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Dariusz Leszczynski Editor

Radiation Proteomics

The effects of ionizing and non-ionizing radiation on cells and tissues

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Radiation Proteomics

The effects of ionizing and non-ionizing radiation on cells and tissues

Editor Dariusz Leszczynski STUK Radiation and Nuclear Safety Authority Helsinki, Finland

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Preface

Proteomics is widely used in search of biomarkers, in pharmacology, in clinical research and in toxicology. With the help of proteomics, large amount of information on the physiology of living cells can be obtained in a single experiment. Combining this information with the data from genomics and other high-throughput screening techniques, like transcriptomics and metabolomics, allows researchers to gain new insights into the normal and pathological physiology of cells, tissues and organisms.

The area still waiting for the proteomics "boom" is the search for the biological effects of radiation. The effects caused by high doses of radiation, both ionizing and non-ionizing, are relatively well known. However, much less is known about the effects of low or very low doses of radiation to which people are exposed in their everyday life. The knowledge of the effects of the low doses of ionizing radiation (e.g. bystander effect) or low doses of nonionizing radiation (e.g. effect of radiation emitted by wireless communication devices) is not yet reliably established. Often the effects are small and difficult to discover and to replicate. One of the limiting factors in the research of low-dose radiation effects has been the lack of the knowledge of the cellular target molecules. Now the discovery of molecular targets of radiation is within the reach while using proteomics, the high-throughput screening of expression and activity of proteins. Particularly, in studying biological effects of low-dose radiation, proteomics approach might reveal effects not possible to predict based on the available knowledge of the effects caused by high doses of radiation.

Search through the scientific literature shows that to date there have been published only a very limited number of proteomics studies examining effects of radiation. This book, *Radiation Proteomics*, presents the current status of the research of radiation effects using proteomics approach.

This book begins with the review of current status and the future directions in the development of proteomics methods written by the group of Timothy J. Griffin from the University of Minnesota, Minneapolis, USA. This introductory chapter is followed by two chapters dealing with the effects of ionizing radiation on cells and on tumor microenvironment co-authored by Soile Tapio and Michael J. Atkinson from the Helmholtz Centrum Munich, Germany. Two other chapters dealing with the effects of ionizing radiation present an overview of the radiation effects detectable in the serum proteome, written by Olivier Guipaud from the Institute of Radioprotection and Nuclear Safety in France, and in the urine proteome, co-authored by Mukut Sharma and John E. Moulder from the Medical College of Wisconsin, USA. The next four chapters deal with the effects of non-ionizing radiation. Two other chapters review the impact of electromagnetic fields, the radiofrequencymodulated electromagnetic fields (RF-EMF) and extremely low frequency magnetic fields (ELF-MF), written by Dariusz Leszczynski of the STUK-Radiation and Nuclear Safety Authority, Finland and by Guangdi Chen and Zhengping Xu of the Zhejiang University, China, respectively. The last two chapters by H. Konrad Muller and Gregory M Woods of the University of Tasmania and the Menzies Research Institute Tasmania, Australia and by Riikka Pastila of the STUK-Radiation and Nuclear Safety Authority, Finland, review the effects of non-ionizing ultraviolet radiation on skin cells.

I would like to thank all authors for taking time off their busy schedules to write these interesting reviews. I hope that this book will help to stimulate research in the area of radiation effects on proteome and facilitate discoveries of target molecules, especially in the area of low-dose radiation, both ionizing and non-ionizing.

Finally, I would like to thank Thijs van Vlijmen, Publishing Editor and Sara Germans-Huisman, Publishing Assistant, from the Springer Science $+$ Business Media B.V., for their help support and patiently waiting for the delayed materials.

Melbourne, Australia Dariusz Leszczynski October 2012

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1 Mass Spectrometry-Based Proteomics: Basic Principles and Emerging Technologies and Directions

Susan K. Van Riper, Ebbing P. de Jong, John V. Carlis, and Timothy J. Griffin

Abstract

As the main catalytic and structural molecules within living systems, proteins are the most likely biomolecules to be affected by radiation exposure. Proteomics, the comprehensive characterization of proteins within complex biological samples, is therefore a research approach ideally suited to assess the effects of radiation exposure on cells and tissues. For comprehensive characterization of proteomes, an analytical platform capable of quantifying protein abundance, identifying post-translation modifications and revealing members of protein complexes on a systemwide level is necessary. Mass spectrometry (MS), coupled with technologies for sample fractionation and automated data analysis, provides such a versatile and powerful platform. In this chapter we offer a view on the current state of MS-proteomics, and focus on emerging technologies within three areas: (1) New instrumental methods; (2) New computational methods for peptide identification; and (3) Label-free quantification. These emerging technologies should be valuable for researchers seeking to better understand biological effects of radiation on living systems.

Keywords

Proteome • Mass spectrometry • Matrix-assisted laser desorption/ionization • MALDI • Electrospray ionization • ESI • Nanoscale reversed-phase liquid chromatography • NanoLC • Sequence database • SEQUEST • Mascot • 2-dimensional gel electrophoresis • Phosphorylation • Glycosylation • Isotope labeling • Peptide sequencing • Peptide identification

S.K. Van Riper (\boxtimes)

Department of Biomedical Informatics and Computational Biology, University of Minnesota, 321 Church St SE/6-155 Jackson Hall, Minneapolis, MN 55455, USA e-mail: vanr0014@umn.edu

E.P. de Jong

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Department of Biochemistry, Molecular Biology and Biophysics, University of Minnesota, 321 Church St SE/6-155 Jackson Hall, Minneapolis, MN 55455, USA e-mail: dejon039@umn.edu

2DGE	Two-dimensional gel electrophoresis			
APEX	Absolute protein expression			
AUC	Area-under-curve			
CAD	Collision activated dissociation			
CID	Collision induced dissociation			
ECD	Electron capture dissociation			
ESI	Electrospray ionization			
ETD	Electron transfer dissociation			
FAIMS	Field-assymetry ion mobility			
	spectrometry			
FDR	False discovery rate			
HCD	High-energy collision dissociation			
HUPO	Human proteome organization			
ICAT	Isotope coded affinity tags			
IMS	Ion mobility spectrometry			
IRMPD	Infrared multiphoton dissociation			
iTRAQ	Isotope tagging for relative and			
	absolute quantification			
LC	Liquid chromatography			
m/z	Mass-to-charge			
MALDI	Matrix-assisted laser desorption/			
	ionization			
MRM	Multiple reaction monitoring			
MS	Mass spectrometry			
MS ²	Tandem mass spectrometry			
NIST	National institute of standards and			
	testing			
NSAF	Normalized spectral abundance factor			

J.V. Carlis

Department of Computer Science and Engineering, University of Minnesota, 321 Church St SE/6-155 Jackson Hall, Minneapolis, MN 55455, USA e-mail: carlis@umn.edu

T.J. Griffin

Department of Biomedical Informatics and Computational Biology, University of Minnesota, 321 Church St SE/6-155 Jackson Hall, Minneapolis, MN 55455, USA

Department of Biochemistry, Molecular Biology and Biophysics, University of Minnesota, 321 Church St SE/6-155 Jackson Hall, Minneapolis, MN 55455, USA e-mail: tgriffin@umn.edu

1.1 Introduction

Genome sequencing efforts initiated in the 1980s fostered a new paradigm in biological research: the system-wide characterization of biomolecules. Within this new paradigm, the field of proteomics, which seeks to characterize proteins on a system-wide level, emerged. Proteins, the major catalytic and structural components within all living systems, are arguably the most informative biomolecules for understanding cellular function and response to systematic perturbations, such as radiation exposure. Unfortunately, proteins are also the most challenging of all biomolecules to study on a system-wide level. In addition to cataloging and quantifying proteins within a complex biological sample, information on their post-translational modification (PTM) state, subcellular localization and interactions with other biomolecules is necessary for full proteome characterization. Adding to the challenge, proteins are dynamic, changing their abundance, PTM state, localization and interactions in response to stimuli. Gene sequences or even mRNA expression levels cannot reveal or predict this protein-level information [\[1,](#page-32-0) [2\]](#page-32-1). Therefore technologies for direct analysis of proteins are necessary for proteome characterization.

Although no single technology can fully characterize all aspects of proteomes, mass spectrometry (MS) is the most powerful and flexible for proteomic analysis. The revolutionary discoveries in the late 1980s of Matrix-Assisted Laser Desorption/Ionization (MALDI) [\[3\]](#page-32-2) and Electrospray Ionization (ESI) [\[4\]](#page-32-3) made possible analysis of intact polypeptides and proteins by MS. Along with these ionization methods, three

Department of Biomedical Informatics and Computational Biology, University of Minnesota, 321 Church St SE/6-155 Jackson Hall, Minneapolis, MN 55455, USA

technologies combined to provide an analytical platform underpinning the field of MS-based proteomics and enabling system-wide protein analysis. First, nanoscale reversed-phase liquid chromatography (nanoLC) coupled online with MS instruments came about for separating peptide digests from complex protein mixtures [\[5\]](#page-32-4). Second, tandem mass spectrometry, commonly referred to as MS/MS, arose for predictably fragmenting peptides, necessary for determining their amino acid sequence [\[6\]](#page-32-5). Tandem mass spectrometry initially scans all mass-to-charge (m/z) values of peptide ions as they elute from the nanoLC column, and records their signal intensities in an $MS¹$ spectrum. Detected peptide ions are then isolated, and fragmented, with the instrument undertaking another scan of all m/z values of fragment ions, recording their signal intensities in an $MS²$ spectrum. Third, automated sequence database searching, led by the program SEQUEST [\[7\]](#page-32-6) and followed by Mascot [\[8\]](#page-33-0), was developed to match large amounts of $MS²$ spectra to peptide sequences contained in databases, and in turn infer protein identities present within complex mixtures.

This basic platform for what has been termed "shotgun" or "bottom-up" proteomics, offered researchers a new way forward for identifying proteins within complex mixtures. However, two problems, the extreme chemical heterogeneity and large dynamic range of protein abundance within protein mixtures derived from cells, tissues or bodily fluids, required new methods for more sensitive identification of proteins. Multidimensional liquid chromatography-based methods for fractionating peptide digests upstream of MS analysis, helped to, at least in part, address these problems, by simplifying complex mixtures and minimizing signal suppression within the MS instrument [\[9–](#page-33-1)[11\]](#page-33-2). These fractionation methods also overcame the limitations [\[12\]](#page-33-3) of traditionally used two-dimensional gel electrophoresis (2DGE) for separating complex protein mixtures. Methods for enriching PTMs prior to MS analysis improved identification of proteins carrying important modifications, such

as phosphorylation $[13–15]$ $[13–15]$ or glycosylation $[16]$, on a large-scale. Stable isotope labeling and dilution, traditionally used in mass spectrometry analysis of small molecules, was adapted for quantitative measurements of proteins analyzed by MS [\[17\]](#page-33-7).

Collectively, these components of the MSbased proteomics "toolbox" fostered a new and powerful means to study proteins on a systemwide level. This enhanced platform can now routinely identify and quantify thousands of proteins, including those carrying PTMs in complex protein mixtures. Because proteins are the ubiquitous molecular "effectors" within any organism, MS-based proteomics applies to all fields of biological research, including the effects of radiation on the cellular environment.

MS-based proteomics has always been and remains a collection of dynamic technologies, with new ones constantly emerging across all facets of the platform. Continuous improvements in technologies have moved the proteomics field closer to its ambitious goal to fully characterize proteins within complex biological samples with high throughput. Examples of such technologies include: improvements in MS instrument sensitivity increases identification of lowabundance proteins; more sophisticated software programs for peptide identification from $MS²$ data enables detecting a higher proportion of the hundreds of known PTMs [\[18\]](#page-33-8) of proteins; higher throughput and more quantitatively accurate methods makes possible quantification of protein targets of interest in a large number of individual samples, which is especially important for biomarker studies. However, despite continued technological improvements, the sheer complexity of biological systems greatly challenges the current platform in meeting the goal of full proteome characterization. To illustrate using a rough estimation, the human genome contains about \sim 25,000 genes that are processed by a variety of regulated steps (mRNA splicing, proteolysis, etc.) to produce \sim 250,000 distinct proteins. These are in turn covalently modified via phosphorylation, acetylation, ubiquitination, oxidation, sumoylation, etc., to generate a

1) New instrumental methods

Fig. 1.1 Overview of the three, interconnected technologies reviewed in this chapter

proteome with millions of distinct protein-based molecules. The current proteomics technologies can still only reliably detect a fraction of these molecules. Thus there is continued need for new and improved technologies.

Here, we provide our view on three emerging technologies in MS-based proteomics that are pushing the field in new directions: (1) New instrumental methods; (2) New computational methods for peptide identification; and (3) Label-free quantification. Figure [1.1](#page-11-0) provides an overview of the interconnectivity of these technologies. The data produced using new instrumental methods, in particular high resolution and mass accuracy data, enables improved de novo peptide identification, which seeks to overcome the inherent limitations of the currently practiced sequence database searching. Label-free quantification provides a flexible and simple way when comparing samples to determine differentially abundant peptides and inferred proteins. We review recent advances in these three technologies.

1.2 New Instrumental Methods

From the outset, MS instrumentation has been the core technology driving proteomic advances. Fortunately, impressive improvements to the technology have continuously emerged over the last two decades. Most instrument vendors introduce a new model of any given MS instrument every 2–3 years, and those manufactured \sim 10 years prior to the latest model can scarcely be considered suitable for research. Some of the most fundamental and sought-after metrics for mass spectrometers are resolution, scanning speed, and sensitivity. These are strongly related: in mass spectrometers sensitivity comes at the cost of scanning speed which, in turn, comes at the cost of resolution. Here we review some emerging MS instruments that are redefining what is possible in MS-based proteomic studies. We also discuss emerging methods that are closely linked to improving the performance of the MS instrumentation used for proteomic studies.

1.2.1 Higher Mass Accuracy and Faster Scanning Instruments

Bottom-up proteomics uses nanoLC for peptide separation coupled directly with the MS. Peptides eluting from the nanoLC column are ionized via ESI, and introduced into the mass spectrometer. For complex mixtures (e.g., cell or tissue lysates), the number of peptides vastly exceeds the peak capacity of the separations typically used. Michalski et al. have determined that during a typical 90-min gradient LC run of a complex proteomic mixture, a state-of-the-art mass spectrometer can detect over 100,000 peptide species [\[19\]](#page-33-9). Consequently, there are many peptide ions being introduced to the mass spectrometer simultaneously and high resolution is required to differentiate these molecules by their m/z values. Resolution is defined as the ratio of the m/z value to the width of the peak at half its maximum. Therefore a large ratio, for example 50,000/1, is desirable. While not directly related, high mass accuracy usually accompanies high resolution. Mass accuracy is calculated via the following equation: [(actual m/z–observed m/z)/actual m/z]. Because this ratio is usually very small, it is multiplied by $10⁶$, and reported in units of parts-per-million (ppm). Values of 5 ppm or less are desirable for mass accuracy. Ideally, a mass spectrometer provides sufficient mass accuracy to assign a unique elemental composition, and thus an estimation of amino acid composition, to all peptide peaks in the scanned range. Such a high level of mass accuracy greatly constrains the number of possible amino acid sequences responsible for an observed signal and reduces the incidence of false discoveries when assigning amino acid composition [\[20\]](#page-33-10). With 1 ppm measured mass accuracy, the amino acid composition of relatively small peptides with molecular weights in the range of 700–800 Da can be determined [\[21\]](#page-33-11). With the help of internal calibration techniques, achieving this level of accuracy now is almost routine [\[22,](#page-33-12) [23\]](#page-33-13).

Tandem mass spectrometry is the underlying instrumental analysis method for MS-based proteomics. In its traditional implementation,

detected peptide ions eluting from the nanoLC column are isolated and fragmented, with the m/z values of the fragments being recorded in an $MS²$ spectrum. There are numerous ways in which isolated peptides can be fragmented, as will be discussed in Sect. [1.4.](#page-28-0) The most-used method, collision-induced dissociation (CID), leaks or "bleeds" a small quantity of an inert gas (He, N_2 , Ar) into the chamber where the isolated peptide ions reside. The peptide ions collide with the gas and internalize the energy from the collision. Being in the gas phase, the peptide ions cannot re-distribute the energy to solvent molecules. Instead, the energy is eventually transferred to a vibrational mode which cannot sustain the energy available and results in bond cleavage. This primarily results in cleavage along the peptide bond of the peptide backbone, although one also frequently sees the loss of water, ammonia, carbon monoxide or labile post-translational modifications [\[24\]](#page-33-14). The predominant fragments are named accordingly: b-ions are fragments derived from the N-terminus of the peptide, while y-ions are fragments derived from the C-terminus (see Fig. [1.3](#page-20-0) in Sect. [1.2\)](#page-11-1). Certain high-energy fragmentation techniques fragment or completely lose the amino acid side chains, and such ions are named d, v, and w- ions.

Ideally, one would acquire a high-quality $MS²$ spectrum for each peptide within a complex mixture. Unfortunately this is not the reality, due to two main factors. First, the speed at which an instrument can gather a sufficient population of peptide ions and generate an $MS²$ spectrum will determine its effectiveness at sequencing all the detected peptide ions in a sample. Since the peptide signal from the LC column is transient, the more time spent scanning m/z fragments from any peptide ion selected for fragmentation, the more signals from other peptides will be missed. Thus instruments that quickly scan and record $MS²$ spectra are desirable. A second factor is the dynamic range of abundance of the peptides present. The electrospray process can generate only a finite amount of ions per unit time, and when an extremely abundant peptide elutes from the column, less abundant peptides will undergo so-called ion suppression.

Instruments with a greater dynamic range or efficiency at selecting low-abundance ions can mitigate these effects; however peptides from the lowest-abundance proteins in a sample remain undetectable unless enrichment or targeted strategies are employed. Thus, instruments with increased sensitivity to low-abundance peptides are desirable. Increased sensitivity is also linked to scan speed, as increased sensitivity means the instrument must spend less time accumulating fragment ions, and can record $MS²$ spectra more rapidly.

Some recently released instruments, combining the desirable qualities of high resolution and mass accuracy and rapid scanning speed, are the Thermo Orbitrap series and the AB Sciex Triple TOF 5600. The Orbitrap mass analyzer allows ions to orbit a central electrode while simultaneously oscillating axially. This axial motion is $mass$ ($-to$ charge) dependent. The Orbitrap analyzer collects an image current of all ions present, each with a characteristic axial frequency. Fourier transform of this image current yields the orbital frequencies present and thus, the m/z values present. In this type of mass analyzer, resolution increases with longer scans [\[25,](#page-33-15) [26\]](#page-33-16). The first commercial Orbitrap instruments, coupled with a linear trapping quadrupole, delivered resolving powers of >100,000 with measured mass accuracy of 2–5 ppm and recording of up to three low-resolution MS^2 scans per second [\[27\]](#page-33-17). Recent introduction of the Orbitrap Velos, led to 10 low-resolution $MS²$ spectra recorded per second [\[28\]](#page-33-18). The latest installment of the Orbitrap series, Orbitrap Elite, employs a more powerful Orbitrap mass analyzer, [\[29\]](#page-33-19) providing 2–3 fold higher resolution of up to 240,000, and an improved Fourier transform algorithm, delivering a further 2.3-fold greater resolution. No publications using this instrument exist at the time of writing, however a recent publication describes a related instrument. The Q Exactive, employing the same Orbitrap as the Elite but with a detectorless trapping quadrupole, requires that all $MS¹$ and $MS²$ scans be performed in the Orbitrap, thus giving high mass accuracy in all mass spectra and allowing stricter filtering criteria when performing database searches for assignment of

peptides to $MS²$ spectra. This instrument also records 10 high mass accuracy $MS²$ spectra in a \sim 1 s cycle that includes an initial MS¹ scan [\[30\]](#page-33-20). When coupled to an ultrahigh pressure LC system delivering a 4-h gradient, the Q Exactive achieves

92% coverage of the yeast proteome.

The AB Sciex Triple TOF 5600 is in fact a quadruple time-of-flight (Q-TOF) configuration. Relative to other Q-TOF instruments however, the 5600 has improved ion sampling, rapid pulsing of ions towards the TOF and high TOF acceleration voltages, all of which allow up to 100 MS2 recorded per second [\[31\]](#page-33-21). One of the first publications using this instrument in a proteomics setting determined that 20 MS² scans per 1.3 s cycle gave the most peptide assignments to acquired $MS²$ spectra. This instrument delivers a resolution of 40,000, and, with internal calibration, also produced a measured mass accuracy of 2 ppm. The extremely fast scanning of this instrument is credited for the threefold increase in peptide identifications over an early-model Orbitrap instrument.

1.2.2 Improved Electrospray Ion Transfer Efficiency

Maximized capture in the mass spectrometer of peptide ions generated via ESI increases the instrument's sensitivity. The ESI process generates a divergent ion beam which is collected by a conductance-limited aperture, typically in the form of a skimmer. This configuration captures only a fraction of the ions generated by ESI. The efficiency of ion transfer from an ESI source to the detector has been estimated at $\langle 0.1\% \rangle$. To address this bottleneck, Smith and colleagues have produced many refinements to the longknown stacked ring ion guide [\[32\]](#page-33-22) or ion funnel (Fig. [1.2\)](#page-14-0), yielding successively improved ion transmission while minimizing the m/z dependency of ion transmission [\[33–](#page-33-23)[36\]](#page-34-0). The ion funnel consists of a series of evenly or progressively further-spaced ring electrodes with successively decreasing inner diameters to help focus the divergent ion beam. Radio frequency [\[32\]](#page-33-22) or static [\[37\]](#page-34-1) electric fields are used to

Fig. 1.2 Ion funnel schematic (Adapted with permission [\[39\]](#page-34-2), Copyright 2008 American Chemical Society)

drive ions through the device sometimes with a DC field superimposed [\[36\]](#page-34-0). The ion funnel has achieved collection efficiencies of 50–60% across a typical proteomics m/z range of 200– 2,000. However this interface is still not efficiently coupled to a mass spectrometer due to an increased concentration of charged droplets whose repulsion causes losses during transmission [\[38\]](#page-34-3).

Ion funnels have become widely adopted in commercial mass spectrometers, however the issue of ion transmission remains a barrier to efficient use of all ions generated by ESI. Another factor limiting ion transmission is the pressure gradient between the ESI source and the mass spectrometer. ESI is usually operated at ambient pressure, while the mass spectrometer is operated under high vacuum. This pressure gradient makes efficient ion capture difficult. Operating the electrospray within the low-vacuum region of MS has been used to mitigate this problem and improves ion signal by approximately an order of magnitude [\[40,](#page-34-4) [41\]](#page-34-5), giving an estimated 50% ion transmission efficiency [\[42\]](#page-34-6). This technology has been termed subambient pressure ionization with nanoelectrospray, or SPIN. Potential, albeit minor hurdles to the widespread adoption of this technique are its compatibility with typical nano-LC flow rates [\[41\]](#page-34-5) and the robustness of an interface where users are required to introduce a nano-LC-ESI column into the first vacuum stage of a mass spectrometer. However, more efficient use of ions produced by ESI clearly pays dividends in improving instrument sensitivity and will likely continue to see innovations until a majority of ions can be routinely captured in commercialgrade mass spectrometers.

1.2.3 New Fragmentation Methods

Tandem mass spectrometry for amino acid sequence elucidation relies, in part, on the ability to efficiently fragment peptide ions¹. CID is by far the most used fragmentation method due to its simplicity, ease of implementation, and ability to fragment all peptides at least moderately well, in spite of the wide chemical diversity of a typical proteomic sample. As CID is well-suited to other classes of molecules beside peptides, it is present in virtually all commercially available tandem mass spectrometers [\[43\]](#page-34-7). CID is sometimes referred to as collisionallyactivated dissociation (CAD), particularly when applied to the beam-type version of this fragmentation. This distinction causes one to view CID as a method limited to resonant excitation in an ion trap. Adding to the confusion, one instrument vendor refers to their CAD cell as Higher energy Collisional Dissociation (HCD) [\[44\]](#page-34-8). These distinctions are not merely semantic, however. Aside from the differences in hardware required to perform them, resonant excitation CID is slower (\sim 30 ms vs. <1 ms), produces different fragment ion intensities than beam-type CAD, and CID suffers from the so-called "onethird rule". Under the necessary ion activation conditions for sufficient fragmentation in an ion trap, the resulting fragment ions with $m/z \le 0.3$ times that of the precursor ion are lost during the activation $[45]$. This loss of low-mass b/y ions as well as helpful immonium ions hinders the interpretation of the resulting tandem mass spectrum.

¹The terms "fragmentation" and "dissociation" are used interchangeably in the field.

In isotope-tagging experiments for relative quantification between samples, the low-mass region of the $MS²$ spectra contain the reporter fragment ions, crucial to obtaining quantitative information [\[46,](#page-34-10) [47\]](#page-34-11). While a modified version of resonant excitation in an ion trap, called PQD, can be implemented to preserve the low-mass ions, [\[48\]](#page-34-12) the low fragmentation efficiency of PQD has invited comparison between PQD and HCD [\[49\]](#page-34-13). Instrumental improvements in the HCD cell [\[50\]](#page-34-14) now make HCD a very attractive method for the analysis of low-mass reporter ions in quantitative mass spectrometry.

The use of HCD fragmentation has also been examined for studies of phosphopeptides. While its use resulted in more phosphopeptide and phosphosite identifications than when using CID, [\[51\]](#page-34-15) it seems that this improvement can be attributed largely to the high mass accuracy scans which are mandated following HCD, as opposed to lowresolution and low mass accuracy scans typically used following CID, rather than any inherent improvement in fragmentation pattern or ion collection.

Electron capture dissociation (ECD) [\[52,](#page-34-16) [53\]](#page-34-17) and electron transfer dissociation (ETD) [\[54–](#page-34-18) [56\]](#page-34-19) are two related fragmentation methods, used in Ion Cyclotron Resonance (ICR) and ion trap mass spectrometers, respectively. Both methods involve an ion/ion interaction between a multiply protonated peptide cation and either a low-energy electron in ECD or an electrondonating anion radical molecule in ETD. The charge-reduced peptide cation dissociates before any energy randomization can occur. This is especially important for peptides carrying PTMs such as phosphorylation or glycosylation [\[57\]](#page-34-20). In CID or CAD the labile covalent bonds between these modifications and the peptide are usually preferentially fragmented, limiting fragmentation across the peptide backbone and resulting in less informative $MS²$ spectra for peptide sequence assignment. ECD or ETD meanwhile provide richer MS2 spectra from many PTM carrying peptides since much of the fragmentation still occurs along the peptide backbone. This leaves intact the modified amino acid residues and also provides a relatively full complement of sequence-rich fragments, enabling more effective sequence assignment and increased confidence in the site of modification. This property has made ECD/ETD especially useful in studies of phosphoproteins and glycoproteins [\[58](#page-34-21)[–61\]](#page-35-0).

Photodissociation methods can also be used to obtain peptide sequence information. Two spectral regimes are commonly being used for this purpose: infrared and (vacuum) ultraviolet. Infrared multiphoton dissociation (IRMPD) typically uses a $CO₂$ laser emitting tens of watts at a wavelength of 10.6 μ m. This wavelength is efficiently absorbed by phosphopeptides and thus IRMPD has been investigated for its utility in analyzing this important post-translational modification $[62, 63]$ $[62, 63]$ $[62, 63]$. MS² spectra following IRMPD do not suffer from the one-third rule, [\[64\]](#page-35-3) however the fragmentation typically takes twice as long as resonant excitation CID. IRMPD produces b/y ions, but also yields more internal fragment ions than CID [\[65\]](#page-35-4).

UV photodissociation typically uses excimer lasers emitting at 157 or 193 nm as the light source [\[66\]](#page-35-5). As air absorbs these wavelengths efficiently, the 157 nm light source especially must be placed in the vacuum region of the mass spectrometer, complicating the instrumental requirements. Single-photon UV absorption is sufficient to induce dissociation and in contrast to IRMPD, irradiation times on the order of μ s or ns are sufficient. While both 157 and 193 nm light target the peptide backbone, UV photodissociation produces a range of fragments in addition to b/y ions such as a, d, x, v and w ions. The presence of d, v and w fragment ions is evidence of a high-energy fragmentation method; not surprising given that the energy of a single UV photon is approximately double that of a peptide bond [\[67\]](#page-35-6). While these fragments can be useful, for instance, in differentiating between leucine and isoleucine, most commercially available peptide identification programs are not optimized for, or capable of, analyzing these ions.

While there currently exists an impressive, if not overwhelming, array of dissociation methods, none can meet all the requirements of every conceivable experiment. Until such a method exists, there remains room for improvement to those currently used, and the development of entirely novel ones.

1.2.4 Data-Independent MS2 Analysis

Most tandem MS experiments are performed in a data-dependent manner: the collection of peptide ions entering the mass spectrometer are first recorded in the $MS¹$ spectrum, and these ions (also called precursor ions) are serially selected for fragmentation and $MS²$ spectra acquisition [\[68\]](#page-35-7). It is well-established however, that this method does not provide complete selection of all peptides in complex samples. An alternative method is to perform fragmentation at all peptide ion m/z values, regardless of which ions can be detected in an $MS¹$ spectrum. This method is embodied by two different approaches: with and without isolation of precursor ions within a defined m/z window.

In data-dependent $MS²$ spectra acquisition, all ions within a defined, relatively narrow m/z window bracketing a precursor of interest are isolated for fragmentation. It however is possible to omit precursor m/z isolation and effectively fragment simultaneously all ions present across the entire m/z range scanned when acquiring $MS¹$ spectra. When precursor isolation is omitted, a single LC-MS run could in theory detect the entire proteome. In practice, the usual list of mass spectrometer capabilities is desired: high mass accuracy is tremendously beneficial in assigning fragment ions to precursor ions $[69, 70]$ $[69, 70]$ $[69, 70]$. High scan speed and MS² spectra acquisition is beneficial in assigning fragment ions to a precursor, based on chromatographic retention time [\[71,](#page-35-10) [72\]](#page-35-11). Dynamic range of the mass spectrometer is also important in achieving deep sequencing, due to the occurrence of coeluting peaks [\[73\]](#page-35-12). One advantage of this approach is that it can be performed on relatively simple instrumentation: only a collision cell (or other means of achieving dissociation [\[63\]](#page-35-2)) and a single-stage mass analyzer are required. One major challenge in this type of experiment is the data analysis. Knowledge of which precursor ion masses give rise to the observed fragments is necessary for assigning peptide sequence to $MS²$ spectra using sequence database searching software. When simultaneously fragmenting multiple peptide ions across a large m/z range, knowledge of which precursor ion belongs to which fragments is lost. While the precursor mass belonging to sets of fragments can be inferred by relating its retention time in an $MS¹$ scan to that of the fragments ions in an $MS²$ scan, [\[71\]](#page-35-10) this is not a trivial process [\[72\]](#page-35-11). As such, data-independent $MS²$ in the absence of precursor isolation still struggles with very complex samples, but this approach seems to be re-evaluated each time a breakthrough in hardware performance is made.

Recently, another data-independent acquisition approach was investigated by rapid isolation and fragmentation of peptide ions within narrow (2.5 m/z) precursor isolation windows, spanning the entire m/z range covered by peptide ions $(\sim 400-1,400)$. These narrow m/z "bins" mitigated the need for $MS¹$ scans, while still providing a tight mass range of potential precursor m/z that could be connected to each $MS²$ spectra for sequence assignment. For thorough analysis of a typical, complex protein digest, this approach required over 4 days of mass spectrometry instrument time, but required no sample prefractionation [\[74\]](#page-35-13). Wider isolation widths have been tested, but the resulting tandem mass spectra are likely to contain more than a single peptide species, resulting in complicated database searches [\[75\]](#page-35-14). The use of narrow isolation widths demonstrated the ability for a highly automated method to achieve greater proteome coverage and a wider dynamic range than a data-dependent method. As with experiments that do not use precursor isolation, such studies using narrow isolation widths benefit from instrumental improvements such as high mass accuracy and resolution [\[76\]](#page-35-15). It is somewhat surprising how few publications exist on this topic, as it seems wellsuited to those experimenters not well versed in multidimensional peptide fractionations who might be attracted to a highly automated method. At this time it is difficult to predict whether the data-independent approach will flourish or flounder, in spite of its demonstrated potential.

1.2.5 Gas-Phase Fractionation and Ion Mobility Separations

Since the complexity of a typical proteomics sample can easily exceed the capacity of a LC-MS system to resolve and detect all peptides present, most fractionation schemes [\[9–](#page-33-1)[11\]](#page-33-2) occur upstream of the mass spectrometer, and are designed to simplify the mixtures introduced into the mass spectrometer to achieve better sensitivity. However, peptide fractionations usually require considerable manual labor and sample handling.

In contrast to upstream fractionation, a fractionation method has been devised wherein repeated injections of the same unfractionated sample are introduced to the LC-MS, but for each injection a different "fraction" of the standard m/z range is analyzed (e.g. 400–575, 560–740, 730–910 and 900–1,795). This allows the instrument to focus on a smaller m/z range to achieve the most comprehensive detection and fragmentation of peptide ions in this range as possible [\[77–](#page-35-16)[79\]](#page-35-17). Since the instrument analyzes or ignores certain portions of the ionized m/z range, this method has been termed "gas-phase fractionation". For a yeast cell lysate, the analysis of three gas-phase fractions was compared to triplicate analyses of the entire mass range, and found to increase the number of identifications by 30% [\[80\]](#page-35-18). A further refinement of this method used in silico calculations to determine the optimal m/z bins which would yield equal numbers of theoretical tryptic fragments across the number of bins selected [\[81\]](#page-35-19). The authors studied three different organisms of differing complexity, and found that regardless of the biological source, roughly half the tryptic peptides reside below m/z 685 with decreasing ion density as m/z increased. Thus gas-phase fractionation certainly has the power to increase proteomic coverage, but at the cost of performing multiple LC-MS runs. Unlike upstream peptide fractionation methods, gas-phase fractionation does this in an entirely automated fashion, reducing labor and sample handling. However, this method might not be suitable to the analysis of very small samples with low protein amounts where multiple LC-MS analyses are not possible.

Ion mobility spectrometry (IMS) is a gasphase separation method for electrophoretically separating ions in the presence of a buffer gas. Ions are separated by their mass, charge and mobility; the latter being inversely related to their collisional cross section [\[82\]](#page-36-0). IMS devices are frequently coupled to a mass spectrometer (using ion funnels), creating a hyphenated method, IMS-MS. For the purposes of this section it will be assumed that all IMS separations are coupled a mass spectrometer. The time frame of a typical IMS separation is ideally suited to its incorporation in a multidimensional fractionation scheme in proteomics: the peak widths for LC, IMS and TOF-MS are on the order of seconds, ms and μ s, respectively. This allows each subsequent method to acquire tens of measurements of the preceding separation—the minimum required for adequate profiling of a peak [\[83\]](#page-36-1).

Three versions of IMS are used: linear drift tubes, traveling wave ion guides, and field-asymmetry IMS (FAIMS) [\[69\]](#page-35-8). Linear drift tubes and traveling wave ion guides both resemble a stacked ring ion guide (see Sect. [1.3\)](#page-19-0), though differ in the way electric fields are applied in order to propel ions through the device. These differences affect the separation mechanism. The resolution of linear drift tubes and traveling wave ion guides [\[84\]](#page-36-2) is typically the greatest at 100–150, however similar values have recently been reported with FAIMS [\[85,](#page-36-3) [86\]](#page-36-4). Also, FAIMS typically separates isomers and isobars better than linear drift tubes and hence has been the most widely implemented in proteomics experiments [\[85–](#page-36-3)[87\]](#page-36-5). To date, the most successful configuration for FAIMS is the use of parallel plates separated by \sim 2 mm [\[88\]](#page-36-6). Under high electric fields, the absolute mobility of an ion deviates from its value at low fields. This difference is exploited in FAIMS by applying an asymmetric radio frequency potential between the two plates. As this potential ejects all ions radially from the device, a DC compensation voltage is required to transmit any ions. This compensation voltage is the discriminating variable in a FAIMS separation [\[87\]](#page-36-5). Both Thermo Scientific and AB Sciex have commercially-available FAIMS devices which can be added to their mass spectrometers,

boasting claims of improved selectivity and signal-to-noise ratios. Shvartsburg, Smith and co-workers have made great improvements in the instrumental design of FAIMS devices, improving resolving power [\[87,](#page-36-5) [88\]](#page-36-6) and resolving phosphopeptide isomers which differ only in the site of phosphorylation [\[89\]](#page-36-7). Waters Corporation has investigated and commercialized a traveling wave ion guide with its mass spectrometers. As the name implies, a DC voltage is passed along the successive ion guide rings, propelling the ions through the device while an rf-field is generated to maintain ions' radial position. By selecting the amplitude and velocity of the DC wave, ions can be separated by mobility or simply transmitted through the device [\[90,](#page-36-8) [91\]](#page-36-9).

Ion mobility separations have the ability to add a second dimension of online fractionation to an LC-MS analysis which should greatly simplify the mixture of ions arriving at the mass spectrometer with no increase in analysis time. The resolving power is sufficient to separate different components in a mixture, however a single peptide sequence may have multiple conformations each with different IMS mobility, which de-focuses the ion packed generated by LC-MS. Also, it is not clear whether current computational methods can analyze IMS separations quickly enough to make on-the-fly decisions, as is currently performed in data-dependent LC-MS experiments. Nonetheless, it seems probable that these hurdles can be overcome and that IMS separations will greatly increase the power of proteomics experiments.

1.2.6 Targeted MS

As the collection of known, MS-observable, proteolytically-derived peptides becomes saturated, some researchers are turning away from data-dependent MS analyses. For a known sample type (e.g. identity of the organism, biological state, sample preparation parameters), the observable peptides emanating from its proteome can be predicted and have likely already been observed in other experiments. Thus, generating a comprehensive list of such so-called "proteotypic" peptides should provide a basis for performing targeted MS experiments in a hypothesis-driven manner [\[92\]](#page-36-10). Such methods can then be used, for example, to validate potential biomarkers generated from an initial screening experiment [\[93\]](#page-36-11) or to follow the proteins in a metabolic pathway following some perturbation [\[94\]](#page-36-12). This approach has been greatly advanced by the groups of Aebersold and Carr, who have developed software to predict the most detectable peptides in a mixture, [\[95,](#page-36-13) [96\]](#page-36-14) catalogued all experimentally observed peptides [\[97\]](#page-36-15), demonstrated single copy per cell sensitivity, [\[94\]](#page-36-12) and are in the process of synthesizing a complete proteotypic peptide library of human serum [\[98\]](#page-36-16).

A powerful MS method for quantifying several peptides simultaneously is termed selected reaction monitoring (SRM), or sometimes multiple reaction monitoring (MRM). This type of experiment is performed with a triple-quadrupole instrument, and is notoriously selective and sensitive. As ions are electrosprayed into the MS, the first quadrupole transmits a peptide ion at a userspecified m/z value. This ion is then fragmented in the second quadrupole which is not massselective, but merely a fragmentation cell. The third quadrupole is then set to transmit the m/z of an expected fragment ion from the precursor peptide ion. This process is repeated, usually for at least three fragments per peptide ion and two proteotypic peptides per protein of interest. Modern mass spectrometers can achieve reliable quantification by dwelling on such a peptide/fragment m/z pair (the "reaction" in SRM, also called a transition) for 10 ms or less. The duty cycle, and thus the sensitivity is inversely related to the number of transitions being monitored, however when the retention time of a peptide is known, the instrument can be scheduled to monitor distinct peptide ions and their transitions at different times. In this way, Kiyonami et al. have quantified 6,000 transitions, relating to 757 peptides in a single LC-MS analysis [\[99\]](#page-36-17). They note that this can be extended to 10,000 transitions, targeting 1,000 peptides. Addona et al. [\[100\]](#page-36-18) have shown that, when using isotopicallylabeled standards, this method is very reproducible within and across eight laboratories using

two instrument platforms. Many groups believe that SRM-based targeted proteomics will be the basis for future biomarker validation [\[101–](#page-36-19)[104\]](#page-37-0).

An important aspect of such large-scale hypothesis-driven efforts is the software. The identification of proteotypic peptides and their SRM transitions can be very time-consuming if performed manually. A variety of software products exist from the instrument manufacturers and from academic groups to assist in the design of SRM experiments [\[105](#page-37-1)[–107\]](#page-37-2). The most powerful and popular tool has come from the MacCoss laboratory. Their open-source platform, Skyline, can guide SRM experiments by optimizing collision energy and fragment ion selection, performing quantification, predicting peptide retention time and a host of other functions, for data acquired from the major instrument manufacturers [\[108](#page-37-3)[–110\]](#page-37-4). Continued refinements to such software packages will greatly automate and thus expedite the process of developing and optimizing SRM assays capable of quantifying hundreds to thousands of peptides in a single MS analysis. These advancements are transforming MS-based proteomics from just a large-scale discovery technology to a highthroughput assay for monitoring proteins of interest in hypothesis-driven studies.

1.3 New Peptide Identification Methods

1.3.1 Principles of MS2 Fragmentation

Tandem mass spectrometry-based proteomics experiments rely on the same principle as Edman degradation, a long standing chemical technique for peptide sequencing [\[111\]](#page-37-5). In Edman degradation stepwise degradation from the peptide's n-terminus followed by chromatographic analysis of the released derivatives determines the amino acid sequence. The fragmentation that occurs during the $MS²$ stage mimics Edman degradation because $MS²$ dissociation randomly breaks along the backbones between amino acid residues. This results in two, rarely more, fragment ions,

one each containing the n-terminus and the cterminus. The m/z values of fragment ions are recorded in the $MS²$ spectra for every selected precursor peptide ion. However, individual fragmentation peaks are not valuable; as in Edman degradation, it is their m/z differences that are informative. As shown in Fig. [1.3,](#page-20-0) the m/z differences between these peaks determine both the amino acid residue identities and their positions, thus identifying a peptide.

These two fragment ions have predictable structures because as shown in Fig. [1.4,](#page-20-1) fragmentation can only occur in three places along an ion's backbone. Therefore, the fragment ion will resemble one of six ion structures. The standard nomenclature for these fragment ions identifies both the point of fractionation as well as which terminus retains the charge. Ions a, b and c are n-terminus fragments and x, y and z are c-terminus ions.

Although the exact point of fragmentation depends on many factors, the primary factor is the type of dissociation applied. CID and HCD produce primarily b and y ions, with a few a ions sprinkled in, while ETD produces primarily c and z ions. Their resulting fragmentation patterns differ enough to impact the programs interpreting mass spectra.

1.3.2 Interpretation of MS2 Spectra

With just one experiment generating hundreds of thousands of $MS¹$ and $MS²$ spectra with high resolution, today's mass spectrometers now offer unparalleled mass accuracy and efficiency. Coupled with the increasing use of new dissociation techniques and chromatography methods, mass spectrometers now generate an overwhelming amount of spectral data with different fragmentation patterns and retention time profiles. Unfortunately, widely used software packages for interpretation of mass spectra, that is, for peptide identification, protein inference and validation, were not designed to process this vast amount of data, and they were tuned to process CID-derived data. Because these tools for interpreting mass spectra have failed to keep pace with advances

Fig. 1.3 Example peptide MS² spectrum

Fig. 1.4 Peptide fragmentation locations and the resulting ions

Program	Reference	Website
SEQUEST	[7]	www.thermoscientific.com
Mascot	[8]	www.matrixscience.com/
X!Tandem	[117]	www.thegpm.org/TANDEM/
OMSSA	[118]	www.pubchem.ncbi.nlm.nih.gov/omssa/
Andromeda (MaxQuant)	[119]	www.maxquant.org/
SpectrumMill		www.chem.agilent.com
MyriMatch	[120]	http://fenchurch.mc.vanderbilt.edu/software.php
ProteinProspector	[121]	http://prospector.ucsf.edu/prospector/mshome.htm
PHENYX	$\lceil 122 \rceil$	www.genebio.com/products/phenyx

Table 1.1 Partial list of database search tools

in instrument technology, they yield suboptimal proteome characterization.

Interpretation of mass spectra is a multistep process. The data must first be preprocessed to remove noise and identify valid peaks and features, subjects not reviewed here, but several good reviews exist in the literature [\[112](#page-37-12)[–115\]](#page-37-13). After preprocessing the sample data, a series of phases culminates in a list of peptides and/or proteins that are confidently deemed present in the sample. These phases are: peptide identification, protein inference, and validation. In the following sections we highlight their main challenges and solutions, and posit an outlook of their future.

1.3.2.1 Peptide Identification

The first phase, peptide identification assigns an amino acid sequence to a spectrum. This is called a peptide spectrum match or PSM. Peptide identification programs have evolved over time, but strategies for assigning PSMs fall into one of four categories: database search, spectral library search, de novo sequencing, and hybrids thereof.

Database Search

During the early days of proteomics experiments, peptide identification was completed via manual de novo sequencing, a tedious process carried out by researchers without the aid of a computer or a database [\[116\]](#page-37-14). However, soon proteomics experiments became high throughput and the amount of data generated by them outpaced researcher's ability to manually inspect each spectrum. This drove the invention of alternate means of identifying peptides, mainly database search programs.

Today, researchers avail themselves to the numerous software packages that implement database search programs, see Table [1.1.](#page-21-0) Researchers still commonly utilize the first widely used database search programs from the 1990s, SEQUEST $[7]$ and Mascot $[8]$. Although specific implementations of database search programs differ, they share a common underlying principle introduced by SEQUEST: they compare the observed $MS²$ spectra to that of theoretical spectra derived from in-silico enzymatic digestion of a FASTA database. They also share common challenges. One challenge is how to efficiently search the large amount of data available in FASTA databases. Searching all possible peptides from a FASTA database and all of their potential PTMs is prohibitively time-consuming. Even with the use of multiple processors, sequence assignment, including possible PTMs, to hundreds of thousands of MS2 spectra produced by modern instruments can take days or even weeks. Unfortunately, limiting the peptides only to those with expected enzyme cleavage sites (e.g., lysine and arginine for trypsin cleavage), and limiting the number of PTMs considered, does not adequately narrow the search space. To address this issue, most database search software packages can restrict the search space even further by searching against only those peptides that have a mass within a narrow tolerance window around the observed m/z of its precursor peptide ion. A completely different challenge stems from the fact that different dissociation methods produce very different fragmentation patterns. This was not a problem until recently, because prior to the introduction of ETD, the predominant workhorse of proteomics experiments was CID. But with the introduction of ETD and its increasing adoption comes the

requirement for database search programs to allow multiple fragmentation patterns for the same peptide. Because each type of experiment has its own optimal settings for precursor ion mass tolerance window setting, number of PTMs considered and dissociation methods used, the researcher sets these parameters.

An inconvenient consequence of parameter driven database search is that each different set of parameters produces different results. Therefore, researchers must exercise caution when comparing results between experiments, both within and between laboratories.

Although database search strategies are the predominant choice for peptide identification in shotgun proteomics [\[123\]](#page-37-15), they do have limitations. First, database search relies on the sequencing data for organism being studied. Thus, if an organism is not yet been sequenced, database searching can only be used to find homologous peptides in different organisms. Second, unexpected, yet important, PTMs, and sequence anomalies will be missed because variants do not exist in the database. Even though some databases take into consideration splice variants, no production quality database search engines make the effort to take advantage the annotation available in databases such as Swiss-Prot or UniProtKB. Therefore, many unexpected, but annotated, PTMs and polymorphisms are missed, which leads to incorrect or missed peptide identifications [\[124\]](#page-37-16). Third, false positive identifications occur often because database search programs assign a peptide sequence to each and every spectrum, regardless of quality. Fourth, validation assigns high confidence $(>95%)$ to only 10–30% of the spectra [\[125\]](#page-37-17). Finally, each database search engine identifies a partially overlapping but different set of peptides. For instance, SEQUEST may identify a set of 100 peptides and Mascot may identify a set of 100 peptides from the same spectra, but perhaps only 60 of them are common to both SEQUEST and Mascot.

A relatively recent development in shotgun proteomics research combines the results from several different database search engines to identify more peptides with increased confidence. The idea of combining results from multiple sources is not new. Resing et al. described consensus scoring for multiple peptide identifications from different search engines in 2004 [\[126\]](#page-37-18) and Alves et al. proposed combining and calibrating confidence scores from multiple search engines into a meta-analytic value for each confidence score [\[127\]](#page-38-0). However, software automating integration of separate database search results developed more recently. For instance, a popular tool allowing researchers to combine results from multiple search engines is Scaffold, developed by Searle et al. [\[125,](#page-37-17) [128\]](#page-38-1). By probabilistically combining results from multiple search engines, including SEQUEST, X!Tandem, OMSSA, InsPecT and Mascot, Scaffold increases sensitivity a minimum of 20% with each search engine added [\[129\]](#page-38-2). As evidenced by the latest publication of a tool combining results from multiple search engines [\[130\]](#page-38-3), the idea is garnering more attention and we can we can expect this trend of new tools for incorporating multiple search engines to continue into the foreseeable future.

Spectral Library Search

Spectral library search strategies are similar to database search strategies, except the observed $MS²$ spectra are compared to collections of experimentally generated spectra rather than hypothetical spectra [\[131\]](#page-38-4). These strategies outperform database search strategies in terms of error rates, speed and sensitivity. Using spectral libraries reduces the time spent repeatedly identify the same identifiable peptides by database searching, [\[132\]](#page-38-5) but can only identify a peptide if it has been previously analyzed by tandem mass spectrometry and its sequence positively identified. A partial list of spectral library search tools is located in Table [1.2.](#page-23-0)

Libraries of experimental spectra are available from many sources and provide a rich source of spectral data. Spectral libraries for many organisms are stored at the National Institute of Standards and Technology (NIST). Although, the NIST libraries do not target specific PTMs, specialized libraries for specific modifications are available elsewhere, e.g., PhosphoPep [\[138\]](#page-38-6) for phosphorylation sites in model organisms and

Table 1.2 Partial list of spectral library search to

the open source Ub/Ubl spectral library [\[139\]](#page-38-12) for ubiquitin and ubiqutin-like modifications. In addition, a wealth of spectral data can be downloaded from one of several proteomic data sharing repositories, e.g., PeptideAtlas [\[140\]](#page-38-13), Pride [\[141\]](#page-38-14), Peptidome [\[142\]](#page-38-15), and Tranche [\(https://](https://trancheproject.org/) [trancheproject.org/\)](https://trancheproject.org/).

As the amount of publicly available spectral data grows, the hope is that one day spectra for all peptides detectable by MS (at least for well-studied organisms) will be contained and annotated in publicly available spectral reference libraries. However, until these reference libraries are sufficiently complete, spectral library search strategies will continue to be underutilized [\[143\]](#page-38-16). In the meantime, data in spectral reference libraries are a rich source of data that could be used for purposes other than identifying peptides. For instance, spectral data could be mined to provide important insight into fragmentation patterns, which could in turn lead to improved database search or de novo sequencing [\[144\]](#page-38-17) as well as the development of SRM methods to target specific peptides [\[97\]](#page-36-15).

De Novo Sequencing

The limited ability of database search and spectral search strategies to identify the unexpected, for example, PTMs, polymorphisms and sequence anomalies drives the need for peptide identification programs that can efficiently handle the enormous amounts of data without sacrificing confidence in their results. While conceptually unchanged, researchers are again turning to de novo sequencing as an alternative to database search to accurately and confidently identify peptides. De novo sequencing programs can identify PTMs, polymorphisms and sequence anomalies because they compute directly on

spectra to determine the peptide amino acid sequence, process which does not require searching against FASTA databases [\[145\]](#page-38-18).

De novo sequencing for proteomics has a long and rich history. Spectra were originally sequenced manually, a process which does not scale well. Therefore, as the amount of data from shotgun proteomics grew, researchers turned to computer science for automated de novo sequencing. In the 1980s, several computational algorithms were introduced that helped [\[146–](#page-38-19)[150\]](#page-38-20) but proved to be terribly slow because they tended to brute force consider all possible amino acid sequences. In 1990, computational algorithms became more efficient when Bartels represented a spectrum as a graph [\[151\]](#page-38-21). Although this type of graph is called a spectrum graph by the proteomics community, it should not be confused with spectral graph theory where a graph's spectrum is defined as the set of eigenvalues of a graph's adjacency matrix, nor with a general graph of nodes and edges, where a node does not have a position and an edge can connect any two nodes. In this novel proteomics spectrum graph representation, the vertices represent the spectrum m/z values and two vertices are linked by edges if their mass difference is equivalent to the mass of an amino acid. Figure [1.5](#page-24-0) shows a theoretical spectrum graph of the spectrum in Fig. [1.2.](#page-14-0) Formally, Bartels defined the problem as:

Given amino acid masses $M = \{m_1, \ldots, m_{20}\},\$ spectrum $S = \{s_1, \ldots, s_c\}$, transform it into a spectrum graph $G(V, E)$ such that $V = \{v_1, \ldots, v_c\}$ and $G = \{g_1, \ldots, g_t\}$ such that *v* represents a single integer m/z and two vertices v_n , v_a , $q \neq n$ and are connected by directed edge *e* if $|v_q - v_n| \sim m_t$

Although Bartel's approach is now the de facto basis for most de novo peptide sequencing programs, several unresolved issues limited

Fig. 1.5 MS² spectrum graph

Table 1.3 Partial list of de novo sequencing tools

spectrum graph's, and, therefore, de novo sequencing's, adoption. First, spectrum graph models were instrument specific which required training a new model for each new mass spectrometer. It took until 1997 to implement this strategy when Taylor and Johnson introduced Lutefisk [\[152\]](#page-38-22). Second, the predominantly used CID has a propensity for incomplete fragmentation and results in multiple disconnected graphs, graph gaps, limiting the effectiveness of spectrum graph algorithms. Finally, lack of standardized scoring models for spectrum graphs hindered researchers' ability to compare experimental results. SHERENGA, introduced by Dancik et al. in a landmark publication 1999 [\[153\]](#page-39-5), addressed each of these limitations using a spectral graphbased algorithm. Several research groups have since made additional enhancements to these algorithms, most notably dynamic programming [\[154\]](#page-39-6) and probabilistic models using networks learned over annotated spectra (e.g., PepNovo [\[155\]](#page-39-0) and NovoHMM [\[156\]](#page-39-4)).

Although several de novo sequencing software packages, as shown in Table [1.3,](#page-24-1) are now available that implement spectrum graph algorithms, de novo sequencing's adoption as a viable option for shotgun proteomics experiments has been slow. A primary contributor to its slow adoption was that affordable mass spectrometry instrumentation lacked the ability to produce high resolution spectra with minimal noise,

completely fragment selected ions and retain potentially important PTMs. Until recently, these issues could only be overcome by using complementary spectra, MS^2 and MS^3 [\[160\]](#page-39-7), ECD and CID [\[161\]](#page-39-8), or differentially modified pairs [\[162\]](#page-39-9) on a FTICR mass spectrometer. The FTICR spectrometer can generate spectra with high resolution $(>100,000)$, thus differentiating valid ions from noise much easier. Furthermore, ECD is complementary to CID, and a more complete fragmentation pattern emerges their spectra are combined into a single artificial spectrum. Finally, ECD inherently uses lower energy than CID allowing for retention and subsequent identification of more PTMs. FTICR mass spectrometers' main drawbacks are that they are extremely expensive and inefficient compared to ion trap mass spectrometers, the main workhorse instruments in MS-based proteomics. Even though FTICR instruments offer 100 times better resolution than an ion trap, each spectrum takes 10 times longer to acquire than on an ion trap instrument.

Recently, via the introduction of the Orbitrap instrument series, the more affordable ion trap mass spectrometers became capable of high resolution $(>100,000)$ and offered ETD, which gives similar spectra to ECD. Taking advantage of these improvements, Datta and Bern expanded on previous pioneering work fusing ECD and CID spectra [\[163\]](#page-39-10). In 2009, they introduced Spectrum

Table 1.4 Partial list of hybrid search tools

Fusion which uses a global graph partitioning approach to both separate b and y ions and to fuse CID and ETD. The heart of Spectrum Fusion is a supervised machine learning algorithm (tree augmented naïve Bayes network) trained on confidently identified spectra from a prior database search. The result is a synthetic spectrum with only b ions which can then be sequenced by a slightly modified spectrum graph de novo algorithm.

Hybrid Strategies: De Novo & Database/Spectral Library Search

Despite advances in both mass spectrometry instrumentation and software programs, incomplete fragmentation remains an open issue for de novo sequencing strategies. However, when dissociating thousands of ions, they often break along the backbone in enough places so that de novo programs can sequence and identify short peptide sequences which are typically 3–5 short amino acids in length. Again, these ideas are not new. In fact, Mann and Wilm introduced the notion of using short peptides sequences, which they called sequence tags, in 1994 $[164]$, the same year as SEQUEST. However, strategies based on sequence tags did not appear until Tabb et al. published GutenTag program in 2003 [\[165\]](#page-39-12). The innovation of GutenTag is that it constructs a model spectrum of the peaks expected from a given sequence tag, compares the observed spectrum and the model spectrum, and generates a correlation score. Tabb et al. went on to provide an enhanced database search tool MyriMatch [\[120\]](#page-37-9), which is tuned to use these short peptide sequences to infer candidate proteins. Hybrid peptide identification strategies using sequence tags are gaining popularity and several hybrid tools are now available (Table [1.4\)](#page-25-0).

1.3.2.2 Protein Inference

While peptide identification is a necessary phase in proteome profiling, it is not the last one. Proteins must be inferred from the list of peptides identified. However, the task of assembling peptide identifications to infer proteins present in a sample, known as the protein inference problem, is far from trivial [\[169\]](#page-39-16). First, the connection between peptides and proteins is lost during enzymatic digestion. This is so because of multiple proteins sharing peptides. The sources of these shared peptides, also known as degenerate peptides, include both natural and artificial phenomena. Degenerate peptides arise often in nature, especially in eukaryotic organisms due to the presence of homologous sequences or splice variants. To make matters worse, errors and redundancies in the database being searched add even more, albeit artificial, degenerate peptides [\[170\]](#page-39-17). Regardless of their source, degenerate peptides limit the ability to differentiate between proteins resulting in an unsatisfactory level of ambiguity. This drives the need for validation of results.

1.3.2.3 Validation

MS-based proteomics results are inherently prone to inaccuracies. Without careful filtering, its results are riddled with false positive identifications at both the peptide identification and protein inference levels. To reduce the number of false positives, several scoring models have been proposed and developed to impart a confidence level on identified peptides and inferred proteins. To date, because no single scoring model dominates, different software packages employ their own scoring models. SEQUEST, X!Tandem, Mascot and OMSSA employ variations of a cross correlation (XCorr) score which measures the similarity at different offsets between pre-processed

observed spectra and hypothetical spectra generated by in-silico digestion. Mascot differs slightly from other XCorr based scoring models in that it assesses the probability of a peptide spectrum match being a random event. Other software packages use scoring models based on empirically observed rules, SpectrumMill, or incorporate statistically derived fragmentation frequencies, PHENYX [\[122\]](#page-37-11).

Each of the thousands of single peptide identifications or protein inferences can be assigned an individual score. However, single case scores do not take into consideration the fact that multiple hypotheses are being tested. Therefore, in addition to using a single statistic, *p*-value, its close relative for multiple testing, *E*-value, is often used. *p*-value, assuming the null hypothesis is true, represents the probability of obtaining a test statistic at least as extreme as the one observed. *E-*value, assuming the null hypothesis is null, is the expected number of times in multiple testing to obtain a test statistic as extreme as the one that was actually observed. Put more simply, *E*-values are derived by taking the number of tests multiplied by the *p*-value. To account for multiple hypotheses testing, many controlling measures have been proposed. Bonferroni correction is used to control Family Wise Error Rate, FWER, which is the probability of finding at least one false positive. However, the Bonferroni correction has been shown to be too conservative given the thousands of hypothesis tests in a single experiment [\[171\]](#page-39-18).

Less conservative than the Bonferroni correction is the False Discovery Rate (FDR) controlling procedure, introduced by Benjamini and Hochberg [\[172\]](#page-39-19). They define FDR as the "expected fraction of mistakes among the rejected hypothesis and suggested to control FDR in multiple testing". A well-established mechanism to implement FDR for database search results is to search against a decoy FASTA database of invalid peptide sequences, most often concatenated to the end of the target FASTA database with valid peptide sequences [\[173\]](#page-39-20). The premise for this approach is that a spectrum will match valid and random (invalid) sequences with equal probability and target and decoy sequences do not overlap. Although decoy databases are intended

to be random, in practice they are most often constructed by reversing, shuffling or randomizing the target FASTA database [\[174\]](#page-39-21).

With the introduction of FDR as a controlling procedure, publications ensued discussing the proper use of statistical values. Kall et al., argue that using a *p*-value threshold for FDR is inadequate because the statistical test is performed so many times [\[175\]](#page-39-22). It also has the unfortunate property that two different *p*-value scores can result in the same FDR. To address this problem, Storey and Tibshirani [\[176\]](#page-39-23) propose a *q*-score, which when applied to shotgun proteomics, is the defined as the minimum FDR threshold at which a given PSM will be accepted.

Historically, to implement the FDR controlling procedure with a decoy database, researchers accepted all identifications above a certain threshold [\[177\]](#page-39-24). This threshold was usually a combination of scores provide by the database search engine. However, problems exist in this strategy, including the need to have separate thresholds for different types of instruments. To overcome problems with the threshold scheme, early validation tools, e.g., QSCORE [\[178\]](#page-39-25), were developed that employed simple probability, but focused on results from a single search engine.

Because threshold statistical models tend to be instrument specific, researchers turned to machine learning, notably mixture modeling, to build a generic model that could process results from multiple instrument types. Mixture modeling uses models of two normal distributions, one for correct identifications and one for incorrect distributions, to determine a score threshold. Perhaps the most widely used example of mixture modeling for peptide identification validation is Keller et al.'s PeptideProphet [\[173\]](#page-39-20). It uses a discriminant score which is derived by converting several scores from the database search programs into a single score. To apply a two-component mixture model, PeptideProphet creates a histogram of discriminant scores and uses curve fitting to draw the correct and incorrect distributions. Using Bayesian statistics, it computes the probability of an identification being correct given its discriminant score. Similar to PeptideProphet is ProteinProphet, which is

used to validate protein inferences. It uses results from PeptideProphet as input to accurately compute the probability that an inferred protein is present in the sample [\[179\]](#page-39-26) and derives a mixture model of correct and incorrect protein inferences, using an expectation-maximization routine (EM). Since PeptideProphet/ProteinProphet is open source and freely available and integrated into the Trans-Proteomic Pipeline, TPP, it is an attractive option for interpreting mass spectra as evidenced by its use in a number of prominent laboratories.

Although scoring based on mixture modeling can accurately model incorrect and correct score distributions, they are inherently complex and not easily extensible [\[180\]](#page-40-0). Therefore, other score models have been proposed. For instance, IDPicker is based on a simple non-parametric Monte Carlo simulation method. IDPicker employs FDR identification aggregation instead of individual identification probabilities, and it is easily extended to accept scoring metrics from multiple search engines, as long as the decoys are provided in the searched database [\[180\]](#page-40-0).

In a recent departure from the canonical target decoy approach, Kim et al., propose MS-GF which uses generating functions and their derivatives without a decoy database [\[181\]](#page-40-1). They argue that by using a decoy database, the proteomics community is de facto acknowledging that it has been unable to solve the following Spectrum Matching problem: "Given a spectrum S and a score threshold T for a spectrum-peptide scoring function, find the probability that a random peptide matches the spectrum S with score equal to or larger than T" [\[181\]](#page-40-1). This problem assumes certain underlying distributions on which probabilistic calculations can be applied. Ideally, the underlying distributions would be purely theoretical in nature to allow the direct calculation of probability and expectation values. However, the sheer number possible parameters makes modeling the theoretical underlying distribution impractical [\[182\]](#page-40-2). Instead, *p*-values and *E*-values are calculated using heuristic algorithms working on empirically derived distributions. In contrast to the heuristic algorithms, MS-GF demonstrates

that it is possible to compute the precise number of peptides identified in a huge database, solving the Spectrum Matching problem.

1.3.3 Outlook

Although many difficulties exist in thoroughly characterizing a proteome no consensus has been reached by the proteomics community on which the peptide identification, protein inference and validation strategies should be used. This is largely due to the fact that shotgun proteomics is relatively immature and more complex compared to other fields such as genomics. Whereas the genomics community can readily compare results from experiments conducted in different laboratories, the proteomics community has difficulty doing so because reporting of results is not standardized. For instance, some shotgun proteomics researchers will report proteins inferred from a single peptide while others will only report proteins inferred from two or more distinct peptides. If a peptide is shared between multiple proteins, some researchers randomly assign the peptide to a protein, while others apply Occam's razor or other statistical models. This is compounded by the availability of vastly different FASTA databases for a single organism. The differences mainly stem from their curation processes, or lack thereof, and their sources of deposited sequences.

Reporting standards for shotgun proteomics experiments may be lacking consensus, but serious effort has been made to rectify this problem. In 2002, the Human Proteome Organization, HUPO, launched the Proteomics Standards Initiative, PSI. Its goal was and is to "define community standards for data representation in proteomics to facilitate systematic data capture, comparison, exchange and verification." [\[183–](#page-40-3) [187\]](#page-40-4). Although HUPO sets standards for the broader proteomics community, publishing criteria was still lacking for shotgun proteomics results. To address this, about 30 key people in the proteomics community met in Paris to develop set

of standards focused on publication of shotgun proteomics results. These standards published in 2006 [\[188\]](#page-40-5) as the Paris Guidelines, and updated in 2009 are slowly being adopted by proteomics journals.

1.4 Label-Free Quantification

The initial application of the MS-based proteomics platform addressed the challenge of cataloging proteins within complex samples. However, biological researchers also need to quantify proteins because proteomes are highly dynamic systems, and their abundances change due to regulation of their synthesis and degradation. Protein activities are dynamically regulated via the addition or removal of PTMs. Therefore, to make MS-proteomics a technology truly useful to researchers who are trying to understand living systems, it must be able to quantify abundance and PTM differences between samples.

The initial technology for quantitative MSbased proteomics involved differential labeling methods with stable isotopes. Isotope labeling methods for quantitative MS-based proteomics have been reviewed in detail [\[189,](#page-40-6) [190\]](#page-40-7). These methods label proteins and/or peptides with stable isotopes (15N, 13C, 18O) through a variety of mechanisms. Stable isotope labeling in cell culture (SILAC) labels proteins via metabolic incorporation of stable-isotope containing amino acids contained in cell culture media [\[191,](#page-40-8) [192\]](#page-40-9). Other methods introduce stable isotopes via reactive chemical tags, such as the isotope affinity tag (ICAT) [\[193\]](#page-40-10) or isobaric peptide tagging (e.g. iTRAQ, TMT) [\[194,](#page-40-11) [195\]](#page-40-12) methods. Labeling with O^{18} is accomplished via enzymatic means at the c-terminus of peptides within complex mixtures [\[196\]](#page-40-13). For all of these methods, distinct protein mixtures are first differentially labeled, one with isotopically normal amino acids or chemical tags, and the other with isotopically "heavy" amino acids or chemical tags. Although most labeling methods compare protein abundance between two distinct mixtures, some are

capable of multiplexed analysis, such as iTRAQ labeling which can compare up to eight [\[197\]](#page-40-14). After labeling, the mixtures are combined and peptide digests are fractionated and analyzed by MS. Peptide sequences common to both samples, although differentially isotopically labeled, retain the same chemical properties and behave similarly during fractionation. Consequently, differentially isotopically labeled peptides are detected simultaneously and their m/z differences resolved in the MS. Peptides are selected for MS² and identified via subsequent sequence database searching. For identified peptides, relative abundance levels between samples are determined via comparison of the mass spectral peak intensities corresponding to the normal or heavy isotope labels.

Although still used prominently, stable isotope labeling has its limitations. One is cost. Stable isotope labeled amino acids or chemical tags are costly to synthesize, and purchase of these can run from hundreds to thousands of dollars, depending on the labeling method used. Another is applicability to only certain biological sample types. SILAC, arguably the most accurate stable isotope labeling method, is only applicable to experiments using cell culture models, although extremely expensive studies of whole organism labeling with stable isotopes in mice and worm have been described [\[198\]](#page-40-15). For human and other animal studies, chemical tagging methods, such as iTRAQ or TMT, must be used for stable isotope labeling. Unfortunately, the accuracy of iTRAQ and TMT for measuring relative abundances, which are based on $MS²$ fragmentation of labeled peptides, is decreased due to simultaneous fragmentation of multiple peptides in shotgun proteomics [\[199\]](#page-40-16).

Responding to these limitations, labelfree technology has emerged which obviates the need for stable isotope labeling for quantitative proteomics. Two methods underpin the label-free MS-based quantitative proteomics technology: spectral counting and intensitybased measurements. Figure [1.6](#page-29-0) details these two methods.

Fig. 1.6 Label-free quantification methods

1.4.1 Spectral Counting Quantification

Spectral counting is based on the core instrumental method used in MS-based shotgun proteomics. Here, peptides separated via LC are detected and selected for CID fragmentation using a data-dependent routine. The fragmentation spectra are recorded as $MS²$ spectra. Peptides are identified by assigning a sequence to each $MS²$ from databases of known protein sequences and a variety of software programs, as described in Sect. [1.2.](#page-11-1) Protein identities in the starting mixture are inferred from the identification of peptides that are a part of their amino acid sequence. Quantification via spectral counting is based on the observation that the number of peptides identified from $MS²$ spectra is proportional to the abundance of the protein in the starting mixture: more abundant proteins result in more identified peptides while less abundant proteins result in

fewer identified peptides. Protein quantification is achieved by simply counting the number of $MS²$ spectra assigned to peptides within a given protein, without taking into consideration the peptide MS signal intensity. Because quantification is based on peptides assigned to $MS²$ spectra, spectral counting benefits from MS instruments with higher mass accuracy and sensitivity, which increase the number of high confidence peptide identifications [\[20\]](#page-33-10).

Early on, spectral counting was done in a rather simple manner, simply summing the number of peptide identifications corresponding to each inferred protein. However, as this method increased in popularity, more sophisticated quantification approaches based on spectral counting have emerged. Several extensive reviews have recently appeared on spectral counting [\[200\]](#page-40-17). Here we discuss the most commonly used approaches to spectral counting quantification and some representative studies which have used this method.

Program	Reference	Website
	Spectral counting quantification	
APEX	[205]	http://pfgrc.jcvi.org/index.php/bioinformatics/apex.html/
Census	[210]	http://fields.scripps.edu/census/
emPAI	[202]	http://empai.iab.keio.ac.jp/
PepC	[211]	http://sashimi.svn.sourceforge.net/viewvc/sashimi/trunk/trans_proteomic_pipeline/src/ Quantitation/Pepc
SI_{N}^{a}	$\lceil 212 \rceil$	N/A
Intensity-based quantification		
IDEAL-O	[213]	http://ms.iis.sinica.edu.tw/IDEAL-Q/
MaxQuant	[214]	http://maxquant.org/
MSInspect	[215]	http://proteomics.fhcrc.org/CPL/msinspect/index.html
MZMine	[216]	http://mzmine.sourceforge.net/download.shtml
PEPPeR	[217]	http://www.broadinstitute.org/cancer/software/genepattern/desc/proteomics#pepper
SuperHirn	[218]	http://prottools.ethz.ch/muellelu/web/SuperHirn.php

Table 1.5 Summary of open-source software for label-free quantification

a Approach combines both spectral counting and intensity-based quantification

Spectral counting must take into account a protein's length because a longer protein, when enzymatically digested, will produce more peptides than a shorter protein for the MS to detect. Without correction, protein quantification by spectral counting would be biased towards longer proteins. As a consequence, an approach taking into account protein length was developed [\[201\]](#page-40-19) which provided a normalized spectral abundance factor (NSAF) for each identified protein. The abundance of any given protein within a mixture can be estimated by dividing its NSAF value against the sum of NSAF values for all identified proteins.

An alternative approach to NSAF is the protein abundance index (PAI) [\[202\]](#page-40-18), which was further improved to the exponential modified PAI, or emPAI [\[203\]](#page-41-10). This approach used the number of peptides actually identified from a protein, divided by the estimated total number of peptides expected to be identified for that same protein. The expected peptides were estimated based on the proteins sequence and the sizes of peptides derived from the protein after enzymatic digestion. The relative molar amount of any given protein within a sample can then be calculated by dividing its emPAI value against the sum of all emPAI values within the mixture. The emPAI approach was deployed in a freely available application, emPAI Calc that accepts data from a variety of sequence database searching programs [\[200\]](#page-40-17).

Another approach, Absolute Protein Expression (APEX), tries to correct for physiochemical variations between peptide sequences that may affect their identification in the MS, and bias spectral counting results. APEX uses a correction factor that attempts to use properties such as amino acid content and length of peptides [\[204\]](#page-41-11) to assess the probability of any given peptide for MS detection and subsequent identification from MS2 spectra. This correction is applied to the spectral counts corresponding to each identified protein, to provide a more accurate measurement of its abundance. APEX has been released as an open source application [\[205\]](#page-41-0).

Spectral counting has been widely applied. Its application is reviewed in detail elsewhere [\[200,](#page-40-17) [206\]](#page-41-12). Software plays a key role in the automating spectral counting quantification. Table [1.5](#page-30-0) shows a summary of the most popular open-source software available. One particularly powerful application uses spectral counting and NSAF values to quantify relative abundance of proteins within functional complexes. Estimation of relative stoichiometry of the different members of protein complexes [\[207\]](#page-41-13), as well as modeling of protein-protein interaction networks [\[201\]](#page-40-19) is possible. An interesting application using the emPAI approach identified and quantified relative abundance levels of over 100 proteins in the chicken egg white proteome [\[208\]](#page-41-14). APEX was recently used to characterize proteome abundance

differences between mutant strains of the thermophilic anaerobic bacterium *Clostridium thermocellum*, an organism with promise for biofuel production [\[209\]](#page-41-15).

1.4.2 Intensity-Based Quantification

An alternative to spectral counting is intensitybased measurements of peptide abundance. During a nanoLC-MS analysis, the mass-to-charge (m/z), retention time and signal intensity values are continuously recorded for each detected peptide. This information can be used to reconstruct a chromatographic peak for each peptide. This quantification method estimates the area under the curve (AUC) of the chromatographic peak (Fig. [1.5\)](#page-24-0). The AUC correlates linearly with peptide concentration across a range of low femtomole amounts to tens of picomoles in most contemporary MS instruments [\[219,](#page-41-16) [220\]](#page-41-17). Similar to spectral counting, peptides are identified via $MS²$ and sequence database searching, and protein identities are inferred from these peptides. For comparisons of peptide and inferred protein abundance between different samples, each sample is analyzed by nanoLC-MS separately. AUC values calculated for detected peptides in each distinct sample are compared to determine relative abundance. Intensity-based measurements are not used for quantification of different peptides within the same sample, because each peptide sequence ionizes with different efficiency, making comparison based on signal intensity inaccurate.

Although simple in concept, successful implementation of intensity-based quantification relies heavily on sophisticated software. Opensource software choices have been reviewed elsewhere [\[221\]](#page-41-18). Some of these choices are summarized in Table [1.5.](#page-30-0) This software automates critical data processing steps needed to insure accurate results based on AUC values. A recent review by Christin and colleagues [\[221\]](#page-41-18) thoroughly describes these steps. One key step is proper alignment of peaks corresponding to the same peptide across all separate nanoLC-

MS data sets. Proper alignment, based on peak m/z values and retention time, assures that the AUC values being measured in each sample correspond to the same detected peptide. Use of highly reproducible nanoLC systems with high chromatographic resolving power can help for alignment [\[222\]](#page-41-19), although ultimately effective alignment via software is critical. High accuracy measurements of peptide m/z values using newer MS instruments has greatly helped with alignment across separate nanoLC-MS datasets. One nice feature of peak alignment, aided by high mass accuracy data, is that a peptide need only be identified by MS^2 in one sample [\[221\]](#page-41-18). Peaks in other samples aligning in retention time and accurate m/z can then be confidently assigned to that peptide without the need for their identification from $MS²$ spectra.

Another key step is normalization of measured AUC values. Normalization accounts for bias and variability in measured AUC values introduced during sample processing, loading of sample to the nanoLC column, and in-run variability of MS response. A number of normalization procedures have been developed which are effective for minimizing variability and improving accuracy [\[223,](#page-41-20) [224\]](#page-41-21).

As with spectral counting, applications of intensity-based quantification are numerous. These are reviewed in detail elsewhere [\[225,](#page-42-0) [226\]](#page-42-1). These different applications have used a variety of publically available software programs for accurate quantification, some of which are summarized in Table [1.5.](#page-30-0) Here we discuss several representative applications. One interesting, radiation research-relevant example, demonstrated the effectiveness of intensity-based quantification to compare effects of ionizing radiation on colon cancer cells compared to a mock-treated control [\[227\]](#page-42-2). Disease biomarker discovery has also been a popular application of intensity-based quantification. Such studies have been done in paraffin embedded archival cancer tissues [\[228\]](#page-42-3), as well as serum fluid from schizophrenia patients [\[229\]](#page-42-4).

Overall, label-free quantification addresses many of the limitations of stable-isotope labelingbased technology. Both spectral counting and intensity-based measurements are cheap and simple, with no need for purchase of costly labeling reagents or extra sample labeling and processing steps. Spectral counting provides the additional benefit of measuring relative abundance of proteins within the same sample, whereas stable isotope labeling only measures relative abundance across separate samples. Intensity-based measurements, when using effective software for aligning peptide peaks across samples, obviates the need for timeand computation-intensive $MS²$ acquisition and subsequent peptide identification via sequence database searching. This method therefore is an attractive choice for biomarker studies, where comparison across many patient samples with high throughput is desirable.

Despite numerous strengths, label-free quantification is not without limitations. Unlike some labeling methods, notably the iTRAQ or TMT methods, multiplexed comparative analysis within a single MS experiment is not possible. Instead, each sample being compared must be analyzed in a separate MS experiment, and preferably with technical replicates to achieve statistical significance [\[230\]](#page-42-5). Consequently, large amounts of instrument time are required, which may not be feasible, especially for researchers relying on sample analysis via a fee-for-service facility. Low-abundance proteins also remain a challenge for both methods. Because spectral counting relies on multiple peptides to be identified from each inferred protein to achieve statistical significance, low abundance proteins identified by only a few peptides cannot be accurately quantified. For intensity-based measurements, peptide peaks from low-abundance proteins also suffer from low signal-to-noise ratios, challenging their accurate quantification. Improved instrument sensitivity should only help to increase the ability to identify more peptides derived from low abundance proteins, and improve the effectives of both label-free methods. Recently, a promising new method was described [\[212\]](#page-41-3) which combines spectral counting and intensitybased measurements, thereby capitalizing on the strengths of both methods and providing improved results.

1.5 Conclusions

Consistent with history, technological advances will continue to define and mature the field of MS-based proteomics, catalyzing new milestones of achievement. We anticipate these advances to primarily fall in the areas described in this review: new instrumentation and related methods, and new computational methods and software for identification and quantification of proteins from complex datasets. Continued maturation of MSbased proteomics should one day enable realization of its ultimate goal: comprehensive proteome characterization. Researchers seeking to better understand the effects of radiation on living systems will undoubtedly continue to benefit from the continued advances of this vital technology.

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2 Ionizing Radiation Effects on Cells, Organelles and Tissues on Proteome Level

Soile Tapio

Abstract

This chapter will review the proteome alterations induced by ionizing radiation in cellular systems or using animal models with whole body or localised exposure. The recent developments in qualitative and quantitative proteome analysis using formalin-fixed paraffin-embedded material from radiobiology archives will be illustrated. The development of promising protein targets to be used as radiation biomarkers in future molecular epidemiology studies is described.

Keywords

Proteome • Ionizing radiation • Endothelial cells • EA.hy926 • Lymphocytes • Nucleolar proteome • Cardiovascular system • Heart • Mitochondria • Formalin-fixed • Paraffin-embedded • Biomarker

2.1 Radiation Effects on the Endothelial Proteome

The vascular endothelium forms a continuous cellular monolayer lining the interior surface of blood vessels, and serves as the barrier between circulating blood and the subendothelial matrix [\[1\]](#page-51-0). It plays an important role in the integration and modulation of many functions of the arterial wall $[2, 3]$ $[2, 3]$ $[2, 3]$. Previous data show that increased

production of reactive oxygen species (ROS) in the endothelium is associated with vascular endothelial dysfunction during the human aging process [\[4\]](#page-52-0). Increased ROS production leads to oxidation of low density lipoproteins (LDL), accumulation of lipids into foam cells, growth of vascular wall intima layer and finally atherosclerotic plaque expansion and rupture [\[5,](#page-52-1) [6\]](#page-52-2).

Pluder et al. studied the immediate effects of low-dose (200 mGy) ionizing radiation (Co-60 gamma) using a human endothelial cell line EA.hy926 as the vascular model [\[7\]](#page-52-3). This cell line has proven to be a good endothelial model in other proteomic studies [\[8,](#page-52-4) [9\]](#page-52-5) as it retains a primary endothelial cell-like transcriptome that also responds typically to statins that are widely used to reduce the risk of cardiovascular disease [\[10\]](#page-52-6). Moreover, as low radiation doses do not trigger

S. Tapio (\boxtimes)

Helmholtz Zentrum München, German Research Center for Environmental Health, Institute of Radiation Biology, Ingolstadter Landstrasse 1, Neuherberg 85764, Germany ¨ e-mail: soile.tapio@helmholtz-muenchen.de

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Fig. 2.1 "Christmas tree" model of all quantified proteins by SILAC. This figure shows normalized protein ratios plotted against summed peptide intensities. The proteins represented by data points lying close to the y-axis $(y-axis = 1)$ did not show any expression changes.

cellular growth arrest or apoptosis in EA.hy926, it can be used to study the immediate effects on the proteome.

Low-dose radiation is expected to trigger subtle proteome alterations that may be difficult to observe. To increase the sensitivity of the chosen method (2D-DIGE), the cellular proteins were fractionated and the focus was put on the cytosolic alterations. Furthermore, two overlapping pH ranges (4–7 and 6–10) in a largesize gel format were studied. In addition, two different dose rates (20 and 190 mGy/min) and two time points (4 and 24 h) were investigated. The number of protein spots detected with both pH ranges averaged 1,300. Fifteen significantly differentially expressed proteins were found, of which ten were up-regulated and five downregulated with more than \pm 1.5-fold difference compared to unexposed cells. The largest number of deregulated proteins was seen with the higher dose rate 4 h after the exposure but the number of overlapping proteins between the different conditions was 33% (5/15). Pathways influenced by the low-dose exposures included the Ran and RhoA pathways, fatty acid metabolism, and stress response. A common feature of these pathways is their regulation by the cellular redox potential.

EA.hy926 was used as a model for radiationinduced immediate vascular damage also by Sriharshan et al. [\[11\]](#page-52-7). Radiation-induced alterations were investigated 4 and 24 h after exposure to an acute clinically relevant dose

Outliers were considered as proteins with significantly differential expression only if they had a *^p*-value < 0.01 and variability less than 50% and were identified with a minimum of 2 unique peptides

(2.5 Gy Cs-137 gamma). This dose led to a significant growth inhibition, probably due to apoptosis. Two complementary proteomic approaches, SILAC and 2D-DIGE, were used. The whole cell proteomes of the endothelial cells were analysed 4 and 24 h after irradiation. Differentially expressed proteins were identified and quantified by MALDI-TOF/TOF and LTQ Orbitrap tandem mass spectrometry.

Using the SILAC method, a total of 3,076 unique proteins were identified at both time points. Of these, 2,572 and 2,391 were quantified at 4 and 24 h, respectively. 2,274 proteins were common to both time points. A "Christmas tree" model [\[12\]](#page-52-8) representing normalised protein ratios of all identified proteins by SILAC plotted against summed peptide intensities is shown in Fig. [2.1.](#page-44-0) The deregulated proteins are distinguished as data points lying further away from the y-axis.

The 2D-DIGE analysis was performed using four replicates (three biological replicates and one technical replicate) at both time points. The selection criteria for deregulation were the same for both methods: *p*-value< 0.01 (Student's *t*-test), variability< 50% between biological replicates, identification based on at least two unique peptides and fold difference greater than 1.30 or less than -1.30 .

Four hours after irradiation, a total of 58 proteins were differentially regulated (SILAC: 31; 2D-DIGE: 27); 12 of these were up-regulated and 47 down-regulated. The fold changes ranged

Fig. 2.2 Associated functions of proteins found to be deregulated at 4 h and 24 h after irradiation. Differentially regulated proteins were analysed for "functional

categories" using the UniProt knowledge database and the PANTHER classification system

from 1.82 to -3.24 . The stress response and DNA repair proteins, such as HSP90-beta, HSP75, Ku70 and Ku80, showed an immediate and transient but temporary down-regulation. Similarly, all proteins involved in metabolic processes showed immediate down-regulation.

At 24 h, a total of 136 proteins were found to be significantly deregulated (SILAC: 122; 2D-DIGE: 18). 53 were up-regulated and 83 down-regulated: several of these proteins showed more than twofold deregulation.

The functional correlation analysis of the differentially regulated proteins was done by database search using UniProt, Swiss-Prot and PANTHER. The analysis revealed several radiation-induced biological processes (Fig. [2.2\)](#page-45-0). A majority (63%) of the biological processes were affected at both time points. However, DNA repair and replication, cell cycle and proliferation, stress response, apoptosis and general metabolic activity were more pronounced at 4 h. Rho-dependent rearrangement of actin

cytoskeleton, immune response and alteration of the Golgi proteome were functions altered only at 4 h. In contrast, alterations in cellular signaling and transcriptional activity were more pronounced at 24 h. Glucose metabolism, inflammatory response, mitochondrial degradation and electron transport, protein translocation, posttranslational modification and translational activation were processes found altered only at 24 h.

Taken together, the deregulated proteins were categorised in four key pathways: (1) glycolysis/ gluconeogenesis and synthesis/degradation of ketone bodies, (2) oxidative phosphorylation, (3) Rho-mediated cell motility and (4) nonhomologous end joining. Most importantly, a pronounced shift in the cellular energy metabolism including activation of the glycolytic pathway and alteration of the mitochondrial OXPHOS balance were observed.

Although there were numerous overlapping deregulated proteins found in this study, it shows the complementarity of the two proteomic methods, SILAC and 2D-DIGE, the former being more sensitive but the latter enabling the detection of protein isoforms, fragments and modified proteins.

2.2 Radiation Effects in Human Peripheral Lymphocytes

Turtoi et al. exposed human peripheral lymphocytes ex vivo by irradiating whole blood with gamma doses of 1, 2 and 4 Gy [\[13\]](#page-52-9). Proteomics analysis was performed using samples from one individual by 2D-PAGE 2 h after the exposure. The gels were stained with either Sypro Ruby or Pro-Q Diamond to estimate the total number of protein alterations or the number of altered phosphorylated proteins, respectively. The results were validated using immunoblotting or RT-qPCR in two additional individuals.

This study was able to find only around 20 altered proteins of which 11 could be identified. Out of five proteins showing significant downregulation four were structural proteins (betaactin, talin-1, talin-2, zyxin-2). Also peroxin-1 involved in protein transport and degradation was

down-regulated. Four of the up-regulated proteins were associated with cell cycle control and immune system. Six proteins showed an altered phosphorylation status (major histocompatibility complex binding protein-2, phosphoglycerate kinase-1, annexin-A6, zyxin-2, interleukin-17E, beta-actin). The immunoblotting revealed large interindividual variation in the radiation responsiveness. Taken together, the study is limited by the chosen proteomics approach (2D-PAGE) that is reflected by the few alterations observed. Therefore, no extensive conclusions can be drawn from this study.

2.3 Radiation Response in the Nucleolar Proteome of Human Skin Fibroblastoid Cell Line

Moore et al. studied the effects of ionizing radiation (10 Gy of Cs-137 gamma) on the nucleoli of human WS1 skin fibroblast cell line [\[14\]](#page-52-10). The nucleolus is a nuclear organelle that co-ordinates rRNA transcription and ribosome subunit biogenesis but is also involved in cell cycle control, DNA damage repair and DNA processing. The nucleoli of SILAC-labelled cells were isolated 1, 3, 6, or 16 h after irradiation and compared to those from sham-irradiated control cells. Around 100 nucleolar proteins were found to be deregulated at each time point. However, the ionizing radiation (IR) treatment provoked only minor changes in the steady state content of the nucleolar proteome in comparison with UVC radiation. IR increased the nucleolar accumulation of the paraspeckle proteins at early time points after the irradiation. Non-homologous end-joining (NHEJ) proteins Ku70 and Ku80 as well as the catalytic subunit of DNA-PK (PRKDC) were detectable throughout the time course studied. Ku70/80 accumulated selectively 3 h after the exposure, whereas ILF2 and ILF3 which are dsRNA binding proteins and interact with Ku70/80 and PRKDC, decreased during the first 3 h. The level of PRKDC was fluctuating during this period. Taken together, IR did not substantially alter

the nucleolar morphology and provoked highly selective, rapid proteomic responses that were fluctuating over time.

2.4 Proteome Alterations in the Irradiated Heart

2.4.1 Heart Tissue Alterations

Adverse effects of ionizing radiation on the cardiovascular system have the potential for a large impact on public health. High doses of radiation applied to the heart during radiotherapy used in breast cancer [\[15–](#page-52-11)[18\]](#page-52-12), Hodgkin's disease [\[19\]](#page-52-13) or childhood cancers [\[20\]](#page-52-14) increase cardiovascular incidence and mortality. Epidemiological studies indicate that much lower irradiation doses $(\leq 1 \text{ Gy})$ typical of occupational [\[21](#page-52-15)[–26\]](#page-52-16), medical [\[20,](#page-52-14) [27\]](#page-53-0) or environmental exposures [\[28,](#page-53-1) [29\]](#page-53-2) also increase the risk of cardiovascular disease (CVD) several decades after the exposure. However, this remains controversial as some studies find no association between low-dose ionizing radiation and an increased risk for CVD [\[30](#page-53-3)[–36\]](#page-53-4).

Azimzadeh et al. studied the initial cardiac injury, measured as immediate changes after 4 and 24 h in the whole heart proteome of a C57BL/6 mouse exposed to total body

irradiation, using a dose relevant to both accidental and intentional exposure (3 Gy gamma-ray) [\[37\]](#page-53-5). By using two complementary quantitative proteomic approaches, isotope coded protein labelling (ICPL) and 2D-DIGE, combined with bioinformatics analysis, several biological pathways were reported to be involved in the initial radiation-induced cardiac damage.

Pathway analyses indicated that total body irradiation immediately induced biological responses such as inflammation, antioxidative defense and reorganization of structural proteins (Fig. [2.3\)](#page-47-0).

Altered levels of several members of the acute phase protein family (peroxiredoxin, hemopexin, haptoglobin, ferritin, transferrin, murinoglobulin1 and others) were found with both techniques. An immediate acute-phase response may act against the oxidative tissue damage induced by ionizing radiation [\[38\]](#page-53-6). In the heart tissue, active inflammation and alteration of acute phase response have been associated with the development of cardiac disease [\[39\]](#page-53-7). Haptoglobin and hemopexin are acute-phase proteins induced mainly by several cytokines following inflammatory processes [\[40\]](#page-53-8). The regulation of ferritin and transferrin, being major iron binding proteins, has been reported to be influenced by various inflammatory

Fig. 2.3 Graphical representation of the interaction networks of differentially regulated proteins at 5 h and 24 h after total body irradiation. Association networks of the differentially expressed proteins were analyzed by the

STRING 8.2 software. The main networks consisted of proteins involved in the mitochondrial respiratory activity at 24 h (**a**), acute phase response at 24 h (**b**) and cellular structural organization at 5 h (**c**)

Fig. 2.4 Measurement of total protein carbonyl content and malondialdehyde (MDA) content of mouse heart lysate at 5 h/24 h after total body irradiation (TBI). The extracted proteins from sham-irradiated and irradiated hearts at 5 h and 24 h after radiation exposure were treated with 2,4-dinitrophenylhydrazine to derivatize the oxidized amino acids. SDS-PAGE- separated proteins were probed with anti-dinitrpphenol polyclonal antibody (**a**, *lanes e–h*). 10μ g of each sample was loaded per lane. Coomassie

stained gel (**a**, *lanes a–d*) was used as a loading control. Higher levels of carbonylation were observed in irradiated samples compared to sham-irradiated samples (**b**). MDA concentration was measured in irradiated and shamirradiated heart lysates using MDA assay. A significant increase of lipid peroxidation was observed after TBI (**c**) (*t* test; $^*p \le 0.05$, $^{**}p \le 0.005$) Three biological replicates were used in all experiments

cytokines [\[41\]](#page-53-9). Presumably, these proteins act in a protective manner by binding iron and thus reducing the toxicity of this metal that is a key player in the generation of reactive oxygen species (ROS) [\[42\]](#page-53-10). Several proteins important in the protection against oxidative damage such as glutathione transferase, complement C3, apolipoprotein E and alpha-crystallin B were also found to be up-regulated in this study.

ROS is known to cause a number of posttranslational modifications of proteins, including carbonylation. Carbonylation may occur either directly through the oxidation of amino acid side chains or indirectly by addition of lipid oxidation products such as malondialdehyde to proteins [\[43\]](#page-53-11). The mitochondrial and structural proteins showing most alterations in the study by Azimzadeh et al. have been previously shown to be the main targets of oxygen-induced protein carbonylation [\[44\]](#page-53-12). The immunoblotting analysis showed that protein carbonyl content was increased in irradiated mice hearts compared to sham-irradiated ones (Fig. [2.4\)](#page-48-0).

2.4.2 Alterations in the Cardiac Mitochondria

Mitochondria play a central role in oxidative metabolism, where the final products of

glycolysis and fatty acid metabolism, pyruvate and acetyl CoA, are used in the Krebs cycle and by oxidative phosphorylation to produce energy. As heart tissue has a high energy demand, it is not surprising that mitochondria contribute about 40% of the total cellular volume of cardiomyocytes [\[45\]](#page-53-13). Approximately 90% of energy is supplied by these organelles [\[46\]](#page-53-14). In numerous biochemical and functional studies of cardiomyocytes, impairment of oxidative metabolism has been directly linked to the development of cardiovascular disease [\[47–](#page-53-15)[50\]](#page-53-16). Loss of control over the reduction and oxidation processes within the mitochondria may lead to disruption of metabolic homeostasis and an increased production of ROS such as peroxide, superoxide and hydroxyl radicals. Such an excess of ROS is capable of causing damage to many cellular components including lipids, proteins, and DNA [\[51,](#page-53-17) [52\]](#page-53-18). Oxidative stress is also known to contribute to vascular disease and endothelial cell dysfunction potentially leading to further cardiovascular damage [\[53\]](#page-54-0). Conversely, lower ROS concentrations stimulate cellular signaling and gene expression modulating vascular function [\[54\]](#page-54-1) and playing an important role in cardioprotection [\[55,](#page-54-2) [56\]](#page-54-3).

Exposure of eukaryotic cells to radiation leads to the production of ROS within minutes. Leach et al. showed that, in the dose range between

1 and 10 Gy, the amount of ROS produced per cell was constant whereas the percentage of ROS producing cells increased with the dose [\[57\]](#page-54-4). This induced increase in ROS production was dependent on dysfunctional mitochondrial electron transport and was observed in several cell types.

Barjaktarovic et al. investigated whether ionizing radiation causes non-transient impairment of cardiac mitochondria that could finally lead to cardiovascular disease [\[58\]](#page-54-5). For this purpose C57BL/6N mice were locally irradiated to the heart using an acute dose of 0.2 Gy or 2 Gy X-ray; the control mice were sham-irradiated. The cardiac mitochondria were isolated 4 weeks after the exposure and proteomic and functional analysis was performed. Again, two complementary quantitative proteomic approaches, ICPL and 2D-DIGE, were used to investigate the radiationinduced dysregulation of protein expression.

The high dose (2 Gy) induced changes in 15 and 9 proteins, identified by ICPL or DIGE, respectively. The low dose (0.2 Gy) caused fewer alterations, the corresponding numbers of proteins showing altered expression being 5 and 1. As some of the deregulated proteins were overlapping between the methods and doses, a total of 25 mitochondrial proteins were found to be radiation-responsive. Three main biological categories were affected: the oxidative phophorylation, the pyruvate metabolism, and the cytoskeletal structure. The changes in the pyruvate metabolism and structural proteins were seen with both low and high radiation doses.

Especially affected by the radiation were the respiratory complexes I and III; the level of several subunits and the activity of these complexes were significantly downregulated after the high dose exposure. As these complexes are the main producers of mitochondrial ROS, the general level of ROS and the oxidized proteins was measured. ROS production was significantly increased leading to an enhanced oxidation of mitochondrial proteins.

This study suggests that an altered redox status will result in impaired heart function and/or increased vulnerability towards additional stress conditions in the long term. As mitochondria are closely associated with myofibrils it is possible that increased mitochondrial ROS production may lead to impaired contractility through disruption of actin-myosin interactions in the heart.

2.5 Usage of Formalin-Fixed Paraffin-Embedded Material for Proteomics

Formalin-fixed paraffin-embedded (FFPE) tissue has recently gained interest as an alternative to fresh/frozen tissue for retrospective protein biomarker discovery. In the field of radiation biology, tissue banks may provide retrospective information not only about the nature and frequency of radiation-induced disease, but also about the molecular pathways and cellular processes leading to such end-points [\[59\]](#page-54-6). However, during the fixation process proteins undergo degradation and cross-linking, making conventional protein analysis technologies problematic.

Azimzadeh et al. compared several extraction and separation methods for the analysis of proteins in FFPE tissues [\[60\]](#page-54-7). Incubation of tissue sections at high temperature with a novel extraction buffer resulted in improved protein recovery. Protein separation by 1-DE followed by LC-ESI MS/MS analysis was the most effective approach to identify proteins, based on the number of peptides reliably identified. Interestingly, a number of peptides were identified in regions of the 1DE not corresponding to their native molecular weights. This was an indication of the formation of protein-protein complexes by cross-linking, and to protein fragmentation due to prolonged sample storage.

Quantitative proteome analysis of FFPE tissue has been hampered by the lack of efficient labelling method. The usage of conventional protein labelling on FFPE has turned out to be inefficient as most labels target lysine residues that are blocked by the formalin treatment. Recently, non-labelling approaches have been suggested as an alternative for quantification of FFPE proteome profiles [\[61,](#page-54-8) [62\]](#page-54-9).

Azimzadeh et al. applied the optimised protein extraction and separation conditions for FFPE

Fig. 2.5 Graphical representation of the merged most significant protein networks using Ingenuity pathway analysis. All significantly deregulated proteins were imported into the Ingenuity pathway analysis. The up-regulated proteins are marked in *red* and the

tissue that was previously developed for qualitative proteomics and combined it with the labelfree proteomics technology [\[63\]](#page-54-10). As a model system they used FFPE heart tissue of control and exposed C57BL/6 mice after total body irradiation using a gamma ray dose of 3 Gy. In total, 31 significantly deregulated proteins were

down-regulated in *green*. The nodes represent proteins that are connected with one or several *arrows*; the *solid arrows* represent direct interactions and the *dotted arrows* indirect interactions; direct and predicted protein interactions were searched for [\(http://www.Ingenuity.com\)](http://www.Ingenuity.com)

found in irradiated hearts 24 h after the exposure. The proteomics data were further evaluated and validated by bioinformatics and immunoblotting. The analysis indicated radiation-induced alterations in three main biological pathways: respiratory chain, lipid metabolism and pyruvate metabolism (Fig. [2.5\)](#page-50-0).

The label-free approach enables the quantitative measurement of radiation-induced alterations in FFPE tissue and facilitates retrospective biomarker identification using radiobiological archives.

2.6 Protein Biomarkers of Ionizing Radiation

Assessment of health risks in humans exposed to ionizing radiation is largely based on the results from epidemiological studies. The epidemiological cohorts used until now are populations exposed to relatively high doses of radiation often given at high dose rates. Consequently, the magnitude of risk after exposure to low doses and low dose rates, as is the case in many environmental and occupational scenarios, remains controversial due to a lack of direct evidence.

It is reasonable to believe that protein expression profiling can be used to successfully find radiation-associated protein biomarkers in biological samples such as urine, blood/serum or even tissue. The primary advantage of using tissue is that protein expression is tissue-specific and some of the biomarkers will be maximally expressed in the targeted tissue. The obvious primary disadvantage is the difficulty in obtaining tissue specimens by biopsy or autopsy. In contrast, protein-rich samples of biological fluids, like serum, urine and saliva can be collected in a non-invasive or semi-invasive manner, and quantification of radiation-induced protein expression can be automated using high-throughput proteomic technologies.

However, the discovery of radiationassociated protein biomarkers remains an enormous challenge within radiobiological research because of the time- and dose-dependent variation of protein expression. Animal and cellular studies have been used as a tool to identify potential biomarkers that then may be tested in molecular epidemiological studies [\[7,](#page-52-3) [11,](#page-52-7) [37,](#page-53-5) [58,](#page-54-5) [64,](#page-54-11) [65\]](#page-54-12). Marchetti et al. conducted a literature review of candidate protein biomarkers for individual radiation biodosimetry of exposure to ionizing radiation $[66]$. The study included human, animal and cellular

studies using in vivo and in vitro irradiation. All identified radiation-responsive proteins, 261 in total, including 173 human proteins, were tabulated and assigned to nine priority groups. This method resulted in a proposed panel of 20 candidate protein biomarkers for different doses and time points after exposure.

So far, only two proteins have been used as bioindicators for radiation exposure: the amylase that indicates radiation-induced damage of the parotid gland [\[67,](#page-54-14) [68\]](#page-54-15), and Flt3-ligand, a hematopoietic cytokine indicating damage of the bone marrow [\[69\]](#page-54-16). Both are relatively easy to measure from serum/plasma using a clinical blood chemistry analyser or commercial sandwich enzyme immunoassay (ELISA), respectively. However, analysed separately these biomarkers are neither specific nor sensitive enough to estimate the received dose, especially if the time from the exposure exceeds 48 h.

Given the complexity of radiation response in humans, it is unrealistic to believe that a single protein marker will ever give incremental information of the exposure or etiology of the disease. A multimarker approach will be more useful as it gives information about the interplay of different possible pathways involved. The impact that good protein biomarkers will give as indicators of exposure, susceptibility or disease is more than enough to justify the use of proteomics on this area. However, whenever applicable, proteomics studies should be complemented by incorporating other technologies providing additional information about putative radiation biomarkers.

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3 Radiation Treatment Effects on the Proteome of the Tumour Microenvironment

Michael J. Atkinson

Abstract

Exposure of tumourous tissue to ionizing radiation initiates a woundhealing response involving remodelling of the extracellular microenvironment. The initial reaction involves direct damage to the matrix proteins and the secretion and activation of proteolytic enzymes that lead to local destruction of the extracellular matrix. Subsequently the wounded area may undergo complete repair, may enter a prolonged period of heightened proteolysis, or may overproduce matrix proteins leading to fibrosis. The source of matrix degrading enzymatic activity may be the tumour cells and the tumour stroma. Additional complexity is provided by proteolytic activity released from tissue macrophages, mast cells and by invading inflammatory cells. The local production of growth factors, including VEGF and TGF- β play a key role in coordinating the response. It is anticipated that the application of modern proteomic technologies will reveal hitherto unrecognised levels of complexity in these processes. Hopefully this will lead to the development of new therapeutic strategies to prevent long-term health implications of radiation exposure.

Keywords

Extracellular matrix • ECM • Tumour microenvironment • Ionizing radiation • Radiation injury • Inflammation • Hypoxia • Metastasis • Bystander effect • Cytokine • Lysis • Proteolysis • Stroma • Signaling pathways

M.J. Atkinson (\boxtimes)

3.1 The Tumour Microenvironment

The extracellular milieu of a tumour is established by the juxtaposition of tumour, non-tumour cells within the tumour (tumour stroma), the surrounding normal tissues, and the extracellular

Helmholtz Zentrum München, German Research Centre for Environmental Health, Institute of Radiation Biology, Ingolstaedter Landstrasse 1, Neuherberg 85764, Germany e-mail: atkinson@helmholtz-muenchen.de

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matrix. This unique biological microenvironment fulfils both a structural and regulatory role. The complexity of the tumour interface is continually shifting as the tumour progresses. These changes are initiated by loss of a differentiated tumour phenotype, the assumption of a more infiltrative or metastatic behaviour, and by on-going local and systemic responses to the tumour. They may also be brought about by anti-cancer interventions, including radiation therapy. In each instance the alterations are the net result of changes to all of the different components contributing to the microenvironment.

3.1.1 The Cellular Component of the Microenvironment

Tumour cells influence the tumour microenvironment through the production and secretion of matrix proteins, metabolites, and signalling molecules/growth factors. Tumour cells are also sources of extracellular proteases and proteaseregulating inhibitors capable of remodelling the extracellular matrix.

A range of non-tumour cells may populate tumours. These include fibroblasts, vascular endothelial cells and components of the immune system. This "tumour stroma" arises as adjacent non tumour cells are engulfed by a rapidly growing tumour, are recruited in response to signalling from the tumour, or as immune cells enter the tumour as part of an inflammatory response. The diversity of the stromal cells types increases the complexity of the extracellular environment through their own secreted proteins and through interactions mediated by cell-cell and cell-matrix recognition molecules.

Compression of adjacent tissues, release of cell and matrix degradation products and the secretion of growth factors all contribute to the local inflammatory response, involving both innate and active immune responses [\[1\]](#page-63-0). In addition to activation of resident mast cells and tissue macrophages, the tumour may be infiltrated by mononuclear cells, such as lymphocytes, neutrophils and macrophages. The cytokine profile of the tumour microenvironment reflects this infiltration.

3.1.2 The Extracellular Matrix

The extracellular matrix (ECM) is a complex protein network made up of a collagen-mixture scaffold, elastin, proteoglycans including decorin, aggrecan and syndecan and glycoproteins such as tenascin, vitronectin, laminin, thrombospondin and fibronectin. The exact composition is dependent upon the tissue type and function. The bone matrix represents a special case as this includes a mineralized component, hydoxyapatite. Type I collagen represents the most abundant ECM component. A number of biologically active proteins regulating cell dynamics and interactions co-locate to the matrix, including growth factors and cytokines. Local matrix destruction via tumour-mediated proteolysis may be an essential component of neoangiogenesis, but loss of contact is also a key step in the attainment of a metastatic or infiltrative growth phenotype by the tumour.

3.1.3 Adhesion of Tumour Cells to the ECM

Cell surface adhesion molecules of the integrin family of integral transmembrane proteins serve to anchor tumour and stromal cells to ECM components. The extracellular ligand-binding domain engages specific motives in the ECM whilst the intracellular domain affixes the integrin to the cytoskeleton and signalling complexes. The integrins are heterodimeric proteins made up of one alpha and one beta subunit. A set of 24 possible permutations between the different alpha and beta subunits creates a range of possible integrinmatrix protein interactions.

In addition to maintaining structural integrity the integrins are involved in intracellular signalling, presumably to signal the cell-matrix interactions. Signalling is achieved through lateral mobility of ligand-bound integrins within the plasma membrane, creating islands (focal adhesions). These islands are docking sites for non-receptor tyrosine kinases (FAK, SRC) and accessory molecules capable of triggering intracellular pathways such as the GTPase/Rho, PI3/AKT and ERK pathways. The adhesion of cells within a tissue is mediated by the cadherin family of adhesion molecules responsible for homotypic cell-cell contacts.

A loss of matrix-integrin connectivity results in the rapid death of normally adherent nontransformed cells via an apoptosis-like cell death (Anoikis). Malignant cells are insensitive, having acquired the ability to survive detachment from both matrix and from adjacent cells. Additional changes in the expression of cell-cell adhesion molecules, acquisition of enhanced motility and the ability to lyse components of the extracellular matrix may all promote the detachment of single cells out of a tissue.

3.1.4 Remodelling of the Extracellular Matrix

The ECM is a dynamic structure, undergoing remodelling through degradation by proteolytic enzymes released as latent enzymes by both tumour and stromal elements. Matrix degradation is strictly controlled by the activation of these proteases and removal of endogenous protease inhibitors (see review by Queseda et al. [\[2\]](#page-63-1)). The range of substrate specificities and modes of activation involved in ECM proteolysis are subject of a recent review by Mason [\[3\]](#page-63-2). Of these, the matrix metalloproteinase (MMPs) and the plasminogen activators (PAs) are those most closely involved in radiation-induced changes to the ECM.

The 27 recognised members of the matrix metalloproteinase family of zinc-dependent enzymes are responsible for removal of all of the structurally relevant proteins. Secreted MMPs are not constitutively active. They require both enzymatic cleavage and removal of noncovalently bound inhibitory proteins (tissueinhibitors of MMPs—TIMPs). Local regulation of the balance between MMPs and TIMPs is determined by control of their synthesis and secretion, most prominently by cytokines and inflammatory mediators.

The urokinase-like plasminogen activator (uPA) is a protease that, upon capture at cell surfaces by a specific uPA receptor, is

able to locally convert plasminogen into the active protease plasmin. In turn plasmin is able to initiate further proteolytic cascades by cleaving latent proteases such as the Matrix metalloproteinase (MMPS). uPA activity is regulated by plasminogen activator inhibitors (PA-Is), and it is the balance between receptor, uPA, and inhibitors that determine the net proteolytic activity [\[4\]](#page-63-3).

3.1.5 Vascularization and Remodelling of the ECM Under Hypoxic Conditions

Rapid tumour growth can outpace the capacity of local blood vessels to maintain adequate oxygenation of the tumour. The resulting regions of local hypoxia have considerable consequences for tumour therapy. Not only are chemotherapeutic agents denied entry into avascular areas, but hypoxic cells are inherently radioresistant. More recently it has been suggested that hypoxia promotes dedifferentiation of surrounding stromal cells, creating a niche capable of supporting tumour repopulating stem cells [\[5\]](#page-63-4).

There is a qualitative difference in the structure of Type 1 Collagen fibres in the extracellular matrix between normoxic and hypoxic regions of experimental tumours. In hypoxic areas the collagen fibres are less structured, presumably due to stimulation of local proteolytic activity [\[6\]](#page-63-5) or reduced synthesis of collagen cross-links [\[7\]](#page-64-0). These change could facilitate entry of new blood vessels through the more open ECM architecture or even promote the transmission of angiogenic factors to neighbouring vessels [\[8\]](#page-64-1). Hypoxia is able to modulate the integrin-matrix interaction in an osteosarcoma cell line, promoting adhesion of cells to matrix through enhanced integrinfibronectin binding [\[9\]](#page-64-2).

In epithelial tumours hypoxic conditions may promote a unique pathophysiological process, the epithelial-mesenchymal transition (EMT) [\[10\]](#page-64-3). The EMT reiterates changes seen during the early histiogenesis of the embryo, and confers on carcinoma cells a shift in their adhesion and matrix synthesis. This results in acquisition of the capacity to conduct extensive lysis of both basement membranes and the proteins of the ECM and is a key step in epithelial tumour progression (see review by Thiery [\[11\]](#page-64-4)).

Angiogenesis, the formation of new blood vessels from outgrowths of existing vessels, represents a critical stage in tumour development. Growth limitations due to local tissue hypoxia are overcome by the formation of blood vessels in the tumour tissue. In the absence of oxygen the tumour cells and surrounding stroma are induced to secrete pro-angiogenic factors, including vascular endothelial growth factor (VEGF) into the ECM. The latter serves to stimulate endothelial cell growth along the VEGF gradient from nearby vessels into the hypoxic areas.

Rapid tumour growth may quickly exceed the capacity of the ECM to stretch to accommodate the expansion of the tumour volume, increasing local mechanical pressure. At the same time the incomplete vascularization of the tumour may cause a local increase in hydrostatic pressure in those blood vessels close to the tumour. A direct consequences of such local physical stresses is the up-regulation of matrix production (see review by Jean et al. [\[12\]](#page-64-5)).

3.2 Radiation Effects on the Microenvironment

The formation of ionisation-induced reactive radicles along the radiation track is considered to be the primary cause of the damage created within irradiated cells. Through radicle damage to macromolecules, in particular DNA, the effects of radiation exposure may overwhelm cellular repair capacity, leading to cell killing.

As a consequence of the direct cell killing there is a risk of inducing long-term damage in non-target tissues. Indeed, the radiation damage to adjacent normal tissue, in particular the highly radiosensitive endothelial cells of the vasculature, is a major limiting factor for the doses that can be applied during tumour radiotherapy. If the field of damage is extensive enough there may be macroscopically visible disturbances in the healing processes.

A more insidious form of damage may develop in tissues receiving lower, non-lethal, doses. Although no acute damage is apparent long-term health effects may develop, in which chronic tissue injury develops. Typical of these are the cognitive impairments, cardiovascular diseases, cataracts and diabetes, which are recorded in some survivors of childhood cancer who received radiotherapy and in survivors of the atomic bombings.

3.2.1 Alteration in ECM During Acute Phase of Damage

In cancer patients treated by surgery and radiation the wound healing response of surgical incisions is delayed. This is primarily due to a suppression of fibrogenesis at the wound site (see review by [\[13\]](#page-64-6)). Thus, grafting of non-irradiated tissue onto irradiated sites is impaired by pre-irradiation of the graft bed [\[14\]](#page-64-7). Replenishment of nonirradiated fibroblasts to the site can overcome the healing deficiency [\[15\]](#page-64-8). In vivo studies using irradiated mice reveal a rapid decline in the level of proteoglycans within the basal lamina [\[16\]](#page-64-9). A reduction in the production of fibronectin is also seen immediately in irradiated fibroblasts, suggested loss of ECM production or matrix degradation contribute to the reduced healing capacity [\[17\]](#page-64-10). Indeed, increased expression of matrix degradation products can be correlated with production of local mediators such as TGF- β and cytokines at the wound sites [\[14,](#page-64-7) [18,](#page-64-11) [19\]](#page-64-12).

In head and neck cancer patients with chronic open wounds post radiotherapy the tissue expression of MMP2 and MMP9 were elevated, compared to normal skin samples [\[20\]](#page-64-13). It is suggested that this sustained proteolytic activity, rather than hypoxia or inflammation is responsible for the long-term failure of radiation wounds to heal.

The shift between the acute phase or matrix suppression and late phases of hyperproduction may repeat itself in waves or cycles of damage repair frequently seen in severe radiation injuries. A role for the persistent inflammation in driving the cycling has been suggested [\[21\]](#page-64-14).

3.2.2 Direct Damage to the ECM by Radiation

It has been suggested that a direct destruction of bone and skin matrix collagen can be produced by tissue irradiation, with the dose dependent release of cross-linked collagen degradation products occurring in porcine cadaver tissue maintained at 4C [\[22\]](#page-64-15) and in dental pulp of extracted human molars [\[23\]](#page-64-16). A study of bone matrix using in situ Fourier transform infrared microscopy did not detect collagen degradation per se, but did detect decarboxylation of collagen protein side chains, which may be a mechanism for the radiation-induced changes in the inorganic matrix in bone [\[24\]](#page-64-17). Whatever the biochemical events are, irradiated extracellular matrix is sufficiently changed to activate remodeling activity. Repopulation of previously irradiated artificial tissue matrix (AlloDerm) was accompanied by an increased matrix proteolysis and activation of new matrix synthesis $[25]$. Thus, the direct damage of matrix macromolecules must be considered as a potential trigger for the hyperproduction of matrix following irradiation.

3.2.3 Long-Term Changes to the ECM Following Irradiation

Almost paradoxically, the later stages of the radiation injury repair, which may take days-months to appear, involves a "reactive phase" with overproduction of collagen by activated fibroblasts at the site of damage. This fibrotic reaction appears to be a rebound effect with the overproduction of matrix continuing long after the initial damage response. Irradiated mouse mammary gland revealed increased Collagen III, laminin and tenascin levels within 1 day of exposure [\[26\]](#page-64-19). In mice the excessive production of type I, III and IV collagens in irradiated lung was sustained over a 20–30 week period post irradiation [\[27\]](#page-64-20). The overproduction of fibronectin and laminin was sustained for at last 60 weeks [\[28\]](#page-64-21) In both studies, the excessive matrix overproduction slowly declined to near-normal levels. The shift between acute damage and regeneration phases is seen

in the chick chorioallantoic membrane following irradiation, with down-regulation of both protein and mRNA for fibronectin, laminin, collagen type I, integrin alpha (v)- beta3 and MMP-2 occurring after 6 h, only to increase back above initial levels by 24 h [\[29\]](#page-64-22).

A study of transcribed genes in intestinal tissue from patients with radiation enteritis revealed excess activity of matrix protein genes, matrix remodelling genes and inflammatory response mediators [\[30\]](#page-64-23). This transcriptional activation results in increased synthesis of collagen matrix by the tissues [\[31\]](#page-64-24). Blockade of cytoskeletal interaction with the Rho GTPases [\[31,](#page-64-24) [32\]](#page-64-25), or an anti-inflammatory intervention [\[33\]](#page-64-26) limit excessive production of matrix in animal models of gut and lung radiation-induced fibrosis respectively.

Potential limitation of remodelling by suppressing the uPA-mediated activation of proteases is seen in biopsies of rectal tissue following radiation therapy for carcinoma, where PAI1 is up-regulated at the same time as MMP2 [\[34\]](#page-64-27). Indeed, a similar radiation-induced upregulation of PAI-1 is seen in irradiated kidney epithelial cells [\[35\]](#page-64-28) where an up-regulation of type I collagen production also occurs [\[36\]](#page-64-29).

3.2.4 Radiation Induced Changes to the Bone Microenvironment

The extracellular matrix of the skeleton represents a special case, due to the regulated remodelling and high complexity of the nonmineralized and mineralized matrix. Radiation induced changes are shaped by the extent of cell killing, leading to replacement of original bone matrix by a more fracture-prone bone or even to radiation-induced necrosis in extreme situations [\[37\]](#page-64-30). In situations where the skeletal integrity has been disrupted prior to irradiation (e.g. lytic tumour foci or fractures) the rate of healing is impaired [\[38\]](#page-64-31). Loss of structural elements in matrix may result from direct degradation of macromolecules in the matrix [\[22\]](#page-64-15). In vitro studies show that the response of osteoblastic cells to radiation is similar to

fibroblasts. After an initial down-regulation of the differentiated phenotype, the reacquisition of matrix synthesis results in increased secretion of matrix and eventually excessive mineralization [\[39\]](#page-64-32), reminiscent of the fibrosis response in soft tissues. Indeed, production of TGF- β , which is postulated as the coordinator of tissue fibrosis after radiation, is up-regulated in irradiated osteoblastic cells in vitro [\[40\]](#page-64-33).

3.2.5 Inflammation and Cellular Infiltration

The response to irradiation includes infiltration of the site by mononuclear leukocytes [\[41\]](#page-65-0), resulting in changes to the ECM due to local proteolysis and changes in signalling due to cytokine release [\[42\]](#page-65-1). Thus, in vitro irradiation of a macrophage cell line induced increased MMP9 expression, without concomitant up-regulation of the inhibitory TIMP1 protein [\[43\]](#page-65-2). The ubiquitous tissue mast cells are also stimulated by radiation to release biologically active proteins in vitro (TNF-alpha, tryptase) [\[44\]](#page-65-3).

3.2.6 Hypoxia and Neovascularization

Radiation may directly influence VEFG production, as increased release is reported from both cultured glioblastoma cells and chicken embryo chorioallantoic membrane exposed to X-irradiation [\[45,](#page-65-4) [46\]](#page-65-5). Local irradiation of the vascularized chick chorioallantoic membrane increased the level of degradation products from type IV collagen, presumably as a result of local remodelling activation [\[47\]](#page-65-6). As collagen fragments are one of a number of matrix degradation products with ascribed angiogenicstimulating potential it is probably that local matrix damage by radiation may also trigger angiogenesis [\[46\]](#page-65-5). Indeed, addition of tumour cells to the irradiated chick membranes resulted in enhanced vascularization [\[46\]](#page-65-5), suggesting both matrix breakdown products and VEGF secretion may contribute to local angiogenesis in irradiated tissues.

During angiogenesis the developing blood vessel must move through the extracellular matrix. In part local proteolysis is responsible for the penetration, with MMPs, plasmin and plasminogen activators all being present at the endothelial cell—matrix interface [\[48\]](#page-65-7). Irradiation of developing vessels in the chick chorioallantoic membrane model cause transient loss of both matrix and protease production, but this is followed within 48 h by an overexpression of fibronectin, laminin, type I collagen, MMP2 and MMP6 [\[29\]](#page-64-22). In the human rectum a similar phenomenon takes place, with increased vascularization accompanying fibrosis, but here the individual components differ. Thus, unlike the chick model, MMP8 and uPA appear to be the primary matrix degrading activities, whilst angiogenin and not VEGF activate vessel cell proliferation [\[49\]](#page-65-8). A major difference between the two models is the contribution of inflammatory cells. In the rectum the expression of both uPA and MMP8 comes from infiltrating leukocytes and not from endogenous tissue components [\[49\]](#page-65-8).

3.2.7 Lysis of Matrix Components Following Irradiation

Studies of post-irradiated cells and tissues consistently show increased expression of proteolytic enzymes directed against ECM components. Thus, in irradiated mouse lung tissue a shift in the balance of MMP/TIMP occurs several weeks after irradiation, with increased levels of MMP2 and MMP9 that target Collagen type IV and elastin. Although apparently paradoxical, the same study also detected inhibition of MMP9, mediated through an up-regulation of TIMP expression [\[50\]](#page-65-9). The author's plausible explanation is that the initial activation of matrix lysis during the acute damage response gives way to a later phase of reduced matrix lysis, leading to accumulation of matrix components and the development of fibrosis.

Comparable changes in matrix remodelling were reported in cultured rat renal tubular epithelial cells, with PAI-I and MMP2 production

increased [\[36\]](#page-64-29). Similar radiation-induced increases in MMP2 activity are also reported in rodent brain, where an increased degradation of type IV collagen is suggested to be the final consequence [\[51\]](#page-65-10). In in vitro irradiated human lens epithelial cells the same transient up-regulation of MMP2 and MMP9 transcription and protein levels were detected within hours of irradiation [\[52\]](#page-65-11). The unique biological activities of lens epithelial cells and the lens matrix do not allow a generalization of this observation, but it is possible that MMP-mediated remodelling of the lens tissue matrix may influence cataract formation after exposure to ionizing radiation.

The genetic absence of the plasminogen activator inhibitor PAI-1, or the pharmacological inhibition of PAI-I action, both ameliorated the acute radiation injury to mouse intestine [\[53\]](#page-65-12). This indicates that plasminogen activation of downstream proteases such as plasmin, cathepsins and MMPs is an essential regulatory component limiting the radiation matrix lysis.

3.2.8 Promotion of Metastatic Potential by Radiation-Induced Modification to the ECM

The radiation-induced increases in matrix lysis are suspected of increasing the metastatic capacity of tumour cells surviving a radiotherapy regimen. Thus, in a glioblastoma cell line irradiation promoted metastatic behaviour that could be reversed by knock down of MMP9 gene expression [\[54\]](#page-65-13). The ability of irradiated hepatocellular carcinoma cells to invade in vitro was enhanced following irradiation. This was accompanied by an up-regulation of MMP9 [\[55\]](#page-65-14). Inhibition of MMP9, either pharmacologically or by gene silencing, restored a non-invasive phenotype [\[55\]](#page-65-14). Interestingly, the activation of MMP9 expression by radiation in hepatocellular carcinoma cells (Cheng JC 2006) and of MMP2 in glioblastoma cells [\[56\]](#page-65-15) was mediated by activation of the PI3kinase/AKT pathway. This may be a triggered by hepatocyte growth factor (HGF) and its receptor cMET, both being up-regulated by irradiation

of neuroblastoma cells, and this correlate with increased matrix degradation and metastatic potential [\[57\]](#page-65-16). Interestingly, given the ability of cells to enrich surface urokinase (uPA) activity through capture by expressed cell surface uPA receptor, a study of rectal tissue following radiotherapy revealed an increase in uPA on adjacent stromal tissue, with the probable source being the irradiated tumour [\[34\]](#page-64-27). Such changes would promote infiltrative growth of an expanding tumour into the surrounding stroma [\[4\]](#page-63-3).

3.2.9 Radiation-Exposure Promoting Microenvironment Changes Though Epithelial-Mesenchymal Transition

The epithelial-mesenchymal transition EMT is accompanied by acquisition of a more metastatic phenotype due to changes in adhesion potential and expression of proteolytic activities. An early observation of possible EMT induction after irradiation was provided by the work of Mary Helen Barcellos-Hoff, who observed loss of epithelial markers, adhesion and matrix interactions in irradiated mammary cells in vitro [\[58\]](#page-65-17). The changes recorded are similar to those that are now considered to typify the EMT and are suggested to represent irradiated tissue responses to wounding [\[59\]](#page-65-18). The changes of the EMT following radiation exposure are dependent upon increased TGF- β synthesis [\[60\]](#page-65-19).

3.2.10 Changes in the Tumour Cell-Matrix Interactions Following Irradiation

The local contacts between tumour cells and their extracellular matrix is influenced by the cellular responses to the radiation exposure, and in turn can influence cell responses to the radiation [\[61\]](#page-65-20). For example, bovine endothelial cells grown in an artificial extracellular matrix show better survival following irradiation than cells grown in a matrix poor environment [\[62\]](#page-65-21). A key change following irradiation is a reduction in integrin-mediated cell-matrix binding, along with the resultant changes in intracellular signalling pathways. Thus radiation down-regulates cell surface expression of the integrin adhesion complex in prostate carcinoma cells [\[63\]](#page-65-22).

Blockade of the integrin β 1 chain by specific antibody prevented cell adhesion to a lamininenriched synthetic matrix and sensitized cells to radiation-induced apoptosis [\[64\]](#page-65-23). Similarly, the siRNA-mediated silencing of either αv - β 3 or αv - β 5 integrins reduced glioblastoma survival after irradiation [\[65\]](#page-65-24), as did interference with integrin-fibronectin association in mammary cultures [\[66\]](#page-65-25). The interaction between integrins and matrix components may be sufficient to influence survival of cancer patients receiving radiotherapy, as high integrin levels correlated with reduced patient survival [\[66\]](#page-65-25).

3.2.11 Radiation-Induced Changes in Cell-Cell Signalling Within the Tumour Microenvironment

Transforming growth factor- β is a key player in coordinating changes in the proteolytic and adhesive activities of tumour cells and matrix. The secretion of TGF- β into the microenvironment is up-regulated by radiation and can induce fibrosis by promoting excessive synthesis of matrix components [\[67\]](#page-65-26). Inhibition of TGF- β is sufficient to prevent radiation-induced differentiation of fibroblastic cells in vitro [\[68\]](#page-65-27).

 $TGF- β directed gene transcription has been$ reported for a number of different matrix components in dermal fibroblast cultures. These include MMP2, TIMP1 and Collagen IA1 [\[69,](#page-65-28) [70\]](#page-65-29). Blockade of the intracellular signalling cascade mediated by TGF- β -induced Smad3 expression, through silencing of Smad3 itself, prevented transcriptional activation of the expression of these matrix genes in irradiated dermal fibroblasts [\[70\]](#page-65-29). Similarly, the radiationactivated transcription of type 1 collagen genes in mouse embryonic fibroblast and NIH 3T3 cells was abrogated by small-molecule inhibitors of TGF- β —receptor interaction [\[69\]](#page-65-28). It would

appear that the TGF- β activated synthesis of extracellular matrix in response to radiation is regulated independently from the activation of the MAPK pathway regulating cell proliferation. Inhibition of TGF- β a activation of MAPK/ERK1 signalling had no influence on matrix gene transcription [\[69\]](#page-65-28). However, previous studies have shown that phosphorylation of ERK was required for the up-regulation of MMP2 in irradiated rat epithelial cells [\[71\]](#page-65-30).

3.2.12 Other Considerations

3.2.12.1 Bystander Effects

Further insight into the complexity of the signalling that regulates matrix remodelling is shown by the recent study of Kühlmann et al. who show that cell-type specific production of cytokines may be critical for radiation responses involving excess matrix production. Thus, whilst $TGF- β was released by irradiated fibroblasts the$ additional release of Interleukin 8 from epithelial cells was required for the efficient activation of matrix synthesis from fibroblasts [\[72\]](#page-66-0).

3.2.12.2 Radiation Quality

Matrix remodelling appears largely unaffected by the quality of the radiation applied. Thus, high LET (600 MeV Fe) provoked qualitatively similar changes to the matrix composition of mammary tissue $[26]$ and lens tissue $[52]$. The fibrosis-promoting effects of high LET carbon ions on collagen production was greater than comparable doses of low LET X-irradiation, and this difference was comparable to the relative biological effectiveness (RBE) seen for other biological effects such as cell killing and genetic damage [\[73\]](#page-66-1). TGF- β up-regulation and fibrosis was seen in the mouse kidney following alphairradiation [\[74\]](#page-66-2).

However, a direct comparison between low LET (X-rays) and high LET (carbon ions) confirmed the increase in metastatic potential following X-irradiation, of HT1080 and LM8 osteosarcoma cells, but failed to show such an increase after high LET treatment. This difference does not appear to be due to differences in cell

survival, nor to different degrees of up-regulation of integrin expression, as this was seen with both high and low LET treatments [\[75\]](#page-66-3). The high LET exposures decreased MMP2 expression, whereas the low LET did not, possibly implicating matrix lysis in the difference.

3.2.12.3 Effect of Low Doses on the Extracellular Environment

The cellular consequences of exposure to low doses of radiation are quite distinct to those seen at the therapeutic doses used for tumour control. At low doses, typically defined as those doses received from medical imaging, natural background and from the workplace, the induction of chronic diseases is more prominent than cell killing [\[76\]](#page-66-4). The effects of low doses on the extracellular environment are not yet fully understood. At low doses above 100 mGy mouse mammary tissue still exhibited the increase in TGF- β production seen after high radiotherapy doses [\[77\]](#page-66-5). This was accompanied by enhanced expression of type III collagen, suggesting that at even low doses there may be a change in the microenvironment, and possibly even a mild fibrotic response of low-dose exposed tissues.

Evidence from abnormal tissue samples taken from victims of the Chernobyl accident suggest that there is a progressive change in epithelial tissue of the bladder that is not seen in non-exposed tissues with similar disease status. Thus, in dysplastic tissue from radiation exposed individuals there is an increase in the epithelial adhesion molecule E-Cadherin in the cytoplasm, rather than in the normal membrane location. This is accompanied by increased production of TGF- $β$, which has already been implicated in radiation-induced changes in matrix (see above) [\[78\]](#page-66-6). In more advanced cancer the same increase in TGF- β and aberrant cadherin distribution were seen, along with decreased expression of fibronectin and laminin [\[79\]](#page-66-7). Mesenchymal cells are also influenced by low dose exposures. Human mammary gland-derived fibroblasts enter a senescence-like state when chronically irradiated at low doses. These cells activated expression of a range of MMPs, leading to rapid lysis of the Matrigel support [\[80\]](#page-66-8).

3.3 Summary

Exposure of tumourous tissue to ionizing radiation initiates a wound-healing response involving remodelling of the extracellular microenvironment. The initial reaction involves direct damage to the matrix proteins or the secretion and activation of proteolytic enzymes that lead to local destruction of the extracellular matrix. Subsequently the wounded area may undergo complete repair, may enter a prolonged period of heightened proteolysis, or may overproduce matrix proteins leading to fibrosis. The source of matrix degrading enzymatic activity may be the tumour cells and the tumour stroma. Additional complexity is provided by proteolytic activity released from tissue macrophages, mast cells and by invading inflammatory cells. The local production of growth factors, including VEGF and TGF- β play a key role in coordinating the response. It is anticipated that the application of modern proteomic technologies will reveal hitherto unrecognised levels of complexity in these processes. Hopefully this will lead to the development of new therapeutic strategies to prevent long-term health implications of radiation exposure.

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4 Serum and Plasma Proteomics and Its Possible Use as Detector and Predictor of Radiation Diseases

Olivier Guipaud

Abstract

All tissues can be damaged by ionizing radiation. Early biomarkers of radiation injury are critical for triage, treatment and follow-up of large numbers of people exposed to ionizing radiation after terrorist attacks or radiological accident, and for prediction of normal tissue toxicity before, during and after a treatment by radiotherapy. The comparative proteomic approach is a promising and powerful tool for the discovery of new radiation biomarkers. In association with multivariate statistics, proteomics enables measurement of the level of hundreds or thousands of proteins at the same time and identifies set of proteins that can discriminate between different groups of individuals. Human serum and plasma are the preferred samples for the study of normal and disease-associated proteins. Extreme complexity, extensive dynamic range, genetic and physiological variations, protein modifications and incompleteness of sampling by two-dimensional electrophoresis and mass spectrometry represent key challenges to reproducible, high-resolution, and high-throughput analyses of serum and plasma proteomes. The future of radiation research will possibly lie in molecular networks that link genome, transcriptome, proteome and metabolome variations to radiation pathophysiology and serve as sensors of radiation disease. This chapter reviews recent advances in proteome analysis of serum and plasma as well as its applications to radiation biology and radiation biomarker discovery for both radiation exposure and radiation tissue toxicity.

Keywords

Bioindicator • Biomarker • Diagnostic • Ionizing radiation • Irradiation • Normal tissue toxicity • Omics • Prognostic • Plasma • Proteome • Proteomics • Radiation exposure • Radiotherapy • Serum

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O. Guipaud (\boxtimes)

Institute for Radiological Protection and Nuclear Safety (IRSN), PRP-HOM, SRBE, LRTE, BP17, Fontenay-aux-Roses cedex 92262, France e-mail: olivier.guipaud@irsn.fr

LMW Low molecular weight

by a dynamic and developing process which involves cell killing, altered cell-to-cell communications, inflammatory responses, compensatory tissue hypertrophy of remaining normal tissue, and tissue repair processes [\[1,](#page-88-0) [2\]](#page-88-1). Changes in cytokine levels can be detected over time [\[3,](#page-88-2) [4\]](#page-88-3). Tissues with fast renewal present effects which are mainly due to the disappearance of cells. Tissues with slow renewal, or which do not proliferate, present effects related to inflammation and devascularization. The process which leads to the toxicity is sequential and progressive in most tissues, but the rate of progression varies between tissues, and differs from patient to patient. The genetic characteristics of the exposed organism can also influence the exact nature of the radiation response [\[5\]](#page-88-4). The toxicity of some critical organs is discussed in the next section.

Damage induced by ionizing radiation is usually classified according to the time of appearance of the symptoms: acute effects, late consequential effect or late effects [\[2\]](#page-88-1). Acute, or early, effects are observed rapidly after exposure, in the weeks which follow the end of radiotherapy, or even during the treatment. Consequential late effects appear later and are caused by persistent acute damage the grade or duration of which influences the severity [\[6\]](#page-88-5). Late effects appear months or years after exposure. The cellular and molecular processes which govern the damage, and its repair, are complex and lead to many events. For some organs which develop late damage, the early symptoms cannot be visible. Trauma or surgery can then trigger an acute episode in the organ, which until then was apparently working normally.

Given the growing threat of terrorist attacks designed to cause massive human losses at unpredictable locations and the risk of nuclear power plant catastrophes, as illustrated by the recent Fukushima Daiichi nuclear disaster, the guidelines for radiation or nuclear accident management should be reformulated. In situations caused by terrorist attacks or nuclear power plant accidents, the number of victims will depend on the configuration of the event, but could range in the case of a dirty bomb or the malevolent dispersion of an orphan source from several tens or hundreds of victims to thousands or hundreds of thousands of victims in the case of a nuclear device. Victims can vary markedly in terms of the severity and type of exposure. This extreme variation makes it very difficult to harmonize and standardize the relevant diagnosis, prognosis and treatment methods. The European approach for medical preparedness has designed optimal methodology for triage of victims [\[7\]](#page-88-6). The first 48 h after a radiological accident involving masses of people are crucial. In that time, the accident victims should be processed by an emergency triage system where the patients are scored on the basis of both clinical and biological criteria. A primary objective in the first 48 h is to identify individuals who were not irradiated. After the initial 48 h, scoring of the patient is re-evaluated on the basis of METREPOL (medical treatment protocols for radiation accident victims) [\[8,](#page-89-0) [9\]](#page-89-1). Unfortunately it is currently not possible to know, during the first 48 h, the individual physical and biological dosimetry, which only becomes accessible after 48 h, at which point it forms the basis of further medical decision-making.

On the other hand, the use of medical therapeutic radiation for most types of cancer has improved dramatically over the last decades. Normal tissues surrounding the tumor are irradiated and may develop symptomatic injury which is known to develop immediately and to progress long after exposure to radiation [\[2\]](#page-88-1). Total and daily (fractionation) irradiation doses, type of ionizing radiation, field size, time of treatment, use of surgery or chemotherapy influence the extent of normal tissue injury. The tolerance of these normal tissues determines the dose prescription for the treatment of most cancers.

Biomarkers to monitor a potentially exposed population after a radiological accident or to assess radiation toxicity before, in the course of, or after a treatment by radiotherapy should be developed and would be extremely valuable for estimating the risks associated with radiation exposure. Rapid detection of radiation injury by high-throughput automated equipment or a point of care assay are needed for early diagnosis to prevent loss of organ function or mortality in order to screen large numbers of people rapidly

and accurately. Biomarkers would allow medical personnel to make critical triage decisions. Since the METREPOL approach is based on clinical symptoms, there is a need to develop other markers to reinforce existing protocols, particularly when the date of exposure is not known or when the accident is disclosed beyond the first 48 h. These biomarkers should be easy to collect using non- or semi-invasive methods, as well as easy and fast to quantify. They should help to determine quickly if a person has been exposed or not, and whether the exposure was limited to a small area or concerned the whole body. Ideally, they should provide information on the damaged organ or tissue, and help to predict the extent of the upcoming damage. For example, following a radioactive source accident, the victim can be severely exposed locally but it is currently impossible to predict the outcome of the cutaneous lesion (i.e. necrosis or not). In such cases it is critical to develop biomarkers which predict the severity of the lesion.

As organisms respond to irradiation by altering the expression and/or the post-translational modifications of some proteins in cells, tissues and organic fluids, as serum, plasma or urine, it is conceivable that protein expression profiling can be used to define protein or, much better, a set of protein expression changes that differentiates between irradiated and non-irradiated individuals, or that differentiates early between detrimental and harmless upcoming injuries in the case of restricted exposures, because of a treatment by radiotherapy, for instance. Proteins are easily obtained using non-invasive (urine) or semiinvasive (blood) collection methods. Using immunodetection techniques, their quantifications in biological fluids (urine, serum), cells (circulating lymphocytes) or tissue (biopsy material) are fast and reliable. In addition, automation is not problematic for high-throughput analysis of thousands of people. Lastly, today proteomic and multivariate statistical analysis methods provide a unique tool to look for a set of proteins that can discriminate between different health statuses. Recent advances in proteome analysis of serum and plasma as well as its applications to radiation biology and radiation biomarker discovery are reviewed in the next sections of this chapter.

4.2 Normal Tissue Radiation Toxicity

All tissues are damaged by ionizing radiation [\[10\]](#page-89-2). The damage in normal tissues results from the initial deposition of energy within the tissue. The pathological processes of the radiation injury begin at once after the irradiation, but the clinical and histological signs cannot become visible before weeks, months, or even years after an accidental exposure or after a therapeutic treatment [\[2,](#page-88-1) [10\]](#page-89-2).

The acute effects are mainly observed in tissues with fast renewal, for example epithelia of the skin surface or the digestive tract. The symptoms develop when functional cells are lost, because of the turnover of the normal tissue, and are not replaced because of the damage sustained by the stem cell compartment. In tissues as the skin or the bowel, there are compensatory phenomena involving the proliferation of stem cells, which are more tolerant to ionizing radiation than the other cells and replace the cells of the tissue thereby allowing its repair. The symptoms remain during this phase, which may continue throughout radiotherapy treatment. Cells die generally during mitosis, in the course of one or more of the first divisions which follow irradiation, because of damaged chromosomes which are not or badly repaired (mitotic death). Other cellular types, for example lymphocytes, can die by apoptosis, a fast death in particular tissue locations. Cells can also leave the reproductive pool by differentiation [\[11\]](#page-89-3). This cellular senescence is a particularly important response of fibroblasts, which can lead to excess deposition of collagen and subsequently to fibrosis. Early effects, such as erythema of the skin, probably involve mechanisms other than cellular death. Irradiation activates various cell signaling pathways [\[12\]](#page-89-4), leading to the expression and activation of proinflammatory and profibrotic cytokines [\[3,](#page-88-2) [13–](#page-89-5)[15\]](#page-89-6), vascular damage [\[16,](#page-89-7) [17\]](#page-89-8), and activation of coagulation [\[18\]](#page-89-9). These

changes can then lead to edema, inflammatory responses, and initiation of a healing-like process: waves of cytokines are produced in an effort to cure the damage [\[3,](#page-88-2) [19\]](#page-89-10).

Late effects develop months to years after an exposure and are mainly observed in patients undergoing radiotherapy. The symptoms can be moderate to severe, limited or progressive, and can develop gradually or suddenly. The late effects tend to develop in tissues containing cells which are slowly renewed (subcutaneous tissue, fatty tissue, muscle, brain, kidney, liver), or sites of low renewal inside tissues which contain cells which quickly proliferate (wall of the intestine). Usual tissue lesions are fibrosis, necrosis, atrophy, and vascular damage. Late effects develop through complex processes which interact together [\[20\]](#page-89-11) and which are not currently fully understood, in particular regarding the role of cell death. Cells are part of a community in which the members depend on each other and contribute individually to the functioning of the tissue and the whole body. The production and release of cytokines and growth factors are an integral part of the response to irradiation and lead to an adaptive response and to the infiltration of cells related to the mechanism of healing. This process is perpetuated by cell death and consequent loss of cells, by the deregulation of interactions between surviving cells, and by the hypoxia induced by the vascular damage.

The most common pathological changes in patients treated by radiotherapy take place in epithelia (parenchyma), stroma (mesenchyma) and the vasculature [\[21\]](#page-89-12). Epithelial changes include mainly atrophy, necrosis and ulceration. Necrosis and ulceration generally cohabit and precede atrophy. It is unusual to observe necrosis without ulceration, except in the white matter of the central nervous system. Atrophy is characterized by a progressive decrease in the number and volume of epithelial cells. Any tissue which contains epithelial cells can present atrophic changes (skin, subcutaneous tissue, gastrointestinal system, genitourinary organs, respiratory tracts, breast, and salivary glands). Stromal changes include mainly fibrosis. Fibrosis is a common sequela of both cancer treatment by radiotherapy and accidental

irradiation and has been described in many tissues including skin, lung, heart and liver. The underlying mechanisms of the radiation-induced fibrosis have yet to be elucidated in detail [\[22\]](#page-89-13). The most frequent sites of radiation-induced fibrosis are head and neck regions, breast, genitourinary and gastrointestinal tissues. Some organs, such as the central nervous system and the lens of the eye, rarely develop fibrosis. This is also the case for bone marrow, which responds with serous atrophy and fatty replacement, but rarely fibrosis. Finally, irradiation of the liver and bone induces necrosis rather than fibrosis. Vascular changes contribute to secondary damage of the organ because of decreased perfusion which induces ischemia, necrosis, ulceration, or fibrosis. Endothelial cells are the most radiosensitive cells of the vasculature, but the size of blood vessels determine their susceptibility to the radiationinduced damage. The most common morphological changes are damage to the endothelium, intimal hyperplasia, vascular wall fibrosis and microthrombus formation [\[16\]](#page-89-7).

In conclusion, radiation-induced morphologic alterations can be seen in nearly every organ, vary from organ to organ, and can be broadly categorized as injury of epithelial, stromal and vascular tissues. Diagnosis and prognosis of tissue and organ toxicities remain a challenge in the field of radiation research, in particular because all organs are likely to respond differently to ionizing radiation, and since ionizing radiation mostly affects several organs and tissues at the same time.

4.3 Overview of Serum and Plasma Proteome Research and Application to Human Disease Detection

Omics dominates the list of new techniques available to the researcher studying almost any biological system. Rapid developments in technology platforms coupled with high-throughput techniques allow researchers to measure many analytes from many samples simultaneously, generating vast amounts of data. The fields of
bioinformatics and multivariate statistics, coupled with increasing computational power, give the researcher solutions to problems associated with data-mining as well as opportunities for research and development of novel solutions.

Proteomic methodologies offer exciting opportunities for discovering diagnostic and prognostic markers of a number of important diseases. Single disease biomarkers have the shortcomings of insufficient sensitivity and specificity, which may be enhanced by the measurement of a combination of biomarkers, discovered using proteomics. Proteomics aims to describe and characterize all proteins expressed in a biological system. In theory, the comparative proteomic approach enables measurement of the level of hundreds or thousands of proteins at the same time, without any a priori information about the identity of proteins. In addition, the results can be analyzed using statistical multivariate tools, to determine the smallest number of variables (set of proteins) required for the discrimination of different groups of individuals.

Proteomics technologies are broadly characterized as tools designed to examine the expression of proteins in a given set of samples. Types of information derived from proteomics technologies include protein identity, quantity, interactions, structure, and post-translational modification. The nature of the proteome presents a series of challenges that limit the ability of any single technology to assay and characterize it completely. The main problem is the heterogeneity of proteins. Proteins can be large or small, globular or compact, hydrophobic or hydrophilic, basic or acidic. Post-translational modifications such as glycosylation, lipidation or phosphorylation can have dramatic effects on how the proteins behave in biochemical assays. A second challenge of the proteome is the extraordinarily wide dynamic range of protein expression, which is estimated to be more than 10^{12} [\[23\]](#page-89-0).

Human body fluids are all precious resources for discovery of human disease biomarkers, and in particular for radiation biomarker discovery. They include serum, plasma, urine, lymph, cerebrospinal fluid, saliva, bronchoalveolar lavage fluid, synovial fluid, nipple aspirate fluid, tear

fluid and amniotic fluid [\[24\]](#page-89-1). Body fluids contain a large number of proteins possibly modified in a variety of forms. The variability of body fluid proteomes is huge due to their complexity and must be considered in the design of the experiment. The urine proteome has been investigated for radiation biomarker discovery [\[25\]](#page-89-2) and constitutes the scope of the Sect. 3.5. Urine has the great advantage over serum and plasma of being collected easily in a non-invasive manner. However, blood samples are mostly considered homogeneous when compared with saliva or urine, the compositions of both of which are rather dependent on fluid flow rates.

Serum and plasma proteins originate from a variety of tissues and blood cells as a result of secretion or leakage, but almost all the plasma proteins are synthesized in liver except gamma globulins. Serum and plasma protein levels reflect human physiological or pathological states and can be used for disease diagnosis and prognosis [\[26,](#page-89-3) [27\]](#page-89-4). Plasma contains a huge number of proteins differing by a dynamic range of at least 12 orders of magnitude [\[26\]](#page-89-3). Many of these proteins are post-translationally modified (mostly glycosylated), adding to the diversity and complexity of serum and plasma proteins. Partially degraded proteins or protein fragments add variability to the complexity of the serum and the plasma proteomes [\[27\]](#page-89-4). There are an increasing number of publications related to serum and plasma proteomics and biomarkers (Fig. [4.1\)](#page-73-0), showing that the scientific community extensively trusts in proteome research for biomarker discovery studies.

Serum and plasma protein compositions differ greatly [\[28\]](#page-89-5). As a consequence of the coagulation process in the preparation of the serum, fibrinogen and associated proteins such as highmolecular-weight von Willebrand factor are removed from plasma and variable addition of cellular secretion products can occur. Whether to use serum or plasma for proteome analysis is a question still to be solved. The Human Proteome Organization (HUPO) Plasma Proteome Project (PPP) Specimens Committee concluded that plasma was preferable to serum due to less degradation ex vivo [\[29–](#page-89-6)[31\]](#page-89-7). However, sera are

Fig. 4.1 Increasing number of publications in the field of serum/plasma proteomics and biomarker discovery. The histogram illustrates the number of hits for serum/plasma proteomics (*dark green bars*) and for serum/plasma proteomics and biomarkers (*light green bars*) in PubMed [\(http://www.ncbi.nlm.nih.gov/pubmed\)](http://www.ncbi.nlm.nih.gov/pubmed) using the respective combinations of keywords : (1) "(serum AND proteome) OR (serum AND proteomics) OR (serum AND proteomic) OR (plasma AND proteome) OR (plasma AND proteomics) OR (plasma AND pro-

frequently used as archived specimens, so there is still debate on whether serum or plasma should be used for biomarker discovery using proteome analysis.

A major challenge of proteomic analysis of serum and plasma is the low abundance proteins. The main serum and plasma proteins are albumin (more than 50% of the whole content), present at high concentration, immunoglobulins, fibrinogen (only in plasma), transferrin, haptoglobin, alphaantitrypsin and lipoproteins. Fibrinogen is easily removable by clotting. Several methodologies have been reported to remove high-abundance proteins prior to proteome analysis [\[32–](#page-89-8)[35\]](#page-89-9). Unfortunately, these methods lead to the disappearance of many proteins and peptides with potential clinical relevance. The serum and plasma

teomic)"; (2) "((serum AND proteome) OR (serum AND proteomics) OR (serum AND proteomic) OR (plasma AND proteome) OR (plasma AND proteomics) OR (plasma AND proteomic)) AND (marker OR markers OR biomarker OR biomarkers OR bioindicator OR bioindicators)". The number of publications has regularly increased during the last decade and perhaps started to stabilize in 2011, reaching a maximum of 900–1,000 publications a year for serum/plasma proteomics, and 400–500 publications a year for serum/plasma proteomics and biomarkers

proteome is a dynamic entity influenced for instance by sleep, sport training, diet, and pregnancy. It is therefore of importance to set up the best preanalytical conditions when mining the serum/plasma proteome and to standardize the conditions of collection to enable comparative studies.

Great progress has been achieved in characterization of the serum/plasma proteome [\[36,](#page-89-10) [37\]](#page-89-11). Recently, Farrah et al. used advanced computational methods, developed for the analysis and integration of very large and diverse data sets generated by tandem mass spectrometry (MS/MS) measurements of tryptic peptides, to compile a high-confidence human plasma proteome reference set with well over twice the identified proteins of previous high-confidence sets [\[38\]](#page-89-12). They identified 20,433 distinct peptides, from which they inferred a highly non-redundant set of 1,929 protein sequences. They have made this resource available via PeptideAtlas, a large, multiorganism, freely accessible compilation of peptides identified in MS/MS experiments conducted by laboratories around the world [\(www.peptideatlas.org\)](www.peptideatlas.org) [\[39\]](#page-89-13).

The low-molecular-weight (LMW) fraction of the serum/plasma proteome is also an invaluable source of information in the context of identifying blood-based biomarkers of disease. Peptidomics aims to analyze the peptidome and to discover LMW biomarkers of human diseases [\[40\]](#page-89-14). The LMW fraction is obtained after the removal of high-molecular-weight proteins using physical methods such as ultrafiltration, gel chromatography and precipitation [\[41\]](#page-89-15). The LMW fraction is made up of several key proteins such as cytokines, chemokines, peptide hormones, as well as fragments of larger proteins. Many components of the LMW fraction of serum/plasma are bound to specific carrier proteins, albumin and non-albumin carriers, which may yield essential information [\[42,](#page-90-0) [43\]](#page-90-1).

Many proteins are glycosylated in the serum and the plasma. Changes in the extent of the glycosylation and the glycan structures of proteins have been linked to cancer and other disease states, highlighting the clinical importance of these modifications as an indicator of pathology. The whole glycosylated status of tissues, cells or body fluids can be studied using emerging glycomic and glycoproteomic tools [\[44\]](#page-90-2), whose great potential for deepening understanding of diseases and for the discovery of new biomarkers is now clearly established [\[45](#page-90-3)[–48\]](#page-90-4). This contribution is essentially due to technical advances made with mass spectrometry-based investigations [\[49,](#page-90-5) [50\]](#page-90-6).

A general scheme for the finding of serum or plasma biomarkers is depicted in Fig. [4.2.](#page-75-0) The ultimate step will be to design a clinical test for the diagnosis or the prognosis of the disease. The first step is the identification of a set of proteins from serum or plasma that discriminate classes of samples from each other. Proteomic tools and statistical and computational methods are at the core of this step since they allow collection and assembly of the few variables, among thousands, that are sufficient to discriminate several classes of samples statistically. All proteomic methodologies are based on the same principle, which is the separation of proteins or peptides prior to analysis by mass spectrometry (MS) or antibody array. In the classic two-dimensional electrophoresis method (gel-based method), significantly improved by the use of fluorescent dyes (two-dimensional in-gel differential gel electrophoresis, 2D-DIGE), the proteins are separated in polyacrylamide gels according to their charge (isoelectric focusing, IEF) and molecular mass and shape (SDS-PAGE). Protein variants are then recognized by software-based image and statistical analysis, and identified by MS analysis. In higher throughput methods (gel-free methods), complex protein or peptide mixtures are separated by multidimensional liquid chromatography (LC) (shotgun proteomics) or by affinity surfaces or beads (ProteinChip®, CLINPROT™) prior to direct analysis by tandem mass spectrometry (LC-MS/MS) or by time-offlight mass spectrometry (surface-enhanced laser desorption/ionization time-of-flight MS, SELDI-TOF-MS). In gel-free methods, because of upper limits on mass detection of mass spectrometers, proteins need to be digested into peptides and the peptides are then separated, identified and quantified from this complex enzymatic digest. The problem in digesting proteins first and then analyzing the peptide cleavage fragments by mass spectrometry is that huge numbers of peptides are generated and this prevents direct mass spectrometry analyses. The liquid chromatography approach to proteomics allows fractionation of peptide mixtures to enable and maximize identification and quantification of the component peptides by mass spectrometry. Finally, antibody-based proteomics can also be used to discover new serum/plasma biomarkers of diseases, but in this case the assay will greatly depend on available antibodies. The next step is the confirmation of the changes found by other methods, usually using antibodybased assays like ELISA, Luminex™ system or Western-blot. The identified candidate markers

Fig. 4.2 Application of proteomics technologies to expression profiling of radiation exposure and radiation tissue toxicity using serum and plasma samples. Protein profiling is currently achieved using three main methodologies: gel-based proteomics, MS-based (or gelfree) proteomics, and antibody-based proteomics. Prior to proteomics analysis, protein samples are preferentially fractionated in order to reduce their complexity, thus increasing the chance of the identification and quantification of less abundant proteins. In turn, multifractionation of serum/plasma proteins leads to a huge increase in the number of fractions to analyze, which is incompatible

with current times of analysis using proteomics tools, in particular if huge numbers of samples have to be analyzed (clinical studies). Once proteins are identified, the use of multivariate statistics is the only solution to improving sensitivity and specificity of radiation exposure detection and tissue toxicity prediction. Software based on text-mining algorithms can be used to explore molecular pathways and to propose new target proteins related to identified and quantified proteins. Candidates have to be validated, mostly by using antibody-based assays prior to devising a clinical test likely based on antibody detection

can alternatively be verified using quantitative multiple reaction monitoring (MRM) MS which has improved both the specificity and sensitivity of MS-based assays. Once the protein variants have been validated, the last step will be the design of a multiparametric test for clinical application. Such tests will be based on antibody recognition and performed using the Luminex™ system or antibody microarrays, which are described below. The use of SELDI-TOF technology for fast protein profiling of biological samples also remains a promising technique with clinical applications [\[51,](#page-90-7) [52\]](#page-90-8).

Multiplexed proteomics platforms, which often consist of prefractionation, multidimensional separations, and MS/MS techniques, are required to achieve a more widespread analysis. Prefractionation of serum/plasma proteins can be performed by using a number of separation techniques, including reverse phase-LC (RP-LC), strong cation exchange (SCX) chromatography, size-exclusion chromatography (SEC), anion exchange chromatography (AEC), anion displacement liquid chromatofocusing chromatography, 1D-SDS-PAGE (slices of gel bands), membranebased electrophoresis (Gradiflow™ electrophoresis technology), free flow electrophoresis (FFE), liquid-phase IEF, and chromatofocusing-RP-LC (PF2D) [\[53–](#page-90-9)[60\]](#page-90-10). In order to enhance the resolving power, these techniques can be multiplexed, for instance by combining SEC with AEC or solution IEF with SDS-PAGE [\[53,](#page-90-9) [57,](#page-90-11) [59\]](#page-90-12). Combining techniques to fractionate samples is ideally the best manner to study the proteome, but by multiplying the fractions it is time-consuming. Therefore, it cannot realistically be envisaged in most cases in which a high number of technical and biological replicates will have to be analyzed.

The Luminex™ 100 system is a powerful tool for simultaneous quantification and analysis in multiple expression profile monitoring of disease-responsive proteins. It is a multiplex fluorescence flow microsphere system capable of simultaneously analyzing multiple analytes within a sample, including proteins and nucleic acids [\[61,](#page-90-13) [62\]](#page-90-14). For protein analysis, antibodies to specific proteins of interest are attached to microspheres (beads) that are internally dyed

with red and orange fluorochromes emitting light of two different wavelengths. The ratio of the two fluorochromes can be varied and the system's digital signal processor can differentiate each bead set based on the fluorescent emission ratio. The Luminex™ system enables up to 100 different bead sets to be distinguished and, thus, by labeling each bead set with an antibody, up to 100 proteins can theoretically be analyzed simultaneously. Using a capture sandwich assay format, a reporter antibody labeled with a third fluorochrome binds to the analyte captured on the beads. Upon excitation by a laser, the intensity of the fluorescent signal emitted by the reporter fluorochrome is proportional to the amount of protein bound to the bead. The microspheres are analyzed by a flow cytometer that detects the intensities of all three fluorescent signals for each bead that passes. The multiplexed assay format does not lose accuracy and sensitivity in comparison with single analyte assays. The Luminex[™] system is flexible, scalable, clinically approved, and allows the development of new specific tests. The technology is therefore promising for biomarker discovery and for clinical applications at the end of the proteomic biomarker discovery pipeline.

Protein arrays are also very interesting assays either to explore biological systems or to design specific chips for clinical applications. They are solid-phase ligand-binding systems using proteins immobilized on various surfaces such as glass, membranes, mass spectrometer plates, beads or particles. An antibody array is a very common format of protein array in which antibodies are covalently bound to surfaces and used to detect target molecules in complex samples using direct labeling or dual antibody sandwich assays [\[63,](#page-90-15) [64\]](#page-90-16). Antibody arrays can also be employed for the profiling of glycan structures on proteins [\[65\]](#page-90-17). In this case, antibody arrays capture multiple specific proteins directly from biological samples, and lectin- and glycanbinding antibodies probe the levels of specific glycans on the captured proteins.

The current limitation of Luminex™ and protein array technologies for protein analysis remains the difficulty of developing large-scale multiplexed arrays due to variable qualities of antibodies, cross-reactivities between different antibodies, and the huge dynamic range of protein concentrations in serum and plasma. Moreover, the expression and purification of immobilized proteins remains a time-consuming and laborious process.

One of the central applications of serum/ plasma proteome analysis is to discover biomarkers for human cancer detection. Proteomics tools have been used extensively for the identification of serum biomarkers of different types of cancers such as prostate cancer, colorectal cancer, brain tumor, breast cancer, ovarian cancer, lung cancer, liver cancer, pancreatic cancer and gastric cancer, as reviewed by Hu et al. [\[24\]](#page-89-1) and Ray et al. [\[66\]](#page-90-18). Antibody array for serum profiling has been well demonstrated in human cancers such as prostate, pancreatic and lung cancers [\[67–](#page-90-19)[69\]](#page-90-20). A specific array for pancreas, liver, ovarian and gastrointestinal tumors has been applied to the detection of these different tumors in 15,867 individuals, revealing 16 cases afterwards confirmed as having cancers [\[70\]](#page-90-21). Cancer-associated glycan alterations of proteins in the serum of pancreatic cancer patients have been revealed by using antibody array for glycan structures [\[65\]](#page-90-17).

Another interesting application of serum/ plasma profiling using proteomics is the detection of autoimmune diseases, such as systemic lupus erythematosus, rheumatoid arthritis, multiple sclerosis, Crohn's disease, ulcerative colitis (bowel diseases). Serum/plasma profiling has also led to proposal of candidate biomarkers for infectious diseases, such as tuberculosis, severe acute respiratory syndrome, hepatitis and leprosy, and for other human diseases such as stroke, heart diseases, non-alcoholic fatty liver disease, liver fibrosis, liver cirrhosis, diabetic nephropathy, Down syndrome, sarcoidosis, failure of blood–brain or blood-cerebrospinal barriers, and schizophrenia. All these proteomicbased approaches for the identification of biomarkers have been well reviewed by Hu et al. [\[24\]](#page-89-1) and more recently by Ray et al. [\[66\]](#page-90-18).

Despite many challenges, serum and plasma proteomics, and more widely body fluid proteomics, is still one of the most promising approaches to disease biomarker study. However, biomarker discovery requires a robust statistical strategy for sample size calculation, data analysis and prediction modeling. Determining sample size is an important issue because too small size sampling may lead to inaccurate results. A major difficulty in regard to statistical prediction modeling is that there is no single pathognomonic feature that characterizes many human diseases such as cancers and systematic diseases, and particularly radiation diseases. Models built with several biomarkers will therefore often increase the statistical power of the study $[71-73]$ $[71-73]$.

4.4 Current Knowledge About Proteins Differentially Expressed in Serum and Plasma Following Irradiation

4.4.1 Overview

Three serum/plasma biomarkers have been clinically validated and can be used in the diagnostic evaluation of radiation exposure (amylase), or in the evaluation of radiation injury to the bone marrow (Flt-3-ligand) or to the small bowel (citrulline). Amylase is an indicator of radiation damage to the parotid gland [\[74,](#page-91-0) [75\]](#page-91-1), Flt3-ligand (Flt3-L) is an indicator of bone marrow damage [\[76\]](#page-91-2) and the amino acid citrulline is used as a physiologic marker for epithelial radiation-induced small bowel damage [\[77\]](#page-91-3). These biomarkers are, however, not sufficiently sensitive for a fast and accurate triage, especially if individuals are assessed more than 48 h after exposure. Moreover, there is no approach today that indicates the diagnosis or the prognosis of radiation tissue toxicity for tissues other than bone marrow and intestine.

We recently reviewed current knowledge on protein biomarkers for radiation exposure and concluded that proteomics and multivariate approaches are essential as new investigation tools for the discovery of multiparametric biomarkers either for radiation exposure or for radiation tissue toxicity [\[78\]](#page-91-4). In the quest for radiation biomarker discovery, the overall purpose is to

identify a set of variables that altogether allow classification of individual samples. It is essential to differentiate exposed from non-exposed individuals and between samples from people suffering from a radiation injury and samples from people who are not. Univariate variable assessment is simple to perform, but in most complex biological conditions it is unusual to find a single variable that is both sensitive and specific for a given exposure condition. For example, a number of acute phase reactant proteins are increased in serum/plasma or tissue of animals following local skin irradiation [\[79–](#page-91-5)[84\]](#page-91-6), but these are generally not considered to be specific to radiationinduced skin injury. Using multiple variables simultaneously is a common method to overcome the limitation of single markers. Multivariable analysis is therefore an important component of any study aimed at identifying biomarkers. Multivariate analysis can be divided into two general classes: supervised and unsupervised. Supervised learning requires that information regarding the outcome (class assignment) is available and is useful in developing a diagnostic tool during the development of a classification model. Unsupervised learning does not have such a requirement and is more powerful for data exploration.

We review here all published studies to our knowledge that employed proteomics methods to discover serum or plasma proteins related to radiation exposure or toxicity. Review of the literature shows that two main goals appear to be pursued when searching for radiation biomarkers. The first goal concerns radiological accidents or malevolent use of a radiological or nuclear device, with the aim of estimating the level of radiation exposure of an individual and if possible specifying exactly the dose of exposure (biodosimetry). In this case, the estimation of an overall dose is important for the rapid triage of exposed and non-exposed individuals. The second goal is related to the assessment and prediction of normal tissue toxicity before, during and after a treatment by radiotherapy. On the one hand, the small percentage of patients who are hypersensitive to radiation should be identified before the treatment. This may allow delivery of higher radiation doses to patients who are

not hypersensitive, thus improving local tumor control and patient survival, for example in non-small cell lung cancer (NSCLC) [\[85\]](#page-91-7). On the other hand, it may allow personalized measures of clinical response to radiotherapy and rapid response measures for populations exposed to high doses of radiation. Further refinement of the methods may lead to personalized molecular medicine approaches directly applicable to future clinical trials and patient care, with the aim of escalating the radiation dose to tumors while avoiding toxicity for maximized therapeutic gain.

We identified 11 studies (10 articles and a patent application) in which proteomics was used to discover new radiation biomarkers for radiation exposure or tissue toxicity [\[84,](#page-91-6) [86](#page-91-8)[–95\]](#page-91-9). In addition, 6 studies used the multiassay of proteins using the Luminex™ system to assay several cytokines in most cases—to study serum/plasma proteins following irradiation or to discover new protein biomarkers of exposure or tissue toxicity [\[96](#page-91-10)[–101\]](#page-91-11). Table [4.1](#page-79-0) lists and summarizes studies that have looked for serum/plasma protein variations following radiation exposure, and emphasizes the principal proteins studied or identified in these studies. Of these 17 studies, 5, including the patent application, were interested in the discovery of biomarkers of radiation exposure [\[89,](#page-91-12) [91,](#page-91-13) [93,](#page-91-14) [95,](#page-91-9) [100\]](#page-91-15), while the 12 others looked for biomarkers of normal tissue toxicity, generally as a consequence of a treatment by radiotherapy [\[84,](#page-91-6) [86–](#page-91-8)[88,](#page-91-16) [90,](#page-91-17) [92,](#page-91-18) [94,](#page-91-19) [96–](#page-91-10)[99,](#page-91-20) [101\]](#page-91-11). In the latter case, eight papers were on lung toxicity (i.e. pneumonitis or lung fibrosis) during and after radiation therapy [\[86,](#page-91-8) [87,](#page-91-21) [90,](#page-91-17) [94,](#page-91-19) [96,](#page-91-10) [98,](#page-91-22) [99,](#page-91-20) [101\]](#page-91-11), one paper was on skin radiation injury [\[84\]](#page-91-6), one on injury to several tissues (acute gastrointestinal and genitourinary toxicities) following radiotherapy for the treatment of prostate cancer [\[97\]](#page-91-23), one on liver radiation injury [\[88\]](#page-91-16), and one on acute mucosal reaction associated with radiotherapy [\[92\]](#page-91-18).

4.4.2 Radiation Exposure

Biomarkers of radiation exposure have been investigated with different proteomics methods

(continued) (continued)

and models (Table [4.1\)](#page-79-0). The potential use of proteomics has been explored in patients with cancer before and during radiotherapy in an effort to discover clinical biomarkers of radiation exposure [\[89\]](#page-91-12). SELDI-TOF-MS was used to generate high-throughput proteomic profiles of serum samples. The proteomic profiles were analyzed for unique biomarker signatures using supervised classification methods. In the same work, MSbased protein identification was done on patient serum to identify specific protein fragments that are altered following radiation exposure. Computer-based analyses of the SELDI-TOF protein spectra could distinguish unexposed from radiation-exposed patient samples with 91–100% sensitivity and 97–100% specificity using various classifier models. The method also showed an ability to distinguish high from low dose-volume levels of exposure with a sensitivity of 83–100% and specificity of 91–100%. Using direct identity techniques of albumin-bound peptides, 23 protein fragments and/or peptides were uniquely detected in the radiation exposure group, including an IL-6 precursor protein. This is the most interesting study that has been published since 2006 because it was performed in a rather large cohort of human patients, whatever the irradiated site and the dose received, using two complementary methods, and by investigation of the albumin-bound proteome. However, to date this promising study was not followed up by the publication of other papers. It would have been interesting, in particular, to measure uniquely detected protein fragments and/or peptides in the blood of the patients, and to identify spectral components of the SELDI-TOF analysis.

A recent paper has reported the use of a similar strategy to look for radiation exposure biomarkers in the serum of patients treated by radiotherapy for larynx cancer [\[95\]](#page-91-9). The LWM fraction of the serum proteome (2,000–13,000 Da) was analyzed by MALDI-TOF-MS. Mass profiles of serum samples collected several weeks after the end of the treatment revealed changes compared with earlier time-points. The authors concluded that features of the serum proteome are potentially applicable as a retrospective marker of exposure to ionizing radiation and could be applicable in radiation dosimetry. However, the potential association of dose and serum feature of this preliminary study lacked strong statistical significance, likely because of the small number of patients analyzed. Furthermore, this work only identified spectral mass components, and not proteins, which means that this study is not easily applicable.

Protein biomarkers of radiation exposure have also been sought using proteomics tools in animal models. Rithidech et al. exposed mice to a whole-body dose of 3 Gy in an attempt to find differentially expressed plasma proteins at days 2 and 7 post-irradiation using 2D-GE coupled to mass spectrometry [\[93\]](#page-91-14). The majority of proteins with significantly altered expression levels were acute phase proteins (APPs), suggesting an inflammatory response. This inflammatory response could continue from day 2 to day 7 post-exposure. Changes in expression of certain proteins (gelsolin, clusterin, HMW kininogen, α -2-HS glycoproteins) were detected at both time-points and could be dependent on radiation dose and time postexposure. However, validation is needed to postulate that these changes in expression levels may be indicative of radiation exposure. In addition, a patent was filed in 2009 in the USA covering the use of novel radiation-associated markers to assess radiation exposure [\[91\]](#page-91-13). The invention relates to new protein biomarkers that may be present after exposure to ionizing radiation and to methods of assessing exposure to ionizing radiation as well as diagnostic tests and kits for evaluating exposure to ionizing radiation. The radiation-associated markers may be one or more of albumin, LTGF- β , or any protein or peptide listed in any one of the Tables provided in the patent application (read more on [www.faqs.org/patents/app/20090289182#](www.faqs.org/patents/app/20090289182#ixzz1l7qHYDDu) [ixzz1l7qHYDDu\)](www.faqs.org/patents/app/20090289182#ixzz1l7qHYDDu). The invention also provides methods of assessing exposure to ionizing radiation by determining the presence of one or more radiation-associated markers. Differentially expressed proteins have been searched for in tongue and buccal swabs of mice after 1–3 Gy irradiation of the head or the whole body, 15 min, 30 min and 1 h post-irradiation. MALDI-TOF-

MS/MS and MALDI imaging generates lists of peptides of which some identified proteins like albumin and TGF- β . The inventor claims that the levels of these proteins and peptides may be evaluated in saliva, a buccal swab, amniotic fluid, plasma, serum, urine or blood. The patent covers a broad panel of applications like the amount of radiation therapy that has been delivered to a particular tissue, kits for assessing the likelihood of significant damage, death, illness or medical complications after exposure to elevated levels of ionizing radiation, etc.

High-throughput antibody-based assays have been performed in an effort to look for differentially expressed proteins after irradiation. Partridge et al. [\[100\]](#page-91-15) systematically examined the most promising candidate antigens of the 260 proteins that had been reported to be responsive to radiation [\[102\]](#page-91-24). They used 10 cultured human cells (normal cell lines in preference to tumor cell lines), including hematopoietic cells, to detect changes in expression of 12 secreted proteins using ELISA as the detection system. All cell lines were exposed to moderate to high levels of γ -radiation (2, 5 or 10 Gy) and the culture media were tested for secreted proteins. Subsequently, they assessed whether the cells increased the expression of these proteins after irradiation, or if cells that were initially negative could be induced to express the protein above the threshold of detection, identifying only IL-6 as a significantly secreted cytokine. After this systematic in vitro screen, they measured changes at 6 h after irradiation in the level of a subset of these candidate proteins in plasma from C57BL/6 mice wholebody irradiated with a single radiation dose of 5 Gy. They found that IL-6, IL-1 β , TNF- α , and TGF- β levels were altered after radiation exposure. This work highlighted the potential for IL-6 as a marker for an immunoassay-based highthroughput biodosimeter. The next step of this work would be to assess the ability of IL-6 to serve as a radiation biomarker at different timepoints after exposure to different doses of irradiation. It will then be critical to confirm efficacy of these assays in larger populations and in human patient samples.

4.4.3 Radiation Tissue Toxicity

The lung is the most investigated organ for the study of radiation tissue toxicity because radiotherapy is extensively used for the treatment of lung cancer. Most studies have used human samples, serum or plasma, collected before, in the course of, or after radiotherapy, and have looked for predictive biomarkers of lung toxicity or markers of radiation-induced lung toxicity (Table [4.1\)](#page-79-0).

In some studies, the multiplex suspension bead array system (Luminex™ system) was used to simultaneously profile multiple proteins induced by ionizing radiation. In 2005, Hart et al. evaluated the level of 17 cytokines in the plasma of patients before the initiation of radiotherapy [\[98\]](#page-91-22). Patients with lower levels of plasma IL-8 before radiation therapy might be at increased risk for developing lung injury. Significant correlations were not found for any other cytokine in this study, including TGF- β , which appeared to correlate with lung toxicity when evaluated during radiotherapy [\[103\]](#page-92-0). However, further studies are necessary to determine whether IL-8 levels are predictive of radiation-induced lung injury in a prospective trial and whether this marker might be used to determine patient eligibility for dose escalation. Designed to predict radiation lung toxicity, blood biomarkers have been used as a potential strategy to individualize thoracic radiation therapy [\[99\]](#page-91-20). The authors reviewed data associated with cytokines and updates of proteomic and genetic polymorphisms in radiation lung toxicity. They demonstrated significant values of cytokines such as TGF- β 1, IL-6, KL-6, surfactant proteins, and IL-1ra in predicting radiationinduced lung toxicity. According to the authors, biomarkers or models that can accurately predict radiation-induced lung damage at an early stage, before completion of chemoradiotherapy, could allow physicians to monitor and customize the treatment to each patient. In their review, Kong et al. also presented their own unpublished results on the assessment of 29 cytokines in the plasma of patients for correlation with radiation-induced lung toxicity. The cytokines that generated a

radiation damage protective effect such as IL1ra and the ratio of IL-1ra to IL-1 β were significantly higher during the course of the treatment for patients without lung toxicity. The cytokines stimulating radiation damage such as MCP-1 and IP-10 often have more significant radiationinduced elevation at 4 weeks during the course of radiation in patients with lung toxicity several months after completion of treatment. Another study profiled 22 cytokines in the lung tissue homogenates, BALF, and serum from 3, 6, 12, 24 h to 1 week after 12 Gy whole-lung irradiation of mice sensitive (C57BL/6) and resistant (C3H) to lung fibrosis [\[96\]](#page-91-10). Radiation induced earlier and greater temporal changes in multiple cytokines in the pulmonary fibrosis-sensitive mice, particularly for G-CSF, IL-6, KC, MCP-1, and IP-10. In addition, a positive correlation between serum and tissue levels suggested that blood may be used as a surrogate marker for tissue. An additional study investigated the prognostic value of TNF- α , IL-1 β , IL-6 and TGF- β 1 plasma levels in predicting radiation pneumonitis [\[101\]](#page-91-11). The results of this study did not confirm that cytokine plasma levels, either absolute or relative values, identify patients at risk for radiation pneumonitis. In contrast, the clear correlations of IL-6 and $TGF- β 1 plasma levels with cytokine production$ in corresponding tumor biopsies and with the individual tumor responses suggest that the tumor is the major source of circulating cytokines in patients receiving radiation therapy for advanced NSCLC. This study indicates that further studies are necessary to identify reliable predictors of adverse radiotherapy effects.

Several studies have used the potential of gel-free proteomics to investigate biomarkers of early or late injury to the lung in the course of or following radiotherapy in humans. I. El Naqa and collaborators have coupled bioinformatics methods and shotgun proteomics (LC-MS/MS) to identify biomarkers of radiation-induced lung inflammation. In 2009, their preliminary results identified mass spectral components related to radiation pneumonitis [\[94\]](#page-91-19). Their proteomics strategy could have identified biomarkers relevant to the inflammation response. According to their paper, they are currently investigating

incorporation of these biomarkers into their existing dose-volume model of radiation pneumonitis to improve its predictive power and potentially to demonstrate its feasibility for individualization of radiotherapy of NSCLC patients. In 2011, they proposed a bioinformatics approach for biomarker identification in radiation-induced lung inflammation from limited proteomics data [\[90\]](#page-91-17). On the basis of the proposed methodology, α -2-macroglobulin (α 2M) was ranked as the top candidate protein. They also found many other proteins, most of them related to inflammation, such as complement components, hemopexin and α -1-antichymotrypsin. The authors suggested that the methodology based on longitudinal proteomics analysis and a novel bioinformatics ranking algorithm is a potentially promising approach for the challenging problem of identifying relevant biomarkers in samplelimited clinical applications. Cai et al. have also performed shotgun proteomics to identify biomarkers of radiation pneumonitis during the course of radiation therapy, or to predict, before the start of the treatment, patients at risk of developing lung toxicity. They used a multiplexed quantitative proteomics approach involving ExacTag labeling, RP-HPLC and nano-LC-MS/MS. They showed that C4b-binding protein alpha chain, complement C3, and vitronectin had significantly higher expression levels in patients with lung injury compared with patients without lung injury, based on both the data sets of radiotherapy start to 3 months post-radiotherapy and radiotherapy start to the end of radiotherapy [\[86\]](#page-91-8). In another paper, they also identified C4bbinding protein alpha chain and vitronectin, with the same methodology, as markers predictive of patients at risk and found that the inflammatory response probably plays an important role [\[87\]](#page-91-21).

We developed in our laboratory a proteomic approach using an animal model in mice to look for biomarkers of skin damage after local irradiation [\[84\]](#page-91-6). In this model, mice developed reproducible clinical signs on the skin ranging from erythema to necrosis. Global proteomics approaches were used to look for serum proteins altered in expression during the early postirradiation phase. 2D-DIGE coupled with mass

spectrometry was used to investigate proteins altered in expression and/or post-translational modifications in serum. Proteome changes were monitored from 1 day to 1 month postirradiation, at a dose of 40 Gy. About 60 proteins (including some isoforms and likely post-translational variants), representing 20 different proteins that exhibited significant and reproducible kinetic expression changes, were identified using mass spectrometry and database searches. Several proteins, down- or up-regulated from day 1, could prove to be good candidates to predict the progression of a skin lesion such as necrosis. Identified proteins are mainly related to inflammation and the acute phase response (e.g. α 1-antitrypsin, ApoA-1, complement components, haptoglobin, hemopexin), coagulation (e.g. antithrombin III, thrombospondin, kininogen I, murinoglobulin 1) and are known to play a role in wound repair. Changes in APPs of irradiated mice, as pointed out by Chen et al. [\[82\]](#page-91-25), may serve as antioxidant and anti-inflammatory factors to reduce the damage to skin. Variation in the levels of proteins involved in the coagulation system may reflect the severe vascular injury caused by irradiation to the vasculature [\[16\]](#page-89-16), and particularly to the endothelium. We also observed shifts in isoelectric point (p*I*) of several spot trains, revealing potential post-translational changes which could also serve as indicators of irradiation or as predictors of lesion severity. In our current work, we have shown that high doses of irradiation of the skin led to changes in the number of glycan structures carried by serum proteins [\[114\]](#page-92-1). Using a large-scale quantitative mass spectrometry-based glycomic approach, we performed a global analysis of glycan structures of serum proteins from nonirradiated and locally irradiated mice exposed to different high doses of γ -rays (20, 40 and 80 Gy). Unsupervised descriptive statistical analyses (principal component analysis) using quantitative glycan structure data allowed us to discriminate between uninjured/slightly injured animals and animals that developed severe lesions. Decisional statistics showed that several glycan families were down-regulated while others increased,

and that particular structures were statistically significantly changed in the serum of locally irradiated mice. Our results suggest for the first time a role of serum protein glycosylation in the response to irradiation. These protein-associated glycan structure changes may signal radiation exposure or effects. Overall, our results indicate that the serum proteome and glycome contents are readily modified after local irradiation of the skin, showing that their investigation can be of great relevance in identifying diagnostic or prognostic bioindicators. Our goal is now to define sets of serum proteins whose variations could help to predict the severity of the upcoming lesion.

Other tissue toxicities have also been investigated in the context of normal tissue damage initiated by radiotherapy (Table [4.1\)](#page-79-0). Multiplex suspension bead arrays (Luminex™ system) were used to investigate the serum of patients treated for prostate cancer with IMRT and to search for positive correlations with gastrointestinal and genitourinary toxicity [\[97\]](#page-91-23). The results showed a significant increase in IFN- γ and IL-6 during IMRT. Increased IL-2 and IL-1 expression were associated with increased probability of acute gastrointestinal and genitourinary toxicity, respectively. Also, predictive biomarkers of acute mucosal toxicity were sought using mass spectrometry in the LMW plasma proteome of patients treated for head and neck cancer [\[92\]](#page-91-18). Spectral components recorded in plasma samples were used to build classifiers that discriminated patients from healthy individuals with about 90% specificity and sensitivity. Four spectral components were identified whose abundances correlated with a maximal intensity of the acute reaction. Several spectral components whose abundances correlated with the rate of DNA repair in irradiated lymphocytes were also detected. Additionally, a more rapid escalation of an acute reaction was correlated with a higher level of unrepaired damage assessed by the comet assay. It was concluded that the plasma proteome could be considered as a potential source of predictive markers of acute reaction in patients with head and neck cancer treated

with radiotherapy. Animal models have also been used to find biomarkers of tissue toxicity in the context of radiotherapy. Radiation hepatic toxicity was modeled in cirrhotic rats to mimic the treatment of hepatocellular carcinoma [\[88\]](#page-91-16). Liver tissue and serum were analyzed using 2D-GE and Q-TOF-MS. Identified proteins were validated using western blotting. Histological examination showed that the degree of hepatic fibrosis was increased by radiation in liver cirrhosis. Fibrosis was associated with decreased proliferation of cell nuclear antigen and increased apoptosis. Proteomic analysis of liver tissue and serum identified 60 proteins which showed significant differences in expression between non-exposed and exposed groups. Among these, an increase of heparanase precursor and decrease of hepatocyte growth factor were shown commonly in liver tissue and serum following radiation. Hepatic fibrosis increased following radiation in cirrhotic rats. These proteins might be useful in detecting and monitoring radiationinduced hepatic injury. Lastly, SELDI-TOF may be able to identify spectral components correlated with lung toxicity in strain mice exhibiting different sensitivities to lung injury [\[99\]](#page-91-20). This study appears to show significant differences, either up-regulated or down-regulated, in protein profiles in plasma between radiation-resistant C3H mice and radiation-sensitive C57BL/6 mice well before a histopathologically detectable difference occurred. However, these results should be considered with caution since they have yet to be corroborated.

4.4.4 Conclusions

Changes in the levels of various proteins are mostly consistent with the acute phase response to tissue injury and inflammation. For instance, α 1-anti-trypsin, ApoA-I, complement components, contrapsin (a human α 1-anti-chymotrypsin homologue in mouse), haptoglobin, hemopexin, murinoglobulin 1 and serum amyloid P-component have been identified in several studies following irradiation [\[84,](#page-91-6) [86,](#page-91-8) [87,](#page-91-21) [90,](#page-91-17) [93\]](#page-91-14). APPs increase (positive APPs) or decrease (negative APPs) following different injuries, reflecting the presence and intensity of inflammation [\[104\]](#page-92-2). Exposure to ionizing radiation has previously been shown to induce the production of APPs in rat [\[80,](#page-91-26) [81\]](#page-91-27) and mouse [\[79,](#page-91-5) [105\]](#page-92-3) tissues, in the extracellular protein content of murine bone marrow [\[82\]](#page-91-25) and during radiotherapy [\[83\]](#page-91-28). The changes in APPs of irradiated mice may serve as antioxidant and anti-inflammatory factors to reduce the damage to skin [\[82\]](#page-91-25), although the exact mechanism underlying the radiation-induced acute phase response remains unclear. Blood composition is modified after exposure to ionizing radiation through the immediate release by irradiated cells of cytokines and growth factors which stimulate neighboring cells or distant cells which in turn release proteins into the extracellular environment. IL-1, IL-6, IL-8, TGF- β , TNF- α and eotaxin are the major known cytokines involved in the response to ionizing radiation [\[19,](#page-89-17) [106\]](#page-92-4). Cytokines play a role in mediating the inflammation process, and stimulate or repress APP synthesis in the liver [\[104\]](#page-92-2), assisting the repair of the tissue. Following localized radiation injury, this inflammation process is a complex and in part specific mechanism that is only beginning to be elucidated. In this regard, it is not surprising that differential expression of several cytokines in the serum and the plasma after irradiation has been identified using highthroughput antibody-based assay [\[96–](#page-91-10)[101\]](#page-91-11).

Apart from APPs, several proteins involved in the coagulation system also change in the serum after irradiation $(\alpha 1$ -anti-trypsin, contrapsin, murinoglobulin 1, kininogen I, antithrombin III, thrombospondin 1 and Pzp). These variations may reflect the intense vascular injury caused by ionizing radiation to the vasculature $[16]$, and particularly to the endothelium. A decrease of antithrombin III and murinoglobulin 1 (which belongs to alpha-2 macroglobulin), and an increase of kininogen I and thrombospondin 1 may reveal an activation of the coagulation system in response to endothelial damage.

Several other proteins also change in the serum and may account for different protective functions or pathophysiological processes. For example, we have identified [\[84\]](#page-91-6) carboxylesterase, which is involved in the metabolism of xenobiotics and of natural substrates and may eliminate toxic metabolites released by damaged tissues [\[107\]](#page-92-5), gelsolin, involved in the clearance of actin [\[108\]](#page-92-6), and meprin A, both of which afford protection against the release of components during tissue injury, MHC I H2-Q10 α chain, which is involved in the immune system and in inflammation, and peroxiredoxin 2, a peroxidase with antioxidant activities which decreases in the extracellular protein content of murine bone marrow after total-body irradiation [\[82\]](#page-91-25).

In conclusion, the many studies we have reviewed here clearly indicate that serum/plasma protein content is dynamically and reproducibly modified after exposure to ionizing radiation in various animal models and in clinical studies in human subjects. Physiologic response of the body to injury by ionizing radiation mostly involves acute phase response, coagulation and other cellular defense processes and helps our understanding of how an organism manages this highly complex response. Although it is questionable whether any of the discovered proteins are specific for radiation exposure and not just for injuries, the variations of expression of a set of these proteins, coupled with post-translational modifications, may appear to be highly specific to radiation exposure. However, more work is needed to validate these biomarkers, particularly for the prediction of radiation response. In particular, a correlation still needs to be made between biomarkers and the severity of the radiation reaction.

4.5 Concluding Remarks and Future Prospects: Molecular Networks as Sensors of Radiation Diseases?

Tissue responses to radiation injury are complex: damaging or protective, they probably result from collective and synergistic actions of

many factors. The proteomic approach makes an important contribution to revealing an image of the pathological states of every tissue exposed to ionizing radiation in the body. The search for a unique biomarker of radiation exposure or tissue toxicity has the advantage of simplicity, but makes little sense from a biological viewpoint given that radiation-induced diseases are very heterogeneous. Irradiation injuries are caused by intrinsically disturbed cells, allowing huge molecular, cellular, tissular, immunologic and systemic changes, sometimes far from the site of irradiation, such as in the liver or in the bone marrow. Transcriptomics researchers are profiling thousands of gene transcripts to generate full patterns of information. We should be able to do the same with protein biomarkers in the field of radiation research. The relative abundance of thousands of different proteins, with their cleaved or modified form, is an image of ongoing physiological and pathological events. Also, it has been demonstrated that coherent networks of genes respond to genetic and environmental perturbations and in turn influence disease-associated traits [\[109\]](#page-92-7), showing that diseases are probably emergent properties of networks rather than the result of single gene or protein expression changes. In the field of radiation research, considering that ionizing radiation causes disease [\[110,](#page-92-8) [111\]](#page-92-9), we should thus be able to link gene and protein expression studies to genome-wide association studies (GWAS), which are now well proven to uncover genetic loci that affect disease risk or progression [\[112\]](#page-92-10), and also to other omics studies like metabolomics. The idea of molecular networks as sensors has emerged recently [\[113\]](#page-92-11) and should be applied to the field of radiation research. There is a need to develop a more comprehensive understanding of the whole-system physiology that responds to radiation injury so as to link molecular biology to clinical medicine, i.e. molecular states to physiological states. This is now possible in the light of reverse engineering of molecular networks that sense DNA and environmental perturbations and drive variations in physiological states associated with disease [\[113\]](#page-92-11). Cells include many thousands of DNAs, RNAs, proteins, which can be modified

Fig. 4.3 Future prospects in radiation research: connecting molecular biology to radiation pathophysiology and radiation disease through molecular networks. The complexity of molecular biology, evaluated by the monitoring of DNA, RNA, proteins and metabolite variations, can drive a system view of radiation disease in

which networks of interacting molecular entities are built to define pathophysiological states of the system. In this manner, a link can be established between molecular biology and clinical medicine (This figure has been adapted from Figs. 1 and 2 of the review by Eric E. Schadt [\[113\]](#page-92-11))

at multiple sites, and metabolites interacting in complex pathways. Complex biological systems comprise in turn many types of cells which constitute very different organs that all interact in complex ways, giving rise to fully living systems. One goal is currently to model the extent of such interactions between molecular entities, between cells, and between organ systems. In the context of biological systems, a network can be viewed as a graphic model that represents the relationship between DNAs, RNAs, proteins, metabolites and phenotypes as disease states. The potentially millions of such interactions represented in a network define the overall connectivity structure of the network (Fig. [4.3\)](#page-88-0). Variations of this network can in turn be considered as a sensor of a disease state. Since ionizing radiation causes disease states, molecular networks will be essential to study, detect or predict radiation effects.

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5 The Urine Proteome as a Radiation Biodosimeter

Mukut Sharma and John E. Moulder

Abstract

The global rise in terrorism has increased the risk of radiological events aimed at creating chaos and destabilization, although they may cause relatively limited number of immediate casualties. We have proposed that a self-administered test would be valuable for initial triage following terrorist use of nuclear/radiological devices. The urine proteome may be a useful source of the biomarkers required for developing such a test. We have developed and extensively used a rat model to study the acute and late effect of total body (TBI) and partial body irradiation on critical organ systems. This model has proven valuable for correlating the structural and functional effects of radiation with molecular changes. Results show that nephron segments differ with regard to their sensitivity and response to ionizing radiation. The urine proteome was analyzed using LC-MS/MS at 24 h after TBI or local kidney irradiation using a 10 Gy single dose of X rays. LC-MS/MS data were analyzed and grouped under Gene Ontology categories Cellular Localization, Molecular Function and Biological Process. We observed a decrease in urine protein/creatinine ratio that corroborated with decreased spectral counts for urinary albumin and other major serum proteins. Interestingly, TBI caused greater decline in urinary albumin than local kidney irradiation. Analysis of acute-phase response proteins and markers of acute kidney injury showed increased urinary levels of cystatin superfamily proteins and alpha-1-acid glycoprotein. Among proteases and protease inhibitors, levels of Kallikrein 1-related peptidase b24, precursor and products of chymotrypsin-like activity, were noticeably increased. Among the amino acids that are susceptible to oxidation by free radicals, oxidized histidine levels were increased following irradiation.

J.E. Moulder

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M. Sharma (\boxtimes)

Veterans Administration Medical Center, F2-120, Nephrology, Research Service, 4801 E. Linwood Blvd., Kansas City, MO 64128-2226, USA e-mail: Mukut.Sharma@va.gov

Department of Radiation Oncology, Medical College of Wisconsin, Radiation Biology, 8701 Watertown Plank Road, Milwaukee, WI 53226, USA

Our results suggest that proteomic analysis of early changes in urinary proteins will identify biomarkers for developing a self-administered test for radiation biodosimetry.

Keywords

Proteome • Plasma • Plasma proteins • Ionizing radiation • Radiological terrorism • Kidney • Renal • Dosimetry • Biomarkers • Triage • Proteinases • Proteinase inhibitors

5.1 The Risk of Exposure to Ionizing Radiation: Past, Present and Future

Geo-political issues and international relations continue to be decided by a struggle for possession of nuclear weapons by nation states and by terrorist organizations. Nevertheless, the USA and the Russian Republic have gradually reduced their nuclear stockpiles through mutually-binding treaties addressing nuclear proliferation. Reduction in the number of nuclear armaments controlled by powerful nations does not guarantee a world free of nuclear warfare, but it has resulted in a reduced concern over the likelihood of nuclear warfare between these countries.

Dismantling of nuclear weapon systems has increased the likelihood of smaller quantities of radioactive material reaching terrorist organizations. Such extremists may detonate small-scale improvised nuclear devices or use radiation dispersal devices (dirty bombs) in densely populated areas. In contrast to mass casualties caused by nuclear weapons, terrorist-initiated detonation of radiation dispersal devices is likely to kill smaller number of people. However, such events are intended to disrupt life by generating fear, chaos and confusion along with injuries and property damage [\[1\]](#page-103-0).

Radioactive materials have found ever increasing peacetime applications that range from outer space exploration to geophysical discoveries and from medicine to industrial manufacturing. Nuclear energy has become a competitive source of electricity globally on land as well as under water to operate submarines, and according to the International Atomic Energy Association, up to

75% of energy requirements are met by nuclear power in some countries. Nuclear power plants date back to the early 1950s, and many of these plants will require upgrading for future use or will have to be shut down. Poor maintenance or inefficient containment during shut-down will be a risk for radiation leaks. While most radioactive materials used in industry and medicine are highly regulated, these devices are located at in many separate facilities.

The risk of radiological events has shifted from mass destruction by nuclear weapons to smaller incidents caused by terrorist-operated devices or accidental release of radioactive materials. Indeed, there have been no war time fatalities by the use of nuclear weapons since 1945; almost all cases of radiation injury since have been due to accidents or natural disasters. Recent damage to the Fukushima Daiichi nuclear power plant in Japan following an earthquake and a tsunami is an example of present and future risk posed by use of radioactive materials [\[2\]](#page-103-1). Such an event in an ill-prepared and densely populated areas would be disastrous. Increased 'peace time' use of radioactive materials has increased the likelihood of exposing smaller cohorts of populations to low, but biologically significant, doses of radiation $\lceil 3 \rceil$.

5.2 Demography of the Victims of Radiological Events in Future

Terrorist actions will aim to paralyze life in the target region through chaos, fear and property damage. These events are postulated to result in a limited number of immediate deaths, but in a larger number of casualties through a combination of radiation, fire and falling/flying debris. Accidental release of radioactive material into atmosphere at nuclear power plants, as evidenced by historical and recent disasters, will likely spread depending upon natural factors such as water and wind [\[3\]](#page-103-2). Thus, the risk of exposure to ionizing radiation has moved from a doomsday scenario to limited casualties accompanied by destabilization of normal order.

It's likely that a radiological event will cause breakdown of communication and transportation services leaving people isolated and without assistance and these will add to the perceived danger and chaos in the affected regions. It's unlikely that a large numbers of medical facilities can be prepared for such disasters even with unrestricted access to healthcare. Further, such radiological events combined with natural disasters would increase the burden on emergency medical services. Damage to healthcare facilities by the disaster in the area may render these facilities inaccessible or non-functional. Transformation in the nature of the risk, and the demography of the affected populations, has shifted the paradigm for managing the aftermath of a radiological event. A small number of victims, directly injured by fire, debris or impact or a combination of any of these, will require assistance for mobility, communication and care. In addition to the amount of radioactive material released, the extent of radiation dose to the victims will be determined by factors such as weather conditions and building materials.

As in other emergencies, prompt screening and triage would help identify those in need of immediate medical attention and treatment. However, it's likely that most burden on civil and healthcare services will be imposed by those least affected by low-dose radiological events. Availability of a low-tech, convenient and selfadministered test may be valuable in reducing the burden on public services and healthcare providers. Prior training in using such a device can enable the survivors to perform preliminary biodosimetry that would help alleviate fear and minimize chaos without overwhelming the providers of first-line emergency care [\[4\]](#page-103-3).

Our approach to radiation dosimetry and the search for biomarkers originates from the need for simple self-administered assessment of radiation biodosimetry. We postulated that identification of biomarkers using noninvasively obtained biomaterials such as the urine or saliva would lead to the development a device for self administered test. The following discussion summarizes our efforts to identify biomarkers. Initial work based on the urine proteome has provided encouraging results.

5.3 A Rat Model to Study Radiation Dosimetry

A consistent and reliable source of biomaterial is a prerequisite for efforts to identify biomarkers of radiation injury. Radiation exposure studies using human volunteers cannot be planned for obvious ethical reasons, and relevant human specimens could be obtained only after a radiological event. Thus preliminary studies using rodents are needed to provide the information needed to plan studies using higher species. Therefore, we developed a rat model to study the late effects of total body irradiation (TBI) that results in organ failure and mortality. Earlier studied were focused on late effects of ionizing radiation on renal function. However, recent studies have provided valuable information to understand the effect of TBI on several tissues.

If WAG/RijCMCR rats are given 10 Gy TBI and hematopoietic toxicity is prevented by a bone marrow transplant, proteinruria develops about 6 weeks later, followed shortly by azotemia and hypertension [\[5\]](#page-103-4). At this TBI dose, azotemia exceeds 120 mg/dl by about 20 weeks and animals must be euthanized [\[6\]](#page-103-5). The renal effects of TBI in this mdoel can be mitigated by post-irradiation therapy with either angiotensin converting enzyme inhibitors or angiotensin II receptor blockers [\[6\]](#page-103-5). Although radiation nephropathy is the principal cause of morbidity in this TBI model, cardiac [\[7\]](#page-103-6) and hepatic [\[8\]](#page-103-7) injury can also be observed. At higher radiation doses, radiation pneumonitis can be a cause or morbidity prior to the development of radiation nephrapathy [\[9\]](#page-103-8).

5.4 Renal Structure and Function Studies Using the Rat Model

Initial studies included experiments to determine whether early effects of X-irradiation cause demonstrable changes in the kidney nephron. We used an in vitro assay of glomerular barrier function as a surrogate for increased passage of plasma proteins. Previously we had used this assay to demonstrate that increased glomerular albumin permeability precedes the onset of proteinuria in models of human disease [\[10–](#page-103-9)[14\]](#page-104-0). We demonstrated increased glomerular albumin permeability at 1 h after irradiation in the rat TBI model. Initial studies also showed that 10Gy X-irradiation did not alter glomerular protein permeability barrier characteristics in C57BL6 mice $[15]$. Thus, our rat model provides a suitable rodent model to study effects of ionizing radiation on renal structure, function and urinary proteome.

We postulated that altered glomerular permeability to macromolecules indicates early renal injury that precedes overt proteinuria. Recent work showed that immediate increase in glomerular permeability to macromolecules following TBI is not paralleled by changes in glomerular ultrastructure [\[16\]](#page-104-2). The observed latency between early glomerular change and the onset of proteinuria and histological changes may be a valuable window to study the processes that cause systemic and renal changes leading to proteinuria. These observations also suggested that subtle renal injury caused by TBI may alter the urinary protein profile in ways not detectable by conventional urinalysis. These qualitative changes in urinary protein composition will require sensitive techniques for detection and identification of molecules.

5.5 Variations in Tissue Response to Radiation in the Rat Model

Our rat model provides a convenient opportunity to determine differences within structural components of the functional organ. Nephron

is the primary structural and functional unit in the kidney. Structurally connected glomerulus, proximal tubule, Loop of Henle and distal tubule leading to the collecting duct constitute each nephron. These microscopic structures function in concert to remove metabolic waste from the circulating blood. The plasma filtrate generated in the glomerulus undergoes several modifications in each tubular segment of the nephron prior to its conversion into urine and excretion. During its passage through the tubule most of the proteins/peptides, hormones and other metabolites are reabsorbed, mono and divalent ions are reabsorbed and fluid volume adjusted. These functions are performed by distinct cell types in different sections of the tubules in each nephron.

Immediate changes in glomerular permeability characteristics, as noted above, without increased urinary protein suggest differences in the effect of radiation on the glomerular and tubular sections of the nephron. Follow up studies showed that tubular injury does not accompany increased glomerular albumin permeability. We studied endocytosis of bovine serum albumin using isolated tubular preparations from irradiated rats. These experiments suggested that proximal tubular capacity to reabsorb albumin from the ultrafiltrate is not altered immediately after TBI. Instead, the tubular capacity to reuptake albumin decreases several weeks after TBI corresponding to the time of the onset of proteinuria [\[17\]](#page-104-3). Thus, within the kidney, glomeruli, tubules, interstitium and the vasculature differ with regard to sensitivity and/or response to ionizing radiation [\[15,](#page-104-1) [18\]](#page-104-4). These observations were valuable in interpreting the recent results using proteomic technology as described below. These observations also emphasize the importance of consistently employing the same animal model to study radiation injury.

5.6 Partial Body Irradiation Versus Non-homogeneous Irradiation

In addition to variations in tissue sensitivity to radiation the tissue volume exposed and the dose of radiation absorbed by the tissues also

determine the long term effects of ionizing radiation. Exposure to a radioactive source by shielding the surroundings may results in partial-body irradiation. A better understanding of the effects of total body irradiation (TBI) or partial body or non-homogeneous irradiation is important in countermeasure strategies. Thus we considered a scenario in which radiation is not delivered or absorbed uniformly, and conducted studies using both TBI and localized kidney irradiation [\[19\]](#page-104-5).

5.7 Methods for Radiation Biodosimetry and Biomarker Discovery

Multiple methods for radiation biodosimetry are in development. These methods range from physical examination to molecular analysis. An impressive amount of work has been accomplished in several specialties during the last 10 years, but it is difficult to identify a single technology of choice at the present time. It is possible that a systematic approach to radiation biodosimetry will be based on a combination of methods. We have used LC-MS/MS to identify proteins in the urine from irradiated rats [\[16\]](#page-104-2). Parallel work in our laboratory has focused on the human plasma proteome to study chronic kidney disease called focal segmental glomerulosclerosis [\[20\]](#page-104-6). Detailed analysis of the urine proteome may lead to biomarker(s) suitable for developing a self-administered test for early triage in the victim of a radiological event.

There is considerable interest in using early clinical examination of the victims for triage decisions. Gastrointestinal effects (i.e., emesis or severe diarrhea) have been evaluated for radiation biodosimetry [\[21,](#page-104-7) [22\]](#page-104-8), but this may not actually very useful in practice [\[23\]](#page-104-9). Radiation injury may cause a cutaneous syndrome and associated changes in skin blood flow, cytology and cytokines, but the delayed appearance (7–14 days after exposure) of changes on the skin surface limits the usefulness of this clinical parameter for biodosimetry.

Lymphocyte cytology to determine the number of bicentric cells is currently considered the gold-standard in radiation dosimetry [\[24,](#page-104-10) [25\]](#page-104-11). Analysis of frequency distribution of chromosomal aberrations provides in depth determination of the injury. Ongoing efforts to automate this sophisticated technique may make it less labor intensive and improve its throughput [\[26\]](#page-104-12).

Biophysical techniques such as electron paramagnetic resonance (EPR) spectroscopy and optically stimulated luminescence (OSL) are potentially useful tools for developing biodosimetry algorithms. These techniques, once fully developed, may be suitable for determining partial body irradiation in the extremities or head and neck areas. EPR (electron spin resonance, ESR) spectroscopy can detect stable radicals in tooth enamel in vivo as well as in and nail clippings ex vivo [\[27](#page-104-13)[–29\]](#page-104-14). However, OSL technology is in the early stages of development for retrospective dosimetry [\[30\]](#page-104-15).

Molecular techniques including gene expression analysis, metabolomics and proteomic may provide details on molecular phenomena associated with total body or organ-specific effects of radiation. Several studies using peripheral lymphocyte have described temporal profiles of gene expression after various doses of radiation [\[31](#page-104-16)[–33\]](#page-104-17). Recent studies indicate that expression of tissue specific RNA species such as micro-RNAs may provide molecular tools to establish radiation dosimetry after total or partial body irradiation [\[34–](#page-104-18)[36\]](#page-104-19).

Metabolomic and proteomic analyses provide survey of the products of changes in gene expression. Both nuclear magnetic resonance (NMR) spectroscopy and mass spectrometry (MS) technologies have been tested in metabolomics studies. These techniques offer sensitive and high throughput analysis of biological samples to identify low molecular weight biomarkers. These techniques differ with regard to sensitivity, sample preparations or experimental design, but they have provided partially comparable results. Most noticeable molecules identified in these studies belong to energy metabolism, amine metabolism, lipid metabolism and nucleotide metabolism [\[37](#page-104-20)[–39\]](#page-104-21).

5.8 Proteomics Technology for Biomarker Discovery

Mass spectrometry (MS) is an integral part of proteomic technology for high throughput and sensitive analysis of biological materials. Besides its application in metabolomic studies to identify simple organic molecules, MS has been increasingly used to detect and identify proteins ranging from small peptides to complex proteins. Consistent development of methodology has made MS an indispensable technology to interpret the functional aspect of genomic information through a vast array of analytical strategies. Urine proteomics techniques continue to evolve for in depth understanding of disease related changes in urinary proteins [\[40,](#page-104-22) [41\]](#page-104-23).

Sample preparation and separation are critical components; and recommended methods for collection, storage, initial treatments of urine samples and fractionation are available [\[42](#page-104-24)[–44\]](#page-105-0). Proteomic methodologies for protein identification in samples fall under two complementary categories namely, bottom-up and top-down methodologies. In bottom-up proteomics approaches samples are first treated with a protease to obtain small peptides for ionization. Analysis of proteins in the tissue/biofluid can be carried out using two approaches. Protein fragments can be analyzed as a mixture or after separation and fractionation using one/two dimensional (1-DE/2-DE) electrophoresis or liquid chromatography (LC). Protein fragments are analyzed using tandem mass spectrometry where a peptide ion is detected first (MS analysis) followed by next level fragmentation using collision-induced dissociation (CID) to peptide product ions (MS/MS analysis). Analytical results are obtained as the mass/charge (m/z) ratio of each charged molecular species. The peptide-mass fingerprint consisting of information regarding each peptide is unique to a specific protein. Observed masses can be compared with theoretical in silico sequences to identify proteins. Thus, bottom-up approaches involve a two-stage protein fragmentation to generate ionic species followed by protein identification. However, secondary features that define the molecular

role, pos-translational modifications, are not included in these analyses.

Top-down proteomics approaches involve direct MS analysis of intact proteins without proteolysis. Intact proteins in biomaterials are separated by 1-DE/2-DE or LC and analyzed by matrix-assisted laser desorption/ionization (MALDI)-MS or Electrospray ionization mass spectrometry (ESI-MS). MS/MS fragmentation of protein ions is achieved by Electron Capture Dissociation (ECD) or Electron Transfer Dissociation (ETD) in ESI-MS ionization method or by in-source decay (ISD) in MALDI-TOF analysis. Another top-down approach involves Fourier-transform-ion cyclotron resonance (FT-ICR) MS with ECD or ETD for protein ion fragmentation. Top-down analyses result in extremely complex spectra, but the results include post-translational modifications and Cand N-terminal sequences that are not obtained using the top-down approach [\[45,](#page-105-1) [46\]](#page-105-2).

5.9 Proteomic Data Analysis

Mass spectrometry results are analyzed using algorithms established for the equipment by both the manufacturer and the investigator. During recent studies we searched MS/MS spectra against the rodent portion of the UniProt database using a two-stage process. First, MS/MS spectra peak lists (*.dta* files) were extracted from the .RAW data files generated by the MS system based on the *extract ms* program (ThermoFisher, Waltham, MA). The following parameters served as the basis for searches: B 600, T 3500, M 1.4, S1 G1, E 250. The MS/MS data was searched against the rodent subset of the UniProt database (release 54.0) with a version of the *Sequest* (V.12) program adapted for use on the JS-20 processor (IBM, Armonk, NY) in a cluster environment (ThermoFisher, Waltham, MA). The following key parameters were employed. For the first pass search: Enzyme Trypsin [KR], peptide tolerance 2.5 AMU, fragment ions tolerance 1.0 AMU, differential modifications: M 16, C 57. For the second pass search: Enzyme No enzyme, peptide tolerance 2.5 AMU, fragment ions tolerance 1.0 AMU, differential modifications: MHP 16, C 57, K 1, R -47. Next, the search results (*.out files*) were parsed and the peptide and protein probabilities calculated using the *Epitomize* program developed at the Medical College of Wisconsin (MCW, Milwaukee, WI) and the protein hits with probability scores >0.6 from each search were saved. The protein hits from the individual subfractions derived from the original pooled urine samples were combined using the *Visualize* program developed at the MCW and revised protein probability scores calculated based on the observation of proteins in multiple runs, and proteins with a probability score of 0.90 retained [\[47\]](#page-105-3). By searching similar data with decoy databases, it was found that this probability score corresponds to a False Discovery Rate (FDR) of 5% and 7.5% in separate studies [\[48\]](#page-105-4). These composite results were then quantitatively compared using the *Visualize* program and the ratios of protein abundance were exported for further analysis by *Excel* (Microsoft, Redmond, WA).

Proteins were grouped using Gene Ontology (GO) categories: Cellular Localization/Component, Molecular Function and Biological Process [\[49\]](#page-105-5).To better understand the changes in the global patterns of urine protein abundance caused by irradiation, proteins were divided into three groups: increased twofold or greater in irradiated urine, decreased less than twofold in irradiated urine, or remained unaffected unchanged. Each of the proteins in each of the samples was mapped to the GO.

Visualize program was used for quantitative GO analysis for the categories Cellular Localization, Molecular Function and Biological Process [\[50\]](#page-105-6). In order to avoid multiple counting of spectra that can be assigned to more than one protein, we adopted the following strategy. For each spectrum, the GO terms for every protein that could have generated the peptide that best matched the spectrum were collected. Each GO term was assigned a fractional value based on the number of proteins assigned to the spectrum and the total number of GO terms assigned to each of the proteins for each of the three GO categories. Thus, for each spectrum, the sum of all GO terms

from all proteins equaled one for each of the three GO categories. Expressing proteins as fractional scans minimizes repeat count, and provides a more accurate estimate of protein abundance than a simple scan count.

MS technology is applicable for exploring the entire proteome in a single analysis. Quantification of MS results has become feasible through strategies that involve incorporating a stable isotope into cells either during culture or by comparing signals from a peptide under control and experimental conditions. Direct quantification of MS data can be facilitated through introduction of a predictable mass difference through postharvest incorporation of stable isotopes into peptides during trypsin digestion. Identity of candidate molecules can be further confirmed using specific antibodies or antibody arrays [\[51](#page-105-7)[–53\]](#page-105-8).

5.10 Outline of Experimental Approach

We have completed specific initial studies to determine the effect of TBI versus local kidney irradiation using a single 10 Gy dose of X-ray at 24 h post-irradiation. Rats used in these studies were 8 weeks-old male WAG/RijCMCRs that were bred and housed in a moderate-security barrier at the MCW. Animals were maintained in the MCW Biomedical Resource. Conscious animals were held in plastic restrainers and administered 10 Gy orthovoltage X-rays in one. Local kidney irradiation was done with parallelopposed lateral fields; the field size was large enough to account for the uneven positioning of the kidneys. Control rats were sham irradiated. Previously published dosimetry protocols were followed [\[54\]](#page-105-9). Rats were placed in metabolic cages and 24 h urine samples were collected. Urine samples were centrifuged (3,000 g) to remove particulate contamination and aliquots were used for total protein, creatinine assays or proteomic analysis. Samples were prepared for LC-MS/MS following protocols referred to earlier.

5.11 Summary of the Results and Their Implications for Future Work to Identify Biomarkers

Our approach to biomarker discovery has included analysis of structural and functional changes in the nephron along with proteomic analysis of urine. These preliminary studies were planned to obtain information on the effect of ionizing radiation on glomerular ultra-structure and function, urinary protein and creatinine and urine proteome using LC-MS/MS. Results of these studies were presented in recent publications. Detailed results of mass spectrometry based identification of urine proteins are included in these articles [\[16,](#page-104-2) [55\]](#page-105-10). The following discussion is focused on some of the key findings that we consider valuable for future work on biomarker identification and for developing self-administered test for convenient and rapid triage.

5.11.1 Effect of Ionizing Radiation on Nephron Function

Our results show that glomerular function is altered almost immediately after TBI (10 Gy) as indicated by increased permeability to albumin in vitro $[15, 16, 56]$ $[15, 16, 56]$ $[15, 16, 56]$ $[15, 16, 56]$ $[15, 16, 56]$. These findings are consistent with parallel studies on the effect of other agents such as free radicals, cytokines (TGF- β and TNF α), antibodies to glomerular proteins or human plasma factor that cause increased glomerular protein as mentioned earlier. However, irradiated rats develop proteinuria only about 6 weeks after TBI or local kidney irradiation. These observations are in line with other studies where increased glomerular albumin permeability precedes development of proteinuria. Rat models of puromycin aminonucleoside nephrosis, type 2 diabetes and radiation nephropathy show increased glomerular permeability prior to the onset of albuminuria $[10, 12, 16]$ $[10, 12, 16]$ $[10, 12, 16]$ $[10, 12, 16]$ $[10, 12, 16]$. These observations on early increase in glomerular

permeability and late development of proteinuria are reconciled by our observations on the tubular effects of radiation. These experiments show that despite increased glomerular albumin permeability, proximal tubular reabsorption of albumin is maintained for weeks after TBI [\[17\]](#page-104-3). Similar observations were made in the puromycin aminonucleoside-induced nephrosis model of nephrotic syndrome. In this model of minimal change disease a single intraperitoneal injection of puromycin aminonucleoside causes immediate increase in glomerular albumin permeability with detectable proteinuria only after several days [\[10\]](#page-103-9). These observations indicate that effects of ionizing radiation are cell-type specific and that functional changes leading to organ dysfunction are progressive. Therefore, detection of injury and strategies for mitigation and treatment should include these variables. While complex, progressive nature of injury also provides the opportunity for targeted intervention.

5.11.2 Effect of Ionizing Radiation on Clinically Used Parameter Urine Protein/Urine Creatinine (Up/Uc) Ratio

The Up/Uc ratio is considered an important clinical milestone in the development of chronic disease [\[57\]](#page-105-12). We compared the effect of TBI and local kidney irradiation on Up/Uc ratio as an indicator of renal function. Both TBI and local kidney irradiation resulted in short-term decrease in the Up/Uc ratio [\[16,](#page-104-2) [55\]](#page-105-10). Decreased urinary protein indicates a quantitative reduction in the amount of total protein that may reflect vascular and neurohumoral changes that result in altered renal blood flow [\[18\]](#page-104-4). Another reason for a decrease in urinary protein is a likely increase in tubular reabsorption of certain proteins specially macromolecules e.g., albumin. Indeed, two-photon intravital microscopy showed increased tubular reabsorption of filtered albumin immediately in the early phase after puromycin aminonucleoside (PAN) treatment [\[10\]](#page-103-9). Increase in the number of proteins detected by silverstaining of 2DE gels with a quantitative decrease in the urinary protein is an intriguing observation that's being actively studied by our group.

Up/Uc is a candidate for an initial tool kit to identify early markers of radiation injury because it also provides a method to differentiate the early phase of radiation injury from other chronic diseases including pre-existing conditions. An important consideration in selecting a biomarker is the specificity of the candidate indicators to the pathophysiological condition. Increased urinary protein is an independent risk factor of cardiovascular disease. Increased Up/Uc is associated with systemic diseases including diabetes and hypertension. In our model, rats develop overt proteinuria with increased Up/Uc about 6 weeks after TBI. Thus, a decreased Up/Uc ratio immediately after a radiological event would indicate sudden change in renal protein handling in healthy individuals as well as in those with pre-existing pathophysiological conditions. Additional studies will be needed to validate these ideas under various experimental conditions, but we think this classical indicator of renal function may provide useful clues to early signs of injury caused by irradiation.

5.11.3 Ionizing Radiation and Specific Groups of Proteins in the Urine Proteome

As expected, proteomic analysis of urine proteins provided a large amount of data. Here we discuss some of the observations that may prove to be potential leads for future work.

5.11.3.1 Albumin and Other Major Plasma Proteins

In line with the observed decrease in Up/Uc ratio, proteomic data showed decreased urinary albumin and other major plasma proteins after both TBI and local kidney irradiation. However, a comparison of the effect of TBI and local kidney irradiation on urinary albumin provides intriguing results. Proteomic spectral analysis shows that TBI resulted in a three- to fourfold greater decline in urinary albumin compared to local

kidney irradiation [\[16,](#page-104-2) [55\]](#page-105-10). Immunoglobulins, another important class of plasma proteins, also showed decreased presence in the urine postirradiation. Since protein filtration and reabsorption are renal events, plasma and other tissues would be expected to remain unaltered by local kidney irradiation. However, the relatively greater effect of TBI compared to local kidney irradiation suggests a role of extra-renal factors in the renal handling of plasma proteins.

5.11.3.2 Acute Phase Proteins

We attempt to understand the early effects of irradiation in terms of acute kidney injury (AKI). Acute kidney injury may increase the risk for chronic kidney disease and end-stage renal disease. AKI is now considered as an independent risk factor for chronic kidney disease, end-stage renal disease, other renal complications and death [\[58\]](#page-105-13). A number of new candidate markers of AKI have been proposed and a few are now recommended for clinical use. We analyzed our LC-MS/MS data to identify markers of AKI, Kidney Injury Molecule-1 (KIM), N-acetyl-Beta-D-glucosaminidase, Neutrophil gelatinaseassociated lipocalin (NGAL), Cystatin C and Interleukin-18 (IL-18) [\[59,](#page-105-14) [60\]](#page-105-15). In one study we found that TBI caused a threefold increase in the levels of Cystatin C compared to the control. However, KIM, neutrophil gelatinase, N-acetyl beta-D-glucosaminidase or IL-18 were not detected in the urine after TBI or local kidney irradiation [\[16,](#page-104-2) [55\]](#page-105-10).

Members of the cystatin superfamily include fetuins, cystatin-C and histidine rich glycoprotein. We found that TBI (10 Gy) resulted in increased urinary fetuin-B in one study [\[16\]](#page-104-2). We also found that 2, 5 or 10 Gy TBI without BMT also increased the MS spectral count in the urine up to 5 days [unpublished observations]. Fetuin and cystatin-C are also considered biomarkers of AKI [\[61\]](#page-105-16). Additionally, Alpha-1-acid glycoprotein (orosomucoid) is a circulating acute phase protein that is known for its transport function. Increased urinary alpha-1 acid glycoprotein has previously been associated with trauma, stress and type II diabetes [\[62,](#page-105-17) [63\]](#page-105-18). These observations suggest that while radiation

injury results in excretion of some of the proteins associated with AKI (cystatin-C), majority of the recently identified markers of AKI are not affected. Thus, radiation injury and AKI may differ with regard to their mechanisms and biomarkers.

5.11.3.3 Proteinases and Proteinase Inhibitors

We used Gene Ontology (GO) analysis to identify proteins under GO categories of cellular localization/component, molecular function, and biological process [\[49\]](#page-105-5). These analyses showed increased levels of proteinases in the urine proteome. These proteinases represent both plasma and cellular origins. The most noticeable increase occurred in the levels of kallikrein 1-related peptidase b24 precursor (Glandular kallikrein K24, Tissue kallikrein 24, gij48428341). We also found that products of chymotrypsin-like activity are detectable in the 24 h urine after TBI [\[16\]](#page-104-2). Increased numbers of low abundance proteinases may partly explain the observed increase in protein counts without a parallel increase in the quantities of urine protein determined by spectrophotometric methods.

Proteinases and corresponding proteinase inhibitors are important in cellular response to injury and several classes of these molecules are present in the circulation and renal cells. Several proteinases are critical for tubular function in addition to their role in the complement cascade. A parallel decrease in several proteinase inhibitors with the observed increase in urinary proteinases was noticeable. These protinase inhibitors included kallikrein binding serine protease inhibitor A3K precursor (SERPIN A3K, gij266407). Changes in kallikrein-kinin system proteins may influence vascular function, coagulation and inflammation processes. Plasma and tissue kallikreins act on precursor kininogens to synthesize vasodilatory bradykinin or kallidin peptides. Carboxypeptidases, neutral endopeptidases and angiotensin converting enzymes metabolize and inactivate bradykinin and kallidin. Increased urinary kallikrein and inhibitor are biologically significant and may be valuable in understanding the mechanism of development of radiation injury [\[64,](#page-105-19) [65\]](#page-105-20).

5.11.3.4 Amino Acid Oxidation

Ionizing radiation causes oxidative damage and chemical changes in DNA, lipids and proteins. We speculated that TBI-induced injury may result in excretion of modified proteins in the urine [\[66,](#page-105-21) [67\]](#page-105-22). MS/MS spectra were queried using protein databases for amino acid oxidation as a dynamic modification through reaction with the reactive oxygen species. Superoxide-induced modifications of amino acids include the oxidation of methionine (M), histidine (H), lysine (K) and proline (P) as well as the formation of glutamic acid semialdehyde from arginine (R). Results showed that TBI induced a decrease in oxidized methionine and a nearly threefold increase in oxidized histidine after TBI [\[16\]](#page-104-2).

Selective oxidation of histidine during the early stages may have significant implications since histidine is an essential amino acid for humans and rats. Histidine deficiency alters protein turnover rate and levels of hemoglobin, albumin and transferrin $[68]$. Histidine contains an imidazole ring (neutral pKa) as a side chain that permits its participation in acid/base catalysis and proton transfer reactions at physiological pH. The nucleophilicity of imidazole also makes it a good ligand for electrophilic metal ions, such as zinc in many enzymes e.g., carboxypeptidase. Histidine is also a key amino acid in the hemoglobin molecule since one histidine residue stabilizes the porphyrin structure through a covalent linkage with the heme iron. Another histidine molecule blocks oxidation of iron, prevents carbon monoxide binding with heme iron while allowing oxygen to bind easily. Oxidative damage to protein histidine by hydroxyl radicals or products of lipid oxidation such as HNE (4-hydroxynon-2-enal) has been reported [\[69\]](#page-105-24). Radiation-induced increase in oxidized histidine may affect acid/base balance by enzymes such as carbonic anhydrase and oxygen transport by hemoglobin.

5.12 Conclusions

Soon after the 2001 attacks on the World Trade Center and the Pentagon, scientists began to more seriously assess our ability to cope with a

radiological terrorism incident [\[70\]](#page-105-25). The outline of what was needed was fairly obvious: the ability to prevent an attack; methods to cope with the medical consequences; the ability to clean up afterwards; and the tools to figure out who did it [\[71\]](#page-105-26). In dealing with the medical consequences there were three major issues: determining exposure, dealing with acute radiation injuries, and dealing with chronic radiation injuries [\[21,](#page-104-7) [72\]](#page-105-27). Considerable effort is being made to improve treatment of acute radiation injuries [\[73–](#page-105-28)[75\]](#page-105-29); and in the laboratory, a wide range of late normal tissue injuries can be mitigated using postirradiation therapies [\[6,](#page-103-5) [9,](#page-103-8) [76–](#page-106-0)[79\]](#page-106-1).

In practice, medical intervention requires knowing radiation doses, preferably organ-specific doses [\[19,](#page-104-5) [21,](#page-104-7) [80\]](#page-106-2). While there are widely-available instruments for assessing contamination, the tools for retroactive assessment of radiation dose are either primitive or not widely available [\[21,](#page-104-7) [22\]](#page-104-8). If a mass-casualty incident occurred now, the only method for rapid (less than 12 h) dose assessment would be "time to emesis" [\[21,](#page-104-7) [22\]](#page-104-8), but this may not actually very useful in practice [\[23\]](#page-104-9). If more time were available, dose estimates could be made based on lymphocyte depletion kinetics, but that takes at least a day [\[21,](#page-104-7) [22\]](#page-104-8). In theory, doses could also be based on chromosome aberrations in blood lymphocytes, but this assay takes days [\[21\]](#page-104-7).

Urine proteomics holds promise for providing triage biodosimetry and possibly also for dose determination and evaluation of doses to specific organs. Our studies have provided preliminary data and groups of proteins that will be useful for further investigations [\[16,](#page-104-2) [55\]](#page-105-10). Of course, much more needs to be done. We need to understand the time course of the changes and their dependence on radiation dose. Perhaps more importantly, we need to determine which changes are radiation specific, as in most mass casualty scenarios there will be other causes of injury including trauma and burns. If the optimal urine proteins can be identified, there is the potential to develop biodosimetry based on robust, but simple-to-use, technologies such as a urine dip sticks.

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6 Effects of Radiofrequency-Modulated Electromagnetic Fields on Proteome

Dariusz Leszczynski

Abstract

Proteomics, the science that examines the repertoire of proteins present in an organism using both high-throughput and low-throughput techniques, might give a better understanding of the functional processes ongoing in cells than genomics or transcriptomics, because proteins are the molecules that directly regulate physiological processes. Not all changes in gene expression are necessarily reflected in the proteome. Therefore, using proteomics approaches to study the effects of RF-EMF might provide information about potential biological and health effects. Especially that the RF-EMF used in wireless communication devices has very low energy and is unable to directly induce gene mutations.

Keywords

Proteome • Protein expression • Protein activity • Non-ionizing radiation • RF-EMF • Radiofrequency-modulated • Electromagnetic fields • Two-dimensional gel electrophoresis • 2DE • 2DE-DIGE • Phosphorylation • Signaling pathways • Stress response • Stress proteins • Heatshock proteins

Proteomics approaches, especially these using HTSTs, seem to be particularly suited for elucidation of the effects of RF-EMF because they could reveal effects that are not possible to predict, based on the present, still very limited, knowledge about the biological effects of RF-EMF. The proposed usefulness of proteomics and transcriptomics in search for molecular targets of

RF-EMF [\[1\]](#page-111-0) has been subsequently demonstrated in a pilot 5-step feasibility study [\[2\]](#page-111-1).

In spite of the potential to discover molecular targets of RF-EM, the progress in research using proteomics is slow, hampered predominantly by the lack of funding and a very limited number of research groups involved.

6.1 Human Volunteer Study

To date, only one study examined effects of RF-EMF on proteome in humans [\[3\]](#page-111-2). As the authors stated, this was only a pilot study aimed

D. Leszczynski (⊠)

STUK – Radiation and Nuclear Safety Authority, Laippatie 4, Helsinki 00880, Finland e-mail: dariusz.leszczynski@stuk.fi

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at demonstrating the feasibility of the proteomics approach to study effects of RF-EMF in human skin. Because of the variability of proteomes between individual volunteers the authors have used samples of skin from the same person as the unexposed sham controls. This way they were able to perform pilot study using only ten volunteers. However, use of the 2-DE and silver staining method (only method available at that time in authors' laboratory) and the low number of volunteers in this pilot study diminish the reliability of the changes found in the proteome. In total there were found changes in expression of eight proteins, out of which changes in two of them were observed in all exposed volunteers. Unfortunately, due to technical difficulties, the authors were unable to identify the affected proteins. This study should be repeated with larger number of volunteers and using 2DE-DIGE method to obtain more reliable information whether mobile phone radiation affects proteome of exposed tissues in human body. Interestingly, in spite of the continuing discussion whether RF-EMF radiation can cause any health effects to people, it is still not known whether human body responds to mobile phone radiation on molecular level.

6.2 Animal Model Studies

6.2.1 *Drosophila melanogaster*

Three studies were executed using *Drosophila melanogaster* biological model. In the first one, Weisbrot and co-workers have determined that RF-EMF radiation increases expression of hsp70.1, phosphorylation of ELK-1 kinase and binding activity of the serum response element (SRE) [\[4\]](#page-111-0). However, the reliability of the results of this study is weakened because the exposure was performed using antenna of a regular mobile phone, what makes exposure dosimetry unreliable. Lee and co-workers [\[5\]](#page-111-1) have shown that exposures activate stress response kinases ERK (at 1.6 W/kg) and JNK (at 4.0 W/kg) but not the p38 MAPK. What strengthens the results of this study is that the protein activity

results are supported by the gene expression results determined in the same study. Finally, Chavdoula and co-workers [\[6\]](#page-111-2) have examined the organization of the actin network and found that exposures cause increase in disorganization of the network. However, also in this study exposure dosimetry is unreliable because the authors have used the actual mobile phone. There are no studies using HTST performed in *Drosophila melanogaster* model.

6.2.2 Mouse Models

Several studies were performed in mouse model, using mice of different age (fetus or adult 6–8 weeks of age) and of different strains (C57BL/6N, C57BL/6NTac, hsp70.1 deficient, Balb/c, ICR). In two studies mice strain and age was not specified [\[7,](#page-111-3) [8\]](#page-111-4). Detection of protein expression changes was done by immunocytochemistry using both monoclonal and polyclonal antibodies. Six of the published studies came from the research group of John Finnie in Australia [\[7–](#page-111-3)[12\]](#page-111-5). Most of them are based on the same biological material that was separately stained in order to detect different proteins. All-in-all studies from Finnie and coworkers have shown that mobile phone radiation has no effect on the expression of the following proteins: c-fos in adult and in fetal mice brain, stress proteins in fetal brain (Hsp25, Hsp32, Hsp70) and on aquaporin-4 in adult brain and on ionized calcium binding adaptor molecule Iba1. These studies, however, have some problem of reliability because in number of them is missing numerical and statistical analysis and the conclusions are based on only a brief verbal description of immunocytochemistry staining. Lee and co-workers [\[13\]](#page-111-6) have determined that mobile phone radiation has no effect on the expression of stress proteins (HSP90, HSP70, HSP25) or phosphorylation of stress kinases (ERK, JNK, p38MAPK) in a hsp70.1 deficient mice. The same research group has also examined expression of PCNA, GFAP and NeuN proteins in C57BL/6N mice and found no effects of radiation exposure. However, the results are difficult to

appreciate because only visual evaluation was performed in the second study and without any statistics [\[14\]](#page-112-0). Maskey and co-workers [\[15\]](#page-112-1) have shown that mobile phone radiation might affect expression of calbindin and calretinin in different areas of brain.

Only a single study in mouse model used HTST to examine effects of RF-EMF on proteome. Research team from Greece [\[16\]](#page-112-2) published a study suggesting that RF-EMF alters expression of over 100 proteins in mice brain. The authors speculated that some of the affected proteins are important in regulation of learning, memory and in regulation of processes leading to Alzheimer's disease and suggested far reaching health hazard implications of their observation. However, the only effect that was somewhat shown by Fragopoulou and co-workers is the possibility that RF-EMF might alter expression levels of some brain proteins [\[16\]](#page-112-2). The indication of the processes that might be affected was only an unconfirmed speculation because the data concerning the affected proteins, obtained from the proteomic analysis, were insufficiently confirmed. Certain proteins were named as affected based only on identification of protein spots in 2D-gel analyses but the confirmation experiments, using e.g. western blot, that the change is real were not performed.

6.2.3 Rat Models

Several studies were performed using rats of different age (newborn to adult) and of different strains (Wistar, Fisher 344, hairless rat, Sprague– Dawley) and different tissues were examined (brain, skin, kidney, testis, thyroid). Detection of protein expression changes was done mostly by immunocytochemistry using both monoclonal and polyclonal antibodies and in some studies by western blot.

Four studies looked at the effects in rat brain. In three of them was observed no effect on protein expression $[17-19]$ $[17-19]$ whereas in two of them was observed an effect [\[19,](#page-112-4) [20\]](#page-112-5). Unfortunately, in some of the studies samples were analyzed only visually and without calculating statistical significance and therefore diminishing reliability of the obtained results. In three studies effects of mobile phone radiation on skin of hairless mice were analyzed $[21-23]$ $[21-23]$. No effects were observed on any of the analyzed proteins, however, the reliability of some analyzes is questionable. Study by Pyrpasopoulou and coworkers [\[24\]](#page-112-8) examined effects of mobile phone radiation on kidneys of newborn rats and found by using two methods (immunocytochemistry and in situ hybridization) that exposure affected expression of bone morphogenic protein (BMP-4) and bone morphogenic protein receptors (BMPR-II, BMPR-IA). These observations are strengthened by the similar changes observed by the authors in the expression of the corresponding genes. Esmekaya and co-workers [\[25\]](#page-112-9) have observed changes in expression of apoptosisregulating proteins cacpase-3 and caspase-9. However, the results are unreliable because the expression changes were evaluated only by visual examination. Lee and co-workers [\[26\]](#page-112-10) have examined effects of mobile phone radiation on rat testis and found lack of statistically significant effect on several tested apoptosis associated proteins (p21, p53, bcl-2, caspase-3, PARP).To date, no rat model proteome studies were performed with the use of HTST.

6.3 In Vitro Studies in Human Primary Cells and in Cell Lines

Small number of in vitro studies used HTST to examine effects of RF-EMF. However, because of the variety of limiting factors, caused by the differences in the study designs and/or methods used, the conclusions of all studies should be looked at with caution. As of now it appears that all the studies can be considered rather as "feasibility studies", paving the way for further, more thorough and better designed, proteomics studies.

In all studies was used variety of RF-EMF signals and exposure conditions. Some of the exposure conditions caused changes in protein expression and some not. However, because of very limited number of studies, it is not possible to determine whether any of the observed effects can be in any particular way correlated, or not, with certain exposure conditions.

In all of the studies, proteome changes were determined by separating proteins with twodimensional electrophoresis (2-DE). The protein spot detection was done in most of the studies by silver staining [\[27–](#page-112-11)[35\]](#page-112-12). The silver-based staining procedure for protein visualization, although a well established and widely accepted method, might be not the best option for differential proteomics. This is because of the poor reproducibility of this procedure and the reduced linear dynamic protein concentration range that makes it difficult to draw clear conclusions about changes in protein expression. Nevertheless, the data from 2D-PAGE analyses show that proteome changes caused by RF-EMF do not result in major changes of high abundant proteins, which should be taken into account for designing future proteome studies on RF-EMF effects.

A further limitation of the reliability of some studies is the low number of gel replicates. Normally, the silver-based approach requires high numbers (ten or more) of gel replicates. However, in three of the studies the authors rely on only three gel replicates $[30, 31, 35]$ $[30, 31, 35]$ $[30, 31, 35]$ $[30, 31, 35]$ $[30, 31, 35]$, which is not sufficient to draw any reliable conclusions and may lead to false negative or false positive results. In three studies with sufficient number of replicates were obtained two opposite results suggesting existence of effect of 900 MHz GSM exposure on protein expression [\[28,](#page-112-15) [29\]](#page-112-16) but indicating lack of effect when exposing to 1,800 MHz GSM signal [\[32\]](#page-112-17).

The use of relatively novel DIGE system for 2-DE (2-D Fluorescence Difference Gel Electrophoresis), currently considered to be the gold standard in 2-DE, might have been limited in mobile phone radiation proteomics studies by the availability of specialized hardware in the laboratories performing the studies and the high costs for the reagents. There is only a single study [\[33\]](#page-112-18) where changes in protein expression were examined using 2-DE-DIGE system and with a

sufficiently high number of ten replicate gels. This study has shown that the exposure of human primary endothelial cells at SAR of 2.0 W/kg for 1 h using an 1,800 MHz GSM signal does not cause changes in protein expression. Because of the techniques used, this is the most reliable differential proteome analysis to date.

An interesting hypothesis has been presented in the study by Gerner and co-workers [\[34\]](#page-112-19). The authors suggest that the protein expression changes might be not the best end-point to show effects of RF-EMF. They have demonstrated that in the cells exposed to mobile phone radiation changes de novo synthesis of some proteins, without statistically significant change in the overall protein expression. However, these conclusions are weakened by only three gel replicates performed in the study, what is not sufficient for reliable determination of changes in protein expression.

The observations of Gerner and co-workers [\[34\]](#page-112-19) and Leszczynski and co-workers [\[27\]](#page-112-11) suggest that examining of only protein expression is not sufficient to detect effects of mobile phone radiation. Experiments that examine de novo protein synthesis as well as post-translational modifications of proteins (e.g. phosphorylation) are necessary in order to determine impact low-energy RF-EMF exposures on cell proteome.

The numbers of proteins shown to be affected by RF-EMF, in all proteomics studies that show an effect, are not only low but they are lower than the number of expected false positives. This argument is often used to suggest that there is no effect of RF-EMF on cell proteome. However, the low number of responding proteins, even when it is below the expected rate of false positives, does not mean automatically that the every protein appearing as affected by RF-EMF is a sure false positive finding. The calculation of the number of expected false positives shows only the probability that the affected proteins might be false positives, but it does not prove that all of them are indeed false positives. The proof can be only obtained by biological experiment. This has been demonstrated in study where the number of detected statistically significantly affected

proteins was lower than the expected number of false positives [\[28\]](#page-112-15). However, further western blot analysis of the changes in the expression of one of the affected proteins, vimentin, has shown that this protein did respond to RF-EMF.

6.4 Conclusions

It is necessary to keep in mind that the execution of a screening study using proteomics, or other high-throughput screening approach, is just the beginning of the process of finding out the biological effects of RF-EMF. The validity of expression changes detected in identified protein targets needs to be confirmed by other, non-highthroughput screening methods. Such validation experiments were performed only in four of the nine published proteomics studies. In two of them [\[27,](#page-112-11) [28\]](#page-112-15) the authors were able to confirm that the proteomics identified targets were correct. Whereas in two other studies, were not [\[32,](#page-112-17) [35\]](#page-112-12). However, the success of the validation experiments depends e.g. on the availability of antibodies directed against the identified protein targets [\[32\]](#page-112-17). Once the affected proteins are confirmed, this information can be used for determining what physiological functions of the cell might be altered by the observed protein expression change and whether the observed change is of sufficient magnitude to change cell physiology. Only when the altered protein expression is able to alter cell physiology we can suspect that the effect might have some potential to cause biological or healthrelated effects but if the cell physiology will not be affected then there will not be any risk of health effects.

In summary, because of the small number of executed proteomics studies, with the variety of shortcomings caused by study design and by the availability of methods and reagents, the information provided by them is very limited, at the best. In the future, proteomics studies should be continued [\[36\]](#page-112-20) in order to get sufficient amount of information that will permit to draw valid conclusions about the impact of RF-EMF on cell proteome and, consequently, on cell physiology.

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7 Global Protein Expression in Response to Extremely Low Frequency Magnetic Fields

Guangdi Chen and Zhengping Xu

Abstract

Daily exposure to extremely low frequency magnetic fields (ELF MF) in the environment has raised public concerns on human health. Epidemiological studies suggest that exposure to ELF MF might associate with an elevated risk of cancer and other diseases in humans. To explain and/or support epidemiological observations, many laboratory studies have been conducted to elucidate the biological effects of ELF MF exposure and the underlying mechanisms of action. In order to reveal the global effects of ELF MF on protein expression, the proteomics approaches has been employed in this research field. In 2005, WHO organized a Workshop on Application of Proteomics and Transcriptomics in electromagnetic fields (EMF) Research in Helsinki, Finland to discuss the related problems and solutions. Later the journal Proteomics published a special issue devoted to the application of proteomics to EMF research. This chapter aims to summarize the current research progress and discuss the applicability of proteomics approaches in studying on ELF MF induced biological effects and the underlying mechanisms.

Keywords

Proteome • Protein expression • Non-ionizing radiation • Electromagnetic fields • EMF • Extremely low frequency magnetic fields • ELF-MF • Two-dimensional gel electrophoresis • Mass spectrometry • Yeast

7.1 Introduction

Daily exposure to electromagnetic fields (EMF), including extremely low frequency magnetic fields (ELF MF) in the environment has raised

public concerns about whether they have harmful consequences on human health. Several epidemiological studies suggest that exposure to EMF might associate with an elevated risk of cancer and other diseases in humans (reviewed in Feychting et al. [\[1\]](#page-115-0)). To explain and/or support epidemiological observations, many laboratory studies have been conducted, but the results were controversial and no clear conclusion could be drawn to assess EMF health risk.

G. Chen, Ph.D. $(\boxtimes) \cdot Z$. Xu, Ph.D.

Bioelectromagnetics Laboratory, Zhejiang University School of Medicine, Hangzhou 310058, China e-mail: chenguangdi@gmail.com; zpxu@zju.edu.cn

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It is reasoned that one of the priorities in EMF research is to elucidate the biological effects of EMF exposure and the underlying mechanisms of action. Proteins are key players in organisms, and it has been assumed that any biological impact of EMF must be mediated by alterations in protein expression [\[2,](#page-115-1) [3\]](#page-115-2). For example, heat shock proteins have been identified as EMF responsive genes and/or proteins in certain biological systems [\[4\]](#page-115-3). In order to reveal the global effects of EMF on protein expression, transcriptomics and proteomics, as highthroughput screening techniques (HTSTs), were eventually employed in EMF research with an intention to screen potential EMF-responsive genes and/or proteins without any bias. In 2005, WHO organized a Workshop on Application of Proteomics and Transcriptomics in EMF Research in Helsinki, Finland to discuss the related problems and solutions in this field [\[5,](#page-116-0) [6\]](#page-116-1). Later the journal Proteomics published a special issue devoted to the application of proteomics and transcriptomics to EMF research. This review aims to summarize the current research progress and discuss the applicability of proteomics approaches in the investigations on ELF MF induced biological effects and the underlying mechanisms.

7.2 Model Organism

Nakasono et al. has investigated the effects of protein expression in model system such as *Escherichia coli* and *Saccharomyces cerevisiae* using two dimensional gels electrophoresis (2-DE) method. When the bacterial cells were exposed to each MF at 5–100 Hz under aerobic conditions (6.5 h) or at 50 Hz under anaerobic conditions (16 h) at the maximum intensity (7.8–14 mT), no reproducible changes were observed in the 2D gels. However, the stress-sensitive proteins did respond to most stress factors, including temperature change, chemical compounds, heavy metals, and nutrients. The authors concluded that the high-intensity ELF MF (14 mT at power frequency) did not act as a general stress factor [\[7\]](#page-116-2). When using *Saccharomyces cerevisiae* as a model system, Nakasono et al. reported that no reproducible changes in the 2D gels were observed in yeast cells after exposure to 50 Hz MF at the intensity up to 300 mT for 24 h [\[8\]](#page-116-3). In this study, only three sets of gels from three independent experiments were analyzed.

Using 2-D Fluorescence Difference Gel Electrophoresis (2-D DIGE) technology and mass spectrum (MS) in a blind study, Sinclair et al. have investigated the effects of ELF MF on the proteomes of wild type *Schizosaccharomyces pombe* and a Sty1p deletion mutant which displays increased sensitivity to a variety of cellular stresses [\[9\]](#page-116-4). The yeast cells were exposed to 50 Hz EMF at field strength of 1 mT for 60 min. While this study identified a number of protein isoforms that displayed significant differential expressions across experimental conditions, there was no correlation between their patterns of expression and the ELF MF exposure regimen. The authors concluded that there were no significant effects of ELF MF on the yeast proteome at the sensitivity afforded by 2D-DIGE. They hypothesized that the proteins identified in the experiments must be sensitive to subtle changes in culture and/or handling conditions [\[9\]](#page-116-4).

7.3 Mammalian Cells

Li et al. have performed a proteomics approach to investigate the changes of protein expression profile induced by ELF MF in human breast cancer cell line MCF-7. With help of 2-DE and data analysis on nine gels for each group, 44 differentially expressed protein spots were screened in MCF-7 cells after exposure to 0.4 mT 50 Hz MF for 24 h. Three proteins were identified by LC-IT Tandem mass spectrum (MS) as RNA binding protein regulatory subunit, proteasome subunit beta type 7 precursor, and translationally controlled tumor protein, respectively [\[10\]](#page-116-5). Further investigations, such as Western blotting, are required to confirm these ELF responsive candidate proteins.

Kanitz et al. used proteomic methods to investigate the biochemical effects induced by MF exposure in SF767 human glioma cells [\[11\]](#page-116-6). The

cells were exposed to 1.2 μ T of 60 Hz MF or epidermal growth factor (EGF). SF767 cells were exposed for 3 h to sham conditions ($<$ 0.2 μ T ambient field strength) or $1.2 \mu T$ of MF with or without combination of 10 ng/ml of EGF. The solubilized proteins from four groups of cells (sham; 1.2 μ T MF; sham + EGF; 1.2 μ T MF + EGF) were loaded for electrophoresis by 2D-PAGE and stained using a colloidal Coomassie blue technique to resolve and characterize the proteins. The spots with significant alterations in the densities were excised and subjected to peptide mass fingerprinting. After exposure to $1.2 \mu T$ of MF for 3 h, the mean abundances of ten identified proteins were significantly altered, including three proteins with increased expression level and seven decreased. In the presence of EGF, the MF exposure changed protein expression profile in SF767 cells, and four proteins were identified with increased expression level and two decreased. The authors suggested that differentially expressed proteins in SF767 cells may be useful as biomarkers for biological changes caused by exposure to magnetic fields. However, these candidate MF responsive proteins should be validated and the biological functions need further elucidated.

In the recent study by Sulpizio et al., human SH-SY5Y neuroblastoma cells were exposed to a 50 Hz, 1 mT sinusoidal MF at three different times i.e. 5 days (T5), 10 days (T10) and 15 days (T15) and then the effects of MF exposure on proteome expression were investigated by 2D-PAGE and MS analyses [\[12\]](#page-116-7). Through comparative analysis between treated and control samples, the authors analyzed the proteome changes induced by the MF exposure. Nine new proteins resolved in sample after a 15 day treatment, were involved in a cellular defense mechanism and/or in cellular organization and proliferation such as peroxiredoxin isoenzymes (2, 3 and 6), 3-mercaptopyruvate sulfurtransferase, actin cytoplasmatic 2, t-complex protein subunit beta, ropporin-1A and profilin-2 and spindlin-1. The authors also showed that the MF exposure altered the cell proliferation and cell viability. Furthermore, the MF-exposed cells showed a higher and more widespread expression level of alpha tubu-

lin, especially in the periphery of cell clusters, compared to control cells, suggesting that the MF exposure induce a spatial orientation of cells. The authors hypothesized that the MF exposure could trigger a shift toward a more invasive phenotype. However, future studies are needed to address this hypothesis.

7.4 Summary

Generally, recent studies on global protein expression responding to ELF MF have been conducted in different biological systems by applications of different proteomics approaches. The mammalian cells seem more sensitive to ELF MF exposure, however, the bacterial and yeast cells, as model organism, did not react to ELF MF exposure. Some proteome analyses showed that ELF MF exposure could change protein expression in the mammalian cells; there are lacks of confirmations by other assays to determine if they are real ELF MF responsive proteins and future studies are needed to elucidate the biological functions of these candidate ELF MF responsive proteins. The human neuroblastoma cell line SH-SY5Y is suggested as a model to further confirm the effect of ELF MF on global protein expression, and the role of ELF MF responsive proteins in ELF MF induced cell behavior changes.

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8 Ultraviolet Radiation Effects on the Proteome of Skin Cells

H. Konrad Muller and Gregory M. Woods

Abstract

Proteomic studies to date have had limited use as an investigative tool in the skin's response to UV radiation. These studies used cell lines and reconstructed skin and have shown evidence of cell injury with oxidative damage and stress induced heat shock proteins. Others changes included altered cytokeratin and cytoskeletal proteins with enhanced expression of TRIM29 as the keratinocytes regenerate. The associated DNA repair requires poln, Rad18/Rad16 and Rev1. In the whole animal these events would be associated with inflammation, remodelling of the epidermis and modulation of the immune response. Longer term changes include ageing and skin cancers such as melanoma, squamous cell carcinoma and basal cell carcinoma. In the future proteomics will be used to explore these important aspects of photobiology. Better characterisation of the proteins involved should lead to a greater understanding of the skin's response to UV radiation.

Keywords

Proteome • Skin • Non-ionizing radiation • Ultraviolet radiation • UV • UVA • UVB • UVC • Acute effects • Sunburn cells • Keratinocyte • Apoptosis • Delayed effects • Tanning • Skin cancer • Melanoma • Immune system • Langerhans cells • Immune suppression

H.K. Muller (\boxtimes) School of Medicine, University of Tasmania, Private Bag 34, Hobart, TAS 7000, Australia e-mail: Konrad.Muller@utas.edu.au

G.M. Woods School of Medicine, University of Tasmania, Private Bag 34, Hobart, TAS 7000, Australia

Menzies Research Institute Tasmania, Private Bag 23, Hobart, TAS 7000, Australia e-mail: G.M.Woods@utas.edu.au

8.1 Background

8.1.1 Introduction

Ultraviolet (UV) radiation produces both beneficial and adverse effects on the skin. The former includes Vitamin D synthesis while adverse effects range from acute to chronic changes including DNA damage, ageing and skin cancer. The

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skin immune response is also modulated by UV radiation. The morphological events associated with UV radiation have been well characterised and range from sunburn cells in acute injury through to malignancy.

Ultraviolet radiation is part of the electromagnetic spectrum that includes x-rays, cosmic rays and visible light of the sun's emission spectrum. The UV spectrum is divided into three components: UVA (320–400 nm), UVB (280– 320 nm) and UVC (200–280 nm). UVC does not normally reach the earth's surface and is filtered out by the ozone layer. UVB has a wide range of both acute and chronic adverse effects and is the major wavelength responsible for skin cancer. UVA is less damaging, being associated with ageing skin events, particularly dermal protein and elastic changes. More recent studies have implicated UVA in cutaneous carcinogenesis, particularly by oxidative DNA damage. Both UVB and UVA have effects on the skin immune system, although UVB has been analysed in more detail and has a greater range of effects than UVA [\[1\]](#page-123-0).

8.1.2 Acute Effects of Ultraviolet Radiation

The most obvious effect of UV radiation on the skin is acute inflammation associated with sunburn. The initial response in the first 30 min is associated with histamine release. The peak reaction at 24 h, is accompanied by subepidermal blistering and infiltration of the tissue with neutrophilic granulocytes and is mediated by prostaglandins (PGE2) and leukotrienes [\[2\]](#page-123-1). At this time proinflammatory cytokines such as IL-1 and IL-6 are released from the damaged tissue as are anti-inflammatory molecules such as IL-4 and IL-10. IL-10 had a profound immunoregulatory role involving regulatory T cells (Tregs) [\[3\]](#page-123-2).

A morphological feature of sunburn is the presence of sunburn cells in the epidermis. These are apoptotic keratinocytes as a result of DNA damage, which are present by 6 h and peaks at approximately 24 h [\[4\]](#page-123-3). The damaged DNA is not repairable and caspases are activated leading to DNA fragmentation and apoptosis. The apoptotic cells are removed by phagocytic cells [\[5\]](#page-123-4). Interestingly it appears to be the IL-10-producing macrophages that preferentially remove the apoptotic cells [\[6\]](#page-123-5).

Endonucleases can repair DNA damage leading to cell survival and allowing epidermal regeneration. Regeneration tends to peak at 48–72 h and the epidermis is now hyperplastic and much thicker than normal. The outer keratin layer is also increased and remodelling of the epidermis follows [\[4\]](#page-123-3).

Epidermal repair post UV radiation is not an accurate process and leads to DNA mutations. Classically, the UVB radiation causes cross linking of adjacent thymine groups resulting in thymine dimer formation. The dimers are removed by endonucleases and the thymine replaced. However, with mutations a wrong base is inserted converting an AT to a CG pairing. These mutations are retained providing a basis for subsequent neoplastic development.

Another feature of the acute response is suntanning or pigmentation due to the production of melanin in the melanocytes. Melanin, a protein produced from tyrosine within melanocytes, is packaged in melanosomes. Sun-tanning may be immediate or delayed. Immediate pigmentation only lasts minutes to hours and is due to a redistribution of the existing melanin into the adjacent keratinocytes. With delayed tanning new melanin is produced, which occurs within hours and the production can last for days. UVB is more effective than UVA in generating new melanin [\[7\]](#page-123-6). Delayed tanning may occur without burning after UVA, but not usually after UVB [\[8\]](#page-123-7).

8.1.3 Chronic Effects of Ultraviolet Radiation

The long term effects of ultraviolet radiation exposure are enhanced skin ageing and skin cancer. Long exposure across life to the sun's ultraviolet radiation increases the ageing process. At the gross level it is seen in the most sun exposed areas such as the face and arms where the skin is wrinkled and there is a loss of texture. On skin biopsies, the dermal connective

tissue shows marked degeneration of the collagen and elastic replication, a lesion known as solar elastosis.

The most important long term effects of ultraviolet light are skin cancers. Here we have a spectrum of changes ranging from the premalignant lesion, solar keratosis, through to the malignant lesions, squamous and basal cell carcinomas and malignant melanoma. With solar keratosis there is a hyperplastic lesion with increased keratin production and epidermal downward projection into the dermis. Within these there are areas of cell atypia and loss of cell polarity. It is these particular cellular features that may lead to invasive squamous cell carcinoma. Yet the number of lesions that progress down this path are relatively small. A population study by Marks and colleagues in 1988 reported that the risk of solar keratosis progressing to squamous cell carcinoma within 1 year was less than 1 per 1,000 [\[9\]](#page-123-8).

With both squamous and basal cell carcinoma there is local invasion of the dermis with malignant keratinocytes in the former which can still produce keratin as we see in keratin pearls in the centre of well differentiated tumours. With basal cell carcinoma these arise from cells in the basal epidermal layer. A feature of this tumour is its local destructive capacity if not excised. They can invade bone and muscle if not treated [\[10\]](#page-123-9).

Melanoma arises from malignant melanocytes also found in the basal epidermal layer. While pathologists recognise various patterns of melanoma growth, they have the capacity to spread widely in the body and metastasise to all tissues including cardiac muscle. A challenge for the pathologist remains the problem of atypical melanocytic lesions arising in the basal epidermis; these remain diagnostic challenges.

Ultraviolet radiation is a causative agent in all these malignant skin tumours. With squamous cell carcinoma there is repeated long term exposure so that these lesions are seen particularly in the sun exposed areas of outdoor workers. With basal cell carcinoma there is evidence of both acute exposure in early life [\[11\]](#page-123-10) and long term exposure on sunlight exposed areas [\[12,](#page-123-11) [13\]](#page-123-12).

Melanoma can arise from either non-exposed or ultraviolet radiation exposed skin. With the

former these may be preceded by pigmented naevi [\[14,](#page-123-13) [15\]](#page-123-14). However, sunburn doses of ultraviolet light in childhood are clearly linked to melanoma developing in adult life. In this situation initiated precursors of melanoma remain in the skin for years. Our knowledge of the events at this point is poorly understood. Attempts to analyse this problem have used transgenic mice expressing either HGF [\[16,](#page-123-15) [17\]](#page-123-16) or TPras [\[18,](#page-123-17) [19\]](#page-123-18). Such mice exposed to ultraviolet light in the neonatal period at 3 days develop malignant melanoma by 4 months at the earliest. What is also evident at this early time in the neonatal period is immune tolerance $[20]$. So, in this setting precursor cells for melanoma are being established at a time when there is limited immunosurveillance [\[21\]](#page-124-1).

8.1.4 Ultraviolet Radiation and the Skin Immune System

Ultraviolet radiation of skin not only results in cutaneous cancers but also induces immunosuppression in human and animal models. The most detailed analysis has been carried out in mice. UVB causes both systemic and local immunosuppression. In the former, when antigen is applied to UVB treated skin at a site distant to that of UV exposure, immunosuppression of the whole animal follows within 24 h. With local immunosuppression antigen is applied directly to the site of UV treatment, again leading to down regulation of the immune response. This local immunosuppression is associated with depletion of Langerhans cells (LC) from the skin so that antigen presented at this site is processed by newly arrived LC [\[20\]](#page-124-0). Local and systemic suppression are associated with the generation of Tregs. Their transfer into mice has formally demonstrated this either before or after they have been exposed to specific antigen [\[22,](#page-124-2) [23\]](#page-124-3). Specificity of the immunosuppression is central to this concept.

The induction of these immunosuppressive events, particularly in local immunosuppression, involves altered LC and cytokines produced by keratinocytes and mast cells. These include

prostaglandins, cis-urocanic acid (UCA) and IL-10 [\[2\]](#page-123-1). The receptor for the UCA is 5 hydroxytryptamine [\[24\]](#page-124-4).

The release of immunomodulating molecules from mast cells post UVB also involves neuropeptides such as substance P and calcitonin gene related protein (CGRP) released from the axon reflex [\[25](#page-124-5)[–27\]](#page-124-6). These trigger degranulation of mast cells and the production of molecules such as IL-10 which limits skin pathology associated with UVB radiation [\[28\]](#page-124-7) and suppresses germinal centre formation [\[29\]](#page-124-8).

With local immunosuppression the altered LC have modified antigen presentation so that antigen specific regulatory T cells (Tregs) are induced. In contrast antigen presenting cells are not altered by UVB induced systemic suppression. In this case the immunosuppression is mediated by IL-10 and/or cis-urocanic acid and the generation of specific Tregs is associated with the induction of rank ligand $[30]$. More recent experiments by Byrne and Halliday have implicated regulatory B cells in this systemic response post UVB exposure [\[31–](#page-124-10)[33\]](#page-124-11). In addition, UVB induced DNA changes have also been described as a key step leading to the production of suppressive cytokines [\[34,](#page-124-12) [35\]](#page-124-13).

The studies of Kripke and Fisher in the early 1970s provided the first link between UV induction and growth of skin tumours and immunosuppressive events. While these squamous cell tumours were highly antigenic and could be rejected in normal mice, in those that had been treated with UVB the tumours were not rejected. In fact, T suppressor cells as they were called developed in UV radiated mice before the development of tumours [\[35a\]](#page-124-13). Failure to mount an effective immune response to tumours allows their growth and metastatic spread [\[21,](#page-124-1) [36\]](#page-124-14).

The above is a broad overview highlighting the major acute and chronic effects of UVB radiation on the skin prior to the advent of proteomics. The use of proteomics now offers the opportunity to more precisely characterise key events in the skin after UVB radiation. These can be based on skin specimens obtained from whole animal studies or using in vitro systems and cultured cells.

8.2 UV Radiation and Proteomics

Review of the literature reveals few studies in this area and most have involved cultured cells or artificial skin.

For comparison the normal skin proteome needs to be available so that changes induced by UV radiation can be evaluated. The only studies we are aware of on normal skin are on murine epidermis [\[37\]](#page-124-15).

Our own study on neonatal murine epidermis identified 179 protein differences between neonatal (day 4) and mature (day 21) skin. Of particular interest was the overexpression of stefin A in neonatal epidermis suggesting that this protein has an important role in development and potentially the neonatal immune response [\[38\]](#page-124-16). This approach provided an opportunity to identify a range of proteins that are altered in different situations. One ideal situation would be a comparative study of UV treated and normal skin.

With large doses of UV radiation skin burning occurs with apoptosis of keratinocytes and impairment of protein production [\[39\]](#page-124-17). Besides interacting with DNA, UV also interacts with cellular chromophores and photosensitizers with the generation of reactive oxygen species that cause oxidative damage. This triggers cellular signalling pathways related to growth differentiation, senescence, dermal connective tissue degradation and inflammation [\[40,](#page-124-18) [41\]](#page-124-19). Some of these events have been analysed in the following studies, which we now summarise.

Repeated sub lethal doses of UVB induce an alternative differentiation state rather than cell death and senescence of cultivated human keratinocytes lacking functional p16^{INK-4a} immortalised with telomerase and retaining their ability to differentiate [\[42,](#page-124-20) [43\]](#page-124-21). Bertrand-Vallery and colleagues used these cells called N-hTERT to perform a proteomic analysis after repeated doses of UVB; doses of 300 mJ/cm² per exposure were used. Proteomic profiling with fluorescent two dimensional differences in-gel electrophoresis (2D-DIGE) demonstrated some 69 proteins following up to 64 h post UVB treatments [\[44\]](#page-124-22).

In these experiments UVB induced an increased abundance of involucrin, a late marker of differentiation and cytokeratins K6, K16 and K17 [\[42\]](#page-124-20). Other proteins found were plasminogen $activation-inhibitor-2 (PAI-2), 14-3-3-6, phospho$ rylation of p38MAPK and heat shock protein 27 (HSP27). Other proteins not previously described in abundance after UVB were TRIpartite Motif Protein 29 (TRIM29), Cap G and cytokeratin 8 (K8). They also found an elevated secretion of metalloproteinase-9 as seen in primary keratinocytes in vivo in the epidermis [\[40,](#page-124-18) [45,](#page-124-23) [46\]](#page-124-24).

Cap G is an actin-capping protein involved in cell signalling, receptor mediated membrane ruffling, phagocytosis and cell mobility [\[40,](#page-124-18) [45,](#page-124-23) [46\]](#page-124-24). Actin binding proteins are among cytoskeletal proteins regulated by UV [\[47\]](#page-124-25). S73 phosphorylation of K8 is a stress response [\[48\]](#page-125-0). Such post translational modifications could promote the survival of keratinocytes after repeated doses of UVB.

Bertrand-Vallery et al. showed a correlation between TRIM29 abundance and cell survival after exposure to UVB. The ERK pathway is linked to cell survival [\[49\]](#page-125-1). Activated p38^{MAPK} contributes to the activation of numerous transcription factors associated with cell cycle arrest, differentiation, apoptosis and inflammation in injured tissues [\[50\]](#page-125-2).

UVB-induced increase of TRIM 9 mRNA and protein is regulated by PKC8, which can phosphorylate the TRIM29 protein. The expression of TRIM29 can be knocked down by shRNA decreasing survival of N-hTERT cells after UV treatment. This confirms the functional role of TRIM29 [\[44\]](#page-124-22).

Bertrand-Vallery et al. concluded that TRIM29 allows keratinocytes to enter an alternative survival differentiation process rather than die after UVB induced stress [\[44\]](#page-124-22). These studies contrast with higher doses of UVB where apoptosis and sunburn cells are induced via caspases [\[51\]](#page-125-3). However, cells that survive UV radiation are likely to carry mutations that activate malignant pathways.

Hensbergen and colleagues used three dimensional human skin cultures, representing a substitute for human skin, to examine the

proteomic profile of these cells after exposure to solar simulated light (96% UVA, 4% UVB) [\[52\]](#page-125-4). These epidermal constructs retain a functional stratum corneum, which enables UV doses to be used similar to human sunlight exposure. They used two dimensional polyacrylamide gel electrophoresis (2D-PAGE) to detect differential expressions of proteins. Their analysis defined 11 proteins, which were further examined by mass spectrometric analysis. Prominent among these were heat shock protein (HSP27), superoxide dismutase (MnSOD) and PDX-2 and 60S acidic ribosomal phosphoprotein PO, which are known to be involved in UV responses. They identified two novel proteins, cofilin-1 and destrin, which were down regulated. On further analysis these proteins were de-phosphorylated reflecting their activation status.

Cofilin is an actin-related protein associated with actin polymerization which effects cell motility and morphology. Destrin is also involved in actin polymerization. Again, this study shows that cytoskeletal proteins are a major group regulated by UV radiation. As such, they are associated with regenerative mechanisms as well as inflammation observed in vivo [\[52\]](#page-125-4).

UVA is associated with photoaging and carcinogenesis, the former demonstrated by dermal connective tissue damage. Lamore et al. [\[53\]](#page-125-5) have used proteomics to identify proteins in cultured human skin fibroblasts treated with UVA. They used both chronic and acute protocols and protein changes were characterised by 2D-DIGE. Of the proteins identified, nucleophosmin and cathepsin B were highlighted. Nucleophosmin was upregulated after UVA treatment, whereas cathepsin B was downregulated. The downregulation of cathepsin B as a representative of lysosomal proteases is associated with the build up of lipofuscin in fibroblasts. This accumulation contributes to photodamage and the ageing process.

The failure to repair thymine dimers is found in xeroderma pigmentosis due to deficiencies in translesion synthesis (TLS) involving specialised DNA polymerase. Yuasa et al. have analysed this further using HeLa cells over expressing DNA poln. After exposure to UV radiation they found poln interacts with Rad18/Rad6 and/or Rev1

protein in chromatin fractions. Rev1 was less abundant than Rad18. They used mass spectrometry and Western blot analysis to define these changes. They concluded that with UV induced DNA damage and the presence of thymine dimers effective DNA repair requires poln, Rad18/Rad6 and Rev1. The interaction of these proteins is initiated through replication fork arrest at the sites of UV induced UV induced DNA damage [\[54\]](#page-125-6).

UV radiation is well known to induce cutaneous skin tumours. However, to date there are no reports using proteomics to analysis these tumours and their development. Shi et al. did use proteomics to examine the differences between progressive and regressive UV-induced fibrosarcomas [\[55\]](#page-125-7). They used cell lines to undertake these investigations. Twenty-five differentially expressed proteins were revealed, including 14-3-3 proteins, heat shock proteins, profilin-1 and a fragment of complement C3. Three secreted proteins were myeloperoxidase, alpha-2-macroglobulin and a vitamin D binding protein.

With progressive tumours only seven proteins stood out, particularly 60S ribosomal proteins, complement C3, galactokinase 1, and transketolase. With regressive tumours there were 18 proteins. The abundant ones were three different heat shock proteins, 14-3-3 proteins (beta/alpha and epsilon), alpha actin, proteasome sub units (alpha type 3 and beta type 2) and profilin. Of particular interest are the 14-3-3 proteins as 14- $3-3\sigma$ is involved in controlling epidermal proliferation/differentiation by forcing cells to exit the cell cycle. Its down regulation can lead to the immortalisation of keratinocytes [\[56\]](#page-125-8).

A challenge is to relate these differential protein expression profiles to the biology of these tumours. Heat shock protein is a molecule linked to immune events and hence raises the place of immunity in these regressive tumours. Profilin is a tumour suppressor [\[57\]](#page-125-9) and hence its presence would favour regression. The limited number of proteins in the progressive tumours remains a puzzle.

Ripken did characterise immune UV-induced squamous cell carcinomas with either progressive or regressive characteristics [\[58\]](#page-125-10). Halliday has

used these cells to examine immune events associated with these tumours [\[59,](#page-125-11) [60\]](#page-125-12). Proteomics now offers another approach to study these tumours, particularly the differentiation events and death pathways.

8.3 Discussion

The use of proteomics to study the effects of UV radiation on skin is to date limited. Cell lines and reconstructed skin have been used to characterise a range of changes after UV exposure. These include evidence of oxidative damage, the expression of stress-induced heat shock proteins, cytokeratins and cytoskeletal proteins, including actin-binding proteins like cofilin. These reflect cell injury and the regenerative capacity of injured keratinocytes [\[42,](#page-124-20) [52\]](#page-125-4).

An important finding of Bertrand-Vallery and co-workers was the expression of enhanced levels of TRIM29 allowing keratinocytes to enter a survival pathway rather than cell death. No doubt these cells would retain UV-induced mutations that trigger the malignant pathway [\[44\]](#page-124-22).

While upregulation of proteins is prominent in these studies, down regulation of proteins is also a feature as seen with cathepsin B in UVA treated human skin fibroblast cultures [\[53\]](#page-125-5).

The findings of Yuasa et al. are important showing that UV induced DNA damage for effective repair requires poln, Rad18/Rad6 and Rev1 [\[54\]](#page-125-6).

In the whole animal these changes in cultured cells are reflected in the regeneration of keratinocytes across 24–72 h and subsequent remodelling of the epidermis [\[4\]](#page-123-3). It needs to be stressed that while these proteomics studies of cultured cells provides important information it must be seen in the context of the total skin response to UV radiation which extends over days to weeks and involves not only keratinocytes and fibroblasts, but inflammatory and immune cells, including mast cells. To date we have found no proteomic studies on the proteins produced by these latter cells after UV radiation. Molecules we know are involved in these reactions are histamine, prostaglandins, leukotrienes, cytokines and cis-urocanic acid [\[2\]](#page-123-1). It is likely

that proteomic studies on the inflammatory and immune cells associated with the events after UV radiation would provide critical information into the molecular events involved and provide a direction for further study.

In the future proteomics could be used to clarify some of the questions involved in photobiology. These include short term versus long term effects of UVB and UVA, skin ageing and the complexity of the malignant process in nonmelanoma cancer e.g. squamous and basal cell carcinomas as well as melanoma. The study of Lamore et al. using proteomics has implicated cathepsin B leading to a build up of lipofuscin in fibroblasts in photodamage and the ageing process [\[53\]](#page-125-5). This provides a starting point for further studies.

The study of Shi et al. shows the complexity of the range of proteins produced by UV induced progressive and regressive fibrosarcomas [\[55\]](#page-125-7). But it does provide a model to study progressive and regressive UV induced squamous cell carcinomas. A real challenge is to analyse human skin tumours and their precursor lesions. The relationship between solar keratosis and squamous cell carcinoma could well be dissected using proteomics. Key questions remain in our understanding of melanoma including its genesis and tumours arising in skin and non-sun exposed skins. Proteomics as well as the many different dermal connective tissue tumours such as dermatofibromas and dermatofibrosarcoma protuberans could explore these questions.

This overview of the effects of UV radiation on skin has revealed limited use of proteomics as an investigative tool in the skin response to UV radiation. We conclude this could provide major insights not only in the acute response to UVinduced skin injury but particularly to ageing and the challenges of a diverse range of skin tumours, epithelial, melanocyte and those of the dermal connective tissue.

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9 Effects of Ultraviolet Radiation on Skin Cell Proteome

Riikka Pastila

Abstract

Ultraviolet (UV) radiation is known to cause both positive and negative health effects for humans. The synthesis of vitamin D is one of the rare beneficial effects of UV. The negative effects, such as sunburn and premature photoaging of the skin, increase the risk of skin cancer, which is the most detrimental health consequence of UV radiation. Although proteomics has been extensively applied in various areas of the biomedical field, this technique has not been commonly used in the cutaneous biology. Proteome maps of human keratinocytes and of murine skin have been established to characterize the cutaneous responses and the age-related differences. There are very few publications, in which proteomic techniques have been utilized in photobiology and hence there is no systematic research data available of the UV effects on the skin proteome. The proteomic studies have mainly focused on the UV-induced photoaging, which is the consequence of the long-term chronic UV exposure. Since the use of proteomics has been very narrow in the photobiology, there is room for new studies. Proteomics would offer a cost-effective way to large-scale screen the possible target molecules involved in the UV-derived photodamage, especially what the large-scale effects are after the acute and chronic exposure on the different skin cell populations.

Keywords

Proteome • Skin • Non-ionizing radiation • Ultraviolet radiation • Photo aging • Skin cancer • Melanoma

R. Pastila (\boxtimes)

STUK – Radiation and Nuclear Safety Authority, P.O. Box 14, Helsinki FI-00881, Finland e-mail: Riikka.Pastila@stuk.fi

9.1 The Effects of UV on the Skin

Sunlight is the most prominent source of ultraviolet (UV) radiation. Ultraviolet radiation spans a wavelength of 100–400 nanometers (nm), being both non-ionizing and non-visible. The terrestrial

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spectrum of solar UV radiation consists, depending on latitude and season of the year, of 1–5% ultraviolet B radiation (280–320 nm), whereas the majority of the radiation reaching the Earth's surface belongs in the ultraviolet A (320–400 nm) region. Several artificial UV sources, mainly the UV lamps, have been developed for the various purposes, such as for the cosmetic tanning of the skin, for the therapeutic use in the phototherapy, and for the sanitation and germicidal use.

The acute signs of UV exposure are pigmentation (tanning), erythema (sunburn) and the synthesis of vitamin D, which is one of the rare beneficial health effects of UV radiation. Chronic exposure to UV radiation causes premature skin aging and increases the risk of skin cancer. The carcinogenic potential of UV is associated with its ability to suppress the cell-mediated immune responses. Primarily this phenomenon was supposed to prevent the development of excessive inflammation. However, UV-induced immunosuppression may comprise a major risk factor for the development of skin cancer by allowing cancer cells to escape from the immunosurveillance [\[1\]](#page-130-0).

Skin consists of two major layers. The outermost layer, epidermis, is made up of the stratified squamous epithelium providing the protective barrier against environmental stress. It is formed mainly of keratinocytes, Langerhans cells, and melanocytes. Beneath the epidermis lies a dermis layer, composed of fibroblasts and the connective tissue. Dermis offers skin the strength and elasticity and it also contains the blood capillary system that provides nutrients, and aids in the body's temperature regulation and in metabolic waste product removal.

UVA and UVB radiation have different biological effects on skin. UVB wavelengths are estimated to contribute 80% of the harmful effects of exposure to the sun [\[2\]](#page-131-0). Photon energy grows along with the shorter wavelength and thus, UVB wavelengths are more potent in initiating skin carcinogenesis through DNA damage than UVA. UVB mutagenesis is characterized by a high frequency of CC to TT transitions in a

DNA strand. These CC to TT tandem mutations are considered as the UVB signature mutations, i.e. so called pyrimidine hot-spots [\[3,](#page-131-1) [4\]](#page-131-2). The genotoxicity of UVA occurs mainly through an indirect photosensitization process via the generation of reactive oxygen species (ROS) that are capable of inducing oxidative DNA damage and mutations $[5, 6]$ $[5, 6]$ $[5, 6]$.

UV radiation is considered as a complete carcinogen of non-melanoma skin cancers (NMSC) by initiating and promoting the carcinogenesis of squamous cell carcinoma (SCC) and basal cell carcinoma (BCC). A direct correlation between UVB-induced pyrimidine hot spot mutations in the tumor suppressor protein p53 and the onset of SCC and BCC provides direct evidence for the mutagenic role of UVB in skin carcinogenesis [\[7](#page-131-5)[–9\]](#page-131-6). In the melanoma development the main etiological risk factor is the UV radiation, although hereditary reasons also play a notable role in the progression of malignant melanoma. As with the NMSC, individuals sensitive to the sun, who do not tan and burn easily, are at the greatest risk.

Photoaging, i.e. premature aging of the photodamaged skin, is a result of chronic exposure to UV radiation. The clinical signs of photoaging are dryness, roughness, deep wrinkles, irregular pigmentation, elastosis and telangiectasia, which appear in areas heavily exposed to the sun, such as the face, neck and the upper extremities. Photoaging predisposes to the formation for solar keratosis, which is considered a precursor of squamous cell carcinoma. Although UVB radiation is mainly responsible for DNA damage and eventually skin cancer formation, UVA is considered a major factor in the process of skin photoaging. UVA-derived reactive oxygen species lead to the accumulation of disorganized elastic fibers in the dermal compartment causing also loss of interstitial collagen, the main component of the dermal connective tissue $[10]$. Indeed, the histological hallmark of photoaging, called as solar elastosis, is the massive accumulation of atypical elastotic material in the upper and middle dermis.

9.2 Proteome Analysis of the Skin

9.2.1 Mapping the Human Skin Cells

Proteomics provides nowadays an effective tool to analyze simultaneously the expression profiles of the several proteins. The high throughput protocols, e.g. two-dimensional polyacrylamide gel electrophoresis (2D-PAGE), fluorescent two dimensional difference in-gel electrophoresis (2D-DIGE), matrix-assisted laser desorption/ionization time-of-flight mass spectrometry (MALDI-TOF MS), and various chromatography techniques, like liquid chromatography (LC), or tandem mass spectrometry (MS/MS) allow the large-scale analysis of the whole proteome and enable the profiling of different protein isoforms and post-translational modifications, such as phosphorylation and glycosylation.

Although proteomics has been extensively applied in various areas of the biomedical field, this technique has not been commonly used in the cutaneous biology [\[11,](#page-131-8) [12\]](#page-131-9). In 1990s, Celis et al. gathered novel information from the epidermal keratinocytes of the biopsied human skin using 2D-PAGE, microsequencing, and mass spectrometry [\[13–](#page-131-10)[16\]](#page-131-11). They established a keratinocyte 2D-PAGE database, in which over 1,000 keratinocyte-derived proteins were identified. This database has been used in profiling bladder squamous cell carcinoma, which resembles closely skin keratinocytes both in morphology and protein expression patterns [\[17\]](#page-131-12). Quantitative analysis of protein expression profiles of human epidermis, biopsied from the elderly people, was also generated by Celis' group [\[18\]](#page-131-13). Skin proteome was identified by matching the gels with the master 2D gel image of human keratinocyte reference map described above, and selected proteins were confirmed by immunoblotting and/or mass spectrometry. Quantitative analysis of 172 proteins showed that the majority of them (148) remained unaffected by the aging process, but the 22 deregulated proteins, like manganese-superoxide dismutase (Mn-SOD) and heat shock protein 60 (HSP60), support the notion that aging is linked with increased oxidative stress, and the concomitant apoptosis. This is in accordance with the notion that aging is related with the increased oxidative stress, exacerbated by increased production of reactive oxygen species (ROS).

Other proteome maps of skin structures have also been generated. For example a proteome map of a human fibroblast cell line has been established to determine collagen and collagenrelated proteins, and to screen the metabolic disorders of the skin [\[19\]](#page-131-14). In addition, human epidermal plasma membrane has been characterized by 2D-LC and MS/MS [\[20\]](#page-131-15). In that study 57.3% of the identified proteins were assigned as integral membrane or membrane-associated proteins, such as intercellular adhesion proteins and gap junction proteins.

There are also few publications about proteome profiling of special skin organelles. Mitochondrial proteins are essential in metabolics and in regulating apoptosis, and number of disorders is known to be related to mitochondrial dysfunction. Mitochondria from human fibroblasts were characterized by nano-LC-MS/MS analysis of iTRAQ-labeled peptides to study the relative amounts of mitochondrial protein levels, and to identify the metabolic imbalance and cellular stress [\[21\]](#page-131-16). Melanosomes [\[22\]](#page-131-17) and lamellar bodies of the epidermis [\[23\]](#page-131-18) were identified by 2D-MS/MS, or by nano-LC-MS/MS, respectively, in a scope to study further the biogenesis of lysosome-related organelles. These organelles are responsible for many critical functions in the skin cells and proteome analysis will help and improve the understanding of their biological function.

9.2.2 Mapping the Murine Skin

To characterize the epidermal and dermal responses for the environmental changes, a reference proteome map from BALB/c

abdominal area was established by 2-DE and mass spectrometry [\[24\]](#page-131-19). Out of identified 34 proteins, 25 proteins were found to be expressed in the epidermal compartment, whereas 9 proteins were expressed more predominantly in the dermis. Proteins were involved for example in the stress response, apoptosis, growth inhibition, energy metabolism, cholesterol transport and scavenging the free radicals. In the future, this map might help to identify the protein targets for the prediction and prevention of environmentally induced skin conditions, such as skin allergy, carcinogenesis and microbial infections [\[12,](#page-131-9) [25\]](#page-131-20).

Scott et al. studied age-related differences in the mouse model. They identified 179 differentially expressed proteins in neonatal BALB/c mice skin as compared to the adult tissue [\[26\]](#page-131-21). One such protein was Stefin A, which was abundant in the neonatal skin, but its expression decreased with age, suggesting a functional change during development. Since Stefin A is normally up-regulated in the proliferative diseases, like psoriasis, authors postulated that it is likely that this protein could provide a useful target for diseases of abnormal proliferative conditions, including cancer [\[26,](#page-131-21) [27\]](#page-131-22).

9.3 Proteome Analysis of Skin Cells After UV Exposure

Proteomics is not widely used method in the photobiology. There are very few publications, in which proteomic techniques have been utilized in analyzing the UV-derived effects, and hence there is no systematic research data available of the UV effects on the skin proteome.

There is only one study so far, where the *acute* photodamage has been studied among the benign skin cells. Photodamage was induced by a single UVB exposure (100 mJ/cm^2) in the dermal fibroblasts by 2D-PAGE/MS, and obtained protein spots were confirmed by qPCR and Western blot analysis [\[28\]](#page-131-23). In the UVB-treated cells, 18 differentially expressed proteins were identified, from which receptor-interacting protein (RIP) and vimentin were significantly up-regulated. RIP plays a role in triggering apoptosis, whereas vimentin is a vital cytoskeletal protein in fibroblasts, protecting them from physical injury and DNA damage. Overexpression of vimentin can also delay a cell death. Authors speculated that RIP may represent a key regulatory step in triggering cell death after UVB exposure, whereas vimentin may contribute to the resistance of cells to UVB-induced damage [\[28\]](#page-131-23).

The proteomic studies have mainly focused on the UV-induced photoaging, which is the consequence of the long-term *chronic* UV exposure. Bertrand-Vallery et al. studied the effect of the multiple UVB radiation doses $(8 \times 300 \text{ mJ/cm}^2)$ on the keratinocytes by 2D-DIGE [\[29\]](#page-132-0). Sixty-nine differentially expressed proteins, which were involved in the keratinocyte differentiation and survival, were identified by LC-MS/MS. Out of the identified proteins the most interesting candidate for further analysis was TRIparite Motif Protein 29 (TRIM29), validated by Western blot, immunochemistry, and RT-PCR. It was found to be expressed very abundant in keratinocytes and reconstructed epidermis. Originally TRIM29 was discovered from the cells of Ataxia Telangienctasia patients, and it is known to partially suppress the sensitivity to ionizing radiation [\[30\]](#page-132-1). Bertrand-Vallery et al. suggested that TRIM29 participates in the survival of differentiating keratinocytes and may allow them to enter a protective alternative differentiation process, rather than die massively after UV-induced stress. This finding is a novel mechanism that allows the survival of keratinocytes as they migrate and differentiate in the skin $[29]$.

When the chronic UVA exposure-induced alterations were studied by 2D-DIGE/MS in the dermal fibroblast cell culture, the most pronounced protein undergoing UVA-induced down-regulation was cathepsin B, verified by Western blot analysis [\[31\]](#page-132-2). The used UVA regimen was given as a chronic exposure for 3 weeks and the total UVA dose was 59.4 J/cm2. Cathepsin B is a lysosomal cysteine-protease, which is known to be inactivated by oxidativestress related conditions in the cells. Indeed, Lamore et al. showed, that UVA-induced loss of cathepsin B enzymatic activity in fibroblasts was

suppressed by antioxidant intervention, and that UVA-irradiation of fibroblasts led to the massive lipofuscin accumulation in lysosomes, most likely due to the impaired cathepsin B activity. Authors discussed the possibility that cathepsin B may be a crucial target of UVA-induced photo-oxidative stress causatively involved in dermal photodamage through the impairment of lysosomal removal of lipofuscin [\[31\]](#page-132-2).

In addition to the cell culture studies, the albino hairless HR-1 mouse model has also been utilized when studying the photoaging [\[32\]](#page-132-3). Mice were irradiated 5 days per week for 7 weeks with the total UVB dose of 2.31 J/cm². Proteomic analysis revealed 17 differently expressed proteins in the photoaged skin, for example superoxide dismutase (SOD) and malonialdehyde (MDA). Additionally in this set-up, the antioxidant properties of Korean deciduous tree extract, *Machilus thunbergii Sieb et Zucc* (*M. thunbergii*), widely used in the traditional medicine, was examined. The dorsal skin of mice was treated topically with *M. thunbergii* for 2 h prior to UV irradiation and proteomes from the skin of each study group were analyzed. *M. thunbergii* treatment altered the expression of several proteins. Interestingly, among 15 proteins upregulated by UV-irradiation, 13 proteins were downregulated after treatment with *M. thunbergii*. Also the thickness of the dorsal skin was significantly decreased in the group that was UV-exposed and treated with *M. thunbergii* extract. This result possibly indicates that *M. thunbergii* might have had antiphotoaging effects [\[32\]](#page-132-3).

So far, there is only one proteomic study performed with human excised native skin after the chronic UV exposure. When skin samples were irradiated with solar simulated UV radiation for periods of 3 or 4 h (the total cumulative UV dose of 11.25 J/cm² and 15 J/cm², respectively) and analyzed by 2D-PAGE/MS, oxidative stress markers were seen expressed differentially in the UV irradiated samples and the non-exposed controls [\[33\]](#page-132-4). Apart from the proteins known as the UV-related oxidative stress markers, such as HSP27 and MnSOD, authors identified also two novel proteins that were down-regulated after UV exposure. Further analysis revealed that these

proteins were the de-phosphorylated forms of cofilin-1 and destrin, which are known to be actin-cytoskeleton modulators [\[33\]](#page-132-4).

9.4 Conclusions

As a summary, so far the UV-induced alterations found by the proteomic approach have mainly been involved in the oxidative stress-related changes. Since the use of proteomics has been very narrow in the photobiology, there is room for new studies. Proteomics would also offer a costeffective way to large-scale screen the possible target molecules involved in the UV-derived photodamage. Both in vitro and especially in vivo experimental set-ups are required to better answer the question what the large-scale effects are after the acute and chronic exposure on the different skin cell populations, and what novel target molecules can be found on the skin proteome after exposing the skin cells with the different wavelengths of UV radiation.

Finally, since the skin cancer formation is the most detrimental health hazard deriving from the UV radiation, proteomics might offer a useful way to study photocarcinogenesis. Malignant melanoma is associated with poor prognosis, especially if the tumor has progressed into the metastatic phase. Thus, early detection would improve substantially patient survival. Proteomics also appears to be an ideal choice for the discov-ery of new melanoma biomarkers in humans [\[34\]](#page-132-5). Proteins specifically secreted by tumor cells, for example proteins shed into the blood, may serve as early cancer biomarkers. Several proteomic approaches have been utilized in the search for clinically relevant biomarkers in melanoma, but so far the results have been relatively limited. Human melanoma proteomic studies have been reviewed in a detailed manner in the excellent review article written by Sabel et al. [\[35\]](#page-132-6).

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