Chapter 4 Application of Artificial Neural Networks in Short-Term Rainfall Forecasting

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 Abstract Short-term rainfall is important in agriculture, industry, the energy sector, and any other water-dependent activities where profitability depends on climatic conditions. The scarcity of reliable prediction models encouraged the authors of the present study to develop a modeling platform using a neurogenetic model to estimate rainfall occurrence within a short-term duration. The data on both the quantity and the probability of occurrence of rainfall based on the previous 1–5 days were used to predict the quantity and occurrence of rainfall 1–4 days hence. The potential of neurogenetic models to predict short-term rainfall on the basis of such a small-scale data set was analyzed with the aim of developing a software platform for laypeople and to help related professionals maintain the profitability of their organization by reducing the likelihood of wastage resulting from large-scale prediction errors, which are common with the available linear models. The results indicate that neurogenetic models can reliably predict rainfall 1, 3, and 4 days in advance, but not 2 and 5 days, if the models are trained with a suitable algorithm. The subpar performance of the 2- and 5-day rainfall prediction models was attributed to the choice of training algorithms and length of time, although the reliable prediction of rainfall even 1 day in advance warrants pursuing further development of the present investigation.

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M. Majumder and R.N. Barman (eds.), *Application of Nature Based Algorithm* 43 *in Natural Resource Management*, DOI 10.1007/978-94-007-5152-1_4, © Springer Science+Business Media Dordrecht 2013

4.1 Introduction

 The prediction of short-term rainfall (STR) involves the estimation of the intensity and/or frequency of rainfall events within a span of 5 days. Rainfall impacts the production efficiency of the essential services (like water, electricity, and gas), agriculture, stock exchanges, and various other water-dependent industries. Laypeople also are affected by rainfall events.

 Rainfall can impact daily water supplies from the water treatment plant (WTP) of any city because of the increase in suspended solids and pollutants in surface water. The chemical dozing pattern must be adjusted to prevent any excess of toxicity from affecting the quality of the treated water. If a rainfall event could be predicted within the next 24–48 h, then compensatory measures could be taken to maintain the quality of treated water.

 Demand for electricity depends on temperature and humidity. After a rainfall event both temperature and humidity decreases, which leads to a reduction in the demand for electricity. Because demand is reduced, production of electricity will need to be adjusted to prevent wastage. If the occurrence of rainfall events within a short span of time could be predicted, then a sufficient amount of electrical energy could be conserved; this would also reduce the release of greenhouse gases into the atmosphere.

 The demand on natural gas also varies with the frequency and intensity of rainfall events. Because of the impact of extreme events, electrical transmission or distribution networks can be damaged. The absence of electricity induces increased demand on lique fied petroleum gas and compressed natural gas.

 That is why there is a need for a reliable prediction model that can estimate both probability and magnitude of rainfall at least 24–48 h before its occurrence. The absence of a large-scale data set have decreased the availability of reliable prediction models for solving such problems. The absence of a regular pattern in the related parameters within such short time domain has forced modelers to apply stochastic modeling to predict short-term rainfall patterns.

 Stochastic models have fewer requirements when it comes to data but are extremely vulnerable to uncertainty due to the unstable nature of the interrelationships of the variables. Due to the complexity and uncertainty involved in such models, linear methods often fail to deliver effective estimations, as can be concluded from the references given in Table [4.1 .](#page-2-0)

4.1.1 Earlier Studies on the Prediction of Short-Term Rainfall

 Short-term rainfall is a popular topic of research due to its importance in industry and the agricultural output of a country. Table [4.1](#page-2-0) shows the application of different types of mathematical and statistical models in the prediction of short-term rainfall. The accuracy achieved and drawbacks identified are also explained.

4.1.2 Neural Network for Short-Term Rainfall Prediction

 The ability of neural networks to map the nonlinear and inherently complex interrelationship between a set of input variables and an output variable is well established and supported by many studies on different topics in science and engineering. Table [4.2](#page-5-0) shows the application of neural networks in solving various types of problems. Table [4.3](#page-7-0) presents earlier applications of neural networks, both individually and in combination with other algorithms in predicting short-term rainfall with respect to different regional conditions.

4.1.3 Neurogenetic Algorithms

 In the development of neural network models, network topology, weights assigned to input variables, and the type of activation function are the three important parameters that affect the accuracy and reliability of a neural network model. Because there are no predetermined methodologies for identifying the optimal values of these parameters, various studies have applied different statistical methods, including naturebased algorithms, to determining an ideal value for these three parameters.

 When genetic algorithms are used to search for optimal values of these parameters, the models are jointly referred to as neurogenetic models.

 From Table [4.3](#page-7-0) it is clear that there is a substantial lack of research studies involving stochastic neural network models and short-term rainfall. The table also shows that such models have already been developed to perform effectively in predicting hourly to monthly rainfall intensities and occurrence. The table also demonstrates that in the case of occurrence, neural networks generally prefers categorized data rather than numerical data sets (Olsson et al. 2001).

4.1.4 Objective

 The main objective of the present investigation will be to analyze the capability of neurogenetic models in estimating short-duration rainfall patterns. The study involves the prediction of both the quantity and occurrence probability of rainfall within the next 5 days based on the rainfall records of the previous 5 days. A stochastic modeling approach was used, keeping in mind the scarcity of adequate data sets and the level of uncertainty included in such prediction problems.

4.1.5 Brief Methodology

 In case of the neural network models the probability of occurrence and amount of rainfall in the previous 5 days were considered as input variables. In total, five

neurogenetic models were prepared, each having two submodels. The occurrence probability and quantity of rainfall for the next 5 days were considered as model outputs for the present study. As stated earlier, each model has two submodels predicting the quantity and occurrence of rainfall in different time domains (one to five).

 The models were trained with the help of a data matrix that contains every possible combination between input and output variables if their data values are converted into nine categories representing nine different degrees of intensity of the variables. Table [4.4](#page-9-0) shows the input and output variables considered for the five different models. In Table [4.5](#page-10-0) are shown the variables and categories into which all are divided based on the intensity of the magnitude.

 Because the data matrix contains all possible situations, the model can learn the inherent relationship between the input and output variables for all possible combinations, ensuring the reliability of the model output.

 All the developed models were evaluated based on selected performance metrics like the kappa index of agreement, precision, sensitivity, and specificity. The metrics will help to identify the best model among the five models developed. The selection will also indicate the range within which the functionality of the neurogenetics models will be optimized.

4.2 Methodology

 In the present investigation the objective is to identify the range within which neurogenetic models will efficiently predict output with the desired level of accuracy and reliability. At first, five neurogenetic models are prepared with the help of common model parameters. Each model has two submodels predicting the quantity and probability of occurrence within different time domains starting from 1 to 5 days in advance of the rainfall.

 The input and output variables of all models are given in Table [4.4 .](#page-9-0) Table [4.6](#page-11-0) shows the common model parameters adopted for all the neurogenetic models so that a uniform decision can be made.

 Before the models are developed, the data to train the models are preprocessed as described in the next section.

4.2.1 Data Preprocessing

 All the neurogenetic models considered for the present study have ten input variables. If the data of the input variables are represented as a percentage of the maximum, then all the variables can be encoded in nine categories based on the percentage value of the variables because categorized data were found to perform more efficiently than normal sets. Table 4.5 shows the input variables and the nine categories in which the data values of the variables are grouped.

Input	Output
STRFM1	
Occurrence probability of rainfall, same day (P) ,	Occurrence probability of rainfall, 1 day before (P_{l+1})
Occurrence probability of rainfall, 1 day before (P_{t-1})	Quantity of rainfall, 1 day before (Q_{n+1})
Occurrence probability of rainfall, 2 days before (P_{t-2})	
Occurrence probability of rainfall, 3 days before (P_{t-3})	
Occurrence probability of rainfall, 4 days before (P_{t-4})	
Quantity of rainfall, same day (Q)	
Quantity of rainfall, 1 day before (Q_{t-1})	
Quantity of rainfall, 2 days before (Q_{t-2})	
Quantity of rainfall, 3 days before (Q_{μ}^{\prime})	
Quantity of rainfall, 4 days before (Q_{t-4}) STRFM2	
Occurrence probability of rainfall, same day (P)	Occurrence probability of rainfall, 2 days before (P_{1+2})
Occurrence probability of rainfall, 1 day before (P_{t-1})	Quantity of rainfall, 2 days before (Q_{μ}^2)
Occurrence probability of rainfall, 2 days before (P_{t-2})	
Occurrence probability of rainfall, 3 days before (P_{t-3})	
Occurrence probability of rainfall, 4 days before (P_{t-4})	
Quantity of rainfall, same day (Qr)	
Quantity of rainfall, 1 day before (Q_{t-1})	
Quantity of rainfall, 2 days before (Q_{t-2})	
Quantity of rainfall, 3 days before $(Q_{\mu}$ ₂)	
Quantity of rainfall, 4 days before (Q_{t-4}) STRFM3	
Occurrence probability of rainfall, same day (P) ,	Occurrence probability of rainfall, 3 days before (P_{t+3})
Occurrence probability of rainfall, 1 day before (P_{t-1})	Quantity of rainfall, 3 days before (Q_{t+3})
Occurrence probability of rainfall, 2 days before (P_{t-2})	
Occurrence probability of rainfall, 3 days before (P_{t-3})	
Occurrence probability of rainfall, 4 days before (P_{t-4})	
Quantity of rainfall, same day (Q)	
Quantity of rainfall, 1 day before (Q_{t-1})	
Quantity of rainfall, 2 days before (Q_{t-2})	
Quantity of rainfall, 3 days before (Q_{μ}^{\prime})	
Quantity of rainfall, 4 days before (Q_{t-4})	
STRFM4	
Occurrence probability of rainfall, same day (P)	Occurrence probability of rainfall, 4 days before (P_{t+4})
Occurrence probability of rainfall, 1 day before (P_{I-1})	Quantity of rainfall, 4 days before $(Q_{\mu\mu})$
Occurrence probability of rainfall, 2 days before (P_{L2})	
Occurrence probability of rainfall, 3 days before (P_{t-3})	
Occurrence probability of rainfall, 4 days before (P_{t-4})	
Quantity of rainfall, same day (Q)	
Quantity of rainfall, 1 day before (Q_{t-1})	
Quantity of rainfall, 2 days before (Q_{t-2})	

 Table 4.4 Input and output variables of neurogenetic models developed

(continued)

Input	Output
Quantity of rainfall, 3 days before (Q_{t-3})	
Quantity of rainfall, 4 days before (Q_{t_1}) STRFM5	
Occurrence probability of rainfall, same day (P)	Occurrence probability of rainfall, 5 day before (P_{\ldots})
Occurrence probability of rainfall, 1 day before (P_{t-1})	Quantity of rainfall, 5 day before $(Q_{\alpha\beta})$
Occurrence probability of rainfall, 2 days before $(P_{\mu}$)	
Occurrence probability of rainfall, 3 days before (P_{μ}^{\dagger})	
Occurrence probability of rainfall, 4 days before (P_{t-4})	
Quantity of rainfall, same day (Q)	
Quantity of rainfall, 1 day before $(Q_{\cdot, \cdot})$	
Quantity of rainfall, 2 days before (Q_{t-2})	
Quantity of rainfall, 3 days before (Q_{μ})	
Quantity of rainfall, 4 days before (Q_{μ})	

 Table 4.5 Input variables and categories of neurogenetic models

Model parameter	Value
Genetic algorithm parameters	
Population size	60
Number of generations	50
Crossover rate	0.80
Mutation rate	0.20
Neural network parameters	
Network topology	$10 - 8 - 2$
Network weight	88
Training algorithm selected	Quick propagation (QP) and conjugate gradient Descent
Quick propagation coefficient	1.75
Learning rate	0.20
Generalization loss allowed	50.00%
Correct classification rate (CCR) desired	98.00%
Number of training iterations allowed	1,000,000
Number of retrains	10
Performance metrics of STRM1	
Training CCR of STRM1-QP	99.95%
Testing CCR of STRM1-QP	99.95%
Training CCR of STRM1- CGD	99.95%
Testing CCR of STRM1-CGD	99.95%
Kappa index of agreement of STRM1 -QP	99.95%
Precision of STRM1-QP	99.95%
Sensitivity of STRM1-QP	99.95%
Specificity of STRM1-QP	99.95%
Kappa index of agreement of STRM1 -CGD	99.95%
Precision of STRM1-CGD	99.95%
Sensitivity of STRM1-CGD	99.95%
Specificity of STRM1-CGD	99.95%
Performance metrics of STRM2	
Training CCR of STRM2-QP	99.95%
Testing CCR of STRM2-QP	99.95%
Training CCR of STRM2- CGD	90.91%
Testing CCR of STRM2-CGD	100%
Kappa index of agreement of STRM2 -QP	99.95%
Precision of STRM2-QP	99.95%
Sensitivity of STRM2-QP	99.95%
Specificity of STRM2-QP	99.95%
Kappa index of agreement of STRM2 -CGD	94.76%
Precision of STRM2-CGD	95.55%
Sensitivity of STRM2-CGD	96.04%
Specificity of STRM2-CGD	95.06%
Performance metrics of STRM3	
Training CCR of STRM3-QP	99.95%
Testing CCR of STRM3-QP	99.95%
Training CCR of STRM3-CGD	99.95%

 Table 4.6 Characteristics of model parameters and adopted performance metrics

(continued)

Table 4.6 (continued)

Model parameter	Value
Testing CCR of STRM3-CGD	99.95%
Kappa index of agreement of STRM3 -QP	99.95%
Precision of STRM3-QP	99.95%
Sensitivity of STRM3-QP	99.95%
Specificity of STRM3-QP	99.95%
Kappa index of agreement of STRM3 -CGD	99.95%
Precision of STRM3-CGD	99.95%
Sensitivity of STRM3-CGD	99.95%
Specificity of STRM3-CGD	99.95%
Performance metrics of STRM4	
Training CCR of STRM4- QP	99.95%
Testing CCR of STRM4 - QP	99.95%
Training CCR of STRM4- CGD	99.95%
Testing CCR of STRM4 – CGD	99.95%
Kappa index of agreement of STRM4 -QP	99.95%
Precision of STRM4-QP	99.95%
Sensitivity of STRM4-QP	99.95%
Specificity of STRM4-QP	99.95%
Kappa index of agreement of STRM4 -CGD	99.95%
Precision of STRM4-CGD	99.95%
Sensitivity of STRM4-CGD	99.95%
Specificity of STRM4-CGD	99.95%
Performance metrics of STRM5	
Training CCR of STRM5-QP	99.95%
Testing CCR of STRM5-QP	99.95%
Training CCR of STRM5-CGD	99.09%
Testing CCR of STRM5-CGD	100%
Kappa index of agreement of STRM5-QP	99.95%
Precision of STRM5-QP	99.95%
Sensitivity of STRM5-QP	99.95%
Specificity of STRM5-QP	99.95%
Kappa index of agreement of STRM5-CGD	99.37%
Precision of STRM5-CGD	99.41%
Sensitivity of STRM5-CGD	99.44%
Specificity of STRM5-CGD	99.38%

 The rule for categorizing the data values of both input and output variables are given below:

```
If {
V < 15%,
Then ( V = EL,
Else,
If (
16% < V < 30%,
```

```
Then ( V = VL,
Else,
If (
31% < V < 40%
Then ( V = SL
Else,
If (
41% < V < 50%,
Then ( V = L,
Else,
If (
51% < V < 60%,
Then ( V = N,
Else,
If (
61% < V < 70%,
Then (V = H,
Else,
If (
71% < V < 80%,
Then (V = SH,
Else,
If (
81% < V < 90%,
Then ( V = VH,
Else,
V = EH )
)
)
)
)
)
)
)
)}
```
4.2.2 Model Training, Testing, and Validation

 A combinatorial data matrix is used to train all the neurogenetic models. Quick propagation (QP) and conjugate gradient descent (CGD) are selected as the training algorithms. Performance metrics like the kappa index of agreement, precision, sensitivity, and specificity were used to select the best model from among the five models considered.

 4.3 Results and Discussion

 As explained in the previous section, Table [4.6](#page-11-0) shows the values of the model parameters selected for this study. The models' performance metrics are also shown in the table.

 The results from the performance metrics indicate that, except for STRM2-CGD and STRM5-CGD, all the models performed satisfactorily. Because the models were validated using a small set of data randomly selected from the training data set, the metrics results were found to be near 100. Even then, also the kappa index of agreement, precision, sensitivity, and specificity for STRM2-CGD was found to be 94.76, 95.55, 96.04, and 95.06%, respectively, whereas the same for model STRM5- CGD were determined to be equal to 99.37, 99.41, 99.44, and 99.38%, although the STRM5-CGD model when compared to STRM2-CGD was concluded to be preferable to the latter.

4.4 Conclusion

 The present investigation tried to estimate short-term rainfall using neurogenetic models and 1–5-days lagged rainfall data. In total, five models were prepared predicting both the occurrence and magnitude of next five days. The network topology of the models was selected using a genetic algorithm, and both QP and CGD were selected for training. The data set of the input and output variables was converted into nine categories, each representing a different level of magnitude and probability. According to the model results, it was found that only the 2- and 5-day rainfall prediction models trained with a CGD algorithm did not perform on par with the other models. This subpar performance can be attributed to the incompatibility of the CGD algorithm and the length of the time domain. If the prediction is made using real-life data of a study area, then the actual reasons for the subpar performance of the STRM2-CGD and STRM5-CGD can be properly analyzed. This approach of predicting short-term rainfall may help to predict extreme events even if data availability is scarce.

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