Immunological Biomarkers in Liver Transplantation 41

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Abstract

Liver transplantation is the standard therapy for many liver diseases. Despite being a considerable successful treatment, avoiding allograft rejection, among other complications, continues being one of the big challenges for physicians. Immunosuppression drugs significantly decrease rejection rates after liver transplantation; however, they have generally associated adverse effects which compromise liver transplantation outcome, increasing patients' morbidity and

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mortality. So, a close monitoring of immunosuppression is essential to reduce drugs' undesirable effects as long as allograft rejection is avoided. Nevertheless, monitoring of liver transplant recipients (LTRs) frequently entails the study of liver biopsies with its consequent inconvenience and risk for the patient. Identification of biomarkers that could diagnose or predict the risk of suffering allograft rejection (acute, chronic, or antibody mediated), or, on the contrary, the potential to achieve allograft tolerance, would represent a considerable progress in the managing and monitoring of LTRs. As the immune response of LTRs is responsible for the rejection or tolerance of the liver allograft, most of the potential biomarkers studied in this field are related to the immune system. For that reason, in this chapter, we attempt to review the state of the art in immunological biomarkers for the managing of patients after liver transplantation.

Keywords

Liver transplantation • Immunology • Allograft tolerance • Rejection • Biological markers • Immunologic monitoring

Key Facts

Key Facts of Acute Rejection (AR)

- AR is a T-cell-dependent immune response directed against donor tissues resulting from the recognition of alloantigens by recipient T cells.
- AR after liver transplantation occurs in as much as 70% of patients within the first year.
- Approximately 5–10% of liver transplant recipients who develop AR progress to severe ductopenic rejection despite antirejection therapy.
- AR is generally suspected based upon the development of hepatic biochemical test abnormalities although histological study of liver biopsy is required to establish the diagnosis.

Key Facts of Chronic Rejection (CR)

- CR can be broadly defined as a largely indolent but progressive form of allograft injury characterized histopathologically by two main features: severe damage and loss of small bile ducts and obliterative arteriopathy.
- In comparison to acute rejection, CR is a more indolent but more progressive form of allograft injury, which is largely irreversible and eventually results in allograft failure.
- Many cases of CR clearly evolve from severe or inadequately controlled AR episodes.
- Often the only reliable early indicator of CR is persistent and preferential elevation of γ-glutamyl transpeptidase and alkaline phosphatase, which is related to bile duct damage and loss.
- The gold standard of CR diagnosis is the histopathological study of liver biopsy.

Key Facts of Graft Tolerance

- Life-long immunosuppression regimens are still required in transplant recipients, and these represent the standard treatment in daily practice despite their many side effects that increase morbidity and mortality.
- Liver is considered as an immunologically privileged organ by its lowest incidence of rejection than other solid organ transplants.
- Around 26% of adult liver transplant recipients may stop treatment without compromising the viability of the graft, and this phenomenon is known as spontaneous operational tolerance.
- Pediatric population appears to develop tolerance more easily than adult recipients.
- Whereas B cells participate in the maintenance of tolerance in other solid organ transplantations, like kidney, NK and T cells play an essential role in the development of tolerance in liver transplantation.

Definitions of Words and Terms

Introduction

Liver transplantation is the standard therapy for many liver diseases. It is indicated for severe acute or chronic liver disease where the limits of medical therapy have been reached. Successful liver transplantation results in prolonged survival and improves quality of life of recipients. Nowadays in Europe the 5-year survival rate of liver transplantation recipients is around 75%, and the long-term management of these patients is a defiant challenge for the physicians (Adam et al. [2012](#page-25-0)).

Rejection was the biggest limitation to an acceptable survival in the beginning of liver transplantation era. The development of powerful immunosuppressant agents drove to a dramatical improvement in the recipients' survival (Dienstag and Cosimi [2012\)](#page-25-0).

Immunosuppression drugs significantly decrease rejection rates after liver transplantation. However, these agents have a poor safety profile, and in some cases, they are not well tolerated and require a close monitoring to prevent toxicity. Adverse effects associated with immunosuppressant therapy after liver transplantation include neurotoxicity, renal function impairment, increased risk of de novo cancer, or increased cardiovascular risk (Adams et al. [2015\)](#page-25-0). These comorbidities are the main reason that transplant recipients still exhibit much higher morbidity and mortality than the general population (Londoño et al. [2012\)](#page-26-0).

Lowering the dose or changing the immunosuppressant agent is frequent after liver transplantation to prevent or control adverse effects and to ease the development of acute or chronic rejection. Current immunological monitoring after orthotopic liver transplantation (OLT) relies mainly on clinical judgment and on measurement of immunosuppressive drug levels, without a real assessment of the immunological system suppression. Therefore, the evaluation of the immunosuppression state in liver transplanted patients is crucial for a correct posttransplant management and constitutes a major step toward the personalization of immunosuppressive therapy (Adams et al. [2015](#page-25-0)). The availability of biomarkers to identify patients in high risk of acute rejection (AR) could help to identify subjects that need an aggressive immunosuppressant therapy in the early posttransplant period. On the contrary, a biomarker to predict graft acceptance could allow using low dose of immunosuppressant drugs that could avoid or decrease the rate of adverse effects.

Despite the elevated interest in the evaluation of potential biomarkers of AR and graft acceptance, only a few of them are used routinely in the clinical practice.

Several biomarkers have been evaluated in the set of AR. Substances that increase during AR, such as liver enzymes and pro-inflammatory cytokines, have been the most studied ones. However most of them do not allow to differentiate AR from other OLT complications, as infections (Germani et al. [2015\)](#page-26-0).

In the evaluation of graft acceptance, the results are more encouraging. Liver biopsy is the gold standard to assess graft status after liver transplantation, but is an invasive procedure, and it does not permit to identify the tolerant recipients (Germani et al. [2015](#page-26-0)). Several studies have been performed to identify biomarkers of tolerance after liver transplant. Patients undergoing immunosuppression withdrawal seem to present specific characteristics compared to non-tolerant patients. Most of them are based on the immunophenotyping of peripheral blood samples and nonspecific genome analysis (Londoño et al. [2012\)](#page-26-0).

In this chapter, we attempt to review the state of the art of immunological biomarkers in liver transplantation.

Immunological Basis of Allograft Rejection in Liver Transplantation

Generally, liver allograft rejection involves predominantly graft-versus-host reactions after transplantation. The lymphocyte-mediated reactions from the recipient to the allogeneic cells (acquired as a graft) lead to injury and/or the destruction of the grafted cells. According with the time it takes to occur and the implicated causes, graft rejection has been divided into four groups (Table [1](#page-6-0)).

However, mechanisms that are at the genesis of rejection all are put in motion with transplantation of an allogeneic graft (Fig. [1\)](#page-6-0). Following transplantation of liver or other solid organs, antibody-mediated hyperacute vasculitic rejection can develop in individuals with preformed antibodies targeting the donor's major histocompatibility complex (MHC) class I-encoded antigens. However, in liver allograft transplant, owing to the liver tolerogenic capacity, hyperacute rejection is very unusual (Adams et al. [2015](#page-25-0)).

Under most other circumstances, AR is initiated by the large number of the host's T cells that recognize donor alloantigens (Afzali et al. [2008\)](#page-25-0). Transplantation of MHC histo-incompatible organs and tissues therefore produces a strong, cytopathic T-cell-dependent immune response to donor tissues. In the direct pathway (dominant in AR), allogeneic MHC molecules on donor antigen-presenting cells (APCs) are recognized by recipient T cells without any previous processing. The initial T response in AR is characterized by infiltration of the graft by CD4+ and CD8+ T cells, but myeloid and innate lymphoid cells are involved and determine the outcome of the allorecognition.

Despite routine use of immunosuppressive therapy, AR is not uncommon. CD4+ and CD8+ T cells together contribute to AR, although CD4+ T cells primarily mediate the rejection response. Activation of CD4+ T cells is strongly influenced

| Type of | Time after | |
|------------|------------------|--|
| rejection | OLT ^a | Cause |
| Hyperacute | Minutes-hours | Preformed anti-donor antibodies and complement activation |
| Acute | Days-months | T-cell activation |
| Chronic | Months-years | Unclear mechanisms |
| Antibody | Minutes-years | Preformed or de novo anti-donor antibodies. Unclear |
| mediated | | mechanisms |

Table 1 Liver graft rejection types and their major causes

Rejection types that can occur after orthotopic liver transplantation (OLT) are presented ^aTime after OLT: posttransplantation period in which rejection symptoms start to appear

Fig. 1 Mechanisms underlying liver allograft rejection. After liver transplant, recipient T cells are activated directly (acute rejection) or indirectly (chronic rejection), and proliferation of T cells and activation of effector cells by CD4+ T cells occur. CD8+ T cells and effector cells infiltrate and injure the graft developing rejection signs. Depending on the cytokine environment, activated CD4+ T cells can transform into graft-destructive or graft-tolerance phenotypes which balance the immunological response. CD4+T cells can also activate B cells, which can develop a further antibody-mediated response against antigens in the graft and generate antibody-mediated rejection (AMR)

by the cytokine environment, and this contribute to the balance between rejection and tolerance, since CD4T cells can differentiate into effector (cells responsible of allograft rejection) or regulatory (cells responsible of allograft tolerance) phenotypes depending on the cytokines present during activation (Adams et al. [2015\)](#page-25-0). Despite the importance of CD4+ T cells in rejection, many activated CD8+ (cytotoxic) T cells penetrate the transplant at the time of rejection, along with other mononuclear leukocytes. However, their exact role is still not completely clear. The hepatic sinusoidal endothelium presents some vascular adhesion proteins (VCAM-1, vascular cell adhesion protein 1), activated by injury or local inflammation, and mediates lymphocyte recruitment to the liver during graft rejection. Some chemokines are also critical for leukocyte recruitment (CXCL9 or CXCL10) and play an important role for compartmentalization of infiltrating leukocytes during graft rejection. Cytotoxic T cells and other effector leukocytes bind to bile ducts and hepatocytes by several molecular mechanisms causing hepatocyte and bile duct destruction during rejection.

Activated T cells also provide help for alloantibody production by B cells leading to antibody-mediated graft damage and complement deposition on graft endothelium (Adams et al. [2015](#page-25-0)).

Indirect antigen presentation (indirect pathway), in which donor alloantigens are processed by APCs, which internalize and process donor MHC molecules and subsequently present them to the recipient's T cells (Afzali et al. [2008](#page-25-0)), dominates in chronic rejection (CR) and later immune response in the graft.

Immunological Biomarkers of Rejection

Biomarkers of Acute Rejection

Acute allograft rejection is a graft damage arising as a consequence of an immunological reaction to foreign antigens on the graft. The incidence of clinically significant rejection is 10–40% in most series. The majority of first acute rejection episodes are diagnosed in the first year after transplantation, usually within the first month after transplantation, although late acute rejection can occur more than 3 months after transplantation, normally as a consequence of inadequate immunosuppression (Thurairajah et al. [2013\)](#page-28-0).

Clinical suspicion on one hand, based on nonspecific symptoms, as malaise, fever, abdominal pain, hepatomegaly, and increasing ascites, and biochemical alterations on the other hand, such as elevation of serum aminotransferases, alkaline phosphatases, g-glutamyl transferases, and bilirubin levels, can make suspect of AR after OLT. However, because of the nonspecificity of these signs and symptoms that do not correlate with the severity of rejection (Abraham and Furth [1995](#page-24-0)), anatomical pathology confirmation is required on liver biopsy, which results costly and may imply complications, as bleeding, infections, and so on.

Noninvasive diagnostic tools, as biomarkers, for the early diagnosis of AR would be very valuable. Considering that AR is a consequence of the recipient immune response, biomarkers related to this immune reaction have been studied in the last years; however, few of them have been validated and are used routinely in the clinical practice.

Serum Immunological Markers

The first potential immunological biomarkers being studied were cytokines and other proteins related to the inflammatory response.

The type 1 helper T cells (TH1) and cytokine interleukin 2 (IL-2) and its receptors (IL2-R) are well known to trigger acute allograft rejection. Perkins et al. [\(1989](#page-27-0)) studying 82 liver transplant recipients (LTRs) found that soluble IL2-R (sIL2-R) increased 17% per day in the 10 days prior to the diagnosis of AR episodes compared to the control group. Although sIL2-R also tended to increase in recipients developing cytomegalovirus (CMV) disease prior to the diagnosis, the increase was not as high as in the recipients with rejection. Even though other authors have found a raise in serum sIL2-R levels before diagnosis of AR, they have also observed higher serum sIL2-R levels in infection episodes after transplantation (Platz et al. [1997](#page-27-0)). In a study with 81 patients, Platz et al. ([1997\)](#page-27-0) found that sIL-2R increased 3 days prior to the onset of acute steroid resistant rejection. In this case, the increase in sIL-2R was similar to that in patients with serious infections and asymptomatic cholangitis. However, Ninova et al. ([1994\)](#page-27-0), in order to differentiate AR from CMV hepatitis after OLT, observed that patients with CMV showed a higher increased of sIL-2R and concomitant elevation of CD8+ T cells, not observed in AR patients.

Boleslawski et al. ([2004\)](#page-25-0) associated intracellular IL-2 expression in CD8+ T cells before transplantation with the later development of AR. These data were later confirmed by Akoglu et al. (2009) (2009) , who observed that the percentage of CD8+ T cells with detectable intracellular IL-2 was significantly increased in patients with AR compared to recipients without rejection. Moreover, these authors found a good correlation between intracellular IL-2 and rejection severity, according to the Banff score (Spearmans-rho $= 0.81, P < 0.05$), showing good sensitivity and excellent specificity in AR (specificity of 95% for histologically proven AR). In a later study (Millán et al. [2013\)](#page-27-0), LTRs who developed AR showed a significant increase in the percentage of CD8+IL-2+ T-cell levels during the early posttransplantation period (when there is a high incidence of AR) associated with lower susceptibility to immunosuppressive treatment. This increase was also accompanied by an increase in the percentage of interferon gamma (IFN- γ)-positive CD8+ T cells and soluble IFN-γ levels. The percentage of IFN-γ CD8+ T cells prior to transplantation was also higher in rejectors, and levels of soluble IFN-γ were also associated with severity of AR. Authors of this study suggested IFN-γ as a robust candidate biomarker of liver transplantation.

Other cytokines and growth factors have also been shown to increase in AR. In the study of Platz et al. ([1997\)](#page-27-0), mentioned before, also an elevation of tumor necrosis factor (TNF) receptor II (released upon stimulation of Th1 lymphocytes), interleukin-8 (IL-8), and interleukin-10 (IL-10) occurred in patients with steroidresistant rejection 3 days prior to the onset of rejection; however, as in the case of sIL-2R, similar increases were also observed in patients prior to severe infection. Neopterin (produced by IFN-γ-activated macrophages) levels increased before acute steroid-resistant rejection, although this increase was significantly lower than that observed prior to severe infection. In fact, a multivariate analysis revealed significant differences in cytokine pattern between rejection and infection for neopterin, IL-8, sTNF-RII, and IL-10, which may guide monitoring after OLT. This study also analyzed other cytokines including IFN-γ, interleukin-1-beta (IL-1β), interleukin 4 (IL-4), and interleukin 6 (IL-6), which also increased during severe rejection and infection, but, after the onset of other events, makes them of less clinical value in terms of early biomarkers.

Despite the results of Platz et al. [\(1997](#page-27-0)) measuring IL-6 levels in serum, Kita et al. ([1994](#page-26-0)) found significantly higher serum levels of IL-6 up to 4 days prior to histopathological diagnosis of AR, and although patients with serious infections also presented higher levels of IL-6, the increase was distinguishable between both episodes.

Interleukin 15 (IL-15), produced by non-lymphatic cells as macrophages, with similar action to IL-2 and inducing proliferation of natural killer (NK) cells, increased in plasma during AR, especially in steroid-resistant rejection (Conti et al. [2003\)](#page-25-0). Interleukin 23 (IL-23) and interleukin 17 (IL-17), produced by helper T cells and induced by IL-23, have been showed to be involved in the AR process after OLT. Although IL-23 and IL-17 serum levels are not different in the early posttransplantation period, they have been showed to increase at the diagnosis of AR (Fábrega et al. [2009](#page-25-0)). A later prospective study confirmed that levels of circulating CD4+IL-17+ T (Th17 cells) were higher during AR compared with LTRs without AR. This increase in the frequency of CD4+IL-17+ cells in peripheral blood was positively correlated with the rejection activity index (RAI) $(r = 0.79, P = 0.0002)$ (Fan et al. [2012\)](#page-25-0).

Apart from soluble cytokines, membrane proteins expressed on cells of the immune system have also been studied as potential markers of AR. Expression of CD28, protein expressed on the membrane of T cells that provides co-stimulatory signals required for T-cell activation and survival, has been showed to raise up to 6 days prior diagnosis of AR (García-Alonso et al. [1997\)](#page-26-0). An upregulation of CD28 in CD4(+) lymphocytes in the periods of greatest AR has been observed, without being influenced by hepatitis B virus (HBV), hepatitis C virus (HCV), or CMV infections, which makes CD28 useful to discriminate between AR and the cellular activation induced by viral reinfection (García-Alonso et al. [1997](#page-26-0); Minguela et al. [2006](#page-27-0)). Later, Boleslawski et al. ([2008](#page-25-0)) studying prospectively the expression of CD25, CD28, and CD38 on CD3+, CD4+, and CD8+ cells in 52 LTRs found that in addition to the increase of CD28 expressing T cells during AR, there was also a raise in the frequency of CD38 expressing T cells. However, although they did not observed an elevation of CD28+ and CD38+ T cells during infection comparing to patients with an uneventful postoperative course, they could not exclude the possibility that infections themselves might alter the expression of CD28 and CD38, because the number of patients was too small.

On the other hand, circulating $CD4+CD25^{high}FoxP3+$ regulatory T cells are regulatory T cells were showed to be significantly lower in liver allograft recipients with AR compared with patients without rejection. The frequency of circulating CD4+CD25highFoxP3+ T cells was also negatively correlated with the RAI ($r =$ $-0.80; P < 0.01$) (He et al. [2011](#page-26-0)). These results were recently confirmed by Wang et al. ([2014](#page-28-0)), who found that the frequency of circulating CD4+CD25+FoxP3+ cells decreased at the onset of AR whereas the frequency of circulating Th17 cells increased. They also observed that the Treg/Th17 ratio had a negative correlation with liver damage indices and the RAI. The increase of circulating Th17 cells during AR agrees with the study of Fan et al. [\(2012\)](#page-25-0), as mentioned before. Thus, the Treg/Th17 ratio can be suggested as a candidate marker for the diagnosis of AR; however, there is a lack of data about the behavior of this ratio in other OLT complications.

Recently, Raschzok et al. ([2015\)](#page-27-0) in a prospective study with 94 LTRs observed lower CD44 and higher CXCL9 serum protein levels at day 1 posttransplantation in patients developing later AR. Even CXCL9 levels resulted higher before transplantation in these patients. CD44 values (cutoff $\langle 200.5 \text{ ng/mL} \rangle$) or CXCL9 values (cutoff >2.7 ng/mL) at the day after transplantation allowed to differentiate between rejection and no rejection with a sensitivity of 88% or 60% and a specificity of 61% or 79%, respectively. The combination of both biomarker cutoffs had a positive predictive value of 91% and a negative predictive value of 67% for clinically significant AR. Furthermore, CD44 levels were different in patients with graft dysfunction due to other reasons.

Toll-like receptor 4 (TLR4), with an important role in the activation of the innate immune system, has been showed to be related to acute liver rejection. Testro et al. [\(2011\)](#page-28-0) studying 26 LTRs observed that patients experiencing AR showed higher levels of TLR4 in CD14+ cells prior to liver transplantation and a significant downregulation during the first week after transplantation.

Some cell adhesion molecules involved in the immune response have also been studied as potential biomarkers of AR. Intercellular adhesion molecule 1 (ICAM-1), cell surface glycoprotein regulating infiltration of leukocytes into the allograft during AR, E-selectin (expressed in endothelial cells recruiting leukocytes), and vascular cell adhesion protein 1 (VCAM-1) (also mediating adhesion of immune cells to the vascular endothelium) have been observed to be increased in serum of patients with AR, especially in acute steroid-resistant rejection. However, the increase of these molecules has also been observed in infectious episodes and other liver posttransplantation complications, not resulting practically as specific markers of AR (Goto et al. [1998](#page-26-0)).

Peripheral blood count of eosinophils has been suggested as a candidate biomarker for AR after liver transplantation. Foster et al. ([1989](#page-25-0)) studying 60 LTRs found that blood eosinophilia (absolute eosinophil count >500 cells/ mm3) occurred up to 5 days prior to AR diagnosis. In these cases of rejection, blood eosinophilia was followed by graft eosinophilia. Afterward, Barnes et al. [\(2003\)](#page-25-0) in a cohort of 101 LTRs found that an elevated eosinophil count during or 1 day before biopsy had a positive predictive value of 82% for AR,

whereas a normal eosinophil count excluded moderate/severe rejection with a predictive value of 86%. Nevertheless, in a recent study with a larger series of patients, it was found that although peripheral eosinophil count was strongly associated with moderate/severe rejection (OR = 2.15; $P = 0.007$), the area under ROC curve was only 0.58, concluding that peripheral eosinophilia was not sufficiently predictive of moderate/severe histological rejection. However, this study showed that changes (between the first and second biopsy) in eosinophil count over time can accurately predict the histological resolution of rejection (Rodríguez-Perálvarez et al. [2012](#page-28-0)).

In a study of Yu et al. (2013) (2013) , the frequency of V δ 1+ T-cell subset was reduced during AR, whereas the frequency of Vδ2+ T-cell subset increased in these patients. Therefore, a reduction of the $V\delta1+ / V\delta2+$ ratio during AR was observed, showing a negative correlation with alanine transaminase (ALT) and alanine aminotransferase (AST) levels. On the contrary, they did not found differences in frequency of $\gamma\delta$ T cells. Considering that in CMV and HCV and other infections, an increase of $V\delta1+T$ -cell and a decrease $V\delta2+T$ -cell subset have been observed (Puig-Pey et al. [2010\)](#page-27-0), the ratio $V\delta1+\sqrt{V\delta2}$ + ratio may act as a biomarker to predict the immunological situation of recipients after liver transplantation.

On the other hand, with pediatric patients following liver transplantation, a study revealed that serum plasminogen activator inhibitor 1 (PAI-1) levels at the time of AR were significantly higher than that after the rejection ending and those on days 14 and 28 in the group without rejection (Mimuro et al. [2010\)](#page-27-0). Therefore, levels of PAI -1 in pediatric patients could be useful for suspecting AR after liver transplantation. However, no more studies have been performed in this sense to corroborate these results.

Bile Immunological Markers

Apart from serum biomarkers, some studies have focused on finding possible markers of AR in bile, though results are not very conclusive.

Some of the already mentioned cytokines and cell membrane proteins in serum have also been observed in bile. In that way, bile IL-6 levels were found to be significantly increased in patients with AR, decreasing in response to antirejection therapy, but these levels were also observed elevated in patients with cholangitis (Umeshita et al. [1996\)](#page-28-0).

As in serum, Lang et al. ([1995\)](#page-26-0) observed that biliary ICAM-1 was specifically elevated during rejection and not during infection or when no rejection was apparent; however, in a later study, this increase was also found during infectious complications (Warlé et al. [2003](#page-28-0)). In the case of the IL-2R, bile IL-2R levels are increased in LTRs with AR, although comparing to serum levels, higher specificity and selectivity is reached (Adams et al. [1989](#page-25-0)).

Apart from the inconsistent results among studies, bile biomarkers present a clear limitation to be used in the clinical practice compared to serum biomarkers; bile sampling needs invasive procedures, whereas blood or serum samples are easily acquirable and do not represent any further risk for the patient.

Future Biomarkers

Permanent advances in analytical techniques, especially in the field of -omics (genomics, transcriptomics, proteomics) during the last decades, have opened new perspectives for the discovery of biomarkers.

Genomics

More advances in the identification of genes involved in allograft rejection have been carried out in the field of kidney transplantation; however, some studies have been developed in liver transplants. In a study performed by Berberat et al. ([2006\)](#page-25-0), they identified six genes related to the inflammatory response that significantly correlated with the occurrence of early graft dysfunction. Higher C-reactive protein gene expression levels correlated significantly with the need of therapeutic interventions due to graft-related complications, whereas the expression of five genes related to vascular endothelial cell physiology (connective tissue growth factor, CTGF; WW domain-containing protein 2, WWP2; programmed death ligand 1, CD274; vascular endothelial growth factor, VEGF; and its receptor FLT1) was significantly reduced in biopsies of patients with graft-related complications in the first month. Authors, using a risk score based on the expression of these five genes, determined that early allograft dysfunction could be predicted with 96% sensitivity. Nevertheless, authors did not differ among causes of allograft dysfunction, so these genes cannot be associated directly to AR.

Apart from wide genome studies, the polymorphisms of some genes related to the immune response have been identified to be associated with AR after OLT. In that way, Yu et al. ([2014\)](#page-28-0) in a study with 289 LTRs analyzing preoperative peripheral blood DNA of recipients found that interferon regulatory factor 5 (IRF5), which transcriptionally activates inflammatory cytokines, was genetically related to AR and could be considered a risk factor for AR after liver transplantations. In brief, the IRF5 gene polymorphism rs3757385 was found to be associated with AR, and homozygous individuals for this polymorphism were at higher risk of AR. However, polymorphism was not studied in patients with other complications after OLT.

Oetting et al. [\(2012](#page-27-0)), analyzing 37 different single nucleotide polymorphisms within the toll-like receptor 4 (TLR4) gene in 738 recipients LTRs, found that various donor polymorphisms of the TLR4 gene were clearly associated with AR and could be considered risk factors of graft loss. That would be in agreement with results of Testro et al. ([2011\)](#page-28-0), as mentioned before, who observed that levels of expression TLR4 in CD4+ (at protein level) cells were related to AR.

Transcriptomics

Asaoka et al. [\(2009](#page-25-0)), by microarray analysis of liver biopsies RNA, demonstrated novel transcriptome patterns for AR with recurrent hepatitis C and different from those in recipients with only recurrent hepatitis C without AR, suggesting that gene expression profiling may be useful in the diagnosis of AR in recipients with hepatitis C. In their study, they found 126 relatively overexpressed genes in the ACR, 15 of them involved in the inflammatory and immune response and antigen presentation.

Meanwhile, in peripheral blood, higher gene expression levels of guanylatebinding protein 2 (GBP2) and interferon regulatory factor 1 (IRF1) (genes mainly expressed in leukocytes) were observed in samples of patients with AR comparing with those from patients with other liver dysfunctions and normal liver after transplantation, but only GBP2 expression resulted significant. Using a cutoff of 20, the sensitivity and specificity of GBP2/GAPDH (glyceraldehyde-3-phosphate dehydrogenase) were 63% and 85%, respectively; as a result, authors concluded that GBP2 may be useful for the diagnosis of AR in patients with liver dysfunction after liver transplantation (Kobayashi et al. [2010\)](#page-26-0).

On the other hand, circulating miRNAs that are extremely stable and protected from RNAses have emerged as candidate biomarkers for any disease. They fulfill many characteristics of ideal biomarkers: noninvasive, stable, and easily detected. In this sense, different studies have showed that some circulating miRNAs are associated with AR episodes after liver transplantation (Farid et al. [2012](#page-25-0); Wei et al. [2013\)](#page-28-0), some of them related to the immune system. As lymphocyte infiltration into the graft is a major pathological feature of AR after liver transplantation, the contribution of lymphocyte miRNAs during graft rejection have been evaluated. Wei et al. [\(2013](#page-28-0)) found that regulation of miR-142-3p expression in lymphocytes may impact graft outcomes.

In a previous study, serum levels of hepatocyte-derived miRNAs, miR-122, miR-148, and miR-194, correlated with hepatic injury and AR (Farid et al. [2012\)](#page-25-0). In fact, expression was elevated earlier than aminotransferase levels during AR.

Nevertheless, miRNA have also been associated with other infectious diseases, as HBV and HCV, among them miRNA-122. Thus, although miRNA biomarkers clearly have potential for clinical application in the setting of liver transplantation, the number of studies on this topic should be expanded and validations should be carried out.

Proteomics

Considering that a wide range of proteins are related to the immune response in AR, proteomics seems a promising approach to determine new biomarkers.

Recently, Massoud et al. [\(2011](#page-27-0)) carried out a serum proteome profile in histologically confirmed AR patients, and afterward those identified proteins were validated by ELISA in another cohort of patients. This study showed that complement component 4 (C4) and complement component 1q (C1q) were both independent predictors of AR. C4 had the greatest predictivity for differentiating patients with or without AR (sensitivity = 97%; specificity = 62%; positive predictive value = 74%; negative predictive value $= 94\%$). Combining levels of C4 and ALT improved these results (to 96%, 81%, 86% and 94%, respectively). So, serum C4 and ALT levels could be highly predictive of AR in LTRs; however, because of the reduced number of patients, this study should be validated in a larger multicenter trial.

Currently, only two noninvasive tests, approved by the US Food and Drug Administration, are commercialized. AlloMap (CareDx, San Francisco, USA) is intended to assess AR after heart transplantation, determining gene expression profile of RNA isolated from peripheral blood mononuclear cells (PBMC). ImmuKnow (Viracor-IBT Laboratories, Lee's Summit, MO, USA) detects cellmediated immunity in immunosuppressed patients. The assay detects cell-mediated immunity by measuring the concentration of ATP from CD4 cells following stimulation. A systematic review and meta-analysis carried out by Rodrigo et al. [\(2012](#page-28-0)) concluded that the ImmuKnow test was a valid tool for determining the risk of further infection in adult LTRs, but the elevated heterogeneity across studies did not allow to conclude the usefulness of ImmuKnow to predict AR. In a recent study of Ravaioli et al. [\(2015](#page-27-0)), results showed that ImmuKnow can provide additional data which can help to optimize immunosuppression and improve patient outcomes after liver transplantation, rather than discriminate the risk of AR.

Biomarkers of Chronic Rejection

Chronic liver rejection can be defined as an immunologic injury to the graft, which occurs after severe or persistent AR and results in irreversible loss of bile ducts, arteries, and veins (Neumann et al. [2002](#page-27-0)). Histologically, CR is characterized by destruction of interlobular bile ducts associated with cholestasis. Normally, it is associated with centrilobular inflammation and necrosis and with foam cell lesion within intrahepatic arterial branches. CR rejection can progress to bridging fibrosis and cirrhosis (Neuberger [1997](#page-27-0)).

There has been a progressive decrease in the prevalence of CR, being around 5–15%, and nowadays accounts for less than 2% of cases of graft failure. CR leading to re-transplantation is relatively rare, around 5%. However CR is probably underestimated due to the absence of routinely performed liver biopsies on the long term in most centers (Adams et al. [2015\)](#page-25-0). The onset of the disease is during the first year posttransplant and occurs only in rare cases in the long term after OLT (Neuberger [1997](#page-27-0)). Late CR might also develop after a therapy refractory late acute rejection episode, normally in therapy noncompliant patients.

Because CR is a potentially reversible pathologic state at its onset, early diagnosis is very important. Generally, histopathologic diagnosis is carried out when CR is well established and results are irreversible; so as in AR, noninvasive diagnostic tools for early diagnosis of CR would be very valuable for the treatment of CR. Comparing with AR, few studies have been performed in the case of CR in order to study possible biomarkers, and all of them have been carried on liver biopsies, with no studies about biomarkers in serum. Thus, independent of the results, noninvasive procedures are not provided for CR diagnosis.

C4d has been proposed as a marker of CR. In a study of Lorho et al. ([2006\)](#page-26-0), searching for the presence of C4d in posttransplant hepatic biopsies, found that C4d expression appeared in 100% of biopsies classified as CR and in 33% of biopsies diagnosed as AR, being absent in biopsies of patients with recurrent hepatitis C infection without rejection.

The increase of p21 WAF1/Cip1 in liver biopsies has also been associated with early CR and suggested as a marker of this complication (Lunz et al. [2001\)](#page-26-0). The percentage of p21 WAF1/Cip1 biliary epithelial cells (BECs) and the number of p21 WAF1/Cip1BECs per portal tract are significantly increased in early CR compared to BECs in normal liver allograft biopsies or those with nonspecific changes, chronic hepatitis C, or obstructive cholangiopathy. In fact, successful treatment of early CR is associated with a decrease in the percentage of p21 WAF1/Cip1BECs and the number of p21 WAF1/Cip1BECs per portal tract.

Recently, Wei et al. ([2015\)](#page-28-0) carried out a study in a rat model of CR after liver transplantation. In order to explore possible biomarkers of the disease, they performed a proteomic analysis of grafts samples 120 days after operation. Authors found that expression of 62 proteins significantly changed in CR. From them, finally they identified clusterin (CLU), lipocalin 2 (LCN2), and cytokeratin 19 (Krt19) as early and reliable biomarkers for chronic rejection on liver biopsies. Expressions of CLU and LCN2, a neutrophil gelatinase-associated lipocalin, were found to be upregulated both in AR and CR but more upregulated in CR. Krt19 expression was downregulated probably because of the disappearance of interlobular bile ducts.

DSAs: Potential Biomarkers for Allograft Rejection?

The adverse impact of donor-specific human alloantibodies (DSAs) in solid organ allografts has been widely demonstrated, such as the kidney, pancreas, or heart (Kaneku et al. [2013\)](#page-26-0). Until recently, this impact has been considered irrelevant and ignored in OLT outcomes, since liver allografts possess some degree of alloantibody resistance (Pons et al. [2011](#page-27-0)). However, in the last few years, detailed studies have shown alloantibody-mediated adverse consequences in liver allografts (Castillo-Rama et al. [2008](#page-25-0); Kozlowski et al. [2011;](#page-26-0) Kaneku et al. [2013\)](#page-26-0), questioning the impact of DSAs on short- and long-term liver transplant outcomes. Currently, it is assumed that antibody-mediated rejection (AMR) can occur in the liver allograft, being involved in both acute and chronic rejections (Adams et al. [2015](#page-25-0)). Results from recent studies suggest that the presence of preformed or de novo DSAs is associated to AMR and a decrease in the liver allograft survival (Kozlowski et al. [2011](#page-26-0); Kaneku et al. [2013\)](#page-26-0). Nevertheless, not all DSAs have been seen to produce the same response after OLT and the mere presence of DSAs has entailed allograft injury, so that DSA concentration and DSA type seem to play an important role in the development of allograft injury and liver transplant outcomes. Considering these findings, certain circulating anti-HLA antibodies could be also suggested as potential biomarkers for the managing of immunosuppression after OLT.

DSAs and Liver Allograft Rejection

Only when AMR is accurately diagnosed early and successfully treated can graft outcomes improve because a delay in the diagnosis of AMR usually results in substantial allograft injury or failure. To date, liver acute AMR is diagnosed based on the following criteria: (1) the presence of DSAs in serum, (2) histopathologic

evidence of diffuse microvascular endothelial cell injury and microvasculitis, (3) strong and diffuse C4d detection in tissue, and (4) reasonable exclusion of other causes of injury that might result in similar clinical signs. On the contrary, criteria for diagnosis of chronic AMR need to be further studied, although subsinusoidal and perivenular fibroses, associated with DSA and C4d staining, have been already described (O'Leary et al. [2014a](#page-27-0)). So, before liver biopsy, determination of serum DSAs can allow the identification of patients in higher risk of AMR after liver transplantation; however, literature data pointed some considerations in order to use them as biomarkers of liver allograft rejection.

Although some studies have shown that the presence of preformed DSAs was not always associated to a decrease in the liver allograft survival rate or to a worse liver transplant outcome, recently, others have shown alloantibody-mediated adverse consequences in liver allografts (Castillo-Rama et al. [2008;](#page-25-0) Kozlowski et al. [2011;](#page-26-0) Kaneku et al. [2013\)](#page-26-0). Thus, a clear controversy exists in the elucidation of the role of DSAs on the liver allograft injury and rejection.

Differences in preformed DSAs levels have been suggested as one of the reasons for the differences of effects between patients and the consequent appearance of clinically significant liver allograft injury and possible AMR. O'Leary et al. [\(2014b](#page-27-0)) observed LTRs with high preformed DSAs with higher mean fluorescence intensity (MFI) in its detection seemed to be at higher risk of suffering substantial early graft injury and consequent AMR.

Scornik et al. ([2001\)](#page-28-0) quantified by flow cytometry preformed IgG antibodies against donor cells in 465 LTRs and found that the incidence of rejection did not significantly differ between antibody-positive and antibody-negative patients. However, patients with higher antibody concentrations showed higher percentage of steroid-resistant rejection (31% at 1 year) than patients with lower antibody concentration (4%) or without antibodies (8%). These effects were mainly due to T-cell (HLA class I) antibodies. These authors concluded that the effect of preformed DSA on liver allograft outcomes depended on antibody concentration and the patient response to steroid treatment.

In another retrospective single-center study comprising 896 liver transplants, preformed HLA class I and II antibodies, detected by both complement-dependent cytotoxicity and multiple bead assay (Luminex xMAP), were found to be associated with shorter graft survival within the first year posttransplant, but in patients with anti-HLA class I antibodies, the decreased survival rate disappeared after the first year posttransplant and patients with preformed anti-HLA class II antibodies showed lower graft survival 5-year posttransplant (Castillo-Rama et al. [2008](#page-25-0)). These results are in accordance with those from the study of O'Leary et al. ([2013\)](#page-27-0) who observed that preformed class II DSAs were associated with an increased risk of early rejection.

Moreover, among DSAs, IgG subclasses have also been shown to have different effects on liver allograft outcomes. DSAs in chronic rejection patients have been found to be more often of multiple IgG subclasses including IgG3 compared to control group where most DSAs were of a single IgG subclass and without IgG3 (Kaneku et al. [2013](#page-26-0)). Recently, a retrospective study evaluating 1270 LTRs

demonstrated the inferior survival associated with IgG3-positive DSA-positive patients and C1q-positive DSA-positive patients compared to DSA-negative patients. IgG3-positive DSA-positive patients had the highest hazard ratio for death, but its only analysis could not exclude standard DSA test since IgG3-negative DSA-positive patients remained at increased risk of death compared to DSA-negative patients. Nonetheless, IgG3 analysis is suggested as an independent predictor of allograft outcome. Meanwhile, the same study suggested that C1q-fixing DSA test could identify patients with preformed high MFI DSAs, who have been shown to have higher risk of rejection (O'Leary et al. [2015](#page-27-0)).

Apart from that, most studies have focused on preformed HLA antibodies, and little attention has been paid to de novo DSAs. Kaneku et al. ([2013\)](#page-26-0) considering 749 LTRs found that 8.1% of patients developed de novo DSAs 1 year after transplant, almost all de novo DSAs were against HLA class II antigens, and these patients had significantly lower patient and graft survival. This negative relation of de novo DSA and liver graft have been shown by others (Kozlowski et al. [2011](#page-26-0)).

Therefore, not all DSAs and levels produce the same effects on liver allograft, so differentiation among them should be made in order to be possible candidates as biomarkers of AMR. To date, literature shows that high preformed DSA levels, HLA class II DSAs, IgG3, and de novo DSAs are associated to allograft injury and AMR and could be suggested as biomarkers of AMR in LTRs. However, the development of analytical techniques for a further and more accurate discrimination and quantification of DSAs is required in order to progress in this field.

Current DSA Analytical Techniques

Techniques to detect DSAs have advanced spectacularly because of renal allograft transplantation. Firstly, cytotoxic crossmatch assay was used; however limited sensitivity and specificity did not allow to distinguish HLA from non-HLA antibodies, although performed pretransplantation allows to eliminate hyperacute renal allograft rejection.

This initial test was then supplemented by flow cytometry and solid-phase immunoassays (SPIs), such as ELISA, ELISPOT, and LUMINE $X^{\mathcal{B}}$. Flow cytometry lets differentiate HLA and non-HLA DSAs, even though it shows low sensitivity and specificity to characterize all HLA alloantibodies. This has been solved with the SPI technology based on the multi-analyte bead assays performed on LUMINE X^{\otimes} platforms. However, the latter assays can be influenced by substances in serum and reproducibility among lots and centers could be a considerable constraint. For these reasons, today cell-based assays and SPI are frequently performed in parallel (O'Leary et al. [2014a](#page-27-0)). In fact, Leonard et al. ([2013\)](#page-26-0) outlined an HLA antibody test algorithm for LTRs. Briefly, pretransplant patients were suggested for the analysis of HLA antibodies' presence by solid-phase testing. If HLA antibodies' presence resulted positive, a crossmatch by flow cytometry at the time of transplantation was recommended. Then, if a strongly positive crossmatch was detected (median channel shift, $MCS > 200$, evaluation of DSAs levels with both flow cytometry

Fig. 2 Risk of AMR in LTRs. Monitoring antibody-mediated rejection in liver transplant recipients according to Leonard et al. [\(2013](#page-26-0)). Those authors proposed sequential analyses (pre- and posttransplantation) in liver transplant recipients in order to evaluate the risk of suffering antibody-mediated rejection; AMR antibody-mediated rejection, DSAs donor-specific human antibodies, HLA human leukocyte antigen, MCS median channel shift, C1q complement component 1q

(MCS) and Luminex (MFI) was further accomplished. Thereafter, resulting the crossmatch strongly positive because of high levels of DSAs, DSAs should be tested for complement fixation and monitored after transplantation. These authors concluded that a strongly positive crossmatch, positive complement fixation, and persistent posttransplantation DSAs indicated an increased risk for AMR (Fig. 2).

Recently, molecular phenotyping has also been proposed as a technique to be able to differentiate patients with DSAs in serum who do not experience pathologic injury from those with DSA, experiencing subclinical pathologic injury that becomes apparent later, and those with clinically evident pathologic injury, opening a promising field in the use of DSAs in the monitoring of liver transplantation (O'Leary et al. [2014a](#page-27-0)).

There is an increasing need to characterize DSAs more accurately in order to clarify their role in AMR in liver transplantation and for the early diagnosis of AMR. That entails the development of new cross-platform analyses that can include routine and multiplex protein immunohistochemistry, messenger RNA and miRNA expression arrays, and proteomics and metabolomics techniques.

Immunological Biomarkers of Graft Acceptance/Tolerance

Allograft Tolerance

Successful immunosuppression (IS) withdrawal in LTRs occurs more frequently than in other solid organ transplantations. It has been showed both in noncompliant patients and in those with serious complications related to immunosuppression (IS) drugs, like lymphoproliferative disease (Lerut and Sánchez-Fueyo [2006\)](#page-26-0). Thus, the liver is considered as an immunologically privileged organ by its intrinsic tolerogenic properties that make it the most amenable graft to IS withdrawal.

Literature data provides a percentage of successful weaning at around 20–33%, although the prevalence could be higher in pediatric population under 1 year, where this percentage could reach 64% (Li et al. [2012](#page-26-0)), and in adult recipients with more than 10 years of posttransplant follow-up (Sánchez-Fueyo [2011\)](#page-28-0). This phenomenon is known as spontaneous operational tolerance, and these patients are considered as "operationally" tolerant recipients.

In the clinical setting, the state of tolerance can be achieved in two different ways: spontaneously or induced. The endpoint can be the complete IS drug withdrawal (operational tolerance) or minimization of this ("prope" tolerance), which is the most frequent situation in clinical practice (Table 2). To date, it is unknown whether minimally immunosuppressed patients actually will be able to complete the discontinuation of IS without developing rejection (Lerut and Sánchez-Fueyo [2006\)](#page-26-0). Neither is clear whether "prope" tolerance is a previous step of operational tolerance or two independent conditions.

Since IS withdrawal has an inherent risk of AR, identifying biomarkers of graft acceptance is required in order to tailor IS therapy after liver transplantation (Germani et al. [2015\)](#page-26-0).

| Immunological tolerance | Absence of an alloimmune response toward a specific antigen without immunosuppression therapy |
|---------------------------------------|--|
| Operational tolerance | Absence of acute or chronic rejection with normal function and histology in immunocompetent recipients who discontinue conventional immunosuppression for more than a year |
| Prope tolerance (almost tolerance) | Graft acceptance using very low doses of immunosuppressive drugs |
| Central tolerance | Mechanism based on the theory of donor antigens recognized as antigens of "self". (performed transplanting donor hematopoietic cells to induce intrathymic clonal deletion of T precursor cells expressing T cell receptor) |
| Peripheral tolerance | Induction of tolerance by pharmacological immunosuppression of self-reactive T cells in periphery (Girmanova et al. 2015) |

Table 2 Tolerance definition

Types of allograft tolerance depending on the immunological response and the immunosuppression regimen after liver transplantation

| | Technique | Potential | |
|---------------------|---|---|---------------------------------|
| Sample | Flow cytometry | Microarray/RT-PCR | biomarkers |
| Peripheral blood | Increase in CD4+ CD25high cells | Upregulation of FoxP3 expression | Regulatory T cells |
| | Increase in CD4+ CD25high $CD127^{\text{low}}$ cells | | |
| | Increase in $CD4+CD25++$ cells | | |
| | Increase in $\gamma \delta 1/\gamma \delta 2$ T cell ratio | Upregulation of γ δ TCR+ T cell related genes expression | γ δ TCR+ cells |
| | Increase in pDC/mDC ratio | | Dendritic |
| | Increase in HLA-G | | cells |
| | expression on mDC | Upregulation of NK related gene expression | NK cells |
| Liver tissue | | Upregulation of FoxP3 expression intragraft | Regulatory T cells |

Table 3 Findings in tolerant LTRs

Findings in peripheral blood or liver tissue of tolerant liver transplant recipients (LTRs) are shown. Potential biomarkers of graft tolerance have been proposed according to those findings and different analytical techniques (flow cytometry and Microarray/RT-PCR) have been used

Biomarkers of Graft Acceptance

In order to identify biomarkers of graft acceptance, several studies have employed blood samples of operationally tolerant recipients to perform gene expression profiling and immunophenotyping. In recent years, attention has been directed toward samples of liver tissue to find immune parameters associated with operational tolerance. The interest generated has made possible to identify several potential markers of allograft tolerance (Table 3).

NK Cells

Studies using gene expression analysis demonstrate that NK cells and related transcripts are upregulated in blood samples from operationally tolerant LTRs, determined by microarray and real-time PCR platforms. In fact, some authors, like Londoño et al. ([2012\)](#page-26-0), assert that NK-related transcripts seem to be the most robust markers of operational tolerance. These results have been confirmed not only in adults but also in pediatric LTRs (Li et al. [2012](#page-26-0)) and in operationally tolerant kidney recipients (Martínez-Llordella et al. [2007](#page-26-0)). Indeed, according to Bohne et al. ([2012\)](#page-25-0), tolerant recipients exhibit an expansion of NK cells in peripheral blood even before the initiation of drug minimization.

$\gamma\delta$ TCR+ Cells

Similar to NK cell-related transcripts, genes encoding for gamma-delta T cells ($\gamma \delta T$ cell) and for proteins involved in the cycle cell proliferation arrest are upregulated in tolerant liver recipients compared to immunosuppression-dependent patients or healthy individuals. So they appear to be specifically related to the tolerant state and their expression seems to be independent on either HCV infection or IS treatment (Martinez-Llordella et al. [2008\)](#page-26-0).

There are two kinds of $\gamma\delta TCR^+$ T-cell subsets in human peripheral blood; $γδ2TCR⁺ T cells account for more than 70–80% of circulating T cells in healthy$ individuals, while $V\delta 1TCR^+$ subtype preferentially populates epithelial tissues such as the intestine, liver, and spleen (Martinez-Llordella et al. [2008](#page-26-0)). In contrast, a significant increase $\gamma \delta 1/\gamma \delta 2$ T-cell ratio has been found in operationally tolerant liver-transplanted patients when compared with liver-transplanted patients on immunosuppression and with age-matched healthy controls (Martinez-Llordella et al. [2007](#page-26-0)). Along these lines, Bohne et al. ([2012\)](#page-25-0) show a decreased proportion of γδ 2-TCR cells in tolerant patients as compared with non-tolerant recipients before the start of IS withdrawal.

Regulatory T Cells

Regulatory T cells (Treg cells) are characterized by the coexpression of CD4, CD25 (interleukin 2 [IL2] receptor α chain), and Forkhead box 3 (FOxP3) (Pons et al. [2008](#page-27-0)).

Several studies have shown an expansion of $CD4^+CD25^{\text{high}}$ T cells in phenotypic analysis of PBMC of tolerant recipients for more than 2 years after liver transplantation than either non-tolerant patients or healthy individuals, but this increase does not seem to be present at the beginning of weaning. Castellaneta et al. ([2011](#page-25-0)) suggest that $CD4^+CD25^{\text{high}}CD127^{\text{low}}$ would provide a better marker for tolerance.

Pons et al. ([2008](#page-27-0)) described an increase of FoxP3 mRNA in blood samples of tolerant recipients and observed that FoxP3 intragraft transcript levels were 3.5-fold increase up to the beginning of the tolerance phenomenon, which is continued at the end of therapy. Li et al. ([2008](#page-26-0)), following the same line, reported that FoxP3 mRNA is also higher in liver biopsies from tolerant transplanted patients compared with patients on immunosuppression, but mRNA levels were similar in operationally tolerant and chronic rejectors. However, while some authors report increased FoxP3+ transcript levels in peripheral blood and liver tissue of tolerant recipients, other authors did not observe differences between tolerant and non-tolerant patients, neither Foxp3 tran-scripts nor immunophenotyping analysis (Bohne et al. [2012](#page-25-0)).

It is noteworthy that Treg cell suppressor function and their survival depend on the presence of IL-2. Calcineurin inhibitors block IL-2 production, which may thereby negatively affect the homeostasis of Treg cells. Several reports support this hypothesis, as Pons et al. [\(2008](#page-27-0)) indicate. Therefore, up until today, the role of Treg cells in graft acceptance is less clear because the use of immunosuppressive drugs could alter their expression and by the disparity in the results found.

Dendritic Cells and HLA-G Expression

Dendritic cells (DC) are innate immune system cells that are also important in the regulation of adaptive immunity, including the ability to induce regulatory T cells (Treg cells). There are two types of DC: monocytoid DC (CD11 c^+), which induce Th1 cell differentiation in vitro, and plasmacytoid DC (CD123⁺), which promote

Th2 cell responses. They are designated as DC1 (mDC) and DC2 (pDC), respectively.

Mazariegos et al. ([2005\)](#page-27-0) described an increased pDC/mDC ratio in tolerant recipients. According to their results, Reding et al. ([2006\)](#page-28-0) speculated that a pDC1/ pDC2 subset ratio of 0.1 could serve as the threshold above which a patient might be considered for IS weaning. However, differences in the distribution of pDC in operationally tolerant LTRs have not been confirmed by all studies (Martinez-Llordella et al. [2007\)](#page-26-0).

Moreover, mDC express higher levels of histocompatibility antigen, class I, G (HLA-G) in operational recipients than in IS-dependent patients or healthy controls, and these data are independent on the kind and dose of immunosuppressive drug. In addition, increased Foxp3 expression in Treg LTRs tolerant operationally correlated with the level of HLA-G expressed by mDC (Mazariegos et al. [2005\)](#page-27-0).

Potential Applications to Prognosis, Other Diseases, or Conditions

AR and CR represent important complications in the managing and prognosis of OLT. After clinical suspicion, their diagnosis supposes the histopathological evaluation of liver biopsies. Liver biopsies are costly, result in being insidious, and represent a risk for patients; thus, the finding of biomarkers allowing the early detection of these liver transplantation complications, and their differentiation from others with similar clinical signs and biochemical alterations, will be of important value for the monitoring and the better immunosuppression managing of LTRs and therefore in the prognosis of the OLT outcome.

The review of the different potential immunological biomarkers that have been evaluated in the literature shows that most of the obtained results are inconclusive, either because biomarkers have not been studied in patients with other OLT complications, or, despite of doing it, they have showed similar patterns hampering the differentiation of rejection from other OLT complications; have been achieved from a reduced number of patients, since more studies, including higher number of patients, are needed; and require further validation, with the performance of prospective independent larger multicenter trials. Few of the reviewed biomarkers fulfill all these criteria and can be honestly considered as future potential AR biomarkers to be set up in the clinical practice. The most valuable of the reviewed biomarkers are summarized in Table [4](#page-23-0). Despite the promising results of these biomarkers, its application to the clinics should entail further studies and validations.

Contrary to AR, where significant advances have been carried out in the discovery of biomarkers, in CR there is a considerable lack of studies in this sense, maybe because of its low prevalence and the variability among patients, which makes it impossible to suggest any future potential biomarker to diagnoses this complication. Therefore, the discovery of future noninvasive biomarkers of CR is a worthy field to investigate.

| | Reference | Discrimination from other liver dysfunctions | Prospective; sample size |
|--------------------------|-------------------------------------|---|-----------------------------|
| CD44 | Raschzok et al. (2015) | Yes | Yes: 94 LTRs |
| Treg/Th17 cells ratio | Wang et al. (2014) | N ₀ | Yes: 38 LTRs |
| $CD28+T$ cells | Minguela et al. (2006) | Yes | Yes; 237 LTRs |
| $CD28+C38+T$ cells | Boleslawski et al. (2008) | Yes | Yes: 52 LTRs |
| Eosinophilia | Foster et al. (1989) | Yes | Yes: 60 LTRs |
| | Barnes et al. (2003) | Yes | Yes: 101 LTRs |
| $IL-17$ | Fábrega et al. (2009) | N ₀ | Yes: 50 LTRs |
| | Fan et al. (2012) | N ₀ | Yes: 76 LTRs |

Table 4 Valuable immunological biomarkers for AR

Most promising potential biomarkers of acute rejection (AR) that have been identified in liver transplant recipients (LTRs) are presented

On the other hand, advances and studies using new techniques, in the fields of genomics, transcriptomics, and proteomics, have provided new potential biomarkers. And despite the few studies developed and the number of patients included, promising results have been obtained, especially in the field of proteomics. For sure, the revolution of the -omics era will allow the finding of more robust, sensitive, and specific biomarkers.

In relation to AMR of liver allografts, the identification of the impact of preformed and de novo DSAs in AMR would allow to differentiate this kind of complication that until now had been mistaken with other OLT complications, as AR. Moreover, preformed DSA analyses would help to predict LTRs at risk of rejection before transplant, percentage of graft survival, and therefore OLT outcome. As well, after transplantation, certain DSA identification would allow to identify patients that need a closer monitoring, in order to prevent rejection, before irreversible allograft injury is developed. However, the controversy of some studies makes mandatory the performance of further prospective multicenter studies to identify the class of DSAs implicated in AMR. Moreover, advances in analytical techniques will permit to progress in the use of DSAs. In the next years, DSAs will be very useful in the prognosis of liver transplantation outcomes, even prior to transplant. In other solid organ transplantations, as the kidney or heart, DSAs are widely accepted as a risk factor for decreased graft survival, and their determination helps to predict transplantation outcomes.

With regard to allograft tolerance, establishing biomarkers related with graft acceptance would allow assessing the real suppression state of the immune system after liver transplantation. In this way, transplant clinicians could modulate the immunosuppressive therapy depending on patients' needs and identify liver transplant recipients who can discontinue or reduce the dosage of IS drugs without graft rejection. Indeed, this could allow the choice of immunosuppressor molecule, dosage adjustment, and target therapeutic window. Furthermore, establishing biomarkers of operational tolerance may provide tools to determine endpoints for tolerance induction trials, provide biological basis for guiding IS weaning protocols, and predict the success of withdrawal.

Against this background, the routine implementation of biomarkers and personalized therapy in patients would enable to revolutionize the quality of life of transplant recipients, with less exposure to toxicity or other adverse effects associated with immunosuppressive treatment, as well as a reduction in drug costs.

Summary Points

- Biomarkers of liver allograft rejection and tolerance would be very useful in the managing of LTRs after transplantation, which would considerably improve liver transplantation outcomes.
- Multiple potential biomarkers for liver AR, related to the immune system, have been studied; however, few of them permit to distinguish AR from other OLT complications or have been validated in later prospective multicenter trials.
- There is a lack of studies about potential biomarkers for liver allograft CR, maybe because of its low prevalence in LTRs; however, considering that CR can be underestimated, it will be very worthy to invest efforts in their study.
- As the role of DSAs (types of DSAs, levels needed, analyses) in liver AMR is elucidated, their use as biomarkers will allow to recognize LTRs in risk of suffering AMR, even before transplant, which will improve allograft survival and OLT outcomes.
- Some potential biomarkers of allograft tolerance, as NK cells, have been identified. They will allow a better managing of patient immunosuppression reducing adverse effects of immunosuppressors.
- Data available for biomarkers of graft acceptance are more encouraging compared to biomarkers of AR.
- Development of analytical techniques will allow finding more specific and sensitive biomarkers for liver allograft rejection and tolerance.
- More prospective and multicenter trials are required before the reliable implementation of biomarkers of rejection and tolerance in the clinical practice of LTRs.

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