# Chapter 7 Contribution of Atmospheric Reactive Nitrogen to Ozone Pollution in China



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**Abstract** This chapter illustrates mechanism of ozone  $(O_3)$  formation and summarizes the relationship between spatial-temporal patterns of  $NO<sub>x</sub>$  emission and groundlevel  $O_3$  in China. High  $O_3$  levels are observed in major Chinese metropolitan areas such as the Yangtze River Delta, Jing-Jin-Ji, and Pearl River region. Ambient  $O_3$ concentrations in almost all monitor sites were above the threshold recommended for protecting plant growth. Thus, current  $O<sub>3</sub>$  levels have threatened the health and function of ecosystems. Impacts of ground-level  $O_3$  on plants, including crops and tree species, are summarized based on the experimental results from open top chambers (OTCs) and free air ozone concentration elevation  $(O_3$ -FACE) facilities. Some recommendations for reduction of ground-level  $O_3$  are presented in order to reduce its negative effects as concluding remarks of this chapter.

## 7.1 Introduction

Air pollution is a serious ecological issue in China's economically well-developed areas such as Yangtze River Delta, Beijing-Tianjin-Hebei, and Pearl River Delta regions (Wang et al. [2017\)](#page-19-0). Air pollution in these areas not only deteriorates regional and urban air quality but also severely affects health of people and ecosystems (Zhang et al.  $2016$ ). Among six air pollutants (e.g.,  $SO_2$ ,  $PM_{2.5}$ ,  $PM_{10}$ , NO2, CO, and O3) officially tracked by Chinese air quality monitoring platform [\(http://www.aqistudy.cn/](http://www.aqistudy.cn/)), ground-level  $O_3$  is the most phytotoxic air because it

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causes severe plant damage and its high regional localized concentration (Feng et al. [2014,](#page-17-0) [2015a\)](#page-17-1). Although in some areas  $O<sub>3</sub>$  level was decreased, especially in the USA and Europe (Cooper et al. [2015](#page-17-2)), ozone monitoring in China showed an increasing trend since the 1990s (Xu et al. [2008](#page-19-2), [2016a](#page-19-3); Wang et al. [2009](#page-19-4)), which became especially alarming in the last 5 years (2013–2016) (Lu et al. [2018](#page-18-0); Zeng et al. [2019](#page-19-5)). Ozone pollution observed during summers in China is especially concerned. Particularly high  $O_3$  levels were observed in multiple cities during summer 2017: 90th percentile of a daily maximum 8-h average (MDA8)  $O_3$  level in 30 out of 74 major cities exceeded 200  $\mu$ g/m<sup>3</sup>. Air with such high O<sub>3</sub> concentrations corresponds to grade II according to the national air quality standards for residential areas (Lu et al.  $2018$ ). Ground-level  $O_3$  in China strongly correlated with emissions of NO<sub>x</sub>, which is a main contributor to  $O_3$  formation (Wang et al. [2017\)](#page-19-0). Literature results demonstrate 10% yield decrease for major food crops (such as potato, rice, wheat, soybeans, etc.) at  $O_3$  concentrations in air equal to  $\sim$ 40 ppb in comparison with crop yields grown in  $O_3$ -free air (Feng and Kobayashi [2009\)](#page-17-3). Survey in Beijing and its surroundings revealed 28 plant species or cultivars with typical  $O_3$  symptoms (Feng et al. [2014\)](#page-17-0). Thus, food security in China is being threatened or has already been severely affected by current high ground-level  $O<sub>3</sub>$ concentration. Without special measures and precautions,  $O_3$  levels will continue to rise.

This chapter describes mechanism of ground-level  $O<sub>3</sub>$  formation and summarizes recent development based on the analysis and predictions of spatial-temporal distribution patterns of NO<sub>x</sub> emission in China. It also discusses how ground-level  $O_3$ affects the well-being of plants. Recommendations on adverse impact of groundlevel  $O_3$  pollution as well as way for its reduction and prevention are also illustrated.

#### 7.2 Mechanism of  $O<sub>3</sub>$  Formation

Ozone forms in the atmosphere as a result of visible light-assisted reaction of various nitrogen oxides (formed in a  $NO_x \leftrightarrow NO_2 + NO$  reaction) with volatile organic compounds (VOCs), methane  $(CH_4)$  and carbon monoxide (CO). Specifically, ozone formation is a combination reaction between molecular oxygen  $(O_2)$  and atomic oxygen  $(O(^{3}P))$  (see Eq. [7.1](#page-2-0)). Atomic oxygen is obtained by  $O_2$  decomposition upon its exposure to short-wavelength ultraviolet (UV) radiation with wavelengths below 240 nm. These reactions are responsible for producing and maintaining protective  $O_3$  layer (Chapman [1930](#page-17-4)).

Troposphere does not have so much UV as other atmospheric layers; thus,  $NO<sub>2</sub>$ photolytic reaction occurring at wavelengths below 424 nm (as shown in Eq. [7.2](#page-2-1)) is a primary source of atomic  $O(^3P)$ , which becomes the major  $O_3$ -producing reaction.

<span id="page-2-0"></span>In normal and well-balanced environment, freshly formed  $O<sub>3</sub>$  immediately reacts with NO to regenerate  $NO<sub>2</sub>$  (see Eq. [7.3\)](#page-2-2). Thus, the whole reaction cycle shown by Eqs. [7.1,](#page-2-0) [7.2](#page-2-1), and [7.3](#page-2-2) yields no by- and/or final products when no other competing chemical species are involved:

$$
O(^3P) + O_2 + M \rightarrow O_3 + M,
$$
 (7.1)

$$
NO2 + hv \rightarrow NO + O(^{3}P), \qquad (7.2)
$$

$$
O_3 + NO \rightarrow NO_2 + O_2. \tag{7.3}
$$

<span id="page-2-2"></span><span id="page-2-1"></span>Nevertheless, molecules like  $HO<sub>2</sub>$  and  $RO<sub>2</sub>$  with very high oxidative activity are also present in the troposphere. These radicals can effectively transform  $NO$  to  $NO<sub>2</sub>$ (see Eqs. [7.4](#page-3-0) and [7.5\)](#page-3-1). Without enough NO present in the troposphere, not all freshly formed  $O_3$  can react to decompose to  $O_2$ . Thus, ozone starts to accumulate in the troposphere. Reactions shown in Eqs. [7.2,](#page-2-1) [7.4](#page-3-0), and [7.5](#page-3-1) demonstrate "NO<sub>x</sub> cycle," which produces  $O_3$  without  $NO_x$  consumption (see Fig. [7.1](#page-2-3)).

Another significant chemical cycle affecting  $O_3$  formation is "RO<sub>x</sub>  $(RO_x = OH + HO_2 + RO_2)$  radical cycle." It constantly supplies  $HO_2$  and  $RO_2$ radicals that easily oxidize NO to NO<sub>2</sub>. This cycle typically begins with OH-induced degradation of VOCs (see Eq. [7.6\)](#page-3-2), which generates  $RO<sub>2</sub>$  radicals followed by their conversion to RO (see Eq. [7.5\)](#page-3-1). Just formed RO easily reacts with  $O_2$  yielding  $HO_2$ (see Eq. [7.7](#page-3-3)), which then reacts with NO producing OH and  $NO<sub>2</sub>$  (see Eq. [7.4\)](#page-3-0). Each

<span id="page-2-3"></span>

Fig. 7.1 Schematic of light-induced mechanism of  $O<sub>3</sub>$  formation as well as chemical and material balance relationship between  $\mathbf{RO}_x$ - and  $\mathbf{NO}_x$ -based cycles. Reactions and balances marked with red indicate  $NO<sub>x</sub>$  cycle, green corresponds to  $RO<sub>x</sub>$  cycle, blue shows radical initiation reactions, and black demonstrates termination processes. (This figure was adapted from Wang et al. [\(2017](#page-19-0)) with permission by Elsevier)

<span id="page-3-0"></span> $RO<sub>x</sub>$  cycle consumes two NO molecules (and transforms them into  $NO<sub>2</sub>$ ), forms two  $O_3$  molecules through a typical "NO<sub>x</sub> cycle" (shown in Eqs. [7.1,](#page-2-0) [7.2](#page-2-1), and [7.3\)](#page-2-2), and recycles NO (from the NO<sub>x</sub> cycle). Figure [7.1](#page-2-3) demonstrates a schematic reflecting these two cycles (one chemical and one photochemical) occurring simultaneously.

$$
HO_2 + NO \rightarrow OH + NO_2, \tag{7.4}
$$

$$
RO2 + NO \rightarrow RO + NO2, \t(7.5)
$$

$$
OH + RH + O2 \rightarrow RO2 + H2O,
$$
\n(7.6)

$$
RO + O2 \rightarrow HO2 + carbonyls.
$$
 (7.7)

<span id="page-3-4"></span><span id="page-3-3"></span><span id="page-3-2"></span><span id="page-3-1"></span>Both  $NO_x$  and  $RO_x$  cycles terminate by their corresponding cross-reaction of  $RO_x$ and/or NO<sub>x</sub>. At high NO<sub>x</sub> concentration, termination by reactions with OH (Eq. [7.8](#page-3-4)) and  $RO<sub>2</sub>$  (Eq. [7.9\)](#page-3-5) dominates. The products of these two reactions are organic nitrates (NO<sub>z</sub> species) and nitric acid. When  $NO<sub>x</sub>$  concentration is low, the main termination reactions are either recombination of hydroperoxyl radicals (see Eq. [7.10\)](#page-3-6) or recombination of  $RO<sub>2</sub>$  and  $HO<sub>2</sub>$  radicals (Eq. [7.11\)](#page-3-7), which yield hydrogen and organic peroxides. Thus, one can use  $NO<sub>z</sub>$  concentration as well as  $H_2O_2/HNO_3$  ratio to evaluate atmospheric conditions in terms of  $NO_x$  concentration (whether it is low or high). These ratios are also used as indicators showing which cycle formation of  $O_3$  follows: VOC- or NO<sub>x</sub>-based.

$$
OH + NO_2 \rightarrow HNO_3, \tag{7.8}
$$

$$
RO2 + NO2 \leftrightarrow RO2NO2,
$$
 (7.9)

$$
HO_2 + HO_2 \rightarrow H_2O_2 + O_2, \qquad (7.10)
$$

$$
HO_2 + RO_2 \rightarrow RO_2H + O_2. \tag{7.11}
$$

<span id="page-3-7"></span><span id="page-3-6"></span><span id="page-3-5"></span> $RO<sub>x</sub>$  can also form from the closed-shell molecules. They participate in a standard  $RO<sub>x</sub>$  cycle mentioned above and, thus, also play a substantial role in  $O<sub>3</sub>$  generation. In contaminated troposphere,  $\overline{RO}_x$  radicals form as a result of  $\overline{O}_3$ , HONO, and photolysis as well as from the  $O_3$ -induced cleavage of unsaturated VOCs. Contribution of radicals from different sources varies depending on geographical location (Xue et al. [2016\)](#page-19-6). Relatively recent, new origins of atmospheric radicals as well as their precursors were discovered including previously unknown daytime and night-time sources of HONO (Kleffmann [2007\)](#page-18-1) and nitryl chloride (ClNO<sub>2</sub>), respectively. Cl atom released from reaction formed in the dark  $CINO<sub>2</sub>$  further reacts with VOCs. Products of this reaction benefit photochemical formation of  $O_3$  by a gas-phase mechanism similar to a mechanism involving OH (e.g., Riedel et al. [2014\)](#page-18-2):

$$
RH + Cl + O_2 \rightarrow RO_2 + HCl. \tag{7.12}
$$

All  $O_3$  production routes have one common feature: ozone formation demonstrates a nonlinear relationship with concentration of its precursors (VOCs and/or  $NO<sub>x</sub>$ ). At low concentrations of  $NO<sub>x</sub>/VOCs$ ,  $NO<sub>x</sub>$  cycle generates less  $O<sub>3</sub>$  produced based on  $RO_x$  cycle. Thus, NO<sub>x</sub>/VOC concentration becomes a limiting factor of O<sub>3</sub> generation. This situation is known as " $NO<sub>x</sub>$ -limited  $O<sub>3</sub>$  formation regime." In contrast, at high NO<sub>x</sub>/VOC concentration, the limiting factors of  $O_3$  production are intensity, speed, and rate of the  $\text{RO}_x$ -based cycle. This situation is often referred to as "VOC-limited." However,  $O_3$  production through these cycles still remains somewhat complex as it is also influenced by VOC reactivity,  $NO<sub>x</sub>/VOC$  ratios, photochemical aging, meteorological conditions, and biogenic emissions. Dominancy of  $NO<sub>x</sub>$ - or  $RO<sub>x</sub>$ -based cycles is also determined by the sources of reactive organic radicals (e.g., produced by photolysis of  $O_3$ , HCHO, etc.) as well as by traps of hydrogen radicals (see Eqs. [7.13,](#page-4-0) [7.14,](#page-4-1) [7.15](#page-4-2), [7.16,](#page-4-3) and [7.17\)](#page-4-4) (Sillman [1999\)](#page-18-3):

<span id="page-4-0"></span>Sources:

$$
O_3 + hv^{H2O}H_2O 2OH,
$$
\t(7.13)

$$
HCHO + hv^{O2}O_{2} \, HO_{2} + CO. \tag{7.14}
$$

<span id="page-4-2"></span><span id="page-4-1"></span>Sinks:

$$
HO_2 + HO_2 \rightarrow H_2O_2 + O_2, \qquad (7.15)
$$

$$
RO2 + HO2 \rightarrow ROOH + O2, \t(7.16)
$$

$$
OH + NO2 \rightarrow HNO3.
$$
 (7.17)

<span id="page-4-4"></span><span id="page-4-3"></span>Many urban areas are either VOC-sensitive or  $NO<sub>x</sub>$ -saturated. Low levels of  $HO<sub>x</sub>$ radicals removed by the reaction products between NO<sub>2</sub> and OH are responsible for decreased  $O_3$  concentration in urban areas. Additionally, ground-level  $O_3$  concentration can be reduced because of so-called " $NO<sub>x</sub>$  titration": in areas with substantial NO concentrations in the atmosphere (e.g. from car exhausts),  $O_3$  reacts with NO.

Several recent studies (Tang et al. [2012;](#page-18-4) Tie et al. [2013](#page-19-7); Xue et al. [2014](#page-19-8)) explored  $O<sub>3</sub>$  formation pathways in China. It is a very important step toward the development of scientific approaches toward regulation and control of ground-level  $O_3$  levels. Thus, it was reported that VOC-based formation of  $O_3$  dominates in the Pearl River region and in Central Eastern China. At the same time, in the summer,  $O_3$  formation in areas with plain and mountain landscapes located in Northern China was sensitive to both VOC and  $NO<sub>x</sub>$ , respectively (Tang et al. [2012](#page-18-4)). In cities and surrounding areas of Yangtze River Delta and North China Plain as well as PRD regions,  $O_3$ formation is VOC-limited (Tie et al. [2013](#page-19-7); Xue et al. [2014\)](#page-19-8). In other words,  $NO<sub>x</sub>$ present in Chinese cities induces "titration effect" mentioned above. Unfortunately, this kind of scientific research information is still limited, which slows down full and detailed understanding of processes of ground-level  $O<sub>3</sub>$  formation. Research of  $O_3$ -VOC-NO<sub>x</sub> chemistry in atmosphere over China requires further improvement, which can be achieved by thorough data collection, experimental observations, and theoretical simulations, all of which are crucial for development of effective industrial and governmental policies for ground-level  $O<sub>3</sub>$  pollution control.

## 7.3 Spatial-Temporal Pattern of  $NO<sub>x</sub>$  Emission Over China

Nitrogen dioxide  $(NO<sub>2</sub>)$  and nitrogen oxide  $(NO)$ , often combined under a general name nitrogen oxides  $(NO_x)$ , are present in the atmosphere as gas in trace amounts and have short life period. Yet, they are very active participants in  $O_3$  formation (Seinfeld and Pandis [2006](#page-18-5)). Anthropogenic activities, especially usage and consumption of fossil fuel, are the major contributors to  $NO<sub>x</sub>$  in the atmosphere especially at high concentrations of  $NO<sub>x</sub>$  near or around densely populated urban and suburban/rural industrial areas as well as around power plants.

China is one of the major contributors to atmospheric  $NO<sub>x</sub>$  pollution in the world as it contributes to  $\sim$ 18% of the global NO<sub>x</sub> emissions (EDGAR 4.2, EC-JRC/PBL 2011). China's  $NO<sub>x</sub>$  release increased 1.5 times from 1980 to 1995 as Chinese GDP was increasing by 10% annually during the same time frame (Klimont et al. [2009\)](#page-18-6). Because of Asian economy crisis,  $NO<sub>x</sub>$  emissions started to decline in 1996 and continued to decrease till 2000. Utilization of coal relative to the total energy consumption decreased from 77% to 71%, which was reflected in  $NO_x$  emission reduction during the 1996–2000 period (Hao et al. [2002;](#page-18-7) NBS [2010\)](#page-18-8). After the economy started to recover in 2000,  $NO<sub>x</sub>$  emission quickly reached pre-crisis level and even doubled it (Fig. [7.2](#page-5-0)) as utilization of coal increased twofold in the  $2001-2008$  time period (NBS  $2010$ ). Between 1995 and 2005, NO<sub>x</sub> emissions increased by 6.3% annually (Zhang et al. [2007\)](#page-19-9). Nevertheless, since strict pollution-control preventive and technical measures were implemented in 2011, fast growth of  $NO<sub>x</sub>$  concentration in the atmosphere slowed down and eventually reversed (see Fig. [2.1](https://doi.org/10.1007/978-981-13-8514-8_2) in Chap. [2](https://doi.org/10.1007/978-981-13-8514-8_2) and Fig. [5.6](https://doi.org/10.1007/978-981-13-8514-8_5) in Chap. [5\)](https://doi.org/10.1007/978-981-13-8514-8_5).

<span id="page-5-0"></span>Chinese government went even further and implemented new regulations to decrease emissions and to improve air quality. The goal was to cut national total



Fig. 7.2 NO<sub>x</sub> emission trend during  $1980-2010$  period. (This figure was adapted from Gu et al. ([2012\)](#page-18-9) with permission by American Chemical Society)

<span id="page-6-0"></span>

Fig. 7.3 Annual  $NO<sub>x</sub>$  emissions detected in the atmosphere during 2005–2014 monitoring period for India (yellow curve), China (dark blue curve), Europe (cyan curve), Western Europe (cyan dashed line), Southern Africa (red), and the USA (green). Emission values are reported relative to emissions in each country in 2005. (This figure was adapted from Miyazaki et al. [\(2017](#page-18-11)) under the Creative Commons Attribution License)

 $NO<sub>x</sub>$  emissions by 10% in 2015 relative to emissions in 2011. Several studies showed that OMI  $NO<sub>2</sub>$  levels increased from 2005 to 2011 followed by a slight decrease (Krotkov et al. [2016](#page-18-10); Duncan et al. [2016\)](#page-17-5). Reduction of  $NO<sub>x</sub>$  emissions originating from China after 2011–2012 was confirmed based on satellite data by various researches (e.g., Miyazaki et al. [2017](#page-18-11); Souri et al. [2017;](#page-18-12) Van der A et al. [2017\)](#page-19-10). Latest estimations showed that  $NO<sub>x</sub>$  emission decreased by  $\sim$ 20% during the 2010–2017 period (see Fig. [2.1](https://doi.org/10.1007/978-981-13-8514-8_2) in Chap. [2\)](https://doi.org/10.1007/978-981-13-8514-8_2). However, taking a 10-year period covering years from 2005 to 2014, an increasing trend was demonstrated in the amount of  $NO<sub>x</sub>$  emissions in China despite significant year-to-year variations. According to Miyazaki et al. [\(2017](#page-18-11)), linear fitting of the  $NO<sub>x</sub>$  emission data over the 10-year period revealed a slope equivalent to 26% increase (see Fig. [7.3\)](#page-6-0).

Especially substantial  $NO<sub>x</sub>$  emissions were detected in large cities such as Wuhan, Nanjing, Tianjin, and Chengdu, in which ground-level concentrations of  $NO<sub>x</sub>$ increased by 42%, 35%, 35%, and 56% per decade, respectively. An overall increase in Eastern China was also detected (see Fig. [7.4](#page-7-0)). A significant increase in  $NO_x$ concentration occurred in Western China, especially its Northern regions (~88 to 110°E, 37-48°N): NO<sub>x</sub> concentration in air in these regions increased by 50-110% per decade. In spite of a general large and positive trend, the three largest Chinese cities (Beijing, Shanghai, and Guangzhou) demonstrated either small reduction or a small 10-year increase in NO<sub>x</sub> emissions during 2005–2014:  $-0.6\%$ ,  $-6.2\%$ , and 4.5%, respectively. The same trends were observed specifically for  $NO<sub>2</sub>$  emissions (Wang et al. [2015\)](#page-19-11).

 $NO<sub>x</sub>$  emissions also demonstrate spatial variability in China (see Fig. [7.5a](#page-7-1)). In 2004, regions with highest  $NO<sub>x</sub>$  emissions were mostly located on the East Coast: from Southern Yangtze Delta region around Shanghai to North of Beijing (Zhang

<span id="page-7-0"></span>

Fig. 7.4 NO<sub>x</sub> emissions (in  $10^{-11}$  kg m<sup>-2</sup> s<sup>-1</sup> per decade) during 2005–2014 period in different countries and regions of Asia (upper left panel). (This figure was adapted from Miyazaki et al. ([2017\)](#page-18-11) under the Creative Commons Attribution License)

<span id="page-7-1"></span>

Fig. 7.5 Spatial distribution of (a)  $NO<sub>x</sub>$  emission and (b) SCIAMACHY tropospheric  $NO<sub>2</sub>$  vertical columns in 2004. (This figure was adapted from Zhang et al. ([2007\)](#page-19-9) with permission by John Wiley and Sons)

et al. [2007\)](#page-19-9). Pearl River Delta (PRD) region of Hong Kong and Guangzhou as well as Sichuan Basin also exhibited high  $NO<sub>x</sub>$  emissions. Regional distribution of  $NO<sub>x</sub>$ emissions shown in Fig.  $7.5$  corresponds very well to the tropospheric NO<sub>2</sub> columns derived from SCIAMACHY measurements in 2004 (Fig. [7.5b](#page-7-1)): columns with high NO<sub>2</sub> concentration were spread across East China and PRD regions in a similar way as spatial distribution of  $NO<sub>x</sub>$  shown in Fig. [7.5a.](#page-7-1)

Between 2007 and 2015, provinces with the highest  $NO<sub>x</sub>$  emission in China were Anhui, Shandong, Henan, Hebei, Jiangsu, Guangdong, Zhejiang, Shanxi, Sichuan, and Hubei. Combined, emissions from these provinces accounted for 65% of all  $NO<sub>x</sub>$ emissions in China (van der et al. [2017\)](#page-19-10).

### 7.4 Spatial-Temporal Pattern of Ground-Level  $O_3$  in China

Fast industrial and urban developments in China led to higher amounts of  $O_3$ precursors (mainly  $NO<sub>x</sub>$  and VOCs) participating and assisting  $O<sub>3</sub>$  formation, which is the reason for high-increasing rate in ground-level  $O_3$  concentrations in China (Wang and Mauzerall [2004;](#page-19-12) Feng et al. [2015a\)](#page-17-1).

Ground-level  $O_3$  concentration in China as well as their variations (both spatial and temporal) was thoroughly analyzed. Li et al. ([2017a\)](#page-18-13) collected data on groundlevel O3 levels at 187 cities from January 2015 to November 2016. Publication and official release of this data revealed significant spatial variation of  $O_3$  in China. Averaged  $O_3$  concentrations ranged from 50.6 ppb (in Nanchong) to 64.1 ppb (in Yixing, which is an industrial city in the Yangtze River Delta). High  $O_3$  levels were also detected in major Chinese metropolitan areas located in Jing-Jin-Ji and in deltas of the Yangtze and Pearl Rivers (see Fig. [7.6\)](#page-9-0). Rapid growth and development of different industries, including transportation and urban branches, in these cities as well as around them is the major driving force responsible for the  $O_3$  pollution.

Average  $O_3$  concentration over China increased from  $46.1 \pm 8.8$  ppb in 2014 to  $51.9 \pm 7.8$  ppb in 2016 (see Fig. [7.6](#page-9-0)). Significant O<sub>3</sub> level increase was observed in the Yangtze River Delta, North China Plain, and Inner Mongolian and even Southeastern Tibetan Plateau. However, levels of  $NO<sub>2</sub>$  demonstrated a slight decreasing trend (Li et al. [2017a\)](#page-18-13). We already mentioned above that  $O<sub>3</sub>$  increase in different regions is caused by different factors. Three major factors can be described as follows. (1) Industrialized areas demonstrate increased ground-level  $O_3$  concentration because of high VOC emissions (Yuan et al. [2013](#page-19-13)), which, in turn, leads to more rapid formation of  $O_3$ . (2) In North China Plain, lowered  $NO_x$  emission inhibits titration reaction between NO and  $O_3$ , which also results in high  $O_3$  concentrations (Xu et al. [2016b\)](#page-19-14). (3) High  $O_3$  levels in Tibet Plateau can be explained by strong stratosphere-troposphere exchange processes because of the narrow troposphere layer in Tibetan Plateau (Skerlak et al. [2014\)](#page-18-14).

Several studies predicted future changes in ground-level  $O_3$  concentrations in China. Zhu and Liao ([2016](#page-19-15)) used high-resolution nested grid version of the GEOS-Chem model to simulate changes in ground-level  $O<sub>3</sub>$  concentrations for the

<span id="page-9-0"></span>

Fig. 7.6 Variation of ground-level  $O_3$  during 2014–2016 period in China. (This figure was adapted from Li et al. ([2017a](#page-18-13)) with permission by Elsevier)

2000–2050 period in response to the changes in anthropogenic emissions under the RCP2.6, RCP4.5, RCP6.0, and RCP8.5. Annual ground-level  $O_3$  concentration predicted from the year 2010 to 2050 for every decade relative to 2000 values under the four RCPs are shown in Fig. [7.7](#page-10-0). Under these four RCPs, predicted changes in annual mean ground-level  $O<sub>3</sub>$  levels showed different trends. RCP8.5 predicted the worst scenario for 2020–2030, and RCP6.0 showed the worst situation over 2040–2050.

Typically,  $O_3$  levels are also significantly higher in the summer than in the winter (Fig. [7.8](#page-11-0)). Formation rate of  $O_3$  depends on solar radiation intensity, and thus shorter light days and insufficient amount of sunlight inhibit  $O_3$  formation in winter (Li et al. [2017a](#page-18-13)). During spring and summer, higher temperatures and stronger solar radiation helps to generate many OH radicals, which react with VOC and further enhance rate of O3 formation. Furthermore, stratosphere-troposphere exchange processes in springs and summers also lead to increased  $O_3$  levels (Ou et al. [2015](#page-18-15)).

<span id="page-10-0"></span>

Fig. 7.7 Predicted (for years 2010–2050) average annual values of ground-level  $O_3$  concentrations (ppb) shown relative to the values of 2000 year under the four RCPs. (This figure was adapted from Zhu and Liao ([2016\)](#page-19-15) with permission by Elsevier)

## 7.5 Impact of Ground-Level  $O_3$  on Plants

High  $O_3$  concentrations cause severe plant damage through a three-step process: exposure, uptake, and bio-effect. Ozone mainly enters plants through leaf stomata, and the entry of  $O_3$  could be controlled by stomata. After  $O_3$  molecular enters a substomatal cavity, it quickly binds with molecules of the adjacent cell walls or of the outer cell membrane. The intercellular  $O_3$  concertation is almost zero because of very quick chemical reactions occurring in that part of a plant. Nevertheless, the

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Fig. 7.8 Seasonal variation of ground-level  $O<sub>3</sub>$  in China. (This figure was adapted from Li et al. ([2017a](#page-18-13)) with permission by Elsevier)

reactive oxygen species (ROS) or biomolecule oxidation products formed as a result of  $O_3$  interaction with cellular redox systems originate or support reactions in physiological tissues (Tausz et al.  $2007$ ). Schematics of  $O_3$  effects on plants are shown in Fig. [7.9](#page-12-0) (Renaut et al. [2009](#page-18-16)). Ground-level  $O_3$  caused visible leaf injury, which also reduced photosynthesis and inhibited growth and yield. High  $O<sub>3</sub>$  level also changes how plants deal with diseases and pests (Krupa et al. [2000\)](#page-18-17).

High  $O_3$  levels could affect plant leaves, and the visible  $O_3$  symptoms of plant leaves could be used to visually quickly assess and predict  $O_3$  pollution in the field conditions (Hayes et al. [2007](#page-18-18)). Feng et al. ([2014\)](#page-17-0) examined clearly visible plant injuries in July and August of 2013 in parks, forests, and agricultural regions of Beijing, China. The visible injury was detected in many areas and in 28 different plant species or cultivars. Ozone symptoms were observed more frequently in rural and mountain areas of Northern Beijing, which are located downwind from the city. Less leaves damage was observed in city gardens. Injuries to crop-bearing plants were detected most frequently for genera Phaseolus, Canavalia, and Vigna beans

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Fig. 7.9 Schematics of main physiological processes for the effects of  $O_3$  on plant leaves. Exposure to high  $O_3$  levels leads to leaves chlorosis and necrosis. Ozone molecules diffuse through the stomata inside the leaves. Ozone enters mainly through the leaves' stomata. The major  $O_3$ detoxification process incudes ascorbate, glutathione, and SOD. Ozone activates signaling processes based on ethylene, salicylic acid, and jasmonic acids. Different pathways initiate different responses: jasmonic acid pathway inhibits routes based on ethylene and salicylic acid. Photosynthesis rate slows down at both photochemical and biochemical levels during exposure. At the same time, respiration is enhanced including anaplerotic pathway involving PEPc. The following abbreviations were used: AA, SA, and JA stand for ascorbic, salicylic, and jasmonic acids; AAperox indicates ascorbic acid peroxidase; DHAA means dehydroascorbic acid; ET stands for ethylene; GR represents glutathione reductase; GSH and GSSG indicate reduced and oxidized glutathione, respectively; PCD stands for programmed cell death; PEPc stands for phosphoenolpyruvate carboxylase; ROS stands for reactive oxygen species; RuBisCO stands for ribulose-1,5 bisphosphate carboxylase/oxygenase; SOD stands for superoxide dismutase. (This figure was adapted from Renaut et al. ([2009\)](#page-18-16) with permission by Elsevier)

and still observed but less often for watermelon, grapevines, and gourds. Several native trees, such as pines, ailanthus, and ash trees, also showed symptoms. Rose of Sharon, black locust, and Japanese morning glory were among the injured ornamental plants. Examples of  $O_3$  symptoms are shown in Fig. [7.10](#page-13-0) for some of the plants mentioned above (Feng et al. [2014\)](#page-17-0).

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Fig. 7.10 Ozone symptoms in plants native to Beijing area of China: Ailanthus altissima (1), Ampelopsis humulifolia (2), Fraxinus rhynchophylla (3), Pinus bungeana (4). Ornamental plants: Robinia pseudoacacia (5) and Hibiscus syriacus (6). Crops: Canavalia gladiate (7), Vigna unguiculata var. heterophylla (8), Benincasa pruriens (9), Luffa cylindrica (10), Citrullus lanatus (11), and Vitis vinifera (12). (This figure was adapted from Feng et al. [\(2014](#page-17-0)) with permission by Elsevier)

Current  $O_3$  levels in China are high enough to cause chronic changes in trees such as reduced photosynthesis, decreased productivity, and accelerated leaf senescence. Such severe damage should not be unnoticed because forests and trees are the most important carbon sinks (Wittig et al. [2009](#page-19-17)).

China is one of the world's most forest-deficient countries: forests cover only 22% of the total land area, which is significantly less than a 30% global average (Fang et al. [2014\)](#page-17-6). Capacity and strength of carbon sink by boreal forests at midlatitudes of the northern hemisphere are significantly reduced because of

ground-level  $O_3$  pollution. Li et al. [\(2017b](#page-18-19)) quantitatively analyzed data from Chinese studies on temperate and subtropical regions to determine how elevated  $O<sub>3</sub>$  concentrations affect vegetation growth, biomass, and functional leaf traits of different types of woody plants. Their results indicated that if averaged  $O<sub>3</sub>$  level is equal to 116 ppb, total biomass of woody plants would decrease by 14% comparing with  $O_3$  levels equal to  $\sim$ 21 ppb. Effect of elevated  $O_3$  concentration on biomass, growth, leaf characteristics, photosynthetic pigments, gas exchange, chlorophyll fluorescence, and antioxidant parameters for all kinds of trees in China are shown in Fig. [7.11](#page-15-0) (Li et al. [2017b](#page-18-19)).

In fact, high  $O_3$  level is one of the most damaging pollutants that severely damage economic and biological aspects of crop-bearing plants (Feng et al. [2011\)](#page-17-7). Current ground-level  $O_3$  concentration significantly decreased wheat growth as well as its quality and yield according to the meta-analysis, which are shown in Fig. [7.12](#page-17-8) (Pleijel et al.  $2018$ ). Feng et al. ( $2015b$ ) reviewed data on how elevated O<sub>3</sub> levels affect food crops in China and also demonstrated significant reduction in wheat yield. Based on exposure concentration and stomatal  $O_3$  flux-response relationships obtained by  $O_3$ -FACE experimental data, they predicted that if  $O_3$  level continues to increase, wheat crops will produce less yield by 6.4%–14.9% and 14.8%–23.0%, respectively. Thus, if  $O_3$  level continues rising, food security in China might be severely compromised. Without certain regulations, precautions, and measures, ground-level  $O_3$  levels will continue to rise and to damage forests and crops.

### 7.6 Mitigation Measures of Ozone Pollution

Ozone causes so much damage to vegetation, and finding a solution on how to control  $O_3$  pollution is a worldwide concern. Yet, there is no a single solution to this issue. Below are several policy recommendations and mitigation measures, which should help to decrease  $O_3$  impacts and to mitigate risks associated with high  $O_3$ levels:

1. Strict control of emissions containing potential agents participating in  $O_3$  formation cycle

Strict laws on  $O_3$ -related precursor (such as VOCs and NO<sub>x</sub>) emissions are required. Currently, majority of these chemicals are from automotive exhausts. One solution is to impose a Euro standard V for all vehicle exhaust gases throughout China by no later than 2020. Another solution is to decrease personal use of cars and to encourage usage of public transportation in major and overpopulated areas. One way to regulate this is to increase gasoline price and parking fees (Feng et al. [2015a,](#page-17-1) [b\)](#page-17-9).

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Fig. 7.11 Effect of elevated  $O_3$  concentration (e $O_3$ ) on (a) biomass, growth and leaf characteristics, (b) photosynthetic pigments, gas exchange, chlorophyll fluorescence, and (c) antioxidant parameters of all trees. Data symbols represent percentage of the average value obtained at elevated  $O_3$ concentration relative to the average value obtained at control  $O<sub>3</sub>$  concentration. Error bars reflect

#### 2. Planting  $O_3$ -resistant plants

Different plants have different sensitivity under high  $O_3$  levels. Thus,  $O_3$ -resistant genotypes can be selected, reproduced, and/or genetically modified by adding O3-resistant genes. Such genes were already discovered for rice and soybean (Frei et al. [2010;](#page-17-10) Gillespie et al. [2011\)](#page-18-21). Additionally, there are a large number of studies on the sensitivity of plants to  $O_3$ . For example, Feng et al. [\(2018](#page-17-11)) demonstrated that a lot of  $O_3$  sensitivity variation for different woody plants can be explained by interspecific variation in LMA. Thus, ozone-tolerant plants can be chosen based on these rules and planted in certain  $O_3$ -rich areas to maintain their vegetation and to reduce the damage under high  $O_3$  levels.

#### 3. Ozone uptake through plants

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Typically, ozone enters a plant through its leaf stomata, after which plants absorb  $O_3$  and reduced  $O_3$  content in the ambient air. This ability of plants to purify air is an important property that can be used at urban, ecological, and environmental planning and landscaping. However, plant-derived BVOCs can also participate in  $O_3$  formation. Thus, high  $O_3$  uptake and low-BVOC emission plants should be considered to efficiently control  $O_3$  levels.

#### 4. Application of chemical protective agents at specific phenological stages

Ozone-caused damage to plants could be decreased or prevented by application of antioxidants (e.g., glutathione, ascorbic acid, antiozonant ethylenediurea (EDU), etc.) (Feng et al. [2010;](#page-17-12) Manning et al. [2011\)](#page-18-22). Such chemical agents are indeed widely used in the USA and many European countries to protect crops against high ambient  $O_3$ . However, the toxicity of EDU in the food chain is yet to be extensively tested, and the phytotoxicity can happen at high doses of EDU (Manning et al. [2011\)](#page-18-22). Thus, most effective approach is to apply such agents at specific phenological stages, such as grain filling in both soybeans and wheat, during rice tillering, etc. It is necessary to apply these chemical protective agents to protect crops from production in high  $O<sub>3</sub>$  concentration areas. However, further testing of EDU toxicity and its impact on different crops are required through the field experiment.

Fig. 7.11 (continued) 95% bootstrapped confidence intervals. Number of measurements and papers are shown in parentheses, whereas mean elevated  $O<sub>3</sub>$  concentration and control  $O<sub>3</sub>$  concentration in square brackets are given along the y-axis. The following abbreviations were used to represent parameters studied: φPSII effective quantum yield of photosystem II, APX ascorbate peroxidase, AsA ascorbic acid, Car carotenoid, CAT catalase, DHAR dehydroascorbate reductase, GR glutathione reductase, LMA leaf mass per area, MDA malondialdehyde, MDAR monoascorbate reductase, SOD superoxide dismutase activity, TAC total antioxidant capacity, WUE water-use efficiency. (This figure was adapted from Li et al. ([2017b\)](#page-18-19) with permission by John Wiley and Sons)

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Fig. 7.12 Meta-analysis of the effect of non-filtered air (NF) vs. charcoal-filtered air (CF) for agronomically important wheat variables. Values in brackets indicate number of NF-CF comparisons for each variable. Error bars are 95% confidence intervals. (This figure was adapted from Pleijel et al. [\(2018](#page-18-20)) with permission by Elsevier)

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