Chapter 2 Raman Spectroscopy of Isotropic Two-Dimensional Materials Beyond Graphene

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In this chapter, we will focus on the isotropic (or rather less anisotropic) twodimensional layered materials, including the layered transition metal dichalcogenides, the topologically insulating $Bi₂X₃$ (X = Se, Te) etc.

The layered transition metal dichalcogenides (TMDs), such as $MoS₂$ and WSe₂, have been attracting lots of interests in the past decade, because of their unique properties and potential applications $[1–5]$ $[1–5]$. Monolayer TMDs are excellent candidates for the study of valley pseudospin [\[5\]](#page-18-1). Valley polarization $[6-8]$ $[6-8]$ and valley Hall effect [\[9\]](#page-18-4) have both been observed and reported. Later, electrical control of valley polarization and valley Hall effect has been realized as well [\[10,](#page-18-5) [11\]](#page-18-6), which increases the possibility of potential applications in valleytronics. The observation of valley polarization on spectroscopy, to some extent, benefits from the strong photoluminescence in monolayer. The bulk TMD crystals are indirect-gap semiconductors. As thickness decreases, there is an indirect-to-direct gap transition.

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Fig. 2.1 Structural polytypism in MX₂ (M = Mo, W; X = S, Se, Te). (a) Trilayer (3 L) MX₂ $(M = Mo, W; X = S, Se)$ with 1T, 2H, and 3R stacking configurations. (Reproduced with permission from Ref. [\[14\]](#page-19-0), © Tsinghua University Press and Springer-Verlag Berlin Heidelberg 2016). (**b**) Comparison of 2H, 1T, and 1T' structures of monolayer MoTe2. (Reproduced with permission from Ref. [\[15\]](#page-19-1), © American Association for the Advancement of Science 2014)

Monolayer TMDs possess a direct gap, which gives an emerging photoluminescence [\[12,](#page-19-2) [13\]](#page-19-3).

Among all the TMDs, the semiconducting group VI ones $(MX_2, M = Mo, W;$ $X = S$, Se, Te) are widely studied, especially the 2H phase MoS₂ [\[6](#page-18-2)[–13,](#page-19-3) [16,](#page-19-4) [17\]](#page-19-5). Besides the stable 2H stacking, 1T and 3R phases are also common in the S- and Se-based MX_2 (M = Mo, W; X = S, Se) [\[1,](#page-18-0) [18\]](#page-19-6). We note that the monolayer counterparts of 2H and 3R phases are the same, also referred to as 1H. The spatial inversion symmetry is broken in the 1H stacking, but preserved in the 1T structure, as shown in Fig. [2.1a.](#page-1-0) The difference between 2H and 3R starts from the bilayer (2 L). Besides the layer shift, which also occurs in the 3R phase, the *N*th layer of the 2H stacking is rotated by 180◦ with respect to the (*N*−*1*)th layer. The 3R stacking is noncentrosymmetric from monolayer (1L) to the bulk, giving rise to the valley polarization regardless of the thickness [\[19\]](#page-19-7). In contrast, the 2H stacking with an even number of layers possesses an inversion symmetry point, while that with an odd number of layers is noncentrosymmetric. In Te-based TMDs $(MTe₂,$ $M = Mo, W$), 1T' phase is also thermodynamically stable, as the large size of the telluride atom distorts the structure $[15, 20]$ $[15, 20]$ $[15, 20]$. The Raman spectra of MoTe₂ have shown dependence on excitation lasers [\[21–](#page-19-9)[23\]](#page-19-10), which will be discussed in Chap. [5.](http://dx.doi.org/10.1007/978-981-13-1828-3_5) On the other hand, the phonon properties in $WTe₂$ are more complicated than other compounds [\[24,](#page-19-11) [25\]](#page-19-12). Here we will focus on the S- and Se-based TMDs.

Each layer of 2H-MX₂ ($M = Mo$, W; $X = S$, Se) consists of X–M–X tri-atomic planes, with the plane of Mo/W atoms sandwiched in two hexagonal planes of chalcogenide atoms. The primitive unit cell of bulk 2H-MX2 has two layers, *i.e*., 6 inequivalent atoms. Thus, there are 18 Brillouin zone center (Γ) phonons (3 $*$ number of atoms), with 15 optical phonons and 3 acoustic phonons. The irreducible representation of the zone center phonons in bulk $2H-MX_2$ (M = Mo, W; X = S, Se) is $\Gamma = A_{1g} + 2A_{2u} + B_{1u} + 2B_{2g} + E_{1g} + 2E_{1u} + E_{2u} + 2E_{2g}$. As the thickness decreases from bulk to few- and mono-layer, we have to consider the difference in

Fig. 2.2 (**a** and **b**) Normal displacements of vibrational modes for 1 L (**a**) and 2 L & bulk (**b**) 2H-MX₂ (M = Mo, W; X = S, Se). (Reproduced with permission from Ref. [\[26\]](#page-19-13), \odot American Chemical Society 2013). (**c** and **d**) Phonon dispersion curves of single-layer (**c**) and bulk $MoS₂$ (**d**). Points in (**d**) are experimental data extracted from Ref. [\[27\]](#page-19-14). (Reproduced with permission from Ref. [\[28\]](#page-19-15), © American Physical Society 2011)

symmetry between films with even and odd number of layers (even *N* and odd *N*). The irreducible representations in odd *N* and even *N* are $\Gamma = \frac{3N-1}{2} (A'_1 + E'') +$ $\frac{3N+1}{2}(A''_2 + E')$ ($N = 1, 3, 5...$) and $\Gamma = \frac{3N}{2}(A_{1g} + A_{2u} + E_g + E_u)$ ($N = 2, 4, 6$ 6 *...*), respectively. Here *E* denotes the doubly degenerate in-plane modes, while *A* and *B* represent the out-of-plane vibration modes. Among these modes, only A_{1g} , E_{1g} , and E_{2g} are Raman-active. Note that the A_{1g} mode for bulk 2H-MX₂ (\sim 408 cm⁻¹ for MoS₂) is A_1^{\dagger} in 1L due to the change of symmetry, though the corresponding mode is still *A*1*^g* in 2L. Modes that are neither Raman active nor infrared (IR) active are called the silent modes, such as B_{2g} mode in bulk MoS_2 . The normal mode displacements for 1L, 2L, and bulk $2H-MX_2$ (M = Mo, W; X = S, Se) are shown in Fig. [2.2a, b.](#page-2-0)

In the following, we will take $2H-MoS₂$ as an example to further discuss the phonon modes. The calculated phonon dispersion curves for 1L and bulk 2H- $MoS₂$ are displayed in Fig. [2.2c, d.](#page-2-0) There are 3 acoustic branches in 1L: the in-plane longitudinal acoustic (LA), the transverse acoustic (TA), and the out-ofplane acoustic (ZA) modes. The 6 high frequency optical branches are separated from the acoustic branches by a gap of ~ 50 cm⁻¹ for MoS₂ [\[28\]](#page-19-15). The optical phonon dispersion curves for bulk are similar to those for $1L \text{ MoS}_2$, as a result of the weak van der Waals interlayer interactions. Meanwhile, we can observe the Davydov splitting of optical modes from Γ point in Fig. [2.2d,](#page-2-0) *e.g.* the mode at \sim 384 cm⁻¹ (with symmetry of E_{2g}^1 in bulk) and the mode at \sim 385.5 cm⁻¹ (with symmetry of E_{1u} in bulk). Davydov splitting occurs when there is more than one

interacting layer from the system [\[29–](#page-19-16)[31\]](#page-19-17). The difference within the Davydov pair, E_{2g}^1/E_{1u} , lies in the displacement between the adjacent sulfur atoms. The sulfur atoms of different layers move in opposite direction for E_{2g}^1 mode, while sulfur atoms of neighbouring sheets are moving in phase for E_{1u} mode. Due to the weak interlayer coupling, the frequency difference between the two modes is ought to be small, but the out-of-phase displacement for E_{2g}^1 mode is supposed to slightly increase the frequency, compared to that for E_{1u} mode. The acoustic branches for bulk, which are related to interlayer interaction, differ from those in 1L. Chap. [9](http://dx.doi.org/10.1007/978-981-13-1828-3_9) will discuss the interlayer shear and breathing modes in detail.

Raman spectra of bulk $MoS₂$ were collected at different excitation wavelengths, shown in Fig. [2.3a.](#page-4-0) Only two Raman-active modes $(E_{2g}^1$ and $A_{1g})$ can be seen with 488 nm and 532 nm excitations. Many additional modes, mostly overtones and combinations, appear in the Raman spectra when 594 nm (2.09 eV) and 633 nm (1.96 eV) lasers are used. Resonant Raman spectra of MX_2 (M = Mo, W; X = S, Se, Te) will be further discussed in Chap. [5.](http://dx.doi.org/10.1007/978-981-13-1828-3_5) Here we focus on the E_{2g}^1 and A_{1g} modes.

The Raman tensors for E_{2g}^1 and A_{1g} modes in bulk 2H-MX₂ (M = Mo, W; X = S, Se) can be written as:

$$
A_{1g}:\begin{pmatrix} a & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & b \end{pmatrix}, E_{2g}:\begin{pmatrix} b & 0 & 0 \\ 0 & -b & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & b & 0 \\ b & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.
$$

Correspondingly, A_{1g} mode has A'_{1} symmetry in odd *N*, while it is still A_{1g} in even *N*. E_{2g} mode has *E*["] symmetry and E_g symmetry in odd *N* and even *N*, respectively. Polarization dependent Raman spectra can distinguish the in-plane *E* mode and the out-of-plane *A* mode. As shown in Fig. [2.3b, c,](#page-4-0) *A*1*^g* mode is suppressed under the cross-polarized configuration; In contrast, E_{2g}^1 mode appears under both cross- and parallel-polarized configurations. Reason for the difference is related to the quantity $e_i \cdot \tilde{R} \cdot e_s$, where e_i is the polarization vector of the incident light, e_s is that of the scattered light and \overline{R} is the Raman tensor. $e_i \cdot \overline{R} \cdot e_s$ is given by:

$$
e_i \cdot \tilde{R} \cdot e_s = (x \ y \ z) \begin{pmatrix} \alpha_{xx} \ \alpha_{xy} \ \alpha_{xz} \\ \alpha_{yx} \ \alpha_{yy} \ \alpha_{yz} \\ \alpha_{zx} \ \alpha_{zy} \ \alpha_{zz} \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}
$$

For the parallel-polarization $\overline{z}(xx)z$, $y = z = 0$, and x is non-zero; For the crosspolarization $\overline{z}(xy)z$, the incident light is polarized along x direction, while the outgoing scattering light is polarized along *y* direction. Take the Raman tensor of A_{1g} mode into calculation. It shows a nonzero value when it is $\overline{z}(xx)z$ configuration. However, the calculational result is zero when $\overline{z}(xy)z$ configuration is applied. Therefore, the intensity of A_{1g} mode at ~408 cm⁻¹ depends on the polarization of excitation light.

Layer-dependent Raman spectra of $MoS₂$ is displayed in Fig. [2.3d.](#page-4-0) As thickness increases, A_{1g} mode shifts to higher frequency (blue shift, stiffening), and E_{2g}^1 mode

Fig. 2.3 (a) Raman spectra of bulk $MoS₂$ crystal under different excitation wavelengths at room temperature. (Reproduced with permission from Ref. [\[38\]](#page-20-0), © AIP Publishing LLC 2014). (**b**) Raman spectra of few-layer and bulk $MoS₂$ films (excitation laser: 514.5 nm). The solid line for the 2 L spectrum is a double Voigt fit through data (circles for 2L, solid lines for the rest). (**c**) Frequencies of E_{2g}^1 and A_{1g} Raman modes (left vertical axis) and their difference (right vertical axis) as a function of layer thickness. (Reproduced with permission from Ref. $[32]$, \odot American Chemical Society 2010)

is shifted towards lower frequency (red shift, softening) $[32-35]$ $[32-35]$. The frequency difference is shown in Fig. [2.3e.](#page-4-0) In general, the difference increases in thicker sample, and it is around 19 cm⁻¹ for the mechanically exfoliated monolayer MoS₂ [\[32\]](#page-19-18). While $MoS₂$ is not an exception, similar results have also been found in WS₂, WSe₂, and MoSe₂ [\[18,](#page-19-6) [36,](#page-20-2) [37\]](#page-20-3). The frequency difference between E_{2g}^1 and A_{1g} modes provides a novel and rapid way in the identification of layer number in thin film (<6L) TMDs. However, the opposite thickness evolutional trend between E_{2g}^1 and *A*1*^g* modes deserves further investigation and discussion.

The phonon dispersion curves in Fig. [2.2c, d](#page-2-0) show that the A_{1g} mode is softened away from the Γ point, but the E_{2g}^1 mode is dispersionless. Therefore, a change

in the frequency of the E_{2g}^1 mode cannot be explained by the Richter-Wang-Ley (RWL) theory [\[39\]](#page-20-4), which was widely used in explaining the size dependence of the asymmetric broadening and frequency shift in nanomaterials $[39-42]$ $[39-42]$. In MoS₂, the relative displacements of sulfur atoms from adjacent layers are out-of-phase, as shown in Fig. [2.2b.](#page-2-0) According to the intuitive harmonic oscillator model, the frequencies of both E_{2g}^1 and A_{1g} modes should be blue-shifted when additional layers are added, owing to the larger accumulated restoring force. This effect will be referred to "the thickness effect". The frequency-shift for the *A*1*^g* mode is consistent with the thickness effect. On the other hand, the opposite frequency trend for the E_{2g}^1 mode is not consistent with the thickness effect.

The differences in lattice constant and interatomic distances as thickness decreases might be a possible reason for the anomalous shift of E_{2g}^1 mode, but it has been ruled out by Molina-Sanchez et al. [\[28\]](#page-19-15). In the Raman community, it was widely believed that the anomalous shift of the E_{2g}^1 mode in 2H-MoS₂ is related to a thickness dependence of the dielectric screening, a conclusion based on early calculations on dielectric screening by Molina-Sanchez et al. [\[28\]](#page-19-15). However, Lin et al. later showed experimentally that the frequencies of the E_{2g}^1 and A_{1g} modes have negligible dependence on the dielectric constant of the environment [\[43\]](#page-20-6), consistent with Ref. [\[33\]](#page-19-19), as well as a recent review by Molina-Sanchez et al*.* [\[44\]](#page-20-7). Here we think that it is the effect of larger M-X ($M = Mo$, W; $X = S$, Se) force at the surface of the thin film that makes a difference, which contributes to the anomalous red shift of E_{2g}^1 mode with increasing thickness. Details on surface effect will be discussed in Chap. [7.](http://dx.doi.org/10.1007/978-981-13-1828-3_7)

Besides the 2H-stacked MX_2 (M = Mo, W; X = S, Se), it will also be interesting to investigate how stacking order affects the phonon properties. As 1T phase is metastable, most previous studies just focus on the 2H and 3R stacking configurations in MX_2 (M = Mo, W; X = S, Se). It is shown that in MoS₂, MoSe₂, and $WSe₂$, the intalayer vibrational modes do not show observable dependent on stacking orders; while the low frequency interlayer shear modes are very sensitive to the phase difference [\[18,](#page-19-6) [45](#page-20-8)[–47\]](#page-20-9). Similarly, polytypism in the isotropic layered material ReS_2 shows distinguishable spectral features on the low-frequency Raman spectra, but there is not much difference on the intralayer vibrations [\[48,](#page-20-10) [49\]](#page-20-11). Stacking-dependent low-frequency interlayer modes will be further discussed in Chap. [9.](http://dx.doi.org/10.1007/978-981-13-1828-3_9)

However, MoTe₂, different from the S- and Se-based TMDs, demonstrates stacking-dependent intralayer vibrational modes [\[20,](#page-19-8) [50\]](#page-20-12), as shown in Fig. [2.4.](#page-6-0) Take the intralayer vibration A_{1g} mode as an example. It locates at 162–164 cm⁻¹ for the 1T' phase, and 170–172 cm⁻¹ for the 2H stacking. As a comparison, the high frequency A_{1g} mode has the same energy in 2H- and 3R- stacked MoS₂. It red-shifts by 4 cm⁻¹ in the 1T phase [\[51\]](#page-20-13). As we have discussed in Fig. [2.1,](#page-1-0) the monolayer counterparts of 2H and 3R phases are the same, and the difference between the two phases lies in the interlayer stacking and rotation, which hardly affects the intralayer *A*1*^g* mode. Compare 1T and 2H monolayers; we can see that one of the S atoms has $180°$ rotation (Fig. [2.1a\)](#page-1-0), which would certainly influence the Mo-S force and shift

Fig. 2.4 (a) Layer-dependent Raman signals for $1T$ -MoTe₂. The normal displacements of vibrational modes are illustrated in the insets. (b) Peak positions in $1T'$ -MoTe₂ with various numbers of layers. (c) Layer-dependent Raman signals for 2H-MoTe₂. The normal displacements of vibrational modes are illustrated in the insets. (**d**) Peak positions in 2H-MoTe2 with various numbers of layers. (Reproduced with permission from Ref. [\[20\]](#page-19-8), © Nature Publishing Group 2015)

the energy of A_{1g} mode. Besides having one chalcogenide atom rotated, 1T' MoTe₂ possesses a distorted structure. The combined effects render the energy difference between 2H and 1T' phases to reach almost 10 cm⁻¹ in A_{1g} mode.

In addition to thickness and stacking, the phonon properties of TMDs can also be influenced by the excitation laser [\[52,](#page-20-14) [53\]](#page-21-0), strain [\[54\]](#page-21-1), doping [\[55\]](#page-21-2), and temperature [\[56](#page-21-3)[–59\]](#page-21-4). Temperature-dependent Raman scattering can be used to estimate the thermal conductivity in MoS_2 , thanks to the strong Raman intensities of E_{2g}^1 and *A*1*^g* modes [\[56–](#page-21-3)[59\]](#page-21-4). Similarly, Raman spectroscopy has been used to measure the thermal conductivity in graphene $[60]$, while it is not suitable for BN due to the weak Raman signal.

A moderate power of laser is indispensable for the accurate estimation of thermal conductivity, as laser spot can act as a heat source and cause local heating [\[56](#page-21-3)[–59,](#page-21-4) [61\]](#page-21-6). The measurements on monolayer, few-layer, and bulk $MoS₂$ have been conducted by different groups [\[56–](#page-21-3)[59\]](#page-21-4), using both suspended and (silicon-, sapphire-, glass-) supported samples. Most groups observed linear softening of

Fig. 2.5 (a) Four example Raman spectra of suspended, monolayer MoS₂ collected at 100, 180, 260, and 320 K. Spectra offset vertically for clarity. (**b**) Raman peak frequencies of both *A*1*^g* (squares) and E_{2g}^1 (circles) modes as a function of temperature. Fit lines and resulting linear temperature coefficients χ_T are shown. (Reproduced with permission from Ref. [\[56\]](#page-21-3), \odot American Chemical Society 2014)

 E_{2g} and A_{1g} modes as temperature increases, and the change of frequencies are within (1.1–1.7) × 10⁻² cm⁻¹ K⁻¹ regardless of thickness and substrate. The temperature-dependent Raman shift is mainly attributed to the thermal expansion (volume contribution) and the anharmonic contributions to the ionic interaction potential in the two-dimensional system. It is worth noting that the expansion of (substrate-supported) $MoS₂$ lattice due to increased temperature sometimes is hindered by the cooler substrate. Strain would appear and influence the in-plane E_{2g}^1 mode, while A_{1g} mode is less sensitive to strain [\[54,](#page-21-1) [62,](#page-21-7) [63\]](#page-21-8). Thus it will be more accurate to use A_{1g} mode to obtain thermal conductivity. Figure [2.5](#page-7-0) shows an example of temperature-dependent Raman shift in monolayer $MoS₂$. In general, nonlinear coefficients are not considered, given that they are only supposed to impact at higher temperatures [\[57,](#page-21-9) [60\]](#page-21-5). However, two groups have observed the nonlinear temperature-dependent Raman shift at low temperature (<100 K) from $MoS₂$ [\[59\]](#page-21-4) and WS₂ [\[64\]](#page-21-10). Taube et al. believe the nonlinearity is related with the optical phonon decay and lattice potential anharmonicity in the monolayer samples [\[59\]](#page-21-4). On the other hand, Yan et al. observed the nonlinearity from powerdependent Raman scattering measurements at room temperature. They consider that the appearance of nonlinear effects results either from the nonlinearity of absorption or higher orders of the temperature-dependent coefficients [\[56\]](#page-21-3). Combing the linear temperature (Fig. [2.5\)](#page-7-0) and power-dependent coefficients of the A_{1g} mode, Yan et al. extracted the thermal conductivity $\kappa = (34.5 \pm 4)$ W mK⁻² from suspended monolayer $MoS₂$ [\[56\]](#page-21-3), which is in accordance with theoretical prediction [\[65\]](#page-21-11).

The new class of quantum matter topological insulators (TIs) have been in the research highlight during the past decade. The bulk phases of TIs are ordinary insulators but their surface states are gapless with nondegenerate spins [\[66–](#page-21-12)[68\]](#page-21-13). Many of the TIs are layered materials, including bismuth chalcogenides $Bi₂X₃$ $(X = Se, Te)$ and 2D HgTe/CdTe quantum wells. Among all, $Bi₂Te₃$, $Bi₂Se₃$, and

Sb₂Te₃ are the most widely studied [\[69\]](#page-21-14). Here we will focus on Bi₂X₃ (X = Se, Te) in this chapter.

Compared to TMDs, graphene, and phosphorene which are relatively simple in terms of crystal structure, the rhombohedral Bi_2X_3 (X = Se, Te) has five atomic planes within a single layer. Thus, one layer $Bi₂X₃$ (X = Se, Te) can also be called one quintuple layer (1 QL) $[69, 70]$ $[69, 70]$ $[69, 70]$. Here we take $Bi₂Se₃$ as an example. In fact, $Bi₂Se₃$ has been known for its large thermoelectric effect before the topological surface states have attracted lots of interests $[71]$. Layered Bi₂Se₃ (with crystal symmetry $R\overline{3}m$) has a rhombohedral crystal structure belonging to the space group D_{3d}^5 . The unit cell consists of five atomic layers, Se-Bi-Se-Bi-Se. The hexagonal close-packed Se-Bi-Se-Bi-Se atomic layers periodically arranged along the *c* axis, as shown in Fig. [2.6a](#page-9-0) [\[72\]](#page-21-17). Similar to other layered materials, the weakly bound van der Waals forces connect different QLs, but the van der Waals interaction in Bi_2Se_3 has a slightly covalent nature.

There are 15 dynamical modes at the center of Brillouin zone, among which 12 are the optical modes and other 3 are the acoustic modes. The irreducible representations of the zone center phonons in bulk Bi_2Se_3 is $\Gamma = 3E_u + 3A_{2u} + 2E_g + 2A_{1g}$. A_{1g} and E_g are Raman-active, while E_u and A_{2u} are Raman-inactive but infraredactive. As thickness reduces from bulk to few- and mono-layer, the irreducible representations in odd and even number of layer are $\Gamma = \frac{5N-1}{2} (A_{1g} + E_g) +$ $\frac{5N+1}{2}$ $(A_{2u} + E_u)$ $(N = 1, 3, 5...$) and $\Gamma = \frac{5N}{2}$ $(A_{1g} + A_{2u} + E_g + E_u)$ $(N = 2, 1)$ 4, $6...$), respectively. Phonon dispersion curves of $Bi₂Se₃$ along the Γ -Z (where Z corresponds to $(0, 0, 3\pi/c)$ in reciprocal space) direction of the Brillouin zone is shown in Fig. [2.5b.](#page-7-0) Only E_g^2 mode exhibits a dispersionless trend among the four Raman-active modes. A_{1g}^1 , A_{1g}^2 , and E_g^1 all red-shift from Γ to Z. The corresponding vibration patterns of the Raman-active modes are displayed in Fig. [2.6c.](#page-9-0) According to the outlined normal modes, we remark that the A_{1g}^1 mode at ~72 cm⁻¹ is supposed to very sensitive to thickness because it reflects the out-of-plane vibrations of the Se and Bi atoms, and the interlayer van der Waals interactions influence the effective restoring forces acting on these atoms. Detailed discussion will be presented later in this chapter.

Figure [2.7a](#page-10-0) displays the Stokes and anti-Stokes Raman spectra taken from few-QL and bulk Bi_2Se_3 crystals. A_{1g}^1 , A_{1g}^2 , and E_g^1 modes red-shift with decreasing thickness, while there is almost no change in the energy of E_g^2 mode. As a further comparison, zoom-in views of A_{1g}^1 and E_g^2 modes are shown in Fig. [2.7b, c,](#page-10-0) respectively. The slight red-shift in Fig. $2.7\degree$ is probably due to systematic error. In contrast, the red-shift is more pronounced in the A_{1g}^1 mode (Fig. [2.7b\)](#page-10-0). This observation is in good agreement with the phonon dispersion curve shown in Fig. [2.6b,](#page-9-0) and it also suggests that the phonon confinement effect may take a critical role in determining the frequency of phonon modes when thickness decreases.

The phonon confinement effect is a type of quantum size effect that occurs when the phonon wave function is confined into a small volume with one or more dimensions of the same magnitude as its wavelength. In Raman spectroscopy, the direct sign of this effect is an asymmetrically broadened Raman peak which shifts

Fig. 2.6 (a) Crystalline structure $(R\overline{3}m)$ of Bi₂Se₃. The lattice vectors and the quintuple layer of the rhombohedral unit cell are indicated. (**b**) Phonon dispersion curves of Bi₂Se₃ along the Γ-Z direction of the Brillion zone. (**c**) The vibrational normal modes (only Raman active) of a quintuple layer $Bi₂Se₃$. (Reproduced with permission from Ref. [\[72\]](#page-21-17), © American Chemical Society 2011)

to lower frequency in nanomaterials. In 1981, Richter et al*.* proposed a model to explain this effect in microcrystalline silicon (RWL theory) [\[39\]](#page-20-4). Later, the RWL theory was applied to explain the nanowire diameter dependence of the asymmetric broadening and frequency shift of the one phonon band in Si nanowires observed at [∼]520 cm−¹ [\[40](#page-20-15)[–42\]](#page-20-5). Confinement effect on phonons has also been observed in nanoparticles [\[73,](#page-21-18) [74\]](#page-22-0).

The RWL theory [\[39\]](#page-20-4) can be understood from the Heisenberg uncertainty principle. Take Bi₂Se₃ as an example. Following the relation $\Delta p \cdot \Delta x \ge \hbar/2$ with $\Delta p = \hbar \cdot \Delta k$ and Δx is equal to the crystal size or thickness Δd . The uncertainty of the phonon momentum (Δp) increases with decreased thickness Δd . It is an indication that phonon modes with finite non-zero momentum can be involved in the Raman scattering for small delta *x*. Based on this principle, we can obtain the

Fig. 2.7 (a) Stokes and anti-Stokes Raman shifts of Bi₂Se₃ nanoplatelets for different thicknesses excited by a 632.8 nm laser. (**b** and **c**) Zoom-in view of the A_{1g}^1 (**b**) and E_g^2 (**c**) modes for various thicknesses of QLs excited by 532 nm (left panel) and 632.8 nm (right panel) lasers. (Reproduced with permission from Ref. [\[72\]](#page-21-17), © American Chemical Society 2011)

frequency shift $\Delta \omega$ once we know the corresponding phonon dispersion $\omega(k)$. This explains why the frequency of E_g^2 mode is insensitive to thickness, while the A_{1g}^1 mode softens with decreasing thickness. The phonon confinement effect has also been suggested to explain the shifts of A_{1g}^1 and A_{1g}^2 modes in Bi₂Te₃ [\[75\]](#page-22-1).

We also note that the A_{1g}^1 mode of Bi₂Se₃ exhibits distinct asymmetry towards higher frequency, which is different from that described in the RWL theory. Such asymmetry towards the higher frequency has been well captured by the Breit-Wigner-Fano (BWF) lineshape as investigated by Zhang et al. in wet chemistry synthesized $Bi₂Se₃$ nanoplates [\[72\]](#page-21-17). The BWF Raman lineshape originates from the quantum interference between a discrete phonon state and a continuum of electronic states. The constructive or destructive interferences at different frequencies result in the frequency and lineshape renormalization of a Lorentzian Raman peak:

$$
I(\omega) = A \cdot \frac{[q + 2(\omega - \omega_0)/W]^2}{1 + [4(\omega - \omega_0)/W]^2}
$$
 (2.1)

where A , ω_0 , W, and q are the peak intensity, frequency, linewidth, and asymmetry parameter, respectively. |1/*q*| is often used to depict the strength of electron-phonon coupling, and when |1/*q*| approaches zero, the above equation is reduced to a Lorentzian lineshape.

The asymmetry is more distinct in thinner nanoplates, as shown by the clear contrast between 2 QL and 7 QL (Fig. [2.8\)](#page-11-0). The thickness-dependent asymmetry is shown in Fig. [2.8c,](#page-11-0) where the electron phonon coupling strength 1/*q* monotonically increases with decreasing thickness [\[76\]](#page-22-2). The detailed information on the continuum states that are coupled with the A_{1g}^1 mode and the thickness-dependent coupling strength are unclear yet and require further investigations. Similar reports on

Fig. 2.8 (**a** and **b**) Fano fitting in 2 QL (**a**) and 7 QL (**b**) Bi_2Se_3 . (**c**) The asymmetric parameter |*1/q*| versus crystal thickness. (Reproduced with permission from Ref [\[14\]](#page-19-0), © Tsinghua University Press and Springer-Verlag Berlin Heidelberg 2016)

the strong electron-phonon interactions in Bi_2Se_3 have also been found through other methods such as infrared reflection and transmission [\[77,](#page-22-3) [78\]](#page-22-4), angle-resolved photoemission spectroscopy [\[79\]](#page-22-5), and electron transport measurements [\[80\]](#page-22-6).

It will also be interesting to explore the alloy layered materials on Raman spectroscopy. Figure [2.9](#page-12-0) shows the Raman spectra of alloy nanoplates $Bi_2Te_3 = _xSe_x$ (different anion) and $Bi_xSb_2 = xTe_3$ (different cation). Figure [2.8b](#page-11-0) displays the extracted phonon frequencies versus Se composition for $Bi_2Te_3 = _xSe_x$. In general, the phonon modes should blue-shift with increasing concentration of Se, as Se is lighter than Te. Compared to A_{1g}^2 and E_g^1 modes which locate at higher frequency, the lower energy peaks A_{1g}^1 and E_g^2 are less sensitive to Se doping because both modes arises due to the in-phase vibrations of Bi−Te/Se. From the schematic in Fig. [2.6c,](#page-9-0) it is clear that the central Te/Se atoms are the center of mass of the Raman active vibrations. Consequently, for small doping of Se atoms, which preferentially replaces the central Te atoms, only a slight shifting in the Raman modes is anticipated for $Bi_2Te_{2.9}Se_{0.1}$, $Bi_2Te_{2.84}Se_{0.16}$, and $Bi_2Te_{2.7}Se_{0.3}$ [\[71\]](#page-21-16). Likewise, A_{1g}^2 mode is the most sensitive to cation (Bi) doping, since A_{1g}^2 mode involves the Bi/Sb-Te out-of-phase vibrations along the *c* axis.

There also are plenty of studies on phonon modes in TMD alloy [\[82–](#page-22-7)[89\]](#page-22-8), with samples including either cation or anion doping such as $Mo_{1-x}W_{x}Se_{2}$ and $MoS_{2(1-x)}Se_{2x}$. Figure [2.10](#page-13-0) demonstrates an example on monolayer and bilayer $Mo_{1-x}W_xSe_2$ alloy. As the Tungsten (W) composition *x* increases from 0 to 1, the MoSe₂-like E_{2g}^1 shifts to lower frequency (E_{2g}^1 mode locates at 287 cm⁻¹ and \sim 250 cm⁻¹ in monolayer MoSe₂ and WSe₂, respectively [\[90,](#page-22-9) [91\]](#page-23-0)) and the A_{1g} mode continuously shifts from 240.1 cm⁻¹ to 249.3 cm⁻¹ in the monolayer

Fig. 2.9 (a) Raman spectra of pure and doped $\text{Bi}_2\text{Te}_3 - {}_{\text{x}}\text{Se}_3$ nanoplates. (**b**) Phonon frequencies versus Se composition. (Reproduced with permission from Ref. [\[71\]](#page-21-16), © American Chemical Society 2012). (c) Raman spectra of $Bi_xSb_2 - xTe_3$ nanoplatelets with different Bi composition. The nanoplatelets are deposited on SiO2/Si substrate. (**d**) Phonon frequencies plotted versus the composition parameter x of $Bi_xSb_2 = xTe_3$. (Reproduced with permission from Ref. [\[81\]](#page-22-10), © ScienceDirect 2015)

alloy (A_{1g} mode locates at 241 cm⁻¹ and ~250 cm⁻¹ in monolayer MoSe₂ and WSe₂, respectively [\[90,](#page-22-9) [91\]](#page-23-0)), shown in Fig. [2.10a, b.](#page-13-0) In addition, the second order Raman peaks also shift and showed two-mode behaviors as the composition of

Fig. 2.10 (**a**) Raman spectra of $Mo_{1-x}W_xSe_2$ monolayers with different W composition x in the range 80–480 cm⁻¹. (**b**) Composition-dependent Raman frequencies of Mo_{1 – x}W_xSe₂ monolayer alloys. (c) Raman spectra of Mo_{1 − x}W_xSe₂ bilayers with different W composition x. (**d**) Composition-dependent Raman frequencies of Mo_{1 – x}W_xSe₂ bilayer alloys. All the spectra are calibrated with the 520 cm−¹ Raman peak from the Si substrate. The black dashed lines in (**a**) and (**c**), guides for the eye, show the frequency shift of the first-order Raman modes with different W compositions x. For clarity, the intensity of spectra in the range 220–270 cm−¹ is magnified by a factor labelled on the corresponding spectra. The excitation laser wavelength was 514.5 nm. (Reproduced with permission from Ref. [\[82\]](#page-22-7), © American Chemical Society 2015)

W changes; that is, there are two branches of $E_{2g}^1 + LA(M)$ mode: one branch is related to WSe₂ (at ~373.6 cm⁻¹ in WSe₂) and the other branch is from MoSe₂ (at∼432.7 cm−¹ in MoSe2). Similar phenomena can be observed in the bilayer alloy as well (Fig. [2.10c, d\)](#page-13-0). The B_{2g}^1 mode, which is optical inactive in bulk and Raman inactive in monolayer (with symmetry of *A* ²), is Raman active in bilayer with symmetry of A_{1g} due to lower symmetry in few-layers [\[91\]](#page-23-0). When the W composition increases, the MoSe₂-like B_{2g}^1 mode, which can be observed for *x* in the range 0–0.81, shifts to lower frequency. While the WSe₂-like B_{2g}^1 mode can be

observed only for the last two composition alloys ($x = 0.94$ and 1.00). The B_{2g}^1 mode is at 352 cm⁻¹ and 311 cm⁻¹ in bilayer MoSe₂ and WSe₂, respectively [\[90,](#page-22-9) [91\]](#page-23-0).

The modified random element isodisplacement (MREI) model [\[89\]](#page-22-8)can be used to fit the composition-dependent Raman frequencies of the A_{1g} mode for $Mo_{1-x}W_xSe_2$ monolayers and bilayers. The composition-dependent A_{1g} frequency, analysed using the MREI model, can be expressed as:

$$
\omega_{A_{1g}} = \left[\frac{(1-x) F_1 + F_2}{m_{Se}} \right]^{1/2} = \left[\frac{(1-x) (1 - \theta x) F_{10} + x (1 - \theta x) F_{20}}{m_{Se}} \right]^{1/2} \tag{2.2}
$$

Where m_{Se} is the atomic mass of Se; F_1 and F_2 are the force constants of Mo-Se and W-Se interactions, respectively; F_{10} and F_{20} are limiting values of F_1 and F_2 as x is reduced to 0; and θ is a parameter that is associated with the variation of force constant in the alloys. The fitting result gives a more accurate compositiondependent frequency shift of the *A*1*^g* mode [\[82\]](#page-22-7),

$$
\omega_{A_{1g}}^{1L} = 240.1 \left(1 + 0.057x - 0.023x^2 \right) \tag{2.3}
$$

$$
\omega_{A_{1g}}^{2L} = 241.0 \left(1 + 0.052x - 0.018x^2 \right)
$$
 (2.4)

Besides the group VI TMDs and $Bi₂X₃$ (X = Se, Te), there are other isotropic layered materials attracting research focus, such as niobium diselenide ($NbSe₂$) and hexagonal boron nitride (h-BN). NbSe₂ is one of the most studied van der Waals layered materials that exhibit both superconductivity (SC) and charge density wave (CDW) at low temperatures $[92, 93]$ $[92, 93]$ $[92, 93]$. Raman studies on NbSe₂ $[93-97]$ $[93-97]$ mostly focus on the two low-energy broad peaks. One of them is at ultralow frequency (≤ 50 cm⁻¹). As temperature decreases from 8 K to 2 K, the spectral weight from the broad CDW amplitude mode (40 cm⁻¹ at 2 K) evolves to the sharp SC mode (19 cm⁻¹ at 2 K). In the meantime, The SC mode blue-shifts with decreasing temperature whereas the energy of the CDW mode stays almost constant in parallel polarization and slightly shifts to lower energy (\sim 3 cm⁻¹) in cross polarization. Crucially, the SC and CDW modes develop in an opposite way: When superconductivity is gradually destroyed, the SC mode intensity collapses while the CDW mode intensity recovers [\[97\]](#page-23-3). The CDW amplitude mode is found to be layer-sensitive: It blue-shifts by >10 cm⁻¹ from bulk to monolayer, suggesting the increasing electron–phonon coupling strength with decreasing thickness. This finding probably provides the major reason for stronger CDW in atomically thin $NbSe₂ [93]$ $NbSe₂ [93]$.

The other broad peak is centred at 180 cm^{-1} with the full width at half-maximum of 50 cm−¹ at room temperature, which is much bigger than that of the other phonons (Fig. [2.11a\)](#page-15-0). This peak at 180 cm⁻¹ is called the soft mode, which just appears above the CDW phase transition temperature. Below the transition

Fig. 2.11 (a) Raman spectra of the 2H–NbSe₂ single crystal measured from 15 K to 300 K with *xx* and *xy* polarization. The spectra have a systematic offset to arrange one above another to give a legible comparison at different temperatures. The peaks are marked by an arrow in the spectra measured at 300 K. (**b** and **c**) Temperature dependence of the soft mode. The solid line in (**b**) corresponds to Eq. (2.5) and the solid line in (**b**) corresponds to Eq. (2.6) . The error bar = 5%. (Reproduced with permission from Ref. [\[94\]](#page-23-4), © IOP PUBLISHING 2008)

temperature, the soften mode is frozen [\[93\]](#page-23-2). It is considered that the soften mode is not a simple one-phonon mode. As there is no magnetic order in $NbSe₂$, it rules out the possibility of magnetic origin for the peak [\[94\]](#page-23-4). Tsang et al. suggested that the broad peak may be associated with the scattering by two branches of LA soft phonons with opposite momentum [\[95\]](#page-23-5).

Raman spectroscopy measurements show that the temperature-dependent Raman shift of the soft mode is different from other phonon modes. The A_{1g} and E_{2g}^1 modes stiffens with decreasing temperature, while the soft mode softens, as shown in Fig. [2.11b, c.](#page-15-0) Based on mean field theory, the energy change in the second-order soft mode can be described as [\[98\]](#page-23-6):

$$
\Delta \omega = B \omega_0 |T - T_C|^{1/2} \tag{2.5}
$$

where *B* is related to the electron-phonon coupling constant, ω_0 is the hightemperature phonon frequency and T_c is the CDW transition temperature. However, the obtained T_c of 69.7 K from fitting is much higher than the measured CDW transition temperature of 33.5 K. Generally, the two-phonon Raman process is associated with scattering by two phonons from the opposite sides of the BZ, and the k-space vectors' relationship of the phonons is $\overrightarrow{k_1} + \overrightarrow{k_2} \approx 0$. Under the constraint of momentum conservation, it is reasonable to assume that the soft mode is contributed by a soft phonon mode plus a normal one. As reported by neutron diffraction studies [\[99\]](#page-23-7), the soft mode can be considered as a weak first order phonon. Then the energy of the soft mode can be described as [\[94\]](#page-23-4):

$$
\Delta \omega(T) = \omega_0 - A' \left(\frac{2}{e^{\hbar \omega_0 / 2k_B T} - 1} \right) + B' \omega'_0 |T - T_C|^{1/2}
$$
 (2.6)

where ω_0 is the low-temperature phonon frequency of the normal mode and ω'_0 is the high-temperature phonon frequency of the soft mode. The experimental results fit well with the calculation. When the CDW transition temperature of the sample is 33.5 K, one can get the ω_0 of the normal phonon to be 120 cm⁻¹. This mode is not scattered from the centre of the Brillouin zone and cannot be detected from normal Raman scattering [\[94\]](#page-23-4).

The emerging possibilities to use BN as an ultra-thin insulator separating graphene layers, a spacer layer between TMDs and metal film (to prevent quenched photoluminescence), and an intermediate layer between $SiO₂/Si$ and the TMD material (to observe intrinsic linewidth on spectroscopy) have drawn lots of interests to the mono- and few-layer BN insulator. Figure [2.11](#page-15-0) shows the Raman spectra taken from atomically thin and bulk BN. BN exhibits a characteristic peak at \sim 1366 cm⁻¹ that is due to the vibration of E_{2g} phonon mode. This peak is analogous to the G peak in graphene $[100, 102]$ $[100, 102]$ $[100, 102]$. As shown in Fig. $2.11a$, the peak at [∼]1366 cm−¹ becomes weaker as *^N* decreases. In monolayer BN, the intensity of this peak is ∼50 times smaller compared to the G peak in graphene under the same measurement conditions. The integrated intensity for the BN peak is proportional to *N* with high accuracy from 1 L to 6 L (inset in Fig. [2.11a\)](#page-15-0), which can be exploited to distinguish one, two and more BN layers. However, Fig. [2.12b](#page-16-0) shows that monoand bilayers exhibit unexpectedly strong variations in peak position, whereas these are essentially absent for crystals thicker than 5L. The maximum blue shift of monolayers (\sim 4 cm⁻¹) is in agreement with the theory [\[100\]](#page-23-8), and the typical shift is between 2 cm to 4 cm⁻¹. Gorbachev et al. suggests that it is strain that causes the sample variation on Raman shift.

Fig. 2.12 (**a**) Raman spectra of atomically thin BN. The left inset show changes in integrated intensity with the number of layers *N*. The right picture illustrates the phonon mode responsible for the Raman peak. (**b**) Position of the Raman peak for different values of *N*. In mono- and bilayer BN, the peak position is sample-dependent and varies by as much as ± 2 cm⁻¹. The dashed line is the Raman shift predicted for monolayer BN, compared to the bulk value (horizontal bar) [\[100\]](#page-23-8). The error bar indicates the typical accuracy of determining the peak position. (Reproduced with permission from Ref. [\[101\]](#page-23-10), © John Wiley & Sons, Inc. 2011)

Fig. 2.13 (a) Temperature-dependent Raman spectra of bulk FePS₃ sample obtained with an excitation wavelength of 532 nm and power∼0.5 mW around Néel temperature. The line shapes of fitting curves are Fano asymmetric and two-Lorentzian peaks above and below Néel temperature, respectively. (**b**) Plot of magnetic susceptibility of FePS₃ bulk crystal versus temperature measured in (filled squares) cooling and (filled circles) heating process. The first derivation of susceptibility was shown in the inset whose inflection points are both around 118 K. (**c**) Temperature-dependent Raman spectra of mono-, tri- and five-layer FePS₃ obtained with an excitation wavelength of 532 nm and power∼0.5 mW. (Reproduced with permission from Ref. [\[105\]](#page-23-11), © IOP Publishing 2016)

Recently, 2D magnetic layered materials have attracted lots of interests. Ferromagnetism has been discovered in mono- and few-layer samples [\[103,](#page-23-12) [104\]](#page-23-13). Meanwhile, through the observation of spin-order-induced Raman modes, Wang et al. $[105]$ and Lee et al. $[106]$ reported that iron phosphorus trisulfide (FePS₃) crystal exhibits an Ising-type antiferromagnetic ordering down to the monolayer limit.

Figure $2.13a$ shows the temperature-dependent Raman spectra on bulk FePS₃ crystal around the Néel temperature. The Néel temperature of 118 K was obtained from the magnetic susceptibility carried out in the physical property measurement system (Fig. [2.13b\)](#page-17-0). At 77 K, two strong and symmetric peaks located at 88 and 95 cm⁻¹ were resolved in the antiferromagnetic (AFM) phase whereas when the temperature was increased to 150 K, the two peaks disappeared accompanied by the appearance of a broad and asymmetric peak at around 100 cm^{-1} in the paramagnetic (PM) phase. First-principle calculations did not show any Raman-active modes in the frequency range of 0–130 cm⁻¹ at the Γ point, which suggests that the peaks $(88, 95, \text{ and } 100 \text{ cm}^{-1})$ are spin-dependent. Temperature-dependent measurements

show that the spin-order-induced modes at 88 and 95 cm⁻¹ are still robust down to monolayer (Fig. [2.13c\)](#page-17-0). This result confirms that the spin-order-induced Raman modes are attributed to the Brillouin-zone folding in the *ab* plane [\[107\]](#page-23-15), because monolayer FePS $_3$ only has a layer of iron atoms, which is impossible to have double-sized magnetic cell along the *c* axis. The systematic layer-dependent and temperature-dependent measurements show that the Néel temperature decreases from 118 K in bulk to 104 K in monolayers.

In conclusion, with the focus on isotropic two-dimensional layered materials, we have reviewed the phonon modes in TMDs MX_2 (M = Mo, W; X = S, Te, Te), Bi_2X_3 (X = Se, Te), alloy, NbSe₂, h-BN, and magnetic FePS₃ crystals in this chapter. Dependence on thickness and the mechanism which leads to the thicknessevolutional trend have been discussed in detail. While temperature dependence, composition (doping) dependence, and the soft mode which is related to CDW have also been presented in this chapter.

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