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WASTEWATER TREATMENT POND ALGAL PRODUCTION FOR BIOFUEL

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1. Municipal Wastewater Treatment Ponds

 Municipal wastewater treatment ponds (called facultative ponds) rely on algal photosynthesis to convert sunlight energy, nutrients (N, P) and $CO₂$ into algal biomass. The algae release O_2 which promotes aerobic bacterial degradation of wastewater organic compounds to release more $CO₂$ and nutrients that are, in turn, assimilated by the algae (Oswald et al., 1957; Oswald, [1988a](#page-19-0)). Facultative ponds typically have an organic loading rate of $50-100$ kg $BOD₅$ ha⁻¹ day⁻¹, a depth of 1–1.5 m and a hydraulic retention time of 30–60 days. Facultative pond systems (often 2–4 ponds in series) are used at many thousands of municipal, agricultural and industrial wastewater treatment facilities worldwide and provide efficient removal of wastewater solids and BOD. However, nutrient (N, P) and faecal indicator removal is often poor and highly variable, and annual algal biomass productivity (ash-free dry wt) is low at $10-15$ t ha⁻¹ year (Davies-Colley et al., [1995](#page-18-0); Craggs et al., 2003). A major issue with facultative ponds is that the algal biomass is discharged to receiving waters in the pond effluent because current algal harvest technologies are too expensive for use at any but the largest pond systems.

2. Wastewater Treatment High Rate Algal Ponds

 High rate algal ponds (HRAPs) are relatively shallow, gently mixed, raceway ponds that were developed by Oswald and colleagues as a more intensive wastewater treatment pond technology that enable much higher removal of wastewater organic compounds, nutrients and faecal indicators than facultative ponds (Oswald et al., 1957; Benemann et al., [1980](#page-17-0); Oswald, 1988a; Craggs, [2005](#page-18-0)). As early as 1960, Oswald also proposed using wastewater treatment HRAPs for the large-scale production of algae for conversion to biofuels (Oswald and Golueke, [1960](#page-19-0)).

 Figure 1. Schematic cross section of a high rate algal pond (HRAP).

Depending on climate, HRAPs are typically designed with an organic loading rate of between 100 and 150 kg BOD_5 ha⁻¹ day. HRAP depth, hydraulic retention time and mixing speed are the main operational control variables. HRAP depth varies with wastewater clarity (0.25–0.6 m), and may be related to hydraulic retention time which varies seasonally (with solar radiation and temperature) in temperate climates (3–4 day in summer and 7–9 day in winter).

 The channelised raceway design of HRAP enables uniform, low-energy mixing (typically $0.15-0.30 \text{ m s}^{-1}$) which is usually provided by a paddle wheel (Fig. 1). Mixing velocities higher than 0.3 m s^{-1} consume too much power (which increases as a cube function of mixing velocity), and cause scouring of the pond (when clay lined), and thus are not recommended. Horizontal mixing velocities greater than 0.15 m s^{-1} select for algal species which form colonies: species that are usually outcompeted in facultative ponds as the colonies settle faster than unicellular algae in quiescent water. Horizontal mixing also causes turbulent eddies that provide a vertical mixing component throughout the pond length and ensures that algal cells are intermittently exposed to sunlight as the depth of light penetration is usually only half to two-thirds of the pond depth depending on algal concentration (100–400 gm⁻³) and wastewater clarity.

3. Advanced Wastewater Treatment Pond Systems

 Wastewater treatment HRAPs are usually a component of advanced pond systems (APS) that typically include four types of ponds that are arranged in series (Fig. [2 \)](#page-4-0): advanced facultative ponds that settle and anaerobically digest wastewater solids, high rate algal ponds, algal settling ponds that harvest algae by gravity sedimentation and maturation ponds that provide additional disinfection mainly through exposure to sunlight UV radiation (Oswald, $1990, 1991$; Craggs, 2005). The four ponds have an overall land requirement similar to that of two-pond facultative systems (Oswald, [1996](#page-19-0)).

APS not only achieve more efficient wastewater treatment than facultative pond systems but recover resources from the wastewater through capture of

 Figure 2. Schematic of municipal wastewater treatment advanced pond system.

 Figure 3. Advanced pond systems for wastewater treatment in Northern California.

biogas in the advanced facultative pond and harvest of algae in the algal settling ponds (Oswald, 1991, 1996; Green et al., 1995; Craggs, [2005](#page-18-0)). However, APS technology has only been applied for wastewater treatment in some small Northern California cities, such as St. Helena (in 1967) and Hilmar (in 2000) (Fig. 3), and a few other installations around the world. There are several reasons for the low uptake of this technology: (1) until recently, nutrient removal has not been a major requirement of the wastewater treatment plants of most cities worldwide; (2) efficient harvest of HRAP algal biomass by gravity settling could not be reliably achieved, and current algal harvest technologies are too expensive; (3) use of harvested algal biomass as a soil amendment has had little economic value due to the low cost of inorganic fertiliser; (4) there is a lack of widespread APS knowledge and design skills amongst the engineering profession; (5) although cost competitive with electromechanical treatment systems, APS require a relatively large land area; and (6) despite the high power demand of electromechanical treatment systems, operation costs are low due to the current low price of fossil fuel-derived electricity.

4. Commercial Algal Production

 HRAP have much lower capital costs than closed photobioreactors but similar productivity and are therefore used to grow the majority (>90%) of current worldwide commercial algal production (for high-value nutritional products, pigments and chemicals). However, even the simplest, lowest cost HRAP (e.g. individual clay-lined ponds of >1 ha) growing algae on nutrient media with CO_2 addition could not produce biofuels economically (at current and near-future fossil fuel prices) without major advances in technology, including much higher algal and biofuel productivities than presently feasible (Benemann, [2003](#page-17-0)).

5. Wastewater Treatment HRAP with CO₂ Addition

 Many of the wastewater treatment issues of APS and the poor economic viability of algal biofuel production using nutrient culture medium fed HRAP could be addressed by returning to the original concept of Oswald and Golueke (1960) in which algal biofuels are produced as a by-product of wastewater treatment in HRAP (Fig. 4). Conventional primary treatment (that removes wastewater solids) is used instead of an advanced facultative pond; the HRAP wastewater treatment

Figure 4. Schematic of a wastewater treatment HRAP with $CO₂$ addition.

(especially nutrient removal) capability, algal production and algal biomass harvestability are all enhanced by $CO₂$ addition; and the harvested algal biomass is then converted to biofuels (Benemann et al., 1978, 1980; Benemann and Oswald, 1996: Benemann, [2003](#page-17-0)).

6. Algal Production in Wastewater Treatment HRAPs

 Productivity of wastewater treatment HRAPs varies with climate, local weather, wastewater strength, pond operation (e.g. depth, hydraulic retention time), dominant algal species, invertebrate grazing and infection by fungi, bacteria or viruses. Moreover, the biomass in wastewater treatment HRAP effluents is a combination of algae, bacteria and detritus formed during the wastewater treatment process. This biomass is poorly quantified but, based on microscopic observation, is typically composed of 70–90% algae. At moderate latitudes and Mediterranean climates, annual biomass productivities for wastewater treatment HRAPs are typically 30 t ha^{-1} year which are 2–3 times that of facultative ponds (10–15 t ha^{-1} year). Pilot-scale HRAPs treating domestic wastewater in New Zealand had productivities of about 30 tha⁻¹ year (Craggs et al., 2003), and somewhat higher productivities were reported in California (Benemann et al., [1980](#page-17-0)). However, algal productivity in wastewater treatment HRAP is depressed by severe carbon limitation, indicated by high daytime pond water pH levels (typically above 10), due to photosynthetic uptake of CO_2 and bicarbonate (Oswald, 1988a; Garcia et al., [2000](#page-18-0); Craggs, 2005; Kong et al., [2010](#page-19-0); Park and Craggs, 2010).

 Carbon limitation is due, in part, to the low C:N ratio of wastewaters (typically 3:1 to 4:1 for municipal wastewater) compared to algal biomass (typically 6:1, ranging from 10:1 to 5:1 depending on whether N is limiting or not) (Benemann et al., [1980](#page-17-0); Lundquist, 2008). Thus, domestic wastewaters contain insufficient C to remove all the N (and P) by direct assimilation into algal biomass. More importantly, C limitation, and the concomitant rise in pond water pH above 8.5, severely depresses the growth rates and productivity of algae (Weissman and Goebel, [1987](#page-20-0); Kong et al., 2010). Although, by using available bicarbonate, some algal species are able to grow (with low productivity) even above pH 10. The inhibition of algal growth at high pH in wastewater treatment HRAP could also be in part due to high levels of free ammonia at high pH (Azov and Goldman, [1982](#page-17-0); Azov et al., 1982; Konig et al., [1987](#page-18-0)). Further, intense photosynthesis in HRAPs also increases daytime dissolved O_2 levels, typically to 200–300% saturation, while supersaturation of oxygen promotes bacterial degradation of wastewater organic compounds, it can inhibit algal productivity, particularly at high pH and carbon limitation (Weissman et al., [1988](#page-20-0)). High pond pH, above ~8.5, can also inhibit the growth of aerobic heterotrophic bacteria that oxidise wastewater organic matter to CO_2 (Craggs, 2005). This sets up a feedback loop, which amplifies the effect of high pH and carbon limitation.

Addition of $CO₂$ to wastewater treatment HRAPs increases carbon availability and enables pond water pH to be maintained at an optimum (pH 7.5–8.5) for both algae and bacteria. The biomass productivity of wastewater treatment HRAPs can potentially be doubled with CO_2 addition to 60 tha⁻¹ year, of which perhaps 20% of the measured volatile suspended solids is non-algal (bacterial and detrital) biomass, as observed in small-scale trials (Benemann et al., 1980; Azov et al., 1982; Lundquist, [2008](#page-18-0)). Recent pilot-scale research during New Zealand summer conditions has shown that CO_2 addition to wastewater HRAP can increase algal biomass production by up to 100%, with projected productivities of 60 tha⁻¹ year (Heubeck et al., [2007](#page-18-0); Park and Craggs, [2010](#page-19-0)). CO_2 addition also promotes nutrient removal by assimilation into algal biomass.

For wastewater treatment HRAPs, any available source of $CO₂$ could be used, the most likely being the flue gas from an electricity generator using biogas produced by anaerobic digestion of wastewater solids ("primary sewage sludge") and algal biomass harvested from the HRAPs (Eisenberg et al., 1981; Benemann, 2003). Pond water can also be used to directly purify biogas (e.g. scrub $CO₂$) and H_2S) (Conde et al., 1993; Mandeno et al., 2005), if the biogas needs to be compressed for use as vehicular fuel or for addition to a natural gas pipeline. However, loss of methane (a potent greenhouse gas) in the scrubbing water is an issue that needs to be resolved for biogas water scrubbing processes.

 The algal biomass production potential (t/ML of wastewater) in wastewater treatment HRAPs is directly related to C utilisation, both from the wastewater and any added CO_2 . With CO_2 addition, nutrients (N, P) can be assimilated to the maximum extent possible. Productivity and thus nutrient removal are limited mainly by daily solar radiation and temperature, and winter values determine the area necessary for effective year-round wastewater treatment, which increases with increasing latitude (Oswald et al., 1957; Bouterfas et al., [2002](#page-17-0); Jeon et al., 2005; Voltolina et al., [2005](#page-20-0)).

 Further algal productivity increases, beyond those that could be achieved through $CO₂$ addition, are desirable to reduce the area of the wastewater treatment HRAP system and thus improve the economics of both wastewater treatment and wastewater algal biofuels production. It must be noted that further increasing productivity would not increase the amount of algal biomass produced, as that is limited by the amounts of nutrients present in the wastewater and assimilated into the biomass, but increasing productivity will reduce the area of ponds required. One necessary, but not sufficient, approach to increase algal productivity is to select for algal strains that thrive in the HRAP environment – high sunlight, diurnal temperature fluctuations and supersaturated dissolved O_z (Weissman et al., 1988).

 Achieving high productivity also requires dealing with herbivorous zooplankton such as rotifers and cladocerans, which graze on algae and can rapidly proliferate and reduce algal biomass concentrations to low levels within a few days (Benemann et al., [1980](#page-17-0); Picot et al., [1991](#page-19-0); Cauchie et al., 1995; Nurdogan and Oswald, [1995](#page-19-0); Smith et al., 2009). For example, rotifers and cladocerans at

densities greater than 100 L^{-1} were reported to reduce the algal concentration in a wastewater treatment HRAP by 90% within two days (Oswald, [1980](#page-19-0)), and several days of grazing by a population of the cladoceran, *Daphnia* sp., reduced the chlorophyll *a* concentration of a pond by 99% (Cauchie et al., 1995). Algae are also susceptible to fungal parasitism and bacterial or viral infection which can deplete the pond algal population within a few days and result in changes in algal morphology, species diversity and succession (Wommack and Colwell, 2000; Short and Suttle, 2002; Kagami et al., [2007](#page-18-0)).

 Therefore, to maximise HRAP algal productivity, populations of zooplankton grazers, parasitic fungi and infective bacteria and viruses must be controlled. Zooplankton grazer populations may be limited by application of chemicals or invertebrate hormone mimics or by increasing pond water pH to 11, particularly if the pond water has a high ammoniacal-N concentration (O'Brien and De Noyelles, 1972; Schluter and Groeneweg, 1981; Oswald, 1988b). There are no practical control methods yet for fungal parasitism or bacterial and viral infections, and further research is required to fully understand their influence on algal productivity in wastewater treatment HRAP.

7. Performance of Wastewater Treatment HRAP with $CO₂$ Addition (HRAP + C System)

The HRAP with CO_2 addition (HRAP+C) system can be used to provide more effective aerobic treatment (oxidation of wastewater organic compounds, BOD) and improved removal of nutrients, faecal indicators and algal biomass than both facultative pond systems and advanced pond systems. Moreover, the $HRAP+C$ system is much more cost-effective and energy efficient than electromechanical wastewater treatment technologies providing an equivalent level of wastewater treatment. A 5 ha wastewater treatment HRAP + C system was successfully operated in Christchurch, New Zealand (Fig. 5), to demonstrate upgrading facultative

Figure 5. HRAP + C system (5 ha) operating in Christchurch, New Zealand.

ponds and production of algae for whole biomass conversion to biofuel using a near critical water reactor (NCWR).

7.1. AEROBIC TREATMENT

 Although some aeration is provided by paddle wheel mixing, daytime supersaturated dissolved O_2 levels resulting from algal photosynthesis in the HRAP+C system enable very efficient aerobic treatment (organic matter degradation). The power required for HRAP paddle wheel mixing depends mainly on mixing velocity. For an HRAP with a water depth of 0.3 m and a horizontal flow velocity of 0.15 m s⁻¹, the power required to operate the paddle wheel is ~15 kW ha⁻¹. The aeration efficiency of HRAP varies between 0.05 and 0.20 kWh_e kg^{-1} O₂ produced depending on season, insolation and other factors (Benemann et al., 1980; Oswald, 1988b; Green et al., 1995). For a wastewater with $BOD₅$ concentration of 200 gm⁻³, this equates to a power requirement of between 15 and 60 kWh ML^{-1} . In comparison, activated sludge requires from 230 to 970 kWh_e ML^{-1} (based on the efficiency of different types of aerators: 0.4 to 1.7 kWh_e $kg^{-1}O_2$) (Owen, 1982; Metcalf and Eddy, Inc., [1991](#page-19-0); Green et al., [1995](#page-18-0)).

7.2. ALGAL NITROGEN REMOVAL

Nitrogen removal by nitrification-denitrification is a common electromechanical nutrient removal process, but it is costly and energy intensive. A typical wastewater primary effluent (after settling) with an organic nitrogen concentration of 40 gN m⁻³ would require aeration energy of ~400–1,000 kWh_e ML⁻¹ of wastewater for the nitrification step alone (this is in addition to that required for BOD removal) (Owen, 1982). The $HRAP+C$ system, where sufficient land is available, could provide low-energy tertiary-level nutrient removal, for little more energy than an HRAP designed for BOD removal (Benemann et al., 1978; Eisenberg et al., [1981](#page-18-0); Nurdogan and Oswald, 1995; Woertz, 2007; Park and Craggs, [2010](#page-19-0)). For example, assuming a 3:1 C:N ratio in the wastewater, a 6:1 C:N ratio for algal biomass (48% C, 8% N) and no change in CO_2 : O_2 stoichiometry, a doubling (100% increase) in biomass production resulting from CO_2 addition could enable complete nitrogen removal (Heubeck et al., 2007; Park and Craggs, [2010](#page-19-0)).

7.3. ALGAL PHOSPHORUS REMOVAL

 Algal biomass can exhibit N:P ratios ranging from nearly 4:1 (under nitrogenlimiting conditions) to about 30:1. These N:P ratios correspond to algal N and P compositions ranging from a high of 8% N and about 1% P to a low of about 4% N

and 0.35% P (under nitrogen- or phosphate-limiting conditions, respectively). Near-complete assimilation of both N and P into algal biomass from wastewaters with a large range of concentrations of these nutrients is therefore theoretically possible in HRAP with CO_2 addition (Benemann, [2003](#page-17-0)) and has been recently demonstrated experimentally by Woertz et al. (2009) and at pilot-scale by Park and Craggs ($2010,2011$). Nutrient assimilation rates can reach 16 kg N ha⁻¹ day and 2 kg P ha⁻¹ day, based on the typical algal nutrient composition of 8% N and 1% P, and an average productivity of 20 gm^{-2} day of algal biomass. These removals are achieved at much lower capital and operation costs compared to conventional electromechanical treatment technologies (Owen, 1982; Craggs et al., 1999). A key issue for tertiary-level nutrient removal is that the algal cultures have the ability to maintain high productivity when dissolved N has been reduced to low levels (e.g. ≤ 1 gm⁻³). This is based on the fact that it is the internal, not external, nutrient concentration which determines growth rates and productivity, and nutrients are supplied continuously in the influent wastewater (Benemann, 2003; Woertz et al., 2009). In temperate locations, seasonal variation in algal productivity will limit nutrient removal by assimilation into algal biomass during winter.

7.4. ALGAE AUGMENTED NUTRIENT REMOVAL PROCESSES

 Nutrient removal processes such as ammonia volatilisation and phosphate precipitation with cations occur in wastewater treatment HRAPs without $CO₂$ addition, when intense daytime algal photosynthesis results in CO_2 limitation and increases the pond water pH (Nurdogan and Oswald, [1995](#page-19-0); Garcia et al., 2000; Craggs et al., 2003; Heubeck et al., [2007](#page-18-0)). However, these processes are greatly reduced by CO_2 addition to the ponds. For example, Park and Craggs (2011) demonstrated that daytime control of maximum pH to below 8 with $CO₂$ addition reduced nitrogen loss by ammonia volatilisation from 24% (in a control HRAP without CO_2 addition) to ~9%.

7.5. DISINFECTION

Disinfection of wastewater treatment plant effluent is typically provided by chlorination, ozonation or UV treatment. Chlorination requires $20-540$ kWh ML^{-1} to generate chlorine, depending on the organic content of the effluent (Owen, 1982). Therefore, if the algal biomass is not efficiently harvested, chlorine requirements are high. Ozonation (100–200 kWh_e ML⁻¹) and UV (20–100 kWh_e ML⁻¹) use less power, but UV requires a very low turbidity effluent and thus a high level of algal removal (Owen, [1982](#page-19-0)). HRAPs promote natural disinfection mechanisms driven by sunlight, augmented by daytime supersaturated O_2 levels (100–300%) due to algal photosynthesis (Davies-Colley, 2005).

8. Harvesting Wastewater Treatment HRAP Algae

Effective and low-cost removal of algal biomass from HRAP effluent is imperative to achieve both a high effluent quality and an economically competitive wastewater treatment process. HRAP algal harvesting is challenging due to (1) low and varying solid concentration (typically 0.01% to 0.04% solids), (2) cell densities similar to water (1.08–1.13 kg L⁻¹), (3) small cell size (5–25 μ m) and (4) strong negative surface charge. The latter (known as "zeta potential") may be associated with exponential growth (Moraine et al., [1979](#page-19-0); Lavoie and de la Noue, 1987).

 Various harvesting methods have been applied over the years, the main ones being (1) centrifugation (energy intensive, ~1 kWh_e m⁻³ pond water or ~2–3 kWh_e $kg⁻¹$ algae), (2) filtration (ineffective due to clogging of filters and/or high cost), (3) microstraining (only effective for filamentous or large colonial algae) and (4) chemical flocculation followed by sedimentation or dissolved air flotation (DAF) (Oswald and Golueke, [1960](#page-19-0); Benemann et al., [1980](#page-17-0); Benemann and Oswald, 1996; Shen et al., [2009](#page-20-0); Tampier, 2009; Brennan and Owende, 2010; Mata et al., 2010). However, these processes are either not applicable to the algae growing in wastewater treatment HRAP (e.g. filtration) or are too expensive (centrifugation, chemical flocculation).

 The process currently employed for algal biomass removal from large facultative oxidation pond effluents is chemical flocculation (using lime, alum, ferric chloride, cationic polyacrylamides, etc.) to form large $(1-5 \text{ mm})$ flocs that can be removed by simple settling or by dissolved air flotation (DAF). DAF provides somewhat higher concentration of solids and uses less flocculating chemicals but adds a further ~0.6 kWh_e kg⁻¹ algae for air compression. The large amounts of chemical flocculants required are expensive and make it difficult to use the algal biomass, even in anaerobic digestion. Thus, the chemically flocculated algal sludge is typically disposed of either back to the ponds (long-term storage) or to landfill. Centrifugation provides a high-solids biomass, of about 20–25% solids, but the high capital cost and operating energy requirements of centrifugation make this process economically viable only for secondary thickening of harvested algae which already has a 2–4% solid concentration. The challenge is thus to develop a low-cost harvesting method that can produce algal biomass with such a solid concentration.

 Wastewater treatment HRAPs select for particular genera of green algae, including *Scenedesmus* sp., *Micractinium* sp., *Actinastrum* sp., *Pediastrum* sp., *Dictyosphaerium* sp. and *Coelastrum* sp., that often form large (50–200 µm) colonies (Benemann et al., 1980; Oswald, [1988a](#page-19-0); Banat et al., [1990](#page-17-0); Green et al., 1996; Wells, [2005](#page-20-0); Heubeck et al., 2007; Park and Craggs, [2010](#page-19-0)). Microstraining was first proposed as a low-cost harvest method for the large algal colonies (Benemann et al., 1978). However, it was observed that algae removed from the ponds, under quiescent conditions, could self-flocculate, aggregating to form large flocs ("bioflocculation"), and settle with over 90% solid removal (Benemann et al., [1980](#page-17-0); Craggs et al., 2003). This bioflocculation phenomenon is not well understood, but it has been observed with many algae and growth conditions. Bioflocculation can produce a concentrated algal biomass slurry $(3-4\% \text{ DM})$ and may be promoted by stress conditions, such as nutrient (e.g. N) limitation, or by recycling some of the settled algal biomass (Benemann et al., [1980](#page-17-0); Eisenberg et al., 1981; Park and Craggs, 2010). Further research is required to understand and perfect this low-cost harvest process and increase its reliability.

9. Biofuels Production from Wastewater Treatment HRAP Algal Biomass

 Conversion of algal biomass harvested from wastewater treatment HRAPs to biofuels could involve one or a combination of four main pathways, discussed briefly below:

- 1. Anaerobic digestion to produce biogas (methane and $CO₂$).
- 2. Extraction and conversion of algal lipids (oils) to biodiesel, green diesel, etc.
- 3. Fermentation of algal carbohydrates to ethanol.
- 4. Near-critical water catalytic conversion, gasification or pyrolysis of algal biomass to produce hydrocarbon gases and/or biocrude oils.

9.1. ANAEROBIC DIGESTION TO BIOGAS METHANE

 Harvested algal biomass (or the algal residues remaining after oil extraction or ethanol fermentation, see below) can be anaerobically digested to produce biogas $(60-80\%$ methane, balance $CO₂$), with a typical yield similar to that of heated mixed digesters (0.30–0.45 m³ CH₄ kg⁻¹ added algal volatile solids, VS), usually with 50–60% volatile solid conversion (Golueke and Oswald, 1959; Eisenberg et al., 1981; Lundquist et al., [2010](#page-18-0); Sukias and Craggs, [2011](#page-20-0)). Lower yields, compared to other organic substrates, have been attributed to both the relatively refractory nature of algal cell walls and ammonia inhibition. Pretreatment (e.g. heating) of algal biomass has been shown to improve digestibility under mesophylic con-ditions (Chen and Oswald, [1998](#page-18-0)). Inhibition of anaerobic digestion can occur at free ammonia concentrations above 4,000–6,000 g NH₃–N m⁻³ (Siegrist et al., 2005). Algal biomass contains typically 8% N of which up to 70% may be released as ammonia during digestion (Golueke and Oswald, [1959](#page-18-0)). Ammonia toxicity of algal digestion could be overcome by (1) concentrating algal biomass to no more than 5% solids prior to anaerobic digestion (to maintain ammonia levels below $3,000 \text{ gm}^{-3}$), (2) co-digestion with low N organic wastes (e.g. wastepaper, primary sewage sludge) or (3) adaptation of the methanogenic bacterial inoculum to higher ammonia levels. All three options could be applied simultaneously. Co-digestion of HRAP algae biomass with primary sewage sludge can be readily demonstrated (Heubeck et al., 2007 ; Lundquist et al., 2010) and is similar to electromechanical wastewater treatment plant co-digestion of primary and secondary sludges.

Addition of other available wastes (e.g. wastepaper, Yen and Brune, 2007) increases methane production but would need to be justified economically based on tipping fees received and value of the methane, minus other values of wastepaper use and any additional cost of digestate disposal. Cost-effective anaerobic digestion could be achieved using simple covered digester ponds, which could be fed with algal biomass harvested by bioflocculation (typically $3-4\%$ solids concentration). compared to the 5–10% solids required for conventional, and more expensive, mesophylic heated mixed digesters.

9.1.1. Uses of Biogas

Biogas methane has an energy content of 33.8 MJ m⁻³ (0.67 kg) CH_4 at STP (equivalent to about 1 L of petrol) and can be used directly for heating (9.39 kWh_{heat} m⁻³ CH₄) or for electricity generation at 30% conversion efficiency $(2.82 \text{ kWh}_e \text{ m}^{-3} \text{ CH}_4 \text{ and simultaneous heat generation } \sim 4.70 \text{ kWh}_{heat} \text{ m}^{-3} \text{ CH}_4).$ Essentially \sim 1 kWh_e can be generated from the biogas produced from 1 kg algae assuming a yield of $0.35 \text{ m}^{-3} \text{ CH}_4/\text{kg}$ of VS of algal biomass added (Oswald, [1988a, b](#page-19-0)). This power can be used to displace electricity requirements of the wastewater treatment plant, with any surplus exported to the grid (though this would require additional capital investment for transformers, line upgrades, etc). Biogas can also be cleaned (desulphurised, stripped of $CO₂$), dried and compressed (>20 MPa) for export into natural gas pipelines or use as transport fuel. However, loss of methane (a potent greenhouse gas) in the scrubbing water is an issue that needs to be resolved. For wastewater treatment plants, power generation is the most widely applicable and lowest cost option.

9.2. TRANSESTERIFICATION OF ALGAL OIL TO BIODIESEL

 Biodiesel production from oils extracted from algae grown in HRAPs (though not on wastewaters) was the main research focus of the 1980–1996 US Dept. of Energy Aquatic Species Program (ASP) (Sheehan et al., 1998). The ASP projected that in suitable climates, algae could have higher oil yields than most terrestrial crop plants, due to their potential high productivity, of up to 100 t algae dry matter ha⁻¹ year, with up to 50% oil (as triglycerides) content thought to be attainable (Benemann and Oswald, [1996](#page-17-0)). However, these productivities and oil contents were long-term, speculative projections, with currently achievable values for both productivity and oil content perhaps only half of these values. Moreover, they are dependent on the algal species and even more importantly on strains of species, and with culture conditions, e.g. nitrogen limitation, which often greatly increases oil content of the algae, but not productivity (Feinberg, [1984 ;](#page-18-0) Coleman et al., [1987 ;](#page-18-0) Cooksey et al., 1987; Benemann and Tillett, 1988; Chelf, 1990; Weyer et al., 2010; Brennan and Owende, [2010](#page-17-0)). How to simultaneously maximise oil content and productivity is one of the major unresolved problems in algal biofuels production and has not yet been practically shown at scale.

9.2.1. Algal Oil Extraction

 Another major issue is the economical extraction of the oil from the algae. Benemann and Oswald (1996) proposed a process involving cell breakage, homogenisation and centrifugation to recover the oil, and much work is ongoing in this area. If drying of the biomass is required, this will add significantly to the overall costs, even for sun or waste heat drying (the only plausible methods). Also, algal oils are not pure triglycerides, but generally contain large amounts of free fatty acids and mono- and diglycerides that are not suitable for direct transesterification (Feinberg, 1984). Further, a high proportion of fatty acids in algae are polyunsaturated, often long-chain, fatty acids that are not suitable for biodiesel production. Therefore, processes that recover and can use all algal lipids classes (mono-, di-, triglycerides, etc.) are of particular interest. In the case of algal biomass grown on wastewaters, harvested algae typically contain 20–30% oil. Maximising oil content, yield or quality would not be a priority, as any oil co-product would only be part of the revenue stream, with the residues anaerobically digested to produce biogas.

9.3. FERMENTATION OF CARBOHYDRATE TO BIOETHANOL

 Bioethanol (and biobutanol, although not yet commercialised) could be produced from the fermentable carbohydrate (e.g. starch) portion of algal biomass by conventional yeast fermentation, followed by distillation. However, the carbohydrate content of algal biomass (typically less than 20% of dry matter) is too low for practical ethanol fermentation. As in the case of algal oil production, a higher content of fermentable carbohydrates can be induced by nitrogen (and other nutrient) limitation, depending on the species and strain of algae used. Production of algal biomass with a high starch (e.g. 70%) content, at high productivity, appears to be more feasible than production of algal oils. However, this option has received relatively little attention.

9.4. NEAR-CRITICAL THERMOCHEMICAL CONVERSION

 Wet algal biomass (75–95% water content) may be converted to hydrocarbon gases and biocrude oil at high pressure (>20 MPa) and temperature (>300°C) in the presence of a catalyst (Chandler et al., [1998](#page-17-0); Yesodharan, [2002](#page-20-0); Matsumura et al., 2005). This conversion technology has the similar advantage to anaerobic digestion, in that the algal biomass does not have to be dried, the entire biomass can be converted into biofuels and that the nutrients (in particular N) can be recovered in the process water and are not emitted to the atmosphere as in conventional thermochemical, including combustion, processes. However, more research is required to demonstrate the viability of this technology.

10. Economics of Algal Wastewater Treatment and Biofuels Production with HRAP + C

 Capital and operating costs of advanced pond systems for secondary wastewater treatment ($BOD₅$ removal) (Figs. [2](#page-4-0) and 3) are estimated to be only a quarter to a third those of electromechanical secondary-level activated sludge treatment (Green et al., [1995](#page-18-0); Downing et al., 2002). Similar or even lower ratios would likely apply in comparing tertiary treatment (nutrient removal) with the $HRAP+C$ system to electromechanical systems that achieve nutrient removal. By replacing the advanced facultative pond and the algal settling ponds of an advanced pond system with conventional primary sedimentation and a bioflocculation settling process for algal removal, respectively, the HRAP + C system would have no more, and possibly less, land area. For the $HRAP+C$ system, the capital and operating costs of algal production and harvesting are essentially fully covered by the wastewater treatment function, with biofuels a relatively minor co-product, which does not significantly impact the overall $HRAP+C$ system economics.

11. Environmental Benefits of HRAP + C Wastewater Treatment and Biofuels Production

 Beyond economics, algal wastewater treatment with coproduction of biofuels has fewer environmental impacts ("footprint") in terms of land, water, energy and fertiliser use than schemes for algal biomass production exclusively for biofuels (Borowitzka, 1999, 2005; Benemann, [2003](#page-17-0); Tampier, [2009](#page-20-0); Clarens et al., 2010). The environmental benefits, from greenhouse gas (GHG) abatement and sustainability in general, also strongly favour HRAP + C systems compared to electromechanical treatment processes (typically advanced activated sludge systems). Algal biofuel production from wastewater treatment HRAP with $CO₂$ addition abates GHG emissions by several mechanisms (Benemann, 2003; Lundquist et al., [2010](#page-18-0)):

- Reduction in energy use (mostly electricity and GHG emissions from fossil fuel used for generation) compared with electromechanical wastewater treatment processes. By using sunlight energy and photosynthesis, HRAP + C wastewater treatment systems abate between 100 and 400 kg of CO_2 ML⁻¹ treated, compared to fossil energy that would have powered electromechanical treatment (e.g. activated sludge; Green et al., [1995](#page-18-0) ; Benemann, [2003 \)](#page-17-0) . Nitrogen removal in HRAP + C would abate a further 100–400 kg of $\text{CO}_2\text{ML}^{-1}$ treated, compared to conventional processes. The solar disinfection also provided by the $HRAP+C$ system decreases the need for GHG emission from the chemicals and power used by other disinfection processes.
- Substitution of biofuels for fossil fuels (such as biogas-generated electricity) offsets GHG emission from fossil fuel use for generation. GHG abatement

resulting from biofuels replacing fossil fuels depends on the source of power and specific fuel being replaced. For example, generation of electricity from biogas methane abates 0.4 kg CO_2 kWh_e⁻¹ from natural gas electricity generation compared to about 0.8 kg for CO_2 kWh_e⁻¹ from coal electricity generation (NZMED, 2007). Assuming an intermediate value between natural gas and coal, as well as 1,000 kWh generated from the biogas produced by 1 t of algal biomass, 0.6 t of CO_2 could be abated per tonne of algae produced.

- Use of recovered wastewater nutrients and carbon in algal biofuel residues as fertiliser offsets GHG emissions associated with nitrogenous fertiliser production and phosphate rock mining. Recycling algal biomass ($\sim 8\%$ N, $\sim 1\%$ P) or nutrient-rich residues following biofuel conversion for fertiliser use would reduce the need for synthesis of ammonia fertilisers and mining of phosphate rock. The energy required for the manufacture of 1 kg of N fertiliser (as ammonia) is about 16 kWh (mostly natural gas, with emissions of 3.15 kg CO_{2EQV}) and the mining and processing of 1 kg of P (as phosphate) fertiliser requires the equivalent of 4.5 kWh of fuel (mostly liquid fuels, with emissions of 1.4 kg CO_{2EON}) (West and Marland, 2001 ; Wood and Cowie, 2004). Therefore, the use of 1 kg of algae (8% N, 1% P) as fertiliser would reduce CO_2 emissions from inorganic fertiliser manufacture by about 0.27 kg CO_{2EOW}
- Reduced GHGs emitted during conventional electromechanical wastewater treatment, such as methane and nitrous oxide.

12. Conclusions

Municipal wastewater treatment using HRAPs with CO_2 addition, and with algal biofuels as coproducts – the $HRAP+C$ system – provides the potential for energy-efficient and effective tertiary-level wastewater treatment at significantly lower costs compared to electromechanical technologies. Wastewater enriched with flue gas CO_2 is an excellent growth medium (water, nutrients and buffering) for naturally occurring algae. Bioflocculation of algal biomass followed by settling is a very promising low-cost approach to algal harvesting, but further research is required to demonstrate it at a full-scale with year-round reliability. Of the several pathways to convert harvested algal biomass to biofuel, those that use the whole algal biomass and require little or no dewatering of the harvested algae appear to be most appropriate for use in combination with wastewater treatment. In particular, anaerobic digestion of algal biomass along with the settled wastewater solids would be the easiest to apply as the capital and operation costs of anaerobic digestion, and biogas use infrastructure would be funded by the wastewater treatment plant. Harvesting algae from wastewater treatment HRAP effluent enables recovery of wastewater nutrients that can be recycled as fertiliser after biofuel conversion. Wastewater treatment HRAP also provides GHG abatement from a combination of low-energy wastewater treatment, renewable fuel production and fertiliser recovery. Since the $HRAP+C$ system is already a viable technology

for near tertiary-level wastewater treatment, it could provide a "testing ground" to develop and refine full-scale algal production, harvest and biofuel conversion technologies that may be implemented in the future when higher fossil fuel costs make stand-alone HRAP systems for biofuel production economical.

13. Acknowledgements

 The authors wish to thank Jason Park, Stephan Heubeck and Ian Woertz who provided valuable contributions to this chapter. NIWA funding was provided by the New Zealand Foundation for Research Science and Technology.

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