

# Micro Algae in Open Raceways

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**Abstract** The quest for renewable and sustainable energy source is necessary to meet the growing global demand, and to substitute the fast depleting nonrenewable fossil fuels. Biofuel is a viable and proven alternative to petroleum based fuel. Algae are appearing to have the greatest potential to replace the fossil fuel. Continuous research on growing algae, harvesting, oil extraction and conversion to biofuel in large scale is indispensable to identify the practical difficulties involved, and find solutions to manipulate the complications, to operate the system farmer friendly and sustainable. This chapter discusses about the influence of various factors such as light, temperature, nutrients and culture mixing in mass cultivation of microalgal species in open raceway clay ponds with the results on average growth and oil content over a period of five years.

**Keywords** Microalgae • Raceway pond • Mixing • *Scenedesmus* • Scale up • Light • Temperature • Carbon Dioxide • Nutrients • Harvest • Extraction • Algal oil • Contaminants

## Acronyms

Å	Angstrom
°C	Celsius
c/ml	Cells per milliliter
CO <sub>2</sub>	Carbon Dioxide
cm/sec.	Centimeter Per Second
DAF	Dissolved Air Flootation
Fig.	Figure
gm/m <sup>2</sup>	Grams per square meter
K	Potassium
m	Meter
M	Million
Mg	Magnesium
mM	Millimolar

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μm	Micromolar
mg/l	Milligram per Liter
nm	Nanometer
pH	Decimal logarithm of the reciprocal of the Hydrogen ion Concentration
ppm	Parts per Million
PUFA	Poly Unsaturated Fatty Acids.
UV	Ultra violet
WHO	World Health Organization
<	less than
10 <sup>-2</sup>	0.01

### List of Extended Keywords

Algal oil	An oil extracted from algae using solvents.
Algal Powder	Dried and powdered algae.
Algal slurry	A mixture of algal biomass and water.
Anaerobic dead zones	Areas with no oxygen.
Bio fertilizers	A substance that contain living microorganisms used to improve soil fertility.
Carbon dioxide	A colorless, odorless, incombustible gas.
Chloroform	An organic compound with the molecular formula CHCl <sub>3</sub> .
Circulation	The movement of water and culture in circular direction.
Clumping	Algal cells stick together as a mass.
Competitors	Undesired organisms compete for food, light and space.
Contaminants	Microorganisms infect the algal cells and/or affect the culture quality.
Culture	Growing a single or group of organisms in a given area.
Dispensers	Device used to supply CO <sub>2</sub> in minimum doses to diffuse in to the liquid.
Fluorometer	Equipment used to measure lipid content.
Hexane	A hydrocarbon with chemical formula C <sub>6</sub> H <sub>14</sub> , used as solvent in oil extraction.
Light	Electromagnetic radiation that has a wavelength in the range from 4000 to 7700 Å.
Mixing	To combine or blend into one.
Nitrogen	A colorless, odorless and almost inert diatomic gas.
Nitrate	Polyatomic ion, used as fertilizers.
Nutrients residue	Quantities of unused nutrients present in the culture.
Paddle wheel	Blades attached to a central frame and move in circular motion in the pond to push the liquid culture.

Phosphate	A fertilizer containing phosphorus compounds.
Photobioreactors	Devices that used to grow micro algae in a controlled environment.
Photo shading	Shades caused by a high density of algae that prevent light penetration.
Raceway pond	A pond track resembles the horse raceway track.
Scale up	Increase proportionally.
Temperature	A physical property of matter that quantitatively expresses the common notions of hot or cold.
Tolerance	The maximum capacity to endure.
<i>Botryococcus braunii</i>	A green microalga widely grown to extract hydrocarbons.
<i>Chaetoceros</i> sp.	Microalga belonging to diatom group widely used as aquatic feed.
<i>Chlorella</i> sp.	Single celled, green micro algae grown for high food values.
<i>Cryptocodinium cohnii</i>	A dinoflagellate alga, with high docosahexaenoic fatty acid content.
<i>Cylindrotheca</i> sp.	Microalga belonging to the diatom group.
Chytridium sp.	A fungal parasite infects and kills the microalgae.
<i>Dunaliella primolecta</i>	An oval shaped green alga grown for beta carotene.
<i>Isochrysis</i> sp.	Microalgae used in the aquaculture industry.
<i>Nannochloris</i> sp.	A marine unicellular green alga.
<i>Nannochloropsis</i> sp.	A green alga with high PUFA content.
<i>Neochloris oleoabundans</i>	A green alga used for biofuel production.
<i>Nitzschia</i> sp.	A diatom genus found common in aquatic habitats.
<i>Phaeodactylum tricornutum</i>	A diatom with high lipid content.
<i>Phyctidium scenedesmi</i>	Fungal parasite infects and destroys the mass culture of alga.
<i>Scenedesmus</i> sp.	Unicellular green alga.
<i>Skeletonema</i> sp.	A diatom cultured as food for marine shrimp and fish larvae.
<i>Schizochytrium</i> sp.	Heterotrophic micro alga with high fatty acid content.
<i>Tetraselmis suecica</i>	A marine green alga, used in aquaculture.

## 1 Introduction

Increasing global demand and environmental concerns have led to a search for alternative and greener sources of fuel and other products. Algae shine as an attractive source with their ability to grow in areas unsuitable for agricultural purposes and thereby do not compete with arable land for food production. However,

the production of various products from algae presents several obstacles like the selection of suitable algae, developing suitable growth conditions for optimal lipid yield and prevention of contamination from undesired algal species and other organisms. These obstacles multiply to an even greater magnitude when algal growth is pursued on large-scale outdoor settings where weather and contamination pose a constant threat. Therefore, there exists an exigency for new algal production technologies.

Microalgae are a diverse group of prokaryotic and eukaryotic photosynthetic microorganisms that grow rapidly due to their simple structure. They can potentially be employed for the production of biofuels in an economically effective and environmentally sustainable manner. Microalgae have been investigated for the production of a number of different biofuels including biodiesel, bio-syngas, and bio-hydrogen. Production of these biofuels can be coupled with flue gas CO<sub>2</sub> mitigation, wastewater treatment, and the production of high-value chemicals (Li et al. 2008). Microalgal farming can also be carried out with seawater using marine microalgal species as producers. Developments in microalgal cultivation and downstream processing (e.g., harvesting, drying, and thermochemical processing) are expected to further enhance the cost-effectiveness of the biofuel from microalgae strategy (Table 1).

Microalgae are grown commercially in photo-bioreactors and open ponds. Benemann in 2008 compared the economies of open ponds with closed bioreactors. Growing microalgae in bioreactors is a high yielding technology (0.5–8 g/l), low risk of contamination, but expensive (\$ 250,000–1,000,000/ha) with an expected approximate life span of 10–15 years for the bioreactors. The raceways are perceived to be less expensive than photobioreactors, because they cost less to build and operate. Although raceways are low-cost (\$ 100,000/ha), they have a low biomass productivity (0.1–0.5 g/l) when compared with photobioreactors (Pulz 2001; Alabi et al. 2009). Richardson et al. 2012 estimated the total cost of lipid production is \$ 12.74/gal and \$ 32.57/gal for the open pond and PBR, respectively.

The first attempt to overcome difficulty in managing culture growth in open ponds was by the use of simple plastic covers or greenhouses. This allows for an extension of the growing period, facilitates the provision of carbon dioxide and the maintenance of high temperature at nights result an improvement of the biomass productivities (Richmond 1992). The open ponds are highly scalable; some commercial pond culture systems such as Earthrise, Cyanotech have been operating profitably for more than 30 years. Culturing species of *Chlorella*, *Scenedesmus* sp., *Haematococcus* sp., *Spirogyra* sp., *Dunaliella* sp., *Nannochloropsis* sp., *Botryococcus* sp., in open ponds at commercial scale targeting fuel and food resources have also been successful (Robert Henrikson 1989; Kay 1991; Pauline et al. 2006; Gouveia and Oliveira 2009). Biomass of *Skeletonema* sp., *Chaetoceros* sp., *Tetraselmis* sp., *Isochrysis* sp., *Cryptocodinium* sp., is popular as feed for juvenile shrimp and fish species (Hoff and Snell 2008). Algae also play great roles in absorbing hazardous materials from wastewater and convert them as useful bio fertilizers (Oswald 1988; Kaushik 1998).

**Table 1** provides an estimate of microalgae oil content in a few of the prominent algal species. (Helm et al. 2004; Chisti 2007; Brennan and Owende 2010; Gouveia 2011)

Microalgal species	Oil content (% dwt.)
<i>Botryococcus braunii</i>	25–75
<i>Chaetoceros calcitrans</i>	17
<i>Chaetoceros gracilis</i>	19
<i>Chaetoceros muelleri</i>	21
<i>Chlorella emersonii</i>	63
<i>Chlorella minutissima</i>	57
<i>Chlorella sorokiana</i>	22–44
<i>Chlorella protothecoides</i>	50–58
<i>Chlorella vulgaris</i>	14–56
<i>Chlorococcum</i> sp.	53
<i>Cryptocodinium cohnii</i>	20
<i>Cylindrotheca</i> sp.	16–37
<i>Dunaliella bioculata</i>	8
<i>Dunaliella primolecta</i>	23
<i>Dunaliella salina</i>	14–20
<i>Isochrysis galbana</i>	20–24
<i>Microcystis aeruginosa</i>	50–58
<i>Monallanthus salina</i>	>20
<i>Monodus subterraneus</i>	30
<i>Nannochloris</i> sp.	20–35
<i>Nannochloropsis</i> sp.	31–68
<i>Neochloris oleoabundans</i>	35–54
<i>Nitzschia</i> sp.	45–47
<i>Pavlova lutheri</i>	50
<i>Pavlova salina</i>	49
<i>Phaeodactylum tricornutum</i>	20–30
<i>Porphyridium cruentum</i>	9
<i>Scenedesmus dimorphus</i>	6–40
<i>Scenedesmus obliquus</i>	11–55
<i>Schizochytrium</i> sp.	50–77
<i>Skeletonema costatum</i>	12
<i>Spirulina maxima</i>	4–9
<i>Tetraselmis sueica</i>	15–23
<i>Thalassiosira pseudonana</i>	24

## 2 Strain Selection

As mentioned earlier, microalgae have been a potential source of biofuels, and there is an increasing focus for finding appropriate species and exploiting them for various applications. The promising algal species are distributed in fresh, brackish and marine water habitats (Metzger and Largeau 2005; Natrah et al. 2007; Deng et al. 2009). Many microalgal strains are collected from local freshwaters and are grown in modified Bold Basal and or BG11 medium (Allen and Stanier 1968; Stein

1973; Watanabe et al. 2000), incubated at  $25 \pm 1^\circ\text{C}$  under 100–200  $\mu\text{moles photon m}^{-2} \text{s}^{-1}$  irradiance with 12:12 light-dark cycle. Sforza et al. 2012 observed the growth rate of *Nannochloropsis* culture was optimum at 150  $\mu\text{E/m}^2/\text{s}$  and declined at 350–1000  $\mu\text{E/m}^2/\text{s}$ . Solovchenko et al. 2008 reported the optimum growth rate of *Parietochloris incisa*, a green unicellular micro alga at 400  $\mu\text{E/m}^2/\text{s}$  on a complete medium. Hence, the growth media and light intensities are adjusted to find an increase in algal productivity. The emerging, faster growing species are isolated; purified by serial dilution, repeated agar plating and antibiotic treatment. The pure culture is subsequently scaled up and transferred to 20 L glass carboys, recurrently subcultured for 10–12 times. Then the cultures are domesticated by treating in higher ( $\sim 33^\circ\text{C}$ ) and lower ( $\sim 10^\circ\text{C}$ ) temperatures, various light intensities (30–1000  $\mu\text{moles photon/m}^2/\text{s}$ ), and different nutrient formulations to pretend the outdoor weather conditions. The culture is then inoculated into 50 L open mini raceway ponds with inbuilt paddle wheels made of acrylic sheet, are exposed to ambient conditions and subsequently subcultured several times for a period of 10–12 weeks. These cultures are monitored closely for growth, polymorphism, contamination, light and temperature tolerance, nutritional requirement, and oil content. All selected strains undergo this process simultaneously. The effective, robust strains are selected based on faster growth rate ( $\text{g/m}^2$ ), higher oil content (% dwt.) and simple to harvest and extraction process. These outdoor experiments enable the researcher to determine the highest productivity peak of a particular strain corresponding to its nutrient composition, light, temperature and mixing velocity requirements.

### 3 Cultivation in Open Raceways

The mass cultivation ponds are of various shapes from circular, square to rectangular raceways. Raceway ponds for mass culture of microalgae have been used since the 1950s (Burlew 1953) for food production and waste management (Benemann et al. 1987). Extensive experience exists in the operation and engineering of raceways (Dodd 1986). The largest raceway-based biomass production facility occupies an area of 440,000  $\text{m}^2$  (Pauline et al. 2006). A raceway pond is a closed loop recirculation channel that is typically about 0.3 m deep, comprises parallel rectangular channels with semi-circular or sufficiently curved channels on either end joining neighboring ends of the parallel rectangular channels to form a continuous channel (Lee 2001). Ponds involve evenly divided lanes by the width of each lane staying constant throughout the course of the pond (Douglas and Blanca 2012). The pond bottom is compacted, smooth and even. A small dip, approximately one foot deep is constructed in one corner of the pond to support pumping operations. A foot raise of pond sidewalls prevents the culture from rainwater runoff into the pond and a continuous running paddle wheel circulates the growing culture (Vonshak 1997; Chisti 2007; Chen et al. 2009; James and Boriah 2010). The smaller ponds are constructed with concrete or fiberglass materials to minimize stress to the freshly inoculated culture from soil borne contaminants and to enable adaptation to the new conditions at the initial stages of the culture cycle. The clay ponds in the pilot



**Fig. 1** Open raceway ponds **a**  $3 \times 1$ , **b**  $15 \times 1.6$ , **c**  $122 \times 14$  m (Aquatic Energy LLC)

site, at Aquatic Energy LLC, range from  $30 \times 5$  to  $122 \times 14$  m to hold a total volume of 2,000,000 L of culture. The depth of the pond culture is maintained maximally up to 25 cm to allow sunlight to pass through the entire water column of the pond. Sheehan et al. 1998 extensively studied the production of microalgal biomass for making biodiesel in raceway ponds.

Several nontoxic acrylic liners may also be used on the sidewalls of the clay pond to avoid soil erosion on the course of rainfall and frequent culture operations. The edges of the lines must be fixed in order to protect from wind (Fig. 1).

The pond size decides the paddle wheel size and number. The smaller ponds are designed with single paddle wheels with four or six paddles and the larger ponds are constructed with two paddle wheels of six paddles each on either side of the pond. The paddle wheel is positioned so that it straddles the median divide and outside wall of the pond, and is able to push the culture to a great distance before the lane curves. Experience has shown that the ground clearance between the paddle and pond bottom less than 0.3 in. is effective to provide maximum contact with the culture. The mixing speed should ensure 90% of the algae are suspended. Lesser mixing would affect the productivity by ways of biomass sedimentation and decay. The microscopic cell counting, optical density analysis and chlorophyll estimation before and after mixing the culture is employed to detect the suitable mixing velocity. The normal mixing velocity ranges between 12 cm/sec and 18 cm/sec, but the exact value could slightly vary for different strains (Borowitzka 2005). Generally,

for the heavier or larger strains stronger mixing is preferred than the lighter ones. Ugwu and Aoyagi 2012 discussed various designs, operation and application methods in microalgal culture systems.

A shallow depth of <5 in. in a clay based raceway pond results in large volumetric productivity but it leads to sudden pH rise or fall, CO<sub>2</sub> depletion and other negative effects in the culture such as cell stress in hot summer & winter seasons and upwelling of silt affecting light penetration. Thus, a depth of 8 to 10 in. would increase the efficiency of the paddle wheel and minimize dead zones in the pond (Boyd and Tucker 1998).

### 3.1 *Scale Up and Culture Management*

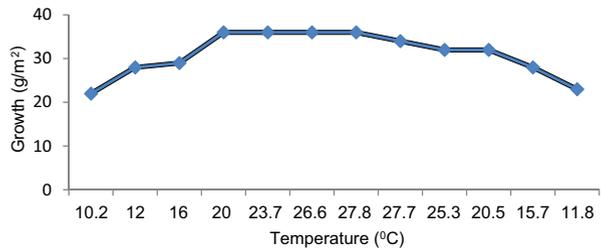
The domesticated pure *Scenedesmus* cultures are inoculated into smaller outdoor raceway ponds (3 × 1 m and 60 L) with clean water. A nutrient composition is supplied to the raceway pond at about the same time as the diluting step, wherein the nutrient composition comprises urea, phosphate and minerals. The denser cultures are subsequently transferred from smaller to larger ponds (122 × 14 m and 50,000 L). At each stage, the ponds are closely monitored for cell abnormalities and contaminants.

A cooling liquid is added to the raceway pond if a temperature of 33°C or higher is reached. The pH is maintained <9 using CO<sub>2</sub> dispensers. The paddle wheels are in continuous operation to keep the culture in suspension and to circulate the nutrients throughout the pond area. The culture depth is maintained between 8 and 10 in. in each pond. Samples are collected three times a day to estimate culture density and nutrient residue. The depleting nutrients are added to the culture near the paddle wheels to ensure that the algae are not starving. The cell density is maintained at 5 M cells/ml and harvest or dilution is done when the cell density reaches between 5 M and 7 M cells/ml. Culture density above 7 M cells/ml affects light delivery. The highly efficient chlorophyll antenna systems of microalgae cause mutual shading as cell concentration increases. The chlorophyll absorbs excess light even though they cannot process all the photons absorbed. This affects the light penetration depth and thus the depth of the photic zone (Park and Lee 2001). As a result, photosynthetic efficiency will be decreased and photoshading results in colony clumping and settling affecting gas exchanges in the pond.

### 3.2 *Light and Water Temperature*

Light is a fundamental factor determining the growth of algae. Open cultivation of microalgae is challenging, where the light intensity widely fluctuates from time to time. An increase in respiration rate occurred (Molina Grima et al. 2001) at low light conditions and higher intensities lead to photoinhibition (Lu and Vonshak 1999; Gouveia 2011) affecting productivity. Water temperature is another factor

**Fig. 2** Growth vs. time with monthly average temperatures shown for each month



that greatly influences the microalgal growth. Especially in an open pond situation, the temperature fluctuation is inevitable. The high ambient temperatures extremely affect the cell metabolism by interacting with nutrients, cell membrane transport system, enzymes, and production of useful metabolites (Quinn and Williams 1983; Wheeler 1983). A reduction in cell size at higher temperatures was observed by Rijssel and Gieskes 2002; a significant decrease in protein content and an increase in lipids and carbohydrates in *Spirulina* cultures was noticed (Tomaselli et al. 1988; Oliveira et al. 1999). Hancke et al. 2008 studied the temperature effects on photosynthesis activities of a few species of *diatoms*, and observed the photo synthetic rate was strongly stimulated by temperature and the optimum growth occurred between 20 and 25 °C. Chen et al. 2012 evaluated four species of marine microalgae growing at different temperatures for their ability to remove ammonia from intensive marine fish and shrimp culture systems. The growth of *Chlorella vulgaris* was affected at temperatures above 30 °C and a 17% decrease in its growth rate at 35 °C. Further increase in temperature led to an abrupt interruption of microalgal growth and resulted in cell death (Converti et al. 2009). Temperature fluctuation can also bring changes in pond water ionic equilibrium, pH, O<sub>2</sub>, CO<sub>2</sub> solubility; although different species are influenced to differing degrees by this effect (Bouterfas et al. 2002).

In Aquatic Energy LLC culture facility, as Fig. 2 indicates, the growth reached its maximum of 34 g/m<sup>2</sup> when the monthly average temperature ranges between 21 and 27 °C. There was a decline in growth when the temperature was either above or below the optimum. The highest productivity was achieved in midsummer months and low growth during the winter months, to 22 g/m<sup>2</sup> at 10 C.

### 3.3 Nutrients

Microalgae use CO<sub>2</sub> as a basic carbon source for growth. The absence or insufficient availability of CO<sub>2</sub> seriously affects productivity in raceway ponds. Based on the average chemical composition of algal biomass, approximately 1.8 t of CO<sub>2</sub> are needed to grow 1 t of biomass (Rodolfi et al. 2008). Natural dissolution of CO<sub>2</sub> from the air into the water is not enough. This could be improved by bubbling air through the water but, since air contains CO<sub>2</sub> as a trace gas at a concentration of about 0.0383 % per volume, all of the CO<sub>2</sub> in about 37,000 m<sup>3</sup> air is needed for 1 t

**Table 2** summarizes the CO<sub>2</sub> tolerance of various species. Note that some species may tolerate even higher carbon dioxide concentrations than listed in the table. Overall, a number of high CO<sub>2</sub> tolerant species have been identified. (Ono et al. 2003)

Species	Known Maximum CO <sub>2</sub> Concentration (%)	References
<i>Cyanidium caldarium</i>	100	Seckbach et al. 1971
<i>Scenedesmus</i> sp.	80	Hanagata et al. 1992
<i>Chlorococcum littorale</i>	60	Kodama et al. 1993
<i>Synechococcus elongatus</i>	60	Miyairi 1995
<i>Euglena gracilis</i>	45	Nakano et al. 1996
<i>Chlorella</i> sp.	40	Hanagata et al. 1992
<i>Eudorina</i> spp	20	Hanagata et al. 1992
<i>Dunaliella tertiolecta</i>	15	Nagase et al. 1998
<i>Nannochloris</i> sp.	15	Yoshihara et al. 1996
<i>Chlamydomonas</i> sp.	15	Miura et al. 1993
<i>Tetraselmis</i> sp.	14	Matsumoto et al. 1995

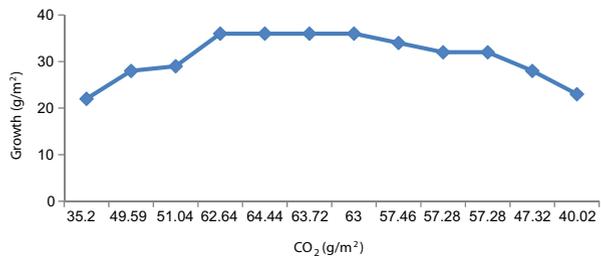
of dry algae. Thus an additional supply of CO<sub>2</sub> is required to maintain the pond algal productivity. The assimilation and tolerance rate of CO<sub>2</sub> in raceway culture is complicated to understand because of the impact of other factors such as light, temperature and nutrients. Maraskolhe et al. 2012 observed a maximum growth of *Scenedesmus* species when the CO<sub>2</sub> concentration in the medium was 36%.

Several species have been tested with flue gas for CO<sub>2</sub> tolerance, Maeda et al. 1995 found a strain of *Chlorella* sp. T-1 which could grow under 100% CO<sub>2</sub>, despite the maximum growth rate occurred under a 10% concentration. *Scenedesmus* sp. could grow under 80% CO<sub>2</sub> tolerance conditions but the maximum cell mass was observed in 10–20% CO<sub>2</sub> concentrations (Hanagata et al. 1992). *Cyanidium caldarium* (Seckbach et al. 1971) and some other species of *Cyanidium* can grow in pure CO<sub>2</sub> (Graham and Wilcox 2000, Table 2).

The carbon content in CO<sub>2</sub> is around 27% and the shortage of carbon in the medium is overcome by supplying carbon source from external CO<sub>2</sub> cylinders using micro bubble diffusers (Ben-Amotz 2007; Yun et al. 1997; Sawayama et al. 1995). Though, higher CO<sub>2</sub> dosages result in rapid cell flooding, the dosage between 60 and 63 g/m<sup>2</sup> showed a marginal increase in productivity (Fig. 3) but unstable during the course of growth. The CO<sub>2</sub> requirement varies between species and the dosage is decided in accordance with other parameters that favors growth (Ono and Cuello 2003).

It was observed that nitrogen and phosphate fertilizers added in tiny amounts, help to maintain the culture quality and to ward off the competitors. The nitrogen metabolism is affected by environmental changes (Thomas and Krauss 1955; Dohler 1998); similar results are observed in open pond cultures at Aquatic Energy LLC pilot facility. For even more addition of nitrogen and phosphate nutrients, during high (>30 °C) and low (<15 °C) temperature conditions, no increase in growth is detected. It is suggested that; the nutrients are to be dosed matching the growth rate, temperature and light conditions. Excess or overdosing of nutrients is not necessary. The surplus nutrients in the culture ponds either evaporate by volatilization into the

**Fig. 3** Growth vs. time with average monthly dosage of CO<sub>2</sub> per day shown for each month



atmosphere or precipitate at higher pH values, increasing the cost of production, further attract undesirable species that contaminate the culture.

### 3.4 Biomass Harvest

The selection of harvesting technology is crucial to the economic production of microalgal biomass (Schenk et al. 2008). A factor such as strain selection is an important consideration since certain species are much easier to harvest. *Spirulina*'s long spiral shape (20–100 mm long) naturally lends itself to the relatively cost-efficient and energy-efficient microscreen harvesting method (Benemann and Oswald 1996). Thus the selection of harvesting technique is dependent on the characteristics of microalgae e.g. size, density, and value of the target products (Olaizola 2003). Generally, microalgae bulk harvesting is focused on separation of biomass from the bulk suspension. This will depend on the initial biomass concentration and technologies employed, including flocculation, flotation or gravity sedimentation. Another method is to concentrate the slurry through techniques such as centrifugation, filtration and ultrasonic aggregation, hence, is generally a more energy intensive step than bulk harvesting (Brennan and Owende 2010).

At the onset of harvest, 1 or 2 in. of the culture is pumped from the growth ponds into a wet well and the mixture is allowed to settle for about 2 h and then pumped into a Dissolved Air Flotation System (Fig. 4a). At this time, nontoxic polymers are added to separate the algal biomass from water. The algal slurry (Fig. 4b) is stored in a holding tank (Fig. 4c) and pressed onto a belt press (Fig. 4d) until it becomes 30% dry (Fig. 4e). This is further dried in a propane-fueled oven to obtain a 90–95% dry biomass. The harvested water is evaluated for nutrient residue with an analyzer (Fig. 4f), and pumped back to the culture pond after filtration and UV treatment, replenished with necessary nutrients.

### 3.5 Extraction of Algal Oil

Microalgae compared with traditional crops, they have a high areal productivity, a relatively high oil and protein content, and do not depend on arable land. Microalgae are theoretically capable of producing much more lipids than any conventional



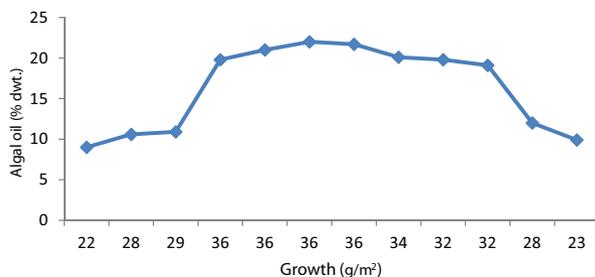
**Fig. 4** a DAF unit. b Algal slurry collection. c Holding tank. d Belt press. e Scrapped algae. f Nutrient analyzer. g Extractor

crop, approximately 20 times higher than soybean (Chen et al. 2009; Darzins et al. 2010) and are, therefore, attractive as a potential source of biodiesel. Because lipids from algae are often rich in the long-chain omega-3 fatty acids EPA and DHA, algae may also be a more sustainable source of these fatty acids for use as food or feed compared with fish oil (Lee et al. 2010).

Lipids have been recovered from microalgae via a multitude of extraction methods (Dunahay et al. 1996; Xu et al. 2004; Hossain et al. 2008; Ryckebosch et al. 2011). Because of the nature of microalgae, regular extraction methods (used for example as food) may not be applicable. First of all, microalgae are either single celled or colonial, but each cell is surrounded by an individual cell wall. Furthermore, they often contain ‘unusual’ lipid classes and fatty acids differing from those in higher animal and plant organisms (Guschina and Harwood 2006).

Lipid analysis is performed using both fluorescence and total lipid extraction. Fluorescence test is a quick method using a fluorometer to measure the lipid content in the cells. The harvest process from the larger raceway pond is performed once the lipid concentration of about 25% of the cell mass is reached. The dye Nile Red is highly fluorescent in the presence of lipids and used to achieve readings (Castro et al. 2005). A Turner model 1–10 fluorometer with emission filters (420–470 nm) and excitation filters (>520 nm) are employed. For this procedure, the culture is diluted to 3 mg/l. The dye is then added at a concentration of 1 mg/l. This solution is mixed using a vortex mixer for 5 min and results are read at 5-minute intervals for one hour, and are compared against a standard solution of 1 mg/l triolein with 1 mg/l Nile red.

**Fig. 5** Algal oil vs. growth with average monthly oil content shown for each month



In a laboratory set up, the total lipid extraction is performed using a modified Bligh and Dyer 1959 method. The algal slurry is dried overnight using a bench-top dehydration unit. The dried algal flakes are ground well using a grinder and then weighed. Chloroform and methanol solvents are used to extract algal oil. The algal power is mixed with the solvents and water using a vortex. After 30 min, the mixture is poured into a separating apparatus, and left for a few hours undisturbed. After the appearance of three distinct layers (Methanol, Chloroform and Water) in the separating flask, the green algal oil layer is collected and filtered. Later evaporating the solvents, the algal oil residue is measured and computed with initial weight to determine the oil content. Testing samples with ethanol as a solvent (Fajardo et al. 2007) for total lipid extraction resulted a low yield (<10 dwt. %) against Bligh and Dyer method (>20 dwt. %). A Dionex Accelerated Solvent Extraction unit is also used (Fig. 4g) to extract the oil from algal biomass using different solvents and the lipid results are more or less similar to Bligh and Dyer method.

In a large-scale extraction, the algal sample is placed inside a tubular column with a very fine mesh that separates the algal powder to prevent flowing into the collection apparatus. The hot hexane (70 °C) is poured onto the algal powder and the dripping liquid is collected and drizzled on to the algal powder repeatedly for five times. After this process, the mixture is finally washed with fresh hot hexane. The collected liquid is filtered to remove any foreign material, and heated to evaporate the hexane. The percentage of algal oil is calculated by the initial weight of the sample.

A proportionate relationship is observed (Fig. 5) between the growth and oil content in the cultures of *Scenedesmus*. In some instances, few of the culture samples are manipulated with low dosages of nitrogen (<2 mg/l/m<sup>2</sup>) and phosphate (<0.5 µg/l/m<sup>2</sup>), and some culture ponds are left with no nitrogen source, also showed 2–5 (% dwt.) increase in lipid content. In some cases, when the ambient temperature is high, the algal cells are unable to cope with nitrogen starvation leads to photo-oxidative damage. Several research papers supported that the nitrogen starvation results to an increase in lipid content, but in the open raceway culture facilities, the nitrate and phosphate starvation showed both positive and negative effects in biomass yield and lipid content as well, possibly due to the influence of other environmental factors. The sustained growth of *Scenedesmus* biomass after the exhaustion of phosphate in phosphorus starvation mode led to a significant increase in biomass yield and was nearly six times more than that nutrient feeding (Yin et al. 2012).

High temperature and different light intensities also impact on the lipid content in microalgae (Pohl and Zurheide 1979; Mayzaud et al. 1989). Therefore one or a combination of many factors plays a significant role in an open culture system, either increasing or decreasing the lipid content besides nutrient manipulation (Lewin 1962; Tedesco and Duerr 2006).

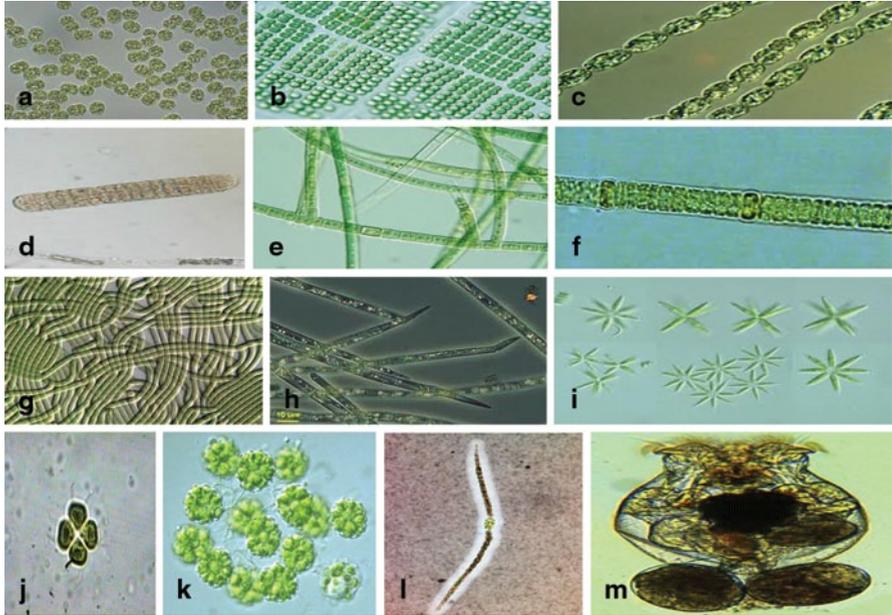
After the extraction processes, the resulting microalgal oil is converted into biodiesel through transesterification process. This reaction consists of transforming triglycerides into fatty acid alkyl esters, in the presence of an alcohol, such as ethanol or methanol and a catalyst, such as an alkali or acid with glycerol as a byproduct (Vasudevan and Briggs 2008; Dragone et al. 2010; Khola and Ghazala 2012).

The algal meal of Aquatic energy's *Scenedesmus* strain dry weight comprises 3% crude fiber, 0.1% calcium and 39% protein. The omega-3 fatty acids are obtained as a byproduct during the lipid extraction process by treating the lipids under different temperature processes. The alga yields around 22% of omega 3, 29% of PUFAs, 20% of monounsaturated fat and 27% of saturated fat. Carbon chains include, but are not limited to, C12–C24 chains in different percentages. Actual lipid profile varied with an increase or decrease in one or more components of the culture system.

## 4 Management of Contaminants

It is impossible to avoid contamination in open ponds. Bacteria, viruses, fungi, zooplankton, insects, leaves and airborne materials are the common constituents of contaminants. It is important to keep the contamination under acceptable limits, as this should not affect the health of the main culture. In large ponds, the floating contaminants are removed by scooping nets or fixing screens along the water flow.

Close monitoring of pH, dosing the right nutrients at the right time, maintaining the culture at high density and following strict sanitation practices control the contaminants in *Scenedesmus* culture ponds. Drastic lowering of pH to about 3 by surging CO<sub>2</sub> for 2 h is a common practice to control *Brachionus* infestation (Becker 1994). In a clay pond, pH above 9 favors the growth of blue green algae (Van der Westhusian and Eloff 1983) and a low pH invite other competing green algae. Availability of nitrogen and phosphate sources in excess may flourish toxic cyanobacteria (Hughes et al. 1958). Some fungal contaminants such as *Phlyctidium scenedesmi*, *Phlyctidium* sp. are identified infecting the *Scenedesmus* leading to cell death in a short span of time. Fungicides, such as *Triton-N* (Benderliev et al. 1993) and *Funginex* are used at different concentrations depending upon the infection rate as a control measure. The infection of *Chytridium* species is not uncommon in open clay ponds; it is identified by microscopic observation, the parasite harbors between the outer and the inner part of the thallus and produces a residual structure that absorbs the cellular contents of the host. Addition of Mg<sup>2+</sup> or K<sup>+</sup> at concentrations of 10<sup>-2</sup> moles or higher inhibited their growth (Abeliovich and Dikbuck 1977) (Fig. 6).



**Fig. 6** Contaminants observed in outdoor cultures: **a** *Microcystis* sp. ( $\times 450$ ). **b** *Merismopedia* sp. ( $\times 450$ ). **c** *Anabaena* sp. ( $\times 450$ ). **d** *Oscillatoria* sp. ( $\times 450$ ). **e** *Haplosiphon* sp. ( $\times 100$ ). **f** *Nodularia* sp. ( $\times 450$ ). **g** *Lyngbya* sp. ( $\times 100$ ). **h** *Cylindrospermopsis* sp. ( $\times 450$ ). **i** *Actinastrum* sp. ( $\times 450$ ). **j** *Tetrastrum* sp. ( $\times 450$ ). **k** *Coelastrum* sp. ( $\times 450$ ). **l** *Umizakia* sp. ( $\times 450$ ). **m** *Brachionus* sp. ( $\times 450$ )

Clean contaminant free water is one of the best ways to avoid most of the contaminant sources. Underground bore well water is allowed to pass through a pre-filter followed by ultraviolet exposure to deactivate most of the aquatic contaminants (Whitby and Palmateer 1993). The UV treated water was filtered before pumping into the pond. The motor and hoses are thoroughly cleaned; chlorine disinfection is done on a weekly basis to ensure cleanliness of the equipment. The poor pond construction and improper mixing may cause many anaerobic zones in the pond affecting productivity.

## 5 Conclusions

Mass cultivation of microalgae in open raceway pond is economical but limits for a few species only. The effort in successfully growing, harvesting microalgae and extracting for biodiesel and other products have been in practice for decades. Although significant literature exists on microalgal growth and biochemistry, more work needs to be undertaken to understand and potentially manipulate algal lipid metabolism to determine the viability of the various options for large-scale culture. The greatest potential for cost reduction and increased yields most probably lies

within open production systems. Knowledge on various contaminants, their sources and controlling techniques are utmost important for a sustainable operation. Apart from bestowing useful products, the large-scale cultivation of microalgae plays an important role in saving the planet earth.

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