

# Chapter 13

## Ataxia-Telangiectasia and Nijmegen Breakage Syndrome



Junya Kobayashi

**Abstract** Ataxia-telangiectasia (A-T) and Nijmegen breakage syndrome (NBS) are well-known single-gene disorders, which have similar cellular phenotypes, including chromosome instability, radioresistant DNA synthesis, and hypersensitivity to radiation. Such phenotypic similarity implies direct physical association and/or functional interaction between respective gene products. Indeed, the NBS1 protein responsible for NBS interacts with ATM kinase implicated in A-T and regulates ATM activation upon DNA damage; however, NBS1-mediated homologous recombination does not seem to require ATM. Moreover, ATM is activated by oxidative stress independently of NBS1. Thus, ATM and NBS1 are likely to have distinct functions in radiation-induced DNA damage responses or other cellular responses to genomic stresses such as oxidative stress, which should underlie different clinical manifestations of A-T and NBS.

### 13.1 Clinical Features of A-T, NBS, and ATLD

Ataxia-telangiectasia (A-T; OMIM#208900; Table 13.1) is a rare neurodegenerative disease with worldwide incidence of 1 in 40,000–200,000 live births characterized by poor coordination, hypersensitivity to radiation, and chromosomal instability, which enhances the risk of cancer. A-T was first described in 1958 [1]; later, Gotoff *et al.* reported that A-T patients showed hypersensitivity to radiotherapy [2]. Typical clinical manifestations of this disorder include severe neurodegeneration due to progressive cerebellar atrophy and telangiectasia (small dilated blood vessels), especially in the eyes and occasionally on the facial skin. In addition, patients have general immunodeficiency, predisposition to malignancies (particularly lymphoma), and hypersensitivity to ionizing radiation (IR); some of them also show growth retardation, premature aging, and insulin resistance [3–5]. The cellular phenotype in A-T is characterized by chromosomal instability, radioresistant DNA synthesis

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J. Kobayashi

Radiation Biology Center, Graduate School of Biostudies, Kyoto University, Kyoto, Japan  
e-mail: [jkobayashi@house.rbc.kyoto-u.ac.jp](mailto:jkobayashi@house.rbc.kyoto-u.ac.jp)

**Table 13.1** Major characteristics of A-T, NBS, and ATLD

Characteristics	A-T	NBS	ATLD
OMIM	208900	251260	604391
Responsible gene	<i>ATM</i>	<i>NBS1</i>	<i>MRE11</i>
Function of gene product	Protein kinase	Regulator protein	Nuclease
<i>Clinical features</i>			
Progressive cerebellar	+	–	+
Oculomotor apraxia	+	–	+
Microcephaly	–	+	–
Birdlike face	–	+	–
Telangiectasia	+	–	–
Immunodeficiency	+	+	–
Decreases of IgA, IgG, and IgE	+	+	–
Cancer predisposition	+	+	–
Deficiency of glucose metabolism	+	–	ND
Growth retardation	+	+	ND
Ovarian dysgenesis	+	+	+
Increased radiosensitivity	+	+	+
<i>Cellular phenotypes</i>			
Increased radiosensitivity	+	+	+
Radio-resistant DNA synthesis	+	+	+
Chromosome instability	+	+	+

ND: not determined

(RDS), and hypersensitivity to DNA-damaging agents, especially those inducing double-strand breaks (DSBs) [3–5]; it has also been reported in other genetic conditions such as A-T variants and A-T-like disorder.

The most famous A-T variant is a rare autosomal recessive Nijmegen breakage syndrome (NBS; OMIM#251260; Table 13.1). NBS was first described in 1979 in a Dutch boy with microcephaly, growth retardation, IgA deficiency, and chromosomal rearrangements, which are also observed in A-T [6]. The boy's brother had similar clinical manifestations; therefore Weemaes *et al.* reported the condition as a genetic disease and named it Nijmegen breakage syndrome [7]. Typical clinical features of NBS are developmental microcephaly, which results in birdlike face, mild growth retardation, premature ovarian failure, immunodeficiency, and predisposition to cancer, most frequently in hematological organs [8, 9]. NBS is very similar to A-T in the cellular phenotype, namely, hypersensitivity to radiation, RDS, and genomic instability caused by chromosomal aberrations. The estimated worldwide incidence of NBS is 1 in 100,000 live births; however, NBS is more common in Eastern Europe, where its frequency can be as high as about 1:150 in some populations, because the origin of the founder mutation is in Poland.

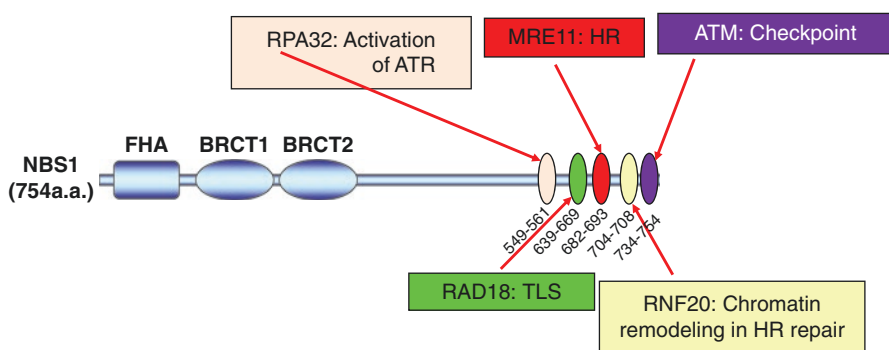
A-T-like disorder (ATLD; OMIM#604391; Table 13.1) has been reported as an A-T-related disease [10, 11]. ATLD patients were first described by Hernandez *et al.* in 1993 [12]. A common feature of ATLD and A-T is progressive cerebellar ataxia; however, ATLD patients show normal levels of total IgG, IgA, and IgM and do not

have telangiectasia. Although immunodeficiency and cancer predisposition typical for A-T and NBS are uncommon in ATLD, cellular phenotypes are similar. Therefore, interactions between the factors responsible for DNA damage responses in A-T and related syndromes have been expected before the discovery of the encoding genes.

### 13.2 Interaction Between ATM and NBS1 in DSB Damage Responses

Several critical studies have identified mutations responsible for A-T in the *ATM* gene located at 11q22-23 [13, 14]. ATM is a 350-kDa protein of 3056 amino acids belonging to the family of phosphatidylinositol 3-kinase-like protein kinases [15]. This family also includes the catalytic subunit of the DNA-dependent protein kinase (DNA-PKcs) involved in the nonhomologous end-joining (NHEJ) pathway of DSB repair [16] and the A-T and Rad3-related (ATR) protein, which responds primarily to stalled replication forks (replication stress) [17]. These protein kinases preferably phosphorylate serine or threonine residues followed by glutamine (S/TQ motif) and have redundant functions. The *ATM* gene is widely conserved in eukaryotes and has an ortholog (*TEL1/tell1*) in yeast [18]. *ATR* is also conserved in yeast (*MEC1* and *rad3* genes in budding and fission yeast, respectively), but *DNA-PKcs* is not [18].

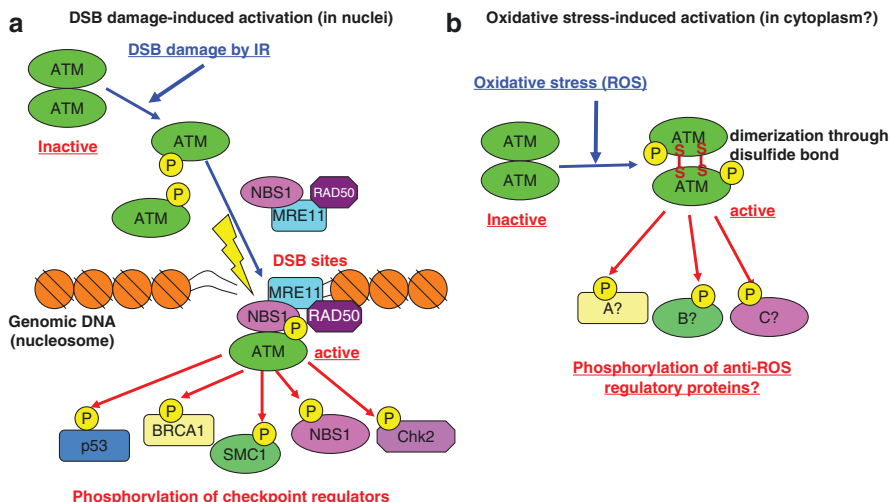
The gene mutated in NBS is *NBS1*, which has been mapped to chromosome 8q21–24; it contains 16 exons [19, 20] and is widely conserved in eukaryotes. Human *NBS1* gene encodes 754-amino acid (aa) protein with weak homology to Xrs2 in budding yeast and stronger homology to yNbs1 in fission yeast. NBS1 has a fork-head-associated domain (aa 20–108) and two BRCA1 C-terminus (BRCT) domains (BRCT1, aa 111–197, and BRCT2, aa 219–327) at the C-terminal region (Fig. 13.1).



**Fig. 13.1** Structure of human NBS1 protein. NBS1 contains several interaction motifs in the N- and C-termini. The N-terminal FHA/BRCT domains interact with DNA damage-responding proteins such as MDC1,  $\gamma$ -H2AX, and TopBP1. The C-terminal motifs are indispensable for binding to MRE11, ATM, RAD18, RNF20, and RPA32. These interactions might be important for the maintenance of genomic stability

ATLD is caused by mutations in the *MRE11* gene [10, 11], containing 20 exons and mapped to chromosome 11q21. The encoded 80-kDa MRE11 protein of 708 aa has a motif critical for nuclease activity (3' to 5' exo- and endonuclease) at the N-terminus and DNA-binding motifs at both central and C-terminal regions and is widely conserved in eukaryotes. It was shown that in budding yeast, yMre11 interacted with NBS1 ortholog Xrs2 [21]. In humans, MRE11 binds to NBS1 at the C-terminus (aa 682–693; Fig. 13.1) and to RAD50, forming the MRN complex [22]. Some patients with NBS-like disorder (NBSLD: OMIM#613078) have defective RAD50 and demonstrate cellular phenotypes characteristic for NBS, A-T, and ATLD, including radiation hypersensitivity, RDS, and chromosome instability [23]. The MRN complex functions in homologous recombination (HR) repair, which is, similar to NHEJ, a major DSB repair pathway. When DSBs are generated by IR, the MRN complex is rapidly recruited to DSB sites, and HR repair is initiated via resection of DSB ends, resulting in the formation of more than 30 single-stranded DNA (ssDNA) tails at both DSB ends. Then, the replication protein A (RPA) complex binds to ssDNA and is subsequently replaced with RAD51, which facilitates HR repair [24]. The MRN complex, particularly MRE11, is indispensable for the initial resection step in HR as evidenced by the findings in NBS1- or MRE11-deficient DT40 chicken and human cells [25, 26].

An intrinsic feature of A-T is RDS, which is a defect in the activation of the intra-S phase checkpoint. A-T cells are also known for failure to activate G1 and G2 checkpoints [27, 28]. A major pathway regulating the G1 checkpoint is the suppression of CDK/cyclin activity by cell cycle inhibitory protein p21, whose expression is induced by p53 activated after IR-induced DSB damage. ATM was found to participate in this pathway by phosphorylating p53 and promoting p21 transcription [29, 30]. ATM can also phosphorylate a number of other regulators of cell cycle checkpoints in response to DSB formation (Fig. 13.2). Most of ATM substrates have consensus sequences containing SQ/TQ motifs [31] that are found in the central region of NBS1. The serine residues at positions 278 and 343 are phosphorylated by ATM in response to IR, and the substitution of these residues with alanine results in the abrogation of the ATM-dependent intra-S checkpoint in response to DSB damage, which is similar to the cellular phenotype observed in NBS patients [32, 33]. Furthermore, ATM can perform autophosphorylation at serine 1981, which is essential for ATM monomerization and its sufficient activation (Fig. 13.2) [34]. Moreover, ATM is evidently activated by a direct association with NBS1 via a conserved motif (aa 734–754; Fig. 13.1) located at the NBS1 C-terminus (Fig. 13.2) [35]. NBS cells are deficient in the recruitment of ATM to DSB sites after IR and showed reduced activity of ATM kinase, indicating that the interaction between NBS1 and ATM is indispensable for sufficient ATM activation [35]. MRE11-defective ATLD cells and RAD50-defective NBSLD cells also show the reduction of ATM-dependent phosphorylation and activation of cell cycle checkpoint, suggesting that both MRE11 and RAD50 are also important for ATM activation via the MRN complex.



**Fig. 13.2** Mechanisms of ATM activation. (a) DSB damage induces autophosphorylation of ATM at serine 1981, which allows the interaction of ATM monomer with MRN complex and subsequent recruitment to DSB sites. As a result, ATM could sufficiently phosphorylate checkpoint regulators. (b) Oxidative stress induces ATM dimerization through disulfide bonds and subsequent activation. Such activated ATM could phosphorylate anti-ROS regulatory proteins

### 13.3 Distinct Functions of ATM and NBS1 and Their Relevance to Clinical Phenotypes

Although cellular phenotypes are similar in A-T and NBS, their clinical manifestations, especially neurodegeneration, are different. Thus, A-T patients show progressive cerebellar ataxia, while NBS patients have microcephaly. In A-T patients, progressive cerebellar ataxia mostly leads to gait imbalance at the end of the first decade [36, 37], accompanied with oculomotor abnormalities, swallowing difficulty, and speech defects. Pathology studies indicate that a loss of Purkinje and granular neurons may cause progressive cerebellar cortical degeneration in A-T [38, 39]. Although *Atm*-deficient mice do not display the typical ataxia phenotype [39], neural stem cells derived from induced pluripotent cells of A-T patients had abnormal responses to hypoxia and attenuated neuronal differentiation, especially to GABAergic neurons and oligodendrogenesis [40]. Moreover, ATM-deficient human and mouse cells showed increased generation of endogenous reactive oxygen species (ROS) and accumulation of oxidative damage, suggesting that ATM could have an important role in antioxidative responses [41, 42]. Guo *et al.* reported that ROS directly induced ATM kinase activation independently of MRN complex through stabilization of ATM dimers by the formation of disulfide bonds (Fig. 13.2) [43]. Moreover, several recent studies have described ATM roles in cytoplasmic organelles such as peroxisomes and mitochondria [44–46]. Thus, Walker *et al.* showed that ROS activated peroxisomal ATM, which phosphorylated serine-threonine kinase LKB1 and induced

5'-AMP-activated protein kinase (AMPK) and tuberin (TSC2), dampening mTORC1-mediated signaling. This cascade of events led to a decrease in protein synthesis and enhancement of autophagy (pexophagy) via ATM-dependent phosphorylation of PEX5, which interacts with p62 (an autophagy adaptor) [44, 45]. ATM was also found in mitochondria, where it was suggested to play a role in mitochondrial autophagy (mitophagy) and stabilization of mitochondrial DNA [46–48]; however, ATM substrates in mitochondria and mechanistic aspects of its activity there remain to be investigated. Overall, these data indicate that deregulation of ATM activation in anti-oxidative stress signaling may lead to distinct neuronal phenotypes such as cerebellar ataxia in A-T. ATLD patients show similar progressive cerebellar ataxia, while ATM activation by oxidative stress is suggested to be independent of MRE11; another common role of ATM and MRE11 may be related to the neurodegenerative phenotype.

Immunodeficiency is also a typical feature in A-T. The patients have decreased levels of IgA, IgE, and IgG and are defective in B- and T-cell differentiation [49, 50]. Lymphocytes from A-T patients often contain clonal translocations, mainly in chromosome 7 and 14 loci of the T-cell receptor and Ig heavy chain genes [51, 52]. V(D)J recombination occurs only at the G0 and G1 phases of the cell cycle because of the G1-/G0-specific expression of V(D)J recombination-specific nuclease RAG2; therefore, abnormal regulation of cell cycle checkpoints in A-T may induce incomplete V(D)J recombination and consequently lead to immunodeficiency [53]. Another prominent clinical hallmark of A-T is predisposition to cancer, especially to that of hematopoietic origin, including Hodgkin's and non-Hodgkin's lymphoma, and several forms of leukemia [54, 55], which can be triggered by incomplete differentiation of immune organ. Furthermore, accumulating evidence suggests that ATM-mediated cell cycle checkpoint regulation is important for the prevention of cancer progression [56]; consequently, ATM-inactivating mutations may promote cancer development.

A-T is often accompanied by the deficiency in glucose metabolism and insulin-related responses; the patients often show poor weight gain, progressive decrease in body mass index (BMI), and dystrophy [57]. In fact, ATM was found to participate in several signaling pathways regulated by insulin [58, 59], and ApoE-deficient mice heterozygous for the *Atm* null allele had glucose intolerance and insulin resistance similar to those in type 2 diabetes [60]. Furthermore, *Atm*<sup>-/-</sup> mice showed reduction of insulin secretion and impaired differentiation of fibroblasts to adipocytes due to insufficient induction of C/EBP $\alpha$  and PPAR $\gamma$  [61, 62]. These findings suggest an important role of ATM in glucose metabolism mediated via adipocyte differentiation; however, the signals triggering ATM kinase activation in metabolic regulation remain unclear.

### 13.4 Novel Role of NBS1 and Its Contribution to NBS-Specific Symptoms

As mentioned above, NBS1 forms the MRN complex with MRE11 and RAD50 functioning in HR repair. The C-terminus of NBS1 contains motifs responsible for the binding to MRE11 and ATM, which are conserved among eukaryotes. Another

conserved C-terminal sequence was found to be critical for the binding to E3 ubiquitin ligase RNF20 (aa 704–708; Fig. 13.1) [63], which ubiquitinates histone H2B at lysine 119 and is important for transcriptional regulation. RNF20 accumulates at DSB damage sites, and RNF20-dependent ubiquitination of H2B induced by IR is required for the recruitment of BRCA1 and RAD51 to DSB damage sites and HR repair. Furthermore, the interaction between NBS1 and RNF20 is essential for IR-induced focus formation of RPA complex (their accumulation to damaged chromatin) following DSB end resection, suggesting that NBS1 association with RNF20 is involved in the regulation of HR repair through end resection. Thus, while the NBS1 function in HR repair through assembly with MRE11 and RNF20 has been established, the role of its binding partner ATM in HR is unclear. There is evidence to suggest that ATM might be dispensable for HR repair, while some findings indicate the opposite [64–66]; therefore, further investigation is required.

In NBS, the characteristic clinical feature is microcephaly, which is not observed in A-T and ATLD patients, suggesting that physiological functions of NBS1 are distinct from those of ATM and MRE11. Microcephaly is also common for Seckel syndrome patients deficient in ATR, which is a member of the ATM kinase family and regulates cell cycle checkpoint response to replication stress. ATR is recruited to DNA damage sites induced by replication stress through the binding with ATRIP, which interacts with the RPA complex recognizing and coating ssDNA ends generated on stalled forks [67]. In parallel, TopBP1 binds to the RAD9-RAD1-HUS1 (9-1-1) checkpoint clamp, which is loaded onto DNA by the RAD17-replication factor C clamp loader complex [17]. These events result in the catalytic activation of ATR through direct binding of the ATR-ATRIP complex to the activation domain of TopBP1. Shiotani *et al.* reported that NBS1 could activate ATR through binding with RPA32, one of the three RPA subunits (aa 549–561; Fig. 13.1) [68]. NBS1, via its N-terminus, is likely to interact with TopBP1 and facilitate TopBP1 recruitment to DNA damage sites [69]. Overall, these data indicate that NBS1 has a critical role in ATR activation and that both ATR and NBS1 are important for cell cycle checkpoint induction by replication stress. In addition, accumulating evidence suggests that ATR and NBS1 are involved in centrosome maintenance. Centrosome is an organelle with a key role in equal chromosome segregation to daughter cells, which prevents aneuploidy. The *MCPH1* gene responsible for autosomal recessive primary microcephaly disorder has a role in centrosome maintenance, and its defects induce abnormal centrosome amplification [70]. *MCPH1* is also involved in ATR-dependent DNA damage responses [71], suggesting a role of ATR in the centrosome cycle. Cumulatively, these data implicate NBS1, ATR, and *MCPH1* in normal brain development and prevention of microcephaly [70, 72].

Yanagihara *et al.* reported that another conserved region (aa 639–669; Fig. 13.1) at the NBS1 C-terminus contributes to its binding with RAD18, an E3-ubiquitin ligase for proliferating cell nuclear antigen (PCNA) [73]. PCNA ubiquitination is implicated in DNA polymerase  $\eta$  (Pol $\eta$ )-dependent DNA translesion synthesis (TLS) after UV-induced DNA damage, which is regulated by RAD18. Depletion of NBS1 by siRNA increased UV sensitivity and reduced RAD18-dependent PCNA ubiquitination and subsequent accumulation of Pol $\eta$  at UV-induced DNA damage sites. These data suggest the involvement of NBS1 in RAD18/Pol $\eta$ -dependent TLS,

while MRE11 and ATM are known to be dispensable for the TLS pathway. However, cells derived from most NBS patients are not sensitive to UV, because a major NBS1 mutation does not affect its RAD18-binding site and thus, does not prevent the activation of the RAD18/Pol $\eta$ -dependent TLS pathway.

NBS as well as A-T patients have predisposition to cancer, particularly to T-cell and B-cell lymphomas, which is likely due to genomic instability as evidenced by translocation between chromosomes 7 and 14 observed in T lymphocytes [9, 51]. As NBS1 is essential to ATM activation in response to DSB damage, it could also be involved in immune development through the ATM-dependent cell cycle checkpoint. Therefore, NBS1 defects can also lead to incomplete differentiation in immune organs and consequent lymphoid malignancy. Considering NBS1 role in the RAD18/Pol $\eta$ -dependent TLS pathway, deficiency of NBS1 may compromise TLS activation and lead to nucleotide misincorporation during regular DNA synthesis, causing genetic defects. Thus, NBS patients may suffer increased predisposition to malignancy due to deregulation of the RAD18/Pol $\eta$ -dependent TLS pathway.

### 13.5 Conclusion

ATM, NBS1, and MRE11 exert significant effects on IR-induced DNA damage responses through direct physical associations with and functional activation of each other. Therefore, mutations in the encoding genes underlie radiation hypersensitive genetic disorders such as A-T, ATLD, and NBS, which share common features of chromosomal instability and radioresistant DNA synthesis. However, clinical phenotypes of A-T, ATLD, and NBS are different, especially concerning neurodegeneration. While A-T and ATLD are characterized with progressive cerebellar ataxia, NBS has a typical microcephaly phenotype, suggesting distinct functional roles of the involved genes. Thus, ATM could be activated by oxidative stress as well as DSB damage, while NBS1 and perhaps the MRN complex may be dispensable for oxidative stress responses. At the same time, NBS1 has a role in TLS following UV-induced DNA damage, but ATM and MRE11 do not. Therefore, distinct functional activities of ATM, NBS1, and MRE11 may underlie the differences in clinical phenotypes of A-T, ATLD, and NBS, including neurodegeneration. However, future studies focusing on distinct functions of these genes are required.

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