



Investigating Evolutionarily Conserved Molecular Mechanisms Controlling Gene Expression in the Notochord

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Abstract

Ascidian embryos have been employed as model systems for studies of developmental biology for well over a century, owing to their desirable blend of experimental advantages, which include their rapid development, traceable cell lineage, and evolutionarily conserved morphogenetic movements. Two decades ago, the development of a streamlined electroporation method drastically reduced the time and cost of transgenic experiments, and, along with the elucidation of the complete genomic sequences of several ascidian species, propelled these simple chordates to the forefront of the model organisms available for studies of regulation of gene expression. Numerous ascidian sequences with tissue-specific enhancer activity were isolated and rapidly characterized through systematic *in vivo* experiments that would require several weeks in most other model systems. These *cis*-regulatory sequences include a large collection of notochord enhancers, which have been used to visualize notochord development *in vivo*, to generate mutant

phenotypes, and to knock down genes of interest. Moreover, their detailed characterization has allowed the reconstruction of different branches of the notochord gene regulatory network. This chapter describes how the use of transgenic techniques has rendered the ascidian *Ciona* a competitive model organism for studies of notochord development, evolution, and gene regulation.

Keywords

Ascidian · Brachyury · *Ciona* · *cis*-Regulatory Module · Electroporation · Enhancer · Notochord · T-Box · Tbx2/3 · Transcription factor

Abbreviations

bp	Base pair(s)
cDNA	Complementary DNA
ChIP	Chromatin immunoprecipitation
CRM	<i>cis</i> -regulatory module
DAPI	4',6-diamidino-2-phenylindole
FACS	fluorescence-activated cell sorting
GFP	Green fluorescent protein
GRN	Gene regulatory network
NOCE	Notochord enhancer
OBS	Orphan binding site
P3H1	prolyl 3-hydroxylase1
shRNA	Short hairpin RNA

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8.1 Introduction

The notochord (in Latin, *chorda dorsalis*) is the chief distinctive feature of the phylum Chordata, a large division of deuterostomes comprising two subphyla of mostly marine animals, Tunicates and Cephalochordates, in addition to the Vertebrates subphylum, which includes humans (Fig. 8.1). Owing to the scarcity of interpretable fossil records, the molecular mechanisms underlying the appearance of the notochord during the evolutionary history of multicellular animals are still under investigation (Satoh et al. 2012). Fossil remnants from the Middle Cambrian (~510–495 million years ago) have allowed the tentative identification of a structure similar to the notochord in *Pikaia gracilens*, and suggested that this extinct organism might represent the earliest stem-group chordate identified thus far (Morris and Caron 2012). However, other studies question the function of the putative notochord of *Pikaia* and the phylogenetic position of this ani-

mal within chordates (Lacalli 2012; Mallatt and Holland 2013).

In extant chordates, the notochord is an axial structure of mesodermal origin that provides support and patterning signals to the developing embryo and functions as a cornerstone for the organization of its body plan. The notochord induces the regionalization of the neural tube, patterns the paraxially located mesoderm, and influences the morphogenesis of structures ranging from endodermal derivatives to blood vessels (Fig. 8.1) (Cleaver and Krieg 2001; Reese et al. 2004; Stemple 2005). Importantly, in vertebrates, the notochord is replaced during embryonic development by the vertebral column, and its remnants form the central-most regions of the intervertebral discs, or *nuclei pulposi* (Fig. 8.1c) (Lawson and Harfe 2015). In cephalochordates and tunicates, the notochord is not replaced by the vertebral column, hence these groups are collectively known as invertebrate chordates (Fig. 8.1a, b). In the cephalochordate amphioxus (Fig. 8.1a),

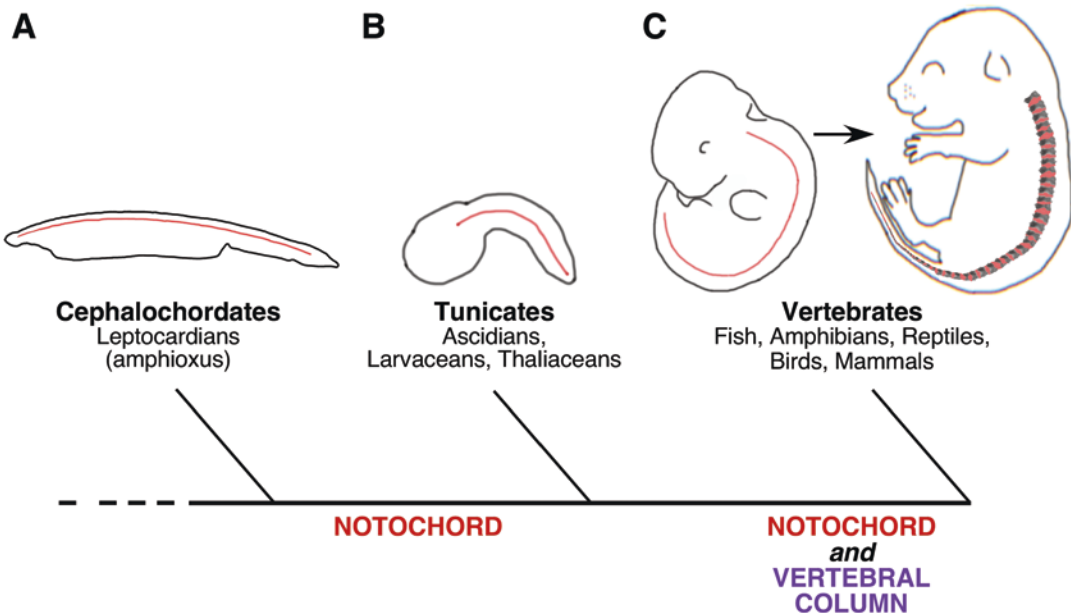


Fig. 8.1 The notochord in the three subphyla of chordates. Simplified drawings of representative animals from the three subphyla of the phylum Chordata. The notochord and notochord-derived structures are symbolized by red lines. (a) Lateral profile of the cephalochordate amphioxus (or lancelet). (b) Side view of an ascidian tail-bud embryo; recent molecular phylogenies have indicated

that tunicates are the closest living relatives of vertebrates (Delsuc et al. 2006). In (a) and (b) anterior is on the left. (c) Simplified drawings of developing mice at embryonic days ~E11.5 (left) and at ~E17 (right). As the backbone develops, the notochord regresses, and its remnants form the *nuclei pulposi* of the intervertebral discs (right)

the notochord extends to the anterior-most region of the body (e.g., Holland et al. 2004), whereas in tunicates, this structure is confined to the tail (hence the alternative name Urochordates used for this subphylum; Fig. 8.1b). Among invertebrate chordates, ascidians offer a number of experimental advantages that render them amenable to studies of notochord development. Most solitary ascidians are available and fertile year-round and can be easily fertilized *in vitro*. The resulting embryos develop a notochord within less than one day, and as they are relatively translucent, their notochord cells can be visualized without histological staining (Fig. 8.2).

The cell lineage of the notochord and all other larval tissues is nearly invariant and has been mapped for several cell divisions after fertiliza-

tion (Conklin 1905; Ortolani 1954; Reverberi 1971; Nishida and Satoh 1983, 1985; Lemaire 2009). Moreover, tunicates feature the most compact chordate genomes. For example, the genome of the solitary ascidian *Ciona intestinalis* spans ~140 megabases (Dehal et al. 2002) and most tissue-specific enhancers, or *cis*-regulatory modules (CRMs), identified in this animal have been mapped within a few kilobases upstream of the genes that they control, or within their usually short introns (Passamaneck and Di Gregorio 2005; Stolfi and Christiaen 2012; Irvine 2013). Remarkably, orthologs of evolutionarily conserved regulators of notochord development, such as the transcription factors Brachyury and Foxa2, are present in single copy in *Ciona* (Corbo et al. 1997; Di Gregorio et al. 2001; Imai et al.

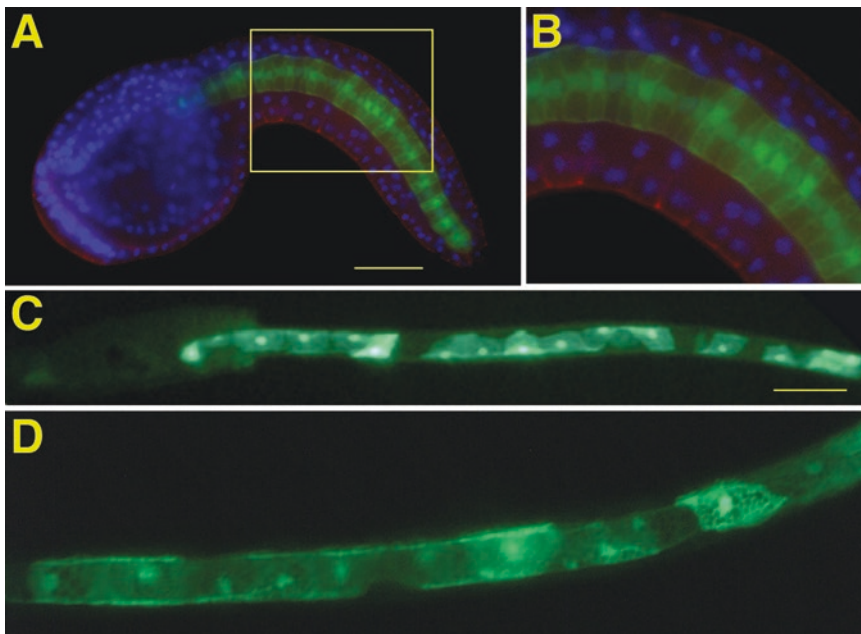


Fig. 8.2 The notochord in the ascidian *Ciona*. (a, b) *Ciona* embryo electroporated at the one-cell stage with the *Ci-Bra>green fluorescent protein (GFP)* plasmid, incubated until the mid-tailbud II stage (Hotta et al. 2007a), fixed, and stained with rhodamine phalloidin. The 40 definitive notochord cells express GFP; all nuclei are stained with 4',6-diamidino-2-phenylindole (DAPI; blue) and the cell contours are highlighted in red. (b) High-magnification view of the region boxed in (a); the notochord cells have a characteristic stack-of-coins arrangement and their nuclei are centrally located. (c)

Ciona embryo electroporated at the one-cell stage with the *Ci-Bra>GFP* plasmid, raised until the hatching larva stage (Hotta et al. 2007a) and fixed for imaging without counterstaining. Half of the 40 notochord cells are fluorescent, owing to mosaic incorporation of the transgene. (d) High-magnification view of the notochord of another *Ciona* embryo, electroporated and raised in parallel with the embryo in (c). The notochord cells appear considerably stretched and “wrapped” around the central lumen. Scale bars: ~100 μ m

2004) and are part of relatively simplified gene regulatory networks (GRNs) (Imai et al. 2006; José-Edwards et al. 2013).

The notochord of most ascidian species is composed of 40 post-mitotic cells that stop dividing approximately around the end of neurulation, and form a definitive single-cell row in the center of the tail through convergent extension (Jiang and Smith 2007) (Fig. 8.2a). Tail elongation is achieved through extensive changes in the shape and dimensions of the 40 notochord cells (Fig. 8.2b, c). For comparison, the notochord of another tunicate, the larvacean *Oikopleura*, is initially composed of only 20 cells, but these cells continue to divide until they reach a final total of 120–160 by the third day after fertilization (Søviknes and Glover 2008). Remarkably, the ascidian species *Molgula occulta* and *Molgula tectiformis* are considered tailless, because they form only 20 and 10 definitive notochord cells respectively, which do not undergo convergent extension and are incapable of driving tail elongation (Takada et al. 2002).

Within the past two decades, the rapid and synchronized development of *Ciona* embryos and their amenability to transfection via a straightforward electroporation protocol have enabled the discovery of a multitude of notochord genes, in addition to live confocal imaging of notochord formation and functional studies of notochord genes. Transient transgenesis has allowed the identification of a surprising variety of *cis*-regulatory mechanisms controlling gene expression in the simple ascidian notochord. These findings, in turn, have ushered in the first comparative studies of notochord CRMs across chordates.

8.2 Identification of Novel Notochord Genes and Reconstruction of the Notochord Gene Regulatory Network

For her remarkable studies aimed at the creation of cell lineage maps, Ortolani relied upon her manual dexterity to label individual blastomeres of early

ascidian embryos with minute grains of either charcoal or colored chalk, and used them to follow the localization of their daughter cells in embryonic tissues of transparent ascidians (Ortolani 1954). These studies expanded the research on cell lineage and fate determination that had been initiated by Conklin (1905) using the naturally pigmented muscle precursors of *Styela partita* to include nonpigmented ascidian embryos.

In the early 1980s, the intracellular microinjection of tracer enzymes, such as horseradish peroxidase, originally developed in leeches (Weisblat et al. 1978), was successfully used in ascidian embryos by Nishida and Satoh to determine accurate fate maps that extended to the early tailbud stage for most embryonic tissues (Nishida and Satoh 1983, 1985). The development of microinjection techniques paved the way for the first transgenic experiments in ascidians, which involved the microinjection of plasmids containing genomic fragments upstream of a muscle-specific *actin* fused to the *LacZ* reporter gene (Hikosaka et al. 1992, 1994). A few years later, the laboriousness of the microinjection techniques prompted the development of a simple and economical electroporation protocol. This method was first employed in *Ciona* for the identification and characterization of the notochord-specific *cis*-regulatory regions of *Ciona intestinalis Brachyury (Ci-Bra)*, which encodes a transcription factor of the T-box family (Corbo et al. 1997) (Fig. 8.2) and of the *Ciona* ortholog of *forkhead/HNF-3beta/Foxa2 (Ci-fkh/HNF-3b, aka Ci-FoxA.a)*, which encodes a transcription factor of the forkhead/winged-helix family (Di Gregorio et al. 2001; Imai et al. 2004).

In ascidians and in other chordates, Ci-FoxA.a is expressed in notochord and in regions of the nervous system, endoderm, and additional territories, similar to its mouse counterpart *HNF-3beta* (Sasaki and Hogan 1993).

In contrast to most other chordates analyzed thus far, *Brachyury* is notochord-specific in the ascidians *Ciona* and *Halocynthia* (Corbo et al. 1997; Yasuo and Satoh 1993). This restricted notochord-specific expression of *Brachyury* provides ascidian embryos with the rare advantage of enabling studies focused on the notochord-

specific function and transcriptional targets of this factor.

In an experiment aimed at the identification of *Ciona* notochord genes controlled by Ci-Bra, the *Ci-FoxA.a* promoter region, which is active early in multiple embryonic territories, including notochord, endoderm, and nervous system, was used to direct the ectopic expression of the notochord-specific Ci-Bra transcriptional activator in endodermal and neural precursors.

Transgenic embryos that ectopically express Ci-Bra in the endoderm and nervous system can be easily recognized because they display a reproducible phenotype consisting in the presence of a large mass of cells displaced to the ventral region of the tail from their normal destination. These embryos were collected alongside wild-type control embryos grown in parallel, and were subjected to RNA extraction followed by a subtractive hybridization screen (Takahashi et al. 1999; Fig. 8.3a). Whole-mount in-situ hybridizations were carried out for 501 complementary DNA (cDNA) clones, and a total of ~50 genes that are bona fide notochord transcriptional targets of Ci-Bra were identified (Fig. 8.3b–d); the function and regulation of several of these genes were analyzed in subsequent studies (Takahashi et al. 1999, 2010; Hotta et al. 1999, 2000, 2007b, 2008; Di Gregorio and Levine 1999; Dunn and Di Gregorio 2009; Katikala et al. 2013).

A similar strategy was employed to identify notochord genes controlled by Ci-Tbx2/3, the only T-box transcription factor reportedly expressed in the ascidian notochord other than Brachyury (Imai et al. 2004). In this case, a subtractive microarray screen was carried out between embryos expressing in their notochord a putative repressor form of Ci-Tbx2/3, consisting of its DNA-binding domain fused to green fluorescent protein (GFP; *Ci-Bra*>*Ci-Tbx2/3*^{DBD}::GFP (Fig. 8.3i) (José-Edwards et al. 2013). Control embryos were transfected with the *Ci-Bra*>GFP plasmid (Corbo et al. 1997). Approximately, 100–300 fluorescent transgenic embryos were selected under an epifluorescent microscope and subjected to RNA extraction, followed by hybridization to the *Ciona* Affymetrix GeneChip (Christiaen et al. 2008). Eighty-one putative Ci-Tbx2/3-

downstream genes were identified, 20 of which (~29%) were expressed in the notochord, whereas others were expressed in other Ci-Tbx2/3 expression domains, including areas of the central nervous system (José-Edwards et al. 2013) (Fig. 8.3j–l). A few of these genes turned out to be shared targets of both Ci-Bra and Ci-Tbx2/3, including, in particular, *Ci-Noto4*, whose product is a protein containing a conserved phosphotyrosine binding domain required for notochord intercalation in *Ciona* embryos (Yamada et al. 2011). These results showed that in addition to regulating expression of a specific group of target genes, Ci-Tbx2/3 corroborates a crucial branch of the Ci-Bra-downstream GRN (José-Edwards et al. 2013).

Additional notochord transcription factors that had eluded previous searches were identified and provisionally positioned within the Ci-Bra-downstream gene battery through the use of a method previously developed for the isolation of heart precursors (Christiaen et al. 2008). This approach relies upon fluorescence-activated cell sorting (FACS) of the cells of interest for the identification of their specific transcriptomes, either through microarray screens, or more recently, through RNA sequencing. FACS-mediated isolation of fluorescent notochord cells was used in combination with microarray screens to identify novel notochord transcription factors, some of which are controlled either directly or indirectly by Ci-Bra (José-Edwards et al. 2011), in addition to numerous putative notochord genes (our unpublished results).

In a related approach, the cDNAs for several *Ciona* transcription factors, including Ci-Bra, were fused to the GFP coding region and cloned downstream of their respective promoters, with the goal of inducing the expression of GFP-tagged transcription factors in their native territories of activity. *En-masse* electroporations of these plasmids, followed by chromatin immunoprecipitation (ChIP) with an anti-GFP antibody and hybridization of the immunoprecipitated DNA to *Ciona* whole-genome microarrays (ChIP-chip), led to the identification of a very high number of genomic regions bound by each transcription factor at the 110-cell stage (Kubo

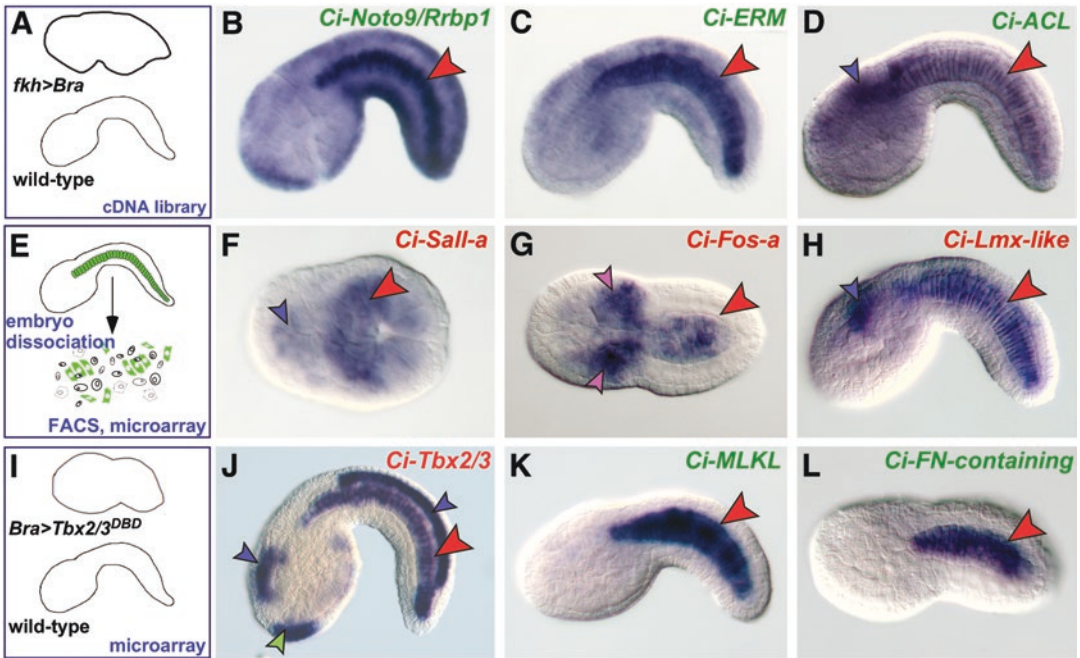


Fig. 8.3 Use of transgenesis for the identification of novel notochord genes in *Ciona*. (a, e, i) Schematic representations of transgenic experiments aimed at the identification of novel notochord genes in *Ciona*. (b–d, h, k, l) Whole-mount embryos at the mid-tailbud II stage hybridized in situ with digoxigenin-labeled antisense RNA probes directed against the genes annotated in the *top right* corner of each panel. (f, g, j) Whole-mount in-situ hybridizations of embryos at the late gastrula, initial tailbud I, and mid-tailbud/late tailbud stages respectively. (a) Subtractive hybridization between transgenic embryos ectopically expressing *Ci-Bra* under the control of the *Ci-FoxA.a* promoter region, which is active in notochord, neural, and endodermal cells (Takahashi et al. 1999), and wild-type control embryos. The *Ci-FoxA.a>Ci-Bra* plasmid is abbreviated as *fkh>Bra*. (b–d) Representative expression patterns of genes that were identified through the experiment summarized in (a). (e) Dissociation of transgenic embryos carrying the *Ci-Bra>GFP* plasmid, shown here at the mid-tailbud stage for simplicity, followed by fluorescence-activated sorting (FACS) of notochord cells, RNA extraction and subtractive microarray

screens, in parallel with RNAs extracted from whole embryos. (f–h) Representative expression patterns of genes that were identified through the experiment summarized in (e) and encode sequence-specific notochord transcription factors (José-Edwards et al. 2011). (i) Subtractive microarray screen between transgenic embryos carrying the *Ci-Bra > Ci-Tbx2/3^{DBD}::GFP* plasmid, which expresses in the notochord a repressor form of *Ci-Tbx2/3*, and wild-type control embryos. (j) Whole-mount embryo hybridized in situ with a digoxigenin-labeled antisense RNA probe for *Ci-Tbx2/3*. (k, l) Two of the notochord-specific genes that were identified through the experiment are summarized in (i). Of note, these notochord-specific expression patterns, along with other tissue-specific patterns (not shown), suggest that *Ci-Tbx2/3* might activate expression in non-notochord territories via tissue-specific co-activators (José-Edwards et al. 2013). *Red font*: genes encoding for transcription factors. *Arrowheads*: red, notochord; blue, nervous system; purple, mesenchyme; green, epidermis

et al. 2010). In particular, approximately 2,092 individual genes, including 194 transcription factors, were found to be occupied by the transgenic *Ci-Bra-GFP* protein in early embryos, and 3,653 were bound by *Ci-FoxA.a-GFP* (Kubo et al. 2010). Approximately 1,020 genes are shared between the two lists of putative targets, which suggests that these genes might be controlled synergistically by both transcription factors, as

was previously suggested by the analysis of *Ci-tune* and related notochord CRMs (Passamanek et al. 2009; José-Edwards et al. 2015) (discussed in Sect. 8.5.2).

The extensive studies of notochord genes in *Ciona* have successfully instructed related research in other chordates. A study of the expression patterns of mouse orthologs of *Ciona* notochord genes has shown that the expression of

nine of these genes is conserved in the notochord cells of developing mouse embryos. These genes include the three mouse orthologs of the single-copy *Ciona leprecan/prolyl 3-hydroxylase 1* (*P3H1*) gene (Fig. 8.4), named *Leprecan/P3H1*, *Leprecan-like1/P3H2* and *Leprecan-like2/P3H3*. All three genes are expressed in notochord cells, although with different temporal onsets, and in various additional vertebrate-specific territories that are not present in ascidian embryos, such as portions of the vertebral cartilages (Capellini et al. 2008).

8.3 High-Resolution Imaging of Live Transgenic Notochord Cells

Owing to their natural translucency, most ascidian embryos are ideally suited to studies of notochord formation *in vivo*. In fact, notochord cells can be observed without any need for staining and/or sectioning, even though they are centrally located in the tail and are flanked by muscle cells and by the outermost epidermal cells. Moreover, the rapidity of ascidian embryogenesis allows the

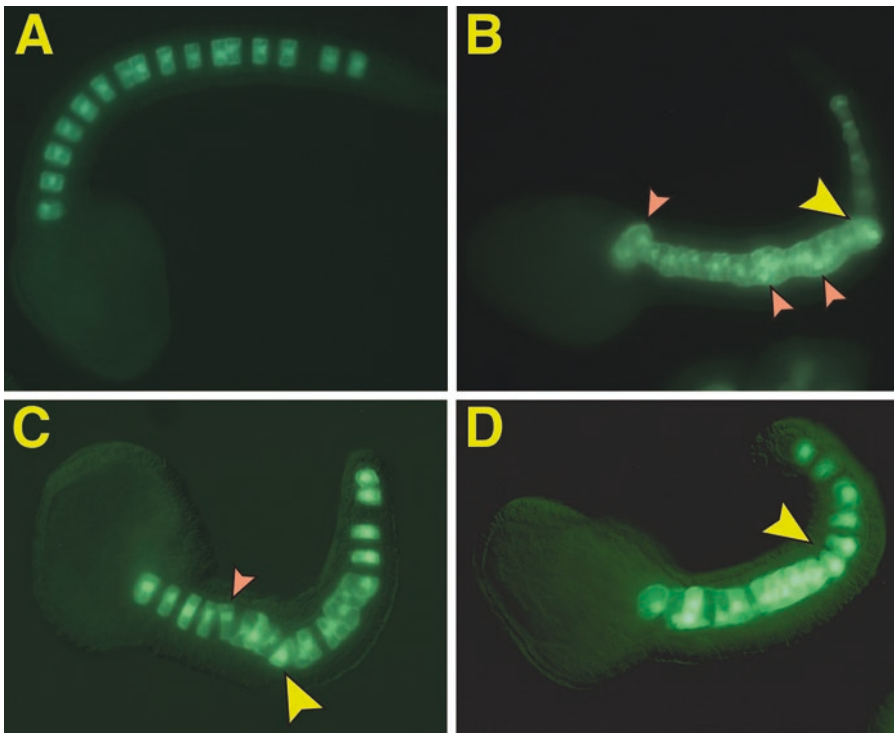


Fig. 8.4 CRISPR/Cas9-mediated knockdown of the *Ci-leprecan/P3H1* notochord gene. Specific oligonucleotide primers were designed in target sequences selected in exons one and two of the *Ci-leprecan/P3H1* coding region, through the use of the CRISPOR software (<http://crispor.tefor.net>), and were used for one-step overlap (OSO) PCR. The resulting PCR products were cloned into plasmids under the control of the U6 promoter (Stolfi et al. 2014). Two of these plasmids were co-electroporated with a plasmid that expresses *Cas9* in the notochord (*Ci-Bra>Cas9*), and with the *Ci-Bra>GFP* plasmid, to label notochord cells and monitor incorporation of the transgenes. For this experiment, 50 μ g of each plasmid

were employed. (a) Control embryo electroporated with 50 μ g of the developmentally neutral *Ci-Bra>GFP* plasmid. Approximately half of the notochord cells display fluorescence, because of mosaic incorporation of the plasmid. (b–d) Embryos electroporated with the PCR products described above and the *Ci-Bra>GFP* plasmid. The shape of the notochord cells appears irregular (*small orange arrowheads*), and together with the loss of integrity of the notochordal sheath, which is predicted to be caused by the knockdown of *Ci-leprecan/P3H1*, is likely responsible for the reproducible bends in the tail (*yellow arrowheads*)

visualization of all the steps of notochord formation within less than one day, and to track morphogenetic movements as they unfold, through the use of routine differential interference contrast microscopy and time-lapse video recording. Time-lapse recording and bright-field microphotography were used in wild-type *Ciona* embryos to record morphogenesis and changes in cell shape that lead the six notochord precursors found in the 64-cell embryo to form a final rod-like structure composed of 40 post-mitotic cells (Miyamoto and Crowther 1985). In particular, these studies highlighted how the formation of cavities of increasing size between notochord cells and their progressive coalescence gradually give rise to a continuous lumen in the center of the tail (Fig. 8.2c, d; see also Figures in Chap. 15).

The lumen was described as a cavity surrounded by a basal lamina and a collagen-based notochordal sheath that is progressively built around the notochord through the extensive secretory activity of its cells (Cloney 1964). Indeed, subsequent studies proved that different *collagen* genes are expressed by notochord cells in *Ciona* (Wada et al., 2006). However, the low resolution of the imaging techniques and the lack of specific markers of cell boundaries led to the erroneous classification of the small cavities formed in between notochord cells as “intracellular vacuoles” (Miyamoto and Crowther 1985).

After the development of electroporation and the construction of the first plasmids able to induce expression of fluorescent proteins in the notochord, it was clarified through confocal imaging of fluorescent transgenic notochord cells that the “intracellular vacuoles” are rather “extracellular lumen pockets.” These extracellular pockets form in between notochord cells and are sealed by tight junctions in the regions where the apical domains of adjacent notochord cells are juxtaposed (Denker et al. 2015).

The phases of lumen growth have been identified and quantified (Denker and Jiang 2012; Denker et al. 2013, 2015), and the identities of the main molecular players responsible for this process and for the elongation of notochord cells in the absence of cell division have been elucidated. In particular, using a combination of in vivo con-

focal studies and morphometric analyses, it has been shown that cortical actin and ezrin-radixin-moesin (ERM; Figs. 8.3c and 8.5b) are required for lumen formation, along with a microtubule network that forms at the apical cortex of the notochord cells (Dong et al. 2011), and that an equatorially positioned actomyosin ring constricts the notochord cells and promotes their elongation in the absence of cell division (Sehring et al. 2014). The actomyosin complex competes with anteriorly localized Prickle and other components of the planar cell polarity pathway for the repositioning of the cytoskeleton (Jiang et al. 2005; Newman-Smith et al. 2015; Sehring et al. 2015).

In parallel to these exquisitely detailed studies of individual notochord cells behaviors, the availability of different tissue-specific CRMs and confocal time-lapse recording allowed the visualization of notochord formation within its global embryonic context, through the labeling of muscle, notochord, and nervous system with multiple fluorophores (Rhee et al. 2005).

Last, the accurate visualization of developing notochord cells has been freed from mosaic incorporation of marker transgenes through the generation of stable transgenic *Ciona* lines. A stable transgenic line of *Ciona savignyi* was generated through the co-injection of the *I-SceI* endonuclease and a plasmid containing a modified *Ci-Bra>GFP* sequence (Corbo et al. 1997) flanked by the *I-SceI* recognition site (Deschet et al. 2003). This strategy induced the stable integration of multiple consecutive copies of the *Ci-Bra>GFP* transgene and the nonmosaic inheritance of the GFP labeling of notochord cells and their precursors. In turn, the pervasive and persistent fluorescence displayed by the notochord cells of stable *Ci-Bra>GFP* transgenics allowed the high-resolution visualization of the notochord defects that characterize animals heterozygous for the notochord mutation *chongmague* (*chm*) (Deschet et al. 2003). It was revealed through these analyses that embryos obtained by crossing animals from the *chm* mutant line with stable *Ci-Bra>GFP* transgenics do form the final 40 notochord cells; however, these cells fail to converge on the midline and to complete intercalation, because they continue to

move and collide with each other (Deschet et al. 2003). A related transgenic line carrying the *Ci-Bra>GFP* construct was obtained in *Ciona intestinalis* through the use of the *Minos* transposon from *Drosophila hydei* and is publicly available for any future studies that might require accurate morphometric analyses of notochord development (Sasakura et al. 2010).

8.4 Functional Studies of Notochord Genes

Before the advent of transgenic techniques, functional studies of ascidian genes that required the overexpression or misexpression of genes of interest relied upon the microinjection of their corresponding *in vitro* synthesized messenger RNAs into eggs, zygotes or individual blastomeres. This technique has been perfected and has continued to yield several relevant results in the Japanese ascidian *Halocynthia roretzi*, where it was used, for example, to ectopically activate transcription of *Hr-FoxA*, the ortholog of *Ci-FoxA.a*, in cells of the animal region of the embryo. These studies demonstrated that *Hr-FoxA* is sufficient to direct ectopic expression of *Hr-Bra*, the *Brachyury* ortholog in this species (Kumano et al. 2006).

In parallel to these gain-of-function experiments, loss-of-function studies have been carried out by microinjecting morpholino oligonucleotides into fertilized eggs, in *Halocynthia* and in *Ciona*, even though the latter is characterized by smaller eggs that are more difficult to handle. A large-scale morpholino screen in *Ciona* led to the inactivation of numerous transcription factors and signaling molecules and shed light on the structure of the main tissue-specific GRNs that orchestrate development in these embryos (Imai et al. 2006). These laborious and time-consuming studies have been partly replaced by the development of plasmids able to direct notochord expression of chimeric or mutant proteins that can be simply introduced into a very large number of zygotes via electroporation.

An early example of a plasmid able to induce a gain-of-function phenotype is the fusion of the *Ci-FoxA.a* promoter region and the *Ci-Bra* cod-

ing region (Takahashi et al. 1999). As described in Sect. 8.2, electroporation of this plasmid in *Ciona* eggs elicited a dramatic rearrangement of the body plan, whereby endodermal and neural precursors that ectopically expressed *Ci-Bra* adopted a notochord-like phenotype (Takahashi et al. 1999; Fig. 8.3a). This suggested that *Ci-Bra* might play a major role in cell movements, similar to its counterparts from other chordates and from nonchordate animals (Nibu et al. 2013).

Conversely, electroporation of a plasmid that induced expression in *Ciona* notochord precursors of the *Xenopus bix* transcriptional repressor, which reportedly down-regulates *XBra* (Tada et al. 1998), caused a phenotype characterized by abnormally shaped notochord cells, a disorganized notochord and a very short tail, likely by causing the down-regulation of *Ci-Bra* (Di Gregorio et al. 2002). This phenotype is reminiscent of the loss of notochord identity observed in N-ethyl-N-nitrosourea--induced *Ci-Bra*^{-/-} mutants (Chiba et al. 2009).

One experimental hindrance frequently encountered in these experiments is the mosaic incorporation of the plasmid(s) inducing expression of mutant forms of the proteins of interest. However, mosaic incorporation can be quite advantageous for functional studies, because it can induce the appearance of milder, intermediate phenotypes that are easier to interpret and can provide valuable information on the function of genes that are essential for notochord formation. For example, the expression of *Xenopus bix* in the notochord precursors of *Ciona*, caused by the *Ci-Bra>bix* plasmid, disrupts notochord formation; however, the mosaic incorporation of this plasmid allowed the formation of partial notochord fragments, and the development of a slightly longer tail (Di Gregorio et al. 2002). Similarly, the mosaic incorporation of a repressor form of *Ci-Bra*, obtained by fusing its DNA-binding domain with the Engrailed repression domain, enabled the detection of the down-regulation of the notochord transcription factor *Ci-Fos-a* in cells where *Ci-Bra* activity is reduced, and to use the wild-type notochord cells within the same embryos as controls. In turn, these results positioned *Ci-Fos-a* downstream of

Ci-Bra in the notochord GRN (José-Edwards et al. 2011).

Loss-of-function experiments have also been carried out through the electroporation of plasmids able to cause formation of short hairpin RNAs (shRNAs) aimed at interfering with the translation of a specific gene product (Nishiyama and Fujiwara 2008). A notochord gene that was knocked down through this method is *Ci-leprecan*, which encodes prolyl 3-hydroxylase1 (P3H1) (Hotta et al. 2000; Dunn and Di Gregorio 2009). The shRNA-mediated loss-of-function of *Ci-leprecan/P3H1* caused abnormal notochord formation and impaired tail elongation. In particular, the notochord displayed defects that ranged from the presence of one or more bends in the tail to a widened notochordal territory, whereby the notochord cells were misshapen and failed to intercalate (Dunn and Di Gregorio 2009). The function of *Ci-leprecan/P3H1* was also analyzed through the electroporation of a plasmid that induced expression of a truncated form of Ci-leprecan/P3H1 lacking the iron-binding region of its catalytic domain and therefore is presumably unable to modify collagen. The mutant Ci-leprecan/P3H1 protein likely competes with the endogenous wild-type protein by sequestering its interacting proteins, Ci-CRTAP and Ci-CYPB, which are also expressed in the *Ciona* notochord (Myllyharju and Kivirikko 1997; Dunn and Di Gregorio 2009). These experiments reproduced the phenotypes observed in the shRNA-mediated interference studies (Dunn and Di Gregorio 2009).

A related strategy has been successfully employed to interfere with the function of notochord transcription factors. In this case, a truncated form of a transcription factor of interest containing only its DNA-binding domain was expressed in the developing notochord; alternatively, the DNA-binding domain was either fused to the Engrailed repression domain, or to the *Drosophila* Hairly repression domain (Corbo et al. 1998). These mutant proteins compete with their respective endogenous counterparts by occupying their target binding sites without activating gene expression, or by repressing transcription. This approach was used, for example,

to interfere with the function of Ci-Tbx2/3 and to identify the notochord genes whose expression was affected (José-Edwards et al. 2013) (Sect. 8.2, Fig. 8.3i, k, l).

The most recently developed tool for functional studies of notochord genes involves genome editing through the use of clustered regularly interspaced short palindromic repeats and the Cas9 endonuclease (CRISPR/Cas9) (Stolfi et al. 2014; Sasaki et al. 2014). The combined use of two single guide RNAs designed to target the first two exons of *Ci-leprecan/P3H1* produced different mutations, including a deletion that caused a truncation and a frame-shift in the predicted protein. Compared with control embryos (Fig. 8.4a), mutant *Ci-leprecan/P3H1* embryos display a slightly shorter tail, with one or more bends. This predominant mild phenotype indicates that most notochord cells intercalate properly, although, occasionally, misshapen cells fail to intercalate and cause the formation of kinks in the tail (Fig. 8.4b, c). These results are consistent with the effects of the shRNA-induced knock-down and with the phenotypes induced by the expression of the mutant form of Ci-leprecan/P3H1 in the notochord (Dunn and Di Gregorio 2009; Sect. 8.2). Together, these studies suggest the working model that a reduction in Ci-leprecan/P3H1 function impairs the post-translational modification of collagen molecules that compose the notochordal sheath, thus causing a reduction in its rigidity. Consequently, notochord cells that are even slightly misshapen can leave the rod-like structure and force the neighboring notochord cells to deviate (Fig. 8.4b, c) (Pandey and Di Gregorio, unpublished results).

The CRISPR/Cas9 method was also used to impair the function of another notochord gene, *Ciona fibronectin* (*Ci-FN1-containing*) (José-Edwards et al. 2013), recently renamed *Ci-Fn* in Segade et al. (2016). The results of the knock-down of this gene indicated its functional requirement for the intercalation of notochord precursors (Segade et al. 2016). However, in contrast to the *chm* mutants, in which *Ciona laminin alpha* is mutated (Veeman et al. 2008), in *Ci-Fn* mutant embryos the notochordal sheath appears unaffected, as the notochord cells that are not com-

pletely incorporated in the definitive rod-like structure are unable to cross the boundaries of the notochord territory and do not invade adjacent tissues (Segade et al. 2016).

8.5 Identification of *cis*-Regulatory Mechanisms Controlling Gene Expression in the Notochord

Cis-regulatory modules (CRMs), or enhancers, contain crucial information required for appropriate spatiotemporal gene expression and morphogenesis (e.g., Levine 2010). Compared with protein-coding regions, however, these elements are usually difficult to identify, and their elusive nature has traditionally hindered their functional analysis, especially in the case of complex genomes, such as those of vertebrate animals. In addition to its initial identification, the functional characterization of an enhancer region requires additional *in vivo* experiments, such as mutational analyses, that can be very challenging, time-consuming, and expensive in most multicellular model organisms.

Within the compact genome of *Ciona*, most of the enhancer regions identified thus far have been found in the proximity of the coding regions that they control, either upstream of transcription units, or within their first introns (Irvine 2013; Katikala et al. 2013). This highly desirable genomic configuration, coupled with the rapid embryonic development and the ease of transgenesis, have greatly expedited the discovery of enhancers active in all larval tissues, and the elucidation of the minimal regulatory sequences required for their function (reviewed in Irvine 2013). In particular, nearly 40 notochord CRMs have been identified and thoroughly characterized, and their minimal functional sequences have been used to uncover the transcriptional activators controlling them (Corbo et al. 1997; Di Gregorio and Levine 1999; Anno et al. 2006; Christiaen et al. 2008; Dunn and Di Gregorio 2009; Passamaneck et al. 2009; Katikala et al. 2013; José-Edwards et al. 2013, 2015; Thompson and Di Gregorio 2015; Farley et al. 2016; Segade et al. 2016).

8.5.1 Temporal Regulation of Notochord Gene Expression by *Ciona* *Brachyury*

A subset of crucial transcriptional activators of notochord gene expression appears to be evolutionarily conserved across chordates and to be necessary for notochord formation. Among them is *Brachyury* (Greek for “short tail”), also known as “*T*” (for “tail”). The loss of *Brachyury* function in mouse embryos homozygous for a mutation in this locus causes severe defects in the formation of posterior mesoderm, hindgut, and allantois; in particular, the notochord of these mutants is described as “nearly completely absent” (Gluecksohn-Schoenheimer 1940). Mutations in *Brachyury* orthologs have been either identified or induced in different chordates, and they all severely impaired notochord formation (Smith 1999; Chiba et al. 2009; Nibu et al. 2013).

The impact of *Brachyury* mutations on notochord development can be unequivocally evaluated in ascidian embryos, because in the ascidian species analyzed thus far, this gene is notochord-specific (Yasuo and Satoh 1993; Corbo et al. 1997; Di Gregorio 2017). The product of *Brachyury* is a sequence-specific transcription factor that was first characterized in mouse and, through electrophoretic mobility assays, was found to bind a palindromic sequence (Kispert et al. 1995). Subsequent studies in *Xenopus* and *Ciona* demonstrated that *Brachyury* proteins can also recognize nonpalindromic half-sites with the generic sequence TNNCAC (Casey et al. 1998; Di Gregorio and Levine 1999).

The notochord-specific expression of *Ci-Bra* permitted the subtraction screen described above (Fig. 8.3a), which yielded numerous *bona fide* *Ci-Bra*-downstream notochord genes (Takahashi et al. 1999). These transcriptional targets of *Ci-Bra* are responsible for crucial steps of notochord development, such as cell division, convergent extension, and tubulogenesis (Hotta et al. 2008), and need to be deployed in a finely regulated temporal sequence during the ~14 h that elapse between the specification of the notochord precursors and the formation of the notochordal

lumen. Interestingly, although many of these genes are expressed starting at early gastrula (early-onset notochord expression), others are detected around the neural plate stage (middle-onset expression), and a third group of these genes are not detectable in the notochord before the early tailbud stage (late-onset expression) (Hotta et al. 1999; Katikala et al. 2013). The molecular mechanisms responsible for these differences in the temporal read-out of Ci-Bra-downstream gene expression were investigated by taking advantage of the compact genome of *Ciona*.

A few notochord genes were selected as representatives of the early-, middle- and late-onset Ci-Bra targets, their notochord CRMs were identified, and the minimal sequences responsible for their activity were determined. In most cases, these sequences matched published Ci-Bra binding sites (Katikala et al. 2013). However, even within the same CRM, some of these putative binding sites turned out to be dispensable, whereas others were required for activity.

Further experiments indicated that notochord CRMs that require multiple functional Ci-Bra binding sites are associated with early-onset notochord genes, such as *Ci-thrombospondin 3A* (*Ci-thbs3A*), which encodes an evolutionarily conserved extracellular matrix glycoprotein that mediates cell adhesion and migration (Katikala et al. 2013; Urry et al. 1998) (Fig. 8.5a). Additional CRMs in this category are associated with *Ci-fibrillar collagen 2A1* (*CiFColl1*), which in ascidians is a component of the notochordal sheath, and in vertebrates is co-opted to cartilage (Katikala et al. 2013; Cloney 1964; Wada et al. 2006), and *Ci-ERM* (Figs. 8.3c and 8.5b), which is required in notochord cells for the acquisition of their characteristic stack-of-coins organization and for lumen formation (Katikala et al. 2013; Hotta et al. 2007b; Dong et al. 2011).

Notochord CRMs that rely upon individual functional Ci-Bra binding sites accompany middle-onset notochord genes, such as *Ci-Noto9/Rrbp1* (Figs. 8.3b and 8.5c). This gene is first detected in notochord cells by the neural plate/early neurula stage and encodes an evolutionarily conserved ribosome-binding protein that is also

expressed in the notochord of *Xenopus* embryos (Katikala et al. 2013; Liu et al. 2016). In addition to the notochord CRMs directly bound by Ci-Bra, these studies identified notochord CRMs that are controlled by Ci-Bra indirectly, through a relay mechanism that involves Ci-Bra-downstream intermediary transcription factors. These CRMs are devoid of functional Ci-Bra binding sites and are associated with late-onset Ci-Bra target genes, which are first detected in notochord cells around the time of neurulation and include the ATP-citrate lyase, encoded by *Ci-ACL* (Figs. 8.3d and 8.5d), which is required for both the establishment of cell polarity along the medio-lateral axis and intercalation (Hotta et al. 2007b).

The *Ci-ACL* notochord CRM is controlled by Ci-Bra through a transcriptional activator of the homeodomain family (Fig. 8.5d), whereas another late-onset Ci-Bra target, *Ci-beta4GalT*, which encodes a beta1,4-galactosyltransferase, is controlled by Ci-Bra through a still unknown activator (Katikala et al. 2013).

Together, these results delineated a possible molecular mechanism that would ensure the appropriate timing of notochord gene expression and could be conserved in more complex chordates.

8.5.2 Synergistic Activation of Notochord CRMs by Ci-Bra and Ci-FoxA.a

In mouse and other vertebrate embryos, another major activator of notochord gene expression in addition to Brachyury is HNF-3beta/Foxa2, a member of the forkhead/winged-helix family of transcription factors (Friedman and Kaestner 2006).

Mice carrying a homozygous mutation in the *Foxa2* locus lack an organized node and fail to develop a notochord (Ang and Rossant 1994). Subsequent studies have identified numerous mouse notochord genes whose expression is influenced by Foxa2, in addition to seven Foxa2-bound mouse genomic regions that can activate reporter gene expression in the zebrafish notochord (Tamplin et al. 2011). The *Ciona* counterpart of Foxa2, *Ci-FoxA.a*, similar to its vertebrate

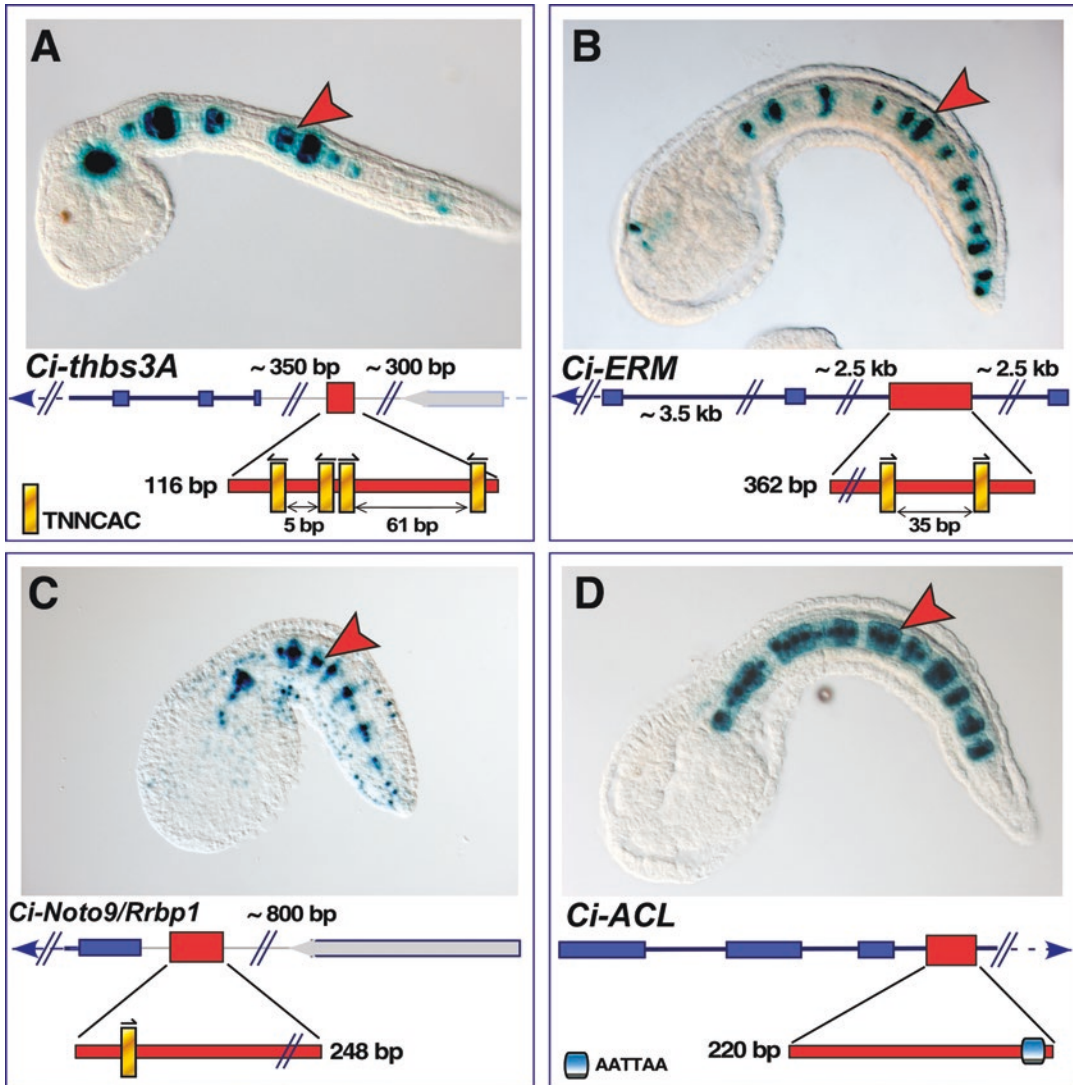


Fig. 8.5 Genomic location, organization, and activity of notochord *cis*-regulatory modules (CRMs) associated with *Ci*-*Bra*-downstream genes. *Ciona* embryos electroporated at the one-cell stage with plasmids containing the genomic regions symbolized by red boxes in the schematics below each microphotograph. Each of these regions was cloned upstream of the *Ci-FoxA.a* basal promoter fused to the *LacZ* reporter gene (Oda-Ishii and Di Gregorio 2007) (not depicted) and was found to act as a notochord CRM. All the respective genes are *bona fide* *Ci*-*Bra*-downstream transcriptional targets. (a) Among these notochord CRMs, *Ci-thrombospondin 3A* (*Ci-thbs3A*) contains multiple *Ci*-*Bra* binding sites (yellow vertical bars in the magnified region), with generic consensus sequence TNNCAC. (b) The 362-base pair (bp) *Ci-ezrin-*

radixin-moesin (*Ci-ERM*) notochord CRM contains two functional *Ci*-*Bra* binding sites, both required for its activity. (c) The 248-bp long *Ci-Noto9* notochord CRM relies upon an individual functional *Ci*-*Bra* binding site, and its corresponding gene is activated later during notochord development (middle-onset), compared with the early-onset genes *Ci-thbs3A* and *Ci-ERM* (Katikala et al. 2013). (d) Last, the 220-bp *Ci-ACL* notochord CRM is devoid of canonical *Ci*-*Bra* binding sites and relies upon a sequence that resembles a binding site for a homeodomain transcription factor (blue square). The corresponding gene, *Ci-ACL*, is a late-onset *Ci*-*Bra*-downstream notochord gene (Katikala et al. 2013). Red arrowheads: representative notochord cells

counterparts, is expressed in a wide embryonic territory, which encompasses notochord, neural tube, and endoderm (Jeffery et al. 1998; Di Gregorio et al. 2001).

Knockdown experiments carried out in *Molgula oculata* using antisense oligodeoxyribonucleotides have shown that one of the *Molgula Fox* genes, *MocuFHI*, is required for the movements of notochord and endodermal cells, and for axis formation (Olsen and Jeffery 1997).

In *Ciona*, morpholino oligonucleotide-mediated knockdown of *Ci-FoxA.a* indicated that this transcription factor controls expression of numerous genes, including *Ci-Bra* (Imai et al. 2006), and that in early embryos it occupies, among numerous others, the genomic loci of 245 target genes encoding transcription factors (Kubo et al. 2010). The analysis of *Ciona* notochord CRMs has indicated that Ci-FoxA.a can activate notochord gene expression by acting alone, as well as by synergizing with either Ci-Bra or with other unrelated transcription factors (José-Edwards et al., 2015). A notochord CRM located upstream of one of the *Ci-ZicL* genes, which encode zinc-finger transcription factors that are also involved in notochord gene expression, requires for its activity two binding sites for transcription factors of the Fox family (Anno et al. 2006), whereas a single Fox binding site is required for the activity of the *Ci-quaking* notochord CRM (José-Edwards et al. 2015, and our unpublished results).

In addition to working independently, Ci-FoxA.a can synergize with Ci-Bra and activate a subset of notochord CRMs that are equally dependent on binding sites for both transcription factors. Thus far, three examples of Ci-Bra/Ci-FoxA.a-dependent CRMs have been identified; *Ci-tune*, which encodes an ascidian-specific protein of unknown function (Passamaneck et al. 2009), *Ci-CRM24*, which is located upstream of the notochord gene *discoidin domain receptor 1* (José-Edwards et al. 2015), and *Ci-CRM96*, which is associated with *Ci-pavarotti-like*, whose product is a kinesin-like protein that is still uncharacterized in ascidians, while in flies is required for proper formation of the mitotic spindle (José-Edwards et al. 2015; Adams et al.

1998). Additionally, the *Ci-FN* notochord CRM seems to rely upon a Fox binding site and a T-box binding site (Segade et al. 2016). This latter binding site might be used by Ci-Tbx2/3, as suggested by microarray results (José-Edwards et al. 2013).

8.6 Evolutionarily Conserved Features of Notochord CRMs: Chordate-Wide or Clade-Specific Mechanisms?

The availability of a large number of fully characterized *Ciona* notochord CRMs has prompted the first comparative study of their structural features, and the provisional categorization of these regulatory regions in *Ciona*. More importantly, this research compared and contrasted the architectural and functional requirements of notochord CRMs across chordates.

The notochord functions of Brachyury and Foxa2, in addition to their binding sites, are evolutionarily conserved across chordates. Similarly, despite the frequent lack of conservation in overall enhancer sequences, some of the notochord CRMs isolated from vertebrates rely upon Brachyury binding sites related to those found in *Ciona* CRMs. Thus far, notochord CRMs requiring either one or two Brachyury binding sites, similar to most *Ciona* notochord CRMs, have been found in the zebrafish *Sonic hedgehog* (*Shh*) *ar-C* intronic notochord and floor plate enhancer (Müller et al. 1999) and in the *Xenopus eFGF* promoter region (Casey et al. 1998), respectively.

Of note, in *Ciona*, the *Ci-Ephrin3* notochord CRM requires, in addition to a functional Ci-Bra binding site, an (AC)₆ microsatellite sequence (José-Edwards et al. 2015). Interestingly, this unusual association and interdependence of a functional Brachyury binding site with a repetitive sequence, which has been revealed through the analysis of the *Ci-Ephrin3* notochord CRM, is paralleled by related findings in mice.

Studies carried out in mouse embryonic stem cells through ChIP-chip show that mouse Brachyury can bind (AC)_{≥6} microsatellite repeats (Evans et al. 2012). In vivo testing of the predicted enhancer activity of these genomic regions

is required to determine whether these regions possess *cis*-regulatory activity and whether the functional association of Brachyury and the (AC)_n microsatellite sequence uncovered in *Ciona* is conserved throughout the chordate spectrum.

Functional Foxa2 binding sites seem to be more frequent in the notochord enhancers (NOCEs) characterized from mouse embryos; however, this is likely because they were identified in screens focused on Foxa2 target genes (Tamplin et al. 2011). Notochord CRMs associated with the mouse genes *Pkd1/1-1*, *Shh*, *Bicc1-1*, and *Sox9* contain five, three, two, and one Foxa2 binding sites respectively (Tamplin et al. 2011; Jeong and Epstein 2003; Bagheri-Fam et al. 2006). In zebrafish, a group of notochord CRMs have been shown to necessitate, in addition to individual Foxa2 binding sites, a sequence motif, named “motif 2,” located at variable distances from it (Rastegar et al. 2008). This configuration does not have a direct counterpart in *Ciona*; however, in one of the *Ciona* notochord CRMs, a Foxa2 binding site has been found to be working cooperatively with binding sites for transcription factors of the AP1 and homeodomain families (José-Edwards et al. 2015).

In addition to the CRMs that employ a shared repertoire of various configurations of generic T-box and Fox binding sites, other notochord CRMs seem to be requiring clade-specific transcription factors and their respective binding sites for their activity. In *Ciona*, at least three notochord CRMs rely for their activity upon minimal sequences related to binding sites for transcription factors of the Myb family, whereas one CRM requires a binding site for a basic helix-loop-helix (bHLH) transcription factor (José-Edwards et al. 2015). Furthermore, the notochord CRM of *Ci-Bra* requires for its function binding sites for the early activators ZicL (Yagi et al. 2004) and Ets (Matsumoto et al. 2007; Farley et al. 2016). Combinations of these binding sites have been found to be required for the activity of a notochord CRM associated with *Ci-Mnx* (Farley et al. 2016), which encodes an early notochord transcription factor of the homeodomain family (Imai et al. 2004), and for the activity of

an additional notochord CRM located upstream of the main *Ci-Bra* enhancer/promoter region (Farley et al. 2016). Binding sites for transcription factors of the Zic and Ets families are yet to be reported as functional components required for the activation of notochord CRMs in vertebrates.

On the other hand, the presence of an orphan binding site (OBS) in the node and nascent NOCE of mouse *Noto*, a gene that encodes another evolutionarily conserved notochord transcriptional activator (Alten et al. 2012), indicates the existence of additional, still uncharacterized notochord activators. Together, the presence of OBS sequences in the mouse *Foxa2* and *Sox9* notochord CRMs and in four out of seven Foxa2-downstream notochord CRMs (Tamplin et al. 2011; Alten et al. 2012), together with the absence of canonical OBS sequences in minimal *Ciona* notochord CRMs, suggest that either the OBS-binding activator might be vertebrate-specific, or, alternatively, that an additional class of notochord CRMs might exist in *Ciona* and is yet to be found. In addition, another binding site present in the minimal *Noto* NOCE matches the consensus sequence bound by transcriptional activators of the Tead family. This result is in agreement with the previously described ability of Tead proteins to activate the *Foxa2* CRM, in cooperation with a still unknown factor (Sawada et al. 2005), and suggests that binding sites for Tead activators might be additional recurring components of mouse notochord CRMs. BLAST searches suggest that the *Ciona* gene most highly related to vertebrate *Tead* genes might be *Ci-scalloped/TEF1* (gene model KH.C14.426); however, this gene is reportedly expressed in neurons of the adhesive organ and in the primordium of the oral siphon, but is not detected in notochord cells (Imai et al. 2004).

Together, these results suggest that Tead transcription factors, alone or in synergy with additional activators, might be part of a vertebrate-specific molecular mechanism for the control of notochord gene expression that evolved after the separation of ascidians from the main chordate lineage. Alternatively, this and other seemingly vertebrate-specific strategies for the

control of notochord gene expression could have been present in the common chordate ancestor and would have been selectively lost in ascidians.

As novel notochord CRMs continue to be identified in both ascidians and vertebrates, we expect the number of *cis*-regulatory strategies that are found to be conserved across divergent chordates to increase. In turn, the differences between notochord CRMs in ascidians and vertebrates should point out the clade-specific regulatory mechanisms responsible for the morphological and functional differences between the notochords of these animals, and ultimately shed light on the evolutionary origins of the backbone.

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