response of the tumor may increase [78]. There are certain advantages of PDT over various forms of radiotherapy such as no radiation exposure, minimal side effects and ease of delivery. Thus, it can be considered in selected cases of diffuse hemangiomas.

#### 12.3.4.4 Miscellaneous

Novel treatment options has been recently initiated in treatment of diffuse choroidal hemangioma such as anti-VEGF pharmacotherapy and beta blockers.

Shoeibi et al. demonstrated that an early single injection of intravitreal bevacizumab is effective in choroidal hemangiomas associated with

Sturge-Weber syndrome [79]. The effect of single injection of pegaptanib has also been described in post-EBRT persistent Exudative RD in a patient with SWS [80].

Propranolol (2 mg/kg/day) has also been shown to hasten the absorption of exudative RD. It is hypothesized that the Propranolol alters the endothelial cells, vascular tone, angiogenesis, and apoptosis [81]. Extensive secondary RD may require drainage of SRF and/ or scleral buckling with pars plana vitrectomy and injection of gas and endolaser [1].

#### 12.3.4.5 Supportive Treatment

Amblyopia can be tackled with refractive correction and amblyopia therapy [1]. Glaucoma management is challenging in patients with diffuse choroidal hemangioma. Medical treatment is effective in few patients and majority of patients require surgical intervention [82]. Prostaglandin analogs should be avoided while treating associated glaucoma in patients with Sturge-Weber syndrome, as they can precipitate or worsen the exudative complications. Risk of postoperative serous or hemorrhagic choroidal detachment following glaucoma filtering surgery is also present [83].

#### 12.3.5 Prognosis

According to Schilling et al., associated secondary glaucoma in cases of diffuse choroidal hemangioma is a predictor of post treatment poor visual outcome [53].

### 12.4 Conclusion

Choroidal hemangioma, though rare, is the most common vascular tumor of the uveal tract. It can be accurately diagnosed by a good clinical examination and ancillary investigations. It is associated with good visual outcomes when detected early and treated appropriately.

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# Metastatic Tumors of the Uvea

# 13

Yusra F. Shao, Jose J. Echeagaray,  
and Arun D. Singh

## 13.1 Introduction

Tumor metastasis to the eye is a rare phenomenon. Some malignant tumors such as breast and lung cancer are most frequent primary tumors to metastasize to the eye [1–4]. More rarely, cutaneous melanomas, gastrointestinal, thyroid, renal and prostate cancers metastasize to the eye. Ocular metastasis may present in the setting of a known primary malignancy or in absence of a known primary tumor [1–3]. Uveal metastasis may be the presenting feature at the time of diagnosis of lung cancer in up to 44% of cases [5]. In rare cases, the history of prior cancer may be so remote that the patients may not readily recall details of prior cancer treatment [6]. In all suspected cases of metastases, a thorough work up is necessary to diagnose the primary malignancy. Intraocular metastasis is predominantly uveal in location, most frequently to the choroid (88%) but also to the iris (7.8–9%) and ciliary body (2%) [3], with rare exception of retinal metastasis [7]. In the chapter, we will explore the common presenting symptoms, findings, and diagnostic work up for uveal metastasis.

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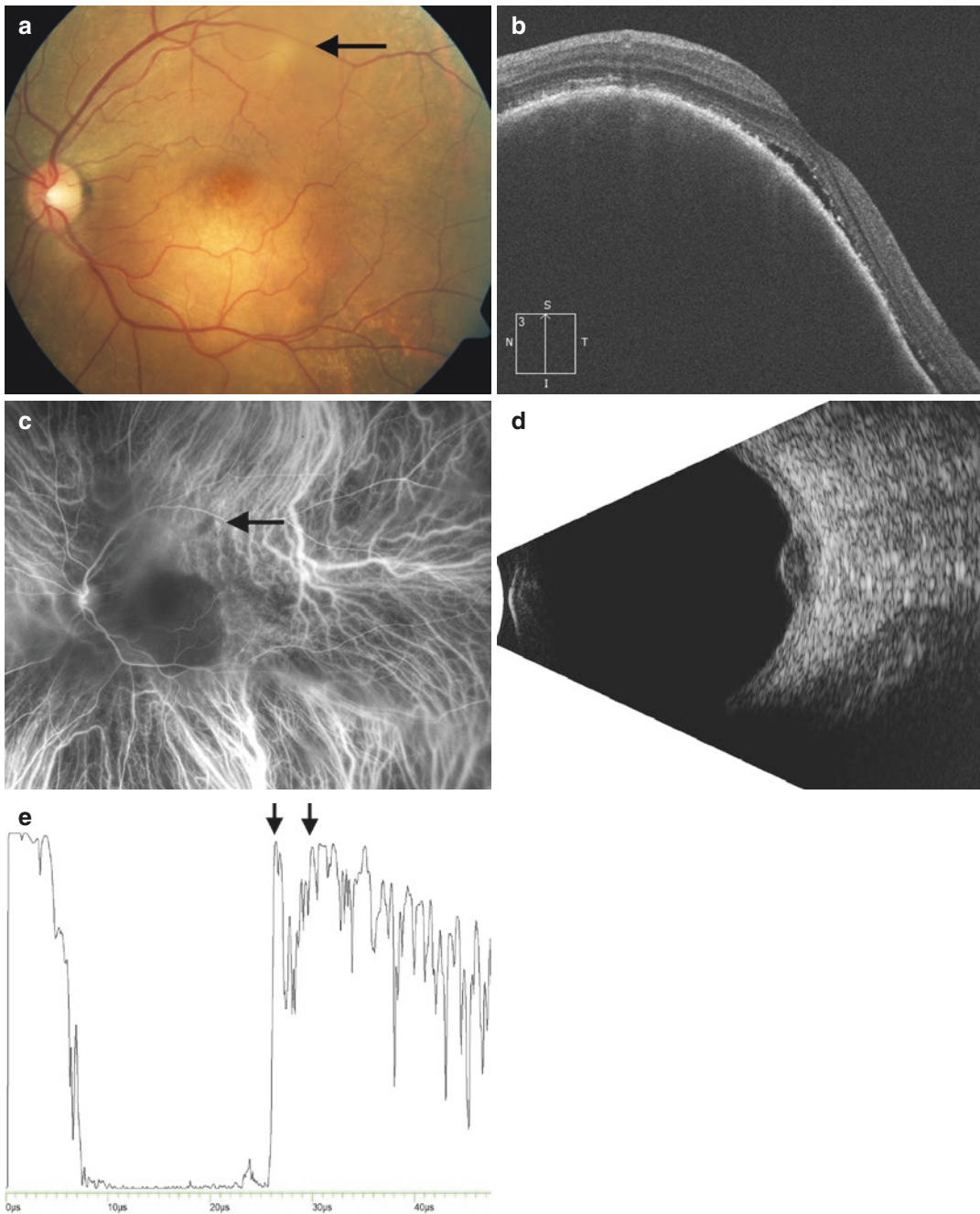
## 13.2 Presenting Symptoms

The presenting symptoms may vary according to the site of tumor metastasis within the uvea. Choroidal metastasis may present with blurred vision, floaters and/or photopsia. Metastasis to the anterior uveal segment (ciliary body and iris) may present as iritis, hyphema or as secondary glaucoma [8]. In exceptional cases, patients may be asymptomatic, and a tumor is visualized during ocular exam for other diagnostic purposes [9].

## 13.3 Examination

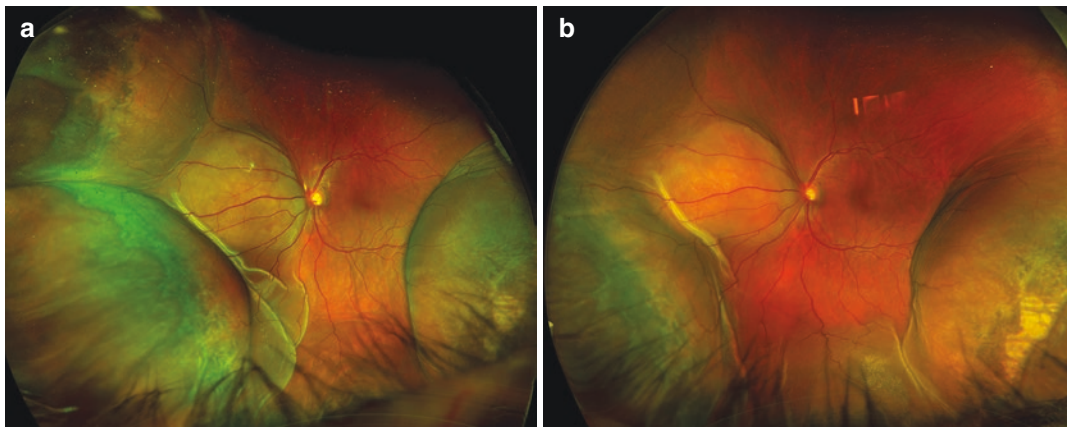
Visual acuity may be significantly decreased if the tumor involves the macula. There may be an accompanying visual field defect. Intraocular pressure may also be elevated in the setting of large tumor. Slit lamp exam may reveal edema and inflammation of the anterior chamber and iritis. Hyphema or pseudohypopyon may also be present where tumor cells layer in the anterior chamber [8].

Iris metastases present as yellow-to-white nodule with visible intrinsic vessels and frequent seeding in the angle. Ciliary body metastases present as yellow sessile or dome shaped lesions with visible episcleral sentinel vessel. Choroidal metastatic tumors often appear pale-yellow, placoid or dome (Fig. 13.1) shaped lesion with indistinct margins and overlying



**Fig. 13.1** A 67 year old female with stage 4 adenocarcinoma of the lung, positive for EGFR mutation, presented with blurred vision in the left eye (20/50). Right eye fundus examination was normal. In the left eye, a yellow choroidal mass (a) involving the macula ( $6.0 \times 6.9 \times 1.5$  mm). Note a smaller lesion ( $1.0 \times 1.0 \times$  flat) in the superior macula (arrow). Associated shallow sub retinal fluid was

confirmed by OCT (b). ICG revealed hypofluorescence (lack of intrinsic vascularity) in all phases of the angiogram (c). USG B-scan shows a placoid shape (d) with medium high internal reflectivity on A-scan (e, between arrows). MRI of the brain excluded intracranial lesions. In consultation with her oncologist, she will be treated with osimertinib



**Fig. 13.2** A 60 year old male with stage 3b poorly differentiated lung adenocarcinoma, diagnosed 5 months ago, status post chemotherapy, radiation and targeted therapy presented with pain, blurred vision, redness and tearing of the left eye. Most recent CT scan showed progression of disease. On exam, visual acuity was 20/20 bilaterally. Slit lamp exam was significant for chemosis of the left eye and narrow angle. Dilated fundus exam revealed a dome shaped lesion nasal to the disc

(10.5 × 9.5 × 4.9 mm) with associated ciliochoroidal and exudative retinal detachment (a). Ultrasound showed the lesion to be slightly irregular and highly reflective. The left eye was treated with radiation (30 Gy, 10 fx), prednisone taper for secondary scleral inflammation. Fifteen days after completion of radiation therapy, ciliochoroidal and retinal detachment were partially resolved (b). However, systemic disease progressed and the patient was transitioned to hospice and expired

retinal pigment layer may have a leopard-spot-like appearance. Exudative retinal detachment (Fig. 13.2) and subretinal fluid (Fig. 13.3) is often present with choroidal metastasis. Carcinoid, renal cancer and thyroid cancer metastasis, being orange colored, may simulate a choroidal hemangioma. Melanocytic dome shaped tumor is typical for metastatic cutaneous melanoma [10].

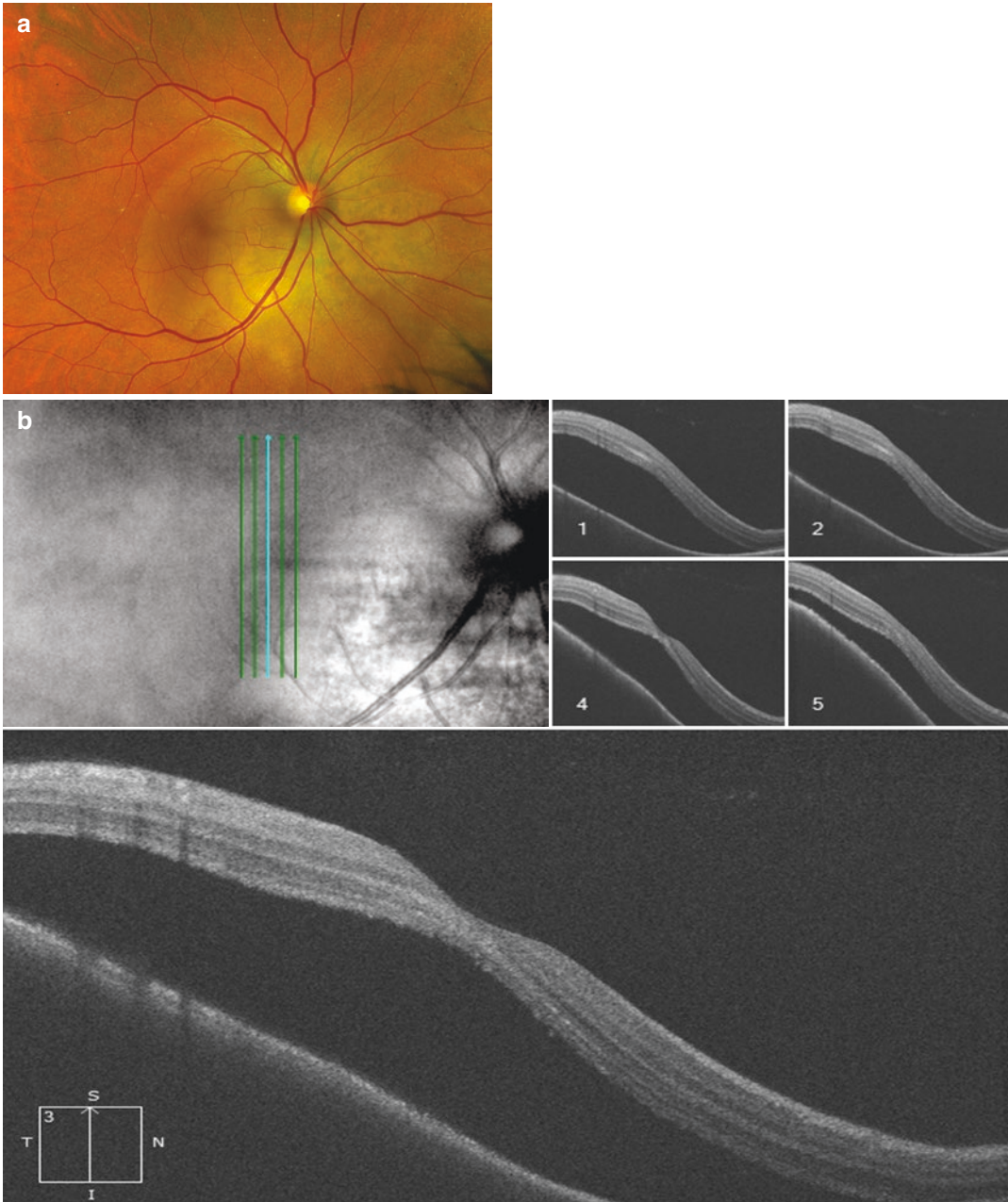
### 13.4 Differential Diagnosis

Appearance of the tumor can help delineate metastatic lesions from primary ocular tumors. The differential diagnosis of uveal metastasis includes primary ocular tumors such as amelanotic uveal melanoma, choroidal hemangioma, scleritis, and inflammatory granuloma. Primary ocular tumors such as uveal melanoma is typically well vascularized while metastatic lesions are typically avascular. Primary tumors are often unifocal while metastatic tumors tend to be mul-

tifocal or bilateral (Fig. 13.1). Careful examination of the unaffected eye to detect subclinical metastasis is therefore of importance to establish the diagnosis of uveal metastases.

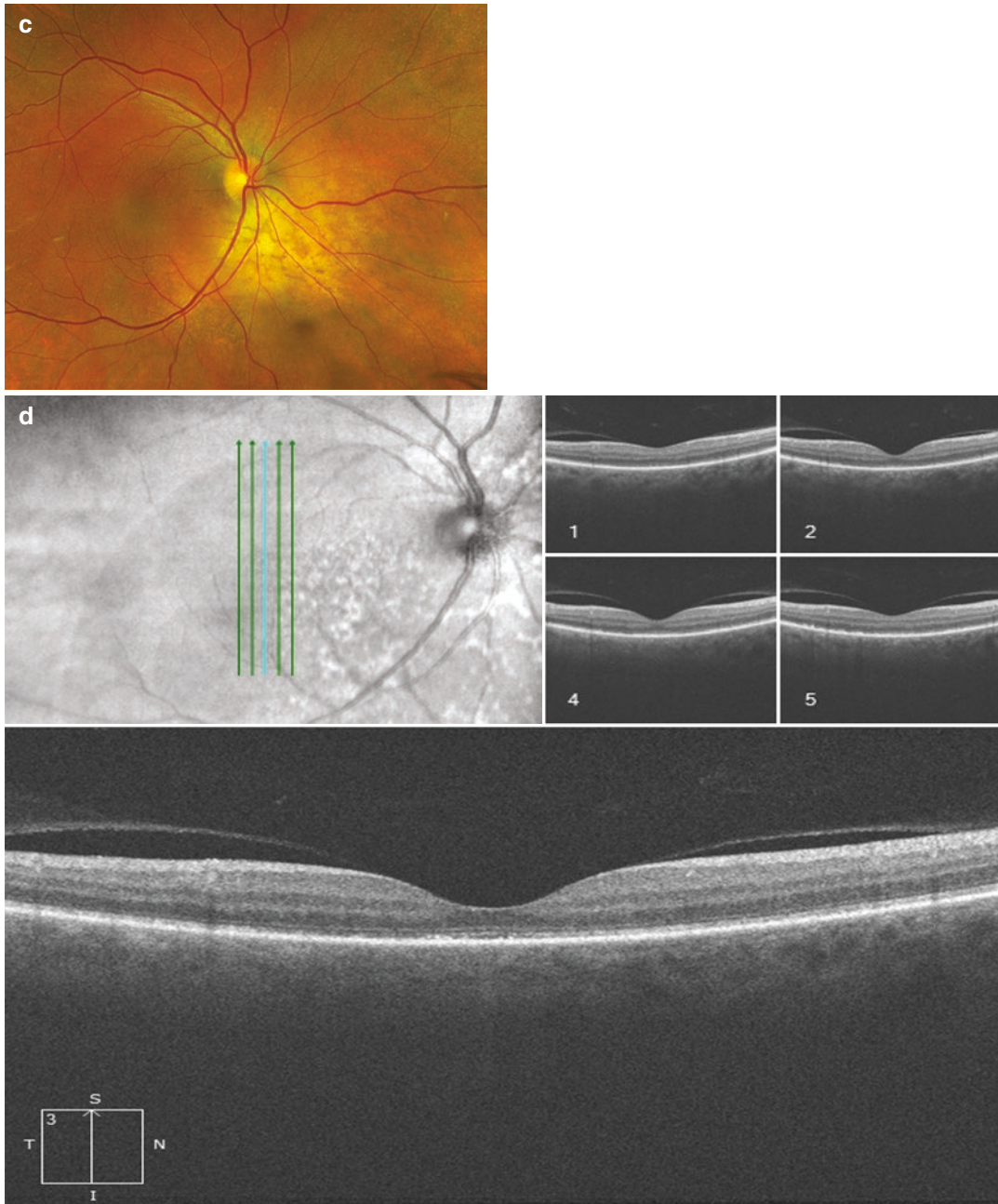
### 13.5 Diagnostic Testing

Optical coherence tomography (OCT) can detect shallow sub retinal fluid (Figs. 13.1 and 13.3), demonstrates an irregular (lumpy-bumpy) anterior surface, and, in smaller lesions reveal internal composition of the chordal mass [11, 12]. Angiography, particularly ICG can help differentiate between primary uveal tumor from a metastatic lesion. Primary tumors often have intrinsic vasculature whereas metastatic tumors are usually avascular (Fig. 13.1). Ultrasonography B reveals a placoid or dome shaped uveal mass (Fig. 13.1) with exudative retinal detachment. Internal reflectivity (A scan) can range from medium to high reflectivity (Fig. 13.1).



**Fig. 13.3** A 61 year old female with stage 4 adenocarcinoma of the lung, positive for EML4-ALK gene translocation diagnosed 2 years ago and complicated by malignant pleural effusion status post chemotherapy and targeted therapy, currently on Crizotinib, presented with blurry vision in the right eye of 3 weeks. Most recent staging CT showed slight increase in pleural metastasis. Ophthalmic exam showed decreased vision in the right (20/400) compared to left (20/20) with normal anterior segment findings. Right eye fundus examination revealed

a circumpapillary, amelanotic choroidal mass (a) involving the fovea ( $13.0 \times 8.5 \times 2.6$  mm) with associated shallow sub retinal fluid (b). MRI of the brain excluded intracranial lesions. Per oncologist, Crizotinib was switched to Alectinib. Repeat eye exam in 1 month showed improved vision in the right (20/60), interval decrease in tumor height to 1 mm (c) and resolution of subretinal fluid involving the fovea (d). Similarly, interval decrease in size of pleural nodules was observed. Patient continues to be followed with serial exams



**Fig. 13.3** (continued)

**13.5.1 Systemic Evaluation**

If the primary tumor is known, CT brain, chest, abdomen, and pelvis may be indicated to evaluate for systemic progression of the primary tumor. In most cases, metastatic disease is revealed on fur-

ther imaging [4]. If the primary tumor is unknown, a search is undertaken using full body PET/CT to identify the primary malignancy, keeping in mind that breast and lung are the most frequent site of primary tumor in case with uveal metastases [1, 3]. Discussion with the primary oncologist may

help further guide diagnostic imaging. Biopsy of the uveal metastasis is considered, if the initial imaging studies are inconclusive [13, 14].

### 13.6 Treatment

Multiple treatment options exist. In general, the treatment is guided by the type of primary tumor and extent of metastasis within the eye and elsewhere. Treatment decisions are also made in consultation with the primary oncologist. Systemic therapy is a preferred option particularly in presence of systemic disease or when the primary tumor is known to be chemotherapy or immunotherapy sensitive, such as a lung cancer (Figs. 13.1 and 13.3) [5, 15]. Hormonal therapy can be used for metastatic breast cancer [6].

Radiation therapy is often successful for both focal and diffuse lesions. External beam radiation and proton beam irradiation can be used especially for multiple diffuse lesions (Fig. 13.2) [16–18]. Episcleral plaque brachytherapy is indicated for focal solitary lesions [18–20]. Transpupillary thermotherapy and photodynamic therapy are effective for small, solitary, choroidal lesions with thickness of less than 3.5 mm and minimal subretinal fluid, if systemic therapy has failed or intolerable due to toxicity [21].

Successful systemic and radiation therapy decreases tumor size and associated subretinal fluid and retinal detachment (Figs. 13.2 and 13.3). Enucleation is considered a last resort for local tumors and is an option in patients with a blind painful eye [5]. Advanced cases of systemic metastasis, with low chance of recovery, are considered for supportive hospice care.

### 13.7 Prognosis

With local therapy, which is palliative in intent, complete or partial vision can often be restored, intraocular pressure decreased, and exudative retinal detachment partially or completely resolved in majority of the cases. Overall survival prognosis depends on the nature of primary malignancy, extent of spread, and available treatment options

as determined in consultation with the primary oncologist.

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# Miscellaneous Intra-Ocular Tumours

# 14

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Uveal melanomas are the most common primary intraocular tumors in adults and account for total of 79–81% of all the ocular melanomas. They can be divided as anterior tumors (iris) and posterior tumors (ciliary body and choroid). The most common neoplasm is observed in the choroid accounting for 80% of all uveal melanomas followed by ciliary body (10%) and iris (10%). Uveal melanomas account for 75% malignancy of the intraocular tumors. The incidence is 6 per 100,000, about 0.003% of all cancers. The mean reported age of diagnosed uveal melanoma is 43.7 years in Chinese, 51.6 years in American blacks, 55.2 years in Japanese, and 52.4 years in the Hispanic population [1–7].

Ciliary body tumors remain asymptomatic for a long period of time as most often they go undetected. Primary tumors of the ciliary epithelium are classified as congenital and acquired. The *congenital* tumors include: Glioneuroma and medulloepithelioma; *Acquired* tumors include Fuchs adenoma (psuedoepitheliomatous hyperplasia) and ciliary body non pigmented, pigmented or mixed epithelial tumours: adenoma and adenocarcinoma [8].

*Medulloepithelioma*: is an embryonal neoplasm arising from the primitive medullary epithelium or inner layer of optic cup and appears in the first decade of life. Zimmerman classified it into teratoid and nonteratoid types. The non teratoid medulloepithelioma (Diktyoma) is a proliferation of cells of the medullary epithelium. The teratoid medulloepithelioma has heteroplastic appendages like cartilage, skeletal muscle and brain tissue. Both the types can be either as benign or malignant [9]. There is no population based information on the incidence or prevalence of these tumors and majorly consists of single case reports in literature [8, 9]. These tumors are slow growing tumors and are mostly overlooked due to the secondary complications and usually go undetected.

On slit lamp evaluation they appear as irregular shaped, with smooth surface and grey to fleshy pink colour. Visual loss is attributed to cataract formation (lens notch) and secondary glaucoma (neovascular glaucoma). It has a characteristic cyclitic membrane giving the appearance of PHPV. It can be distinguished as it appears a sheet of neoplastic membrane that migrated from the main retina to the anterior vitreous. The major differential diagnosis that need to be ruled out are retinoblastoma and Persistent hyperplastic primary vitreous.

**Histopathology:** Medulloepitheliomas are characterized by cords of primitive neuroepithelial cells that resemble the embryonic retina or neural tube surrounded by a loose mesenchy-

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mal tissue rich in hyaluronic acid. The teratoid medulloepithelioma is characterized by the presence of heteroplastic tissue, neuroblastic tissue [10].

They may present with cysts inside the tumor, chalky white opacities with calcification on USG. The diagnosis of medulloepithelioma can be made best by clinical recognition in the first decade of life. Fine needle aspiration biopsy plays an important role in the diagnosis of medulloepithelioma [11].

MRI and ultrasound have limited role in diagnosis [9, 10].

Treatment:

- (a) Local Resection of tumour is considered if tumour is small, well circumscribed (<3-clock hour), as initial management but has high recurrence rate.
- (b) Iridocyclectomy
- (c) Enucleation is indicated if the tumour has a friable appearance or there is adjacent free floating cyst [9].

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## 14.1 Acquired Neoplasm

These are tumours of the pigmented and non pigmented ciliary epithelium. They are divided into benign (adenoma) and malignant (adenocarcinoma). The clinical onset occurs in adulthood with a mean age of 45 years, with no sex predilection.

The patients remain either asymptomatic or present with painless loss of vision, with a white to tan coloured, irregular, multilobular mass. The patient can present with anterior chamber flare and cells, focal cataract and a subluxed lens. A sentinel vessel can occur in episcleral tissue overlying the tumor.

Histopathologically acquired neoplasms are of 5 types:

Pseudoadenomatous, hyperplasia, adenoma, adenocarcinoma. The latter two are further classified as solid, papillary and pleomorphic. The tumour of non pigmented epithelium of ciliary body occur internal to the pigment epithelium whereas a melanoma is located external

to pigment epithelium and are pigmented with a smooth surface and has a mushroom shaped appearance.

The main consideration in the differential diagnosis are ciliary body melanoma, medulloepithelioma, adenoma or adenocarcinoma of the ciliary pigment epithelium, leiomyoma, neurilemoma, metastatic carcinoma, and granuloma. Medulloepithelioma is a congenital tumor in onset and tends to be more cystic with an associated lens notch, iris neovascularization and persistent primary vitreous. Leiomyoma occurs in younger patients and have a smooth surface and is less likely to cause cells in the vitreous. Metastatic carcinoma will have a positive history of tumour elsewhere. The granuloma of ciliary body is associated with severe uveal inflammation.

The diagnosis can be ascertained by transillumination as the tumour transmits light well, whereas melanoma will block the transmission. In B-scan ultrasonography the tumour shows abrupt elevation, acoustic solidity and high internal reflectivity. Magnetic resonance imaging offers limited knowledge at differentiating adenoma from melanoma due to similar imaging features.

The treatment options includes local resection and enucleation [12].

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## 14.2 Retinal Astrocytoma

A variety of benign and malignant tumors are known to involve the retina, the more common tumors include retinoblastoma, primary intraocular lymphoma and angiomas of retinae, however, astrocytic tumors of the retina are quite rare. These tumors sometimes are found to be solitary without the stigmata of phakomatosis or may present with multiple tumors in association with tuberous sclerosis complex (TSC) or neurofibromatosis. It has been rarely associated with retinitis pigmentosa [13]. The finding of more than one retinal hamartoma has been determined to be significant and specific to retain as a major feature for diagnosis of TSC, as the lesions have similar histologic features to the tubers located in the brains of TSC patients [14].

They are classified as massive retinal gliosis (MRG), astrocytic hamartoma, or acquired astrocytoma.

MRG is a non-neoplastic tissue that occurs in response to eye disorders such as inflammation, trauma or infection. They tend to occur in the peripheral retina. The presence of MRG pathology indicates previous retinal inflammation, retinal pigment epithelial proliferation and calcification [13, 15].

Astrocytic hamartoma are benign and stable tumor and can be either solitary or multiple in numbers, most often associated with tuberous sclerosis or neurofibromatosis. The hamartoma contain giant astrocytes that are often nonreactive or weakly positive for glial fibrillary acidic protein, and there is a high frequency of calcification [15].

Acquired retinal astrocytoma is a benign tumor that occurs sporadically and is not associated with TSC. They generally arise from the optic disc or retinal juxtapapillary area. They are of two types, one being progressive and cause intraocular damage that includes exudative retinal detachment, neovascular glaucoma, central retinal vein occlusion and tumor necrosis with secondary intraocular inflammation, which ultimately can result in a painful blind eye [16] while the other is a stationery type of tumor.

Retinal traction, retinal pigment epithelial alterations, and extensive yellow retinal exudation are typically found with astrocytic tumors. Differential diagnoses that need to be ruled out are retinoblastoma, choroidal melanoma or other malignant tumors [15, 16].

Serafino et al. described EDI-OCT features in 86 eyes of 47 patients with retinal astrocytic hamartoma and classified the tumors into type I (42%), type II (26%), type III (20%), and type IV (12%). They described:

- Type I as flat and generally in the nerve fiber layer
- Type II with slight elevation of the nerve fiber layer and retinal traction

- Type III with “moth-eaten” lucent areas suggestive of calcification involving inner and outer retina
- Type IV with optically empty intralesional cavities [17].

The treatment options include enucleation, endoresection, brachytherapy and photodynamic therapy and unfortunately all of these therapies are associated with some risk for potential visual acuity damage [13–15].

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### 14.3 Conclusion

While it may be difficult to differentiate astrocytic tumors or medulloepitheliomas on clinical evaluation itself due to rare occurrence and late detection of such tumors and thus may masquerade other tumor phenotypes. A thorough comprehensive examination is entitled that take into account the clinical findings, along with the medical histories and the type of complications associated with each tumor that can assist in making the correct diagnosis.

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# Various Syndromes with Benign Intraocular Tumors

# 15

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## 15.1 Introduction

A selected group of benign intraocular tumors are part of neuro-oculo-cutaneous syndromes (syn: phakomatoses) with the common features of familial inheritance, variable expressivity, multi-system involvement with potential for malignant transformation. They are often associated with a genetic defect, commonly a loss of function of a tumor suppressor gene.

Neuro-oculo-cutaneous syndromes are often associated with benign vascular tumors of the retina and choroid, benign glial cell tumors and hamartomas involving the retina and retinal pigment epithelial cells.

The following conditions will be discussed in this chapter:

- (a) von-Hippel Lindau Syndrome
- (b) Wyburn Mason Syndrome
- (c) Cavernous hemangioma of the retina
- (d) Sturge-Weber Syndrome
- (e) Tuberous sclerosis
- (f) Neurofibromatosis type 1

- (g) Syndromes associated with combined hamartoma of the retina and retinal pigment epithelium (CHRRPE)
- (h) Syndromes associated with congenital hypertrophy of retinal pigment epithelium (CHRPE)

## 15.2 von-Hippel Lindau Syndrome

von-Hippel Lindau (VHL) syndrome is characterized by retinal and central nervous system (CNS) hemangioblastomas, pheochromocytomas, multiple pancreatic, renal and epididymal cysts. Renal cysts have the potential of malignant transformation to renal cell carcinoma. VHL syndrome is distinct from other phakomatoses in that it does not have major cutaneous features, with the occasional occurrence of dermal nevi or café au lait spots [1].

### 15.2.1 Epidemiology [2]

Incidence—1:32000 live births.

No sexual predilection.

Age at diagnosis: infancy to 70 years (Average age-26 years).

### 15.2.2 Genetics and Pathophysiology

Inheritance—Autosomal dominant. It has almost 100% penetrance by 65 years of age [3]. An individual inherits a germline mutation wherein one

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copy of the VHL allele is inactive in all the cells of the body. A somatic mutation of the other allele increases the probability of tumor development (Knudson's two-hit hypothesis) [4].

The VHL gene is located on the short arm of chromosome 3 (3p25.3). The VHL gene is a tumor suppressor gene and encodes a 213 amino acid protein (pVHL).

The major function of pVHL is its role in oxygen sensing pathway. Hypoxia inducible factor- $\alpha$  (HIF- $\alpha$ ) undergoes hydroxylation under normoxic conditions. Once modified by the -OH group, HIF- $\alpha$  is captured by pVHL and delivers HIF- $\alpha$  to an E3-ubiquitin ligase complex, and subsequent proteasomal degradation. Mutation in VHL results in inappropriate stabilization of HIF- $\alpha$ , and leads to over expression of hypoxic signaling even in the presence of oxygen [5].

Various genes involved in oxygen transport, angiogenesis, and anaerobic energy metabolism are up regulated in the absence of pVHL. pVHL is also involved in regulation of senescence, cytokine signaling, collagen IV assembly into the extracellular matrix, regulation of a normal

extracellular fibronectin matrix and tumor suppression [5].

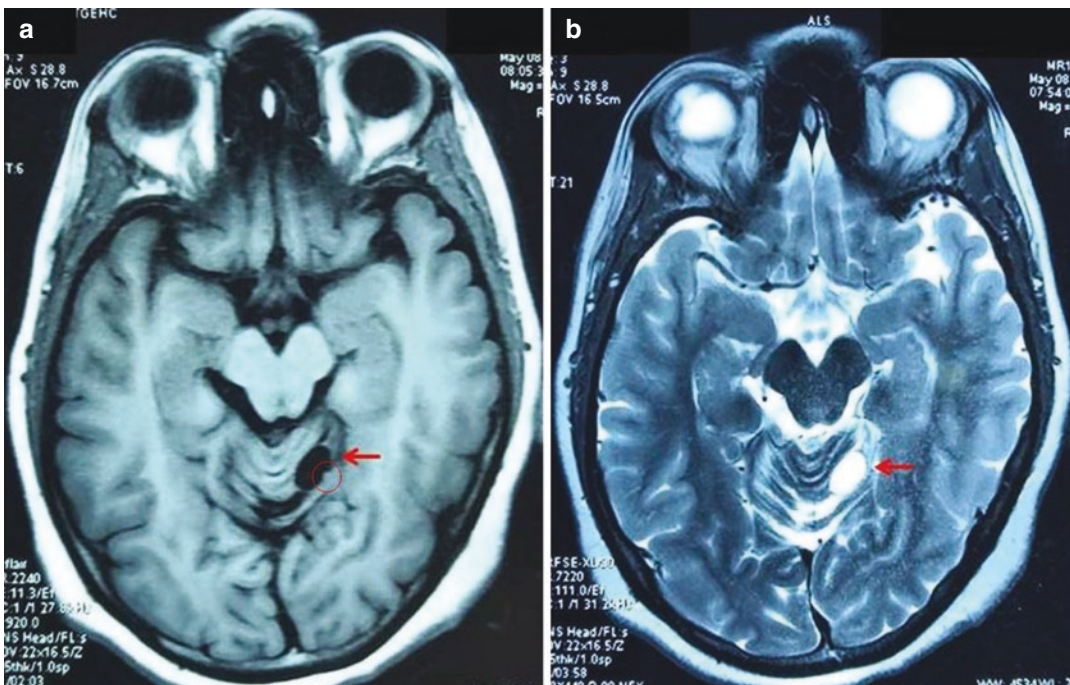
Increase in vascular endothelial growth factor (VEGF) in VHL syndrome is believed to heighten formation and growth of retinal capillary hemangioblastoma [6], and renal cell carcinoma [7].

### 15.2.3 Systemic Features

Systemic manifestations of VHL include CNS hemangioblastoma (Fig. 15.1), pheochromocytoma, clear cell renal cell carcinoma, renal cysts (Fig. 15.2), pancreatic cysts, endolymphatic sac tumor and several other tumors and cysts. Renal cell carcinoma is the leading cause of mortality in patients with VHL, occurring in 5% of patients by age 30 and 40% by 60 years of age.

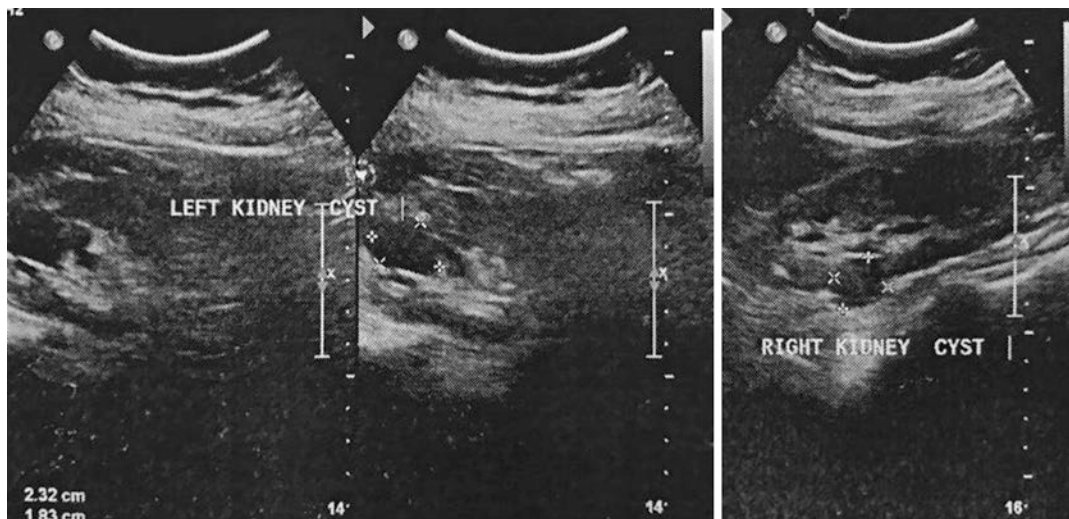
Systemic features of VHL syndrome is summarized in Table 15.1 [8–13].

The management options for the systemic features of VHL syndrome are summarized in Table 15.2 [8–13].



**Fig. 15.1** MRI brain of a patient with VHL. Oval shaped lesion (arrow) hypo intense on T1 (a) and hyper intense on T2 weighted image (b) suggestive of a cyst. Tiny hyper

intense nodule (red circle) is seen at the wall of cyst suggestive of hemangioblastoma



**Fig. 15.2** Abdominal ultrasound image of a patient with VHL showing bilateral renal cysts

### 15.2.4 Ocular Features

Retinal capillary hemangioblastoma (RCH) is the hallmark of VHL syndrome in the eye. RCH may occur as an isolated lesion or as a part of VHL [14]. The mean age at diagnosis is 18 years in patients with VHL and 36 years for sporadic cases [15].

In a patient with solitary RCH, the risk of developing VHL is 45% if the patient is <10 years of age and decreases to 1% if the age at diagnosis is >60 years [9].

RCH can also be associated with other systemic conditions such as Marshall-Stickler syndrome [16].

#### 15.2.4.1 Clinical features

##### Symptoms

Patients can present with decreased vision due to macular exudation, tractional or combined tractional-rhegmatogenous retinal detachment involving the posterior pole. Rarely vitreous hemorrhage may cause floaters.

##### Signs

The smaller RCHs appear as yellow spot between a feeding arteriole and a draining venule (Fig. 15.3). The larger ones appear as orange-red

circumscribed lesions with a dilated tortuous feeding arteriole and a draining venule (Fig. 15.4). Presence of dilated pair of vessels in the posterior pole with macular exudation should prompt one to examine the periphery for presence of RCH (Fig. 15.5). The most common retinal location of these tumors is in the superotemporal quadrant (42%) and in the midperiphery (58%) [17].

Some authors believe that two forms of disease exist—the exudative form and the vitreoretinal form (Fig. 15.6) [18]. Exudation at the macular region is due to subretinal migration of lipid from the peripheral tumor. The proliferation of the epimacular membranes in the vitreoretinal form causes tractional detachment of macula and decreased vision (Fig. 15.7). Traction on the RCH can lead to “free floating” angioma in the vitreous, vitreous hemorrhage and a combined traction-rhegmatogenous retinal detachment.

Juxtapapillary RCHs are ill defined and involves an eccentric part of the optic disc. It differs from the peripheral RCH in that no definite feeder arteriole or a draining venule is seen. It can be endophytic, sessile or exophytic [18].

Endophytic tumor appears as an orange-red lesion (Fig. 15.8). But the sessile and the exophytic tumors does not show the characteristic fundus appearance (Fig. 15.8) and can be misdiagnosed as papillitis, unilateral papilledema, choroiditis,

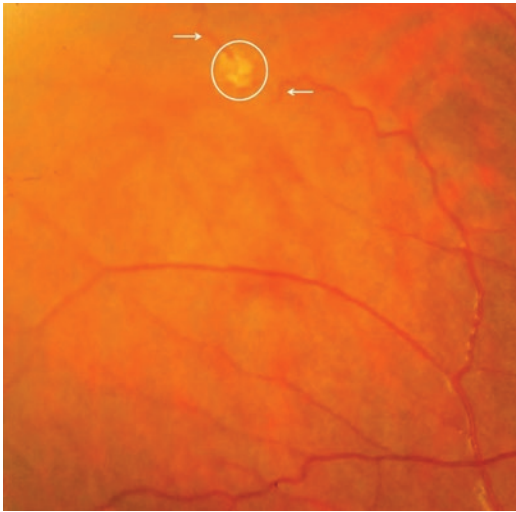
**Table 15.1** Systemic features of VHL syndrome

Prevalence	CNS hemangioblastoma	Renal cell carcinoma	Pheochromocytoma	Endolymphatic sac tumor	Pancreatic neuroendocrine tumors (PNET) or cyst	Epididymal cystadenoma	Cystadenoma of broad ligament
Mean age at presentation	60–80% 33 years	70% (In type 1 and 2B) 40 years	10–20% 30 years	6–15% 31 years	35–70% 36 years	26–60% Unknown	Unknown
Location	Cerebellum (16–69%), brainstem (5–22%), spinal cord (13–53%), Cauda equina (11%), supratentorial (1–7%), pituitary (2–4%)	Multifocal and bilateral	Multifocal and bilateral			Unilateral or bilateral	Unilateral or bilateral
Clinical features	Based on the anatomical location, associated edema, cyst and tumor size • Cerebellum: cerebellar impairment and increased intracranial pressure, gait ataxia, dysmetria, headaches diplopia, vertigo, and emesis • Spinal cord: radiculopathy and myelopathy-hypesthesia, weakness, gait ataxia, hyper-reflexia, pain, and incontinence • Brain stem: cranial nerve impairment-dysphagia • Rare cases: intra-parenchymal or subarachnoid hemorrhage	Usually detected pre-symptomatically during annual imaging	Excessive norepinephrine production leading to hypertension, tachycardia, palpitations, headaches, sweating, pallor, and nausea	Ear fullness, disequilibrium, and hearing loss Larger lesions (>3 cm) can result in facial paresis	Pancreatic exocrine and endocrine deficiency Compression of intestine and bile duct can lead to symptoms	Asymptomatic and detected incidentally	Mostly asymptomatic Rarely present as abdominopelvic mass with symptoms of abdominal discomfort
Clinical course	50% of tumors will increase in size over 5 years	Small renal tumors enlarge slowly (mean < 2 cm/year) Advanced RCC (>3 cm) develop metastatic disease. Leading cause of mortality in VHL	Rarely undergo malignant transformation	Benign but locally aggressive Can erode temporal bone	Neuroendocrine tumors can undergo malignant transformation (8%)	Benign	Benign

**Table 15.2** The management options for the systemic features of VHL syndrome

<p>Diagnosis</p>	<p>CNS hemangioblastoma</p> <p>Contrast enhanced magnetic resonance imaging (MRI) is the modality of choice Can identify tumors as small as 2 mm</p>	<p>Renal cell carcinoma</p> <p>Abdominal ultrasonography and MRI</p>	<p>Pheochromocytoma</p> <p>Plasma free metanephrines is the most sensitive method (97%) Contrast-enhanced MRI of the abdomen is the preferred modality of identifying tumor</p>	<p>Endolymphatic sac tumor</p> <p>Pre and post contrast MRI Audiogram to assess hearing loss</p>	<p>Pancreatic neuroendocrine tumors (PNET) or cyst</p> <p>MRI Additional tests: Endoscopic ultrasound and somatostatin receptor scintigraphy</p>	<p>Epididymal cystadenoma</p> <p>Ultrasonography is the modality of choice</p>	<p>Cystadenoma of broad ligament</p> <p>MRI of the abdomen or pelvic ultrasound</p>
<p>Treatment</p>	<p>Surgical resection of symptomatic tumors. Stereotactic radio surgery in inoperable cases</p>	<p>Individual renal lesions are kept under regular surveillance until it reaches 3 cm diameter when partial nephrectomy is required Renal transplantation would be required as repeated renal surgery will compromise renal function</p>	<p>Surgical resection with partial adrenalectomy Perioperative management with a combination of alpha-adrenergic and beta-adrenergic blockade is necessary</p>	<p>Surgical resection of detectable tumors Complete resection is indicated if intralabyrinthine hemorrhage is noted even in absence of visible tumors on imaging (hemorrhage indicate presence of microscopic tumors)</p>	<p>Observation of asymptomatic tumors Surgical decompression in patients with obstructive symptoms Whipple procedure for PNETs with a potential for metastatic disease</p>	<p>Observation</p>	<p>Observation Surgical resection if symptomatic</p>

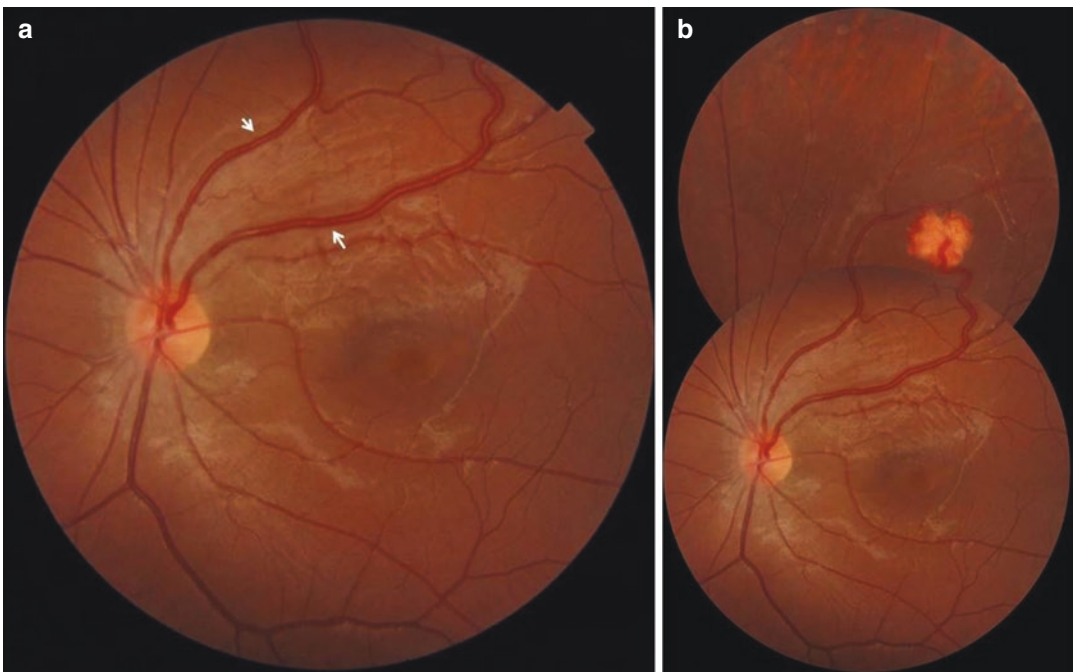




**Fig. 15.3** Fundus photograph of a small RCH seen as a yellow spot (within circle) between a feeding arteriole and a draining venule (arrows)

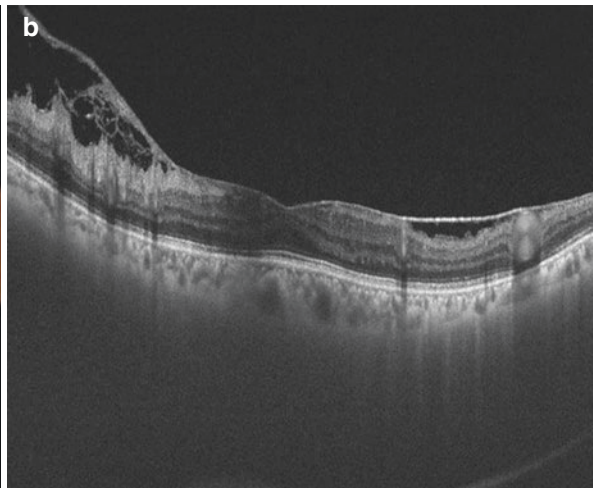
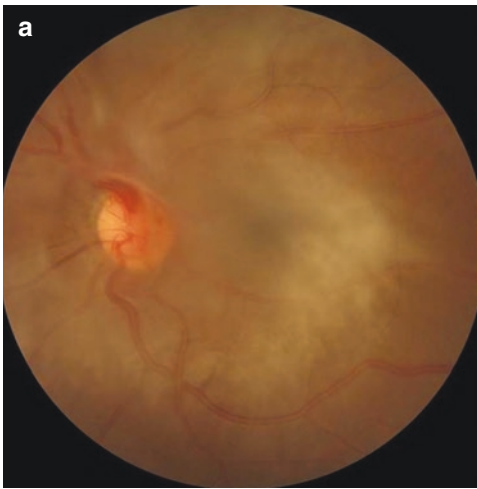
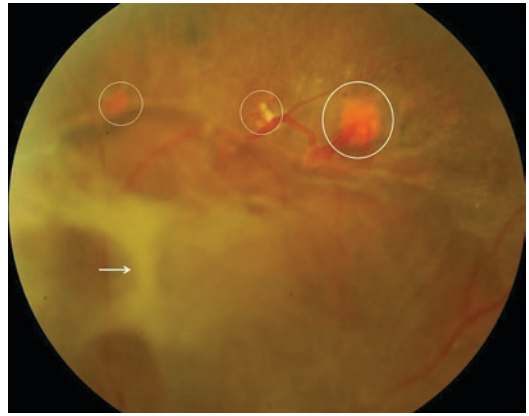


**Fig. 15.4** Fundus photograph of a large RCH. Tumor is orange red in colour with dilated and tortuous feeding arteriole and a draining venule

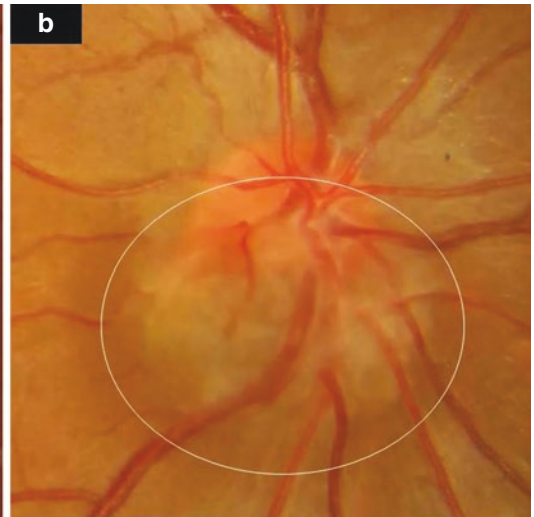
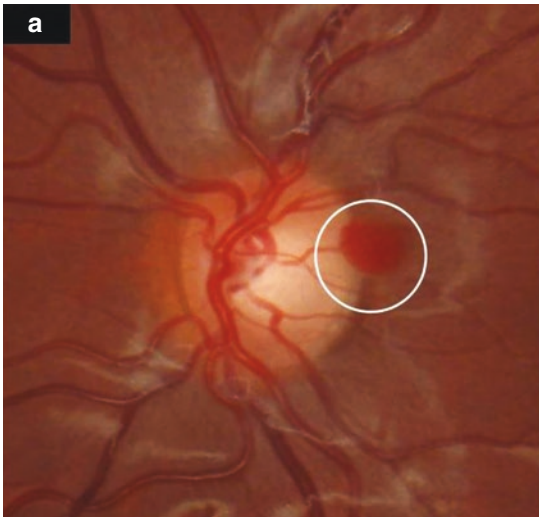


**Fig. 15.5** Fundus photograph of posterior pole (a) showing dilated pair of vessels (arrow). Montage (b) shows a RCH communicating with this pair of vessels

**Fig. 15.6** Fundus photograph of vitreo-retinal form showing extensive fibrous proliferation (arrow) with multiple RCH (circles) in adjacent area



**Fig. 15.7** Fundus photograph (a) and OCT (b) of the posterior pole of the same eye in Fig. 15.6. Extensive proliferation of the posterior hyaloid with partial detachment is seen on OCT



**Fig. 15.8** Fundus photograph of juxtapapillary RCHs. Endophytic form (a) and exophytic form (b)

choroidal hemangioma or choroidal neovascularization [19]. It can be asymptomatic or can lead to peripapillary exudation.

Without treatment, most eyes with RCH progress to total retinal detachment, neovascular glaucoma and painful blind eye. Anterior hyaloid proliferation resulting in ciliary body traction and subsequent hypotony can result in phthisis bulbi.

### 15.2.5 Diagnosis

The diagnosis can be made most often on slit lamp biomicroscopy and indirect ophthalmoscopy with the classic picture of an orange red tumor with a feeding arteriole, draining venule associated with macular exudates. A fundus fluorescein angiogram may be necessary to identify early lesions that are not visible on clinical examination. On fundus fluorescein angiography (FFA) these lesions show intense early phase hyperfluorescence and in late phases tumors either leak profusely (Fig. 15.9) or stain without significant leak. The paired vessels are well delineated as well.

Optical coherence tomography (OCT) aids detection of small RCHs that appear as retinal thickening with mild shadowing of the underlying structures [20]. Tumor exudation results in intra and subretinal fluid, accumulation of hard

exudate and photoreceptor layer rips close to the lesion, that can be demonstrated by the OCT (Fig. 15.10) [21].

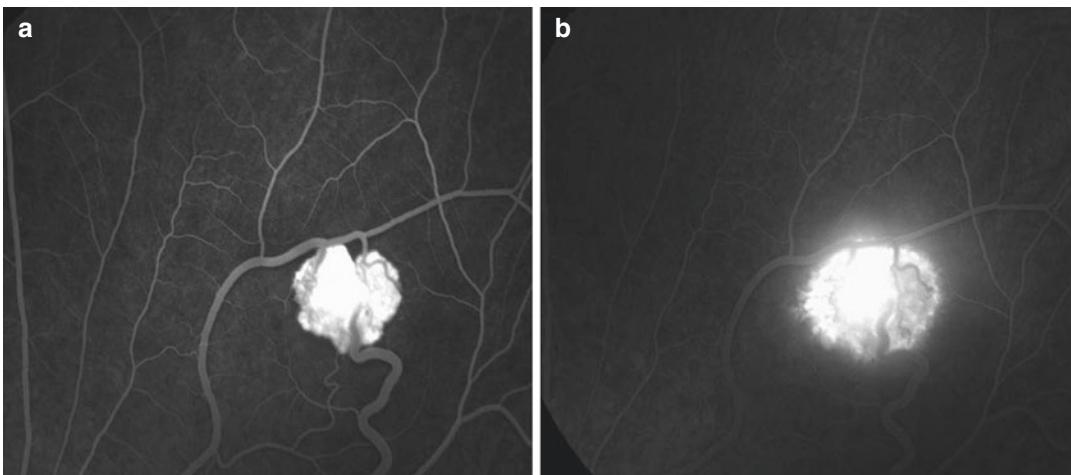
OCT aids in assessment of response to the treatment such as resolution of edema (Fig. 15.11).

Optical coherence tomography angiography (OCTA) allows visualization of the depth of the lesion in the retina in addition to its vascularity. Our preliminary experience of imaging RCH showed that these tumors appear to arise from the superficial retinal capillary plexus and appear bright on OCTA due to their rich vascularity (unpublished data) (Fig. 15.12). Tumors that were indistinct to clinical examination were picked up by OCTA, similar to fluorescein angiography (FFA). Current technological limitations of OCTA such as small field and difficulty in imaging the retinal periphery limit its role as a screening tool in lieu of FFA but it may aid in follow-up of treated lesions [22].

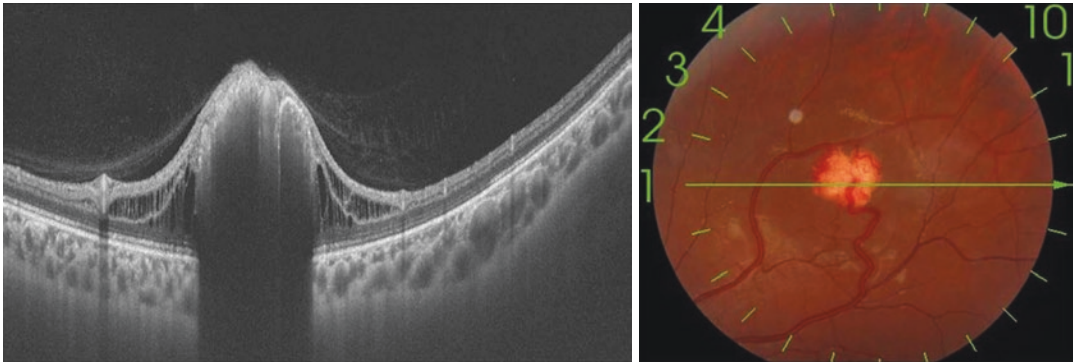
### 15.2.6 Differential Diagnosis

The peripheral RCH may be mistaken for

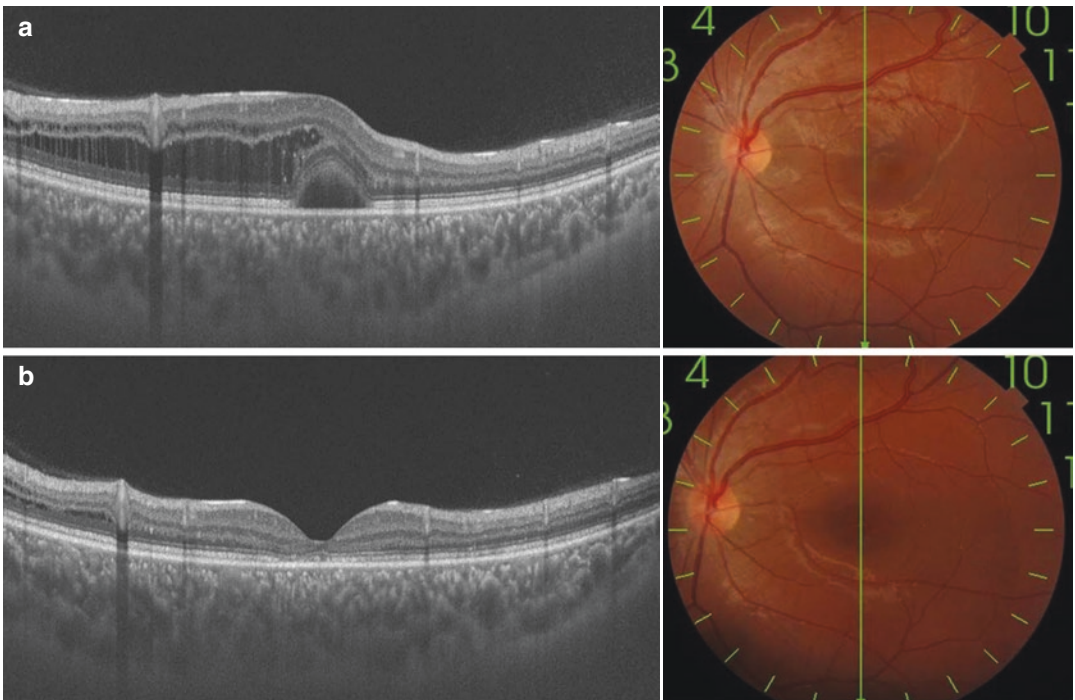
- Coat's disease: paired dilated vessels are not seen in coat's disease



**Fig. 15.9** Fundus fluorescein angiography (FFA) of RCH. Early phase (a) shows hyperfluorescence and late phase (b) shows leak

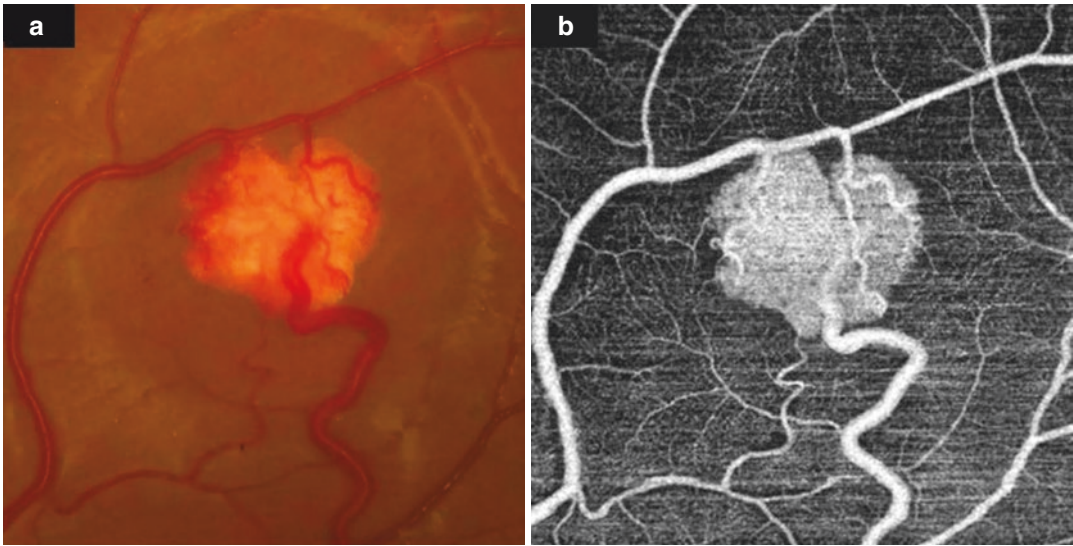


**Fig. 15.10** OCT of RCH. Tumor is seen as a hyperreflective lesion with shadowing. Adjacent retina shows schisis of inner retina due to exudation



**Fig. 15.11** Macular OCT of the same eye in Fig. 15.10. Pre-treatment image (a) shows macular edema with subfoveal fluid. Resolution of subretinal fluid (b) after laser photocoagulation of the tumor

- Racemose angioma: no tumor is seen between the arteriole and venule in racemose angioma (Fig. 15.13).
  - Vasoproliferative tumor: usually seen in inferior retina; minimally dilated feeder vessels; usually solitary.
  - Retinal macroaneurysm: occurs along a arteriole without a draining venule
  - Retinal cavernous hemangioma: no feeder vessels; multiple sac like aneurysmal dilata-tions (grape like clusters).
  - Familial exudative vitreoretinopathy
  - Nematode endophthalmitis.
- Peripapillary RCH: Simulate papilledema, optic neuritis, peripapillary choroidal neovascular membrane and optic disc granuloma.



**Fig. 15.12** OCTA of RCH. Tumor shows compact vascularity. Feeding and draining vessels can be identified



**Fig. 15.13** FFA of a patient with racemose angioma. No tumor is seen between the arteriole and venule

## 15.2.7 Histopathology

The lesions are composed of benign proliferation of spindle and endothelial cells, pericytes, small blood vessels and clear stromal cells. The foamy stromal cells between the capillaries stain positive for glial fibrillary acid protein and neuron-specific enolase [23].

## 15.2.8 Diagnostic Criteria

### 15.2.8.1 VHL: (Old Criteria)

1. Positive family history
  - One or more of the following typical lesions
    - Hemangioblastoma of the CNS or eye
    - Multiple renal, pancreatic or hepatic cysts
    - Pheochromocytoma
    - Renal cell carcinoma
2. No family history
  - Two or more hemangioblastomas of the retina, spine or brain.
  - or
  - a single hemangioblastoma in association with a visceral manifestation.

### 15.2.8.2 VHL: (New Criteria)

Definitive diagnosis: Positive for VHL gene.

### 15.2.8.3 Classification [24]

Type 1: VHL without pheochromocytoma.

Type 2: VHL with pheochromocytoma.

2A: Without renal cell carcinoma.

2B: With renal cell carcinoma and pancreatic involvement.

2C: With risk for pheochromocytoma only

**Table 15.3** Surveillance of individuals with VHL syndrome

Sl. No	Condition	1st screening	Investigations	Frequency
1	Retinal hemangioblastoma	Infancy or early childhood (1 year)	Ophthalmoscopic examination	Annually
2	CNS hemangioblastoma	16 years of age	MRI brain and spine	2 yearly
3	Renal cell carcinoma	16 years of age	Ultrasound abdomen	Annually
			MRI abdomen	2 yearly
4	Pancreatic tumor	16 years of age	Ultrasound abdomen	Annually
			MRI abdomen	2 yearly
5	Pheochromocytoma	8 years of age	Blood pressure	Annually
			Urinary fractionated metanephrines	Annually
			Plasma normetanephrine levels <sup>a</sup> , Adrenal imaging <sup>a</sup>	Annually

<sup>a</sup>In families at high-risk for pheochromocytoma

### 15.2.9 Surveillance

Surveillance should be considered in:

1. Individuals with VHL syndrome.
2. Those with a VHL pathogenic variant.
3. At-risk relatives of unknown genetic status.

Guidelines for surveillance is summarized in Table 15.3 [25].

### 15.2.10 Treatment

The choice of treatment is determined by the size, location, and associated findings such as subretinal fluid, retinal traction, and the visual potential of the eye.

It is proven that early treatment of RCH leads to better visual results [26].

Careful observation in a compliant patient can be recommended if the RCH is very small (up to 500 microns) and not associated with exudation or subretinal fluid and not visually threatening. Juxtapapillary tumors can be observed as they tend to remain stable [27].

Peripheral RCH without retinal detachment are treated with laser photocoagulation. It is preferable to use green, yellow or blue green wavelength to treat these lesions, as red or infrared lasers may not be absorbed well by the tumor.

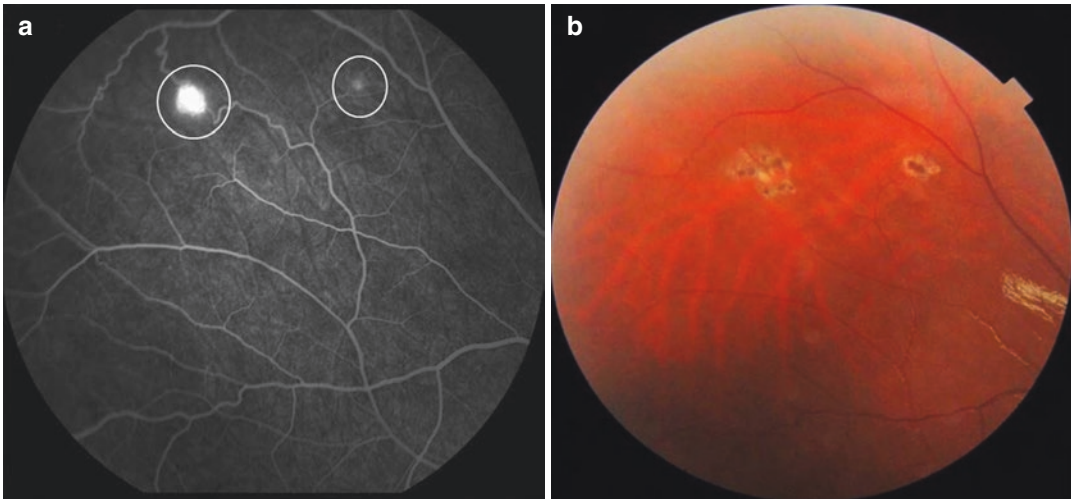
Tumors <2 mm in diameter are treated with direct laser photocoagulation (Fig. 15.14).

For tumors 3–5 mm in diameter, it is preferable to try occlusion of the communicating vessels—the arteriole first, followed by the tumor and the venule. The RCH can be treated in multiple sessions. Complications such as hemorrhage from the tumor and secondary retinal detachment may occur after laser photocoagulation.

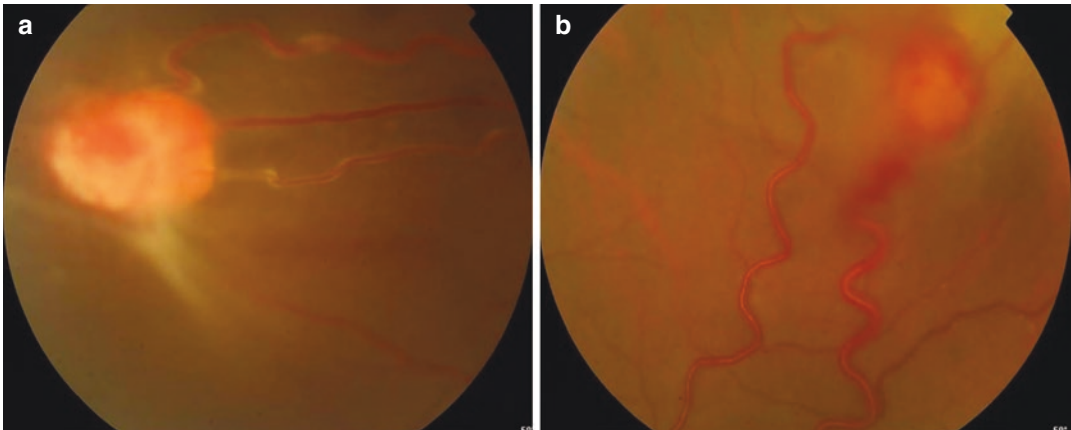
For tumors larger than 5 mm, it is preferable to use triple freeze thaw cryotherapy. Complications such as exudative retinal detachment and hemorrhage can occur after cryotherapy. Treatment of peripheral large tumors can result in vitreoretinal proliferation, resulting in traction or traction-rhegmatogenous retinal detachment, vitreous hemorrhage and in some cases circumferential fibrovascular proliferation of the vitreous base. The development of fibrovascular proliferation is multifactorial and is due to VEGF secretion by the tumor, altered vitreoretinal interface and increase in inflammation.

Transpupillary thermotherapy [28] and plaque brachytherapy have been employed in the management of retinal and disc angiomas [29].

Plaque brachytherapy of peripheral large tumors is a viable option and offers the ability to treat tumors elevated from the retinal surface due to vitreous traction. A radiation dose of 1250–2500 cGY delivered to the apex of the tumor (500–800 Gy scleral dose) results in regression of the tumor [30, 31].



**Fig. 15.14** Pre-treatment FFA of small RCHs (a). Tumors replaced by scarring after laser photocoagulation (b)



**Fig. 15.15** Fundus photograph of a patient with multiple large RCH. Both the tumors; one in nasal quadrant (a) and one in superior quadrant (b) were treated with plaque brachytherapy in a single session. Initially the nasal tumor

was treated with desired radiation dose. Then the plaque was shifted to the superior quadrant to treat the other tumor

Multiple large tumors in an eye can be treated in a single session by rotating the plaque to treat an untreated tumor once the desired dose of radiation has been delivered to one tumor (Fig. 15.15). A low penetrance plaque such as ruthenium 106 is preferable in such situations to limit the overall radiation dose delivered to the eye (Fig. 15.16).

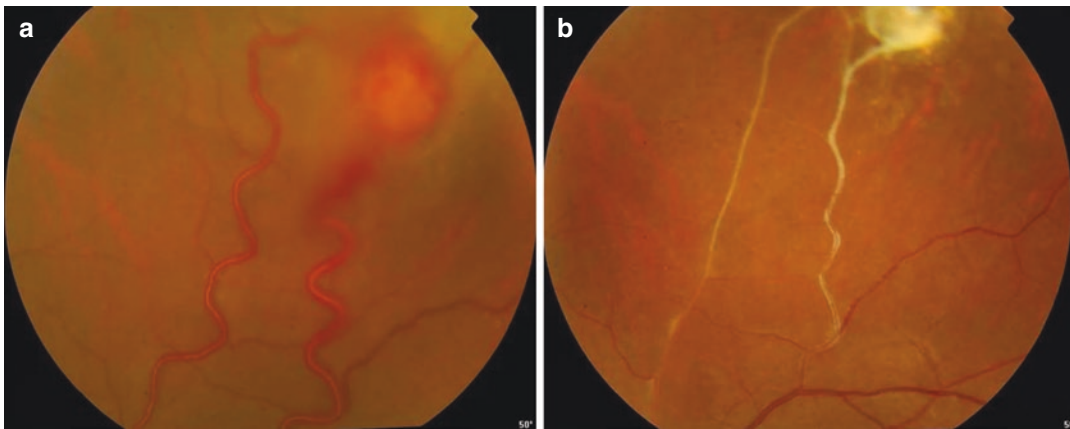
Proton beam irradiation and external beam radiotherapy are used as salvage therapy when other treatments fail [32].

Photodynamic therapy (PDT) is preferred modality to treat peripapillary RCH. Standard

fluence PDT leads to regression of the tumor and resolution of macular detachment. However it may be associated with risk of retinal vascular occlusion and optic disc ischemia [33].

Trans-retinal feeder vessel ligation combined with vitrectomy and photocoagulation has been reported in literature [34] and is performed infrequently by the authors.

While a successful ligation of the feeder vessel could be achieved and the tumor appeared to regress on short-term follow-up, the tumor generated new feeder vessels over time. Vitrectomy



**Fig. 15.16** Fundus photograph of same eye in Fig. 15.16. Pre brachytherapy (a) and post brachytherapy (b) images. Complete regression of tumor with sclerosis of feeding and draining vessels is evident

with excision of the tumor and extensive intraocular diathermy are other techniques that have been attempted to treat recalcitrant tumors. However, these extensive surgeries will not yield long-lasting results due to the severe vitreous base proliferation that occurs over time resulting in chronic hypotony.

If the tumors are associated with bullous retinal detachment, drainage of sub retinal fluid, cryotherapy and scleral buckling may be necessary. Advanced vitreoretinal form of the disease may need vitrectomy to treat tractional or rhegmatogenous retinal detachment [35] (Fig. 15.17).

Overtime we have moved away from aggressive vitreoretinal surgical techniques to multimodal therapy to treat advanced disease with multiple large hemangioblastomas with vitreoretinal complications. We employ brachytherapy to treat elevated or large tumors that could not be treated with cryotherapy or laser photocoagulation. Judicious vitrectomy to remove relevant vitreoretinal traction, such as that exerting traction on the posterior pole is employed. Scleral buckling is employed to support elevated RCH and peripheral vitreoretinal traction (rather than resort to resection of tumors or retinectomy). We are successful in stabilizing a subset of advanced vitreoretinal pathology associated with VHL with this technique.

Bevacizumab and ranibizumab have shown some beneficial effect on subretinal exudation and cystoid macular edema associated with jux-

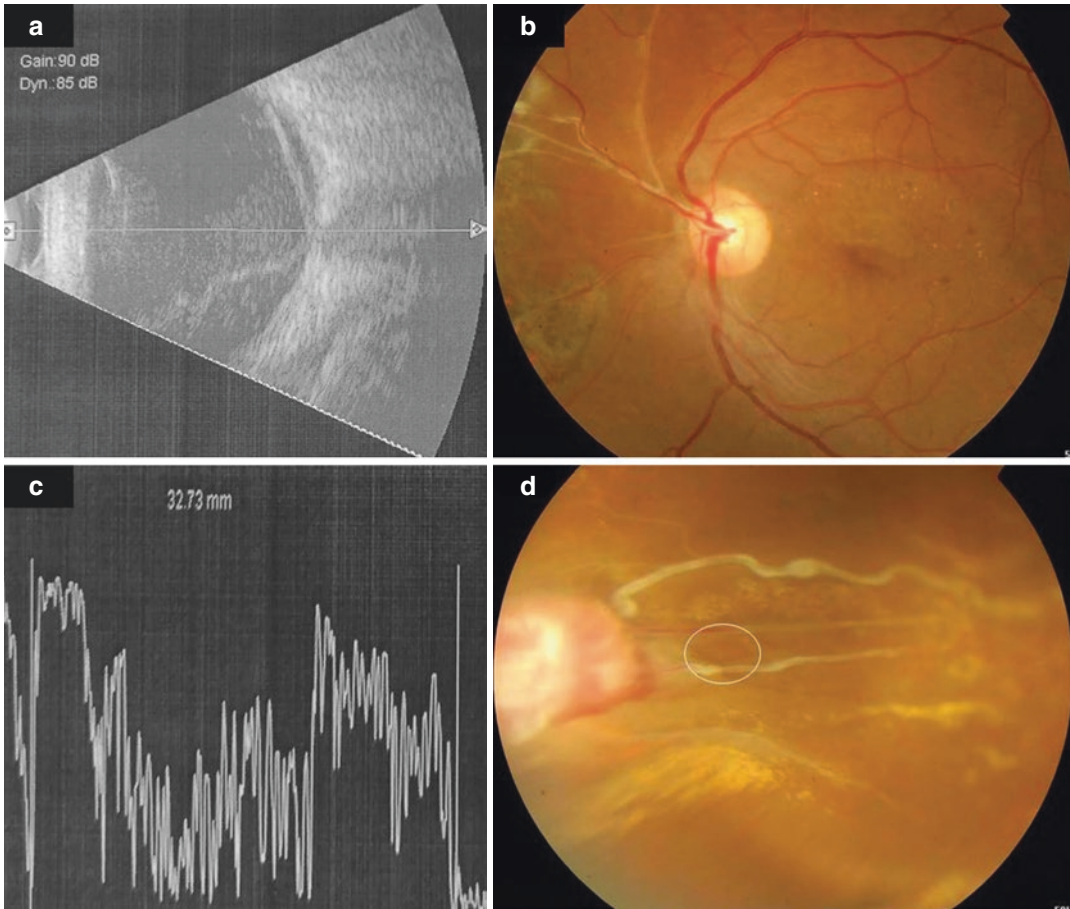
tapillary RCH and some peripheral retinal hemangioblastoma. Multiple injections are required and treatment is often combined with PDT and intravitreal or sub-tenon triamcinolone. The efficacy appears to be limited to the exudative component and does not bear any significance in decreasing the size of the lesions or the occurrence of newer ones. Anti-VEGF agents are useful to treat small lesions and as adjuncts in treating exudation associated with larger lesions [36, 37].

### 15.2.11 Prognosis

The high incidence of clear cell renal cell carcinoma associated with VHL syndrome results in an average life expectancy of 49 years in affected individuals. Other causes of morbidity and mortality are CNS hemangioblastomas, pheochromocytomas and pancreatic tumors. Protocol based surveillance followed by prompt treatment can however increase life expectancy.

Ocular prognosis depends on the number of tumors and activity. Many VHL patients progress rapidly with the development of numerous RCH leading to exudation and vitreoretinal complications resulting in bilateral blindness. Early and prompt treatment of small retinal tumors and regular monitoring can alleviate the associated morbidity to some extent.





**Fig. 15.17** Ultrasound image of the same eye in Fig. 15.15 after the development of retinal detachment with vitreous hemorrhage (a, b). Patient underwent vitreo-

retinal intervention with encirclage. Post-operative images (c, d) showing attached retina. Rhagma (within circle) can be seen posterior to the tumor

### 15.3 Wyburn-Mason Syndrome

Wyburn-Mason syndrome (also known as Bonnet–Dechaumme–Blanc syndrome or retino-encephalofacial angiomatosis) was first described in 1943 when an association between racemose hemangiomas of the retina and arteriovenous malformations (AVMs) of the brain was noted [38, 39].

It is characterized by AVMs affecting the retina, visual pathways, midbrain and facial structures.

#### 15.3.1 Epidemiology

It is extremely rare with no sexual or racial predilection.

**Age at presentation:** The AVMs are congenital; however asymptomatic small lesions may be diagnosed only later in life. Larger AVMs causing visual or neurologic impairment are diagnosed early in life.

Wyburn Mason syndrome is unilateral in most of the cases, but intracranial AVM can be located in the midline extending into both the sides.

#### 15.3.2 Genetics and Pathophysiology

**Inheritance:** No definite hereditary pattern is seen.

The etiology and risk factors are unknown, but genetic factors may play a role. Vascular dysgenesis of the embryological anterior

plexus occurring in the early gestational period may result in AVMs of Wyburn Mason syndrome. Depending on the timing of the insult during embryogenesis, the severity of the AVM varies [40].

### 15.3.3 Systemic Features

Wyburn Mason syndrome in its complete form is characterized by the presence of AVMs in the cranial cavity, orbit, along the visual pathway, facial skin, oropharynx and nasopharynx. Rarely AVMs involving the lung, spinal cord may co-exist [41].

AVM involving the bones of the skull, maxilla, and mandible may lead to massive bleeding during dental extraction. Intraocular AVM communicating with intramuscular facial AVM has been reported [42].

The clinical features and management of systemic features is summarized in Table 15.4 [43–45].

## 15.3.4 Ocular Features

### 15.3.4.1 Clinical Features

#### Symptoms

The macular location of the AVM can result in vision loss and the congenital nature of the disease leads to strabismus. In the absence of macular involvement, vision may be normal or minimally affected.

#### Signs

Arteriovenous communications in Wyburn Mason syndrome have been classified in to three types [46].

**Table 15.4** Clinical features and management of systemic manifestations of Wyburn Mason syndrome

	Intracranial AVM	Orbital AVM	AVM of visual pathway	Facial skin	Oronasopharynx
Incidence	30–81%	Unknown	Unknown	Less common	Rarely reported
Location	Hypothalamus, thalamus, basal ganglion, midbrain		Optic chiasma, occipital lobe, optic nerve, Optic tract	Eye lids, cheeks, forehead	
Clinical features	Neuropsychiatric changes, headaches, seizures, stroke, hemiparesis, subarachnoid hemorrhage, intracerebral hemorrhages, increased intracranial pressure, papilledema, cranial neuropathies, hydrocephalus	Pulsating proptosis	visual-field abnormalities (homonymous hemianopia)	Present as a faint cutaneous discoloration to high-flow maxillofacial or mandibular AVMs Can cause life-threatening hemorrhage. Severe cosmetic and psychological problems	Potentially life-threatening oral hemorrhages or epistaxis
Management	Symptomatic management is preferred as lesions are diffuse and not amenable to surgical resection Endovascular embolization in an attempt to reduce the risk of bleeding or re-bleeding is reported	Observation			Intractable oronasal bleeding can be controlled by endovascular embolization of the vascular malformation

- Type 1: An abnormal capillary plexus is interposed between the arteriole and the venule. These lesions do not cause symptoms and are not usually associated with cerebral involvement.
- Type 2: No capillary bed is found and direct arteriovenous communication exists; patients experience few visual symptoms. Associated cerebral vascular malformation may be found.
- Type 3: Patients have more complex and extensive arteriovenous malformation with visual loss and increased risk of cerebral disease. One or more dilated arterioles emanate from the disc, travel for a variable distance in the retina, form arteriovenous communication and return to the disc (Figs. 15.18 and 15.19). Exudation or retinal detachment usually does not occur. Visual loss may occur due to vaso-occlusion, nerve fiber loss caused by pressure on the optic nerve or the anterior visual pathway by the AVM, intra-retinal and vitreous hemorrhage and rarely macular exudation [47–49].



**Fig. 15.18** Fundus photograph of a patient with racemose angioma showing direct arteriovenous communications (within circles) without intervening capillary bed

**Fig. 15.19** Fundus photograph of a patient with racemose angioma showing multiple dilated tortuous vessels arising from the disc. Occlusion with subsequent sclerosis of an arteriovenous malformation is seen (arrow)



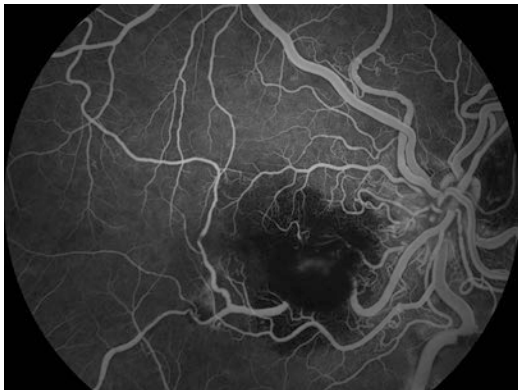
### 15.3.5 Diagnosis

The clinical appearance is characteristic and a fluorescein angiogram may show rapid filling of the arteriovenous communication without leakage (Fig. 15.20).

Racemose angioma may appear as large intra-retinal cystic mass and rarely retinal changes such as atrophy, edema may be seen on the OCT.

### 15.3.6 Differential Diagnosis

RCH—Presence of a tumor between the feeding retinal arteriole and venule is suggestive of RCH.



**Fig. 15.20** FFA of the same eye in Fig. 15.19 showing macroaneurysm with adjacent blocked fluorescence due to hemorrhage

### 15.3.7 Treatment

Most lesions are stable and do not need treatment. However, the visual prognosis is poor. Rarely vitreous hemorrhage, subretinal hemorrhage and macular exudation have been described in racemose angioma. Vitrectomy has been employed for clearing non resolving vitreous hemorrhage [50]. Anti-VEGF agents have been employed to treat macular exudation [51].

## 15.4 Cavernous Hemangioma of the Retina

Cavernous hemangioma of the retina is also recognized as a phakomatosis with involvement of the retina, skin and the central nervous system.

### 15.4.1 Epidemiology

It is a rare tumor and is more prevalent in females [52].

The average age at presentation is 23 years. It is mostly unilateral with few reports of bilateral occurrences.

### 15.4.2 Genetics and Pathophysiology

Inheritance: Autosomal dominant. Penetrance and expressivity are variable.

The causative gene is localized to the region 7q11-q22 [53–55]. Its pathogenesis is largely unknown.

### 15.4.3 Systemic Features

Cavernous hemangioma of retina is so rare that our knowledge about its systemic features is restricted to a few case reports.

#### 15.4.3.1 Cutaneous Cavernous Hemangioma

Multiple reddish purple lesions in skin described variably as capillary malformation, vascular lesion, angioma, vascular hamartoma, cavernous hemangioma are reported to occur in scalp, trunk, neck and arm [56].

Cutaneous vascular hamartoma without ocular lesions was described in an identical twin of patient with cavernous hemangioma of retina suggesting a common genetic defect underlying both cutaneous and retinal lesions [52].

Skin lesions are minimally elevated and do not blanch on pressure and are typical of mature cavernous hemangioma. Histopathological examination of the cutaneous lesion is consistent with cavernous hemangioma.

Vascular lesion is also reported under the tongue [57].

#### 15.4.3.2 Intracranial Cavernous Hemangioma

Multiple intracranial cavernous hemangiomas are reported to occur in cerebrum, midbrain, pons and cerebellum. Affected individuals can develop seizures (most common manifestation), cranial nerve palsies and intracranial hemorrhage resulting in death [52, 58].

Hepatic cavernous angiomas may also occur [59].

#### 15.4.3.3 Treatment

Surgical excision of intracranial cavernous hemangioma is employed with good results [60].

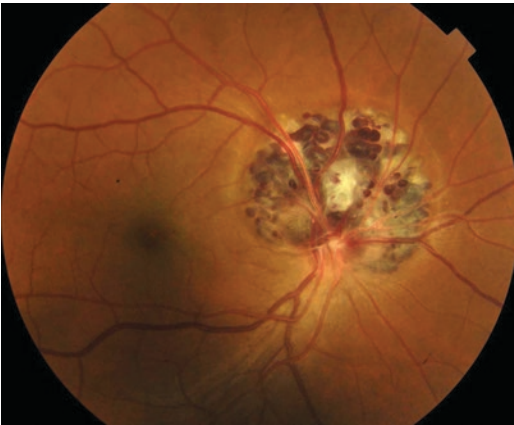
### 15.4.4 Ocular Features

Cavernous hemangioma may affect the macular or peripheral retina. Although retinal cavernous

hemangioma is usually considered to be the only ocular manifestation of this autosomal dominant condition, other rarely reported ocular features include choroidal hemangioma [57] and ocular melanocytosis [61]. Cone dystrophy was noted in a patient with superonasal cavernous hemangioma [62].

#### 15.4.4.1 Symptoms

Peripheral tumors are asymptomatic. Macular location of the tumor or complications such as intra-retinal hemorrhage at the macula, macular distortion due to epiretinal membrane proliferation, vitreous hemorrhage and amblyopia in children may lead to decrease in vision [63, 64].



**Fig. 15.21** Fundus photograph of cavernous hemangioma of optic disc. (Image courtesy: Dr. Madhukumar and Dr. Surabhi Ruia)

#### 15.4.4.2 Signs

Cavernous hemangioma appears as a cluster of dark red saccules with associated fibroglial proliferation within the inner retinal layers or on the surface of the optic disc (Fig. 15.21). The lesions are variable in size and location, and frequently follow the course of a major retinal vein. No feeder arteriole or draining venule is usually seen though some authors have noted twin vessels to be associated with this tumor [65].

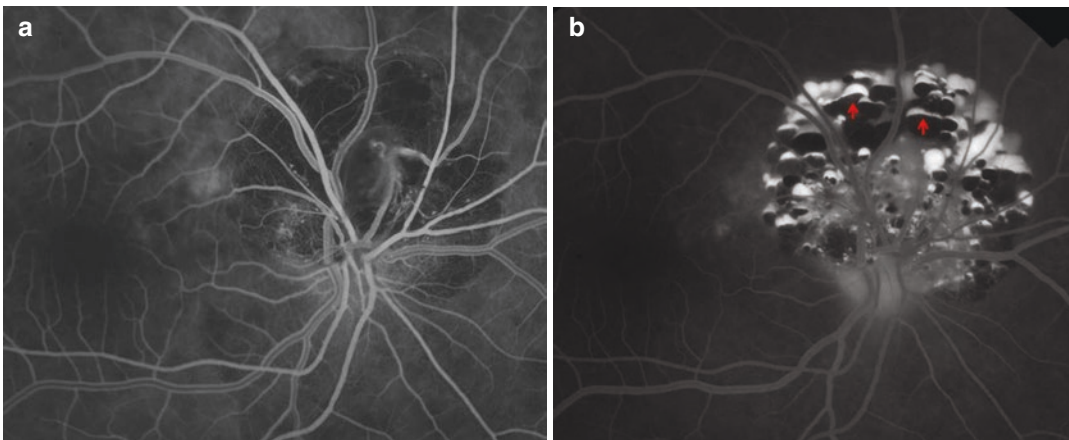
They are usually non-progressive. A few may enlarge and cause vitreous hemorrhage.

Exudation is not a feature of cavernous hemangioma of the retina. Epiretinal membranes and fibroglial proliferation may result in foveal ectopia and visual loss [64].

Massive growth of the tumor up to the iris root with concomitant vitreous hemorrhage and hyphema has been reported rarely [17].

#### 15.4.5 Diagnosis

The diagnosis is evident on fundus examination and FFA is quite characteristic. Cavernous hemangioma has a sluggish circulation, which leads to the separation of the blood cells from the plasma, which settles down inferiorly within the saccule. Fluorescein enters the saccule slowly and fills the supernatant plasma, giving an appearance of 'fluorescein cap' (Fig. 15.22).



**Fig. 15.22** Early (a) and late (b) phase FFA images of cavernous hemangioma of optic disc. Late phase image shows typical 'fluorescein caps' (arrows). (Image courtesy: Dr. Madhukumar and Dr. Surabhi Ruia)

Magnetic resonance image (MRI) of brain may be considered to rule out presence of intracranial lesions.

#### 15.4.6 Differential Diagnosis

Coat's disease.

Leber multiple miliary aneurysm.

#### 15.4.7 Treatment

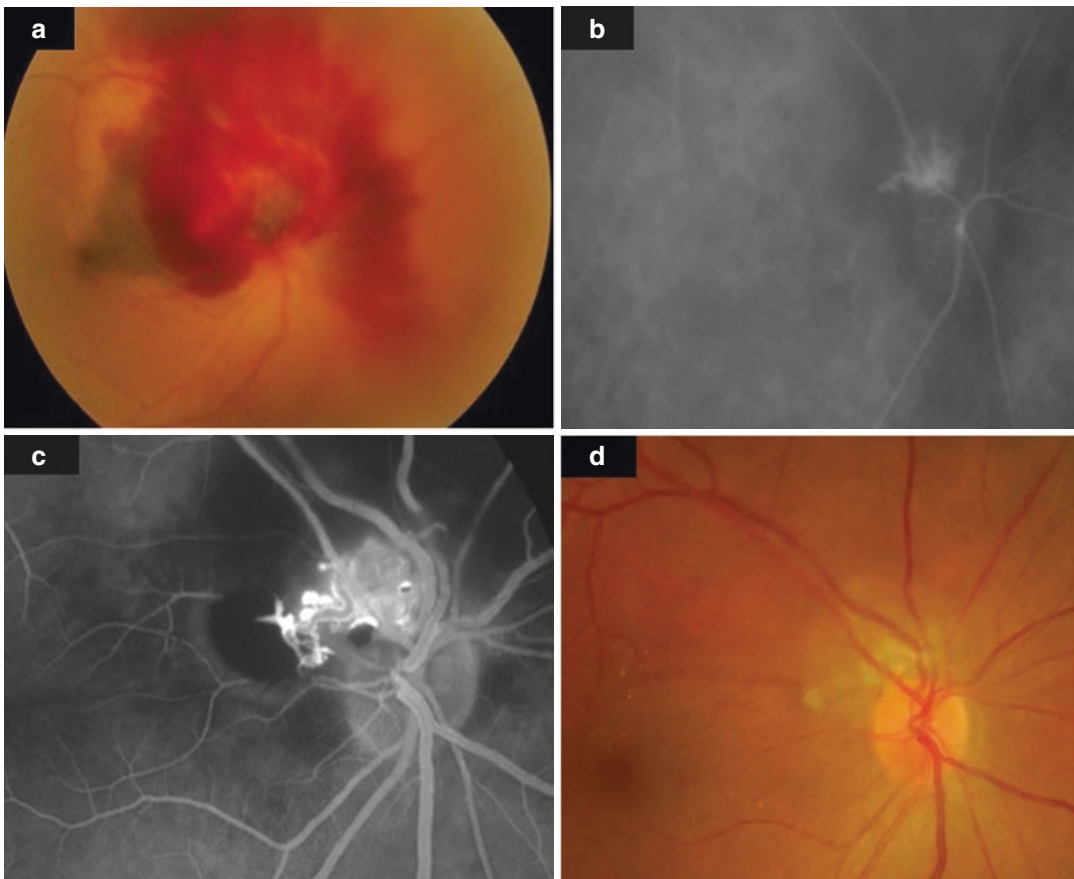
Cavernous hemangioma of the retina is usually stable and does not require treatment. Some cases can develop vitreous hemorrhage. In such cases cryotherapy, laser photocoagulation, low energy plaque and vitrectomy to clear the vitre-

ous hemorrhage may be employed. Vitrectomy may be considered for removing epimacular membranes. Associated retinal changes such as cystoid macular edema may however limit vision recovery [66].

We treated a patient with recurrent subretinal and vitreous hemorrhage secondary to a cavernous hemangioma of the optic disc with standard photodynamic therapy (PDT) after the resolution of hemorrhage. The cavernous hemangioma regressed after PDT [67] (Fig. 15.23).

### 15.5 Sturge-Weber Syndrome

Sturge-Weber syndrome (SWS) is a neurocutaneous syndrome affecting the leptomeninges, facial skin in the ophthalmic and maxillary distributions



**Fig. 15.23** Color photograph (a), FFA (b), indocyanine green angiography (c) of cavernous hemangioma of disc showing subretinal and vitreous hemorrhage. Complete resolution of the tumor with gliosis after photodynamic therapy (d)



**Fig. 15.24** Face photograph of a patient with SWS. Port wine stain is seen involving the skin of upper lid, lower lid, nose and upper lip (white arrow). Conjunctival congestion (red arrow) is seen due to episcleral and conjunctival vessels. Fundus examination of the patient showed a solitary choroidal hemangioma

of the trigeminal nerve (encephalotrigeminal angiomatosis) (Fig. 15.24). It is associated with leptomeningeal angioma, facial port-wine stain (PWS) and glaucoma in its complete form.

### 15.5.1 Epidemiology [68]

Incidence: 1 in 50,000.

No sexual or racial predilection.

### 15.5.2 Genetics and Pathogenesis

Inheritance is sporadic.

Sturge-Weber syndrome is associated with somatic mosaicism. A gain of function mutation (p.Arg183Gln) in GNAQ gene on chromosome

9q21 is seen in skin and brain samples. GNAQ encodes guanine nucleotide binding protein which is involved in activation of mitogen-activated protein kinase (MAPK) pathway. The MAPK pathway is a chain of proteins in the cell that communicates a signal from a cell surface receptor to the DNA. The somatic substitutions in GNAQ encoding p.Gln209Leu and p.Arg183Gln are found in patients with uveal melanoma. p.Gln209Leu is more commonly seen in uveal melanoma. But the MAPK signal transduction induced by p.Arg183Gln (seen in SWS) is weaker and less promiscuous than the effect of the substitution p.Gln209Leu (seen in uveal melanoma) [69].

Before the discovery of GNAQ mutation, SWS was assumed to be due to failure of normal regression of the vascular plexus around the cephalic portion of the neural tube that is destined to become facial skin. This residual vascular tissue would form the angiomas of the leptomeninges, face and ipsilateral eye [70].

### 15.5.3 Classification (Roach) [71]

Type 1: Facial PWS and leptomeningeal angiomatosis, with or without associated glaucoma.

Type 2: Facial PWS and no leptomeningeal involvement, with or without glaucoma (most common form).

Type 3: Only leptomeningeal angiomatosis (least common).

### 15.5.4 Systemic Features

Systemic manifestations of SWS are restricted to facial skin and cranial cavity.

Facial port-wine stain is present at birth in a typical patient. A child with a port-wine stain on the face has 6% chance of having the SWS [72]. If the port-wine stain is located in the distribution of the ophthalmic branch of the trigeminal nerve the risk increases to 26% [73].

Leptomeningeal angioma commonly involves the parietal and occipital cortex resulting in progressive, characteristic calcifications in the exter-

nal layers of the cerebral cortex and cortical atrophy underlying the angioma.

Clinical features and management of systemic manifestations of SWS is summarized in Table 15.5 [74–76].

### 15.5.5 Ocular Features

The bulbar conjunctiva may show diffuse or localized area of pinkish discoloration related to increased conjunctival vascularization. Episcleral vessel dilatation can be observed in approximately 50% of SWS patients [77].

Secondary glaucoma is the most common ocular manifestation. It occurs most commonly in early life (60% presenting at birth or early infancy; 30% in childhood). Glaucoma is often ipsilateral to the facial port-wine stain but may develop in contralateral eye rarely.

Theories explaining glaucoma in SWS are [77, 78]:

- Increased episcleral venous pressure due to AV shunts in episcleral hemangioma
- Congenital malformation of anterior chamber angle

**Table 15.5** Clinical features and management of systemic manifestations of SWS

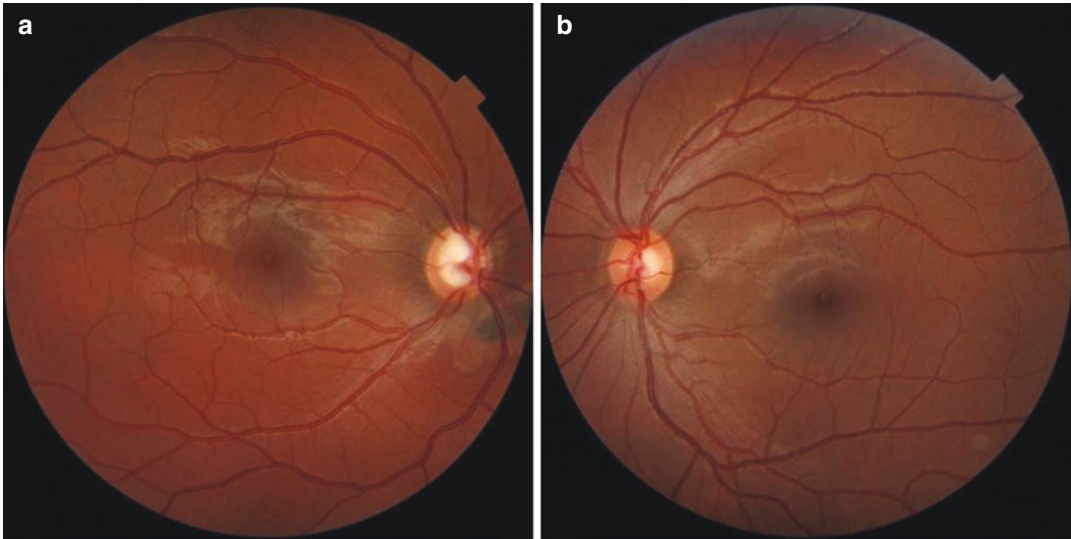
	Location	Age at presentation	Clinical features	Clinical course	Diagnosis	Treatment
Port-wine stain	Along V1 distribution: Upper lid, frontal region <sup>a</sup> Less often in V2 distribution Usually unilateral, but can also be bilateral	Birth	Color varies from pink to purple	Soft tissue hypertrophy, bony hypertrophy, formation of proliferative nodules or progressive ectasia, excessive growth of jaw and maxilla, facial deformation	Clinical	Pulsed dye laser is the treatment of choice Hemoporfin photodynamic therapy is also reported to be effective
Leptomeningeal angiomatosis <sup>b</sup>	Ipsilateral to PWS Occipital and occipito-parietal lobe Usually unilateral but can also be bilateral	First year of life	Seizures, slowly progressive hemiparesis, migraine-like vascular headaches, delayed neuropsychological development, episodes similar to cerebrovascular events with acute transient hemiplegia, visual field defects, behavioral problems	Progressive neurological deterioration. Recurrent seizures can lead to cognitive decline	Contrast enhanced MRI is the modality of choice	Anticonvulsant therapy to prevent and treat seizures Aspirin in anti-platelet dose to prevent episodes of ischemia resulting from venous stasis and thrombotic events Excision of the lesion Corpus callosotomy and hemispherectomy in intractable cases

V1 = Ophthalmic branch of trigeminal nerve; V2 = Maxillary branch of trigeminal nerve

<sup>a</sup>V1 involvement is associated with high risk of leptomeningeal angioma

<sup>b</sup>Symptoms are due to secondary changes in underlying brain due to hypoxia. It may be atrophic and show neuronal loss, astrocytosis, cortical dysgenesis, and calcifications distributed perivascularly or in the cerebral cortex





**Fig. 15.25** Fundus photograph of right (a) and left eye (b) of a patient with port wine stain involving right side of face. Asymmetry of optic disc is evident



**Fig. 15.26** Slit lamp photograph of patient in Fig. 15.24 showing dilated, tortuous episcleral and conjunctival vessels

- Increased fluid production from the ciliary body
- Premature aging of the trabecular meshwork–Schlemm’s canal complex.

Ipsilateral congenital glaucoma can develop, particularly when port wine stain involves the upper lid. Asymmetry of optic disc with enlargement of the optic cup can be seen [79] (Fig. 15.25).

Other ocular manifestations include diffuse choroidal hemangioma, conjunctival or episcleral hemangiomas [80] (Fig. 15.26).

#### 15.5.5.1 Diffuse Choroidal Hemangioma

##### Clinical Features

##### Symptoms

Diffuse choroidal hemangiomas are usually recognized in early childhood as they can cause visual impairment due to hyperopic amblyopia, exudative retinal detachment or glaucomatous optic nerve damage. However, the presence of facial PWS may prompt a fundus examination in an otherwise asymptomatic patient.

##### Signs

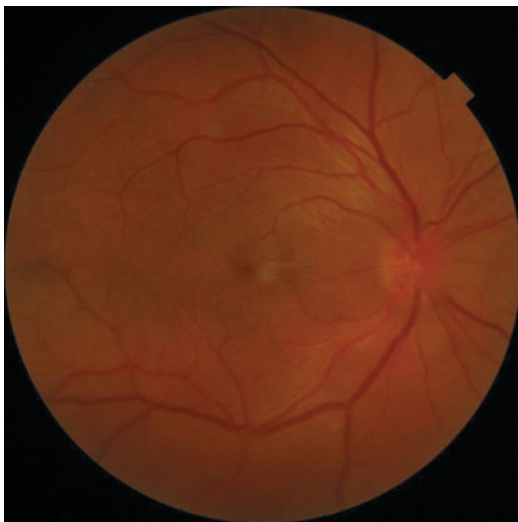
The pupil shows a brilliant red reflex (tomato cat-soup fundus) in the involved eye in contrast to the normal reflex in the opposite pupil [81].

On ophthalmoscopy a diffuse red-orange thickening of the posterior choroid is seen mainly in the macular area (Fig. 15.27). Cystoid degeneration in the overlying retina with retinal pigment epithelial disruption commonly occurs (Fig. 15.28). In comparison to circumscribed choroidal hemangioma, the diffuse choroidal

hemangioma is frequently large and often extends anterior to the equator.

### 15.5.6 Diagnosis

Ultrasonography demonstrates a markedly thickened choroid with medium to high internal reflectivity with an overlying retinal detachment (Fig. 15.29). FFA reveals widespread early phase hyperfluorescence with diffuse leakage. On MRI,



**Fig. 15.27** Fundus photograph of an eye with diffuse choroidal hemangioma, showing orange red discoloration of the macula

the tumor is relatively hyperintense in T1 weighted images and isointense to the vitreous in T2 weighted images. The tumor shows a marked enhancement with gadolinium (Fig. 15.30). Choroidal thickening corresponding to the lesion can be identified on OCT (Fig. 15.31). Subtle tumors can be identified and delineated on OCT (Fig. 15.32). Irregular vascular network distinct from the normal choroid can be appreciated on OCTA (Fig. 15.33).

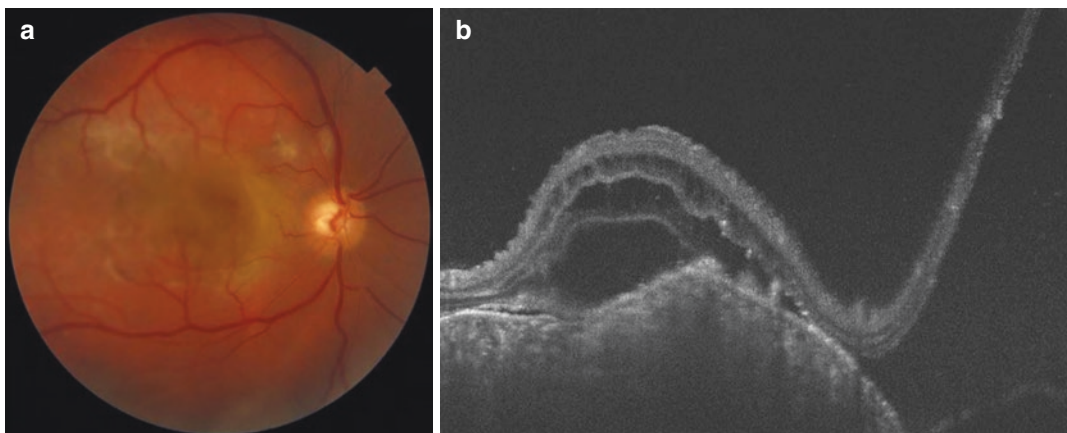
MRI brain is recommended in patients between 3 and 6 months of age as it is difficult to detect them in first 3 months.

### 15.5.7 Differential Diagnosis

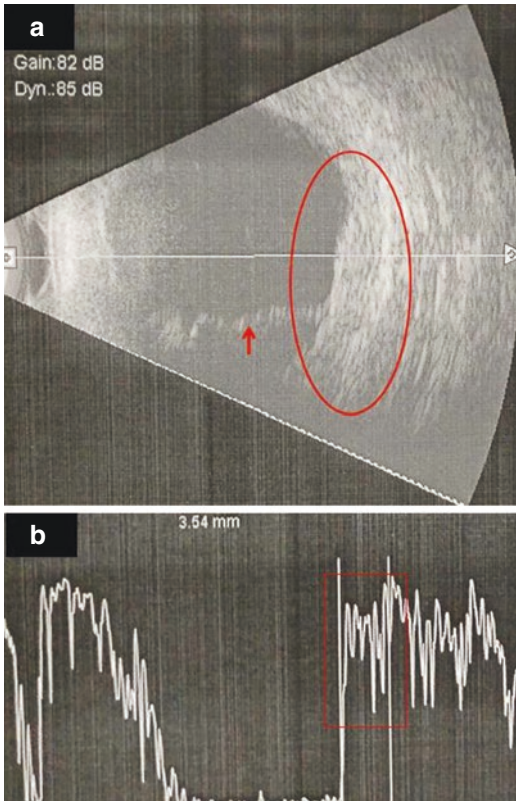
Benign reactive lymphoid hyperplasia of choroid.  
Leukemic choroidal infiltration.  
Diffuse posterior scleritis.  
Uveal effusion syndrome.

### 15.5.8 Histopathology

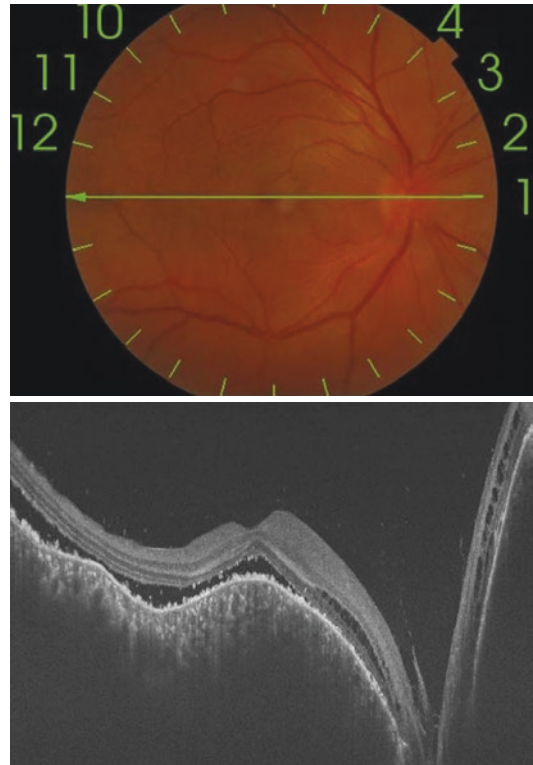
Diffuse choroidal hemangioma is characterized by an intermixed proliferation of small and large blood vessels and is usually classified as a mixed hemangioma. Fibrous transformation of the proliferated retinal pigment epithelium is observed in over 50% of diffuse choroidal hemangiomas in SWS.



**Fig. 15.28** Fundus photograph (a) and OCT (b) of an eye with diffuse choroidal hemangioma showing cystoid degeneration in the overlying retina with retinal pigment epithelial disruption and gliosis



**Fig. 15.29** B scan (a) and A scan (b) images of eye in Fig. 15.27 demonstrating a markedly thickened choroid (within circle) with medium to high internal reflectivity (within square) with an overlying retinal detachment (arrow)



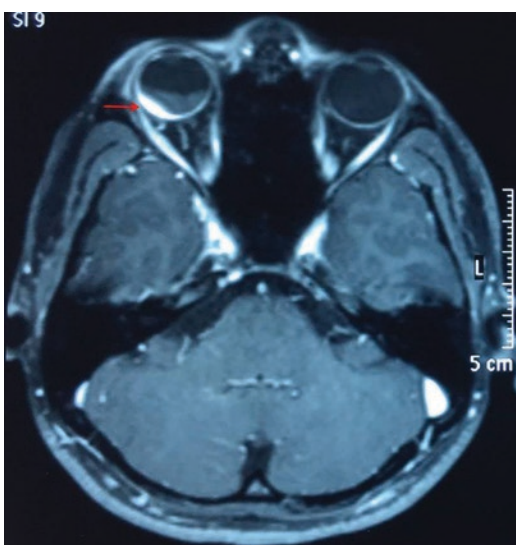
**Fig. 15.31** OCT of eye in Fig. 15.27 showing choroidal thickening corresponding to the lesion. Subfoveal fluid is seen

### 15.5.9 Treatment

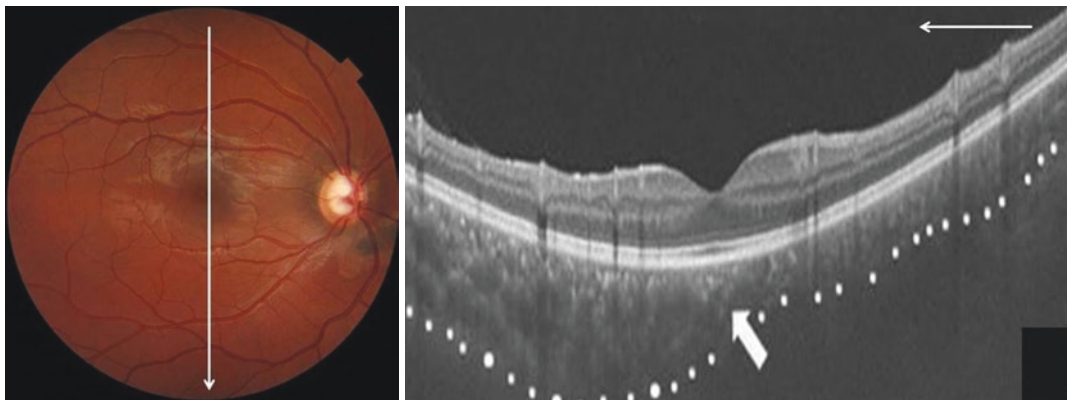
Diffuse choroidal hemangioma is a difficult condition to manage. Hyperopic refractive error can be addressed with refraction, corrective lenses and amblyopia therapy [82].

Photodynamic therapy is the preferred option to treat diffuse choroidal hemangioma with minimal subretinal fluid which would allow therapy. A single spot over the thickest part of the tumor or multiple non-overlapping spots of single or multiple sessions has resulted in resolution of exudative retinal detachment and regression of the tumor [83].

External beam radiation therapy in the dose of 1250–2000 cGy in divided fractions can be used to treat diffuse tumors that are too large for focal treatments such as PDT, laser or those associated with exudative retinal detachment that precludes visualization of the tumor [84]. Radiation leads

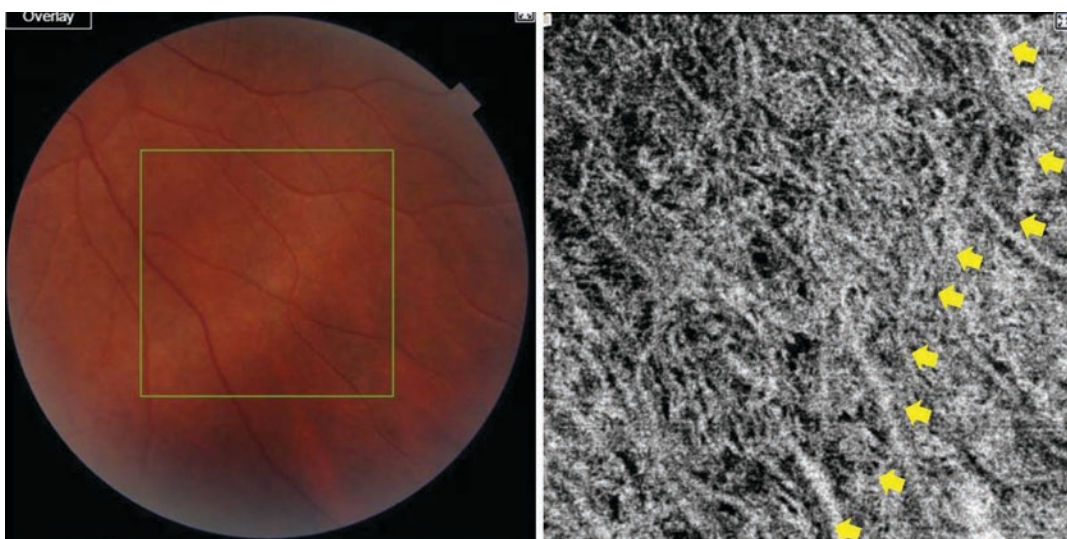


**Fig. 15.30** Contrast enhanced MRI of diffuse choroidal detachment showing intense enhancement (arrow)



**Fig. 15.32** Fundus photo and OCT of the eye in Fig. 15.25. Subtle orange hue is seen in inferior macula on color photo. But OCT shows choroidal thickening with a

sharp delineation from normal choroid confirming the presence of diffuse choroidal hemangioma



**Fig. 15.33** OCTA of subtle diffuse choroidal hemangioma. Part of OCTA to the left of arrows shows irregular choroidal vascular pattern distinct from normal choroid. Normal choroid is seen on the right side

to resolution of the retinal detachment in most cases and control of glaucoma in some cases (Figs. 15.34 and 15.35).

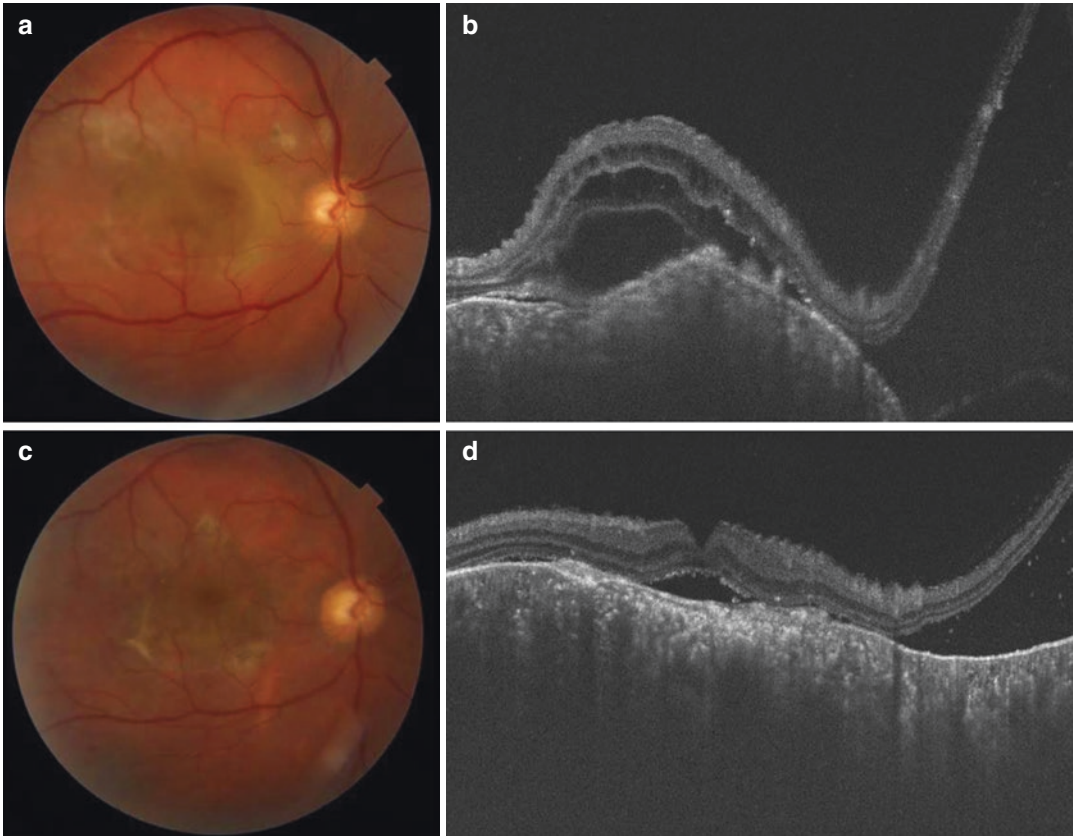
Plaque brachytherapy can also be employed to treat eyes with the plaque being centered on the thickest part of the tumor [85]. (Fig. 15.36).

Propranolol, a nonselective  $\beta$  blocker in the dosage of 2 mg/kg/day has been noted to cause accelerated absorption of the exudative retinal detachment in some cases. The mechanism of action is unclear. Propranolol interferes with endothelial cells, vascular tone, angiogenesis,

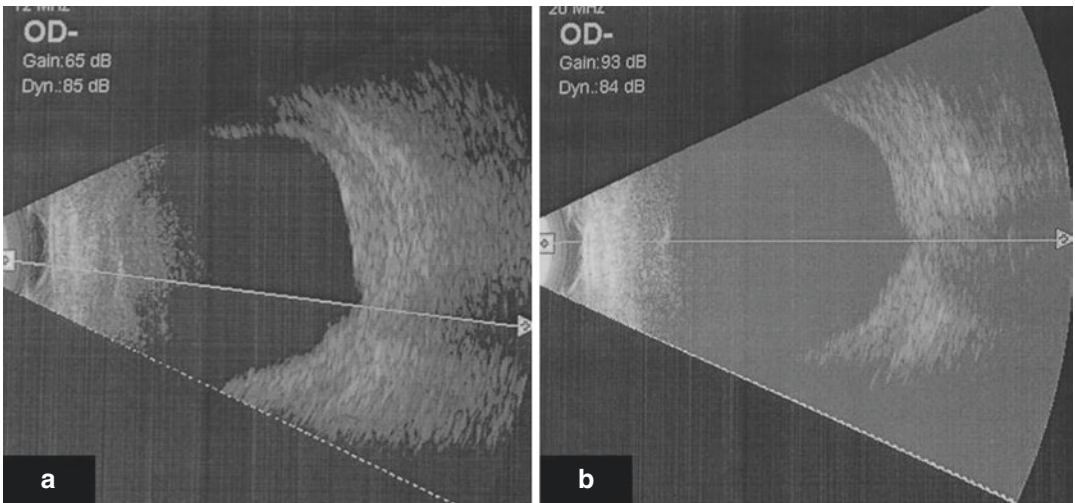
apoptosis and may lead to the resolution of the retinal detachment [86].

A single intravitreal bevacizumab injection resulted in sustained resolution of exudative retinal detachment in Sturge-Weber syndrome over 20 months in a single case [87].

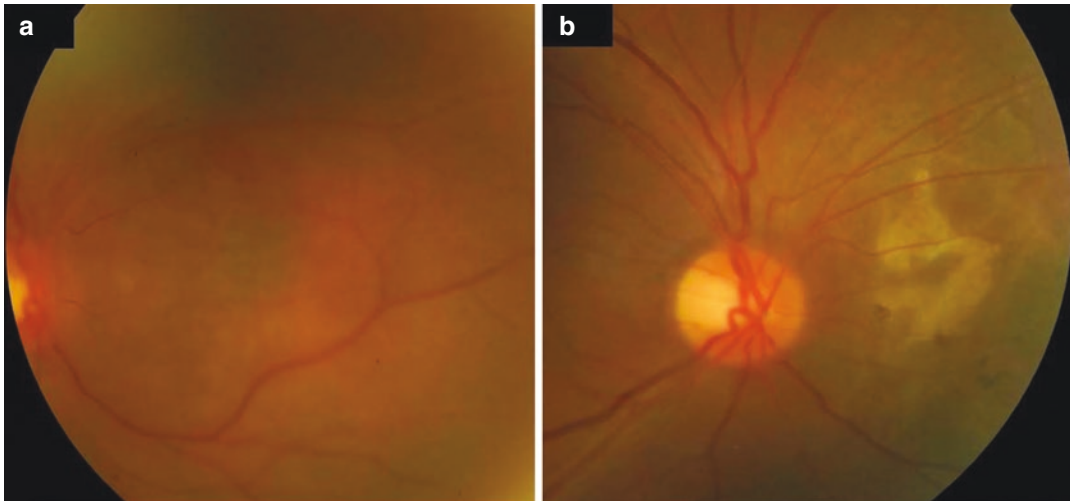
We treated diffuse choroidal hemangioma with bullous retinal detachment resistant to systemic propranolol and brachytherapy, with drainage of subretinal fluid and intra-operative transpupillary thermotherapy (TTT), resulting in resolution of the retinal detachment, regression of the tumor



**Fig. 15.34** Pre-treatment color photograph and OCT of diffuse choroidal hemangioma (a, b). Flattening of choroid, decrease in subretinal fluid and intra retinal fluid (c, d) is evident after external beam radiation (EBRT)



**Fig. 15.35** Pre-treatment (a) and post treatment (EBRT) (b) ultrasound images of diffuse choroidal hemangioma. Decrease in choroidal thickness is evident



**Fig. 15.36** Pre-treatment (a) and post treatment (plaque brachytherapy) (b) color photograph of choroidal hemangioma in a patient with SWS. Decrease in tumor height with gliosis is evident

and control of associated glaucoma. In TTT, infrared laser is employed to raise the internal tumor temperature above 45 °C but below 60 °C [88]. The chorioretinal scar observed after treatment is less pronounced in comparison to photocoagulation. Concomitant ICG can be used to augment the effect of TTT [89]. Transpupillary thermotherapy can result in complications such as cystoid macular edema, preretinal fibrosis, and retinal vascular occlusion making TTT unsuitable for subfoveal and peripapillary tumors [90].

Management of glaucoma is also complicated. Medical management is not sufficient in management of glaucoma associated with SWS. Risk of serous or hemorrhagic choroidal detachments is high with glaucoma filtering surgery in patients with diffuse choroidal hemangioma. Drainage devices may be necessary to control intraocular pressure. In resistant cases, cyclodestructive procedures such as cyclocryotherapy or cyclophotocoagulation may be necessary. Prostaglandin analogues are shown to be effective in decreasing the intraocular pressure in Sturge-Weber syndrome [91, 92] but should be used with caution as it increases the risk of uveal effusion [93, 94].

### 15.5.10 Prognosis

Visual prognosis depends on the location of the tumor (predominantly submacular tumor results

in hyperopic amblyopia), age at onset of glaucoma (early age—poorer prognosis), presence of exudative retinal detachment, response to treatment (resolution of exudative retinal detachment and IOP control) and macular changes post treatment (pigmentary changes involving the macula or macular atrophy).

Poor systemic outcome is associated with early onset of seizures, extensive leptomeningeal angioma, development of hemiparesis and progressive cortical atrophy and deterioration of cognitive function.

## 15.6 Tuberos Sclerosis

Tuberous sclerosis complex (TSC) is a genetic disorder characterized by presence of multiple hamartomas involving the central nervous system, eye, skin, kidneys, heart, lung and liver.

### 15.6.1 Epidemiology

Incidence is 1 in 5000–6800. Prevalence is 1 in 10,000 (50–84% sporadic).

### 15.6.2 Genetics and Pathogenesis

Inheritance—Autosomal dominant.

TSC is caused by mutations in TSC1 or TSC2 genes located on 9q34 and 16p13 encoding hamartin and tuberin, respectively. TSC1 and TSC2 are tumor suppressor genes [95]. The hamartin–tuberin complex, is a critical negative regulator of mTORC1 (mammalian target of rapamycin complex 1). As mTORC1 activity controls anabolic processes to promote cell growth, loss of its inhibition promotes uncontrolled cell growth [96].

### 15.6.3 Systemic Features

Clinical features and management of systemic manifestations of TSC is summarized in Tables 15.6 and 15.7 [97–101].

#### 15.6.3.1 Diagnostic Criteria

##### Tuberous Sclerosis Complex

Definite diagnosis: 2 major features or 1 major + 2 minor features.

Possible diagnosis: 1 major feature  
or  
1 major and 1 minor feature  
or  
≥2 minor features

##### Major Criteria

1. Hypomelanotic macules (≥3, at least 5 mm diameter)
2. Angiofibroma (≥3) or fibrous cephalic plaque (Fig. 15.37)
3. Shagreen patch
4. Ungual fibroma (≥2)
5. Multiple retinal hamartoma
6. Subependymal nodule (≥2) (Fig. 15.38)
7. Cortical dysplasia (≥3)
8. Subependymal giant cell astrocytomas
9. Cardiac rhabdomyoma
10. Lymphangiioleiomyomatosis
11. Angiomyolipomas (≥2)

##### Minor criteria

1. Confetti skin lesions
2. Dental enamel pits (≥3)
3. Intraoral fibroms (≥2)

4. Retinal achromatic patch
5. Multiple renal cysts
6. Nonrenal hamartoma

### Tuberous Sclerosis Complex (Genetic Diagnosis)

Identification of either a TSC1 or TSC2 pathogenic mutation in DNA from normal tissue is diagnostic.

### 15.6.4 Surveillance

Surveillance of individuals with TSC is essential in order to identify and monitor the visceral lesions. Recommendations of the 2012 International Tuberous Sclerosis Complex Consensus Conference is summarized in Tables 15.8 and 15.9 [101].

### 15.6.5 Ocular Features

Around 50% of patients with tuberous sclerosis have astrocytic hamartoma. It may be the first manifestation of the disease and is one of the major criteria for diagnosis of tuberous sclerosis [102, 103].

#### 15.6.5.1 Symptoms

Patients are often asymptomatic with the lesion being detected on fundus examination.

#### 15.6.5.2 Signs

Astrocytic hamartomas are glial tumors arising from the optic nerve head or the retina. Though these tumors have a predilection to the posterior pole they may occur anywhere on the retina. The tumor may manifest in two clinical forms: as a glistening, white-yellow calcified tumor with well-defined borders and multiple small excrescences (mulberry or fish egg lesions; “old tuberous bodies”) (Fig. 15.39) or as a flat, grey-white tumor that is round or oval and has a smooth surface (young tuberous bodies) (Fig. 15.39). Perilesional circular reflex and the location near a vessel aid in identification of these “young tuberous bodies” that may be difficult to identify oth-

**Table 15.6** Clinical features of systemic manifestations of TSC

	<p>Cardiac rhabdomyoma 61% in less than 5 years 36% in older children</p>	<p>Pulmonary Lymphangiomyomatosis Almost exclusively in women of child bearing age 1–3% of TSC 30% of middle aged women with TSC</p>	<p>Renal angiomyolipoma (AML) 80% of TSC</p>	<p>Neurological 80% of TSC</p>	<p>Cutaneous Ash leaf macule: most common manifestation (92%)</p>
<p>Mean age at presentation</p>	<p>3 months in cases diagnosed in post-natal period</p>	<p>Variable, 30–40 years based on geographic area</p>	<p>11.3 years</p>	<p>1 year</p>	<p>Ash leaf macules: Birth Facial angioma: 2–5 years of age Shagreen patches: Increases with age Molluscum pendulum: First decade Forehead fibrous plaque: Birth to infancy Peritungal fibroma: Puberty Confetti like lesions: Second decade</p>
<p>Location</p>	<p>Solid tumors in ventricular myocardium (94%), rarely in atrium and valves Multiple in few cases</p>	<p>Abnormal smooth muscle cells infiltrate airways and cause lung cysts and pneumothorax</p>	<p>Multiple and bilateral Cysts in 30% 3% mimic autosomal dominant polycystic kidney disease</p>	<p>Cortical tuber at junction of grey and white matter, often radiate to deep white matter and ventricles Subependymal giant cell astrocytoma (SEGA) (10–15%) in the region of foramen of mono</p>	<p>Ash leaf macules: Trunk Facial angioma: centrofacial area sparing upper lip Shagreen patches: Dorsal body surface, especially lumbosacral area Molluscum pendulum: Neck, rarely axilla and groin Forehead fibrous plaque: Forehead or scalp Peritungal fibroma: More commonly toes than in fingers Confetti like lesions: extremities</p>



**Table 15.7** Clinical feature, course and management of systemic manifestations of TSC

	Cardiac rhabdomyoma	Pulmonary Lymphangiomyomatosis	Renal angiomyolipoma (AML)	Neurological	Cutaneous
Clinical features	Usually found in routine antenatal ultrasound or during evaluation in patients of suspected tuberous sclerosis and outflow obstruction and heart failure Arrhythmias	Insidious onset dyspnea, followed by fatigue, cough and chest pain 63% of patients can develop pneumothorax	Spontaneous hematuria, may be life threatening Flank pain Few patients develop end stage renal failure by replacement of renal parenchyma by AML Renal cell carcinoma	Infantile spasms (30%) TSC-associated neuropsychiatric disorders (TAND): Cognitive and behavioral impairment (25%)	Cosmetic blemish
Clinical course	Usually regress over time Only 10% undergo malignant transformation	Progressive, causes significant morbidity and mortality	Progressive, important cause of morbidity in these patients		
Treatment	Asymptomatic cases: Observation Symptomatic: Surgical resection mTOR inhibitors, sirolimus has been tried	Moderate to severe lung disease or rapid progression: mTOR inhibitors Advanced disease: Lung transplantation	Acute hemorrhage: Embolization followed by corticosteroids Growing angiomyolipoma measuring larger than 3 cm in diameter: mTOR inhibitor	Asymptomatic SEGA: Frequent MRI Acutely symptomatic SEGA: Surgical resection Growing but asymptomatic SEGA: Surgical resection or mTOR inhibitors TAND: Educational program Infantile spasm: Vigabatrin, ACTH, Epilepsy surgery for medically refractive seizures	Rapidly changing, disfiguring lesions: Surgical excision, laser, or possibly topical mTOR inhibitor

erwise. Intermediate lesions with both characteristics can also be seen [102].

Peripapillary and epipapillary lesions may range between  $\frac{1}{2}$  and 4 disc diameters in size. Rarely giant astrocytomas may occur in tuberous sclerosis (Fig. 15.40).



**Fig. 15.37** Face photo of a patient with TSC showing multiple angiofibroma

Involvement of the macula or the optic disc by the astrocytic hamartoma or macular exudation may result in vision loss [104].

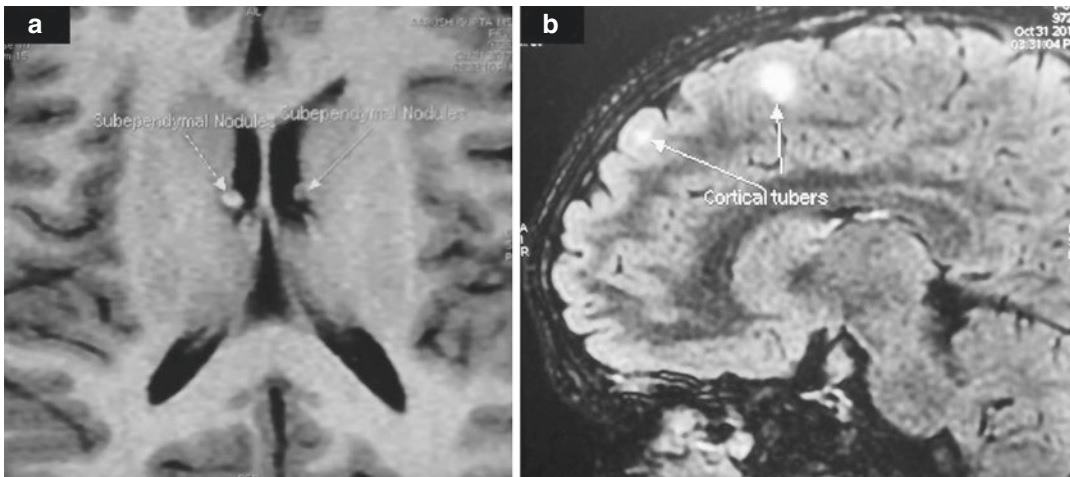
Progressive enlargement of the lesion can result in visual field loss and loss of vision. Rarely astrocytic hamartoma can lead to vitreous hemorrhage [105].

Retinal pigment epithelial abnormalities such as hyperpigmentation and “punched-out” hypopigmentation such as seen in combined hamartoma of the retina and retinal pigment epithelium may also be seen in patients with tuberous sclerosis. Palpebral angiofibromas, non-paralytic strabismus, refractive errors, iris depigmentation, cataract and choroidal colobomas are other associated findings [106].

Ash-leaf shaped iris atrophy, corneal leukoma, megalocornea, primary and secondary glaucoma, optic nerve atrophy, papilledema, and VI nerve palsy are other rarely reported findings in tuberous sclerosis [107].

Ultrasonography of calcified large astrocytic hamartoma show well demarcated, oval mass with a sharp anterior border, acoustic solidity and lack of choroidal excavation. There may be orbital shadowing.

The calcified astrocytic hamartoma may demonstrate autofluorescence in pre injection photographs of fluorescein angiography. There is diffuse hyperfluorescence in the late phases due to leakage from the tumor vessels.



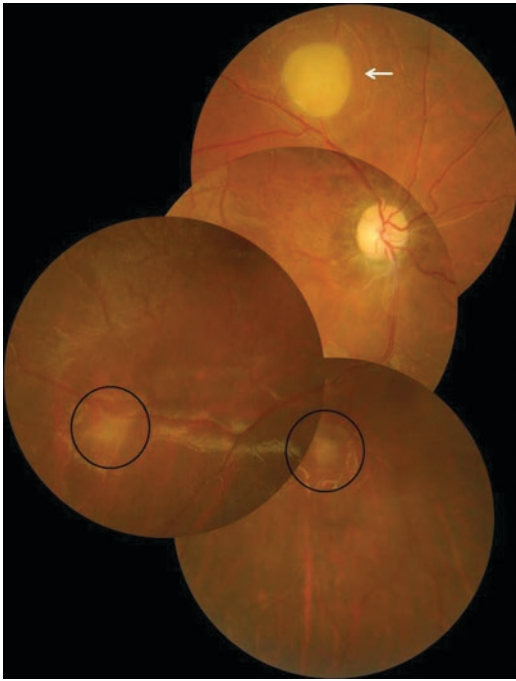
**Fig. 15.38** MRI brain of a patient with TSC. Subependymal nodules (a) and cortical tubers (b). (Image courtesy: Dr. Vikas Khetan)

**Table 15.8** Surveillance for newly diagnosed or suspected TSC

	Recommendation
Genetics	Three-generation family history Screening of family members Offer genetic testing <ul style="list-style-type: none"> <li>• For counselling</li> <li>• In suspected cases</li> </ul>
Brain	MRI brain Evaluate for TSC associated neuropsychiatric disorder educate parents to recognize infantile spasms Baseline electroencephalogram (EEG)
Kidney	MRI abdomen Blood pressure Renal function tests including glomerular filtration rate (GFR)
Lung	Baseline pulmonary function tests High resolution chest CT in females 18 years or older (even if asymptomatic) and in symptomatic males
Skin	Detailed dermatological exam
Teeth	Detailed dental exam
Heart	Echocardiogram, if younger than 3 years Electrocardiogram in all ages to assess conduction defects
Eye	Detailed examination including dilated fundus evaluation

**Table 15.9** Surveillance for already diagnosed TSC

	Recommendation	Frequency
Genetics	Offer genetic testing in individuals of reproductive age or those considering children	
Brain	MRI brain in patients younger than 25 years	1–3 yearly
	Screening for TSC-associated neuropsychiatric disorders	Annually
	EEG in individuals with known or suspected seizure	Determined by clinical need
Kidney	MRI abdomen to assess progression of angiomyolipoma and renal cystic disease	1–3 yearly
	Renal function tests including glomerular filtration rate (GFR)	Yearly
Lung	Clinical screening for lymphangioleiomyomatosis (LAM) symptoms	Each visit
a. Individuals without lung cyst	HRCT	5–10 yearly
b. Individuals with lung cysts	Pulmonary function tests	Yearly
	HRCT	2–3 yearly
Skin	Detailed dermatological exam	Yearly
Teeth	Detailed dental exam	6 monthly
	Panoramic radiograph	7 years of age
Heart	Echocardiogram in asymptomatic kids	1–3 yearly until regression of cardiac rhabdomyoma
	ECG in asymptomatic patients of all ages	3–5 yearly
Eye	Detailed examination including dilated fundus evaluation	Yearly



**Fig. 15.39** Fundus photograph of a patient with TSC. Old tuberous body is seen as glistening, white-yellow calcified tumor with well-defined border (arrow). Young tuberous bodies are seen as a flat, grey-white tumor and have a smooth surface (within circles)

On OCT, astrocytomas are characterised by the presence of hyperelective and round, confluent, moth-eaten empty spaces with posterior shadowing on the surface of the tumor with disorganization of inner retinal layers (Fig. 15.41). Shallow elevation of the adjacent retina, macular edema and vitreoretinal traction over the tumor are other findings that can be found on OCT of astrocytomas [108]. Younger lesions may be localized to the nerve fiber layer with intact deeper retinal layers and RPE (Fig. 15.42) while older lesions show hyperelectivity of the inner retinal layers with masking of the underlying retinal layers [109].

Lesions indistinct on fundus examination may be picked up on OCT. [110]

### 15.6.6 Histopathology

Retinal hamartomas are composed of fibrotic astrocytes with small oval nucleus and long cytoplasmic extensions.

### 15.6.7 Treatment

Ten percentage of flat lesions may progress and some may resolve spontaneously.

Astrocytic hamartoma are relatively stable and rarely affect visual acuity. Hence routine treatment is unnecessary. In cases with exudation with no spontaneous resolution direct laser photocoagulation or photodynamic therapy may aid in resorption of macular exudates [111–113].

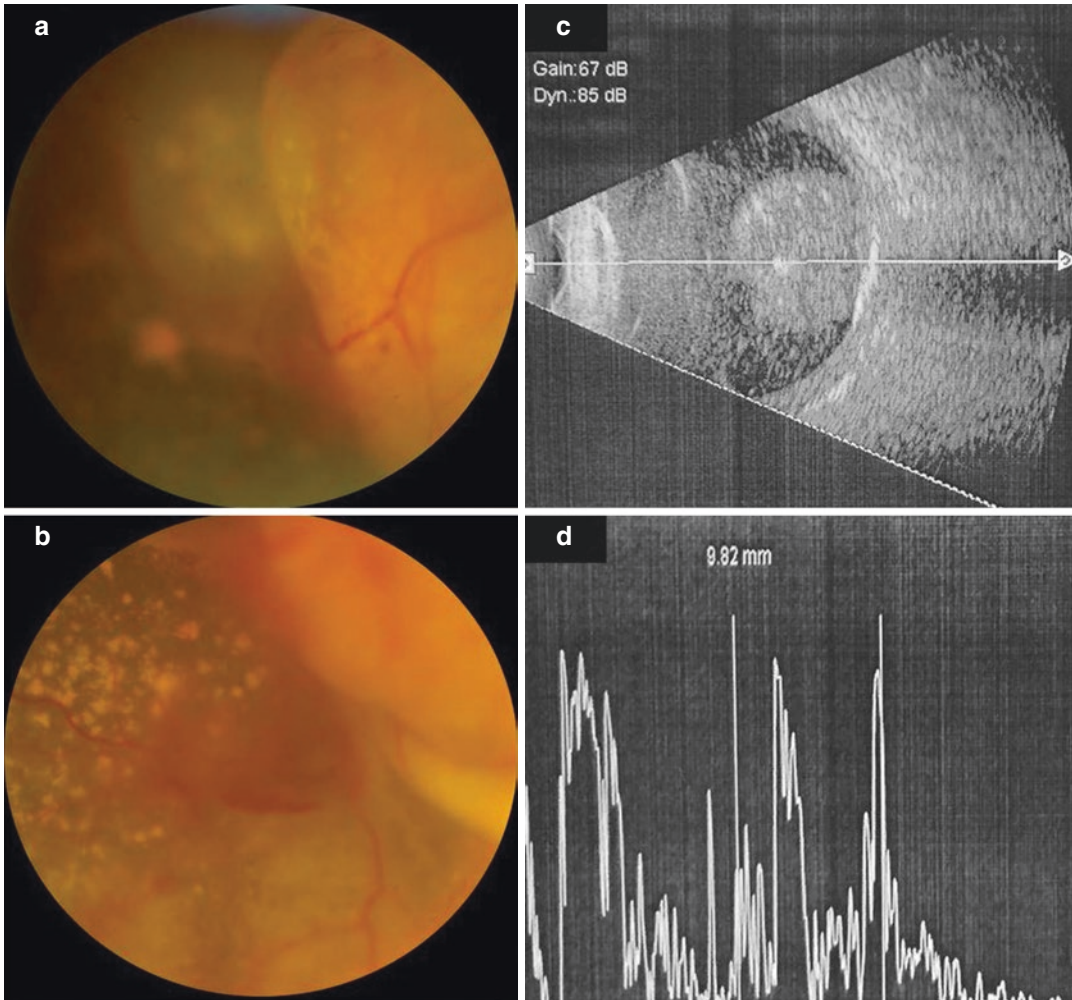
In tumors associated with tumor neovascularization and macular edema, intravitreal bevacizumab can result in regression of the macular edema, tumor neovascularization and decrease in tumor size [114].

A rare subset of retinal astrocytoma associated with tuberous sclerosis may behave aggressively demonstrating progressive growth and complications such as exudative total retinal detachment, vitreous hemorrhage and neovascular glaucoma [115].

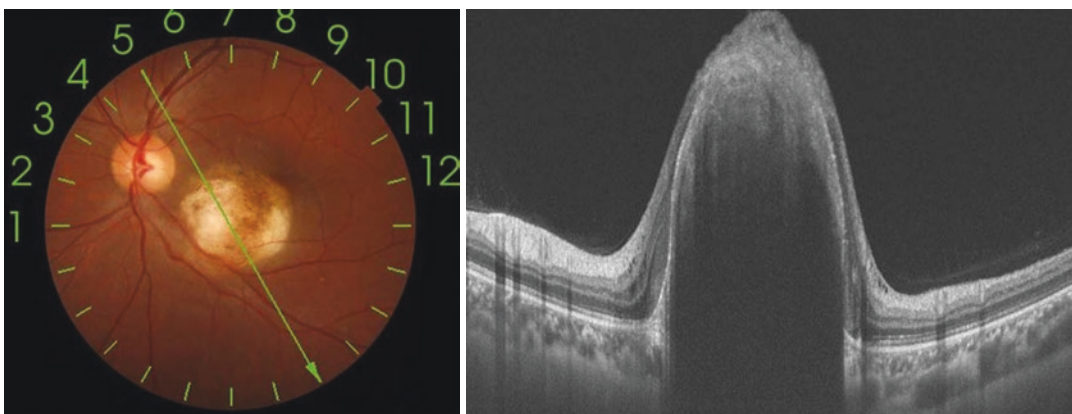
These patients may have multiple tumors, but the tumor near the optic disc shows growth. The aggressive retinal astrocytomas are composed of plump spindle cells and giant cells, giving them the name “Giant cell astrocytoma”. They demonstrate intense immunoreactivity for vimentin, GFAP and neuron-specific enolase [115].

Giant cell astrocytomas may need treatment. Laser photocoagulation is employed if associated with minimal subretinal fluid. Progressive growth, neovascularization and extensive subretinal fluid may need more aggressive treatment in the form of intravitreal anti-VEGF agents, PDT and subretinal fluid drainage [116].

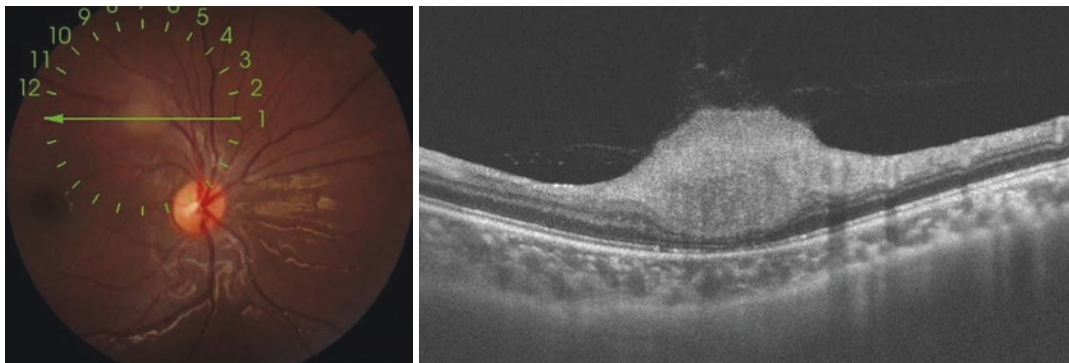
Vitrectomy may be necessary for treating vitreous hemorrhage, epiretinal membrane and retinal detachment [117].



**Fig. 15.40** Fundus photograph of a patient with TSC (a, b). Giant yellow white tumor with retinal detachment is seen. Ultrasound image (c, d) of the same tumor showing areas of calcification



**Fig. 15.41** OCT of older astrocytic hamartoma. Posterior shadowing with disorganization of inner retinal layers



**Fig. 15.42** OCT of younger astrocytic hamartoma. Tumor is localized to the nerve fiber layer with intact deeper retinal layers and RPE

**Table 15.10** Systemic features of NF 1

Musculoskeletal	Cutaneous	CNS	Peripheral nervous system
Sphenoid bone dysplasia	Cafe au lait spots	Epilepsy	Neurofibroma
Congenital hydrocephalus	Axillary and inguinal freckles	Cognitive and learning disability	Schwannoma
Scoliosis	Dermal neurofibroma	Attention deficit hyperactivity disorder	
Meningocele			
Dural ectasia			
Bowing of long bones			
Unilateral overgrowth of limbs			

## 15.7 Neurofibromatosis 1

Neurofibromatosis type 1 (NF1) is a complex multi-system disorder with cutaneous, musculoskeletal, neurological and ocular manifestations [118].

### 15.7.1 Epidemiology [119–122]

There is no gender, sex, race or geographical area predilection.

Prevalence—1:3000 people.

### 15.7.2 Genetics and Pathogenesis

Inheritance: Autosomal dominant with complete penetrance and variable expressivity.

NF 1 occurs due to the mutation in neurofibromin gene (NF1) located on chromosome 17p11.2. In 50% of individuals, the mutation occur de novo [122].

### 15.7.3 Systemic Features

Systemic features of NF1 are summarized in Table 15.10.

#### 15.7.3.1 Diagnostic Criteria [123]

Two of these seven clinical features are required for diagnosis

1. Six or more café-au-lait spots over 5 mm in greatest diameter in pre-pubertal individuals and over 15 mm in greatest diameter in post-pubertal individuals. Note that multiple café-au-lait spots alone are not a definitive diagnosis of NF-1 as these spots can be caused by a number of other conditions.
2. Two or more neurofibromas of any type or 1 plexiform neurofibroma
3. Freckling in the axillary (Crowe sign) or inguinal regions
4. Optic glioma
5. Two or more Lisch nodules (pigmented iris hamartomas)

6. A distinctive osseous lesion such as sphenoid dysplasia, or thinning of the long bone cortex with or without pseudoarthrosis.
7. A first degree relative (parent, sibling, or offspring) with NF-1 by the above criteria.

#### 15.7.4 Ocular Features

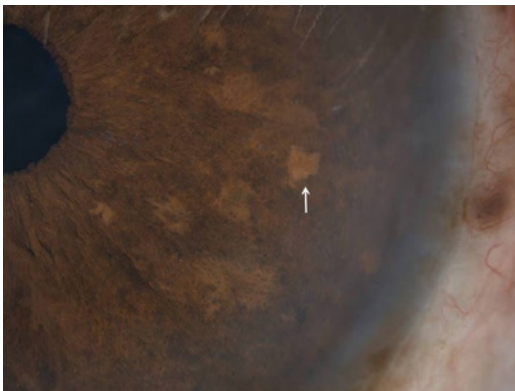
Bilateral Lisch nodules of the iris are the classic manifestation of NF1. Lisch nodules appear by 2 years of age and 50% of children present with nodules. Lisch nodules are found in >90% of adults with NF1 [124–127].

Lisch nodules appear creamy white in dark irides and brown in light irides. They may appear as dome shaped nodules or as confluent masses with ragged borders, projecting from the iris surface (Fig. 15.43) [125].

Dome shaped elevated or flat placoid posterior pole choroidal nodules may be noted in NF1. These are seen well on OCT. They are composed of neuronal and melanocytic components. Overlying retinal vascular malformations may also occur. Rarely, multiple retinal capillary hemangiomas, astrocytic hamartomas and combined hamartoma of the retina and retinal pigment epithelium have been noted in NF1 [128–130].

Neurofibromatosis 1 patients are at an increased risk for developing uveal melanoma.

The number of Lisch nodules appears to correlate with that of the choroidal nodules.



**Fig. 15.43** Slit lamp photograph of iris showing Lisch nodules. (Image courtesy: Dr. Vinaya Kumar Konana)

Optic nerve glioma, plexiform neurofibromas of the orbit, microphthalmos, enophthalmos, conjunctival neurofibroma, hypertrophic corneal nerves, glaucoma are other associated ocular findings in NF1.

#### 15.7.5 Histopathology

Lisch nodules are pigmented hamartomatous nodular aggregate of dendritic melanocytes.

#### 15.7.6 Treatment

Lisch and choroidal nodules in NF1 do not need treatment and aid as a marker to the diagnosis of NF1.

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### 15.8 Syndromes Associated with Combined Hamartoma of the Retina and Retinal Pigment Epithelium (CHRRPE)

Combined hamartoma of the retina and retinal pigment epithelium is a benign lesion commonly involving the juxtapapillary and macular region and less commonly the periphery.

#### 15.8.1 Etiology

They are believed to be congenital lesions that are noticed in infants and young children. There is no sexual predilection. Caucasian race may be more affected.

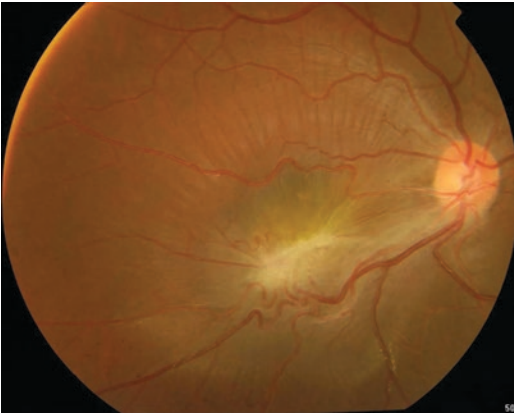
#### 15.8.2 Clinical Features

Combined hamartoma of the retina and retinal pigment epithelium appear as a slightly elevated black or charcoal gray mass involving the retinal pigment epithelium, retina and overlying vitreous [131].

It is usually unilateral and bilateral cases have been reported rarely.

CHRRPE causes vision loss due to macular distortion and epiretinal membrane (ERM). Patients may present with strabismus, floaters, leukocoria and ocular pain. Direct involvement of optic nerve and papillomacular bundle may reduce visual acuity.

Base of each lesion is composed of a relatively flat sheet of highly pigmented tissue and the outer more pigmented portion of tumor is covered centrally by gray-white retinal and pre-retinal tissue. Contraction of inner surface of the lesion is associated with distortion and displacement of adjacent retina and retinal blood vessels toward the center of the lesion. It blends imperceptibly with the surrounding RPE with absence of RPE or choroidal atrophy at the margin (Fig. 15.44).



**Fig. 15.44** Fundus photograph of CHRRPE

### 15.8.3 Diagnosis

In early-phase fluorescein angiography, hyperpigmentation of the tumor results in hypofluorescence. Tractional distortion of the retina leads to marked vascular tortuosity and telangiectasia. Vascular anomalies are more evident in the mid and the late phase and are hyperfluorescent due to leakage from the tortuous vessels. ICG may show patchy hyperfluorescence corresponding to the location of the tumor in late phases.

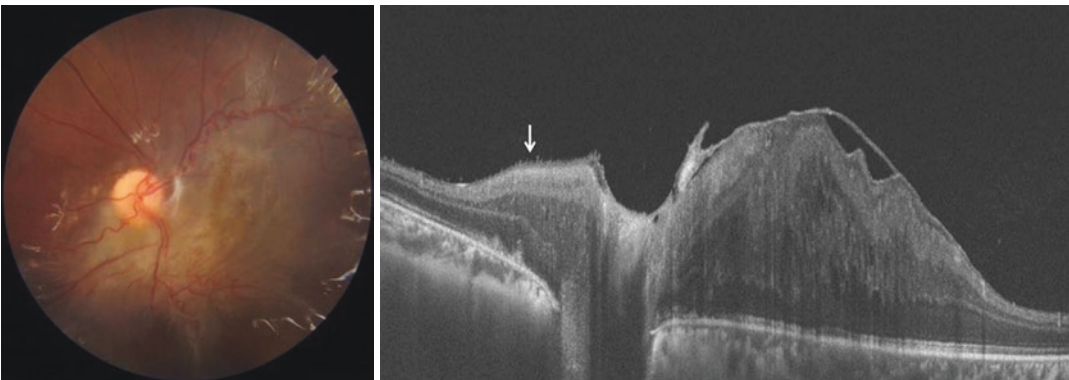
OCT shows the distinct ERM with retinal striae and folds. Mini-peaks or saw tooth appearance of the inner retina, attenuation of outer retina with minimal attenuation or normal photoreceptor layer is typical of CHRRPE (Fig. 15.45).

### 15.8.4 Differential Diagnosis

- Choroidal melanoma.
- Choroidal nevus.
- Adenoma or adenocarcinoma of RPE.
- Melanocytoma.
- Morning glory anomaly.

### 15.8.5 Complications

Combined hamartoma of the retina and retinal pigment epithelium may be associated with superficial hemorrhages, retinal capillary non-



**Fig. 15.45** OCT of CHRRPE showing mini-peaks or saw tooth appearance (arrow) of the inner retina, attenuation of outer retina with minimal attenuation or normal photoreceptor layer



perfusion with preretinal neovascularization resulting in vitreous hemorrhage [132–134].

Other complications such as choroidal neovascularization and macular hole may result in visual loss [135–137].

Less commonly retinal exudation, macular edema, retinoschisis may also occur in CHRRPE.

### 15.8.6 Histopathology

Combined hamartomas of the retina and retinal pigment epithelium characteristically contain pigment epithelium, vascular, and glial components [138].

### 15.8.7 Treatment

In those cases with visual loss due to traction involving the fovea, vitrectomy and membrane peeling can be attempted to improve visual acuity [139–141]. (Fig. 15.46).

A functional component of amblyopia may be superimposed on visual loss caused by structural abnormalities. In some cases this can be treated [142].

Subfoveal CNV associated with combined hamartoma of the retina and RPE has been surgically excised with good visual recovery in one patient [143].

CHRRPE can be seen in association with [144–149]

- Neurofibromatosis 1 and 2
- Incontinentia pigmentii
- Tuberous sclerosis
- Gorlin Goltz syndrome
- Poland anomaly
- Branchio-oculo-facial syndrome
- Branchio-oto-renal syndrome
- Juvenile nasopharyngeal angiofibroma.

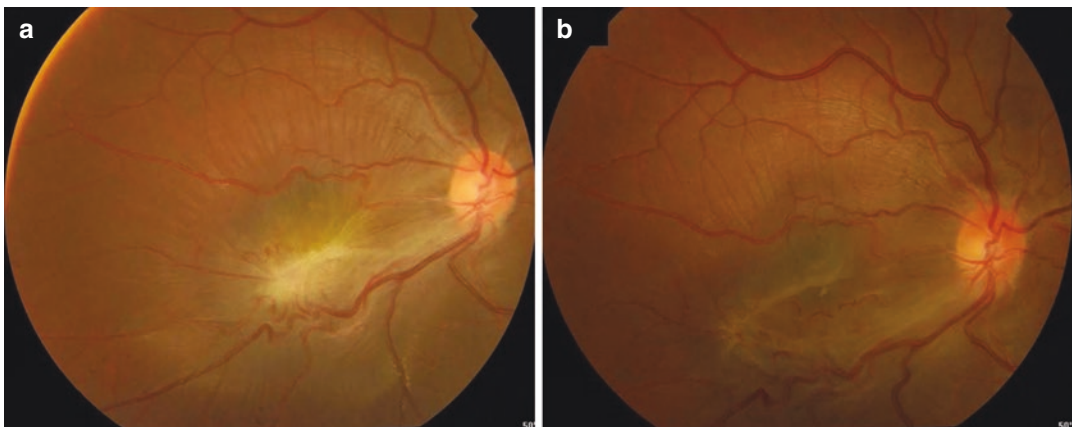
### 15.8.8 Prognosis

Progressive vision loss may occur due to tumor growth or complications associated with the tumor.

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## 15.9 Syndrome Associated with Congenital Hypertrophy of Retinal Pigment Epithelium (CHRPE): Gardner Syndrome

Gardner syndrome, is characterized by the presence of multiple polyps in the colon and extracolonic tumors including osteomas of the skull, thyroid cancer, epidermoid cysts, fibromas, desmoid tumors and CHRPE.



**Fig. 15.46** Fundus photograph of CHRRPE with distortion of retina (a). Fundus photograph after epiretinal membrane peeling (b). But the patient developed re-proliferation of membrane with formation of macular hole

### 15.9.1 Epidemiology

Incidence: 1 in 7500 live births.  
80% familial.

### 15.9.2 Genetics

Inheritance: autosomal dominant.  
No sexual predilection.

Gardner syndrome is caused by mutation in the adenomatous polyposis coli (APC) gene, located in chromosome 5q21

### 15.9.3 Systemic Features [150]

Adenomatous polyps of the gastrointestinal tract.  
Desmoid tumours.  
Osteomas.  
Epidermoid cysts.  
Lipomas.  
Dental abnormalities.  
Periampullary carcinomas.  
Gastric and duodenal polyps.  
Thyroid carcinoma.

### 15.9.4 Ocular Features

Solitary, acquired CHRPE occurs late in life and is not associated with systemic disease. Multiple or grouped CHRPE may however be associated with systemic disease.

Multifocal haphazardly arranged small (4–5 mm), round or tear-drop shaped, flat, darkly pigmented RPE lesions with irregular borders, simulating bear-tracks are an indicator to Gardner's syndrome. They are situated in the mid periphery and are autofluorescent.

Four or more such pigmented fundus lesions in each eye is a reliable indication that the patient may eventually develop colorectal cancer. These lesions are hamartomas of RPE composed of tall, columnar densely pigmented RPE surrounded by halo of depigmented RPE cells. Malignant transformation to adenocarcinoma has rarely been reported [151].

### 15.10 Conclusions

Detection of tumors such as a retinal angioma, astrocytoma, atypical CHRPE or combined hamartoma of the retina and retinal pigment epithelium may be the first clue to an underlying multisystem disease. While the intraocular tumors associated with the syndromes discussed here are benign, the associated systemic disease as in von-Hippel Lindau disease, tuberous sclerosis and neurofibromatosis may be associated with life-threatening underlying conditions requiring screening and referral. The vascular lesions such as retinal and choroidal hemangiomas and some astrocytomas may need treatment to alleviate vision loss. Vitreoretinal surgical techniques may at times be needed to stabilize and improve vision.

### References

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C. Umadevi and Bipasha Mukherjee

## 16.1 Introduction

Enucleation may be a life-saving procedure in patients with unsalvageable retinoblastoma, but it is also a life-changing experience for a child and the parents. The soft tissue component of the orbit is a crucial determinant of orbital bony growth hence, adequate volume replacement following enucleation is a major factor contributing to orbital development in children [1]. The ocular prosthesis is also considered to be an important factor in minimizing orbital growth retardation and thus preventing further facial asymmetry. A natural appearing prosthesis is essential to improve the self-esteem and prevent psychological trauma. In the recent past numerous technical developments and refinements have taken place in the field of anophthalmic socket surgery with regard to implant material and design.

## 16.2 Historical Perspective

Egyptians and Romans used ocular prostheses as early as 500 B.C.. Different materials like ivory, cork, cartilage, fat, bone, platinum, aluminum, silver, and gold have been used to make orbital implants. The first spherical implant made of

glass, into an eviscerated globe, was done by Mules in 1885 [2].

## 16.3 Characteristics of an Ideal Implant [3] (According to Baino et al.)

1. Non-degradable (the orbital implant is regarded as being permanent).
2. Biocompatible (i.e. non-toxic, non-allergenic and non-carcinogenic).
3. Does not produce foreign-body reaction (inflammation or encapsulation).
4. Can be sterilized easily without degradation of material.
5. Exhibit adequate mechanical resistance to allow safe manipulation during surgery.
6. Capable of bonding with (or being colonized by) soft vascularized tissue.
7. Inexpensive.
8. Easily shaped into the desired form.
9. Offers excellent motility to be transferred to the ocular prosthesis.

## 16.4 Considerations in Orbital Implant Selection

It is mandatory to replace the globe with an orbital implant after enucleation, as absence of an implant will lead to a contracted socket and facial asymmetry, due to lack of stimulus for orbital

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growth. In case an implant cannot be placed during primary surgery a secondary ball implantation should be scheduled as soon as possible.

Approximately 70–80% of the volume of a normal globe should be replaced by the implant to avoid post-enucleation socket syndrome (PESS) [2]. A globe with an axial length of 24 mm has a volume of approximately 7 mL. An 18 mm sphere has a volume of 3.1 mL; a 20 mm sphere has a volume of 4.2 mL [4]. The remaining volume is replaced by the prosthesis (2–2.5 mL). The axial length of the contralateral eye (or age matched controls in a bilateral affliction) minus 2 mm (Or—3 if the implant is wrapped with sclera) estimates the ideal implant diameter for optimal volume replacement [5].

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### 16.5 Selection of Orbital Implant in Children

The selection of orbital implants is influenced by the surgeon's own preference, personal experience and training, design and the cost.

The fastest growth rate of the eye and orbit is seen during the first year of life. By 4 years of age the volume of the globe reaches 70% and 90% by age seven. It has been seen that facial development is affected by the volume of the orbits. Studies have indicated that facial asymmetries are due to enucleation at an early age rather than orbital irradiation [6].

We advocate the use of a non-porous silicone/PMMA implant in the paediatric population due to the economic price, low rate of complications, and ease of removal for subsequent implant exchange, if required. Mourits et al. concluded that outcomes are better with acrylic implants compared to the Hydroxyapatite implants [7]. On imaging, hydroxyapatite implants are radio-opaque; this might interfere with identification of calcification associated with recurrent retinoblastoma. On imaging, an ideal implant should have a well-circumscribed appearance and intermediate signal intensity, not to interfere with the diagnosis of tumour recurrence [8–10].

### 16.6 Classification of Orbital Implants [2]

Orbital implants can be classified according to the material as porous or nonporous:

1. Porous implant: An implant with numerous interconnected pores or channels throughout its structure that permit fibrovascular ingrowth. E.g. hydroxyapatite (HA), aluminum oxide, porous polyethylene (PE).
2. Nonporous implant: An implant that is solid and does not allow fibrovascular ingrowth. E.g. polymethylmethacrylate (PMMA), silicone (Fig. 16.1).

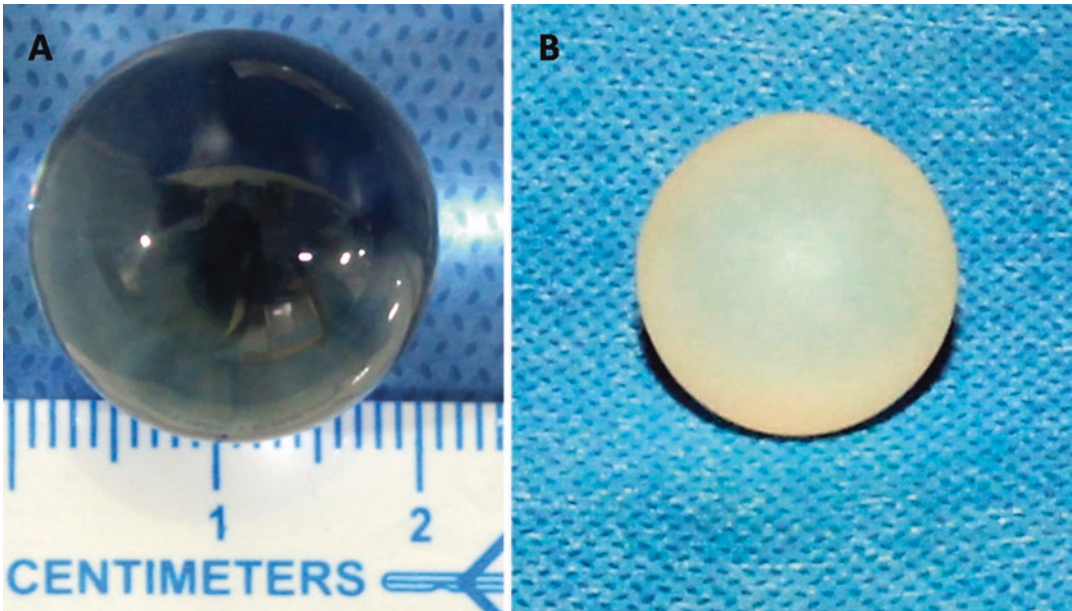
Implants can also be classified according to the design or connection of the implant to the overlying prosthesis.

1. Buried implant: An implant that has been placed within the anophthalmic socket with an overlying closed, smooth, uninterrupted conjunctival surface completely covering the implant.
2. Exposed implant: An implant that does not have an overlying closed, smooth, uninterrupted surface covering it.
3. Non-integrated implant: An implant that has been placed within the anophthalmic socket that has no connection with the overlying prosthetic eye.
4. Integrated implant: An implant that is directly coupled to the overlying prosthetic eye.
5. Semi-integrated implant: A buried implant with an irregular anterior surface, allowing indirect coupling ("quasi-integration") to the posterior surface of an overlying, modified prosthesis (e.g., Allen, Iowa, Universal, MEDPOR® Quad implants).

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### 16.7 Biomaterials for Orbital Implants

1. *Autologous or biogenic implant*: Dermis fat graft (DFG) - is recommended for its growth potential [11].



**Fig. 16.1** Spherical non porous PMMA and silicone implants

The upside is that implant extrusion is a virtual impossibility in autografts.

DFG is preferred in the following scenarios:

- As a secondary procedure after extrusion or exposure of ball implant
- Deficient surface lining along with volume deficit in contracted socket
- As a primary procedure in children, since it has been reported to expand with the growth of the child [12].

Bosniak et al. reported very good socket movements and deeper fornices with primary autogenous dermis-fat orbital implants than synthetic ball implants [13]. Disadvantages of dermis-fat grafts include unpredictable rate of absorption especially in an irradiated socket resulting in orbital volume deficiency and donor site morbidity.

## 2. Synthetic:

(a) Polymeric orbital implants: Silicone, polymethylmethacrylate (PMMA), polyethylene (PE), polytetrafluoroethylene (PTFE), copolymer of methyl methacrylate.

(b) Expandable implants—these implants expand in situ and thus are specifically useful in cases of children where a stimulus for orbital growth is required.

- Silicon balloon expanders
- Hydrogel expanders (N-vinyl pyrrolidone, polyacrylamide gel)

(c) Ceramic implants

(d) Composite implants

(e) Magnetic implants

(a) *Polymeric implants* are widely used especially in the Asia-Pacific region.

*Silicone* is a commonly used spherical non-porous orbital implant. It is inert, relatively pliable with well-established biocompatibility. Direct suture placement through the implant without wrapping is possible due to the soft and pliable nature of the material. However, foreign body reaction causing a dense, fibrous capsule surrounding the implant has been noted.

*PMMA* is another widely-used polymer due to its excellent biocompatibility. They owe their popularity to the low cost,

availability, ease of handling and by and large good clinical outcomes.

Limitations: Fibro vascular in-growth is not possible hence exposure of implant is less amenable to conservative treatment and almost always results in extrusion of the implant.

#### *PMMA implants*

*Allen type* family PMMA implants underwent significant redesigning to reduce complications. Advantages -“lock-and-key” coupling system -supports the ocular prosthesis, thereby enhancing its motility.

Drawbacks—complex surgical procedure, exposure of the implant entails mandatory removal of the implant.

*Iowa implant* manufactured by injection-moulding a methyl methacrylate resin had four peripheral mounds located on its anterior surface designed to match four corresponding depressions on the posterior surface of the custom made prosthesis. The mounds create two channels so that the horizontal and vertical muscle stumps can be easily sutured together. Retrospective studies have shown low exposure and extrusion rates.

The drawback is possible necrosis of tissues covering the mounds of the implant.

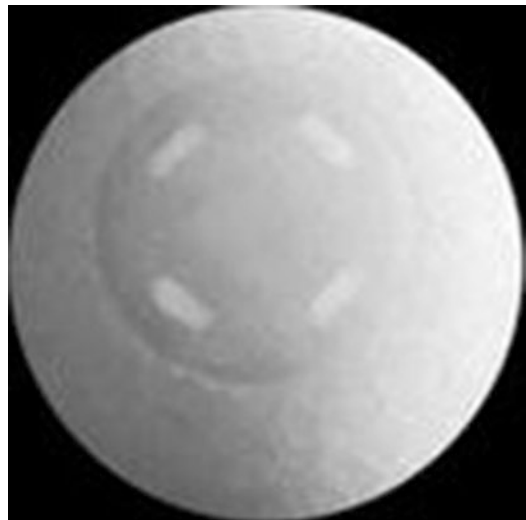
*Universal Implant* has the advantage of Iowa’s motility, but with smaller and rounded mounds, potentially decreasing the rate of complications [14].

*Castroviejo implant*—a variation of the Allen-type device, which offers motility to the ocular prosthesis. Anteriorly, it has a central depression surrounded by four bridges; the four recti muscles are accommodated in the tunnels situated directly beneath the bridge, and the ends of the opposed muscles are sewn so as to overlap each other. The implant is completely buried under the conjunctiva.

*Synthetic porous polyethylene (PE)* implants have been extensively accepted as an alternative to hydroxyapatite (HA) [8, 15]. Porous polyethylene implants, (MEDPOR®), although less biocompatible than HA, are usually well tolerated by orbital soft tissue.

The smoother surface allows easier implantation and potentially less irritation of the overlying conjunctiva. These implants have a high tensile strength, but are malleable enough to allow for sculpting of the anterior surface of the implant. They may be used with or without a wrapping material.

Porous polyethylene implants are available in spherical, egg, conical, and mound shapes (MEDPOR Quad implant®). The anterior surface can also be manufactured with a smooth, nonporous surface to prevent abrasion of the overlying tissue (*MEDPOR smooth surface tunnel implant—SST*®) while retaining a larger pore size posteriorly to potentially facilitate fibrovascular ingrowth and suture tunnels to allow easy attachment of recti muscles [16]. Woog et al. used Medpor SST implants after enucleation with good motility with good cosmesis without complications [17]. Mahoney et al. reported that the exposure rate of MEDPOR SST® was significantly lower (3.3%) than other porous implants (7.1% over a 2-year follow-up) in 150 enucleated patients [18] (Fig. 16.2).



**Fig. 16.2** MEDPOR SST implant (<http://www.stryker.com/latm/products/Craniomaxillofacial/MEDPOR/MEDPOROculoplasticImplants>)

The new version of PE porous devices is *MEDPOR Quad™* implant, which is made of porous PE instead of PMMA.

*Alpha Sphere*—Poly (2-hydroxyethyl methacrylate) spherical implants have been introduced for the management of primary enucleation [19].

Advantages—Extraocular muscles can be directly sutured to the implant as is malleable and relatively soft; the smooth surface prevents conjunctival breakdown; and it undergoes anterior orbital fibrovascular in-growth (as the anterior hemisphere is porous).

The possible side effects are implant disintegration, fragmentation and partial extrusion.

(b) *Hydrogel* formulation is obtained by the copolymerization of methyl methacrylate and N-vinyl pyrrolidone. Hydrogel has been used in the form of both spherical orbital implants and small injectable pellets which can expand in situ for orbital volume augmentation [20] (Fig. 16.3).

(c) *Ceramic implants*

Porous ceramic implants have gained increasing popularity since their highly interconnected pore network allows fibrovascular ingrowth with low complication rates, while enhancing motility of the prosthesis, when pegging is done [8].

*Bio-ceramic implant* (Alumina®) made of Aluminum oxide is an alternative porous

orbital implant available for use (FDA approved in April 2000) [21]. It is lightweight, reduces pressure over the upper lid, structurally strong, and has uniform evenly distributed pores and excellent pore interconnectivity enhancing host fibrovascular ingrowth, and much less expensive than HAp or PE implants.

Limitations—discharge, exposure, socket discomfort, and conjunctival thinning.

Jordan et al. reported the incidence of exposure associated with the Bioceramic implant (2.0%) is lower than that reported for HA implants [1].

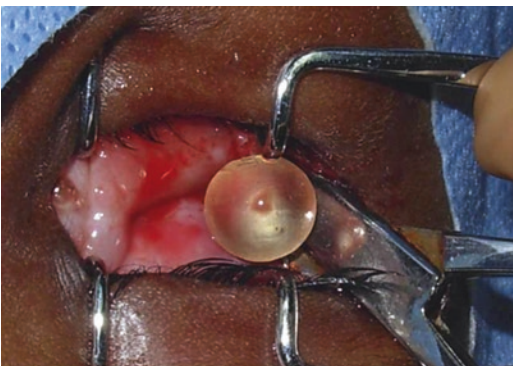
*Bio-active glass* (bio-silicate, Na, Ca)-used to fabricate as well as salvage procedures to fill the peg tracts and re pegging with less inflammation.

(d) *Composite implants*

The anterior portion of the implant is made of synthetic porous HA to allow tissue integration which is connected to a posterior silicone hemisphere/cone. The muscles are sutured cross-wise in front of the implant to ensure better stability and motility (Guthoff implant®).

(e) *Magnetic implants*

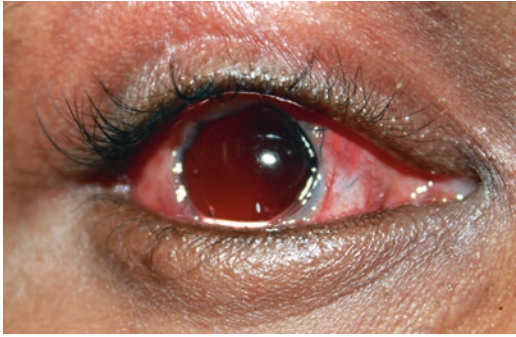
Implant movement is transferred to the prosthesis by a couple of magnets with opposite poles incorporated on the posterior surface of the prosthesis and the anterior surface of the implant, the conjunctiva being sandwiched between the two elements [22].



**Fig. 16.3** Hydrogel implant being inserted through lateral conjunctival fornix in to the intraconal retrobulbar space

## 16.8 Common Complications Seen After Placement of Orbital Implants [3]

1. Conjunctival thinning
2. Ectropion/Entropion
3. Encapsulation
4. Enophthalmos
5. Exposure (Fig. 16.4)
6. Extrusion
7. Inflammation/infection
8. (Pseudo)Ptosis
9. Pyogenic granuloma
10. Superior sulcus deformity (Fig. 16.5)



**Fig. 16.4** Exposure of PMMA implant



**Fig. 16.6** Exposure of indigenous porous implant



**Fig. 16.5** Superior sulcus deformity due to inadequate volume replacement after enucleation



**Fig. 16.7** Implant migration

Implant exposure rates after primary enucleation range from 0 to 21.6% [2, 23]. Significantly higher rates of implant exposure with porous implants have been reported, which may lessen after wrapping the implants [24] (Fig. 16.6).

Implant migration ranges from 0% to 61% (Fig. 16.7). Nikolaos et al. reported a significantly greater proportion of orbital implant migration in patients with a nonporous spherical implant than a porous implant [25]. Per operative orbital irradiation did not seem to increase implant migration and exposure. However, implant exposure was noted in a substantially greater proportion of patients who received perioperative systemic chemotherapy. According to Nikolaos et al., the effects of systemic chemotherapy on the conjunctiva and subconjunctival connective tissue over the implant predispose to exposure [25].

Kassae et al. states that both sclera and Mersilene mesh are safe for wrapping HA orbital implant. Mersilene mesh may be the preferred choice as there is no possibility of disease transmission, easily available, economical, shorter surgery time with insignificant soft tissue compli-

cations [26]. However, the long-term efficacy and safety of Mersilene mesh needs to be assessed in a larger series of patients with longer follow-up.

## 16.9 Experimental Strategies and Future Research on Orbital Implants

The experimental strategies are that of surface modifications for implants and prostheses with coatings with  $\text{Cu}^{2+}$ ,  $\text{Ag}^+$  ions in order to promote fibrovascularization as well as to provide an anti-bacterial effect. The nature of the material used as orbital implant has to be considered cautiously as they have to act as long-lasting devices for the patient's lifetime. Bioactive glass implants seem to be promising as they have reasonable bonding

with the orbital soft tissues and are economical compared to other bioceramics such as HA and alumina [3].

## 16.10 Conclusion

Anophthalmic surgery is no longer merely restricted to treating the diseased eye and restoring the cosmesis. The research goals in this field, especially in case of children, are to minimize the likely complications, improve cosmesis, and provide maximal prosthetic motility after the enucleation. Meticulous surgery, proper implant selection with volume replacement, custom made prostheses, and regular follow up are needed to achieve an excellent cosmetic appearance in a growing child.

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# Post Enucleation Socket Management

# 17

Mangesh Dhobekar and Bipasha Mukherjee

## 17.1 Introduction

The management of the post enucleation anophthalmic socket remains a challenging one for the ophthalmic plastic surgeon and the ocularist. The best functional and cosmetic result depends upon the surgical techniques at the time of primary enucleation surgery and it should be performed very meticulously to avoid the postoperative socket deformities.

A functionally and cosmetically acceptable anophthalmic socket should have all of the following components [1]:

- A central, well-covered and biocompatible implant of adequate volume
- A socket lined with healthy conjunctiva or mucous membrane with deep fornices
- Eyelids with normal position, adequate tone and appearance
- A supra tarsal eyelid fold that is symmetric with that of the contra lateral eyelid
- Good transmission of motility from the implant to the overlying prosthesis

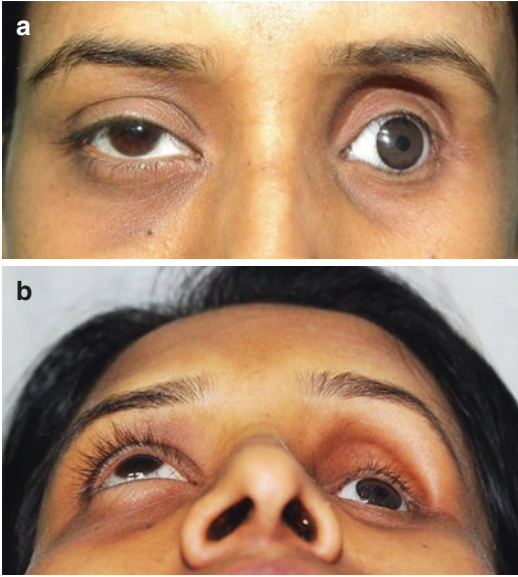
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- A comfortable ocular prosthesis that looks similar to the sighted, contra lateral globe
- Any change in one of these components may lead to suboptimal cosmesis and function. It is emphasized to have the good coordination between the ophthalmic plastic surgeon and the ocularist in order to examine the anophthalmic socket preoperatively, to plan the surgical and conservative procedures and for the follow up examinations postoperatively at regular intervals.

## 17.2 Enucleation and Orbital Growth

The size and shape of the orbit is the result of the balance between variety of genetic and environmental factors that may function on a systemic, regional or local basis. Kaiser stated that the eye increases in volume after birth only threefold and that approximately 70% of its volume is reached by the age of 4 years [2]. The effect of enucleation on orbital growth and development with and without implant has been of great deal of concern over the years (Fig. 17.1a, b). Taylor reported the effect of enucleation in childhood on facial development and observed that enucleation performed before the age of 5 years led to a retardation of the bony growth of the orbit up to as much as 15% less than the normal unaffected side. The wearing of prosthesis had no influence on the development of the bony orbit but it probably

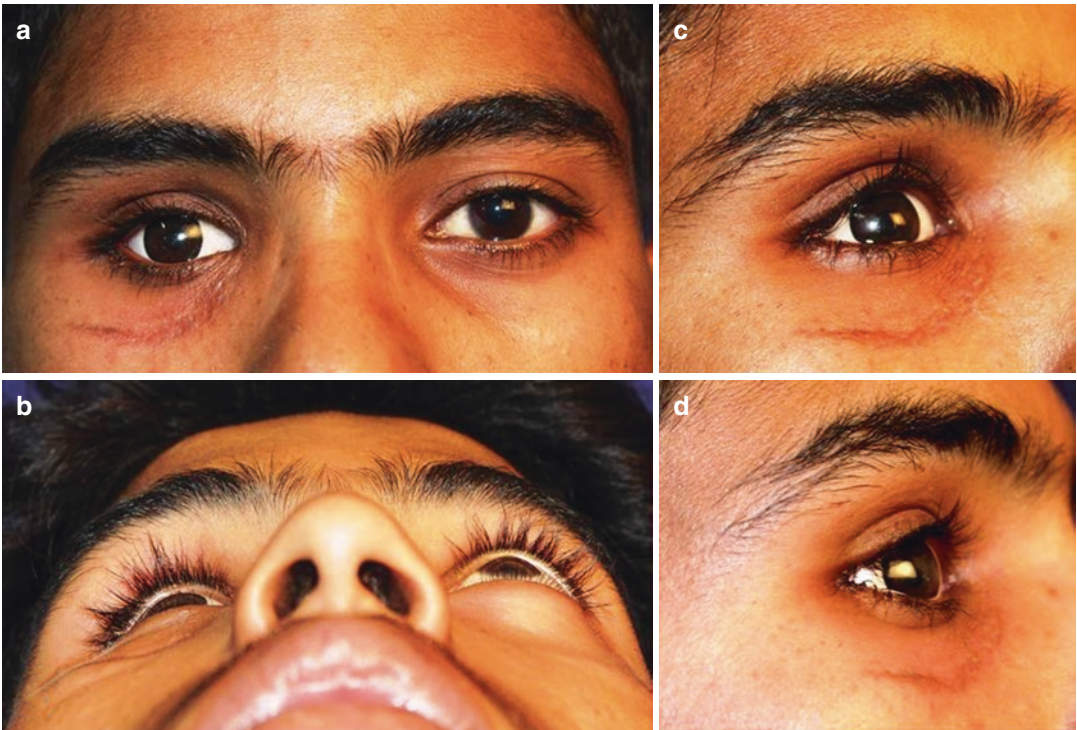


**Fig. 17.1** (a) A 23 years old female patient following an enucleation and irradiation to the socket at the age of 2 years. No orbital implant was placed in leading to retarded growth of left orbit (b) Severe volume deficiency and superior sulcus deformity

exerted an influence on the development of orbital soft tissues [3]. A committee of the Ophthalmological Society of the United Kingdom reported in 1898 that the disadvantage of a simple enucleation performed early in life was the retardation of the orbital and facial growth on the involved side [4].

### 17.3 Post Enucleation Socket Syndrome (PESS)

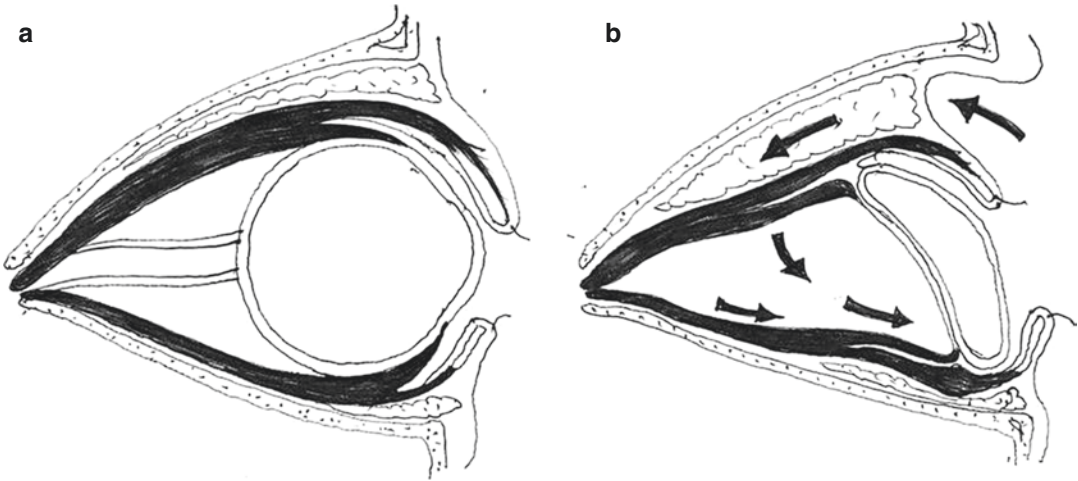
The anophthalmic socket is different, both anatomically and physiologically, from an orbit containing an eye. Postoperative changes affect not only the cosmetic appearance but also the function of the socket. Post enucleation socket syndrome is the result of orbital volume deficiency and soft tissue changes post initial surgery (Fig. 17.2a–d) [5]. The term was introduced by Tyers and Collin with the following clinical features:



**Fig. 17.2** (a, b) showing a young male patient who has the typical features of a right post-enucleation socket syndrome (PESS). No orbital implant was placed in this

patient following an enucleation. (c, d) Showing a view of the patient demonstrating a typical backward tilt to the prosthesis





**Fig. 17.3** (a, b) Anophthalmic socket soft tissue changes leading to post enucleation socket syndrome

- Enophthalmos
- Deep superior eyelid sulcus
- Ptosis or lid retraction
- Lower lid laxity
- Shallow inferior fornix

Post enucleation changes in orbital soft tissue like superior-to-posterior and posterior-to-inferior rotation leading to backward tilting of the ocular prosthesis were observed by the Smit and added later to the PESS (Fig. 17.3a, b) [6]. It was also observed that these orbital soft tissues changes associated with volume deficiency and not only orbital fat atrophy are responsible for the development of a PESS [7].

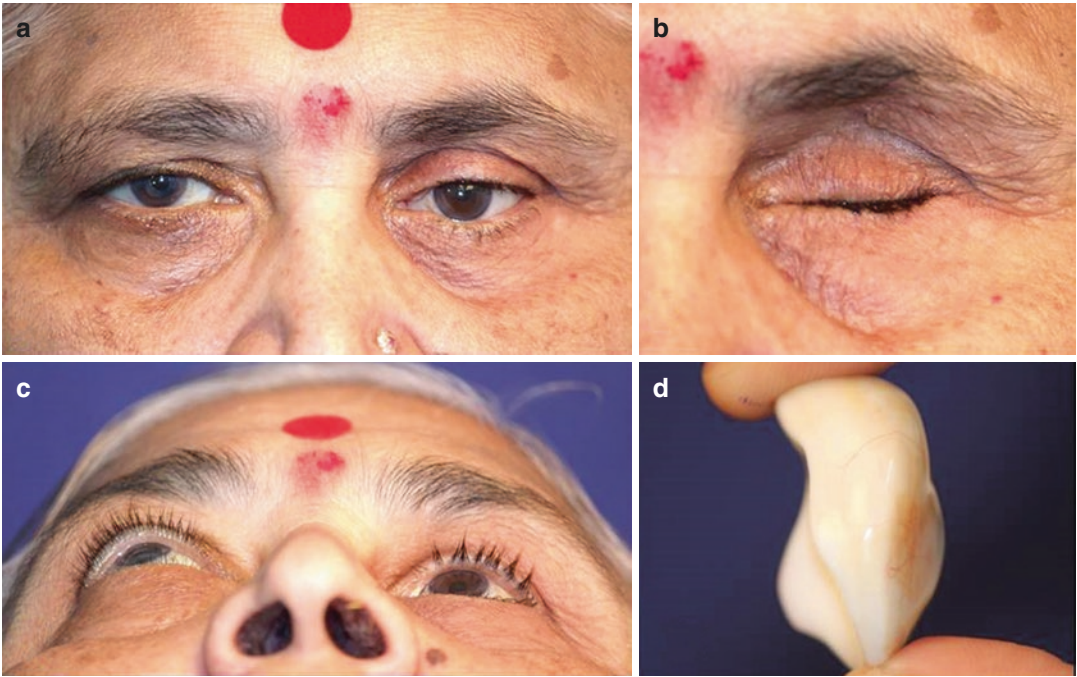
## 17.4 Pathophysiology

An enucleation surgery without the orbital implant leads to subsequent volume deficit and causes enophthalmos and a deep superior eyelid sulcus. The eye is no longer there to support superior rectus and levator muscle complex which drops to a variable degree giving rise to ptosis, or rarely, lid retraction. Lid retraction probably occurs due to the disinserted superior rectus muscle contracting and pulling on the levator complex via the common sheath. The inferior rectus muscle is no longer pushed down by the presence of the eye and it tends to rise in

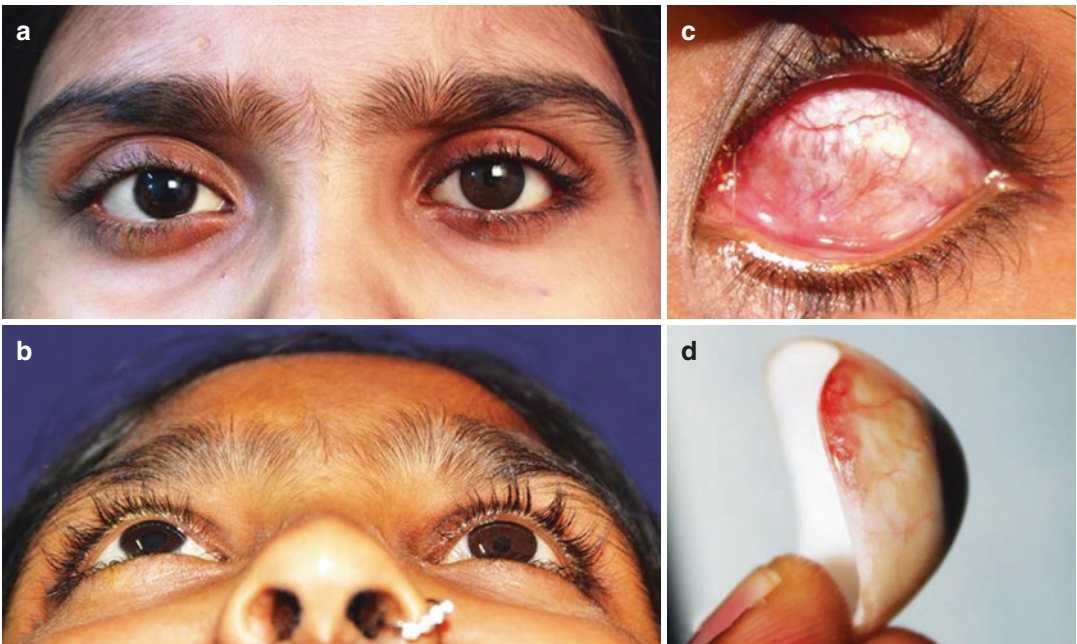
the socket. The inferior fornix becomes shallow due to associated elevation of lower lid retractors and their connections. The fat within the orbit tends to collect inferiorly with the gravity. There is posterior and anticlockwise rotation of the orbital content. If a small artificial eye is inserted into an enucleated socket with none or an inadequately sized implant, the upper part of the eyelid tends to tilt backwards leading to enophthalmic appearance, deep upper eyelid sulcus with obvious ptosis. If the artificial eye is increased in size, it initially improves these features but then the lower lid sags under the weight seeming lower than the other side or ‘dropped socket appearance’. The artificial eye becomes unstable due to lax lower lid and shallow lower fornix (Fig. 17.4a–d) [5].

### 17.4.1 Basic Principles to Avoid PESS

The features of the PESS can be primarily avoided if suitable sized orbital implant is inserted at the time of the enucleation (Fig. 17.5a–d). A preoperative assessment of the axial lengths of the eye to be removed and the contralateral eye is a useful tool to determine the proper implant volume at the time of enucleation. But it has been observed that there is a considerable variation in axial length and globe volume with globe volumes varying between 6.9 and 9.0 mL [8]. For



**Fig. 17.4** (a, b) showing a female patient who has the typical features of a right post-enucleation socket syndrome (PESS). (c) Patient has severe enophthalmos as no orbital implant was placed in following an enucleation. (d) To restore a volume to the socket, a thick and heavy ocular prosthesis was fitted in but it will lead to decreased ocular movements and lower lid sagging



**Fig. 17.5** (a) A 15 year old female patient following an enucleation and adequate sized orbital ball implant with good symmetry. (b) Both eyes are at same plane with no enophthalmos (c) a healthy socket with good volume restoration (d) A thin and light weight ocular prosthesis will impart good ocular movements

orbital volume restoration at the time of enucleation, we use the formula: Axial length of the eye—2 mm = Implant diameter [9]. An appropriate sized implant can also be determined by the volume of fluid displaced by an enucleated eye when placed in a graduated cylinder [10].

An orbital implant should replace approximately 70–80% of the volume of the enucleated eye and ocular prosthesis should restore the residual volume. An ideal prosthetic volume for the good excursion and movement is 2.0–2.5 mL [11]. Prosthetic volumes larger than 4.0 mL, often leads progressive lower lid laxity and malposition and limited excursion [9]. An ideal implant size can also be determined by the formula: volume of the enucleated eye in mL minus 2.0–2.5 mL [10]. Approximately an 18-mm sphere equals 3.1 mL in volume, a 20-mm sphere 4.2 mL, and a 22-mm sphere 5.6 mL. An orbital implant larger than 22 mm may lead to exposure and difficulty in fitting an ocular prosthesis [8, 9]. Generally we use 18–20 mm orbital implants in paediatric patients and 20–22 mm spherical implants in adults.

## 17.5 Post Enucleation Complications

### 17.5.1 Orbital Implant Exposure and Extrusion

The porous as well as nonporous orbital implants may lead to an early or late implant exposure and subsequent implant extrusion. It was observed that nonporous orbital implants carry lower risk of implant exposure than porous orbital implants in spite of fibrovascular ingrowth associated with these types of implants. The porous orbital implants with exposure rates varying from 0 to 50%, can lead thinning of the overlying conjunctiva, persistent discharge, granulomatous reaction, infection and persistent pain [12].

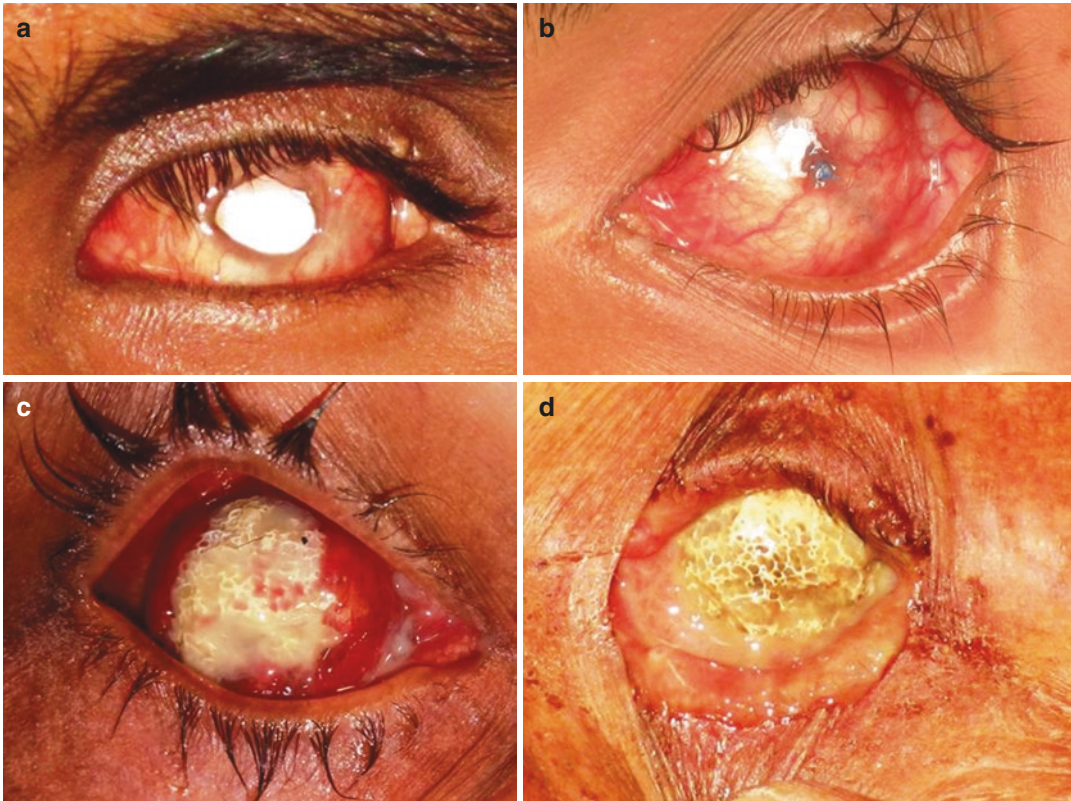
A variety of factors may predispose to implant exposure and subsequent extrusion and include conjunctival wound closure under tension, implant larger than 22 mm, use of non-absorbable sutures, delayed wound healing, surface infection, irritation from the irregular surface of the

porous implant, delayed fibrovascular ingrowth, ill-fitting prosthesis and improper care of prosthesis (Fig. 17.6a–d) [13].

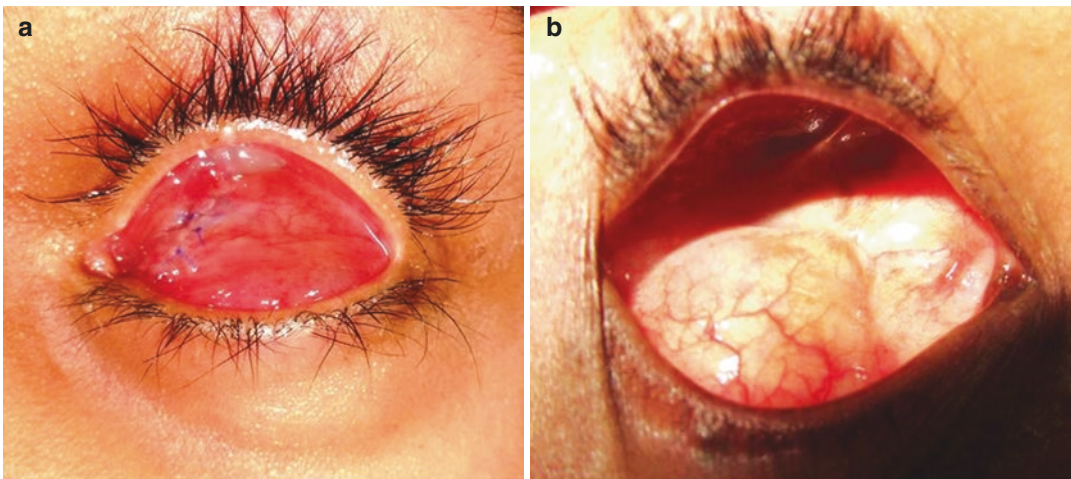
Early implant exposure in porous as well as nonporous implants can be managed with re-approximating the wound if not under tension as early as possible. If wound is under tension, a scleral patch graft can be used. For late porous implant exposures less than 3 mm, modification of prosthesis with vaulting of the posterior surface in area of exposure to promote the conjunctival re-epithelization can be done. If no improvement within 8 weeks and for late exposures more than 3 mm, surgical intervention in the form of various flaps or patch grafts should be considered [14]. For late non-porous implant exposures, implant exchange and secondary orbital implant surgery remains a choice. In case of spontaneous implant exposure, if socket is allowed to heal without any intervention soft tissue contracture occurs and subsequent socket reconstruction may pose a difficulty resulting in suboptimal cosmesis [15].

### 17.5.2 Migration of the Orbital Implant

Post enucleation disturbances in orbital soft tissue and subsequent fibrosis may lead to orbital implant migration. Implant migration occurs more commonly in nonporous implants owing to poor surgical techniques and non-attachment of the extraocular muscles compared to porous implants due to extraocular muscle attachment and fibrovascular ingrowth [16]. An implant wrapping with attachment of the extraocular muscles in nonporous implant may help prevent implant migration. Implant migration in nonporous implants is seen in the area of least resistance, particularly in inferotemporal direction (Fig. 17.7a, b). A shallow inferior fornix due to anterior and inferotemporal implant migration hinders the proper positioning of the customised ocular prosthesis leading to poor motility and suboptimal cosmesis. Allen described refitting the new prosthesis with “modified impression technique” to impart additional motility and to improve the comfort [17].



**Fig. 17.6** (a) Exposed polymethylmethacrylate implant (b) Use of non-absorbable sutures leads to progressive conjunctival thinning and late implant exposure (c) Exposed porous polyethylene implant (d) Exposed and infected porous implant



**Fig. 17.7** (a) An inferior migration of an orbital implant with shallow inferior fornix in early postoperative period following enucleation (b) An inferior migration of orbital ball implant in late postoperative period

The management of the migrated implants includes implant removal and replacement of new implant with proper positioning and reattachment of the extraocular muscles to improve the movement and fitting of the customised ocular prosthesis. An implant removal and socket reconstruction with dermis fat graft can also be done in recurrent implant migration.

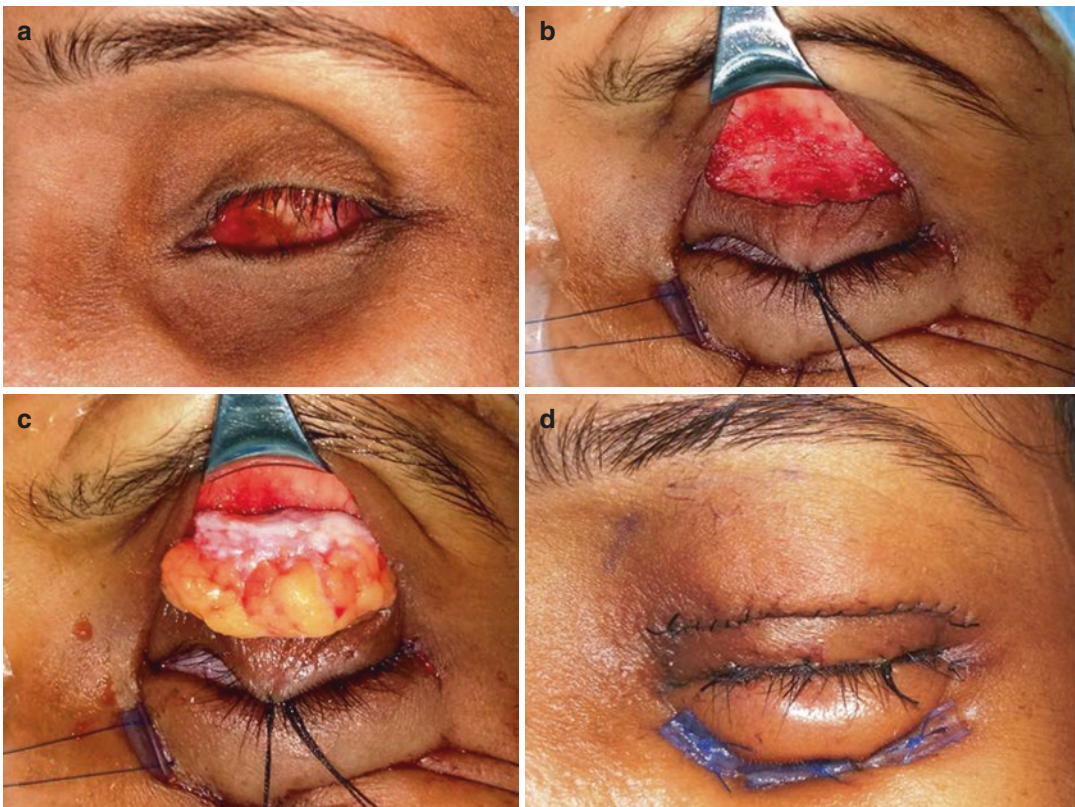
### 17.5.3 Volume Deficit and Superior Sulcus Deformity

Post enucleation volume deficit and superior sulcus deformity occurs as a result of inadequate volume restoration, no use of orbital implant or very small orbital implant, redistribution of the orbital soft tissues and progressive orbital fat atrophy (Fig. 17.1a, b) [16].

Volume deficit/enophthalmos and superior sulcus deformity can be corrected surgically in a staged approach. Placement of an adequate sized spherical orbital implant at the time of enucleation should be emphasized. An orbital floor subperiosteal implant placement and superior sulcus fat grafting can be performed to achieve the additional volume restoration and sulcus augmentation (Fig. 17.8a–d). A Superior sulcus deformity can be, alternatively corrected with hyaluronic acid gel with promising results [18].

### 17.5.4 Eyelid Malposition

An intraoperative injury or progressive dehiscence of levator aponeurosis and migration of the orbital implant may lead to upper eyelid ptosis.



**Fig. 17.8** (a) A severely contracted socket with deep superior sulcus (b) After socket dermis fat graft, upper lid incised and prepared for superior sulcus dermis fat graft (c) The dermis fat graft was placed in a preseptal subor-

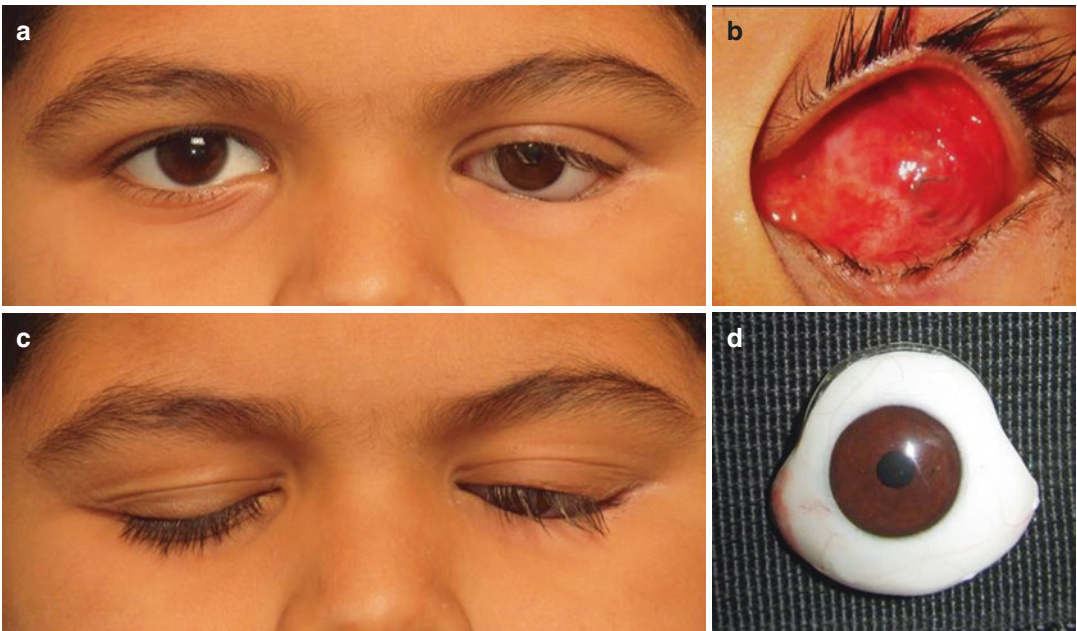
bicularis plane and secured to periosteum with sutures (d) Superior sulcus appears formed immediately after the procedure

Upper lid ptosis can be improved conservatively with modification of the ocular prosthesis. A ptosis ‘shelf’ in the prosthesis may decrease the ptosis and improve the appearance. Surgical repair of the levator should be the next consideration if conservative method fails. In all such cases, the levator is usually of normal strength and overcorrection of ptosis may occur if levator strength is underestimated.

Post enucleation lower lid laxity, shortening of the posterior lamella, shallow inferior fornix, dehiscence of the inferior lid retractors and weight of the prosthesis can lead to ectropion or entropion of the lower eyelid (Fig. 17.9a–d). To correct the lower lid position conservatively, a part of volume can be removed from the area immediately below the lower limbus, creating a reverse prosthetic curvature inferiorly. If this does not correct the ectropion, horizontal tightening procedures, lateral tarsal strip, advancement of the inferior lid retractors or mucous membrane graft with deepening of inferior fornix are usually successful.

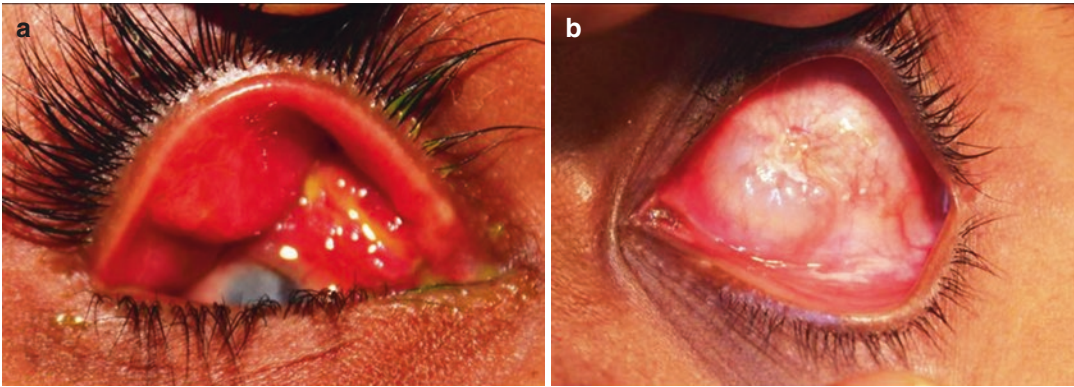
### 17.5.5 Painful Socket and Persistent Discharge

Ill-fitting ocular prosthesis and improper prosthesis care are the common factors responsible for the socket tenderness and persistent discharge from the socket. Prosthesis should be evaluated to rule out the improper fitting, sharp edges and irregular surfaces, presence of stock shell with pooling of mucoid secretions behind the prosthesis. The socket should be examined for the signs of ocular surface inflammation, giant papillary conjunctivitis, presence of granulomas, eyelid malpositions and shallow fornices and anterior conjunctival cysts (Fig. 17.10a, b). An orbital implant should be examined for the signs of implant exposure and implant migration. An orbital imaging should be ordered in case of intractable pain to rule out any recurrent orbital mass lesion, sinoorbital and intracranial pathology. To minimize all these problems, regular follow up examinations with ophthalmic plastic surgeon and ophthalmologist are recommended.



**Fig. 17.9** (a) A young male patient with left anophthalmic socket has lower lid entropion and (b) Lagophthalmos (c) A socket shows shallow superior and inferior fornices

with inflammation (d) A modification in ocular prosthesis did not show improvement and he needs further surgical intervention



**Fig. 17.10** (a) An inflamed socket with giant papillary conjunctivitis (b) A socket showing anterior conjunctival cysts

### 17.5.6 Contracted Socket

Contracted socket is one of the important aspects of the post-enucleation socket management. It is characterised by contracture of orbital soft tissues and bony parts associated with shallowing of the fornices, surface and volume deficit leading to an inability to retain prosthesis [19]. Poor initial surgical techniques, non-preservation of the conjunctiva, excessive use of the cautery, orbital radiation and compromised vascular supply, implant exposure and extrusion, no or very small orbital implant, no use of conformer or prosthesis, ill-fitting prosthesis and recurrent socket inflammation are the common factors responsible for the development of contracted socket.

Gopal Krishna has classified the contracted socket [20]:

- Grade 1:* A shallow or shelved inferior fornix
- Grade 2:* Shallow superior and inferior fornices
- Grade 3:* Shallow superior, inferior, medial and lateral fornices
- Grade 4:* All fornices shallow associated with decreased palpebral aperture in horizontal and vertical dimensions
- Grade 5:* Recurrence of socket contracture even after multiple surgeries

Alternatively contracted socket can be classified as:

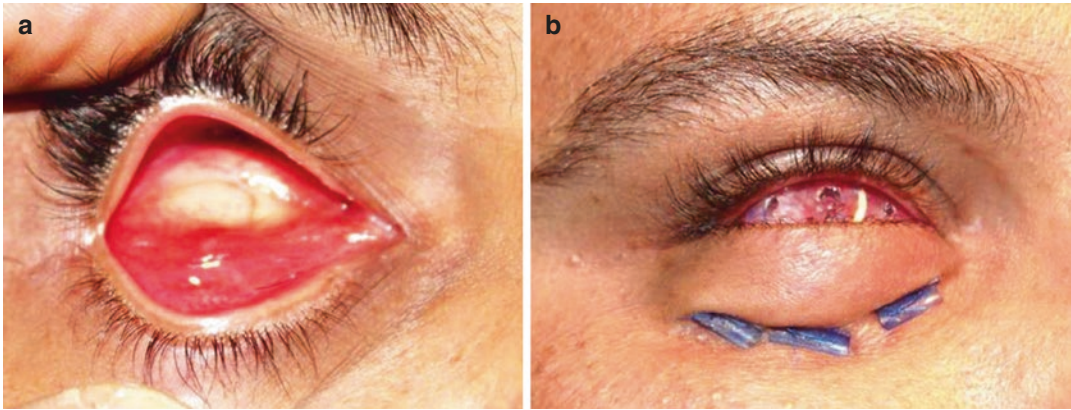
- Mild:* Shallowing of only one fornix and posterior lamellar shortening of the lids
- Moderate:* Shallow superior and inferior fornices
- Severe:* Shallowing of all the fornices associated with palpebral aperture phimosis
- Malignant contracted socket:* A severely contracted socket as result of extensive trauma or multiple surgeries [21]

### 17.5.7 Evaluation of the Contracted Socket

Preoperative clinical evaluation of the contracted socket should be performed with emphasis on the following:

*Cosmesis* with prosthesis with respect to fellow eye—good/fair/poor

- Colour match—good/fair/poor
- Movements—good/fair/poor
- Lagophthalmos—absent/present (in mm)
- Pseudoptosis—absent/present
- Enophthalmos—absent/present
- Discolouration—absent/present
- Deposits—absent/present
- Edges—sharp/blunt
- Surface—smooth /rough/scratches



**Fig. 17.11** (a) A contracted socket with a shallow inferior fornix (b) Inferior fornix forming sutures with bolsters

*Socket:* healthy/congested/papillae/granuloma/cicatricial bands/dry/wet

- Volume—adequate/deficit
- Implant—present/absent
- Implant position—central/migrated
- Implant exposure—present/absent
- Superior fornix—well-formed/shallow/absent
- Inferior fornix—well-formed/shallow/absent
- Medial fornix—well-formed/shallow/absent
- Lateral fornix—well-formed/shallow/absent
- Bony contracture—present/absent

### 17.5.8 Management of Contracted Socket

The aim of the management of contracted socket is to identify and correct underlying cause and to achieve the best possible cosmesis, prosthesis movement and comfort of the patient. A proper coordination between the ophthalmic plastic surgeon and ocularist is essential in the management of such challenging cases. The treatment options, prognosis of the surgery, staged approach for the socket reconstruction and realistic outcomes of achievable cosmesis should be well discussed with the patient beforehand.

#### 17.5.9 Mild Socket Contracture

It involves shallowing of only one fornix and posterior lamellar shortening of the lids. A Shallow

inferior fornix can be addressed by deepening the fornix with the help of fornix forming sutures in a closed or open method.

In a closed method, three horizontal mattress sutures, 4-0 non-absorbable, initially passed deep into the inferior fornix, advanced through the orbital rim periosteum and then exteriorized from the lower lid skin. A conformer is placed into the socket and sutures are secured and tied over the silicon bolsters. These sutures are removed after 4 weeks and fitting of the ocular prosthesis is then attempted (Fig. 17.11a, b).

In an open method, instead of closed method, a horizontal inferior forniceal trans-conjunctival incision is placed at least 10 mm inferior to the lower eyelid margin and deeper dissection is continued up to the lower orbital rim periosteum. Three horizontal mattress sutures are placed through the edge of posterior conjunctiva, through the orbital periosteum and then back through the anterior conjunctival edge [22].

Associated posterior lamellar shortening can be corrected with the help of the scleral or cartilage spacer graft. A lateral tarsal strip procedure may be required in case of significant lower lid laxity or lower lid entropion.

#### 17.5.10 Moderate Socket Contracture

Moderate socket contracture is characterised by the shallow superior and inferior fornices associated with moderate surface and volume defi-



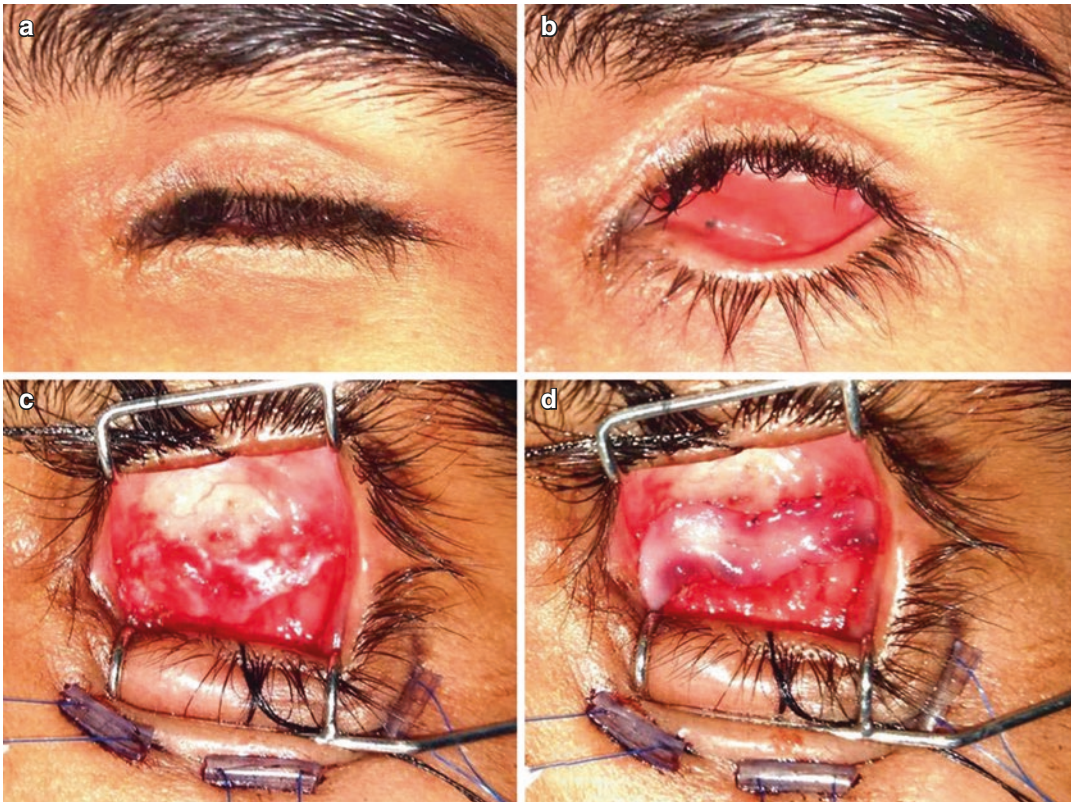
ciency. Initially inferior fornix is affected followed by the contracture changes in the superior fornix limiting the movement of the lid and prosthesis. A variety of surgical techniques for the surface and volume augmentation in contracted socket have been described.

## 17.6 Mucous Membrane Graft (MMG)

A mucous membrane graft is the most commonly used tissue in the management of moderate to severe contracted socket with significant surface deficit [23]. Mucous membrane grafts are harvested from the lower lip or buccal mucosa. The mouth washes with betadine should be started 2 weeks prior to surgery in all cases. A size of the mucous membrane graft should be approximately

40–50% larger than the defect area to allow for subsequent tissue contracture. An appropriate marking taking into account the host defect should be done before the infiltration of mucosal area with 2% xylocaine with epinephrine 1:100,000. An incision over the marked area is placed with a no. 15 Bard Parker blade and the full thickness mucosal graft is then harvested using the scissors. A layer of fat and submucosal tissue should be trimmed off from the mucosal graft. The donor area on the lower lip is allowed to granulate while defect on the buccal mucosa should be closed with 4-0 absorbable sutures.

A Mucous membrane graft can be placed either in the fornices to increase the surface area and deepen the fornices along with the fornix forming sutures or it can be used alternatively in the center of the contracted socket to restore the surface (Fig. 17.12a–d). Quilting sutures to the mucosal



**Fig. 17.12** (a) A 17 years old female patient with left side contracted anophthalmic socket (b) A socket with shallow inferior fornix and surface deficit. (c) A horizon-

tal incision is given over the conjunctiva and inferior fornix forming sutures are placed (d) A mucous membrane graft is placed over the recipient bed with a central defect

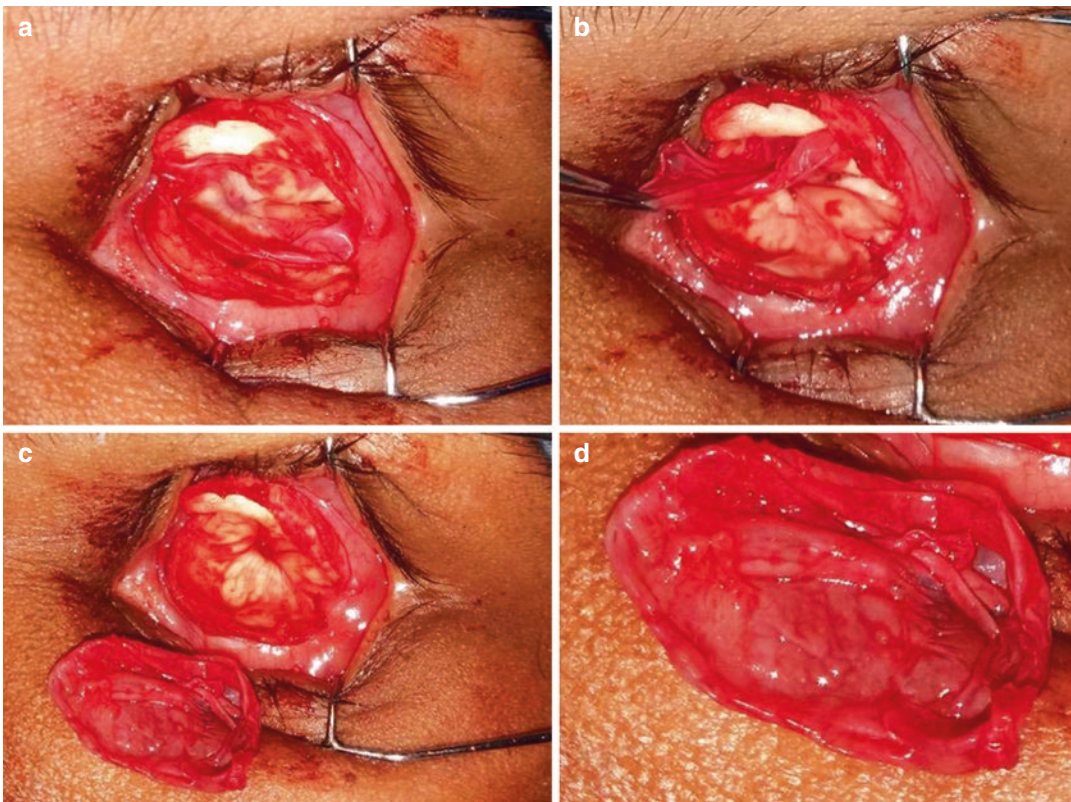
graft are useful to ensure better opposition of the graft to the host bed [24]. A Mucous membrane graft, a “substitute graft” is preferred to the amniotic membrane, a “substrate graft” in the management of the contracted socket with surface deficit [25].

## 17.7 Secondary Orbital Implantation

Socket reconstruction with secondary orbital implantation is mainly indicated in moderately contracted socket with volume deficit but with an adequate surface area. It helps achieve volume restoration, correct superior sulcus deformity and improve the motility of the ocular prosthesis. Secondary orbital implantation can also be performed as a part of the implant exchange procedure

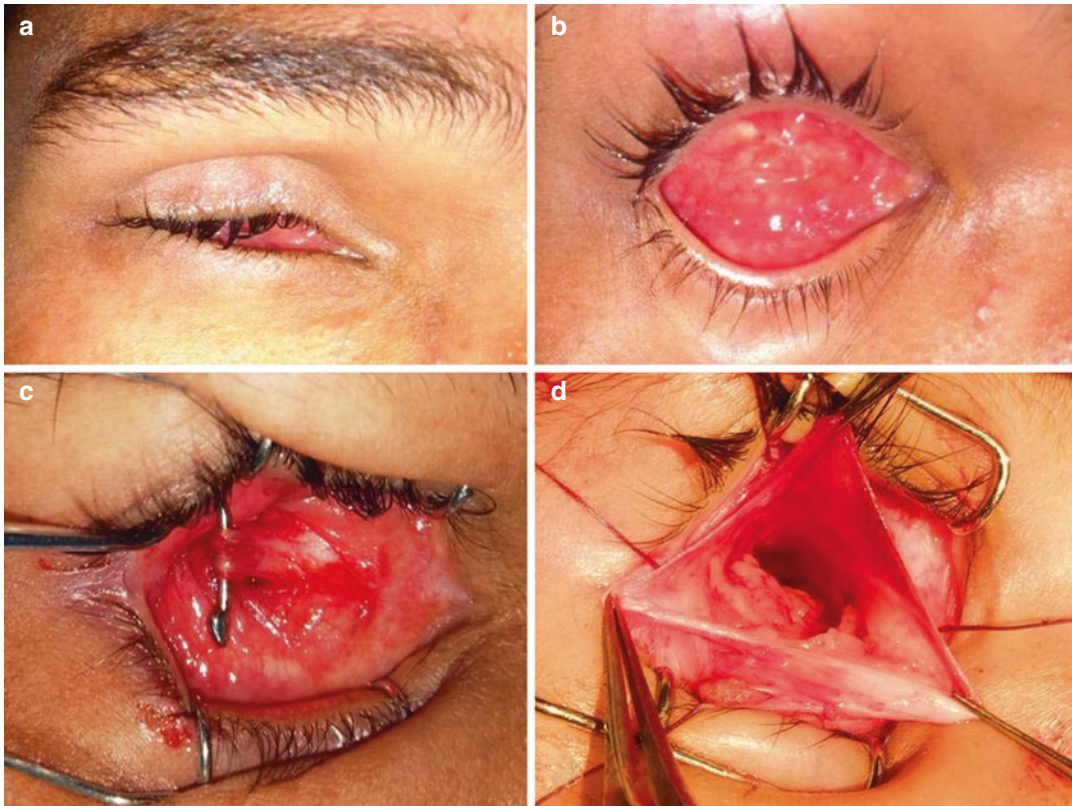
indicated for implant migration, exposure and extrusion of the implant and small implant with difficulty in fitting of the ocular prosthesis. Secondary orbital implantation is more difficult than primary surgery owing to disturbances and subsequent fibrosis of the orbital soft tissues. Identification of the recti muscles and placement of the implant into the intraconal region help to improve motility and decrease the chances of implant migration.

In a secondary orbital implant surgery, a conjunctiva is incised in a horizontal direction and the previous implant is removed. Nonporous implants can be removed without any difficulty but porous implants are not straightforward to remove. After removal of the implant, a pseudocapsule is then gently dissected and removed (Fig. 17.13a–d). The central Tenon’s layer is dissected further with blunt instruments to expose



**Fig. 17.13** (a) An intraoperative photograph of a patient with post-enucleation anophthalmic socket with spontaneously extruded nonporous ball implant showing residual scleral cavity and implant pseudocapsule. (b) An

implant pseudocapsule is removed gently. (c) A pseudocapsule removed completely before further deeper dissection (d) Closure view of a glistening, pinkish and fibrous pseudocapsule



**Fig. 17.14** (a) A patient without an orbital implant who has a typical post enucleation socket syndrome referred for secondary orbital ball implantation. (b) An appearance of his contracted anophthalmic socket with minimal

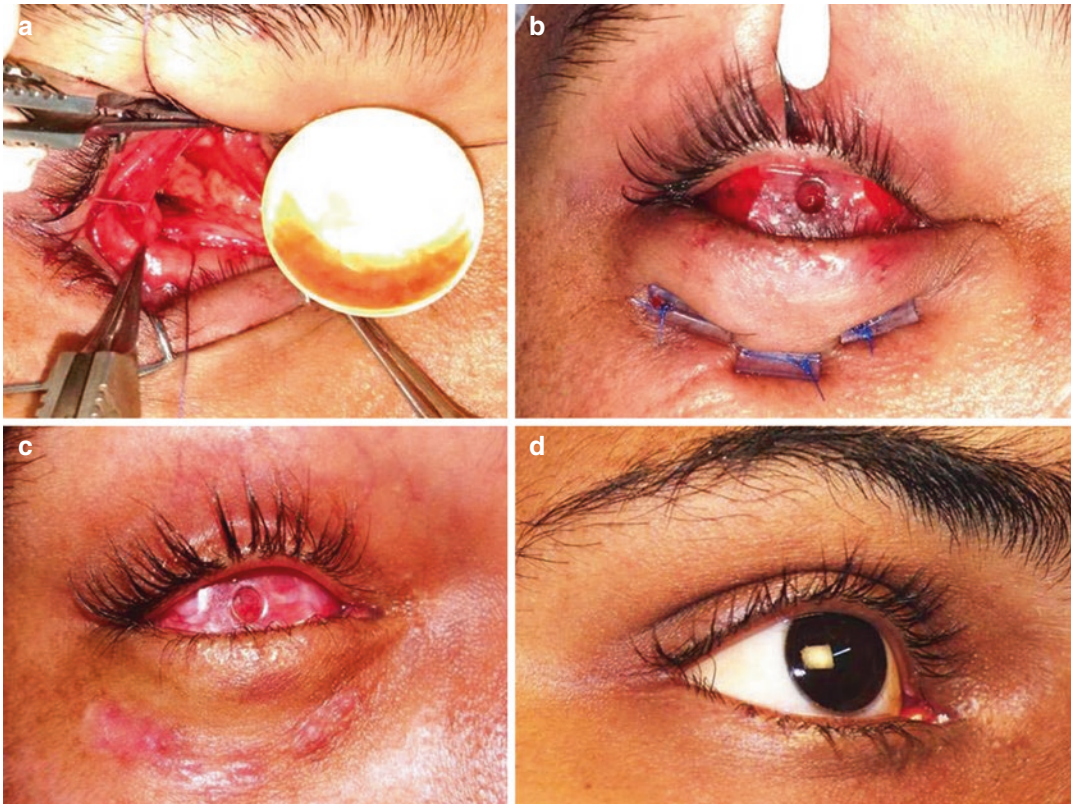
inflammation and shallow inferior fornix. (c) A conjunctiva-Tenon's layer incised and position of extraocular muscles is assessed. (d) Further blunt dissection done to expose the intraconal space

the intraconal fat. A finger is inserted into the socket to ascertain whether any intraorbital adhesions are present. Further blunt dissection through the orbital tissues is carried out to identify connective tissue channels and thereby to localize the recti muscles and intraconal space for the secondary implantation. (Fig. 17.14a–d) [15]. A closure of conjunctiva and Tenon's layer is done with absorbable sutures. Additionally, inferior fornix forming sutures and mucous membrane graft can be placed along with secondary orbital implant if mild to moderate surface deficit and shallow fornices are present (Fig. 17.15a–d).

### 17.7.1 Dermis Fat Graft

Socket reconstruction with dermis fat graft is indicated in a moderate to severely contracted

socket to correct volume and surface deficiency [26]. A de-epithelialized dermis with an underlying subcutaneous fat tissue constitutes the dermis fat graft, an autologous transplant. It is harvested from relatively non-hair bearing area of upper and outer part of gluteal region. It is relatively not a weight bearing part. Initially, a circle with about 25 mm diameter is marked on the donor site and then skin over the donor site is infiltrated with 2% xylocaine with epinephrine 1:100,000. A superficial incision with no. 15 Bard Parker blade is placed over the marked area and epidermis is excised from the underlying dermis. A stab incision is then made with no. 11 blade through the underlying dermis and subcutaneous fat and dermis fat graft is harvested from the donor site. A closure of subcutaneous tissue is done with interrupted 4-0 absorbable sutures placed through fat and subcutaneous tissue and skin closure is



**Fig. 17.15** (a) An appropriate sized ball implant placed in intraconal space and layered closure done. (b) Inferior fornix deepening sutures were placed to address the shallow inferior fornix and conformer was placed inside. (c)

An Appearance of socket after removal of fornix deepening suture after 4 weeks. (d) A customised ocular prosthesis was fitted in after 8 weeks with satisfactory output

done with 4-0 non-absorbable mattress sutures (Fig. 17.16a–d).

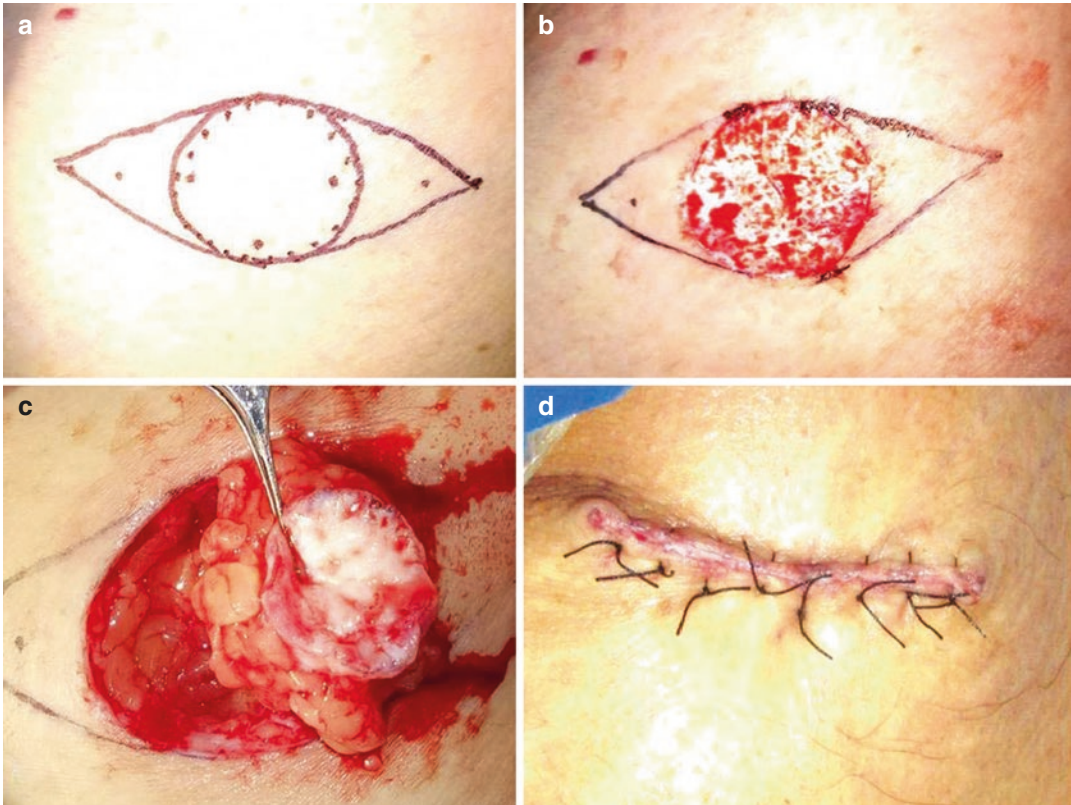
A horizontal conjunctival incision from medial to the lateral canthus is placed to prepare the host bed. Further dissection in subconjunctival and deeper plane is performed with blunt scissors to create a space for the graft to accommodate. A dermis fat graft harvested from the donor site is then implanted into the host area without any undue pressure over the graft. A graft is approximated to the edges of the conjunctiva with interrupted 6-0 absorbable sutures. A conformer is placed inside and temporary suture tarsorrhaphy is done. Dressing is left undisturbed for next 2–3 days (Fig. 17.17a–d).

Dermis-fat graft is a promising option in the management of contracted socket with minimal soft tissue fibrosis and good vascular supply of the orbit.

Complications associated with dermis fat graft donor site include delayed wound healing, unhealthy scar, wound infection and hematoma formation. Post dermis fat graft socket complications include wound dehiscence, graft ulceration and central necrosis, surface keratinization, granulomas, retention and growth of the cilia, graft atrophy and subsequent volume loss, excessive dermis-fat growth and graft failure (Fig. 17.18a–c) [27].

### 17.7.2 Severe Socket Contracture

In the severely contracted socket, all the fornices are extremely shallow or obliterated along with narrow palpebral aperture and may not retain even a small prosthesis. All such patients often experience discharge from the socket, irritation



**Fig. 17.16** (a) An outline of a dermis fat graft to be harvested. (b) The appearance of the dermis following removal of epidermis. (c) The dermis fat graft is being harvested (d) A donor area is closed in layers

and discomfort. Management of the severely contracted socket remains a challenging one and patient should be well counselled beforehand about the prognosis of the surgery, multiple staged approach for the reconstruction and realistic outcomes of achievable suboptimal cosmesis.

In severely contracted socket with good vascular supply, Putterman has described a surgical technique for the reconstruction using the mucous membrane graft, custom conformer and custom prosthesis with good outcomes [28].

Severely contracted socket with poor vascular supply often presents even more difficulty to achieve an acceptable cosmesis. In such sockets, a variety of surgical techniques including temporalis muscle or fascial flaps, radial forearm free flaps, a short pedicle thoracodorsal artery trilobed adiposal flap have been reported with variable success rates [29–32]. Lopez-Arcas recently described a retroauricular island flap technique

for the socket reconstruction in children with promising results [33].

### 17.7.3 Management of Malignant Contracted Socket

In all such sockets additional surgical interventions may not benefit the patient to achieve an acceptable cosmesis. Dortzbach and Callahan have advised exenteration of the residual socket and then fitting of the orbital prosthesis with a reasonable appearance [34].

### 17.7.4 Care of the Custom Ocular Prosthesis

An ocularist fabricates the custom ocular prosthesis as per the socket dimensions. All the

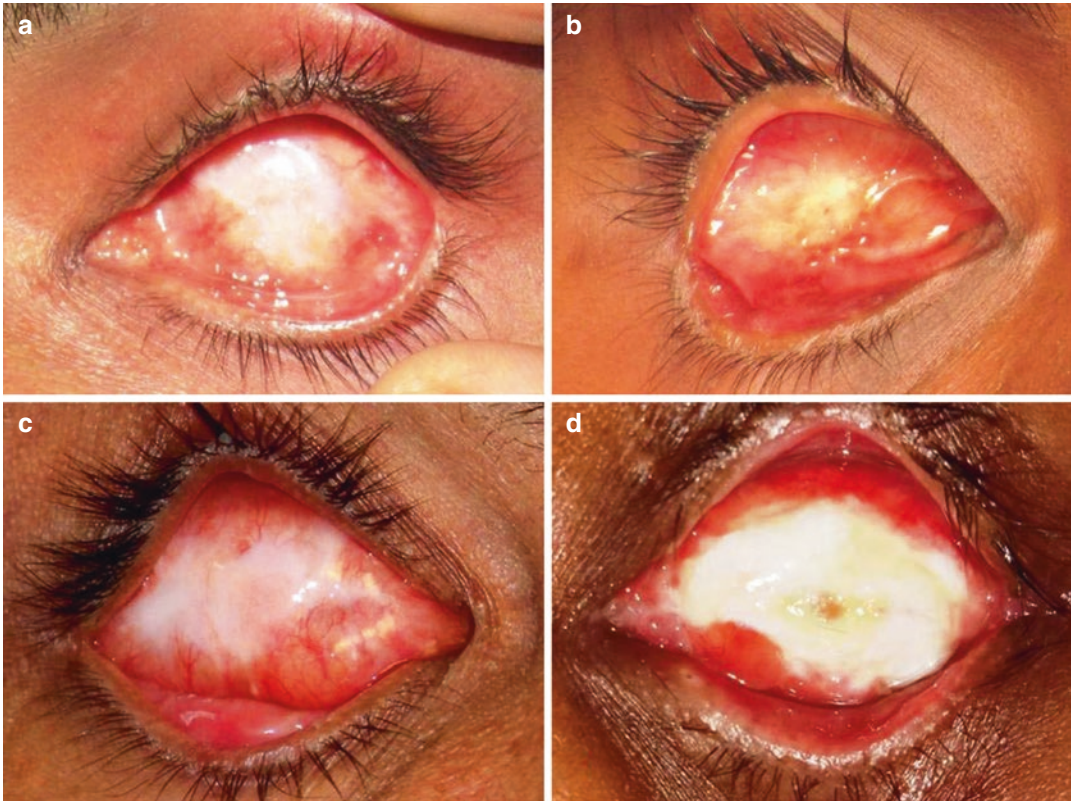


**Fig. 17.17** (a) A patient with moderate to severe contracted anophthalmic socket. (b) A contracted socket with shallow inferior and superior fornices with volume and surface deficit. (c) A horizontal incision is placed over the

conjunctiva and deeper dissection done to expose the intraconal fat. (d) The dermis fat graft sutured to conjunctiva and Tenon's layer with vicryl sutures

patients are advised about the care and maintenance of the ocular prosthesis in a systematic way. Patients are encouraged to learn insertion and removal of the prosthesis correctly. They are advised to use the prosthesis all the time including night while sleeping. Patients are asked to continue with their daily activities including facial and periocular hygiene. Frequent handling and removal of the prosthesis is discouraged to avoid injury to the surface of the prosthesis. Patient should use the prescribed topical lubricant medications to improve the socket comfort. Prosthesis can be cleaned with mild soap and water and then gently dried with a soft cloth. Cleaning with alcohol should be discouraged as it damages the polished surface of prosthesis. If not to be used, prosthesis should be stored in soft contact lens solution.

The patient should follow up every 6 months with ocularist for the prosthesis polishing and minor modification if any to improve the fitting and appearance. A regular polishing of the prosthesis provides a smoother surface and allows for smoother movement reducing irritation and discharge from the socket. All the prostheses have limited movement in extreme gazes. The ocularist should help patient use turning head and shoulders, not eyes, in the direction of gaze in order to maintain the primary gaze and minimize the ocular asymmetry. All the prosthetic wearing patients must be encouraged to use the full frame polycarbonate glasses for the protection of the remaining functional eye. Lightly tinted glasses can be used to reduce the minor ocular asymmetries. Magnifying or minifying lenses, cylindrical



**Fig. 17.18** (a) Well healed dermis fat graft (b) Fat graft atrophy (c) Excessive dermis fat growth (d) Fat necrosis leading to graft failure

lenses and prisms may be used in the spectacles to improve the position, size and appearance of the prosthesis.

The management of the post enucleation socket remains challenging and includes variety of surgical techniques. A fine coordination between the ophthalmic plastic surgeon and expert ocularist is essential to achieve an optimal cosmesis with near normal appearance, socket comfort and to rebuild the patient's lost confidence.

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Veena Noronha

## Abbreviations

FRFSE	Fast relaxing fast spin echo
FSE	Fast spin echo
FSPGR	Fast spoiled gradient-echo
FLAIR	Fluid attenuated inversion recovery
Gd-DTPA	Gadolinium—diethylnetriamine penta-acetic acid
ONH	Optic nerve head

## 18.1 Introduction

The advent of high field strength MRI and surface coils has greatly revolutionised ocular imaging. The refinement in technology and high resolution coils has greatly enhanced the accuracy of the diagnosis. Ultrasound and MRI complement each other and help the radiologist in making a reliable diagnosis and thereby better patient management.

## 18.2 Technique and Protocols

1.5 T or 3 T MRI with surface coil is recommended for ocular imaging. Patient cooperation, use of proper imaging technique and protocol is most important to get good quality images. The patient's head is immobilised using straps and



**Fig. 18.1** Surface coil technique

pads. The 3 in. coil is placed on the eye to be examined with cotton spacer between the coil and the eye to avoid direct contact of the coil with the patient body (Fig. 18.1).

Recommended protocol: Surface coil study

- Axial T1 FSFGR with fat saturation and T2 FRSE—1–1.5 mm slice thickness from the orbital floor to the roof parallel to the optic nerve.
- Coronal T1 FSE and T2 FRFSE—1–1.5 mm slice thickness perpendicular to the optic nerves
- Oblique sagittal T2 FRFSE

Brain screening: Axial FLAIR and Diffusion weighted imaging.

Post contrast—Axial, Coronal and Sagittal T1 3D FSPGR with fat suppression.

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## 18.3 Intraocular Tumors: Adults

### 18.3.1 Melanoma

It is the most common intraocular malignancy encountered in clinical practice. Common sites are choroid, ciliary body and iris. The posterior uveal melanoma is usually well- defined dome shaped lesion and can occur anywhere from the ciliary body to the posterior pole. Factors that predict an unfavorable prognosis are tumor size, extraocular extension, extension to the ciliary body and intense pigmentation. The choroidal melanoma is dome or mushroom shaped. Liver is the most common site for metastases, hence tumor work up should include liver imaging.

### 18.3.2 Imaging Features

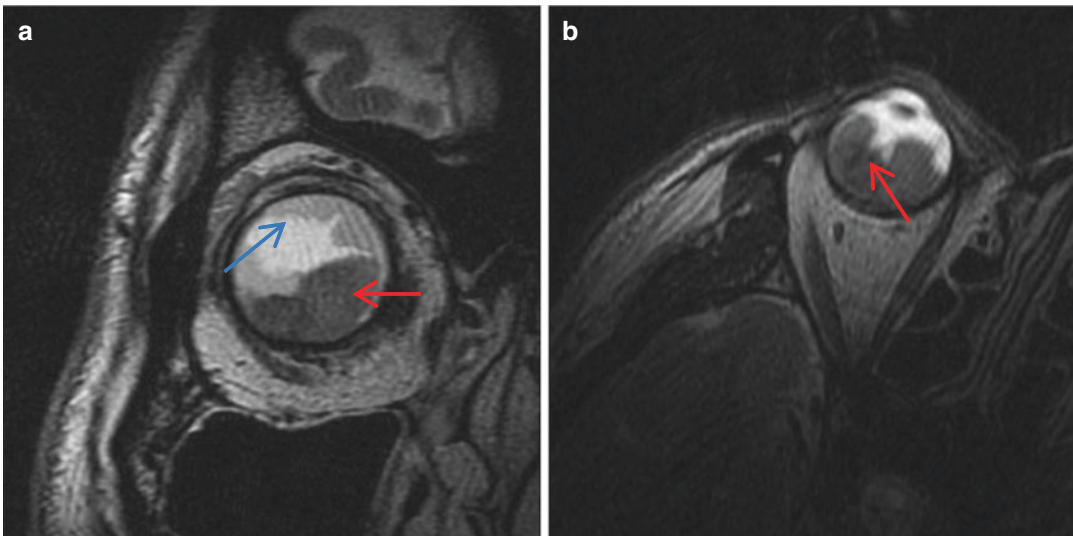
#### 18.3.2.1 Computed Tomography

- Melanoma is usually a well defined dome shaped hyperdense lesion and homogeneously enhance with contrast.

- It is difficult to characterize the lesion as other intraocular lesions such as choroidal hemangioma and metastases have similar CT features.

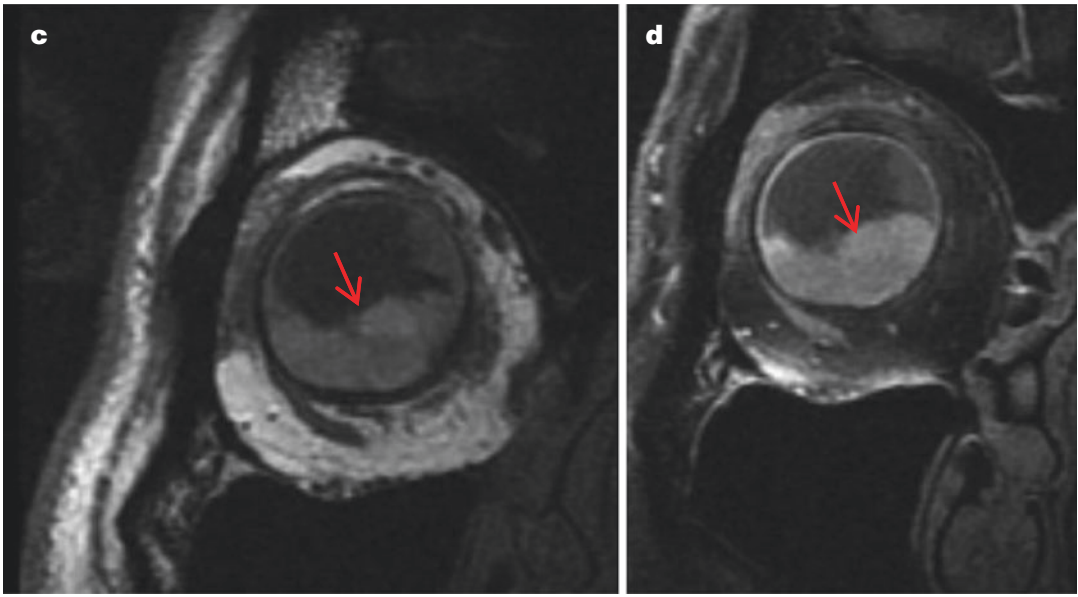
#### 18.3.2.2 Magnetic Resonance Imaging

- Uveal melanoma has characteristic MRI appearance. It is typically dome or mushroom shaped and have characteristic T1 hyperintense and T2 hypointense signal with respect to vitreous (Fig. 18.2). The T1 hyperintense signal is attributed to the T1 shortening property of melanin [1].
- This property helps in differentiating it from other intraocular tumors as they usually display intermediate signal in T1 WI and isointense signal in T2 weighted images with respect to the vitreous.
- Amelanotic melanoma usually pose a diagnostic challenge as it lacks the characteristic T1 hyperintense signal.
- Contrast enhanced MRI is done to look at the enhancement pattern, identify extraocular extension (Fig. 18.3) and intracranial lesions.

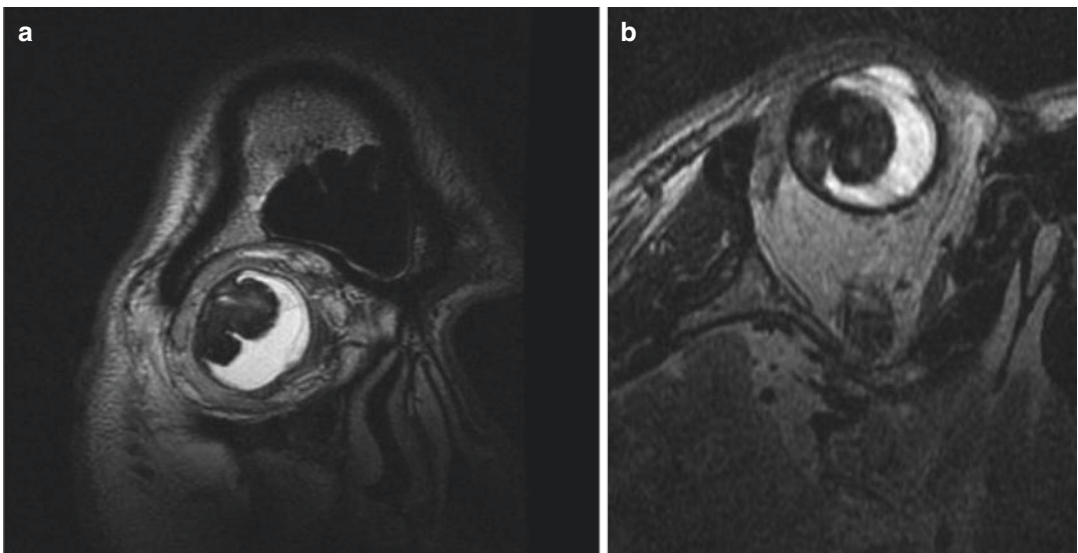


**Fig. 18.2** Surface coil images of the eye (a) Coronal T2 FRFSE, (b) axial T2 FRFSE, (c) coronal T1 non contrast, (d) post contrast coronal T1 FSPGR showing a large lobu-

lated T1 hyperintense and T2 hypointense intraocular lesion (red arrow) with associated retinal detachment (blue arrow)

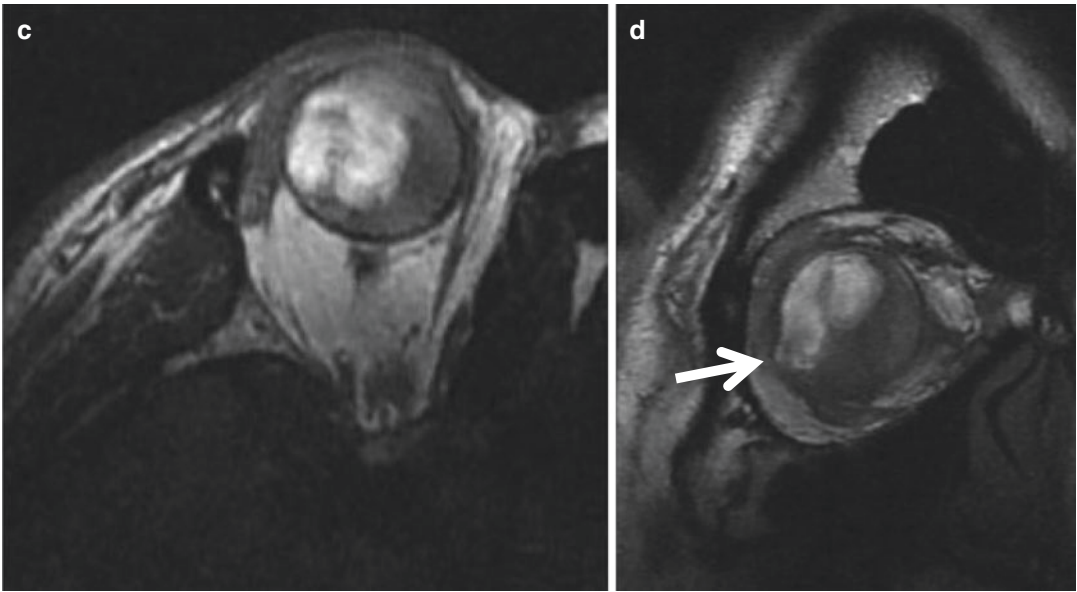


**Fig. 18.2** (continued)



**Fig. 18.3** (a) Coronal T2 FRFSE, (b) axial T2 FRFSE, (c) axial T1 SE, (d) coronal T1 SE Surface coil images of the right eye showing a large mushroom shaped choroidal lesion in the temporal quadrant of the right eye. There is

scleral thinning and extrascleral extension of the lesion (arrow). The lesion is hyperintense in T1 weighted image and hypointense in T2 weighted image consistent with melanoma



**Fig. 18.3** (continued)

- Studies have been done to look at the enhancement pattern and identify the malignant lesions based on the intensity of enhancement. It also helps to see the response to treatment [2].
- Post brachytherapy they found reduction in the contrast enhancement.
- Doppler study can also be done to look for tumor vascularity. The non-malignant lesions usually demonstrate poor flow.

### 18.3.2.3 Differential Diagnosis

- Subretinal haemorrhage—subacute haemorrhage will have the same signal as a uveal melanoma. Clinical findings, contrast and follow up study will help in differentiating subretinal hemorrhage from uveal melanoma
- Metastases—metastases from mucin producing tumors may have similar signal as an uveal melanoma.

## 18.4 Choroidal Hemangioma

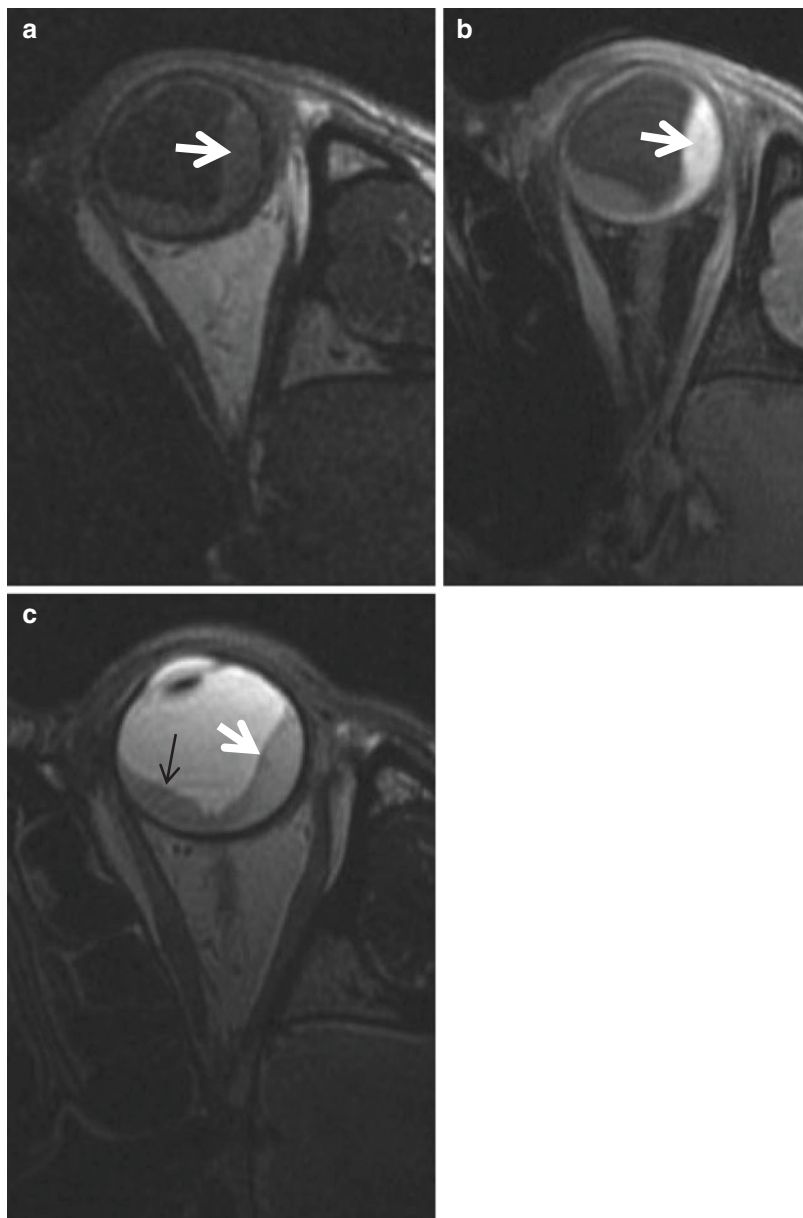
Choroidal hemangiomas are congenital vascular hamartomas seen in middle age to elderly patients. They are two types

1. Solitary well circumscribed dome shaped lesion seen posterior to the equator and confined to the choroid
2. The diffuse type seen in Sturge Weber syndrome are seen circumferentially in the choroid and may also involve ciliary body, iris, and also the episclera, conjunctiva, and limbus.

### 18.4.1 Imaging Features

- On Computed Tomography they are isodense in the non-contrast study and show intense contrast enhancement especially in high dose contrast.

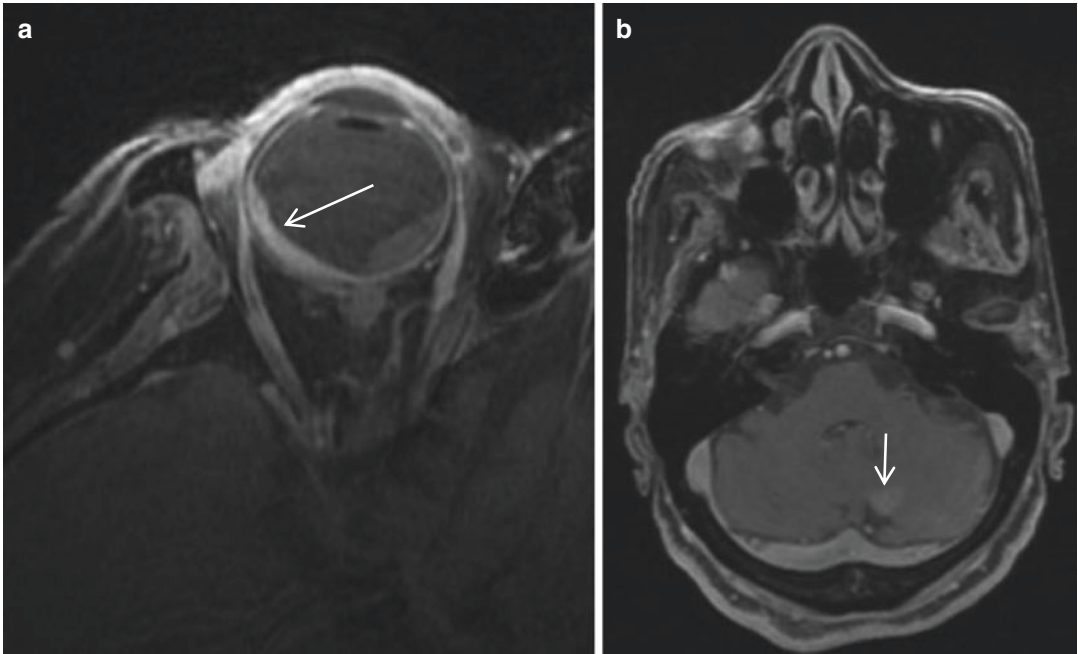
**Fig. 18.4** (a) Axial T1 pre contrast, (b) axial T1 post contrast and (c) axial T2 surface coil images of the left eye showing a well circumscribed dome shaped choroidal lesion in the temporal quadrant, intermediate signal in T1 and T2 WI w.r.t vitreous and brilliant homogenous enhancement in the post contrast study (arrow). Note the associated retinal detachment (black arrow)—  
Choroidal hemangioma



- MRI they are typically isointense to hyperintense to the vitreous in T1 and isointense in T2 WI and therefore may not be well delineated. Contrast enhanced MRI is mandatory to identify these lesions (Fig. 18.4). They show brilliant contrast enhancement [3].

#### 18.4.2 Metastases

Uveal metastases result from hematogenous dissemination and reach the globe via the posterior ciliary artery and commonly involve the posterior half of the globe. The primary lesions that most com-



**Fig. 18.5** (a) Axial post contrast T1 WI surface coil image of the right eye showing an elevated, enhancing and bumpy choroidal lesion in the temporal quadrant with

associated retinal detachment. (b) Axial post contrast image of the brain showing an enhancing lesion in the cerebellum (arrow) suggestive of metastases

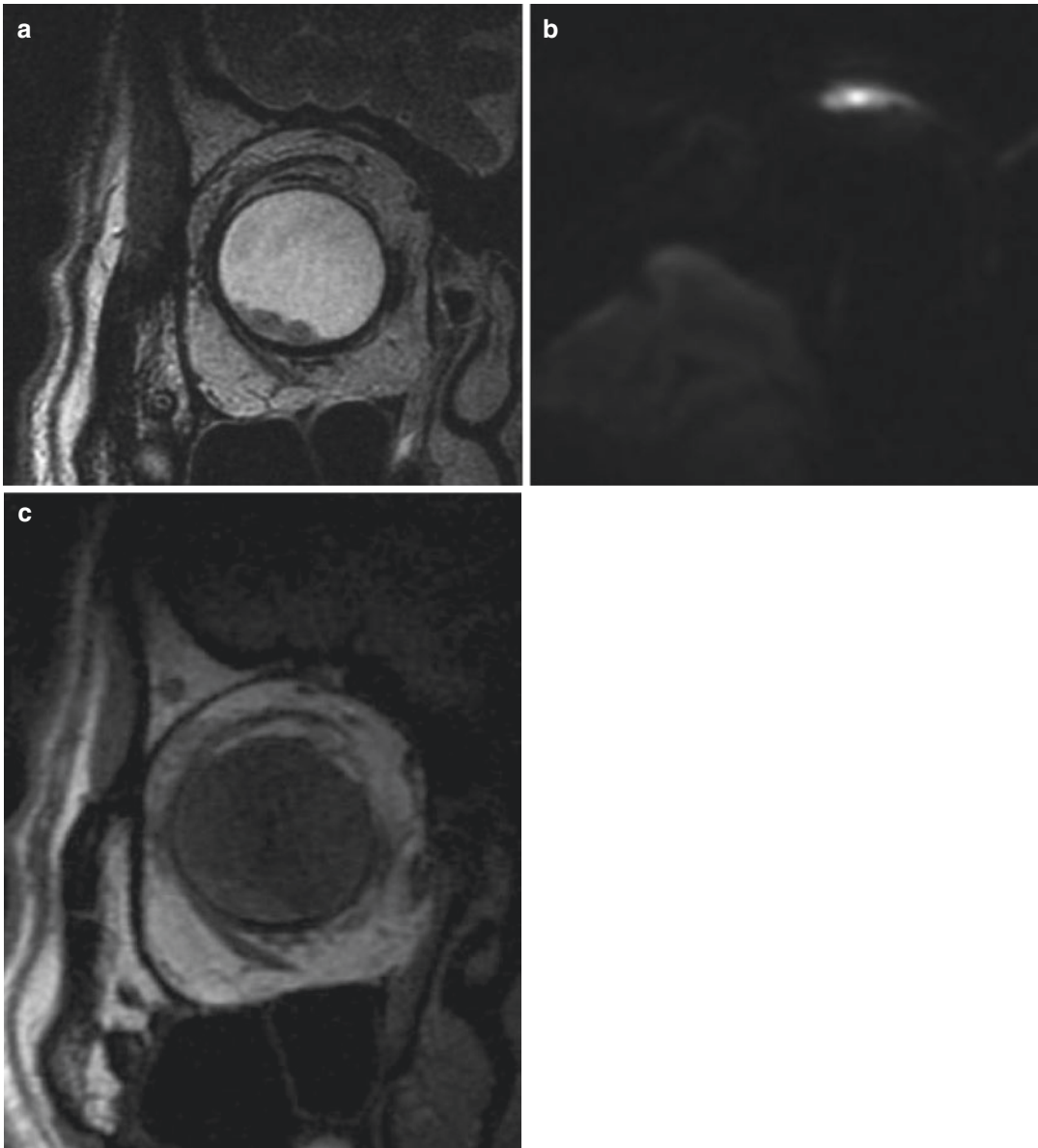
monly metastasize are breast (47%), lung (21%), and the gastrointestinal tract (4%). Both eyes are affected in about one-third of cases. These are usually dome shaped with a bumpy surface. Metastases, especially those from breast and lung carcinomas, also may involve extraocular muscles [4–6].

#### 18.4.3 CT

- CT lacks sensitivity due to its poor soft tissue resolution
- They may be seen as small elevated slightly hyperdense lesions that enhance with contrast.
- Post contrast imaging of the brain should be performed to look for intracranial metastases

#### 18.4.4 MRI

- Gadolinium enhanced MRI is the investigation of choice
- They are usually small elevated lesions with bumpy surface. They display iso to hyperintense signal in T1 WI with respect to the vitreous (Figs. 18.5 and 18.6).
- The T2 signal is usually hypointense with respect to the vitreous.
- Mucinous adenocarcinoma may mimic melanoma as they demonstrate T1 hyperintense signal due to mucin
- Metastases from renal cell carcinoma may mimic haemorrhage
- Post gadolinium they demonstrate enhancement which may be similar to the primary tumor.



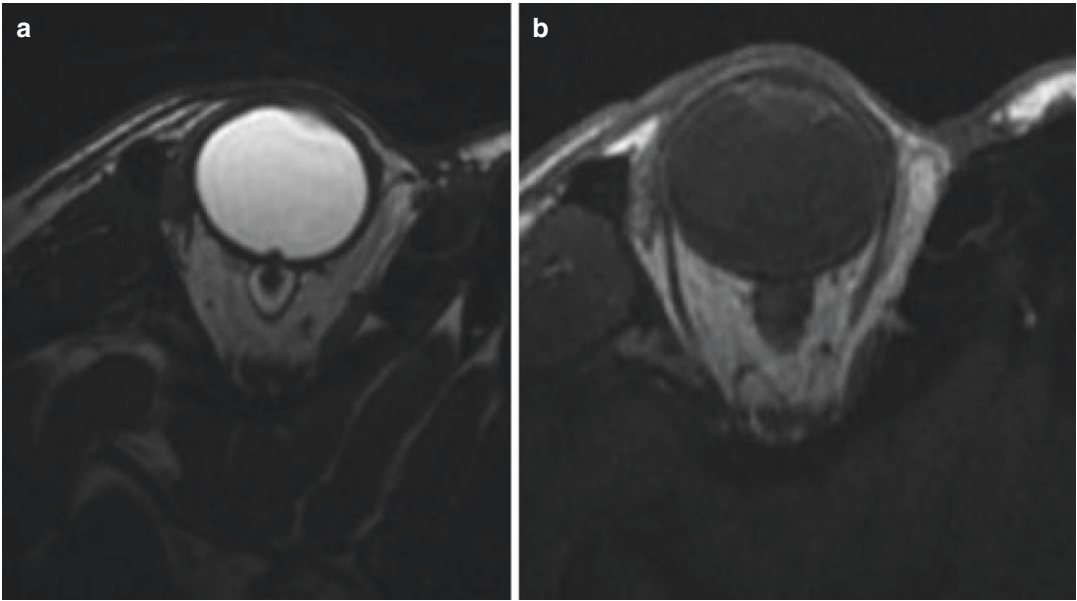
**Fig. 18.6** (a) Coronal T2 FRFSE surface coil image of the right eye. (b) Axial diffusion weighted image. (c) Coronal T1 WI. There is a bumpy choroidal lesion in the

inferior quadrant of the right eye. It displays hypointense signal in T2 WI and intermediate signal in T1 WI w.r.t vitreous and restricted diffusion

## 18.5 Melanocytoma

Melanocytoma, also known as hyperpigmented magnocellular nevus. It is a variant of melanocytic nevus that has typical clinical and histopathologic features. Melanocytoma of the ONH is a hamartoma which consists of large round or

oval heavily pigmented melanocytes packed closely and located among axons in the optic disc, anterior optic nerve and in the peripapillary retina. Melanocytoma is commonly seen at the optic disc or optic nerve head. It can also be found in the uveal tract, including the iris, ciliary body, and choroid. Approximately 50% of melanocytomas occur in black race, whereas the inci-



**Fig. 18.7** Surface coil MRI images of the right eye showing a small nodular lesion at the right optic disc. It is not appreciable in the T1 WI (b) and is hypointense in T2 WI (a)—Melanocytoma

dence of uveal malignant melanoma is less than 1% in black race [7, 8].

### 18.5.1 MR Imaging

- MRI may not be helpful in differentiating choroidal melanoma from melanocytoma.
- There is most commonly located at the optic disc and small. The melanin content of melanocytoma is seen as a hyperintense signal on TIW images and hypointense signal on T2W images with respect to vitreous (Fig. 18.7)
- There is minimal enhancement seen after Gd—DTPA administration.

## 18.6 Primary Intraocular Lymphoma

Primary intraocular lymphoma (PIOL) is an ocular malignancy that is a subset of primary central system lymphoma (PCNSL). Approximately one-third of PIOL patients will have concurrent PCNSL at presentation, and 42–92% will develop PCNSL within a mean of 8–29 months. The majority of PIOL is diffuse

large B-cell lymphoma. Vitreoretinal lymphoma is the most common type of ocular lymphoma related to PCNSL. Uveal tract lymphoma are low grade tumors and therefore have better prognosis [9].

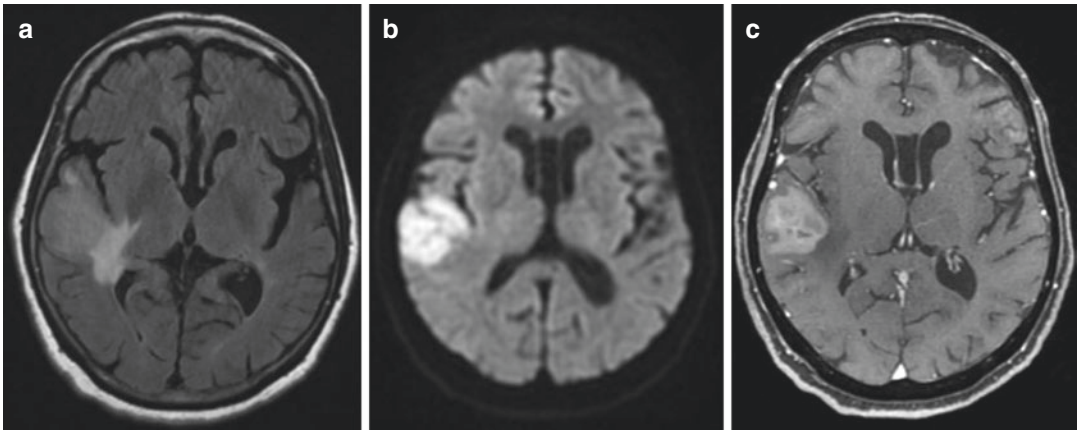
These tumors have a tendency to mimic chorioiditis and vasculitis and hence the diagnosis can be delayed.

### 18.6.1 Imaging

The vitreo-retinal lesions are small and quite often not appreciated even in the high resolution surface coil study. They are usually small elevated lesions and display iso to intermediate signal in T1 weighted images and hypointense in T2 weighted images (Fig. 18.9). Post contrast they homogeneously enhance.

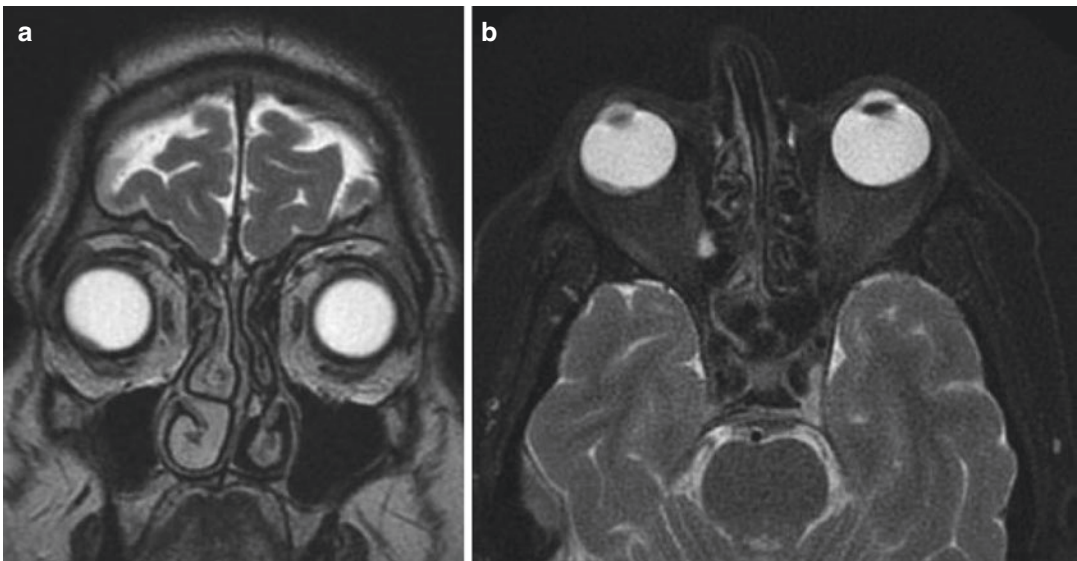
As they are associated with concurrent PCNSL, it is mandatory to have the brain imaging with contrast. The spectrum of CNS findings are varied ranging from periventricular enhancing lesion, dural based enhancing lesions, leptomeningeal thickening to diffuse parenchymal infiltration (Fig. 18.8) that does not show significant contrast enhancement.





**Fig. 18.8** (a) Axial FLAIR, (b) axial DWI and (c) axial post contrast images of the brain showing an ill-defined lesion in the right temporal lobe displaying hyperintense

signal in FLAIR and restricted diffusion in DWI and heterogeneous contrast enhancement in a known case of intraocular lymphoma



**Fig. 18.9** (a) Coronal T2 FRFSE and (b) axial T2 FRSE images of the orbit showing a small elevated lesion in the infero-temporal quadrant of the right eye. The brain imaging was normal

The atypical CNS lesions should have a biopsy for definitive diagnosis if CSF is negative.

## 18.7 Leiomyoma

Uveal leiomyoma is a rare benign tumor of the smooth muscle origin which can arise in the iris, ciliary body, or choroid.

### 18.7.1 Clinical Features

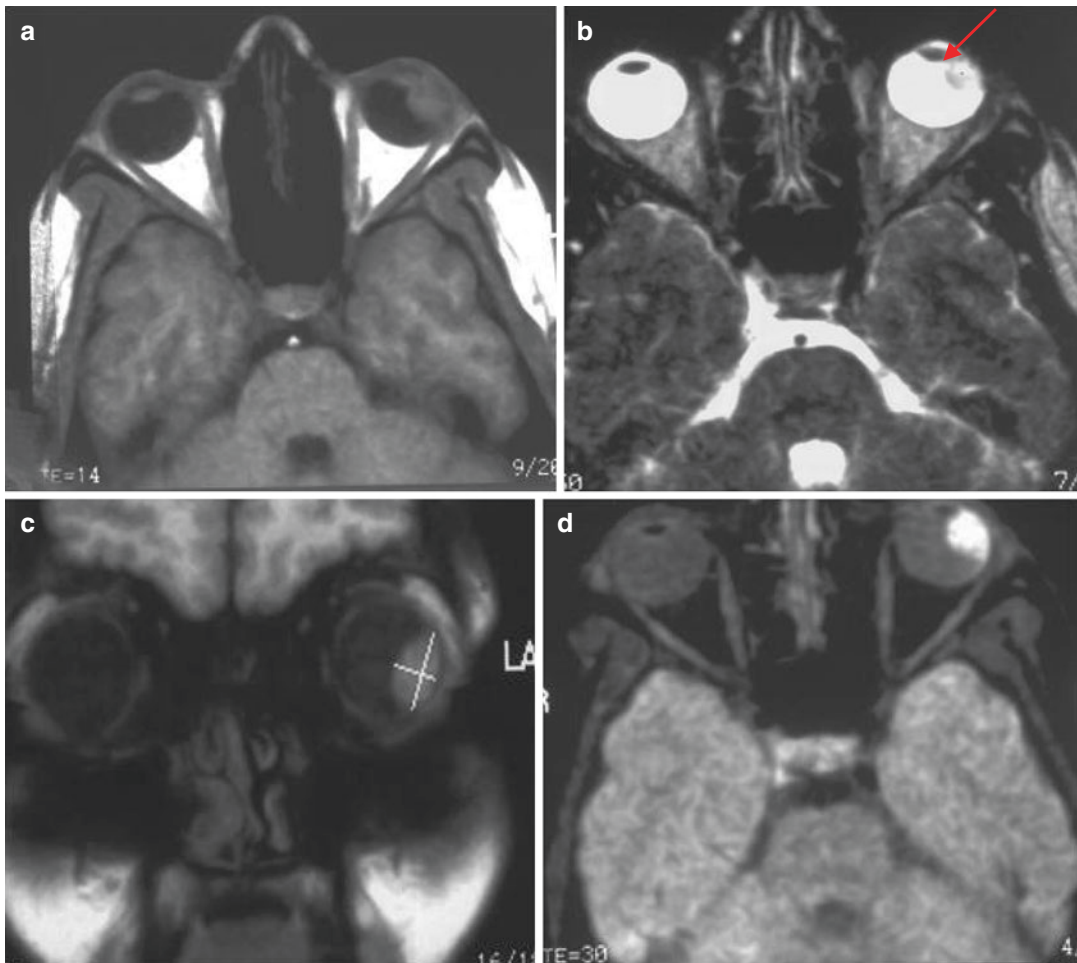
- They tend to occur in younger patients with female predilection.
- On ophthalmoscopy it appears as yellowish elevated highly vascularized elevated mass.
- The tumor may simulate amelanotic choroidal melanoma on the basis of clinical appearance [10].

### 18.7.2 MR Features

- Leiomyoma appears as a hyperintense mass with respect to the vitreous on the non-enhanced T1W images & hypointense on T2W images (isointense to brain parenchyma in T1 and T2 weighted images). The tumor is not as hyperintense as a melanoma on T1 weighted images (Fig. 18.10).
- On Gd-DTPA enhanced T1W images the tumor showed marked enhancement [11].

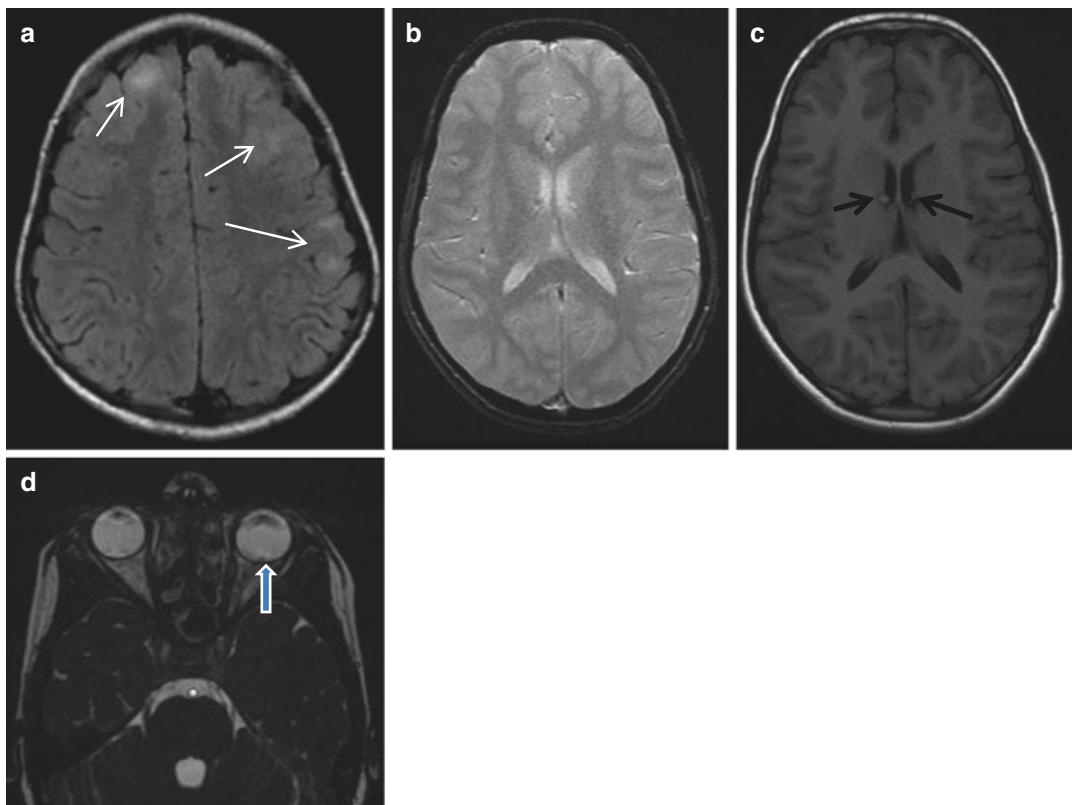
### 18.8 Retinal Astrocytoma

Retinal astrocytic hamartoma is a yellow-white rare benign retinal tumor that is frequently associated with tuberous sclerosis complex (TSC) or Bourneville's disease, neurofibromatosis or in isolation. Early retinal astrocytoma looks exactly like an early retinoblastoma and may present before any neurologic or dermatologic manifestations of tuberous sclerosis appear [12–14].



**Fig. 18.10** A 19 years old female presented with ciliary body lesion. (a) Axial T1 weighted image showing a well circumscribed minimally hyperintense mass arising from the ciliary body and extending posteriorly up to the equator. (b) Axial T2 weighted imaging showing the lesion as

predominantly hyperintense. (c) Post contrast coronal T1 weighted image showing moderate contrast enhancement. (d) axial STIR image showing the hyperintense lesion more clearly against the hypointense vitreous



**Fig. 18.11** (a) Axial FLAIR, (b) axial gradient, (c) axial T1 WI images of the brain showing cortical T2 hyperintense lesions in the bifrontal region in axial FLAIR (white arrow). Subependymal nodules seen along the lateral ven-

tricles (black arrow). (d) Axial FIESTA image of the orbit showing a small elevated lesion temporal to the left optic disc (block arrow) – retinal astrocytoma with cortical tubers and subependymal nodules

- These tumors may appear in the retina or in the optic nerve.
- They appear as single or multiple nodules elevated 1 or 2 mm above the surface of the retina (Fig. 18.11).

- MRI will help in identifying the cortical tubers (Fig. 18.11)

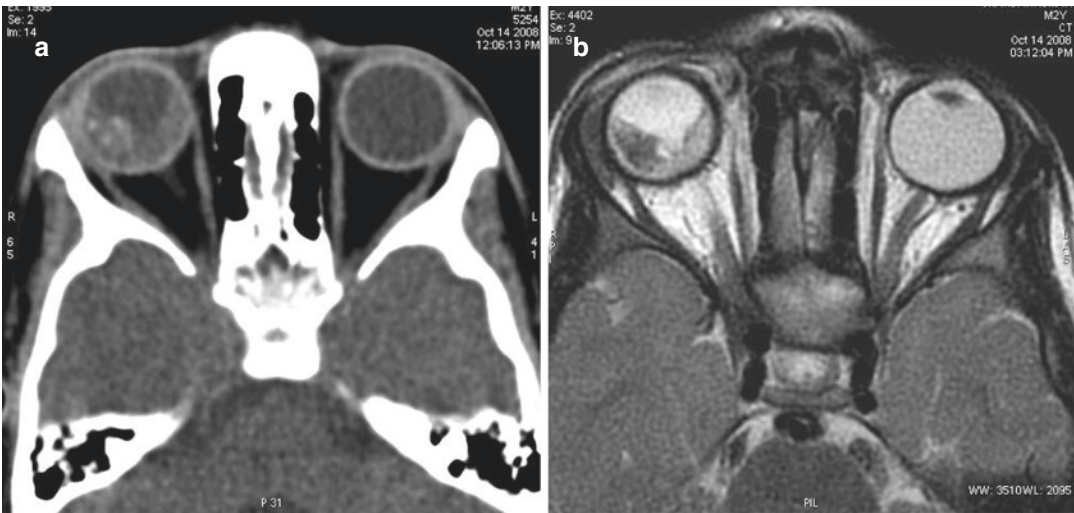
Clinical findings and their imaging help in confirming the diagnosis.

### 18.8.1 Imaging

- These are seen as well defined elevated dome shaped lesions at the posterior pole.
- CT may be useful in these patients to identify the calcification in the astrocytoma and calcified subependymal nodules.
- If the typical features of tuberous sclerosis are absent then differentiating from other ocular lesions such as retinoblastoma may be difficult on imaging.

### 18.9 Pediatric Intraocular Tumors

Imaging in pediatric patients is a challenge due to long scanning times and noise of the MR equipment, quite often requiring sedation. Magnetic Resonance imaging has almost completely replaced computed tomography for imaging intraocular tumors due to the radiation risk especially in retinoblastoma children and sensitivity of MRI is identifying non—calcified retinoblastoma, optic nerve invasion, intracranial disease and lesions simulating retinoblastoma.



**Fig. 18.12** (a) Axial CT scan of the orbit showing a hyperdense mass in the temporal quadrant of the right eye. Multiple specks of calcification are seen within and associated retinal detachment. (b) Axial T2 weighted image

showing T2 hypointense mass lesion corresponding to the CT image and calcifications are seen as low signal intensity specks. Note the associated retinal detachment is hyperintense w.r. t the mass lesion

MRI should include dedicated orbit imaging with or without surface coil and entire brain imaging. Surface coil study gives high resolution images of the eye, however is usually not necessary in children especially in retinoblastoma as the depth of the coil is limited or unless the lesion is very small.

mainly due to its lack of radiation and superior soft tissue resolution that enables us to visualise the intraocular tumor, extraocular extension, optic nerve invasion and trilateral tumors and intracranial metastases (Fig. 18.12). Imaging should include the orbit and brain both pre and post contrast.

## 18.10 Retinoblastoma

It is the most common orbital tumor in childhood. The incidence of retinoblastoma is 1 in 15,000–20,000 live births. Retinoblastoma usually occur in children under the age of 5 years; the median age of diagnosis is 2 years of age for unilateral retinoblastoma and 9–12 months for bilateral retinoblastoma. The disease is unilateral in 60% of cases and bilateral in 40%. All bilateral and 15% of unilateral retinoblastomas are hereditary.

The most common clinical presentation is Leukocoria. They may also present with strabismus, amblyopia, cellulitis and proptosis.

### 18.10.1 Imaging

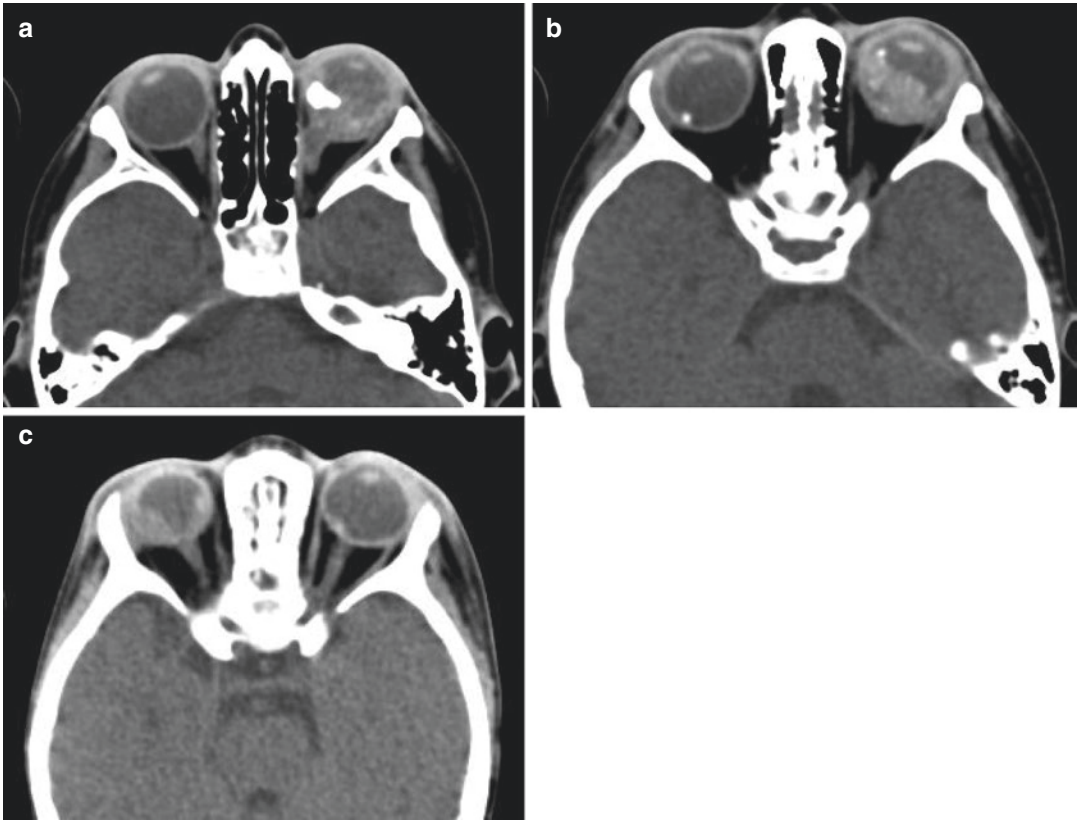
Diagnosis of retinoblastoma is clinical. MRI has largely replaced CT as the diagnostic modality,

### 18.10.2 Computed Tomography

- CT scan was widely used to image patients suspected to have retinoblastoma, because of its sensitivity to detect calcium.
- The mass is hyperdense w.r.t vitreous and the calcifications can be single or multifocal; clump like or speckled (Fig. 18.13)

### 18.10.3 MRI Findings

- The imaging features depend on the three patterns of tumor growth—endophytic, exophytic and diffuse infiltrating types.
- They typically display hypointense signal in T2 that is similar to the gray matter.
- Calcification is usually seen as low intensity areas within the tumor (Fig. 18.12)
- Due to their cellular content the active tumor displays restricted diffusion.

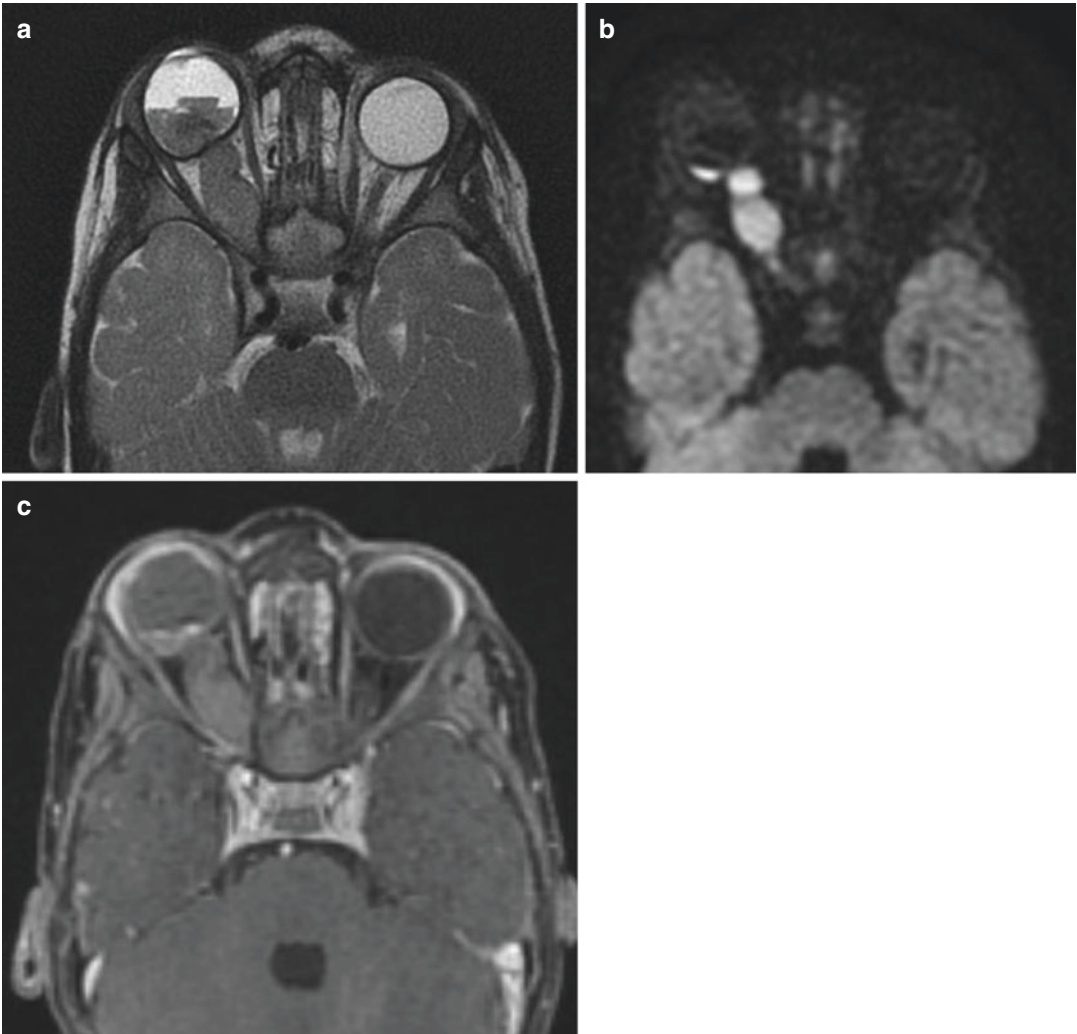


**Fig. 18.13** Axial CT scans of the orbit of different patients showing the types of calcification seen in retinoblastoma. (a) Clump like. (b) Multifocal speckled. (c) Single dense

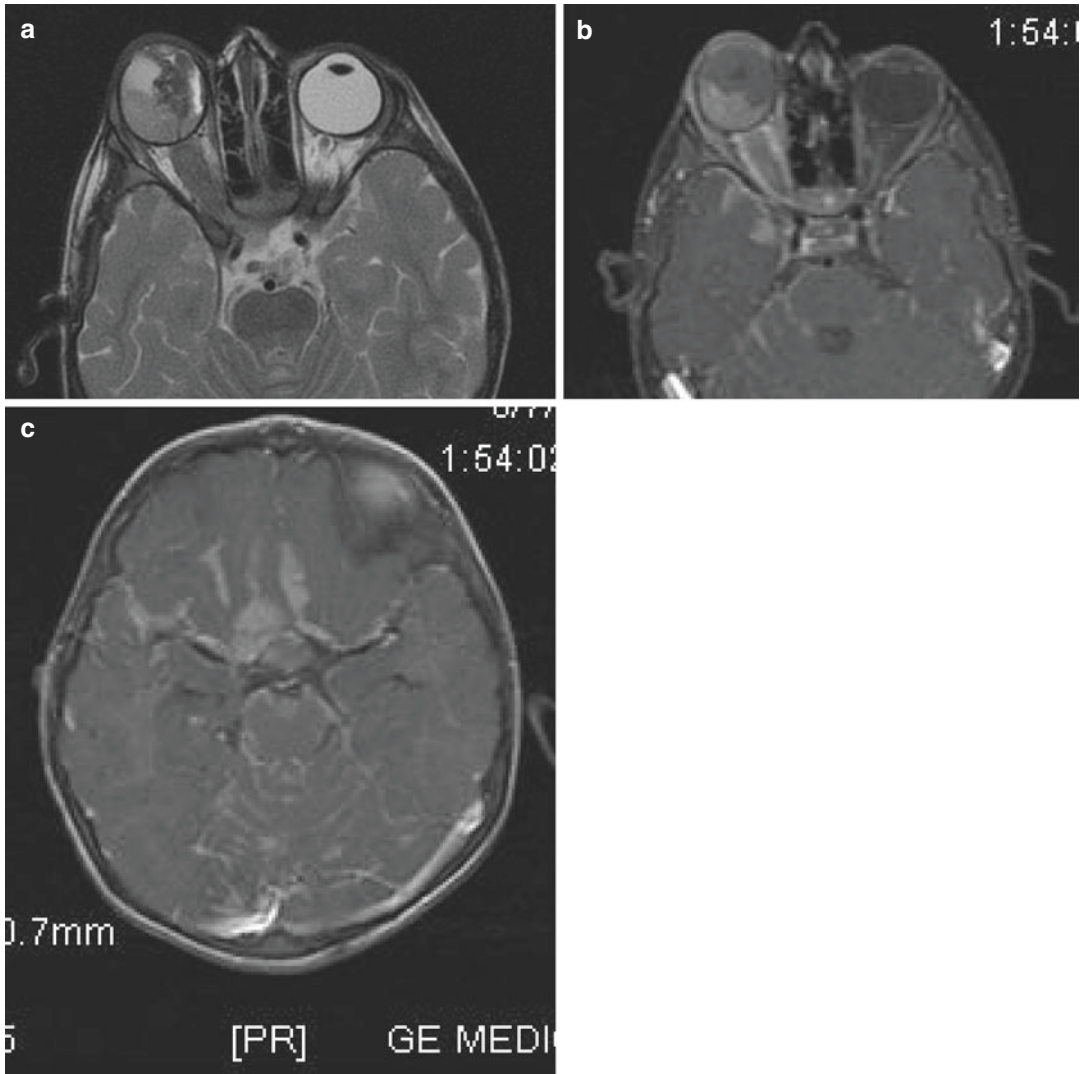
- Diffusion weighted imaging can be useful to differentiate the active and necrotic tumor and response to therapy [15].
- Retinal detachment is usually an associated finding. The subretinal fluid is usually exudative hence will have hyperintense signal in T1 weighted images and variable signal in T2 weighted images.
- Optic nerve invasion may vary from subtle T2 hyperintense signal to gross thickening of the nerve and enhancement (Fig. 18.14).
- Contrast enhanced MRI will help to identify the non-necrotic component and intracranial metastases.
- Leptomeningeal deposits in the brain and spine are best appreciated in the contrast enhanced MRI (Fig. 18.15).
- Trilateral retinoblastoma is an intracranial midline mass in the presence of unilateral or bilateral retinoblastoma. It occurs in 1.5–5% of the retinoblastoma patients. The most common is pineal tumor [16, 17]. They are usually cystic. Enlarged pineal gland with cyst and irregular wall or solid component should be considered suspicious and closely followed up—every 3 months (Fig. 18.16).
- Quadrilateral retinoblastoma is presence of suprasella or parasellar mass.
- MRI helps to differentiate retinoblastoma and other simulating lesions

#### 18.10.4 Lesions Simulating Retinoblastoma

- Coats' disease
- Persistent hyperplastic primary vitreous
- Toxocara

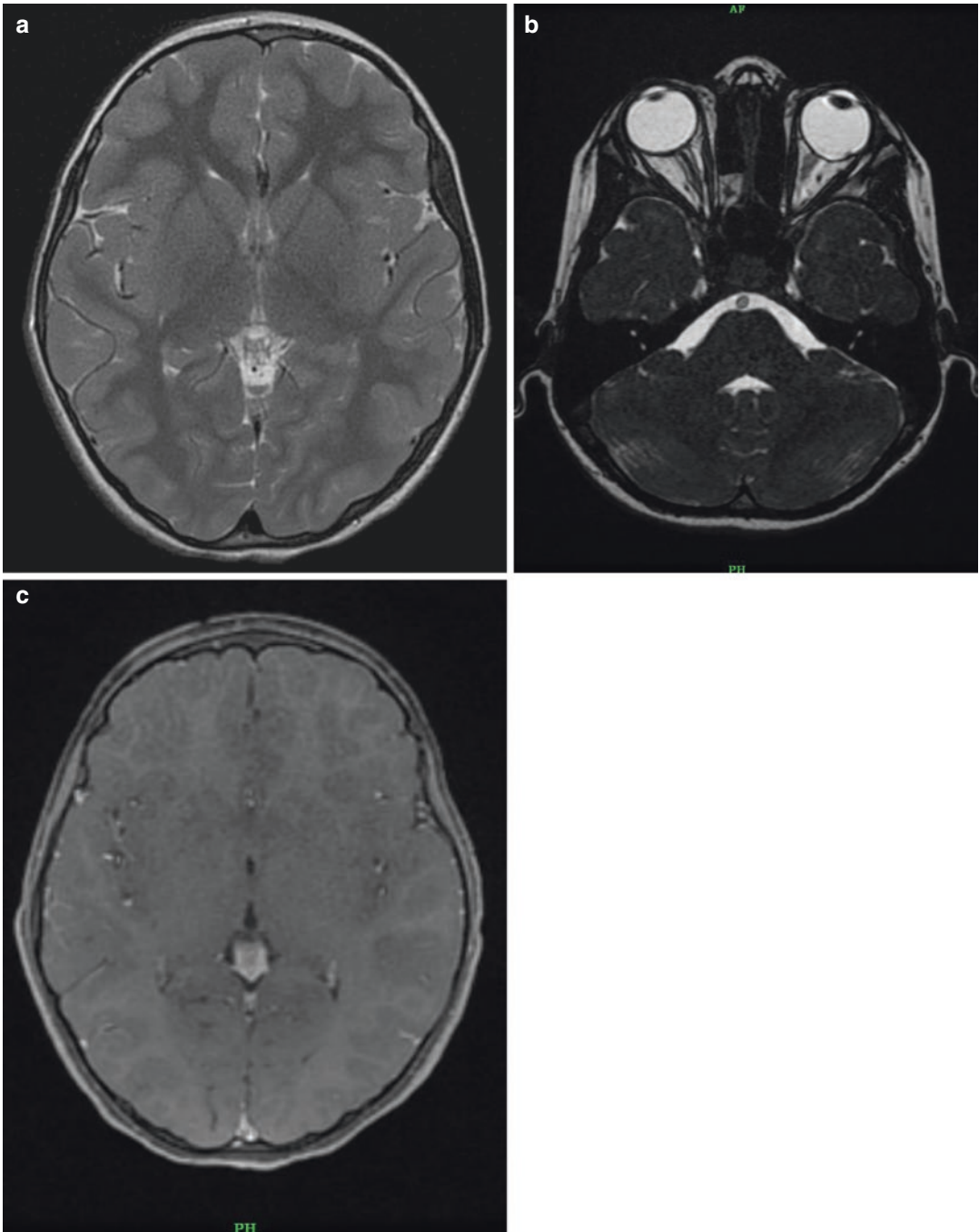


**Fig. 18.14** Retinoblastoma with optic nerve invasion. **(a)** Axial T2 weighted image showing enlarged right globe, an irregular T2 hypointense intraocular mass lesion and gross invasion of the optic nerve. **(b)** Axial diffusion weighted image showing restricted diffusion in the active and cellular part of the intraocular lesion and optic nerve. **(c)** Contrast enhanced fat suppressed T1 weighted image showing enhancement in the active part of the tumor and optic nerve



**Fig. 18.15** Retinoblastoma with optic nerve invasion and subarachnoid metastases. (a) Axial T2 weighted image showing enlarged right globe, an irregular T2 hypointense intraocular mass lesion, retinal detachment and gross invasion of the optic nerve. (b) Axial post contrast T1 fat

suppressed image showing enhancement in the active and cellular part of the intraocular lesion and perioptic and cerebral subarachnoid space. (c) Contrast enhanced fat suppressed T1 weighted image of the brain showing leptomeningeal enhancement



**Fig. 18.16** A case of left eye retinoblastoma with pineal mass. (a) Axial T2 weighted image showing mild enlargement of the pineal gland and heterogenous signal. (b) Post

contrast axial T1 weighted image showing heterogenous enhancement in the pineal gland. (c) Axial FIESTA image showing a small intraocular lesion in the left eye



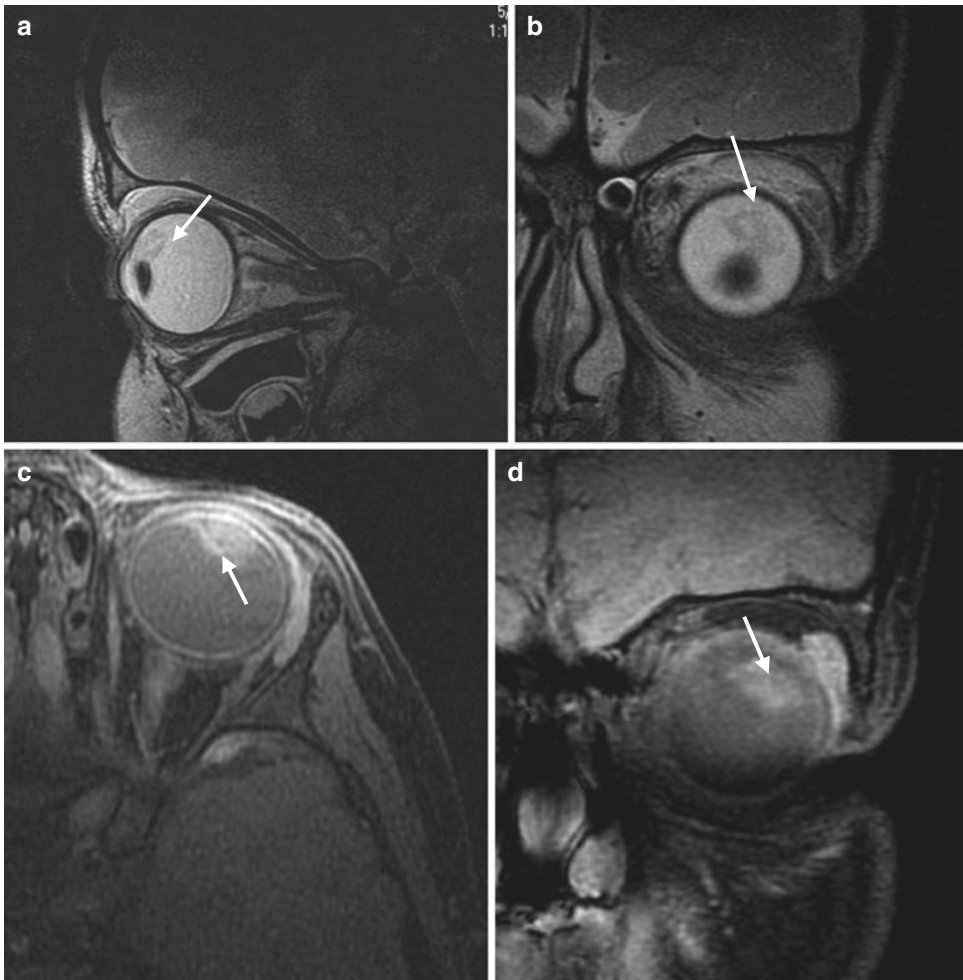
- Cellulitis
- Retinopathy of prematurity
- Astrocytic hamartoma

### 18.11 Medulloepithelioma (Diktyoma)

Medulloepithelioma, usually occur in the first decade of life. It is a non-pigmented, vascular mass and usually arises from the ciliary body. Very rarely it can arise in the retina or optic nerve. The morphology of the tumor is characteristic solid and cystic [18].

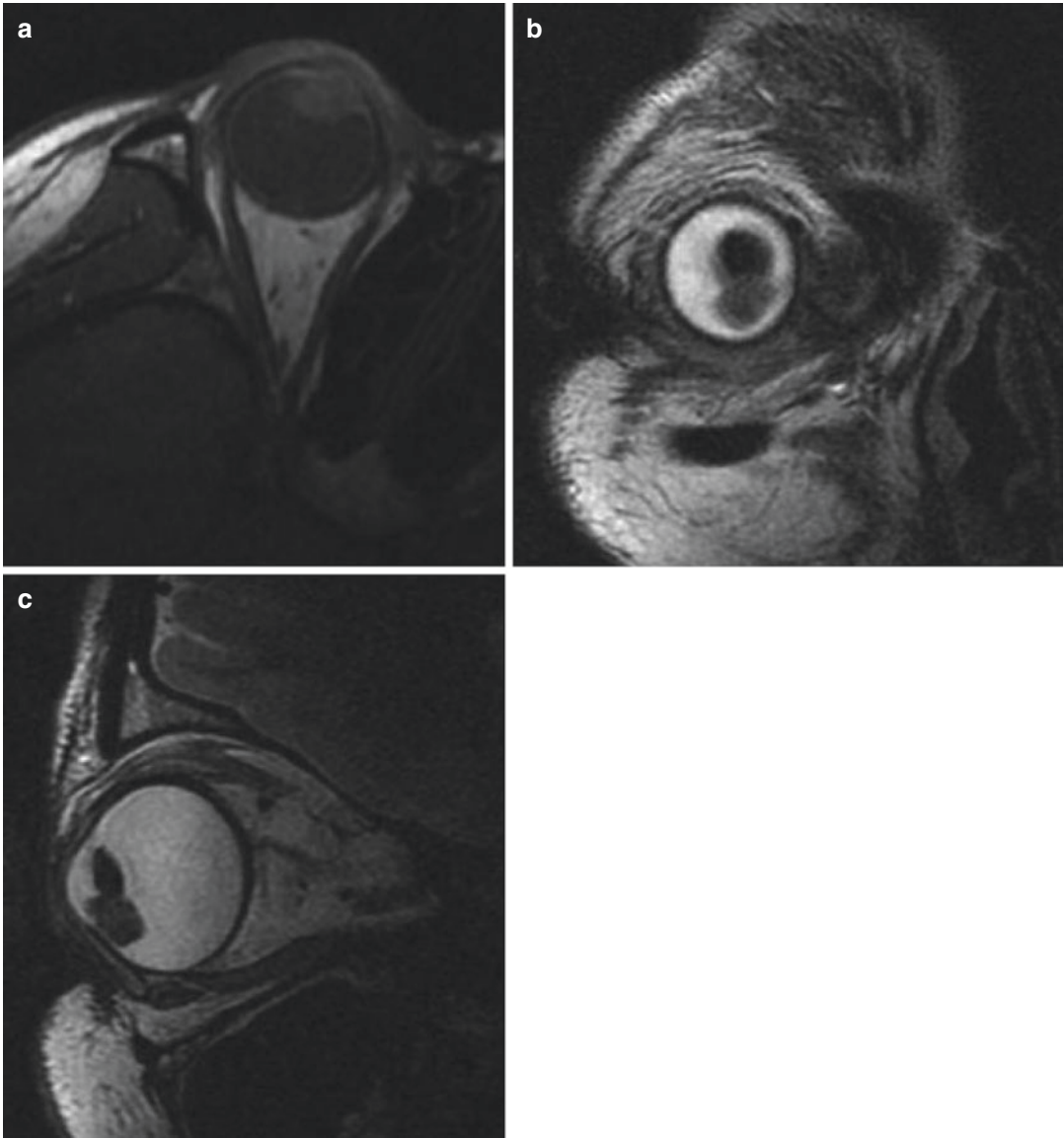
#### 18.11.1 Imaging

- MRI using surface coil technique is usually preferred due to its high signal to noise ratio as this is an anteriorly located tumor.
- It is mixed solid and cystic and therefore are usually isointense to the vitreous in T1 WI and mixed signal in T2 WI (Figs. 18.17 and 18.18).
- The cystic component is usually isointense to the vitreous.
- Marked enhancement of the solid component is seen following administration of gadolinium.



**Fig. 18.17** (a, b) 3 years old child presented with redness, watering and white lesion in the eye-Sagittal and coronal T2 weighted surface coil image respectively showing ill-defined lesion mixed hypo and hyperintense

ciliary body lesion superior to the lens s/o mixed solid and cystic nature of the lesion. (c, d) Non contrast axial and coronal T1 FSPGR fat sat images show the lesion to be hyperintense with respect to vitreous (white arrow)



**Fig. 18.18** Medulloepithelioma. (a) Axial T1 weighted surface coil study of the right eye showing an intermediate signal intensity ciliary body lesion. (b) Coronal T2

weighted image showing a hypointense lesion inferior to the lens. (c) Sagittal T2 weighted image clearly defining the tumor location and morphology

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# Retinoblastoma: A Journey of 60 Years

# 19

Claire Hartnett and M. Ashwin Reddy

Retinoblastoma is a malignant tumour of the retina that is diagnosed in approximately 8000 children worldwide each year and although it is the most common primary eye cancer to affect children, it is considered rare in high resource countries with low birth rates [1–3].

## 19.1 Reducing Paediatric Mortality

In the 1950s retinoblastoma was associated with high mortality throughout the world. It is a paediatric cancer and so has a higher incidence in countries with high birth rates. As high resource countries have less children, the burden of retinoblastoma now falls upon low and middle resource

countries e.g. in Nigeria it is the most common paediatric cancer in under 5 s [4]. Whilst there have been many medical advances in high resource countries noted over the last 6 decades, the high survival rate of greater than 95% stems from increased awareness of signs by the parents and guardians and the development of specialized centres for the treatment of the condition. It is no surprise that in countries without universal screening strategies, mortality rates have been documented of up to 60% [5, 6].

### 19.1.1 Lag Time

Delay in diagnosis is a pejorative term to describe the time interval between the onset of symptoms/signs and presentation to a service that can diagnose and treat the condition in a timely manner [7]. It has been demonstrated that increased lag time is associated with increased mortality for retinoblastoma [8]. This is the case in low/medium resource countries. However, in the UK it has been shown that increased lag time is no longer associated with a poorer outcomes [9] compared to three decades beforehand [10]. This is a similar finding to the US [11]. It is becoming more apparent that individual tumour biology is relevant in countries where the median lag time is around 1 month [9] and mortality is rare [11].

There have been concerted efforts to universally screen for retinoblastoma often at the same time as congenital cataracts. This is effective in

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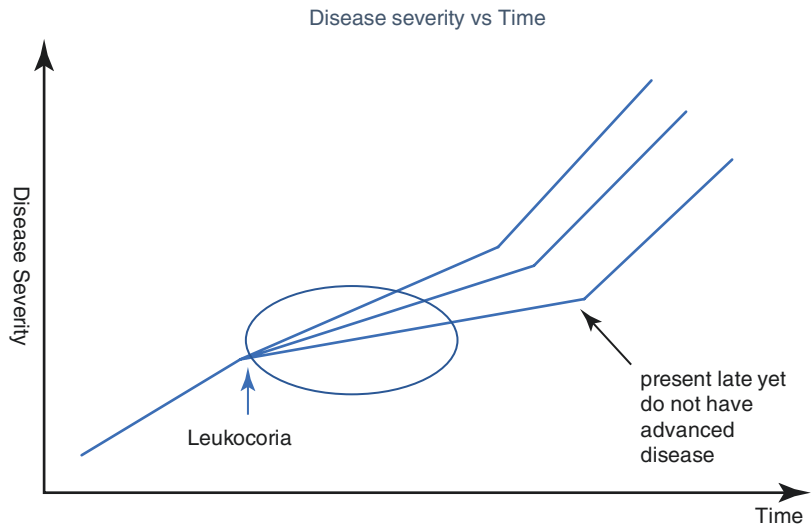
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**Fig. 19.1** This hypothetical chart shows that disease severity is independent of lag time in countries where the lag time is short (circled)



reducing mortality but not avoiding enucleation as early RB (Groups A, B and C) can only be detected by ophthalmologists with children under anaesthesia and this is not cost effective for the general population [12]. Kaliki et al. [13] found that only 21% of Indian patients with high-risk Rb (adverse histopathology) presented after 6 months of signs being noted which is surprising, as one would expect the vast majority to be presenting at such a late time. This suggests other factors are at play for the majority who are presenting relatively early to the service. For countries with established primary care systems, a linear relationship does not exist between lag time and retinoblastoma and individual tumour biology has become more relevant to invasiveness (Fig. 19.1).

### 19.1.2 Communication

In addition to presenting in a timely manner, the parents and guardians need to accept the advice given for treatment. As enucleation remains the mainstay of treatment in groups C, D and E in low/middle resource countries, refusal for enucleation is a cause of increased mortality. However, in specialised centres there is an opportunity for the families to speak to non-health care professional patient support groups (e.g.: The

Childhood Eye Cancer Trust in the UK). These support workers often have relatives affected with retinoblastoma and can be instrumental in persuading families that enucleation can save a child's life with good cosmesis.

Conversely, poor communication between health care professionals will increase the risk of poor outcomes. In avoiding enucleation or systemic chemotherapy, units may use innovative treatments and this may increase the risk of metastases and therefore mortality [14]. Such decisions need to be discussed within a multidisciplinary environment including an open discussion with the families.

### 19.1.3 Orbital Disease

Until recently, orbital retinoblastoma was considered fatal with very little that could be done to prolong the child's life. However, a concerted multidisciplinary approach involving systemic chemotherapy, external beam radiation and enucleation can improve survival to 90% (18 of 20 cases) [15]. These are cases without intracranial involvement on MRI scanning nor metastases at presentation, but can still provide hope to clinicians and patients in countries that often see patients presenting in this manner.

### 19.1.4 Pinealoblastoma

In high resource countries death from retinoblastoma is rare, but mortality may be seen with children who have pinealoblastoma in addition to retinoblastoma. The survival for children who develop pinealoblastoma due to being *RBI* carriers is poor compared to those with sporadic pinealoblastoma [16].

## 19.2 Shift from Radiation

The first attempt to treat retinoblastoma with X-ray occurred in 1903 and was carried out by H.I. Hilgartner in Austin, Texas [17]. In 1919, Schoenberg described the use of radiation therapy in a 2-year-old girl with bilateral retinoblastoma. The eye with the larger tumour was enucleated and the less involved eye was treated by radium therapy. The tumour in the latter eye regressed and 3 years after first commencing treatment, the child was healthy with good vision [18]. Enucleation of the worse eye and radiation of the least affected eye represented standard treatment of retinoblastoma for the next 70 years. If diagnosed early enough, both eyes could be cured by X-ray irradiation [18]. Foster Moore in 1929 in London and Martin & Reese in 1936 [17] in New York confirmed that ionizing radiation could treat this type of tumour and also identified patterns of regression. Although success with externally applied radiation became apparent, ophthalmic complications were frequent. They began working with radiologists to progressively reduce the dose from 20,000 rads (cGy) to present day 3500–4500 cGy levels in order to attempt to preserve useful vision [19].

Kupfer in 1953 was the first ophthalmologist to combine chemotherapy, using a nitrogen mustard agent intravenously, with radiation therapy [20]. He believed that this would result in a reduction in the overall dose of radiation required to treat intraocular retinoblastoma. This technique was later abandoned due to the recorded immediate side effects of this chemotherapeutic agent; in some cases children died.

Forrest first wrote about the observations of second cancers in patients previously treated with irradiation for retinoblastoma in 1961 [21]. More evidence emerged confirming these findings in the years and decades that followed. Abramson wrote of similar findings in 1976 [22] and later wrote of the incidences of sarcomas and other cancers in these patients in 1997 [23].

### 19.2.1 Recognition of Oncology Risks to Adult Survivors

Much attention for retinoblastoma care was dedicated to saving the lives of children. However, there is increasing awareness of the risk to survivors of retinoblastoma.

It became widely recognized that patients with constitutional mutation of the *RBI* gene are at increased life-long risk of developing other specific second cancers. This risk is increased with exposure to radiation (a 50% risk of developing cancer by the age of 50 years of age if they received EBRT compared to 27% risk if they did not). These include osteosarcoma, leiomyosarcoma, malignant melanoma, lung cancer and bladder cancer [24]. Lifestyle counselling can educate survivors on ways to reduce their risk of developing a second cancer by avoiding unnecessary radiation (such as UV light) and carcinogens (such as smoking and alcohol) and obesity. They should also promptly report any suspicious unexplained lesions [25] and there have been awareness campaigns to make doctors aware of the risk to retinoblastoma survivors (Case Study).

#### Case Study

Caroline Aherne was a famous comedian in the UK. She had familial retinoblastoma and after treatment for retinoblastoma including External Beam Radiotherapy at St Bartholomew's Hospital in London, she was left partially sighted in one eye. Unfortunately, she suffered from bladder cancer as an adult, and later in 2014 she embarked on a programme of treatment for lung cancer. She died aged 52.



The risk of second primary cancers within the radiation field in children with germline *RBI* mutation is significant when the infant is irradiated under the age of 1 year [26]. Therefore, radiation is no longer a primary therapy for retinoblastoma.

### 19.3 A More Relevant Classification System

In the late 1950s Ellsworth and Reese developed a classification system for retinoblastoma. This was devised to predict prognosis and outcomes when intraocular retinoblastoma was treated with external beam radiotherapy (EBRT). It did allow international investigators and clinicians to compare results to treatment of tumour based on size for the first time.

With the advent of new therapies and the shift from radiotherapy to intravenous chemotherapy as a primary treatment for retinoblastoma, several classification systems [27, 28] developed to reflect prognosis with chemotherapy [29]. Unfortunately, they use the same nomenclature (Groups A–E) with variations of diagnostic features and therefore make comparison of publications and consensus regarding treatment difficult [30].

The TNM cancer classification system is another system used for retinoblastoma staging and was published in 2010 [31]. A revised system was published in 2016 and incorporates heritability into the classification [32]. According to this classification Group D retinoblastoma is cT2a

(>5 mm subretinal fluid from the base of the tumour) and cT2b (tumours with any vitreous or subretinal seeding). It remains to be seen if this system will be used consistently by units in the future.

### 19.4 Increased Understanding of Genetics

The empiric risk for relatives of retinoblastoma was all that was known in the 1970s and 1980s. Offspring of patients with a family history of retinoblastoma or bilateral tumours have a 50% risk of inheriting the mutant allele and a 45% risk of developing retinoblastoma, due to incomplete penetrance. It was first reported by Knudson and later shown conclusively that 15% of patients with unilateral retinoblastoma have a germline mutation [33].

However the most accurate way to predict who will develop retinoblastoma in a family is to test them for the precise *RBI* mutant allele found in the proband. In many countries genetic testing began on retinoblastoma patients in the mid-1990s. This was gradually expanded and genetic testing offered to retinoblastoma patients in high-income and middle-income countries from the late 1990s and the turn of the century. This has been a huge advancement for patients and families.

Genetic testing of infants born at risk of retinoblastoma can be performed on DNA from amniotic fluid or from cord blood samples taken at birth. These at risk infants are examined regularly to detect early tumours. Examination without anaesthesia may be performed initially (as tumours often are within the posterior pole and mid-equatorial region) but after 2–3 months of age anaesthesia is required to detect small tumours with visualization of the ora serrata essential. All children at risk should undergo multiple examinations under anaesthesia in the first 3 years life in accordance with agreed protocols. Each unit should stratify risks according to the sensitivity of screening for the *RBI* gene and previous audits of tumour detection [34]. Tables 19.1 and 19.2 demonstrate the screening strategy for offspring

**Table 19.1** Screening protocol for at risk children with affected parents

	Low risk screening (risk < 1%)	High risk screening (risk 1–100%)
<i>Starting age</i>	Within 4 weeks	Within 2 weeks
<i>Screening frequency</i>		
Up to 6 months	EUA at 3 and 6 months Awake at 4.5 months	4 weekly
6–12 months	EUA at 9 and 12 months	4–6 weekly
1–2 years	At 16 and 22 months	2 monthly until 18 months 3 monthly until 2 years
2–3 years	6 monthly	4 monthly
<i>Stop screening age</i>	3 years	3 years at retinoblastoma unit 3–5 years: screening to be performed every 6 months by local ophthalmologist Children who have a mutation should be seen annually at a retinoblastoma unit until 16 years of age

**Table 19.2** Screening protocol for children with affected sibling

	Low risk screening (risk < 1%)	High risk screening (risk 1–100%)
<i>Starting age</i>	Within 4 weeks	Within 2 weeks
<i>Screening frequency</i>		
Up to 6 months	At 3 and 6 months	4 weekly
6 months to 1 year	4 monthly	4–6 weekly
1–2 years	6 monthly	2 monthly until 18 months 3 monthly until 2 years
2–3 years	6 monthly	4 monthly
<i>Stop screening age</i>	3 years	3 years at retinoblastoma unit 3–5 years: Screening to be performed every 6 months by local ophthalmologist Children who have a mutation should be seen annually at a retinoblastoma unit until 16 years of age

and siblings in the UK. Recently, it has been shown that survivors of retinoblastoma (particularly women) have fewer children if the risk is unknown or they do not understand the implications of the genetic testing. This emphasizes the importance of providing information to families in a manner that they can understand [35].

## 19.5 The Role of Enucleation

All eyes with features suggestive of imminent extraocular extension (IIRC Group E) still require immediate enucleation. The reason for this is that there is an increased chance of high-risk retinoblastoma on histopathology with secondary glaucoma and iris neovascularization, which are deemed Group E retinoblastoma in all classification systems [13]. Kaliki et al. compared 145 cases with high risk features and compared with 258 cases without high risk features. As expected secondary glaucoma increased the risk, but only 63% developed high risk features so that 37% did not have high risk features and therefore had no increase in the risk of metastases. Similarly only 53% with iris neovascularization had concomitant high-risk features on histopathology so almost half did not. As retinoblastoma surgeons are unsure as to which eyes harbor the adverse histopathology at present, it is safer to enucleate these eyes.

Historically, many children were not fitted with an orbital implant following enucleation, as it was felt that it would interfere with the detection of tumour recurrence by not allowing for palpation of the orbit [36]. However the emergence of MRI allowed for the imaging of the orbit despite the presence of an implant. In addition, a good cosmetic outcome is achieved by replacement of the volume of the eye with an implant deep in the orbit and has also been proven to be beneficial for orbital growth [37].

Changes in the techniques of enucleation and the types of implants used have changed over the last few decades. Expensive porous implants that become vascularized with the muscles sutured to the implant had been used extensively in the past. However, they were noted to be susceptible to infection and extrusion over the years. Equal artificial



eye motility has been shown with the use of the cheaper polymethyl methacrylate (PMMA) implants and muscles sutured to the conjunctival fornices (myoconjunctival technique) rather than on front of the implant [38, 39]. The role of the prosthetist is very important in achieving the motility in the studies and it has been difficult to achieve the results in children of other ethnicities who do not have the well-formed posterior tenon's that Indian children possess. Additionally, the use of a prosthetic eye conformer at the time of the enucleation results in a positive psychological benefit to the parents and child and these conformers have been adopted internationally more recently. This is particularly relevant in countries with high mortality such that compliance with this treatment becomes acceptable.

As discussed below, some units may enucleate more children for valid reasons taking into account risk factors for metastasis. The role of child play specialists cannot be understated for these children and, if possible, it is important that long term follow-up is provided so that psychosocial concerns are addressed in a timely manner. An excellent way of providing this includes children teaching younger children about prosthesis management (<http://www.bbc.co.uk/programmes/p05d4m8d>).

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## 19.6 Systemic Chemotherapy

The use of the nitrogen mustard group of chemotherapeutic agents, particularly triethylenemelamine was largely abandoned in the late 1960s [19]. However, systemic chemotherapy became important again for primary treatment of intraocular disease in the 1970s when drugs that had been shown to be effective in metastatic disease (cyclophosphamide, vincristine and doxorubicin) were also noted to have a dramatic effect on reducing the size of the intraocular lesions. It was noted though that the lesions regrew after stopping the chemotherapy treatment. However, alternatives to external beam irradiation were sought in the 1990s [40].

From 1996 [41], the first-line treatment to control Murphree IIRC Groups B, C and D reti-

noblastoma has been intravenous chemotherapy with different combinations, doses, schedules and durations of carboplatin, etoposide and vincristine (CEV) followed by focal therapy with cryotherapy or laser, applied to consolidate chemotherapy responses [42] and to destroy any recurrent tumour [41, 43]. CEV is generally given every 3 weeks through a central venous line. Intravenous chemotherapy alone eradicates the retinoblastoma completely and regular, frequent examinations under anaesthesia are necessary to observe for relapses or recurrences following completion of chemotherapy treatment [44, 45].

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## 19.7 Focal Therapy

Focal therapy is the local application of treatment to the eye under direct visualization i.e.: laser, cryotherapy or plaque. It has become the primary treatment for IIRC Group A eyes and is also used to consolidate responses of IIRC Group B, C and D eyes following intravenous or intra-ocular arterial chemotherapy.

### 19.7.1 Laser

In Germany in the 1950s, Gerd Meyer-Schwickerath developed photocoagulation using a xenon arc beam [17]. It was noted that this could be used for small retinoblastoma tumours (1–4 mm in diameter) and it was called light coagulation. It was also used to treat recurrences following plaque or EBRT during this time [17].

Its use continued through the following decades and currently still plays an important role in treatment of IIRC Group A and B eyes and to those tumours that have been initially shrunk by chemotherapy. As with many treatments for retinoblastoma, the evidence for laser in patients having chemotherapy is not robust [42] yet it is standard treatment for many centres. Transpupillary thermotherapy involves directing 810 nm diode laser through the dilated pupil to heat the tumour for 3–5 min per spot. Photocoagulation therapies with 532 nm, 810 nm

or continuous-wave 1064 nm laser beams are directly applied by multiple short burns. The power is gradually increased until the tumour is coagulated and grey to white.

### 19.7.2 Cryotherapy

Cryotherapy was introduced by Harvey Lincoff et al. in the 1960s [18] and became an important adjunct in the treatment of peripheral or anteriorly-located small retinoblastomas [17]. It can be used for more posterior tumours where central visual damage will not result. Cryotherapy involves freezing the tumour through the sclera with a nitrous oxide probe. The tumour cells die during the thawing stage and therefore a full 1 min interval between each freeze cycle is important. A triple-freeze thaw technique is used.

In general, focal therapies are repeated 2–3 weekly until the tumour is completely atrophic.

Whilst a flat scar can be easily achieved using cryotherapy, repetitive laser sessions are necessary to create a scar after chemotherapy and laser (dependent on the size of the original tumour). As a result it has been advocated that certain phenotypes that do not flatten with post chemotherapy laser (e.g. cavitory retinoblastoma) do not require repetitive laser after chemotherapy [46].

### 19.7.3 Radioactive Plaque Therapy

Stallards' collaboration with Innes in 1964 led to the development of Cobalt-60 applications of varying size which could deliver a dose of 4000 rads to the apex of the tumour in 7 days at St Bartholomew's Hospital, London [19]. This was the beginning of modern-day brachytherapy and plaque therapy later began in the United States in 1969.

Episcleral radioactive plaques such as the iodine or the ruthenium plaque have become another form of focal therapy option. Plaque focal radiation is effective at treating single recurrences after chemotherapy or EBRT had failed. In some instances, where a single tumour of less than 13 mm in diameter exists, not adjacent to the

optic disk or macula, it may be treated with a plaque as a primary treatment. Its use has recently declined as it is recognized that it may result in haemorrhages and retinal detachment if used prior to intra-ocular arterial chemotherapy [47].

## 19.8 Intra-Ophthalmic Artery Catheterization (IAC)

Intra-arterial catheterization has been used for eye salvage therapy in Japan since the 1990s using a balloon to block the carotid artery and direct chemotherapy flow to the ophthalmic artery. In 2006, Abramson and colleagues modified this technique to achieve a more selective delivery to the eye via catheterization of the ophthalmic artery (intra-ocular artery chemotherapy). Reported results were encouraging with high eye salvage rates [48–50]. Indications for IAC use soon expanded to include primary treatment. One study demonstrated overall globe salvage was 74% when IAC was used as first-line treatment and 67% when used as second-line treatment [50]. Additional chemotherapeutic agents were added later including topotecan and carboplatin.

The early adopters of this treatment may have given this treatment to group E eyes with a 50% risk of high risk features and as a result children may have died. Therefore, it is not universally adopted [51]. Another concern is vision (see below) when used for non-macula tumours.

## 19.9 Widespread Use of Intravitreal Chemotherapy

Historically, one of the most difficult features to control in the treatment of retinoblastoma was that of vitreous seeding, and it was one of the main causes of failure of primary treatment [52]. Again intravitreal chemotherapy was performed for decades in Japan before a safety enhanced method was introduced by Munier et al. in 2012 [53]. Following the use of this methodology, it has been acceptable to virtual all units.

Encouraging results have been emerging over the last 3 years [53, 54]. Vitreous seed median time regression has been reported at 0.6, 1.7 and 7.7 months for dust, spheres and cloud seeds respectively. The median number of injections required to reach regression was 3, 5 and 8 injections for the respective seed groups [55, 56]. Metastatic spread has been shown in a systematic review to be a rare occurrence [57]. Topotecan is another agent recently being used for recurrent seeds [58].

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## 19.10 The Battle for Group D Eyes

Virtually all units will salvage Groups A, B and C eyes and enucleate Group E eyes with certain phenotypic characteristics. Controversies arise for Group D eyes with some advocating enucleation in all unilateral cases and some advising salvage at all times. Unfortunately, there is no consensus on the definition of a Group D eye (as discussed above) and this makes comparison between different centres difficult.

### 19.10.1 Discussion with Parents

The discussion with parents is essential. Generally, parents would like to save the eye if it is safe to do so. Uncommonly parents may be keen for enucleation, e.g. a recent relative has died from chemotherapy for a non-retinoblastoma cancer and they would like to minimize the use of chemotherapy. Parents need to be aware of the risk of enucleation after the attempt to salvage, visual potential and the treatment burden in terms of number of examinations under anaesthesia.

In London, the success rate for salvage for Group D eyes is 63% with 55 months median follow-up and no children receiving first line IAC

nor suffering metastases [59]. Children who undergo enucleation have three times fewer EUAs compared to those who have salvage treatment [60]. This is important information as parents are concerned about the risk of multiple anaesthetics on their children particularly neurodevelopment [61]. Unfortunately, even if enucleation was to take place and adverse histopathology identified with appropriate adjuvant chemotherapy, there is still a risk of metastases of up to 4% [13, 62].

### 19.10.2 Type of Treatment: Systemic vs Intra-Ophthalmic Arterial Chemotherapy

13% of Group D eyes [27] are associated with high risk features [63]. Interestingly vitreous seeding appears to be a good sign for the avoidance of high risk features in the 10 of 62 eyes exhibiting this feature. All patients were treated with systemic chemotherapy and none developed metastases. IAC may also be used to treat Group D eyes but metastases have been noted in 3% (3/103) [64]. None of the children who had metastases died.

Our approach is to advocate first line IAC for children with group D eyes and vitreous seeding and to use systemic chemotherapy for Group D eyes without vitreous seeding.

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## 19.11 The Role of Vision

With more eyes being saved, the retinoblastoma specialist must now also consider long-term visual acuity when choosing therapies and counselling families. It has been shown that up to 58% of eyes maintain vision of better than 6/12 (20/40)

[65, 66] when they are old enough to perform Snellen visual acuities. However, the reports relate to eyes independently and it is important to be aware that early support for visually impaired infants from any cause will provide life-long benefits [67]. As a result a delay in assessing vision in infants and sending to the appropriate visual rehabilitative service can have far reaching effects. Therefore, it is essential that vision is assessed in pre-verbal children using appropriate paediatric ophthalmological tests.

Visual potential is an important consideration in the discussion with the family regarding enucleation of an eye or attempts at salvage. With particular relevance for Group D eyes, half had better vision than 6/60 (20/200) and 7 of 32 (22%) had better than 6/12 (20/40) vision [68].

It is also relevant for new treatments. Rather than wait until young children being treated are old enough to perform tests suited for adults, it is important to identify complications early and address the causes. This means the assessment of pre-verbal children by appropriate tests and the use of visual evoked potentials. Retinoblastoma units were initially tentative in their use of IAC due to complications including choroidal ischaemia and visual loss [47]. Vision in previously seeing eyes was initially lost in 42% of patients [69] which was thought to be due to the learning curve for interventional neuro-radiologists. However, Reddy et al. [70] showed that patients with similar catheterization complications yet a reduced dose of melphalan did not lose vision.

### 19.11.1 Patient Centred Approach

The vast majority of patients are under 5 years of age and therefore a patient centred approach needs to consider that these are children not

adults. Until recently there was little consideration of the non-medical concerns of children with retinoblastoma. However, there is now a desire to address psychological issues, particularly regarding the parents [71] and make the multiple EUAs that they have to endure as painless as possible. Families benefit from the presence of a patient support group representative (e.g. The Childhood Eye Cancer Trust in the UK) at diagnosis and subsequent visits to address non-medical concerns but also to raise questions that they feel they cannot ask the health care professionals. As a result, it is important for the psychologist and patient support group representative to be part of the multi-disciplinary meeting so that psychosocial concerns can be addressed. This integrated team approach can optimize patient care.

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## 19.12 Conclusion

Over the last 60 years, the management of retinoblastoma has been revolutionized with the advent of novel therapeutic modalities, diagnostic imaging, improved chemotherapeutic agents and approach to children. The gradual shift from EBRT to systemic chemotherapy has improved survival and also helped with greater rates of eye salvage. The survival rate of retinoblastoma in high resource countries was 90% in 1997 and that rate is now over 95% in 2017. The adaptation of intra-ocular artery chemotherapy and intra-vitreous chemotherapy has also improved eye salvage rates and the retention of vision.

Significant challenges remain however. Retinoblastoma in low-income countries is associated with low patient survival of approximately 30–40%. This is a statistic that needs to be improved. The creation of toolkits (Fig. 19.2) and international collaborations can and will improve survival.

## A RESOURCE MANUAL

FOR THE

MANAGEMENT

OF

RETINOBLASTOMA

IN

LOW & MIDDLE  
RESOURCE SETTINGS

UPDATED SEPTEMBER 2017

## RETINOBLASTOMA NETWORK, ICEH

This Resource manual is a product of the work of the Retinoblastoma Network, part of the Commonwealth Eye Health Consortium at the International Centre for Eye Health, LSHTM, London.

The Retinoblastoma Network currently consists of a partnership of many individuals and institutions from a number of African, Asian and European countries involved in improving the management of Retinoblastoma with an emphasis on low and middle income countries.

**Fig. 19.2** The development of a toolkit to assist in the development of a Retinoblastoma Service

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# Counselling Parents of Retinoblastoma Patients

# 20

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Retinoblastoma is the most common primary intraocular malignancy encountered in paediatric age group, affecting 1 in 15,000–18,000 live births [1–4]. It is a heritable life as well as vision threatening disease. However, it is also believed to be perhaps the ‘most curable paediatric cancer’ [5]. Worldwide, survival parallels economic development as retinoblastoma survival is approximately 30% in Africa, 60% in Asia, 80% in Latin American, and 95–97% in Europe and North America [6]. The goals of management of retinoblastoma include: life salvage, globe and vision salvage as primary, secondary and tertiary preference respectively. The management modalities for retinoblastoma have evolved from enucleation and external beam radiation to more conservative systemic, focal and local methods aiming to achieve higher rates of globe as well as functional vision salvage over the last couple of decades.

Being a predominantly paediatric cancer, the subject of patient counselling are usually the parents of the affected child, except for the rare adult onset disease. The elements of informed consent include: clinical diagnosis, grouping and staging, treatment modalities, prognostication, cost factor, surveillance and need for long term follow

up, treatment of metastatic disease, genetic counselling and screening of present as well as future children.

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## 20.1 Diagnosis of Retinoblastoma

Retinoblastoma is one of the few malignancies which are diagnosed mainly on clinical evaluation as opposed to standard histopathological evidence, which is needed for most other malignancies. In fact, an interventional diagnostic procedure such as pars plana vitrectomy or fine needle aspiration biopsy are contraindicated in a child with suspected retinoblastoma. The clinical diagnosis is made after a detailed anterior segment and fundus examination under anaesthesia, ultrasound B scan, ultrasound biomicroscopy and neuroimaging (computed tomography [CT] and/or magnetic resonance imaging [MRI]) as and when needed. In case of dilemmas or uncertainty regarding diagnosis; it is highly recommended to seek a second expert opinion on the case before proceeding with intraocular biopsy.

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## 20.2 Grouping and Staging

The grouping system is for tumor that is limited to the eye where globe salvage is the end point, whereas staging system predicts overall survival in a patient. The international classifi-

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cation of intraocular retinoblastoma gave a logical flow of tumor grading that correlates with the outcome of newer treatment modalities [7] (Table 20.1). The recent American Joint Committee on Cancer (AJCC eighth Ed) TNMH (tumor, node, metastasis and heredi-

tary trait) staging is comprehensive and includes clinical, histopathological as well as hereditary aspect of the tumor. It is also the first evidence-based-system for predicting overall prognosis of both eye(s) and patients [8] (Table 20.2).

**Table 20.1** International Classification of Retinoblastoma (ICRB) [7]

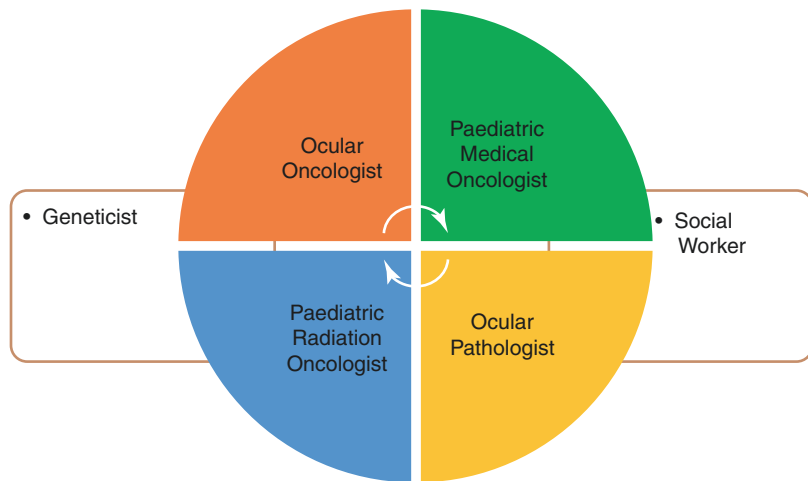
Groups	Description
<i>Group A</i> Small tumors	Retinoblastoma <3 mm in size in basal dimensions or thickness
<i>Group B</i> Larger tumors	<ul style="list-style-type: none"> <li>• Retinoblastoma &gt;3 mm in basal dimensions or thickness</li> <li>• Macular location (&lt;3 mm to foveola)</li> <li>• Juxtapapillary location (&lt;1.5 mm to disc)</li> <li>• Clear subretinal fluid &lt;3 mm from margin</li> </ul>
<i>Group C</i> Focal seeds	<ul style="list-style-type: none"> <li>• C1 subretinal seeds &lt;3 mm from retinoblastoma</li> <li>• C2 vitreous seeds &lt;3 mm from retinoblastoma</li> <li>• C3 both subretinal and vitreous seeds &lt;3 mm from retinoblastoma</li> </ul>
<i>Group D</i> Diffuse seeds	<ul style="list-style-type: none"> <li>• D1 subretinal seeds &gt;3 mm from retinoblastoma</li> <li>• D2 vitreous seeds &gt;3 mm from retinoblastoma</li> <li>• D3 both subretinal and vitreous seeds &gt;3 mm from retinoblastoma</li> </ul>
<i>Group E</i> Extensive retinoblastoma	<ul style="list-style-type: none"> <li>• Occupying &gt;50% globe or</li> <li>• Neovascular glaucoma</li> <li>• Opaque media from hemorrhage in anterior chamber, vitreous, or subretinal space</li> <li>• Invasion of post laminar optic nerve, choroid (&gt;2 mm), sclera, orbit, anterior chamber</li> </ul>

**Table 20.2** TNMH Staging as per AJCC 8th Edition [8]

Stage	Description
cTX	Unknown evidence of intraocular tumor
cT0	No evidence of intraocular tumor
cT1	Intraretinal tumor(s) with subretinal fluid <5 mm from the tumor base.
cT1a	No vitreous or subretinal seeding
cT1b	Tumors ≤3 mm in size and >1.5 mm away from the optic disc and fovea Tumors >3 mm in size and <1.5 mm away from the optic disc and fovea
cT2	Tumors with retinal detachment/subretinal seeding/vitreous seeding
cT2a	Subretinal fluid >5 mm from the tumor base
cT2b	Tumors with vitreous seeding and/or subretinal seeding
cT3	Advanced intraocular tumor(s)
cT3a	Phthisis or prephthisis bulbi
cT3b	Tumor invasion of choroid, pars plana, ciliary body, lens, zonules, iris, or anterior chamber
cT3c	Raised intraocular pressure with neovascularization and/or buphthalmos
cT3d	HypHEMA and/or massive vitreous haemorrhage
cT3e	Aseptic orbital cellulitis
cT4	Extraocular tumor(s) involving orbit, including optic nerve
HX	Unknown or insufficient evidence of <i>RB1</i> constitutional mutation
H0	Normal <i>RB1</i> alleles in blood
H0*	Normal <i>RB1</i> in blood with <1% residual risk mosaicism
H1	Bilateral retinoblastoma, trilateral retinoblastoma, family history of retinoblastoma, or molecular definition of constitutional <i>RB1</i> gene mutation
pTX	Unknown evidence of intraocular tumor
pT0	No evidence of intraocular tumor

**Table 20.2** (continued)

Stage	Description
pT1	Intraocular tumor(s) without any local invasion, focal choroidal invasion, or pre- or intralaminar involvement of the optic nerve head
pT2	Intraocular tumor(s) with local invasion
pT2a	Concomitant focal choroidal invasion and pre- or intralaminar involvement of the optic nerve head
pT2b	Tumor invasion of stroma of iris and/or trabecular meshwork and/or Schlemm canal
pT3	Intraocular tumor(s) with significant local invasion
pT3a	Massive choroidal invasion (>3 mm in largest diameter, or multiple foci of focal choroidal involvement totaling >3 mm, or any full-thickness choroidal involvement)
pT3b	Retrolaminar invasion of the optic nerve head, not involving the transected end of the optic nerve
pT3c	Any partial-thickness involvement of the sclera within the inner two thirds
pT3d	Full-thickness invasion into the outer third of the sclera and/or invasion into or around emissary channels
pT4	Evidence of extraocular tumor: tumor at the transected end of the optic nerve, tumor in the meningeal spaces around the optic nerve, full-thickness invasion of the sclera with invasion of the episclera, adjacent adipose tissue, extraocular muscle, bone, conjunctiva, or eyelids

**Fig. 20.1** Multi-disciplinary team efforts in management of retinoblastoma

### 20.3 Explaining the Diagnosis to the Parents

After reaching to the clinical diagnosis, grouping and staging of retinoblastoma the parents of the child need to be explained about the same. In my experience, a sit-down with the parents with results of imaging modalities e.g. RetCam and ultrasound images helps in their understanding of the pathology. They are explained about the normal anatomy of the eyeball (sclera, choroid, retina, lens, fovea and optic nerve) and its relation to the tumors location, size, number and their relation to those structures. In addition, the presence of retinal detachment, extra-ocular extension, optic nerve and frank orbital involvement, intra-cranial extension or presence of trilateral disease are discussed. The need for initial staging and systemic metastatic survey is also explained.

### 20.4 Choice of Treatment

As mentioned at the start of this chapter, the primary goal of retinoblastoma management is to save child's life followed by salvage of the eye and optimization of visual function. There are multiple treatment options available and the appropriate line of management depend upon the laterality, extent and systemic status of the child in question. This requires a multidisciplinary team approach which includes ocular oncologist, paediatric medical oncologist, radiation oncologist, geneticist and social worker to facilitate the optimized care (Fig. 20.1). It needs to be emphasized on the parents that mortality related to retinoblastoma occurs when the disease escapes the confines of the eyeball; the delayed diagnosis and treatment and excessive focus on saving a blind eye harbouring advanced form of the disease may

put the child at risk for extraocular extension, metastasis and death [9].

The modalities available are: (a) Focal—cryotherapy, laser photocoagulation, transpupillary thermotherapy, transscleral thermotherapy, intravitreal chemotherapy and plaque brachytherapy; (b) Local—external beam radiotherapy and enucleation and (c) Systemic—intravenous and intra-arterial chemotherapy.

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## 20.5 Primary Enucleation

Primary enucleation is considered definitive treatment for advanced unilateral retinoblastoma (TNMH cT3 [8] and ICRB group E [7]). It enables histopathological analysis and allows the child to return to normal life [10]. Enucleation is recommended for when the optic nerve is not visible and in presence of total retinal detachment (TNMH [8] cT2a, and ICRB [7] Group D eyes) or extensive vitreous seeds (TNMH [8] cT2b, and ICRB [7] Group D eyes) that otherwise would require invasive treatments over several years and are costly to the family to save the eye with poor vision [9]. Enucleation is strongly recommended when orbital or optic nerve involvement is suspected, when there is anterior segment invasion, neovascular glaucoma, intraocular haemorrhage, orbital cellulitis and no potential for useful vision [9].

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## 20.6 Chemotherapy with Adjuvant Focal Treatment

Efforts to save the eye require a consideration of staging, visual potential and considerable discussion with the child's family. Small tumors (TNMH cT1a [8] and ICRB [7] Group A) can be managed with focal modalities (thermotherapy and/or cryotherapy) alone. Larger tumors or small ones those near to optic nerve and/or fovea (TNMH cT1b, cT2 [8] and ICRB [7] Group B, C, D) need consolidating chemotherapy along with adjuvant focal modalities. Chemotherapy can be systemic or intra-arterial.

Systemic chemotherapy (most commonly intravenous carboplatin, etoposide, and vincristine) is given over 6 cycles, every

3–4 weeks depending on the extent of disease. Chemotherapy reduces tumor size, and focal therapy on repeated follow-up EUAs destroys remnants of tumor [9].

Intra-arterial chemotherapy (IAC) with topotecan, melphalan and carboplatin has emerged as an important option for unilateral cT1b and cT2 (ICRB Gr C/D) eyes. Intra-arterial chemotherapy has become the standard of care in developed nations for these eyes. In developing countries like India, IAC is utilised to improve eye salvage for refractory and selected cases. Although it reportedly has high rates of globe salvage (66% of all eyes and 57% with advanced disease), the data for long term outcomes as visual acuity, extraocular disease, delayed metastasis and death is still limited. The literature is limited by different staging schemes, absence of defined long-term protocols and lack of follow up data [11].

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## 20.7 Management of Vitreous Seeds

Vitreous seeds are generally resistant to systemic and intra-arterial chemotherapy mainly due to the avascular nature of the vitreous. Intravitreal chemotherapy (melphalan and/or topotecan) is used to control vitreous seeds.

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## 20.8 Orbital Retinoblastoma

Orbital retinoblastoma poses a challenging situation. The protocol for management of orbital retinoblastoma includes: high dose neoadjuvant chemotherapy, surgery (enucleation or exenteration), external beam radiotherapy and adjuvant high dose chemotherapy. Orbital retinoblastoma has conventionally shown poor prognosis with mortality rates ranging from 25 to 100% [12].

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## 20.9 Management of Metastatic Disease

The possibility of metastatic disease increases when the disease is in advanced stage at the time of presentation e.g. orbital retinoblastoma, advanced intraocular retinoblastoma with clinical

high-risk factors. Initial metastatic survey with bone marrow and cerebrospinal fluid examination with/without positron emission tomography [PET/CT] rules out metastasis at presentation in above cases. Regular timely metastatic survey on treatment completion helps in early diagnosis of systemic metastasis. The prognosis for cases detected with systemic metastasis remains poor. The recommended management includes multidisciplinary team efforts to provide high dose chemotherapy, intrathecal chemotherapy and radiotherapy.

## 20.10 Genetic Testing and Counselling

Genetic testing and counselling are essential in management of retinoblastoma. This involves a laboratory with high sensitivity to detect *RBI* mutations [13]. *RBI* genetic testing of the proband may identify heritability, and at-risk family members may then undergo testing for the specific mutation. Children of a proband with bilateral retinoblastoma have a 50% risk of carrying the *RBI* mutation, whereas children of an untested unilateral proband have a 7.5% risk ( $50\% \times 15\%$ ), which can be accurately updated to either 100% or 0% by genetic testing of the proband and then the fetus if the proband is found to carry an *RBI* mutation [14]. The frequency of follow-up for children at risk of retinoblastoma is informed by the results of genetic testing and counselling [9].

## 20.11 Prenatal Screening

Children with a family history of retinoblastoma who carry *RBI* mutation are at risk of tumors at birth. Chorionic villus sampling (between 11–14 weeks' gestation) or amniocentesis (after 16 weeks' gestation) may be considered so parents have choices of how to manage the pregnancy if the fetus has the *RBI* mutation. Amniocentesis can also be offered at 33 weeks' gestation when the risks of miscarriage are lower and manageable [9, 15]. Examination for tumors as soon as possible after birth, followed by

repeated EUA for the first few years of life, facilitates early detection of tumors that can be managed with less invasive interventions [16]. Infants who had prenatal *RBI* mutation detection followed by early full-term delivery (36–38 weeks' gestation) and coordinated retinal examination had smaller tumors at birth and improved visual outcomes [9].

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**Conflict of Interest** None.

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# Counseling for Patients with Choroidal Melanoma

# 21

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Choroidal melanoma is the most common primary intraocular malignancy in adults. The goals of primary treatment are: destruction or removal of the primary tumour to prevent metastatic spread, preservation of vision and retention of the affected eye. These goals should be tempered by the eye cancer specialist's experiential knowledge of what will be required to meet them. For example, the amount of patient discomfort involved and the side-effects of treatment as well as the long-term prognosis for vision and eye retention needs to be considered.

The main elements of informed consent involve: presenting the clinical and/or pathology elements that led to the diagnosis. Information concerning ocular, vision and prognosis for life. The need for subsequent systemic surveillance, the patient's relative risk and currently available treatments for metastatic disease. That said, this initial consultation should be comprehensive. It is the doctor's duty to inform the patient of the known risks and benefits of treatment, only limited by the patient ability to understand and doc-

tor's knowledge. Together, the patient and the doctor should come to an understanding that will have a durable impact on the patient's quality of life.

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## 21.1 Diagnosis of Choroidal Melanoma

With the advent of multimodal imaging techniques, the clinical diagnosis of choroidal melanoma has reached a high degree of accuracy [1]. Apart from clinical examination, office-based techniques that are useful are fundus photography, fluorescein angiography (FFA), autofluorescent imaging, optical coherence tomography (OCT), transillumination and ultrasound imaging. Orbital radiographic imaging (magnetic resonance imaging [MRI], computed tomography [CT] or positron emission tomography [PET/CT]) is needed in selected cases [1]. Intraocular tumor biopsy is indicated for atypical tumors, metastatic tumors, when the patient requires a pathology diagnosis, and for genetic/molecular analysis [2].

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## 21.2 Explaining the Diagnosis to the Patient and Family

Patients are referred to the ocular oncologist by primary care providers, retina specialist general ophthalmologist or optometrists. They may be

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given a diagnosis of choroidal melanoma, suspicious nevus or non-specific intraocular tumor. The relevant medical, surgical and family history are required before proceeding with clinical examination. It has been our experience that more than 99% of tumors can be clinically diagnosed using the aforementioned in-office tools. It is a routine practice at The New York Eye Cancer Center to have the patient and their accompanying relatives review their results of imaging (with the eye cancer specialist) on large (55–85") high-definition (4K) screens. The intent of this display is to help the patient understand the diagnostic characteristics of their tumour, its relationship to critical ocular structures. They are educated about basic eye anatomy (sclera, choroid, retina, lens, fovea and optic nerve) and its relation to the tumors location, size, its proximity to those structures. In addition, the presence of retinal detachment, subretinal fluid, intra-tumoral haemorrhage and possibility of extraocular extension are discussed. Therapeutic challenges to local control and long-term vision retention are made clear prior to treatment. Each tumor is staged using the American Joint Commission on Cancer (eighth Edition, AJCC), TNM (tumor, node, metastasis) system. The indications and need for initial staging and follow up systemic surveys are discussed [3].

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## 21.3 Choice of Treatment

Modalities of treatment for choroidal melanoma are varied and dependent on tumour specific factors, specialist preference and local availability of therapeutic choices. These include: observation, plaque brachytherapy (iodine-125 [<sup>125</sup>I], palladium-103 [<sup>103</sup>Pd] strontium-90 [90Sr] and ruthenium-106 [<sup>106</sup>Ru]), proton beam radiotherapy, stereotactic photon beam irradiation, local tumor resection, phototherapy (transpupillary thermotherapy, photodynamic therapy), enucleation and exenteration [4]. Of these, the eye cancer specialist should present the most reasonable and available choices, while comparing and contrasting their likely side-effects. At The New York Eye Cancer Center, all patients are educated about the

risks and benefits of observation, radiation (plaque and proton beam), laser photocoagulation, chemotherapy, resection and/or enucleation. They are informed of the Collaborative Ocular Melanoma Study's (COMS) medium-sized tumor trial, which found no difference in survival between those patients treated with iodine-125 plaque or primary enucleation [5].

Radiation therapy is the most widely used eye and vision-sparing alternative to enucleation. The eye cancer specialist's choice of treatment also depends upon the size, location and extent of the tumour as well as patient's need, preference and circumstances (e.g. travel restrictions, financial constraints and availability of health care facilities etc.).

### 21.3.1 Small T1 Choroidal Melanoma

Unlike cutaneous melanoma, eye cancer specialists are more likely to use observation for change or growth prior to treatment of select, small choroidal melanomas. This is because many small melanomas are in close proximity to the macula, fovea and optic nerve where irradiation is likely to cause loss of vision. In these cases, rapid change, growth or advancing exudative retinal detachment indicates that the tumor itself will cause loss of vision, thus tipping the scales and balancing the known radiation-related risks. The documented tumor growth helps establish that the tumor is malignant and reassures the patient that the sight-threatening treatment is indicated.

Observation as initial treatment is particularly helpful for patients with small choroidal melanomas close to fovea, one-eyed patients and systemically ill patients who cannot tolerate treatment. Serial observation with comparative photography, OCT and angiography at the time of each visit can be used to detect subtle changes in tumor features essential in such cases. Clearly, documented growth of suspicious nevi may offer clinical evidence that it is indeed a small melanoma; while observation may allow additional months or years of useful functional vision. However, several large evidence-based studies that have found statistically significant evidence

that largest tumor diameter is associated with increased risk of metastatic death [5–7]. This proves that observation of small malignant melanoma growth increases the patient’s potential risk of metastatic disease.

In addition, all patients [even with small choroidal melanoma] with small choroidal melanoma should be made aware that there exist effective eye and vision sparing treatments and that anti-VEGF medications play a vital role in suppressing radiation retinopathy and optic neuropathy [8]. For example, in 2013 Semenova and Finger analysed 72 small melanomas treated with palladium-103 plaque brachytherapy with a mean follow up duration of 54 months. They reported almost half of the eyes developed radiation retinopathy while almost 20% had radiation optic neuropathy. But, with the advent of intravitreal anti-VEGF therapy, only 19% (4 of 21) affected patients lost >2 lines of visual acuity chart. Therefore, patients presenting with small melanoma should be made aware of the risks and potential benefits of observation and risks of conservative treatment prior to making a clinical decision. Specifically, if patient chooses to observe their small melanoma, he or she should be made aware of the potential risk of metastasis.

## 21.3.2 Medium/T2 Sized Melanoma

### 21.3.2.1 Plaque Radiotherapy

Socioeconomics largely drive the availability and selection of radionuclides used in treatment of intraocular tumours. For example, higher-cost, custom-assembled iodine-125 [<sup>125</sup>I] or palladium-103 [<sup>103</sup>Pd] episcleral plaques are widely used in the United States; while lower cost, factory-made ruthenium-106 [<sup>106</sup>Ru] plaques are typically used in Europe and India. Low energy seeded, medical physicist-dependent plaque construction and dosimetry depends upon tumor data i.e. size, location and distances from the optic disc as well as fovea [9]. Ruthenium-106 plaque energy is typically based on a factory look-up table, where only plaque size and duration of treatment can be modulated. These sources also differ in intraocular and extraocular dose-distribution and their different rates of side

effects. Seeded plaques can be constructed to treat almost any size (height or width) melanoma, whereas <sup>106</sup>Ru beta-irradiation is tumor-height limited in that it can only reach 5–6 mm into the eye.

Both types of plaque are surgically sutured onto the sclera overlying the tumor, left in place for 5–7 days and then surgically removed. Due to a high-energy component, patients with <sup>106</sup>Ru plaques must stay in the hospital. In contrast, at The New York Eye Cancer Center, low-energy <sup>125</sup>I or <sup>103</sup>Pd plaque patients can go home with instructions for having family members at least 3 feet away during this interval (according to their specific national radiation safety guidelines). Informed consent for plaque insertion surgery should include a detailed discussion: of the relative rates of local tumor control, secondary enucleation, ophthalmic complications and metastatic disease. (Table 21.1) This should include but not be limited to radiation retinopathy, optic neuropathy, cataract and glaucoma; including the possibility and relative efficacy of future laser photocoagulation and/or intravitreal anti-VEGF injections [8].

### 21.3.2.2 Proton Beam

Proton beam therapy is available at select institutions around the world but is unavailable in wide-parts of India. It has been shown to be effective for local tumor control, globe and functional vision preservation [18]. However, the beam typically deposits a large anterior entrance dose resulting in eye lash loss, dry eye, neovascular glaucoma, and cataract (not as commonly seen with plaques).

Further, unlike plaques which are sewn to, and thus move along with, the eye for the duration of treatment; protons are like a tube of radiation that is beamed into the eye. As the eye moves, the dose to the tumor and normal ocular structures suffer more unintended radiation [19].

## 21.3.3 Choroidal Melanomas that Touch or Surround the Optic Disc

Failure of choroidal melanoma local control has been associated with a 6.3 increased hazard of dying from metastatic disease. In order to maxi-



**Table 21.1** Radiotherapy for choroidal melanoma

Authors	Radiation	Study group size	Mean dose (Gy)	Mean follow-up (months)	Recurrence (%)	Secondary enucleation (%)	Neovascular glaucoma (%)	Metastasis (%)	Visual acuity
COMS [5, 10]	I-125	657	>85 Gy to 5 mm	60	10	13	N/A	10 (5 year) 18 (10 year)	57% >20/200 at 3 year
Packer et al. [11]	I-125	64	91	64	7.8	17.2	10.9	15.6	45% better or 20/100 at 5.3 year
Fontanesi et al. [12]	I-125	144	79	46	2.3	9.7	5.5	5.5	41% better or 20/200 at 3.9 year
Lommatzsch [13]	Ru-106	205	100	80	15	26	1.3	20	N/A
Char et al. [14]	Helium	218	70	110	5	22	35	18.6 (5 year) 23.6 (10 year)	33% better or 20/200 at 10 year
Brovkina and Zarubei [15]	Proton	63	100–125	34	19	25	N/A	6	N/A
Gragoudas et al. [16]	Proton	128	70	64	3	6	N/A	20.5	42% better than 20/200 at 5.3 year
Finger et al. [17]	Pd-103	400	73	51	3	3.5	2.5	7.3 (5 year) 13.4 (10 year)	79% better or 20/200 at 5 year 69% better or 20/200 at 10 year

This table is based on data published in article—Finger PT, Chin KJ, Duvall G, et al. Palladium-103 ophthalmic plaque radiation therapy for choroidal melanoma: 400 treated patients. *Ophthalmology* 2009;116:790–796, 796.e1 (Reprint permission)

mize local, “normal plaque position” was defined as including the tumor and 2–3 mm of normal appearing tissue within the irradiated zone [4]. This is particularly difficult in treatment of choroidal melanomas that are near, touch or surround optic disc. This is because the retrobulbar optic nerve sheath is 5 mm wide and circumferentially 1.5 mm wider than the intraocular disc. In an effort to “normalize” ophthalmic plaque placement for these tumors, Finger developed slotted plaques with a 8-mm wide and variably deep slots designed to incorporate the 5–6 mm orbital optic nerve in and this overcame the obstruction [20]. In contrast, typical 4-mm wide notched plaques are not only incapable of overcoming the optic nerve sheath diameter, it offsets the plaque and thus worsen geographic miss (plaque misapplication). Other published options for treating peripapillary tumors include: stereotactic radiotherapy, proton beam irradiation or plaque brachytherapy with adjunctive transpupillary thermotherapy [14, 21–23].

### 21.3.4 Large T3 and T4 Choroidal Melanoma

Large tumor size is not a contraindication for use of eye-sparing radiation therapy (Box 21.1) [4]. According to the 2014 American Brachytherapy Society (ABS) Ophthalmic Oncology Task Force Guidelines, suggested relative contraindications include: extraocular extension that would alter

plaque position, basal diameters that extend the limits of brachytherapy, blind painful eyes or those with no perception of light for vision [4]. That said, enucleation is typically reserved for the melanomas >20 mm in diameter, >16 mm in thickness, suspected optic nerve invasion, significant extra scleral extension, multifocal recurrence and at the patient’s request [4].

### 21.3.5 Extraocular Tumor Extension

If the patient is diagnosed with extra-scleral extension of tumor at presentation; it is then classified into [24],

- (a) Minimal—microscopic or encapsulated
- (b) Moderate—localised unencapsulated nodule, or
- (c) Massive—filling most part of the orbit

The minimal extension may be included within the irradiation zone or beneath the plaque; moderate and massive extension can be removed by enucleation with local orbital resection followed by external beam (typically 50Gy) or implant high-dose-rate radiation therapy (35Gy). Irradiation is added typically to address presumed residual subclinical disease [24]. For unresectable massive extension, orbital exenteration with post-operative radiotherapy is advised.

#### Box 21.1 American Brachytherapy Society (ABS) Guidelines for Ophthalmic Plaque Brachytherapy: Indications for Eye and Vision Conserving Plaque Radiation Therapy [4]

- Clinical diagnosis of uveal melanoma is adequate for treatment
- Histopathological verification is not required
- Small melanomas can be treated at the eye cancer specialist’s discretion. AJCC T1, T2, T3 and T4a-d uveal melanoma patients can be treated after counseling about likely vision, eye retention and local tumor outcomes
- Patients with peripapillary and sub-foveal and those with exudative retinal detachments typically have poorer resultant vision and local control outcomes. They should be accordingly counselled.
- Tumors with T4e extraocular extension,<sup>a</sup> basal diameter that exceeds the limits of brachytherapy, blind painful eyes, and those with no light perception vision are not suitable for plaque therapy

<sup>a</sup><sup>106</sup>Ru and <sup>90</sup>Sr plaques are less accommodating for nodular extra-scleral extension

This table is based on—The American Brachytherapy Society - Ophthalmic Oncology Task Force. The American Brachytherapy Society consensus guidelines for plaque brachytherapy of uveal melanoma and retinoblastoma. *Brachytherapy*. 2014; 13: 1–14

### 21.4 Metastases

At diagnosis, the patient and family can be informed that metastatic disease is discovered in less than 1% of T1 and T2-sized tumors and up to 4% of patients with T3 or T4-sized melanomas [25]. A history of weight loss, subcutaneous nodularity and/or abdominal pain at presentation should arouse suspicion and should be investigated thoroughly. Signs or symptoms of radicular or focal pain suggest possible osseous disease [26]. Multiple large and statistically significant studies have found that largest tumor diameter together with thickness can be used as a non invasive method to predict risk of metastasis [5, 7, 27] (Fig. 21.1).

liver is used to evaluate for systemic metastasis. However, in consideration that there exist multiple known sites of metastatic disease (e.g. liver, bone, skin, etc.) which can affect patient decisions related to undergoing ocular surgery and life planning, at The New York Eye Cancer Center initial systemic staging is performed utilizing a physical examination, a haematological survey and total body PET/CT scan. We have been informed that total body PET/CT scanning that reveals no evidence of metastasis provides psychologic relief to melanoma affected patients [24, 25]. However, they are counselled that even PET/CT scanning cannot reveal micro metastasis.

### 21.5 Systemic Surveys

There are no available international consensus guidelines for pre/post-treatment and surveillance techniques for early detection of metastatic uveal melanoma. However, the COMS found that periodic hematologic screening (e.g. LFT's), a chest x-ray and physical examination will only detect advanced disease [7]. Radiographic imaging will allow for earlier detection, enrolment in a clinical trial or life-extension through palliative therapies.

### 21.5.2 After Treatment

As liver is the most common presenting site of metastasis, it is common to examine patients one or two times a year with abdominal imaging (e.g. ultrasound, MRI imaging, and CT) [29]. In consideration of both preference and efficacy, abdominal MRI and CT are preferred over ultrasound. Author (PF) recommends follow up abdominal imaging (MRI or CT) every 6 months for 5 years and then annually for at least 5 additional years. (Fig. 21.2).

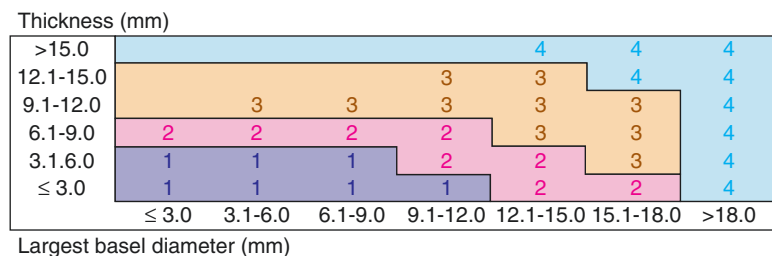
#### 21.5.1 Systemic Staging Before Treatment

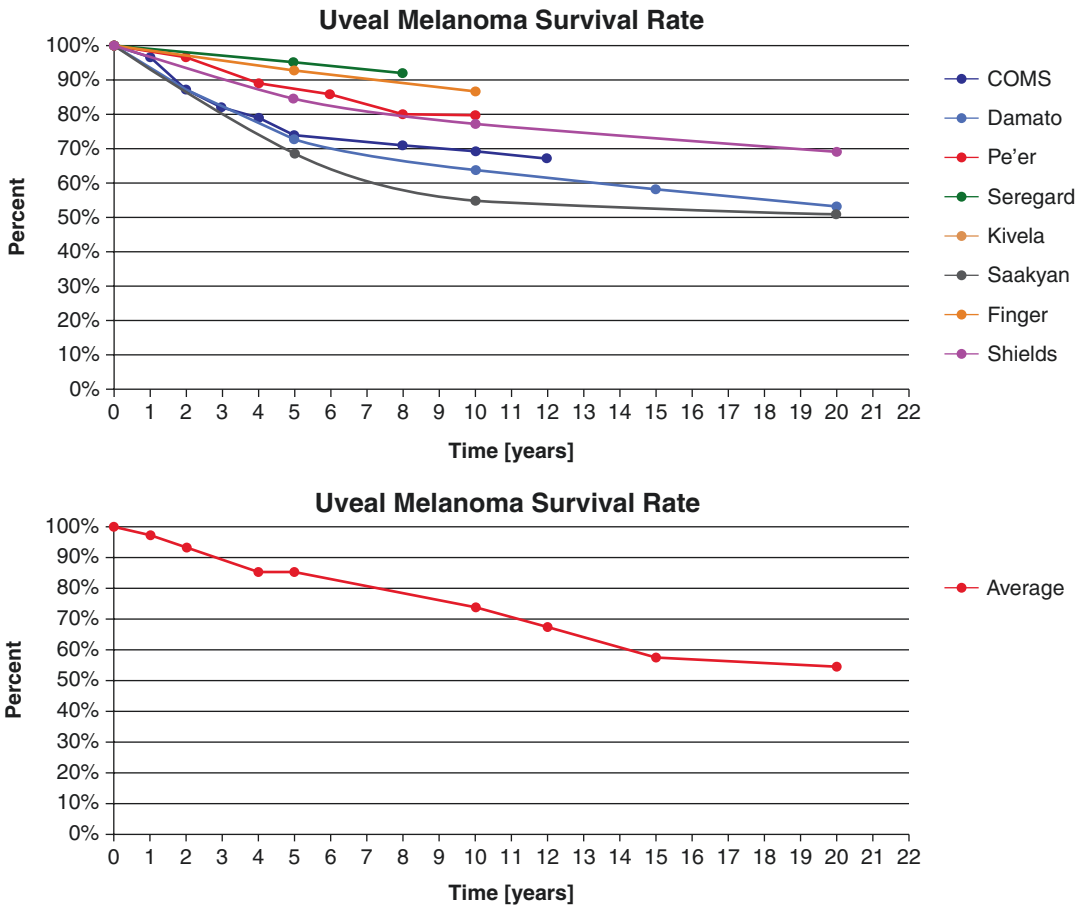
Liver imaging and chest radiography are widely recommended to exclude both hepatic metastasis and a non-ocular primary tumor metastatic to the uvea [25, 28]. Largely based on socio-economic and governmental restrictions, abdominal ultrasound imaging or radiographic imaging of the

#### 21.5.3 Management of Metastatic Disease

When the metastasis is limited to liver, local control or palliation of liver metastasis can be achieved with a range of techniques (e.g. hepatic resection, intra-arterial chemotherapy or radia-

**Fig. 21.1** Classification of ciliary body and choroid uveal melanoma based on thickness and diameter (AJCC eighth Edition) [3] (Reprint permission)





**Fig. 21.2** Metastatic death from uveal melanoma. COMS, Diener-West et al. [7]; Damato et al. [30]; Kaiserman et al. [31], Bergman et al. [32]; Kujala et al. [33]; Saakyan et al. [34]; Finger, et al. [17]; Shields et al. [35]. Table courtesy of Ekatrina Semenova, MD. The data used to make the graph was culled from published litera-

ture) [25] (Reprint permission—Finger PT. Intraocular Melanoma, Cancer: Principles and Practice of Oncology, tenth edition, DeVita, Jr. VT, Lawrence TS, Rosenberg SA, (eds.) Wolters Kluwer, Lippincott, Williams and Wilkins, Philadelphia, 2014, 1770-1779)

tion embolization and/or radiofrequency ablation) [26]. Systemic immunotherapy has provided few durable responses [31]. Until there is an effective treatment, our primary recommendation is for patients with metastatic disease to enroll in a clinical trial.

## 21.6 Prognostic Factors

As available, the following prognostic factors can be used to explain metastatic, disease related and overall survival rates.

### 21.6.1 Clinical Prognostic Factors

- *Tumor size*—Largest tumor diameter has been the most reproducible biomarker for choroidal melanoma metastasis [3-5]. It is both practical, non-invasive and useful in that tumor measurements are typically available at the time of diagnosis [26]. Utilizing the COMS data, Diener-West et al. performed a meta-analysis of 5-year mortality among enucleated patients, providing weighted estimates of 5-year mortality after enucleation: 16% for small tumors, 32% for medium-sized tumors,

and 53% for large tumors. This analysis also found older patient age was a significant risk factor for metastasis [7]. (Figure 21.1) The eighth edition American Joint Committee on Cancer (AJCC) and the Union International for Cancer Control (UICC) have recognized 2 registries collectively including over 10,000 patients which has shown tumor size can be used to stage risk for systemic metastasis [3].

- *Tumor location*—Ciliary body and ciliochoroidal melanomas carry a worse prognosis compared to melanomas confined only to choroid or iris [3]. Diffuse choroidal melanomas, low-lying tumors with indistinct margins are found difficult to treat (more likely to fail local control) and thus carry worse prognosis [26].
- *Extraocular extension*—The presence of extraocular extension is independently associated with higher metastatic risk [3].

### 21.6.2 Histopathologic, Genetic and Molecular Prognostic Factors

#### 21.6.2.1 Intraocular Tumor Biopsy

The role of intraocular tumor biopsy still remains controversial in the management of choroidal melanoma. On one hand it provides cytopathological confirmation of diagnosis, cytogenetical analysis for prognostication and research opportunities; on the other hand, there are risks of intraocular hemorrhage, glaucoma, epiretinal membrane formation, retinal detachment and periorbital melanoma seeding in orbit. However, any increased chances of systemic metastasis secondary to tumor seeding are yet unquantified by long-term observation.

#### 21.6.2.2 Methods of Tumor Biopsy

Fine needle aspiration biopsy (FNAB) is the most frequently used technique for biopsy of uveal melanoma. Excisional and incisional biopsy (in combination with vitrectomy) are also used in special circumstances at some centers [36]. The methods for performing intraocular tumor biopsy include: transcorneal (for anterior iris and iridociliary tumors), transscleral (for anterior as well as poste-

rior tumors) and transvitreal (with or without vitrectomy) [37]. Out of these, transcorneal and transscleral are easier methods as compared to transvitreal where help from vitreoretinal surgeon may be sought. The technical limitations for FNAB include insufficient cellularity that compromises diagnostic yield, intratumoral heterogeneity and variability in the techniques which can affect the prognostication. In biopsy of small tumors.

Pre-treatment tumor biopsy typically yields melanoma-specific prognostic information. Although, the facilities for histopathology, genetic and molecular analysis are limited in developing countries, factors are described as per current knowledge for the benefit of readers.

## 21.7 Prognostic Information

- *Cell Type*—Spindle cell melanomas have been shown to predict the longest and epithelioid cell melanomas the shortest survival times [3].
- *Chromosomal analysis*—Monosomy 3, especially if combined with a frequently coexisting gain in chromosome 8q, is independently associated with metastatic risk [3, 38–43].
- *Gene Expression Profiling*—GEP class 2 (high grade) or equivalent is independently associated with higher metastatic risk [44, 45]. With respect to survival, class 1A predicts the longest survival, class 1B an intermediate survival, and class 2 the shortest survival time [3].
- *Mitotic count*—The mitotic count is independent predictor of metastatic risk. Higher counts are associated with shorter survival [3, 46].
- *Tumor Vascular Matrix Loops and Networks*—The presence of certain types of extravascular matrix patterns is independently associated with risk of metastasis [42]. Absence of both loops and networks is associated with the longest survival and presence of loops forming networks is associated with the shortest survival time [3].
- *Microvascular Density*—The number of immunopositive elements is labeled with a

marker for vascular endothelial cells (e.g., CD34 epitope, CD31 epitope, factor VIII-related antigen) and counted from areas of densest vascularization (typical field area, 0.31 mm<sup>2</sup>). Higher counts are associated with shorter survival [3, 47].

- *Tumor-infiltrating Macrophages*—The higher number of tumor infiltrating macrophages are associated with shorter survival [3, 48, 49].

In sum, management of choroidal melanoma needs a multidisciplinary approach involving an ocular oncologist, medical oncologist and a radiation oncologist. There are a number of factors to be considered in deciding the appropriate treatment option for each case. Knowledge about evidence-based success, recurrence rates, associated metastasis risk and overall survival should be considered as well as the clinical factors and suitable treatment options. Informed consent and patient-centered decision making are essential to the management plan.

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## Correction to: Various Syndromes with Benign Intraocular Tumors

Vikas Khetan

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### Correction to: M. S. Palanivelu, *Various Syndromes with Benign Intraocular Tumors*, <https://doi.org/10.1007/978-981-15-0395-5>

Chapter 15 was inadvertently published with some minor errors, and the following changes has been updated in this version.

- **Under Section 15.2.4.1 in the last paragraph of page number 193, the sentence-** ‘Exophytic tumor appears as an orange-red lesion (Fig. 15.8). But the sessile and endophytic tumors...’ **has been replaced with** ‘Endophytic tumor appears as an orange-red lesion (Fig. 15.8). But the sessile and the exophytic tumors...’
- **In the figure legend 15.8, the sentence-** ‘Fundus photograph of juxtapapillary RCHs. Endophytic form (a) and endophytic form (b)’ **has been replaced with** ‘Fundus photograph of juxtapapillary RCHs. Endophytic form (a) and exophytic form (b)’.

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The updated online version of this chapter can be found at [https://doi.org/10.1007/978-981-15-0395-5\\_15](https://doi.org/10.1007/978-981-15-0395-5_15)