Chapter 7 Mitochondrial Respiratory Chain Complexes

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Abstract Mitochondria are the power stations of the eukaryotic cell, using the energy released by the oxidation of glucose and other sugars to produce ATP. Electrons are transferred from NADH, produced in the citric acid cycle in the mitochondrial matrix, to oxygen by a series of large protein complexes in the inner mitochondrial membrane, which create a transmembrane electrochemical gradient by pumping protons across the membrane. The flow of protons back into the matrix via a proton channel in the ATP synthase leads to conformational changes in the nucleotide binding pockets and the formation of ATP. The three proton pumping complexes of the electron transfer chain are NADH-ubiquinone oxidoreductase or complex I, ubiquinone-cytochrome *c* oxidoreductase or complex III, and cytochrome *c* oxidase or complex IV. Succinate dehydrogenase or complex II does not pump protons, but contributes reduced ubiquinone. The structures of complex II, III and IV were determined by x-ray crystallography several decades ago, but complex I and ATP synthase have only recently started to reveal their secrets by advances in x-ray crystallography and cryo-electron microscopy. The complexes I, III and IV occur to a certain extent as supercomplexes in the membrane, the so-called respirasomes. Several hypotheses exist about their function. Recent cryo-electron microscopy structures show the architecture of the respirasome with near-atomic detail. ATP synthase occurs as dimers in the inner mitochondrial membrane, which by their curvature are responsible for the folding of the membrane into cristae and thus for the huge increase in available surface that makes mitochondria the efficient energy plants of the eukaryotic cell.

Keywords ATP synthase · ATP synthesis · Complex I · Complex II · Complex III · Complex IV · Cryo-electron microscopy · Mitochondria · Respirasome · Respiratory chain · X-ray crystallography

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Abbreviations

7.1 Introduction

Mitochondria are the power plants of the eukaryotic cell. They produce most of the huge amounts of ATP needed for the functioning of the cell. An athlete can turn over his or her body weight in ATP in a day. A series of large membrane protein complexes in the inner mitochondrial membrane (IMM) is responsible for this remarkable system. The chemical energy in the products of the citric acid cycle is converted into an electrochemical gradient over the IMM by a series of proton pumps. The protons flow back into the mitochondrial matrix via proton channels in the F_1F_0ATP synthase complex and drive a mechanical rotation of the rotor, causing conformational changes in the nucleotide binding pockets of the F_1 subcomplex, thus converting ADP and phosphate to ATP. The respiratory chain complexes are among the largest membrane protein complexes in the cell. They transfer electrons from NADH and succinate to molecular oxygen via a number of redox cofactors including flavins, iron-sulfur clusters, hemes and ions. The proton pumps are NADH:ubiquinone oxidoreductase or complex I, which oxidizes NADH, transfers two electrons to ubiquinone and translocates four protons across the membrane, ubiquinol:cytochrome *c* oxidoreductase (complex III), which transfers electrons from ubiquinone to the peripheral electron carrier cytochrome *c* while translocating 4 protons, and cytochrome *c* oxidase (complex IV), which transfers electrons from cytochrome *c* to molecular oxygen and translocates two protons. In total 10 protons per NADH molecule are translocated across the IMM. [Succinate dehydrogenase](https://en.wikipedia.org/wiki/Succinate_dehydrogenase) (complex II), which is part of both the citric acid cycle and the electron transfer chain, contributes additional electrons to ubiquinone that originate from succinate (Fig. [7.1](#page-2-0)). The mitochondrial respiratory chain derives from endosymbiont α-proteobacteria and all core subunits are highly conserved. However, most mitochondrial complexes have gained large numbers of accessory subunits (Fig. [7.1](#page-2-0)) with functions that are only now beginning to be understood. In recent years much progress has been made in understanding the structure and function of these complexes, driven by structural information from x-ray crystallography and cryo-electron microscopy (cryo-EM). In addition insight has been gained in their organization in the IMM: complex I, III

Fig. 7.1 The mitochondrial respiratory chain in the IMM uses the energy in NADH and succinate produced in the citric acid cycle to produce ATP, the energy currency of the cell. Core subunits (colors) also present in bacteria are highly conserved, while the mitochondrial complexes have acquired accessory subunits (grey), often with still unknown functions. Accessory subunits of the ATP synthase bend the membrane and are responsible for the formation of cristae, lined with dimer rows of ATP synthase. Electrons enter the chain from NADH via complex I and from succinate via complex II. Complex I, III and IV pump protons across the IMM, which flow back into the matrix via the ATP synthase, driving the rotor to produce ATP. Proton flow is indicated by green arrows

and IV are found to occur to a large extent as supercomplexes, and the mitochondrial ATP synthase occurs in dimer rows, which are thought to be responsible for the shape of the inner membrane cristae. All these aspects are subject of this chapter.

7.2 Complex I

Complex I (NADH:ubiquinone oxidoreductase) plays a central role in cellular metabolism. By oxidizing NADH to NAD+ in the mitochondrial matrix, it supplies reducing equivalents to support the tricarboxylic acid cycle (Krebs cycle) and the β-oxidation of fatty acids. Complex I represents the entry point of the electrons in the respiratory chain. In each catalytic cycle two electrons from NADH are used to reduce ubiquinone to ubiquinol, coupled with the vectorial transport of four protons across the IMM. The proton gradient generated contributes \sim 40% of the proton motive force (pmf) that is used for ATP synthesis and for the import and export of protein and metabolites to and from mitochondria. Complex I is also one of the main sources of reactive oxygen species (ROS) production, which has a huge impact on mitochondria and oxidative stress and may be one of the causes of ageing. Because of its central role in energy production, complex I defects have a noteworthy effect in tissues with a high metabolic rate like brain and heart, especially in early childhood.

7.2.1 Background and Nomenclature

Complex I is one of the largest membrane protein assemblies known and is the largest component of the respiratory chain. It belongs to the protein family of H+- or Na⁺-translocating NADH dehydrogenases (NDH), and more precisely it is a type I NADH dehydrogenase (NDH-I) (Kerscher et al. [2008](#page-51-0)). The other members of this protein family include type II NAD(P)H dehydrogenase (NDH-II), a non-proton pumping enzyme (Melo et al. [2004\)](#page-53-0), and the sodium pumping NADH-quinone reductase (Na+-NQR) (Barquera [2014\)](#page-45-0). An analysis of 970 bacterial genomes showed the presence of complex I in the majority of Gram-negative bacteria and mostly in the phylum of Actinobacteria in Gram-positive bacteria (Friedrich and Scheide [2000](#page-49-0); Spero et al. [2015](#page-57-0)).

With one exception (Spero et al. [2015](#page-57-0)), complex I was not found in 88 archaeal genomes investigated. In eukaryotes, complex I occurs in mitochondria of aerobic (Gabaldon et al. [2005](#page-49-1)) and anaerobic species (van Hellemond et al. [2003](#page-58-0)). It is absent in the fungal lineages of *Schizosaccharomyces* and Saccharomycetales, and the cryptomycotan fungus *Rozella* (Gabaldon et al. [2005](#page-49-1); Marcet-Houben et al. [2009;](#page-53-1) James et al. [2013](#page-51-1)). In fermenting yeasts like *Saccharomyces cerevisiae* that do not contain complex I (Ohnishi et al. [1966\)](#page-54-0), the NADH oxidoreductase activity is performed by the so-called alternative NADH dehydrogenases, a class of flavoenzymes that catalyze the same reaction as complex I but do not couple it to ion translocation over the membrane (Kerscher [2000](#page-51-2)).

With the only exception of the parasitic plant *Viscum scurruloideum*, which seems to lack complex I (Skippington et al. [2015](#page-57-1)), in the plant kingdom all mitochondria contain NDH-I (Gabaldon et al. [2005](#page-49-1)).

Complex I is an L-shaped enzyme composed of two elongated domains also referred to as "arms". The hydrophobic or membrane arm is inserted into the cytoplasmic membrane of bacteria or the IMM and the hydrophilic, peripheral or matrix arm protrudes into the bacterial cytoplasm or the mitochondrial matrix (Clason et al. [2010\)](#page-47-0) (Fig. [7.2](#page-4-0)). An assembly of 14 core subunits, seven in the membrane arm and seven in the peripheral arm, represent the minimal model of complex I that is sufficient to catalyze the energy transduction and only these subunits are present in most bacteria. Crystal structures of the core subunits were determined first for the bacterial enzymes from *Thermus thermophilus* and *Escherichia coli* (Sazanov and Hinchliffe [2006](#page-56-0); Efremov and Sazanov [2011;](#page-48-0) Baradaran et al. [2013](#page-45-1)). In eukaryotes the seven core subunits of the membrane arm are encoded by the mitochondrial genome and those of the hydrophilic arm by the nuclear genome.

In addition to the core subunits, mitochondrial complex I contains a large number of accessory subunits (up to ~50% of the total mass of the complex), the phylogenetic analysis of which sheds light on the evolution of complex I (Gabaldon et al. [2005\)](#page-49-1). The best studied eukaryotic systems are complex I from bovine heart (Carroll et al. [2003\)](#page-46-0), the obligate aerobic yeast *Yarrowia lipolytica* (Kerscher et al. [2004](#page-51-3)) and the fungus *Neurospora crassa* (Videira [1998\)](#page-58-1) (Fig. [7.2\)](#page-4-0). Structural data is avail-

Y. lipolytica

able for mammalian complex I from recent cryo-EM maps (Vinothkumar et al. [2014;](#page-59-0) Zhu et al. [2016](#page-60-0); Fiedorczuk et al. [2016](#page-49-2)) and for *Y. lipolytica* from crystal structures (Hunte et al. [2010](#page-50-0); Zickermann et al. [2015\)](#page-60-1) and a cryo-EM map (D'Imprima et al. [2016\)](#page-47-1).

The well-studied mammalian mitochondrial enzyme from bovine heart, of about 1 MDa molecular weight and with high homology to the human complex I, contains 44 different subunits and, as the SDAP subunit is present in two copies (Vinothkumar et al. [2014\)](#page-59-0), 45 in total.

Since there is no uniform nomenclature, even for the central subunits, Table [7.1](#page-5-0) shows the subunits for all commonly studied species. Unless differently indicated, the human nomenclature will be used.

Homo	Bos taurus	Y. lipo- lytica	T. ther- mophilus	P. denitri- ficans	E. coli	comment	module
sapiens							
NDUFS1	75-kDa	NUAM	Ngo3	Ngo3	NuoG	2 [4Fe4S] 1 [2Fe2S]	N
NDUFV1	$51-kDa$	NUBM	Ngo1	Ngo1	NuoF	FMN;	$\mathbf N$
						NADH:	
						[4Fe4S]	
NDUFS2	49-kDa	NUCM	Ngo4	Ngo4	NuoD	Q-binding	Q
NDUFS3	30-kDa	NUGM	Ngo5	Ngo5	NuoC		Q
NDUFV2	29-kDa	NUHM	Nqo2	Nqo2	NuoE	[2Fe2S]	$\mathbf N$
NDUFS8	TYKY	NUIM	Nqo9	Nqo9	NuoI	2 [4Fe4S]	Q
NDUFS7	PSST	NUKM	Ngo6	Nqo6	NuoB	Q-binding;	Q
						[4Fe4S]	
NU1M	ND ₁	NU1M	Nqo8	Ngo8	NuoH	mtDNAa	P_P
NU ₂ M	ND ₂	NU ₂ M	Ngo14	Ngo14	NuoN	mtDNA	P_P
NU3M	ND ₃	NU3M	Ngo7	Ngo7	NuoA	mtDNA	P_P
NU4M	ND4	NU4M	Ngo13	Nqo13	NuoM	mtDNA	P_D
NU5M	ND ₅	NU5M	Ngo12	Ngo12	NuoL	mtDNA	P_D
NU6M	ND ₆	NU6M	Ngo10	Nqo10	Nuo J	mtDNA	P_P
NULM	ND4L	NULM	Nqo11	Nqo11	NuoK	mtDNA	P_P
NDUFA2	B8	NI8M				thioredoxin	N
						fold	
NDUFS4	AQDQ/	NUYM		pdNUYM			$\mathbf N$
	18-kDa						
NDUFS6	13-kDa	NUMM		pdNUMM		Zn^{2+}	N/Q
NDUFA12	B17.2	N7BM		pdN7BM			N/Q
NDUFA7	B14.5a	NUZM					Q
NDUFA5	B13	NUFM					Q
NDUFA9	39 kDa	NUEM				NADPH	Q
NDUFA6	B14	NB4M				LYR motif	Q
NDUFAB1	SDAP	ACPM1				PPb	Q
		ACPM2				ppb	P_D
NDUFA1	MWFE	NIMM				1 TMH ^c	P_P
NDUFA3	B9	NI9M				1 TMH	P_P
NDUFA8	PGIV	NUPM				Mia-Cx ₉ C	P_P
NDUFA11	B14.7	NUJM				4 TMH	P_P
NDUFA13	B16.6	NB6M				1 TMH /	\mathbf{P}_{P}
						GRIM-19	
NDUFS5	PFFD/	NIPM				Mia-Cx ₉ C	P_P
	15-kDa						
NDUFB3	B12	NB2M				1 TMH	P_D
NDUFB4	B15	NB5M				1 TMH	P_D
NDUFB7	B18	NB8M				Mia-Cx ₉ C	P_D
NDUFB8	ASHI	NIAM				1 TMH	P_D
NDUFB9	B22	NI2M				LYR motif	P_D
NDUFB10	PDSW	NIDM				Cx_yC	P_D
NDUFB11	ESSS	NESM				1 TMH	P_D
NDUFV3	9-kDa						N
NDUFC1	KFYI					1 TMH	P_P
NDUFC2	B14.5b					1 TMH	P_P
NDUFA10	42-kDa						P_P
NDUFB1	MNLL					1 TMH	P_D
NDUFB2	AGGG					1 TMH	P_D
NDUFB5	SDGH					1 TMH	P_D
NDUFB6	B17					1 TMH	P_D
		NUXM				2 TMH	\mathbf{P}_{P}
		NEBM				1 TMH	P_P
		NUNM				1 TMH	P_D
		NUUM				1 TMH	
		ST ₁				MST ^d	Pp
						Frataxin	Q N
			NQ015			family	
			NQ016			DUF3197	
						family	Q

Table 7.1 Subunits of complex I in different species

Table adapted from Wirth et al. [\(2016](#page-59-1))

The core subunits in the hydrophilic and the membrane arm are shown in blue and red, respectively. The assignment of modules is according to Hunte et al. [2010](#page-50-0). Q, quinone reduction module; N, NADH oxidation module; P_P and P_D, proximal and distal proton pumping module, respectively

^aMitochondrial DNA; ^bPhosphopantetheine; ^cTransmembrane helix; ^d3-mercaptopyruvate:sulfur transferase

7.2.2 Mechanism of Complex I

The first step of the reaction catalyzed by complex I is NADH oxidation by a noncovalently bound flavin-mononucleotide (FMN) at the top of the hydrophilic arm, in the NUDFV1 subunit. The fast electron transfer between C^{4N} of NADH and N^5 of FMN is mediated by a favorable geometry of the nicotinamide ring of NADH, which upon binding forms a stacking interaction with the isoalloxazine ring of FMN (Berrisford and Sazanov [2009](#page-45-2)). Next electrons are transferred along a chain of seven iron-sulfur (FeS) clusters (Fig. [7.3](#page-6-0)), spanning a distance of \sim 90 Å, to ubiquinone in the binding pocket formed by NU1M, NDUFS2 and NDUFS7 at the base of the hydrophilic arm. In the membrane arm, four antiporter-like structural motifs have been identified that are likely to transport one proton each per catalytic cycle. The redox and proton pumping mechanism are not only spatially separated, but they also occur in a remarkably different time-scale: the former \sim 100 μ s and the latter about three orders of magnitude slower (Verkhovskaya et al. [2008;](#page-58-2) Sharma et al. [2015\)](#page-57-2). The mechanism of coupling between electron transfer and proton translocation is still the least understood aspect of complex I. Currently the two main proposed models are: partially direct redox-driven coupling (Dutton et al. [1998;](#page-48-1) Ohnishi et al. [2010\)](#page-54-1) and indirect or conformationally

Fig. 7.3 FeS clusters in mammalian, yeast and prokaryote complex I. On the left the coordinates of the ligands of bovine complex I (pdb 5lc5) are docked into the corresponding cryo-EM map (EMD-4032). On the right the coordinates of the FeS clusters of *Y. lipolytica* (pdb 4wz7) (blue) and *T. thermophilus* (pdb 4hea) (pink) were overlapped with the bovine structure (gold). The nomenclature from Baradaran et al. [2013](#page-45-1) was used to assign the FeS clusters. Distances were calculated edge-to-edge from the coordinates of pdb 5lc5 except for the distance between clusters N4-N7 for which coordinates from pdb 4hea were used. The blue arrows show the electron flow from the FMN to the cluster N2

driven coupling (Yagi and Matsuno-Yagi [2003;](#page-59-2) Brandt [2006;](#page-46-1) Zickermann et al. [2015](#page-60-1); Friedrich [2001\)](#page-49-3). The reaction of mitochondrial complex I is fully reversible, and at the expense of the pmf the enzyme can also transfer electrons from ubiquinol upstream for NAD⁺ reduction (the so called reverse electron transfer (RET)). This reaction *in vitro* is accompanied by increased production of superoxide, which is rapidly converted to H_2O_2 (Ambrosio et al. [1993](#page-44-0); Turrens [2003](#page-58-3)).

7.2.3 The Dehydrogenase Domain

The hydrophilic arm consists of the dehydrogenase domain (N module), which harbors the cofactors and the subunits involved in the oxidation of NADH and transfer of electrons to quinone, and the quinone-binding domain (Q module).

The N module comprises the core subunits NDUFS1, NDUFV1 and NDUFV2. Eukaryotes harbor 8 and some bacteria 9 FeS clusters (Fig. [7.3](#page-6-0)). Binuclear clusters ([2Fe-2S]) contribute to broad absorbance peaks at 420, 470 and 560 nm, tetranuclear clusters ([4Fe-4S]) at 420 nm and FMN at 450 nm (Rasmussen et al. [2001;](#page-55-0) Euro et al. [2008;](#page-48-2) Hinchliffe and Sazanov [2005\)](#page-50-1). Extensive studies over the years, particularly from Ohnishi's and Hirst's group using bovine complex I as the main

model, allowed to establish the presence of EPR signals from two binuclear (N1a and N1b) and six tetranuclear FeS clusters (Ohnishi [1998](#page-54-2); Roessler et al. [2010\)](#page-56-1), making it one of the most complex FeS assemblies known. It has been shown that absorbance in these regions of the spectra decreases upon reduction of complex I (Rasmussen et al. [2001\)](#page-55-0), but it is not possible to de-convolute spectra of individual redox centers, with the exception of the contribution from the high-potential cluster N2 and FMN (~240 mV and 350 mV respectively (Ohnishi [1998](#page-54-2))). Due to these limitations a consensus on the assignment of EPR signals to FeS has been reached so far only for the clusters N1a in NDUFV2 and N1b in NDUFS1 (Gnandt et al. [2016;](#page-49-4) Ohnishi and Nakamaru-Ogiso [2008;](#page-54-3) Yakovlev et al. [2007\)](#page-59-3). The clusters N3 and N2 are unambiguously assigned to subunits NDUFV1 and NDUFS7, respectively. The position of those clusters is particularly important, since cluster N3 is involved in accepting the electrons from the FMN and cluster N2 interfaces with the quinone (Fig. [7.3](#page-6-0)). The role of the cluster N1a is still highly debated; it resides at the opposite side of the FMN, separated from the main chain of clusters that connects the NADH oxidation site with the quinone reduction site. It seems to be important for the structure around the flavin site and an interesting hypothesis (Gnandt et al. [2016](#page-49-4)) proposes that it may serve as a "temporary deposit" of one electron when the most distal cluster N2 is reduced. This might be due to the fact that FeS clusters are one electron carriers and NADH and $FMMH₂$ are two electron donors.

In addition to the eight conserved FeS clusters, in *T. thermophilus* and *E. coli* and some other bacteria there is an additional FeS binding motif in subunit Nqo3 (NuoG), coordinating the tetranuclear cluster N7 (Fig. [7.3](#page-6-0)) as confirmed by the X-ray structure of the hydrophilic arm of *T. thermophilus* complex I (Sazanov and Hinchliffe [2006](#page-56-0)). Since it is too far away from the main FeS chain to be involved in efficient electron tunneling (Page et al. [1999](#page-54-4)) and not conserved it is unlikely to have an important role in the electron-transfer chain reaction.

7.2.4 The Quinone Binding Module and the A/D Transition

The interface between the membrane and hydrophilic arm is composed of structural elements of the NUM1, NUM3, NDUFS2, NDUFS7 and NDUFS8 subunits.

Different quinones with variable isoprenoid tails lengths are used as electron acceptors in different species. The mitochondrial enzyme uses ubiquinone, whereas the prokaryotic enzyme uses either menaquinone or ubiquinone. This cofactor is currently considered the key point at which the redox potential is coupled with the proton pumping in the membrane arm. Despite the recent high-resolution structural data from yeast (Zickermann et al. [2015](#page-60-1)), bovine (Zhu et al. [2016\)](#page-60-0) and ovine (Fiedorczuk et al. [2016\)](#page-49-2) complex I, the conformational changes that take place at the level of the Q module are difficult to interpret and the mechanism of coupling is still elusive. For example, the loops β 1- β 2 in the core subunit NDUFS2, which are thought to be critical for ubiquinone binding, can be in different conformations (Baradaran et al. [2013](#page-45-1); Fiedorczuk et al. [2016;](#page-49-2) Zickermann et al. [2015;](#page-60-1) Zhu et al. [2016\)](#page-60-0).

A very interesting characteristic of mitochondrial complex I is the fact that it can adopt two different states, the so called active (A) and de-active or dormant (D) state. The existence of two distinct catalytic forms of the enzyme was shown *in vitro* (Kotlyar and Vinogradov [1990;](#page-51-4) Roberts and Hirst [2012](#page-56-2)), in cultured cells and in mouse and rat tissue under ischemic conditions (Galkin et al. [2009](#page-49-5); Chouchani et al. [2013\)](#page-46-2). The A and D forms, first characterized for the mammalian enzyme (Kotlyar and Vinogradov [1990](#page-51-4)), are also evident in other vertebrates and certain yeasts, but have not been detected so far in prokaryotes (*Rhodobacter capsulatus*, *Paracoccus denitrificans*, or *E. coli*) (Maklashina et al. [2003](#page-53-2); Grivennikova et al. [2003\)](#page-49-6). Respiratory complex I in A and D state displays structural rearrangements in the Q module and remarkably different catalytic activity. During steady state aerobic respiration, the A form is predominant. It catalyzes the fast physiological NADH:ubiquinone oxidoreductase reaction with a linear rate over time and it is insensitive to cysteine-modifying reagents such as nitrosothiols, peroxynitrite or ROS. Conversely the D state is characterized by a lower activity and an initial lag phase, which was reported already in 1964 (Minakami et al. [1964](#page-53-3)). The lag phase can be prolonged in the presence of divalent cations or alkaline pH (Babot et al. [2014\)](#page-45-3). If oxygen is absent the A-form spontaneously converts to the D-form, which can be re-activated in the case of re-oxygenation (given substrate ubiquinone availability). In recent years several groups reported strong evidence that the sensitivity of complex I to redox modifications is different for the A and D forms (Galkin and Moncada [2007](#page-49-7); Gorenkova et al. [2013;](#page-49-8) Babot et al. [2014](#page-45-3); Drose et al. [2014\)](#page-48-3). Particularly in the D form the access of the ubiquinone head group to the terminal

FeS cluster N2 is somehow restricted due to conformational changes of the subunits that build the ubiquinone binding site, lowering the activity of the complex, in a similar way as rotenone (a potent inhibitor of complex I) when complex I is in the physiological A state, in the end preventing the formation of superoxide. The most intriguing hypothesis to explain the physiological role of the A/D transition in the view of recent biochemical data (reviewed in Drose et al. [2016](#page-48-4)) and the most detailed structural studies (Baradaran et al. [2013](#page-45-1); Zickermann et al. [2015](#page-60-1); Zhu et al. [2016;](#page-60-0) Fiedorczuk et al. [2016\)](#page-49-2) proposes that the temporary and reversible deactivation of respiratory complex I *in situ* may prevent an "oxidative burst" mediated by ROS in the early stage of reperfusion when the oxygen level increases dramatically and the metabolic intermediates are not yet balanced (Chouchani et al. [2013](#page-46-2)). This would happen because upon re-oxygenation complex I initiates a strong sudden redox activity with high production rates of hydrogen peroxide, which may be prevented by locking the complex in a low activity state: the D state.

These discoveries highlight the importance of the A/D transition during oxidative stress and its potential biomedical relevance in translational medicine. In the light of these new findings the respiratory complex I becomes an attractive drug target for a chemical compound that can selectively affect the A/D state of the enzyme in order to treat ischemia-reperfusion (I/R) injury caused by an excess of production of ROS in myocardial infarction and stroke (Chouchani et al. [2014\)](#page-46-3).

7.2.5 The Membrane Arm

The membrane arm contains the proton pumping module, which is constituted by the seven core subunits NU1M, NU2M, NU3M, NU6M, NULM, NU4M and NU5M. Within this module four antiporter-like motifs have been identified that are thought to transport one proton each per catalytic cycle. The subunits NU2M, NU5M and NU4M each represent one proton transfer unit (Efremov and Sazanov [2011\)](#page-48-0) and a fourth unit is built by subunits NU1M, NULM and NU6M (Baradaran et al. [2013\)](#page-45-1) (Fig. [7.2\)](#page-4-0).

Each antiporter-like subunit contains ten transmembrane helices (TMH) organized in two symmetry-related sets of five, each constituting a half-channel. The antiporter-like subunits are encoded by mitochondrial DNA and are conserved from bacteria to mammals. Although the proton extrusion pathways seem to be conserved between bacterial and mitochondrial complex I, there are some differences in the proton uptake routes (Zickermann et al. [2015](#page-60-1); Baradaran et al. [2013;](#page-45-1) Efremov and Sazanov [2011](#page-48-0)). Experimental evidence that these antiporter-like subunits are functional is not abundant, but it has been shown that deletion of the gene for NDUFB7 results in the loss of the entire P_D module, but generates complex I that still pumps two protons per cycle, i.e. at 50% stoichiometry (Drose et al. [2011](#page-48-5)). Furthermore, it is still unclear how the pumping cycle operates: if the pumping units work in sync or whether proton translocation is a two-step process that mirrors the two-step reduction of ubiquinone.

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The hydrophobic arm contains a long horizontal -helix that runs along the concave side of the membrane arm on the matrix side (Fig. [7.2](#page-4-0)). This helix belongs to the core subunit NU5M and bridges the P_D and P_P modules. It has a variable length in different species, from $\sim 60 \text{ Å}$ in *Y. lipolytica* to $\sim 110 \text{ Å}$ in *E. coli*; the C-terminus of subunit NU5M is anchored to the NU2M subunit by two TMH in *Y. lipolytica* complex I, but only one TMH in the bacterial and bovine complex I (Zickermann et al. [2015](#page-60-1); Efremov et al. [2010;](#page-48-6) Vinothkumar et al. [2014\)](#page-59-0) (Fig. [7.2](#page-4-0)). The function of this -helix (HL-helix) is highly debated; first it was proposed to be a proton coupling element acting as a "piston" to transmit energy for proton pumping (Efremov et al. [2010](#page-48-6)), but several studies on the bacterial system do not support this hypothesis (Belevich et al. [2011;](#page-45-4) Steimle et al. [2015](#page-57-3)). Another hypothesis proposes that the HL helix with its anchoring is required for "clamping" the two proton pumping modules, providing structural stability (Wirth et al. [2016;](#page-59-1) Zhu et al. [2016\)](#page-60-0).

Another characteristic of the membrane arm is the presence of charged and polar residues in the middle of the membrane, spanning from the quinone-binding site near the peripheral arm to the last membrane core subunit NU5M. These residues are mostly found in the discontinuous TMH and are surrounded by several water molecules (Baradaran et al. [2013](#page-45-1); Zickermann et al. [2015\)](#page-60-1). They seem to form a hydrophilic central region inside the membrane arm that is likely to play an important role in the proton pumping mechanism.

7.2.6 Accessory Subunits of Complex I

Apart from the conserved 14 core subunits, mitochondrial complex I contains a large number of accessory or supernumerary subunits. They form a "cage" around the core subunits, in many species doubling the molecular weight of the complex (Fig. [7.4](#page-11-0)). The number of subunits which compose complex I ranges from 14 in proteobacteria (Weidner et al. [1993](#page-59-4)) to 45 in mammals (Carroll et al. [2006](#page-46-4)) and 49 in plants (Peters et al. [2013\)](#page-55-1). In addition, a number of assembly factors have also been characterized (Merz and Westermann [2009](#page-53-4); Carilla-Latorre et al. [2010;](#page-46-5) Bych et al. [2008](#page-46-6); Lightowlers and Chrzanowska-Lightowlers [2013](#page-52-0); Andrews et al. [2013\)](#page-44-1). However, it should be noted that the distinction between assembly factors and accessory subunits is only based on whether the protein is retained in the mature complex I or not, independent of a role in the assembly process. Moreover, many accessory subunits/assembly factors may not survive purification of the complex, depending on the protocol used and the species under investigation. The ambiguity in the terminology used to address the accessory subunits reflects the fact that up to date very little is known about their function.

Most of the current knowledge about accessory subunits has been recently reviewed (Elurbe and Huynen [2016\)](#page-48-7). All 31 accessory subunits in the mammalian complex were traced and localized (Zhu et al. [2016;](#page-60-0) Fiedorczuk et al. [2016](#page-49-2)), but the

Fig. 7.4 Structure of the mitochondrial complex I accessory subunits. The homologous accessory subunits of bovine (pdb 5lc5) and *Y. lipolytica* (pdb 4wz7) complex I which were assigned so far are colored the same. NDUFA2, mutations of which seem to be linked to Parkinson disease, is colored in red (Morais et al. [2014\)](#page-54-5). NDUFA10 (which is not present in *Y. lipolytica*) is highlighted in orange. NDUFAB1 (yellow) is present in two copies in bovine and *Y. lipolytica*. They are named SDAP-α and SDAP-β and ACPM-1 and ACPM-2, respectively. NDUFA8, NDUFS5, NDUFB7 and NDUFB10, members of the twin Cys-X9-Cys family (Longen et al. [2009](#page-52-1)), are located at the intermembrane space (IMS) side of complex I and are shown in different shades of pink. All other accessory subunits are depicted in cyan and the core subunits in grey

assignment of the *Y. lipolytica* subunits is still incomplete (Zickermann et al. [2015;](#page-60-1) D'Imprima et al. [2016\)](#page-47-1) (Fig. [7.4](#page-11-0)). During evolution complex I accessory subunits co-evolved in many different ways, either maintaining, modifying or losing their original function. The only accessory subunits that appear to be unique for metazoa are NDUFA10, NDUFB6, NDUFV3, NDUFC1 and NDUFB1.

Accessory subunits for which some biochemical information is available include NDUFAB1, which has retained its original function. It is homologous to the acylcarrier proteins from bacteria, chloroplast and mitochondria that are involved in fatty acid synthesis (Chan and Vogel [2010](#page-46-7)). In *Y. lipolytica* and bovine systems they are known as ACPM and SDAP, respectively. In mitochondria they often come in isoforms and they are implicated in the synthesis of the lipoic acid cofactor of α -ketoacid dehydrogenases (Hiltunen et al. [2010\)](#page-50-2). Despite the fact that they have been reported to carry a covalently attached pantetheine-4′-phosphate group (Runswick et al. [1991\)](#page-56-3) and the recent cryo-EM map of bovine complex I displays densities consistent with such a ligand, no activity has been reported (Zhu et al. [2016\)](#page-60-0). Moreover, NDUFAB1 is the only subunit of complex I that is also present in fermenting yeasts lacking complex I like *S. cerevisiae*. Interestingly, in *Y. lipolytica* NDUFAB1 is present as two isoforms, ACPM1 and ACPM2, that exhibit different properties although they have a very similar sequence (Dobrynin et al. [2010](#page-48-8)). The phosphopantetheine binding serine in ACPM1 is required for *Y. lipolytica* viability, whereas the one in ACPM2 is only required for complex I activity (Dobrynin et al. [2010\)](#page-48-8). In the bovine system NDUFAB1 is present as two identical copies of the SDAP protein (Fig. [7.4\)](#page-11-0).

In both high-resolution structures of eukaryotic complex I, the NDUFAB1 accessory subunits are docked to the complex by two members of the LYR (leucine/ tyrosine/arginine) motif protein family: NDUFA6 and NDUFB9. The mitochondrial LYR proteins are shown to be involved in the FeS protein biogenesis (Angerer [2015\)](#page-44-2), raising the possibility that LYR family proteins may be involved in transferring the FeS clusters from the iron-sulfur cluster assembly enzyme IscU to recipient proteins (Maio et al. [2014\)](#page-53-5).

The subunit NDUFA10 is homologous to deoxyribonucleoside kinases. It is regarded as specific for metazoa and was found only in some branches of higher eukaryotes, including mammals (Brandt [2006\)](#page-46-1). It seems to be loosely bound to complex I and resides on the matrix side of the NU2M core subunit (Vinothkumar et al. 2014). Zhu et al. (2016) (2016) reported that in the structure the active site appears accessible and the key nucleoside kinase residues seem to be present, although no activity has been reported. NDUFA2 has a thioredoxin fold and in mammals and plants it has two cysteines that in the oxidized form of the protein may form a disulfide bond (Brockmann et al. [2004](#page-46-8)). It is not known whether this disulfide bond has a specific function and this is not conserved in fungi and some lower eukaryotes e.g. *C. elegans* (Brockmann et al. [2004](#page-46-8)). Since this protein was found to be phosphorylated by PINK1, a connection with Parkinson disease has been postulated (Morais et al. [2014\)](#page-54-5).

An accessory subunit that seems to have been recruited at the early stages of eukaryotic evolution (Gabaldon et al. [2005\)](#page-49-1) is NDUFA9. It is attached to the core subunits NDUFS7 and NDUFS3 in the hydrophilic arm. This protein belongs to the protein family of NAD-dependent short chain dehydrogenases/reductases (Fearnley and Walker [1992\)](#page-49-9) and displays a NAD(P)-binding Rossmann fold with a parallel seven-stranded β-sheet. It was found to bind NADPH (Abdrakhmanova et al. [2006\)](#page-44-3) and a density assigned as NADPH was observed in the recent cryo-EM

structures (Zhu et al. [2016](#page-60-0); Fiedorczuk et al. [2016\)](#page-49-2). Fiedorczuk et al. hypothesize that it may function as a redox sensor.

NDUFS6 resides in the hydrophilic arm at the interface between the NADH domain and the rest of the complex and it has been thoroughly characterized in *Y. lipolytica* (Kmita et al. [2015\)](#page-51-5). Its C-terminal domain harbors a zinc-binding site formed by three cysteines and a histidine (Vinothkumar et al. [2014](#page-59-0)). This motif in the *Y. lipolytica* ortholog NUMM has been shown to bind a Zn atom and deletion of the whole gene or residues coordinating the Zn atom causes stalling of the final stage of complex I assembly (Kmita et al. [2015](#page-51-5)). The position of the Zn atom has also been confirmed in the mammalian enzyme (Zhu et al. [2016](#page-60-0); Fiedorczuk et al. [2016\)](#page-49-2).

Accessory subunits NDUFS5, NDUFB7, NDUFA8 and NDUFB10 are members of the twin $Cys-X₉-Cys$ family (Longen et al. [2009](#page-52-1)) and they are located at the intermembrane face of complex I (Zhu et al. [2016](#page-60-0)) (Fig. [7.4\)](#page-11-0). The former three proteins display CHCH domains (pairs of helices linked by two disulfide bonds) (Szklarczyk et al. [2011](#page-58-4)) and are substrate for the Mia40 oxidative-folding pathway (Banci et al. [2009\)](#page-45-5).

Although the main and more frequent complex I deficiencies are related to malfunctions of core subunits, so far already 17 accessory subunits have been linked to complex I related diseases (Rodenburg [2016](#page-56-4)). It has been proposed that they may work as a protective case against ROS (Requejo et al. [2010](#page-56-5)), "insulating" the redox cofactors and thereby preventing electron transfer to molecular oxygen (Hirst [2011\)](#page-50-3). However, this hypothesis does not agree with the data of $O₂$ reduction which are similar in eukaryotes and bacteria (Esterhazy et al. [2008](#page-48-9)) and it does not explain how the prokaryotic enzyme survives without all those accessory subunits.

7.2.7 Complex I Link to Sulfur Metabolism in **Y. lipolytica**

Knowledge about the function and catalytic activity of the accessory subunits of complex I is still lacking in most cases. Recently a function was proposed for an accessory subunit of *Y. lipolytica* complex I: ST1 (D'Imprima et al. [2016](#page-47-1)). The consistent presence of an extra ~34 kDa subunit in *Y. lipolytica* complex I preparations was reported in 2005 and this protein was identified as a member of the sulfur transferase protein family (E.C. 2.8.1) (Abdrakhmanova et al. [2005\)](#page-44-4). Sulfur transferases are present in organisms of all phyla and transfer sulfur-containing groups, reviewed thoroughly in Bordo and Bork [2002](#page-45-6). Although the members of this protein family differ largely at the sequence level, their tertiary structure seems to be highly conserved and resembles that of bovine rhodanese, the most studied and best characterized sulfur transferase (Ploegman et al. [1978](#page-55-2)). Depending on their substrate the sulfur transferase family can be divided in two subclasses; these are thiosulfate:sulfur transferases (TST; E.C. 2.8.1.1) and 3-mercaptopyruvate:sulfur transferases (MST; E.C. 2.8.1.2). Usually the CRXGX[R/T] sequence in the active loop of TSTs enzymes is used as a marker to distinguish them from MSTs, which contain CG[S/T] GVT (Bordo and Bork [2002](#page-45-6)). Since the amino acid sequence of *Y. lipolytica* ST1

Fig. 7.5 The accessory subunit ST1 in complex I from *Y. lipolytica*. The x-ray structure (pdb 4wz7) is displayed as grey ribbon and the homology model of ST1 (D'Imprima et al. [2016](#page-47-1)) in pink. The accessory subunits B8 and 13-kDa from bovine (pdb 5lc5) (homologues of N7BM and NUMM in *Y. lipolytica*) which form the interface are shown in green and blue, respectively. Scale bar: 50 Å

contains the sequence CGSGVT, the accessory subunit ST1 can be classified as an MST enzyme.

A cryo-EM map shows that ST1 is located on the side of the hydrophilic arm in the vicinity of the core subunit NDUFS2 (D'Imprima et al. [2016](#page-47-1)). Based on a comparison with the fully annotated structure of bovine complex I (Zhu et al. [2016\)](#page-60-0), it can be concluded that the interface of ST1 with complex I is provided by the accessory subunits NDUFS6 and NDUFA12 (Fig. [7.5](#page-14-0)). This is in agreement with biochemical data showing that *Y. lipolytica* complex I mutants lacking the accessory subunits NUMM and N7BM (orthologues of NDUFS6 and NDUFS6, respectively) completely lack ST1 (Kmita et al. [2015](#page-51-5)). MST activity was measured in both purified *Y. lipolytica* complex I and for the ST1 protein heterologously expressed in *E. coli* (D'Imprima et al. [2016\)](#page-47-1). No MST activity was detected in complex I preparations after being supplied by NADH or NADPH; moreover, no H2S formation was found in the presence of 3-MP and DTT in a ST1 deletion strain (Abdrakhmanova et al. [2005](#page-44-4)). These results prove that the observed sulfur transferase activity of the *Y. lipolytica* complex I preparation can be assigned to the accessory subunit ST1.

H2S is a potent and effective gasotransmitter that can have beneficial physiological implications or highly toxic effects, depending on the tissue and on the concentration in which it is released (see Wang [2012](#page-59-5) for an exhaustive review).

A rapid catabolism that prevents the H_2S cellular concentration to reach toxic levels might be achieved by directly linking $ST1 H₂S$ formation to a pathway for H2S consumption. An ideal candidate could be sulfide quinone reductase (SQR), a flavoprotein that supplies electrons into the respiratory chain via ubiquinone, which represents the main route of H2S detoxification in mitochondria (Yong and Searcy [2001\)](#page-60-2). D'Imprima et al. propose that a not yet identified SQR in the mitochondrial membrane of *Y. lipolytica* may interact with ST1 bound to complex I, which thus provides a structural scaffold that connects the ubiquinone reduction with the biogenesis of H_2S and its nearly instantaneous detoxification. It is tempting to speculate that *Y. lipolytica* uses this enzymatic pathway to provide electrons from H2S rather than NADH during stressful situations or in a very polluted environment, where this non-fermentative yeast was first discovered.

7.3 Complex II

Complex II or succinate dehydrogenase, also named succinate-coenzyme Q reductase or mitochondrial succinate:ubiquinone oxidoreductase (mitochondrial SQR) (EC 1.3.5.1) is the only respiratory chain complex that does not pump protons across the IMM. It is part of the citric acid cycle, where it catalyzes the oxidation of succinate to fumarate, coupled to the reduction of ubiquinone to ubiquinol. Ubiquinol is a substrate for complex III and thus complex II provides a second direct link between the citric acid cycle and the respiratory chain, apart from NADH that enters the respiratory chain at the level of complex I. Complex II is homologous to quinol:fumarate reductase (QFR), which performs the reverse reaction and is found in anaerobic cells respiring with fumarate as terminal electron acceptor (Hägerhäll [1997](#page-50-4)). Unlike the other respiratory chain complexes, which have additional subunits in eukaryotes, complex II has the same composition in mitochondria and prokaryotes. It consists of two hydrophilic proteins, a flavoprotein (Fp) containing an FAD cofactor and an iron-sulfur protein (Ip) with three FeS clusters, and a membrane anchoring domain, in mitochondria consisting of the two transmembrane proteins, CybL and CybS, with one heme. The membrane domain is less conserved than the catalytic subunits and can consist of one or two proteins with one, two or no heme groups (Hägerhäll [1997](#page-50-4)).

Crystal structures were first determined from prokaryotic QFR (Iverson et al. [1999](#page-51-6); Lancaster et al. [1999\)](#page-52-2) and complex II (Yankovskaya et al. [2003\)](#page-60-3). The structure of the 124-kDa porcine mitochondrial complex II was determined in 2005 (pdb 1zoy) (Sun et al. [2005](#page-57-4)), followed by the very similar avine complex (Huang et al. [2006\)](#page-50-5). The 68-kDa Fp protein has a Rossmann fold and contains the FAD cofactor, the electron acceptor for succinate, in an extended conformation (Fig. [7.6\)](#page-16-0). As was already known for many years (Kearney [1960;](#page-51-7) Walker and Singer [1970\)](#page-59-6) the FAD is covalently bound to a histidine side chain. The 29-kDa Ip protein contains three iron-sulfur clusters, a [2Fe-2S], [4Fe-4S] and [3Fe-4S], and forms the core of the complex, connecting with Fp on one side and with the membrane anchor on the other side. The two membrane-anchor proteins, CybL (15 kDa) and CybS (11 kDa),

Fig. 7.6 Structure of complex II (porcine heart, pdb 1zoy) (Sun et al. [2005\)](#page-57-4). The FAD binding protein (Fp) is shown in light blue; iron-sulfur protein (Ip) in pink; the transmembrane proteins CybL and CybS in purple and dark blue, respectively. The putative membrane region is shaded in gray. The Qd site is indicated by an asterisk. On the right side, the prosthetic groups constituting the electron transfer pathway are shown together with ubiquinone. The electron transfer flow to the Qp site is indicated by blue arrows

each have three TMH and a heme *b* is coordinated between them. The heme iron is coordinated by the imidazole of two histidines, one from each subunit. The succinate and ubiquinone binding sites in the structure were probed with inhibitors. A 3.5-Å crystal structure $(1zp0)$ (Sun et al. [2005](#page-57-4)) shows 3-nitropropionate (NPA), a succinate analog and a strong inhibitor for the succinate-oxidation enzymatic activity of complex II, in the expected position near the FMN group of FAD. TTFA (2-thenoyltrifluoroacetone) is an inhibitor for the ubiquinone reduction of complex II by occupying its ubiquinone-binding sites. Two TTFA molecules were found in the crystal structure, one in the proximity of the [3Fe-4S] cluster on the matrix side (Qp) and one at the distal side of the transmembrane anchor near the IMS (Qd) (Fig. [7.6](#page-16-0)). These sites confirm biochemical evidence for two ubiquinone-binding sites in mitochondrial complex II (Hägerhäll [1997\)](#page-50-4). Comparison with the prokaryotic structures and sequence analysis confirm the position of the matrix binding site, with contributing residues from both transmembrane subunits and the Ip (Sun

et al. [2005](#page-57-4)). While the Fp and Ip subunits are highly conserved from prokaryotes to mitochondria, the membrane anchor is more divergent with sequence identities <20%, implying a different function of this region, and the distal ubiquinonebinding site appears to be specific for mitochondria (Sun et al. [2005](#page-57-4)).

7.4 Complex III

Complex III, also known as cytochrome bc_1 , is the second enzyme in the mitochondrial respiratory chain, to which the distinct substrate-dependent branches of the chain converge. This complex couples the electron transfer from QH_2 to cytochrome *c* with the translocation of protons across the IMM (Trumpower and Gennis [1994](#page-58-5)).

7.4.1 Structure of Complex III

Close to 50 crystal structures of the eukaryotic *bc*1 complex have been produced in the last 20 years. The first complete structure was obtained in 1998 for the bovine complex (Iwata et al. [1998\)](#page-51-8). The structures include native and inhibitor bound states of the enzyme from beef, chicken and yeast and were invaluable for progress in the field. Complex III is a symmetrical dimer, with three core subunits that are highly conserved from bacteria to mammals (Yang and Trumpower [1986\)](#page-59-7). Additionally, up to eight supernumerary subunits can be present in the mitochondrial complex, for a total of 11 subunits per monomer in the mammalian enzyme (Schägger et al. [1986](#page-56-6)) (Fig. [7.7](#page-18-0)). The conserved, catalytically active subunits are cytochrome *b* (cyt *b*), with two *b*-type hemes $(b_L$ and b_H), cytochrome $c₁$ (cyt $c₁$), with one *c*-type heme (c_1) , and the Rieske iron-sulfur protein (ISP) that encloses a high-potential [2Fe-2S] cluster (Yang and Trumpower [1986\)](#page-59-7). Cyt *b* is the only mitochondrial-encoded subunit from complex III and it harbors two distinct quinone-binding sites located on opposite sides of the membrane (Xia et al. [1997](#page-59-8)). The Q_0 site, located on the positive side, serves quinol oxidation, while at the Q_i site quinone (or semiquinone, SQ^{$-$}) is reduced. This integral membrane protein forms the center of the complex, to which both cyt c_1 and ISP anchor through a single TMH (Xia et al. [1997\)](#page-59-8). The soluble domains of the cyt c_1 and ISP subunits accommodate the respective cofactors and are located in the IMS (Xia et al. [1997\)](#page-59-8). Cytochrome *c* interacts with the bc_1 complex through the soluble domain of cyt c_1 (Lange and Hunte [2002\)](#page-52-3).

The supernumerary subunits do not participate in electron transfer and proton pumping and are most likely required for assembly, stability, modulation and regulation of the complex. These subunits are Core 1, Core 2, ISP presequence and subunits 6 to 10 (Iwata et al. [1998\)](#page-51-8). Core 1 and Core 2 are the two largest subunits of the complex and were initially proposed to occupy a central position in the structure (Silman et al. [1967\)](#page-57-5), though later studies proved their peripheral structural and

Fig. 7.7 Structure of complex III (bovine heart, pdb 1bgy) (Iwata et al. [1998](#page-51-8)). Subunits are colorcoded in one monomer, the second monomer is presented in grey. The putative membrane region is shaded in gray

functional role. These subunits are located in the mitochondrial matrix and are homologous to the matrix processing peptidase (MPP). Accordingly, the Core proteins have been suggested to be responsible for the cleavage of the ISP signal peptide. This hypothesis is supported by the presence of the ISP presequence in the mature complex from vertebrates as a stoichiometric subunit, within the cavity formed by Core 1 and Core 2 (Iwata et al. [1998](#page-51-8)), and also by measurements of proteolytic activity for the bovine proteins (Deng et al. [1998](#page-47-2); Deng et al. [2001\)](#page-47-3). However, a clear demonstration of this processing protease activity for maturation of the complex has not been achieved (Berry et al. [2013\)](#page-45-7). Subunit 6 interacts with cyt c_1 and has been suggested to conserve the environment of the heme and aid cytochrome *c* binding (Nakai et al. [1993;](#page-54-6) Yang and Trumpower [1994](#page-60-4)). In the matrix, subunit 7 is at the interface with the membrane and contacts the Core 2 subunit of the opposite monomer (Xia et al. [1997;](#page-59-8) Iwata et al. [1998\)](#page-51-8). The remaining supernumerary subunits 8, 9 and 10 have a single TMH each and surround the conserved membrane domain (Xia et al. [1997](#page-59-8); Iwata et al. [1998\)](#page-51-8).

Complex III is an obligate dimer and although rapid electron equilibration between the b_L hemes (Castellani et al. [2010](#page-46-9); Lanciano et al. [2011](#page-52-4)) and conformational communication between Q_0 and Q_i sites have been proven (Covian and Trumpower [2006\)](#page-47-4), it is still controversial whether the monomers function cooperatively or independently (Khalfaoui-Hassani et al. [2012](#page-51-9); Hong et al. [2012](#page-50-6)). One notable feature of the cytochrome *bc*1 dimer is the topology of the ISP. Each ISP subunit spans the two monomers, with its TMH associated with one monomer while the soluble domain with the [2Fe-2S] cluster transfers electrons from quinol to cytochrome *c* in the other monomer. Complex III biogenesis has been extensively studied in yeast, allowing a comprehensive description of this multistep process (Zara et al. [2009;](#page-60-5) Conte et al. [2015](#page-47-5)). Recent studies have shown that the dimerization occurs early in the assembly pathway and irrespective of expression of the ISP (Conte et al. [2015\)](#page-47-5). The first intermediate forms by association of subunits 7 and 8 to cyt *b*. Subcomplexes containing cyt *c*1, Core 1 and Core 2 are then added to the early core, followed by subunits 6 and 9 for generation of the late core subcomplex, which exists as an immature dimer. Lastly, the late core is activated by incorporation of ISP and subunit 10 (Zara et al. [2009](#page-60-5); Conte et al. [2015\)](#page-47-5). Several nuclear-encoded enzymes aid the formation and assembly of complex III, with some of these integrating the intermediate structures.

7.4.2 Mechanism of Complex III

The reaction catalyzed by cytochrome bc_1 proceeds according to the modified Q-cycle mechanism, based on Peter Mitchell's original proposal (Mitchell [1972,](#page-53-6) [1976\)](#page-54-7) (Fig. [7.8](#page-20-0)). Upon binding of quinol at the Q_0 site, one electron is transferred to the oxidized $[2Fe-2S]$ cluster of the ISP that subsequently reduces heme c_1 (high potential chain). The reduced cyt c_1 finally transfers the electron to the soluble carrier cytochrome *c*. The unstable SQ^{$-$} radical generated at the Q_o site donates the second electron to the low potential chain, consisting of hemes b_L and b_H from cyt *b*. At the Q_i site, a quinone molecule is reduced to SQ^{$-$} by the high potential heme b_H . To complete the Q-cycle, a second quinol is oxidized at the Q_0 site for reduction of a second cytochrome *c* molecule and reduction of the stabilized SQ•− to quinol at the Q_i site. The topological separation of the Q_0 and Q_i sites allows the vectorial transport of protons across the membrane, with the uptake of two H+ at the negative side for quinol production and the release of four $H⁺$ at the positive side (two per quinol molecule that is oxidized). The mechanism used for the electron bifurcation observed at the Q_0 site is however still unknown.

The unique features of the ISP are also key for the catalytic mechanism of complex III. The soluble domain of the ISP has been shown to occupy different positions in the several crystal structures of the complex, where the distances between the $[2Fe-2S]$ cluster and the Q_0 site or heme c_1 are incompatible with efficient elec-

Fig. 7.8 Cytochrome bc_1 Q-cycle mechanism. Cytochrome b , cytochrome c_1 and ISP are depicted in blue, pink and green, respectively. The soluble domain of the ISP is represented in two different conformations, corresponding to the *b* and *c* positions. Blue arrows indicate the uptake and release of protons, while red arrows illustrate transfer of electrons. Q, quinone. QH₂, quinol. The membrane is depicted in gray

tron transfer (Page et al. [1999](#page-54-4)). The observed differences result from the distinct conformations adopted by this domain; it has been shown that for the electron transfer between the Q_0 site and heme c_1 to take place, the soluble domain of the ISP must undergo significant conformational changes (Darrouzet et al. [2000\)](#page-47-6). The movement between the *b* position (close to the O_0 site) and the *c* position (close to cyt c_1) allows the complex to optimize the distances between the cofactors for a productive electron transfer (Iwata et al. [1998](#page-51-8)).

As stated earlier, complex III is an obligate dimer. However, studies of a heterodimeric cytochrome *bc*1 from *Paracoccus denitrificans* with an inactivating mutation in one of the Q_0 sites revealed the same activity levels as the wild-type enzyme (Castellani et al. [2010](#page-46-9)). These results imply that under normal steady-state conditions only one Q_0 site is active at a given time, confirming that the complex operates according to the half-of-the-sites reactivity model. This model postulates also that the activation switch between monomers should be random. The half-ofthe-sites mechanism should reduce the leakage of electrons to oxygen and, therefore, the formation of ROS. Additionally, it was shown that an asymmetric binding to the

 Q_i sites allows both Q_o sites to be active simultaneously. This explains the increased activity observed in presence of low concentrations of antimycin A, an inhibitor of the Qi site (Castellani et al. [2010](#page-46-9)).

Complex III contributes significantly to the production of ROS in the cell (McLennan and Degli Esposti [2000](#page-53-7)). In contrast to complex I that generates superoxide exclusively in the matrix, complex III releases ROS in the IMS and possibly in the matrix as well (St-Pierre et al. [2002;](#page-57-6) Muller et al. [2004\)](#page-54-8). In addition to the damaging effects of mitochondrial ROS, evidence for a regulatory role of ROS produced by the bc_1 complex has been reported. In particular, it has been proposed that complex III-derived ROS contribute to the cellular adaptive response to hypoxia and related cardioprotective ischemic preconditioning (Chandel [2010\)](#page-46-10), adipocyte differentiation (Tormos et al. [2011](#page-58-6)) and apoptosis (Soberanes et al. [2009\)](#page-57-7). Treatments with myxothiazol or stigmatellin, O_0 site inhibitors, reduce or abolish ROS production, while inhibition by antimycin A, at the Q_i site, produces a drastic increase in these species (Bleier and Drose [2013](#page-45-8)). These observations prove that production of ROS by complex III occurs primarily at the Q_0 site. The rate of ROS generation is also closely linked to the membrane potential (Rottenberg et al. [2009](#page-56-7)) and the redox state of the Q-pool (Drose and Brandt [2008](#page-48-10)). Two putative mechanisms can explain the generation of ROS by the SQ•− radicals at the Qo site: a *semiforward* electron transfer or a *semireverse* electron transfer (Bleier and Drose [2013;](#page-45-8) Lanciano et al. [2013](#page-52-5)). In the *semiforward* model, the leakage of electrons is thought to accrue from an increased accumulation or stabilization of the SQ^- at the Q_0 site, compared to the normal turnover in the native enzyme. This reaction may become significant at inhibition by antimycin A, for a highly reduced Q-pool, high membrane potential or specific mutations of the Q_o site. Concurrently, the *semireverse* model advances that the production of ROS results from a reverse electron transfer from the reduced heme b_L to SQ^{\cdot –} and subsequently oxygen. Results supporting either mechanism have been reported (Sarewicz et al. [2010](#page-56-8); Quinlan et al. [2011](#page-55-3)).

Mutations of complex III are not among the most frequent causes of mitochondrial diseases, but they are still linked to a large number of pathologies. Most mitochondrial disorders associated with complex III dysfunction result from mutations of the cytochrome *b* subunit or of the assembly factor BCS1L (Meunier et al. [2013\)](#page-53-8), which is required for translocation and incorporation of the ISP on cytochrome *bc*1.

7.5 Complex IV

Cytochrome *c* oxidase (complex IV) is the terminal oxidase in the mitochondrial respiratory chain and reduces O_2 to H_2O while pumping protons across the IMM. This member of the heme-copper oxidases family is probably the best understood enzyme from the three mitochondrial proton pumping respiratory complexes, made possible by the 2.8 Å x-ray structures of the bovine and bacterial enzyme published in 1995 and 1996 (Tsukihara et al. [1995](#page-58-7); Iwata et al. [1995;](#page-51-10) Tsukihara et al. [1996](#page-58-8)) that stimulated experimental studies with a wide range of techniques.

Fig. 7.9 Structure of complex IV (bovine heart, pdb 1occ) (Tsukihara et al. [1996](#page-58-8)). Core subunits are color-coded and accessory subunits are shown in grey. Right: redox metal centers that participate in the electron transfer from cytochrome *c* to dioxygen. The electron transfer flow is indicated by blue arrows

7.5.1 Core Subunits of Complex IV

Complex IV is composed of 14 different subunits in mammals and birds, from which only the three mitochondrial-encoded subunits I, II and III are present in the simpler bacterial enzyme (Fig. [7.9\)](#page-22-0). Subunit I is a membrane embedded subunit, with 12 TMH and three of the four cofactors, heme a_3 and Cu_B. The fourth catalytic site, Cu_A , is located in subunit II, which is anchored by two TMH and has a soluble domain in the IMS, to which cytochrome *c* binds. Subunit III with seven TMH stabilizes the other two core proteins and acts as an initial proton acceptor in one of the transfer pathways (Varanasi and Hosler [2012;](#page-58-9) Alnajjar et al. [2014\)](#page-44-5).

7.5.2 The Catalytic Cycle of Complex IV

As stated earlier, cytochrome *c* oxidase contains four redox-active metal centers: Cu_A , heme *a* (Fe_{*a*}) and a binuclear center composed of heme *a*₃ (Fe_{*a*3}) and Cu_B (Fig. [7.9](#page-22-0)). In each catalytic cycle, four reduced cytochrome *c* molecules donate sequentially one electron to Cu_A , which is transferred through Fe_a to the active site. Concurrently to each electron transfer to the Fe_{a3}/Cu_{B} binuclear center, the uptake of two protons occurs; in total eight protons are taken from the matrix, from which half

are used for water formation in the binuclear center and the other four are pumped across the membrane into the IMS (Wikström [1977](#page-59-9)).

Numerous reaction intermediates with specific redox and protonation states of Fe_{a3} and Cu_B have been identified, which contributed to the formulation of a detailed model of the catalytic cycle (Konstantinov [2012;](#page-51-11) Popovic [2013](#page-55-4); Sharma and Wikström [2016;](#page-57-8) Yoshikawa and Shimada [2015](#page-60-6)). This can be divided in two phases: the reductive phase and the oxidative phase $(Fig. 7.10)$ $(Fig. 7.10)$. In the reductive phase, oxidized complex IV $(O_H \text{ state})$ receives sequentially two electrons from two molecules of cytochrome *c*; the first electron, together with a proton from the matrix, produces the one-electron reduced intermediate $(E_H\text{-}state)$, while the second electron and proton produce the reduced state (*R-state*). During the reductive phase a hydroxide ion in the binuclear center remaining from the previous cycle is protonated and released as water. The *R-state* has two electrons in the binuclear center (mixed-valence state) and in anaerobic conditions two more electrons, bound to heme a and Cu_A (fully-reduced state).

In the oxidative reaction phase, four electrons are transferred to O_2 for its reduction to H_2O . This phase starts with O_2 binding to cytochrome *c* oxidase in the *R-state*. The reduced binuclear site rapidly reacts with $O₂$ for formation of the oxygen adduct intermediate (*A-state*). The simultaneous transfer of four electrons to the molecule of O_2 converts the *A-state* to the peroxy intermediate ($P_M\text{-}state$). Three of these electrons are donated by the binuclear center (two from Fe*a*3 and one from Cu_B), while an amino acid residue in the vicinity of the active site provides the fourth electron. This residue is thought to be Tyr244, which is covalently bound to one of the histidine ligands of Cu_B . The conversion of the $P_M\text{-}state$ to the ferryl-oxo intermediate (*F-state*) requires the entry of a third electron into the enzyme, provided by the third cytochrome *c* molecule, and the uptake of a proton from the matrix. In the *F-state* a water molecule is formed. Delivery of the fourth electron by cytochrome *c* and a proton from the matrix produces the fully-oxidized high-energy state of the enzyme (O_H -state). The formation of the O_H -state marks the transition to the reductive phase of a new cycle.

It is important to note that the structure of cytochrome *c* oxidase and, in particular, the nonsequential four electron reduction of the bound $O₂$ molecule are crucial for the negligible production of ROS by this complex (Muramoto et al. [2010\)](#page-54-9).

7.5.3 Proton Pumping by Complex IV

The following transitions in the catalytic cycle are accompanied by pumping of one proton across the membrane: $P_M \rightarrow F$, $F \rightarrow O_H$, $O_H \rightarrow E_H$ and $E_H \rightarrow R$. The fullyoxidized low-energy state (*O-state*), which may form in the absence of an electron donor by relaxation of the O_H -state, and the subsequent one-electron reduced state (*E-state*) are not capable of proton pumping upon reduction and most likely are not natural states during the turnover of complex IV (Belevich et al. [2006](#page-45-9)).

It has been proposed that the transfer of the protons in the interior of complex IV for water formation and proton pumping occurs through a hydrogen bond network or by mobile water molecules in the hydronium state. Three proton transfer pathways have been described for the mitochondrial enzyme: D-, K- and H-channel (Wikström et al. [2015](#page-59-10)). Several studies have identified the D-channel as the pathway for the four protons that are pumped into the IMS during the catalytic cycle and also for the uptake of one or two of the chemical protons used at the binuclear center for water formation (Konstantinov et al. [1997](#page-51-12); Brzezinski and Adelroth [1998\)](#page-46-11). The D-channel includes ten water molecules and ends approximately in the middle of the membrane at a glutamic acid residue. The K-channel extends from a glutamic acid residue near the conserved lysine on the matrix side of subunit II to the conserved tyrosine close to the binuclear center. Only a few water molecules have been shown to be present in the channel, which is lined by numerous hydrophobic residues of subunit I. This pathway is responsible for the uptake of one or two chemical protons during the reductive phase (Konstantinov et al. [1997;](#page-51-12) Brzezinski and Adelroth [1998](#page-46-11)). High-resolution structures of the enzyme from bovine heart mitochondria revealed a third pathway called H-channel, which crosses the membrane all the way from the matrix to the IMS (Tsukihara et al. [1995](#page-58-7)). This channel consists of water-filled cavities and is lined by several polar residues and passes far from the catalytic site of complex IV. The enzyme from bovine heart with several mutations in the H-channel presented normal turnover activity, but no proton translocation (Tsukihara et al. [2003;](#page-58-10) Shimokata et al. [2007\)](#page-57-9). In bacteria, with few exceptions the residues that compose the H-channel are conserved. However, site-directed mutations of this channel in the bacterial complex showed no effects in either turnover activity or proton pumping (Lee et al. [2000;](#page-52-6) Salje et al. [2005](#page-56-9)). In an attempt to reconcile this results, the H-channel has been suggested not to be involved in proton pumping, but instead to work as a dielectric channel for minimization of the thermodynamic cost of moving electrons from the membrane surface into the nonpolar membrane domain where heme *a* is located (Rich and Marechal [2013\)](#page-56-10).

7.5.4 Accessory Subunits of Complex IV

The accessory subunits of cytochrome *c* oxidase strongly depress the activity of the core subunits and have a fundamental role in the regulation of the complex. Subunit IV is the largest of the supernumerary subunits (Fig. [7.11](#page-25-0)) and binds ATP, being responsible for the allosteric ATP-inhibition of complex IV at high cytosolic ATP/ ADP-ratios (Arnold and Kadenbach [1997\)](#page-44-6). This inhibition is dependent on the phosphorylation of subunit I and its disruption leads to an increase of the membrane potential and ROS production in mitochondria, associated with apoptosis and degenerative diseases. Subunit Va and Vb are both located exclusively in the matrix. The former was shown to abolish the allosteric ATP-inhibition upon binding to the thyroid hormone T2 (3,5-diiofothyronine) in the enzyme from bovine heart (Arnold et al. [1998b\)](#page-44-7). Subunit Vb contains a Zn site with a classic zinc finger motif and it has been suggested to play a role in the assembly of the complex (Galati et al. [2009\)](#page-49-10). This subunit also interacts with the regulatory subunit of the cAMP-dependent protein kinase A for a cAMP-dependent inhibition of complex IV (Yang et al. [1998\)](#page-60-7). Subunit VIa has one TMH and a small soluble domain in the IMS and has been implicated in stimulation of thermogenesis for maintenance of the body temperature

Fig. 7.11 Structure of bovine complex IV accessory subunits (pdb 1occ) (Tsukihara et al. [1996\)](#page-58-8). Accessory subunits are color-coded and core subunits are shown in grey

in response to different stimuli in muscle and other tissues (Anthony et al. [1993;](#page-44-8) Lee and Kadenbach [2001](#page-52-7)). The only subunit located exclusively in the IMS is subunit VIb, which has been proposed to participate in cytochrome *c* binding and that, together with subunit VIa, stabilizes the dimeric state in which the protein was crystallized. Both subunits VIIa and VIIb have a single TMH and small extramembrane domains in the matrix and IMS, respectively. The first one was shown to form protein-protein contacts with complex III in the respirasome (Sousa et al. [2016](#page-57-10); Gu et al. [2016;](#page-49-11) Letts et al. [2016](#page-52-8)), while the latter has been proposed to be essential for complex IV assembly and activity (Indrieri et al. [2012](#page-50-7)). Also subunit VIIc is involved in the formation of the respirasome by interaction with complex I (Sousa et al. [2016;](#page-57-10) Gu et al. [2016](#page-49-11); Letts et al. [2016](#page-52-8)). No specific function has been proposed for subunits VIc and VIII, each with one TMH.

The most recently identified subunit of complex IV was initially thought to belong to complex I and named NDUFA4 (Carroll et al. [2003](#page-46-0); Carroll et al. [2006\)](#page-46-4). This subunit is encoded in the nucleus and is loosely attached to the rest of the enzyme, and its assignment was controversial (Hirst et al. [2003](#page-50-8)). However, NDUFA4 was shown to interact with subunits of complex IV and to be an essential subunit for its biogenesis (Balsa et al. [2012;](#page-45-10) Pitceathly et al. [2013](#page-55-5)). Recently a new cytochrome *c* subunit was identified in *Saccharomyces cerevisiae* named Cox26; however, this subunit is not conserved in higher eukaryotes (Levchenko et al. [2016\)](#page-52-9).

Cytochrome *c* oxidase occurs in different isoforms. Subunits VIa, VIIa and VIII were first shown to have distinct isoforms in heart and liver mitochondria. The isoforms VIa-H, VIIa-H, VIII-H and VIa-L, VIIa-L, VIII-L are predominant in skeletal muscle cells and all other tissues, respectively (Schlerf et al. [1988](#page-56-11)). Isoforms of subunits VIa and VIIa were also shown to have specific expression patterns during development (Ewart et al. [1991;](#page-49-12) Bonne et al. [1993;](#page-45-11) Parsons et al. [1996](#page-54-10)). A third isoform of subunit VIII (VIII-3) was identified, for which the level of specificity is still not known (Huttemann et al. [2003b\)](#page-50-9). Subunit IV has a lung-specific isoform (IV-2) (Huttemann et al. [2001](#page-50-10)) and subunit VIb (and possibly VIIb) a testes-specific isoform (VIb-2; VIIb-2) (Huttemann et al. [2003a\)](#page-50-11). The expression of these tissuespecific and developmental-specific isoforms introduces an extra level of regulation of complex IV activity.

7.5.5 Complex IV Deficiencies

Numerous pathologies have been reported after a perturbation of complex IV assembly or function (Rak et al. [2016\)](#page-55-6). Few of these reports are associated to mutations of nuclear-encoded subunits of complex IV, as is the case for other respiratory complexes. Mutations of the mitochondrial-encoded subunits I, II and III are linked to a large number of different phenotypes and have been often observed, but even more frequently complex IV deficiencies have their origin in mutations of specific assembly factors. The diversity of phenotypes has been accredited to the degree of heteroplasmy in the case of subunits I, II and III and to the existence of several of the nuclear-encoded subunits in distinct isoforms.

7.6 Supramolecular Organization of the Respiratory Chain Complexes

The organization of the respiratory complexes in the mitochondrial membrane has been extensively debated for decades. Originally, it was proposed that the components of the respiratory chain were packed in the membrane, forming high order structures that allowed efficient electron transfer (Chance and Williams [1955\)](#page-46-12). This solid-state model was however quickly discredited and gave place to the fluid or random collision model. The new paradigm was that each complex exists as a single entity that freely diffuses in the membrane and electron transfer occurs during random collisions (Hackenbrock et al. [1986\)](#page-50-12). The existence of stable supercomplexes of complexes III and IV in some prokaryotes was considered specific for these species (Iwasaki et al. [1995\)](#page-51-13). Only at the beginning of the twenty-first century, through solubilization with mild detergents like digitonin and analysis by bluenative polyacrylamide gel electrophoresis, supercomplexes were shown to be present in eukaryotes (Schägger and Pfeiffer [2000](#page-56-12)). Negative stain electron microscopy (EM) studies showed that these supercomplexes had a defined structure, including I_1III_2 complexes from plants (Dudkina et al. [2005a\)](#page-48-11), I_1III_2 and $I_1III_2IV_1$ from bovine heart (Schäfer et al. 2006), and $III₂IV₂$ and $III₂IV₁$ complexes from *S*. *cerevisiae* (which lacks complex I) (Heinemeyer et al. [2007](#page-50-13)). These results introduced the currently established plasticity model, according to which the respiratory complexes exist free in the membrane but also as large supramolecular structures (Acin-Pérez et al. [2008\)](#page-44-9). Since their first isolation, numerous stoichiometric supercomplexes formed by complexes I, III and IV have been identified, while the association of complex II in such structures was hypothesized in only a few cases (Acin-Pérez et al. [2008](#page-44-9); Schönfeld et al. [2010](#page-56-14)). Studies with bovine heart mitochondria suggest that all complex I is associated in supercomplexes, while approximately 30% of complex III and more than 85% of complex IV exists as free enzymes (Schägger and Pfeiffer [2001](#page-56-15)).

7.6.1 The Respirasome

Special interest has been devoted to the study of the respirasome $(I_1III_2IV_1)$, which contains all the enzymes required for the electron transfer from NADH to molecular oxygen and is conserved in all higher eukaryotes. It is the most abundant supercomplex in bovine heart mitochondria (Schägger and Pfeiffer [2000](#page-56-12), [2001](#page-56-15); Schäfer et al. [2006\)](#page-56-13) and has higher activity than the species lacking complex IV (Schäfer et al. [2006\)](#page-56-13). Its three-dimensional structure has been studied by EM and after initial structures from 33–18 Å where the relative orientation of the complexes could be seen (Schäfer et al. [2007](#page-56-16); Althoff et al. [2011;](#page-44-10) Dudkina et al. [2011\)](#page-48-12) structures with sub-nanometer resolution were recently produced for several mammalian species (Gu et al. [2016](#page-49-11); Letts et al. [2016](#page-52-8); Sousa et al. [2016\)](#page-57-10). The overall arrangement of the complexes is conserved in all respirasome structures; the complex III dimer lies on

the inner side of the complex I membrane arc, while complex IV is located at the distal end of the membrane arm of complex I and adjacent to complex III (Fig. [7.12\)](#page-29-0). The three complexes are associated by several direct protein-protein interactions that mostly involve accessory subunits. The contacts between complex I and complex III are the most extensive within the respirasome, with subunits B22 (NDUFB9) and B14.7 (NDUFA11) of complex I interacting with Core 1 and subunit 8 of complex III, respectively. Additionally, Core 1, and also subunit 10, of complex III associate with subunit VIIa of complex IV. Only one core subunit among the three complexes is involved in the stabilization of the supercomplex; this subunit is ND5 (NU5M) from complex I, which binds subunit VIIc of complex IV. Lipid-mediated interactions are equally important for supercomplex stability. In particular, cardiolipin, which exists almost exclusively in the IMM, has been shown to be required for formation of supercomplexes (Mileykovskaya and Dowhan [2014](#page-53-9)).

The assembly process of the supercomplexes, and more significantly of the respirasome, is still unknown. Two conflicting models have been proposed based on studies performed in higher eukaryotes. According to the first model, the respirasome is formed by association of the mature individual complexes (Acin-Pérez et al. [2008;](#page-44-9) Guerrero-Castillo et al. [2016\)](#page-50-14). Conversely, evidence for the formation of the respirasome by a progressive integration of single subunits or intermediate assemblies has been also reported (Moreno-Lastres et al. [2012\)](#page-54-11). In the latter case, it was suggested that in addition to the direct assembly in supercomplexes, biogenesis of complexes III and IV might occur independently, while mature complex I is exclusively assembled in the respirasome. At this moment, only one protein has been unquestionably identified as a supercomplex assembly factor; SCAFI is required for the association of complex IV into supercomplexes but does not affect the assembly or stability of the individual complex (Lapuente-Brun et al. [2013;](#page-52-10) Cogliati et al. [2016\)](#page-47-7).

7.6.2 Functions of the Respirasome

Advantages of the organization of the respiratory chain in supercomplexes have been hotly debated, with the possibilities ranging from structural to kinetic and other functional roles. From a structural perspective, supercomplexes have been proposed to be required for proper expression of complex I, by contributing to its assembly or stabilization. This hypothesis gained force with the identification of several combined deficiencies in patients with mitochondrial disorders, where impaired expression of complex III induces the secondary loss of complex I (Bruno et al. [2003](#page-46-13); Lamantea et al. [2002](#page-52-11)). Similar effects were observed in mammalian cells with complex IV deficiencies (D'Aurelio et al. [2006;](#page-47-8) Vempati et al. [2009](#page-58-11); Diaz et al. [2006\)](#page-47-9). Recent reports suggested that the degradation of complex I in the absence of complexes III or IV might be due to the accumulation of reduced quinone and consequent ROS production through RET (Guarás et al. [2016\)](#page-49-13).

Fig. 7.12 Structure of respirasome (bovine heart, pdb 5luf) (Sousa et al. [2016\)](#page-57-10). View perpendicular to the membrane (top) and from the matrix (bottom). Complex I is shown in dark cyan, complex III in pink and complex IV in yellow. *****: contacts between subunits of complex I and III; **#**: I and IV; **O**: III and IV as described in the text

However, several studies showed also that inhibition of complex III or IV activity is insufficient to prompt complete degradation of complex I (Acin-Pérez et al. [2004](#page-44-11); Guarás et al. [2016](#page-49-13)), suggesting that physical interaction with other respiratory complexes is most likely important for maintaining complex I levels.

Another possible function of the respiratory supercomplexes relates to ROS production. By enhancing the ratio of free to superassembled complex I, the production of ROS was also increased (Maranzana et al. [2013\)](#page-53-10), showing that the supramolecular organization of the respiratory chain reduces the leakage of electrons from complex I. Similar observations were reported upon destabilization of supercomplexes from *Saccharomyces cerevisiae* that contain only complexes III and IV, which imply that also ROS production by complex III might be reduced (Chen et al. [2012;](#page-46-14) Vukotic et al. [2012\)](#page-59-11).

Finally, one of the most discussed advantages of supercomplexes has been the possibility of enhanced catalysis and efficiency of the electron transfer due to substrate channeling (Fig. [7.13](#page-31-0)). Substrate channeling is the direct transfer of an intermediate between the active sites of two enzymes that catalyze consecutive reactions. In the case of the respirasome, channeling of both quinone and cytochrome *c* were hypothesized. Contradictory results regarding channeling of cytochrome *c* between complex III and complex IV hinder a conclusion in this case. However, channeling of quinone from complex I to complex III within the respirasome has found support in numerous reports. Studies by flux control analysis found that both enzymes are rate-limiting for NADH oxidation, suggesting a relevant functional association (Bianchi et al. [2004;](#page-45-12) Lapuente-Brun et al. [2013\)](#page-52-10). In case of channeling, a partition of the quinone pool would also be observed, with dedicated populations for succinate and NADH-dependent respiration. This compartmentalization explains for example why a reduction of complex III levels can impair succinate-dependent electron transfer, while NADH oxidation is maintained, due to the association of all the available complex III to complex I (Lapuente-Brun et al. [2013\)](#page-52-10). Further arguments in favor of quinone channeling have been provided by the architecture of the respirasome. Early structures of this supercomplex revealed that the quinonebinding sites of complex I and the central monomer of complex III are aligned and within a short diffusion distance (Althoff et al. [2011](#page-44-10)). Recently, a functional asymmetry of the complex III dimer was observed in one structure of the bovine superassembly, where the central monomer preferentially catalyzes cytochrome *c* reduction (Sousa et al. [2016\)](#page-57-10). The non-involvement of the outer monomer in the transfer of electrons from ubiquinol to the soluble carrier could be explained by the larger distance between the quinone-binding sites, which is incompatible with substrate channeling. Despite all the evidence supporting a kinetic advantage associated with respirasome formation, no consensus has been reached until now.

Fig. 7.13 Electron and substrate transfer in the respirasome according to the channeling model. Rapid electron equilibration between b_L hemes allows quinone reduction in either monomer of complex III. Quinone oxidation occurs preferentially at the central monomer of complex III. Complex I, III and IV are depicted in blue, pink and yellow, respectively. Quinone-binding sites are represented by circles. Blue arrows indicate transfer of electrons and dotted arrows diffusion of substrates. Reactions are indicated by black arrows

7.6.3 Plasticity of the Supramolecular Organization in the IMM

The ratio between free complexes and supercomplexes in the IMM is highly regulated, varying among cell types and in response to physiological stimuli. Disorganization of the cristae during apoptotic remodeling of the mitochondrial architecture leads to impaired assembly of supercomplexes, while the narrowing of cristae observed during starvation conditions favors supercomplex formation (Cogliati et al. [2013\)](#page-47-10). Also the lipid composition of the IMM is critical in the supercomplex dynamics; whereas cardiolipin is required for the formation of supercomplexes, depletion of other lipids such as phosphatidylethanolamine stabilizes these structures (Bottinger et al. [2012](#page-45-13)). The dynamics of supercomplexes is further regulated by the transmembrane electrochemical potential, with the formation of superassemblies being favored at lower values (Quarato et al. [2011\)](#page-55-7). Additionally, the acidification of the mitochondrial matrix, as induced during hypoxia, can promote the dissociation of respirasomes (Ramirez-Aguilar et al. [2011\)](#page-55-8). Posttranslational modifications have been also conjectured to influence supercomplex formation, however no correlation has yet been established.

The plasticity of the respiratory chain organization might be imperative for metabolic regulation. For instance, glucose feeds more electrons into the respiratory chain through NADH and therefore through complex I and the respirasome, whereas fatty acid oxidation largely contributes electrons through an FAD-linked pathway that is favored by free complexes (Lenaz and Genova [2016\)](#page-52-12). A change in the equilibrium between free complexes and supercomplexes might be used for adaptation to substrate availability, ensuring their efficient oxidation. The plasticity observed might also modulate the production of ROS and therefore cellular activity, since these are known to act as signaling molecules (Whelan and Zuckerbraun [2013\)](#page-59-12).

7.7 ATP Synthase

The proton gradient created by the respiratory chain drives a membrane-embedded rotor, the F_0 part of the F_1F_0 ATP synthase, and ATP is generated by conformational changes in the three nucleotide-binding pockets of the F_1 head by the binding change mechanism (Boyer [1993](#page-45-14); Abrahams et al. [1994](#page-44-12); Boyer [1997](#page-45-15)). The ATP synthase is a combination of two molecular machines and can act as an ion-gradient driven ATP synthase or as an ion pump powered by ATP hydrolysis. The binding change mechanism was first proposed by Paul Boyer (reviewed in (Boyer [1997\)](#page-45-15)) and later confirmed by x-ray structures of the bovine F_1 in John Walker's laboratory (Abrahams et al. [1994\)](#page-44-12). Rotation of the γ subunit was shown directly (Noji et al. [1997\)](#page-54-12) by fixing a $\alpha_3\beta_3\gamma$ complex to a glass surface through the β subunit with a fluorescent actin filament attached to γ. After adding ATP, the rotation could be observed under a microscope. Also the direction of rotation for hydrolysis (counterclockwise as viewed from the membrane domain) was unambiguously determined by this experiment. ATP synthase consists of eight or nine different subunits in bacteria which are conserved across most species including mitochondria and chloroplasts, while mitochondrial ATP synthase has acquired more subunits (Table [7.2\)](#page-33-0).

E. coli	Chloroplasts	Mammals	Yeasts	Polytomella	Location and function
α ³	α 3	α ³	α ³	α ³	F_1 head
β 3	β 3	β 3	β 3	β 3	F_1 head/catalytic subunit
γ	γ	γ	γ	γ	Central stalk/rotor
ε	ε	δ	δ	δ	Central stalk/rotor
		ε	ε	ε	Central stalk/rotor
δ	δ	OSCP	OSCP	OSCP	Peripheral stalk
	-	\overline{d}	\overline{d}	-	Peripheral stalk
		F ₆	\boldsymbol{h}		Peripheral stalk
\boldsymbol{b}	\boldsymbol{b}	\boldsymbol{b}	$\overline{4}$	$\overline{}$	F _o /peripheral stalk
b	b^{\prime}	A6L	8	-	F_o /peripheral stalk ^a
$\mathfrak a$	$\mathfrak a$	$\mathfrak a$	6	$\mathfrak a$	F_0 /stator/proton channel
c12	c14	c8	9/c10	c10	F _o /rotor/proton channel
		f	f		F_0 /stator/dimerization
			i		F_0 /stator
		ϵ	ϵ	-	F _o /dimerization
	-	g	g	-	F_0 /dimerization
	-	-	\boldsymbol{k}	-	$F_o/dimerization$
		IF1	IF1		ATPase inhibitor
				$ASA1-9$	Peripheral stalk ^b

Table 7.2 Subunits of ATP synthase in different species

a The relation between the *b* subunit and 8/A6L was established by Hahn et al. [\(2016](#page-50-15)) b *Polytomella* and *Chlamydomonas* have an elaborate peripheral stalk consisting of 9 different subunits without known relatives (van Lis et al. [2003;](#page-58-12) van Lis et al. [2007\)](#page-58-13)

7.7.1 Composition and Subunits

7.7.1.1 F1 Head

The soluble or F_1 part is the catalytic head, consisting of an alternating arrangement of three α and three β subunits, arranged around the γ subunit, which is part of the rotor (Fig. [7.14](#page-34-0)). The α and β subunits are homologous and have the same fold, but only the β subunit is catalytic. The α subunits permanently contain a nucleotide without a known function, while the nucleotide-binding pockets of the β subunits are in turn in an open, loose and tight state upon rotation of the asymmetric γ subunit, which forms a long α helix coiled coil. Numerous crystal structures of F_1 in the ground state or ADP-inhibited state (reviewed in (Walker [2013](#page-59-13))) show that two of the three nucleotide-binding sites in the β subunit have a similar conformation and contain either ADP and AMP-PNP (a non-hydrolysable ATP analogue), two ADP, or two AMP-PNP. The third site has a very different conformation and is almost always empty. The three sites interconvert upon rotation of the γ subunit.

Fig. 7.14 Subunit composition of mitochondrial ATP synthase from *Yarrowia lipolytica* (Hahn et al. [2016\)](#page-50-15)*.* View from the dimer interface (left) and from the side (right)

7.7.1.2 The Rotor

The rotor shaft is a coiled coil formed by the long N-terminal and C-terminal helices of the γ subunit. The central part of γ is attached to a membrane-embedded ring of c -subunits, together with the δ subunit (ε in bacteria) and in mitochondria another small protein, confusingly called ε (Fig. [7.14\)](#page-34-0). The *c-*subunits are helix hairpins with a conserved negatively charged amino acid (in most species a glutamate, but an aspartate in *E. coli*) in the middle of the outer helix. This residue is expected to be protonated, so neutral, when in contact with the lipid environment of the membrane, but deprotonated and charged in the hydrophilic contact site with the stator. The number of subunits in the rotor ring was long an issue of debate, until structural data (Stock et al. [1999](#page-57-11); Seelert et al. [2000](#page-56-17); Stahlberg et al. [2001\)](#page-57-12) showed that the number varies between species. By now, in bacterial ATP synthases rings have been found with 9 to 15 subunits (Stahlberg et al. [2001;](#page-57-12) Pogoryelov et al. [2005;](#page-55-9) Meier et al. [2006;](#page-53-11) Fritz et al. [2008](#page-49-14); Pogoryelov et al. [2009;](#page-55-10) Matthies et al. [2009;](#page-53-12) Morales-Rios et al. [2015;](#page-54-13) Preiss et al. [2015\)](#page-55-11), chloroplasts have 14 (Seelert et al. [2000;](#page-56-17) Seelert et al. [2009\)](#page-57-13), while mammalian mitochondrial ATP synthase has 8 subunits (Watt et al. [2010\)](#page-59-14) and those in yeasts (Stock et al. [1999](#page-57-11); Hahn et al. [2016](#page-50-15)) and green algae (Allegretti et al. [2015](#page-44-13)) have 10. A rotor ring of *n c*-subunits yields 3 ATP per *n* translocated ions, so the stoichiometry has bioenergetic implications. The primary structure of the *c*-subunit determines the stoichiometry, and a region at the top of the

inner helix appears to be the major contributor. A conserved sequence GxGxGxG enables a close packing of the helices in the *c*11-ring of *Ilyobacter tartaricus* (Vonck et al. [2002](#page-59-15)), the first ring for which the x-ray structure was solved (Meier et al. [2005\)](#page-53-13). The glycines are exchanged to alanine or serine in some species (Liu et al. [2011\)](#page-52-13), for example to AxAxAxA in the alkaliphilic soil bacterium *Bacillus pseudofirmus* OF4, resulting in a reduced curvature and thus a larger ring with 13 subunits (Preiss et al. [2010](#page-55-12)). Mutations in this motif can alter the stoichiometry (Pogoryelov et al. [2012;](#page-55-13) Preiss et al. [2013](#page-55-14)), and mutants of *B. pseudofirmus* OF4 with a smaller c_{12} -ring grew slower than the wt c_{13} showing a direct connection between the ion-to-ATP ratio and cell physiology (Preiss et al. [2013](#page-55-14)).

7.7.1.3 Rotation Mechanism and the *a* **Subunit**

Before the structure of the *c-*ring was known, rotation of the ring was already hypothesized to occur by a "Brownian ratchet" mechanism, where the ring carries out random Brownian motions and only neutral (protonated) glutamates can enter the lipid phase, thus imposing directionality on the rotation of the ring (Junge et al. [1997;](#page-51-14) Vik and Antonio [1994](#page-58-14)). In this model, two half-channels allow access to the protonation site from either side of the membrane and the direction of rotation (and thus ATP synthesis or hydrolysis) depends on the electrochemical gradient. The first structures of the rotor ring (Meier et al. [2005;](#page-53-13) Murata et al. [2005\)](#page-54-14) supported this model, and evidence for the postulated half-channels accessible from the two sides of the membrane also emerged (Angevine and Fillingame [2003](#page-44-14); Fillingame and Steed [2014](#page-49-15)). However, the structure of the stator, the *a* subunit, remained elusive. The *a* subunit was predicted to have 5–7 TMH, with a consensus tending to 5 (Vik and Dao [1992;](#page-58-15) Cain and Simoni [1986\)](#page-46-15), but the helix boundaries were uncertain and differed considerably between models. The highest sequence similarity is found at the C-terminus, which contains a completely conserved and essential arginine residue as well as several other conserved polar residues. Several residues in this region could be exchanged (Cain and Simoni [1988](#page-46-16); Hatch et al. [1995\)](#page-50-16) or crosslinked to the *c*-subunits (Jiang and Fillingame [1998](#page-51-15); Moore and Fillingame [2008\)](#page-54-15), leading to a model where the C-terminus contains two TMH facing the *c*-ring as part of a fourhelix bundle (Schwem and Fillingame [2006](#page-56-18)). Attempts to purify the *a* subunit were often unsuccessful, although a preparation in a chloroform-methanol-water mixture gave promising results for NMR measurements (Dmitriev et al. [2004\)](#page-48-13) and a twodimensional crystal of a bacterial F_0 subcomplex appeared to show the four-helix bundle (Hakulinen et al. [2012\)](#page-50-17). Attempts to crystallize the whole ATP synthase always yielded a structure lacking the stator (Stock et al. [1999;](#page-57-11) Pagadala et al. [2011;](#page-54-16) Giraud et al. [2012;](#page-49-16) Hahn et al. [2016](#page-50-15)). The introduction of direct electron detectors finally led to cryo-EM maps of intact ATP synthase complexes with sufficient resolution to solve the *a* subunit enigma. The first structure was the unusually stable mitochondrial dimer from the chlorophyll-less green alga *Polytomella* (Allegretti et al. [2015\)](#page-44-13), soon followed by a monomeric bovine complex (Zhou et al. [2015](#page-60-8)) and the dimer of the yeast *Y. lipolytica* (Hahn et al. [2016\)](#page-50-15). Apart from these mitochondrial complexes, also a cryo-EM map of a vacuolar ATPase (Zhao et al. [2015\)](#page-60-9) and its membrane domain (Mazhab-Jafari et al. [2016](#page-53-14)) were determined, as well as the first x-ray structure of an almost complete ATP synthase, from *Paracoccus denitrificans* (Morales-Rios et al. [2015\)](#page-54-13). The ATP synthase structures all showed the same architecture of the *a* subunit: the predicted four-helix bundle with the two C-terminal helices facing the *c*-ring, but unexpectedly, the bundle is almost horizontal in the membrane. The distantly related V-ATPase also displays two helices facing the rotor at the same angle, showing the ancient and essential nature of this feature. All structures are at intermediate resolution where side chains are not yet visible, so the interpretation is not unambiguous. However, the known interaction of the C-terminal part of the *a* subunit with the *c*-ring places this region in the helix hairpin next to the ring and the availability of thousands of sequences and the observed high structural homology between available structures place restraints on possible models (Fig. [7.15\)](#page-37-0). For example, although the two C-terminal helices are the most conserved parts of the structure, the loop between them has a variable length. The presence of two conserved, interchangeable pairs (His-Glu and Arg-Gln) (Cain and Simoni [1988](#page-46-16); Hatch et al. [1995](#page-50-16)) with a member on each helix fixes the relative orientation (Allegretti et al. [2015](#page-44-13)). A model for the bovine *a* subunit was built using constraints from analysis of evolutionary covariance in the sequences (Zhou et al. [2015\)](#page-60-8). From the bovine and yeast structures (Zhou et al. [2015;](#page-60-8) Hahn et al. [2016\)](#page-50-15) almost the entire *a* subunit was assigned, and the models are very similar. The structure consists of six helices: a vertical TMH with the N-terminus in the IMS, an amphipathic helix on the matrix surface, and two helix hairpins forming a bundle at a high angle in the membrane (Fig. [7.16](#page-38-0)). These features are conserved in bacterial, mitochondrial and chloroplast *a* subunit sequences (Fig. [7.15\)](#page-37-0) and can also be recognized in partially unassigned features of the *Polytomella* cryo-EM map (EMD-2852) (Allegretti et al. [2015\)](#page-44-13) and the bacterial x-ray structure (pdb 5dn6) (Morales-Rios et al. [2015](#page-54-13)), as well as in the structure of monomeric ATP synthase from the yeast *Pichia angusta* (Vinothkumar et al. [2016\)](#page-59-16).

The two C-terminal helices (5 and 6) are highly conserved in all F_1F_0 ATP synthases and are characterized by a series of charged or polar residues in a hydrophobic environment. The helices form a hairpin at an angle of \sim 20 \degree to the membrane plane, with a loop near the IMS surface and the termini on the matrix side. The length of the loop is not conserved (Fig. [7.15](#page-37-0)), but the region is highly hydrophobic, in agreement with its location in the membrane interior, and includes one or several proline residues in most species, which probably contribute to breaking of the helices and/or forming a bend. The proton entrance on the IMS side is near the helix5-6 loop. The helix closest to the IMS, in most structures interpreted as helix 5, curves around the *c*-ring (Fig. [7.16](#page-38-0)). Conserved hydrophilic residues in this region on helix 5 and 6 line an aqueous cavity on the matrix side, where the protons will be released from the *c*-ring after almost a complete rotation of the ring. The conserved arginine of subunit *a* is located between the two proton channels and its charged side chain would prevent passage of a protonated, uncharged glutamate on the *c*-ring and thus ensure unidirectional rotation of the rotor. The resulting direction (counterclockwise as seen from the matrix for ATP synthesis) fits the observation (Noji et al. [1997\)](#page-54-12).

	Polytomella Chlamydomonas Yarrowia lipolytica Saccharomyces cerevisiae Pichia pastoris Human Paracoccus denitrificans Escherichia coli Synechococcus Spinacia oleracea		MSVLSSVSMGSRIGSSLLGRSSAYLAQCGFSTRSNLNGSIDTSSSVFQALSSDNENKPAASPLNVKLPGM MALQQAAPRVFGLLGRAPVALGQSGILTGSSGFKNQGFNGSLQSVENHVYAQAFSTSSQEEQAAPSIQGASGMKLPGM	MNF MFNLLNT М MABE MASENMTPODYIGHH MNLFFS MNVLSYSINPLK
P C Hs		helix 1	SCSSILLPKTSR----IAVPFG-NQTMAMSSVRDVKTGSLPTNFLTGVYRFWRSQNPAEKPHDPVNDRLLPAVVDASDKRAS-------I AGSMLLGKSRSGLRTGSMVPFAAQQAMNMSTAAQVQSGATVNSLLLGIYRFWRSQAPMDKPHAPVDDRMLPAIVDASDNRAA--------L Y1 IINSPLEQFTTRVYFGLSSGLINLDTITLTSFSIYSIAVVALILGFSILN--DNNTNILPTRWSLAFESLYFTVEKMVSEQIGG-LEGRLL Sc YITSPLDQFEIRTLFGLQSSFIDLSCLNLTTFSLYTIIVLLVITSLYTLT--NNNNKIIGSRWLISQEAIYDTIMNMTKGQIGG-KNWGLY Pp FIFSPLDQFDMKLFIGFASPIDFISNLNITTFTIYTILVYLVIYYIFKLS--LNNKKIIGSQWFLSIEATFHTILNMVKGQIGG-TAYGTY MNENLFASFIAPTILGLPAAVLIILFPPLLIPTSKYLINNRLITTQQWLIKLTSKQMMTMHNTKG------RTW Pd EAGGLVFHPMDQFVIKPLFGEGPVNWYTPTNATLWMALAALAITALLVFG--TRGRAIVPNRVQSIAELLYGMVHKMVEDVTGKDGLK--Y <i>EC L</i> NNLOLDLRTFSLVDPONPPATFWTINIDSMFFSVVLGLLFLVLFRSVAK---KATSGVPGKFOTAIELVIGFVNGSVKDMY--HGKSKLA S APPLVAELEVGHHLYWHLGKFTVHGQVLIASWIAIALILTVVLLGTROLQ-------REPAGLQNFIEYTLEFVQSIARAQIG-EKNFRPW <i>So</i> GLYAISGVEVGQHFYWQIGGFQIHGQVLITSWVVIAILLGSAAIAVRSPQ-------TIPTGGQNFFEYVLEFIRDVSKTQIG--EEYRPW	amphipathic helix 2
P с	helix 3 :. ::::: *: . ::*:	$3-4$ loop	helix 4 GTWATTFFCTIISCNLLG-LMPFN-----EAPTSGL----------GFATGLGVSVWATATILGLSKTGFKFP--GHFIPGGTPWPM-AF GTWATALFCTILASNLLG-LVPTN-----EAPTSGL----------GFATGLGVSVWATATTLGLYKLGFSFP--GHFIPGGTPWPM-AF Y1 FPFMFSLFMYILIANVVS-LVPYS-----YAINAOL----------IWTIGLSVAIWIGCTLTGLANHGAKFF--GLFLPSGTNLPL-VP Sc FPMIFTLFMFIFIANLIS-MIPYS-----FALSAHL-----------VFIISLSIVIWLGNTILGLYKHGWVFF--SLFVPAGTPLPL-VP Pp VPFIYTLFIFILIANLIG-MIPYS-----FALSACL-----------VFIISLSIIIWIGVTILGLVKHGFVFF--SLFVPGGTPLPL-VP Hs SLMLVSLIIFIATTNLLG-LLPHS-----FTPTTQL---------SMNLAMAIPLWAGTVIMGFRSKIKNAL--AHFLPQGTPTPL-IP Pd FPYVMTLFCFILFANFLG-LLPKS-----FSPTSHI----------AVTAVLAVLVFAGVTVLGFVKNGAHFL--GLFWVSSAPLAL-RP Ec APLALTIFVWVFLMNLMD-LLFIDLLPYIAEHVLGLPALRVVPSADVNVTLSMALGVFILILFYSIKMKGIGGFTKELTLQPFNHWAF-IP S VPYVGTLFLFIFVSNWMGNLFPWK---LIPLPEGELAS----PTNDINTTAGLALLTSVMYFVAGISKRGLSYFKK-----YIEPTPVLLP So VPFIGTMFLFIFVSNWSGALLPWK---IIQLPHGELAA----PTNDINTTVALALLTSVAYFYAGLTKKGLGYFGK-----YIQPTPILLP 11 . :	proline-rich region
P С	\cdot \cdot	helix 5 : :::.:** * *:: :: :: .	hairpin IFVPLETISYTFRAVSLGVRLWVNMLAGHTLLHILTGMALALPFSLGFFSMVPATFGVCCLLSALVGLEYLVAVLOSGVFSILSTVYVGEF IFVPLETISYTFRAVSLGVRLWVNMLAGHTLLHILTGMALALPFSLSFFAMVPATFAVACLLSALVGLEYLVAVL <mark>O</mark> SGVFSILSTVYVGEF Y1 VLVIIELLSYIARALSLGLRLGSNILAGHLLLVILAGLILNFI-SISIFTFA-LGILPLSILLGIVAL <mark>E</mark> SAIAFI <mark>O</mark> AIVFTILTCSYIKDA <i>Sc</i> LLVIIETLSYFARAISLGLRLGSNILAGHLLMVILAGLTFNFM-LINLFTLV-FGFVPLAMILAIMMLEFAIGII <mark>O</mark> GYVWAILTASYLKDA Pp VLVLIELLSYTARAVSLGLRLSANTLAGHLLLNILSSLIFTFM-SISYLTFG-IGILPLFGVFAIVGL <mark>E</mark> WVIGMI <mark>Q</mark> AYVFSILTSSYIKDA <i>Hs</i> MLVIIETISLLI@PMALAVRLTANITAG <mark>H</mark> LLMHLIGSATLAMS-TINLP----STLIIFTILILLTIL <mark>E</mark> IAVALI <mark>Q</mark> AYVFTLLVSLYLHDN <i>Pd</i> vlavI <mark>B</mark> LISYFVRPVSHSIRLAGNIMAGHAVIKVFAAFAAVAA---IAP-------VSVVAITAMYGL <mark>B</mark> VLVCLI <mark>Q</mark> AYVFTILTCVVLKDA <i>EC</i> VNLIL <mark>E</mark> GVSLLSKPVSLGLRLFGNMYAG <mark>E</mark> LIFILIAGLLPW-----WSQ---------WILNVPWAIF H ILIITL <mark>O</mark> AFIFMVLTIVYLSMA <i>S</i> INVL <mark>ED</mark> ----FTKPLSLSF <mark>R</mark> LFGNILAE <mark>E</mark> LVIAVLVL---------LVP---------LLIPVPVMILFLFTGAI <mark>Q</mark> ALIFSTLSAAYIGEA <i>So</i> INIL <mark>ED</mark> ----FTKPLSLSF <mark>R</mark> LFGNILAD <mark>E</mark> LVVVVLVS---------LVP--------LVVPIPVMFLGLFTSGI <mark>Q</mark> ALIFATLAAAYIGES	helix 6 $1 \t11 \t11 \t111 \t$ \star :
P с Hs T	NHDKFIGPAAKIVKKIH 327 NSVKLAGPLAKVVKKAL 340 Y1 IHLH SC VYLH Pp VYLH Pd LHPAH EC SEEH S LEGHGGGDHHD SO LEGHH	255 259 253 226 248 271 248 247		

Fig. 7.15 Sequence alignment of the *a* subunit. Shown are mitochondrial ATP synthase (*Polytomella* and *Chlamydomonas*; the yeasts *Y. lipolytica*, *S. cerevisiae* and *P. pastoris*; human); the bacteria *P. denitrificans* (an α-proteobacterium related to the ancestor of mitochondria), *E. coli* and *Synechococcus* (a cyanobacterium related to the ancestor of chloroplasts), and chloroplast ATP synthase from spinach. Positively charged residues are shown in blue, negative ones in red font, prolines in green. Highly conserved residues are highlighted in cyan, and two pairs of interchangeable residues in yellow (including the essential arginine) and green. The approximate helix boundaries and other conserved features are indicated above the alignment. The cleaved N-terminal presequence in *S. cerevisiae* is shown in grey font

Fig. 7.16 The *a* subunit and *c*-ring form the proton translocation channel in ATP synthase. Shown is the model from the yeast *Y. lipolytica* (Hahn et al. [2016\)](#page-50-15). Grey, c_{10} -ring; color, *a* subunit. Blue, helix 1; cyan, amphipathic helix 2; green and yellow, helix 3 and 4 forming a horizontal helix hairpin; orange and red, helix 5 and 6 forming the second helix hairpin, which is adjacent to the *c*-ring. The conserved arginine on helix 5 is shown in cyan and the glutamates on the *c*-ring in magenta. Black arrows indicate proton flow and grey arrows ring rotation during ATP synthesis. Left, view from the dimer interface; right, view along the *a/c* interface; bottom, view from the matrix

7.7.1.4 The Peripheral Stalk

The central stalk (the γ subunit), confirmed as the rotor in the F₁ x-ray structure (Abrahams et al. [1994\)](#page-44-12) was visible in early EM images (Gogol et al. [1987\)](#page-49-17) connecting F_1 and F_0 . However, the complete architecture of the complex and especially the membrane-bound F_0 part and its connection to F_1 was still not clear. Biochemical evidence implicated unassigned subunits in a second stalk as part of a stator to counteract rotation of the head (Collinson et al. [1996;](#page-47-11) Ogilvie et al. [1997](#page-54-17)), which was confirmed by cryo-EM (Wilkens and Capaldi [1998\)](#page-59-17). Such a feature was also seen in bacterial V-type ATPase (Boekema et al. [1997](#page-45-16)), which later turned out to have two peripheral stalks (Boekema et al. [1999;](#page-45-17) Bernal and Stock [2004;](#page-45-18) Vonck et al. [2009;](#page-59-18) Lau and Rubinstein [2010](#page-52-14), [2012\)](#page-52-15). In bacteria, the peripheral stalk is made up of the δ subunit, the equivalent of OSCP in mitochondria, on top of the head, and two *b* subunits, either two copies of the same protein or one each of homologous *b* and *b*'

proteins. The *b* subunits are predicted to be mainly α -helical with an N-terminal transmembrane domain, and the two subunits are thought to form a dimer. The N-terminal TMH of *b* from *E. coli* was solved by NMR (Dmitriev et al. [1999\)](#page-47-12).

While the bacterial structure is conserved in chloroplast ATP synthase, the mitochondrial complex has a more elaborate peripheral stalk, consisting in the mammalian enzyme of the soluble OSCP, b , d and F_6 subunits and membrane subunits A6L and *f*. The yeast protein has a similar composition (Table [7.2\)](#page-33-0). Crystal structures of subcomplexes of the soluble part of the bovine ATP synthase (Kane Dickson et al. [2006;](#page-51-16) Rees et al. [2009\)](#page-55-15) showed that the mitochondrial peripheral stalk is mainly α-helical. Cryo-EM structures of the complete bovine (Rubinstein et al. [2003;](#page-56-19) Baker et al. [2012](#page-45-19)) and yeast (Lau et al. [2008](#page-52-16)) ATP synthase indicated a distinct curvature of the stalk, different from the crystal structure, apparently caused by its anchoring in the membrane domain (Baker et al. [2012\)](#page-45-19). The stator seems to have an essential role not only in keeping the F_1 head stationary during rotation of the rotor but also in positioning the *a* subunit correctly relative to the *c*-ring. The cryo-EM structure of the dimer from the yeast *Yarrowia lipolytica* showed the architecture of the complete stator (Fig. [7.12\)](#page-29-0). Behind the horizontal helix bundle of the *a* subunit run six TMH, which were interpreted as belonging to subunit *i*, *f, 8, a,* and the first and second TMH of *b* (Hahn et al. [2016\)](#page-50-15). Subunit *8* appears to be the equivalent of the second bacterial *b* subunit, although the matrix C-terminal part of subunit *8* is truncated to different extents in the mitochondrial enzyme (Hahn et al. [2016](#page-50-15)). The *f* subunit and the first TMH of *b* are specific for mitochondria and do not occur in bacteria. The *f* subunit has an extension in the IMS and seems to make the main dimer contact (Hahn et al. [2016](#page-50-15)).

7.7.2 ATP Synthase Dimerization and Crista Morphology

Submitochondrial particles of 70–80 Å diameter were recognized in early electron microscopic images in regular patterns on the cristae and correctly shown to be facing the matrix (Parsons [1963;](#page-54-18) Stoeckenius [1963](#page-57-14)). These features were initially named "elementary particles" and assumed to contain the complete respiratory chain (Fernández-Morán [1962](#page-49-18)), but later shown to be the F_1 ATPase (Kagawa and Racker [1966\)](#page-51-17). First indications for a higher-order structure of the ATP synthase came from rapid-freeze deep-etch techniques on the cristae of *Paramecium* (Allen et al. [1989](#page-44-15)), where double rows of F_1 in a helical array around the tubular cristae were found. The occurrence of double rather than single rows suggested that the building blocks are dimers of ATP synthase. Dimers of ATP synthases were later found on Blue-Native-PA gels after solubilization of mitochondrial membranes with mild detergents, in all phyla: yeasts (Arnold et al. [1998a\)](#page-44-16), fungi (Krause et al.

[2004b\)](#page-51-18), mammals (Schägger and Pfeiffer [2000](#page-56-12); Krause et al. [2005;](#page-52-17) Cortes-Hernandez et al. [2007](#page-47-13); Dencher et al. [2007\)](#page-47-14), and higher plants (Eubel et al. [2003;](#page-48-14) Krause et al. [2004a](#page-51-19)). The corresponding bands were eluted from the gels and examined by EM, providing direct evidence for ATP synthase dimers in many species (Minauro-Sanmiguel et al. [2005](#page-53-15); Dudkina et al. [2005b;](#page-48-15) Dudkina et al. [2006;](#page-48-16) Thomas et al. [2008;](#page-58-16) Cano-Estrada et al. [2010\)](#page-46-17). The dimers were associated via their membrane domains, which included angles of 40–90°, strongly suggesting that the dimers were responsible for bending the IMM and dictating cristae morphology (Dudkina et al. [2005b](#page-48-15); Minauro-Sanmiguel et al. [2005](#page-53-15); Dudkina et al. [2006](#page-48-16)). Direct evidence for this came from cryo-electron tomography of submitochondrial particles of several species (Strauss et al. [2008;](#page-57-15) Dudkina et al. [2010](#page-48-17); Davies et al. [2011;](#page-47-15) Davies et al. [2012](#page-47-16)), where dimer rows of ATP synthase were seen on all highly curved crista edges.

7.7.3 Dimer-Specific Subunits of ATP Synthase

The small membrane-associated proteins *e, g* and *k* were identified as dimer-specific subunits in yeast (Arnold et al. [1998a](#page-44-16)). These mitochondrial subunits are not necessary for ATPase or ATP synthase activity, but deletion of the genes for *e* or *g* resulted in mitochondria that lacked ATP synthase dimers and had abnormal morphology with the inner membranes forming onion-like multilayered structures (Paumard et al. [2002](#page-55-16); Giraud et al. [2002](#page-49-19)). The location of the *e* and *g* subunits in the ATP synthase complex was determined by comparing low resolution EM maps of yeast core ATP synthase and bovine ATP synthase with *e* and *g* attached (Lau et al. [2008;](#page-52-16) Baker et al. [2012](#page-45-19)) and is at the periphery of the F_0 domain.

The cryo-EM maps of mammalian (Zhou et al. [2015](#page-60-8)) and yeast (Hahn et al. [2016\)](#page-50-15) ATP synthase both show a similar feature in this region: a funnel-shaped density in the membrane with a helix-like protrusion in the IMS. Subunit *e* is predicted to have an N-terminal TMH containing an essential GxxxG motif, suggesting a role in helix-helix interaction (Arselin et al. [2003](#page-44-17)), and a hydrophilic C-terminus located in the IMS, that would account for the observed helix protrusion (Fig. [7.17](#page-41-0)). Subunit *g* has an N-terminal matrix domain and a predicted C-terminal TMH also containing a conserved GxxxG motif. GxxxG motifs are often found to be important for mediating the interaction of TMH (Senes et al. [2004\)](#page-57-16). Subunits *e* and *g* may thus form a tight heterodimer in the membrane. The helices in such a tight dimer would not be resolved at a resolution lower than \sim 6 \AA , and may be forming the funnel-shaped density in the cryo-EM maps. The density is located near the *b* subunit, in support of the observation that the *g*-subunit can be crosslinked to the N-terminus of *b* in the matrix and that deleting the first TMH of *b* results in the loss of *g* and dissociation of the dimer (Soubannier et al. [2002](#page-57-17)).

The cryo-EM map of the *Yarrowia* ATP synthase dimer (Hahn et al. [2016\)](#page-50-15) surprisingly showed that the e and g subunits are located at the outside of the F_0 dimer, not as expected in the center where they would mediate dimer formation (Vonck and Schäfer [2009](#page-59-19)). Interestingly, the bovine ATP synthase monomer including *e* and *g*

Fig. 7.17 The dimer interface of the *Y. lipolytica* ATP synthase model with a slab of the cryo-EM map superimposed (Hahn et al. [2016\)](#page-50-15). The dimer contact is on the IMS side only. The khaki helix extending in the IMS is probably the C-terminus of subunit *e.* Detergent is visible on the matrix side of the dimer interface and around the *c*-rings

has a bent detergent belt, suggesting that the role of the *eg* heterodimer is in bending the membrane (Baker et al. [2012\)](#page-45-19). A monomer of the *Yarrowia* enzyme, lacking these subunits, has a straight detergent belt (Hahn et al. [2016\)](#page-50-15) (Fig. [7.18\)](#page-42-0), confirming this role. The sequence of both the *e* and *g* subunit contains several conserved charged or polar residues around the GxxxG motif in the putative TMH, which might be involved in specific interactions with the membrane components. The bending of the membrane probably orients the monomers in such a way that the dimerization subunits can establish dimer contacts (Fig. [7.17\)](#page-41-0).

7.7.4 Assembly of ATP Synthase

Although bacterial ATP synthases have been expressed cell-free (Matthies et al. [2011\)](#page-53-16) or heterologously (Zhang et al. [2014](#page-60-10)) and were fully assembled and active, mitochondrial ATP synthase assembly is highly regulated and depends on specific chaperones. The assembly of $\alpha_3\beta_3$ requires Atp11p and Atp12p, which bind specifically to β and α , respectively and prevent aggregate formation (Ludlam et al. [2009\)](#page-53-17). Although most subunits are encoded in the nucleus, in most species the F_0 subunits *a, c* and subunit 8 are mitochondrially encoded. In yeast, at least three proteins are required for F_0 assembly, Atp10p, Atp23p and Atp25p. The first two are involved in incorporation of the *a* subunit into the complex (Tzagoloff et al. [2004](#page-58-17); Osman et al. [2007;](#page-54-19) Zeng et al. [2007](#page-60-11)). Atp23p cleaves the N-terminal presequence (10 residues in *S. cerevisiae*, Fig. [7.15](#page-37-0)); however, there is an Atp23p homolog in human

Fig. 7.18 Subunit *e* and *g* bend the IMM. (**a**): Monomeric ATP synthase of *Y. lipolytica*, where subunit e and g were lost during purification The detergent belt forms a straight ring around F_0 (Hahn et al. [2016\)](#page-50-15). (**b**): Monomeric bovine ATP synthase (EMD-3165) (Zhou et al. [2015](#page-60-8)). Subunits *e* and *g* were retained during purification. The detergent belt is bent. (**c**): Dimeric ATP synthase of *Y. lipolytica* has a bent detergent belt. Subunits *e* and *g* were retained during purification in the mild detergent digitonin (Hahn et al. [2016](#page-50-15))

mitochondria, where the *a* subunit does not have an extension (Osman et al. [2007;](#page-54-19) Zeng et al. [2007\)](#page-60-11); the additional function of Atp23p is unknown. Atp25p is thought to be required for the assembly of the rotor ring (Zeng et al. [2008\)](#page-60-12). Assembly of ATP synthase appears to be modular, with one subcomplex consisting of F_1 with the *c*-ring and another the mitochondrial encoded *a* subunit and subunit 8, transiently interacting with Atp10p, together with at least some peripheral stalk subunits (Rak et al. [2011](#page-55-17)). Recently a complex was identified, consisting of the IMM subunits Ina17 and Ina22, that plays a role in peripheral stalk assembly (Lytovchenko et al. [2014\)](#page-53-18), and thus the coupling of the two motors and completion of the complex.

7.7.5 Evolution of Rotary ATPases

ATP synthase is an ancient machine that was already present in the last universal common ancestor (LUCA) (Weiss et al. [2016\)](#page-59-20). The bacterial and archaeal versions share the basic architecture, and homology of the catalytic head and of the iontranslocating subunits is still easily recognizable. Both the bacterial and archaeal ATP synthase are still functional in eukaryotes. The bacterial version is found in both energy producing organelles, the chloroplast and mitochondrion, while the archaeal enzyme yielded the vacuolar (V-type) ATPase, an ATP-driven proton pump involved in vacuolar pH homeostasis. Whereas the chloroplast enzyme is similar to

the bacterial ancestor, the V-type ATPase and the mitochondrial enzyme have gained new subunits, most with a regulatory function, but in the case of the mitochondrial enzyme also a structural role in affecting membrane morphology. While the functional units of the ATP synthase, namely the $\alpha_3\beta_3$ head, the γ subunit, the *c*-ring and the *a* subunit have remained remarkably similar, the differences between bacterial and mitochondrial ATP synthase involve the peripheral stalk, in a way that does not affect the ATP synthase function of the complex. Whereas in the bacterial enzyme the peripheral stalk consists of only the δ subunit and two copies of *b*, the mammalian and fungal lineage have added several other subunits to the soluble part of the stalk as well as the membrane domain. While the advantage of the more robust soluble part is not yet clear, it is interesting to note that the accessory membrane subunits, *f*, *e,* and *g,* are involved in membrane bending and dimer formation, leading to the folding of the IMM into cristae. The resulting huge gain in IMM surface area is responsible for the large respiratory capacity of the mitochondria and thus an adequate ATP supply to meet the high energy demands of eukaryotic cells. So gain of a new role by ATP synthase appears to have had a huge impact on eukaryotic evolution. Many questions remain about ATP synthase dimerization however. In green algae such as *Chlamydomonas* and *Polytomella* the whole peripheral stalk has been replaced by unrelated proteins, forming a very stable dimer (Allegretti et al. [2015\)](#page-44-13) with a different dimer angle than that in mammals and fungi. So far the structure of the 9 ASA (ATP synthase associated) subunits and a possible relation to the mammalian dimerization subunits is not known.

7.8 Concluding Remarks

The mitochondrial respiratory chain has a central role in energy metabolism and decades of work have been devoted to elucidating its structure and function. Crystallization of these large membrane protein complexes has been a major challenge and the elucidation of many structures by x-ray crystallography since the 1980s have brought huge breakthroughs. The recent revolution in cryo-EM has greatly advanced our knowledge of the mitochondrial respiratory chain for all still incompletely understood complexes, from complex I (Vinothkumar et al. [2014;](#page-59-0) Zhu et al. [2016;](#page-60-0) Fiedorczuk et al. [2016](#page-49-2); D'Imprima et al. [2016](#page-47-1)) to the respirasome (Letts et al. [2016;](#page-52-8) Gu et al. [2016;](#page-49-11) Sousa et al. [2016](#page-57-10)) to ATP synthase (Allegretti et al. [2015;](#page-44-13) Zhou et al. [2015;](#page-60-8) Hahn et al. [2016\)](#page-50-15). However, many questions remain regarding not only the detailed structure, but especially the mechanism of the complexes. The mode of action of complex I and coupling of ubiquinone reduction to proton translocation, the function of the respirasome, and the atomic structure of F_o and the proton path through the *a* subunit are still not understood. It is to be expected that further progress in cryo-EM instrumentation and image processing techniques will lead to better structures of the mitochondrial respiratory chain complexes in different conformational states and a full understanding of the function of this amazing machinery.

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