Chapter 16 Adherens Junctions and Cancer

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Abstract Cadherins and catenins are the central cell–cell adhesion molecules in adherens junctions (AJs). This chapter reviews the knowledge concerning the role of cadherins and catenins in epithelial cancer and examines the published literature demonstrating the changes in the expression and function of these proteins in human cancer and the association of these changes with patient outcomes. The chapter also covers the mechanistic studies aiming at uncovering the significance of changes in cadherin and catenin expression in cancer and potential molecular mechanisms responsible for the causal role of AJs in cancer initiation and progression.

16.1 Introduction

The ability to adhere to each other is one of the most fundamental cellular functions necessary for the formation of metazoan organisms. Cell–cell adhesion of properly polarized cells is directly responsible for complex architecture of all organs and tissues. The mechanisms of intercellular adhesion are very complex and differ significantly between cells in different organs and tissues. The cell–cell adhesion is especially strong in epithelial tissues, where the cells can tightly seal their membranes to prevent the formation of gaps between neighboring cells. This is important, because the primary function of epithelia is formation of a barrier that separates organism from both external and internal environments (epidermis, intestines, epithelial tubes in various glandular organs) and also separates different tissues from each other (blood vessels).

Tumors originating in epithelial tissues are known as carcinomas and these cancers account for the majority of human malignancies. The most significant manifestation of carcinoma is the focal loss of normal tissue architecture and aberrant accumulation of epithelial cells. Since maintenance of normal tissue organization is one of the primary functions of cell–cell adhesion, it is perhaps not surprising that cell–cell ad-

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hesion structures are often abnormal in epithelial tumors. Interestingly, weakening of intercellular adhesion in carcinomas has been noted by pathologists many decades ago (Coman [1944](#page-23-0)). While gaps and separations between the neighboring cells are often visible on histological sections of low-grade early tumors, they are especially noticeable in more lethal high-grade carcinomas, which are more likely to spread to distant organs and kill the patient. Only recently, with the advancements of biochemistry and molecular biology it became possible to decipher the molecular mechanisms responsible for cell–cell adhesion in normal tissues and the loss or weakening of cell–cell adhesion in epithelial tumors. Interestingly, while cells can form different types of cell– cell adhesion structures, the adherens junctions (AJs) generated by classical cadherins are especially important for normal epithelial self organization and are also frequently affected in epithelial tumors. This chapter will summarize and review the literature concerning the role and mechanisms of AJs in tumor initiation and progression.

16.2 Core Proteins Involved in the Formation and Maintenance of the AJs

Many proteins localize to the AJs, however, only few groups of proteins are essential for AJ formation. These proteins belong to the cadherin and catenin families (Fig. [16.1](#page-2-0)). Cadherins are a large family of proteins that contains several calciumbinding cadherin domains in the extracellular portion of the molecule. Cadherin domains are directly responsible for the homophilic and calcium-dependent adhesive interactions between cadherin molecules on the neighboring cells. According to the overall domain structure and the number of cadherin domains, the cadherin family can be divided into classical, atypical and protocadherin cadherins. The classical cadherins include the best-studied E(epithelial)-, N(neural)-, P(placental)-, and VE(vasculo-endothelial)-cadherins. The cytoplasmic portion of these transmembrane proteins can bind to catenins and this confers strong adhesive interactions between the membranes of adjacent cells (Cavey and Lecuit [2009](#page-23-1); Meng and Takeichi [2009\)](#page-29-0). Since the role of nonclassical and atypical cadherins in mammalian cancer is still poorly understood, this chapter will focus on the role and significance of classical cadherins in cancer initiation and progression.

Catenins are the proteins that interact with cytoplasmic domain of classical cadherins and are required for strengthening of cell–cell contacts and proper AJ formation (Fig. [16.1](#page-2-0)). p120-catenin directly interacts with cadherins and plays an important role in delivery and stabilization of cadherins at the plasma membrane (Chen et al. [2003b](#page-23-2); Davis et al. [2003](#page-24-0); Ishiyama et al. [2010\)](#page-27-0). In addition, p120-catenin is also a prominent negative regulator of RhoA and a positive regulator of Rac1 small GTPases, which orchestrate the dynamics of the actin cytoskeleton at the AJs (Anastasiadis and Reynolds [2001](#page-21-0); Noren et al. [2000](#page-29-1)). β-catenin or a similar protein γ-catenin (plakoglobin) also directly interacts with the cytoplasmic domain of cadherin. Importantly, both β-catenin and plakoglobin bind to α-catenin and the principal function of these proteins in the AJs is to bring α -catenin to the cadherin–catenin

Fig. 16.1 Major proteins involved in the formation of the AJs. Classical cadherins are the transmembrane proteins containing multiple extracellular cadherin domains, which are directly involved in *cis*- and *trans-adhesive* interactions. Cytoplasmic domains of cadherins interact with p120- and β-catenins. β-catenin binds to α-catenin, which provides a functional link to the actin cytoskeleton. Actin filaments help to cluster the cadherin–catenin complexes at the membrane and strengthen the adhesive interactions between the neighboring cells

complex at the cell membrane. In addition to its critical role in the AJs, β-catenin is also a crucial transcriptional co-activator in the canonical Wnt signal transduction pathway, which orchestrates normal development and, when abnormally activated, can cause cancer. β-catenin translocates to the nucleus, binds to TCF family of transcription factors and activates transcription of genes that regulate morphogenetic, as well as, self-renewal and differentiation programs (Clevers [2006;](#page-23-3) Wend et al. [2010\)](#page-34-0).

At the AJs, β-catenin links cadherin with α-catenin. α-catenin is the only catenin containing a well-defined actin-binding domain, and it is believed, that it functionally links cadherin–catenin proteins at the membrane with the actin cytoskeleton. The mechanisms of this linkage are poorly understood because purified cadherin-βcatenin-α-catenin protein complexes cannot bind to actin filaments (Drees et al. [2005;](#page-24-1) Yamada et al. [2005\)](#page-34-1). α-catenin may be involved in regulation of actin polymerization at the AJs (Kobielak et al. [2004](#page-27-1); Kovacs et al. [2002](#page-28-0); Vasioukhin et al. [2000](#page-33-0)) and, additionally, it may use other actin-binding proteins Eplin or Vinculin to link to the actin filaments indirectly (Abe and Takeichi [2008;](#page-21-1) Yonemura et al. [2010\)](#page-34-2). Mammalian genomes contain three α-catenin genes, α E(epithelial), α N-(neural) and α T(testicular), which differ primarily by their tissue-specific pattern of expression (Janssens et al. [2001\)](#page-27-2). In this chapter, we will concentrate primarily on epithelial αE -catenin.

In addition to cadherin–catenin protein complexes, the Nectin-afadin cell–cell adhesion system is also present at the AJs (Ogita et al. [2010](#page-29-2)). Nectins and Nectinlike molecules are transmembrane adhesion proteins containing immunoglobulinlike domains, which interact in *trans* with each other and are responsible for weak calcium-independent cell–cell adhesion. Cytoplasmic domains of Nectins and Nectin-like proteins bind to afadin, which contains actin-binding domain and links Nectin–afadin molecules at the membrane to the actin cytoskeleton. Interestingly, afadin also binds to α-catenin and this interaction can link Nectin and cadherin adhesion systems at the AJs. The role of the Nectin–afadin adhesion system is discussed in detail in chap. 7 and it will not be covered in this chapter.

16.3 Decrease in Adherens Junction Function and Weakening of Intercellular Adhesion in Human Epithelial Cancer

In normal tissues epithelial cells tightly adhere to each other; however, in many epithelial tumors and especially in high-grade advanced cancers the tumor cells display decreased cell–cell adhesion and increased invasion into surrounding stromal tissues. Generally, tumors displaying invasion and local dissemination of epithelial tumor cells are considered to be more likely to result in metastatic progression and death of the patient. Therefore, knowledge about the molecular mechanisms responsible for the differences between well-circumscribed tumors containing tightly adhering cells and tumors containing loosely adhering cells is very important for understanding the biology of cancer progression and metastasis. Since AJs play an important role in normal cell–cell adhesion, many investigators analyzed the AJs in human tumors. Immunostainings of various tumor types with antibodies recognizing specific proteins of the AJs resulted in numerous papers describing the changes in these proteins expression and the correlations between these changes and patient outcomes.

16.3.1 Changes in Cadherin Expression. Decrease in E-Cadherin and Upregulation of Mesenchymal Cadherins in High-Grade Invasive Carcinoma

E-cadherin is the most prominently expressed classical cadherin in normal epithelial cells. Immunostaining with anti-E-cadherin antibodies revealed significant decreases in the expression levels of this protein in the variety of human epithelial tumors (Berx and van Roy [2009\)](#page-22-0). Interestingly, not all the tumors are exactly the same, as there are significant differences between different organs and even different tumor types within the organ. In breast carcinoma, loss of E-cadherin expression is an early and highly penetrant event (up to 85%) in lobular tumors (Berx et al. [1995;](#page-22-1) Berx and Van Roy [2001](#page-22-2); Cowin et al. [2005](#page-23-4)). Conversely, E-cadherin expression in ductal breast carcinoma is decreased only in advanced high-grade tumors (Jeschke et al. [2007](#page-27-3); Nagae et al. [2002;](#page-29-3) Oka et al. [1993;](#page-30-0) Park et al. [2007](#page-30-1); Pedersen et al. [2002;](#page-30-2) Rakha et al. [2005](#page-31-0)).

Similar to lobular breast carcinoma, the diffuse-type gastric cancer also displays the early onset loss of E-cadherin expression (92%) (Mayer et al. [1993\)](#page-29-4). In contrast, intestinal-type gastric cancer shows loss of E-cadherin expression primarily in high-grade invasive tumors (Shun et al. [1998](#page-32-0)). In squamous cell carcinoma, prostate cancer, non-small cell lung carcinoma and colon cancer the expression of E-cadherin is usually maintained in early low-grade tumors and is downregulated in a subset of late high-grade tumors (Bohm et al. [1994](#page-22-3); Schipper et al. [1991;](#page-31-1) Schuhmacher et al. [1999](#page-31-2); Umbas et al. [1992\)](#page-33-1). Loss or decrease in expression of E-cadherin usually correlates with tumor invasiveness, distant metastasis and unfavorable patient outcome (Asgeirsson et al. [2000](#page-21-2); Dolled-Filhart et al. [2006](#page-24-2); Heimann et al. [2000;](#page-26-0) Nakopoulou et al. [2002;](#page-29-5) Park et al. [2007;](#page-30-1) Pedersen et al. [2002;](#page-30-2) Rakha et al. [2005\)](#page-31-0).

E-cadherin is not the only classical cadherin expressed in normal epithelial tissues. In addition to E-cadherin, epithelial cells often express other cadherins. For example, skin keratinocytes express both E-cadherin and P-cadherin and loss of expression of both of these genes is required for disruption of the AJs (Tinkle et al. [2008b\)](#page-33-2). In contrast to E-cadherin, which is expressed at relatively similar levels by all epithelial cells within the tissue, P-cadherin often displays much more differential levels of expression. For example, in skin epidermis only basal and hair germ cells express P-cadherin. Similarly, in breast epithelium, P-cadherin is expressed primarily by myoepithelial cells, Thus, perhaps not surprisingly, the results of the analyses of P-cadherin expression in epithelial tumors were very different from what was found for E-cadherin. In breast cancer, P-cadherin is often overexpressed and its expression correlates with poor patient prognosis (Paredes et al. [2007;](#page-30-3) Turashvili et al. [2011](#page-33-3)). P-cadherin is not expressed in normal adult colon, but its expression is activated in colon cancer (Milicic et al. [2008](#page-29-6)). In contrast, in oral squamous cell carcinoma loss of P-cadherin expression is a hallmark of aggressive tumors (Lo Muzio et al. [2004;](#page-28-1) Munoz-Guerra et al. [2005\)](#page-29-7).

While expression of E-cadherin in high-grade epithelial tumors is downregulated, tumor cells often show increased expression of N-cadherin, which is normally present only in mesenchymal cells and neurons (Cavallaro et al. [2002](#page-23-5); Wheelock et al. [2008\)](#page-34-3). This phenomenon is called "cadherin switching" and it is considered to be one of the hallmarks of epithelial to mesenchymal transition (EMT). EMT is a normal process of a dramatic change in cellular morphology which occurs during specific stages of normal embryonic development, when highly adhesive epithelial cells downregulate expression of epithelial cadherins, upregulate expression of mesenchymal cadherins and become highly motile and invasive (Shook and Keller [2003\)](#page-32-1). Notable examples of these developmental events include gastrulation, formation of neural crest cells, heart valve formation and delamination of muscle precursor cells from the dermomyotome during muscle morphogenesis (Micalizzi et al. [2010](#page-29-8)). This ability of epithelial cells to drastically change their phenotype and become highly invasive mesenchymal cells is maintained in epithelial tumors and EMT is likely to play an important role during tumor dissemination and metastasis (Hugo et al. [2007\)](#page-26-1). While downregulation of epithelial cadherins is clearly the causal event responsible for the transition from epithelial to mesenchymal phenotype, the role of upregulation of N-cadherin is not completely clear. Early experiments with established cancer cell lines indicated that upregulation of N-cadherin in epithelial cells can cause a prominent increase in cell migration and invasion (Nieman et al. [1999;](#page-29-9) Zahir and Weaver [2004\)](#page-34-4). N-cadherin can activate cell migration in tissue culture by interacting with fibroblast growth factor receptor (FGFR) and stimulating FGF signaling, as well as by activating Rac1 and Cdc42 small GTPases, which regulate the actin cytoskeleton (Wheelock et al. [2008\)](#page-34-3). *In vivo* experiments revealed a more complex picture. Overexpression of N-cadherin in breast tumors, which were induced by upregulation of ErbB2, did not produce a significant phenotype; however, overexpression of N-cadherin in breast carcinoma, which was induced by expression of polyoma virus middle T antigen, resulted in increased metastasis (Hulit et al. [2007](#page-26-2); Knudsen et al. [2005\)](#page-27-4). Thus, it is likely that the role of N-cadherin upregulation during EMT in epithelial tumors depends on the nature of the signaling pathways responsible for tumor initiation and progression.

16.3.2 Changes in Expression of Catenins

In addition to E-cadherin, AJs in epithelial cells also require expression of catenins, which were also analyzed in a range of epithelial tumors. In general, immunostaining with anti-α-catenin antibodies revealed changes that are very similar to the changes in expression of E-cadherin. Tumors showing loss or decrease in expression of E-cadherin, often also show the downregulation of α-catenin (Aaltomaa et al. [1999;](#page-21-3) Kimura et al. [2000](#page-27-5); Richmond et al. [1997;](#page-31-3) Toyoyama et al. [1999](#page-33-4); Umbas et al. [1992;](#page-33-1) Zhou et al. [2005](#page-35-0)). 81% of breast carcinomas display loss or decrease in staining for α-catenin (Rimm et al. [1995](#page-31-4)). α-catenin is absent in 50% of squamous cell carcinomas of esophagus (Setoyama et al. [2007\)](#page-32-2) and in 41% of gastric carcino-mas (Zhou et al. [2005](#page-35-0)). Decrease or loss of expression of α-catenin often correlate with invasive phenotype, lymph node metastasis, recurrence of the disease and poor patient outcome (Aaltomaa et al. [1999](#page-21-3), [2005;](#page-21-4) Kadowaki et al. [1994;](#page-27-6) Nakanishi et al. [1997;](#page-29-10) Richmond et al. [1997](#page-31-3); Setoyama et al. [2007](#page-32-2); van Oort et al. [2007](#page-33-5)).

In addition to its important role in AJs, β-catenin is also a transcriptional coactivator, which can cause tumor formation, when it is constitutively stabilized and localized to the nucleus. The important role of β-catenin in cell transformation is the primary reason for the extensive analyses of this protein in variety of human malignancies. The amount of information about β-catenin in human cancer is massive and it has been extensively covered in excellent recent reviews (Clevers [2006;](#page-23-3) Heuberger and Birchmeier [2010](#page-26-3); Klaus and Birchmeier [2008;](#page-27-7) Wend et al. [2010\)](#page-34-0). Overall, β-catenin is prominently upregulated in colorectal cancer, in subsets of medulloblastomas and basal cell carcinomas, and type of hair follicle cancer called pilomatricoma (Clevers [2006](#page-23-3); El-Bahrawy et al. [2003](#page-24-3); Gat et al. [1998](#page-25-0); Gibson et al. [2010;](#page-25-1) Saldanha et al. [2004;](#page-31-5) Yamazaki et al. [2001](#page-34-5)). While there are many studies that report the presence of nuclear β-catenin in various additional tumor types, these findings are not always corroborated by other laboratories. For example, the presence of significant levels of nuclear β-catenin was reported in breast cancer tumors in one report (Lin et al. [2000\)](#page-28-2), but this finding was not confirmed in the follow up papers (Dolled-Filhart et al. [2006;](#page-24-2) Gillett et al. [2001;](#page-25-2) Pedersen et al. [2002](#page-30-2); Wong et al. 2002). In many epithelial tumors, the levels of junctional β-catenin parallels

the expression of E-cadherin and it is downregulated in the advanced high-grade tumors (Aaltomaa et al. [2005;](#page-21-4) Carico et al. [2001;](#page-22-4) Chung et al. [2007](#page-23-6); Dolled-Filhart et al. [2006;](#page-24-2) Fukumaru et al. [2007;](#page-25-3) Ishizaki et al. [2004;](#page-27-8) Jaggi et al. [2005;](#page-27-9) Nakanishi et al. [1997\)](#page-29-10).

16.4 Molecular Mechanisms Responsible for the Decrease of Cadherin-Catenin-Mediated Adhesion in Human Epithelial Tumors

As described earlier, extensive literature indicates that epithelial cadherins and associated catenins are frequently downregulated in advanced human epithelial tumors. The molecular mechanisms responsible for this downregulation are very diverse and include regulation at the level of genes, gene transcription, and multiple post-transcriptional stages.

16.4.1 Mutation and Deletion of Cadherin and Catenin Genes in Human Cancer

The most direct effects on gene function are gene mutations, deletions or amplifications. While "next generation" sequencing approaches currently make it possible to analyze tumor cell mutations in all human genes, earlier efforts concentrated on sequencing of specific genes in DNA isolated from human tumors. Cancer genome re-sequencing of *E-cadherin (CDH1)* revealed frequent inactivating mutations in *E-cadherin* in lobular breast and diffuse-type gastric cancers, where up to 50% of primary tumors contain mutations in *E-cadherin* (Becker et al. [1993,](#page-21-5) [1994;](#page-21-6). Berx et al. [1995](#page-22-1), 1996). In breast cancer, the wild-type allele of *E-cadherin* is often lost and the overall loss of heterozygosity of *E-cadherin* is a frequent event in breast cancer (Berx et al. [1996](#page-22-5); Cleton-Jansen et al. [2001\)](#page-23-7). Besides the lobular breast and diffuse-type gastric cancer, mutations in *E-cadherin* are not frequent in other carcinomas (Endo et al. [2001](#page-24-4); Risinger et al. [1994](#page-31-6); Soares et al. [1997](#page-32-3); Taddei et al. [2000;](#page-32-4) Wijnhoven et al. [1999](#page-34-7)).

The homozygous loss-of-function mutations in *E-cadherin*, *β-catenin(Ctnnb1)* and *αE-catenin (Ctnna1)* genes are embryonic lethal in mice and it is likely that they result in lethality in humans (Haegel et al. [1995;](#page-26-4) Huelsken et al. [2000](#page-26-5); Larue et al. [1994;](#page-28-3) Torres et al. [1997](#page-33-6)). While mice with heterozygous mutations in *E-cadherin*, *β-catenin* and *αE-catenin* genes appear normal, germ line mutation in one allele of *E-cadherin* in humans strongly predisposes to development of diffuse-type gastric cancer (Guilford et al. [1998\)](#page-25-4). Interestingly, the probability of development of lobular breast cancer in females carrying the germ line mutation in *E-cadherin* is only slightly higher than in general population (Pharoah et al. [2001](#page-30-4)).

Loss-of-function mutations in *α-* and *β-catenin genes* have been described in cell lines derived from various human epithelial tumors; however, there is no knowledge about the prevalence of these mutations in primary tumors. Cancer genome re-sequencing projects identified mutations and deletions in *α*E- and *αN-catenin genes*; however, since only few tumors were sequenced, it is impossible to conclude the overall prevalence of these mutations (Ding et al. [2010](#page-24-5); Wood et al. [2007](#page-34-8)).

In contrast to the loss-of-function mutations, protein stabilizing mutations in *β-catenin* are frequently found in primary epithelial tumors (Polakis [2000\)](#page-30-5). These mutations concentrate in the amino-terminal part of the protein and often affect serine and threonine amino acids, which need to be phosphorylated for protein degradation (see below). Thus, the functional outcome of these mutations is stabilization of the protein and constitutive activation of the canonical Wnt signaling pathway, which is causally involved in cancer initiation. Mutations in *β-catenin* are found in 27% of intestinal type gastric cancers (Park et al. [1999](#page-30-6)), in up to 20% of hepatocellular carcinomas (de La Coste et al. [1998](#page-24-6); Miyoshi et al. [1998\)](#page-29-11), in 15% of pediatric kidney cancers (Koesters et al. [1999\)](#page-27-10), in 61% of anaplastic thyroid carcinoma (Garcia-Rostan et al. [1999](#page-25-5)), and in 75% of hair follicle tumors called pilomatricoma (Chan et al. [1999\)](#page-23-8).

16.4.2 Transcriptional Downregulation of Cadherin and Catenin Gene Expression

While decreases in the expression of epithelial cadherins and loss of cell–cell adhesion occur in the majority of advanced epithelial tumors, *E-cadherin* is frequently mutated or lost in only a few specific tumor types. Indeed, the most frequent mechanisms responsible for the loss of E-cadherin function is transcriptional downregulation of its expression (Berx and van Roy [2009](#page-22-0)). Expression of *E-cadherin* is downregulated by either promoter hypermethylation or an increase in expression of transcriptional factors that down-regulate *E-cadherin* promoter activity.

Promoter hypermethylation is likely the most frequent event responsible for the loss of *E-cadherin* expression in advanced tumors. Even in gastric diffuse-type tumors containing an inactivating mutation of *E-cadherin*, the second nonmutant allele of *E-cadherin* is silenced via methylation of a CpG island in the promoter of the *E-cadherin* gene (Grady et al. [2000\)](#page-25-6). Abnormally increased methylation of the *E-cadherin* promoter is found in primary gastric, breast, prostate, non-small cell lung, thyroid, bladder, cervical, esophageal, renal, colorectal, hepatocellular and other types of cancer (Chen et al. [2003a;](#page-23-9) Garinis et al. [2002](#page-25-7); Graff et al. [1995](#page-25-8), [1998;](#page-25-9) Kim et al. [2007;](#page-27-11) Matsumura et al. [2001;](#page-29-12) Nojima et al. [2001](#page-29-13); Ribeiro-Filho et al. [2002;](#page-31-7) Si et al. [2001](#page-32-5); Tamura et al. [2000](#page-33-7); Yoshiura et al. [1995](#page-34-9)). Increased methylation of the *E-cadherin* promoter enhances the binding of methyl-CpG-interacting proteins MeCP2 and MBP2, which recruit HDACs that cause histone 3 (H3) deacetylation and shut down transcription (Koizume et al. [2002](#page-27-12)). Moreover, proteins that bind to methylated H3 at the *E-cadherin* promoter also play an important role in

regulation of *E-cadherin* expression. Methyl-H3K9-binding protein MPP8 localizes to the *E-cadherin* promoter and mediates *E-cadherin* silencing by directing DNA methylation via interaction with DNA methyltransferase 3A (Kokura et al. [2010\)](#page-27-13). Interestingly, in some cell types transcription factors critical for proper epithelial differentiation may neutralize the effect of E-cadherin promoter hypermethylation. For example, the members of the forkhead transcription factor family FOXA1/2 are downregulated in pancreatic ductal adenocarcinoma resulting in the loss of *E-cadherin* expression. Re-expression of FOXA1/2 in pancreatic cancer cells with extensive *E-cadherin* promoter hypermethylation results in re-activation of *E-cadherin* expression (Song et al. [2010](#page-32-6)).

In addition to promoter methylation, several transcription repressors can mediate downregulation of *E-cadherin* expression through direct interaction with *E-cadherin* promoter. Earlier somatic cell hybrid experiments indicated the presence of factors that utilize E-box sequences within the *E-cadherin* promoter to downregulate its activity (Giroldi et al. [1997](#page-25-10)). Later studies identified multiple E-box-binding transcriptional repressors that can bind to the *E-cadherin* promoter, downregulate its transcriptional activity and promote EMT, cell migration and invasion. Specifically, the Zinc-finger protein SNAIL represses *E-cadherin* promoter by the recruitment of the H3K27 histone methyltransferases polycomb repressive complex 2 (PRC2) and Sin3 A/HDAC1/2 (Batlle et al. [2000;](#page-21-7) Cano et al. [2000;](#page-22-6) Herranz et al. [2008](#page-26-6); Peinado et al. [2004](#page-30-7)). E-box binding proteins ZEB1/2 downregulate *E-cadherin* expression by interacting with the transcription co-repressor CtBP-1 (Comijn et al. [2001](#page-23-10); Grooteclaes and Frisch [2000](#page-25-11); Shi et al. [2003\)](#page-32-7). In addition, ZEB1 can regulate *E-cadherin* independently of CtBP, by interacting with the SWI/SNF chromatin-remodeling protein BRG1 (Sanchez-Tillo et al. [2010\)](#page-31-8). In addition to Snail and ZEB1/2, basic helix-loop-helix proteins E12/E47 and Twist repress the transcription of *E-cadherin* through direct interaction with its promoter (Perez-Moreno et al. [2001](#page-30-8); Yang et al. [2004](#page-34-10)). The forkhead domain transcription factor FOXQ1 is overexpressed in high-grade basal-type breast cancers. It downregulates expression of *E-cadherin* and promotes EMT, gain of stem cell-like properties, and acquisition of resistance to chemotherapy-induced apoptosis (Qiao et al. [2011](#page-31-9)). EZH2 is a histone-lysine-methylase and the member of the polycomb group of proteins involved in transcriptional repression of target genes. EZH2 is upregulated in prostate, breast and bladder cancers and it mediates tumor aggressiveness by transcriptional silencing of E-cadherin (Cao et al. [2008\)](#page-22-7). Bmi1 is another polycomb-group protein frequently overexpressed in human cancers. Bmi1 is expressed in stem cells, it maintains self-renewal, and it cooperates with Twist1 to repress *E-cadherin* and *p16INK4a* and to promote epithelial-mesenchymal transition and tumor initiating capacity (Yang et al. [2010\)](#page-34-11). *Zeppo1* (zinc finger elbowrelated proline domain protein 1) is amplified and overexpressed in breast cancer. It binds to Groucho, represses *E-cadherin* expression and promotes breast cancer progression in mouse models of metastatic breast cancer (Slorach et al. [2011\)](#page-32-8). Overall, various transcriptional repressors are utilized during normal development to quickly downregulate *E-cadherin* expression and promote EMT. During progression of epithelial tumors, these factors are also upregulated and this causes

tumor cell EMT and promotes tumor invasion and metastasis (Peinado et al. [2007;](#page-30-9) Yang et al. [2004\)](#page-34-10).

16.4.3 Posttranscriptional Inactivation of Cadherin–Catenin Adhesion System by MicroRNAs

While transcriptional regulation of *E-cadherin* has been extensively studied, it is clear that cells can employ multiple posttranscriptional mechanisms to control the levels of epithelial cadherins. A number of microRNAs exercise a potent control over E-cadherin protein production at the level of translation. MicroRNAs usually recognize the 3' UTR region of their target mRNAs and negatively regulate protein translation. The Weinberg laboratory recently demonstrated that a microRNA upregulated in breast cancer cells, miR-9, directly targets E-cadherin mRNA (Ma et al. [2010](#page-28-4)). Overexpression of miR-9 in a nonmetastatic cell line downregulated E-cadherin and enabled the cells to form metastases. Conversely, downregulation of miR-9 levels in highly malignant cells inhibited metastasis. Interestingly, transcription of miR-9 itself was controlled by MYC and MYCN proteins, which are strongly implicated in cancer. Similar to miR-9, a microRNA overexpressed in esophageal squamous cell carcinoma, miR-92a, also directly targets E-cadherin 3'UTR and promotes cell migration and invasion (Chen et al. [2011\)](#page-23-11). Highly expressed in breast cancer stem cells miR-495 also directly targets E-cadherin and promotes tumorigenesis in mice. Interestingly, the transcription factor E12/E47, previously implicated in the direct regulation of *E-cadherin*, was also shown to control the expression of miR-495 (Hwang-Verslues et al. [2011](#page-26-7)).

While the majority of microRNAs target the 3'UTR of mRNAs and negatively regulate protein translation, some microRNAs target promoter regions and activate transcription. For example, miR-373 has a binding site in the *E-cadherin* promoter and it activates *E-cadherin* transcription (Place et al. [2008\)](#page-30-10). Besides this noncanonical regulation, miR-373 can target LATS2 and CD44 mRNAs and promote tumor invasion and metastasis (Huang et al. [2008](#page-26-8); Voorhoeve et al. [2006\)](#page-33-8).

While miR-9, mir-92a and miR-495 directly regulate E-cadherin mRNA, other microRNAs act on transcriptional factors that can control *E-cadherin* expression. For example, miR-141, mir-200 and mir-205 miRNAs directly repress ZEB1 and ZEB2 (Burk et al. [2008](#page-22-8); Gregory et al. [2008](#page-25-12); Korpal et al. [2008;](#page-28-5) Olson et al. [2009;](#page-30-11) Park et al. [2008](#page-30-12)). In addition, the miR-200 family directly targets Suz12, a subunit of a polycomb repressor complex (PRC2), which negatively regulates *E-cadherin* expression and promotes formation of breast cancer stem cells (Iliopoulos et al. [2010\)](#page-27-14). miR-708 is downregulated in human renal cell carcinoma, and in normal cells, this microRNA targets *E-cadherin* regulators ZEB2 and BMI1 (Saini et al. [2011](#page-31-10)). In some cases, the microRNA regulation of epithelial cadherin expression is even more complex. miR-221 and miR-222 are expressed in basal-type breast cancer, where they target the 3'UTR of TRPS1 (trichorhinophalangeal syndrome

type 1), which is a member of the GATA family of transcriptional repressors directly repressing expression of ZEB2 (Stinson et al. [2011](#page-32-9)).

Overall, microRNAs elicit a powerful control of E-cadherin expression in normal epithelial cells and deregulation of these microRNAs can have profound consequences for the loss of epithelial phenotype and increased invasion and metastasis in high-grade epithelial tumors.

16.4.4 Posttranslational Effects on the Cadherin–Catenin Adhesion System

During development epithelial cells constantly remodel their cell–cell adhesion structures to allow for normal tissue morphogenesis. Moreover, in the majority of adult organs, homeostasis is maintained through constant tissue renewal via a wellorchestrated process of stem cell-mediated self-renewal and differentiation. These processes also involve constant epithelial cell movements within the tissue, which implies the need for quick cell–cell junction breakdown and re-formation that often takes place without the overall loss of the epithelial phenotype. To accomplish this task, cells evolved several mechanisms eliciting posttranslational control of AJ function. Cadherin–catenin complexes can be quickly regulated by phosphorylation-mediated disruption of cadherin–catenin protein complexes that is often coupled with endocytosis of cadherin and its degradation.

Protein phosphorylation is a powerful mechanism that can be rapidly employed to change protein function. Stability of the AJs depends on the efficient formation of cadherin–catenin protein complexes. Phosphorylation of cadherins and catenins influences their binding affinities and overall AJ complex stability. E-cadherin at the membrane associates with multiple receptor-type tyrosine kinases (RTKs) including IGF1R, ErbB2, Met and EGFR (Canonici et al. [2008;](#page-22-9) Hiscox and Jiang [1999;](#page-26-9) Ochiai et al. [1994;](#page-29-14) Reshetnikova et al. [2007\)](#page-31-11). Activation of RTKs results in decreases in the affinity between cadherin and catenins and disassembly of the cadherin–catenin complexes (Behrens et al. [1993](#page-22-10); Hamaguchi et al. [1993](#page-26-10)). RTKs and/or Src-family kinases phosphorylate E-cadherin and this creates a binding site for Hakai, which mono-ubiquitinates E-cadherin and promotes its interaction with mono-ubiquitin-binding protein HRS, internalization and subsequent lysosomemediated degradation (Fujita et al. [2002](#page-24-7); Palacios et al. [2005](#page-30-13); Shen et al. [2008;](#page-32-10) Toyoshima et al. [2007\)](#page-33-9). The AJ protein Shrew-1 plays an important role in EGFinduced endocytosis of E-cadherin; however, the mechanism of Shrew-1 function is not well understood (Gross et al. [2009\)](#page-25-13). Endocytosed E-cadherin can also be ubiquitinated by MDM 2, which is overexpressed in breast cancer, and this is followed by E-cadherin degradation (Yang et al. [2006\)](#page-34-12). Similar to E-cadherin, β-catenin is a prominent substrate for tyrosine phosphorylation by Src-family, BCL-Abl, MET and RET tyrosine kinases and this phosphorylation decreases the affinities between β-catenin and both E-cadherin and α-catenin, and in addition, causes an activation of β-catenin-mediated transcription (Brembeck et al. [2004](#page-22-11); Coluccia et al. [2006](#page-23-12), [2007;](#page-23-13) Gujral et al. [2008](#page-26-11); Lilien and Balsamo [2005;](#page-28-6) Roura et al. [1999](#page-31-12); Zeng et al. [2006\)](#page-34-13).

p120-catenin was first discovered as a prominent substrate for Src-family tyrosine kinases (Reynolds et al. [1994\)](#page-31-13). Tyrosine phosphorylation of p120-catenin modulates its binding to and inhibition of RhoA and this can have a significant impact on the stability of the AJs (Castano et al. [2007](#page-23-14)). Src can also disrupt AJs and promote EMT by phosphorylation and targeted degradation of the Rac1 activator Tiam1, which is required for AJ formation and maintenance (Woodcock et al. [2009](#page-34-14)).

In addition to tyrosine kinases, the stability of the AJs is also regulated by serine-threonine phosphorylation. The cadherin cytoplasmic tail contains a serine-rich domain and its phosphorylation by casein kinase I results in the disruption of cadherin–catenin complexes (Ochiai et al. [1994\)](#page-29-14). Serine-threonine phosphorylation of β-catenin by GSK3β plays a critical role in the destruction of cytoplasmic β-catenin and the negative regulation of its transcriptional activity (Aberle et al. [1997](#page-21-8); Clevers [2006\)](#page-23-3). In contrast, phosphorylation by AKT and cyclic AMP-dependent protein kinases causes activation of β-catenin transcriptional activity (Fang et al. 2007 ; Hino et al. [2005\)](#page-26-12).

AJs link to the actin cytoskeleton and changes in the actin cytoskeleton play crucial roles in both AJs formation and disruption. Cadherin–catenin clustering is regulated by the actin cytoskeleton (Angres et al. [1996](#page-21-9); Hirano et al. [1992](#page-26-13)). Rho-family GTPases are the general regulators of the actin cytoskeleton, and they play a crucial role in the regulation of cell–cell adhesion, cell migration and invasion (Ellenbroek and Collard [2007](#page-24-9)). While α -catenin is the only catenin with a well-defined f-actin binding domain, p120-catenin is the principal regulator of Rho family GTPases. P120-catenin binds and inactivates RhoA and activates Rac1, and this promotes AJ stabilization (Reynolds [2007\)](#page-31-14). While some dynamics of the actin cytoskeleton are necessary for AJ formation, sustained activation of either RhoA or Rac1, which is frequently induced by oncogenes, is likely to destabilize cadherin–catenin contacts and stimulate cell migration and invasion (Gimond et al. [1999;](#page-25-14) Lozano et al. [2008;](#page-28-7) Zhong et al. [1997\)](#page-35-1). For example, Abl and Arg intracellular tyrosine kinases impact AJs assembly through regulation of Rho-ROCK-myosin signaling pathway (Zandy et al. [2007](#page-34-15)). Inhibition of these kinases results in activation of Rho-ROCK pathway and disruption of the AJs, which is mediated by actomyosin contraction.

Cell surface levels of cadherin can be rapidly altered by activation of cadherin endocytosis. For example, during normal embryonic development a dramatic EMT takes place during gastrulation. In gastrulation, activin/nodal members of the TGF-β superfamily induce expression of Fibronectin Leucine-rich Repeat Transmembrane 3 (FLRT3), a transmembrane protein containing extracellular leucine-rich repeats, and the small GTPase Rnd1 (Ogata et al. [2007\)](#page-29-15). These two proteins interact physically and decrease cell adhesion by sequestering cadherin through a dynamin-dependent endocytosis pathway.

We previously discussed the important role of p120-catenin in cadherin stabilization at the plasma membrane (Kowalczyk and Reynolds [2004\)](#page-28-8). Interestingly, the interaction site between p120-catenin and cadherin extends over the cadherin residues required for clathrin-mediated endocytosis and Hakai-dependent ubiquitination of this protein (Ishiyama et al. [2010](#page-27-0)). Thus, interaction between cadherin and p120-catenin should inhibit cadherin internalization and stabilize it at the cell surface. Upon endocytosis, E-cadherin can associate with the MDM 2 E3 ubiquitin ligase, which ubiquitinates cadherin and targets it for degradation (Yang et al. [2006\)](#page-34-12). Interestingly, expression levels of MDM2 negatively correlate with the levels of E-cadherin in clinical samples of breast cancer, and overexpression of MDM 2 promotes E-cadherin internalization and degradation in breast cancer cell lines (Yang et al. [2006](#page-34-12)).

The TGF-β signal transduction pathway is a critical regulator of EMT in various epithelial tumors. Activated by TGF-β treatment SMAD3/4 transcription factors interact with Snail1 at the promoters of *E-cadherin* and tight-junctional genes and co-repress their transcription to induce EMT (Vincent et al. [2009\)](#page-33-10). Another mechanism responsible for TGF-β-induced EMT involves phosphorylation of Ser 43 of hnRNP E1 by protein kinase Akt2, which results in the release of the hnRNP from the 3' UTR of Disabled-2 (Dab2) and interleukin-like EMT inducer (ILEI) transcripts and translational activation of Dab2 and ILEI (Chaudhury et al. [2010;](#page-23-15) Hussey et al. [2011\)](#page-26-14). Dab2 mediates directional trafficking and polarized distribution of several cell surface proteins including E-cadherin (Yang et al. [2007](#page-34-16)). Moreover, Dab2 is a critical protein responsible for EMT in TGF-β-treated cells (Prunier and Howe [2005](#page-31-15)).

Progression of epithelial tumors is frequently associated with activation of cell surface proteases, which promote tumor invasion and metastasis. Interestingly, many of these enzymes directly cleave cadherin molecules. Cleavage of cadherins by metalloproteinases (MMPs), disintegrin and metalloproteinases (ADAM) or γ-secretase results in the disruption of cadherin-mediated adhesion (Ferber et al. [2008;](#page-24-10) Lochter et al. [1997](#page-28-9); Marambaud et al. [2002;](#page-28-10) Maretzky et al. [2005](#page-29-16); Solanas et al. [2011\)](#page-32-11). MMPs can be responsible for EMT induced by potent oncogenes. For example, in lung epithelial cells, oncogenic K-Ras can promote disruption of the AJs and EMT via ERK-mediated induction of MMP-9 and cleavage of E-cadherin at two sites (Wang et al. [2009\)](#page-33-11). Interestingly, both extracellular and intracellular fragments of cadherin generated after cleavage can have an important function in regulation of cell adhesion and signaling. The extracellular fragments have dominant negative activity, binding to cadherin on the cell surface and interfering with its function in cell–cell adhesion (Damsky et al. [1983](#page-23-16)). The cytoplasmic domain of E-cadherin can translocate to the nucleus and regulate p120-catenin-Kaiso tran-scription activity (Ferber et al. [2008](#page-24-10)). Similarly, upon translocation to the nucleus, the cytoplasmic domain of N-cadherin enhances transcription activity of β-catenin and represses CBP/CREB-mediated transcription (Marambaud et al. [2003](#page-28-11); Shoval et al. [2007\)](#page-32-12).

To summarize, the advanced epithelial tumors may employ a variety of mechanisms to down-regulate the function of epithelial cadherins and decrease epithelial cell adhesion. We will now discuss the potential clinical significance of these events.

16.5 Causal Role of AJ Proteins in Cancer Initiation and Progression

Analyses of human tumors clearly demonstrated a decrease of the epithelial cadherin–catenin cell–cell adhesion system in advanced malignancies. This, however, does not necessarily indicate that loss of epithelial-type AJs is causally involved in tumor initiation or progression. One of the potential causal proofs frequently used in the clinical literature is the evidence by association. Indeed, primary tumors demonstrating loss of E-cadherin expression statistically are more likely to present with metastasis and result in poor patient outcome. However, one has to be careful in making conclusions based on associations, because there is a potential caveat in this simple explanation. Since decrease in E-cadherin expression is more frequently seen in histologically advanced tumors, the association between decreased E-cadherin expression and tumor metastasis may be circumstantial and not causal. An experimental approach is usually necessary to establish causality. We will now discuss the potential causal connection between the cadherin–catenin adhesion system and cancer initiation and progression.

Many cell lines generated from human tumors display decreased expression of E-cadherin and/or catenins. Re-expression of missing cell–cell adhesion molecules and the analysis of resulting cell lines provided a powerful tool for the investigation of the role of AJ proteins in human cancer. Many groups also used a complementary loss-of-function approach to decrease the expression of cadherins or catenins in normal epithelial cells or in cancer cell lines with the cadherin–catenin adhesion system. Overall, studies with E-cadherin demonstrated that it plays a critical role in the establishment and maintenance of epithelial cell phenotypes and the attenuation of EMT, cell migration, invasion and metastasis (Behrens et al. [1989](#page-22-12); Chen and Obrink [1991;](#page-23-17) Frixen et al. [1991](#page-24-11); Vleminckx et al. [1991](#page-33-12)). Re-expression of α-catenin in cell lines missing α-catenin resulted in even more dramatic phenotypes and caused not only reversion of EMT and attenuation of cell invasion, but also decreased rates of cell proliferation and attenuated primary tumor formation in immunocompromised mice (Bullions et al. [1997;](#page-22-13) Ewing et al. [1995](#page-24-12); Watabe et al. [1994\)](#page-34-17). The role of p120-catenin in human cancer cell lines is even more complex and it appears to depend on the expression of E-cadherin. In cells expressing Ecadherin, p120-catenin inhibits Ras and attenuates cell proliferation; however, in cell lines missing E-cadherin, p120-catenin does not attenuate Ras and, instead, it activates Rac1-MAPK signaling and promotes cell proliferation (Dohn et al. [2009;](#page-24-13) Soto et al. [2008\)](#page-32-13).

Cell lines are a powerful experimental model, which can quickly assess the tumorigenic potential and significance of specific genetic and epigenetic changes that take place in human tumors. However, experiments with cell lines are unable to capture the complexity of tumor initiation and progression in human patients, because of the important role of three-dimensional tissue organization and overall cellular diversity that is missing in these models (Bissell and Hines [2011;](#page-22-14) Lee and Vasioukhin [2008](#page-28-12)). Genetic experiments with mice are usually necessary to capture all the details of normal tissue microenvironment and three-dimentional tissue organization. The Christofori laboratory generated the first genetic evidence of an important role of E-cadherin in tumor progression. The progression from well-differentiated adenoma to invasive carcinoma in the mouse model of pancreatic β-cell carcinoma (Rip1Tag2 mice) is marked by the decrease in E-cadherin expression (Perl et al. [1998\)](#page-30-14). Re-expression of E-cadherin in these tumors *in vivo* resulted in the arrest of tumor progression at the adenoma stage and, conversely, expression of a dominantnegative E-cadherin caused enhanced tumor invasion and metastasis (Perl et al. [1998\)](#page-30-14). In a different genetic cancer model, the growth and metastatic progression of non-small-cell lung cancer driven by C-Raf overexpression was enhanced by simultaneous deletion of E-cadherin (Ceteci et al. [2007](#page-23-18)).

Unlike the majority of human epithelial tumors, E-cadherin is lost early in lobular breast carcinoma. These tumors also display frequent inactivation of the p53 tumor suppressor gene. Tissue-specific deletion of tumor suppressor p53 in mice is sufficient to cause development of breast cancer. While deletion of E-cadherin in the same tissues was not sufficient to cause cancer, simultaneous deletion of E-cadherin and p53 resulted in earlier development of invasive and metastatic lobular breast carcinoma (Derksen et al. [2006\)](#page-24-14). Interestingly, deletion of E-cadherin in breast epithelial cells in this model caused resistance to anoikis, an apoptotic cell death caused by the loss of attachment to the extracellular matrix.

While the experiments with genetic inactivation of E-cadherin clearly demonstrated that the loss of E-cadherin expression is playing a causal role in tumor progression, it was also very clear that E-cadherin is not a canonical tumor suppressor, because deletion of E-cadherin was not sufficient to cause tumor formation (Derksen et al. [2006](#page-24-14)). Somewhat different results were obtained in the experiments with genetic ablation of p120- and α-catenins. Deletion of α-catenin in hair follicle stem and progenitor cells caused the formation of prominent inflammatory skin lesions and development of skin squamous cell carcinoma tumors (Silvis et al. [2011\)](#page-32-14). Moreover, transplantation of *α-catenin-/-*keratinocytes on the skin of nude mice resulted in wound-like microenvironment and formation of skin lesions resembling squamous cell carcinoma (Kobielak and Fuchs [2006\)](#page-27-15). Similarly, transplantation of *p120 catenin-/-* keratinocytes on the skin of nude mice caused formation of prominent inflammatory lesions and tumor-like growth (Perez-Moreno et al. [2008](#page-30-15)). Conditional deletion of p120-catenin in the mouse oral cavity, esophagus, and forestomach results in inflammation and invasive squamous cell cancer (Stairs et al. [2011\)](#page-32-15). Similarly, ablation of p120-catenin in intestinal epithelial cells results in chronic inflammation and formation of tumors (Smalley-Freed et al. [2011\)](#page-32-16). These genetic *in vivo* experiments demonstrate that both p120- and α -catenins can function as tumor suppressors.

Unlike p120 and α-catenins, $β$ -catenin is not critical for AJ formation in epithelial cells, because loss of β -catenin can be compensated by plakoglobin, which can provide a link between cadherins and α-catenin. In contrast to p120- and α-catenins, β-catenin is a very potent proto-oncogene playing a central role in the canonical Wnt signal transduction pathway (Clevers [2006\)](#page-23-3). Constitutive activation of Wnt signaling is oncogenic in many organs and tissues. β -catenin levels are negatively regulated by a destruction complex containing APC, Axin, GSK3β and Casein kinase I (CKI). GSK3β and CKI phosphorylate β-catenin and target it for degradation. Deletion or mutation of the phosphorylation sites stabilizes β-catenin and prominently increases its transcriptional activity. Expression of a stabilized form of β-catenin in the variety of mouse organs and tissues resulted in development of cancer, essentially proving that stabilization of β-catenin is sufficient for tumor development (Clevers [2006\)](#page-23-3). Inactivation of β-catenin destruction complex also results in increase in β-catenin levels and signaling activities. Mutation of one allele of APC in mice results in upregulation of β-catenin and development of multiple polyps and eventually intestinal cancer (Clevers [2004\)](#page-23-19). Similarly, mutation of APC in humans results in Familial adenomatous polyposis (FAP), which is an inherited disorder characterized by development of multiple intestinal polyps and predisposition to cancer of the large intestine, as well as fibromas, osteomas and medulloblastomas. In general, human and mouse phenotypes vary significantly, depending on the nature of the APC gene mutation. Interestingly, while loss of one allele of APC should be theoretically sufficient to activate β-catenin in all cell types, the most prevalent phenotype in APC mutants is the development of intestinal tumors (Clevers [2004\)](#page-23-19). The reasons for such specificity are not known, but it may indicate an exquisite sensitivity of the intestinal stem cells to changes in β-catenin signaling. Since transcriptional activity of β-catenin is required for the maintenance of stem and progenitor cells in many organs and tissues, conditional deletion of *β-catenin* usually results in the depletion of these cell populations and failure of normal development or adult organ homeostasis (Fevr et al. [2007;](#page-24-15) Machon et al. [2003;](#page-28-13) Zechner et al. [2003\)](#page-34-18). This provides a significant opportunity for therapeutic intervention, as tumors are likely to be very sensitive to the loss of β-catenin signaling activity (Malanchi et al. [2008\)](#page-28-14).

Overall, loss-of-function and gain-of-function experiments using cell lines and genetically engineered mice demonstrated that AJ proteins play an important role in epithelial tumor initiation and progression. E-cadherin downregulation in epithelial tumors is not sufficient for tumor initiation, but it promotes tumor invasion and metastasis. P120- and α-catenins can function as tumor suppressors and cause tumor development in some organs and tissues. Finally, β-catenin is a proto-oncogene, since upregulation of β-catenin protein levels is sufficient for tumor development. We will now discuss potential molecular mechanisms responsible for tumor- and metastasis suppression by AJs.

16.6 Mechanisms of AJ Proteins in Preventing Cancer Initiation and Progression

16.6.1 Maintenance of the Epithelial Phenotype and Adhesion-Mediated Attenuation of Tumor Invasion

One of the most important functions of the AJs is mediation of strong intercellular adhesion between epithelial cells, which is necessary for the three-dimensional architecture of organs and tissues. This function is mediated by the connection of AJs to the actin cytoskeleton, which helps to generate the forces necessary to drive the membranes of neighboring cells together and promote cell–cell adhesion (Cavey and Lecuit [2009](#page-23-1); Meng and Takeichi [2009](#page-29-0)). During epithelial tumor development, properly functioning AJs continue to mediate strong cell–cell adhesion between the tumor cells and this should attenuate primary tumor invasion, which is necessary for tumor progression and dissemination. Thus, the purely adhesive function of AJ proteins should be able to suppress tumor progression and metastasis by simply preventing the tumor cells from separating from the bulk of the tumor and invading the stromal cell compartment (Fig. [16.2a](#page-17-0)). It has been assumed that the decrease in expression of E-cadherin and the increase in expression of N-cadherin in tumor cells would reduce the affinity of tumor cells for each other and promote their affinity for mesenchymal cells, expressing N-cadherin. Theoretically, this should promote tumor cell invasion. The recent development of novel experimental techniques that include conditional gene knockouts and gene replacements technologies made it possible to test this hypothesis experimentally in live animals. The experimental results demonstrated that this explanation is probably too simplistic. Ablation of classical cadherins (both E- and P-cadherin) or α-catenin in epidermal keratinocytes in mice causes prominent cell–cell adhesion defects, but does not result in the loss of epithelial cell phenotypes and intermingling of mutant epithelial cells with stromal fibroblasts (Tinkle et al. [2008b;](#page-33-2) Vasioukhin et al. [2001](#page-33-13)). Moreover, genetic replacement of E-cadherin with N-cadherin in intestinal epithelial cells does not result in disruption of tissue morphology and intermingling of N-cadherin-expressing epithelial cells with the stromal cells (Libusova et al. [2010](#page-28-15)). It appears that simple ablation of the AJs in normal epithelial cells *in vivo* does not result in a complete loss of the epithelial cell phenotype. Since this does happen in many tumor cells, it is likely that AJs do play an important role in the maintenance of epithelial phenotype, but this function is reinforced by some unknown additional mechanisms in the normal cells, and these mechanisms may be lost in epithelial tumors.

16.6.2 Modulation of Growth Factor Receptor Signaling

Cell surface growth factor receptors play a critical role in regulation of cell proliferation and many of these proteins function as proto-oncogenes or tumor-suppressors. Cadherin–catenin protein complexes at the membrane interact with multiple growth factor receptors and this profoundly influences their signaling outputs (Fig. [16.2b\)](#page-17-0). For example, E-cadherin interacts with and negatively regulates signaling of multiple receptor type tyrosine kinases (Qian et al. [2004;](#page-31-16) Takahashi and Suzuki [1996\)](#page-33-14). Furthermore, some of the mutations of E-cadherin that are found in primary tumors result in decreased binding between E-cadherin and epithelial growth factor receptor (EGFR) and this enhances EGFR activity (Bremm et al. [2008\)](#page-22-15). Interestingly, E-cadherin-mediated adhesion impacts only some, but not all EGFR downstream signaling pathways. For example, clustering of E-cadherin at the cell surface with

Fig. 16.2 Mechanisms of Adherens Junction function in cancer. **a** AJs in epithelial cells prevent tumor cell dispersion and local tissue invasion, which attenuates tumor progression and metastasis. **b** Epithelial cadherins bind to the variety of tyrosine kinase receptor proteins and negatively regulate their signaling outputs. **c** In the tumor cells with attenuated cytoplasmic β-catenin destruction machinery, cadherin sequesters β-catenin from the nucleus and negatively regulates β-catenin signaling activity. **d** AJ proteins support Hippo pathway signaling by negatively regulating nuclear localization of Yap1

E-cadherin-coated beads specifically impacts EGFR-mediated activation of STAT5 (Perrais et al. [2007\)](#page-30-16). E-cadherin can also regulate signaling of growth factor receptors indirectly through neuronal cell adhesion molecule (NCAM) (Lehembre et al. [2008\)](#page-28-16). Loss of E-cadherin expression results in upregulation of NCAM, its translocation to the lipid rafts, where it activates non-receptor tyrosine kinase Fyn, leading to the phosphorylation and activation of the focal adhesion kinase and the assembly of integrin-mediated focal adhesions, cell spreading and EMT (Lehembre et al. [2008\)](#page-28-16).

In contrast to the relationship between EGFR and E-cadherin, fibroblast growth factor receptor (FGFR) interaction with N-cadherin potentiates FGFR signaling and causes increased activation of MAPK pathway and upregulation of MMP9, which promotes cellular invasion (Suyama et al. [2002](#page-32-17)). Similarly, interaction between Ncadherin and platelet-derived growth factor-receptor β (PDGFRβ) promotes PDGF signaling-induced cell migration (Theisen et al. [2007\)](#page-33-15).

Cadherin-mediated adhesion can also potentiate specific signaling branches downstream from the cell surface receptor-type tyrosine kinases. For example, VE-cadherin is necessary for proper vascular endothelial growth factor receptormediated activation of phosphoinositide 3 (PI3)-kinase (Carmeliet et al. [1999;](#page-22-16) Kang et al. [2007](#page-27-16)). Similarly, when Ewing sarcoma cells are grown in anchorageindependent conditions in soft agar, they upregulate E-cadherin, which increases ErbB4-phosphatidylinositol 3-kinase signaling and promotes cell survival (Kang et al. [2007](#page-27-16)). This PI3-kinase pathway-associated pro-survival function of AJs may play an important role during tumor cell dissemination and formation of metastatic lesions. Indeed, while primary epithelial tumors frequently show the decrease in expression of E-cadherin, the metastatic lesions in the same patients often display the reemergence of E-cadherin expression (Bukholm et al. [2000](#page-22-17); Hung et al. [2006;](#page-26-15) Imai et al. [2004\)](#page-27-17). During later stages of metastasis, cancer cells have to survive in foreign microenvironments, which are often lacking the proper extracellular matrix proteins, that otherwise facilitate formation of integrin-based adhesion structures and associated activation of PI3-kinase signaling. In these conditions, AJs may promote activation of PI3-kinase signaling necessary for cell survival and, thus, promote metastasis.

In addition to cadherins, catenins can also profoundly change the growth factor receptor signaling pathways. p120-catenin-mediated inhibition of RhoA-ROCK pathway is necessary for anchorage-independent growth of MDCK tumor cells overexpressing activated Src or Rac1 proteins (Dohn et al. [2009](#page-24-13)). Quite surprisingly, in lobular breast cancer developing upon ablation of *E-cadherin* and *p53*, p120 catenin promotes anoikis resistance by indirect upregulation of Rho-Rock signaling (Schackmann et al. [2011\)](#page-31-17). Although it is confusing, p120-catenin is known to have different and some times opposite functions depending on whether the cells express E-cadherin (Soto et al. 2008). Similar to p120-catenin, α -catenin also impacts the signaling by the growth factor receptors. While the mechanisms are still not well understood, the ablation of α -catenin in skin keratinocytes results in increased insulin-like growth factor (IGFR)–Ras-MAPK signaling (Vasioukhin et al. [2001\)](#page-33-13). IGF signaling can activate both Akt and MAPK kinase pathways, but only the MAPK pathway was affected in *αE-catenin-/-* keratinocytes.

16.6.3 Negative Regulation of Oncogenic β-Catenin Signaling

β-catenin is a potent proto-oncogene and upregulation of its levels *in vivo* results in the development of cancer in a variety of organs and tissues (Clevers [2006;](#page-23-3) Polakis [2000\)](#page-30-5). Since AJs also utilize β-catenin, upregulation of the levels of epithelial cadherins may sequester β-catenin to the AJs and attenuate its transcriptional activity in the nucleus (Fig. $16.2c$). This type of relationship has been demonstrated in variety of model systems. Overexpression of E-cadherin and α-catenin in Xenopus and Drosophila embryos and cancer cell lines results in attenuation of β-catenin-mediated signaling (Giannini et al. [2000](#page-25-15); Gottardi et al. [2001;](#page-25-16) Heasman et al. [1994;](#page-26-16) Merdek et al. [2004](#page-29-17); Onder et al. [2008;](#page-30-17) Orsulic et al. [1999;](#page-30-18) Sanson et al. [1996](#page-31-18); Sehgal et al. [1997](#page-31-19); Simcha et al. [1998\)](#page-32-18). Similarly, inactivation of epithelial cadherins in many cancer cell lines with an activated β-catenin signaling pathway results in increased β-catenin signaling (Kuphal and Behrens [2006;](#page-28-17) Onder et al. [2008](#page-30-17)). β-catenin is also hyperactive in *E-cadherin-/-* embryonic stem cells (Orsulic et al. [1999](#page-30-18)). In contrast, inactivation of cadherins in other cell lines does not cause increase in β-catenin-mediated signaling (Kuphal and Behrens [2006;](#page-28-17) van de Wetering et al. [2001](#page-33-16)). Similarly, loss-of-function experiments involving epithelial cadherins and α -catenin in live organisms for the most part do not show impacts on β-catenin signaling. Tissue-specific inactivation of *E-cadherin* and *α-catenin* in keratinocytes, as well as the knockout of *α-catenin* in the developing brain do not cause increases in β-catenin signaling (Lien et al. [2006](#page-28-18); Vasioukhin et al. [2001;](#page-33-13) Young et al. [2003](#page-34-19)). Moreover, genetic inactivation of epithelial cadherins in mouse models of epithelial cancer often promotes tumor progression, but rarely causes concomitant increase in β-catenin signaling. For example, loss of E-cadherin promotes pancreatic cancer progression, but it causes no changes in β-catenin signaling (Herzig et al. [2007\)](#page-26-17). Similarly, deletion of E-cadherin in the mouse model of breast and skin cancer accelerates tumor development and promotes metastasis, but it does not impact β-catenin signaling (Derksen et al. [2006\)](#page-24-14). Conditional deletion of *αE-catenin* in skin hair follicle stem cells results in the development of inflammatory lesions and squamous cell carcinoma, but β-catenin signaling is not activated in *αE-catenin-/-* cells (Silvis et al. [2011](#page-32-14)). In contrast, inactivation of cadherin function by overexpression of dominant-negative E-cadherin in a mouse model of Raf-driven lung cancer results in increased β-catenin signaling (Ceteci et al. [2007](#page-23-18)).

Overall, it appears that sequestration of β-catenin to the AJs can attenuate β-catenin nuclear signaling, but this is especially evident in cells where the β-catenin destruction machinery is inactivated and the excess of β-catenin is not efficiently cleared by degradation (Heuberger and Birchmeier [2010\)](#page-26-3).

16.6.4 Positive Regulation of the Tumor-Suppressive Hippo Signaling

Initially discovered in Drosophila, the Hippo pathway in mammalian organisms regulates the size of the organs, and protects them from tumor development (Pan [2010;](#page-30-19) Zhao et al. [2011](#page-35-2)). The canonical Hippo pathway consists of a kinase cascade that culminates in phosphorylation of transcriptional co-activators Yap1 and Taz (*WWTR1*) (Fig. [16.2d](#page-17-0)). Activation of Hippo signaling results in phosphorylation and degradation of Yap and Taz. Decreased Hippo pathway activity results in nuclear translocation of Yap and Taz, interaction with TEADs, as well as several other transcription factors, and transcriptional regulation of genes involved in proliferation, differentiation and apoptotic cell death. Constitutive activation of Yap1 or Taz signaling in mammary epithelial cells results in cell transformation (Chan et al. [2008;](#page-23-20) Dong et al. [2007;](#page-24-16) Overholtzer et al. [2006\)](#page-30-20). Moreover, tissue specific activation of Yap1 in liver and skin progenitors results in development of liver cancer and skin squamous cell carcinoma (Dong et al. [2007;](#page-24-16) Schlegelmilch et al. [2011\)](#page-31-20). Interestingly, Hippo signaling is upregulated by increased cell density and it appears to be a critical pathway regulating contact inhibition of cell proliferation (Zhao et al. [2007\)](#page-35-3). This is intriguing because contact inhibition of cell proliferation is regulated by cadherins and catenins (Takahashi and Suzuki [1996;](#page-33-14) Vasioukhin et al. [2001](#page-33-13)).

Several recent studies discovered a functional connection between the Hippo pathway and the AJ protein α -catenin (Schlegelmilch et al. [2011](#page-31-20); Silvis et al. [2011\)](#page-32-14). Mass Spectrometry analysis identified α -catenin as a prominent Yap1 interacting partner in keratinocytes and the loss of α -catenin expression resulted in constitutive nuclear localization and activation of Yap1 (Schlegelmilch et al. [2011\)](#page-31-20). Moreover, constitutively nuclear Yap1 was necessary for tumor formation associated with loss of α -catenin in keratinocytes (Silvis et al. [2011](#page-32-14)). Interestingly, in keratinocytes α -catenin did not regulate Mst1/2 and Lats1/2, the canonical kinases of the Hippo pathway. Instead, it interacted with Yap1 and this interaction attenuated its nuclear translocation (Schlegelmilch et al. [2011;](#page-31-20) Silvis et al. [2011\)](#page-32-14). In addition, this functional impact on Yap1 localization was specific for α-catenin, because the knockdowns of epithelial cadherins did not affect Yap1 localization (Schlegelmilch et al. [2011](#page-31-20)). This is consistant with the prominent phenotypic differences between α-catenin-null and E-/P-cadherin-null epidermises. While both show disruption of the AJs, only ablation of *α-catenin* results in epidermal hyperplasia (Tinkle et al. [2008a](#page-33-17); Vasioukhin et al. [2001](#page-33-13)). In contrast to keratinocytes, disruption of AJs is sufficient for nuclear translocation of Yap1 in breast epithelial MCF10 A cells (Kim et al. [2011](#page-27-18)). In these cells, AJ activation of the canonical Hippo kinase cascade is responsible for the contact inhibition of cell proliferation. The mechanisms responsible for the connection between AJs and the Hippo kinases in MCF10 A cells are not well understood. It is quite intriguing that the tumor suppressor NF2 is implicated in regulation of the Hippo signaling (Zhang et al. [2010](#page-35-4)), and it is also a direct interactor of α-catenin (Gladden et al. [2010\)](#page-25-17). Future research will help to determine

whether NF2-α-catenin interaction is an important mechanistic link between the AJs and the Hippo signaling pathway.

16.7 Summary and Future Perspectives

AJs play a critical role in human epithelial tumors. Loss of epithelial cadherins and disruption of AJs is an early and causal event in lobular breast carcinoma and diffuse type gastric cancer. In other tumor types, disruption of the AJs usually happens during the transition from low-grade well-differentiated tumors to high-grade poorly differentiated invasive cancer. Loss of epithelial cadherin usually correlates with poor patient outcome, and mechanistic experiments in cell lines and model organisms demonstrated a causal role of AJs in tumor initiation and progression. While significant progress has been achieved in elucidation of the signaling events responsible for the tumor and metastasis suppressor function of cadherins and catenins, the available knowledge is still fragmented and quite rudimentary. This direction represents an exciting avenue for the future research.

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