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Design of Antimicrobial Peptides: Progress Made with Human Cathelicidin LL-37

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Abstract

The incorporation of the innate immune system into humans is essential for survival and health due to the rapid replication of invading microbes and the delayed action of the adaptive immune system. Antimicrobial peptides are important components of human innate immunity. Over 100 such peptides have been identified in various human tissues. Human cathelicidin LL-37 is best studied, and there has been a growing interest in designing new peptides based on LL-37. This chapter describes the alternative processing of the human cathelicidin precursor, protease digestion, and lab cutting of LL-37. Both a synthetic peptide library and structure-based design are utilized to identify the active regions. Although challenging, the determination of the 3D structure of LL-37 enabled the identification of the core antimicrobial region. The minimal region of LL-37 can be functiondependent. We discuss the design and potential applications of LL-37 into antibacterial, antibiofilm, antiviral, antifungal, immune modulating, and anticancer peptides. LL-37

has been engineered into 17BIPHE2, a stable, selective, and potent antimicrobial, antibiofilm, and anticancer peptide. Both 17BIPHE2 and SAAP-148 can eliminate the ESKAPE pathogens and show topical in vivo antibiofilm efficacy. Also discussed are other application strategies, including peptide formulation, antimicrobial implants, and peptide-inducing factors such as vitamin D and sunlight. Finally, we summarize what we learned from peptide design based on human LL-37.

Keywords

Anticancer peptides · Antimicrobial peptides · Antiviral peptides · Cathelicidins · LL-37 · Peptide design

12.1 Introduction

The discovery of penicillin in 1928 is one of the greatest achievements in human history. However, overreliance on antimicrobial drugs against infectious diseases led to a rapid development of resistance in bacteria. Antimicrobial resistance posts a great risk to the human health-care system. To counteract the impacts of emerging multidrug resistance, alternatives are in urgent need. Antimicrobial peptides (AMPs) have been recognized as one such alternative because bacterial resistance development is rare or not yet observed

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(Zasloff [2002;](#page-25-0) Boman [2003](#page-20-0); Jayaram and Chen [2015;](#page-21-0) Wang et al. [2015;](#page-25-1) Mishra et al. [2017b](#page-22-0)). They are ancient molecules optimized through their coevolution with bacteria over millions of years (Peschel and Sahl [2006](#page-23-0); Spencer et al. [2014](#page-24-0)).

According to the antimicrobial peptide database (APD; [http://aps.unmc.edu/AP\)](http://aps.unmc.edu/AP), naturally occurring AMPs (<100 amino acids) have been discovered in the six life kingdoms, including bacteria, archaea, protists, fungi, plants, and animals (Wang and Wang [2004](#page-24-1); Wang et al. [2009](#page-25-2), [2016\)](#page-25-3). In invertebrates, innate immune systems are the only defense weapon against microbial infection. The discovery of cecropins, magainins, and defensins in the 1980s laid the foundation for deciphering the pathogen-specific innate immune response pathways (Boman [2003](#page-20-0); Lehrer and Lu [2012;](#page-22-1) Meister et al. [1997](#page-22-2); Zasloff [1987\)](#page-25-4). In mammals, several defense mechanisms guard against the threat of infection, ranging from the innate to adaptive immune systems, including skin barriers and physical factors such as urine flow, pH, and ionic composition. In humans, 124 AMPs were identified in various tissues as of June 2018 (Wang et al. [2016](#page-25-3)). Typical examples include lysozyme, defensins, histatins, cathelicidins, lactoferricin, kinocidins, ribonuclease, and dermcidin (reviewed in Wang [2014\)](#page-24-2). On average, these molecules have a chain length of 44.6 amino acids with a net charge of +4.8. Such properties allow them to adopt unique structures for host defense.

Unlike other animals, there is only one cathelicidin gene in humans encoded on chromosome 3p21.3 (Frohm et al. [1997\)](#page-21-1). Cathelicidins are synthesized as preproproteins with a highly conserved N-terminal domain and a highly variable C-terminal antimicrobial domain. The N-terminal domain usually consists of 94–114 amino acids and shares sequence homology with cathelin, a cysteine protease inhibitor derived from porcine neutrophils, hence the name cathelin-like domain (CLD). At present, there are 113 mature cathelicidin peptides in the APD, ranging from hagfish to humans (Wang et al. [2016](#page-25-3)). Human cathelicidin LL-37 is one of the best-studied AMPs. It is widely distributed in the human saliva, sperm, skin, gastrointestine, urinary tract, and respiratory airways. LL-37 is expressed by a number of cells, including monocytes, neutrophils, mast cells, stem cells, NK cells, and B and T cells. As an innate immune peptide, it is upregulated upon pathogen invasion or by immune stimuli such as vitamin D and sunlight. LL-37 has a broadspectrum activity at a micromolar concentration against bacteria, fungi, viruses, and fungi at least in vitro (Durr et al. [2006;](#page-21-2) Vandamme et al. [2012\)](#page-24-3). The observation that the concentration of LL-37 in certain human tissues is below the minimal inhibitory concentration (MIC) required to kill pathogens, however, led to an emphasis on immune modulation (Scott et al. [2002](#page-23-1)). It is now accepted that human cathelicidin LL-37 is a moonlighting peptide with multiple functional roles, ranging from antimicrobial to immune regulation. The moonlighting properties of LL-37 provide a basis for its involvement in a variety of human diseases such as infection, diabetes, cancer metastasis, and atherosclerosis (Scott et al. [2002;](#page-23-1) Vandamme et al. [2012](#page-24-3)).

This chapter summarizes the discovery, design, and potential applications of new AMPs based on the LL-37 template. Both structurebased design and library screening are covered. It is useful to mention the challenges in structural determination of LL-37 by NMR spectroscopy because of the importance of this structure for a better understanding of the antimicrobial activity of the peptide as well as for structure-based peptide discovery. To facilitate a connection between LL-37 and its fragments, we use the nomenclature and numbering of LL-37 throughout the text. For example, KR-12 (Table [12.1](#page-2-0)) means a 12-residue peptide corresponding to residues 18–29 of LL-37; it starts with amino acids K18R19 at the N-terminus and ends with R29 at the C-terminus. Subsequent sections are devoted to the potential applications of these peptides in antibiofilm, antiviral, antifungal, anticancer, surface immobilization, and immune modulation studies with a focus on the relationship between intact LL-37 and its fragments. It seems a slightly different region can be utilized for peptide design depending on the targeted pathogen or disease, including laboratory preference. Some LL-37 designer peptides have been shown to have antibiofilm efficacy in animal models.

Table 12.1 Amino acid sequences and physical properties of select peptides derived from LL-37 **Table 12.1** Amino acid sequences and physical properties of select peptides derived from LL-37 \overline{a}

tool.html; Boman index, a term renamed in the APD database based on protein-binding potential (Boman 2003); GRAVY, grand average of hydropathy, which is calculated by [tool.html](https://www.thermofisher.com/us/en/home/life-science/protein-biology/peptides-proteins/custom-peptide-synthesis-services/peptide-analyzing-tool.html); Boman index, a term renamed in the APD database based on protein-binding potential (Boman [2003](#page-20-0)); GRAVY, grand average of hydropathy, which is calculated by summing the hydropathy value of each residue and divided by the length of the peptide (Kyte and Doolittle 1982); underlined residues are either artificial or order swapped summing the hydropathy value of each residue and divided by the length of the peptide (Kyte and Doolittle [1982](#page-22-3)); underlined residues are either artificial or order swapped

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Contractor

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12.2 Processing of the Human Cathelicidin Gene Product

12.2.1 Mature Peptide LL-37

Human cathelicidin is expressed as an 18 kDa precursor protein (hCAP-18). It was discovered in 1995 by three laboratories based on the highly conserved "cathelin" domain (Cowland et al. [1995;](#page-20-1) Larrick et al. [1995;](#page-22-4) Agerberth et al. [1995\)](#page-20-2). One of the groups predicted the mature form as FALL-39 by comparison with the pig cathelicidin PR-39, a 39-residue peptide rich in amino acids P and R (Agerberth et al., [1995\)](#page-20-2). The mature form was established as a 37-residue peptide LL-37 after its isolation from granulocytes (Gudmundsson et al. [1996\)](#page-21-3). In neutrophils, hCAP-18 is processed to the antimicrobial peptide LL-37 (a 37-residue peptide starting with two leucines) by extracellular cleavage with proteinase 3 (Sorensen et al. [2001\)](#page-23-2). Thus, the LL-37 pathway is composed of the precursor hCAP-18, the cathelin-like domain, LL-37, and its further cleaved fragments under natural conditions. Interestingly, the precursor of human LL-37 can also be cleaved into alternative forms below.

12.2.2 ALL-38 from the Human Reproductive System

AMPs appear to play a role in sperm fertilization. During sexual intercourse, hCAP-18, along with sperm, is injected into the vagina where it is cleaved into ALL-38 under acidic conditions by gastricsin. ALL-38, with one more alanine at the N-terminus than LL-37, showed similar antibacterial activity (Sorensen et al. [2003\)](#page-24-4).

12.2.3 An Uncharacterized Alternative Form from Fat Cells

A recent exciting discovery is that fat cells also participate in host defense. Adipocytes can release a mature peptide longer than LL-37 to

protect against the *S. aureus* infection (Zhang et al. [2015](#page-25-5)). However, the exact peptide sequence has not been elucidated.

12.2.4 TLN-58 from a Diseased Skin State

It is fascinating that hCAP-18 can also be cleaved upstream of the LL-37 sequence into another longer mature peptide with 58 amino acids (Murakami et al. [2017\)](#page-22-5). TLN-58 is isolated from the lesion vesicle of palmoplantar pustulosis, a diseased skin condition on the palms and soles. Similar to human LL-37, this peptide form can upregulate IL-17C, IL-8, IL-23, IL-1 α , and IL-1 β mRNA and protein expression in normal human keratinocytes.

These findings provide additional evidence for the idea of one cathelicidin gene and multiple peptides (Wang [2014\)](#page-24-2). It is likely that the single cathelicidin gene can be translated and processed in other manners yet to be discovered. Once a link is established between the cathelicidin form and disease, our detection of a particular form of human cathelicidin may serve as a biomarker for that disease.

12.3 Physical and Structural Basis of LL-37 in Targeting Bacterial Membranes

12.3.1 Bacterial Recognition via Electrostatic Interactions

Cationic LL-37 is active against a broad range of Gram-positive and Gram-negative pathogens (updated in Fig. [12.1](#page-4-0)). It is proposed that this linear peptide, like other cationic AMPs, targets anionic bacterial membranes via the carpet or toroidal pore model (Oren et al. [1999](#page-22-6); Henzler et al. [2003;](#page-21-4) Lee et al. [2011\)](#page-22-7). The membrane targeting of LL-37 is determined by its sequence property. According to the APD (Wang and Wang [2004\)](#page-24-1), LL-37 (M. Wt. 4493.312 and molecular formula $C_{205}H_{341}N_{59}O_{53}$ has a net charge of +6 (i.e., sum of 6 Lys, 5 Arg, 2 Asp, and 3 Glu),

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Gram-positive bacteria inhibited by human LL-37

Bacillus megaterium Bacillus anthracis	Bacillus subtilis	Enterococcus faecalis	Group A streptococcus Enterococcus faecium	Group B Streptococcus	Group C Streptococcus	Lactobacillus acidophilus	Lactobacillus casei	Listeria monocytogenes	Micrococcus Iuteus	Nocardia spp.	Propionibacterium acnes	Staphylococcus aureus	Staphylococcus epidermidi	Streptococcus mitis	Streptococcus mutans	Streptococcus pneumonia	Streptococcus sanguis	Streptococcus sobrinus	Streptococcus salivarius
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Fig. 12.1 Antibacterial activity of human antimicrobial peptide LL-37. Updated based on Vandamme et al. [\(2012](#page-24-3)) and Durr et al. ([2006\)](#page-21-2), the antimicrobial peptide database [\(http://aps.unmc.edu/AP](http://aps.unmc.edu/AP)) and the PubMed

allowing it to recognize the negative charge on bacterial surfaces, rather than mammalian cells. While bacterial membranes are rich in anionic PGs, mammalian cell membranes contain mainly zwitterionic phosphorylcholines (PCs) (Wang et al. [2014a](#page-25-6), [b](#page-25-7)). This preference of bacterial membranes is supported by in vitro studies using model membranes (Sevcsik et al. [2008\)](#page-23-3).

The negative charge on the bacterial surface originates from a different basis: an outer membrane for Gram-negative bacteria but a cell wall for Gram-positive bacteria. In the outer membranes of Gram-negative bacteria, anionic lipopolysaccharides (LPS) are dominant. They are composed of a polysaccharide moiety and a lipid A. The cell wall of Gram-positive bacteria comprises peptidoglycan and lipoteichoic acids (LTA) that maintain cell integrity. These outer layers confer negative charges to the surface and are important targets for cationic AMPs. Such an

electrostatic attraction is the first step of peptidebacteria interaction. This is because bacterial surface modification to decrease negative charge can reduce peptide activity. For instance, modification of lipid A with glucosamine confers resistance to LL-37 (Shah et al. [2014](#page-23-4)). In the case of *S. aureus*, knockout of the gene for alanylation of teichoic acid of the cell wall or lysylation of phosphatidylglycerols (PG) in the inner membrane makes the bacterium more susceptible to cationic LL-37 (Saar-Dover et al. [2012](#page-23-5); Peschel and Sahl [2006](#page-23-0)). Likewise, citrullination of LL-37 can make it less positively charged and reduces its LPS neutralization (Koziel et al. [2014](#page-21-5)). Host Toll-like receptors (TLRs) play an important role in recognizing these bacterial components. TLR2 is required to respond to cell wall preparations of Gram-positive pathogens, whereas TLR4 is involved in recognition of LPS (Takeuchi et al. [1999](#page-24-5)).

12.3.2 Challenges for Structural Studies of LL-37

Positive charge alone, however, may not be sufficient for membrane binding of AMPs. The membrane targeting of LL-37 is determined by its three-dimensional structure. Circular dichroism (CD) and FT-IR analysis indicate a helical conformation, leading to an immediate classification of this human peptide into the helical family. In particular, an amphipathic helix of this cationic peptide, with distinct hydrophobic and hydrophilic surfaces, facilitates its interaction with anionic bacterial membranes (Oren et al. [1999](#page-22-6)). However, it has to wait for the solution nuclear magnetic resonance (NMR) spectroscopy to determine the atomic structure of LL-37 that informs us where the helix starts and ends and whether there is a helix break upon membrane binding. It took years of work to complete the 3D structure of LL-37 due to multiple challenges. The first challenge was the complex nature of bacterial membranes. Consequently, solution NMR studies were conducted in the presence of membrane-mimetic micelles such as anionic sodium dodecyl sulfate (SDS). The deuteration of micelles simplified the NMR spectra and allowed us to focus only on the peptide signals. As SDS has a head group different from that of the anionic lipid in bacteria, we also explored the possible use of a series of short-chain PGs for structural studies of AMPs (Wang et al. [2004;](#page-24-6) Keifer et al. [2004](#page-21-6); Wang [2006](#page-24-7), [2007,](#page-24-8) [2008\)](#page-24-9). The second challenge was spectral resolution. The first solution NMR studies revealed the need of 3D NMR for structural determination of LL-37 bound to SDS micelles (Li et al. [2006a\)](#page-22-8). The separation of the overlapped cross peaks onto numerous 2D planes along the 15N or 13C dimension of 3D NMR spectra yielded the needed spectral resolution for a complete assignment of the LL-37 signals and subsequent NOE assignments. The third challenge was the requirement of establishing a bacterial expression system to produce stable isotopelabeled LL-37 required for 3D NMR studies. The toxicity of LL-37 to the expression host made it necessary to express the peptide as a fusion protein. Another challenge was the difficulty to release the recombinant peptide from the fusion

protein by enzyme digestion. The aggregation of LL-37 might have blocked the enzyme cleavage site. Fortunately, the fusion protein was successfully cleaved by formic acid (Li et al. [2006b\)](#page-22-9). By making use of the oligomerization property of LL-37 (Li et al. [2007\)](#page-22-10), we improved the peptide yield and obtained additional ^{15}N -labeled or ^{15}N , ^{13}C -labeled peptides in complex with deuterated SDS micelles provided the needed sample stability for recording a suite of triple-resonance NMR spectra, such as HNCACB, CBCA(CO)NH, HNCO, and HNCA (Kay et al. [2011\)](#page-21-7). The 3D structure of LL-37 determined by 3D NMR reveals a long amphipathic helix (residues 2–31) and a C-terminal tail (residues 32–37) (Fig. [12.2](#page-6-0)). To validate the structure, we measured the ps-ns peptide backbone dynamics using a 15N-labeled LL-37 sample. The dynamics data indicate the helical region is rigid, while the C-terminal tail is mobile, fully consistent with the structure (Wang [2008\)](#page-24-9). There are also other structures for LL-37 and its fragments. Additional information can be found in other articles (Wang [2008;](#page-24-9) Wang et al. [2014b](#page-25-7)).

12.3.3 Structural Basis of Bacterial Membrane Binding of LL-37

We also asked whether the high-resolution structure determined in SDS micelles could be applied to bacterial membranes. First, we used dioctanoyl phosphatidylglycerol (D8PG) for NMR studies because it has the same head group as the major anionic lipid in bacteria (Wang et al. [2004](#page-24-6); Keifer et al. [2004](#page-21-6)). Based on all the NMR data, the structure of LL-37 in complex with D8PG is the same as that bound to SDS micelles, implying that the detergent/lipid head groups play little role (Wang [2008](#page-24-9)). Because D8PG is not deuterated, it enabled us to observe intermolecular NOE cross peaks between peptide and lipid as well. In particular, aromatic F5, F6, F17, and F27 as well as basic R23 of LL-37 show direct interactions with the phosphatidylglycerol, confirming their important role in bacterial membrane binding (Wang [2008\)](#page-24-9). The significance of R23 in interaction with bacteria was initially observed

Fig. 12.2 3D structure of human innate immune peptide LL-37 bound to bacteria membrane-mimetic micelles determined by 3D NMR (PDB ID: 2K6O). (**a**) A backbone view of the long helix corresponding to residues 2-31 of LL-37 with the C-terminal tail disordered. (**b**) A

using the central fragment of LL-37 (Wang [2007](#page-24-8)) and later confirmed by alanine scan (Wang et al. [2012b](#page-25-8)) as well as the lysine/arginine swap (Wang et al. [2018](#page-25-9)). Second, we also studied the interaction of LL-37 with *E. coli* LPS. While the signals for the helical region are absent, the signals for the C-terminal tail are evident. Thus, the disordered tail of LL-37 observed in micelles recurs when bound to LPS. We conclude that human LL-37 utilizes the hydrophobic surface of a long amphipathic helix (residues 2–31) to interact with bacterial membranes for antimicrobial killing without the need of the C-terminal tail.

Because the SDS-bound structure reflects the bacterial membrane-bound form, the 3D NMRderived structure can be used to explain peptide LPS-binding and activity data. The synergistic binding to LPS by an ovine cathelicidin SMAP-29 can be explained by a helix break caused by a proline (Tack et al. [2002](#page-24-10)). This is not the case for LL-37 (Turner et al. [1998](#page-24-11)) because of a lack of proline in the middle and the continuity of the helix. However, S9 separates the hydrophobic surface of the long helix of LL-37 into two domains (Fig. [12.2\)](#page-6-0), providing a novel mechanism for synergistic binding of human LL-37 to

stick view of the LL-37 structure with hydrophobic and hydrophilic residues selectively labeled. In both views, hydrophobic amino acids are in green. A discontinuation of the hydrophobic surface at S9, rather than the helix, is thus evident (Wang [2008\)](#page-24-9)

LPS (Wang [2008](#page-24-9)). In addition, S9 is also important for antibacterial activity since an effort to render the hydrophobic surface continuous reduced the peptide activity (Wang et al. [2012a\)](#page-25-10). The 3D structure determined by 3D NMR also laid a foundation for discovering active fragments within LL-37.

12.4 Discovery of Antibacterial Regions Within LL-37

This section highlights LL-37 fragments generated under natural conditions and in laboratories. The natural conditions include protease processing from both the host and pathogens. Peptides are also chemically synthesized in laboratories to understand sequence-activity relationship.

12.4.1 Skin Processing of Human LL-37

The Gallo lab studied the protease cleavage of LL-37 in human skin by kallikreins. They found multiple fragments of LL-37, including both active

and inactive forms. Some examples are KR-20, LL-23, KS-30, and RK-31 (Table [12.1\)](#page-2-0). Interestingly, KS-30 and RK-31 are more active than their parent LL-37 in bacterial killing, indicating the N-terminal region is less important (Murakami et al. [2004\)](#page-22-11). This processing may be a natural regulatory mechanism that enhances peptide antimicrobial potency but reduces unwanted immune responses via the intact molecule. This mechanism further enriches the molecule reservoir of human cathelicidin-based defense line. Future studies may elucidate the details of this skin regulatory mechanism of human cathelicidin.

12.4.2 Pathogen Degradation of LL-37

As a resistance mechanism, there are multiple pathogen proteases that can degrade human defense peptide LL-37. However, only in a few cases were the resultant fragments documented. Sieprawska-Lupa et al. [\(2004\)](#page-23-6) studied the digested products of *S. aureus* proteases such as aureolysin and V8 proteases. Aureolysin cleaved LL-37 between R19-I20, R23-I24, and L31-V32 peptide bonds, leading to the instant inactivation of LL-37. In contrast, the V8 protease cleaves the E16-F17 peptide bond of LL-37 (Fig. [12.3\)](#page-8-0), leading to the accumulation and isolation of FK-21 (Table [12.1\)](#page-2-0), a fragment corresponding to residues 17-37 of LL-37. Interestingly, FK-21 is more potent than LL-37 in killing *E. coli, B. subtilis, P. aeruginosa*, and *E. faecalis*. This is understandable since FK-21 contains the major antimicrobial region FK-16 discovered by NMR (Li et al. [2006a](#page-22-8)). In another study, Rapala-Kozik et al. [\(2015](#page-23-7)) isolated an intermediate peptide LL-25 (Table [12.1](#page-2-0)) from the *C. albicans* cleavage of LL-37. LL-25 appears to have a different immune modulating role compared to LL-37 (see below).

12.4.3 Identification of Active Regions Within Human LL–37 in Laboratories

One of the major barriers toward peptide therapeutics is production cost. LL-37 is relatively

long with 37 amino acids. To reduce the synthesis cost, it is necessary to trim unwanted regions. Two major methods are used to locate the active regions of LL-37. The first method is library screening. There are numerous studies with a goal of locating the active region of LL-37. The sequence relationship of these peptides with LL-37 is summarized in Table [12.1](#page-2-0)**.** For example, Braff et al. ([2005\)](#page-20-3) made a peptide library to identify the antibacterial, antifungal, and antiviral regions. Two long peptides, KS-30 and RK-31 (Table [12.1\)](#page-2-0), are more potent than LL-37. Nell et al. ([2006\)](#page-22-12) identified a 24mer peptide P60 (i.e., residues 13-36 of LL-37 in Fig. [12.3;](#page-8-0) IG-24 in Table [12.1\)](#page-2-0). A peptide P60.4 with the C-terminal four-residues changed from PRTE to RPLR has antimicrobial activity, LPS and LTA neutralization ability comparable to LL-37. Kanthawong et al. ([2010\)](#page-21-8) found IG-19, RK-25, and LL-31 (Table [12.1\)](#page-2-0) against Gram-negative *Burkholderia pseudomallei* both in planktonic and biofilm forms. Among these peptides, LL-31 is most potent.

The second method is structure-based. Based on the helix-forming propensity of each amino acid along the sequence, Sigurdardottir et al. [\(2006](#page-23-8)) found GKE-21 with reduced cytotoxicity than LL-37. Li et al. [\(2006a\)](#page-22-8) identified multiple LL-37 peptides based on NMR structural studies. In that study, LL-37 was initially split into LL-12 and IG-25 for structural studies (Table [12.1\)](#page-2-0). While LL-12 is inactive, IG-25 is antibacterial. The study of a micelle-bound form of IG-25 led to the discovery of the major antimicrobial peptide FK-16 of LL-37 by using the NMR-trim technology that removes nonessential membranebinding regions, such as the disordered C-terminal tail (Li et al. [2006a\)](#page-22-8). Compared to the library approach that requires the synthesis of at least dozens of peptides, this NMR technology is efficient since it arrived at the major antimicrobial region using only a couple of synthetic peptides. In particular, IG-25 is only one residue longer than IG-24 found above via library screening (Nell et al. [2006](#page-22-12)). An N-terminally glycine appended version of FK-16 is called GF-17. FK-16 and GF-17 have very similar antibacterial activity (Table [12.2\)](#page-8-1). A comparison of the antibacterial activity of multiple peptides described

	MIC (µM)							
Peptide	S. aureus USA300		<i>E. coli</i> ATCC 25922 <i>K. pneumonia</i> ATCC 13883 <i>P. aeruginosa</i> PAO1					
FK-16		>3.1						
$GF-17$		6.25						
17BIPHE2		6.25		6.25				

Table 12.2 Comparison of antibacterial activity of the LL-37-derived antimicrobial peptides FK-16, GF-17, and 17BIPHE2

Peptide sequences in Table [12.1](#page-2-0). 17BIPHE2 is a peptide antibiotic designed based on GF-17 (Wang et al. [2014a](#page-25-6))

Group A Streptococcus recognition sequence

Fig. 12.3 Host-pathogen interaction at the peptide level. Listed are select LL-37 peptides discovered from structure and library approaches (Li et al. [2006a](#page-22-8), [b](#page-22-9); Nell et al. [2006](#page-22-12); Wang [2008](#page-24-9); Wang et al. [2008](#page-24-12)). It is amazing that the

core antimicrobial region of human LL-37 is also recognized by bacteria for degradation or to release virulence factors (see the text)

above confirmed GF-17 is most potent against methicillin-resistant *Staphylococcus aureus* (MRSA) USA300 (Wang et al. [2014a](#page-25-6)). This result may be due to a sufficient hydrophobicity of the major antimicrobial peptide of LL-37 (Table [12.1\)](#page-2-0). In addition, a balance in charged and hydrophobic amino acids in the sequence led to a near zero GRAVY value in Table [12.1](#page-2-0). Our NMR studies also led to the identification of FK-13, the core antibacterial region of LL-37 corresponding to residues 17–29 (Li et al. [2006a\)](#page-22-8). Using a series of shorter peptides, it was found that the antibacterial region of FK-13 could be further shortened to 12-residue KR-12, the smallest antibacterial peptide of LL-37 (Wang, [2008\)](#page-24-9). KR-12 is active against Gram-negative *E. coli* K12 (MIC 40 μM) but not Gram-positive *S. aureus* USA300. In 2018, Jessen lab made several 12-residue peptides along the LL-37 sequence. Their study showed no activity (>100 μg/mL) for N-terminal fragments LL-12, SK-12, C-terminal fragments VQ-12, IK-12, and

DF-12 (net charge $= 0$, Table [12.1\)](#page-2-0). This is not surprising since most of these fragments do not have the minimal hydrophobicity set by KR-12, the minimal antibacterial peptide we found (Wang [2008](#page-24-9)). In contrast, weak antibacterial activity (MIC 50 μg/mL) was observed for central fragments KR-12 and FK-12 against *S. epidermidis*. Note that both KR-12 and FK-12 (Saporito et al. [2018](#page-23-9)) were derived from FK-13 (Table [12.1\)](#page-2-0), further validating the antimicrobial core region (Fig. [12.3\)](#page-8-0) of LL-37 (Li et al. [2006a\)](#page-22-8).

12.5 Peptide Design Based on Select LL-37 Peptides

The ESKAPE pathogens, including *Enterococcus faecium*, *Staphylococcus aureus*, *Klebsiella pneumoniae*, *Acinetobacter baumannii*, *Pseudomonas aeruginosa*, and *Enterobacter* species, are responsible for the majority of hospitalacquired infections (Boucher et al. [2009](#page-20-4)). For

MRSA alone, the estimated total deaths are already comparable to those caused by HIV-1/ AIDS (Klevens et al. [2007\)](#page-21-9). Therefore, new antimicrobials are needed. There is also a high interest in developing AMPs into therapeutic molecules (Zasloff [2002](#page-25-0)). Being linear, human cathelicidin LL-37 is an important template. The therapeutic implication of LL-37 is evident. First, a lack of LL-37 in neutrophils may be responsible for periodontal disease in patients with morbus Kostmann (Pütsep et al. [2002\)](#page-23-10). Second, knockout of the homologous cathelicidin gene CRAMP makes the mice more susceptible to infection (Nizet et al. [2001](#page-22-13)). As a complement strategy, expression of additional cathelicidin protects the skin from infection (Lee et al. [2005](#page-22-14)) and restores bacterial killing in a cystic fibrosis xenograft model (Bals et al. [1999](#page-20-5)). Third, topical treatment of chronic wounds such as venous leg ulcers with LL-37 (0.5 or 1.6 mg/mL) markedly reduces the mean ulcer area without safety concerns (Grönberg et al. [2014\)](#page-21-10). Furthermore, LL-37 can inhibit biofilm formation probably by opsonization that enhances bacterial clearance (Overhage et al. [2008;](#page-22-15) Sol et al. [2013](#page-23-11)). In addition, LL-37 boosts immune response to clear pathogens (Overhage et al., [2014\)](#page-23-12). Fourth, LL-37 may also be induced to help eliminate pathogens (van der Does et al. [2012](#page-24-13); Jiang et al. [2013;](#page-21-11) Schögler et al. [2016\)](#page-23-13). These observations laid a solid basis for peptide design based on LL-37 and its derived fragments.

12.5.1 IG-24 Derived P60.4

Serum/plasma binding is believed to be a key factor that might have limited the systemic use of cationic AMPs. Based on the P60.4 template originally identified from a library screen (Nell et al. [2006](#page-22-12)), OP-145 or P60.4Ac (Table [12.1\)](#page-2-0) was initially obtained via further amino acid modification, including N-terminal acetylation. OP-145 is effective against *S. aureus* clinical strains, but its activity can be reduced in the presence of plasma (de Breij et al. [2016\)](#page-20-6). Recently, de Breij et al. [\(2018](#page-20-7)) made additional peptide mutants to

search for candidates with reduced binding to plasma. SAAP-148, with such a property, is found to have antimicrobial and antibiofilm ability against the ESKAPE pathogens, including persisters, which cannot be killed by traditional antibiotics.

12.5.2 The FK-16-Derived GF-17 Template

Using GF-17 as a template, we studied the role of basic amino acids by alanine scan (Wang et al. [2012b\)](#page-25-8). One important finding is that the five basic amino acids in this peptide are not equal, but all involved in lipid clustering (Epand et al. [2009\)](#page-21-12). In the case of membrane permeation, the R23A variant of GF-17 failed to cross either the outer or inner membranes of *E. coli*, indicative of an essential role of R23 for membrane damage (Wang et al. [2012b\)](#page-25-8), consistent with the observation of intermolecular NOE cross peaks between R23 and D8PG by NMR spectroscopy (Wang [2007\)](#page-24-8). As a conservative change, we also swapped the positions between a pair of lysine and arginine in GF-17. Interestingly, the charged swapped peptides showed reduced killing efficiency and increased cytotoxicity to human cells, revealing the evolutional significance of the native sequence of the peptide (Wang et al. [2017\)](#page-25-11).

Cytotoxicity also limits the applications of cationic AMPs. It is commonly observed that peptide cytotoxicity results from high hydrophobicity (Zasloff [2002](#page-25-0); Boman [2003](#page-20-0)). In the case of GF-17, the major antimicrobial region of LL-37 (Fig. [12.3\)](#page-8-0), two approaches were utilized to reduce the peptide hydrophobicity. The first method is peptide truncation that led to the discovery of KR-12 (Wang [2008\)](#page-24-9). The second method is to incorporate D-amino acids. The structural basis for this has been elucidated for GF-17d3, which contains D-amino acids at positions 20, 24, and 28 of GF-17 (Table [12.1](#page-2-0)). The D-amino acids distorted the backbone of GF-17 and caused non-coherent packing of side chains, leading to hydrophobic defects (Li et al. [2006a\)](#page-22-8). A hydrophobic gap exists on the hydrophobic

surface of LL-23, explaining its poor antibacterial activity (Wang et al. [2012a](#page-25-10)).

Another hurdle in peptide development is protease stability. Any peptide that is cleaved prior to bacterial killing is not useful. We used a library screen method to identify a peptide template with stability to chymotrypsin. This was initially accomplished during antibacterial assays in duplicated wells containing proteases; a stable peptide remains bacterial inhibition even in the presence of proteases. In this experiment, GF-17d3, with 3 D-amino acids incorporated, is active against *E. coli* K12, but not GF-17d1 (one D-amino acid at position 20) or GF-17d2 (two D-amino acids at positions 20 and 24) containing 1-2 D-amino acids. This chymotrypsin-resistant template, GF-17d3, was used to design peptide analogs to combat resistant pathogens. One of the peptides, 17BIPHE2, with both F17 and F27 replaced with biphenylalanines, is potent, selective, and stable (Wang et al. [2014a](#page-25-6)). This is the first LL-37-derived peptide illustrated to kill the ESKAPE pathogens (MIC $3.1-6.2 \mu M$) and can inhibit biofilm formation in vivo. 17BIPHE2 showed a 50% hemolytic concentration (HL_{50}) between 150 and 220 μM depending on the types of blood cells used. Our further investigation of 17BIPHE2 confirmed its stability to chymotrypsin digestion. In addition, it is not degraded after digestion with pathogen protease *S. aureus* V8 or fungal protease K, but can be cleaved by trypsin. In contrast, our recently designed Trp-rich peptide TetraF2W-RK with eight amino acids is inherently stable to trypsin and *S. aureus* V8 but can be cleaved by chymotrypsin (Mishra et al. [2017a](#page-22-16)). However, TetraF2W-RK, synthesized in D-amino acids, is stable to both trypsin and chymotrypsin. Peptide stability to such proteases from the digestive system can be important for future engineering peptides as oral drugs.

With the idea of personalized medicine, it may be necessary to design peptides with a desired activity spectrum. Recently, we found it possible to convert the broad-spectrum GF-17 into narrowspectrum AMPs. First, by partially incorporating D-amino acids, we obtained GF-17d3 (Li et al. [2006a](#page-22-8)), which is only active against Gramnegative *E. coli* ATCC 25922 and *A. baumannii*

B28-16, but not Gram-positive pathogens we tested (Wang et al. [2017\)](#page-25-11). Alternatively, hydrophobic truncation of GF-17 led to KR-12 that is only active against *E. coli* K12. Second, 17BIPHE2-3RA, where three arginines are changed to alanines, is only active against Grampositive staphylococcal strains such as *S. aureus* USA300 and *S. epidermidis* 1457. 17BIPHE2- 3RA, with a net charge of +2 and hydrophobic content of 64%, resembles the database designed anti-MRSA peptide DFTamP1 that kills only Gram-positive pathogens (Mishra and Wang [2012\)](#page-22-17). These results underscore the importance of amino acid composition in determining the peptide activity spectrum (Wang et al. [2018](#page-25-9)).

12.5.3 FK-13 and KR-12 Templates

NMR studies established a helical structure for KR-12 in complex with D8PG (Wang [2008\)](#page-24-9). Understanding the role of each residue in KR-12 is important for peptide design. We investigated the effect of a single alanine substitution of basic amino acids on KR-12 activity (Mishra et al. [2013\)](#page-22-18). Consistent with our finding for GF-17 that R23 and K25 are important for antimicrobial action, KR-12R23A and KR-12K25A are less effective in binding to anionic D8PG (Mishra et al. [2013\)](#page-22-18). We did not replace hydrophobic amino acids because KR-12 is already the smallest, and such substitutions would lead to inactive peptides. This is indeed the case when we substituted I20, I24, or L28 of KR-12 into an alanine (Wang [2010\)](#page-24-14). Recently, Gunasekera et al. [\(2018](#page-21-13)) made a systematic amino acid substitution within KR-12. It seems the culture media and bacterial strains used for antimicrobial assays can substantially influence the MIC values and make the results incomparable with the previously published results (Mishra et al. [2013](#page-22-18)).

Because of its low cytotoxicity and short length, KR-12 (Fig. [12.3](#page-8-0)) becomes an attractive template for peptide engineering. Jacob et al. [\(2013](#page-21-14)) generated several variants of KR-12 by increasing basic and hydrophobic residues at positions 27, 26, 22, 23, 25, and 18. KR-12-a1 to KR-12-a6 contains 1–6 changes of the listed residues of KR-12. KR-12a1 (a F27W variant) showed MIC values in the range of 1–8 μM using six Gram-positive and negative bacteria. Of note, additional substitutions did not increase peptide activity substantially against *S. aureus*, *S. typhimurium*, *B. subtilis*, and *S. epidermidis*, and in the case of KR-12-a6 with all six changes (K18L, Q22K, R23L, K25L, D26K, and F27W), antibacterial activity of the peptide against *E. coli* and *P. aeruginosa* actually reduced. Meanwhile, KR-12-a5 and KR-12-a6 became more hemolytic, indicating the K25L change is not favorable. Of note, a topical use of KR-12-a2 to treat MRSA otorrhea found no hearing loss or cochlear damage in guinea pigs (Sung et al. [2017\)](#page-24-15), while KR-12-a5 is potent against oral pathogens (Caiaffa et al. [2017\)](#page-20-8). A similar mutational study was also conducted using FK-13 (Rajasekaran et al. [2017\)](#page-23-14). Da Silva et al. [\(2017](#page-20-9)) also utilized KR-12 as a template to design antibiofilm peptides against oral pathogens such as *Streptococcus mutans*. Substitution of I20 with W and appending KAEK at the C-terminus of KR-12 led to a more potent peptide against biofilms. These studies indicate a great potential of KR-12 as a template or a sequence unit for peptide design.

12.6 Potential Applications of LL-37 Peptides

12.6.1 Antibiofilm Peptides

Many pathogens are able to form biofilms, where a surface-anchored bacterial community shares a tower-like structure decorated with polymers (e.g., polysaccharides and DNA). Such biofilms, once formed on medical devices, are very challenging to remove. Therefore, much research effort of AMPs was oriented toward the development of potent antibiofilm agents and infectionfree implants to combat these pathogens. Biofilm inhibition by human LL-37 at a low concentration was initially observed by the Hancock Group (Overhage et al. [2008](#page-22-15)). As one advantage of peptide design, however, both GF-17 and 17BIPHE2 possess antibiofilm capability superior to LL-37 (Mishra et al. [2016\)](#page-22-19). In addition, we have demonstrated the antibiofilm ability of the engineered peptide 17BIPHE2 in a mouse catheter model (Wang et al. [2014a\)](#page-25-6). As an independent path, a European group demonstrated antibiofilm ability of OP-145 and SAAP-148 (de Breij et al. [2016](#page-20-6), [2018\)](#page-20-7). However, combination treatment may be necessary and a natural choice for preformed biofilms that are difficult to remove. Our recent antipseudomonal biofilm results are summarized here: (1) Antibiotics are not effective, while AMPs are active, underscoring the importance of such peptides as a new antibiofilm agent. (2) Combined use of 17BIPHE2 with antibiotics is more effective to remove the *P. aeruginosa* biofilms. (3) Early treatment prior to biofilm maturation (<10 h) is advantageous since monotherapy of either antibiotic or peptide is effective (Mishra and Wang [2017](#page-22-20)). Based on these observations, there is now a growing interest in developing biofilm prevention approaches.

12.6.2 Antibiofilm Coating of LL-37 Peptides

An attractive antimicrobial strategy is to coat human cathelicidin LL-37 to the surfaces of medical devices to prevent infection, especially when biofilms form. In particular, peptide surface immobilization confers some advantages such as a local high peptide concentration, reduced cytotoxicity, and increased host cell adhesion. This section describes covalent coupling of LL-37 and its peptides (Table [12.3](#page-12-0)). There are multiple factors that affect the antimicrobial activity of immobilized peptides. These include the types of materials (metals or polymers), the linker or spacer, and the site for peptide coupling (terminus vs. side chain). There is no consensus as to the nature of linkers (short vs. long).

The full-length LL-37 molecule, with a cysteine added at the N-terminus, was first immobilized on a titanium surface via the maleimide chemistry. Gabriel et al. ([2006\)](#page-21-15) found that sitespecific coupling of LL-37 with a flexible hydrophilic poly(ethylene glycol) (PEG) spacer is better than randomized coating. The LL-37 coated surface shows antimicrobial activity

		Substrate/			Activity	
Study	Peptide	surface	Chemistry	Spacer	organism	Ref
$\mathbf{1}$	$LL-37$	Titanium	Surface hydroxylation, APTES amine generation, and peptide coupling via maleimide chemistry	PEG	EC(K12)	Gabriel et al. (2006)
$\overline{2}$	$LL-37$	Nickel NPs	Dielectric barrier discharge glow plasma fluidized bed (GPFB) for deposition of nanolayer polyacrylic acid (PAA) on NPs. And peptide reaction using EDC/NHS	Polyacrylic acid	EC $(DH5\alpha)$	Chen et al. (2009)
\mathfrak{Z}	$LL-37$	Etafilcon A contact lens (pHEMA)	Carbodiimide reaction linking surface acidic group to the peptide amino group	Carboxylic acid	PA	Dutta et al. (2016)
$\overline{4}$	$LL-37$	Amino saline coated magnetic nanoparticle	LL-37 was reacted with APTMS-coated NPs via amidation	APTMS	CA, CG and CT	Niemirowicz et al. (2017)
5	$IG-25$	Fluorous slide and Fluoroperm 60 contact lens	Perfluorocarbon chains with alkynyl group reacted with peptide- containing azido group via Cu-catalyzed azide-alkyne cycloaddition reaction (click chemistry)	Perfluorocarbon chains (C_8F_{17})	PA (PAO1)	Santos et al. (2013)
6	FK-16	Titanium	Similar to LL-37/Ti	6-Maleimidohexanoic acid	ESKAPE pathogens	Mishra et al. (2017a)
$\overline{7}$	KR-12	Silk fibroin (SF) nanofiber	Carboxylic acid on the SF nanofiber reacted with aminoethyl maleimide via the EDC/NHS reaction. Peptide was conjugated with the maleimide-Cys reaction	Aminoethyl maleimide	SA, SE, EC, and PA	Song et al. (2016)
8	$KR-12$	Titanium	The hydroxylated surface was amine-functionalized and reacted with Asp of peptide for coupling	3-(2-aminoethylamino) propyltrimethoxysilane	SE	Nie et al. (2016)

Table 12.3 Covalent immobilization of LL-37 and its fragments onto various substrates

EC Escherichia coli, *SA Staphylococcus aureus*, *PA Pseudomonas aeruginosa*, *SE Staphylococcus epidermidis*, *CA C. albicans*, *CG C. glabrata*, *CT C. tropicalis*

against *E. coli*. Recently, LL-37 has also been immobilized on other types of surfaces, including nanoparticles (Gustafsson et al. [2010;](#page-21-16) Cassin et al. [2016;](#page-20-10) Niemirowicz et al. [2017;](#page-22-21) Comune et al. [2017\)](#page-20-11). The chemistries are summarized in Table [12.3.](#page-12-0) These studies illustrated (1) antifungal activity of LL-37 against *C. albicans*, (2) anti-adhesion properties, (3) LPS binding, (4) no toxicity to mammalian cells, (5) immune modulatory activity such cell migration, and (6) treatment of wounds in a mouse model.

Several fragments (IG-25, FK-16, and KR-12) initially reported by us (Li et al. [2006a;](#page-22-8) Wang [2008\)](#page-24-9) have also been surface immobilized. Santos et al. [\(2013](#page-23-15)) immobilized IG-25 (Table [12.1](#page-2-0)) on fluorous thin films and fluorosilicone contact lens with activity against an ocular pathogen *P. aeruginosa* (Table [12.3\)](#page-12-0). KR-12 was also covalently immobilized via a site-specific maleimide chemistry on electrospun silk fibroin nanofiber. The surface could inhibit *S. aureus*, *S. epidermidis*, *E. coli*, and *P. aeruginosa*. Compared to the free peptide described above, this surfacecoated KR-12 appeared to have gained antibacterial activity. In addition, the immobilized peptide suppressed the LPS-induced TNF- α expression of monocytes (RAW264.7), helped the proliferation of fibroblasts and keratinocytes, and promoted the differentiation of keratinocytes with enhanced cell-cell attachment (Song et al. [2016\)](#page-23-16). Likewise, KR-12 was also immobilized on the titanium surface (Nie et al. [2016\)](#page-22-22), which shows antimicrobial and antibiofilm activities against *S. epidermidis*. Importantly, the peptide-coated surface is able to increase the adhesion and proliferation of human bone marrow mesenchymal stem cells (Nie et al. [2016](#page-22-22)). To obtain a surface with broad-spectrum activity, we recently immobilized FK-16, the major antimicrobial peptide of LL-37, on the titanium surface using a similar maleimide chemistry (Mishra and Wang [2017\)](#page-22-20). FK-16, with a higher coating density than LL-37 on the titanium surface, shows potent activity against the ESKAPE pathogens but is nontoxic to human erythrocytes and epidermal keratinocytes HaCaT cells. Significantly, the FK-16-coated surface is able to inhibit biofilm formation of *S. aureus* USA300 (initial inoculation at 103 CFU) for up to 72 h. A lower CFU (10^3) used here should be medically more relevant considering the sterile condition of the surgery room. This study provides a proof-of-concept example for generating a broad-spectrum antimicrobial surface to prevent bacterial adhesion and biofilm formation on medical implants.

12.6.3 Antiviral Peptides

Viral infection is life threatening and has raised concerns from the public. This ranges from the well-known human immunodeficiency virus type 1 (HIV-1) to the recent Zika and Ebola viruses in the news. Often, we do not have therapeutic molecules to treat such outbreaks. Infected patients can only be sent to a containment facility and wait for miracle medicine. The development of new vaccines is slow. Therefore, alternative antiviral strategies are clearly needed. Current rise in HIV patients with coinfections has required researchers to revisit AMPs with a hope to overcome ever-increasing cases of antibiotic resistance and to increase the immune response status. It is interesting to explore how nature has devised AMPs for protection against various viruses. As of June 2018, the APD registered 182 antiviral peptides (Wang et al. [2016](#page-25-3)). While a systematic review on HIV inhibitory AMPs (Wang [2012](#page-24-16)) can be found online, this section focuses on antiviral effects of human cathelicidin LL-37. Up to date, LL-37 has been demonstrated to have inhibitory effects against at least ten types of viruses, including herpes simplex virus (HSV; Yasin et al. [2000\)](#page-25-12), smallpox vaccinia virus (Howell et al. [2004\)](#page-21-18), HIV-1 (Bergman et al. [2007](#page-20-13)), respiratory syncytial virus (RSV; Tian et al. [2011\)](#page-24-17), varicella zoster virus (VZV; Crack et al. [2012\)](#page-20-14), human adenovirus (Uchio et al. [2013\)](#page-24-18), influenza A virus (IAV; Tripathi et al. [2013](#page-24-19)), dengue virus type 2 (DENV-2; Alagarasu et al. [2017](#page-20-15)), human rhinovirus (HRV; Sousa et al. [2017\)](#page-24-20), and Zika virus (He et al. [2018](#page-21-19)). To facilitate our discussion, these LL-37 inhibited viruses are classified in Table [12.4](#page-14-0) based on the type of nucleic acids (DNA/RNA) and whether they are enveloped. Thus, LL-37 has an inhibitory effect on both enveloped and non-enveloped viruses.

It is natural to ask whether the antibacterial segments derived from LL-37 also effectively inhibit viruses. While fragments from either the N-terminus or C-terminus are inactive, a central fragment of LL-37 is active against HIV-1 (Wang et al. [2008](#page-24-12)). Different from the anti-MRSA case, GI-20 (Fig. [12.3\)](#page-8-0) has the highest therapeutic index, indicating that the central region of LL-37 is also important to inhibit viruses. In collaboration with ImQuest Biosciences, we also identified the minimal anti-HIV peptide of LL-37. Different from the bacteria case, the LL-37 core peptide FK-13 retains anti-HIV activity but not KR-12. This fact implies a significant role of F17 in inhibiting HIV-1. There are also other dif-

RNA viruses		DNA viruses	
Naked	Enveloped	Naked	Enveloped
Rhinoviruses	Human immunodeficiency virus type 1 (HIV-1)	Adenovirus	Herpes simplex virus (HSV)
	Influenza A virus (IAV)		Vaccinia virus (smallpox)
	Respiratory syncytial virus (RSV)		Varicella zoster virus (VZV)
	Zika virus (ZIKV)		
	Dengue virus type 2 (DENV-2)		

Table 12.4 Classification of LL-37-inhibited viruses

ferences from the antibacterial case. In particular, after reversal of the FK-13 sequence or incorporation of D-amino acids into GF-17, the peptides remain active against bacteria but not the virus, implying a different molecular target. It appears that LL-37 and its peptides IG-25 and FK-16 are able to inhibit HIV-1 reverse transcriptase in vitro at IC_{50} of 15, 7, and 70 μ M, respectively (Wong et al. [2011\)](#page-25-13). The weak enzyme inhibition activity of these peptides observed here (20–30% inhibition at 100 μ M) does not sufficiently explain anti-HIV activity observed below 1 μ M. Therefore, additional mechanistic studies are needed to better understand peptide activity. It is clear that the sequence requirement for inhibiting HIV-1 differs from that for bacterial inhibition. Other cathelicidins can also be useful. While BMAP-27 is toxic, a C-terminal truncated peptide is not (Skerlavaj et al. [1996\)](#page-23-17). BMAP-18 is also demonstrated to be inhibitory to HIV-1 (Wang et al. [2008](#page-24-12)). Future studies may further define the mechanism of action and evaluate the therapeutic potential of these anti-HIV peptides in animal models.

Considering other benefits of LL-37 such as spermicidal effects and selective killing of invading pathogens without damaging commensal bacteria (Tanphaichitr et al. [2016\)](#page-24-21), these LL-37 peptides may be promising candidates as topical spermicides/microbicides. However, further studies are required to resolve the complication from coinfected viruses such as HSV-2 that appears to alter the defense role of LL-37 into an offense role. The mechanistic studies revealed that LL-37 produced by HSV-2-infected epithelial cells upregulates HIV receptors (CD4 and CCR5) on the surface of monocyte-derived Langerhans cells (the mucosal epithelium resident dendritic cells), thereby enhancing HIV infection (Ogawa et al. [2013\)](#page-22-23).

LL-37 peptides also have inhibitory effects on other RNA viruses (Table [12.4\)](#page-14-0). LL-37 can directly disrupt enveloped influenza A virus (Tripathi et al. [2013\)](#page-24-19). In the case of the pandemic H1N1 strain of 2009 (A/California/04/09/H1N1 or "Cal09"), LL-37 is inactive. However, GI-20, a central LL-37 fragment, retains anti-IAV activity against this strain (Tripathi et al. [2015b\)](#page-24-22). This observation indicates the advantage of LL-37 reengineering.

Mosquito-borne Zika is another enveloped RNA virus first isolated in Uganda in 1947 near the Zika forest. After its outbreak in 2007, it became a rapidly emerging public health threat. Although clinical infection is frequently mild, significant neurological manifestations have been demonstrated in infants born to Zika virus (ZIKV)-infected mothers (McArthur [2017\)](#page-22-24). Currently, there is no drug to treat ZIKV infection. Although vaccines are under active development, other effective countermeasures may also be considered. Recently, the efficacy of LL-37 and its derived peptides (e.g., GI-20 and GF-17) against ZIKA has been demonstrated in vitro, whereas RI-10 (Fig. [12.3\)](#page-8-0) is ineffective (He et al. [2018\)](#page-21-19). Further characterization reveals that $GF-17$ (Fig. 12.3) can directly inactivate this virus and work via the interferon pathway. In addition, 17BIPHE2, an engineered version of GF-17, also inhibits ZIKA effectively. However, its antiviral effect is reduced when R23 is altered to ornithine, indicating the important role of this arginine in inhibiting ZIKA.

Other labs also searched active antiviral regions of LL-37 against respiratory syncytial virus (RSV), which is responsible for lower respiratory tract infections of children. A low level of human cathelicidin is directly correlated with human RSV infection. Harcourt et al. [\(2016](#page-21-20)) found a better anti-RSV effect when LL-37 is used prophylactically (treat before infection) than therapeutically (treat after infection). Currie et al. [\(2016](#page-20-16)) also compared the effect of treatment time. They found that co-administration of LL-37 with virus clearly protects animals better against infection either when the peptide is treated before or after viral colonization. It is established that LL-37 can directly damage the viral envelope, disrupt virus particles, and inhibit infection of epithelial cells in vitro (Currie et al. [2016](#page-20-16)). The direct anti-RSV effect of LL-37 stimulates the interest in identifying the active region of LL-37. As we observed in the antibacterial study (Li et al. [2006a\)](#page-22-8), Tian et al. ([2011\)](#page-24-17) found that the N-terminal fragment LL-12 is inactive while the C-terminal fragment IG-25 is. Moreover, among the four 22mer LL-37 peptides corresponding to residues 13–34 (IG-22), 14–35 (GK-22), 15–36 (KE-22), and 16–37 (EF-22), EF-22 shows an anti-RSV effect similar to LL-37. Currie et al. [\(2013](#page-20-17)) also showed that, while neither the N-terminal fragment LL-22 nor the C-terminal fragment EF-22 is inhibitory, a central peptide KI-22 (Table 12.1) is effective against viral particles. Despite the conflicting results between Tian et al. [\(2011](#page-24-17)) and Currie et al. [\(2013](#page-20-17)) regarding EF-22, these studies also point at the important antiviral role of the central fragment of LL-37 previously found based on bacteria (Li et al. [2006a](#page-22-8); Nell et al. [2006](#page-22-12)) and later demonstrated against HIV-1 (Wang et al. [2008](#page-24-12)). It seems that the central region of LL-37 plays a general protective role against both bacterial and viral infection (Fig. [12.3](#page-8-0)).

Because peptides have a relatively short halflife, potential cytotoxicity, and non-specific interactions with cells, one possible way to improve the treatment outcome is to combine LL-37 with nanoparticles, which can be internalized, increasing the uptake of the peptide. LL-37 containing

liposomes (size 106.8 ± 10.1 nm, shelf-life stability >1 year) are found to be superior to its free form in protecting keratinocytes from RSV infection without displaying cytotoxicity even at 400 μM (Ron-Doitch et al. [2016\)](#page-23-18). In future studies, the central fragment of LL-37 may be formulated in the same manner. Other preventative measures may include the administration of vitamin D and increased exposure to sunlight to boost the expression of LL-37. However, the interaction of LL-37 with combustion-derived carbon nanoparticles (especially in winter) can compromise peptide antiviral and antibacterial activity, making immunocompromised people more susceptible to infection in highly polluted environments (Findlay et al. [2017\)](#page-21-21).

12.6.4 Antifungal Peptides

High mortality and morbidity rates due to invasive mycosis have been increasing over the last 20 years. Medically significant pathogenic fungi (~300 species) are almost always molds. Opportunistic fungal infections create therapeutic challenges, particularly in high-risk immunocompromised patients with AIDS, cancer, and those undergoing transplantation. In light of growing resistance to antifungal drugs, novel medicine and treatment approaches are required.

The current APD registered 1067 antifungal peptides (Wang et al. [2016\)](#page-25-3). Cathelicidin α-helical peptides have shown activity (BMAP-27 and BMAP-28 from cows) against Candida spp. and *C. neoformans*, but they are less active against filamentous fungi. Both LL-37 and a close mouse analog mCRAMP have a similar MIC range (15–20 μM) against *C. albicans*. In one study, mCRAMP was induced by *C. albicans* at the skin surface in a mouse model, demonstrating that these peptides provide a natural barrier to fungal infection. In addition, LL-37 can also regulate the immune response to better clear fungal infection. Gallo and colleagues tested antifungal activity of LL-37 and its fragments against *C. albicans* and found that KS-30 and RK-31 are more active (Braff et al. [2005\)](#page-20-3).

Using fluorescein-labeled peptides, den Hertog et al. ([2006\)](#page-21-22) investigated the cell location of LL-37-derived peptides. While LL-37 and its C-terminally truncated peptide LL-31 (Table [12.1\)](#page-2-0) are found at the perimeter of *C. albicans*, the N-terminally truncated peptide RK-31 (Table [12.1\)](#page-2-0) enters the cytoplasm within 30 min. LL-25 can enter cells faster than RK-31. It seems that both the N-terminal helix and the central helix play a role in determining the peptide location on the cell perimeter since all the phenylalanines are important bacterial membrane anchors (Wang [2008\)](#page-24-9). In addition, these peptides can all induce lipid phase separation in fungal membranes.

12.6.5 Immune Modulating Peptides

Human LL-37 also plays an important role in regulating the immune response (Scott et al. [2002](#page-23-1); Choi et al. [2012;](#page-20-18) Kahlenberg and Kapplan [2013](#page-21-23)). This section highlights some differences between LL-37 and its derived peptides. The LPS neutralization property of LL-37 forms the basis for its use to treat sepsis. Arginines are important in this neutralization as citrullination of LL-37 makes it inactive in a sepsis mouse model (Koziel et al. [2014\)](#page-21-5). Using a designed peptide 17BIPHE2, it is shown that an alteration of R23 to ornithine slightly reduces LPS neutralization (Wang et al. [2018](#page-25-9)). In addition, synergistic LPS binding of LL-37 requires two domains (See Fig. [12.2](#page-6-0)). This explains the reduced LPS-binding ability of LL-37 peptides without the N-terminal domain, including the 24mer peptide P60 found by Nell et al. ([2006\)](#page-22-12) and IG-19 (Nan et al. [2012](#page-22-25)) (sequences in Table [12.1](#page-2-0)). Increasing basic/ hydrophobic amino acids and changing F17 and F27 to W, however, enhance the LPS-binding ability of IG-19. By binding to LPS, LL-37 suppresses the LPS binding to receptors such as CD14 and TLR-4, thereby reducing the apoptosis of liver endothelial cells (Suzuki et al., [2011\)](#page-24-23). In addition, LL-37 also plays a role in LPS clearance. In this process, LL-37 enhances LPS uptake by liver cells via endocytosis (Suzuki et al. [2016\)](#page-24-24).

Chemotaxis is a recognized role of LL-37. There is no correlation between immune modulation (e.g., IL-8 release from keratinocytes) and antimicrobial activity (Braff et al. [2005\)](#page-20-3). Nell et al. [\(2006\)](#page-22-12) also found that, although P60.4 has antimicrobial activity comparable to LL-37, it loses its chemotactic ability, while P60 and LL-37 are nearly equivalent in inducing neutrophil migration. Thus, an alteration of the follow-up sequence behind the central helix (Fig. [12.3\)](#page-8-0) regulates chemotaxis. Chemotaxis of LL-37 is important for host defense against pathogen invasion. As a counteracting strategy, fungi can cleave LL-37 using aspartic proteases. LL-25 is identified as an intermediate peptide that shows a lower chemotactic activity to neutrophils than LL-37, reducing the recruitment of neutrophils to the infection sites (Rapala-Kozik et al. [2015\)](#page-23-7).

Interestingly, LL-37 can also be cleaved into LL-23 in human skin (Murakami et al. [2004\)](#page-22-11). There is a clear difference between LL-37 and its N-terminal fragment LL-23 in immune regulation (Wang et al. [2012a](#page-25-10)). Immune modulation by LL-37 also plays a role in viral control. For example, LL-37 can inhibit the release of IL-8 from neutrophils induced by IAV (Tripathi et al. [2014\)](#page-24-25). Of note, the central peptide GI-20 is equally effective in reducing IL-8 (Tripathi et al. [2015a](#page-24-26)). LL-37 can also stimulate immune response by binding to nucleic acids. In this process, LL-37 forms oligomers and serves as a carrier. A comparison of LL-37 with its fragments reveals that both the N- and C-terminal regions are required in this process (Singh et al. [2014\)](#page-23-19). This sequence requirement agrees with the NMR study of free LL-37 at pH 7 that the entire region of LL-37 is involved in the oligomerization into tetramers (Wang [2017\)](#page-24-27).

It is interesting to note that there is also a sequence difference required for antimicrobial action and pathogen response. While FK-13 (Table [12.1\)](#page-2-0) is the minimal peptide to inhibit HIV-1, KR-12 (obtained by deleting the N-terminal F17 of FK-13) retains antibacterial activity against *E. coli* (Wang [2008\)](#page-24-9). Interestingly, RI-10 (Table [12.1](#page-2-0)), obtained by deleting one residue from the N- and C-termini of KR-12, lost antimicrobial activity (Wang [2008;](#page-24-9) He et al. [2018](#page-21-19)). However, RI-10 retains the minimal sequence information of LL-37 that triggers the bacterial two-component system. A direct interaction between LL-37 and the CSrRS receptor increases the virulence factor expression of group A streptococcus (GAS) (Velarde et al. [2014\)](#page-24-28). With the expansion in our investigation, other functional sequence motifs of human LL-37 may emerge, further enriching our understanding of this innate immune peptide.

Taken together, there are different sequence requirements for antimicrobial action and cell response from both the host and pathogen sides. Such sequence differences are determined by different molecular targets: usually bacterial membranes for antimicrobial activity but cell receptors for host immune stimulation and pathogen response.

12.6.6 Anticancer Peptides

Anticancer activity of AMPs was demonstrated rather early (Ohsaki et al. [1992](#page-22-26)). AMPs with anticancer activity are discussed elsewhere in this book. An updated list of anticancer peptides can be found in the APD (Wang et al. [2016\)](#page-25-3). Human cathelicidin LL-37 is linked to cancers in different manners. Its level is increased in ovarian, breast, and lung cancers, but LL-37 suppresses colon and gastric cancers (Wu et al. [2010b\)](#page-25-14). Whether and how LL-37 promotes cancer and metastasis deserves further studies. This section discusses anticancer activity of LL-37 as a basis for developing alternative cancer treatment approaches. Such methods can be important for cancers that are resistant to existing anticancer drugs. However, the poor cell selectivity between normal and malignant cells may make it challenging to put them into practical use directly (Ohsaki et al. [1992](#page-22-26); Li et al. [2006a;](#page-22-8) Mishra et al. [2018](#page-22-27)). Advanced engineering strategies discussed elsewhere may be helpful (Mishra et al. [2017b](#page-22-0)).

12.6.6.1 Colon Cancers

Human cathelicidin LL-37 is expressed strongly in normal colon mucosa but downregulated in colon cancer tissues. Kuroda et al. ([2012\)](#page-21-24) showed that LL-37 and a peptide analog FF/CAP18 suppresses colon cancer cell (HCT116) proliferation. FF/CAP18 corresponds to residues 5–32 of LL-37 with residues E16 and K25 changed to F. The peptide works by depolarization of the mitochondrial membrane independent of the p53 pathway. Ren et al. ([2012\)](#page-23-20) showed that treatment of colon cancer cells with LL-37 induces anionic phosphatidylserine (PS) exposure and DNA fragmentation, indicative of apoptosis. Previously, FK-16 is found to be the major antimicrobial and anticancer peptide of LL-37 (Li et al. [2006a\)](#page-22-8). It is interesting that FK-16 shows a similar anti-colon cancer effect independent of caspase activation (Ren et al. [2013](#page-23-21)). Mechanistically, FK-16 causes the upregulation of Bax and downregulation of Bcl-2 by activating p53. As an alternative anticancer strategy, Cheng et al. [\(2014](#page-20-19)) observed tumor size shrinking when cathelicidinexpressing adeno-associated virus was administered intravenously into HT-29-derived subcutaneous tumors in nude mice.

12.6.6.2 Gastric Cancer

Helicobacter pylori is linked with gastric cancers (Li and Perez Perez [2018\)](#page-22-28). Hase et al. [\(2003](#page-21-25)) noticed that the level of LL-37 in various types of gastric cancers is significantly reduced. During *Helicobacter pylori* infection, the level of LL-37/ hCAP-18 secreted into gastric juice is increased. This is understandable since the *H. pylori*induced expression of LL-37 exerts bactericidal effects. Wu et al. [\(2010a\)](#page-25-15) showed that LL-37 suppresses gastric cancer by increasing the tumorsuppressing bone morphogenetic protein signaling via inhibiting proteasome. Schauber et al. ([2004\)](#page-23-22) detected upregulation of LL-37 in both colonic and gastric cells when histonedeacetylase (HDAC) inhibitors (e.g., butyrate and trichostatin A) were administered. Induction of LL-37 appears to be promising also for treatment of colon cancer.

12.7 Concluding Remarks

Human cathelicidin LL-37 is an interesting moonlighting peptide with multiple functional roles and involvement in numerous diseases. The wide functions of this peptide provide a scientific basis for developing its potential applications. The high interest in the therapeutic potential of antimicrobial peptides originates from their potency against drug-resistant bacteria, RNA viruses, and cancer. The design of AMPs based on LL-37 starts from the identification of its active regions (Table [12.1](#page-2-0) and Fig. [12.3](#page-8-0)). Both library screen and structure-based approaches are utilized. It is also possible to combine the library screen with structure-based design (e.g., Wang et al. [2004](#page-24-6)). What we have learned to date from LL-37 peptide design can be summarized below:

- 1. Through multiple studies, the benefits of LL-37 engineering emerge. While the activity of full-length LL-37 is media dependent, the designer peptides such as GF-17 and 17BIPHE2 are not (Li et al. [2006a;](#page-22-8) Wang et al. [2014,](#page-25-6) [2018;](#page-25-9) Mishra et al. [2016](#page-22-19)). While LL-37 is poor in preformed biofilm disruption, both GF-17 and 17BIPHE2 work well (Mishra et al. [2016\)](#page-22-19). While LL-37 is inactive against a seasonal flu virus, GI-20 remains active (Tripathi et al. [2015a,](#page-24-26) [b](#page-24-22)). While the effect of LL-37 on cancer is controversial, FK-16 is anticancer and works superior to LL-37 (Li et al. [2006a](#page-22-8); Ren et al. [2013\)](#page-23-21). These examples underscore the medical significance of LL-37 fragments as well as peptide engineering.
- 2. There is a consensus that the antimicrobial action of LL-37 is achieved primarily via its central region. This central antimicrobial region (residues 13–32) becomes remarkably evident in the 3D structure of LL-37 (Fig. [12.2](#page-6-0)) because it is sandwiched between two punctuation signals of the LL-37 sequence: hydrophilic S9 (which splits the hydrophobic surface into two domains) and P33 (which ends the helical region) (Wang [2008\)](#page-24-9). Peptides KR-12, FK-13, GF-17, and

GI-20 are all derived from this region (Fig. [12.3](#page-8-0)). The sequence of IG-24 (Nell et al. [2006\)](#page-22-12), the template for SAAP-148, however, extends beyond this central region by including four residues from the nonmembrane-targeting C-terminal tail of LL-37.

- 3. An alteration of the amino acid composition affects the peptide activity spectrum. The wide-spectrum GF-17 (i.e., killing both Gram-positive and Gram-negative bacteria) has been converted to narrow-spectrum peptides that kill only either Gram-positive or Gram-negative bacteria. These results underscore the importance of basic amino acids for antimicrobial activity against Gramnegative bacteria and hydrophobic residues for killing Gram-positive pathogens, consistent with the database findings (Wang et al. [2018](#page-25-9)).
- 4. Consistent with the classic view, hydrophobic amino acids of LL-37 peptides are important for membrane anchoring. The protruding aromatic rings of F17 and F27 imply their interdigitating membranes. While all basic amino acids participate in lipid clustering (Epand et al. [2009](#page-21-12)), they are not equal. R23 of LL-37 is essential for pathogen recognition, LPS neutralization, membrane permeation, pathogen killing, and antibiofilm effects (Wang [2007](#page-24-8); Wang et al. [2012b](#page-25-8), [2017](#page-25-11), [2018](#page-25-9); He et al. [2018\)](#page-21-19). Even a lysinearginine positional swap can affect such properties of LL-37 peptides, revealing the evolutional significance of the native sequence (Wang et al. [2017](#page-25-11)). As an unwanted effect, such an interfacial arginine also contributes to hemolysis and an change to ornithine improves peptide selectivity (Wang et al. [2018](#page-25-9)).
- 5. To reduce peptide production cost, there has been a desire to identify the minimally active regions of LL-37. Interestingly, the shortest active peptide varies with biological activity (i.e., RI-10 for receptor binding, KR-12 against bacteria, FK-13 against HIV-1, and GF-17 against MRSA).
- 6. While NMR studies have accurately mapped the core antimicrobial region of LL-37 (Li et al. [2006a\)](#page-22-8), bacteria also counteract on this region. *S. aureus* can secrete aureolysin to cut this region of LL-37 (Sieprawska-Lupa et al. [2004](#page-23-6)). In addition, another Grampositive pathogen GAS can recognize RI-10 via the CsrRS receptor (Velarde et al. [2014](#page-24-28)) to increase the expression of virulence factors (Fig. [12.3](#page-8-0)). Such bacterial recognition regions shed new light on the significance of the core antimicrobial region of LL-37 as well as host-pathogen interactions at the molecular level.
- 7. While an increase of excessive basic amino acids can make the peptide more toxic (e.g., Jacob et al. [2013\)](#page-21-14), a general and classic wisdom to improve cell selectivity of peptides is to decrease hydrophobicity. Sequence mutation, deletion, and truncation are routinely utilized for this purpose. Partial incorporation of D-amino acids can alter peptide conformation and generate incoherent side chain packing, reducing hydrophobicity (Li et al. [2006a\)](#page-22-8). The incoherent packing of side chains leads to hydrophobic defects in the structure, the basis for cell selectivity. The importance of peptide hydrophobicity for targeting bacterial membranes sets a limit on the degree of hydrophobic reduction for cell selectivity.
- 8. Usually, peptides made of D-amino acids are more resistant to proteases (Boman [2003\)](#page-20-0). Protease-stable peptides can also be screened from a peptide library followed by structureguided peptide design to enhance activity against the ESKAPE pathogens. 17BIPHE2 can kill bacteria in the presence of host chymotrypsin, *S. aureus* V8 protease, or fungal proteinase K (Wang et al. [2014\)](#page-25-6).
- 9. Likewise, plasma binding to SAAP-148 has been minimized (de Breij et al. [2018](#page-20-7)). This is regarded as a main reason for the failure of AMPs in vivo. Whether this is a general requirement remains to be validated.
- 10. To date, the therapeutic use of LL-37 is limited to topical treatment due to poor bioavail-

ability, production cost, and potential cytotoxicity. The template for engineering 17BIPHE2 is obtained based on structure, whereas the template for SAAP-148 originates from peptide library screen (Wang et al. [2014a](#page-25-6); de Breij et al. [2018](#page-20-7)). Both peptides show effects in vitro and in vivo on resistant pathogens and biofilms.

- 11. It is preferred to prevent biofilm formation because preformed biofilms (e.g., *P. aeruginosa*) are notoriously difficult to get rid of. Combined treatment using 17BIPHE2 and antibiotics give better results. This practical approach not only potentiates the effect of traditional antibiotics but also reduces the peptide needed, reducing cost and potential cytotoxicity (Wang [2017](#page-24-27)).
- 12. Antimicrobial activity and immune modulation are the two faces of the same coin. These innate immune peptides use different molecular targets (e.g., bacterial membranes for antimicrobial effects and host receptors for signal transduction and immune modulation). In a catheter-associated mouse biofilm model, 17BIPHE2 is not only antibacterial but also chemotactic to monocytes (Wang et al. 2014). GF-17 can directly inactivate Zika virus. It also acts via the interferon pathway (He et al. [2018](#page-21-19)). Through peptide design, it is possible to retain antibacterial activity and tune host immune response (Nell et al. [2006\)](#page-22-12).

We anticipate that research interest in human cathelicidin will continue to expand, and new functions of LL-37 may be discovered. Both prevention and treatment strategies are under active development. To avoid infection, we anticipate continued research interest in covalent immobilization of AMPs on medical implants. The basis for this is that peptide injection or noncovalent coating of LL-37 peptides can reduce *S. aureus* infection (Wang et al. [2014a;](#page-25-6) de Breij et al. [2016](#page-20-6)). Efforts will also continue to find the optimal approach for LL-37 induction at a right time and location. In winter, sunlight and vitamin D supplement can be beneficial. Our results indicate the importance of early treatment. In addition, the anti-infective potential of LL-37-derived peptides may be expanded by combining them with traditional antibiotics or other approved simple compounds. As a milestone, topical treatment of infections using LL-37-derived peptides has been demonstrated in animal models (e.g., Wang et al. [2014a](#page-25-6); de Breij et al. [2018\)](#page-20-7), and OP-145 was used to treat chronic otitis media in a clinical phase 2 trial in 2009 (de Breij et al. [2018](#page-20-7)). These achievements will reignite the hope to develop the peptide into a new systemic antibiotic, the holy grail of future LL-37 engineering.

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