

# Chapter 10

## Silver Nanoparticles in Natural Environment: Formation, Fate, and Toxicity

Virender K. Sharma and Radek Zboril

**Abstract** In recent years, there has been growing interest in the existence of natural nanoparticles in the environment and their subsequent influence to the ecological health. This chapter presents the current status on thermally- and light-induced formation of silver nanoparticles (AgNPs) under environmentally relevant conditions. Influenced environmental parameters include temperature, pH, oxic/anoxic environment, and concentrations of precursors  $\text{Ag}^+$  ions and natural organic matter (NOM). Surface-catalyzed reduction of  $\text{Ag}^+$  could describe the formation of AgNPs under various conditions. The redox species of iron (Fe(II)/Fe(III)) in the thermally induced processes enhanced the formation of AgNPs. Moieties of NOM, Ag–NOM complexes, and reactive oxygen species, ROS (e.g.,  $\text{O}_2^{\cdot-}$ ) were provoked to explain the formation of AgNPs. Stability studies on formed AgNPs from Ag(I)–NOM reaction mixtures have shown their stability for days to several months. However, cations of the natural waters such as  $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Mg}^{2+}$ , and  $\text{Ca}^{2+}$  can destabilize the AgNPs. A preliminary investigation on the toxicity of AgNPs, formed in the mixture of  $\text{Ag}^+$ -humic acid, suggests that lower minimum inhibition concentration against Gram-negative bacteria and Gram-positive bacteria compared to engineered AgNPs.

**Keywords** Noble metals · Natural nanoparticles · Organic matter · Stability · Toxicity

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## 10.1 Introduction

Engineered nanoparticles (ENPs) are widely used in development of technologies and in consumer products such as agriculture, electronic devices, and renewable energies [1–7]. ENPs synthesized in worldwide laboratories can be given as metals, metal oxides, metal sulfides, nonmetals, lipids, and polymers [8–10]. ENPs have been suggested to remediate polluted water [11, 12]. Iron-based nanoparticles are proposed in cancer treatment [13–15]. Because of many human health related applications of nanoparticles, synthesis of ENPs through reduction of metal salts with green chemistry reducing agents have been emphasized in order to protect the environment [5, 16–19]. Significantly, a role of environmental-friendly compounds to act as a stabilizing agent has also been investigated in detail [4, 10, 20]. Sugars and vitamins can act as both capping agents and thus represent examples of such compounds.

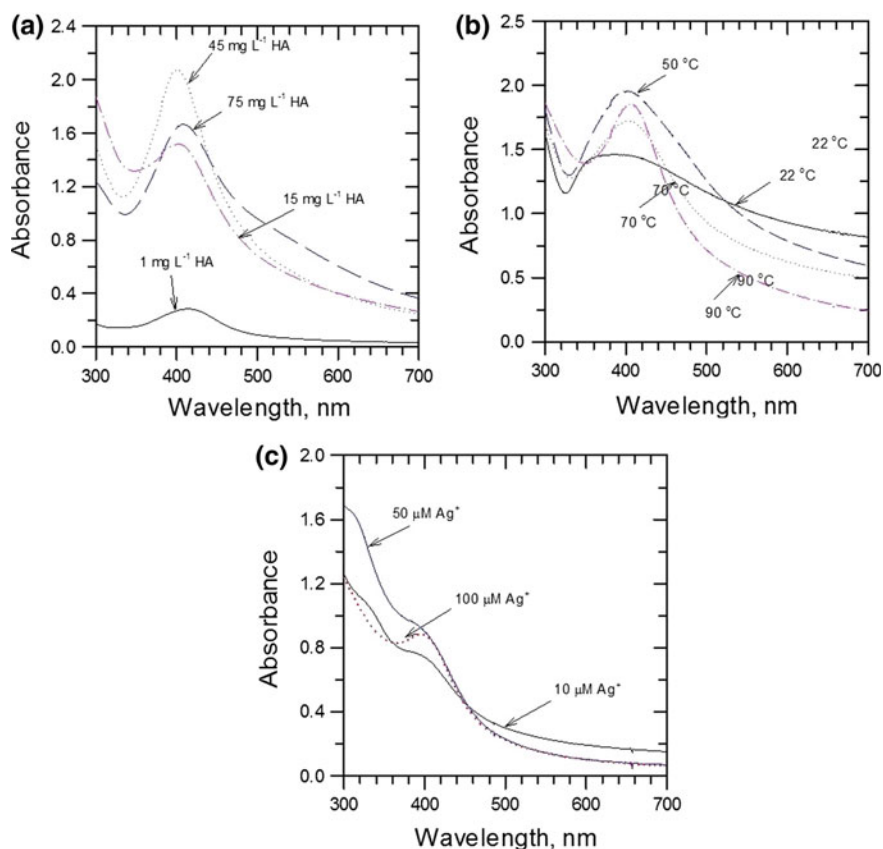
Among the category of metals, silver nanoparticles (AgNPs) have shown the most use in industrial and medical fields [1, 7, 21]. AgNPs have been found in more than 400 consumer products [22, 23]. After the intended use of AgNPs, the nanoparticles release into the environment [24–28]. Numerous investigations have been conducted on the fate and behavior of release of AgNPs into the aquatic environment, because of their effects on ecosystems and humans [8, 22, 26, 29, 30]. Comparatively, little is known on the mechanism of natural occurrence of AgNPs in different environmental conditions [31, 32]. Reactions in hydrothermal vents, surface water, and wastewater, and mining activities are possible processes that lead to natural formation of AgNPs in the environment [8, 33]. Significantly, the naturally formed AgNPs may also equally affect the ecological health of the environment [10, 34]. This chapter summarizes the results obtained on the formation of AgNPs under environmental conditions, followed by their fate and potential toxicity to the biological species.

## 10.2 Thermally Induced Formation of AgNPs

In this set of experiments, initial measurements on thermally induced formation of AgNPs were conducted at varied environmental conditions (Fig. 10.1) [35]. Solutions of  $\text{Ag}^+$  were mixed with Suwannee River humic acid (SRHA) at different temperatures under different concentrations of SRHA and silver ions. It was observed that the color of the mixed solution changed with time from a light yellow color of the HA solution to strong yellow color. Spectra of the mixed solution were measured by UV–vis spectroscopy (Fig. 10.1a–c). The intense yellow color was from the surface plasmon resonance (SPR) of the AgNPs. The intensity of the SPR was dependent on the reaction conditions. When concentration of SRHA in mixed solution was increased by keeping concentration of  $\text{Ag}^+$  at 90 °C, the intensity of SPR peak increased (Fig. 10.1a). An increase in temperature also enhanced the

growth of AgNPs (i.e., increase in the intensity of SPR) (Fig. 10.1b). When the level of  $\text{Ag}^+$  in mixed solutions was increased by keeping the concentration of SRHA constant at  $90^\circ\text{C}$ , the formation of AgNPs increased (Fig. 10.1c).

Figure 10.1a–c shows that the location of the peak wavelength and shape of the spectra depended on the initial concentration of SRHA and temperature. It seems that the location of the peak was a function of the diameter and agglomeration of the AgNPs. The peak was sharper with increased concentrations of SRHA at a constant  $\text{Ag}^+$  concentration and temperature (Fig. 10.1a). This suggests that less polydisperse AgNP size distributions at high levels of SRHA. An increase in temperature of the mixed solution of  $\text{Ag}^+$ –SRHA enhanced growth of AgNPs and the peak became narrow (Fig. 10.1b). It was obvious that the formation mechanism of AgNPs was more likely operational at higher temperature. Low levels of  $\text{Ag}^+$  also

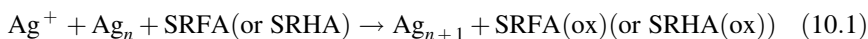


**Fig. 10.1** UV-Vis absorption spectra of AgNPs. **a** Different  $[\text{HA}]$ ,  $[\text{Ag}^+] = 1 \times 10^{-3}\text{ M}$ , reaction time = 90–330 min,  $t = 90^\circ\text{C}$ ; **b** different reaction temperatures,  $[\text{Ag}^+] = 1 \times 10^{-3}\text{ M}$ ,  $[\text{HA}] = 100\text{ mg L}^{-1}$ ; and **c** different  $[\text{Ag}^+]$ ,  $t = 90^\circ\text{C}$ ,  $[\text{HA}] = 100\text{ mg L}^{-1}$ . Adapted from [35] with the permission of American Chemical Society

showed the AgNPs (Fig. 10.1c). However, the peak of SPR was less defined (Fig. 10.1c).

In the next series of experiments, similar studies were conducted using Suwannee River fulvic acid (SRFA) [36]. Similar results, shown in Fig. 10.1, were observed. Increase in concentrations of  $\text{Ag}^+$  and SRFA in mixed solutions and temperature resulted in the increase in intensity of SPR peak of the AgNPs. At high temperature of 90 °C, formation of AgNPs occurred in hours (or minutes) with well-defined SPR peak. Comparatively, it takes days to result in AgNPs at room temperature (25 °C). The SPR peaks were much broader at room temperature because of considerable polydispersity in size and local dielectric environment of the AgNPs [36]. Additional experiments were performed by varying the pH of the mixed solution [36]. Results demonstrated the increase in the formation of AgNPs with increase in pH (Fig. 10.2) [36]. With increase in pH, the shape of the SPR peaks of AgNPs was also broadened.

Results of Figs. 10.1 and 10.2 were understood by monitoring of the SPR peak of the AgNPs as a function of time intervals (Fig. 10.3) [36]. The shape of the curve seen in Fig. 10.3 suggests an autocatalytic process for the growth of AgNPs. Equation 10.1 was used to explain the process of the formation of AgNPs. Basically,  $\text{Ag}^+$  ions from the bulk solution first adsorb onto a small cluster  $\text{Ag}_n$  before reduction by SRFA (or SRHA) to catalyze the growth of AgNPs.

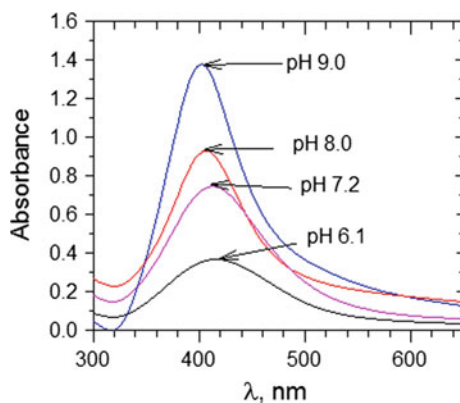


The autocatalytic process is described by Eq. 10.2.

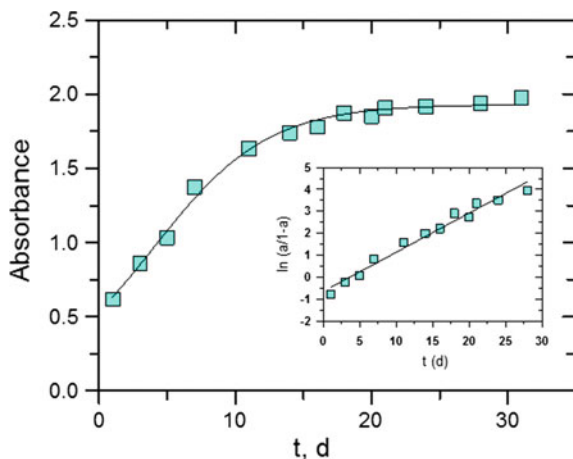
$$\ln(a/(1-a)) = (k[\text{Ag}^+])t - \ln([\text{Ag}^+]/n[\text{Ag}_n]), \quad (10.2)$$

where  $a = A_t/A_\infty$ , and  $A_t$  and  $A_\infty$  are the absorbance values at time  $t$  and  $\infty$ , respectively. A linear relationship between  $\ln(a/(1-a))$  and the reaction time support that the formation of AgNPs results from an autocatalytic reaction in the  $\text{Ag}^+$ -SRHA or  $\text{Ag}^+$ -FA mixture solutions of Figs. 10.1 and 10.2.

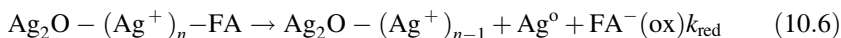
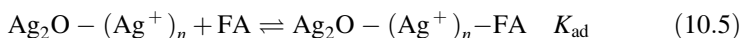
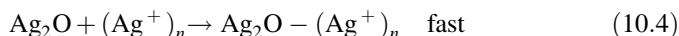
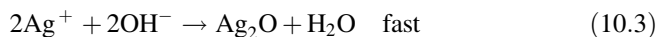
**Fig. 10.2** UV-Vis absorption spectra of AgNPs in SRFA at different pH in 2 h heating at 90 °C.  $[\text{Ag}^+] = 1 \times 10^{-3} \text{ mol L}^{-1}$ ,  $100 \text{ mg L}^{-1}$  SRFA. Adapted from [36] with the permission of American Chemical Society



**Fig. 10.3** Plot of absorbance versus time for the formation of AgNPs at 22 °C.  $[Ag^+] = 1 \times 10^{-3} \text{ mol L}^{-1}$ ,  $100 \text{ mg L}^{-1}$  SRFA. *Inset* Plot of  $\ln(a/(1-a))$  versus time. Adapted from [36] with the permission of American Chemical Society



The formation of AgNPs through the autocatalytic process was also confirmed by determining the thermodynamic feasibility of the reaction of  $Ag^+$  ion and SRFA (or SRHA) under homogeneous solutions. The redox potentials for FA and HA are  $-0.5$  and  $-0.7$  V, respectively (Table 10.1) [37–43], which are not sufficient to overcome the highly negative redox potential of  $Ag^+$  ions to isolated silver ( $Ag^+ + e^- \rightarrow Ag^0$ ;  $E^0 = -1.8$  V vs. NHE) [38]. Therefore, direct reduction of  $Ag^+$  ion by either SRFA or SRHA in solution mixture is not possible. However, it is likely that  $Ag^+$  ions deposited on solid surfaces are being reduced by SRFA (or SRHA) due to a more positive redox potential. This idea stimulated from the known redox potential for reducing  $Ag^+$  onto a Ag electrode is  $+0.8$  V [37]. The steps of the mechanism of the formation of AgNPs may be written by Reactions (10.3–10.7).



In this proposed mechanism, initial formation of colloidal  $Ag_2O$  occurred from the reaction between  $Ag^+$  and  $OH^-$  ions (Reaction 10.3). The solid surface of  $Ag_2O$  thus adsorbed  $Ag^+$  ion (Reaction 10.4), which could satisfy the thermodynamic feasibility condition of reduction of  $Ag^+$  ion by SRFA (or SRHA) (Reactions 10.5 and 10.6). According to the mechanism, SRFA was adsorbed first onto colloidal particle of  $Ag_2O$  before reducing  $Ag^+$  ion to metallic silver. The formation of

**Table 10.1** Redox potentials of possible reactions in the  $\text{Ag}^+$ –NOM–Fe system

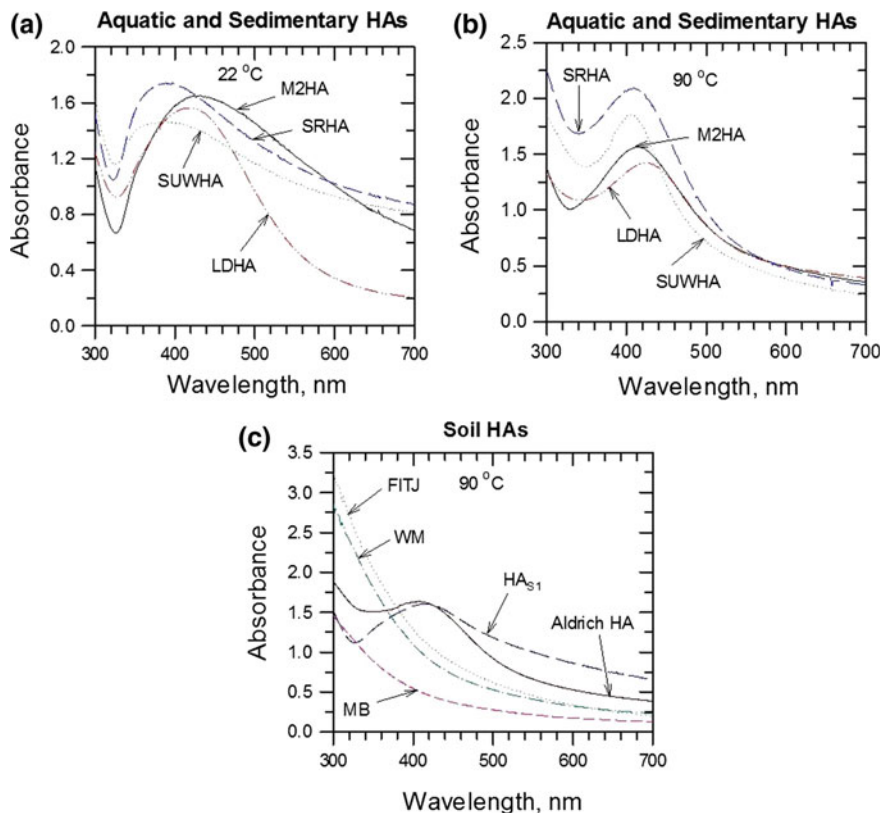
Reaction	$E^0$ versus NHE (V)	References
$\text{Ag}^+ + \text{e}^- \rightleftharpoons \text{Ag}^0$	-1.8	[37]
$\text{Ag}^+ + \text{Ag}_{\infty}^0 + \text{e}^- \rightleftharpoons \text{Ag}_{\infty}^0$	0.8	[38]
$\text{Fe}^{3+} + \text{e}^- \rightleftharpoons \text{Fe}^{2+}$	0.77	[40]
$\text{Q} + 2\text{H}^+ + 2\text{e}^- \rightleftharpoons \text{HQ}$	-0.699	[38]
$\text{FA}_{(\text{ox})} + \text{e}^- \rightleftharpoons \text{FA}_{(\text{Red})}$	~0.5	[42]
$\text{HA}_{(\text{ox})} + \text{e}^- \rightleftharpoons \text{HA}_{(\text{Red})}$	~0.7	[43]
$\text{Fe}^{\text{III}}(\text{HS}) + \text{e}^- \rightleftharpoons \text{Fe}^{\text{II}}(\text{HS})$	-0.20 to 0.30	[40]

*FA* fulvic acid, *HA* humic acid, *HS* humic substances

dimers (Reaction 10.7) was proposed prior to stabilization of larger clusters [44]. The autocatalytic reduction of  $\text{Ag}^+$  may represent the driving force to reach metastable (or “magic-sized”)  $\text{Ag}_n$  clusters that are thermodynamically far more favored than smaller entities. In the growth period, the metastable silver clusters agglomerate to generate the characteristic yellow color of AgNPs.

It is clear that reaction step (10.6) is the rate-determining step of the mechanism. The reduction capacity of organic matter (FA or HA) derives the formation of AgNPs. The amount of AgNPs (or growth of AgNPs) is directly related to the concentrations of both  $\text{Ag}^+$  ions and SRHA (Fig. 10.1) [35]. An increase in the pH (or more concentration of  $\text{OH}^-$  ions) in solution would increase the amount of colloidal  $\text{Ag}_2\text{O}$  that enhanced the rate of formation of  $\text{Ag}_2\text{O}-(\text{Ag}^+)_n$  particles. This process thus ultimately yielded increase in amount of AgNPs with increase in pH of the solution. Other possibility is that the functional groups of aromatic fractions of FA generally are deprotonated species at higher pH. The deprotonated species have relatively higher electron density than functional groups of protonated species. The initial binding and complexation of  $\text{Ag}^+$  ions by FA species is expected to increase with increase in pH. This process may increase the rate of the formation of AgNPs with increase in pH (Fig. 10.2) [36].

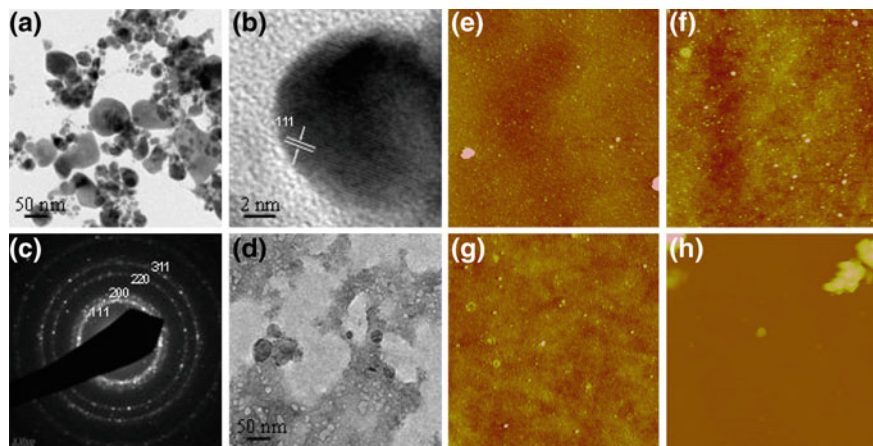
Next, the formation of AgNPs was investigated using HA, obtained or isolated from different sources, which include aquatic, sedimentary, and soil. Details of procedures to obtain HA are given elsewhere [35]. Figure 10.4 shows the results of the formation of AgNPs under different HA at RT and 90 °C while keeping concentrations of  $\text{Ag}^+$  and HA constant [35]. SUWHA was from Suwannee River HA from an aquatic source. SR, LD, and M2 represent HA are from sediments while MB, FITJ, S1, and WM belong to sources of HA from soil. As expected, a distinct yellow color of AgNPs was observed at RT was in days (Fig. 10.4a). At 90 °C, the formation of AgNPs was seen within 90 min (Fig. 10.4b). The intensity of the peak of the AgNPs varied with the source of the HA. The organic content and moieties of the organic matter present in HA determine the variation in growth of the AgNPs. Interestingly, soil HAs had sluggish or no formation of AgNPs. It appears that the predominant aliphatic-based SHA and aquatic HA were more easily able to reduce  $\text{Ag}^+$  to yield AgNPs than did aromatic-dominated soil HA. The difference in growth



**Fig. 10.4** UV-Vis absorption spectra of AgNPs. **a** Different aquatic/sedimentary HAs.  $[Ag^+] = 1 \times 10^{-3}$  M, reaction time = 60–180 min,  $t = 22$  °C, and  $[HA] = 100$  mg  $L^{-1}$ ; **b** different aquatic/sedimentary HAs.  $[Ag^+] = 1 \times 10^{-3}$  M, reaction time = 60–180 min,  $t = 90$  °C, and  $[HA] = 100$  mg  $L^{-1}$ ; and **c** different soil HAs, reaction time = 60–180 min,  $[HA] = 100$  mg  $L^{-1}$ ,  $[Ag^+] = 1 \times 10^{-3}$  M, and  $t = 90$  °C. Adapted from [35] with the permission of American Chemical Society

of AgNPs in sedimentary and soil samples may be described by the presence of the dominant form of reduced organic sulfides (thiols) in sedimentary HAs relative to the more oxidized form of organic sulfur present in soil HAs [45].

AgNPs obtained by the interaction of  $Ag^+$  and HA were characterized by transmission electron microscopy, selected area electron diffraction (SAED), and atomic force microscopy (AFM) (Fig. 10.5) [35]. The shapes of the AgNPs were usually spherical with a very broad size distribution, ranging from diameters greater than 50 nm to less than 5 nm (Fig. 10.5a–d). The agglomeration of AgMPs was relatively more in the larger size AgNPs. The images of the AFM support the presence of crystalline AgNPs in the samples. Figure 10.3b demonstrates the [111] growth direction of the formed AgNPs. The high-resolution TEM (HRTEM) images in Fig. 10.5c indicates the presence of crystallites AgNPs. The SAED



**Fig. 10.5** Low resolution (a) and high resolution (b) TEM images of the AgNPs produced in the M2HA solution with the corresponding SAED pattern (c) of the Ag nanoparticles. Low-resolution TEM image of the as prepared M2HA solution is shown for comparison, and (d). The diffraction patterns can be precisely indexed to the face-centered cubic phase of Ag. AFM image of AgNPs formed by mixing  $\text{AgNO}_3$  at room temperature with e M2HA, f SRHA, and g, h SUWHA for 6–13 days. Scale bars represent 400 nm, z-scale is 10 nm in (e–g) and 250 nm in (h). Adapted from [35] with the permission of the American Chemical Society

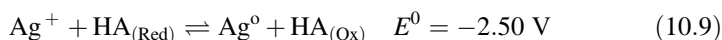
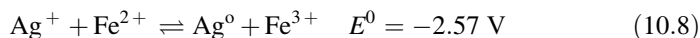
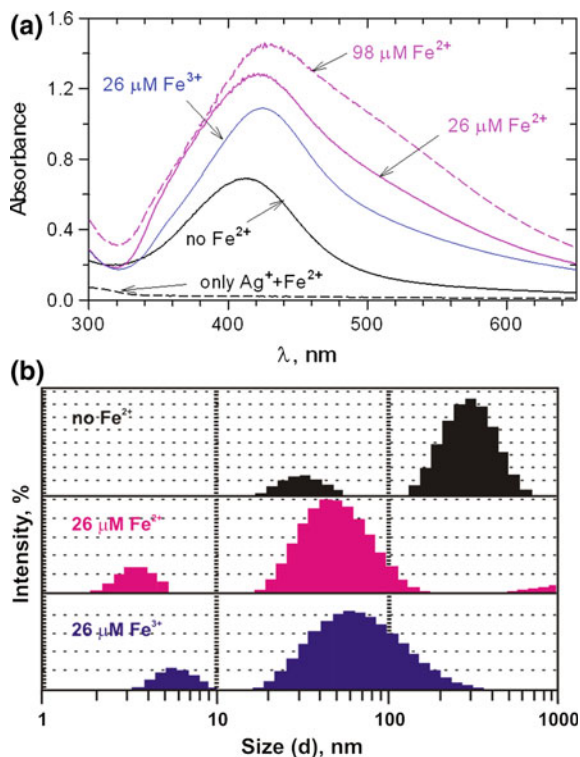
patterns and lattice planes seen can be indexed to face-centered cubic silver metal (Fig. 10.5c). Significantly, an abundance of amorphous natural colloids with equivalent electron density was observed (Fig. 10.5d). According to AFM images, AgNPs were singly dispersed spherical particles, mostly less than 10 nm in diameter (Fig. 10.5e–h). Significantly, images contained larger diameter particles that were less spherical (e.g., Fig 10.5f) or large agglomerates of many particles (e.g., Fig 10.5h).

The effect of iron species was explored by heating the mixture of  $\text{Ag}^+$  with SRHA with and without  $\text{Fe(II)/Fe(III)}$  in solution at pH 6.0 and heated at 90 °C for 4 h [46]. The characteristic intense yellow color due to SPR of AgNPs appeared in mixed solutions (Fig. 10.6a). However,  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  species enhanced absorbance of SPR of AgNPs (Fig. 10.6a). Importantly, a mixed solution of only  $\text{Ag}^+$  and  $\text{Fe}^{2+}$  without SRHA had no SPR of AgNPs (Fig. 10.6a). Significantly, there was no further enhancement in the SPR absorbance of AgNPs when the concentration of  $\text{Fe}^{2+}$  was increased (Fig. 10.6a). Similar results were observed at room temperature. However, the growth of AgNPs happened in several days, similar to results observed in Fig. 10.1.

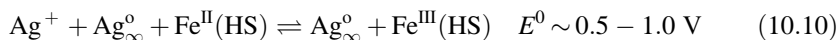
The formation of AgNPs by the reduction of  $\text{Ag}^+$  ion by either  $\text{Fe}^{2+}$  or SRHA is not thermodynamically possible due to the high negative potentials of the reactions (Eqs. 10.7 and 10.8) [37, 38, 40, 42, 43]. Surface auto-catalysis processes, described in Eqs. 10.3–10.7, were provoked to explain the formation of AgNPs.



**Fig. 10.6** UV-vis absorption spectra and DLS determined size distributions based on intensity fluctuation of AgNPs in  $\text{Ag}^+$ -SRHA solutions with and without  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  at pH 6.0. **a** UV-vis spectra and **b** DLS measurements.  $[\text{Ag}^+] = 1 \times 10^{-3} \text{ mol L}^{-1}$ ,  $40 \text{ mg L}^{-1}$  SRHA. Adapted from [46] with the permission of American Chemical Society



The presence of  $\text{Fe}^{2+}$  in the reaction mixture of  $\text{Ag}^+$  and SRHA leading to a number of reactions can lead to number of reactions, which include the formation and dissociation of Fe(II)/Fe(III)-SRHA complexes and the formation of reactive oxygen species (ROS)  $\text{O}_2^-$ ,  $\text{H}_2\text{O}_2$ , and  $\cdot\text{OH}$  [47–49]. These additional reactions helped to generate more amount of AgNPs in  $\text{Ag}^+$ -SRHA-Fe(II)/Fe(III) mixtures than in  $\text{Ag}^+$ -SRHA mixed solutions. For example, the complex formation of  $\text{Fe}^{2+}$  with NOM gave additional driving force to form AgNPs and thus enhanced the formation of AgNPs in the  $\text{Ag}^+$ - $\text{Fe}^{2+}$ -SRHA mixed solution. This can be seen in positive redox potential of the Reaction (10.9). The reaction includes the redox potential of Fe(II)-HA/Fe(III)-HA system. The Reaction (10.9) provides additional step of the formation of AgNPs besides Reaction (10.7) without involvement of iron species to cause the increased formation of AgNPs (see Fig. 10.6a)

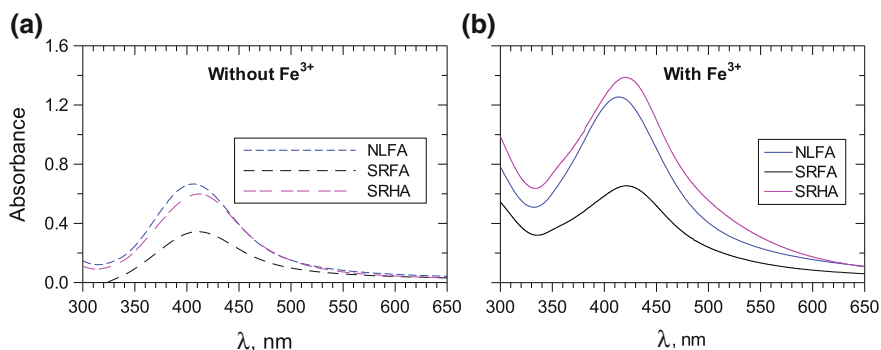


The  $\text{O}_2^-$  species, possibly formed in the reaction system, can also react with  $\text{Ag}^+$  to yield an additional reaction to result in AgNPs.

Figure 10.6b shows the DLS measurements (intensity-based size distributions) of AgNPs in the absence and presence of iron in the  $\text{Ag}^+$ -SRHA system. The mean hydrodynamic diameter (HDD) of the AgNPs in the absence of iron was 201 nm. The HDD of AgNPs in the presence of consistent with faster growth of AgNPs in  $\text{Ag}^+$ -SRHA- $\text{Fe}^{2+}/\text{Fe}^{3+}$  mixture solution than in  $\text{Ag}^+$ -SRHA. A polydisperse size distribution was seen in the polydispersity index (PI) of  $\sim 0.5$  of the AgNPs (Fig. 10.6b).

The nature of natural organic matter (NOM) greatly influenced the growth of the formation of AgNPs in the  $\text{Ag}^+$ -NOM and  $\text{Ag}^+$ -NOM- $\text{Fe}^{3+}$  systems (Fig. 10.7) [46]. In agreement with results of Fig. 10.6a, the presence of  $\text{Fe}^{3+}$  had higher amount of AgNPs formation than that in the absence of  $\text{Fe}^{3+}$  (Fig. 10.7). In the absence of  $\text{Fe}^{3+}$ , the relative ordering of the formation of AgNPs was NLFA > SRHA > SRFA (Fig. 10.7a). The composition of individual NOM, given in Table 10.2, was considered to understand the ordering of the growth of AgNPs. The carbon distribution and the content of functional groups did not provide clues of the ordering of growth rates of AgNPs (Fig. 10.7a and Table 10.2). Interestingly, the rate of the formation of AgNPs could be correlated with the free radical content order: NLFA, SRHA, and SRFA (see Table 10.2). It was suggested that the free radical species was involved in the initial formation of metallic silver (Reaction 10.6).

In the case of  $\text{Ag}^+$ -HA/FA- $\text{Fe}^{3+}$  system, an increase in the growth of AgNPs for all HA and FAs was observed compared to  $\text{Ag}^+$ -HA/FA (Fig. 10.7a vs. 10.7b). However, the trend of the growth of AgNPs was SRHA > NLFA > SRFA, which



**Fig. 10.7** UV-Vis absorption spectra of AgNPs in reduction of  $\text{Ag}^+$  by different organic matter with and without  $\text{Fe}^{2+}$  at pH 6.0. A-without  $\text{Fe}^{2+}$  and B-with  $\text{Fe}^{3+}$  ( $[\text{Ag}^+] = 1 \times 10^{-3} \text{ mol L}^{-1}$ ,  $[\text{SRHA}] = [\text{SRFA}] = [\text{NLFA}] = 40 \text{ mg L}^{-1}$ ,  $[\text{Fe}^{3+}] = 13 \text{ }\mu\text{M}$ ). Adapted from [46] with the permission of American Chemical Society

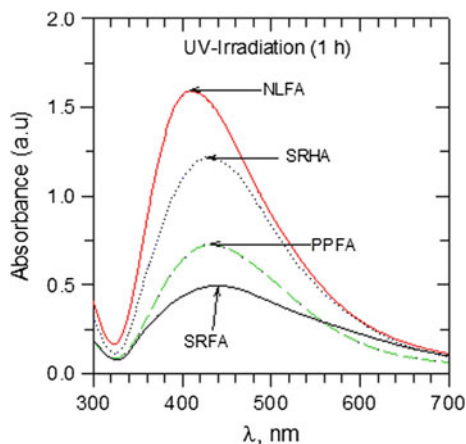
**Table 10.2** Components present in different samples of FAs and HS

Sample	Function groups				<sup>13</sup> C NMR estimates of carbon distribution content				Free radical
	Carboxyl	Phenolic	Carbonyl	Carboxyl	Aromatic		Acetal hetroaliphatic aliphatic		
	220–190 ppm	190–165 ppm	165–110 ppm	110–90 ppm	90–60 ppm		60–0 ppm		
SRHA	9.13	3.72	6	15	31		7 13 29		0.64 <sup>a</sup>
SRFA	11.44	2.91	7	20	24		5 11 33		0.54
NLFA	11.16	3.18	10	24	31		7 12 18		1.14

SRHA Suwannee River II Humic Acid, SRFA Suwannee River I Fulvic Acid, NLFA Nordic Lake Fulvic Acid

<sup>a</sup>Reported for Suwannee River I Humic Acid

**Fig. 10.8** UV-Vis absorption spectra of AgNPs for MRHW synthetic freshwater under UV irradiation for 1 h at pH 8.0.  $[Ag^+] = 1 \times 10^{-3} \text{ mol L}^{-1}$ , FA or HA = 45 mg L<sup>-1</sup>). Adapted from [36] with the permission of the American Chemical Society



was different from the trend in the absence of Fe<sup>3+</sup>. The Fe<sup>3+</sup>-ligands complexation may have roles in the Ag<sup>+</sup>-HA/FA-Fe<sup>3+</sup> system. The complexation is usually driven by the nature and functional groups of the ligands [47]. Overall, reactions responsible to reduce Ag<sup>+</sup> to metallic silver are influenced by the nature of ligands to cause the trend seen in Fig. 10.7a.

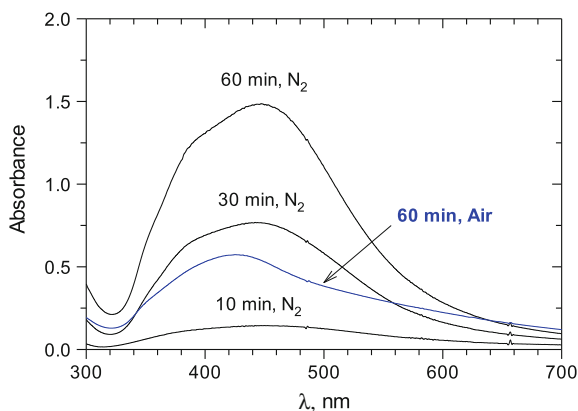
### 10.3 Light-Induced Formation of AgNPs

Photochemical reactions involving metal species and natural organic matter represent a potential source for the natural formation of nanoscale particles in the environment [10, 50]. In our initial study, the UV light-induced formation of AgNPs was investigated (Fig. 10.8) [36]. The formation of the characteristic yellow color of AgNPs was clearly seen. The literature reports the photochemical formation of AgNPs under photosensitizers containing solution [51, 52]. Both fulvic and humic acids in the reaction mixtures may behave like photosensitizers to result in

the formation of AgNPs. Other possibility is that the formed  $\text{Ag}_2\text{O}$  in the reaction mixture (see Reaction 10.3) may act like a semiconductor [53]. The mechanism may involve the reduction of  $\text{Ag}^+$  adsorbed onto  $\text{Ag}_2\text{O}$  by the photochemically generated reactive species such as hydrated electrons and  $\text{O}_2^-$  in solution to yield AgNPs [48, 54]. The  $\text{O}_2^-$  species can react with  $\text{Ag}^+$  ions to form AgNPs [55]. It seems that the interactions among  $\text{Ag}^+$ , AgNPs and reactive species are causing the formation of AgNPs.

Significantly, the nature of organic matter was found to be important. For example, the use of different fulvic acids and humic acid showed the following order for the rate of formation: NLFA > SRHA > PPFA > SRFA [36]. This order is similar to the one seen in thermally induced formation of AgNPs using different sources of organic matter [35]. A few other studies have also shown the formation of AgNPs under UV and visible light irradiation [56]. The presence of  $\text{Cl}^-$  may enhance the formation of AgNPs from solid  $\text{AgCl(s)}$  in the presence of visible light. This is important considering natural levels of  $\text{Cl}^-$  ions in water. An earlier work on the formation of AgNPs from Ag(I)-NOM mixtures under UV light suggested the role of superoxide [56]. However, later work on sunlight-driven formation of AgNPs from the mixture of Ag(I)-NOM ruled out the possibility of superoxide to reduce Ag(I) ions [57]. The Ag(I)-NOM binding may be responsible in the sunlight-driven photoreduction of Ag(I) ions to AgNP [57].

More recently, the role of oxygen was probed by irradiating the mixed solution of  $\text{Ag}^+$ -HA at pH 6.0 under oxic and anoxic conditions. The formation of AgNPs nanoparticles at different time intervals is demonstrated in Fig. 10.9 [58]. The characteristic yellow color from the SPR of AgNPs had a broader peak features

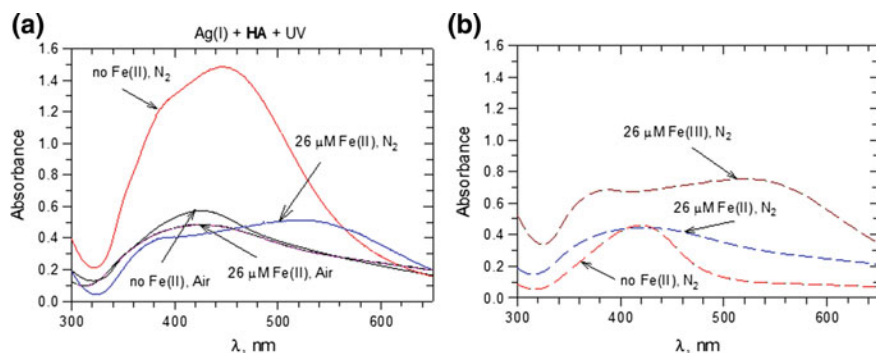


**Fig. 10.9** Formation of silver nanoparticles from silver(I)-humic acid mixtures in 2-(*N*-morpholino)ethanesulfonic acid buffer at pH 6.0 under ultraviolet irradiation and nitrogen or air. This data shows that a process involving silver(I) ions binding to organic matter is suggested in the photoreduction of silver ion for silver particle in the environment. Experimental conditions:  $[\text{Ag}^+] = 1.0 \times 10^{-3} \text{ mol L}^{-1}$ ,  $[\text{HA}] = 40 \text{ mg L}^{-1}$ . Adapted from [58] with the permission of Springer Inc.

between 420 and 460 nm. The intensity of the peak increased gradually with time (Fig. 10.9). Significantly, the peak intensity under  $N_2$  (i.e., anoxic condition) at 60 min was much more than the corresponding intensity under air (i.e., oxic conditions) at 60 min. This indicates dissolved oxygen may not be playing a dominant role in the formation of AgNPs under UV irradiation.

The effect of iron species (Fe(II)/Fe(III)) on the UV-induced formation was studied by mixing 26  $\mu\text{M}$  Fe(II) ion to a  $\text{Ag}^+$ -HA solution (Fig. 10.10) [46]. With Fe(II) solution in the anoxic solution, a sharp decrease in the AgNPs was observed (Fig. 10.10a). Other interesting feature was a much broader and more intense SPR of the AgNPs under anoxic conditions. This indicates that Fe(II) decreased the growth of AgNPs in the mixed solution, which caused the large particle size distribution and broad spectrum under anoxic conditions of  $\text{Ag}^+$ -HA-Fe(II) system. Furthermore, Fe(II) ions may be inhibiting the ligand-to-metal-charge transfer process to photoreduce silver ions to yield AgNPs (Fig. 10.10a). When a similar study was performed under oxic conditions, iron ions had almost no influence on giving AgNPs; in contrast to the thermally induced Fe(II) enhanced AgNPs formation [46]. This was not surprising because different mechanisms are generally involved in thermal- and photo-induced formation of AgNPs from the reduction of  $\text{Ag}^+$  ion to metallic silver.

The influence of the valence state of iron (i.e., Fe(II) versus Fe(III) ion) on the formation of AgNPs was also explored by adding 26  $\mu\text{M}$  Fe(II) and 26  $\mu\text{M}$  Fe(III) ions into  $\text{Ag}^+$ -FA mixed solution, followed by UV irradiation. This study was carried out under anoxic conditions. The presence of Fe(II) caused broadening of the SPR peaked of the formed AgNPs. Also, no change in the intensity of SPR peak due to the presence of Fe(II) in the  $\text{Ag}^+$ -FA solution was seen (Fig. 10.10b). Comparatively, a decrease in the intensity of the AgNPs in the  $\text{Ag}^+$ -HA-Fe(II)



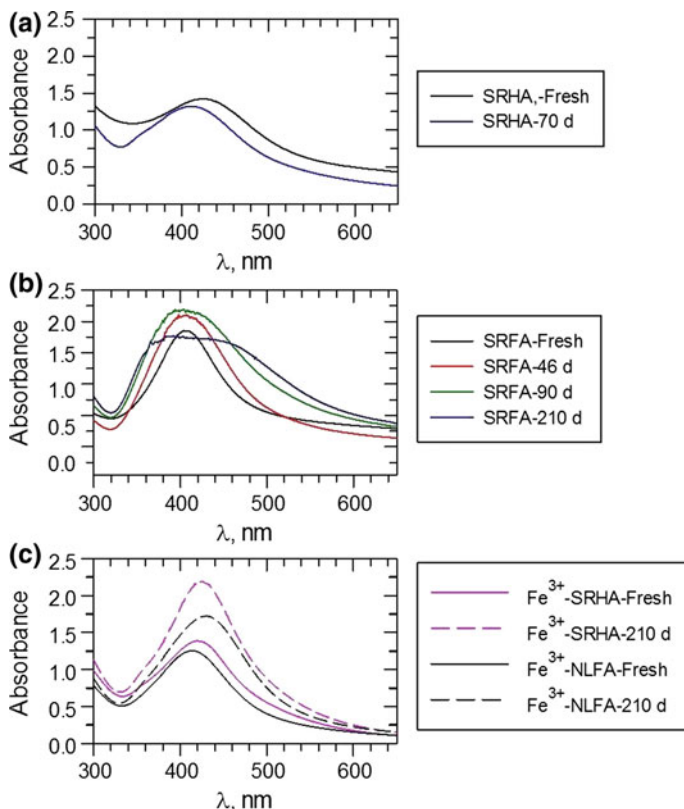
**Fig. 10.10** Formation of silver nanoparticles during the ultraviolet irradiation of **a** silver(I)-humic acid-iron(II) purged with nitrogen and air at pH 6.0 and **b** silver(I)-fulvic acid-iron(II)/iron(III) purged with nitrogen at pH 6.0. The data shows that the influence of iron ions on the formation of silver particles from photoreduction of silver ions depends on the nature of the organic matter. Experimental conditions:  $[\text{Ag}^+] = 1.0 \times 10^{-3} \text{ mol L}^{-1}$ ,  $[\text{FA}] = [\text{HA}] = 40 \text{ mg L}^{-1}$ . Adapted from [58] with the permission of Springer Inc.

solution was observed (Fig. 10.10a). Humic and fulvic acids have different moieties, which would result in distinguished effects due to Fe(II) on the formation of AgNPs. In the case of Fe(III) ions in the mixture of Ag<sup>+</sup>-FA solution, the formation of AgNPs had broader peak in comparison with no Fe(III) in the mixed solution of Ag<sup>+</sup> and FA. Further investigations are needed to comprehend the effect of iron species on the UV-induced formation of AgNPs in the mixture of Ag<sup>+</sup> and NOM.

## 10.4 Fate of AgNPs

In the beginning of our work on the syntheses of AgNPs, we focused on stabilization of the particles using surfactants, saccharides, and polymers [16]. These AgNPs were classified as engineered AgNPs. In recent years, we are interested in learning the fate of AgNPs formed from the interaction of Ag<sup>+</sup> with NOM under conditions of environmental relevance [35, 36, 46, 59]. Results of AgNPs formed from the reduction of Ag(I) by HA and FA with and without iron species in solution are presented in Fig. 10.11. Figure 10.11a shows the stability of AgNPs formed from the thermally induced reduction of Ag<sup>+</sup> by sedimentary and river HA. River HA had a decrease in stability of AgNPs up to 25% in 7 days. However, sediments HA showed only 7% in the same time period of 70 days. A blueshift in the SPR peak from 423 to 410 nm was noticeable (Fig. 10.11a). A similar stability trend of AgNPs resulted from the interaction of Ag<sup>+</sup> with FA was observed (Fig. 10.11b). Repulsive forces between negatively charged AgNPs (zeta potential varied only from -40 to -33 mV during seven months) was largely responsible for preventing the aggregation of particles and thus AgNPs were stable even for 7 months (Fig. 10.11b). More importantly, Fe<sup>3+</sup> ions in the reaction mixtures of Ag<sup>+</sup>-FA did not alter the stability of AgNPs (Fig. 10.11c). Interestingly, increase in SPR of AgNPs was noticed during 7-month period. In the presence and absence of Fe<sup>3+</sup> ions, the values of zeta potential were -18 and -23 mV, respectively, in Ag<sup>+</sup>-FA reaction mixture. This indicates that Fe<sup>3+</sup> ion did not cause any significant change in the organic matter coated surfaces of AgNPs. It is likely that the coating of organic matter on AgNPs inhibits the dissolution of the nanoparticles, which ultimately result in increased stability of the AgNPs.

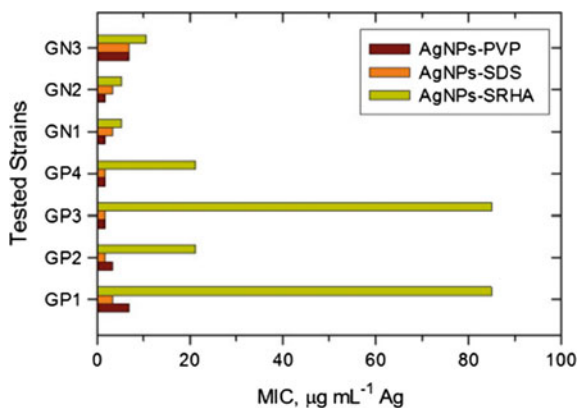
The presence of ions in solutions decreased the stability of AgNPs [29, 60]. The decreases in the stability of AgNPs were less in chloride salts of monovalent ions (Na<sup>+</sup> and K<sup>+</sup>) than those of divalent cations (e.g., Mg<sup>2+</sup> and Ca<sup>2+</sup>) in solutions of Ag<sup>+</sup>-organic matter [59]. An increase in ionic strength of solution also destabilized the AgNPs. This could be seen in decrease in the value of zeta potential of AgNPs from ~-32 mV at 1 mM to ~-15 mV at 10 mM CaCl<sub>2</sub> solution. Furthermore, the hydrodynamic diameter (HDD) of AgNPs increased from nm to μm in this ionic strength range, which showed that the agglomeration of the AgNPs increased with ionic strength of the electrolyte solution. The major finding of these results was that the natural organic matter stabilized AgNPs may become less stable as they move from freshwater to estuarine water and finally to seawater.



**Fig. 10.11** UV-Vis measurements of aging of AgNPs formed at 90 °C. Days represent time after the formation of particles. **a** 100 mg L<sup>-1</sup> SRHA, pH 8.0, **b** 100 mg L<sup>-1</sup> SRFA; pH 8.0, and **c** Fe<sup>3+</sup>-FA reaction mixtures, [SRHA] = [NLFA] = 40 mg L<sup>-1</sup> SRFA. [Fe<sup>3+</sup>] = 13 μM; pH 6.0) ([Ag<sup>+</sup>] = 1 × 10<sup>-3</sup> mol L<sup>-1</sup>). Adapted from [36, 46, 59] with the permission of American Chemical Society

## 10.5 Toxicity

An investigation in our laboratory on the toxicity of thermally induced formation of AgNPs from the reduction of Ag<sup>+</sup> by HA was conducted [46]. The minimum inhibitory concentrations (MIC) of HA-coated AgNPs against Gram-positive (GP) and Gram-negative (GN) bacteria were determined [46]. Selection of two kinds of bacteria was based on their distinct toxic effects against GP and GN bacterial species. Figure 10.12 shows the comparison of naturally formed AgNPs (i.e., HA-coated AgNPs) with ENPs (poly vinylpyrrolidone coated silver nanoparticles (AgNPs-PVP) and sodium dodecyl sulfate coated silver nanoparticles (AgNPs-SDS) [10, 16, 46]. Differences in toxicity of AgNPs against GN bacteria from GP bacteria species could be seen in Fig. 10.12. ENPs (AgNPs-PVP and



**Fig. 10.12** Minimum inhibitory concentrations of engineered NPs (AgNPs-PVP and AgNPs-SDS) and natural AgNPs (AgNPs-SRHA) against Gram-positive (GP) and Gram-negative (GN) bacteria. PVP Poly vinylpyrrolidone; SDS Sodium dodecyl sulfate; SRHA Suwanee River humic acid; GP1 *Enterococcus faecalis* CCM 4224; GP2 *Staphylococcus aureus* CCM 3953; GP3 *Staphylococcus aureus* (MRSA); GP4 *Staphylococcus epidermidis* 1; GN1 *Pseudomonas aeruginosa* CCM; GN2 *Pseudomonas aeruginosa*; GN3 *Klebsiella pneumoniae* (ESBL). Adapted from [10] with the permission of the Royal Chemical Society

AgNPs-SDS) were more toxic than HA-coated AgNPs) (i.e., values of MIC were less in engineered AgNPs than MIC values obtained in naturally formed AgNPs). This difference in toxicity between ENPs and natural AgNPs was less pronounced for GN than GP species (Fig. 10.12). The organic coating produced on the AgNPs under conditions of natural environment may result in decreasing toxicity.

## 10.6 Conclusions

Several researchers have performed studies on the fate and behavior of the released engineered AgNPs into the environment in order to learn their health effects to humans and ecology. Comparatively, the formation and fate of naturally occurring AgNPs is rather sparse. AgNPs are formed by reduction of  $\text{Ag}^+$  by NOM under thermal and photochemical conditions, followed by capping to ensure the stability of formed AgNPs. The surface-capped by NOM would be affected by pH, ions, and light. The interaction of naturally formed AgNPs, which are covered with bulky components of natural organic matter (NOM), with cell surface to cause toxicity is very likely different from the engineered AgNPs. The toxic mechanism may include the generation of ROS by AgNPs and direct and indirect damage to DNA by AgNPs and/or released  $\text{Ag}^+$  ions. Genomic and proteomic approaches may be applied to comprehend ecotoxicological impacts of naturally formed AgNPs. Overall, more studies are needed to fully understand the formation and fate of



AgNPs under various environmental conditions (e.g., oxic and anoxic) as well as their true toxic potential to ecological systems.

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