## **Chapter 2 Molecular Functions of Heat Shock Proteins**

 It has been established long time ago that among many changes in cellular activity the most remarkable event in stressed cells of all know organisms is massive production of highly conserved set of heat shock proteins (Schlesinger et al. 1982). Soon after their discovery heat shock proteins (Hsps) have been implicated in thermotolerance based on the ability to recover heat-induced denatured proteins to their native state (Maloyan et al. [1999](#page-21-0)).

 It has been demonstrated by many groups that Hsps help cells and organism as a whole to adapt to elevated temperatures and other forms of stress. Thus, preliminary treatment of culture cells or individuals  $(e.g.$  flies) with moderate temperature enables them to survive the consequent severe heat shock treatment which would have been otherwise lethal. This phenomenon demonstrated in various organisms was termed "induced thermotolerance" (see Feder and Hofmann [1999](#page-19-0) for review). There are abundant data suggesting that accumulation of Hsps after mild heat shock is accounted for the induced thermotolerance phenomenon. Along these lines, it was shown that artificial increase of Hsp70 concentration after transfection of the cells with correspondent constitutive expressed plasmids also led to the resistance against thermal, oxidative and other stresses (Angelidis et al. [1991](#page-18-0); Chong et al. 1998; Li et al. [1992](#page-21-0); Park et al. [2000](#page-21-0)). Transgenic organisms with an increased *Hsp70* gene copy number are more thermoresistant compared with wild-type (Feder et al. 1996). On the contrary, inhibition of protein synthesis in the cells after HS as well as knockout of certain heat shock genes results in reduced thermoresistance and prevents functional recovery of cellular genes after stress (Johnson and Kucey 1988). Furthermore, mutations in individual *Hsp* genes or their deletions as well as mutations in the gene encoding correspondent transcription factor (HSF) ablate induced thermotolerance (Craig and Jacobsen [1984](#page-18-0); Gong and Golic [2006](#page-19-0); Jedlicka et al. 1997). In the following years it was well established that cellular heat shock protection mechanism is dependent on the ability of Hsps to prevent misfolded proteins to form aggregates and effectively regulate degradation and translocation of various proteins to cellular compartments (Feder and Hofmann 1999; Hightower 1991; Hartl and Hayer-Hartl [2002](#page-19-0); Pelham [1986](#page-22-0)).

M.B. Evgen'ev et al., *Heat Shock Proteins and Whole Body Adaptation to Extreme Environments*, DOI 10.1007/978-94-017-9235-6\_2

 After HS, hypoxia, various chemicals, including heavy metals and many other forms of stressful stimuli cellular proteins undergo denaturation which often results in the exposure of hydrophobic regions of polypeptide chains normally packed inside protein three-dimensional structure to cytosolic hydrophylic environment. Because of such exposure proteins begin "to stick" to each other by their hydrophobic regions and, hence, form insoluble sedimenting aggregates highly toxic for the cells (Szalay et al. [2007 \)](#page-22-0). Besides, denatured proteins lose their ability to exercise normal activity in the cells which leads to the irreversible damage of most housekeeping systems and eventual death of the cells. It was demonstrated that Hsps may non-specifically interact with hydrophobic regions of denatured proteins and thus facilitate the restoration of their native tertiary structure and prevent insoluble aggregates formation (Fig.  $2.1a$ ). Other representatives of Hsps do not directly interact with misfolded proteins but may work as cofactors (or co-chaperones) with other Hsps. Thus, dissociation of Hsp70 and protein substrate requires ATP hydrolysis which takes place with participation of Hsp40. The product of this reaction (ADP) is subsequently exchanged for ATP with the involvement of co-chaperones (see below).

 Besides Hsp70, several other groups of Hsps including small Hsps, Hsp60, Hsp90 and Hsp110 protect cellular proteins from misfolding and aggregation. While prevention of aggregates formation is definitely the major function of various Hsps, certain Hsps are able to dissolve already formed aggregates (Mayer 2010). Furthermore, chaperones together with ubiquitin-proteasome system (UPS) are involved in degradation of many cellular proteins (Bercovich et al. 1997; Hartl et al. [2011 \)](#page-20-0). Major pathways of proteins homeostasis maintained in the cells with Hsps involvement are depicted in Fig. 2.1b.

 Hsps and especially certain members of Hsp70 family do not only prevent aggregates formation after HS or other stress but under normal conditions may bind newly synthesized proteins in parallel with their translation processing. They assist translocation of these proteins to different cellular compartments and help to maintain the right conformation. Hsp70 and other proteins capable to non-specifically bind with highly diverse polypeptides and help them to maintain native structure and be transported to their destination in the cell are named "chaperones" after French word "chaperone" – companion or duenna (Fig. 2.2). However, as we mentioned above while not all Hsps have this specific chaperone function part of them are named co-chaperones because they are also induced by stress and assist other proteins to bind peptides and translocate them to various cellular compartments.

**Fig. 2.1** (a) Fluctuations of free energy in the course of proteins folding and aggregates formation. Molecular chaperones stimulate the formation of normal tertiary structures and prevent aggregation process. (**b**) The proteostasis network. Molecular chaperones participate in the folding and transport of synthesizing proteins, restoration of misfolfed peptides and disaggregation of denatured proteins. While prevention of aggregates formation definitely represents the major role of chaperones, representatives of AAA + family (e.g. yeast Hsp104) are able to dissolve already formed aggregates and facilitate their degradation by UPS (ubiquitin proteasome system) pathway (Modified from Hartl et al.  $2011$  with permission)



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 **Fig. 2.2** "The Chaperone", the painting of Herman ten Kate. The chaperone is a lady second from *left*

Below we shall briefly describe the major functions of different heat shock proteins belonging to basic families mentioned above.

 All small stress proteins (sHsps) contain α-crystallin domain 80–100 amino acids in length located at the C-terminal domain of the proteins. The homology of this domain within the family may vary from 20 to 60 % depending on the compared shps relationship. Additionally, some of small Hsp family members at the N-terminal end contain a short conserved region with phosphorylation sites impli-cated in the regulation of small Hsps activity (Kampinga et al. [2009](#page-20-0)).

 The most remarkable feature of all sHsps is the ability to form oligomeric complexes: thus nine β-sheet structures comprising  $\alpha$ -crystallin domain form stable intermolecular contacts with other small Hsps. Furthermore, both homo- and heterooligomeric complexes with molecular weights varying from 200 to 400 kD may be formed (de Jong et al. 1998). Such large complexes exist in the cells under normal physiological conditions and serve as a depot where small heat shock proteins are stored. The temperature elevation and other forms of stress result in dissociation of these preexisting complexes and fast release of small Hsps. Increased expression of sHsps during HS response correlates with better survival from cytotoxic stress. Small Hsps in the form of dimers interact with denatured cellular proteins in ATPindependent manner producing large granules. In the course of such interaction hydrophobic regions of denatured proteins become shielded from each other and loss the ability to form insoluble aggregates. At the next step the restoration of native tertiary structure of stress-damaged proteins is accomplished by Hsp70 and Hsp60 family members (Carver et al. 1995; Fernando and Heikkila [2000](#page-19-0)).

 Besides chaperoning function small Hsps display other diverse roles. For example in response to growth factors stimulation they regulate the formation of actin microfilaments and stabilize actin network damaged by stress (Gusev et al. 2002; Khlebodarova [2002](#page-20-0)).  $\alpha$ B-crystallin, a small Hsp family member closely related to Hsp27 is constitutively expressed and is especially abundant in eye where it is involved in lens formation (Horwitz [1976](#page-20-0); Ingolia and Craig [1982](#page-20-0)). Moreover, members of small Hsp family and especially Hsp27 regulate apoptosis exploring several pathways. It was demonstrated, that Hsp27 negatively regulates the activation of procaspase-9 and can block release of cytochrome c from mitocondria in cells exposed to various pro-apoptotic factors (Arrigo et al. [1998 ;](#page-18-0) Mehlen et al. 1996; Kamradt et al. 2001). Besides, small Hsps may inhibit apoptosis induced by various other factors such as activators of receptors sFAS/APO-1 (Khlebodarova [2002 \)](#page-20-0). In addition, ubiquitously present Hsp27 modulates TNF-induced apoptosis by inhibiting IkB degradation (Kammanadiminti and Chadee [2006](#page-20-0)).

 Recent experiments with *D. melanogaster* strains clearly demonstrated that enhanced expression of small Hsps significantly increases the resistance of flies to different forms of stress and extends life span. High concentration of Hsp22 was detected in mitochondria which are very sensitive to reactive oxygen species (ROS). The flies with suppressed synthesis of Hsp22 were more sensitive to moderate stress and were characterized by reduced lifetime (Morrow et al. [2006](#page-21-0)). When studying chaperoning activity measured as the ability to restore thermally denatured luciferase structure characteristic differences were revealed between individual members of small Hsps family. According to this assay, at the presence of Hsp22 luciferase activity was restored by 50 %, in the case of Hsp23 and Hsp26 by 30 % and in the case of Hsp27 by 40 %. Characteristically, Hsp22 localized in mitochondria which exhibit high sensitivity to oxidative stress manifested maximal restoration activity in these tests (Marcillat et al. 1989; Li et al. 2002). However, it should be noted that the observed differences may be partially attributed to different specificity of individual sHsps to substrate (luciferase in this assay) (Morrow et al. 2006).

Small Hsps may be abundant in certain tissues or organs at specific stages of development under normal physiological conditions. Thus in mammals small heat shock proteins are constitutively expressed in heart and muscles where they are probably involved in the preservation of microfilament network. It was also shown that gonads and heads of the newly hatched young flies are enriched with Hsp23 and Hsp26 while concentration of these small proteins is drastically decreased in these organs in aged flies (Morrow and Tanguay  $2003$ ). Furthermore, Hsp23 is actively synthesized at certain stages of ontogenesis in the brain of *Drosophila* in neuronal cells and in glia (Michaud and Tanguay [2003](#page-21-0) ). Characteristically, the most drastic changes in  $Hsp22$  accumulation take place in flies in the course of aging. Concentration of Hsp22 is increased in the heads and in the thoraxes of aged flies 60 and 20 folds respectively (King and Tower 1999; Wheeler et al. 1995). It was also demonstrated that Hsp22 plays an important role in preserving mitochondria from the consequences of oxidative stress occurring in aged flies (Morrow et al. 2004).

 Hsp40 family comprises another group of heat shock proteins belonging to the so-called "J-proteins" family named basing on their similarity with bacterial *DnaJ* protein described in *E. coli* (Hartl and Hayer-Hartl [2002](#page-19-0) ). Since the major function of Hsp40 is to serve as co-factor of Hsp70, all representatives of this highly diverse group contain J-domain necessary for interaction with Hsp70 family members. It was demonstrated that Hsp40 stimulates ATP-ase activity of Hsp70 by means of interaction between J-domain of Hsp40 with ATP-ase domain of Hsp70 and stabilizes complexes between Hsp70 and protein substrates (Fan 2003). According to the recent model, Hsp40 also recognizes and binds misfolded proteins before their association with the general chaperone Hsp70 (Summers et al. [2009](#page-22-0) ). Different J-proteins function in cytosol, endoplasmic reticulum or mitochondria and serve as cofactors for cytosolic HSP70 and HSC70, or endoplasmic HSPA5/BiP and mitochondrial HSPA9/GRP75 in mammals (Christis et al. [2008](#page-18-0) ).

 The Hsp70 family is probably the most thoroughly studied group of stress proteins. According to x-ray analysis the molecule of canonical human HSPА1А consist of highly concerved 450 a. a. domain at the N-terminal end and rather variable 200 a. a. C-terminal domain. The N-terminal domain manifests ATP-binding activity (behave as ATPase in the presence of Hsp40 cofactor) and in terms of tertiary structure resembles actin ATP-binding domain while variable C-terminal domain represents substrate-binding region of Hsp70 (Flaherty et al. 1990). High affinity of Hsp70 members to ATP enables to easily isolate proteins of this family using ATP-Sepharose for laboratory and practical uses (Welch and Feramisco [1985](#page-23-0)).

 Members of Hsp70 family execute various functions in the cell under stressful or normal conditions mainly related to their well-known chaperoning activity.

 The molecular basis of Hsp70 chaperoning activity is based on the ability of these proteins to effectively bind misfolded cellular proteins and, hence, to prevent their aggregation (Nollen and Morimoto  $2002$ ). By binding the denatured proteins Hsp70 stabilizes them in partially unfolded state (Hartl and Hayer-Hartl [2002 \)](#page-19-0). The ability of Hsp70 proteins to recognize and bind to unfolded polypeptides is defined by peculiarities of their structure: C-terminal domen of Hsp70 contains hydrophobic "pocket" reminiscent in structure of peptide-binding region of the major histocompatibility complex (MHC) proteins, and in particular MHCII. It is of note, however, that peptide-binding site in Hsp70 has more open configuration making possible to interact with much longer peptides (Flajnik et al. 1991; Rippmann et al. 1991). Due to its specific structure Hsp70 recognizes polypeptides enriched with hydrophobic amino acids. Under physiological conditions such hydrophobic motifs are hidden inside normally folded protein and, hence, the appearance of such a. a. sequences on the surface of the protein molecule is usually a landmark of misfolded (or newly synthesized) proteins. It was demonstrated that hydrophobic amino acid sequences recognized by Hsp70 are found in polypeptides on the average every 40 a.a. (Frydman 2001). The interaction with Hsp70 leads to stabilization of protein substrates in unfolded state and, hence, prevents their aggregation.

 At the next stage the restoration of native conformation may occur either with direct involvement of Hsp70 and its co-chaperone Hsp40 or partially restored proteins may be transported to chaperonins or Hsp90 (for certain proteins) for complete restoration of their native structure (see below).

 In fact the detailed interaction of Hsp70 with substrate was initially described in *E. coli* for DnaK (Liberek et al. [1991](#page-21-0)) and later in eukaryotes (Summers et al. 2009). As a rule, folding of proteins with participation of Hsp70 or DnaK (in *E. coli* ) requires several repeated cycles of association-dissociation accompanied by ATP hydrolysis. At the first stage Hsp70 interacts with complex of unfolded protein substrate and Hsp40 (DnaJ) in ATP-bound form (see Fig. [2.3 \)](#page-6-0). At the second stage DnaJ stimulates ATP hydrolysis and stabilizes the formed Hsp70-substrate

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Fig. 2.3 Hsp70-substrate interaction cycle. *1* Initially, a non-native polypeptide is bound by Hsp40. *2* Hsp40-substrate complex binds with Hsp70 via J-domen of hsp40. *3* J-domain-stimulated ATP hydrolysis in the nucleotide-binding domain induces a conformational shift in the Hsp70 substrate-binding domain, increasing affinity for the non-native polypeptide that is released from the Hsp40. 4 In the case of polyubiquitinated protein substrate Hsp70 can direct them into proteasome for subsequent degradation. *5* and *6* – nucleotide exchange factors (NEFs) such as the BAG1 or Hsp110 replace the ADP with ATP, and the polypeptide releases from Hsp70. *7* If the polypeptide remains in a non-native conformation, the cycle can be repeated until folding is complete. *UPS* ubiquitin-proteasome pathway, *ATP* adenosine triphosphate, *ADP* adenosine diphosphate and *Pi* phosphate (From Summers et al. [2009](#page-22-0) with modification)

complex. The affinity of Hsp70 to the product of reaction  $-$  ADP  $-$  is significantly higher than to ATP and, thus, dissociation of ADP requires cochaperone nucleotide exchange factor – GrpE in *E. coli.* After the dissociation of Hsp70-ADP complex the substrate is released and Hsp70 binds a new ATP molecule. Interestingly, in eukaryotic cells the interaction of Hsp70 with protein substrate also requires J-protein for hydrolysis of ATP, while dissociation of ADP is stimulated by cochaperones HspBP1 (Hsp70 binding protein 1) and BAG-1, and, according to the last data, Hsp110 (Summers et al. 2009). Furthermore, binding of Hsp70 with ATP and substrate is promoted by special protein Hap (Hsp70- and Hsc70-associating protein) (Gebauer et al. 1998; Houry 2001). Certain proteins e.g. steroid hormone receptors and many transcriptional factors after interaction with Hsp70 form stable complexes with Hsp90. The subsequent dissociation of these complexes requires interaction with a specific ligand or phosphorylation (see below). In some cases misfolded proteins bound with Hsp70 do not restore its native state but undergo degradation by UPS (Fig. 2.3 ). Furthermore, members of Hsp70 family are sometimes involved in degradation of misfolded proteins under normal physiological conditions. For instance, constitutively abundant Hsc70 participates in ubiquitin-dependent degradation of many cellular proteins such as actin, α-crystallins, histone 2A and many others (Bercovich et al. [1997](#page-18-0)). Therefore, constitutive members of Hsp70 family play important roles under physiological conditions and provide normal folding of newly synthesized proteins (Nelson et al. [1992](#page-21-0)). Practically all house-keeping proteins at some point during their translation process and release from the polysomes interact with Hsp70 family members (John et al. [1992 ;](#page-20-0) Ku et al. [1995](#page-20-0) ). Chaperones and in particular certain Hsp70 family members participate in translocation of cellular proteins to endoplasmic reticulum and mitochondria. Thus, in cytosol HSPA1L and HSPA2 recognize and bind to newly synthesized proteins and during the translocation of such proteins through mitochondrial membrane dissociation coupled with ATP hydrolysis occurs. In cytosol HSPA1L and HSPA2 maintain polypeptides in unfolded state thus prevent formation of tertiary structure which inhibits the translocation through the membrane. It was shown that translocation of proteins denatured by urea across the mitochondrial membrane does not require ATP. In the course of translocation through the mitochondrial membrane and cleavage of N-terminal signal sequence, matrix Hsp70 (HSPA9 or GRP75 associated with mitochondrial Hsp40) binds to polypeptide chain. At the next step polypeptide is released with ATP hydrolysis and got caught by mitochondrial Hsp60 which facili-tates its final folding and/or oligomerization (Neupert et al. [1990](#page-21-0); Voos [2009](#page-23-0), 2013).

 Furthermore, BiP in cooperation with GRP94 is involved in the assembly of secreted immunoglobulins (Melnick and Argon 1995). Complexes formed by BiP and GRP94 with antibodies molecules are structurally similar to the complexes formed by Hsp70 and Hsp90 with glucocorticoid receptors and protein kinases in cytosol. Correct folding of MHCI and MHCII molecules also requires chaperones participation. Characteristically, while BiP interacts with both MHCI and MHCII, GRP94 is specific to MHCII and does not interact with MHCI. Furthermore, GRP94 interacts with Toll-like receptors, receptor of insulin-like growth factor and integrins. It is of note that five specific J-proteins (Hsp40 family) function in ER in cooperation with BiP (Christis et al. [2008 \)](#page-18-0). In addition, ER-resident molecular chaperones form heterocomplexes with collagen type IV chains and participate in presentation of antigenic peptides by MHCI (Binder et al. [2001](#page-18-0); Ferreira et al. [1996](#page-19-0)). Major stages of proteins folding and transport with participation of Hsp70 and other components of chaperonic machinery are depicted in Fig. [2.4](#page-8-0) .

 Proteins of another family of chaperones (HSP110 or HSPH) are rather similar to Hsp70 in general structure. The major difference is larger size of C-terminal peptide-binding domain and linker region between N-terminal ATP-binding domain and C-terminal domains in HSP110 (Kampinga et al. 2009; Lee-Yoon et al. 1995). Recent evidence shows that HSPH members play a role of nucleotide exchange fac-tors for the HSPA family (Dragovic et al. [2006](#page-22-0); Raviol et al. 2006). Futhermore, they also show chaperone activity of their own. Unlike Hsp70, Hsp110 lacks the ability to assist in protein folding; however, they exhibit high activity in preventing aggregation of denatured proteins for which is even more efficient than that of Hsp70. For this reason, Hsp110s are called "holdases" but not "foldases", the term used for Hsp70 family (Xu et al. 2012).

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 **Fig. 2.4** General protein folding pathways in mitochondria, cytosol and endoplasmic reticulum of eukaryotic cell. During translocation across the mitochondrial membrane nascent polypeptides interact with cytosolic Hsp70 before and with mitochondrial Hsp70 ( *mtHsp70* ) after the transfer through the translocon. After mtHsp70 action, polypeptides may take native conformation immediately, or can interact with GroEL-GroES complex for subsequent folding. Similarly, in cytosol, nascent proteins may fold immediately after interaction with Hsp70, or interact with subsequent Hsp machinery, such as Hsp90 or cytosolic chaperonin CCT, after Hsp70 dissociation. In this case, before transfer to CCT, nascent polypeptide must be marked by prefoldin (*PFD*). In endoplasmic reticulum, translocated proteins bind with BiP, the ER homolog of Hsp70, and then dissociate with complete folding or interact with ER Hsp90. Therefore, it is evident that chaperonin component of the folding machine is absent in the ER, while substrates of the mitochondrial Hsp90 (Trap1) are unknown. *ATP* adenosine triphosphate, *CCT* chaperonins containing t-complex polypeptide 1, *ER*  endoplasmic reticulum (Hartl et al. 2011; Voos [2013](#page-23-0))

 Chaperonins represent another very peculiar family of stress proteins. They include bacterial GroEL and its mitochondrial homologue in eukaryotes (HSPD or Hsp60), as well as eukaryotic cytosolic protein CCT (TRiC). CCT may function without any cochaperones while GroEL requires cofactor (GroES also named Hsp10). Hsp60 of archebacteria is homologous to eukaryotic CCT and also functions without cochaperones (Ditzel et al. [1998](#page-19-0)). The main feature which differentiates chaperonins from chaperones is their ability to form complex structures resembling

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 **Fig. 2.5** Proteins folding with participation of GroEL/GroES chaperonins system. ( **a** ) *Top view* of GroEL complex. GroEL represents as an asymmetric stack of two heptameric rings, one of which is situated in the *cis* -conformation (ADP/ATP and substrate bound), and the other in the *trans conformation*. Seven ATP molecules bind anticooperatively between rings. (b) The cycle of Gro-EL/GroES action. Chaperonins bind with protein substrates after its interactions with Hsp70 which can be accompanied by local substrate unfolding. *1* ATP-bound GroEL ring interacts with protein substrate (red squiggle). *2* GroES associates with substrate-bound complex and dissociation of GroES and folded protein takes place from the opposite ring. *3* Folding stage. GroES and protein substrate are bound with the apical GroEL domain, substrate escape is prevented. *4* ATP hydrolysis in *cis* -complex permits entry of ATP into the *trans* -complex. *5* and *6* ATP binding is an allosteric signal to the *cis* -complex for GroES, protein substrate and ADP dissociation

a stack consisting of two rings. Each ring consists either of seven like in the case of GroEL or eight like in the case of CCT monomers. Characteristically, GroEL oligomers are formed by identical monomers while CCT complex consist of eight heterosubunits encoded by different genes. Co-chaperone GroES also forms ring-like homooligomeres which are complementary to GroEL complex. In the center of each ring between monomers there is a cavity where folding of protein-substrates takes place (Fig. 2.5). The sequences of each monomer contain several hydrophobic amino acid residues, hidden inside the pore. Protein substrates interact with these residues. Folding of the proteins requires ATP hydrolysis like in the case of typical chaperones. In the case of GroEL the capture of protein substrate inside the pore is accompanied with GroES binding and subsequent ATP hydrolysis. GroES binds the pole of GroEL

oligomer and closes the cavity as a lid (Fig. [2.5](#page-9-0) ). Therefore, folding takes place in the environment separated from the cytosol. After this GroES dissociates from the complex and release of protein-substrate takes place. Such mechanism is very efficient and able to completely isolate protein-substrate from cellular environment (Hartl et al.  $2011$ ). It is of note, that final folding of many proteins after interaction with members of Hsp70 family requires the involvement of chaperonins (Fig. [2.4](#page-8-0)).

 It has been recently demonstrated that over-expression of GroEL and GroES in bacteria helps to maintain mutations which lead to the decrease of protein stability. Apparently chaperonins maintain mutant proteins in active form and prevent aggregation of misfolded proteins. As a result such mutants may survive for some time until compensating mutation occurs which may restore proteins stability. Therefore, chaperonins may have pronounced evolutionary impact promoting the formation of proteins with quite novel activities and characteristics (Tokuriki and Tawfik 2009; Wyganowski et al. [2013](#page-23-0)).

 While GroEL-GroES system functions in bacteria and mitochondria, CCT was found in archea and in eukaryotic cytosol. In eukaryotes this system participates in the folding of major components of cytoskeleton, actin, tubulin and several proteins involved in cell cycle regulation (Brackley and Grantham [2009](#page-18-0)). Before CCT machinery binding a protein substrate should interact with a special protein named "prefoldin" which marks the substrates for CCT (Vainberg et al. [1998](#page-23-0)). In contrast to GroEL, CCT activity does not requires co-factors such as GroES. The closing of central cavity in the case of octameric CCT complex occurs through the conforma-tional changes of apical parts of CCT subunits themselves (Mayer [2010](#page-21-0)).

 The Hsp90 family includes abundant constitutive proteins which can be also induced by heat shock and other forms of stress. In contrast to Hsp70 family Hsp90 interacts with substrates proteins in the form of V-like dimers. Typical molecule of Hsp90 consists of three domains: N-terminal domain executes ATP hydrolysis and resembles in general structure DNA-binding sites of topoisomerases and DNAgirases of bacteria; the central domain provides the interactions with protein substrates; and C-terminal domain is required for dimerization (Freeman and Yamamoto 2002; Harris et al. 2004).

 It was shown that Hsp90 does not bind newly synthesized proteins and does not participate in the process of denatured proteins refolding. However, under stressful conditions Hsp90 prevents aggregation of denatured proteins and helps to transport them to Hsp70 for subsequent 3D structure restoration (Nollen and Morimoto 2002).

 Hsp90 displays a central chaperoning role in the folding, activation and transport of various regulatory proteins which play a key role in signal transduction pathways including normal function of cell cycle. All protein substrates of Hsp90 share the same characteristic feature: they may exist and function in several alternative conformations (Johnson 2012). Association of protein partners with Hsp90 prevents their aggregation and help to maintain the conformation optimal for their interaction with other proteins or low molecular weight ligands. Binding and release of proteins from Hsp90 complexes requires ATP and co-chaperones Hop and р23 (Nollen and Morimoto 2002). Specifically Hsp90 in complex with Hsp70 and several cochaperones regulates signal protein kinases, NO synthases, telomerase, aminoacyl tRNA synthetases, and multiple transcription factors containing helix-turn-helix motif such as HIF-1, HSF, p53 etc. (Abravaya et al. [1992](#page-17-0); Kosano et al. [1998](#page-20-0); Sato et al. [2000](#page-22-0); Whitesell et al. 1998; Xu and Lindquist 1993). In this respect it is necessary to emphasize an important role playing by Hsp90 in the regulation of major heat shock transcription factor (HSF1) which induces intensive transcription of all heat shock genes after temperature elevation and other stresses (see Chap. [3](http://dx.doi.org/10.1007/978-94-017-9235-6_3)). The major results regarding interaction between Hsp90 and protein-targets were accumulated in studies of progesterone and glucocorticoid receptors.

 It was shown that activation of progesterone receptor begins with its recognition by Hsp70 and Hsp40 with subsequent transfer by Hop protein onto Hsp90 accompanied by ATP hydrolysis. The final result of these events contains dimer of Hsp90, p23 and immunofilins providing optimal conformation of the receptor with the ligand (Nollen and Morimoto [2002](#page-21-0) ). In similar way Hsp90 maintains the optimal conformation of multiple other proteins that participate in signal cascades.

 In certain cases Hsp90 may have oncogenic potential and promote malignant transformation of cells. Carcinogenesis may result from the mutations in a few regulatory proteins, such as signal protein kinases, p53 and other regulatory factors that are involved in interaction with Hsp90.

 Normally Hsp90 interacts with с-Src protein kinase, which participates in signal transduction which stimulates cell division. Oncogenic variant of SRC contains a few mutations that disturb its normal function. Hsp90 may interact with oncogenic forms of protein kinases and transcription factors involved in cell division and, stabilizing them, promote oncogenesis (Xu and Lindquist 1993). Furthermore, Hsp90 and Hsp70 participate in folding of р53 and by forming stable complexes with mutant variants of p53 prevent its degradation (Nagata et al. [1999 \)](#page-21-0). It was shown that p53 may form immunoprecipitates with Hsp70 and Hsp90 after hyperthermia (Nagata et al. 1999; Whitesell et al. 1998). It has been also demonstrated that in certain tumors the level of Hsp90 is increased by two to ten folds in comparison with surrounding normal tissues (Schwartz et al. 2003). Basing on such data Hsp90 is considered to be a perspective target for developing antitumor drugs for the purposes of chemotherapy. The suppression of Hsp90 synthesis leads to inactivation and degradation of signal proteins that promote carcinogenesis and may either restore the normal phenotype of the cell or induce apoptosis. Moreover, Hsp90 inhibition was shown to promote tau protein degradation in a mouse model of tauopathy (Dickey et al. [2007](#page-19-0) ). It was shown that cochaperones CHIP, Hop and Hsp40 are constituents of the Hsp90 chaperone complex that promotes tau degradation (Cook and Petrucelli  $2013$ ; Dickey et al.  $2007$ ). At the present time there exists a variety of hsp90 inhibitors both natural and synthetic. Several of them are now passing different stages of preclinical or clinical trials and some were approved by FDA. Cisplatin is one of the Hsp90-blocking agents already widely used at the present time for chemotherapy (Rosenhagen et al. [2003](#page-22-0) ). Unfortunately, high cytotoxity common for various Hsp90 inhibitors represents a serious obstacle for their use in clinics (Cook and Petrucelli [2013 ;](#page-18-0) Garcia-Carbonero et al. [2013](#page-19-0) ; Patki and Pawar [2013 \)](#page-22-0). The other disadvantage of many Hsp90 binders, even such as geldanamycin passing the 3-d stage of clinical trials, is that they often induce the synthesis of Hsp70

 probably as compensation for the Hsp90 inhibition and this synthesis causes the enhancement of cell tolerance to a variety of anti-cancer remedies.

 It was demonstrated by Suzan Lindquist and other groups studying *D. melanogaster* and *Arabidopsis thaliana* that, surprisingly, inhibition of Hsp90 synthesis by rafamycin or geldanamycin leads to the expression of multiple new phenotypes (Rutherford and Lindquist 1998; Queitsch et al. 2002). Moreover, the patterns of expressed phenotypes are strain-specific. The observed phenomenon may be explained by interaction of Hsp90 with mutant regulatory proteins of various signal pathways maintaining them in inactive form. After stress Hsp90 begins to interact with multiple denatured proteins appearing in the cell in high concentration which leads to the release of previous protein-partners including mutant variants that were associated with Hsp90.

 This process may result in the increase of possible signal pathways development and their subsequent fixation in the genome (Rutherford and Lindquist 1998; Queitsch et al. 2002; Sangster et al. [2008a](#page-22-0), [b](#page-22-0)). Further selection may lead to the appearance of stable phenotypes that will be expressed independently on stress and Hsp90 level in the cell (Rutherford and Lindquist [1998](#page-22-0)). Therefore, Hsp90 apparently may play important role in the evolution by preserving and accumulating new genetic variants (Cowen and Lindquist 2005).

 Later it was shown that Hsp90 prevents phenotypic variation by suppressing transposon-induced mutagenesis through piRNAs. It was demonstrated that deficit in Hsp90 activity reduces piRNA expression, activates TE transposition and causes genotype variation (Specchia et al. 2010).

 Furthermore, it was demonstrated that Hsp90 forms a complex with Piwi protein and regulates its phosphorylation. It was proposed that post-translational regulation of Piwi by Hsp90 may allow Piwi to form active complexes with piRNAs and/or epigenetic factors to promote epigenetic and transposon silencing, leading to canali-zation of certain signaling pathways (Gangaraju et al. [2011](#page-19-0)).

 Hsp104/ClpA/ClpB proteins comprise a separate group characterized by the presence of ААА + domain (ATPases associated with a wide variety of cellular activities). These proteins were designated "unfoldases", due to their ability to unfold the tertiary structures of other proteins. Bacteria, fungi, protozoa, chromista and plants all harbor homologues of Hsp104, a AAA + ATPase that collaborates with Hsp70 and Hsp40 to promote protein disaggregation and reactivation. Curiously, however, metazoa do not possess an Hsp104 homologue. In mammals, slowly dissolving of protein aggregates may be realized by complexes of Hsp110, Hsp70 and Hsp40  $(Shorter 2011).$  $(Shorter 2011).$  $(Shorter 2011).$ 

 Hsp104 functions in the form of ring-like hexamers with six active centers localized inside the pore which is formed in the center of hexamer. Polypeptides substrates in un-folded state are pulled through the pore like a thread through the eye of the needle (Fig. [2.6 \)](#page-13-0). Certain representatives of Hsp104 family (ClpA and ClpX in *E. coli* ) function in complex with proteases by means of unfolding of the substrate proteins and translocating them into protease complexes ClpP. Other members of Hsp104 family (ClpB in bacteria and Hsp104 in yeast) dissolve protein aggregates in association with Hsp70 and Hsp40 (Mayer [2010](#page-21-0); Zolkiewski et al. 2012).



<span id="page-13-0"></span> **Fig. 2.6** The participation of  $Hsp70-Hsp104$  (AAA + family member) complex in protein aggregates dissociation. Usually, protein substrates after Hsp104 assistant unfolding enter different proteolysis complexes

Importantly, yeast Hsp104 plays a significant role in the adaptation to extreme environmental conditions, based on the ability to recover splicing after HS. Thus, *S. cerevisiae Hsp104* mutants do not differ in terms of growth kinetics from the wild type cells, while under normal conditions, after acute heat shock or ethanol treatment the mutants die 100–1,000 fold more frequently (Lindquist and Kim 1996; Vogel et al. [1995](#page-23-0); Schirmer et al. 1996). AAA + family includes 19S proteasome complex, mitochondrial Hsp78 and MCX1, that work in cooperation with proteases Pim1/LON and ClpP respectively, and many other different eukaryotic proteins (Ciechanover and Stanhill [2014](#page-18-0); Voos [2009](#page-23-0)).

 Along with the described above "classical" Hsps, to molecular chaperones can be classified certain enzymes, such as disulfide isomerases (PDIs) involved in the formation of disulfide bonds during protein folding processes, and prolyl-peptidyl isomerases (PPIases), which interconverts the *cis* and *trans* isomers of peptide bonds formed by the amino acid proline. These enzymes are found both in prokaryotes and eukaryotes, and are essential for different pathways of the cell physiology (Christis et al. 2008).

 It is evident, therefore, that representatives of different groups of Hsps usually function in the cells in cooperation with members of other Hsps families as well as with multiple cofactors. At the present time there are more than 180 components of chaperone system including cofactors that regulate activity of various Hsps groups (Hartl et al.  $2011$ ). In other words, it is clear nowadays that enhanced synthesis of a single Hsp group cannot account for thermoresistance of the cell and organism as a whole. Apparently thermotolerance is provided by complex interaction of multiple proteins and each of them plays a specific role in protecting cells from harmful effects of stress.

 Apart from their chaperoning functions, Hsps are involved in recovery of normal genome activity after HS and other forms of stress. It was demonstrated exploring different approaches that normal genetic activity fails to recover if protein synthesis after HS is inhibited. It was shown along these lines that following thermal stress

Hsp90 is accumulated in the cytoplasm and enters the nuclei in large quantities where it binds histones and performs other protective functions (Prodromou et al. [1997 \)](#page-22-0). If the translocation of Hsps into the nuclei after HS is blocked, the chromatin structure is disturbed due to histones aggregation and inactivation of DNA topoisomerases. As a consequence, unwinding of DNA necessary for normal transcription does not occur after stress termination. Hsp70 also plays an important role in recovery of normal genome functioning after stress. Immunofluorescent analysis revealed the transport of Hsp70 from cytoplasm into nuclei, which is especially pronounced after DNA damage by free radicals and adriamycine (Abe et al. [1995](#page-17-0); Knowlton et al. 2000). It was demonstrated that after translocation Hsp70 interacts with proteins of nuclear matrix and is predominantly accumulated in the nucleolus (Abe et al. 1995).

 Apart from their direct chaperoning functions, majority of the constitutive as well as stress induced heat shock proteins interact with members of the apoptotic cascades since pro-apoptotic stimuli frequently induce Hsps (Li et al. [1999 \)](#page-21-0).

 At the present time abundant material is accumulated indicating important antiapoptotic role of certain mammalian HSPs and in particular HSP70 and HSP27. Importantly, such effect depends on the cell line and particular apoptotic-signaling pathway (Ahn et al. [1999](#page-18-0); Brar et al. 1999; Lasunskaia et al. 1997; Takano et al. 1998; Wagstaff et al. 1999). Increased expression of HSP27 during stress response correlates with better survival from cytotoxic stress induced by different stimuli. It was shown that HSP27 can efficiently block release of cytochrome c from mitochondria in cells exposed to staurosporine or cytochalasin D (Paul et al. [2002 \)](#page-22-0).

On the other hand, HSP70 is able to efficiently block p53-induced apoptosis but is not effective in the case of Fas-mediated apoptosis (Schett et al. [1999 \)](#page-22-0). Heat shock-induced HSP70 significantly inhibits the activation of stress kinases of SAPK/JNK family. These kinases are involved in phosphorylation of p53 and antiapoptotic protein bcl-2 and, hence, initiate apoptosis. The latter protein (bcl-2) controls the release of cytochrome c from mitochondria and serves as one of the major inhibitors of apoptosis. Overexpression of HSP70 inhibits JNK activity and prevents translocation of pro-apoptotic protein Bax from cytoplasm to mitochondria where it triggers release of various death factors (Mosser et al. [1997](#page-21-0); Stankiewicz et al. [2005 \)](#page-22-0). It is of note, that anti-apoptotic effect of HSP70 is realized in this case not by direct inhibiting of JNK substrates phosphorylation but rather by blockade of early stages of its activation by controlling the activity of certain phosphatases that use JNK as a substrate (Gabai et al. [1998](#page-19-0); Kumar and Tatu 2003). HSP70 may regulate apoptosis through interaction with BAG-1 protein which activates proapoptotic protein Bax (Mosser et al. [1997](#page-21-0)). HSP70 may also directly interact with Apaf-1 and, thus, inhibit formation of functional apoptosome complex and activation of initiator caspase-9 (Mosser et al. 2000). Therefore, it was demonstrated that HSP70 function as anti-apoptotic agent at the very early stages of apoptosis which may explain its high protective potential. There are data suggesting that HSP70 may exercise its anti-apoptotic functions at later stages of apoptosis as well. Thus Mosser with coauthors demonstrated that constitutive expression of HSP70 decreases proteolysis of caspase-3 substrates while *in vitro* HSP70 can not modulate the function of activated caspase-3. Probably HSP70 is able to bind caspases substrates and thus protects them from proteolysis. The ability of HSP70 to protect cells from death due to expression of active caspase-3 corroborates this hypothesis (Jaattela et al. 1998; Komarova et al. [2004a](#page-20-0), [b](#page-20-0); Mosser et al. [1997](#page-21-0)).

 Various death-inducing stimuli such as TNF, may cause apoptosis via activation of transcription factors of NF-kB family. Heat-induced HSP70 interacts with р65 and c-Rel proteins belonging to this family and prevents their nuclear translocation thus hampering the TNF-induced apoptosis in U-937 cells. The protective effect is independent on the presence of NF-kB inhibitor (IkB), because IkB was not detected in complexes of HSP70 with p65 and c-Rel (Komarova et al. [2004a](#page-20-0), [b](#page-20-0)).

Furthermore, HSP27 protects fibrocarcinoma cells from apoptosis induced by Fas receptor activation and protein kinase C inhibitor staurosporin and provides resistance to adriamycine to the breast tumor cells. The essential protective antiapoptotic action of Hsp27 is based on its two important characters: first, HSP27 is able to decrease solubility of multiple transcription factors including p53, thus modulating their activity and transport in the cell. Second, HSP27 is able to efficiently bind cytochrome c released from mitochondria and, thus, prevents its interaction with Apaf-1 and inhibits caspase-9 activity (Arrigo et al. [1998](#page-18-0); Kamradt et al. 2001; Mehlen et al. 1996).

 The above brief survey clearly shows that various HSPs may modulate apoptosis at different stages and interact with various components of cell death program realization. This may explain high efficiency of anti-apoptotic action of HSPs and strain-specific pattern of their modulating effects. If the intensity of stress does not exceed certain threshold rapid switching on of the battery of heat shock genes and resulted inhibition of apoptosis enables the cell to survive the challenge. However, in the case of a heavy and prolonged stress HSPs are not able to inhibit or block apoptosis and in this instance the cell eventually dies. The cell death is executed due to rapid activation of protein kinases р38 and JNK, that phosphorylate HSF1 at the regulatory domain and, this in turn, blocks its activity and prevents HSPs accumula-tion after shock (Anckar and Sistonen 2007; Chu et al. [1996](#page-18-0); He et al. [1998](#page-20-0)).

 Basing on the data mentioned above it is possible to assume that involvement of HSPs in apoptosis may play two different roles for the cells and organisms. On one hand, the demonstrated anti-apoptotic effects of HSPs in the case of brain or heart ischemia enable to consider HSPs as one of the most effective protective systems of an organism. On the other hand, the observed inhibition of apoptosis in many types of malignant cells by HSPs allows to consider them as powerful promoters of carcinogenesis. It is well-known that most cancer cells are characterized by high constitutive levels of HSP70 and HSP27 which render them to be highly resistant to many apoptosis-inducing drugs as well as to high temperature (hyperthermia) or hypoxia (Jaattela 1999). We are not going herein to discuss in detail the involvement of different HSPs in carcinogenesis because it is beyond the scope of the book and there are excellent reviews on the subject (Ciocca et al. 2013; Cohen et al. [2010](#page-18-0); Murphy [2013 ;](#page-21-0) Rappa et al. [2012](#page-22-0) ). However, it is of note that Hsp70 expressing in great quantities in certain cancer or virus-infected cells may be released to culture medium or blood and carry tumor or viral antigens serving as modulator of both innate immunity and adaptive activity and exercise multiple other functions (Calderwood and Ciocca 2008; Didelot et al. 2007; Joly et al. 2010).

## 2 Molecular Functions of Heat Shock Proteins

 It is necessary to mention in this context that for more than two decades after the initial discovery of heat shock proteins including various members of Hsp70, all Hsps were considered to be intracellular proteins and it was generally believed that a protein the size of Hsp70 or more could not pass through the cell membranes without the involvement of a special membrane transporter or a pore. However, several reports appeared indicating apparent release and cell-to-cell transfer of the Hsp70 protein (Hightower and Guidon  $1989$ ; Tytell  $2005$ ; Tytell et al.  $1986$ ,  $2010$ ). Later with the discovery that Hsp70 (exogenous Hsp70 or eHsp70) was detectable in the intercellular circulation of humans (Pockley et al. 1998, 2002), a lot of publications appeared demonstrating the presence of Hsp70 in many other extracellular fluids including cerebrospinal fluid (Asea [2007](#page-18-0); Tytell et al. 2010). Originally elevated basal levels of eHsp72 were reported in people suffering from a variety of diseases including hyper-tension, artherosclerosis etc (Reviewed by Johnson and Fleshner [2006](#page-20-0); Asea [2007](#page-18-0)).

 Not long after these reports, it was shown by several groups that organisms in the absence of clinical disease may also rapidly respond to acute physical or psychological stressors by pronounced increase in the eHsp70 concentration in the blood (Asea  $2007$ ; Johnson and Fleshner  $2006$ ; Tytell et al.  $2010$ ).

 These results enabled to conclude that stress-induced release of eHsp70 into the blood represents quite common feature of the normal stress response exhibited by various organisms including humans that encounter adverse environmental conditions such as high temperature or various xenobiotics. There is no doubt at the present time that eHsp70 has functional significance for both immune system and tolerance to different forms of metabolic stress.

 Interestingly, benzene-poisoned workers showed a high incidence of antibodies against Hsp72 which was associated with a decrease in white blood cells and high frequency of lymphocyte DNA damage. These data suggest that antibodies against Hsps can potentially be useful biomonitors to assess if people have experienced abnormal xenobiotic-induced stress within their living or working environment (Wu et al. 1998).

 There are several potential release mechanisms for Hsp70 and other Hsps that lack the signal peptide targeting them to secretory vesicles. First of all Hsps may be released from cells whose membranes were damaged by trauma or necrosis induced by various means. Besides such unregulated leakage which may happen in all cells and tissues, regulated release of Hsps and specifically Hsp70 may take place exploring membrane-bounded vesicles called "exosomes" that can be produced in a wide variety of cells (Fevrier and Raposo [2004](#page-19-0); Johnson and Fleshner 2006). Though exosomes may account for Hsp70 release by various cells in many cases, recent investigations demonstrated that secretion via conventional secretory vesicles is also an option and can not be excluded (Tytell et al. [2010](#page-23-0)). Once released, Hsps can interact with the membranes of various cells, be involved in cell-to-cell interactions and enter the cytoplasm of target cells through the membrane by different not yet completely understood mechanisms (Ekimova et al. [2010](#page-19-0)). It became clear that Hsp72 in human cells apparently has unique releasing signals and immunomodulatory functions when expressed in an extracellular context on the cell surface or in the circulation in blood or other extracellular fluids.

<span id="page-17-0"></span> While protective therapeutic role of intracellular Hsp72 was clearly demonstrated by many groups in the treatments of multiple neurodegenerative disorders such as Parkinson's disease, Huntington's disease, Alzheimer's disease and other proteinopathies the ability of eHsp70 to inhibit chronic inflammation and/or exacerbates inflammatory diseases such as Alzheimer's inflammatory bowel disease or artherosclerosis have only recently been investigated in detail (Bobkova et al. [2013 ;](#page-18-0) Ekimova et al. [2010](#page-19-0); Tytell et al. 2010; Vinokurov et al. [2012](#page-23-0)).

As a result of numerous papers describing pronounced anti-inflammatory effects of endogenous intacellular and extracellular heat shock protein 72 exploring various mammalian models of sepsis and neurodegeneration several years ago first attempts were made to apply recombinant Hsp70 as a therapeutic drug and monitor its neuroprotective effects exploring various methods of Hsp70 administration (Bobkova et al. 2014; Ekimova et al. 2010). Although these studies demonstrated that recombinant eHsp70 may be a practical therapeutic agent for treatment of neurodegenerative diseases associated with abnormal protein biogenesis and cognitive disturbances, such as AD, the molecular mechanisms underlying the observed neuroprotection remain unknown.

## **2.1 Conclusions**

 Molecular chaperones are highly conserved proteins, providing non-covalent folding, assembling and transport of a wide range of cellular proteins. Expression of many chaperones is stress-induced, and, hence, these proteins protect cells from various forms of metabolic stress, by restoring the conformation of denatured proteins and eliminating of irreversibly damaged proteins. Other constitutively expressed chaperones perform folding and intracellular transport of newly synthesized proteins under normal physiological conditions. In addition, chaperone network is involved in regulation of a large number of cellular signal transduction pathways and cytoskeleton assembling. Different classes of molecular chaperones and their protein co-factors (co-chaperones) work in tight cooperation providing complex house-keeping and anti-stress system, which plays a key role in protein homeostasis in a cell. Recombinant Hsps and in particular Hsp70 represent promising therapeutic agents for treatment of various neurodegenerative diseases associated with abnormal protein biogenesis.

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