

Chapter 7

The Roles of Retinoic Acid and Retinoic Acid Receptors in Inducing Epigenetic Changes

Alison Urvalek, Kristian Bruun Laursen and Lorraine J. Gudas

Abstract Epigenetics is “the branch of biology which studies the causal interactions between genes and their products which bring the phenotype into being” as defined by Conrad Waddington in 1942 in a discussion of the mechanisms of cell differentiation. More than seven decades later we know that these mechanisms include histone tail post-translational modifications, DNA methylation, ATP-dependent chromatin remodeling, and non-coding RNA pathways. Epigenetic modifications are powerful drug targets, and combined targeting of multiple pathways is expected to significantly advance cancer therapy.

Abbreviations

SAH	S-adenosylhomocysteine
SAHA	Suberoylanilidehydroxamic acid
KMT/PRMT	Lysine/arginine methyltransferase
KDM/PRDM	Lysine/arginine demethylase
ES	Embryonic stem
HDAC	Histone deacetylase
CpG	Phosphodiester-bonded cytosine–guanine dinucleotide
MECP	Methyl-CpG-binding domain proteins
DNMT	DNA methyltransferase

A. Urvalek (✉) · K. B. Laursen (✉) · L. J. Gudas
Department of Pharmacology, Weill Cornell Medical College,
1300 York Avenue, New York, NY 10065, USA
e-mail: alu2004@med.cornell.edu

K. B. Laursen
e-mail: kr12004@med.cornell.edu

L. J. Gudas
e-mail: ljgudas@med.cornell.edu

RAR	Retinoic acid receptor
RA	retinoic acid
Hox	Homeobox
ChIP	Chromatin immunoprecipitation
RXR	Retinoid X receptor
RARE	Retinoic acid responsive DNA element
PRC	Polycomb repressive complex
5hmC	5-hydroxymethylcytosine
5-Aza	5-Aza-2'-deoxycytidine
DZNep	3-Deazaneplanocin A
HSCs	Hematopoietic stem cells
TRAIL	TNF-related apoptosis-inducing ligand
TDG	thymine DNA glycosylase
PP	proximal promoter

Standardized Gene Names/Nomenclature

KDM1	LSD1/2
KDM4A	JMJD2A
KDM5A	Jarid1A/B/C/D
KDM6	JMJD3/UTX/(UTY)
KAT3A/B	CBP/p300
KAT6A	MOZ
KAT6B	MORF
MT2A	MLL1
KMT2B/C	MLL2/3

Introduction

What determines whether a given piece of DNA along the chromosome is functioning, since it's covered with the histones? You can inherit something beyond the DNA sequence. That's where the real excitement of genetics is now.

—James Watson, 2003

The term “epigenetics” was coined by Conrad Waddington in 1942 in a discussion of the mechanisms of cell differentiation. Waddington defined epigenetics as “the branch of biology which studies the causal interactions between genes and their products which bring the phenotype into being” [97]. The specific epigenetic mechanisms that regulate genetic programming were not discovered until decades after Waddington first coined the term [30, 73]. These mechanisms are now known to include histone tail post-translational modifications, DNA methylation, ATP-dependent chromatin remodeling, and non-coding RNA pathways [88]. With

these discoveries, Waddington's original definition of "epigenetics" has changed and evolved to the currently accepted view that "epigenetics (epi—being a Greek prefix for "on top of") refers to "the study of heritable changes in genes that are not the result of changes in the DNA sequence" [74].

Dr. James Watson won the Nobel Prize for his seminal role in discovering the structure of the DNA double helix structure in 1953, but 50 years later he acknowledged that DNA is not the sole regulator of gene inheritance and expression. Instead, epigenetic changes that occur "above" the DNA may be just as or more important than genetics in terms of their effects on development and disease state. Retinoic acid (RA), a vitamin A derivative that functions as the active metabolite in cellular signaling, induces cell differentiation in stem cells and some cancer cells. Along with the more well known effects of RA signaling on cell lineage specification through transcriptional activation of retinoic acid receptor (RAR)-regulated genes, recent studies are demonstrating that RA also mediates cell differentiation via rapid, profound effects on the epigenome. This observation is opening up a new area of fundamental research into transcriptional regulation as well as pointing the way to new clinical applications of RA. The use of RA in combination with drugs that modify the epigenome is showing promise in the treatment and/or prevention of several types of cancer. This type of combination therapy is increasingly relevant, as many types of cancer exhibit aberrant levels of or mutations in epigenetic regulatory proteins.

History: Epigenetic Regulation Is Achieved by a Number of Different Mechanisms

Waddington nicely illustrated the idea of genotype-to-phenotype changes along cell development pathways by his drawing of an "epigenetic landscape" [96]. In this model specific epigenetic modifications are acquired as progenitor cells, depicted as marbles, differentiate and commit to a specific cell fate, conceptualized as marbles rolling down into one of several valleys. This idea has been substantiated by experimental findings where it has been demonstrated that commitment of cells into specific differentiation pathways is associated with progressive epigenetic modifications [34].

Histone Protein Tail Modifications and Transcriptional Regulation

Cellular chromatin is composed of DNA-wrapped nucleosomes packed into regions of either compacted or loose nucleosomal structure, referred to as hetero- and euchromatin, respectively. In general, genes residing in heterochromatic regions are silenced, whereas genes located in euchromatic regions are actively transcribed.

The nucleosome is a histone octamer composed of two of each of the core histones H2A, H2B, H3, and H4, and one auxiliary H1 linker histone [22]. The histone proteins are each composed of a globular domain with an extended, positively charged N-terminal tail that interacts with the phosphodiester backbone of the DNA. Histone

proteins were discovered in 1884, but it was not until 1963 that histone tails were shown to be post-transcriptionally modified [66]. Subsequently, the effects of these histone modifications on gene regulation began to be elucidated [2]. Importantly, the histones, in particular the lysine/arginine rich tails, were shown to be targets for extensive post-transcriptional modifications. Recently, Yuan et al. [101] have shown that RA-mediated transcriptional activation of the Cytochrome P450 26a1 gene is associated with a loosening of the chromatin structure, which is required for transcriptional activation.

Histone protein tail regulation is highly complex, and numerous post-translational modifications can regulate different aspects of gene transcription; these include phosphorylation, sumoylation, ubiquitination, ribosylation, neddylation, ADP-ribosylation, citrullination, and others [82, 100]. The enzymes that regulate these modifications can be divided into three groups of epigenetic regulators: “writers”, “readers”, and “erasers”.

Histone modifications are generated by “writer” enzymes, which include families of lysine/arginine methyltransferases (KMTs/PRMTs), histone lysine acetyltransferases (KATs), and serine/threonine kinases. Methylation of histone tails, including trimethylation of histone 3 lysine 9 (H3K9me3) and 27 (H3K27me3), is generally associated with gene repression, whereas the acetylation of the same residues (H3K9 and H3K27) correlates strongly with gene activation [31, 93]. There are also instances in which methylation of certain residues is associated with gene activation, such as methylation of H3K4 and H3K36 [79, 94]. Acetylation by KAT proteins, such as KAT3A (p300), KAT3B (CBP), PCAF, or KAT13B (pCIP), promotes the activation of gene expression by neutralizing the positive charges of histone tails [93], leading to loosening of the negatively charged chromatin and subsequent binding of DNA binding factors that promote gene transcription.

The actions of “writer” enzymes are countered by a group of enzymes known as “erasers”. “Erasers” are responsible for the removal of specific histone modifications. This group includes lysine/arginine demethylases (KDMs/PRDMs), histone deacetylases (HDACs), and serine/threonine phosphatases (summarized in Table 7.1). Typically, these “erasers” counteract the actions of “writer” enzymes, having direct effects on gene transcription. For example, “writer” enzymes of the KAT family mediate the deposition of acetylation marks onto lysine residues of histone tails, thereby neutralizing the attraction of positively charged histones with negatively charged DNA. This allows for the unraveling of DNA, thereby allowing general transcriptional machinery and other proteins to bind that mediate gene activation. Conversely, “eraser” proteins of the HDAC family remove the acetylation mark. This allows the DNA to again wrap around histones, preventing the binding of general transcription machinery, thereby leading to gene repression.

“Reader” proteins specifically bind to post-translationally modified chromatin, and recognize these specific histone modifications to alter chromatin structure and dynamics. Often, “reader” proteins are part of larger protein complexes that contain “reader” and/or “eraser” proteins. Without “reader” proteins, posttranslational modifications would not be recognized, and the protein complex or specific “writers” or “erasers” would not be recruited. Alternatively, “writer” or “eraser” proteins themselves can also serve as “readers” proteins. For example, KAT

Table 7.1 Groups of Epigenetic Modifiers and their functions

	Writer	Eraser	Reader
Histone marks			
Lysine methylation	KMT	KDM	CBX proteins
Arginine methylation	PRMT		14-3-3-proteins
Lysine acetylation	KAT	HDAC	
Serine/threonine phosphorylation	S/T Kinase	Phosphatase	
DNA methylation			
CpG (5meC)	DNMT	DNA demethylase	MeCP MBD1-4

“Writers” are a group of enzymes that mediate the addition of epigenetic modifications (marks). “Erasers” are proteins with enzymatic activity that mediate the removal of these marks. “Readers” are proteins, generally with no enzymatic activity, that recognize and bind to posttranslational modifications to mediate downstream effects

Key Lysine methyltransferase (*KMT*); protein arginine methyltransferase (*PRMT*); lysine acetyltransferase (*KAT*); serine/threonine kinases (*S/T kinase*); lysine demethylase (*KDM*); histone deacetylase (*HDAC*); DNA methyltransferase (*DNMT*); methyl-CpG-binding domain proteins (*MeCP*); chromobox homolog (*CBX*)

proteins possess a bromodomain that recognizes and binds acetylated lysine residues on histone tails. This allows for KAT proteins to further mediate acetylation at these specific DNA regions. Because of this, these “reader” proteins also mediate changes in transcription or DNA replication [14].

The distinction between “writers”, “readers”, and “erasers” is complicated by the fact that protein complexes that add marks (“writer” complexes) are frequently composed of several subunits with different enzymatic properties. For example, the polycomb repressive complex 2 (PRC2) is comprised of at least four subunits which include the Suz12 (zinc finger), Eed, Ezh2 (SET domain with histone methyltransferase activity) and RbAp48 (histone binding domain) proteins. Importantly, the Ezh2 protein has enzymatic activity and can add methyl groups specifically to the H3K27 resulting in trimethylation of this histone residue. This posttranslational modification is deposited onto histone tails at lysine 27 by the PRC2 complex—a “writer”, but is recognized by the polycomb repressive complex 1 (PRC1)—a “reader” (Min et al. [59]. However, PRC1 mediates the deposition of ubiquitin, another histone modification, onto histone 2A lysine 119. PRC1 can in other words “read” the H3K27me3 and “write” the H2A, K119Ub, and can thus be considered both a “reader” and a “writer” enzyme [80]. Additional modifications of the histone tail (e.g. H3S28ph) proximal to the site of the initial modification (H3K27me3) add another layer complexity. In this example the recognition of H3K27me3 by the INHAT “reader” protein is prevented by phosphorylation of Serine 28 (H3S28ph) [44]. This illustrates how modification of nearby residues can interfere with the recognition of specific histone marks by “reader” proteins. The effect of combinatorial histone modifications is commonly referred to as the histone code, a term coined by Charles D. Allis in 2001 [38]. As exemplified above by the context dependent recognition of H3K27me3, the emerging view is that the recognition by “reader” proteins is not dictated only by specific histone modifications, but rather by an interplay between different histone modifications.

DNA Methylation and Gene Silencing

In contrast to histone modifications, which are relatively transient in nature, DNA methylation provides a more persistent, long-term gene silencing. DNA methylation occurs when a methyl group is deposited on the cytosine of a phosphodiester-bonded cytosine-guanine dinucleotide (CpG) sequence. DNA methylation, e.g. the formation of 5-methylcytosine (5mC), was first proposed as a mechanism for changing gene expression in 1975 [35, 73]. CpG sequences are typically concentrated in large clusters called CpG islands, predominantly located at or near gene promoters, but CpG islands are also found in intergenic regions. Members of a family of DNA methyltransferase (DNMT) enzymes transfer methyl groups to DNA and this engenders stable, long term gene silencing [18]. DNA methylation is introduced by the recruitment of DNMT3a and 3b by sequence specific repressors that silence gene transcription [25]. Newly replicated DNA is transiently hemi-methylated until DNMT1 uses the methylated parent strand to direct deposition of corresponding methylation on the daughter strand, thus maintaining the overall pattern of DNA methylation [48]. In the context of DNA methylation, the DNMTs function as “writers”, whereas methyl-CpG-binding domain proteins (MECP), which recognize methylated CpGs, function as “readers” [76]. Recently, researchers have determined that DNA methylation is reversible [47, 81], which suggests that DNA methylation is a dynamic process rather than a one-way mechanism of gene silencing, as was previously thought to be the case.

Selected groups of epigenetic regulators are listed in Table 7.1, where families of “writers”, “erasers”, and “readers” are listed for each type of epigenetic modification (individual rows). In Table 7.2 are listed a number of commonly investigated epigenetic modifications (individual rows), and their effects on transcription.

Other Epigenetic Regulators of Gene Expression

ATP-dependent remodeling of chromatin structure and long intergenic non-coding RNAs (lincs) are other major epigenetic regulators of gene expression, but to date, little is known about their roles, if any, in RA regulated gene transcription. Here, we will focus on what is known about RA involvement in histone modifications and DNA methylation.

Development of the Field: Retinoids and RARs Mediate Histone Modifications

RA functions as the ligand for retinoic acid receptors (RARs), and can regulate several developmentally important genes, including the Hox (homeobox) gene clusters [41, 42, 50]. At these gene clusters as well as at other RA regulated genes,

Table 7.2 Selected histone modifications and their enzymatic regulators

	Histone mark	Writer	Eraser
Repression	H3K27me3	EZH2, NSD3	KDM6A/B (JMJD3)
	H3K9me3	SETDB1/2 SUV39H1/2	Lysine specific demethylase 4A/B/C/D
Activation	H3K4me1	SETD7	KDM1A KDM5B
	H3K4me2	NSD3	KDM5A/D KDM1A KDM5B
	H3K4me3	MLL MLL3/4 PRDM9 SETD1A/B SET AND MYND domain-containing protein 3	Lysine specific demethylase 4A/B/C/D KDM5B
	H3K36me3	SETD2 NSD2	Lysine specific demethylase 4A Lysine specific demethylase NO66
	H3K14Ac	PCAF MYST3	HDAC3
	H3K9Ac	PCAF KAT13B (pCIP) KAT6A (Moz)	SIRT1 SIRT6
	H3K27Ac	KAT3A/B (P300/CBP)	–
	H3S28Ph	MAPKKK-MLT MSK1/2 STK5	–

Specific histone marks involved in transcriptional regulation, and the enzymes that modify these marks. Activating marks are modifications that generally favor transcription (Activation), whereas repressive marks are modifications that favor transcriptional silencing (Repression). Examples are given of specific histone modifications (Histone marks), and of the specific enzymes depositing (“writers”) and removing (“erasers”) these marks. This is not a comprehensive list, but rather a list of the most well understood regulators of epigenetic changes

Key enhancer of zeste homolog 2 (*EZH2*); nuclear SET domain-containing protein (*NSD3*); lysine (K)-specific demethylase (*KDM*); SET domain, bifurcated (*SETDB*); methyltransferase variant (*SUV39H12*); SET domain (*SETD*); mixed-lineage leukemia (*MLL*); positive regulatory domain (*PRDM*); p300/CBP associated factor (*PCAF*); histone deacetylase (*HDAC*); lysine acetyltransferase (*KAT*); MAP-kinase-kinase-kinase; mitogen- and stress-activated protein kinase (*MSK*); aurora kinase (*STK*)

heterodimers of RAR γ and retinoid X receptor α (RXR α) recognize and bind to RA responsive DNA elements (RAREs), then inducing epigenetic changes and transcriptional induction in response to RA (Fig. 7.1) [27, 28]. The transcriptional induction by RA is associated with increased levels of the co-activator proteins KAT3A (p300), KAT13B (pCIP), and of RNA polymerase II at target RAREs. Conversely, co-repressor proteins such as SUZ12, a key protein component of PRC2, are associated with specific RAREs, but dissociate in response to RA

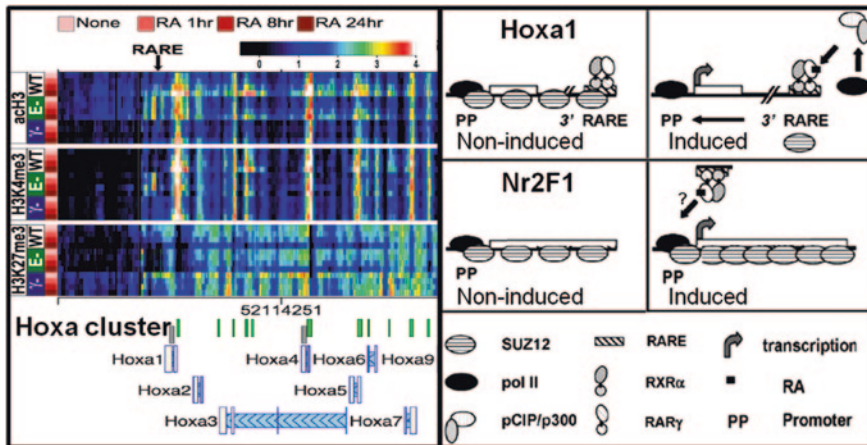


Fig. 7.1 Epigenetic changes induced along the Hoxa cluster in response to RA. The epigenetic changes of the RA responsive Hoxa gene cluster are shown, with the locations of the Hoxa1 proximal promoter (*PP*) and RA responsive element (*RARE*) indicated by arrows. The levels of acH3, H3K4me3, and H3K27me3 determined by ChIP-chip are presented as heat maps, with rows representing individual timepoints for each genotype, and columns indicating specific genomic regions. The genotypes of the stem cell lines are as follows: Wild type (WT), RARE knockout (E-), and RAR γ knockout (γ -). The cells were cultured in RA for 1, 8, and 24 h, as indicated. The color scale representing log₂-transformed ChIP enrichment is indicated at the top of the figure. Note the reduced levels of acH3 and H3K4me3 at Hoxa1 PP and RARE in the RAR γ -knockout cell line. Models for RA mediated transcription of RA target genes Hoxa1 and Nr2F1. In the absence of RA, RAR γ -RXR α heterodimers associated with Hoxa1 RAREs presumably associate with co-repressors, thereby generating a SUZ12-rich environment which represses transcription. Binding of the RA ligand causes a conformational change in the RAR γ -RXR α heterodimer bound to the Hoxa1 RARE. This results in the recruitment of pCIP/p300, which generates an euchromatic environment, presumably by acetylating the histone tails. This allows pol II to initiate transcription of Hoxa1. The Nr2F1 promoter region (PP) is bound by SUZ12 in the absence of RA. Upon exposure to RA the increase in activating marks is initially counteracted by a concomitant increase in SUZ12, which attenuates the transcription of Nr2F1. Eventually, the SUZ12 levels decline, allowing the increased transcriptional activation of Nr2F1 (modified from Kashyap et al. [41] and Gillespie and Gudas [28])

(Figs. 7.1 and 7.2) [41, 51]. Furthermore, the re-association of SUZ12 with RAREs upon RA removal [27, 28] exemplifies the highly reversible nature of cofactor association. Extensive changes in histone marks can be observed in response to RA, as illustrated by a heat-map showing RA-associated changes in H3K27me3, H3K4me3, and H3 acetylation (acH3) levels at the Hoxa cluster (Fig. 7.1). Importantly, for the Hoxa cluster the levels of activating marks (H3K4me3 and acH3) increase, whereas the levels of repressive marks (H3K27me3) decrease in response to RA (Fig. 7.1) [41]. This is not the case for all RA inducible genes; for CoupTF1 (Nr2F1) the levels of both activating (H3K4me3) and repressive (H3K27me3) marks show an initial increase in response to RA (Fig. 7.2). However, the H3K27me3 levels then start to decline, thereby increasing the extent of the induction [51]. The simultaneous presence of active H3K4me3 marks and

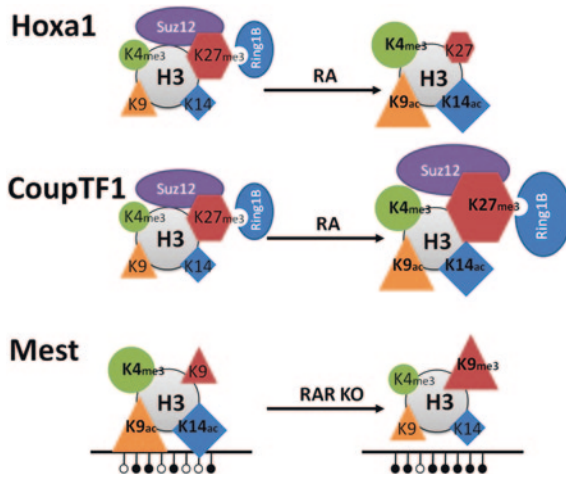


Fig. 7.2 Epigenetic Signatures Associated with RA and RAR regulated transcription. *Hoxa1* represents a group of direct target genes induced by RA (*upper panel*). The induction is characterized by dissociation of PRCs (*ovals*) and depletion of the H3K27me3 repressive mark (*hexagons*), and by increased levels of transcriptionally permissive marks, H3K4me3 (*circle*), H3K9ac (*triangle*), and H3K14ac (*diamond*). *CoupTF1* represents a group of target genes with delayed transcriptional induction by RA (*middle panel*). The induction is characterized by an initial increase of PRCs (*ovals*) and of the H3K27me3 repressive mark (*hexagons*), concurrent with increased levels of transcriptionally permissive marks; H3K4me3, H3K9ac, and H3K14ac. The imprinted gene *Mest* is transcribed in the presence of RAR α , but is silenced by DNA methylation upon knockout of RAR α (*lower panel*). The transcriptional silencing of *Mest* is associated with increased DNA methylation, increased levels of the H3K9me3 repressive mark, and with decreased levels of transcriptionally permissive marks; H3K4me3, H3K9ac, and H3K14ac. Note that for *Hoxa1* and *CoupTF1* (Nr2F1) the transcriptionally active state is shown to the *right*, whereas for *Mest* the transcriptionally active state is shown to the *left* (modified from Laursen et al. [51])

repressive H3K27me3 marks is referred to as a bivalent domain, and this chromatin structure can often be found at promoters of RA inducible genes [41, 51, 56, 63]. The bivalent chromatin structure signifies that these genes are in a poised state in which changes in the H3K4me3/H3K27me3 ratio are associated with transcriptional induction (presence of RA; H3K4me3 \uparrow , H3K27me3 \downarrow) or silencing (absence or removal of RA; H3K27me3 \uparrow , H3K4me3 \downarrow), as illustrated in Fig. 7.2 [7, 41, 42, 50, 51].

Now the question is; what regulates the levels of histone marks? Since histone marks are actually covalent modifications of histones, the levels need to be regulated by enzymes located in proximity to the histone. This brings us back to the “writers”, “readers”, and “erasers” mentioned in the beginning of the chapter. The levels of the active H3K4me3 mark are regulated by lysine methyl transferases (KMT) “writers” and lysine demethylases (KDM) “erasers”. Specifically, MLL proteins, which are KMT “writers” of the trithorax family, trimethylate H3K4 [64], and KDM5 proteins, which are H3K4me3-specific “erasers,” can subsequently convert the H3K4me3 mark into H3K4me2 [37, 46, 64]. Once H3K4me3

has been converted to H3K4me₂, the KDM1A/B “eraser” proteins can remove the remaining methyl marks, thus returning H3K4 to the unmethylated state [12]. Pharmacological inhibition of KDM1A (LSD1) reactivates the RA differentiation pathway in leukemia cells [78], indicating that enzymatic conversion of H3K4 to the unmethylated state by KDM1A plays a key role in antagonizing RA signaling. Curiously, H3K4me₃ and H3K27me₃ can be found on the same nucleosome, composed of eight histones, but not on the same histone tail [94]. Thus, each of the two histone 3 components of the nucleosome can be differentially modified. This specification of a histone as simultaneously activating and repressive is the core of the bivalent domains.

Conversely, the levels of the repressive H3K27me₃ mark are regulated by Polycomb group proteins, which are H3K27me_{1/2} specific KMT “writers” [10, 49], and the H3K27me_{2/3}-specific KDM “erasers” KDM6A/B [1, 53]. The antagonistic effects of H3K4me₃ and H3K27me₃ are supported by the observation that RA-induced transcription leads to a concomitant decrease in H3K27me₃ levels as well as an increase in H3K4me₃ levels along the *Hoxa* cluster (Fig. 7.2). In this respect, it is interesting that the MLL2 complex contains KDM6A, an H3K27 demethylase [1]. Consequently, the MLL complex combines methyltransferase activity targeting H3K4 with demethylase activity targeting the opposing H3K27me₃ mark. A similar “push-pull” effect is observed with the PRC2 complex which contains EZH2, an H3K27 methyltransferase, and KDM5B, an H3K4 demethylase [104]. The depletion of H3K27me₃ through knockdown of the EZH2 methyltransferase failed to induce *Hoxa1* expression [53]. This suggests that without increased H3K4 methylation the loss of H3K27 methylation is insufficient to induce transcription of *Hoxa1*. Consequently, the combined actions of H3K4 methyltransferases and H3K27 demethylases may be required for gene transcriptional activation of at least some genes. Also, the transcriptional activation of *Hoxa1* precedes the removal of the H3K27me₃ mark by many hours, showing that the removal of the H3K27me₃ mark is not required for *Hoxa1* transcriptional activation by RA [41].

A different scenario of the “push-pull” effect is observed when RA induces the CoupTF1 (*Nr2F1*) gene. In this case, activating H3K4me₃ and repressive H3K27me₃ marks are simultaneously recruited to the CoupTF1 promoter (Fig. 7.2), initiating a repressed or dampened induction characteristic of several late RA target genes [51]. While the functional depletion of PRC2 did not enhance RA induction of *Hoxa5* and *Hoxa1* (early genes), the depletion potently enhanced RA mediated induction of CoupTF1 and CoupTF2 (late genes) [51]. This finding is important since it provides a mechanistic rationale for distinguishing between early and late targets of RA induction. It has been shown that PRC2 can sense chromatin density, and thereby distinguish active chromatin (marked by H3K4me₃ and H3K36me_{2/3}) from inactive chromatin, on which PRC2 will target H3K27 for methylation. This helps to explain how PRC2 maintains target genes in an inactive, compacted chromatin state for long periods [101]. Taken together, these data further point to the presence of a combined “push-pull” effect, wherein the effects of specific KMTs are supported by the effects of specific KDMs, which together place and remove specific lysine methylation marks in a coordinated manner.

RA induced transcription of the Hox genes increases not only histone H3K4 methylation, but also histone acetylation [41] (Figs. 7.1 and 7.2). H3K27 for example can be modified by either acetylation or methylation, with opposite effects on the chromatin environment, and thus on the transcriptional activity. Acetylation [20, 70] and methylation of H3K27 are mutually exclusive marks positioned by KAT3A/B (CBP/p300) and PRC2 (EZH2), respectively [65, 87]. H3K27 thus provides an example in which the enzymatic activities of KATs/KMTs and HDACs/KDMs converge in regulating gene activity. However, H3K27 is not the only target of acetylation; H3K9 and H3K14 are acetylated concurrently with RA induced transcriptional activation (Figs. 7.1 and 7.2) [41, 42]. The RA-dependent recruitment of the acetyltransferases KAT3B (p300) and KAT13B (NCoA3, Actr, pCIP, Src3) to the RAREs of Hoxa1 (Fig. 7.1) and Cyp26a1 in F9 teratocarcinoma stem cells and embryonic stem (ES) cells suggests that these KATs also play key roles in RA-induced transcription [27, 28, 40]. Finally, KAT6A (Moz) is involved in H3K9 acetylation of the Hox gene loci, yet RA can activate the Hox loci independently of KAT6A [95]. The plethora of coregulators involved in RA induced transcription allows for fine-tuning of a highly gene specific response (Fig. 7.1).

Current State of the Field: DNA Demethylation Is Involved in the RA Transcriptional Response

Passive DNA demethylation takes place when maintenance methylation is inhibited during DNA replication, while active DNA demethylation requires specific enzymes and can occur without DNA replication [105]. Activation induced cytidine deaminase (AICDA, AID) is an active, reprogramming DNA demethylase expressed in ES cells and other cell types [61]. A second, more recently discovered DNA demethylase family, Tet 1, 2 and 3, removes DNA methylation through oxidative demethylation, a mechanism also employed by JmjC proteins to demethylate histones [36, 81, 91]. Tet1 mediated hydroxylation of 5mC to 5-hydroxymethylcytosine (5hmC) is enhanced by AICDA, which generates 5hmC as a step towards the demethylation of 5mC. This requires thymine DNA glycosylase (TDG), a base excision repair enzyme, which excises the 5hmC [32]. Through the active prevention of DNA methylation, TDG maintains bivalent chromatin domains in ES cells [16]. Considering that several RA primary target genes reside in bivalent domains, it is worth noting that Um et al. [92] identified interactions between TDG and the RARs/RXRs which may link RA to active demethylation of DNA. TDG forms a complex with AICDA and GADD45a, and is required for the recruitment of the coactivator protein KAT3B (p300) to the promoters of RA-inducible genes [17]. Thus, a loss of TDG activity could result in a decrease in RAR/RA-associated gene transcription and a resultant block in cell differentiation, which would be consistent with the observed increase in DNA methylation of the Mest promoter region in response to knockout of RAR α [52]. This indicates

that RAR α (and possibly other RARs) plays a direct role in maintaining gene expression by keeping specific promoters in a hypomethylated state, and conversely, underscores the fact that reduced expression of RAR α can have adverse consequences, such as leukemogenesis [29]. A reduction in RAR α signaling also impairs the survival of tumor reactive CD8(+) T-cells within the tumor microenvironment [33]. Whether this is related to RAR α 's ability to control the methylation state of certain genes has not yet been elucidated.

During gametogenesis, maternal or paternal genomes can be modified so that one parental allele is expressed, whereas the other is transcriptionally silenced. This genomic imprinting typically occurs through DNA methylation of CpG islands [68]. An exciting, recent, finding suggests that RAR α , independently of RA, maintains the DNA methylation status of specific imprinted genes [52]. This was highlighted by the identification of several aberrantly expressed, imprinted, genes in RAR α knockout F9 stem cells [52]. Under normal conditions RAR α associates with the promoter region of the paternally expressed gene, *Mest*; upon RAR α knockout, resulting in the absence of RAR α , the levels of H3K9me3 and the DNA methylation of the *Mest* promoter region significantly increase [52] (Fig. 7.2). Several of the changes in gene expression associated with the RAR α knockout are similar to those observed during the differentiation of stem-like progenitors to hypertrophic chondrocytes in the developing growth plate [15]. This similarity between the *in vivo* and *in vitro* data supports the idea that *in vivo* imprinting may be regulated by RAR α , and highlights the important roles of specific RARs in regulating epigenetic changes during development. Further exploration of this topic is expected to deepen our understanding of genomic imprinting and to expand the realm of RAR regulated transcription beyond the well-known ligand-induced regulation of gene activity.

Relevance: RA Regulated Epigenetic Changes in Carcinogenesis

Retinoid signaling is often disrupted during carcinogenesis, suggesting that restoration of retinoid signaling may be a viable option for cancer prevention and/or treatment [60, 85]. Synthetic retinoids modify the levels of the various RARs during breast carcinogenesis [8], and RA inhibits the growth of human osteosarcoma by promoting cell differentiation [99]. In a glioma animal model, RA also promoted the differentiation of cancer stem cells [9]. As a result, retinoids are currently being tested and/or used for treatment of many different cancers, including breast, ovarian, renal, head and neck, melanoma, leukemias, and prostate cancers. However, epigenetic changes, such as histone modifications and DNA methylation, and subsequent changes in gene expression are also thought to play major roles in cancer initiation and progression. Therefore, in line with the aforementioned "push-pull" model, combination cancer therapies that include retinoids together with epigenetic therapeutic agents are believed to be more effective in treating different cancers.

Histone deacetylase inhibitors, such as suberoylanilidehydroxamic acid (SAHA) have been extensively studied as potential cancer therapies, and are currently being used to treat multiple cancers, including cutaneous T-cell lymphoma and non-small cell lung cancer [3]. Chemoproteomics profiling of HDAC inhibitors revealed selective targeting of histone deacetylase (HDAC) complexes as promising cancer therapies [3].

It is believed that treatment with HDAC inhibitors together with retinoid therapies may be an even more effective treatment regimen for certain cancers. When combined with HDAC inhibitors such as Trichostatin A and valproic acid, RA can re-induce RAR β expression in kidney [89] or breast [60] cancers, and inhibit cell proliferation in many types of cancers [13, 23, 43, 67, 69, 77, 86, 90, 98]. Furthermore, RA synergizes with valproic acid to promote the degradation of the PML-RAR α oncoprotein, destroying the leukemia initiating cells in vivo [54]. Recently a phase I trial using valproic acid and liposomal RA for patients with solid tumors yielded positive results, suggesting that this therapy may be used for various solid tumors [21].

Another promising treatment approach is the co-administration of retinoids with DNA methyltransferase inhibitors. Mice treated with a combination of RA and the DNA methyltransferase inhibitor 5-Aza-2'-deoxycytidine (5-Aza) exhibit a decreased incidence of oral cancer after carcinogen treatment [84], and valproic acid, 5-Aza, and RA promoted growth arrest and cell differentiation of cultured human head and neck squamous cell carcinoma cells [26]. Additionally, a phase II clinical trial for patients with acute myeloid leukemia combining 5-Aza with RA was just completed with promising results [55].

Finally, other studies have examined the potential efficacy of treatments with RA, HDAC inhibitors, and DNA methyltransferases together. RA treatment in the presence of both valproic acid and 5-Aza promotes the re-expression of RAR β and inhibits cell growth in breast cancer cell lines [60]. Additionally, promyelocytic leukemia cells exhibit cell growth inhibition and increased granulocyte differentiation after treatment with all three drugs [77]. Overall, these studies indicate that combinations of retinoids and epigenetic modulating drugs are promising treatment options for multiple types of cancer, in part because of their actions in promoting cell differentiation and the inhibition of cell proliferation. Various epigenetic machinery inhibitors are being intensely studied as possible cancer treatments [75], and these could potentially be even more effective in combination with RA.

The Future: RA Action and Epigenetics, Cell Differentiation and Cancer

Further studies are needed to determine the roles and specificities of various KATs and KDMs with respect to RA transcriptional activation and to develop a better understanding of how RAR α (and possibly other RARs) plays a direct role in maintaining gene expression by keeping specific promoters in a hypomethylated state. Many different epigenetic changes must take place for stem cells to differentiate

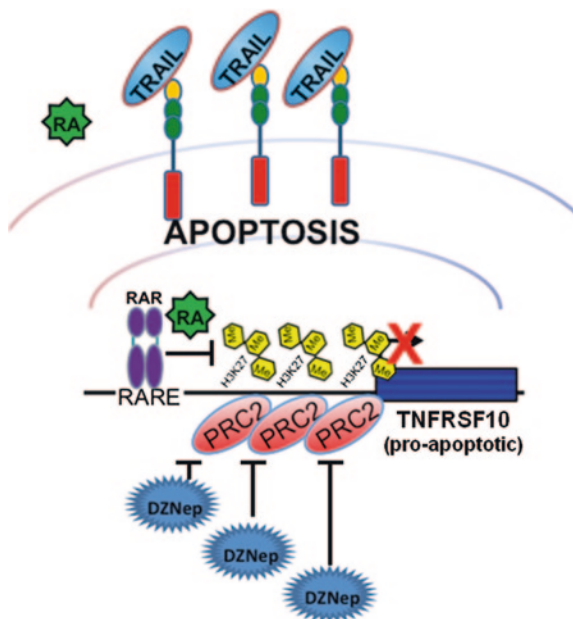


Fig. 7.3 Representation of RA and DZNep effects on apoptosis regulation in human colon cancer cells. Retinoic acid treatment promotes TRAIL-related apoptosis in RAR β / RAR γ -positive HT29 cells, but not in SW480 cells which express only low levels of RAR β / RAR γ . The functional depletion of PRC2 by either inhibition with DZNep or by knockdown of SUZ12 increases TRAIL-mediated apoptosis in both HT29 and SW480 cell lines. In this scenario the PRC2-mediated repression is alleviated, thereby activating TNFRSF10 even in the absence of RAR β / RAR γ

properly, and when these changes do not proceed normally, increased tumorigenesis can result. Aberrant expression of the polycomb protein EZH2, a core component of PRC2, has been found in human breast, prostate, bladder, and colon cancers, and this overexpression is correlated with a poor prognosis [58, 72]. Overexpression of EZH2 in hematopoietic stem cells (HSCs) eliminates the exhaustion of the long-term repopulation potential of these stem cells during multiple, sequential transplantations [39]. EZH2 also enhances leukemogenesis by enhancing the differentiation block in acute myeloid leukemia [62, 83]. Thus, these epigenetic modifications by EZH2 have profound consequences in terms of reducing the ability of HSCs to differentiate and enhancing tumorigenesis. Likewise, in prostate cancer EZH2 can block differentiation by affecting transcriptional regulation by the androgen receptor [19]. The recent development of EZH2 inhibitors for treatment of lymphomas shows the power of manipulating epigenetic modifications for cancer treatment [4].

DZNep, an S-adenosylhomocysteine (SAH) hydrolase inhibitor, can eradicate tumor initiating cells in hepatocellular carcinoma cells and induce apoptosis in acute myeloid leukemia [11, 24, 103]. DZNep can also inhibit tumorigenicity and progression in prostate cancer [19]. The inhibition of SAH hydrolase causes an increase in SAH, resulting in inhibition of S-adenosyl-L-methionine dependent

methyltransferases such as EZH2. We recently showed that human colon cancer cells, when exposed to RA, DZNep, or to a genetic knockdown of the PRC2 core protein SUZ12, exhibited enhanced PTEN mediated apoptosis, whereas the survival of ES cells was unaffected [6]. The apoptotic effects of RA, DZNep, or SUZ12 depletion were further enhanced by combination with the TNF-related apoptosis-inducing ligand (TRAIL) death receptor [5]. The synergy between TRAIL and RA was confirmed by another report in which the authors demonstrate that treatment with retinyl acetate (another vitamin A metabolite) in combination with TRAIL not only induced apoptosis specifically in intestinal polyps, but also inhibited tumor growth and prolonged survival in a murine model of human colon cancer [102]. These results suggest that one mechanism by which RA enhances TRAIL associated apoptosis is via removing PRC2 complexes from various genes involved in differentiation and/or apoptosis (Fig. 7.3).

Research in this field will be enhanced by the recent development of more specific EZH2 inhibitors [45, 57], and by the evaluation of new drug combinations that more efficiently target specific epigenetic regulators. The fact that so many different types of cancer exhibit altered epigenetic profiles and/or mutations in proteins that modify the epigenome indicates that this will be a fruitful area of research that will provide major benefits to cancer patients in terms of new combination therapies.

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