On a Hyperconvex Manifold Without Non-constant Bounded Holomorphic Functions



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Abstract An example is given of a hyperconvex manifold without non-constant bounded holomorphic functions, which is realized as a domain with real-analytic Levi-flat boundary in a projective surface.

Keywords Hyperconvexity · Liouville property · Levi-flat · Grauert tube Ergodicity

1 Introduction

In geometric complex analysis, hyperbolicity and parabolicity of non-compact complex manifolds are key properties governing behavior of holomorphic functions. Stoll [24] introduced the notion of *parabolic manifold* to investigate value distribution of holomorphic functions in several variables. We recall this notion using the formulation of Aytuna and Sadullaev [6]:

Definition 1.1 A complex manifold *X* is said to be *parabolic* if *X* does not admit non-constant bounded plurisubharmonic function. We say that *X* is *S*-parabolic if it possesses a plurisubharmonic exhaustion φ that satisfies the homogeneous complex Monge–Ampère equation $(i\partial \overline{\partial} \varphi)^n = 0$ on $X \setminus K$ for some compact subset $K \subset X$.

S-parabolic manifolds are parabolic, and their model case is \mathbb{C}^n equipped with the exhaustion log ||z||. We refer the reader to Aytuna and Sadullaev [6] for the detail.

On the other hand, it would also be of interest to investigate non-compact complex manifolds that are not parabolic in the sense above but enjoy some weaker parabolicity. Myrberg [21] gave such an example in one dimensional setting, namely, an open Riemann surface of infinite genus that has smooth boundary component, hence, not

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Dedicated to Professor Kang-Tae Kim on the occasion of his 60th birthday.

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parabolic, but on which all the bounded holomorphic functions are constant. This celebrated example was the driving force toward the classification theory of Riemann surfaces (cf. Heins [14]).

In several complex variables, this sort of intermediate parabolicity actually appears too. See Aytuna and Sadullaev [6] for an example of unbounded pseudoconvex domain in \mathbb{C}^n containing countably many copies of \mathbb{C} and having plurisubharmonic defining function but no bounded holomorphic function except for constant functions. The purpose of this article is to remark another kind of example of non-parabolic Stein manifold without non-constant bounded holomorphic function, which the author hopes to be useful for further study.

Theorem There exists a hyperconvex manifold that does not possess any nonconstant bounded holomorphic function and is realized as a domain with realanalytic Levi-flat boundary.

Here hyperconvexity is defined as

Definition 1.2 A complex manifold *X* is said to be *hyperconvex* if it admits strictly plurisubharmonic bounded exhaustion.

Recall that a function on a topological space X, $\varphi: X \to [-\infty, c)$, is said to be *bounded exhaustion* if all the sublevel sets $\{x \in X \mid \varphi(x) < b\}$, b < c, are relatively compact in X. For example, any C^2 -smoothly bounded pseudoconvex domains in Stein manifolds is hyperconvex (Diederich and Fornæss [9]. Later the required smoothness was relaxed to C^1 by Kerzman and Rosay [17], then to Lipschitz boundary by Demailly [7]). Clearly, a hyperconvex manifold is not parabolic, but Theorem states that it can satisfy the Liouville property.

Now we explain the construction of the manifold claimed in Theorem. Let Σ be a compact Riemann surface of genus ≥ 2 and fix its uniformization $\Sigma = \mathbb{D}/\Gamma$ by a Fuchsian group Γ acting on the unit disk \mathbb{D} . We make Γ act on the bidisk $\mathbb{D} \times \mathbb{D}$ diagonally but with conjugated complex structure for second factor, namely, for each $\gamma \in \Gamma$ and $(z, w) \in \mathbb{D} \times \mathbb{D}$, we let

$$\gamma \cdot (z, w) := (\gamma z, \overline{\gamma \overline{w}}).$$

We shall show that the quotient space $X := \mathbb{D} \times \mathbb{D} / \Gamma$ enjoys the desired property.

This example has two origins. One is the work by Diederich and Ohsawa [10], where holomorphic \mathbb{D} -bundles over compact Kähler manifolds are shown to be weakly 1-complete. Such a holomorphic \mathbb{D} -bundle is canonically embedded in the associated holomorphic \mathbb{CP}^1 -bundle as a pseudoconvex domain with real-analytic Levi-flat boundary. In our case, the first and the second projection endow *X* structures of \mathbb{D} -bundle over Σ and $\overline{\Sigma}$, the quotient of \mathbb{D} by the conjugated action of Γ , respectively. Hence, *X* has two realization as domains in ruled surfaces $Y := \mathbb{D} \times \mathbb{CP}^1 / \Gamma$ and $Y' := \mathbb{CP}^1 \times \mathbb{D} / \Gamma$, where the action of Γ is the same as above thanks to the fact Aut(\mathbb{D}) \subset Aut(\mathbb{CP}^1). The Levi-flat boundaries of *X* in *Y* and *Y'* are denoted by $M = \mathbb{D} \times \partial \mathbb{D} / \Gamma$ and $M' = \partial \mathbb{D} \times \mathbb{D} / \Gamma$ respectively. In summary, we have two natural ways to realize *X* in larger complex manifolds *Y* and *Y'* and the real-analytic boundaries M and M' are inequivalent CR manifolds in general (Mitsumatsu [20]). For further background on \mathbb{D} -bundles, we refer the reader to a recent study by Deng and Fornæss [8].

Another origin is the Grauert tube of maximal radius in the sense of Guillemin and Stenzel [13] and Lempert and Szőke [19]. Since the conjugated diagonal set $\{(z, \overline{z}) \mid z \in \mathbb{D}\} \subset \mathbb{D} \times \mathbb{D}$ is preserved under the action of Γ , its quotient *S* is totally-real submanifold of real dimension two and isomorphic to Σ as realanalytic manifold. Namely, *X* is a complexification of Σ . Not only that, we can find a plurisubharmonic *bounded* exhaustion that satisfies the homogeneous complex Monge–Ampère equation on $X \setminus S$.

In Sect. 2, we first confirm that our *X* coincides with the Grauert tube of Σ , then show the hyperconvexity of *X*. In Sect. 3, after explaining that the Liouville property of *X* is actually a corollary of Hopf's ergodicity theorem, we shall give another proof for the Liouville property using the plurisubharmonic bounded exhaustion. In Sect. 4, some open questions are posed.

2 Grauert Tube and Its Hyperconvexity

First we recall the notion of Grauert tube in the sense of Guillemin–Stenzel and Lempert–Szőke.

Fact 2.1 (Guillemin and Stenzel [13], Lempert and Szőke [19]) *Let* (M, g) *be a compact real-analytic Riemannian manifold of dimension n. Denote by* ρ : $TM \rightarrow \mathbb{R}_{\geq 0}$ *the length function, and we identify M with the zero section of T M. Then, there exists R* \in $(0, \infty]$ *and unique complex structure on X* := { $v \in TM \mid \rho(v) < R$ } *such that*

- (1) ρ enjoys the homogeneous complex Monge–Ampère equation $(i\partial\overline{\partial}\rho)^n = 0$ on $X \setminus M$;
- (2) ρ^2 is strictly plurisubharmonic on X;
- (3) $i\partial\overline{\partial}(\rho^2)$ agrees with g on T M.

This X above is called *the Grauert tube of M of radius R*. Since our Σ is endowed with the hyperbolic metric of constant Gaussian curvature -1, whose fundamental form is

$$g(z) = \frac{2idz \wedge d\overline{z}}{(1-|z|^2)^2},$$

Lempert and Szőke [19, Theorem 4.3] yields an upper bound of the radius *R* of the Grauert tube of Σ , $R \le \pi/2$.

Proposition 2.2 The complex manifold X defined in Sect. 1 is biholomorphic to the Grauert tube of Σ of radius $\pi/2$, which is maximum possible, whose length function agrees with

$$\rho(z, w) := \arccos \sqrt{\delta} \quad where \quad \delta(z, w) := 1 - \left| \frac{w - \overline{z}}{1 - zw} \right|^2.$$

Proof First note that $\delta: \mathbb{D} \times \mathbb{D} \to (0, 1]$ is invariant under the action of Γ and induces a real-analytic function on *X*. Hence, $\rho: X \to [0, \pi/2)$ is well-defined bounded exhaustion and $\rho^{-1}(0) = S = \{(z, \overline{z}) \mid z \in \mathbb{D}\}/\Gamma$, which we identified with Σ . Moreover, ρ^2 is C^{∞} -smooth function on *X* since

$$\rho(z, w) = \arcsin \left| \frac{w - \overline{z}}{1 - zw} \right|.$$

In view of Lempert and Szőke [19, Theorem 3.1], it suffices to confirm that ρ satisfies the three conditions in Fact 2.1. From direct computation, we have

$$i\partial\overline{\partial}(-\log\delta) = \frac{idz \wedge d\overline{z}}{(1-|z|^2)^2} + \frac{idw \wedge d\overline{w}}{(1-|w|^2)^2},$$
$$\frac{i\partial(-\log\delta) \wedge \overline{\partial}(-\log\delta)}{1-\delta} = \frac{idz \wedge d\overline{z}}{(1-|z|^2)^2} + \frac{idw \wedge d\overline{w}}{(1-|w|^2)^2} + \frac{i\varepsilon dz \wedge d\overline{w} + i\overline{\varepsilon} dw \wedge d\overline{z}}{(1-|z|^2)(1-|w|^2)}$$

on $X \setminus S$, where $\varepsilon = -(w - \overline{z})(\overline{w} - z)^{-1}$. Hence, it follows that

$$\begin{split} \overline{\partial}\rho &= \frac{1}{2}\sqrt{\frac{\delta}{1-\delta}}\overline{\partial}(-\log\delta),\\ i\partial\overline{\partial}\rho &= \frac{1}{2}\sqrt{\frac{\delta}{1-\delta}}\left(i\partial\overline{\partial}(-\log\delta) - \frac{1}{2}\frac{i\partial(-\log\delta)\wedge\overline{\partial}(-\log\delta)}{1-\delta}\right)\\ &= \frac{1}{4}\sqrt{\frac{\delta}{1-\delta}}\left(\frac{idz\wedge d\overline{z}}{(1-|z|^2)^2} + \frac{idw\wedge d\overline{w}}{(1-|w|^2)^2} - \frac{i\varepsilon dz\wedge d\overline{w} + i\overline{\varepsilon}dw\wedge d\overline{z}}{(1-|z|^2)(1-|w|^2)}\right), \end{split}$$

and it is now clear that $(i\partial \overline{\partial}\rho)^2 = 0$ on $X \setminus S$. To check remaining two points, we compute on $X \setminus S$

$$\begin{split} i\partial\overline{\partial}(\rho^2) &= 2(\rho i\partial\overline{\partial}\rho + i\partial\rho \wedge \overline{\partial}\rho) \\ &= \frac{1}{2}\left(\rho\sqrt{\frac{\delta}{1-\delta}} + \delta\right)\left(\frac{idz \wedge d\overline{z}}{(1-|z|^2)^2} + \frac{idw \wedge d\overline{w}}{(1-|w|^2)^2}\right) \\ &+ \frac{1}{2}\left(-\rho\sqrt{\frac{\delta}{1-\delta}} + \delta\right)\frac{i\varepsilon dz \wedge d\overline{w} + i\overline{\varepsilon}dw \wedge d\overline{z}}{(1-|z|^2)(1-|w|^2)}. \end{split}$$

It follows that $i\partial\overline{\partial}(\rho^2) > 0$ on *X*, and *g* agrees with the restriction of

$$i\partial\overline{\partial}(\rho^2) = \frac{idz \wedge d\overline{z}}{(1-|z|^2)^2} + \frac{idw \wedge d\overline{w}}{(1-|w|^2)^2} = \frac{idz \wedge d\overline{z} + dw \wedge d\overline{w}}{(1-|z|^2)^2}$$

on S as Riemannian metric. The proof is completed.

Remark 2.3 Kan [16] gave another realization of the Grauert tube of Σ extending the construction of Lempert [18].

Next we shall confirm that our X is hyperconvex.

Proposition 2.4 The function $-\sqrt{\delta}$ is strictly plurisubharmonic bounded exhaustion on X. Hence, X is hyperconvex.

Proof From the computation in the proof of Proposition 2.2, we have

$$\frac{i\partial\overline{\partial}(-\sqrt{\delta})}{\sqrt{\delta}/2} = i\partial\overline{\partial}(-\log\delta) - \frac{1}{2}i\partial(-\log\delta) \wedge\overline{\partial}(-\log\delta)$$
$$= \frac{1+\delta}{2}\left(\frac{idz\wedge d\overline{z}}{(1-|z|^2)^2} + \frac{idw\wedge d\overline{w}}{(1-|w|^2)^2}\right) + \frac{1-\delta}{2}\frac{i\varepsilon dz\wedge d\overline{w} + i\overline{\varepsilon}dw\wedge d\overline{z}}{(1-|z|^2)(1-|w|^2)}$$

and this is positive definite everywhere on X.

Remark 2.5 We may extend δ smoothly on a neighborhood of X in Y and also a neighborhood in Y' and regard $-\delta$ as a defining function of X in Y and X in Y'. Proposition 2.4 shows, by its definition, that $-\delta$ has the Diederich–Fornæss exponent 1/2, which is the maximum possible value for relatively compact domains with Leviflat boundary in complex surfaces (Fu and Shaw [11] and Adachi and Brinkschulte [2]. See also Demailly [7, Théorème 6.2]).

3 Proofs of the Liouville Property

Let us observe that the Liouville property of X is actually a corollary of Hopf's ergodicity theorem ([15]. See also Tsuji [26], Garnett [12] and Sullivan [25]).

Fact 3.1 (Hopf [15]) Let $\Sigma = \mathbb{D}/\Gamma$ be a Riemann surface of finite hyperbolic area. Then, the diagonal action of Γ on $\partial \mathbb{D} \times \partial \mathbb{D}$ is ergodic with respect to its Lebesgue measure. Namely, for any Lebesgue measurable subset $E \subset \partial \mathbb{D} \times \partial \mathbb{D}$ invariant under the diagonal action of Γ has Lebesgue measure zero or full Lebesgue measure.

We use the following Fatou type theorem.

Fact 3.2 (cf. Tsuji [26, Theorem IV.13]) Let f be a bounded holomorphic function on $\mathbb{D} \times \mathbb{D}$. Then, there exists a measurable function $\tilde{f} : \partial \mathbb{D} \times \partial \mathbb{D} \to \mathbb{C}$ such that for almost all $(z_0, w_0) \in \partial \mathbb{D} \times \partial \mathbb{D}$,

$$\lim_{(z,w)\to(z_0,w_0)} f(z,w) = f(z_0,w_0)$$

where z and w approach to z_0 and w_0 non-tangentially respectively. Moreover, f is a constant function if \tilde{f} is constant on a subset of positive measure.

 \square

 \square

Theorem 3.3 Any bounded holomorphic function on X is constant.

Proof (*First proof of Theorem* 3.3) Let f be a bounded holomorphic function on $X = \mathbb{D} \times \mathbb{D}/\Gamma$. From Fact 3.2, f as a function on $\mathbb{D} \times \mathbb{D}$ has boundary value \tilde{f} on $\partial \mathbb{D} \times \partial \mathbb{D}$ which is invariant under the action of Γ . Then, the function $(z, w) \mapsto \tilde{f}(z, \overline{w})$ on $\partial \mathbb{D} \times \partial \mathbb{D}$ is invariant under the diagonal action of Γ . Fact 3.1 implies that \tilde{f} is constant almost everywhere, and we conclude by Fact 3.2.

We shall give another proof, which does not rely on Fact 3.1 and explains how the bounded exhaustion ρ controls the growth of holomorphic functions on *X*.

Proof (Second proof of Theorem 3.3) Let f be a bounded holomorphic function on X. We shall show without using Fact 3.1 that the boundary value function \tilde{f} on $\partial \mathbb{D} \times \partial \mathbb{D}$ is constant almost everywhere. Then the rest of the proof is the same as in the first proof.

We apply the integration formula used in Adachi and Brinkschulte [3] with the maximal plurisubharmonic function ρ on $X \setminus S$ used in Proposition 2.2. Namely, we integrate

$$i\partial\overline{\partial}|f|^2 \wedge d\rho \wedge d^c\rho + |f|^2 (i\partial\overline{\partial}\rho)^2 = d(d^c|f|^2 \wedge i\partial\rho \wedge \overline{\partial}\rho + |f|^2 d^c\rho \wedge i\partial\overline{\partial}\rho)$$

on $\rho^{-1}(a, b)$, where our convention is $d^c := (\partial - \overline{\partial})/2i$. Since all the level sets $\rho^{-1}(c), c \in (0, \pi/2]$, are smooth, for any $a, b \in (0, \pi/2), a < b$, we have

$$\int_{\rho^{-1}(a,b)} i\partial\overline{\partial} |f|^2 \wedge d\rho \wedge d^c \rho = \int_{\rho^{-1}(b)} |f|^2 d^c \rho \wedge i\partial\overline{\partial} \rho - \int_{\rho^{-1}(a)} |f|^2 d^c \rho \wedge i\partial\overline{\partial} \rho.$$

Denoting by M_t the boundary of $\{x \in X \mid \rho(x) < t\} = \{x \in X \mid \delta(x) > \cos^2 t\}$ and rewriting in δ instead of ρ yield

$$\int_{\delta^{-1}(\beta,\alpha)} i\,\partial\overline{\partial}|f|^2 \wedge \frac{d\delta \wedge d^c\delta}{\delta(1-\delta)} = \frac{1}{\sin^2 b} \int_{M_b} |f|^2 d^c(-\delta) \wedge i\,\partial\overline{\partial}(-\log\delta) \tag{1}$$
$$-\frac{1}{\sin^2 a} \int_{M_a} |f|^2 d^c(-\delta) \wedge i\,\partial\overline{\partial}(-\log\delta)$$

where $\alpha := \cos^2 a$ and $\beta := \cos^2 b$.

Now we look at behavior of terms in Eq. (1) when $b \nearrow \pi/2$, that is, $\beta \searrow 0$. For its RHS, we compute the first term using a smooth trivialization

$$\iota_t \colon R \times \partial \mathbb{D} \to M_t, \quad (z, e^{i\theta}) \mapsto \left(z, \frac{(\sin t)e^{i\theta} + \overline{z}}{1 + z(\sin t)e^{i\theta}}\right)$$

for $t \in (0, \pi/2]$ where *R* is a fundamental domain of the action of Γ on \mathbb{D} . It follows that

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$$\begin{split} \frac{\beta}{\sin^2 b} \int_{M_b} |f|^2 d^c (-\log \delta) \wedge i \partial \overline{\partial} (-\log \delta) \\ &= \frac{\beta}{\sin^2 b} \int_{M_b} |f|^2 \left(\frac{i dz \wedge d\overline{z} \wedge \frac{1}{2i} \left(\frac{\overline{w} - z}{1 - zw} dw - \frac{w - \overline{z}}{1 - \overline{zw}} d\overline{w} \right)}{(1 - |z|^2)^2 (1 - |w|^2)} \\ &+ \frac{i dw \wedge d\overline{w} \wedge \frac{1}{2i} \left(\frac{\overline{z} - w}{1 - zw} dz - \frac{z - \overline{w}}{1 - \overline{zw}} d\overline{z} \right)}{(1 - |w|^2)^2 (1 - |z|^2)} \right) \\ &= \frac{1}{\sin^2 b} \int_{R \times \partial \overline{w}} |\iota_b^* f|^2 \frac{i dz \wedge d\overline{z} \wedge 2(\sin^2 b) d\theta}{(1 - |z|^2)^2} \leq 4\pi^2 \sup_X |f|^2 (2g - 2) < \infty \end{split}$$

where g is the genus of Σ . Therefore, the LHS should be finite; on the other hand,

$$\int_{\delta^{-1}(\beta,\alpha)} i\partial\overline{\partial} |f|^2 \wedge \frac{d\delta \wedge d^c \delta}{\delta(1-\delta)} = \int_{\beta}^{\alpha} \frac{d\tau}{\tau(1-\tau)} \int_{M_{\operatorname{arccos}}\sqrt{\tau}} i\partial f \wedge \overline{\partial f} \wedge d^c(-\delta),$$

and the integrability requires

$$\lim_{t \neq \pi/2} \int_{M_s} i \partial f \wedge \overline{\partial f} \wedge d^c(-\delta) = 0$$

as we will see below that this limit exists.

We can compute this limit in two ways. Note that

$$\left|\frac{\partial f}{\partial z}\right| \le \frac{\sup|f|}{1-|z|}, \left|\frac{\partial f}{\partial w}\right| \le \frac{\sup|f|}{1-|w|}$$

On $\mathbb{D} \times \mathbb{D}$ from Cauchy's estimate, and, thanks to Fatou's theorem, we obtain the boundary value functions of f_z and f_w on $\mathbb{D} \times \partial \mathbb{D}$ and $\partial \mathbb{D} \times \mathbb{D}$ respectively, which are CR functions. By abuse of notation, we express the boundary value functions by the same symbols. Using the trivialization ι_t of M_t , the bounded convergence theorem yields

$$0 = \lim_{t \nearrow \pi/2} \int_{M_t} i\partial f \wedge \overline{\partial f} \wedge d^c(-\delta)$$
(2)
$$= \lim_{t \nearrow \pi/2} \int_{R \times \partial \mathbb{D}} \iota_t^* \left(i\partial f \wedge \overline{\partial f} \wedge d^c(-\delta) \right)$$
$$= \int_{R \times \partial \mathbb{D}} \iota_{\pi/2}^* \left(i\partial f \wedge \overline{\partial f} \wedge d^c(-\delta) \right)$$
$$= \int_M \left| \frac{\partial f}{\partial z} \right|^2 i dz \wedge d\overline{z} \wedge \frac{1 - |z|^2}{|1 - ze^{i\varphi}|^2} d\varphi$$

where we used the coordinate $(z, e^{i\varphi}) \in \mathbb{D} \times \partial \mathbb{D}$ for $\iota_{\pi/2}(R \times \partial \mathbb{D}) \subset M = \mathbb{D} \times \partial \mathbb{D}/\Gamma$. Using another trivialization κ_t of M_t ,

$$\kappa_t : \partial \mathbb{D} \times R' \to M_t, \quad (e^{i\theta'}, w) \mapsto \left(\frac{(\sin t)e^{i\theta'} + \overline{w}}{1 + w(\sin t)e^{i\theta'}}, w\right)$$

for $t \in (0, \pi/2]$ where R' is a fundamental domain of the conjugated action of Γ on \mathbb{D} , we similarly have

$$0 = \int_{M'} \left| \frac{\partial f}{\partial w} \right|^2 i dw \wedge d\overline{w} \wedge \frac{1 - |w|^2}{|1 - we^{i\varphi'}|^2} d\varphi'$$
(3)

where we used the coordinate $(e^{i\varphi'}, w) \in \partial \mathbb{D} \times \mathbb{D}$ for $\kappa'_{\pi/2}(\partial \mathbb{D} \times R') \subset M' = \partial \mathbb{D} \times \mathbb{D} / \Gamma$.

Equations (2) and (3) imply that the boundary value functions $f(z, e^{i\varphi})$ and $f(e^{i\varphi'}, w)$ are constant functions in z and w for almost all $e^{i\varphi}$ and $e^{i\varphi'} \in \partial \mathbb{D}$ since these functions are holomorphic in z and w respectively. Now it follows that $\tilde{f}(z, w) = f(e^{i\varphi'}, e^{i\varphi}): \partial \mathbb{D} \times \partial \mathbb{D} \to \mathbb{C}$ agrees with a constant function almost everywhere, and we finish this proof.

Remark 3.4 The integration formula used in the proof is equivalent to Demailly's Lelong–Jensen formula [7]. Exploiting this formula, a notion of Hardy space for hyperconvex domains in \mathbb{C}^n , *Poletsky–Stessin Hardy spaces*, was introduced in Alan [4] and Poletsky and Stessin [23] independently (cf. Alan and Göğüş [5]). The proof above actually shows the triviality of L^2 Hardy space of $X \subset Y$, Y'.

Remark 3.5 Yet another proof for the Liouville property which does not employ Fact 3.2 can be obtained by a method similar to [1], which will be discussed in the author's forthcoming article. As in [1], we may show that all the weighted Bergman space of order > -1 of $X \subset Y, Y'$ is infinite dimensional in spite of the fact that its L^2 Hardy space is trivial.

4 Open Problems

We shall pose two open problems for further study.

Problem 1 Do other Grauert tubes of finite maximal radius give similar example of hyperconvex manifolds without non-constant bounded holomorphic function?

Problem 2 Is there any domain with Levi-flat boundary having positive Diederich– Fornæss index and non-constant bounded holomorphic function?

Problem 2 is a variant of an open problem raised by Sidney Frankel (cf. Ohsawa [22]), to classify Levi-flat hypersurfaces that bound domains with non-constant bounded holomorphic functions.

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