

# Chapter 1

## Recent Trends in ADPKD Research

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**Abstract** Autosomal Dominant Polycystic Kidney Disease (ADPKD) is one of the most common inherited disorders. It is the fourth leading cause of renal replacement and renal failure worldwide. Mutations in *PKD1* or *PKD2* cause ADPKD. Patients with ADPKD show progressive growth of renal cysts filled with cystic fluid, leading to end-stage renal disease (ESRD) and renal failure by their sixth decade of life. Currently, there are no curative treatments for ADPKD. Therefore, patients require dialysis or kidney transplantation. To date, researchers have elucidated many of the mechanisms that cause ADPKD and developed many methods to diagnose the disease. ADPKD is related to growth factors, signaling pathways, cell proliferation, apoptosis, inflammation, the immune system, structural abnormalities, epigenetic mechanisms, microRNAs, and so on. Various therapies have been reported to slow the progression of ADPKD and alleviate its symptoms.

**Keywords** ADPKD • Polycystic kidney • Cyst • Renal failure • ESRD • Pathogenesis • Disease mechanism

### 1.1 Autosomal Dominant Polycystic Kidney Disease

#### 1.1.1 Pathogenesis of ADPKD

Three inherited cystic diseases of the kidney are known. These are autosomal dominant polycystic liver disease (ADPLD), autosomal recessive polycystic kidney disease (ARPKD), and autosomal dominant polycystic kidney disease (ADPKD). *PRKCSH* and *SEC63* genes are involved in ADPLD, and it causes bile duct cystic

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dilations. Abnormal expression of the *PKHD1* gene results in ARPKD, which manifests as fusiform collecting duct dilatations, congenital hepatic fibrosis, and liver cysts.

Polycystic kidney disease 1 and 2 (*PKD1* and *PKD2*) are two causative genes of ADPKD. Patients with ADPKD have kidney and bile duct cysts. Germline mutation of *PKD1* or *PKD2* leads to cyst formation. Monogenic disorders of these two genes have an incidence of 1 in 600 to 1 in 1000 individuals, meaning that ADPKD is one of the most common hereditary disorders. Also, it is the fourth leading cause of renal replacement and renal failure worldwide (Fedele et al. 2014). Most cases of ADPKD are inherited, while about 10% are due to *de novo* mutation (Rossetti et al. 2001).

### 1.1.2 Manifestations of ADPKD

Patients with ADPKD have symptoms as follows: They have fluid-filled renal cysts in their kidneys and other epithelial organs. Usually, both of their kidneys are extremely enlarged and filled with cystic fluid. Because of the formation of cysts, patients have renal enlargement and it eventually causes end-stage renal failure (ESRD) (Hou et al. 2002). Approximately 10% of ESRD cases are caused by ADPKD. In normal adult humans, the kidneys comprise about 0.5% of body weight. The kidneys of ADPKD patients weigh about 22 kg, comprising about 20% of body weight (Ekser and Rigotti 2010). There are also various manifestations of ADPKD that are unrelated to the kidneys. One of the most common manifestations in ADPKD patients is hypertension (Martinez and Grantham 1995). Connective tissue defects such as cardiac defects, intracranial aneurysms, and hepatocystic disease also occur in ADPKD patients (Wu et al. 2000; Hughes et al. 1995). When these symptoms become aggravated, patients have to undergo dialysis and transplantation.

One of the most pronounced phenotypes of ADPKD is cyst formation in the kidneys. When the disease becomes severe, patients with enlarged cysts can even resemble pregnant women. Then, how does cyst formation progress in the kidney?

First, it starts at the normal renal tubule. Germline mutation of *PKD1* or *PKD2* causes the loss of one allele, and a somatic second hit causes the loss of the other normal allele (explained in Chap. 2). Then, one or more additional steps lead to cystogenesis as a ‘third hit’, which may include nephrotoxic injury and/or ischemia. The third hit leads to cell proliferation in the renal tubules and causes small dilations that subsequently expand and form fluid-filled cysts of various sizes (Weimbs 2007; Bell et al. 2011). As cell proliferation persists, the dilation also progresses. Then, the dilated regions are separated from their original tubules, becoming a distinct cyst (Weimbs 2011). Once cysts are formed, they grow increasingly larger as the disease progresses. Therefore, cystogenesis is the most remarkable feature of ADPKD.

In addition, a variety of mechanisms can evoke ADPKD disease progression and cystogenesis, including somatic mutation, germ line mutation, modifying genes, increased cell proliferation, apoptosis, defective planar cell polarity, extracellular matrix abnormalities, fluid secretion, inflammation, and environmental factors (Paul

and Vanden Heuvel 2014; Zhou 2009). To date, researchers have elucidated a variety of mechanisms that can cause ADPKD, methods to diagnose the disease, and therapies to slow and alleviate the symptoms of ADPKD.

## 1.2 Studies on ADPKD

As many studies on other diseases have investigated their early diagnosis and treatments, those on ADPKD have also sought to identify the mechanisms of disease and to develop methods to cure ADPKD. For several decades, researchers have been working towards these goals. Some of most remarkable approaches that have been employed to study ADPKD are as follows.

### 1.2.1 Growth Factors, Signaling Pathways, and Cell Proliferation

Cyst expansion in the kidneys of ADPKD patients is the most remarkable feature of the disease. The tubular epithelial cells that surround the cysts proliferate and drive their enlargement. Therefore, inhibiting cell proliferation is an important target for easing the symptoms of ADPKD (LaRiviere et al. 2015). In normal kidneys, a homeostatic balance is maintained between cell proliferation and apoptosis. However, in the kidneys of ADPKD patients, cell proliferation is more frequent than apoptosis. This imbalance eventually leads to cyst formation (Gregoire et al. 1987). Many studies have suggested a variety of mechanisms that may cause or contribute to cell proliferation and cyst enlargement in cystic kidney epithelia.

A wide range of growth factors are involved in cystogenesis. One of the main growth factors is epidermal growth factor (EGF), which along with EGF receptor, and other members of the EGF family such as transforming growth factor (TGF)- $\alpha$ , heparin-binding EGF, and amphiregulin, plays an important role in regulating cell proliferation of the cystic epithelia (Du and Wilson 1995). TGF- $\alpha$  is overexpressed in human polycystic kidney. Also, TGF- $\beta$  is a major growth factor in ADPKD. Upregulation of TGF- $\beta$  is related to cyst expansion during disease progression, but it is less involved in cyst initiation (Hassane et al. 2010; Wilson et al. 1996). Other growth factors such as hepatocyte growth factor (HGF), insulin-like growth factor 1 (IGF-1), and tyrosine kinase receptor of HGF and IGF-1 are also related to cyst formation in ADPKD.

In addition to growth factors, many signaling pathways are involved in ADPKD. First of all, the second messenger adenosine 3', 5' cyclic monophosphate (cAMP) is crucial in cystic kidney. cAMP, an intracellular mediator of adenylyl cyclase signaling, promotes cell proliferation of cystic epithelia. ADPKD patients and various animal models of polycystic kidney disease show an elevated cAMP level in kidney. Even in normal human kidney, stimulation by cAMP drives a cystic

phenotype. Upregulation of cAMP mainly influences calcium signaling. cAMP induces cyst expansion and fluid secretion in intact cysts (Ye and Grantham 1993). When the level of intracellular calcium is decreased, cAMP/PKA signaling activates the Src/Ras/Raf/MEK/ERK pathways in ADPKD patients. ERK signaling, which is induced by PKA, also leads to the activation of mTOR signaling (Spirli et al. 2010; Distefano et al. 2009). Signal transducer and activator of transcription 3 (STAT3) responds to cAMP. STAT3 plays key roles in the development and maintenance of proinflammatory conditions in cystic kidneys (Martinez and Grantham 1995; Talbot et al. 2014).

Following the identification of clear mechanisms driving cell proliferation in the cysts of ADPKD, there have been many clinical trials to alleviate disease progression. Some representative drugs are vasopressin V2 receptor (V2R) antagonist, somatostatin analogs, mTOR inhibitors (rapamycin, sirolimus, and everolimus), Raf kinase inhibitors (PLX5568 and sorafenib), Src/Abl inhibitor SKI-606 (bosutinib), and MEK inhibitors (PD184653 and UO126) (Renken et al. 2011; Sweeney et al. 2008; Shillingford et al. 2006; Elliott et al. 2011; Omori et al. 2006; Tao et al. 2005; Buchholz et al. 2011; Shibazaki et al. 2008; Yamaguchi et al. 2010).

### ***1.2.2 Inflammation and Immune System***

Recently, activation of macrophages was detected in the cyst lining epithelia in several mouse models of ADPKD. This find means that activated macrophages are involved in the proliferation of tubular epithelial cells (Karihaloo et al. 2011; Rae et al. 2007; Swenson-Fields et al. 2013). In addition, some inflammatory responses and gene expression patterns associated with the immune system were determined to be involved in ADPKD through computational analysis (Song et al. 2009). An increased concentration of monocyte chemoattractant protein-1 (MCP-1) induces an increased number of mononuclear cells. We can detect MCP-1 in urine samples from ADPKD patients, which indicates an impairment of the innate immune system because of disease progression (Swenson-Fields et al. 2013). Macrophages play a major role in early developmental stages by removing apoptotic cells after the differentiation of organs. An increased number of macrophages results in the up-regulation of cell proliferation, down-regulation of apoptosis, and finally enlargement of cysts in the kidneys. Several studies have suggested that the downregulation of macrophages might be helpful for curing polycystic kidney diseases.

### ***1.2.3 Structural Abnormality***

The progressive accumulation of extracellular matrix is one of the notable hallmarks of fibrosis in ADPKD. Some animal models of polycystic kidney disease exhibit a thickened and laminated basement membrane and express high levels of

$\alpha 1$  type IV collagen and laminins  $\beta 1$  and  $\beta 2$  (Katz et al. 1989). Polycystin-1, the protein encoded by *PKD1*, is involved in interactions between cells and the extracellular matrix. An excessive accumulation of fibroblasts results in cyst expansion in ADPKD kidney. Recently, in studies using zebrafish, researchers have found that polycystin proteins might be engaged in producing collagen. Therefore, we can infer that the accumulation of collagen is due to malfunctions of *PKD1* and *PKD2* (Mangos et al. 2010).

Extracellular matrix maintains its structure through continual turnover. The rate of the degradation of extracellular matrix is mediated by the matrix metalloproteinases (MMPs), and tissue inhibitors of metalloproteinases (TIMPs) (Catania et al. 2007). In the kidneys of a mouse model with a mutation in *Pkd1*, the levels of MMP-2 and MMP-14 were upregulated (Hassane et al. 2010). Moreover, the levels of MMP-1, MMP-9, and TIMP-1 in serum were increased in the kidneys of ADPKD patients (Nakamura et al. 2000). Taken together, most MMPs and TIMPs were elevated in cystic conditions. In addition, the extracellular matrix interacts with cells. This interaction controls cell proliferation, differentiation, and other cellular functions through specific matrix receptor proteins. Typical examples of these matrix receptor proteins are integrins and proteoglycan-containing syndecans (Geiger et al. 2001). To summarize many studies, researchers found that several integrins and syndecans were increased in ADPKD patients, particularly  $\alpha 2\beta 1$  integrin, integrin  $\alpha 8$ , integrin  $\alpha v$ , integrin  $\beta 4$ , and syndecan-4 (Wilson and Burrow 1999; Wallace et al. 2008; Wilson et al. 1999; Zeltner et al. 2008).

The main physiological function of the kidney is filtration, and it is essential for homeostasis. Components that are over-accumulated or unnecessary are secreted, while other essential factors remain in the circulation. This process occurs when body fluid passes through the kidney, especially in the renal tubules. A sensory organelle called the cilium can detect physical and chemical stimuli such as the flow of fluid (Hildebrandt and Otto 2005). Cilia protrude from the epithelial cells towards the lumen of renal tubules. Cilia are microtubule-based structures and they originate from the basal body or centrosome (Paul and Vanden Heuvel 2014). Alongside many other factors, ciliary defects can also cause polycystic kidney disease. Recent studies have demonstrated that malfunctions of the cilia could influence cyst development (Garcia-Gonzalo and Reiter 2012).

### 1.2.4 Epigenetic Changes and microRNA

Another biological mechanism that could explain the symptoms and pathogenesis of ADPKD is epigenetic regulation. Epigenetic changes including histone modifications such as acetylation, methylation, and phosphorylation are also related to the mechanisms of ADPKD (Li 2011). The mechanism that can control DNA methylation was revealed—an increased level of TGF- $\beta$  evokes DNA methylation in ADPKD tissue, and it might result in fibrosis in kidney (Bechtel et al. 2010).

Furthermore, microRNAs can also evoke ADPKD by directly binding to their target genes. The importance of microRNAs in ADPKD has been emphasized. microRNAs can regulate the expression level of their target mRNA(s) and are related to cell proliferation, differentiation, apoptosis, and many other cellular processes. Individual microRNAs might be increased or decreased in the kidneys of ADPKD patients. Some microRNAs target *PKD1* or *PKD2* directly, or they can target other genes related to the phenotype of ADPKD. For example, the miR-17~92 microRNA cluster, miRNA-21, miR-15a, and miRNA-199a have been identified as candidate ADPKD-involved microRNAs that can regulate cell proliferation and the pathogenesis of ADPKD (Patel et al. 2013; Sun et al. 2015; Lakhia et al. 2015; Lee et al. 2008).

Targeting microRNAs that bind to *PKD1* or *PKD2* might be a viable method to regulate the clinical manifestations of ADPKD, but most previous research about microRNAs and ADPKD has suggested that microRNAs alone are not sufficient for the treatment of ADPKD. Another factor is needed to cure the disease, so many studies have offered microRNAs and their target genes as new therapeutic targets. As a variety of microRNAs involved in cystogenesis have begun to be revealed by microRNA microarray data, research on microRNAs in ADPKD is predicted to become increasingly active in the future (Tan et al. 2011).

### 1.3 What Is Coming Next in ADPKD Research?

Until now, research on ADPKD has been conducted using various approaches such as those at the molecular and structural levels, as well as clinical trials. Although all of these approaches can yield useful results, we need to increasingly focus on identifying methods for the diagnosis and treatment of ADPKD. All researchers should seek to develop a framework for integrating studies across disciplines, and then apply that framework to deciphering an effective treatment modality for curing ADPKD. As many exciting studies are currently underway, it is possible that we may be able to discover a new therapeutic method in the near future.

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