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Abstract

Acute leukaemia is the major subtype of paediatric cancer with a cumulative risk of 1 in 2000 for children up to the age of 15 years. Childhood acute lymphoblastic leukaemia (ALL) is a biologically and clinically diverse disease with distinctive subtypes; multiple chromosomal translocations exist within the subtypes and each carries its own prognostic relevance. The most common chromosome translocation observed is the t(12;21) that results in an in-frame fusion between the first five exons of *ETV6* (*TEL*) and almost the entire coding region of *RUNX1* (*AML1*).

The natural history of childhood ALL is almost entirely clinically silent and is well advanced at the point of diagnosis. It has, however, been possible to backtrack this process through molecular analysis of appropriate clinical samples: (i) leukaemic clones in monozygotic twins that are either concordant or discordant for ALL; (ii) archived neonatal blood spots or Guthrie cards from individuals who later developed leukaemia; and (iii) stored, viable cord blood cells.

Here, we outline our studies on the aetiology and pathology of childhood ALL that provide molecular evidence for a monoclonal, prenatal origin of *ETV6-RUNX1*⁺ leukaemia in monozygotic identical twins. We provide mechanistic support for the concept that altered patterns of infection during early childhood can deliver the necessary promotional drive for the progression of *ETV6-RUNX1*⁺ pre-leukaemic cells into a postnatal overt leukaemia.

Keywords

Leukemia • TEL-AML1 • ETV6-RUNX1 • RUNX • Twins • In utero • Infection

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14.1 Introduction

Acute Lymphoblastic Leukaemia (ALL), a disease of the bone marrow, accounts for about 30 % of cancer diagnosed in children under the age of 15 years (Dickinson 2005). The disease is biologically and clinically diverse with distinctive subtypes, each characterized by an association between age at presentation of overt leukaemia and various recurrent genetic alterations. Multiple chromosomal translocations exist within the subtypes and each carries its own prognostic relevance (reviewed in (Rowley et al. 2015)).

The most common chromosome translocation observed in ALL is the t(12;21) (Golub et al. 1995; Romana et al. 1995). The translocation results in an in-frame fusion between the first five exons of *ETV6* and almost the entire coding region of *RUNX1*; bringing together the PTD and repression domains of *ETV6* and the DNA binding (RHD), repression and transactivation domains of *RUNX1* (Golub et al. 1995; Romana et al. 1995), Fig. 14.1. Both *RUNX1* and *ETV6* are important transcription factors required for normal haematopoiesis (Okuda et al. 1996; Wang et al. 1996).

Although cryptic at the level of karyotype, both FISH and RT-PCR studies have shown the *ETV6-RUNX1* fusion to be present in around 25 % of cases of B-cell precursor ALL (BCP-ALL), with an age related distribution peak of 2–5 years that matches the peak of incidence of the leukaemia (Shurtleff et al. 1995).

To further our understanding of the aetiology and natural history of childhood ALL, two key questions have been addressed; (1) precisely when and how is the *ETV6-RUNX1* fusion gene generated in the development and clonal evolution of overt leukaemia and (2) whether occurrence of the fusion gene is a leukaemia initiating event sufficient for overt leukaemia.

14.2 Identical Twins with Concordant Leukaemia

The notion that genetic changes necessary for overt leukaemia might occur before birth was raised over 50 years ago and based on studies of concordant leukaemia in identical (monozygotic) twins (Clarkson and Boyse 1971). Clarkson and Boyse suggested that a demonstration of shared, non-constitutive, cytogenetic abnormalities in leukaemic cells isolated from such twin pairs might provide a prenatal, monoclonal explanation for the concordant leukaemia.

Monozygotic identical twins occur when a single egg is fertilized by a single sperm to form one zygote. Subsequently, the zygote will divide into two separate embryos, the timing of which is critical to the formation of the placenta(s) and amniotic sac(s), Fig. 14.2. If the zygote splits within the first 3 days, two separate placentas and amniotic sacs are formed (dichorionic and diamniotic). If the split occurs between days four and nine after fertilization then the twins will share

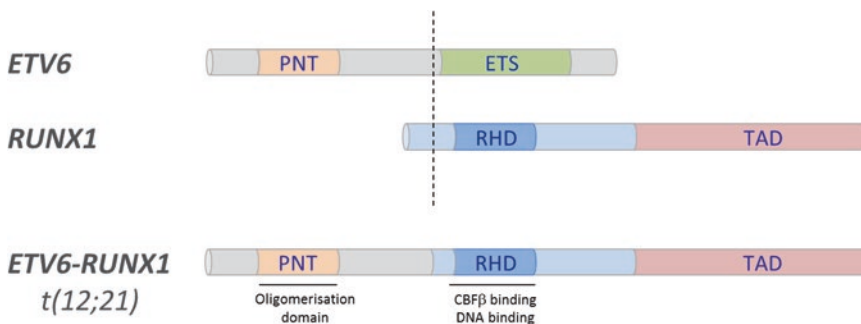


Fig. 14.1 Functional domains in the *ETV6-RUNX1* fusion. A schematic representation of the full length *ETV6*, *RUNX1* and *ETV6-RUNX1* proteins. The fusion

protein retains the oligomerization domain of *ETV6* (PNT) and the DNA binding (RHD), repressor and activation (TAD) domains of *RUNX1*

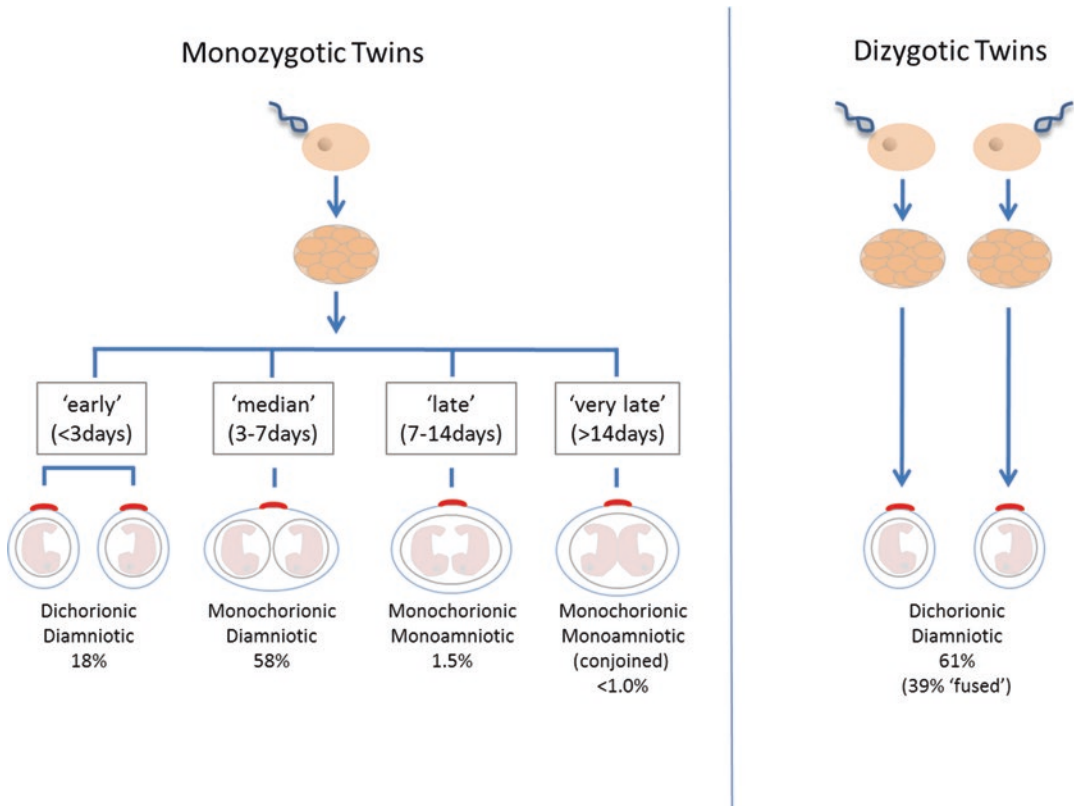


Fig. 14.2 Placental status in twin embryos. The schematic shows the placenta as a red oval, the amnion a grey oval and the chorion in blue (Frequency data is taken from Strong and Corney 1967)

one placenta with separate sacs (monochorionic and diamniotic) and notably will share their supply of blood. 60 % of monozygotic twins are in this category. If the split occurs after 9 days then the twins will share a single placenta and sac (monochorionic and monoamniotic). Non-identical or fraternal twins result from the fertilization of two separate eggs by two separate sperm (dizygotic) and consequently do not share their blood supplies. Monozygotic twins are genetically identical unless there has been a mutation in development.

Over seventy pairs of monozygotic twins with concordant acute leukaemia have been recorded in the literature (Greaves et al. 2003) and such cases usually share the same morphological and immunological subtype of leukaemia and development of their clinical symptoms usually occurs within a short time of each other (Greaves et al. 2003). The concordance rate for leukaemia in

infant twins (<1 year) is almost 100 %, while that for older identical twins, including those with *ETV6-RUNX1*⁺ ALL, is less at 10–15 % (Greaves et al. 2003) suggesting the occurrence of additional, postnatal, genetic events.

14.3 Molecular Evidence for a Monoclonal, Prenatal Origin of *ETV6-RUNX1*⁺ Leukaemia in Identical Twins

Chimeric fusion genes are formed by normal, error-prone repair of DNA double-strand breaks (DSBs) (Wiemels and Greaves 1999). Gene fusions between *ETV6* and *RUNX1* involve the noncoding introns of each gene and the breaks are both scattered and diverse within the respective breakpoint cluster regions (Golub et al. 1995). The breakpoints on chromosome 12 cluster

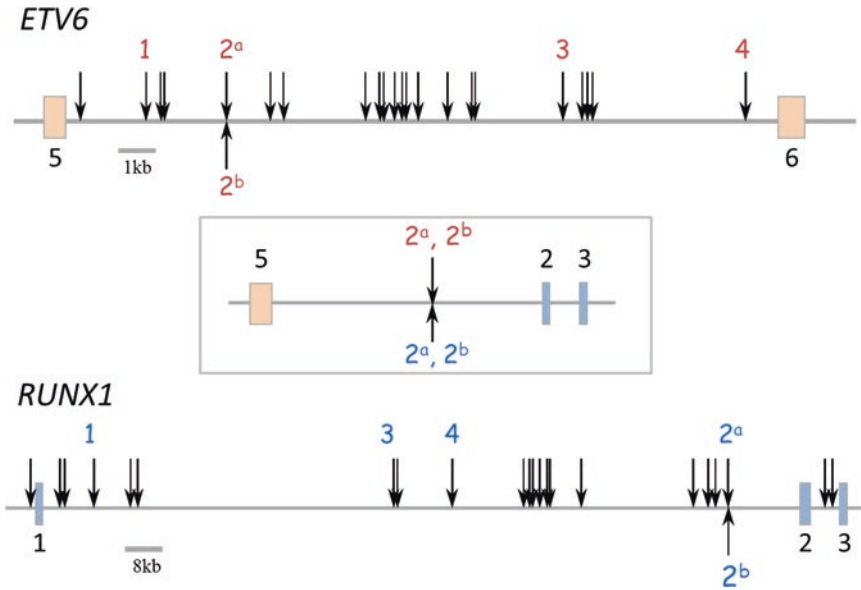


Fig. 14.3 Clonotypic genomic breakpoints of *ETV6* and *RUNX1* in singletons (1,3 and 4 respectively) and identical breakpoints are shown for monozygotic twins with concordant ALL. Individual breakpoints are shown for *ETV6* and

RUNX1 in singletons (1,3 and 4 respectively) and identical breakpoints are shown for monozygotic twins with concordant ALL (2a, 2b)

within a single 12 kb intron of *ETV6* whereas those on chromosome 21 occur mainly within the large (~150 kb) first intron of *RUNX1* (Wiemels and Greaves 1999) and Fig. 14.3). As a consequence, each break and subsequent fusion junction is both clonotypic and patient specific at the DNA level and therefore the genomic fusion sequence provides a unique marker of clonal identity and a stable imprint of single cell origin. We reasoned that cloning and sequencing of the *ETV6-RUNX1* fusion region in twin pairs with concordant t(12;21) childhood ALL should provide unambiguous evidence for any clonal relationship as well as provide clues to the mechanism of recombination. We first cloned the *ETV6-RUNX1* fusion gene from a pair of monozygotic twins who were diagnosed at ages 3 years 6 months and 4 years 10 months respectively (Ford et al. 1998). Sequence analysis of twin 1 identified nucleotides within intron 5 of the *ETV6* gene and intron 1 of *RUNX1*. An identical fusion sequence in twin 2 confirmed that the twin leukaemias were derivatives of the same single cell or clone in which the unique and non-constitutive *ETV6-RUNX1* fusion had first arisen. Clonal identity was further supported by the finding that the leukaemic cells in the two twins shared an

identical rearranged immunoglobulin heavy chain gene (*IGH*) allele (Ford et al. 1998). The most reasonable explanation for this finding was a single cell origin of the *ETV6-RUNX1* fusion in one foetus *in utero*, followed by an intraplacental metastasis of clonal progeny to the other twin via the shared vascular anastomoses.

Further unequivocal evidence to support the pre-natal origin of childhood *ETV6-RUNX1*+ leukaemia was provided by the scrutiny of neonatal blood spots, or Guthrie cards, taken at birth from a second pair of identical twins with concordant leukaemia. Guthrie cards are prepared by heel prick in the first days of life and are usually used for detection of inherited mutations and in screening for inborn errors of metabolism such as phenylketonuria. Given the natural history of childhood leukaemia, the assumption was that concordant identical twins with *ETV6-RUNX1*+ ALL might have cells with fusion gene sequences already present in their blood at birth. A simple way of testing this idea was through a backtracking analysis of the Guthrie cards of such patients. We studied a pair of identical twins diagnosed with concordant *ETV6-RUNX1*+ ALL at age 4 and for whom Guthrie cards were still available (Wiemels et al. 1999a). Diagnostic DNA was first

used to establish that the sequence of the *ETV6-RUNX1* fusion was identical between the twin pairs and then individual segments of Guthrie card were used to confirm the presence of the fusion gene in the blood at birth and consequently the *in utero* clonal origin of the leukaemia.

A third twin pair provided new and unexpected insight into the time frame necessary for critical sequential events to occur. Unusually, these twins were diagnosed with *ETV6-RUNX1*+ ALL over 8 years apart; at ages five and fourteen (Wiemels et al. 1999b). Cloning and sequencing of the *ETV6-RUNX1* fusion present in each twin showed perfect identity, again indicative of a single cell origin. However at the time when the first twin was diagnosed, the bone marrow of the second twin was haematologically normal and remained so for 8 years. Retrospective analysis by PCR of an archived bone marrow smear from the then ‘unaffected’ twin showed the presumptive *ETV6-RUNX1*+ pre-leukaemic clone to be present 8 years before clinical diagnosis of ALL. These data suggest that subsequent to initiation of a prenatal, pre-leukaemic clone, almost certainly as a result of *ETV6-RUNX1* fusion alone, the period required for appearance of overt leukaemia can be both extremely variable and protracted, with latency of up to 14 years (Wiemels et al. 1999b).

Since *ETV6-RUNX1*+ leukaemia in twins is no different, biologically or clinically from that seen in single children, at least some singletons are also likely to have prenatal initiation of leukaemia. We used nine sets of diagnostic samples with paired blood spots to backtrack the fusion gene to birth in non-twinning children with *ETV6-RUNX1*+ ALL and provided more direct evidence that this disease can at least initiate *in utero* (Wiemels et al. 1999a).

14.4 Is an *ETV6-RUNX1* Fusion Gene Sufficient for Overt Leukaemia?

Taken together, these studies provide strong evidence, in most cases, for a prenatal origin of *ETV6-RUNX1*+ leukaemia. However, it is now clear that not all individuals with a *ETV6-RUNX1*

fusion gene go on to develop overt disease. In a retrospective study of over 600 normal newborn cord bloods, we showed the frequency of fusion gene positive cord bloods to be 1 %; approximately 100 times the collective frequency of overt, clinically diagnosed leukaemia with *ETV6-RUNX1* fusion (Mori et al. 2002). The data, along with the modest rate of twin concordance (5–10 %), supports the view that detectable *ETV6-RUNX1*+ cells in healthy children represent expanded clones of pre-leukaemic cells that can remain pathologically and clinically silent or covert in the absence of additional, postnatal genetic hits, perhaps for up to 14 years. However, a postnatal fusion of *ETV6* and *RUNX1* in some cases, cannot be ruled out.

14.5 *ETV6-RUNX1* as an Initiating or ‘Founder’ Event in ALL

A number of studies on singletons and pairs of monozygotic twins with *ETV6-RUNX1*+ leukaemia have now been described that shed light on the important genetic events ‘secondary’ to gene fusion (Ford et al. 1998; Wiemels et al. 1999b; Broadfield et al. 2004; Teuffel et al. 2004; Maia et al. 2004; Bateman et al. 2010; Bungaro et al. 2008; Alpar et al. 2015). FISH analyses at diagnosis of *ETV6-RUNX1*+ ALL show the fusion gene to be present in every leukaemic cell (Anderson et al. 2011) and the majority of cases also show some sub clonal deletion of the non-translocated ‘normal’ *ETV6* allele (Raynaud et al. 1996; Kempinski and Sturt 2000). The deletions vary in size between patients and both FISH and loss of heterozygosity (LOH) studies show that 73 % of *ETV6-RUNX1*+ cases have a partially or fully deleted second *ETV6* allele (Patel et al. 2003). Although the second *ETV6* allele was identified in the remaining patients, no *ETV6* expression was detected. Taken together, these findings support the hypothesis that loss of *ETV6* expression may be a critical secondary event for leukaemogenesis in *ETV6-RUNX1*+ ALL and the assumption that *ETV6* can act as a tumor suppressor gene.

Recurrent copy number alterations (CNAs) are the likely “driver” events that contribute

critically to clonal diversification and selection. In *ETV6-RUNX1*+ ALL they typically include deletions of genes involved in B-cell development and differentiation such as *PAX5*, *BTG1*, the *RAG* family and the wild-type copy of *ETV6* (Mullighan 2012). If deletions of the normal copy of *ETV6* and indeed all other recurrent ‘driver’ CNAs are consistently secondary to *ETV6-RUNX1* fusion and therefore postnatal, then a testable prediction would be that these deletions should be distinct or different within monozygotic twin pairs. To address this idea we first used paired interphase FISH and SNP array information to identify recurrent CNAs in 5 pairs of twins with *ETV6-RUNX1*+ ALL. Sporadic CNAs classified as non-functional “passengers” were either identical (4/19) in the twin pairs and thought to precede the *ETV6-RUNX1* fusion event, or were distinct (15/19) (Bateman et al. 2010). Significantly, all 32 CNAs identified between the twin pairs that were regarded as being ‘drivers’ of leukaemia were discordant (Fig. 14.4). As expected, this discordance was further reflected by singletons and twin pairs that shared the same *ETV6* deletion but harboured different deletion boundaries (Ford et al. 2001; Maia et al. 2001; Bateman et al. 2010).

In a second study on a single set of monozygotic twins with *ETV6-RUNX1*+ ALL we used a whole genome sequencing approach to better determine the developmental timing of these events. We identified the *ETV6-RUNX1* translocation to be the only recognised fusion product shared by the twins and, despite the presence of LOH in 27 and 41 cytoband regions respectively, we found the only other mutation in common to be an inactivating germline mutation of neurofibromatosis type 1 (Ma et al. 2013). Despite a paucity of single base and indel ‘driver’ mutations within the leukaemia clones, none of the mutations identified were found to be shared, further supporting the concept that these genetic changes are both secondary to *ETV6-RUNX1* fusion and post-natal.

14.6 A Candidate Pre-leukaemic Stem Cell Population with an Early B Lineage Phenotype

Childhood ALL is associated with a rare population of CD34⁺, CD38^{-/low}, CD19⁺ cells not usually detectable in normal bone marrow

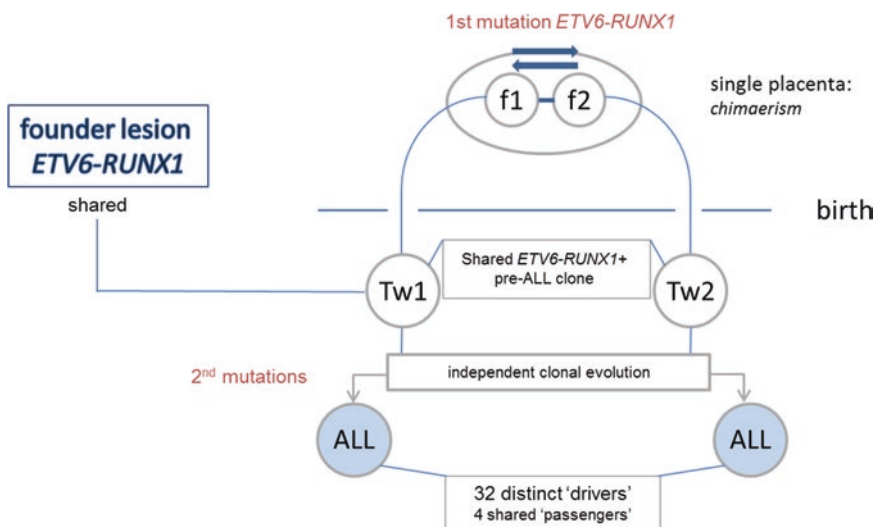


Fig. 14.4 Genomics of *ETV6-RUNX1* ALL in monozygotic twins. Combined data from 5 sets of twins with concordant *ETV6-RUNX1*+ ALL (Data taken from Bateman et al. 2015)

(Hotfilder et al. 2002; Castor et al. 2005; Hong et al. 2008; le Viseur et al. 2008) and is accompanied by clonal rearrangement of the *IGH* genes, indicative of a pre-B cell phenotype. In addition, ALLs characterised by *ETV6-RUNX1* fusion maintain a phenotype of CD10⁺, CD19⁺, along with recombinase gene activity (RAG) and expression of TdT. The pre-B cell however, is not necessarily the cell in which the functional impact of the *ETV6-RUNX1* fusion gene is first observed. In two early studies, distinct and specific B-cell receptor gene rearrangements were identified in one set of twins (Teuffel et al. 2004) suggesting that separate pre-leukaemic clones were already present at birth. However, Bungaro and colleagues (Bungaro et al. 2008) identified both shared and distinct rearrangements at diagnosis in a set of monozygotic twins with dichorionic placentas. Not only was this suggestive of a common clonal origin *in utero* but, in this case, is also indicative of the passage of cells from one foetus to the other via the blood system of the Mother. In a more detailed screen of IG/TCR

rearrangements in 5 pairs of twins with concordant *ETV6-RUNX1*⁺ ALL, we revealed the pre-leukaemic initiating function of the *ETV6-RUNX1* fusion to be associated with clonal expansion of an early foetal B-cell (Alpar et al. 2015). In all pairs of twins studied, the cells carried identical incomplete or complete *IGH* variable-diversity-joining (VDJ) regions together with substantial, sub-clonal and divergent rearrangements. In addition, most descendent cells with stem cell (self-renewal) activity were shared and maintained in both twins after birth and provided an opportunity for necessary postnatal, secondary genetic hits to occur (Fig. 14.5).

The notion that *ETV6-RUNX1* fusion is an initiating event, insufficient itself for overt leukaemia, was also confirmed in a seminal study of identical twins with discordant *ETV6-RUNX1*⁺ leukaemia (Hong et al. 2008). One twin was diagnosed with *ETV6-RUNX1*⁺ pre-B cell ALL at age 2, while the other twin has remained healthy for over 10 years. The bone marrow of the leukaemic child contained the CD34⁺, CD38^{-low},

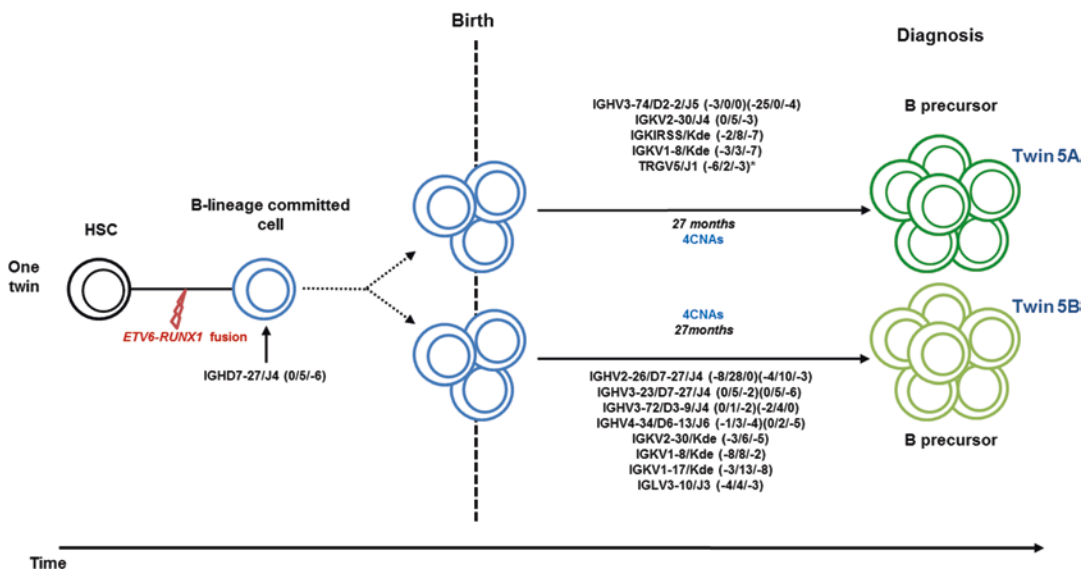


Fig. 14.5 Evolutionary sequence of clonal immunogenotypic markers identified in a pair of monozygotic twins with concordant *ETV6-RUNX1*⁺ ALL. Rearrangements are depicted both in the context of an *in utero* origin of pre-leukaemia, perhaps occurring in a progenitor cell

already committed to the B cell lineage and the diverging postnatal evolution of the overt leukaemia (Alpar et al. 2015) (CNA data was adopted from Bateman et al. (2015). *HSC* hematopoietic stem cell)

CD19⁺ cancer-propagating population while the blasts, as well as presenting the *ETV6-RUNX1* fusion, showed additional loss of the nontranslocated *ETV6* allele, loss of one copy of *PAX5* and gain of 10p (Bateman et al. 2010), Fig. 14.6). Immuno-FISH for CD19 protein and the *ETV6-RUNX1* fusion in the peripheral blood pre-B cells of the healthy child detected the fusion gene at a frequency of ~0.1 %, but these cells did not show additional chromosome aberrations. Cloning and sequencing of the respective *ETV6-RUNX1* fusion junctions revealed their complete identity and added further support to the *in utero* origin of the pre-leukaemic clone (AF unpublished).

14.7 An Infectious Aetiology for Childhood ALL?

Given the age related peak incidence of 2–5 years for BCP-ALL, it has long been proposed that infection(s) in childhood might accelerate the transformation of *ETV6-RUNX1*⁺ pre-leukaemic cells to overt leukaemia (Kinlen 1988; Greaves 1988). Although we and others (MacKenzie et al. 1999, 2001; McNally and Eden 2004) have not identified any specific exogenous viral sequences, epidemiological studies support the notion that a relationship exists between improved social conditions and childhood ALL (Dockerty et al.

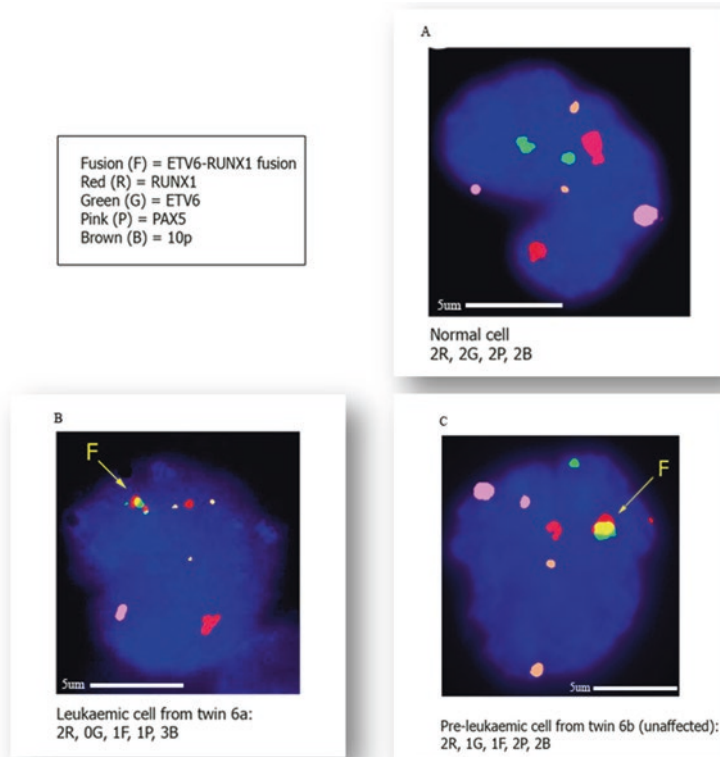


Fig. 14.6 Interphase FISH to confirm CNA status in cells from monozygotic twins clinically discordant for *ETV6-RUNX1*⁺ ALL. (a) A normal bone marrow cell from the healthy twin (6b) shows 2 red (*RUNX1* gene), 2 green (*ETV6* gene), 2 pink (*PAX5* gene), and 2 brown (chromosome 10p) signals, respectively. (b) A leukaemic cell from twin 6a shows the *ETV6-RUNX1* gene fusion, 1 normal *RUNX1* and the remnant from the rearranged *RUNX1* gene, 1 copy of *PAX5* and 3 signals for 10p. (c) A *ETV6-*

RUNX1 fusion gene positive cell in the unaffected twin (6b) shows 1 *ETV6-RUNX1* gene fusion, the normal *RUNX1* and the remnant from rearranged *RUNX1*, 1 copy of normal *ETV6*, 2 copies of *PAX5* and 2 signals for chromosome 10p to show that loss of *ETV6* and *PAX5* and gain of 10p are not observed in the preleukaemic, *ETV6-RUNX1*⁺ cells of the unaffected twin. Five cells with the *ETV6-RUNX1* fusion were identified in the unaffected twin (twin 6b), of a total of 4251 scored (Bateman et al. 2015)

2001). In a highly protective unchallenged or 'hygienic' environment a delayed exposure of infants to an otherwise common infection may trigger a rare abnormal immune response by selection and expansion of pre-leukaemic (*ETV6-RUNX1*⁺) clones (Greaves 2006, 1988). In this context, we have shown that altered cytokine environments in the context of inflammation, such as TGF β , can eliminate normal pre-B cell clones from the repertoire and support the selective outgrowth of pre-B cell clones that already harbour *ETV6-RUNX1* (Ford et al. 2009).

Somatic recombination and mutation of *IGH* genes to create antibody diversity in B cells requires the proteins encoded by the *RAG1* and *RAG2* genes that introduce DNA double-strand breaks and the subsequent recombination of V(D)J gene segments (Oettinger et al. 1990). The enzyme activation-induced cytidine deaminase (AICDA) subsequently then enables somatic hypermutation of V region genes followed by class switching (Li et al. 2004). During normal B cell ontogeny the activities of these enzymes are kept strictly segregated (Hardy and Hayakawa 2001).

14.8 Genetic Changes that Complement *ETV6-RUNX1* Fusion

The presence of V(D)J recombination signal sequences (RSS) close to CNAs commonly deleted in *ETV6-RUNX1*⁺ ALL has suggested a role for aberrant RAG endonuclease targeting at these loci (Zhang and Swanson 2008; Mullighan et al. 2008). To obtain a more detailed picture of these secondary genetic events we carried out genome analysis of diagnostic samples from 57 cases of *ETV6-RUNX1*⁺ ALL paired with matched remission (constitutive) DNA. We performed low-depth whole-genome sequencing and structural variation analysis on the leukaemic samples of 51 cases and used exome sequencing of 56 cases to search for recurrent somatic variants (Papaemmanuil et al. 2014).

We observed a paucity of recurrent coding-region mutations but resolved 354 of 523 structural variations at breakpoint sites to base-

pair resolution. We searched for conserved RSS, along with proposed recognition motifs for the APOBEC family of enzymes that deaminate cytosine to uracil and for the presence of CpGs (Tsai et al. 2008). Although we did not find conserved RSS motifs near the breakpoints of the founding *ETV6-RUNX1* rearrangement, consistent with this rearrangement arising in a very early B-lineage progenitor cell, enrichment for RSS was particularly prominent at gene deletions targeting known B-cell ALL genes such as *ETV6* and *BTG1*. We did not observe specific enrichment of CpGs or any of the proposed AICDA recognition motifs at breakpoint junctions relative to other cancers; however another comprehensive analysis of translocation breakpoints did reveal a breakage mechanism that involved the RAG complex acting at AICDA-deaminated methyl-CpGs (Tsai et al. 2008). Nonetheless, 43 % of the 354 deletion breakpoints in our *ETV6-RUNX1* study showed conserved RAG recognition motifs: CACACTG-spacer-ACAAAACC; compared to a complete absence of RSS at over 12,000 breakpoints examined in breast, pancreatic and prostate cancer. These observations signify the existence of a lymphoid-specific endogenous mutagenesis programme (Papaemmanuil et al. 2014; Swaminathan et al. 2015).

To test the hypothesis that, in the context of inflammation, RAGs and AICDA can cooperate to induce secondary genetic lesions and accelerate transformation of a *ETV6-RUNX1* pre-leukaemic clone to overt leukaemia, we subjected *ETV6-RUNX1* expressing pre-B cells to consecutive rounds of stimulation with the inflammatory mimic lipopolysaccharide (LPS) in the presence or absence of IL7. Signalling through the IL7-R has been shown to safeguard human pre-B cells from premature activation of AICDA (Swaminathan et al. 2015). We noted both upregulation of RAG1/RAG2 mRNA levels in the presence of *ETV6-RUNX1* alone and the subsequent upregulation of AICDA by over 20 fold in the presence of LPS and absence of IL7 (Swaminathan et al. 2015). Intravenous injection of these vulnerable cells into NOD/SCID recipients triggered development of leukaemia within 3 weeks. In contrast, pre-B cells isolated from

Aicda $-/-$ or *Rag1* $-/-$ animals respectively delayed or abrogated leukaemia development (Swaminathan et al. 2015). These data provide sound genetic evidence that, in the context of inflammatory/repetitive infectious stimulation, clonal evolution of pre-leukaemic *ETV6-RUNX1*+ pre-B cells requires both RAG and AICDA activities.

14.9 Summary

Our studies on the aetiology and pathology of childhood ALL have provided the molecular evidence for a monoclonal, prenatal origin of *ETV6-RUNX1*+ leukaemia in monozygotic identical twins and provide mechanistic support for the concept that altered patterns of infection during early childhood can deliver the necessary promotional drive for the progression of *ETV6-RUNX1*+ pre-leukaemic cells into a postnatal overt leukaemia.

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