

Advances in Experimental Medicine and Biology 997

Mitsuo Tagaya  
Thomas Simmen *Editors*

# Organelle Contact Sites

From Molecular Mechanism to Disease

 Springer

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# **Advances in Experimental Medicine and Biology**

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Editors

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to Disease

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## Preface

Eukaryotic cells have a variety of membrane-enclosed compartments termed organelles. Each organelle has unique functions, which are based on proteins that are specifically transported into each organelle according to their intrinsic “address tags”. Organelles can produce cellular building blocks as well as provide energy, both of which are required for cell survival, proliferation, and differentiation. Research from several decades, assembled in this book, shows that the specific functions of organelles are not only geographically confined within membranous compartments, but also depend on rather intimate inter-organelle communication. Historically, such communication was thought to occur via vesicular trafficking. However, studies starting in the 1970s on lipid synthesis and transport have led to the discovery of another way that organelles can communicate, generating the concept of “membrane contact sites” (MCS). The importance of MCS truly emerged by the finding in the late 1990s that the endoplasmic reticulum (ER) releases  $\text{Ca}^{2+}$  in hotspots directed towards mitochondria. Recent studies have discovered not only major MCS regulatory proteins, but also new roles of MCS, including their involvement in a number of diseases, including neurodegenerative diseases, type 2 diabetes, infections, inflammation, and cancer. Today, MCS research is one of the most rapidly developing and exciting fields in cell biology and basic medicine.

This book aims to provide a comprehensive coverage of our quickly evolving knowledge of organelle communication at MCS and the significance of MCS for disease. The book is organized into two parts in addition to the overview (Chap. 1). The first part consists of nine chapters that set forth the organization and roles of MCS. The ER plays a principal role in organelle contacts, because it forms a wide network in cells and has several unique sub-domains with distinct functions. This allows the organelle to form contacts with other organelles in a variety of ways. Four chapters deal with the mitochondria-associated membrane (MAM), a domain of the ER that faces mitochondria. Other chapters describe the contact of the ER with the Golgi apparatus, endosomes, the plasma membrane, and lipid droplets. While the Golgi apparatus at the *cis*-side accepts transport vesicles from the ER, at the *trans*-side it interacts with the ER through MCS. Lipid droplets are unique among organelles in that they are surrounded by a phospholipid monolayer. Enzymes that are responsible for the biogenesis of lipid droplets are concentrated at the MAM, highlighting that these organelles are highly

dependent on MCS formation. The second part (seven chapters) concerns viruses and pathogens (e.g., hepatitis C virus, *Chlamydia*, and *Coxiella*) whose targets are MCS and diseases that are caused by malfunctions of MCS, including Alzheimer's disease, Parkinson's disease, type 2 diabetes, and inflammation.

Given the rapidly evolving knowledge about MCS, it is inevitable that there are many controversies and conflicting reports in this field, which often prevents non-expert researchers from a deeper understanding of MCS and their significance. This book nicely summarizes the present status of MCS research for researchers who are not familiar with this field. MCS has been attracting much interest from young, talented researchers, which is reflected by the fact that several authors of this book have recently started their own laboratories. As editors, we hope that this book will stimulate graduate students and postdocs, who have the potential to energize, drive, and develop this field in the near future, and clinical fellows who may extend disease-related MCS research. The book will also be a valuable reference for established researchers who want to view their own research data and projects from the standpoint of MCS and who have so far focused on a type of cell biology that is based on "isolated organelles".

We thank the authors who have taken the time to write each chapter and Dr. Misato Kochi at Springer Japan for providing us with the opportunity to edit this book.

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# Organelle Communication at Membrane Contact Sites (MCS): From Curiosity to Center Stage in Cell Biology and Biomedical Research

1

Thomas Simmen and Mitsuo Tagaya

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## Abstract

Cell biology has long recognized that organelles can communicate with each other. Initially, such communication was thought to occur primarily via vesicular trafficking between biochemically distinct organelles. However, studies starting in the 1970s on lipid metabolism have unearthed another way how organelles can communicate and have spawned the field of membrane contact sites (MCS). While, initially, MCS had been recognized as fluid entities that mediate lipid and ion transport in an ad hoc manner, more recently MCS have been found to depend on protein–protein interactions that control themselves a variety of MCS functions. As a result, the cell biological definition of an intracellular organelle as an isolated membrane compartment is now being revised. Accordingly, the organelle definition now describes organelles as dynamic membrane compartments that function in a milieu of coordinated contacts with other organelles. Through these mercurial functions, MCS dictate the function of organelles to a large extent but also play important roles in a number of diseases, including type 2 diabetes, neurodegenerative diseases, infections, and cancer. This book assembles reviews that describe our quickly evolving knowledge about organellar communication on MCS and the significance of MCS for disease.

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## Keywords

Membrane contact sites • Endoplasmic reticulum • Golgi apparatus • Mitochondria • Endosomes • Lysosomes • Peroxisomes • Plasma membrane •  $\text{Ca}^{2+}$  • Lipid synthesis

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## 1.1 Introduction

Historically, cell biology has attempted to assign specific functions to the various intracellular organelles of the eukaryotic cell, based on their precise protein as well as lipid composition. These efforts have led to the impression that organelles are defined, separate entities that exert clear roles for cellular survival, proliferation, and differentiation. Following the discovery of clathrin-coated vesicle invaginations in endocytosis (Anderson et al. 1977), it was postulated that vesicular trafficking would mediate interorganellar communication between these defined compartments (Goldstein et al. 1979; Palade 1975). By doing so, vesicular trafficking along the cytoskeleton could enable the cell to achieve communication even between fairly distant organelles. Indeed, the study of the intracellular trafficking of vesicular stomatitis virus (VSV) G protein (Rothman et al. 1980; Rothman and Fine 1980) and of yeast mutants unable to secrete invertase and acid phosphatase showed that organelles receive material and signals from rather distant intracellular locations via vesicular transport (Novick et al. 1980; Novick and Schekman 1979). The 2013 Nobel Prize has recognized the importance of these discoveries (Bonifacino 2014). That Nobel for intracellular trafficking included, however, also the discovery of SNAP receptors (SNAREs), which mediate the fusion of synaptic vesicles within neurons with target membranes (Söllner et al. 1993).

Unbeknownst to researchers at the time, the discovery of this group of proteins anticipates a connection between vesicular trafficking and membrane contact sites (MCS), where organelles interact directly with each other, rather than via vesicles. This connection arises from synaptotagmin, a type 1 transmembrane protein that accelerates the profusion activity of SNAREs in a  $\text{Ca}^{2+}$ -dependent manner (Perin et al. 1990). Synaptotagmin promotes vesicular fusion with its cytosolic C2 domain, which mediates phospholipid binding (Nalefski and Falke 1996). Through this activity, synaptotagmin promotes the fusion of docked synaptic vesicles with the plasma membrane in a  $\text{Ca}^{2+}$ -

dependent manner and thus triggers neurotransmitter release following the arrival of an action potential (Geppert et al. 1994). However, synaptotagmin also uses its C2B domain to interact with phosphatidylinositol 4,5-bisphosphate ( $\text{PIP}_2$ ) with the intent to form synaptic vesicle-plasma membrane docking sites (de Wit et al. 2009; Park et al. 2015). A lipid microdomain of about 73 nanometers in size subsequently attracts syntaxin 1A, a SNARE protein (van den Bogaart et al. 2011a), while synaptotagmin itself functions as a distance regulator for SNARE nucleation and thus tethers the liposomes close enough for membrane fusion (van den Bogaart et al. 2011b). By forming apposed membrane structures, synaptotagmin mediates the formation of a prototype MCS.

Synaptotagmin is related to extended synaptotagmins (E-Syts), which contain synaptotagmin-like mitochondrial lipid-binding protein domains (SMP) in addition to C2 domains (Herdman and Moss 2016; Perez-Lara and Jahn 2015). SMP domains allow for the formation of protein-protein complexes, as well as the formation of tubular paths for lipid transfer (Schauder et al. 2014). With these characteristics, E-Syts are recognized today as the classic paradigm for organelle tethers (Perez-Lara and Jahn 2015), in particular at MCS between the endoplasmic reticulum (ER) and the plasma membrane (Fernandez-Busnadiego et al. 2015). Here, SMP-containing E-Syts dimerize in a head-to-head fashion to mediate MCS architecture (Reinisch and De Camilli 2016). It is currently unclear, whether E-Syts are alone in this function, since they do not significantly influence STIM1/Orai-mediated store-operated  $\text{Ca}^{2+}$  entry (SOCE), a plasma-membrane-localized flow of  $\text{Ca}^{2+}$  ions directed to the ER (Giordano et al. 2013). More recently, SMP domains have also been detected within proteins that form another MCS, the ER-mitochondria encounter structure (ERMES) in yeast, where they similarly mediate ER-mitochondria tethering as well as lipid transfer (Kornmann and Walter 2010). Despite the absence of ERMES from mammalian cells (Wideman et al. 2013), this finding nevertheless indicates a central role of SMP domains in the formation of MCS.

One of the earliest incarnations of the concept of MCS is the formation of GERL contacts between the ER, mitochondria, and additional organelles (Novikoff 1976) that can bring Golgi, ER, lysosomes (GERL), and mitochondria into close proximity (Holtzman et al. 1967). The term MCS itself stems from observations on the ultrastructure of mitochondria, where physical contact between inner and outer membranes occurs, a prototype of apposed membranes (Hackenbrock 1968). Early studies on this type of MCS determined that intramitochondrial MCS accommodate protein translocation activity across these apposed membranes (Pon et al. 1989; Schwaiger et al. 1987), as well as cholesterol transfer to the mitochondrial inner membrane (Cherradi et al. 1996; Thomson 2003). Moreover, mitochondrial MCS also show enrichment for hexokinase,  $\text{Ca}^{2+}$  (Kottke et al. 1988), proteins of permeability transition pore (Brdiczka et al. 1990), and phosphatidylserine (Simbeni et al. 1991), highlighting their central role for the cellular power plant. In yeast, formation of the mitochondrial MCS requires the GTPase Fzo1p (Fritz et al. 2001) and furthermore the mitochondrial contact site complex (MICOS), also present in mammalian cells (Harner et al. 2011). On mitochondrial contact sites of mammalian cells, pro-apoptotic Bcl2 family proteins such as tBid regulate the release of cytochrome c (Kim et al. 2004). Similar to yeast, the distribution of these outer mitochondrial membrane (OMM) proteins depends on Fzo1p orthologs called mitofusins that also catalyze the fusion of the OMM (Weaver et al. 2014).

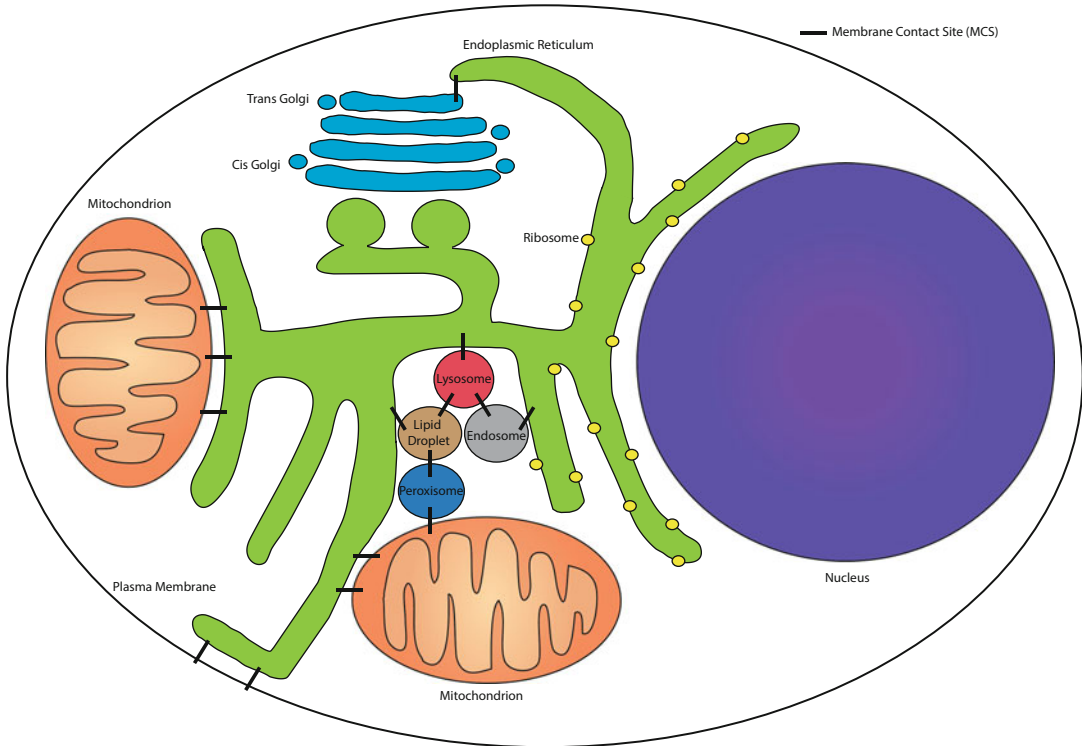
However, the mitochondrial MCS is but one of many examples of membrane contacts. In fact, the typical MCS occurs not within an organelle, but rather between two or more organelles, as outlined in several hallmark review articles on the topic (Levine 2004; Levine and Patel 2016). As a result, and due to these extensive interorganellar contacts, our understanding of an organelle has moved from one of an isolated membrane compartment with a defined function to a concept where organelles dynamically coordinate their membrane composition with their function.

## 1.2 The Basics on MCS Architecture and Types

Most known MCS correspond to interorganellar contacts formed between the ER and virtually all other organelles, including the mitochondria, cell membrane, endosomes, lysosomes, Golgi apparatus, lipid droplets, peroxisomes, and chloroplasts (Fig. 1.1). The ER as the MCS centerpiece was first proposed in a groundbreaking review article by Tim Levine about a dozen years ago (Levine 2004). However, while the ER is still recognized today as being involved in many MCS types, its participation is no longer seen as a requirement for MCS formation that may lack the ER as a participant altogether (Gatta and Levine 2017).

The ER is the largest organelle in most cell types and spreads out along microtubules to the cellular periphery, but originates at the nuclear membrane. Throughout its structure, the ER forms subdomains that have distinct functions as well as characteristic lipid and protein composition. On these subdomains, the ER segregates enzymes accelerating the folding and degradation of proteins, catalyzing the synthesis of phospholipids and cholesterol, enabling cellular detoxification, and mediating  $\text{Ca}^{2+}$  flux as well as storage (Lynes and Simmen 2011). Structurally, ER membranes have been observed to form tubular or sheet-like structures (Voeltz et al. 2006). More recently, using super-resolution microscopy, the exact structure of the sheet-like ER subtype has been refined as densely packed tubules forming structures called ER “matrices” or clusters of tubular ER with heterogeneous, highly irregular structures (Nixon-Abell et al. 2016). Such irregular tubules are also the prototype ER structure found in proximity of the plasma membrane, where they form one of the best-characterized MCS (Fernandez-Busnadiego et al. 2015), sometimes called the plasma membrane-associated membrane (PAM) (Pichler et al. 2001). At this location, microtubule-associated remodeling of the ER takes place in a  $\text{Ca}^{2+}$ -dependent manner, leading to sprouting of plastic tubules (Grigoriev et al. 2008) that undergo a process called ER





**Fig. 1.1** Representation of major membrane contact sites (MCS), as described in the text. Organelles form a dense meshwork with multiple contacts between each other. At MCS, protein tethers anchor the organelles to each other.

The sites allow for lipid and ion flux between partner organelles without the involvement of vesicular trafficking

sliding to interact with their partner membrane to create novel MCS (Wozniak et al. 2009). Given the drastic reduction in the number of ER tubules upon their depletion, proteins of the reticulon and DP1/YOP1 family are implicated in these events that ultimately lead to MCS formation (English and Voeltz 2013). At the plasma membrane, as well as in the case of other MCS, the ER approaches other organellar membranes to a distance of up to about 3–15 nm (Phillips and Voeltz 2016). Such tight interactions can occur on puncta where the ER touches another organelle (Varnai et al. 2007), or as outright wrapping of an ER tubule around the partner organelle, leading to mitochondrial or endosomal constriction (Friedman et al. 2011; Rowland et al. 2014). Due to the lack of space between the ER and partner membranes, ribosomes are often excluded from contact sites, although contacts of

lesser proximity can form with ribosome-studded ER (Giacomello and Pellegrini 2016).

Other MCS have been discovered in the past decade that are in part based on organelles whose biogenesis is functionally tied to the ER, such as lipid droplets or peroxisomes. For instance, lipid droplets formed from the local accumulation of lipids at the ER (Wilfling et al. 2014) can interact with lysosomes during the process of lipophagy (Singh et al. 2009; van Zutphen et al. 2014). Likewise, peroxisomes can form from ER-derived membranes (Smith and Aitchison 2013) and interact with mitochondria (Neuspiel et al. 2008), lipid droplets (Schrader 2001), or lysosomes (Chu et al. 2015). Another large group of MCS involves membraneous contacts between mitochondria and partner organelles, for example, with the plasma membrane (Westermann 2015). An important physiological role for these

contacts is revealed in T cells, where mitochondria–plasma membrane contacts control T cell activation via  $\text{Ca}^{2+}$  signaling through Orai (Quintana et al. 2011). In most cases, little if any structural information is available about these MCS.

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### 1.3 MCS Function as a Conduit for Lipids and Ions

While MCS have been detected first by electron microscopists such as Bernhard in the 1950s (Bernhard et al. 1952; Porter and Palade 1957) or Novikoff in the 1960s (Holtzman et al. 1967), no function was assigned to these intracellular structures for a long time. Starting in the early 1970s, it was postulated that intracellular lipid synthesis requires the interaction between organelles, in particular between the ER and mitochondria (McMurray and Dawson 1969; Sauner and Levy 1971; Wirtz and Zilversmit 1968). However, it took until the early 1990s to biochemically prove and fully develop this hypothesis (Rusinol et al. 1994; Shiao et al. 1995; Vance 1990, 1991). This scientific breakthrough led to the coining of the first official term for an MCS in the form of the “mitochondria-associated membrane (MAM).” At the MAM, an ER membrane close to mitochondria, newly synthesized phosphatidylserine (PS) is transported in a vesicle-independent manner to mitochondria. Here, a decarboxylase that is present in the inner mitochondrial membrane catalyzes its transformation to phosphatidylethanolamine (PE). Thereafter, PE is distributed to other organelles by currently unknown mechanisms. In particular, a moiety of PE is returned to the ER to yield phosphatidylcholine (PC) in the liver (Vance 2015).

Another important function of MCS is the production and distribution of cholesterol in human cells or ergosterol in yeast cells. The production site of cholesterol is found within the ER (Reinhart et al. 1987). However, inside this organelle, cholesterol levels are kept low on most subdomains. This exclusion of cholesterol from most ER membrane domains occurs via

vesicular export of excess cholesterol from the transitional ER to the Golgi complex, endosomes, and the plasma membrane, which all contain much more cholesterol than the ER itself (Maxfield and Wustner 2002). Conversely, under conditions of low ER cholesterol, the export of the transcription factor sterol regulatory element-binding protein-2 (SREBP-2) from ER exit sites to the Golgi complex (Radhakrishnan et al. 2008) allows for its proteolytic cleavage of SREBP-2 and subsequent activation of cholesterol metabolism genes (Goldstein et al. 2006). Despite a general scarcity of cholesterol on ER membranes, it has been surprisingly shown that ER MCS are enriched in cholesterol (Hayashi and Su 2003) and caveolin (Sala-Vila et al. 2016), in particular MCS based on smooth ER (Reinhart et al. 1987). The enrichment of cholesterol on MCS results in their biochemical properties resembling lipid rafts (Helle et al. 2013). These cholesterol and sphingolipid-enriched lipid microdomains are insoluble in nonionic surfactants such as Triton X-100 (Pike 2003). Through these properties, lipid rafts exhibit low membrane fluidity and are often enriched in lipid-modified (acylated) proteins such as palmitoylated or myristoylated proteins (Levental et al. 2010), for example, the palmitoylated ER chaperones calnexin and TMX1 (Lynes et al. 2012).

Aside from the biosynthetic route that originates at the ER, organelles of the later secretory pathway mostly receive cholesterol due to low-density lipoprotein (LDL) endocytosis from the plasma membrane to the lysosome (Goldstein et al. 1979; Goldstein and Brown 2015). MCS might play an important role in the redistribution of this cholesterol moiety as well, since from lysosomes, cholesterol reaches peroxisomes via MCS that require synaptotagmin-7 (Chu et al. 2015).

Another important function of MCS is ion transport, in particular  $\text{Ca}^{2+}$  transport. This mechanism operates prominently in the context of muscle fibers, where the sarcoplasmic reticulum (SR), a specialized form of the ER, makes contacts with t-tubules through the protein junctophilin (Barone et al. 2015; Takeshima

et al. 2000). Interestingly, caveolin-3 mediates the development of t-tubules from the sarcolemma (Munoz et al. 1995), suggesting classic MCS contact formation processes are involved in the spatial organization of muscle cells. Junctophilins are critical for the muscle-contracting  $\text{Ca}^{2+}$  release via ryanodine receptors (RyRs) that localize to t-tubule/SR contact sites (Phimister et al. 2007). Here, released  $\text{Ca}^{2+}$  can migrate over to closely apposed mitochondria to activate the citric acid cycle and replenish ATP that has been lost due to muscle contraction (Eisner et al. 2014; Rudolf et al. 2004).

In other cell types, ER  $\text{Ca}^{2+}$  release more typically occurs through inositol 1,4,5-trisphosphate receptors (IP3R) (Mikoshiba 2007). MCS between the ER and mitochondria as well as the plasma membrane then determine the consequences of this release: on the one hand, this  $\text{Ca}^{2+}$  migrates across the MAM over to mitochondria, where it boosts respiration (Cardenas et al. 2010). This ion migration requires MCS formation between the ER and mitochondria (Patergnani et al. 2011), as well as the formation of cytosolic  $\text{Ca}^{2+}$  microdomains (Rizzuto et al. 1993). On the other hand, when the ER  $\text{Ca}^{2+}$  concentration decreases,  $[\text{Ca}^{2+}]_{\text{ER}}$  is replenished from the extracellular milieu via store-operated  $\text{Ca}^{2+}$  entry (SOCE) that requires the formation of ER contacts with the plasma membrane (Stathopoulos et al. 2008). Upon  $\text{Ca}^{2+}$  release through IP3Rs, the ER  $\text{Ca}^{2+}$  channel STIM1 migrates onto puncta (Liou et al. 2005), which align with the plasma membrane  $\text{Ca}^{2+}$  pore Orai1 (Luik et al. 2006; Varnai et al. 2007; Wu et al. 2006; Xu et al. 2006). This alignment then leads to  $\text{Ca}^{2+}$  influx via CRAC channels (Mercer et al. 2006; Peinelt et al. 2006; Prakriya et al. 2006). Also part of MCS  $\text{Ca}^{2+}$  handling is the SERCA  $\text{Ca}^{2+}$  pump. Increased SERCA activity can reduce ER-mitochondria  $\text{Ca}^{2+}$  flux (Lynes et al. 2013) and decrease MAM formation (Raturi et al. 2016). Consistent with this finding, under conditions when MAM formation is increased, like with increased concentration of cholesterol at the MAM, SERCA activity decreases (Li et al. 2004).

Other intracellular  $\text{Ca}^{2+}$  fluxes that occur on MCS have been recently discovered between late endosomes, lysosomes, and the ER. These mechanisms are sensitive to the third messenger nicotinic acid adenine dinucleotide phosphate (NAADP) that leads to  $\text{Ca}^{2+}$  release from the endolysosomal system (Calcraft et al. 2009). Combined with IP3R and RyR-released  $\text{Ca}^{2+}$  from the ER (using IP3 and cADPR, respectively), contacts between the ER and the endolysosomal system create a  $\text{Ca}^{2+}$  flux similar to the one between the ER and mitochondria (Gerasimenko et al. 2015; Parrington et al. 2015). This flux requires proteinaceous contact formation nucleated around oxysterol-binding proteins of the Osh (yeast) and oxysterol-related protein (ORP, mammalian) protein families (Honscher and Ungermann 2014) and is critical for insulin secretion (Kim et al. 2008).

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#### 1.4 Current and Future Challenges of MCS Research

Historically, the best evidence for MCS formation has been at a biochemical and electron microscopic level (see above). However, electron microscopic immunolocalization has so far only rarely been successful to pinpoint the localization of a given MCS protein to membraneous contacts (Lynes et al. 2012; Missiroli et al. 2016; Wang et al. 2000). Moreover, biochemical approaches to demonstrate the existence and characteristics of MCS face multiple challenges. On the one hand, they must distinguish between association artifacts, but on the other hand, they could miss existing associations due to incorrect incubation methods that disrupt delicate tethers. Unfortunately, the small distance between two organelles forming an MCS (normally within 30 nm) precludes the usage of conventional immunofluorescence confocal microscopy that has a resolution of 200 nm. This variety of technical difficulties has often led to erroneous localization of MCS proteins to exclusively either one or the other partner membrane, when they actually localize at least in part to both. A good

example for these issues is seen with the MAM, where proteins such as calnexin or the voltage-dependent anion channel (VDAC) have been previously found exclusively on the ER (Wang et al. 2000) or mitochondria (Colombini et al. 1996), respectively, when they are actually at least in part MCS localized (Lynes et al. 2012; Shoshan-Barmatz et al. 2004). To resolve this issue, superresolution microscopy has now been introduced to the canon of techniques used for MCS research, but results are for the moment limited (Bhuvanendran et al. 2014; Colberg-Poley et al. 2015).

Current and future technology developments will aid in the further characterization of MCS. Besides the promise of superresolution microscopy, proximity ligation assays (PLA) have been recently used in the study of MCS tethers (Tubbs et al. 2014). A similar approach is based on split GFP that is reconstituted upon close apposition (Alford et al. 2012). To address the multiple functions of MCS in  $\text{Ca}^{2+}$  and ROS signaling, GFP-based indicators serve to detect local accumulations of these signaling molecules and indicate their role for membrane apposition (Booth et al. 2016; Csordas et al. 2010). The closeness of membranes in MCS and their three-dimensional arrangement have led to the introduction of electron tomography to the field as early as 1997 (Perkins et al. 1997). However, the full power of this technology is being harnessed only now and has led to the insight that MCS play an important role in organelle dynamics and morphology (Friedman et al. 2011; Rowland et al. 2014).

Another field of intense research is the significance of MCS formation and functioning for human disease. Recent results implicate the malfunctioning of MCS, in particular between the ER and mitochondria, in cancer (Marchi et al. 2014), neurodegeneration (Krols et al. 2016), diabetes (Rutter and Pinton 2014), cardiovascular disease (Eisner et al. 2013), and infection (Jacobs and Coyne 2013). While these *potential* connections are currently a hot topic of biomedical research, less *direct* connections between specific MCS proteins and disease are currently known. One example of such *bona fide* connections is Niemann–Pick type C disease, a lipid trafficking

disorder where unesterified intracellular cholesterol accumulates (Schultz et al. 2016). It has been hypothesized that one reason that this lipid disorder arises is because of a loss of interaction between NPC1 on late endosomes and the ER-resident ORP5 (Du et al. 2011). Another example is Charcot–Marie–Tooth disease type 2A (CMT2A), where mutations in the MAM protein mitofusin-2 lead to neuropathy (Züchner et al. 2004). However, in both the NPC and CMT examples, it is currently unclear whether an MCS malfunctioning is at the basis of the disorder.

Given the rapid progress in the field, as evidenced in the present book, we predict that the coming years will lead to rapid further insight into MCS-based disease mechanisms that will shift from phenomenology to protein-associated disorders. There is therefore no doubt that this progress will lead to increased knowledge about MCS in the next few years, but also increased understanding of the biological and medical significance of MCS.

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# Over Six Decades of Discovery and Characterization of the Architecture at Mitochondria-Associated Membranes (MAMs)

# 2

Maria Sol Herrera-Cruz and Thomas Simmen

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## Abstract

The discovery of proteins regulating ER-mitochondria tethering including phosphofurin acidic cluster sorting protein 2 (PACS-2) and mitofusins has pushed contact sites between the endoplasmic reticulum (ER) and mitochondria into the spotlight of cell biology. While the field is developing rapidly and controversies have come and gone multiple times during its history, it is sometimes overlooked that significant research has been done decades ago with the original discovery of these structures in the 1950s and the first characterization of their function (and coining of the term mitochondria-associated membrane, MAM) in 1990. Today, an ever-increasing array of proteins localize to the MAM fraction of the endoplasmic reticulum (ER) to regulate the interaction of this organelle with mitochondria. These mitochondria-ER contacts, sometimes referred to as MERCs, regulate a multitude of biological functions, including lipid metabolism, Ca<sup>2+</sup> signaling, bioenergetics, inflammation, autophagy, mitochondrial structure, and apoptosis.

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## Keywords

Mitochondria-associated membrane • Lipids • Calcium • Endoplasmic reticulum • Mitochondria

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## 2.1 Introduction

In the early 1980s, most cell biologists dismissed the idea that the endoplasmic reticulum and mitochondria make physical contacts as an

artifact (Katz et al. 1983). There was little indication that these contacts exist outside of obscure model systems such as teleosts or onions (Bracker and Grove 1971; Copeland and Dalton 1959; Morre et al. 1971). This explains why Jean Vance's biochemical description of the mitochondria-associated membrane (MAM, originally called fraction X) from rat liver in 1990 only received relatively modest numbers

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of citations in the beginning (Vance 1990). However, today this publication is seen as the seminal work that described ER-mitochondria membrane contact sites (MCS) for the first time as essential structures needed for the activities of phospholipid biosynthetic enzymes. Vance's work demonstrated that MAMs co-fractionate with mitochondria and that they house enzymes synthesizing phosphatidylserine (PS), phosphatidylethanolamine (PE), and phosphatidylcholine (PC) (Vance 1991). Others extended these discoveries to demonstrate that PS can translocate between the ER and mitochondria directly (Voelker 1989). Today, the term MAM is often used when referring to ER subdomains, which are biochemically distinct from the remainder of the ER, but which remain attached to mitochondria as mitochondria-ER contacts (MERCs) (Sood et al. 2014).

Curiously, many cell biologists are unaware of much earlier studies of electron microscopy (EM) images from the 1950s that identified ER-mitochondria contacts. It appears safe to say that these contacts had been seen for the first time on electron micrographs of rat liver by Wilhelm Bernhard in 1952 (Bernhard et al. 1952) and 1956 (Bernhard and Rouiller 1956a, b). His studies also led to the insight that ER-mitochondria contacts depend upon feeding and fasting, a remarkable finding that was solidified only during the past 5 years (Theurey and Rieusset 2017). Following these pioneering studies, liver MERCs were also seen by others (Fawcett 1955) and were also discovered in mouse lung cells (Karrer 1956), *Tetrahymena* ciliates (Franke and Kartenbeck 1971), fungi (Bracker and Grove 1971), and some types of plants (Morre et al. 1971). Compared to this ease of detecting ER-mitochondria contacts via EM, biochemical experiments were more difficult to perform and, the co-fractionation of the ER with mitochondria was often interpreted as a contamination problem (Lever and Chappell 1958; Siekevitz 1963). A first step forward was achieved with the insight that the biochemical ER moiety can be separated into two pools, each with distinct levels of association with mitochondria (Shore and Tata 1977). Further experiments showed that the portion of the ER, which remains attached to mitochondria,

is biochemically distinct from the bulk ER (Meier et al. 1981) and is mostly of the smooth subtype (Pickett et al. 1980).

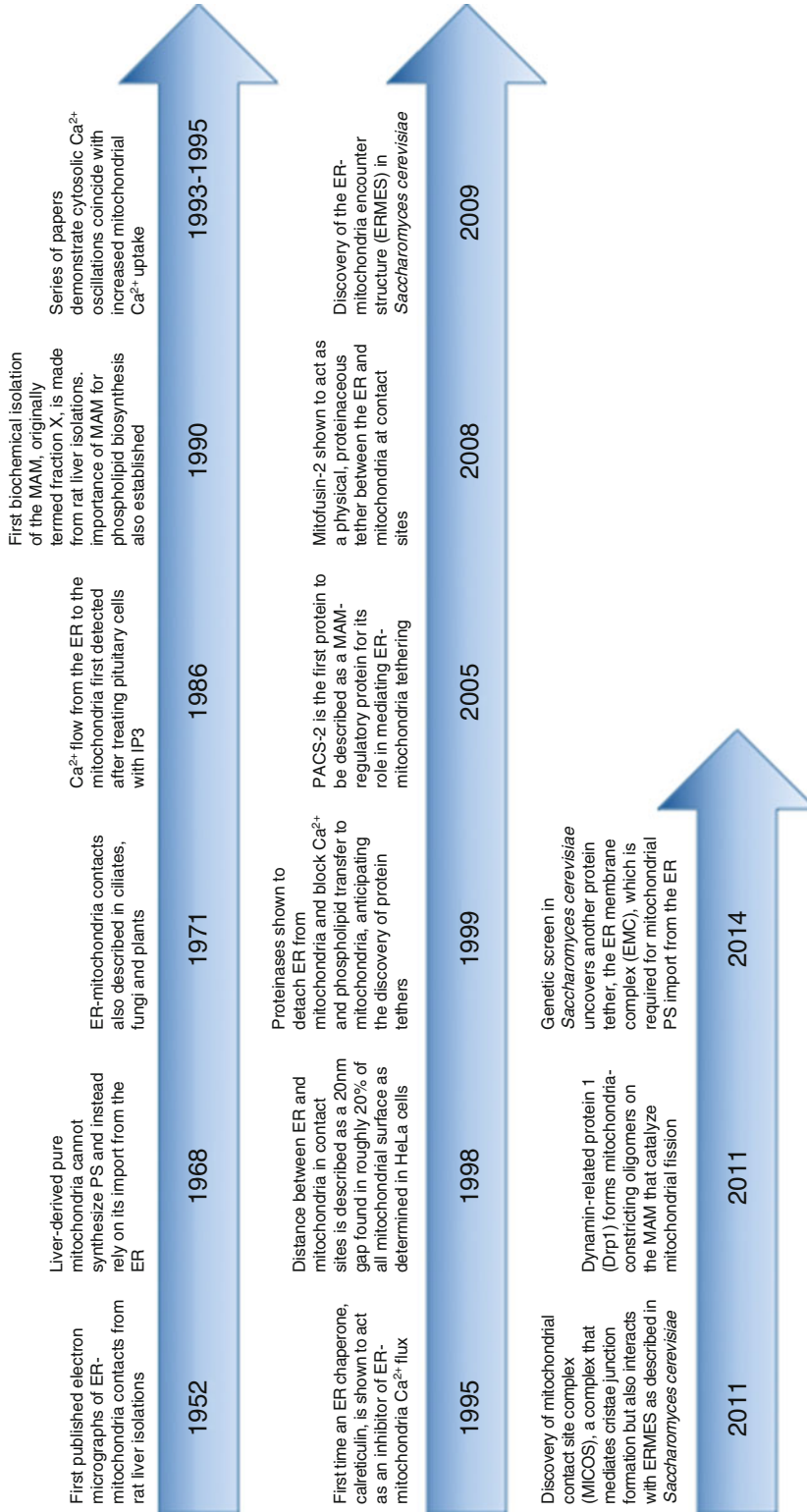
Further research has shown that ER-mitochondria contacts result when the ER approaches mitochondria to a distance of about 20 nm on 20% of the mitochondrial surface (Rizzuto et al. 1998). An important recent insight is that the extent and length of these contacts depends on cellular conditions such as ER stress (Bravo et al. 2011; Csordas et al. 2006). Physical, proteinaceous tethers mediate MAM contacts, since proteinases can detach the ER from mitochondria (Achleitner et al. 1999; Csordas et al. 2006), and artificial tethers can boost ER-mitochondria ion flux (Csordas et al. 2006). The past 5 years have seen the discovery of a novel, unexpected role of proteinaceous components of the MAM in mitochondrial dynamics. From their location at ER-mitochondria contacts, human dynamin-related protein 1 (Drp1) (Friedman et al. 2011) and mitofusins determine mitochondrial fission and fusion (Klecker et al. 2014).

Today, we can look back to well over three decades of research on ER-mitochondria contacts (Fig. 2.1). These have led to the insight that the MAM accommodates the exchange of lipids, ions, and second messengers (Levine and Patel 2016), houses the machinery for mitochondrial fission (Friedman et al. 2011), serves as a point of origin for autophagosome formation (Hamasaki et al. 2013), and makes connections to signaling pathways and the cytoskeleton.

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## 2.2 The Study of Lipid Metabolism Discovered Functional Significance of Mitochondria-ER Contacts (MERCs)

The fundamental requirement for ER-mitochondria interaction in phospholipid metabolism has been discovered around 1970 through the observation that liver-derived mitochondria themselves are unable to synthesize PS, but rather must rely on import of this lipid from the ER (McMurray and Dawson 1969; Sauner and Levy 1971; Wirtz and Zilversmit



**Fig. 2.1** A timeline of the key discoveries in the field of mitochondria-associated membrane (MAM) from 1952 to 2016

1968). While initial hypotheses proposed a cytoplasmic transfer mechanism based on proteinaceous shuttles between the ER and mitochondria (Dennis and Kennedy 1972), it became clear later that PS shuttles directly over to mitochondria, where it is decarboxylated to yield PE (Voelker 1989, 1990). Interestingly, this transfer does not use a vesicular transport step (Shiao and Vance 1995; Vance 1991; Voelker 1989). PS transfer likely occurs on a triple contact site where ER membranes and mitochondrial inner and outer membranes meet and house enzymes that produce PE (Ardail et al. 1991, 1993). While the mechanism for PE transfer to the ER is still unknown, the enzymes catalyzing PE methylation to yield PC localize to the MAM (Vance 1991), as determined via biochemical and EM techniques (Rusinol et al. 1994). Interestingly, MAM sorting signals in the form of cryptic mitochondrial targeting sequences have been detected in some of these enzymes, including acyl-CoA:diacylglycerol acyltransferase 2 (Stone et al. 2009).

An interesting observation is that ER-mitochondria membrane apposition (Flis and Daum 2013) and PS transfer from the ER to mitochondria require ATP in liver cells (Tatsuta et al. 2014). This finding is in contrast to what is known in yeast, where PS and PE shuttle between the two organelles (Gnamusch et al. 1992) without the need for ATP (Achleitner et al. 1999; Simbeni et al. 1993). Ubiquitination of MAM proteins could regulate this lipid shuttling, as shown by the requirement of the yeast SCF ubiquitin ligase Met30p for PS transfer from the MAM to mitochondria (Schumacher et al. 2002) and of the human ubiquitin ligase MITOL for ER-mitochondria apposition (Sugiura et al. 2013).

Lipid transfer between the ER and mitochondria is critical for mitochondria metabolism, albeit for unclear reasons (Vance 2015). For instance, PE deficiency in mammalian mitochondria impairs mitochondrial bioenergetics (Tasseva et al. 2013). Another example is cardiolipin, synthesized from phosphatidic acid (PA) within mitochondria, which is essential for mitochondrial oxidative phosphorylation,

apoptosis, mitochondrial protein import, and mitochondrial membrane dynamics (Claypool and Koehler 2012). Similarly, the intracellular PC transfer protein StarD7, which controls both ER and mitochondria structure and function (Flores-Martin et al. 2016). However, further research is needed to fully explain these tight links between mitochondria metabolism and ER-mitochondria lipid transfer.

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### 2.3 Mitochondria-ER Contacts (MERCs) Accumulate Sterols and May Orchestrate the Trafficking of Sterol Towards Mitochondria

Besides PA production, the ER also houses sterol synthesis (Porter 2015). Although not abundant within mitochondria, the sterols cholesterol and ergosterol are important for mitochondrial structure and function in mammalian cells (Lucken-Ardjomande et al. 2008) and yeast (Altmann and Westermann 2005). Research performed by several labs using human cells has identified the MAM as a cholesterol-rich membrane marked with the sterol-interacting protein caveolin (Bosch et al. 2011a, b; Sano et al. 2009). Moreover, the MAM remarkably exhibits lipid raft-like properties (Area-Gomez et al. 2012; Fujimoto et al. 2012, Hayashi and Fujimoto 2010, Williamson et al. 2011). Importantly, caveolin appears to be central to lipid-related functions of the MAM, since it helps to enrich intracellular lipid and sterol metabolism-related processes to the MAM (Sala-Vila et al. 2016). Consistent with these findings, methyl- $\beta$ -cyclodextrin, an agent that depletes membrane cholesterol, disrupts the MAM platform (Ciarlo et al. 2010), leading to disrupted mitochondrial bioenergetics (Ziolkowski et al. 2010).

This suggests that sterols might use MAM contacts to enter mitochondria. Consistent with this hypothesis, mitochondria use the steroidogenic acute regulatory (StAR) protein D1 (STARD1), which binds free cholesterol in the cytoplasm and then shuttles it toward the MAM

in a PKA-dependent manner (Miller 2013). Here, sterols bind to the voltage-dependent anion channel (VDAC), a component of mitochondrial  $\text{Ca}^{2+}$  import and known MAM protein (Szabadkai et al. 2006), which imports them to mitochondria (Bose et al. 2002) and distributes them within the organelle (Campbell and Chan 2007). Another example is yeast Lam6p/Ltc1p, a StAR-domain-containing protein, which partially localizes to ER-mitochondria contacts (Gatta et al. 2015). Lam6p/Ltc1p interacts with the mitochondrial Tom70/71 complex and might tether the two organelles independently from other molecular tethers (Elbaz-Alon et al. 2015; Murley et al. 2015).

Another candidate mechanism for mitochondrial sterol import is based on a family of proteins originally thought to sense cytosolic sterols, the oxysterol-binding protein (OSBP)-related proteins (ORPs) (Dawson et al. 1989; Taylor et al. 1984). Their 16 human and 7 yeast family members (called oxysterol homology or Osh proteins) frequently localize to MCS (Du et al. 2015). In order to achieve sterol translocation, ORPs translocate from the ER and exchange sterols produced at the ER for phosphatidylinositol 4-phosphate at their cognate target membrane (Drin et al. 2016). ORP5 and ORP8 are of particular interest. These two family members partially target to the MAM, but also interact with a suspected MAM tether and mitochondrial membrane protein, PTPIP51. While it is currently unknown whether these two ORPs mediate lipid or cholesterol trafficking to mitochondria, their knockdown alters biochemical activities, as well as membrane dynamics of mitochondria (Galmes et al. 2016). Together, despite a proven role of sterols in ER-mitochondria contact formation, a number of questions remain on their role for the MAM. Further research will have to investigate the relationship between plasma membrane caveolin and MAM caveolin, as well as the role of ORP proteins in E tethering.

## 2.4 MAM Proteins Mediate Calcium Signaling: Mitochondria-ER Contacts (MERCs) Emerge as an Intracellular Signaling Hotspot

While the functional significance of the MAM is historically best tied to lipid metabolism, this structure has broader significance for intracellular ion flux, since four mitochondrial dehydrogenases require  $\text{Ca}^{2+}$  ions to allow for respiration and the Krebs cycle (Denton 2009). Another functional connection exists between  $\text{Ca}^{2+}$  and the permeability transition pore that controls the mitochondrial protein content, as well as the mitochondrial membrane potential (Hurst et al. 2017). Early in mitochondrial research,  $\text{Ca}^{2+}$  had been identified as a factor that opens the pore (Hunter et al. 1976). In all cases, the ER acts as a point of origin for  $\text{Ca}^{2+}$  (Bravo-Sagua et al. 2013).

First evidence for this connection was seen with the observation that the ER and mitochondria cooperate to regulate cytosolic  $\text{Ca}^{2+}$  amounts (Becker et al. 1980). Moreover, an early study suggested in 1981 that the isolation of ER-mitochondria membrane contacts drastically decreases in the presence of the  $\text{Ca}^{2+}$  chelator EDTA (Meier et al. 1981). A flux of  $\text{Ca}^{2+}$  ions between the ER and mitochondria was described for the first time in 1986 using a  $\text{Ca}^{2+}$ -selective mini-electrode in digitonin-permeabilized rat pituitary GH<sub>3</sub> cells as a discrete pool of ER  $\text{Ca}^{2+}$  that is transferred to mitochondria upon incubation of a mixed organellar preparation with inositol 1,4,5-trisphosphate (IP<sub>3</sub>) (Biden et al. 1986). Following these early discoveries, apoptosis research showed that mitochondria receive  $\text{Ca}^{2+}$  from the ER and that this abnormally high flux of the ion leads to apoptosis (Baffy et al. 1993).

However, the true magnitude of these discoveries and speculations became clear only through further research by the laboratories of Tullio Pozzan and Andrew Thomas. Their studies demonstrated that cytosolic  $\text{Ca}^{2+}$  oscillations

coincided with mitochondrial  $\text{Ca}^{2+}$  increases in live human hepatocytes and HeLa cells, using the Rhod-2 dye or mitochondrially targeted aequorin, a  $\text{Ca}^{2+}$ -activated photoprotein (Hajnoczky et al. 1995; Rizzuto et al. 1993, 1994). Moreover, this  $\text{Ca}^{2+}$  transfer required the formation of highly concentrated microdomains used by mitochondrial  $\text{Ca}^{2+}$  uniporters (MCUs) to import  $\text{Ca}^{2+}$  (Rizzuto et al. 1993). This observation was refined by the Nabi lab, demonstrating that concentrations below 100 nM favor dissociation of contacts, while concentrations above 1  $\mu\text{M}$  favor contact formation (Wang et al. 2000). Using green fluorescent protein (GFP)-tagged fusion proteins targeted to the ER and mitochondria, Rosario Rizzuto then pushed the field further by showing that mitochondria form an interconnected network that shows close apposition to the ER on 5–20% of its surface (Rizzuto et al. 1998). These points of apposition were also proposed as the location of  $\text{Ca}^{2+}$  microdomains in the same study. Here, mitochondrial ATP fuels ER  $\text{Ca}^{2+}$  uptake (Landolfi et al. 1998) upon formation of  $\text{Ca}^{2+}$  microdomains (Hajnoczky et al. 1999). The complex relationship between the two organelles regarding  $\text{Ca}^{2+}$  leads to the establishment of  $\text{Ca}^{2+}$  signal transmission between the ER and mitochondria similar to what occurs at a synapse, as formulated by the Hajnoczky lab in 1999 upon their analysis of experiments with fluorescent, mitochondria-targeted probes (Csordas et al. 1999).

ER-mitochondria  $\text{Ca}^{2+}$  crosstalk boosts mitochondrial bioenergetics (Cardenas et al. 2010), and studies by Murphy and Finkel using MCU knockout (ko) cells show that in vivo, mitochondrial  $\text{Ca}^{2+}$  import is critical for mitochondria peak performance (Pan et al. 2013). The MCU molecular discovery in 2011 jointly by the Rizzuto and Mootha labs (Baughman et al. 2011; De Stefani et al. 2011) showed that this protein forms a complex with mitochondrial calcium uptake 1 and 2 (MICU1 and MICU2) (Mallilankaraman et al. 2012; Perocchi et al. 2010; Plovanich et al. 2013). These two regulatory proteins likely perform vital functions in the control of ER-mitochondria  $\text{Ca}^{2+}$  flux as well,

although this has not yet been fully investigated (Antony et al. 2016). Interestingly, *S. cerevisiae* mitochondria have lost the MCU during evolution, suggesting that *S. cerevisiae* has a drastically reduced and simplified  $\text{Ca}^{2+}$  machinery (Carafoli and Lehninger 1971). Moreover, yeast stores the majority of its  $\text{Ca}^{2+}$  inside vacuoles, not the ER (Belde et al. 1993). More detailed characterizations need to demonstrate whether this organism is able to faithfully reproduce ER-mitochondria  $\text{Ca}^{2+}$  flux as observed in metazoan cells (Plattner and Verkhatsky 2016).

On the ER side of the MAM, the investigation of cytosolic  $\text{Ca}^{2+}$  waves by Lechleiter and Camacho identified additional players. These excitatory events are triggered via the activation of  $\text{IP}_3\text{Rs}$  and depend on the presence of the  $\text{Ca}^{2+}$  pump sarco/endoplasmic reticulum  $\text{Ca}^{2+}$ -ATPase (SERCA). The properties of  $\text{Ca}^{2+}$  waves suggest they are generated from a cross-reaction with oscillating cytosolic  $[\text{Ca}^{2+}]$  and  $\text{Ca}^{2+}$ -regulated  $\text{IP}_3\text{Rs}$  (Camacho and Lechleiter 1993). Moreover,  $\text{Ca}^{2+}$  waves are tightly connected to mitochondria metabolism: while mitochondrial  $\text{Ca}^{2+}$  import and mitochondrial ROS can boost  $\text{Ca}^{2+}$  waves (Walsh et al. 2009), a block of mitochondrial respiration reduces their amplitude and frequency (Jouaville et al. 1995). The mechanistic basis for this connection has recently been elucidated in a series of elegant experiments by the Hajnoczky lab. ER-mitochondria  $\text{Ca}^{2+}$  transfer leads to the release of cristae-stored ROS that subsequently diffuse over to the ER and converge on the MAM, where they chemically modify  $\text{Ca}^{2+}$ -handling proteins (Booth et al. 2016). One group of these are inositol 1,4,5-trisphosphate receptors ( $\text{IP}_3\text{Rs}$ ), which localize to special subdomains of the ER and possibly concentrate in portions that have the tendency to appose to mitochondrial membranes (Takei et al. 1994). ROS modifications result in the sensitization of  $\text{IP}_3\text{Rs}$ , thus strengthening cytosolic  $\text{Ca}^{2+}$  waves (Walsh et al. 2009). Further research needs to address the role of ER-derived ROS in this process (Appenzeller-Herzog et al. 2016). Nevertheless, these results mechanistically tie ER-mitochondria  $\text{Ca}^{2+}$  flux to ER energy



demand (Bravo et al. 2011). In further research, ER chaperones, including calreticulin (Camacho and Lechleiter 1995), calnexin (Roderick et al. 2000), and ERp57 (Li and Camacho 2004) were identified as inhibitors of cytosolic  $\text{Ca}^{2+}$  waves and MAM  $\text{Ca}^{2+}$  crosstalk (Appenzeller-Herzog and Simmen 2016). Consistent with these observations, calnexin reduces the amount of  $\text{Ca}^{2+}$  that can reach mitochondria, but increases the amount of  $\text{Ca}^{2+}$  that can be taken up to the ER (Lynes et al. 2013). This function of calnexin depends on its localization to the MAM and is dependent on its phosphorylation and palmitoylation state (Lynes et al. 2012; Myhill et al. 2008). Opposing these inhibitors of ER-mitochondria  $\text{Ca}^{2+}$  flux, the ER oxidoreductase TMX1/TXNDC1 interacts in a thiol-dependent manner with SERCA2b to reduce ER  $\text{Ca}^{2+}$  import and thus augment MAM  $\text{Ca}^{2+}$  flux (Raturi et al. 2016). By doing so, TMX1 concomitantly increases mitochondrial respiration and ATP production, while mitochondria metabolism is blocked in its absence, resulting in a Warburg-style acceleration of cancer cell growth (Raturi et al. 2016).

The functional connection between ER protein folding and ER-mitochondria  $\text{Ca}^{2+}$  flux is not limited to the regulation of SERCA activity, but also extends to modulatory interactions between ER chaperones and  $\text{IP}_3$ Rs. For instance, the ER oxidoreductases ERp44 and Ero1 $\alpha$  inhibit or activate  $\text{IP}_3$ Rs, respectively, and, by doing so, ER-mitochondria  $\text{Ca}^{2+}$  flux (Higo et al. 2005; Li et al. 2009). Again, at least in the case of Ero1 $\alpha$ , this property is tied to its localization to the MAM (Anelli et al. 2011; Gilady et al. 2010).

Proteins of the Bcl2 family mediate further regulation of ER  $\text{Ca}^{2+}$  release and uptake (Vervliet et al. 2016). For example, Bcl-x<sub>L</sub> interacts with  $\text{IP}_3$ R1 to activate this channel when [ $\text{IP}_3$ ] is low, but to inactivate it when [ $\text{IP}_3$ ] is high (Yang et al. 2016). The BH3-only protein Bid and pro-apoptotic Bax inhibit this regulatory interaction (White et al. 2005). The regulatory mechanism extends to the mitochondrial membrane, where Bcl-x<sub>L</sub> blocks mitochondrial  $\text{Ca}^{2+}$  import via VDAC1 (Monaco et al. 2015). In contrast, the interaction between Bcl2 and the  $\text{IP}_3$ R largely serves to block

pro-apoptotic  $\text{Ca}^{2+}$  transfer (Chen et al. 2004; Hanson et al. 2008; Monaco et al. 2012; Rong et al. 2009), similar to Bax inhibitor-1 (BI-1) (Sano et al. 2012). A similar inhibitory interaction exists between Bcl2 and the ryanodine receptor (Vervliet et al. 2014).

A prototype regulatory protein of ER-mitochondria  $\text{Ca}^{2+}$  flux is the MAM-localized sigma-1 receptor (SIGMAR1), a transmembrane protein that can act as a chaperone for  $\text{IP}_3$ Rs under conditions of low ER [ $\text{Ca}^{2+}$ ] (Hayashi and Su 2007). Through this activity and its localization to detergent-resistant membranes at the MAM (Hayashi and Fujimoto 2010), a property shared with mitofusin-2 and TMX1 (Lynes et al. 2012), SIGMAR1 controls ER-mitochondria  $\text{Ca}^{2+}$  flux and mitochondria lipid metabolism (Bernard-Marissal et al. 2015; Marriott et al. 2012).

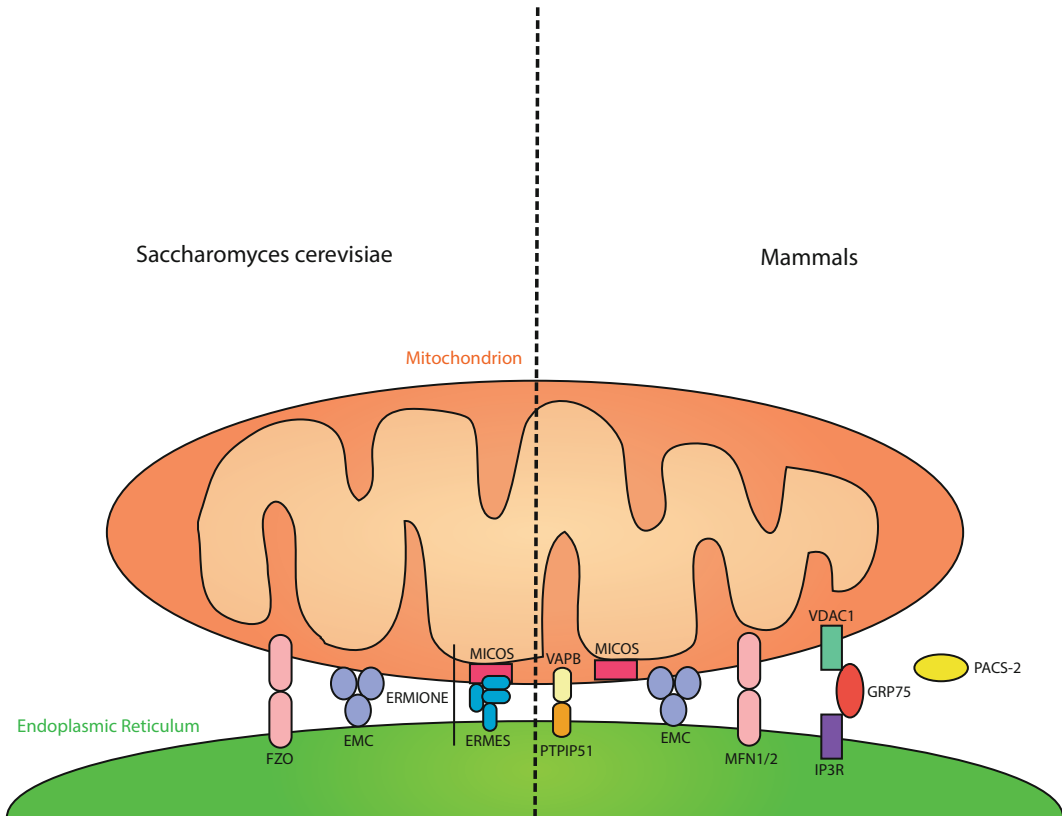
The sum of these chaperone/oxidoreductase interactions with  $\text{Ca}^{2+}$ -handling proteins allows ER protein folding to increase ER-mitochondria  $\text{Ca}^{2+}$  flux under conditions of the unfolded protein response (UPR). This boosts ATP import into the ER, which can then alleviate folding problems or trigger apoptosis, should these problems persist (Bravo et al. 2011). There is therefore a tight link between ER protein folding and redox and the MAM that can telegraph the state of the ER to mitochondria (Simmen et al. 2010).

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## 2.5 Proteins Mediating Formation of the MAM

Proteinases have been shown to untether the ER and mitochondria (Achleitner et al. 1999; Csordas et al. 2006) and thus disrupt  $\text{Ca}^{2+}$  flux as well as phospholipid import into mitochondria. This insight led to the postulate that proteinaceous tethers rather than interorganellar membrane fusion events mediate ER-mitochondria apposition (de Brito and Scorrano 2008). The past decade has seen the discovery of MAM-forming and MAM-regulating proteins that tether ER and mitochondria membranes and regulate the composition of contact sites (Fig. 2.2).





**Fig. 2.2** Representation of ER-mitochondria tethers identified in yeast and mammalian models (See text for details)

A genetic screen in *S. cerevisiae* expressing an artificial ER-mitochondria tether led Benoit Kornmann to discover the ER-mitochondria encounter structure (ERMES) (Kornmann et al. 2009). This heterotetrameric protein complex is composed of Mmm1p, an ER transmembrane protein; Mdm12p, a cytosolic protein; as well as Mdm34p and Mdm10p, which target to the mitochondrial outer membrane (Lang et al. 2015). Interestingly, the ERMES subunits Mdm12p, Mdm34p, and Mmm1p all contain synaptotagmin-like lipid-binding protein domains (SMP), known modules that mediate MCS formation (Reinisch and De Camilli 2016). The  $\text{Ca}^{2+}$ -binding, rho-like GTPase Gem1p regulates the number and activity of ERMES contact points between the ER and mitochondria (Kornmann et al. 2011). Questions arose in the past whether ERMES is dispensable for PS transport from the ER to mitochondria

(Nguyen et al. 2012), but this appears now firmly established (Kojima et al. 2016). Curiously, despite this strong evidence for an essential role of ERMES in yeast, this complex is not conserved in metazoa (Wideman et al. 2013). In yeast cells, the mitochondrial contact site complex (MICOS) genetically interacts with ERMES (Hoppins et al. 2011). MICOS comprises the six proteins Mic60p, Mic10p, Mic12p, Mic19p, Mic26p, and Mic27p (Harner et al. 2011; Hoppins et al. 2011; von der Malsburg et al. 2011) and is critical for the formation of cristae junctions within mitochondria (Ardail et al. 1990; Hackenbrock 1968). Since MICOS is not restricted to yeast (Kozjak-Pavlovic 2017), its role in aligning the MAM with cristae junctions could be conserved.

More recently, Prinz and Loewen identified another potential tether structure in a yeast genetic screen using *CHO2* that negatively

interacts with *PSD1*. This screen identified the ER membrane protein complex (EMC), composed of Emc1p, Emc2p, Emc3p, Emc4p, Emc5p, and Emc6p, all of which are necessary for mitochondrial PS import from the ER (Lahiri et al. 2014). Subsequent work has identified the additional components Emc7, Emc8, Emc9, and Emc10 in human cells, but these proteins are absent in yeast (Wideman 2015). The EMC complex is far better conserved throughout evolution than ERMES. However, it is currently unclear how important its role in tethering is compared to its other roles in ER protein folding, since EMC catalyzes the assembly of proteins that span the membrane multiple times as well (Satoh et al. 2015).

In mammalian models, defects in MAM  $\text{Ca}^{2+}$  flux or the optical apposition between the ER and mitochondria have been used frequently to identify tethers. One example of a human protein implicated in ER-mitochondria tethering is phosphofurin acidic cluster sorting protein 2 (PACS-2), a cytosolic protein that regulates MAM formation (Simmen et al. 2005). It is unclear whether PACS-2 is a MAM tether per se, but its knockdown or knockout nevertheless uncouples the ER from mitochondria, as seen by light and electron microscopy (Simmen et al. 2005). Consistent with a role in MAM formation that is required for  $\text{Ca}^{2+}$  moving from the ER towards mitochondria, PACS-2 knockdown blocks  $\text{Ca}^{2+}$ -mediated apoptosis progression (Myhill et al. 2008). The functions of PACS-2 at the MAM are under the control of its serine 437 residue, where Akt-mediated phosphorylation enables PACS-2 to maintain the MAM (Betz et al. 2013). Interestingly, Akt is downstream of mammalian target of rapamycin complex 2 (mTORC2) that localizes to the MAM itself and activates PACS-2 via Akt (Betz et al. 2013). PACS-2 interacts with calnexin, another known regulator of ER-mitochondria  $\text{Ca}^{2+}$  flux (Lynes et al. 2013; Myhill et al. 2008), and prevents BAP31 processing mediated by caspase-8 (Simmen et al. 2005). Potentially, this interaction is the true basis of PACS-2-mediated ER-mitochondria tethering, since BAP31 directly interacts with the mitochondrial Drp1

docking protein Fis1 (Iwasawa et al. 2011). This complex becomes associated with procaspase-8 under conditions of cell stress. Under that condition, active caspase-8 triggers the formation of the BAP31 p20 fragment, an activator of mitochondrial fission (Breckenridge et al. 2003). Importantly, PACS-2 knockdown leads to this latter event by itself and thus detaches the ER from mitochondria (Simmen et al. 2005).

A second mechanism that influences ER-mitochondria tethering was discovered by the lab of Luca Scorrano in the form of mitofusin-2 (de Brito and Scorrano 2008). When examining the structures of mitofusin-2 ko cells, light and electron microscopy showed a detachment of the ER and mitochondria in mouse embryonic fibroblasts, neurons (Schneeberger et al. 2013), and cardiomyocytes (Chen et al. 2012). Mitofusin-2 ko cells also show inhibited  $\text{Ca}^{2+}$  transfer from the ER to mitochondria upon  $\text{Ca}^{2+}$  release from the ER. Consistent with this observation, ER stress does not result in efficient apoptosis onset in mitofusin-2 ko cells (Munoz et al. 2013). Further demonstrating the role for the formation of ER-mitochondria contacts, mitofusin-2 ko cells show a decrease in mitochondrial respiration capacity (Mourier et al. 2015). A characteristic shared between PACS-2 and mitofusin-2 is that their knockdown results in ER stress (Sebastian et al. 2012; Simmen et al. 2005), but silencing of the kinase PERK can reverse the mitofusin-2 ko phenotype partially (Munoz et al. 2013). Some doubts on the role of mitofusin-2 for the MAM arose with the observation that some ER tubules can be found in closer contact with mitochondria in mitofusin-2 ko cells (Cosson et al. 2012; Filadi et al. 2015). However, recent results suggest that this effect could be due to culture conditions (Naon et al. 2016), consistent with cell stress arising in mitofusin-2 ko cells quicker than in wild-type cells (Bucha et al. 2015).

Additional tethers include a protein complex between  $\text{IP}_3\text{Rs}$ , VDAC, and the outer mitochondrial membrane chaperone Grp75 (Szabadkai et al. 2006) as well as a novel tethering complex formed between the ER vesicle-associated

membrane protein-associated protein B (VAPB) and PTPIP51 (De Vos et al. 2012). TDP-43 can disrupt the VAPB-PTPIP51 tethers and results in disrupted cellular  $\text{Ca}^{2+}$  homeostasis (Stoica et al. 2014). Fused in sarcoma (FUS) is another inhibitor of these tethers and similarly results in clean detachment of the ER from mitochondria (Stoica et al. 2016), suggesting that the VAPB-PTPIP51 tethers are currently among the best characterized.

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## 2.6 The MAM Regulates Mitochondrial Dynamics

The past 5 years have seen the discovery of novel, unexpected connections between the MAM and mitochondrial dynamics. These are based on both the mitochondrial fission and fusion machinery. Connections with mitochondrial fusion could be based on the equilibrium of mitofusins mediating mitochondrial fusion and mitofusins localizing to the MAM. Here, the human ubiquitin ligase MITOL regulates MAM formation and activates mitofusin-2 by ubiquitinating its mitochondrial moiety. Apparently, this function is restricted to MAM-specific functions of mitofusin-2 and does not apply to the role of mitofusin-2 in mitochondrial fusion (Sugiura et al. 2013). A similar case is the E3 ubiquitin ligase Gp78. This ER protein normally targets mitofusin-2 for degradation, but its function appears to predominantly determine the ratio between rough ER (rER) and smooth ER (sER)-mitochondria contacts (Li et al. 2015). This finding suggests that mitofusin-2 could also serve to keep the rER apart from mitochondria while promoting the formation of contacts between mitochondria and the sER (Wang et al. 2015).

The best-studied connection between the MAM and mitochondrial dynamics involves mitochondrial fission. At mitochondria-ER contacts, human dynamin-related protein 1 (Drp1) forms mitochondria-constricting oligomers that dock to the mitochondrial proteins Fis1, Mff, and MiD49/MiD51 (Friedman et al. 2011), all localized to the MAM prior to

mitochondrial fission (Murley et al. 2013). The actin-remodeling proteins WAVE-1 (Sung et al. 2008) and ER-associated inverted formin 2 (INF2) (Korobova et al. 2013) form a construction site onto which Drp1 can oligomerize (Hatch et al. 2014). A number of mitochondrial protein kinase A (PKA)-anchoring proteins (AKAPs) like the MAM trafficking GTPase Rab32 control this mechanism (Bui et al. 2010), since PKA acts as an on/off switch for Drp1 (Cribbs and Strack 2007). Another candidate is AKAP121, which can target to either ER or mitochondria (Huang et al. 1999), from where it fine-tunes the availability of Drp1 (Kim et al. 2011).

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## 2.7 There Is More to the MAM Than MERCs the Eye

The new millennium has seen a further expansion of the palette of functions of ER-mitochondria contacts with the discoveries that important cellular signaling mechanisms use mitochondria-ER contacts as a home base for their activities. These include the formation of inflammasomes, which form here upon production of ROS within the ER or mitochondria (Zhou et al. 2011); PKA, which operates from this structure upon binding to the small GTPase Rab32 in HeLa cells (Bui et al. 2010); or the mammalian target of rapamycin complex 2 (mTORC2) that can be co-isolated with the MAM, from where it controls Akt phosphorylation of IP<sub>3</sub>Rs and hexokinase 2 (Betz et al. 2013).

A connection between the MAM and autophagy has been made in the context of syntaxin-17, a master regulator of autophagosome formation and progression (Itakura et al. 2012). The significance of syntaxin-17 for the MAM is demonstrated by its localization to mitochondrial rafts and its requirement for Drp1 localization and activity (Arasaki et al. 2015). These activities of syntaxin-17 depend on its inhibitory action on the MAM AKAP Rab32 that uses Drp1 as an effector (Ortiz-Sandoval et al. 2014).

Autophagy is a highly conserved process that involves the formation of a double-membrane

vacuole to capture cytosolic contents and deliver them to lysosomes for degradation (Ashrafi and Schwarz 2013; Lamb et al. 2013; Yang and Klionsky 2010). Autophagy is initiated with the formation of an isolation membrane or phagophore, which expands as it engulfs contents and seals to form a double-membrane vacuole known as the autophagosome (Shibutani and Yoshimori 2014). The current paradigm in the field is that the isolation membrane is derived from a core existing membrane and then formed largely de novo with the addition of vesicles and lipids (Klionsky 2007) to yield a platform for autophagosome biogenesis as an  $\Omega$ -shaped membrane (Axe et al. 2008). Consistent with the importance of the MAM for autophagosome formation, multiple lines of evidence show that mitochondrial and autophagosomal membranes are transiently linked in a mitofusin-2-dependent manner (Hailey et al. 2010). These autophagosomal membranes containing the ganglioside GD3 become enriched with the MAM protein calnexin (Lynes et al. 2012) and then participate in the early autophagic process (Garofalo et al. 2016). Importantly, calnexin serves as a docking site to target the OMM protein FUNDC1 to the MAM, where it interacts with Drp1 (Wu et al. 2016). Although ER autophagic receptors Atg39p, Atg40p (yeast), and FAM134B (human) have been described recently, it is unclear whether they play a role for MAM-initiated autophagy (Khaminets et al. 2015; Mochida et al. 2015). In yeast, ERMES is critical for the progression of mitophagy (Bockler and Westermann 2014), suggesting that proteins mediating or regulating the tethering of the ER to mitochondria could play a similar role.

## 2.8 Conclusion

As our chapter shows, ER-mitochondria contacts have come a long way from being seen for the first time in the 1950s on EM micrographs by Bernhard and others. Following their first assignment of a biochemical function by Vance in 1990, the field has exploded (Fig. 2.1) and has

served as a paradigm for the ongoing characterization of MCS between a multitude of organelles.

Although not the topic of this review, it comes therefore as no surprise that the MAM plays important roles in many diseases. Again, this was interestingly anticipated by the pioneers of the 1950s, who saw that MAM formation changes during feeding (Bernhard and Rouiller 1956b) and also during the progression of cancer (Howatson and Ham 1955). Today, researchers are unraveling the molecular mechanisms behind these early observations (Giorgi et al. 2015; Raturi et al. 2016; Sood et al. 2014; Theurey et al. 2016), showing that the MAM machinery is intricately linked to diabetes (Arruda et al. 2014; Tubbs et al. 2014) and cancer (Bononi et al. 2013). Emerging areas of research show that the MAM is likely also playing a prominent role in infection (Horner et al. 2011), as well as neurodegeneration (Area-Gomez et al. 2012; Paillusson et al. 2016). We therefore predict that the ongoing identification of MAM tethers, MAM targeting mechanisms, and novel MAM signaling mechanisms will lead to important insight into these diseases.

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# Regulation of Mitochondrial Dynamics and Autophagy by the Mitochondria-Associated Membrane

# 3

Mitsuo Tagaya and Kohei Arasaki

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## Abstract

Mitochondria are powerhouses and central to metabolism in cells. They are highly dynamic organelles that continuously fuse, divide, and move along the cytoskeleton to form the mitochondrial network. The fusion and fission are catalyzed by four dynamin-related GTPases in mammals that are controlled by a variety of protein-protein interactions and posttranslational modifications. Mitochondrial dynamics and metabolism are linked and regulate each other. Starvation induces mitochondrial elongation, which enables the mitochondria to produce energy more efficiently and to escape from autophagic degradation. Damaged portions of mitochondria are removed from the healthy parts by division, and subsequently degraded via a specific mode of autophagy termed mitophagy. Recent studies shed light on the contribution of the endoplasmic reticulum to mitochondrial dynamics and the cooperation of the two organelles for the progression of autophagy including mitophagy. A subdomain of the endoplasmic reticulum apposed to mitochondria is called the mitochondria-associated membrane (MAM), which comprises a unique set of proteins that interact with mitochondrial proteins. Here we review our current understanding of the molecular mechanisms of mitochondrial dynamics and mitochondria-related processes in the context of the interaction with the endoplasmic reticulum.

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## Keywords

Autophagy • Drp1 • Endoplasmic reticulum • Mitochondria • Mitochondria-associated membrane • Syntaxin 17 • FUNDC1

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## 3.1 Introduction

Mitochondria are powerhouses of the cell that generate ATP through oxidative phosphorylation.

The origin of mitochondria is assumed to be an  $\alpha$ -proteobacterium-like ancestor that invaded an Archaea-type host cell >1.5 billion years ago (Dyall et al. 2004). This invader escaped from elimination by the host cell and successfully lived together with it as a parasite. The parasite was profoundly beneficial for the host cell because it efficiently produced energy (ATP) using molecular oxygen from a limited source of food and might have allowed the host cell to develop a complex intracellular architecture. The use of oxygen by the parasite matched up with an increase in the oxygen concentration in the atmosphere. Although being beneficial, the parasite might occasionally have caused trouble for the host cell because it produced excessive reactive oxygen species through the electron transport chain, which potentially damage membrane lipids and other architecture in cells. The Archaea-type host might have “appointed” the endoplasmic reticulum (ER) to overwatch the parasite. In cells today, 5–20% of the mitochondrial surface is in close vicinity to the ER (Rizzuto et al. 1998). The ER subdomain, now called the mitochondria-associated membrane (MAM), can regulate the activity of mitochondria using  $\text{Ca}^{2+}$  stored inside the ER. Given that  $\text{Ca}^{2+}$  is an important factor for apoptosis (Rizzuto et al. 2012), it is easily understandable that the MAM regulates apoptosis. The MAM is also the site of lipid synthesis in coordination with mitochondria.

Emerging evidence has revealed that the MAM has more functions than anticipated. It participates in the regulation of mitochondrial dynamics, ER stress signaling, autophagy, mitophagy, pathogen proliferation and responses, inflammation, type 2 diabetes, cancer, and diseases associated with neurodegeneration, most of which are topics in this book. In this review, we focus on the regulation of mitochondrial dynamics, autophagy, and mitophagy. These processes are tightly coordinated by the MAM.

## 3.2 Dynamics of Mitochondria

Mitochondria are dynamic organelles that continuously undergo fusion and fission and move along the cytoskeleton. Depending on the cell type and in response to cellular physiology, mitochondria exhibit a variety of structures, ranging from highly connected tubular structures distributed throughout the cells to fragmented, aggregated structures accumulated in certain areas of cells (reviewed by Labbé et al. (2014)). In general, an excess nutrient supply facilitates mitochondrial division, whereas starvation tends to cause elongation of mitochondria (reviewed by Mishra and Chan (2016)). Because of the presence of two membranes, the outer and inner membranes, two different dynamin-related GTPases mediate membrane fusion. The fusion of the outer membrane is catalyzed by mitofusin (Mfn) 1 and its close homologue Mfn2 in mammals, which correspond to yeast Fzo1. The fusion of the inner membrane is mediated by Opa1 and Mgm1 in mammals and yeast, respectively. On the other hand, mitochondrial division is mediated by another dynamin-related GTPase, Drp1 (Dnm1 in yeast). Very recently, dynamin2 was found to work in concert with Drp1 to constrict and divide mitochondria (Lee et al. 2016).

### 3.2.1 Mfns

Although Mfn1 and Mfn2 exhibit 60–63% amino acid identity, form homotypic and heterotypic complexes, and therefore have redundant functions, they have several nonredundant, special functions as well (reviewed by Schrepfer and Scorrano (2016)). Mfn1 displays relatively ubiquitous expression, whereas Mfn2 displays some tissue preference and is highly expressed in brain and adrenal gland (Eura et al. 2003; Santel et al. 2003). Mfn1 is crucial for mitochondrial docking and fusion, whereas Mfn2 has lower GTPase activity and has more diverse functions (Ishihara

et al. 2004). Mfn1 exclusively localizes to mitochondria, whereas Mfn2 is present not only in mitochondria but also abundant in the MAM (de Brito and Scorrano 2008). Consistent with the different subcellular localization, Mfn2, but not Mfn1, regulates ER stress and insulin signaling (reviewed by Dorn et al. (2015) and Zorzano et al. (2015)). Another unique property of Mfn2 is that it interacts with proapoptotic Bcl-2 proteins, Bax and perhaps Bak (Karbowski et al. 2006; Hoppins et al. 2011). These interactions affect Mfn2-dependent processes, thereby regulating the morphology of mitochondria.

### 3.2.2 Opa1

The Opa1 GTPase, whose mutation causes autosomal dominant optic atrophy, undergoes processing by mitochondrial inner membrane proteases (Yme1L and Oma1) to produce short, soluble, intermembrane space-localized forms (S-Opa1) from long inner membrane-attached forms (L-Opa1) (Anand et al. 2014). In addition to the inner membrane fusion, Opa1/Mgm1 controls cristae shape (Frezza et al. 2006; Meeusen et al. 2006). This is accomplished in cooperation with the MICOS (mitochondrial contact site and cristae organizing system) (Glytsou et al. 2016). The cristae shape determines the release of cytochrome c in response to starvation and apoptotic stimuli and regulates the assembly of respiratory chain supercomplexes (Frezza et al. 2006; Patten et al. 2014; Cogliati et al. 2013). Loss of L-Opa1 through excessive processing by Oma1 facilitates mitochondrial fragmentation and cristae disruption, leading to cell death (MacVicar and Langer 2016). Opa1 also acts as a PKA-kinase anchoring protein (AKAP) on lipid droplets and mediates adrenergic control of lipolysis (Pidoux et al. 2011).

### 3.2.3 Drp1

In contrast to the membrane association nature of Mfns and Opa1, the fission protein Drp1 is predominantly present in the cytosol and recruited to the mitochondrial surface via Drp1 receptors/adaptors, which allows Drp1 to further oligomerize and wrap around mitochondria (reviewed

by Otera et al. (2013) and Richter et al. (2015)). This and Drp1-catalyzed GTP hydrolysis constrict and finally sever the mitochondrial outer membrane concomitantly with the release of Drp1 from the mitochondria (Mears et al. 2011). The activity of Drp1 is regulated not only by its receptors/adaptors, but also by phospholipids. Quite recently, phosphatidic acid was reported to control the activity of Drp1 (Adachi et al. 2016).

#### 3.2.3.1 Drp1 Receptors/Adaptors

Drp1 receptors/adaptors so far identified are Mff (Gandre-Babbe and van der Bliek 2008; Otera et al. 2010), MiD49/MIEF2, and MiD51/MIEF1 (Palmer et al. 2011; Zhao et al. 2011). Although yeast Fis1 functions as a receptor for Dnm1, the role of mammalian Fis1 as a Drp1 receptor/adaptor remains controversial (Labbé et al. 2014). It appears that Mff is the principal Drp1 receptor, whereas MiD proteins have regulatory roles. Mff marks Drp1-binding sites, whereas MiD proteins require Drp1 in order to associate with constriction sites (Richter et al. 2014). Drp1 GTPase activity is stimulated by Mff, but inhibited by MiD51 (Osellame et al. 2016). The inhibitory effect of MiD51 on Drp1 GTPase activity may be related to the low frequency of mitochondrial fission events even after the formation of constriction sites (Ji et al. 2015).

The presence of the multiple Drp1 receptors/adaptors makes it possible to regulate Drp1 in response to the cellular energy state and cell physiology including apoptosis and mitophagy. In this context it is interesting that MiD51 has an ADP-binding site (Losón et al. 2014; Richter et al. 2014). MiD49/MiD51, but not Mff, are required for cristae remodeling in response to apoptotic stimuli (Otera et al. 2016). During apoptosis, Fis1 and the ER membrane protein Bap31 constitute a platform for recruiting procaspase-8 to trigger the cleavage of Bap31 into a proapoptotic form (p20). The Bap31 fragment promotes the release of ER  $\text{Ca}^{2+}$ , causing the dissipation of the mitochondrial membrane potential and thereby cell death (Iwasawa et al. 2011). Deletion of Fis1 in *Caenorhabditis elegans* and mammalian cells results in a defect in mitophagy, but not autophagy, leading to the accumulation of large mitophagosome aggregates (Shen et al. 2014). Under stress conditions, Drp1 is recruited to mitochondria via Mff and then

binds to Fis1 as a Ser637-phosphorylated form in a complex with the ER proteins calnexin and Bap31 (Shen et al. 2014). During mitophagy, Fis1 recruits TBC1D15 and TBC1D17, both of which are Rab-GAPs for Rab7, a small GTPase that regulates endolysosomal trafficking, and may regulate Rab7 activity for autophagosomal membrane formation at the interface between mitochondria and isolation membranes (Yamano et al. 2014).

### 3.2.3.2 Posttranslational Modification of Drp1

The activity of Drp1 is regulated by posttranslational modifications including phosphorylation-dephosphorylation, SUMOylation-deSUMOylation, *S*-nitrosylation, and ubiquitination (Otera et al. 2013; Richter et al. 2015). Phosphorylation of Drp1 occurs at Ser616, Ser637, and Ser693. Phosphorylation at Ser616 by protein kinases such as Cdk1 (Taguchi et al. 2007) and Cdk5 (Jahani-Asl et al. 2015; Xie et al. 2015) promotes fission activity. Ser616 phosphorylation is also mediated by MAPK (Erk) in tumor growth (Kashatus et al. 2015; Serasinghe et al. 2015) and cell reprogramming (Prieto et al. 2016). Curiously, phosphorylation of Drp1 at Ser637 (Ser637 is also referred to as Ser600, 617, and 656 depending on the species and splice variant) causes inhibition (PKA and AMP kinase) or activation ( $\text{Ca}^{2+}$ /calmodulin-dependent kinase and ROCK1) of fission activity, perhaps depending on the cells, tissues, and/or cellular context (Otera et al. 2013). Phosphorylation by PKA inhibits fission activity (Chang and Blackstone 2007; Cribbs and Strack 2007). Recruitment of PKA to mitochondria is likely mediated by PKA-anchoring proteins. AKAP1 (also known as AKAP121 and AKAP149)-mediated phosphorylation of Drp1 supports neural cell survival (Merrill et al. 2011) and regulates neuronal development (Dickey and Strack 2011). The cellular content of AKAP1 is finely tuned by the ubiquitin ligase Siah2 (Carlucci et al. 2008), which degrades AKAP1 under hypoxia, leading to mitochondrial fission and apoptosis (Kim et al. 2011). AKAP1 can compensate for deficiencies in PINK1 (PTEN-induced putative kinase 1) function (Dagda

et al. 2011), which is associated with a familial syndrome related to Parkinson's disease (Pickrell and Youle 2015). A recent study showed that PINK1 triggers PKA displacement from AKAP1 for mitophagy, which causes the dephosphorylation of Drp1, leading to mitochondrial fission prerequisite for mitophagy (Pryde et al. 2016). Another mitochondrial AKAP protein Rab32 localizes to the MAM and mitochondria and increases the phosphorylation of Drp1 by PKA (Bui et al. 2010; Ortiz-Sandoval et al. 2014). Dephosphorylation of Drp1 at Ser637 is catalyzed by calcineurin (Cereghetti et al. 2008), PP2A (Merrill et al. 2013), and PGAM5 (Wang et al. 2012; Kang et al. 2015; Xu et al. 2015). Similar to the phosphorylation of Drp1 at Ser637 by PKA, phosphorylation of Ser693 by GSK3 $\beta$  inactivates Drp1 (Chou et al. 2012).

SUMOylation-deSUMOylation of Drp1 is closely related to apoptosis (Wasiak et al. 2007; Guo et al. 2013; Fu et al. 2014). Drp1 is sumoylated by MAPL (Braschi et al. 2010), which is promoted by the apoptotic proteins Bax and Bak (Wasiak et al. 2007). A recent study showed that MAPL-mediated SUMOylation of Drp1 stabilizes the ER-mitochondria platform for mitochondrial constriction,  $\text{Ca}^{2+}$  flux, cristae remodeling, and cytochrome *c* release downstream of Bax and Bak (Prudent et al. 2015).

NO-induced *S*-nitrosylation of Drp1 at Cys644 highly stimulates fission activity. This is induced in neuronal cells by  $\beta$ -amyloid, which is thought to be a key mediator of Alzheimer disease, via *N*-methyl-D-aspartate (NMDA)-type glutamate receptor, leading to synaptic loss and neuronal damage (Cho et al. 2009). Recently, elevated glucose levels seen in the metabolic syndrome and type 2 diabetes mellitus were reported to have the same impact on synapses as  $\beta$ -amyloid (Akhtar et al. 2016).

Ubiquitination of Drp1 for proteasomal degradation is mediated by March5 (also known as Mitol) (Nakamura et al. 2006; Yonashiro et al. 2006) and Parkin (Wang et al. 2011). March5 is also responsible for ubiquitination and proteasomal degradation of MiD49 (Xu et al. 2016a), and its activity is negatively regulated by Drp1 and Mff (Cherok et al. 2017).



Regulation of Drp1 activity is mediated not only at the level of Drp1 modification but also at the level of Drp1 receptor/adaptor modification. Recently, Mff was found to be phosphorylated by AMP kinase in response to electron transport chain inhibition (Toyama et al. 2016). However, under starvation, a condition that activates AMP kinase, mitochondria tend to become elongated (Mishra and Chan 2016), and AMP kinase directly phosphorylates and inactivates Drp1 (Wikstrom et al. 2013), raising the question of how AMP kinase discriminates specific targets in the context of cell physiology.

### 3.3 Roles of the ER-Mitochondria Contact Site

In most cells, 5–20% of the mitochondrial surface is in contact with the ER (Rizzuto et al. 1998). Electron microscopic analysis revealed the distances between mitochondria and the smooth ER (~10 nm) and the rough ER (~25–40 nm) (Csordás et al. 2006). Several candidates, including Mfn2 (de Brito and Scorrano 2008), that mediate tethering between the ER and mitochondria have been reported (For details see Chap. 2). Thanks to the close apposition between the ER and mitochondria, lipids and  $\text{Ca}^{2+}$  can be efficiently transported between the two organelles. The contact of mitochondria with the smooth and rough ER membranes may be directly or indirectly regulated by Mfn1 and Mfn2, respectively (Wang et al. 2015). The MAM-localized ubiquitin ligase Gp78 participates in the regulation of the mitochondria-rough ER contact, perhaps by degrading Mfn2 (Wang et al. 2015).

#### 3.3.1 Dynamic Nature of the ER-Mitochondria Contact

Although both the ER and mitochondria are highly dynamic organelles, they remain in close contact during continuous movement (Friedman et al. 2010 and reviewed by Friedman and Voeltz (2011)). They move bidirectionally on microtubules (MTs) in metazoan cells. The ER

membranes bind to the growing tips of MTs through an ER membrane protein, STIM1, and an MT plus end-binding protein, EB1. ER tubules bind to the shafts of existing MTs and slide along the MT, in particular, on curved acetylated MTs (Friedman et al. 2010). This sliding is driven by the MT motor proteins kinesin 1 family proteins (also known as KIF5) and cytoplasmic dynein. Mitochondria use the same motor proteins as the ER (Tanaka et al. 1998). Kinesin 1 family motors become attached to mitochondria through the adaptor proteins Trak1 and Trak2 (Smith et al. 2006; MacAskill et al. 2009), which correspond to *Drosophila* Milton. The Trak receptor on mitochondria is the mitochondrial Rho-GTPase Miro (Fransson et al. 2006), whose yeast homologue is Gem1. Miro GTPase has two GTPase domains and two EF-hand motifs with a tail anchored to the mitochondrial outer membrane.  $\text{Ca}^{2+}$  binding to Miro induces kinesin 1 release from MTs and thus prevents mitochondrial movement. Alternatively,  $\text{Ca}^{2+}$  binding releases kinesin 1 from mitochondria. Thus, in areas where the  $\text{Ca}^{2+}$  concentration is high, such as at activated synaptic terminals, mitochondrial movement is arrested and mitochondria accumulate at synaptic terminals (Sheng 2017). Mfn2 participates in the axonal transport of mitochondria in association with the Miro/Milton complex (Misko et al. 2010). In this context, it is interesting that March5 polyubiquitinates and degrades S-nitrosylated MAP1B-LC1 (Yonashiro et al. 2012), an MT-associated protein that may regulate in concert with MAP1B-HC MT dynamics and axonal transport of mitochondria (Jiménez-Mateos et al. 2006). March5 also polyubiquitinates mitochondria-associated Mfn2 for stabilizing the ER-mitochondria contact (Sugiura et al. 2013).

#### 3.3.2 The MAM Defines Sites of Mitochondrial Division and DNA Replication

In 2011 Voeltz and colleagues (Friedman et al. 2011) discovered a new role of the MAM. On

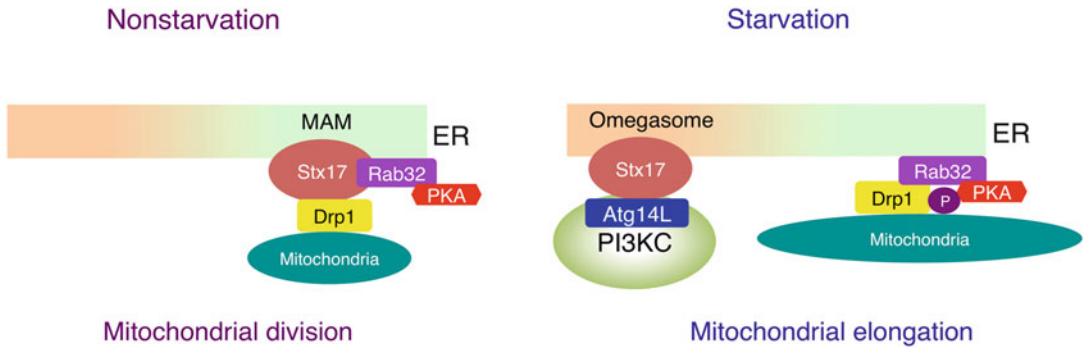
live confocal microscopy, they found that the ER becomes in contact with mitochondria and mediates mitochondrial constriction before Drp1 and Mff recruitment. These findings inspired extensive analysis of the role of the ER in mitochondrial division. Soon after, the ER-localized INF2 (inverted formin 2) was found to mediate actin polymerization and to recruit the myosin IIa motor that pulls on the actin filaments, leading to mitochondrial constriction (Korobova et al. 2013, 2014). Binding of assembled actin to Drp1 likely stimulates Drp1 GTPase activity, leading to mitochondrial fission (Ji et al. 2015). Actin assembly and disassembly on mitochondria occur transiently, and, in addition to INF2, actin-nucleating (Spire1C and Arp2/3 complexes), -binding (cortactin), and -depolymerizing (cofilin) proteins mediate these processes (Li et al. 2015; Manor et al. 2015; Moore et al. 2016). In addition to driving constriction, actin filaments may serve as reservoirs of oligomerization-competent Drp1 that can be accessed for mitochondrial fission (Hatch et al. 2016). Other than actin, there are several proteins that are known to regulate Drp1 activity. Syntaxin 17, a SNARE (SNAP receptor) protein originally implicated in ER-Golgi intracellular trafficking, was reported to localize to the MAM and mitochondria and to define the localization and activity of Drp1 at the MAM (Arasaki et al. 2015). For this role, the SNARE domain is not required, but the C-terminal hairpin-like hydrophobic structure followed by the cytoplasmic tail is important (Arasaki et al. 2015). A recent report showed that septin 2 localizes to a subset of mitochondrial constriction sites and directly binds to Drp1 and may support Drp1-dependent fission (Pagliuso et al. 2016).

Each mitochondrion contains one or more mitochondrial DNA molecules packaged into proteinaceous complexes termed nucleoids that are distributed throughout the mitochondrial network. Mitochondrial fusion and fission are important for nucleoid inheritance (Labbé et al. 2014). In yeast, ER-linked mitochondrial division sites, which are marked by the ERMES (ER-mitochondria encounter structure) complex

(Kornmann et al. 2009), are linked to nucleoids (Murley et al. 2013), and the ERMES complex interacts with actin and thereby supports nucleoid segregation (Boldogh et al. 2003). Although the ERMES is not conserved in mammals, mtDNA in mammalian cells, like in yeast, is replicated at a small subset of ER-mitochondria contact sites and segregated (Lewis et al. 2016).

### 3.3.3 Autophagosome Formation at the MAM

Macroautophagy (hereafter autophagy) is an intracellular degradation process in which cytoplasmic constituents are sequestered by double-membrane structures termed autophagosomes (reviewed by Mizushima et al. (2011) and Lamb et al. (2013)). The autophagosomes fuse with lysosomes to become autolysosomes, and the constituents are degraded by lysosomal enzymes. Basal autophagy occurs under normal conditions, but nutrient deprivation and other stresses stimulate autophagy. The execution of autophagy is mediated by the Atg (autophagy-related) proteins in cooperation with proteins involved in membrane trafficking. Autophagy starts with the nucleation of isolation membranes (also known as phagophores) that emerge from the omega-shaped subdomain of the ER enriched in phosphatidylinositol 3-phosphate (PI3P). The isolation membranes expand likely owing to de novo lipid synthesis, which is probably driven by cyclical activation of two complexes, ULK and PI3-kinase complexes (reviewed by Ktistakis and Tooze (2016)), as well as to membrane supply from the ER-Golgi intermediate compartment, Golgi, endosomes, and plasma membrane (Lamb et al. 2013). The nascent isolation membranes engulf cytoplasmic constituents and are finally detached from the ER concomitantly with the closure of the membranes to form autophagosomes. The fusion of autophagosomes with lysosomes is dependent on Rab7 and its effectors. A Rab7 effector, the HOPS (homotypic fusion and vacuole protein sorting) tethering complex (Jiang et al. 2014), in cooperation with another Rab7 effector, PLEKHM1 (pleckstrin



**Fig. 3.1** Syntaxin 17 at the ER-mitochondria interface promotes mitochondrial fission in fed cells and autophagosome formation in starved cells by interacting with different partners. Under nonstarvation conditions, syntaxin 17 (Stx17) interacts with Drp1 and prevents its PKA-mediated phosphorylation by competing with the

AKAP protein Rab32. Upon starvation, syntaxin 17 dissociates from Drp1 and recruits the PI3-kinase complex (PI3KC) to the MAM through interaction with the PI3-kinase subunit Atg14L. Drp1 is phosphorylated by PKA, leading to mitochondrial elongation

homology domain-containing protein family member) (McEwan et al. 2015), promotes fusion between autophagosomes and lysosomes, likely by tethering the two organelles. A recent study showed that Pacer mediates the function of the PI3-kinase and HOPS complexes in autophagosome maturation by engaging syntaxin 17 (Cheng et al. 2017). After tethering, autophagosomes fuse with lysosomes in a manner dependent on syntaxin 17, SNAP29, and VAMP8 (Itakura et al. 2012), with the aid of the HOPS complex (Jiang et al. 2014) and Atg14L (Diao et al. 2015).

The involvement of mitochondria in autophagy was first demonstrated by Hailey et al. (2010), who showed that mitochondria-localized cytochrome b5, which has a hairpin-like membrane anchor, and fluorescent-labeled phosphatidylserine, which is converted to fluorescent-labeled phosphatidylethanolamine in mitochondria, are transferred from mitochondria to autophagosomes upon starvation. A subsequent study revealed, at least partly, why both the ER and mitochondria contribute to the formation of autophagosomes; isolation membranes are formed at the MAM. Upon starvation, syntaxin 17 is redistributed to the MAM, where it recruits the PI3-kinase complex through interaction with the PI3-kinase subunit Atg14L (Hamasaki et al. 2013). Loss of syntaxin 17,

due to short interfering RNA-mediated knock-down or cleavage by Lpg1137 encoded by *Legionella pneumophila*, abrogates PI3P formation at the early stage of autophagy (Arasaki et al. 2017). While syntaxin 17 interacts with Drp1, but not with Atg14L, under nutrient-rich conditions, starvation induces the release of syntaxin 17 from Drp1 accompanied by its binding to Atg14L (Arasaki et al. 2015). This binding partner change can elegantly explain the mitochondrial elongation observed in starved cells. The release of syntaxin 17 from Drp1 causes the inhibitory phosphorylation of Drp1 (Ser637) by PKA because starvation abrogates the inhibitory effect of syntaxin 17 on the binding of the AKAP Rab32 to Drp1, thereby allowing Rab32 to gain access to Drp1 for phosphorylation (Arasaki et al. 2015) (Fig. 3.1). Elongated mitochondria, which can produce ATP more efficiently than fragmented mitochondria, are able to escape from engulfment by isolation membranes because of their large size (Gomes et al. 2011; Rambold et al. 2011).

The recruitment and activation of PI3-kinase via Atg14L for autophagosome formation appear to be also mediated by a multiple membrane-spanning protein, PAQR3. In healthy cells PAQR3 localizes to the Golgi apparatus, but upon glucose starvation, this protein undergoes phosphorylation at Thr32 by AMP kinase and

then translocates from the Golgi to the omega-somes, where it functions as a scaffold protein that facilitates the formation of PI3P (Xu et al. 2016b).

Although the finding that isolation membranes are formed at the MAM can partly explain the contribution of mitochondria to autophagosome formation, it is not clear how mitochondrial proteins and lipids are transferred from mitochondria to nascent isolation membranes. One possibility is that lipids are transferred between the MAM and mitochondria via a nonvesicular pathway, as seen in the biosynthesis of lipids. Although there is so far no direct evidence that proteins can shuttle between the MAM and mitochondria, it was reported that certain mitochondrial proteins can escape to the ER during mitophagy (Saita et al. 2013).

### 3.3.4 Mitophagy

Mitochondria constantly suffer from self-generated internal reactive oxygen species and in some cases from other intrinsic and extrinsic stresses, which may cause dysfunction of mitochondria. Damaged mitochondria are eliminated through a specific mode of autophagy termed mitophagy. This process may be also important for regulation of the mass of mitochondria in physiological contexts (reviewed by Hamacher-Brady and Brady (2016)).

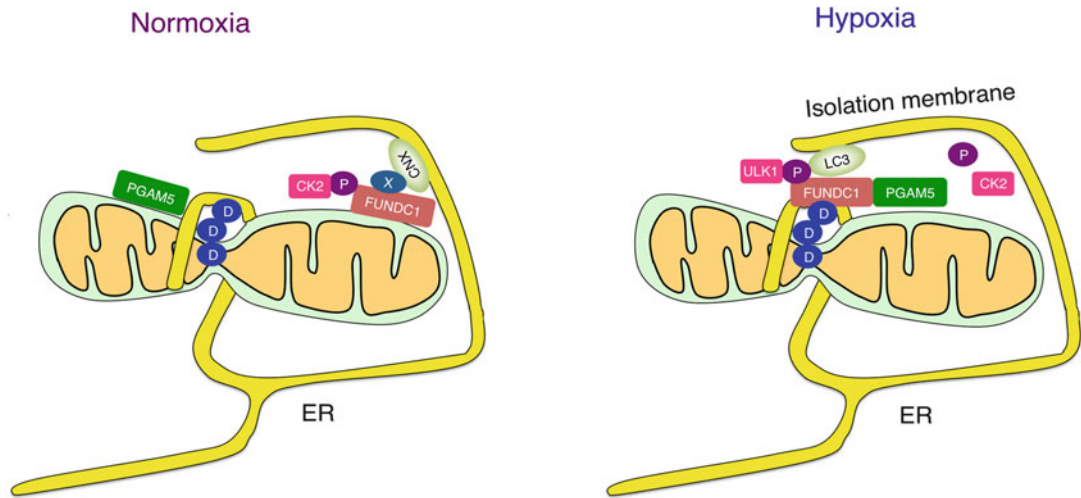
#### 3.3.4.1 PINK1/Parkin-Dependent Mitophagy

The best-known process is one that depends on PINK1/Parkin, which are associated with Parkinson's disease. Recent genetic and biochemical studies have revealed the basic mechanism of the PINK1/Parkin-dependent mitophagy pathway (reviewed by Pickrell and Youle 2015). In healthy mitochondria, the serine/threonine kinase PINK1 is imported into mitochondria in a membrane potential-dependent manner and then sequentially degraded by PARL (presenilin-associated rhomboid-like protein) located in the inner membrane and proteasomes in the cytosol. Upon mitochondrial damage, which dissipates

the mitochondrial membrane potential, PINK1 is not imported into mitochondria, but accumulates on the depolarized mitochondrial surface, where it phosphorylates Ser65 in both ubiquitin and the ubiquitin ligase Parkin for the activation and recruitment of Parkin onto depolarized mitochondria. Then, activated Parkin ubiquitinates outer mitochondrial membrane proteins to trigger mitophagy (for references see Pickrell and Youle 2015).

A key step in mitophagy is the specific engulfment of damaged mitochondria by autophagosomes. There are a number of mitophagy receptors that interact through their LIRs (LC3-interacting regions) with autophagosome-associated LC3 (Atg8 in yeast), such as Atg32 in yeast and p62, NBR1, NDP52, TAX1BP1, OPTN (optineurin), Bnip3, Nix (also known as Bnip3L), and FUNDC1 (FUN14 domain-containing protein 1) in mammalian cells (Birgisdottir et al. 2013). Among these receptors, NDP52 and OPTN have been reported to be essential for the PINK1/Parkin pathway (Heo et al. 2015; Lazarou et al. 2015).

Similar to autophagy, mitophagy occurs at the ER-mitochondria contact site. In yeast, the ERMES complex, which tethers the ER and mitochondria (Kornmann et al. 2009), is colocalized with the sites of mitophagosome biogenesis and thereby affects the formation of the isolation membrane (Böckler and Westerman 2014). In mammalian cells, the contact regions between the ER and impaired mitochondria are the initiation sites for local LC3 recruitment and mitochondrial remodeling that support bit-by-bit Parkin-mediated mitophagy (Yang and Yang 2013). A recent study demonstrated that, following mitophagic stimuli, PINK1 relocates to the MAM where it recruits beclin1, a key component of the PI3-kinase complex, for the production of PI3P (Gelmetti et al. 2017). Gp78, a MAM-localized ubiquitin ligase, participates in Parkin-independent mitophagy (Fu et al. 2013). There seems to be no report demonstrating that Nix functions at the ER-mitochondria contact site for mitochondria clearance during blood cell development, but this protein localizes to both the ER and mitochondria and mediates the



**Fig. 3.2** FUNDC1 mediates mitophagy by interacting with Drp1 and LC3. Under normoxia, FUNDC1 binds to calnexin (CNX) through unknown protein(s) (X). The LIR of FUNDC1 is masked by CK2-mediated phosphorylation. In response to hypoxia, FUNDC1 at Ser13 is dephosphorylated by PGAM5, and the dephosphorylated protein promotes mitochondrial fission by interacting

with Drp1 (D) and then mediates the incorporation of fragmented mitochondria into autophagosomes by acting as a receptor for LC3 attached to autophagosomal membranes. Phosphorylation of FUNDC1 at Ser17 by ULK1 also promotes the interaction of FUNDC1 with LC3

cross-talk between the two organelles in apoptosis (Diwan et al. 2009).

#### 3.3.4.2 FUNDC1-Dependent Mitophagy

The process of mitophagy involving FUNDC1 has been extensively studied, and evidence suggests that it functions at the ER-mitochondria contact site (Fig. 3.2). FUNDC1, a mitochondrial outer membrane protein containing a LIR (LC3-interacting region), participates in hypoxia-induced mitophagy (Liu et al. 2012) and its expression is stimulated in response to hypoxia (Li et al. 2014). Under normoxia or in healthy mitochondria, Tyr18 and Ser13 in FUNDC1 are phosphorylated by Src and casein kinase 2 (CK2), respectively, which prevents the interaction between the LIR of FUNDC1 and LC3 (Liu et al. 2012; Chen et al. 2014). During hypoxia or in depolarized mitochondria, Ser13 is dephosphorylated by the mitochondrial protein phosphatase PGAM5, which allows FUNDC1 to associate with LC3, thus leading to the sequestration of dysfunctional mitochondria into autophagosomes. The phosphatase activity of PGAM5 is regulated by the anti-apoptotic protein Bcl-XL (Wu et al. 2014b).

During mitophagy, the ULK1 complex, a key enzyme for the progression of autophagy, translocates to mitochondria and phosphorylates FUNDC1 at Ser17, favoring the interaction of FUNDC1 with LC3 and therefore promoting the progression of mitophagy (Wu et al. 2014a). A recent study provided compelling evidence that FUNDC1 functions at the ER-mitochondria interface. At the early stage of hypoxia, FUNDC1 increasingly accumulates at the MAM through association with MAM-localized calnexin via unknown protein(s). Then, FUNDC1 dissociates from calnexin and functions as a Drp1 receptor/adaptor, promoting the fission of mitochondria necessary for the sequestration of mitochondria into autophagosomes (Wu et al. 2016).

Mitophagy is not the only process to eliminate damaged mitochondrial components. In response to oxidative stress, oxidized components of the mitochondrial matrix and inner membrane are sorted, incorporated into MDVs (mitochondrial-derived vesicles) in a PINK1/Parkin-dependent manner, and then delivered to lysosomes (Soubannier et al. 2012; McLelland et al. 2014). The fusion of MDVs with lysosomes is mediated



by syntaxin 17 associated with MDVs, SNAP29, and VAMP7 in a HOPS complex-dependent manner (McLelland et al. 2016). The membrane fusion mechanism of this system is very similar to that of autophagy except that VAMP7 is used instead of VAMP8. The involvement of the MAM in this system remains to be examined in future studies.

### 3.4 Concluding Remarks

Recent studies have highlighted the involvement of the ER in the regulation of mitochondrial dynamics and the interplay between the ER and mitochondria in autophagy. These processes are mediated in part by multifunctional proteins, such as syntaxin 17. Under nutrient-rich conditions, syntaxin 17 regulates Drp1 (Arasaki et al. 2015), whereas, under starvation, it mediates the recruitment of PI3-kinase complex to omegasomes at the onset of autophagy (Hamasaki et al. 2013) and catalyzes the fusion of autophagosomes with lysosomes in a late stage of autophagy (Itakura et al. 2012). Future studies should reveal the mechanism by which such proteins can change the functions and binding partners of proteins. Perhaps, posttranslational modifications such as phosphorylation-dephosphorylation play important roles in these changes. Another important question to be answered is how proteins and lipids are transferred between the ER, mitochondria, and autophagosomes. Here, it should be noted that cytochrome b5 and syntaxin 17, both of which translocate from mitochondria/MAM to autophagosomes, have a hairpin-like hydrophobic structure that is embedded only in the cytoplasmic leaflet of the membrane. Because of this feature, the energy barrier to escape from the membrane may be low, which allows these proteins to transit between organelle membranes. The raft-like structure of the MAM (Garofalo et al. 2015) may underpin this movement.

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# Endoplasmic Reticulum-Mitochondria Communication Through Ca<sup>2+</sup> Signaling: The Importance of Mitochondria-Associated Membranes (MAMs)

# 4

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## Abstract

The execution of proper Ca<sup>2+</sup> signaling requires close apposition between the endoplasmic reticulum (ER) and mitochondria. Hence, Ca<sup>2+</sup> released from the ER is “quasi-synaptically” transferred to mitochondrial matrix, where Ca<sup>2+</sup> stimulates mitochondrial ATP synthesis by activating the tricarboxylic acid (TCA) cycle. However, when the Ca<sup>2+</sup> transfer is excessive and sustained, mitochondrial Ca<sup>2+</sup> overload induces apoptosis by opening the mitochondrial permeability transition pore. A large number of regulatory proteins reside at mitochondria-associated ER membranes (MAMs) to maintain the optimal distance between the organelles and to coordinate the functionality of both ER and mitochondrial Ca<sup>2+</sup> transporters or channels. In this chapter, we discuss the different pathways involved in the regulation of ER-mitochondria Ca<sup>2+</sup> flux and describe the activities of the various Ca<sup>2+</sup> players based on their primary intra-organelle localization.

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## Keywords

Endoplasmic reticulum (ER) • Mitochondria • Mitochondria associated membranes (MAMs) • Calcium • ROS • ER-mitochondria contact sites • Cell death • Apoptosis • Autophagy

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## 4.1 Introduction

The endoplasmic reticulum (ER) and the mitochondrion in living cells are two essential organelles with roles that are classically quite distinct. Evidence has been accruing over the years that points to a specific interplay and cooperation between these compartments that is essential for several cellular functions, such as  $\text{Ca}^{2+}$  signaling, lipid metabolism, autophagy, inflammation, cell survival, and cell death (Decuyper et al. 2011; Lamb et al. 2013; Marchi et al. 2014; Vance 2014; Patergnani et al. 2015). These close appositions between the ER and mitochondria represent a site where microdomains with a high  $\text{Ca}^{2+}$  concentration ( $[\text{Ca}^{2+}]$ ) are generated upon  $\text{IP}_3$ -mediated  $\text{Ca}^{2+}$  release (Rizzuto et al. 1998).  $\text{Ca}^{2+}$  accumulation in the mitochondrial matrix occurs through the mitochondrial calcium uniporter (MCU) and by the membrane potential ( $\Delta\Psi_m$ ) that exists across the inner mitochondrial membrane (IMM) (Marchi and Pinton 2014). The MCU has a low  $\text{Ca}^{2+}$  affinity ( $K_d$  approximately 10–20  $\mu\text{M}$ ), and thus, the  $\text{Ca}^{2+}$  uptake rate, particularly under resting conditions, is extremely slow (Gunter and Gunter 2001). Based on this consideration, it was long assumed that mitochondrial  $\text{Ca}^{2+}$  uptake was of little importance in cell physiology. Although initial experiments suggested that mitochondrial  $[\text{Ca}^{2+}]$  ( $[\text{Ca}^{2+}]_m$ ) could increase up to  $\sim 10 \mu\text{M}$ , subsequent research revealed that  $[\text{Ca}^{2+}]_m$  may in fact transiently reach near mM levels in different systems (Montero et al. 2000). It is the ER-mitochondria connection that allows for fast uptake of a large amount of  $\text{Ca}^{2+}$  inside the mitochondrial matrix, where the rapid diffusion of ions within it permits the swift tuning of mitochondrial metabolism to address the needs of the cell (Rimessi et al. 2008). ER-mitochondria  $\text{Ca}^{2+}$  transfer is fundamental to ensure the cellular energy supply by modulating key enzymes involved in mitochondrial ATP production (Bonora et al. 2012). However, excessive transfer of ER  $\text{Ca}^{2+}$  to mitochondria is a pro-apoptotic signal with important consequences for cell fate (Giorgi

et al. 2012). In other words, ER-mitochondria connections are relevant for cell survival, and the maintenance of the proper spacing between the ER and mitochondria appears critical for proper cell functioning (Naon and Scorrano 2014). Tomography analysis has shown that tethers of  $\sim 10 \text{ nm}$  or  $\sim 25 \text{ nm}$  adjoin the two organelles, depending on whether smooth ER and/or rough ER are implicated (Csordas et al. 2006). These sites of contact are typically termed mitochondria-associated ER membranes (MAMs) (Giorgi et al. 2015c).

The development and expression of ER-mitochondria artificial linkers revealed that the length of the tethers is strategic. Using rapamycin-inducible fluorescent inter-organelle linkers, Csordas et al. (Csordas et al. 2010) elegantly demonstrated that the spatial relationship between the ER and the outer mitochondrial membrane (OMM) is a critical factor in the efficient transfer of  $\text{Ca}^{2+}$  and is likely to affect the other functions of the junction in various ways. The distance between the ER and the OMM may vary in different pathophysiological situations. In response to apoptotic agents, the ER-mitochondria gap narrows (Csordas et al. 2006). Also, during the early phases of ER stress, the number of ER-mitochondria contacts increases and their  $\text{Ca}^{2+}$  transfer is enhanced, helping the cell to overcome this emergency state through the modulation of key mitochondrial metabolic events (Bravo et al. 2011, 2012). These observations reflect the extremely dynamic nature of MAMs, which is now generally assumed to be a strategic intracellular platform that employs  $\text{Ca}^{2+}$  flux to regulate a wide range of biological processes (Naon and Scorrano 2014). For example, ER-mitochondria connections are essential for modulating mitochondrial fission. In the close appositions between the ER and mitochondria, organelle constriction occurs via a  $\text{Ca}^{2+}$ -dependent mechanism that involves recruitment of the cytosolic dynamin-related protein 1 (Drp1) and the mitochondria-associated membrane protein syntaxin17 (Friedman et al. 2011; Arasaki et al. 2015). Mitochondrial fission, in turn, is an

essential event that is involved in mitochondrial network shaping, and it is required to generate small organelles to be transported or to facilitate the removal of damaged organelles by a selective form of autophagy, termed mitophagy (Haroon and Vermulst 2016). Bulk autophagy directly depends on ER-mitochondria juxtaposition. Not only does the ER membrane supply material for the formation of autophagosomes (Tooze et al. 2010), but the ER-mitochondria contacts may also be the specific regions where autophagosomes start to form, due to the MAM localization of the pre-autophagosomal ER protein Atg14 (Hamasaki et al. 2013; Lamb et al. 2013).

Therefore, the correct organization, the mutual interactions between the ER and mitochondria, and their  $\text{Ca}^{2+}$  crosstalk are linked events aimed at coordinating important functions of the two organelles, and these events determine key aspects of cell fate. For these reasons, a huge number of proteins are gathered at the ER-mitochondria interface to regulate MAM dynamics, thereby preserving the intracellular equilibrium. Indeed, alterations in both MAM architecture and composition lead to different pathological conditions, which in many cases are accompanied by a drastic dysregulation of the intracellular  $\text{Ca}^{2+}$  homeostasis and dynamics (Patergnani et al. 2011). In this chapter, we will discuss the different pathways controlling  $\text{Ca}^{2+}$  flux from the ER to the mitochondria and their impact on the physiological state of the cell, and the activities of the various  $\text{Ca}^{2+}$  players will be distinguished based on their primary intra-organellar localization.

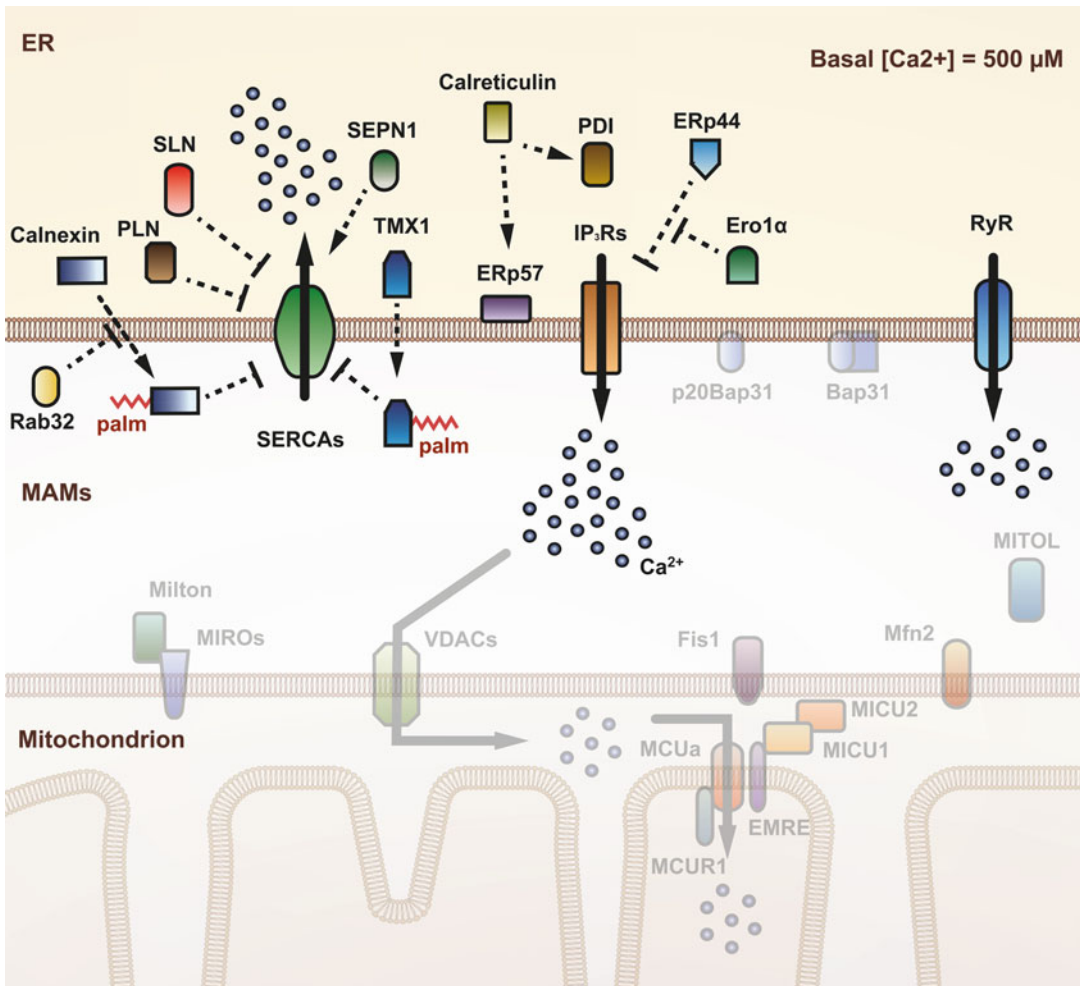
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## 4.2 $\text{Ca}^{2+}$ Signaling on the ER Side

The ER is the largest store of  $\text{Ca}^{2+}$  inside the cell. In resting condition, the ER may contain hundreds of  $\mu\text{M}$  free  $\text{Ca}^{2+}$  (in order of magnitude, nearly three- to fourfold higher compared to the cytosol) (Hofer and Schulz 1996; Bonora et al. 2013). Specific  $\text{Ca}^{2+}$  pumps and channels operate to maintain the correct luminal  $[\text{Ca}^{2+}]$  by executing the correct balance between ER  $\text{Ca}^{2+}$

uptake and release (Ashby and Tepikin 2001) (Fig. 4.1).

ER  $\text{Ca}^{2+}$  uptake is exclusively performed by the sarco-/endoplasmic reticulum  $\text{Ca}^{2+}$ -ATPase (SERCA) pumps, which actively pump  $\text{Ca}^{2+}$  into the ER in an ATP-dependent manner (Vandecaetsbeek et al. 2011). Three SERCA genes (ATP2A1, ATP2A2, and ATP2A3) are present in the human genome. They generate various splice variants that differ in their C-terminal regions, and their expression is dependent on tissue type and development stage (Papp et al. 2012). Generally, SERCA1a and 1b are widely present in adult and neonatal skeletal muscle. The isoform 2a is highly expressed in cardiomyocytes, while SERCA2b is ubiquitously expressed, functioning as the housekeeping isoform. Finally, SERCA3 is the least studied and gives rise to six isoforms. Among the different SERCAs, the 2b isoform displays the highest  $\text{Ca}^{2+}$  affinity and, thus, is the main isoform involved in  $\text{Ca}^{2+}$  uptake in the ER in virtually all cells, except skeletal and cardiac muscle. Because SERCAs are the only pumps regulating ER  $\text{Ca}^{2+}$  entry, it is not surprising that their activity mediates a wide range of cellular functions controlled by proper ER  $\text{Ca}^{2+}$  homeostasis, including protein folding, lipid and steroid synthesis, and cell death and survival processes like proliferation, apoptosis, growth, and differentiation (Vandecaetsbeek et al. 2011). These functions are regulated by the luminal ER  $[\text{Ca}^{2+}]$  ( $[\text{Ca}^{2+}]_{\text{ER}}$ ) and by intracellular  $\text{Ca}^{2+}$  peaks and oscillations. SERCAs influence the amplitude, the shape, and the frequency of these modulatory events. Their  $\text{Ca}^{2+}$ -sequestering activity is regulated by several physiological actors, such as i) proteins, ii) posttranslational modifications, and iii) microRNAs (miRNAs) (Harada et al. 2014; Melo et al. 2015). Their activity can also be modulated by natural compounds and pharmacological tools that either inhibit SERCA like thapsigargin, BHQ, and CPAE (Lytton et al. 1991; Vangheluwe et al. 2009) or promote SERCA like CDN1163 (Kang et al. 2016). Important biological modulators of SERCA are phospholamban (PLN), sarcolipin (SLN), calreticulin, calnexin, TMX1, and ORMD1.



**Fig. 4.1**  $\text{Ca}^{2+}$  homeostasis at the ER-mitochondria interface. Overview on the multiple molecular pathways acting at the ER side (See Sect. 4.2 for details)

Briefly, PLN regulates SERCA function through direct protein-protein interactions (Vittorini et al. 2007). Additionally, SLN directly interacts with SERCAs, and it has been demonstrated to modulate SERCA activity by lowering both the  $\text{Ca}^{2+}$  affinity and  $\text{Ca}^{2+}$  pumping rate (Asahi et al. 2003). Another protein that regulates SERCAs is selenoprotein N (SEPN1). This redox-sensitive protein is able to bind SERCAs and enhances their ER  $\text{Ca}^{2+}$  uptake activity. This feature was found sufficient to safeguard cells against reactive oxygen species (ROS) produced during oxidative protein folding (Marino et al. 2015). Also, chaperones like calreticulin and calnexin have

been identified as functional SERCA interactors and modulators. Calreticulin and calnexin were proposed to inhibit SERCA based on their inhibitory impact on high-frequency  $\text{Ca}^{2+}$  waves in *Xenopus* oocytes (Camacho and Lechleiter 1995; Roderick et al. 2000). Further work however revealed that overexpression of calreticulin elevated steady-state  $[\text{Ca}^{2+}]_{\text{ER}}$  and increased the ER refilling rates (Arnaudeau et al. 2002). Finally, it has been reported recently that the ER luminal protein disulfide isomerase TMX1 is a strong SERCA inhibitor. In fact, a lack of TMX1 led to an increased ER  $\text{Ca}^{2+}$  uptake rate and an increase in ER  $\text{Ca}^{2+}$  storage due to



enhanced ER  $\text{Ca}^{2+}$  uptake activities of SERCA2b (Krols et al. 2016; Raturi et al. 2016). Another SERCA interactor and inhibitor besides TMX1 is the ER-resident, transmembrane protein ORMDL3, an asthma-associated gene product (Cantero-Recasens et al. 2010). Modulation of its expression levels implicates steady-state ER and cytosolic  $\text{Ca}^{2+}$  levels and the activation of UPR components.

As reported above, the ER also works as the main source of releasable  $\text{Ca}^{2+}$  in the cells. Many stimuli induce  $\text{Ca}^{2+}$  release, but two channel families mainly control the ER  $\text{Ca}^{2+}$ -release program: ryanodine receptors (RyRs) and inositol 1,4,5-trisphosphate ( $\text{IP}_3$ ) receptors ( $\text{IP}_3\text{Rs}$ ) (Foskett et al. 2007; Parys and De Smedt 2012; Amador et al. 2013; Van Petegem 2015). RyRs and  $\text{IP}_3\text{Rs}$  form large tetrameric channels (2 MDa and 1.2 MDa in size, respectively) displaying structural and functional homology. RyRs and  $\text{IP}_3\text{Rs}$  are each encoded by three different genes leading for each to the expression of three isoforms. RyR1 is predominantly expressed in skeletal muscle and RyR2 in cardiac muscle and brain, while RyR3 is expressed at low levels in various tissues. With respect to the  $\text{IP}_3\text{Rs}$ , most cell types express a combination of  $\text{IP}_3\text{R1}$ ,  $\text{IP}_3\text{R2}$ , and  $\text{IP}_3\text{R3}$  in various proportions (Vermassen et al. 2004; Ivanova et al. 2014). There is only one known biological/physiological activator of the  $\text{IP}_3\text{R}$ , i.e.,  $\text{IP}_3$  produced by phospholipase C after activation of G protein-coupled receptors or receptor tyrosine kinases by various stimuli, including growth factors and hormones (Foskett et al. 2007; Parys and De Smedt 2012). RyRs however can be activated through conformational coupling to voltage-operated  $\text{Ca}^{2+}$  channels, by direct activation by  $\text{Ca}^{2+}$  or in some cases by the second messengers cADPR and NAADP (Gerasimenko et al. 2006). The  $\text{Ca}^{2+}$  itself is for both the RyRs and the  $\text{IP}_3\text{Rs}$ , a very important regulator which acts in a biphasic way, whereby a low  $[\text{Ca}^{2+}]$  activates the channels while a high  $[\text{Ca}^{2+}]$  has an inhibitory action. Finally, RyRs and  $\text{IP}_3\text{Rs}$  are regulated by phosphorylation/dephosphorylation and by multiple regulatory proteins, some of them being further explicated below. These

$\text{IP}_3\text{R}$  channels are implicated in a plethora of physiological processes, including fertilization, lymphocyte activation, brain rhythms and synaptic plasticity, memory formation, endocrine, and exocrine gland function, and their dysregulation underlies pathophysiological conditions, like neurodegenerative diseases like Alzheimer's disease, Huntington's disease and amyotrophic lateral sclerosis, autism spectrum disorders, bipolar disorder, epilepsy, schizophrenia, spinocerebellar ataxia, cancer, cardiac dysfunction, and hypertrophy (Berridge 2016). Also RyR channels control different physiological functions, mainly related to skeletal and cardiac muscle contraction features (Van Petegem 2012). However, RyR dysregulation has also been implicated in neurodegenerative diseases like Alzheimer's disease (Briggs et al. 2017; Popugaeva et al. 2017) and malignancies like breast cancer (Zhang et al. 2011b).

$\text{Ca}^{2+}$  influx into the cytosol also occurs through ORAI and TRP channels, present in the plasma membrane, in a mechanism dependent on  $\text{IP}_3\text{R}$  opening. In fact, when  $[\text{Ca}^{2+}]_{\text{ER}}$  decreases during  $\text{IP}_3$ -induced  $\text{Ca}^{2+}$  release, stromal interaction molecules 1 and 2 (STIM1 and 2) are activated and, in turn, induce opening of ORAIs and, finally, lead to the so-called capacitative or store-operated  $\text{Ca}^{2+}$  influx from the extracellular space (Prakriya and Lewis 2015). As a result of this increased intracellular  $[\text{Ca}^{2+}]$ , key  $\text{Ca}^{2+}$ -dependent proteins (such as calpains and calmodulins) are activated, and various  $\text{Ca}^{2+}$ -dependent cellular processes are initiated (Berridge 2016). Several proteins control the activity of the  $\text{Ca}^{2+}$ -release mechanism mediated by  $\text{IP}_3\text{Rs}$  (Fig. 4.1). For example, the ER-resident oxidoreductases Ero1 $\alpha$  and ERp44 modulate ER  $\text{Ca}^{2+}$  release by direct interaction with  $\text{IP}_3\text{Rs}$  in a redox-sensitive manner (Higo et al. 2005; Anelli et al. 2012). Specifically, the ERp44 chaperone, an ER luminal protein of the thioredoxin family, directly inhibits  $\text{Ca}^{2+}$  release (which reinforces  $\text{Ca}^{2+}$ -dependent chaperones) by inactivating the channel activity of the  $\text{IP}_3\text{R}$  in a pH-, redox-state-, and  $[\text{Ca}^{2+}]_{\text{ER}}$ -dependent manner (Higo et al. 2005). Furthermore, ERp44 mediates Ero1 $\alpha$  localization through the formation of reversible



mixed disulfides (Anelli et al. 2003). Ero1 $\alpha$  is an ER-resident protein that localizes also at MAMs, and its siRNA-mediated downregulation slightly reduced the association between IP<sub>3</sub>R and ERp44, suggesting that Ero1 $\alpha$  might have further roles in assembling and/or maintaining MAM integrity (Anelli et al. 2012).

It is very clear that a large number of proteins regulate SERCA pumps and IP<sub>3</sub>R channels to maintain the appropriate [Ca<sup>2+</sup>]<sub>ER</sub>. This is because Ca<sup>2+</sup> signals originating from the ER are leading to Ca<sup>2+</sup> oscillations, associated with several cellular processes. As mentioned in the “Introduction” section, Ca<sup>2+</sup> release from the ER is one of the main determinants for mitochondrial homeostasis. In fact, basal Ca<sup>2+</sup> oscillations modulate mitochondrial metabolism of ATP production, while sustained or excessive Ca<sup>2+</sup> release may lead to cell death. As a demonstration of this feature, dysregulation of Ca<sup>2+</sup> flux is involved in several human disorders (Patergnani et al. 2015).

The maintenance of proper ER Ca<sup>2+</sup> homeostasis also controls an elaborate surveillance system called the ER quality control (ERQC) system. In fact, inside the ER lumen a series of chaperones exists, which are involved in ERQC for the correct folding of ER proteins, and their functions may vary depending on changes in Ca<sup>2+</sup> concentration (Fig. 4.1). The Ca<sup>2+</sup>-binding proteins calreticulin, ERp57, and protein disulfide isomerase (PDI) are classical examples of this family. In fact, PDI directly interacts with calreticulin when the [Ca<sup>2+</sup>]<sub>ER</sub> is lower than 400  $\mu$ M, whereas the complex dissociates upon higher [Ca<sup>2+</sup>] (Baksh et al. 1995). Conversely, ERp57-calreticulin is insensitive to variations in [Ca<sup>2+</sup>], but it is dependent on Ca<sup>2+</sup> binding by calreticulin, showing a direct role for Ca<sup>2+</sup> in the regulation and maintenance of structural and functional complexes involved in protein turnover and synthesis (Michalak et al. 2009). Interestingly, another member of the PDI family, calnexin, plays a dual role based on its localization. At resting conditions, calnexin is highly palmitoylated (“palm” in Figs.), which leads to an increase of its localization at MAMs and regulation of Ca<sup>2+</sup> signaling through its interaction with SERCA2b causing its activation. During the

early, adaptive phases of ER stress, calnexin becomes depalmitoylated, primarily acting at the rough ER and employing its quality control functions (Lynes et al. 2012, 2013). The MAM localization of calnexin however not only depends on a specific palmitoylation event but also on the phosphorylation state of its cytosolic domain, on its interaction with phosphofurin acidic cluster sorting protein 2 (PACS2) (Myhill et al. 2008), and on the activity of the ER Rab protein Rab32 (Bui et al. 2010). The GTPase Rab32 localizes to the ER and mitochondria, and it has been identified as a regulator of MAM properties that modulate ER Ca<sup>2+</sup> handling and disrupt the specific enrichment of calnexin in MAMs. However, it does not affect the ER distribution of PDI and mitofusin-2 (Bui et al. 2010).

Ca<sup>2+</sup> ions are also important for the correct maintenance of ER structure. This was first reported in starfish eggs and then confirmed in human cells, where the ER continuity was affected by elevation of intracellular Ca<sup>2+</sup> levels (Terasaki et al. 1996). Interestingly, this feature seemed to be regulated by protein kinase C (Ribeiro et al. 2000), which was already found to be involved in Ca<sup>2+</sup> homeostasis, regulation of cellular processes, and modulation of important proteins and kinases (Pinton et al. 2007). In addition, the authors found that the high [Ca<sup>2+</sup>] registered did not induce ER fragmentation, only rearrangements of the ER network (Ribeiro et al. 2000). Overall, these findings suggest that Ca<sup>2+</sup> dynamics regulate ER function without promoting pathological effects.

Notably, the ER continuously exchanges protein and lipid components with the Golgi apparatus, which is also regulated by Ca<sup>2+</sup>. One example may be found in thyroglobulin protein that, once synthesized and modified in the ER, is exported to the Golgi apparatus (Di Jeso et al. 2003). By treating thyroid differentiated cells with Ca<sup>2+</sup> ionophores or specific inhibitors of ER channels and pumps, transport between the ER and the Golgi apparatus is blocked (Di Jeso et al. 1998). Intriguingly, it is not only the transport from the ER to the Golgi that seems to be regulated by Ca<sup>2+</sup> levels but also transport from the Golgi to the ER, and intra-Golgi transport is

highly dependent on intracellular  $\text{Ca}^{2+}$  variations. Moreover, the existence of a local  $\text{Ca}^{2+}$  gradient between the ER, the cytoplasm, and the Golgi apparatus has been demonstrated (Wahl et al. 1992). These findings underline the important role of intracellular  $\text{Ca}^{2+}$  in the trafficking of material between the ER and the Golgi and suggest that the mitochondrial compartment is not the only organelle likely to receive  $\text{Ca}^{2+}$  signals from the ER.

### 4.3 $\text{Ca}^{2+}$ Signaling on the Mitochondrial Side

Mitochondria play a key role in many cell functions through the regulation of  $\text{Ca}^{2+}$  signaling. The increase in mitochondrial  $\text{Ca}^{2+}$  uptake activates several dehydrogenases and carriers, inducing an increase in the respiratory rate,  $\text{H}^+$  extrusion, and ATP production necessary for the proper energy state of the cell (Rizzuto et al. 2012). As a matter of fact, overexpression of isoforms of the mitochondrial aspartate/glutamate carrier (AGC) promotes ATP production during agonist-triggered  $\text{Ca}^{2+}$  increases, revealing that AGC plays an important role in decoding  $\text{Ca}^{2+}$  signals in the activation of mitochondrial oxidative metabolism (Lasorsa et al. 2003).

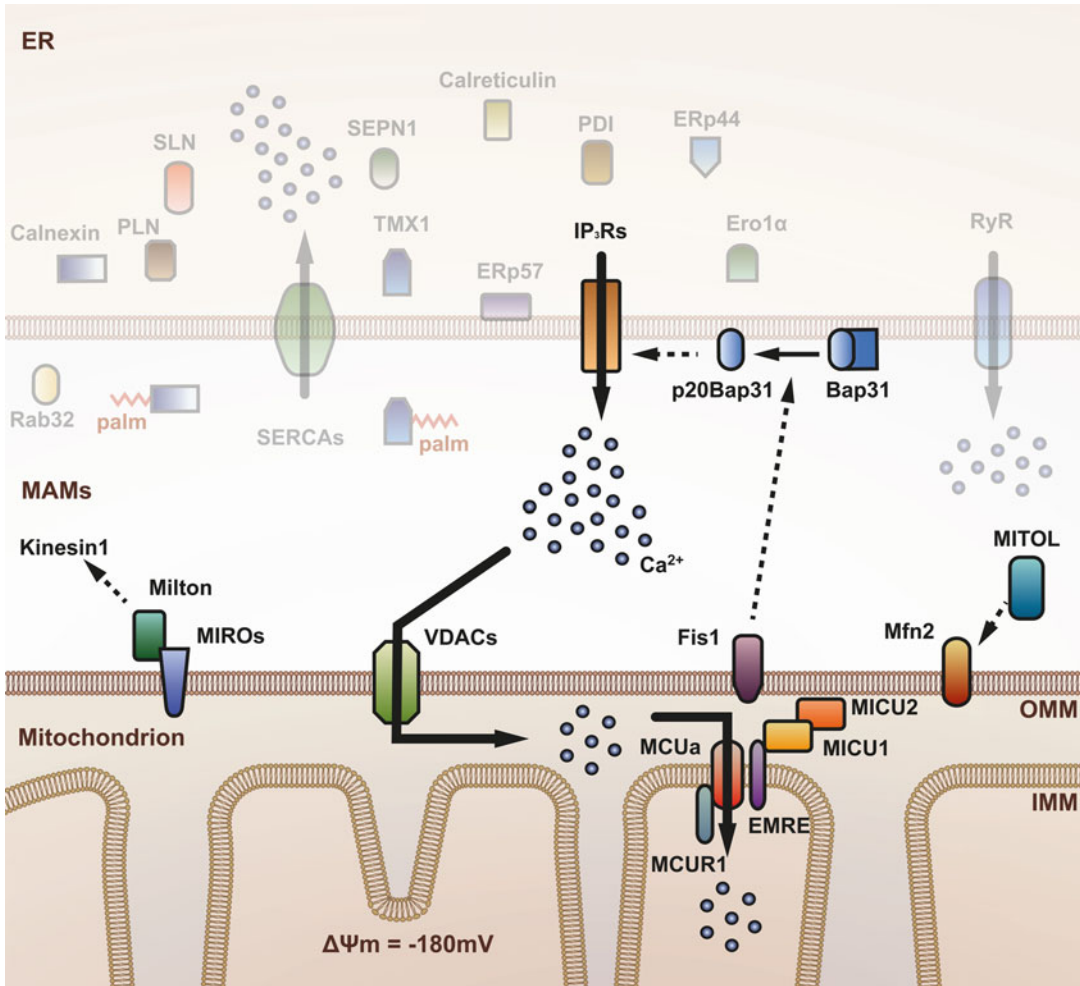
However, prolonged increase in  $[\text{Ca}^{2+}]_m$  leads to opening of the mitochondrial permeability transition pore (PTP) (Halestrap 2014; Jonas et al. 2015; Morciano et al. 2015), a critical event that leads to cell death by apoptosis (Rizzuto et al. 2012; Bonora et al. 2015).

As stated previously, mitochondria can rapidly achieve a high  $[\text{Ca}^{2+}]_m$  due to (1) the presence of a driving force for  $\text{Ca}^{2+}$  generated by a  $\Delta\Psi_m$  of  $-180$  mV under physiological conditions, (2) the formation of a large number of  $\text{Ca}^{2+}$  microdomains at the ER-mitochondria interface, and (3) the existence of a  $\text{Ca}^{2+}$ -selective channel, termed the MCU complex, that is able to receive the  $\text{Ca}^{2+}$  signals originating from the ER (Fig. 4.2). Electrophysiological studies have shown that the uniporter is an ion channel with strikingly high conductance and selectivity (Kirichok et al. 2004). MCU is part of the

uniporter holocomplex, which is also composed of two membrane proteins, MCUB and EMRE, which is regulated by MICU1 and MICU2 (Foskett and Philipson 2015; Kamer and Mootha 2015; Raffaello et al. 2016). Overexpression of MCUB reduces the amplitude of the transient mitochondrial  $\text{Ca}^{2+}$  response evoked by agonist stimulation, whereas MCUB silencing achieves the opposite effect, indicating that it acts as a dominant-negative subunit that reduces the uniporter channel activity (Raffaello et al. 2013). The role of EMRE in the regulation of MCU activity is dual: (1) it was suggested to be necessary for MCU channel activity, and indeed, its silencing abrogates  $\text{Ca}^{2+}$  entry into mitochondria (Sancak et al. 2013), and (2) it was required for the interaction of MCU with the regulatory subunits MICU1 and MICU2 (Tsai et al. 2016). Moreover, MCU complex is regulated by miRNAs (Marchi et al. 2013; Pan et al. 2015; Hong et al. 2017), underlining its role in multiple physiopathological contexts, or could be subjected to posttranslational modifications, such as phosphorylations (Joiner et al. 2012; O-Uchi et al. 2014) or methylations (Madreiter-Sokolowski et al. 2016). These recent observations confirm that mitochondrial  $\text{Ca}^{2+}$  homeostasis could be shaped by the wide molecular panel of intracellular transducers (Pinton et al. 2004).

The composition of the MCU complex has not yet been fully defined. Several proteins have been proposed to be part of it. One of the most characterized is an IMM integral protein, named MCUR1. Indeed, MCUR1 was initially shown as a regulator of the MCU complex (Mallilankaraman et al. 2012a), interacting with MCU and EMRE but not with MICU1 or MICU2, thereby functioning as a scaffolding factor (Vais et al. 2015; Tomar et al. 2016). However, MCUR1 was not identified by mass spectrometry of affinity-purified MCU complexes (Sancak et al. 2013), and it has been proposed as a cytochrome c oxidase assembly factor (Paupe et al. 2015).

The very low affinity of the MCU complex for  $\text{Ca}^{2+}$  depends on the activity of the MICU1 and MICU2 subunits, which localize at the



**Fig. 4.2** Ca<sup>2+</sup> homeostasis at the ER-mitochondria interface. Overview on the multiple molecular pathways acting at the mitochondrial side (See Sect. 4.3 for details)

mitochondrial intermembrane space and sense the cytoplasmic Ca<sup>2+</sup> through their EF-hand domains, thus regulating the open/closed state of the whole uniporter complex (Patron et al. 2014). At resting conditions (cytoplasmic [Ca<sup>2+</sup>] < 500 nM), the MICU1-2 dimer maintains the complex in a closed state, preserving it from the continuous accumulation of Ca<sup>2+</sup> inside the matrix and thus avoiding Ca<sup>2+</sup>-mediated detrimental effects, such as ROS production and PTP opening (Mallilankaraman et al. 2012b; Csordas et al. 2013). However, at high cytoplasmic Ca<sup>2+</sup> levels ([Ca<sup>2+</sup>] > 1–2 μM) or during agonist stimulation, the MICU1–2 dimer undergoes

conformational changes that allow opening of the channel, ensuring a prompt and complete mitochondrial Ca<sup>2+</sup> response. The “high [Ca<sup>2+</sup>] microdomains theory” implies that the MCU complexes along the IMM should distribute at ER-mitochondria associations to promote effective Ca<sup>2+</sup> transfer. Indeed, De La Fuente et al. recently showed that in cardiac mitochondria the MCU complexes were enriched in the IMM-OMM contact sites, positioned more to the mitochondrial periphery than inside the cristae, indicating high accessibility to cytoplasm-derived Ca<sup>2+</sup> inputs (De La Fuente et al. 2016). In other words, mitochondrial Ca<sup>2+</sup> channels are

close to IP<sub>3</sub>Rs and RYRs on the ER or sarcoplasmic reticulum. Therefore, high [Ca<sup>2+</sup>] “hotspots” ([Ca<sup>2+</sup>] > 10 μM) can be formed transiently in these regions of close apposition between the two organelles.

The conformational coupling between the Ca<sup>2+</sup> channels of the two organelles highlights the importance of the macromolecular complexes located in the MAMs for their functional interaction (Fig. 4.2). In this respect, the OMM, although traditionally considered freely permeable, is a critical determinant of mitochondrial Ca<sup>2+</sup> accumulation (Rapizzi et al. 2002). Ca<sup>2+</sup> import across the OMM occurs through the voltage-dependent anion channel (VDAC), the most abundant protein of the OMM. The VDAC protein family consists of three isoforms (VDAC1–3), sharing a 75% sequence similarity. VDACS are expressed in almost all mammalian tissues, but recent studies indicate their nonredundant role in a plethora of cell functions (Naghdi and Hajnoczky 2016). Silencing of either of the VDAC isoforms limits mitochondrial Ca<sup>2+</sup> uptake, but only VDAC1 was found to mediate pro-apoptotic Ca<sup>2+</sup> transfer to mitochondria with consequent cell death (De Stefani et al. 2012; Ben-Hail and Shoshan-Barmatz 2016). In contrast, VDAC2 appears to be the pivotal isoform to locally couple mitochondrial Ca<sup>2+</sup> uptake with RyR-mediated Ca<sup>2+</sup> release in cardiac cells (Shimizu et al. 2015). Further evidence of the role of VDACS was supported by the demonstration of the physical link between VDACS and the IP<sub>3</sub>R. Indeed, the molecular chaperone glucose-regulated protein 75 (GRP75) was demonstrated to mediate the molecular interaction between VDACS and IP<sub>3</sub>Rs, allowing a positive regulation of mitochondrial Ca<sup>2+</sup> uptake (Szabadkai et al. 2006). Small interfering RNA (siRNA) silencing of GRP75 abolishes the functional coupling between IP<sub>3</sub>Rs and VDACS, thereby reducing mitochondrial Ca<sup>2+</sup> uptake in response to agonist stimulation (Szabadkai et al. 2006). Interestingly, thymocyte-expressed, positive selection-associated gene 1 (Tesp1) has been demonstrated to mediate Ca<sup>2+</sup> transfer from

mitochondria-associated ER to mitochondria interacting with GRP75 (Matsuzaki et al. 2013).

A crucial implication of a microdomain-based signaling mechanism is that the positioning and the shape of mitochondria within the cell become critical determinants of their responsiveness to Ca<sup>2+</sup> inputs. Because of this, several mitochondrial proteins involved in the regulation of mitochondrial movement and morphology have also been considered key regulators of MAM integrity and functionality.

Mitochondrial trafficking is regulated by a subfamily of the Ras GTPases, the proteins Miro 1 and 2, which are located at the OMM through a short C-terminal anchor domain and have two EF-hand Ca<sup>2+</sup>-binding domains through which they are able to sense high levels of Ca<sup>2+</sup> (Liu and Hajnoczky 2009). Miro proteins have an important role in tethering the mitochondria to the cytoskeleton by binding a cytoplasmic factor, Milton, which binds the kinesin 1 heavy chain on microtubules (Glater et al. 2006). Miro is proposed to be a Ca<sup>2+</sup> sensor that stops mitochondrial movement in response to increasing Ca<sup>2+</sup> levels. In fact, increased cytoplasmic Ca<sup>2+</sup> levels stop mitochondrial movement, and this effect is suppressed when Miro is depleted or a Miro EF-hand is mutated (Fransson et al. 2003; Saotome et al. 2008).

In addition to the positioning of mitochondria, fusion and fission events regulating the shape of the organelles drastically influenced the mitochondrial Ca<sup>2+</sup> responses (Patron et al. 2013). Recent studies suggest a link between components of mitochondrial dynamics and Ca<sup>2+</sup> signaling. Mitochondrial fission is primarily driven by Drp1, a cytoplasmic protein that is recruited to the mitochondrial membrane, where it circumscribes the OMM as a helical oligomer (Smirnova et al. 2001; Rowland and Voeltz 2012). It is also interesting that high Ca<sup>2+</sup> levels lead to activation of Drp1, which increases mitochondrial fission, cooperating with Miro (Saotome et al. 2008).

It has also been shown that the mitochondrial fission protein fission 1 homolog (Fis1) conveys an apoptotic signal from the mitochondria to the

ER by interacting with Bap31 at the ER. Therefore, Fis1 facilitates the cleavage of Bap31 to its pro-apoptotic form, p20Bap31, promoting the recruitment of procaspase-8. Moreover, this signaling pathway establishes a feedback loop by releasing  $\text{Ca}^{2+}$  from the ER and, consequently, results in  $\text{Ca}^{2+}$  accumulation in mitochondria, amplifying cell death by activating the apoptotic pathway in many mitochondria that are in close proximity to the ER (Iwasawa et al. 2011).

Other mitochondrial dynamin-related GTPases involved in mitochondrial  $\text{Ca}^{2+}$  regulation include mitofusin 1 and 2. In particular, mitofusin 2 (MFN2) is a critical component of the mitochondrial fusion/fission machinery. This OMM profusion protein is also observed in the MAMs where it couples to MFN1 or MFN2 on the mitochondria to physically tether the organelles. Indeed, in 2008 de Brito and Scorrano showed that MFN2 is enriched at contact sites between the ER and mitochondria, regulating ER morphology and directly tethering the two organelles (de Brito and Scorrano 2008). Moreover, the distance between the ER and mitochondria increases in cells lacking MFN2, and this leads to impaired mitochondrial  $\text{Ca}^{2+}$  uptake, further verifying the validity of the  $\text{Ca}^{2+}$  microdomains theory.

The tethering role of MFN2 was confirmed by other laboratories (Chen et al. 2012; Sebastian et al. 2012; Schneeberger et al. 2013), but its function was recently challenged by different experimental approaches. Contrary to previous studies, electron microscopy analyses suggested that loss of MFN2 increased, rather than reduced, ER-mitochondria juxtaposition (Cosson et al. 2012; Filadi et al. 2015). Moreover, it was demonstrated that reduced  $\text{Ca}^{2+}$  transfer in MFN2-knockout cells is the result of a lower expression of MCU and is independent of ER-mitochondria juxtapositions (Filadi et al. 2015). Therefore, they proposed a different role for MFN2 in ER-mitochondria coupling, in which the protein, rather than being a component of the tethering complex, acts as a negative regulator of organelle apposition. However, very recently a critical reappraisal of MFN2's role in the ER-mitochondria connection was published,

supporting previous results and identifying MFN2 as a physical tether between the two organelles in multiple tissues (Naon et al. 2016).

The activity of MFN2 at the ER-mitochondria interface is regulated by a mitochondrial ubiquitin ligase called MITOL (Sugiura et al. 2013). MITOL interacts with mitochondrial MFN2, but not with ER MFN2, and mediates the addition of lysine 63-linked polyubiquitin chains to MFN2 but not its proteasomal degradation. This polyubiquitination event induces MFN2 oligomerization, allowing ER-mitochondria tethering and  $\text{Ca}^{2+}$  uptake in the mitochondria upon stimulation with histamine. The reduction in mitochondrial  $\text{Ca}^{2+}$  uptake that occurs in MITOL-deficient cells highlights its key role as a MAM regulator and confirms the idea that the distance between the ER and mitochondria is crucial for proper  $\text{Ca}^{2+}$  transfer. However, the role of MFN2 at MAM is still highly debated, and new experimental evidence is required for definitively establish its anti- or pro-tethering functions.

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#### 4.4 $\text{Ca}^{2+}$ Signaling at the MAM Interface

MAMs represent the physical association between the ER and mitochondria, an entity with a defined structure and architecture with distinct biochemical properties and a characteristic set of proteins. The MAM fraction was first separated and characterized by J. E. Vance (Vance 1990), who described the isolation from rat liver of a unique membrane, initially termed "fraction X," that was associated with mitochondria and had a high specific activity for several proteins attributed to the ER. After this seminal observation, several biochemical protocols have been described to isolate the MAMs fraction, both from organs and cells, and these studies confirmed that MAMs are composed of membrane fragments from both the ER and the OMM (Wieckowski et al. 2009).

In recent years, different proteomics studies identified the molecular components of the MAMs fraction, starting from human fibroblasts (Zhang et al. 2011a) and mouse brain (Poston et al. 2013), demonstrating that more than 1000



“MAM proteins” reside in this fraction. More recently, Sala-Vila et al. performed a very rigorous high-throughput mass spectrometry-based proteomics characterization of MAMs from mouse liver, identifying 1052 MAM-enriched proteins, which included several Ca<sup>2+</sup> players, such as SERCA2, IP<sub>3</sub>R, and the Ca<sup>2+</sup>-binding mitochondrial carrier SLC25A12 (Sala-Vila et al. 2016). Interestingly, they observed the MAM localization of caveolin 1 (CAV1), and CAV1-deficient cells displayed ER and mitochondrial aberrations, as well as reduced contact sites between the two organelles (Sala-Vila et al. 2016). In line with this evidence, our group showed that in transformed cells, H-RAS<sub>12V</sub> expression was associated with CAV1 downregulation and a drastic alteration in Ca<sup>2+</sup> homeostasis (Rimessi et al. 2014).

Other central players in the ER-mitochondria Ca<sup>2+</sup> flux include a series of chaperones and oxidoreductases, which also localize to the ER/MAMs compartment (Fig. 4.3). In addition to the previously cited Ca<sup>2+</sup>-binding chaperone calnexin (see Sect. 4.2), sigma-1 receptor (Sig-1R) is one of the pivotal Ca<sup>2+</sup> regulators residing at MAMs (Hayashi and Su 2007). Under normal conditions, Sig-1R resides specifically at MAMs and forms a complex with BiP (also named grp78) when the ER Ca<sup>2+</sup> level is 0.5–1 mM. However, when the IP<sub>3</sub>R is activated, the subsequent drop of the [Ca<sup>2+</sup>]<sub>ER</sub> causes the dissociation of Sig-1R from BiP, unleashing the chaperone activity of the receptor. Interestingly, IP<sub>3</sub>R3 seems to be enriched at MAMs (Mendes et al. 2005), and its stabilization by Sig-1R ensures proper Ca<sup>2+</sup> influx into mitochondria.

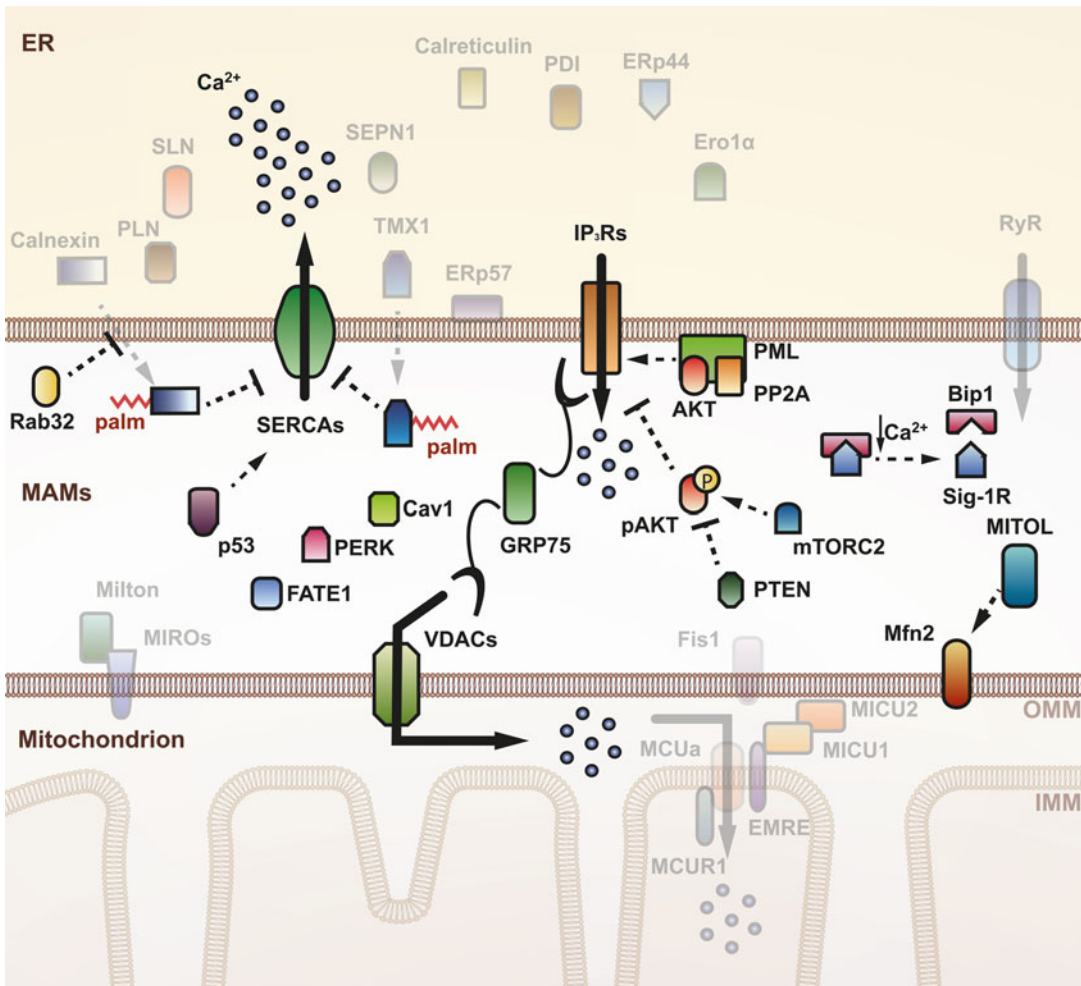
A link between MAMs and Ca<sup>2+</sup> signaling also appears in the context of ER stress-mediated apoptosis. The RNA-dependent protein kinase (PKR)-like ER kinase (PERK), a key ER stress sensor of the unfolded protein response, is uniquely enriched at MAMs (Verfaillie et al. 2012). PERK-knockout cells display an aberrant ER morphology, disturbed Ca<sup>2+</sup> signaling, and weaker contact sites between ER and mitochondria; consequently, PERK likely serves as a structural tether at the ER-mitochondria interface. Collectively, these data highlight that

a conserved MAM structure is indispensable for transmitting Ca<sup>2+</sup> signaling as well as ROS-mediated signals to the mitochondria after ROS-based ER stress.

Ca<sup>2+</sup> homeostasis is fundamental for numerous cellular mechanisms, including cell death; thus, it is not surprising that several oncogenes and tumor suppressors localize at the MAMs, where they play a crucial role in the control of ER-mitochondria Ca<sup>2+</sup> flux, favoring either survival or cell death (Bittremieux et al. 2016) (Fig. 4.3).

A few years ago, our group showed that the promyelocytic leukemia protein (PML), a tumor suppressor known as an essential component of nuclear structures termed PML nuclear bodies, was localized to the ER and MAMs. PML regulates apoptosis by modulating ER Ca<sup>2+</sup> release (Giorgi et al. 2010). In MAMs, PML was found to coordinate a complex that includes IP<sub>3</sub>R3, Akt kinase, and phosphatase PP2A. In the absence of PML, Akt phosphorylation and activity was increased at the ER due to impaired PP2A activity, which resulted in impaired Ca<sup>2+</sup> flux through the IP<sub>3</sub>R because of its Akt-mediated hyperphosphorylated state. Indeed, IP<sub>3</sub>R is a target of Akt kinase activity (Khan et al. 2006; Szado et al. 2008), and Akt activation drastically reduces IP<sub>3</sub>R-dependent ER Ca<sup>2+</sup> release (Marchi et al. 2008; Szado et al. 2008), especially through IP<sub>3</sub>R3 phosphorylation (Marchi et al. 2012). These data have been confirmed by an independent study, which reported the localization of mechanistic target of rapamycin (mTOR) complex 2 (mTORC2) at the MAMs (Betz et al. 2013). The serine/threonine kinase (mTOR) is a pivotal regulator of autophagy and exists in two protein complexes, mTORC1 and mTORC2. The latter complex phosphorylates and activates Akt, which phosphorylates MAMs-resident proteins PACS2, IP<sub>3</sub>R, and hexokinase 2 (HK2) to regulate MAMs integrity, Ca<sup>2+</sup> flux, and energy metabolism, respectively (Betz et al. 2013).

More recently, we demonstrated that MAMs-enriched PML also exerts an important Ca<sup>2+</sup>-dependent role in the autophagic process, through the AMPK/mTOR/Ulk1 pathway (Missiroli et al. 2016). We overexpressed MCU



**Fig. 4.3**  $\text{Ca}^{2+}$  homeostasis at the ER-mitochondria interface. Overview on the multiple molecular pathways acting at the MAMs side (See Sect. 4.4 for further details)

in PML-KO cells to verify whether downregulated ER-mitochondria  $\text{Ca}^{2+}$  transfer is important for the induction of autophagy. We demonstrated that increasing the ability of mitochondria to accumulate  $\text{Ca}^{2+}$  in PML-KO cells suppressed AMPK activity, thereby repressing autophagic flux. These data suggest that PML controls autophagy at MAMs through its effects on  $\text{Ca}^{2+}$  homeostasis and that the loss of PML from MAMs results in autophagy activation, a feature that promotes cell survival under stress conditions and thus facilitates malignant cell growth.

Among tumor suppressors, phosphatase and tensin homolog deleted on chromosome 10 (PTEN) was found to be localized at the ER and MAMs, where it modulates  $\text{Ca}^{2+}$  transfer from ER to mitochondria in a protein phosphatase-dependent manner that counteracts the Akt-mediated reduction in  $\text{Ca}^{2+}$  release via  $\text{IP}_3\text{Rs}$  (Bononi et al. 2013). Moreover, the tumor suppressor p53 also resides at ER and MAMs, modulating ER  $\text{Ca}^{2+}$  efflux to the mitochondria through modulation of the oxidative state of SERCA pumps (Giorgi et al. 2015b; Giorgi et al. 2015a).

Very recently FATE1, a cancer-testis antigen, has been implicated in the regulation of ER-mitochondria distance and Ca<sup>2+</sup> uptake by mitochondria (Doghman-Bouguerra et al. 2016). FATE1 is localized at the interface between the ER and mitochondria and decreases sensitivity to mitochondrial Ca<sup>2+</sup>-dependent pro-apoptotic stimuli and to chemotherapeutic drugs. This study emphasized how the ER-mitochondria uncoupling activity of FATE1 is harnessed by cancer cells to escape apoptotic death and resist the action of chemotherapeutic drugs.

Taken together, these observations highlight the role of ER-dependent Ca<sup>2+</sup> release as a general mediator in many cell deaths or cell survival scenarios and reinforce the importance of MAMs in Ca<sup>2+</sup> handling.

## 4.5 Conclusions

The importance of MAMs in the control of various cellular processes and its relevance for human health is underpinned by the disease that has linked to dysregulation and dysfunction of the ER-mitochondria interface and architecture. These diseases include obesity (Arruda et al. 2014) and type II diabetes (Tubbs et al. 2014), as well as Parkinson's and Alzheimer's diseases (Paillusson et al. 2016). MAM disorganization results in abnormal ER-mitochondria Ca<sup>2+</sup> flux, which contributes to the formation of aberrant mitochondrial structures and deep metabolic alterations that are typical features of these pathological conditions. Based on the recent observations suggesting an optimal distance of 30–85 nm between IP<sub>3</sub>R and the MCU complex to achieve effective Ca<sup>2+</sup> transfer and generation of Ca<sup>2+</sup> inputs (Qi et al. 2015), we need novel technologies that enable an accurate and highly precise measurement of the functional changes occurring at MAMs to elucidate the local Ca<sup>2+</sup> transport and signaling mechanisms.

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# Ceramide Transport from the Endoplasmic Reticulum to the *Trans* Golgi Region at Organelle Membrane Contact Sites

# 5

Kentaro Hanada

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## Abstract

Lipids are the major constituents of all cell membranes and play dynamic roles in organelle structure and function. Although the spontaneous transfer of lipids between different membranes rarely occurs, lipids are appropriately transported between different organelles for their metabolism and to exert their functions in living cells. Proteins that have the biochemical capability to catalyze the intermembrane transfer of lipids are called lipid transfer proteins (LTPs). All organisms possess many types of LTPs. Recent studies revealed that LTPs are key players in the interorganelle transport of lipids at organelle membrane contact sites (MCSs). This chapter depicts how LTPs rationally operate at MCSs by using the ceramide transport protein CERT as a typical model for the LTP-mediated interorganelle transport of lipids.

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## Keywords

Ceramide • Sphingomyelin • CERT • Lipid transfer proteins

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## 5.1 Introduction

Lipids are the major constituents of all cell membranes and play dynamic roles in organelle structure and function. The intracellular transport of lipids from the sites of their synthesis to their appropriate destination is a critical step in lipid

metabolism because various steps in lipid biosynthesis occur in different intracellular compartments (Hanada and Voelker 2014; Holthuis and Menon 2014; Vance 2015). Historically, several mechanisms have been proposed for the transport of lipids between different organelles.

1. The spontaneous transfer of a lipid as a monomer or micelle: although this mechanism is thermodynamically possible for water-miscible lipids, it remains unclear whether it is biologically meaningful in cells.

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2. Proteinaceous carrier-mediated transfer: this mechanism is predominant, as described below (also see Table 5.1).
3. Transport vesicle-mediated delivery provides the main intracellular transport system for lipids which are oriented to the luminal side in the lipid bilayers of organelle membranes and are predominantly delivered within transport vesicles, as are secretory or transmembrane proteins. However, its contribution to the interorganelle trafficking of lipids oriented to the cytosol appears to be very limited.
4. Lateral diffusion at a transiently fused site between different organelles: although this is mechanistically possible, it remains hypothetical as a physiological system for the interorganelle trafficking of lipids.

The efficiency of the transport of an object is generally dependent on the distance between the start and end points. This distance dependence has been clearly demonstrated in simple diffusion and random walking processes. The diffusion formula ( $L^2/D$ , where  $L$  is length and  $D$  is the diffusion rate) estimated the time periods needed by an oxygen molecule ( $D$  in water =  $2.4 \times 10^{-9}$  m<sup>2</sup>/s) to traverse a typical human lymphocyte (~10 μm in diameter) and human tissue (~10 cm in diameter) as ~0.04 s and ~50 days, respectively. Hence, the diffusion process is not sufficient to deliver ample oxygen throughout a tissue, and, thus, a network system of capillary vessels exists in all tissues. When the distance between the start and end points is only 10 nm, the delivery of oxygen may be accomplished in ~40 ns. However, the rapid simple diffusion of hydrophobic molecules between different membranes through the aqueous cytosol rarely occurs even when the distance between the two membranes is only 10 nm because the transfer of a hydrophobic molecule from a hydrophobic environment to an aqueous phase encounters a high thermodynamic energy barrier. A catalyst that masks the hydrophobic regions of lipid molecules in the aqueous phase is needed in order to overcome this energy barrier. Proteinaceous carriers possessing these biochemical properties are called lipid transfer proteins (LTPs).

The site at which different organelle membranes come into close apposition within *meso*-scale ranges (~10 nm) is now commonly referred to as membrane contact sites (MCSs) (Helle et al. 2013; Phillips and Voeltz 2016). The close proximity of different organelle membranes was observed in the early era of cell biology by classical electron microscopy (Palade and Porter 1954), and more detailed images of contact structures were later obtained by 3D image reconstructing cryo-electron tomography (Mogelvang et al. 2004). Nevertheless, the functions of organelle MCSs had been elusive or speculative.

In 2000 and the early 2000s, two types of key molecules were discovered: junctophilins that physically bridge the plasma membrane and endoplasmic reticulum (ER) in myocytes and play a crucial role in cytoplasmic calcium homeostasis in the muscle (Takeshima et al. 2000) and the ceramide transport protein CERT, an LTP that mediates the transport of lipid ceramides from the ER to the Golgi apparatus presumably at ER-Golgi MCSs (Hanada et al. 2003). These findings generated the evidence-based concept that organelle MCSs are functional sites for the interorganelle transport of small molecules such as ions and lipids in cells. This concept has been widely accepted since other biological systems functioning at MCSs were identified, as described in the other chapters of this book.

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## 5.2 Biosynthesis and Translocation of Sphingolipids in Mammalian Cells

The biosynthetic pathway of sphingolipids in mammalian cells is depicted in Fig. 5.1 (Hanada 2014; Yamaji and Hanada 2015). After the condensation of L-serine and palmitoyl CoA catalyzed by serine palmitoyltransferase, its product 3-ketodihydroshingosine is rapidly converted to dihydroshingosine by 3-ketodihydroshingosine reductase, and dihydroshingosine is then *N*-acylated to



**Table 5.1** LTPs that mediate the inter- or intraorganelle transport of lipids at MCSs in mammalian cells

Human LTP (yeast ortholog)	Lipid	From-to	References
CERT	Ceramide	ER-Golgi	Hanada et al. (2003)
OSBP	Sterol/PI4P	ER-Golgi	Mesmin et al. (2013)
Nir2	PI/PC and/or PI/PA	ER-Golgi and/or ER-PM	Chang and Liou (2015), Kim et al. (2015), and Yadav et al. (2015)
FAPP2	GlcCer	Golgi-Golgi/TGN and/or ER-Golgi	D'Angelo et al. (2007) and Halter et al. (2007)
Ceramide-1-phosphate transfer protein	Ceramide-1-phosphate	TGN-PM (?)	Simanshu et al. (2013)
ORP5 and 8 (Osh6 and 7)	PS/PI4P	ER-PM	Chung et al. (2015), Maeda et al. (2013), and Moser von Filseck et al. (2015)
$\alpha$ -tocopherol transfer protein	$\alpha$ -tocopherol (vitamin E)	Lysosome-PM (?)	Kono et al. (2013)
GramD1(?) (Lam4/Ysp2/Ltc2)	Sterol	ER-PM	Gatta et al. (2015)
GramD1(?) (Lam6/Ltc1)	Sterol	ER-Mito, and ER-vacuole	Murley et al. (2015)
StAR	Sterol	OM-IM of Mito	Kallen et al. (1998)
PRELID1 (Ups1)+ TRIAP1(Mdm35)	PA	OM-IM of Mito	Connerth et al. (2012), Potting et al. (2013), and Tamura et al. (2012)
PRELID2 (Ups2)+ TRIAP1 (Mdm35)	PS	OM-IM of Mito	Aaltonen et al. (2016), Miyata et al. (2016), and Tamura et al. (2012)
NPC2	Sterol	MVB-NPC1 in LE (?)	Cheruku et al. (2006) and Gong et al. (2016)
Extended synaptotagmin 2 <sup>a</sup>	Various glycerophospholipids	ER-PM	Giordano et al. (2013) and Schauder et al. (2014)

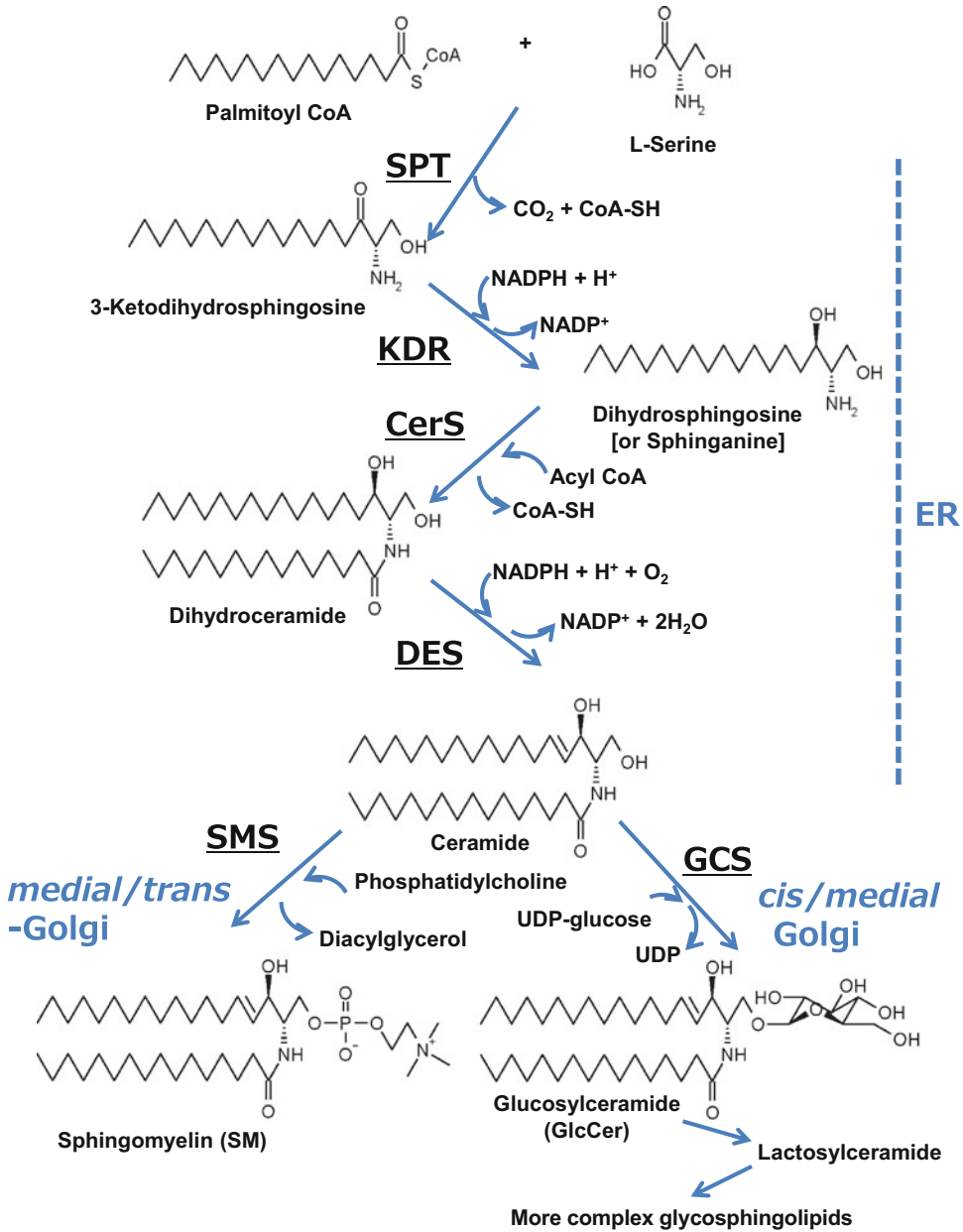
<sup>a</sup>Extended synaptotagmins may have to be regarded as a lipid tunnel, not lipid transfer proteins as discussed in (Schauder et al. 2014)

*PI* phosphatidylinositol, *PC* phosphatidylcholine, *PA* phosphatidic acid, *PS* phosphatidylserine, *TG* triacylglycerol, *TGN* *trans* Golgi network, *OM-IM of Mito* from the outer membrane to the inner membrane of mitochondria, *NPC* Niemann-Pick type C, *MVB-NPC1 in LE* from multivesicular bodies to the NPC1 protein in late endosomes

generate dihydroceramide by enzymes of the CerS family (also referred to as dihydro-sphingosine-*N*-acyltransferases).

Dihydroceramide is desaturated to generate ceramide. These reactions to produce ceramide, which is the common intermediate for the biosynthesis of sphingomyelin (SM) and glycosphingolipids (GSLs), occur in the cytosolic leaflet of the ER bilayer. Ceramide is then delivered to the luminal side of the Golgi apparatus and converted to SM by SM synthase, which catalyzes the transfer of phosphocholine from phosphatidylcholine to ceramide. The human genome contains genes for two different SM synthases, SMS1 and SMS2. SMS1, which is responsible for the *de novo* synthesis of SM, is

localized to the *trans* Golgi region, while SMS2 mainly resides at the plasma membrane and plays a key role in the resynthesis of SM from ceramide generated at the plasma membrane. Ceramide is also converted to glucosylceramide (GlcCer) by GlcCer synthase (catalyzing the transfer of glucose from UDP-glucose to ceramide), the catalytic site of which is oriented to the cytosolic side. The mammalian genome possesses the sole gene (*UGCG*) of GlcCer synthase. GlcCer synthase is mainly distributed to the *cis/medial* Golgi region, whereas a small amount of the enzyme may also be distributed to a subregion of the ER. After being transported to the luminal side of the Golgi apparatus, GlcCer is converted to more complex glycosphingolipids.



**Fig. 5.1** Biosynthetic pathway of sphingolipids in mammalian cells. The biosynthetic pathway of typical sphingolipids in mammalian cells is shown. Underlined names represent enzymes: **SPT**, serine palmitoyl-transferase; **KDR**, 3-ketodihydrosphingosine reductase;

**CerS**, ceramide synthase (or dihydrosphingosine-N-acyltransferase); **DES**, dihydroceramide desaturase; **SMS**, sphingomyelin synthase; **GCS**, glucosylceramide synthase. Blue letters indicate organelles in which the enzymes are localized

### 5.3 Brief Remark on the Discovery of CERT

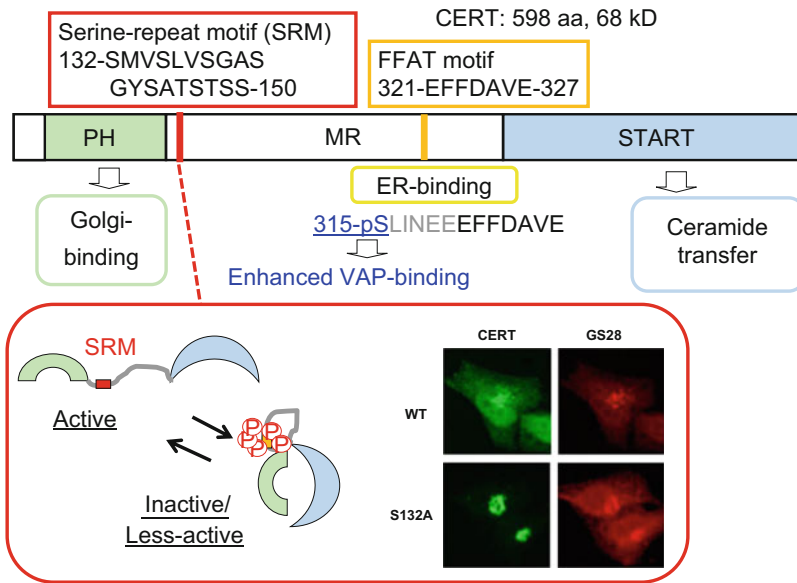
Lysenin is an earthworm-derived cytolysin, the membrane receptor of which is SM (Yamaji-Hasegawa et al. 2003). In our attempt to isolate Chinese hamster ovary (CHO) cell mutants defective in SM metabolism, several cell mutants were established as lysenin-resistant variants (Hanada et al. 1998). One variant named the LY-A cell line was found to be defective in the *de novo* synthesis of SM, but not glycosphingolipids, without any discernible defect in the enzymatic activity of SM synthase (Hanada et al. 1998). LY-A cells were subsequently shown to be defective in the transport of ceramide from the ER to the Golgi apparatus, whereas the ER to Golgi transport of membrane-bound secretory proteins was normal, strongly suggesting that the ER to Golgi transport mechanism for ceramide is distinct from that for proteins (Fukasawa et al. 1999). Cytosol exchange experiments within plasma membrane-permeabilized cells revealed that a cytosolic factor(s) required for ceramide transport is impaired in LY-A cells (Funakoshi et al. 2000). After screening of cDNA that may confer phenotypic recovery to LY-A cells, a human cDNA encoding a cytosolic ~70 kD-protein (named CERT) was identified (Hanada et al. 2003). CERT was found to have several functional modules, which appeared to be suitable for the molecular machinery to catalyze the transfer of ceramide from the ER to the Golgi apparatus (Hanada et al. 2003) (see below for more details). The *CERT* gene of LY-A cells was shown to have a missense mutation, which causes a dysfunction in CERT (Hanada et al. 2003). CERT is genetically identical to Goodpasture antigen-binding protein (GPBP) or its splicing variants, which were initially annotated as a serine/threonine kinase of type 4 collagen (Raya et al. 2000; Raya et al. 1999). This kinase annotation may have to be revisited, because there is no discernible kinase domain or ATP-binding motif in GPBP or CERT (Hanada 2014; Raya et al. 1999).

### 5.4 Functional Domains and Motifs in CERT

CERT is composed of several functional domains and motifs (Fig. 5.2).

#### 5.4.1 PH Domain for Associating with the Golgi Apparatus

The N-terminal region consisting of ~100 amino acids forms a pleckstrin homology (PH) domain. The PH domain of CERT preferentially binds to phosphatidylinositol 4-monophosphate (PI4P) among the various phosphoinositides (Hanada et al. 2003; Levine and Munro 2002; Sugiki et al. 2012). PI4P is mainly distributed to the plasma membrane, Golgi apparatus, *trans* Golgi network, and late endosomes/lysosomes and serves not only as the main precursor for phosphatidylinositol (4,5)-bisphosphate but also as an organelle-selective functional module by itself (Hammond et al. 2014; Ji et al. 2015; Santiago-Tirado and Bretscher 2011; Venditti et al. 2016). The PH domain of CERT selectively associates with the Golgi complex. However, the precise mechanisms by which the CERT PH domain distinguishes between the Golgi and other PI4P-containing organelles currently remain unknown. Several LTPs such as oxysterol-binding protein (OSBP), OSBP-related proteins (ORPs), and four-phosphate-adaptor proteins (FAPPs) have PI4P-preferential PH domains, the amino acid sequences of which are similar to that of the CERT PH domain. The PH domains of OSBP and FAPP1 interact with the ARF1 protein in addition to PI4P in order to target the Golgi membrane, which may explain the Golgi preference of these PH domains (He et al. 2011; Levine and Munro 2002). Nevertheless, the PH domain of CERT does not appear to interact with ARF1 (Roy and Levine 2004), and no alternative accessory proteins for the CERT PH domain have been identified to date. Coexisting lipids with PI4P in membranes may play a role in the Golgi membrane preference of the CERT PH domain as described below.



**Fig. 5.2** CERT consists of various functional modules. The elucidated functions of domains and motifs in human CERT are illustrated. Regarding the detailed functions of the respective domains and motifs, refer to the text. *Inset*, downregulation of CERT by the hyper-phosphorylation

of the SRM. *Left panel*, schematic model; *right panel*, intracellular distribution of wild-type CERT and its S132A mutant in HeLa cells (the panel is cited from our previous study (Kumagai et al. 2007)). GS28, a Golgi marker

The 3D structure of the CERT PH domain has been solved by solution NMR (Sugiki et al. 2012) and X-ray crystallography (Prashek et al. 2013). The findings of an NMR study showed that the CERT PH domain has two types of binding regions: a conventional phosphoinositide-binding pocket and a previously unrecognized region named a basic groove with a loop having a tryptophan pair (Sugiki et al. 2012). The basic groove appears to mildly interact with phospholipid bilayers containing acidic lipids such as phosphatidylserine even without PI4P (Sugiki et al. 2012). The synergetic effects of the two regions result in high affinity of the CERT PH for PI4P-embedded phospholipid membranes such as the Golgi membrane (Sugiki et al. 2012).

#### 5.4.2 START Domain for the Intermembrane Transfer of Ceramide

START domains are a family of lipid transfer domains, and the human genome has 15 START domains. Although START domains have

mutual similarities not only in their amino acid sequences but also their 3D structures, different START domains have different lipid substrate specificities (Clark 2012; Thorsell et al. 2011). The C-terminal ~210 amino acid region of CERT forms a START domain (Fig. 5.2). Natural long-chain ceramide produced in cells is firmly embedded in membranes because of its high hydrophobicity. The START domain of CERT efficiently extracts ceramide from membranes and facilitates the intermembrane transfer of ceramide (Hanada et al. 2003).

The lipid transfer activity of the CERT START domain is highly specific to ceramides, but flexible in various ceramide species (Hanada et al. 2003; Kumagai et al. 2005); CERT has the ability to transfer ceramide subspecies with different *N*-acyl chain lengths and natural ceramide isoforms (dihydroceramide and phytoceramide). Its activity to transfer diacylglycerol, which structurally resembles ceramide, is only 5–10% of the activity toward ceramide *in vitro*, and no discernible activity was detected for sphingosine, SM, or cholesterol. In co-crystals of the START domain of CERT in complex with ceramide, one

ceramide molecule is buried in a long amphiphilic cavity, and at the far end of the cavity, the amide and hydroxyl groups of ceramide form a hydrogen bond network with specific amino acid residues (Kudo et al. 2008). In the amphiphilic cavity, there is limited space around the C1 hydroxyl group of the bound ceramide molecule in the co-crystals, which indicates that it is spatially impossible to accommodate complex sphingolipids (such as SM and GSLs) with a bulky head group in the cavity, while different sets of amino acid residues in the cavity participate in hydrophobic interactions with the different types of hydrocarbon chains of ceramides (Kudo et al. 2008). These structural features underlie the strict substrate specificity to lipid classes and flexibility to ceramide species of the CERT START domain.

### 5.4.3 FFAT Motif for Associating with the ER

The middle region (amino acid residues 118–370; hereafter referred to as MR) between the PH and START domains in CERT is predicted to be largely unstructured (Hanada 2014). Although the biological meaning of the apparently unstructured regions of proteins had long been neglected, their advantageous aspects have manifested in this decade under the concept of “intrinsically disordered proteins” (Uversky 2016). In spite of its unstructured nature, the MR has several modules that are indispensable for the function and regulation of CERT.

One of these modules is a short peptidic motif named “two phenylalanines in an acidic tract” (FFAT), which associates with VAMP-associated protein (VAP), an ER-resident membrane protein (Loewen et al. 2003). Several LTPs that have been demonstrated or predicted to transfer lipids from the ER to other organelles have FFAT or FFAT-like motifs (Loewen et al. 2003; Mikitova and Levine 2012). The CERT FFAT motif (321-EFFDAVE-327), which matches the canonical consensus sequence of FFAT motifs (EFFDAxE), has been shown to interact with VAP and be crucial for the function of CERT in the ER to Golgi trafficking of ceramide

(Fig. 5.2) (Kawano et al. 2006). X-ray crystallography and solution NMR analyses of complexes of a truncated soluble VAP with an FFAT motif peptide showed the 3D structures of symmetrical VAP dimers with two FFAT peptides bound at the interface of VAP by electrostatic interactions (Furuuta et al. 2010; Kaiser et al. 2005).

### 5.4.4 Phosphorylation Sites in the MR

#### 5.4.4.1 Downregulation by Phosphorylation

When CERT is expressed in HeLa cells, multiple (up to 10) amino acid residues in a serine-repeated motif (SRM; 132-SMVSLVSGASGYSATSTSS-150, serine/threonine residues in the motif are underlined) of the protein are phosphorylated (Fig. 5.2) (Kumagai et al. 2007). When these ten serine/threonine residues of the SRM are replaced with glutamic acid residues (the so-called 10E mutation), the resultant CERT 10E mutant exhibits reduced PI4P-binding and ceramide transfer activities (Kumagai et al. 2007). Casein kinase 1 $\gamma$ 2 acts as a kinase to sequentially phosphorylate the serine/threonine residues in the SRM (Tomishige et al. 2009). When this kinase is overexpressed, the SRM of CERT is hyper-phosphorylated, and its function is repressed (Tomishige et al. 2009). Therefore, the CERT 10E mutant appears to functionally mimic endogenous hyper-phosphorylated CERT in the SRM. The repression of PI4P-binding activity by the 10E mutation may be rescued by removing the START domain, while the repression of ceramide transfer activity may also be rescued by removing the PH domain (Kumagai et al. 2007). These results suggest an inhibition mechanism by conformational changes to mask the PH and START domains with each other (Fig. 5.2).

Under normal culture conditions, most of the population of wild-type CERT is highly phosphorylated in the SRM in HeLa cells and is distributed to the cytosol, whereas the remaining unphosphorylated or hypophosphorylated population is distributed to the Golgi region at the fluorescent microscopic level (Kumagai et al.

2007). In contrast, constitutively active CERT S132A is predominantly localized to the Golgi region (Fig. 5.2, *inset*) (Kumagai et al. 2007). Thus, the hyper-phosphorylated SRM form of CERT appears to serve as an inactive pool sequestered into the cytosol, and upon its de-phosphorylation, CERT is recruited to ER-Golgi membrane contact sites at which it operates its physiological function (for this model, see below) (Kumagai et al. 2007).

A priming phosphorylation event at Ser132 is a prerequisite for the casein kinase 1-catalyzed sequential phosphorylation of other serine/threonine residues in the SRM of CERT (Kumagai et al. 2007; Tomishige et al. 2009). Therefore, the alanine replacement of S132 causes the loss of SRM phosphorylation, thereby rendering the CERT mutant constitutively active (Fugmann et al. 2007; Kumagai et al. 2007; Tomishige et al. 2009). A large-scale human genetic study has recently revealed that a mental retardation disorder with dominant inheritance is caused by a missense mutation in the human *CERT* (or *COL4A3BP*) gene, which replaces S132 with leucine (Deciphering Developmental Disorders 2015). The S132L substitution in CERT presumably destroys the repressive regulation mechanism mediated by the hyper-phosphorylated SRM on CERT, and the resultant constitutively active CERT may be detrimental to the development of the central neuronal network system. The SRM is conserved well among the CERT orthologs of various animals (Hanada 2014), suggesting that the regulatory role of the SRM is physiologically important for various organisms.

#### 5.4.4.2 Upregulation by Phosphorylation

Protein phosphorylation preferentially occurs within intrinsically disordered protein regions (Bah and Forman-Kay 2016; Iakoucheva et al. 2004). In addition to the SRM, CERT has another phosphorylation site in the MR (Fig. 5.2). This site is Ser315, which is adjacent to the FFAT motif for binding to VAP (Olsen et al. 2006). The phosphorylation of Ser315 strongly enhances the FFAT motif-dependent binding of

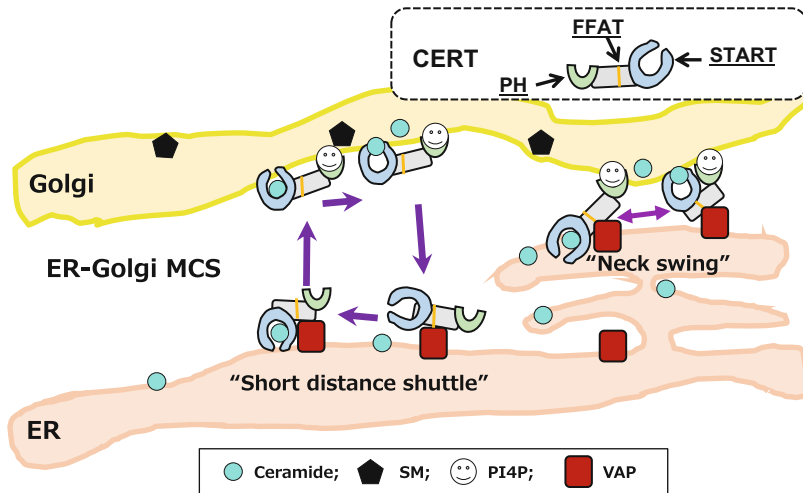
CERT to VAP, thereby upregulating the function of CERT (Kumagai et al. 2014). The interplay between these phosphorylation sites (i.e., the SRM and Ser315) attains a fine regulatory system for the ceramide trafficking function of CERT (Kumagai et al. 2014).

## 5.5 CERT Mediates the Interorganelle Trafficking of Ceramide at ER-Golgi Membrane Contact Sites

ER-Golgi membrane contact sites are subregions of the ER that are located very close (~10 nm) to *trans* Golgi stacks in mammalian cells (Mogelsvang et al. 2004). VAP, an ER resident membrane protein, displays a reticular distribution pattern, which represents the ER, in cells (Kawano et al. 2006; Kumagai et al. 2014). When wild-type CERT is co-overproduced with VAP, a small population of VAP is relocated to the perinuclear Golgi region, in which CERT is co-localized together (Kawano et al. 2006). This relocation of VAP is not induced by the co-overproduction of FFAT motif-deficient or PH domain-deficient CERT (Kawano et al. 2006). These findings together with the structural features of CERT have suggested that CERT has the potential to simultaneously bind to Golgi-localizing PI4P and ER-localizing VAP at ER-Golgi membrane contact sites, which led us to a model in which CERT mediates the interorganelle trafficking of ceramide efficiently at the contact sites in a non-vesicular manner (Fig. 5.3) (Hanada 2006; Hanada et al. 2007; Yamaji and Hanada 2015).

If the PH domain and the FFAT motif of CERT simultaneously associate with the Golgi apparatus and ER, respectively, the extraction and transfer of ceramide from the ER to the Golgi apparatus may be attained by the “neck swing” movement of the START domain. Alternatively, CERT may quickly shuttle between the two organelle membranes at the sites of contact. It is also possible that these two mechanisms are operating at ER-Golgi contact sites in cells.





**Fig. 5.3** A model of the CERT-mediated trafficking of ceramide at ER-Golgi MCSs. In this model, CERT extracts newly synthesized ceramide from the ER, depending on its START domain, carries the ceramide molecule in a non-vesicular manner, and targets the Golgi apparatus, depending on its PI4P-recognizing PH domain. After the release of ceramide at the Golgi apparatus, CERT returns to the ER, depending on its VAP-interacting FFAT motif. The rapid trafficking of

ceramide through “short-distance shuttling” by CERT or through the “neck swing” movement of the START domain may occur at sites of contact between the ER and *trans* Golgi cisternae. In the neck swing model, CERT simultaneously associates with the ER (via the FFAT motif) and Golgi apparatus (via the PH domain) and catalyzes the interorganelle transfer of ceramide without the step involving the cytosolic diffusion of the protein

## 5.6 Consideration on the Evolutionary Aspect of CERT

Gene disruption experiments confirmed that CERT is important for the *de novo* synthesis of SM in human cervical carcinoma-derived HeLa cells (Yamaji and Hanada 2014) and in mice (Rao et al. 2014; Wang et al. 2009) as well as ceramide phosphoethanolamine, a close relative of SM, in the fruit fly (Rao et al. 2007). Clear orthologs of human CERT exist in various multicellular animals, but not in single-cellular eukaryotes, plants, or prokaryotes, and this phylogenetic distribution pattern of CERT is similar to that of SM (Hanada 2014). SM is chemically more robust and inert than many other phospholipid types, but is non-covalently interactive due to its acyl amide group and the 3-hydroxyl group of the sphingoid base moiety, both of which are absent in glycerophospholipids (Hanada 2014). SM is preferentially localized to the exoplasmic leaflet of the plasma membrane in animal cells.

CERT may have evolved to produce SM because multicellular animals may somehow have necessitated the creation of a robust and inert phospholipid as a major constituent in the cell surface and, to meet this necessity, may have produced the new machinery CERT to deliver ceramide rapidly from the ER to the *trans* Golgi compartment, in which the major SM synthase SMS1 is localized, while bypassing earlier Golgi compartments, in which another anabolic enzyme GlcCer synthase is localized (Hanada 2014).

## 5.7 Future Directions

In this decade, it has been widely accepted that the LTP-mediated transfer of lipids at MCSs is the predominant mechanism underlying the interorganelle transport of lipids (Table 5.1). The discovery of CERT paved the way for the establishment of the prevailing concept of the interorganelle transport of lipids. There are,

however, various unsolved questions in the intracellular trafficking of lipids. The aforementioned model depicted by CERT does not explain the uphill movement of lipids. This may not be problematic for the transport of ceramide from the ER to the Golgi apparatus because ceramide is changed to SM upon its arrival at the Golgi apparatus, which means that the movement direction may be energetically downhill. In contrast, several lipid types obviously move against their concentration gradients in cells. For example, although cholesterol is synthesized in the ER, its level is low in this organelle and the highest in the PM at a steady state. Hence, an uphill movement step must be included in the transport of cholesterol from the ER to the PM. One mechanism underlying this uphill movement is LTP-mediated lipid exchange between different organelles with metabolic coupling of the dephosphorylation and resynthesis of PI4P, through which one ATP is consumed in one complete reaction cycle, generating a driving force for the uphill movement of the lipid (Mesmin et al. 2013). Although this mechanism appears to operate in the ORP/Osh protein-mediated transport of sterol(s) from the ER to the Golgi apparatus (Mesmin et al. 2013) and in that of phosphatidylserine from the ER to the PM (Chung et al. 2015; Moser von Filseck et al. 2015), it currently remains unclear whether other LTP-mediated uphill movements of lipids may be accounted for by analogous mechanisms. It is important to note that since cytosolic LTPs are topologically inaccessible to lipids oriented to the luminal side of organelles, intramembrane transport systems and interorganelle vesicular transport systems are also important for the network of lipid trafficking events. Although the interplay of these systems with LTP-mediated systems presumably operates in cells, this interplay remains poorly understood. Therefore, further studies are needed in order to elucidate these unresolved issues.

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# Endoplasmic Reticulum – Plasma Membrane Crosstalk Mediated by the Extended Synaptotagmins

# 6

Yasunori Saheki

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## Abstract

The endoplasmic reticulum (ER) possesses multiplicity of functions including protein synthesis, membrane lipid biogenesis, and  $\text{Ca}^{2+}$  storage and has broad localization throughout the cell. While the ER and most other membranous organelles are highly interconnected via vesicular traffic that relies on membrane budding and fusion reactions, the ER forms direct contacts with virtually all other membranous organelles, including the plasma membrane (PM), without membrane fusion. Growing evidence suggests that these contacts play major roles in cellular physiology, including the regulation of  $\text{Ca}^{2+}$  homeostasis and signaling and control of cellular lipid homeostasis. Extended synaptotagmins (E-Syts) are evolutionarily conserved family of ER-anchored proteins that tether the ER to the PM in PM PI(4,5) $\text{P}_2$ -dependent and cytosolic  $\text{Ca}^{2+}$ -regulated manner. In addition, E-Syts possess a cytosolically exposed lipid-harboring module that confers the ability to transfer/exchange glycerolipids between the ER and the PM at E-Syts-mediated ER-PM contacts. In this chapter, the functions of ER-PM contacts and their role in non-vesicular lipid transport with special emphasis on the crosstalk between the two bilayers mediated by E-Syts will be discussed.

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## Keywords

E-Syts • SMP domain •  $\text{Ca}^{2+}$  • Non-vesicular lipid transport • Lipid transfer proteins

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## 6.1 Introduction

The ER forms a complex network of tubules and sheets that extends throughout the interior of cells. Although ER membranes are functionally

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connected to all membranes of secretory and endocytic pathways via vesicular transport, they only fuse with each other and with vesicles involved in retrograde transport from the Golgi complex. However, accumulating evidence suggests that the close appositions between the ER and the membranes of all other membranous organelles, including the PM, regulates cellular physiology without membrane fusion. These sites are involved in numerous functions, including the regulation of  $\text{Ca}^{2+}$  homeostasis, control of ER-localized enzymes that act in trans on the apposed membrane, organelle dynamics, and exchange of lipids between membranes (Holthuis and Levine 2005; Elbaz and Schuldiner 2011; Friedman and Voeltz 2011; Toulmay and Prinz 2011; Stefan et al. 2011; Mesmin et al. 2013; Helle et al. 2013; Prinz 2014; Gallo et al. 2016; Phillips and Voeltz 2016).

ER-PM contacts are universal structures that are present in all eukaryotic cells. In cells of higher eukaryotes, ER-PM contacts were first described by electron microscopy in the 1950s in muscle (Porter and Palade 1957). They were subsequently also observed in neurons (Rosenbluth 1962; Metuzals et al. 1997; Spacek and Harris 1997). Since then, it has become clear that they represent a general feature of all eukaryotic cells, from unicellular organisms to specialized mammalian cells (Friedman and Voeltz 2011; Phillips and Voeltz 2016; Perez-Sancho et al. 2016).

Although ER-PM contacts had been observed for decades, the molecular mechanisms that govern the formation of these contacts were largely elusive. The distance between the ER and the PM at contact sites is estimated to be 10–30 nm based on electron micrographs, suggesting the presence of molecular tethers that define the distance and functional property of these contacts (Orci et al. 2009; West et al. 2011; Fernandez-Busnadiego et al. 2015; Perni et al. 2015; Varnai et al. 2007).

Identification of molecules that are responsible for ER-PM tethering has contributed significantly to our understanding of the function of ER-PM contacts in cellular physiology. The properties of these molecules are directly linked to numerous functions of ER-PM contacts. For

example, physical coupling of ER-localized STIM1 and PM-localized Orai  $\text{Ca}^{2+}$  channel is responsible for store-operated  $\text{Ca}^{2+}$  entry (SOCE) (Liou et al. 2005; Zhang et al. 2005; Feske et al. 2006; Lewis 2007; Orci et al. 2009). A number of ER-localized enzymes including protein and lipid phosphatases have been reported to regulate the activity of PM substrates in trans (Braithwaite et al. 2006; Stuble and Tremblay 2010; Stefan et al. 2011; Dickson et al. 2016).

Moreover, growing evidence suggests the critical roles of lipid transfer proteins in the regulation of cellular lipid homeostasis without inducing membrane fusion via their property to transfer lipids from one compartment to another at membrane contact sites (Prinz 2014; Holthuis and Menon 2014; Gallo et al. 2016). Some of these lipid transfer proteins localize and function at ER-PM contacts and also act as tethering molecules of these two membranes. The analyses of these tethers have revealed fundamental roles of the membrane contact sites in lipid regulation. One of these tethers is the E-Syts (tricalbins in yeast). In this chapter, the crosstalk between the ER and the PM mediated by the E-Syts and its implication in lipid regulation will be discussed.

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## 6.2 ER-PM Crosstalk Mediated by the E-Syts

### 6.2.1 Identification of E-Syts/Tricalbins as ER-PM Tether

While the STIM1-Orai coupling enhances ER-PM contacts, ER-PM contacts exist even before recruitment of STIM1 to the sites. Furthermore, the molecules responsible for this coupling are not conserved in yeast, while ER-PM contacts are evolutionarily conserved in all eukaryotic cells. In yeast, the bulk of the ER (greater than 50%) is cortically localized (West et al. 2011). Supporting the role of ER-PM contacts in lipid regulation, lipid synthesizing enzymes and lipid transport machinery are enriched at these contacts (Pichler et al. 2001; Tavassoli et al. 2013; Maeda et al. 2013; Chung et al. 2015; Moser von Filseck et al. 2015; Chang and Liou 2015; Kim et al. 2015; Gatta et al.

2015). Thus, proteins that mediate cortical ER formation in yeast might regulate fundamental properties of ER-PM contacts, including lipid regulation. Given the importance of lipid homeostasis in all eukaryotic cells, the mechanisms that regulate ER-PM tethering are likely to be highly conserved.

In 2012, the Prinz and Emr labs reported that three yeast ER proteins, the tricalbins (Tcb1p, Tcb2p, and Tcb3p), are selectively enriched in cortical ER and involved in ER-PM tethering (Toulmay and Prinz 2012; Manford et al. 2012). Mammalian homologs of the tricalbins are E-Syts; both tricalbins and E-Syts share similar domain organization with an N-terminal hydrophobic region, followed by a cytosolic synaptotagmin-like mitochondrial-lipid-binding protein (SMP) domain and several C2 domains.

As their name indicates, E-Syts/tricalbins also share a similar domain organization with classical synaptotagmins (Min et al. 2007). However, E-Syts contain cytosolic SMP domain, which is absent in synaptotagmins, and possess strikingly distinct molecular functions. While synaptotagmins are anchored to secretory or synaptic vesicles as  $\text{Ca}^{2+}$  sensors for SNAP (soluble NSF attachment protein) Receptor (SNARE)-mediated membrane fusion (Chapman 2008; Sudhof 2002, 2012), E-Syts are anchored to ER membranes and involved in ER-PM tethering and in harboring and transferring lipids via their SMP domain (see below). In the following sections, the role of E-Syts in ER-PM tethering as well as in control of PM lipid homeostasis will be discussed in details.

### 6.2.2 E-Syts Mediate $\text{PI}(4,5)\text{P}_2$ -Dependent and $\text{Ca}^{2+}$ -Regulated ER-PM Contacts

There are three E-Syts (E-Syt1, E-Syt2, and E-Syt3) in mammalian cells, which form homo- and heterodimers (Giordano et al. 2013; Saheki et al. 2016). They all localize at the ER through their N-terminal hydrophobic hairpin sequence that anchors them to ER membrane. The N-terminal hydrophobic region is followed by an SMP domain and multiple C2 domains [i.e., five in the case of E-Syt1 (C2A, C2B, C2C, C2D, C2E)

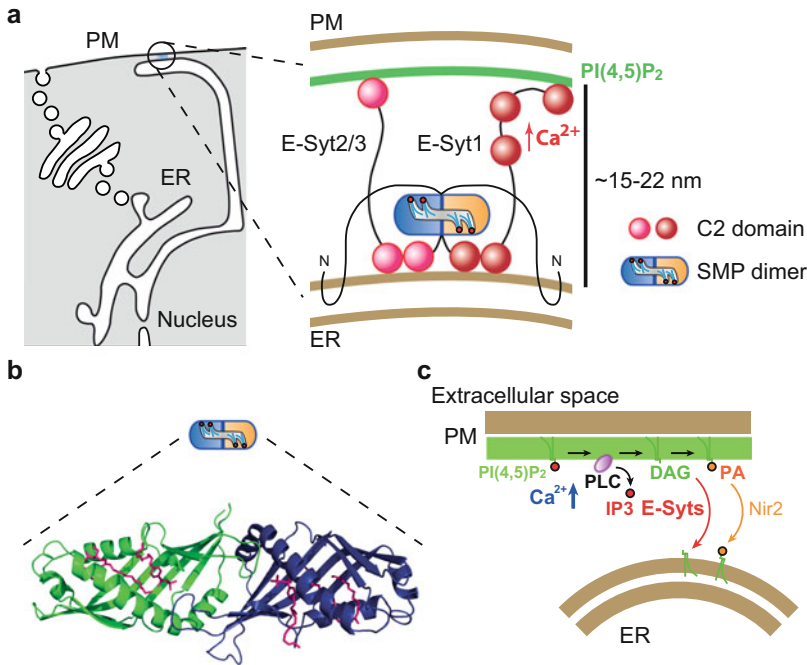
and three in the case of E-Syt2 and E-Syt3 (C2A, C2B, C2C)] (Fig. 6.1a). E-Syts tether the ER to the PM via their C2 domain-dependent interactions with the PM that critically depends on the presence of  $\text{PI}(4,5)\text{P}_2$  in the PM (Giordano et al. 2013).

Overexpression of E-Syt2 and E-Syt3 induces massive formation of ER-PM contacts through their C2C domain-dependent interaction with PM  $\text{PI}(4,5)\text{P}_2$ . E-Syt1, on the other hand, recruits the ER to the PM in the presence of high levels of cytosolic  $\text{Ca}^{2+}$  by  $\text{Ca}^{2+}$ -sensing property of its C2C domain (Giordano et al. 2013; Fernandez-Busnadiego et al. 2015; Idevall-Hagren et al. 2015). As they form heteromeric complexes, the E-Syts confer cytosolic  $\text{Ca}^{2+}$  regulation to  $\text{PI}(4,5)\text{P}_2$ -regulated ER-PM contact formation. Supporting the role of E-Syt heteromers in  $\text{Ca}^{2+}$ -dependent ER-PM contact formation, the depletion of E-Syts leads to the loss of  $\text{Ca}^{2+}$  sensitivity in ER-PM tethering, which can be rescued by re-expression of E-Syt1 (Giordano et al. 2013; Saheki et al. 2016).

Measurement of  $\text{Ca}^{2+}$  concentration required for E-Syt1-recruitment to the PM in semi-intact cells revealed the  $\text{EC}_{50}$  of such recruitment in low micromolar  $\text{Ca}^{2+}$  range, similar to synaptotagmins. Accordingly, E-Syt1 accumulation at ER-PM contacts occurred only upon experimental manipulations known to achieve these levels of  $\text{Ca}^{2+}$  via its influx from outside of the cells, such as membrane depolarization in excitable cells and SOCE (Idevall-Hagren et al. 2015). However, E-Syts-dependent contacts are not required for SOCE (Giordano et al. 2013). Thus, ER-PM contacts mediated by the E-Syts are functionally distinct from those mediated by STIM1 and Orai (Giordano et al. 2013; Quintana et al. 2015; Jing et al. 2015).

### 6.2.3 E-Syts Possess the SMP Domain, a Lipid-Harboring Module That Belongs to TULIP Superfamily

The presence of the SMP domain, a member of a family of lipid-binding modules that is often present in proteins localized at membrane contact sites (Kommann et al. 2009; Kopec et al. 2010;



**Fig. 6.1** E-Syts mediate ER-PM tethering and regulate lipid transfer. (a) E-Syts, anchored to ER membrane, form homo- and heterodimer and control ER-PM tethering via their C2 domain-dependent interaction with the PM that is additionally regulated by cytosolic  $\text{Ca}^{2+}$ . The SMP dimer likely mediates lipid transfer by shuttling the two membranes. (b) The crystal structure of the SMP domain. The SMP domain forms a dimer; hydrophobic

residues line the channel. Lipid fatty acids are shown in red. Adapted from Schauder et al. (2014). (c) Schematics of the potential role of E-Syts in the control of PM lipid homeostasis in response to PLC activation. E-Syts may cooperate with Nir2 in this process. *IP3* inositol 1,4,5-trisphosphate, *DAG* diacylglycerol, *PA* phosphatidic acid, *PLC* phospholipase C

Toulmay and Prinz 2012; Kopec et al. 2011; Alva and Lupas 2016; Reinisch and De Camilli 2016), suggested that E-Syts may transfer lipids between membranes of the ER and the PM. Structural study of the SMP domain of E-Syt2 revealed that the SMP domain has a  $\beta$ -barrel structure and dimerizes to form a  $\sim 9$  nm long cylinder traversed by a channel lined entirely with hydrophobic residues (Schauder et al. 2014) (Fig. 6.1b). A mass spectrometry analysis of the lipids harbored by the SMP domain revealed the presence of a wide variety of glycerolipids without a particular specificity against their head groups (Schauder et al. 2014). This is in good agreement with the solved structure of the SMP domain in which acyl chains are inserted to its deep hydrophobic groove while the head groups are exposed to the outside the groove (Schauder et al. 2014). The similar lipid-harboring property was also reported for the SMP domains of

the ERMES [endoplasmic reticulum (ER)-mitochondria encounter structure] complex, which localizes at ER-mitochondria contact sites (AhYoung et al. 2015).

The structure of the SMP domain of E-Syts confirmed its homology to the tubular lipid-binding (TULIP) superfamily (Kopec et al. 2010, 2011; Schauder et al. 2014; Reinisch and De Camilli 2016). Other TULIP domain-containing proteins are also involved in harboring and, at least in some cases, transporting lipids via their TULIP domains. Although many of these proteins act in extracellular environment rather than intracellular environment (like proteins with the SMP domain), the similar structure and the shared lipid-harboring property of the SMP domain and other TULIP domains indicate their common functions in lipid harboring, sensing, and transport (Alva and Lupas 2016).

For example, cholesterol ester transfer protein (CETP) is a well-characterized serum protein that transports lipids between high-density and low-density lipoproteins. Several different lipids, including cholesterol esters, can be harbored by its TULIP domain (Qiu et al. 2007). Other serum proteins, lipopolysaccharide-binding protein (LBP) and bacterial/permeability-increasing protein (BPI), play an important role in the innate immune response against invading Gram-negative bacteria by binding to lipopolysaccharides/endotoxins present in the membrane of the bacteria (Beamer et al. 1997; Weiss 2003). A BPI-family protein NRF-5 has been reported to extract phosphatidylserine (PS) from the surface of apoptotic cells and transport it to engulfing cells during their clearance in *C. elegans* (Zhang et al. 2012b). Interestingly, NRF-5 is also involved in the process of regenerative axonal fusion during injury, which requires PS recognition (Neumann et al. 2015).

These studies suggested that the SMP domain might be involved in direct lipid transport and supported the role of membrane contact sites, which are populated by SMP domain-containing proteins, in lipid exchange reactions.

#### 6.2.4 E-Syts Transfer Glycerolipids In Vitro via the SMP Domain

Given the presence of the SMP domain that can harbor glycerolipids promiscuously, E-Syts were thought to transport multiple lipids between membranes. In vitro analysis of lipid transport ability of E-Syt1, the  $\text{Ca}^{2+}$ -sensing E-Syt, using artificial membranes in cell-free system, revealed that E-Syt1 could indeed transport glycerolipids between membranes regardless of their head groups (Saheki et al. 2016; Yu et al. 2016); E-Syt1 even transports diacylglycerol (DAG), which is most hydrophobic due to the lack of phosphorylated head group (Saheki et al. 2016). In contrast to the ability of SNAREs to induce membrane fusion of two opposing membranes, E-Syts mediate lipid transport between tethered membranes without membrane fusion. The lipid transport ability of E-Syt1 was completely

abolished when its lipid-harboring SMP domain was deleted and significantly impaired when the acyl chain insertion sites of the SMP domain were mutated (i.e., lipids can no longer be accommodated in the lipid-insertion defective mutant protein), while these mutations did not inhibit tethering of the membranes (Saheki et al. 2016; Yu et al. 2016). Synaptotagmin1 (Syt1), on the other hand, only tethers membranes without lipid transport. However, the SMP domain of E-Syt1 can confer lipid transport ability to Syt1 when this domain was artificially conjugated to the cytosolic region of Syt1 (Yu et al. 2016). Therefore, the SMP domain is necessary and sufficient for the lipid transport ability of E-Syt1.

E-Syt1-dependent lipid transport is significantly enhanced by the presence of  $\text{Ca}^{2+}$  in the micromolar range, and this effect requires  $\text{PI}(4,5)\text{P}_2$  in one of the two opposing membranes (Saheki et al. 2016). To further support the role of  $\text{Ca}^{2+}$  in this process, E-Syt1 carrying mutations in the  $\text{Ca}^{2+}$ -binding pockets of C2C domain, the domain responsible for  $\text{Ca}^{2+}$ -dependent interaction with the PM, show much reduced lipid transfer activity (Yu et al. 2016). Given the  $\text{PI}(4,5)\text{P}_2$ -dependent and  $\text{Ca}^{2+}$ -regulated ER-PM tethering mediated by E-Syts in cells, the major action of the  $\text{Ca}^{2+}$  is likely to enhance tethering of the opposing bilayers rather than acting directly on the SMP dimer as the SMP domain does not possess  $\text{Ca}^{2+}$ -binding sites (nor predicted changes in conformation via  $\text{Ca}^{2+}$ -binding). However, it is also possible that  $\text{Ca}^{2+}$ -dependent binding to lipid bilayers of its C2A domain (Giordano et al. 2013; Chang et al. 2013), which also contains a  $\text{Ca}^{2+}$ -binding site, may assist lipid transfer by a direct and local effect on the bilayer. As a  $\text{Ca}^{2+}$ -sensitive C2A domain is present in E-Syt2 and E-Syt3 (Xu et al. 2014), even these two proteins, whose ER-PM tethering function is not regulated by cytosolic  $\text{Ca}^{2+}$ , may have a  $\text{Ca}^{2+}$ -dependent role in lipid transfer.

Collectively, these studies show that the lipid-harboring SMP domain indeed confers E-Syt1, and possibly all the E-Syts, the ability to transport lipids (Saheki et al. 2016; Yu et al. 2016).



### 6.2.5 Two Models of SMP Domain-Dependent Lipid Transfer: Shuttle Model and Tunnel Model

How the E-Syt SMP dimer associates with membranes to extract and deliver lipids remains elusive. In one model, termed as “tunnel model,” the SMP dimer would act as bridges between the ER and the PM. Lipids could then get transferred along the hydrophobic channel as if they “snorkel” through aqueous cytosol with their hydrophobic fatty acid moieties protected in the channel, while their hydrophilic lipid head groups protrude into the cytosol (Reinisch and De Camilli 2016). The similar model was proposed for lipid transfer between lipoproteins by CETP (Qiu et al. 2007; Zhang et al. 2012a). In the tunnel model, the SMP dimer needs to directly contact with the two membranes at its ends, and lipids enter and exit there. For this model to work, the distance between the ER and the PM at ER-PM contacts has to be as close as ~9 nm. However, the electron micrographs of E-Syt-induced contacts do not support the major occurrence of such short distance (Fernandez-Busnadiego et al. 2015). Therefore, the second model, termed as “shuttle” model, is more plausible. In this model, SMP dimer is tethered between the ER and the PM by its franking PM interacting modules (C2 domains) and ER membrane anchor, shuttling freely to transfer harbored-lipids between the two bilayers. This is also consistent with the modes of lipid transfer mediated by other lipid transfer proteins (Reinisch and De Camilli 2016; Chiapparino et al. 2016). The elucidation of the precise mechanisms of lipid extraction and delivery by the SMP domain requires further investigation.

### 6.2.6 Control of PM Lipid Homeostasis by E-Syts

E-Syt1-dependent lipid transport is bidirectional and driven by the concentration gradient of the lipid (Saheki et al. 2016). Microsomal vesicles, representing crude ER fraction, isolated from preadipocytes were able to acquire lipids from

artificial membranes; this activity relies on the expression of E-Syt1 (Yu et al. 2016). As E-Syt1-dependent lipid transfer between artificial membranes is facilitated by an increase in  $\text{Ca}^{2+}$ , E-Syts may participate in lipid transport/exchange between the membranes of the ER and the PM particularly when the levels of cytosolic  $\text{Ca}^{2+}$  increase in cells. In fact, cells lacking E-Syts do not exhibit abnormalities in the major glycerolipids at a resting condition (Saheki et al. 2016), arguing against their primary roles in the steady-state regulation of PM lipid compositions.

Because E-Syt1 can transfer lipids down their concentration gradient, E-Syts may counteract changes in membrane lipid compositions that occur during the increase in cytosolic  $\text{Ca}^{2+}$  and facilitate the transport of membrane lipids from one membrane to another in order to reset their concentration to the normal levels. For example, the formation of E-Syt-dependent ER-PM tethers in response to stimuli that elevate  $\text{Ca}^{2+}$  may help reverse accumulation of DAG in the PM by transferring it from the PM to the ER for metabolic recycling. Supporting such function, cells lacking E-Syts exhibit enhanced and sustained accumulation of DAG in the PM following  $\text{PI}(4,5)\text{P}_2$  hydrolysis in response to phospholipase C (PLC) activation (Saheki et al. 2016). This effect can be rescued by re-expression of E-Syt1, but not by mutant E-Syt1 lacking the SMP domain, supporting the role of the SMP domain in lipid transfer in cells (Saheki et al. 2016).

ER-PM contacts are populated by various ER-associated lipid transfer proteins (Maeda et al. 2013; Chung et al. 2015; Moser von Filseck et al. 2015; Mesmin et al. 2013; Chang et al. 2013; Chang and Liou 2015; Kim et al. 2015; Gatta et al. 2015). Therefore, the function of E-Syts may be coupled with other lipid transfer proteins such as Nir2, which interacts with ubiquitous ER protein VAP (Lev et al. 2008; Murphy and Levine 2016) and binds to PM lipids such as DAG and phosphatidic acid (PA) (Chang and Liou 2015; Kim et al. 2015). In fact, a strong functional coupling between E-Syts and Nir2 was observed at ER-PM contacts (Saheki et al. 2016). In the absence of E-Syts, more Nir2 is recruited to the PM upon PLC activation during an increase in cytosolic  $\text{Ca}^{2+}$ . Nir2 transfers PA, a

phosphorylated product of DAG, from the PM to the ER during PLC-dependent PI(4,5)P<sub>2</sub> hydrolysis for the recycling of PA for phosphatidylinositol (PI) resynthesis in the ER (Cockcroft et al. 2016; Kim et al. 2015; Yadav et al. 2015). Therefore, one of the functions of E-Syts may be to control the recycling pathway of PI(4,5)P<sub>2</sub> metabolites for lipid resynthesis in the ER (Fig. 6.1c).

### 6.2.7 Elusive Functions of E-Syts

Other functions of E-Syts, including the regulation of signaling, have been also proposed (Herdman and Moss 2016). In plant, all proteins previously considered as synaptotagmin homologs contain the SMP domains (Craxton 2001, 2007; Levy et al. 2015). Interestingly, one of these proteins in *Arabidopsis thaliana* is required for plant freezing tolerance as well as for resistance to mechanical stresses (Schapire et al. 2008; Yamazaki et al. 2008; Perez-Sancho et al. 2015). These studies indicate the additional role of this family of proteins in the regulation of lipid integrity in the PM. This is also consistent with the reported function of yeast tricalbins in PM integrity (Aguilar et al. 2007; Omnus et al. 2016).

Mutant mice lacking all the three E-Syts are viable and fertile, and they do not show major abnormality in development (Sclep et al. 2016; Tremblay and Moss 2016), and yeast mutants lacking tricalbins (homologs of E-Syts in yeast) grow normally (Manford et al. 2012). Therefore, these proteins are not essential for life. Given the lack of major phenotypes in E-Syt triple knock-out mice, the function of E-Syts must be at least partially overlapping and redundant with the function of other proteins such as Nir2.

Strong evolutionary conservation of E-Syts from yeast to human indicates important function of these proteins in eukaryotes. In mammals, E-Syts are heterogeneously expressed in different tissues with highest expression in the lung, testis, and the immune system (Tremblay and Moss 2016). Therefore, functional studies of E-Syts in these tissues may help reveal the still elusive function of these proteins. Elucidating the physiological roles of E-Syts as well as other SMP domain-

containing proteins localized at membrane contact sites will be important to gain further insights into how non-vesicular lipid transport contributes to lipid compartmentalization in cells.

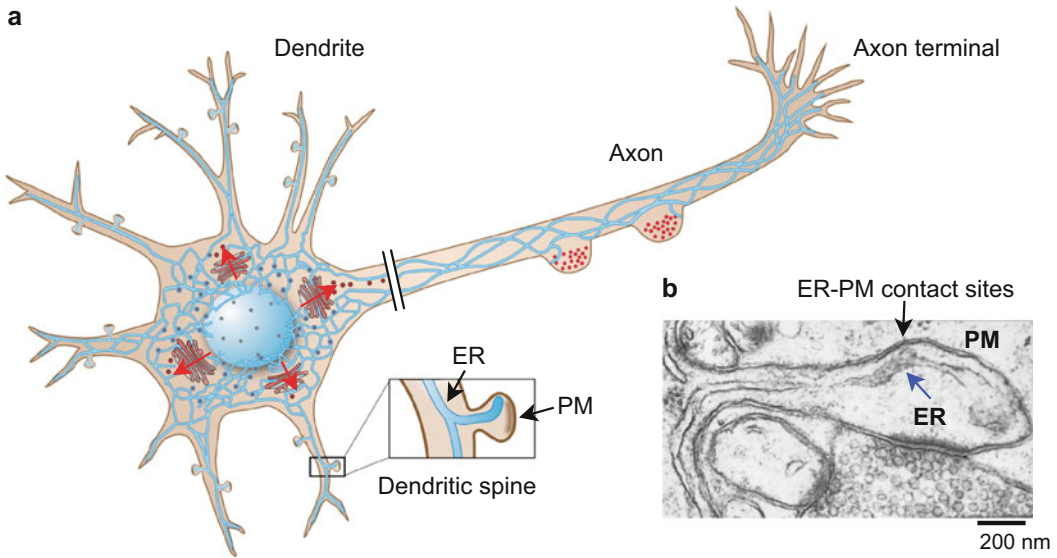
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### 6.3 Future Prospective: Neuronal Function of Non-vesicular Lipid Transport at ER-PM Contacts

Brain activity and neuronal survival rely on PM lipids that play a major role in neurotransmission (Saheki and De Camilli 2012). Thus, abnormal lipid homeostasis is linked to numerous neurological disorders (Blackstone et al. 2011; Lev et al. 2008; Di Paolo and Kim 2011).

Neurons are specialized cells with extensions of neuronal processes for efficient neurotransmission; synaptic membranes are highly dynamic and can be separated from the cell body by a significant distance. At distant nerve terminals, vesicular transport is not sufficiently rapid to replenish the loss of PM lipids. Thus, non-vesicular lipid transport is likely to have significant roles in maintenance of the neuronal PM. In fact, neuronal processes, including axons and dendrites, are highly decorated with a continuous network of the ER (Spacek and Harris 1997; Fernandez-Busnadiego et al. 2015) (Fig. 6.2a, b). Significantly, mutations in ER morphogenetic proteins as well as regulators of cellular lipid homeostasis have been identified in neurodegenerative disorders, including hereditary spastic paraplegia (HSP) and amyotrophic lateral sclerosis (ALS) (Blackstone et al. 2011; Lev et al. 2008; Dong et al. 2016). In both HSP and ALS, motor neurons with long axons are selectively lost during disease progression. The communication of neuronal PM, including synaptic membranes, with the ER occurs directly at ER-PM contacts. Therefore, ER-PM contacts may play an essential role in the maintenance of PM lipids via regulation of non-vesicular lipid transport, whose loss might contribute to the reduced viability of neurons with particularly long axons.

Importantly, genetic studies suggest a common genetic basis between these rare disorders and more common neurodegenerative conditions such as



**Fig. 6.2 Maintenance and regulation of the neuronal plasma membrane.** (a) The ER extends throughout neuronal processes and forms physical contacts with the PM. These ER-PM contacts are implicated in lipid transfer, signaling,  $\text{Ca}^{2+}$  homeostasis, and synaptic plasticity. Red arrows indicate classical vesicular transport via the

Golgi apparatus, which is far from many cell compartments and neuronal processes in neurons. (b) An electron micrograph showing ER-PM contacts at a dendritic spine (Adapted from Synapse Web, Kristen M. Harris, PI, <http://synapseweb.clm.utexas.edu/>)

Alzheimer's disease and Parkinson's disease (Novarino et al. 2014; Singleton and Hardy 2016; Small and Petsko 2015). Therefore, elucidating the mechanisms of non-vesicular lipid transport and cellular lipid homeostasis will not only advance our knowledge in fundamental cell biology but also our understanding of neurodegeneration in general.

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# Endoplasmic Reticulum-Plasma Membrane Contacts Regulate Cellular Excitability

# 7

Eamonn J. Dickson

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## Abstract

Cells that have intrinsic electrical excitability utilize changes in membrane potential to communicate with neighboring cells and initiate cellular cascades. Excitable cells like neurons and myocytes have evolved highly specialized subcellular architectures to translate these electrical signals into cellular events. One such structural specialization is sarco-/endoplasmic reticulum-plasma membrane contact sites. These membrane contact sites are positioned by specific membrane-membrane tethering proteins and contain an ever-expanding list of additional proteins that organize information transfer across the junctional space (~ 15–25 nm distance) to shape membrane identity and control cellular excitability. In this chapter we discuss how contacts between the sarco-/endoplasmic reticulum and plasma membrane are essential for regulated excitation-contraction coupling in striated muscle and control of lipid-dependent ion channels.

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## Keywords

Membrane-membrane contact sites • ER-PM contact sites • Phosphoinositides • PI(4,5)P<sub>2</sub> • Ion channels • Endoplasmic reticulum • Tethering proteins • Excitation-contraction coupling

This chapter concerns the regulation of cellular excitability at endoplasmic reticulum-plasma membrane contacts (ER-PM). When referring to “excitability,” we primarily mean electrical excitability. Cells that have intrinsic electrical

excitability, such as neurons, muscle cells, and endocrine cells, respond to stimulation by altering their electrical properties to initiate intracellular processes, including synaptic transmitter release, muscle contraction, or hormone secretion. The basis for cellular excitability is the ability to generate a labile resting membrane potential that is dependent on electrochemical gradients and energy consumption. The

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distinctive feature of electrically excitable cells is the generation of electrical current in response to membrane depolarization. Whereas a non-excitable cell that has been slightly depolarized will return to its original resting membrane potential, an electrically excitable cell that is depolarized to the same extent will respond with an action potential and/or contraction. These responses occur due to the movement of small charged particles (ions) such as  $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Ca}^{2+}$ , and  $\text{Cl}^-$  across the plasma membrane through ion channels, pumps, and transporters. Electrically excitable cells produce an action potential because of the presence of voltage-gated channels in the plasma membrane. Thus, ion channels (pumps and transporters) are the foundation of electrical excitability. These ion channels can have their properties tuned by post-translational modification, accessory subunits, phosphorylation, and also lipids.

In addition to ion channels, eukaryotic cells have evolved compartmentalization to orchestrate and separate a myriad of cellular processes. One organizing tool is the partitioning of the cytoplasm into membrane-enclosed compartments called organelles. These specialized subcellular structures have distinct functions and communicate to organize specific cellular tasks via vesicular transport and via membrane-membrane junctions or contact sites. These intimate sites (~10–30 nm) of information transfer can be stable or dynamic and are mediated via specific proteins (Figs. 7.1, 7.2, and 7.4). Two important functions of ER-PM contact sites are (1) the transfer of calcium ions to generate and coordinate cytoplasmic calcium signaling events, and (2) the transfer and regulation of lipids. This chapter briefly highlights the major principles and molecular identity of the proteins involved in regulating cellular excitability at ER-PM contact sites in mammalian cells.

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## 7.1 Role of Calcium in Regulating Cellular Excitability at Membrane Contact Sites

The most widely studied and physiologically important example of how ER-PM contact sites

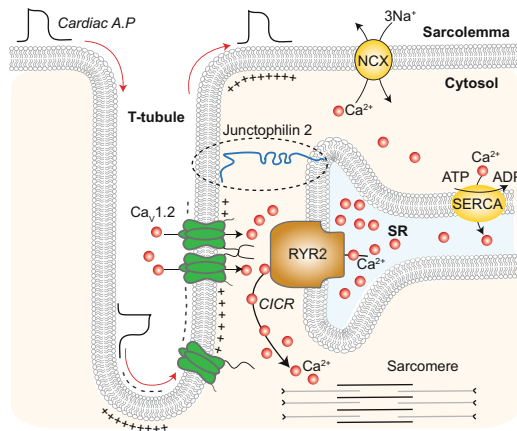
regulate cellular excitability takes place during excitation-contraction (EC) coupling in striated muscle cells. EC coupling is the connection between the electrical action potential and mechanical muscle contraction in cardiac, skeletal, and smooth muscle myocytes. This process of converting an electrical stimulus into a mechanical response is the essential event for many fundamental physiological processes including walking (skeletal muscle), pumping blood around the body (cardiac muscle), and peristalsis (smooth muscle) and relies on the tight physical coupling between the plasma membrane (sarcolemma) and sarcoplasmic reticulum. In the next few sections, we focus our attentions on cardiac and skeletal muscle EC coupling. For cell-specific review of smooth muscle EC coupling mechanisms, see (Berridge 2008; Kotlikoff 2003; Navedo and Santana 2013; Sanders et al. 2012).

### 7.1.1 Cardiac Muscle Excitation-Contraction Coupling

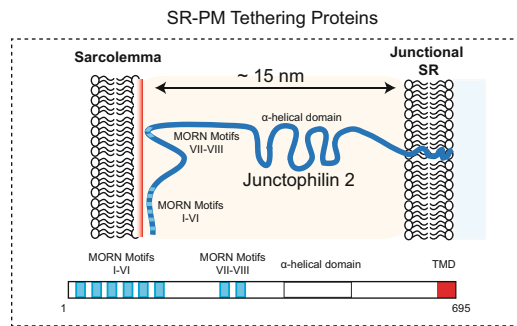
In cardiac muscle (Fig. 7.1a), deep sarcolemma invaginations called transverse tubules (T-tubules) penetrate into the myocyte and are functionally coupled to sarcoplasmic reticulum (SR) cisterna in a 1:1 ratio (1 T-tubule/1 SR terminal cisterna (Moore and Ruska 1957)). These morphological units are often referred to as cardiac dyads (or diads). T-tubule biogenesis has been extensively characterized, and the molecular identity of specific signaling proteins responsible for initiating and regulating this event is constantly evolving. Several proteins thought to have fundamental roles in T-tubule biogenesis include caveolin 3 (CAV3), amphiphysin 2 (BIN1), and dysferlin (DYSF). Among these, amphiphysin 2 (BIN1) appears to have a particularly interesting role through its dual involvement in T-tubule formation (Lee et al. 2002) and shuttling of L-type  $\text{Ca}^{2+}$  channels to the T-tubule sarcolemma (Hong et al. 2010).

The membrane-membrane tethering protein primarily responsible for structural coupling between the sarcolemma and SR (PM-SR) appears to be junctophilin-2 (JPH2, Fig. 7.1a).

## a. SR-PM Contact: Cardiac Myocyte



## b. Tethering Proteins



**Fig. 7.1 Excitation-contraction coupling in cardiac myocytes.** (a) Cartoon of a cardiac myocyte sarcoplasmic reticulum-plasmalemma (SR-PM) contact site. A single T-tubule is paired with a single terminal cisterna of the sarcoplasmic reticulum (dyad). Cardiac action potentials (A.P) propagating along cardiac myocyte T-tubules open clustered voltage-gated calcium channels ( $\text{Ca}_v1.2$ ) to facilitate calcium-induced calcium release (CICR) from ryanodine receptors (RYR2) on the SR. Elevations in

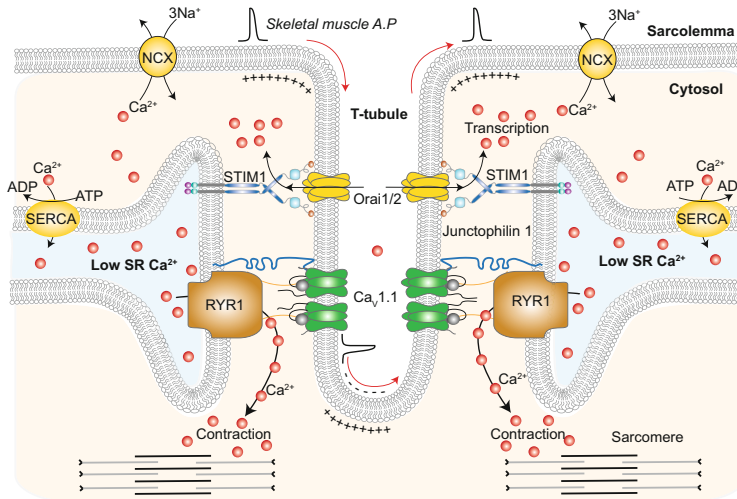
cytoplasmic calcium lead to myocyte contractions. (b) Top: organization and interactions of junctophilin-2 and the plasmalemma. Note the hypothesized interaction between the repeating MORN motifs of junctophilin-2 and the negative charges (red line) of the plasmalemma. Bottom: linear representation of junctophilin-2 protein. NCX, sodium calcium exchanger; SERCA, sarco/endoplasmic reticulum  $\text{Ca}^{2+}$ -ATPase; CC, coiled coil; PBD, polybasic domain; TMD, transmembrane domain

JPH2 promotes coupling through its C-terminal hydrophobic segment spanning the SR membrane and its eight, N-terminus, MORN motifs (membrane occupation and recognition motifs; Fig. 7.1b) potentially interacting with sarcolemma phospholipids (Bennett et al. 2013; Lee et al. 2002) or proteins (Minamisawa et al. 2004). Further emphasizing the importance of JPH2 in mediating PM-SR contact sites, JPH2 knockout myocytes have deficient functional membrane complexes, impaired  $\text{Ca}^{2+}$  signaling, and are embryonic lethal (Takeshima et al. 2000; van Oort et al. 2011). Thus, JPH2 is a tether for the junctional membrane-membrane complex in cardiac EC coupling.

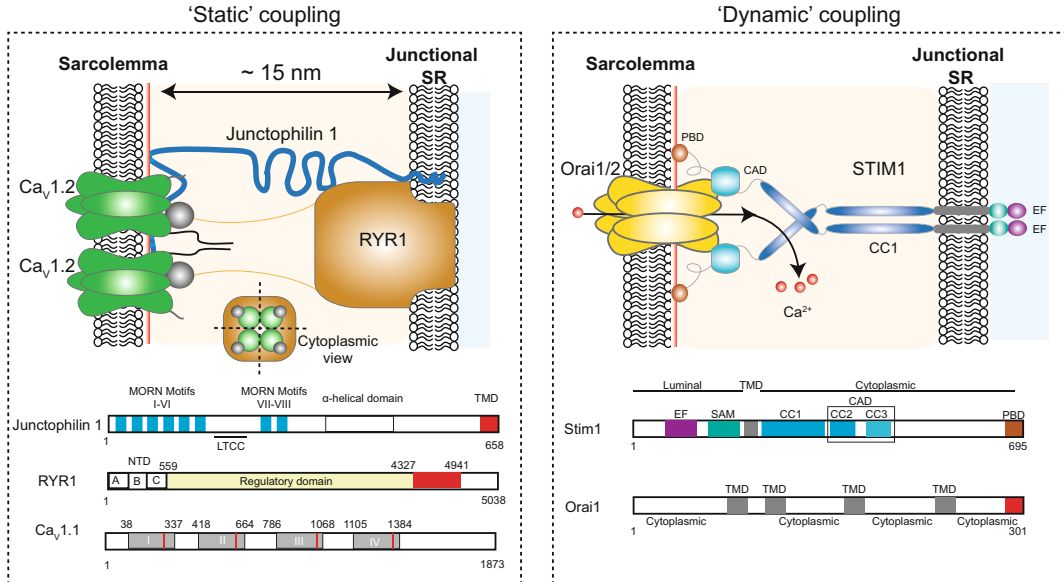
The functional coupling between PM-SR contact sites in cardiac muscle arises from the close apposition between dihydropyridine-sensitive voltage-gated calcium channels ( $\text{Ca}_v1.2$ ) on the sarcolemma and ryanodine receptors (RYR2) on the SR membrane (Fig. 7.1a). Cardiac EC coupling is initiated when an action potential (AP) depolarizes the cell membrane of a cardiac

myocyte, stimulating the brief opening of  $\text{Ca}_v1.2$  channels. Influx of  $\text{Ca}^{2+}$  across a single  $\text{Ca}_v1.2$  channel is known as a “ $\text{Ca}^{2+}$  sparklet” and can be visualized with  $\text{Ca}^{2+}$ -sensitive fluorescent dyes (Wang et al. 2001). These elemental calcium events allow the  $\text{Ca}^{2+}$  concentration within the junctional cleft to increase from  $\sim 100$  nM to  $\sim 10$   $\mu\text{M}$  (Bers and Guo 2005). Recent data suggests that in order to achieve such elevations in calcium,  $\text{Ca}_v1.2$  channels form clusters (average six channels per cluster), with each channel simultaneously opening (cooperative gating) during a cardiac AP (Dixon et al. 2015; Dixon et al. 2012; Inoue and Bridge 2003; Navedo et al. 2010; Sobie and Ramay 2009). This local  $\text{Ca}^{2+}$  microdomain is by itself alone not sufficient to initiate substantial contraction; rather it triggers further “ $\text{Ca}^{2+}$ -induced  $\text{Ca}^{2+}$  release” (CICR: (Fabiato 1983)) from clustered RYR2 on the SR, producing a “ $\text{Ca}^{2+}$  spark” (Cheng and Lederer 2008; Cheng et al. 1993). It is estimated that approximately 25 L-type  $\text{Ca}^{2+}$  channels and 100 RyRs closely appose one another within the

a. SR-PM Contact: Skeletal Muscle



b. SR-PM Tethering Proteins



**Fig. 7.2 Excitation-contraction coupling in skeletal muscle.** (a) Schematic representation of skeletal muscle SR-PM contacts. A single T-tubule paired with two terminal cisternae of the SR (triad). Skeletal muscle action potentials (A.P) invade skeletal myocyte T-tubules to activate voltage-gated calcium channels ( $Ca_v1.1$ ). Movement of the  $Ca_v1.1$  voltage sensor physically induces release of calcium from ryanodine receptors (RYR1) to elicit muscle contraction. Note, unlike Fig. 7.1 in cardiac myocytes, this is a calcium-independent process. In a separate, voltage-independent, calcium release event, store-operated calcium entry (SOCE) is initiated following reduction of SR calcium concentration. Diagram

represents the dynamic reorganization of STIM1 dimers in sarcoplasmic reticulum (SR) membranes and Orai1 hexamers in the plasmalemma. Orai1-STIM1 binding initiates calcium influx to the cytosol, the refilling of SR stores via the SERCA pump, and stimulation of transcription factors. (b) *Left*: “static” SR-PM contact site. *Top*: schematic representation of the organization and interactions of  $Ca_v1.1$  and RYR1. *Bottom*: linear representation of junctophilin-1, RYR1, and  $Ca_v1.1$ . *Right*: “dynamic” SR-PM contact site. *Top*: molecular elements involved in store-operated calcium entry. *Bottom*: linear representation of STIM1 and Orai1 proteins

junctional cleft to form a “couplon” (Bers and Guo 2005). Synchronized activation of multiple sparks leads to a global elevation in intracellular  $\text{Ca}^{2+}$  sufficient to engage the contractile machinery, thereby promoting myocyte contraction and providing the force for pumping blood around the body.

$\text{Ca}_v1.2$  and RYR2 channels are both subject to regulation by multiple signaling pathways. Such signaling pathways have the ability to rapidly alter the amplitude and/or spatial properties of myocyte  $\text{Ca}^{2+}$  signaling to shape cardiac myocyte contraction. For example,  $\text{Ca}_v1.2$  are subject to regulation by protein kinases, including PKA ( $\beta$ -adrenergic receptor stimulation, e.g., during the fight or flight response) and PKC (activated by stimulation of  $\alpha_1$ -adrenergic, angiotensin II, and endothelin  $G_q$ -coupled receptors). RyRs are part of larger macromolecular signaling complexes and as such can have their activity modulated by one or more accessory proteins including calsequestrin, calmodulin, CaMKII-dependent phosphorylation, FK506-binding proteins, and PKA (for review, see (Lanner et al. 2010)).

Following elevations in cardiac myocyte cytosolic  $\text{Ca}^{2+}$ , and consequent activation of the cardiac contractile apparatus,  $\text{Ca}^{2+}$  must be rapidly extruded from the cytosol in preparation for the subsequent action potential. The main mechanisms for  $\text{Ca}^{2+}$  efflux in cardiac myocytes are the sarcolemma sodium/calcium exchanger and the sarco/endoplasmic reticulum  $\text{Ca}^{2+}$  ATPase (SERCA) pump on the SR (Bers 2002).

It is clear that cellular architecture, specifically the maintenance of PM-SR contacts, is critically important to the fidelity of EC coupling. Work from several groups has established that T-tubules are lost or atrophied as cardiac hypertrophy develops, resulting in the progressive orphaning of RYR2 (Gomez et al. 2001; Song et al. 2005). Deficiencies in EC coupling because of T-tubule remodeling have also been observed during the earlier stages of hypertrophy; here the width of the junctional cleft is marginally increased, altering the functional coupling between membrane depolarization and  $\text{Ca}^{2+}$  release from the SR (Xu et al. 2007). Thus,

cardiac EC coupling is dependent on extracellular  $\text{Ca}^{2+}$  and functional coupling between  $\text{Ca}_v1.2$  and RYR2, which relies on the close spatial proximity between the PM and SR membranes.

### 7.1.2 Skeletal Muscle Excitation-Contraction Coupling

Skeletal muscle cells also require precise SR-PM contact site arrangement for functional EC coupling. The highly specialized structure, responsible for translating a skeletal muscle AP into intracellular calcium release and muscle contraction, is known as the triad and consists of a single T-tubule closely ( $\sim 15$  nm) apposed to two adjacent SR terminal cisternae (1 T-tubule/2 SR terminal cisternae; Fig. 7.2a; (Block et al. 1988; Franzini-Armstrong and Protasi 1997)).

Functional coupling between these adjacent membranes arises from the direct physical contact between  $\text{Ca}_v1.1$ -containing heteromultimers arranged into groups of four (“tetrad”) on the sarcolemma, aligned with the four subunits of every other RyR1 homotetramer on the SR membrane (Fig. 7.1b; (Block et al. 1988; Protasi et al. 2002; Protasi et al. 2000)). Accordingly, during propagation of a skeletal muscle A.P along the T-tubule,  $\text{Ca}_v1.1$  channels act as voltage sensors to physically translate membrane depolarizations directly to RYR1, independently of  $\text{Ca}^{2+}$  flux (Armstrong et al. 1972), to trigger release from SR stores. Critical structural determinants required for efficient EC coupling appear to be the  $\text{Ca}_v1.1\alpha_1$  II–III loop (Kugler et al. 2004; Nakai et al. 1998b; Tanabe et al. 1990),  $\text{Ca}_v1.1\beta_{1a}$  carboxyl terminus (Beurg et al. 1999; Sheridan et al. 2003), and multiple regions of RyR1 (Nakai et al. 1998a; Protasi et al. 2002; Sheridan et al. 2006). A unique property of the conformational coupling between  $\text{Ca}_v1.1$  and RyR1 in skeletal muscle is that it occurs bi-directionally (Nakai et al. 1996; Nakai et al. 1998a), with both an orthograde signal passing from the  $\text{Ca}_v1.1$  to RyR1 and also a retrograde signal from RyR1 to the  $\text{Ca}_v1.1\alpha_1$  subunit that enhances  $\text{Ca}^{2+}$  flow across the T-tubule membrane (Nakai et al. 1996).

In skeletal muscle, junctophilin-1 (JPH1) is involved in physically linking the T-tubules to the SR membrane (Fig. 7.1b; Nishi et al. 2000). Consistent with its role as a PM-SR tethering protein, ablation of JPH1 results in distinct morphological abnormalities of the junctional cleft, including deficiencies in SR-PM complexes, swollen terminal cisternae, and decreased triad number. These deficiencies result in impaired contractile force, abnormal sensitivity to extracellular  $\text{Ca}^{2+}$ , and premature death (Ito et al. 2001; Komazaki et al. 2002).

### 7.1.3 Store-Operated Calcium Entry

Another example of how ER/SR-PM communication can shape cellular excitability is store-operated calcium entry (SOCE). The process of store-operated SOCE, whereby  $\text{Ca}^{2+}$  influx across the PM is activated in response to depletion of intracellular ER/SR  $\text{Ca}^{2+}$  stores, plays a critical role in the regulation of gene expression, motility, immune responses, and organ development. The key molecular determinants orchestrating this calcium-signaling cascade (for a comprehensive review, see (Prakriya and Lewis 2015)) are STIM1 and STIM2 (stromal interaction molecules) in the ER/SR membrane and Orai channels (Orai1, Orai2, Orai3) in the plasma membrane (Fig. 7.2a, b). At rest, STIM molecules (typically dimers) and Orai channels (hexamers (Hou et al. 2012)) freely diffuse along the ER/SR and PM, respectively. Physiological stimuli that initiate net depletion of ER/SR  $\text{Ca}^{2+}$  stores promote loss of calcium from the luminal EF-hand domains on STIM1 dimers and induce a conformational change in their structure that favors oligomerization and translocation to ER/SR-PM contacts (Fig. 7.2b). The clustering of multiple STIM1 dimers at ER-PM junctions then traps the freely diffusing Orai hexamers and promotes formation of higher-order oligomers. This intimate molecular choreography results in the influx of calcium into the ER-PM junction (Fig. 7.2b) which (1) helps refill depleted ER/SR calcium stores and (2) activates calcineurin, which dephosphorylates the transcription factor NFAT (nuclear factor of

activated T-cells), exposing a nuclear localization sequence that enables its translocation into the nucleus to help control a wide variety of genes (Feske et al. 2001). ER-PM STIM1-Orai1 puncta deoligomerize, and SOCE is terminated when ER/SR  $\text{Ca}^{2+}$  luminal calcium levels are replenished through the ATP-dependent action of the SERCA pump on ER/SR membranes.

Historically, a role for SOCE in skeletal muscle was considered unlikely since sarcolemmal  $\text{Ca}^{2+}$  entry is not directly required for contraction (see section 1.2). However recent investigations have demonstrated a key role for SOCE in the development and function of skeletal muscle fibers. For example, skeletal myocytes express high levels of both STIM1 and Orai1, with STIM1 localized to the muscle SR at triadic junctions appearing essential for myotube development (Stiber et al. 2008). Finally, loss of STIM1 or Orai1 expression in humans is associated with a congenital nonprogressive myopathy (McCarl et al. 2009). Thus, store-operated and voltage-dependent  $\text{Ca}^{2+}$  pathways may reflect two distinct molecular channel complexes within the triad junction that may enable trans-sarcolemmal  $\text{Ca}^{2+}$  entry.

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## 7.2 Lipid Regulation of Cellular Excitability

We now consider how minority lipids, regulated at ER/SR-PM junctions, can alter cellular excitability. The original hypothesis presented following the work of Hilgemann and Ball (Hilgemann and Ball 1996) was that ion channels in the PM of mammalian cells require interactions with phosphoinositides for proper function. It is clear by now that a large and varied range of ion channels and transporters are regulated by these low-abundance negatively charged phospholipids.

### 7.2.1 Phosphoinositides

Phosphoinositides are a family of eight low-abundance phospholipids found on the cytoplasmic leaflet of all cellular membranes (Balla



2013; Di Paolo and De Camilli 2006). They have an inositol ring that can be phosphorylated or dephosphorylated by a variety of lipid kinases or lipid phosphatases on either the three, four, or five positions, resulting in the synthesis of seven combinatorial PIs (PI(3)P, PI(4)P, PI(5)P, PI(3,4)P<sub>2</sub>, PI(3,5)P<sub>2</sub>, PI(4,5)P<sub>2</sub>, and PI(3,4,5)P<sub>3</sub>). The consequent addition of each phosphate group to the inositol ring confers a -2 charge at the neutral pH of the cytosol. This means that the PM phosphoinositide PI(4,5)P<sub>2</sub>, discussed at length in this chapter, has a -4 charge at pH 7.0. These negative charges enable phosphoinositides to act as negatively charged molecular beacons recruiting cytosolic proteins to specific lipid membranes or binding to the cytosolic domain (s) of membrane proteins. Through the ability of proteins to recognize the distinctive high negative charge and head group geometries of phosphoinositides, PIs play a major role in regulating a wide range of processes including membrane budding and fusion, actin dynamics, and ion channel activity.

Why have mammalian cells evolved lipid-dependent regulation of ion channel function? Two major hypotheses have been presented in recent years. The first is regulation in time by receptors. While integral membrane proteins like ion channels are residing in the plasma membrane, receptors coupled to PLC may become activated and transiently change key membrane lipids sufficiently to modulate channel function (Fig. 7.3 (Brown and Adams 1980)). Thus, electrical and ion-transport properties of the cell may be regulated dynamically by physiological events, such as neurotransmitter or hormone release. A second hypothesis is that phosphoinositide dependence allows control of ion channel activity as they are trafficked to targeted membranes, such as the plasma membrane. During trafficking, these important integral membrane proteins would be quiescent until they enter their favored phosphoinositide environment where they would be subsequently turned on (Hilgemann et al. 2001). Such location-specific control of ion channel and transport activity would ensure that these proteins do not perturb organelle function during trafficking to the plasma membrane.

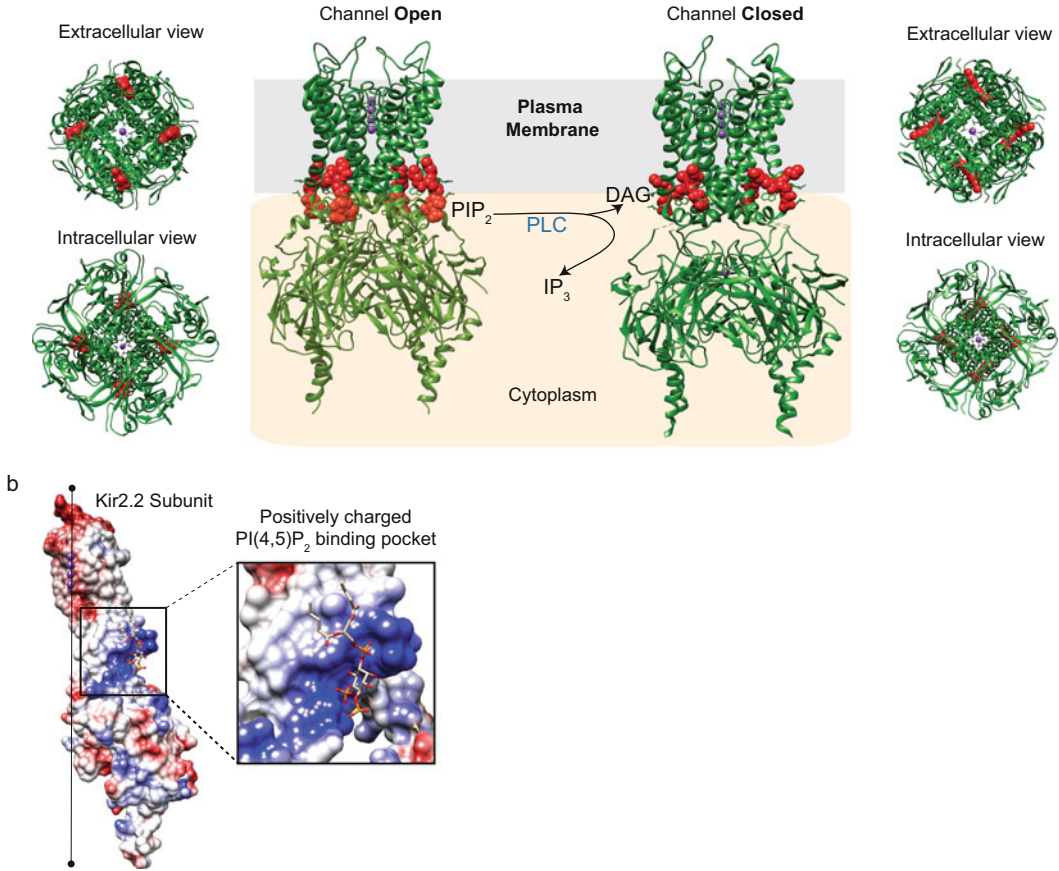
## 7.2.2 Phosphoinositide-Regulated Ion Channel Function

All integral membrane proteins sit in intimate contact with a bed of diverse lipids, so it is not surprising that many proteins have evolved lipid dependence. The regulation of PM ion channel activity by phosphoinositides is now widely recognized as an integral component of electrical signaling in cells. To date around 100 heterogeneous and functionally diverse ion channels and transporters have been shown to be dependent on PM PI(4,5)P<sub>2</sub> (for comprehensive list, see (Hille et al. 2015)). In the next three sections, we describe highlights of what is known about the phosphoinositide dependence of several classes of ion channels who play important roles in controlling the electrical excitability of cells. For detailed review of PI(4,5)P<sub>2</sub>-sensitive ion channels, please refer to (Hille et al. 2015; Logothetis et al. 2010).

### 7.2.2.1 Inwardly Rectifying Potassium (Kir) Channels

How exactly does plasma membrane PI(4,5)P<sub>2</sub> regulate the activity of so many ion channels? Two recent crystal structures of PI(4,5)P<sub>2</sub> in complex with members of the inwardly rectifying potassium (Kir) channel family, Kir2.2 (Hansen et al. 2011), and G protein-coupled inwardly rectifying potassium channel GIRK2 (Kir3.2; (Whorton and MacKinnon 2011)) show how specific protein-lipid interactions facilitate conformational changes in ion channel structure. The x-ray crystallographic structure of Kir2.2 in complex with a short-chain analog of PI(4,5)P<sub>2</sub> reveals a homotetrameric channel composed of a transmembrane domain that forms the potassium-selective pore and a large cytoplasmic domain (Fig. 7.3a). Each of the four channel subunits is in complex with one PI(4,5)P<sub>2</sub> molecule (1:1 ratio) at the interface between the transmembrane and cytoplasmic domains. The positioning of the negatively charged 4- and 5-phosphates of the inositol head group of PI(4,5)P<sub>2</sub> promotes an electrostatic interaction with positively charged residues of the cytoplasmic domain (Fig. 7.3b) and induces

## a Kir2.2 crystal structure



**Fig. 7.3 Regulation of phosphoinositide-dependent ion channels at ER/SR-PM junctions.** (a) Hypothetical model of Kir2.2 channel closure following hydrolysis of PM PI(4,5)P<sub>2</sub> by activated phospholipase C (PLC). Left: open configuration. Crystal structure of four Kir2.2 channel subunits in complex with one PI(4,5)P<sub>2</sub> molecule (1:1 ratio; pdb 3SPI). Closed configuration. Hydrolysis of PM PI(4,5)P<sub>2</sub> (pdb 3SPC) induces a translation (~6 Å) of the cytoplasmic domain away from the plasma membrane and closure of the inner helices. Note this is a hypothetical

translation (~6 Å) of the cytoplasmic domain upward toward the membrane domain and promotes rotation of the inner helices (Fig. 7.3a). This lipid-mediated rearrangement of channel structure favors the active conformation of the channel (Fig. 7.2a) and provides evidence for lipid binding being a condition for channel activation. In the structure of a constitutively active GIRK2 channel (Kir3.2 R201A) with PI(4,5)P<sub>2</sub>, there are similar striking changes

scenario. The channel structure in the “closed” configuration is Kir2.2 in complex with pyrophosphatidic acid (PPA), which is similar in structure to DAG. (b) Electrostatic map of a single Kir2.2 subunit in complex with a single PI(4,5)P<sub>2</sub> molecule (*blue* is positive; *red* is negative; *white* is neutral). The vertical line is the pore axis with four K<sup>+</sup> ions (*purple*) visible. The PI(4,5)P<sub>2</sub>-binding site comprises numerous basic residues (*blue*) that interact electrostatically with the negatively charged phosphates of PI(4,5)P<sub>2</sub>

in channel conformation, with a comparable rotation of the cytoplasmic domains and splaying apart of the inner helices, promoting gate opening (Whorton and MacKinnon 2011). Given that all Kir channels appear to be PI(4,5)P<sub>2</sub> dependent (Rohács et al. 2003; Zhang et al. 1999), and have conserved residues within their PI(4,5)P<sub>2</sub> binding pockets (Hansen et al. 2011), it is reasonable to suggest that such lipid-dependent, electrostatically-mediated conformational changes in



protein structure may present a conserved gating mechanism for Kir's and possibly other ion channels.

### 7.2.2.2 Voltage-Gated Potassium Channels

Voltage-gated potassium channels are critical determinants in setting (resting membrane potential) and shaping (duration/repolarization of the action potential) the membrane potential in excitable cells. Despite the large number of voltage-gated potassium channels ( $K_v1$ - $K_v11$ ), the clearest and most prominent examples of regulation by  $PI(4,5)P_2$  are members of the slowly activating, non-inactivating  $K_v7$  ( $K_v7.1$ - $7.5$ ) potassium channel family. All members of the  $K_v7$  channel family are  $PI(4,5)P_2$  dependent (Kruse et al. 2012; Suh et al. 2004; Zaydman and Cui 2014; Zaydman et al. 2013). In particular it is accepted for the neuronal  $K_v7.2/K_v7.3$  heterotetrameric potassium channels that  $PI(4,5)P_2$  is essential for their voltage-gated activity and that depletion of  $PI(4,5)P_2$  renders them inactive so the neuron becomes more excitable until the lipid is resynthesized (Hille et al. 2014; Vivas et al. 2014; Zhang et al. 2003).

For the other tested members of the  $K_v$  channel family,  $PI(4,5)P_2$  is apparently not essential, and whether it has modulatory effects under physiological conditions is controversial in several examples (Kruse and Hille 2013). Why then, in contrast to all Kir channels, do only a subpopulation of  $K_v$  channels appear to be regulated by PM  $PI(4,5)P_2$ ? One hypothesis is that despite the observed increase in excitability during  $PI(4,5)P_2$  depletion, a reserve of potassium channel activity is needed to repolarize the membrane potential (Kruse and Hille 2013). For that reason, the  $PI(4,5)P_2$  insensitivity of many other important voltage-gated potassium channels (such as  $K_v1.1/K_v\beta1.1$ ,  $K_v1.5/K_v\beta1.3$ ,  $K_v3$ , and  $K_v4$ ) might be a necessity to initiate action potential repolarization during periods of receptor-mediated  $PI(4,5)P_2$  depletion.

### 7.2.2.3 Voltage-Gated Calcium Channels

Voltage-gated calcium ( $Ca_v$ ) channels mediate calcium influxes upon membrane depolarization that initiate the effector actions of electrically

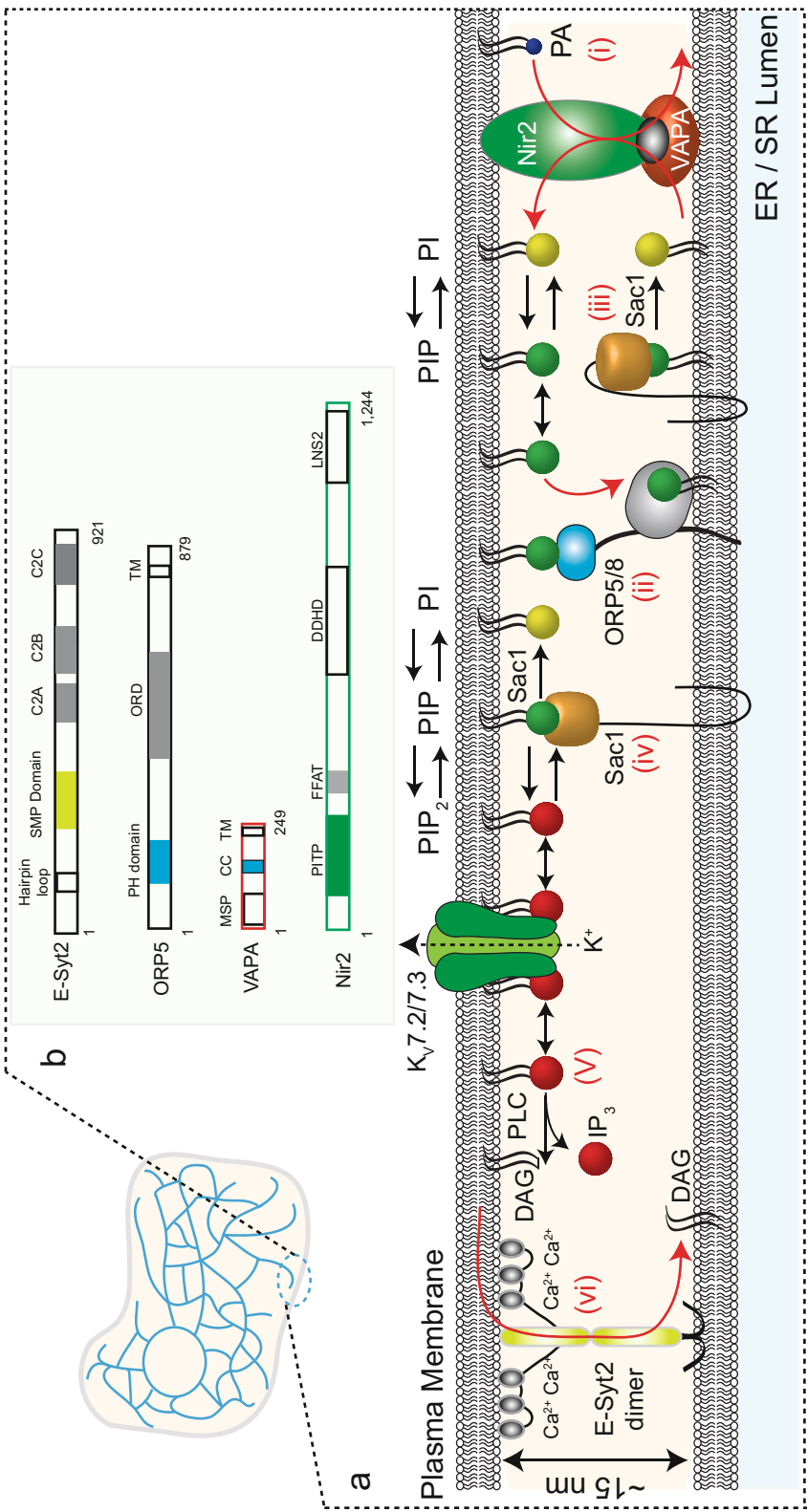
excitable cells (see sections 1.2, 1.3). Over the past few years, several voltage-activated  $Ca_v$  channels have been shown to have partial (30–50%)  $PI(4,5)P_2$  dependence (Suh et al. 2012; Wu et al. 2002). Intriguingly, this phosphoinositide dependence of  $Ca_v$  channels changes with coexpression of different  $Ca_v$   $\beta$ -subunits (Suh et al. 2012).  $Ca_v$  channels that interact with  $\beta_{2a}$  (lipidated) have little  $PI(4,5)P_2$  sensitivity, whereas  $Ca_v$   $\beta_3$  subunits (non-lipidated) show greater  $PI(4,5)P_2$  sensitivity (Suh et al. 2012). These findings raise the interesting concept that excitable cells may be able to “tune” the lipid dependence of specific ion channels by regulating the expression of not only the lipid or pore-forming  $\alpha$ -subunit but also auxiliary  $\beta$ -subunits.

## 7.3 Regulation of Phosphoinositide Metabolism at Membrane Contact Sites

In this section we discuss how membrane contact sites represent platforms for regulating PM phosphoinositides and thus ion channel function and cellular excitability. As partially discussed in section 1, and in other chapters in this book, membrane contact sites are regions of close proximity ( $< 20$  nm) between adjacent membranes in mammalian cells (Fig. 7.4a). They should be considered portals of information transfer, distinct from classic vesicular transport, and are essential for regulating cellular calcium dynamics and membrane lipid identity. Membrane contact sites are established by organelle-specific membrane-membrane tethering proteins and contain a growing number of additional proteins that organize information transfer to shape membrane phosphoinositide composition.

### 7.3.1 Receptor-Mediated $PI(4,5)P_2$ Depletion

In excitable cells a major mechanism by which PM  $PI(4,5)P_2$  levels are reduced is through activation of the G protein-coupled receptor



**Fig. 7.4 Phosphoinositide metabolism at membrane contact sites.** (a) Endoplasmic reticulum-plasma membrane junction (ER-PM). (i) During periods of net PI(4,5)P<sub>2</sub> depletion, Nir2 translocates and binds to VAPA/B on ER membranes to mediate the transfer of PI. (ii) ORP5/8 proteins tether ER-PM junctions via interactions between their PH domain and facilitate the countertransport of PM PI(4)P for ER PS. (iii) ER PI(4)P is dephosphorylated to PI by the ER-resident lipid phosphatase Sac1 acting in cis. (iv) PM PI(4)P is dephosphorylated to PI via Sac1 acting in trans. (v) During periods of PM PI(4,5)P<sub>2</sub> depletion, PI(4,5)P<sub>2</sub>-dependent ion channels like K<sub>v</sub>7.2/7.3 will have their activities suppressed to modulate cellular electrical excitability. (vi) Extended synaptotagmin (E-Syt) proteins tether ER-PM junctions via interactions with PM PI(4,5)P<sub>2</sub> via their C2 domains. The SMP domain of E-Syt2 is also responsible for clearing PM DAG. The distance between ER and PM membrane junctions is approximately 15 nm. (b) Linear representation of the tethering proteins present at ER-PM contacts

signaling cascade. Activation of  $G_q$  subsequently activates PLC, which cleaves PM PI(4,5) $P_2$  into the second messengers, cytosolic messenger inositol 1,4,5-trisphosphate (IP<sub>3</sub>), and membrane-bound diacylglycerol (DAG; see Fig. 7.4). IP<sub>3</sub> binding to IP<sub>3</sub> receptors (IP<sub>3</sub>Rs) at the ER triggers the release of Ca<sup>2+</sup> into the cytosol. Calcium and DAG activate PKC. Net depletion of PI(4,5) $P_2$  will also result in the closure of PI(4,5) $P_2$ -dependent ion channels, like  $K_{v7.2/7.3}$  (Fig. 7.4). Following  $G_q$ -receptor stimulation and subsequent PM PI(4,5) $P_2$  hydrolysis, there is an immediate need for cells to replenish their depleted PM PI(4,5) $P_2$  pools. In mammalian cells, PI(4,5) $P_2$  is generated in two steps: PI is phosphorylated by a PI 4-kinase to generate PI(4)P, which is subsequently phosphorylated by PI(4)P 5-kinase to generate PI(4,5) $P_2$ . One role for ER-PM contact sites is to help regulate the resynthesis of PM PI(4,5) $P_2$  following its depletion.

### 7.3.2 Transfer of PI to the Plasma Membrane

Following  $G_q$ -receptor-mediated PM PI(4,5) $P_2$  depletion, phosphoinositide transfer domains, called Nir2 and Nir3, are recruited to ER-PM junctions (Fig. 7.4 a(i)) (Chang et al. 2013; Kim et al. 2013; Kim et al. 2015). This recruitment is triggered through the combined actions of DAG and phosphatidic acid (Kim et al. 2015) and mediated through an interaction with the FFAT motif on the ER vesicle-associated membrane protein (VAMP)-associated proteins A and B (VAP-A and VAP-B) (Amarilio et al. 2005) (Fig. 7.4a, b). The stimulated recruitment of Nir2 and Nir3 to contact sites serves to deliver PI to the PM to replenish PM PI(4)P. The same process also delivers phosphatidic acid to the ER for future PI synthesis (Fig. 7.4a) (Kim et al. 2015).

### 7.3.3 Transfer and Regulation of PI(4)P at ER-PM Junctions

The majority of PM PI(4)P, the precursor to PM PI(4,5) $P_2$ , seems to be generated by the

PM-targeted lipid kinase PI4KIII $\alpha$  (Chung et al. 2015a; Nakatsu et al. 2012) and delivery of PI(4)P from the Golgi (Dickson et al. 2014; Szentpetery et al. 2010). Recently, several integral ER proteins have been identified as key regulators of PM PI(4)P. The first of these are the oxysterol-binding protein (OSBP)-related protein 5 (ORP5) and ORP8 (Chung et al. 2015b). Through the action of a hydrophobic tail sequence that anchors it in the ER membrane and a pleckstrin homology (PH) domain that interacts with PM PI(4)P, ORP5/8 serves dual functions at ER-PM contact sites (Fig. 7.4a (ii)). Not only do these proteins facilitate contact between the two membranes, i.e., acts as a tether, but they also facilitate the exchange of phosphatidylserine (PS) for PI(4)P at these junctions (Chung et al. 2015b; Maeda et al. 2013). In addition to the ORP proteins, an ER PI(4)P phosphatase, Sac1, is present at ER-PM junctions (Fig. 7.4 (iii) and (iv)) and can regulate PM PI(4)P (Dickson et al. 2016; Stefan et al. 2011) directly and PM PI(4,5) $P_2$  indirectly (Dickson et al. 2016). The precise mode of action of Sac1, i.e., acting in trans (Fig. 7.4 (iv)), across the junctional cleft to directly dephosphorylate PM PI(4)P, or in cis (Fig. 7.4 (iii)) to dephosphorylate transferred ER PI(4)P, remains to be fully determined.

### 7.3.4 PI(4,5) $P_2$ at ER-PM Contact Sites

Many ER-PM tethering proteins share a unifying architecture with an ER-anchoring domain and lipid-interacting domain (Bennett et al. 2013; Giordano et al. 2013). For example, interactions between the ER and PM can be enhanced following increases in intracellular calcium (E-Syt1) (Idevall-Hagren et al. 2015) or dissolved following receptor-mediated PI(4,5) $P_2$  depletion (Chung et al. 2015b; Dickson et al. 2016; Giordano et al. 2013). Such dynamic lipid-protein interactions mean that PI(4,5) $P_2$ -dependent contact sites are inherently dynamic and also self-regulating (Fig. 7.4). At steady-state net depletion of PM PI(4,5) $P_2$  reduces the number of ER-PM contacts and reduces the population of Sac1 proximate to the PM. Loss of both

Sac1 and ORP5 would release an inhibitory brake on the PM PI(4)P pool, allowing PM PI(4)P and PI(4,5)P<sub>2</sub> levels to accumulate. Increases in PM PI(4,5)P<sub>2</sub> help reestablish ER-PM contacts and, after PI(4)P accumulation, bring ORP5 and also Sac1 back into close proximity of the PM, reapplying the brake on PI(4)P and PI(4,5)P<sub>2</sub> accumulation. Physiologically, the dynamic correlation between phosphoinositides and ER-PM contacts would be beneficial for the recovery of each of the PM phosphoinositide species following periods of net depletion and provide a mechanism for the temporal and spatial positioning of ER-PM contacts depending on the requirements of the cell.

## 7.4 Perspectives

The concept that ER and PM contacts represent “intracellular synapses” for the transfer of information to shape membrane identity and excitability has been discussed for 60 years. With the advancement of new technologies to image at higher resolution in living cells, we are beginning to understand the molecular identity and activities of many more proteins and effectors that exist within these intimate regions of membrane-membrane contact. There is still much information to determine. Future advances must address not only the nanoscopic structural organization and function of ER-PM contacts in excitable cells but also their role(s) in coordinating macroscopic cellular events. It remains to be seen if single endogenous tethering proteins work independently to create their own distinct signaling nano-domains or if multiple tethers work synergistically/cooperatively in primary cells, like myocytes or neurons.

## 7.5 Conclusion

Endoplasmic reticulum-plasma membrane contact sites orchestrate, segregate, and organize specific cellular tasks in a spatially defined, compartmentalized manner to shape cellular excitability. These contact sites serve important

functions in regulating membrane proteins, lipids, and ion composition. We anticipate that future studies will uncover additional proteins involved in information transfer at membrane-membrane junctions and elucidate their role in cellular pathways and physiological events.

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## Abstract

Lipid droplets (LDs) are often found adjacent to the endoplasmic reticulum (ER). The ER–LD association may appear morphologically similar to the prototypical membrane contact sites found between the ER and other organelles, but the functional relationship between the ER and LDs is unique in that highly hydrophobic lipid esters are transported between them. This transportation is thought to occur through some form of membrane continuity, but its details are yet to be defined. Lipin, seipin, and FIT proteins, which are located at the ER–LD interface, may be involved in the lipid ester transport and probably play important roles for functional connectivity of the two organelles. More recently, LDs in the nucleus were found to be closely adhered to the inner nuclear membrane, representing a specialized form of the ER–LD association. In this article, we will give an overview of the ER–LD association, which is still filled with many unanswered questions.

## Keywords

Lipid droplet • Endoplasmic reticulum • Lipid ester • Phospholipid monolayer • Triglyceride • Cholesterol ester • Nuclear membrane • Lipin • Seipin • FIT protein

## 8.1 Introduction

Lipid droplets (LDs) are composed of a surface phospholipid monolayer and a lipid ester core,

which in most cells is a mixture of triacylglycerols (TAG) and cholesterol esters (CE). Historically, LD was largely regarded as an inert repository for lipids, but this view was changed by the discovery of a number of functional proteins in and around LDs and of LD functions that extend beyond simple lipid deposition. Currently, LDs are recognized as independent organelles with a dynamic nature (Walther

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and Farese 2012; Ohsaki et al. 2014; Gao and Goodman 2015; Welte 2015).

Conventional electron microscopy often shows LDs adjacent to the ER, and the ER–LD relationship may look similar to the relationships between the ER and other organelles at membrane contact sites (MCS) (Phillips and Voeltz 2016). Yet the ER–LD association is probably different from those at authentic MCS, such as the mitochondria-associated membrane (MAM), because the ER is where LDs form (Note: LDs may also form through the division of existing LDs, but this mechanism probably plays a relatively minor role in most cells). Phospholipids and sterols might be transported at the ER–LD interface as in other MCS, but only at the ER–LD interface can lipid esters synthesized in the ER be transferred to LDs. Given the hydrophobic nature of lipid esters, the transport may require direct continuity between the ER membrane and the LD surface, even though transiently. There has been much speculation on how this transport is performed, but definite answer based on solid experimental data has not been obtained.

## 8.2 Putative Membrane Continuity Between the ER and LDs

The enzymes required in the final steps of the TG and CE synthetic pathways, diacylglycerol O-acyltransferase 1 (DGAT1) and DGAT2, and acyl-CoA acyltransferase 1 (ACAT1) and ACAT2, respectively, are present in the ER membrane (Yen et al. 2008; Chang et al. 2009). The activity center of these enzymes faces either the cytoplasmic or the luminal side of the membrane, but generated lipid esters are thought to be assimilated to the ER membrane rather than deposited to the aqueous phase. Small amounts of lipid esters can exist within the phospholipid layers of the membrane (Gorriessen et al. 1980, 1982), but when their quantities exceed a solubility limit, they are likely to “oil out,” i.e., to precipitate forming a lens-shaped mass between the two phospholipid layers (Khandelia et al. 2010). As this lens-shaped intramembrane mass becomes larger, it may bud toward the

cytoplasm, being covered by the cytoplasmic leaflet of the ER membrane, and eventually become an independent LD (please see Ohsaki et al. 2014 for other hypotheses).

Many aspects of the LD formation process have not been established experimentally. No matter how the process of nascent LD formation proceeds, however, it is plausible that hydrophobic lipid esters are always shielded from the aqueous phase by a phospholipid monolayer as in mature LDs (Tsuchi-Sato et al. 2002), which would entail the presence of membrane continuity between the ER and newly forming LDs. This idea is consistent with the observation that some membrane proteins move between the ER and LDs (Zehmer et al. 2009; Jacquier et al. 2011). In particular, yeast Dga1p was observed to move in both directions in an energy-independent manner (Jacquier et al. 2011), indicating that its translocation is not mediated by vesicular trafficking but rather, probably, by lateral diffusion through membrane continuity.

Morphological observation of the ER–LD continuity has been reported in only a few instances, however. When the conventional chemical fixation and embedding method have been used, continuity has been observed only under special conditions (Wanner et al. 1981; Ohsaki et al. 2008). Seemingly direct ER–LD contact was observed through electron microscopy of quick-frozen and freeze-substituted specimens (Wilfling et al. 2013), but it is not clear yet whether the cytoplasmic leaflet of the ER membrane and the phospholipid monolayer of the LD surface are directly continuous. The difficulty of observing structural ER–LD continuity may be due to the inability of chemical fixatives to stabilize the structure. In light of the relatively long time that is required for Dga1p to move from the ER to an LD (Jacquier et al. 2011), and of the facilitation of its retrograde movement from an LD to the ER by Ice2p (Markgraf et al. 2014), it is likely that the connection between the ER and LDs is not a stable structure, but one that forms only transiently in a regulated manner.

In this context, it is noteworthy that the activation of the Arf1/COPI machinery induces the

budding of small-sized LDs from larger LDs (Thiam et al. 2013a; Wilfling et al. 2014). Because smaller LDs have a higher surface-to-volume ratio than larger ones, the budding of small-sized LDs is thought to decrease the phospholipid density in the larger LD surface, causing packing defects and stimulating fusion between the large LD and the adjacent ER membrane (Wilfling et al. 2014). The presence of a putative Arf1 regulator ELMOD2 in LDs is consistent with the action of Arf1/COPI (Suzuki et al. 2015). Nevertheless, the Arf1/COPI-dependent process may not be the sole mechanism by which the ER–LD connection is generated, because the translocation of Dga1p in yeast was observed even in the absence of COPI (Jacquier et al. 2011).

### 8.3 Proteins at the ER–LD Interface

The putative membrane continuity between the ER and LDs is likely to be regulated by some protein machineries. In this section, we will discuss several proteins that have been reported to exist at the ER–LD interface and have been implicated in the functional linkage between the ER and LDs. It must be noted, however, that our knowledge of whether a protein is located at the ER–LD interface is largely limited to what we can see through fluorescence microscopy. Thus it is not always clear whether a protein is actually present at a structure connecting the ER and LDs as we tend to imagine from schematic diagrams like Fig. 8.1a.

#### 8.3.1 Lipin

Pah1p, a yeast homolog of mammalian lipin, is a phosphatidic acid (PA) phosphatase that generates diacylglycerol (DAG) from PA. In yeast lacking Pah1p, though the total amount of lipid esters is not changed, LD formation is suppressed and aberrant accumulation of lipid esters is observed around the ER (Adeyo et al. 2011). The defect observed in *pah1Δ* is not due to a decrease in TAG synthesis caused by the

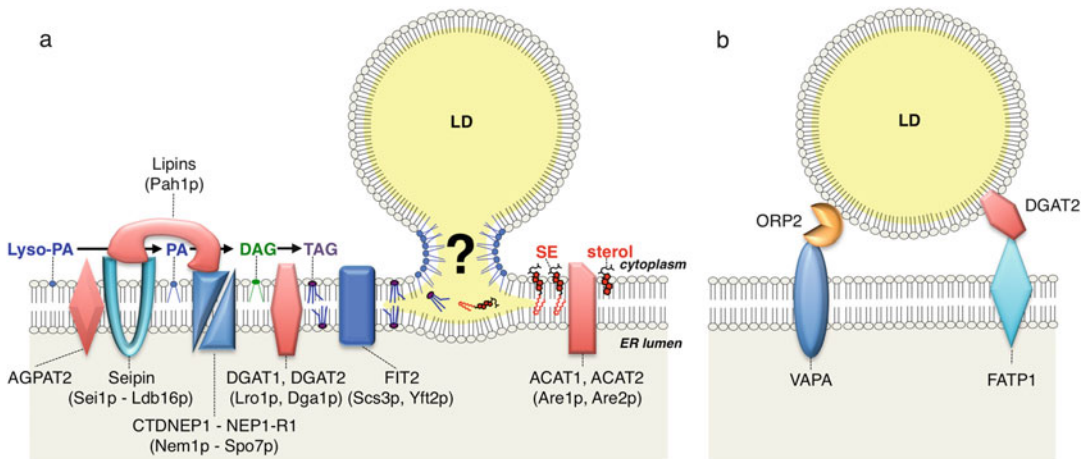
decrease in DAG, because *dgalΔhro1Δ* that lacks TAG but contains sterol esters has LDs in the presence of Pah1p but not in *pah1Δ* (Adeyo et al. 2011).

Pah1p is inactive when it exists as a soluble cytosolic protein in its phosphorylated form. It is activated by being dephosphorylated by the phosphatase complex Nem1p–Spo7p and recruited to the ER membrane via an amphipathic helix (Karanasios et al. 2010). Nem1p, the catalytic subunit of the phosphatase complex, was observed as a punctum adjacent to an LD through fluorescence microscopy (Adeyo et al. 2011). The overexpression of Nem1p and Spo7p recruited Pah1p to LDs (Karanasios et al. 2013). More recently, a catalytically inactive form of Pah1p was shown to be targeted to two distinct puncta flanking the nucleus–vacuolar junction where LDs form in the diauxic phase (Barbosa et al. 2015). These results suggest that Pah1p is involved in LD formation at its interface with the ER.

Lipins in mammalian cells are expressed in five isoforms (i.e., lipin1 $\alpha$ , lipin1 $\beta$ , lipin1 $\gamma$ , lipin2, and lipin3) encoded by three different genes (Csaki et al. 2013). Lipin1 $\alpha$  and lipin1 $\beta$  in macrophages (Valdearcos et al. 2011) and lipin1 $\gamma$  in Cos7 cells (Wang et al. 2011) were found to be associated with LDs, and adipocytes depleted of lipin1 and lipin2 showed defects in LDs (Sembongi et al. 2013). These results suggest that mammalian lipins also play some role in LD formation. The mammalian orthologs of Nem1p and Spo7p are C-terminal domain nuclear envelope phosphatase 1 (CTDNEP1) (Kim et al. 2007) and nuclear envelope phosphatase 1-regulatory subunit 1 (NEP1-R1) (Han et al. 2012), respectively, but whether these proteins are involved in LD formation is yet to be studied.

#### 8.3.2 Seipin

Mammalian seipin and yeast Sei1p (formerly Fld1p) are integral ER membrane proteins that are thought to exist at the ER–LD junction (Lundin et al. 2006; Szymanski et al. 2007).



**Fig. 8.1** The ER–LD interface. (a) Molecules related to LD formation. Lipin (Pah1p) is activated by the CTDNEP1-NEP1-R1 (Nem1p-Spo7p) complex and catalyzes the conversion from PA to DAG. Seipin (Sei1p-Ldb16p complex in yeast) may be related to PA metabolism through interaction with AGPAT2 and lipin, which are ER enzymes that produce PA from lyso-PA and DAG from PA, respectively. FIT1 and FIT2 (Scs3p, Yft2p) facilitate incorporation of TAG into LDs.

DGAT2 in mammals and Dga1p in yeast are depicted as proteins in the ER, but they also exist in LDs. Whether the ER and LDs are connected by a membrane bridge as depicted in this figure is not clear. (b) Molecules in the putative ER–LD MCS. FATP1 in the ER binds with DGAT2 in LDs. VAPA in the ER interacts with the soluble cytosolic protein ORP2, which may be bound to LDs

Seipin was originally discovered as a protein that was mutated in Berardinelli-Seip congenital lipodystrophy patients, who lack adipose tissue and fat deposition in the liver and muscles (Magre et al. 2001). In cells defective in seipin, LDs are small and aggregated. This phenotype is shared by yeast lacking Sei1p, which shows small irregular droplets in some cells, but harbors super-sized LDs in other cells (Szymanski et al. 2007; Fei et al. 2008). Recently, another transmembrane ER protein, Ldb16p, was found to make a complex with Sei1p, and *ldb16Δ* recapitulated the LD phenotype of *sei1Δ*. Interestingly, overexpression of seipin can rescue abnormalities of both *sei1Δ* and *ldb16Δ*, indicating that mammalian seipin is the functional homolog of the yeast Sei1p-Ldb16p complex (Wang et al. 2014; Cartwright et al. 2015; Grippa et al. 2015).

Seipin and Sei1p have a similar secondary structure, i.e., two transmembrane regions, a central loop in the ER lumen and N- and C-termini in the cytoplasm, and show homo-oligomerization (Binns et al. 2010; Fei et al. 2011a). Neither

seipin nor the Sei1p-Ldb16p complex seems to have enzymatic activity, suggesting that their functions may be structural or carried out by interacting partners. In this context, it is notable that seipin was shown to interact with 14-3-3 $\beta$  protein (Yang et al. 2014), sarco/endoplasmic reticulum Ca<sup>2+</sup>-ATPase (SERCA) (Bi et al. 2014), and perilipin2 (Mori et al. 2016) as well as lipin1 (Sim et al. 2013) and 1-acylglycerol-3-phosphate O-acyltransferase2 (AGPAT2) (Talukder et al. 2015). Some of these interactions, however, are not likely to be directly related to the LD phenotype shown by seipin mutants; for example, the SERCA ortholog is not present in yeast, and the deletion of putative Ca<sup>2+</sup>-ATPase in yeast organelles does not cause LD abnormality (Cartwright et al. 2015).

On the other hand, it is notable that a seipin oligomer can simultaneously bind with both AGPAT2 and lipin1 (Talukder et al. 2015), which catalyzes the conversion of lysoPA to PA and of PA to DAG, respectively. Although it is not known whether the Sei1p-Ldb16p complex

interacts with proteins related to PA metabolism, deletion of *Sei1p* was shown to increase the PA level in microsomes (Fei et al. 2011b). Curiously, puncta marked by PA-binding protein probes were observed at the ER–LD interface in *sei1Δ*, even when the cellular PA level was thought to be decreased (Grippa et al. 2015; Han et al. 2015). This result led to the proposal that a function of *Sei1p* may be preventing PA from being trapped by influencing the membrane curvature at the ER–LD juncture (Han et al. 2015). Here it is of note that mutation of *AGPAT2* is also a cause of Berardinelli-Seip congenital lipodystrophy (Agarwal et al. 2002).

On the other hand, in *sei1Δ*, defects in the phospholipid monolayer and a decrease in LD-specific proteins were observed, and thus *Sei1p* was hypothesized to be a diffusion barrier involved in establishing the LD identity (Grippa et al. 2015). In support of this idea, in the absence of seipin, nascent LDs failed to become mature LDs, indicating that transport of newly synthesized lipid esters at the ER–LD junction is defective (Wang et al. 2016). The latter study failed to observe an increase in cellular PA or PA accumulation at any specific site in *Drosophila* S2 cells, suggesting that abnormality in LDs may not be caused by aberrant PA metabolism, at least in this cell type (Wang et al. 2016).

Another interesting phenotype associated with *sei1Δ* and *ldb16Δ* is the aberrant formation of LDs in the nucleus (Cartwright et al. 2015; Wolinski et al. 2015). The result suggests that the *Sei1p*-*Ldb16p* complex is also involved in determining the proper direction of LD formation, but the molecular mechanism behind this function is not known.

In addition to abnormalities in LD formation at the cellular level, seipin deficiency causes defects in adipocyte differentiation (Payne et al. 2008; Yang et al. 2013). Regulation of protein kinase A-dependent lipolysis (Chen et al. 2012) and actin cytoskeleton remodeling via 14-3-3 $\beta$  protein (Yang et al. 2014) were shown as seipin functionalities required for adipocyte differentiation. The C-terminal domain appears to be critical for seipin's adipogenic function (Yang et al. 2013), but the molecular mechanism regulating adipogenesis is still poorly understood.

Seipin is glycosylated in the central luminal loop domain, and mutations in its glycosylation sites cause neurodegeneration, leading to motor neuron diseases called seipinopathies that include distal hereditary motor neuropathy type V and Silver syndrome. Seipinopathies are thought to be caused downstream of ER stress and unfolded protein response due to a toxic gain-of-function and are probably not caused by a defect in the LD-related functionality of seipin (Windpassinger et al. 2004; Ito and Suzuki 2007).

### 8.3.3 FIT Proteins

The mammalian fat storage-inducing transmembrane (FIT) proteins, FIT1 and FIT2, are six-pass transmembrane proteins in the ER with both termini facing the cytosol. FIT1 is mainly found in the heart and skeletal muscle, while FIT2 is ubiquitously expressed with its highest expression in white and brown adipose tissue. Both FIT1 and FIT2 bind to TAG and DAG *in vitro* (Gross et al. 2011).

Overexpression of FIT proteins in cultured cells increased TAG incorporation into LDs (Kadereit et al. 2008). On the other hand, knockdown of FIT2 in 3T3-L1 adipocytes decreased the number and size of LDs (Kadereit et al. 2008). Consistent with these *in vitro* results, FIT2-knockout mice showed a decrease in LDs in preadipocytes without changes in TAG synthesis and degradation (Miranda et al. 2014), and postnatal FIT2 depletion caused LD arrest in the ER lumen of enterocytes, leading to lethal enteropathy and malabsorption (Goh et al. 2015). Furthermore, a more recent study on yeast lacking FIT2 homologs, i.e., *Scs3p* and *Yft2p*, as well as 3T3-L1 cells after FIT2 knockdown, showed aberrant accumulation of LDs, which were enclosed by the ER membrane (Choudhary et al. 2015). These findings are consistent with the hypothesis that FIT proteins may be related to TAG packaging and budding of LDs (Fig. 8.1b).

Nevertheless, FIT protein functionality may not be simple. Another study on *scs3Δyft2Δ* yeast did not detect any change in the number and size of LDs, but found a defective response

to ER stress, which may have been caused by modulation of the ER membrane property (Moir et al. 2012). It is not known whether FIT proteins are concentrated at the ER–LD interface.

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## 8.4 Membrane Contact Sites Between the ER and LDs

MCS is generally defined as a site where two adjacent membranes are apposed with a narrow gap but do not fuse each other. Through conventional electron microscopy using chemical fixation and plastic embedding, we can frequently observe a close ER–LD association which fits the morphological criteria for MCS. However, as discussed above, the structure between the ER and LDs may not be stable and may be difficult to preserve using conventional sample preparation methods. Therefore we must be aware of the possibility that a seemingly MCS-like structure at the ER–LD interface may not represent the real structure *in vivo*.

Though its structural characteristics remain ambiguous, certain proteins may interact at the possible MCS between the ER and LDs. The first protein combination is fatty acid transport protein (FATP1)/acyl-CoA synthase and DGAT2; their binding has been observed in *C. elegans* and mammalian cells (Xu et al. 2012). The FATP1 is an ER protein while DGAT2 is present in LDs, and the interaction between them is thought to be linked to TAG synthesis and its incorporation into LDs. The second protein combination is VAMP-associated protein (VAP) A, a transmembrane protein in the ER, and ORP2, an oxysterol-binding protein (OSBP)/OSBP-related proteins (ORPs) family protein in the cytosol. The latter interaction was found between overexpressed proteins and adjacent clustered LDs, which induced increases in LDs and TAG synthesis (Weber-Boyvat et al. 2015). VAP proteins and OSBP/ORPs are engaged in the transport of small molecules at MCS between the ER and late endosome (Raiborg et al. 2015), suggesting that a similar transport may be occurring between the ER and LDs. These results suggest that the ER and LDs may be linked

functionally even without direct membrane continuity.

It is noteworthy that forced reduction of perilipin2, a protein constitutively existing in the LD surface, was found to increase the ER–LD association. Perilipin2 in LDs was decreased either by RNAi of perilipin2, by overexpression of Rab18, or by treatment with brefeldin A; in all these conditions, apposition between the ER and LDs was significantly enhanced (Ozeki et al. 2005). The phospholipid monolayer in the LD surface has a propensity to adhere to other membranes due to its innate high surface tension, and this is eased by the presence of proteins like perilipin2 (Thiam et al. 2013b). It is thus plausible that the ER–LD association is facilitated by the downregulation of perilipin2. It is tempting to think that the ER–LD interaction is regulated passively through such a shielding function of LD-associated proteins as well as actively by interaction of specific protein partners.

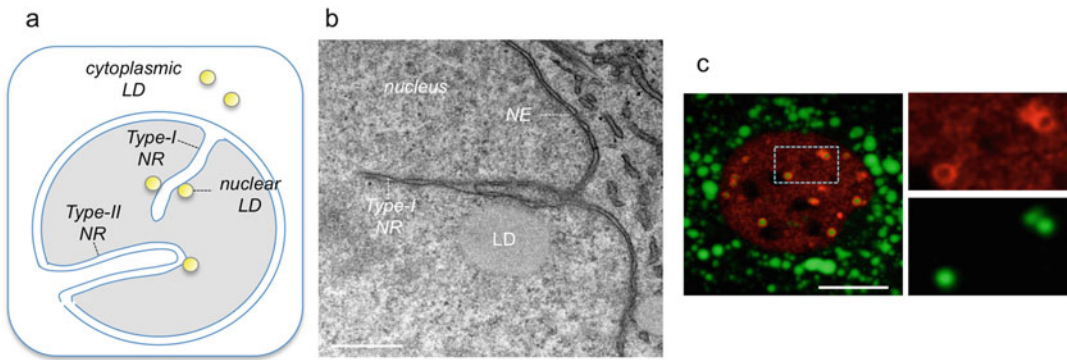
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## 8.5 The Nuclear Membrane and Nuclear LDs

LDs are often present in the nucleus, but the abundance of nuclear LDs varies between cell types and is not correlated with that of cytoplasmic LDs. Recently we found that nuclear LDs are closely associated with the nucleoplasmic reticulum (NR) (Ohsaki et al. 2016), which is the extension of the nuclear membrane into the nucleoplasm (Malhas et al. 2011) (Fig. 8.2). The NR harbors DGAT2, and nuclear LDs can become larger within the interphase nucleus by incorporating newly synthesized lipid esters (Ohsaki et al. 2016). These findings seem to suggest that the relationship between nuclear LDs and the NR is essentially the same as that between cytoplasmic LDs and the ER.

There are, however, a number of notable differences between nuclear and cytoplasmic LDs (Ohsaki et al. 2016). First, nuclear LDs are associated with the promyelocytic leukemia (PML) nuclear body (Bernardi and Pandolfi 2007). This association suggests that nuclear LDs might be related to some of the diverse





**Fig. 8.2** Nuclear LDs. (a) Nuclear LDs are associated with the NR. The type I NR is the extension of the inner nuclear membrane alone, whereas the type II NR is the invagination of the whole nuclear envelope, made of both the outer and the inner nuclear membranes. (b) The association between a nuclear LD and the type I NR

observed in Huh7 cells (hepatocellular carcinoma cell line) that were cultured with 0.4 mM oleic acids for 12 hr. Bar, 0.5  $\mu$ m. (c) Accumulation of CCT $\alpha$  (red) in nuclear LDs (green) in Huh7 cells treated as in (b). Insets show magnified images of the rectangular area (dotted line). Bar, 10  $\mu$ m

functions ascribed to the PML nuclear body, but this possibility is yet to be addressed. Second, overexpression of PML-II, a PML protein isoform, increased both NR and nuclear LDs, but intriguingly, the effect of PML-II overexpression was observed only in limited cell types, in which PML-II showed binding to the nuclear membrane. This cell type specificity is apparently correlated with the properties of the nuclear membrane, which is known to be highly variable in the protein composition (de Las Heras et al. 2013). Third, nuclear LDs harbored proteins resident in cytoplasmic LDs, such as perilipin3, Rab18, and adipocyte triglyceride lipase, but lacked perilipin2. This difference may affect the functionality of LDs. Fourth, CTP: phosphocholine cytidyltransferase alpha (CCT $\alpha$ ) was recruited to nuclear LDs. CCT $\alpha$  is the rate-limiting enzyme of the Kennedy pathway for phosphatidylcholine synthesis and is activated upon binding to a phospholipid layer. The nuclear membrane and cytoplasmic LDs were shown to be the platform for CCT $\alpha$  activation in other cell types (Gehrig et al. 2008; Kraemer et al. 2011), but nuclear LDs may also play this role, at least in some cell types (Fig. 8.2c). These characteristics suggest that nuclear LDs are not generated by accidental entry of cytoplasmic LDs into the nucleoplasm, but that they have unique properties and

functions. Accordingly, we speculate that their association with the NR may also have a functional significance distinct from that of the association between the ER and cytoplasmic LDs.

## 8.6 Concluding Remarks

MAM, the prototypical MCS (Vance 2014), was originally described through electron microscopy as a site where an ER cistern and a mitochondrion are located closely side by side, usually within a distance of 10–20 nm. Later, MAM was obtained as a membrane fraction containing not just the ER membrane directly facing mitochondria but also the ER membrane outside of that region. By treating this isolated preparation as MAM, the definition of MAM was essentially broadened. Nevertheless this redefinition was deemed reasonable because the MAM fraction was enriched with a particular set of molecules in comparison to the canonical ER fraction.

As a consequence of this redefinition, however, the morphological criteria by which MAM and other MCS are identified have become loose; adjacent organelles separated by distances much greater than 10–20 nm are often classified as MCS. Here we think it is important to reconfirm that the molecular basis of MCS is adhesion



mediated by distinct protein machineries. In other words, organelles intercalated with a gap too wide to be spanned by protein(s) must not be thought to form MCS in the absence of further information.

The ER–LD relationship is not a canonical MCS as discussed in this article. The ER is where LDs are generated, and in hepatocytes and small intestinal epithelial cells that synthesize lipoproteins, the ER is also where lipid esters in LDs are hydrolyzed and then re-esterified to make lipoproteins (Gibbons et al. 2000; Quiroga and Lehner 2012). The molecules involved in these functions are unique, and thus the structural requirements are most likely different from those of authentic MCS found in other locations.

Now that plentiful molecular information is becoming available, it is all the more important to observe the morphology of the ER–LD interface in detail and to define the location of each molecule in an ultrastructural context. Only by this approach will the functional relationship between the ER and LDs be understood more clearly.

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# Role of Intra- and Inter-mitochondrial Membrane Contact Sites in Yeast Phospholipid Biogenesis

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Yasushi Tamura and Toshiya Endo

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## Abstract

Eukaryotic cells exhibit intracellular compartments called organelles wherein various specialized enzymatic reactions occur. Despite the specificity of the characteristic functions of organelles, recent studies have shown that distinct organelles physically connect and communicate with each other to maintain the integrity of their functions. In yeast, multiple inter- and intramitochondrial membrane contact sites (MCSs) were identified to date and were proposed to be involved in phospholipid biogenesis. In the present article, we focus on inter- and intra-organellar MCSs involving mitochondria and their tethering factors, such as the ERMES (endoplasmic reticulum (ER)–mitochondria encounter structure) complex and EMC (conserved ER membrane protein complex) between mitochondria and the ER, vCLAMP (vacuole and mitochondria patch) between mitochondria and vacuoles, and the MICOS (mitochondrial contact site) complex between the mitochondrial outer and inner membranes (MOM and MIM). All of these membrane-tethering factors were proposed to be involved in phospholipid biogenesis. Furthermore, the existence of functional interconnections among multiple organelle contact sites is suggested. In the present article, we summarize the latest discoveries in regard to MCSs and MCS-forming factors involving mitochondria and discuss their molecular functions, with particular focus on phospholipid metabolism in yeast.

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## Keywords

Mitochondria • Phospholipid • ERMES • vCLAMP • EMC • MICOS • Yeast

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## 9.1 Identification of Inter-mitochondrial Membrane Tethering Factors

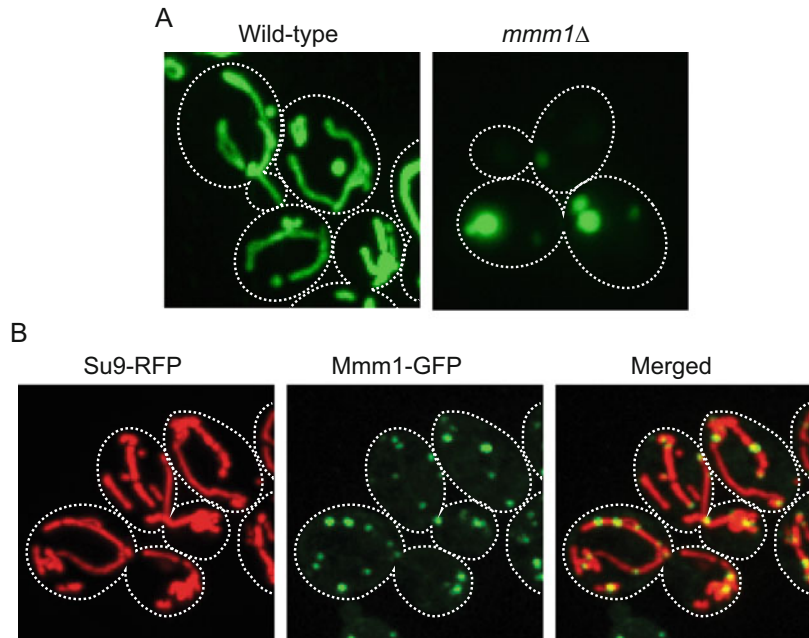
### 9.1.1 Mitochondria–ER Tethering 1: The ERMES Complex

In yeast, the ERMES (ER–mitochondria encounter structure) complex is demonstrated to directly tether the ER and the mitochondrial outer membrane (MOM). The ERMES consists of four core components: Mmm1, Mdm10, Mdm12, and Mdm34 and three peripheral subunits: Gem1, Lam6/Ltc1, and Tom7. Mmm1, Mdm10, and Mdm12 were identified during the 1990s as temperature-sensitive (*ts*) alleles that affect mitochondrial distribution and morphology (Burgess et al. 1994; Sogo and Yaffe 1994; Berger et al. 1997). Upon cultivation at nonpermissive temperature (37 °C), *mmm1*, *mdm10*, and *mdm12* *ts*-mutant cells show aberrant mitochondrial morphology, i.e., large spherical mitochondria (Fig. 9.1a). Then, genome-wide screening using a yeast deletion mutant library allowed identification of an additional ERMES-core subunit, Mdm34 (Dimmer et al. 2002). Deletion of Mdm34 leads to an abnormal mitochondrial shape similar to those observed in *mmm1*, *mdm10*, and *mdm12* mutant cells. Subsequently, *MDM34* was also identified as a gene that is synthetically lethal in combination with the *mmm1* *ts* mutation (Youngman et al. 2004). Importantly, when expressed as a fusion protein with a fluorescent protein or when analyzed by indirect immunofluorescence microscopy, Mmm1, Mdm10, Mdm12, and Mdm34 were observed as punctate structures localized on the mitochondria tubule (Hobbs et al. 2001; Boldogh et al. 2003; Youngman et al. 2004) (Fig. 9.1b). Moreover, co-immunoprecipitation studies revealed interactions among Mmm1, Mdm10, Mdm12, Mdm34, and Tom7 (Boldogh et al. 2003; Meisinger et al. 2004, 2006, 2007; Yamano et al. 2010; Stroud et al. 2011). A fraction of Mdm10 also interacts with the sorting and assembly machinery (SAM) complex, which is a translocator complex in the MOM that facilitates

the import and assembly of  $\beta$ -barrel proteins (Meisinger et al. 2004, 2006, 2007; Yamano et al. 2010). Although all the ERMES core subunits were initially thought to be mitochondrial proteins, Mmm1 was later found to be N-glycosylated, indicating that Mmm1 is an integral ER protein (Kornmann et al. 2009). Therefore, Mmm1 connects the ER membrane and the MOM through the interactions with other ERMES subunits localized in the MOM, such as Mdm10 and Mdm34 (Kornmann et al. 2009). This physical connection between the ER and mitochondria was shown to be important for efficient phospholipid transport between these organelles (the lipid-transfer function of ERMES is discussed in Section 2). Interestingly, expression of ChiMERA, an artificial ER–mitochondria tethering protein, was found to at least partially rescue defects in growth, mitochondrial morphology, and phospholipid transport in cells lacking an ERMES subunit, which supports the proposed ER–mitochondria tethering function by ERMES (Kornmann et al. 2009).

Subsequent studies further identified the additional ERMES components Gem1 and Lam6/Ltc1 as factors copurified with human influenza hemagglutinin (HA)-tagged or green fluorescent protein (GFP)-tagged ERMES subunits (Kornmann et al. 2011; Stroud et al. 2011; Elbaz-Alon et al. 2015; Murley et al. 2015). Gem1 belongs to the Miro (mitochondrial Rho) family and contains two GTPase domains and calcium-binding EF-hand motifs, respectively. Two mammalian Gem1 orthologs, Miro-1 and Miro-2, were first shown to anchor to the MOM and to be involved in mitochondrial biogenesis (Fransson et al. 2003). Subsequently, a study revealed that Gem1, a yeast Miro protein, is also tail-anchored in the MOM and that its GTPase and EF-hand domains are exposed to the cytosol (Frederick et al. 2004). Lam6/Ltc1 is also highly conserved from yeast to human and possesses glucosyltransferases, Rab-like GTPase activators and myotubularins (GRAM) domain, and a VAD1 analog of StAR-related lipid transfer (VAST) domain, which are structurally similar to the pleckstrin homology (PH) and

**Fig. 9.1** The ERMES complex in yeast. **(a)** Mitochondria are visualized with mitochondria-targeted GFP in wild-type and *mmm1* $\Delta$  cells. **(b)** Mitochondria and the ERMES complexes are visualized with mitochondria-targeted RFP (Su9-RFP) and C-terminally GFP-tagged Mmm1, respectively



StAR-related lipid-transfer (StART) domains, respectively (Khafif et al. 2014). Consistent with the predicted functions of the GRAM and VAST domains in lipid binding, Lam6/Ltc1 was shown to facilitate sterol transport (Murley et al. 2015). Both Gem1 and Lam6/Ltc1 also form punctate structures that are co-localized with the ERMES dots. However, although the ERMES foci can no longer form in the absence of any of the ERMES core subunits, they remain intact even when Gem1 or Lam6/Ltc1 is lacking. This indicates that Gem1 and Lam6/Ltc1 are not essential for formation of the ERMES foci, but have a regulatory role in the formation of ERMES foci. Loss of Gem1 results in a slight decrease in the number and increase in the size of ERMES foci in a cell (Kornmann et al. 2011), whereas overexpression of Lam6/Ltc1 enlarges the ERMES region (Elbaz-Alon et al. 2015). Lam6/Ltc1 is localized at several MCSs, not only at the ER–mitochondria but also at the mitochondria–vacuole and ER–vacuole contact sites, likely through interactions with specific receptors. Tom70 and Tom71, the translocase receptors of the TOM (translocase of the mitochondrial OM) complex and a vacuolar protein

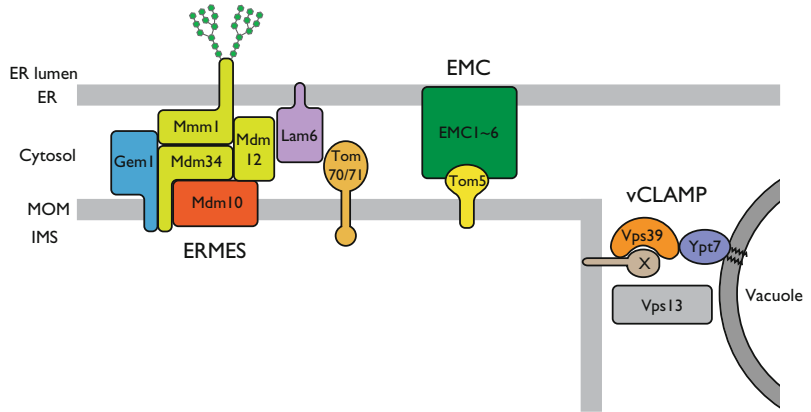
Vac8, are considered to be responsible for localizations of ER–mitochondria and ER–vacuole membrane contact sites of Lam6/Ltc1, respectively (Fig. 9.2). At vacuoles, Lam6/Ltc1 appears important for formation of sterol-rich domains (Murley et al. 2015).

### 9.1.2 Mitochondria–ER Tethering 2: The EMC Complex

In addition to the ERMES complex, an additional ER–mitochondria tethering complex, the EMC (conserved ER membrane protein complex), was identified (Lahiri et al. 2014). The EMC, consisting of six ER proteins (Emc1–6), was originally reported as a protein complex important for protein folding in the ER (Jonikas et al. 2009). Subsequently, the EMC was proposed to facilitate phosphatidylserine (PS) transport from the ER to mitochondria by physically tethering the ER and the MOM (Lahiri et al. 2014). Since most phospholipids are synthesized in the ER, they must be distributed to other organelle membranes such as mitochondrial membranes after their syntheses (Fig. 9.3). However, the



**Fig. 9.2** Diagram of inter-mitochondrial tethering complexes. X indicates an unidentified receptor for Vps39



molecular mechanism facilitating transport of phospholipids from the ER to, e.g., mitochondria remained largely unknown.

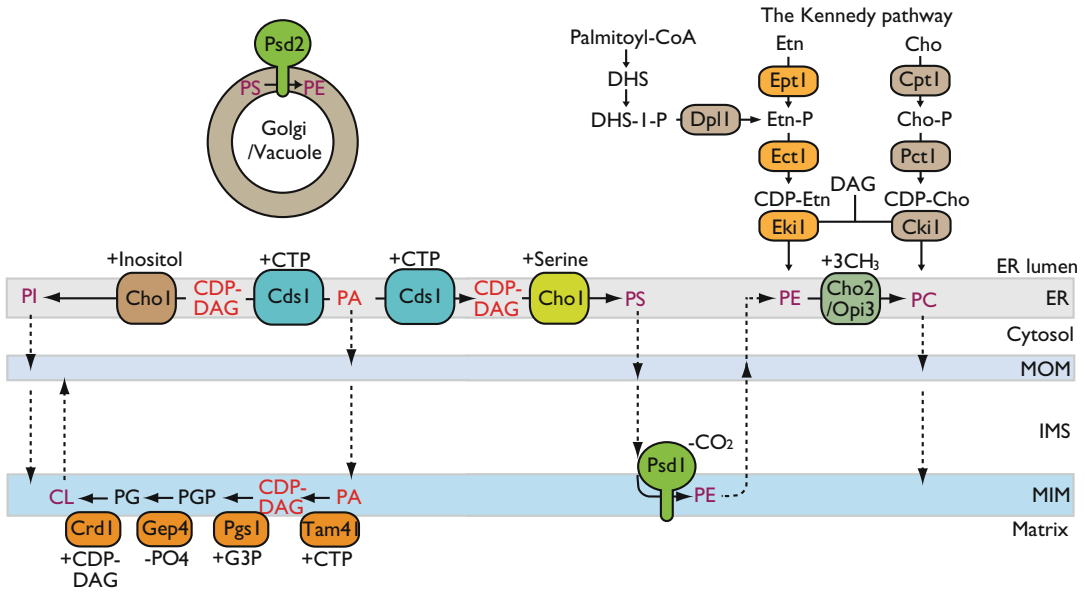
To identify factors involved in phospholipid transport between the ER and mitochondria, Lahiri et al. (2014) focused on the genetic interaction between the *PSD1* and *CHO2* genes. *PSD1* encodes the mitochondrial PS decarboxylase, which generates phosphatidylethanolamine (PE) from PS, whereas *CHO2* encodes the ER-resident PE methyltransferase, which is responsible for phosphatidylcholine (PC) - production through PE methylations (Clancey et al. 1993; Kodaki and Yamashita, 1989) (Fig. 9.3). Analyses of the synthetic genetic array (SGA) revealed that *PSD1* and *CHO2* genetically interact (Tong et al. 2001); simultaneous deletions of *PSD1* and *CHO2* result in synthetic growth defects. This synthetic growth defect observed in *psd1Δcho2Δ* cells can be rescued by supplement of culture medium with ethanolamine or choline, which results in the production of PE or PC in cells via the Kennedy pathway (Gibellini and Smith. 2010). These results suggest that the gene required for PE production in mitochondria shows a negative genetic interaction with the *cho2Δ* mutation. Since PS synthesized in the ER has to be transported to mitochondria for the production of PE, genes involved in the PS transfer from the ER to mitochondria are also expected to genetically interact with *CHO2*. EMC components, which

were identified as genetic interactors with *CHO2*, were thus subjected to analyses of their possible functions in PS transfer and ER–mitochondria tethering. As expected, loss of multiple EMC proteins caused defects in cell growth, the PS to PE conversion rate, as well as formation of the ER–mitochondria contacts (Lahiri et al. 2014). In addition, Lahiri et al. found interactions between EMC proteins such as *Emc1*, *Emc2*, and *Emc5*, and a MOM protein *Tom5*, which also genetically interacts with *CHO2*. Interestingly, the defects in cell growth and PS to PE conversion due to the loss of EMC can be partially recovered by expressing *ChiMERA*. These results support the idea that EMC functions as an ER–mitochondria tether like ERMES.

### 9.1.3 Mitochondria–Vacuole Tethering: vCLAMP

Characterization of *Gem1* and *Lam6/Ltc1* revealed a dynamic feature of the ER–mitochondria contact; the size and number of ERMES are variable and are probably regulated in response to cellular demand. Such dynamic alteration in the number of ERMES was also uncovered through identification and characterization of vCLAMP (vacuole and mitochondria patch), a mitochondria–vacuole MCS (Elbaz-Alon et al. 2014; Hönscher et al. 2014). Elbaz-Alon et al. utilized the yeast deletion library





**Fig. 9.3** Phospholipid biosynthetic pathways in yeast. Phospholipid syntheses require inter- and intra-organelle phospholipid transport. Broken and solid lines indicate phospholipid movements and conversions, respectively. *DHS* dihydrosphingosine, *DHS-1-P* dihydrosphingosine 1-phosphate, *Etn* ethanolamine, *Etn-P* ethanolamine

phosphate, *Cho* choline, *Etn-P* choline phosphate, *PA* phosphatidic acid, *PI* phosphatidylinositol, *PS* phosphatidylserine, *PE* phosphatidylethanolamine, *PC* phosphatidylcholine, *DAG* diacylglycerol, *PGP* phosphatidylglycerol phosphate, *PG* phosphatidylglycerol, *CL* cardiolipin, *G3P* glycerol 3-phosphate

devised to express Mdm34-GFP and screened genes whose loss leads to alteration in the appearance of ERMES dots under a fluorescent microscope. This genome-wide microscopic analysis identified a number of genes that modulate the appearance of ERMES dots. Among them, *Vps39*, which was previously shown as a component of the homotypic membrane fusion and vacuole protein sorting (HOPS) tethering complex, was found to increase the number of ERMES dots upon its absence (Balderhaar and Ungermann, 2013). Consistently, *Vps39* localizes at a specific region of the vacuole that is closely apposed to mitochondria. This vacuole–mitochondria MCS was termed vCLAMP. Hönscher et al. also speculated that the HOPS complex may be involved in the mitochondria–vacuole contact due to its membrane-tethering function upon endosome–vacuole or vacuole–vacuole fusions (Balderhaar and Ungermann, 2013). Interestingly, overexpression of *Vps39*, but not other HOPS components such as *Vps41* or *Vps11*, enlarges

the MCS between mitochondria and the vacuole (Hönscher et al. 2014). Close functional relationships between ERMES and vCLAMP were also suggested by the following observations: (1) simultaneous loss of ERMES and *Vps39* is synthetic lethal; (2) overexpression of *Vps39* rescues defective growths of ERMES-lacking cells; (3) the absence of ERMES extensively expands the vCLAMP region; (4) phospholipid transport between the ER and mitochondria is compromised in cells lacking both ERMES and vCLAMP (Hönscher et al. 2014; Elbaz-Alon et al. 2014).

Notably, the interrelation between ERMES and vCLAMP may be regulated by the metabolic state of the cell. Since yeast can grow by both fermentation and respiration, it switches between the metabolic states in response to nutrient conditions. When cultivated in glucose-containing media, yeast grow by fermentation, which metabolizes glucose to ethanol and carbon dioxide. On the other hand, when only a non-fermentable carbon source such as ethanol,

glycerol, or lactic acid is available in a culture media, yeast cells grow by respiration. The number of ERMES dots increases, while that of vCLAMP decreases, under the respiratory condition. In parallel, Vps39 is phosphorylated at positions S246, S247, S249, and S250 and dissociates from mitochondria under the respiratory condition (Hönscher et al. 2014). Therefore, vCLAMP formation is likely regulated through phosphorylation of Vps39 in response to the growth condition.

## 9.2 Role of Inter-organelle MCSs Involving Mitochondria in Phospholipid Biogenesis

### 9.2.1 In Vivo Analysis of ERMES Functions in Phospholipid Transport

Theoretically, close inter-organelle contacts could contribute to facilitating phospholipid transport between the organelles by shortening the physical distances. Kornmann et al. showed that ERMES is important for efficient phospholipid transport between the ER and mitochondria (Kornmann et al. 2009). PS is synthesized in the ER and is converted to PE in mitochondria, where Psd1 PE synthase is present. The synthesized PE then moves back to the ER and is converted to PC through PE methylation, mediated by Cho2/Opi3 PE methyltransferases in the ER. Thus, the fate of pulse-labeled PS can be used as a useful index of the phospholipid transport between the ER and mitochondria in vivo (Fig. 9.3). In vivo pulse-chase experiments revealed that the PS to PC conversion rates are compromised in the absence of Mmm1, Mdm10, Mdm12, or Mdm34, indicating that at least either PS transport from the ER to mitochondria or PE transport from mitochondria to the ER is slowed in the absence of ERMES (Kornmann et al. 2009). Further supporting the lipid-transfer function of ERMES, Mmm1, Mdm34, and Mdm12 possess a SMP (synaptotagmin-like, mitochondrial, and lipid-binding proteins) domain, which could serve as

a lipid-binding pocket (Kopeck et al. 2011). Mmm1 and Mdm12 indeed bind phospholipids (AhYoung et al. 2015). However, the phospholipid transfer function of ERMES was challenged by other studies. Nguyen et al. and Voss et al. performed similar in vivo pulse-chase experiments using radioisotope (RI)-labeled serine and showed that transport of PS from the ER to mitochondria, which is measured by PS to PE conversion, was not affected by the absence of an ERMES subunit (Nguyen et al. 2012; Voss et al. 2012).

Since the previous in vivo studies focused on different phospholipid transport steps, i.e., only PS transport from the ER to mitochondria (Nguyen et al. 2012; Voss et al. 2012) or transport of both PS and PE between the ER and mitochondria (Kornmann et al. 2009), results from the above studies cannot be directly compared, although the loss of the ERMES subunit may at least not strongly affect phospholipid transport. The moderate effects of the absence of ERMES on phospholipid transport could reflect the presence of redundant phospholipid transport routes distinct from ERMES. As mentioned above, in addition to ERMES, multiple inter-organelle MCSs involving mitochondria, such as EMC and vCLAMP, exist, and these inter-organelle MCSs could compensate for defective phospholipid transport due to functional defects of ERMES. Supporting this idea, EMC was suggested to mediate PS transport from the ER to mitochondria as described above. The vCLAMP region extensively expands in response to the absence of ERMES, suggesting that the vacuole, which is connected to the ER through vesicular transport, may serve as a backup phospholipid source when the ER–mitochondria contact is not fully active. Interestingly, dominant mutants of Vps13, a possible vCLAMP constituting protein, was found to rescue defects in growth, mitochondrial morphology, and maintenance of mitochondrial DNA in cells lacking ERMES (Lang et al. 2015). These results indicate that the functions of ERMES can be bypassed by EMC and/or vCLAMP.

In summary, yeast cells exhibit multiple inter-organelle MCSs involving mitochondria,

which may be functionally redundant for inter-organelle phospholipid transport. Such a complementary functional relationship among the multiple MCSs limits the analysis of the direct role of each phospholipid transfer machinery or pathway in inter-organelle phospholipid transport *in vivo*. Furthermore, strong growth defects in the absence of ERMES could indirectly affect the phospholipid transport *in vivo*, which would also hamper the straightforward interpretation of the *in vivo* analyses of MCS functions in lipid transport.

### 9.2.2 In Vitro Analysis of the Function of ERMES in Phospholipid Transport

To overcome the potential indirect effects of functional defects of MCSs in *in vivo* lipid transport analysis, an *in vitro* assay using membrane fractions containing the ER (microsomes) and mitochondria isolated from yeast cells could be developed (Choi et al. 2005). In fact, Nguyen et al. and Voss et al. performed *in vitro* lipid transport experiments to show that ERMES is dispensable for PS transport from the ER to mitochondria (Nguyen et al. 2012; Voss et al. 2012). However, these previous *in vitro* experiments were only applied to the PS import from the ER into mitochondria because PC production is inefficient as compared with generation of PS and PE under their *in vitro* assay conditions (Simbeni et al. 1993; Achleitner et al. 1999; Tamura et al. 2012a). This means that PE export or PC synthesis is inefficient *in vitro*. Thus, we attempted to optimize the *in vitro* lipid transport assay suitable for monitoring not only the import of PS into mitochondria from the ER but also the export of PE from mitochondria to the ER, through PS conversions to PE and PC (Kojima et al. 2016). The advantage of this *in vitro* assay system is that one can directly analyze phospholipid transport between the ER and mitochondria free from possible secondary effects arising from the presence of other

organelle membranes, such as endosomes or the vacuole, which could also be the sources of phospholipid supply *in vivo*.

To examine whether ERMES plays a positive role in phospholipid transport, we prepared membrane fractions from wild-type cells and mutant cells lacking an ERMES subunit, Mmm1, Mdm12, or Mdm34. These cells express Vps13-D716H, a “dominant positive” Vps13 mutant, whose expression rescues the growth defects due to defective ERMES functions, to minimize the secondary effects (Lang et al. 2015). Importantly, we found that the loss of Mmm1, Mdm12, or Mdm34 significantly decelerates the transport of PS from the ER to mitochondria. However in contrast, the transport of PE from mitochondria to the ER, which is estimated from the relative amounts of phosphatidyl dimethylethanolamine (PDME) + PC to PE ((PDME+PC)/PE), is less dependent on Mmm1 and Mdm34 (Kojima et al. 2016). While the PE to PDME/PC conversion rate was not significantly altered by the absence of Mdm12, it was even accelerated in the absence of Mmm1 or Mdm34. The *mmm1Δmdm12Δ* membranes essentially showed similar results to the *mmm1Δ* membrane, indicating that *MMM1* is epistatic to *MDM12* (Kojima et al. 2016). In summary, ERMES plays a positive role in PS transport from the ER to mitochondria, whereas it is dispensable for the transport of PE from mitochondria to the ER. In addition, Mmm1 and Mdm34 may perhaps play a negative regulatory role in the unidentified PE transport pathway from mitochondria to the ER.

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### 9.3 Intramitochondrial Membrane Tethering Factors

Factors responsible for formations of inter-organelle MCSs involving mitochondria such as ERMES, EMC, and vCLAMP were found to contribute to inter-organelle phospholipid trafficking. Then intramitochondrial MCSs may also facilitate phospholipid transport between

the MOM and the mitochondrial inner membrane (MIM). The surface area of the MIM is much larger than that of the MOM since the MIM forms a number of tubelike or lamellar sheetlike invaginations called cristae. The MIM is thus structurally categorized into two parts: the invaginated cristae membrane (CM) and the flat inner boundary membrane (IBM), which is closely apposed to the MOM. Translocation of mitochondrial proteins takes place at the IBM where the TIM23 complex, the mitochondrial protein translocator complex in the MIM, is mainly present (Vogel et al. 2006; Wurm and Jakobs, 2006). The TIM23 complex directly interacts with the TOM40 complex in the MOM and likely couples protein translocation across the MOM with that across the MIM (Tamura et al. 2009a; Shiota et al. 2011). Therefore, phospholipid transport may well take place between the MOM and the IBM as well. In addition to the TIM23 and TOM40 complexes, the mitochondrial fusion proteins Fzo1 and Ugo1 in the MOM and Mgm1 in the MIM are suggested to physically interact over the membrane, likely participating the formation of the contacts between the MOM and MIM (Sesaki et al. 2003; Wong et al. 2003; Sesaki and Jensen. 2004).

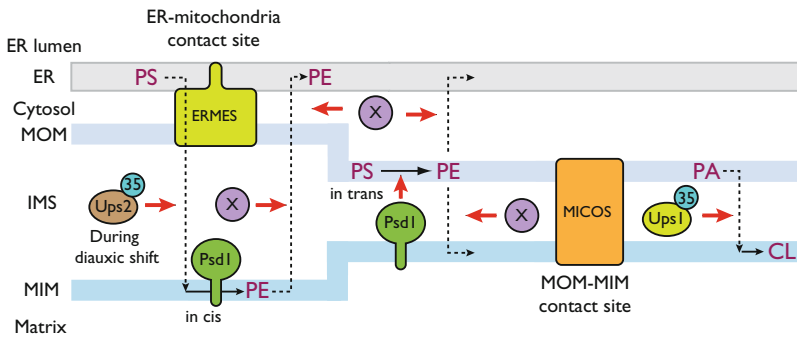
The MICOS (mitochondrial contact site) complex is another MIM protein complex that is proposed to form the MOM–MIM contact site by interacting with MOM proteins such as Sam50, Ugo1, Om45, Por1, and Tom40 (Harner et al. 2011; Hoppins et al. 2011; von der Malsburg et al. 2011). Although the MICOS proteins were first identified as factors responsible for formation of the crista junction, a constricted tubular region connecting the invaginated cristae and flat IBM (Rabl et al. 2009), comprehensive genetic interaction analysis indicated that the MICOS genes genetically interact with the ERMES genes (*MMM1*, *MDM10*, *MDM12*, *MDM34*, and *GEM1*) and with genes involved in cardiolipin (CL) synthesis (*GEP4*, *CRD1*) (Hoppins et al. 2011; Friedman et al. 2015), suggesting that the MICOS may play a role in phospholipid metabolism as well. Indeed, a recent study revealed that

the MOM–MIM contact sites formed by the MICOS complex contribute to phospholipid biosynthesis (Aaltonen et al. 2016). The details of the role of MICOS in phospholipid biogenesis are summarized in the following section.

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#### 9.4 Phospholipid Transport Between the MOM and MIM and Its Relationship to the Mitochondrial MOM–MIM Contact Sites

Although a number of phospholipids are synthesized in the ER, mitochondria can generate two types of important phospholipids, CL and PE, from the precursor phospholipids PA and PS, respectively (Henry et al. 2012; Horvath and Daum, 2013; Tamura et al. 2014; Tatsuta et al. 2014). Since PA and PS are synthesized in the ER, they are required to be transported to the matrix or intermembrane space (IMS), where CL and PE synthetic enzymes function (Fig. 9.3). Recent studies identified soluble phospholipid transfer proteins in the IMS; evolutionarily conserved mitochondrial protein complexes, Ups1–Mdm35 and Ups2–Mdm35, were found to facilitate PA and PS transfers between the MOM and MIM, respectively (Connerth et al. 2012; Aaltonen et al. 2016; Miyata et al. 2016). Ups1 and Ups2 are homologous proteins and form a complex with the functional partner protein, Mdm35 (Osman et al. 2009; Tamura et al. 2009b). Mdm35 is not a simple binding partner, but rather facilitates vectorial translocations of Ups1 and Ups2 from the cytosol to the IMS, by functioning as a binding trap in the IMS (Potting et al. 2010; Tamura et al. 2010). Lack of Mdm35 thus results in significant decrease in the levels of Ups1 and Ups2 in mitochondria. Structural analyses of Ups1–Mdm35 revealed that the Ups1–Mdm35 complex has a structure similar to lipid-transfer proteins such as CERT, a ceramide transfer protein containing the StART domain (Kudo et al. 2008; Miliara et al. 2015; Watanabe et al. 2015; Yu et al. 2015). Like StART domain-containing proteins, the Ups1–mdm35 complex possesses a deep positively



**Fig. 9.4** Roles of the ER–mitochondria and MOM–MIM contacts in phospholipid biogenesis. PS transport from the ER to mitochondria depends on ERMES while it is unknown how PE is transported. X indicates unknown factors that mediate PE transport between the ER and mitochondria as well as between the MOM and MIM. The MOM–MIM MCS formed by MICOS contributes to

in trans Psd1-dependent PE production, which is not required for the Upt2–Mdm35 complex. The Upt2–Mdm35 complex is indispensable for the Psd1-dependent PE production upon the diauxic shift. The Upt1–Mdm35 is proposed to function in the MOM–MIM MCSs. Broken and solid lines indicate phospholipid movements and conversions, respectively

charged pocket that could accommodate a lipid molecule. Indeed, we could determine the crystal structure of Upt1–Mdm35 with PA, which demonstrated the specific binding of PA to the Upt1 pocket (Miliara et al. 2015; Watanabe et al. 2015; Yu et al. 2015). Upt1–Mdm35 was reported to be enriched in the MOM–MIM contact site in mitochondria, which is consistent with the idea that phospholipid transfer occurs at the MCSs such as the MOM–MIM contact site within mitochondria (Connerth et al. 2012).

Although the PA-transfer function of the Upt1–Mdm35 complex suggested that its homologous Upt2–Mdm35 complex also functions as a lipid-transport machinery, its substrate lipid remained unidentified until recently. While loss of Upt1 results in a decreased level of CL due to the impaired transfer of PA, a precursor lipid for CL, loss of Upt2 causes a decrease in the PE level (Osman et al. 2009; Tamura et al. 2009b). As PS is a precursor phospholipid for PE, the decreased PE levels in the absence of Upt2 may suggest compromised transport of PS, which is synthesized in the ER, to the MIM, where Psd1 PE synthase (PS decarboxylase) is present. Consistent with this speculation, recent studies revealed the PS transfer function of the Upt2–Mdm35 complex (Aaltonen et al. 2016; Miyata et al. 2016). Recombinant Upt2–Mdm35 complex was shown to catalyze PS transport between

artificial liposomes by in vitro lipid transport assays. Notably, despite the clear in vitro evidence for the Upt2–Mdm35 complex as a PS transport factor, the Upt2–Mdm35 complex is not essential for PE production in mitochondria in vivo. In vivo pulse-chase experiments using RI-labeled serine showed that the Psd1-dependent PS to PE conversion, which depends on proper PS transfer across the IMS, was only marginally impaired in the absence of Upt2. Besides, the absence of Upt2 did not affect subsequent PE to PC conversion in vivo (Aaltonen et al. 2016; Tamura et al. 2012b), and normal levels of PE are retained even in the absence of Upt2 when yeast cells are cultivated under a fermentable condition (Miyata et al. 2016). However interestingly, PE levels increase approximately two-fold upon the diauxic shift or a metabolic shift from fermentative growth to mitochondria-requiring respiration growth, and this PE increase requires Upt2 (Miyata et al. 2016). This suggests the prominent role of Upt2 in the PS transfer especially when mitochondria proliferate for respiration. These findings in turn raised a question regarding the mechanism responsible for the basal PS transfer under the fermentable condition (Fig. 9.4).

Aaltonen et al. proposed a new role of the MICOS complex in the Upt2-independent PE production. They showed that Psd1, which was

reconstituted into liposomes without PS and PE upon cell-free synthesis, can decarboxylate PS in liposomes lacking Psd1 in trans. This indicates that Psd1 in the MIM could catalyze decarboxylation of PS present in the MOM when the MIM is sufficiently close to the MOM, likely at the MOM–MIM contact site (Fig. 9.4). Strikingly, lack of MICOS indeed significantly decelerated the conversions of PS to PE and PC, suggesting that disruption of the MOM–MIM contact site due to the loss of MICOS disturbs Psd1 function in trans. When MICOS is deficient, normal cristae formation and respiration activity become impaired. However, additional loss of Ups2 or Psd1 restores cristae morphogenesis and mitochondrial respiration due to MICOS deficiency likely by limiting the accumulation of PE in the MIM (Aaltonen et al. 2016).

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## 9.5 Future Directions

Although organelle–organelle MCSs have been recognized since the 1950s (Porter and Palade, 1957), their molecular basis remained unknown until recently. As summarized above, recent studies uncovered factors involved in formations of several different inter- and intra-organelle MCSs involving mitochondria and revealed their potential roles in the cellular phospholipid metabolism. Moreover, MCSs appear to be functionally interconnected, and the number and degree of the MCSs are regulated in response to metabolic demand or availability of other MCSs. Therefore, if multiple MCSs provide major routes for phospholipid transport, they would constitute a fail-safe lipid transport system that can minimize the impact of the block of any of the redundant lipid transport routes. This is in contrast to the case of protein targeting to organelles, which usually relies on single specific routes for each protein to the cognate organelle. Thus, a functional analysis of the roles of MCSs would be a key to understanding the molecular mechanisms of intracellular phospholipid

transport. Many fundamental questions in regard to the role of MCSs in phospholipid metabolism are open as follows.

First of all, the robust and redundant nature of the phospholipid transport via MCSs raises the question of whether each MCS selects specific phospholipids as substrates to be transported. Our *in vitro* study suggested that ERMES facilitates PS transport from the ER to mitochondria, whereas PE transport from mitochondria to the ER is not dependent on ERMES (Kojima et al. 2016). This suggests that ERMES specifically selects phospholipids to be transported. Determination of the substrate specificity of phospholipids or fatty acid compositions for each MCS should be important for further understanding of the distinct roles of each MCS.

Although a number of studies have revealed the involvement of ERMES in phospholipid transport between the ER and mitochondria, whether ERMES directly catalyzes phospholipid transport is still not clearly assessed. Similarly, although EMC and vCLAMP were also proposed to facilitate phospholipid transport between the ER and mitochondria and between the vacuole and mitochondria, respectively, how EMC and vCLAMP are involved in the inter-organelle lipid transfer is not clear. Whether EMC and vCLAMP promote lipid transfer by functioning merely as a membrane tether or as an active lipid-transfer machinery is thus an important question to be addressed.

In addition to the ER–mitochondria and vacuole–mitochondria MCSs, MCSs are present between other organelle membranes. Indeed, it was reported that peroxisomes are localized in close proximity of mitochondria, although the relationship of peroxisome with phospholipid metabolism remains unknown (Cohen et al. 2014; Mattiazzi Ušaj et al. 2015). Search for yet-to-be identified MCSs and elucidation of their components and functions would be essential for understanding of the molecular basis of intracellular phospholipid trafficking.



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# Discovery and Roles of ER-Endolysosomal Contact Sites in Disease

# 10

William Mike Henne

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## Abstract

Inter-organelle membrane contact sites (MCSs) serve as unique microenvironments for the sensing and exchange of cellular metabolites and lipids. Though poorly defined, ER-endolysosomal contact sites are quickly becoming recognized as centers for inter-organelle lipid exchange and metabolic decision-making. Here, we review the discovery and current state of knowledge of ER-endolysosomal MCSs with particular focus on the molecular players that establish and/or utilize these contact sites in metabolism. We also discuss associations of ER-endolysosomal MCS-associated proteins in human disease, as well as the therapeutic promise these contact sites hold in modulating cellular physiology.

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## Keywords

Membrane contact site (MCS) • Inter-organelle • Endoplasmic reticulum • Lysosome • Endosome

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## 10.1 Introduction: ER-Endolysosomal Membrane Contact Sites in Lipid Metabolism and Disease

No organelle is an island, and to maintain cellular homeostasis, organelles must constantly communicate their needs and exchange lipids and other metabolites. The mechanisms that govern this inter-organelle metabolic exchange have, until

recently, been poorly defined. Early studies attributed most organelle-organelle lipid exchange through vesicular pathways. Indeed, many lipids produced by the endoplasmic reticulum (ER), the major anabolic organelle of lipid metabolism, can be trafficked along with proteins to other places within the cell such as the plasma membrane (PM) through the formation of coated vesicles (Novick et al. 1980). However, it has been established that even in the absence of ER-derived vesicle trafficking, the transfer of sterol between the ER and PM persists (Baumann et al. 2005).

How, then, does non-vesicular inter-organelle lipid exchange occur? Recent studies suggest that

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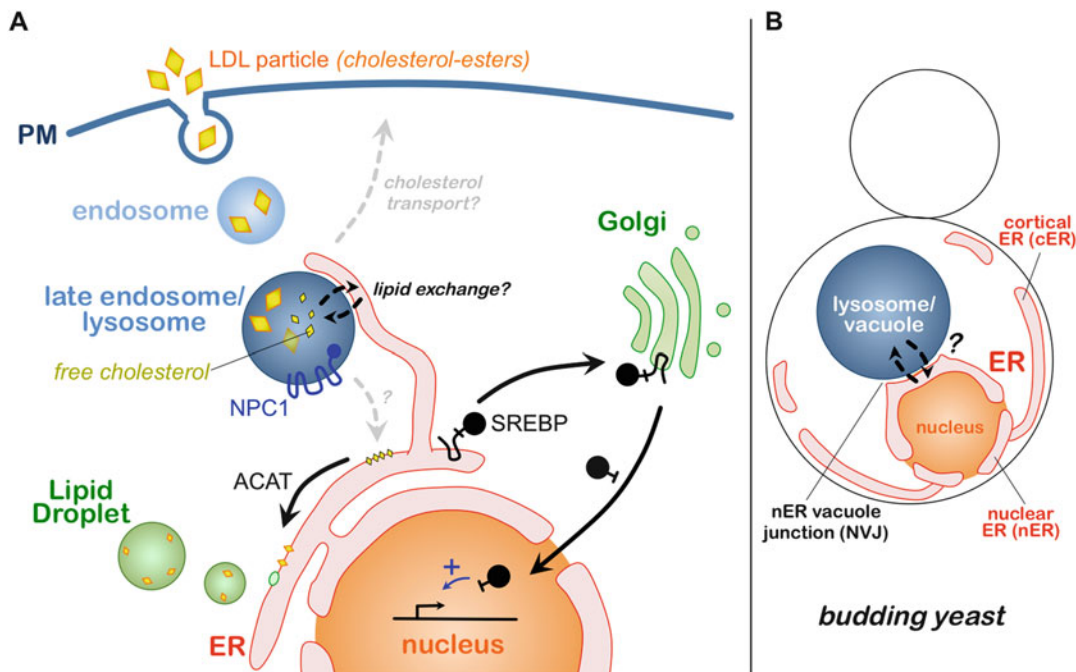
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at least one pathway relies on lipid exchange at sites of close contact between different membrane-bound organelles, sites now established as specific membrane contact sites (MCSs) (reviewed in (Levine and Loewen 2006; Elbaz and Schuldiner 2011; Prinz 2014; Henne et al. 2015a; Phillips and Voeltz 2016)). Often observed but generally ignored, these organelle-organelle contact sites are quickly becoming recognized as hotspots for lipid metabolism and metabolic decision-making. Elegant studies in both budding yeast and mammalian cells have identified key proteins that act as molecular “tethers” connecting different organelles (Manford et al. 2012; Zhang et al. 2012; Giordano et al. 2013; Tavassoli et al. 2013). Perhaps the most characterized of these are contact sites formed between the ER and the PM. Numerous studies demonstrate that ER-PM contact sites serve as major regulators for the exchange of the PM-manufactured phospholipid PtdIns4P with ER-derived phosphatidylserine (PtdSer) (Stefan et al. 2011; Moser von Filseck et al. 2015). Other functions for ER-PM contact sites continue to be revealed, including roles in the regulation of cell signaling and  $\text{Ca}^{2+}$  signaling (Liou et al. 2007; Omnus et al. 2016).

The PM is constantly internalized via endocytosis, thus initiating the endolysosomal pathway—the network of endosomes and lysosomes that constitutes the principle intracellular trafficking pathway for cell surface proteins and environmentally derived metabolites like cholesterol. In the human body, most cells rely on retrieving extracellular cholesterol through the internalization of LDL particles via their co-internalization with the LDL receptor in so-called receptor-mediated endocytosis (Goldstein 2007) (Fig. 10.1). Following their internalization, LDL particles are trafficked through endosomes to the lysosome, where hydrolases breakdown LDL cholesterol-esters (CE) and triacylglycerides (TAGs) into free cholesterol and fatty acids. Where these lipid metabolites go from here is much less clear. Approximately 80% of LD-derived cholesterol must, eventually, traffic back to the cell surface, and this process requires lysosomal proteins Npc1 and Npc2 (so-called Niemann-Pick proteins) that scavenge cholesterol from the lysosome lumen (Infante et al. 2008).

Following its removal from the lysosome, much of this free cholesterol must also enter the ER, where it is sensed by the sterol regulatory element-binding protein (SREBP) system that serves as the principle nutrient-sensing pathway for cellular sterols (Brown and Goldstein 1997). The SREBP pathway is principally composed of two integral membrane proteins, SREBP-1a and SREBP-2, which function both as sterol sensors and transcriptional regulators of cellular sterol metabolism. When ER-resident cholesterol drops below ~5 mole %, SREBP-2 is efficiently trafficked to the Golgi via COPII vesicles, where it is proteolytically cleaved into a soluble “mature” form by Golgi-resident proteases (Fig. 10.1). This liberates SREBP-2 from membranes, allowing it to translocate into the nucleus where it can initiate a transcriptional response aimed at upregulating sterol biogenesis (Brown and Goldstein 1997).

Despite our understanding of LDL particle internalization and endolysosomal trafficking, how cholesterol exits the lysosome and enters the ER remains largely unclear. However, recent studies implicate ER-lysosome MCSs in the non-vesicular transfer of cholesterol and other lipids between these two organelles, although the proteins and mechanisms that govern this remain poorly defined (Murley et al. 2015; Eden et al. 2016; Hariri et al. 2016). Addressing how cholesterol moves between the ER and endolysosomal system is of major biomedical importance, as numerous genetic and acquired diseases are associated with the pathological accumulation of cholesterol within the lysosome. Most notable is Niemann-Pick type C, a homozygous recessive pediatric neurological disease (one of more than 50 so-called lysosomal storage diseases) associated with loss-of-function alleles in genes *NPC1* and *NPC2*. Both encode lysosomal proteins Npc1 and Npc2 that are essential in the initial steps of cholesterol efflux from the lysosomal lumen (Ko et al. 2003; Infante et al. 2008). Loss of either causes the pathological accumulation of free cholesterol and sphingolipids within lysosomes, eventually blocking endolysosomal trafficking and autophagy and leading to progressive neuronal cell death. Numerous acquired diseases may also be associated with poor



**Fig. 10.1** Trafficking pathways in human and yeast ER-endolysosomal systems. (a) Receptor-mediated endocytosis of LDL particles (containing sterol-esters) and their trafficking through the endosomal pathway to late endosomes/lysosomes, where cholesterol-esters within LDL particles are degraded into free cholesterol. Free cholesterol must then leave the lysosome lumen in an NPC1-dependent manner and traffic to the plasma membrane (PM) and/or the endoplasmic reticulum (ER). At

the ER, the SREBP system senses local cholesterol levels. Low ER cholesterol induces the translocation and proteolytic cleavage of SREBP-2 at the Golgi, producing a soluble transcription factor which enters the nucleus. (b) The ER-endolysosomal system of budding yeast *Saccharomyces cerevisiae*. The ER is partitioned into distal cortical ER (cER) and nuclear ER (nER), which makes direct contact with the vacuole/lysosome via the nER vacuole junction (NVJ)

endolysosomal cholesterol homeostasis. Diabetes and general metabolic syndrome are closely tied to cholesterol levels and insulin signaling, which in turn is affected by the cholesterol trafficking burden along the endolysosomal pathway. As such, defects in the retrograde trafficking of cholesterol and other lipids out of lysosomes can contribute to several metabolic syndromes and hypercholesterolemia (high blood cholesterol) that in turn may contribute to pathologies including atherosclerosis and coronary heart disease (for further details, see reference (Reiner et al. 2014)).

The purpose of this chapter is to briefly discuss the current knowledge of ER-endolysosomal MCSs and to outline the importance of understanding this MCS in human health and disease. Many of the discoveries in this field come from elegant studies using both yeast and mammalian

model systems, and these will be discussed with respect to their impacts in the basic and biomedical understanding. Finally, we will conclude by providing an assessment of the current trajectory of biomedical research on ER-endolysosomal MCSs, and how current and future studies will pave the way for potentially ground-breaking therapeutic strategies for metabolic diseases.

## 10.2 Discovery and Imaging of ER-Endolysosomal MCSs

Modern cell biology began in the 1950s with the introduction of transmission electron microscopy (TEM) as a high-resolution visualization tool for biologists. Using this approach, the pioneering work of George Palade and Keith Porter revealed

the subcellular architecture of individual cells. Their studies highlighted how crowded cells were, and how organelles often maintained close contact with one another. They also unexpectedly observed the first inter-organelle MCSs including those formed between the ER and PM, as well as the ER and mitochondria (Porter and Palade 1957; Porter and Machado 1960). The ER-PM contact sites of muscle cells were closely noted (so called PM-sarcoplasmic reticulum contact sites that are important for  $\text{Ca}^{2+}$  regulated muscle contractions).

ER-endosome, ER-late endosome (LE), and ER-lysosome MCSs were not noted during these initial TEM studies, but more recent microscopy work shows them to be highly dynamic and common within mammalian cells. Elegant TEM work from Clare Futter's group showed that the ER makes close contact with LEs and multi-vesicular bodies (MVBs) in mammalian cells (Eden et al. 2010). These studies showed that PTP1B, an ER-localized protein phosphatase, utilized the close inter-organelle contacts provided by ER-LE MCSs to regulate the trafficking and signaling of the EGF receptor, an important oncogene often targeted in anti-cancer therapeutics. Phospho-regulation of EGF receptor trafficking via PTP1B triggered its recognition by the endosomal sorting complex required for transport (ESCRT) pathway and thus its downregulation via delivery to the lysosome for degradation (Eden et al. 2010). Thus the first function for an ER-endolysosomal MCS—receptor regulation—was proposed.

Subsequent work using live-cell imaging further demonstrated that ER-endosome and ER-LE MCSs are highly dynamic and change as an endosome matures. Studies from Gia Voeltz's group showed that the ER makes contact with endosomes shortly after they are formed (Friedman et al. 2013). Intriguingly, the degree of ER-endosome contact increases as early endosomes mature into LEs (monitored by exchanging the early endosome marker Rab5 for Rab7). This suggests that the ER may sense and actively participate in endosomal maturation. This idea was further supported by the observation that ER-LE MCSs appeared to define sites of vesicle scission at the LE from which

small tubules sprouted and vesicles budded. This again suggested an active role for the ER in endosomal dynamics and potentially that the ER may contribute mechanical force to the vesicle scission reaction. How this is achieved is currently unclear, but is consistent with the previously proposed role of ER tubules in defining sites of mitochondrial division at ER-mitochondria MCSs (Friedman et al. 2011). An intriguing possibility is that ER-mitochondria and ER-LE MCSs create unique lipid microenvironments that promote spontaneous vesicle fission.

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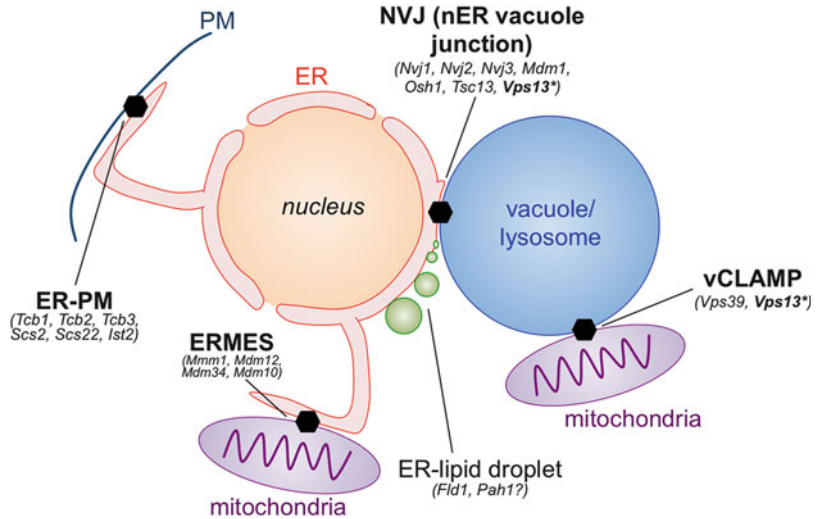
## 10.3 Molecular Identification of ER-Endolysosomal Tethering Proteins

### 10.3.1 Nvj1 and the NVJ

Early work on mammalian ER-endolysosomal MCSs is built upon pioneering work using the budding yeast *Saccharomyces cerevisiae* to study ER-endolysosomal contact sites. These studies conducted in David Goldfarb's group identified the first proteins that functioned as molecular tethers to establish MCSs between the ER and yeast lysosome, also known as the vacuole (Pan et al. 2000). Notably, the yeast vacuole serves as both a "late endosome" and "lysosome" for the cell, in that it is a Rab7-positive organelle that also contains luminal hydrolases dedicated to catabolic metabolism. ER-vacuole MCSs may then, by extension, serve as model systems for the study of ER-endosome and ER-lysosome MCSs.

Yeast usually exhibit a single ER-vacuole MCS that forms as a circular "patch" juxtaposed between the vacuole and outer nuclear envelope, a region of ER continuous with the rest of the ER network often referred to as the nuclear ER (nER). Yeast nER-vacuole contacts are thus denoted nER-vacuole junctions (NVJs) (Fig. 10.2). Due to their size, and the close physical proximity of the nucleus and vacuole within yeast, NVJs are observed to be stable structures that exist for most of the cell's lifetime. This is in contrast to the dynamic, short-lived ER-endosome MCSs seen in mammalian cells.

**Fig. 10.2** Guide to yeast inter-organelle contact sites and their resident proteins



Pioneering work by David Goldfarb's group revealed the molecular machinery that establishes the NVJ. NVJs form through the direct interaction of ER-resident protein Nvj1 with the vacuole surface protein Vac8, which had previously been identified in vacuolar inheritance screens (Pan et al. 2000). This Nvj1:Vac8 hetero-dimerization thus defines the first molecular "tethers" that establish ER-endolysosomal MCSs.

### 10.3.2 Other NVJ Proteins

Subsequent studies on the NVJ demonstrated it as a site of lipid metabolism and membrane remodeling. During nitrogen starvation, the NVJ was shown to dramatically invaginate into the vacuole interior where it was eventually engulfed to form intra-luminal vesicles within the vacuole lumen. Although the reason for this process is still unclear, it appears to represent a specific form of micro-autophagy and was thus termed piecemeal microautophagy of the nucleus (PMN) (Roberts et al. 2003). Other functions for the NVJ, including a site for the production of ceramide via fatty acid processing enzymes like Tsc13, have been proposed for the NVJ (Kvam et al. 2005). Consistent with this, other lipid metabolism proteins such as Nvj2, Osh1, and

have also been identified as resident NVJ proteins, suggesting that NVJs function in many different lipid metabolic processes (Fig. 10.3) (Toulmay and Prinz 2012).

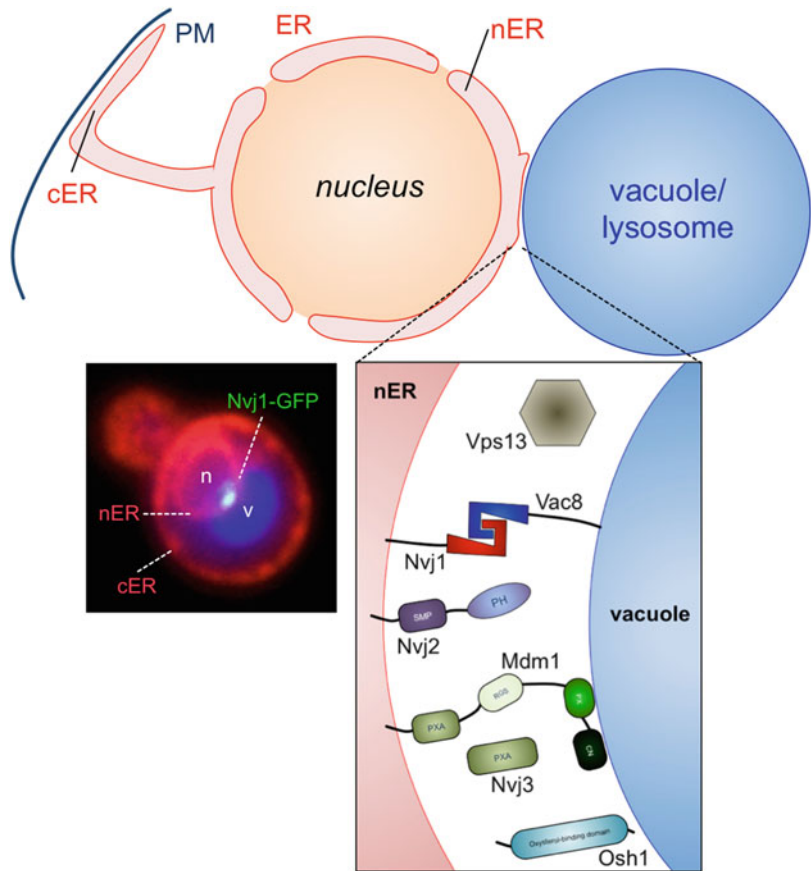
Recently, a study by the Will Prinz lab identified a protein domain found to reside specifically at inter-organelle MCSs: the synaptotagmin-like mitochondrial lipid-binding protein (SMP) domain (Toulmay and Prinz 2012). Nvj2 contains a *bone vide* SMP domain. Since a crystal structure of the SMP domain suggests it may physically bind to lipids with a hydrophobic cavity within the domain, it is postulated that SMP domain-containing proteins function in the non-vesicular transfer of lipids between different organelles (AhYoung et al. 2015; Reinisch and De Camilli 2016; Schauder et al. 2014).

### 10.3.3 Protrudin

Although they are essential for the formation of a proper NVJ, surprisingly, Nvj1 and Vac8 are not conserved in metazoans. How then are the ER and endosomes/lysosomes "tethered" in mammalian cells? Several recent studies have identified potential tethering proteins. Harold Stenmark's group identified Protrudin, an



**Fig. 10.3** The nER vacuole junction (NVJ) resident proteins and their architectures. The fluorescence micrograph depicts a living yeast with the ER network labeled in red (DsRed-HDEL protein), the vacuole/lysosome in blue (CMAC dye), and endogenous Nvj1 tagged with GFP (Nvj1-GFP)



integral ER protein with a PtdIns3P-binding FYVE domain, as a potential tether connecting the ER and Rab7-positive LEs (Raiborg et al. 2015). Protrudin is enriched at ER-endosome MCSs, and its overexpression induced the formation of ER-endosome MCS in mammalian cells. These Protrudin-positive MCSs promoted the migration of LEs to the cell periphery in a microtubule-dependent manner. ER-LE MCSs are thus linked to a novel function: endosomal positioning and migration. This function is supported by previous studies from the Neefjes group, which showed that ORP1L senses endosomal sterol levels and regulates the positioning of endosomes within the cytoplasm (Johansson et al. 2007). When endosomal sterol levels are low, ORP1L binding to endosomes is reduced, promoting the formation of ER-LE MCSs in a VAP-dependent manner (Rocha et al. 2009).

When endosomal cholesterol levels increase, such as in Niemann-Pick type C, ORP1L associates tightly to endosomes and promotes their trafficking to the perinuclear cell interior. Collectively, Protrudin and ORP1L represent two critical regulators of ER-LE MCSs that govern both endosome positioning and sterol metabolism in mammalian cells.

### 10.3.4 Mdm1 Family Proteins

Recent work on the yeast NVJ has revealed new, highly conserved proteins that regulate ER-endolysosomal MCSs. Yeast protein Mdm1 and its paralog Nvj3 were recently shown to localize to the NVJ (Henne et al. 2015b). Unlike Nvj1 and Vac8, Mdm1 is highly conserved in metazoans as a member of the Sorting Nexin

(SNX) protein family. The SNX family is defined by the presence of a PtdIns3P-binding Phox homology (PX) domain (Yu and Lemmon 2001). Humans encode four Mdm1 homologs: Snx13, 14, 19, and 25 with near-identical domain architecture to Mdm1. All feature an N-terminal transmembrane region and signal sequence that appears to anchor them in the ER. On their C-terminal half, they contain the PX that directly binds the phospholipid PtdIns3P on the vacuole/lysosome surface, thus establishing ER-vacuole/lysosome tethering (Henne et al. 2015b). Though it requires Mdm1 for its NVJ localization, Nvj3 is soluble and contains a PX-Associated (PXA) that is unique to this protein family. The structure and function of the PXA domain is currently unclear, but implicated in lipid metabolism as its overexpression causes cells to become hypersensitized to drugs that perturb sphingolipid metabolism. In mammalian cells, Mdm1 homolog Snx14 is associated with a form of pediatric cerebellar ataxia and appears phenomenologically similar to lysosomal storage diseases (Thomas et al. 2014; Akizu et al. 2015). Indeed, *SNX14*-deficient cells manifest defects in lysosome homeostasis, suggesting that Snx14 plays some role in lysosome function. A role for Mdm1 family proteins in lipid metabolism is further supported by studies on Snz (Snz), the *Drosophila melanogaster* ortholog of Mdm1. These studies link Snz to aging and fat metabolism by showing that fruit flies deficient for Snz displayed extended lifespan (Suh et al. 2008). Intriguingly, Snz was found to be highly expressed in the fly fat body, a metabolic center of insect lipid metabolism akin to both the mammalian liver and adipocyte. Further studies on Snz and its homologs will no doubt continue to reveal roles for this protein family in lipid metabolism and inter-organelle cross talk.

### 10.3.5 Vps13

In addition to Mdm1 and Nvj3, other yeast proteins with clear metazoan homologs have been implicated in ER-endolysosomal MCSs. Vps13, first identified in the vacuolar protein

sorting (*vps*) screen conducted in Scott Emr's group in the 1980s, is 3,144 amino acids in length and one of the largest proteins encoded in the yeast genome (Bankaitis et al. 1986). Several metazoan homologs exist but are poorly characterized. Using elegant yeast genetics, Benoit Kommann's group recently discovered that gain-of-function Vps13 mutants were capable of rescuing the viability of yeast with a defective ERMES complex, an ER-mitochondria tethering factor required for growth on non-fermentable carbon media (Lang et al. 2015). They then used complementation assays to discover that Vps13 can localize to a previously identified mitochondria-vacuole MCS (also called vacuole and mitochondria patch, vCLAMP) when grown in fermentable (dextrose) conditions (Elbaz-Alon et al. 2014; Honscher et al. 2014). Strikingly, Vps13 re-localized to the NVJ when grown in non-fermentable (glycerol) conditions (Lang et al. 2015). Indeed, Vps13 appears highly dynamic and has been observed to localize at vCLAMPs, ER-endosome, and NVJ MCSs in yeast depending upon growth conditions (Park et al. 2016). This suggests that Vps13 can localize to multiple MCSs, and also that the cell can actively remodel the composition of MCSs in response to changes in nutrient status and demand.

### 10.3.6 Lam/Ltc Family Proteins

The idea that different MCSs can dynamically change in response to changes in the cell's metabolic status is supported by recent yeast work linking members of the 'lipid transfer protein anchored at membrane contact sites' (Lam) protein family to ER-endolysosomal MCSs. Lam6/Ltc1, the most studied member within this protein family, has been observed to localize to ER-mitochondria (ERMES), NVJ, and vCLAMP MCSs within the same cell, suggesting it may function in multiple metabolic processes (Murley et al. 2015; Elbaz-Alon et al. 2015; Gatta et al. 2015).

What, then, are the functions of Lam proteins at MCSs? At least two functions have been proposed. Overexpression of GFP-Lam6 was found to expand both the NVJ and ERMES MCSs, suggesting Lam proteins may regulate and

possibly help extend inter-organelle contact sites in response to changes in cellular nutrient status (Elbaz-Alon et al. 2015). Another potential function is the direct movement of sterols between organelles. This could be achieved via direct binding and shuttling of a sterol molecule within the Lam protein tertiary fold. Lam family members contain a VASt/StART domain that binds sterols and may facilitate this inter-organelle sterol exchange (Murley et al. 2015; Elbaz-Alon et al. 2015). How this occurs, and how these proteins regulate lipid exchange and MCS size remains to be understood.

### 10.3.7 Annexin A1

Recently, other mammalian ER-endosome “tethers” have been identified that play roles in lipid and sterol metabolism. Using TEM and biochemistry, Emily Eden found that Annexin A1 mediates a specific subtype of ER-LE MCS formed between the ER and MVBs that downregulate the

EGF receptor (Eden et al. 2016). Depletion of Annexin A1 reduced ER-MVB MCSs and perturbed EGR receptor signaling. Surprisingly, they find that ER-derived cholesterol is necessary for EGF receptor downregulation at the MVB and suggest that these ER-MVB MCSs function to transfer this cholesterol to the MVB limiting membrane, where cholesterol is required for the formation of intra-luminal vesicles. How this cholesterol transfer is achieved is still unclear, but appears regulated by ORPIL.

## 10.4 ER-Endolysosomal MCSs in Human Health and Disease

Numerous ER-endolysosomal MCS proteins are linked to inherited or acquired diseases, indicating important roles in cellular metabolism and development. These connections underlie the important role for ER-endolysosomal cross talk in cellular homeostasis in metazoans. Refer to Table 10.1 for further details.

**Table 10.1** ER-endolysosomal proteins and associated diseases

ER-Endolysosomal contact site proteins in disease				
Protein		Localization(s)	Disease(s) associated	References
<i>Yeast</i>	<i>Human</i>			
<b>Vps13</b>	<b>VPS13A-D</b>	ER-endosome, ER-vacuole (NVJ), ERMES?	VPS13A: chorea-acanthocytosis (CHAC); VPS13B: Cohen Syndrome (COH)	Ueno et al. (2001) and Kondo et al. (2005)
<b>Scs2/22</b>	<b>Vap-A,B (Als8)</b>	ER-PM, ER-vacuole(?)	ALS disease	Teuling et al. (2007)
<b>Tsc13</b>	<b>TECR</b>	ER-vacuole (NVJ)	Autosomal recessive non-syndromic intellectual disability	Nolan et al. (2008)
<b>Mdm1</b>	<b>Snx13, 14, 19, 25</b>	ER-vacuole (NVJ)	Snx14: autosomal recessive cerebellar ataxia with intellectual disability; Snx19: insulin secretion regulation	Thomas et al. (2014), Akizu et al. (2015) and Harashima et al. (2012)
<b>Osh1</b>	<b>OSBPs/ORPs</b>	ER-vacuole (NVJ)	Linked to cholesterol-related metabolic dysregulation	Ngo and Ridgway (2009) and Bouchard et al. (2009)
<b>n/a</b>	<b>Protrudin</b>	ER-endosome	Hereditary spastic paraplegia (HSP)	Hashimoto et al. (2014)
<b>n/a</b>	<b>Annexin A1</b>	ER-late endosome/Multi-vesicular endosome	Links to pancreatic cancer progression	Belvedere et al. (2016)

### 10.4.1 Neurological Disease

The VAP proteins VAP-A and VAP-B (Scs2 and Scs22 in yeast) have been linked to ER-PM MCSs, but have recently been implicated in controlling ER-endosome contact and endosomal migration via ORP1L (Rocha et al. 2009). A mutant form of VAP-A (containing the P56S mutation) is linked to familial amyotrophic lateral sclerosis (ALS) in humans (Teuling et al. 2007). This mutation likely causes the protein to aggregate, resulting in general ER stress and cytotoxicity. Since a number of proteins also interact with VAP proteins via FFAT motifs, this general aggregation likely leads to general protein dysfunction and progressive cell toxicity (Prosser et al. 2008).

Vps13 and Mdm1, both residents of the yeast NVJ, have homologs linked to inherited neurological diseases in humans. Vps13 has at least two human orthologs with clear links to human disease: VPS13A, which is associated with chorea-acanthocytosis (CHAC), and VPS13B, linked to Cohen syndrome (COH) (Ueno et al. 2001; Kondo et al. 2005). Both are progressive neurological conditions associated with muscle dystonia and developmental delay. CHAC is highly variable in phenotype and can include abnormal red blood cell shape, as well as epilepsy. Mdm1 exhibits four human orthologs, two of which have clear mammalian disease links. *SNX14*-deficiency is associated with pediatric cerebellar ataxia and intellectual disability (Thomas et al. 2014; Akizu et al. 2015). A *SNX13*-deficient (also called RGS-PX1) mouse was found to exhibit severe developmental defects, delayed neural tube closure, and embryonic lethality (Zheng et al. 2006). Tsc13, the enoyl-CoA reductase found at the yeast NVJ, is also highly conserved in mammals as TECR, which is linked to an autosomal recessive non-syndromic intellectual disability (Nolan et al. 2008).

The Protrudin-encoding gene *ZFYVE27* is mutated in individuals with hereditary spastic paraplegia (HSP), an inherited disease associated with muscle weakness in the lower half of the body (Hashimoto et al. 2014). This disease is generally associated with ER stress, suggesting that loss-of-function alleles may affect protein stability and trigger general ER stress response.

### 10.4.2 Cancer

ER-LE MCSs were observed in mammalian cells while studying PTP1B, an ER-localized protein phosphatase that regulates EGF receptor signaling, an oncogene product commonly targeted in anti-cancer therapies (Eden et al. 2010). As ER-LE MCSs may regulate the duration of EGF receptor signaling and other receptor signaling events, this may tie them closely to cancer progression. This is consistent with the proposed roles for Protrudin in cell migration, as cancer cells often display aggressive cell migration during tissue invasion and metastasis (Raiborg et al. 2015). Consistent with this, Annexin A1, which has been proposed as an ER-LE tether, is directly linked to pancreatic cancer progression (Belvedere et al. 2016).

### 10.4.3 Aging and Metabolism

The *Drosophila* ortholog of yeast Mdm1, Snz, is associated with lifespan extension in insects as well as obesity in mammals, as the expression of SNX13 and SNX14 was shown to increase in *ob/ob* mouse models (Suh et al. 2008). The connection between this aging phenotype and lipid metabolism is currently not clear, but Snz is highly expressed in the fly fat body, the central organ of insect fat metabolism analogous to the liver and adipocytes of mammals. This suggests that Snz may play a role in regulating fat storage and/or mobilization. Numerous connections exist between lipid storage mobilization and lifespan and generally show that caloric restriction may extend lifespan through the inhibition of TORC signaling and subsequent activation of autophagy (McCormick et al. 2011).

Whether Snz plays a role in autophagy and/or lipid mobilization in insects remains to be determined. However, ChIP-seq data from mammalian studies suggested that Snx13, the rodent homolog of Snz, is upregulated in response to cholesterol starvation in an SREBP-2-dependent manner (Seo et al. 2011). Among other genes upregulated were autophagy genes, suggesting Snx13 may be utilized during lipid mobilization

in response to cholesterol starvation. In a separate example, human Mdm1 homolog Snx19 has been linked to insulin secretion pancreatic  $\beta$ -cells (Harashima et al. 2012).

#### 10.4.4 Cholesterol Metabolism

The oxysterol-binding protein Osh1 localizes to the NVJ in yeast and is highly conserved in mammals as the oxysterol-binding proteins (OSBPs). OSBP upregulation is correlated with high blood pressure and hypertension, which are risk factors associated with coronary heart disease (Ngo and Ridgway 2009). OSBPL11 is also upregulated in patients exhibiting morbid obesity (Bouchard et al. 2009).

Although the movement of cholesterol between LEs/lysosomes and the ER is not well defined, it must require the Niemann-Pick proteins Npc1 and Npc2. Npc2 resides within the lysosome lumen and binds to the free cholesterol produced from the breakdown of CE within LDL particles. Upon binding, Npc2 transfers this free cholesterol to Npc1, a transmembrane protein that bridging the inner and outlet monolayers of the lysosome membrane (Infante et al. 2008). Through a poorly defined mechanism, Npc1 then transfers free cholesterol from the lysosome interior to the cytoplasmic leaflet of the lysosome. How this free cholesterol leaves the lysosome to travel to other organelles remains a major question in the lipid biology field. However, loss of either Npc1 or Npc2 is associated with Niemann-Pick type C, an autosomal recessive neurological disease characterized by progressive neuronal cell death.

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### 10.5 Closing: The Therapeutic Promise of ER-Endolysosomal MCS Biology

Cholesterol metabolism has arguably been at the center of some of the greatest biopharmaceutical endeavors of the twentieth century. Existing at the crossroads between genetic and acquired disease, modulating human blood cholesterol

levels can simultaneously mitigate symptoms associated with obesity, heart disease, diabetes, and an array of inherited genetic diseases afflicting cellular metabolism. Pioneering work by Mike Brown and Joe Goldstein elucidated not only how LDL-derived cholesterol enters cells, but also how the cell senses and responds to cholesterol burden (Brown and Goldstein 1997). These studies revealed the SREBP system: an elaborate sterol-sensing pathway that can modulate cellular cholesterol levels through an elegant feedback mechanism. In times of cholesterol deprivation, when ER-localized cholesterol reaches <5 mole % of the total ER lipid content, the ER protein SREBP-2 is efficiently trafficked to the Golgi, where it is proteolytically cleaved into a soluble transcription factor. It can then traffic into the nucleus and initiate a transcriptional response to this cholesterol need, increasing expression of sterol biosynthesis proteins including HMG-CoA Reductase.

Capitalizing on this metabolic feedback loop, several groups developed statins, which pharmacologically inhibit HMG-CoA reductase, allowing for the acute modulation of cellular cholesterol production, and by extension blood cholesterol levels, thus reducing the risk of heart disease for millions of patients in the Western world. This major biomedical achievement is the product of meticulous basic research coupled with ambitious biomedical application.

One emerging theme of MCS research is that sites of ER-endolysosomal contact may serve as “metabolic platforms” for regulating inter-organelle lipid trafficking. As such, the proteins that function at MCSs may represent a new class of therapeutic targets. MCS-enriched proteins currently fall under at least two general classes: organelle “tethers” (which help establish and maintain MCSs) and lipid “exchangers” (which utilize MCSs for inter-organelle lipid exchange). By pharmacologically targeting either of these two protein classes, it may be possible to modulate rates of lipid exchange and/or production of specific lipid classes within cells. This, by extension, may tune metabolic pathways or the formation of pathological debris that form the basis for pathophysiology in numerous metabolic

diseases. Thus, continued focus on the molecular mechanisms that govern MCS formation and regulation are at the center of a new round of impactful biomedical discoveries.

In closing, the field of inter-organelle cross talk is at an exciting time. New proteins and protein complexes that establish organelle-organelle junctions continue to be discovered, providing new and exciting details regarding how MCSs are formed and function in cellular metabolism. Among all MCSs discovered, those formed between the ER and endolysosomal system appear to be highly utilized by cells to control their lipid metabolism. This is not surprising, as ER-endolysosomal MCSs serve as the very interface—both physically and conceptually—that connect catabolic and anabolic metabolism. The ER serves as a major anabolic lipid producer, and endosomes/lysosomes serve as major lipid “sinks” driving the catabolic breakdown of nutrient lipids and proteins received via endocytosis. Mechanisms of cross talk between these two organelle systems will undoubtedly continue to be discovered as we probe the nature of ER-endolysosomal MCSs.

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**Abstract**

The most widely accepted hypothesis to explain the pathogenesis of Alzheimer disease (AD) is the amyloid cascade, in which the accumulation of extraneuritic plaques and intracellular tangles plays a key role in driving the course and progression of the disease. However, there are other biochemical and morphological features of AD, including altered calcium, phospholipid, and cholesterol metabolism and altered mitochondrial dynamics and function that often appear early in the course of the disease, prior to plaque and tangle accumulation. Interestingly, these other functions are associated with a subdomain of the endoplasmic reticulum (ER) called mitochondria-associated ER membranes (MAM). MAM, which is an intracellular lipid raft-like domain, is closely apposed to mitochondria, both physically and biochemically. These MAM-localized functions are, in fact, increased significantly in various cellular and animal models of AD and in cells from AD patients, which could help explain the biochemical and morphological alterations seen in the disease. Based on these and other observations, a strong argument can be made that increased ER-mitochondria connectivity and increased MAM function are fundamental to AD pathogenesis.

**Keywords**

ApoE • Cholesterol • Cholesteryl esters • Endoplasmic reticulum • Lipid rafts • MAM • Membranes • Mitochondria • Mitochondria-associated ER membranes • Neurodegeneration • Phospholipids

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## 11.1 Introduction

Beginning in primary school and continuing on through secondary school and university, the approach to teaching the structure of eukaryotic cells has been informed by what one might call the “pigeonhole” view. In other words, the cell is described not as a unitary entity, but rather as an object containing various discrete subcellular elements – for example, the nucleus, the endoplasmic reticulum, the Golgi body, mitochondria, peroxisomes, endosomes, and lysosomes – each with its own special place within the cell and each with its own special function. This view is so embedded in our thinking that we have even anthropomorphized many of these functions: the mitochondrion is the “powerhouse of the cell,” the nucleus is the cell’s “information center,” the lysosome is the cell’s “garbage disposal and recycling center,” and so forth.

Of course, the reality is much more complex. Each subcellular compartment indeed has its own role to play, but to work properly, both spatially and temporally, the function of each organelle has to be coordinated with the function(s) of every other organelle. In addition, organelles can have multiple complementary and/or overlapping functions. For example, the synthesis of cholesterol requires the interplay of at least five organelles – endoplasmic reticulum (ER), the Golgi body, the plasma membrane (PM), mitochondria, and the nucleus – while calcium trafficking requires at least three, ER, mitochondria, and PM.

This interdependence is seen most clearly in the many functions of the ER, which makes physical connections with the nucleus (as the nuclear envelope), the Golgi body (at ER exit sites), the plasma membrane (at plasma membrane-associated membranes, or PAM), peroxisomes (in the “pre-peroxisomal” compartment), and even with lipid droplets (English and Voeltz 2013, Lynes and Simmen 2011). One other important ER connection point, and one that is relevant to the rest of our discussion here, is the association of ER with mitochondria, at mitochondria-associated ER membranes, or

MAM. The role of MAM as a highly dynamic entity and its unexpectedly important association with neurodegenerative disease have been revealed only in the last ten years. We will discuss here a hitherto-unsuspected connection between MAM function and the pathogenesis of Alzheimer disease (AD).

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## 11.2 Mitochondria-Associated ER Membranes

As noted elsewhere in this volume, MAM is a dynamic subdomain of the ER that communicates with mitochondria, both biochemically and physically (Csordas et al. 2006, Hayashi et al. 2009, Raturi and Simmen 2013, Rusinol et al. 1994). It is a distinct biochemical/biophysical entity within the overall ER network: as opposed to “free ER,” “MAM ER” is a lipid raft-like domain rich in cholesterol and sphingomyelin (Area-Gomez et al. 2012, Hayashi and Fujimoto 2010) and is enriched in approximately 1,000–1,200 proteins, as determined by proteomic analyses of MAM derived from mouse liver (Sala-Vila et al. 2016) and mouse brain (Poston et al. 2013); of these, approximately 165 have been verified in the literature, and of those, mutations in about 65 are associated with human disease. Among the proteins associated with MAM-related functions are those involved in calcium homeostasis (e.g., IP3 receptors (Mendes et al. 2005, Szabadkai et al. 2006)), in phospholipid metabolism (e.g., phosphatidylserine synthase (Stone and Vance 2000, Vance et al. 1997)), in cholesterol metabolism (e.g., acyl-CoA/cholesterol acyltransferase (Rusinol et al. 1994)), in lipid transfer between mitochondria and ER (e.g., fatty acid transfer protein 4 (Jia et al. 2007)), and in the regulation of mitochondrial morphology (e.g., dynamin-related protein 1 and mitochondrial fission factor (Friedman et al. 2011)). MAM is also associated with proteins that regulate and/or stabilize the apposition of mitochondria to ER (at an estimated interorganellar distance of ~10–30 nm (Csordas et al. 2006)), such as

mitofusin 2 (de Brito and Scorrano 2008) and phosphofurin acidic cluster sorting protein 2 (Simmen et al. 2005), but the exact “tethering” mechanism is not known.

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### 11.3 Alzheimer Disease

The main histopathological hallmarks of Alzheimer disease (AD), a neurodegenerative disorder characterized by progressive neuronal loss in the cortex and hippocampus, are the accumulation of extracellular neuritic plaques and intracellular neurofibrillary tangles (Querfurth and LaFerla 2010). The plaques are composed of numerous proteins, most prominent among them  $\beta$ -amyloid ( $A\beta$ ). The tangles consist mainly of hyperphosphorylated forms of a single protein, the microtubule-associated protein tau (Reitz 2012). The majority of AD (>99% of patients) is sporadic (SAD), but genetic variations in *APOE*, encoding apolipoprotein E, a component of circulating lipoproteins, confer an increased risk of developing the disease (Holtzman et al. 2012, Huang 2010). At least three genes have been identified in the far rarer autosomal-dominant familial form (FAD): the amyloid precursor protein (APP), presenilin-1 (PS1), and presenilin-2 (PS2). From a clinical point of view, the two disorders are essentially identical, differing only in the earlier age of onset in FAD (Querfurth and LaFerla 2010).

Disturbances in APP processing play a critical role in both forms of the disease. Full-length APP (which is 695–770 aa in length, depending on the isoform; APP-695 is the predominant isoform in brain) is cleaved near its C-terminus by  $\beta$ -secretase (BACE1) to produce a long soluble N-terminal fragment (sAPP $\beta$ ) and a shorter membrane-bound C-terminal fragment (APP-C99). APP-C99 is then cleaved by the  $\gamma$ -secretase complex (an aspartyl protease containing PS1 and/or PS2 in its catalytic core; both presenilins are produced as full-length, relatively inactive, precursors that are cleaved autocatalytically to produce the active enzyme) to produce  $A\beta$  (~40 aa [ $A\beta_{40}$ ]) and the APP intracellular domain (AICD) peptide (~50 aa).

Pathogenic mutations in PS1, PS2, or APP that cause FAD result in the production of aberrantly processed forms of  $A\beta$  (and especially an increase in the ratio of  $A\beta_{42}/A\beta_{40}$ ) that accumulate in the neuritic plaques. The accumulated  $A\beta$ , and especially  $A\beta_{42}$ , is toxic to cells, promoting tau hyperphosphorylation. This chain of events has been called the “amyloid cascade” (Hardy and Higgins 1992, Selkoe 2011) and is the most widely accepted hypothesis to explain the pathogenesis of AD.

The amyloid cascade hypothesis helps explain why mutations in both APP and in the presenilins cause FAD. However, the amyloid cascade hypothesis does not address other features of AD that have received less attention in the field (Area-Gomez and Schon 2016, Schon and Area-Gomez 2010, Schon and Area-Gomez 2013). These include altered cholesterol (Stefani and Liguri 2009), glucose (Hoyer et al. 1988, Liu et al. 2009), fatty acid (Fraser et al. 2010), and phospholipid (Pettegrew et al. 2001) metabolism, perturbed calcium homeostasis (Bezprozvanny and Mattson 2008), and mitochondrial dysfunction (Wang et al. 2009). It is notable that these “other” features of AD are the very ones that are implicated in MAM function and that are often associated with proteins enriched in the MAM. This potential connection has given rise to the hypothesis that perturbed MAM function plays a role in the pathogenesis of AD (Area-Gomez and Schon 2016, Schon and Area-Gomez 2010, Schon and Area-Gomez 2013).

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### 11.4 The MAM Connection in AD

In the last few years, a number of groups have found that presenilins and  $\gamma$ -secretase activity itself, while present in the ER (in agreement with the findings of others (Busciglio et al. 1997, Walter et al. 1996)), are *not* present there homogeneously, but rather are enriched heterogeneously in the MAM subcompartment of the ER (Area-Gomez et al. 2009, Newman et al. 2014, Schreiner et al. 2015). The finding that MAM is an intracellular lipid raft (Area-Gomez et al. 2012, Hayashi and Fujimoto 2010) is

consistent with the observation that PS1 and  $\gamma$ -secretase activity reside in lipid rafts (Vetrivel et al. 2004) and supports the emerging view that rafts are located not only at the cell surface (Lingwood and Simons 2010, Vieira et al. 2010) but can also be found inside the cell (e.g., at the MAM).

Furthermore, alterations in the processing of APP result in MAM dysfunction, and *vice versa* (Area-Gomez et al. 2012, Hedskog et al. 2013), which links abnormalities in  $\gamma$ -secretase function to the metabolic alterations found early in the course of the disease. On the morphological side, the area of ER-mitochondria apposition is increased significantly in FAD and SAD fibroblasts and in presenilin-mutant cells, compared to controls (Area-Gomez et al. 2012). On the biochemical side, it has long been known that calcium homeostasis, which is in large part a MAM-mediated process (Csordas et al. 2010, Hayashi et al. 2009, Patergnani et al. 2011), is perturbed in AD patients (Gibson et al. 1997, Liang et al. 2015, Mattson 2010, Peterson and Goldman 1986, Sims et al. 1987, Supnet and Bezprozvanny 2010) and in animal models of AD (Sun et al. 2014). Another MAM-mediated process, mitochondrial bioenergetics and dynamics (e.g., organellar localization, fusion, and fission), is also perturbed in AD (Ferrer 2009, Gibson and Huang 2004, Peterson and Goldman 1986, Riemer and Kins 2013, Stokin et al. 2005, Wang et al. 2008).

Another important early feature of AD is disturbed lipid homeostasis (Di Paolo and Kim 2011), which may be behind some of the synaptic alterations seen in the disease (Rohrbough and Broadie 2005). As alluded to above, MAM serves as a regulatory hub for lipid regulation, including that of cholesterol and phospholipids (Vance 2014). Both of these functions are altered in AD (Area-Gomez et al. 2012, Stefani and Liguri 2009, Pettegrew et al. 2001), which can explain the altered lipid profiles seen in the disease (Chan et al. 2012) and the still-controversial connection to cholesterol (Chan et al. 2012).

Early alterations in MAM can also explain the prominent role of ApoE4 as a major genetic

risk factor in sporadic AD (Holtzman et al. 2012). As noted above, ApoE is a component of lipoproteins that traffic lipids – mainly cholesterol, cholesteryl esters, and phospholipids – through the circulation, including the brain (where astrocytes, but not neurons, synthesize ApoE). There are a number of naturally occurring variants of ApoE in the population, with the most common being ApoE3 (it has a cysteine at amino acid position 112). ApoE4, with an arginine at that position, confers a significantly increased risk of developing AD compared to that conferred by ApoE3, via a currently unknown mechanism (Holtzman et al. 2012). Notably, ApoE4 has been shown to increase the intracellular concentration of cholesterol compared to the effect of ApoE3 (Heeren et al. 2004).

Consistent with this difference, it was recently shown that lipoproteins containing ApoE4 (but not the free protein) upregulated MAM function to a significantly greater degree than did those containing ApoE3 (Tambini et al. 2016). These results imply that the negative effects of ApoE4 on MAM functionality may well be due to its function in lipoprotein-mediated cholesterol metabolism and trafficking. Thus, the contribution of ApoE4 to the risk of developing AD may be due to the effects of perturbed cholesterol homeostasis on MAM function. This finding is concordant with the discovery of genetic variants in a number of cholesterol metabolism-related genes (Wollmer 2010), such as *ABCA7* (Steinberg et al. 2015), which encodes a cholesterol and phospholipid transport protein (Abe-Dohmae et al. 2004), also predispose to developing AD.

Overall, these data and observations support a view of AD pathogenesis that departs from that afforded by the amyloid cascade hypothesis and is focused less on plaques and tangles and more on altered cellular metabolism as the underlying disturbance in AD. In particular, the “MAM hypothesis” proposes that the development and progression of the AD result from increased communication between ER and mitochondria at the MAM (Area-Gomez and Schon 2016,

Schon and Area-Gomez 2010, Schon and Area-Gomez 2013). This increase affects a panoply of cellular functions, both directly (e.g., alterations in MAM-localized enzymatic functions) and indirectly (e.g., alterations in cellular behavior in response to perturbed MAM behavior). The increased apposition between ER and mitochondria and the concomitant alteration in MAM function are consistent with the perturbed cholesterol homeostasis, the altered phospholipid profiles, the increased calcium trafficking between the two organelles, the changes in mitochondrial bioenergetics and dynamics, and the elevated ratio of  $A\beta_{42}/A\beta_{40}$  (Schon and Area-Gomez 2013). Thus, it is possible that the functional cause of AD is an increase in the communication between ER and mitochondria and an associated upregulation in MAM function. What now remains to be elucidated is the biochemical cause of this ER-mitochondria hyperconnectivity and how APP processing plays a role in this process.

In this regard, the finding that ApoE4 impacts on MAM function may provide an important clue. Although the connection between ApoE4 and APP processing is at present unclear, one conceptual connection between the two is perturbed cholesterol homeostasis. Lipoproteins transport cholesterol and cholesteryl esters, and their components are recycled following binding to lipoprotein receptors on the cell surface and internalization into the cell. Interestingly, intracellular lipoprotein-derived cholesterol is recycled poorly in ApoE4-containing cells relative to ApoE3 (Heeren et al. 2004). Thus, one possible connection between APP processing and ApoE in general (and ApoE4 in particular) is the regulation of cholesterol homeostasis (Heeren et al. 2003), for two reasons. First,  $\gamma$ -secretase resides in the MAM (Area-Gomez et al. 2009), a lipid raft rich in cholesterol and sphingomyelin. Second, APP contains a cholesterol-binding domain at its C-terminus (Barrett et al. 2012) that may act as a cholesterol sensor (Beel et al. 2008). Thus, it may well be that in AD cholesterol levels are altered, either at steady state or dynamically (e.g., altered cholesterol turnover). In the case of SAD, this could be the result of

aberrant cholesterol trafficking mediated by, for example, ApoE4 or mutated ABCA7. In the case of FAD, it could be the result of aberrant cholesterol sensing or homeostasis due to altered APP structure or amount (as is the case in subjects with Down syndrome, who are at elevated risk for developing AD, likely due to an extra gene dose of *APP*) or in the ability of mutated presenilins to cleave APP properly (Heilig et al. 2010). In either case, altered cholesterol metabolism somehow induces an increase in ER-mitochondria communication that then gives rise to the phenotypes seen in AD (Marquer et al. 2014).

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## Abstract

Parkinson's disease (PD) is a common neurodegenerative disorder, with ageing being a major risk factor. Accordingly, estimates predict an increasing number of PD patients due to our expanding life span. Consequently, developing a true disease-modifying therapy is necessary. In this regard, monogenic PD offers a suitable means for determining pathogenesis. Among monogenic forms of PD, mitochondrial dysfunction may be a major cause and is also likely to be involved in sporadic PD. Thus, mitochondrial impairment may be a common pathway. Recently, mitochondria-associated membranes (MAM) were identified as dynamic sites between mitochondria and endoplasmic reticulum. Indeed, the gene product of  $\alpha$ -synuclein is a major component of MAM, with other gene products also involved. This review focuses on the possibility of using MAM as novel therapeutic targets.

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## Keywords

Parkinson's disease • Familial Parkinson's disease •  $\alpha$ -synuclein • Parkin • PINK1 • DJ-1 • Mitochondria-associated membrane

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## 12.1 Introduction

Parkinson's disease (PD) is the second most prevalent neurodegenerative disease after Alzheimer's disease (AD) and is characterized by motor disturbances such as resting tremor,

rigidity, bradykinesia, and postural instability. In addition to motor symptoms, nonmotor symptoms are recognized to play an important role in adversely affecting quality of life in patients with PD and may precede formal diagnosis by decades (Berg et al. 2015; Jankovic and Poewe 2012). Defining the epidemiology of PD is confounded by several variables, including diagnostic difficulties and age dependence. PD affects >1% of the population over the age of 60, which equates to more than 127,000

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individuals in the UK or 500,000 individuals in the USA. Further, its prevalence reaches 5% in individuals over the age of 85, highlighting the impact that advancing age has on the risk of developing PD (de Lau and Breteler 2006; Nussbaum and Ellis 2003; Wood-Kaczmar et al. 2006). In a study of the world's ten most populous nations and Western Europe's five most populous nations, it was estimated that the number of people with PD will rise by two times from 4.1–4.6 million in 2005 to 8.7–9.3 million in 2030. Six of the most populous countries are in Asia (China, India, Indonesia, Pakistan, Bangladesh, and Japan), and the number of people with PD in these countries is expected to increase from 2.57 million in 2005 to 6.17 million in 2030. Indeed, the number of people with PD is likely to grow worldwide as a result of our rapidly ageing population and increasing life expectancy (Dorsey et al. 2007). Consequently, determining the pathogenesis of PD and developing new therapies to halt disease progression are crucial. The majority of PD cases are sporadic; however, some (< 10%) are caused by mutations in genes that affect either protein degradation, lysosomal function, or mitochondrial function, including  $\alpha$ -synuclein (SNCA) (Park1, 4), Parkin (Park2), PTEN-induced putative kinase 1 (PINK1) (Park6), DJ-1 (Park7), and glucosylceramidase beta (GBA) (Polymeropoulos et al. 1997; Kitada et al. 1998; Kruger et al. 1998; Valente et al. 2004; Bonifati et al. 2003; Multiple-System Atrophy Research 2013). In particular, mitochondrial dysfunction is a key event for pathogenesis of sporadic and familial PD. Emerging evidence shows that mitochondria-associated membrane (MAM) dysfunction plays a prominent role in numerous neurodegenerative diseases, while genes affecting endoplasmic reticulum (ER) and mitochondrial homeostasis are clearly overrepresented in hereditary disorders. Several findings indicate that mitochondria-ER contact sites play crucial roles in neuronal survival and death. Mitochondria in contact with ER are readily observed in brain tissue throughout neurons and at synapses (Hedskog et al. 2013). In addition, choreographed interplay between the ER and

mitochondria may be involved in shaping dendritic calcium signals and neuronal activity in hippocampal neurons (Pivovarova et al. 2002). At the synapse, calcium shuttling between the ER and mitochondria is suggested to be essential for exocytosis and synaptic activity (Mironov and Symonchuk 2006). Moreover, MAM signaling is implicated in several neurodegenerative disorders including PD (Cali et al. 2013a; Guardia-Laguarta et al. 2014; Ottolini et al. 2013), AD (Schon and Area-Gomez 2013), amyotrophic lateral sclerosis, frontotemporal dementia (Prause et al. 2013; Stoica et al. 2014), and Charcot–Marie–Tooth disease (CMT) (de Brito and Scorrano 2008). This is underscored by the fact that genes affecting mitochondrial and ER homeostasis are overrepresented in several hereditary neurodegenerative disorders. In contrast, processes affected by mitochondria-ER contact sites are widely implicated in neurodegeneration, including PD. This review will focus on PD and MAM function.

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## 12.2 Mitochondrial Dysfunction in PD

As eukaryotic cells acquired the highly efficient power-generating system of aerobic respiration by incorporating mitochondria into the cytosol, alleviation of oxidative stress by appropriate regulation of mitochondria became an emerging and inevitable issue. Non-dividing cells or tissues with high energy demands in long-lived animals such as humans have a particular need to regulate mitochondrial activity and avoid production of deleterious reactive oxygen species. Currently, mitochondrial dysregulation is implicated in various human diseases including cancer, diabetes, myopathy, and neurodegeneration. Since the discovery of 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP), which appears to specifically target the neurons involved in PD, mitochondrial dysfunction has been proposed as one of the major causes of PD. Indeed, MPTP is used to develop animal models for testing new therapies for PD. Furthermore, investigation of MPTP

toxicity has provided insight on the possible pathogenesis of PD. MPTP is amphiphilic and is captured into acidic organelles (mostly lysosomes) in astrocytes. MPTP itself does not appear to be toxic, but its oxidized product, 1-methyl-4-phenylpyridinium (MPP<sup>+</sup>), is toxic. Although the precise mechanism(s) underlying MPP<sup>+</sup> toxicity are unknown, they are suggested to be dependent on concentration in mitochondria via selective uptake. Energy-driven mitochondrial uptake of MPP<sup>+</sup> results in sufficiently high toxin concentration, which interferes with mitochondrial respiration. MPP<sup>+</sup> appears to act at or near complex I, where several other agents (including rotenone) act to block mitochondrial oxidation. Clinical phenotypes of MPTP-induced parkinsonism are very similar to sporadic forms of PD (Schapira et al. 1988). Moreover, complex I deficiency is observed in autopsied brains, and western blotting and immunohistochemical analyses reveal defects in several complex I molecules (Mizuno et al. 1989; Hattori et al. 1991). Additionally, mitochondrial

dysfunction is observed not only in the brain but also skeletal muscle, lymphocytes, and platelets (Yoshino et al. 1992; Benecke et al. 1993). Therefore, mitochondrial dysfunction, especially respiratory chain impairments, is a major cause of PD. Consequently, many neurotoxins have been screened, but none similar to MPTP and rotenone have been discovered so far. Thus, interaction between genetic and environmental factors (e.g., head injuries) may induce PD onset.

### 12.3 $\alpha$ -Synuclein (SNCA)

More than 20 genes have been identified as causative for familial PD (Table 12.1). Among them, SNCA is mutated or overexpressed in autosomal dominant PD (Polymeropoulos et al. 1997). Several missense mutations and multiplications are reported worldwide (Ibanez et al. 2004; Kiely et al. 2013; Nishioka et al. 2006; Singleton et al. 2003; Chartier-Harlin et al. 2004; Kruger et al. 1998; Pasanen et al. 2014; Proukakis et al.

**Table 12.1** Genetics of familial Parkinson's disease PARK1-22 indicates monogenic form of PD. GBA is a susceptible gene for developing PD

Gene symbol	Gene locus	Mode	Gene name	Age at onset	Lewy bodies
PARK1 (SNCA), PARK4	4q21	AD	<i>SNCA</i>	Approximately 40	+
PARK2	6q252.2-27	AR	<i>Parkin</i>	< 40	- (although some patients +)
PARK3	2p13	AD	?	35-89	+
PARK5	4p14	AD	<i>UCH-L1</i>	< 50	?
PARK6	1p35-36	AR	<i>PINK1</i>	Approximately 50	+
PARK7	1p36	AR	<i>DJ-1</i>	27-40	?
PARK8	12q12	AD	<i>LRRK2</i>	Approximately 65	+/-
PARK9	1p36	AR	<i>ATP13A2</i>	11-16	?
PARK10	1p32	SP	?	Late	?
PARK11	2q36-37	AD	<i>GIGYF2</i>	Late	?
PARK12	Xp21-q25	SP	?	Late	?
PARK13	2p12	SP	<i>HtrA2/Omi</i>	Late	?
PARK14	22q13.1	AR	<i>PLA2G6</i>	20-25	+
PARK15	22q12-q13	AR	<i>FBXO7</i>	10-19	?
PARK16	1q32	SP	?	Late	?
PARK17	16q12	AD	<i>VPS35</i>	Late	-
PARK18	3q27	AD	<i>EIF4G1</i>	Late	+
PARK19	1p31.3	AR	<i>DNAJC6/HSP40</i>	10-20	?
PARK20	21q22.11	AR	<i>SYNJ1</i>	Early	?
PARK22	7p11.2	AD	<i>CHCHD2</i>	Late	?
GBA	1q21	SP	<i>Glucocerebrosidase</i>	52 ± 7	+

2013; Zarranz et al. 2004). Yet despite numerous research efforts, the main function of SNCA remains unknown. The majority of SNCA is soluble and resides in the cytoplasm. Interestingly, many studies have shown that upon stimulus by an unidentified factor, SNCA is capable of binding to membranes, and subsequently, changing its N-terminal domain conformation (Eliezer et al. 2001; Jao et al. 2004; Jao et al. 2008). SNCA preferentially binds to anionic phospholipids and high-curvature liposomes in vitro (Davidson et al. 1998; Fortin et al. 2004; Auluck et al. 2010). While in cells, relevant membrane regions include lipid raft domains and detergent-resistant membranes with unique molecular characteristics (Simons and Toomre 2000). Lipid rafts are specialized membrane domains enriched in certain lipids, cholesterol, and proteins. Initially, lipid rafts were believed to form only at the plasma membrane, but there have been many reports showing that these domains also localize intracellularly (Hayashi and Fujimoto 2010). More recently, SNCA was shown to bind to mitochondria (Cole et al. 2008; Li et al. 2007; Devi et al. 2008; Parihar et al. 2008; Zhang et al. 2008), with mitochondrial binding particularly significant in the striatum, substantia nigra, and cortex of PD brains (Devi et al., 2008). Supporting these results, a recent study described an N-terminal sequence in SNCA that may act as a mitochondrial targeting sequence (Devi et al. 2008). Corroboratively, SNCA binding to membranes is favored in the presence of cardiolipin, a lipid specific to the mitochondrial membrane (Zigoneanu et al. 2012).

Mitochondrial presence of SNCA supports involvement of mitochondrial dysfunction and decreased complex I activity in PD patients and cell models with SNCA mutations (Hsu et al. 2000; Schon and Przedborski 2011; Devi et al. 2008). Animal models, such as transgenic mice carrying the SNCA A53T mutation, reveal not only decreased complex I activity but also damaged mitochondrial DNA and aberrant mitochondrial dynamics (Chinta et al. 2010; Martin et al. 2006; Choubey et al. 2011).

Guardia-Laguarta et al. found that a subpopulation of SNCA resides at MAM (Guardia-

Laguarta et al. 2014), which are composed of intracellular lipid rafts. This result is compelling, as previous work has shown that SNCA has an affinity for lipid rafts (Fortin et al. 2004) and negatively-charged membranes (Davidson et al. 1998), and supports SNCA as a mitochondrial protein (Li et al. 2007; Cole et al. 2008; Devi et al. 2008; Parihar et al. 2008; Shavali et al. 2008). Lack of appropriate MAM markers and technical difficulties in fractionating this membrane (because of its ER association) may explain previous results (Area-Gomez et al. 2012). MAM are an ER sub-compartment that is connected to mitochondria (Rusinol et al. 1994; Csordas et al. 2006; Hayashi et al. 2009). Specifically, several proteins related to phospholipid regulation (phosphatidylserine synthase 2), cholesterol metabolism (acyl-CoA cholesterol acyl transferase) (Rusinol et al. 1994), and calcium transport from the ER to mitochondria (type 3 inositol 1,4,5-triphosphate receptor) (Hayashi and Fujimoto 2010) are present in MAM. Notably, mitochondrial distribution and dynamics are influenced by physical connections formed by MAM (Rizzuto et al. 1998; Levine and Rabouille 2005; Csordas et al. 2006; Hayashi et al. 2009; Friedman et al. 2011; Rowland and Voeltz 2012). During mitochondrial fission, ER tubules appear to “embrace” mitochondria and mark mitochondrial division sites (Friedman et al. 2011). In addition, isolated MAM from different tissues are enriched in proteins related to control of mitochondrial dynamics (e.g., FIS1, MFN2, and DRP1). Finally, MAM also contain proteins involved in apoptosis (e.g., voltage-dependent anion channel 1, BAX, and BID) (Garofalo et al. 2005; Ciarlo et al. 2010). Indeed, calcium release at mitochondria-ER contacts, which is important for ATP production, may be responsible for sensitizing mitochondria to apoptosis (Iwasawa et al. 2011; Tabas and Ron 2011). Alteration of mitochondria-ER contacts causes calcium signal deregulation, resulting in inappropriate protein folding, metabolic alterations, and apoptosis (Csordas and Hajnoczky 2009; Bui et al. 2010).

Recent advances in the protein degradation system in PD pathogenesis reveal the importance of both the ubiquitin-proteasome and

autophagy–lysosome pathway (Matsuda and Tanaka 2010). Abnormal ubiquitin enrichment in inclusion bodies is found in various neurodegenerative disorders, including PD. This shows that impairment of the protein degradation system may be required for formation of abnormal protein aggregation. Lewy bodies (of which a major component is SNCA) are a pathological diagnostic hallmark. Accordingly, it is crucial to determine SNCA aggregation mechanisms. Compared to wild-type SNCA, mutant SNCA easily forms aggregates in neuronal cells *in vitro* and *in vivo* (Winner et al. 2011; Karpinar et al. 2009). The most toxic SNCA species to cells remains unclear, but some studies suggest that mature aggregates are not toxic themselves, but rather an attempt by the cell to clear small toxic oligomers (Cremades et al. 2012). Wild-type SNCA is degraded by both chaperone-mediated autophagy and macroautophagy, while the A30P and A53T mutations involve mainly the latter (Cuervo et al. 2004).

In 1955, Christian de Duve discovered then previously unknown organelles: lysosomes. This discovery significantly contributed to de Duve being awarded a share of the 1974 Nobel Prize in Physiology or Medicine for elucidating “the structural and functional organization of the cell.” More recently, Yoshinori Ohsumi won the 2016 Nobel Prize in Physiology or Medicine for discovering and elucidating mechanisms underlying autophagy, a fundamental process for degrading and recycling cellular components. Inclusion bodies are often observed in specific lesions in neurodegenerative disorders such as PD and AD. Hence, autophagy may also be involved in pathogenesis of neurodegenerative disorders.

The term autophagy is used to describe lysosomal-mediated degradation of intracellular contents, which can be divided into three basic mechanisms: (1) chaperone-mediated autophagy, (2) microautophagy, and (3) macroautophagy. First, chaperone-mediated autophagy is initiated by the chaperone, Hsc70, which recognizes one protein at a time. By binding to lysosomal-associated membrane protein, Hsc70 transports proteins to lysosomes (Yabu et al. 2011).

Chaperone-mediated autophagy impairment has been reported in PD (Sala et al. 2014). Second, microautophagy involves lysosomal membrane invagination to encircle cellular contents including proteins and lipids. Appropriately, lipids, proteins, or organelles can be degraded through this pathway. Further, it is highly likely that these components are marked by specific modifications to be recognized by lysosomes, although the majority of these modifications have not yet been defined. Third, macroautophagy is the most extensively studied autophagic pathway and involves formation of double-membrane structures that encircle proteins, lipids, and organelles (Klionsky et al. 2012). Degradation of mitochondria through the macroautophagy pathway is termed “mitophagy” and is associated with the Parkin/PINK1 pathway. Similarly, degradation of other cellular structures, such as nuclear fragments, lipid droplets, peroxisomes, ribosomes, and ER, has been named nucleophagy, lipophagy, pexophagy, ribophagy, and reticulophagy, respectively. As already stated, mitochondrial dysregulation is implicated in various human diseases including cancer, diabetes, myopathy, and a variety of neurodegenerative disorders. Therefore, mitochondrial maintenance includes mitophagy as a selective autophagic process to remove abnormal mitochondria. It can easily be speculated that damaged mitochondria fail to provide the cell with life-preserving energy. Subsequently, impaired mitochondria, if not removed, can directly kill cells.

It is well known that mitochondria-ER connections regulate dynamic mitochondrial processes (Csordas et al. 2006; Hayashi et al. 2009; Friedman et al. 2011; Rowland and Voeltz 2012), and mutations in SNCA increase mitochondrial fragmentation (Kamp et al. 2010; Nakamura et al. 2011). Correlating this to MAM dysfunction, Guardia-Laguarta et al. confirmed a fragmented phenotype in mutant cells (Guardia-Laguarta et al. 2014). Nevertheless, it is possible that fragmentation due to SNCA mutations is not due to defects in the fusion/fission machinery of mitochondria, but rather to MAM alterations (Guardia-Laguarta et al. 2014). Several findings



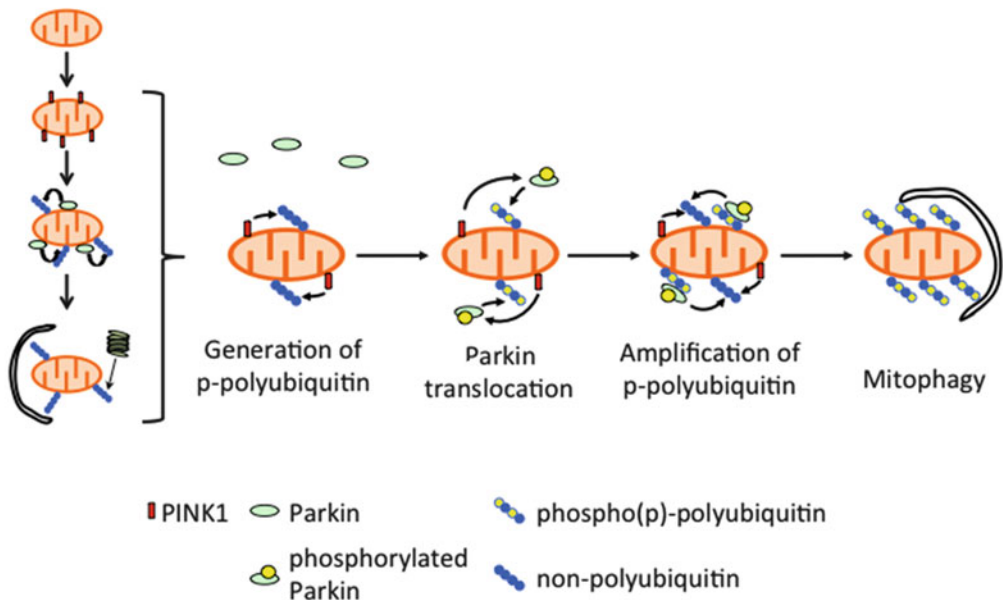
support crosstalk between SNCA and MAM, while SNCA aggregation impairs mitochondrial function.

## 12.4 Parkin and PINK1

The genes for autosomal recessive, young-onset PD, *PINK1* and *Parkin*, encode a kinase and ubiquitin ligase, respectively. Moreover, they have similar clinical phenotypes including age at onset and good levodopa response. Both *PINK1* and *Parkin* gene products are implicated in mitophagy to protect healthy mitochondria. *Parkin* in cooperation with *PINK1* specifically recognizes damaged mitochondria with reduced mitochondrial membrane potential ( $\Delta\psi_m$ ) and rapidly isolates them from the mitochondrial network by eliminating them through the ubiquitin–proteasome and autophagy–lysosome pathways. *Parkin* expressed in cultured cells mainly localizes

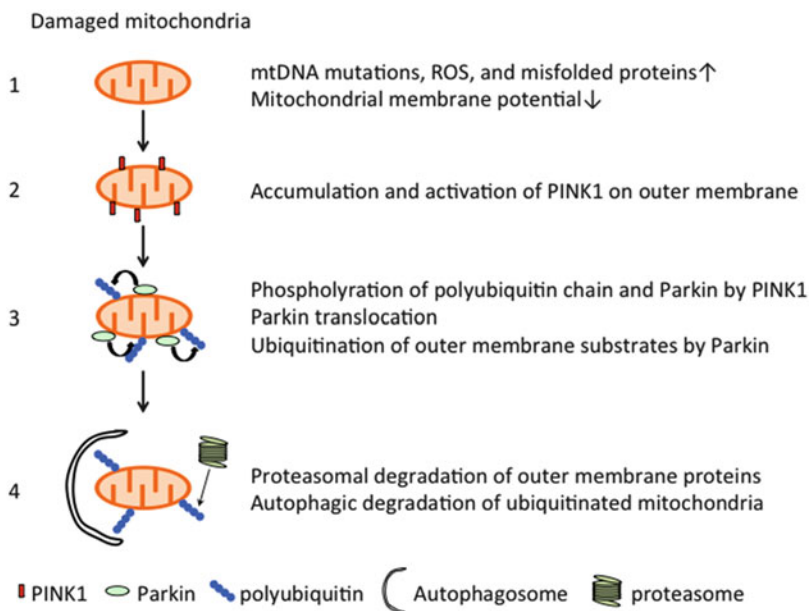
to the cytosol; therefore, how *Parkin* regulates mitochondrial function remains unknown. Narendra et al. reported that  $\Delta\psi_m$  disruption in cultured mammalian cells using mitochondrial-damaging reagents such as carbonyl cyanide *m*-chlorophenylhydrazone (CCCP) causes *Parkin* translocation to mitochondria with low  $\Delta\psi_m$  (Narendra et al. 2008). Further, this translocation induces microtubule-associated protein light chain 3 (LC3)-mediated autophagic elimination of damaged mitochondria (i.e., mitophagy) (Fig. 12.1). After *Parkin* translocation, mitochondrial accumulation of proteins mainly polyubiquitinated with Lys63-linked polyubiquitin and a small proportion of Lys48 linkage (Chan et al. 2011; Lee et al. 2010; Olzmann et al. 2007) recruits the ubiquitin- and LC3-binding adaptor protein, p62/sequestosome-1 (Geisler et al. 2010; Narendra et al. 2010; Okatsu et al. 2010), and the ubiquitin-binding deacetylase, histone deacetylase 6 (HDAC6) (Lee et al. 2010) (Fig. 12.2).

### Damaged mitochondria



**Fig. 12.1** PINK1-Parkin-mediated mitophagy. (1) Mitochondrial DNA (mtDNA) mutations, reactive oxygen species overproduction, and misfolded protein accumulation cause a reduction in mitochondrial membrane potential. (2) PINK1 is constitutively degraded under steady-state conditions and accumulates on damaged mitochondria.

(3) Accumulated PINK1 activates itself, eliciting mitochondrial translocation and Parkin activation via phosphorylation. Activated Parkin ubiquitinates mitochondrial substrates. (4) Polyubiquitinated proteins on the outer mitochondrial membrane are degraded by the proteasome, with damaged mitochondria concurrently eliminated by mitophagy



**Fig. 12.2** Amplification of phospho-polyubiquitin chain production achieves rapid Parkin translocation and activation. Phospho-polyubiquitin chains on mitochondria are produced in collaboration with PINK1 and Parkin. The mechanism responsible for initial formation of ubiquitin chains on mitochondria remains unresolved. Ubiquitin chains may be attached to outer membrane proteins via mitochondrial ubiquitin ligases (other than Parkin).

Alternatively, Parkin, which is activated by phospho-monoubiquitin in the cytosol, may attach ubiquitin chains to mitochondrial proteins. Phosphorylation of polyubiquitin chains by PINK1 promotes further Parkin activation and relocation to the mitochondrial outer membrane. In turn this amplifies generation of phospho-polyubiquitin chains and subsequently recruits autophagy machinery to ubiquitinated mitochondria (Arano and Imai 2015)

Depolarized mitochondria treated with CCCP or paraquat accumulate in the perinuclear compartment in a p62/SQSTM1-dependent manner (Geisler et al. 2010; Narendra et al. 2010; Okatsu et al. 2010), followed by activation of autophagic isolation and subsequent lysosomal degradation of mitochondria (Narendra et al. 2008) (Fig. 12.1). Clustering of ubiquitinated mitochondria by p62 and HDAC6 is reminiscent of sequestration of ubiquitinated proteins known as aggresomes (Komatsu et al. 2007; Pandey et al. 2007) and may facilitate isolation of damaged mitochondria from the healthy mitochondrial network and their efficient removal.

In mammalian tissue, Parkin translocation from the cytosol to mitochondria requires intact PINK1 with kinase activity and is an initial step in the mitophagy process (Geisler et al. 2010; Kawajiri et al. 2010; Matsuda and Tanaka 2010; Narendra et al. 2010; Vives-Bauza et al. 2010).

Most pathogenic mutations in PINK1 and Parkin involve Parkin's mitochondrial translocation activity, which have been partly confirmed using neuronal cultures derived from induced pluripotent stem cells (iPSC) obtained from *PINK1*-linked PD cases (Seibler et al. 2011). Importantly, iPSC-derived neurons with Parkin mutations, but not fibroblasts or iPSCs with the same Parkin mutations, exhibit abnormal mitochondrial morphology and impaired mitochondrial homeostasis (Imaizumi et al. 2012). Thus, Parkin-mediated mitophagy may be closely associated with young-onset PD etiology caused by both *PINK1* and *Parkin* mutations.

A series of *Drosophila* genetic and cell biology studies clearly show that PINK1 is required for Parkin-mediated mitochondrial maintenance. Mitophagy of damaged mitochondria is a well-characterized event in which PINK1 and Parkin are involved, yet how PINK1 regulates Parkin is

largely unclear. Recently, Ser65 in the ubiquitin-like domain of endogenous Parkin was shown to be phosphorylated in an activated PINK1-dependent manner (Shiba-Fukushima et al. 2012). Therefore, PINK1 is required for Parkin phosphorylation to activate mitophagy, while lack of phosphorylation in fibroblasts from a PARK6 patient shows its relevance to PD pathogenesis.

In *Drosophila*, mitochondria with accumulated misfolded proteins are also removed by Parkin (Pimenta de Castro et al. 2012). This phenomenon is reminiscent of ER-associated protein degradation (ERAD), which eliminates misfolded or unassembled proteins from the ER (Meyer et al. 2012). An AAA+ ATPase, p97/valosin-containing protein, is required for ERAD along with proteasome activity and involved in elimination of proteins on the outer mitochondrial membrane during mitophagy (Tanaka et al. 2010). Hence, the pathways for protein quality control and mitochondrial maintenance are likely to be linked. Although there is increasing evidence that with respect to PD, autophagy and mitophagy are critically linked to pathogenic mechanisms, at least under experimental conditions, the molecular mechanisms by which PINK1 and Parkin signal a commitment to elimination remain largely unclear. In particular, an important question currently being raised is whether mitophagy performs a protective role or whether dysregulation is in fact a common underlying cause of disease initiation. This is an important issue and its resolution may lead to identification of new genes associated with neurodegenerative disorders, as well as etiological understanding of idiopathic PD and *PINK1*- and *Parkin*-linked PD.

Upon loss of  $\Delta\Psi_m$ , cytosolic Parkin is recruited to mitochondria by PINK1 through an uncharacterized mechanism, an initial step that triggers sequential events for autophagic activation in mitophagy. In our previous study, we found that Ser65 in the ubiquitin-like domain of Parkin is phosphorylated by activated PINK1 upon  $\Delta\Psi_m$  depolarization and required for Parkin translocation to mitochondria. We also provided evidence that Ser65 phosphorylation is

required, but not sufficient, for Parkin translocation by showing that pathogenic mutant forms of Parkin lacking mitochondrial translocation activity are still phosphorylated by PINK1 (Shiba-Fukushima et al. 2014). Our study partly uncovered the molecular mechanisms underlying mitochondrial translocation of Parkin in a PINK1-dependent manner as an initial step in mitophagy. Moreover, ubiquitin is the genuine substrate of PINK1. PINK1 phosphorylates ubiquitin at Ser65 both in vitro and in cells, while a Ser65 phosphopeptide derived from endogenous ubiquitin is only detected in cells in the presence of PINK1 following decreased  $\Delta\Psi_m$ . Furthermore, phosphomimetic ubiquitin accelerates discharge of a thioester conjugate formed by ubiquitin-conjugating enzyme E2 L3 (UBCH7) (also known as UBE2L3) and ubiquitin (UBCH7~ubiquitin) in the presence of Parkin in vitro, indicating that it acts allosterically. Phosphorylation-dependent interaction between ubiquitin and Parkin suggests that phosphorylated ubiquitin unlocks autoinhibition of the catalytic cysteine. Koyano et al. showed that PINK1-dependent phosphorylation of both Parkin and ubiquitin is sufficient for full activation of Parkin E3 activity (Koyano et al. 2014). Although various models for the recruitment process have been proposed, none adequately explain the accumulating data, and thus the molecular basis for PINK1 recruitment of Parkin remains to be fully elucidated. Koyano et al. demonstrated that a linear ubiquitin chain of phosphomimetic tetra-ubiquitin (S65D) recruits Parkin to energized mitochondria in the absence of PINK1, whereas a wild-type tetra-ubiquitin chain does not. Under more physiologically relevant conditions, a lysosomal phosphorylated polyubiquitin chain recruits phosphomimetic Parkin to lysosomes. A cellular ubiquitin replacement system confirmed that ubiquitin phosphorylation is indeed essential for Parkin translocation to mitochondria (Okatsu et al. 2015). Furthermore, physical interactions between phosphomimetic Parkin and phosphorylated polyubiquitin chain were detected by immunoprecipitation from cells and in vitro reconstitution using recombinant proteins. Okatsu et al. proposed

that the phosphorylated ubiquitin chain functions as the genuine Parkin receptor for recruitment to depolarized mitochondria (Okatsu et al. 2015).

In this regards, both molecules (Parkin and PINK1) collaborate for mitophagy, and it is possible that both molecules might function at MAM.

Cali et al. reported that Parkin overexpression in model cells physically and functionally enhances ER-mitochondria coupling, favoring calcium transfer from the ER to mitochondria following cell stimulation with an agonist that generates 1,4,5 inositol trisphosphate (InsP3), and increases agonist-induced ATP production (Cali et al. 2013b). Overexpression of a Parkin mutant lacking the ubiquitin-like domain failed to enhance mitochondrial calcium transients, thus highlighting the importance of the N-terminal ubiquitin-like domain in the observed phenotype. siRNA-mediated Parkin silencing causes mitochondrial fragmentation, impaired mitochondrial calcium handling, and reduced mitochondrial-ER tethering. These data support a novel role for Parkin in regulation of mitochondrial homeostasis, calcium signaling, and energy metabolism under physiological conditions. Moreover, no direct involvement for PINK1 in mitochondrial-ER crosstalk has been reported. However, it does play a role in mitochondrial motility, regulation of which also takes place at this interface. In addition to local calcium levels, mitochondrial motility is regulated through proteasomal breakdown of the transport complex, as PINK1 promotes proteasomal degradation of mitochondrial Rho GTPase (Miro)-1/2 and mitofusin (MFN)-1/2 in a Parkin-dependent manner (Gegg et al. 2010; Saotome et al. 2008). Intriguingly, loss of Miro was shown to rescue the phenotype caused by expression of PD-causing PINK1 mutations in *Drosophila* and facilitate clearance of damaged mitochondria in HeLa cells, suggesting this process plays a significant role in PD (Tsai et al. 2014). Further research is needed to investigate how contact between mitochondria and the ER is involved in this pathway and whether ubiquitination of MFN2 by Parkin can modulate these contacts, as reported for MITOL, a mitochondrial

ubiquitin ligase (Gegg et al. 2010; Kim et al. 2015). DJ-1 may also be linked to MAM, as DJ-1 overexpression promotes MAM function and mitochondrial interaction (Ottolini et al. 2013).

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## 12.5 Conclusions

The monogenic form of PD provides a hint toward elucidating PD pathogenesis. Among them, SNCA, Parkin, PINK1, and DJ-1 are directly linked to mitochondrial function and MAM. In addition, CHCHD2 (Funayama et al. 2015), a novel gene product associated with PD, is also involved in mitochondrial homeostasis. Accumulating evidence suggests that these PD-associated genes have multifaceted roles in mitochondria, including regulation of mitochondrial motility and quality as well as redox and respiration regulation.

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# Role of Endoplasmic Reticulum-Mitochondria Communication in Type 2 Diabetes

# 13

Jennifer Rieusset

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## Abstract

Although mitochondrial dysfunction, endoplasmic reticulum (ER) stress, and disrupted lipid and calcium ( $\text{Ca}^{2+}$ ) homeostasis are classically associated with both insulin resistance and  $\beta$ -cell dysfunction in type 2 diabetes mellitus (T2DM), the interplay between these metabolic stresses is less known. Both organelles interact through contact points known as mitochondria-associated membranes (MAM), in order to exchange both lipids and  $\text{Ca}^{2+}$  and regulate cellular homeostasis. Recent evidences suggest that MAM could be an important hub for hormonal and nutrient signaling in the liver and that ER-mitochondria miscommunication could participate to hepatic insulin resistance, highlighting the importance of MAM in the control of glucose homeostasis. Here, we specifically discuss the role of MAM in hormonal and nutrient-regulated signaling pathways supporting a role in the control of glucose homeostasis and analyze the evidences pointing a role of ER-mitochondria miscommunication in T2DM. Collectively, these data suggest that targeting MAM structure and function might be a novel strategy for the treatment of T2DM.

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## Keywords

Mitochondria • Endoplasmic reticulum (ER) • Organelle communication • Mitochondria-associated membranes (MAM) • Calcium homeostasis • Glucose homeostasis • Insulin resistance •  $\beta$ -Cell dysfunction • Type 2 diabetes mellitus (T2DM)

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## 13.1 Introduction

Type 2 diabetes mellitus (T2DM) is a complex endocrine and metabolic disorder associating peripheral insulin resistance and altered insulin

secretion by pancreatic  $\beta$ -cells, thus leading to hyperglycemia. Its incidence has increased dramatically during the last decades, and now T2DM is considered as a global epidemic with an estimated worldwide prevalence of 415 million people in 2015 and an estimated rise to 642 million people by 2040 (Kharroubi and Darwish 2015). The epidemic of obesity is a major risk factor for the development of insulin resistance and T2DM (Kahn et al. 2006). Therefore, T2DM is responsible for enormous health and social and economic burdens, thus representing a major challenge for health-care systems all around the world. Peripheral insulin resistance precedes by several years the development of T2DM (De Fronzo and Tripathy 2009). Then, T2DM emerges when  $\beta$ -cells can no longer secrete enough insulin to overcome peripheral insulin resistance. Therefore, a better understanding of molecular mechanisms underlying defects in both insulin action and secretion is crucial for proposing new preventive and/or therapeutic approaches. The challenge for the coming years is to identify molecular targets shared by both peripheral tissues and pancreatic islets, in order to uncover more efficient treatments targeting the two components of T2DM.

Alterations of intracellular organelles such as the endoplasmic reticulum (ER) (Salvadó et al. 2015) and mitochondria (Montgomery and Turner 2015), as well as disruption of both lipid (Stinkens et al. 2015) and calcium ( $\text{Ca}^{2+}$ ) (Arruda and Hotamisligil 2015) homeostasis, have been commonly associated with dysfunctions of both peripheral tissues and pancreas, highlighting the importance of organelle stress in the pathophysiology of T2DM. Since ER and mitochondria are known to interact at sites named mitochondria-associated membranes (MAM) in order to exchange lipids and  $\text{Ca}^{2+}$  and to regulate cellular homeostasis (Giorgi et al. 2015), miscommunication between both organelles may be a new mechanism underlying T2DM.

In this review, we briefly remind the metabolic and cellular pathways controlling glucose homeostasis and their alterations in the context of T2DM. Next, we highlight the emerging role

of ER-mitochondria contacts in hormonal and cellular signaling required for glucose homeostasis and discuss recent data pointing ER-mitochondria miscommunication as a major contributor of T2DM.

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## 13.2 Control of Glucose Homeostasis and Disruption in T2DM

### 13.2.1 Hormonal Regulation of Glucose Homeostasis

Glucose is an essential metabolic substrate of all mammalian cells, which represents an important source of energy for most tissues and organs. Some of them, such as the brain, depend solely on glucose for fuel and require a steady supply of glucose at all times. The process of maintaining blood glucose at a steady-state level (4–6 mM) is called glucose homeostasis and is finely orchestrated by hormonal regulations, exemplified by insulin and glucagon (Hers 1990). Following a meal, the increase of circulating glucose levels induces insulin secretion in pancreatic  $\beta$ -cells. Then, insulin controls glucose homeostasis by inhibiting hepatic glucose production and stimulating glucose utilization by skeletal muscle and white adipose tissue (WAT). In addition, insulin inhibits triglyceride hydrolysis in WAT, preventing the liberation of circulating free fatty acids (FFA). At the opposite, during fasting periods, glucagon secretion by pancreatic  $\alpha$ -cells stimulates gluconeogenesis and glycogenolysis leading to hepatic glucose release.

### 13.2.2 Altered Glucose Homeostasis in T2DM

Hyperglycemia in T2DM results from both peripheral insulin resistance and altered insulin secretion by pancreatic  $\beta$ -cells. Insulin resistance is defined as the failure of cells to respond normally to insulin's glucose-lowering effects. In skeletal muscle, insulin resistance induces a

reduction of glucose uptake (Carnagarin et al. 2015), whereas, in the liver, altered insulin signaling leads to greater glycogenolysis and gluconeogenesis (Roden and Bernroider 2003), thereby raising blood glucose levels. In WAT, insulin resistance leads to increased lipolysis, resulting in elevated circulating FFA levels (De Fronzo 2004). Increased FFA levels favor ectopic lipid accumulation in the liver and skeletal muscle, further exacerbating insulin resistance, and induce hepatic triglyceride synthesis favoring hyperlipidemia (Samuel and Shulman 2016). In order to compensate peripheral insulin resistance, pancreatic  $\beta$ -cells secrete more and more insulin leading progressively to an exhaustion of the pancreas. Therefore, disruption of glucose-stimulated insulin secretion by  $\beta$ -cells ultimately leads to disrupted glucose homeostasis.

### 13.2.2.1 Alterations of Insulin Action in Peripheral Tissues

At the cellular level, insulin mediates its action by binding to its plasma membrane receptor and activating a cascade of protein phosphorylation. Briefly, insulin binding to its receptor promotes receptor autophosphorylation and activation. The activated receptor is then able to phosphorylate the insulin receptor substrates 1 and 2 (IRS1 and 2) that induces the recruitment and activation of the phosphatidylinositol 3-kinase (PI3K). Subsequent activation of protein kinase B (PKB), atypical protein kinases C (PKCs), and mammalian target of rapamycin (mTOR) pathways mediates then the anabolic actions of insulin.

Molecular mechanisms of insulin resistance are complex and rather unclear, and even some common threads have been highlighted (Samuel and Shulman 2016). Particularly, an increased serine phosphorylation of IRS1/IRS2 is implicated in the inhibition of insulin signaling (Coppes and White 2012). This serine phosphorylation may reduce the ability of IRS proteins to interact either with the cytosolic domain of the insulin receptor (Paz et al. 1997) or with the downstream PI3K (Mothe and Van Obberghen 1996), thus disrupting insulin signals. In agreement, mutations of key serines of IRS1 (Ser302,

Ser307, and Ser612) prevented the development of diet-induced muscle insulin resistance in mice (Morino et al. 2008). Phosphorylation on serine residues of IRS1/IRS2 is mediated by several serine/threonine kinases, including mTOR complex 1, c-JUN N-terminal kinase, I $\kappa$ B kinase, protein kinase C, and the double-stranded RNA-activated protein kinase. Several metabolic stresses in peripheral tissues are able to activate these serine/threonine kinases, including lipid accumulation, mitochondrial dysfunction, oxidative stress, ER stress, and inflammation (Samuel and Shulman 2016).

### 13.2.2.2 Alterations of the Insulin Secretion by $\beta$ -Cells of the Pancreas

Insulin release from pancreatic  $\beta$ -cells is crucial to maintain normal glucose homeostasis and depends on both  $\beta$ -cell mass and function. Pancreatic  $\beta$ -cell mass is regulated by the balance of neogenesis, proliferation, hypertrophy, and apoptosis (Ackermann and Gannon 2006). In healthy  $\beta$ -cells, glucose stimulates insulin secretion through mechanisms involving the regulation of intracellular energy level and the regulation of ion channels (ATP-sensitive  $K^+$  channels, voltage-dependent  $Ca^{2+}$  channels). In T2DM, both pancreatic  $\beta$ -cell loss and abnormal insulin secretion are involved in altered glucose homeostasis (Halban et al. 2014). Multiple pathways underlie decreased  $\beta$ -cell function and mass, including inflammatory stress, ER stress, oxidative stress, amyloid stress, and loss of islet cell integrity (Halban et al. 2014).

### 13.2.2.3 Organelle Stress in T2DM

Mitochondrial dysfunction and ER stress have been largely and independently associated with the loss of both insulin action and secretion in T2DM. These independent roles of each organelle stress in T2DM have been extensively reviewed and will not be covered in detail (for review, see Wang et al. 2015; Chang et al. 2015; Rieusset 2015; Salvadó et al. 2015; Hasnain et al. 2016). Briefly, mitochondria dysfunction alters insulin action and secretion, mainly via subsequent oxidative stress and/or lipid accumulation, whereas ER stress mediates these effects

through the activation of the unfolded response (UPR). In addition, both organelles are able to activate inflammatory pathways (Hummasti and Hotamisligil 2010, Chaudhari et al. 2014), further inhibiting insulin action and secretion through inflammatory responses.

Importantly, ER and mitochondria are no longer considered as individual organelles in the cell as they are structurally and functionally linked through contact points defined as MAM (for review, see Giorgi et al. 2015; Marchi et al. 2014; van Vliet et al. 2014; Naon and Scorrano 2014). Therefore, our vision of organelle stress involvement in T2DM needs to evolve in order to integrate this subcellular communication. In this context, ER-mitochondria miscommunication might be a unifying mechanism promoting mitochondria dysfunction, ER stress, altered lipid, and  $\text{Ca}^{2+}$  homeostasis and leading to alterations of both insulin action and secretion in T2DM (Fig. 13.1). Recently, a new function of MAM in metabolic health has emerged (Lopez-Crisosto et al. 2015), further confirming the importance of MAM in metabolic diseases. Consequently, targeting MAM structure and function might be a new and interesting strategy to improve glucose homeostasis in T2DM.

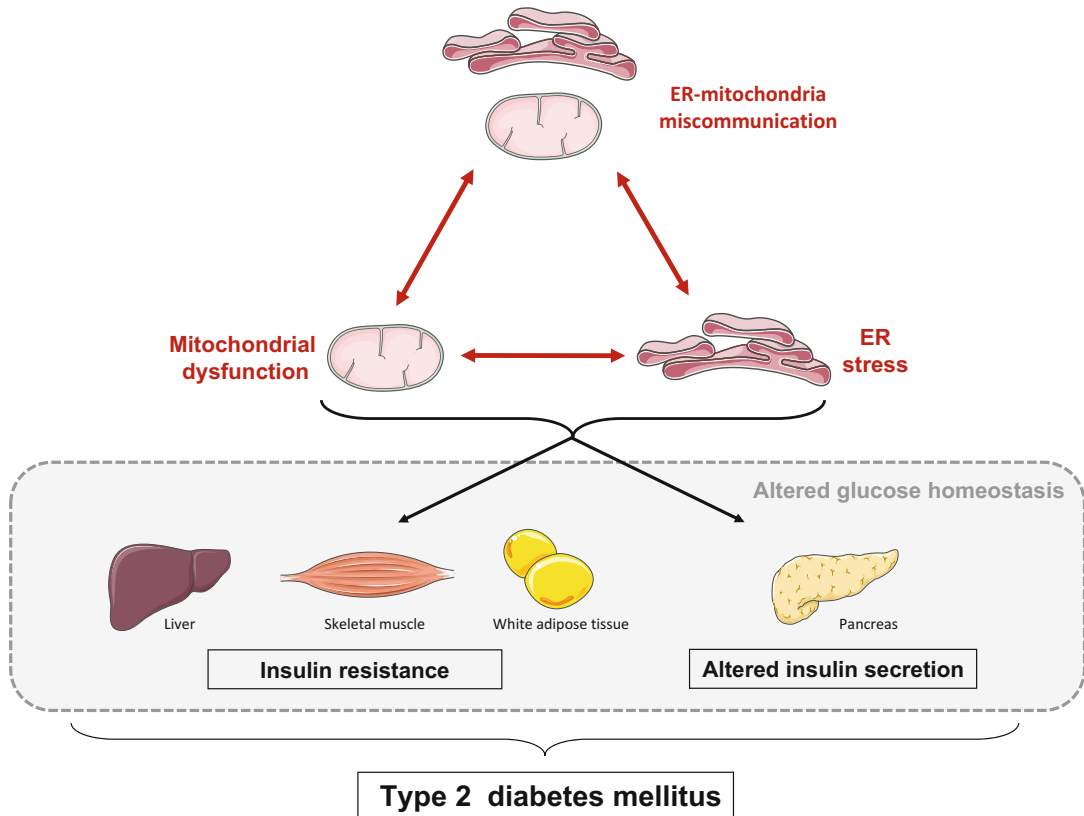
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### 13.3 Role of ER-Mitochondria Communication in Hormonal and Cellular Signaling

Through their connection, ER and mitochondria reciprocally transmit signals and control their function. Beyond organelle homeostasis, MAM also control several cellular signaling pathways (van Vliet et al. 2014), further highlighting the importance of ER-mitochondria communication in cellular homeostasis. Particularly, new functions of MAM in hormonal and nutrient-regulated signaling pathways have recently emerged in the liver. In this section, after briefly introducing MAM structure, we focus on these emerged roles of MAM in metabolic pathways and their relevance in the control of glucose homeostasis (Fig. 13.2).

#### 13.3.1 Organization and Function of ER-Mitochondria Contact Sites

The physical interactions between ER and mitochondria are rather frequent in the cell and can be dynamically regulated (Rizzuto et al. 1998). The space between the ER and the outer mitochondrial membranes is small, up to 10 nm at the smooth ER and 25 nm at the rough ER (Csordás et al. 2006). Organelle contact site does not involve membrane fusion, but is mediated through protein bridges (Csordás et al. 2006). The proteinaceous nature of MAM is illustrated by the interaction between the voltage-dependent anion channel (VDAC) at the outer mitochondrial membrane and the inositol 1,4,5-trisphosphate receptor (IP3R) at the ER through the molecular chaperone glucose-regulated protein 75 (Grp75), allowing  $\text{Ca}^{2+}$  transfer from the ER to mitochondria (Szabadkai et al. 2006). In addition, MAM are enriched in phospholipid enzymes supporting their role as a phospholipid exchange platform (Vance 2014). Several ER chaperones, such as sigma-1 receptor (SigR1) (Hayashi and Su 2007) and calnexin (Lynes et al. 2012), are enriched in MAM, and the recent discovery that cyclophilin D (CypD) is also present at MAM interface where it regulates inter-organelle  $\text{Ca}^{2+}$  exchange (Paillard et al. 2013; Rieusset et al. 2016) supports a role of mitochondrial chaperones in  $\text{Ca}^{2+}$  signaling at MAM interface. Nevertheless, the list of MAM proteins and their widely varied functions has increased dramatically in recent years, highlighting the new role of MAM in cellular signaling (van Vliet et al. 2014). In agreement, recent proteomic analysis of MAM fractions has highlighted the huge number of proteins in this fraction and their involvement in diverse cellular processes (Poston et al. 2011; Poston et al. 2013; Horner et al. 2015). They have also pointed the tissue specificity of MAM composition as only 30% of proteins are in common between MAM from the brain and liver (Poston et al. 2013). Lastly, caveolin-1 was recently revealed as an integral component of hepatic MAM, which controls



**Fig. 13.1** Proposed model for the role of ER-mitochondria miscommunication in T2DM. A strong interplay between ER-mitochondria interactions

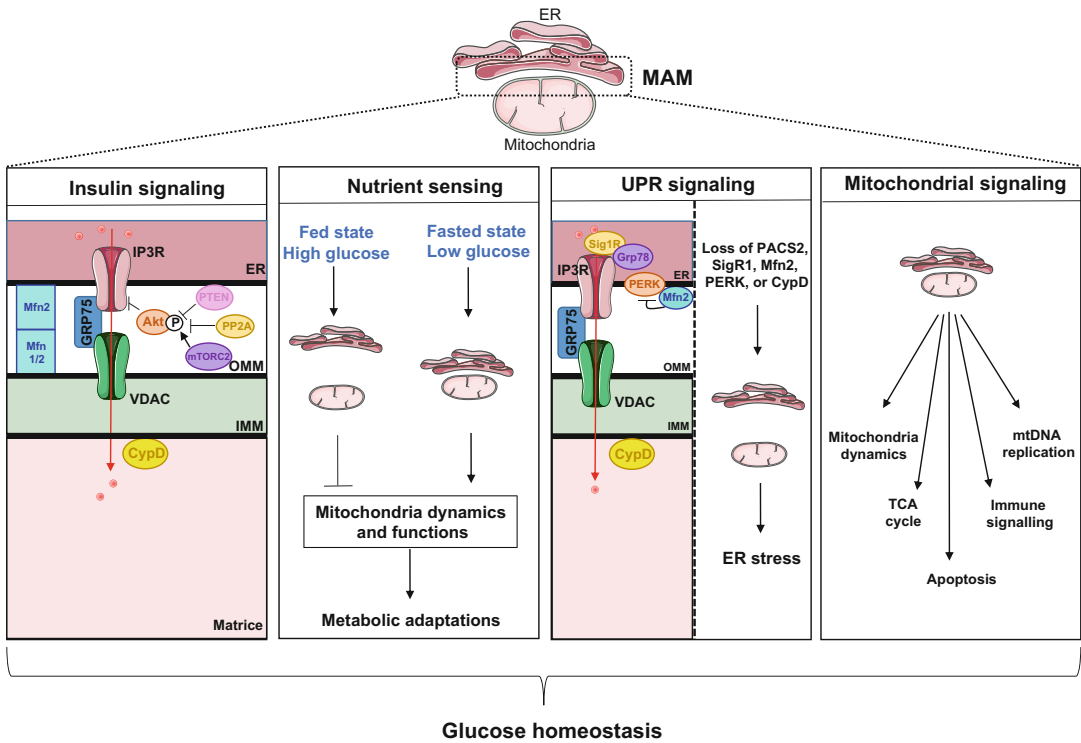
and their respective dysfunction are involved in the alterations of both insulin action and secretion, leading to disrupted glucose homeostasis and T2DM

their relative cholesterol content (Sala-Vila et al. 2016), pointing at a role of MAM in lipid metabolism beyond phospholipids. Until now, the most described functions of ER-mitochondria interactions are lipid biosynthesis,  $\text{Ca}^{2+}$  transfer, and response to cellular stress. These functions have been largely reviewed (Giorgi et al. 2015; Marchi et al. 2014, van Vliet et al. 2014; Naon and Scorrano 2014) and will not be described here. More interestingly in the context of metabolic diseases is their new function in hormonal and nutrient signaling, described below.

### 13.3.2 Role of MAM in Insulin Signaling

It has been known for a long time that  $\text{Ca}^{2+}$  homeostasis controls hepatic metabolism

(Bartlett et al. 2014). Recently, it was further shown that IP3R was both a modulator and modulated by insulin and hormonal pathways (Wang et al. 2012), supporting a potential role of MAM in hormonal signaling. In agreement, several proteins of insulin signaling pathway are located at the MAM interface, including the protein kinase Akt, the protein phosphatase 2A (PP2A), the mTOR complex 2 (mTORC2), and the phosphatase and tensin homolog (PTEN). Akt is present at the ER-mitochondria interface (Betz et al. 2013; Giorgi et al. 2010; Tubbs et al. 2014), where it phosphorylates and inhibits IP3R, thus reducing  $\text{Ca}^{2+}$  release and preventing apoptosis (Giorgi et al. 2010). Importantly, insulin stimulation increases Akt phosphorylation at MAM interface (Tubbs et al. 2014), whereas it is actually unclear whether Akt is phosphorylated directly at MAM or localized at MAM after its



**Fig. 13.2** Connections between MAM functions and metabolism. The roles of ER-mitochondria interactions in insulin signaling, nutrient sensing, UPR signaling, and

in mitochondria physiology highlight the importance of MAM in metabolism and glucose homeostasis

phosphorylation in the cytoplasm. Its activity at MAM interface is controlled by PP2A, which is also present in MAM fractions (Giorgi et al. 2010). The dephosphorylation of Akt by PP2A counteracts its inhibitory action on IP3R, thus increasing  $\text{Ca}^{2+}$  transfer from ER to mitochondria (Giorgi et al. 2010). Furthermore, mTORC2, a kinase phosphorylating and activating Akt (Hresko and Mueckler 2005), was also found at MAM interface, and its amount was increased in response to growth factors or insulin stimulation (Betz et al. 2013). Interestingly, mTORC2 at MAM controls Akt and its targets to ultimately control MAM integrity,  $\text{Ca}^{2+}$  release, and mitochondrial physiology (Betz et al. 2013). Lastly, the tumor suppressor PTEN is also enriched in MAM, where it sensitizes cells to apoptosis by counteracting Akt-mediated phosphorylation and inhibition of IP3R, thus restoring ER  $\text{Ca}^{2+}$  release (Bononi et al. 2013). Nevertheless, whereas MAM appear as an

important hub for Akt signaling, the involvement of ER-mitochondria coupling in the control of insulin action was unknown. Recently, our laboratory demonstrated that MAM integrity is required for insulin signaling in the liver (Tubbs et al. 2014). Experimental disruption of MAM alters insulin signaling and action in hepatocytes, whereas reinforcement of MAM by overexpressing MAM proteins enhances it. In agreement, mitochondrial  $\text{Ca}^{2+}$  uptake is critical for effective insulin signaling in both skeletal myocytes (del campo et al. 2014) and cardiomyocytes (Gutiérrez et al. 2014). Alternatively, cellular knockout (KO) of key proteins of insulin signaling, such as Akt or mTORC2 (Betz et al. 2013), or disruption of insulin signaling by palmitate treatment (Tubbs et al. 2014) disrupted MAM integrity. This suggests that the relationship between MAM integrity and insulin signaling is reciprocal, even if the molecular mechanism is actually unknown. Whether



MAM control the canonical insulin signaling pathways in the cytosol or whether insulin signaling needs to transit by MAM interface to regulate metabolism should be determined in the future.

### 13.3.3 Role of MAM in Sensing Nutrient Shift

Whereas both mitochondria (Gao et al. 2014) and ER (Mandl et al. 2009) are independently considered as nutrient sensors regulating cellular metabolism, recent data highlight the fact that MAM are dynamic structures that respond to the energy state of the cell. Starvation-induced autophagy is a cellular catabolic process degrading cellular constituents in order to generate energy in period of scarcity (Kaur and Debnath 2015). Interestingly, disruption of MAM inhibits starvation-induced autophagy by inhibiting the phosphatidylserine transfer from ER to mitochondria-derived autophagosomes (Hailey et al. 2010). Recently, it was further demonstrating that autophagosomes form at MAM and that different proteins of autophagy were enriched at MAM after starvation (Hamasaki et al. 2013), supporting the importance of ER-mitochondria communication in starvation-induced processes. In addition, it was recently shown that ER-mitochondria doubled in length in the liver when nutrients become limiting (Sood et al. 2014), suggesting that the liver could adapt to metabolic transitions through a mechanism dependent of MAM. In agreement, our laboratory recently demonstrated that MAM integrity is regulated in the liver during nutritional transition, with a reduction of ER-mitochondria interactions after feeding (Theurey et al. 2016). Furthermore, we demonstrated both *in vitro* and *in vivo* that increasing glucose levels can reproduce the effects of feeding on MAM, pointing toward glucose as a major regulator of MAM integrity during nutritional transition. At the molecular level, we revealed that high glucose levels disrupt MAM integrity and function through the activation of the pentose phosphate (PP)-PP2A

pathway (Theurey et al. 2016). The presence of PP2A at MAM interface (Giorgi et al. 2010) supports the importance of PP2A in the control of MAM integrity/function. Therefore, glucose-mediated regulation of ER-mitochondria interactions could depend on phosphorylation state of yet to be identified substrates of PP2A on the MAM. Importantly, we found that the glucose sensing by MAM is crucial for the regulation of mitochondrial dynamics and function in the liver. Indeed, glucose-mediated reduction of MAM induced mitochondria fission and impaired respiration. Altogether, these data point to MAM as a glucose sensor adapting to cellular bioenergetics, likely contributing to the adaptive fuel partitioning during nutritional transition. This capacity to switch from lipid to glucose oxidation during the transition from a fasted to a fed state is called metabolic flexibility. As metabolic flexibility is important to avoid ectopic lipid accumulation and the development of insulin resistance (Galgani et al. 2008), the capacity of MAM to connect energy sensing to mitochondria physiology could be important for the control of glucose homeostasis.

### 13.3.4 Role of MAM in UPR Signaling

The close relationship between MAM and UPR signaling was first suggested by the observation that loss of the MAM protein PACS2 disrupted ER-mitochondria interactions and induced ER stress (Simmen et al. 2005). In agreement, disturbance in MAM integrity following loss of other MAM proteins, such as SigR1 (Hayashi and Su 2007), Mfn2 (Sebastián et al. 2012), or CypD (Rieusset et al. 2016), also induced ER stress. Conversely, it was demonstrated that early phases of ER stress increased ER-mitochondria coupling to promote mitochondrial respiration and bioenergetics, whereas massive and/or prolonged mitochondrial  $\text{Ca}^{2+}$  accumulation induced swelling and dysfunction of the organelles (Bravo et al. 2011). PERK, an important ER stress sensor in the UPR pathway, was shown to be localized at MAM and to physically increase the contact between both organelles

(Verfaillie et al. 2012). Furthermore, PERK activity is regulated by mitofusin 2 (Mfn2) (Muñoz et al. 2013), an important tether at MAM interface (de Brito and Scorrano 2008) which has been associated with ER stress responses (Ngoh et al. 2012; Sebastián et al. 2012). Lastly, the ER protein Sig-1R also forms a complex with another protein of UPR, called GRP78; upon ER  $\text{Ca}^{2+}$  depletion, dissociation of this complex was shown to prolong  $\text{Ca}^{2+}$  signaling from the ER to mitochondria via IP3R at MAM (Hayashi and Su 2007). Increasing Sig-1R expression in cells counteracts ER stress, thus inhibiting apoptosis (Hayashi and Su 2007). As UPR signaling controls insulin action (Salvadó et al. 2015), MAM could therefore regulate glucose homeostasis through its effects on UPR signaling.

### 13.3.5 Role of MAM in Mitochondria Signaling

Several aspects of mitochondria function are controlled by ER-mitochondria interactions, including oxidative metabolism, mitochondria dynamics, apoptosis, and antiviral signaling, which could consequently impact glucose homeostasis. Most of these functions are intimately connected to the  $\text{Ca}^{2+}$  status of mitochondria, since IP3R-mediated  $\text{Ca}^{2+}$  transfer from ER to mitochondria regulates TCA cycle enzyme activity (Denton 2009), the activity of the mitochondrial fission protein DRP1 (Hoppins and Nunnari 2012), apoptosis (Rizzuto et al. 1998), and NLRP3 inflammasome activation (Lee et al. 2012). Beyond  $\text{Ca}^{2+}$ , ER-mitochondria contact sites also support ROS-mediated signals that influence mitochondria function. Indeed, loss of PERK induced MAM disruption and reduced ROS transfer from ER to mitochondria, protecting cells from ROS-mediated mitochondrial apoptosis (Verfaillie et al. 2012). Accordingly, MAM are particularly enriched in ER folding chaperones and oxidoreductases, which regulate  $\text{Ca}^{2+}$  flux from the ER through reversible, calcium- and redox-dependent interactions with

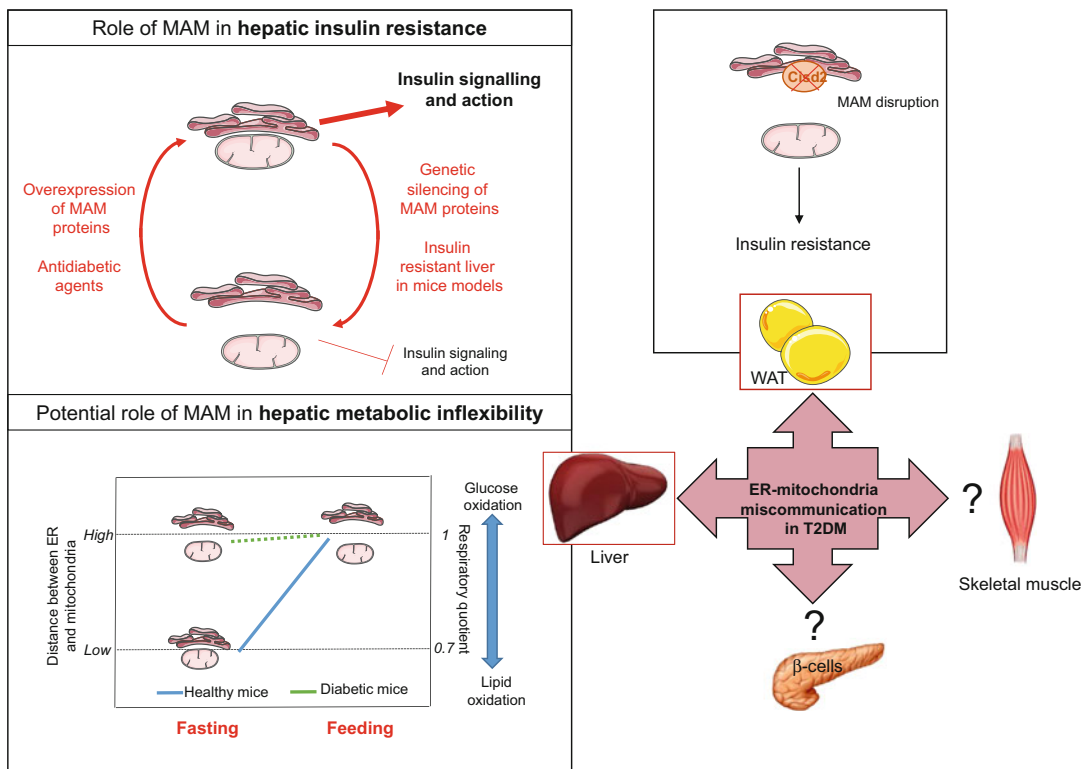
IP3R and therefore signaling with mitochondria (Gilady et al. 2010; Simmen et al. 2010). Very recently, ER-mitochondria contacts were shown to coordinate the licensing of mtDNA replication with division to distribute newly replicated nucleoids to daughter mitochondria, highlighting a new role of MAM in mitochondria physiology (Lewis et al. 2016). However, its involvement in the control of glucose homeostasis is currently unknown.

## 13.4 Miscommunication Between ER and Mitochondria in Type 2 Diabetes

Recent evidences suggest a role of ER-mitochondria miscommunication in hepatic insulin resistance, but it is actually unknown whether it could also participate to alterations of insulin action in other tissues or to dysfunction of  $\beta$ -cells. In this section, we mainly focus on the role of MAM disruption in hepatic metabolic alterations, trying to generalize to other tissues (Fig. 13.3).

### 13.4.1 Disruption of Calcium Homeostasis in Hepatic Insulin Resistance

MAM is an important hub of  $\text{Ca}^{2+}$  signaling, and altered  $\text{Ca}^{2+}$  homeostasis in the context of hepatic insulin resistance supports a potential role of ER-mitochondria miscommunication in T2DM. It has been shown that obesity-induced dysfunctional ER  $\text{Ca}^{2+}$  transport is an important cause of ER stress in the liver (Fu et al. 2011). Furthermore, an increase in cytosolic  $\text{Ca}^{2+}$  is also associated with obesity and insulin resistance and is responsible for  $\text{Ca}^{2+}$ /calmodulin-dependent protein kinase II (CaMKII) hyperphosphorylation, JNK activation, inflammatory signaling, and increased hepatic glucose production (Ozcan et al. 2012). CaMKII also impacts insulin signaling by modulating PERK and subsequently inhibiting insulin-mediated Akt phosphorylation (Ozcan et al. 2013). Interestingly, genetic and



**Fig. 13.3 ER-mitochondria miscommunication in T2DM.** In liver, MAM control insulin signaling and potentially metabolic flexibility. Chronic disruption of ER-mitochondria interactions participates to hepatic insulin resistance and increased gluconeogenesis, whereas reinforcement of MAM integrity improves hepatic insulin sensitivity. As MAM allow the coupling of nutrient sensing to metabolic homeostasis, the loss of MAM regulation

by nutritional status in insulin-resistant liver could participate to metabolic inflexibility associated with T2DM. In white adipose tissue (WAT), loss of *cisd2* induces disruption of ER-mitochondria interactions and  $\text{Ca}^{2+}$  exchange and reduces insulin sensitivity. The state of ER-mitochondria interactions in both skeletal muscle and pancreatic islets is unknown in T2DM

pharmacological inhibition of CaMKII in obese mice results in a marked improvement of glucose homeostasis (Ozcan et al. 2013). In line with these evidences, loss of  $\text{Ca}^{2+}$ /calmodulin-dependent protein kinase kinase 2, an enzyme activating CaMKII, protects mice from diet-induced obesity and insulin resistance, whereas liver-specific loss of this enzyme improves glucose tolerance (Anderson et al. 2012). Taken together, these data directly link altered  $\text{Ca}^{2+}$  homeostasis with hepatic insulin resistance, supporting a role of disrupted MAM in metabolic diseases. In agreement, mutation of IP3R1 in mice was associated with glucose intolerance (Ye et al. 2011), further pointing at the importance of IP3R-mediated  $\text{Ca}^{2+}$  signaling in the control of glucose homeostasis.

### 13.4.2 Disruption of MAM in Hepatic Insulin Resistance

An interplay between ER stress and mitochondria dysfunction initially suggested a potential role of MAM in hepatic insulin resistance. Experimental mitochondrial dysfunction induced ER stress through an elevation of cytosolic-free  $\text{Ca}^{2+}$  and led subsequently to aberrant insulin signaling and increased hepatic gluconeogenesis (Lim et al. 2009). Similarly, liver-specific KO of the mitochondrial *Mfn2* (Sebastián et al. 2012) or loss of the mitochondrial *CypD* (Rieusset et al. 2016) induced hepatic ER stress and insulin resistance.

Recently, our laboratory investigated the involvement of MAM disruption in hepatic

insulin resistance (Tubbs et al. 2014). We found that MAM integrity is altered in palmitate-induced insulin-resistant HuH7 cells, as well as in the liver of different models of obese and diabetic mice (*ob/ob* and high-fat diet (HFD)-fed mice). Importantly, disruption of MAM integrity by genetic or pharmacological inhibition of CypD induced insulin resistance in mice and disrupted insulin signaling in human primary hepatocytes. Interestingly, treatment of diabetic mice with antidiabetic drugs (HFD mice with rosiglitazone or CypD-KO mice with metformin) improved insulin sensitivity and restored organellar communication. Conversely, the rescue of MAM integrity in primary hepatocytes of *ob/ob* or HFD mice by adenoviral overexpression of CypD improved insulin action. Therefore, our data demonstrate for the first time a key role of disrupted MAM in hepatic insulin resistance (Tubbs et al. 2014). In agreement, cellular loss of mTORC2 disrupted ER-mitochondria interactions and mitochondria alterations (Betz et al. 2013), whereas mice with liver-specific KO of rictor, a mTORC2 subunit, showed impaired glucose homeostasis (Hagiwara et al. 2012). Mechanistically, we suggest that a loss of  $\text{Ca}^{2+}$  transfer from ER to mitochondria links MAM disruption to hepatic insulin resistance (Rieusset et al. 2016). In the case of CypD-KO mice, the disruption of  $\text{Ca}^{2+}$  exchange between the two organelles leads to organelle stress, lipid accumulation, activation of PKC $\epsilon$ , and alteration of insulin-stimulated PKB phosphorylation (Rieusset et al. 2016). Whether this mechanism can be generalized to genetic and nutritional models of obesity and T2DM has to be determined. Interestingly, ER-mitochondria contacts are also reduced in POMC neurons of HFD mice (Schneeberger et al. 2013), suggesting a miscommunication between ER and mitochondria in multiple tissues in the context of T2DM. A link between disrupted MAM and insulin resistance was also found in WAT of mice deficient in *cisd2*, an iron-sulfur protein localized at MAM interface (Chen et al. 2009, Wang et al. 2014). However, another group recently reported that MAM content is increased in the liver of obese mice, leading to mitochondrial  $\text{Ca}^{2+}$  overload

and mitochondrial dysfunction (Arruda et al. 2014). They further showed that reinforcing hepatic MAM by IP3R1 or PACS2 overexpression induced insulin resistance, whereas reducing the expression of these proteins in the liver of obese mice improved insulin sensitivity (Arruda et al. 2014). The discrepancy between studies is actually unclear but could be related to differences in mice metabolic status, environmental housing conditions, or experimental analysis. Furthermore, as none of MAM proteins are specific of this subcellular compartment, we cannot exclude that modulating their expression could have nonspecific effects, further participating to the discrepancy between studies. Along these lines, reduced or excessive ER-mitochondria contacts, likely depending on the timing of the adaptive response upon a metabolic challenge, could represent a new and important mechanism contributing to hepatic mitochondrial dysfunction and insulin resistance. Future studies in which MAM will be dynamically studied are required to clarify this element.

### 13.4.3 Disruption of Dynamic Modulation of MAM by Nutritional Transition in Insulin-Resistant Liver

T2DM is classically associated with metabolic inflexibility (Galgani et al. 2008). Importantly, we found that chronic disruption of MAM in the liver of insulin-resistant mice is associated with a loss of MAM regulation by change in nutritional state (Theurey et al. 2016). Furthermore, we found that the regulation of MAM by glucose levels is lost in the liver of *ob/ob* and CypD-KO mice, characterized by chronic disruption of MAM integrity, mitochondrial fission, and altered mitochondrial respiration. Therefore, chronic disruption of MAM may participate to both hepatic-metabolic inflexibility and mitochondrial dysfunction associated with hepatic insulin resistance. In line with these evidences, ER-mitochondria interactions are controlled by PP2A (Theurey et al. 2016), and hyperactivation

of PP2A was associated with insulin resistance (Kowluru and Matti 2012). Therefore, increased PP2A activity could participate to disruption of MAM in the liver of insulin-resistant mice. Future studies are required to understand the molecular mechanisms of MAM disruption in the context of hepatic metabolic diseases.

### 13.4.4 Is There a Role of MAM Disruption in Other Tissues?

Ca<sup>2+</sup> also play an essential role in other metabolic tissues, such as in skeletal muscle where it affects contraction and metabolism (Contreras-Ferrat et al. 2014), in WAT where it controls adipogenesis and triglyceride accumulation (Shi et al. 2000), and in pancreas where it is indispensable for insulin secretion (Gilon et al. 2014). Disruption of Ca<sup>2+</sup> homeostasis has been linked to T2DM (Guerrero-Hernandez and Verkhatsky 2014). Furthermore, mitochondria and ER functions are vital for muscle insulin action and secretion, and their dysfunction has been associated with T2DM (Rieusset 2015). Therefore, it is conceivable to imagine that MAM integrity could affect the function of these tissues and that disruption of MAM could participate to both muscle and adipose tissue insulin resistance or even to  $\beta$ -cell dysfunction. Nevertheless, it has to be precisely investigated in the future. From now, evidence suggests it could be possible in adipose tissue, since WAT-specific loss of *cisd2* disrupts ER-mitochondria interactions and reduces insulin-stimulated glucose uptake in adipose tissue (Wang et al. 2014). For skeletal muscle and  $\beta$ -cells, the role of ER-mitochondria miscommunication in their functional alterations in the context of T2DM remains to be investigated. Nevertheless, the fact that blocking Ca<sup>2+</sup> through ryanodine receptors (RyR) lowers the ATP/ADP ratio in pancreatic  $\beta$ -cells (Dror et al. 2008) suggests that RyR-mediated Ca<sup>2+</sup> flux from the ER to mitochondria regulates basal mitochondrial metabolism to maintain beta cell survival (Johnson et al. 2012). Similarly, the fact that a IP3R-mediated Ca<sup>2+</sup> release is required for GLUT4 translocation and glucose

uptake in skeletal muscle (Contreras-Ferrat et al. 2014) supports a potential role of MAM in the control of muscle glucose homeostasis.

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## 13.5 Targeting Organelle Stress and Communication to Treat Type 2 Diabetes

As organelle function and communication play an important role in the control of glucose homeostasis, their targeting could represent an efficient way to improve T2DM. In agreement, several antioxidants alleviating mitochondrial stress were shown to improve insulin action and/or secretion (Abdali et al. 2015; Cheng et al. 2014). Similarly, drugs targeting ER stress enhanced hepatic insulin sensitivity and glucose homeostasis in HFD mice and in obese patients (Engin and Hotamisligil 2010). So far, it is unknown whether targeting MAM could help to fight against metabolic diseases. For that, we need to learn more about the physiological regulation of MAM before finding pharmacological and/or nutritional strategies to modulate ER-mitochondria contacts and improve T2DM. Currently, we only know that some hormones (Tubbs et al. 2014) and growth factors (Betz et al. 2013), as well as some nutrients (Theurey et al. 2016), can regulate organelle proximity. Furthermore, the expression level (Simmen et al. 2005; de Brito and Scorrano 2008; Tubbs et al. 2014) or the posttranscriptional modifications (Lynes et al. 2012) of some MAM proteins are able to regulate organelle crosstalk. However, no proteins are exclusively expressed in the MAM, and targeting their expression could have side effects. The specific targeting of some proteins at MAM interface could be an alternative strategy. However, it is actually unknown whether specific targeting sequences to address proteins in the MAM exist. It was suggested that a mitochondrial targeting sequence found in diacylglycerol O-acyltransferase 2 was required for its targeting to MAM (Stone et al. 2009), whereas a functional lipid transfer domain was required for the localization of oxysterol-binding protein-related



proteins ORP5 and ORP8 at MAM interface (Galmes et al. 2016). However, it is not known whether these findings can be generalized to other MAM proteins. Lastly, we recently identified PP2A as an important negative regulator of ER-mitochondria interactions (Theurey et al. 2016), suggesting that inhibiting its activity into the cell should increase organelle communication. Nevertheless, PP2A is not a good intracellular target to improve organelle cross-talk, as it has pleiotropic effects and its inhibition is pro-cancerous (Sangodkar et al. 2016). This issue notwithstanding, these data indicate that phosphorylation status of some MAM proteins should be important for the crosstalk between the two organelles. Therefore, the next challenge is to identify either interacting partners or targets of PP2A. Whereas the interacting partners of PP2A in total cell start to emerge (Zhang et al. 2016), those at MAM interface are less known. Nevertheless, cumulative evidence indicate that some MAM proteins are good candidates including Akt (Giorgi et al. 2010), IP3R (DeSouza et al. 2002), and Drp1 (Dickey and Stracks 2011; Cho et al. 2012). Therefore, a better understanding of the role of phosphorylated state of MAM proteins, as well as the identity of PP2A-regulated proteins at MAM, will be required.

### 13.6 Conclusion

MAM are at the crossroad of several important hormonal and nutrient-regulated signaling pathways in the liver, suggesting that ER-mitochondria miscommunication could be involved in hepatic metabolic diseases. Therefore, targeting MAM might be a novel strategy for the treatment of T2DM, especially if ER-miscommunication is also involved in impaired insulin action in other tissues or in  $\beta$ -cell dysfunction. However, the dynamic properties of MAM should be taken into account, and future studies are required to uncover the molecular mechanisms underlying MAM regulation and to better understand the role of MAM in the control of glucose homeostasis.

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# Mitochondria–Endoplasmic Reticulum Contact Sites Mediate Innate Immune Responses

# 14

Takuma Misawa, Michihiro Takahama, and Tatsuya Saitoh

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## Abstract

Mitochondria and the endoplasmic reticulum (ER) are fundamental organelles that coordinate high-order cell functions. Mitochondria are centers of energy production, whereas the ER is responsible for folding, transport, and degradation of proteins. In addition to their specific functions, mitochondria and ER actively communicate with each other to promote a variety of cellular events, such as material transfer and signal transduction. Recent studies have shown the critical involvement of these organelles in regulation of the innate immune system, which functions in host defense. The innate immune system utilizes a wide range of germ-line-encoded pattern recognition receptors (PRRs) to recognize pathogen-associated molecular patterns (PAMPs) and damage-associated molecular patterns (DAMPs) and induces inflammatory and antiviral responses. Contact sites between mitochondria and the ER function in assembly of the NLR family pyrin domain containing 3 (NLRP3)-inflammasome to promote the inflammatory response. The NLRP3-inflammasome is a protein complex composed of the receptor NLRP3 on the ER side and the adaptor apoptosis-associated speck-like protein containing a CARD on the mitochondrial side; it induces caspase-1-dependent maturation of proinflammatory cytokines such as interleukin (IL)-1 $\beta$  and IL-18. Furthermore, ER–mitochondria contact sites function in initiation and mediation of signal transduction pathways downstream of intracellular PRRs, such as retinoic acid-inducible gene I-like receptor and cyclic GMP-AMP synthase, to promote the antiviral response. Therefore, ER–mitochondria contact sites, also known as mitochondria-associated membranes, play key roles in regulation of innate immune responses.

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### Keywords

Cytokine • Host defense • Inflammasome • Inflammatory disease • Innate immune response • Interferon • Macrophage • Microbe • Signal transduction • Sterilized inflammation

## 14.1 Introduction

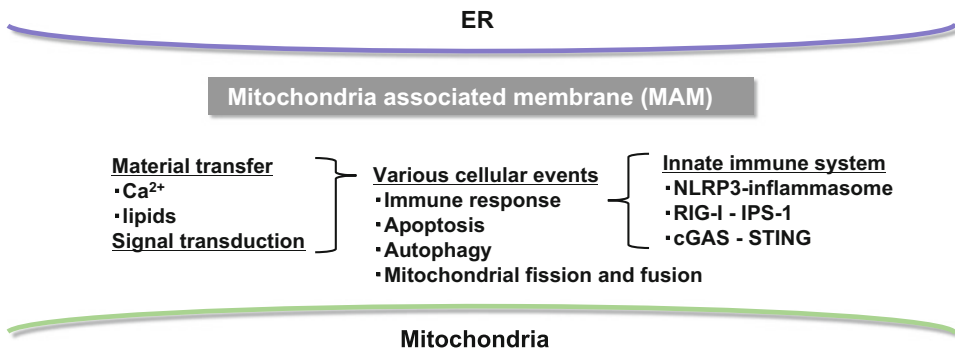
### 14.1.1 The Mitochondria-Associated Membrane: A Functional Organellar Contact Site

Organelles are fundamental components of living organisms. Each organelle performs specific functions to maintain cellular homeostasis. Accumulating evidence has revealed that organelles not only function independently but also actively interact with each other to mediate a variety of cellular events (Fig. 14.1). ER–mitochondrial contact is mediated by a specific membrane compartment, known as the mitochondria-associated membrane (MAM). Although the concept of the MAM was first described more than four decades ago (Franke and Kartenbeck 1971; Morré et al. 1971), its unique functions have only recently been recognized. Many proteins in the MAM are shared with the ER and are evolutionarily conserved among a wide range of species, from yeast to mammals (Vance 1990). Marker proteins of mitochondria, the Golgi apparatus,

lysosomes, the nucleus, or the plasma membrane are seldom identified in the MAM. The MAM plays a pivotal role in calcium and lipid exchange between mitochondria and the ER (Vance 2014). Moreover, the MAM serves as an optimal platform for the processes of apoptosis, mitochondrial fission and fusion, autophagy, and certain types of immune responses (Vance 2014). Here, we describe the involvement of the MAM in regulation of innate immune responses.

### 14.1.2 Innate Immune System

The host defense system comprises the innate and adaptive arms of the immune system. The innate immune system has long been thought of as the “primitive” immune system, which detects invading pathogens in a non-specific manner and assists in subsequent activation of the adaptive immune system. However, discovery of the germ-line-encoded receptors known as pattern recognition receptors (PRRs) has completely changed the concept of the innate immune system. PRRs include Toll-like receptors (TLRs),



**Fig. 14.1** Functions of the mitochondria-associated membrane. The mitochondria-associated membrane (MAM) is an organelle contact site that mediates the interplay between mitochondria and the ER. The MAM

promotes transfer of materials and interaction of molecules between mitochondria and the ER. The MAM regulates the progression of various cellular events, including the innate immune response

retinoic acid-inducible gene-I (RIG-I)-like receptors (RLRs), C-type lectin receptors, NOD-like receptors (NLRs), and cytosolic DNA sensors (Pandey et al. 2014). These PRRs specifically recognize conserved microbial structures known as pathogen-associated molecular patterns (PAMPs). Some PRRs are also responsible for detecting endogenous molecules released from damaged or dying cells, termed as damage-associated molecular patterns (DAMPs).

### 14.1.3 Spatial Regulation of TLRs: Sensors for Extracellular PAMPs and DAMPs

TLRs are well-studied PRRs that sense extracellular PAMPs and DAMPs on cell surfaces and in endolysosomes. TLRs were initially identified in *Drosophila*, as a factor that induces host defense responses against fungal infections (Lemaitre et al. 1996). Later, the mammalian homolog, TLR4, was identified as a specific sensor of lipopolysaccharide (LPS), a component of the outer membranes of gram-negative bacteria; TLR4 accelerates further inflammatory responses (Medzhitov et al. 1997; Poltorak et al. 1998; Hoshino et al. 1999). In mammals, 13 TLRs have been identified to date. Upon recognizing their specific ligands, TLRs transmit signals to downstream components using the adaptors myeloid differentiation primary response gene 88 (Myd88) and TIR-domain-containing adapter-inducing interferon- $\beta$  (TRIF). Signaling through either the Myd88 or TRIF pathway will eventually activate transcription factors such as nuclear factor kappa B (NF- $\kappa$ B), activator protein-1, or interferon regulatory factors (e.g., IRF3 and IRF7), leading to production of proinflammatory cytokines or type I interferons (Takeuchi and Akira 2010).

Proper positioning of TLRs on specific organelles is important for their activation. TLR1, TLR2, TLR4, TLR5, TLR6, and TLR10 are present on the plasma membrane and are responsible for detecting bacterial or viral components. When TLR4 detects LPS, the membrane-resident molecule CD14 induces translocation of TLR4 from the plasma

membrane to an endosome. This translocation of TLR4 further activates TRIF-mediated inflammatory responses (Kagan et al. 2008; Zanoni et al. 2011). TLR3, TLR7, and TLR9 recognize nucleic acids derived from pathogens or host endolysosomes. UNC93B1 delivers TLR3, TLR7, and TLR9 from the endoplasmic reticulum (ER) to endolysosome translocation (Tabeta et al. 2006). PRAT4A delivers TLR1, TLR4, and TLR5 from the ER to the cell surface and also performs relocation of TLR9 (Takahashi et al. 2007; Shibata et al. 2012). When TLR9 reaches an endolysosome, TLR9 is cleaved by cathepsins, thereby acquiring the ability to recognize its ligand, unmethylated CpG DNA (Ewald et al. 2008; Park et al. 2008). Molecules derived from organelles also assist in TLR activation. Mitochondrial reactive oxygen species (ROS) mediate the signaling pathway downstream of TLRs (West et al. 2011). Mitochondrial DNA released from damaged mitochondria is capable of stimulating TLR9, because mitochondrial DNA contains CpG motifs (Oka et al. 2012). Moreover, succinate, an intermediate of the tricarboxylic acid cycle, stabilizes the transcription factor hypoxia-inducible factor 1- $\alpha$  and activates LPS-induced inflammatory responses (Tannahill et al. 2013). These findings indicate the essential roles of organelles in activation of TLRs, sensors for extracellular PAMPs and DAMPs. Furthermore, recent studies have revealed the involvement of organellar crosstalk in regulation of intracellular PRR-mediated innate immune responses.

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## 14.2 MAM and Intracellular PRR-Mediated Inflammatory Responses

### 14.2.1 The NLRP3-Inflammasome

Inflammasomes are components of the innate immune system that activate the protease caspase-1 and induce subsequent maturation of proinflammatory cytokines such as IL-1 $\beta$  and IL-18 (de Zoete et al. 2014). PRRs that belong to the NLR family, or absent in

melanoma 2, form inflammasomes in response to different types of PAMPs or DAMPs. NLR family pyrin domain containing 3 (NLRP3; also known as cryopyrin)-containing inflammasomes are a well-characterized type of inflammasome. NLRP3 recruits caspase-1 via the adaptor apoptosis-associated speck-like protein containing a CARD (ASC; also known as PYCARD) to form the NLRP3-inflammasome.

The NLRP3-inflammasome can detect infection by diverse pathogens and induce production of cytokines such as IL-1 $\beta$  and IL-18 (Hise et al. 2009; Ichinohe et al. 2010; Broz et al. 2010; Kim et al. 2010; Dorhoi et al. 2012). Thus, the NLRP3-inflammasome plays a pivotal role in host defense responses against infectious diseases. However, excessive activation of the NLRP3-inflammasome, caused by host-derived metabolites or environmental irritants, results in development of severe inflammatory disorders, such as gout, type 2 diabetes, atherosclerosis, Alzheimer's disease, and silicosis. In humans, mutations in NLRP3 cause autoinflammatory disorders such as familial cold autoinflammatory syndrome, Muckle–Wells syndrome, neonatal-onset multisystem inflammatory disease, and cryopyrin-associated periodic syndromes (Hoffman et al. 2001; Feldmann et al. 2002; Aksentijevich et al. 2002; Neven et al. 2004; Agostini et al. 2004). In patients developing these diseases, the IL-1 $\beta$  level is significantly elevated, probably due to inappropriate regulation of the NLRP3-inflammasome. Therefore, the NLRP3-inflammasome has two faces, both protecting and damaging us.

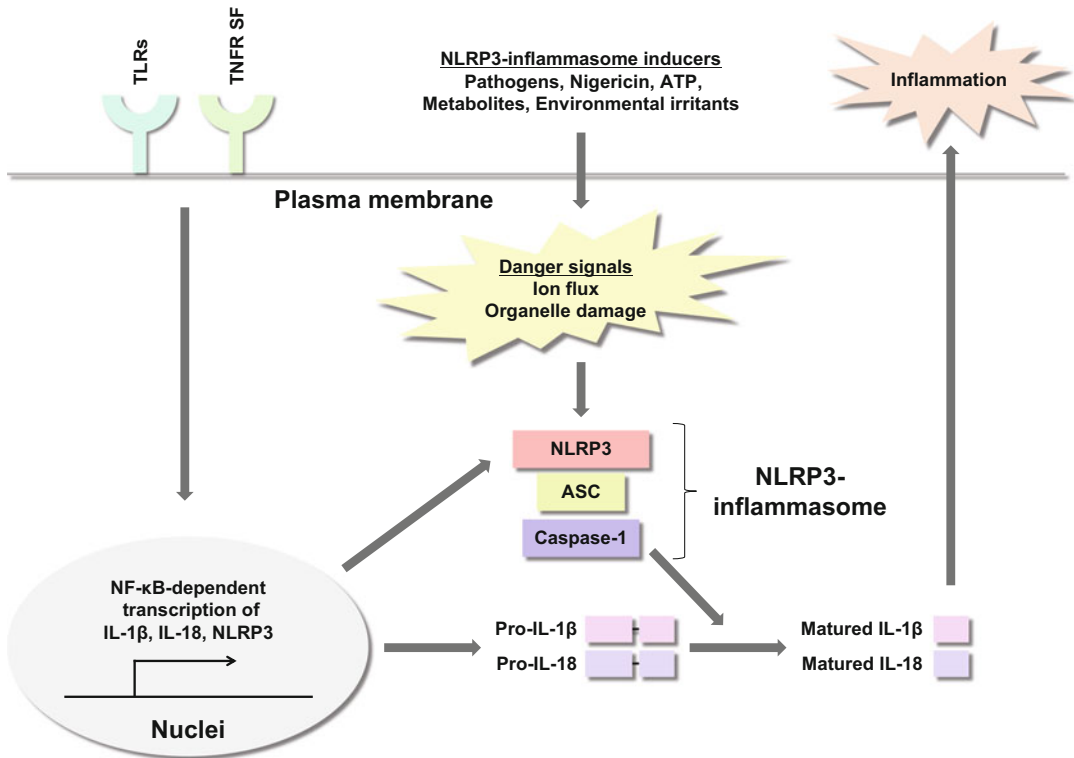
#### 14.2.2 Triggers of NLRP3-Inflammasome Activation

NLRP3 is a unique PRR that responds to a variety of activators. Distinct from other PRR family members, the NLRP3-inflammasome is more likely to respond to cellular stress, so-called danger signals, rather than sensing specific ligands (Fig. 14.2). Most NLRP3-inflammasome activators induce severe mitochondrial damage. Thus, mitochondrial damage is the major source of the danger

signals. ROS generated by damaged mitochondria could potentially activate the NLRP3-inflammasome (Naik and Dixit 2011). In addition, mitochondrial DNA or the mitochondrial inner membrane component cardiolipin, which is released from damaged mitochondria, can directly activate the NLRP3-inflammasome (Shimada et al. 2012; Iyer et al. 2013). Autophagy is an intracellular clearance system that promotes turnover of aged organelles and elimination of damaged organelles. Thus, autophagy-deficient macrophages show enhanced production of IL-1 $\beta$  and IL-18 in response to NLRP3-inflammasome activators, due to the defective clearance of damaged mitochondria (Saitoh et al. 2008; Nakahira et al. 2011; Saitoh and Akira 2016). Consistently, the mitochondria-specific autophagy system, mitophagy, may also regulate NLRP3-inflammasome activation (Zhou et al. 2011).

Dramatic changes in intracellular cation levels is another danger signal that can activate the NLRP3-inflammasome. Release of adenosine triphosphate (ATP) from dying cells or the bacterial toxin nigericin, which induces efflux of intracellular potassium, can potentially activate the NLRP3-inflammasome (Mariathasan et al. 2006; Petrilli et al. 2007; Franchi et al. 2007; Munoz-Planillo et al. 2013). The intracellular calcium level is also critical for NLRP3-inflammasome activation. Transient receptor potential (TRP) cation channels such as TRPM2, TRPM7, and TRPV2 induce a calcium influx in macrophages in response to NLRP3-inflammasome activators (Zhong et al. 2013; Compan et al. 2012). G-protein coupled receptors (GPR) such as calcium-sensing receptor (CASR) and GPR class C group 6 member A (GPC6A) sense high levels of extracellular calcium and activate phospholipase C-mediated generation of inositol 1,4,5-triphosphate (IP3). This triggers release of calcium from the ER to the cytoplasmic space via IP3 receptors (Murakami et al. 2012; Rossol et al. 2012; Lee et al. 2012). Mitochondria uptake calcium to buffer the cytosolic calcium level. However, an overload of calcium leads to mitochondrial dysfunction and accelerates NLRP3-inflammasome activation (Triantafyllou et al. 2013). This





**Fig. 14.2** NLRP3-inflammasome activation pathways. Two processes are required for maximal activation of the NLRP3-inflammasome. The first is induced by TLR signaling and induces activation of transcription factors, such as NF-κB. This upregulates expression of IL-1β and IL-18, which encode precursor forms of these cytokines.

The second is the assembly and activation of the NLRP3-inflammasome, triggered by PAMPs or DAMPs. The NLRP3-inflammasome induces processing of pro-IL-1β and pro-IL-18 by caspase-1. Mature IL-1β and IL-18 are released to the extracellular space, where they mediate a variety of inflammatory responses

pathway could be regulated by voltage-dependent anion channels (VDAC), which are indispensable for transferring calcium into mitochondria. Consistently, knockdown of VDAC in the human monocyte cell line THP-1 suppresses mitochondrial ROS production in response to NLRP3-inflammasome activators and subsequent maturation of IL-1β (Zhou et al. 2011).

Other organelles, such as lysosomes, the ER, and the Golgi, are also involved in activation of the NLRP3-inflammasome. Host-derived metabolites (monosodium urate crystals, cholesterol crystals), amyloid toxic oligomers (amyloid β, human islet amyloid polypeptides), and environmental irritants (silica crystals, asbestos, diesel particulates) are well-known activators of the NLRP3-inflammasome (Martinon et al. 2006; Eisenbarth et al. 2008; Dostert et al. 2008;

Hornung et al. 2008; Duewell et al. 2010; Masters et al. 2010; Vandanmagsar et al. 2011; Wen et al. 2011; Heneka et al. 2012). When these crystals or particles are engulfed by macrophages, they induce lysosomal rupture, leading to leakage of acidic lysosomal contents and subsequent activation of the NLRP3-inflammasome. Moreover, since lysosomes contain a high level of calcium, lysosomal rupture elevates the cytosolic calcium level, followed by activation of a series of mitogen-activated protein kinases and the NLRP3-inflammasome (Okada et al. 2014). The ER stress sensor inositol-requiring enzyme 1α (IRE1α) has been shown to promote transcription of thioredoxin-interacting protein, an amplifier of the NLRP3-inflammasome (Zhou et al. 2010; Bronner et al. 2015). Influenza A virus-encoded M2 ion

channels localize to the host trans-Golgi network (TGN) and induce Golgi stress. M2 ion channels will then accelerate  $H^+$  release from the TGN to the cytosol. This ionic imbalance triggers NLRP3-inflammasome activation (Ichinohe et al. 2010). Lysosomal rupture, ER stress, and influenza A viral infection cause mitochondrial damage, leading to production of ROS (Misawa et al. 2013; Lupfer et al. 2013; Bronner et al. 2015). Because BAX and BAK-1, mitochondrial executioners of apoptosis, are dispensable for NLRP3-inflammasome activation, NLRP3-inflammasome activators cause mitochondrial damage by undefined mechanisms (Allam et al. 2014).

### 14.2.3 Assembly of the NLRP3-Inflammasome at the MAM

Spatiotemporal regulation of organelles plays a pivotal role in activating the NLRP3-inflammasome. Mitochondrial damage induced by NLRP3-inflammasome activators leads to accumulation of NLRP3 and its adaptor ASC, at the MAM (Zhou et al. 2011). ROS are fragile and thus can only be mobilized over short distances. Therefore, it is reasonable that the NLRP3-inflammasome would be formed at the MAM, for efficient activation by danger signals derived from damaged mitochondria.

Interferon-beta promoter stimulator 1 (IPS-1; also known as MAVS, VISA, or Cardif) is critical for recruiting NLRP3 to mitochondria and subsequent assembly of the NLRP3-inflammasome (Subramanian et al. 2013). IPS-1 is a mitochondria-associated molecule that is best characterized for its role in antiviral responses mediated by RLRs. IPS-1 deficient macrophages show impaired IL-1 $\beta$  production in response to ATP or nigericin. On the other hand, IPS-1 is dispensable for NLRP3-inflammasome activation induced by particles such as MSU or alum. Thus, particle-induced NLRP3-inflammasome activation could occur in an IPS-1 independent manner, via an undefined mechanism.

Mitochondrial dynamics mediated by the protein mitofusin (MFN) also affect NLRP3-inflammasome activation. MFN1 and MFN2 are

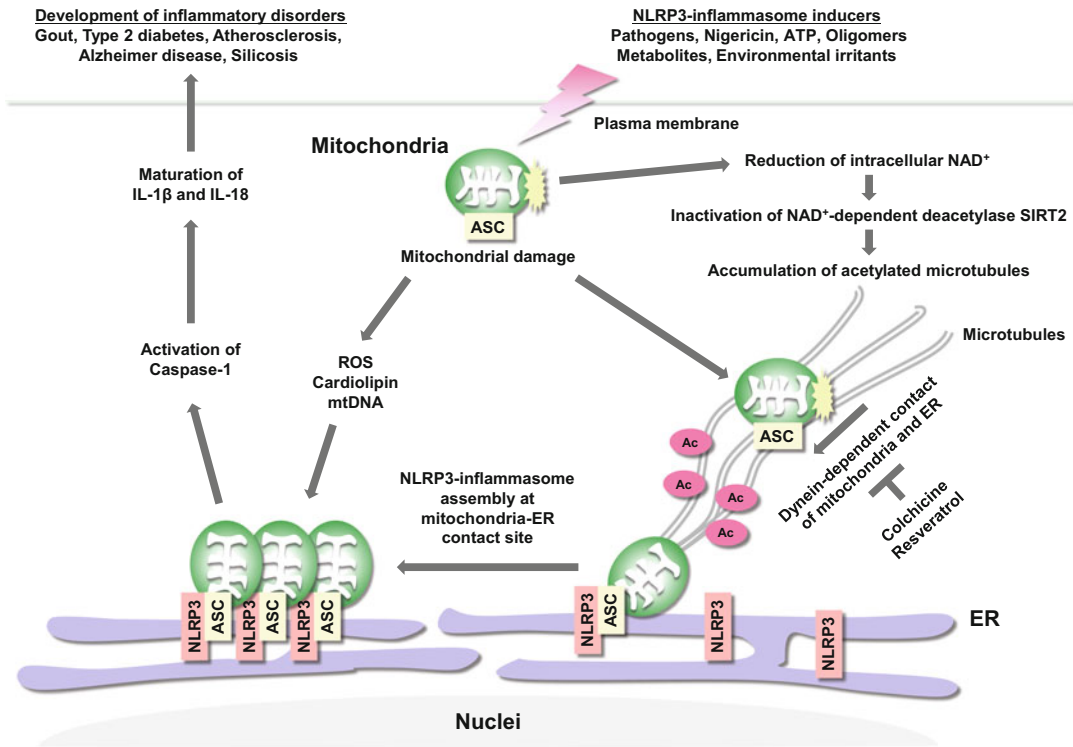
GTPases localized on the outer membrane of mitochondria that regulate mitochondrial fusion. MFN2 is also expressed on the MAM to promote mitochondria-ER tethering. Ichinohe et al. have shown that MFN2, but not MFN1, is indispensable for activating the NLRP3-inflammasome during RNA virus infection (Ichinohe et al. 2013). When cells are infected with an RNA virus, MFN2 directly interact with NLRP3 and IPS-1, in a mitochondrial membrane potential-dependent manner. Thus, MFN2 may serve as a scaffold for formation and activation of the NLRP3-inflammasome at the MAM. Further studies are required to clarify whether a similar pathway could also be induced by other NLRP3-inflammasome activators.

Microtubule-driven mitochondria-ER contact promotes assembly of the NLRP3-inflammasome (Misawa et al. 2013; Misawa et al. 2015). Under resting conditions, endogenous ASC localizes on mitochondria, in the nucleus, and the cytoplasm, while NLRP3 mainly localizes in the ER in macrophages. Mitochondrial damage induced by NLRP3-inflammasome inducers causes reduction of intracellular  $NAD^+$  levels, followed by inactivation of the  $NAD^+$ -dependent deacetylase SIRT2. This enhances accumulation of acetylated  $\alpha$ -tubulin. Dynein, a molecular motor, transports mitochondria and the ER along acetylated microtubules, resulting in contact between ASC on mitochondria and NLRP3 on the ER. Danger signals, such as ROS or potassium efflux, then amplify NLRP3-inflammasome signaling (Fig. 14.3).

## 14.3 The MAM and the Intracellular PRR-Mediated Antiviral Response

### 14.3.1 Involvement of the MAM in the RIG-I-IPS-1 Signaling Axis

The MAM not only serves as a platform for NLRP3-inflammasome assembly but also for function of the antiviral innate immune system. RIG-I is a PRR that senses cytosolic double-stranded (ds) RNA and induces an antiviral



**Fig. 14.3** Acetylated microtubules drive contact between mitochondria and the ER to promote NLRP3-inflammasome assembly. NLRP3-inflammasome activators severely damage mitochondria, followed by reduction of the intracellular  $\text{NAD}^+$  level. Subsequent inactivation of the  $\text{NAD}^+$ -dependent deacetylase SIRT2 leads to accumulation of acetylated  $\alpha$ -tubulin.

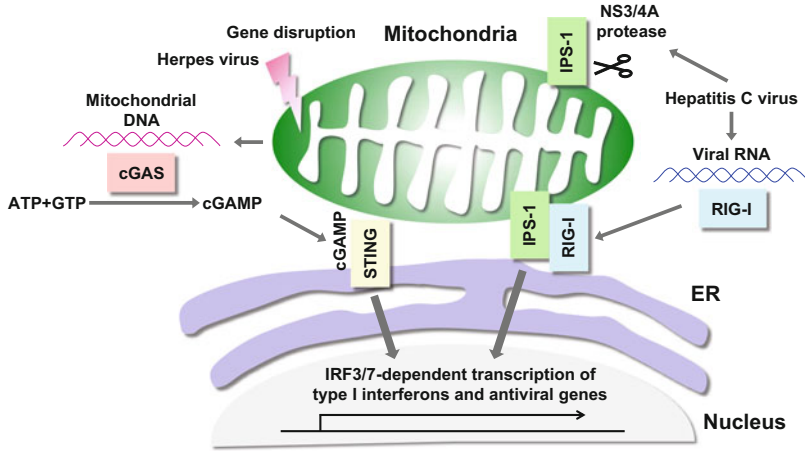
Acetylated microtubules accelerate dynein-dependent contact between mitochondria and the ER, resulting in assembly of ASC on mitochondria and NLRP3 on the ER. Danger signals, such as potassium efflux or mitochondrial ROS, induce subsequent NLRP3-inflammasome activation, leading to production of IL-1 $\beta$  and IL-18

response against RNA viruses (Yoneyama et al. 2015). RIG-I is an RNA helicase that recognizes viral RNA and interacts with the adaptor IPS-1 to induce expression of type I interferons and antiviral genes. The MAM functions as a site for assembly of RIG-I and IPS-1 (Horner et al. 2011). Under resting conditions, RIG-I localizes in the cytosol, whereas IPS-1 localizes on mitochondria, the MAM, and peroxisomes. During hepatitis C virus infection, RIG-I preferentially accumulates at the MAM, to facilitate interaction with IPS-1. Interestingly, hepatitis C virus cleaves IPS-1 from the MAM by its NS3/4A protease, to escape RIG-I-mediated antiviral responses. MFN1 promotes activation of the RIG-I-dependent antiviral response, whereas MFN2 limits the response (Yasukawa

et al. 2009; Onoguchi et al. 2010; Castanier et al. 2010; Horner et al. 2011) (Fig. 14.4).

### 14.3.2 Involvement of the MAM in the cGAS-STING Signaling Axis

The nucleotidyltransferase family member cyclic GMP-AMP synthase (cGAS) is a recently identified PRR that senses cytosolic dsDNA and induces an antiviral response against DNA viruses (Cai et al. 2014). Upon binding to dsDNA, cGAS synthesizes cyclic-GMP-AMP (cGAMP) from ATP and GTP. Stimulator of interferon genes (STING), an ER-resident sensor, detects cGAMP to induce expression of type



**Fig. 14.4** The MAM functions as a platform for the antiviral innate immune system. Upon hepatitis C virus infection, RIG-I detects viral RNA and is recruited to the MAM to interact with its adaptor IPS-1, leading to activation of downstream signaling pathways. Herpes virus infection and mitochondrial dysfunction

accelerate release of mitochondrial DNA into the cytosol. cGAS detects cytosolic mitochondrial DNA and is recruited to the MAM to produce cGAMP, which in turn activates STING, an ER-resident downstream signal transducer, leading to activation of downstream signaling pathways

I interferons and antiviral genes. Herpes virus infection and mitochondrial dysfunction induce release of mitochondrial DNA, which in turn activates cGAS (West et al. 2015). At the MAM, binding of cGAS to mitochondrial DNA and STING on the ER membrane are temporally clustered. Therefore, it is possible that the MAM facilitates detection of cGAMP via STING to promote an antiviral response.

pathophysiological role in a variety of cellular events, including innate immune responses.

## 14.4 Conclusion

The MAM plays pivotal roles in regulation of intracellular PRR-triggered innate immune responses. The MAM serves as an optimal platform for the innate immune system and promotes host defense against invading pathogens. However, inappropriate activation of a MAM-mediated innate immune response often results in development of diverse inflammatory disorders. Therefore, strict regulation of the MAM-mediated innate immune response is essential. The unique features of the MAM have only been revealed in the past few decades, and its functions are not completely understood. Future investigation is needed to determine the molecular basis of the MAM and to further illuminate its

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## Abstract

Viruses use synthetic mechanism and organelles of the host cells to facilitate their replication and make new viruses. Host's ATP provides necessary energy. Hepatitis C virus (HCV) is a major cause of liver disease. Like other positive-strand RNA viruses, the HCV genome is thought to be synthesized by the replication complex, which consists of viral- and host cell-derived factors, in tight association with structurally rearranged vesicle-like cytoplasmic membranes. The virus-induced remodeling of subcellular membranes, which protect the viral RNA from nucleases in the cytoplasm, promotes efficient replication of HCV genome. The assembly of HCV particle involves interactions between viral structural and nonstructural proteins and pathways related to lipid metabolisms in a concerted fashion. Association of viral core protein, which forms the capsid, with lipid droplets appears to be a prerequisite for early steps of the assembly, which are closely linked with the viral genome replication. This review presents the recent progress in understanding the mechanisms for replication and assembly of HCV through its interactions with organelles or distinct organelle-like structures.

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## Keywords

Hepatitis C virus • Replication factory • Endoplasmic reticulum • Membrane vesicle • Mitochondria • ATP • Virus-host interaction

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## 15.1 Introduction

Hepatitis C virus (HCV) is a major etiologic agent of human liver diseases, infecting approximately 2% of the population worldwide, and typically establishes a chronic infection in the liver, leading to chronic hepatitis, liver cirrhosis,

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and hepatocellular carcinoma (Wasley and Alter 2000; Pawlotsky 2006). HCV belongs to the genus *Hepacivirus*, a member of the family *Flaviviridae* (as reviewed in Lindenbach et al. 2007). The viral particle consists of a nucleocapsid, surrounded by a lipid envelope containing two viral glycoproteins, E1 and E2. HCV has a positive-sense plus-stranded RNA genome, which is approximately 9.6 kb in length and consists of an open reading frame encoding a polyprotein of ~3000 amino acids and untranslated regions (UTRs) located at the 5' and 3' termini. The UTRs are highly structured sequences encompassing critical *cis*-active RNA elements essential for genome replication and translation. The large precursor polyprotein is cleaved into 10 different proteins, the structural proteins core, E1, E2, and p7 and the non-structural (NS) proteins NS2, NS3, NS4A, NS4B, NS5A, and NS5B (Fig. 15.1). The structural components are released from the precursor by cellular proteases, whereas the mature NS proteins are produced by virus-encoded proteases. NS3 to NS5B proteins are presumably not incorporated into viral particles but instead assemble into a membrane-associated HCV RNA replicase complex catalyzing RNA replication (Gosert et al. 2003). The N-terminal side of NS3 harbors a serine protease domain, which contributes to cleavage of the four downstream NS protein junctions (Bartenschlager et al. 1993; Bartenschlager et al. 1995). The C-terminal portion of NS3 possesses activities of RNA helicase and NTPase that are essential for the viral replication (Suzich et al. 1993; Kim et al. 1995). NS4A is a transmembrane protein and serves as a cofactor for NS3 and is involved in targeting NS3 to the ER membrane (Wolk et al. 2000). NS4B contains multiple transmembrane segments and plays a role in the remodeling of host cell membranes (Egger et al. 2002; Lundin et al. 2003; Ferraris et al. 2010), potentially to generate a site for assembly of the viral replication complex (Egger et al. 2002; Lundin et al. 2003; Gouttenoire et al. 2010; Romero-Brey et al. 2012; Paul et al. 2013). NS5A is a membrane-anchored phosphoprotein with no enzyme activity but is important for the viral

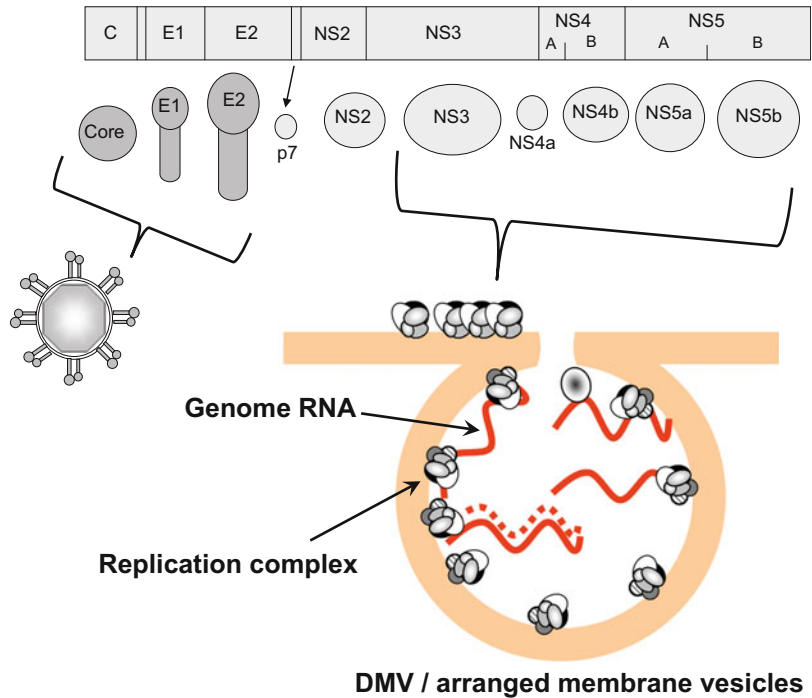
genome replication (Ross-Thriepfend and Harris 2015; Masaki and Suzuki 2015). NS5B functions as an RNA-dependent RNA polymerase with a C-terminal membrane-anchoring segment (Schmidt-Mende et al. 2001; Moradpour et al. 2004). In addition, the roles of HCV NS proteins such as NS2, NS3, and NS5A in assembly of the infectious particles have been shown (Suzuki 2012; Lindenbach 2013).

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## 15.2 Cytoplasmic Membrane Reorganization for Supporting Replication of the HCV Genome

To facilitate RNA replication, HCV interacts with numerous host molecules through protein–protein, RNA–protein, and protein–lipid interactions. These interactions lead to the formation of viral replication complexes, which produce new viral RNA progeny in host cells. While the HCV genomic RNA is translated on the endoplasmic reticulum (ER), the viral genome is thought to replicate within a complex network of structurally rearranged vesicle-like ER-derived membranes, which are often called replication factory (Fig. 15.1). Like other positive-sense RNA viruses, HCV replication or expression of certain viral proteins induces the altered membrane structures as observed ultrastructurally in cultured cells (Egger et al. 2002; Mottola et al. 2002; Gosert et al. 2003; El-Hage and Luo 2003; Shi et al. 2003; Ferraris et al. 2010). It is generally considered for positive-sense RNA viruses that the virus-induced remodeling of subcellular membranes potentially provides a framework for RNA synthesis by facilitating the concentration and cooperation of viral macromolecules on a dedicated membrane surface (Paul and Bartenschlager 2013). The membrane structures may protect the viral genomes from nucleases in the cytoplasm of the host cell, aid in shielding the double-stranded RNA intermediates of virus replication from the innate immune system in host cells, and/or contribute to the coordination of the viral life cycle in time and space. It may also be likely that such

**Fig. 15.1** Gene organization of HCV, processing of precursor polyprotein, and maturation of ten viral proteins (*upper*). Hypothetical model of membrane-associated replication of HCV genome (*lower*)



a membranous environment yet allows influx of nucleotide triphosphates and exit of newly synthesized genomic RNA molecules.

With regard to HCV replication, it has been demonstrated that upon HCV infection, accumulation of double-membrane vesicles (DMVs) with an average diameter of 150 nm was initially observed. The kinetics of DMV accumulation correlated with replication of HCV RNA; at later time points of infection, after the peak of HCV replication, multi-membrane vesicles with larger diameter of ~330 nm became more predominant (Romero-Brey et al. 2012). Three-dimensional reconstructions of electron microscopic tomography imaging indicated that most of the DMVs were tightly opposed to ER membranes and some of them were identified as protrusions from the ER membrane into the cytosol, suggesting that these membrane vesicles originate from ER membranes (Romero-Brey et al. 2012). Although the general mechanism for accumulation of virus-induced membrane vesicles and generation of membrane-associated replication complexes has been shown,

hypothetical model of formation of HCV replication complex is proposed as follows. HCV NS proteins are translated and processed on the ER membrane, followed by colocalization of a fraction of NS3, NS4A, NS4B, NS5A, and NS5B proteins at certain sites on the membrane through the membrane anchoring of individual NS proteins as well as interactions between NS proteins. NS4B, a 27-kDa integral membrane protein, is thought to play an essential role in remodeling of the intracellular membrane and biogenesis of membranous web by self-oligomerization, providing a platform for the viral replication complex (Egger et al. 2002; Lundin et al. 2003; Ferraris et al. 2010; Gouttenoire et al. 2010; Romero-Brey et al. 2012; Paul et al. 2013). In case of the protrusion and detachment model, part of the ER cisterna nearby viral integrated membrane protein(s) starts to bend and pinches off, leading to sealing formation of DMV contained with the viral replication complex. Interactions between the luminal domains of viral membrane proteins could mediate the tight apposition of the two

bilayers and induce curvature. Other models for DMV formation such as double-budding model, in which a single-membrane vesicle buds into the lumen of the ER and then buds out again, may operate simultaneously in the viral-infected cells.

The potential role of cellular host factors in formation of HCV replication complex has also been investigated. HCV NS5A and NS5B have been shown to interact with vesicle-associated membrane protein-associated protein (VAP). VAP-A and VAP-B localize primarily at the cytoplasmic face of the ER and Golgi apparatus and are involved in maintaining ER homeostasis and vesicular trafficking, including the regulation of COPI-mediated transport. Recent studies have shown that VAP-A and VAP-B play roles at the contact of the ER with Golgi, endosomes, and mitochondria (also with plasma membrane in the case of yeast) (Wakana et al. 2015; Dong et al. 2016; Paillusson et al. 2016). In HCV-replicating cells, VAP-A can be detected in the detergent-resistant membrane fraction that contains the viral RC. Interaction between VAP-A and NS5A is required for the efficient replication of HCV genomic RNA (Gao et al. 2004). VAP-B may also be involved in viral replication through the formation of homodimers and/or heterodimers with VAP-A (Hamamoto et al. 2005). VAP-A and VAP-B form either hetero- or homodimers through their transmembrane regions and interact with NS5A and NS5B. Further studies showed that VAP-A and VAP-B are enriched in the cytoplasmic membrane compartment, the formation of which is induced by HCV RNA replication (Paul et al. 2013) and potentially bind NS4A (Ramage et al. 2015). In addition, VAP was shown to interact with the cellular protein NIR2, known to be implicated in membrane trafficking, and thus remodel the structure of the ER. The cellular lipid kinase PI4KA (also known as phosphatidylinositol 4-kinase III alpha, PI4KIII $\alpha$ , and PIK4CA) was identified as a key factor for HCV replication.

Inhibition of PI4KA, either by RNA interference or by pharmacologic inhibitors (Tai et al. 2009; Reiss et al. 2011; Berger et al. 2011; Tai and Salloum 2011; Bianco et al. 2012), leads to accumulation of large “clusters” of NS5A-

positive membranes at the light microscopic level. At the ultrastructural level, these “clusters” correspond to clusters of DMVs with reduced diameter, suggesting that PI4KA is essential for the proper formation and/or integrity of membrane rearrangement for the viral replication.

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### 15.3 ATP Requirement During Virus Life Cycles

Adenosine 5'-triphosphate (ATP) is the major energy currency molecule of the cell and is essential for the maintenance of energy homeostasis of cells. Viruses are intracellular parasites that hijack cellular mechanisms including energy homeostasis to sponsor their replication. Several key steps during the viral life cycle, such as genome synthesis, duplex unwinding of viral genes, and assembly of virus particles via interaction with ATP-dependent chaperones, require high-energy phosphoryl groups.

It has been shown that ATP is required for the formation of a preinitiation complex for de novo RNA synthesis by RNA-dependent RNA polymerase (RdRp) of RNA viruses (Klumpp et al. 1998; Nomaguchi et al. 2003; Vreede et al. 2008). Dengue virus is a member of the *Flavivirus* genus of positive-strand RNA viruses. The dengue virus is causative of dengue fever and the most prevalent tropical infectious agent today. NS5, the largest among 10 viral proteins, contains conserved motif of RdRp consistent with the corresponding proteins encoded by several positive-strand RNA viruses. By using an in vitro viral RNA replicase assay, the components of the preinitiation complex for de novo synthesis of negative-strand RNA are defined as ATP, a high concentration of GTP, NS5 RdRp, and the template viral RNA. CTP and UTP are required only for the reaction of RNA elongation.

RNA helicases function in myriad aspects of RNA metabolism in cells and are also encoded by virus genomes (Frick and Lam 2006). HCV NS3 possesses helicase activity, which is essential for the viral genome replication (Suzich et al. 1993; Kim et al. 1995). NS3 has a DExH-box

domain and potentially binds to a single-/double-strand RNA or DNA junction, leading to ATP-independent, local melting of the duplex region and formation of a complex capable of ATP-dependent unwinding. Members of the processive DExH group often translocate along single-strand RNA and displace paired strands in their path, presumably through consuming energy from ATP hydrolysis.

There is abundant evidence that heat shock proteins 70 (Hsp70) participate in the life cycles of various viruses. Hsp70 family members facilitate assembly and disassembly of oligomeric protein complexes as well as their folding and intracellular transport in an energy-dependent fashion (Mayer and Bukau 2005). Hsp70 proteins are upregulated by a variety of stresses including heat shock and virus infection. The proteins have three functional domains. The N-terminal ATPase domain binds ATP and hydrolyzes it to ADP. This exchange of ATP drives conformational changes in the other two domains, substrate-binding domain and the C-terminal domain rich in alpha-helical structure. Hsp70 is known to exist inside purified virions such as rabies virus and HIV and to be involved in the assembly of capsid protein complexes of adenovirus, enterovirus, and polyomavirus (Lahaye et al. 2012; Li et al. 2009). In case of HIV, the viral Gag polyprotein, which is required for assembly and release of enveloped virion from infected cells, is sufficient for Hsp70 incorporation. Further findings obtained with ATPgammaS, which is resistant to hydrolysis by Hsp70, support a model in which the catalytic activity of virion-associated Hsp70 is required to maintain structural integrity of virion core of retroviruses (Gurer et al. 2005).

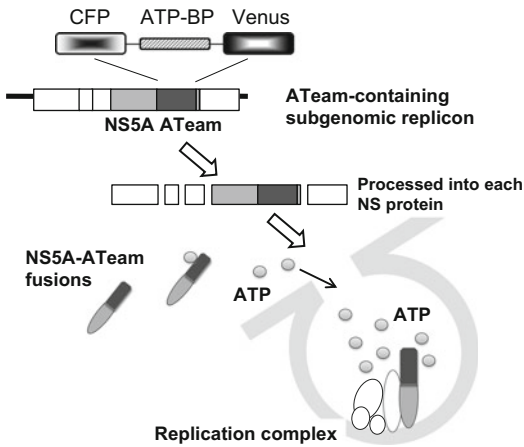
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## 15.4 ATP Imaging in HCV Replication

Although virus replication is known to be one of the physiological mechanisms that require energy for operation such as nucleic acid synthesis and its unwinding, it has been difficult to comprehend how the ATP level is regulated

inside single living cells where virus replicates, since a method by which to monitor ATP production in real time and by which to quantify ATP in individual cells and subcellular compartments is lacking and average ATP values in cell extracts are only available from existing methods for ATP measurement. Thus, studies aimed at elucidating precise mechanisms for controlling the viral replication energized by ATP have not been carried out for several years. Imamura et al. have developed a method for measuring ATP levels in individual living cells using a genetically encoded Förster resonance energy transfer (FRET)-based indicator for ATP, called ATeam, composed of a small bacterial protein sandwiched between two fluorescent proteins (Imamura et al. 2009). This ATP indicator employs the epsilon subunit of a bacterial  $F_0F_1$ -ATPase that has advantages of highly specific binding to ATP and conformational change upon ATP binding. The ATeam enables us to examine the subcellular compartmentation of ATP as well as time-dependent changes in cellular ATP levels under various physiological conditions.

To visualize and monitor ATP levels in living cells during replication of the viral genome, we first introduced the original ATeam-expressing plasmids into cells and found that cytoplasmic ATP levels in cells undergoing HCV RNA replication were less than those in parental cells. The finding agreed with the results of CE-TOF MS analysis, demonstrating that cells involved in viral RNA replication actively consume ATP, thereby reducing cytoplasmic ATP levels (Ando et al. 2012). Next, NS5A-ATeam fusion constructs, in which the ATeam gene was introduced into the C-terminal end of the NS5A coding region, and SGR-ATeam constructs containing HCV subgenomic replicon within the NS5A-ATeam fused sequence were engineered (Fig. 15.2). It is of interest that FRET imaging using SGR-ATeam constructs provided evidence for the formation of ATP-enriched foci within the cytoplasm of HCV-replicating cells but not in non-replicating cells (Ando et al. 2012). FRET signal detection followed by indirect immunofluorescence



**Fig. 15.2** Development of ATeam-containing HCV replicon to enable real-time monitoring of ATP. CFP, cyan fluorescent protein; ATP-BP, ATP-binding protein; Venus, yellow fluorescent protein (See the reference (Ando et al. 2012) for further description)

allowed us to visualize colocalization of viral NS proteins at sites of ATP accumulation in cells, suggesting that these membrane-associated ATP-enriched foci likely represent sites of HCV RNA replication. The obtained FRET signals allow us to estimate ATP concentrations within the viral replicating cells, which approximate 5–10 mM at possible replicating sites and ~1 mM at their peripheral sites that do not appear to be involved in HCV replication. In contrast, cytoplasmic ATP levels in non-replicating HuH-7 cells are ~2 mM (Ando et al. 2012).

The mechanism by which ATP accumulates at potential sites of HCV RNA replication is unknown. We demonstrated that creatine kinase B (CKB), which is critical for the maintenance and regulation of cellular energy stores, accumulates in the HCV replication complex-rich fraction of the viral replicating cells. It is likely that CKB is directed to the HCV replication machinery through interaction with NS4A and that the enzyme functions as a positive regulator of the viral genome replication by providing ATP (Hara et al. 2009). Creatine kinases catalyze the reversible transfer of high-energy phosphate groups between ATP and phosphocreatine, thereby playing a storage and distribution role in cellular energetics. CKB is a brain-type

creatine kinase isoenzyme and is also detected in a variety of other tissues, including human liver. It can be hypothesized that recruitment of the ATP generating machinery into the membrane-associated site or DMVs, through its interaction with viral proteins comprising the replication complex, is at least in part linked with elevated concentrations of ATP at a particular site. Another possibility may be the implication of communication between mitochondria and DMVs associated with HCV replication complex in ATP transport through membrane-to-membrane contact. Our preliminary analysis demonstrated that putative sites of the viral RNA replication with high FRET signals were mainly localized proximal to mitochondria (Ando et al. 2012).

It is well known that the ER and mitochondria join together at multiple contact sites to form specific domains, such as mitochondria-associated (ER) membranes, with distinct biochemical properties in cells. However, to our knowledge, connection between membrane vesicles associated with the viral replication complex and mitochondria has not been characterized to date.

## 15.5 Role of Lipid Droplets in Assembly of HCV Particles

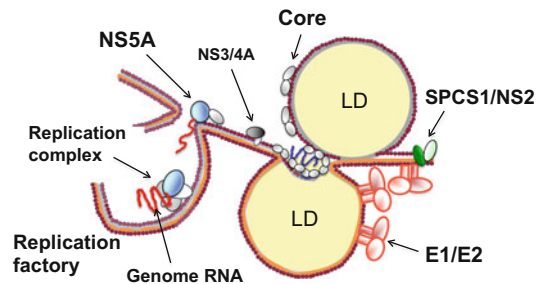
HCV core, E1, and E2 proteins are structural components of the viral particles. HCV particles likely consist of a nucleocapsid and outer envelope composed of a host cell-derived lipid membrane and envelope E1 and E2. After translation and processing of precursor polyprotein, these structural proteins are targeted to distinct places within the cell, which is considered that assembly of HCV particles is potentially regulated by the coordinated subcellular localization of these proteins.

Core protein interacts with cellular membranes mainly through two amphipathic helices located within its central domain. This mode of peripheral membrane interaction allows matured core, which is generated through processing by signal peptidase and signal peptide



peptidase, to migrate to the surface of lipid droplets (LDs) (McLauchlan et al. 2002; Schwer et al. 2004). LDs are storage organelles used as a source of neutral lipid for metabolism and membrane synthesis. LDs are composed of a core of triacylglycerol and cholesterol ester, surrounded by a phospholipid monolayer derived from the outer leaflet of the ER, which in turn is bounded by a proteinaceous coat. The ~120 N-terminal residues of core contain multiple positively charged residues that are implicated in RNA binding and homo-oligomerization. It is therefore likely that this domain is a prerequisite for assembly of the HCV nucleocapsid (Kunkel et al. 2001; Klein et al. 2004; Majeau et al. 2004; Klein et al. 2005). With regard to the molecular mechanism for nucleocapsid formation, we have recently identified the 3'UTR as a *cis*-acting element that was sufficient for packaging of HCV genome (Shi et al. 2016). Mutagenesis analyses, together with a binding assay with core, demonstrated that, whereas the best encapsidation occurs with the entire 3' UTR, the two loop sequences at the 3' end appear to be essential for encapsidation.

In addition to core, three viral proteins have been shown to interact with the viral RNA: NS5A, the NS3 helicase domain, and the RNA polymerase NS5B. Structural analyses have shown that the N-terminal region of NS5A forms a “clawlike” dimer, which might accommodate RNA and interact with viral and cellular proteins and membranes (Huang et al. 2005; Tellinghuisen et al. 2005). It may be likely that newly synthesized HCV genomic RNA bound to NS5A is released from the replication complex containing DMV or replication factory, leading to recruitment of the NS5A-RNA complex to LDs, thereby enabling interaction with core and encapsidation (Fig. 15.3). Although both basal phosphorylation and hyperphosphorylation of NS5A appear to be required for an efficient interaction between NS5A and core essential for virion assembly, its hyperphosphorylation mediated by CKI- $\alpha$  contributes to the recruitment of NS5A from the ER membranes to LDs or LD-associated membranes (Masaki et al. 2014). DGAT1, one of the two enzymes mediating



**Fig. 15.3** Hypothetical model for assembly of HCV particles. Newly synthesized HCV genome at the replication factory is recruited to the surface of lipid droplet (LD), where ER membrane is associated, possibly via interaction between NS5A and core. The viral genome thus enables to associate with core, thereby nucleocapsid formation. Formation of the complex, E1/E2 and signal peptidase complex subunit 1 (SPCS1) and NS2, in tight association with the ER, may be critical for the viral envelopment

triglyceride synthesis, binds to both NS5A and core, and this interaction also mediates recruitment to LDs (Herker et al. 2010; Camus et al. 2013).

Likewise, it has been shown that NS2 brings together the E1-E2 complex, p7, and the NS3-4A complex (Phan et al. 2009; Jirasko et al. 2010; Boson et al. 2011; Ma et al. 2011; Popescu et al. 2011; Stapleford and Lindenbach 2011), and the interaction between p7-NS2 and NS3-4A is important for recruiting core to sites of virus assembly (Boson et al. 2011; Counihan et al. 2011). Signal peptidase complex subunit 1 (SPCS1), a component of the microsomal signal peptidase complex that is responsible for cleavage of the signal peptides of many secreted and membrane-associated proteins, has been identified as an NS2-binding host factor (Suzuki et al. 2013). SPCS1 interacts with both NS2 and E2, forming an E2-SPCS1-NS2 complex at the cytoplasmic membranes (Fig. 15.3). SPCS1 thus plays a critical role in the virion assembly of HCV (Suzuki et al. 2013), as interaction between E2 and NS2 is important for infectious viral particle assembly, as indicated above.

For the final maturation of the particles, HCV hijacks the pathway responsible for secretion of the VLDL lipoprotein particles, and virions are considered to be released into the extracellular



space as low-density lipoviroparticles, whose lipids are presumably derived from LDs.

Thus, VLDL-associated proteins, including apolipoprotein B (ApoB), ApoE, and microsomal triglyceride transfer protein, have been shown to play crucial roles in the virion formation (Gastaminza et al. 2008; Jiang and Luo 2009; Andre et al. 2002). A recent study has demonstrated the redundant roles of ApoB and the exchangeable apolipoproteins ApoA1, ApoA2, ApoC1, ApoC2, ApoC3, and ApoE in the assembly of infectious HCV particles (Fukuhara et al. 2014; Fukuhara et al. 2015).

## 15.6 Future Perspectives

There is accumulating evidence regarding the involvement of host cell organelles or organelle-like structures, such as ER, membrane vesicle-associated replication factories, and LDs, in the genome replication and the virion assembly of HCV particles. Mitochondria may also be implicated in the regulation of the viral replication. To advance our understanding of the regulatory mechanisms of the virus lifecycle, further researches to address key questions such as the dynamics of biogenesis of the viral replication factory, its biochemical and structural features, and contact mechanisms of the replication factory with mitochondria and LDs should be required.

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Isabelle Derré

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## Abstract

Intracellular bacterial pathogens have evolved sophisticated mechanisms to hijack host cellular processes to promote their survival and replication inside host cells. Over the past two decades, much attention has been given to the strategies employed by these pathogens to manipulate various vesicular trafficking pathways. But in the past 5 years, studies have brought to light that intracellular bacteria also target non-vesicular trafficking pathways. Here we review how three vacuolar pathogens, namely, *Legionella*, *Chlamydia*, and *Coxiella* hijack components of cellular MCS with or without the formation of stable MCS. A common theme in the manipulation of MCS by intracellular bacteria is the dependence on the secretion of bacterial effector proteins. During the early stages of the *Legionella* life cycle, the bacteria connects otherwise unrelated cellular pathways (i.e., components of ER-PM MCS, PI4KIII $\alpha$ , and Sac1 and the early secretory pathway) to remodel its nascent vacuole into an ER-like compartment. *Chlamydia* and *Coxiella* vacuoles establish direct MCS with the ER and target lipid transfer proteins that contain a FFAT motif, CERT, and ORP1L, respectively, suggesting a common mechanism of VAP-dependent lipid acquisition. *Chlamydia* also recruits STIM1, an ER calcium sensor involved in store-operated calcium entry (SOCE) at ER-PM MCS, and elucidating the role of STIM1 at ER-*Chlamydia* inclusion MCS may uncover additional role for these contacts. Altogether, the manipulation of MCS by intracellular bacterial pathogens has open a new and exciting area of research to investigate the molecular mechanisms supporting pathogenesis.

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**Keywords**

*Legionella* • PI4KIII $\alpha$  • Sac1 • *Chlamydia* • IncD • CERT • VAP • STIM1 • *Coxiella* • ORP1L

**16.1 Introduction**

Intracellular bacterial pathogens have evolved sophisticated mechanisms to hijack host cellular processes to promote their survival and replication inside host cells. *Legionella pneumophila*, *Chlamydia trachomatis*, and *Coxiella burnetii* are intracellular vacuolar pathogens that replicate inside a large membrane-bound compartment. Although their respective lifestyles are quite different, *Legionella* resides in an endoplasmic reticulum (ER)-like compartment; the nature of the membrane surrounding *Chlamydia* is unknown and *Coxiella* replicates in phagolysosomes; all three bacteria have evolved sophisticated secretion systems to inject effector molecules into the cytosol of the host cell and promote the selective interaction of their vacuole with cellular organelles. Over the past two decades, research focused on the strategies employed by these pathogens to manipulate various vesicular trafficking pathways in order to create their replication niche. However, in the past 5 years, studies have brought to light the notion that intracellular bacteria not only target vesicular trafficking pathways but also manipulate non-vesicular pathways via interaction with components of cellular membrane contact sites (MCS) or through the formation of MCS between their vacuole and cellular organelles. In this chapter, we review how *Legionella* hijacks components of ER-plasma membrane (PM) MCS to promote the remodeling of its nascent vacuole, how *Chlamydia* initiates the formation of hybrid MCS-harboring components of both ER-Golgi and ER-PM MCS, and how *Coxiella* establishes MCS that bear resemblance to ER-endosome MCS.

**16.2 *Legionella* Hijacks Components of ER-PM MCS to Promote the Remodeling of Its Nascent Vacuole into an ER-Like Compartment**

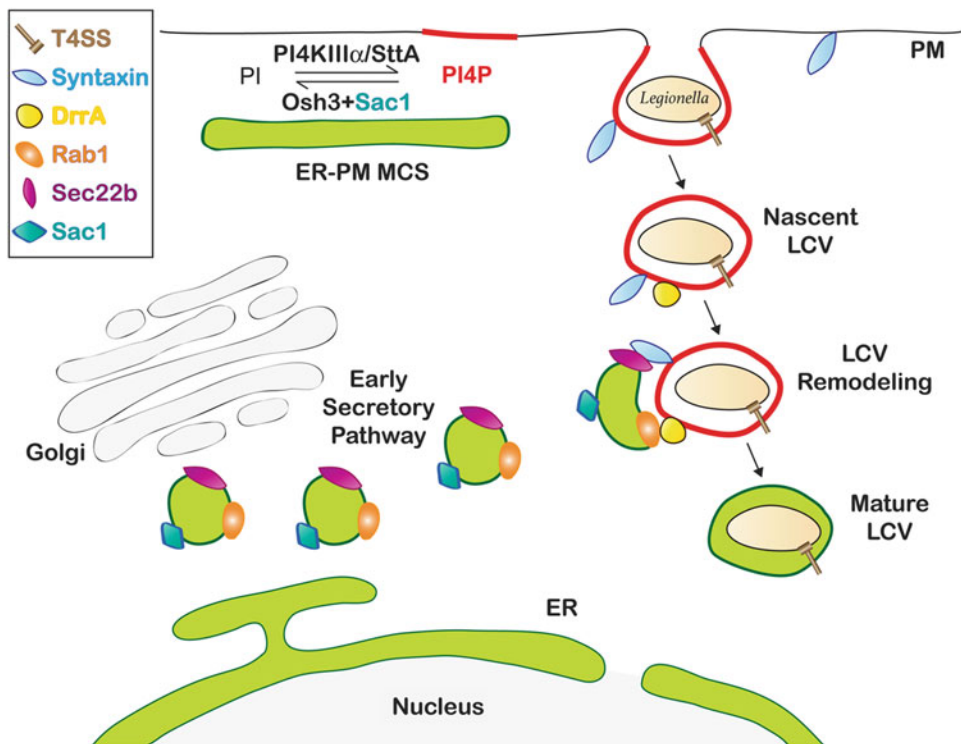
*Legionella pneumophila* is a water-borne bacterium that can lead to a potentially fatal pneumoniae, known as Legionnaire's disease, in the elderly and in immunocompromised persons (Marston et al. 1994). Infection occurs by inhalation of contaminated aerosols (Nguyen et al. 2006) and subsequent phagocytosis of the bacteria by monocytes and alveolar macrophages (Horwitz and Silverstein 1980). The membrane of the nascent *Legionella*-containing vacuole (LCV) originally derives from the plasma membrane, but it is rapidly remodeled to avoid fusion with lysosomes. In addition, trafficking from the ER to the Golgi is redirected toward the LCV, and fusion of secretory vesicles with the LCV generates an ER-like compartment favorable to bacterial replication.

*Legionella* participates actively to the remodeling of the membrane of the LCV. The bacteria encode a type IVB secretion system (T4SS), known as the Dot/Icm system (Segal et al. 1998; Vogel et al. 1998), which allows the bacteria to secrete up to 300 bacterial effector proteins into the cytosol of the host cell (Zhu et al. 2011). The specific function of these effectors is for the most part unknown, but some of them have been shown to play a role in the subversion of host cell signaling and secretory pathways. In particular, Dot/Icm effectors implicated in the manipulation of the eukaryotic small GTPase Rab1, which supports vesicular trafficking in the early secretory pathway, have been extensively characterized over the past decade (Goody and Itzen 2013).

Two Dot/Icm effectors regulate the nucleotide state of Rab1. DrrA (also known as SidM) acts as a Rab1 guanine nucleotide exchange factor (GEF) and plays a critical role in the activation and recruitment of Rab1 to the LCV (Machner and Isberg 2006; Murata et al. 2006). LepB on the other end acts as a Rab1-GTPase-activating protein (GAP) and contributes to Rab1 inactivation and release from the LCV (Ingmundson et al. 2007). In addition, *Legionella* Dot/Icm effectors also regulate Rab1 activity through reversible posttranslational modifications. Through adenosine monophosphorylation (AMPylation) activity (Muller et al. 2010) and phosphocholine transferase activity (Mukherjee et al. 2011; Tan et al. 2011), DrrA and AnkX, respectively, keep Rab1 in its GTP-bound active

state by preventing access to LepB. The de-AMPyase SidD (Neunuebel et al. 2011; Tan and Luo 2011) and the dephosphocholinase Lem3 (Tan et al. 2011) reverse this effect.

The presence of phosphatidylinositol 4-phosphate (PI4P) in the LCV membrane is important for DrrA association with the LCV. Although PI4P is mostly present at the Golgi, a pool of PI4P is generated at the PM by the host PI 4-kinase type III $\alpha$  (PI4KIII $\alpha$ , SttA in yeast) (Balla et al. 2005; Baird et al. 2008; Hammond et al. 2012; Nakatsu et al. 2012) (Fig. 16.1). In yeast, points of intimate contact between the ER and the PM, known as ER-PM MCS, constitute a hot spot for regulating PI4P metabolism at the PM. PI4P turnover requires the oxysterol-binding homology (Osh) protein, Osh3, which



**Fig. 16.1** *Legionella* hijacks components of ER-PM MCS to temporally position effector proteins involved in vacuole maturation. Upon phagocytosis by macrophages, *Legionella* resides in a PM-derived vacuole. The PI4P produced at the PM by the host PI4KIII $\alpha$  accumulates in the membrane of the nascent LCV allowing for DrrA, a Dot/Icm effector containing a PI4P-binding domain, to associate with the LCV. DrrA

recruits and activates the small GTPase Rab1, thereby promoting the tethering and fusion of ER-derived vesicles with the LCV by non-canonical pairing of the PM t-SNARE syntaxin and the ER v-SNARE Sec22b. Upon delivery to the LCV, the ER-associated phosphatase, Sac1, hydrolyzes the PI4P present on the LCV-promoting DrrA and subsequently Rab1 dissociation from the LCV



acts as a PI4P sensor. When the PM levels of PI4P are high, Osh3 localizes to ER-PM MCS and stimulates the phosphatase activity of the ER-localized phosphoinositide phosphatase, Sac1, therefore reducing the PI4P level of the PM (Stefan et al. 2011).

The nascent LCV is enriched in PI4P (Hubber et al. 2014), and a PI4P-binding domain is located in the carboxy-terminal of the DrrA protein (Murata et al. 2006; Brombacher et al. 2009; Schoebel et al. 2009; Zhu et al. 2010; Hammond et al. 2014). In agreement with the notion that DrrA association with membranes relies on PI4P, point mutations in the PI4P-binding domain of DrrA affect its localization to the LCV and prevent Rab1 recruitment (Hubber et al. 2014). PI4KIII $\alpha$  plays an important role in generating the PI4P that mediates DrrA association with membrane. In a permeabilized cell system or when DrrA is expressed in eukaryotic cells, the PI4P-binding domain of DrrA drives its localization to the PM (Murata et al. 2006; Hubber et al. 2014). However in mouse embryonic fibroblasts (MEFs) derived from PI4KIII $\alpha$  knockout mice, the localization of DrrA to the PM is reduced (Hubber et al. 2014), suggesting that PI4KIII $\alpha$  is important for generating the PI4P that mediates DrrA recruitment to the PM. The importance of PI4KIII $\alpha$  during *Legionella* infection is further exemplified by the defect in Rab1 recruitment to the LCV and the reduced bacterial replication in PI4KIII $\alpha$ -deficient macrophages (Hubber et al. 2014).

The DrrA/Rab1 association with the LCV is temporally regulated, with DrrA and Rab1 being present on the surface of the LCV only during the first 4 hours of infection prior to remodeling of the LCV into an ER-like compartment (Ingmundson et al. 2007). PI4P turnover on the LCV is most likely responsible for the dissociation of DrrA from the LCV. Interestingly the overexpression of Sac1 in *Legionella*-infected cells led to a decrease in Rab1 association with the LCV (Hubber et al. 2014), suggesting a role for Sac1 in PI4P turnover at the LCV.

Altogether the data gathered so far is compatible with a model in which *Legionella* manipulates components of ER-PM MCSs,

PI4KIII $\alpha$ , and Sac1, to modulate PI4P dynamics on the LCV and control effector localization (Fig. 16.1). The PI4P- and DrrA-dependent activation of Rab1 on the nascent LCV is sufficient to promote the tethering and fusion of ER-derived vesicles with the LCV. This process involves the non-canonical pairing of the PM t-SNARE Syntaxins and the ER v-SNARE Sec22b (Arasaki and Roy 2010; Arasaki et al. 2012) and ultimately results in converting the PM-derived LCV into an ER-like compartment (Tilney et al. 2001; Robinson and Roy 2006).

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### 16.3 *Chlamydia* Initiates the Formation of Hybrid MCS-Harboring Components of Both ER-Golgi and ER-PM MCS

The *Chlamydiae* phylum regroups obligate intracellular bacterial pathogens infecting a wide range of hosts (rodents, cattle, swine, human, etc.) and responsible for various diseases (pneumoniae, trachoma, and sexually transmitted diseases) (Schachter 1999). Of the human pathogen *C. trachomatis*, ocular serovars are associated with non-congenital blindness in developing countries, and genital serovars are the leading cause of bacteria-associated sexually transmitted diseases in the developed world. In women, genital *C. trachomatis* infections are often asymptomatic but nevertheless manifest with inflammation of the upper reproductive tract, which if left untreated, leads to long-term sequelae such as chronic pelvic pain, ectopic pregnancy, and infertility (Malhotra et al. 2013). Despite the availability of diagnostic tests and antibiotic treatments, cases are increasing each year, and in the absence of a vaccine, *Chlamydia* infections remain a burden to human health.

In vivo and in vitro, *Chlamydia* has a tropism for epithelial cells in which the bacteria undergo a biphasic developmental cycle that occurs exclusively in a membrane-bound compartment termed the inclusion (Moulder 1991). The bacteria alternate between an infectious but

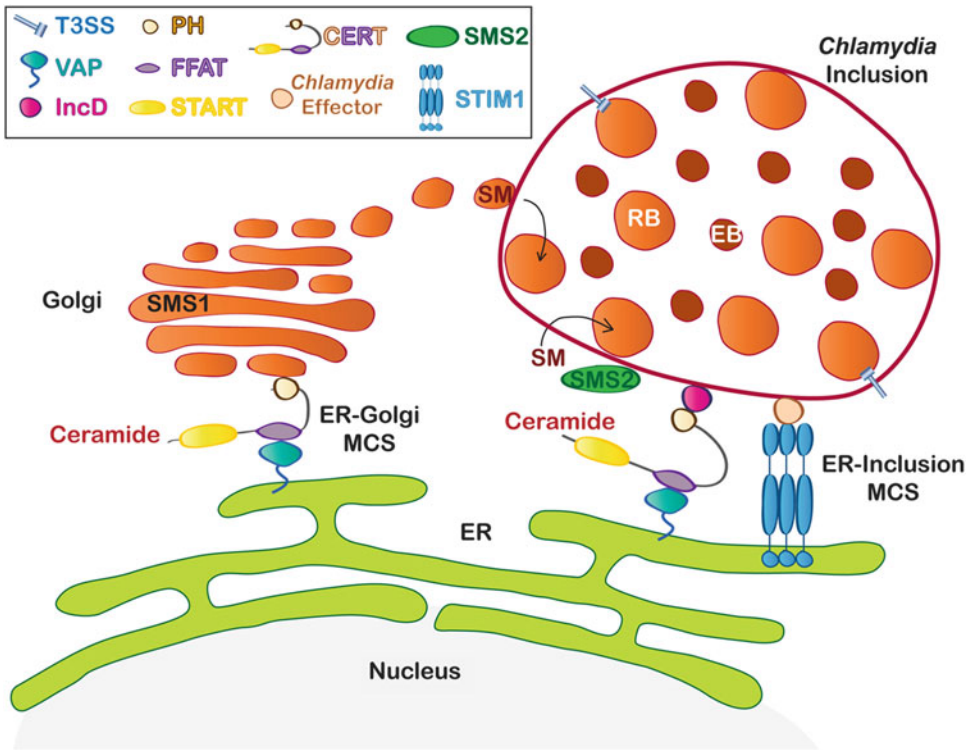
non-replicating form (elementary body, EB) and a replicative form (reticulate body, RB). Under favorable conditions, a single EB differentiates into the RB form and replicates to high numbers. Toward mid-cycle, the RBs transition back to EBs. Once the cycle is completed, the EBs are released from the host cell.

During coevolution with the mammalian host, *Chlamydia* genome has undergone drastic reduction and is now limited to ~1000 genes (Stephens et al. 1998) (for comparison *E. coli* K12 genome encodes ~4000 ORFs (Riley et al. 2006)). As a result, multiple metabolic pathways are incomplete, and *Chlamydia* relies on the host cells for essential nutrients. While the inclusion offers a perfect niche for the bacteria to replicate, the inclusion membrane separates the bacteria from essential building blocks. To circumvent this problem, *Chlamydia* is equipped with a bacterial type III secretion system (T3SS) and uses this syringe-like apparatus to deliver effector proteins across the inclusion membrane (reviewed by (Mueller et al. 2014)). One family of T3SS effectors, the Inc proteins, is inserted into the inclusion membrane (Dehoux et al. 2011; Lutter et al. 2012) and is therefore strategically positioned to promote interaction between the inclusion and cellular organelles (reviewed by (Elwell et al. 2016)).

*Chlamydia* incorporates host cellular lipids such as phosphatidylcholine, phosphatidylinositol, cholesterol, and sphingomyelin into their cell wall (Hackstadt et al. 1995, 1996; Wylie et al. 1997; Hatch and McClarty 1998; Carabeo et al. 2003). Moreover, sphingomyelin acquisition is necessary for bacterial replication (van Ooij et al. 2000). The bulk of sphingomyelin is synthesized within the Golgi by the sphingomyelin synthase SMS1 (Huitema et al. 2004), but its precursor, ceramide, is synthesized in the ER. At ER-Golgi MCSs, the lipid-transfer protein CERT allows for the transfer of ceramide from the ER to the Golgi (Hanada et al. 2003) (Fig. 16.2). CERT contains three domains that are critical for this process, a pleckstrin homology (PH) domain (Lemmon 2008) that binds PI4P and potentially Arf1 at the Golgi membrane (Balla and Balla 2006; Hanada et al. 2009), a

FFAT (two phenylalanines in an acidic tract) motif (Loewen et al. 2003) interacting with the VAPA and VAPB (vesicle-associated membrane protein-associated protein A and B, respectively) proteins in the ER (Lev et al. 2008), and a START (steroidogenic acute regulatory protein-related lipid transfer) domain that binds ceramide (Ponting and Aravind 1999). Once synthesized in the Golgi, Sphingomyelin-containing vesicles bud from the Golgi and are delivered to the plasma membrane. In *Chlamydia*-infected cells, the bacteria hijack this vesicular trafficking pathway, and the sphingomyelin-laden vesicles are redirected toward the inclusion membrane (Hackstadt et al. 1995, 1996). Sphingomyelin is incorporated into the inclusion membrane and the cell wall of the bacteria (Fig. 16.2).

An alternative pathway of sphingomyelin acquisition has been proposed through the formation MCS (Fig. 16.2). During *Chlamydia* infection, the inclusion membrane establishes intimate contact with the endoplasmic reticulum, leading to the formation of ER-inclusion MCS (Derré et al. 2011). CERT and VAP localize to these contacts (Derré et al. 2011; Elwell et al. 2011). The *Chlamydia* inclusion membrane protein IncD promotes CERT association with the inclusion membrane via the direct interaction of IncD with the PH domain of CERT (Derré et al. 2011; Agaisse and Derré 2014). Similar to ER-Golgi MCS, the FFAT motif of CERT mediates the interaction between CERT and VAP at ER-inclusion MCS (Agaisse and Derré 2014). The importance of CERT and VAP for the completion of *Chlamydial* developmental cycle is illustrated by the formation of smaller inclusions containing less infectious particles upon depletion of CERT or VAP (Derré et al. 2011; Elwell et al. 2011). The small molecule HPA-12 (Yasuda et al. 2001; Kudo et al. 2010), a synthetic analog of ceramide that inhibits CERT-mediated transfer of ceramide, leads to a similar phenotype but also to a reduction in sphingomyelin acquisition by the bacteria (Elwell et al. 2011). The association of the sphingomyelin synthase SMS2, an enzyme involved in sphingomyelin synthesis at the PM (Tafesse et al. 2007), with the inclusion



**Fig. 16.2 Vesicular and non-vesicular trafficking of lipids to *Chlamydia* inclusion.** At ER-Golgi MCS, the lipid-transfer protein CERT binds to the ER-resident proteins VAPA and VAPB via its FFAT motif and to the Golgi membrane via its PH domain. The START domain of CERT promotes the transfer of ceramide from the ER to the Golgi-localized sphingomyelin synthase SMS1 leading to sphingomyelin (SM) synthesis in the Golgi. In *Chlamydia*-infected cells, the trafficking of Golgi-derived vesicles containing SM en route to the PM is redirected to the *Chlamydia* inclusion. Upon fusion of these vesicles with the inclusion membrane, SM is incorporated into the inclusion membrane and into the cell wall of the bacteria.

MCS between the ER and the inclusion membrane may constitute an alternative pathway of SM acquisition. At ER-inclusion MCS, CERT binds to VAPA and VAPB on the ER via its FFAT motif and to the inclusion membrane via direct interaction of its PH domain with the *Chlamydia* inclusion membrane protein IncD. The transfer of ceramide to SMS2 localized on the inclusion membrane may lead to direct SM synthesis at the inclusion membrane. STIM1, an ER calcium sensor involved in store-operated calcium entry (SOCE) at ER-PM MCS, also localized to the ER-inclusion MCS. Its function is unknown, and it has been proposed that a *Chlamydia* factor is involved in STIM1 association with the inclusion membrane

membrane and the reduced bacterial replication in cells depleted of SMS2 (Elwell et al. 2011), has led to propose a model in which, at ER-inclusion MCS, the CERT-dependent transfer of ceramide to SMS2 allows for synthesis of sphingomyelin directly at the inclusion membrane (Fig. 16.2).

MCS between the ER and various organelles differs in their molecular composition, providing each type of MCS with a specific molecular signature and function (Prinz 2014). ER-inclusion MCS, however, appears as hybrid

MCS-harboring components found at ER-Golgi MCS, such as CERT and VAP, but also STIM1 (Agaisse and Derré 2015), a key component of ER-PM MCS. STIM1 is an ER-localized calcium ( $\text{Ca}^{2+}$ ) sensor that plays an essential role during store-operated calcium entry (SOCE) (reviewed by (Prakriya and Lewis 2015)). When the ER  $\text{Ca}^{2+}$  store are depleted, STIM1 oligomerizes and relocates to ER-PM MCS where it binds and activates the calcium channel Orai, allowing for  $\text{Ca}^{2+}$  influx and replenishment of the ER  $\text{Ca}^{2+}$  store. Throughout *Chlamydial* developmental

cycle, STIM1, but not Orai, is highly enriched at ER-inclusion MCS (Agaisse and Derré 2015) (Fig. 16.2). STIM1 association with the inclusion depends on the CAD domain of STIM1, a domain that is known to interact with and activate Orai. It was proposed that, as shown for IncD and CERT, a *Chlamydia* T3SS effector mediates the association of STIM1 with the inclusion membrane, possibly through molecular mimicry of Orai. Unlike CERT and VAP, however, STIM1 depletion does not affect *Chlamydia* replication, leaving the role of STIM1 at ER-inclusion MCS unresolved.

Beside a potential role in lipid acquisition, the function of ER-inclusion MCS remains unclear. Structures resembling ER-inclusion MCS, but referred to as pathogen synapse, where ordered arrays of *Chlamydia* T3SS connect *Chlamydia* with the inclusion membrane and the apposed rough ER have been proposed to represent special sites for effector translocation (Dumoux et al. 2012). In addition, a role in stimulator of interferon genes (STING)-dependent pathogen sensing has been proposed (Barker et al. 2013) and studies in *Chlamydia pneumoniae* and in the environmental *Chlamydia*, *Simkania negevensis*, also suggest a role in the modulation of the ER stress response (Mehlitz et al. 2014; Shima et al. 2015).

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## 16.4 *Coxiella* Establishes MCS That Bear Resemblance to ER-Endosome MCS

*Coxiella burnetii* is a gram-negative bacterium that causes the zoonotic disease Q fever. Ruminants are the primary reservoir. Infections are mostly asymptomatic in these animals, but *Coxiella* is present in the milk, urine, and feces, which contributes to contamination of the local environment. In addition, *Coxiella* infection can trigger abortion, and the very high bacterial load in birth products, especially the placenta, is the major cause of environmental contamination (Delsing et al. 2011). The low infectious dose (Moos and Hackstadt 1987) and the environmental stability of the bacteria contribute to human

infections via inhalation of contaminated aerosols. Although *Coxiella* infections are often asymptomatic in human, Q fever can manifest as acute or chronic diseases (debilitating flue like illness and endocarditis, respectively).

*Coxiella* has tropism for alveolar macrophages in vivo (Khavkin and Tabibzadeh 1988) but has the ability to infect phagocytic and non-phagocytic cells in vitro (reviewed by (Voth and Heinzen 2007)). The bacteria replicate into a membrane-bound compartment termed the *Coxiella*-containing vacuole (CCV). The CCV traffics through the endocytic pathway and eventually fuses with lysosomes (Heinzen et al. 1996). Surprisingly, the acidic pH environment and the plethora of acidic hydrolases, which constitute a hostile environment that most intracellular pathogens have evolved to avoid, do not affect *Coxiella*. On the contrary, *Coxiella* thrives and replicates to high numbers in this environment.

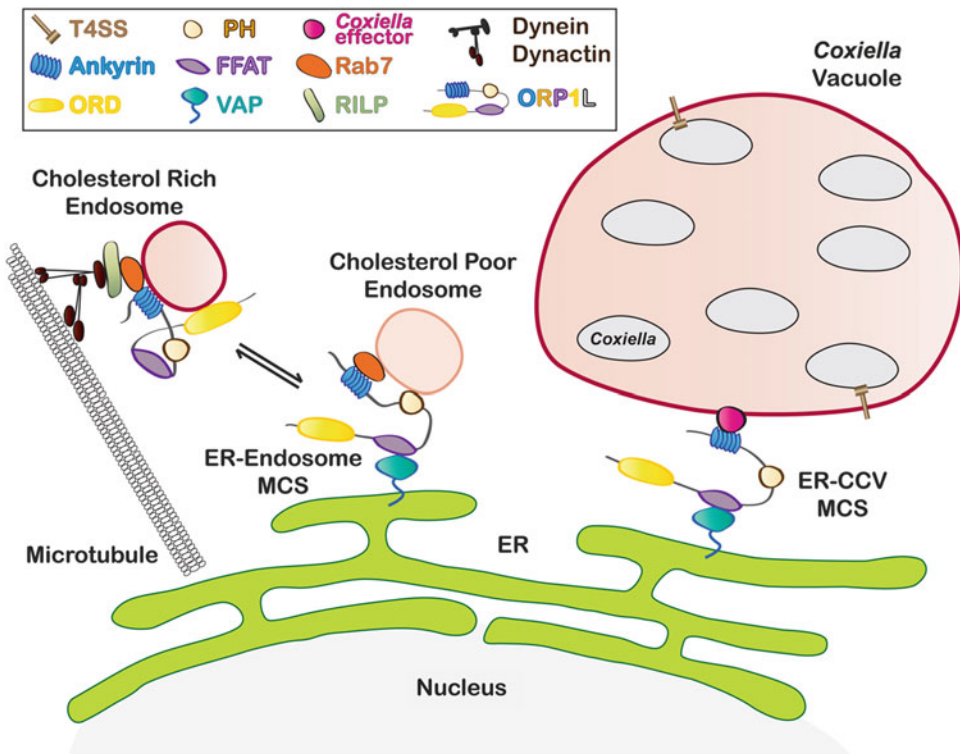
The CCV is highly fusogenic. The expansion of the CCV precedes bacterial replication and is driven by the bacteria and relies on the recruitment of cellular vesicles that fuse with the CCV (Howe et al. 2003). The *Coxiella* genome encodes a type IV secretion system (T4SS) related to *Legionella pneumophila* Dot/Icm system (Seshadri et al. 2003). *Coxiella* T4SS is essential for bacterial replication but not for survival within the lysosomal environment (Beare et al. 2011; Carey et al. 2011). In fact, *Coxiella* passively enters the cell through the endocytic pathway, and it is not until the CCV fuses with lysosomes that translocation of T4SS effector begins (Newton et al. 2013). The development of an axenic culture condition (Omsland et al. 2009) and of genetic tools to study *Coxiella* pathogenesis (Beare 2012; Beare and Heinzen 2014) has been instrumental in elucidating and linking the function of *Coxiella* T4SS effectors to cellular processes important in the remodeling of the CCV (reviewed by (Voth and Heinzen 2007; van Schaik et al. 2013; Moffatt et al. 2015)).

One hallmark of the CCV membrane is its high sterol content (Howe and Heinzen 2006). The importance of sterol during *Coxiella* infection is further illustrated by the increased

expression of genes involved in the uptake and synthesis of cholesterol in *Coxiella* infected cells and the reduced bacterial replication in cells treated with pharmacological inhibitors of cholesterol uptake and biosynthesis (Howe and Heinzen 2006).

Beside its role in maintaining cellular membrane integrity and fluidity, cholesterol is also involved in endosome positioning (Mukherjee and Maxfield 2004). Cholesterol-rich endosomes migrate toward the minus end of microtubules and fuse with lysosomes, whereas cholesterol-poor endosomes establish MCS with the ER (Fig. 16.3). This process is regulated through

conformational changes of the oxysterol-binding protein (OSBP)-related protein L1, ORP1L (Rocha et al. 2009). ORP1L is a multi-domain protein. It contains N-terminal ankyrin repeats that binds Rab7 (Johansson et al. 2005), a PH domain that binds phosphoinositides (Johansson et al. 2005), a FFAT motif that interacts with VAPA and VAPB (Loewen et al. 2003; Loewen and Levine 2005; Weber-Boyvat et al. 2015), and a C-terminal OSBP-related domain (ORD) that binds sterols (Im et al. 2005; Suchanek et al. 2007). ORP1L binds to cholesterol-rich endosomes via the ORD domain and the ankyrin repeats and adopts a conformation that allows the



**Fig. 16.3** *Coxiella* vacuole establishes MCS resembling ER-endosome MCS. The cholesterol content of endosomes dictates whether they travel along microtubules or form ER-endosome MCS. This phenomenon relies on conformational change of the sterol-binding protein ORP1L. ORP1L binds to cholesterol-rich endosomes via its ankyrin repeats and its ORD domain allowing for Rab7 to interact with RILP, which in turn interact with the motor dynein driving the minus-end movement of endosomes on microtubules. On cholesterol-poor endosome, ORP1L

adopts an open conformation that prevents the recruitment of RILP and dynein but instead allows for ORP1L interaction with the ER-resident VAP protein and the formation of ER-endosome MCS. In *Coxiella*-infected cells, ORP1L is found at ER-CCV MCS. The ankyrin repeats of ORP1L mediate its association with the sterol-rich membrane of the CCV, potentially by binding a *Coxiella* effector present in the CCV membrane. In addition, it was proposed that ORP1L associates with the ER through FFAT-mediated interaction with VAP



Rab7 effector RILP (Rab-interacting lysosomal protein) to interact with the motor dynein and the tethering factor HOPS (homotypic fusion and protein sorting) leading to endosome migration toward the minus end of microtubules (dynein dependent) and fusion with lysosomes (HOPS dependent). When the endosomes contain low level of cholesterol, the ankyrin repeats and the PH domain facilitate the association of ORP1L with the endosomal membrane. The ORD domain no longer interacts with the endosomal membrane modifying ORP1L conformation. This conformation prevents the interaction of RILP with dynein or the tethering factor HOPS and therefore endosome migration and fusion with lysosomes and instead favors ORP1L interaction with VAP at ER-endosome MCSs.

In *Coxiella*-infected cells, ORP1L localize to the CCV, but despite the high sterol content of the CCV, ORP1L association with the CCV does not require the ORD domain (Justis et al. 2016). Instead, the ankyrin repeats of ORP1L are necessary and sufficient for ORP1L binding to the CCV, suggesting that protein-protein interaction is involved. In addition, a functional T4SS is required for ORP1L recruitment to the CCV. Several T4SS effectors localize to the CCV membrane (Larson et al. 2013), and future work will determine if these effectors are directly involved in the recruitment of ORP1L to the CCV. Alternately, *Coxiella* T4SS effectors could play a role in ORP1L association with the CCV by modulating Rab7 activity. ORP1L associates with both the CCV membrane and the ER in a reticulate pattern that is lost when ORP1L FFAT motif is mutated (Justis et al. 2016). Together with the presence of ER tubules in close apposition of the CCV membrane, it is proposed that by binding to an unknown bacterial factor on the CCV membrane and to the VAP proteins in the ER, ORP1L is involved in the formation of ER-CCV MCS during *Coxiella* infection (Fig. 16.3). The role of ORP1L or ER-CCV MCS during *Coxiella* infection is still unclear. ORP1L depletion leads to smaller CCV suggesting a potential role in CCV expansion, and future studies will focus on a potential role in sterol transfer to the CCV (Justis et al. 2016).

## 16.5 Conclusion and Perspectives

The hijacking of components of cellular MCS with or without the formation of stable MCS is emerging as a new mechanism allowing intracellular bacterial pathogens to establish their replication niche.

The early stages of the *Legionella* life cycle illustrate how the bacteria is capable of connecting otherwise unrelated cellular pathways and machineries, namely, PI4KIII $\alpha$  and Sac1, two components of ER-PM MCS involved in PI4P homeostasis at the PM, and the early secretory pathway, to remodel the lipid composition of the nascent LCV and convert it into an ER-like compartment.

The vacuoles harboring *Chlamydia* or *Coxiella* establish direct MCS with the ER. *Chlamydia* creates MCS hybrid between ER-Golgi and ER-PM MCS, while *Coxiella* MCS resembles ER-endosome MCS. These two pathogens have in common the targeting of lipid-transfer proteins that contain a FFAT motif, CERT, and ORP1L, respectively, suggesting similar mechanisms of lipid acquisition that rely on the VAP proteins. It will be interesting to determine if any of the other lipid-transfer proteins that localize to various cellular MCS, especially the one harboring a FFAT motif (Prinz 2014), are also targeted by *Chlamydia* and/or *Coxiella*.

Another common theme among the manipulation of MCS by intracellular bacteria is the dependence on the secretion of bacterial effector proteins. As exemplified by the IncD/CERT interaction, one could envision that through molecular mimicry, these effectors allow the bacteria to redirect cellular components to their vacuole.

How MCS between the pathogen-containing vacuole and the ER are formed is still unclear. As shown for ER-mitochondria MCS (Kornmann 2013) or ER-PM (Giordano et al. 2013), there could be specific tethering factors of bacterial and/or host origin that bring and maintain the membranes in close apposition. Alternately, it is possible that the interaction between bacterial effectors and their interacting partners, such as

the IncD/CERT/VAP trio, is sufficient to maintain the contact between the two compartments. As for their function, although a common theme of lipid acquisition is emerging, elucidating the role of the ER calcium sensor STIM1 at ER-*Chlamydia* inclusion MCS may uncover additional role for these contacts.

Altogether, the link between MCS and intracellular bacterial pathogens has opened a new and exciting area of research to investigate the molecular mechanisms supporting pathogenesis. In their quest to better understand the formation and the function of MCS in the context of bacterial infection, bacteriologists will greatly benefit from years of research and discoveries made by cell biologists in the field of MCS. One can only hope that bacterial pathogens will give back by shedding light on unknown cellular processes and contribute to the expansion of our knowledge.

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# Alterations in Ca<sup>2+</sup> Signalling via ER-Mitochondria Contact Site Remodelling in Cancer

# 17

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## Abstract

Inter-organellar contact sites establish microdomains for localised Ca<sup>2+</sup>-signalling events. One of these microdomains is established between the ER and the mitochondria. Importantly, the so-called mitochondria-associated ER membranes (MAMs) contain, besides structural proteins and proteins involved in lipid exchange, several Ca<sup>2+</sup>-transport systems, mediating efficient Ca<sup>2+</sup> transfer from the ER to the mitochondria. These Ca<sup>2+</sup> signals critically control several mitochondrial functions, thereby impacting cell metabolism, cell death and survival, proliferation and migration. Hence, the MAMs have emerged as critical signalling hubs in physiology, while their dysregulation is an important factor that drives or at least contributes to oncogenesis and tumour progression. In this book chapter, we will provide an overview of the role of the MAMs in cell function and how alterations in the MAM composition contribute to oncogenic features and behaviours.

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## Keywords

Calcium signaling • Ca<sup>2+</sup>-transport systems • IP<sub>3</sub> receptors • Voltage-dependent anion channels • Chaperones • Cell death and survival • Mitochondrial bioenergetics • Autophagy • Mitochondria-associated ER membranes (MAMs) • Cancer

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## 17.1 Introduction

Eukaryotic cells are faced with many challenges to sustain life (Chen and Silver 2012). To tackle these challenges, nature has come up with cellular compartmentalisation resulting in different organelles including the nucleus, endoplasmic reticulum (ER), mitochondria, peroxisomes and Golgi apparatus (Diekmann and Pereira-Leal 2013). The ability of eukaryotic cells to restrict processes to a subcellular localisation brings about numerous advantages (Chen and Silver 2012). However, to ensure the smooth orchestration of cellular processes, communication between the organelles is critical.

One way of inter-organellar communication is  $\text{Ca}^{2+}$  signalling. The fact that  $\text{Ca}^{2+}$  is not homogeneously distributed throughout the cell makes it possible to use  $\text{Ca}^{2+}$  as a messenger (Clapham 2007).  $\text{Ca}^{2+}$  signals typically arise from the ER and the lysosomes (Berridge et al. 2000; Berridge et al. 2003). These  $\text{Ca}^{2+}$  signals impact the other organelles via microdomains established by membrane contact sites (La Rovere et al. 2016; Raffaello et al. 2016). Important target organelles of ER-derived  $\text{Ca}^{2+}$  signals are the mitochondria, which help to maintain  $\text{Ca}^{2+}$  homeostasis in the cell (Rizzuto et al. 2012).  $\text{Ca}^{2+}$  uptake in the mitochondria is driven by the negative mitochondrial membrane potential (Rizzuto et al. 2000). The outer mitochondrial membrane (OMM) is freely permeable to  $\text{Ca}^{2+}$  due to the presence of porins, like the type 1 voltage-gated anion channel (VDAC1) (Gincel et al. 2001; Rapizzi et al. 2002). While  $\text{Ca}^{2+}$  easily reaches the intermembrane space, a more intriguing problem was the entry of  $\text{Ca}^{2+}$  into the mitochondrial matrix. Initial research pointed to the existence of a mitochondrial uniporter, albeit one with low affinity for  $\text{Ca}^{2+}$  (Carafoli 2012; Marchi and Pinton 2014). However, the low affinity of this uniporter could not easily be matched to observations that  $\text{Ca}^{2+}$  was dynamically and efficiently exchanged between the cytosol and the mitochondria, considering that cytosolic  $[\text{Ca}^{2+}]$  is typically in the low-to-middle nanomolar

range (Rizzuto et al. 1998; Csordás et al. 1999; Carafoli 2012).

The mechanism underlying this seemingly paradoxical observation is the presence of close contacts between ER and mitochondria, which favour quasi-synaptic  $\text{Ca}^{2+}$  transfer from the ER to the mitochondria (Rizzuto et al. 1998; Csordás et al. 1999). These sites can be isolated biochemically as mitochondria-associated ER membranes (MAMs). MAMs are parts of the ER membrane that are in close proximity to the mitochondrial membrane and are tethered to it (Szabadkai et al. 2006; van Vliet et al. 2014). At the MAMs, the distance between the ER and mitochondria is believed to be approximately 10 to 25 nm (Rizzuto et al. 1998; Csordás et al. 2006; Marchi et al. 2014b), allowing proteins situated on the ER membrane and OMM to interact and enabling efficient  $\text{Ca}^{2+}$ -based communication between the ER and mitochondria (Decuypere et al. 2011; Rowland and Voeltz 2012; Marchi et al. 2014b). In this way, MAMs provide a microdomain in which the  $[\text{Ca}^{2+}]$  is several folds higher than in the bulk cytosol (estimated to be  $>10 \mu\text{M}$  at the ER-mitochondrial interface) (Csordás et al. 2010), alleviating the problem of the paradoxical low affinity of the mitochondrial  $\text{Ca}^{2+}$  uniporter (Rizzuto et al. 1993, 1998). Apart from  $\text{Ca}^{2+}$  homeostasis, MAMs are implicated in several processes critical to cell function, e.g. lipid transport, ER stress, apoptosis, autophagy, inflammation and anti-viral response (Vance 2014; van Vliet et al. 2014).

Due to their involvement in these specific functions, MAMs contain a select protein population. The inositol 1,4,5-trisphosphate ( $\text{IP}_3$ ) receptor ( $\text{IP}_3\text{R}$ ) and VDAC1 channels are present in this sub-organellar domain (Várnai et al. 2005; Szabadkai et al. 2006), underlying the prominent role of the MAMs in ER-mitochondrial  $\text{Ca}^{2+}$  signalling. Furthermore, proteins indirectly involved in ER-mitochondrial  $\text{Ca}^{2+}$  flux can be found in and around the MAMs as well. These include glucose-regulated protein 75 (GRP75) (Szabadkai et al. 2006), mitofusin-2 (Mfn2) (de Brito and Scorrano 2008), phosphofurin

acidic cluster sorting protein-2 (PACS-2) (Myhill et al. 2008), promyelocytic leukaemia protein (PML) (Pinton et al. 2011), sigma-1 receptor (Sig-1R) (Hayashi and Su 2007) and protein kinase RNA-like endoplasmic reticulum kinase (PERK) (Verfaillie et al. 2012), amongst others. Since Ca<sup>2+</sup> signalling fulfils an important role in several cell physiological processes and considering its dysregulation in pathophysiological conditions, the expression of these proteins is often altered, and their functional activity is converted to promote tumour growth, proliferation, migration, apoptosis resistance and changes in cellular metabolism (Urrea et al. 2016; Marchi and Pinton 2016). Furthermore, the MAMs harbour an increasing number of oncogenes and tumour suppressors that functionally impact ER-mitochondrial Ca<sup>2+</sup> transfer and oncogenic features (Marchi et al. 2014a; Giorgi et al. 2015b; Bittremieux et al. 2016).

In this chapter, we will discuss (1) the MAM components, including the Ca<sup>2+</sup>-transport systems, chaperones and structural proteins that are present, (2) how MAM components impact ER-mitochondrial Ca<sup>2+</sup> transfer and their structural organisation and (3) how alterations in the function of these MAM components drive oncogenesis and tumour progression. An overview of the MAM components to be discussed in this chapter can be found in Fig. 17.1.

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## 17.2 MAM Components

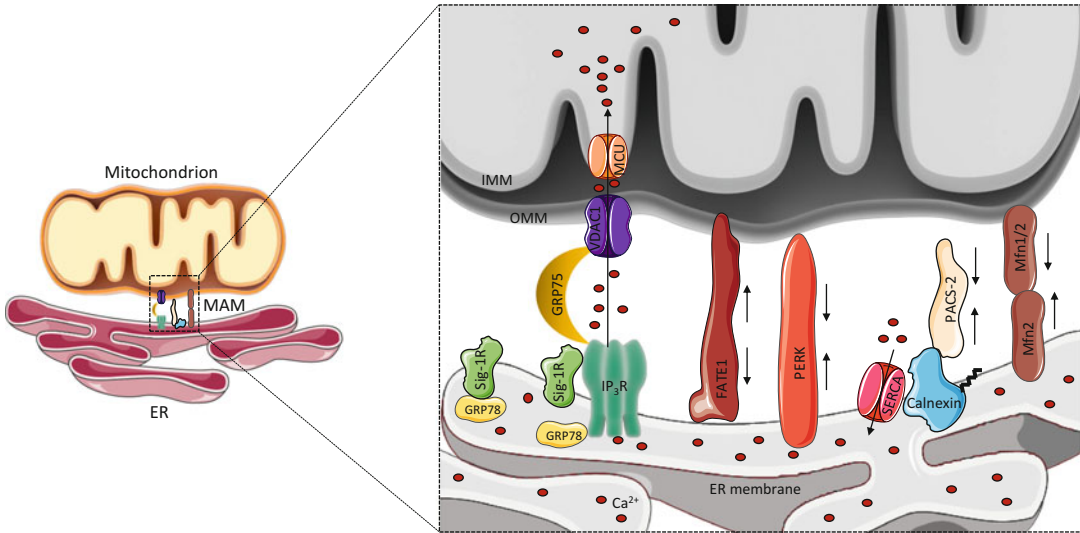
### 17.2.1 The Ca<sup>2+</sup>-Signalling Machinery at the MAMs

#### 17.2.1.1 IP<sub>3</sub>R

The IP<sub>3</sub>R is an intracellular Ca<sup>2+</sup>-release channel, present in the ER membrane (Ashby and Tepikin 2001; Choe and Ehrlich 2006). IP<sub>3</sub>R is opened by IP<sub>3</sub> (Foskett et al. 2007; Parys and De Smedt 2012; Fedorenko et al. 2014), a second messenger released into the cytosol after phosphatidylinositol 4,5-bisphosphate cleavage by phospholipase C. IP<sub>3</sub>R activity is tightly controlled by cytosolic [Ca<sup>2+</sup>] in a biphasic manner (Iino 1990; Finch et al. 1991; Bezprozvanny

et al. 1991; Parys et al. 1992). The Ca<sup>2+</sup>-flux properties of the IP<sub>3</sub>R are also regulated by other cellular factors, including ATP, regulatory proteins (Choe and Ehrlich 2006; Foskett et al. 2007; Parys and De Smedt 2012) and protein kinases and phosphatases (Vanderheyden et al. 2009). Structurally, the IP<sub>3</sub>R consists of three domains: an N-terminal ligand-binding domain, which is subdivided in a suppressor region and the IP<sub>3</sub>-binding core, a central modulatory domain and a pore-forming region in the C-terminal tail (Yoshikawa et al. 1999). The IP<sub>3</sub>R comes in three different isoforms (IP<sub>3</sub>R1, IP<sub>3</sub>R2 and IP<sub>3</sub>R3) which are encoded by different genes (*ITPR1*, *ITPR2* and *ITPR3*) and display 60–80% homology at the level of the amino acid sequence (Mikoshiba 2007; Foskett et al. 2007). Sensitivity towards their ligand IP<sub>3</sub> as well as regulation by Ca<sup>2+</sup>, ATP and phosphorylation appears to be isoform specific (Newton et al. 1994; Miyakawa et al. 1999; Tu et al. 2005; Khan et al. 2006; Betzenhauser et al. 2008; Wagner et al. 2008; Vervloessem et al. 2015).

A subset of IP<sub>3</sub>R is present at the MAMs, where it is responsible for ER-mitochondrial Ca<sup>2+</sup> flux (Várnai et al. 2005; Mendes et al. 2005). By varying the spatio-temporal pattern of Ca<sup>2+</sup> release from the ER, Ca<sup>2+</sup> signalling can convey messages, which are differentially decoded at the subcellular level (Berridge et al. 2000, 2003). For example, constitutive low-level IP<sub>3</sub>R-mediated ER-mitochondrial Ca<sup>2+</sup> transfer maintains mitochondrial bioenergetics through stimulation of mitochondrial respiration and ATP production (Cárdenas et al. 2010; Kaufman and Malhotra 2014), whereas excessive Ca<sup>2+</sup> release from the ER triggers apoptotic cell death due to mitochondrial Ca<sup>2+</sup> overload (Giorgi et al. 2012; Morciano et al. 2015). Hence, IP<sub>3</sub>R located at the MAMs play an important role in determining cell fate. Especially IP<sub>3</sub>R3 seems to be abundant at the MAMs, since it has been proposed that pro-apoptotic ER-mitochondrial Ca<sup>2+</sup> transfers preferentially occur via IP<sub>3</sub>R3 (Blackshaw et al. 2000; Mendes et al. 2005). However, also the other IP<sub>3</sub>R isoforms have been implicated in Ca<sup>2+</sup>-mediated cell death (Gutstein and Marks 1997;



**Fig. 17.1 MAM components playing a role in ER-mitochondrial  $\text{Ca}^{2+}$  signalling.** The MAMs harbour a specific protein population consisting of  $\text{Ca}^{2+}$ -transport proteins and chaperones, as well as of proteins that enable their structural organisation. The principal components of the ER-mitochondrial  $\text{Ca}^{2+}$  exchange at the MAMs are the  $\text{IP}_3\text{R}$  and  $\text{VDAC1}$ , which are physically coupled by the chaperone protein  $\text{GRP75}$ . When  $\text{Ca}^{2+}$  is released from the ER by the  $\text{IP}_3\text{R}$ , it freely permeates the OMM via  $\text{VDAC1}$ , to be transported to the mitochondrial matrix by the  $\text{MCU}$ , located in the IMM. The chaperone  $\text{Sig-1R}$  is able to modify  $\text{IP}_3\text{R}$ -mediated  $\text{Ca}^{2+}$  signalling.  $\text{Sig-1R}$  is held inactive by binding to  $\text{GRP78}$ , but under ER stress binding to  $\text{GRP78}$  is disrupted and  $\text{Sig-1R}$  interacts with the  $\text{IP}_3\text{R}$ , stabilising the  $\text{IP}_3\text{R}$  and enabling proficient  $\text{Ca}^{2+}$  signalling even under conditions of ER stress. The efficiency of  $\text{Ca}^{2+}$

exchange between ER and mitochondria is influenced by the presence and action of tethering proteins like  $\text{PERK}$  and  $\text{Mfn2}$  and anti-tethering proteins like  $\text{FATE1}$ . The functional effect of tethering and anti-tethering proteins at the MAMs is indicated by *arrows facing each other* or *arrows pointing in opposite directions*, respectively. Besides its function as a tethering protein,  $\text{PACS-2}$  also contributes to MAM organisation, while simultaneously having a role in the enrichment of the chaperone calnexin at the MAMs. Furthermore, calnexin is enriched at the MAMs by palmitoylation, a process that switches calnexin function from quality control/protein folding to ER  $\text{Ca}^{2+}$ -signalling control by enhancing  $\text{SERCA}$  activity. The interaction between calnexin and  $\text{SERCA2b}$  appears to be counteracted by the thiol reductase  $\text{TMX1}$  (not shown for clarity reasons), which inhibits  $\text{SERCA2b}$  activity (For more details, please see text)

Jayaraman and Marks 1997; Assefa et al. 2004; Li et al. 2009; Akl et al. 2013) and thus may reside in the MAMs in certain cell types or systems.

### 17.2.1.2 $\text{VDAC1}$

As described above,  $\text{Ca}^{2+}$  released by the  $\text{IP}_3\text{R}$  is able to cross the OMM through  $\text{VDAC1}$ , a weakly anion-selective channel that is  $\text{Ca}^{2+}$  permeable and which is enriched at the MAMs (Hajnóczky et al. 2002; Shoshan-Barmatz and Gincel 2003; Colombini 2012). Apart from its role in mitochondrial  $\text{Ca}^{2+}$  transport,  $\text{VDAC}$  allows for substrates of the electron transport chain, like malate, succinate and nicotinamide adenine dinucleotide, to access the mitochondria (Shoshan-Barmatz et al. 2010). Moreover,

$\text{VDAC}$ 's channel properties permit ATP, produced by oxidative phosphorylation, as well as other mitochondrial products like reactive oxygen species (ROS) to diffuse into the cytosol (Shoshan-Barmatz et al. 2010). Additionally,  $\text{VDAC1}$  oligomers have been implicated in the release of cytochrome *c* into the cytosol (Weisthal et al. 2014). As it were,  $\text{VDAC}$  functions as the channel that allows the mitochondria to communicate with their subcellular environment.

$\text{VDAC}$  is able to switch between an open and a closed state in a voltage-dependent manner. While the channel is stable in the open state at low voltages, high voltages cause  $\text{VDAC}$  to switch to the closed state (Hodge and Colombini



1997; Gincel et al. 2000). Interestingly, the open state shows a weak selectivity towards anions, as opposed to the closed state, which blocks the passage of large anionic molecules, while it has been proposed to be selective for cations (Gincel et al., 2000; Schein et al., 1976; Shoshan-Barmatz et al., 2010). At the structural level, the N-terminus of the protein, which forms an  $\alpha$ -helix, is important for its voltage-dependent gating (Abu-Hamad et al. 2009). Different mechanistic models have been proposed, albeit the exact mechanism has not been established yet (Shoshan-Barmatz et al. 2010), and this voltage-dependency has only been observed in vitro (Shoshan-Barmatz et al. 2010). Apart from voltage-dependent gating, the closed or open state of the channel is affected by modulators interacting with VDAC1. Examples include Bcl-XL (Vander Heiden et al. 2000, 2001), hexokinase (Azoulay-Zohar et al. 2004), tubulin (Rostovtseva et al. 2008), mitochondrial membrane lipids (Rostovtseva et al. 2006) and Ca<sup>2+</sup> (Báthori et al. 2006).

In mammals, there are three known isoforms of VDAC: VDAC1, VDAC2 and VDAC3, with VDAC1 being the isoform that is expressed at the highest level and consequently has been studied most extensively (Messina et al. 2012). Recombinant expression of VDAC1 enhanced the Ca<sup>2+</sup> transfer to the mitochondria (Rapizzi et al. 2002), yet this property seems not to be unique for VDAC1, as also the other isoforms display it (De Stefani et al. 2012). However, there is a unique role for VDAC1, but not for VDAC2 nor VDAC3, in conveying pro-apoptotic Ca<sup>2+</sup> signals to the mitochondria (De Stefani et al. 2012).

## 17.2.2 The Chaperones

### 17.2.2.1 GRP75

GRP75 is a chaperone protein belonging to the heat shock 70 kDa (HSP70) protein family (Wadhwa et al. 2002a). GRP75 has been found at different subcellular localisations, e.g. the cytosol, the mitochondria, the ER and the Golgi

apparatus (Wadhwa et al. 1995; Ran et al. 2000). Moreover, GRP75 is a pleiotropic protein. For example, GRP75 located in the mitochondrial matrix helps to import unfolded proteins into the matrix in an ATP-dependent manner in cooperation with Tim44 (Scherer et al. 1992; Kronidou et al. 1994; Schneider et al. 1996; Voos and Röttgers 2002). Furthermore, GRP75 is thought to play a role in endocytosis as well as exocytosis (Flachbartová and Kovacech 2013). At the MAMs, GRP75 plays an important role in Ca<sup>2+</sup> signalling, as it forms a physical link between the IP<sub>3</sub>R and VDAC1 (Szabadkai et al. 2006; Betz et al. 2013; Rieusset et al. 2016), thereby increasing the efficiency of ER-mitochondrial Ca<sup>2+</sup> signalling.

### 17.2.2.2 Sig-1R

Sig-1R is a chaperone, which was first mistakenly identified as an opioid receptor subtype (Su 1982; Hayashi and Su 2007; Tagashira et al. 2014). Sig-1R mainly resides in the ER, specifically at the MAMs, under resting conditions (Hayashi and Su 2007). There, Sig-1R is bound to an ER lumen chaperone, glucose-regulated protein 78 (GRP78, also known as BiP) (Hayashi and Su 2007). Upon ER stress, however, this association is broken, and Sig-1R gains its chaperone function, targeting its client proteins like the ER stress sensor inositol-requiring enzyme 1 (IRE1) and the IP<sub>3</sub>R, thereby regulating ER-mitochondrial Ca<sup>2+</sup> signalling (cfr. *infra*) (Hayashi and Su 2007; Mori et al. 2013). Interestingly, Shioda et al. (2012) reported the existence of a truncated splice form of Sig-1R, which did not bind to the IP<sub>3</sub>R. Overexpression of this splice variant decreased mitochondrial Ca<sup>2+</sup> uptake, while promoting IP<sub>3</sub>R degradation, as opposed to the non-truncated Sig-1R (Shioda et al. 2012). Furthermore, Sig-1R can undergo translocation to various subcellular localisations upon stimulation by agonists. These locations include the plasma membrane and the nuclear envelope (Su et al. 2010; Mavlyutov et al. 2015; Tsai et al. 2015; Chu and Ruoho 2016).

### 17.2.2.3 Calnexin

Calnexin is another ER chaperone that is enriched at the MAMs and this in a palmitoylation-dependent manner (Lynes et al. 2012). This chaperone interacts with glycoproteins that are monoglucosylated (Hebert et al. 1995). Functionally, calnexin improves efficiency of ER protein folding and helps retaining misfolded proteins in the ER (Lamriben et al. 2016). Furthermore, calnexin modifies  $\text{Ca}^{2+}$  signalling in the cell through its functional interaction with sarco/endoplasmic reticulum  $\text{Ca}^{2+}$ -ATPase 2b (SERCA2b) (Roderick et al. 2000). When the cytosolic domain of calnexin is phosphorylated, the interaction inhibits SERCA2b activity, diminishing intracellular  $\text{Ca}^{2+}$  oscillations (Roderick et al. 2000). In further work, it was shown that calnexin interaction with SERCA was critically dependent on calnexin palmitoylation and was impaired upon ER stress induction (Lynes et al. 2013). The binding of calnexin to SERCA2b appeared to promote its activity, given the higher ER  $\text{Ca}^{2+}$ -store content of cells overexpressing wild-type calnexin, but not a palmitoylation-deficient calnexin mutant (Lynes et al. 2013). Interestingly, the binding of calnexin to SERCA2b is influenced by the thioredoxin-related transmembrane protein (TMX1), which is also targeted to the MAMs through palmitoylation (Lynes et al. 2012), since knockout of TMX1 improved binding of calnexin to SERCA2b (Krols et al. 2016; Raturi et al. 2016). TMX1 and calnexin thus may target overlapping binding sites in SERCA2b. As such, the stimulatory effect of calnexin on SERCA activity may be partially related to its ability to reduce the binding of TMX1, which inhibits SERCA activity, to SERCA2b (Krols et al. 2016; Raturi et al. 2016).

## 17.2.3 Proteins Defining MAM Structure

### 17.2.3.1 Mfn2

Mfn2, a GTPase protein situated in the OMM, was first studied for its function as a mitochondrial fusion protein, together with its homologue Mfn1 (Ranieri et al. 2013). Interestingly, in 2008

it was found that Mfn2 is also located on the ER membrane and enriched at the MAMs, where it acts as a tether that links mitochondria to the ER and supports efficient  $\text{Ca}^{2+}$  signalling between the two organelles (de Brito and Scorrano 2008). This tethering function of Mfn2 is realised by the interaction of Mfn2 in the ER membrane with Mfn1 or Mfn2 localised in the OMM. Recently, however, a discussion has risen about the role of Mfn2 as a mitochondrial tether in the MAMs. Ultrastructural analyses as well as functional, biochemical and genetic approaches showed that Mfn2 antagonised ER-mitochondrial tethering (Cosson et al. 2012; Filadi et al. 2015), since ablation of Mfn2 resulted in an increased inter-organellar proximity. It was proposed that Mfn2 functions as an anti-tether that maintains a correct, non-toxic distance between both the ER and the mitochondria (Filadi et al. 2015). However, very recently, the role of Mfn2 as a *bona fide* ER-mitochondrial tether has been confirmed in a series of experiments aiming to critically reappraise its function (Naon et al. 2016).

### 17.2.3.2 PACS-2

PACS-2 is an ER-associated protein involved in retrograde ER-Golgi trafficking of multiple proteins (Youker et al. 2009). This sorting protein was initially studied for its role in mitochondrial network and MAM organisation (Simmen et al. 2005). PACS-2 regulates communication between the ER and the mitochondria by controlling contact sites between the two organelles (Simmen et al. 2005). In this way, PACS-2 mediates apoptosis (cfr. infra) and ER homeostasis, while promoting transfer of lipids between the ER and mitochondria (Simmen et al. 2005). Interestingly, PACS-2 can also assist in calnexin enrichment at the MAMs in concert with the coat protein complex COPI (Myhill et al. 2008). Also mechanistic target of rapamycin complex 2 (mTORC2) can be found at the MAMs where it regulates MAM integrity via PACS-2 phosphorylation in a protein kinase B (PKB/Akt)-dependent way (Betz et al. 2013).

### 17.2.3.3 PERK

PERK, a kinase protein located in the ER membrane, functions as an ER stress sensor that controls the unfolded protein response (UPR), alongside IRE1 and activating transcription factor 6 (ATF6) (Sano and Reed 2013). The main effect of the UPR on the cell is the diminishment of mRNA translation to avoid further accumulation of improperly folded proteins, while at the same time, the transcription of chaperones is stimulated (Sano and Reed 2013). Furthermore, retrograde transport of unfolded proteins to the cytosol takes place, where they undergo ubiquitination and subsequent degradation (Sano and Reed 2013). PERK is mainly responsible for the halt of translation by virtue of phosphorylating eukaryotic translation initiation factor 2 (eIF2 $\alpha$ ), a protein needed for correct mRNA translation (Sano and Reed 2013). The phosphorylation disrupts recycling of eIF2 $\alpha$  from its GTP-free form to its GTP-bound form (Sano and Reed 2013). Furthermore, eIF2 $\alpha$  phosphorylation is responsible for the preferential translation of UPR-involved genes. In addition, PERK phosphorylates nuclear erythroid 2 p45-related factor 2 (Nrf2) inducing the expression of antioxidant genes to alleviate oxidative stress (Cullinan and Diehl 2004). When ER stress continues for a longer period, the cell will brace itself for apoptosis. In this process, PERK contributes by promoting the transcription of CCAAT-enhancer-binding protein homologous protein, a pro-apoptotic transcription factor (Oyadomari and Mori 2004; Sano and Reed 2013). Moreover, PERK was shown to be involved in autophagy regulation via ATF4-dependent transcription of autophagy-related (ATG) genes (Harding et al. 2000).

Additionally, PERK also serves as an ER-mitochondria tether at the MAMs, thereby facilitating the propagation of ROS signals between these two organelles (Verfaillie et al. 2012). Hence, PERK-knockout cells displayed significantly weaker ER-mitochondria contact

sites, counteracting ROS-triggered apoptosis. This function of PERK to maintain ER-mitochondria juxtapositions was independent of its kinase activity but required its cytoplasmic domains (Verfaillie et al. 2012).

### 17.2.3.4 Foetal and Adult Testis Expressed 1 (FATE1)

FATE1 is a protein that belongs to the group of cancer-testis antigens (CTAs) (Dong et al. 2003), which is a heterogeneous group of proteins with limited expression in normal testis tissue. However, in several types of cancer, these CTAs are upregulated (Simpson et al. 2005; Whitehurst 2014). Recently, FATE1 was found to reside at the MAMs where it regulates ER-mitochondrial distance and ER-mitochondrial Ca<sup>2+</sup> flux (cf. infra) (Doghman-Bouguerra et al. 2016). Remarkably, silencing FATE1 led to an increased sensitivity towards paclitaxel, a chemotherapeutic drug, in non-small cell lung cancer cell lines (Whitehurst et al. 2007).

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## 17.3 MAMs in Cancer

All types of cancer share certain stereotypical traits, called the hallmarks of cancer (Hanahan and Weinberg 2011; Giampazolias and Tait 2016). These features, acquired gradually during the development of tumours, include sustaining proliferative signalling, resisting cell death, activating invasion and metastasis, inducing angiogenesis and rewiring metabolism (Hanahan and Weinberg 2011; Giampazolias and Tait 2016). Importantly, MAMs and mitochondria play key roles in many cellular processes such as cell death, cell migration and energy production (Giampazolias and Tait 2016). Therefore, functioning of these cellular compartments is frequently altered and affected during acquisition of the hallmarks of cancer. In this section, we discuss the role of the proteins listed above in the various hallmarks of cancer.

## 17.3.1 Tumour Growth, Proliferation and Metastasis

### 17.3.1.1 The IP<sub>3</sub>R

Since Ca<sup>2+</sup> signalling controls a plethora of cellular functions that relate to cancer hallmarks, IP<sub>3</sub>Rs have emerged as important regulators of tumour biology. A striking example of the importance of the receptor's function is the observation that lack of IP<sub>3</sub>R-mediated Ca<sup>2+</sup> signalling in thymocytes causes the development of malignancies in mice, resembling T-cell acute lymphoblastic leukaemia (Ouyang et al. 2014). Furthermore, there is a growing body of evidence that suggests IP<sub>3</sub>R-mediated Ca<sup>2+</sup> release plays a role in cancer cell migration (Wei et al. 2009, 2012; Huang et al. 2016). Also, migrating fibroblasts displayed cytosolic Ca<sup>2+</sup> flickers mediated in part by IP<sub>3</sub>R2 (Wei et al. 2009, 2012). Furthermore, IP<sub>3</sub>R3 is overexpressed in glioblastoma cells, whereas reducing its expression via siRNA attenuated migration via inhibition of cytosolic Ca<sup>2+</sup> signalling (Kang et al. 2010). Recently, it was also found that overexpression of ER protein 44, which negatively regulates Ca<sup>2+</sup> release, prevented migration of A549 cells by suppressing IP<sub>3</sub>R2-dependent Ca<sup>2+</sup> release (Huang et al. 2016). Moreover, the IP<sub>3</sub>R was shown to play a role in MCF-7 cell growth, since growth inhibition occurred upon blockage of the IP<sub>3</sub>R (Szatkowski et al. 2010). Interestingly, 17-β-estradiol, which induces cell proliferation in MCF-7 cells, may do so by elevating IP<sub>3</sub>R3 levels (Szatkowski et al. 2010). In the same cell line, a molecular and functional coupling between IP<sub>3</sub>R3 and large-conductance Ca<sup>2+</sup>- and voltage-dependent K<sup>+</sup> (BK<sub>Ca</sub>) channels was responsible for ATP-induced proliferation in a cyclin-D1/cyclin-dependent kinase 4-dependent mechanism (Mound et al. 2013). Ablation of IP<sub>3</sub>R3 or BK<sub>Ca</sub> resulted in attenuated proliferation (Mound et al. 2013). Interestingly, the IP<sub>3</sub>R is also implied in senescence (Wiel et al. 2014), protecting cells from tumour onset and progression (Ben-Porath and Weinberg 2004; Collado and Serrano 2010; Kang et al. 2011). It was shown that loss of IP<sub>3</sub>R2 allowed cells to avoid

oncogene-induced senescence (Wiel et al. 2014). This was also the case for the mitochondrial Ca<sup>2+</sup> uniporter. This points to mitochondrial Ca<sup>2+</sup> accumulation playing an important role in senescence through lowering the mitochondrial membrane potential and ROS (Wiel et al. 2014).

### 17.3.1.2 VDAC1

The expression levels of VDAC1 are correlated with tumour growth in different types of cancer. Zhang et al. showed that a decrease in miRNA-320a allowed for a high VDAC1 expression in non-small cell lung cancer cells and that this was correlated with the initiation and progression of cancer (Zhang et al. 2016b). Furthermore, cervical cancer tissues positive for VDAC1 showed an increased tumour size and deep stromal invasion compared to tissues negative for VDAC1 (Wu et al., 2016a). In the same study, VDAC1 knockdown inhibited cell proliferation and migration (Wu et al. 2016a), which was also shown in human papilloma virus-related cervical cancers (Zhang et al. 2016a). This evidence suggests that VDAC1 promotes tumour survival and invasion. Interestingly, knockout of VDAC1 in MEF cells increased proliferation rates under hypoxic conditions through activation of the extracellular signal-regulated protein kinase (ERK) 1/2 pathway (Brahimi-Horn et al. 2015).

Moreover, VDAC1's role in Ca<sup>2+</sup> signalling has been linked to cell migration. Myeloid cell leukaemia sequence 1 (Mcl-1), an anti-apoptotic protein from the B-cell lymphoma 2 (Bcl-2) protein family, is able to bind VDAC1 with high affinity, thereby seemingly promoting mitochondrial Ca<sup>2+</sup> uptake. Mcl-1 binding to VDAC1 promoted cell migration without affecting cell proliferation. The pro-migration effect of Mcl-1 could be antagonised by VDAC-based peptides that interfere with VDAC1/Mcl-1-complex formation (Huang et al. 2014). Also other anti-apoptotic Bcl-2 proteins, including Bcl-XL, inhibit VDAC1-mediated Ca<sup>2+</sup> uptake in the mitochondria (Arbel et al. 2012; Monaco et al. 2015; Vervliet et al. 2016). The mechanism involved Bcl-XL's Bcl-2 homology 4 (BH4) domain and VDAC1's N-terminus (Monaco et al. 2015). The inhibitory impact of Bcl-2

proteins on VDAC1-mediated Ca<sup>2+</sup> uptake in the mitochondria is consistent with the original papers that describe Bcl-2 proteins as negative regulators of VDAC1-mediated apoptosis (Shimizu et al. 1999, 2000).

### 17.3.1.3 The Chaperones

A study by Vilner et al. (1995) demonstrated that Sig-1R was overexpressed in a large range of cancer cell lines, both human and rodent. Later studies added that there might be a link between Sig-1R overexpression and metastasis. This was proposed by Aydar et al. since the highest expression levels were found in metastatic cell lines (Aydar et al. 2006). Sig-1R mRNA levels were also found to be higher in invasive breast cancer tissue derived from patients, compared to normal breast tissue (Wang et al. 2004); Sig-1R mRNA was overexpressed in colorectal cancer and colorectal cancer liver metastases (Skrzycki and Czczot 2013), and overexpression of Sig-1R in hilar cholangiocarcinoma was linked to poor differentiation, lymph node metastasis and advanced disease stage (Xu et al. 2014).

Concerning the molecular mechanisms that are possibly involved, the ability of Sig-1R to interact with several ion channels seems to be important for various oncogenic features (Crottès et al. 2013). In K562 myeloid leukaemia cells, the link between Sig-1R and expression of the potassium ion channel human ether-à-go-g-related gene (hERG), which controls several processes like migration and adhesion (Pillozzi et al. 2007, 2011), was investigated (Crottès et al. 2011). It was observed that Sig-1R is important for hERG maturation by improving maturation efficiency and stabilisation of the  $\alpha$ -subunit (Crottès et al. 2011). Other ion channels that interact with Sig-1R and that play a role in cancer include L-type voltage-gated Ca<sup>2+</sup> channels, voltage-gated Na<sup>+</sup> channels and Ca<sup>2+</sup>-activated K<sup>+</sup> channels (for extensive review, see Crottès et al. 2013). However, the interaction of Sig-1R with these ion channels is not necessarily related to its role at the MAMs.

Not only by directly interacting with other proteins, but also indirectly, Sig-1R is able to modify properties of ion channels: Palmer et al.

(2007) found that Sig-1R can bind cholesterol and stabilise lipid rafts via the insertion of cholesterol. In turn, the cholesterol level of lipid rafts can impact the signalling molecules present in these domains (Gniadecki 2004; Palmer et al. 2007), thereby altering the activity of ion channels nearby.

In addition, GRP75 overexpression is correlated with tumour growth and invasion (Kaul et al. 1998; Yi et al. 2008; Jin et al. 2016). Also, in K562 cells high expression levels of GRP75, as well as other chaperone proteins, coincided with resistance towards the proteasome inhibitor bortezomib (Kliková et al. 2015), and inhibition of GRP75 reduced cisplatin resistance in ovarian cancer (Yang et al. 2013).

Also calnexin, as a chaperone, may play a role in tumoural growth in response to growth factors. Lakkaraju and van der Goot (2013) found that in squamous carcinoma cells, caspase-8-mediated cleavage of calnexin occurs upon stimulation of the cells with epidermal growth factor. This yields a calnexin fragment that inhibits protein inhibitor of activated STAT3 (PIAS3), an inhibitor of signal transducer and activator of transcription 3 (STAT3), which functions as an oncogenic transcription factor (Lakkaraju and van der Goot 2013). This, in turn, promotes STAT3-dependent transcription and possibly tumour growth (Lakkaraju and van der Goot 2013).

### 17.3.1.4 Proteins Defining MAM Structure

The importance of Mfn2 for cell proliferation is suggested by findings in vascular smooth muscle cells: overexpression of Mfn2 in cultured vascular smooth muscle cells inhibited proliferation by blocking the mitogen-activated protein kinase (MAPK)/ERK signalling pathway (Chen et al. 2004). This mechanism was found to be independent of its role in mitochondrial fusion (Chen et al. 2004; Guo et al. 2007). In concert with observations of Mfn2 acting in an anti-proliferative way, Zhang et al. (2013) showed that Mfn2 expression was lower in gastric tumours than in normal mucosal tissue and that expression levels were negatively correlated with tumour size, while Wu et al. (2016b) observed that poor



overall survival in hepatocellular carcinoma patients correlated with low Mfn2 expression levels. Also in primary breast cancer, a loss of Mfn2 was detected (Kannan et al. 2016). Strikingly, knockdown of TMX1 in HeLa and A375P melanoma cells generates a similar phenotype as low-level Mfn2 expression (Raturi et al. 2016). This includes increased SERCA activity and altered MAM structure (Raturi et al. 2016). In the case of TMX1, Raturi et al. propose that the stimulatory effect on tumour growth upon TMX1 knockdown is due to an elevated  $\text{Ca}^{2+}$  retention capacity at the ER combined with an increased ER-mitochondrial distance (Raturi et al. 2016). This, in turn, leads to reduced ER-mitochondrial  $\text{Ca}^{2+}$  flux and impairment of mitochondrial metabolism, possibly contributing to the Warburg effect (cfr. infra) (Raturi et al. 2016).

Interestingly, another study revealed that Mfn2 deficiency decreased proliferation by blocking autophagy in HeLa cells (Ding et al. 2015). Similarly, A549 human lung adenocarcinoma cells showed disturbed cell proliferation and invasion upon Mfn2 knockdown (Lou et al. 2015). A recent bioinformatics study conducted on the same cell line revealed that Mfn2 knockdown resulted in repression of genes implicated in cell-cycle progression as well as DNA replication and MAPK signalling pathway (Lou et al. 2016). These opposing data suggest that Mfn2's role in cancer is highly context dependent. It may however also relate to a critical window for proper ER-mitochondria distance, in which too close apposition results in excessive apoptosis sensitivity, whereas too far apposition results in defective energetic and metabolic features, as discussed elsewhere (Naon and Scorrano 2014).

PERK, the ER stress sensor, also fulfils this double-edged function in relation to tumour growth. For example, PERK activity has been linked to cell-cycle arrest (Brewer and Diehl 2000; Hamanaka et al. 2005). Since PERK is involved in a general slowdown of the translation process, several proteins are impacted including the drivers of the cell cycle, which comprise the cyclins (Brewer and Diehl 2000; Hamanaka et al. 2005). In this case, cyclin D1's expression is

severely altered due to its short half-life (Brewer and Diehl 2000; Hamanaka et al. 2005). This brings about a redistribution of p21, which blocks cyclin-dependent kinase 2, resulting in cell-cycle arrest in the G1 phase (Brewer and Diehl 2000; Hamanaka et al. 2005).

In addition, PERK causes an increase in p53 through decreased E3 ubiquitin ligase human double minute 2 (Hdm2)-dependent removal, promoting apoptosis when a certain threshold is reached (Li et al. 2006; Zhang et al. 2006). Another feature of this p53 accumulation is the induction of p21 and subsequent cell-cycle arrest, as stated above (Ono et al. 1997). Furthermore, PERK is involved in the upregulation of p47, an N-terminal truncated analogue of p53, which mediates cell-cycle arrest in the G2 phase (Bourougaa et al. 2010). Conversely, PERK has been proposed to improve the degradation of p53 in a glycogen synthase kinase 3  $\beta$  (GSK3 $\beta$ )-dependent manner (Qu et al. 2004; Pluquet et al. 2005).

Also, PERK and its downstream signalling axis have been implicated in metastasis of several cancers, e.g. cervix cancer, breast cancer and head and neck squamous cell carcinoma (Nagelkerke et al. 2013, 2015; Mujcic et al. 2013). Epithelia to mesenchymal transition, which is an indication of the level of invasiveness, is also correlated with PERK signalling, which is underpinned by observations in primary breast cancer, colon cancer, gastric cancer and lung cancer (Feng et al. 2014). Furthermore, the human epidermal growth factor receptor 2 (HER2)/Neu protein is able to induce PERK activity, which allows for redox homeostasis via Nrf2 (Bobrovnikova-Marjon et al. 2010). Subsequently, loss of PERK in HER2/Neu-dependent mammary adenocarcinoma was responsible for growth attenuation and decreased metastasis (Bobrovnikova-Marjon et al. 2010).

About the role of PACS-2 in tumour progression, not much is known. However, PACS-2 was shown to be a regulator of ADAM17, a metalloproteinase that is involved in epithelial development, growth and tumour progression (Dombernowsky et al. 2015). More specifically, loss of PACS-2 diminished ADAM17 levels at

the cell surface due to increased degradation (Dombernowsky et al. 2015).

### 17.3.2 Apoptosis

Another major hallmark of cancer cells is their ability to evade apoptosis (Hanahan and Weinberg 2011). As briefly indicated before, Ca<sup>2+</sup> signalling is able to regulate the apoptotic process. While Ca<sup>2+</sup> oscillations were found to be pro-survival signals due to stimulation of critical enzymes of the tricarboxylic acid cycle (TCA), high-amplitude Ca<sup>2+</sup> signals that last for a longer time can cause apoptosis through mitochondrial Ca<sup>2+</sup> overload (Hajnóczky et al. 1995; Orrenius et al. 2003; Joseph and Hajnóczky 2007; Roderick and Cook 2008; Denton 2009). This, in turn, causes the opening of the mitochondrial permeability transition pore, mitochondrial swelling and eventually the release of pro-apoptotic factors like cytochrome c in the cytosol (Halestrap 2014; Morciano et al. 2015; Jonas et al. 2015). In order to prevent Ca<sup>2+</sup>-induced apoptosis and/or to promote Ca<sup>2+</sup>-dependent bioenergetics, cells may rewire their Ca<sup>2+</sup>-signalling toolkit (Capiod et al. 2007; Chen et al. 2013; Stewart et al. 2015).

#### 17.3.2.1 The IP<sub>3</sub>R

The IP<sub>3</sub>R exerts a central role in ER-mitochondrial Ca<sup>2+</sup> signalling, making it prone to the electrical rewiring of the cancer cell. IP<sub>3</sub>R expression levels are altered in various cancers, supporting the critical role of the IP<sub>3</sub>R in Ca<sup>2+</sup> signalling from the ER. For instance, hormone-refractory prostate tumour cells showed increased levels of IP<sub>3</sub>R1 (Boutin et al. 2015). This is thought to increase Ca<sup>2+</sup> leakage from the ER, so that less Ca<sup>2+</sup> is available for the induction of apoptosis by mitochondrial Ca<sup>2+</sup> overload (Boutin et al. 2015). Interestingly, bladder cancer cells evade cell death by doing the opposite: treatment with cisplatin diminished IP<sub>3</sub>R1 levels, provoking cisplatin resistance (Tsunoda et al. 2005). By lowering IP<sub>3</sub>R expression levels, cancer cells prevent the event of toxic mitochondrial Ca<sup>2+</sup> overload (Prevarskaya et al.

2014). Furthermore, some diffuse large B-cell lymphomas (DLBCLs) express high levels of IP<sub>3</sub>R2 (Akl et al. 2013). The reason for this IP<sub>3</sub>R2 elevation remains elusive, but one hypothesis is that in metabolically stressed cancer cells, low levels of ATP, a positive regulator of the IP<sub>3</sub>R, are insufficient to provide the basal Ca<sup>2+</sup> signalling needed to fuel mitochondrial bioenergetics (Akl et al. 2013; Akl et al. 2014). Hence, by upregulation of the IP<sub>3</sub>R2, which is the IP<sub>3</sub>R isoform most sensitive to IP<sub>3</sub>, these cancer cells are able to survive (Akl et al. 2013, 2014).

In cancer cells, the process of apoptosis is not only influenced by modifying the expression levels of the IP<sub>3</sub>R, but also by altering its Ca<sup>2+</sup>-release properties. For instance, phosphorylation of the IP<sub>3</sub>R dramatically changes its function (Vanderheyden et al. 2009). PKB/Akt is a serine-threonine kinase that phosphorylates the IP<sub>3</sub>R C terminally via a substrate motive that is conserved in all isoforms (Khan et al. 2006). While ER Ca<sup>2+</sup> levels remain unaffected in HeLa cells overexpressing PKB/Akt, IP<sub>3</sub>R-dependent ER Ca<sup>2+</sup> release was shown to be negatively affected (Szado et al. 2008; Marchi et al. 2008). Again, this mechanism may protect against mitochondrial Ca<sup>2+</sup> overload and subsequent apoptosis (Marchi et al. 2008). Furthermore, it was shown that the protective effect of PKB/Akt overexpression was isoform specific: in COS7 cells, almost completely lacking IP<sub>3</sub>R1, PKB/Akt activation led to a decreased IP<sub>3</sub>-induced Ca<sup>2+</sup> release and conferred a protective effect against apoptosis (Marchi et al. 2012). However, in SH-SY 5Y cells, lacking IP<sub>3</sub>R3, ER Ca<sup>2+</sup> release was not modified, while expressing the type 3 isoform in these cells restored the protective effect (Marchi et al. 2012). This suggests that the anti-apoptotic effect of PKB/Akt is mediated in an IP<sub>3</sub>R3-dependent way (Marchi et al. 2012). The effect of PKB/Akt-mediated phosphorylation is thought to be directly counteracted by phosphatase and tensin homolog (PTEN), which also localises at the MAMs and dephosphorylates the IP<sub>3</sub>R, thereby increasing again the IP<sub>3</sub>R-mediated Ca<sup>2+</sup> release (Bononi et al. 2013).



A recent study showed that extra-nuclear PML contributes to protection against  $\text{Ca}^{2+}$ -mediated apoptotic cell death via interaction with the  $\text{IP}_3\text{R}$  (Giorgi et al. 2010). PML located at the MAMs physically interacts with the  $\text{IP}_3\text{R}$ . In cells expressing ER-targeted PML, apoptotic stimuli induced a higher cytosolic and mitochondrial  $\text{Ca}^{2+}$  response (Giorgi et al. 2010). Furthermore, it was revealed that PML-expressing cells displayed lower levels of phosphorylated  $\text{IP}_3\text{R}$  and phosphorylated, active PKB/Akt and higher levels of the phosphatase 2A (PP2A) compared to PML-negative cells (Giorgi et al. 2010). PML stimulates pro-apoptotic  $\text{Ca}^{2+}$  signalling at the MAMs by recruiting PP2A to  $\text{IP}_3\text{R}$ -PKB/Akt complexes (Giorgi et al. 2010), resulting in a suppressed PKB/Akt-mediated  $\text{IP}_3\text{R}$  phosphorylation, since PP2A negatively regulates the activity of PKB/Akt at the ER (Pinton et al. 2011; Bittremieux et al. 2016).

$\text{IP}_3\text{R}$  function can also be stimulated by phosphorylation (Gomez et al. 2016). During reperfusion injury of the heart, a fraction of the protein kinase GSK3 $\beta$  is localised at the sarco/endoplasmic reticulum (SR/ER) and the MAMs. There, it interacts with the  $\text{IP}_3\text{R}$   $\text{Ca}^{2+}$ -channelling complex, regulating its protein composition and modulating  $\text{Ca}^{2+}$  transfer between the SR/ER and mitochondria. During hypoxia reoxygenation, GSK3 $\beta$  activity is augmented, resulting in increased  $\text{IP}_3\text{R}$  phosphorylation and  $\text{IP}_3\text{R}$  hyperactivity. Consequently, increased  $\text{IP}_3\text{R}$ -mediated SR/ER-mitochondria  $\text{Ca}^{2+}$  transfer leads to cardiomyocyte cell death. Therefore, inhibition of GSK3 $\beta$  may protect the heart from lethal reperfusion injury by cellular  $\text{Ca}^{2+}$  overload (Gomez et al. 2016).

The critical role of  $\text{IP}_3\text{R}$  underlying cellular apoptosis sensitivity has also been confirmed independently in two isogenic cell lines, one of which expresses oncogenic K-Ras and one in which this oncogenic allele was deleted via homologous recombination (Pierro et al. 2014). The presence of oncogenic K-Ras caused a lowering in the ER  $\text{Ca}^{2+}$ -store content, thereby decreasing the likelihood of pro-apoptotic  $\text{Ca}^{2+}$  transfer and thus decreasing apoptotic sensitivity (Pierro et al. 2014). This was due to the increased

expression level of  $\text{IP}_3\text{R}$  relative to that of  $\text{IP}_3\text{R}$  in the cell line expressing oncogenic K-Ras, augmenting basal  $\text{Ca}^{2+}$  leak via  $\text{IP}_3\text{R}$  and suppressing pro-apoptotic  $\text{Ca}^{2+}$  transfer into the mitochondria via the  $\text{IP}_3\text{R}$  (Pierro et al. 2014).

Apart from phosphorylation and expression regulation,  $\text{IP}_3\text{R}$ -mediated  $\text{Ca}^{2+}$  release can be modulated directly by the binding of an increasing number of oncogenes and tumour suppressors (Akl and Bultynck 2013; Bittremieux et al. 2016). Notably, several members of the Bcl-2-protein family are known to interact with the  $\text{IP}_3\text{R}$ . First, there is the anti-apoptotic protein Bcl-2 itself, whereas its canonical function comprises the sequestration of pro-apoptotic Bcl-2-protein family members like Bik and Bid via its BH3 domain (Youle and Strasser 2008; Czabotar et al. 2014) evidence was found that Bcl-2 interacts via its BH4 domain with 20 amino acids in the central, modulatory part of the  $\text{IP}_3\text{R}$  (Rong et al. 2008, 2009; Monaco et al. 2012). This interaction protects cells against  $\text{Ca}^{2+}$ -mediated apoptotic cell death (Hanson et al. 2008; Rong et al. 2008). The importance of Bcl-2's complex formation with the  $\text{IP}_3\text{R}$  became clear in Bcl-2-dependent chronic lymphocytic leukaemia and in DLBCL cell lines (Zhong et al. 2011; Akl et al. 2013). Some subtypes of DLBCL display high levels of  $\text{IP}_3\text{R}$ , the most sensitive isoform with respect to  $\text{IP}_3$  (Akl et al. 2013). Therefore, Bcl-2 overexpression is needed in these cells to avoid  $\text{Ca}^{2+}$ -induced apoptosis triggered by the high expression levels of  $\text{IP}_3\text{R}$ , making these cells balancing on the edge of apoptosis, a state that was coined "primed-for-death at the ER" (Akl et al. 2013; Akl et al. 2014). Following this concept, TAT-IDP, a peptide mimicking the  $\text{IP}_3\text{R}$ -binding site for Bcl-2, and its derivative BIRD-2, induced apoptosis by disrupting  $\text{IP}_3\text{R}$ /Bcl-2 interaction and eliciting spontaneous toxic  $\text{Ca}^{2+}$  signalling (Zhong et al. 2011; Akl et al. 2013; Akl et al. 2015; Lavik et al. 2015; Greenberg et al. 2015).

Apart from Bcl-2, the closely related anti-apoptotic Bcl-XL protein is also able to interact with the  $\text{IP}_3\text{R}$ , albeit not via its BH4 domain

(White et al. 2005; Monaco et al. 2012). Bcl-XL, through its hydrophobic cleft, binds the IP<sub>3</sub>R at its C-terminal region (Eckenrode et al. 2010) by targeting two BH3-domain-like sequences (Yang et al. 2016). The binding between Bcl-XL and the IP<sub>3</sub>R results in a reduction in ER [Ca<sup>2+</sup>], increased Ca<sup>2+</sup> oscillations in the cytosol and protection against apoptosis by sensitising the IP<sub>3</sub>R to low basal levels of IP<sub>3</sub> (Li et al. 2007).

Bcl-XL does not only alter Ca<sup>2+</sup> signalling in the cell via direct modulation of the IP<sub>3</sub>R, but also via a nuclear factor of activated T-cells (NFAT)-dependent pathway, which modifies IP<sub>3</sub>R expression levels (Li et al. 2007). Furthermore, Mcl-1, another Bcl-2-protein family member, was shown to interact directly with the IP<sub>3</sub>R via the last transmembrane domain in its C-terminal tail (Eckenrode et al. 2010). Like Bcl-XL, Mcl-1 was found to sensitise the IP<sub>3</sub>R, thereby increasing the frequency of Ca<sup>2+</sup> oscillations in the cell and the number of oscillating cells (Eckenrode et al. 2010). Figure 17.2 displays the proteins that regulate ER-mitochondrial Ca<sup>2+</sup> signalling via the IP<sub>3</sub>R and VDAC1. For reasons of clarity, a distinction was made between the regulation of pro-survival and pro-apoptotic Ca<sup>2+</sup> signalling.

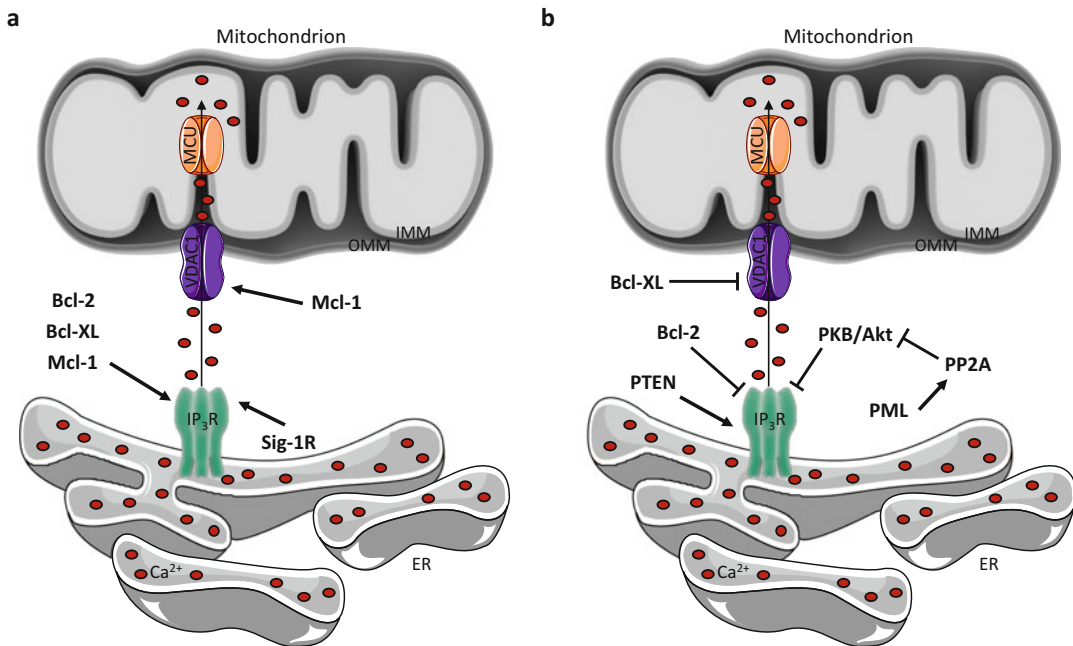
Finally, inhibition of Bcl-2-family members has emerged as an attractive anticancer strategy, particularly by preventing the complex formation between the anti- and pro-apoptotic Bcl-2-family members (Davids and Letai 2012). However, the function of anti-apoptotic Bcl-2-family members appears to be involved in ER-mitochondrial contact sites as well. Indeed, recent studies showed that targeting the hydrophobic cleft using BH3 mimetics like ABT-737, a non-selective Bcl-2/Bcl-XL inhibitor, enhance anticancer treatments by increasing ER-mitochondria contact sites and stimulating ER-mitochondrial Ca<sup>2+</sup> transfer (Fan et al. 2015; Xie et al. 2016). As such, ABT-737 could restore the sensitivity of cisplatin-resistant ovarian cancer cells to cisplatin treatment. This correlated with the ability of cisplatin to induce mitochondrial Ca<sup>2+</sup> overload, an important feature of the successful induction of cell death by

anticancer treatments (Bittremieux and Bultynck 2015; Bonora et al. 2015; Fan et al. 2015; Giorgi et al. 2015a; Xie et al. 2016).

### 17.3.2.2 VDAC1

As VDAC1 is the gateway for Ca<sup>2+</sup> entry in the mitochondria, this protein's function may also be influenced in cancer cells to ensure their survival. Bcl-XL was found to bind to and block VDAC1 with its BH4 domain, thereby inhibiting Ca<sup>2+</sup>-mediated apoptosis (Monaco et al. 2015). Intriguingly, cytosolic Ca<sup>2+</sup> levels impact VDAC1 expression levels (Weisthal et al. 2014). An increase in cytosolic [Ca<sup>2+</sup>], elicited by, for example, H<sub>2</sub>O<sub>2</sub>, induces a rise in VDAC1 expression, which at the same time correlates with the ability to form oligomers in the OMM, through which the pro-apoptotic protein cytochrome c is released from the mitochondria (Weisthal et al. 2014).

VDAC1 does not only contribute to Ca<sup>2+</sup>-mediated apoptotic cell death, but also influences apoptosis occurring independently of Ca<sup>2+</sup>. For instance, in human glioma cells subjected to hypoxia, VDAC1 has been implicated in the activation of mitophagy (Qiao et al. 2016). In these cells, the mitochondrial deacetylase sirtuin-3 (Sirt3) stimulates the association between VDAC1 and parkin, an E3 ubiquitin ligase, stimulating mitophagy (Qiao et al. 2016; Bernardini et al. 2017). Consequently, knock-down of Sirt3 inhibited mitophagy, rendering the cells prone to apoptotic cell death (Qiao et al. 2016). Thus, VDAC1 in concert with Sirt3 plays a role in protecting cancer cells through mitophagy. Interestingly, parkin also seems to play a role in the regulation of mitochondrial homeostasis and energy metabolism (Cali et al. 2013). Overexpression of parkin in HeLa and SH-SY5Y neuroblastoma cells increased physical as well as functional interactions between the ER and the mitochondria, whereas parkin silencing caused mitochondrial fragmentation and compromised mitochondrial Ca<sup>2+</sup> transients due to reduced ER-mitochondria tethering (Cali et al. 2013).



**Fig. 17.2 Regulation of pro-survival and pro-apoptotic  $\text{Ca}^{2+}$  signalling at the MAMs.** Arrow-headed lines indicate a stimulatory interaction, while bar-headed lines indicate an inhibitory interaction. (a) Regulation of pro-survival  $\text{Ca}^{2+}$  signalling at the MAMs. Bcl-2, Bcl-XL and Mcl-1 increase pro-survival  $\text{Ca}^{2+}$  oscillations and stimulate cell metabolism by interacting with the C-terminus of the IP<sub>3</sub>R, which results in a sensitisation of the channel to basal IP<sub>3</sub> levels. Mcl-1 also enhances cell survival through binding to VDAC1, thereby increasing its activity and thus mitochondrial  $\text{Ca}^{2+}$  uptake. While these proteins directly impact the  $\text{Ca}^{2+}$ -flux properties of the IP<sub>3</sub>R or VDAC1, Sig-1R indirectly promotes cell survival. Under conditions of ER stress, Sig-1R becomes active and stabilises the IP<sub>3</sub>R, ensuring the transmission of pro-survival  $\text{Ca}^{2+}$  signalling into the mitochondria. (b) Regulation of pro-apoptotic  $\text{Ca}^{2+}$  signalling at the MAMs. Bcl-2 and Bcl-XL do not only

support pro-survival  $\text{Ca}^{2+}$  signalling, but also inhibit pro-apoptotic  $\text{Ca}^{2+}$  signalling. Binding of Bcl-2 via its BH4 domain to the central region of the IP<sub>3</sub>R diminishes the  $\text{Ca}^{2+}$  flux through the IP<sub>3</sub>R, while Bcl-XL inhibits VDAC1 via its BH4 domain that targets the N-terminus of VDAC1.  $\text{Ca}^{2+}$  release from the ER is also decreased by PKB/Akt, which inhibits IP<sub>3</sub>R function by phosphorylation. The phosphatase PTEN counteracts the function of PKB/Akt at the MAMs by dephosphorylating the IP<sub>3</sub>R. PML indirectly influences the phosphorylation state of the IP<sub>3</sub>R by recruiting the phosphatase PP2A, which negatively regulates PKB/Akt activity in the MAMs and counteracts PKB/Akt-mediated phosphorylation of IP<sub>3</sub>R in the MAMs. Thus, PML alleviates the suppression of IP<sub>3</sub>R-mediated  $\text{Ca}^{2+}$  flux from the ER to the mitochondria imposed by PKB/Akt (For more details, please see text)

Furthermore, VDAC1 provides a link between apoptosis and differentiation of cancer cells. In glioblastoma tumour cells, in which VDAC1 expression was silenced, a shift from pro-apoptotic proteins linked to cell proliferation, including avian myelocytomatosis virus oncogene cellular homolog (c-Myc) and nuclear factor  $\kappa\text{B}$  (NF- $\kappa\text{B}$ ), to pro-apoptotic proteins regulating cell differentiation, including p53, was observed (Arif et al. 2016). This led to differentiation of the glioblastoma cells into astrocyte- and neuron-like cells. Additionally, several

studies showed that hexokinase (HK), the enzyme catalysing the first step of glycolysis (Wilson 2003), bound to VDAC1, confers protection from apoptosis in HEK and HeLa cells (Bryson et al. 2002). This protection is proposed to result from the inhibition of the interaction between VDAC1 and the pro-apoptotic Bcl-2 family member Bax by HK (Bryson et al. 2002). Interestingly, for HK to execute its anti-apoptotic effect, its binding to VDAC1 is needed (Arzoine et al. 2009; Abu-Hamad et al. 2009). Furthermore, evidence also suggests that the

binding of HK to VDAC1 reduced mitochondrial ROS generation (da-Silva et al. 2004; Sun et al. 2008). Since ROS production is often elevated in cancer cells (Liou and Storz 2010; Panieri and Santoro 2016), this may be another mechanism by which HK protects tumour cells against cell death, as both the HK-I and -II isoforms conveyed protection towards apoptosis-inducing oxidants through their association with VDAC1 (Bryson et al. 2002; Ahmad et al. 2002).

### 17.3.2.3 The Chaperones

Also the chaperone proteins at the MAMs are able to modify the cell's apoptotic pathways. Similar to VDAC1, Sig-1R acts in a Ca<sup>2+</sup>-dependent and Ca<sup>2+</sup>-independent way. Apoptotic Ca<sup>2+</sup> signalling is impacted by Sig-1R's ability to bind to the IP<sub>3</sub>R. Knockdown of Sig-1R resulted in increased degradation of the IP<sub>3</sub>R3 via the proteasome, suggesting that it has a stabilising function (Hayashi and Su 2007). Furthermore, in conditions of physiologically normal ER [Ca<sup>2+</sup>], Sig-1R is in a resting state, bound to GRP78 (Hayashi and Su 2007). However, under conditions of depletion of the ER Ca<sup>2+</sup> stores, the Sig-1R/GRP78 complex is disrupted, and Sig-1R obtains its chaperone activity (Hayashi and Su 2007). It targets the IP<sub>3</sub>R to ensure that IP<sub>3</sub>-mediated pro-survival Ca<sup>2+</sup> signalling to the mitochondria occurs properly. Interestingly, while during short periods of ER Ca<sup>2+</sup> depletion, Sig-1R remains localised at the MAMs it is redistributed throughout the ER upon longer ER Ca<sup>2+</sup> depletion, again as a pro-survival mechanism under continued ER stress, which is often present in cancer cells (Hayashi and Su 2007). By stabilising the IP<sub>3</sub>R, it sustains pro-survival Ca<sup>2+</sup> signalling during ER stress. Alternatively, it was reported that Sig-1R supports cell survival during ER stress via another client protein: IRE1 (Mori et al. 2013). Activation of IRE1 triggers its endonuclease activity, needed for splicing X-box binding protein-1 (XBP1) mRNA. This transcription factor then promotes the transcription of various ER chaperones (Yoshida et al. 2001). It is thought that Sig-1R's stabilisation of IRE1 contributes to prolonged signalling along the IRE1-XBP1 axis, thereby supporting cell

survival under conditions of augmented and prolonged ER stress (Mori et al. 2013).

GRP75, on the other hand, impacts apoptosis in cancer cells primarily through its alteration of MAPK/ERK signalling and influence on p53. In medullary thyroid carcinoma cells, apoptosis and inhibition of cell growth were caused by a depletion of GRP75 (Starenki et al. 2015). Investigations into the pathways involved revealed that a temporary activation of MAPK/ERK signalling was responsible for the growth arrest, while apoptosis was induced through mitochondrial dysfunction. This consisted of loss of the mitochondrial membrane potential, lowered oxygen consumption and an elevation of ROS levels. Furthermore, it was shown that these mitochondrial effects were linked to a decrease in Bcl-2 expression (Starenki et al. 2015). Similar results in different cancer cell lines confirmed GRP75 as a negative regulator of MAPK/ERK signalling (Wu et al. 2013). An additional contribution to the anti-apoptotic function of GRP75 is its capacity to bind the tumour suppressor p53 (Wadhwa et al. 1998; Kaul et al. 2001; Wadhwa et al. 2002b). The interaction prevents nuclear translocation of p53, abrogating its function as a transcription factor (Wadhwa et al. 2002b). Moreover, keeping p53 in the cytoplasm speeds up its proteasomal degradation (Kaul et al. 2005). Strikingly, applying the HSP90 inhibitor 17-AAG, which blocks other heat shock proteins but not GRP75, stimulated GRP75 expression and reinforced its binding to p53, weakening the effect of the HSP90 inhibitor in hepatocellular carcinoma (Guo et al. 2014).

Also calnexin has been implicated in the regulation of apoptotic cell death. However, it seems that calnexin may play an anti-apoptotic as well as a pro-apoptotic role dependently on the circumstances. Caspase-3 and Caspase-7 have both been shown to cleave calnexin *in vitro*, while overexpression of the cleavage product partially inhibited apoptosis (Takizawa et al. 2004). Indirectly, calnexin also fulfils a pro-apoptotic role: its cytosolic tail is able to recruit caspase-8, which is responsible for the cleavage of Bap31 (Breckenridge et al. 2002;

Delom et al. 2007). Bap31's cleaved form stimulates  $\text{Ca}^{2+}$  release from the ER and apoptosis ensues (Breckenridge et al. 2003). In the MCF-7 breast cancer cell line, which is resistant to tunicamycin-induced cell death, calnexin is able to sensitise the cells to tunicamycin, independently of its chaperone function (Delom et al. 2007).

### 17.3.2.4 Proteins Defining MAM Structure

In MCF-7 cells, Mfn2 mediated apoptosis via the phosphoinositide 3-kinase (PI3K)/PKB/Akt signalling pathway (Ma et al. 2015). These results mimic the findings in vascular smooth muscle cells. There, Mfn2 was shown to trigger mitochondrial apoptosis by inhibiting the GTPase Ras, resulting in decreased PKB/Akt signalling along the Ras-PI3K-PKB/Akt axis (Guo et al. 2007). However, it was not established whether lowered PKB/Akt signalling was linked to an increased  $\text{Ca}^{2+}$  signalling through reduced phosphorylation of the  $\text{IP}_3\text{R}$ . In this regard, it is interesting to note that Wang et al. (2015) found that overexpression of Mfn2 induced  $\text{Ca}^{2+}$ -dependent apoptosis in hepatocellular carcinoma.

Additionally, several studies have linked PACS-2 with the apoptotic process, both via the intrinsic pathway as through the extrinsic one. For example, PACS-2 has been shown to interact with the Bcl-2-family protein Bid (Simmen et al. 2005): upon the addition of apoptotic stimuli, PACS-2 is responsible for the translocation of Bid to the mitochondria, where cytochrome c release and caspase activation ensue (Simmen et al. 2005). Furthermore, PACS-2 is involved in tumour necrosis factor-related apoptosis inducing ligand (TRAIL)-triggered apoptosis, more specifically in lysosomal permeabilisation (Werneburg et al. 2012). PACS-2 recruits Bim and Bax, two other members of the Bcl-2 family, to the lysosomal membrane to bring about cathepsin B release and subsequent apoptosis (Werneburg et al. 2012). On this note, it is interesting that cellular inhibitor of apoptosis protein-1 and -2 (cIAP-1/cIAP-2) repress expression levels of PACS-2 by promoting its ubiquitination (Guicciardi et al. 2014). In this way,

these cIAPs confer resistance to TRAIL-induced apoptosis in hepatobiliary cancer cell lines (Guicciardi et al. 2014). Curiously, PKB/Akt-mediated phosphorylation of Ser437 serves as a switch to shift from PACS-2's trafficking function to its function as a promoter of apoptosis (Aslan et al. 2009). When phosphorylated, PACS-2 is bound to the 14-3-3 scaffold protein, which inhibits its role in apoptosis (Aslan et al. 2009), in a similar way as the Bcl-2-protein family Bad (Zha et al. 1996). Interestingly, phosphorylation of PACS-2 does not merely serve to repress apoptosis, but is also required for polycystin-2 localisation to the ER (Aslan et al. 2009).

Apart from engaging Bcl-2-protein family members, PACS-2 also influences the regulation of p53. Sirt1 deacetylates p53, but upon DNA damage, PACS-2 is shuttled to the nucleus, where it interacts with Sirt1, preventing the deacetylation of p53 and inducing p21-dependent cell-cycle arrest (Atkins et al. 2014). Contrasting with its pro-apoptotic function, however, a recent study has found that PACS-2 was necessary for NF- $\kappa$ B-dependent Bcl-XL induction in response to DNA damage (Barroso-González et al. 2016).

Lastly, PERK has been shown to play a role in the survival of c-Myc-dependent cancer cells via its involvement in autophagy (Hart et al. 2012). As an oncogene, c-Myc regulates ribosome expression and biogenesis, increasing protein synthesis (van Riggelen et al. 2010). This increased synthesis load is accompanied by elevated PERK activity, which promotes autophagy as a survival mechanism through the upregulation of unc-51-like kinase 1 and ATG5 (Hart et al. 2012). This is underpinned by the observation that upon loss of PERK in these cancer cells, the balance tips from survival to apoptosis due to decreased autophagy (Hart et al. 2012).

Furthermore, PERK seems to play an important role in tumour cell survival under hypoxic conditions. Hypoxia was shown to trigger PERK signalling in xenograft models, while a dominant-negative PERK or eIF2 $\alpha$  was linked with an increase in apoptotic cells in hypoxic regions of the tumour (Bi et al. 2005). Delving



into the molecular mechanisms responsible for this protection against hypoxia, an increase in ATG5 expression was found, indicating that the induction of autophagy provides the protection for tumour cells (Kouroku et al. 2007; Rouschop et al. 2010).

Recently, the CTA FATE1 has been discovered at the MAMs, where it controls ER-mitochondrial distance (Doghman-Bouguerra et al. 2016). In fact, FATE1 functions as an anti-tether: it diminishes ER-mitochondrial contact sites and decreases mitochondrial Ca<sup>2+</sup> uptake. Hence, it regulates the sensitivity towards pro-apoptotic stimuli that elicit apoptosis via Ca<sup>2+</sup> signalling (Doghman-Bouguerra et al. 2016). Another anti-apoptotic function of FATE1 is its role in the prevention of accumulation of Bik, a pro-apoptotic Bcl-2-protein family member (Maxfield et al. 2015). The underlying molecular mechanism consists of the recruitment of the E3 ligase RNF183 by FATE1 and subsequent stimulation of Bik degradation (Maxfield et al. 2015), allowing cells to survive even in the presence of apoptotic stimuli.

### 17.3.3 Cellular Energetics and Biochemical Pathways

#### 17.3.3.1 The IP<sub>3</sub>R

Ca<sup>2+</sup> plays an important role in controlling mitochondrial bioenergetics, since it stimulates ATP production and mitochondrial respiration as the  $\alpha$ -ketoglutarate, isocitrate and pyruvate dehydrogenases are Ca<sup>2+</sup>-dependent rate-limiting enzymes of the TCA (Cárdenas et al. 2010; Kaufman and Malhotra 2014). These dehydrogenases are inhibited in the absence of constitutive low-level Ca<sup>2+</sup> transfer from ER to mitochondria, which turns on AMP-activated kinase (AMPK). This results in an increase in basal autophagic flux that is independent of mTOR (Cárdenas et al. 2010; Cárdenas and Foskett 2012). The pro-survival low-level IP<sub>3</sub>R-mediated Ca<sup>2+</sup> signalling can be modified by the activity of several anti-apoptotic Bcl-2-family proteins, which are often upregulated in cancer (Bittremieux et al. 2016). Bcl-2, Bcl-XL as well

as Mcl-1 have been reported to interact with IP<sub>3</sub>Rs (White et al. 2005; Li et al. 2007; Eckenrode et al. 2010). The anti-apoptotic proteins target the C-terminal region of the IP<sub>3</sub>R (a.a. 2570-2749) (White et al. 2005), resulting in a sensitisation of the IP<sub>3</sub>Rs to basal IP<sub>3</sub> levels, thereby enhancing IP<sub>3</sub>R-dependent Ca<sup>2+</sup> oscillations and stimulating mitochondrial bioenergetics. Bcl-XL is also present in the MAMs, targeting and stimulating IP<sub>3</sub>Rs and driving mitochondrial metabolism (Williams et al. 2016). Bcl-XL is recruited to the MAMs during non-apoptotic ER stress induction, augmenting mitochondrial bioenergetics through interaction with IP<sub>3</sub>Rs. IP<sub>3</sub>R sensitisation by Bcl-XL occurs via its hydrophobic cleft, which binds two BH3-like domains in the C-terminus of IP<sub>3</sub>Rs, although the BH4 domain of Bcl-XL also contributes by targeting the central, modulatory domain of the IP<sub>3</sub>R (Yang et al. 2016; Williams et al. 2016). Therefore, BH3 mimetic drugs that target Bcl-XL may also antagonise Bcl-XL's ability to sensitise IP<sub>3</sub>Rs and thus may suppress Ca<sup>2+</sup>-driven mitochondrial metabolism. This is very important, since cancer cells are particularly addicted to these basal Ca<sup>2+</sup>-signalling events to sustain adequate TCA cycling (Cárdenas et al. 2016; Bultynck 2016). This process provides mitochondrial substrates, like nucleosides, that are essential for proper cell-cycle progression and cell division. In the absence of these Ca<sup>2+</sup> fluxes, non-tumorigenic cells tune down their cell cycle, while tumorigenic cells progress through the cell cycle irrespective of their energetic state, resulting in a mitotic catastrophe (Cárdenas et al. 2016; Bultynck 2016).

As described above, the phosphorylation state of the IP<sub>3</sub>R has a determining role in its Ca<sup>2+</sup>-release properties, and PML is able to alter this phosphorylation state. Recently, it has been discovered that MAM-localised PML inhibits autophagy via the control of ER-mitochondrial Ca<sup>2+</sup> signalling (Missiroli et al. 2016). By stimulating ER-mitochondrial Ca<sup>2+</sup> flux, the cell's metabolism is being stimulated (Cárdenas et al. 2010; Kaufman and Malhotra 2014). p53 is acting as a molecular bridge to keep PML at its place in the MAMs (Missiroli et al. 2016). Upon

PML loss, however, metabolic stimulation via  $\text{Ca}^{2+}$  is not present anymore, and this turns on AMPK signalling and subsequent autophagy (Missiroli et al. 2016). Additionally, also mTORC2 may control metabolism via  $\text{IP}_3\text{R3}$  phosphorylation, in a similar way it contributes to MAM integrity via PACS-2 phosphorylation (Betz et al. 2013). Activation of PKB/Akt in an mTORC2-dependent manner reduces  $\text{IP}_3\text{R}$ -mediated  $\text{Ca}^{2+}$  signalling and hence might impact the rate of oxidative phosphorylation (Betz et al. 2013).

### 17.3.3.2 VDAC1

As a gateway connecting the mitochondria to their environment, VDAC1 is not only a regulator of apoptosis: its localisation at the OMM of the mitochondrion also allows for regulation of cellular bioenergetics. For a start, it has been reported that the  $\text{Ca}^{2+}$ -flux properties of VDAC1 are stimulated by Mcl-1, which binds with high affinity to the anion channel in the OMM (Huang et al. 2014). This results in an increased mitochondrial  $\text{Ca}^{2+}$  uptake, thereby promoting ATP production and stimulating cell survival, as described above (Huang et al. 2014).

Furthermore, VDAC1 is thought to play a major role in constituting the Warburg effect through its interaction with HK (Bustamante and Pedersen 1977; Azoulay-Zohar et al. 2004; Pedersen 2008). A common characteristic of cancer cells is that they show high levels of glycolysis, even though they are oxygenated (Vander Heiden et al. 2009; Liberti and Locasale 2016). This aerobic glycolysis is commonly referred to as the Warburg effect (Vander Heiden et al. 2009; Liberti and Locasale 2016). It is proposed that, by binding VDAC1, ATP produced by oxidative phosphorylation is readily accessible for HK-I and -II to fuel the conversion of glucose to glucose-6-phosphate (G-6-P) (Pedersen 2008). This provides a functional coupling of glycolysis with the TCA. Interestingly, evidence suggests that HK is less sensitive to product inhibition by G-6-P through its interaction with VDAC1 (Bustamante and Pedersen 1977; Azoulay-Zohar et al. 2004). Another clue to VDAC1's role in the Warburg effect is that especially the

VDAC1-bound isoforms, HK-I and HK-II were found to show higher expression levels in several types of cancer, e.g. lymphoma, prostate and breast cancer (Pedersen 2008). Recently, mTORC2 was found to impact the binding of HK-II to VDAC1, again through PKB/Akt-dependent phosphorylation of HK-II, which stabilises its binding to VDAC1 (Betz et al. 2013).

The Warburg effect also comprises the suppression of oxidative phosphorylation in the mitochondria (Zheng 2012; Lu et al. 2015). A long unidentified player in this suppression is dimeric tubulin (Rostovtseva et al. 2008). Dimeric tubulin at concentrations in the nanomolar range was shown to reversibly block VDAC reconstituted into planar phospholipid membranes (Rostovtseva et al. 2008). Furthermore, this block of VDAC was demonstrated to decrease oxygen consumption in isolated mitochondria (Rostovtseva et al. 2008). In addition to this, it was shown that in HepG2 cells, an increase in dimeric tubulin resulted in mitochondrial depolarisation, while a decrease in dimeric tubulin was associated with mitochondrial hyperpolarisation (Maldonado et al. 2010). These results suggest that mitochondrial metabolism in cancer cells is attenuated by the tubulin-mediated blockage of VDAC (Maldonado et al. 2010). It is noteworthy that the effect of free tubulin on cellular metabolism was not found in primary hepatocytes: microtubule depolymerisation decreased the mitochondrial membrane potential, as in cancer cells, but inducing polymerisation did not increase it (Maldonado et al. 2010). This leaves the question whether the observations concerning free tubulin blocking VDAC are specific for cancer cells (Maldonado et al. 2010; Rostovtseva and Bezrukov 2012).

Apart from a shift towards aerobic glycolysis, other biochemical pathways like cholesterologenesis may be altered in cancer cells. Also in this process, a link to VDAC1 can be found: the channel is part of the polyprotein complex called the transducesome, which is responsible for import of cholesterol into the mitochondria (McEnery et al. 1992; Liu et al. 2006; Rone



et al. 2012). In this complex, VDAC1 is proposed to interact with the translocator protein, anchoring the transducesome to the OMM and facilitating the binding and import of the steroidogenic acute regulatory protein (Hauet et al. 2005). It is proposed that HK bound to VDAC1 may influence the amount of cholesterol synthesis and its import in mitochondria in cancer cells (Campbell and Chan 2007), while at the same time, the channel properties of VDAC1 itself may be influenced by the augmented levels of cholesterol in the OMM (Pastorino and Hoek 2008).

## 17.4 Conclusions

The MAMs and their various components, including Ca<sup>2+</sup>-transport systems, chaperones and structural components, establish an important Ca<sup>2+</sup>-signalling domain between the ER, the main intracellular Ca<sup>2+</sup>-storage organelle and the mitochondria, the main organelle controlling cell death and survival processes, including cellular bioenergetics and autophagy, apoptosis sensitivity, growth and proliferation. Dysregulation of these processes is a hallmark of cancer. Hence, alterations and perturbations in the structural organisation and functional properties of the MAMs have emerged as an important nexus that underlies oncogenesis, tumour growth and metastasis and responses to chemotherapy. Moreover, several oncogenes and tumour suppressors are localised at the MAMs. Thus, changes in MAMs can drive oncogenesis, while cancer cells at a later stage could remodel MAMs to favour tumour growth, proliferation and metastatic behaviour.

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