# Masayuki Miyasaka · Kiyoshi Takatsu *Editors*

# Chronic Inflammation

Mechanisms and Regulation



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# **Chronic Inflammation**

Mechanisms and Regulation



*Editors* Masayuki Miyasaka Interdisciplinary Program for Biomedical Sciences Institute for Academic Initiatives Osaka University Suita, Japan

WPI Immunology Frontier Center Osaka University Suita, Japan

MediCity Research Laboratory University of Turku Turku, Finland Kiyoshi Takatsu Department of Immunobiology and Pharmacological Genetics Graduate School of Medicine and Pharmaceutical Sciences University of Toyama Toyama, Japan Toyama Prefectural Institute for Pharmaceutical

Research Toyama, Japan

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### Preface

Inflammation, a reaction characterized by redness, fever, swelling, and pain, has been considered a homeostatic tissue repair mechanism, which is evoked by the body in response to infections and/or tissue injury. However, accumulating evidence indicates that, when inflammation becomes chronic, it acts as a strong disease-promoting factor in a number of pathological disorders including arteriosclerosis, obesity, cancer, and Alzheimer disease. Chronic inflammation also promotes aging. Despite such importance, the dismaying fact is that we know very little about why inflammatory reactions that would usually subside continue and become chronic. More specifically, we do not know precisely what type of factors induce chronic inflammation and promote its prolongation. Also we have little knowledge about how chronic inflammation causes tissue degeneration and other disorders. Furthermore, we have no effective treatment against chronic inflammation at present.

Realizing these situations, a key funding body of the Government of Japan, the Japan Science and Technology Agency (JST), launched two major research programs (CREST and PRESTO) on chronic inflammation in 2010; CRESTO is a funding program for team-oriented research, whereas PRESTO is for independent research by young investigators. From 2010 until now, in the research area of chronic inflammation, 17 teams were selected for CRESTO and conducted research for 5 years (each team receiving 150–500 million yen in total), and 37 researchers were selected and conducted research for 3–5 years in PRESTO (each scientist receiving 30–40 million yen for 3-year research and 50–100 million yen for 5-year research).

This book represents a compendium of such research efforts. Members of the CREST and PRESTO projects contributed a chapter on their own work, and research supervisors of the CRESTO and PRESTO projects (M.M. and K.T., respectively) edited the book. As you see in this book, thanks to the painstaking and persistent hard work by the CRESTO and PRESTO members, we are now beginning to understand what induces and maintains the chronicity of inflammation, and what kinds of mechanisms chronic inflammation utilizes to induce specific

diseases including cancer, degenerative neurological disorders, and arteriosclerotic diseases. We have also succeeded in creating novel technologies that allow for the early detection and quantitative assessment of chronic inflammation.

Producing this book required the efforts of many people who deserve credit and thanks. First, we would like to thank all the CRESTO and PRESTO investigators, who worked strenuously on the subject of chronic inflammation and contributed a nice chapter for the book. Second, our special thanks go to research officers of JST and AMED (Japan Agency for Medical Research and Development) (CREST "Chronic Inflammation" is now under the supervision of AMED since 2015), particularly to Shinichi Kato (JST-CREST), Akihiko Kasahara (AMED-CREST), and Isao Serizawa (PRESTO), who kept the projects organized and meticulously prepared a number of research meetings for the members. Third, we are indebted to the editorial assistance by Yuko Matsumoto and Yasutaka Okazaki of Springer Japan. Fourth, we wish to acknowledge the constant support and understanding of our wives, Chieko Takatsu and Etsuko Miyasaka. Finally, we thank you, the reader, for your interest in this research field. We will be more than happy if our efforts are successful in providing you with useful and stimulating information that will lead to new developments in the field of chronic inflammation.

Suita, Japan Toyama, Japan September 2015 Masayuki Miyasaka Kiyoshi Takatsu

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## Part I Basic Mechanisms Underlying Induction, Progression, and Resolution of Chronic Inflammation

## **Chapter 1 Prostaglandins in Chronic Inflammation**

Tomohiro Aoki and Shuh Narumiya

Abstract Chronic inflammation underlies various chronic diseases including autoimmune diseases, cancer, neurodegenerative diseases, vascular diseases, and metabolic syndrome. Inasmuch as aspirin-like nonsteroidal anti-inflammatory drugs exert their effects by inhibiting prostaglandin (PG) biosynthesis, PGs have been traditionally thought to function only as mediators of acute inflammation by regulating short-lived events such as vasodilation, pain and fever. However, recent studies using mice deficient in PG receptor in various models of chronic inflammation have demonstrated that, in addition to their short-lived actions in acute inflammation, PGs exert long-term inflammatory actions by acting on mesenchymal, epithelial and immune cells and critically regulating gene expression at the transcription level. In these actions, PGs often cooperate with various cytokines and innate immunity molecules and amplify their actions. Through these studies, evidence now accumulates that PGs function in various aspects of chronic inflammation such as conversion to immune inflammation, amplification of inflammation by a positive feedback loop, sustained inflammatory cell infiltration, and tissue remodelling. Here we review these findings and discuss their relevance to human disease.

**Keywords** Prostaglandin • Cyclooxygenase (COX) • Cytokine • Pathogenassociated molecular pattern (PAMP) • NF $\kappa$ B • Helper T cell (Th) subset • Autoimmune disease • Intracranial aneurysm • Colorectal cancer • Angiogenesis

T. Aoki • S. Narumiya (⊠) AMED-CREST, Kyoto 606-8501, Japan

Center for Innovation in Immunoregulation Technologies and Therapeutics, Kyoto University Graduate School of Medicine, Kyoto 606-8501, Japan e-mail: snaru@mfour.med.kyoto-u.ac.jp

#### 1.1 Introduction

Chronic inflammation is inflammation of prolonged duration (weeks to months to years) in which active inflammation, tissue injury, and healing proceed simultaneously. It is histologically characterised by infiltration of mononuclear cells including macrophages, lymphocytes and plasma cells, tissue destruction by products of the inflammatory cells, and repair involving angiogenesis and fibrosis (Kumar et al. 2007). Given that chronic inflammation underlies various chronic diseases including autoimmune diseases, cancer, neurodegenerative diseases, vascular diseases, and metabolic syndrome (Ben-Neriah and Karin 2011; Libby et al. 2011), understanding mechanisms of chronic inflammation is important not only for human health but also for social economy. Supposedly, there are distinct mechanisms to make inflammatory response long-lasting and maintained chronically, and they include (1) conversion of acute inflammation to immune inflammation by acquired immunity, (2) amplification and continuation of inflammatory processes by positive feedback mechanism or suppression of negative feedback mechanism, (3) progression of inflammation by a chain of changes in active cell populations at the inflammatory site, (4) tissue remodelling, and (5) epigenetic changes associated with the above processes to sustain inflammation.

Prostaglandins (PGs) including PGD<sub>2</sub>, PGE<sub>2</sub>, PGF<sub>2α</sub>, PGI<sub>2</sub>, and thromboxane (TX) A<sub>2</sub> are cyclooxygenase (COX) metabolites of C20-unsaturated fatty acids such as arachidonic acid, which are produced and released in response to extrinsic, often noxious, stimuli. PGs exert their actions through a family of G protein-coupled receptors (GPCRs) specific for each PG, PGD receptor (DP), EP1 to EP4 subtypes of PGE receptor, PGF receptor (FP), PGI receptor (IP), and TXA receptor (TP), and CRTH2/DP2 for PGD, another GPCR in a different family (Hirata and Narumiya 2011). Because COX, an enzyme initiating PG biosynthesis, is the target of aspirin-like nonsteroidal anti-inflammatory drugs (NSAIDs) with anti-inflammatory, antipyretic and analgesic actions, PGs have been traditionally thought as mediators of acute inflammation. Recent studies, however, have revealed that PGs play important roles in the above-mentioned mechanisms of chronic inflammation, its transition from acute inflammation, progression, and maintenance. Here, we summarise these findings and discuss their implications in chronic inflammation in humans.

#### 1.1.1 PGs as an Amplifier of Cytokines and Innate Immunity Molecules (Fig. 1.1)

Innate immunity molecules such as pathogen- or damage-associated molecular patterns (PAMPs and DAMPs) are now recognised as a trigger of inflammation. Because PAMPs such as lipopolysaccharide (LPS) and proinflammatory cytokines



**Fig. 1.1** Amplification of inflammatory signalling by the crosstalk between PGs and cytokines. Cytokines induce expression of cyclooxygenase and PGE synthase, and PGs thus formed induce expression of cytokines and cytokine receptor, therefore these two groups of inflammatory mediators form an amplification loop to exacerbate inflammation

induced by these molecules such as interleukin (IL)-1 $\beta$  and IL-6 can induce an inducible isoform of COX, COX-2, and initiate PG biosynthesis, it is generally thought that PGs function as terminal mediators of inflammation to elicit acute inflammation symptoms such as vasodilation and fever downstream of innate immunity. However, PGs can amplify the actions of PAMPs and cytokines, and there is bidirectional crosstalk between the two. For example, Honda et al. reported that PGI<sub>2</sub>-IP signalling amplifies actions of IL-1 $\beta$  in collagen-induced arthritis (CIA) of mice (Honda et al. 2006). They found that IP deficiency significantly reduced the severity of arthritis assessed by synovial cell proliferation, inflammatory cell infiltration, and joint destruction in this model, which were accompanied by significant reduction in the content of IL-6 in arthritic paws. They then showed that indomethacin, a COX inhibitor, significantly reduced the IL-1 $\beta$ -induced IL-6 production in cultured synovial fibroblasts and the addition of an IP agonist, cicaprost, restored the IL-6 production. Microarray analysis revealed that in addition to IL-6, PGI<sub>2</sub>-IP signalling amplified expression of various genes induced by IL-1 $\beta$  in these cells, including those related to inflammation such as IL-11 and CXCL7, those related to cell proliferation such as fibroblast growth factor (FGF), and vascular and endothelial growth factor (VEGF), and those related to tissue remodelling such as RANKL and the ADAM family molecules. Inasmuch as PGI<sub>2</sub> alone did not induce expression of these genes, these results suggest that  $PGI_2$  can function as an amplifier of IL-1β signalling. Intriguingly, PGI<sub>2</sub>-IP signalling augmented expression of the IL-1 receptor (IL1R1) itself. Therefore, this study revealed induction of the receptor for relevant cytokine as one mechanism of PG-mediated amplification of cytokine action. This mechanism combined with cytokine-induced COX-2 expression makes a self-amplification loop for inflammation (Fig. 1.1). As described below, induction of the relevant cytokine receptor is seen also in PG-mediated facilitation of differentiation and expansion of Th subsets in acquired immunity (see Sect. 1.1.2). Amplification by PGs is not limited either to actions of cytokines or to receptor induction. For example, Oshima et al. found that LPS induced expression of COX-2, IL-1 $\beta$ , and IL-6 in cultured macrophages, and that this induction was ameliorated by the addition of celecoxib, a selective COX-2 inhibitor, or RQ-00015986, an EP4 antagonist (Oshima et al. 2011). These findings suggest that endogenously formed PGE<sub>2</sub> acts on the EP4 receptor and amplifies actions by LPS. Similar amplification of TLR actions by PGE<sub>2</sub> was reported for induction of the p19 subunit of IL-23 (*ll23a*) in dendritic cells (DCs; see Sect. 1.1.2). As reviewed below, the action of PGs as an amplifier of cytokines and PAMPs/DAMPs constitutes one of the basic mechanisms whereby PGs function in chronic inflammation.

#### 1.1.2 PGs in Conversion of Acute Inflammation to Immune Inflammation (Fig. 1.2)

One possible mechanism by which inflammation becomes chronic is conversion of acute inflammation to immune inflammation by acquired immunity. Acquired immunity is initiated by presentation of antigen to naïve T cells by DCs and activated T cells differentiate to distinct helper T cell (Th) subsets under the influence of specific cytokine milieu. Among Th subsets, Th1 and Th17, characterised by production of interferon- $\gamma$  (IFN- $\gamma$ ) and IL-17, respectively, play



**Fig. 1.2** PGE<sub>2</sub> in conversion of acute inflammation to immune inflammation. PGE<sub>2</sub> facilitates Th1 differentiation and Th17 expansion via EP2 and EP4 synergistically with respective cytokines through induction of their receptor IFN- $\gamma$ R1, IL-12R $\beta$ 2, and IL-23R PGE<sub>2</sub> also promote IL-23 production from dendritic cells (DCs) synergistically with TLR ligands and CD40 stimulation to further facilitate Th17 cell expansion

the crucial role in immune inflammation. Th1 differentiation is induced by IL-12 and facilitated by IFN- $\gamma$ . Th17 differentiation and expansion are induced by TGF- $\beta$ / IL-6 and IL-23, respectively. Although PGs were previously considered as an immunosuppressor (Harris et al. 2002), there is now substantial in vitro and in vivo evidence that PGs act as an immune-activator under many circumstances. Yao et al. found that under the Th1 skewing condition and with strengthened TCR stimulation, PGE<sub>2</sub> enhanced IL-12-mediated Th1 differentiation from mouse naïve T cells in a concentration-dependent manner (Yao et al. 2009). Facilitation of Th1 differentiation by PGE<sub>2</sub> was mimicked by an EP2 or EP4 selective agonist and abolished in T cells deficient in EP2 or EP4, suggesting that PGE<sub>2</sub>-EP2/EP4 signalling enhances Th1 differentiation. They further clarified the underlying mechanism that PGE<sub>2</sub>-EP2/4 signalling activates the cAMP-PKA pathway, induces expression of IL-12R $\beta$ 2 and IFN- $\gamma$ R1 genes via activating CREB and its coactivator CRTC2, and amplifies the actions of IL-12 and IFN-y on Th1 differentiation (Yao et al. 2013; Fig. 1.2). Notably, in addition to Th1 differentiation, PGE<sub>2</sub> also facilitates IL-23-induced Th17 expansion. This is mediated redundantly via EP2 and EP4 receptors in mouse (Yao et al. 2009), and preferentially via EP2 in humans (Chizzolini et al. 2008; Boniface et al. 2009; Napolitani et al. 2009). In human Th17 cells, PGE<sub>2</sub>-EP2 signalling exerts this effect apparently by upregulating expression of IL-23 receptor and IL-1 receptor (Boniface et al. 2009; Fig. 1.2). These studies thus provide further examples for the cytokine amplifying action of PGE<sub>2</sub> through receptor induction. Intriguingly, PGE<sub>2</sub>-EP2/4 signalling facilitates not only IL-23-induced Th17 expansion but also enhances production of IL-23 from DCs. Ganea's group showed that PGE<sub>2</sub> potently enhances expression of IL-23 p19 induced by various TLR ligands such as LPS, Poly-I-C, CpG, and proteoglycan from DCs, and that this action is via EP2 and EP4 (Sheibanie et al. 2004; Khayrullina et al. 2008). They further demonstrated that this PGE<sub>2</sub> action is exerted by interaction of NFkB activated by TLR pathway and CREB and C/EBP-B activated by PGE<sub>2</sub>-EP4-cAMP signalling (Kocieda et al. 2012). Yao et.al found this PGE<sub>2</sub>-mediated enhancement of IL-23 production also in DCs stimulated with anti-CD40 antibody and further found that the treatment with indomethacin or an EP4 antagonist almost completely suppressed the IL-23 production (Yao et al. 2009), suggesting the presence of the PGE<sub>2</sub>-mediated self-amplification cycle for IL-23 production in activated DCs. Interestingly, whereas the PGE<sub>2</sub>-enhanced IL-23 mRNA expression by TLR ligands or TNF-α is transient, peaking at 1 h, that by CD40 stimulation is of long duration lasting over 36 h, in which the early phase is mediated canonical and the late phase is mediated by non-canonical NFkB signalling, both being similarly enhanced by the PGE<sub>2</sub>-EP4 signalling (Ma et al. 2016).

Consistently with these in vitro findings on Th1 and Th17 cells, genetic loss or pharmacological antagonism of EP2 and EP4 significantly ameliorated disease progression in mouse contact hypersensitivity (CHS), experimental autoimmune encephalomyelitis (EAE), and transfer colitis, which are all Th1- and Th17- mediated disease conditions (Yao et al. 2009, 2013). This amelioration was accompanied by remarkable suppression of antigen-induced proliferation and IFN- $\gamma$  and

IL-17 production of lymph node cells. Pharmacological antagonism of EP4 also ameliorated CIA progression with concomitant suppression of IFN-y and IL-17 production from lymph node cells (Chen et al. 2010). Conversely, Sheibanie et al. demonstrated that intraperitoneal administration of PGE<sub>2</sub> or an EP3/EP4 agonist, misoprostol, exacerbated CIA and 2.4,6-trinitrobenzene sulfonic acid (TNBS)-induced colitis accompanied by the increase of IL-23 p19 and IL-17 in the lesions (Sheibanie et al. 2007a, b). Such an immune activating function of PGs is not limited to PGE<sub>2</sub> but also seen in PGI<sub>2</sub>-IP signalling, which couples to cAMP elevation like EP2/EP4. Nakajima et al. demonstrated that PGI<sub>2</sub>-IP signalling facilitates Th1 differentiation in vitro and that IP deficiency impairs CHS (Nakajima et al. 2010). These results suggest that PG signalling functions to facilitate Th1 differentiation and Th17 expansion in vivo in mouse models of various autoimmune diseases. Consistently with these mouse studies, recent genome-wide association studies (GWAS) have identified *Ptger4* (EP4) as a susceptible locus in a number of autoimmune diseases including Crohn's disease (Glas et al. 2012), multiple sclerosis (International Multiple Sclerosis Genetics Consortium; Wellcome Trust Case Control Consortium2 2011), and allergy (Hinds et al. 2013). Furthermore, causal single nucleotide polymorphism (SNPs) related to these autoimmune diseases are enriched in active enhancer region labelled with acetylated H3K27 in Ptger4 locus and well correlated with EP4 expression (Farh et al. 2015), supporting the clinical relevance of the findings on PGE<sub>2</sub>-EP4 signalling in mouse. In addition, Kofler et al. recently reported that EP2 undergoes RORC-dependent silencing in T cells of healthy individuals, but is overexpressed in T cells of patients of multiple sclerosis and simulation of EP2 in these patients' T cells induces a highly pathogenic phenotype expressing both IL-17 and IFN-y (Kofler et al. 2014), providing clinical relevance of the finding on EP2 and Th17 in mouse studies.

#### 1.1.3 PGs in the Positive Feedback Loop to Amplify Inflammatory Responses (Fig. 1.3)

The formation of a positive feedback loop to amplify and sustain inflammatory responses can be another mechanism whereby inflammation becomes chronic. Indeed, several studies have demonstrated the formation of such positive feedback loops involving PG and their contribution to chronic inflammation. Intracranial aneurysm (IA) is a regional bulging of intracranial arteries at bifurcation sites and a major cause of subarachnoid hemorrhage (van Gijn et al. 2007). IA is chronic inflammation of the artery histologically characterised by degenerative changes of arterial walls and inflammatory cell infiltration mainly of macrophages (Chyatte et al. 1999). High wall shear stress loaded on bifurcation sites of intracranial arteries by blood flow is believed to trigger IA formation (Turjman et al. 2014). Aoki et al. demonstrated induction of COX-2 and EP2 in endothelial cells at a



prospective site of IA formation in an animal model of IA, which was mimicked in vitro in cultured endothelial cells under high shear stress (Aoki et al. 2011). It is important to note that COX-2 inhibition by celecoxib significantly suppressed EP2 expression and EP2 deficiency suppressed COX-2 induction, and both suppressed inflammation in IA walls and prevented IA formation in vivo. PGE<sub>2</sub>-EP2 signalling activates NFĸB and stimulates NFkB-mediated expression of various proinflammatory genes including MCP-1 in cultured endothelial cells in vitro (Aoki et al. 2011), which is consistent with the previous finding that IA formation is dependent on NF $\kappa$ B (Aoki et al. 2007b). These findings together with the finding that NF $\kappa$ B transcriptionally regulates COX-2 expression (Newton et al. 1997) suggest that high wall shear stress triggers COX-2 expression through NFκB activation in endothelial cells, which triggers a positive feedback loop of COX-2- $PGE_2$ -EP2-NF $\kappa$ B to amplify inflammatory responses (Fig. 1.3). The same feedback loop is formed in macrophages recruited by MCP-1 in IA walls for further amplification (Aoki et al. 2009, 2011; Kanematsu et al. 2011).

Chronic inflammation also underlies cancer development, and is typically characterised by COX-2 expression in tumor lesion (Chulada et al. 2000). Pharmacological inhibition of COX by NSAIDs is long known to reduce incidence of colorectal cancer (CRC) in humans (Rothwell et al. 2010; Janne and Mayer 2000), and genetic deletion of COX-2 in mice reduced intestinal adenoma formation in an animal model of human familial adenomatous polyposis coli (Oshima et al. 1996). Sonoshita et al. used mice deficient in each EP subtype with  $Apc^{\Delta 716}$  mutation and found that EP2 deficiency selectively reduced the number and size of adenomas in this model (Sonoshita et al. 2001). They also demonstrated that COX-2 and EP2 were strongly expressed in the stromal region of adenomas and further that EP2 deficiency almost completely abolished COX-2 expression (Sonoshita et al. 2001), suggesting the presence of a positive feedback loop between PGE<sub>2</sub>, EP2, and COX-2, as in IA (Aoki et al. 2011). To analyse the mode and the role of inflammation in CRC further, Ma et al. used azoxymethane-dextran sodium sulfate treatment as a model of colitis-associated colon cancer (Ma et al. 2015). They found that EP2 deficiency remarkably reduced inflammatory infiltrates and suppressed the number of colon tumors in this model (Ma et al. 2015). Notably, EP2 was expressed in both neutrophils, the major infiltrating cells in lesions, and tumor-associated fibroblasts surrounding tumor cells, and functioned synergistically with TNF- $\alpha$  to produce various cytokines and chemokines through the self-amplification loop of COX-2-PGE<sub>2</sub>-EP2 to promote tumorigenesis.

#### 1.1.4 Role of PGs in the Sustained Infiltration of Inflammatory Cells to Affected Sites (Fig. 1.4)

Although inflammatory cell infiltration is transient in acute inflammation, chronic inflammation exhibits sustained infiltration of inflammatory cells, which is crucial for progression and maintenance of inflammation in various diseases. For example, sustained infiltration of macrophages plays a crucial role in the pathogenesis of IA, as administration of chlodronate liposome to deplete macrophages, gene deletion of MCP-1, a macrophage chemokine, or expression of its dominant negative form all significantly suppressed macrophage infiltration and prevented IA formation (Aoki et al. 2009; Kanematsu et al. 2011). PG signalling plays a critical role in this process, because MCP-1 expression is induced and amplified by a positive feedback loop of the COX-2-PGE<sub>2</sub>-EP2-NF $\kappa$ B pathway formed in endothelial cells at the prospective site of IA formation in the cerebral artery (Aoki et al. 2009, 2011). Recruited macrophages then form this amplification loop, and produce MCP-1 by themselves in addition to various cytokines and tissue-destructive proteinases, thus making an autocrine loop for sustained macrophage accumulation and further exacerbation of inflammation in the lesion (Aoki et al. 2007a, 2009; Fig. 1.4). Oshima et al. (2011) reported a similar augmentation of MCP-1-mediated macrophage recruitment by PG signalling. They used *Helicobacter pylori*-infected gastric tumor as a model and found that bacterial colonisation and PGE<sub>2</sub>-EP4 signalling cooperatively induced MCP-1 expression to recruit macrophages to promote gastric tumors (Oshima et al. 2011). On the other hand, Ma et al. (2015) found the involvement of PGE<sub>2</sub>-EP2 signalling in sustained neutrophil recruitment in the AOM-DSS model of colitis-associated colon cancer. They found extensive neutrophil infiltration and significant expression of CXCL1, a neutrophil chemokine, in the tumor lesion in this model (Ma et al. 2015). Intriguingly, infiltrating neutrophils expressed EP2 and CXCL1 as well, and EP2 deficiency suppressed neutrophil



Fig. 1.4 PGs in sustained infiltration of inflammatory cells.  $PGE_2$  induces production of chemokines such as MCP-1 and CXCL1 from various types of cells via EP2 or EP4 and recruits relevant inflammatory cells to affected sites. The recruited cells then produce the chemokines by their own, which forms an autocrine/paracrine loop (*blue colour*) in the affected region and sustains inflammatory cell infiltration

infiltration and CXCL1 expression. Furthermore, EP2 stimulation of primary culture of neutrophils augmented CXCL1 expression synergistically with TNF- $\alpha$ . These results suggest that neutrophils self-amplify their recruitment through the PGE<sub>2</sub>-EP2-CXCL1 pathway, which critically contributes to tumorigenesis in their model.

These findings clearly show that PG signalling sustains infiltration of inflammatory cells under different inflammatory settings through induction of various chemoattractants in a positive feedback manner and makes inflammation longlasting (Fig. 1.4).

#### 1.1.5 Role of PGs in Tissue Remodelling (Fig. 1.5)

In chronic inflammation, destruction and repair of affected tissues simultaneously occur and these processes lead to tissue remodelling including tissue metaplasia, fibrosis, angiogenesis, and granulation. PGs either facilitate or suppress tissue remodelling in a context-dependent manner (Fig. 1.5). For example, the airway undergoes extensive remodelling in bronchial asthma. In the ovalbumin (OVA)-induced allergic asthma model, OVA challenge induced expression of genes

Fig. 1.5 PGs in tissue remodelling. PGs either promote or suppress tissue remodelling, including metaplasia, fibrosis, angiogenesis, or granulation in affected tissues depending on the microenvironment. *Red* or *blue colour* indicates examples of PG contribution to promotion or suppression of tissue remodelling, respectively



involved in tissue remodelling such as Gob-5, Munc5ac, MMP-12, and ADAM-8, and stimulation of PGE<sub>2</sub>-EP3 potently suppresses this induction (Kunikata et al. 2005). On the other hand, the same PGE<sub>2</sub>-EP3 signalling facilitates angiogenesis associated with chronic inflammation and tumor. Amano et al. implanted a Matrigel sponge or tumor cells in mice, and found induction of angiogenesis in these implants in wild-type mice (Amano et al. 2003). This angiogenesis was suppressed in EP3<sup>-/-</sup> mice with reduced VEGF expression in the stroma. Bone marrow transfer experiment by the same group indicates that bone marrow cells bearing EP3 is responsible for VEGF expression in the stroma around the implant, and recruitment of VEGFR-1<sup>+</sup>/VEGFR-2<sup>+</sup> cells there (Ogawa et al. 2009). Perhaps in relation to these findings, Wang et al. found that PGE<sub>2</sub> induced expression of CXCL1, a chemokine for endothelial cells, from CRC cells in vitro, and that administration of PGE<sub>2</sub> in vivo augmented CXCL1 expression in LS-174 T cells transplanted in immune-compromised mice and enhanced angiogenesis around the xenograft, which was abolished by the injection of anti-CXCL1 antibody (Wang et al. 2006). They suggested clinical relevance of their findings by showing correlation between CXCL1 expression and PGE<sub>2</sub> content in specimens of human CRC tumors (Wang et al. 2006). For granulation, Katoh et al. (2010) used implants of tumor cells and micropore chamber and found expression of CXCL12 around the implants, which was sensitive to COX-2 inhibitor, augmented by PGE<sub>2</sub> and absent in  $EP3^{-/-}$  or  $EP4^{-/-}$  mice. These authors further found that this chemokine recruits CXCR4<sup>+</sup>S100A4<sup>+</sup> fibroblasts from bone marrow to the site for granulation. This PGE<sub>2</sub>-EP3/4 signalling at the site of implant functions not only in stroma formation but also in lymphangiogenesis (Katoh et al. 2010). Matrigel implants containing FGF-2 induced proliferative inflammation and associated lymphangiogenesis, which was suppressed by COX-2 inhibitor, augmented by PGE<sub>2</sub> and absent again in EP3<sup>-/-</sup> or EP4<sup>-/-</sup> mice (Katoh et al. 2010). Notably, agonists selective to EP3 or EP4 induced VEGF-3 and VEGF-4 from macrophages and/or fibroblast in culture, suggesting that PGE<sub>2</sub> induces growth factors for lymph endothelial cells for lymphangiogenesis (Hosono et al. 2011). Lastly, PGs also regulate tissue fibrosis. Tissue fibrosis is characterised by proliferation of fibroblasts and excessive deposition of extracellular matrix proteins, and disrupts normal tissue architecture and functions. Oga et al. (2009) used bleomycin-induced pulmonary fibrosis as a model of idiopathic pulmonary fibrosis in humans, and demonstrated that the fibrosis in this model was attenuated in  $FP^{-/-}$  mice. Intriguingly, the loss of FP did not affect inflammatory responses in lesions but decreased collagen synthesis independently of TGF- $\beta$  (Oga et al. 2009). Consistently, PGF<sub>2</sub> enhanced collagen synthesis in lung fibroblasts in vitro in an additive way to TGF- $\beta$ . These results indicate that  $PGF_{2\alpha}$ -FP signalling exerts action on its own in fibrosis. In contrast to this profibrotic effect of PGF<sub>2 $\alpha$ </sub>, Lovgren et al. (2006) demonstrated that the loss of IP augmented lung fibrosis in a bleomycin-induced pulmonary fibrosis model. Such anti-fibrotic action of PGI<sub>2</sub>-IP signalling was also reported in the heart in mice subjected to pressure overload (Hara et al. 2005). Francois et al. (2005) also reported that IP-/- mice developed cardiac fibrosis, which was suppressed completely by coincidental deletion of TP, suggesting IP signalling and TP signalling antagonise in cardiac fibrosis. Protective action of PGs against tissue fibrosis was also reported for the PGE<sub>2</sub>-EP4 signalling, which functions against tubulointerstitial fibrosis in the kidney of mice subjected to unilateral ureteral obstruction (Nakagawa et al. 2012).

#### 1.2 Conclusions

As reviewed, substantial evidence now accumulates that PGs function in various aspects of chronic inflammation from immune inflammation to tissue remodelling, at least in animal models. Although we have not discussed the role of PGs in epigenetic changes associated with chronic inflammation in this review, we believe it will be uncovered soon. It is therefore important now to extrapolate the findings in animal experiments to human disease and to identify the context-dependent action of each PG and its receptor in chronic inflammation associated with various human diseases. Given development of agonists and antagonists selective to each subtype of PG receptors and given the potential and adverse effects of traditional NSAIDs and COX-2 inhibitors, it is the time to examine the potential of receptor-selective drugs to manipulate chronic diseases such as cancer, autoimmune, neurodegenerative, and vascular diseases.

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## Chapter 2 Cellular and Molecular Mechanisms of Chronic Inflammation-Associated Organ Fibrosis

Tatsuya Tsukui, Shigeyuki Shichino, Takeshi Shimaoka, Satoshi Ueha, and Kouji Matsushima

Abstract Fibrotic or scar tissue represents a condition where normal tissue architecture has become distorted and been replaced by extracellular matrix (ECM). ECM deposition in injured tissues is a natural part of the wound healing process that facilitates efficient restoration of tissue integrity. However, if the injury persists, the excessive accumulation of ECM leads to the loss of organ function and eventual organ failure. Persistent injuries arise due to various causes, depending on the organ. Some fibrotic diseases are associated with the chronic inflammation that accompanies infection or autoimmune conditions. Other fibrotic diseases are triggered by chemical or pathophysiological insults to epithelial cells, or by unknown causes, as is the case for idiopathic pulmonary fibrosis (IPF). Although the etiology of fibrosis varies between specific diseases, the fibrotic process that takes place in each organ shares a number of common characteristics. In particular, it is widely accepted that excessive amounts of ECM components are produced by activated fibroblasts that accumulate in injured tissue. In the first half of this chapter, we discuss the controversial origin of activated fibroblasts as well as the mechanisms of their activation. In the second half of this chapter, we describe the cellular and molecular mediators that regulate fibrotic responses in the specific example of pulmonary fibrosis.

**Keywords** Bleomycin • Fibroblast • Fibrosis • Intratracheal transfer • IPF • Macrophage • Monocyte • Myofibroblast • Silica • Silicosis

T. Tsukui • S. Shichino • T. Shimaoka • S. Ueha • K. Matsushima (🖂)

Department of Molecular Preventive Medicine, Graduate School of Medicine, The University of Tokyo, 7-3-1, Hongo, Bunkyo-ku, Tokyo 113-0033, Japan

AMED-CREST, Tokyo 113-0033, Japan e-mail: koujim@m.u-tokyo.ac.jp

# 2.1 The Origin of Collagen-Producing Fibroblasts and Myofibroblasts

Tissue injury often occurs at the epithelium. The precursors of activated fibroblasts become activated following exposure to inflammatory mediators produced upon injury, then proliferate and migrate into the injured area. Under certain conditions, such as in skin injury, these activated fibroblasts differentiate into myofibroblasts, which are contractile cells that often express  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA; Tomasek et al. 2002). Myofibroblasts proliferate and produce ECM components such as collagen I (Col1) in a range of fibrotic diseases and organs (Hinz et al. 2012). Because therapeutic options for fibrotic diseases are very limited, the origin of myofibroblasts has remained an active area of research. Classically mesenchymal cells such as tissue resident fibroblasts were proposed to be the precursors of myofibroblasts, but over the years various cell types have been reported to differentiate into myofibroblasts, making the origin of these cells a controversial question (Fig. 2.1).



Fig. 2.1 The controversial origins of myofibroblasts. Multiple cell types have been reported to act as a source of myofibroblasts in organ fibrosis. Resident fibroblasts are classically considered to be the primary source of myofibroblasts. Epithelial cells may differentiate into myofibroblasts through EMT, although recent studies have failed to detect evidence of EMT. Bone-marrow-derived fibrocytes are supplied from the circulation and differentiate into myofibroblasts at sites of tissue injury tissue, but there is little evidence of collagen synthesis by these cells. Recent reports have demonstrated a pericyte origin of myofibroblasts in multiple organs

#### 2.2 Myofibroblast Precursors from the Bone Marrow

Bone marrow-derived circulating cells have been reported to gain expression of Coll in fibrotic organs. These cells are known as 'fibrocytes', and are defined as CD45<sup>+</sup> Col1<sup>+</sup> cells (Bucala et al. 1994). Recent studies using bone marrow chimeras or parabiosis have shown that only a small proportion of Col1-producing cells are supplied from the circulation in experimental models of fibrosis (Higashiyama et al. 2009; Kisseleva et al. 2006; Tsukui et al. 2013). Some myeloid cells internalise and degrade ECM components. These cells are detected as Coll<sup>+</sup> even though they may not synthesise Col1 themselves. A recent study revealed that haematopoietic cell-specific Col1 gene deletion does not reduce collagen deposition in bleomycin-induced pulmonary fibrosis (Kleaveland et al. 2014), suggesting that fibrocytes are not a significant source of Col1. Thus, previous studies reporting on Col1<sup>+</sup> CD45<sup>+</sup> fibrocytes may have been detecting myeloid cells that had internalised Col1 from their surroundings, rather than Col1-producing myeloid cells. However, it has also been reported that 35 % of myofibroblasts in the kidney come from the bone marrow, based on experiments using an  $\alpha$ -SMA-RFP reporter in a unilateral ureteral obstruction model (LeBleu et al. 2013). Thus, further fibrocyte lineage tracing studies that address the synthesis and deposition of Col1 are necessary, and the extent to which bone-marrow-derived cells contribute to the activated fibroblast population remains unclear.

#### 2.3 Myofibroblast Precursors from the Epithelium

It is widely accepted that epithelial cells can differentiate into mesenchymal cells via a process known as epithelial-to-mesenchymal transition (EMT) during development or cancer. It has also been reported that myofibroblasts differentiate from epithelial cells by EMT in experimental models of fibrosis. Most earlier studies suggesting a role for EMT in fibrosis were based on in vitro observations, whereas in vivo evidence implicating EMT in fibrosis consisted mainly of immunohistochemical staining showing coexpression of mesenchymal cell and epithelial cell markers. However, there were a number of technical limitations associated with these earlier studies (Kriz et al. 2011). Recent lineage-tracing studies have failed to observe EMT in the lung (Rock et al. 2011), liver (Taura et al. 2010), or kidney (Humphreys et al. 2010), and thus raise doubt as to whether epithelial cells are in fact precursors of activated fibroblasts. However, upon tissue injury epithelial cells upregulate transcription factors associated with EMT in a manner similar to that which occurs during development, and these phenotypic changes may promote mesenchymal crosstalk (Rowe et al. 2011). Epithelial cells also play an important role in the initiation of fibrosis. Thus, epithelial cells remain an important target of research that aims to elucidate the underlying mechanisms of fibrosis.

#### 2.4 A Mesenchymal Origin of Myofibroblasts

In recent years, pericytes and perivascular cells have attracted considerable attention as likely precursors for myofibroblasts. Pericytes are embedded in the endothelial basement membrane and are characterised by their direct attachment to endothelial cells. Perivascular cells typically represent interstitial cells that exist in close proximity to blood vessels but outside the endothelial basement membrane. The perivascular cell population may be heterogeneous, and differs in each organ. In the liver, hepatic stellate cells localise to perivascular regions known as the space of Disse, and have characteristics of both pericytes and resident fibroblasts. Hepatic stellate cells represent a major source of myofibroblasts in carbon tetrachloride (CCl<sub>4</sub>)-induced liver fibrosis (Mederacke et al. 2013). In the quiescent state, hepatic stellate cells store lipid droplets and do not express Col1. Upon activation, these cells lose their lipid droplets and begin to express Col1 and  $\alpha$ -SMA. However, in cholestatic fibrosis, portal fibroblasts have also been suggested to act as myofibroblast precursors, and it remains to be determined which cell type represents the major myofibroblast precursor (Iwaisako et al. 2014).

In the kidney, pericytes are considered to be the most likely precursor for myofibroblasts. Humphreys et al. showed that Foxd1-CreER-labeled pericytes are the major progenitor of myofibroblasts in the unilateral ureter obstruction model (Humphreys et al. 2010). More recently, the Gli1-CreER transgene was shown to label a specific subset of perivascular PDGFR $\beta^+$  cells in the kidney, and ablation of Gli1-CreER-labeled myofibroblasts ameliorated kidney fibrosis (Kramann et al. 2015). Gli1-CreER-labeled cells also expressed PDGFR $\alpha$ , CD146, and Nestin, but not NG2. In addition, like mesenchymal stem cells, this population possessed trilineage differentiation capacity. Although PDGFR $\beta$  is a widely used marker for pericytes, this study suggests that pericyte and perivascular populations have a degree of heterogeneity, and that specific subsets may possess higher profibrotic potential. Accordingly, further characterisation of subpopulations of cells (such as Gli1<sup>+</sup> cells) and comparison with other kidney cell subsets is required.

In the spinal cord, Glast-expressing type A pericytes have been shown to differentiate into scar-forming myofibroblasts (Goritz et al. 2011). Although Goritz et al. showed that type A pericytes are normally surrounded by basal lamina, another group reported recently that type A pericytes in the spinal cord express Col1 even in the quiescent state, which is a characteristic of resident fibroblasts (Soderblom et al. 2013). Dulauroy et al. revealed that ADAM12-Cre-labelled cells are major myofibroblast precursors in models of dermis or muscle injury, and that these cells migrate into injured areas from the perivascular niche (Dulauroy et al. 2012). ADAM12-Cre-labelled cells localised in the perivascular region, surrounded by endothelial basement membrane. After injury, the labelled cells detached from the blood vessels and emerged from the basement membrane, although it was difficult to distinguish these cells from perivascular cells that acquired ADAM12 expression after the injury had occurred. ADAM12-Cre-

labelled cells were PDGFR $\alpha^+$ , which is a marker used to identify resident fibroblasts in other organs. However, at present, the characteristics of mesenchymal populations such as fibroblasts and pericytes are poorly understood, and it is difficult to generalise subsets and markers across organs. Many studies have shown that myofibroblasts express PDGFR $\beta$ , but myofibroblast progenitors are not necessarily PDGFR $\beta^+$ , because PDGFR $\beta$  expression is induced in mesenchymal cells upon injury (Henderson et al. 2013). Because there is no single marker that defines these mesenchymal cell subsets, elucidation of the basic molecular signatures of pericytes and resident fibroblasts will facilitate further characterisation of these cells in different organs. The same principle applies to the characterisation of activated fibroblasts, and a range of different activation markers for Col1producing cells has been reported, such as  $\alpha$ -SMA, Fn1, S100a4, and Spp1. It remains unclear whether any of these fibroblast activation markers define subpopulations with specific functions in fibrosis.

#### 2.5 The Origin of Col1-Producing Cells in the Lungs

For the last several years, we have been studying the origin and dynamics of activated fibroblasts in pulmonary fibrosis. Following bleomycin-induced lung injury, Col1-producing activated fibroblasts form massive clusters and destruction of the alveolar structure is observed (Tsukui et al. 2013). The precursors of these activated fibroblasts appear likely to be resident fibroblasts or pericytes. Rock et al. reported that NG2-CreER-labelled pericytes proliferate after injury, but that these cells do not express high levels of  $\alpha$ -SMA (Rock et al. 2011). Instead, they observed the proliferation of PDGFR $\alpha^+$  cells, suggesting that resident fibroblasts rather than NG2<sup>+</sup> pericytes are the major progenitors of activated fibroblasts in the lungs. Hung et al. used Foxd1-Cre mice to examine pericytes in pulmonary fibrosis (Hung et al. 2013). Foxd1 is expressed only during development and labels PDGFR $\beta^+$  cells in the alveolar regions more efficiently than NG2-CreER. Among Foxd1-Cre-labelled cells, around 60% are NG2<sup>+</sup> whereas greater than 80 % express PDGFR $\beta$ . This study showed that 68 % of  $\alpha$ -SMA<sup>+</sup> cells in fibrotic lesions were derived from Foxd1-Cre-labelled cells at day 14 after bleomycin treatment. The discrepancy between the results of the studies of Rock et al. and Hung et al. may be related to the markers used. Because not all PDGFR $\beta^+$  cells express NG2, it is possible that NG2<sup>-</sup> PDGFR $\beta^+$  pericytes have the potential to differentiate into myofibroblasts. Moreover, both studies lacked in vivo evidence of Coll production by proliferating or  $\alpha$ -SMA<sup>+</sup> mesenchymal cells. Histological analysis has only limited capacity for the examination of multiple markers and functions. For future studies, it may be useful to combine histological analysis with flow cytometric analysis and ex vivo assays in order to characterise activated fibroblast populations.

Many reports suggest that myofibroblasts are the key player in fibrosis. It remains unclear the extent to which other profibrotic cell populations contribute

to fibrosis, or even if other profibrotic cell populations exist. Our studies have demonstrated that only a small proportion of Col1-producing cells become  $\alpha$ -SMA<sup>+</sup> after bleomycin-induced lung injury, whereas Col1-producing cells dramatically upregulate profibrotic genes and organise fibrotic lesions (Tsukui et al. 2013). The function of the  $\alpha$ -SMA molecule is to mediate cell contraction. Although cell contraction and ECM production are closely associated elements of the wound healing process,  $\alpha$ -SMA expression is not necessary for ECM production itself. Thus, direct evidence of excessive ECM deposition by myofibroblasts or other cell populations is necessary for understanding the contributions of profibrotic cell populations to pulmonary fibrosis.

The structure of the lungs is characterised by thin alveolar walls that enable efficient gas exchange. Because of these thin alveolar walls, interstitial cells including resident fibroblasts are very sparsely distributed throughout the alveolar walls in the quiescent state. The mechanism by which these interstitial cells generate clusters and fibrotic lesions following epithelial injury is important for understanding the origin of profibrotic cells. Our experiments using BrdU have confirmed that Col1-producing cells proliferate following bleomycin treatment (Tsukui et al. 2013). However, the proliferation of these cells was not robust enough to explain fully their formation of clusters, and histological analysis suggested that Col1-producing cell clusters might result from cell migration as well as proliferation. It has been reported previously that resident fibroblasts migrate into the alveolar airspaces following epithelial injury (Fukuda et al. 1985; Fig. 2.2a). The migration of fibroblasts after injury is well characterised in the skin (Tomasek et al. 2002), and the lungs may undergo a similar process of wound healing. Some reports suggest that fibroblastic foci in IPF patients are formed by the migration of resident fibroblasts into the alveolar airspaces (Noble 2005).

Our group conceived an experimental design to investigate whether activated fibroblasts that migrate into the alveolar airspaces can become interstitial fibroblasts that form fibroblastic foci. In this experiment, fibroblasts were delivered intratracheally into alveolar airspaces that were undergoing tissue remodelling following bleomycin-induced injury (Tsukui et al. 2015). We purified resident fibroblasts from Col1-GFP reporter mice, then intratracheally transferred the purified resident fibroblasts into wild-type mice that had been treated with bleomycin several days earlier. We found that the transferred fibroblasts dramatically upregulated profibrotic genes, displayed activated morphology, and formed fibroblastic foci (Fig. 2.2b), suggesting that activated fibroblasts in the alveolar airspaces eventually form fibrotic lesions. Interestingly, pericytes that were purified according to their expression of the NG2 reporter did not differentiate into Col1producing activated fibroblasts following intratracheal transfer. Thus, as reported previously (Rock et al. 2011), NG2<sup>+</sup> pericytes in the lungs are unlikely to act as progenitors for myofibroblasts, or NG2<sup>+</sup> pericytes may be unable to respond to environmental changes in the alveolar airspaces in the same way as resident fibroblasts.

In our experiments, the intratracheal transfer of resident fibroblasts partly recapitulated the activation of Coll-producing cells, suggesting that exposure to



**Fig. 2.2** Mechanisms of fibroblastic foci formation in pulmonary fibrosis. (**a**) Following epithelial injury, activated fibroblasts proliferate and migrate into injured alveolar airspaces. Activated fibroblasts form clusters and produce ECM after migration, generating fibroblastic foci at the site of injury. (**b**) Intratracheal cell transfer experiments have shown that fibroblasts in alveolar airspaces are able to become activated fibroblasts in fibroblastic foci. Purified resident fibroblasts from collagen I GFP (Col-GFP) reporter mice were transferred intratracheally into wild-type mice 10 days after intratracheal instillation of bleomycin. The lungs were analysed by immunohistochemistry 21 days after bleomycin instillation. Transferred fibroblasts (*green*) formed fibroblastic foci in the regions of collagen I (*magenta*) deposition. Nuclei were visualised by propidium iodide (PI, *blue*). Scale bar: 500 µm

alveolar airspaces may be pivotal to the process of fibroblastic foci formation by activated fibroblasts. Migratory fibroblasts may form clusters in injured alveolar airspaces, produce ECM components, and then contract, leading to coalescence of the alveolar walls and the formation of fibrotic lesions (Noble 2005). This hypothesis also explains the lack of robust proliferation of activated fibroblasts in bleomycin-induced pulmonary fibrosis, and the loss of lung volume that is observed in the later stages of IPF. However, many important questions remain about the mechanisms that underlie pulmonary fibrosis. If a subset of the pericyte population contributes to the formation of activated fibroblasts, what are the identifying features of that subset, and are those pericytes able to respond to changes in the alveolar airspace environment? The mechanisms of fibroblast migration and fibroblastic foci formation also remain poorly understood. For example, we found that osteopontin defines a subset of activated fibroblasts that form the leading edge of fibroblastic foci and encroach upon the alveolar airspaces (Tsukui et al. 2013), but the factors that elicit osteopontin<sup>+</sup> activated fibroblasts remain unknown. Further delineation of the process of fibroblastic foci formation may lead to the identification of novel therapeutic targets for pulmonary fibrosis.

#### 2.6 Cellular and Molecular Mediators of Pulmonary Fibrosis

Wound healing following lung injury is often skewed towards fibrotic responses rather than towards the normal regeneration of the lung architecture (King 2005). Various cellular and molecular mediators, including activated tissue cells (epithelial cells, endothelial cells, and fibroblasts), leukocytes, and soluble mediators participate in a sequential cascade of wound healing responses. In the course of normal tissue regeneration, damaged cells release soluble mediators such as CC chemokine ligand 2, IL-1β, and IL-33 (Cavarra et al. 2004; Mercer et al. 2009; Pichery et al. 2012), which recruit and activate various leukocytes in the damaged tissues. These activated leukocytes play important roles in the clearance of the pathogens, debris, and foreign particles responsible for the injury (Forbes and Rosenthal 2014). In addition, these damaged tissue cells and activated leukocytes also promote tissue repair by secreting cytokines and growth factors such as IL-13, platelet-derived growth factors, and TGF-B1 (Bonner et al. 1991; Huaux et al. 2003). These profibrotic factors activate fibroblasts, inducing the production of temporary ECM that forms a scaffold for lung regeneration. During the resolution phase of the inflammatory response, the remaining leukocytes, particularly macrophages, clear the temporary ECM scaffolds and extracellular debris (Gibbons et al. 2011; Liang et al. 2012), and possibly also clear activated fibroblasts (Redente et al. 2014). This process of clearance is an essential step preceding tissue regeneration (Duffield et al. 2013). Pulmonary fibrosis arises as a result of the dysregulation of wound repair processes (Duffield et al. 2013). In the remainder of the chapter, we discuss the involvement of tissue cells, leukocytes, and inflammatory mediators in the development of pulmonary fibrosis.

# 2.7 The Role of Granulocyte Responses in the Induction of Lung Injury and Fibrosis

In the early stages of lung injury, granulocytes such as neutrophils and eosinophils infiltrate the injured lung (Wynn 2011). Neutrophils play a major role in the priming of acute inflammatory responses through the production of proinflammatory cytokines, reactive oxygen species, and reactive nitrogen species (Dostert et al. 2008; Hasan et al. 2013; Kolaczkowska and Kubes 2013). Neutrophils also promote tissue remodelling and directly degrade elastic fibers and the basement membrane through the production of proteases such as neutrophil elastase (Kang et al. 2001). Eosinophils enhance the recruitment of other inflammatory cells, such as effector T cells, and exacerbate inflammatory responses, particularly in allergic lung inflammation (Humbles et al. 2004). In addition, eosinophils promote fibrotic responses by producing the profibrotic cytokines IL-13 and TGF- $\beta$ 1 (Huaux et al. 2003; Minshall et al. 1997). Collectively, these granulocytes are likely to play important roles in the induction and progression of fibrotic responses following acute lung injury.

#### 2.8 The Role of Macrophage Subsets in Pulmonary Fibrosis

Following the infiltration of granulocytes into the lung in acute lung injury, monocyte-derived macrophages (MMs) from the bone marrow infiltrate the lung (Forbes and Rosenthal 2014). In parallel with the accumulation of granulocytes and MMs, alveolar macrophages (AMs) become activated after phagocytosing the irritants and cellular debris that are present during lung injury (Hussell and Bell 2014). These macrophages have been shown to ameliorate or exacerbate pulmonary fibrosis in mice in a context-dependent manner (Gharaee-Kermani et al. 2003; Liang et al. 2012; Moore et al. 2001; Murray and Wynn 2011; Wynn 2011; Wynn and Barron 2010). In the bleomycin-induced acute model of pulmonary fibrosis, MMs and AMs promote lung inflammation and the activation of tissue cells in the early stages of pulmonary fibrosis through the secretion of proinflammatory mediators and growth factors such as TNF- $\alpha$ , IL-1 $\beta$ , IL-6, TGF- $\beta$ , platelet derived growth factor, insulin-like growth factor-1, and vascular endothelial growth factor (Wynn et al. 2013). Because MMs and AMs are a major source of collagenolytic enzyme matrix metalloproteinases (MMPs), the infiltration of MMs and activation of AMs results in the disruption of lung architecture (Hussell and Bell 2014; Wynn and Barron 2010). On the other hand, MMs and AMs also suppress excessive damage and ECM deposition in the lungs through the secretion of anti-inflammatory mediators (Gibbons et al. 2011; Redente et al. 2014; Tighe et al. 2011), the clearance of fibrous connective tissue, and possibly through the clearance of proinflammatory extracellular debris, such as apoptotic cells (Liang et al. 2012). Indeed, depletion of AMs in the resolution phase of bleomycin-induced pulmonary fibrosis delays the clearance of fibrotic lesions (Gibbons et al. 2011). In a model of silica-induced pulmonary fibrosis, we recently demonstrated that MMs continuously infiltrate the silica-treated lungs through to the chronic phase of the disease. MMs selectively accumulated in the periphery of silica-induced fibrotic lesions, and notably, MMs limited the expansion of the fibrotic area and the induction of diffuse pulmonary fibrosis (Shichino et al. 2015). We also found that MMs suppress the expression of human IPF-related genes, particularly tissue remodelling-related genes, in the silica-treated lungs. In addition, the changes in the expression of IPF-related genes appear to be suppressed by MM-derived inflammatory mediators (unpublished observations). These observations suggest that MMs and AMs exert pathogenic effects at the onset of lung injury, but might exert protective effects during the development of chronic pulmonary fibrosis. These insights into the role of MMs in pulmonary fibrosis raise concerns about
the potential adverse effects of MM-targeted therapies for pulmonary fibrosis, including anti-CCL2 therapy.

At least two possible hypotheses could explain the protective role of macrophages during chronic pulmonary fibrosis. One hypothesis is that macrophages undergo phenotypic conversion from a proinflammatory/profibrotic pattern to an anti-inflammatory/antifibrotic pattern. The anti-inflammatory phenotype is characterised by the increased expression of IL-10, arginase-1, and RELMa (Murray and Wynn 2011). The anti-fibrotic features of this phenotype include increased expression of MMP9, MMP12, and MMP13, and/or the increased capacity to phagocytose extracellular debris (Murray and Wynn 2011). Indeed, in the CCl<sub>4</sub>induced liver fibrosis model, MMs and resident Kupffer cells promote the resolution of fibrosis (Duffield et al. 2005; Mitchell et al. 2009) and switch from a proinflammatory/profibrotic phenotype to an anti-inflammatory/antifibrotic phenotype (Mitchell et al. 2009; Ramachandran et al. 2012). Another hypothesis is that there are dual roles for inflammatory mediators in lung fibrosis and repair. For example, TNF- $\alpha$ , one of the major proinflammatory mediators produced by MMs, not only promotes inflammation but also suppresses collagen production by fibroblasts (Inagaki et al. 1995; Siwik et al. 2000). In addition, a reduction in MM numbers or TNF- $\alpha$  inhibition leads to disrupted organisation of the granuloma in *M. tuberculosis*-infected mouse lungs (Chakravarty et al. 2008; Peters et al. 2001), consistent with our observations in the silica-induced pulmonary fibrosis model (Shichino et al. 2015). Moreover, the resolution of bleomycin-induced pulmonary fibrosis is delayed in TNF knockout mice (Redente et al. 2014). These observations suggest that overall, MMs may exert a protective effect on the pathological course of pulmonary fibrosis, possibly due to MM-associated 'beneficial inflammation' (Fig. 2.3). The molecular mechanism(s) that underlie the protective properties of MMs remain poorly understood. Further studies on the protective aspects of MMs may support the development of novel therapeutic strategies for chronic pulmonary fibrosis.

# 2.9 Adaptive Immune Cell Responses in Pulmonary Fibrosis

After the acute inflammatory phase of pulmonary fibrosis, effector T cells and B cells infiltrate into the injured lung and modulate inflammatory and fibrotic responses. CD4<sup>+</sup> T<sub>H</sub>17 cells and T<sub>H</sub>2 cells produce IL-17A and IL-13, respectively (Lo Re et al. 2013). Because IL-17A has proinflammatory properties and induces TGF- $\beta$ 1 expression (Wilson et al. 2010), and because IL-13 has profibrotic effects (Chiaramonte et al. 1999), these T-cell subsets are thought to promote lung injury and fibrosis. Conversely, CD4<sup>+</sup> T<sub>H</sub>1 cells play a protective role in pulmonary fibrosis because they produce the antifibrotic cytokine IFN- $\gamma$  (Giri et al. 1986). On the other hand, there are mixed reports regarding the effects of CD4<sup>+</sup> regulatory



**Fig. 2.3** Role of MMs in the progression/resolution of PF. MMs inhibit the development of diffuse PF and IPF-related transcriptomic signatures in silica-induced chronic PF. MMs also accelerate the resolution of bleomycin-induced self-limiting PF. Putative MM-mediated 'beneficial inflammation' in PF might mediate the protective effect of MMs by suppressing IPF-related tissue cell activation

T cells ( $T_{reg}$ ) in pulmonary fibrosis. Because  $T_{reg}$  produce both the anti-fibrotic cytokine IL-10 (Kitani et al. 2003; Wilson et al. 2010) and the profibrotic cytokine TGF- $\beta$ 1 (Boveda-Ruiz et al. 2013), the mixed effects of  $T_{reg}$  might be explained by the predominance of these cytokines in different aspects of fibrotic responses. B cells also have mixed effects on the progression of pulmonary fibrosis. B cells accumulate in the lungs in bleomycin- or silica-induced pulmonary fibrosis, and fibrosis is alleviated in B-cell–deficient mice (Arras et al. 2006; Komura et al. 2008). On the other hand, the protective effect of IL-9 overexpression in silica-induced pulmonary fibrosis is negated in B-cell–deficient mice (Arras et al. 2006). These observations suggest a possible role for B cells in the progression of pulmonary fibrosis, the mechanisms of which remain largely unknown. Thus, overall, depending on their subset, T cells and B cells may influence the course of fibrotic responses. However, as discussed below, their involvement in the progression of irreversible pulmonary fibrosis is only minor in some experimental models.

# 2.10 Requirement for Leukocyte Subsets in Pulmonary Fibrosis: Lessons from Depletion Studies

Numerous studies using experimental models of pulmonary fibrosis have demonstrated that lung leukocyte subsets modulate lung injury, repair, and fibrosis (Wynn 2011). On the other hand, IPF, the most common type of idiopathic interstitial pneumonia, progresses even with less inflammatory cell infiltration than is observed in other types of interstitial pneumonia (Raghu et al. 2011). IPF is usually poorly responsive to various anti-inflammatory and immunosuppressive therapies including corticosteroids, anti-TNF biologics, and cyclosporin A (Raghu et al. 2011). The poor response of IPF to anti-inflammatory and immunosuppressive therapies raises doubts about the functional consequences of inflammatory leukocyte infiltration in the progression of chronic pulmonary fibrosis. Several types of immunodeficient mice, such as NOD/SCID and  $Rag1^{-/-}$  mice lacking T and B cells and  $I15^{-/-}$  mice lacking eosinophils, still develop experimental pulmonary fibrosis (Hao et al. 2000; Helene et al. 1999; Hubbard 1989). In addition, the depletion of neutrophils or NK/NKT cells in the acute inflammatory phase of the disease does not hinder the development of experimental pulmonary fibrosis, and bleomycin-induced pulmonary fibrosis is not completely prevented by the depletion of AMs in the inflammatory or fibrotic phase (Beamer et al. 2010; Clark and Kuhn 1982; Gavett et al. 1992; Gibbons et al. 2011). Moreover, we recently found that the degree of infiltration into the lungs of CD4<sup>+</sup> T cells, CD8<sup>+</sup> T cells, eosinophils, and NK cells, and the number of AMs present in the lungs, were equivalent between a selflimiting bleomycin-induced pulmonary fibrosis model and a progressive silicainduced pulmonary fibrosis model, suggesting that these cells do not alter the course of the disease (Fig. 2.4, unpublished observations and Shichino et al. 2015). In contrast, MM infiltration increased to a greater extent in the silica model compared to the bleomycin model (Fig. 2.4; Shichino et al. 2015). However,  $Ccr2^{-/-}$  mice, in which the infiltration of MMs into the lung is deficient, still displayed progression to silica- and bleomycin-induced pulmonary fibrosis (Shichino et al. 2015). The necessity for the development of pulmonary fibrosis of other leukocyte subsets such as CCR2-independent monocyte-derived macrophages, interstitial macrophages, and lung-resident dendritic cells remains unclear due to the lack of subset-specific depletion systems.

Recently, it was shown that fetal-liver–chimeric mice with CSF1R-deficient haematopoietic cells lack CCR2-independent monocyte-derived macrophages in their peripheral tissues (Alexander et al. 2014). In addition, long-term administration of an anti-CSF1R antibody also depletes tissue-resident macrophages (including macrophages located in the interstitium), but has minimal effect on dendritic cell subsets in most lymphoid tissues (MacDonald et al. 2010). These systems may present useful tools for investigating the role of CCR2-independent macrophages in the development of pulmonary fibrosis. Nevertheless, the experimental data available to date suggest that although adaptive immune cells, eosinophils, neutrophils, MMs, AMs, NK cells, and NKT cells in the lung may be involved in the



**Fig. 2.4** Leukocyte subset kinetics differ between bleomycin-induced PF and silica-induced PF. The total number of CD4<sup>+</sup> T cells, CD8<sup>+</sup> T cells, eosinophils, NK cells, and AMs does not differ significantly between the two models. In contrast, MM and neutrophil numbers are dramatically elevated in the silica-treated lung, but not in the bleomycin-treated lung

pathogenesis of pulmonary fibrosis, these cells might not be essential for the progression of pulmonary fibrosis.

# 2.11 Conclusions and Future Perspectives

In recent years, the controversy surrounding the major source of myofibroblasts in fibrosis has been narrowed down to two candidates: tissue-resident fibroblasts and pericytes. However, the relative contributions of these potential precursors to the myofibroblast population remains elusive across a range of fibrosis models. In addition, there is a need for further characterisation of the functional heterogeneity within the activated fibroblast population, which is currently represented by  $\alpha$ -SMA<sup>+</sup> myofibroblasts. Differences in inflamed tissue microenvironments might influence the differentiation or activation of fibroblasts and determine whether the wound healing process skews towards fibrosis or regeneration. Although interventions targeting specific subsets of inflammatory leukocytes have not been sufficient to ameliorate experimental pulmonary fibrosis, further elucidation of the spatiotemporal regulation of fibrotic responses by the various leukocyte subsets may reveal new points of therapeutic intervention for chronic inflammation-associated pulmonary fibrosis.

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# Chapter 3 Sema4A and Chronic Inflammation

Daisuke Ito and Atsushi Kumanogoh

Abstract Semaphorins were originally identified as axon guidance factors involved in the development of the nervous system. However, accumulating evidence indicates that several semaphorins, so-called 'immune semaphorins', have important functions in various phases of pathological and physiological immune responses, such as cell–cell interactions, immune-cell activation, differentiation, and trafficking/migration. For example, Sema4A, a class IV transmembrane semaphorin, plays crucial roles in dendritic cells, macrophages, and T cells. In addition, Sema4A is involved in various chronic inflammatory diseases including multiple sclerosis, asthma/allergic rhinitis/ atopic dermatitis, and retinitis pigmentosa.

**Keywords** Semaphorin • Sema4A • Multiple sclerosis • Asthma/allergic rhinitis/ atopic dermatitis • Retinitis pigmentosa

# 3.1 Introduction

Semaphorins, which are secretory and/or membrane proteins characterised by a conserved amino-terminal Sema domain, were originally identified as repulsive axon-guidance factors involved in neuronal development (Kolodkin et al. 1993; Tamagnone and Comoglio 2000; Pasterkamp and Kolodkin 2003). Vertebrate semaphorins, including immune semaphorins, are classified into classes III–VII, and invertebrate semaphorins are grouped into classes I and II. Semaphorins exert pleiotropic functions, playing roles in cardiogenesis (Toyofuku and Kikutani 2007; Toyofuku et al. 2008), angiogenesis (Toyofuku et al. 2007; Serini et al. 2003), tumor progression or suppression (Luchino et al. 2013), and bone homeostasis (Negishi-Koga et al. 2011; Hayashi et al. 2012).

D. Ito

A. Kumanogoh (⊠) AMED-CREST, Suita, Osaka, Japan e-mail: kumanogo@imed3.med.osaka-u.ac.jp

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Department of Respiratory Medicine, Allergy and Rheumatic Disease, Osaka University Graduate School of Medicine, Suita, Osaka, Japan

Recent findings indicate that several semaphorins, so-called 'immune semaphorins', are involved in various phases of immune responses, including immune-cell activation, differentiation, cell–cell interactions, and trafficking/ migration (Kumanogoh and Kikutani 2013; Suzuki et al. 2008; Takamatsu et al. 2010). In particular, Sema4A, a class IV transmembrane semaphorin, plays crucial roles in dendritic cells (DCs), macrophages, and T cells. In addition, Sema4A has been implicated in various chronic inflammatory diseases. Here we review the current knowledge regarding Sema4A in the context of immune responses and chronic inflammation.

#### 3.2 Sema4A in Immune Cells

# 3.2.1 Sema4A and CD4<sup>+</sup> T-Cell Function

Sema4A is highly expressed in polarised T helper type 1 (Th1) cells and is important for helper T-cell differentiation (Fig. 3.1; Kumanogoh et al. 2005). In Sema4A-deficient mice, Th1 responses to heat-killed *Propionibacterium acne*, a Th1-inducing bacterium, are impaired in vivo. By contrast, T helper type 2 (Th2) responses against *Nippostrongylus brasiliensis*, a Th2-inducing intestinal



Fig. 3.1 (a) Sema4A promotes further Th1 differentiation via TIM-2. (b) Sema4A on conventional T cells ligates to Nrp-1 on Treg cells, leading to inhibition of Akt activation via recruitment of PTEN. Akt inactivation results in nuclear exclusion of FoxO molecules, which promotes the stability and increased function of Treg. (c) Sema4A on  $CD8^+$  T cells promotes optimal  $CD8^+$  T-differentiation via Plexin B2 by modulating mTOR-mediated signals. (d) When DCs encounter T cells, Sema4A on DCs directly stimulates T cells via TIM-2, leading to the optimal activation of antigen-specific T cells

nematode, are potentiated in these mice (Kumanogoh et al. 2005). TIM-2 appears to be the functional receptor for Sema4A, and the association between TIM-2 and Sema4A in Th1 cells negatively regulates Th2 cells (Kuchroo et al. 2003). However, there are some discrepancies between the phenotypes of Sema4A-deficient and Tim2-deficient mice, raising the possibility that there might be other binding partners of Sema4A. Indeed, Sema4A can bind plexin D1 and members of the plexin B subfamily (Toyofuku et al. 2007). Further studies are required to elucidate the details of the Sema4A-mediated pathway.

#### 3.2.2 Sema4A/Nrp-1 and Regulatory T-Cell Stability

A recent study revealed a novel function of Sema4A associated with maintenance of regulatory T (Treg) cells (Fig. 3.1; Delgoffe et al. 2013). Sema4A directly interacts with the receptor neuropilin-1 (Nrp-1) expressed by Treg cells, and this interaction potentiates Treg-cell function and survival at inflammatory sites. In this pathway, Sema4A ligation of Nrp-1 restrains Akt phosphorylation in the cell body and at the immunologic synapse by recruiting phosphatase and tensin homologue (PTEN). This in turn promotes nuclear localisation of the transcription factor Foxo3a, which plays an important role in the development and programming of Treg cells (Fontenot et al. 2003; Hori et al. 2003). This Sema4A/Nrp-1-dependent pathway is crucial for maintenance of immune homeostasis, and is involved in inflammatory colitis and Treg-cell stability in tumor tissues in vivo. These findings suggest that Sema4A is a potential target for therapies aimed at limiting Treg-cell-mediated tumor-induced tolerance without inducing autoimmunity.

#### 3.2.3 Sema4A and Dendritic-Cell Functions

Sema4A is expressed in dendritic cells, and Sema4A derived from both DCs and T cells is important for T-cell-mediated immunity (Fig. 3.1). Soluble Sema4A proteins and anti-Sema4A mAb bound to Sema4A on the surface of DCs enhance T-cell activation (Kumanogoh et al. 2002). Consistent with this, DCs derived from Sema4A-deficient mice stimulate allogeneic T cells poorly compared to wild-type DCs (Kumanogoh et al. 2002). By contrast, when CD4<sup>+</sup> T cells from Sema4A-deficient or wild-type mice are cultured with allogeneic DCs derived from wild-type mice, there are no differences in mixed lymphocyte reactions (Kumanogoh et al. 2002). These results suggest that DC-derived Sema4A is directly and critically involved in the activation of T cells reactive to alloantigens on DCs.

# 3.2.4 Sema4A and CD8<sup>+</sup> T-Cell Function

Sema4A is abundantly expressed in naive CD8<sup>+</sup> T cells, but not in naive CD4<sup>+</sup> T cells. Indeed, Sema4A-deficient CD8<sup>+</sup> T cells exhibit impairments in cytokine production and induction of the effector molecules Granzyme B, Perforin, and FAS-L. Upon infection with ovalbumin-expressing *Listeria monocytogenes* (LM-OVA), which initiates an acute infection and activates CD8<sup>+</sup> T cells, pathogen-specific effector CD8<sup>+</sup> T-cell responses are significantly impaired in SEMA4A-deficient mice. Furthermore, Sema4A-deficient CD8<sup>+</sup> T cells exhibit reduced mTORC1 activity and elevated mTORC2 activity, suggesting that Sema4A is required for optimal activation of mTORC1 in CD8<sup>+</sup> T cells. Cytokine production and mTORC1 activity in Sema4A-deficient CD8<sup>+</sup> T cells can be restored by administration of recombinant Sema4A protein. In addition, Plexin B2 is the functional receptor for Sema4A in CD8<sup>+</sup> T cells (Fig. 3.1; Ito et al. 2015).

# 3.2.5 Sema4A and Macrophage Function

Soluble Sema4A protein stimulates macrophage migration in a dose-dependent manner. Moreover, the expression of Plexin D1 is enhanced in human macrophages stimulated with LPS and poly I:C, suggesting that Sema4A is potentially involved in macrophages during the inflammatory process. Consistent with this, blocking anti-Plexin D1 mAb inhibits the migratory effect of Sema4A in macrophages (Meda et al. 2012). This chemotactic effect, which is independent of inflammatory chemokines, might be direct. The role of Plexin D1 in mediating the promigratory effects of Sema4A is further corroborated by the finding that Sema4A inhibits VEGF-A-mediated endothelial cell migration via Plexin D1 expressed on the endothelium (Toyofuku et al. 2007). Of note, inhibition of Plexin D1 function strongly reduces the basal migration of macrophages, suggesting that endogenous Sema4A may be involved in promoting migration. Additional studies will be required to elucidate the signalling pathways triggered by Sema4A in macrophages and the possible role of Sema4A as an endogenous regulator of macrophage behavior.

# 3.3 Sema4A in Chronic Inflammation

#### 3.3.1 Sema4A and Multiple Sclerosis (MS)

MS is a myelin-directed autoimmune disease and a leading cause of neurological disabilities. Both genetic elements and environmental factors are believed to participate in the pathogenesis of MS. When genetically predisposed individuals

are exposed to an environmental trigger, myelin-specific T cells are activated, and MS is developed (McFarland and Martin 2007). Therefore, antigen presentation and activation and differentiation of subsequent CD4<sup>+</sup> T cells are important for the development of MS. Experimental autoimmune encephalomyelitis (EAE) is a widely used mouse model of MS (Mix et al. 2008). EAE is induced in susceptible animals by immunisation with myelin proteins such as myelin basic protein (MBP), myelin oligodendrocyte glycoprotein (MOG), and proteolipid protein (PLP) in combination with an adjuvant, and it can also be induced by the passive transfer of myelin antigen-reactive T cells. This model reproduces many of the clinical and histopathological features of MS.

Sema4A is expressed in dendritic and Th1 cells and plays important roles in the activation of Th cells and the differentiation of Th1 and Th17 cells (Kumanogoh et al. 2002; Kumanogoh et al. 2005). Consistent with this, the progression of MOG-induced EAE in wild-type mice can be ameliorated by injection of Sema4A monoclonal antibody at the same time as MOG immunisation. Infiltration of mononuclear inflammatory cells in the spinal cord is reduced in Sema4A antibody-treated mice. Moreover, CD4<sup>+</sup> T cells in draining lymph nodes exhibit markedly reduced responses to the MOG peptide (Kumanogoh et al. 2002). In patients with MS, serum Sema4A levels are significantly higher than in healthy subjects or those with other neurological diseases (ONDs), as determined by ELISA (Fig. 3.2; Nakatsuji et al. 2012).

Sema4A has multiple physiological sources. Monocytes and DCs derived from healthy control subjects express moderate amounts of Sema4A, whereas in



Fig. 3.2 OVA-challenged Sema4A-deficient mice exhibit severe asthma symptoms.  $R_L$  (lung resistance) and *Cdyn* (dynamic compliance) refer to airway resistance and lung compliance, respectively. Data are shown as changes relative to baseline. *Asterisks* indicate statistically significant differences (\*p < 0.05). In H-E staining of asthma-induced lung tissue from Sema4A-deficient mice, infiltration of inflammatory cells around small airways and epithelium thickness are severe by *arrows* (100× magnification)

patients with MS these cells express significantly higher levels of the protein. The release of Sema4A can be inhibited by inhibitors of proteases such as matrix metalloproteinases (MMPs) and ADAM metalloproteinases, suggesting that these enzymes play an important role in releasing Sema4A from the cell surface. (Nakatsuji et al. 2012). Consistent with this, mRNA expression of metalloproteinases, such as MMPs and ADAM 10, is higher in peripheral blood mononuclear cells (PBMCs) from MS patients with high serum concentrations of Sema4A than those from healthy controls or MS patients with lower serum Sema4A levels. Collectively, these findings suggest that Sema4A, which is highly expressed in DCs and monocytes in patients with MS, is enzymatically shed in a subpopulation of the patients. There are several important hallmarks of MS patients with high Sema4A levels. One important feature is that MS patients with high serum Sema4A levels have a significantly higher proportion of IL-17-producing CD4<sup>+</sup> T cells than healthy subjects or patients with low serum Sema4A levels (Nakatsuji et al. 2012). In addition, high Sema4A patients have higher IL-2 levels. Therefore, Sema4A levels in patients with MS seem to be involved in Th17-mediated MS pathogenesis. Another important feature is that the disease course of MS patients with high Sema4A levels is significantly more severe. In addition, MS patients with high Sema4A levels are refractory to the first-line drug interferon IFN-B. These facts suggest that serum Sema4A may be useful as a biomarker of refractoriness to IFN- $\beta$ , as well as a reliable aid for arriving at early diagnoses in the future.

# 3.3.2 Sema4A and Asthma/Allergic Rhinitis/Atopic Dermatitis

Allergic asthma, rhinitis, and atopic dermatitis are major allergic diseases, especially in developed countries, and the identification of effective therapies for these diseases is an important goal. These diseases are thought to be influenced by both genetic elements and environmental factors (Von Mutius 2009; Zhang et al. 2008), and they share several common features: eosinophil or mast cell infiltration in inflammatory sites, elevated levels of Th2-type cytokines, and elevated serum IgE levels (Cohn et al. 2004). Asthma develops due to inflammation in the lower airways in response to a wide variety of agents, such as airborne or dietary allergens, infectious agents, and chemical irritants. The features of allergic asthma are not limited to the typical allergic symptoms mentioned above, but also include mucus hypersecretion and airway hyperreactivity (AHR). Although antigenpresenting cells (APCs), Th17 cells, and epithelial cells play important roles in the pathogenesis of asthma, Th2 cells are thought to be essential inducers of allergic asthma (Kim et al. 2010; Lloyd and Hessel 2010). IL-4 produced by Th2 cells is required for immunoglobulin class-switching to IgE, as well as for the induction and maintenance of strong Th2 responses. IL-5 is also involved in the activation and recruitment of eosinophils, and IL-13 is critical for goblet cell hyperplasia and AHR.

As in allergic dermatitis, Sema4A is involved in the pathogenesis of allergic airway hyperreactivity. In a mouse model of OVA-specific experimental asthma, airway hyperreactivity in Sema4A-deficient mice is enhanced relative to that in wild-type mice. Further analysis of these mice revealed increased pulmonary eosinophil infiltration, and elevated levels of Th2 cytokines and IgE in bronchoalveolar lavage (BAL) fluid. These data demonstrate that Sema4A plays crucial roles in regulation of Th2-driven lung pathophysiology (Fig. 3.2; Nkyimbeng-Takwi et al. 2012; Morihana et al. 2013). Indeed, several reports have shown that immunological Th1/Th2 manipulation may be used to treat allergic diseases (Wegmann 2009). Many of these therapeutic effects were due to enhanced Th1 and suppressed Th2 immunity. Therefore, Sema4A may be useful for improvement of the diagnosis, prevention, and treatment of the allergic asthmatic diseases.

#### 3.3.3 Sema4A and Inflammatory Bowel Disease

Inflammatory bowel disease (IBD), including Crohn's disease (CD) and ulcerative colitis (UC), is a group of inflammatory conditions of the intestinal tract that are considered to be autoimmune diseases. All of these diseases have typical clinical, histopathological, and endoscopic features. In addition, both diseases are characteried by T-cell overactivation because T-cell regulatory functions are downregulated and fail to suppress T effector cell responses (Geremia et al. 2014). In CD, upregulated activation of Th1 and Th17 cells is important for the induction of inflammation in bowel lamina propria. These cells react to bacterial bowel antigens when primary local immune responses fail to eliminate these antigens. This situation leads to the intensive and continuous production of proinflammatory cytokines.

Serum levels of Sema4A in patients with CD or UC were significantly lower than those in control subjects. In addition, Sema4A was abundantly expressed in lymphocytes of the lamina propria of CD and UC patients, although it was not expressed in patients with diverticulitis or in normal individuals (Vadasz et al. 2015). The association between Sema4A and IBDs has not been explained in detail. However, targeting Sema4A in IBD treatment might confer clinical benefits.

#### 3.3.4 Sema4A and Retinal Degeneration

Retinitis pigmentosa (RP) is an inherited degenerative eye disease, caused by abnormalities of the photoreceptors (PRs) or the retinal pigment epithelium (RPE), that leads to severe vision impairment and often blindness. More than

100 associated mutations have been discovered in RP patients. Light exposure is the most obvious factor that makes PRs vulnerable to degeneration. Visible and ultraviolet light ionise biomolecules, and oxygen enhances this effect. Consequently, retinal damage can occur when light acts on photosensitising molecules including retinoids, and generates reactive oxygen and nitrogen species (RONS). Light damage to PRs is caused by the release of all-*trans* retinal from light-activated rhodopsin (Sun and Nathans 2001; Travis et al. 2007). Photoexcitation of all-*trans* retinal produces singlet dioxygen, which can lead to photo-oxidative damage. If gene mutations that affect the visual cycle block the recycling of all-*trans* retinal to 11-*cis* retinal, toxic bis-retinoids and adducts steadily accumulate over the course of aging.

In Sema4A-deficient mice, the outer segments of PRs are disrupted at P14 and completely lost by P28 (Fig. 3.3; Toyofuku et al. 2012). In response to illumination, Sema4A-deficient retinas exhibited a dramatic increase in the number of apoptotic cells in the outer nuclear layer before recovering to basal levels. Sema4A is expressed in RPE and bound to prosaposin, which is associated with procathepsin D in the Golgi membrane (Gopalakrishnan et al. 2004) and can be targeted to lysosomes (Kishimoto et al. 1992; Benes et al. 2008) or secreted into the extracellular space. Such secreted lysosomal precursor proteins are antiapoptotic in various neuronal populations (O'Brien et al. 1994; Benes et al. 2008). Oxidative stress caused by  $H_2O_2$  treatment results in prosaposin transport to the cell periphery in wild-type RPE cells, but not in Sema4A-deficient RPE cells. Via its intercellular region, Sema4A binds to a complex of Rab11 and the adaptor protein FIP2 more



Fig. 3.3 (a) Sema4A<sup>-/-</sup> retinas exhibit progressive loss of the outer nuclear layer after exposure to light. (b) Sorting of prosaposin to the exosomal pathway is dependent on preferential binding of prosaposin to Sema4A and the Rab11/FIP2 endosomal sorting machinery

effectively than to FIP2 alone; Rab11 is involved in Sema4A-mediated prosaposin transport to the cell periphery under oxidative stress. In response to  $H_2O_2$ , prosaposin-containing vesicles are transported to the cell periphery via Sema4A/Rab11-mediated transport machinery (Fig. 3.3; Toyohuku et al. 2012). Thus, uncontrolled  $H_2O_2$  production or defective  $H_2O_2$  metabolism is likely to result in chronic inflammation (van der Vliet and Janssen-Heininger 2014). Sema4A plays a crucial role for protection against  $H_2O_2$ .

Three mutations in the Sema4A gene have been identified in patients with retinal degenerative diseases, including D345H, F350C, and R713Q (Abid et al. 2006). In addition, the expression of Sema4A (F350C) caused severe retinal degeneration in a series of knock-in mouse lines carrying mutated alleles of Sema4A (Nojima et al. 2013). In the RPE, Sema4A (F350C) tends to aggregate, and the resultant mislocalisation of Sema4A protein may lead to the impaired endosomal sorting of molecules such as prosaposin. Notably, virus-mediated gene transfer of Sema4A into RPE in neonatal Sema4A-deficient mice successfully prevents retinal degeneration for at least 4 months after injection. Thus, it is possible that Sema4A might be efficacious as a replacement gene therapy in patients with retinal degenerative diseases.

#### **3.4** Perspective

As described above, Sema4A is shown to play crucial roles in the pathogenesis of chronic inflammatory disorders such as MS, IBD, and allergic diseases. Then, the question arises in which phases of chronic inflammation – induction, progression, or resolution phases - Sema4A is involved. Cumulative evidence indicates that Sema4A is involved in activation and differentiation of both CD4<sup>+</sup> and CD8<sup>+</sup> T cells. It thus appears that Sema4A is relevant to the induction phase of inflammation immune reactions. Indeed, Sema4A-deficent T cells showed impaired activation in response to TCR stimulation (Kumanogoh et al. 2005; Ito et al. 2015). On the other hand, several studies imply that Sema4A is also involved in the progression of chronic inflammation. For instance, the expression of Sema4A is shown to be upregulated in a later phase of CD8<sup>+</sup>T cell activation (Ito et al. 2015). In addition, serum levels of Sema4A are elevated in patients with MS (Nakatsuji et al. 2012).

Notably, our recent finding indicates that Sema4A promotes a shift in mTORmediated signaling from mTORC2 to mTORC1 in CD8<sup>+</sup> T cells (Ito et al. 2015). In addition, our preliminary analysis found that the expression of Sema4A is dependent on mTOR-mediated signalling (unpublished data), showing a vicious cycle between Sema4A and mTOR-mediated signals in the course of chronic inflammation. Consistent with this scenario, it has been demonstrated that mTOR-mediated signals play a crucial role in chronic inflammatory disorders (Pollizzi and Powell 2014; Yoshizaki et al. 2010). To address these issues, careful and definitive evaluation by developing chronic inflammation experimental models and by using clinical samples will be required. Further studies will provide a clue to develop therapeutic targets for human chronic inflammatory diseases.

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# Chapter 4 MicroRNAs in Chronic Inflammation

Y. Ito, S. Mokuda, K. Miyata, T. Matsushima, and H. Asahara

Abstract MicroRNAs (miRNAs) are ~22-nucleotide single-stranded small noncoding RNAs that are highly conserved among eukaryotes. miRNAs play a central role in the posttranscriptional regulation of gene expression by inhibiting translation and/or by inducing mRNA degradation. Several recent studies have shown that miRNAs contribute to diverse physiological processes, including pathogenesis, through their role in gene suppression. In this chapter, we review the relationship between miRNAs and chronic inflammatory diseases, such as cancer and arthritis, and discuss the potential functions of miRNAs in chronic inflammation.

**Keywords** MicroRNA • Chronic inflammation • Osteoarthritis • Rheumatoid arthritis • miR-140 • miR-146a • miR-155

# 4.1 Introduction

miRNAs are 19–22-nucleotide long small noncoding RNAs that regulate many biological processes, including development and homeostasis, and are involved in the pathogenesis of various diseases (Esteller 2011; Kloosterman and Plasterk 2006; Ambros 2004). The first miRNA gene, *lin-4*, was identified in *Caenorhabditis elegans* by Ambros's research team (Lee et al. 1993). To date, more than 2000

H. Asahara (🖂)

Department of Molecular and Experimental Medicine, The Scripps research Institute, San Diego, CA 92131, USA

AMED-CREST, Tokyo 113-8519, Japan e-mail: asahara.syst@tmd.ac.jp

Y. Ito • T. Matsushima

Department of Systems BioMedicine, Graduate School of Medical and Dental Sciences, Tokyo Medical and Dental University, Bunkyo, Tokyo 113-8519, Japan

S. Mokuda • K. Miyata Department of Molecular and Experimental Medicine, The Scripps research Institute, San Diego, CA 92131, USA

Department of Systems BioMedicine, Graduate School of Medical and Dental Sciences, Tokyo Medical and Dental University, Bunkyo, Tokyo 113-8519, Japan

miRNAs have been discovered within the human genome by RNA-sequencing and computational prediction (Kozomara and Griffiths-Jones 2014). Their function is to repress the expression of target genes by destabilisation and/or translation inhibition of target mRNAs (Bartel 2009). In order to do so, miRNAs act as RNA-induced silencing complexes (RISC), composed of miRNA and Argonaute (Ago) family protein. To target specific mRNAs, the intrinsic seed sequence of the respective miRNAs is used. After recruitment of a RISC complex (Bartel 2009), Ago inhibits the targeted mRNAs (Bartel 2009). This new paradigm will reveal novel aspects of the inflammation-related molecular network and should contribute to the development of new therapeutic tools for chronic inflammatory diseases.

#### 4.2 MicroRNA Biogenesis

miRNAs have a unique synthesis process, as shown in Fig. 4.1 (Ceribelli et al. 2011). Many miRNAs have their own transcription initiation region, and some miRNAs are located on introns of other genes or reside as a cluster of transcriptional units. First, these are transcribed as primary miRNA (pri-miRNA), which is a long primary transcript containing one or more miRNAs. Nuclear RNase III Drosha then crops the pri-miRNA into a precursor miRNA (pre-miRNA), which has about 70 base pairs and a hairpin loop structure and is subsequently exported from the nucleus into the cytoplasm by Exportin 5 (Lee et al. 2003; Yi et al. 2003; Han et al. 2004). Finally, pre-miRNA is cleaved by cytoplasmic RNase III Dicer to form stem loops of short nucleotide duplexes, and either one of the single strands is



incorporated into an Ago protein complex, known as RISC. The single-strand RNA is a mature miRNA, which is able to bind a partial complementary sequence, mainly located on the 3'-untranslated region (3' UTR) of the target mRNAs, and induce its translation repression or degradation (Bernstein et al. 2001; Hutvagner et al. 2001; O'Connell et al. 2010).

Because Dicer knockout mice displayed severe growth arrest at the early embryonic stage (Bernstein et al. 2003), miRNAs are regarded as critical factors in several biological processes.

#### 4.3 MicroRNAs in Cancer

To date, a number of reports have shown abnormalities in miRNA expression in cancer. The first report was made by Calin and his colleague in 2002. They indicated that miR-15 and miR-16 were located on chromosome 13q14, a region deleted in more than half the B-cell chronic lymphocytic leukemias (B-CLL), with both genes also deleted or downregulated in the majority of CLL cases (Calin et al. 2002).

The miR-17-92 cluster contains miR-17, miR-18a, miR-19a, miR-19b-1, miR-20a, and miR-92a-1, which are representative miRNAs considered as 'oncogenes'. *MYC* (v-myc avian myelocytomatosis viral oncogene homolog), one of the most famous oncogenes, promotes transcription of the miR-17-92 cluster, over-expressed in lymphoma or some solid tumours, such as those formed in lung cancer (Hayashita et al. 2005; He et al. 2005). Further analysis with miR-17-92 cluster transgenic mice showed an increase in lymphocytosis and lymphoma development. On the contrary, B-cell progenitor promoted apoptosis in knockout mice. These findings, acquired from in vivo analysis, confirmed the oncogenic function of the miR-17-92 cluster (Xiao et al. 2008; Sandhu et al. 2013). Subsequent reports identified its direct targets, such as tumour suppressing factor *PTEN* (phosphatase and tensin homologue deleted from chromosome 10), apoptotic inducer *BCL2L11* (BCL2-like 11), and cell cycle regulator *E2F1* (E2F transcription Factor 1), and described the mechanism through which the miR-17-92 cluster contributes to tumourigenesis (Xiao et al. 2008; Sylvestre et al. 2007; Woods et al. 2007).

Oncogenic factor *RAS* (rat sarcoma) is negatively regulated by the let-7 family, which is one of the most notorious miRNA families (Johnson et al. 2005). Analysis of cancerous and normal lung tissues indicated that expression of let-7 and *RAS* correlated negatively, also highlighting a potential oncogenic regulation of lung cancer through the control of their expression balance (Johnson et al. 2005).

Some reports showed that tumour suppressor p53 regulates the expression of miR-34a (Chang et al. 2007; He et al. 2007; Raver-Shapira et al. 2007), contributing to p53-dependent apoptosis (Chang et al. 2007; Raver-Shapira et al. 2007) or induction of senescence (He et al. 2007). Overexpression of miR-34a reduced the

cellular proliferation of HCT116 cells and several other cell lines, and its tumoursuppressive function was directly controlled via downregulation of E2F family or other cell-cycle regulators (Tazawa et al. 2007).

Because a considerably high number of reports focus on the role played by miRNA in cancer, only some of them are shown in this section. 'Circulating miRNA' has also been reported as a new concept describing how cell-free miRNA circulating in blood participates in crosstalks within cancer microenvironments (Kosaka et al. 2010; Srivastava et al. 2015). Further research on miRNAs will certainly lead to the establishment of novel strategies for the prevention and diagnosis of cancers as well as for the treatment of cancer patients.

#### 4.4 MicroRNAs in Osteoarthritis

Osteoarthritis (OA) is the most common form of arthritis and degenerative joint disease, caused by chronic inflammation. OA is characterised by cartilage damage, which results in joint pain, swelling, and movement disorder. There are many reports on the alteration of miRNA expressions in OA chondrocytes.

It has been reported that miR-27a/b expressions decrease in OA chondrocytes (Tardif et al. 2009; Akhtar et al. 2010). The direct target of miR-27a/b is MMP-13 (matrix metallopeptidase-13), the main proteinase responsible for aggrecan degradation in the articular cartilage. Therefore, they might contribute to maintain cartilage homeostasis (Tardif et al. 2009; Akhtar et al. 2010). The decreased expression of miR-199-3p was also detected in OA (Akhtar and Haqqi 2012). The direct target of miR-199a-3p is COX-2 (cyclooxygenase-2), which might indicate its potential to suppress inflammations (Akhtar and Haqqi 2012). miR-558 expression was also shown to decrease in OA, its direct target being COX-2 as well (Park et al. 2013). Significant reduction in the expression of miR-370 and miR-373 was also reported in OA chondrocytes (Song et al. 2015). This report indicated that miR-370 and miR-373 regulated OA pathogenesis through apoptosis inhibition and suppression of MMP-13 expression by targeting SHMT-2 (serine hydroxymethyltransferase-2) and MECP-2 (methyl-CpG-binding protein-2), respectively (Song et al. 2015). Suppressed levels of miR-222 were also observed in OA chondrocytes (Song et al. 2014). miR-222 targets HDAC-4, that is involved in the regulation of MMP-13 and chondrocyte apoptosis (Song et al. 2014).

On the contrary, elevated expression of miRNAs was also reported in OA. miR-145 was highly expressed in OA and its direct targets are *SOX9* (sex determining Y-box 9) and *SMAD3* (SMAD family member 3), significant factors for cartilage homeostasis (Yang et al. 2014; Martinez-Sanchez et al. 2012). miR-181b was also upregulated in OA chondrocytes, indirectly resulting in elevated expression level of *MMP-13* (Song et al. 2013). In addition, overexpression of

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miRNA in osteoarthritis	Expression change	miRNA
	Up-regulated	miR-145
		miR-181b
		miR-21
		miR-23b
		miR-22
		miR-29c
		miR-16
	Down-regulated	miR-140
		miR-27a
		miR-27b
		miR-199a-3p
		miR-558
		miR-149
		miR-24
		miR-370
		miR-373
		miR-222

anti-miR-181b significantly reduced the cartilage destruction caused by surgical destabilisation of the medial meniscus (DMM) in mice (Song et al. 2013). These results suggest that miR-181b is an important miRNA in OA pathogenesis. Many additional reports on alteration of miRNA expressions in OA chondrocytes can be found (Table 4.1; Mirzamohammadi et al. 2014).

In our previous report, we detected a decreased expression of miR-140 in chondrocytes from OA patients' knee cartilages (Miyaki et al. 2009). We demonstrated considerable miRNA expression differences between human articular chondrocytes and mesenchymal stem cells. miR-140 exhibited the largest expression difference between these two cell types (Miyaki et al. 2009). miR-140 is induced by *SOX9*, the main chondrogenic factor in the cartilage growth layer, and controls cartilage homeostasis, by regulating *ADAMTS-5*, in order to protect against cartilage damage (Yamashita et al. 2012; Miyaki et al. 2010). In addition, miR-140<sup>-/-</sup> mice manifested a mild skeletal phenotype with a short stature and showed age-related OA-like changes characterised by proteoglycan loss and fibrillation of articular cartilage (Miyaki et al. 2010). In a surgical OA model with transection of medial meniscotibial ligament (MMTL), cartilage-specific miR-140 transgenic mice suppressed the cartilage degeneration (Miyaki et al. 2010). These results showed that miR-140 controls cartilage homeostasis and has potential as a therapeutic target of OA (Fig. 4.2).



Fig. 4.2 Mechanism of cartilage homeostasis by miR-140

miR-140 is induced by *SOX9* and controls cartilage homeostasis by regulating *ADAMTS-5*, the proteinase responsible for aggrecan degradation in the articular cartilage

Table 4.2 Deregulated	Expression change	miRNA
miRNA in rheumatoid arthritis	Up-regulated	miR-146a
		miR-155
		miR-133a
		miR-142
		miR-203
		miR-223
		miR-16
	Down-regulated	miR-124a
		miR-34a-3p
		miR-363
		miR-498a

# 4.5 MicroRNAs in Rheumatoid Arthritis

Rheumatoid arthritis (RA) is a common chronic inflammatory disease characterised by hyperplastic synovial tissues and destruction of articular cartilages and adjacent bones. In RA, many previous works report on the alteration of miRNA expressions (Table 4.2; Ceribelli et al. 2011; Duroux-Richard et al. 2012). Therefore, miRNA might be involved in pathogenesis of RA.

In 2008, Stanczyk et al. reported for the first time that miR-146a and miR-155 were upregulated in RA synovial tissues and RA synovial fibroblasts (RASF), compared with OA (Stanczyk et al. 2008). miR-146a regulates inflammation by repressing *TRAF6* (tumour necrosis factor receptor associated factor 6) and *IRAK1* (IL-1 receptor-associated kinase 1), both downstream factors of Toll-like receptors



**Fig. 4.3** Mechanism of inflammation repression by miR-146a miR-146a regulates inflammation by repressing *TRAF6* and *IRAK1*, both downstream factors of TLR and inflammatory cytokine receptors

(TLR) and inflammatory cytokine receptors (Fig. 4.3; Taganov et al. 2006; Boldin et al. 2011; Zhao et al. 2011). In peripheral blood mononuclear cells (PBMC) and synovial tissues from RA patients, miR-146a was expressed in RASF, macrophage, CD4<sup>+</sup> T lymphocytes, IL-17-producing T lymphocytes, and B lymphocytes (Stanczyk et al. 2008; Pauley et al. 2008; Nakasa et al. 2008; Li et al. 2010). Furthermore, in collagen-induced arthritis (CIA) models, administration of doublestranded miR-146a prevented joint destruction in arthritic mice through inhibition of osteoclastogenesis (Nakasa et al. 2011). miR-155 might promote inflammation. miR-155<sup>-/-</sup> mice exhibited altered immune responses, through regulation of cytokines, chemokines, and transcription factors, in T and B lymphocytes and dendritic cells (Rodriguez et al. 2007; Thai et al. 2007). In RA, the expression of miR-155 was elevated in RASF and induced by TNF- $\alpha$  (Tumour necrosis factor alpha) and LPS (lipopolysaccharide; Stanczyk et al. 2008). At first, researchers predicted that the role of miR-155 was protective against joint destruction. Indeed, overexpression of miR-155 in RASF induced the suppression of MMP-3, one of the cartilage destruction enzymes (Stanczyk et al. 2008). However, overexpression of miR-155 in monocytes/macrophages from RA patients promoted the production of TNF- $\alpha$ , interleukin-6 (IL-6), IL-1 $\beta$ , and IL-8 and inhibition of miR-155 suppressed the production of TNF-a (Kurowska-Stolarska et al. 2011). Furthermore, in CIA

miR-155–/– mice models, suppression of inflammatory cytokines was observed and arthritis did not occur (Bluml et al. 2011). These results implied that miR-155 is involved in limiting arthritis pathogenesis.

miR-155 and miR-146a were also involved in other rheumatic diseases and inflammatory conditions. PBMC and synovial fluid MC (SFMC) from gouty arthritis showed strong expression of miR-155 (Jin et al. 2014). On the contrary, miR-146a expression was reduced during the acute flare period in PBMC from patients with gouty arthritis compared with the intercritical period (Dalbeth et al. 2015).

Decreased expression of miRNA in RA was also reported. It was shown that miR-23b is weakly expressed in autoimmune diseases, including RA and systemic lupus erythematosus (SLE) (Zhu et al. 2012). The expression of miR-23b was suppressed by IL-17. In addition, miR-23b inhibited cytokine expression as well as IL-17-, TNF- $\alpha$ -, and IL-1 $\beta$ -induced NF-kB activation, through the inhibition of *TAB2* (TGF- $\beta$  activated kinase 1/MAP3K7 binding protein 2), *TAB3* and *IKK-\alpha* (Inhibitor of nuclear factor kappa-B kinase subunit alpha), which are its direct targets. miR-23b holds a potential to suppress inflammation and, therefore, might become a therapeutic target for autoimmune diseases, including RA (Zhu et al. 2012). Additionally, the expression level of miR-124a was also low in RASF. The targets of miR-124a are *CDK2* (cyclin-dependent kinase 2) and *MCP-1* (monocyte chemoattractant protein-1). As a consequence, in RASF, suppression of miR-124a might lead to the promotion of proliferation via *CDK2* and result in facilitating inflammation via *MCP-1* (Nakamachi et al. 2009).

Starting from a report generated by Stanczyk et al. (ie, on miR-146a and miR-155), many reports on the relationship between miRNA and RA have been published. Further research is expected towards the elucidation of miRNA functions, their benefit as diagnostic markers and the development of novel therapeutic targets.

# 4.6 Relationship Between microRNAs and Chronic Inflammation

As mentioned above, many reports have shown abnormal miRNA expression in chronic inflammatory diseases, such as cancer, osteoarthritis, and rheumatoid arthritis. Therefore, miRNAs might be potential regulators of chronic inflammation. In chronic inflammation, the resolution phase of the inflammatory response does not occur, which can be attributed to a persisting stimulus and/or defective resolution mechanisms. Thus, normal immune responses can progress to chronic inflammatory states in instances where these events occur. The mechanisms underlying resolution of the inflammatory response have been a focus area of research in recent years, and many important steps and molecules involved in these mechanisms have been discovered. At the molecular level, the cytokine IL-10 (Fiorentino et al. 1991; Sakaguchi 2004), the signalling molecules, A20 (Lee et al. 2000), PD1 (Schwab

et al. 2007), CTLA4 (Wing et al. 2008), and the secreted factor IL1RA (Ohlsson et al. 1990) are critical molecules for inhibition of immune cell activation. Furthermore, as described above, miR-146a regulates immune responses; it is induced in response to TLR signalling and forms a negative feedback loop by inhibiting *TRAF6* and *IRAK1*, which are critical upstream TLR-signalling mediators (Fig. 4.3). In recent years, an important role of this miRNA was confirmed by analysis of miR-146a knockout mice. These mice are hyperresponsive to LPS and demonstrate an exaggerated proinflammatory response when challenged with endotoxin (Boldin et al. 2011). Additionally, miR-146a-knockout mice develop a spontaneous autoimmune disorder characterised by splenomegaly, lymphadenopathy, and multiorgan inflammation (Boldin et al. 2011). Moreover, miR-146a knockout mice develop myeloid malignancies due to chronic activation of NF-kB signalling (Zhao et al. 2011). Together, these results indicate that lack of miR-146a expression leads to defects in the resolution of inflammation. Abnormal expression of miR-146a might be a cause of chronic inflammation in some cases.

Conversely, miR-155 functions as a proinflammatory miRNA. miR-155 is induced in activated myeloid cells and represses both SOCS1 and SHIP1 to enhance cytokine production by dendritic cells and macrophages (O'Connell et al. 2009). Overexpression of miR-155 in myeloid cells results in a myeloproliferative disorder due to reduced SHIP1 expression (O'Connell et al. 2009). Furthermore, it has been reported that overexpression of miR-155 in the haematopoietic compartment causes a chronic inflammatory disease (O'Connell et al. 2008) or leukemia (Costinean et al. 2006). These reports suggest that chronic dysregulation of miR-155 might be responsible for chronic inflammation.

Taken together, abnormal expression of miR-146a and miR-155 might be a cause of chronic inflammation, and hence, these miRNAs could serve as clinical targets for treatment of chronic inflammatory diseases. However, the precise functions of miRNAs in chronic inflammation remain largely unknown. For example, it is unclear how these miRNAs are deregulated in chronic inflammatory diseases. The current knowledge about the role of miRNAs in chronic inflammation is quite primitive and further investigations are required on this topic.

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# Chapter 5 Genetic Dissection of Autoinflammatory Syndrome

#### Koji Yasutomo

Abstract Autoinflammatory syndromes are characterised by inflammatory responses without infection or autoimmune responses. As autoinflammatory syndromes cause both acute and chronic inflammation, the identification of the causative genes for this syndrome would help to reveal the molecular mechanisms underlying chronic inflammation. Recent studies have revealed that mutations in the PSMB8 gene, which codes for an immunoproteasome component, cause an autoinflammatory disorder with lipodystrophy. The missense mutation in PSMB8 disturbs the assembly of immunoproteasomes, which decreases immunoproteasome functions. The decreased immunoproteasome function increases the level of proinflammatory cytokines, including interleukin-6. Furthermore, dysfunction of the immunoproteasome suppresses adipocyte differentiation, which might explain the progressive lipodystrophy that is a characteristic symptom of these patients. The identification of immunoproteasome dysfunction-related autoinflammatory disorders highlights immunoproteasomes as crucial intracellular complexes in the regulation of inflammatory responses. Furthermore, analysis of this syndrome will provide new insights into the key molecular networks related to chronic inflammation.

**Keywords** Autoinflammatory disorders • Immunoproteasome • Interleukin-6 • Lipodystrophy • Inflammation • Adipocytes

# 5.1 Inflammatory Responses

Inflammation is a defensive physiological mechanism that protects our bodies from pathogens (Gordon and Trinchieri 2009). Inflammation is balanced between intrinsic stimulators and suppressive mechanisms in response to pathogen-derived molecules or cell-damage–associated molecules (Greenwald et al. 2005; Keir

K. Yasutomo (🖂)

Department of Immunology and Parasitology, Graduate School of Medicine, Tokushima University, Tokushima, Japan

AMED-CREST, Tokushima, Japan e-mail: yasutomo@tokushima-u.ac.jp

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et al. 2008). Recent studies have revealed a variety of molecules that sense extracellular or intracellular pathogen invasion and transduce signals to initiate inflammatory responses (Takeuchi and Akira 2010). Although inflammation is required as a physiological response to protect our bodies, excessive responses or persistent stimulation induces irreversible cellular damage that leads to various chronic diseases. For instance, accumulating evidence has demonstrated that inflammation contributes to tumourigenesis and metabolic diseases in addition to classical chronic inflammatory diseases such as autoimmune diseases and inflammatory bowel diseases (Gregor and Hotamisligil 2011). Therefore, research into molecular mechanisms underlying inflammation has significantly expanded and has revealed many crucial molecules and molecular networks that regulate inflammatory responses. The mutual interactions among mechanisms that induce or suppress inflammation control the outcome of inflammation (Greenwald et al. 2005). Thus, it is important to understand the physiological roles of each molecule within the complex network of interactions.

# 5.2 Acute and Chronic Inflammation

Inflammatory responses are generally divided into two groups; acute and chronic. Acute inflammation is usually of sudden onset with redness, pain, swelling, and disability of organ functions, which are caused by increased vascular leakage and a predominance of exudative processes. In contrast, chronic inflammation is characterised by prolonged inflammation with global or scattered fibrotic regions. However, those are phenotypic definitions, and they raise the following question: how could we define acute and chronic inflammation on a molecular level? In other words, what is the molecular determinant to distinguish acute and chronic inflammation? Although it remains unclear how we define acute or chronic inflammation at a molecular level, there would be at least two explanations of how chronic inflammation is caused. First, long duration or high peak-strength acute inflammation could cause irreversible tissue damage with fibrotic regions. Even after clearance of acute inflammation, the tissue damage would leave 'environments' that cause persistent inflammation. The second explanation is that qualitatively altered cellular or molecular responses against acute inflammation could cause persistent inflammation or irreversible tissue fibrosis, which could not be corrected by their own cellular systems. Although there are many possible approaches to addressing the molecular basis underlying chronic inflammation, one way to search for key molecules or crucial cellular networks responsible for switching from acute to chronic inflammation is to identify causative genes for familial chronic inflammatory disorders. In this regard, I introduce the causative genes of autoinflammatory syndrome in this chapter, in particular, focusing on an autoinflammatory syndrome with lipodystrophy that has characteristics of both acute and chronic inflammation (Kitamura et al. 2011).
#### 5.3 Autoinflammatory Syndromes

Autoinflammatory syndromes are defined by inflammatory symptoms that include fever and sterile serositis but lack infectious episodes (Aksentijevich and Kastner 2011). Research into autoinflammatory syndromes began with the analysis of familial autoinflammatory disorders and was expanded by discoveries of the causative genes. Familial Mediterranean fever (FMF) is caused by a mutation in the MEFV gene, and mutations in the p55 TNF receptor were discovered in familial Hibernian fever. After the discoveries of the two causative genes for these inherited autoinflammatory diseases, several genes that cause autoinflammatory diseases were reported (Aksentijevich and Kastner 2011). Many autoinflammatory syndromes are associated with IL-1ß secretion caused by excessive NLRP3 inflammasome activation (Franchi et al. 2009). We and other groups have recently identified a mutation in NLRC4 in several autoinflammatory syndromes. The mutation in NLRC4 hyperactivated caspase-1, resulting in increased production of IL-1β (Canna et al. 2014; Romberg et al. 2014; Kitamura et al. 2014). Indeed, recent clinical trials have demonstrated that IL-1 $\beta$  blockade is an effective treatment for such diseases (Aksentijevich and Kastner 2011; Goldbach-Mansky et al. 2008; Stojanov et al. 2011). However, a significant proportion of autoinflammatory patients do not have genetic mutations in the NLRP3-mediated pathway, TNF receptor, or NLRC4, which has suggested the presence of other pathways for inducing autoinflammatory disorders.

A number of reports identified a previously unknown syndrome characterised by recurrent fever, nodular erythema, hepatosplenomegaly, and lipodystrophy without increased serum autoantibodies (Kitano et al. 1985). As with other symptoms, macroglossia and joint contraction with fibrotic changes were observed. Similar syndromes (CANDLE or JMP syndrome) have also been reported in the United States (Garg et al. 2010). Our group and others independently identified *PSMB8*, which codes for a subunit of the immunoproteasome, as the causative gene (Kitamura et al. 2011). This new type of autoinflammatory syndrome caused by defective immunoproteasomes highlights not only the important role of the immunoproteasome in inflammation, but also the broader spectrum of autoinflammatory diseases than were previously understood. In this chapter, we discuss this new type of autoinflammatory syndrome and the role of immunoproteasomes in inflammatory responses.

## 5.4 Immunoproteasomes

Proteasomes play an essential role in degrading proteins in cells and are crucial for removing misfolded proteins. Thus, they regulate the turnover of molecules to maintain cellular homeostasis (Fig. 5.1) (Ciechanover 2005). The 26S proteasome is a proteolytic enzyme complex that degrades polyubiquitinated proteins (Finley



#### Ubiquitinated proteins

Fig. 5.1 Proteasomes and immunoproteasomes

2009). The 20S proteasome is composed of multiple subunits and is the catalytic core of the 26S proteasome. The 20S proteasome interacts with two 19S regulatory complexes (Tanaka and Ichihara 1988).

The 20S proteasome contains 14 subunits that organise four stacked rings of seven subunits (Murata et al. 2009) 5, (Finley 2009). Two outer  $\alpha$ -rings are composed of seven  $\alpha$ -subunits ( $\alpha 1-\alpha 7$ ) and the two inner rings have seven different  $\beta$ -subunits ( $\beta$ 1- $\beta$ 7). The 20S proteasome is an N-terminal nucleophilic hydrolase that generates the peptides presented by MHC class I by using its N-terminal three nine residues as the active site of  $\beta_1$ ,  $\beta_2$ , and  $\beta_5$ . The three subunits hydrolyse short peptide substrates through chymotrypsin-like ( $\beta$ 5), trypsin-like ( $\beta$ 2), or caspase-like ( $\beta$ 1) activity. Three catalytic  $\beta$ -subunits ( $\beta$ 1i/LMP2,  $\beta$ 2i/MECL1, and  $\beta$ 5i/LMP7) are upregulated by interferon- $\gamma$ . Those three subunits are incorporated into the proteasome instead of  $\beta$ 1,  $\beta$ 2 and  $\beta$ 5 to form a distinct 20S proteasome complex with an alternative subunit composition (Fig. 5.1) (Akiyama et al. 1994). These proteasomes are termed immunoproteasomes (Akiyama et al. 1994). The change of subunits with distinct activity results in immunoproteasomes having not only an altered cleavage site preference but also a different cleavage rate (Van den Eynde and Morel 2001). The proteasome activator- $\alpha\beta$  (PA28- $\alpha\beta$ ) is also upregulated by interferon- $\gamma$ . PA28 is a heptameric complex composed of  $\alpha$  and  $\beta$ subunits and binds to the end of the 20S proteasome core (Fig. 5.1) (Hendil et al. 1998). It increases the cleavage rate (Preckel et al. 1999). Mice deficient in PA28-ß produce altered peptide cleavage products compared with control mice and exhibit impaired immunoproteasome assembly (Preckel et al. 1999). Therefore PA28 is crucial to the organisation and functions of immunoproteasomes.

Immunoproteasomes process antigens and produce the peptides that are presented on MHC class I (Chen et al. 2001). LMP7-deficient mice showed reduced responses against two dominant influenza virus epitopes and increased responses to two subdominant epitopes (Chen et al. 2001), suggesting that the absence of LMP7

affected CD8<sup>+</sup> T cell repertoire formation and susceptibility to infectious diseases. Furthermore, mice that lacked three catalytic subunits showed that about 50 % of peptides were presented solely by cells expressing immunoproteasomes (Kincaid et al. 2012). Strikingly, the alteration of antigen presentation in mice with complete immunoproteasome deficiency led to the rejection of wild-type cells by CD8<sup>+</sup> T cells, indicating that immunoproteasome deficiency in mice results in significantly altered antigen processing and presentation that ultimately impacted the T cell repertoire (Kincaid et al. 2012).

Lymphoid tissues, in particular dendritic cells, macrophages, T cells, and B cells, constitutively express the immunoproteasome, whereas nonlymphoid peripheral tissues typically lack the immunosubunits. However, the expression of immunoproteasomes is not entirely restricted to haematopoietic cells. For example, primary hepatocytes express low amounts of  $\beta$ 5i even in the absence of cytokine stimulation (Vasuri et al. 2010). We also found that  $\beta$ 5i is expressed in subcutaneous regions even in the absence of extrinsic stimulation with IFN- $\gamma$  (Kitamura et al. 2011). Therefore, immunoproteasome expression is likely regulated not only by IFN- $\gamma$  but also by other stimuli, probably in a cell type-specific manner.

#### 5.5 Immunoproteasomes and Inflammatory Diseases

The proteasome inhibitor MG-132 suppresses NF-kB activation by inhibiting the degradation of IkB, which inhibits the production of many proinflammatory cytokines including RANTES, MCP-1, and M-CSF (Wang et al. 1999; Ortiz-Lazareno et al. 2008). These data suggest that proteasomes are involved in regulating inflammatory responses through NF-kB activation. In a more specific manner, a PSMB8-specific inhibitor suppressed the secretion of inflammatory cytokines, including TNF- $\alpha$ , IL-23, and IL-6 from human peripheral mononuclear cells (Muchamuel et al. 2009). Furthermore, treatment with a PSMB8 inhibitor suppressed experimental arthritis, probably due to reduced production of inflammatory cytokines (Muchamuel et al. 2009). Additionally, LMP7 KO mice have lower susceptibility for experimental colitis (Basler et al. 2010; Schmidt et al. 2010). These data suggest that immunoproteasomes play important roles in ameliorating inflammatory responses. However, recent studies have provided evidence that immunoproteasomes are required for the reduction of inflammatory responses. For instance, a study revealed that IFN- $\gamma$  stimulates the accumulation of oxidant-damaged polyubiquitylated proteins (van Deventer and Neefjes 2010), and the 26S immunoproteasome plays a crucial role in the elimination and efficient turnover of accumulated defective and ubiquitinated proteins. Furthermore, PSMB8-deficient mice have a reduced ability to eliminate accumulated oxidised proteins, which results in an increased susceptibility to experimental autoimmune encephalomyelitis (EAE) (Seifert et al. 2010). How can these conflicting findings on the role of the immunoproteasome in inflammatory responses be reconciled?

One possibility is that immunoproteasomes play a distinct role in inflammatory responses depending on the stage of inflammation. T cells from mice with EAE are characterised by hyperactivated Th17 and Th1 cells (Veldhoen et al. 2006). Therefore, INF- $\gamma$  mediates the accumulation of oxidised proteins that cannot be efficiently removed by dysfunctional immunoproteasomes. In any case, clarifying the roles of the immunoproteasome in each inflammatory disease setting is necessary as clinicians employ immunoproteasome inhibitors for the treatment of immunemediated diseases.

## 5.6 Clinical Characteristics of Inherited Inflammatory Diseases Caused by PSMB8 Dysfunction

Previous publications described a syndrome characterised by recurrent fever, progressive partial lipodystrophy, nodular erythema, and hepatosplenomegaly in consanguineous families in Japan (Kitano et al. 1985). Laboratory findings revealed high serum C-reactive protein and hypergamma globulinemia, although autoantibody titers were negative. Patients were treated with oral steroids that were effective in improving patients' inflammatory responses, including fever and nodular erythema, but not their progressive lipodystrophy. We termed this disease Japanese Autoinflammatory Syndrome with Lipodystrophy (JASL) (Kitamura et al. 2011). Our group attempted to identify the gene that caused JASL using patient samples from two Japanese consanguineous families by linkage analysis and exome resequencing. We found a homozygous missense mutation in PSMB8 in JASL patients (Kitamura et al. 2011). Similar syndromes were also reported by other groups in Japan (Arima et al. 2011) as Nakajo-Nishimura syndrome, and it had the same mutation as JASL patients. Researchers in other countries described JMP and CANDLE syndromes (Agarwal et al. 2010), which had mutations in PSMB8 that were distinct from the mutation seen in JASL patients. The phenotypes of JASL patients are similar to those of CANDLE and JMP syndromes, such as cutaneous eruption and lipodystrophy. The recurrent fever with acute phase reactant proteins seen in JASL is observed in CANDLE but not JMP syndrome patients. Differences in symptoms among these autoinflammatory syndromes are likely due to different PSMB8 mutations or to background genetic differences. These issues should be clarified by comparing genotypes and phenotypes in a larger number of patients.

Mutations in *PSMB8* increased immunoproteasome assembly intermediates, which reduced the expression of mature immunoproteasomes and the final cleavage of PSMB8 (Fig. 5.2). Accordingly, chymotrypsin-like activity in immunoproteasomes was decreased in transformed B cells from JASL patients (Kitamura et al. 2011). Ubiquitin-coupled proteins accumulated in patients' skin and, as expected, PSMB8 expression was lower in JASL skin samples compared with healthy controls (Kitamura et al. 2011).



Fig. 5.2 Assembly defect of immunoproteasomes by mutant b5i

# 5.7 Immunoproteasome Defects Contribute to Autoinflammation

In order to understand the relationship between the reduced activity of immunoproteasomes and autoinflammation, we analysed the expression of IL-6 in transformed B cells (Kitamura et al. 2011). Another group has reported that serum IL-6 levels (Kasagi et al. 2008), but not IL-1 $\beta$ , were increased in JASL patients. We found that transformed B cells from JASL patients showed increased IL-6 expression, and it was inhibited by suppressing the p38 pathway. These data were corroborated by increased phosphorylation of p38 in JASL cells. Thus, p38 and IL-6 appeared to regulate, at least partially, autoinflammation (Fig. 5.3).

There are three important questions regarding immunoproteasome assembly defects and autoinflammation that should be addressed in future studies. First, what factors are responsible for p38 activation? Defects in immunoproteasome assembly caused by mutations in *PSMB8* decreased chymotrypsin-like activity and resulted in the accumulation of ubiquitinated proteins. Another group has demonstrated that *PSMB8* mutations result in the accumulation of oxidised proteins (Arima et al. 2011). One possibility is that the accumulation of oxidised proteins causes stress on cells, leading them to activate p38. This scenario is supported by one report which showed that PSMB8-deficient mice accumulated oxidised proteins, enhancing their susceptibility for experimental autoimmune



Fig. 5.3 Current model of immunoproteasomes and autoinflammation

encephalomyelitis (Seifert et al. 2010). The second question is whether IL-6 is really a causative factor for autoinflammation in JASL patients. We and other groups have demonstrated that IL-6 is highly upregulated in JASL patients (Kitamura et al. 2011). Furthermore, NF-kB was not activated and IL-1 $\beta$  production was not increased in JASL cells. In order to determine if IL-6 is really involved in the autoinflammation caused by mutant PSMB8, mice harboring the same PSMB8 mutation should be established and analysed. The third question is whether the mutation in PSMB8 affects the function of conventional proteasomes. Our analysis revealed a reduction in total proteasome activity in JASL cells. Another group demonstrated that the *PSMB8* mutation reduced not only chymotrypsin-like activity but also caspase- and trypsin-like activity (Arima et al. 2011), although PSMB8 regulates only chymotrypsin-like activity. These findings suggest that the JASL *PSMB8* mutation affects the assembly of immunoproteasomes, resulting in reduced total immunoproteasome activity. PSMB8-deficient mice do not develop spontaneous inflammatory diseases, although these mice show increased susceptibility for EAE (Seifert et al. 2010). Therefore, the inflammatory responses exhibited by JASL patients might represent mixed phenotypes due to reduced activity of both immunoproteasomes and standard proteasomes. This possibility should be clarified by analysing mice with the same PSMB8 mutation found in JASL patients.

### 5.8 PSMB8 Controls Adipocyte Differentiation

Lipodystrophy is one of the characteristic symptoms of JASL. The lipodystrophy in JASL is evident in peripheral areas, such as distal arms and legs (Kitamura et al. 2011). A previous study suggested that lipodystrophy was associated with panniculitis-induced adipocyte death (Garg et al. 2010). However, the lipodystrophy in JASL is progressive despite treatment with steroids that are otherwise effective for inhibiting nodular erythema and high fever. This finding suggests that the progressive lipodystrophy is associated with an adipocyte-intrinsic dysfunction rather than a secondary effect of inflammation, such as panniculitis. Therefore, our group investigated whether PSMB8 impacted preadipocyte differentiation. We found that reduced expression of PSMB8 inhibited the differentiation of mouse and human preadipocytes to mature adipocytes in vitro. Furthermore, injection of siRNA against *PSMB8* into the back skin of mice reduced fat volume. These data suggest that PSMB8 expressed in the adipocyte cell lineage controls subcutaneous fat volume. In contrast, PSMB8-deficient mice do not exhibit lipodystrophy, although a detailed analysis of fat volume has not been conducted. In addition, we cannot completely eliminate the possibility that lipodystrophy in JASL patients at least partly results from inflammation of adipocyte tissue. Therefore, it will be necessary to perform a detailed analysis of fat metabolism in PSMB8-deficient mice (Fehling et al. 1994) and also establish JASL knock-in mice in future studies.

### 5.9 Future Prospects

Inflammatory responses are caused by a variety of signaling cascades, and immunoproteasome dysfunction is a recent addition to a growing list of inflammatory pathways. The biological importance of immunoproteasomes is evident from the autoinflammatory symptoms of humans with inherited deficiencies in *PSMB8*, but its clinical implications are still largely unexplored. There are many issues to be analysed. Specific areas of study include the following.

- 1. Analyse in detail the mechanism(s) by which mutations in *PSMB8* affect the biochemical and structural basis of PSMB8 incorporation into the immunoproteasome.
- 2. Develop animal models that more faithfully recapitulate human diseases and inflammation.
- 3. Analyse genetic variations of immunoproteasome components and their correlation with immunoproteasome function and inflammation.
- 4. Determine which stimuli elicit IL-6 secretion.
- 5. Determine the effects of oxidised protein accumulation and how that impacts the pathogenesis of autoinflammatory diseases by altering immunoproteasome function.

- 6. Study the conflicting roles of the immunoproteasome and how they impact inflammatory responses. One intriguing finding is that patients with *PSMB8* mutations show distinct cytokine signatures; for example, some show elevated IL-6 levels whereas other patients exhibit high IFN- $\gamma$  production. These differences might be associated with the manner in which different *PSMB8* mutations impact PSMB8 function and immunoproteasome incorporation. In any case, it will be essential to analyse which cytokines are crucial for the development of inflammatory responses in JASL by establishing animal models.
- 7. Study how dysfunctions of JASL inflammation cause chronic inflammation in the joints. This is related to the preceding point. The identification of the molecular networks that cause inflammation in JASL would also reveal how dysfunctions of immunoproteasomes cause chronic inflammation with fibrotic regions.
- 8. Finally, an important question of biological and practical importance is whether immunoproteasome dysfunction is involved in the pathogenesis of common inflammatory diseases. Do immunoproteasomes have distinct and special roles in inhibiting inflammatory responses compared with conventional proteasomes? If the roles of the immunoproteasome in inflammatory responses and adipocyte differentiation generalise to other diseases, then modulating immunoproteasome function could be an attractive target for drug treatments aimed at inhibiting inflammation or fat accumulation. Delineating the remaining questions will broaden our understanding of the physiological roles of immunoproteasomes as well as inflammatory responses, and could open novel ways to develop new therapeutic approaches to treat inflammatory diseases.

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## Chapter 6 Structural Biology of Chronic Inflammation-Associated Signalling Pathways: Toward Structure-Guided Drug Development

Reiya Taniguchi and Osamu Nureki

Abstract Chronic inflammation is involved in the provocation, progression, and exacerbation of various diseases, such as cancer and fibrosis. To treat these diseases, it is essential to understand their pathogenesis and develop therapeutic compounds that target the underlying signalling pathways. Recent technical advances in structural biology have enabled us to determine the high-resolution structures of important drug-target proteins, including membrane receptors and transporters, and thus structure-guided drug development has now become a realistic approach. In this chapter, we focus on two clinically important pathways involved in diseases associated with chronic inflammation, and summarise the recent results of our structural studies. In the first section, we focus on the signalling mediated by the lipid mediator lysophosphatidic acid, and discuss the structural findings for its producing enzyme and receptor. In the second section, we focus on the anaemia associated with inflammatory conditions, and discuss the structural insights into the iron exporter ferroportin, which plays a key role in the anaemia of chronic inflammation. These examples provide ideas for using structural information as the basis for pharmacological analyses.

**Keywords** X-ray crystallography • Structural biology • Lysophosphatidic acid • Autotaxin • G protein-coupled receptors • Iron homeostasis • Anaemia of chronic inflammation • Ferroportin • Hepcidin

R. Taniguchi

O. Nureki (🖂)

AMED-CREST, Tokyo 113-0032, Japan e-mail: nureki@bs.s.u-tokyo.ac.jp

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Department of Biological Sciences, Graduate School of Science, The University of Tokyo, 2-11-16 Yayoi, Bunkyo-ku, Tokyo 113-0032, Japan

Department of Biological Sciences, Graduate School of Science, The University of Tokyo, 2-11-16 Yayoi, Bunkyo-ku, Tokyo 113-0032, Japan

## 6.1 ATX-LPA Signalling

#### 6.1.1 LPA Signalling

Various types of lipid mediators, such as prostaglandins and leukotrienes, provoke inflammatory responses. In addition to these well-known inflammatory lipid mediators, lysophospholipids are also involved in inflammatory responses (Gräler 2002). Lysophospholipids are membrane-derived phospholipids that lack one of the two acyl chains, and typically contain either a glycerol or a sphingosine backbone. Lysophosphatidic acid (LPA) is a representative lysolipid, and together with LPA-producing enzymes and LPA receptors, its biological functions have been extensively investigated.

LPA contains a phosphate group and a single acyl chain, with various lengths and saturations, attached to a glycerol backbone. LPA is mainly produced by the lysophospholipase autotaxin which secreted (ATX), hydrolyses lysophosphatidylcholine (LPC) into LPA and choline (Stracke et al. 1992; Umezu-Goto et al. 2002; Tokumura et al. 2002). The synthesised LPA subsequently activates the six G protein-coupled receptors (GPCRs) LPA<sub>1</sub>-LPA<sub>6</sub>, leading to various cellular responses, such as cell proliferation and migration (van Meeteren and Moolenaar 2007; Noguchi et al. 2009; Kihara et al. 2014). Accordingly, high expression levels of the ATX or LPA receptor promote tumour growth and metastasis, and are often associated with various types of cancers (Barbayianni et al. 2015). Moreover, the high expression or activity levels of ATX are also observed in nonmalignant tissues, such as fibrotic lungs (Oikonomou et al. 2012), chronically inflamed livers (Watanabe et al. 2007), and the venules of chronically inflamed tissues (Nakasaki et al. 2008), clearly indicating the involvement of ATX-LPA signalling in chronic diseases and immune responses. Thus, the ATX and LPA receptors are regarded as promising targets for drug development, and extensive studies have been conducted in order to generate clinically applicable compounds targeting these proteins.

Recently, our group and the Perrakis group reported the crystal structures of mouse and rat ATX (Nishimasu et al. 2011; Hausmann et al. 2011), which greatly advanced the understanding of the LPA production mechanism and facilitated the structure-guided development of ATX inhibitors. The crystal structures of LPA<sub>1</sub> were also reported last year (Chrencik et al. 2015), providing insights into its ligand recognition mechanism. The structural information will pave the way toward drug development targeting the ATX and LPA receptors.

### 6.1.2 ATX Structures

The structures of ATX were determined in the free form, the LPA-bound forms, and the inhibitor HA155-bound form (Nishimasu et al. 2011; Hausmann et al. 2011).

The ATX structure consists of four domains: the central catalytic domain, the nuclease-like domain and two somatomedin B-like domains, SMB1 and SMB2 (Fig. 6.1a). The nuclease-like domain tightly interacts with the central catalytic domain by disulfide bonds and salt bridges. These interactions are further reinforced by the Asn-linked glycan located at the domain interface. Our molecular dynamics simulations suggested that the lack of these tight interactions would destabilise the overall architecture of ATX and disrupt its catalytic activity (Koyama et al. 2012). On the opposite side of the nuclease-like domain, SMB1 and SMB2 interact with the central catalytic domain, further stabilising the overall structure. The central catalytic domain contains the active site of ATX, which consists of the catalytic threonine and two Zn<sup>2+</sup> ions coordinated by conserved polar residues. The LPA-bound structures of ATX revealed that the head group of the LPA binds to the active site, whereas its acyl chain is accommodated in a deep hydrophobic pocket in close proximity to the catalytic center (Nishimasu et al. 2011) (Fig. 6.1b). The structure also showed that the ATX inhibitor HA155 occupies the same hydrophobic pocket (Hausmann et al. 2011), providing mechanistic insights into the ATX inhibition by chemical compounds. SMB1 interacts with the central catalytic domain near the active site, and a hydrophobic channel is formed between these two domains (Fig. 6.1a, b). This channel is connected to the entrance of the hydrophobic pocket, and residual electron density was observed within it (Nishimasu et al. 2011; Hausmann et al. 2011). Based on the structural information and the mass spectrometry analyses, we hypothesised that this hydrophobic channel contains the LPA molecule and is involved in the process of releasing the reaction product (Nishimasu et al. 2011). The other SMB domain, SMB2, probably mediates the interaction between ATX and the cell-surface integrin (Hausmann et al. 2011; Fulkerson et al. 2011), which anchors ATX on the cell membrane and thereby localises the LPA production near the cell surface.

The structural information about ATX revealed the detailed architecture of its active site, and accelerated the structure-guided optimisation of ATX inhibitors. Soon after the ATX structure determination, the Perrakis and Ovaa groups conducted the structure-based modification of the inhibitor HA155, and explored the structure-activity relationships of various HA155-derivatives (Albers et al. 2011). We also performed the screening and the structure-guided optimisation of novel ATX inhibitors. By determining the ATX structures in complexes with hit compounds or their derivatives, we successfully developed the potent ATX-selective inhibitor, 3-BoA, which exhibited inhibitory activity both in vitro and in vivo (Kawaguchi et al. 2013). These examples clearly demonstrate the power of structural information for drug development.

#### 6.1.3 LPA Receptor Structure

Because ATX is the LPA-producing enzyme, the inhibition of ATX leads to the reduction of the overall LPA level, resulting in the nonselective inhibition of the



**Fig. 6.1** (a) Surface representation of the mouse ATX structure in the free form (PDB ID: 3NKM). (b) Cut-away surface representation of the mouse ATX active site with bound 14:0-LPA (PDB ID: 3NKN)

downstream LPA signalling pathways. Thus, in order to treat specific diseases associated with particular downstream signalling, selective inhibition of certain LPA receptor subtypes would be advantageous. The LPA receptors are classified into two distinct families, the Edg family (LPA<sub>1</sub>–LPA<sub>3</sub>) and the non-Edg family (LPA<sub>4</sub>–LPA<sub>6</sub>), and they share high sequence similarity within each family (Kihara et al. 2014). This indicates that the receptors belonging to the same family also share similar ligand-binding sites, which makes the discovery of subtype-specific compounds extremely challenging. To overcome this difficulty, extensive structural studies of the LPA receptors have been conducted, and last year, the crystal structures of LPA<sub>1</sub> were reported by the Chun, Stevens, and Hanson groups (Chrencik et al. 2015).

LPA<sub>1</sub> is folded into the canonical seven transmembrane bundle, as observed in other GPCR structures, and the antagonist tool compound (ONO-9780307) is bound within the central ligand-binding pocket (Chrencik et al. 2015; Fig. 6.2a). This tool compound consists of a polar head and a bulky, relatively hydrophobic body. In the structure, the polar head of ONO-9780307 faces toward the extracellular side, and the hydrophobic body occupies the pocket formed by transmembrane helices 3, 4, 5, and 6. The N-terminus of the receptor forms a helical cap over the ligand-binding pocket, and provides polar residues for interactions with the head group of ONO-9780307 (Fig. 6.2b). Based on the ligand recognition mechanism observed in the structure, the authors further optimised the chemical structure of ONO-9780307, and validated their design strategy by determining the cocrystal structures (Chrencik et al. 2015). Their work exemplifies the utility of GPCR structures for the modification of tool compounds.

Prior to the structure determination of LPA<sub>1</sub>, the crystal structure of sphingosine 1-phosphate (S1P) receptor 1 (S1P<sub>1</sub>), another lysolipid GPCR, was reported in 2012 (Hanson et al. 2012; Fig. 6.2c, d). The endogenous ligand of this receptor, S1P, consists of a sphingosine backbone and a phosphate group, and has a quite similar molecular structure to LPA (Fig. 6.2e). Moreover, S1P<sub>1</sub> and four other S1P



**Fig. 6.2** (a) Overall structure of LPA<sub>1</sub> with the ligand ONO-9780307 (PDB ID: 4Z34). (b) Closeup view of the ligand-binding pocket of LPA<sub>1</sub>. (c) Overall structure of S1P<sub>1</sub> with the ligand ML056 (PDB ID: 3V2Y). (d) Close-up view of the ligand-binding pocket of S1P<sub>1</sub>. (e) Chemical structures of the ligands of LPA<sub>1</sub> and S1P<sub>1</sub>. In (a) and (c), the seven TMs are numbered, and the loop between TM6 and TM7 is omitted for clarity. In (b) and (d), TM4 and TM5 are omitted for clarity. In (b), (d), and (e), the residues interacting with the polar heads of the ligands are highlighted in *yellow*, while those interacting with the ligand backbones are highlighted in *blue* 

receptors (S1P<sub>2</sub>–S1P<sub>5</sub>) are all classified into the Edg family, and share sequence homology with the Edg family LPA receptors (LPA<sub>1</sub>–LPA<sub>3</sub>) (Kihara et al. 2014). This indicates that their overall structures and ligand recognition mechanisms are also comparable, in addition to the similarity in their endogenous ligands. Indeed, the structures of LPA<sub>1</sub> and S1P<sub>1</sub> superimposed well, with the conserved residues located at similar positions. The structure of S1P<sub>1</sub> was determined in complex with the S1P-mimicking antagonist ML056 (Hanson et al. 2012), and thus provided insights into the S1P-recognition mechanism.

Based on the functional and structural similarities between LPA<sub>1</sub> and S1P<sub>1</sub>, we can rationally predict the LPA-recognition mechanism by LPA<sub>1</sub> from the putative S1P-binding mode deduced from the S1P<sub>1</sub> structure. In the structure of S1P<sub>1</sub>, the phosphate head group of its ligand ML056 is recognised by Tyr29, Lys34, and Arg120, which are highly conserved among the Edg family receptors (Hanson et al. 2012; Fig. 6.2d, e). In the LPA<sub>1</sub> structure, the corresponding residues (Tyr34, Lys39, and Arg120 in the S1P<sub>1</sub> structure, and similarly interact with the polar head of ONO-9780307 (Chrencik et al. 2015; Fig. 6.2b, e). These

observations indicated that LPA<sub>1</sub> recognises the phosphate group of LPA using these conserved residues, and explained the previous mutagenesis analyses (Parrill et al. 2000). The S1P<sub>1</sub> structure also revealed that the amine group of the ML056 head group, which mimics the amine group of the S1P sphingosine backbone, is recognised by Asn101 and Glu121 (Hanson et al. 2012; Fig. 6.2d, e). These two residues are strictly conserved among the five S1P receptors, whereas they are replaced with leucine and glutamine in LPA<sub>1</sub>–LPA<sub>3</sub> (Leu105 and Gln125 in LPA<sub>1</sub>). Inasmuch as the LPA molecule possesses a hydroxyl group at the position corresponding to the S1P amine group (Fig. 6.2e), these two residues of the Edg family LPA receptors, leucine and glutamine, are likely to determine the ligand selectivity of the receptors, as previously suggested by mutagenesis studies (Wang et al. 2001).

The structures of LPA<sub>1</sub> and S1P<sub>1</sub> provided insights into the recognition mechanism of the LPA head group. In addition, the determined LPA<sub>1</sub> structure can be utilised for various other analyses, including mutagenesis experiments, ligand docking simulations, and modelling of LPA<sub>2</sub> and LPA<sub>3</sub>. Thus, the LPA<sub>1</sub> structure will not only advance the pharmacological analyses targeting LPA signalling, but also establish the basic framework for understanding the molecular mechanisms of the Edg family LPA receptors. However, many important questions still remain to be addressed. First, how the ligand-binding pockets of the LPA receptors accommodate diverse LPA molecules with different acyl-chain lengths and saturations is unclear. It is also unknown whether the lipidic ligands enter the ligand-binding pocket from the extracellular side or from within the lipid bilayer, as suggested from the S1P<sub>1</sub> structure (Hanson et al. 2012). Finally, the means by which the receptors distinguish LPA molecules from other similar lysolipids, such as LPCs and lysophosphatidylserines, remain elusive. To answer these questions, further biochemical and structural analyses are necessary.

## 6.1.4 Perspective

The ATX and LPA receptors are both highly important therapeutic targets for diverse diseases, such as cancer and fibrosis. Now that the ATX and LPA<sub>1</sub> structures have been reported, structure-guided drug development has become a realistic strategy. The structural studies of ATX and LPA<sub>1</sub> discussed in this chapter are examples of successful structure-guided compound optimisation, and similar approaches can be employed for other drug-target proteins to develop and modify potent chemical compounds. To pharmacologically target the LPA signalling pathway, many proteins remain to be studied, such as the non-Edg family LPA receptors (LPA<sub>4</sub>–LPA<sub>6</sub>), another LPA-producing enzyme, PA-PLA<sub>1</sub> $\alpha$  (Sonoda et al. 2002; Inoue et al. 2011), and the LPA-degradation enzyme lipid-phosphate phosphatases (LPPs) (Pyne et al. 2004). The structural analyses of these proteins are also awaited to further clarify the LPA signalling mechanism and increase the possibility of drug discovery.

## 6.2 Hepcidin-Ferroportin Axis

#### 6.2.1 Inflammation and Iron Restriction

Pathogen infection is the major cause of inflammation. The detection of pathogenderived molecules by immune cells leads to the production and secretion of inflammatory mediators, such as cytokines and lipid mediators, which provoke various inflammatory responses in order to limit the expansion of pathogens, accelerate the removal of pathogens, and promote the healing of damaged tissues. Among the various reactions induced under inflammatory conditions, iron restriction is one of the simple but effective procedures for limiting the growth of pathogens. Iron is an essential nutrient for pathogenic microorganisms, and during infection, they obtain iron from their surrounding environment to proliferate and expand. Thus, the reduction of available iron in the host serum can prevent pathogen expansion, and thus facilitate the rapid removal of invading pathogens. Indeed, iron supplementation to the infected host leads to the exacerbation of pathogen infection (Holbein 1981; Schaible et al. 2002), indicating the significance of iron restriction for the host defense system.

When inflammation becomes chronic, however, this iron restriction system is constitutively activated without infection, leading to the continuous reduction of the serum iron level. Therefore, chronic inflammation and associated diseases, such as cancer and autoimmune disorders, cause the anaemic condition called 'anaemia of chronic inflammation (ACI)'. To treat ACI, the identification and the resolution of the underlying diseases are straightforward approaches. However, in some cases the causes of the inflammatory conditions of ACI patients are unclear, and thus the treatment targeting ACI itself is still necessary. The molecular basis of ACI had long been elusive, but recent studies focusing on iron homeostasis regulation identified two key players in ACI, ferroportin and hepcidin.

### 6.2.2 Ferroportin and Hepcidin

Ferroportin (FPN) is the sole iron exporter in vertebrates, and it releases  $Fe^{2+}$  from the cytoplasm into serum, thereby maintaining the proper cytoplasmic and serum iron levels (McKie et al. 2000; Donovan et al. 2000; Abboud and Haile 2000). FPN is expressed on the basolateral membrane of duodenal enterocytes, and supplies the dietary absorbed iron to the blood. Thus, the level of FPN activity is a major determinant of the amount of iron absorption. In addition, macrophages and hepatocytes also express high levels of FPN (Donovan et al. 2000; Abboud and Haile 2000; Yang et al. 2002). In macrophages, FPN exports the iron retrieved from senescent erythrocytes, whereas in hepatocytes, it exports the iron stored in the liver. The activity of FPN is downregulated by hepcidin, a small peptide hormone produced in the liver (Nemeth et al. 2004b; Jordan et al. 2009). Hepcidin directly binds to FPN, and induces ubiquitination-mediated internalisation and subsequent lysosomal degradation of FPN (Nemeth et al. 2004b; Qiao et al. 2012; Ross et al. 2012). This reaction reduces the cell surface expression level of FPN, thereby limiting the iron export rate. Hepcidin production is induced by interleukin-6 (IL-6), an inflammatory cytokine (Nemeth et al. 2004a). Therefore, during pathogen infection, the IL-6-induced increase of the hepcidin level leads to the subsequent transient inhibition of FPN-mediated iron export, resulting in the reduction of the serum iron level. In the case of ACI, the continuous downregulation of FPN by the high hepcidin expression level reduces the dietary iron absorption and the iron recycling, leading to a drop in the available iron level.

Thus, the hepcidin-FPN axis is an attractive therapeutic target for ACI, and the understanding of the molecular basis of this pathway is required in order to rationally develop potent chemical compounds. However, because no structural information about FPN or its homologous transporters had been reported, the overall architecture and the transport mechanism of FPN remained unclear. In addition, the hepcidin-binding site was predicted to be located on the extracellular loop of FPN (Wallace et al. 2010; Le Gac et al. 2013; Bonaccorsi di Patti et al. 2014; Callebaut et al. 2014), but this model could not explain the mechanism of the hepcidininduced ubiquitination of FPN. Therefore, the detailed molecular mechanisms of the FPN-mediated iron export and the FPN downregulation by hepcidin remained largely unknown, which hampered the rational development of FPN-targeting therapeutic compounds.

#### 6.2.3 BbFPN Structure and Insights into FPN Function

In 2015, our group determined the structures of a bacterial homologue of FPN from *Bdellovibrio bacteriovorus* (BbFPN; Bonaccorsi di Patti et al. 2015), in both the outward- and inward-facing states (Taniguchi et al. 2015; Fig. 6.3a). The structure consists of 12 transmembrane helices (TM), which can be divided into two 6-TM bundles related by twofold pseudosymmetry. A large hydrophilic cavity is formed between these two 6-TM bundles. This cavity opens toward the extracellular side in the outward-facing structure, and it opens toward the cytoplasmic side in the inward-facing structure. A conserved metal-binding site is present at the center of the N-terminal 6-TM bundle. Our biochemical analyses indicated that this site functions as the substrate transition metal-binding site (Taniguchi et al. 2015). Based on these results, we propose that FPN undergoes a conformational transition between the outward- and inward-facing states, during which the substrate is captured by the substrate-binding site in the N-terminal bundle and translocated across the cell membrane.

The hepcidin-binding residues of eukaryotic FPNs were previously identified by mutagenesis studies (Fernandes et al. 2009; Preza et al. 2011; Mayr et al. 2011;



**Fig. 6.3** (a) Cut-away surface representations of the outward-facing structure (PDB ID: 5AYN) and the inward-facing structure (PDB ID: 5AYO) of BbFPN, with semitransparent cross-sections. The metal-binding sites are highlighted, with TM1 and TM6, which form the metal-binding sites, shown as cylinders. (b) Surface representations of the hFPN homology model and the NMR structure of hepcidin (PDB ID: 2KEF), shown on the same scale. The cross-section view of the hFPN homology model is shown, with the hepcidin-binding residues highlighted as cpk models. In the hepcidin structure, the important region for the interaction with FPN is highlighted in *yellow* 

Callebaut et al. 2014). Inasmuch as these residues are not conserved in BbFPN, we constructed a homology model of human FPN, based on the BbFPN outward-facing structure, to predict the hepcidin-binding site. In the homology model, the five hepcidin-binding residues are all located within the central cavity or at its entrance (Taniguchi et al. 2015; Fig. 6.3b), although they were predicted to be located on the extracellular loop. Taken together with the similarity between the sizes of hepcidin and the FPN central cavity, we propose that hepcidin enters the central cavity and induces a conformational change, which subsequently alters the architecture of the intracellular side of FPN and triggers its ubiquitination-dependent internalisation. Furthermore, this binding mode also suggests that hepcidin binding directly arrests the conformational transition between the outward- and inward-facing states. In addition to the internalisation-dependent downregulation, hepcidin binding may directly inhibit the transport activity of FPN, which is beneficial for the rapid regulation of the serum iron level.

The detailed structural information of BbFPN provided novel insights and ideas regarding the molecular basis of the hepcidin-FPN axis, such as the transport cycle of FPN and the approximate position of the hepcidin-binding site. These findings will substantially advance our understanding of this pathway, and provide the framework for further biochemical and structural analyses of these molecules. In addition, our structural analyses also suggested that the inside of the FPN central cavity can be utilised as the potential target region for drug development, which may open up new possibilities for the design of FPN-targeting therapeutic compounds for the treatment of ACI.

## 6.2.4 Perspective

In addition to membrane receptors and enzymes, membrane transporters have recently emerged as attractive drug targets. However, the structure determination of eukaryotic membrane transporters is still a challenging task, and the analysis of bacterial homologue proteins is currently the prevailing approach. Our structural analysis of BbFPN can be regarded as a successful example of such studies. Nevertheless, detailed information about the hepcidin-binding mode to FPN is definitely needed, and the structure determination of eukaryotic FPN in complex with hepcidin remains as an important challenge.

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## **Chapter 7 Lipid Signals in the Resolution of Inflammation**

#### Makoto Arita

**Abstract** Acute inflammation is an indispensable host defence mechanism, and its proper resolution is important in keeping tissue homeostasis. The resolution of inflammation involves active cellular and molecular programs that enable inflamed tissues to return to homeostasis. The mechanisms by which acute inflammation is resolved are of interest, and research in recent years has uncovered novel endogenous mechanisms that involve eosinophils, macrophages, and their lipid signals in controlling acute inflammation and resolution.

**Keywords** Omega-3 fatty acid • Resolution of inflammation • Lipidomics • Lipid mediator • Lipoxygenase • LC-MS/MS

## 7.1 Introduction

Inflammation is an indispensable host response to injury and infection, but excessive and uncontrolled inflammation is a key component of pathogenesis in many chronic diseases (Nathan and Ding 2010). Acute inflammation consists of two main phases: the initiation phase and the resolution phase. The initiation phase is characterised by the rapid infiltration of polymorphonuclear neutrophils (PMNs) and is followed by the infiltration of monocytes that mature into macrophages. PMNs constitute the first line of immune defence by migrating to sites of injury, and neutralising invading microorganisms or noxious materials by phagocytosis. The resolution phase is characterised by PMN apoptosis and their subsequent uptake by macrophages, which then emigrate rapidly from the inflamed site to the draining lymph nodes (DLNs). In healthy individuals, acute inflammation is self-limiting and has an active termination program (Serhan 2014). Therefore, the identification

M. Arita (🖂)

Laboratory for Metabolomics, RIKEN Center for Integrative Medical Sciences, 1-7-22 Suehiro-cho, Tsurumi-ku, Yokohama, Kanagawa 230-0045, Japan

Graduate School of Medical Life Science, Yokohama City University, 1-7-29 Suehiro-cho, Tsurumi-ku, Yokohama, Kanagawa 230-0045, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: makoto.arita@riken.jp

of such endogenous anti-inflammatory and/or proresolution mechanisms is of wide interest.

## 7.2 Lipid Mediators That Regulate Inflammation

Polyunsaturated fatty acids (PUFAs) exhibit a range of biological effects, many of which are mediated through the formation and actions of lipid mediators (Serhan 2014; Funk 2001; Arita 2012) (Fig. 7.1). PUFA-derived lipid mediators are formed by enzymatic oxidation through the action of cyclooxygenases (COX), lipoxygenases (LOX), and cytochrome P450 monooxygenases (CYP). When cells are stimulated, arachidonic acid is released from membrane phospholipids, and unesterified arachidonic acid is immediately metabolised by fatty acid oxygenases such as COX, LOX, or CYP. The COX pathway leads to the formation of prostaglandins (PG) and thromboxanes (TX), the LOX pathway leads to leukotrienes (LT), lipoxins (LX), and the CYP pathway leads to hydroxy-eicosatetraenoic acids (HETE) and epoxy-eicosatrienoic acids (EET). COX-derived PGs are involved in pro-inflammatory processes, and are responsible for the hallmark signs of inflammation such as heat, redness, swelling, and pain. 5-LOX-derived LTs are involved



Fig. 7.1 Lipid mediators that regulate inflammatory responses. In addition to arachidonic acidderived mediators such as COX-derived PGs, 5-LOX-derived LTs, 12/15-LOX-derived mediators are present such as LXs and n-3 PUFA-derived resolvins and protectins. These mediators are coordinately produced in the course of inflammation and resolution, and play important roles in regulating inflammatory responses

in proinflammatory processes such as PMN infiltration and increased vascular permeability. In contrast, 5- and 12/15-LOX-derived  $LXA_4$  counterregulates the proinflammatory processes and may be important in the resolution of inflammation. The epoxygenation of arachidonic acid by CYP generates EETs, which may have roles in regulation of smooth muscle cells and vascular tone.

Eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA) are n-3 PUFAs that are widely held to be anti-inflammatory and tissue-protective. Dietary supplementation of n-3 PUFA has beneficial effects in many inflammatory disorders including cardiovascular disease, arthritis, colitis, and metabolic syndrome (Simopoulos 2002). Also, elevation of tissue n-3 PUFA levels in fatty acid n-3 desaturase (*fat-1*) transgenic mice is protective against inflammatory disease models (Kang 2007). N-3 PUFAs are thought to act via several mechanisms. One role is to serve as an alternative substrate for COX or 5-LOX, resulting in the production of less potent products. Another role is to be converted to endogenous anti-inflammatory and protective mediators. For example, 12/15-LOX-derived DHA product protectin D1 (PD1) displays bioactivity to promote the resolution of inflammatory debris (Schwab et al. 2007). Thus, endogenous cellular sources of PD1 and other proresolving mediators are of interest.

## 7.3 Lipid Mediator Class Switching in Acute Inflammation and Resolution

Mediator lipidomics concerns the simultaneous and quantitative analysis of bioactive lipid mediators and their metabolic pathways. A comprehensive analysis of fatty acid-derived mediators can be achieved by liquid chromatography tandem mass spectrometry (LC-MS/MS). We developed a comprehensive LC-MS/MS method that can simultaneously detect and quantify more than 500 of fatty acid metabolites (Arita 2012).

The inflammatory response is characterised by a sequential release of mediators and the recruitment of different types of inflammatory cells. Acute inflammation is characterised by oedema formation and the initial recruitment of PMNs followed by the recruitment of monocytes that differentiate into macrophages (Fig. 7.2a). Lipid mediators such as PGs and LTs, and cytokines and chemokines are temporarily induced and coordinately regulate vascular permeability and leukocyte infiltration in the initiation phase. Also, the resolution of inflammation involves the formation of resolution mediators that promote efficient clearance of inflammatory cells and exudates by lymphatic drainage into DLNs, and restoration of the inflamed tissue to homeostasis (Nathan and Ding 2010; Serhan 2014). To better understand the molecular and cellular mechanisms underlying the coordinated processes of



Fig. 7.2 Lipid signals in the resolution of acute inflammation. (a) Schematic sequence of events in the course of acute inflammation and resolution. 5-LOX and COX products were present in the initiation phase, and 12/15-LOX products were increased during the resolution phase. (b) Eosin-ophils are recruited in the early resolution phase, where they locally produce resolution mediators via a 12/15-LOX-initiated biosynthetic route, and play roles leading to the efficient clearance of inflammatory leukocytes and exudates

inflammation and resolution, we applied LC-MS/MS-based mediator lipidomics to the self-resolving acute inflammation model, namely mouse zymosan-induced peritonitis (Yamada et al. 2011). Temporal and quantitative differences in the lipid mediator profiles were observed in the course of acute inflammation and resolution (Fig. 7.2a). The maximum levels of 5-LOX-derived mediators such as LTB<sub>4</sub> were observed in the initiation phase, and they subsequently subsided during the resolution phase. In contrast, formation of 12/15-LOX-derived mediators such as PD1 was increased later in the resolution phase. A similar pattern of lipid mediator class switching was observed in other inflammatory models (Bannenberg et al. 2005; Blaho et al. 2009). Because 5-LOX-derived LTs are involved in proinflammatory processes such as oedema formation and PMN infiltration, we questioned whether 12/15-LOX-derived mediators are involved in the resolution processes. To address this point, we first determined which cell type in the resolution phase express 12/15-LOX. As a result, we found that eosinophil express 12/15-LOX and was the major cell type producing 12/15-LOX-derived mediators such as PD1 in the resolution phase. In vivo depletion of eosinophils by the administration of antiinterleukin (IL)-5 antibody significantly reduced 12/15-LOX activity and its products in resolving exudates, and caused a resolution defect characterised by impaired DLN drainage along with sustained numbers of PMNs in inflamed tissues. Furthermore, the resolution deficit caused by eosinophil depletion was rescued by eosinophil restoration, and eosinophils deficient in 12/15-LOX were unable to rescue the resolution phenotype. These results clearly indicated that eosinophils are recruited to the inflamed site, where they locally produce lipid mediators such as PD1 via a 12/15-LOX-initiated biosynthetic route, and play roles in promoting resolution of acute inflammation (Fig. 7.2b) (Yamada et al. 2011).

# 7.4 Lipid Signals from Eosinophils Facilitate the Resolution of Inflammation

Macrophages play important roles in the resolution of inflammation by efficient clearance of apoptotic cells and/or tissue debris from inflamed sites, and facilitate tissue return to homeostasis. To further determine the molecular mechanisms by which eosinophils promote resolution of inflammation, we questioned whether 12/15-LOX-expressing eosinophils affect these macrophage functions (Tani et al. 2014).

We first examined gene expression patterns of resolution-phase macrophages by microarray analysis in wild-type and eosinophil-deficient  $\Delta$ dblGATA mice. Microarray analysis revealed that a series of macrophage gene expressions was affected by the presence of eosinophils in the resolution phase. Among those, a chemokine CXCL13 was the gene on which eosinophils exert the most significant effect in a 12/15-LOX-dependent manner. CXCL13 expression in  $\Delta$ dblGATA mice macrophages was only 20–30 % of that in wild-type mice, but could be restored by the adoptive transfer of wild-type eosinophils. In contrast, adoptive transfer of 12/15-LOX-deficient eosinophils did not restore the CXCL13 expression. CXCL13 was found to be expressed in a subset of macrophages present in the resolution phase, and mice treated with anti-CXCL13 antibody displayed a resolution deficit accompanied by a significant increase in PMN number that was similar to eosinophil-deficient  $\Delta$ dblGATA mice.

CXCL13 is a functional chemokine in the early development of peripheral lymph nodes. It is well characterised that positive feedback regulation of stromal CXCL13 by lymphotoxin released by CXCR5-expressing lymphoid tissue-inducer (LTi) cells is essential for early lymph node initiation (Mebius 2003). Inasmuch as activation and expansion of the DLNs are involved in promoting inflammatory cell clearance from the periphery, we next questioned whether 12/15-LOX-expressing

eosinophils are involved in the regulation of inflamed DLNs in the resolution phase. Increase in DLN size and cellularity was significantly reduced in eosinophildeficient or 12/15-LOX-deficient mice. Analysis of cellular composition of the inflamed DLNs revealed that eosinophil was present in inflamed DLNs, and its depletion reduced numbers of each cellular component, including lymphocytes, macrophages, PMNs, and DCs. Adoptive transfer of eosinophils or administration of recombinant CXCL13 dramatically restored the inflamed DLN hypertrophy with increased number of cells. These results demonstrated an that 12/15-LOX-expressing eosinophils control the resolution of inflammation and DLN hypertrophy through the CXCL13 pathway in mice (Fig. 7.2b) (Tani et al. 2014). Inflamed DLN expansion may be considered as a passive process after lymphatic drainage, but it is possible that eosinophils actively promote DLN remodeling and expansion that could in turn drive clearance of inflammatory components from inflammatory sites. Further study is needed to understand molecular mechanisms in more detail, but these results clearly demonstrate an active mechanism of endogenous cellular and molecular cascade that coordinately brings acute inflammation to a close.

## 7.5 Perspectives

Eosinophils are thought to be involved primarily in the innate immune response against parasitic infection and also in the allergic responses (Rothenberg and Hogan 2006). Recently, eosinophils were shown to increase the ratio of alternatively activated macrophages in adipose tissue, which in turn improved glucose metabolism (Wu et al. 2011). In addition to these activities, here we propose an active role for eosinophils as protective effector cells that contribute to the resolution of inflammation via the 12/15-LOX-mediated lipid signals. This is a novel endogenous cellular and molecular mechanism that coordinately brings acute inflammation to a close. The question remains of how eosinophils appear in a timely manner in the resolution phase. Also it is of interest whether resolution phase eosinophils represent a distinct functional subset.

In addition to eosinophils, 12/15-LOX is expressed in tissue-resident macroepithelial cells (Kühn and phages and airway O'Donnell 2006). 12/15-LOX-expressing macrophages are present in naïve mouse peritoneal cavity and also in the resolution phase of acute peritonitis, and display competent phagocytotic activity that potentially contributes to tissue homeostasis by efficient clearance of apoptotic cells (Uderhardt et al. 2012). Also 12/15-LOX expression levels can be upregulated by Th2 cytokines such as IL-4 and IL-13 (Kühn and O'Donnell 2006). Cells expressing 12/15-LOX might be involved in regulating inflammatory tones by locally producing lipid mediators. This is consistent with the findings of impaired host defence and inflammatory phenotypes in 12/15-LOX-deficient mice in several disease models (Morita et al. 2013; Gronert et al. 2005; Merched et al. 2008; Krönke et al. 2009), and potentially related to clinical finding of dysregulated 12/15-LOX activity in eosinophils from patients with severe asthma (Miyata et al. 2013). Identification of such endogenous anti-inflammatory and/or proresolving lipid signals (Serhan 2014; Isobe et al. 2012; Kubota et al. 2014; Yokokura et al. 2014; Endo et al. 2014) and elucidation of their molecular mechanisms could lead to the development of resolution agonist-based therapeutics when sustained inflammation and impaired resolution are suspected as key components of pathogenesis.

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## **Chapter 8 Regulation of Chronic Inflammation by Control of Macrophage Activation and Polarization**

#### Junko Sasaki and Takehiko Sasaki

Abstract Heterogeneity and plasticity are hallmarks of macrophages. Activated macrophages can be classified into two groups: M1 (or classically activated) and M2 (or alternatively activated) macrophages. Various pathological conditions have been associated with dynamic changes in the phenotypes of macrophages. Impaired polarization of macrophages affects the progression of several diseases including inflammation, tumour progression, and obesity-associated insulin resistance. Identification of the activation status of macrophages and regulations of macrophage polarization from M1 to M2 or vice versa, might serve as novel diagnostic or therapeutic approaches for various diseases. Many signalling molecules, transcription factors, epigenetic modifiers, and miRNAs (microRNAs) are known to regulate macrophage polarization and activation. Here we show that phosphatase and PTEN (phosphatase and tensin homologue deleted on chromosome 10) and SHIP1 (Src homology 2-containing inositol phosphatase 1), which dephosphorylate second messenger PtdIns(3,4,5)P<sub>3</sub> (phosphatidylinositol 3,4,5-trisphosphate), can also act as regulators of macrophage polarization and activation. We compared  $Pten^{-/-}$ macrophages and  $Ship 1^{-/-}$  macrophages under similar conditions in vitro and in vivo and found that they regulate macrophage polarization by different mechanisms.

**Keywords** Macrophage • Activation • Polarization • PtdIns $(3,4,5)P_3$  • PTEN • SHIP1

Research Center for Biosignal, Akita University, Akita, Japan

J. Sasaki (🖂)

Department of Medical Biology, Akita University Graduate School of Medicine, Akita, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: sasakij@med.akita-u.ac.jp

T. Sasaki

Department of Medical Biology, Akita University Graduate School of Medicine, Akita, Japan

# 8.1 Molecular Mechanisms of Macrophage Activation and Polarization

Macrophages are key players in innate immunity and act as critical effectors and regulators of inflammation. These cells also play a role in homeostasis, including tissue remodelling and metabolism (Murray and Wynn 2011; Gordon et al. 2014). Many studies reveal that heterogeneity and plasticity are hallmarks of macrophages (Mosser and Edwards 2008; Sica and Mantovani 2012). Macrophages can be differentially activated by the microenvironment to initiate specific and varied functions. Activated macrophages can be broadly classified into two groups: M1 macrophages (or classically- activated macrophages) induced by IFN (interferon)-y and TLR (Toll-like receptor) ligands, and M2 macrophages (or alternativelyactivated macrophages) induced by IL (interleukin)-4/IL-13, IL-10, glucocorticoid hormones, molecules released from apoptotic cells, and immune complexes. Macrophage polarization, thus, mirrors the Th1/Th2 polarization of T cells (Gordon 2003; Mantovani et al. 2002). M1 macrophages produce high levels of proinflammatory cytokines and chemokines such as TNF (tumour necrosis factor)- $\alpha$ , IL-12, IL-6, and CXCL1–3, as well as nitric oxide and reactive oxygen intermediates. They also exhibit potent microbicidal and tumouricidal properties and promote Th1 responses. In contrast, the phenotype of M2 macrophages is characterised by the upregulation of MR (mannose receptor), CD163, YM1 (a member of the chitinase family) and FIZZ1 (found in inflammatory zone 1). M2 macrophages produce anti-inflammatory cytokines such as IL-10 and produce ornithine and polyamines through the arginase pathway. M2 macrophages are involved in parasite containment and promote Th2 responses, tissue remodelling, and tumour progression (Mantovani et al. 2013; Sica et al. 2008). In pathological conditions, dynamic changes in the phenotype of macrophages have been observed. For example, M1 macrophages initiate and sustain inflammation, and M2 macrophages are associated with resolution or smoldering chronic inflammation (Martinez et al. 2009). Impaired polarization of macrophages accelerates inflammation, tumour progression, and obesity-associated insulin resistance. Moreover, several studies indicate that identification of the activation status of macrophages and regulation of macrophage polarization from M1 to M2, or vice versa, might serve as novel diagnostic or therapeutic approaches for various diseases (Jinushi and Komohara 2015; Lee et al. 2011; Waidmann et al. 2013).

Macrophage polarization and activation are regulated by a network of signalling molecules, transcription factors, epigenetic modifiers, and miRNAs (Fig. 8.1). IRF (interferon regulatory factor)/STAT (signal transducer and activator of transcription) signalling is a pivotal pathway in modulating M1/M2 polarization of macrophages (Lawrence and Natoli 2011). Activation of IRF/STAT signalling pathways by IFNs and TLR ligands skews macrophage function toward the M1 phenotype (via STAT1), wheras activation of IRF/STAT (via STAT3/STAT6) signalling pathways by IL-4, IL-13, and IL-10 skews macrophage function toward the M2 phenotype. TLR4 signalling stimulated by LPS (lipopolysaccharide) leads to



**Fig. 8.1** Signalling pathways of macrophage polarization. This figure illustrates major pathways and molecules of macrophage polarization and shows the crosstalk between M1 and M2 macrophage polarization. The balance between STAT1 and STAT3/6 activation tightly controls macrophage polarization. In addition, activation of p50 and p65 NF-kB heterodimers, IRF3, IRF5, HIF-1 $\alpha$ , HDAC3, and miR155 promotes M1 macrophage polarization. In contrast, activation of IRF4, PPAR $\delta$ , p50 NF-kB homodimer, HIF-2 $\alpha$ , JMJD3, and miR223 promotes M2 macrophage polarization

NF-kB (nuclear factor kappa B) p65/p50 heterodimer activation, which induces the expressions of many proinflammatory genes associated with M1 macrophages (Bonizzi and Karin 2004). In contrast, NF-kB p50 homodimer activation by IL-10 induces M2 polarization (Porta et al. 2009). Nuclear receptors PPAR $\gamma$  and PPAR $\delta$  also induce M2 macrophage polarization (Chawla 2010). Hypoxia-induced factors HIF-1 $\alpha$  and HIF-2 $\alpha$ , epigenetic modifiers HDAC3 (histone deacetylase 3) and JMJD3 (Jumonji domain containing-3), and miRNAs miR155 and miR223 differentially induce M1 and M2 macrophage polarization (Takeda et al. 2010; Neele et al. 2015; Liu and Abraham 2013). In addition to these known regulators of macrophage polarization, we propose that PtdIns(3,4,5)P<sub>3</sub> phosphatases PTEN and SHIP1 regulate M1/M2 macrophage polarization.

## 8.2 Phosphoinositides

Phosphoinositides are membrane-signalling lipids that regulate numerous biological functions, including proliferation, survival, adhesion, migration, and vesicular trafficking, by recruiting effector proteins to cellular membranes (Di Paolo and De Camilli 2006; Sasaki et al. 2007; Stephens et al. 2008; Vanhaesebroeck et al. 2010). Phosphoinositides can be phosphorylated at the 3, 4, or 5 position of the hydroxyl residue of the inositol ring to generate seven phosphatidylinositol derivatives. The interconversion of seven phosphoinositide species is controlled by 19 phosphoinositide kinases and 28 phosphoinositide phosphatases, which are critical for phosphoinositide isomer-specific localisation and function (Sasaki et al. 2009). Among the seven phosphoinositides species, PtdIns(3,4,5)P<sub>3</sub> is reported to be involved in macrophage activation (Ooms et al. 2009). PtdIns  $(3,4,5)P_3$  is an important second messenger and is generated from PtdIns $(4,5)P_2$ (phosphatidylinositol 4,5-bisphosphate) by PI3K (phosphoinositide 3-kinase) in response to a diverse array of extracellular stimuli (Cantley 2002). PtdIns(3,4,5) P<sub>3</sub> recruits signalling proteins, which contain lipid-binding domains such as the PH (pleckstrin homology) domain, to the cytoplasmic leaflet of the plasma membrane, leading to their activation (Itoh and Takenawa 2002). These downstream effectors include serine/threonine kinase, Akt (also known as protein kinase B), and its activating kinase PDK1 (phosphoinositide-dependent kinase 1), Btk (Bruton's tyrosine kinase), Rac-GEFs (guanine-nucleotide-exchange factors) such as P-Rex1 and P-Rex2, and ARF (ADP-ribosylation factor) -GEFs such as ARNO (ARF nucleotide-binding-site operator), and Grp1 (general receptor for phosphoinositides 1) (Wymann and Pirola 1998; Cullen and Venkateswarlu 1999; Franke 2008; Welch 2015). PtdIns(3,4,5)P<sub>3</sub> levels are tightly controlled by regulating its synthesis by PI3K and its degradation by phosphoinositide phosphatases. In macrophages, two major PtdIns(3,4,5)P<sub>3</sub> phosphatases, PTEN and SHIP1 control the PtdIns(3,4,5)P<sub>3</sub> levels (Kozicky and Sly 2015).

### 8.2.1 PTEN

PTEN hydrolyses the 3-position phosphate bound of PtdIns(3,4,5)P<sub>3</sub>, forming PtdIns(4,5)P<sub>2</sub>, thereby terminating the PI3K signalling pathway (Maehama 2007). PTEN is one of the most frequently mutated, deleted, or silenced tumour suppressor genes in human cancers. The most important function of PTEN is to regulate the key cell survival kinase Akt negatively, and thus the loss of PTEN protein function leads to enhanced cell proliferation, survival, migration, and cell size. Homozygous deletion of PTEN causes embryonic lethality. Studies in cell-type–specific PTEN knockout mice revealed the importance of PTEN not only for tumourigenesis but also for the maintenance of a variety of physiological functions to prevent conditions such as autoimmune diseases, heart failure, nonalcoholic steatohepatitis,

insulin hypersensitivity, respiratory distress syndrome, and macroencephaly (Suzuki et al. 2008). Recently, several reports revealed that PTEN contributes to cell fate decisions of macrophages. Macrophages from myeloid-specific  $Pten^{-/-}$  mice (LysMcrePTEN<sup>flox/flox</sup>) are hyporesponsive to LPS, as shown by decreased proinflammatory cytokines and NO (nitric oxide) release (Cao et al. 2004; Kuroda et al. 2008). In addition, several M2 markers, such as Arg1, are upregulated in  $Pten^{-/-}$  macrophages through increased expression and activation of the transcription factors C/EBP $\beta$  (CCAAT/enhancer-binding protein  $\beta$ ) and STAT3, suggesting  $Pten^{-/-}$  macrophages are M2 macrophages (Sahin et al. 2014). Moreover, PTEN deficiency in myeloid cells protects the liver from ischemia reperfusion injury by facilitating M2 macrophage polarization (Yue et al. 2014).

### 8.2.2 SHIP1

SHIP1 hydrolyses the 5-position phosphate bound of PtdIns(3,4,5)P<sub>3</sub> forming PtdIns $(3,4)P_2$  (phosphatidylinositol 3,4-bisphosphate) and is exclusively expressed in haematopoietic cells (Krystal 2000). This protein contains an N-terminal SH2 (Src homology 2) domain and a C-terminal proline-rich region that allows it to interact with a variety of proteins such as kinases, adaptor proteins, and membrane receptors with ITIM (immunoreceptor tyrosine inhibitory motif) or ITAM (immunoreceptor tyrosine activation motif) sequences (Fig. 8.2a).  $Shipl^{-/-}$  mice are viable but have shortened lifespans (Helgason et al. 1998; Liu et al. 1999). This failure of  $Ship1^{-/-}$  mice to thrive is likely because of progressive myeloid cell infiltration in the lungs and intestine, systemic mast cell hyperplasia, and elevated numbers of hyperresorptive osteoclasts. Polarization and activation of Ship1<sup>-/-</sup> macrophages are complicated. Bone-marrow-derived  $Ship1^{-/-}$  macrophages produce higher levels of proinflammatory cytokines and NO in response to LPS compared to wild-type macrophages (Sly et al. 2009). Thus,  $Shipl^{-/-}$  macrophages differentiated in vitro have characteristics of M1 macrophages. In agreement with this finding, it is reported that SHIP1 negatively regulates proinflammatory IL-12 secretion in response to Francisella novicida and Trichuris (Hadidi et al. 2012). In contrast, macrophages from  $Shipl^{-/-}$  mice are hyporesponsive to LPS, secrete lower levels of TNF-a, IL-6, and IL-12, and express high levels of Arg1 and Ym1 compared to wild-type macrophages (Rauh et al. 2005). Thus,  $Ship1^{-/-}$ macrophages differentiated in situ are M2 macrophages. Interpretations of these discrepancies require further studies, but the fact that macrophages in  $Shipl^{-/-}$ mice only develop an M2 phenotype after 5 weeks of age suggests that this M2 skewing may be due to other factors such as TGF (transforming growth factor)- $\beta$  or IL-4 from basophils (Kuroda et al. 2011).


**Fig. 8.2** Structure and signalling of PTEN and SHIP1. (**a**) The PTEN protein consists of a phosphatase domain, a lipid-binding C2 domain, proteolytic-recognition PEST (proline, glutamine, serine, threonine) sequence, and a PDZ domain, which is thought to be important for its stability and protein–protein interactions. Most PTEN mutations found in cancer patients are located in the phosphatase domain. The SHIP1 protein contains an SH2 domain, a phosphatase domain, a C2 domain, an NPXY (asparagine, proline, any amino acid, tyrosine) motif, and a proline-rich region. SHIP1 can interact with a variety of proteins through the SH2 domain, NPXY motif, and proline-rich region. (**b**) Activated cell-surface receptors recruit and activate PI3K, which generates PtdIns $(3,4,5)P_3$  from PtdIns $(4,5)P_2$  transiently. Then PtdIns $(3,4,5)P_3$  recruits and activates PH domain-containing proteins including Akt, PDK1, Btk, P-Rex, and ARNO, leading to a variety of cellular processes. PtdIns  $(3,4,5)P_3$  or PtdIns $(4,5)P_2$  respectively

# 8.3 Role of PtdIns(3,4,5)P<sub>3</sub> Phosphatases in Macrophage Polarization

#### 8.3.1 Bone-Marrow–Derived Macrophages

Although many research articles show the role of PTEN or SHIP1 in macrophage polarization, no reports clarify their function concurrently in vitro and in vivo. Moreover, macrophages from mice are known to be affected by factors from many other cells. To clarify the roles of PTEN and SHIP1 in macrophage polarization and activation, we compared bone-marrow-derived *Pten<sup>-/-</sup>* macrophages and *Ship1<sup>-/-</sup>* macrophages under the same conditions. Macrophages can be differentiated from

bone marrow cells by M-CSF. As shown in Fig. 8.3,  $Pten^{-/-}$  macrophages from CD11bcrePTEN<sup>flox/flox</sup> (PTEN cKO) mice express higher levels of Arg1, MR, CD163, and IL-10, but not Ym1 or IL-12 compared to control macrophages. Moreover, TNF- $\alpha$  production in response to LPS is less in *Pten<sup>-/-</sup>* macrophages, which is a characteristic of M2 macrophages. On the other hand,  $Ship1^{-/-}$  macrophages express a higher level of Arg1 as do  $Pten^{-/-}$  macrophages, but lower levels of Ym1, MR, and CD163 compared to control macrophages, suggesting that Ship1<sup>-/-</sup> macrophages have the M1/M2 mixed phenotype. Because IL-12 expression and LPS-induced TNF- $\alpha$  production are enhanced in *Ship1<sup>-/-</sup>* macrophages, *Ship1<sup>-/-</sup>* macrophages are M1 macrophages functionally. As it has been shown that TGF-B skews  $Ship 1^{-/-}$  macrophages toward the M2 phenotype in vitro (Rauh et al. 2005), we analysed expression levels of M2 macrophage markers and cytokine profile of  $Ship 1^{-/-}$ macrophages differentiated by M-CSF and TGF- $\beta$ . TGF- $\beta$ -induced Ship1<sup>-/-</sup> macrophages expressed higher level of Arg1 and Ym1, but MR and CD163 expression remained low compared to control macrophages. Moreover, their cytokine profiles are IL-10<sup>low</sup> IL-12<sup>high</sup>, suggesting that TGF- $\beta$ -induced Ship1<sup>-/-</sup> macrophages have M1 phenotype (Fig. 8.3c). Collectively, our results indicate that PTEN and SHIP1 control macrophage polarization and activation by different mechanisms.

#### 8.3.2 Obesity and Metabolism

Chronic low-grade inflammation in adipose tissue contributes to obesity-related insulin resistance and diabetes (Hotamisligil 2006). In obesity, adipocytes can release proinflammatory mediators such as CCL2 (CC chemokine ligand 2), TNF- $\alpha$ , or free fatty acids, which induce the recruitment and activation of adipose tissue macrophages (ATMs). The activated ATMs secrete proinflammatory cyto-kines including TNF- $\alpha$ , IL-6, and IL-1 $\beta$ , which block the insulin-sensitising action of adiponectin and leptin, causing insulin resistance (Hill et al. 2014).

ATMs from obese mice show the M1 phenotype with upregulation of TNF- $\alpha$  and iNOS (inducible NO synthase). In contrast, ATMs from lean mice exhibit the M2 phenotype, which may control lipolysis and tissue homeostasis by preventing inflammation and promoting insulin sensitivity (Lumeng et al. 2007). Mice with macrophage-selective genetic inactivation of several molecules, which polarise their ATMs toward M2 macrophages, show inhibition of obesity-induced insulin resistance. Thus obesity-induced insulin resistance can be modulated by polarization and activation of ATMs (Odegaard and Chawla 2011).

To compare the in vivo functions of PTEN and SHIP1 in macrophage polarization, we generated CD11bcreSHIP1<sup>flox/flox</sup> (SHIP1 cKO) mice Because conventional SHIP1 KO mice die within 3 or 4 months. Male mice were fed a high fat diet (HFD) for 28–32 weeks, starting at 4 weeks of age. We isolated the stromal vascular fraction (SVF) of epididymal adipose tissue from PTEN cKO mice, SHIP1 cKO mice, and their littermate controls and quantified the proportions of M1 (F4/80<sup>+</sup> CD11b<sup>+</sup>CD11c<sup>+</sup>) and M2 (F4/80<sup>+</sup>CD11b<sup>+</sup>CD11c<sup>-</sup>) macrophages by FACS



**Fig. 8.3** Effects of PTEN or SHIP1 on macrophage polarization. (a) RT-PCR of M1 and M2 macrophage markers. Total RNA was prepared from bone-marrow–derived macrophages differentiated in the presence of M-CSF for 7 days. The data show relative expression levels of Arg1, Ym1, MR, CD163, IL-12, and IL-10 compared to control. (b) Bone-marrow–derived macrophages were stimulated with LPS (100 ng/mL) for 4 h. TNF-α production was measured by ELISA. (c) Expressions of Arg1, Ym1, MR, CD163, IL-12, and IL-10, relative to control) in *Ship1<sup>-/-</sup>* macrophages differentiated with M-CSF or M-CSF plus TGF-β

analyses. As shown in Fig. 8.4a, adipose tissue of PTEN cKO mice showed a significantly reduced proportion of  $F4/80^+CD11b^+CD11c^+$  macrophages and an increased proportion of  $F4/80^+CD11b^+CD11c^-$  cells compared to adipose tissue of control mice. In contrast, analysis of ATM polarization in SVF showed a higher proportion of  $F4/80^+CD11b^+CD11c^+$  cells and a lower proportion of  $F4/80^+CD11b^+CD11c^+$  cells and a lower proportion of  $F4/80^+CD11b^+CD11c^-$  cells in SHIP1 cKO mice than in the control mice. These data suggest that  $Pten^{-/-}$  ATMs are polarised toward M2 macrophages, whereas  $Ship1^{-/-}$  ATMs are polarised toward M1 macrophages on glucose homeostasis and insulin sensitivity. PTEN cKO mice were significantly more glucose tolerant and insulin sensitive compared to control mice. In contrast, SHIP1 cKO mice were more glucose intolerant and resistant to the glucose-lowering effects of exogenous insulin. These data suggest that PTEN and SHIP1 differentially regulate ATM polarization in vivo and modulate obesity-induced insulin resistance.



**Fig. 8.4** Effects of deletion of PTEN or SHIP1 on glucose homeostasis in macrophages. (a) The stromal vascular fractions (SVFs) were prepared from epididymal adipose tissues of male mice fed a high fat diet. The isolated SVFs were stained for F4/80, CD11b, and CD11c and analysed by FACS. The number of F4/80<sup>+</sup>CD11b<sup>+</sup>CD11c<sup>+</sup> and F4/80<sup>+</sup>CD11b<sup>+</sup>CD11c<sup>-</sup> cells is indicated as percentage of total SVFs. (b) Oral glucose tolerance test. Obese mice were fasted for 16 h before intraperitoneal injection of glucose (2 g/kg). (c) Insulin (0.75U/kg) tolerance test in male mice after 30 weeks of feeding on high fat diet. Data are expressed as mean  $\pm$  SEM; \*p < 0.05, \*\*p < 0.01, when compared to control counterpart,; n = 7–10 per group

#### 8.4 Concluding remarks

Considerable progress has been made in understanding molecular networks that define polarised activation of macrophages. We present the PtdIns(3,4,5)P<sub>3</sub> phosphatases PTEN and SHIP1 as regulators of M1/M2 macrophage polarization. In vitro and in vivo studies show that PTEN regulates M2 macrophage polarization, whereas SHIP1 regulates M1 polarization. However, this raises the question of how PTEN and SHIP1 differentially regulate macrophage polarization. Their enzymatic products PtdIns(4,5)P<sub>2</sub> or PtdIns(3,4)P<sub>2</sub> might govern macrophage polarization, or the quantity or quality of PtdIns(3,4,5)P<sub>3</sub> might be different in PTEN- and SHIP1-deficient macrophages. Indeed it has been recently reported that there are many PtdIns(3,4,5)P<sub>3</sub> species depending on different acyl chains, although little is known about the physiological consequences of each PtdIns(3,4,5)P<sub>3</sub> molecule (Clark et al. 2011). Further studies are needed to clarify molecular mechanisms underlying macrophage activation by PTEN and SHIP1.

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### Chapter 9 Clarification of the Molecular Mechanisms That Negatively Regulate Inflammatory Responses

#### Takashi Tanaka

**Abstract** Inflammation is an important host defence mechanism to fight against invading microbial pathogens. However, an excess of these responses can damage normal tissues and may cause autoimmune or allergic diseases. To prevent the onset of these diseases, inflammatory responses should be tightly regulated by the negative regulatory system. Dysfunction of this negative regulatory system is suggested to be the cause of these diseases, but little is known about the details. Our research goal is to identify key regulators of inflammation-related signal transduction pathways, and to clarify the molecular mechanisms for regulating inflammatory responses. We recently found that LIM domain-containing proteins constitute a new family of negative regulators of inflammatory responses. In this review, we summarise a series of our studies about the role of PDLIM2 (PDZ and LIM-domain protein-2) and related LIM proteins in the negative regulation of inflammatory responses. These studies should contribute to the development of new therapeutic tools to control the exaggerated inflammation seen in certain human diseases.

**Keywords** NF-κB • STAT4 • STAT3 • HSP70 • Inflammation • PDLIM2 • PDLIM1 • LIM protein • Ubiquitination • Ubiquitin E3 ligase

#### 9.1 Introduction

Our immune system is classified into two distinct systems, innate and adaptive immunity. Innate immune cells, such as macrophages and dendritic cells, detect invading microbial pathogens by their sensors (e.g. Toll-like receptors [TLR]). The transcription factor nuclear factor  $\kappa B$  (NF- $\kappa B$ ) has a central role in TLR-mediated

T. Tanaka (🖂)

Laboratory for Inflammatory Regulation, RIKEN Center for Integrative Medical Sciences (IMS), RIKEN Research Center for Allergy and Immunology (RCAI), Yokohama, Kanagawa 230-0045, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: takashi.tanaka@riken.jp

activation of these cells. In resting state, heterodimer of p65/p50 subunits of NF-kB is sequestrated in the cytoplasm by binding to  $I\kappa B\alpha$ , an inhibitor of NF- $\kappa B$ . A signal through TLR leads to the proteasomal degradation of  $I\kappa B\alpha$ , which enables NF- $\kappa B$ to enter the nucleus and induce the expressions of a series of inflammation-related genes, including those encoding proinflammatory cytokines, such as interleukin-6 (IL-6) and IL-12 (Hayden and Ghosh 2004). These inflammatory responses then activate naïve T-helper (Th) lymphocytes, through the activation of transcription factors, signal transducer and activator of transcription 4 (STAT4), STAT6, and STAT3, and direct these cells differentiated into distinct subsets, such as Th1, Th2, and Th17 cells, respectively. These Th subsets are characterised by the cytokines they produce and the immunological functions they induce. Th1 and Th2 cells produce IFN- $\gamma$  and IL-4, respectively, whereas Th17 cells secrete IL-17, IL-21, and IL-22 as effector cytokines. These effector T-cell subsets are important for fighting against different types of microbial infections, which are viral or intracellular bacteria infections by Th1, helminth infections by Th2, and fungal or extracellular bacteria infections by Th17 cells. On the other hand, these inflammatory responses should be terminated at appropriate time points. Otherwise, excessive and prolonged activation of these inflammation-related signal transduction pathways can cause massive damage to the host and result in human autoimmune or allergic diseases, including rheumatoid arthritis, inflammatory bowel diseases, and asthma (Gregersen and Olsson 2009). Indeed, NF-KB and STATs transcription factors are highly activated at sites of inflammation in these human diseases. Constitutive activation of NF-kB and STATs may contribute to the pathogenesis of these diseases. Thus a system to regulate the inflammatory signaling negatively is essential for preventing immunopathology. We therefore attempted to identify key molecules for regulating inflammatory signaling, and clarify the molecular mechanisms of how inflammatory responses are negatively regulated. We then examined if dysfunction of these regulators may cause autoimmune or allergic diseases. Eventually we expect that these molecules can be the targets for new treatments of these diseases.

#### 9.1.1 Identification of PDLIM2 as a Ubiquitin E3 Ligase Negatively Regulating STAT Signaling

Among T-cell subsets described above, Th17 cells were newly identified about 10 years ago. Before the discovery of Th17 cells, CD4<sup>+</sup>T cells were classically divided into two major populations, Th1 and Th2 cells (Wurster et al. 2000). It was thought that the polarised Th1 response causes inflammatory diseases, whereas excessive Th2 responses lead to allergic diseases, which led us to focus on Th1 cells to isolate negative regulators of inflammatory responses. In cytokine signaling, signals from several cytokines converge into the activation of one common STAT transcription factor, and then diverge by inducing a wide variety of immunological

responses, suggesting that controlling the activity of the STAT transcription factor will be an efficient strategy for regulating inflammatory responses. We therefore sought to identify the molecules that interact with STAT4, which is essential for Th1 cell development, and affect STAT4 activity using a yeast two-hybrid screening. We isolated several STAT4-interacting molecules and one of them is a novel PDZ-LIM protein named SLIM (STAT4-interacting LIM protein) (Tanaka et al. 2005). SLIM has now been renamed PDLIM2 (PDZ and LIM domain protein-2), according to the international HUGO nomenclature. PDLIM2 is a nuclear protein that contains one PDZ domain at its N-terminus and one LIM domain at its C-terminus, and belongs to a large family of LIM proteins. The terms PDZ and LIM are derived from three proteins in which these domains were first identified; Postsynaptic density 65-Discs large-Zonula occludens-1 and Lin-1-Isl-1-Mec-3, respectively. Inasmuch as both PDZ and LIM domains are involved in protein-protein interactions, previous studies of these molecules have been focused on the identification of their binding partners, and have also shown that they are implicated in the regulation of various biological processes, including cytoskeleton organisation and oncogenesis (te Velthuis and Bagowski 2007). However, their functions in the immune system remain completely unknown. Based on the structural similarity of the LIM domain to the RING finger domain, a well-known domain responsible for ubiquitin E3 ligase, we found that the LIM domain has ubiquitin E3 ligase activity, and demonstrated for the first time that LIM domaincontaining protein functions as an ubiquitin E3 ligase (Tanaka et al. 2005).

Several factors were reported to associate with STAT proteins and suppress their activity. For example, protein tyrosine phosphatases, such as PTP1B, TC-PTP, directly dephosphorylate activated STATs, whereas the protein inhibitor of the activated STAT (PIAS) family of proteins blocks STAT-DNA binding. In addition, the ubiquitin/proteasome-dependent degradation of STAT was also shown to regulate its activity. Ubiquitin is a small protein composed of 76 amino acids. The proteins tagged with the chain of ubiquitin molecules are degraded by 26S proteasome, a huge complex of proteolytic enzymes. Ubiquitin conjugation requires three enzymes: ubiquitin-activating enzyme (E1), ubiquitin-conjugating enzyme (E2), and ubiquitin ligase (E3). E3 selectively binds to both target proteins and E2, and promotes the transfer of the polyubiquitin chain from E2 to the substrates, implying that E3 confers the specificity to the reactions. However, the responsible endogenous ubiquitin E3 ligase for STAT proteins has not yet been identified. We found that PDLIM2 functions as a nuclear ubiquitin E3 ligase that targets STAT4. Upon IL-12 stimulation of T cells, STAT4 is tyrosinephosphorylated and translocated into the nucleus to induce target gene expression. PDLIM2 interacts with phosphorylated STAT4 in the nucleus, and then promotes polyubiquitination and subsequent proteasome-dependent degradation of STAT4 proteins, thereby terminating STAT4-mediated signaling (Tanaka et al. 2005) (Fig. 9.1). Overexpression of PDLIM2 leads to impaired STAT4 activity due to reduced STAT4 protein levels. Consistently, PDLIM2-deficient mice revealed increased STAT4 expression and enhanced IFNy production by Th1 cells. These



**Fig. 9.1** PDLIM2 and PTPBL-mediated negative regulation of STAT4 signaling. PDLIM2 binds to STAT4 and promotes its ubiquitin/proteasome-dependent degradation, downregulating STAT4 activity. PTPBL also binds to STAT4 and enhances its dephosphorylation. PDLIM2 functions as an adaptor that bridges STAT4 and PTPBL, facilitating STAT4 dephosphorylation

data clearly showed that PDLIM2 negatively regulates STAT4-mediated Th1 cell differentiation as a ubiquitin E3 ligase.

#### 9.1.2 Essential Role of a Tyrosine Phosphatase PTP-BL in the Negative Regulation of STAT Signaling

In addition, PDLIM2 also enhances dephosphorylation of activated STAT4, thereby downregulating STAT4 activity. PDLIM2 does not have a putative phosphatase domain, indicating the involvement of tyrosine phosphatases associated with PDLIM2. By a yeast two-hybrid screening, we isolated another STAT4-interacting molecule, protein tyrosine phopsphatase basophil-like (PTP-BL) (Nakahira et al. 2007). PTP-BL dephosphorylates STAT4, resulting in attenuation of STAT4-mediated gene activation, whereas PTP-BL deficiency leads to increased and prolonged activation of STAT4 and Th1 cell differentiation. Interestingly, PDLIM2 associated with both STAT4 and PTP-BL and enhanced STAT4 dephosphorylation synergistically with PTPBL, indicating that PDLIM2 functions as an adaptor that bridges STAT4 and PTPBL (our unpublished data).

#### 9.1.3 PDLIM2 Inhibits Granulomatous Inflammation by Inhibiting STAT3-Mediated Th17 Cell Differentiation

Similar to Th1 cells, Th17 cells strongly induce inflammatory responses and eliminate microbial pathogens. However, Th17 cells are thought to be highly pathogenic, because an excess of Th17-mediated responses may cause autoimmune diseases. We have further shown that PDLIM2 also negatively regulated Th17 cell differentiation by acting as a nuclear ubiquitin E3 ligase targeting STAT3, the transcription factor critical for the commitment to the Th17 lineage (Tanaka et al. 2011). PDLIM2 promoted polyubiquitination and proteasome-dependent degradation of STAT3, thereby disrupting STAT3-mediated gene activation. PDLIM2 deficiency resulted in a larger amount of nuclear STAT3 and enhanced Th17 cell differentiation. To examine the role of PDLIM2 in the regulation of in vivo Th17 development, we used a mouse model for granulomatous inflammation. Granuloma formation is an important host defence mechanism against intracellular bacteria. However, uncontrolled granulomatous responses cause tissue damage and impair normal organ function in several human autoimmune diseases, such as Crohn's disease and sarcoidosis. Recent studies have implicated that exaggerated Th17 cell-mediated responses may contribute to the development of granulomatous inflammation. Propionibacterium acnes, a Gram-positive intracellular bacterium, is suspected to be the cause of human sarcoidosis. We demonstrated that PDLIM2-deficient mice revealed exacerbated P. acnes-induced liver granuloma formation, in which Th17 cells are suggested to have a pathogenic role. Consistently, P. acnes-induced in vivo and in vitro Th17 differentiation was augmented in PDLIM2-deficient mice. These findings delineate an essential role of PDLIM2 in negatively regulating Th17-mediated inflammatory responses and provide a potential therapeutic target for autoimmune diseases.

#### 9.1.4 PDLIM2 Terminates NF-*k*B-Mediated Inflammatory Responses

As described above, in the innate immune cells, NF- $\kappa$ B is a key transcription factor for their activation (Kaisho and Tanaka 2008). On the other hand, NF- $\kappa$ B activation should be tightly regulated to prevent excessive inflammatory responses. One wellestablished mechanism of NF- $\kappa$ B suppression is the export of nuclear NF- $\kappa$ B by I $\kappa$ B $\alpha$ . I $\kappa$ B $\alpha$  is resynthesised in an NF- $\kappa$ B–dependent manner, enters the nucleus, and transports nuclear NF- $\kappa$ B back out to the cytoplasm, thereby downregulating NF- $\kappa$ B–mediated transcription. Recent studies disclosed another mechanism to shut down NF- $\kappa$ B activation by degrading nuclear NF- $\kappa$ B through the ubiquitin/ proteasome-dependent pathway. However, ubiquitin E3 ligase that interacts with and polyubiquitinates nuclear NF- $\kappa$ B was not identified. Moreover, the fate of polyubiquitinated NF- $\kappa$ B in the nucleus was unclear.



Fig. 9.2 PDLIM2-mediated termination of NF- $\kappa$ B activation. PDLIM2 binds to the p65 subunit of NF- $\kappa$ B and promotes p65 polyubiquitination in the nucleus. PDLIM2 then targets p65 into discrete intranuclear compartments, called PML nuclear bodies, where p65 is ultimately degraded by proteasome

We have demonstrated that PDLIM2 negatively regulates NF-kB activity, acting as a nuclear ubiquitin E3 ligase targeting the p65 subunit of NF-KB (Tanaka et al. 2007). PDLIM2 binds to p65 and promotes p65 polyubiquitination through its LIM domain. In addition, PDLIM2 targeted p65 to discrete intranuclear compartments, called PML nuclear bodies. PML nuclear bodies are nuclear proteolytic centers where proteasomal components are concentrated. Polyubiquitinated p65 is ultimately degraded by proteasome in these compartments (Fig. 9.2). A PDLIM2 mutant lacking the PDZ domain fails to target p65 to nuclear bodies and the PDZ domain of PDLIM2 can bind to  $\alpha$ -actinin, an actin binding protein, suggesting that PDLIM2 mediates intranuclear trafficking of p65 in an  $\alpha$ -actinin/actin-dependent manner through its PDZ domain. Consistently, PDLIM2 deficiency in dendritic cells results in larger amounts of nuclear p65, defective p65 ubiquitination, and augmented production of proinflammatory cytokines in response to TLR ligands, such as LPS and CpG-DNA. Moreover, targeted disruption of the PDLIM2 gene in mice enhances the sensitivity to the lethal effect of high-dose LPS treatment. These findings delineate a novel pathway by which PDLIM2 terminates NF-KB activation through intranuclear sequestration and subsequent degradation.

#### 9.1.5 Molecular Mechanisms to Regulate PDLIM2-Mediated Termination of NF-κB Activation

As described above, PDLIM2 is essential for negatively regulating NF- $\kappa$ B-mediated inflammatory responses. However, it is still unclear how PDLIM2 activity

itself is controlled in this signaling pathway. In dendritic cells, PDLIM2 suppresses NF- $\kappa$ B activation in the late phase but not in the early phase of TLR stimulation, although PDLIM2 is expressed even without TLR stimulation (Tanaka et al. 2014). These findings suggest the presence of the molecules that can bind to PDLIM2 and regulate PDLIM2 activity. We therefore attempted to identify PDLIM2-associated proteins by transfecting 293 T cells with Flag-tagged PDLIM2 and immunoprecipitation with anti-Flag antibody, followed by protein sequencing of PDLIM2associated proteins by liquid chromatography-mass spectrometry. We then obtained 165 candidate proteins, including chaperone proteins (Heat shock protein [HSP] 70, HSP90, HSP40, HSP60, HSP105, and Agp-1), cytoskeletal proteins  $(\alpha$ -actinin), and the components of ubiquitin ligase complex (our unpublished data). Among these candidate proteins identified in this assay, HSP70 was found to be the most reliable PDLIM2-associated protein based on False Discovery Rate (FDR) analysis. We therefore focused on the potential role of HSP70 in the regulation of PDLIM2-mediated NF-kB inactivation, and found that HSP70 is critical for PDLIM2 to degrade p65 and suppress NF-κB-mediated inflammatory responses. In dendritic cells, HSP70 expression is detected only in the cytoplasm without stimulation, but is translocated to the nucleus, where PDLIM2 is located, after 3-5 h of innate stimuli. HSP70 then associated with both PDLIM2 and BAG-1, a proteasome-associated protein, and promoted the transport of NF-KB-PDLIM2 complex to the proteasome, thereby facilitating p65 degradation (Fig. 9.3) (Tanaka et al. 2014). Consistently, either HSP70 deficiency or BAG-1 knockdown in dendritic cells resulted in enhanced nuclear p65 protein levels and thus enhanced



Fig. 9.3 HSP70 is essential for PDLIM2-mediated degradation of NF- $\kappa$ B p65. HSP70 binds to PDLIM2 and facilitates delivery of the NF- $\kappa$ B-PDLIM2 complex to the proteasome cooperatively with BAG1

production of proinflammatory cytokines in response to TLR stimuli. These data delineate a novel role of HSP70 in the regulation of NF- $\kappa$ B signaling, bridging between ubiquitin E3 ligase and the proteasome.

HSP70 is originally a chaperone protein that binds to and promotes the correct folding of substrate proteins by preventing their aggregation. However, if the folding is unsuccessful, HSP70 promotes ubiquitin/proteasome-dependent degradation of misfolded substrate proteins to protect cells from an accumulation of toxic aggregates of aberrant proteins (Amm et al. 2013). We speculate that HSP70 may also function as a molecular chaperone in the process of p65 degradation. Inasmuch as infection and inflammation can be environmental stresses that cause damage to proteins, a substantial amount of NF-kB, accumulated in the nucleus in response to microbial pathogens, may become damaged and need to be degraded. We assume that HSP70 binds to damaged p65 proteins and prevents their aggregation, which ultimately facilitates p65 degradation by the proteasome. It has been reported that failure of the protein quality control system in neurons is associated with the various neurodegenerative disorders, including Alzheimer's, Huntington's, and Parkinson's diseases (Amm et al. 2013). We therefore speculate that persistent inflammation may result in the accumulation of pathological protein aggregates in inflammation-related cells, such as dendritic cells, fibroblasts, and vascular endothelial cells, leading to degeneration of these cells. This pathology might be related to fibrinoid degeneration observed in autoimmune systemic vasculitis. Thus, HSP70, possibly in cooperation with other chaperone proteins identified as PDLIM2-associated proteins, may prevent the onset of these autoimmune diseases by eliminating damaged proteins during inflammation.

# 9.1.6 The Role of LIM Proteins in the Regulation of Inflammatory Responses

To date, more than 30 LIM proteins were identified as members of the LIM protein family. These proteins are classified into subgroups depending on the domain structure. Seven proteins that have both PDZ and LIM domains, PDLIM1/Elfin, PDLIM2, PDLIM3/ALP, PDLIM4/Ril, PDLIM5/ENH, PDLIM6/ZASP/Cypher, and PDLIM7/Enigma constitute a PDZ-LIM protein subfamily. We have attempted to clarify the roles of these PDZ-LIM proteins in the regulation of inflammatory responses. The LIM domain of PDLIM2 has ubiquitin E3 ligase activity, therefore we first predicted that other PDZ-LIM proteins might be ubiquitin E3 ligases. Unexpectedly, however, only PDLIM2, PDLIM6, and PDLIM7 have ubiquitin E3 ligase activity among the PDZ-LIM protein subfamily. We also checked the expression of these PDZ-LIM proteins. PDLIM1 and PDLIM7 are ubiquitously expressed in the immune cells at a high level as with PDLIM2, whereas PDLIM4 is exclusively expressed in CD4<sup>+</sup>T cells. In contrast, PDLIM3, PDLIM5, and PDLIM6 are expressed at high levels in skeletal and cardiac muscle, but at very

low levels in the immune cells (our unpublished data). Based on these data, we focused on PDLIM1, PDLIM7, and PDLIM4 for subsequent analysis.

We have found that PDLIM7 and PDLIM1 are also negative regulators for NF- $\kappa$ B-mediated signalling in dendritic cells. Similar to PDLIM2, PDLIM7 was found to be a nuclear ubiquitin E3 ligase targeting p65 for proteasome-dependent degradation, terminating NF-kB activation. We further demonstrated that PDLIM7 and PDLIM2 could form heterodimers and synergistically promoted polyubiquitination and degradation of p65 protein (our unpublished data). On the other hand, PDLIM1 is located in the cytoplasm. As described above, PDLIM1 is not a ubiquitin E3 ligase, but instead PDLIM1 bound to and sequestered p65 subunit of NF- $\kappa$ B in the cytoplasm and suppressed its nuclear translocation, possibly by interaction with actin stress fibers through binding to  $\alpha$ -actinin, an actin binding protein, via its PDZ domain. Interestingly, although p65 nuclear translocation is mainly regulated by IkB $\alpha$ , this effect of PDLIM1 on p65 nuclear translocation is independent of IkBa. Consistently, PDLIM1-deficient dendritic cells reveal enhanced p65 nuclear translocation and proinflammatory cytokine production in response to LPS stimulation (Ono et al. 2015).

We also demonstrated that PDLIM4 negatively regulated STAT4, STAT6, and STAT3-mediated signalling in CD4<sup>+</sup>T cells and suppressed Th1, Th2, and Th17 cell differentiation. PDLIM4 did not promote polyubiquitination of these STAT proteins, but associated with and recruited to a protein tyrosine phosphatase through its LIM domain and facilitated dephosphorylation of tyrosine residue of these STAT transcription factors, thereby terminating their activation (our unpublished data). Taking these data together, PDZ-LIM proteins appeared to be a new family of adaptors that can negatively regulate signal transduction pathways in the inflammation through different mechanisms.

#### 9.2 Conclusion

In this study, we have shown that PDLIM2 and related LIM proteins, PDLIM1, PDLIM4, and PDLIM7, are negative regulators for inflammatory responses through different mechanisms. We first predicted that, as well as PDLIM2, other PDZ-LIM proteins were all ubiquitin E3 ligase, but actually not all LIM proteins were ubiquitin E3 ligase. This may be because LIM domains have highly divergent sequences. Although LIM domains commonly have eight conserved residues of cysteine or histidine, the homology of the LIM domain between LIM proteins is only about 50 %. Because the functions of LIM proteins are defined by what kinds of proteins LIM domains can bind to, this divergence of LIM domains is considered to confer the different functions on each LIM protein. These findings suggest that LIM proteins might be a novel family of proteins that negatively regulate inflammatory responses through different mechanisms.

In the course of our study, we still have one more issue that should be addressed. This is a question of whether the dysfunction of LIM proteins is related to the onset of autoimmune diseases. To this end, we have analysed the association of human single nucleotide polymorphism (SNP) of LIM proteins with autoimmune diseases by genome-wide association study (GWAS). We have already found that nonsynonymous SNP of one PDZ-LIM protein is associated with human rheuma-toid arthritis, suggesting that dysfunction of negative regulators of inflammatory signaling may contribute to the pathogenesis of autoimmune diseases. These studies should lead to the development of new treatments for these diseases.

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### **Chapter 10 The Drosophila Toll Pathway: A Model of Innate Immune Signalling Activated by Endogenous Ligands**

#### Takayuki Kuraishi, Hirotaka Kanoh, Yoshiki Momiuchi, Hiroyuki Kenmoku, and Shoichiro Kurata

**Abstract** The Drosophila Toll signalling pathway is partially homologous to the mammalian TLR innate immune pathway, but also has marked differences. The Drosophila Toll pathway is mainly activated by the endogenous ligand Spätzle, instead of by direct recognition of microbial molecules; and downstream signalling from adaptor molecules/kinases, MyD88/IRAKs, to the inhibitor of NF- $\kappa$ B (I $\kappa$ B) is a blackbox in Drosophila. These differences provide an interesting opportunity to decipher molecular mechanisms underlying microbial-independent activation of innate immunity. In our laboratory, we have performed ex vivo genome-wide RNAi screening and identified a HECT-type E3 ligase, Sherpa, as an essential component of intracellular Toll signalling; two protein kinases, Pitslre and Doa, as downstream kinases in the blackbox; and a Jumonji-like histone demethylase, Jarid2, as a transcription factor. Additionally, we found that the Drosophila larval peptide fraction has strong stimulatory activity on the Toll receptor. These findings and future analyses are likely to provide information on the as yet unclear molecular mechanisms of 'sterile inflammation' in mammals.

Faculty of Pharmacy, Institute of Medical, Pharmaceutical and Health Sciences, Kanazawa University, Ishikawa, Japan e-mail: tkuraishi@staff.kanazawa-u.ac.jp

H. Kanoh (⊠) Graduate School of Pharmaceutical Sciences, Tohoku University, Sendai, Japan

Division of Glycopathology, Institute of Molecular Biomembrane and Glycobiology, Tohoku Pharmaceutical University, Sendai, Japan e-mail: hirotaka.kanoh@gmail.com

Y. Momiuchi • H. Kenmoku • S. Kurata Graduate School of Pharmaceutical Sciences, Tohoku University, Sendai, Japan

T. Kuraishi (🖂)

Graduate School of Pharmaceutical Sciences, Tohoku University, Sendai, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan

**Keywords** Drosophila • Innate immunity • Sterile inflammation • Toll • NF-kB • E3 ligase

#### **10.1 Introduction**

NF-kB signalling plays a central role in the initiation and persistence of inflammation. In mammals, many receptors are responsible for activation of NF-kB signalling, and the canonical and noncanonical intracellular pathways are complex, with a variety of innate and adaptive immune cells exhibiting different responses to NF-kB stimulation. Hence, although the molecular mechanisms and physiological and pathological functions of NF-kB signalling have been widely analysed, the complete picture is still unclear. NF-kB pathways are conserved in insects, including Drosophila melanogaster, a genetically tractable model animal, and there is partial similarity between the Drosophila Toll pathway and the mammalian Tolllike receptor (TLR) pathway. In this review, we describe lessons from the Drosophila Toll pathway that are applicable to mammalian pathways, and we discuss new components in Drosophila signalling that were recently identified in our laboratory.

#### 10.2 Text

#### 10.2.1 Drosophila Toll Pathway

#### **10.2.1.1** Signalling Components

The Toll pathway was initially identified as a required pathway for dorsoventral patterning in Drosophila embryos (Anderson et al. 1985a, b). In 1996, the Toll pathway was shown to be essential for induction of an antifungal peptide, Drosomycin, and resistance to infection by pathogenic fungi (Lemaitre et al. 1996; Lemaitre and Hoffmann 2007; Ferrandon et al. 2007). Activation of the Toll receptor is induced by receptor dimerisation via binding of cleaved Spatzle (Spz) to the ectodomain of the Toll receptor (Jang et al. 2006; Weber et al. 2003). The dimerised Toll receptor recruits a plasma membrane-associated complex comprising dMyd88, Tube, and Pelle, a serine-threonine kinase similar to mammalian interleukin (IL)-1R-associated kinases (Tauszig-Delamasure et al. 2002; Towb et al. 1998; Sun et al. 2002, 2004). This complex formation is thought to lead to phosphorylation of Cactus, a Drosophila homologue of IkB. Phosphorylated IkB is degraded by the ubiquitin-proteasome system (Belvin et al. 1995; Reach et al. 1996), leading to release of the transcription factor Dif, a Drosophila NF-kB homologue that is responsible for expression of antimicrobial proteins (Ip et al. 1993; Manfruelli et al. 1999).

## **10.2.1.2** Comparison of Drosophila Toll Signalling with the Mammalian TLR Pathway

Recent studies have identified endogenous ligands for TLRs, but the nature of mammalian TLRs differs from that of the Drosophila Toll receptor in that mammalian TLRs are pattern-recognition receptors that directly sense microbial components (Takeuchi and Akira 2010; Valanne et al. 2011). TLRs and the Toll receptor both possess leucine-rich repeats in their extracellular regions, but have low similarity. TLRs and the Drosophila Toll receptor also contain a TIR intracellular domain that binds to dMyd88, and Drosophila Tube and Pelle are functional homologues of mammalian IRAK-4 and IRAK-1, respectively. Intriguingly, however, downstream components of mammalian IRAK-1, such as TRAF6, TAK1 and IKK, which function in degradation of IkB, are not involved in the Drosophila Toll pathway. Instead, Drosophila homologues of TRAF6, TAK1, and IKK are required for the Drosophila IMD pathway, which resembles the mammalian TNF- $\alpha$  pathway (Kleino and Silverman 2014). Drosophila cactus and Dif correspond to mammalian IkB and NF-kB, respectively. Thus, in the Drosophila Toll pathway, signalling from Pelle to Cactus is a blackbox (Fig. 10.1).



**Fig. 10.1** Drosophila innate immune signalling pathway comprising the Toll and Imd pathways. Drosophila innate immune responses are induced through activation of the Toll and Imd pathways. The Imd pathway mainly recognises Gram-negative bacterial infections. The Toll pathway recognises a wide range of infections and tissue damage via an extensive extracellular signalling pathway. The molecular basis of the intracellular Toll pathway remains unclear. Direct signalling from danger signals to the cell-surface receptor has not been identified

#### 10.2.2 Factors That Activate the Drosophila Toll Pathway

Except for developmental cues, the Toll pathway is activated by three factors: microbial-derived peptidoglycans, proteases from pathogenic fungi, and unidentified endogenous factors from dead cells. Peptidoglycans initiate ModSPdependent Toll activation, and the proteases and endogenous factors promote psh-mediated Toll activation (Fig. 10.1). A complex of pattern-recognition receptors comprising PGRP-SA and GNBP1 senses lysine-type peptidoglycans derived from Gram-positive bacteria, and GNBP1 recognises  $\beta$ -glucans derived from fungi, both of which activate ModSP (Michel et al. 2001; Gobert et al. 2003; Gottar et al. 2006; Buchon et al. 2009). DAP-type peptidoglycans derived from Gramnegative bacteria are recognised by other PGRPs, resulting in activation of the IMD pathway (Kurata 2014). In addition, a recent report implied that Toll-7, rather than Drosophila Toll (also known as Toll-1), directly recognises viral infections and induces autophagy to degrade virus particles in lysosomes (Nakamoto et al. 2012). However, viral recognition by Toll-7 does not activate the canonical Toll pathway, which suggests the presence of other signalling pathways for antiviral responses.

So-called 'danger signals' activate psh (El Chamy et al. 2008): exogenous danger signals such as PR1 secreted from pathogenic fungi and virulence factors of Gram-positive bacteria, and endogenous danger signals (Gottar et al. 2006). In 2014, Ming et al. found induction of psh-, spz-, and Toll-dependent *Drosomycin* expression in larvae with a mutated caspase, Dronc, which is important for executing apoptosis (Ming et al. 2014). The same group subsequently reported that mutation of Dark, the Drosophila homologue of Apaf-1, causes spz- and Toll-dependent *Drosomycin* expression in adults (Igaki et al. 2002). It is likely that secondary necrotic cells originating from apoptosis-inhibited dead cells produce danger signals, but the molecular nature of the signal has yet to be identified.

#### 10.2.3 Genome-Wide Screening for Factors That Play a Role in Activation of the Toll Pathway

To determine the details of the intracellular Toll pathway, we established an ex vivo experimental system for studying this pathway. S2 cells, a common Drosophila melanogaster cell line, are poorly responsive to stimulation of the Toll pathway (Goto et al. 2010). Thus, we tested several cell lines with a reporter assay and found that Toll pathway activation was induced 100-fold more strongly in DL1 cells, a cell line established from embryos, compared to other cell lines. In addition, the components and signalling hierarchy of the known Toll pathway in adult flies are rigidly conserved in DL1 cells. Therefore, we concluded that DL1 cells are suitable for studying the Toll pathway (Kanoh et al. 2015a).

To identify novel signalling components in the intracellular Toll pathway, we performed comprehensive genome-wide RNAi screening (Fig. 10.2) (Kanoh



et al. 2015a). In this screening, the Toll pathway in DL1 cells was stimulated with larval tissue extract that activates the Toll receptor, by overexpression of dMyD88, Pelle, or Dif, and by knockdown of Cactus to cover a wide range of intracellular signalling events. This approach identified known Toll pathway components, which verified the validity of this screening method. Based on bioinformatics analysis, candidate genes were categorised into functional groups of protein kinases, E3 ligases, and transcriptional regulators. Several of these candidate genes were shown to be essential for activation of the Toll pathway in vivo. Of these, we focus on Sherpa, an E3 ligase, in this review.

#### 10.2.4 Sherpa, a Novel Component of the Toll Pathway

In our comprehensive genome-wide RNAi screening, a HECT domain-containing E3 ligase, Sherpa (SUMO-related HECT-domain and RCC-repeat Protein for Toll pathway Activation) was identified as a gene required for Toll activation initiated by dMyd88 overexpression, but not by Pelle overexpression (Kanoh et al. 2015a). A dsRNA against Sherpa exhibited repression of Toll pathway activation, which was rescued by overexpression of Sherpa. These results suggest that Sherpa is a novel component of the Toll pathway that functions genetically upstream of Pelle. Then, using this in vitro rescue system, we determined the domain structure required for the function of Sherpa (Fig. 10.3). The HECT domain, which was expected to possess E3 ligase activity, was found to be essential for activation of the Toll pathway. In the comprehensive genome-wide RNAi screening, Smt3/SUMO (small ubiquitin-like modifier) was also identified as one of the strongest hits. SUMOylation is known to regulate the subcellular localisation and stability of target proteins (Flotho and Melchior 2013) and RNAi-mediated knockdown of SUMO, SUMO E1, and E2 enzymes (Aso1, Uba2, and Uba9) reduced Toll activation induced by dMyd88 overexpression, but not that induced by Pelle



Fig. 10.3 Domain organisation of the novel E3-ligase protein Sherpa. Sherpa consists of two domains: an RCC repeat domain and a HECT domain. The HECT domain possesses E3 ligase activity and is conserved in the HECT-type E3 ligase family

overexpression. This indicates that SUMO-related factors work genetically upstream of Pelle, similarly to Sherpa.

These results suggested that dMyd88, a factor upstream of Pelle, is a possible substrate of Sherpa. To test this idea, we performed a coimmunoprecipitation assay of Sherpa and dMyd88 in DL-1 cells. Sherpa and dMyd88 strongly interacted when coexpressed in DL-1 cells and multiple modification signals were observed, including K63-linked polyubiquitin on dMyd88. Furthermore, polyubiquitination signals, including K63-linked polyubiquitin, were also observed on Sherpa itself. These posttranscriptional modifications on dMyd88 and Sherpa were dependent on the HECT domain. These results suggest that ubiquitination of dMyd88 is dependent on Sherpa.

To explore the function of ubiquitination and SUMOylation in the Toll pathway, the subcellular localisation of dMyd88 was examined by immunostaining. The adaptor complex of overexpressed dMyd88 and Tube was localised at the plasma membrane, as previously reported (Towb et al. 1998; Marek and Kagan 2012). This membrane localisation was abrogated and aggregates in the cytosol appeared following RNAi-mediated knockdown of Sherpa or SUMO. Moreover, the HECT domain was required for Sherpa localisation at the plasma membrane. These results suggest that Sherpa and SUMO mediate Toll pathway signalling by regulating the membrane localisation of the dMyd88-Tube complex via posttranslational modifications (Fig. 10.4).

Finally, we examined the function of Sherpa in adult flies. Sherpa mutant flies with a *sherpa* mRNA level less than 20 % that of wild-type flies rapidly succumbed to infection with Gram-positive bacteria. We next examined whether this susceptibility to Gram-positive bacterial infection was due to improper Toll signalling by monitoring expression of the antifungal peptide gene *Drosomycin* (*Drs*), a target of the Toll pathway. The Sherpa mutant flies had significantly reduced induction of *Drs* in response to Gram-positive bacterial infection, as observed in *spz<sup>rm7</sup>* mutants. These experiments clearly demonstrate that *sherpa* is required for activation of the Toll pathway by bacterial infection in Drosophila adults (Kanoh et al. 2015a). Intriguingly, the Sherpa mutant flies showed no apparent developmental defects in the embryonic stage, which implies that Sherpa is specifically required for acute propagation of inflammatory signals in disease conditions.



#### 10.2.5 Novel Downstream Protein Kinases and Transcription Factors in the Toll Pathway

Our genome-wide RNAi screening also identified candidate genes for protein kinases and transcription factors (Kanoh et al. 2015a, 2015b). Pitslre and Doa, a cyclin-dependent kinase (CDK) homologue and a CDK-like kinase homologue, respectively, may mediate signal transduction between Pelle and Dif, in the blackbox of the Drosophila Toll pathway (Kanoh et al. 2015a). RNAi-mediated knockdown of Pitslre and Doa in adult fat body diminished antimicrobial peptide expression in response to bacterial infection. RNAi-mediated knockdown of all isoforms of Doa completely abrogated Toll pathway activation induced by overexpression of dMyd88 or Pelle in DL1 cells (Fig. 10.5). A recent report indicated that human CDK6 phosphorylates the NF-kB p65 subunit and is required for inflammatory cytokine production (Buss et al. 2012). Our study also suggested that some cyclin-dependent protein kinases contribute to NF-kB activation in insects and humans. In addition, Jarid2, a Jumonji-like transcription factor possessing histone demethylase activity, is required for Toll pathway signalling and resistance to bacterial infection (Kanoh et al. 2015b). In mammalians, the Jumonji-like transcription factor Jmjd3 regulates macrophage polarisation (Satoh et al. 2010) and inflammatory cytokine production (Kruidenier et al. 2012). These analyses imply that the regulatory mechanisms in Toll and TLR signalling by histone demethylases are evolutionarily conserved.

#### 10.2.6 The Larval Peptide Fraction Activates the Toll Pathway

As described in ex vivo genome-wide RNAi screening (Sect. 10.2.3), our research found that the larval peptide fraction strongly potentiates Toll pathway activation



(Kanoh et al. 2015b). The larval peptide fraction was purified from lysate of whole Drosophila larvae that were immediately dissected under acidic conditions. Using a Drosomycin reporter assay, we showed that the larval peptide fraction is a strong inducer of Toll pathway activation in DL1 cells (Kanoh et al. 2015b). This activity was not present in an organic solvent extract from the peptide fraction, and remained entirely in the aqueous phase. Protease treatment completely abolished the activity of the larval peptide fraction. These results suggest that this activity is dependent on hydrophilic peptides in the fraction. Genome-wide RNAi screening in DL1 cells showed that the larval peptide fraction induces Toll pathway activation that is dependent on the Toll receptor (Toll-1) and known intracellular components such as dMvd88, Tube, Pelle, and Dif. Shotgun mass spectrometry analysis indicated that the larval peptide fraction does not contain a detectable amount of Spz, a ligand for Toll-1 required for immune response; or Drosophila neurotrophins, which are ligands for Toll-6 and Toll-7 that are required for development of the nervous system (McIlroy et al. 2013; Sutcliffe et al. 2013). These analyses suggest that the larval peptide fraction contains novel endogenous ligands for the Toll receptor (Kanoh et al. 2015b).

#### 10.3 Conclusion

In this review, we described the Drosophila Toll pathway and new members of this pathway: Sherpa, Pitslre, Doa, and Jarid2. Sherpa is a HECT-type E3 ligase that may target dMyd88, which is essential for activation of the Toll pathway.

NF-kB signalling is activated by exogenous microbial components such as peptidoglycans,  $\beta$ -glucans, and RP-1. Recently, it has been reported that endogenous components can also function as 'danger signals' and activate NF-kB signalling. In Drosophila larvae, mutation of the caspase Dronc may lead to secondary necrosis and constitutive activation of NF-kB signalling that is dependent on psh-, spz-, and Toll receptor. In addition, mutant larvae for oncogene Ras85D have a high

expression level of *Drosomycin* (Hauling et al. 2014). In mammals, a nonhistone nuclear protein, HMGB1 (High Mobility Group Box-1), and a cold-inducible RNA binding protein, CIRP, are known to act as endogenous danger signals (Scaffidi et al. 2002; Qiang et al. 2013). These proteins are passively released by necrotic cells and activate NF-kB signalling via the TLR4/MD-2 pathway, and the signals are selectively repressed via the CD24–Siglec–axis (Chen et al. 2009).

It is important to note, recent reports suggest that endogenous ligands can activate TLR-mediated NF-kB signalling, but TLR-independent effects remain to be clarified. For example, RP105/MD-1 (TLR4/MD-2–like complex)-dependent NF-kB activation in obese mice cannot be explained by a known signaling pathway (Watanabe et al. 2012). Our ex vivo comprehensive RNAi screening, which identified Sherpa as a novel NF-kB signalling component, is likely to shed light on the blackbox of Drosophila Toll signalling, and contribute to identification of new mechanisms involving the mammalian NF-kB pathway in chronic inflammation.

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## Part II Imaging Analyses of Chronic Inflammation

### Chapter 11 Macrophage Dynamics During Bone Resorption and Chronic Inflammation

#### Junichi Kikuta, Keizo Nishikawa, and Masaru Ishii

Abstract Macrophages are located in all body tissues, contributing to tissue homeostasis by clearing foreign materials, dead cells, and debris. Macrophages are heterogeneous populations of immune cells that rapidly change their functions in response to local microenvironmental signals and also play various roles in both the induction and resolution of inflammation. In this review, we introduce our recent studies on the dynamics and functions of various cells of the macrophage lineage. We first focus on bone-resorbing macrophages (the osteoclasts). We recently found that S-adenosylmethionine-mediated DNA methylation catalysed by DNA methyltransferase 3a regulates osteoclastogenesis via epigenetic repression of antiosteoclastogenic genes. We also visualised the in vivo behavior of boneresorbing macrophages in living bone using intravital two-photon microscopy, and found that sphingosine-1-phosphate, a lipid mediator, dynamically regulates both the migration and localisation of bone-resorbing macrophage precursor cells. Furthermore, we identified two distinct functional states of differentiated bone-resorbing macrophages, ('bone-resorptive [R]' and 'nonresorptive [N]'), and found that Th17 cells, a subset of CD4<sup>+</sup> T cells, stimulated osteoclastic bone destruction by directly contacting N-state bone-resorbing macrophages to convert them to the R-state; this is a critical step in bone erosion within arthritic joints. Second, we discuss the roles played by adipose tissue macrophages during obesity-induced chronic inflammation. Using intravital imaging techniques, we have shown that the adipose tissue-expressed S100A8 gene plays a critical role in macrophage recruitment in the very early stages of obesity. This review provides new insights into the physiological and pathological roles of macrophages, and suggests potential novel therapies targeting aspects of macrophage dynamics in patients with chronic inflammatory diseases.

J. Kikuta • K. Nishikawa • M. Ishii (🖂)

Department of Immunology and Cell Biology, Graduate School of Medicine and Frontier Biosciences, and WPI-Immunology Frontier Research Center, Osaka University, 2-2 Yamada-oka, Suita, Osaka 565-0871, Japan

AMED-CREST, Suita, Osaka 565-0871, Japan e-mail: mishii@icb.med.osaka-u.ac.jp

**Keywords** Osteoclast • Dnmt3a • S1P • RANKL • Th17 • Obesity • S100A8 • Imaging

#### 11.1 Introduction

Macrophages, found in nearly all tissues, play significant roles in tissue development, homeostasis, and repair, as well as in the immune response. Macrophages may be divided into subpopulations in terms of both phenotype and function. Specialised tissue-resident macrophages include bone-resorbing macrophages of the bone, alveolar macrophages of the lung, Kupffer cells of the liver, Langerhans cells of the skin, and microglial cells of the brain. These tissue-specific macrophages subpopulations ingest foreign materials and recruit additional macrophages from the circulation during acute and chronic inflammation.

In this chapter, we focus especially on the molecular mechanisms controlling macrophage dynamics during bone resorption, and during development of chronic inflammation of adipose tissue. In addition, we also discuss novel macrophagetargeted therapies that will improve the future treatment of bone-destructive diseases and obesity.

#### 11.1.1 DNA Methylation Regulates Osteoclastogenesis

#### 11.1.1.1 Metabolic Diversity in Cells of the Macrophage Lineage

Tissue-resident macrophages originate from peripheral blood monocytes and/or tissue-resident precursors, and vary considerably in terms of both phenotype and specialisation (Davies et al. 2013). By analogy to Th1/Th2 cells, macrophages have been divided into two types: proinflammatory macrophages (also known as M1 or classical macrophages) and anti-inflammatory macrophages (the so-called M2 or alternative macrophages), based on in vitro experiments (Osborn et al. 2012). Proinflammatory macrophages are induced in vitro by growing bone-marrowderived haematopoietic cells with IFN- $\gamma$  and lipopolysaccharide; anti-inflammatory macrophages can be generated by culture in the presence of IL-4 and IL-13. Proinflammatory macrophages exhibit enhanced microbiocidal capacities and produce high levels of pro-inflammatory cytokines (IL-1, IL-6, and TNF- $\alpha$ ), and increased concentrations of nitrogen radicals, enhancing their capacity to kill. In contrast, anti-inflammatory macrophages reduce the levels of proinflammatory cytokines and secrete certain components of the extracellular matrix. Thus, the proinflammatory macrophage is part of the first line of defense of the innate immune system, whereas the anti-inflammatory macrophage plays a greater role during resolution of disease, and in tissue remodelling. In addition, pro- and antiinflammatory macrophages exhibit clear metabolic differences, reflected by their metabolism. In proinflammatory macrophages, aerobic glycolysis is induced upon activation; this increases both glucose uptake and the conversion of pyruvate to lactate. Simultaneously, respiratory chain activity is attenuated, generating reactive-oxygen species (ROS). The pentose phosphate pathway is also activated; this pathway is key in terms of NADPH generation by NADPH oxidase: NADPH is required for the production of both ROS and nitric oxides. A recent report showed that that the TCA cycle of proinflammatory macrophages was broken in two places (Jha et al. 2015). One break was evident after succinate production and the other at isocitrate dehydrogenase (IDH), which converts citrate to alpha-ketoglutarate. At the postsuccinate breakpoint, succinate acts as an inflammatory signal inducing IL-1-beta synthesis via a mechanism involving Hif-1-alpha (Tannahil et al. 2013). The arginosuccinate shunt replenishes fumarate and malate to allow citrate production, and also contributes to nitric oxide production. In contrast, downregulation of IDH triggered a buildup in citrate levels such that citrate was redirected toward the generation of the antimicrobial itaconic acid. In addition, citrate was withdrawn for fatty acid biosynthesis; this is another hallmark of proinflammatory macrophages. On the other hand, anti-inflammatory macrophages derive much of their energy from fatty acid oxidation and oxidative metabolism. Pentose phosphate pathway activity is more limited in anti-inflammatory macrophages. Furthermore, the levels of amino sugars and nucleotide sugars are increased, creating high levels of UDP-N-acetyl-alpha-D-glucosamine (UDP-GlcNAc), which is required for N-glycosylation. Highly glycosylated lectin/mannose receptors are markers of M2 macrophages. Thus, studies of the intracellular metabolism of several types of macrophages has emphasised that tight links exist between the metabolic states and the phenotypes of such cells.

Bone-resorbing macrophages, also termed osteoclasts, are resident in bone and have abundant mitochondria (Ishii et al. 2009a). The 'receptor activator of nuclear factor kB ligand' (RANKL) is the key cytokine involved in differentiation of precursor cells into bone-resorbing macrophages, and also controls mitochondrial biogenesis in such macrophages via PGC1-beta–mediated transcriptional regulation. Mitochondria play a central role in the metabolic pathway that consumes oxygen (i.e. oxidative metabolism) and are important energy-producing organelles. Indeed, a metabolic shift toward the oxidative process is induced during differentiation of precursor cells into bone-resorbing macrophages. Although it has traditionally been assumed that metabolic changes in bone-resorbing macrophages are important in terms of adaptation to the increased energy demands associated with bone resorption, very little is actually known about this topic.

#### 11.1.1.2 A New Role for Oxidative Metabolism in Bone-Resorbing Macrophages

To gain a detailed understanding of changes in intracellular metabolism during bone-resorbing macrophage differentiation, fluctuations in the levels of intracellular metabolites were analysed with the aid of capillary electrophoresis–mass spectrometry. Metabolome analysis revealed that S-adenosylmethionine (SAM), a metabolite of the methionine cycle, increased in level during bone-resorbing macrophage differentiation (Nishikawa et al. 2015). The addition of an inhibitor of oxidative metabolism (i.e., an inhibitor of the mitochondrial electron transport chain) revealed that oxidative metabolism by bone-resorbing macrophages was important in terms of SAM production. Next, addition of an inhibitor of methionine adenosyltransferase, the enzyme catalysing SAM synthesis, revealed the importance of enhanced SAM production during macrophage differentiation. The inhibitor decreased the intracellular SAM concentration and inhibited cell differentiation. Thus, SAM, produced via oxidative metabolism, may be an important regulator of bone-resorbing macrophage differentiation.

Bone marrow cells, including bone-resorbing macrophages, typically live under conditions of low oxygen tension (Spencer et al. 2014). Furthermore, the low-oxygen regions of the medullary cavity are unevenly distributed; the middle of the bone (i.e., the diaphysis) is exposed to much less oxygen than is the end of the bony tissue (i.e., the epiphysis) (Kusumbe et al. 2014). Interestingly, as boneresorbing macrophages are located principally in epiphyseal tissue, it would seem at first glance that such macrophages simply cannot exist in oxygen-poor environments. Although further research on this topic is necessary, bone-resorbing macrophages are influenced by the oxygen level in vivo, and the idea that functional differentiation and maturation might be fine-tuned by cellular metabolic status in situ is reasonable.

#### 11.1.1.3 Epigenetic Regulation Links Bone-Resorbing Macrophage Differentiation to Intracellular Metabolism

SAM has been previously shown to donate the methyl group to methyltransferases. Therefore, we studied methyltransferases in a search for clues as to how SAM regulates bone-resorbing macrophage differentiation. We measured the expression levels of methyltransferases induced during macrophage differentiation using transcriptome data and found that the expression level of Dnmt3a, an enzyme transferring a methyl group to cytosine residues in DNA, was markedly enhanced during differentiation. We next created bone-resorbing macrophage-specific Dnmt3a knockout mice (using a conditional knockout technique) to allow us to assess the significance of Dnmt3a in bone metabolism. We found that the numbers of bone-resorbing macrophages decreased, and the bone volume markedly increased, in bone-resorbing macrophage-specific Dnmt3a knockout mice, suggesting that Dnmt3a regulates macrophage differentiation. More detailed analysis revealed how Dnmt3a functioned during bone-resorbing macrophage differentiation. Dnmt3a (1) suppressed the expression of several genes in a DNA methyltransferase-dependent manner, and (2) specifically accelerated macrophage differentiation by suppressing expression of the transcription factor Irf8, a negative regulator of the differentiation of such cells (Zhao et al. 2009). High-level Irf8 expression is a characteristic of the precursor cells of bone-resorbing macrophages. However, overexpression of both Dnmt3a and SAM decreased Irf8 expression in such cells. The data thus suggested that the combined effects of Dnmt3a and SAM suppressed *Irf8* expression.

#### 11.1.1.4 Osteoporosis Treatments Targeting Epigenetic Regulation of Bone-Resorbing Macrophages

Recent work on the development of anticancer drugs has shown that targeting of epigenetic regulation may be effective in this context (Rodríguez-Paredes et al. 2011). Therefore, we explored the possibility that regulation of the DNA methylation associated with bone-resorbing macrophage differentiation might identify potential drug targets. Osteoporosis is triggered by abnormalities in bone-resorbing macrophages. We used postmenopausal osteoporotic mice in which osteoporosis had been induced by oophorectomy. After oophorectomy, the number of bone-resorbing macrophages increased and the bone volume decreased. However, bone-resorbing macrophage-specific Dnmt3a knockout mice did not develop osteoporosis. Thus, Dnmt3a may be a potential target of antiosteoporosis drugs. We next searched for such drugs. The aflavin 3,3'-digallate (TF-3) exhibited strong antiosteoporosis activity. We gave TF-3 to postoophorectomy mice to explore whether the drug protected against osteoporosis. TF-3 significantly reduced the severity of osteoporosis. Thus, drugs that inhibit bone resorption, and that target bone-resorbing macrophages, may be useful in humans. Such drugs would affect epigenetic regulation in target cells.

#### 11.1.2 Intravital Bone Imaging Reveals the Dynamics of Bone-Resorbing Macrophages

#### 11.1.2.1 Intravital Two-Photon Imaging of Bone Tissue

Bone is the hardest bodily tissue; it is technically difficult to visualise the cellular interactions in play within the bone marrow cavities of living animals. The morphology and structure of bone tissues can be analysed using conventional methods including microcomputed tomography, histomorphological analyses, and flow cytometry. These methods yield information on cell shape and molecular expression patterns, but not on dynamic cell movements in living bone marrow. The (relatively) recent introduction of fluorescence microscopy imaging allows us to better understand the cellular dynamics of organs and tissues in vivo (Cahalan et al. 2002; Germain et al. 2006). To this end, we established an advanced imaging system allowing us visualise living bone tissue by using intravital two-photon microscopy (Ishii et al. 2009b, 2010; Kikuta et al. 2013a, b).

Two-photon excitation-based laser microscopy affords certain advantages over conventional (single-photon) confocal microscopy. In confocal microscopy, a fluorophore absorbs energy from a single photon (excitation), and subsequently releases the energy as an emitted photon. In contrast, upon two-photon excitation, a fluorophore simultaneously absorbs two photons. Two-photon excitation is rare, being evident only in the region of a focal plane in which the photon density is high; the images are bright and of high resolution. Excitation by a laser operating at a near-infrared wavelength reduces phototoxic tissue damage, which is essential if intravital imaging is to yield useful results. Furthermore, light of near-infrared wavelengths penetrates deep into tissue (to 100–1,000  $\mu$ m) but confocal microscopy yields data to only depths of < 100  $\mu$ m. Two-photon excitation microscopy affords efficient light detection, reduces phototoxicity, and penetrates deeper into tissues. The technique is becoming increasingly useful when it is desirable to visualise the dynamic cellular behavior of deep intravital tissues, and to quantitate cell motility and cellular interactions (Cahalan et al. 2002; Germain et al. 2006).

Access to the deep bone marrow is difficult; light of near-infrared wavelengths is readily scattered by calcium phosphate crystals of the bone matrix. In the mouse parietal bone, the distance from the bone surface to the bone marrow cavity is only ~80–120  $\mu$ M, which is sufficiently thin to permit controlled fluorophore excitation within the cavity. Intravital two-photon imaging of skull bone tissue allows visualisation of the real-time in vivo behavior of many cell types of bone marrow cavities; such cells include macrophages, neutrophils, lymphocytes, and haematopoietic stem cells. Moreover, such imaging may be useful when it is desirable to evaluate the effects of novel drugs targeting skeletal disease.

# **11.1.2.2** S1P-Dependent Migratory Control of the Cellular Precursors of Bone-Resorbing Macrophages

Bone-resorbing macrophages develop from haematopoietic precursor cells of the mononuclear/monocyte lineage. Circulating monocytes migrate and attach to bone surfaces, fuse to form giant cells, and trigger bone resorption. However, the means by which bone-resorbing macrophage precursors migrate to bony surfaces, and the *in vivo* controls exerted on migratory behavior, remain elusive. Intravital two-photon imaging of skull bone tissue allowed us to first define the in vivo behavior of bone-resorbing macrophages of the bone marrow cavity; we found that sphingosine-1-phosphate (S1P), a lipid mediator enriched in blood, controlled the migratory behavior of the macrophage precursors by acting in concert with several chemokines (Ishii et al. 2009b, 2010).

S1P is a bioactive sphingolipid metabolite that regulates various biological activities, including cell proliferation, motility, and survival (Cyster 2005). S1P signalling involves five receptors, S1PR1 to S1PR5, all of which are members of the 7-transmembrane-G-protein-coupled receptor family (Rosen et al. 2005; Rivera et al. 2008). Bone-resorbing macrophage precursors express both S1PR1 and S1PR2. These proteins exert opposite effects on the migration of bone-resorbing macrophage precursors. S1PR1 is extremely sensitive to low S1P concentrations, and promotes cell movement toward higher S1P concentrations of circulatory fluids. In contrast, S1PR2 requires a higher S1P concentration for activation, and

negatively regulates the S1PR1 response when activated. In a high-S1P environment such as the bloodstream, S1PR1 is both activated and rapidly internalised, allowing S1PR2-mediated actions to predominate. Bone-resorbing macrophage precursors enter the bone marrow when chemorepulsion is mediated by both S1PR2 and other chemokines attracting macrophages to bone surfaces. When macrophages enter a low-S1P environment such as the bone marrow, S1PR1 is transported back to the cell surface, and the bone-resorbing macrophage precursors move from bone tissue into the blood vessels; this reflects chemotaxis toward an S1P gradient. The number of bone-resorbing macrophages on bone surfaces is thus determined by the extent of exchange of macrophage precursors to and from the circulation. This affords a novel means by which osteoclastogenesis may be controlled in vivo.

#### 11.1.2.3 S1P-Targeted Osteoporosis Therapy

Targeting of S1P-dependent control of bone-resorbing macrophage precursor migration is attractive in terms of osteoporosis treatment. FTY720, a superagonist of four of the five S1P receptors (excluding S1PR2), acting preferentially on S1PR1, relieved ovariectomy-induced osteoporosis in mice by reducing the numbers of bone-resorbing macrophages attached to bone surfaces (Ishii et al. 2009b). This clearly suggests that S1P-targeted therapy, for example, prescription of S1P-receptor modulators, might aid in osteoporosis treatment. Moreover, the mechanism of action of S1P is distinct from those of conventional treatments. Bisphosphonates suppress the resorptive activity of fully differentiated bone-resorbing macrophages. Synergistic therapeutic effects can be expected if drugs with different modes of action are simultaneously administered.

An anti-bone-resorptive drug active on the S1P receptor already exists; this is vitamin D, a well-known bone-protecting factor that significantly inhibits bone destruction. Active vitamin D analogues have been clinically prescribed for patients with bone and mineral disorders, although the detailed mechanisms underlying the pharmacological action remain elusive. Recently, we showed – for the first time – that vitamin D controlled the migratory behavior of circulatory precursors of boneresorbing macrophages (Kikuta et al. 2013a). Both active vitamin D, calcitriol (1,25-D), and the clinically active vitamin D analogue eldecalcitol (ELD), significantly suppressed S1PR2 expression in monocytes (including bone-resorbing macrophage precursors) both in vitro and in vivo. Intravital two-photon microscopy of living bone revealed that the motility of bone-resorbing macrophage precursors was significantly increased in mice treated with active vitamin D derivatives including 1,25-D and ELD, suggesting that in vivo administration of active vitamin D might suppress both S1PR2 expression and mobilisation of bone-resorbing macrophage precursors from the blood to the bone marrow. This should limit osteoclastic bone resorption in vivo; this is the principal therapeutic effect of active vitamin D.



**Fig. 11.1** Bone-resorbing macrophage dynamics as revealed by intravital bone imaging Bone-resorbing macrophage precursors enter into, and exit from, the bone marrow cavity in a process regulated by sphingosine-1-phosphate (S1P). Fully differentiated bone-resorbing macrophages exist in two distinct functional states, bone-resorptive (R) and nonresorptive (N). An S1P receptor agonist, FTY720, facilitates the exit of bone-resorbing macrophage precursors, limiting the numbers of such precursors in the endosteum, and thus inhibiting osteoclastic bone resorption. Active vitamin D suppresses S1PR2 expression and mobilisation of bone-resorbing macrophage precursors from the blood to the bone marrow, thus limiting osteoclastic bone resorption. In contrast, bisphosphonate acts on fully differentiated bone-resorbing macrophages to inhibit bone resorption

We thus suggest that migration of bone-resorbing macrophage precursors to bone sites that will then be resorbed, and cell positioning at such sites, are critical in terms of regulation of bone destruction (Fig. 11.1).

#### 11.1.2.4 RANKL- and TH17-Mediated Control of Bone-Resorbing Macrophages

We recently explored the in vivo activities of fully differentiated bone-resorbing macrophages via intravital two-photon microscopy. We identified two distinct functional states of differentiated macrophages; bone-resorbing (R) cells were firmly adherent to bones, and devoured the bone matrix by secreting acids; nonresorbing (N) cells were relatively loosely attached to bones and moved
laterally along bone surfaces. Pathological conditions (e.g., osteoporosis) affected both the proportions of these populations and the total numbers of differentiated macrophages. Also, RANKL not only promoted macrophage differentiation, but also regulated the bone-resorptive function of fully differentiated macrophages.

Furthermore, we found that differentiated bone-resorbing macrophages interacted with CD4<sup>+</sup> T helper 17 (Th17) cells, a subset of CD4<sup>+</sup> helper T cells. Th17 cell numbers increase in the synovial fluid of rheumatoid arthritis (RA) patients, and enhance bone destruction by osteoclasts (Kong et al. 1999; Takayanagi et al. 2000). Th17 cells express surface RANKL (Sato et al. 2006); this has been suggested to be significant in terms of osteoclastic bone destruction of arthritic joints. However, any functional role for RANKL expressed on the surfaces of Th17 cells located in regions of bone erosion remains elusive. Intravital bone imaging has shown that RANKL-bearing Th17 cells stimulate osteoclastic bone destruction by directly contacting N-state bone-resorbing macrophages, converting such cells to the R-state. This may critically support bone erosion of arthritic joints, which would be a novel Th17 action; Th17 may thus be usefully targeted when it is sought to alleviate RA.

# 11.1.3 The Adipose Tissue Protein S100A8 Recruits Inflammatory Macrophages to Fatty Tissue of Obese Subjects

# 11.1.3.1 Macrophage Dynamics in Adipose Tissue During Chronic Inflammation

Obesity is a form of chronic low-grade adipose tissue inflammation. During the development of obesity, various immune system cells, including inflammatory macrophages, infiltrate adipose tissue and become involved in the creation and progression of a diseased state (Weisberg et al. 2003). Macrophages of inflamed adipose tissue (predominantly M1 macrophages) produce inflammatory cytokines and chemokines, and recruit other macrophages and immune cells from the circulation. Our intravital imaging system has recently revealed the macrophage dynamics of obese adipose tissue during development of inflammation (Sekimoto et al. 2015). In adipose tissues of animals on a normal diet, only a few migratory macrophages were evident. Eight weeks after commencing a high-fat highsucrose (HF/HS) diet (Nishimura et al. 2008), the adipocytes were larger, and the number of infiltrating macrophages had increased. Dead adipocytes were surrounded by some of these macrophages, forming crown-like structures (CLSs) (Murano et al. 2008); both the body weight and plasma glucose concentration were significantly elevated. In fact, just 5 days after the HF/HS diet commenced, macrophages were mobilised to infiltrate adipose tissue, although the adipocytes were not obviously hypertrophic at this time, macrophage accumulation was not prominent. At this time, the body weight and plasma glucose

concentration were similar to those of animals on a normal diet. These results indicate that the initial (very early) step of obesity-induced chronic inflammation involves highly mobile macrophages.

# **11.1.3.2** The Role Played by the Adipose Tissue Protein S100A8 in the Very Early Stages of Obesity

Several chemokines, including CCL2 (MCP-1) and CCL3 (MIP-1 $\alpha$ ), have been reported to be involved in macrophage infiltration of adipose tissues and progression of chronic inflammation in obesity (Kanda et al. 2006; Weisberg et al. 2006). The levels of these chemokines were elevated in the later stages of obesity (8 weeks after an HF/HS diet commenced), but were essentially unaltered at the very early stage of obesity (only 5 days after the HF/HS diet commenced). We recently found that the S100A8 level increased in mature adipocytes just 5 days after the HF/HS diet commenced, and rose further at 8 weeks (Sekimoto et al. 2015).

Two S100 protein family members, S100A8 and S100A9, are endogenous alarmins released from activated phagocytes; the proteins are recognised by the TLR4 protein of monocytes (Vogl et al. 2007; Foell et al. 2007). S100A8 and S100A9 form a heterodimer (calprotectin); the blood and extracellular body fluid levels of these proteins increase in many inflammatory conditions, including adiposity (Sekimoto et al. 2012), RA, and inflammatory bowel disease.

Intravital two-photon imaging of adipose tissue revealed that recombinant S100A8 increased adipose macrophage mobility 1 h after treatment, suggesting that S100A8 acts, in vivo, very early in obesity, to mobilise adipose tissue macrophages. Recombinant S100A8 induced the synthesis of proinflammatory molecules, including TNF- $\alpha$  and CCL2, by both macrophages and adipocytes. Furthermore, an antibody neutralising S100A8 efficiently suppressed the HF/HS diet-induced initial inflammatory changes, including the very early increase in adipose macrophage mobility. Anti-S100A8 antibody also significantly improved HF/HS diet-induced insulin sensitivity without changing either the body weight or fat mass, suggesting that the increase in macrophage mobility mediated by S100A8 affected the development of insulin resistance and diabetes as obese adipose tissue became chronically inflamed.

Thus, in a series of studies, we identified a very early pathological event (increased macrophage motility) in adipose tissue inflammation; such motility is triggered by an increase in S100A8 expression and progresses to chronic inflammation in situ. S100A8 will be a valuable target of novel therapies for obesity-induced chronic inflammation (Fig. 11.2).



**Fig. 11.2** The process of obesity-induced chronic inflammation in adipose tissues In healthy adipose tissues, there are only a few migratory macrophages (**a**). During the development of obesity, the initial event is an increase of S100A8 expression in mature adipocytes (**b**). S100A8 enhances macrophage mobility in inflamed adipose tissues (**c**). As obese adipose tissues become chronic, both body weight and fat mass are elevated, and insulin resistance is induced (**d**). Dead adipocyte is surrounded by some macrophages, forming a crown-like structure (**d**, *asterisk*)

## 11.2 Conclusions

Macrophages are very dynamic and play critical roles not only during normal homeostasis, but also in the pathogenesis of several kinds of inflammatory disease. Intravital two-photon imaging yields spatiotemporal information from living organisms; this cannot be done using conventional methods. Such imaging has revealed, and continues to reveal, the dynamic nature of macrophages engaged in physiological and pathological processes. This technique can also be used to evaluate the effects of novel drugs targeting inflammatory diseases. Drugs that control macrophage recruitment and migration will be valuable in the treatment of macrophage-related inflammatory diseases.

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# Chapter 12 Visualization of Localized Cellular Signalling Mediators in Tissues by Imaging Mass Spectrometry

#### Yuki Sugiura, Kurara Honda, and Makoto Suematsu

Abstract Low-molecular–weight cellular signalling mediators orchestrate a programmed progression of a series of biological phenomena, including inflammation. In order to understand the biochemical basis of inflammatory diseases, it is important to first understand the spatiotemporal dynamics (i.e., production, diffusion, decomposition) of such inflammatory mediators in tissues; however, the lack of effective molecular imaging technology has made it difficult to determine their localisations in vivo. Because the in vivo concentrations of these mediators are maintained at low levels due to their strong ability to induce an inflammatory response, technological breakthroughs in molecular imaging methods toward a highly sensitive technology sufficient to detect inflammatory mediators in tissues are required.

We and other groups have attempted to fill this technical gap by developing highly sensitive imaging mass spectrometry (IMS) technologies. Owing to recent improvements in IMS regarding its sensitivity, molecular coverage, and spatial resolution, this imaging technology is expected to be a powerful and practical tool to reveal unexpected dynamics of inflammatory mediators in the tissues involved in chronic inflammation. Here, we review recent progress in the development of this technology and demonstrate how the highly sensitive IMS technique has

#### M. Suematsu

Department of Biochemistry, Keio University School of Medicine, 35 Shinanomachi, Shinjuku-ku, Tokyo 160-8582, Japan

Japan Science and Technology Agency, Exploratory Research for Advanced Technology (ERATO) Suematsu Gas Biology Project, Tokyo 160-8582, Japan

Y. Sugiura  $(\boxtimes)$ 

Department of Biochemistry, Keio University School of Medicine, 35 Shinanomachi, Shinjuku-ku, Tokyo 160-8582, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: yuki.sgi@keio.jp

K. Honda

Department of Biochemistry, Keio University School of Medicine, 35 Shinanomachi, Shinjuku-ku, Tokyo 160-8582, Japan

contributed to increasing our general understanding of the biological basis of disease mechanisms.

**Keywords** Imaging mass spectrometry • Postmortem degradation of metabolites • Lipid mediator

## Abbreviations

electrospray ionisation
head-focused microwave irradiation
imaging mass spectrometry
in situ freezing
matrix-assisted laser desorption/ionisation

### 12.1 Introduction

Identifying the molecular entities involved in specific biochemical processes and determining their spatial localisation among organs and within tissues are essential steps in biochemical research. In addition to hormones, low-molecular-weight signal mediators orchestrate the programmed progression of a series of biological phenomena, including inflammation. For example, bioactive lipids mediating inflammatory and anti-inflammatory signals are essential for mediating normal inflammation processes by regulating the function and localisation of lymphocytes, for example, recruitment to designated microregions of a tissue (Luster and Tager 2004; Wymann and Schneiter 2008; Spiegel and Milstien 2003; Takeda et al. 2016; Bai et al. 2013), as well as in the evacuation of immune cells during the resolution phase (Lawrence et al. 2002). In order to understand the biochemical basis of chronic inflammatory diseases, it is important to characterise the spatiotemporal dynamics (i.e., production, diffusion, decomposition) of inflammatory mediators in tissues. Various imaging technologies for large hormonal molecules have been developed using molecular probes. In general, the localisation of transcripts is visualised with the use of an oligonucleotide probe through in situ hybridisation, and the localisation of proteins is visualised using immunohistochemistry based on antibodies. However, the lack of imaging technology for small metabolites has made it difficult to determine their localisations in vivo. Moreover, in vivo concentrations of these mediators are maintained at low levels due to their strong ability to induce an inflammatory response; therefore, technological breakthroughs in molecular imaging methods are required for developing technology sensitive enough to detect inflammatory mediators in tissues.

Mass spectrometry (MS) has become a key analytical technique in broad areas of biology. Historically, the introduction of two 'soft' ionisation techniques, matrix-assisted laser desorption ionisation (MALDI) and electrospray ionisation (ESI), has

made it possible to detect and quantify proteins, and peptides, as well as small molecules such as lipids and other metabolites, without requiring molecular probes. Recently, imaging mass spectrometry (IMS; also referred to as mass spectrometry imaging, MSI) has attracted the attention of researchers for visualising cellular metabolism via multiple-metabolite visualisation, and led to emergence of a high number of recent studies employing IMS in basic biological (Sugiura et al. 2011; Steinhauser et al. 2012; Girod et al. 2011; Moree et al. 2012) and medical research areas (Matsumoto et al. 2011; Yuki et al. 2014; Franck et al. 2009). Recent advances in IMS technology, which have been coupled with not only MALDI (the most versatile technique) (Stoeckli et al. 2001; Cornett et al. 2007) but also with secondary ion mass spectrometry (enabling nanometer-order spatial resolution) (Debois et al. 2009: Fletcher et al. 2007), desorption electrospray ionisation (realising ionisation under atmospheric pressure conditions) (Ifa et al. 2007; Wiseman et al. 2008), and other new desorption/ionisation techniques (Patti et al. 2010; Taira et al. 2008; Nemes and Vertes 2007), have expanded the capabilities of IMS, enabling visualisation of a wide variety of small molecules in a label-free manner. Given the enormous diversity of metabolite species and their distinct functional roles, simultaneous visualisation of multiple metabolites belonging to specific pathways has now made it possible to uncover the overall picture of metabolic systems in specific tissue regions where a cellular population of interest is localised.

However, the detection sensitivity and selectivity of IMS still need improvement for the imaging of cellular signalling mediators. For example, signal-transduction lipids (e.g., prostaglandins) are maintained at very low concentrations in vivo due to their strong ability to induce inflammation. By contrast, phospholipids, the major building blocks of cellular membranes, are much more abundant within tissue sections if compared to typical prostaglandins. In IMS, Because it is difficult to purify specific signalling molecules in tissue sections (in contrast to chromatographyrelated ESI-MS methods such as liquid chromatography-ESI-MS), innovation and effort are required to develop novel methods to effectively ionise and specifically detect such trace amounts of molecules that form complex tissues.

We and other research groups have approached these technical challenges by developing a highly sensitive IMS method. In particular, we have developed several key techniques, including (i) a sample preparation procedure that eliminates the common effect of the postmortem (PM) degradation of labile metabolites (Sugiura et al. 2014), and (ii) on-tissue derivatisation of metabolites that could enhance the analyte ionisation efficiency (Toue et al. 2014). These techniques have enabled the visualisation of diverse metabolite species, including abundant phospholipids (Beck et al. 2011; Sugiura et al. 2009; Hanada et al. 2012) as well as metabolites that are present in smaller amounts such as minor amino acids (Toue et al. 2014), TCA-cycle intermediates (Kunisawa et al. 2015), nucleotides (Bao et al. 2013; Kim et al. 2013), neurotransmitters (Takenouchi et al. 2015; Takahashi et al. 2014; Sugiura et al. 2012), and signalling lipids (Bai et al. 2013) in various diseased model tissues (summarised in Fig. 12.1). We here review recent progress in IMS development, focusing on the fundamental technique (i) described above, that is, effective sample preparation to preserve labile metabolites from PM degradation,



Fig. 12.1 Scheme summarising recent applications of highly sensitive IMS technology for visualising trace amounts of signalling molecules for analyses of various diseases models

which is in turn an important issue to achieve highly sensitive IMS for visualisation of cellular signalling mediators.

# **12.2** Prevention of PM Degradation of Labile Metabolites During Sample Preparation

A fundamental problem in quantifying in vivo metabolite concentrations with biochemical approaches is the requirement for invasive sampling. The PM degradation of labile metabolites during the organ sampling process remains a classical problem and a critical issue, because the degradation of major metabolites tends to occur in tissues within 1 min after death of the animal. In particular, small signal-transduction molecules, especially those containing organic phosphates (Hattori et al. 2010) such as sphingosine 1 phosphate (S1P) (Saigusa et al. 2014), are well known to be sensitive to PM degradation. To date, many classical studies,

particularly those in neurochemistry, have described that high-energy phosphometabolites (Kerr 1935) as well as glucose intermediates (McGinty and Gesell 1925; Kinnersley and Peters 1929) are extremely sensitive to PM degradation in the brain.

Therefore, it is necessary to prevent the PM enzymatic degradation of metabolites during the sample preparation procedure. We have approached this problem by developing a method for the rapid 'fixation' of metabolites as they exist in vivo.

#### 12.2.1 Head-Focused Microwave Irradiation (FMW)

For this purpose, we used a head-focused microwave irradiation method (FMW) (Moroji et al. 1977; Maruyama et al. 1978; Delaney and Geiger 1996; Schneider et al. 1981), in which the enzymes responsible for the decomposition of metabolites in the brain are inactivated within 1 s. We demonstrated that FMW treatment is an essential process for the visualisation of labile metabolites, especially for phosphometabolites, as they exist in vivo (Sugiura et al. 2014).

In our study, we showed that the FMW treatment preserved these metabolite concentrations as well as their distribution by halting the effect of PM degradation. As shown in Fig. 12.2a, when focusing on purine metabolic pathways, we revealed that the conventional decapitation protocol for brain extraction resulted in excessive autolytic reductions in ATP and ADP levels, and increased AMP levels. Subsequent quantification of these adenosine nucleotides with capillary electrophoresis-ESI-MS showed that 85 % of the ATP was degraded into downstream metabolites, and AMP showed a tenfold increase within 1 min after decapitation. FMW is more relevant for IMS than ESI-MS quantification, given that IMS is a longer procedure with additional steps, which could lead to more severe metabolite degradation. We further demonstrated that the imaging quality of high-energy adenosine nucleotides with FMW was substantially improved, with respect to the number of effective pixels and high image contrast, compared with that obtained using any other fixation method (Fig. 12.2).

From an animal welfare perspective, it is important to note that according to the American Veterinary Medical Association Recommendations (*AVMA Guidelines for the Euthanasia of Animals: 2013 Edition*) (Cima 2013), high-energy microwave irradiation is a humane method for euthanising small laboratory rodents. It is also worth noting that unconsciousness can be achieved in less than 100 ms with complete loss of brain function in less than 1 s.

#### 12.2.2 Heat Stabilisation of Extracted Tissues

In contrast to the in vivo fixation nature of FMW, the heat stabilisation of surgically extracted and frozen tissues was also proven to be effective for preserving labile



**Fig. 12.2** FMW treatment minimises PM changes in labile metabolites of the brain (Sugiura et al. 2014). Focusing on the purine metabolic pathway, the absolute concentrations (nmol/mg tissue) of 15 purine metabolites were compared among the FMW, in situ freezing (ISF), and conventional decapitation (posteuthanised freezing, PEF) methods. Significant PM alterations were suppressed in the FMW-treated sample, as assessed by both quantitative (**a**) and imaging analyses (**b**)

metabolites. Using a commercially available instrument (stabiliser T1, Denator, Gothenburg, Sweden) (Svensson et al. 2009), which applies conductive heating to postextracted tissues under a mild vacuum, this ex vivo fixation method halts the PM enzymatic activity (Fig. 12.3a). This method has been employed for brain imaging of labile energy nucleotides (Blatherwick et al. 2013), as well as for the quantification of tissue contents of signalling lipids, including S1P and dihydrosphingosine 1-phosphate (dhS1P). Saigusa et al. (2014) demonstrated the severe degradation of S1P and dhS1P along the PM time course, especially within the first 15 min (Fig. 12.3b). To overcome this problem, the heat stabilising treatment was applied, which successfully fixed the concentrations of these phosphometabolites, thus demonstrating the utility of this method for accurate quantification of these signalling lipids by LC-MS/MS.



**Fig. 12.3** The heat stabilisation of extracted tissues is effective for the protection of S1P and dhS1P from PM degradation. (a) The heat fixation method involves application of a combination of heat and mild vacuum to the surgically extracted and frozen tissue samples. (b) Results for the determination of sphingolipids in the liver at room temperature (25 °C) without (n = 4, dark gray, nonstabilised) or with (n = 4, clear gray, stabilised) the heat stabiliser. Values are mean  $\pm$  SD (Modified from (Svensson et al. 2009) and (Saigusa et al. 2014))

# 12.3 Applications of IMS to Lysophosphatidic Acid (LPA) Imaging

# 12.3.1 Visualisation of LPA in the Lymph Node by Tandem MS Imaging

By employing an appropriate sample preparation procedure as described above, microscopic IMS observations have successfully provided molecular evidence for the production of specific lipid mediators within specific tissue structures. Bai et al. (2013) studied the signalling mechanisms involved in lymphocyte transmigration across the basal lamina of high endothelial venules (HEVs) in the lymph nodes. The results showed that lymphocyte transmigration is regulated by autotaxin (ATX) and its end-product, the signal-transducing lipid LPA. ATX is an HEV-associated ectoenzyme that produces LPA from lysophosphatidylcholine, which is abundant in the systemic circulation. In line with the selective expression of ATX in HEVs, LPA was constitutively and specifically visualised on the HEV structures (Fig. 12.4). Inasmuch as the effect of LPA was shown to be dependent of ATX in HEVs and deficiency of the LPA4 receptor expression in HEV ECs leads to impaired lymphocyte transmigration across HEVs (Hata et al. 2016), collectively, these results strongly suggest that LPA acts on HEV ECs to increase their motility, thereby promoting dynamic lymphocyte-HEV interactions and subsequent lymphocyte transmigration across the basal lamina of HEVs in steady state.



**Fig. 12.4** LPA is detected in the vicinity of lymph node HEVs. (a) Tissue distribution of LPA species in lymph node sections by MALDI-MS/MS imaging. The LPA (18:1)-derived signal (ion transition from m/z 435 to 153), LPA (18:2)-derived signal (m/z 433 to 153), and LPA

It should be noted that this study utilised an ion trap-type mass spectrometer (iMScope, Shimadzu Corporation, Kyoto, Japan), which enabled tandem-MS imaging measurement and enhanced signal selectivity for the LPA molecular species. This analytical method utilises not only intact LPA ions but also their specific fragment ions generated by fragmentation of trapped LPA ions (Fig. 12.4b). Signal contamination from isobaric or molecules of similar molecular weight were eliminated by this analytical feature.

# 12.3.2 Visualisation of LPA in the Spleen by Fourier Transform-MS Imaging

Another strategy to obtain highly specific and sensitive molecular signals in IMS is through Fourier transform-ion cyclotron-resonance mass spectrometry (FT-ICR MS) Cornett et al. (2008), which provides the highest mass resolving power (mass resolution >100,000) and mass accuracy (a few ppm) for an IMS experiment. Accordingly, this type of instrument is capable of resolving small intense metabolite peaks with similar nominal masses of the most abundant molecules in a singlestage MS scan. The high-mass accuracy measurement in conjunction with isotopic profiles allows for the elemental composition of these particular ions to be determined (Marshall et al. 1998).

As an example, we obtained distribution images of LPA species in mouse spleen tissue sections using 7 T FT-ICR-MS (Solarix-XR Bruker Daltonik, Bremen,

**Fig. 12.4** (continued) (20:4)-derived signal (m/z 457 to 153) overlapped with MECA-79 staining on a serial section of the popliteal lymph node (HEVs; *green*). Nuclei were counterstained with Hoechst 33342 (*blue*). The signals associated with HEVs are enclosed by dashed lines. Scale bars, 100 mm. The proportion of LPA signals reflects the distance from HEVs. Data are representative of two independent experiments. F, follicles

<sup>(</sup>b) The precursor ions at m/z 437, 435, 433, and 457, which include the signals of LPA (18:0), LPA (18:1), LPA (18:2), and LPA (20:4), respectively, were collected and fragmented by collision-induced dissociation in a mass spectrometer, and the generated signals were subsequently analysed. The signal at m/z 153 corresponds to the LPA- specific fragment ion

<sup>(</sup>c) Possible mode of action of the ATX/LPA axis in the regulation of lymphocyte trafficking across HEVs. Upper panel, lymphocytes migrating across the HEVs may follow multiple steps: (Luster and Tager 2004) tethering/adhesion to HEV ECs, (Wymann and Schneiter 2008) intra-EC infiltration, (Spiegel and Milstien 2003) retention in the sub-EC space, (Takeda et al. 2016) retention in the perivenular channel, and (Bai et al. 2013) egress from HEVs. The ATX/LPA axis is dispensable for lymphocyte infiltration into the EC layer (steps 1 and 2) but is indispensable for lymphocyte extravasation from the HEVs (steps 3, 4, and 5). ATX secreted by HEV ECs and neighbouring cells appears to be immobilised on the HEV EC surface, at least in part via glycosaminoglycan chains such as heparan sulfate. The EC-captured ATX converts circulating lysophosphatidylcholine (LPC) to LPA in situ. In turn, LPA induces their motility and permeability, as well as lymphocyte detachment from the EC, which may collectively drive directional lymphocyte movement from the basal laminal aspect of HEV ECs to the surrounding lymph node parenchymal compartment



Fig. 12.5 Visualisation of the LPA molecular species on spleen tissue sections. IMS was performed using a Bruker Solarix 7 T FT-ICR-MS system (Bruker Daltonik, Bremen, Germany) in negative-ion mode, at a lateral resolution of 80  $\mu$ m. Ions were detected over a mass range of *m*/*z* 400–500 with the continuous accumulation of selected ions (CASI) mode, which enhances the signal sensitivity over a selected mass region

(a) Haematoxylin and eosin (HE)-stained spleen tissue section after IMS measurement

(b) Averaged mass spectrum obtained from the whole spleen tissue section, in which specific signals for LPA species were detected. Metabolites were identified by matching to accurate masses with databases (METLIN, http://metlin.scripps.edu/;)

(c) IMS observation showing that two polyunsaturated fatty-acid–containing LPA species, namely LPA(18:2) and LPA(20:4), exhibited a complementary distribution pattern in the spleen tissue

Germany; Fig. 12.5a). Accurate mass measurement provides molecular specificity for the ion images on the basis of the elemental composition. The high mass accuracy allowed for selective ion signals for LPA species to be obtained within a mass window of 5 ppm (Fig. 12.5b). Although the signal specificity achieved in this example is high, it should nevertheless be acknowledged that the FT-ICR imaging strategy does not offer the same molecular specificity as achieved by acquiring an MS/MS spectrum at every pixel. In the presented case, however, the specific elemental composition of an ion could sufficiently identify LPA compounds by querying the highly accurate masses against databases.

By focusing on the distribution of LPAs, the IMS observation revealed an interesting pattern in that two polyunsaturated fatty-acid–containing LPA species, namely, LPA(18:2) and LPA(20:4), exhibited a complementary distribution pattern in the spleen tissue (Fig. 12.5c); LPA(18:2) showed splenic white pulp structure-specific localisation, whereas LPA(20:4) was distributed in the red pulp region. Because these LPA species with different fatty acid moieties, especially those with

PUFA moiety at sn-2 position, have distinct abilities to bind to LPA receptors (Cornett et al. 2008; Choi et al. 2010; Ishii et al. 2009), the visualised differences in LPA localisations might be a key observation toward elucidating the regulation mechanism of the specific migration patterns of immune cells expressing distinct LPA receptors.

#### **12.4** Conclusion and Perspectives

For understanding the biochemical basis of disease progression and to develop new therapeutic strategies, it is important to characterise the spatiotemporal in vivo changes in strongly bioactive small molecules such as inflammatory mediators. This technical task was accomplished by developing a highly sensitive IMS technology. Though current MALDI-IMS already has powerful capabilities for visualising many metabolites in discrete areas, evidently further efforts should be made to improve the method to be sensitive enough to detect low levels of metabolites. Our group and others have tackled this challenge by optimisation of the sample preparation protocol. Several different approaches with efficient metabolic fixation have provided a unique platform to reveal in vivo metabolic status. We believe that these promising approaches will realise the highly sensitive IMS, which will in turn help to answer important unresolved questions in disease progression, such as determining the specific regions of organs or cells in vivo from which abnormal inflammatory mediators are produced. Resolving these issues is expected to provide the key to understanding the biological basis of chronic inflammatory diseases, discovering new diagnostic markers, and developing new therapies.

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# **Chapter 13 Tracking of Follicular T Cell Dynamics During Immune Responses and Inflammation**

#### Takaharu Okada

Abstract Follicular helper T (Tfh) cells are a subtype of helper T cells critical for antibody responses, particularly germinal centre (GC) responses in the lymphoid organs. Tfh cells can become memory Tfh cells, which play important roles in memory antibody responses. Memory Tfh cells, after exiting the lymphoid organs into the circulation, possibly induce memory responses in lymphoid organs distal from the vaccination site. Circulating memory Tfh cells may also contribute to ectopic GC responses in inflamed nonlymphoid organs. Tfh cells and memory Tfh cells seem to be also involved in the development of autoimmune diseases. Human blood memory Tfh cells have begun to be characterised in detail to understand their function in protective or pathological antibody responses. Mouse studies, especially those using live imaging techniques, have illustrated Tfh cell dynamics within the lymphoid organs and identified important molecules that regulate them. In addition, imaging studies using photoactivatable or photoconvertible fluorescent probes have started to reveal how Tfh cells exit the lymphoid organs to become circulating memory cells. In future studies, in order to investigate directly the involvement of circulating memory Tfh cells in memory responses and inflammatory diseases, it is important to develop new methods to genetically and/or optogenetically manipulate Tfh cells for longitudinal tracking of their cell fate.

**Keywords** Antibody response • Inflammation • Autoimmune disease • Follicular helper T cell • In vivo imaging

T. Okada (🖂)

RIKEN Center for Integrative Medical Sciences, Yokohama 230-0045, Japan

Graduate School of Medical Life Science, Yokohama City University, Yokohama 230-0045, Japan e-mail: takaharu.okada@riken.jp

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PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan

## **13.1** Follicular Helper T Cells

# 13.1.1 Roles for Tfh Cells in Immune Responses

B cell responses produce antibodies, which are the important arm of our immune system to fight against microbes. In most cases, B cell responses require help from T cells (MacLennan et al. 1997). Historically, based on in vitro experiments, the chief T cell type responsible for this help was thought to be type 2 helper T (Th2) cells secreting IL-4 (Mosmann and Coffman 1989). Within the last 20 years, knowledge about helper T cell heterogeneity was expanded in terms of not only their cytokine expression profiles but also their localization. It is now known that helper T cells that provide vital help to B cells are localized in B cell follicles in the lymphoid tissues and are therefore called follicular helper T (Tfh) cells (Crotty et al. 2010; Ueno et al. 2015). In order to achieve follicular localization, Tfh cells highly express CXCR5, the receptor for chemokine CXCL13 expressed in B cell follicles, and express little or no CCR7, the receptor for chemokine CL21 and CCL19 expressed in the T cell zone in the lymphoid tissues. Tfh cells abundantly express IL-21, IL-4, and CD40 ligand, which promote proliferation and differentiation of antigen-engaged B cells (Okada et al. 2012).

Development of Tfh cells requires expression of the transcription factor Bcl6, which is not significantly expressed in naïve T cells or other types of helper T cells (Okada et al. 2012). Moderate expression of Bcl6 and CXCR5 together with downregulation of CCR7 is induced in CD4<sup>+</sup> T cells by interactions with dendritic cells presenting their specific antigen. The activated CD4<sup>+</sup> T cells are relocated to the border area between the T cell zone and B cell follicles, and are interacted with activated B cells presenting cognate antigen. The interaction with B cells induces further upregulation of Bcl6 and CXCR5 and further downregulation of CCR7 to drive them to the back of the follicles. Proliferating B cells and Tfh cells continue their interactions in the back of the follicles, leading to generation of short-lived plasma cells and development of germinal centres (GCs) in the centre of the follicles (Okada et al. 2012). GCs are important for high-affinity antibody production against pathogens and also for affinity diversification of gut IgA to regulate commensal microbiota (Kato et al. 2014; Allen et al. 2007; Victora and Nussenzweig 2012). The GC formation is severely impaired if activated CD4<sup>+</sup> T cells fail to express Bcl6 properly and fail to become Tfh cells (Crotty et al. 2010).

After GCs are formed, a fraction of Tfh cells are localized in the GCs. The ratio of GC Tfh cells to non-GC Tfh cells increases as the immune response progresses. GC Tfh cells express particularly high levels of CXCR5 and virtually no CCR7 (Okada et al. 2012). GC Tfh cells also highly express PD-1, which is expressed at slightly lower levels by non-GC Tfh cells. PD-1 in Tfh cells is reportedly important for the generation of long-lived plasma cells from GCs (Good-Jacobson et al. 2010). PD-1 signals seem to control Tfh cell numbers in Peyer's patch GCs, and contribute to shaping the IgA repertoire and microbiota in the gut (Kato et al. 2014).



**Fig. 13.1** Schematic diagrams of Tfh and memory Tfh cell dynamics in the lymphoid organ during primary and memory antibody responses. Tfh cells become GC Tfh cells by expressing S1PR2 to be retained in primary GCs during primary immune responses. Low amounts of S1P are thought to be present in the follicular mantle surrounding the GC and to act on S1PR2 for the GC Tfh cell retention. Memory Tfh cells are presumably generated from Tfh cells. Reactivation of memory Tfh cells induces secondary GC responses. Reactivated memory Tfh cells access GCs but are not retained in GCs. Some of them migrate into the subcapsular sinus to be carried away in the lymph in which high amounts of S1P exist. Downregulation of S1PR2 and upregulation of S1PR1 may be involved in this step

Until recently, little was known about localization mechanisms of GC Tfh cells except for a partial dependence on CXCR5. The author's group found that GC Tfh cells but not other T cells highly expressed transcripts of S1PR2, the type 2 receptor of sphingosine-1-phosophate (S1P; Moriyama et al. 2014). S1P was already known to be the critical lipid mediator for lymphocyte egress from the lymphoid tissue to circulation, which is driven by the type 1 receptor of S1P, S1PR1 (Cyster and Schwab 2012). However, the role for S1P in GC formation was not known. During the time when the author's group was investigating roles for S1PR2 in GC Tfh dynamics, the other group reported that S1PR2 contributed to localization of GC B cells (Green et al. 2011). The author's group showed by using two-photon excitation fluorescence microscopy of intact lymph nodes that S1PR2 was also important for retention of GC Tfh cells in GCs (Moriyama et al. 2014; Fig. 13.1). Strikingly, double deficiency of CXCR5 and S1PR2 in T cells led to very severe impairment of GC formation. When the double-deficient T cells coexist with wild-type T cells, which could support GC formation, they did not significantly infiltrate in GCs (Moriyama et al. 2014). Thus, S1PR2 plays a cooperative role with CXCR5 in GC Tfh cell biology.

### 13.1.2 Tfh Cells and Inflammatory Autoimmune Diseases

Several mouse studies have shown that dysregulated formation of Tfh cells is associated with inflammatory autoimmune diseases (Ueno et al. 2015). A single amino acid mutation (M199R) in the gene coding the RNA-binding protein Roquin-1 causes exaggerated Tfh cell and GC responses and spontaneous development of a lupus-like disease with high titres of antinuclear antibodies (Vinuesa et al. 2005). Mechanistically, the mutation impairs the ability of Roquin-1 to repress the expression of molecules important for Tfh cell formation, including ICOS and Interferon- $\gamma$ . Because deletion of two genes coding Roquin-1 and its paralogue Roquin-2 but not deletion of the Roquin-1 gene only promoted spontaneous Tfh cell responses, the mutated Roquin may act in a dominant negative manner (Pratama et al. 2013; Vogel et al. 2013). BXSB-yaa mice with a duplication of X chromosome genes including Toll-like receptor 7 also develop aberrant Tfh cell responses and a lupuslike disease (Bubier et al. 2009). It is also important to note that genome-wide association studies of human single-nucleotide polymorphism have identified multiple Tfh-related genes as risk loci for autoimmune diseases, including II21 (systemic lupus erythematosus, rheumatoid arthritis) and Cxcr5 (multiple sclerosis, Sjogren's syndrome; Ueno et al. 2015).

GCs are formed not only in the secondary lymphoid organs such as lymph nodes and spleen but also within lymphoid aggregates formed in other organs such as lung and brain under inflammatory conditions (Pitzalis et al. 2014). GCs formed in the inflamed organs (often called ectopic GCs) have been found in autoimmune diseases. Helper T cells that resemble Tfh cells are found in lymphoid aggregates in the inflamed organs and are thought to play key roles in development of ectopic GCs. Although precise roles for ectopic GCs in autoimmune disease pathogenesis are not known, patients with ectopic lymphoid aggregates in inflamed lesions tend to have worse disease outcomes (Pitzalis et al. 2014). It is possible that ectopic GCs become major sites for autoantibody production because of their advantageous location for self-antigen accessibility (Ueno et al. 2015).

#### **13.2** Memory Tfh Cells

### 13.2.1 Mouse Memory Tfh Cells

There are a growing number of mouse studies that have shown that Tfh cells can become memory cells after primary immune responses (Hale and Ahmed 2015). These memory cells are called memory Tfh cells, which contain a circulating population. Memory Tfh cells retain expression of CXCR5, albeit at reduced levels compared to Tfh cells, but lack detectable expression of Bcl6. Upon secondary immunisation, however, memory Tfh cells efficiently and rapidly re-express Bcl6 and fully regain Tfh cell characteristics (Ise et al. 2014). Reactivated memory Tfh

cells have been shown to be potent in helping memory B cell responses (Hale and Ahmed 2015). It is believed that memory Tfh cells can become a circulating population to contribute to memory antibody responses in various tissues. Circulating memory Tfh cells are likely involved in the formation of ectopic GCs in inflamed nonlymphoid organs.

The author's group has shown that Tfh cells gradually reduce Bcl6 protein expression during primary GC responses while keeping CXCR5 expression. Bcl6-low Tfh cells upregulate IL-7 receptor expression and quickly become quiescent, suggesting that they acquire memory cell–like characteristics. However, Bcl6-low Tfh cells express only small amounts of S1PR1 transcripts (Kitano et al. 2011). Thus, it is unclear whether circulating memory Tfh cells are generated during primary GC responses. As discussed later in this chapter, circulating memory Tfh cells may develop more efficiently during secondary antibody responses (Suan et al. 2015).

#### 13.2.2 Human Memory Tfh Cells

It has been known for many years that human peripheral blood contains significant numbers of CXCR5-expressing CD4<sup>+</sup> T cells. Recent studies have shown that these cells are very potent in induction of B cell proliferation and plasma cell differentiation, establishing the circulating population of human memory Tfh cells (Ueno et al. 2015). Within the blood memory Tfh cells, there are several subpopulations, which differ in their capability to induce production of immunoglobulins of different isotypes. Interestingly, positive correlations have been reported between the frequency of blood memory Tfh cells and the severity of autoimmune diseases, including systemic lupus erythematosus, Sjogren's syndrome, and multiple sclerosis (Ueno et al. 2015).

### 13.3 Longitudinal Tracking of Tfh Cell Dynamics and Fate

# 13.3.1 Photoactivation and Photoconversion Methods to Track Tfh Cells

Exactly when and how do Tfh cells become memory Tfh cells recirculating in the body? This is an important question related to the mechanisms underlying the correlation between the human blood memory Tfh cell frequency and the activity of autoimmune diseases. In order to track long-range movements of Tfh cells, a recent study used a method of in vivo photoactivation-based fluorescent cell labelling with a two-photon laser (Shulman et al. 2013). This study reported that, within 20 h after photoactivation of GC Tfh cells that express photoactivatable

GFP, about 30% of these cells moved out of original GCs and are found in other GCs and follicles in the same lymph node. Although this study did not assess egress of GC Tfh cells from lymph nodes to circulation, the data highlighted unrestricted movement of Tfh cells (Shulman et al. 2013) and might suggest their possible access to cortical, medullary, and subcapsular sinuses, the exits from the lymph node, near the follicles. However, this may seem inconsistent with the S1PR2-dependent retention of Tfh cells in GCs described above (Moriyama et al. 2014). One possible explanation is that the nature of Tfh cells was different because the photoactivation study used a prime-boost immunization protocol and their Tfh cells might be more like reactivated memory Tfh cells (Shulman et al. 2013).

A more recent report described an in vivo tracking method using the photoconvertible fluorescent protein Kaede (Suan et al. 2015). According to this study, 98 % of photoconverted GC Tfh cells in primary responses were found in the original GCs and follicles. In contrast, in secondary responses, reactivated memory Tfh cells freely move out of the original GCs and follicles. Note that some of these cells were found to enter the subcapsular sinus, and then were carried away in the lymph flow (Suan et al. 2015; Fig. 13.1). Thus the mouse studies point out a possibility that human blood memory Tfh cells may be developed through reactivation of already formed Tfh cells or memory Tfh cells in the lymphoid organs. Increased frequencies of human blood memory Tfh cells might be due to chronic exposure of autoreactive Tfh cells to self-antigens.

# 13.3.2 Genetic and Optogenetic Approaches for Future Studies

The maximum tracking time of Tfh cells expressing photoactivatable GFP or Kaede is about a day or two. Therefore, it is nearly impossible to track them after they go into the circulation and respond to a secondary antigen challenge in other tissues. In order to make this possible, genetic labelling methods are needed to track Tfh cell fate longitudinally. Previously, there were no Tfh cell–specific genes that could be reliably used to generate fate-mapping reporter mice for Tfh cells, as Bcl6 and CXCR5 are transiently expressed in acutely activated CD4<sup>+</sup> T cells that are not to become Tfh cells (Okada et al. 2012). However, the published work (Moriyama et al. 2014; Shinnakasu et al. 2016) and unpublished work by the author's group suggest that the *S1pr2* gene may suit the purpose of specifically tracking the fate of Tfh cells as well as GC B cells.

There are developing tools to induce gene expression by light illumination (Wang et al. 2012; Kennedy et al. 2010; Bacchus and Fussenegger 2012; Schindler et al. 2015). The author's group is making efforts to apply the light-activatable split-Cre system (Fig. 13.2) to optogenetically label Tfh cells in vivo. For this purpose, retroviral transduction is used for introducing the light-activatable split-Cre genes in haematopoietic stem cells, which are subsequently transplanted to irradiated



**Fig. 13.2** Schematic diagram of the light-activatable split-Cre system to optogenetically label cells. Arabidopsis thaliana Cryptochrome 2 (CRY2) and CIB1 N-terminal fragment (CIBN) are fused to fragments of Cre recombinase (Cre-N and Cre-C) (Kennedy et al. 2010). Upon two-photon laser illumination, CRY2 binds to CIBN, and split Cre-fragments reconstitute the recombinase activity, which deletes floxed stop codons located before the tandem Tomato (tdTomato) gene inserted in the *Rosa26* locus (Madisen et al. 2010; Schindler et al. 2015). The resulting tdTomato expression is semi-irreversible and is used for longitudinal cell tracking

mice. Preliminary experiments gave results that 1-4% of Tfh cells and 2-20% of GC B cells were irreversibly labelled by repetitive illumination of GCs in the lymph nodes by two-photon laser (850 nm, 100 mW after objective lens,  $100 \sim 500$  times). In order to increase the labelling efficiency and its reproducibility, it will be important to generate germline transgenic animals in which all target cells express stoichiometrically optimal amounts of protein products of the light-activatable split-Cre genes. In addition, improvements of light-activatable modules (Taslimi et al. 2014) will help establish more powerful and versatile optogenetic methods for fate mapping of Tfh cells as well as other cells that are locatable by intravital microscopy. Establishment of fate mapping strategies will contribute to understanding of roles for memory Tfh cells in protective and pathological immune responses and understanding of mechanisms of ectopic GC development in inflamed tissues.

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# Part III Chronic Inflammation and Cancer

# **Chapter 14 The Role of Chronic Inflammation in the Promotion of Gastric Tumourigenesis**

#### Hiroko Oshima, Kanae Echizen, Yusuke Maeda, and Masanobu Oshima

Abstract Cyclooxigenase-2 (COX-2) is an inducible enzyme for prostaglandin biosynthesis which plays a key role in inflammatory responses. The expression of COX-2 is induced in most cancer tissues, and plays a key role in tumourigenesis through the production of inflammatory mediator, prostaglandin  $E_2$  (PGE<sub>2</sub>). We investigated the role of COX-2/PGE<sub>2</sub> pathway-associated inflammation in gastric tumourigenesis using Gan mice, which develop gastric tumours through the activation of both the COX-2/PGE<sub>2</sub> pathway and oncogenic Wnt signalling. Notably, the induction of the COX-2/PGE<sub>2</sub> pathway induces chronic inflammation in the stomach, which leads to the generation of the inflammatory tumour microenvironment. We found that macrophage-derived tumour necrosis factor- $\alpha$  (TNF- $\alpha$ ) hyperactivates the Wnt activation level of the adjacent tumour epithelial cells. Wnt signalling is important for the maintenance of stemness in gastrointestinal stem cells, and activation of Wnt signalling causes tumour formation. Moreover, TNF- $\alpha$  induces the expression of Noxo1 in tumour cells (a component of the NADPH oxidase 1 [NOX1] complex), resulting in the activation of reactive oxygen species (ROS) signalling. NOX1-derived ROS have been shown to be important for cancer stem cell ability. Accordingly, in tumour tissues, the COX-2/PGE<sub>2</sub> pathway promotes gastric tumourigenesis through the generation of the inflammatory microenvironment; where TNF- $\alpha$  activates the NOX1 complex in tumour cells, resulting

H. Oshima

K. Echizen • M. Oshima (⊠) Division of Genetics, Cancer Research Institute, Kanazawa University, Kanazawa 920-1192, Japan

AMED-CREST, Kanazawa 920-1192, Japan e-mail: oshimam@staff.kanazawa-u.ac.jp

Y. Maeda

Division of Genetics, Cancer Research Institute, Kanazawa University, Kanazawa 920-1192, Japan

Division of Gene Regulation, Institute for Advanced Medical Research, Keio University, Tokyo 160-8582, Japan

Division of Genetics, Cancer Research Institute, Kanazawa University, Kanazawa 920-1192, Japan

in the maintenance of stemness. Thus the inhibition of the inflammatory pathways from  $COX-2/PGE_2$  to NOX1/ROS will be an effective strategy for preventing gastric cancer development.

Keywords Gastric cancer • Inflammation • COX-2 • PGE<sub>2</sub> • TNF-α • NOX1 • ROS

## 14.1 Introduction

Epidemiological studies have indicated that the regular use of nonsteroidal antiinflammatory drugs (NSAIDs), such as aspirin, lowers the incidence of gastrointestinal cancer (Thun et al. 1991). The major target of NSAIDs is cyclooxygenase (COX)-1 and COX-2, which are rate-limiting enzymes for prostaglandin biosynthesis. COX-1, which is constitutively expressed in most tissues, performs housekeeping functions such as protecting the gastrointestinal mucosa. In contrast, COX-2 expression is induced in inflamed tissues by various stimuli and plays an important role in inflammatory responses through prostaglandin signalling (Fletcher et al. 1992). The induction of COX-2 expression is also found in a variety of cancer tissues, and the level of prostaglandin  $E_2$  (PGE<sub>2</sub>) is significantly increased in cancer tissues (Wang and DuBois 2010a, b). It has also been indicated that inflammation, which promotes tumourigenesis through a variety of mechanisms, is one of the important hallmarks of cancer (Grivennikov et al. 2010; Hanahan and Weinberg 2011). Accordingly, these results strongly suggest that the  $COX-2/PGE_2$ pathway is linked to inflammation and cancer, and that the COX-2/PGE<sub>2</sub>-dependent inflammatory responses play an important role in tumourigenesis.

In a mouse genetic study, we previously demonstrated that the disruption of the *Ptgs2* gene encoding COX-2 in  $Apc^{\Delta 716}$  mice (a model for intestinal polyposis) resulted in the significant suppression of intestinal tumour development (Oshima et al. 1996). Moreover, treatment with NSAIDs or COX-2 inhibitors significantly suppressed intestinal tumour development in chemically-induced mouse tumour models (Oshima and Taketo 2002). An inducible PGE<sub>2</sub> converting enzyme, microsomal PGE-synthase-1 (mPGES-1), has been shown to be functionally coupled with COX-2 for PGE<sub>2</sub> biosynthesis. Its expression is also induced in both inflamed and cancerous tissues. Notably, the disruption of the Ptges gene encoding mPGES-1 in Apc mutant mice resulted in the significant suppression of intestinal tumourigenesis (Nakanishi et al. 2008). Furthermore, the disruption of Ptger2 encoding the PGE<sub>2</sub> receptor EP2 significantly suppressed intestinal polyp development in  $Apc^{\Delta 716}$  mice (Sonoshita et al. 2001). We have also shown that a PGE<sub>2</sub> receptor EP4 inhibitor suppressed gastric tumourigenesis in a genetically engineered gastric tumour mouse model (Gan mice; see below; Oshima et al. 2011). A 'multistep tumourigenesis' model demonstrated the development of malignant cancer from normal tissues via benign adenoma takes place over a period of 15–20 years (Fig. 14.1). Taken together, these genetic results indicate that the inflammatory microenvironment is required for cancer development, and that



**Fig. 14.1** A schematic drawing of multistep tumourigenesis. It takes approximately 15-20 years to develop malignant cancer from benign adenoma. Inflammatory responses are associated throughout the process of tumourigenesis. The expression of COX-2 and mPGES-1 is induced in the inflammatory microenvironment, resulting in the increase of the PGE<sub>2</sub> level. PGE<sub>2</sub> signalling through the EP2 and EP4 receptors has been shown to be important for tumour promotion

the COX-2/PGE<sub>2</sub> pathway plays a key role in tumour promotion through PGE<sub>2</sub> receptor EP2/EP4 signalling. In this chapter, we discuss the role of COX-2/PGE<sub>2</sub> pathway-associated chronic inflammation in gastric tumourigenesis, which we elucidated through mouse model studies.

# 14.2 'Gan mice' Develop Inflammation-Associated Gastric Tumours

# 14.2.1 The Induction of Gastric Inflammation and Hyperplasia by COX-2/PGE<sub>2</sub> Pathway

Infection with *H. pylori* causes chronic atrophic gastritis, which is strongly associated with gastric cancer development. *H. pylori* infection is therefore recognised as an important risk factor for gastric tumourigenesis. The COX-2/PGE<sub>2</sub> pathway is activated in the *H. pylori*-infected and inflamed gastric mucosa; the eradication of *H. pylori* results in decreased levels of COX-2 expression (McCarthy et al. 1999). Thus, it is possible that the COX-2/PGE<sub>2</sub> pathway plays an essential role in *H. pylori* infection-associated gastric tumourigenesis. To examine the role of the COX-2/PGE<sub>2</sub> pathway in gastric tumourigenesis, we constructed *K19-C2mE* mice, which expressed *Ptgs2* and *Ptges* (encoding COX-2 and mPGES-1, respectively) in gastric epithelial cells under the control of the *Krt19* gene promoter. In the gastric

mucosa of *K19-C2mE* mice, the PGE<sub>2</sub> level was significantly increased and infiltrating macrophages were activated in mucosa, where they induced inflammatory responses (Oshima et al. 2004, 2009). Consistently, the expression levels of proinflammatory cytokines, TNF- $\alpha$ , IL-1 $\beta$ , and IL-11, and chemokines, CCL2, CXCL1, and CXCL2 were increased to a significant extent in the *K19-C2mE* mouse stomach. Accordingly, it is possible that the induction of the COX-2/PGE<sub>2</sub> pathway was responsible for inflammatory responses (Fig. 14.2). Considering that the COX-2/PGE<sub>2</sub> pathway is induced in most solid cancer tissues (Wang and DuBois 2010a, b), it is possible that the COX-2/PGE<sub>2</sub> pathway leads to the generation of the inflammatory microenvironment in most types of solid cancers.



Fig. 14.2 Mouse models of gastric tumourigenesis. K19-Wnt1 and K19-C2mE are transgenic mice that express Wnt1 and Ptgs1/Ptges, respectively. These models are bred together to construct *Gan* mice. K19-Wnt1 mice develop small preneoplastic lesions due to Wnt activation, whereas K19-C2mE mice show inflammation and SPEM formation, which are caused by the induction of PGE<sub>2</sub> signalling. *Gan* mice develop gastric tumours following the simultaneous activation of both pathways (Reproduced from Oshima et al. 2009)

It is important to note that K19-C2mE mice have been shown to develop metaplastic hyperplasia in the glandular stomach, which consists of mucous epithelial cells, which are histologically similar to 'spasmolytic polypeptide (trefoil factor 2: TFF2)-expressing metaplasia (SPEM)'; (Oshima et al. 2005; Fig. 14.2). SPEM lesions are frequently found adjacent to human gastric cancer. SPEM is therefore thought to be a precancerous lesion of gastric cancer (Weis and Goldenring 2009). These results indicate that COX-2/PGE<sub>2</sub>-associated inflammation causes the formation of SPEM, which may lead to the development of gastric cancer. Although the underlying mechanism remains to be elucidated, it is possible that inflammatory responses disturb the normal differentiation process of epithelial cells through the induction of cytokines and growth factors, thereby leading to SPEM formation.

# 14.2.2 Tumour Promotion by Wnt Activation and COX-2/ PGE<sub>2</sub>-Associated Inflammation

Wnt signalling is required for the maintenance of stemness of normal intestinal stem cells. The genetic alteration of the Apc or Ctnnbl genes encoding APC and  $\beta$ -catenin, respectively, results in the constitutive activation of Wnt signalling, which causes the initiation of tumour development in the intestine (Gregorieff and Clevers 2005; Taketo 2006). Although genetic alterations in APC or CTNNB1 are not common in human gastric cancer (Cancer Genome Atlas Network 2014), the accumulation of  $\beta$ -catenin in tumour cells, a hallmark of Wnt signalling activation, can be found by immunohistochemistry in approximately 50% of gastric cancer tissue specimens (Oshima et al 2006). It is therefore possible that Wnt activation by mechanism(s) other than mutations in APC and CTNNB1 may initiate human gastric cancer development. To assess this possibility, we constructed K19-Wnt1 mice that expressed Wnt1, one of the canonical Wnt signalling ligands, in their gastric epithelial cells. The expression of Wntl causes constitutive Wnt signalling activation in the gastric mucosa. Notably, the K19-Wnt1 mice developed a number of small preneoplastic lesions (consisting of dysplastic epithelial cells), indicating that Wnt activation can initiate gastric tumourigenesis (Fig. 14.2).

However, the preneoplastic lesions of K19-Wnt1 mice never progressed to gastric tumours, indicating that Wnt activation alone is not sufficient to initiate tumour development. We therefore crossed K19-C2mE mice and K19-Wnt1 mice to generate compound transgenic mice (*Gan* mice) that expressed *Ptgs2*, *Ptges*, and *Wnt1* simultaneously in their gastric epithelial cells. This resulted in the activation of both Wnt signalling and the COX-2/PGE<sub>2</sub> pathway, which is similar to what occurs in human gastric cancer tissues. Note that all of the *Gan* mice developed inflammation-associated gastric tumours (Oshima et al. 2006, 2009). Taken together, these results indicate that the COX-2/PGE<sub>2</sub> pathway promotes

tumourigenesis in Wnt-activated epithelial cells through the generation of the inflammatory microenvironment (Fig. 14.2).

# 14.3 The Mechanisms of Tumour Promotion by Inflammatory Responses

# 14.3.1 Hyperactivation of Wnt Signalling by TNF-α Signalling

Macrophage infiltration is present in the stroma of preneoplastic lesions of *K19-Wnt1* mouse stomach; such macrophage infiltration is not found in the normal gastric mucosa. Interestingly, the immunostaining intensity of  $\beta$ -catenin was found to be substantially increased in dysplastic epithelial cells from preneoplastic lesions of *K19-Wnt1* mice (Oguma et al. 2008). These staining results indicate that the Wnt signalling activation level is further increased (hyperactivated) in preneoplastic lesions of the gastric mucosa. From these results, we hypothesised that the activated macrophage-derived factors promote the Wnt signalling activity of the adjacent epithelial cells, and that such Wnt hyperactivation would lead to tumourous changes in the gastric mucosa.

In the  $Apc^{\Delta 776}$  mouse model of intestinal polyposis, mice develop intestinal tumours through the activation of Wnt signalling, which occurs due to the loss of heterozygosity of the Apc gene (Oshima et al. 1995). Note that intestinal tumourigenesis was significantly suppressed when  $Apc^{\Delta 716}$  mice were crossed with op/op mutant mice, in which macrophages are depleted due to a *Csf1* mutation (Oguma et al. 2008; Fig. 14.3). Taken together, these results indicate that macrophage infiltration in the tumour stroma is required for gastrointestinal tumour development, which possibly occurs through the hyperactivation of Wnt signalling activity in tumour cells.

# 14.3.2 Wnt Promotion by TNF-α Signalling in Gastric Cancer Cells

The level of Wnt signalling activity in cancer cells is indicated by the TOP-GFP reporter vector (Oguma et al. 2008). Notably, the GFP expression level was found to be significantly increased (Wnt signalling was activated) in gastric cancer cells, when these cells were treated with a conditioned medium (CM) of activated macrophages, indicating that macrophage-derived factor promotes the Wnt signalling of tumour cells (Fig. 14.3). Note that the promotion of Wnt signalling by CM was significantly suppressed by the treatment of cells with TNF- $\alpha$  neutralising



**Fig. 14.3** Tumour promotion by macrophage-derived TNF- $\alpha$ . (a) The suppression of intestinal tumour development in  $Apc^{A716}$  op/op mice by macrophage depletion. (b) The increase in the GFP-High population (Wnt activation) of TOP-GFP reporter cells in response to macrophage CM treatment. (c) A schematic drawing of TNF- $\alpha$ -dependent tumour promotion. In the COX/PGE<sub>2</sub>-induced inflammatory microenvironment, TNF- $\alpha$  derived from macrophages promotes the Wnt signalling of tumour cells, resulting in increased stemness, which contributes to tumour promotion (Reproduced from Oguma et al. 2008, 2009)

antibody, indicating that TNF- $\alpha$  signalling enhances Wnt signalling activity in gastric cancer cells (Oguma et al. 2008, 2010).

Because Wnt signalling is required for the maintenance of epithelial stem cells, it is possible that the inflammatory responses enhance the stemness of tumour cells through the activation of TNF- $\alpha$  signalling. TNF- $\alpha$  signalling activates transcription factor NF-kB, which plays a central role in inflammation through the induction of cytokine and chemokine expression. Recently, it has been shown that the
simultaneous activation of Wnt signalling and NF- $\kappa$ B leads to the acquisition of stem cell properties in differentiated intestinal epithelial cells (Schwitalla et al. 2013). Accordingly, it is possible that the TNF- $\alpha$ /NF- $\kappa$ B pathway promotes gastric tumourigenesis through the enhancement of the stemness of tumour cells by the activation of Wnt signalling (Fig. 14.3). Interestingly, cell proliferation is significantly increased in the inflamed mucosa of the *H. pylori*-infected mouse stomach and the number of differentiated cells (such as parietal cells) is significantly decreased, suggesting that epithelial differentiation is suppressed by inflammatory responses (Oguma et al. 2008).

#### 14.3.3 The Promotion of Gastric Tumourigenesis by TNF-α Signalling

Gastric tumour development was dramatically suppressed in Gan mice when the TNF- $\alpha$  gene (*Tnf*) was disrupted, and the mean tumour size in the *Gan Tnf*-/- mice was decreased to less than 20% of that in the littermate control mice (Oshima et al. 2014) (Fig. 14.4). Although TNF- $\alpha$  signalling was suppressed in *Gan Tnf*-/mouse tumours, macrophage infiltration was still present and other cytokines including IL-1ß and IL-6 were induced at high levels in gastric tumours. Accordingly, it is possible that, among the various cytokines induced in the tumour microenvironment, TNF- $\alpha$  is indispensable for gastric tumourigenesis. Note that bone marrow transplantation from wild-type mice to Gan Tnf-/- mice significantly rescued the gastric tumour phenotype, indicating that TNF- $\alpha$  expressed by bone-marrow-derived cells. including macrophages, promotes gastric tumourigenesis.

To determine the TNF- $\alpha$ -dependent tumour-promoting factors, a microarray analysis was performed using gastric tumour tissues of *Gan Tnf*-/- mice and control *Gan* mice (Gene Expression Omnibus accession, GSE43145). One hundred fifty-seven (157) genes were selected as upregulated genes in tumour tissues in a TNF- $\alpha$ -dependent mechanism (Oshima et al. 2014). Interestingly, the marker genes for intestinal stem cells and progenitor cells, such as *Cd44*, *Prom1*, and *Sox9* (Itzkovitz et al. 2011) were significantly downregulated in *Gan Tnf*-/- mouse tumours. It is therefore possible that TNF- $\alpha$  signalling plays a role in the maintenance of stemness or the undifferentiated status of gastric tumour cells, which is consistent with the results of Sect. 14.3.2.

The TNF- $\alpha$ -dependent upregulated genes were further compared with gene the expression profile of normal gastric stem cells (Barker et al. 2011), and 11 genes from the list including *Aqp1*, *Azin1*, *Cd44*, *Cldn2*, *Gfpt1*, *Gna4*, *Noxo1*, *Prom1*, *Sgms1*, and *Sox9* were found to be upregulated in both normal gastric stem cells and tumour tissues in a TNF- $\alpha$ -dependent manner (Oshima et al. 2014). Interestingly,



**Fig. 14.4** The suppression of gastric tumourigenesis by the disruption of the TNF-α gene (*Tnf*). (a) A representative macroscopic tumour phenotype (*top*) and whole view histology (H&E) (*bottom*) of gastric tumours of mice of the respective genotypes. Arrows indicate regressed gastric tumours in *Gan Tnf*-/- mice. (b) The gastric tumour sizes of *Gan Tnf*+/- and *Gan Tnf*-/- mice relative to the mean level of *Gan Tnf*+/+ mouse tumours (set at 100%). *Asterisks* indicate statistical significance (P < 0.05). (c) A list of the genes that are upregulated in tumour tissues in a TNF-α-dependent manner and also in the Lgr5+ normal gastric stem cells. The stem-cell-related factors are indicated in *red*. Noxo1 is highlighted in *pink* (Reproduced from Oshima et al. 2014)

CD44, Prom1, and SOX9 are well-described stem-cell–related factors whose expression is induced by Wnt signalling (Fig. 14.4). Taken together, it is possible that TNF- $\alpha$ -induced Wnt activation is responsible for increased undifferentiated state of tumor cells.

#### 14.3.4 The TNF-α–Induced Activation of NOX1-Dependent ROS Signalling

To identify the most important TNF- $\alpha$ -dependent tumour-promoting factors, a functional analysis was performed using a soft agar colony formation assay for the 11 selected genes (see Sect. 3.3). As expected, the inhibition of *Cd44*, *Prom1*, and *Sox9* resulted in the suppression of gastric cancer cell colony formation. However, the most marked suppression of colony formation was found when *Noxo1* expression was inhibited (Oshima et al. 2014).

Noxo1 is a cytosolic regulatory subunit of the NADPH oxidase1 (NOX1) complex, which produces reactive oxygen species (ROS). It has been shown that NOX1 expression is upregulated in a variety of cancers including colon cancer, and several mechanisms of NOX1-produced ROS have been reported to occur in tumourigenesis (Block and Gorin 2012). For example, NOX1-dependent ROS suppresses protein tyrosine phosphatases (PTPs), which results in the activation of tyrosine kinase receptor (RTK) signalling, increasing the proliferation of tumour cells. Moreover, NOX1 expression is upregulated by oncogenic RAS activation, and is required for cell transformation (Adachi et al. 2008). More recently, it has been demonstrated that the NOX1 complex is activated in intestinal tumour cells of Apc<sup>Min</sup> mice, and the NOX1 complex-dependent ROS, together with NF-KB pathway, has been demonstrated to play a role in the maintenance of stemness in tumour epithelial cells (Myant et al. 2013). Note that we found that the ROS production in cancer cells induced by the NOX1 complex is regulated by Noxo1 expression, thus TNF- $\alpha$  can regulate the ROS level by the induction of Noxo1 expression in cancer cells (Echizen, unpublished results). Taken together, these results suggest that the inflammatory microenvironment supports gastric tumour promotion through the maintenance or acquisition of stemness in tumour cells via the induction of NOX1 complex-dependent ROS signalling, which is regulated by exogenous TNF- $\alpha$ stimulation (Fig. 14.5). It is therefore possible that targeting the NOX1/ROS pathway will be effective as a preventive strategy against gastric cancer development.

# 14.4 The Mechanism Underlying the Generation of the Inflammatory Microenvironment

#### 14.4.1 The Innate Immunity and Stemness of Intestinal Epithelial Cells

It has been shown that commensal bacteria constitutively stimulate intestinal mucosa through Toll-like receptor (TLR)2 and TLR4, which is important for the homeostasis of the intestinal mucosa and is required for regeneration following mucosal damage (Greten et al. 2004). Moreover, the inhibition of MyD88



Fig. 14.5 A schematic drawing of the inflammatory microenvironment and gastric tumourigenesis. The COX-2/PGE<sub>2</sub> pathway and TLR signalling cooperatively induce the generation of the inflammatory microenvironment. TNF- $\alpha$  is expressed by activated macrophages. TNF- $\alpha$  stimulates tumour cells to induce the expression of stem-cell–related factors, such as CD44, Prom1, and Sox9. Noxo1 is also induced by TNF- $\alpha$ , which contributes to the activation of NOX1 complex to produce ROS. NOX1/ROS signalling is activated by the Wnt pathway, which enhances the stemness of tumour cells. TLR2 signalling has also been shown to be important for the stemness of tumour cells

(an adapter molecule of the TLRs) signalling resulted in the significant suppression of intestinal tumourigenesis in  $Apc^{Min}$  mice (Rakoff-Nahoum and Medzhitov 2007). We found that the expression level of TLR2 is significantly increased (in comparison to the other TLRs) in the tumour epithelial cells of the gastric tumour tissues of *Gan* mice and gp130<sup>F/F</sup> mice. gp130<sup>F/F</sup> mice are another gastric tumour model which develop inflammation-associated gastric tumours due to IL-11 signalling-induced hyper Stat3 activation. Notably, the disruption of the *Tlr2* gene significantly suppressed gastric tumourigenesis in gp130<sup>F/F</sup> mice, indicating the role of TLR2 signalling in tumourigenesis (Tye et al. 2012). More recently, it has been demonstrated that TLR2 signalling through MyD88 is important for the maintenance of stemness of intestinal and mammary gland epithelial stem cells (Scheeren et al. 2014). These results strongly suggest that the innate immune responses that occur via the TLR2/MyD88 pathway play a role in tumourigenesis.

#### 14.4.2 Bacterial Infection and Gastric Tumourigenesis

The basal expression levels of cytokines and chemokines such as TNF- $\alpha$ , IL-1 $\beta$ , CXCL1, and CXCL2 were significantly decreased in the germfree mouse stomach in comparison to SPF mice, suggesting that indigenous bacteria contribute to the basal expression level of inflammatory factors in the gastric mucosa. Although it has been established that *H. pylori* infection is strongly associated with gastric cancer development, there is limited information on whether indigenous bacteria play a role in gastric tumourigenesis through the modulation of innate mucosal immunity.

We therefore examined the role of commensal bacteria in gastric tumourigenesis by the construction of germfree Gan mice (Oshima et al. 2011). Notably, gastric tumourigenesis was significantly suppressed in germfree Gan mice and tumour volume was decreased to approximately 30% of that in the SPF control Gan mice. Interestingly, infection with *Helicobacter felis*, a close relative of *H. pylori*, in the stomach of germfree Gan mice caused the development of gastric tumours, indicating the role of bacterial infection in gastric tumourigenesis. An histological analysis revealed that macrophage infiltration was suppressed in germfree Gan mouse tumours, and that cytokine induction levels were significantly decreased, indicating a role of innate immunity in the COX-2/PGE<sub>2</sub>-dependent inflammatory responses. Through genetic studies, we also found that signalling through the TLRs plays a role in gastric tumourigenesis in Gan mice (Maeda et al. 2016; Fig. 14.5). Accordingly, it is possible that the COX-2/PGE<sub>2</sub> pathway and innate immune signalling through the TLRs cooperatively generate the inflammatory tumour microenvironment, which further contributes to the promotion of gastric tumourigenesis.

#### 14.5 Conclusions and Perspectives

It has been established that inflammation plays a role in cancer development. Using a *Gan* mouse model, we showed that the COX-2/PGE<sub>2</sub> pathway plays a tumourpromoting role through the generation of the inflammatory microenvironment. Our results also showed the role of TNF- $\alpha$  in tumourigenesis for the maintenance of undifferentiated state of tumour cells through the induction of the NOX1/ROS signalling pathway. Moreover, we showed that infectious stimuli to the mucosa by indigenous bacteria contribute to the generation of inflammatory responses, together with the COX-2/PGE<sub>2</sub> pathway, suggesting a role of innate immunity in tumour development. It has recently been reported that a *K-ras* mutation causes the activation of innate immune responses, which leads to cytokine circuit activation (Zhu et al. 2014), and that a p53 mutation prolongs the TNF- $\alpha$ -dependent NF- $\kappa$ B activation in cancer cells (Cooks et al. 2013). Accordingly, it is conceivable that microbiota and oncogenic mutations cooperatively activate innate immunity, thereby contributing to the generation of the inflammatory microenvironment, which promotes tumourigenesis and malignant progression through the enhancement of the proliferation and stemness of transformed epithelial cells.

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## Chapter 15 Cellular Senescence as a Novel Mechanism of Chronic Inflammation and Cancer Progression

#### Naoko Ohtani

Abstract Cellular senescence is a state of irreversible cell proliferation arrest provoked by a persistent DNA damage induced by a variety of potentially oncogenic signals, and it was initially identified as a tumour-suppression mechanism. Recent studies, however, revealed that senescent cells have the potential to secrete numerous inflammatory cytokines, chemokines, growth factors, and matrixremodeling factors, because unlike apoptotic cells, senescent cells are viable for a long period of time. This newly identified phenotype of cellular senescence, called senescence-associated secretory phenotype (SASP or senescence-associated secretome), could potentially provide beneficial effects, such as reinforcement of cellular senescence and tissue repair, but sometimes could induce deleterious side effects, such as chronic inflammation and cancer progression, depending on the biological context. Since obesity is associated with chronic inflammation and cancer, we thought that the senescence-associated secretome could be closely involved in the inflammation and tumourigenesis accompanying obesity. In this review, I first discuss the role and the mechanism of cellular senescence, and then introduce the role of senescence-associated secretome in vivo including our recent findings on the mechanism of obesity-associated liver cancer, promoted by the senescence-associated secretome in hepatic stellate cells, which could form a cancer-promoting microenvironment.

**Keywords** Cellular senescence • Senescence-associated secretome • Inflammatory cytokines • Obesity • Liver cancer • Deoxycholic acid

N. Ohtani (🖂)

Department of Applied Bioscience, Faculty of Science and Technology, Tokyo University of Science, 2641 Yamazaki, Noda-shi, Chiba, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: naoko.ohtani@rs.tus.ac.jp

#### 15.1 Introduction

Cellular senescence is the state of irreversible cell-cycle arrest provoked by a persistent DNA damage, which is induced by a variety of potentially oncogenic stimuli, and has therefore long been considered to suppress tumourigenesis, playing a role as a guardian of tissue homeostasis (Finkel et al. 2007; Campisi 2005; Herbig and Sedivy 2006; Adams 2009; Collado and Serrano 2010; Kuilman et al. 2010; Muñoz-Espín and Serrano 2014). However, new evidence has revealed that senescent cells have a character to promote the secretion of inflammatory cytokines, chemokines, growth factors, matrix remodelling factors, growth factors and so on (Rodier and Campisi 2011). These factors alter the local tissue environment and contribute to some physiological effects, such as tissue repair (Rodier and Campisi 2011: Jun and Lau 2010; Demaria et al. 2014), but also to deleterious effects, such as chronic inflammation and cancer development (Rodier and Campisi 2011; Coppé et al. 2008; Kuilman and Peeper 2009), depending on the biological context. This newly identified senescence phenotype, termed the senescence-associated secretory phenotype (SASP; Coppé et al. 2008) or the senescence-messaging secretome (SMS; Kuilman and Peeper 2009; hereafter designated as the senescence-associated secretome), is known to be induced by persistent DNA damage (Rodier et al. 2009) that promotes the induction of cellular senescence. All of these senescenceassociated secreted factors seem to be involved in homeostatic disorders, such as cancer. Our recent study focused on obesity-associated pathological conditions, and particularly on the link between obesity and liver cancer, and we discovered the role of the senescence-associated secretome in tumour-promoting microenvironments in obesity-associated liver cancer (Yoshimoto et al. 2013). In this review, we first introduce what cellular senescence is and its associated molecular mechanisms, and then discuss the role of the senescence-associated secretome especially in vivo including our recent findings on the mechanism of obesity-associated liver carcinogenesis (Yoshimoto et al. 2013).

# **15.2** Cellular Senescence: Irreversible Cell Proliferation Arrest

Most human somatic cells can initially proliferate well when cultured in vitro, but they stop dividing permanently after a finite number of cell divisions, and enter a state of irreversible cell proliferation arrest (Haff and Swim 1956; Hayflick 1965). This phenomenon of proliferation limit is called 'cellular senescence' or 'replicative cellular senescence'. Unlike quiescent (G0 phase) cells, which are induced by low serum or contact inhibition conditions, senescent cells are irreversibly arrested predominantly in the G1 phase and are no longer able to divide even with proliferative stimuli, although they remain viable and metabolically active for long periods of time. In contrast, most cancer cells appear to have bypassed this proliferative limit and evaded cellular senescence. Thus, cellular senescence has been considered as a barrier to cancer, by playing an important role in preventing the extensive cell divisions required for malignant transformation (Muñoz-Espín and Serrano 2014; Finkel et al. 2007; Campisi 2005; Herbig and Sedivy 2006; Collado and Serrano 2010; Kuilman et al. 2010).

In human cells, the mechanism underlying replicative cellular senescence is thought to be telomere shortening. Telomeres are located at the ends of eukaryotic chromosomes, and they have special DNA structures with repetitive DNA elements to protect the DNA ends from degradation and chromosomal end-to-end fusion (Deng et al. 2008). The telomere length is maintained by a specific enzyme called telomerase, which is expressed in human germline cells and stem cells, but not in most normal somatic cells. Due to the incompletion of the DNA replication at the end of the telomere by the lack of telomerase, telomeres become shorter and shorter with each round of cell division (Harley et al. 1990). Eventually, progressive telomere erosion results in telomere dysfunction, and this is thought to initiate DNA damage response signals to activate the p53-dependent checkpoints that contribute to cellular senescence (de Lange 2005; Deng et al. 2008; Fumagalli et al. 2012).

In contrast to human cells, there is no strong evidence that rodent cells undergo replicative cellular senescence by telomere erosion (Wright and Shay 2000; Sherr and Depinho 2000), because the telomeres in rodent cells are known to be quite long, and many somatic rodent cells have telomerase activity. However, the senescence-like proliferative block in rodent cells occurs without detectable telomere shortening. This phenomenon suggests that a mechanism other than telomere shortening can cause cellular senescence in rodent cells. In this regard, it is interesting to note that primary mouse embryonic fibroblasts are known to proliferate indefinitely, if the cells are maintained under certain culture conditions, such as low oxygen conditions (Parrinello et al. 2003; Takeuchi et al. 2010). In addition, rat oligodendrocyte precursor cells and rat Schwann cells do not senesce in serumfree medium, but do senesce in the presence of serum (Lloyd 2002). These findings clearly demonstrated that cellular senescence can be induced without apparent telomere shortening, when cells are exposed to nonphysiological conditions in vitro. Notably moreover, the fact that antioxidant treatment delays cellular senescence induction strongly suggests that reactive oxygen species (ROS) can trigger cellular senescence (Finkel et al. 2007; Kuilman et al. 2010; Takahashi et al. 2006; Imai et al. 2014). Recent studies in human cells revealed that a similar irreversible proliferation block can be induced quite rapidly when normal cells are exposed to persistent DNA damage caused by a variety of potentially oncogenic stimuli, excessive levels of ROS, treatment with DNA damaging agents, activation of certain oncogenes, and so on (Finkel et al. 2007; Campisi 2005; Herbig and Sedivy 2006; Collado and Serrano 2010; Kuilman et al. 2010; Serrano et al. 1997; Serrano and Blasco 2001; d'Adda di Fagagna 2008). These types of oncogenic stress-induced senescence are now referred to as 'stress-induced senescence' (SIS) or 'oncogene-induced senescence' (OIS), in cases where oncogenic stimuli induce the cellular senescence.

# **15.3** Cellular Senescence as an Important Tumour Suppression Mechanism

The basic mechanisms for the induction of senescent cell-cycle arrest have been well documented. In mammalian cells, the RB and p53 tumour suppressor proteins play critical roles in the induction of cellular senescence (Shay et al. 1991; Hara et al. 1991). Particularly, RB and its family members, p107 and p130, are essential for the onset of senescent cell cycle-arrest (Dannenberg et al. 2000; Sage et al. 2000, 2003). The activities of RB-family proteins are precisely regulated by phosphorylation, protein–protein interactions, and other protein modifications (Cobrinik et al. 1992; Classon and Harlow 2002). A series of cyclin-dependent kinases (CDKs), including CDK2, CDK4, and CDK6, play key roles in regulating the activities of RB-family proteins (Sherr and Roberts 1999). When RB is phosphorylated by these CDKs, it loses its ability to bind to the E2F family of transcription factors and is unable to repress E2F functions that facilitate DNA replication and cell-cycle progression (Cobrinik et al. 1992; Classon and Harlow 2002; Sherr and Roberts 1999).

Senescent cells arrest themselves in the G1 phase of the cell cycle, and are no longer able to synthesize DNA. In many cases, this is because the CDKs are inactivated in senescent cells by CDK inhibitors (CDKI; Sherr and Roberts 1999). There are two different classes of CDKIs, the KIP/CIP family CDKIs (p21<sup>Cip1</sup>, p27<sup>Kip1</sup>, and p57<sup>KipII</sup>) and the INK4 family CDKIs (p16<sup>Ink4a</sup>, p15<sup>Ink4b</sup>, p18<sup>Ink4c</sup>, and p19<sup>Ink4d</sup>). The KIP/CIP family members inhibit a broad range of CDKs, whereas the INK4 family proteins specifically bind and inactivate CDK4 and CDK6 (Sherr and Roberts 1999). In normal proliferating cells, the expression levels of CDKIs are very low (Noda et al. 1994; Hara et al. 1996; Alcorta et al. 1996). However, in response to irreparable DNA damage caused by a variety of oncogenic stimuli, the expression levels of the  $p21^{Cip1}$  and  $p16^{Ink4a}$  genes are significantly upregulated by p53-dependent and p53-independent mechanisms, respectively (Noda et al. 1994; Hara et al. 1996; Ohtani et al. 2001; el-Deiry et al. 1993). In addition, the simultaneous induction of p21<sup>Cip1</sup> and p16

<sup>Ink4a</sup> cooperatively inactivates all CDKs that phosphorylate RB-family proteins (Takeuchi et al. 2010; McConnell et al. 1999; Mitra and Dai 1999), thereby causing cellular senescence, a permanent proliferation arrest. It is noteworthy that the senescence pathway including  $p16^{Ink4a}$ , CDKs and RB is highly disregulated in nearly all human cancers (Gil and Peters 2006), illustrating the importance of the p16/RB pathway in tumour suppression through senescence induction.

#### 15.4 Quiescence–Senescence Switch

We have recently identified the mechanism that switches cellular quiescence to cellular senescence (Imai et al. 2014). As discussed above, cell-cycle arrest in both quiescence and senescence is primarily regulated by the retinoblastoma (Rb) tumour-suppressor activation. We have shown that in cultured fibroblasts, the molecular switch that determines whether cells undergo quiescence or senescence is mainly dependent on the level of intracellular ROS, which is determined by the expression of SOD2, a ROS-scavenging enzyme, evoked by the overlapping functions of the FoxO3a and FoxM1, fork-head transcription factors family (Lam et al. 2013). The activity of FoxO3a is regulated by its phosphorylation by AKT kinase, an important responder to mitogenic signals, which provokes nuclear export of FoxO3a thereby suppressing its activity (Naka et al. 2010). We found that FoxM1 is regulated by E2F transcription factor and strongly induced by mitogenic signals. In the absence of mitogenic signals, even when FoxM1 expression is repressed by the Rb pathway, FoxO3a transcription factor functions in the nuclear and prevents ROS production by maintaining SOD2 expression, leading to quiescence. However, if the Rb pathway is activated in the presence of mitogenic signals, in this situation FoxM1 expression is suppressed and FoxO3a is inactivated by AKT signalling, thus reducing SOD2 expression and consequently allowing ROS production. The accumulation of ROS elicits senescence through irreparable DNA damage (Imai et al. 2014).

#### 15.5 Senescence-Associated Secretome

As mentioned above, there is no doubt that cellular senescence functions as an important tumour suppression mechanism. However, unlike apoptotic cells, senescent cells are known to remain viable for long periods of time. Indeed, we and others confirmed that senescent cells with high p16 expression accumulate in various aged organs (Yamakoshi et al. 2009; Krishnamurthy et al. 2004), with the accumulation of DNA damage markers. Of particular biological importance, it has recently become apparent that senescent cells exhibit increased expression of genes encoding a series of secreted proteins, such as inflammatory cytokines, chemokines, matrix remodeling factors, and growth factors, which can be beneficial or deleterious, depending on the biological context (Fig. 15.1; 8, 9; Acosta et al. 2008; Kuilman et al. 2008; Maier et al. 1990; Ohanna et al. 2011; Coppé et al. 2008). This newly identified senescent phenotype, the senescence-associated secretome, is observed in both replicative and oncogene-/stress-induced cellular senescence (Coppé et al. 2008) induced by DNA damage (Rodier et al. 2009). Although the mechanisms of the senescence-associated secretome have been initially investigated, mainly using normal fibroblasts (Acosta et al. 2008; Kuilman et al. 2008; Coppé et al. 2008), a series of papers reported that the secretome induced by



**Fig. 15.1** The role of senescence-associated secretome. Cellular senescence is a state of irreversible cell proliferation arrest provoked by a variety of DNA damage-inducing signals. However, unlike apoptotic cells, senescent cells remain viable for a long time, and they eventually secrete a series of inflammatory cytokines, chemokines, and matrix-remodelling factors (senescenceassociated secretory phenotype, SASP or senescence-associated secretome). Some of the secreted proteins can reinforce the senescence proliferation block. Other factors could promote the infiltration of immune cells, such as NK cells or macrophages, to clear the senescent cells. Moreover, these factors were recently found to accelerate tissue repair. However, some of the secreted factors, such as IL-6 and IL-8, are also known to promote malignant transformation, in cooperation with certain oncogene activation that may therefore contribute to form a cancer-promoting microenvironment. The sustained secretion of these factors could contribute to chronic inflammation

cellular senescence is observed in other types of cells, such as human melanocytes (Kuilman et al. 2008), human endothelial cells (Maier et al. 1990; Coppé et al. 2008), and cancerous cells (Ohanna et al. 2011; Chien et al. 2011).

#### 15.5.1 Physiological Role of Senescence-Associated Secretome

The factors produced in the senescence-associated secretome reportedly suppress tumourigenesis by reinforcing the senescence proliferation arrest acting as a further tumour suppression mechanism (Acosta et al. 2008; Kuilman et al. 2008; Kortlever et al. 2006). Interesting moreover, are some recent reports demonstrating the physiological roles of senescence-associated secretome in vivo. Cellular senescence and senescence-associated secretome of hepatic stellate cells were induced in response to liver damage in carbon-tetrachloride-treated mouse liver

(Krizhanovsky et al. 2008). These senescent hepatic stellate cells seemed to limit the liver fibrosis by suppressing their proliferation, and secrete some extracellular matrix-degrading enzymes and NK cell-receptor ligands to enhance immune surveillance to clear the senescent cells (Krizhanovsky et al. 2008; Kang et al. 2011). Other reports showed the induction of senescence of skin fibroblasts in the process of wound healing. Skin wound rapidly induces matricellular protein CCN1 at the site of wound repair and provokes ROS production, thereby inducing cellular senescence of skin fibroblasts that express antifibrototic genes, to limit the skin fibrosis (Jun and Lau 2010). A recent paper also revealed the cellular senescence of fibroblasts on skin wound, and one of the senescence-associated secretome factors, PDGF-AA, could accelerate wound healing (Demaria et al. 2014). These reports suggest that physiologically, the senescence program seems to limit the fibrogenic response to acute tissue damage, and senescence-associated secretome factors promote normal tissue repair (Demaria et al. 2014). Furthermore, recently, two papers appeared describing developmental senescence in the apical ectodermal ridge and mesonephric tubes during physiological mammalian embryonic development (Muñoz-Espín et al. 2013; Storer et al. 2013) secreting some factors important for tissue development.

#### 15.5.2 Deleterious Role of Senescence-Associated Secretome

In addition to these beneficial effects of senescence-associated secretome, there are some reports showing a dark side of senescence-associated secretome, because secreted factors such as IL-6 and IL-8 are also known to promote malignant transformation and proliferation of cancer cells in cooperation with certain oncogenes (Sparmann and Bar-Sagi 2004; Ancrile et al. 2007; Park et al. 2010). The factors secreted from senescent fibroblasts have been shown to induce an epithelial-mesenchymal transition (EMT), an important step in cancer progression and metastasis (Coppé et al. 2008). The senescence-associated secretome may also affect the microenvironment of cancer tissue. Indeed, the stromal fibroblasts of human ovarian cancer tissue were reportedly senescent cells that secreted a tumourpromoting chemokine (Yang et al. 2006), implying that the senescence-associated secretome might promote human ovarian cancer. The observations that the proteins secreted by senescent cells can promote degenerative hyperproliferative or metastatic changes in neighbouring cells (Coppé et al. 2008; Ohanna et al. 2011; Pribluda et al. 2013), indicate that the release of the senescence-associated secretome factors results in undesirable cancer-developing consequences. Recently the mechanism that switches beneficial or deleterious consequences was demonstrated. These secreted factors are known to act in a paracrine manner to reinforce the senescence cell-cycle arrest when p53 status of the target cells is normal, whereas on the other hand, they provide deleterious cell-nonautonomous side effects that promote tumourigenesis when surrounding cells harbour inactive p53 (Acosta et al. 2008; Kuilman et al. 2008; Chien et al. 2011; Kortlever et al. 2006; Pribluda et al. 2013). Thus, the p53 status determines whether the senescenceassociated secretome factors enhance the cellular senescence or the cancer progression (Pribluda et al. 2013).

#### 15.5.3 The Mechanism to Induce the Expression of Senescence-Associated Secretome

Most of the genes encoding the senescence-associated secretome factors are known to be regulated by NFkB transcription factors (Lee et al. 2010; Ohanna et al. 2011; Chien et al. 2011). In addition to the role of NFkB, we identified an epigenetic mechanism to induce the expression of the senescence-associated secretome factors (Takahashi et al. 2012). DNA damage response signals in senescent cells induce proteasomal degradation of G9a and GLP, major histone H3K9 mono- and dimethyltransferases, through Cdc14B- and p21<sup>Waf1/Cip1</sup>-dependent activation of APC/C<sup>Cdh1</sup> ubiquitin ligase, thereby causing a global decrease in H3K9 dimethylation, an epigenetic mark for chromatic gene silencing. Interestingly, induction of IL-6 and IL-8, major players of the senescence-associated secretory phenotype, correlated with a decline of H3K9 dimethylation around the respective gene promoters and knockdown of Cdh1 abolished IL-6/IL-8 expression in senescent cells, suggesting that the APC/C<sup>Cdh1</sup>-G9a/GLP axis plays crucial roles in aspects of senescent phenotype. These findings indicate a role for APC/C<sup>Cdh1</sup> and reveal how the DNA damage response signals integrate with epigenetic processes to induce senescence-associated gene expression (Takahashi et al. 2012).

#### 15.6 Obesity Promotes Cancer Progression Through Senescence-Associated Secretome

It has also been suggested that obesity could alter the character of the senescenceassociated secretome, which might contribute to obesity-associated diseases, including cancer. In the following sections, our recent findings on the link between obesity and cancer are introduced as an example of the contribution of the senescence-associated secretome to cancer.

#### 15.6.1 Obesity Promotes Liver Cancer and Senescence of Hepatic Stellate Cells

The population of obese individuals continues to rise worldwide (Calle et al. 2003), and obesity is known to increase the risk of not only diabetes and cardiovascular

diseases, but also various cancers, including colorectal cancer, liver cancer, and prostate cancer (Samanic et al. 2004; Møller et al. 1994; Kaaks and Kühn 2014; Haslam and James 2005). Therefore, the prevention of obesity is clearly important for cancer prevention. Inflammatory cytokines such as IL-6 or other secreted proteins such as PAI-1, both of which are known to be the senescence-associated secretome factors, increased in obesity (Kortlever et al. 2006; Park et al. 2010; Yang et al. 2006), we thought that the senescence-associated secretome could be closely associated with obesity-induced inflammation and tumourigenesis.

We found that the long-term high-fat diet (HFD) accelerates development of cancers in mice treated with DMBA, a chemical carcinogen (Yoshimoto et al. 2013). In particular, all of the HFD-fed mice developed hepatocellular carcinomas (HCCs) after 30 weeks, whereas no tumours were found in the lean mice fed with normal diet (ND). Genetically obese mice fed the normal diet also developed HCCs, indicating that obesity itself, but not HFD, accelerated development of HCCs. Histological analysis revealed that activated hepatic stellate cells were observed in the stromal tissue around the lipid-rich hepatocellular carcinoma tumour areas. Interestingly, these hepatic stellate cells exhibited the signs of cellular senescence, the state of stable cell-cycle arrest that can be induced by DNA damage provoked by a variety of oncogenic stimuli. Interestingly, the senescent hepatic stellate cells were expressed multiple senescence-associated secretome factors, which in turn could promote the carcinogenesis of the surrounding hepatocytes. Indeed, depletion of senescent hepatic stellate cells or the deficiency of IL-1 $\beta$ , an upstream regulator of cytokine cascade, prevented the HCC development (Fig. 15.2; Yoshimoto et al. 2013).

#### 15.6.2 Secondary Bile Acid from Gut Bacteria Promotes Liver Cancer Through Senescence-Associated Secretome

The important question is how obesity induced the senescence of hepatic stellate cells. We particularly investigated the alteration of the intestinal microbiota, which are known to alter the profile by obesity (Ley et al. 2006). Lipopolysaccharide, a component of Gram-negative bacteria in the intestinal microbiota, reportedly acts as a ligand of Toll-like receptor 4 (TLR4), to elicit inflammation and promote liver cancer formation, in a different liver cancer-inducing mouse model (administration of DEN plus carbon tetrachloride) (Dapito et al. 2012). The elimination of both the Gram-positive and Gram-negative intestinal microbiota by four antibiotic cocktails as well as the depletion of Gram-positive bacteria by vancomycin treatment significantly suppressed the development of HCC, suggesting that Gram-positive intestinal bacteria could play key roles in obesity-induced HCC development. Indeed, dietary or genetic obesity induces alterations of gut microbiota, thereby increasing the levels of deoxycholic acid (DCA), a gut bacterial metabolite known to cause



Fig. 15.2 Model for obesity-induced HCC development through senescence-associated secretome. Dietary or genetic obesity induces alteration of gut microbiota, thereby causing promotion of DCA production in the intestinal tract. Elevated levels of DCA provoke SASP factors in hepatic stellate cells through enterohepatic circulation, which in turn, secretes various inflammatory and tumour-promoting factors in the liver. This event, together with the activation of various oncogenic signalling pathways, results in the promotion of HCC development

DNA damage (Ridlon et al. 2006; Payne et al. 2007). The enterohepatic circulation of DCA provokes senescence-associated secretory phenotypes in hepatic stellate cells, which accelerate the secretion of various inflammatory and tumour-promoting factors in the liver, thus facilitating HCC development in mice after exposure to chemical carcinogen DMBA. Notably, reducing the serum DCA level efficiently prevents HCC development in obese mice, indicating that the DCA-SASP axis in HSCs has important roles in obesity-associated HCC development. Moreover, in humans, signs of senescence-associated secretome were also observed in the hepatic stellate cells in the area of HCC arising in patients with nonalcoholic steatohepatitis (NASH), indicating that a similar pathway may contribute to certain aspects of obesity-associated HCC development in humans as well (Yoshimoto et al. 2013). Interestingly, the histology of obesity-associated HCC accompanying the senescence-associated secretome in the hepatic stellate cells revealed less fibrotic in our mouse model, suggesting that our system could be a model of noncirrhotic NASH-associated HCC (Takuma and Nouso 2010).

#### 15.7 Conclusion

In this review, I discussed the roles and the mechanisms of cellular senescence, and introduced our recent work as one of the examples of cancer progression promoted by the senescence-associated secretome. As described, senescence-associated secretome produced in the senescent cells influences various physiologies and pathologies. Indeed, the number of reports on the role of senescence-associated secretome is recently increasing. For example, the tumour-promoting effect of senescence-associated secretome on the prostate cancer microenvironment has been recently reported (Laberge et al. 2015). Considering the accumulation of senescent cells with age, it is possible that the role of the senescence-associated secretome could also contribute to the age-related increase in chronic inflammation and cancer.

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## Chapter 16 Establishment of Diagnosis for Early Metastasis

#### Sachie Hiratsuka

Abstract Primary tumours secrete many cytokines and chemokines including CCL2, VEGF, TNF $\alpha$ , and TGF- $\beta$ . In the natural course of primary tumour growth, these factors stimulate bone marrow cells and tissue-resident cells including immune cells and endothelial cells in the distant host tissues. Despite ubiquitously spreading in blood vessels, the secreted factors from primary tumours mediate discrete hyperpermeability foci by several overlapping cascades such as S100A8-SAA3-TLR4/MD-2 and VEGF-FAK-E-selectin. The former is that these secreted factors stimulate CCR2 in potentially hyperpermeable areas, further inducing the secretion of SAA3 and S100A8, which increase vascular permeability via TLR4/MD-2. The latter is that tumour-derived VEGF generates the permeable foci with E-selectin upregulation through endothelial FAK in the premetastatic lungs. Blocking the cascade of the permeability in hyperpermeability regions before metastasis results in the reduction of tumour cell homing. Thus, it is an advantage to target very early metastatic or premetastatic phase.

**Keywords** Premetastatic • Permeability • Innate immune • S100A8/A9 • SAA3 • Fibrinogen

#### 16.1 Introduction

Distant metastasis is the major cause of cancer mortality, and the lung is a common site for metastatic disease (Fidler 2003; Hynes 2003; Gupta and Massague 2006; Gupta et al. 2007). The metastatic process includes a cascade of sequential events, including tumour cell intravasation from the primary site, tumour cell circulation, homing to distant organs, extravasation into target secondary organs, and subsequent colonisation, growth, and angiogenesis (Couzin 2003; Kang and Massague 2004; Fidler 2003).

S. Hiratsuka (🖂)

Department of Pharmacology, Tokyo Women's Medical University School of Medicine, 8-1 Kawada-cho, Shinjuku-ku, Tokyo 162-8666, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: shira@research.twmu.ac.jp

In an adaptation of Paget's 'seed and soil' concept (Paget 1889) – which states that tumour cells (the 'seeds') form metastatic nodules if they encounter hospitable 'soil' in a distant organ – several groups have proposed that tumours prepare the distant soil in a so-called 'premetastatic phase'. This term describes a period during which a distant organ is rendered more hospitable towards circulating tumour cells with metastatic potential, facilitated by the presence of a distant primary tumour. Several mechanisms may contribute towards creation of this state in the lung, many related to primary tumour production of cytokines such as vascular endothelial growth factor (VEGF), transforming growth factor- $\beta$  (TGF- $\beta$ ), tumour necrosis factor  $\alpha$  (TNF $\alpha$ ), angiopoietin-2, and amine oxidases: all of which can modify the lung environment prior to tumour cell arrival (Hiratsuka et al. 2002, 2006, 2008; Kaplan et al. 2005; Erler et al. 2009; Kim et al. 2009; Huang et al. 2009). These factors in turn can promote pulmonary inflammation, vascular hyperpermeability, and recruitment of immune cells to the lung, which can encourage subsequent seeding of tumour cells.

When tumours spontaneously metastasise to the host lungs, they often present in an oligometastatic pattern or even as solitary metastatic nodules (Schlappack et al. 1988; Jaklitsch et al. 2001). In contrast, premetastatic events such as bonemarrow derived cell infiltration and activation of inflammatory pathways affect the lungs in a diffuse fashion (Hiratsuka et al. 2002, 2006, 2008; Huang et al. 2009; Kaplan et al. 2005; Kim et al. 2009; Erler et al. 2009), and all pulmonary microvessels are exposed to a steady stream of circulating tumour cells (Riethdorf and Pantel 2008; Mostert et al. 2009). The determinants of discrete oligometastasis formation remain unidentified. My hypothesis is that there may be a distinct series of mechanisms that facilitates homing of circulating tumour cells to specific, predetermined sites within the lungs.

My research group demonstrates that metastatic primary tumours, and soluble factors released by them, can induce distinct macroscopic regions of vascular hyperpermeability in the lungs. We also show that these regions serve as discrete fertile fields of premetastatic 'soil', demonstrating increased following tumour cell homing. In addition, the similar fibrinogen deposition pattern by permeability foci was observed in tumour-bearing mouse lung and human lung, indicating that the risk area might be predicted in the patients before metastasis.

#### 16.1.1 Detection of Hyperpermeable Foci

In order to investigate the effects of primary tumours on the lungs, we implanted three murine tumour types with metastatic potential (LLC, Lewis lung carcinoma; E0771, mammary carcinoma; B16, melanoma), in immunocompetent C57BL/6 mice. Once tumours reached approximately 6–7 mm in diameter, we injected Evans Blue (EB) intravenously and then excised the lungs 3 h later. In all models, we found focal regions of increased EB leakage (Fig. 16.1).



Fig. 16.1 Hyperpermeability spots in the premetastatic mouse lungs. Some hyperpermeability areas visualised by Evans Blue dye injection were found in LLC or E0771-bearing mouse lungs

Because we could not rule out that focal leakage of EB was a consequence of metastatic tumour cell seeding in these models, we repeated the experiments after intravenous injection of tumour-conditioned medium (TCM) from E0771 (ETCM) or LLC (LTCM) in nontumour-bearing mice. Both ETCM and LTCM injections induced hyperpermeable regions with focal EB leakage in the lungs.

#### 16.1.2 Circulating Tumour Cells Accumulate the Foci

To test if hyperpermeable foci served as sites for tumour cell homing, we developed a three-step assay system (Fig. 16.2). First, we applied a permeability inducer (tumour implantation or intravenous injection of TCM). Second, we infused EB systemically to detect and measure hyperpermeability of the lung vasculature. Finally, we infused fluorescence-labeled metastatic tumour cells and measured the number of cells that homed to the lungs. We found increased numbers of fluorescent tumour cells homing in the lungs of tumour-bearing and TCM-stimulating mice. It is important to note that the tumour cells preferentially homed to areas of high vascular permeability (Fig. 16.2).

To determine the localisation of homing tumour cells about the permeable vessels, we infused pegylated PEG-microbeads instead of EB, after stimulation of focal hyperpermeability. Consistent with EB-leakage measurements, the higher density of tumour cells was observed in the region of the high density of extra-vasated PEG-microbeads, after TCM infusion.



#### 16.1.3 Blocking Vascular Permeability Reduces Tumour Cell Homing – KO of Endothelial FAK

Src kinase-FAK complex activation mediates vascular permeability via TCM including VEGF (Eliceiri et al. 2002). To study the specific contribution of FAK in endothelial cells towards the formation of hyperpermeable foci and subsequent tumour cell homing, we developed an inducible transgenic mouse model. This model allowed in vivo regulation of endothelial cell-mediated vascular permeability. Doxycycline (Dox)-mediated endothelial-specific FRNK (the dominant negative form of FAK) overexpression significantly reduced ETCM- and LTCM-induced formation of the hyperpermeability foci in the lungs. Moreover, this reduction in endothelial cell-mediated hyperpermeability led to a significant reduction in tumour cell homing to the lungs after metastatic tumour cell infusion.

#### 16.1.4 Molecular-Based Inflammation in the Foci

Because the inflammatory mediators all lie downstream of signalling factor NF-kB, the master regulator of inflammation, we next determined the activation of NF-kB by measuring phosphorylation of IkB $\alpha$ (p-IkB $\alpha$ ). We found that ETCM and LTCM



**Fig. 16.3** Hyperpermeable regions in the lung display increased levels of inflammatory response The kinetics of phosphorylation of IkB $\alpha$  after stimulation by ETCM or LTCM in lungs (*upper*). The levels of IkB $\alpha$  phosphorylation were increased in the areas of high EB leakage in the lung 3 h after TCM stimulation (*lower*)

activate the NF-kB pathway in the lungs, and this NF-kB activation was largely restricted to the EB-high areas and was specific to the lungs rather than liver (Fig. 16.3).

#### 16.1.4.1 VEGF-Mediating Permeability (Hiratsuka et al. 2011)

Using ELISA, we found that TCM contained high concentrations of vascular endothelial growth factor (VEGF) and placental growth factor (PIGF, data not shown). These factors are known to increase vascular permeability directly or indirectly (Nagy et al. 2008; Carmeliet 2005; Ferrara et al. 2003). Infusion of recombinant (r)VEGF or rPIGF also induced discrete foci of hyperpermeability with focal EB leakage in the lungs. The increase in EB leakage was specific to the lungs and was not seen in other organs after either intravenous or intracardiac injection of TCM. Treatment of tumour-bearing mice with an anti-VEGF monoclonal blocking antibody inhibited the lung vascular hyperpermeability. This result supports that VEGF mediates this effect in part. (Fig. 16.4)



**Fig. 16.4** Innate immune system-mediating hyperpermeability regions in the premetastatic lungs The permeability is regulated by S100A8-SAA3-mediating and VEGF-mediating signalling pathways. There may be crosstalk between these cascades

#### 16.1.4.2 S100A8-SAA3-Mediating Permeability (Hiratsuka et al. 2013)

To find another pathway in addition to the VEGF cascade, we compared the gene expression levels of the receptors between hyperpermeable (H) and poorly permeable regions (L) in the tumour-bearing mouse lungs. C-C chemokine receptor type 2 (CCR2) showed the largest increase in the gene expression between the hyperpermeable area and the low permeable area in the tumour-bearing mice. To search a correlation between EB-leakage regions and the localisation of CCR2 and its ligand CCL2, we carried out immunohistochemical analyses. Among them, the CCR2-positive cells expressed CD45 (~65 %), MECA32 (~35 %), and Lyve-1-positive lymphatic cells (~1.5 %). To examine the functional role of this system, we used CCL2 and CCR2 knockout mice. In association with the lack of hyperpermeable foci in the tumour-bearing knockout mice, tumour cell homing was suppressed. Furthermore, we found that S100A8 and SAA3, which are down-stream molecules of the CCL2-cascade, produced strong permeability responses. (Fig. 16.4)

#### 16.1.5 The Possibility of Hyperpermeable Foci in Patients (Hiratsuka et al. 2013)

We tried to detect hyperpermeable areas by examining for regions in which fibrinogen expression was induced. Fibrinogen has been reported to be associated with microvascular leakage (Tyagi et al. 2008; Wygrecka et al. 2008). We found

# Fibrinogen S100A8 Merged with DAPI

Fig. 16.5 Increased S100A8 and fibrinogen expression were observed in the lung endothelial cells of the tumour-bearing patient

that fibrinogen expression was increased in the hyperpermeable regions of the tumour-bearing mouse lungs compared with the low permeable regions and that its upregulation occurred in the same regions as the upregulation of CCR2. Based on these results, we applied the criteria for the analysis of human samples.

To search whether distant primary tumours stimulate the colocalised induction of fibrinogen and CCR2 expression in tumour-bearing human lungs, we examined the expression of both molecules in healthy lung lobes from patients who carried tumours in their extrapulmonary organs. It should be noted that we detected relatively low levels of fibrinogen and CCR2 in lungs from noncancer patients. In contrast, the colocalisation of upregulated fibrinogen and CCR2 expression was detected in the lungs of patients with tumours. We also observed that the tumour-bearing lungs exhibited significantly increased fibrinogen and S100A8 expression levels compared with the nontumour-bearing lungs (Fig. 16.5).

#### 16.2 Discussion

#### 16.2.1 Implications for Localised Lung Metastasis Formation and Therapy

The present study depicts a series of mechanisms by which primary tumours might give rise to focal lung metastases. We have observed that tumour-secreted factors, including VEGF, induce discrete foci of vascular hyperpermeability in the lungs, before metastasis has occurred, via FAK in lung endothelial cells. These areas also harbour an inflammation-like reaction that is mediated by the S100A8/A9-SAA3-TLR4/MD-2 cascade, which further exacerbates vascular permeability and tumour cell homing (Fig. 16.6). Our findings lead us to propose that primary-tumour–

#### Tumor-bearing patient



Fig. 16.6 Distant primary tumours make preferable soil in premetastatic phase. This scheme depicts molecules contributing to the premetastatic soil. Some cytokines and chemokines, derived from primary tumours stimulate distant target organs such as the lung and liver. Inflammatory factors related to the innate immune system are secreted from specific organs. Before metastasis, lungs become a soil with abundant inflammatory factors

induced pulmonary hyperpermeability is (a) a focal phenomenon and (b) critical to determining the sites for tumour cell homing.

Our results suggest a possible permeable area may exist in tumour-bearing patients. Further understanding of the cascade of pathophysiological changes in the metastatic site stroma and the molecular determinants of the early steps of metastatic cell dissemination to the lungs may greatly impact on future strategies for preventing and treating metastasis.

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## **Chapter 17 Non-autonomous Tumor Progression by Oncogenic Inflammation**

#### Shizue Ohsawa and Tatsushi Igaki

**Abstract** Defects in Mitochondrial respiratory function is frequently observed in human cancers. However, the mechanism by which mitochondrial dysfunction contributes to tumour growth and progression has been unclear. Recent studies in *Drosophila* epithelium have uncovered that mitochondrial defects induce tumour progression of surrounding tissue by cooperating with oncogenic Ras. Simultaneous Ras activation and mitochondrial dysfunction cause chronic 'oncogenic inflammation', which induces overgrowth and metastatic behavior in neighbouring benign tumours via upregulation of an IL-6-like inflammatory cytokine Upd. Further genetic analyses revealed that the nonautonomous tumour progression by oncogenic inflammation is caused through cellular senescence and senescence-associated secretary phenotype (SASP). These findings provide a novel mechanistic basis for tumour progression through cell–cell communication triggered by Ras activation and mitochondrial dysfunction, frequent alterations in human cancers.

**Keywords** Tumour progression • Mitochondrial dysfunction • Inflammatory cytokine • Cell–cell communication • *Drosophila* 

#### 17.1 Introduction

It is becoming increasingly clear that tumour progression is achieved not only by the sequential acquisition of genetic alterations but also by oncogenic cell–cell interactions. For instance, interactions between epithelial tumour cells and surrounding stromal cells, such as inflammatory cells, immune cells, and fibroblasts,

S. Ohsawa

Laboratory of Genetics, Graduate School of Biostudies, Kyoto University, Yoshida-Konoechocho, Sakyo-ku, Kyoto 606-8501, Japan

T. Igaki (🖂)

Laboratory of Genetics, Graduate School of Biostudies, Kyoto University, Yoshida-Konoechocho, Sakyo-ku, Kyoto 606-8501, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: igaki@lif.kyoto-u.ac.jp

as well as normal epithelial cells, are crucial for driving epithelial cancers (Bissell and Hines 2011; Jacks and Weinberg 2002). In addition, most cancers are comprised of heterogeneous cell populations with distinct genetic mutations (Going 2003; Novelli et al. 1996), suggesting the presence of interclonal oncogenic cooperation that promotes tumour growth and progression (Lyons et al. 2008). However, the mechanisms by which each oncogenic alteration cooperates with others to drive tumour progression through cell–cell interactions have remained elusive. The genetic mosaic techniques available in *Drosophila* allow us to analyse the behavior of cell clones with distinct mutations (Xu and Rubin 1993), making this the ideal model organism for studying cell–cell interactions and interclonal oncogenic cooperation during tumourigenesis (Brumby and Richardson 2005; Pastor-Pareja and Xu 2013; Wu et al. 2010). In this review, we summarise our recent studies in *Drosophila* revealing that mitochondrial dysfunction cooperates with Ras acti vation to drive nonautonomous tumour progression in the surrounding tissue via 'oncogenic inflammation'.

#### 17.2 Mitochondrial Dysfunction in Ras-Activated Cells Triggers Nonautonomous Overgrowth of Surrounding Tissue

To understand the molecular basis of tumour progression through cell–cell communication, a genetic screen was conducted in *Drosophila* eye imaginal epithelium for mutations that cause Ras<sup>V12</sup>-expressing cells to induce overproliferation of surrounding cells, which was named *nag* (<u>nonautonomous growth</u>) mutations (Fig.17.1a, b). Intriguingly, it was found that a series of *nag* mutants isolated from the screen had mutations in genes required for mitochondrial respiratory function, such as components of mitochondrial respiratory complexes (e.g., *Pdsw* in complex I, *CoVa* in complex IV) and mitochondrial ribosomal proteins (e.g., *mRpL4*) (Fig. 17.1c). Note that neither mitochondrial dysfunction alone nor Ras activation alone induces overproliferation of surrounding cells, indicating that mitochondrial dysfunction and Ras activation cooperatively induce noncell autonomous overgrowth.

It has been reported that mitochondrial respiratory function is frequently downregulated in many types of human cancers (Pedersen 1978). The high rates of the mitochondrial malfunctions in cancers are caused by both somatic and germline mutations in mitochondrial DNA (mtDNA; Brandon et al. 2006; Carew and Huang 2002; Modica-Napolitano et al. 2007; Penta et al. 2001), which encodes components of mitochondrial respiratory complexes. Although it had long been controversial whether mitochondrial dysfunction is responsible for oncogenicity, several studies have indicated that mtDNA mutation positively regulates tumourigenesis and malignant progression (Lee et al. 2010). However, the



**Fig. 17.1** Mitochondrial dysfunction cooperates with Ras signalling to induce nonautonomous overgrowth. (a) A genetic screen for mutations that cause nonautonomous growth (*nag*) in conjunction with Ras activation. Clones of cells expressing Ras<sup>V12</sup> result in benign tumours, and mutations that cause Ras<sup>V12</sup>-tumours to induce non-autonomous overgrowth were screened. (b) Scanning electron microscopic images of adult eye bearing wild-type (*left*) or Ras<sup>V12</sup>/*Pdsw<sup>nag-19-/-</sup>* (*right*) clones in the eye disc during development. (c) Schematic representation of the mitochondrial respiratory chain and a list of genes affected in *nag* mutants. Genes encoding the components of mitochondrial respiratory complexes (I, III, IV, or V) or mitochondrial ribosomal proteins were isolated as responsible genes for *nag* mutants in the screen (Modified from Ohsawa et al. 2012)

mechanism by which mitochondrial dysfunction contributes to tumour growth and progression has been elusive.

## 17.3 Ras<sup>V12</sup>/*mito*<sup>-/-</sup> Cells Cause Nonautonomous Overgrowth via Induction of Inflammatory Cytokine

To dissect the mechanism by which Ras activation and mitochondrial dysfunction  $(\text{Ras}^{V12}/mito^{-/-})$  cooperate to induce nonautonomous tissue overgrowth, a secondary genetic screen was conducted for dominant modifiers of *nag* phenotype caused by  $\text{Ras}^{V12}/mito^{-/-}$  cells. This secondary screen identified a mutation of *stat92E*, a component of the JAK/STAT pathway, as a strong suppressor of the *nag* phenotype. This indicates that the JAK/STAT pathway is involved in  $\text{Ras}^{V12}/mito^{-/-}$ -induced

nonautonomous overgrowth. The *Drosophila* JAK/STAT pathway is activated by the inflammatory cytokine Upd. Binding of Upd to its receptor Domeless (Dome) induces activation of the transcription factor STAT92E, thereby promoting cell proliferation (Hou et al. 2002). Indeed,  $Ras^{V12}/mito^{-/-}$  cells upregulated Upd, however, neither  $Ras^{V12}$  alone nor  $mito^{-/-}$  alone induced Upd expression. In addition, downregulation of Upd expression within  $Ras^{V12}/mito^{-/-}$  cells or heterozygosity for the *upd* gene in the whole tissue suppressed nonautonomous tissue overgrowth. Thus, induction of the inflammatory cytokine Upd in  $Ras^{V12}/mito^{-/-}$  cells plays a key role in the nonautonomous tissue overgrowth; we therefore called this phenomenon 'oncogenic inflammation'Enomoto et al. 2015).

# 17.4 Upd Is Induced by ROS-Dependent JNK Activation in Ras<sup>V12</sup>/*mito<sup>-/-</sup>* Cells

Subsequent genetic analyses revealed that activation of c-Jun N-terminal kinase (JNK) signalling is required for Upd induction in Ras<sup>V12</sup>/mito<sup>-/-</sup> cells. Furthermore, it was found that JNK activation is caused by overproduction of reactive oxygen species (ROS) in Ras<sup>V12</sup>/mito<sup>-/-</sup> cells. In conjunction with Ras activation, defects in mitochondrial respiratory complex I (*Pdsw*) or complex IV (*CoVa*) overproduce  $O_2^-$  or H<sub>2</sub>O<sub>2</sub>, respectively, and reducing oxidative stress in Ras<sup>V12</sup>/mito<sup>-/-</sup> cells by superoxide dismutase (SOD) or Catalase significantly suppressed JNK activation and subsequent nonautonomous overgrowth. Thus, Ras<sup>V12</sup>/mito<sup>-/-</sup> cells cause ROS-mediated activation of JNK signalling, which induces upregulation of Upd expression. It is an interesting open question how mitochondrial defects in conjunction with Ras activation cause overproduction of ROS.

# 17.5 Ras<sup>V12</sup>/*mito*<sup>-/-</sup> Cells Induce Upd via Inactivation of the Hippo Pathway

Although JNK signalling was found to be required for oncogenic inflammation, it is not sufficient for Upd induction. This suggests that an additional signalling in  $Ras^{V12}/mito^{-/-}$  cells cooperates with JNK to induce oncogenic inflammation. Interestingly, it was found that coactivation of Ras and JNK signalling causes inactivation of the Hippo pathway. The Hippo pathway is an evolutionarily conserved tumoursuppressor pathway that limits tissue growth by inhibiting the transcriptional coactivator Yokie (Yki) (Halder and Johnson 2011; Meng et al. 2016; Pan 2010; Zhao et al. 2010). Inactivation of the Hippo pathway thus causes activation of Yki, leading to induction of its target genes including *upd* (Karpowicz et al. 2010; Ren et al. 2010; Shaw et al. 2010; Staley and Irvine 2010). Indeed, it was found that Upd and a secreted growth factor Wingless (Wg) are upregulated in  $Ras^{V12}/mito^{-/-}$  cells through inactivation of the Hippo pathway. In addition, expression of *upd-RNAi* or *wg-RNAi* within Ras<sup>V12</sup>/*mito*<sup>-/-</sup> cells suppressed noncell autonomous overgrowth. Thus, JNK activation in Ras<sup>V12</sup>/*mito*<sup>-/-</sup> cells cooperates with Ras signalling to inactivate the Hippo pathway, which induces expression of Yki target genes *upd* and *wg*, leading to nonautonomous overgrowth of surrounding tissue.

## 17.6 Ras<sup>V12</sup>/*mito<sup>-/-</sup>* Cells Cause Tumour Progression of Adjacent Benign Tumors via Oncogenic Inflammation

In human cancers, oncogenic cooperation among mutant cell clones with different mutations can induce clonal evolution. The fact that mitochondrial dysfunction in Ras-activated cells causes overgrowth of surrounding tissue suggests that such mutant cells may cause clonal evolution in nearby oncogenic cells. Strikingly, it was found that Ras<sup>V12</sup>/*mito<sup>-/-</sup>* cells cause surrounding Ras-activated benign tumours to exhibit metastatic behavior; the surrounding tumour cells migrate out of the eye imaginal epithelium and invade adjacent tissue ventral nerve cord. Note that eye disc cells expressing Ras<sup>V12</sup> alone never exhibit such invasive behavior. This indicates a specific interclonal oncogenic cooperation between Ras<sup>V12</sup>/*mito<sup>-/-</sup>* and Ras<sup>V12</sup> cells, which may indeed occur in human cancer tissues. The nonautonomous tumour progression caused by Ras<sup>V12</sup>/*mito<sup>-/-</sup>* cells was blocked by reducing the Upd-JAK/STAT pathway activity, indicating that it is caused through oncogenic inflammation (Fig. 17.2; Ohsawa et al. 2012).

## 17.7 Ras<sup>V12</sup>/*mito<sup>-/-</sup>* Cells Undergo Cellular Senescence

In analysing the nonautonomous overgrowth caused by  $\text{Ras}^{V12}/\text{mito}^{-/-}$  cells, it was noticed that despite the secretion of growth factors Upd and Wg,  $\text{Ras}^{V12}/\text{mito}^{-/-}$  cells grow poorly. This raises the possibility that cell-cycle progression is blocked in  $\text{Ras}^{V12}/\text{mito}^{-/-}$  cells. Indeed,  $\text{Ras}^{V12}/\text{mito}^{-/-}$  cells appeared to be undergoing cell-cycle arrest, which was reminiscent of cellular senescence.

Cellular senescence is an irreversible cell-cycle arrest in the G1 phase, which is triggered by various cellular stresses such as chromosomal instability, telomere shortening, oxidative stress, and DNA damage, as well as aberrant activation of oncogenes (Collado et al. 2007; Lowe et al. 2004; Ohtani and Hara 2013; Rodier and Campisi 2011). It has been shown that cells undergoing cellular senescence not only become quiescent but exert noncell autonomous effects by secreting a variety of cytokines, chemokines, and growth factors, which is known as the senescence-associated secretory phenotype (SASP) or the senescence-messaging secretome (SMS; Coppe et al. 2010; Davalos et al. 2010; Kuilman et al. 2010; Kuilman and


Fig. 17.2 A model for oncogenic inflammation triggered by Ras activation and mitochondrial dysfunction (See text for details)

Peeper 2009; Ohtani and Hara 2013; Rodier and Campisi 2011; Young and Narita 2009). Similar to Ras-induced cellular senescence observed in mammalian cell culture systems, it was found that clones of cells expressing Ras<sup>V12</sup> in Drosophila imaginal epithelium exhibit several markers of cellular senescence, such as elevated SA-β-gal activity, upregulation of a Cdk inhibitor Dacapo (Dap, a p21/p27 homologue), elevation of Histone H3-K9 trimethylation (which is associated with the senescence-associated heterochromatin foci; SAHF), upregulation of heterochromatin protein-1 (HP-1; which binds to methylated K9 of Histone H3), and increased cell size (cellular hypertrophy). Intriguingly, however, despite showing such senescence markers, Ras<sup>V12</sup>-expressing cells do not show cell-cycle arrest, indicating that aberrant Ras activation is not sufficient for the induction of cellular senescence in Drosophila epithelium. Strikingly, mitochondrial dysfunction in Ras-activated cells ( $Ras^{V12}/mito^{-/-}$  cells) resulted in cell-cycle arrest in G1 phase, in addition to exhibiting other cellular senescence markers listed above, whereas mitochondrial dysfunction alone only slightly suppressed cell-cycle progression. Moreover, mitochondrial dysfunction strongly enhanced cellular hypertrophy of Ras-activated cells, whereas mitochondrial dysfunction alone did not affect cell size. Furthermore, as described earlier,  $Ras^{V12}/mito^{-/-}$  cells appear to exhibit SASP, as these mutant cells upregulate an inflammatory cytokine Upd. Note that  $\operatorname{Ras}^{V12}/mito^{-/-}$  cells, but not  $\operatorname{Ras}^{V12}$  cells or  $mito^{-/-}$  cells, exhibit intranuclear foci of phosphorylated Histone H2A variant (yH2Av), an indicator of DNA damage response (DDR) that is also crucial for the induction of cellular senescence

#### Ras<sup>V12</sup> /Pdsw -/- clones



**Fig. 17.3** Mitochondrial defect causes Ras-activated cells to undergo cellular senescence. (a) Eye-antennal disc bearing GFP-labeled Ras<sup>V12</sup>/*Pdsw*<sup>-/-</sup> clones was subjected to SA-β-gal staining. (b)–(d) Eye-antennal disc bearing GFP-labeled Ras<sup>V12</sup>/*Pdsw*<sup>-/-</sup> clones was stained with anti-Dacapo (b) anti-γ-H2Av (c) or anti-Histone-H3-trimethyl-K9 (d) antibodies. *Arrow*-*heads* in (c) indicate representative clones upregulating γ-H2Av. (e) Ras<sup>V12</sup>/*Pdsw*<sup>-/-</sup> clones were induced in eye-antennal disc bearing the RFP-HP1 reporter and stained with anti-RFP

(Bartkova et al. 2006; d'Adda di Fagagna et al. 2003; Di Micco et al. 2006; Rodier et al. 2009; Takahashi et al. 2012; Takai et al. 2003; Fig. 17.3). Thus, mitochondrial dysfunction causes Ras-activated cells to exhibit full aspects of cellular senescence, which induces oncogenic inflammation through SASP.

#### **17.8** Cell-Cycle Arrest Is Required for SASP Induction

Although several studies in mammalian systems have reported the mechanisms of SASP induction (Coppe et al. 2010; Davalos et al. 2010; Kuilman et al. 2010; Kuilman and Peeper 2009; Ohtani and Hara 2013; Rodier and Campisi 2011; Young and Narita 2009), the molecular link between each senescence phenotype and SASP induction has been elusive. Interestingly, in *Drosophila* imaginal epithelium, it was found that cell-cycle arrest in the G1 phase is essential for the induction of SASP. Overexpression of Cyclin E (CycE), which counters the function of p21/p27/Dap and promotes the G1/S transition, in Ras<sup>V12</sup>/mito<sup>-/-</sup> clones blocked Upd induction and nonautonomous overgrowth. In addition, overexpression of CycE significantly suppressed JNK activation, an upstream event of Upd induction. In contrast, CycE overexpression did not affect the induction of oxidative stress, an upstream event of JNK activation. These data indicate that cellcycle arrest is required for SASP induction at the upstream of JNK activation and the downstream of oxidative stress. Indeed, forced induction of cell-cycle arrest in the G1 phase by introducing homozygous mutations of cycE in Ras<sup>V12</sup> cells causes noncell autonomous overgrowth through JNK-dependent Upd induction. Furthermore, reducing one copy of the cell-cycle inhibitor Rb gene significantly suppressed nonautonomous overgrowth caused by  $\operatorname{Ras}^{V12}/mito^{-/-}$  clones. On the contrary, cellular hypertrophy is not required for SASP induction in  $\operatorname{Ras}^{V12}/mito^{-/-}$  cells, as blocking cellular hypertrophy by overexpressing *HP-1-RNAi* in  $\operatorname{Ras}^{V12}/mito^{-/-}$  cells does not affect the noncell autonomous growth phenotype.

#### 17.9 Cell Cycle Arrest Amplifies JNK Signalling Activity

As described earlier, overexpression of CycE suppresses JNK activation in Ras<sup>V12</sup>/ mito<sup>-/-</sup> cells, which raises the possibility that JNK signalling is activated through cell-cycle arrest. Indeed, it was found that cell-cycle progression negatively regulates JNK activation; JNK-dependent cell death caused by *Drosophila* TNF ligand Eiger was significantly suppressed by overexpression of CycE. Conversely, JNK-dependent cell death was enhanced by overexpression of *cycE-RNAi*. Furthermore, overexpression of CycE suppressed JNK activation caused by a constitutively active form of JNK kinase Hemipterous (Hep<sup>CA</sup>). These lines of evidence suggest that cell-cycle arrest enhances JNK activation. Intriguingly, it was found that activation of JNK signalling causes cell-cycle arrest. For instance, clones of cells expressing Hep<sup>CA</sup> in the eye disc show no incorporation of BrdU. Together, these data show that cell-cycle arrest and JNK activation form a positive feedback loop that amplifies both. Thus, Ras<sup>V12</sup>/mito<sup>-/-</sup> senescent cells induce SASP via cell-cycle arrest-induced amplification of JNK signalling. The mechanism by which JNK activation causes cell-cycle arrest is currently unknown.

# 17.10 p53 Triggers the Cell-Cycle Arrest-Mediated Amplification of JNK Signalling

How do Ras<sup>V12</sup>/*mito<sup>-/-</sup>* cells cause cycle arrest? In mouse fibroblasts undergoing Ras-induced cellular senescence, the tumour suppressor gene p53 is required for the induction of cell-cycle arrest (Kamijo et al. 1997; Kilbey et al. 2008; Serrano et al. 1997). On the other hand, in *Drosophila* imaginal epithelia, p53 and JNK signalling form a positive feedback loop that amplifies both activities (Shlevkov and Morata 2012). Interestingly, Ras<sup>V12</sup>/*mito<sup>-/-</sup>* cells significantly elevate the protein level of p53 and its activity (as assessed by the expression of p53 target gene *reaper*). Removal of *p53* genes in Ras<sup>V12</sup>/*mito<sup>-/-</sup>* cells suppressed JNK activation as well as noncell autonomous overgrowth. These data show that elevated p53 activity in Ras<sup>V12</sup>/*mito<sup>-/-</sup>* cells causes cell-cycle arrest-mediated amplification of JNK signalling and the following SASP induction. Indeed, overexpression of p53 in Ras<sup>V12</sup>-expressing cells (Ras<sup>V12</sup>/p53 cells) causes JNK activation, Upd induction, and nonautonomous overgrowth. In addition, the overgrowth phenotype caused by Ras<sup>V12</sup>/p53 clones is suppressed by blocking



Fig. 17.4 A model for the genetic pathway to SASP by Ras activation and mitochondrial dysfunction (See text for details)

JNK activation, overexpressing CycE, or reducing Upd expression within the clones, suggesting that Ras<sup>V12</sup>/p53 cells causes nonautonomous overgrowth through SASP. Altogether, these lines of evidence establish that coexistence of Ras activation and mitochondrial dysfunction in *Drosophila* epithelial cells causes ROS overproduction, p53 activation, and downregulation of CycE activity, all of which cooperatively induce cell-cycle arrest-mediated amplification of JNK signalling and subsequent oncogenic inflammation through SASP (Fig. 17.4; Nakamura et al. 2014).

#### 17.11 Concluding Remarks

Recent genetic studies in *Drosophila* have uncovered a novel mechanistic basis for tumour progression through cell–cell communication; mitochondrial dysfunction with elevated Ras signalling causes cellular senescence, which leads to the induction of SASP factors including an inflammatory cytokine and nonautonomous tumour progression. In 1920s, Otto Warburg described that tumour cells utilise an excess of glucose for conversion of lactate through anaerobic respiration even in the presence of sufficient oxygen. This led to his 'hypothesis' that mitochondrial defects play an active role in the etiology of cancer (Warburg 1956). Genetic evidence in *Drosophila* described here not only supports Warburg's hypothesis but also provides a new concept that oncogenic inflammation plays a central role in nonautonomous tumour progression by Ras activation and mitochondrial dysfunction. Importantly, mtDNA mutations are frequently observed in pancreatic cancers (Modica-Napolitano et al. 2007), which mostly include (~90%) Ras mutations (Downward 2003). Thus, similar oncogenic inflammation could have an important role in such aggressive cancer. Future studies should address the evolutionary

conservation of oncogenic inflammation as well as its physiological roles in normal development and homeostasis.

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# Chapter 18 Inflammation-Associated Carcinogenesis Mediated by the Impairment of microRNA Function in the Gastroenterological Organs

Motoyuki Otsuka

Abstract Gastroenterological cancers are major causes of death in Japan. Most of the carcinomas occur subsequent to chronic inflammation, such as chronic gastritis, hepatitis and colitis. Emerging evidence supports the idea that microRNAs, non-coding RNAs regulating target gene expression through sequence homologies, are frequently involved in carcinogenesis. In particular, reduced expression levels of miRNAs are commonly observed in cancers. In fact, incomplete loss of the Dicer gene, or microRNAs, leads to carcinogenesis experimentally in mice, suggesting that impairment of microRNA expression or function is crucial for carcinogenesis. Chronic inflammatory stimuli impair microRNA function, possibly through reduced loading of microRNAs into the RNA-induced silencing complex (RISC) and the dissociation of the targeted mRNAs from processing bodies in the cells. Therefore, it is hypothesised that chronic inflammation impairs microRNA function, which, in turn, leads to carcinogenesis. This may be a mechanism for chronic inflammation leading to carcinogenesis, and interventional methods based on this idea should be developed for the prevention of inflammation-associated carcinogenesis.

Keywords Inflammation • Cancer • Gastritis • Hepatitis • Colitis • microRNA

Chronic inflammation, such as chronic gastritis, chronic hepatitis, and ulcerative colitis, is an important pathological factor in various kinds of gastroenterological diseases. Note that most are closely related to the subsequent occurrence of carcinoma in the affected organs. The current most frequent cause of mortality in Japan is cancer. Gastroenterological cancers, including gastric cancers, colon cancers, and liver cancers, all of which may arise subsequent to chronic

M. Otsuka (🖂)

Department of Gastroenterology, Graduate School of Medicine, The University of Tokyo, 7-3-1 Hongo, Bunkyo-ku, Tokyo 113-8655, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: otsukamo-tky@umin.ac.jp

inflammation, are the second, third, and fourth most common cancers, respectively. Therefore, elucidation of the disease pathogenesis from chronic inflammation to carcinoma is important for the prevention of these fatal diseases.

MicroRNAs (miRNAs) are short, single-stranded, noncoding RNAs that are expressed in most organisms, from plants to vertebrates (Carrington and Ambros 2003). Since the discovery of the first miRNA in *Caenorhabditis elegans* (Lee et al. 1993; Wightman et al. 1993), 1,881 miRNA precursors and 2,588 mature miRNA sequences from humans have been deposited in miRBase, a public database of the Sanger Institute, as of June 2015 (Kozomara and Griffiths-Jones 2011). It is suggested that miRNAs regulate more than 30% of human protein-coding genes (John et al. 2004; Lewis et al. 2005). Through the regulation of gene expression, miRNAs are involved in various physiological and pathological processes, including cell proliferation, oncogenesis, and oncogenic suppression (Ambros 2004).

It is known that reduced global miRNA expression levels are closely involved in carcinogenesis (Calin and Croce 2006; Gaur et al. 2007; Lu et al. 2005), whereas deregulation of each miRNA may also be involved in oncogenesis (Calin and Croce 2006; Croce and Calin 2005; Zhang et al. 2006). In fact, experimental global miRNA reduction leads to oncogenesis in mice (Kumar et al. 2009). Therefore, it is important to examine the status of miRNA expression or function under chronic inflammation to elucidate the mechanisms of the subsequent oncogenesis. Because cellular stresses, such as inflammatory stimulation and starvation, have been reported to suppress miRNA function (Bhattacharyya et al. 2006), it may be that the inflammation-related impairment of global miRNA function is linked with subsequent carcinogenesis in corresponding tissues. These points are discussed below.

# **18.1** Chronic Inflammation-Associated Carcinomas in the Gastroenterological Organs

In Japan, the current most frequent cause of death is cancer, followed by cardiovascular diseases. Among deaths from cancer, the most frequent is lung. The second is gastric cancer, with an annual mortality of approximately 50,000. The third is colon cancer, with an annual mortality of 46,000. The fourth and fifth are pancreatic cancer and liver cancer, respectively, and the annual mortalities were both about 30,000 in 2013. The four organs among the top five related to cancer mortality are gastroenterological.

#### 18.1.1 Gastric Cancer

Gastric cancer is known to be closely related with Helicobacter pylori (H. pylori) infection. H. pylori induces persistent inflammatory infiltration, which leads to atrophic and metaplastic changes in the gastric mucosa. Gastric cancers occur in the affected background gastric mucosa. The cytotoxin-associated gene A (CagA) protein of *H. pylori*, which is delivered into gastric epithelial cells via bacterial type IV secretion, is an oncoprotein that induces malignant transformation (Hatakeyama 2014). CagA affects multiple intracellular signalling pathways. It was reported that the oncogenic actions of CagA are successively taken over by a series of genetic and/or epigenetic alterations compiled in cancer-predisposed cells during longstanding infection (Hatakeyama 2014). Of these, H. pyroli virulence factor is important in the pathogenesis of gastric carcinomas, though the precise mechanisms involved in this process are still not clearly resolved. Although the majority of individuals infected with *H. pylori* remain asymptomatic throughout their life, essentially all develop chronic inflammation (Wang et al. 2014). Among infected individuals, only 1-3% progress to gastric carcinoma (Warren 2000). During H. pylori-induced chronic inflammation and subsequent carcinogenesis, a variety of factors, including bacterial, host, and environmental factors, interact (Fig. 18.1). These changes may eventually lead to inflammation-associated oncogenesis, the precise mechanisms of oncogenesis, however are still unknown.

#### 18.1.2 Colon Cancer

Patients with ulcerative colitis (UC) and Crohn's disease (CD) are at increased risk of colon cancers through mechanisms that remain incompletely understood (Pozza et al. 2011). An increased risk for the occurrence of colorectal carcinoma in up to 30% of affected patients after 35 years of UC has been reported. The incidence is significantly increased compared to individuals without chronic colitis. Colitis-associated colorectal carcinoma does not display the adenoma–carcinoma sequence, which is typical for sporadic colorectal carcinoma (Rogler 2014), presenting a different sequence of tumourigenic events (Scarpa et al. 2014). However, recent findings have shown that inflammatory pathways not only are important in the development of colitis-associated colorectal carcinoma but are also involved in the pathogenesis of sporadic colorectal cancer (Moossavi and Bishehsari 2012). Therefore, chronic inflammation may also be closely related with sporadic oncogenesis in the colon (Fig. 18.1).



**Fig. 18.1** Differential effects of inflammation and other factors in the carcinogenesis of different organs. Gastric carcinogenesis is affected by chronic inflammation, but genetic factors may also have similar effects. Colon carcinogenesis is affected by inflammation in some cases, such as UC, but sporadic carcinoma is mainly due to other genetic or environmental factors. Liver carcinogenesis is strongly affected by chronic inflammation. The size of the *arrows* and the *ovals* indicate the degree of the effects on carcinogenesis

## 18.1.3 Liver Cancer

Hepatocellular carcinoma (HCC) was the fourth leading cause of death in males and the sixth in females in 2013. The hepatitis C virus (HCV) is the major cause of HCC in Japan, with 70% of cases being HCV related. Although the number of patients who die because of HCC has steadily increased over the last 50 years, the incidence of HCC is now decreasing, mainly because of the decreased prevalence of HCV-related HCC in Japan. In contrast, there has been a gradual increase in cases of nonviral chronic liver diseases, including nonalcoholic fatty liver disease (NAFLD), occurring with hepatocellular carcinoma (HCC). Among HCC-based nonviral diseases, alcoholic liver disease of unknown etiology with HCC (5.1%) and NAFLD with HCC (2.0%). The clinical characteristics of these three HCC groups are clearly different (Tokushige et al. 2013).

HCC is a clear example of inflammation-related cancer as more than 90% of HCCs arise in the context of hepatic inflammation (Bishayee 2014; Fig. 18.1). Chronic inflammation leads to sequential development of fibrosis, cirrhosis, and, eventually, HCC (Bishayee 2014). The identification of fundamental inflammatory signalling pathways causing transition from chronic liver injury to HCC could produce new targets for treating patients with chronic liver inflammation.

## 18.1.4 Pancreatic Cancer

Inflammatory conditions, such as pancreatitis, represent a risk factor for pancreatic cancer, although the precise mechanisms underlying the pathogenic role of inflammation need to be explored in detail (Zambirinis et al. 2014). Recognised risk factors for pancreatic cancer include cigarette smoking, chronic/hereditary pancreatitis, obesity, and type II diabetes (Greer and Whitcomb 2009). The recent explosion of investigations of the human microbiome have highlighted how perturbations of commensal bacterial populations can promote inflammation and promote disease processes, including carcinogenesis. However, elucidation of the precise mechanisms is still urgently needed.

#### 18.2 Biogenesis and Functions of miRNAs

Transcription is the first step in miRNA expression. Similar to most protein-coding genes, transcriptional factors, enhancers, and silencers are involved in miRNA transcription (Cai et al. 2004; Lee et al. 2004). Epigenetic mechanisms, such as promoter methylation or histone modification, also regulate miRNA transcription.

Primary miRNAs, which possess stem-loop structures, are transcribed by RNA polymerase II (Ambros 2004). These pri-miRNAs are processed by a microprocessor complex comprised of Drosha (RNAase III; Lee et al. 2003) and DGCR8/Pasha (Han et al. 2004) in the nucleus (Denli et al. 2004). The processed products are approximately 65-nucleotide hairpin-shaped precursors (pre-miRNAs) that are transported to the cytoplasm via exportin-5 (Lund et al. 2004; Yi et al. 2003). Pre-miRNAs are further cleaved into mature miRNAs by Drosha and Dicer RNA polymerase III. Mature miRNA duplexes are loaded onto an RNA-induced silencing complex (RISC) and are unwound into the single-stranded mature form (Gregory et al. 2005; Maniataki and Mourelatos 2005; Mourelatos et al. 2002). The resulting cocomplex directly targets the 3'-untranslated regions (3'UTRs) of target mRNAs, depending on sequence similarities, to regulate their expression negatively by enhancing mRNA cleavage or inhibiting translation (Ambros 2004). Because most miRNAs guide the recognition of imperfect matches of target mRNAs, individual miRNAs have multiple mRNA targets. In addition, multiple miRNAs can cooperate to regulate the expression of the same transcript (Krek et al. 2005).

Identifying functionally important miRNA target genes is crucial for understanding the impact of specific miRNAs on cellular function. However, this is still difficult because miRNAs usually have imperfect complementarity with their targets (Bartel 2009). In mammals, the most consistent requirement for miRNA– target interaction is a perfect pairing of the miRNA (nt 2–8), representing the 'seed' sequence (Bartel 2009). In many cases, the seed sequences determine this recognition, but not in all cases (Shin et al. 2010). Although public miRNA target prediction algorithms exist, candidates need to be validated experimentally.

## 18.3 miRNAs and Cancer

# 18.3.1 Individual miRNAs as onco-miR or Tumour-Suppressive miR

The involvement of miRNAs in cancer pathogenesis is well established. miRNAs can affect six hallmarks of malignant cells, which are (1) self-sufficiency in growth signals, (2) insensitivity to antigrowth signals, (3) evasion of apoptosis, (4) limitless replicative potential, (5) angiogenesis, and (6) invasion and metastasis (Hanahan and Weinberg 2011). miRNAs are frequently up- or downregulated in malignant tissues and can be considered as oncogenes or tumour suppressors, respectively. However, it is essential to experimentally test whether the deregulated miRNAs are actually causative to carcinogenesis, inasmuch as miRNAs have very restricted tissue-specific expression and the apparent miRNA modulation in cancer tissues may only reflect the different constituents of a cell population, as compared to normal tissues. Extensive analyses have confirmed the causative roles of miRNAs in cancer by using either human cancer cells or genetically engineered animal models, such as transgenic expression of miRNA-155, miRNA-21, and miRNA-15/16, which are sufficient to initiate lymphomagenesis in mice (Costinean et al. 2009; Klein et al. 2010; Medina et al. 2010). On the other hand, deletion of miRNA-122 induces liver cancers, indicating that miR-122 is a tumour-suppressive miRNA in the liver (Hsu et al. 2012; Tsai et al. 2012). These results suggest the potential role of miRNAs in the pathogenesis of carcinogenesis.

# 18.3.2 Deregulation of Global miRNA Expression or Function in Carcinogenesis

Although microRNAs (miRNAs) can function as both tumour suppressors and oncogenes in tumour development (Calin and Croce 2006; Croce and Calin 2005), widespread downregulated expression of miRNAs promotes cellular transformation and tumourigenesis and is commonly observed in human cancers (Calin and Croce 2006; Gaur et al. 2007; Lu et al. 2005). Similar to miRNAs, deregulated expression of miRNA processing pathway components can potentially modify miRNA expression profiles and may be associated with subsequent tumourigenesis. In fact, using mouse models with tissue-specific *Dicer1* ablation revealed that a single allele of *Dicer1* in mice leads to oncogenesis in a lung cancer model caused by a K-ras protooncogene mutation (Kumar et al. 2009), a retinoblastoma model caused by mutations in the tumour suppressor *RB* gene (Lambertz et al. 2010), and a lymphoma model caused by E $\mu$ -myc (Arrate et al. 2010). Interestingly, in these models, monoallelic, but not complete, loss of *Dicer* alleles enhanced tumour formation. Therefore, it was proposed that Dicer may be unique among classical haploinsufficient tumour suppressor genes inasmuch as only partial, but not



**Fig. 18.2** Haploinsufficiency of the Dicer gene on carcinogenesis. Only partial, but not complete, loss of the Dicer gene and miRNAs promote tumour development in mouse experimental carcinogenesis models, suggesting that incomplete loss of global miRNA expression or function leaves a cell prone to carcinogenesis

complete, loss promotes tumour development (Davalos and Esteller 2012), which is consistent with the clinical phenomena showing downregulated miRNA expression, not complete loss of miRNA expression, in carcinogenesis (Fig. 18.2). We also detected similar phenomena in Dicer deletion in mouse colon epithelia in colon carcinogenesis (Yoshikawa et al. 2013), suggesting that incomplete loss of global miRNA expression or function may be related to carcinogenesis in corresponding organs.

#### 18.4 Cellular Stresses Suppress miRNA Function

It was reported that the efficiency of miRNA-mediated gene suppression can be reduced when cells are exposed to stress (Bhattacharyya et al. 2006). In siRNA-mediated mRNA decay, which shares certain features with miRNA-mediated gene suppression, the effect was inhibited when cells underwent inflammatory stress, such as TNF $\alpha$  or IL-1 $\beta$  stimulation (Mols et al. 2008). Although the precise mechanisms of these phenomena are unknown, it was speculated that the target mRNA is released from cytoplasmic processing bodies to polysomes by cellular stresses (Bhattacharyya et al. 2006). We have confirmed similar phenomena in our experiments, as well as observed that the loading volume of miRNAs into the RISC is impaired by cellular inflammatory stimuli, which may account for the deregulated miRNA function under inflammatory stimuli.

# 18.5 Inflammation-Associated Carcinogenesis Due to Impaired miRNA Function

# 18.5.1 Screening Compounds for Enhancing miRNA Function

One may speculate that because inflammatory stress can also globally inhibit miRNA function as described above, such inhibition can promote tumourigenesis, as a global reduction in miRNA expression does. This hypothesis is intriguing for us and we have some experimental results that support this hypothesis. If this is the case, manipulation of global miRNA activity may be useful for preventing inflammation-associated tumourigenesis. To verify this, we screened a compound library which enhances miRNA function. Among 1280 compounds, Rho-associated, coiled-coil-containing protein kinase (ROCK) inhibitor was identified as one of the compounds which enhance miRNA function. The regulation of miRNA function by ROCK inhibitors was mediated, at least in part, by poly(A)binding protein-interacting protein 2 (PAIP2), which enhances poly(A)-shortening of miRNA-targeted mRNAs and led to global upregulation of miRNA function. In the presence of a ROCK inhibitor, PAIP2 expression was enhanced by the transcription factor hepatocyte nuclear factor 4 alpha (HNF4A) through increased ROCK1 nuclear localisation and enhanced ROCK1 association with HNF4A (Yoshikawa et al. 2015). These data, revealing an unexpected role of ROCK1 as a cofactor of HNF4A in enhancing PAIP2 transcription, suggested that ROCK inhibitors may be useful for the various pathologies associated with the impairment of global miRNA function.

# 18.5.2 ROCK Inhibitor Prevents Inflammation-Associated Carcinogenesis

Based on the results above, we hypothesised that The effects of the ROCK inhibitor-induced increase in global miRNA activity, in addition to the inhibitory effects on cell-autonomous promotion of tumour cell invasion and metastasis (Rath and Olson 2012), may be useful for preventing carcinomas caused by a global reduction in, or insufficient activity of, miRNAs. To test this hypothesis, we applied the ROCK inhibitor to an AOM plus DSS-induced inflammation-associated colon tumour mouse model, expecting that the augmentation of miRNA function by ROCK inhibition would suppress tumourigenesis if the global impairment of miRNA function during chronic inflammation plays a role in tumour initiation and/or promotion. In fact, the inhibition of ROCK significantly reduced inflammation-associated colon tumourigenesis in a mouse experimental model. The effects were miRNA-dependent, because the effects were lost when Dicer-

deficient mice were used and ROCK inhibition did not have any effects on the spontaneous colon carcinogenesis in APC-disrupted mice. These data suggest that ROCK inhibitor treatment has suppressive effects on inflammation-associated tumours inasmuch as it releases miRNA function from suppression by inflammatory stimuli. Although some cytokines, including TNF- $\alpha$ , are generally believed to play major roles of inflammation-associated carcinogenesis, its downstream molecular mechanisms are largely unknown. Our results provide new information on the pathogenesis of inflammation-associated tumourigenesis and identify new potential methods for preventing such tumourigenesis. The ROCK inhibitor fasudil is already used clinically for the treatment of cerebral vasospasms; thus, its safety has already been demonstrated. Therefore, clinical trials are also planned to test its efficacy in the prevention of inflammation-associated tumourigenesis.

#### 18.6 Conclusion

Although we described miRNA deregulation in the pathogenesis of inflammationassociated carcinogenesis as a possible mechanism, other mechanisms also exist. Because inflammation-associated tumourigenesis is closely linked with mortality worldwide, it is crucial to elucidate the precise mechanisms and develop preventative methods through continued research for improved global health.

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# Chapter 19 Roles of Epstein–Barr Virus Micro RNAs in Epstein–Barr Virus-Associated Malignancies

#### Ai Kotani

Abstract Epstein–Barr virus (EBV) is an oncogenic human  $\gamma$ -herpes virus that causes various cancers such as Burkitt's lymphoma, Hodgkin's lymphoma, diffuse large B-cell lymphoma (DLBCL), gastric cancer, and nasopharyngeal carcinoma, one of the most common cancers in China.

miRNAs are small noncoding RNAs approximately 22 nucleotides long that posttranscriptionally regulate deadenylation, translation, and decay of their target messenger RNAs (mRNAs). The first viral miRNAs were discovered in human B-cells latently infected with EBV. EBV encodes at least 44 miRNAs. Forty of these miRNAs are transcribed from the BamH1 fragment A rightward transcript (*BART*) region. Several groups reported that EBV-encoded miRNAs target proapoptotic genes, preventing cells from entering the lytic phase. In this review, I discuss several recent findings centered on EBV-encoded miRNAs. In addition, I highlight the fact that small RNAs play important roles in inflammation.

Keywords EBV • miRNA • BART cluster • BHRF1 • Lymphoma • Cancer

# **19.1 Introduction**

Epstein–Barr virus (EBV) was discovered by examination of electron micrographs of cells isolated from Burkitt's lymphoma, a pediatric tumour common in sub-Saharan Africa, where its unusual geographic distribution indicated a viral etiology. EBV, which belongs to the  $\gamma$ -herpes virus family, has widespread distribution among humans and results in persistent asymptomatic infection of B cells.

A. Kotani (🖂)

Division of Hematological Malignancy, Institute of Medical Science, Tokai University, Isehara, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: aikotani@k-lab.jp

EBV usually results in clinically asymptomatic infections but may also cause infectious mononucleosis. In rare cases, EBV infection induces malignant transformation and development of cancers such as Burkitt's lymphoma, gastric carcinoma, and nasopharyngeal carcinoma (NPC), one of the most common cancers in China (Fang et al. 2008). In addition, EBV causes several lymphoid malignancies, including acquired immunodeficiency syndrome (AIDS)-associated lymphoma, Hodgkin's lymphoma, posttransplant lymphoma, age-associated B-cell lymphoma, and peripheral T- and NK-cell lymphomas (Parkin et al. 2005; Parkin 2006).

The primary site of EBV infection is the oropharyngeal cavity (Borza and Hutt-Fletcher 2002). EBV infection induces two distinct patterns of gene expression. During acute (lytic) EBV infection, the virus expresses all its genes sequentially. Linear, double-stranded viral genomes produced during the lytic state are packaged into virions. Shortly after the initial infection, EBV enters into a latent state and expresses only select 'latent' genes, which allows the virus to evade immune surveillance mechanisms of the host and establish a lifelong persistent infection (Ghosh et al. 2007). Serology analyses indicate that approximately 95% adults worldwide are infected with EBV. After the primary infection, hosts remain lifelong carriers of the virus (Thorley-Lawson 2001).

#### 19.2 EBV Micro-RNAs

#### 19.2.1 Micro-RNAs

Micro-RNAs (miRNAs) are small, noncoding, single-stranded RNAs approximately 21-25 nucleotides in length. They posttranscriptionally regulate mRNA expression and are transcribed from the noncoding regions of genes in all multicellular organisms and in certain viruses (Wang et al. 2008; Chen and Rajewsky 2007). EBV was the first human virus found to encode miRNAs (Pfeffer et al. 2004). EBV encodes 44 miRNAs and a small RNA. EBV miRNAs are encoded by regions located within the BHRF1 and BamHI-A rightward transcript (BART) loci of the EBV genome. The BHRF1 cluster of miRNAs includes BHRF1-1, BHRF1-2, BHRF1-3, and BHRF1-4 (Chen and Rajewsky 2007; Pfeffer et al. 2004; Grundhoff et al. 2006). The remaining EBV miRNAs, except miR-BART2, are encoded by BART clusters 1 and 2. MiR-BART2 is encoded by a region outside the BART clusters (Chen and Rajewsky 2007; Pfeffer et al. 2004; Grundhoff et al. 2006; Griffiths-Jones et al. 2006). MiRNAs bind to the 3' untranslated region (UTR) of mRNAs and interfere with their translation, thus downregulating protein expression. EBV miRNAs have been isolated from various EBV-associated carcinomas and lymphomas such as NPC, gastric carcinoma, diffuse large B-cell lymphoma, nasal T- and NK-cell lymphomas, and Hodgkin's lymphoma (Pfeffer et al. 2004; do Kim et al. 2007). Viral miRNAs play vital roles in immunogenesis, survival and proliferation of host cells, differentiation, lymphomagenesis, and regulation of viral infection and latency (Table 19.1) (Pfeffer et al. 2004; Rana 2007; Xia et al. 2008; Barth et al. 2011; Lu et al. 2012).

	Function	Target viral	Host target	Cluster
BLHF1-1	Transformation	BFLF2	LILRB-5,E2F1,p53, CBFA2T2	BHRF1
BLHF1-2	Transformation	BFLF2	PIK3R1	BHRF1
BLHF1-3	Transformation	BFLF2	CXCL11,PRF1,TGIF, NSEP1	BHRF1
BART1-5p	Cancer development	LMP1	CXCL12	BART Cluster1
BART2-5p	Viral replication	BALF5, LMP1	MIC B, Bim	
BART3		LMP1	IPO7, Bim	BART Cluster1
BART4		LMP1	Bim	BART Cluster1
BART5	Host cell survival	LMP1	PUMA, Bim	BART Cluster1
BART6	Maintain viral latency	EBNA2,LMP1, Rta,Zta	Dicer, Bim	BART Cluster1
BART7	EMT induction	LMP1	PTEN, Bim	BART Cluster2
BART8				BART Cluster2
BART9				BART Cluster2
BART10				BART Cluster2
BART11				BART Cluster2
BART12				BART Cluster2
BART13				BART Cluster2
BART14				BART Cluster2
BART15				BART Cluster1
BART16	Cancer development	LMP1	TOMM22	BART Cluster1
BART17	Cancer development	LMP1		BART Cluster1
BART18				BART Cluster2
BART19				BART Cluster2
BART20				BART Cluster2

Table 19.1 Summary of EBV coding miRNAs

(continued)

	Function	Target viral	Host target	Cluster
BART21				BART
				Cluster2
BART22		LMP2		BART
				Cluster2

Table 19.1 (continued)

## 19.2.2 Functions of EBV miRNAs

EBV miR-BART2 expression is low during latency. It prevents aberrant expression of *BALF5* mRNA, which is essential for viral DNA replication during lytic infection (Barth et al. 2008), The sequence of miR-BART2 is perfectly complementary to the 3' UTR of *BALF5* mRNA. Thus, miR-BART2 inhibits viral DNA replication by degrading *BALF5* mRNA. MiRNA-guided cleavage of mRNAs requires association with AGO2 (Meister et al. 2004), a member of the Argonaute family of proteins and a component of RNA-induced silencing complex (RISC). MiR-BART2 associates with AGO2 and guides the sequence-specific cleavage of *BALF5* mRNA. MiR-BART2-guided cleavage of *BALF5* mRNA substantially decreases after induction of the lytic cycle in EBV-infected cells (Barth et al. 2008). The amount of miR-BART2 is reduced during lytic infection, which in turn derepresses BALF5 expression (Barth et al. 2008). However, it is unclear whether miR-BART2-mediated regulation of viral replication is completely controlled by BALF5.

MiR-BART6, which is regulated by RNA editing, is another regulator of the shift from latent EBV infection to lytic infection (Iizasa et al. 2010). Editing of wild-type primary (pre)-miR-BART6 dramatically decreases loading of miR-BART6-5p onto RISC without affecting the processing of precursor or mature miRNAs (Iizasa et al. 2010). Editing of pre-miRNA might affect selection and loading of the guide strand onto RISC (Khvorova et al. 2003). MiR-BART6-5p silences *DICER* by binding to multiple target sites in the 3' UTR of *Dicer* mRNA. In contrast, miR-BART6-3p is unable to perform this function (Iizasa et al. 2010).

MiR-BART5 promotes host cell survival by regulating p53-upregulated modulator of apoptosis (PUMA) (Choy et al. 2008). PUMA is an apoptotic protein belonging to the BH3-only group of the Bcl-2 family of proteins and is encoded by *BBC3* (Han et al. 2001; Nakano and Vousden 2001; Yu et al. 2001). The 3' UTR of *BBC3* is perfectly complementary to miR-BART5, and binding of miR-BART5 to this region suppresses PUMA expression.

Abundant expression of miR-BART5 significantly downregulates PUMA expression in 60 % of NPC tissues (Choy et al. 2008). BART5 uses this mechanism to promote survival of NPC cells, EBV-infected gastric carcinoma cells, and

EBV-infected epithelial cells (Choy et al. 2008). Therefore, miR-BART5 may be a good target for anticancer therapy in EBV-infected cancer cells.

LMP1 is a viral protein expressed during type III latent EBV infections (Hislop et al. 2007; Pagano et al. 2004). LMP1 promotes cell growth and B-cell transformation, resists serum deprivation-induced apoptosis, and induces phenotypic changes in epithelial cells. *BART1* cluster miRNAs negatively regulate LMP1 expression and limit its inappropriately high levels, thereby preventing apoptosis induced by LMP1-mediated changes in UPR. *BART1* cluster miRNAs, namely miR-BART16, miR-BART17-5p, and miR-BART1-5p, are recognized by target sites in the 3' UTR of the mRNA expressing *LMP1* (Lo et al. 2007). These miRNAs regulate LMP1 expression at the posttranscriptional level.

BHRF1 is a latent protein expressed in growth-transformed cells that contributes to virus-associated lymphomagenesis (Kelly et al. 2009). MiR-BHRF1 BHRF1 expression, modulates cell downregulates transformation (Seto et al. 2010), and promotes B-cell proliferation following EBV infection. EBV-infected B cells lacking miR-BHRF1 progress into the cell cycle less efficiently and eventually die through apoptosis (Seto et al. 2010). MiR-BHRF1 is constitutively expressed in LCLs (Seto et al. 2010). The proportion of G1/G0 cells increases whereas that of S-phase cells decreases in the absence of miR-BHRF1 (Seto et al. 2010), indicating its key role in controlling proliferation of latentlyinfected cells. EBV-mediated differentiation of resting B cells into active B cells requires time. MiR-BHRF1 acts at the stage of the EBV life cycle during which multiple EBV oncogenes are activated.

EBV miR-BART7-3p enhances cell migration and invasion in vitro and cancer metastasis in vivo. EMT is characterized by the loss of epithelial markers and gain of mesenchymal features in NPC cells. Mechanistic studies indicate that EBV miR-BART7-3p targets PTEN, a major human tumour suppressor, and modulates PI3K/Akt/GSK-3 $\beta$  signalling, thus upregulating the expression and nuclear accumulation of Snail and  $\beta$ -catenin, which favor EMT (Lu et al. 2012; Cai et al. 2015).

## **19.3 EBV-Encoded Secretory miRNAs**

#### 19.3.1 Secretory miRNAs

Cellular and viral miRNAs control gene expression by repressing the translation of mRNAs into proteins, a process that is tightly regulated in healthy cells but that is deregulated in cancerous and virus-infected cells. Interestingly, miRNAs are not strictly intracellular; they are found in peripheral blood and are secreted into the culture media in small vesicles called exosomes (Kosaka et al. 2010). It has been suggested that exosome-associated miRNAs play important roles in intercellular communication (Kosaka et al. 2010); however, experimental evidence supporting

this is not enough. Moreover, the dynamics and mechanism of miRNA secretion by exosomes are poorly understood. It is unclear whether miRNAs are secreted in physiologically relevant amounts and whether exogenous exosome-associated miRNAs can access the molecular machinery to undergo processing.

#### 19.3.2 EBV-Encoded Secretory miRNAs

Pegtel et al. were the first to show that exosomes deliver viral miRNAs to noninfected cells (Pegtel et al. 2010). They used EBV B95.8-immortalised LCLs and showed that exosomes containing *BHRF1* cluster miRNAs targeted *CXCL11* mRNA in nearby uninfected cells. Furthermore, they showed that non-B cells obtained from EBV-infected patients with elevated viral loads contained EBV miRNAs, suggesting that exosomes transferred miRNAs to uninfected cells in vivo. These findings were confirmed by two studies reporting the release of exosomes from NPC cells. Gourzones et al. detected EBV miR-BART-containing exosomes in serum samples from patients with NPC and from mice xenografted with human NPC cells (Lu et al. 2012; Gourzones et al. 2010).

# **19.4 EBV miRNAs Regulate Inflammation and Immune Evasion**

#### **19.4.1** Inflammation and Oncogenesis

The potential of chronic inflammation to lead to oncogenesis has been established in malignancies. Chronic hepatitis caused by HCV can develop into hepatocarcinoma, autoimmune-mediated chronic colitis, colon cancer, *Helicobacter pilori*-induced chronic gastritis, and gastric cancer. These transitions require molecular and cellular interactions involving immune and nonimmune cells, cytokines, pathogens, and other factors (Grivennikov 2013; Shlomai et al. 2014).

Deficiency of proinflammatory cytokines such as TNF-alpha limits inflammation and suppresses oncogenesis in several models. Conversely, genetic deletion of immunosuppressive cytokines such as IL-10, and TGF-beta exacerbate inflammation and facilitate oncogenesis (Rickinson 2014). The mechanisms underlying inflammation-mediated regulation of oncogenesis have not been fully elucidated, but several studies are currently addressing this issue. Proinflammatory cytokines cause oxidative stress and production of reactive oxygen species (ROS) that induce DNA damage such as double strand breaks (DSB), and genomic instability (Lemercier 2015; Anuranjani 2014). Genomic instability can also be induced by active mutagenesis caused by activation-induced cytidine deaminase (AID). The minimal promoter of the gene encoding AID is controlled by NF-kB and gene expression is induced by several proinflammatory cytokines such as TNF-alpha (Okazaki et al. 2007). AID was originally discovered in the year 2000 as an essential component of the DNA modification step of class switch recombination (CSR) and somatic hypermutation (SHM) events that occur in B-cell immunoglobulin genes. AID expression is tightly regulated only in transient pre-B cells, in germinal center B cells (GC-B cells), and in activated mature B cells (Okazaki et al. 2007; Shimizu et al. 2012; Honjo et al. 2012). Interestingly, AID dysregulation induces SHM in genes other than immunogloblins. In B-cell lymphoma, MYC, BCL6, PIM1, and numerous other genes were found to be massively mutated (Kotani et al. 2005). Moreover, aberrant AID expression outside B cells has been reported to be involved in oncogenesis associated with cancers where inflammation has been linked to oncogenesis, such as gastric cancer, hepatocarcinoma, and others (Okazaki et al. 2007).

# 19.4.2 EBV-Related Cancer and Inflammation

EBV-related cancers are usually accompanied by severe inflammation. EBV-positive DLBCL of the elderly and EBV-positive Hodgkin's lymphoma show massive infiltration of tumours with lymphocytes, eosinophils, stromal cells, and fibroblasts. NKT lymphomas have poor prognoses and are characterised by severe inflammation. These observations strongly suggest that inflammation is involved in EBV oncogenesis (Lu et al. 2012). This hypothesis is supported by a study showing that in EBV-associated lymphoma, only tumour cells without bystander cells fail to be engrafted into immunodeficient mice. This observation suggests that bystander cells support tumour cell survival. EBV infection has been shown to activate NFkB, which as previously mentioned induces AID expression in mature B cells (Okazaki et al. 2007). However, whether aberrant AID expression during EBV infections is involved in EBV oncogenesis remains to be elucidated. Intriguingly, certain EBV-related cancers present with coinfection with malaria or HIV. For example, endemic Burkitt's lymphoma is common in sub-Saharan Africa, which also happens to be the endemic area for malaria. Several lines of evidence, including epidemiological studies, strongly indicate that malaria induces Burkitt's lymphoma through a mechanism that involves T-cell dysfunction, or other direct cofactors. Similarly, HIV infection has been reported to play certain roles in EBV-induced lymphomagenesis. The fact that T-cell maintenance in the HAART era is not associated with decreased incidence of EBV-related lymphomas such as Hodgkin's lymphoma, suggests that HIV infection and not the degree of immunocompromise, plays a role in these diseases (Rickinson 2014).

# 19.4.3 EBV miRNAs Regulate Inflammation and Immune Evasion

Several EBV miRNAs have been reported to be involved in inflammation and immune evasion. Major histocompatibility complex class I polypeptide-related sequence B (MICB) is a ligand of the NKG2D type II receptor, a stress-induced immune molecule (Bahram et al. 1994; Groh et al. 1996). Both B cells and endothelial cells, which are the targets of EBV, express MICB. Binding of MICB activates NK,  $CD8^+\alpha\beta$ , and  $CD8^+\gamma\delta$  T cells (Suarez-Alvarez et al. 2009). MICB expression on the cell surface is upregulated in response to various insults such as viral infection, tumour formation, heat shock, and DNA damage. Therefore, EBV downregulates MICB expression to decrease immune detection by NK cells. Previous studies have shown that downregulated MICB expression decreases the lysis of infected cells by NK cells (Stern-Ginossar et al. 2007). The 3' UTR of *MICB* mRNA has potential binding sites for EBV miR-BART2-5p (Nachmani et al. 2009). EBV downregulates MICB expression by employing miR-BART2-5p, thus decreasing NK-cell–mediated lysis to prevent detection by immune cells.

MiR-BART1-1 is expressed from the 5' UTR and miR-BART1-2 and miR-BART1-3 are expressed from the 3' UTR of BHRF1 in EBV-infected cells (Xia et al. 2008). MiR-BART1-3 expression is markedly elevated in EBV-infected type III latent cells (Xia et al. 2008). In addition, miR-BART1-3 has been detected in cells isolated from EBV-positive primary effusion lymphoma and AIDSassociated diffuse large B-cell lymphoma (Xia et al. 2008). BHRF1 cluster miRNAs are characteristically detected in EBV-infected type III latent cells (Xing and Kieff 2007). EBV miR-BHRF1-3 regulates host immunity by downregulating interferon (IFN)-inducible T-cell-attracting chemokine (I-TAC, also known as CXCL11). CXCL11 belongs to the CXC family of chemokines. and its expression is strongly induced by both IFN- $\beta$  and IFN- $\gamma$  (Rani et al. 1996). CXCL11 promotes cell-mediated immunity by attracting activated T cells. The 3' UTR of CXCL11 mRNA shows 100% complementarity to miR-BART1-3 and therefore is a target of miR-BART1-3. MiR-BART1-3 inversely regulates CXCL11 expression whereas the antisense sequence of miR-BART1-3 has an opposite effect (Pfeffer et al. 2004). MiR-BART1-3 significantly reduces CXCL11 expression at both the mRNA and protein levels (Xia et al. 2008). Thus, because cellular chemokines are the targets of viral miRNAs, EBV may regulate antigen processing and presentation and downregulate CTL cytokine networks through this mechanism.

#### **19.5** Concluding Remarks

EBV-associated cancers are generally difficult to cure. Despite extensive studies on well-known concepts and methods, the molecular mechanisms through which EBV induces tumourigenesis and eludes immune surveillance remain unclear. Recent studies using mouse models of EBV-mediated lymphoproliferative diseases have shown that EBV infection of B cells is necessary but not sufficient to induce tumourigenesis because all peripheral mononuclear cells are needed to generate tumours in these mice (Kuppers 2009). Immune cells are also indispensable for EBV-induced tumourigenesis. However, the detailed roles of inflammation in EBV-induced lymphomagenesis and the relationship between these cells and EBV-infected cells with respect to tumourigenesis remain unclear. Moreover, the mechanisms underlying drug resistance, which results in poor prognoses of EBV-related tumours, have not yet been elucidated. Therefore, it is important to study the biology of EBV-associated tumours from a new perspective such as that provided by investigations focused on EBV miRNAs.

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# Part IV Chronic Inflammation and Obesity/ Environmental Stress

# Chapter 20 Chronicity of Immune Abnormality in Atopic Dermatitis: Interacting Surface Between Environment and Immune System

#### Takanori Hidaka, Eri H. Kobayashi, and Masayuki Yamamoto

**Abstract** Atopic dermatitis is a pruritic inflammatory skin disease that is greatly increasing worldwide. As the disease can be divided into remission (acute) and nonremission (chronic) groups, atopic dermatitis is an appropriate model for exploration of the mechanisms underlying the chronicity of inflammation. The nonremission group is characterised by the subsequent complication with systemic allergic sequelae referred to as 'atopic march'. A central pathophysiology of atopic march has been revealed to be percutaneous sensitisation to environmental antigens, which results from memory Th2 cell induction. Characteristic pathophysiology of atopic dermatitis, including scratching, Filaggrin-null mutation, epidermal production of proinflammatory cytokines, such as *Tslp* and *II33*, and induction of type2 innate lymphoid cells have been revealed to contribute to the maintenance and increment of memory Th2 cells, leading to the nonremission course of the disease. Recent rapid increment of the prevalence suggests that environmental factors make a significant contribution to the pathogenesis of atopic dermatitis. A number of recent studies have demonstrated a positive correlation between the prevalence of atopic dermatitis and air pollution levels. In addition, several studies also demonstrated that air pollutants contribute to the induction of atopic march and memory T-cell formation. These air pollutants appear to activate stress-responsive transcription factors, AhR and Nrf2, and these transcription factors elicit a response

E.H. Kobayashi

M. Yamamoto (🖂)

AMED-CREST, Sendai 980-8575, Japan e-mail: masiyamamoto@med.tohoku.ac.jp

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T. Hidaka

Department of Medical Biochemistry, Tohoku University Graduate School of Medicine, 2-1 Seiryo-machi, Aoba-ku, Sendai 980-8575, Japan

Department of Dermatology, Tohoku University Graduate School of Medicine, 1-1 Seiryomachi, Aoba-ku, Sendai 980-8574, Japan

Department of Medical Biochemistry, Tohoku University Graduate School of Medicine, 2-1 Seiryo-machi, Aoba-ku, Sendai 980-8575, Japan

Department of Medical Biochemistry, Tohoku University Graduate School of Medicine, 2-1 Seiryo-machi, Aoba-ku, Sendai 980-8575, Japan

associated with inflammation. However, the exact mechanisms underlying the airpollutant-induced chronicity of atopic dermatitis remain to be elucidated.

**Keywords** Atopic Dermatitis • Sensitisation • Memory Th2 cell • Tslp • Il-33 • ILC2 • Air pollutant • AhR • Nrf2

# 20.1 Introduction: Atopic Dermatitis and Chronicity in Inflammation

The skin is an interface where the body and the environment interact. The skin functions as an immunological barrier that protects the body against environmental pathogens (Nestle et al. 2009). Indeed, the skin contains as many as  $2 \times 10^{10}$  T cells, which is approximately twice the number of circulating T cells (Clark et al. 2006b). These resident T cells are predominantly memory T cells (Clark et al. 2006b), which participate in long-lasting protection against specific pathogens. The breakdown of the cutaneous immune barrier leads to T-cell–mediated chronic inflammatory diseases, and T- cell–mediated excessive inflammation in the skin can cause a variety of diseases, including atopic dermatitis, psoriasis, alopecia areata, lichen planus, cutaneous graft-versus-host disease, and vitiligo.

Among these cutaneous diseases, atopic dermatitis is an appropriate model for investigating the mechanisms involved in chronicity because atopic dermatitis patients include both remission (acute) and nonremission (chronic) groups. Chronic atopic dermatitis patients develop characteristic complications that are related to immunological memory. Atopic dermatitis is a highly clinically significant disease because its prevalence has been rapidly increasing worldwide, and its incidence has reached 15–30% in children and 2–10% in adults over the last 30–40 years (Williams and Flohr 2006; Bieber 2008). Atopic dermatitis significantly impairs the quality of life as a result of intense pruritus and sleep disturbance (Beattie and Lewis-Jones 2006). Therefore, establishing a method to prevent atopic dermatitis is urgently needed. In this review, we describe the central pathophysiology that leads to the chronicity of atopic dermatitis, including antigen-sensitisation and memory Th2-cell formation. We also discuss the genetic, immunological, and environmental factors that are known to affect the chronicity of atopic dermatitis.

# 20.2 The Skin as an Immunological Barrier to External Insults

It is widely recognised that the pathophysiology of atopic dermatitis is caused by defects in the cutaneous immunological barrier that subsequently result in systemic immune abnormalities (Fig. 20.1). This concept is referred to as the 'outside-inside' model. Because the skin protects the body from environmental insults, such as



**Fig. 20.1** The pathophysiology of atopic dermatitis. Atopic dermatitis leads to the percutaneous penetration of environmental antigens and subsequent immune responses. Antigens that exacerbate atopic dermatitis have been derived from foods, house dust mites, animal dander, and other environmental factors. Once antigens penetrate into the skin, they are engulfed and processed by antigen-presenting cells. The antigen-presenting cells then present the antigen to naïve helper T cells to provoke Th2 sensitisation, which leads to systemic abnormal immune responses

protein antigens (Nestle et al. 2009), disruption of the functions of the skin barrier can result in environmental antigens penetrating through the epidermis (Hogan et al. 2012). Penetrated antigens are engulfed and processed by antigen-presenting cells, such as Langerhans cells in the epidermis and by dendritic cells in the dermis. These antigen-presenting cells then migrate to the regional lymph nodes to present the antigen-derived epitopes to naïve T cells, which then express the T-cell receptor (TCR) that corresponds to the epitopes.

Antigen-presented naïve T cells then proliferate and differentiate into antigenspecific effector/memory T cells. Activated T cells migrate to sites that experience primary exposure to antigens, and once there, they act to remove the antigens. Finally, after an effector T cell has removed an antigen, the majority of these cells fall into apoptosis (contraction phase). However, a limited number of memory T cells are maintained for recurrent exposure to antigens (Murali-Krishna et al. 1998; Kupper and Fuhlbrigge 2004; Wherry and Ahmed 2004).

# 20.3 Sensitisation to Environmental Allergens Induces Chronicity in Atopic Dermatitis

A considerable proportion of the cases of atopic dermatitis result in a persistent and chronic condition (Fig. 20.2). Although a large number of atopic dermatitis cases spontaneously remit during childhood, approximately half of the cases of atopic dermatitis that have their onset in infancy persist into adulthood (Spergel and Paller 2003). Many atopic dermatitis patients subsequently develop other allergic diseases, including asthma, allergic rhinitis, and food allergies, which can become life-threatening symptoms. The development of these allergic disease sequelae is referred to as 'atopic march'. Approximately 30 and 60% of atopic dermatitis patients develop asthma and allergic rhinoconjunctivitis, respectively (Ricci et al. 2006). A number of studies have also confirmed that atopic dermatitis is associated with an increased risk of developing asthma (Illi et al. 2004; Lowe et al. 2008; Saunes et al. 2012; von Kobyletzki et al. 2012). It is therefore important to explore the mechanisms underlying chronicity in atopic dermatitis so that we can develop a comprehensive understanding of the events that lead to chronic inflammation.

One of the most influential factors that leads to a nonremission status in atopic dermatitis is sensitisation to environmental antigens (von Kobyletzki et al. 2015). A family history of atopic dermatitis and frequent scratching are also important factors (Illi et al. 2004; von Kobyletzki et al. 2015). Food sensitisation in atopic dermatitis patients increases the risk of developing asthma and contributes to the progress of atopic march (Ricci et al. 2006; Marenholz et al. 2009; Filipiak-Pittroff et al. 2011),



**Fig. 20.2** The progression of chronic atopic dermatitis. Atopic dermatitis includes two subtypes. The major type of atopic dermatitis is accompanied by sensitisation to antigens and is called extrinsic atopic dermatitis. The minor type of atopic dermatitis, which is called intrinsic atopic dermatitis, lacks sensitisation and antigen-specific IgE production and is rarely accompanied by other allergic diseases. Although the majority of atopic dermatitis cases remit during childhood, a portion of atopic dermatitis cases result in chronic symptoms, including persistence into adulthood and coincidence with other allergic diseases, and this process is called atopic march

and antigen sensitisation is a significant predictor of asthmatic symptoms in later life (Illi et al. 2004). In support of the significance of antigen sensitisation in eliciting atopic march, 'intrinsic' or nonsensitised types of atopic dermatitis account for approximately 15% of such cases. These patients lack an antigen-specific IgE response to allergens (Ott et al. 2009; Roguedas-Contios and Misery 2011), and this disease rarely accompanies asthma (Wüthrich and Schmid-Grendelmeier 2002). These studies collectively indicate that sensitisation to antigens is a critical step in the chronicity of atopic dermatitis.

# 20.4 Th2 Cells Are Central Players in Atopic Dermatitis and Atopic March

Many types of cells are involved in sensitisation in atopic dermatitis, and T cells are central players. Inhibiting T-cell functions using calcineurin inhibitors had a significant therapeutic effect that included resolving atopic dermatitis lesions (Ashcroft et al. 2005). T helper type 2 (Th2) cells are a subset of T cells that are critical to the pathogenesis of atopic dermatitis. Atopic dermatitis lesions are characterised by the infiltration of IL-4–, IL-5–, and IL-13–producing Th2 cells, although IFN- $\gamma$  and TNF- $\alpha$ –producing T helper type 1 (Th1) cells have been detected in chronic lesions (Leung et al. 2004). In relation to atopic march, Th2 cells are thought to be pathogenic in both atopic dermatitis and atopic-dermatitis–associated diseases (Spergel and Paller 2003; Cookson 2004; Locksley 2010). In support of this hypothesis, Th2 cells that were sensitised to allergens derived from house dust mites have been detected in the skin of atopic dermatitis patients (van Reijsen et al. 1992).

# 20.5 Skin-Homing Memory Th2 Cells Contribute to Chronicity in Atopic Dermatitis

Long-lived Th2 memory cells are essential to maintaining the chronicity of allergic inflammatory reactions and relapses upon re-exposure to allergens (Prescott et al. 1999; Mojtabavi et al. 2002). Memory T cells that possess the ability to home toward the skin are therefore considered to be key to chronicity in atopic dermatitis and to contribute to atopic march.

Cutaneous leukocyte-associated antigen (CLA) is often used as a marker for skin-homing T cells. CLA is a ligand for selectin, which is expressed on cutaneous postcapillary venules. CLA is essential to T cell homing toward the skin (Biedermann et al. 2002, 2006). Almost all T cells that infiltrate into the skin express CLA in combination with CD45RO, a marker of memory T cells (Clark et al. 2006a). Circulating CLA<sup>+</sup>/CD45RO<sup>+</sup> skin-homing memory T cells obtained from atopic dermatitis patients produce higher levels of Th2 cytokines upon

allergen stimulation than cells from healthy controls and/or CLA<sup>-</sup> memory T cells (Seneviratne et al. 2007). The expression levels of activation markers, such as HLA-DR and CD25, are also enhanced in CLA<sup>+</sup> T cells obtained from atopic dermatitis patients (Santamaria Babi et al. 1995; Seneviratne et al. 2007). These data indicate an association between CLA<sup>+</sup> memory T cells and atopic dermatitis pathogenesis.

CLA<sup>+</sup> T cells also express the chemokine receptor CCR4 (Campbell et al. 1999; Biedermann et al. 2002; Clark et al. 2006a). CCR4 is the receptor for the chemokine ligands thymus and activation-regulated chemokine (TARC or CCL17) and macrophage-derived chemokine (MDC or CCL22). Both CCL17 and CCL22 are produced in atopic dermatitis lesions (Homey et al. 2006), and serum levels of CCL17 and CCL22 and the expression of CCR4 on peripheral blood CD4<sup>+</sup> T cells have been significantly correlated with disease activity (Kakinuma et al. 2001, 2002; Wakugawa et al. 2001).

Recently, CRTH2 (chemoattractant receptor-homologous molecule expressed on Th2 cells) was identified as a reliable marker for Th2-committed memory T cells (Cosmi et al. 2000; Wang et al. 2006). More CRTH2<sup>+</sup> T cells were observed in peripheral blood obtained from atopic dermatitis patients than in blood obtained from healthy subjects, and these cells were also detected in atopic dermatitis skin lesions (Cosmi et al. 2000; Iwasaki et al. 2002; Wang et al. 2006). A mouse model of epicutaneous allergen exposure also revealed that CRTH2 signalling is essential to antigen-induced allergic inflammation (Oiwa et al. 2008; He et al. 2010).

Based on these observations, circulating CLA<sup>+</sup>/CCR4<sup>+</sup>/CRTH2<sup>+</sup> memory Th2 cells are thought to be skin-homing memory T cells that are characteristic of atopic dermatitis. These memory Th2 cells are likely to be involved in the induction of atopic dermatitis chronicity, and they may migrate into other organs to provoke atopic march.

# 20.6 T-Cell Sensitisation Enhances the Maintenance of Immunological Memory

The chronicity of atopic dermatitis requires the continuous generation or maintenance of memory Th2 cells because the number of memory CD4<sup>+</sup> T cells would otherwise gradually decline over time (Homann et al. 2001; Kurtulus et al. 2013). Cytokines, such as IL-7 and IL-15, are critical to maintaining the homeostasis and survival of memory CD4<sup>+</sup> cells (Croft 2003; Kondrack et al. 2003; Lenz et al. 2004; Purton et al. 2007), and TCR signalling is also important for the persistence of memory T cells (Kassiotis et al. 2002; Bushar et al. 2010; Lees and Farber 2010).

Interestingly, prolonged antigen stimulation of CD4<sup>+</sup> T cells increases the number of memory T cells and promotes the production of cytokines upon recall by antigens (Moulton et al. 2006). Chronic exposure to antigens and the resulting amplification of Th2 sensitisation may therefore lead to the maintenance of memory
T cells, which is associated with chronic inflammation. In support of this hypothesis, recent studies have identified factors that promote Th2 sensitisation and the establishment of memory T cells by contributing to increased antigen infiltration and an exacerbated Th2-type immune response. In addition to these intrinsic factors, environmental factors also affect T-cell sensitisation. In the next section of this review, we discuss the factors that exacerbate allergic T-cell sensitisation and contribute to the development and persistence of atopic dermatitis.

# 20.7 Factors That Promote T-Cell Sensitisation During the Development of Atopic Dermatitis

# 20.7.1 Barrier Insufficiency Caused by Filaggrin Deficiency and Scratching

It has been shown that impaired skin barrier function results in the persistent infiltration of external antigens and the excess production of antigen-sensitised T cells (Fig. 20.3). Of the various structural proteins involved in maintaining the integrity of the skin barrier, filaggrin (FLG) is one of the most important molecules in the pathogenesis of atopic dermatitis. Terminal differentiation in keratinocytes results in the degradation of FLG. The products of this degradation perform multiple roles, including the agglutination of keratin filaments, which results in the flattening of keratinocytes and the formation of an effective barrier against external allergens, and acts as a natural moisturiser (Candi et al. 2005).

*FLG*-null mutations are frequently identified in atopic dermatitis patients, particularly in patients in whom the condition persists into adulthood (Palmer et al. 2006; Barker et al. 2007). Loss-of-function *FLG* mutations have been reported to be an important risk factor for food allergies (Brown et al. 2011), which can contribute to atopic march. Furthermore, the presence of an *FLG* mutation in either early onset atopic dermatitis or food sensitisation increases the risk of developing asthma later (Marenholz et al. 2009; Filipiak-Pittroff et al. 2011).

'Flaky tail' mice harbor a frame-shift mutation in the Flg gene and develop atopic dermatitis-like pruritic lesions. These mice exhibited an increase in the specific IgE against epicutaneously applied ovalbumin (OVA), a representative food antigen, indicating that the barrier insufficiency caused by the Flg mutation promoted T-cell sensitisation to external antigens (Fallon et al. 2009; Oyoshi et al. 2009; Moniaga et al. 2010).

Another common cause of barrier insufficiency is pruritus, which is commonly associated with scratching. Pruritus is the major diagnostic criterion for atopic dermatitis, and frequent scratching in early atopic dermatitis is a strong risk factor for atopic dermatitis chronicity (IIIi et al. 2004). In atopic dermatitis patients, scratching provokes further pruritus and leads to recurrent scratching behaviour,



**Fig. 20.3** Barrier insufficiency exacerbates inflammatory responses. Barrier insufficiency is mainly caused by *Filaggrin* mutations and scratching, which leads to an increase in the percutaneous penetration of antigens. Increased and prolonged penetration by antigens results in enhanced T-cell sensitisation. Mechanical injury caused by scratching also elevates the expression of the Th2 immune response-inducing cytokines TSLP and IL-33 in the epidermis, which enhances sensitisation

which is a characteristic symptom that is referred to as the 'itch–scratch cycle' (Hosogi et al. 2006; Bautista et al. 2014).

In addition to allowing an increase in antigen penetration, barrier insufficiency also enhances the expression of cytokines that support T-cell sensitisation and/or the formation of memory T cells. Mechanical injury also leads to an increase in inflammatory cytokine expression (Angelova-Fischer et al. 2010; Oyoshi et al. 2010; Savinko et al. 2012), which enhances T-cell sensitisation (discussed below). These data indicate that barrier insufficiency, which can be caused by *FLG* mutations, scratching, and a number of other factors, promotes the penetration of antigens through the barrier and the subsequent production of cytokines that support memory Th2 cell formation, resulting in chronicity in atopic dermatitis and an increased risk of developing atopic march.

### 20.7.2 Cytokines That Support Memory Th2 Cell Formation

### 20.7.2.1 TSLP

TSLP (Thymic stromal lymphopoietin) is a member of the IL-7 family of cytokines and is expressed mainly in the epidermis, lungs, and intestines (Liu et al. 2007). TSLP is expressed at higher levels in lesional keratinocytes in atopic dermatitis patients than in the same cells in nonatopic dermatitis patients or in nonlesional skin (Soumelis et al. 2002; Fig. 20.4). Serum TSLP levels are also higher in atopic dermatitis patients (Lee et al. 2010). Consistent with these findings, mice with skinspecific *Tslp* expression exhibit the hallmark phenotypes of human atopic dermatitis, including frequent scratching, dermal infiltration of T cells and eosinophils, and elevated serum levels of Th2 cytokines and IgE (Yoo et al. 2005; Li et al. 2005). Furthermore, epicutaneous application of a TSLP-inducing agent in combination with OVA caused dermatitis in wild-type mice but not in *Tslp*-deficient mice (Zhang et al. 2009). These data indicate that the excess production of TSLP in the epidermis promotes the Th2 immune response.



Fig. 20.4 Inflammatory cytokines produced in the skin mediate memory Th2 cell formation. Mechanical injury elevates the production of TSLP and IL-33 in the epidermis, leading to the enhancement of Th2 sensitisation and/or memory T-cell formation. TSLP elevates the expression of the costimulatory molecule OX40L in antigen-presenting cells and further induces Th2 sensitisation. TSLP also activates the TSLP-receptor (TSLPR)–expressing sensory nerves that innervate the skin, leading to the aggravation of pruritus. TSLP and IL-33 activate ILC2s, which activate DCs and enhance T-cell sensitisation

TSLP-treated human dendritic cells enhance the proliferation and development of naïve Th2-derived CD4<sup>+</sup> T cells (Soumelis et al. 2002; Watanabe et al. 2004). TSLP activates dendritic cells mainly by inducing the cell-surface expression of costimulatory molecules, including OX40L. OX40L induces Th2 polarization in naïve T cells through the formation of complexes with OX40, which is expressed on the surface of T cells. Neutralising OX40L abrogated the TSLP-mediated enhancement of Th2 development in dendritic cells (Ito et al. 2005). In cocultures of TSLPexpressing dendritic cells and memory T cells, blocking OX40L induced the expression of CDK (cyclin-dependent kinase) inhibitors, suggesting that the OX40L-OX40 interaction is required for the expansion in memory T cells that is activated by TSLP-treated dendritic cells (Wang et al. 2006). In experiments using memory Th2 cells that were collected from healthy donors, coculturing these cells with TSLP-treated CD11c<sup>+</sup> dendritic cells caused a robust expansion in memory Th2 cells (Wang et al. 2006), suggesting that TSLP is a key player in the maintenance of memory Th2 cells and chronic inflammation.

Data from experiments aimed at understanding the functions of TSLP in Th2 sensitisation and Th2 memory indicate that TSLP is involved in atopic march. In murine asthma models, inducing the skin-specific expression or epicutaneous application of TSLP promoted sensitisation to OVA and exacerbated the subsequent asthma-like airway inflammation that was induced by OVA challenge (Demehri et al. 2009; Han et al. 2012). However, specifically ablating the *Tslp* in keratinocytes alleviated the asthma-like phenotypes that were induced by epicutaneous sensitisation to OVA (Leyva-Castillo et al. 2013). OVA-specific IgE levels were therefore elevated when TSLP expression was increased and reduced when TSLP expression was ablated in mouse models, indicating that TSLP enhances allergen-specific T-cell sensitisation (Demehri et al. 2009; Han et al. 2012; Leyva-Castillo et al. 2013). Consistent with this hypothesis, polymorphisms in the human *TSLP* locus have been associated with the persistence of atopic dermatitis, asthma, and allergic rhinitis (Hunninghake et al. 2010; Harada et al. 2011; Ramasamy et al. 2011; Margolis et al. 2014).

A recent study showed that TSLP induces pruritus. TSLP activates the sensory neurons that innervate the skin because these cells express the receptor for TSLP (Wilson et al. 2013). Because TSLP expression is induced by mechanical injury (Angelova-Fischer et al. 2010), scratching itself might induce the expression of TSLP and provoke further pruritus. Controlling TSLP expression in the skin may therefore be an effective way to improve atopic dermatitis symptoms and prevent atopic dermatitis-related asthma and other allergic diseases.

#### 20.7.2.2 IL-33

Another important cytokine that is associated with atopic dermatitis is IL-33, a member of the IL-1 family of cytokines. More cells are positive for IL-33 and ST2, a specific receptor for IL-33, in the lesional skin of atopic dermatitis patients than in nonlesional skin (Savinko et al. 2012; Fig. 20.4). These data indicate that IL-33-

ST2 signalling contributes to the development of atopic dermatitis. In addition, serum levels of IL-33 are elevated in atopic dermatitis patients and correlated with disease severity (Tamagawa-Mineoka et al. 2014), but IL-33 is not induced in nonatopic dermatitis patients or healthy controls. Furthermore, the mRNA expression levels of IL-33 and ST2 were found to be elevated in the skin of atopic dermatitis patients upon exposure to house dust mites (Savinko et al. 2012).

In agreement with these observations, single nucleotide polymorphisms in the human *ST2* gene were correlated with the incidence of atopic dermatitis (Shimizu et al. 2005). Inducing the keratinocyte-specific expression of IL-33 in mice using the Keratin 14 promoter also caused atopic dermatitis-like phenotypes, including spontaneous dermatitis with pruritus and the infiltration of eosinophils, mast cells, and IL-5–producing innate lymphoid cells (Imai et al. 2013; discussed below), supporting the hypothesis that IL-33 expression in the skin promotes allergic dermatitis.

Several studies have shown that the activation of the IL-33-ST2 axis enhances Th2-cell differentiation. Earlier studies showed that ST2 is expressed on the surface of Th2 cells but not on the surface of Th1 cells and that blocking ST2 signalling reduced the production of Th2 cytokines (Troehmann et al. 1998; Xu et al. 1998; Coyle et al. 1999). Treating human and murine CD4<sup>+</sup> cells with IL-33 enhanced Th2-cell polarization and the production of Th2-associated cytokines, such as IL-5 and IL-13 (Schmitz et al. 2005; Kurowska-Stolarska et al. 2008). IL-33 also activated dendritic cells (Besnard et al. 2011), and IL-33-treated dendritic cells showed an enhanced ability to promote Th2-cell differentiation (Rank et al. 2009). Thus, IL-33 is thought to activate dendritic cells at least in part by enhancing the OX40L-mediated activation of Th2 polarization (Murakami-satsutani et al. 2014).

Although the extent to which IL-33 is responsible for the development and persistence of atopic dermatitis has not been determined, a number of recent reports have shown that IL-33 is a key player in systemic Th2-type allergic inflammation. In an OVA-induced model of asthma, IL-33 treatment during OVA sensitisation exacerbated the observed increase in IL-5–producing cells and airway inflammation (Kurowska-Stolarska et al. 2008, 2009). Blocking IL-33-ST2 signalling with an anti-IL-33 antibody, an anti-ST2 antibody, and ST2-deficiency alleviated the severity of OVA-induced asthma (Kurowska-Stolarska et al. 2009; Kearley et al. 2009; Liu et al. 2009; Besnard et al. 2011). IL-33 enhanced the production of IL-5 in memory Th2 cells, and IL-33–deficiency ameliorated memory Th2-cell–mediated airway inflammation (Endo et al. 2015). These reports suggest that the IL-33-ST2 signalling axis is important in controlling atopic dermatitis and atopic-dermatitis–related allergic diseases.

### 20.7.3 Type 2 Innate Lymphoid Cell

Type 2 innate lymphoid cells (ILC2s) have been identified as the novel cell population that can induce allergic inflammation independently of adaptive immunity (Spits et al. 2013; McKenzie et al. 2014; Fig. 20.4). Innate lymphoid cells (ILCs) are members of innate immune cells derived from common lymphoid progenitor cells, which lack T- and B-cell receptors and conventional haematopoietic lineage markers, but express CD25, CD90, and CD127 (IL-7Ra; Spits and Cupedo 2012; Spits et al. 2013). In a similar way to classify helper T cells, ILCs are classified into three distinct subgroups in terms of their expression profiles of transcription factors and effector cytokines (Spits and Cupedo 2012; Sonnenberg et al. 2013). The first subgroup is Tbet-dependent IFN $\gamma$ - and TNF $\alpha$ -producing ILC1s. The second subgroup is RORα-dependent, GATA3-expressing, and IL-5and IL-13-producing ILC2s. The third subgroup is RORyt-dependent and IL-17Aand/or IL-22-producing ILC3s. In a murine asthma model, ILC2s produce a large amount of IL-5 and IL-13 equal to or greater than that done by T cells (Wolterink et al. 2012), whereas they produce a lesser amount of IL-4 than that done by T cells (Barlow et al. 2012). This capacity to produce such large amounts of Th2-type cytokines implies that ILC2s play an important role in the pathogenesis of allergic inflammation.

ILC2s are enriched in the interface between the environment and our body, such as intestine (Neill et al. 2010), lungs (Chang et al. 2011; Monticelli et al. 2011), and skin (Kim et al. 2013; Roediger et al. 2013). Those are organs where allergic inflammation occurs. ILC2s are actually found to play important roles in the induction of airway hyperreactivity (Chang et al. 2011) or infiltrate abundantly in the lesion of allergic inflammation, such as chronic rhinosinusitis (Mjösberg et al. 2011) and atopic dermatitis (Kim et al. 2013). Especially in skin, although all subgroups of ILCs have been identified, ILC2s are predominant in mice (Roediger et al. 2013). ILC2s constitute approximately 30% of total ILCs in humans (Dyring-Andersen et al. 2014; Villanova et al. 2014). In addition, the activation of ILC2s itself by IL-2 is sufficient to induce atopic dermatitis-like lesions via production of IL-5 and infiltration with eosinophils in murine skin (Roediger et al. 2013). These studies imply ILC2s play important roles not only in steady-state conditions but also at the lesional skin of atopic dermatitis.

Recently, the functional association between ILC2s and atopic dermatitis has been demonstrated. In both mouse and human systems, ILC2s express receptors for proinflammatory cytokines, such as TSLP, IL-33, and IL-25 (Mjösberg et al. 2012). Actually, keratinocyte-specific IL-33–overexpressing transgenic mice exhibit atopic dermatitis-like skin lesions with an accumulation of ILC2s (Imai et al. 2013). IL-33 activates ILC2s to produce IL-5 and IL-13 independently or with the combination of IL-2 and IL-25 (Furusawa et al. 2013; Salimi et al. 2013), leading to exacerbate Th2-type inflammation. Another epithelium-derived proinflammatory cytokine TSLP itself is also found to induce atopic dermatitis-like lesion with ILC2 infiltration in murine skin (Kim et al. 2013). Furthermore,

TSLP inhibits the anti-inflammatory effect of corticosteroid in the situation of IL-33–induced and ILC2s-mediated airway inflammation (Kabata et al. 2013). As both IL-33 and TSLP are expressed and ILC2s are enriched at the lesion of atopic dermatitis, these cytokines may also enhance Th2-type inflammation via ILC2s.

ILC2s enhance sensitisation, leading to chronicity of atopic dermatitis (Fig. 20.4). Indeed, although the exact role that ILC2s play in an IgE-dependent allergic response remains elusive, it has been shown that ILC2s are able to enhance IgE production from B cells in vitro (Fukuoka et al. 2013). In addition, as a mechanism to enhance sensitisation, ILC2s are demonstrated to enhance the priming step of Th2 cells directly in two different ways. First, in a murine lung inflammation model, activation of ILC2s is essential for dendritic cells to migrate to draining lymph nodes where antigen-presentation occurs (Halim et al. 2014). Second, ILC2s have been reported to express major histocompatibility complex class II and to stimulate CD4<sup>+</sup> T cells directly (Mirchandani et al. 2014). These results support the contention that ILC2s contribute to chronicity of atopic dermatitis via augmentation of sensitisation step.

Direct enrollment of ILC2s in the process of memory Th2 cell formation has been reported. In a papain application-induced atopic dermatitis model, Halim et al. demonstrated that IL-13 secreted from ILC2s induces memory Th2-cell– attracting chemokine, CCL17, expression by dermal CD11b<sup>+</sup> dendritic cells, which is a crucial step for memory Th2-cell formation (Halim et al. 2015). Taken together, these studies suggest that ILC2s may contribute to induce chronicity in atopic dermatitis by enforcement of the Th2-type sensitisation step and/or the direct induction of memory T cells via dendritic cells.

### 20.7.4 Air Pollution

The prevalence of atopic dermatitis is rapidly increasing, implying that environmental factors may also contribute to its pathogenesis (Fig. 20.5). A number of studies have suggested that air pollution is one of the risk factors for developing atopic dermatitis and allergic diseases. For example, the prevalence of allergic diseases is correlated with proximity to a major traffic road (McConnell et al. 2006; Morgenstern et al. 2008), and markers associated with exposure to cigarette smoke during the prenatal period are correlated with the prevalence of atopic dermatitis after birth (Wang et al. 2008). In a meta-analysis of published studies, increased exposure to particulate matter (PM), an important air pollutant, was found to be positively associated with the increased incidence of asthma (Gasana et al. 2012). A representative indoor air pollutant, cigarette smoke, is also positively correlated with the prevalence of atopic dermatitis, regardless of whether the exposure is mainstream or passive (Saulyte et al. 2014).

Although the mechanisms underlying these associations have not been determined, it is clear that air pollutants exacerbate allergic inflammation. In murine antigen-induced airway inflammation models, treatment with diesel exhaust



Fig. 20.5 Air pollution and atopic dermatitis. It has been suggested that air pollutants, including automobile exhaust, cigarette smoke, and factory smoke, exacerbate atopic dermatitis symptoms by inducing the expression of cytokines, such as TSLP. The prevalence of atopic dermatitis is correlated with air pollution levels, suggesting that exposure to air pollutants enhances the development of atopic dermatitis

particles (DEPs) in combination with OVA or other allergens promoted asthma-like phenotypes, including the production of Th2 and Th17 cytokines and antigenspecific IgE and IgG<sub>1</sub> and airway hyperresponsiveness (Takano et al.; Kim et al. 2011b; Brandt et al. 2013). Air pollutants may exert these inflammatory effects by enhancing TSLP expression. Administration of DEPs to human bronchial epithelial cells induced *TSLP* expression and subsequently Th2-supporting activity in dendritic cells (Bleck et al. 2008, 2010). Furthermore, one recent report showed that air pollutants enhanced the establishment of immunological memory. Following the induction of sensitisation to house dust mites, coexposure with DEPs led to enhanced immune responses against secondary allergen challenges even after a 7-week resting period (Brandt et al. 2015). Simultaneous exposure to DEPs and allergens therefore promoted immune responses and the accumulation of allergenspecific memory T cells.

Diverse compounds are included in DEPs. Among these, polycyclic aromatic hydrocarbons (PAHs) are important because they are generated during the burning of coal, oil, gas, other organic compounds, and cigarettes. Organic chemical components derived from DEPs often include PAHs, and PAH alone has been shown to enhance allergic responses, implying that PAHs may be the main mediators of the inflammation-exacerbating effects of air pollutants (Takenaka et al. 1995).

# 20.8 Transcription Factors Linking Pollution and Inflammatory Diseases

Pollutants usually exert their effects by activating transcription factors, which then mediate an environmental stress response. Although the association between stress response transcription factors and atopic dermatitis has not been investigated, some reports have suggested that these transcription factors alter inflammatory responses.

### 20.8.1 AhR

In addition to their inflammation-exacerbating effects, PAHs have also been known to exert carcinogenic effects by activating the transcription factor AhR (aryl hydrocarbon receptor or dioxin receptor; Fig. 20.6). PAHs act as ligands for AhR, through which they induce the expression of phase I detoxification enzymes, mainly including cytochrome P450s. Phase I enzymes are required for the elimination of PAHs from cells, but they also mediate the carcinogenicity of PAHs, which is induced by the electrophilic intermediates that result from PAH detoxification. Subcutaneous or topical application of benzo[a]pyrene, a PAH contained in cigarette smoke and automobile exhaust, produced skin tumours in wild-type mice,



**Fig. 20.6** The AhR-mediated induction of phase I detoxification enzymes. The transcription factor AhR is activated through its ligands, which include PAHs, and PAHs are the main inflammatory components of air pollutants. Upon ligand binding, AhR dislocates from a complex that includes heat shock protein 90 (HSP90) and translocates into the nucleus. In the nucleus, AhR forms heterodimers with Arnt and then binds to xenobiotic response elements (XREs) to upregulate the transcription of phase I detoxification enzymes, which are required to metabolise air pollutant molecules

whereas AhR deficiency resulted in a loss of the expression of phase I enzyme genes and a reduction in the incidence of tumours (Shimizu et al. 2000).

Ligands that can activate AhR include PAHs, dioxins, and other environmental pollutant molecules. When AhR is bound by a ligand, it disassociates from the cytoplasmic complex through a process involving heat shock protein 90 (HSP90), and it then translocates into the nucleus. In the nucleus, AhR forms heterodimers with aryl hydrocarbon receptor nuclear translocator (Arnt) and binds to xenobiotic response elements (XREs) in the *cis*-regulatory regions of its target genes to induce their transcription (Fujii-Kuriyama and Mimura 2005).

It has been suggested that AhR contributes to immune homeostasis, however, the role of AhR is complicated by the fact that its functions include both immunepromoting and immune-suppressive effects that depend on the cell type, the presence of particular ligands, and the experimental model being studied. Of the CD4<sup>+</sup> T cells, AhR is highly expressed in Th17 cells (Veldhoen et al. 2008). During Th17 differentiation in human and murine CD4<sup>+</sup> cells, the expression levels of the Th17 cytokine genes IL-17A, IL-17F, and IL-22 were increased by treatment with the AhR ligand 6-formylindolo[3,2-b]carbazole (FICZ). FICZ is generated via the UV (ultraviolet)-B irradiation of tryptophan and is thought to be an endogenous ligand of AhR. The Th17-enhancing effects of FICZ were shown to be dependent on AhR because they were reversed in cells obtained from AhR-deficient mice (Quintana et al. 2008; Veldhoen et al. 2008).

Interestingly, a well-investigated and highly toxic AhR ligand, 2,3,7,8tetrachlorodibenzo-p-dioxin (TCDD), induced the differentiation of immunosuppressive regulatory T cells (Tregs) instead of Th17 (Quintana et al. 2008). The effects of AhR ligands have been examined in vivo in experimentally-induced autoimmune encephalomyelitis (EAE) in a murine model of multiple sclerosis. Similar to the results of in vitro experiments using CD4<sup>+</sup> T cells, FICZ exacerbated, but TCDD suppressed, EAE symptoms, and both of these effects were AhR-dependent (Quintana et al. 2008; Veldhoen et al. 2008). In contrast, other studies have reported that both FICZ and TCDD promoted Th17 differentiation in vitro, which contradicts the observation that some AhR ligands can repress the in vivo induction of Th17 cells during EAE, depending on the protocol being used (Duarte et al. 2013).

Collectively, the results of these studies indicate that the immune-related functions of AhR are regulated by numerous factors, including ligands, experimental conditions, and cell lineages. However, we have provided an intriguing line of evidence showing an association between AhR and inflammation. In these experiments, we used a transgenic mouse model (Tauchi et al. 2005) to show that inducing the expression of a constitutively active form of AhR in keratinocytes caused spontaneous skin inflammation that was accompanied by an increase in serum IgE levels. Although the mechanism underlying these effects remains to be determined, these results indicate that AhR is involved in the regulation of immune responses in the skin.

### 20.8.2 Nrf2

Nrf2 (Nuclear factor erythroid 2-related factor 2) is the master regulator of phase II detoxification responses, during which the metabolites produced in phase I detoxification reactions are modified to form less toxic and more easily excretable molecules (Fig. 20.7). Consistent with this role, Nrf2 loss-of-function increased the sensitivity of cells to various stresses, including those induced by toxic electrophilic and carcinogenic molecules (Taguchi et al. 2011; Suzuki et al. 2013). Recent studies also revealed that Nrf2 is a key player during the control of inflammation (Kobayashi et al. 2013).

The activation of Nrf2 is regulated by degradation processes that are mediated by Keap1 (Kelch-like ECH-associated protein 1), an adapter protein for E3 ubiquitin ligase (Itoh et al. 1999; Taguchi et al. 2011; Suzuki et al. 2013). In unstressed conditions, Nrf2 is constitutively degraded via a Keap1-mediated polyubiquitination and proteasome pathway. Upon exposure to oxidative and electrophilic stress, Keap1 senses the insult, and the degradation of Nrf2 is blocked. Newly synthesised Nrf2 proteins translocate into the nucleus, where they form heterodimers with sMaf proteins. Nrf2-sMaf heterodimers bind to antioxidant response elements/electrophile response elements (AREs/EpREs) in the regulatory regions of detoxification-related genes to upregulate their transcription (Friling et al. 1990; Rushmore et al. 1991).



**Fig. 20.7** The Nrf2-mediated induction of phase II detoxification enzymes and antioxidants. The transcription factor Nrf2 is required for the phase II detoxification of metabolites derived from air pollutants. Nrf2 is constitutively degraded in steady-state cells by binding to Keap1 proteins. However, Nrf2 accumulates under xenobiotic and oxidative stress conditions. Accumulated Nrf2 translocates into the nucleus, where it forms heterodimers with sMaf and then binds to ARE/EpRE sequences. Nrf2 induces the expression of various genes, including phase II detoxification enzymes and antioxidant genes. The ability to initiate an Nrf2-mediated antioxidative response is required for redox homeostasis, which prevents the development of excessive inflammation

In addition to detoxification, Nrf2 has been shown to regulate inflammation in diverse murine in vivo models (Itoh et al. 2004; Rangasamy et al. 2005; Ishii et al. 2005; Iizuka et al. 2005; Thimmulappa et al. 2006), including models of asthma. The Nrf2-inducing small chemical molecule Tecfidera® has been approved as a treatment for multiple sclerosis, supporting the efficacy of targeting the control of Nrf2 activity to treat inflammatory diseases (Gold et al. 2012; Burness and Deeks 2014). In relation to air pollution, cigarette smoke-induced emphysema is also exacerbated in Nrf2-deficient mice (Iizuka et al. 2005). Exposure to DEPs and ambient ultrafine particles was also shown to exacerbate asthma-like allergic responses against OVA, and these responses were stronger in Nrf2-deficient mice than in wild-type mice (Li et al. 2010; Li et al. 2013).

Nrf2 is thought to repress inflammation at least partially by its involvement in the elimination of reactive oxygen species (ROS) because Nrf2 upregulates a number of antioxidant genes (Hirotsu et al. 2012) to maintain redox homeostasis in cells. In some experimental models of inflammation, the elimination of ROS using N-acetyl cysteine (NAC) has been shown to alleviate the exacerbated inflammation observed in Nrf2-deficient mice, suggesting that the Nrf2-mediated control of ROS involves the repression of inflammatory phenotypes (Thimmulappa et al. 2006; Kong et al. 2010).

In an allergic dermatitis, ROS has been demonstrated to be an exacerbating factor. A mouse model for allergic dermatitis, an epicutaneous treatment of NC/Nga mice with 2,4-dinitrofluorobenzene, is alleviated by  $\alpha$ -lipoic acid that functions as an antioxidant (Kim et al. 2011a). In blood samples from atopic dermatitis patients, an increase in oxidative stress and a decrease in antioxidants have been reported (Sivaranjani 2013), implying the relation of ROS to development of atopic dermatitis. Furthermore, basal and induced *IL4* gene expression in T cells from atopic dermatitis patients are attenuated by the inhibitor of mitochondrial respiratory complex I, because mitochondrial ROS upregulates expression of the *IL4* and *IL2* genes (Kaminski et al. 2010).

Consistent with these reports, loss-of-Nrf2-function has been reported to exacerbate a murine atopic dermatitis model (El Ali et al. 2013), implying that Nrf2mediated elimination of ROS is important to control dermatitis. In addition to ROS elimination, some reports have identified novel Nrf2 target genes in addition to the previously identified antioxidative stress-response genes in immune cells (Ishii et al. 2004; Harvey et al. 2011), whereas the molecular mechanisms underlying the Nrf2-mediated repression of inflammation have not been fully explored.

### 20.9 Conclusion

The establishment of percutaneously sensitised memory Th2 cells may cause chronicity in atopic dermatitis, which manifests as nonremission and the subsequent development of atopic march. The characteristic pathophysiologies of atopic dermatitis include the epidermal production of TSLP and other proinflammatory cytokines, barrier insufficiency, and induction of ILC2s which leads to the maintenance and increased production of memory Th2 cells. Exposure to air pollutants also enhances atopic dermatitis and contributes to the subsequent development of atopic march. These air pollutants may exert their toxic effects via the activation of stress-responsive transcription factors, such as AhR and Nrf2, although only limited information is currently available to support this hypothesis. Future studies focused on the association between Th2 immunological memory and air pollution would be beneficial to the aims of preventing and treating atopic dermatitis and atopic march.

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# Chapter 21 Role of Double-Stranded RNA Pathways in Immunometabolism in Obesity

### Takahisa Nakamura

Abstract During the pathogenesis of obesity, a broad array of inflammatory and stress responses are frequently evoked in insulin-targeted metabolic tissues such as liver and adipose tissue, leading to chronic, low grade, local inflammation which plays a central role in the disruption of systemic metabolic homeostasis. This atypical state engages immune response pathways, including recruitment of immune cells into metabolic tissues, activation of IkB kinase (IKK) and c-Jun N-terminal kinase (JNK) pathways, and elevated production of an array of immune mediators, which negatively impact on nutrient metabolism and insulin action. However, the molecular basis for the induction of metabolic inflammation and the vast network of pathological responses remains elusive. Recent evidence indicates that metabolic inflammation results from deregulated double-stranded RNA (dsRNA) processing/signaling in metabolic tissues, which adversely regulates systemic glucose metabolism in obesity. These findings suggest the involvement of altered RNA networks in the immunometabolic regulation of obesity. This review focuses on the regulation of endogenous dsRNA and protein networks and how their functional changes are associated with inflammatory responses, resulting in the metabolic sequelae of obesity.

**Keywords** Obesity • Inflammation • Double-Stranded RNA • PKR • JNK • IKK • TRBP • microRNA • RNA modification

# 21.1 Introduction

Dramatic changes in lifestyle and dietary trends have triggered a rapid worldwide epidemic of chronic metabolic diseases including nonalcoholic fatty liver disease (NAFLD/steatosis), glucose intolerance, type 2 diabetes (T2D), and cardiovascular disease (Perry et al. 2014; Saltiel 2000; Semenkovich 2006). Approximately 39 %

T. Nakamura (🖂)

Divisions of Endocrinology and Developmental Biology, Department of Pediatrics, Cincinnati Children's Hospital Medical Center, Cincinnati, OH, USA

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: takahisa.nakamura@cchmc.org

of the world's adult population was overweight (and 13% obese) in 2014, predisposing them to a large array of comorbidities (World Health Organization 2015; Ogden et al. 2014). Despite this enormous impact on global public health, mechanisms underlying this cluster of pathologies remain unclear and effective preventive and/or therapeutic strategies are limited.

Over the past two decades, intensive research has identified that the obese state has strong inflammatory underpinnings (Donath and Shoelson 2011; Gregor and Hotamisligil 2011; Lumeng and Saltiel 2011; Olefsky and Glass 2010; Pedersen and Febbraio 2010; Sabio and Davis 2010). A broad array of changes in metabolite and protein networks are evoked in obese metabolic tissues, which are often associated with the induction of inflammatory responses (Jin et al. 2013). These atypical inflammatory events are considered a focal point in the initiation and progression of metabolic diseases including insulin resistance and T2D; however, a critical question concerning how these inflammatory events are initiated remains elusive. Recent studies have implicated the sensors for pathogen-associated molecular patterns (PAMPs) and danger-associated molecular patterns (DAMPs) in the induction of atypical inflammation in the pathogenesis of obesity. Toll-like receptors (TLRs) and NOD-like receptors (NLRs) can sense a variety of PAMPs and DAMPs, including lipopolysaccharide (LPS), saturated free fatty acids, and nucleic acids, and initiate immune responses toward homeostatic disturbances associated with obesity (Davis et al. 2008; Holland et al. 2011; Jin et al. 2013; Konner and Bruning 2011; Vandanmagsar et al. 2011; Wen et al. 2011). In such processes, stress and inflammatory signaling cascades, such as IkB kinase (IKK) and c-Jun N-terminal kinase (JNK) pathways, are activated and contribute to the production of inflammatory cytokines (Hotamisligil 2006, 2010). The processes lead to a feedforward amplification of inflammatory responses that further activate the signaling cascades in autocrine and paracrine manners. The activation of JNK and IKK, as well as protein kinase C, S6 kinase (S6K), mammalian target of rapamycin (mTOR), and extracellular signal-regulated kinase (ERK), results in the inhibition of insulin action, a condition of insulin resistance, in part through the serine phosphorylation of insulin receptor substrates (IRS) 1 and 2 (Arkan et al. 2005; Hirosumi et al. 2002; Kim et al. 2004; Ozcan et al. 2008; Tzatsos and Kandror 2006). The insulin-resistant condition is highly correlated with other metabolic disorders including hyperglycemia, hyperlipidemia, and hypertension (Reaven et al. 1996; Shulman 2014).

In contrast to the changes in metabolite and protein networks in obesity, there exists only limited information regarding the impact of obesity and/or excess nutrients on functional changes in RNA networks and their links to metabolism. There are multiple proinflammatory proteins that sense RNA species among the sensors for PAMPs and DAMPs, such as TLR3, the retinoic acid-inducible gene-I (RIG-I)-like receptor family members, and double-stranded RNA (dsRNA)-dependent protein kinase (PKR; Fig. 21.1). In general, these proteins have been known to recognize exogenous dsRNA exclusively and induce inflammatory responses (Ding 2010; Nallagatla et al. 2011; Takeuchi and Akira 2009). However, recent evidence has clearly shown that a variety of endogenous dsRNA exist and are involved in multiple cellular events including RNA silencing (Jinek and



Fig. 21.1 Proposed molecular mechanisms of the involvement of proinflammatory dsRNAbinding proteins in recognition of both exogenous and endogenous dsRNAs. These dsRNAbinding proteins are known to recognize and interact with virus-derived exogenous RNAs upon virus infection and induce inflammatory responses. Under certain cellular stress conditions such as saturated fatty acid exposure, these dsRNA-binding proteins potentially sense changes in endogenous dsRNA networks through interacting, resulting in chronic inflammation and altered metabolism

Doudna 2009; Siomi and Siomi 2009; Wilson and Doudna 2013), which requires stringent regulation of dsRNA-to-protein interactions (Jinek and Doudna 2009; Siomi and Siomi 2009; Wilson and Doudna 2013). As a majority of dsRNA-binding proteins are intrinsically proinflammatory, regulatory disruption of endogenous dsRNA-protein networks may also cause an inflammatory response. We have hypothesized that such a disruption occurs in obesity, resulting in inflammation, insulin resistance, and metabolic dysfunction (Fig. 21.1). This hypothesis allowed us to identify PKR as a negative regulator of insulin action and glucose metabolism in obesity (Nakamura et al. 2010; Nakamura et al. 2014; Nakamura et al. 2015). Recent reports also showed the critical roles of TLR3 in the dysregulation of metabolism that occurs in obesity (Strodthoff et al. 2015; Wu et al. 2012).

PKR was originally identified as a pathogen sensor and a proposed regulator of the innate immune response against viral infections in higher eukaryotes (Meurs et al. 1990; Samuel 1993). A unique molecular aspect of PKR is its structure; that is, PKR is a serine/threonine kinase containing two dsRNA-binding domains (dsRBDs; Garcia et al. 2006; Williams 2001). Virus-derived dsRNA molecules are recognized and bound by PKR through its N-terminal dsRBDs, resulting in activation of the intramolecular kinase domain and autophosphorylation (Garcia

et al. 2006; Williams 2001). Interestingly, in the context of infections, PKR can participate in two major cellular responses: inflammation and translation repression (Holcik and Sonenberg 2005; Ron and Walter 2007). These events are also implicated in the pathogenesis of obesity, as demonstrated by c-Jun N-terminal kinase (JNK) activation and eukaryotic initiation factor  $2-\alpha$  (eIF2  $\alpha$ ) phosphorylation, both of which are highly-induced in obese metabolic tissues (Hotamisligil 2010). With these unique intrinsic molecular functions, we hypothesized that PKR might coordinately regulate the cellular events that disrupt glucose metabolism in obesity. If PKR influences the pathogenesis of obesity, it suggests the possibility that endogenous dsRNAs are involved in the process, and that PKR is a factor linking endogenous dsRNAs to the metabolic regulation in obesity.

# 21.2 PKR is Involved in Metabolic Inflammation and Regulates Glucose Metabolism

If PKR is involved in obesity-induced inflammatory responses, it would be anticipated that its activity is altered in conditions of nutrient surplus. Therefore, we first examined whether PKR activation is changed with nutrient-induced metabolic stress. As anticipated, there is marked activation of PKR in the liver and adipose tissue in both dietary and genetic obese mouse models (Nakamura et al. 2010). Additionally, despite the absence of exogenous dsRNA, PKR can respond to nutrient signals such as saturated fatty acids, and coordinate the activity of other critical inflammatory kinases such as JNK and the phosphorylation of eIF2a in cultured cells (Nakamura et al. 2010). Upon activation, PKR can also induce the inhibitory serine phosphorylation of insulin receptor substrate-1 (IRS-1), a critical insulin signaling component, by acting directly on IRS-1 or by indirectly activating JNK (Nakamura et al. 2010). These findings indicate that PKR, a sensor for dsRNA, is involved in the processes of obesity-induced inflammatory responses and metabolic disturbance and supports our hypothesis that the regulatory disruption of endogenous dsRNA-protein networks may also be associated with inflammatory responses in obesity (Fig. 21.2).

Given that PKR activity is linked to inflammatory responses in obesity, we then examined the functional significance of PKR in the pathogenesis of obesity, insulin resistance, and type 2 diabetes. To this end, we placed PKR-deficient mice on a high-fat diet (HFD) and analyzed their metabolic changes over the course of obesity. PKR-deficient mice have less weight gain and greater glucose tolerance and insulin sensitivity, accompanied by lower JNK activation and expression of inflammatory mediators in the HFD-feeding condition (Nakamura et al. 2010). Similar observations were made in an independently-derived strain of PKR-deficient mice (Carvalho-Filho et al. 2012). To explore the impact of PKR-deficiency on systemic glucose tolerance in a second setting, and without the potential confounding effects of body weight and adiposity, we employed a



**Fig. 21.2** Functional roles of PKR in virus infection and in the metabolic disorders. PKR was originally identified as an interferon-inducible gene and known to be involved in innate immune response. Recent studies have demonstrated that PKR is activated in obese metabolic tissues and by lipid-mediated stress and contributes to induction of metabolically-driven inflammatory responses, in which endogenous dsRNAs mediate PKR activation. When PKR is activated, PKR forms an inflammatory protein complex featuring components of RNA-induced silencing complex (RISC), TRBP, and Dicer. The inflammatory complex plays critical roles in the pathogenesis of metabolic disorders in obesity

mouse model of acute and severe insulin resistance induced by an infusion of lipids, in which a mixture of neutral triglycerides of predominantly linoleic, oleic, palmitic, linolenic, and stearic acids was intravenously administered to mice. Hyperinsulinemic–euglycemic clamp studies, a gold standard for assessing insulin action in vivo, revealed that PKR-deficient mice are protected from lipid-induced insulin resistance in a body-weight–independent manner (Nakamura et al. 2010). These results clearly implicate the involvement of PKR in the disruption of glucose metabolism in response to excess nutrient signals (Fig. 21.2).

As PKR-deficiency is protective against obesity- and excess nutrient-induced metabolic inflammation and dysfunction, pharmacologically targeting PKR could be a novel strategy for combating obesity-related metabolic diseases. However, in the HFD study, PKR-deficient mice were leaner than controls, which left the question of whether PKR might not be critical in inducing dysmetabolism in obesity, but may instead suppress energy expenditure. In order to target PKR for therapeutic purposes, there was a critical need to comprehend the significance of PKR in established obesity better. In an attempt to generate a new model that could provide necessary information for assessing PKR's effects on inflammation and glucose metabolism in obesity, we intercrossed PKR-deficient mice with the Leptin-deficient ob/ob model, which displays severe obesity with insulin resistance and hyperglycemia. In this setting, PKR-deficient mice exhibited similar body weights to controls in the C57BL/6 J-ob/ob genetic background. Nevertheless, PKR-deficiency improved glucose metabolism, and was accompanied by reduced JNK activation and inflammatory gene expression (Nakamura et al. 2015). Decreased levels of IRS1 serine phosphorylation and enhanced levels of insulininduced Akt activation were also observed in this setting (Nakamura et al. 2015). Based on these observations, we then investigated the effects of two pharmacological inhibitors of PKR, an imidazolo–oxindole PKR inhibitor (Eley et al. 2007; Jammi et al. 2003) and 2-aminopurine (De Benedetti et al. 1985), as antidiabetic drugs. We assessed the effects of these structurally distinct small-molecule inhibitors of PKR on inflammatory responses, insulin sensitivity, and glucose metabolism in cells and in *ob/ob* mice. In both cases, inhibition of PKR resulted in reduced stress-induced JNK activation and IRS1 serine phosphorylation in vitro and in vivo (Nakamura et al. 2014). In addition, treatment with these PKR inhibitors reduced adipose tissue inflammation, improved insulin sensitivity, and improved glucose intolerance in mice after the establishment of obesity and insulin resistance (Nakamura et al. 2014). These findings suggest that pharmacologically targeting PKR may be an effective therapeutic strategy for the treatment of insulin resistance and type 2 diabetes.

# 21.3 Potential Role for Endogenous dsRNA in PKR Activation in Metabolic Stress

It is noteworthy that PKR responds to nutrients and plays a role in the mounting of inflammatory responses and inhibition of insulin action in the pathogenesis of obesity. However, a critical question was how PKR can sense nutrients. Because PKR is activated by exposure to palmitic acid, a proinflammatory saturated fatty acid, and TLR4 has been implicated in the response to saturated fatty acids (Shi et al. 2006), we asked if TLR4 is involved in PKR's activation by lipid exposure. However, in TLR4-deficient primary MEFs, palmitic-acid-induced PKR activation was still detected at levels similar to those in controls, indicating that PKR activation is not downstream of TLR4's lipid response (Nakamura et al. 2010). We then considered the novel idea that PKR might directly sense nutrients or other cellular molecules, including endogenous RNA species, in conditions of obesityrelevant metabolic stress. Inasmuch as PKR's defined RNA-binding activity is critical for its activation, we examined the role of PKR's RNA binding activity in such conditions. Intriguingly, a PKR RNA-binding defect variant (PKR-RM) with a point mutation at the lysine 64 residue loses the ability to respond to palmitate exposure (Nakamura et al. 2010; Nakamura et al. 2015). These data suggest that PKR's RNA-binding activity is indispensable to its nutrient response. In addition, these results further support our hypothesis that metabolic stress may alter dsRNAto-dsRNA-binding protein networks, leading to the activation of dsRNA-binding proteins such as PKR, which in turn initiates inflammation and metabolic dysfunction.

# 21.4 Identification of PKR's Endogenous RNA Ligands in Metabolic Stress

Recent technical advances now allow us to analyze RNA expression profiles easily and determine changes in specific RNA expression levels under many physiological conditions. However, similar to DNA, RNA is subjected to various modifications, such as RNA methylation (Lee et al. 2014; Meyer and Jaffrey 2014). In addition, RNA can alter its cellular localization (nuclear to cytoplasm and vice versa), and have higher-order structure, which can be modified depending on the cellular environment. These changes in RNA are likely mediated by altered interactions with RNA-binding proteins, and may have influence on multiple cellular events, including the regulation of metabolism. The analysis of the RNA expression profile is unable to reveal these functional changes in RNA; however, by using a specific RNA-binding protein as a RNA sensor, changes in RNA under certain physiological conditions can be captured. In other words, by profiling RNAs specifically interacting with a RNA binding protein that is involved in the pathogenesis of diseases, we may be able to identify pathogenic RNAs critical for the development of such diseases. Thus, in order to understand the functional changes in dsRNA in obesity, PKR can be used as a RNA sensor to investigate the effects of excess nutrients on dsRNA species, to examine how PKR is activated in response to metabolic stress, and to determine the pathogenic RNAs responsible for inducing metabolic disturbances through PKR activation.

To shed light on dsRNA networks in the pathogenesis of metabolic diseases, we then studied the potential role of altered dsRNA-to-PKR interactions in metabolic inflammation. To use PKR as a dsRNA sensor, we prepared PKR-deficient MEFs reconstituted with wild-type PKR (PKR-WT) or PKR-RM, a PKR RNA-binding defect variant, and examined the effect of palmitate exposure on dsRNA-to-PKR interactions in conditions of cellular metabolic stress (Youssef et al. 2015). We performed a RNA immunoprecipitation (RIP)-seq analysis, in which both PKR-WT and RM were immunoprecipitated with a specific antibody, followed by purification and sequence analysis of bound RNAs. The RIP-seq showed that the majority of enriched RNAs that interacted with PKR-WT were small nucleolar RNAs (snoRNAs). The snoRNAs ubiquitously express noncoding RNAs of about 60-300 nucleotides and are mainly localized in the nucleolus. They can be classified into two groups, box C/D and H/ACA snoRNAs, based on their sequence elements. One of the established roles of these snoRNAs is the modification of ribosomal RNA (rRNA; Lafontaine and Tollervey 1998). Note that H/ACA box snoRNAs have a common secondary structure consisting of two hairpins and two single-stranded regions termed a hairpin-hinge-hairpin-tail structure, which contains dsRNA-like structures (Bachellerie et al. 2002). The selective interaction between these snoRNAs and PKR-WT (but not RM) was highly induced by palmitate exposure, implicating the involvement of snoRNAs in PKR activation and metabolic regulation. Indeed, we also confirmed that a subset of identified snoRNAs have the ability to bind and activate PKR in vitro. In addition, PKR could be activated in vitro by expressing the snoRNA through transfection. These results suggest an unprecedented and unexpected model whereby snoRNAs play a role in the activation of PKR under lipid exposure and support our hypothesis that changes in RNA-to-RNA-binding protein networks play a critical role in the response to metabolic stress.

It is worthy to note that snoRNAs are known to be involved in lipotoxic responses (Michel et al. 2011; Scruggs et al. 2012). Three conserved box C/D snoRNAs, U32a, U33, and U35a, were identified to be involved in the palmitateinduced oxidative stress and ER stress response. Palmitate exposure to the cells led to induction of these snoRNAs in the cytoplasm and not in nucleoli, suggesting a cytoplasmic role for these snoRNAs. Knockdown of the three snoRNAs with antisense oligonucleotides protected cells from palmitate-induced reactive oxygen species (ROS) production, ER stress, and subsequent cell death (Michel et al. 2011). Note that a spliceosomal protein SmD3 plays a critical role in generating these snoRNAs. The same research group also showed that disruption of one allele of the gene encoding SmD3, which results in reduction of snoRNA expression, confers resistance to palmitate-induced cell death (Scruggs et al. 2012). These results implicate SmD3 as a critical determinant in the processing of intronic noncoding RNAs including snoRNAs, and through this regulation, SmD3 can mediate the metabolic stress-response pathways (Fig. 21.3). As a broad range of intronic noncoding RNAs are processed through SmD3-mediated splicing (Scruggs et al. 2012), it is possible that RNAs bound to PKR may also be generated through this pathway, and ultimately activate PKR-induced inflammation and contribute to subsequent cell death in metabolic stress (Fig. 21.3).

# 21.5 Raveling a Novel Function for TRBP in Glucose Metabolism in Obesity

The fact that metabolic stress induces changes in dsRNA-PKR interactions raises the question of how altered dsRNA-to-dsRNA-binding protein networks impact metabolic homeostasis. dsRNA and dsRNA-binding protein interactions likely affect the function of dsRNA-binding protein to trigger downstream events, leading to the eventual modification of cellular homeostasis. In the case of PKR, interaction with endogenous dsRNAs induced by excess nutrients may cause conformational changes, leading to altered PKR kinase activity and protein complex formation.

To investigate this possibility, we identified a unique complex containing PKR forms and examined if the complex component (s) might impact PKR activity and adversely regulate glucose metabolism in obesity. Through mass spectrometry analyses, followed by biochemical and molecular validation experiments, we determined that PKR forms a distinct complex with components of RNA-induced silencing complex (RISC) and RISC-loading complex (RLC), featuring TAR-RNA binding protein (TRBP; Chendrimada et al. 2005; Gregory et al. 2005; Kok



Fig. 21.3 Roles of snoRNA that have a dsRNA-like RNA structure in response to metabolic stress. A majority of snoRNAs are generated from intronic sequences, in which SmD3, a component of Spliceosome, plays a critical role. In metabolic stress conditions, the levels of cytoplasmic snoRNAs are increased, causing harmful effects including induction of oxidative stress, ER stress, and cell death, although the distinct molecular mechanisms are unclear. Given that PKR interacts with snoRNAs in lipid exposure, the SmD3–snoRNA axis may be involved in the pathogenesis of metabolic disorders in obesity

et al. 2007; Nakamura et al. 2015). The complex preferentially assembles in states of PKR activation, including the obese liver, polyinosinic-polycytidylic acid (PolyI:C) treatment, and palmitate exposure (Nakamura et al. 2015). TRBP is a unique dsRNA-binding protein that has three RNA-binding domains without any other typical motifs (Daniels and Gatignol 2012). Despite its simple protein structure, TRBP is known to have at least two molecular functions: enhancing microRNA (miRNA) maturation as a main component of the RLC (Chendrimada et al. 2005; Gregory et al. 2005; Paroo et al. 2009), and regulating inflammatory responses by modifying PKR activity (Daniels and Gatignol 2012; Nakamura et al. 2015). Note that the palmitate-induced interaction between PKR and TRBP requires PKR's RNA-binding activity, implicating involvement of endogenous RNAs in the interaction. In addition, we have also demonstrated that TRBP is required for palmitate-induced PKR and JNK activation and eIF2α phosphorylation; these events are drastically diminished in the TRBP-deficient condition (Nakamura et al. 2015). These data suggest that TRBP function is regulated by metabolic stress, that PKR-TRBP complex formation occurs in response to nutrient signals, and that this complex may be critical for key downstream events, such as JNK activation (Fig. 21.2).

To assess the role of TRBP in physiological and pathophysiological conditions, we designed a short hairpin RNA (shRNA)-mediated TRBP knock down system and used adenovirus to acutely knockdown TRBP in the liver of *ob/ob* mice. Compared to controls, TRBP knockdown *ob/ob* mice exhibited improved glucose tolerance, accompanied by reduced expression levels of genes related to fatty acid

synthesis, gluconeogenesis, and inflammation in the liver (Nakamura et al. 2015). In addition, suppression of hepatic TRBP resulted in significant reduction of JNK activity and eIF2 $\alpha$  phosphorylation in obese liver, suggesting that TRBP is critical for regulation of these molecules in metabolic stress (Nakamura et al. 2015). These alterations are accompanied by decreased levels of IRS1 serine phosphorylation and a significant restoration of insulin receptor signaling as assessed by insulin-induced AKT phosphorylation levels, all of which phenocopy the loss of PKR (Nakamura et al. 2010; Nakamura et al. 2015).

It was previously reported that in the obese liver, global miRNA expression levels were changed, of which the vast majority were increased (Kornfeld et al. 2013). Given that TRBP plays a central role in miRNA biogenesis, a process wherein precursor miRNA (pre-miRNA) is processed to become mature miRNA (Chendrimada et al. 2005; Gregory et al. 2005), we hypothesized that the metabolic phenotypes observed in TRBP knockdown might also be due to changes in the miRNA expression profile. Microarray-based miRNA expression profiling (Chan et al. 2009; Chen et al. 2009; Iliopoulos et al. 2009) revealed a significant reduction of selective miRNAs including those known to be involved in the development of insulin resistance, such as the Let-7 family (Frost and Olson 2011; Zhu et al. 2011). Thus, altered miRNA expression regulated by TRBP may also be a key event in the pathogenesis of obesity. The critical question of whether TRBP-mediated inflammation or miRNA biogenesis is a dominant pathway in the metabolic dysregulation of obesity remains to be addressed and will provide important insight into the significance of dsRNA-mediated regulation of RNA silencing in metabolic stress.

### **21.6 Future Perspective**

In the past, it was not widely recognized that there is a variety of endogenous dsRNA/dsRNA-like RNAs in the cell. Therefore, it was generally accepted that dsRNA-binding proteins exclusively sensed dsRNA from infectious organisms, which then triggered defensive inflammatory responses. However, it is now obvious that endogenous dsRNAs/dsRNA-like RNAs exist and play critical roles in the multiple cellular processes (Piatek and Werner 2014; Whipple et al. 2015; White et al. 2014). Given that PKR can recognize endogenous dsRNAs/dsRNA-like RNAs in response to metabolic stress to induce inflammation (Nakamura et al. 2010; Youssef et al. 2015), structural and functional changes in endogenous RNA species are an attractive core mechanism. However, is it still unclear how cellular stresses modify the RNA characteristics. Functional changes in RNA processing machinery, such as the spliceosome and RNA silencing, could be implicated as a cause of altered RNA networks (Scruggs et al. 2012; White et al. 2014), resulting in deranged RNA-to-RNA binding protein networks and dysmetabolism. RNAs are subjected to RNA modifications, including methylation, uridylation, and adenosine-to-inosine (A-I) editing, which can affect an RNA's secondary structure (Fu et al. 2014; Lee et al. 2014; Zipeto et al. 2015).

Investigating the roles of enzymes that mediate these modifications would provide us with a unique approach for better understanding the molecular mechanism of the pathogenesis of chronic inflammatory diseases.

There are various proteins involved in RNA processing and biology in the PKR complex we identified in the obese liver. Enriched Gene Ontology analysis with high-confidence PKR interactors has revealed that this PKR complex is closely integrated with endogenous RNA-processing pathways, particularly RNA splicing, RNA metabolic process, and mRNA processing in the obese liver (Nakamura et al. 2015). In addition, this complex contains proteins mediating proinflammatory signaling and RNA methylation (Nakamura et al. 2015). The functional and physiological roles of the majority of these components remain to be elucidated, especially in the pathogenesis of inflammatory metabolic disease. Investigation of RNA networks by using these RNA-modifying proteins as RNA sensors will be of vital interest and lead us to a better understanding of the effects of metabolic stress on RNA networks. As TRBP plays critical roles in the regulation of PKR function and RNA silencing, TRBP might be the signaling node that senses metabolic stress levels through the recognition of a variety of RNA networks, and may link to miRNA outputs and PKR-mediated inflammatory signaling networks. If changes in endogenous RNA dynamics is a key event that influences chronic inflammation through the modulation of TRBP-PKR functions in obesity, it would pave the way for novel therapeutic strategies targeting not only obesity-induced metabolic diseases but also other chronic inflammatory diseases.

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# **Chapter 22 Molecular Mechanisms Underlying Obesity-Induced Chronic Inflammation**

Takayoshi Suganami, Miyako Tanaka, and Yoshihiro Ogawa

Abstract Obesity is a chronic low-grade inflammatory disease as well as a metabolic disease. Indeed, adipose tissue in obesity exhibits chronic inflammatory changes characterised by inflammatory cell infiltration and proinflammatory cytokine overproduction. In addition to lipid-laden mature adipocytes, adipose tissue is composed of various stromal cells such as preadipocytes, endothelial cells, fibroblasts, and immune cells that may be involved in adipose tissue functions. Accumulating evidence has suggested that adipocytes and stromal cells in adipose tissue change dramatically in number and cell type during the development of obesity, which is termed 'adipose tissue remodeling'. We have provided evidence suggesting that crosstalk between adipocytes and macrophages aggravates obesity-induced adipose tissue inflammation. Our recent data also demonstrate that adipose tissue fibrosis is a novel mechanism underlying obesity-induced ectopic lipid accumulation in nonadipose tissues such as the liver, where lipotoxicity impairs their metabolic functions. Thus, understanding the molecular mechanism underlying adipose tissue inflammation may lead to the identification of novel therapeutic strategies to prevent or treat obesity-induced adipose tissue inflammation.

T. Suganami (🖂)

M. Tanaka

Department of Molecular Medicine and Metabolism, Research Institute of Environmental Medicine, Nagoya University, Nagoya, Japan

Department of Molecular Endocrinology and Metabolism, Graduate School of Medical and Dental Sciences, Tokyo Medical and Dental University, Tokyo, Japan

Y. Ogawa

Department of Molecular Endocrinology and Metabolism, Graduate School of Medical and Dental Sciences, Tokyo Medical and Dental University, Tokyo, Japan

AMED-CREST, Tokyo, Japan

Department of Molecular Medicine and Metabolism, Research Institute of Environmental Medicine, Nagoya University, Nagoya, Japan

Department of Molecular Endocrinology and Metabolism, Graduate School of Medical and Dental Sciences, Tokyo Medical and Dental University, Tokyo, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: suganami@riem.nagoya-u.ac.jp
**Keywords** Metabolic syndrome • Obesity • Adipocytes • Macrophages • Chronic inflammation

# 22.1 Obesity-Induced Adipose Tissue Dysfunction and Metabolic Syndrome

The metabolic syndrome is a constellation of visceral obesity, impaired glucose and lipid metabolism, and blood pressure elevation, which increases a risk of atherosclerotic diseases, nonalcoholic steatohepatitis (NASH), and chronic kidney disease. Substantial evidence suggests that obesity induces adipose tissue dysfunction, which contributes to the pathogenesis of the metabolic syndrome. Adipose tissue functions as an endocrine organ that secretes a large number of adipocytokines including leptin, adiponectin, and proinflammatory cytokines and chemokines, which are involved in a variety of physiologic and pathologic processes (Matsuzawa et al. 1999; Kadowaki et al. 2006; Berg and Scherer 2005; Schenk et al. 2008; Hotamisligil 2006). Thus, adipocytokines are important mediators in organ crosstalk to maintain energy homeostasis (Fig. 22.1). In addition, unbalanced production of pro- and anti-inflammatory adipocytokines impairs glucose and lipid metabolism in obesity (Schenk et al. 2008; Hotamisligil 2006; Berg and Scherer 2005; Rocha and Libby 2009; Matsuzawa et al. 1999).

To store excessive energy as triglyceride is also a fundamental function of adipose tissue. In response to nutritional changes such as fasted and fed conditions,



Fig. 22.1 Molecular mechanisms underlying obesity-induced adipose tissue inflammation *NAFLD* nonalcoholic fatty liver disease, *NASH* nonalcoholic steatohepatitis, *CKD* chronic kidney disease, *FFAs* free fatty acids, *FAs* fatty acids, *TNF* $\alpha$  tumour necrosis factor- $\alpha$ 



**Fig. 22.2** Role of Mincle in obesity-induced adipose tissue fibrosis and ectopic lipid accumulation *Mincle* macrophage-inducible C-type lectin

lipid metabolism in adipose tissue is tightly regulated by hormones and the sympathetic nervous system (Fig. 22.2). For instance, insulin suppresses lipolysis and increases lipogenesis in the fed state. In contrast, catecholamines induce lipolysis in the fasted state to deliver free fatty acids as fuel to other organs. Moreover, adipose tissue controls its lipid storage capacity by changing its size (hypertrophy) and number (hyperplasia) during the development of obesity (Sun et al. 2011). When adipose tissue cannot meet the demand of storing excessive energy, triglyceride is also accumulated in nonadipose tissues as ectopic fat, thereby leading to tissue dysfunction such as insulin resistance in liver and skeletal muscle and insufficient insulin secretion in pancreas (lipotoxicity; Fig. 22.1; Olefsky and Glass 2010; Sun et al. 2011).

# 22.2 Role of Adipose Tissue Inflammation in Adipocytokine Production

Evidence has accumulated indicating that obesity is a state of chronic, low-grade inflammation, which may cause obesity-induced metabolic derangement (Schenk et al. 2008; Hotamisligil 2006; Berg and Scherer 2005; Rocha and Libby 2009). Indeed, a variety of immune cells including macrophages changes in number in adipose tissue during the development of obesity, thereby regulating adipose tissue function (Weisberg et al. 2003; Xu et al. 2003; Clement et al. 2004; Nishimura et al. 2009, 2013; Moro et al. 2010; Talukdar et al. 2012). Notably, macrophage

infiltration in adipose tissue precedes or associates with the development of systemic insulin resistance, adipocytokine dysregulation, and ectopic lipid accumulation in obese animals and humans (Xu et al. 2003; Weisberg et al. 2003). In addition to mature adipocytes, adipose tissue macrophages also represent a major source of proinflammatory cytokines (Schenk et al. 2008; Hotamisligil 2006). Using an in vitro coculture system composed of adipocytes and macrophages, we have provided evidence suggesting that a paracrine loop involving saturated fatty acids and tumour necrosis factor- $\alpha$  (TNF $\alpha$ ) derived from adipocytes and macrophages, respectively, establishes a vicious cycle of persistent inflammatory changes (Fig. 22.1; Suganami et al. 2005). Moreover, saturated fatty acids released from adipocytes activate Toll-like receptor (TLR4) signalling in macrophages (Suganami et al. 2007; Lee et al. 2001). In line with this, TLR4-deficiency or haematopoietic cell-specific deletion of TLR4 ameliorates dysregulated adipocytokines and systemic insulin resistance in high-fat diet-fed obese mice (Shi et al. 2006; Poggi et al. 2007; Tsukumo et al. 2007; Suganami et al. 2007; Saberi et al. 2009). Thus, such an intimate crosstalk between adipocytes and macrophages may be a molecular mechanism underlying obesity-induced adipose tissue inflammation.

#### 22.3 Role of Mincle in Adipose Tissue Remodeling

In various tissues and organs, chronic inflammation is characterised by sustained interaction between parenchymal and stromal cells in response to exogenous and endogenous stresses, thereby leading to tissue dysfunction and remodeling (Serhan and Savill 2005; Medzhitov 2008). Adipose tissue in obesity also exhibits adipocyte hypertrophy, immune cell infiltration, angiogenesis, and extracellular matrix over-production during the progression of chronic inflammation (Nishimura et al. 2007, 2008; Hotamisligil 2006; Schenk et al. 2008). Such dynamic histological changes observed in obese adipose tissue can be termed 'adipose tissue remodeling' (Sun et al. 2011; Itoh et al. 2011; Suganami and Ogawa 2010), which reminds us of 'vascular remodeling', the histological feature of atherosclerotic lesions (Rocha and Libby 2009). However, the molecular mechanisms underlying obesity-induced adipose tissue remodeling have been unclear.

Recently, we have demonstrated that macrophage-inducible C-type lectin (Mincle), a pathogen sensor for *Mycobacterium tuberculosis*, is involved in obesity-induced adipose tissue remodeling, in which Mincle activates myofibroblasts to induce interstitial fibrosis (Fig. 22.2; Tanaka et al. 2014). There is an unique histological structure termed crown-like structure (CLS) in obese adipose tissue, where macrophages scavenge the residual lipid droplets of dead adipocytes and adipocyte–macrophage crosstalk may occur in close proximity. Mincle is localised to the proinflammatory M1 macrophages constituting CLS, which are surrounded by myofibroblasts and collagen deposition. Interestingly, Mincle activation in macrophages is sufficient to induce adipose tissue fibrosis in lean mice.

On the other hand, recent evidence suggests that transcription factors in adipocytes such as hypoxia-inducible factor- $1\alpha$  and peroxisome proliferator-activated receptor- $\gamma$  positively and negatively regulate obesity-induced interstitial fibrosis, respectively (Halberg et al. 2009; Jonker et al. 2012). Therefore, it is interesting to know the molecular mechanisms of the crosstalk between parenchymal and stromal cells in obesity-induced adipose tissue remodeling.

# 22.4 Role of Adipose Tissue Remodeling in Ectopic Lipid Accumulation

As discussed above, adipose tissue functions as an energy reservoir that stores excessive fatty acids in the form of triglyceride. Similarly to the liver and skeletal muscle, adipose tissue inflammation induces insulin resistance in adipose tissue, which disturbs lipid storage function of adipose tissue (Olefsky and Glass 2010). The crosstalk between adipocytes and macrophages increases production of proinflammatory cytokines such as TNF $\alpha$ , thereby inducing lipolysis (Olefsky and Glass 2010; Suganami et al. 2005). In addition to these functional alterations, histological changes are related to the reduction of lipid-storing function in adipose tissue in obesity (Sun et al. 2011). Recent studies have pointed to the overproduction of extracellular matrix components in adipose tissue from obese animals and humans (Khan et al. 2009; Liu et al. 2009; Mutch et al. 2009; Vila et al. 2014; Guglielmi et al. 2015). It is also known that adipose tissue fibrosis is negatively correlated with adipocyte diameters in human adipose tissue (Divoux et al. 2010), suggesting that increased interstitial fibrosis may limit adipose tissue expandability. In this regard, mice lacking collagen VI, which is expressed abundantly in adipose tissue, show uninhibited adipose tissue expansion, reduced fatty liver, and significant improvements in insulin sensitivity on a high-fat diet (Khan et al. 2009). These findings suggest that obesity-induced adipose tissue fibrosis may contribute to ectopic lipid accumulation in nonadipose tissues such as the liver, skeletal muscle, and pancreas, where lipotoxicity impairs their metabolic functions (Fig. 22.2; Duval et al. 2010; Wang et al. 2008; Strissel et al. 2007; Sun et al. 2011).

Interestingly, Mincle KO mice are protected against obesity-induced adipose tissue fibrosis and ectopic lipid accumulation in the liver. In addition, serum FFA concentrations are significantly decreased in Mincle KO mice relative to wild-type mice. These data support the notion that increased lipid-storage capacity of adipose tissue in Mincle KO mice may cause less efflux of FFA from adipose tissue to the liver (Fig. 22.2). Therefore, it is conceivable that Mincle is a novel regulator of ectopic lipid accumulation in obesity. Because it is known that Mincle can sense dead cells (Yamasaki et al. 2008), Mincle may be activated by an endogenous ligand released from adipocytes within CLS. From the therapeutic viewpoint, it is important to understand the difference in the intracellular signalling pathway of Mincle activated by endogenous and exogenous ligands.

# 22.5 Concluding Remarks

During the past decade, numerous studies have shown the molecular mechanism underlying obesity-induced chronic inflammation in adipose tissue, in which a variety of immune cells including macrophages crosstalk with adipocytes to impair adipocytokine production. Our recent data also demonstrated that the adipocyte– macrophage interaction induces adipose tissue fibrosis, which may limit lipid storage capacity of adipose tissue and increase ectopic lipid accumulation in the liver. Thus, chronic inflammation originated from adipose tissue may spread to various organs and tissues via adipocytokines and FFA (Fig. 22.1). These observations suggest that intercellular communication in CLS may be key to understanding the molecular mechanism of obesity-induced chronic inflammation. In this regard, it is interesting to know how macrophages aggregate to surround the dying adipocytes. Better understanding the molecular mechanisms underlying adipose tissue inflammation may lead to novel therapeutic strategies to prevent or treat obesity-induced insulin resistance and metabolic derangement.

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# **Chapter 23 Roles of Mitochondrial Sensing and Stress Response in the Regulation of Inflammation**

Kohsuke Takeda, Daichi Sadatomi, and Susumu Tanimura

Abstract Mitochondria play critical roles in a wide variety of cellular functions beyond their intrinsic role as the energy powerhouse of the cell. Accumulating evidence has shown that mitochondria are deeply involved in innate immune responses to a variety of pathogen-associated molecular patterns (PAMPs) and damage-associated molecular patterns (DAMPs). Mitochondria serve as a signalling platform for antiviral innate immunity and are involved in the regulation of the NLRP3 inflammasome, an intracellular signalling complex required for the secretion of the proinflammatory cytokines interleukin (IL)-1 $\beta$  and IL-18. These findings suggest that mitochondrial conditions and integrity profoundly influence the extent and duration of inflammation. However, exposure of cells to various cytotoxic stressors easily causes damage to mitochondria and destroys mitochondrial integrity. If the damage is not severe, mitochondrial functions are maintained depending on the mitochondrial quality control system; however, once the damage exceeds capacity, cell-deathinducing signals are elicited by the mitochondria. Thus, mitochondrial sensing and stress response, as well as mitochondrial quality control, may be critically involved not only in the overall cellular response but also in the regulation of inflammation.

**Keywords** Inflammasome • Innate immunity • Mitochondria • Mitophagy • NLRP3 • Reactive oxygen species (ROS)

# List of Abbreviations

ASC Apoptosis-associated speck-like protein containing a C-terminal CARD BMDM Bone-marrow-derived macrophage

K. Takeda (🖂)

D. Sadatomi • S. Tanimura

Division of Cell Regulation, Graduate School of Biomedical Sciences, Nagasaki University, 1-14 Bunkyo-machi, Nagasaki 852-8521, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: takeda-k@nagasaki-u.ac.jp

Division of Cell Regulation, Graduate School of Biomedical Sciences, Nagasaki University, 1-14 Bunkyo-machi, Nagasaki 852-8521, Japan

CARD	Caspase recruitment domain			
Cardif	CARD adaptor inducing IFN-β			
CCCP	Carbonyl cyanide <i>m</i> -chlorophenylhydrazone			
DAMPs	Damage-associated molecular patterns			
DC	Dendritic cell			
$\Delta \psi_m$	Membrane potential across the inner mitochondrial membrane			
DRP1	Dynamin-related protein 1			
ECSIT	Evolutionarily conserved signalling intermediate in Toll pathways			
gC1qR	Receptor for globular head domain of complement component C1q			
IL	Interleukin			
IPS-1	Interferon-β promoter stimulator 1			
IRF	Interferon regulatory factor			
IRGM	Immunity-related GTPase family M protein			
MAVS	Mitochondria-associated viral sensor			
MDA5	Melanoma-differentiation-associated gene 5			
MFN	Mitofusin			
mtDNA	mitochondrial DNA			
NLR	Nucleotide oligomerisation domain (NOD)-like receptor			
NLRP3	NOD-like receptor family, pyrin domain containing 3			
NLRX1	NOD-like receptor family member X1			
NFP	<i>N</i> -formyl peptide			
OPA1	Optic atrophy protein 1			
PAMPs	Pathogen-associated molecular patterns			
PINK1	PTEN-induced putative kinase 1			
RIG-I	Retinoic acid inducible gene-I			
ROS	Reactive oxygen species			
TLR	Toll-like receptor			
VISA	Virus-induced signalling adaptor			

# 23.1 Introduction

The role of mitochondria in innate immunity has received much attention since MAVS (also called IPS-1, Cardif, and VISA), a crucial innate immune molecule, was first identified as a mitochondria-anchored protein (Arnoult et al. 2011). It was later shown that mitochondria play an important role in the regulation of the NLRP3 inflammasome, an intracellular signalling complex that mediates IL-1 $\beta$  and IL-18 secretion triggered by various PAMPs and DAMPs (Gurung et al. 2015). Maintaining mitochondrial integrity is crucial to support such roles of the mitochondria; however, mitochondrial integrity can easily be disturbed by various cytotoxic stressors. The membrane potential across the inner mitochondrial membrane ( $\Delta \psi_m$ ) is normally maintained by the activity of the electron transport chain, but loss of  $\Delta \psi_m$  is a common outcome of mitochondrial damage. Loss of  $\Delta \psi_m$  is sensed by the mitochondrial stress-sensing machinery that appears to mediate mitochondrial quality control, or cell-death—inducing signalling, depending on the severity of the mitochondrial damage.

Here, we describe the roles of mitochondria in the regulation of inflammation, focusing on the importance of mitochondrial quality control and mitochondrial stress response.

# 23.2 Mitochondrial Quality Control System

Mitochondrial quality control is intrinsically indispensable for the maintenance of mitochondrial functions. However, it is also important to cope with dysfunctional mitochondria that may disturb cellular functions by excessive generation of reactive oxygen species (ROS) from the unregulated electron transport chain. The mitochondrial quality control system consists mainly of mitochondrial fission/fusion and mitophagy, of which the mechanisms of both have recently been extensively characterised.

### 23.2.1 Mitochondrial Fission and Fusion

Mitochondria are known to divide and fuse constantly. An individual mitochondrion divides by fission to generate two or more smaller mitochondria. Conversely, mitochondria undergo fusion, resulting in the fusion of the double membranes and the mixing of lipid membranes and intramitochondrial content. This dynamic nature of mitochondria is a major contributor to mitochondrial quality control (Westermann 2010). Damaged mitochondria are divided once and then fused to the intact mitochondria, thereby allowing the damaged mitochondria to recover their functions.

Most of the master regulator molecules of mitochondrial fission and fusion possess GTPase activity. In mammals, DRP1 and mitofusin (MFN) isoforms (MFN1 and MFN2) play central roles in fission and fusion, respectively. OPA1 is another GTPase that exists in the inner membrane and is required for fusion. OPA1 induces inner membrane fusion, whereas MFNs induce outer membrane fusion. Interestingly, OPA1 also acts as an intermediate between mitochondrial stress sensing and fission/fusion. Loss of  $\Delta \psi_m$  induces the cleavage of OPA1 by the inner membrane-resident metalloendopeptidase OMA1 and thus suppresses the fusion-inducing activity of OPA1. This machinery prevents fusion of severely and irreversibly damaged mitochondria to intact mitochondria, maintaining mitochondrial quality as a whole in the cell.

### 23.2.2 Mitophagy

Mitochondrial fission/fusion is not sufficient for maintaining cellular homeostasis when a large portion of the mitochondria in the cell are severely impaired. Under such adverse conditions, dysfunctional mitochondria further disturb cellular functions by excess generation of ROS from the electron transport chain and, therefore, should be eliminated by mitophagy, the autophagy-based degradation machinery selective to mitochondria (Okamoto and Kondo-Okamoto 2012). Although mitophagy and autophagy basically share the same set of regulatory molecules, much focus has been placed on understanding the molecular mechanisms of how mitochondria are selectively degraded by mitophagy. Currently, the ubiquitin ligase Parkin and the mitochondrial serine/threonine kinase PINK1, both genes originally identified as the causative genes for Parkinson's disease, are considered to be the central regulators of mitophagy (Vives-Bauza and Przedborski 2011).

# 23.3 Mitochondrial Functions and Inflammation

#### 23.3.1 Mitochondria and Innate Immunity

As mentioned above, the identification of the mitochondria-anchored protein MAVS as an important innate immune molecule was a clue used to elucidate the roles of mitochondria in innate immunity. The viral sensor proteins RIG-I and MDA5 recognise viral RNA in the cytosol and bind to MAVS on the outer mitochondrial membrane, provoking cytokine production through the transcription factor NF-kB and type I interferon production through IRF3 and IRF7. Several other innate immune molecules have been shown to exist in or physically associate with mitochondria, although it is largely unknown how mitochondria are involved in signalling through these molecules (Table 23.1). It has recently been shown that MAVS requires mitochondria to maintain their  $\Delta \psi_m$  (Koshiba et al. 2011). However, whether MFN-induced mitochondrial fusion is involved in antiviral signalling has not been clarified because MFN1 and MFN2 similarly induce mitochondrial fusion but facilitate or suppress MAVS-mediated signalling, respectively.

	Location	Function	References
ECSIT	IMM, OMM	Binds to TRAF6 and induces ROS generation	West et al. (2011)
gC1qR	OMM	Binds to MAVS and suppresses antiviral signalling	Xu et al. (2009)
IRGM	IMM, Matrix	Induces mitochondrial fission, autophagy, and cell death	Singh et al. (2010)
NLRX1	Matrix	Inhibits MAVS	Allen et al. (2011)
MAVS	OMM	See text	Reviewed in Arnoult et al. (2011)
MFN1	OMM	Promotes mitochondrial fusion and MAVS- mediated signalling	Onoguchi et al. (2010)
MFN2	OMM	Promotes mitochondrial fusion and inhibits MAVS-mediated signalling	Yasukawa et al. (2009)

 Table 23.1
 Representative mitochondrial innate immune proteins

IMM inner mitochondrial membrane, OMM outer mitochondrial membrane

It is known that a metabolic shift from mitochondrial oxidative phosphorylation to increased glycolysis occurs during activation and maturation of dendritic cells (DCs) and macrophages (Krawczyk et al. 2010). Various inflammatory stimuli potentially lead to loss of  $\Delta \psi_m$  and subsequent apoptosis of these cells; however, ATP produced by the increased glycolysis appears to support the activated population of immune cells by maintaining mitochondrial functions. These findings strongly suggest that the maintenance of mitochondrial conditions and functions is a prerequisite for proper regulation of innate immunity.

### 23.3.2 Mitochondria Trigger Inflammation

When cells are severely damaged or mitochondrial damage exceeds the capacity of the mitochondrial quality control system or the control system itself is impaired, mitochondria can be sources of DAMPs, such as cytochrome c, mitochondrial DNA (mtDNA), and *N*-formyl peptide (NFP). Cytochrome c exists in the intermembrane space of mitochondria, but it is released into the cytosol in response to various stimuli and it triggers caspase-dependent apoptosis (Wang and Youle 2009). Whereas necrosis is known to accelerate inflammation, apoptosis generally suppresses inflammation by eliminating whole cells before they release various DAMPs, including mitochondrial DAMPs. Thus, the strict regulation of cytochrome c is required for the appropriate regulation of inflammation.

Similar to the role of PAMPs as sepsis inducers in microbial infection, mitochondrial DAMPs are known to cause systemic inflammation upon traumatic injury or tissue damage that does not involve infection, so-called sterile inflammation. It has been shown that mtDNA and NFP that are released from damaged cells induce inflammation by activating neutrophils through their Toll-like receptor (TLR) 9 and formyl peptide receptor-1, respectively (Zhang et al. 2010). Mitochondrial DAMPinduced inflammation is negatively regulated not only by apoptosis but also by mitophagy. For instance, in mice deficient in DNase II, which is supposed to digest mtDNA during autophagy, the pressure overload-induced inflammatory response in the heart was accelerated, concomitant with mtDNA accumulation in autolysosomes in the myocardium (Oka et al. 2012). Thus, the tight regulation of mitochondrial DAMPs by mitophagy is one of the key mechanisms of preventing excess inflammation.

### 23.3.3 Mitochondria Regulate the NLRP3 Inflammasome

NLRP3 belongs to the NOD-like receptor (NLR) family, members of which generally recognise intracellular PAMPs and DAMPs, but NLRP3 responds to a wide variety of stimuli including external DAMPs and environmental irritants, as shown in Fig. 23.1. In response to these stimuli, NLRP3 binds to the adaptor protein



Fig. 23.1 The roles of mitochondria in the regulation of the NLRP3 inflammasome. The NLRP3 inflammasome, which consists of NLRP3, ASC, and caspase-1 (Casp-1), is activated by various PAMPs, DAMPs, and other stimuli. There appear to be mitochondria-independent and mitochondria-dependent activation mechanisms of the NLRP3 inflammasome. In the latter, damaged or intact mitochondria facilitate NLRP3 inflammasome activation in a context-dependent manner

ASC and the protease caspase-1; the resulting protein complex, called the NLRP3 inflammasome, induces secretion of IL-1 $\beta$  and IL-18 through caspase-1 activation mainly in DCs and macrophages. Among a wide variety of activation mechanisms of the NLRP3 inflammasome proposed so far, K<sup>+</sup> efflux and ROS have been identified as the main activators.

Zhou et al. reported, for the first time, the involvement of mitochondria in the regulation of the NLRP3 inflammasome (Zhou et al. 2011). They have shown that ROS generated from mitochondria contribute considerably to NLRP3 activation. In addition, the suppression of mitophagy increases mitochondrial ROS and concomitantly NLRP3 activation, suggesting that ROS generated from dysfunctional or damaged mitochondria principally participate in the activation of the NLRP3 inflammasome. Immediately after that report, Nakahira et al. reported that mtDNA released from dysfunctional mitochondria into the cytosol induces NLRP3 activation (Nakahira et al. 2011). It has also been reported that oxidised mtDNA binds directly to NLRP3, leading to the formation and activation of the NLRP3 inflammasome (Shimada et al. 2012). Moreover, cardiolipin, the phospholipid distributed exclusively in the mitochondrial inner membrane, has been shown to be exposed to the cytosol by an unknown mechanism and to bind directly to and activate NLRP3 (Iyer et al. 2013). These findings highlighting various mitochondrial factors as NLRP3 activators strongly suggest that mitochondria play a central role in the regulation of the NLRP3 inflammasome.

It has recently been shown that the microtubules, one of the major components of the cytoskeletons, mediate the activation of the NLRP3 inflammasome through mitochondria (Misawa et al. 2013). NLRP3 activators decrease the amount of NAD<sup>+</sup> by compromising mitochondrial functions and thus suppress Sirtuin 2, which deacetylates the microtubule component  $\alpha$ -tubulin in an NAD<sup>+</sup>-dependent manner. The resulting accumulation of acetylated  $\alpha$ -tubulin facilitates the transport of mitochondria and endoplasmic reticulum (ER) on the microtubules and decreases the distance between these organelles. Under resting conditions, NLRP3 activators appear to induce the proximity of NLRP3 and ASC, forming the active NLRP3 inflammasome.

The above findings clearly demonstrate that mitochondrial dysfunction is a common trigger of activation of the NLRP3 inflammasome. However, it has also been found that mitochondrial integrity is required for NLRP3 activation in the case of infection with RNA viruses, such as influenza, measles, and encephalomyocarditis virus. NLRP3 appears to be activated by binding to MFN2 and MAVS on intact mitochondria that maintain their  $\Delta \psi_m$  (Ichinohe et al. 2013). On the other hand, it has been reported that mitochondrial ROS and mitochondrial dysfunction and integrity are not necessarily required for NLRP3 activation, but K<sup>+</sup> efflux is the only common trigger for the activation of the NLRP3 inflammasome (Munoz-Planillo et al. 2013). Thus, mitochondria may not be a general mediator, but rather a context-dependent mediator of NLRP3 inflammasome activation.

In fact, we have found that not all of the experimentally used NLRP3 activators affect mitochondria, but even those affecting mitochondria induce different mitochondrial responses in mouse bone-marrow-derived macrophages (BMDMs), in terms of mitochondrial morphology,  $\Delta \psi_m$  and ROS generation. Consistent with this, the uncoupler CCCP that abolishes  $\Delta \psi_m$  does not generally affect the NLRP3 inflammasome, but only suppresses its activation induced by a quite narrow range of stimuli. Moreover, the profile of the requirement of mitochondrial integrity for NLRP3 activation in response to various stimuli differs between BMDMs and human monocyte THP-1 cells differentiated into macrophage-like cells, although both cells are frequently used in inflammasome research (Sadatomi et al., manuscript in preparation).

As a molecule that may be involved in the regulation of inflammation by regulating mitochondrial stress response, we have been focusing on phosphoglycerate mutase family member 5 (PGAM5). PGAM5 lacks phosphoglycerate mutase activity but instead acts as an atypical serine/threonine-specific protein phosphatase (Takeda et al. 2009; Sadatomi et al. 2013). PGAM5 is localised to the inner mitochondrial membrane through its N-terminal transmembrane domain and is cleaved within the domain upon loss of  $\Delta \psi_m$  (Sekine et al. 2012). Our recent analysis of PGAM5-deficient BMDMs has suggested that PGAM5 is not a general regulator of the NLRP3 inflammasome but is required for its activation induced by a certain stimulus (unpublished data). Thus, the elucidation of PGAM5 functions will provide important insights into the roles of mitochondria in the regulation of inflammasomes. Taken together, these findings indicate that the mechanisms of activation of the NLRP3 inflammasome are much more diverse than was previously expected and that the involvement of mitochondria is not a general requirement for the activation of the NLRP3 inflammasome. However, the ways and extent to which mitochondria influence the NLRP3 inflammasome are quite diverse and dependent on the types of stimuli and cells.

#### **23.4** Conclusions and Perspectives

The evidence presented here indicates that mitochondria serve as an important signalling platform for innate immunity, whereas their disruption releases various mitochondrial DAMPs into the cytosol and extracellular space, which in turn triggers a strong inflammatory response. This two-sided nature of mitochondria implies that mitochondrial conditions and the capacity to respond to various stimuli and insults are critical determinants of inflammation. A similar point of view can also be applied to the regulation of the inflammasome, such that certain types of activation of the NLRP3 inflammasome require mitochondrial dysfunction or intact function in a context-dependent manner, suggesting that the extent and duration of activation of the inflammasome differ depending on the mitochondrial conditions. Thus, the mitochondrial stress-sensing system, which constantly monitors how mitochondria respond to, as well as to what extent mitochondria are damaged by, various stimuli and insults, is required for the precise regulation of inflammation. The elucidation of such a system will contribute to a better understanding of the cause of chronic inflammation and its association with various diseases.

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# **Chapter 24 Oxidative Stress Regulation by Reactive Cysteine Persulfides in Inflammation**

#### **Tomohiro Sawa**

Abstract Reactive oxygen species (ROS) such as superoxide anion radical and hydrogen peroxide are ubiquitously generated during metabolisms of aerobic organisms. Excess production of ROS due to imbalance between formation and removal of ROS by the antioxidant system causes oxidative stress-related tissue damage. Therefore reinforcement of antioxidant capacity has been considered as a beneficial approach for treatment and prevention of chronic inflammatory disorders where ROS production is persistently activated. Cysteine persulfide was recently identified regarding its endogenous formation in mammalian cells. Biochemical analyses revealed that cysteine persulfide and its derivatives such as glutathione persulfide act as a strong antioxidant in cells. Better understanding of the antioxidant actions of cysteine persulfides in chronic inflammation-associated diseases is a necessary basis to develop new strategies for disease treatment and prevention by modulating the process of oxidative stress.

**Keywords** Reactive oxygen species • Oxidative stress • Inflammatory mediators • Antioxidants • Cysteine persulfide • Cellular senescence

# 24.1 Introduction

Reactive oxygen species (ROS) such as superoxide anion radical and hydrogen peroxide are ubiquitously formed by reduction of molecular oxygen during a variety of aerobic metabolisms including aerobic respiration (Starkov 2008). Eukaryotic immune systems also utilise ROS as host defense mechanisms to combat invading microorganisms by activating ROS-producing enzyme NADPH oxidase (Sumimoto 2008). Because of the toxic nature of ROS, cellular levels of ROS should be maintained low by antioxidant molecules such as glutathione and by ROS scavenging enzymes such as superoxide dismutase and catalase (Niki 2010).

T. Sawa (🖂)

Department of Microbiology, Graduate School of Medical Sciences, Kumamoto University, 1-1-1 Honjo, Chuo-ku, Kumamoto 860-8556, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: sawat@kumamoto-u.ac.jp

However, under certain conditions, imbalance between formation and removal of ROS by an antioxidant system occurs resulting in oxidative stress-related tissue damage (Halliwell 2007). Therefore reinforcement of antioxidant capacity has been considered as a beneficial approach for treatment and prevention of chronic inflammatory disorders where ROS production is persistently activated. The endogenous formation of cysteine persulfide in mammalian cells was recently identified (Ida et al. 2014; Miranda and Wink 2014; Ono et al. 2014). Biochemical analyses revealed that cysteine persulfide and its derivatives such as glutathione persulfide act as strong antioxidants in cells (Ida et al. 2014; Ono et al. 2014). This chapter describes pathological aspects of oxidative stress in inflammatory diseases, with particular focus on ROS-induced cellular senescence. Newly identified endogenous antioxidant cysteine persulfides in the regulation of oxidative stress is also discussed.

# 24.2 Nitrated Nucleotide as a Second Messenger of ROS Effects

According to their chemical reactivities, ROS can cause a diverse type of chemical modifications such as oxidation and nitration on biological molecules including nucleic acids, proteins, enzymes, and lipids. Oxidation of guanine nucleotide has been studied extensively because guanine-base oxidation potentially induces a mismatch base pair with adenine resulting in G:C to T:A mutations (Wu et al. 2004). Guanine nitration has been reported to occur endogenously in various cultured cells and tissues/organs, most of which were associated with excess production of ROS and nitric oxide (NO) such as inflammatory conditions. Examples include the lungs of influenza virus-infected mice (Akaike et al. 2003) and human patients with idiopathic pulmonary fibrosis and lung cancer (Terasaki et al. 2006), the liver of mice infected with Salmonella thyphimurium (Zaki et al. 2009), as well as the urine of humans particularly that obtained from smokers (Sawa et al. 2006). Detailed analyses of cell culture experiments revealed that 8-nitroguanosine 3',5'-cyclic monophosphate (8-nitro-cGMP), a nitrated derivative of cGMP, was the major product formed in cells (Sawa et al. 2007). 8-Nitro-cGMP was first identified in the mouse macrophage cell line RAW 264.7 cells when cells were stimulated with interferon- $\gamma$  and lipopolysaccharide (LPS) to produce NO via the inducible isoform of NO synthase (iNOS) (Sawa et al. 2007). Infection with Salmonella facilitated the formation of 8-nitro-cGMP in mouse macrophages with the wild-type iNOS gene but not in iNOS-deficient macrophages (Zaki et al. 2009). ROS- and NO-dependent 8-nitro-cGMP formation was also evident in rat glioma C6 cells treated with LPS plus proinflammatory cytokines (interferon- $\gamma$ , interleukin (IL)-1 $\beta$ , tumour necrosis factor- $\alpha$ ) (Fujii et al. 2010; Ahmed et al. 2012). By using a mouse myocardial infarction (MI) model, there was a remarkable increase of 8-nitro-cGMP formation in the heart at 6 weeks after MI (Nishida et al. 2012).



**Fig. 24.1** Formation of 8-nitro-cGMP in infection and inflammation, and subsequent posttranslational cysteine modification via protein *S*-guanylation

This suggests the persistent generation of ROS and NO in the MI heart leading to 8-nitro-cGMP formation for a prolonged period of time.

It is noteworthy that 8-nitro-cGMP is the first endogenous cGMP derivative that functions as an electrophile and forms a stable conjugate with cysteine thiols at the purine base (Fig. 24.1; Sawa et al. 2007). This novel posttranslational modification is called 'protein *S*-guanylation' and proceeds without enzymatic stimulation in vitro. As shown in Fig. 24.1, in the reaction of *S*-guanylation, the nitro group of 8-nitro-cGMP is replaced by a thiol group, with the release of nitrite ion, resulting in the formation of irreversible cGMP adducts (8-thioalkoxy-cGMP adducts).

Keap1 is the first identified endogenous target for protein *S*-guanylation in cells (Sawa et al. 2007; Fujii et al. 2010). Keap1 binds to a transcriptional factor Nrf2 to act as a negative regulator for Nrf2 (Uruno and Motohashi 2011). We identified by means of mass spectrometry that cysteine residue at 434 of Keap1 was preferentially *S*-guanylated (Fujii et al. 2010). This Cys434 is localised near the interface for Nrf2 binding (Holland et al. 2008), and *S*-guanylation occurring at Cys434 may facilitate the dissociation of Keap1 from Nrf2, leading to activation of Nrf2-dependent gene expression (Uruno and Motohashi 2011). Other targets for protein *S*-guanylation thus far identified include mitochondrial heat shock protein (HSP-60; Rahaman et al. 2014) and small GTPase H-Ras (Nishida et al. 2012). Roles of H-Ras *S*-guanylation in induction of cellular senescence are described below. These observations suggest that 8-nitro-cGMP can act as a messenger molecule to transduce ROS formation via induction of protein *S*-guanylation.

# 24.3 Activation of Ras-p53 Pathway by a Nitrated Nucleotide in ROS-Dependent Cellular Senescence

Cellular senescence is defined as an irreversible arrest of the cell cycle (Rodier and Campisi 2011). Multiple mechanisms have been implicated in the induction of cellular senescence, including cellular stresses such as DNA damage and limited replicative capacity, also known as Hayflick's limit (Hayflick 1965). Excessive production of ROS may cause premature senescence via induction of DNA damage, telomere damage and shortening, and NF-kB activation (Jurk et al. 2013). Furthermore, senescent cells activate hyperproduction of ROS and secrete bioactive proinflammatory cytokines (Passos et al. 2010). This condition is called 'senescence-associated secretary phenotype (SASP)'. Hence, both senescence-associated ROS and NF-kB-driven proinflammatory cytokines, especially IL-6 and IL-8. contribute to positive feedback loops that stabilise stress-induced senescence. Sustained activation of H-Ras also can induce cellular senescence, called 'oncogene-induced premature senescence' (Kuilman et al. 2008). As mentioned above, we found that 8-nitro-cGMP caused protein S-guanylation of H-Ras in mouse heart during MI or in rat cardiac fibroblasts in culture (Nishida et al. 2012). In vitro analyses clearly demonstrated that 8-nitro-cGMP treatment remarkably activated the H-Ras-dependent signalling pathway including activation of ERK, p38 MAPK, and p53 in rat cardiomyocytes (Nishida et al. 2012). By using a rat cardiac fibroblast culture model, S-guanylation of H-Ras at cysteine 186 promoted alteration of H-Ras localisation from raft to nonraft region for full enzyme activation, by inhibiting palmitoylation at same cysteine residue. Taken together, S-guanylationmediated H-Ras activation participated in ERK and p38 MAPK activation after MI, which led to induction of p53/Rb-mediated cardiac cellular senescence and resulted in the transition from hypertrophy to heart failure.

# 24.4 Regulation of Cellular Senescence by Reactive Sulfur Species: Implication of Cysteine Persulfides

Recent progress in understanding of sulfur metabolisms identified endogenous formation of highly reactive cysteine-based persulfide and polysulfide species in biological systems (Ida et al. 2014). We identified, by utilising RNA interference (RNAi) screening, that cystathionine  $\beta$ -synthase (CBS) and cystathionine  $\gamma$ -lyase (CSE) are critically involved in the metabolism of 8-nitro-cGMP (Nishida et al. 2012). Extensive chemical biology analyses revealed that these two enzymes are capable of producing cysteine persulfide from cystine as a substrate (5; Fig. 24.2). CBS and CSE are enzymes involved in transsulfuration from homocysteine to form cysteine (Fig. 24.2a). We demonstrated by means of mass spectrometry that both CBS and CSE catalyse CS lyase reaction to cleave CS bonding in cystine resulting in direct formation of cysteine persulfide (Fig. 24.2b).



**Fig. 24.2** Schematic representation for CBS and CSE-mediated transsulfuration (**a**) and CS lyase reaction (**b**). Abbreviations: *L*-*HCys* L-homocysteine, *L*-Ser L-serine, *L*-Cys L-cysteine, *CBS* cystathionine  $\beta$ -synthase, *CSE* cystathionine  $\gamma$ -lyase

Interestingly, cysteine persulfide thus formed is determined to be highly nucleophilic and a stronger antioxidant than cysteine. This unique nature of reactive cysteine persulfide is brought by adjacent electron pairs called the ' $\alpha$ -effect' (Ono et al. 2014; Edwards and Pearson 1962). According to the superior nucleophilicity, cysteine persulfide reacts very effectively with 8-nitro-cGMP to form 8-SH-cGMP with release of nitrite anion, and hence, is implicated in regulation ROS signalling. Endogenous 8-SH-cGMP formation was demonstrated to occur in cultured mammalian cells, in mice, as well as in plant cells, supporting cysteine-persulfide– dependent metabolism of 8-nitro-cGMP (Ida et al. 2014; Honda et al. 2015).

The Importance of reactive cysteine persulfides in the regulation of oxidative stress is supported by the observation that these persulfide species exist in almost all organs with varying concentrations and molecular forms. In fact, we successfully identified endogenous formation of persulfides as cysteine, homocysteine, and glutathione forms in mouse heart, liver, brain, kidney, spleen, small intestine, lung, and eye (5 and our unpublished data). Another important finding is that cysteine persulfide residues are present in a variety of proteins, such as proteins involved in sulfur metabolism, protein folding, heat shock proteins, glycolysis, and

so forth, which indicates an ubiquitous and possible regulatory function associated with protein persulfides and polysulfides (Ida et al. 2014).

Oxidant-dependent H-Ras activation can be a pharmacological target for prevention of heart failure after MI. This hypothesis was tested by MI-mice with sustained sodium hydrosulfide (NaHS) treatment. As shown in an in vitro experiment, cysteine and NaHS readily react each other to form cysteine persulfide in the presence of oxidants (Ida et al. 2014). Note that NaHS treatment almost completely nullified endogenous 8-nitro-cGMP formation in MI heart in mice. Furthermore, NaHS treatment markedly reduced H-Ras activation with simultaneous H-Ras *S*guanylation in the same MI hearts (Nishida et al. 2012). Phosphorylation levels of p38 MAPK, ERK, and p53 were decreased by NaHS treatment.

#### 24.5 Summary

NaHS treatment, possibly via formation of cysteine persulfide, improved heart functions after MI by suppressing *S*-guanylation–dependent activation of H-Ras in cardiac cells in mice (Fig. 24.3). However, application of NaHS for human treatment may be hampered because of toxicity and chemical instability of NaHS. Approaches that enable enhancing endogenous cysteine persulfide formation may thus become a potential target for prevention of ROS-dependent oxidative stress and cellular senescence. Better understanding of the antioxidant actions of cysteine persulfides in chronic inflammation-associated diseases is a necessary basis to developing new strategies for disease treatment and prevention by modulating the process of oxidative stress.



Fig. 24.3 Regulation by reactive cysteine persulfides of ROS-dependent activation of Ras-p53 pathway and cellular senescence. Abbreviations: *Nox*2 NADPH oxidase, *iNOS* inducible NO synthase, *HSPs* heat shock proteins, *CBS* cystathionine  $\beta$ -synthase, *CSE* cystathionine  $\gamma$ -lyase

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# Part V Chronic Inflammation and Innate Immunity

# Chapter 25 Posttranscriptional Regulation of Cytokine mRNA Controls the Initiation and Resolution of Inflammation

Osamu Takeuchi

Abstract Excess or sustained production of cytokines in innate immune cells leads to the development of chronic inflammatory diseases, such as autoimmune diseases. In physiological conditions, cytokine production undergoes transcriptional and posttranscriptional regulation. Posttranscriptional regulation modifies mRNA stability and translation, allowing for the rapid and flexible control of gene expression, which is important for coordinating the initiation and resolution of inflammation. Recent studies revealed that posttranscriptional cytokine mRNA regulation is critical not only for the resolution of inflammation but also the maintenance of immune homeostasis. This regulation is mediated by a set of RNA binding proteins (RBPs) such as Regnase-1, Roquin, tristetraprolin, and AUF1. These RBPs bind with RNA cis-elements present in 3' untranslated regions of cytokine mRNAs, and controls their fate. Lack of these RBPs leads to the development of autoimmunity in mice, demonstrating the importance of posttranscriptional regulation of inflammatory mRNAs in controlling chronic inflammation. In this chapter, we review a variety of posttranscriptional control mechanisms that regulate inflammation, and discuss how these mechanisms are integrated to coordinate expression of this important class of signalling proteins.

**Keywords** Innate immunity • Cytokine • mRNA stability • AU rich element • Regnase-1 • Roquin • Autoimmunity

O. Takeuchi (🖂)

Laboratory of Infection and Prevention, Department of Biological Responses, Institute for Virus Research, Kyoto University, 53 Shogoin Kawara-cho, Sakyo-ku, Kyoto 606-8507, Japan

AMED-CREST, Kyoto 606-8507, Japan e-mail: otake@virus.kyoto-u.ac.jp

# 25.1 Introduction

Inflammation is defined as the immune response to tissue injury or infection. Causes of inflammation include various factors such as microbial infection, tissue injury, and cellular stress (Chovatiya and Medzhitov 2014). Whereas appropriate inflammation is critical for the clearance of infected organisms and inflammatory insults, excess and chronic inflammation leads to various inflammatory diseases, such as septic shock, autoimmune diseases, atherosclerosis, metabolic diseases, and so on. Therefore, inflammation is tightly controlled under physiological conditions. It is well known that both innate and adaptive immune cells contribute to inflammation, and communication between immune cells by the secretion of cytokines is critical for the regulation of inflammation. Proinflammatory cytokines such as tumour necrosis factor (TNF), interleukin-1 $\beta$  (IL-1 $\beta$ ), and IL-6 are produced predominantly in innate immune cells immediately after inflammatory stimuli and play a key role in subsequent immune responses.

The expression of cytokines is tightly suppressed in resting innate immune cells, and is rapidly induced in response to infection by pathogens via a set of patternrecognition receptors (PRRs) such as Toll-like receptors (TLRs), RIG-I-like receptors (RLRs), Nod-like receptors (NLRs), C-type lectin receptors (CLRs), and a DNA sensor cyclic GMP-AMP synthase (cGAS; Beutler 2009; Takeuchi and Akira 2010; Lamkanfi and Dixit 2014; Cai et al. 2014). These receptors recognise molecular signatures that are broadly shared by microorganisms, called pathogenassociated molecular patterns (PAMPs). Among PRRs, TLRs were the first discovered and are the most well studied in terms of their ligands and signalling pathways. TLRs recognise various PAMPs such as lipopolysaccharide (LPS), bacterial peptidoglycan, CpG-DNA, and double- and single-stranded RNAs. TLRs initiate a series of signalling pathways via adaptor proteins, MyD88 and TRIF, that lead to the activation of transcription factors, including NF-kB, AP-1, interferon (IFN)regulatory factors (IRFs), and CCAAT/enhancer-binding protein  $\beta$  (C/EBP $\beta$ ). In addition to eliciting inflammation, PRR signalling simultaneously induces maturation of dendritic cells (DCs), which are responsible for stimulating the second line of host defence, adaptive immunity.

The expression of cytokines and proinflammatory factors is tightly regulated at multiple levels, including gene transcription, mRNA translation, and mRNA degradation (Mino and Takeuchi 2013; Carpenter et al. 2014; Anderson 2010; Kafasla et al. 2014). Although transcriptional regulation is highly important for generating new mRNA transcripts, it is a complicated process that cannot be rapidly stopped. Here, we focus on the emerging importance of posttranscriptional regulation, which is essential for the resolution of inflammation to prevent tissue damage brought about by transcriptional activation. Posttranscriptional regulation determines the fate of mRNA in association with RNA-binding proteins (RBPs) binding with characteristic *cis*-elements present in the 3' untranslated regions (UTR). Adenine-and uridine (AU)-rich elements (AREs) and stem-loop structures are well-studied *cis*-elements and a number of RBPs recognising them have been identified.

Impairment in mRNA decay results in excess and sustained inflammation, causing various inflammatory diseases.

In this chapter, we focus on posttranscriptional regulation mechanisms that regulate mRNA stability and modulate both the initiation and resolution of inflammation mediated by RBPs. We also discuss how different types of posttranscriptional control mechanisms are coordinated to regulate immune-mediated inflammation.

# 25.2 Roles of AU-Rich Element (ARE) Binding Proteins in Controlling mRNA Stability

Clusters of AREs found in the 3' UTRs of mRNAs encoding cytokines were the first to be identified (Caput et al. 1986) and subsequent studies showed that cytokines, chemokines, lymphocytes, proto-oncogenes, and proinflammatory genes are subject to ARE-mediated decay (Shaw and Kamen 1986). AREs provide binding sites for *trans*-acting RBPs, such as tristetraprolin (TTP), AUF1, and HuR (also known as ELAV1), which subsequently regulate the stability and translation of mRNA (Fig. 25.1). ARE basic motifs include pentamers of AUUUA (Wilusz et al. 2001). AREs are located at the 3' UTRs of mRNA transcripts for cytokines such as granulocyte-macrophage colony-stimulating factor (GM-CSF), TNF, IL-2, IL-3, IL-6, and IL-8, as well as proinflammatory factors such as cyclooxygenase 2 (COX-2). mRNAs harboring AREs are prone to quick degradation in innate immune cells in general, although stimulation with LPS can extend their halflives (Hao and Baltimore 2009). Thus, AREs are critical elements in controlling gene expression at the posttranscriptional level.



Fig. 25.1 ARE-mediated degradation of cytokine mRNA. AREs located in the 3' UTR of cytokine mRNAs are recognised by proteins including TTP, AUF1 and HuR. TTP, and AUF1 promote the degradation of mRNAs by recruiting a CCR4–NOT deadenylase complex and decapping enzymes. In contrast, HuR stabilises ARE containing mRNAs

### 25.2.1 Tristetraprolin (TTP, Also Known as Zfp36)

TTP harbors two CCCH-type zinc-finger domains and associates with mRNA via AREs present in the 3' UTR (Carrick et al. 2004). TTP transcription is induced by various inflammatory stimuli such as TNF, LPS, glucocorticoids, and IFN- $\gamma$  (Anderson 2010). TTP-deficient mice develop arthritis, dermatitis, and cachexia, a syndrome caused by the spontaneous overexpression of proinflammatory cyto-kines, particularly TNF (Taylor et al. 1996). Subsequent biochemical studies have shown that TTP decreased *TNF* mRNA stability by binding to its ARE (Carballo et al. 1998).

Recognition of target mRNAs by TTP leads to removal of the poly(A) tail by recruitment of a CCR4-NOT deadenylase complex, and interacting with decapping factors (Sandler et al. 2011; Fabian et al. 2013). Deadenylation promotes rapid mRNA degradation that is thought to occur in processing (P)-bodies, small cytoplasmic foci that contain many enzymes required for mRNA decay. Cells exposed to various stresses such as heat shock, oxidative stress, or virus infection promote the assembly of stress granules, small cytoplasmic foci that harbor translationally arrested mRNAs, stalled translation initiation factors, and ARE-binding proteins such as T-cell–restricted intracellular antigen-1 (TIA-1) and TIA-1-related protein (TIAR; Anderson and Kedersha 2008). TTP also destabilises mRNA transcripts encoding multiple inflammatory modulators, including GM-CSF, IL-2, IL-6, c-Fos, inducible nitric oxide synthase (iNOS), COX-2 (also known as PTGS2), IFN- $\gamma$ , and IL-10 (Anderson 2010).

The activity of TTP was shown to be regulated in the course of inflammation. LPS stimulation leads to the phosphorylation of TTP by MAPKAP kinase 2 (MK2) downstream of p38 MAP kinase (Mahtani et al. 2001). Phosphorylated TTP interacts with 14-3-3 preventing stress granule localisation and recruitment of the CCR4–NOT1 complex, which results in the inhibition of TTP-mediated TNF-mRNA degradation (Stoecklin et al. 2004; Clement et al. 2011; Fig. 25.2a). Mice harboring point mutations in TTP phosphorylation sites showed impaired cytokine responses to LPS stimulation in vivo (Ross et al. 2015). Together, these studies demonstrate TTP is a key player in posttranscriptional gene regulation, particularly with regard to the regulation of ARE-mediated decay of cytokine mRNAs.

#### 25.2.2 ARE/Poly-(U) Binding Degradation Factor 1 (AUF1)

AUF1 (also known as heterogeneous nuclear ribonucleoprotein D, hnRNP D) is another attenuator of inflammatory cytokine responses that acts by destabilising inflammatory cytokine mRNAs, such as *IL-2*, *TNF*, and *IL-1β*, and other mRNAs containing AREs in 3'-UTRs (Lu et al. 2006; Sadri and Schneider 2009). AUF1 also binds ARE and consists of four highly related protein isoforms generated by alternate RNA splicing from a single genetic locus (Moore et al. 2014). The four



**Fig. 25.2 Modification of RNA binding proteins in response to immune stimuli**. (a) The TLR signalling activates MAP kinases and IKK in innate immune cells. The p38 MAP kinase activation leads to MK2-mediated phosphorylation of TTP, which sequester TTP from the target mRNAs by binding with 14-3-3 protein. IKK phosphorylates Regnase-1, leading to stabilization of *Il6* mRNAs by degrading Regnase-1. (b) The TCR signalling activates MALT1 protease, cleaving Regnase-1 and Roquin proteins. Decreased expression of Regnase-1 and Roquin stabilises c-Rel, Icos, and Ox40 mRNAs, facilitating T cell activation

AUF1 isoforms contain two RNA recognition motifs (RRMs) in the central portion of the protein (Moore et al. 2014). Mice lacking AUF1 develop chronic dermatitis with increasing age, characterised by pruritis and excoriations, which are associated with increased IL-2, TNF- $\alpha$ , and IL-1 $\beta$  (Sadri and Schneider 2009). Although AUF1 is primarily localised in the nucleus, it shuttles to the cytoplasm and attaches to ARE-containing mRNAs through MAPK phosphatase-1 (MKP-1)-induced translocation (Yu et al. 2011). MKP-1 functions as a negative regulator of the host inflammatory response to infection by specific dephosphorylation of activated mitogen-activated protein kinases (MAPKs), which, when activated, induce proinflammatory cytokines. Although the mechanisms underlying the regulation of cytokine mRNA stability by AUF1 have not been fully described, these findings show the complexity of the processes that control AUF1-regulated cytokine mRNA expression and cellular localisation.

# 25.2.3 Hu Antigen R (HuR)

HuR (also known as Embryonic Lethal, Abnormal Vision, Drosophila-Like 1; ELAVL1) is another protein-binding AREs found in the 3' UTR of cytokine

mRNAs. In contrast to TTP and AUF1, HuR stabilises mRNAs harboring AREs (Fan and Steitz 1998; Herdy et al. 2015). However, HuR is reported to be involved in various regulatory processes such as guiding mRNA processing, export, translation, and miRNA-mediated mechanisms (Meisner and Filipowicz 2010). Indeed, PAR-CLIP analysis of HuR-binding mRNA sites has revealed that HuR- and microRNA-binding sites tend to reside in close proximity to each other in HEK293 cells, implying that dimerised HuR stabilises mRNAs by preventing microRNA-mediated degradation (Lebedeva et al. 2011). Another PAR-CLIP study identified HuR binding sites both in introns and 3' UTRs, suggesting coupling of pre-mRNA processing and mRNA stability (Mukherjee et al. 2011). Further studies are required for uncovering all functions of HuR in controlling cytokine mRNA expression.

# 25.3 Stem-Loop Structures as the *cis*-Element in Cytokine mRNA 3' UTR

In addition to AREs, stem-loop structures present in cytokine mRNAs 3' UTR, such as *TNF* and *IL6*, have been identified as a regulatory motif for posttranscriptional control (Stoecklin et al. 2003; Paschoud et al. 2006). In the *TNF* 3' UTR, this sequence is called the constitutive decay element (CDE) and contributes to the suppression of *TNF* mRNA by binding with an RNA-binding protein Roquin (Leppek et al. 2013). *IL6* mRNA 3' UTR also harbors an evolutionally conserved element potentially forming a stem-loop structure. Regnase-1 (also known as Zc3h12a, MCPIP1) was identified as an RNase responsible for the degradation of *IL6* mRNA via the stem-loop (Matsushita et al. 2009). Thus, stem-loop RNAs have emerged as important *cis*-elements for the regulation of cytokine mRNAs.

#### 25.3.1 Roquin

The Roquin family of proteins Roquin-1 and Roquin-2 bind mRNAs involved in the activation of both innate and acquired immune systems, and induce their degradation. Roquin proteins harbour an N-terminal RING finger domain, followed by a RNA binding ROQ domain, and a CCCH-type zinc finger domain. Roquin was initially discovered as a protein controlling follicular helper T- (Tfh) cell development and the maintenance of self-tolerance (Vinuesa et al. 2005). The Roquin-1 Sanroque mutation in mice results in the increase in Tfh cells, and the development of lupus-like autoimmunity by controlling the stability of *ICOS* mRNA (Yu et al. 2007). In macrophages, Roquin binds to *TNF* mRNA 3' UTRs to destabilise their expression (Leppek et al. 2013). These notions indicate that Roquin

is critical for the prevention of chronic inflammation and maintenance of immune homeostasis.

Although complete Roquin-1 deficiency in mice resulted in perinatal lethality without causing autoimmunity (Bertossi et al. 2011), mice lacking both Roquin-1 and Roquin-2 in T cells developed systemic inflammation accompanied by Tfh accumulation, as well as severe lung inflammation and enhanced Th17 differentiation (Jeltsch et al. 2014; Pratama et al. 2013; Vogel et al. 2013). Roquin-1 and Roquin-2 repress the expression of molecules involved in Tfh and Th17 differentiation, such as ICOS, Ox40, IL-6, I $\kappa$ B $\zeta$ , and I $\kappa$ BNS. Although Roquin was first identified as a protein binding to the constitutive decay element in *TNF* mRNA, Roquin protein expression in T cells is tightly controlled under immune responses. T cell–receptor (TCR) signalling leads to the cleavage of Roquin via a protease MALT1 (Jeltsch et al. 2014). In this way, antigen-recognition by the TCR facilitates the induction of genes involved in Th17 differentiation by inducing degradation of Roquin.

Structural studies of Roquin-1 and Roquin-2 revealed that the ROQ domain forms an extended winged-helix–turn-helix motif and is responsible for the recognition of stem-loop structure with a pyrimidine–purine–pyrimidine triloop sequence present in TNF 3' UTR CDE (Codutti et al. 2015; Tan et al. 2014; Schuetz et al. 2014; Schlundt et al. 2014; Sakurai et al. 2015). Roquin-1 is localised in stress granules and P bodies, and the ROQ domain is essential for the localisation. Roquin binds to mRNAs such as ICOS, Ox40, TNF, and A20 via stem-loop structures present in their 3' UTR, and is reported to recruit components of the CCR4–NOT deadenylase complex and a decapping enhancer Edc4 for exonuclease-mediated mRNA decay (Leppek et al. 2013; Glasmacher et al. 2010; Fig. 25.3).

A PAR-CLIP analysis of human Roquin-1 identified about 3800 mRNAs binding to Roquin-1, which is much more than that expected by counting potential CDE-like stem-loop structures (Murakawa et al. 2015). In addition to mRNAs, Roquin was shown to be required for destabilisation of miR-146a by inducing its 3' end uridylation. Thus, further studies are required for clarifying the mechanisms of Roquin-mediated target mRNA recognition and degradation.

#### 25.3.2 Regnase-1

Regnase-1 (also known as Zc3h12a or MCPIP1) harbours a PIN-like RNase domain and a CCCH-type zinc-finger domain (Matsushita et al. 2009), and is one of a family of four proteins; Zc3h12a, Zc3h12b, Zc3h12c, and Zc3h12d, with similar domain architectures (Liang et al. 2008). Regnase-1 is critical for preventing development of an autoimmune inflammatory disease in mice by controlling the stability of mRNA encoding IL-6, IL-12p40, and other inflammatory genes (Fig. 25.2a). The production of IL-6 and IL-12p40 in response to TLR ligands is greater in *Regnase-1*–deficient macrophages compared with normal macrophages.



**Fig. 25.3 Spatiotemporal regulation of inflammatory mRNAs by Regnase-1 and Roquin.** A set of TLR-inducible inflammatory mRNAs harbour common stem-loop structures recognised by Regnase-1 and Roquin. Whereas Regnase-1 localised in the ER binding with ribosomes and degrades its target mRNAs in a translation-dependent faction, Roquin is present in the P bodies and stress granules, and degrades translationally inactive mRNAs. Regnase-1 and Roquin contribute to the control of inflammatory mRNA degradation in acute and late phases of inflammation, respectively

*IL6* mRNA is stabilised in *Regnase-1*–deficient macrophages, and a conserved element present in the 3' UTR of *IL6* mRNA is responsible for Regnase-1–mediated degradation (Matsushita et al. 2009). Regnase-1 also suppresses IL-17–mediated cellular responses, and *Regnase-1<sup>+/-</sup>* mice showed resistance to *Candida albicans* infection and exacerbated pathology in experimental autoimmune encephalomyelitis (Garg et al. 2015). Thus, Regnase-1–mediated posttranscriptional control of mRNA expression has an essential role in maintaining immune homeostasis.

Although Regnase-1 was identified as a TLR-inducible gene in macrophages, Regnase-1 protein is rapidly degraded in response to stimulation with IL-1 $\beta$  or TLR ligands, but not TNF (Iwasaki et al. 2011). The degradation of Regnase-1 is triggered by the phosphorylation of the DSGxxS sequence by inhibitors of transcription factor NF- $\kappa$ B (I $\kappa$ B) kinase (IKK) complex. Phosphorylated Regnase-1 undergoes ubiquitin-proteasome-mediated degradation via the E3 ligase  $\beta$ -TrCP complex ( $\beta$ -transducin repeat-containing protein). Degradation of Regnase-1 protein is found to be important for higher expression of *IL6* mRNA (Figure 25.2a). Following degradation, Regnase-1 is re-expressed in part due to Regnase-1-mediated suppression of its own *Regnase-1* mRNA via its 3' UTR. These findings show that the IKK complex phosphorylates I $\kappa$ B $\alpha$  in order to activate transcription, and phosphorylates Regnase-1 in order to release the 'brake' on *IL-6* mRNA expression. Regnase-1–mediated mRNA degradation can be antagonised by another RNA binding protein Arid5a, which results in the stabilisation of *IL6* mRNA.

Regnase-1 is expressed not only in innate immune cells, but also in acquired immune cells such as T cells. Lack of Regnase-1 specifically in T cells resulted in the development of autoimmune inflammatory disease similar to the systemic deletion of Regnase-1 in mice (Uehata et al. 2013). Regnase-1 degrades mRNAs involved in T cell activation such as *c-Rel*, *ICOS*, *OX40*, and *IL2*. Among these, loss of c-Rel together with Regnase-1 partially rescued spontaneous activation of T cells, indicating that Regnase-1 controls T cell–activation in part by controlling c-Rel-mediated transcription. Regnase-1 deficiency augmented TCR-mediated effector functions, indicating that Regnase-1 is critical for balancing TCR sensitivity.

Furthermore, TCR signalling leads to the cleavage and degradation of Regnase-1 by MALT1 paracaspase activity (Uehata et al. 2013; Fig. 25.2b). Given that MALT1 cleaves both Regnsae-1 and Roquin, MALT1 protease activity can function to degrade negative regulators of T cell–activation for facilitating T-cell–effector responses. Like Roquin, Regnase-1 can suppress genes involved in Th17 differentiation including IL6,  $I\kappa B\zeta$ , and  $I\kappa BNS$  (Mino et al. 2015). Whereas Roquin-1/-2 deficiency resulted in augmentation of Th17 responses, Regnase-1 deficiency in T cells enhanced differentiation of Th1, Th2, and Th17 cells.

When Regnase-1– and Roquin-binding mRNAs were examined, they were found to overlap significantly (Mino et al. 2015). Furthermore, Regnase-1 and Roquin can bind and degrade a common set of mRNAs related with inflammation. Both Regnase-1 and Roquin bind common stem-loop structures harbouring a pyrimidine–purine–pyrimidine triloop, implicating the redundant roles of these proteins. However, Roquin and Regnase-1 were found to degrade their common target mRNAs by distinct mechanisms. Whereas Roquin localised in P bodies and stress granules and mainly target translationally inactive mRNAs for degradation, Regnase-1 binds the Ribosome, localises in the endoplasmic reticulum (ER), and degrades translationally active mRNAs (Fig. 25.3). In addition, Regnase-1 binds the ATP-dependent RNA helicase upframeshift 1 (UPF1) and depends on translation. Furthermore, UPF1 helicase activity is essential for Regnase-1–mediated mRNA decay. The molecular mechanism of Regnase-1–mediated mRNA degradation is similar to that of nonsense-mediated decay (NMD) in their requirement of active protein translation and a helicase UPF1.

In the control of inflammatory gene expression, Regnase-1 and Roquin function in distinct phases of inflammatory responses. Whereas Regnase-1 is more important for suppressing inflammatory mRNAs in acute phase, Roquin contributes to their control in later phases of inflammation (Mino et al. 2015). Although further studies are required to uncover the reason why these two RNA binding proteins act differently, it is tempting to speculate that translation can be activated in the acute phase of inflammation, when Regnase-1 contributes more in suppressing its target mRNA expression.

# 25.4 Relations Between RNA Binding Proteins in Human Inflammatory Diseases

As shown above, posttranscriptional control is critical for the regulation of chronic inflammation and autoimmunity in mice. Thus, it is easy to imagine that the same set of RNA binding proteins are involved in the control of autoimmunity in humans. Indeed, one single nucleotide polymorphism (SNP) in the promoter region of TTP has been implicated in the disease activity of rheumatoid arthritis in Japanese individuals (Suzuki et al. 2008). Although Roquin and Regnase-1 SNPs have not been linked to inflammatory diseases, Zc3h12c, a Regnase-1 family member, was found to be associated with a psoriasis susceptibility locus (Tsoi et al. 2012). Although the roles of Zc3h12c in psoriasis development have yet to be shown, further studies will uncover the relationship between mRNA degradation control and chronic inflammation in humans.

# 25.5 Conclusions

As demonstrated in this chapter, mRNA stability is tightly regulated in the course of inflammation via multiple mRNA 3' UTR elements and RNA binding proteins recognising them. In most cases, these RNA binding proteins dampen the expression of inflammatory mediators by promoting mRNA decay or by inhibiting protein translation. These effects are mediated by regulatory factors that bind to *cis*-acting elements in 3' UTRs of mRNA transcripts. In addition to well-characterised AREs, stem-loop structures are emerging as critical *cis*-elements for the control of inflammation targeted by multiple RNA binding proteins. Loss of posttranscriptional regulation of cytokine mRNAs can dramatically increase cytokine production, leading to tissue destruction and increased mortality. Given the onset of cytokine production, several features of posttranscriptional control play a critical role in their maintenance. However, these mechanisms are complex and remain unclear. A greater understanding of how RBPs regulate mRNA stability could potentially lead to improved therapies for inflammatory diseases.

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# Chapter 26 Roles of C-Type Lectin Receptors in Inflammatory Responses

#### Shinobu Saijo

Abstract Myeloid cells act central roles in inflammatory responses. C-type lectin receptors (CLRs) are key players that are expressed mainly in these cells to orchestrate immune responses for maintaining immune homeostasis. These receptors recognise carbohydrate structures in microbes, including fungi, bacteria, viruses, and parasites, as pathogen-associated molecular patterns (PAMPs); some of the receptors recognise self-ligands and some recognise both. Ligand ligations of these receptors initiate various biological reactions such as proinflammatory, anti-inflammatory, endocytic, or phagocytic responses. Owing to these activities, the pleiotropic roles of CLRs have an important effect on homeostasis in the body. In addition, because of these varied abilities, it is thought that inhibitors or activators of this receptor signalling are good therapeutic agents for inflammatory disorders. In this section, we provide a detailed summary of the current knowledge of these receptors, describing their expression, ligand recognition, signalling, and associated diseases, and how they function in innate and adaptive immunity.

**Keywords** C-type lectin • Myeloid cell • Cytokine • Inflammatory diseases • IL-17 • Carbohydrate

## 26.1 Introduction

C-type lectin receptors (CLRs) are a group of proteins that bind self and/or nonself carbohydrates with the conserved C-type lectin-like domain. This family of receptors is composed of more than 1,000 molecules classified into 17 subgroups according to their domain organisation and structure (Zelensky and Gready 2005). Because of structural and functional similarities and the formation of gene clusters, it is thought that some members of this family have arisen from gene duplication. In this section, we focus on CLRs that abundantly expressed in myeloid

S. Saijo (🖂)

Division of Molecular Immunology, Medical Mycology Research Center, Chiba University, Chiba, Japan, 260-8673

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: saijo@faculty.chiba-u.jp

cells and drive the production of cytokines that strongly regulate host immune systems, including processes such as T helper cell differentiation, inflammatory cell recruitment, and cell damage induction by recognition of self or nonself ligands.

One of the CLRs, DC-associated C-type lectin-1 (Dectin-1, gene symbol: *Clec7a*), contains an immunoreceptor tyrosine-based activation motif (ITAM-like motif) in the cytoplasmic N-terminus (Brown and Gordon 2001). However, most CLRs, such as DC-associated C-type lectin-2 (Dectin-2, gene symbol: Clec4n) and macrophage-inducible C-type lectin (Mincle, gene symbol: Clec4e), do not have known signalling motifs in their cytoplasmic tails. They recruit the ITAM-bearing adaptor molecule Fc receptor  $\gamma$  chain (FcR $\gamma$ , gene symbol: *Fcer1g*) for their signal transductions (Robinson et al. 2009). Clec4n, Clec4e, and Clec7a are mapped on chromosome 6F3 in mice and 12q13 in humans where this group of CLRs forms a cluster (Balch et al. 2002; Fig. 26.1). These CLRs constitute dimers or tetramers and recruit phosphorylated spleen tyrosine kinase (Syk) with the ligand ligations (Fig. 26.2). Then phosphorylated Syk promotes a CARD9-BCL10-MALT1 (CBM) complex, resulting in the activation of the nuclear factor of the k light polypeptide gene enhancer in B cells (NF-kB). NF-kB activation induces expression of inflammatory cytokines such as tumour necrosis factor (TNF), interleukin (IL)-6, IL-23, and pro-IL-1β. Concurrently, Syk activation induces reactive oxygen species (ROS) production. ROS can directly damage invaded microbes and simultaneously activate inflammasomes that drive the processing of pro-IL-1 $\beta$  into mature IL-1 $\beta$ . The mitogen-activated protein kinase (MAPK) pathway is also activated simultaneously with the recruitment of Syk; however, the biological significance of this pathway in the host defence mechanism is not known (Saijo and Iwakura 2011; Fig. 26.2).

The dendritic cell immunoreceptor (DCIR, gene symbol: *Clec4a2*) family of CLRs includes CLRs that consists of a transmembrane domain with a stalk and a CRD capable of recognising carbohydrate structures on pathogens. This receptor is also mapped in the C-type lectin cluster on mouse chromosome 6 and human chromosome 12; however, unlike other CLRs, some of the DCIR family receptors have an immunoreceptor tyrosine-based inhibitory motif (ITIM) in their cytoplasmic region (Kanazawa et al. 2003). In this section, we update paradigms and



Fig. 26.1 Genes encoding myeloid CLRs form a cluster. The murine CLR gene cluster is placed in chromosome 6 F3, and the human counterpart is placed in chromosome 12q13



**Fig. 26.2** Signalling pathway and outcome of myeloid CLRs. Dectin-2 and Mincle transduce their signalling via ITMA-bearing FcR $\gamma$ . Dectin-1 signalling is initiated by its own hem-ITAM in the cytoplasmic region. These signallings all share the following steps: syk-recruitment leads to formation of the CARD9–Bcl10–Malt1 complex, which results in the activation of the transcriptional activator NF-kB. Then, Cytokine production is induced. These cytokines secreted from myeloid cells promote Th17 differentiation. DCIR maintains homeostasis by controlling the number of the myeloid cells, but the signalling pathway is still unclear

summarise findings that have obtained in recent years on these members of CLRs and their functions.

## 26.2 Dectin-1

Dectin-1 was originally cloned as a DC-specific CLR by subtracting mRNA purified from a DC line with the mRNAs from a macrophage line (Ariizumi et al. 2000a). However, in vivo, Dectin-1 expression is found in DCs, monocytes, macrophages, neutrophils, and  $\gamma\delta$  T cells in mice, and in humans, the expression is found in B cells, eosinophils, and mast cells, in addition to these cells (Taylor et al. 2002; Willment et al. 2005; Olynych et al. 2006). In the initial study, Dectin-1 was reported to have a unique ligand that had not been identified in T cells to stimulate their proliferation, but, using an expression cloning technique, it was soon found that Dectin-1 is a  $\beta$ -glucan receptor which has been sought for a long time (Brown and Gordon 2001).

 $\beta$ -glucans are polycarbohydrates which are found in the fungal cell wall; the backbone structure consists of polymerised  $\beta$ -1.3-linked  $\beta$ -D-glucopyranosyl units with  $\beta$ -1,6-linked side chains (Brown and Gordon 2003). This sugar chain potently drives the secretion of proinflammatory cytokines, including TNF, IL-6, and IL-1 $\beta$ as well as inhibitory cytokine IL-10 from the myeloid cells in a Dectin-1-CARD9 signalling-pathway-dependent fashion (Fig. 26.2; Saijo et al. 2007; Taylor et al. 2007). In vivo, Dectin-1-deficient mice are more susceptible to fungal infection, for example, with *Pneumocystis carinii* and *Candida albicans* (C. albicans). Because families with the inherited Dectin-1 gene mutations were found in patients with chronic mucocutaneous candidiasis, one of the opportunistic infections in humans, it is suggested that Dectin-1 is crucial to maintain the balance of mucocutaneous microflora (Ferwerda et al. 2009). Dectin-1 or Dectin-2 (see below) also induce interferon- $\beta$  (IFN- $\beta$ ) production along with C. albicans recognition, which is crucial for fungal elimination (del Fresno et al. 2013). In this case, atypically, IFN- $\beta$  production requires Syk and the transcriptional factor interferon regulatory factor 5 (IRF5), but not IRF3 and IRF7.

Dectin-1 also acts a central role in the sustenance of intestinal microflora (Iliev, Funari et al. 2012). A fertile fungal biome is detected in the mammalian digestive tracts and communicates with the host immune system through Dectin-1 in a certain circumstance. Consistent with these observations, polymorphisms in the gene encoding CARD9 in humans, an adaptor molecule downstream of Dectin-1, is highly linked with inflammatory bowel diseases such as Crohn's disease and ulcerative colitis, suggesting that CLRs are important for intestinal homeostasis in humans (de Vries et al. 2009). More recently, it was reported that inhibition of Dectin-1 signalling protects against experimental colitis by suppressing antimicrobial peptide secretion in order to allow growth of lactobacilli, which triggers T regulatory cell expansion in the gut (Tang et al. 2015).

Glycosylation is a well-known step in which a carbohydrate molecule is added to proteins in mammals. Abnormal glycosylation is often found in tumour cells, and it is thought that the altered glycosylation on the tumor cell surface facilitates migration and spreading to other organs. Recently, Chiba et al. found that Dectin-1 recognises not  $\beta$ -glucans but N-glycan structures, which are highly expressed in some tumour cell lines. Surprisingly, Dectin-1 has an affinity to the N-glycan structure on the tumour cell surface and initiates antitumour immunity though Natural Killer (NK) cell activation (Chiba et al. 2014). It would be very interesting to determine if this antitumour system by Dectin-1 plays a crucial role in preventing the evolution or the migration of cancer cells in the host.

## 26.3 Dectin-2

Dectin-2 was isolated as DC-specific CLR together with Dectin-1 by subtractive cloning, but is expressed in several DC subsets, macrophages, and monocytes (Ariizumi et al. 2000b). Dectin-2 has an EPN (Glu-Pro-Asn) motif, a Ca<sup>2+</sup>-dependent mannose-binding amino acid sequence, in its C-terminus extracellular CRD (Ariizumi et al. 2000a, b). It lacks a known signalling motif in the short cytoplasmic domain, but transduces its signalling by associating with FcR $\gamma$ , that carries ITAM (Taylor et al. 2005; Fig. 26.2). Dectin-2 binds to high-mannose structures that are found in a broad spectrum of species, including fungi, parasites, bacteria, and mammals (Table 26.1). *C. albicans* has a high-mannose–type N-glycan structure in the cell wall called a-mannans; a backbone consists of polycarbohydrate of  $\alpha$ -1, 6-linked mannose unit with  $\alpha$ -1,2-linked polymannose side chains. These *C. albicans*  $\alpha$ -mannans are placed in the outermost part of the cell wall and are found abundantly, therefore, one may consider that mannose recognition should be the first step for the host to lead up the innate immune responses. As expected, Dectin-2–deficient mice show significantly higher mortality to *C. albicans* infection

CLR	Ligands	Ligand locations
Dectin-1	β-glucans	fungi
	N-glycan	tumor cells
Dectin-2	High-mannose-type N-glycans	fungi
	α-mannans	fungi
	O-linked mannobioses	parasites
	Man-LAM	mycobacterium
Mincle	Highly mannosylated glycans	Fungi
	Mannosyl fatty acids	Fungi
	TDM	Mycobacterium
	SAP-130	Dead cells
DCIR	Not identified	HIV-1

Table 26.1 CLR sensing ligands

than the wild-type mice (Robinson et al. 2009; Saijo et al. 2010). The importance of Dectin-2 in immunity against fungi can be seen not only for *C. albicans* but also for *C. glabrata* and *Aspergillus fumigatus*.

IL-17A is an essential cytokine for inflammatory responses. Mice with IL-17A, IL-17 receptor A (IL-17RA), or IL-23-p19 deficiency show higher susceptibility to C. albicans infection, indicating that IL-17A is crucial for host protection (Kagami et al. 2010; Saijo et al. 2010). In humans, mutations in IL-17F and IL-17 receptor A (IL-17RA) genes alter IL-17-based immunity, leading to the development of chronic mucoepithelial fungal infection (Puel et al. 2011). In this context, cytokines that are induced by both Dectin-1- and Dectin-2-signalling with fungal stimulation have been found to selectively promote Th17 cell differentiation in vitro, suggesting that these CLRs expressed in myeloid cells mobilise acquired immunity to ultimate antifungal immunity. However, IL-17A and IL-17F producing cells upon infection with fungi is still unclear. IL-17F is produced by epithelial cells and innate immune cells other than Th17 cells (Ishigame et al. 2009). Moreover, it was recently reported that, upon infection with A. fumigatus, Dectin-2-induced IL-6 and IL-23, which in turn drive IL-17A secretion in neutrophils that constitutively express the transcription factor retinoid-related orphan nuclear receptor-y t (RORyt). The IL-6 and IL-23 also promote surface expression of IL-17RC and Dectin-2 in an autocrine fashion, leading to the ROS synthesis for the promotion of the fungal eliminations (Taylor et al. 2014).

Dectin-2 also recognises one of the major mycobacterial cell wall lipoglycans, mannose-capped lypoarabionomannan (Man-LAM; Yonekawa et al. 2014). Several receptors have been reported to have affinities for Man-LAM, but none of these molecules could explain the dual nature of Man-LAM, which induces both immune activation and suppuration in the host. The ligand recognition of Dectin-2 results in the production of proinflammatory cytokines as well as of the inhibitory cytokine IL-10 through the ITAM-bearing adaptor molecule,  $FcR\gamma$ . It is noteworthy that this IL-10 production in response to *Mycobacterium* is a unique aspect of Dectin-2. The strains of *Mycobacterium* lacking the mannose cap are sensed by another C-type lectin Mincle and Mcl, whose signalling induce only proinflammatory cytokines, and not IL-10, suggesting that the presence of the mannose cap contributes to the virulence of the bacterium. In vivo, Dectin-2 also plays an important role in the host defence against *Mycobacterium* strains with a mannose cap.

Dectin-2 also triggers allergic responses with the recognition of mannosebearing antigens in house dust mites or *Schistosoma mansoni*. These interactions induce cysteinyl leukotriene production which promotes Th2 and/or Th17 responses, that strongly mediate airway inflammation (Ritter et al. 2010; Norimoto et al. 2014; Parsons et al. 2014).

## 26.4 Mincle

Mincle is expressed in macrophages and DCs at a low level and can be induced by stimulation with inflammatory cytokines or Toll-like receptor (TLR) ligands such as lipopolysaccharide (Matsumoto et al. 1999). Similar to Dectin-2, Mincle has a short cytoplasmic tail without known signalling motifs, but associates with ITAMcontaining adaptor molecule FcRy (Yamasaki et al. 2008). Mincle also contains an EPN motif in its extracellular CRD, which could bind to polyvalent  $\alpha$ -mannose in a  $Ca^{2+}$ -dependent manner (Fig 26.2). This receptor has affinities with mannoglycoconjugates in the fungal cell walls, including C. albicans, and Malassezia species (Bugarcic et al. 2008; Yamasaki et al. 2009; Table 26.1), driving proinflammatory cytokine production. Because Mincle-deficient mice were more vulnerable to systemic C. albicans infection than wild-type mice (Wells et al. 2008), and inflammatory responses such as cytokine production and neutrophil infiltration are suppressed upon infection with Malassezia (Yamasaki et al. 2009), it was indicated that Mincle plays an important role in the host defence against pathogenic fungi. As mentioned above, Mincle is found to be a crucial receptor for the antimycobacterial immunity. Mincle directly binds to cell wall components unique to *Mycobacterium*, such as trehalose-6,6'-dimycolate (TDM; also called cord factor), which is a potent adjuvant because of its high immunestimulatory effect on the host immune system (Ishikawa et al. 2009). Binding of the TDM by Mincle results in the activation of NF-kB via the ITAM-Syk-CBM complex and induces robust proinflammatory cytokine production in macrophages and DCs. In vivo, intratracheal administration of TDM causes Mincle-dependent granuloma formation in the lungs, revealing that this interaction substantially contributes to the adjuvant activity of Mycobacterium tuberculosis (Ishikawa et al. 2009; Schoenen et al. 2010). However, the in vivo roles of Mincle in antimycobacterial immunity are still controversial; Mincle-deficient mice are fatally overwhelmed rapidly after M. bovis bacillus Calmette-Guerin (BCG) or *M. tuberculosis* Erdman infections, whereas, surprisingly, they show normal responses to much more virulent Mycobacterium tuberculosis (during *M. tuberculosis*) H37Rv infection (Schoenen et al. 2010; Lee et al. 2012; Heitmann et al. 2013). This is likely because different mycobacterial strains may contain differing exterior cell wall components, which are indispensable for their microbial survival and pathogenicity. Of note, TDM and its analogue, treharose-6,6dibehenate (TDB) induced macrophage activation, as well as Th1/Th17 cell differentiations in a Mincle-dependent manner, suggesting that Mincle contributes to both innate and adaptive immunity for protection against mycobacterial infection (Schoenen et al. 2010).

Mincle also targets damaged cells in the body. This receptor has an affinity to the endogenous ribonucleoprotein SAP-130 that is released from dead cells as DAMPs (Yamasaki et al. 2008). The binding of SAP-130 by Mincle dose not require the EPN motif, but shares the signalling pathway, ITAM-syk-CARD9-NF-kB to promote cytokine secretions.

## 26.5 DCIR

DCIR is a type II C-type lectin with an intracellular inhibitory signalling motif, ITIM (Bates et al. 1999). There is one DCIR in humans, and four DCIR homologues have been found in mice (Dcir1 to 4); however, only human DCIR and murine Dcir1 and Dcir2 contain an ITIM (Kaden et al. 2009). Human DCIR and mouse Dcir1 are expressed in DCs, monocytes/macrophages, and B cells. The expression of Dcir2 is limited in CD8<sup>-</sup> DCs. Like Dectin-2 and Mincle, Murine Dcir1 and Dcir2, and human DCIR have a single CRD with a Ca<sup>2+</sup>-dependent sugar binding amino acid sequence EPN that recognises mannose-type structures (Lee et al. 2011). Although the sugar chain structures of the DCIR ligand are not identified yet, human DCIR has been found to recognise HIV-1 (Lambert et al. 2008). With the recognition of HIV-1, forced expression of human DCIR with CD4 in a B-cell line induces the activation of phosphatase SHP-1 and SHP-2, as well as kinases Syk and Src. These events result in the internalisation of HIV-1, and then activate intracellular PKC- $\alpha$ , p38, and Erk1/2. However, generally, it is thought that ITIM signalling negatively regulates the cellular responses initiated by ITAM. In this context, the chimeric receptor, which is composed of extracellular FcyR-IIB and intracellular Dcir1, inhibits BCR signalling (Kanazawa et al. 2002).

The physiological role of DCIR was studied using Dcir1-deficient mice (Fujikado et al. 2008). DCIR-deficient bone marrow cells differentiate into DCs more expeditiously with augmentation of STAT5 phosphorylation in response to GM-CSF, suggesting that Dcir1 controls DC expansion in vivo. Indeed, Dcir1-deficient mice spontaneously develop autoimmune sialadenitis and enthesitis with a higher number of DCs. In addition, Dcir1-deficient mice demonstrate ankylosis, which is completely suppressed in Rag-2 deficiency (Maruhashi et al. 2015), suggesting that DCIR mediates immunological homeostasis in an acquired immunity-dependent manner. However, the endogenous ligand of DCIR is not isolated yet, therefore, further studies are required for understanding the molecular mechanisms of DCIR-dependent immunity.

## 26.6 Concluding Remarks

Myeloid CLRs show a variety of functions in the immune response, as described in this section. This family of CLRs transduces their signalling that directly leads to transcriptional activations; on the other hand, they involve transcription-independent activities, such as antigen uptake, endocytosis, or phagocytosis. However, the functions of many CLR genes, such as *Dcar1*, *Dcar2*, *Clec12b*, and *Clec1a*, of the same gene cluster, still need to be studied. These analyses should offer important indications for developing novel therapeutics for the treatment of inflammatory diseases. Several CLRs can recognise multiple ligands. For instance, Dectin-1 can bind to fungal  $\beta$ -glucans and tumour cell N-glycan, and Dectin-2 has a broader spectrum of ligands; it can bind to Man-LAM in the Mycobacterial cell walls, Malassezia O-linked mannobiose-rich glycoprotein, and house dust mite antigens other than  $\alpha$ -mannans in the fungal cell walls. Mincle can recognise SAP-130 from dead cells and TDM in the Mycobacterial cell walls, and DCIR can bind to HIV-1 and might have endogenous ligand(s). These receptors seem to share their intracellular signalling pathway, however, the outcomes of the CLRs slightly differ; Both Dectin-2 and Mincle employ FcRy to transduce their signalling, but only Dectin-2 induces the production of the inhibitory cytokine IL-10. This is probably because the outcome of CLR depends on the receptor itself, as well as on the nature of the ligand. Of note, some CLRs are reported to form a heterodimeric complex with other CLRs. For example, Mcl, a FcRy-coupled CLR in the same cluster, couples with Dectin-2 through  $FcR\gamma$  ligation (Zhu et al. 2013), or directly contacts with Mincle (Miyake et al. 2015). This complexity and divergence is one of the distinguishing qualities of the CLR system, suggesting that the CLR system evaluated efficiently to utilise all the pathogens in the most powerful way by using a limited number of CLRs. Furthermore, some ligands of CLRs are known as opportunistic pathogens, which are harmless and are allowed to live along with the host. It is possible that these commensal microbes continually stimulate the host immune system via CLRs at a low level, resulting in development of inflammatory diseases. Indeed, Dectin-1 maintains intestinal homeostasis (Iliev et al. 2012; Tang et al. 2015). In addition, several linkage studies showed that the chromosomal region of the CLR gene cluster associates to the development of autoimmune diseases in both mice and humans (Fujikado et al. 2006). Thus, the continued study of the functions of myeloid CLRs to complete the whole picture of the CLR system will help us to understand the role of myeloid cells in pathology and homeostasis, and will provide breakthroughs in the development of therapy for inflammatory diseases.

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# Chapter 27 Elucidation and Control of the Mechanisms Underlying Chronic Inflammation Mediated by Invariant Natural Killer T Cells

Hiroshi Watarai

Abstract Invariant natural killer T (iNKT) cells are a subpopulation of T lymphocytes with unique specificities against (glyco)lipids presented in the context of CD1d belonging to major histocompatibility complex (MHC) class Ib. They recognise microbially encoded or synthetic glycolipids directly through their CD1drestricted T-cell receptors (TCRs) other than microbial components or lipids including pathogen-associated molecular patterns (PAMPs) and bacterial superantigens. Once activated, iNKT cells participate as early effectors and/or regulators of immune responses. Immunoregulatory cytokines produced in copious amounts by these cells target a wide range of downstream effector cells and help shape the ensuing immune responses. Mammals comprise various numbers and isoforms of CD1 molecules, suggesting that (glyco)lipid presentation is a rapidly evolving component of the immune system, which adapts to environmental threats. Recent progress in our understanding of CD1d-restricted iNKT cells contributes to their true potentials in immunotherapeutic applications for various diseases. Recent findings about iNKT cell subtypes (iNKT1, 2, 17, 10) and their roles in pathological inflammation are also introduced and discussed.

**Keywords** iNKT cells • Glycolipids • CD1d • TCR • Innate immunity • Adoptive immunity • Inflammation

# 27.1 Introduction

The immune system consists of separate but interacting components, the innate and acquired immune systems. Invariant natural killer T (iNKT) cells play unique intermediary roles, bridging between both immune systems.

H. Watarai (🖂)

Division of Stem Cell Cellomics, Center for Stem Cells and Regenerative Medicine, Institute of Medical Science, The University of Tokyo, Tokyo, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: hwatarai@ims.u-tokyo.ac.jp

NKT cells were originally characterised as having cell surface markers such as NK1.1 in mice (MacDonald 1995) and CD161 in humans (Schmidt et al. 1986), as well as a T-cell receptor (TCR) (Makino et al. 1995; Porcelli et al. 1993). However, recent studies have indicated that a certain but not all of the NKT cells express NK1.1 in mice or CD161 in humans, but all NKT cells are defined by a particular invariant TCR  $\alpha$  chain from Trav11 Traj18 (V $\alpha$ 14-J $\alpha$ 18) mostly paired with Trbv13-2 (Vβ8.2), Trbv13-3 (Vβ8.1), Trbv29 (Vβ7), or Trbv1 (Vβ2) in mice and TRAV10 TRAJ18/TRBV25-1 TRBD2 TRBJ2-7 (Va24-Ja18/Vb11-Db2-Jb2.7) in humans, giving rise to the moniker 'invariant' for NKT cells. Different from the conventional  $\alpha\beta T$  cells which recognise the peptide/MHC complex, these stereotypic TCRs endow iNKT cells with some types of glycolipid antigens presented by monomorphic MHC class I-like molecule CD1d (Godfrey et al. 2004; Salio et al. 2014). The prototypic antigen is glycosphingolipid  $\alpha$ -galactosylceramide ( $\alpha$ -GalCer), originally isolated from the marine sponge Agelas mauritianus and identified from structure-activity relationship studies around Agelasphin 9b by the pharmaceutical division of Kirin Brewery Co. Ltd. in a screen for naturally occurring molecules that prevented tumour metastases in mice in vivo (Natori et al. 1994). The synthetic compound, also known as KRN7000, retains the activity of Agelasphin 9b while being much easier to synthesise (Morita et al. 1995).  $\alpha$ -GalCer has been used in many different studies and is a highly potent iNKT cell agonist both in humans and in mice (Hayakawa et al. 2004).

When activated by  $\alpha$ -GalCer, iNKT cells immediately produce Th1 (IFN- $\gamma$ ), Th2 (IL-13, IL-4), Th17 (IL-17A), and inhibitory (IL-10) cytokines, resulting in bystander immune modulating functions, activating and inhibiting various immune effector cells, including NK cells, macrophages, granulocytes, dendritic cells (DCs), basophils, and eosinophils in the innate system as well as CD4<sup>+</sup> T and CD8<sup>+</sup> T cells in the acquired system. Therefore, iNKT cells participate in the regulation of various disease states in a broad spectrum, including infection, autoimmunity, allergy, antitumour response, allograft rejection, and graft-versus-host disease.

The numerous studies investigating the role of iNKT cells were represented by mouse models of deficiency in iNKT cells. One makes use of mice deficient in CD1d ( $Cd1d1^{-f-}$ ) (Mendiratta et al. 1997), which prevents the development of any CD1d-reactive T cells including iNKT cells. Another model directly targets Jα18 ( $Traj18^{-f-}$ ) (Cui et al. 1997), which is required for iNKT-TCR formation. However, TCR repertoire diversity was impaired in  $Traj18^{-f-}$  mice, in which the  $PGK-Neo^{r}$  cassette replaced from Traj18 has inadvertent substantial effects on transcription and TCR rearrangements (Bedel et al. 2013). Consequently, any changes in immunological activity associated with  $Traj18^{-f-}$  and  $Cd1d1^{-f-}$  mice and for which a role has been ascribed to iNKT cells needs to be reassessed.

## 27.2 iNKT Cells and Host Defence

There is evidence that iNKT cells participate in protection of mice from a variety of bacterial, viral, protozoan, and fungal parasites, although some of these results are controversial because susceptibility is different between  $Traj18^{-/-}$  and  $Cd1d1^{-/-}$  mice or between C57BL/6 and BALB/c genetic backgrounds.

In some examples where the mechanism of iNKT cell action in host defence has been partially defined, IFN- $\gamma$  secretion is important. iNKT cells act not only as effectors by themselves in these cases, but also as conductors of IFN- $\gamma$  secretion from NK cells and CD8<sup>+</sup> T cells that have been stimulated as a result of iNKT cell activation (Hayakawa et al. 2001; Carnaud et al. 1999; Smyth et al. 2002a). However, iNKT cells can operate in either a stimulating or suppressive manner. For example, clearance of herpes simplex virus type 1 is reduced in *Traj18<sup>-/-</sup>* and *Cd1d1<sup>-/-</sup>* mice (Grubor-Bauk et al. 2003). By contrast, iNKT cells negatively regulate the response to lymphocytic choriomeningitis virus (Roberts et al. 2004).

A defect in iNKT cell development has been reported both in SAP (signalling lymphocytic activation molecule associated protein)-deficient mice and in humans with mutations in *SH2D1A*, the gene encoding SAP (Chung et al. 2005; Nichols et al. 2005; Pasquier et al. 2005). The *Sh2d1a<sup>-/-</sup>* mice are relatively normal in the development of T and B cells, whereas humans with SAP mutations are affected by a severe X-linked lymphoproliferative (XLP) syndrome and fail to control primary Epstein–Barr virus (EBV) infection, presenting with uncontrolled expansions of T and B cells. Although SAP-deficient XLP patients have a plethora of immune defects that could contribute to the lack of control of EBV infection, another group of XLP patients with defective iNKT cell numbers has been reported who bear mutations in the inhibitor of apoptosis XIAP gene (Rigaud et al. 2006). This raises questions of whether and how iNKT cells might be involved in the control of EBV and perhaps other viral infections.

Some microbes produce glycolipids different from viruses. iNKT cells are known to respond directly to some exogenous microbial glycolipids. *Sphingomonas* bacteria widely distributed in the environment are essentially universal antigens for iNKT cells and their glycosphingolipids containing either a galacturonic or a glucuronic acid have structures similar to  $\alpha$ -GalCer and have an ability to activate iNKT cells directly (Kinjo et al. 2005; Mattner et al. 2005; Sriram et al. 2005).

Another example of the importance of iNKT cells is provided by a study of a Lyme disease model infected with *Borrelia burgadorferi*. After intradermal infection with *Borrelia burgadorferi*, *Cd1d1<sup>-/-</sup>* mice developed a greater thickening of the knee and tibiotarsal joints, indicative of arthritis compared to BALB/c mice (Kumar et al. 2000).

It has been suggested that some *Bacteroides* sphingolipids might influence host immune homeostasis. Species of *Bacteroides* and their relatives are a prevalent commensal organism of the human intestine, and many of them also have the

capacity to produce sphingolipids. Recently, a glycosphingolipid antigen from *Bacteroides fragilis* has been purified and characterised and it also activates mouse and human iNKT cells (Wieland Brown et al. 2013).

The role of iNKT cells in infection and host defence is clearly an exciting area of investigation with clinical potential, which makes them important targets for future vaccine design.

### 27.3 iNKT Cells and Antitumour Responses

iNKT cells have been shown to be potentially important to tumour rejection (Smyth et al. 2002b). iNKT cells were found to be necessary for IL-12-mediated tumour therapy in mice (Cui et al. 1997), and  $\alpha$ -GalCer/KRN7000 has an ability to promote iNKT cell-dependent rejection of a broad range of experimental tumour cell lines including melanoma, carcinoma, thymoma, and sarcoma (Hayakawa et al. 2004; Smyth et al. 2002b; Kawano et al. 1997). Recent evidence has also shown that treatment with  $\alpha$ -GalCer/KRN7000 can protect against spontaneous oncogene- or carcinogen-induced primary tumour formation in mice (Hayakawa et al. 2003). Based on these findings in mice, a phase I clinical study of KRN7000 was carried out in patients with solid tumours (Giaccone et al. 2002). However, a detectable level of IFN- $\gamma$  and IL-12 in serum was never observed after 3 times intravenous (i.v.) injection of KRN7000 (Giaccone et al. 2002). This may be partially due to the small population of iNKT cells in cancer patients compared to the healthy, although it is not clear whether this is a cause or effect of the cancer. Another possible reason is repeated injection of KRN7000 elicits changes in immunity as regulatory properties by IL-10 production from iNKT cells and dendritic cells (DCs) as shown in mice (Kojo et al. 2005).

Overcoming these problems has been demonstrated. Induced pluripotent stem cells (iPSCs) are known to hold tremendous potential for cell replacement therapy and functionally competent mouse iNKT cells from iPSCs were successfully generated that suppressed tumour growth in vivo (Watarai et al. 2010), which is an important advance in solving the problem of the limited number of iNKT cells in advanced cancer patients (Watarai et al. 2012a). Concerning the lesser effectiveness of KRN7000 in humans, i.v. injection of monocyte-derived DCs that were KRN7000-pulsed was evaluated and led to sustained expansion of iNKT cells in advanced cancer patients (Chang et al. 2005). iNKT-cell–mediated immunotherapy has clearly provided a proof of concept for future perspectives for cancer immunotherapy even though numerical tests and trials should be done and there is much to be learned before these cells can be safely and effectively manipulated in the clinic.

## 27.4 iNKT Cells and Autoimmunity

It has been suggested that iNKT cells naturally influence autoimmunity from some mouse models and the greatest number of studies was investigated in the type 1 diabetes model. iNKT cells are reduced in nonobese diabetic (NOD) mice (Ortaldo et al. 2004) and produce less IL-4 after stimulation (Hammond et al. 1998). Nonetheless, stimulation of iNKT cells with repeated administration of KRN7000 has a therapeutic effect and protection from diabetes by iNKT cells was associated with the induction of a Th2 response to islet autoantigens (Hong et al. 2001). Interestingly however, iNKT cells prevented differentiation of IFN- $\gamma$  producing diabetogenic T cells in NOD mice in an iNKT-derived IL-4–independent manner but through activating NK cells and DCs (Beaudoin et al. 2002). Taken collectively, iNKT cells may act by inducing KRN7000-mediated anergy in islet-specific T cells and/or by altering the function of DCs with regulatory, in addition to Th2 polarisation.

Similar to the findings in NOD mice, KRN7000 was found to prevent experimental autoimmune encephalomyelitis (EAE; Jahng et al. 2001), an animal model of multiple sclerosis (MS), by shifting the balance from a pathogenic Th1 toward a Th2 response. A decrease in iNKT cells has also been found in the peripheral blood of MS patients, suggesting a regulatory role for iNKT cells in this human disease (Araki et al. 2003).

### 27.5 The Growing iNKT Cell Subsets

A major paradox is the ability of iNKT cells to produce various types of cytokines and to both promote and suppress immune responses as described above. This is probably due to the existence of functionally distinct subtypes of iNKT cells producing different cytokines. It was previously established that iNKT cells include both CD4-positive and CD4-negative subtypes (Godfrey et al. 2004; Bendelac et al. 2007), each of which produces different cytokines. Although a functional distinction was originally less apparent for mouse CD4<sup>+</sup> and CD4<sup>-</sup> iNKT cell subsets, the division of mouse iNKT cells on the basis of NK1.1 expression has revealed striking differences between NK1.1-positive and NK1.1-negative subsets. NK1.1<sup>+</sup> iNKT cells produce a large amount of IFN- $\gamma$  and little IL-4, whereas NK1.1<sup>-</sup> iNKT cells produce less IFN- $\gamma$  and more IL-4 (Benlagha et al. 2002; Pellicci et al. 2002).

More recently, there is further heterogeneity in CD4<sup>+</sup> iNKT cells, a subset of which bears IL-17 receptor B (also known as IL-25 receptor; Terashima et al. 2008). IL-25 is a key cytokine in Th2 immunity that eliminates helminth and promotes airway hyperreactivity (Fallon et al. 2006). IL-17RB<sup>+</sup> CD4<sup>+</sup> iNKT cells which can be designated iNKT2 cells produce large amounts of IL-13, IL-9, and IL-4 in response to IL-25 (Terashima et al. 2008; Angkasekwinai et al. 2010;



Fig. 27.1 Classification of iNKT cell subtypes based on the phenotypical and functional differences

Motomura et al. 2011) and are phenotypically  $NK1.1^{-}$  and present mainly in thymus, spleen, lung, and lymph nodes (Watarai et al. 2012b). Another newly identified subset of iNKT cells is producing predominant IL-17A (Michel et al. 2007). IL-17A is produced from Th17 cells and/or  $\gamma\delta$  T cells, which have a key role in autoimmune pathology in disease models such as EAE and collageninduced arthritis (CIA; Weaver et al. 2007). IL-17A-producing iNKT cells (iNKT17 cells) express the transcription factor RORyt, IL-23 receptor, and CCR6, the same as Th17 cells (Michel et al. 2008) and are enriched in lymph nodes and the skin, resulting in rapid production of IL-17A in response to inflammation (Watarai et al. 2012b; Doisne et al. 2009, 2011; Fig. 27.1). Concerning the IL-10 producing iNKT cells with regulatory property, it is still unclear whether this type of cell exists in steady state. In regard to the  $\alpha$ -GalCer/KRN7000-induced protection from EAE, iNKT cells have been reported to regulate immune responses by inducing IL-10 production by other cells or to produce IL-10 themselves (Kojo et al. 2005; Singh et al. 2001). However, in these cases, a distinct population of iNKT cells with a unique phenotype was not described. Recently, IL-10-producing iNKT cells were determined from mice pretreated with α-GalCer retaining cytotoxic activity and maintaining the ability to respond to TCR-dependent as well as -independent cytokine-mediated stimulation, phenotypically and functionally similar to inducible regulatory T cells (Tregs; Sag et al. 2014). Furthermore, it has been reported that early after  $\alpha$ -GalCer injection, iNKT cells acquire a T follicular helper (Tfh) phenotype, characterised by the expression of the transcription factor BCL6 (Chang et al. 2011; King et al. 2011). Taken collectively, iNKT cells consist of functionally distinct subtypes rather than a multifunctional uniform population, similar to helper T cells or innate lymphoid cells (ILCs). It is important to elucidate the complexity, stability, plasticity, and the role of iNKT subsets in immunity and inflammation, including crosstalk with other immune cells and subtypes.

## 27.6 iNKT-Cell–Mediated Type 2 Inflammation

iNKT cells are also involved in the development of asthma, because Traj18<sup>-/-</sup> mice fail to develop or reduce ovalbumin (OVA) antigen-induced airway hyperreactivity (AHR) (Akbari et al. 2003). Th2 cells and ILC2 are not always essential for iNKT cell-mediated AHR development, because activation of iNKT cells induces AHR in the absence of CD4<sup>+</sup> T cells in MHC class-II-deficient mice (Meyer et al. 2006). These findings suggest that iNKT cells are directly involved in the development of AHR, independent of Th2 response or ILC2 activation in some cases. IL-17RB expressing iNKT2 cells and IL-25, a ligand for IL-17RB, might be responsible for iNKT-cell-mediated OVA/IL-25-induced AHR (Terashima et al. 2008). IL-17RB is preferentially expressed in iNKT2 cells among cells in steady state. iNKT2 cells in the lung produce predominantly IL-13 upon stimulation with IL-25 in vitro. Depletion of iNKT2 cells but not of other subtypes by IL-17RB-specific monoclonal antibodies or Trail8<sup>-/-</sup> mice failed to develop OVA/IL-25-dependent AHR. Cell transfer of iNKT2 cells into Traj18<sup>-/-</sup> mice also successfully reconstituted AHR induction. These results strongly suggest that iNKT2 cells play a crucial role in the pathogenesis of allergen-induced asthma. Recently, IL-25-mediated mucosal inflammation appeared to be negatively regulated by IL-17B which antagonises shared receptor IL-17RB (Reynolds et al. 2015). It should be investigated whether iNKT2-mediated IL-25-dependent inflammation is also regulated by IL-17B (Fig. 27.2).

Not only allergens but also certain viruses, such as respiratory syncytial virus (RSV), Sendai virus (SeV), metapneumovirus, and parainfluenza virus, cause childhood asthma and chronic obstructive pulmonary disease (COPD)-like symptoms, which include AHR, airway inflammation, and mucus hypersecretion (Gern & Busse 2000; Sigurs et al. 2005; Hamelin et al. 2006). It has been reported that, in a mouse model of infection with a SeV, virus-induced chronic inflammation leads to asthma that resembles human asthma and COPD even long after the apparent clearance of viruses (Kim et al. 2008). The chronic pulmonary symptoms evolved independently of CD4<sup>+</sup> T cells but required iNKT cells, leading to accumulation of M2 macrophage resulted in IL-13–dependent lung disease (Kim et al. 2008). The link between these events needs to be better defined as to whether iNKT2 cells are involved; it was found that TREM (triggering receptor expressed on myeloid cells)-2 promotes macrophage survival and lung disease after viral infection (Wu et al. 2015).

iNKT2 cells potentially trigger respiratory syncytial virus (RSV)-induced AHR (Watarai et al. 2012b). RSV is an unusual virus in that it can cause repeated reinfections throughout life and a major viral pathogen causing extensive outbreaks of respiratory tract infections both in the very young and in vulnerable adults.

**Fig. 27.2** Proposed model for IL-25R-dependent iNKT2 activation and suppression



iNKT2 cells produce Th2 cytokine after RSV infection, although triggering molecular mechanisms are still unclear.

## 27.7 Conclusion

iNKT cells have been characterised as a T-cell subtype with diverse and miscellaneous functions that recognise (glyco)lipids. However, recent studies revealed that iNKT cells consist of functionally and phenotypically distinct subtypes, each of which mediates different types of immunity and inflammation. iNKT cells can regulate the function of innate and adaptive immune cells in antimicrobial immunity, tumour rejection, and inflammatory diseases. Further studies are warranted to dissect the molecular mechanisms by which iNKT subtypes can modulate both proand anti-inflammatory responses. The challenge remains to translate the iNKT cell biology to the clinic.

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# Chapter 28 Understanding of the Role of Plasmacytoid Dendritic Cells in the Control of Inflammation and T-Cell Immunity

Katsuaki Sato

Abstract Dendritic cells (DCs) comprise several subsets that are critically involved in the initiation and regulation of innate and adaptive immunity. Plasmacytoid DCs (pDCs) are specialized cells in robust type I interferon (IFN-I) secretion by engagement of endosomal toll-like receptors (TLRs), and uniquely express sialic acid binding Ig-like lectin (Siglec)-H. However, how pDCs control immune responses in vivo remains unclear. Here we show a critical role of pDCs in the regulation of inflammation and T-cell immunity in vivo using knock-in mice with a conditional ablation of pDCs. pDC-ablated mice exhibited the reduced inflammatory responses triggered by TLR9 ligand. Upon antigenic immunization, pDC-ablated mice showed not only reduced antigen-specific CD4<sup>+</sup> T-cell responses but also impaired generation of cytotoxic T lymphocytes (CTLs) under the TLR9mediated inflammatory conditions. Thus, our findings highlight previously unidentified roles of pDCs in the control of innate and adaptive immunity.

**Keywords** Dendritic cells • Innate immunity • Inflammation • Cytokine • Adaptive immunity • T cells

## 28.1 Introduction

Dendritic cells (DCs) are essential antigen (Ag)-presenting cells (APCs) that consist of heterogeneous subsets, mainly classified as conventional DCs (cDCs) and plasmacytoid DCs (pDCs) (Sato and Fujita 2007; Shortman and Naik 2007; Villadangos and Schnorrer 2007). DCs serve as sentinels, recognising the presence of invading pathogens or virus-infected cells through various pattern-recognition

K. Sato (🖂)

Division of Immunology, Department of Infectious Diseases, Faculty of Medicine, University of Miyazaki, 5200 Kihara, Kiyotake, Miyazaki 889-1692, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: katsuaki\_sato@med.miyazaki-u.ac.jp

receptors (Sato and Fujita 2007; Shortman and Naik 2007; Villadangos and Schnorrer 2007). DCs process such exogenous Ags intracellularly and present them to  $CD4^+$  T cells via major histocompatibility complex class II (MHC II) for induction of  $CD4^+$  effector T ( $CD4^+$  T<sub>eff</sub>) cells (Dudziak et al. 2007; Villadangos and Schnorrer 2007; Hildner et al 2008). DCs also show an unusual specialisation in their MHC class I (MHC I) presentation pathway to prime  $CD8^+$  T cells. Although most cells use their MHC I to present peptides derived from endogenously synthesised proteins, DCs have the capacity to deliver exogenous antigens to the (MHC I) pathway, a phenomenon known as cross-presentation, that underlies the generation of cytotoxic T lymphocyte (CTL) immunity (Dudziak et al. 2007; Villadangos and Schnorrer 2007; Hildner et al 2008). DCs thereby play a crucial role in the link between innate and adaptive immunity.

pDCs represent a specialised DC population that is capable of producing large amounts of type I interferon (IFN-I) by sensing single-stranded RNA or unmethylated CpG DNA through endosomal toll-like receptor (TLR) 7 and 9, respectively (Gilliet et al. 2008; Swiecki and Colonna 2010). Upon binding of their ligands, both TLRs recruit a cytoplasmic adaptor MyD88 and initiate downstream signalling pathways, in which the activation of nuclear factor kB (NF-kB) is involved in the production of proinflammatory cytokines, whereas the activation of inhibitor of NF-kB (IkB) kinase (IKK)- $\alpha$  leading to phosphorylation and nuclear translocation of IFN regulatory factor (IRF)-7 is critical for the production of IFN-I (Kaisho and Tanaka 2008).

pDCs are believed to provide an initial line of host defense against viral infection because of their capacity to produce IFN-I (Gilliet et al. 2008; Swiecki and Colonna 2010). In addition, pDCs may exert a pleiotropic activating or inhibitory role in the adaptive regulation T-cell-mediated of immune responses and immunopathogenesis (Salio et al. 2004; Ochando et al. 2006; Lande et al. 2007; Irla et al. 2010). Although pDCs are identified by the combination of multiple cell surface molecules such as Gr-1 (Asselin-Paturel et al. 2001; Yoneyama et al. 2005) or bone marrow stromal antigen 2 (BST-2; Krug et al. 2004; Yoneyama et al. 2005; Banchereau and Pascual 2006), sialic acid-binding immunoglobulin (Ig)-like lectin-H (Siglec-H) is predominantly found on the cell surface of pDCs in lymphoid organs (Blasius and Colonna 2006; Zhang et al. 2006; Puttur et al. 2013). To evaluate the contribution of pDCs to the immune system precisely, we have used a diphtheria toxin receptor (DTR)-based approach (Jung et al. 2002; Kissenpfennig et al. 2005) targeting Siglec-H to produce Siglech<sup>dtr/dtr</sup> knock-in (KI) mice that allows inducible in vivo selective ablation of pDCs (referred to as pDC-ablated mice; Takagi et al. 2011). Using these mice, we provided the evidence that pDCs play a crucial role in the regulation of inflammation and T-cell immunity in vivo.

## 28.2 Results

## 28.2.1 Inducible Ablation of pDCs in Mice

Siglech<sup>dtr/dtr</sup> mice were born at the expected frequencies, and homozygous mice were healthy. To validate the Siglech<sup>dtr/dtr</sup> mice in terms of DT-induced pDC elimination, Siglech<sup>dtr/dtr</sup> mice received a single injection of DT (pDC-ablated mice), and we monitored 2 days later the subsequent frequency of pDCs among leukocytes in spleen (Spl), peripheral lymph nodes (PLNs), and bone marrow (BM). Treatment with DT at 1 µg/mouse almost completely ablated pDCs among leukocytes in pDC-ablated mice within 24 h after initial DT treatment, whereas this treatment had no effect in wild-type (WT) mice (see Fig. 28.1a). Histological analysis of pDC-ablated mice confirmed that pDCs disappeared following the injection with DT, whereas it had no effect on the localisation of CD11c<sup>high</sup> cDCs (Fig. 28.1b).

Therefore, pDC-ablated mice provide the possibility to analyse the effect of conditional ablation of pDCs on immune responses in vivo.

## 28.2.2 pDCs Exacerbates TLR9-Mediated Systemic Inflammatory Responses in vivo

We addressed the roles of pDCs in the TLR9-mediated inflammatory response in vivo (Fig. 28.2). After administration of CpG-A known as TLR9 ligand (Iwasaki



**Fig. 28.1** Conditional ablation of pDCs in *Siglech*<sup>dtr/dtr</sup> mice. (**a**) The frequency of CD11c<sup>+</sup>BST2<sup>+</sup> pDCs in Spl, PLNs, and BM obtained from WT mice and pDC-ablated mice was analysed by flow cytometry. Data are represented by a dot plot, and numbers represent the proportion of CD11c<sup>+</sup>BST2<sup>+</sup> cells among leukocytes in each quadrant. (**b**) Immunofluorescent microscopic analysis was performed on frozen horizontal sections of Spl obtained from WT mice and pDC-ablated mice. Sections were stained for CD19 (*green*), CD3 $\epsilon$  (*blue*), and BST2 (*red*) or CD11c (*red*). All data are representative of at least three independent experiments

and Medzhitov 2004) plus D-galactosamine (D-GalN), WT mice died within 24 h after the administration of CpG-A plus D-GalN with a marked elevation in serum concentrations of IFN- $\alpha$ , IFN- $\beta$ , interleukin (IL)-6, and IL-12p40. In contrast, pDC-ablated mice were more resistant to the TLR9-mediated lethality than WT mice, which was accompanied by a dramatic reduction in serum cytokine levels.

Taken together, these results indicate that pDCs are required for the initiation of the TLR9-mediated systemic inflammatory response in vivo.

## 28.2.3 pDCs Participate in the Induction of T-Cell Responses in vivo

We examined the roles of pDCs in the induction of Ag-specific response of CD4<sup>+</sup> T cells in vivo under TLR9-mediated inflammatory conditions (Fig. 28.3). Following immunisation with ovalbumin (OVA) protein plus CpG-A, CD4<sup>+</sup> T cells obtained from pDC-ablated mice showed lower proliferation and production of IFN- $\gamma$  as well as frequency of T helper 1(T<sub>H</sub>1) cells than did CD4<sup>+</sup> T cells from WT mice.

Collectively, these results indicate that pDCs are involved in the induction of Ag-specific CD4<sup>+</sup> T-cell responses in vivo.

We also examined the generation of CTLs after immunisation with OVA protein combined with CpG-A and anti-CD40 mAb (Fig. 28.4). Although WT mice showed efficient generation of MHC I-OVA tetramer<sup>+</sup>CD44<sup>high</sup>CD8<sup>+</sup> T cells and CD8<sup>+</sup>IFN- $\gamma^+$  T cells as well as significant cytotoxic activity against targeted cells, pDC-ablated mice had a reduction in the generation of OVA-specific CTLs.

Collectively, these results indicate that pDCs contribute to the generation of CTLs through the cross-presentation of soluble Ag.

#### **28.3** Perspective and Conclusion

In this chapter, we describe a DTR-based gene targeting strategy that targets the *Siglech* gene and allows the selective elimination of pDCs in vivo. Using those mice, we have demonstrated the importance of the functions of pDCs for the control of innate and adaptive immune responses in vivo.

Although a variety of immune and nonhematopoietic cells reportedly express TLR9 and respond to its ligands (Iwasaki and Medzhitov 2004), the contribution of pDCs to the induction of the TLR9-mediated inflammation in vivo remains unclear. pDC-ablated mice manifested a near-complete abrogation of IFN-I secretion and modest reduction of proinflammatory cytokine production following injection with the TLR9 ligand. Thus, pDCs could be the primary and major source of IFN-I, but they also might contribute to the proinflammatory cytokine release in response to



**Fig. 28.2** pDCs induce TLR9-mediated systemic lethal inflammation. WT mice (n = 6) and pDCablated mice (n = 6) were injected with CpG-A complexed to in vivo-jetPEI<sup>TM</sup> transfection reagent plus d-GalN. (a) Survival was monitored for 5 days or (b) serum production of IFN- $\alpha$ , IFN- $\beta$ , TNF- $\alpha$ , IL-6, and IL-12p40 was measured at 3 h after injection by enzyme-linked immunosorbent assay (ELISA). Data are the mean  $\pm$  s.d. \*P < 0.01 compared with WT mice. All data are representative of at least three independent experiments

TLR9 ligand in vivo. Collectively, these findings suggest that pDCs play a pivotal role in the initiation of TLR9-mediated inflammation.

There has been controversy regarding whether pDCs are capable of inducing  $T_{eff}$ -cell responses in vivo (Villadangos and Schnorrer 2007; Swiecki and Colonna 2010). Analysis of pDC-ablated mice revealed that pDCs enhanced the induction of the CD4<sup>+</sup>  $T_{eff}$ -cell responses when using antigenic protein plus TLR9 ligand for immunisation. Furthermore, pDCs appeared to act as APCs to generate CTLs through cross-presentation of antigenic protein under the TLR9-mediated inflammatory conditions in vivo. These phenomena suggest that the appropriate endosomal TLR ligands are needed for licensing of pDCs in terms of the activation status for the induction of the  $T_{eff}$ -cell responses. On the other hand, we observed that pDCs promoted the maturational changes of cDCs under TLR9-mediated inflammatory conditions (Takagi et al. 2011). Therefore, pDCs could provide help signals to cDCs for their optimal activation to initiate  $T_{eff}$ -cell responses



**Fig. 28.3** pDCs potentiates Ag-specific CD4<sup>+</sup> T cell-responses in vivo. WT mice (n = 6) and pDC-ablated mice (n = 6) were immunised with CpG-A plus OVA protein. At 14 days after the immunisation, Spl CD4<sup>+</sup> T cells were cultured with WT CD11c<sup>+</sup> DCs in the presence or absence of OVA protein for the measurements of proliferative response by [<sup>3</sup>H]thymidine incorporation (a) and production of IFN- $\gamma$  by ELISA (b). Data are the mean  $\pm$  s.d. \**P* < 0.01 compared with WT mice. (c) Intracellular production of IFN- $\gamma$  in the cultured CD4<sup>+</sup> T cells was analysed by flow cytometry. Data are represented by a dot plot, and numbers represent the proportion of IFN- $\gamma^+$  cells among gated CD4<sup>+</sup> T cells in each quadrant. All data are representative of at least three independent experiments



**Fig. 28.4** pDCs promote Ag-specific CD8<sup>+</sup> T cell responses in vivo. WT mice (n = 6) and pDC-ablated mice (n = 6) were immunised with CpG-A complexed to in vivo-jetPEI<sup>TM</sup> transfection reagent, anti-CD40 mAb, and OVA protein, and then the mixture of unpulsed CFSE<sup>low</sup> cells plus Ag-pulsed CFSE<sup>high</sup> cells was injected 5 days after the immunisation. At 6 days after the immunisation, splenocytes were analysed for the generation of MHC I-OVA tetramer<sup>+</sup>CD44<sup>high</sup> CD8<sup>+</sup> T cells (**a**), for intracellular IFN- $\gamma$ -producing CD8<sup>+</sup> T cells (**b**) and for in vivo cytotoxic activity (**c**) by flow cytometry. Data are represented by a dot plot (**a**, **b**), and numbers represent the proportion of MHC I-OVA tetramer<sup>+</sup>CD44<sup>high</sup> cells (**a**) and IFN- $\gamma$ <sup>+</sup> cells (**b**) among gated CD8<sup>+</sup> T cells in each quadrant, or by a histogram (**c**), and numbers represent the ratio of unpulsed CFSE<sup>low</sup> cells to Ag-pulsed CFSE<sup>high</sup> cells. All data are representative of at least three independent experiments



Fig. 28.5 Proposed model for the role of pDCs in the control of TLR9-mediated innate and adaptive immune responses

through the production of IFN-I as well as the interaction of CD40 and CD154 (Takagi et al. 2011).

In conclusion, we demonstrate that pDCs play a crucial role in initiating the TLR9-mediated inflammation and T-cell immunity in vivo. The observations led us to hypothesise that pDCs act as primary cells to induce inflammation upon the recognition of TLR9 ligands released from tissue cells under sterile pathophysiological conditions and/or microbes during the infection. Subsequently, pDCs could activate cDCs and other leukocytes through the secretion of IFN-I as well as costimulation for the amplification of inflammation. Concomitantly, pDCs and cDCs cooperatively activate Ag-specific naive T cells for the differentiation of T<sub>eff</sub> cells under the TLR9-mediated inflammatory conditions. Thus, these scenarios might account for the establishment of TLR9-mediated innate and adaptive immune responses (Fig. 28.5). On the other hand, accumulating evidence proposes that pDCs act as a key source of aberrant IFN-I production in chronic autoimmune diseases such as psoriasis and systemic lupus erythematosus (SLE), in which patients often present with elevated levels of serum IFN-I (Gilliet et al. 2008) Thus, further study will be needed to analyse how pDCs control innate and adaptive immune responses leading to the initiation of psoriasis and SLE, and to test the possibility whether pDCs represent an attractive potential therapeutic target for the amelioration of these IFN-I-associated autoimmune diseases.

#### 28.4 Methods

#### 28.4.1 Mice

The following mice at 8- to 12-weeks-old were used in this study:  $Siglech^{dtr/dtr}$  mice were cross-mated for more than nine generations with B6.mice (Charles River Laboratories), and  $Siglech^{+/+}$  littermates were used as WT mice (Takagi

et al. 2011). For systemic ablation of pDCs, *Siglech*<sup>dtr/dtr</sup> KI mice were injected i.p. with DT (1  $\mu$ g/mouse; Sigma-Aldrich). All mice were bred and maintained in specific pathogen-free conditions in the animal facility at RIKEN Research Center for Allergy and Immunology in accordance with institutional guidelines.

### 28.4.2 Cell Isolation

To prepare single-cell suspensions from Spl and PLNs, tissue samples were digested with collagenase type III (Worthington Biochemical) at 37 °C for 20 min, and were ground between glass slides. BM cells were flushed from the femurs and tibias. Splenocytes and BM cells were treated with RBC lysis buffer (Sigma-Aldrich) before suspension. Single-cell suspensions were obtained by forcing through a 100- $\mu$ m cell strainer (BD Bioscience). CD4<sup>+</sup> T cells and CD8<sup>+</sup> T cells were purified from spleen with mouse CD4 T lymphocyte Enrichment Set-DM and mouse CD8 T lymphocyte Enrichment Set-DM (both from BD Bioscience).

## 28.4.3 Flow Cytometry

Cells were stained with fluorescein-conjugated mAbs to mouse CD4 (RM4-5), CD8 $\alpha$  (53–6.7), CD11c (HL3), CD44 (IM7), H-2K<sup>b</sup> (AF6-88.5), IFN- $\gamma$  (XMG1.2), isotype-matched control mAb (BD Bioscience), mPDCA-1/BST2 (JF05-1C2.4.1), (Miltenyi Biotec), and H-2K<sup>b</sup> OVA tetramer (MBL). For the intracellular expression of cytokines, cells were incubated for 4 h with phorbol 12-myristate 13-acetate (PMA, 50 ng/ml; Sigma-Aldrich) plus ionomycin (500 ng/ml; Sigma-Aldrich) or OVA<sub>257-264</sub> peptide (10  $\mu$ M), plus GolgiPlug (BD Biosciences) during the final 2 h. Subsequently, the cells were resuspended in Fixation-Permeabilisation solution (BD Cytofix/Cytoperm kit; BD Biosciences) and intracellular cytokine staining was analysed with a FACSCalibur flow cytometer and CELLQuest Software (both from BD Biosciences).

#### 28.4.4 Immunohistochemical Analysis

Spl was embedded in OCT compound (Sakura Finetechnical) and frozen in liquid  $N_2$ . The tissue segments were sectioned with a cryostat at 8 µm. Frozen sections were fixed in cold acetone and blocked in TNB buffer (PerkinElmer Life Science) containing 5% normal rat serum. To block endogenous biotin, the sections were further treated with the Streptavidin/Biotin Blocking Kit (Vector Laboratories), and endogenous peroxidase activity was quenched with 1%  $H_2O_2$ . The primary Abs
were anti-CD19 mAb-FITC (1D3, BD Bioscience), anti-mPDCA-1/BST2 mAb-biotin (JF05-1C2.4.1), anti-CD11c mAb-biotin (HL3), and anti-CD3æ mAb-APC (145-2C11). The CD19 signal was then amplified by incubation with Alexa Fluor 488-conjugated anti-rat IgG (Invitrogen). The mPDCA1 and CD11c staining were revealed with a TSA signal amplification kit (PerkinElmer Life Science) according to the manufacturer's instructions. The sections were then incubated with Streptavidine-HRP (PerkinElmer Life Science) followed by Tyramide-Cy3 conjugate. At the end of the staining, slides were washed and mounted with Vectashield (Vector laboratories). The stained slides were examined with a BIOREVO fluorescence microscope (BZ-9000; KEYENCE).

## 28.4.5 In Vivo TLR Stimulation

Mice were intravenously (i.v.) injected with CpG-A (10  $\mu$ g/mouse; D19, ggTGCATCGATGCAgggggG, Sigma Aldrich) complexed to in vivo-jetPEI<sup>TM</sup> transfection reagent (10  $\mu$ l/mouse; Polyplus transfection) with a peritoneal (i.p.) injection of D-GalN (20 mg/mouse; Sigma-Aldrich). Survival was then monitored for 5 days or sera were collected at 3 h later.

#### 28.4.6 Detection of Cytokines

Sera were assayed for IFN- $\alpha$ , IFN- $\beta$  (PBL), TNF- $\alpha$ , and IL-6 and IL-12p40 (Life Technologies) by ELISA kits according to the manufacturer's instructions.

## 28.4.7 Immunisation

For the analysis of Ag-specific CD4<sup>+</sup> T-cell responses, mice were immunised i.v. with OVA protein (100  $\mu$ g/mouse; Sigma-Aldrich) plus CpG-A (10  $\mu$ g/mouse) complexed to in vivo-jetPEI<sup>TM</sup> transfection reagent (10  $\mu$ l/mouse), and the Spl was obtained 14 days after the immunisation. For the generation of Ag-specific CTLs, mice received an i.v. injection of CpG-A (10  $\mu$ g/mouse) complexed to in vivo-jetPEI<sup>TM</sup> transfection reagent (10  $\mu$ l/mouse) in combination with an i.p. injection of OVA protein (500  $\mu$ g/mouse) plus anti-CD40 mAb (10  $\mu$ g/mouse; clone 1C10, eBioscience), and Spl was obtained from the mice 6 days later.

## 28.4.8 Antigen Presentation Assay

 $CD4^+T$  cells  $(2 \times 10^5)$  were cultured with irradiated (15 Gy)  $CD11c^+DCs$   $(2 \times 10^4)$  in the presence or absence of OVA protein (1 mg/ml) for 3 days in 96-well flatbottomed plates (BD Biosciences). [<sup>3</sup>H]thymidine (GE Healthcare) incorporation was measured on day 3 for the last 18 h. In another experiment, the cells and the culture supernatants were collected to detect the production of cytokines.

## 28.4.9 In Vivo Cytotoxicity Assay

Syngeneic splenocytes were labeled with either 0.25 or 2.5  $\mu$ M carboxyfluorescein diacetate-succinimidyl ester (CFSE; Molecular Probes) at 37 °C for 10 min, and washed twice with cold PBS. CFSE<sup>high</sup> cells were subsequently pulsed with 10  $\mu$ M OVA<sub>257–264</sub> peptide (SIINFEKL) at 37 °C for 60 min. CFSE<sup>low</sup> cells served as an internal control and therefore were not pulsed with peptide. Cells were mixed at a 1:1 ratio, and then  $1 \times 10^7$  total cells were injected i.v. into mice 5 days after immunisation as described above. 24 h later, splenocytes from each mouse were analysed by flow cytometry to detect the presence of CFSE-labelled cells. The ratio of unpulsed CFSE<sup>low</sup> cells to pulsed CFSE<sup>high</sup> cells was calculated as an indication of Ag-specific lytic activity.

## 28.4.10 Statistical Analysis

Data are expressed as the mean  $\pm$ s.d. The statistical significance of the differences between the values obtained was evaluated by ANOVA and the Kaplan–Meier log-rank test. A p-value of <0.01 was considered significant.

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## Chapter 29 Mechanisms of Lysosomal Exocytosis by Immune Cells

#### Ji-hoon Song and Rikinari Hanayama

Abstract Lysosomal exocytosis is an essential process to regulate various immune responses. Many cells of immune systems have cell-specific secretory lysosomes, which are secreted in response to external stimuli, including neutrophil azurophil granules, platelet dense granules, eosinophil granules, basophil and mast cell histamine granules, and cytotoxic T lymphocyte (CTL) lytic granules. On the other hand, phagocytes such as macrophages, neutrophils, and dendritic cells contain many conventional lysosomes, which fuse with phagosomes to degrade the engulfed particles, and then the waste materials are expelled by lysosomal exocytosis. A failure of this process can lead to accumulation of waste materials, which in turn may aberrantly activate the phagocytes. Recent studies have identified various proteins that regulate the lysosomal exocytosis, and their dysfunctions were shown to cause several genetic immune disorders. This chapter highlights the current understandings of the molecular mechanisms of lysosomal exocytosis by immune cells and their relevance to the development of chronic inflammation.

**Keywords** Lysosomes • Exocytosis • Lysosomal digestive enzymes • Membrane trafficking • Membrane fusion • C2 domain-containing proteins • Lysosome-related disease • Chronic inflammation

J.-h. Song

R. Hanayama (🖂)

Laboratory of Immune Network, WPI Immunology Frontier Research Center (IFReC), Osaka University, 3-1 Yamada-oka, Suita, Osaka 565-0871, Japan

Laboratory of Immune Network, WPI Immunology Frontier Research Center (IFReC), Osaka University, 3-1 Yamada-oka, Suita, Osaka 565-0871, Japan

Department of Immunology, Kanazawa University Graduate School of Medicine, 13-1 Takara, Kanazawa, Ishikawa 920-8640, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: rikinari-hanayama@umin.ac.jp

## 29.1 Introduction

Exocytosis, in which subcellular vesicles fuse with plasma membrane and the contents within the vesicles are subsequently released to the extracellular environment, is crucial for various fundamental physiological processes (Wu et al. 2014). These include release of neurotransmitters within the synaptic vesicles from neurons (Jahn and Fasshauer 2012), secretion of insulin from pancreatic beta cells in response to the elevation of blood sugar level (Leibiger et al. 2008), and antigen presentation through which macrophages and dendritic cells display foreign antigens in complex with major histocompatibility complexes (MHCs) on their surface (Vyas et al. 2008). Most cells store their secretory products in specialised secretory granules, however, some cells have modified their lysosomal compartment so that it can function as secretory lysosomes (Blott and Griffiths 2002). Lysosomes are membrane-enclosed cytoplasmic organelles that hold approximately 60 types of acid-dependent digestive hydrolases including proteases, lipases, phospholipases, phosphatases, glycosidases, sulfatases, and nucleases for degradation of lysosomal membrane-enclosed particles (Luzio et al. 2007). Only a few cell types, mostly immune cells, contain secretory lysosomes, whose effector functions vary depending on the secretory cells (Blott and Griffiths 2002). For instance, cytotoxic T lymphocyte (CTL) and natural killer (NK) cells secrete membrane-bound Fas ligand, the pore-forming protein perforin, and granzyme serine protease from secretory lysosomes to induce the killing of cancer cells and cells infected by viruses (van Dommelen et al. 2006). Stimulation of mast cells and basophils through Immunoglobulin E (IgE) causes the secretion of histamine and serotonin from secretory lysosomes which elicits allergic reactions (Stone et al. 2010). This evidence strongly suggests that lysosomal exocytosis is a crucial process for proper immune responses. It is therefore considered that an impairment of lysosomal exocytosis can lead to the development of immune disorders. Actually, several lines of evidence have demonstrated that the dysfunctions of lysosomal exocytosis are closely related to several genetic disorders, such as Chediak–Higashi syndrome, Griscelli syndrome, and Hermansky-Pudlak syndrome, which are characterised by severe immunological deficiency (Stinchcombe et al. 2004).

In several cell types, conventional lysosomes are also secreted by exocytosis. Phagocytes such as macrophages, neutrophils, and dendritic cells take up a variety of extracellular particles and internalise them into a subcellular compartment, known as the phagosome, which in turn fuse with lysosomes (forming phagolysosomes), to digest these enclosed particles (Fairn and Grinstein 2012). Proteins in the phagolysosomes are digested into small peptides, loaded onto MHC class II molecules, and then transported to the surface of the phagocytes for antigen presentation by the fusion of the phagolysosomes with plasma membrane (Vyas et al. 2008). At the same time, the indigestible waste materials generated within these phagolysosomes are released into the extracellular environment by exocytosis (Medina et al. 2011; Xu et al. 2012). A failure of this exocytosis can lead to the accumulation of waste materials inside the phagocytes, which in turn aberrantly

activate innate immune responses leading to the development of chronic inflammation (Okabe et al. 2005; Kawane et al. 2006). Thus, the exocytosis of both secretory lysosomes and conventional lysosomes have to be properly regulated in the physiological settings, and we describe the current understanding of its molecular mechanisms.

## 29.2 Biogenesis, Maturation, and Transport of Secretory Lysosomes

Secretory proteins destined for secretory lysosomes are synthesised in the rough endoplasmic reticulum, then surrounded by the vesicles coated with COP II protein to bud towards Golgi apparatus (Ramalho-Santos et al. 2001). During their passage, the sugar chains of the secretory proteins are modified with mannose 6-phosphate residue, thereby binding to mannose 6-phosphate receptor in *trans*-Golgi network (Le Borgne and Hoflack 1997). Then these proteins are aggregated and are packaged in clathrin-coated vesicles. The clathrin coat is subsequently disassembled, letting the vesicles fuse with late endosomes or phagosomes, where the secretory proteins are dissociated from mannose 6-phosphate receptor due to the acid environment. As the endosomes and phagosomes become more mature by fusing with one another, the protein aggregates become more condensed and their lumen becomes more acidic. This acidification within the vesicle lumen is driven by V-ATPases, which locate within the membrane of the vesicles and translate the energy from hydrolysis of ATP to transport protons  $(H^+)$  across intracellular and plasma membrane (Marshansky and Futai 2008). Within these acidified vesicular lumen, the protein aggregates are degraded by lysosomal hydrolase (Swanson 2006). These vesicles further fuse with lysosomes forming endolysosomes or phagolysosomes. For the release of these degraded products into the extracellular space, intracellular Ca<sup>2+</sup> level should be elevated. The activation of signalling receptors and/or ion channels, which exist on the cell surface and the intracellular vesicles, cause the elevation of intracellular  $Ca^{2+}$  level (Cheng et al. 2010). For example, it is well known that signals from cell surface receptors such as Fc receptors activate the phospholipase C  $\gamma$  and inositol 1,4,5-triphosphate signalling pathways, thereby causing the release of  $Ca^{2+}$  from the endoplasmic reticulum (Sanchez-Mejorada and Rosales 1998). Ca<sup>2+</sup> binding proteins on the lysosomal vesicle detect this Ca<sup>2+</sup> elevation as a signal to drive the vesicles along microtubules towards the microtubule organising center (MTOC) by kinesin family members, from which the vesicles are transported to the cell periphery (Blott and Griffiths 2002). Once they reach the cellular periphery, the vesicles are detached from the kinesin, followed by actin-based movement towards the fusion site of the plasma membrane and the secretory proteins are released by fusion of endolysosomes or phagolysosomes with plasma membrane.

## 29.3 Mechanisms of Membrane Fusion

## 29.3.1 Rabs

The Rab family of small GTPases, which consists of more than 70 mammalian members, are localised to intracellular membranes and plays a central role in membrane vesicle trafficking, ensuring that vesicles are transported to their correct target destinations (Stenmark 2009). They play a central role in the process of membrane trafficking and fusion by associating with various components such as SNAREs and motor proteins. As do other small GTPases, Rab switches between the GDP-bound inactive form and the GTP-bound active form. A GDP/GTP exchange factor (GEF) catalyses the conversion from the GDP-bound to GTP-bound form, thereby facilitating the association of Rab with the intracellular organelle structures including plasma membrane and trafficking vesicles. Vesicles associating with GTP-bound Rab directly bind motor proteins or indirectly via motor adaptor proteins, and move along the actin filaments or microtubules. Subsequently, Rab proteins interact with tethering factors that are located at the target sites of the plasma membrane where exocytosis occurs (Blott and Griffiths 2002). After the fusion event, the active form of Rab is converted to the inactive form by a GTPaseactivating protein (GAP), then promoting the conjugation of Rab to GDP dissociation inhibitor (GDI), which stabilises the inactive form (Seabra and Wasmeier 2004).

## 29.3.2 SNAREs

SNARE proteins mediate fusion of vesicles with their target membrane when the vesicles are recruited to the fusion site (Chen and Scheller 2001). An animal genome encodes at least 35 SNAREs, each of which is associated with the membrane of a particular organelle, such as plasma membrane and intracellular compartments that are destined to fuse. Several immune cells have SNARE proteins associated with their secretory lysosomes: For instance, mast cells have VAMP2, VAMP7, and SNAP23, and neutrophils have syntaxin3, syntaxin4, VAMP2, and SCAMP (Lorentz et al. 2012). There are several classifications for SNAREs based on their location, structural features, and sequence homology. Based on their intracellular location, SNAREs can be categorised into two types, v-SNAREs and t-SNAREs, which are located on the membrane of transport vesicles and the membrane of the target site, respectively. Transport vesicles can fuse with the fusion site of the target membrane through the distinct interaction of v-SNARE and t-SNARE. The process is promoted by Rab proteins and Rab-interacting tethering molecules, which associate with SNAREs and facilitate appropriate combination of v-SNARE and t-SNARE in two membranes which can find each other. During the process of membrane fusion, v-SNARE and t-SNARE are assembled to form a *trans*-SNARE complex, bridging them more closely and promoting the fusion. In the regulated exocytosis, however, fusion cannot be completed without the elevation of intracellular  $Ca^{2+}$  level which is sensed by  $Ca^{2+}$  sensor proteins. The representative  $Ca^{2+}$  sensor proteins are C2 domain-containing proteins such as synaptotagmin, Munc13, and ferlin protein family (Martens and McMahon 2008). These molecules bind to lipid bilayers of fusing membranes in a  $Ca^{2+}$ -dependent manner, triggering membrane fusion through the interaction with SNARE proteins via complexin, which is known as a priming molecule required for the assembly of SNARE proteins (Brose 2008).

## 29.3.3 Synaptotagmin and Munc13 Family

The timing of membrane fusion is regulated by  $Ca^{2+}$  sensor proteins. For example, synaptic vesicle fusion essential for neurotransmitter release from neurons is regulated by synaptotagmins which facilitate Ca<sup>2+</sup>-dependent fusion of synaptic vesicle with the plasma membrane. Synaptotagmins are located on the synaptic vesicles containing two linked C2 domains that mediate Ca2+-dependent binding to anionic phospholipids such as phosphatidylserine (PS) in the inner leaflet of the plasma membrane, which is a critical process for membrane fusion (Martens and McMahon 2011). The pocket structures that are shaped by loops of the C2 domains facilitate the binding of  $Ca^{2+}$  to synaptotagmins. Although this region is negatively charged due to the abundance of aspartic acid in the pockets, Ca<sup>2+</sup> binding alters the net charge from negative to positive charges, thus allowing the C2 domain of synaptotagmins to interact with the anionic phospholipids in the plasma membrane (Martens 2010). The human synaptotagmin family consists of 17 members, and most of them are highly expressed in the nervous system. However, synaptotagmin VII (Syt VII) is also found in various tissues including immune cells (Martinez et al. 2000). Syt VII is present on the lysosomal membrane and regulates  $Ca^{2+}$ triggered exocytosis by binding to the plasma membrane. In macrophages, Syt VII is required for efficient uptake of large particle loads, by promoting the delivery of lysosomal membrane to phagocytic cups (Czibener et al. 2006). The expression of Syt VII is upregulated in dendritic cells during LPS-induced maturation, and it mediates translocation of MHC class II to the cell surface for antigen presentation by the fusion of phagolysosomes with plasma membrane (Becker et al. 2009).

The Munc13 protein family is another C2 domain-containing protein family which works as a Ca<sup>2+</sup> sensor for lysosomal exocytosis. The roles of Munc13 have been extensively studied in CTLs in terms of the exocytic machinery of the secretory lysosomes, which causes the release of membrane-bound Fas ligand, the pore forming protein perforin, and granzyme serine protease to induce the killing of target cells (Trapani and Smyth 2002). In CTLs, lysosomal exocytosis is mediated by a complex formed of small GTPase Rab27A and its effector Munc 13–4 that tethers the lytic granules to the plasma membrane to allow fusion (Elstak et al. 2011). Mutations in the *Munc* 13–4 gene cause familial hemophagocytic

lymphohistiocytosis type 3, which causes immunodeficiency due to defects in the lysosomal exocytosis of cytolytic enzymes by CTLs.

## 29.3.4 Ferlin Family

The ferlin family members consist of six mammalian members (Posev et al. 2011). These proteins carry at least four tandem cytosolic C2 domains and a single C-terminal transmembrane domain required for anchoring to the plasma membrane. The common function of ferlin family proteins is to mediate the fusion of cytoplasmic vesicles with the plasma membrane in exocytosis. Dysferlin is the most thoroughly studied ferlin, and its mutations cause limb-girdle muscular dystrophy 2B and Miyoshi myopathy (Han and Campbell 2007). Dysferlin localises to the cytoplasmic vesicles and plays a critical role in muscle membrane repair by mediating the fusion of vesicles with the plasma membrane, thereby serving as a membrane patch in the disrupted regions (Han and Campbell 2007). Myoferlin was identified as the protein that shares the highest homology with dysferlin (Davis et al. 2000). Both dysferlin and myoferlin possess seven C2 domains in the cytoplasmic region, and the first C2 domain was shown to bind PS in a calciumdependent manner (Fig. 29.1; Posey et al. 2011). Myoferlin was identified as a protein expressed in the plasma membrane of myoblasts undergoing fusion and has been implicated in the repair of injured plasma membranes (Doherty et al. 2005). However, we have recently found that myoferlin is highly expressed in various phagocytes (particularly inflammatory macrophages), raising a possibility that myoferlin might play a role in the fusion process of lysosomal exocytosis in these phagocytes (Song and Hanayama, unpublished results).

## 29.3.5 Myoferlin

Myoferlin was specifically localised to lysosomes in macrophages. The myoferlindeficient macrophages had three times more lysosomes, compared to the wild-type



Fig. 29.1 Structure of myoferlin. Myoferlin is a novel regulator of lysosomal exocytosis by phagocytes which binds to phosphatidylserine via the first C2 domain in a  $Ca^{2+}$ -dependent manner

cells, suggesting that myoferlin deficiency caused accumulation of lysosomes. Electron microscopic analysis revealed that myoferlin-deficient macrophages carried many lysosomal vesicles filled with undigested debris and some membranous materials inside them. Myoferlin was also localised to the phagolysosomes that carry bacteria after phagocytosis, playing a role in the release of waste materials of the digested bacteria by mediating the fusion with cell plasma membrane. Due to impaired release of waste materials after bacterial phagocytosis, myoferlindeficient macrophages carried much indigestible debris inside the phagolysosomes, which caused augmented and prolonged expression of various inflammatory cytokines, such as IL-6, IL-1 $\beta$ , and TNF- $\alpha$ . These findings indicate that proper release of waste materials after phagocytosis is essential for the resolution of inflammatory responses by macrophages. When we injected bacteria into the mouse peritoneal cavity to promote the phagocytosis by the peritoneal exudate cells, we found both gene and protein levels of inflammatory cytokines were strongly augmented in the myoferlin-deficient mice. However, myoferlin-deficient mice displayed more resistance to the bacterial injection, compared to the WT mice, all of which died within 3 days after injection.

To clarify the cause of the death, we considered the following model (Fig. 29.2): myoferlin is expressed at the lysosomal membrane (including phago-lysosomes). After completion of bacterial phagocytosis, myoferlin interacts with PS on the plasma membrane in a calcium-dependent manner, thus promoting a fusion for



Fig. 29.2 Model of myoferlin-mediated lysosomal exocytosis. Myoferlin localises to the lysosomal membrane of various phagocytes. Upon calcium stimulation, myoferlin binds to phosphatidylserine in the inner leaflet of the plasma membrane, promoting the fusion with lysosomes for the release of indigestible waste materials and lysosomal enzymes inside the lysosomes



Accumulation of debris

Fig. 29.3 Myoferlin is a double-edged sword in inflammation. After phagocytosis and digestion of bacteria, macrophages release the debris in a myoferlin-dependent manner. During these processes, inflammatory cytokines are upregulated and lysosome enzymes are secreted. Myoferlin deficiency causes the accumulation of debris in the macrophages, which leads to augmented inflammatory cytokine expressions but reduced lysosomal enzyme secretion

the release of waste materials. At the same time, myoferlin also promotes the release of cytolytic lysosomal enzymes from phagolysosomes, which increased the likelihood of mortality in severe infections. Indeed, we detected very strong cytolytic activity of lysosomal enzymes in the ascites of WT mice, but it was significantly reduced in the myoferlin-deficient mice. These findings define myoferlin's novel roles in lysosomal exocytosis by macrophages, which increases the mortality in severe infection (Fig. 29.3). Inhibiting myoferlin will prevent the release of cytolytic lysosomal enzymes and increase the survival, which could be a novel strategy for treating severe infection.

## 29.4 Future Directions

In this chapter, we described the molecular mechanisms regulating lysosomal exocytosis by immune cells, particularly focusing on the functions of C2 domaincontaining proteins. In physiological settings, various immune or inflammatory responses elevate  $Ca^{2+}$  levels in phagocytes. However, the mechanisms that yield  $Ca^{2+}$  to allow the release of indigestible waste materials from phagolysosomes have not been elucidated completely. If the fusion between phagolysosomes and plasma membrane occurs during the early stage after phagocytosis, this may result in the escape of bacteria that have not been entirely killed in the phagolysosomes. Therefore, the timing of fusion must be strictly regulated, suggesting there may be a mechanism that senses the completion of bacterial digestion. We assume that the Ca<sup>2+</sup> released from the digested bacteria inside phagolysosomes can be transferred to the cytoplasm via calcium ion channels that are localised to the phagolysosomes, such as mucolipin-1 (also known as TRPML1). Therefore, a local increase in Ca<sup>2+</sup> may promote the binding of myoferlin in the phagolysosomes to the plasma membrane. It will be interesting to determine whether mucolipin-1 mediates this process. Clarifying the regulatory mechanisms will provide new insights into chronic inflammatory mechanisms and may facilitate the development of novel therapeutic approaches based on the regulating lysosomal exocytosis.

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# Chapter 30 Potential Therapeutic Natural Products for the Treatment of Obesity-Associated Chronic Inflammation by Targeting TLRs and Inflammasomes

#### Yoshinori Nagai, Hiroe Honda, Yasuharu Watanabe, and Kiyoshi Takatsu

Abstract Obesity-associated chronic inflammation is a key event to type 2 diabetes mellitus. Recent advances in deciphering the various immune cells and signalling networks that link the immune system and metabolic system have contributed to our understanding of the pathogenesis of obesity-associated chronic inflammation. Recent studies have suggested that pattern recognition receptors in the innate immune system recognise various kinds of endogenous ligands, and play a crucial role in initiating or promoting obesity-associated chronic inflammation. These findings have also informed new therapeutic strategies based on immuno-modulation. Chinese herbal medicines have been used to treat type 2 diabetes mellitus in Asian countries. With the rapid advancement of novel technologies and the increased research on natural products, many new plant-derived extracts and active compounds have been identified to exhibit anti-inflammatory effects. Here we overview natural products that inhibit activation of pattern recognition receptors, particularly Toll-like receptors and inflammasomes, as potential

Y. Nagai (🖂)

e-mail: takatsuk@med.u-toyama.ac.jp

Y. Watanabe

Department of Immunobiology and Pharmacological Genetics, Graduate School of Medicine and Pharmaceutical Science for Research, University of Toyama, 2630 Sugitani, Toyama-shi, Toyama 930-0194, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: ynagai@med.u-toyama.ac.jp

H. Honda • K. Takatsu (⊠) Department of Immunobiology and Pharmacological Genetics, Graduate School of Medicine and Pharmaceutical Science for Research, University of Toyama, 2630 Sugitani, Toyama-shi, Toyama 930-0194, Japan

Toyama Prefectural Institute for Pharmaceutical Research, 17-1 Nakataikouyama, Imizu City, Toyama 939-0363, Japan

Department of Immunobiology and Pharmacological Genetics, Graduate School of Medicine and Pharmaceutical Science for Research, University of Toyama, 2630 Sugitani, Toyama-shi, Toyama 930-0194, Japan

therapeutic agents for the treatment of obesity-associated chronic inflammation. We also discuss molecular mechanisms by which the natural products regulate pattern recognition receptors, with a particular focus on our findings regarding unique compounds of *Glycyrrhiza uralensis*.

**Keywords** Chronic inflammation • *Glycyrrhiza uralensis* • Glycyrrhizin • Inflammasome • Isoliquiritigenin • Metabolic disorder • Natural product • Toll-like receptor

## **30.1 Introduction**

Obesity has become a worldwide health problem because it is strongly associated with metabolic syndromes including type 2 mellitus (T2DM), atherosclerosis, and ischemic heart diseases (Hotamisligil 2006; Schenk et al. 2008). Accumulating evidence indicates that chronic-low grade inflammation plays a crucial role in the pathogenesis of obesity-related metabolic dysfunction (Kalupahana et al. 2012; Osborn and Olefsky 2012). The chronic inflammatory alternations are associated with dynamic changes in the composition and function of immune cells in various tissues such as adipose tissue, pancreatic islet, liver, muscle, and hypothalamus (Ouchi et al. 2011; Ryan et al. 2012; Lumeng and Saltiel 2011). A large number of inflammatory immune cells infiltrate into adipose tissue during the course of obesity. M1-like macrophages accumulate in adipose tissue and are major sources of inflammatory mediators such as tumour necrosis factor (TNF)- $\alpha$  and IL-6 (Zevda and Stulnig 2007). It has been reported that the infiltration of CD8<sup>+</sup> T cells (Nishimura et al. 2009) and neutrophils precedes macrophage accumulation and promotes the recruitment and activation of macrophages (Elgazar-Carmon et al. 2008).

An obese state results in an elevation of circulating levels of fatty acids (FAs) and, subsequently, an increase in inflammation of adipose tissue (Fielding et al. 1996). Adipose macrophages play critical roles in the immune responses through several FA-sensing mechanisms, such as pattern recognition receptors (PRRs). PRRs have provided great insights into immune responses against pathogens (Kawai and Akira 2009). Several families of PRRs such as Toll-like receptors (TLRs) and nucleotide oligomerisation domain (NOD)-like receptors (NLRs) have been discovered. It is now becoming ever more apparent that these PRRs are not only able to recognise microbial components but also mediate immune responses to endogenous molecules, including those arising in metabolic disorders, such as FAs. These endogenous molecules have been termed danger-associated molecular patterns (DAMPs) and have similar functions as microbial components to activate immune responses (Chen and Nunez 2010). For example, saturated FAs activate TLR4 and drive cytokine production in macrophages by activating the nuclear factor-kB (NF-kB) pathway, leading to increased expression of proinflammatory target genes (Shi et al. 2006; Senn 2006).

Inflammation induces insulin resistance via a two-hit process. Firstly, activated immune cells accumulate in tissues, such as adipose tissue, and release proinflammatory cytokines, such as TNF- $\alpha$ . Secondly, these cytokines act on insulin target cells, causing insulin resistance. In the target cells, activation of TLRs and/or TNF receptor leads to activation of serine kinases, including IkB kinase (IKK) and c-Jun N-terminal kinase (JNK), which inhibit insulin signalling (Osborn and Olefsky 2012). In addition, IL-1 $\beta$  is another key cytokine that impairs insulin sensitivity and insulin secretion by pancreatic  $\beta$  cells (Tack et al. 2012).

Even today, plant-derived natural products and their derivatives and synthetic mimics make up a considerable portion of current drugs. These products have played an important role in treating T2DM especially in Asian countries. With the rapid advancement of novel technologies and the increased research on antidiabetic natural products, many new plants, their extracts, and their active compounds have been identified to exhibit anti-inflammatory and antidiabetic effects. We reported that glycyrrhizin (GL) and isoliquiritigenin (ILG), components of Glycyrrhiza uralensis (G. uralensis), inhibit TLR4 signalling at the receptor level, resulting in inhibition of NF-kB and mitogen-activated protein kinases (MAPKs) activation (Honda et al. 2012). Furthermore, ILG potently inhibits NLRP3 inflammasome activation independent of its inhibitory action on TLR4 (Honda et al. 2014). Our in vivo study revealed that ILG attenuated high-fat diet (HFD)-induced adipose tissue inflammation and insulin resistance by inhibiting IL-1 $\beta$  and caspase-1 production in adipose tissue (Honda et al. 2014). On the basis of these findings, natural products derived from G. uralensis may serve as lead compounds for the development of new antidiabetic drugs.

This review article highlights the recent discoveries of the anti-inflammatory effects and mechanisms of action of natural products that target PRRs. We overview the roles of innate immunity and discuss potential mechanisms by which it participates in chronic inflammation of obesity-associated inflammation. We also overview our findings and other natural products that target TLRs and inflammasomes.

## **30.2 Innate Immune Receptors That Induce Obesity-**Associated Inflammation and Insulin Resistance

#### 30.2.1 Toll-Like Receptors

Exogenous signals can modify inflammatory responses in obesity. TLRs are transmembrane receptors that are important for sensing conserved structural moieties of microorganisms and for the subsequent induction of proinflammatory responses (Kawai and Akira 2009). Following ligand recognition, they activate the NF-kB and MAPK pathways to induce the production of proinflammatory cytokines that are important for evading pathogens. TLRs also sense nonmicrobial endogenous ligands, such as dietary FAs (Chen and Nunez 2010). Recent advances in deciphering TLR signalling networks reveal that ligation of TLRs by the endogenous ligands similarly activates proinflammatory pathways as microbial ligands in various organs, including adipose tissue, liver, and central nervous system.

TLR4 is the most important member of the TLR family proteins for LPS recognition and LPS-mediated inflammatory responses (Hoshino et al. 1999). There is a body of evidence suggesting that TLR4 is an attractive candidate for linking innate immune responses to obesity and insulin resistance. Firstly, TLR4 expression is increased in adipose tissue inflammatory macrophages in obesity (Shi et al. 2006; Nguyen et al. 2007). Secondly, TLR4 KO mice or mice with a loss-offunction mutation in the TLR4 gene are protected from obesity-induced insulin resistance (Shi et al. 2006; Tsukumo et al. 2007), Thirdly, hematopoietic cellspecific deletion of TLR4 ameliorates HFD-induced hepatic and adipose tissue insulin resistance (Saberi et al. 2009). Fourthly, saturated FAs released by adipocyte lipolysis activate the NF-kB pathway in vitro through TLR4 on macrophages (Suganami et al. 2007). Additionally, LPS derived from the gut microflora can leak into the circulation in obesity, resulting in higher LPS concentration in serum, and LPS signals through TLR4 stimulate production of proinflammatory cytokines in adipose tissue (Cani et al. 2008). Another report describes that an adipokine resistin derived from adipose tissue directly binds to TLR4 in the hypothalamus and leads to the activation of MAPKs signalling and promoting insulin resistance through MyD88 (Benomar et al. 2013), suggesting that resistin is an endogenous TLR4 ligand, which links hypothalamic inflammation with insulin resistance.

Radioprotective 105 (RP105) was identified as a TLR family protein expressed on B cells (Miyake et al. 1995). Both RP105 and TLR4 contain 22 LRRs in their extracellular portions, suggesting the possible involvement of RP105 in the LPS-induced responses. In fact, RP105-deficient mice show reduced LPS-dependent proliferation and CD86 upregulation in B cells, albeit to a lesser extent than TLR4-deficient mice (Ogata et al. 2000; Nagai et al. 2002). We have found that murine epididymal white adipose tissue (eWAT) expresses RP105 mRNA and this expression was markedly increased by HFD supplementation (Watanabe et al. 2012). The cell surface expression of RP105 is also increased on inflammatory M1 ATMs by HFD. Using a coculture system composed of 3 T3-L1 adipocytes and macrophage cell lines, we have shown that RP105 mRNA expression is increased in macrophages in parallel with the upregulation of TNF- $\alpha$  mRNA expression, although to a lesser extent expression of TLR4 mRNA is observed in the contact coculture system. Furthermore, HFD-induced obesity, adipose tissue inflammation, and insulin resistance are severely attenuated in RP105 KO mice compared with wild-type (WT) and TLR4 KO mice. These results clearly suggest that the induction of obesity-related inflammation and metabolic disorders by HFD may require or be dependent on the RP105 pathway rather than the TLR4 pathway. However, RP105 may recognise lipids other than palmitic acid, inasmuch as RP105-deficient macrophages normally respond to this FA as well as WT macrophages (Watanabe et al. 2012).

## 30.2.2 Nod-Like Receptors and Inflammasomes

NLRs and inflammasomes are cytoplasmic receptors and play an important role in the host defence against microbial infection and the homeostasis of the gut. Genetic evidence shows that the impaired recognition and killing of commensal bacteria in NLR-deficient mice can contribute to the development of metabolic disorders (De Nardo and Latz 2011). NOD proteins propagate inflammatory signals in response to peptidoglycan (PGN) (Carneiro et al. 2008). NOD1 recognises D-glutamyl-meso-diaminopimelic acid-containing PGN found in Gram-positive bacteria, whereas NOD2 recognises muramyl dipeptide present in all bacteria (Carneiro et al. 2004, 2008). NOD1/2 double KO mice are protected from HFD-induced inflammation, lipid accumulation, and insulin resistance in adipose tissue and liver (Schertzer et al. 2011). Conversely, NOD1 ligands induce profound acute insulin resistance in mice without major systemic inflammation, potentially mediated by direct action on hepatocytes and adipocytes and indirect action on skeletal muscle.

Activation of NLRP3 inflammasome is regulated by various sterile stimuli, including cholesterol crystals,  $\beta$ -amyloid, palmitic acid, and ceramides. It is generally accepted that two signals are required for NLRP3 inflammasome activation. One is a NF-kB-dependent priming step that induces the transcription of pro-IL-1 $\beta$  and NLRP3. Another is an activation step that induces the activation of caspase-1. Normal activation of NLRP3 inflammasome contributes to host defence, but several studies suggest that excessive activation leads to the development of obesity-associated inflammation and insulin resistance.

Islet amyloid polypeptide (IAPP) is deposited in the pancreas and associated with the loss of  $\beta$  cell function in T2DM. The observation of NLRP3-dependent IL-1 $\beta$  production by macrophages in response to IAPP implied a potential role for NLRP3 in promoting IL-1 $\beta$  secretion in T2DM (Masters et al. 2010). Interestingly, an antidiabetic drug glyburide blocks IL-1 $\beta$  production by macrophages in response to IAPP. Direct involvement of NLRP3 in obesity has been confirmed in studies that NLRP3 KO mice fed HFD display reduced caspase-1 activation and pro-IL-1 $\beta$  expression in adipose tissue compared with WT mice (Stienstra et al. 2011). Moreover, NLRP3 KO and caspase-1 KO mice are more protected from HFD-induced insulin resistance. The decrease in insulin sensitivity is a consequence of NLRP3 inflammasome-mediated activation of effector T cells that, through the release of interferon- $\gamma$ , mediate downstream pathways resulting in insulin resistance.

## **30.3** Natural Products That target TLR or Inflammasome-Associated Inflammation

## 30.3.1 Herbal Medicines with Anti-Inflammatory Activity

Chinese herbal formulas with antidiabetic effects are well developed such that a number of these formulas have commonly been used in diabetic patients since ancient times. The 10 most frequently used Chinese herbs in the period from 2004 to 2009, for the treatment of T2DM, include *Radix Astragali*, *Rhizome Dioscoreae*, *Radix Rehnabbiae*, *Radix Salviae Miltiorrhizae*, *Radix Puerariae*, *Rhizoma Coptidis*, *Fructys Lycii*, *Poria*, *Rhizoma Alismatis*, and *Fructus Corni* (Qi et al. 2010). However, it is unclear whether these Chinese herbs have as potent anti-inflammatory effects as those of Western antidiabetic drugs. The antidiabetic Chinese drugs are mostly heat-clearing and detoxifying drugs (Zhang 2007). It has been reported that heat-clearing and detoxifying drugs usually have anti-inflammatory effects (Ren et al. 1994). The main active compounds of antidiabetic Chinese herbs, including polysaccharides, terpenoids, flavonoids, and alkaloids, usually have wide anti-inflammatory activities. Therefore, these activities may exert beneficial effects on the inflammatory process of T2DM.

## 30.3.2 Potent Inhibitors of TLR4 and NLRP3 Inflammasome Activation Derived from G. uralensis

Glycyrrhiza plants (licorice) have been used as herbal medicine worldwide for over 4000 years (Shibata 2000). Several studies have reported that Chinese and Japanese herbal medicines or their components regulate innate immunity. Roasted licorice extracted by ethanol demonstrates potent anti-inflammatory action by reducing NO, PGE2, and proinflammatory cytokines. Among *Glycyrrhiza* plants, *G. uralensis* is one of the most used herbal medicines in Asian countries. Various components have been isolated from licorice, for example, triterpene saponins, flavonoids, isoflavonoids, and chalcones. Therefore, we have focused on the anti-inflammatory effects of *G. uralensis* and its components on innate immune responses.

#### 30.3.2.1 Glycyrrhizin

Glycyrrhizin (GL) is a triterpene saponin and considered to be the major biological active ingredient of *G. uralensis* (Fig. 30.1a). It has been reported that GL inhibits LPS-induced TLR4 internalisation (Schrofelbauer et al. 2009). We demonstrated that GL suppresses lipid A moiety of LPS-induced IL-6 production in mouse macrophages (Honda et al. 2012). Furthermore, administration of GL effectively suppresses the production of inflammatory cytokines, including TNF- $\alpha$  and IL-6, in

LPS-injected mice (Honda et al. 2012). We further demonstrated that GL attenuates lipid A-mediated activation of NF-kB and MAPKs, including JNK, p38, and ERK. It was suggested that GL might be incorporated into lipid bilayers and suppress the plasma membrane integrity (Schrofelbauer et al. 2009). LPS binds to MD-2 and this triggers homodimerisation of the TLR4/MD-2 complex, resulting in the induction of signal transduction (Saitoh et al. 2004). Then, we first examined whether GL affected the binding of LPS to the TLR4/MD-2 complex. GL stimulation inhibited its binding to the complex in a dose-dependent manner (Fig. 30.2a). Accordingly, LPS-induced TLR4 homodimerisation was not observed by GL stimulation. Moreover, GL inhibited not only LPS- but also TLR9 ligand CpG-DNA–induced inflammatory responses (our unpublished data), as suggested previously (Schrofelbauer et al. 2009). These results suggest that the inhibitory effect of GL is not specific to TLR4 and GL may not interact directly with TLRs to interfere with their signalling. GL may inhibit the activation of multiple TLRs at the plasma membrane by altering membrane integrity.



Fig. 30.1 Chemical structures of a saponin and flavanones/chalcones from extracts of *G. uralensis*. (a) Chemical structure of GL. (b) Chemical structures of ILG and its related flavanines/chalcones



**Fig. 30.2** Schematic diagrams of GL- and ILG-mediated suppression of the TLR4/MD-2 complex activation. (a) GL inhibits LPS binding to the TLR4/MD-2 complex. Accordingly, LPS-induced homodimerisation of the complex cannot be induced in the presence of GL. (b) LPS can bind to TLR4/MD-2 in the presence of ILG. However, LPS-induced homodimerisation of TLR4/MD-2 is inhibited by ILG

Interestingly, GL inhibits the activation of NLRP3 inflammasome induced by various stimuli, including adenosine triphosphate (ATP), monosodium urate (MSU), and nigericin (Fig. 30.3; Honda et al. 2014). It is noted that this inhibitory activity of GL is independent of its effect on TLR4 activation. LPS is a potent inducer of the priming of the NLRP3 inflammasome. LPS-induced pro-IL-1 $\beta$  protein expression and IL-1 $\beta$  mRNA expression were remarkably decreased by GL stimulation when added at the priming step (Honda et al. 2014). In contrast, these expressions were not affected when GL was added at the activation step. These findings clearly show that GL inhibits NLRP3 inflammasome activation without affecting TLR4 activation. Because absent in melanoma 2 (AIM2) inflammasome-dependent IL-1 $\beta$  and caspase-1 productions were decreased by GL stimulation, GL inhibits the activity of multiple inflammasomes (Fig. 30.3). However, a much higher concentration of GL is required for these inhibitory effects on inflammasomes than that of ILG. Therefore, GL may not be suggested to be a potential therapeutic agent for the treatment of obesity-associated inflammation.



Fig. 30.3 Schematic diagram of GL- and ILG-mediated suppression of the inflammasome activation in macrophage. NLRP3 inflammasome is activated by ATP, nigericin, MSU, and IAPP. AIM2 inflammasome is activated viral ds-DNA. The inflammasomes assemble into an oligomeric complex with ASC and activate caspase-1. Activated caspase-1 processes pro-IL-1 $\beta$  into mature IL-1 $\beta$ . ILG potently inhibits NLRP3 but not AIM2 inflammasome activation. GL inhibits both NLRP3 and AIM2 inflammasome activation, but a high concentration of GL is needed for the inhibitory effects compared with ILG. ILG and GL suppress ligand-induced formation of ASC pyroptosome

#### 30.3.2.2 Isoliquiritigenin

ILG is another component of *G. uralensis* and a flavonoid with a chalcone structure (Fig. 30.1b). It has a wide variety of biological activities, including antiallergic, antitumour growth, and antiplatelet aggregation activity. We recently demonstrated that ILG and the glycoside isoliquiritin potently suppresses lipid A-induced IL-6 production in mouse macrophages (Honda et al. 2012, Fig. 30.1).

It has been reported that ILG inhibits LPS-induced homodimerisation of TLR4 (Park and Youn 2010). We confirmed that LPS-induced homotypic interactin of TLR4 is potently inhibited by ILG stimulation (Honda et al. 2012, Fig. 30.2b). Then, we considered whether ILG affects the formation of the LPS-TLR4/MD-2 complexes on the cell surface. Whereas GL stimulation affects the binding of LPS to TLR4/MD-2, the amount of bound LPS is not decreased by ILG stimulation. It has been reported that an isothiocyanate sulforaphane suppresses TLR4 oligomerisation by forming adducts with cysteine residues in the extracellular domain of TLR4 (Youn et al. 2010). Because ILG has an  $\alpha$ ,  $\beta$ -unsaturated carbonyl group to react with the thiol group of cysteine (Kamei et al. 2003), it may interact with the extracellular domain of TLR4. It has been reported that ILG interacts with IKK directly and inhibits its kinase activity (Kumar et al. 2007). This is consistent with our finding that ILG strongly inhibits lipid A-induced IKK $\alpha/\beta$  phosphorylation (Honda et al. 2012). Thus, ILG suppresses TLR4/MD-2-mediated immune responses in multiple steps, at the receptor level and the downstream signalling level.

ILG also potently inhibits the activation of NLRP3 inflammasome independent of its inhibitory effects on TLR4 signalling (Fig. 30.3; Honda et al. 2014). Moreover, ILG is highly effective in inhibiting NLRP3 inflammasome activation by various stimuli including ATP, MSU, and nigericin, compared with GL and the NLRP3 inhibitor parthenolide. Among selected flavanones/chalcones of *G. uralensis* (Fig. 30.1b), an aglycone liquiritigenin as well as ILG markedly attenuates ATP-induced NLRP3 inflammasome activation. Glycosides (liquiritin, liquiritin apioside, isoliquiritin, and isoliquiritin apioside) have no inhibitory effects on the NLRP3 inflammasome. Contrary to GL, ILG does not inhibit poly (dA:dT)induced activation of the AIM2 inflammasome. As ILG suppresses NLRP3- but not AIM2-induced formation of ASC pyroptosome, it is unlikely that ASC is a molecular target of ILG. NLRP3 itself or upstream of it may be a target of ILG.

An important observation is that ILG is highly effective in inhibiting islet amyloid polypeptide (IAPP)-induced NLRP3 inflammasome activation compared with GL and parthenolide (Honda et al. 2014). IAPP is a unique polypeptide constituent of amyloid deposited in pancreatic islets (Cooper et al. 1987; Westermark et al. 1987). This deposition is associated with disease progression of T2DM and triggers NLRP3 inflammasome activation in islet macrophages (Masters et al. 2010). The sulfonylurea drug glyburide inhibits IAPP-induced activation of NLRP3 inflammasome (Masters et al. 2010). It is noteworthy that a low concentration of ILG is more effective in inhibiting IAPP-induced activation of NLRP3 inflammasome than that of glyburide. The above observations clearly demonstrate that ILG would be useful to modulate TLR4- and NLRP3-inflammasome-mediated inflammation (Fig. 30.4). Thus, we evaluated the inhibitory effect of ILG on obesity-associated inflammation. ILG supplementation remarkably improves obesity, hyperlipidemia, hepatic steatosis, and insulin resistance in HFD-fed wild-type mice (Honda et al. 2014). Furthermore, ILG supplementation inhibits IL-1 $\beta$  and caspase-1 production in eWAT from wildtype mice fed with HFD for 4 weeks. At this time point, TNF- $\alpha$  production is not increased in eWAT from HFD-fed mice compared with that from normal diet-fed mice. These results clearly suggest that inflammasome activation occurs in eWAT at an early time point during obesity before TNF- $\alpha$ -associated inflammation. Moreover, ILG is suggested to be a potential therapeutic agent that targets NLRP3-associated adipose tissue inflammation in obesity.



Fig. 30.4 Schematic diagram of ILG-mediated suppression of TLR4 and NLRP3 inflammasome activation in macrophage. ILG inhibits LPS-induced homodimerisation of TLR4/MD-2 at the cell surface, thereby suppressing procaspase-1, NLRP3, IL-1 $\beta$  expression. ILG inhibits activation of NLRP3 inflammasome via a pathway that is independent of its inhibitory effect on the TLR4/MD-2 pathway. *Solid arrows* indicate the pathways that are related to NLRP3 activation. *Dashed arrows* indicate the pathways that related to TLR4/MD-2 activation

## 30.3.3 Other Natural Products That Target Innate Immune Receptors

Activation of TLR4 and NLRP3 inflammasome has been suggested to be linked to the development of not only obesity-associated diseases but also other inflammatory diseases, including bacterial/viral infection, sepsis, brain infarction, and cancers. Recent studies have reported that many natural products have an antagonistic activity against TLRs and inflammasomes. Among them, flavones or flavonoids are frequently reported to have inhibitory effects on the receptors. We overview some natural products, including flavone, flavonoid, polyphenol, and terpenoid, which have a therapeutic potential to treat TLR- or inflammasome-related inflammatory diseases.

#### 30.3.3.1 Sparstolonin B

Sparstolonin B (SsnB) is a novel polyphenol with structural features of xanthone and isocoumarin (Liang et al. 2011). SsnB is derived from a Chinese herb *Sparganium stoloniferum* (*S. stoloniferum*), which is a perennial aquatic plant grown in North and East China. Its tubers have been used in traditional Chinese medicine for the treatment of several inflammatory diseases. Liang at al. isolated SsnB from the tubers of *S. stoloniferum* and its biological activity (Liang et al. 2011). SsnB selectively inhibits TLR2- and TLR4-induced inflammatory cytokine expression in mouse macrophages, with attenuating phosphorylation of IkB $\alpha$  and MAPKs. Furthermore, SsnB may be associated with the intracellular domain of TLR4 and inhibits the recruitment of MyD88 to TLR4. Although further study is required to evaluate the biological properties of SsnB, it may be a promising lead for drug development to treat TLR2- or TLR4-associated inflammatory diseases.

#### 30.3.3.2 Baicalein

Bovine mastitis is considered to be a bacterial infection of the mammary gland and causes the greatest economic loss to dairy farming around the world. *Scutellaria baicalensis Georgi* (*S. baicalensis Georgi*) is a traditional Chinese medicine and has been used to treat mastitis. The active components of the root of *S. baicalensis Georgi* are flavonoids. It contains four major flavonoids, baicalin and its aglycone baicalein, and wogonoside and its aglycone wogonin. It has been reported that baicalein has a therapeutic effect on endotoxin-induced tissue injury including acute liver failure, glomerulonephritis, and septic shock in mice. Then, He et al. investigated the anti-inflammatory effect and mechanism of baicalein in LPS-induced mastitis in mice (He et al. 2015). Baicalein treatment significantly attenuated LPS-induced pathological changes of mammary tissues with decreasing

cytokine expression myeloperoxidase activity. Western blot analysis showed that baicalein inhibited LPS-induced phosphorylation of IkB $\alpha$  and MAPKs. Moreover, LPS-induced upregulation of TLR4 and MyD88 expression in mammary tissue was decreased by baicalein stimulation in a dose-dependent manner. This decrease may be responsible for the inhibitory effects of baicalein against TLR4-mediated responses. Interestingly, baicalin decreases TLR2 expression and suppresses TLR2-mediated responses in mammary gland cells in *Staphylococcus aureus*-induced mastitis. Therefore, the major flavonoids of *S. baicalensis Georgi* have inhibitory effects on multiple TLRs and their related mastitis. Other natural products including bergenin (Gao et al. 2015), salidroside (Li et al. 2013a), and curcumin (Fu et al. 2014) are suggested to inhibit LPS-induced mastitis in mice.

#### 30.3.3.3 Resveratrol

A previous study identified the important roles of microtubules in the activation of NLRP3 inflammasome (Misawa et al. 2013). The authors demonstrated that inducers of NLRP3 inflammasome accumulated acetylated a-tubulin in the perinuclear region of mouse macrophages. These accumulated  $\alpha$ -tubulins stimulate the spatial arrangement of the mitochondria and promote the assembly of NLRP3 and ASC. They also demonstrated that the NAD<sup>+</sup>-dependent deacetylase SIRT2 regulates the acetylation of  $\alpha$ -tubulin. Resveratrol is a phytoalexin found in the skin and seeds of grapes and has been reported to have beneficial effects on the treatment of a variety of diseases such as cancer, atherosclerosis, T2DM, and obesity (Park and Pezzuto 2015). Misawa et al. reported that resveratrol suppresses NLRP3 inflammasome activation (Misawa et al. 2015). Resveratrol treatment inhibits the activation of NLRP3 inflammasome induced by various stimuli, including ATP, nigericin, silica, and MSU in mouse macrophages. Furthermore, resveratrol treatment inhibits IL-1ß production and neutrophil accumulation in the MSU-induced peritonitis mouse model. In contrast, flagellin- or ds-DNA-induced AIM2 inflammasome activation was not inhibited by resveratrol treatment. It has been reported that resveratrol regulates the enzymatic activity of SIRT family members including SIRT2 (Suzuki and Koike 2007). The authors speculate that the mechanism by which resveratrol suppresses NLRP3 inflammasome activation is that resveratrol inhibits acetylated  $\alpha$ -tubulin accumulation by promoting SIRT2 activation.

#### 30.3.3.4 Arglabin

Terpenoids are the largest class of secondary metabolites in plants and exhibit a broad range of biological activities (Toyang et al. 2013; Syrovets et al. 2005; Morad et al. 2013) and potential therapeutic effects on atherosclerosis, psoriasis, and liver cirrhosis (Cuaz-Perolin et al. 2008; Liu et al. 2013). Arglabin is an guaianolide sesquiterpene lactone and is mainly synthesised in *Artemisia glabella*, which grows in Kazakhstan. Abderrazak et al. reported that arglabin inhibited cholesterol crystal-

or ATP-induced activation of NLRP3 inflammasome in mouse macrophages (Abderrazak et al. 2015). LPS-induced cytokine production is not inhibited by arglabin stimulation, indicating that arglabin has no inhibitory effects on the NF-kB-dependent priming step of NLRP3 inflammasome. Furthermore, arglabin activates autophagy, which leads to increased degradation of NLRP3. In vivo analyses have revealed that arglabin supplementation decreases the levels of plasma IL-1 $\beta$ , total cholesterol, and triglycerides in an atherosclerosis mouse model ApoE<sub>2</sub>.Ki mice fed HFD (Abderrazak et al. 2015). Furthermore, arglabin supplementation markedly reduces the atherosclerosis lesion size in the aortic sinus and in whole aorta of HFD-fed ApoE<sub>2</sub>.Ki mice. Additionally, arglabin may convert M1 macrophages into M2 macrophages in the spleen and arterial lesions. Thus, arglabin is a promising natural compound that treats atherosclerosis through NLRP3 inflammasome inhibition.

#### 30.3.3.5 Chrysophanol

Chrysophanol (CHR) is a member of the anthraquinone family and is extracted from plants of the *Rheum genus*. CHR has been shown to have anti-inflammatory activity. A previous study has demonstrated that CHR inhibits caspase-1 and its downstream cytokine expression in colitis (Kim et al. 2010). It has been reported that IL-1 $\beta$  plays a crucial role in the development of inflammation in cerebral infarction (Li et al. 2013b). Zhang et al. examined the role of NLRP3 inflammasome in inflammatory processes of cerebral infarction by using middle cerebral artery occlusion (MCAO) and investigated whether CHR has any protective effect on the disease process (Zhang et al. 2014). The result shows that expression levels of NLRP3, ASC, caspase-1, and IL-1 $\beta$  in the brain are upregulated after MCAO. Furthermore, systemic administration of CHR is effective in preventing the infarct volume, brain oedema, and neurological deficits during MCAO with reducing the elevated expressions of NLRP3, caspase-1, and IL-1β. Although the underlying mechanisms mediating the observed neuroprotective effects of CHR are still unclear, CHR is a potential therapeutic agent for the treatment of ischemic stroke and other NLRP3-associated diseases.

#### 30.3.3.6 Luteoloside

Luteoloside is a flavone subclass of flavonoids and has potential anti-inflammatory effects (Hu and Kitts 2004). Furthermore, it has been reported that luteoloside inhibits the proliferation of colon cancer cells (Baskar et al. 2011). NLRP3 inflammasome plays an important role in the development of several cancers, including, colorectal cancer (Ungerback et al. 2012), intestinal cancer (Chen and Nunez 2011), and melanoma (Ahmad et al. 2013). Then, Fan et al. investigated whether NLRP3 inflammasome is involved in the process of hepatocellular carcinoma (HCC) and whether luteoloside is a potential agent against human hepatoma cells both in vitro and in vivo (Fan et al. 2014). The results have shown that luteoloside inhibits the

proliferation, migration, and the invasion of several HCC cell lines in vitro. Furthermore, in vivo treatment of luteoloside significantly inhibits the development and metastasis of HCC cells without any adverse effects. Interestingly, the expression levels of NLRP3, caspase-1, and IL-1 $\beta$  proteins are elevated in two HCC cell lines and these expressions are decreased by luteoloside treatment *in vitro*. ROS has been associated with cancer development. Fan et al. found that intracellular ROS is accumulated in the HCC cell lines and this is inhibited by luteoloside in a dosedependent manner (Fan et al. 2014). These results indicate that luteoloside suppresses the development of HCC cells by inhibiting the expression of NLRP3 components and the accumulation of intracellular ROS. Although it is still unclear whether luteoloside directly inhibits NLRP3 inflammasome activation in HCC cells, its effects may be beneficial for the prevention of NLRP3-associated tumour proliferation, invasion, and metastasis.

#### 30.3.3.7 Korean Red Ginseng

Korean red ginseng (*Panax Ginseng C.A. Meyer*) is one of the most studied traditional herbal medicines. Ginsenosides are saponins and the major active component of ginseng. Ginsenosides have anti-inflammatory effects by inhibiting NF-kB activation (Lu et al. 2009). Kim et al. reported that Korean red ginseng extract inhibits NLRP3 inflammasome activation induced by various stimuli, including ATP, nigericin, and aluminium potassium sulfate (Kim et al. 2014). Interestingly, Korean red ginseng extract inhibits double-stranded DNA (ds-DNA)- or *Listeria*mediated AIM2 inflammasome activation. Among several compounds of red ginseng, ginsenoside Rh1 and ginsenoside Rg3 are responsible for the inhibitory effects on both NLRP3 and AIM2 inflammasome activation. Because ginseng extract has been shown to decrease the elevation of ROS production and intracellular Ca<sup>2+</sup> in various cell types, these events are suggested to be mediators of the inhibitory effect of ginseng extract on NLRP3 inflammasome.

#### **30.4 Concluding Remarks**

There is accumulating evidence suggesting that traditional herbal medicines contain various kinds of natural compounds that suppress chronic inflammation through the inhibition of PRRs activation. There is as yet insufficient data to demonstrate the interaction between innate and adaptive immune systems contributing to adipose tissue inflammation and further studies are needed. Meanwhile, we have identified critical pharmacological activities and molecular mechanisms of two components of *G. uralensis* in suppressing TLR4/MD-2 signalling and NLRP3 inflammasome activation. ILG may be one of the most useful components of *G. uralensis* for the treatment of TLR4- and NLRP3-associated inflammatory disease. Our findings could contribute to the development of therapeutic approaches for the treatment of obesity-associated inflammation. Additionally, such development will require a much greater understanding of PRR/endogenous ligand interactions.

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# Part VI Chronic Inflammation and Adaptive Immunity

# Chapter 31 Human and Mouse Memory-Type Pathogenic Th2 (Tpath2) Cells in Airway Inflammation

Yusuke Endo, Kiyoshi Hirahara, Kenta Shinoda, Tomohisa Iinuma, Heizaburo Yamamoto, Shinichiro Motohashi, Yoshitaka Okamoto, and Toshinori Nakayama

Abstract Immunological memory has a central role in the adaptive immune systems that can efficiently eliminate pathogens such as virus and bacteria. Particularly, memory CD4 T cells function as a control tower of the adaptive immune systems. However, memory helper T (Th) cells are also involved in the pathogenesis of various chronic inflammatory diseases, including asthma. Recently, we have found IL-5-producing pathogenic population in memory Th2 cells in allergic asthma. We term this population memory-type 'pathogenic Th2 cells (Tpath2 cells)' in the airway. Several groups also reported distinct memory type Th2 populations, which produce a large amount of IL-5 or multiple cytokines in addition to IL-4 and IL-13. These populations seem to be responsible for the pathology of chronic inflammatory disorders. Our recent study has revealed that ST2 (a component of IL-33 receptor) expression on Tpath2 cells plays an important role for inducing pathogenicity in memory Th2 cells. Here, we highlight the

Y. Endo • K. Shinoda

K. Hirahara

T. Iinuma • H. Yamamoto • Y. Okamoto

Department of Otorhinolaryngology, Head and Neck Surgery, Graduate School of Medicine, Chiba University, 1-8-1 Inohana, Chuo-ku, Chiba 260-8670, Japan

S. Motohashi

T. Nakayama (🖂)

AMED-CREST, Chiba 260-8670, Japan e-mail: tnakayama@faculty.chiba-u.jp

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Department of Immunology, Graduate School of Medicine, Chiba University, 1-8-1 Inohana, Chuo-ku, Chiba 260-8670, Japan

Department of Advanced Allergology of the Airway, Graduate School of Medicine, Chiba University, 1-8-1 Inohana, Chuo-ku, Chiba 260-8670, Japan

Department of Medical Immunology, Graduate School of Medicine, Chiba University, 1-8-1 Inohana, Chuo-ku, Chiba 260-8670, Japan

Department of Immunology, Graduate School of Medicine, Chiba University, 1-8-1 Inohana, Chuo-ku, Chiba 260-8670, Japan

regulation and function of IL-33 and ST2 on memory Th2 cells, and review their roles in the induction and progression of chronic airway inflammation in both mice and human systems.

**Keywords** Pathogenic Th2 cells (Tpath2 cells) • Asthma • IL-33 • IL-5 • ST2 • p38 signal • Chronic rhinosinusitis (CRS) • Eosinophilic CRS (ECRS)

## 31.1 Memory-Type Pathogenic Th2 Cells (Tpath2 Cells) in Allergic Airway Inflammation

Chronic inflammation acts as a driver of both systemic disorders (including autoimmune diseases, cancer, and obesity) and organ-specific inflammatory disorders. In the lung, chronic airway inflammation causes various treatment-resistant diseases such as bronchial asthma, COPD, and lung fibrosis. Among these chronic inflammatory diseases, bronchial asthma affects 300 million people worldwide (Cohn et al. 2004). The development of asthma is provoked by a combination of risk factors. Recent studies emphasise the importance of genetic susceptibility and exposure to particular environmental factors and a history of infection. Continuous exposure to inhaled substances including pollutants and environmental allergens such as pollen, animal dander, and house dust mites is also thought to be critically involved in the development of chronic airway inflammation (Gregory and Lloyd 2011; Kim et al. 2010; Gelfand and Kraft 2009).

CD4 T cells are crucial for immune cell homeostasis and host defence. In their key role as helper cells they also drive immune-related diseases, such as autoimmune diseases and allergies. Animal models of allergic airway inflammation, as well as human bronchial asthma, have revealed the importance of cytokines produced by Th2 cells, namely IL-4, IL-5, and IL-13 (Lloyd and Hessel 2010). The contribution of these various cytokines to the pathophysiology of airway inflammation, airway hyperresponsiveness (AHR), eosinophilia, fibrosis, increased serum IgE, and other responses is well-recognised (Cohn et al. 2004; Rosenberg et al. 2013; Porter et al. 2011). A series of studies regarding human asthma patients and animal models revealed that the memory Th2 cells, which reside in the lung during disease remission, contribute to the persistence and progression of asthma (Christianson and Alam 2013). However, the factors that induce and sustain chronic inflammation through memory CD4 T cells remain unclear. The purpose of our research is to elucidate the mechanisms through which airway inflammation becomes chronic, and to establish basic technologies for the early detection, control, resolution, and repair of chronic inflammation.

It is well established that upon antigen stimulation, effector Th2 cells produce large amounts of IL-4, IL-5, and IL-13, that Th1 cells produce IFN- $\gamma$ , and that Th17 produce IL-17, IL-21, and IL-22 (Fig. 31.1, left). It remains unclear whether these polarised cytokine production profiles are preserved for an extended period of time in vivo during the memory phase. Recent studies have reported the identification of several distinct memory type Th2 cell subsets, which produce a large amount of


**Fig. 31.1 The diversity of memory Th2 cells**. Memory Th1/Th2/Th17 cells are generated from effector Th1/Th2/Th17 cells. Memory Th2 cells can be subdivided into several populations based on the expression of cell surface molecules and functional properties. In the airway and skin, IL-5-producing memory Th2 cells are characterised by the expression of ST2 and CCR8, respectively. IL-17-producing Th2 cells are also identified as CCR6<sup>+</sup> and CRTH2<sup>+</sup> populations in the airway. *Abbreviation*: CRTH2, Chemoattractant receptor–homologous molecule expressed on Th2 lymphocytes

IL-5 or IL-17 in addition to IL-4 and IL-13 (Fig. 31.1, right). These distinct memory Th2 cell populations appear to be important drivers of the pathology of chronic allergic diseases (Endo et al. 2011; Holt et al. 2009; Wang et al. 2010). We recently identified that a memory-type IL-5–producing highly pathogenic Th2 subpopulation induces eosinophilic airway inflammation, and named this population 'pathogenic Th2 (Tpath2) cells' (Figs. 31.1, right and 31.2). Moreover, we very recently demonstrated that the IL-33-ST2-p38 MAPK axis is crucial for the induction of the pathogenicity of memory Th2 cells in allergic airway inflammation in both mice and humans (Endo et al. 2015). Our findings revealed that IL-33 is one of the crucial factors in the induction and exacerbation of chronic airway inflammation through memory Th2 cellsk. In this chapter, we highlight recent findings in relation to the functions and characteristics of Tpath2 cells, focusing on the role of IL-33 in allergic airway inflammation.



**Fig. 31.2** Th1/Th2 cell differentiation and maintenance. After antigenic stimulation with APC, naïve CD4 T cells differentiate into effector Th1 or Th2 cells. Some of the effector Th1 or Th2 cells are maintained as memory Th1 or Th2 cells for long periods in vivo. Distinct IL-5–producing memory-type pathogenic Th2 cells (Tpath2 cells) are identified in allergic airway inflammation (Endo et al. 2011; Endo et al. 2015; Endo et al. 2014)

# 31.2 Crucial Roles for IL-33 and Its Receptor ST2 in the Induction of Pathogenicity in Memory Th2 Cells

IL-33 is a newly recognised member of the IL-1 superfamily and is present in the nucleus of various types of cells (Liew et al. 2010). IL-33 was originally identified as a ligand for the ST2 receptor (also known as IL-1RL1). Recent large-scale genome-wide association studies (GWAS) have shown that the IL33 and IL1RL1 genes are susceptible to the onset of asthma (Schmitz et al. 2005; Grotenboer et al. 2013). Furthermore, an increasing number of studies revealed the association between IL-33 and chronic airway inflammation (Table 31.1). Indeed, the levels of 1133 mRNA and protein are increased in the serum and tissues of asthma patients and in mouse models of airway inflammation (Lloyd 2010). In asthma patients, epithelial cells and airway smooth muscle cells are two major sources of IL-33, especially in the event of tissue damage (Prefontaine et al. 2010). The administration of neutralising anti-IL-33 antibodies or anti-ST2 antibodies to allergic mice inhibits eosinophilic recruitment, Th2 cytokine production, serum IgE, and mucus hyperproduction (Liu et al. 2009; Coyle et al. 1999). Consistent with these findings,  $Il33^{-i}$  mice or  $Il1rl1^{-i}$  mice show attenuated eosinophil infiltration into the bronchoalveolar lavage (BAL) fluid and pulmonary inflammation during airway inflammation (Besnard et al. 2011; Kurowska-Stolarska et al. 2008; Oboki et al. 2010). IL-33 induces the differentiation of Th2 effector cells from naïve CD4 T cells (Schmitz et al. 2005; Kurowska-Stolarska et al. 2008). Additionally, it 
 Table 31.1
 Summary of reviews and articles associated with IL-33 and chronic airway inflammation such as asthma

#### IL-33 and chronic airway inflammation

1. Prefontaine, D et al. Increased expression of IL-33 in severe as	sthma: evidence of expression by
airway smooth muscle cells. J. Immunol. 183:5094, 2009	ASMCs/ Human (Asthma)

2. Liew, FY et al. Disease-associated functions of IL-33: the new kid in IL-1 family. (Review) Nat. Rev. Immunol. 10:103, 2010 **Th2, DC, Macrophage/ Human, mouse** 

3. Ananda, SM et al. Interleukin-33 and the function of innate lymphoid cells. (Review) *Trends in Immunol.* 33:389, 2012 **Th2, DC, Macrophage/ Human, mouse** 

4. Lloyd CM. IL-33 family members and asthma – bridging innate and adaptive immune responses. (Review) *Curr. Opin. Immunol.* 22:800, 2010 Th2, DC, Macrophage/ Human, mouse

5. IL-33: an alarmin cytokine with crucial roles in innate immunity, inflammation and allergy. *Curr. Opin. Immunol.* 31:31, 2014 IL-33/ST2 Various types of asthma

IL-33 and ST2/ILRL1 are the genes reproducibly identified in all major genome-wide association studies

1. Gudbjartsson, DF et al. Sequence variants affecting eosinophil numbers associate with asthma and myocardial infarction. *Nat Genet* 41:342, 2009.

2. Moffatt MF et al. A large-scale, consortium-based genomewide association study of asthma. *N Engl J Med* 363:1211, 2010.

3. Torgerson DG et al. Meta-analysis of genome-wide association studies of asthma in ethnically diverse North American populations *Nat Genet* 43:887, 2011

4. Ramassamy A, Genome-wide association studies of asthma in population-based cohorts confirm known and suggested loci and identify an additional association near HLA. *PLoS ONE* 7:e44008, 2012.

5. BonnelykkeK et al. A genome-wide association study identifies CDHR3 as a susceptibility locus for early childhood astham with severe exacerbations. *Nat Genet* 46:51 2014.

is now known that IL-33 functions on other cell types in an antigen-independent fashion, including mast cells, macrophages, eosinophils, basophils, and type 2 innate lymphoid (ILC2) cells (Fig. 31.3) (Oboki et al. 2010; Scanlon and McKenzie 2012). ILC2 cells are a novel population of lineage marker-negative cells which produce large amounts of Th2 cytokines IL-5 and IL-13 in response to IL-33 (Scanlon and McKenzie 2012). The better understanding of the mechanisms through which IL-33 enacts both antigen-specific and antigen-independent effects in a variety of immune cells will lead to the design of effective therapeutic agents for patients with allergic inflammatory disorders such as chronic asthma.

IL-33 has the ability to induce strong Th2-type immune responses and eosinophilic inflammation in the lung and intestine (Lloyd 2010). However, the type of cells that IL-33 affects in these settings is still being determined. We found that IL-5-producing 'pathogenic Th2 cells (Tpath2 cells)' showed high ST2 expression (Endo et al. 2011, 2015). Thus, we considered the possibility that IL-33 induces the pathogenicity in memory Th2 cells (Fig. 31.4a). In fact, stimulation with IL-33 for 5 days selectively induced IL-5 production by memory Th2 cells but not effector Th2 cells (Fig. 31.4b). In contrast, IL-33 could not induce the upregulation of IL-4. Thus, IL-33 selectively enhances IL-5 production by memory Th2 cells, but not by



**Fig. 31.3** The target cells of IL-33 during chronic airway inflammation. Exposure to allergens and infectious microorganisms causes damage to the pulmonary epithelial and/or endothelial cells leading to the release of IL-33. IL-33 likely drives type-2 allergic responses via effects on type-2 innate lymphoid cells (ILC2) and Th2 cells via secretion of IL-4, IL-5, and IL-13. IL-33 leads to the generation of macrophages with an alternatively activated phenotype and to the recruitment, maturation, and survival of eosinophils. IL-33 is also able to induce airway hyperresponsiveness via the activation of mast cells and basophils

effector Th2 cells. Regarding the signal cascades activated by IL-33, the activation of MAPKs and NF-kB in mast cells has been reported (Fig. 31.5a) (Schmitz et al. 2005). In memory Th2 cells, we found that SB203580, a p38 MAPK inhibitor inhibited the IL-33–induced production of IL-5 (Fig. 31.5b). IL-33 selectively activates p38 MAPK, increases the expression of ST2, and augments the production of IL-5 in memory Th2 cells.



Fig. 31.4 IL-33 selectively induces IL-5 production by memory Th2 cells. (a) Schematic representation of the effects of IL-33 on memory Th2 cells, in which pathogenic Th2 cells are induced. (b) Antigen-specific memory and effector Th2 cells were cultured with IL-33 (1µg/ml) for 5 days. The cultured cells were stimulated with immobilised anti-TCR $\beta$  for 6 h. The intracellular-staining profiles of IL-5 and IL-4 are shown

## 31.3 IL-33-ST2 Pathway Drives Airway Inflammation Through Pathogenic Th2 Cells

The pathological role of the IL-33-ST2 pathway in memory Th2 cells was demonstrated in a memory Th2 cell-dependent airway inflammation model (Fig. 31.6a). In this model, ST2-expressing memory Th2 cells were increased after an OVA challenge in the lung. The genetic deletion of ST2 resulted in a significant decrease in mononuclear cell infiltration into the peribronchiolar regions of the lungs and in the number of inflammatory eosinophils in BAL fluid (Fig. 31.6b and c). Consistent with the results of the genetic deletion of ST2 from donor memory Th2 cells, the deletion of IL-33 in recipient mice abrogates inflammatory responses, including the recruitment of eosinophils, Th2 cytokine production, and mucus hyperproduction. Interestingly, there was no difference in the level of eosinophilic infiltration in the BAL fluid from mice that received  $IIIrII^{+/+}$  and  $IIIrII^{-/-}$  effector Th2 cells. This suggests that effector Th2 cells possess little reactivity to IL-33 and that this pathway is less important for the induction of eosinophilic inflammation in effector Th2 cells than it is in memory Th2 cells. Whether ILC2 cells can respond to IL-33 by producing IL-5 in the lung has previously been investigated (Halim et al. 2012). Thus, we assessed the relative contribution of memory Th2 cells to eosinophilic



**Fig. 31.5 IL-33** activates the p38-MAPK signalling pathway in memory Th2 cells. (a) IL-33 mediates its function by binding to a receptor complex comprising the ST2 and IL-1 receptor accessory protein (IL-1RAP), leading to the recruitment of the myeloid differentiation primary-response protein 88 (MYD88) complex. Although the precise mechanism is unclear, the complex is thought to activate at least two independent pathways involving the mitogen-activated protein kinase (MAPK) pathway as well as the NFkB pathway. (b) The intracellular-staining profiles of IL-5 and IL-4 in IL-33–treated memory Th2 cells in the presence of indicated signalling inhibitors. SB203580; p38 inhibitor, U0126; MEK inhibitor, SP600125; JNK inhibitor, Wortmanin; PI3K inhibitor

inflammation. The depletion of ILC2 cells in memory Th2 cell-induced airway inflammation in the lung using CD90.2 antibody did not affect the degree of airway inflammation. Therefore, at least in this model, the contribution of ILC2 cells to



Fig. 31.6 The pathogenicity of memory Th2 cells is ameliorated by the loss of IL-33-ST2 signalling. (a) The experimental protocols for memory-Th2-cell-dependent allergic inflammation. (b) Lung tissue specimens were fixed and stained with H&E. A representative staining pattern is shown. Scale bars: 100 mm. (c) The absolute cell numbers of eosinophils (Eos), neutrophils (Neu), lymphocytes (Lym), and macrophages (Mac) in the BAL fluid are shown. The values are the mean  $\pm$  SD of five mice per group

eosinophilic inflammation seems to be relatively smaller. Interestingly, endogenous IL-33 maintains the expression of ST2 and IL-5 production in memory-type Tpath 2 cells at steady state. The proportion of ST2-expressing memory CD4 T cells was increased even 42 days after OVA-Alum immunisation. Thus, IL-33 may have a crucial role in the progression and persistence of airway inflammation via the maintenance of Tpath 2 cells. Altogether, the IL-33/ST2 pathway appears to be critical for the induction and also the progression and persistence of memory-type Th2 cell-dependent eosinophilic airway inflammation.

# 31.4 IL-33–ST2–p38MAPK Axis Is Critical for the Induction of IL-5–Producing Tpath2 Cells in Human ECRS Patients

To gain more insight into the possible pathophysiological role of the effect of IL-33 on memory Th2 cells in human disease, we intensively investigated patients who suffered from chronic inflammation of the upper airway. Chronic rhinosinusitis (CRS) is a heterogeneous disease which is characterised by distinct cytokine production profiles and tissue-remodelling patterns (Hamilos 2011; Van Bruaene et al. 2008; Zhang et al. 2008). CRS can be divided into two phenotypes according to the presence of nasal polyps. CRS with nasal polyps (CRSwNP) is often accompanied by Th2-cell-skewed eosinophilic inflammation, whereas CRS without nasal polyps (CRSsNP) is characterised by a predominantly Th1-cell-skewed response (Hamilos 2011). IL-5 is more abundant in the nasal mucosal tissues of patients with CRSwNP than in patients with CRSsNP (Van Bruaene et al. 2008; Gevaert et al. 2006). CRSwNP is further subdivided into two types of diseases based on the extent of eosinophilic inflammation (particularly in people of East Asian descent) (Zhang et al. 2008): eosinophilic CRS (ECRS) and noneosinophilic rhinosinusitis (NECRS). We have found that the numbers of IL-33<sup>+</sup>PECAM1<sup>+</sup> endothelial cells were significantly elevated in nasal polyps from ECRS patients in comparison to nasal polyps from NECRS patients (Fig. 31.7a) in addition to substantial numbers of epithelial cells in the airway (Fig. 31.7b). The baseline expression levels of Th2 cytokines (IL4 and IL5), IL1RL1 and GATA3 are also higher in ECRS polyps (Fig. 31.8). In contrast, the expression levels of TBX21 are lower in ECRS polyps. Interestingly, IL-33 dramatically enhanced IL5 expression in CD45RO<sup>+</sup> memory CD4 T cells from the nasal polyps of ECRS patients (Fig. 31.8). Furthermore, the expression of *IL1RL1* was elevated after treatment with IL-33, which supports the results obtained from the murine memory Th2 cell experiments. In contrast, IL-33 was not observed to affect the expression of IL5 or *IL13* in CD45RO<sup>+</sup> memory CD4 T cells from the nasal polyps of NECRS patients. The IL-33-enhanced induction of IL-5 and IL1RL1 in CD45RO<sup>+</sup> memory CD4 T cells from the nasal polyps of ECRS patients was selectively inhibited by treatment with SB203580.



Fig. 31.7 IL-33<sup>+</sup>PECAM1<sup>+</sup> endothelial cells were detected in the nasal polyps of ECRS patients. (a) An immunofluorescence analysis (with staining for IL-33 [*red*], PECAM [*green*], and TOPRO3 [*blue*]) shows a representative section of nasal polyps from NECRS and ECRS patients. The frequency of IL-33<sup>+</sup>PECAM1<sup>+</sup> cells among the PECAM<sup>+</sup> cells of the nasal polyps from patients is shown (mean  $\pm$  SD; ECRS, n = 6; NECRS, n = 8) (*right panel*). (b) An immunofluorescence analysis (with staining for IL-33 [*red*] and TOPRO3 [*blue*]) of epithelial cells shows a representative section of nasal polyps from NECRS and ECRS patients

IL-25 is another epithelium-derived cytokine which causes eosinophilic inflammation via its receptor, IL-17RB (Fort et al. 2001; Petersen et al. 2012). IL-25 is produced by airway epithelial cells in response to various antigens and pathogens. It causes Th2-skewed inflammation in a specific but antigen-independent manner. Interestingly, IL-25 levels were increased in the nasal polyps obtained from patients with ECRS (Iinuma et al. 2015). Moreover, the enhanced production of Th2-cytokines from memory CD4 T cells was observed to be accompanied by increased IL-17RB expression in the nasal polyps obtained from patients with ECRS. Note that IL-17RB expression in tissue CD4 T cells was significantly correlated with the number of eosinophils in nasal polyps and with disease severity as measured by the CT score. Overall, IL-33/ST2-p38 and IL-25 signalling may play an important role in the induction of pathogenicity in tissue infiltrating memory CD4 T cells in human chronic allergic inflammatory diseases such as ECRS.



Fig. 31.8 IL-33 enhances IL-5 production of memory phenotype CD4 T cells in the polyps of ECRS patients. Schema of experimental procedures are shown (*top*). A quantitative RT-PCR analysis of *IL4*, *IL5*, *IL13*, and *ST2* (*IL1RL1*) in CD45RO<sup>+</sup> memory CD4 T cells from ECRS and NECRS patients was performed, and representative results in the culture group with or without IL-33 are shown

### 31.5 Conclusions

In summary, our study identifies memory Th2 cells as an important target of chronic inflammatory cues, including IL-33 and IL-25, in the pathogenesis of airway inflammation (Endo et al. 2015; Iinuma et al. 2015). The p38-mediated signalling pathway is critical for the TCR-independent IL-33-induced expression of IL-5 in both murine and human memory Th2 cells (Endo et al. 2015). For more than two decades, the 'Th1/Th2 paradigm' has been proposed as the cornerstone of immune responses ('Th1/Th2 balance disease induction model', Fig. 31.9, upper panel). Based on this 'classical' model, allergic diseases are considered to be the results of an imbalance in the favor of Th2 responses, and to be negatively regulated by Th1 cells. Conversely, autoimmune diseases are considered to be induced by the favoring of Th1 responses, and to be negatively regulated by Th2 cells (Szab et al. 2003). However, attempts to link common allergic diseases or autoimmune diseases to a simple 'Th1/Th2 paradigm' have been problematic (Gor et al. 2003). A number of lines of evidence suggest that autoimmune mechanisms cannot be reduced to the action of Th1 cells alone. We propose another model regarding the pathogenesis of so-called Th1, Th2, or Th17 diseases ('Pathogenic Th population disease induction model,' Fig. 31.9, lower panel). In this model, a pathogenic subpopulation of Th cells which possesses a distinct effector function, with the



**Fig. 31.9** The pathogenic Th population disease induction model. (a) The 'classical Th1/Th2 balance disease induction model'. One theory of immune regulation involves homeostasis between Th1 and Th2 activity. The overactivation of either pattern can cause disease, and either pathway can downregulate the other. Accordingly, the unbalanced generation of Th1 and Th2 cells is associated with the pathogenesis of Th1 diseases (autoimmune diseases) or Th2 diseases (allergic diseases), respectively. (b) The 'pathogenic Th population disease induction model'. In this model, the pathogenic subpopulations of Th cells that possess a distinct effector function are generated in vivo and are crucial for the pathogenesis of Th1, Th2, or Th17 diseases, regardless of the balance of the Th subsets. In Th2-mediated pathologies such as asthma or chronic dermatitis, the interleukin-5 (IL-5)-producing or IL-17–producing memory Th2 cell subpopulations are considered to be pathogenic Th2 cells (Tpath2). In Th17-related pathologies such as autoimmune diseases, the IL-23R<sup>+</sup> and T-bet<sup>+</sup> populations are considered to be pathogenic (Tpath17). A pathogenic Th1 (Tpath1) cell subpopulation has not been reported at this time. *Abbreviation*: T-bet, T-box transcription factor Tbx21

unique expression of cytokines and chemokine receptors, are generated in vivo and are crucial for the pathogenesis of Th1, Th2, or Th17 diseases regardless of the balance of these Th subsets (Endo et al. 2014). Further detailed studies focusing on memory-type pathogenic Th2 cells (Tpath2 cells) may lead to the better understanding of the 'Pathogenic Th population disease induction model' and the discovery of novel therapeutic targets for the curative treatment of chronic allergic inflammatory diseases.

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# Chapter 32 Controlling the Mechanism Underlying Chronic Inflammation Through the Epigenetic Modulation of CD4 T Cell Senescence

# Masakatsu Yamashita, Makoto Kuwahara, Junpei Suzuki, and Takeshi Yamada

Abstract Senescent cells acquire a senescence-associated secretory phenotype (SASP), which is characterised by the increased production of pro-inflammatory factors.

Senescent CD4 T cells also exhibit the SASP and may contribute to a component of age-associated inflammatory responses called inflammaging. However, the

M. Yamashita (🖂)

Division of Immune Regulation, Department of Proteo-Inovation, Proteo-Science Center, Ehime University, Matsuyama, Ehime 790-8577, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: yamamasa@m.ehime-u.ac.jp

M. Kuwahara

Department of Immunology, Graduate School of Medicine, Ehime University, Shitsukawa, Toon, Ehime 791-0295, Japan

Translational Research Center, Ehime University Hospital, Shitsukawa, Toon, Ehime 791-0295, Japan

Division of Immune Regulation, Department of Proteo-Inovation, Proteo-Science Center, Ehime University, Matsuyama, Ehime 790-8577, Japan

J. Suzuki

Translational Research Center, Ehime University Hospital, Shitsukawa, Toon, Ehime 791-0295, Japan

Department of Hematology, Clinical Immunology and Infectious Diseases, Graduate School of Medicine, Ehime University, Shitsukawa, Toon, Ehime 791-0295, Japan

T. Yamada

Department of Infection and Host Defenses, Graduate School of Medicine, Ehime University, Shitsukawa, Toon, Ehime 791-0295, Japan

Department of Immunology, Graduate School of Medicine, Ehime University, Shitsukawa, Toon, Ehime 791-0295, Japan

Translational Research Center, Ehime University Hospital, Shitsukawa, Toon, Ehime 791-0295, Japan

mechanism behind the CD4 T-cell SASP remains unclear. We recently found that a T-cell–specific *Menin* deficiency results in the premature senescence of T cells after antigenic stimulation, which is accompanied by the SASP. Menin, in part, acts by targeting *Bach2*, which is known to regulate immune homeostasis. Menin binds to the *Bach2* gene locus and controls its expression through the maintenance of active histone modification. Menin-dependent recruitment of PCAF and subsequent histone acetylation appears to be important for the maintenance of *Bach2* expression. Menin binding at the *Bach2* locus leads to a reduced *Bach2* expression in the senescent CD4 T cells. The forced expression of *Bach2* in *menin*-deficient CD4 T cells, as well as in senescent CD4 T cells, normalises the SASP. In addition, *Bach2* axis therefore plays a critical role in regulating the SASP in CD4 T cells.

**Keywords** Menin • Bach2 • Immunosenescence • Senescence-associated secretory phenotype (SASP) • Inflammaging • Epigenetics

#### 32.1 Introduction

Immunosenescence is defined by age-induced alterations of innate and adaptive immunity, and is involved in the age-related decline of immune functions. Immunosenescence causes an increase in the susceptibility of elderly individuals to infectious diseases and certain types of cancers (Finkel et al. 2007; Gavazzi and Krause 2002). In addition, immunosenescence induces a proinflammatory state and increases the susceptibility of autoimmunity such as rheumatoid arthritis (Lindstrom and Robinson 2010; Cavanagh et al. 2012). Immunosenescence is accompanied by alterations of CD4 T-cell–mediated hormonal immunity. Although the CD4 T-cell functions are more susceptible to ageing than CD8 T cells, the molecular mechanism of different sensitivity between CD4 and CD8 T cells has not been fully elucidated.

One of the characteristic features of age-related alterations of immune responses is an increased inflammatory trait. Senescent cells can significantly harm the tissue microenvironment through the acquisition of a senescent-associated secretory phenotype (SASP), which is characterised by a striking increase in the secretion of proinflammatory factors including cytokines, chemokines, matrix remodelling factors, and proangiogenic factors (Rodier and Campisi 2011; Tchkonia et al. 2013). The proinflammatory status induced by SASP is referred to as inflammaging. It is possible that senescent CD4 T cells exhibit the SASP and may thus contribute to the induction of inflammaging (Franceschi et al. 2000).

The number of naïve CD4 T cells decreases, whereas memory phenotype (MP) CD4 T cells increase with ageing. The age-dependent decline of the thymus is a major cause of this phenomenon. MP CD4 T cells increased with aging produce a large amount of inflammatory cytokines as compared with memory CD4 T cells that are induced by normal immunisation (Maue et al. 2009; Haynes and Lefebvre 2011). Furthermore, the characteristic features of naïve CD4 T cells change

drastically with ageing. Reduced IL-2 production and decreased clonal expansion were reported in naïve CD4 T cells from aged mice and humans. The formation of an immunological synapse, helper activity for B lymphocytes, and the differentiation of memory CD4 T cells are also impaired in naïve CD4 T cells from aged mice. These data suggest that naïve CD4 T cells senesce with ageing. Therefore, elucidating immunosenescence requires knowledge of CD4 T cell senescence.

Epigenetics is the study of heritable changes that affect gene expression without causing any changes in the DNA sequence. It was reported that one phenomenon associated with senescence is a change in the patterns of epigenetic modifications including histone modifications and DNA methylation (Jones et al. 2015; Salminen et al. 2015). Epigenetic change in CD4 T cells induced by environmental stimuli such as foreign antigens and pathogens can alter the CD4 T cell functions (Agarwal et al. 2000). Furthermore, global changes in the epigenetic landscape are sometimes observed in chronic-inflammation–driven diseases such as rheumatoid arthritis (Klein and Gay 2013). In addition, it was recently reported that inhibitors for histone deacetylase have been shown to have an anti-inflammatory effect (Cantley and Haynes 2013). Therefore, we focused on the epigenetic regulation of CD4 T-cell senescence and the subsequent induction of a proinflammatory status, which leads to the development of chronic inflammation in the future.

#### 32.2 Menin Deficiency Induces CD4 T-Cell Senescence

We have been studying the regulatory mechanisms of peripheral CD4 T cell differentiation and function and previously demonstrated that the epigenetic regulation of gene expressions plays a critical role in the acquisition and maintenance of the CD4 T-cell function (Nakayama and Yamashita 2009). For instance, we found that mixed-lineage leukemia 1 (MLL1), a histone methyltransferase, is required for the maintenance of the Th2 cell functions (Yamashita et al. 2006). MLL1 hetero-zygote Th2-cells gradually lose their ability to produce Th2 cytokines.

Next, we focused on the role of Menin, which is a component of the MLL1 complex (Yokoyama et al. 2004, 2005). A schematic representation of Menin is displayed in Fig. 32.1. MENIN, a tumour suppressor protein, is encoded by the *MEN1* gene in humans. Certain germinal mutations of MEN1 induce an autosomal-dominant syndrome characterised by concurrent parathyroid adenomas, gastro-enteropancreatic tumours and several other tumour types. Although Menin contains nuclear localisation signals within its C terminus, it has no other significant similarity with other proteins. The interactions between menin and MLL1, JunD, nuclear factor of kappa light polypeptide gene enhancer in B cells (NF-kB), peroxisome proliferator-activated receptor gamma (PPAR- $\gamma$ ), SMAD family member 3 (SMAD3),  $\beta$ -catenin, and RNA polymerase II have been previously reported (Balogh et al. 2006; Wu and Hua 2011). Therefore, menin is involved in both transcriptional activation and repression.

We crossed *Menin*<sup>flox/flox</sup> mice with *CD4-Cre* transgenic mice to generate T-cell–specific *Menin*-deficient (menin KO) mice (Kuwahara et al. 2014). The



Fig. 32.1 Schematic representation of Menin (*upper panel*) and Bach2 (*lower panel*). *LZ* leucine zipper, *NLS* nuclear localisation signal, *BTB* for BR-C, ttk and bab, *POZ domain* for Pox virus and the zinc finger domain, *CNC* Cap'n'collar, *bZip* basic leucine zipper

number of naïve CD4 T cells decreased, whereas MP CD4 T cells increased in Menin KO mice. The survival of the antigen-experienced CD4 T cells significantly decreased in the *Menin*-deficient mice, and the recall responses against antigens were impaired in a murine model of allergic airway inflammation and *Listeria monocytogenes* infection model.

Early cell-cycle arrest accompanied by the increased expression of cycledependent kinase (CDK) inhibitors is a hallmark of cellular senescence (Kuilman et al. 2010). An increased expression of CDK inhibitors *Cdkn1a*, *Cdkn2a*, and *Cdkn2b* was detected in in vitro activated *Menin* KO CD4 T cells. *Menin* KO CD4 T cells normally proliferate after TCR-stimulation in vitro, however, the growth rate was reduced after 4 days and did not further proliferate after 9 days. These results suggest that early cell arrest is induced in the *Menin* KO-activated CD4 T cells.

The decreased cell surface expression of CD27 and CD62L and increased expression of inhibitory receptors such as programmed cell death-1 (PD-1) and NK cell markers are characteristics of senescent and/or exhausted T cells (van Gisbergen et al. 2011; Fearon 2007; Moro-Garcia et al. 2012). The number of CD27 <sup>low</sup>/CD62L<sup>low</sup> cells markedly increased in the *Menin* KO CD4 T cells. The upregulation of PD-1 was also detected in the *Menin* KO CD4 T cells.

The high-level production of IL-6 and osteopontin (OPN) was detected in the *Menin* KO CD4 T cells. Proinflammatory chemokines, CCL-3, CCL-4, and CCL-5 were also secreted from the activated *Menin* KO CD4 T cells, and proangiogenic factors *Esm1*, *Pdgfa*, *S100a4*, and *Vegfc* were detected. Furthermore, the expression of genes encoding cytotoxic granules (*Gzm a, b, c, d, e, f,* and *Prf1*), which are involved in age-related cardiovascular and pulmonary diseases, strikingly increased in *Menin* KO CD4 T cells. These results suggest that the SASP is induced in the *Menin* KO CD4 T cells by TCR-stimulation.

Increased senescence-associated  $\beta$ -galactosidase (SA  $\beta$ Gal) activity is another maker of cellular senescence (Kuilman et al. 2010). Although the *Menin* KO CD4 T cells did not express a SA  $\beta$ Gal activity, a portion of the SA- $\beta$ Gal-positive cells rapidly increased in the *Menin* KO CD4 T-cell cultures after TCR stimulation, and a strong SA- $\beta$ Gal activity was detected in the *Menin* KO cells on day 7.

These experimental results indicate that *Menin* deficiency induces premature cellular senescence of the activated CD4 T cells.

# 32.3 The Prolonged Activation of NF-kB Is Involved in the Induction of the SASP in the *menin* KO-Activated CD4 T Cells

NF-kB signaling induces cellular senescence, and the transcription factor NF-kB subunit p65 (RelA) is on the chromatin of senescent cells (Chien et al. 2011). Enhanced phosphorylation of RelA (pSer534) was detected, and its DNA-binding activity increased in the *Menin* KO effector CD4 T cells. In contrast, the DNA binding activity of RelB did not increase. To assess the role of NF-kB signalling in the induction of the SASP in the *Menin* KO-activated CD4 T cells, the *Menin* KO CD4 T cells were treated with an NF-kB inhibitor, SM-7368, during the TCR-mediated activation phase. The treatment of *Menin* KO CD4 T cells with SM-7368 normalised the enhanced generation of IL-6-, IL-10, and OPN-producing cells in *Menin* KO CD4 T cells (Fig. 32.2). In addition, the expression of cytotoxic granules decreased in the SM-7368-treated *Menin* KO CD4 T cells. In contrast, the expressions of proinflammatory chemokines, angiogenic factors, and Cdk inhibitors did not normalise after SM-7368 treatment. These results indicate that the induction of the SASP in the *Menin* KO-activated CD4 T cells is in part regulated by the activation of the NF-kB signalling pathway.



**Fig. 32.2** Effect of pharmacological inhibition of the NF-kB activation on increased generation of IL-6-, IL-10, and OPN-producing CD4 T cells in the *Menin*-deficient activated CD4 T cells

## 32.4 Menin Inhibits the SASP by Maintaining Bach2 Expression

We identified Bach2 (BTB and Cap'n'collar (CNC) homology 1; basic leucine zipper transcription factor 2) as a downstream target of menin according to the results of a DNA microarray analysis. A schematic representation of Bach2 is displayed in Fig. 32.1. Bach2 belongs to the CNC gene family (Sykiotis and Bohmann 2010). The CNC gene family consists of two transcriptional repressors (Bach1 and Bach2) and four activators (NF-E2, Nrf1, Nrf2, and Nrf3) (Motohashi et al. 2002; Sykiotis and Bohmann 2010). Bach2 interacts with small Maf proteins and acts as a genetic inhibitor of the gene expression directed by the TPA response element, Maf recognition element, and antioxidant response element (Blank 2008; Oyake et al. 1996). Bach2 regulates Treg-mediated immune homeostasis and suppresses multiple CD4 T-cell effector programmes. Polymorphisms in *BACH2* are associated with multiple inflammatory and autoimmune diseases including rheumatoid arthritis and celiac disease. The involvement of Bach2 in memory CD8 T-cell formation has been recently reported. Therefore, Bach2 acts as a key regulator of T-cell–mediated immune homeostasis.

The expression of Bach2 (mRNA and protein) was reduced in the *Menin* KO effector CD4 T cells compared with those in the WT cells, whereas its expression in the *Menin* KO naïve CD4 T cells showed only marginal reduction. The augmented production of IL-6, IL-10, OPN, and proinflammatory chemokines (CCL-3, CCL-4, and CCL-5) in the *Menin* KO effector CD4 T cells was normalised by the transduction of *Bach2*. The transduction of the *Menin* KO effector CD4 T cells with *Bach2* also restored the enhanced expressions of cytotoxic granules and the genes that encode proinflammatory enzymes and proangiogenic factors. Furthermore, the augmented production of IL-6, OPN, and proinflammatory chemokines was detected in the *Bach2* KO CD4 T cells. The expression of cytotoxic granules was also upregulated in the activated *Bach2* KO CD4 T cells. However, the early induction of cell death and cell-cycle arrest, which were observed in the *Menin*-deficient activated CD4 T cells, were not induced by Bach2 deficiency. These data indicate that a SASP of *Menin* KO CD4 T cells is induced, in part, through a reduction of Bach2 expression.

#### **32.5** Epigenetic Regulation of Bach2 Expression by Menin

Menin is a component of the KMT (lysine methyl transferase) 2A/2B complex, which is involved in the trimethylation of histone H3K4 (H3K4me3), an active histone marker. Menin binds the transcriptional start site (TSS) of the *Bach2* gene. The level of H3K4me3 at *Bach2* peaked at the TSS and showed only a slight reduction in the *Menin* KO effector CD4 T cells compared with the WT effector CD4 T cells. In contrast, the level of H3K27me3, a repressive histone marker, at the

*Bach2* TSS was increased in the *Menin* KO effector CD4 T cells. Furthermore, the wide range of acetylated (ac) histone H3K27 level was significantly reduced in the *Menin* KO effector CD4 T cells. In *Menin* KO naïve CD4 T cells, only a small reduction of H3K27ac was detected, and the level was rapidly decreased in the *Menin* KO CD4 T cells after TCR stimulation. The reduced expression of Bach2 in the *Menin* KO effector CD4 T cells was restored by treatment with trichostatin A (TSA), an HDAC inhibitor. The menin-dependent recruitment of lysine acetyl-transferase 2B (PCAF) appears to be important for the maintenance of H3K27ac at the *Bach2* gene locus. The increased recruitment of polycomb repressive complex 2 (PRC2) components such as Ezh2 and Suz12 was detected in the *menin* KO effector CD4 T cells. These results suggest that Menin maintains *Bach2* expression by regulating histone acetylation (Fig. 32.3).

Recently, O'Shea's group has reported that the upstream region of the *Bach2* gene locus is the most prominent T-cell superenhancer (Vahedi et al. 2015). Superenhancers, also known as stretch-enhancers, are a type of enhancer that is



Fig. 32.3 Epigenetic regulation of Bach2 transcription by menin (Hypothesis)

particularly important for genes associated with cell identity. The superenhancer regions are marked by highly acetylated histone H3K27, and the binding of RNA polymerase II, mediator, cohesion, p300, CBP, and Lsd1-NuRD complexes are enriched (Pott and Lieb 2015; Hnisz et al. 2013). PCAF (a CBP/p300 associated factor) recruits to the superenhancer region of the *Bach2* gene locus in a Menindependent manner. In addition, Menin is required for the maintenance of H3K27ac at the 5' upstream region of the *Bach2* gene locus. It is possible that Menin maintains the *Bach2* expression by regulating the superenhancer activity. Therefore, Menin may inhibit CD4 T-cell senescence in part by controlling superenhancer activity.

### 32.6 Reduced Expression of *Bach2* in Senescent CD4 T Cells

We established a senescent Th (sTh)-cell induction method to determine whether the expression of Menin and/or Bach2 is reduced in senescent CD4 T cells (Kuwahara et al. 2012). Increased CD62L<sup>low</sup>/CD27<sup>high</sup> and CD62L<sup>low</sup>/CD27<sup>low</sup> populations were observed in the sTh cells in comparison to the primary effector CD4 T cells (pTh). The number of IL-6-, IL-10, and OPN-producing cells was also increased in the sTh cell cultures.

The mRNA and protein expressions of Bach2 in the sTh cells were lower than those observed in the pTh cells. However, the expression of Menin in the sTh cells was comparable to that observed in the pTh cells. A ChIP sequencing analysis using an anti-Menin antibody revealed that the level of Menin binding at the *Bach2* gene locus was decreased in the sTh cells, and a reduction in the H3K4me3 and H3K27ac levels was also detected at the *Bach2* gene locus in the sTh cells. A reduction in the binding of RNA polymerase II (PNAPII), PCAF, MLL1, Rbbp5, and Wdr5, as well as an increase in the binding of Suz12 at the *Bach2* gene locus was also detected in the sTh cells. Although the reduced expression of *Bach2* mRNA and the level of H3K27ac in the sTh cells were completely restored by TSA treatment, the recruitment of Menin at the *Bach2* locus was not increased in the TSA-treated sTh cells. These results indicate that the Menin-dependent maintenance of histone acetylation is required for the proper expression of *Bach2*, whereas Menin is not essential for *Bach2* transcription.

#### 32.7 Conclusion

Our experimental results demonstrate that Menin inhibits CD4 T-cell senescence. Menin regulates CD4 T-cell senescence via multiple pathways (Fig. 32.4). Recently, we found that Menin also regulates CD8 T cell senescence. The *Menin*-



deficient activated CD8 T-cells exhibit the characteristic features of premature cellular senescence (unpublished data). Among the Menin-regulated pathways, the Menin–Bach2 axis plays a crucial role in repressing the SASP. However, the downstream targets of Menin that mediate cell survival and cell cycle remain to be elucidated. The molecular mechanism for regulating Menin recruitment at the *Bach2* gene locus also should be addressed. Taken together, further analysis of the function of Menin in T cells may help to elucidate the age-related dysregulation of the T-cell–mediated immune responses and subsequent development of chronic inflammation.

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# Chapter 33 Adrenergic Control of Lymphocyte Dynamics and Inflammation

#### Kazuhiro Suzuki

Abstract Relationships between the nervous and immune systems have been documented in the literature since the beginning of the last century. However, the cellular and molecular basis for their interconnections has emerged only in the past decade. Recent studies on the control of immune cell dynamics by adrenergic nerves have provided evidence for cell-type–specific mechanisms. In lymphocytes, activation of  $\beta_2$ -adrenergic receptors ( $\beta_2$ ARs) augments the responsiveness of a particular set of chemoattractant receptors. The crosstalk between these receptors expressed on lymphocytes restricts their exit from lymph nodes (LNs) and contributes to heamostasis of their distribution among lymphoid organs. Moreover, this mechanism is implicated in limiting the progression of inflammatory diseases and could lead to a new therapeutic approach. This chapter summarises the current knowledge of adrenergic control of lymphocyte dynamics and locates it in the historical context of the field.

Keywords Adrenergic nerves •  $\beta_2$ -adrenergic receptors • Chemokine receptors • Inflammation • Lymph-node egress • Lymphocyte trafficking • Multiple sclerosis • Stress

#### 33.1 Introduction

More than a hundred years ago, immediately after the initial characterisation of adrenaline (Aldrich 1901; Takamine 1901), it was reported that subcutaneous injection of adrenaline rapidly induced leukocytosis in humans (Loeper and Crouzon 1904), which was the first demonstration that the immune system could respond to adrenergic stimulation (Fig. 33.1). A Japanese bacteriologist Tohru Ishigami (1919) showed that phagocytic activity of leukocytes in tuberculosis patients was decreased during the periods of adverse mental conditions, suggesting for the first time that psychological stress could alter immune cell functions.

K. Suzuki (🖂)

WPI Immunology Frontier Research Center, Osaka University, 3-1 Yamada-oka, Suita, Osaka 565-0871, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: ksuzuki@ifrec.osaka-u.ac.jp



Fig. 33.1 A timeline of discoveries about neural control of immunity. The history of this research field is comprised of three phases. Studies during the first half of the twentieth century described phenomenological connections between the nervous and immune systems, which culminated in the concept of 'psychoneuroimmunology'. Studies during the 1980s and 1990s provided the first indications of how neuroimmune interactions occur. Studies since the beginning of the twenty-first century have clarified molecular mechanisms for immune regulation by the nervous system

Nevertheless, the immune system had been recognised as essentially autonomous until the middle of the twentieth century when 'psychoimmunology' was conceptualised by Solomon and Moos (1964) based on the observation that stress increased the susceptibility to autoimmune diseases. A decade later, the field was further formulated as 'psychoneuroimmunology'' by Ader and Cohen, who discovered that Pavlovian behavioral conditioning affected immune responses in rodents (Ader 1981; Ader and Cohen 1975; Ader et al. 1995).

Studies during the 1980s and 1990s provided the first mechanistic insights into immune regulation by the nervous system (Fig. 33.1). Comprehensive morphological studies demonstrated that both primary and secondary lymphoid organs are innervated by adrenergic nerves (Felten et al. 1985), establishing the anatomical connection of the nervous system with the immune system. Notably, in secondary lymphoid organs, including spleen and LNs, the adrenergic nerve fibres exhibit a uniform pattern of distribution, where they mainly supply T-cell zones but are absent from B-cell follicles. The neurotransmitter noradrenaline released from adrenergic nerve terminals was found to bind the surface of lymphocytes, which was almost exclusively mediated by  $\beta_2ARs$  (Brodde et al. 1981). Additionally, stimulation of  $\beta_2ARs$  was shown to inhibit differentiation and functions of T helper type 1 (Th1) cells in vitro (Ramer-Quinn et al. 1997; Sanders et al. 1997). These findings indicated that neuronal inputs could directly act on immune cells to alter their behaviors.

It was not until the beginning of the twenty-first century that a solid molecular basis for the neural regulation of immunity was uncovered (Fig, 33.1). Pioneering

studies by Tracey and colleagues led to establishing a concept of 'inflammatory reflex' as a neural circuit that contributes to homeostasis of the immune system (Andersson and Tracey 2012; Tracey 2002). Molecular products of infection or tissue damage activate sensory neurons in the vagus nerve that travel to the brainstem nucleus. These signals in turn generate action potentials that travel from the brainstem to the spleen. This results in the release of acetylcholine from a small population of T cells, which binds to the nicotinic acetylcholine receptor subunit  $\alpha 7$  ( $\alpha 7nAchR$ ) expressed on macrophages to inhibit their production of proinflammatory cytokines (Rosas-Ballina et al. 2011; Wang et al. 2003). Recently, roles of adrenergic nerves in the regulation of haematopoietic cell dynamics were revisited by Frenette and colleagues (Scheiermann et al. 2013). Adrenergic nerves were shown to control the bone marrow homing of haematopoietic stem cells and tissue recruitment of granulocytes by establishing circadian oscillations of adhesion molecule and chemoattractant expression by bone marrow stromal cells and tissue vascular endothelial cells, respectively (Mendez-Ferrer et al. 2008; Scheiermann et al. 2012). However, it had been unclear how the inputs from adrenergic nerves affect the trafficking of B and T lymphocytes, major immune cell populations that mediate adaptive immunity. Our recent study demonstrated that adrenergic inputs control lymphocyte recirculation through LNs in a cell-intrinsic manner (Nakai et al. 2014), which marks a sharp contrast to the adrenergic control of granulocyte dynamics that largely depends on the alternation of environmental cues. In this chapter, I discuss cellular and molecular mechanisms for adrenergic control of lymphocyte trafficking and their relevance to the pathology of inflammatory diseases.

#### **33.2** Adrenergic Control of Lymphocyte Egress from LNs

The observations from early studies on adrenergic alternation of immune cell dynamics led to the general agreement that in an acute phase after adrenergic stimulation, circulating granulocyte numbers are increased, but in contrast, lymphocyte numbers are decreased (Benschop et al. 1996). Given the fact that lymphocytes almost exclusively express  $\beta_2$ ARs among subtypes of adrenergic receptors (Sanders 2012), we investigated the role of  $\beta_2$ ARs in the regulation of lymphocyte dynamics. As expected, intravenous administration of selective  $\beta_2 AR$  agonists into mice resulted in a rapid reduction of lymphocyte numbers in blood (Nakai et al. 2014). Notably, the reduction of blood lymphocytes was accompanied by a sharp decline of lymphocyte numbers in lymph, which had not been noted in the previous studies. These effects were prominent in B cells compared with T cells, which was consistent with the highest expression of  $\beta_2 ARs$  in B cells among lymphocyte populations in mice. The lymphopenic effect of  $\beta_2 AR$  agonists was diminished in irradiated wild-type mice reconstituted with  $\beta_2$ AR-deficient bone marrow cells, which lack  $\beta_2$ AR expression in the haematopoietic compartment. In contrast, bone marrow chimeras generated with  $\beta_2 AR$ -deficient recipients and wild-type donors responded

normally to  $\beta_2 AR$  agonists. These observations indicated that lymphopoenia induced by  $\beta_2 AR$  stimulation is mainly mediated by cell-intrinsic mechanisms.

Lymphocytes continuously recirculate through lymphoid organs, allowing immunosurveillance of the whole body. After entering a LN from blood, lymphocytes travel to subcompartments designated for each cell type, where they survey for antigen. After spending several hours to a day in the LN, lymphocytes exit into lymph and eventually return to the bloodstream through the thoracic duct (Cyster and Schwab 2012). Given this fact, we speculated that reduction of lymphocyte numbers in lymph as well as blood upon agonist stimulation of  $\beta_2$ ARs might be caused by inhibition of lymphocyte egress from LNs. To test this hypothesis, we treated mice with neutralising antibodies against  $\alpha 4$  and  $\alpha L$  integrins to block lymphocyte entry to LNs during continuous administration of a  $\beta_2 AR$  agonist. After entry blockade, the numbers of lymphocyte in LNs are decreased over time because of their egress into lymph without supply from blood. Thus, the frequency of lymphocyte egress can be determined by measuring the extent of reduction of lymphocyte numbers in LNs (Lo et al. 2005). We found that treatment with a  $\beta_2$ AR agonist increased the numbers of lymphocytes that were retained in LNs after entry blockade (Nakai et al. 2014), indicating that  $\beta_2 AR$  stimulation inhibits lymphocyte egress from LNs.

To test whether physiological levels of adrenergic inputs are involved in the control of lymphocyte egress from LNs, we examined the frequency of LN egress of transferred  $\beta_2AR$ -deficient lymphocytes in wild-type mice. Our result showed that  $\beta_2AR$ -deficient lymphocytes are more prone to exit LNs than  $\beta_2AR$ -sufficient lymphocytes (Nakai et al. 2014). Additionally, chemical depletion of adrenergic nerve fibres promoted lymphocyte egress from LNs. These findings indicated that physiological adrenergic inputs through lymphocyte  $\beta_2ARs$ , which are at least in part derived from adrenergic nerves, limit LN egress or help LN retention of lymphocytes.

### **33.3** Crosstalk Between β<sub>2</sub>ARs and Chemokine Receptors

Lymphocyte egress from LNs is strongly dependent on sphingosine-1-phosphate receptor type 1 (S1PR1), by which lymphocytes sense a high concentration of S1P in lymph (~100 nM) compared with LN parenchyma (~1 nM) to exit LNs. S1PR1 acts to overcome retention signals mediated by CCR7, CXCR4, and possibly additional chemoattractant receptors (Pham et al. 2008; Schmidt et al. 2013). Thus, the rate of lymphocyte egress from LNs appears to be determined by the relative strength of egress-promoting signals versus retention-promoting signals. All of these chemoattractant receptors as well as  $\beta_2ARs$  are G protein-coupled receptors (GPCRs). Studies showed that different types of GPCRs form heteromeric complexes on the cell surface and mutually regulate their signals (Fribourg et al. 2011; Gonzalez-Maeso et al. 2008). Given these facts, we hypothesised that  $\beta_2AR$  activation in lymphocytes might alter responsiveness of the

chemoattractant receptors involved in LN egress. Treatment of lymphocytes with a  $\beta_2AR$  agonist enhanced activation of a small GTPase Rac1 in response to the ligand for CCR7 or CXCR4, whereas the effect was unremarkable in response to S1P (Nakai et al. 2014). Consistent with this observation,  $\beta_2AR$  stimulation promoted lymphocyte chemotaxis mediated by CCR7 or CXCR4, but not S1PR1. These findings indicated that activation of lymphocyte  $\beta_2ARs$  selectively enhances signals through the retention-promoting receptors CCR7 and CXCR4. Notably,  $\beta_2ARs$  were immunoprecipitated together with CCR7 or CXCR4, but not S1PR1, suggesting that  $\beta_2ARs$  form heteromeric complexes with CCR7 or CXCR4. We speculate that the physical association with  $\beta_2ARs$  might enable the selective signal enhancement through these chemokine receptors.

The effect of  $\beta_2AR$  stimulation on LN egress (or retention) of lymphocytes was diminished by CCR7 deficiency in lymphocytes or pharmacological blockade of CXCR4 (Nakai et al. 2014). This result confirmed that  $\beta_2AR$ -mediated control of lymphocyte recirculation through LNs is largely dependent on CCR7 or CXCR4 although it is possible that other undefined receptors might be involved. Collectively, the above findings established a model for adrenergic control of lymphocyte dynamics, where activation of  $\beta_2ARs$  expressed on lymphocytes enhances responsiveness of the retention-promoting chemokine receptors and consequently inhibits their egress from LNs (Fig. 33.2).



Fig. 33.2  $\beta_2$ AR-mediated control of lymphocyte trafficking. Activation of  $\beta_2$ ARs expressed on lymphocytes enhances signals through chemokine receptors CCR7 and CXCR4, leading to inhibition of lymphocyte egress from LNs. In the context of T-cell-mediated inflammation,  $\beta_2$ AR-mediated signals inhibit trafficking of pathogenic T cells, reducing their numbers in inflamed tissues

#### 33.4 Adrenergic Control of T-Cell–Mediated Inflammation

Although influence of adrenergic inputs on inflammation is complex, it appears that signals through  $\beta_2$ ARs generally act to suppress T-cell–mediated inflammatory diseases, including rheumatoid arthritis and multiple sclerosis (MS) (Bellinger et al. 2008). An early study showed that by increasing noradrenaline levels through administration of tri- or tetracyclic antidepressants or L-dopa, the clinical symptoms of MS were ameliorated (Berne-Fromell et al. 1987). This observation was supported by animal studies showing that treatment with  $\beta_2 AR$  agonists suppressed experimental autoimmune encephalomyelitis (EAE), an animal model of MS (Chelmicka-Schorr et al. 1989; Wiegmann et al. 1995). However, mechanisms by which  $\beta_2$ AR-mediated signals affect the pathogenesis had been poorly understood. To confirm the role of  $\beta_2ARs$  in T-cell-mediated inflammation, we employed mouse EAE and another representative model, delayed-type hypersensitivity (DTH) in the skin. Administration of a  $\beta_2$ AR agonist at the onset of EAE symptoms, or at the elicitation of DTH responses reduced the magnitude of inflammation (Nakai et al. 2014), recapitulating the previous findings. Moreover, in both models,  $\beta_2$ AR-deficient mice developed more severe symptoms compared with wild-type mice, reinforcing the notion that  $\beta_2$ AR-mediated signals attenuate T-cell-mediated inflammation.

It has been established that modulation of lymphocyte trafficking is effective for the treatment of inflammatory diseases (Steinman 2014). The functional S1PR1 antagonist FTY720 (Fingolimod/Gilenya<sup>TM</sup>) was proved to be effective for the treatment of MS and approved for clinical use. A major proposed action of FTY720 is to inhibit LN egress of autoreactive T cells and consequently their invasion into inflammatory sites (Brinkmann et al. 2010). Thus, lymphocyte egress from LNs represents an important therapeutic target for the treatment of T-cell–mediated inflammatory diseases.

Based on our findings, we speculated that  $\beta_2AR$ -mediated inhibition of LN egress of pathogenic T cells might contribute to the suppression of inflammation at the peripheral sites. To test this hypothesis, we used the skin DTH model in which trafficking of adoptively transferred antigen-specific T cells could be tracked. We found that agonist-induced and even physiological signals through  $\beta_2$ ARs limit LN egress of antigen-primed T cells of a central memory phenotype, but not those of an effector memory phenotype (Nakai et al. 2014). This might be attributable to higher expression of  $\beta_2$ ARs in central memory T cells compared with effector memory T cells. Accordingly, inputs through  $\beta_2ARs$  reduced the numbers of antigen-primed T cells found in the circulation and site of inflammation. It was suggested that central memory T cells are reactivated by dendritic cells at the sites of inflammation, and undergo proliferation and local differentiation to effector cells to induce inflammation (Kivisakk et al. 2004). Therefore, our findings suggested that signals through  $\beta_2 ARs$  inhibit LN egress of pathogenic T cells and reduce their recruitment to inflamed peripheral tissues, which might prevent the initiation and propagation of inflammation (Fig. 33.2).

At this moment, however, the direct causal connection between the  $\beta_2AR$ mediated effects on lymphocyte dynamics and the attenuated inflammation has not been established. Recent studies showed that stimulation of  $\beta_2ARs$  expressed on dendritic cells reduced their capacities for antigen presentation (Nijhuis et al. 2014) and production of proinflammatory cytokines, including interleukin-6, -12, and -23 (Herve et al. 2013). Therefore, the alteration of dendritic cell functions might impair reactivation of central memory T cells at sites of inflammation. We speculate that combination of multiple  $\beta_2AR$ -mediated effects on immune functions might contribute to the suppression of inflammatory responses. Although it is possible that the aforementioned effects on Th cell differentiation might affect the pathology of T-cell-mediated inflammation, we found that antigen-induced differentiation of Th cells were not altered by agonist stimulation or deficiency of  $\beta_2ARs$  (Nakai et al. 2014). Thus, it is unlikely that alternation of Th cell differentiation could contribute to the suppressed inflammation at least in our experimental settings.

#### 33.5 Conclusion

Studies on the roles of adrenergic nerves in immune regulation during the last three decades revealed close connections between the nervous and immune systems at cellular and molecular levels. In this context, our study provided an answer to a long-standing question of how adrenergic nerves regulate lymphocyte dynamics (Fig. 33.1). Activation of  $\beta_2$ ARs in lymphocytes inhibits their egress from LNs through augmentation of retention-promoting signals mediated by chemokine receptors that might be physically associated with  $\beta_2$ ARs. Physiological inputs provided by adrenergic nerves contribute to homeostasis of lymphocyte recirculation through  $\beta_2$ ARs. Moreover, the  $\beta_2$ AR-mediated control of lymphocyte dynamics could be an additional mechanism of immunosuppression by adrenergic nerves (Fig. 33.2). However, some questions still remain open. The molecular basis for the crosstalk between  $\beta_2$ ARs and chemokine receptors is unclear. Additionally, the real picture of interactions between adrenergic nerves and lymphocytes in LNs remains to be visualised. A More important question is what is the physiological significance of the adrenergic control of lymphocyte trafficking. These questions should be addressed in future studies for comprehensive understanding of immune regulation by adrenergic nerves.

Stress response is a mechanism that helps an organism adapt physiologically to deal with physical and psychological threats. Peripheral adrenergic nerves constitute the efferent arc of the sympathetic nervous system, one of the major pathways that mediate stress response. Given the immunosuppressive effects of  $\beta_2AR$ -mediated signals, one might assume that stress would act to dampen inflammation. However, reality is not that simple. Consistent with a prevailing belief that stress is harmful for human health, substantial evidence indicates that stress precipitates symptoms of inflammatory diseases, which has been clearly demonstrated in MS

(Karagkouni et al. 2013). In addition to the sympathetic nervous system, stress activates the hypothalamic-pituitary-adrenal axis through the hypothalamic secretion of corticotropin-releasing hormone (CRH), which is supposed to suppress immune functions through the release of glucocorticoids from adrenal glands. Why then does stress worsen inflammation? Part of the reason might be attributable to dysfunction of these stress-adaptation systems that is observed under inflammatory conditions. MS patients with active lesions in the hypothalamus exhibited impaired activation of CRH neurons (Huitinga et al. 2004). Additionally, less glucocorticoid production in response to CRH stimulation was observed in MS patients (Wei and Lightman 1997). A recent study demonstrated that response to sympathetic activation is also altered by inflammation (Arima et al. 2012). In the mouse EAE, inputs from adrenergic nerves were shown to induce production of a chemokine CCL20 from vascular endothelial cells at the sites of inflammation, but not in intact tissues, promoting invasion of pathogenic T cells. This effect appeared to be mediated by  $\beta_1ARs$  because treatment with a selective  $\beta_1AR$  antagonist diminished chemokine production by endothelial cells and ameliorated the disease. Thus, stress response under inflammatory conditions is highly complicated and has been hindering medical interventions. However, our study established that specific activation of  $\beta_2$ ARs could inhibit progression of T-cell-mediated inflammation. This principle would lead to a novel therapeutic strategy that exploits the beneficial aspect inherent in stress response.

During the last century, extensive studies were performed on individual organ systems and yielded huge progress of biomedical science. However, because the organ systems in our body mutually communicate to cross-regulate their functions, it is nearly impossible to predict responses of one organ system without knowing the states of other organ systems. Thus, the importance of studies that clarify interconnections among multiple organ systems has been increasingly recognised. In this regard, the recent studies that have revealed the cellular and molecular basis for the connection between the nervous and immune systems represent the new trend in the field. In addition to the prototypical autonomic neurotransmitters, noradrenaline and acetylcholine, substantial evidence has been accumulating on the immunoregulatory roles of other neurotransmitters, including dopamine (Torres-Rosas et al. 2014; Yan et al. 2015), calcitonin gene-related peptide (Granstein et al. 2015), and vasoactive intestinal peptide (Smalley et al. 2009; Yadav and Goetzl 2008). Further elucidation of the multimodal information transfer at the interface between the two organ systems would help visualise the whole picture of neuro-immune communications.

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# **Chapter 34 The Multifaceted Role of PD-1 in Health and Disease**

# Mohamed El Sherif Gadelhaq Badr, Kikumi Hata, Masae Furuhata, Hiroko Toyota, and Tadashi Yokosuka

**Abstract** Properly functioning T cells should clear invading pathogens and tumors without causing damage to the host. Programmed cell death-1 (PD-1) is a negative costimulatory receptor that is expressed on an effector T cell to regulate its functions; otherwise, uncontrolled activation of T cells might cause collateral tissue damage and autoimmune diseases. However, such a beneficial regulatory tool has been hijacked by several pathogens and tumors to promote chronic infection and evade antitumor immunity, respectively. PD-1 ligands are expressed by a variety of haematopoietic and non-haematopoietic cells all over the body under steady state and their expression is further modulated within different pathological conditions, suggesting that PD-1 is an immune checkpoint that is utilised by the body to regulate T-cell functions in different contexts. Furthermore, blocking PD-1 has recently received attention as a promising therapeutic approach for the treatment of cancer and chronic viral infections. Herein, we shed some light on the current knowledge of PD-1 biology and role in its health and disease.

**Keywords** Programmed cell death 1 • PD-1 • Immune checkpoint • Tumor immunotherapy • T-cell signaling • T-cell receptor • Immunological synapse • Microcluster • Imaging • Regulatory T cell

K. Hata • M. Furuhata • H. Toyota

T. Yokosuka (🖂)

M.E.S.G. Badr

Laboratory for Cell Signaling, Center for Integrative Medical Sciences, RIKEN Yokohama Institute, Kanagawa 230-0045, Japan

WPI Immunology Frontier Research Center, Osaka University, Suita, Osaka 565-0871, Japan

Department of Immunology, Tokyo Medical University, 6-1-1 Shinjuku, Shinjuku-ku, Tokyo 160-8402, Japan

Department of Immunology, Tokyo Medical University, 6-1-1 Shinjuku, Shinjuku-ku, Tokyo 160-8402, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: yokosuka-ths@umin.ac.jp
## 34.1 Introduction

Inflammation is one of the biological responses to restore body homeostasis that was disrupted by insult from the outer world such as infection with pathogenic invaders such as bacteria, fungi, and viruses and physical injuries. However, uncontrolled or exaggerated inflammatory responses can backfire on the body causing allergic or devastating autoimmune diseases. An inflammatory response is launched mainly by innate leukocytes, including neutrophils, which sense infecting pathogens and tissue disruption and then secrete various chemical mediators in response. On the contrary, a prolonged inflammatory response, called 'chronic inflammation', is mediated mainly by acquired immunity through the antibody production by B cells and various helper functions by T cells. Because T cells are playing the role of the maestro in coordinating the orchestra of the immune response symphony, it should be quite important to unveil the mechanisms of T-cell activation regulation to reach the ultimate goal of bringing chronic inflammation under control.

T cells are activated upon recognition of their cognate antigen peptide loaded on a major histocompatibility complex (MHC) and the functional characteristics of an activated T cell are further modified by various signals through costimulatory receptors and cytokines within the surrounding environment. T cells express several costimulatory receptors, either with positive or negative functions, the expression of which is changing according to the activation status of a T cell. On the other hand, the ligands for those costimulatory receptors are expressed on various antigen presenting cell (APC) subsets and nonhaematopoietic cells all over the body; and the types and the expression levels of the ligands also change according to the inflammation status of the surrounding microenvironment within the tissue. Therefore, T cells, with help from costimulatory receptors, sense the changes within their environment to respond with the proper functional outputs. In this review, we discuss the regulatory functions of the negative costimulatory receptor, programmed cell death-1 (PD-1; CD279), which has become recently appreciated as an important 'immune checkpoint' molecule.

## 34.2 Characteristics of PD-1 and Its Ligands

T cell costimulatory receptors contain two receptor superfamilies (SFs), immunoglobulin (Ig) SF and tumor necrosis factor (TNF) SF. The IgSF is further divided into two functionally opposing groups: activating IgSF receptors, for example, CD28 and inducible T-cell costimulator (ICOS, CD278) and inhibitory IgSF receptors, for example, cytotoxic T-lymphocyte associated protein 4 (CTLA-4 or CD152), PD-1, and B and T lymphocyte attenuator (BTLA, CD272). PD-1 was originally discovered as an apoptosis-related molecule and characterised as a type I membrane protein of 288 amino acids; composed of an extracellular Ig-like variable domain, transmembrane domain (27 amino acids), and a cytoplasmic (94 amino acids; Ishida et al. 1992). Similar to CTLA-4, PD-1 is expressed in a T cell after activation; but, because PD-1 possesses neither a membrane-proximal cysteine residue as in CD28 nor a clathrin–adaptor protein complex 2 (AP-2) binding domain as in CTLA-4, therefore, PD-1 exists on the plasma membrane as a monomeric receptor (Fig. 34.1, left). There are two known ligands for PD-1: PD-1 ligand-1 (PD-L1; B7-H1; CD274; Freeman et al. 2000) and PD-L2 (B7-DC; CD273; Latchman et al. 2001; Tseng et al. 2001). Both ligands belong to the B7 family, which is characterised by bearing one IgV and one IgC domains in the



**Fig. 34.1 The B7-CD28 family-dependent crosstalk between APCs and T cells**. The diagram shows the B7-CD28 costimulatory or coinhibitory receptors and their ligands' dependent interaction between a naïve T cell (*green*), an activated effector T cell (*orange*), a regulatory T cell (*blue*), and an antigen-presenting cell. TCR, costimulatory (*red*) and coinhibitory receptors (*blue*), occasionally, through binding to their ligands convey positive and negative signaling, respectively. Treg cells suppress effector T-cell functions and also promote conversion of effector T cells into iTreg cells through the interaction between PD-1 and PD-L1 (**a**). The PD-1–PD-L1 interaction suppresses T-cell functions and promotes iTreg cell differentiation (**b**). The sustained PD-1 signaling maintains the Foxp3 expression, suppressive functions, and survival of Treg cells (**c**). Signaling through CTLA-4 enhances the Treg-cell–suppressive functions (**d**). Crosslinking of CD80/CD86 by CD28 or CTLA-4 induces either immune enhancing or suppressive outcomes in antigen-presenting cells (APCs), respectively. Treg cells strip off CD80/CD86, the ligands for CD28, from the surface of APCs via CTLA-4, a phenomenon known as trogocytosis (**e**)

extracellular region. PD-L1 consists of 290 amino acids, with a 30 amino acid transmembrane region, and is expressed on a variety of cells of both haematopoietic and nonhaematopoietic origins. PD-L1 was also reported to bind the CD28 ligand, CD80, with introducing bidirectional negative signals (Butte et al. 2007). In contrast, PD-L2 is inducibly expressed on professional APCs after their activation and is known to have triple higher affinity for PD-1 than PD-L1 (Fig. 34.1, bottom). Although human PD-L2 consist of 273 amino acids with a 30 amino acid cytoplasmic tail and the murine counterpart consists of 274 amino acids with only a 4 amino acid cytoplasmic tail, PD-L2 is speculated to induce functional signaling to dendritic cells (DCs), because the activation or survival signals in dendritic cells were augmented by treatment with the anti-PD-L2 monoclonal antibody, sHIgM12, originally isolated from the primary (Waldenstorm's) macroglobulinemia patients (Nguyen et al. 2002).

## 34.3 Expression Regulation of PD-1 and Its Ligands

PD-1 is expressed on various types of cells, such as activated T and B cells, human naïve B cells, exhausted T cells, regulatory T (Treg) cells, follicular helper T (Tfh) cells, natural killer T (NKT) cells, activated CD11c<sup>+</sup> myeloid DCs (mDCs), and monocytes, but not on macrophages and plasmacytoid DCs (pDCs). In vitro experiments have shown that anti-CD3 plus anti-CD28 and anti-IgM plus anti-CD40 induce the expression of PD-1 on T and B cells, respectively; and the expression level was proportional to the strength and duration of the stimulation. PD-1 is expressed in T cells within 2–6 h following TCR stimulation; earlier than CTLA-4 and BTLA or than the first cell division. Because PD-1 expression on T cells is sustained for more than one week, starting from the initial activation of naïve T cells till the differentiation into effector T cells, therefore, it is considerable that PD-1 is modulating all the developmental stages of memory T cells (Chikuma et al. 2009). PD-1 expression in T cells is further enhanced by stimulation with type I interferon (IFN). In fact, the promoter region of PD-1 contains a binding region for the transcription factor interferon regulatory factor 9 (IRF9) which is activated downstream of type I IFN receptor (Terawaki et al. 2011) as well as a binding region for the transcription factor nuclear factor of activated T cells, cytoplasmic 1 (NFATc1) which is activated downstream of TCR (Oestreich et al. 2008). Moreover, chronic viral infection has been shown to play a role in regulating the methylation status of the CpG islands within the promoter region of PD-1 (Youngblood et al. 2011). Independent of TCR activation, the common gamma chain  $(c\gamma)$ cytokines; IL-2, IL-7, IL-15, and IL-21 have been reported to induce the expression of PD-1 in T cells (Kinter et al. 2008). On the other hand, Listeria infection induced the expression of PD-1 in mDCs through the activation of Toll-like receptor 2 (TLR2), TLR3, TLR4, and NOD-like receptors. It is reported that estrogen enhances the expression of PD-1 on Treg cells, macrophages, B cells, and mDCs and this inhibitory machinery contributes to the immune suppression during pregnancy (Polanczyk et al. 2007).

PD-L1 is constitutively expressed not only by immune cells, such as T cells, B cells, macrophages, m/pDCs, and bone marrow mast cells, but also by tissueconstructing cells, such as endothelial cells, fibroblastic reticular cells, gut epithelial cells, pancreatic islet cells, astrocytes, central neurons, placental trophoblasts, retinal pigmented epithelial cells, and optic nerve cells. The expression of PD-L1 is enhanced upon stimulation with type I IFNs, IFN- $\gamma$ , and TNF- $\alpha$  consistent with the presence of the IRF1 and signal transducer and activator of transcription 3 (STAT3) binding domains in its promoter region. T and B cells also express more PD-L1 upon stimulation with cy cytokines whereas DCs and macrophages upregulate PD-L1 upon stimulation with granulocyte/macrophage-colony stimulating factor (GM-CSF) and IL-4. PD-L1 is also expressed by many cancer cells; furthermore, PD-L1 is known to be a target for the transcription factor Hypoxia-inducible factor- $1\alpha$  (Hif- $1\alpha$ ), therefore an hypoxic tumor microenvironment can further augment the expression of PD-L1 (Noman et al. 2014). It was also reported that multiple myeloma cells upregulate the expression of PD-L1 upon stimulation with IFN- $\gamma$ and TLR ligands via myeloid differentiation primary response (MyD88)-TNF receptor associated factor 6 (TRAF6)-mitogen-activated protein kinase (MAPK)/ extracellular signal-regulated kinase (Erk) kinase (MEK) pathway (Liu et al. 2007).

As mentioned previously, PD-L2 is expressed by m/pDCs, macrophages, B-1 cells, and bone marrow mast cells and its expression is further enhanced by stimulation with several cytokines, for example, GM-CSF, IFN- $\gamma$ , IL-4,  $c\gamma$  cytokines, and TNF $\alpha$ . Therefore, it is plausible that the increased expression of IFNs and other cytokines, responsible for the maintenance of activated and memory T cells especially in the case of chronic infection and inflammation, induce the expression of PD-1 and its ligands PD-L1/2; the interaction between them transduces bidirectional suppressive signals that induce tolerance and suppress that excessive activation of immune system and ultimately lead to chronic inflammation

## 34.4 PD-1 Signaling Machinery

The cytoplasmic domain of PD-1 contains two tyrosine-based signaling motifs: an immunoreceptor tyrosine-based switch motif (ITSM, TxYxxL/I) and an immunoreceptor tyrosine-based inhibitory motif (ITIM, S/I/LxYxxI/V/L). Upon crosslinking of PD-1, the tyrosine residues get phosphorylated and consequently they act as docking sites to recruit the Src homology 2 (SH2) domain-containing tyrosine phosphatase 2 (SHP-2) and SHP-1 which subsequently dephosphorylate the signalling molecules downstream of TCR resulting in the diminished activation of the Erk and Akt signaling pathways. In fact, it was shown that PD-1 suppressed Erk activation in human T cells which led to decreased transcription of the ubiquitin ligase SKP2 that is responsible for the degradation of the inhibitors of cycling-

dependent kinases (Cdks), ending up blocking cell-cycle progression at G1 the phase (Patsoukis et al. 2012).

Although the kinases important for the phosphorylation of the cytoplasmic domain of PD-1 have not been definitively shown, the pull-down experiments, using the ITSM/ITIM motifs as bait followed by mass spectrometry, have suggested that Lck and C-terminal Src kinase (Csk) could be the responsible kinases (Sheppard et al. 2004). The phosphorylated ITSM motif recruits both SHP-1 and SHP-2 whereas the phosphorylated ITIM motif recruits only SHP-2 and mutation experiments of the tyrosine residues have suggested that the suppressive functions of PD-1 are mainly attributed to the ITSM motif (Chemnitz et al. 2004). In T cells, SHP-2 and possibly SHP-1 associate with both the ITSM and ITIM motifs and dephosphorylate the CD3 $\zeta$ -chain, zeta-associated protein of 70kD (Zap70), and protein kinase C  $\theta$  (PKC $\theta$ ) (Sheppard et al. 2004). In B cells, only SHP-2 is recruited to the PD-1 cytoplasmic tail and dephosphorylate Ig-chain, spleen tyrosine kinase (Syk), Erk, and phospholipase C $\gamma$ 2 (PLC $\gamma$ 2) to suppress BCR signaling (Okazaki et al. 2001).

Formation of an immunological synapse is required for the antigen recognition and activation of T cells, when T cells contact with APCs bearing their cognate antigens. The immunological synapse is a concentric, bull's eve-like, structure generated at the interface between a T cell and an APC, where various immune receptors including TCRs, coreceptors CD4 and CD8, costimulatory receptors, and their signaling transducers are gathering together for the efficient signal transduction and are surrounded by adhesion molecules such as lymphocyte functionassociated antigen-1 (LFA-1), intercellular adhesion molecule-1 (ICAM-1), very late antigen-4 (VLA-4), and vascular cell adhesion molecule-1 (VCAM-1) to keep a stable conjugation between a T cell and an APC. We discovered that the immunological synapse is constructed by a number of more tiny signaling units that we named 'TCR microclusters' (Yokosuka et al. 2005; Fig. 34.2, left). Our imaging analysis has shown that a TCR microcluster is composed of a few decades of TCRs and that PD-1 is recruited to a TCR microcluster upon binding to PD-L1, where its ITSM/ITIM motifs get phosphorylated and subsequently recruit SHP-2 (Yokosuka et al. 2012). In fact, the SHP-2 substrates, such as CD3 $\zeta$ , Zap70, and PKC $\theta$ , are also localised within the TCR microclusters suggesting that PD-1-mediated dephosphorylation reactions and regulation of T-cell activation primarily take place within the microclusters. Interestingly, SHP-2 which is recruited to the phosphorylated ITSM/ITIM in the PD-1 cytoplasmic tail could dephosphorylate not only the SHP-2 substrates but also PD-1 itself and subsequently SHP-2 dissociates from the PD-1-TCR microclusters (Fig. 34.2, right). Although the negative costimulatory receptor CTLA-4 also has a cytoplasmic ITIM-like motif that is believed to recruit SHP-2 and SHP-1, its suppressive mechanism is different from PD-1. Whereas PD-1 dephosphorylates phosphatidylinositol-3-kinase (PI3K) which is an upstream regulator of Akt, CTLA-4 recruits the protein phosphatase 2A (PP2A) which directly dephosphorylates Akt (Parry et al. 2005). However, our imaging studies demonstrate that the mechanism of CTLA-4-mediated suppression is mainly accomplished by the competition with CD28 for binding to the ligand CD80/CD86



**Fig. 34.2 PD-1-mediated inhibition of TCR signaling at microclusters.** A T cell and an APC form the immunological synapse at the interface between the two cells, which is initially constructed by tiny clusters of TCRs with their downstream signaling molecules 'TCR microclusters'. At TCR microclusters, the engagement of TCRs and CD28 triggers several downstream kinases (*orange arrow*) to activate distinct but synergistic signaling cascades that modify the T cells' cytoskeleton, transcriptional, and functional program. PD-1 also translocates at TCR microclusters via binding to PD-L1/2 and specifically recruits SHP-2, which dephosphorylates various signaling molecules downstream of TCRs (*blue dotted line*)

which is critical for NF-kB signaling, whereas PD-1 is suppressing the proximal TCR downstream signaling via recruiting SHP-2.

## 34.5 PD-1 and Thymic T-Cell Development

The expression of PD-1 is detected on CD4<sup>-</sup> CD8<sup>-</sup> double negative (DN)  $\alpha\beta$  T cells and  $\gamma\delta$  T cells in the thymus. PD-1 expression starts from CD44<sup>+</sup> CD25<sup>-</sup> DN II stage, peaks at the CD44<sup>-</sup> CD25<sup>-</sup> DN IV stage with the termination of the  $\beta$ -chain rearrangement, and then ceases at the double positive (DP) stage (Nishimura et al. 1996). PD-L1 is expressed in both thymic cortical epithelial cells and thymocytes and PD-L2 is in the thymic medulla. TCR transgenic mice, 2C and H-Y, on PD-1 deficient background showed an increased number of DP thymocytes and decreased number of mature CD8<sup>+</sup> single positive T cells

indicating that PD-1 in the thymocytes adjust the TCR signaling threshold to regulate  $\beta$ -selection negatively, modify positive selection, and ultimately select a TCR repertoire with reduced autoreactivity (Nishimura et al. 2000). Indeed, microarray analysis of NOD mice, that spontaneously develop type I diabetes, suggested that PD-1 expression in the thymus could be involved in the induction of tolerance (Zucchelli et al. 2005).

## 34.6 Multiple Functions of PD-1 in Regulatory T Cells

Inducible Treg (iTreg) cells could be developed from naïve T cells in vitro through the TCR stimulation with anti-TCR/CD3 in the presence of tumor growth factor- $\beta$  $(TGF-\beta)$ . Recently, the addition of the PD-L1-immunoglobulin (Ig) fusion proteins is shown to enhance the development of iTreg cells further in the same in vitro culture system and similarly  $Cd274^{-/-}$  (PD-L1-deficient) APCs are less efficient in inducing the iTreg cell differentiation (Francisco et al. 2009). The induction of iTreg cells is enhanced by a mechanistic target of rapamycin (mTOR) inhibitor, Rapamycin; similarly, PD-L1-Ig could suppress the Akt-mTOR activation and induce the PTEN enzymatic activity to upregulate Foxp3 expression and sustain the suppressor functions of iTreg cells (Francisco et al. 2009). In contrast, because the expression of PD-L1 is dependent on PTEN, the PD-1-mediated suppression of PTEN itself interferes with the iTreg cell development. The expression of PD-L1 on APCs or tissue stromal cells is also enhanced under inflammatory conditions or within the tumor microenvironment. This alteration of PD-L1 expression induces the differentiation of naïve or effector T cells into iTreg cells to sustain chronic infection and preserve peripheral immune tolerance (Fig. 34.1, right). Not only iTreg cells but also natural Treg (nTreg) cells express both PD-1 and PD-L1. Treg cells expressing PD-L1 suppress other effector T cells expressing PD-1 by the PD-L1-PD-1 interaction and furthermore promote conversion of effector T cells into iTreg cells. Treg cells also suppress the expression of CD80/CD86 on DCs expressing PD-1 by the PD-L1–PD-1 interaction (Yao et al. 2009). One of the splice variants of PD-1, the soluble PD-1, is reported to induce CD4<sup>+</sup> T cells and DCs to produce the inhibitory cytokine, IL-10, without indoleamine 2.3 dioxygenase (IDO) production (Kuipers et al. 2006).

## 34.7 PD-1-Deficient Mice Develop Spontaneous Autoimmune Diseases

 $Pdcd1^{-/-}$  mice were originally generated on the 129 genetic background and these mice have shown augmented antibody production upon immunisation with foreign antigens. However, by backcrossing  $Pdcd1^{-/-}$  mice to the B6 genetic background,

they started to develop symptoms of lupus-like autoimmune disease, for example, splenomegaly, autoantibody production, glomerulonephritis, and lupus-like proliferative arthritis as they exceeded 6 months of age (Nishimura et al. 1999). In contrast,  $Pdcdl^{-/-}$  mice on the BALB/c genetic background developed dilated cardiomyopathy (DCM) and autoimmune gastritis (Nishimura et al. 2001). In fact, that was the first experimental model to show that DCM could have an autoimmune basis; later on, it was found that deletion of the negative costimulatory receptor lymphocyte activation gene 3 (LAG-3) further exacerbated the pathology of the disease in  $Pdcd1^{-/-}$  mice (Okazaki et al. 2011). Diabetes with early onset and severity is shown by the NOD mice crossed with  $Pdcdl^{-/-}$  (Wang et al. 2005) or inoculation with anti-PD-1 or PD-L1. Furthermore, fatal autoimmune myocarditis was developed by the autoimmune-prone mice, MRL, crossed with  $Pdcd1^{-/-}$  mice (Wang et al. 2010). Focusing on the differences in function among negative costimulatory receptors, Ctla4<sup>-/-</sup> mice, irrespective of the genetic background, showed infiltration of the inflammatory cells, mainly T cells, into the entire body without a clear specific tissue tropism and died within a few weeks after birth; in contrast,  $Pdcd1^{-/-}$  mice demonstrate the tissue-specific autoimmune diseases in a much later phase. Generally, CTLA-4 suppresses T-cell activation via both cell intrinsic and extrinsic mechanisms, whereas PD-1 inhibitory effect is mediated mainly by extrinsic factors. The study with bone marrow chimera from wild-type and receptor-deficient mice demonstrates that autoimmune diseases are developing in the wild type– $Ctla4^{-/-}$  chimeras, not in the wild type– $Pdcd1^{-/-}$  chimeras.

## 34.8 PD-1 Polymorphism and Diseases

The first report to correlate between single-nucleotide polymorphisms (SNPs) in PD-1 and autoimmune diseases was about a group of systemic erythematous lupus (SLE) patients in Europe and Mexico. These SLE patients demonstrated SNPs in the runt-related transcription factor 1 (Runx1)-binding region in the enhancer element of PD-1 (Prokunina et al. 2002). The same SNPs were also identified in type I diabetes patients in Denmark, multiple sclerosis in Germany, arthritis in Australia, Basedow disease in Germany, Kawasaki disease in Korea, and ankylosing spondylitis in China. In addition, SNPs in PD-L1 and PD-L2 are reported in Basedow disease patients in Japan and SLE patients in Taiwan, respectively.

# 34.9 Control of Inflammation During Viral Infection by PD-1

Inhibition of clearance of viral infection by PD-1 was first demonstrated in a murine adenovirus-induced hepatitis model (Iwai et al. 2003).  $Pdcdl^{-/-}$  mice showed faster clearance of adenovirus but more severe destruction of liver tissues. Therefore, PD-1, rather than promoting viral infection by its immunosuppressive functions, prevents tissue damage by infiltrating immune cells and cytokines during the acute phase of infection and consequently promotes chronic viral infections. In fact, the lymphocytic choriomeningitis virus (LCMV) Armstrong strain, an acute infectious strain, was similarly cleared from WT and  $Pdcdl^{-/-}$  mice. However, upon infection with clone 13, a chronic infectious strain, the virus antigen-specific PD-1<sup>hi</sup> CD8<sup>+</sup> T cells were rendered unresponsive or so-called anaergic, a phenomenon that was called T-cell exhaustion (Barber et al. 2006). Clone 13-induced exhausted T cells did not terminally lose cytotoxicity, because these cells recover cytotoxicity upon treatment with anti-PD-1 or anti-PD-L1. In contrast, Cd274<sup>-/-</sup> (PD-L1deficient) mice that were infected by Clone 13 died of liver failure caused by excessive T-cell responses and resistance to exhaustion. Virus-specific PD-1<sup>hi</sup> CD8<sup>+</sup> T cells in HIV-infected individuals, as an example of chronic viral infection in humans, showed exhausted phenotypes and blocking PD-L1 could restore the function of those exhausted cells (Day et al. 2006) (Trautmann et al. 2006). In chronic hepatitis B or C virus infections, virus-specific CD8<sup>+</sup> T cells express PD-1 and both CD14<sup>+</sup> monocytes and mDCs are shown to upregulate PD-L1. Other than viral infections, the PD-1-PD-L1 axis can promote for the induction of chronic inflammation during bacterial or helminthic infections; Helicobacter pylori infection enhanced the expression of PD-L1 in the gastric mucosal epithelium; Taenia crassiceps infection induced upregulation of PD-L1/PD-L2 and PD-1 in macrophages and CD4<sup>+</sup> T cells, respectively; Schistosoma mansoni infection induced anaergy in T cells via upregulation of PD-L1 in macrophages (Smith et al. 2004). Therefore, breaking the PD-1-PD-L1 axis, to restore the functions of exhausted pathogen-specific T cells, is a promising approach for the treatment of chronic infections.

## 34.10 Blocking PD-1 Signaling as a Cancer Therapy

The first evidence for a role of PD-1–PD-L interaction in tumor immune evasion came from the study of a murine mastocytoma cell line, P815, transgenically overexpressing PD-L1, which showed resistance to the cytolytic activity of cytotoxic CD8<sup>+</sup> T cells (Iwai et al. 2002). Moreover, the administration of anti-PD-L1 not only decreased the tumor growth but also suppressed its metastasis resulting in a higher survival rate. In fact, PD-L1 is expressed in the human lung, colon, and ovarian cancers and in malignant melanoma. The expression of PD-L1 on tumor

cells is further up-regulated by IFN- $\gamma$  and PD-L1 could itself suppress tumorspecific CD8<sup>+</sup> T cells and induce their apoptosis through FasL and IL-10 (Dong et al. 2002). Recently, other types of tumor cells bearing PD-L1 were also reported, such as breast cancer, bladder cancer, hepatocellular carcinoma, salivary gland carcinoma, gastric cancer, glioma, and thyroid cancer. From a clinical viewpoint, PD-L1 expression is correlated with the poor prognosis of kidney, ovarian, bladder, breast, gastric, esophageal, and pancreatic cancers (Thompson et al. 2004). Simultaneous expression of PD-1 and PD-L1 was also observed in lymphoid tumors such as multiple myeloma and Hodgkin's lymphoma. Furthermore, PD-L1 is expressed by monocyte-derived mDCs residing within the tumor draining lymph nodes and blocking the PD-1–PD-L1 interaction enhances DC-mediated autologous tumorspecific T-cell activation and antitumor immunity (Curiel et al. 2003).

In appreciation for the role of the PD-1-PD-L1 axis in tumor immune evasion, the humanised anti-PD-1 monoclonal antibody (Nivolumab) was approved in last year in Japan for the treatment of malignant melanoma. In a phase I clinical trial in the United States, anti-PD-1 blocking antibody has shown efficacy in the treatment of recurrent solid tumors (Brahmer et al. 2010). In another large-scale phase I clinical trial, the cumulative response rates were 28% in melanoma, 18% in non-small cell lung cancer, and 27% in renal cell cancer patients (Topalian et al. 2012). On the other hand, a phase I clinical trial for anti-PD-L1 conducted on 207 patients with advanced cancers, the cumulative response rates were 17 % in malignant melanoma, 10% in non-small cell lung cancer, 12% in renal cell cancer, and 6% in ovarian cancer patients, which is an historically remarkable outcome (Brahmer et al. 2012). The combination therapy of anti-CTLA-4 (Ipilimumab) and anti-PD-1 was reported to double the response rate, compared to monotherapy, in a phase I clinical trial for the treatment of advanced melanoma (Wolchok et al. 2013). Therefore, targeting the PD-1-PD-L1 axis is expected to introduce a breakthrough in cancer treatment when applied in combination with chemotherapy, radiotherapy, and surgical interventions.

## 34.11 PD-1 and Autoimmune Diseases

As mentioned earlier, the PD-1–PD-L1/2 binding not only controls the functions of T cells and APCs but also contributes to the differentiation of iTreg cells that are induced by the endothelial and epithelial cells in the peripheral tissues. Therefore, the dysfunction of PD-1 both directly or indirectly, through induction of iTreg cells, leads to uncontrolled functions of effector T cells which end up in autoimmune diseases. On the other side, when the autoimmune diseases develop from other causes, the chronic inflammation within the disease microenvironment enhances the expression of PD-L1 and iTreg cell differentiation.

It was experimentally shown that the deficiency of PD-1 increases the severity of type I diabetes in NOD mice. Although the relationship between PD-1 and the

destruction of  $\beta$  cells of the pancreatic islets was not definitively shown in humans, the number of Treg cells and their suppressive functions in pancreatic islets was markedly reduced in type I diabetic patients (Kukreja et al. 2002). The inhibitory function of Treg cells in the peripheral blood of type I diabetic patients appears to be normal but it is unsure that the same is true for Treg cells residing within the microenvironment of the pancreatic islets. In mice, pancreatic island  $\beta$  cells are expressing PD-L1, thereby directly suppressing the cytolytic activity of effector CD8<sup>+</sup> T cells and possibly inducing the differentiation of CD4<sup>+</sup> T cells into iTreg cells. Moreover, it seems that PD-L1 expressed by the pancreatic epithelial and vascular endothelial cells has a similar suppressive function. Therefore, PD-1 exerts its inhibitory activity on T cells from different angles. Using two-photon microscopy, it was shown that antibody blockade of PD-L1 resulted in decreased motility of tolerant islet-antigen-specific CD4<sup>+</sup> T cells within the pancreatic island concomitant with breaking the tolerance and induction of autoimmune diabetes (Fife et al. 2009). Functions and differentiation of effector T cells could be cooperatively determined by not only the direct signaling through the PD-1-PD-L1 binding but also the duration of TCR signaling and the cytokine concentration within the microenvironment.

Immunosuppressive mechanisms by PD-1 are also believed to control the development of multiple sclerosis. PD-1 and PD-L1 are widely expressed by various types of cells within the central nervous system (CNS); furthermore, retinal ganglion cells not only express PD-1 but also upregulate the PD-L1/2 expression under inflammatory conditions. Astrocytes and brain vascular endothelial cells express PD-L1. Microglia, the antigen-presenting cells within the CNS, also express PD-L1 upon stimulation with IFN-γ. In experimental autoimmune encephalomyelitis (EAE), the mouse model for multiple sclerosis, PD-1 and PD-L1/2 are expressed by the inflammatory cells infiltrating within the cerebrospinal fluid. Furthermore, the deficiency of PD-1 or the antibody blockade of the PD-1-PD-L1 interaction leads to more severe EAE with an earlier onset, suggesting that PD-1 plays a critical role in limiting inflammation within CNS. Bone marrow chimera experiments have further shown that the expression of PD-1 on macrophages and other myeloid cells is as important as its expression on lymphocytes for the control of EAE (Rui et al. 2013). Under inflammatory conditions, nerve cells themselves express PD-1 and TGF-β; those induce the differentiation of the infiltrating encephalitogenic effector T cells into iTreg cells, promoting the relief of inflammation (Liu et al. 2006).

In inflammatory bowel disease (IBD) patients, PD-L1 is highly expressed by intestinal epithelial cells suggesting that intestinal mucosal epithelia could contribute to the tolerance induction via PD-1 (Nakazawa et al. 2004). CD103<sup>+</sup> DCs within the gut-associated lymphoid tissue (GALT) could induce oral tolerance via the induction of iTreg cell differentiation. Additionally, iTreg cells could also be induced by PD-L1–expressing intestinal epithelial cells. Inflammation-related cyto-kines such as IL-12, IL-17, IL-21, IL-23, and IFN- $\gamma$  were locally concentrated in the microenvironments under the IBD conditions and induce T-cells differentiation into Th17 cells, the major T-helper subset responsible for IBD. Although the

number of Foxp3<sup>+</sup> cells is increased in the gut, Th17 cells drastically increase in number compared to Treg cells and consequently the decreasing Treg/Th17 ratio results in IBD. The murine IBD model, in which CD4<sup>+</sup> CD45RB<sup>hi</sup> T cells were transferred into severe combined immunodeficient (SCID) mice, demonstrated that not only iTreg cells but also CD4<sup>+</sup> CD25<sup>-</sup> PD-1<sup>+</sup> Foxp3<sup>+</sup> effector T cells contribute to the control of inflammation; indeed, the pathogenic CD4<sup>+</sup> CD45RB<sup>hi</sup> T cells that expressed PD-1 became nonpathogenic after several rounds of sequential transfer into SCID mice and finally could suppress colitis upon cotransfer with colitogenic CD4<sup>+</sup> T cells (Totsuka et al. 2008)

#### 34.12 Closing Remarks

Although PD-1 was originally cloned as an apoptosis-related molecule, now its negative costimulatory function has become very clear. Biological characteristics of PD-1 are unique compared to the other IgSF costimulatory molecules; first, its ligand expression pattern is complicated as it is not only restricted to immune cells but rather expressed by several cell types all over the body. The expression of PD-1 and its ligands are controlled by various environmental cues and also both the receptor and their ligands transduce inhibitory signaling as counterreceptors. Nonhaematopoietic cells expressing PD-1 ligands can regulate T-cell activation through an MHC Class II-independent fashion. Second, the receptor PD-1 and the ligands PD-L1/2 are simultaneously expressed on the same cell forming a cell intrinsic inhibitory machinery that might also regulate the developmental or functional outputs in concert with the extrinsic machinery. Collectively, PD-1 was unequivocally proved to be a superior target molecule for cancer and chronic inflammatory disease therapy, probably because its immune-suppressive functions are mediated at multiple stages of T-cell life and via crosstalk between T cells, haematopoietic cells, and even almost all types of cells within the body.

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## Chapter 35 The Role of Lysophospholipids in Immune Cell Trafficking and Inflammation

## Masayuki Miyasaka, Akira Takeda, Erina Hata, Naoko Sasaki, Eiji Umemoto, and Sirpa Jalkanen

**Abstract** Lysophospholipids are phospholipids that lack an acyl chain. Thus, they are less hydrophobic than diacyl phospholipids and can act as intercellular signaling molecules. Like cytokines, they are locally acting, short-lived molecules, which signal through specific cell-surface receptors. Accumulating evidence indicates that at least two of the lysophospholipids, namely sphingosine-1-phosphate (S1P) and lysophosphatidic acid (LPA), play critical roles in determining the spatial distribution of immune cells in lymphoid tissues. Both of these molecules act on lymphocytes, dendritic cells, and lymphoid tissue stromal cells, via specific G-protein–coupled receptors. Under physiological conditions, S1P regulates lymphocyte igress from lymphoid tissues, whereas LPA regulates lymphocyte ingress into and migration within lymph nodes. The aberrant production and/or metabolism of these lysophospholipids results in the dysregulated distribution of immune cells and the induction of various types of inflammatory responses in vivo. Here we discuss the specific roles of these lysophospholipids in immune cell trafficking and inflammation.

M. Miyasaka (🖂)

WPI Immunology Frontier Research Center, 2-2, Yamada-oka, Suita 565-0871, Japan

A. Takeda • S. Jalkanen

E. Hata • N. Sasaki Laboratory of Immunodynamics, Graduate School of Medicine, Osaka University, 2-2, Yamada-oka, Suita 565-0871, Japan e-mail: erinaht@orgctl.med.osaka-u.ac.jp; sasaki@orgctl.med.osaka-u.ac.jp

E. Umemoto

Laboratory of Immune Regulation, Graduate School of Medicine, Osaka University, 2-2, Yamada-oka, Suita 565-0871, Japan e-mail: umemoto@ongene.med.osaka-u.ac.jp

Interdisciplinary Program for Biomedical Sciences, Institute for Academic Initiatives, Osaka University, 2-2, Yamada-oka, Suita 565-0871, Japan

MediCity Research Laboratory, University of Turku, Tykistökatu 6A, Turku 20520, Finland e-mail: mmiyasak@orgctl.med.osaka-u.ac.jp

MediCity Research Laboratory, University of Turku, Tykistökatu 6, Turku 20520, Finland e-mail: akitak@utu.fi; sirjal@utu.fi

**Keywords** Lysophospholipids • Sphingosine-1-phosphate (S1P) • S1P receptors • Lysophosphatidic acid (LPA) • LPA receptors • Lymphocytes • Endothelial cells • Immune cell trafficking • Inflammation

# 35.1 Sphingosine-1-Phosphate (S1P) and Immune Cell Trafficking

# 35.1.1 S1P Is a Bioactive Lysophospholipid with Pleiotropic Cellular Functions

S1P is an amphipathic lipid with a single acyl chain and a phosphate-containing polar head group that is structurally similar to lysophosphatidic acid (LPA; Fig. 35.1). S1P regulates many processes, including cell proliferation, survival, migration, and differentiation, as well as immune cell trafficking and angiogenesis (reviewed by Spiegel and Milstien 2011; Mirendil et al. 2013; Blaho and Hla 2014).

S1P, a metabolite of cell membrane sphingolipids, is produced intracellularly and subsequently released to the extracellular environment as follows (Fig. 35.2). A plasma-membrane component, ceramide, which is produced from sphingomyelins by sphingomyelinases, is first converted to sphingosine by ceramidase. Sphingosine is subsequently converted into S1P by two sphingosine kinase isoforms (SphK1 and SphK2), which are localised to different intracellular compartments; SphK1 localises to the plasma membrane, whereas SphK2 localises to the mitochondria and nucleus. The SphKs are ubiquitously expressed in most tissues and have both distinct and overlapping functions. Mice deficient in both kinases are embryonic lethal and exhibit severe neural and vascular defects with no detectable phosphorylation of sphingosine (Mizugishi et al. 2005), confirming that S1P is generated by these enzymes and is essential for embryogenesis. S1P is released into the extracellular compartment by specific S1P transporter proteins, such as spinster homologue 2 (Spns2), and functions as a soluble lipid mediator, acting on specific G-protein-coupled receptors (GPCRs) termed S1P<sub>1</sub>-S1P<sub>5</sub> (reviewed by Jung and Hla 2013). As described later, S1P may also act within the cell, independently of the S1P receptors expressed on the cell surface.



**Fig. 35.1** Structures of sphingosine-1-phosphate (S1P) and lysophosphatidic acid (LPA). Each is a phospholipid bearing a single acyl chain. LPA does not reflect a single molecular species, but represents several related species with fatty acids of different length and degree of saturation



Fig. 35.2 S1P metabolism and the S1P/S1P receptor signalling axis. S1P is produced intracellularly and subsequently released to the extracellular environment as shown here. Its synthesis and degradation are tightly regulated

In the peripheral blood, ~65 % of the S1P is associated with high-density lipoprotein (HDL) and ~35 % is associated with albumin. In HDL, HDL-associated apolipoprotein M serves as an S1P carrier and delivers S1P to S1P receptors on the cell surface for signaling (Christoffersen et al. 2011). S1P is then rapidly degraded by S1P phosphatases, which dephosphorylate S1P to yield sphingosine, and by S1P lyase, which cleaves S1P into phosphoethanolamine and hexadecenal (reviewed by Jung and Hla 2013).

## 35.1.2 S1P's Role in Immune Cell Trafficking

The prevailing hypothesis is that S1P regulates immune cell trafficking by serving as a chemotactic ligand that controls lymphocyte egress from lymphoid tissues (reviewed by Cyster and Schwab 2012; Mendoza et al. 2012). According to this postulation, S1P is stored in, and released from, erythrocytes (Hla 2004) and vascular endothelial cells (Venkataraman et al. 2008), resulting in high S1P concentrations (up to several  $\mu$ M) in the peripheral blood. High S1P levels are also produced by the lymphatic endothelium (Pham et al. 2010), and eventually drain into the blood. In contrast, the S1P concentration is relatively low in lymphoid tissues, due to the presence of the S1P-degrading activity of S1P lyase. This differential concentration of S1P in the blood and lymphoid tissue results in a concentration gradient between the two compartments. Lymphocytes within the

lymph nodes express high levels of S1P<sub>1</sub> and undergo chemotaxis in response to the S1P gradient (Matloubian et al. 2004). Thus, S1P/S1P<sub>1</sub>-mediated signals are sufficient to drive lymphocyte egress from the lymphoid tissue and to overcome the retention signals mediated by CCR7 and other chemokine receptors (Pham et al. 2008). In contrast, peripheral blood lymphocytes express low levels of  $S1P_1$ , probably due to its downregulation by internalisation in response to high S1P concentrations in the blood (Schwab et al. 2005). Blood-borne lymphocytes enter the lymph nodes by transmigrating across high endothelial venules (HEVs), and begin to express  $S1P_1$  at high levels due to the paucity of S1P in the lymph nodes. The lymphocytes then migrate to the cortical sinuses (Grigorova et al. 2010), the medullary sinus, and finally to the efferent lymphatics, by sensing the S1P concentration gradient in an S1P<sub>1</sub>-dependent manner. Thus, it has been postulated that cyclical changes in lymphocyte  $S1P_1$  expression and differential S1P concentrations in the blood and specific tissue compartments direct lymphocyte egress from the lymph nodes (Cyster and Schwab 2012). A similar scenario is envisioned for the regulation of lymphocyte egress from the thymus.

The postulation that the S1P/S1P<sub>1</sub> axis regulates lymphocyte egress is supported by the results of numerous animal studies. The genetic inactivation of SIPR1 in mice results in a marked inhibition of lymphocyte egress from various lymphoid tissues, including the lymph nodes, spleen, and thymus (Matloubian et al. 2004). Notably, the disruption of S1P gradients by S1P lyase inhibition (Schwab et al. 2005) or by the conditional ablation of sphingosine kinases (Pappu et al. 2007; Pham et al. 2010) also inhibits lymphocyte egress from the lymph nodes into the lymphatic system. Furthermore, mice deficient in the S1P transporter protein Spns2, which is expressed by endothelial cells but not by erythrocytes, have substantially reduced lymph S1P with only minor changes in plasma S1P levels (Mendoza et al. 2012), and exhibit a block in lymphocyte egress from the lymph nodes (Mendoza et al. 2012; Fukuhara et al. 2012). Lipid phosphate phosphatase 3 (LPP3), a phosphatase expressed in vascular endothelial cells, has been shown to promote the efficient export of mature T cells from the thymus into the circulation by dephosphorylating thymic S1P, thus contributing to the generation of an S1P gradient between the thymus and circulation (Bréart et al. 2011). S1P lyase is also expressed by vascular endothelial cells and stromal cells in the perivascular spaces in the thymic medulla, and S1P lyase inhibition results in prominent lymphocyte accumulation in the perivascular spaces (Maeda et al. 2014). Thus, thymic blood vessels supporting thymocyte emigration appear to be equipped with a mechanism that mediates S1P degradation.

However, this widely accepted S1P concentration gradient hypothesis is in apparent conflict with several other reported findings. First, although S1P<sub>1</sub> is also expressed at high levels on macrophages, dendritic cells, and natural killer cells, only lymphocytes exit the lymph nodes in response to physiological concentrations of S1P. Second, *S1P1* transcripts are also abundant in the endothelial cells, pericytes, and vascular smooth muscle cells surrounding blood vessels. Proia's group recently characterised the activity of S1P<sub>1</sub> at the cellular level in vivo using S1P<sub>1</sub> GFP signaling mice, in which S1P<sub>1</sub> activation can be observed by the

expression of a GFP reporter gene (Kono et al. 2014). They detected prominent GFP signals in both the lymphatic and vascular endothelial cells in lymphoid tissues, indicating strong S1P<sub>1</sub> activation in these cell types, whereas most lymphocytes showed minimal signs of S1P<sub>1</sub> activation under homeostatic conditions, raising the possibility that locally produced, but not plasma-derived S1P, induces these S1P<sub>1</sub> activation events. Although we cannot exclude the possibility that the methodology used in this study was not sensitive enough to detect low levels of lymphocytes S1P<sub>1</sub> activation, the apparent absence of S1P<sub>1</sub> activation in most lymphocytes is surprising, given that cyclical changes in S1P<sub>1</sub> expression are postulated to be involved in lymphocyte egress from lymphoid tissues (Cyster and Schwab 2012).

The potent immunosuppressant FTY720, which is structurally similar to S1P, is rapidly phosphorylated in vivo and binds to all of the S1P receptors except S1P<sub>2</sub>. In addition, FTY720 induces the internalisation and degradation of S1P<sub>1</sub> and is thus thought to act as a functional antagonist of this receptor. At least two studies have reported contradictory findings on the effect of FTY720 on lymphocyte trafficking across HEVs. Yopp et al. (2005) reported that FTY720 does not enhance lymphocyte migration across HEVs, whereas Pabst et al. (2006) reported that FTY720 facilitates lymphocyte migration across HEVs, in an integrin-dependent manner. Thus, although the involvement of the S1P/S1P<sub>1</sub> axis in lymphocyte egress is well established, its effect on lymphocyte topology in lymphoid tissues remains unclear.

## 35.1.3 S1P and Inflammation

S1P biosynthetic pathways are regulated by proinflammatory mediators, and this regulatory mechanism underlies the potential contribution of S1P signalling to inflammation (reviewed by Rosen and Goetzl 2005; Kunkel et al. 2013). For instance, TNF $\alpha$  and IL-1 can induce the conversion of sphingomyelin to ceramide (Spiegel and Merrill 1996). TNF $\alpha$  can also induce SphK1 activation and its translocation from the cytosol to the plasma membrane, resulting in the production of S1P. Similarly, the crosslinking of high affinity IgE receptors on mast cells activates SphK1, leading to S1P production. In both cases, S1P is secreted and acts in an autocrine or paracrine manner to activate S1P-responsive cells. This process is known as S1P 'inside-out signalling' and appears to play an important role in inflammation (Spiegel and Milstien 2011). Indeed, in endothelial cells,  $TNF\alpha$ enhances SphK activities and generates S1P, which in turn enhances proinflammatory adhesion molecule expression and the activation of ERK kinases and NF-kB, all of which can be inhibited by an SphK inhibitor. Thus,  $TNF\alpha$ induced endothelial activation appears to be dependent on SphK activities (Xia et al. 1998). In addition, in certain situations, S1P appears to induce NF-kB activation independently of S1P receptor activation (Alvare et al. 2010). In these cases, intracellular S1P acts directly on target molecules, such as TRAF2, a key component of TNFa-induced NF-kB activation.

In tumour cells, S1P's binding to S1P<sub>1</sub> also activates STAT3, a downstream target of the proinflammatory cytokine, IL-6, and induces the expression of both IL-6 and S1P<sub>1</sub>. These signals activate a positive feedback loop leading to persistent STAT3 activation (Lee et al. 2010). Activation of the SphK1/S1P/S1P<sub>1</sub> axis was recently shown to induce chronic intestinal inflammation, suggesting that this pathway could be linked to colitis-associated cancer (Liang et al. 2013). Using an experimental model of colitis-induced tumourigenesis, in which mice are treated with a chemical carcinogen and a colitis-inducing chemical, dextran sulfate, Liang et al. (2013) reported that SphK2-deficient mice develop increased intestinal inflammation and markedly increased tumour burden, compared with wild-type mice. Notably, these mice also exhibit a prominent increase in the expression of SphK1. Furthermore, in SphK2-deficient mice, the S1P-induced activation of NF-kB and STAT3, and downstream production of TNFa and IL-6, are all enhanced, and exhibit further enhancement during colitis development. SphK1 or S1P1 inhibition reduces the activation of NF-kB and STAT3, providing additional support for the role of the SphK1/S1P pathway in inflammatory signalling. Using bone marrow transfer experiments, the authors demonstrated that haematopoietic cells drive the NF-kB-induced IL-6 production and STAT3 activation, and the induction of colitis. Treating mice with FTY720, which antagonises both S1P1 and SphK1, was found to block the persistent activation of NF-kB and STAT3, IL-6 production, and the development of colitis-associated tumours. Taken together, this study showed that the SphK1/S1P axis drives a feedforward signal amplification loop involving the S1P<sub>1</sub>/NF-kB/IL-6/STAT3 pathway that exacerbates inflammation and tumourigenesis in the large intestine and is critical for the progression from chronic inflammation to colitis-associated tumour cancer.

## 35.2 Lysophosphatidic Acid (LPA) and Immune Cell Trafficking

## 35.2.1 LPA Is a Pleiotropic Lipid Mediator Detected in Various Tissues

Similar to S1P, LPA is a phospholipid bearing a single acyl chain (Fig. 35.1). LPA regulates multiple biological processes, including cell proliferation, migration, and differentiation (Mirendil et al. 2013). The term LPA does not reflect a single molecular species, but represents several related species with fatty acids of different lengths and degrees of saturation.

LPA can be generated both intra- and extracellularly in multiple tissues (Fig. 35.3). Under steady-state conditions, LPA is produced extracellularly primarily by autotaxin (ATX), a secreted lysophospholipase D that converts lysophosphatidylcholine (LPC) in the circulation to LPA, as described later. Under certain conditions, LPA is generated by phospholipases on membrane



**Fig. 35.3** LPA metabolism and the LPA/LPA receptor signalling axis. LPA can be generated both intra- and extracellularly, however, the latter appears to be mainly involved in the regulation of immune cell trafficking

vesicles shed by activated platelets and red blood cells. In addition, activated platelets produce various lysophospholipids, including LPC, which are converted to LPA by ATX in the systemic circulation (Aoki et al. 2002).

Intracellular production of LPA involves the enzymatic activity of phospholipase D (PLD), which hydrolyses phosphatidylcholine to produce phosphatidic acid, which is in turn metabolised into LPA via phospholipase  $A_1$  (PLA<sub>1</sub>). Acylation of glycerol-3-phosphate by glycerophosphate acyltransferase also results in LPA production (Nakanaga et al. 2010).

LPA is found in plasma at concentrations of ~0.1  $\mu$ M, where it is primarily derived from lysophosphatidylcholine (LPC) by the enzymatic action of the lysophospholipase D, ATX, which is also present in plasma (~1.5  $\mu$ M in mouse). Once produced in vivo, LPA is rapidly degraded by a group of integral membrane exophosphatases, termed lipid phosphate phosphatases (LPP1-3), and cleared from the circulation.

LPA binds specific cell-surface receptors, LPA<sub>1</sub>-LPA<sub>6</sub>, which are all GPCRs that couple to one or more of four heterotrimeric G $\alpha$  proteins (G<sub>12/13</sub>, G<sub>q/11</sub>, G<sub>i/o</sub>, and G<sub>s</sub>). Ligand binding to these receptors results in the activation of multiple signalling pathways with various downstream physiological and pathological effects (Table 35.1). LPA also binds to noncanonical, non-GPCR–type receptors, such as the receptor for advanced glycation end-product (RAGE; Rai et al. 2012), the transient receptor potential vanilloid 1 (TRPV1) ion channel (Nieto-Posadas et al. 2012), and the peroxisome proliferator-activated receptor gamma (PPAR $\gamma$ ; Zhang et al. 2004); however, the biological significance of these interactions remains unclear.

Canonical receptors	Expressing cells/ tissues			G proteins involved		Phenotype of KO mice	Remarks
LPA <sub>1</sub>	Ubiquitous			$\begin{array}{c} G_{i/o}, \\ G_{q/11}, \\ G_{12/13} \end{array}$		Neurodevelopmental defects and reduced inflammation-induced fibrosis	Implicated in neuro- pathic pain, abun- dantly expressed in activated T cells
LPA <sub>2</sub>	Hi kic let	Highly expressed in kidney, testis, and leukocytes		$\begin{array}{c} G_{i/o}, \\ G_{q/11}, \\ G_{12/13} \end{array}$		Phenotypically normal	Protumourigenic in cancer cells, abun- dantly expressed in naïve T cells
LPA <sub>3</sub>	Many tissues including testis, kidney, lung, heart, ovary, and brain			G <sub>i/o</sub> , G <sub>q/11</sub>		Delayed embryo implantation, and reduced litter size	Triple genetic dele- tion of LPA <sub>1</sub> , LPA <sub>2</sub> , and LPA <sub>3</sub> results in defective germ cell survival
LPA <sub>4</sub>	Many tissues including heart, skin, thymus, and brain			$\begin{array}{c} G_{12/13}, \\ G_{i/o}, \\ G_{q/11}, \\ G_{S} \end{array}$		Decreased prenatal sur- vival, enlarged lym- phatic vessels, and increased bone trabecu- lar thickness	
LPA <sub>5</sub>	Highly expressed in spleen, heart, plate- lets, lymphocytes, and dorsal root ganglia			G <sub>12/13</sub> , G <sub>q/11</sub>		Protected from partial sciatic nerve ligation- induced neuropathic pain, and reduced inci- dence of melanoma- derived lung metastasis in LPA <sub>5</sub> KO mice	
LPA <sub>6</sub>	Inner root sheaths of hair follicles, blood vessels		f	$\begin{array}{c} G_{12/13}, \\ G_{i/o}, \\ G_{q/11} \end{array}$		Apparently normal	A mutation results in autosomal recessive wooly hair in humans
Noncanonical receptors RAGE		Expressing cells/tissues Ubiquitous	G pı in N	G proteins involved None		henotype of KO mice rotected against leomycin-induced pul- ionary fibrosis	Remarks
ΡΡΑΚγ		Ubiquitous	None		Embryonic lethal, adi- pose-tissue–specific KO mice are protected from diet-induced obesity and insulin resistance		Only activated by unsaturated LPA spe- cies, involved in mast cell proliferation and differentiation?
TRPV1		Nociceptive neurons of the peripheral nervous system	None		Ir ti ho	npaired thermal sensi- vity to pain triggered by eat or capsaicin	LPA produces acute pain-like behaviors, which are substantially reduced in <i>Trpv1</i> -null mice

Table 35.1 LPA receptors

## 35.2.2 The ATXLPA Axis Regulates Lymphocyte Extravasation in Lymph Nodes

ATX is a member of the ectonucleotide pyrophosphatase and phosphodiesterase (ENPP) family and is also known as ENPP2. Initially, ATX was isolated as a tumour-motility-stimulating protein and was later found to be identical to lysophospholipase D. ATX was subsequently shown to catalyse the generation of LPA from serum-derived LPC, indicating that its ability to stimulate cell mobility is based on its lysophospholipase D activity (reviewed by Nakanaga et al. 2010).

In the peripheral blood, ATX is the primary LPA-producing enzyme. It is exported from various types of cells through the classical secretory pathway, and may act as an ectoenzyme on the cell surface by binding to proteoglycans and integrins. ATX is transcribed abundantly in HEV endothelial cells (Nakasaki et al. 2008; Kanda et al. 2008). Accumulating evidence indicates that the ATX on the HEV luminal surface regulates lymphocyte trafficking by generating LPA locally. Kanda et al. (2008) reported that the intravenous injection of enzymatically inactive ATX inhibits lymphocyte migration into the lymph node parenchyma, although the specific step affected (rolling, adhesion, or transmigration) was not examined in that study. Bai et al. (2013) demonstrated that ATX is selectively expressed in HEVs and showed that local inhibition of the ATX/LPA axis substantially inhibits lymphocyte transmigration across HEVs. Furthermore, the local administration of LPA was found to abrogate this effect. In agreement with these findings, Zhang et al. (2012) showed that the systemic inhibition of ATX by a specific small-molecule inhibitor, HA-130, attenuates lymphocyte transmigration across HEVs.

Two hypotheses, which are not mutually exclusive, could explain the mechanism by which ATX affects lymphocyte transmigration. One is that ATX acts primarily on lymphocytes, and the other is that ATX acts on HEV endothelial cells. Zhang et al. (2012) showed that treating mouse T cells with LPA alone, or with LPC plus ATX, enhances lymphocyte shape changes and transendothelial migration in vitro. They detected substantial ATX binding to the lymphocyte surface via Mn<sup>2+</sup>-activatable receptors, implying that ATX generates LPA on the lymphocyte surface. However, the binding of ATX to undisturbed naïve lymphocytes was found to be minimal, and hence, its role in regulating lymphocyte trafficking across HEVs remains unclear. Recently, Knowlden et al. (2014) reported that LPA2-deficient CD4 T cells move more slowly than their wild-type counterparts in the lymph node parenchyma, a finding that was also confirmed by our group. ATX is in fact expressed strongly by the fibroblastic reticular cells in the parenchyma, and ATX expressed by these cells produces LPA locally, which in stimulates lymphocyte motility in the parenchyma turn via  $LPA_2$ (Takeda et al. 2016).

The evidence that LPA acts on endothelial cells is also compelling. Bai et al. (2013) reported that treatment with both LPA and LPC increases the motility of ATX-expressing HEV endothelial cells; LPC's effect can be abrogated by ATX

inhibition, whereas LPA's effect is abrogated by ATX/LPA receptor inhibition, in agreement with the previous finding that ATX is required to convert LPC to LPA. In an in vitro transmigration assay, ATX inhibition was found to impair the release of lymphocytes that had migrated underneath HEV endothelial cells, and this defect could be abrogated by the addition of LPA. This effect of LPA was found to depend on myosin II activity expressed in the HEV endothelial cells. These findings indicate that LPA acts at least in part on endothelial cells to regulate lymphocyte transmigration. We have also recently shown that LPA<sub>4</sub> expressed on HEV endothelial cells plays an important role in regulating the lymphocyte transmigration across the HEV endothelial cell layer (Hata et al. in press).

Finally, it should be mentioned that LPA may play a role in stimulating S1P receptor-mediated signalling. LPA binds to one of the S1P receptors, S1P<sub>1</sub> with an apparent Kd of 2.3  $\mu$ M, suggesting that it may function as a low-affinity agonist for this receptor (Lee et al. 1998). Given that HEV endothelial cells produce high levels of ATX and LPA, the promiscuous activation of S1P<sub>1</sub> by LPA may occur in HEVs. These observations are compatible with a recent report by Proia's group showing that strong S1P<sub>1</sub> activation can be observed in HEV endothelial cells (Kono et al. 2014).

### 35.2.3 LPA and Inflammation

ATX expression is induced at inflammatory sites, which leads to the local production of LPA. Multiple lines of evidence indicate that the locally produced LPA promotes inflammation by acting on both leukocytes and stromal cells, which leads to further local LPA production (reviewed by Sevastou et al. 2013). In vitro, LPA stimulates multiple proinflammatory activities, including the polarisation and degranulation of neutrophils, the migration of eosinophils, immature dendritic cells, Th1 cells, Th2 cells, and activated NK cells, and the generation of various chemokines in mast cells, including CCL4/MIP-1B, CXCL8/IL-8, and CCL2/MCP-1, in an IL-4-dependent manner. In addition, treating cells with LPA causes a loss of barrier function in endothelial cells and increased CXCL8/IL-8 production in epithelial cells. The in vivo injection of LPA into the murine air pouch induces robust leukocyte infiltration, together with the local release of CXCL1/KC and CXCL10/IP-10, which is strongly enhanced by prior treatment with TNFa (Zhao et al. 2011). Furthermore, elevated levels of ATX (Zhao et al. 2008) and LPA (Nochi et al. 2008) are found in the synovial fluids of rheumatoid arthritis (RA) patients. Synoviocytes from RA patients express enhanced levels of LPA<sub>1</sub> compared with those from osteoarthritis patients and show strong LPA1-induced proliferation and the production of proinflammatory molecules, including IL-6, VEGF, and MMP-3 (Miyabe et al. 2014). LPA<sub>1</sub>-deficient mice do not develop collagen-induced experimental arthritis (Miyabe et al. 2013). Taken together, these results support the importance of LPA/LPA1 signalling in the development of arthritis.

LPA has also been implicated in the tissue fibrosis induced by chronic inflammation. LPA is elevated in the bronchoalveolar lavage fluids of patients with idiopathic pulmonary fibrosis (Tager et al. 2008), and LPA<sub>1</sub> knockout mice are protected from the development of bleomycin-induced pulmonary fibrosis (Tager et al. 2008). Renal fibrosis experimentally induced by ureteral obstruction is accompanied by upregulated LPA<sub>1</sub> expression and enhanced LPA production by the obstructed kidney, and the fibrotic changes are significantly reduced by treatment with an LPA<sub>1</sub> antagonist and are attenuated in LPA<sub>1</sub>-deficient mice (Pradère et al. 2007).

In addition to the considerable amount of evidence indicating a proinflammatory role for LPA, there is also evidence that LPA plays a role in controlling inflammation. For example, LPA has been shown to inhibit endotoxin-induced inflammatory responses in vivo (Fan et al. 2008) and to promote wound healing when applied topically (Balazs et al. 2001). Therefore, depending on the stage and/or type of inflammation, LPA may exhibit either pro- or antiinflammatory effects.

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## Part VII Chronic Inflammation and Autoimmune Diseases

## **Chapter 36 Devising Novel Methods to Control Chronic Inflammation Via Regulatory T Cells**

James B. Wing, Atsushi Tanaka, and Shimon Sakaguchi

Abstract Regulatory T (Treg) cells expressing the transcription factor Foxp3 make up approximately 10% of CD4 T-cells and are essential for the maintenance of immune self-tolerance and homeostasis. Tregs have a critical role in the control of a variety of chronic inflammatory diseases, for example, autoimmune diseases such as Type 1 diabetes (T1D), Rheumatoid arthritis (RA), and Systemic lupus erythematosus (SLE). In addition, Treg control of chronic inflammation is relevant to the control of metabolic disorders, such as obesity, that accompany chronic inflammation. Increasing Treg number and augmenting suppressive function by various strategies such as transfer of ex vivo expanded autologous Treg cells and in vivo IL-2 treatments for Treg cell expansion are a promising avenue of investigation for the control of chronic inflammation. In this chapter, we discuss our current understanding of the roles of Tregs in chronic inflammation and recent advances in strategies to quantitatively or qualitatively enhance their function.

**Keywords** Regulatory T-cells • Chronic inflammation • Arthritis • Colitis • Diabetes • SLE

## **36.1** Phenotype and Function of Tregs

Many self-reactive T cells are deleted in the thymus in the course of negative selection; however, this process is imperfect, allowing some self-reactive T cells to emigrate from the thymus to the periphery where they may mediate autoimmunity. This creates a need for peripheral suppression. Regulatory T cells (Tregs) make up approximately 10% of CD4<sup>+</sup> T cells and are critically required for prevention of

J.B. Wing • A. Tanaka

Laboratory of Experimental Immunology, Immunology Frontier Research Center, Osaka University, Suita 565-0871, Japan

S. Sakaguchi (⊠)

Laboratory of Experimental Immunology, Immunology Frontier Research Center, Osaka University, Suita 565-0871, Japan

AMED-CREST, Suita 565-0871, Japan e-mail: shimon@ifrec.osaka-u.ac.jp

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autoimmunity. Abrogation of Treg cell development in mice by neonatal thymectomy around day 3 after birth or specific deletion of Tregs in adult mice results in the development of acute and severe autoimmune diseases (reviewed (Sakaguchi 2011)). More subtle loss of Treg function or reduction of their number is likely to contribute to the development of chronic inflammation.

Tregs were initially identified as CD25-positive CD4<sup>+</sup> T cells. CD25 is the alpha chain of the IL-2 receptor and IL-2 signalling via the IL-2 receptor is essential for the maintenance of regulatory T cells (reviewed (Bayer et al. 2013)). Tregs are controlled by the lineage-defining transcription factor Foxp3. Ectopic expression of Foxp3 confers suppressive function on Foxp3-negative conventional T cells, and mutations in the Foxp3 gene either in the scurfy mouse strain or human subjects suffering from IPEX syndrome leads to severe autoimmunity (Bennett et al. 2001; Sakaguchi 2011; Hori et al. 2003). Foxp3 expression is essential, but not alone sufficient, to maintain the Treg identity as it must form complexes with a wide range of binding partners and transcription factors such as Eos, GATA-1, GATA-3, Satb1, Lef1, and IRF4 to exert Treg cell functions. Treg cells are also maintained at the epigenetic level in which demethylation and permissive histone modifications at key genes such as *Foxp3* and *Ctla4* allow their constitutive expression (reviewed (Ohkura et al. 2013)).

Tregs have a number of suppressive mechanisms that may act in different circumstances (reviewed (Yamaguchi et al. 2011)). The primary mechanism that underpins immune homeostasis is CTLA-4–dependent control of CD80 and CD86 expression by APCs. Treg specific loss of CTLA-4 produces fatal autoimmunity similar to that seen in Foxp3- or Treg-deficient mice (Wing et al. 2008). In humans, heterozygous mutations in CTLA-4 lead to severe autoimmunity and chronic inflammation due to a partial loss of Treg function (Schubert et al. 2014; Kuehn et al. 2014). Treg-induced CD80/CD86 downregulation on APCs can indeed determine the cell fate of responder T cells, rendering them anergic, apoptotic, or dormant depending on their TCR affinity (Maeda et al. 2014). In addition to CTLA-4 expression, other Treg functions such as the production of IL-10 and TGF- $\beta$  seem to have a critical role in controlling active immune responses. For example, loss of either total or Treg-specific IL-10 expression results in the development of chronic inflammation at the mucosa of the lung and the gut where the host is constantly exposed to invading microbes.

In this chapter, we discuss our current understanding of the roles of Treg cells in chronic inflammation and how they can be targeted to control chronic inflammation.

## **36.2 Tregs in Chronic Inflammation**

## 36.2.1 SLE

Systemic lupus erythematosus (SLE) is a chronic autoimmune disease characterised by development of autoantibodies targeting a range of systemic self-antigens including double-stranded DNA (dsDNA). Such autoantibodies and immune complexes thus formed with relevant self-antigens cause tissue damage such as glomerulonephritis and vasculitis. SLE is mediated by T-dependent B-cell antibody production; in particular T-follicular helper (Tfh) cells are likely to have a critical role due to their importance in driving the germinal center reaction (reviewed (Ueno et al. 2015)). Circulating Tfh and activated PD-1<sup>hi</sup> CCR7<sup>lo</sup> Tfh cells have been demonstrated to increase in SLE patients (Simpson et al. 2010; He et al. 2013). Additionally, mice with dysregulated Tfh formation develop lupus-like symptoms (Vinuesa et al. 2005), whereas in another model of mouse lupus, the deficiency of the IL-21 receptor, which is critical for Tfh function, ameliorates lupus symptoms (Bubier et al. 2009).

Treg cells and their follicular resident subset T-follicular regulatory cells (Tfr) play a critical role in suppressing Tfh development and function (reviewed (Wing and Sakaguchi 2014; Vanderleyden et al. 2014)). Both Tregs and Tfr control Tfh formation via CTLA-4 (Wing et al. 2014; Sage et al. 2014). Scurfy mice and IPEX patients lacking functional Treg cells develop autoantibodies (Huter et al. 2010) and have enhanced Tfh formation, exhibiting lupus-like pathologies such as presence of antinuclear antibody (ANA), anti-dsDNA antibodies, nephritis, pneumonitis, and arthritis (Hadaschik et al. 2015). Correspondingly, dysregulation of Treg function by blocking IL-2, the cytokine critical for their maintenance, or Treg depletion via anti-CD25 antibodies worsens lupus-like disease in mouse models (Hsu et al. 2006; Humrich et al. 2010). Further to this, specific loss of Tfr function due to reduced CXCR5 expression by NFAT2-deficient Tregs exacerbates lupuslike disease (Vaeth et al. 2014). In humans the importance of changes to circulating Tregs in SLE patients is unclear with studies reporting both decreased or no change to circulating Tregs (reviewed (Chavele and Ehrenstein 2011)). This highlights a persistent problem with studies examining the phenotype of circulating Tregs; that is, there is little consensus about which markers to use, partly because it is clear that in humans both Foxp3 and CD25 expression are not entirely restricted to Tregs, as effector T cells also express low levels of Foxp3 on activation (Miyara et al. 2009).

#### 36.2.2 Tregs in the Control of Diabetes

Type 1 diabetes (T1D) is a chronic autoimmune syndrome in which insulinproducing pancreatic beta cells are targeted and destroyed by both humoral and cellular components of the immune system. This commonly occurs in children and adolescents although more rarely adults can also develop the disease. The nonobese diabetic (NOD) mouse model is widely used as a model for T1D (Anderson and Bluestone 2005). Loss of Treg function and defective IL-2 signalling is reportedly associated with T1D in both humans and NOD mice (Lindley et al. 2005; Long et al. 2010; Tang et al. 2008). In particular, mutations of the Foxp3 gene in IPEX patients cause a range of endocrinopathies, especially T1D (Barzaghi et al. 2012). Further to this, effector T cells from T1D patients have been shown to be refractory to Treg suppression, whereas loss of Treg suppressive function may also inversely correlate with an increase in Th17 cell formation in T1D patients (Ferraro et al. 2011).

In contrast to T1D, Type 2 diabetes (T2D) is primarily a disease of later life and associated with obesity and metabolic syndrome. Although not classically considered a chronic inflammatory disorder, it has become clear recently that inflammation in obese adipose tissue may be a key factor in the development of metabolic disorder. Tregs are found in visceral adipose tissues (VAT) at high frequencies of the CD4 subset (40–50 %). These cells play a critical role in the downregulation of ongoing inflammation that otherwise may lead to the development of metabolic syndrome, as demonstrated by a loss of insulin-induced insulin receptor signalling upon Treg depletion (Feuerer et al. 2009).

#### 36.2.3 Arthritis

Rheumatoid arthritis (RA) is a systemic autoimmune disease characterised by a debilitating, chronic inflammatory condition with progressive articular cartilage destruction and bone resorption. The pathogenesis of RA is multifaceted; however, it is well accepted that RA arises from a breakdown of immunological selftolerance. In humans, an accumulating number of studies suggested increased levels of Tregs at the local site of inflammation (i.e. synovial fluid) in RA patients (van Amelsfort et al. 2004; Cao et al. 2004; Mottonen et al. 2005). However, no clear evidence of Treg anomaly in function or number has been identified in RA patients; and whether Treg anomaly can be causative of RA is unclear. In mice, there are several models of RA, including the induced arthritis models such as collagen or antigen-induced arthritis (Trentham et al. 1977; Courtenay et al. 1980; Brackertz et al. 1977), and genetically manipulated spontaneous arthritis models such as TNF-α transgenic mice, K/BxN mice, TS1 x HACII mice, and SKG mice (Keffer et al. 1991; Kouskoff et al. 1996; Rankin et al. 2008; Sakaguchi et al. 2003). In the SKG arthritis model, a hypomorphic mutation of the gene encoding ZAP-70, an essential TCR signalling molecule, produces chronic and progressing arthritis by altering thymic selection of self-reactive T cells as well as Tregs and their respective functions (Sakaguchi et al. 2011, 2003; Tanaka et al. 2010). Depletion of Tregs from normal BALB/c mice, for example, produces a variety of autoimmune diseases including autoimmune gastritis and oophoritis; however, incidences of arthritis remain low, suggesting that Treg deficiency alone is insufficient to cause arthritis in BALB/c mice (Sakaguchi et al. 1995; Tanaka et al. 2010). In contrast, when SKG CD4<sup>+</sup> T cells devoid of Tregs were transferred to nude mice, the onset and severity of arthritis increased whereas incidences of other autoimmune diseases including oophoritis and gastritis decreased significantly, indicating an altered TCR repertoire by the ZAP-70 mutation. Functionally, SKG Tregs had reduced suppressive function compared to wild-type Tregs. When self-reactive SKG CD4+ T cells together with wild-type Tregs were transferred to nude mice, arthritis induction was prevented. These results suggest that SKG CD4<sup>+</sup> T cells are susceptible to suppression by normal Tregs. Furthermore, SKG mice with knock-in of chimeric eGFP-Foxp3 protein spontaneously develop arthritis in SPF conditions (Ito et al. 2014). The chimeric fusion of eGFP and Foxp3 was suggested to have subtly altered and partial loss of Foxp3 function which seems potent enough to change Treg function and shift the susceptibility of SKG mice in SPF conditions from 'inducible' to 'spontaneous'. Therefore, in the SKG model, self-reactive CD4<sup>+</sup> T cells together with defective Tregs are necessary for the induction and of arthritis. By using these eGFP-Foxp3 KI mice in SKG background, an arthritis-inducing TCR and its target self-antigen were recently identified (Ito et al. 2014). One of the isolated antigens turned out to be ubiquitously expressed 60S ribosomal protein L23a (RPL23A). Furthermore, in RA patients, autoantibodies and T cells reacting against RPL23A were detected. It remains to be determined how a ubiquitously expressed self-antigen is involved in the etiology and/or maintenance of RA.

#### 36.2.4 Inflammatory Bowel Diseases

Inflammatory bowel diseases (IBD) are a group of chronic inflammatory disorders of the gastrointestinal tract encompassing Crohn's disease and ulcerative colitis. Although the etiology of these diseases is still unclear, studies from humans and mouse models suggest that IBD results from the induction of aberrant immune responses to innocuous antigens of the intestinal microflora, dietary proteins, or host cells. It has been suggested that an imbalance of effector T cells, such as Th17, and Treg cells, may trigger and perpetuate intestinal inflammation. One key piece of evidence indicating the role of Tregs in IBD comes from a T-cell transfer model of colitis. In this model, the transfer of CD45RB<sup>hi</sup> T cells (i.e. naïve T cells) into SCID mice induces Th1-mediated colitis (Powrie et al. 1993), however, when CD45RB<sup>lo</sup> T cells containing Tregs were transferred together, colitis development was prevented (Mottet et al. 2003). In support of Treg's role in IBD, a deficiency of Tregs, by a mutation of Foxp3, leads to IBD in both humans and mice (Barzaghi et al. 2012). Furthermore, GWAS study on Crohn's disease patients showed SNPs in genes important for Tregs (i.e. IL2RA, SMAD3, IL10) and Th17 (i.e. IL23R) are associated with Crohn's disease (Franke et al. 2010). Recently, the importance of Tregs in mucosal tolerance as well as the induction of oral tolerance to dietary antigens by mucosal route has been focused on extensively (Hadis et al. 2011). The mechanistic understanding of Tregs in mucosal tolerance may be applicable for therapies targeting Tregs in IBD as well as generation of oral tolerance to suppress immune responses against antigens challenged by parenteral routes for prevention of autoimmune diseases and allergy.

## 36.2.5 Others

Although we have focused on SLE, Diabetes, arthritis, and colitis, Tregs also have a critical role in a wide range of other chronic autoimmune inflammatory disorders including endocrinopathies, dermatitis such as psoriasis, and hepatic disorders such as primary biliary cirrhosis (reviewed (Grant et al. 2015).

#### **36.3** Strategies to Enhance Treg Numbers/Function

The critical role of Tregs in a variety of chronic autoimmune diseases has made therapies targeting Treg function a critical issue for the development of better treatments for these autoimmune syndromes (reviewed (Bluestone et al. 2015; Miyara et al. 2014).

## 36.3.1 Treg Transfer Therapies

One approach to treating chronic autoimmune diseases is to transfer large numbers of purified Tregs into patients to suppress autoimmunity, whether a loss of Treg number or function is involved in their etiologies (Fig. 36.1a). Mouse models have demonstrated that transfer of Treg cells may be a useful strategy in a number of settings. Transfer of purified Tregs decreases pathologies in models of SLE (Scalapino et al. 2006), T1D (Mukherjee et al. 2003), and RA (Morgan et al. 2005), whereas the ability of Tregs to prevent murine colitis is well established (Izcue et al. 2009). In addition to transfer of the normal pool of Tregs, in some cases transfer of specific Treg subsets targeted to the disease in question may prove effective. In a murine model of T2D, transfer of a specific Treg subset can be effective, as transfer of GATA3 expressing VAT Tregs into RAG-deficient mice was sufficient to reverse weight gain and insulin resistance from diet-induced obesity (Winer et al. 2009).

Isolation and transfer of large numbers of Tregs between genetically identical inbred mice is relatively simple, however, Treg numbers are more limiting in humans, making in vitro expansion of Tregs before transfer a critical issue. In order to do this, Tregs are first purified and then expanded in the presence of IL-2, by two rounds of either polyclonal stimulation with anti-CD3 and anti-CD28 coated beads or CD40L expressing alloreactive B cells to expand polyreactive or alloreactive Tregs, respectively, which allows between 100 and 1600-fold expansion of the original Treg population (Putnam et al. 2013). Similar protocols have already been used to expand Tregs from T1D patients and administer them to the patients, and to prevent graft-versus-host disease (GvHD) following allogenic haematopoietic stem cell transplantation (reviewed (Bluestone et al. 2015)).


Fig. 36.1 Treg treatment strategies. (a) Treg transfer. Tregs are purified from donor blood and then expanded in vitro via polyclonal or antigen-specific stimulation in the presence of IL-2. The expanded Tregs are then transferred to the donor. (b) IL-2 treatment. IL-2 is directly injected into the recipient, and engagement with the high-affinity IL-2 receptor CD25 induces Treg proliferation in vivo. (c) Genetic manipulation of Tregs. Purified polyclonal Tregs are modified by addition or an antigen-specific TCR or CAR. These cells are then transferred to the recipient where they will suppress autoimmunity against the target antigen

### 36.3.2 Expansion/Enhancement of Tregs In Vivo

IL-2 is a critical factor for Treg survival (reviewed (Bayer et al. 2013) and as such it is possible to expand Tregs in vivo by addition of IL-2 (Fig. 36.1b). IL-2 may, however, both enhance Treg and effector T-cell function, making the dosage of IL-2 critical. Because Tregs have significantly higher levels of CD25 expression, they are able to outcompete effector T cells for limiting quantities of IL-2, and both expand and deny IL-2 to effector T cells. However, when large quantities of IL-2 are present, effector T cells, particularly Th1 cells, may expand causing further autoimmune pathology. As a result, low dose but not high dose IL-2 therapies have proven successful in reversing T1D in NOD mice (Grinberg-Bleyer et al. 2010). Thus far in humans, IL-2 has been used either as a monotherapy or in combination with the mTOR inhibitor rapamycin, added to suppress effector T-cell expansion, in a number of clinical trials for treatment of T1D therapies (reviewed (Long et al. 2013)) and SLE (Humrich et al. 2015). Currently, these treatments are at an

early stage as determining the correct dose of IL-2 is a nontrivial issue (reviewed (Bayer et al. 2013)).

Another approach is the use of IL-2 complexes made up of IL-2 and anti-IL-2 antibodies. Careful selection of antibody clones allows preferential targeting of IL-2 to CD25 enriched on Tregs, rather than CD122 (IL-2 receptor beta) which is more uniformly expressed by effector cells. Also, IL-2 complexes are more stable in vivo allowing the persistence of low doses of IL-2 over a longer period of time (Boyman et al. 2006). This has proven successful in mice in the treatment of several mouse models such the NOD T1D model (Grinberg-Bleyer et al. 2010) and collagen-induced arthritis (Lee et al. 2012) and T2D (Feuerer et al. 2009), but has not yet made its way to clinical use in humans. IL-2–based therapies may also have a second benefit; in addition to inducing the expansion of Tregs, IL-2 also suppresses Tfh and Th17 cell formation due to IL-2–induced STAT5 signalling upregulating BLIMP-1, which in turn downregulates the Tfh specific transcription factor BCL6 (Johnston et al. 2012).

Although IL-2 therapies polyclonally expand Tregs, another approach is selectively to selectively enhance the formation of antigen-specific Tregs by vaccination with self-antigens. For example, either insulin or the Mr 65,000 isoform of glutamic acid decarboxylase (GAD65), autoantibodies against which are strongly correlated with T1D in both NOD mice and humans. Both induction of oral tolerance by intranasally administered insulin and vaccination have proven successful in the treatment of NOD mice (Petersen et al. 1994). To date, a number of clinical trials have not been able to replicate this success in humans, possibly because this form of vaccination may not be sufficient to reverse established disease (reviewed (Harrison et al. 2013)). A better understanding of how these treatments induce the formation of antigen-specific Tregs and possible combinations with agents (such as IL-2) to expand Tregs specifically at the time of vaccination may hold the key to enhancing the potential of these treatments.

The Treg epigenetic signature is important for the expression of key genes (reviewed (Ohkura et al. 2013)). Promotor methylation of *Ctla4* leading to loss of expression by Tregs has been demonstrated to be a factor in RA (Cribbs et al. 2014), suggesting that methylation may be a therapeutic target. The drug methotrexate appears able to restore demethylation of the Foxp3 gene in RA patients, leading to increased Foxp3 and CTLA-4 expression and lessening of RA symptoms (Cribbs et al. 2015).

In addition to therapies that aim to expand or enhance Treg function, another approach is to replace or supplement Treg function with immunosuppressive molecules. The clearest example of this is CTLA-4Ig, in which CTLA-4 has been fused with an immunoglobulin Fc fragment that allows it to be used as a soluble form of CTLA-4. CTLA-4Ig directly binds to CD80 and CD86, rendering them unavailable for CD28 ligation. CTLA-4Ig (Abatacept) is already used as a therapeutic agent for rheumatoid arthritis (Emery et al. 2015).

### 36.3.3 Genetic Manipulation of Tregs

Because Foxp3 is critical for Treg function and stability, it is possible to induce ectopic overexpression of Foxp3 genetically in conventional T cells in order to convert them to suppressive Treg cells (Hori et al. 2003). This has the benefit of maintaining the antigen specificity of the cells, for example, it should be noted, that although Foxp3 induction in non-Tregs may induce some suppressive capacity or repression of key genes such as IL-2, the cells may still lack the transcriptional landscape and epigenetic profile that would allow them to become bona fide Tregs.

Another approach that allows bypassing the difficulty in generating large numbers of antigen-specific Tregs, which are more effective than polyclonal Tregs, is to either transfer new T-cell receptors (TCRs) into existing Tregs (Brusko et al. 2010), or to make use of chimeric antigen receptors (CARs) in which the TCR is replaced/ bypassed by an engineered receptor consisting of an antibody-derived variable region with the specificity of interest, and conjugated to a signalling and costimulatory domain (Fig. 36.1C). This approach has been used to generate functional antigen-specific Tregs which are able to suppress the development of colitis or EAE in mouse models (reviewed (Jethwa et al. 2014)).

### 36.4 Summary

It is clear that regulatory T cells play a critical role in the development and control of chronic inflammation. Already a number of strategies to enhance Treg numbers and/or function have been developed and are yielding clinical benefits. However, our understanding of both Treg homeostasis and suppressive function in certain disease contexts remains incomplete. We are still in the infancy of our ability to control human autoimmune disease via manipulation of Tregs. Further advances in accurate Treg purification, in vitro and in vivo generation of antigen-specific Tregs, and genetic manipulation of Tregs are required to translate success in mouse models and improve on the already promising results from early clinical trials.

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# Chapter 37 Control of Chronic Inflammation Through Elucidation of Organ-Specific Autoimmune Disease Mechanisms

### Mitsuru Matsumoto

**Abstract** Our body is equipped with an immune system that normally distinguishes between microorganisms (non-self) and components of our body (self), thereby protecting us from the invasion by many pathogens. However, intractable autoimmune disease, in which somehow our immune system attacks our own body, could develop by unknown mechanisms. With aiming toward novel therapeutic approaches to chronic inflammation caused by autoimmunity, we are studying the mechanisms underlying the development of organ-specific autoimmune diseases caused by the abnormal function of antigen-presenting cells in the thymus. We are particularly interested in how the immunological self is presented to developing thymocytes to establish the self-tolerance within the thymic microenvironment. NF-κB activation pathway in medullary thymic epithelial cells (mTECs) and Aire in mTECs, a transcription factor which is responsible for the development of rather rare hereditary type of autoimmune disease, are the main focus of our research, hoping that manipulations of those factors could control the chronic inflammation observed in many organ-specific autoimmune diseases.

**Keywords** Organ-specific autoimmunity • Thymus • Tolerance • NF- $\kappa$ B • Aire • Thymic epithelial cell • Treg • Immune network

### 37.1 Introduction

Autoimmunity against particular self-antigens in our body elicits a prototypic chronic inflammation in the corresponding organs. Breakdown of central tolerance plays a critical role for developing organ-specific autoimmune diseases typically

M. Matsumoto (🖂)

Division of Molecular Immunology, Institute for Enzyme Research, Tokushima University, Tokushima 770-8503, Japan

AMED-CREST, Tokushima 770-8503, Japan e-mail: mitsuru@tokushima-u.ac.jp

seen in Aire-deficient mice and, most likely, in Aire-deficient humans as well (Mathis and Benoist 2009; Matsumoto 2009). Acute phase reaction of inflammation might be caused by the autoimmune attack by the autoreactive T cells against particular self-antigens. Although the mechanisms underlying the persistence of inflammation have not been clearly demonstrated, it is possible that continuous breakdown of the central tolerance is responsible for the long-acting diseased conditions. In other words, if we could repair the breakdown of central tolerance, organ-specific autoimmune diseases can be therapeutically terminated. Based on this premise, it would be essential to understand the molecular mechanisms for the establishment of self-tolerance both in the thymus and periphery. Elucidating the factors that are responsible for the breakdown of self-tolerance and studying the physiological mechanisms for the establishment of self-tolerance are the opposite sides of the same coin. Our approaches to investigate the chronic inflammation caused by the continuous breakdown of central tolerance mainly focus on the understanding of the immunological characteristics of the stromal element within the thymic microenvironment. Among them, thymic epithelial cells (TECs) play a pivotal role for expressing the immunological self to developing thymocytes. Thymic dendritic cells (DCs) are also important players because they can pick up self-antigens expressed by medullary thymic epithelial cells (mTECs) and present them to the developing thymocytes, a process called cross-presentation (Kyewski and Klein 2006).

This chapter focusses on two topics, the NF- $\kappa$ B activation pathway and a transcription factor Aire both acting in mTECs, hoping that these approaches could manipulate the chronic inflammation observed in many other organ-specific autoimmune diseases.

# 37.2 Factors That Affect the Development of Chronic Inflammation: Stromal Element That Controls the Expression of Immunological Self Within the Thymus

### 37.2.1 NF-кВ Activation Pathway in mTECs

Physical contact between thymocytes and the thymic stroma is essential for T-cell maturation and shapes the T-cell repertoire in the periphery (Klein et al. 2014; van Ewijk et al. 1994). Stromal elements that control these processes still remain elusive. Fortunately, in the last decade, several strains of mice that have the defect in the NF- $\kappa$ B activation pathway have been reported that resulted in the development of organ-specific autoimmunity (Matsumoto 2007). Among them, the alymphoplasia (*aly*) strain of mice merits much attention.

 $NF-\kappa B$ -inducing kinase (NIK) is structurally related to mitogen-activated protein kinase kinase kinase (Elewaut et al. 2003; Malinin et al. 1997) and has been

shown to phosphorylate both I $\kappa$ B kinase (IKK)- $\alpha$  and IKK- $\beta$ , which sequentially activate the downstream IkB proteins (Ling et al. 1998). The aly mice carry a natural mutation of the NIK gene (Miyawaki et al. 1994; Shinkura et al. 1999) in which a G855R substitution in the C terminus of the protein results in inability to bind to downstream IKK- $\alpha$  (Matsushima et al. 2001). *aly* mice lack all lymph nodes and Peyer's patches, and spleen architecture such as development of germinal centers and follicular dendritic cell clusters is disturbed (Koike et al. 1996; Miyawaki et al. 1994; Shinkura et al. 1996). They have provided a unique model for the abnormal development of secondary lymphoid organs. We have previously demonstrated that this is due to defective NF-kB activation through the lymphotoxin (LT)- $\beta$  receptor (LT $\beta$ R; Matsumoto et al. 1999; Matsushima et al. 2001), a receptor essential for the development of secondary lymphoid organs (Matsumoto et al. 1997). In addition to secondary lymphoid organs, however, thymic structure is also disorganized in *aly* mice (Miyawaki et al. 1994); the medulla in aly mice is smaller than that in control mice, and the boundary of the cortex and medulla is unclear. We have investigated aly mice as a model of organspecific autoimmune disease due to the structural abnormality of the thymus because histopathological analysis of *aly* mice has revealed chronic inflammation in many organs including liver, pancreas, lung, salivary gland, and lacrimal gland (Kajiura et al. 2004); we reasoned that the autoimmune disease phenotype seen in aly mice might be associated with the altered thymic microenvironment. Indeed, this turned out to be the case because embryonic thymi taken from *aly* mice induced organ-specific autoimmune diseases when grafted onto thymus-deficient nude mice (Kajiura et al. 2004).

Both impaired production of regulatory T cells (Tregs) and possibly an impaired negative selection process contribute to the development of autoimmunity in *aly* mice. Interestingly, the exogenous supply of sufficient numbers of Tregs was able to rescue the autoimmune disease phenotype of *aly* mice (Tamura et al. 2006). Thus, therapeutic benefits of Tregs for the control of chronic inflammation caused by these types of organ-specific autoimmunity are promising.

### 37.2.2 Novel Thymic Crosstalk Organized by NF-кВ Activities in mTECs

mTECs particularly play a pivotal role in eliminating pathogenic autoreactive T cells by negative selection through expressing a large set of tissue-restricted selfantigens (TRAs) representing many parenchymal organs within the body (Kyewski and Klein 2006). In addition to mTECs, thymic DCs constitute an important component that presents self-antigens for the induction of negative selection as well as for the production of Tregs (Dresch et al. 2012). Recently, crosspresentation of mTEC-derived self-antigens by thymic DCs has been demonstrated to be an important interplay between mTECs and DCs (Gallegos and Bevan 2004; Kyewski and Klein 2006). However, little was known about how the thymic stroma affects the development and function of thymic DCs. *aly* mice again provided a unique opportunity to study this issue. The issue was not so simple though, because NIK has also been implicated in the intrinsic function of T cells (Matsumoto et al. 2002; Yamada et al. 2000) as well as DCs (Hofmann et al. 2011). Given that thymic DCs play an important role in the production of Tregs in a cell-intrinsic or cell-extrinsic manner, we examined how the function of DCs may be affected by the interaction with thymic stroma that also expresses NIK. In other words, we hypothesised that thymic crosstalk is not confined to the interplay between developing thymocytes and mTECs. Instead, crosstalk between DCs and mTECs should also be taken into account (Mouri et al. 2014). To investigate the characteristics of thymic crosstalk required for the establishment of self-tolerance, we investigated the thymic stroma-dependent development of thymocytes, including Tregs, together with the development and function of thymic DCs in *alv* mice. The phenotypes of thymic DCs were strongly influenced by their interaction with mTECs expressing NIK, as is the case for developing thymocytes (Mouri et al. 2014). In light of the fact that, in turn, thymic DCs can affect the function of thymocytes in an NIK-dependent manner (Hofmann et al. 2011), we suggest that the thymus can now be viewed as a platform where two essential types of interplay (i.e. between thymocytes and mTECs and between DCs and mTECs) further cross.

## 37.2.3 Aire Functions in mTECs: Role of Aire in mTEC Differentiation Programme

One of the best characterised molecules expressed in mTECs that contributes to the expression of wide varieties of TRAs thereby contributing to the central tolerance is Aire, a gene responsible for the hereditary type of organ-specific autoimmune disease in humans (Mathis and Benoist 2009; Matsumoto et al. 2013). Loss of Aire has been demonstrated to result in the defective expression of many TRAs, and consequently in allowing the escape of autoreactive T cells (Anderson et al. 2002, 2005: Liston et al. 2003). In contrast to the remarkable changes in the expression profiles of TRA genes in Aire-deficient mTECs, morphological alterations in the medullary components from Aire-deficient mice were not initially appreciated. However, subsequent studies of Aire-deficient thymi in more detail have revealed several important aspects of the Aire-dependent differentiation programmes of mTECs (Matsumoto 2011), such as increased numbers of mTECs with a globular cell shape (Gillard et al. 2007; Yano et al. 2008) and, in contrast, reduced numbers of terminally differentiated mTECs expressing involucrin, the latter being associated with reduced numbers of Hassall's corpuscles (Yano et al. 2008). Increased percentages of mTECs expressing CD80 at high levels (CD80<sup>high</sup>) were another suggested aspect of the Aire-dependent mTEC differentiation programme (Gray et al. 2007; Kuroda et al. 2005). All of these findings together illuminated the importance of a full understanding of the Aire-dependent maturation process of the Aire-expressing lineage of mTECs (AEL-mTECs). It is noteworthy that the Aire-dependent mTEC differentiation programme can be linked with the control of TRA gene expression, in which Aire may play a role (Matsumoto 2011; Matsumoto et al. 2013): any defect in the Aire-dependent maturation programme described above could also account for the defects of TRA gene expression in Aire-deficient mTECs from a different viewpoint.

Another enigmatic aspect of Aire function was whether Aire exerts any proapoptotic activity within cells. It is believed that mTECs contribute to self-antigen expression by being phagocytosed by professional APCs at the expense of their death (Gray et al. 2007). In line with this notion, we have observed that many AEL-mTECs are in close contact with thymic DCs, suggesting efficient cross-presentation of TRAs from AEL-mTECs (Nishikawa et al. 2010). Nevertheless, the issue of whether Aire itself exerts any proapoptotic activities has not been directly addressed especially with in vivo experimental settings.

We therefore decided to monitor the maturation programme of AEL-mTECs by temporal lineage tracing, in which bacterial artificial chromosome transgenic mice express tamoxifen-inducible Cre recombinase under control of the Aire regulatory element: mice were further crossed with reporter strains in order to allow permanent marking of AEL-mTECs with fluorescent proteins. With this in vivo experimental system, we estimated that the half-life of AEL-mTECs subsequent to Aire expression was  $\sim$ 7–8 days, which was much longer than that reported previously, owing to the existence of a 'post-Aire' stage we described for the first time (Nishikawa et al. 2010). We also found that loss of Aire did not alter the overall lifespan of AEL-mTECs, inconsistent with the previous notion that Aire expression in mTECs might result in their apoptosis for efficient cross-presentation of self-antigens expressed by AEL-mTECs. In contrast, Aire was required for the full maturation programme of AEL-mTECs, as exemplified by the lack of physiological downregulation of CD80 during the post-Aire stage in Aire-deficient mice, thus accounting for the abnormally increased CD80<sup>high</sup> mTECs seen in such mice (Nishikawa et al. 2010).

Finally, a conflicting idea has come to light that Aire can either inhibit or promote the differentiation programme of AEL-mTECs (Matsumoto 2011; Matsumoto et al. 2013). The inhibition model assumes that only an absence of Aire would reveal the full programme of terminal differentiation of AEL-mTECs (Dooley et al. 2008): it is probably important to mention that this model was constructed based partly on the concept of the proposed proapoptotic activity of Aire. In contrast, we have suggested that Aire helps to promote the differentiation programme in AEL-mTECs, and this model assumes defective accomplishment of the differentiation programme in the absence of Aire, associated with impaired expression of the TRA gene in AEL-mTECs through the mechanisms described above (Matsumoto 2011; Yano et al. 2008). These approaches for investigating the cellular dynamics of AEL-mTECs with temporal lineage tracing have revealed many fundamental and previously unknown characteristics of AEL-mTECs.

	Defect in NF-KB activation pathway: NIK mutation	Defect in Aire expression: Aire mutation
Defective development of mTECs	mTEC <sup>high</sup> population is missing	Increased mTEC <sup>high</sup> population; Hassall's bodies are missing
TRA expression	Impaired expression of both Aire-dependent and Aire- independent TRAs	Impaired expression of Aire- dependent TRAs
Negative selection	Impaired	Impaired
Production of Tregs	Reduced, but functionally competent	Reduced at neonatal stage (Yang et al. 2015)
Mechanisms underlying the breakdown of self- tolerance	Defective TRA expression due to defective development of mTECs	Defective TRA expression due to incomplete terminally differentia- tion of mTECs

 
 Table 37.1
 Mechanisms responsible for the development of organ-specific autoimmunity due to the abnormal function of mTECs

There must be a group of genes that together control promiscuous gene expression and establishment of self-tolerance in the thymus through their unique actions, as exemplified by the NF- $\kappa$ B activation pathway and Aire as summarised in Table 37.1 (Gardner et al. 2009).

# 37.3 A Novel Animal Model for the Study of Chronic Inflammation Caused by Organ-Specific Autoimmunity

## 37.3.1 Paradoxical Development of Muscle-Specific Autoimmunity by the Additive Expression of Aire

Development of harmful autoimmunity is prevented by two distinct but functionally cooperative mechanisms: central tolerance established in the thymus and peripheral tolerance operational outside the thymus. In the thymus, autoreactive T cells are eliminated by the clonal deletion by the interaction with self-antigens presented by mTECs and/or thymic DCs (Klein et al. 2014). This process of negative selection, however, is not perfect (Yu et al. 2015), and there exist T cells that are capable of reacting with self-antigens in the periphery. The major function of Tregs is considered to maintain these autoreactive T cells in an aenergic state in periphery (Maeda et al. 2014). Thus, cooperation between central tolerance and peripheral tolerance is essential to generate and maintain a self-tolerant T-cell repertoire.

In addition to mTECs, Aire expression has been demonstrated in BM-derived nonconventional antigen-presenting cells (APCs) that show MHC<sup>high</sup> expression as a distinct tolerogenic cell population in secondary lymphoid organs (Gardner et al. 2008, 2013). Although it is not clear whether conventional DCs express

Aire, impaired DC function as evidenced by altered cytokine expression profiles and DC maturation/activation has been implicated for the higher susceptibility to candida infection in patients with Aire mutation (i.e. APECED; Brannstrom et al. 2006; Ryan et al. 2008). Thus, it is possible that Aire exerts its tolerogenic function not only within the thymic microenvironment but also in the periphery (Metzger and Anderson 2011).

NOD is a well-studied animal model of type I diabetes, in which insulin is a primary autoantigen (Nakayama et al. 2005) although it is controversial whether NOD mice have a defect in central tolerance (Kishimoto and Sprent 2001; Mingueneau et al. 2012). Interestingly, insulin is one of the typical Aire-dependent TRAs in mTECs whose expression level is strongly affected by the loss of Aire in mice (Anderson et al. 2002). Because of the action of Aire for activating TRA expression in mTECs and in the peripheral lymphoid organs (Gardner et al. 2008), we decided to examine whether additive expression of Aire in APCs of both mTECs and peripheral APCs by the use of MHC class II (MHC-II) promoter activity would ameliorate the autoimmune pathology possibly through the augmentation of TRAs such as insulin in NOD. We have established several lines of transgenic animals that express human Aire in MHC-II<sup>+</sup> APCs both in the thymus and periphery. Quite unexpectedly, however, we found that mice expressing human Aire at high levels paradoxically developed muscle-specific autoimmunity resembling the human disease of polymyositis (Venalis and Lundberg 2014): mice harboring muscle-specific autoimmunity produced autoantibodies against myosin heavy chain (MyHC). Consistent with the autoimmune nature of mice, supplementing prednisolone in drinking water ameliorated the disease. Our results suggest that expression of Aire needs to be tightly controlled within appropriate levels to exert its authentic tolerogenic function. The results also emphasise the importance of coordinated action between central tolerance and peripheral tolerance controlled by Aire in common.

# 37.3.2 Pathogenesis of Polymyositis-Like Autoimmunity by the Additive Expression of Aire

Because Aire's primary action is considered to promote TRA expression, thereby contributing to the clonal deletion and/or Treg generation both in the thymus and periphery (Gardner et al. 2009), it was striking that additive expression of Aire in thymic and peripheral APCs resulted in the development of muscle-specific autoimmunity. In the thymus, it is possible that altered development of mTECs by the additive expression of Aire within mTEC<sup>high</sup> was associated with defective expression of wide varieties of TRAs, although why the breakdown of self-tolerance was confined to muscle tissues remains unknown. In this regard, there are some other reports describing the spontaneous development of muscle-specific autoimmunity similar to our animal model. Two independently generated transgenic mice expressing HLA-DQ8 (DQA1\*0301/DQB1\*0302), a human MHC-II subtype



Fig. 37.1 Cooperative tolerogenic network and its breakdown leading to the development of chronic inflammation caused by autoimmunity. Breakdown of either central or peripheral tolerance has been shown to result in the development of autoimmune reaction. The polymyositis-like autoimmune model in our human Aire transgenic model has unveiled the existence of a cooperative immune network between central and peripheral tolerance. Elucidation of the specific markers that characterise chronic inflammation caused by the breakdown of this network is an important step toward overcoming organ-specific autoimmunity

associated with type 1 diabetes, simultaneously lacking endogenous mouse MHC (I-Ab) developed autoimmune myocarditis but not polymyositis, although autoantibodies produced in mice reacted with both types of MyHC, cardiac type ( $\alpha$ -MyHC) and skeletal muscle type ( $\beta$ -MyHC), suggesting an epitope spreading (Elliott et al. 2003; Hayward et al. 2006; Taylor et al. 2004). Similar to our polymyositis-like mouse model, HLA-DQ8 transgenic mice developed the disease only on NOD background.

Our animal model has resulted in highlighting the cooperative role of central and peripheral tolerance in preventing autoimmunity through the action of Aire in common (Fig. 37.1). We assume that finding the specific markers expressed on immune cells and/or target tissues that define chronic inflammation, but not acute inflammation, must be an important task to control this type of organ-specific autoimmunity.

### **37.4 Concluding Remarks**

Even the transcription factor necessary for establishing self-tolerance can become harmful once its expression level becomes too high as demonstrated in our novel model of muscle-specific autoimmunity. Thus, inappropriate Aire expression induced by some extrinsic factors such as infection and inflammatory stimuli might be feasible mechanisms for the development of autoimmunity and persistence of inflammation (chronic inflammation). With the advent of thymic organogenesis using thymic precursor cells (Parent et al. 2013; Sun et al. 2013), it may be feasible to manipulate the thymic microenvironment, thereby controlling the processes for the establishment of self-tolerance and ameliorating the undesired condition of chronic inflammation.

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# Chapter 38 Lysophosphatidylserine as an Inflammatory Mediator

### Kumiko Makide, Asuka Inoue, and Junken Aoki

Abstract Lysophosphatidylserine (LysoPS), a deacylated form of phosphatidylserine (PS), has been assumed to serve as a bioactive lysophospholipid mediator. However, LysoPS has received little attention because its mode of actions as well as its synthetic pathways have been obscure. Recently G protein-coupled receptors (GPCRs) specific for LysoPS, and enzymes responsible for the generation of LysoPS have been identified. These LysoPS-related molecules are mainly expressed on immune cells, which led us to assume that LysoPS may have some roles in inflammation. Here we summarised the newly discovered LysoPS receptors and enzymes including our recent works.

**Keywords** Lysophospholipid mediator • GPCR • Lysophosphatidylserine • Phospholipase

### 38.1 Introduction

Lysophospholipid (LPL, 1-acyl-2-LPLs or 2-acyl-1-LPLs) is a deacylated form of phospholipids with a single fatty acid chain. Various LPLs, including lysophosphatidylcholine (LPC), lysophosphatidic acid (LPA), lysophosphatidylethanolamine (LPE), lysophosphatidylserine (LysoPS), lysophosphatidylinositol (LPI), lysophosphatidylglycerol (LPG), sphingosine-1-phosphate (S1P), and sphingosylphosphorylcholine (SPC) are present. They serve as precursors for diacyl phospholipids, and at least some of them also have roles as lipid mediators. Among

AMED-CREST, Sendai 980-8578, Japan

K. Makide (🖂) • A. Inoue

Graduate School of Pharmaceutical Sciences, Tohoku University, 6-3, Aoba, Aramaki, Aoba-ku, Sendai 980-8578, Japan

PRESTO, Japan Science and Technology Corporation, Kawaguchi 332-0012, Japan e-mail: makide@m.tohoku.ac.jp

J. Aoki

Graduate School of Pharmaceutical Sciences, Tohoku University, 6-3, Aoba, Aramaki, Aoba-ku, Sendai 980-8578, Japan

them, LPA and S1P have been studied intensively on their receptors, producing enzymes, and catabolic enzymes (Mendelson et al. 2014; Yung et al. 2014). Studies using knockout mice and human hereditary diseases of receptors and synthetic enzymes of LPA and S1P have revealed their in vivo significance. Furthermore some of them were found to be drug targets and have received a lot of attention in terms of drug discovery. Notably FTY720 (Fingolimod), an S1P<sub>1</sub> functional antagonist, has been approved for treatment of multiple sclerosis (Groves et al. 2013).

On the other hand, lysophosphatidylserine (LysoPS) is known to induce various cellular responses when applied in vivo or in vitro, but has received little attention because its receptors, producing pathways, and its in vivo occurrence have remained unknown. Recently LysoPS receptors and producing enzymes have been identified, which enables us to examine the role of LysoPS in vivo using knockout mice. In addition, the new methods using LC-MS/MS can sensitively detect LysoPS in vivo. In this review we summarise the recent advance in the study of LysoPS in terms of receptors, synthetic enzymes, and detection, with particular focus on the relevance of LysoPS to both physiological and pathological states.

### 38.2 LysoPS Receptors

Lysophosphatidylserine is known to induce several cellular responses both in vitro and in vivo. The most characterised response has been the stimulatory response of mast cell degranulation. In vitro, LysoPS enhances histamine release from peritoneal rodent mast cells triggered by the crosslinking of high-affinity IgE receptors (FceRI) (Martin and Lagunoff 1979). It also induces rapid degranulation of mast cells and a consequent anaphylactic shock and hypothermia when administered i.v. in rodents. The mast cell degranulation-stimulating activity is not induced by other LPLs and strictly requires serine residue of LysoPS. This suggests the presence of a receptor for LysoPS on mast cells, although it remains unidentified.

In the course of a ligand fishing study of orphan GPCRs, Sugo et al. found that LysoPS is a ligand for GPR34, which is thought to be a member of P2Y family (Sugo et al. 2006). The P2Y family includes receptors for nucleotide, UDP-glucose, LPA, and the orphan GPCRs. They showed that LysoPS caused a dose-dependent inhibition of forskolin-stimulated cAMP accumulation in human GPR34-expressing Chinese hamster ovary (CHO) cells. They also showed that LysoPS induced phosphorylation of ERK in GPR34-expressing CHO cells. These responses were completely abolished by treatment of pertussis toxin (PTX), which indicates that GPR34 couples to a  $G_{i/o}$ -type G-protein. Later, Liebscher et al. and Makide et al. demonstrated that GPR34 are conserved in a wide range of vertebrates from fish to mammals by showing that GPR34 from carp and zebrafish react with LysoPS (Liebscher et al. 2011; Makide et al. 2014). Kitamura et al. used two assays for measuring GPR34 activation, a Ca<sup>2+</sup> mobilisation assay and a newly developed transforming growth factor- $\alpha$  (TGF $\alpha$ ) shedding assay, and confirmed that

mammalian GPR34s from human, rat, and mouse origins reacted specifically with LysoPS but not with other LPLs (Kitamura et al. 2012). Notably, GPR34 reacted strongly with LysoPS species with an unsaturated fatty acid at the *sn*-2 position, showing that *sn*-2 unsaturated LysoPS is a preferable ligand for GPR34. GPR34 mRNA is expressed in many tissues but is most highly expressed in mast cells. Thus, it was once proposed that LysoPS enhances mast cell degranulation through GPR34. However, peritoneal mast cells from GPR34-deficient mice still responded to LysoPS, which suggested that GPR34 is not involved in the mast cell degranulation response induced by LysoPS. Until now the LysoPS receptor on mast cells has remained unknown.

Frasch et al. showed that LysoPS was generated in neutrophils by an oxidationdependent mechanism and that is served as an endogenous anti-inflammatory mediator by stimulating the clearance of recruited neutrophils by macrophages, contributing to the resolution of inflammation (Frasch et al. 2008; Frasch and Bratton 2012). In addition, their results suggest that G2A (GPR132) on macrophages is responsible for the clearance of neutrophils by macrophages, raising the possibility that LysoPS is an endogenous ligand for G2A. G2A was once proposed as a receptor for LPC but the proposal was later retracted. Now many reports have confirmed that G2A is a receptor for protons (Murakami et al. 2004) and for 9-hydroxyoctadecadienoic acid (9-HODE), a kind of oxidised fatty acid (linoleic acid) (Obinata et al. 2005). We observed that G2A responded to 9-HODE, but not to LysoPS using a TGF $\alpha$  shedding assay (unpublished data). Thus, it is currently not clear if G2A directly recognises LysoPS.

Recently, we identified three additional GPCRs (P2Y10, A630033H20, and GPR174) as novel LysoPS receptors through a ligand screening of orphan GPCRs using a TGF $\alpha$  shedding assay (Inoue et al. 2012). These receptors are members of the P2Y family, and are located in tandem in a clustered locus on the X chromosome. We propose that, according to the nomenclature of lysophospholipid receptors, GPR34, P2Y10, A630033H20, and GPR174 be designated as LPS<sub>1</sub>, LPS<sub>2</sub>, LPS<sub>2</sub>-like (LPS<sub>2L</sub>), and LPS<sub>3</sub>, respectively (Fig. 38.1).

P2Y10 is coupled with Gα<sub>12/13</sub> but not with other G proteins. Expression of P2Y10 is restricted to lymphoid organs such as spleen, thymus, and lymph node. The expression of P2Y10 is dependent on PU.1 and Spi-B, which are closely related Ets transcription factors (Rao et al. 1999). In  $PU.1^{+/-}$ Spi-B<sup>-/-</sup> mice, the expression of P2Y10 is dramatically reduced. These Ets transcription factors have a role in the signal transduction of B-cell receptors (BCRs), which suggests that P2Y10 has a role in regulating BCR signalling. In addition, G<sub>12/13</sub> signalling in B cells has a role in regulating the marginal zone B cells and germinal center B cells (Muppidi et al. 2014; Rieken et al. 2006), which raises the possibility that LysoPS functions in B cells through P2Y10.

Although human A630033H20 is truncated in the open reading frame and becomes a pseudogene, mouse and rat A630033H20 function as LysoPS receptors. A630033H20 is the closest homologue of P2Y10, with a 75% homology to P2Y10 at the amino acid level, coupled with  $G_{12/13}$ , and its expression pattern (it is highly expressed in lymphoid tissue) is similar to that of P2Y10.



Fig. 38.1 LysoPS receptors

GPR174 shows the highest homology to P2Y10 and A630033H20 with ~50 % identity at the amino acid level. Like P2Y10 and A630033H20, GPR174 is activated by LysoPS. The expression pattern of GPR174 is similar to the expression pattern of P2Y10 and A630033H20 with high expression in lymphoid tissues. However, GPR174 is also strongly expressed in some melanoma cells (Qin et al. 2011). GPR174 mainly coupled with both  $G\alpha_s$  and  $G\alpha_{13}$ . Given that  $G\alpha_{13}$ signalling is induced by the three LysoPS receptors (P2Y10, A630033H20, and GPR174), which have similar expression patterns, it is likely that these three LysoPS receptors share redundant functions in activating the  $G\alpha_{13}$  pathway. On the other hand, inasmuch as only one LysoPS receptor (GPR174) is coupled with  $G\alpha_s$ , GPR174 may have a unique role in regulating  $G\alpha_s$  signalling. Recent genomewide association studies show that single nucleotide polymorphisms (SNPs) of GPR174 are associated with the risk for Graves' disease (Chu et al. 2013; Szymanski et al. 2014) or Addison's disease (Napier et al. 2015), autoimmune diseases. The expression of GPR174 is elevated in blood cells in vasovagal syncope patients (Huang et al. 2015). Thus, LysoPS may serve as an immunomodulator through GPR174.

Although P2Y10 was reported to be a receptor for LPA and S1P (Murakami et al. 2008), we and other researchers were unable to confirm it. In recent studies, we throughly examined the ligand specificity of cloned LysoPS receptors, that is, LPS<sub>1</sub>/GPR34, LPS<sub>2</sub>/P2Y10, and LPS<sub>3</sub>/GPR174, using chemically synthesised

LysoPS analogues (Ikubo et al. 2015; Iwashita et al. 2009; Kitamura et al. 2012; Uwamizu et al. 2015). These studies revealed that modifications of the serine residue resulted in the loss of agonistic activity for all three LysoPS receptors, demonstrating that LysoPS receptors strictly recognise the head group of LysoPS, that is, serine. They also revealed that some modifications conferred certain selectivity toward each LysoPS receptor to the LysoPS analogues. For example, deoxy LysoPS, in which an *sn*-2 hydroxy group (-OH) was removed, was found to be selective for LPS<sub>2</sub>/P2Y10 and lysophosphatidylallothreonine, in which a methyl group ( $-CH_3$ ) was introduced in the serine residue, was found to be selective for LPS<sub>3</sub>/GPR174. These ligand specificities suggest that LysoPS is the real ligand for the LysoPS receptors. This is supported by the fact that all four LysoPS receptors belong to the P2Y family, to which the LPA receptors (LPA<sub>4-6</sub>) belong.

#### **38.3** Generation of LysoPS

The main pathway of LysoPS production is probably the hydrolysis of PS by phospholipase (Figure 38.2). We recently established a method to detect LysoPS with high sensitivity (Okudaira et al. 2014). In this method, acyl migration reaction, in which an acyl chain of 2-acyl-1-LPLs is quickly moved to the *sn*-1 position, generating 1-acyl-2-LPLs, was completely suppressed by lowering the pH. With this method, we detected two types of LysoPS (1-acyl-2-LysoPS and 2-acyl-1-LysoPS) in various tissues and cells. The results, together with previous knowledge, suggest that both phospholipase A<sub>1</sub> (PLA<sub>1</sub>) and A<sub>2</sub> (PLA<sub>2</sub>) are involved in the production of LysoPS. In fact, rat platelets express two extracellular PLA enzymes, secretory PLA<sub>2</sub> group IIA (sPLA<sub>2</sub>IIA) and PS-specific PLA<sub>1</sub> (PS-PLA<sub>1</sub>), and secrete them upon activation. In the course of activation of rat platelets, sPLA<sub>2</sub>-IIA and PS-PLA<sub>1</sub> produce 1-acyl LysoPS and 2-acyl LysoPS, respectively.

PS-PLA<sub>1</sub> is stored in  $\alpha$  granules of rat platelets and is secreted into the medium when activated. Although PS-PLA<sub>1</sub> is structurally homologous to triglyceride (TG) lipase, it selectively hydrolyses PS and doesn't have lipase activity for TG. PS-PLA<sub>1</sub> expression is dramatically induced at the mRNA and protein levels by various inflammatory stimuli. Under some inflammatory conditions, we detected an increase of LysoPS in parallel with the induction of PS-PLA<sub>1</sub> and found that the increase was partially abolished in PS-PLA<sub>1</sub> knockout mice.

Because secretory  $PLA_2$  and  $PS-PLA_1$  are secreted proteins, they should act on PS extracellularly. On the contrary, PS localises exclusively to the inner leaflet of the plasma membrane. Recently, TMEM16F and Xkr8 were identified as scramblases that trigger exposure of PS in activated platelets and apoptotic cells, respectively (Suzuki et al. 2010; 2013). It is likely that secretory PLAs such as PS-PLA<sub>1</sub> and sPLA<sub>2</sub> deacylate PS exposed by TMEM16F or Xkr8 during platelet activation or apoptosis.

Recently ABHD16A was identified as a PS lipase that generates LysoPS (Kamat et al. 2015). ABHD16A, also known as lymphocyte antigen B-associated transcript



Fig. 38.2 Producing pathways of LysoPS

5 (BAT5), is a member of the alpha beta hydrolase domain (ABHD) enzyme family and is predicted to be a multipass membrane protein. In mice, ABHD16A mRNA is abundantly and ubiquitously expressed with highest expression in skeletal muscle and brain. The number of newborn ABHD16A<sup>-/-</sup> mice was much less than the value expected from Mendelian ratio. The body weight of ABHD16A<sup>-/-</sup> mice is smaller than wild-type mice, however, their behavior and survival rate appeared to be normal. The amount of various species of LysoPS in ABHD16A<sup>-/-</sup> brain were reduced, which suggests that ABHD16A does not discriminate between saturated fatty acids (mainly in the *sn*-1 position) and polyunsaturated fatty acids (mainly in the *sn*-2 position). Thioglycollate-elicited peritoneal macrophages derived from ABHD16A<sup>-/-</sup> mice have less LysoPS and release fewer inflammatory cytokines following stimulation with lipopolysaccharide. It is not known whether ABHD16A produces LysoPS must be released through some transporter on the plasma membrane such as Spns2 for S1P.

The degradation pathways of LysoPS are also important, because degradation is involved in the termination of LysoPS signaling. ABHD12 is reported to have lysoPS lipase activity in the mammalian brain (Blankman et al. 2013). In addition to having elevated brain LysoPS and microglial activation, ABHD12<sup>-/-</sup> mice show the phenotype of the human neurogenerative disorder PHARC (polyneuropathy, hearing loss, ataxia, retinosis pigmentosa, and cataract). Taken together, these results suggest that the interplay of ABHD12 and ABHD16A regulates the LysoPS level in neuronal diseases.

### 38.4 Conclusion

The LysoPS field has advanced with an expanding repertoire of receptors and metabolic enzymes. Recent studies strongly suggest that LysoPS plays important roles in processes related to inflammation. Further studies are needed to identify LysoPS-generating cells and enzymes, and LysoPS signaling pathways. The results of these studies will help to develop drugs that target LysoPS enzymes and receptors.

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# Chapter 39 Aberrant Activation of RIG-I–Like Receptors and Autoimmune Diseases

#### Hiroki Kato and Takashi Fujita

Abstract RIG-I–like receptors (RLRs) are known as viral RNA sensors that trigger the antiviral interferon (IFN) response by the recognition of the nonself signatures in viral RNAs, including 5' triphosphate structure and double-strand. Self-RNAs generally escape their recognition by several modifications such as 5' cap; however, it has recently been shown that endogenous RNAs without an adenosine deaminase ADAR1-dependent modification aberrantly activate RLR signalling and lead to severe IFN signature, resulting in autoimmune disorders. Also gain-of-function mutations of RLRs have been found in patients with autoimmune diseases. We herein provide a recent overview of the RLR-mediated antiviral IFN response and autoimmunity and discuss how atypical activation of RLRs triggers autoimmune diseases.

**Keywords** RIG-I-like receptors (RLRs) • Interferon (IFN) • Virus • Autoimmune disease • SLE • AGS • SMS

### **39.1 Introduction**

For the elimination of intracellularly invading viruses, host cells evoke antiviral interferon (IFN) responses by the recognition of viral nucleic acids. Three major sensors, membrane-bound Toll-like receptors (TLRs), cytoplasmic RNA helicases RIG-I–like receptors (RLRs), and cytosolic DNA sensors including cGAS have been identified as key sensors that recognise viral DNAs and RNAs for the type I and III IFN production (IFN- $\alpha$ , IFN- $\beta$ , and IFN- $\lambda$ )(Ablasser et al. 2014; Berke et al. 2012; Bursztejn et al. 2015). These IFNs secreted from virus-infected cells

H. Kato

T. Fujita (🖂)

e-mail: tfujita@virus.kyoto-u.ac.jp

Laboratory of Molecular Genetics, Institute for Virus Research, Kyoto University, Kyoto, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan

Laboratory of Molecular Genetics, Institute for Virus Research, Kyoto University, Kyoto, Japan

activate themselves and surrounding noninfected cells by binding with cell surface IFN receptors, which transduces signalling and promotes the expression of hundreds of antiviral IFN-stimulated genes (ISGs) including 2'-5'-oligoadenylate synthetases, RNase L, PKR, and IFITs. Generally the IFN production is tightly regulated: IFN production is undetectable in uninfected cells and is rapidly induced and augmented upon viral infections through a positive feedback regulation. However, this IFN response is programmed to be transient by the actions of multiple negative regulators. When this tight regulation gets out of order for genetic reasons, a defect in IFN production or its overproduction occurs, resulting in high susceptibility to viruses or autoimmune disorders, respectively. For instance, in the case of dysfunction of nucleases such as DNase I, DNase II, or TREX1, aberrant antiviral signalling caused by failure in the clearance of endogenous DNA has been shown to lead to overproduction of IFN and result in autoimmune disorders such as systemic lupus erythematosus (SLE) (Cai et al. 2014; Crampton et al. 2012; Crow and Rehwinkel 2009; Crow et al. 2006). Endogenous RNAs without an adenosine deaminase ADAR1-dependent modification have also been reported to activate RLRs abnormally and lead to severe IFN signature, consequently causing autoimmune disorders such as Aicardi-Goutières syndrome (AGS)(Cunninghame Graham et al. 2011; Funabiki et al. 2014). Moreover, it has recently been reported that mutations of RLRs leading to constitutive activation have been found in patients with type I interferonopathies and autoimmune diseases such as SLE, AGS, and Singleton–Merten Syndrome (SMS). In this review, we focused on the involvement of RLRs in different autoimmune disorders and their possible causative mechanisms.

# **39.2 RLR-Mediated Recognition of Viral RNA** and Signalling

Cytoplasmic RNA helicases RIG-I–like receptors (RLRs) consist of three family molecules: retinoic-acid inducible gene-I (RIG-I), melanoma differentiationassociated gene 5 (MDA5), and laboratory of genetics and physiology 2 (LGP2; Gack et al. 2007). RLRs play a critical role in triggering antiviral responses, including the production of type I interferon (IFN) and inflammatory cytokines including IL-6, IL-12, and TNFα, to eliminate invading viruses from the host. Domain and structural analyses of these helicases revealed that RLRs were composed of three structural domains: the caspase activation and recruitment domain (CARD) at the N-terminus for signal transduction, central DExD/H box RNA helicase domain with RNA-dependent ATPase activity, and C-terminal domain (CTD; Fig. 39.1). Both the helicase domain and CTD of RLRs are cooperatively involved in the recognition of viral RNA. Although LGP2 lacks CARD (Fig. 39.1), a loss-of-function analysis revealed that it acts as a positive regulator for both RIG-I- and MDA5-mediated signalling (Gao et al. 2015). The determination



**Fig. 39.1** Domain structure of RLRs. RIG-I and MDA5, respectively encoded by DDX58 and IFIH1, are composed of three main structural domains: the tandem caspase activation and recruitment domains (CARDs) at the N-terminus for signal transduction, central DExD/H box RNA helicase domain (Hel-1, Hel-2i, and Hel-2) with RNA-dependent ATP hydrolysis activities, and C-terminal domain (CTD) for RNA binding. LGP2 consists of a helicase domain and CTD. The recently identified Pincer domain (*red bar*) is composed of two alpha helices physically tethering the CTD to the helicase core

crystal structures revealed that the linker region (also known as the pincer) of RIG-I, which is located between the helicase domain and CTD (Fig. 39.1), was critical for maintaining RIG-I in a repressed conformation, in which CARD is masked by an interaction with the helicase domain (Gray et al. 2015; Hornung et al. 2006). Mutagenesis of the linker conferred the constitutive activity of RIG-I and loss of responsiveness to viral RNA (Hou et al. 2011).

Several steps have been proposed for the activation of RLRs, leading to antiviral signal transduction. The first step involves a physical association between viral RNA and RLRs (Fig. 39.2). Initial binding studies revealed that RLRs bound to double-stranded RNA and binding affinities to single-stranded RNA and dsDNA were very low (Gack et al. 2007; Jang et al. 2015). RIG-I was previously reported to sense the 5'-ppp structure of the primary viral transcript (Kageyama et al. 2011; Kato et al. 2006); however, this finding overlooked the possibility of the in vitro transcript having a copy back structure; partial transcription using product RNA as a template occurs after template transcription, producing a partial dsRNA structure. Subsequent studies revealed that the dsRNA structure was a prerequisite and the 5'-ppp structure enhanced signalling by RIG-I (Kato et al. 2008). Influenza A virus and Sendai virus produce panhandle and defective interfering RNA (with copy back), respectively, and these activate RIG-I. Although several early studies showed that RIG-I specifically bound to the 5'-ppp structure, the involvement of a copy back dsRNA structure was not considered. Therefore, there is no evidence to suggest that RIG-I solely recognises 5'-ppp in the absence of a dsRNA structure. A previous study clearly demonstrated that RIG-I and MDA5 recognised short and long dsRNA molecules and also that the virus specificities of RIG-I and MDA5



**Fig. 39.2** Activation steps of RIG-I in the cytoplasm. The first step involves a physical association between viral RNA and RIG-I. RIG-I undergoes a prominent conformational change: the CTD binds tightly to 5'ppp or the blunt ends of 5' OH dsRNA and the helicase domains (HeI I, HeI II, HeI IIi) wrap around dsRNA, leading to a more compact composition. These conformational alterations via ATPase activity in RLRs result in the exposure of CARD and lead an oligomerisation of RIG-I. K63-linked polyubiquitination by TRIM25 and Riplet promotes and stabilises the oligomerisation. The exposure of oligomerised CARDs leads to a signal relay to another CARD-containing protein, MAVS via CARD–CARD interactions. The aggregate formation of MAVS recruits signalling molecules and finally induces the production of type I IFNs. In addition, viral dsRNA also activates PKR, leading to the phosphorylation of eIF2 $\alpha$ , which ultimately induces the formation of stress granules (SGs) containing SG components, RLRs, and viral RNAs, to promote the activation of RLR

could be roughly correlated with the sizes of dsRNA produced by the respective viruses (Kawai et al. 2005; Kawane et al. 2006).

The next step is conformational alterations in RLRs to expose CARD (Fig. 39.2). The ATP hydrolysis activities (ATPase) of RIG-I and MDA5 may be involved in these conformational changes because a mutation at the ATP binding site (Walker's A motif) was shown to inactivate these sensors. Although ATPase activity was required for dsRNA unwinding (helicase activity), the helicase-resistant substrate (dsRNA with a 5'-overhang), but not the helicase-sensitive substrate (dsRNA with a 3'-overhang) induced signalling, which suggested that helicase activity may be irrelevant for antiviral signalling (Kowalinski et al. 2011). Once the CARD of RIG-I or MDA5 is exposed, the signal is relayed to another CARD-containing protein, MAVS (also termed IPS-1, VISA, or Cardif) via CARD–CARD interactions (Liddicoat et al. 2015; Marques et al. 2006; Meylan et al. 2005; Napirei

et al. 2000) (Fig. 39.2). Recent in vitro reconstitution studies revealed that MDA5 formed a filament-like complex (also RIG-I-oligomer formation) on its ligand dsRNA and also that these MDA5 filaments promoted the prion-like aggregation of MAVS (Fig. 39.2) (Narita et al. 2014; Nejentsev et al. 2009; Ng et al. 2013; Oda et al. 2014). However, ATP hydrolysis induced filament disassembly, suggesting that these filaments may be unstable under physiological conditions. The importance of ubiquitination by TRIM25 and Riplet in RLR-oligomelisation and signaling has been reported (Onoguchi et al. 2010; Onomoto et al. 2012). Mitochondrial fusion and fission are known to be essential for the aggregation of MAVS (Oshiumi et al. 2010). In addition to mitochondria, several groups reported that virus-induced stress granules (SGs) functioned as critical loci for the activation of RLR. In several viral infections, viral dsRNA activates PKR and induces the formation of SGs. including SG components, RLRs, and viral RNAs, to promote the activation of RLR (Fig. 39.2) (Peisley et al. 2013; 2015; Pichlmair et al. 2006; Rice et al. 2014). Signalling molecules including ubiquitin ligases (TRAFs) and kinase complexes (TBK1/IKKe and IKKa/b/g) are recruited after the formation of MAVS aggregates and eventually activate the transcription factors IRF3/7 and NF-kB (Robinson et al. 2011), leading to the production of type I IFNs and inflammatory cytokines, such as IL-6.

# **39.3** The Relationship Between Aberrant RLR Signalling and Autoimmune Diseases

Recently accumulating evidence has indicated the strong correlation between autoimmune diseases and RLRs especially MDA5. Previous GWAS revealed the association of SNPs of the *IF1H1* gene, encoding MDA5, with the risk of many kinds of autoimmune diseases including Type 1 Diabetes (T1D), Multiple Sclerosis (MS), psoriasis, selective IgA deficiency, dilated cardiomyopathy, and SLE. For instance, Nejentsev et al. reported that two canonical splice site variants in *IF1H1*, a nonsense variant and missense substitution, were protective against T1D (Rutsch et al. 2015). These loss-of-function mutations in *IF1H1* may attenuate innate immune responses against viruses especially coxsackievirus B4, which has been strongly implicated in the triggering of T1D. However, the contribution of *IF1H1* with these SNPs to the pathogenesis of T1D and other autoimmune diseases remains unclear. It is also important to clarify the involvement of RNA virus infection in the development of these diseases.

In addition to SNPs, mutations in RLRs leading to their aberrant activation have been found in patients with autoimmune diseases. We summarise each case of three autoimmune diseases, SLE, AGS, and SMS below and discuss how atypical activation of RLRs triggers these autoimmune diseases.

### 39.3.1 Systemic Lupus Erythematosus (SLE)

Systemic lupus erythematosus (SLE) is the prevalent systemic autoimmune disease which has a large spectrum of clinical presentations. SLE can affect multiple organs, including kidneys, skin, joints, lungs and nervous system. Especially nephritis has been reported in severe cases and called lupus-nephritis.

Elevated levels of type I IFN, termed the IFN signature, have been reported in patients with SLE and the central role of type I IFN in disease pathogenesis has been suggested. SNPs in several molecules including nucleases such as DNase I as well as TLRs have been strongly correlated with the onset of SLE.

A previous study reported that human SNPs in IFIH1 encoding MDA5 such as rs1990760 (A946T) were correlated with an increase in the susceptibility to SLE, suggesting that the atypical activation of RLR signalling may lead to SLE (Sato et al. 2000; Satoh et al. 2010). Fubabiki et al. recently reported that mice with the *Ifth1* missense mutation spontaneously developed lupus-like symptoms including nephritis and skin rash (Schlee et al. 2009). This is the first study to have directly demonstrated that mutations in RLRs directly led to an autoimmune disease. The upregulation of type I IFNs, IFN-inducible genes, and inflammatory cytokines including IL-6 and TNF-a was detected in multiple organs in this mutant mouse, reflecting the ubiquitous expression of MDA5. This missense mutation enhanced the basal activation level of IFN by MDA5, but abrogated responsiveness to viral infection as well as the ATPase activity induced by dsRNA. More important, the autoimmune phenotype was not observed in the mouse background of Mavs - / -. These findings suggested that the mutant MDA5 may confer constitutive activity rather than being hypersensitive to endogenous or viral RNA. In contrast, a simple increase in the wild-type Ifih1 gene dosage was insufficient to cause spontaneous nephritis (Seth et al. 2005), suggesting that a dysregulation in MDA5 by a mutation may be essential for triggering autoimmunity. Note that de novo p.R779H IFIH1 gain-of-function mutation has recently been identified in a patient with severe early-onset SLE, selective IgA deficiency (Takeuchi and Akira 2010; Fig. 39.3). Together with SLE-like phenotypes observed in MDA5 G821S mutant mice. theis evidence indicates the strong correlation between aberrant activation of MDA5 and SLE.

### 39.3.2 Aicardi–Goutieres Syndrome (AGS)

Aicardi–Goutieres syndrome (AGS) is an inflammatory disease that particularly affects the brain and skin. AGS patients exhibit profound intellectual disabilities and dystonia, and lethality by the age of 17 years has been reported in approximately 25% of patients. Yanick J Crow's group showed that mutations in the genes functioning in nucleic acid metabolism, including *TREX1*, *SAMHD1*, *ADAR1*, *RNASEH2A*, *RNASEH2B*, and *RNASEH2C*, were strongly linked to AGS (Van



**Fig. 39.3** Mutations of RLR in autoimmune diseases. Mutations of RLR identified in AGS, SMS, and SLE patients are indicated in *blue*, *green*, and *orange*, respectively. All currently reported mutations are located in the conserved helicase motifs. G821S (*red*) is a missense mutation found in mice

Eyck et al. 2015; Crow and Rehwinkel 2009) (Fig. 39.4). For instance, the exodeoxyribonuclease TREX1 is known to eliminate viral and aberrant cellular DNAs in the cytoplasm, which potentially activate the DNA sensor cGAS to transduce IFN signalling via the essential adaptor molecule, STING; thus, TREX1 has been identified as a negative regulator of the cGAS-STING-dependent IFN signalling pathway (Wang et al. 2010; Wu et al. 2013; Xu et al. 2005) (Fig. 39.4). Recently *Trex1*-deficient mice have been shown to develop lethal, IFN-driven autoimmune disorders such as SLE-like pehenotypes including nephritis, which is in fact rescued by deficiency in the cGAS-STING pathway. These findings indicate cGAS as a key driver of autoimmune disease in the case of TREX1 deficiency.

In 2014, Crow's group identified mutations in *IFIH1* in AGS patients(Yang et al. 2007). These mutations conferred the constitutive activity of MDA5, but occurred at different positions from the mouse *Ifih1* mutation reported by Fubabiki et al. (Schlee et al. 2009) (Fig. 39.3). Human MDA5 mutants exhibited hyperresponsiveness to ligand stimulation, thereby suggesting the possible involvement of endogenous and/or viral RNA in the onset of AGS.

Another group more recently identified *IFIH1* heterozygous missense mutations in AGS patients(Yoneyama et al. 2004). The encoded MDA5 mutants exhibited constitutive activity, but failed to respond to a viral stimulus similar to the mouse MDA5 mutation. These findings indicated a link between constitutive MDA5 activity and AGS; however, responsiveness to viral infections remains controversial, particularly the same mutation (G2336A:R779H) included in these studies. This discrepancy needs to be re-examined using a common assay. Furthermore, the


**Fig. 39.4** Abnormal activation of DNA/RNA sensing pathway leads to IFN signature. Three major nucleic-acid–sensing pathways (RLR, TLR, and cytoplasmic DNA sensor) that trigger type I and III IFNs are indicated. Defect in the deoxynucleoside triphosphate triphosphohydrolase SAMHD1, adenosine deaminase ADAR1, and nucleases including TREX1 and RNASEH 2A, 2B, and 2C will cause the accumulation of immunostimulatory RNA and/or DNA, which abnormally activate these pathways. Also autoactivation of sensors MDA5 and RIG-I has been shown to lead aberrant signaling. Finally IFN signature induced by atypical activation of nucleic-acid–sensing pathways will trigger autoimmune diseases such as AGS, SMS, and SLE

R779H mutation was identified in an SLE patient as described above (Fig. 39.3). Thus, it is intriguing to investigate how the same mutation causes different diseases, SLE and AGS.

The early onset of autoimmunity in mutant mice and AGS suggests the autonomous cause of the activation of MDA5 rather than a strong association with a particular viral infection. Considering the high expression of IFN-inducible genes detectable in AGS patients, the so-called interferon signature, mutations in the deoxynucleoside triphosphate triphosphohydrolase SMAHD1, the dsRNA editing enzyme ADAR1, and the degradation enzyme of RNA:DNA heteroduplexes RNASEHs are likely to activate DNA and/or RNA sensors (including cGAS and RLRs) directly, leading to the production of type I IFN (Fig. 39.4).

Recently, several groups clearly demonstrated that RNA editing of endogenous RNAs by ADAR1 prevents the activation of MDA5. *Adar1*-deficient mice and mice with editing-deficient knock-in mutations (E861A/ E861A) have been shown to be embryonic lethal but their lethality was rescued by concurrent depletion of MDA5 (Cunninghame Graham et al. 2011). It will be interesting to explore the responsible

RNAs for MDA5 activation, which are usually edited by ADAR1 but not in the AGS patients with ADAR1 mutations.

### 39.3.3 Singleton–Merten Syndrome (SMS)

Singleton–Merten syndrome is an extremely rare, multisystem disorder that is characterised by dental dysplasia, calcifications of the aorta, glaucoma, and osteoporosis. SMS patients also exhibit IFN signature. Jang et al. recently described a family affected by these symptoms and identified a missense mutation (E373A) in *DDX58*, encoding RIG-I (Yoneyama et al. 2005; Fig. 39.3). This is the first report to show mutations of RIG-I in patients with autoimmune disease. RIG-I E373A leads to the constitutive production of type I IFN. Moreover, a further analysis of *DDX58* in 100 individuals with congenital glaucoma resulted in the identification of another mutation (C268F; Fig. 39.3). Glu373 and Cys268 of RIG-I reside in the ATP-binding motifs I and II in the Hel-1 domain, respectively (Fig. 39.3). The constitutive activities and responsiveness to ligand RNA of these mutants have not yet been characterised.

Another group reported a novel missense mutation (R822G) in *IFIH1* in a SMS patient (Yoneyama et al. 2015) (Fig. 39.3). MDA5 R822G exhibits constitutive activity. Considering that some AGS patients exhibit glaucoma or premature tooth loss, further clinical examination in AGS patients, especially those with an *IFIH1* mutation may help us to understand the pathogenesis of SMS inasmuch as only one SMS patient with an *IFIH1* mutation has been reported thus far. It is worth noting that this mutation is next to the G821S mutation in *Ifih1*. Therefore it would be interesting to explore the SMS-like phenotypes in MDA5 G821S mutant mice, which were reported to exhibit lupus-like nephritis.

Recently a family segregating a heterozygous pathogenic mutation A489T in IFIH1 was found (Yoo et al. 2014). All affected individuals exhibited an increased level of ISGs in sera and showed dermatological manifestations as a prominent feature, variably associated with neurological disturbance and premature tooth loss. These symptoms could be diagnosed as AGS and/or SMS, which highlight phenotypic overlap with AGS and SMS in the case of a heterozygous pathogenic mutation in IFIH1.

### **39.4** Discussion and Future Perspectives

It has clearly been demonstrated in a mutant mouse model that a single missense mutation in *Ifih1* caused spontaneous SLE-like nephritis, whereas *Ifih1* transgenic mice were reported to accelerate SLE-like nephritis, but did not spontaneously develop the nephritis in spite of chronically elevated levels of type I IFN. These findings suggested that the chronic activation of IFN may be insufficient to trigger

autoimmune diseases. Although quantitative comparisons of IFN levels between these mice are critical, qualitative differences between mutations and gene multiplication may account for the triggering of these diseases. Also it is interesting to explore what is the factor causing autoimmunity in addition to augmented IFN level, comparing these two mouse models.

The *IFIH1* and *DDX58* mutations detected in humans and mice cause amino acid substitutions within the helicase domain of MDA5 and RIG-I as indicated in Fig. 39.3, however, no obvious hot spot has been identified to date. These mutations may commonly induce conformational changes, resulting in the unmasking of CARD for constitutive activity. Some mutations may also enhance the detection of endogenous RNA derived from host cells to which wild-type MDA5 and RIG-I do not respond and/or viral RNAs derived from chronic infections. In this regard, other causative mutations in AGS (*TREX, RNASEH2A, B, C*, and *ADAR*) may be implicated in the loss-of-function of nucleic acid clearance. Especially ADAR1 has recently been shown to activate MDA5. However, the endogenous ligand RNAs that activate MDA5 and how this is prevented by homeostatic mechanisms remain unknown. Therefore, the detailed molecular phenotypes of these mutations, especially requirement of RNA ligands for activation, need to be characterised.

The activation and suppression mechanisms of RLRs in virus-infected cells have been extensively investigated. Thus it appears that RLR research is advancing to the next stage, focusing on the correlation between RLR and autoimmunity and endogenous RLR ligands. However, important questions in the study of RLR-mediated virus recognition still remain unclear, including detailed mechanisms of how RLRs recognise each virus and how each virus evades the activation of RLR. Exact characterisation of RLR ligands in individual virus infection will also help to understand what is recognised by RLRs in autoimmune conditions. Finally, further comprehensive studies will be required for understanding how excess antiviral innate immunity causes autoimmunity.

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# **Chapter 40 Elucidation of the Exacerbation Mechanism of Autoimmune Diseases Caused by Disruption of the Ion Homeostasis**

Masatsugu Oh-hora

Abstract (150-250 words) Ions, such as calcium, magnesium, potassium and sodium ions, are critically involved in the development and function of various tissues. Among these ions, calcium is known to function as second messenger to regulate a variety of immune cell functions including cytokine production, cytotoxicity, chemotaxis, and differentiation to effector cells. Intracellular concentration of calcium is increased after the engagement of immune receptors such as T-cell receptor and Fc receptor and strictly controlled by a network of calciumpermeable channels, transporters, and pumps. Among various mechanisms of extracellular calcium entry in lymphocytes, store-operated calcium (SOC) entry through calcium-release-activated calcium (CRAC) channels is known to be the predominant mechanism of antigen receptor-activated increase in intracellular calcium levels. Induction of calcium entry via CRAC channels is mediated by two molecular components, ORAI1, a subunit of the CRAC channels expressed in the plasma membrane, and stromal interaction molecule (STIM)1, a calcium sensor in the endoplasmic reticulum. Dysregulation of SOC entry has been reported to induce immunological and nonimmunological diseases. In this chapter, we first introduce the molecular mechanism of SOC entry, and then describe the physiological consequences of impaired SOC entry in human and mouse. Finally, the mechanism of the development of autoimmune diseases by the loss of SOC entry is described.

**Keywords** Calcium • Immunodeficiency • Tolerance • Regulatory T cells • Sjörgren syndrome • Foxp3 • NFAT

M. Oh-hora (🖂)

Division of Molecular Immunology, Research Center for Infectious Diseases, Medical Institute of Bioregulation, Kyusyu University, 3-1-1 Maidashi, Higashi-ku, Fukuoka 812-8582, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: ohora@bioreg.kyushu-u.ac.jp

### 40.1 Introduction

Intracellular concentration of various ions, such as calcium  $(Ca^{2+})$ , magnesium  $(Mg^{2+})$ , zinc  $(Zn^{2+})$ , potassium  $(K^+)$ , sodium  $(Na^+)$ , and chloride  $(Cl^-)$  are regulated by selective or nonselective ion channels and ion transporters expressed in the plasma membrane. Recent studies have shown that dysregulation of these ions by the impairment of their channels or transporters influences immune cell functions and causes severe immune diseases, such as immunodeficiency (Feske et al. 2012).

Among these ions,  $Ca^{2+}$  is one of the most intensively studied.  $Ca^{2+}$  is involved in signal transduction as a second messenger in almost all cell types and activates various Ca<sup>2+</sup> signalling pathways, such as a calcineurin – nuclear factor of activated T-cell (NFAT) pathway (Hogan et al. 2003; Lewis 2001). Ca<sup>2+</sup> signals are known crucially to regulate immune responses by immune cells including T cells, B cells, NK cells, mast cells, dendritic cells, and macrophages (Hogan et al. 2003; Feske 2007). The concentration of free intracellular  $Ca^{2+}$  is maintained around 100–200 nM under resting conditions. Upon stimulation of immune receptors including the T-cell receptor (TCR), intracellular  $Ca^{2+}$  concentration is rapidly increased by  $Ca^{2+}$ influx from extracellular space and reaches over 1  $\mu$ M, which in turn activates Ca<sup>2+</sup> signals (Hogan et al. 2003; Lewis 2001). Although T cells express multiple Ca<sup>2+</sup>permeable channels, electrophysiological and pharmacological studies demonstrated that T cells utilise store-operated Ca<sup>2+</sup> (SOC) entry through Ca<sup>2+</sup>-releaseactivated Ca<sup>2+</sup> (CRAC) channels as the chief mechanism for entry of extracellular Ca<sup>2+</sup> across the plasma membrane (Lewis 2001; Oh-hora 2009). Physiological importance of SOC entry is highlighted by the fact that patients who naturally lack the SOC entry were reported to suffer from primary immunodeficiency and nonimmunological diseases (Feske et al. 1996). Intriguingly, autoimmune complications have been reported in some newly identified patients with immunodeficiency (Fuchs et al. 2012; McCarl et al. 2009; Picard et al. 2009). However, its mechanism has been unclear.

In this chapter, we describe the background of SOC entry including its molecular mechanism and clinical phenotypes observed in SOC entry-deficient human and mouse. Then, the mechanism of autoimmune diseases caused by impaired SOC entry is explained.

# 40.2 Store-Operated Calcium Entry Through CRAC Channels

In T cells, an influx of extracellular  $Ca^{2+}$  is induced after the engagement of TCR with complexes of peptide and the major histocompatibility complex (pMHC). This  $Ca^{2+}$  entry across the plasma membrane is triggered by depletion of  $Ca^{2+}$  store in the endoplasmic reticulum (ER). Therefore, this is called "store-operated"  $Ca^{2+}$  (SOC) entry, formerly known as "capacitative  $Ca^{2+}$  entry" (Putney 1986). SOC

entry has been observed in a variety of nonexcitable and excitable cells including lymphocytes (Oh-hora and Rao 2008), pancreatic acinar (Mogami et al. 1997), and skeletal muscle cells (Vazquez et al. 1997). Note that, lack of SOC entry results in fatal immunodeficiency in humans (Feske et al. 1996). The key event for triggering SOC entry is the activation of phospholipase C (PLC)γ or PLCβ by the stimulation with agonists to different types of cell surface receptors, such as TCR or chemokine receptors. PLC hydrolyses phosphatidylinositol-3,4-bisphosphate (PIP<sub>2</sub>) to inositol-1,4,5-trisphosphate (InsP<sub>3</sub>) and diacylglycerol. InsP<sub>3</sub> binds to the InsP<sub>3</sub> receptors in the ER membrane, then induces the release of Ca<sup>2+</sup> from the ER to the cytoplasm, which in turn activates SOC channels in the plasma membrane (Fig. 40.1). The prototypical SOC channel is the Ca<sup>2+</sup>-release–activated Ca<sup>2+</sup> (CRAC) channel originally characterised in T cells and mast cells (Hoth and Penner 1992; Zweifach and Lewis 1993). Although the electrophysiological properties of the activity of CRAC channels were well characterised, their molecular identity



**Fig. 40.1** Mechanism of store-operated  $Ca^{2+}$  entry. (a) In the resting cells with replete ER  $Ca^{2+}$  stores, Stim1 binds to  $Ca^{2+}$  with its EF hand motif in the ER lumen portion and is localised as an inactive monomer in the ER membrane. (b). PLC $\gamma$  produces inositol-1,4,5-trisphosphate (InsP<sub>3</sub>) after the stimulation with antigens to immune receptors (such as TCR, BCR or FcR), which in turn induces depletion of ER  $Ca^{2+}$  stores through InsP<sub>3</sub>R. As a result of decrease of ER  $Ca^{2+}$  concentration, Stim1 is unbound to  $Ca^{2+}$ . (c). Stim1 forms oligomers by a conformational change. (d). Oligomerised Stim1 translocates to junctional ER sites in which the ER membrane is juxtaposed to the plasma membrane, then directly interacts with binding sites in the C- and N-termini of Orai1 through its CAD/SOAR domain. As a result, Orai1 CRAC channels are opened

remained a mystery for over 20 years. In 2005 and 2006, by high-throughput RNA interference (RNAi) screens, several groups identified two key proteins of the SOC entry, STIM1 (stromal interaction molecule 1) as the ER Ca<sup>2+</sup> sensor (Liou et al. 2005; Roos et al. 2005) and ORAI1 (also known as CRACM1 or TMEM142A) as a pore-forming subunit of the CRAC channels (Feske et al. 2006; Vig et al. 2006; Zhang et al. 2006).

### 40.2.1 Orai1

In 2006, Orai1 (also known as CRACM1) was identified as an essential regulator of SOC entry and NFAT activation by RNAi screen in *Drosophila* S2 cells by three groups (Zhang et al. 2006; Vig et al. 2006; Feske et al. 2006). By positional cloning, one of these groups also demonstrated that ORAI1 is the causative gene in immunodeficient patients lacking SOC entry (Feske et al. 2006). Orai1 is a small and widely expressed tetraspanning membrane glycoprotein, whose N- and C-termini are both located in the cytoplasm. Orai1 is a novel class of channel protein with no sequence homology to other known ion channels except its paralogues Orai2 and Orai3 (also known as CRACM2 and CRACM3, respectively). Although Orai2 and Orai3 also form Ca<sup>2+</sup> channels when they are ectopically overexpressed together with Stim1 (DeHaven et al. 2007; Lis et al. 2007), it remains elusive whether they are functional in immune cells. Orai1 predominantly exists as a dimer in the plasma membrane under resting conditions (Penna et al. 2008). After the stimulation, Orai1 forms a tetramer as the functional CRAC channels (Penna et al. 2008; Ji et al. 2008).

### 40.2.2 Stromal Interaction Molecule (Stim)1 and Stim2

Stim1 was originally identified as a tumor suppressor GOK and as SIM, a protein that promotes the survival and proliferation of pre-B cells (Parker et al. 1996; Sabbioni et al. 1997; Oritani and Kincade 1996). At the time, Stim1 seemed to be unrelated with SOC entry (Manji et al. 2000). As well as the identification of Orai1, RNAi screen revealed that Stim1 is an essential regulator of the SOC entry. Stim1 is a type I transmembrane protein predominantly localised in the ER membrane and expressed in broad tissues (Williams et al. 2001; Baba et al. 2008; Oh-Hora et al. 2008). Stim1 has several functional domains including a Ca<sup>2+</sup>-binding EF hand motif in the ER lumen portion and the CRAC activation domain (CAD)/the STIM Orai activating region (SOAR) domain in the cytoplasm (Williams et al. 2001; Park et al. 2009; Yuan et al. 2009). C-terminal cytosolic portion of Stim1 contains a polybasic lysine-rich region, which is required for attachment to the plasma membrane (Yuan et al. 2009; Jardin et al. 2013). Stim2, a paralogue of Stim1, shares its overall protein domain architecture with Stim1, however, Stim2 has longer N- and C-termini than those of STIM1 (Williams et al. 2001). As well as

Stim1, Stim2 is capable of initiating SOC entry via Orai1 in some cell types (Oh-Hora et al. 2008). Interestingly, Stim2 regulates sustained  $Ca^{2+}$  influx and activation of NFAT in differentiated T cells (Oh-Hora et al. 2008). Furthermore, Stim2 is known to regulate basal cytosolic  $Ca^{2+}$  concentration in the Hela cell line (Brandman et al. 2007).

The mechanism by which Stim proteins regulate ORAI proteins has been extensively investigated in the past 10 years. Stim1 senses the decrease of ER Ca<sup>2+</sup> concentration through its EF-hand motif. After Stim1 is unbound to Ca<sup>2+</sup>, Stim1 forms the tetrameric complexes (Stathopulos et al. 2009; Stathopulos et al. 2008). Oligomerised Stim1 then accumulates in unique regions within 10–25 nm underneath of plasma membrane, commonly referred to as "puncta" (Liou et al. 2005; Liou et al. 2007; Wu et al. 2006), where Stim1 directly binds to a coiled-coil domain at the C-terminus or an additional domain at the N-terminus of Orai1 through its CAD/SOAR domain (Yuan et al. 2009; Park et al. 2009). This direct interaction allosterically activates the Orai1 channel (Fig. 40.1).

## 40.3 CRAC Channelopathies by Dysfunction of Orai1 and Stim1 in Human and Mouse

The in vivo importance of SOC entry through CRAC channels is highlighted by the phenotypes of human patients who have nonfunctional mutations in the *ORAI1* or the *STIM1* gene and by a series of studies using mice lacking the *Orai1*, *Stim1*, or *Stim2* genes.

#### 40.3.1 Immunodeficiency

As mentioned in sect. 40.2.1, ORAI1 was identified as the gene mutated in immunodeficient patients born to consanguineous Turkish parents (Feske et al. 2006). The clinical phenotypes including the severity of infections, the time of onset, and the spectrum of pathogens in these patients are similar to those of severe combined immunodeficiency (SCID) patients. So far, 5 and 2 loss-of-function mutations in the *ORAI1* gene (R91W, A103E, L194P, H165P, and A88SfsX25) (Chou et al. 2015; Feske et al. 2006; McCarl et al. 2009) and the *STIM1* gene (E128RfsX9 and R429C; Picard et al. 2009; Fuchs et al. 2012), respectively, have been identified from individual immunodeficient patients. Although these patients have normal numbers of immune cells in the periphery, their T cells were not able to express some cytokine genes including IL-2 by a partial NFAT activation (Feske et al. 2000). We have shown that, using mice lacking the *Orai1*, *Stim1*, and/or *Stim2* genes, lack of SOC entry results in impaired proliferation and differentiation into effector T cells, such as type I helper T ( $T_H1$ )

cells,  $T_H2$  cells, IL-17-producing helper T ( $T_H17$ ) cells, and cytotoxic CD8<sup>+</sup> T cells (Oh-Hora et al. 2008; Gwack et al. 2008; McCarl et al. 2010), whereas positive selection in the thymus seems to be normal (Oh-Hora et al. 2013). In addition, another study demonstrated that cytolytic activity of natural killer (NK) cells is also ablated (Fuchs et al. 2012). Likely due to the same defects, patients suffer from recurrent severe infections with viral, bacterial, and fungal pathogens, for example, cytomegalovirus, Epstain–Barr virus, *Streptococcus pneumonia*, and *Candida albicans* (Feske et al. 1996; Le Deist et al. 1995; McCarl et al. 2009; Partiseti et al. 1994; Picard et al. 2009; Chou et al. 2015).

### 40.3.2 Inflammation and Autoimmune Diseases

Unlike immunodeficiency, severe chronic inflammation was originally found in mice lacking both Stim1 and Stim2 specifically in T cells (Stim-deficient mice, hereafter; Oh-Hora et al. 2008). These mice developed lymphadenopathy, hepatosplenomegaly, and severe inflammation including dermatitis with skin thick-ening and lichenification, alveolar destruction by lymphocyte infiltration in the lung, and blepharitis (Oh-Hora et al. 2008; unpublished observations). The most likely cause of inflammation is the reduced number of regulatory T (Treg) cells, defined as CD4<sup>+</sup> CD25<sup>+</sup> Forkhead box P3 (Foxp3)<sup>+</sup> T cells, in the thymus and peripheral lymphoid tissues, because transplantation of Treg cells dramatically ameliorated these symptoms (Oh-Hora et al. 2008). However, the mechanism of impaired development of CD4<sup>+</sup> CD25<sup>+</sup> Foxp3<sup>+</sup> Treg cells by lacking the SOC entry was unclear at the time.

Thereafter, STIM1-deficient patients with immunodeficiency were reported to develop lymphoproliferative disorders by reduced Treg cells in the periphery (Picard et al. 2009; Fuchs et al. 2012). Note that, these patients also developed autoimmune hemolytic anemia and thrombocytopenia. However, we did not observe these diseases in Stim-deficient mice. This may be due to the genetic background, but its mechanism of their difference remains unclear. Instead, we found that Stim-deficient mice develop severe primary Sjögren's syndrome such as autoimmune diseases (Cheng et al. 2012). We detected autoantibodies, such as antinuclear, SSA/Ro, and SSB/La antibodies, in their sera. In addition, the structure of their salivary and submandibular glands was progressively destroyed by T-cell infiltration, which resulted in a significant decrease of saliva secretion. We further found that peripheral blood mononuclear cells (PBMCs) from patients of primary Sjögren's syndrome displayed reduced STIM1 and STIM2 protein expression with the severity of diseases. SOC entry was significantly decreased in their PBMCs. This finding provides a noble insight that a postnatal loss of SOC entry may cause autoimmune diseases. However, it remains unclear how expressions of STIM1 and STIM2 are downregulated in patients.

In contrast to Stim deficiency, autoimmunity and lymphoproliferative disorders have been observed in only one out of eight ORAI1-deficient patients (McCarl et al. 2009; Feske et al. 2006; Chou et al. 2015), whereas Orai1-deficient mice did not show any inflammatory signs (Gwack et al. 2008; McCarl et al. 2010).

# 40.4 Control of T-Cell Development by Store-Operated Calcium Entry

 $Ca^{2+}$  signalling has long been thought to be crucial for T-cell development in the thymus. Pharmacological or genetic inactivation of calcineurin activity has been reported to be completely blocked T-cell development at CD4 and CD8 double-positive (DP) thymocytes by impairment of positive selection (Jenkins et al. 1988; Gao et al. 1988; Neilson et al. 2004; Bueno et al. 2002). Given the predominant mechanism of increase of intracellular  $Ca^{2+}$  concentration in T cells, the SOC entry has been predicted to be a critical  $Ca^{2+}$  entry pathway for T-cell development. Although this assumption has not been proved, we have found that lack of SOC entry results in the decrease of  $CD4^+$   $CD25^+$  Foxp3<sup>+</sup> Treg cells and generation of thymic selection. Therefore we speculate that abnormal T-cell development may explain the cause of a severe chronic inflammation in both mice and humans deficient for the SOC entry.

### 40.4.1 Conventional T Cells

As mentioned above, patients lacking the *ORAI1* gene or the *STIM1* gene have a normal number of T cells in the periphery, suggesting that SOC entry is dispensable for positive selection or their paralogues may compensate for their function. To clarify the role of SOC entry in T-cell development in the thymus, we analysed mice in which both Stim1 and Stim2 are deleted systemically or specifically in T cells or haematopoietic cells using CMV-Cre Tg, Lck-Cre Tg, or Vav-iCre Tg mice, respectively (Oh-Hora et al. 2013). Despite lack of SOC entry in DP thymocytes, number of thymocytes, frequencies of various thymic populations, and TCR repertoires were comparable between control and each Stim-deficient strain, suggesting that SOC entry is not essential for positive selection of conventional TCR $\alpha\beta^+$  T cells, consistent with the fact that ORAI1- or STIM1-deficient patients have normal number of T cells in the periphery. We have observed that Ca<sup>2+</sup> entry form extracellular Ca<sup>2+</sup> is required for T-cell development using an in vitro T-cell development system (unpublished observation), however, a specific Ca<sup>2+</sup> entry pathway(s) essential for positive selection remains unidentified.

 $Ca^{2+}$  influx is induced by peptides promoting negative selection (Daniels et al. 2006). We then examined negative selection. Using TCR Tg mice and superantigens, we found that negative selection is partially impaired by the lack

of SOC entry, suggesting that some autoreactive T cells may exist in the periphery and become pathogenic T cells. Indeed, despite ablated T-cell effector functions in Stim-deficient mice, these symptoms are still dependent on T cells because Stimdeficient mice having a monoclonal TCR did not develop severe chronic inflammation. Furthermore, we have found that one particular population may be involved in the development or exacerbation of inflammation in Stim-deficient mice (unpublished observation). However, further studies are needed to evaluate this population.

### 40.4.2 Regulatory T Cells and Other Agonist-Selected T Cells

Foxp3<sup>+</sup> Treg cells are crucial for maintaining immunological tolerance and are categorised in agonist-selected T cells, which are defined as self-antigen-experienced T cells during thymic selection and are considered to play immunoregulatory roles (Sakaguchi et al. 1995; Baldwin et al. 2004; Hsieh et al. 2012; Stritesky et al. 2012). Invariant natural killer T (iNKT) cells and CD8 $\alpha\alpha^+$  TCR $\alpha\beta^+$  intestinal intraepithelial lymphocytes (IELs) are also classified into agonist-selected T cells (Stritesky et al. 2012; Baldwin et al. 2004). In contrast to minor phenotypes in conventional TCR $\alpha\beta^+$  T cells, mixed bone marrow reconstitution assavs revealed that the numbers of Foxp3<sup>+</sup> Treg cells, iNKT cells, and CD8 $\alpha\alpha^+$  TCR $\alpha\beta^+$  IELs are significantly reduced by Stim deficiency in both the thymus and peripheral tissues, indicating that SOC entry is cell-intrinsically required for the development of these populations. Then we intensively analysed the development and function of Foxp3<sup>+</sup> Treg cells. Mature Foxp3<sup>+</sup> Treg (CD4<sup>+</sup> CD25<sup>+</sup> Foxp3<sup>+</sup>) cells have been demonstrated to be derived from the specific precursor cells defined as CD4-single positive CD25-high thymocytes (Lio and Hsieh 2008). We found that there are almost no mature Foxp3<sup>+</sup> Treg cells with impaired proliferation, whereas the precursor cells normally exist in the thymus of Stim-deficient mice. Stim-deficient precursor cells were capable of differentiating into mature Foxp3<sup>+</sup> Treg cells by the treatment with IL-2 both in vitro and in vivo. However, these recovered Treg cells by the treatment with IL-2 lost a suppressive activity due to decreased expressions of inhibitory surface molecules regulated by NFAT, such as CTLA-4 (Takahashi et al. 2000) and TIGIT (Yu et al. 2009). The development of iNKT cells and CD8 $\alpha\alpha$ <sup>+</sup> TCR $\alpha\beta^{+}$  IELs was also blocked at each precursor cell in the thymus due to impaired upregulation of genes downstream of NFAT, such as PLZF and Egr2. Consistently, with decreasing NFAT expression, we found that their maturation was inhibited. Furthermore, precursor cells of agonist-selected T cells showed larger and more sustained Ca<sup>2+</sup> entry than that of DP thymocytes. These findings demonstrate that SOC entry is essential for postselection maturation of agonist-selected T cells through efficient and prolonged activation of Ca<sup>2+</sup> signals.

### 40.5 Concluding Remarks

Activation of Ca<sup>2+</sup> signalling by SOC entry has long been thought to be essential for lymphocyte activation. Now we recognise that the SOC entry is also essential for immune tolerance through Foxp3<sup>+</sup> Treg cells and other immunoregulatory agonistselected T cells. An imbalance between immune activation and tolerance may be presumably caused due to unexpected activation of escapees from negative selection, which in turn induces autoimmune diseases in human and mouse (Fig. 40.2). In addition to our findings, the SOC entry has been reported to have immunoregulatory roles in B cells (Matsumoto et al. 2011). The SOC entry is required for the generation of B cells producing IL-10, an anti-inflammatory cytokine. Due to lack of these cells, experimental autoimmune encephalomyelitis, a mouse model for multiple sclerosis, was exacerbated in mice deficient for both Stim1 and Stim2 in B cells. Inasmuch as NFAT is known to control IL-10 expression directly (Lee et al. 2009) and other immune cells also use the SOC entry (Feske 2007), it is tempting to speculate that the SOC entry may be involved in the regulation of antiinflammatory functions in other regulatory immune cells, such as regulatory dendritic cells (Schmidt et al. 2012) or M2 macrophages (also known as alternatively activated macrophages; Biswas and Mantovani 2010). Further genetic and functional studies will be necessary to elucidate the precise role of SOC entry in immune system.

A chronic inflammation in Stim-deficient mice seems to be caused by T cells, which may be autoreactive. Recent studies in mice lacking functional Orai1, Stim1, and Stim2 have demonstrated that Orai1- or Stim-deficient T cells are not capable of differentiating into effector T cells including  $T_H1$ ,  $T_H2$ , and  $T_H17$  cells in vitro



**Fig. 40.2** Role of SOC entry in T-cell–mediated immune control. SOC entry is essential not only for protective immune responses against pathogens but also regulatory cell-mediated immune suppression (Such as Treg cells or  $CD8\alpha\alpha^+$  TCR $\alpha\beta^+$  IELs). The balance between immune activation and tolerance is maintained under physiological conditions (*left*). In contrast, loss of SOC entry impairs both T-cell–mediated immune activation and tolerance, however, the effect of dysfunction of all immunoregulatory T cells (agonist-selected T cells) is more severe than that of immune activation. Due to this, autoimmune complications are also developed in Orai1- or Stim-deficient patients with immunodeficiency (*right*)

and in vivo. Nevertheless, these pathogenic T cells can still exert an effector function. One possibility is that these T cells may utilise other  $Ca^{2+}$ -permeable channels for their activation. Indeed, it has been reported that TRP channels, purinergic receptor X 7 (P2X7) and some voltage-gated  $Ca^{2+}$  channels, are involved in T-cell activation in the periphery (Oh-hora 2009; Feske et al. 2012). Alternatively, the Stim-mediated SOC entry may negatively regulate the function of a particular pathogenic T cell subset(s) in a cell-intrinsic manner. Identification and characterisation of these T cells will be helpful for the development of a novel therapeutic strategy for chronic inflammation and autoimmune diseases.

Accumulating evidence shows that  $K^+$ ,  $Na^+$ , and  $Mg^{2+}$  control  $Ca^{2+}$  influx. Blockade of K<sup>+</sup> channels decreases Ca<sup>2+</sup> influx by failing to protect against membrane depolarisation, which results in impaired T-cell activation (Cahalan and Chandy 2009; Di et al. 2010). Na<sup>+</sup> is also known to be involved in the regulation of membrane potential. Voltage-gated Na<sup>+</sup> channel (VGSC) has been recently reported to be essential for positive selection in the thymus through regulating sustained  $Ca^{2+}$  influx (Lo et al. 2012). Although Lo and colleagues did not provide mechanistic insights, Na<sup>+</sup> influx via VGSC might lead to an increase of  $Ca^{2+}$  through the activation of voltage-gated  $Ca^{2+}$  channels in T cells by regulating membrane depolarisation. Indeed, L-type voltage-gated  $Ca^{2+}$  channel  $Ca_{y}1.4$  has been reported to be involved in T-cell development and peripheral T-cell maintenance (Omilusik et al. 2011). In addition to K<sup>+</sup> and Na<sup>+</sup>, a recent study demonstrates that  $Mg^{2+}$  influx also influences  $Ca^{2+}$  influx.  $Mg^{2+}$  transporter protein 1 (MAGT1) is a highly selective Mg<sup>2+</sup> transporter whose physiological function was not well understood. Li and colleagues have identified nonfunctional mutations of the *MAGT1* gene in patients with a novel type of immunodeficiency (Li et al. 2011). Intriguingly, MAGT1-deficient T cells lack activation of PLCy-1 and SOC entry after the engagement of TCR, which results in the development of immunodeficiency. Other ions, such as Zn<sup>2+</sup> and Cl<sup>-</sup>, may also regulate Ca<sup>2+</sup> influx directly or indirectly. These findings suggest that the balance of each ion influx/efflux is critical for maintaining immune homeostasis through the modulation of SOC entry. Thus, control of the influx of other ions may allow for finely tuned regulation of SOC entry, which leads to fine-tuned and optimal overall immune responses.

Finally, here we discussed the mechanism of immune diseases caused by dysfunction of  $Ca^{2+}$  entry in T cells. Results that SOC entry is required for T-cell effector functions suggest that CRAC channels may be a potential therapeutic drug target for immune modulation in the context of inflammation. However, complete deletion of CRAC channel function results in autoimmune diseases due to the blockade of Treg cell development and function. A therapeutic window for CRAC channel inhibition needs to be clarified that is effective for immune activation but not for Treg-mediated immune suppression.

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# Part VIII Chronic Inflammation and Ageing

# Chapter 41 Pathophysiological Role of Chronic Inflammation in Ageing-Associated Diseases

Yuichi Ikeda, Hiroshi Akazawa, and Issei Komuro

Abstract Chronic low-grade systemic inflammation in the absence of overt infection is a hallmark of aged tissues and age-related disorders. Although chronic inflammation is primarily mediated by the local production of inflammatory mediators, recent studies suggest that blood-borne factors may also directly regulate the ageing process. In this chapter, we describe an unexpected role of complement C1q in canonical Wnt signalling and age-related phenotypes. Consistent with a previous report demonstrating that canonical Wnt signalling is augmented in various aged tissues, serum and tissue levels of C1q increase with age. C1q directly binds to Frizzled with high affinity and recruits C1r and C1s to form the C1 complex. Activated C1s in the C1 complex cleave the extracellular domain of LRP6, resulting in constitutive activation of canonical Wnt signalling. This newly identified C1q-canonical Wnt pathway promotes ageing-associated impairment of skeletal muscle regeneration and hypertensive arterial remodeling. These findings demonstrate that C1q promotes age-related phenotypes in a complement pathway-independent manner. The results also provide a molecular link between age-related disorders and a critical component of the classical complement pathway involved in chronic inflammation.

**Keywords** Chronic inflammation • Age-related phenotypes • Complement pathway • C1q • Wnt signaling • Ectodomain shedding • Hypertensive arterial remodeling • Skeletal muscle regeneration

Y. Ikeda

AMED-CREST, Tokyo, Japan e-mail: komuro-tky@umin.ac.jp

Department of Cardiovascular Medicine, Graduate School of Medicine, The University of Tokyo, Tokyo, Japan

H. Akazawa • I. Komuro (⊠) Department of Cardiovascular Medicine, Graduate School of Medicine, The University of Tokyo, Tokyo, Japan

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Fig. 41.1 The seven major themes in basic ageing research

### 41.1 Introduction

# 41.1.1 Chronic Inflammation Contributes to the Pathogenesis of Age-Associated Diseases

Ageing is a significant risk factor for chronic diseases, including neurodegenerative disorders, metabolic syndromes, cancers, and cardiovascular diseases. In order to develop preventative therapeutics for age-associated diseases, it is critical to understand the pathophysiological processes that promote ageing. Basic research into the ageing process is based on seven interrelated themes: (1) adaptation to stress, (2) epigenetics, (3) chronic inflammation, (4) macromolecular damage, (5) metabolism, (6) proteostasis and (7) stem cells and regeneration (Fig. 41.1; Kennedy et al. 2014).

Chronic low-grade systemic inflammation in the absence of overt infection is a hallmark of aged tissues and most, if not all, age-related disorders. This complex phenomenon termed "inflammaging" is one of the major themes of basic ageing research as noted above (Franceschi and Campisi 2014). However, the etiology of inflammaging and its roles in the pathogenesis of age-associated diseases remains elusive. Acute inflammation facilitates tissue repair, and therefore exerts beneficial effects in pathological conditions such as acute infections and traumatic tissue injury. In contrast, low-grade chronic inflammation usually results in tissue degeneration. Chronic inflammation in aged tissues can be driven by the production of endogenous host-derived cell debris and proinflammatory cytokines. Self-debris released from damaged cells accumulates due to impaired removal capacity during ageing, and thereby activates the innate immune response by mimicking bacterial products. Unlike cell debris, proinflammatory cytokines are produced from intact senescent cells residing in aged tissues. Senescent cells, which do not proliferate,

are metabolically active and secrete numerous humoural factors that promote age-related phenotypes by altering the tissue microenvironment (Lasry and Ben-Neriah 2015).

Age-related alterations in the immune system also affect inflammaging. Adaptive immunity declines with age, whereas innate immunity becomes hyperactive. Notably, dysregulation of the complement pathway, one of the critical components of the innate immune system, has been reported to trigger age-related macular degeneration (AMD), the most common cause of blindness in the elderly (Anderson et al. 2010; Gallenga et al. 2014).

# 41.1.2 Blood-Borne Factors Directly Regulate Age-Related Phenotypes

Age-related phenotypes can be modulated by the balance between intrinsic tissuederived factors and extrinsic factors from the systemic circulation. Although there is compelling evidence that the local production of proinflammatory cytokines promotes age-associated disorders, the contribution of systemic factors to the pathogenesis of age-associated disorders remains relatively uncertain. Increased levels of inflammatory mediators in the serum are therefore generally considered simply to reflect leakage from the local production. However, exciting findings indicating that systemic factors directly regulate the ageing processes have recently emerged (Conboy et al. 2005); (Brack et al. 2007); (Villeda et al. 2011); (Loffredo et al. 2013); (Villeda et al. 2014).

Some of these results were demonstrated by adopting an experimental paradigm known as heterochronic parabiosis, in which two mice of different ages are surgically joined to share a single systemic circulation (Fig. 41.2; Conboy et al. 2013). Isochronic parabiosis, in which either two young mice or two old mice are joined, serves as a control. In the model of heterochronic parabiosis, old mice are



Fig. 41.2 Schematic of parabiotic pairings

continuously exposed to the systemic circulation of young mice, and freely exchange cells and humoural factors under physiological conditions.

Utilising heterochronic parabiosis, Loffredo et al. examined whether circulating factors specific to a young circulatory environment might reverse age-related cardiac hypertrophy (Loffredo et al. 2013). Surprisingly, antihypertrophic effects of the young circulation were immediately observed by blinded morphological analysis. After a 4-week exposure to the young circulation, cardiac hypertrophy in old mice dramatically regressed. Furthermore, a comprehensive proteomic analysis of plasma samples identified growth differentiation factor 11 (GDF11), a TGF- $\beta$  superfamily member, as a potential age-regulated antihypertrophic factor because its plasma levels decrease with age. Indeed, age-related cardiac hypertrophy in old mice could be reversed by daily intraperitonial injection of GDF11 for 30 days.

In contrast to GDF11, the plasma levels of the chemokine CCL11 were found to increase with age and inversely correlate with adult neurogenesis and cognitive function (Villeda et al. 2011). Consistent with this, it has been reported that exposing young mice to an old systemic circulation resulted in decreased synaptic plasticity and impaired spatial learning and memory. Notably, elevating the plasma levels of CCL11 in young mice by injecting the recombinant protein led to impaired adult neurogenesis and cognitive function deficits.

An age-related decline in stem-cell activity results in impaired tissue regenerative potential, which has been proposed to drive, at least in part, age-related disorders. Blood-borne factors may also be involved in adult stem-cell function. Heterochronic parabiosis was performed to examine the influence of blood-borne factors on skeletal muscle stem cell (i.e. satellite cell) function. The exposure of old mice to a young circulation restored the impaired proliferation and regenerative potential of aged satellite cells, suggesting that systemic factors in the young circulation exert beneficial effects under these conditions (Conboy et al. 2005). Conversely, blood-borne factors in the old circulation were found to mediate the detrimental lineage conversion of satellite cells (Brack et al. 2007). Aged satellite cells in old mice convert from a myogenic to a fibrogenic lineage when they proliferate. This lineage conversion is associated with an increase in connective tissue deposition (i.e. fibrosis) in aged skeletal muscle. Brack et al. elegantly demonstrated that canonical Wnt signalling was activated in aged satellite cells, and that the age-related myogenic-to-fibrogenic conversion was suppressed by inhibition of the activated Wnt signalling. They further showed that fibrosis in regenerating muscle of old mice was improved by the systemic injection of a soluble Wnt inhibitor, such as DKK1 and sFRP3. Together, these findings indicate that increased Wnt signalling in myogenic progenitors in old mice may result from the increased levels of Wnt or Wnt-like molecules in aged serum.

These three important examples described above thus clearly demonstrate that age-related phenotypes in rodents can be reversed simply by changing the systemic milieu.

# 41.2 Unexpected Molecular Link Between Chronic Inflammation and Age-Related Phenotypes

# 41.2.1 Identification of Complement C1q as an Activator of Canonical Wnt Signalling in Aged Serum

Inspired by the work of Brack et al., we hypothesised that activators of canonical Wnt signalling exist in aged mouse serum. Because Wnt molecules are tightly trapped in the extracellular matrix, they usually function in an autocrine/paracrine manner. We thus assumed that the Wnt activators in aged serum are distinct from authentic Wnt ligands (Naito et al. 2012).

Consistent with the previous report, Wnt activity in aged mouse serum was found to be higher than that in young serum in a TOPFLASH assay (Fig. 41.3a). TOPFLASH assays were performed utilising HEK293 cells harbouring a luciferase reporter driven by eight TCF/LEF-binding sites for monitoring  $\beta$ -catenin–mediated transcription. Interestingly, even higher activity was observed in the serum obtained from mice with heart failure (Fig. 41.3a). In order to purify the Wnt activators, a chimeric protein (Fz8/Fc) was constructed by fusing a cysteine-rich domain (CRD) of Frizzled 8 (Fz8), a critical component of the Wnt receptor complex, to IgG/Fc. Using Fz8/Fc as a probe, binding partners of Fz8/Fc were precipitated from the mouse serum, and SDS-PAGE revealed that a 26 kDa protein was upregulated in the serum from mice with heart failure (Fig. 41.3b). Mass spectrometric analysis identified this protein as complement C1qa, a major component of complement C1q. C1q is composed of 18 polypeptides: 6 C1qa, 6 C1qb, and 6 C1qc, each encoded by three different genes.



**Fig. 41.3** Enhanced Wnt-like activity in aged mouse serum (Reprinted from *Cell*, 149, Naito AT et al., 'Complement C1q activates canonical Wnt signalling and promotes ageing-related phenotypes', 1298–1313, 2012, with permission from Elsevier). (a) Serum-induced Wnt signalling assessed in the TOPFLASH assay was higher in aged mouse serum and serum from mice with heart failure. (b) SDS-PAGE demonstrated that a 26 kDa protein was upregulated in the serum of mice with heart failure



**Fig. 41.4** In vitro pharmacology of C1q at the Frizzled receptor (Fz8; Reprinted from *Cell*, 149, Naito AT et al., 'Complement C1q activates canonical Wnt signalling and promotes ageing-related phenotypes', 1298–1313, 2012, with permission from Elsevier). (a) A Scatchard plot analysis of the binding of C1q to Fz8 CRD. (b) Dose–response analyses of C1q in the TOPFLASH assay. The activity of C1q was potentiated by Fz8 overexpression (*filled circles*)

Specific interactions between C1q and Fz receptors were then tested. A Scatchard plot analysis demonstrated a specific binding of C1q to Fz8 CRD with high-affinity (Kd = 2.8 nM) comparable to that of Wnt3a (Kd = 1.25 nM; Fig. 41.4a). Although the binding affinity was similar, the activity of C1q in the TOPFLASH assay was 200-fold weaker (EC<sub>50</sub> = 259 nM) than that of Wnt3a (EC<sub>50</sub> = 1.27 nM). Interestingly, however, overexpression of Fz8 potentiated the C1q activity by 13-fold (EC<sub>50</sub> 259 nM to 22.8 nM), whereas it did not affect Wnt3a activity (Fig. 41.4b). These results suggest that C1q and authentic Wnt ligands activate canonical Wnt signalling in a distinct manner.

We then asked if C1q is required for serum-induced activation of Wnt signalling. Less TOPFLASH activity was observed in C1q-depleted serum or serum from C1qa-knockout mice, and Fz8/Fc treatment did not further decrease the TOPFLASH activity induced by these serums (Fig. 41.5a). Moreover, plasma levels of C1qa were confirmed to increase with age in wild-type (WT) mice (Fig. 41.5b), and there was no age-dependent increase in serum-induced TOPFLASH activity when serum from C1qa-knockout mice was tested (Fig. 41.5c). Based on these findings, we concluded that serum-induced activation of canonical Wnt signalling is mediated, at least in part, by C1q.

# 41.2.2 C1q Activates Canonical Wnt Signalling by Recruiting C1 Complex That Cleaves the Extracellular Domain of LRP6

The classical complement pathway is initiated by formation of the C1 complex composed of C1q, C1r, and C1s. Host cells utilised in the TOPFLASH assay secrete



**Fig. 41.5** C1q is required for serum-induced activation of canonical Wnt signalling (Reprinted from *Cell*, 149, Naito AT et al., 'Complement C1q activates canonical Wnt signalling and promotes aging-related phenotypes', 1298–1313, 2012, with permission from Elsevier). (a) Wnt signalling activation by mouse serum in the TOPFLASH assay was partially inhibited by Fz/Fc (10  $\mu$ g/ml) or C1q depletion. (b) Serum levels of C1qa increase with age. (c) Age-dependent increase in serum-induced TOPFLASH activity was observed in wild-type mice, but not in C1qa knockout mice

both C1r, and C1s into the cell culture media. Inasmuch as purified C1q activated Wnt signalling even in a serum-free condition, we hypothesised that endogenous C1r and C1s from the host cells may be required for C1q-induced activation of Wnt signalling in the TOPFLASH assay. Consistent with this hypothesis, TOPFLASH activity was strongly inhibited either by knockdown of endogenous C1r/C1s in the host cells or by C1s inhibitor treatment. It is known that deletion of the extracellular domain of LRP6, a component of the Wnt receptor complex, leads to constitutive activation of canonical Wnt signalling (Mao et al. 2001); (Liu et al. 2003). C1s is a proenzyme, and once activated, it is capable of cleaving cell surface molecules such as MHC class I (Eriksson and Nissen 1990). We thus asked if LRP6 acts as a substrate for activated C1s protease. As expected, the extracellular domain of LRP6 was cleaved by activated C1s in vitro. Notably, the amino acid sequence of the cleavage site was well conserved among species. To test if this C1s-mediated cleavage is dependent on C1q, cells overexpressing LRP6 were treated with normal serum or C1q-depleted serum. The cleaved extracellular fragment of LRP6 was detected in the normal serum, but not in the C1q-depleted serum. Likewise, the



# Canonical Wnt signaling activation

**Fig. 41.6** C1q activates canonical Wnt signalling by recruiting the C1 complex that cleaves the extracellular domain of LRP6 (Reprinted from *Cell*, 149, Naito AT et al., 'Complement C1q activates canonical Wnt signalling and promotes aging-related phenotypes', 1298–1313, 2012, with permission from Elsevier). (a) Age-dependent increase in the amount of cleaved extracellular fragment of LRP6 was observed in serum from wild-type mice. In C1qa knockout mice, no cleaved fragment was detected. (b) Schematic of C1q-induced activation of canonical Wnt signalling. Cleavage of the extracellular domain of LRP6 by the C1 complex leads to constitutive activation of canonical Wnt signalling

cleaved fragment of LRP6 was detected in the serum from wild-type mice, but not in the serum from C1qa knockout mice (Fig. 41.6a).

C1s-mediated cleavage generates LRP6 lacking amino acids 21–792 (Del-LRP6). Transfection of Del-LRP6 alone stimulated TOPFLASH activity in the absence of authentic Wnt ligands, indicating that C1s-mediated cleavage of

LRP6 is sufficient to activate canonical Wnt signalling (Fig. 41.6b). Del-LRP6 was found to be phosphorylated in the transfected cells even without ligand stimulation. Furthermore, transfection of Del-LRP6 induced the phosphorylation of wild-type LRP6 (WT-LRP6) that was simultaneously transfected. These findings suggest that cleavage of a small fraction of LRP6 can amplify canonical Wnt signalling by phosphorylating endogenous LRP6 that remains uncleaved (Tamai et al. 2004); (Zeng et al. 2005).

# 41.2.3 Impaired Tissue Regeneration in Aged Skeletal Muscle is Mediated by Increased Levels of C1q

We next asked if increased levels of C1q during ageing result in augmented Wnt signalling in vivo. The expression levels of C1q in skeletal muscle were higher in aged mice than those in young mice (Fig. 41.7a). Consistent with this, an age-associated increase in the expression of Axin2, a well-established Wnt target gene that can track overall Wnt signalling activity, was observed in skeletal muscle from WT mice, but not in that from C1qa knockout mice (Fig. 41.7b).

We then cryoinjured the gastrocnemius muscle of aged WT and C1qa knockout mice followed by treatment with either a neutralising antibody against C1s (M241) or an anti-C5 antibody (BB5.1; Matsumoto and Nagaki 1986). A neutralising antibody against C1s inhibits both C1q-induced Wnt signalling and the complement pathway, whereas an anti-C5 antibody selectively inhibits the complement pathway. In aged mice, C1s inhibition or targeted disruption of C1qa attenuated canonical Wnt signalling and improved tissue fibrosis induced by cryoinjury (Fig. 41.7c). However, selective inhibition of the complement pathway by an anti-C5 antibody had no effect (Fig. 41.7c). These results suggest that the impaired tissue regenerative potential in aged skeletal muscle is mediated by augmented C1q-induced C1q-induced Wnt signalling.

# 41.2.4 C1q Secreted from M2 Macrophages Recruited to the Vasculature Regulates Arterial Remodelling Through the Activation of Canonical Wnt Signalling

Prolonged high blood pressure triggers the structural remodelling of arteries. This arterial remodelling is characterised by proliferation of vascular smooth muscle cells (VSMCs) and infiltration of immune cells. It has been reported that activation of canonical Wnt signalling is involved in VSMC proliferation during injury-induced intimal thickening, and that C1q is abundantly produced from the cells of monocyte/macrophage lineage (Petry et al. 2001). We thus hypothesised that C1q secreted from macrophages recruited to the arterial wall promotes VSMC



**Fig. 41.7** Impaired tissue regeneration in aged skeletal muscle is mediated by increased levels of C1q (Reprinted from *Cell*, 149, Naito AT et al., 'Complement C1q activates canonical Wnt signalling and promotes ageing-related phenotypes', 1298–1313, 2012, with permission from Elsevier). (a) C1qa levels in skeletal muscle increase with age. (b) Expression levels of Axin2 mRNA in skeletal muscle increase with age in wild type mice, but not in C1qa knockout mice. (c) Expression levels of Col3a1 in skeletal muscle were decreased by M241 (a neutralising antibody against C1s) treatment or in C1qa knockout mice, but not by BB5.1 (an anti-C5 antibody) treatment, suggesting that impaired tissue regeneration in aged skeletal muscle is mediated by enhanced C1q-induced canonical Wnt signalling

proliferation and arterial remodelling through the activation of canonical Wnt signalling (Sumida et al. 2015).

It is well known that infusion of angiotensin II (AngII) in mice results in bloodpressure elevation followed by arterial remodelling. In this model, VSMC proliferation was observed as early as 1 week after peptide infusion began. Consistent with the previous report, canonical Wnt signalling was activated in these proliferating VSMCs. To test if this activation of canonical Wnt signalling is required for VSMC proliferation, in vivo administration of a small-molecule  $\beta$ -catenin inhibitor as well as VSMC-specific  $\beta$ -catenin disruption were performed. As expected, less VSMC proliferation was observed in both of these experiments.

Flow cytometric analysis revealed that most of the macrophages recruited to the arterial walls were M2-type. By depleting the cells of monocyte lineage, it was revealed that recruitment of these M2-type macrophages was essential for the activation of canonical Wnt signalling in VSMCs and VSMC proliferation. Furthermore, C1qa knockout mice showed attenuated canonical Wnt signalling in



VSMCs and less VSMC proliferation. Taken together, these results suggest that C1q secreted from arterial M2-type macrophages plays a critical role in hypertensive arterial remodelling through the activation of canonical Wnt signalling (Fig. 41.8).

### 41.3 Conclusions

Chronic inflammation is one of the seven major themes in basic ageing research. However, molecular mechanisms that link chronic inflammation with age-related phenotypes are not fully understood.

In this chapter, we described an unexpected role of C1q in the pathogenesis of age-related phenotypes. The complement system, an important component of innate immunity, consists of four activation pathways including the classical pathway that is initiated by the C1 complex composed of C1q, C1r, and C1s. Surprisingly, we found that C1q acts as an activator of canonical Wnt signalling. C1q directly binds with high affinity to Frizzled, one of the two components of the What receptor, and subsequently recruits C1r and C1s to form the C1 complex. Activated C1s in the C1 complex cleaves LRP6, the other component of the Wnt receptor, and this cleavage leads to the activation of canonical Wnt signalling. Recent studies suggested that Wnt signalling is augmented in various aged tissues, and this augmented Wnt signalling promotes mammalian ageing. Consistent with this hypothesis, serum and tissue levels of C1q were found to increase with age. Furthermore, we demonstrated that increased levels of C1q mediate the ageingassociated impairment of skeletal muscle regeneration and hypertensive arterial remodelling through the activation of canonical Wnt signalling. We thus conclude that complement C1q links chronic inflammation to age-related disorders in a complement pathway-independent manner.

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# Chapter 42 Uterine Cellular Senescence in the Mouse Model of Preterm Birth

#### Yasushi Hirota

**Abstract** Recent literature shows that ageing-related chronic inflammation called inflammaging is involved in the pathophysiology of several diseases such as cancers, atherosclerosis, diabetes mellitus, autoimmune diseases, and neurodegenerative diseases. Cellular senescence is one of the major contributors to inflammaging. As for the field of reproductive and perinatal medicine, preterm birth is a serious problem, and it is reported that maternal ageing is associated with the incidence of preterm birth. We recently established a mouse model of preterm delivery induced by uterine p53 deletion, in which uterine cellular senescence in early pregnancy via the activation of mTORC1-p21-Cox2-PGF<sub>2α</sub> axis leads to both preterm birth and neonatal death and these phenotypes are reversed by the inhibition of mTORC1, p21, or Cox2. Inasmuch as it is reported that p53 activity declines according to ageing, maternal ageing may promote preterm delivery through enhanced cellular senescence in humans. The role of uterine cellular senescence in determining the timing of parturition in mice may promote a better understanding of the mechanism of labour and develop novel strategies against preterm birth in humans.

**Keywords** Inflammaging • Cellular senescence • Preterm birth • mTOR • p21 • Cox2

### 42.1 Inflammaging

Ageing is a process in which living animals and organisms irreversibly decline in the cellular and tissue functions. Cancers, arteriosclerosis, diabetes mellitus, autoimmune diseases such as rheumatoid arthritis, and neurodegenerative diseases such as Alzheimer disease are all known as typical age-related diseases, in which chronic inflammation and activated immune responses are lastingly observed. Circulating levels of proinflammatory cytokines such as IL-6 and TNF- $\alpha$  are elevated with age

Y. Hirota (🖂)

Department of Obstetrics and Gynecology, Graduate School of Medicine, The University of Tokyo, 7-3-1 Hongo, Bunkyo-ku, Tokyo 113-8655, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: yhirota-tky@umin.ac.jp



Fig. 42.1 Inflammaging induced by immunosenescence and cellular senescence

(Franceschi et al 2000). Upregulation of proinflammatory cytokines or downregulation of anti-inflammatory cytokines occur asymptomatically and systemically without chronic inflammation due to pathological conditions in the body of elderly people, and may be a potential inducer of various inflammatory diseases in the long run. Therefore, ageing-related inflammation has been sometimes called as 'inflamm-aging' (Franceschi et al 2000). The balance between proinflammatory and anti-inflammatory cytokines are considered to be important for the pathophysiology of inflammaging. Additional stimuli such as genetic and environmental
factors are often required for the formation of inflamm-aging, and unless there are those factors, elderly persons can probably acquire healthy ageing (Fig. 42.1).

#### 42.2 Immunosenescence

One possible cause of ageing-related inflammatory conditions is an irreversible reduction of immune function with age called immunosenescence. Immunosenescence occurs in all immune organs, and mainly eliminates functions of acquired immunity by lymphocytes, especially T lymphocytes (Ginaldi et al 2001). The organs in elderly people tend to have inflammatory conditions by their environment factors and underlying diseases. Thus, it is considered that immunosenescence may contribute to the formation of pathological condition in ageing-related disorders.

#### 42.3 Cellular Senescence

Another possible cause of ageing-related inflammatory conditions is an irreversible arrest of cell growth, called cellular senescence. Although it was discovered in 1961 (Hayflick and Moorhead 1961), its molecular aspects have been unclear compared to apoptosis. Cellular senescence can be divided into two different forms: replicative and premature senescence. The former is the growth arrest by telomere shortening after the repeat of normal replication phase, and regulates cellular longevity (Fig. 42.2; (Blasco 2007; Wright and Shay 1995). The latter occurs prior to telomere shortening, and is alternatively stimulated by acute stress such as DNA damage, oxidative stress, and metabolic stress (Fig. 42.2; Campisi and d'Adda di Fagagna 2007; Collado and Serrano 2010; Kuilman et al 2010; Serrano et al 1997).

Senescent cells change cell shape into larger and flattened form with a vacuolerich cytoplasm and display increased senescence-associated  $\beta$ -galactosidase (SA- $\beta$ -gal) activity detected by SA- $\beta$ -gal staining. The cells also show dynamic changes in chromatin texture that can be frequently visualised as so called senescence-associated heterochromatin foci (SAHF). Cyclin-dependent kinase inhibitors such as p21 and p16, the molecules involved in DNA damage response such as  $\gamma$ H2AX, and those involved in the formation of SAHF such as the heterochromatin protein HP1 $\gamma$  are generally used as the surrogate markers of cellular senescence. In addition, senescent cells are characterised by global reprogramming of gene expression, including expression and secretion of proinflammatory cytokines and tissue remodelling enzymes referred to as the senescence-associated secretory phenotype (SASP), suggesting that senescent cells communicate with each other and with nonsenescent cells in the environment (Campisi and d'Adda di Fagagna 2007; Collado and Serrano 2010; Kuilman et al 2010). For instance, SASP



Fig. 42.2 Molecular pathway of cellular senescence

cytokines such as interleukin-6 (IL-6) and IL-8 promote the malignant phenotypes of neighbouring cells (Campisi and d'Adda di Fagagna 2007; Collado and Serrano 2010; Kuilman et al 2010). Moreover, these cytokines autonomously promote cellular senescence in a paracrine manner (Campisi and d'Adda di Fagagna 2007; Collado and Serrano 2010; Kuilman et al 2010). These findings indicate that cellular senescence may form the feedforward loop of chronic inflammation using SASP cytokines. Indeed, cellular senescence is observed in typical chronic inflammatory diseases such as cancers and metabolic syndrome (Campisi and d'Adda di Fagagna 2007; Collado and Serrano 2010; Kuilman et al 2010).

#### 42.4 Preterm Birth

Parturition is an intricate process involved in both endocrine and mechanical stimulation. Nearly 15 million premature births occur worldwide each year (WHO 2012). Preterm birth in humans is defined by birth occurring earlier than 37 weeks of gestation. Premature delivery sometimes damages newborn babies severely. For examples, prematurity is one of the direct causes of neonatal deaths and often leads to developmental impairment and long-term disabilities in many survivors (WHO 2012). Although many factors, including infection, uterine overdistension, maternal age, progesterone ( $P_4$ ) resistance, and cervical aberration are identified as contributors to preterm birth (Fig. 42.3) (Hirota et al 2010a), this disorder in pregnancy remains to be fully understood. The current therapies such as



Fig. 42.3 Multiple factors are associated with the pathogenesis of preterm delivery

tocolytic drugs, antibiotics, surgical cerclage, and  $P_4$  supplementation did not reduce the incidence of preterm birth sufficiently, and therefore, it is necessary to establish a new fundamental approach to target this disease (Hirota et al 2010a). Because advanced maternal age is epidemiologically associated with preterm birth (Carolan 2003; Cnattingius et al 1992; Roberts et al 1994), maternal ageing may irreversibly damage the uterus by inflammaging to increase the risk of preterm birth.

#### 42.5 Animal Models of Preterm Birth

Animal models of spontaneous preterm delivery are good tools to understand the underlying mechanism, and to establish new strategies for its prevention and Although rodent models of preterm delivery treatment. induced bv proinflammatory drugs such as lipopolysaccharide (LPS), are popularly used (Elovitz and Mrinalini 2004), these models are not ideal because the proinflammatory drugs directly affect ovaries to trigger labour by inducing luteolysis with  $P_4$  withdrawal, which does not occur in human preterm delivery. In addition, LPS produces both uterine and systemic inflammation making the interpretation difficult as to the cause of preterm birth. Rodent models of preterm labour without luteolysis are required, although such models have scarcely existed. Remarkably, we have developed a novel mouse model of spontaneous preterm birth without  $P_4$  (Cha et al 2013). The mice with conditional deletion of uterine p53 $(p53^{d/d})$  generated by crossing p53-floxed  $(p53^{f/f})$  and progesterone receptor-Cre (Pgr<sup>Cre/+</sup>) mice showed increased levels of uterine cyclooxygenase-2 (Cox2) and  $PGF_{2\alpha}$  that induce premature delivery with neonatal death without early luteolysis, mimicking an aspect of human preterm delivery (Cha et al 2013).

# 42.6 A Mouse Model of Preterm Birth by Conditional Deletion of Uterine p53

Although  $p53^{d/d}$  mice demonstrated normal implantation of the embryos, decidual cells, uterine stromal cells starting to be differentiated after the attachment of embryos to the uterus, proliferate limitedly in  $p53^{d/d}$  mice. According to the defective decidual formation, SA-β-gal activity, the most popular marker of cellular senescence, was increased in  $p53^{d/d}$  deciduae (Cha et al 2013). In addition, p21, a possible regulator of cellular senescence, as well as yH2AX, a DNA damage marker, was upregulated in  $p53^{d/d}$  deciduae (Cha et al 2013). Because p21 and  $\gamma$ H2AX are often used as surrogate markers of cellular senescence, these findings indicate that uterine p53 deletion promotes decidual cellular senescence. The proteomic analyses showed downregulation of a cluster of antioxidant enzymes and proteins regulating mitochondrial functions in p53 null deciduae, suggesting increased oxidative stress and mitochondrial dysfunction in  $p53^{d/d}$  deciduae (Burnum et al 2012). Despite the restricted decidual growth after implantation, embryonic resorption did not increase. Interestingly, approximately half of pregnant  $p53^{d/d}$  dams showed preterm delivery and neonatal death without a drop in circulating  $P_4$  levels, mimicking characteristics of human parturition. We also found not only persistent signs of increased uterine cellular senescence but increased levels of Cox2 in later pregnancy before parturition (Cha et al 2013). It has been reported that Cox-derived prostaglandins (PGs) are crucial for the initiation of labor, therefore we presume that an early elevation of uterine Cox2 initiates preterm labor in  $p53^{d/d}$  females. This hypothesis was clearly supported by the evidence of heightened uterine  $PGF_{2\alpha}$  in the confrontation with unchanged levels of Cox1 and other PGs in these mice, and the prevention of their preterm births by oral administration of a Cox2 selective inhibitor celecoxib (Cha et al 2013). Taken together, these findings provide evidence that uterine deficiency of p53 induces cellular senescence and elevates Cox2-derived  $PGF_{2\alpha}$  levels.

#### 42.7 Uterine Cellular Senescence and Preterm Birth

To investigate whether uterine cellular senescence triggers preterm birth, we performed further analyses using  $p53^{d/d}$  mice. We found that uterine cellular senescence in  $p53^{d/d}$  females is associated with heightened signalling of mammalian target of rapamycin complex 1 (mTORC1; (Hirota et al 2011). Heightened mTORC1 signalling is a significant contributor to preterm birth, because the phenotype of prematurity and the increase of uterine Cox2 in  $p53^{d/d}$  mice was rescued by an oral gavage of a low dose of rapamycin, an mTORC1 inhibitor (Hirota et al 2010a). In the in vitro experiment using  $Tsc1^{-/-}$  mouse embryonic fibroblasts where mTORC1 is activated, the inhibition of mTORC1 signalling negatively regulates the status of p21 and Cox2. We further established the mice with double deletion of p53 and p21, and these mice did not show any symptoms of preterm deliveries (Hirota et al 2011). Moreover, the superimposition of p21 deletion with p53 deletion suppressed cellular senescence and Cox2 expression. These findings suggest that the p53-mTORC1-p21-Cox2-PGF<sub>2α</sub> axis is a critical component in the timing of birth and an intervention of any of these three targets is capable of reversing preterm delivery in  $p53^{d/d}$  mice (Cha et al 2013; Hirota et al 2011; Fig. 42.4). This study also discloses that progressive uterine cellular senescence approaching term birth occurs in the normal course of mouse uterus. It is reported that p53 functions decline according to ageing in mice (Feng et al 2007), thus maternal ageing may promote preterm delivery through enhanced cellular



senescence in humans. These studies may help to clarify the mechanism of human parturition and establish novel approaches against preterm delivery.

This mouse model of uterine cellular-senescence-induced preterm labor can be used to explore interactions between genetic predisposition and infection/inflammation during pregnancy. We have shown that  $p53^{d/d}$  dams subject to even low-grade immunological insults by LPS remarkably increase their predilection towards preterm birth, suggesting that a close relationship between genetic predisposition and environmental insults in exacerbating preterm delivery (Cha et al 2013; Fig. 42.5). These findings have raised many more questions. How and why is the pregnant uterus programmed to undergo cellular senescence during pregnancy? Is maternal ageing involved in the process of this uterine cellular senescence? How does uterine cellular senescence occurring in early pregnancy trigger preterm birth? With infection/inflammation known to amplify secretion of SASP cytokines IL-6 and IL-8 (Liang et al 1996; Sheldon and Roberts 2010), does the SASP contribute to premature birth? Can diets or endocrine disruptors influence cellular senescence to alter timing of birth? Answering these questions will require more intensive efforts which may enable the development of new preventive strategies by handling key regulators in the labour pathway.

#### 42.8 Conclusions

Our results emphasise a new role of uterine cellular senescence involving the p53-mTORC1-p21-Cox2-PGF<sub>2 $\alpha}$ </sub> signalling axis in determining the timing of birth (Fig. 42.5; Cha et al 2013; Hirota et al 2011; Hirota et al 2010b). Because ageing is a contributing factor to cellular senescence (Collado et al 2007) and advanced maternal age is epidemiologically associated with preterm birth (Carolan 2003; Cnattingius et al 1992; Roberts et al 1994), it is possible that uterine cellular senescence due to maternal ageing can increase the risk of preterm birth. *p53* polymorphisms have been implicated with ageing and life span in humans (de Keizer et al 2010; Rodier et al 2007). Although there is evidence that certain *p53* polymorphisms in women correlate with recurrent pregnancy failure (Kang et al 2009), there have not been any reports about the relationship between *p53* polymorphisms and the incidence of preterm birth. It also remains to be examined whether the patients with high risk of preterm birth retain genetic alterations of any members of this signalling axis. Further studies are needed to solve this issue.



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# Part IX Chronic Inflammation and Bowel Diseases

# **Chapter 43 Physiological and Pathological Inflammation at the Mucosal Frontline**

#### Yosuke Kurashima and Hiroshi Kiyono

Abstract The intestinal mucosa is covered by a single layer of epithelium, which comprises columnar epithelial cells, goblet cells, Paneth cells, and microfold (M) cells. This tightly associated physical and interactive barrier also provides bactericidal agents, mucins, and fucose to create a symbiotic and protective environment. The initial layers of the mucosal compartment constitutively regulate symbiosis with host and commensal microbiota. This regulation of symbiosis occurs through homeostatic or physiological inflammatory signalling, which is mediated by innate cell populations, including innate lymphoid cells and mast cells. However, damage to the epithelial barrier due to dysbiosis or chemical and physical stressors induces intestinal inflammation (e.g., inflammatory bowel disease [IBD]). The inflamed epithelium then releases damage-associated molecules, such as adenosine triphosphate (ATP), into the extracellular compartment. Extracellular ATP-mediated, purinergic signalling initiates the activation of innate cells (e.g., mast cells) located at the mucosal frontline, which typically function as sentinels against invasion from intestinal infections but also promote the inflammatory process upon its abnormal activation. In this chapter, we discuss these complex mucosal molecular-cellular connections and introduce advanced strategies to

AMED-CREST, Tokyo 108-8639, Japan

Y. Kurashima

Division of Mucosal Immunology, Department of Microbiology and Immunology, The Institute of Medical Science, The University of Tokyo, 4-6-1 Shirokanedai, Minato-ku, Tokyo 108-8639, Japan

H. Kiyono (🖂)

Division of Mucosal Immunology, Department of Microbiology and Immunology, The Institute of Medical Science, The University of Tokyo, 4-6-1 Shirokanedai, Minato-ku, Tokyo 108-8639, Japan

International Research and Development Center for Mucosal Vaccines, The Institute of Medical Science, The University of Tokyo, 4-6-1 Shirokanedai, Minato-ku, Tokyo 108-8639, Japan

Department of Immunology, Graduate School of Medicine, Chiba University, 1-8-1 Inohana, Chuo-ku, Chiba-city, Chiba 260-8670, Japan e-mail: kiyono@ims.u-tokyo.ac.jp

control chronic inflammation (e.g., IBD), especially focusing on (1) commensal mutualism, (2) the epithelial barrier, and (3) purinergic inflammatory signalling.

**Keywords** IBD • Dysbiosis • Mast cells • Innate lymphoid cells • Epithelial cells • Commensal mutualism • Probiotics • Fecal transplantation • Purinergic signaling

### 43.1 Introduction to Mucosal Immunity

The intestinal mucosa is constantly exposed to numerous and diverse substances, including foods, nutrients, and commensal bacteria, and is an entry site for pathogenic bacteria (e.g., Vibrio cholerae and Salmonella typhi) (Weinstein et al. 1998; Millet et al. 2014). Various physiological and pathological stimuli initiate wellorganized immune responses orchestrated by both innate and acquired immune systems at mucosal surfaces to create the system known as mucosal immunity. The mucosal immune system consists of various cell populations and subsets, such as T cells (e.g., T helper 1, 2, 3, 9, 17, and T regulatory cells [T reg]), IgA-producing cells, myeloid cells (e.g., M1 and M2 macrophages and CD103<sup>+</sup> and CX<sub>3</sub>CR1<sup>+</sup> dendritic cells [DCs]), mast cells, eosinophils, and recently discovered innate lymphoid cells (ILC1, 2, 3); approximately half of the immune cells in our body are located in the gut. These cell populations dynamically interact and maintain both quiescence and active immune responses to create gut homeostasis. Immunesuppressive cell populations (e.g., Treg, Tr1, Th3, Breg, macrophage, and DC) play important roles in the maintenance of quiescent immunological homeostasis as previously reviewed by Sun M et al. (Sun et al. 2015). However, genetic factors or environmental stressors can disrupt those immunosuppressive properties and the balance between quiescent and active responses in the mucosal compartment, leading to intestinal inflammation, such as in the case of inflammatory bowel disease (IBD) as reviewed elsewhere (Kaser et al. 2010).

The appropriate development and physiological proliferation of immune-cell populations are directly and indirectly regulated through stimulation from nonpathogenic bacteria residing in the luminal compartment of the intestines, namely the commensal microbiota. More than 1000 species of bacteria reside within the lumen of the intestinal compartment; each gram of luminal content contains approximately 10<sup>12</sup> commensal organisms, almost tenfold the number of human cells in the body. These commensal bacteria provide many beneficial metabolic contributions (e.g., vitamin K, short-chain fatty acids, and folate) (reviewed by Kau et al. 2011: Kunisawa and Kiyono 2015). Commensal bacterial stimulation leads to the production of physiologically suitable amounts of proinflammatory cytokines, such as IL-1 $\beta$ , IL-6, lymphotoxin, and tumour necrosis factor (TNF)  $\alpha$ , which are required for the maintenance of mucosal homeostasis (Zaki et al. 2010; Goto et al. 2014); this process is likened to 'physiological inflammation' because proinflammatory cytokines facilitate the physiologic balancing act rather than contribute to pathology (Fig. 43.1). When the inflammatory cytokine signalling cascade (e.g., lymphotoxin and TNFa) is blocked, Peyer's patches (PP: an example



Fig. 43.1 Role of triangular interactions among commensal microbiota, epithelial cells, and innate immune cells for physiological and pathological inflammation. The luminal (e.g., segmented filamentous bacteria [SFB]) and intratissue (e.g., Alcaligenes) commensal bacteria regulate both quiescent and active immune responses by stimulating various immune cell populations. Even during steady-state conditions, innate cell populations (especially ILC3s) are constantly reacting to commensal bacteria via DC-derived IL-1 $\beta$  and IL-23 (physiological inflammation). Stimulated ILC3s release IL-22, leading to the induction of fucosylation in epithelial cells and the secretion of mucin and antimicrobial peptides (AMPs) from them. However, once tight junctions are disrupted or when Paneth cells become reduced in number, pathobionts are increased or dysbiosis with bacterial dissemination occurs. In addition, an increase in bacterial or cell-deathmediated ATP-purinergic signalling, accompanied by a reduction in CD73-CD39 pathways, initiates intestinal inflammation (pathological inflammation). Triangular interactions among commensal microbiota, epithelial cells, and innate immune cells contribute to both homeostatic and pathological inflammation. In the context of mucosal barrier biology, further understanding and control of IBD requires focusing on intestinal epithelium, commensal bacterial communication, commensal mutualism, damage-associated inflammatory mediators, and purinergic signalling

of gut-associated lymphoid tissues) shrink (Randall et al. 2008). Mice lacking commensal bacteria, such as germ-free or antibiotic-treated mice, have smaller PPs (Kiyono et al. 1980) and fewer intestinal immune cells, such as IgA-producing cells and intraepithelial lymphocytes (IELs;  $CD8^+$  T cells that reside in the intraepithelium), than do their wild-type counterparts (Umesaki et al. 1993; Macpherson et al. 2000). These findings further emphasise the crucial well-being of the intestinal microbiota; its orchestrated production of cytokines contributes to the creation of a healthy intestinal immune system.

In contrast, abnormality of commensal bacteria, known as dysbiosis, induces inappropriate immunological signals and eventually causes intestinal inflammation (Petersen and Round 2014; Fig. 43.1). IBD is a chronic, immune-mediated disease

that generally occurs in young adulthood and confers a debilitating life cycle of remission and relapse (Huttenhower et al. 2014). IBD results from numerous and complex factors, including the genotype of components involved in bacterial sensing (e.g., nucleotide-binding oligomerisation domain-containing protein 2 [NOD2]), endoplasmic reticulum stress (e.g., activating transcription factor 6), and glycosylation (e.g., fucosyltransferase 2 [FUT2]), expressed in intestinal epithelial cells (Ogura et al. 2001; McGovern et al. 2010). In addition, the composition of commensal bacteria is involved in the pathogenesis of IBD, and the invasion of luminal bacteria to intracells (or tissues) stimulates innate immune cell populations. such as macrophages, and thus induces an inflammatory milieu (Uo et al. 2013). Anti-inflammatory or immunomodulatory drugs (e.g., sulfasalazine, olsalazine, cyclosporine, 5-aminosalicylates, and anti-TNF $\alpha$ ) (Klotz and Schwab 2005; Altwegg and Vincent 2014) as well as strategies targeting commensal bacteria (e.g., antibiotics, probiotics, prebiotics, and fecal transplantation) (Xu et al. 2015) are used to treat IBD. In addition, purinergic signalling, which is initiated by both immune responses and bacterial stimuli, has recently been shown to play important roles in the onset of intestinal inflammation as reviewed previously (Eltzschig et al. 2012; Idzko et al. 2014; Kurashima et al. 2015), and extracellular ATPpurinergic receptor pathways are potential, novel therapeutic targets for Crohn's disease (CD) (Ochoa-Cortes et al. 2014). In this chapter, we discuss these complex connections among the commensal flora, epithelial-mesenchymal cells, and immune cells in the intestinal environment, addressing recent progress and advanced strategies to control chronic IBD and especially focusing on (1) commensal mutualism, (2) the epithelial barrier, and (3) purinergic inflammatory signalling (Fig. 43.1).

### 43.2 Commensal Mutualism: Dysbiosis and Inflammation

Dysbiosis – the abnormal alteration of/ or disease-associated changes in the intestinal microbiota – is critically involved in the pathology of IBD (Petersen and Round 2014). As reviewed by Simpson HL et al., in general, *Bacteroidetes*, *Firmicutes*, *Proteobacteria*, and *Actinobacteria* are the most prevalent species in the gut microbiota (Simpson et al. 2014). Among these, *Actinobacteria* and *Proteobacteria* are increased in patients with IBD (Simpson et al. 2014). *Proteobacteria* are resident microbes with pathogenic potential (so-called pathobionts) (Chow and Mazmanian 2010; Petersen and Round 2014; Fig. 43.1). In addition to having pathogenic potential, bacterial species in the intestinal lumen must be able to access the intestinal epithelium (i.e., the lamina propria and inner mucosa) to induce intestinal inflammation. Two layers of mucosa segregate the microbiota in the intestinal lumen from the intestinal epithelium in colon; thus most commensal microbiota cannot directly or physically interact with the epithelium and the immune cells underneath (Johansson et al. 2008). In contrast, mice lacking mucus spontaneously develop intestinal inflammation due to penetration of the intestinal epithelium by commensal bacteria (Johansson et al. 2008). Furthermore, detergent-like emulsifiers (contained in processed foods) capable of destroying mucus may increase bacterial translocation across intestinal epithelium and the risk of intestinal inflammation (Chassaing et al. 2015).

To increase beneficial bacterial populations, various strategies have aimed to modulate imbalanced commensal biota or reduce pathobionts; these strategies include probiotics and prebiotics, reviewed by Simpson HL et al (Simpson et al. 2014). As clearly reviewed by Scaldaferri et al. and Verbeke et al., probiotic therapy is the application of live, beneficial bacteria (as a single strain or a mixture) that are considered to have properties of improving health (Scaldaferri et al. 2013; Verbeke et al. 2014). Prebiotic therapy is the application of compounds that induce growth and activity of beneficial bacteria in the gut (Scaldaferri et al. 2013; Verbeke et al. 2014). These strategies recently have emerged as beneficial and alternative options for patients with intractable diseases. In particular, increases in beneficial *Lactobacillus, Bifidobacterium*, and *Streptococcus* species are important for the suppression of intestinal inflammation (Scaldaferri et al. 2013). When provided as the probiotic compound VSL#3, a mixture of these bacteria effectively ameliorated the intestinal inflammation of patients with ulcerative colitis (UC) (Miele et al. 2009).

In detail, VSL#3, a mixture comprising live bacteria of three strains of Bifidobacterium (B. longum, B. breve, and B. infantis), Streptococcus salivarius subsp. thermophilus, and four strains of Lactobacillus (L. casei, L. plantarum, L. acidophilus, and L. delbrueckii subsp. bulgaricus) effectively suppressed chronic intestinal inflammation in adult human patients of UC (Bibiloni et al. 2005). The amelioration of dextran sulfate sodium-induced murine colitis by VSL#3 supplementation is associated primarily with changes in ileac microbiota composition, particularly Enterobacteriaceae enrichment (Mar et al. 2014; Verbeke et al. 2014). Also included in VSL#3, Lactobacillus strains promote an anti-inflammatory milieu through their cell wall components (e.g., peptidoglycan [PGN]) (Sartor 2004; Macho Fernandez et al. 2011); in particular, the PGN from Lactobacillus strains reduces inflammatory responses by inducing a regulatory type of DC that produces IL-10, TGF- $\beta$ , and indole amine 2, 3-dioxygenase and by promoting the generation of Treg cells (Kwon et al. 2010). In addition, lactoceptin, a protease produced by Lactobacillus strains, degrades various chemoattractants, such as the chemokines CXCL9, CXCL10, CXCL11, and CXCL12, thus inhibiting the infiltration of pathogenic lymphocytes (e.g., CXCR3-expressing, activated, pathologic T cells) into inflammatory sites and protecting against excess inflammation (Hormannsperger et al. 2013). Furthermore, Bifidobacterium species, such as the VSL#3 component B. breve, have the potential to stimulate regulatory-type  $CD103^+$ DCs via the Toll-like receptor 2 (TLR2)–myeloid differential factor 88 (MyD88) pathway in the gut, leading to the production of IL-10 and IL-27 and subsequently generating Tr1 cells, a regulatory-type T-cell family involved in oral tolerance (Zhou et al. 2010; Jeon et al. 2012). Indeed, the oral administration of *B. breve* ameliorated colitis in murine models (Jeon et al. 2012). Another potential probiotic genus is *Clostridium* species. In patients with IBD, the amount of *Clostridium*  species (clusters XIV, XVIII, IV) capable of generating Treg is reduced (Atarashi et al. 2011). Oral administration of these *Clostridium* species early in the lives of conventionally reared mice induced the production of Treg cells and inhibited intestinal inflammation and hypersensitivity (Atarashi et al. 2011).

Fecal transfer, or fecal microbiota transplantation [FMT], has recently been gathering attention as a potential therapy for patients with IBD. In FMT, feces are extracted from a healthy donor and transferred into the intestinal tract of the patient suffering from intestinal disorders. As reviewed by Brandt LJ, this treatment is effective, inexpensive, and rapid for intestinal inflammation (Brandt 2013); for example, FMT has cured cases of Clostridium difficile infection (Cammarota et al. 2014). Furthermore, as reviewed by Brandt LJ, the commensal microbiota affects not only intestinal disorders but potentially other manifestations of systemic pathological inflammation, including arthritis. asthma. systemic lupus erythematosus, and type 2 diabetes (Wu et al. 2010; Amar et al. 2011; Van Praet et al. 2015); neuronal disorders (Ochoa-Reparaz et al. 2011); and even behaviour and emotion (Bravo et al. 2011). For example, several case reports describe various beneficial effects of FMT in multiple sclerosis and Parkinson's disease as previously listed (Xu et al. 2015). Therefore, FMT might be considered an alternative strategy in controlling both mucosal and systemic diseases, further indicating the tremendous effect of luminal bacteria on both physiological and pathological conditions. The safety, efficacy, and potential disease applications of FMT merit continued careful investigation.

In addition to luminal commensal bacteria, we have recently identified the importance of intratissue-cohabiting commensal bacteria for the creation and maintenance of intestinal homeostasis (Obata et al. 2010). For example, Alcaligenes, a genus of opportunistic gram-negative bacteria (Sonnenberg et al. 2012), resides within the gut-associated lymphoid tissues (GALT), including PPs and mesenteric lymph nodes, of healthy humans, nonhuman primates, and mice (Obata et al. 2010). Alcaligenes enters the PPs via M cells (Sato et al. 2013), and the PPs of IBD patients contain fewer Alcaligenes than do those of healthy persons (Obata et al. 2010). Dysbiosis-induced trafficking of commensal bacteria to the mesenteric lymph nodes may depend on CX<sub>3</sub>CR1<sup>high</sup> mononuclear phagocytes and induce IgA (Diehl et al. 2013). Thus, Alcaligenes-specific secretory IgA (SIgA) but not serum IgG antibodies are generally found in healthy conditions. In contrast, Alcaligenes and its IgG antibodies are found in the systemic compartments of IBD patients, perhaps as a result of bacterial dissemination to the systemic compartments from PPs (Sonnenberg et al. 2012). Furthermore, this segregation of commensal bacteria from the systemic compartment may be mediated by IL-22, which is produced mainly by the group 3 subset of ILCs and potentially important in the containment of Alcaligenes in the GALT. Deletion of IL-22-producing ILCs results in systemic inflammation (e.g., splenomegaly) due to the diffusion of Alcaligenes to the periphery (Sonnenberg et al. 2012). Therefore, the mechanisms that restrict intratissue Alcaligenes from systemic dissemination would be a novel target for preventing both systemic and mucosal inflammation.

Multidirectional communication among commensal microorganisms is an important biological component in the creation of the intestinal ecosystem. The chemical interplay between commensal bacteria known as 'quorum sensing signals', which is mediated through proteins such as autoinducer-2, influences bacterial colonisation (Thompson et al. 2015). In addition, bacteriophages and viruses have recently been discovered to be involved in commensal mutualism (Reyes et al. 2010; Dutilh et al. 2014). For instance, a common bacteriophage, crAssphage, resides in *Bacteroides fragilis* and is involved in colonic inflammation and cancer (Reyes et al. 2010; Dutilh et al. 2014). Therefore, understanding commensal mutualism, especially microbial communication between bacteria and viruses, is important for the regulation of symbiosis versus dysbiosis and intestinal physiological versus pathological inflammation (Sears et al. 2014).

#### 43.3 Epithelial Barrier

Composed of tightly adherent epithelial cells differentiated from crypt stem cells, the intestinal epithelium is a monolayer of intestinal epithelial cells (IEC), including columnar epithelial cells, goblet cells, Paneth cells, enteroendocrine cells, and M cells (Goto and Kiyono 2012; Fig. 43.2). As reviewed by Goto and Kiyono, IECs comprise the outermost layer of the intestinal compartment and are covered by a thick mucus barrier; this barrier contains complex mucin glycoproteins (GPs), antimicrobial peptides (AMPs), and SIgA and is required for both host defence and bacterial ecology (Goto and Kiyono 2012). Several loci for increased risk of IBD include genes involved in epithelial barrier and intestinal permeability (e.g., HNF4A, CDH1, and ITLN1; Barrett et al. 2008; Consortium et al. 2009; Muise et al. 2009). For example, CDH1 (E-cadherin) is a calcium-dependent, cell–cell adhesion molecule required for the maintenance of epithelial integrity (Liu et al. 2009).

The mucin layers fulfill both lubrication and physical barrier functions. In patients with ulcerative colitis, these layers are reduced in thickness due to decreases in the number of goblet cells that specifically produce mucin 2 (MUC2) (Johansson et al. 2008; Fig. 43.2). The importance of goblet cells in protecting the intestinal mucosa is underscored by the roles of two goblet-cell products, MUC2 and the small peptide trefoil factor 3 (TFF3). For example, in mice, the lack of MUC2 allows commensal bacteria to contact the intestinal epithelium directly and induce spontaneous colitis (Velcich et al. 2002; Van der Sluis et al. 2006). TFF3, whose expression is regulated by TLR2-mediated signals (Podolsky et al. 2009), enhances the protective barrier properties of the mucus layer and promotes healing of the intestinal epithelium. Notably, mice lacking TFF3 showed high susceptibility to experimental colitis (Mashimo et al. 1996). In addition to mucin GPs, SIgA transcytosed by epithelial cells accumulated in the mucus layer limits bacterial access to the epithelial surface (Fig. 43.2). These mucus components (i.e., SIgA and mucins) are induced by bacterial stimulation via TLR-dependent pathways



**Fig. 43.2** Variety and uniqueness of epithelial cells associated with mucosal inductive and effector compartments. The intestinal immune system is functionally divided into inductive (e.g., PP) and effective sites (e.g., villi). At both sites, the epithelium has a unique variety of epithelial cell populations (e.g., M, goblet, Paneth, and columnar epithelial cells). M cells exist in the FAE and function as a sampling site of bacterial translocation via FimH recognition of GP2. Transcytosed bacteria are processed by antigen-presenting cells, which induce bacteria-specific immune responses. Goblet cells produce TFF3 and mucin, which is a major component of the mucus layer and prevents commensal bacteria from accessing the epithelium. In the mucus layer, SIgA is produced through harmonised interactions between poly IgR-expressing epithelial cells and IgA plasma cells in the intestinal lamina propria; this important antigen-specific humoural immune response occurs at the mucosal frontline. Paneth cells reside beneath the intestinal stem cells in the crypt region and produce antibacterial peptides (e.g.,  $\alpha$ -defensin and RegIII $\gamma$ ) to protect against bacterial invasion and infection

(McGuckin et al. 2011). Indeed, deletion of the central adaptor molecule for nearly all TLRs, MyD88, decreases the expression of polymeric Ig receptor (poly IgR) (a major component in the formation and transportation of SIgA to the intestinal lumen) and MUC2 in the intestinal epithelium (Frantz et al. 2012).

As clearly summarised by Clevers HC et al., Paneth cells reside beneath the stem cells in the crypt in the small intestinal villi and are key effector cells in mucosal defence (Clevers and Bevins 2013; Fig. 43.2). Decreased Paneth cell functionality leads to intestinal inflammatory disorders in patients with CD, who often lack Paneth cells (Clevers and Bevins 2013). One important role of Paneth cells is the production of various AMPs, including lysozymes, secretory phospholipase  $A_2$ , and defensins, which together guard the intestinal crypt stem cells from bacterial invasion (Clevers and Bevins 2013). Defensin production is induced when bacterial

antigens, such as lipopolysaccharide (LPS) and muramyl dipeptide (MDP) stimulate TLRs and invading bacteria trigger intercellular sensors, such as NOD and NOD-like receptors (NLRs) (Vora et al. 2004; Hirota et al. 2011). Furthermore, MyD88 in Paneth cells regulates the production of the antibacterial lectin RegIII $\gamma$ (Vaishnava et al. 2008). In addition, a mutation in NOD2 reduces production of AMPs, including  $\alpha$ -defensin, in Paneth cells, and predisposes to the development of CD (Wehkamp et al. 2004).

M cells are mainly located in the follicle-associated epithelium (FAE) of PPs and make up 5% of the cells in PPs (Giannasca et al. 1999; Fig. 43.2); M cells can also reside in the villous epithelium (Jang et al. 2004). The unique morphological features of M cells include the short and irregular microvilli on their apical surfaces for readily contacting luminal antigens. In addition, a pocket structure on the basolateral side of M cells enfolds lymphocytes and antigen-presenting cells for the prompt transfer of antigens to DCs and rapid initiation of antigen-specific mucosal immunity (Nochi et al. 2007).

GP2 (the receptor for the type 1 bacterial fimbrial protein [FimH]) is specifically expressed on the surface of M cells in the intestinal compartment and is produced in the pancreas (Terahara et al. 2008; Hase et al. 2009; Fig. 43.2). Antipancreatic IgA and IgG autoantibodies are associated with the pathology of CD (Roggenbuck et al. 2013). The major target of humoural autoimmunity by autoantibodies may be the initial site of inflammation. For example, an autoantibody against GP2 is highly expressed in patients with CD and is considered a novel CD disease marker (Roggenbuck et al. 2009). This evidence suggests two theories by Roggenbuck et al., for the involvement of anti-GP2 antibodies or anti-M cell autoantibodies in the pathogenicity of IBD (Roggenbuck et al. 2013). For the first theory, anti-GP2 antibodies attack M cells, initiating the disruption of the surrounding PPs; consequently, inflammation occurs. In the second theory, because IgA is absorbed into M cells via IgA receptors expressed on their surfaces, the binding of anti-GP2 IgA antibody to live bacteria facilitates their M-cell-mediated uptake or invasion into PPs; accelerated bacterial stimulation then initiates inflammation (Roggenbuck et al. 2013). In addition, adherent-invasive *Escherichia coli* with long polar fimbriae are enriched in IBD patients, and their excess translocation across M cells via GP2 may promote the initiation of aberrant inflammation processes (Dogan et al. 2014). However, the contribution of M cells to intestinal inflammation has not been clarified and warrants further investigation.

Columnar epithelial cells help to maintain the integrity of the physical and chemical barrier of the intestinal epithelium. Tight junctions between columnar epithelial cells seal the paracellular space and prevent passive movement of hydrophilic solutes and bacteria into the lamina proprial region as reviewed by Fries et al. (2013). As reported extensively elsewhere, tight junctions are controlled by various signalling molecules (e.g., myosin light chain kinase, protein kinase C, mitogen-activated protein kinases), and their integrity is regulated by various dietary components (e.g., vitamin D) and commensal bacterial stimulation (Du et al. 2015). In this regard, the lack of a bacterial sensor (e.g., MyD88) increases the severity of inflammatory symptoms, with weakened tight junctions

and reduced epithelial regeneration, in murine colitis models (Rakoff-Nahoum et al. 2004; Cario et al. 2007). Epithelial–cell-specific deletion of one of the regulatory subunits of the IkB kinase complex (i.e., NEMO) spontaneously induced intestinal inflammation in mice (Nenci et al. 2007). NEMO deficiency may induce cell death and weaken epithelial integrity, consequently permitting commensal bacteria to penetrate into intestinal tissues (Nenci et al. 2007).

The glycosylation-mediating enzyme FUT2 is responsible for synthesis of the H antigen on the intestinal columnar epithelial cells (Rausch et al. 2011; Goto et al. 2014). Fut2 adds terminal  $\alpha$ 1, 2-fucose residues onto carbohydrate chains expressed on IECs (Fig. 43.2). Intestinal fucosylation is required for symbiosis between the host and commensal bacteria, such as *Bactereoides fragilis* and *B. thetaiotaomicron*, because they use epithelial fucose for their energy source (Bry et al. 1996; Coyne et al. 2005). Thus, dysbiosis caused by defects in epithelial fucosylation may create an inflammation-prone gut microbiota. A nonsense polymorphism of FUT2, or nonsecretors, which do not express active FUT2, is associated with CD. Recent genome-wide association studies have linked the FUT2 W143X mutation with the pathogenesis of CD, as well as vitamin B12 deficiency; a significant proportion of patients with CD suffer from vitamin B12 deficiency (Battat et al. 2014). In addition, mice lacking FUT2 are susceptible to inflammation induced by *Citrobacter rodentium* and *Salmonella typhimurium* (Goto et al. 2014).

The regulation of epithelial FUT2 expression requires ILC3s (Goto et al. 2014). Commensal and pathogenic bacteria stimulate ILC3s to produce IL-22 and induce the expression of FUT2 to IEC-expressing IL-22 receptors (Goto et al. 2014; Pham et al. 2014; Pickard et al. 2014). Furthermore, ILC3 can induce epithelial cell FUT2 expression by producing the inflammatory cytokine lymphotoxin (Goto et al. 2014). These data indicate the importance of epithelial fucosylation, which is induced and regulated by inflammatory signals mediated by innate immune cells, at the mucosal frontline of the intestinal homeostatic ecosystem.

In summary, despite being composed of only a single layer of cells, the epithelial barrier system – by fulfilling its roles of mucus secretion, the production of bactericidal molecules, and glycosylation – is crucial for maintaining intestinal homeostasis and preventing deleterious inflammation.

# 43.4 Extracellular ATP Purinergic Signalling for Host– Commensal Mutualism and Inflammation in the Gut

ATP is an essential energy source (the so-called 'energy currency') of most multicellular animals. In the 1980s, the involvement of ATP in neuronal transmission was recognised for its participation via its receptors (Ralevic and Burnstock 1998). Afterwards, the roles of extracellular ATP in various extracellular events, including cell activation, proliferation, migration, cell swelling, and apoptosis have been recognised as previously reviewed (Idzko et al. 2014; Kurashima et al. 2015).

Furthermore, extracellular ATP is a danger-/damage-associated molecular pattern molecule, as are high-mobility group box 1 (HMGB1) and uric acid crystals; DAMPs activate inflammasomes and lead to the production of IL-1 $\beta$  as reviewed by Di Virgilio F (Di Virgilio 2007). Upon stress and damage, including chemical, physical, and inflammatory signals, tissues release ATP; this extracellular ATP binds to various ATP receptors, namely members of the P2X ion-channel family (P2X1-7) and P2Y G protein-coupled receptor family (P2Y1,2,4,6,11,12,13; Abbracchio and Burnstock 1994). In addition to the damage-dependent extracellular release of ATP, activated immune cells (e.g., T cells, mast cells, and macrophages) can be sources of ATP (Idzko et al. 2014). In these activated immune cells, a haemichannel protein, pannexin-1, transports ATP from the intracellular to extracellular compartment (Iglesias et al. 2008; Chekeni et al. 2010). In the case of activated neutrophils, the gap junction molecule connexin 43 is required for the extracellular release of ATP (Eltzschig et al. 2006). In addition, the P2X receptor P2X7 reportedly has the potential to act as an ATP transporter (Idzko et al. 2014).

Several ATP-secreting bacteria (e.g., *Enterococcus gallinarum, E. mundtii*, and *E. faecalis*) have recently been identified in the gut lumen. Adding extracellular ATP to a bacterial culture of *Enterococcus* enhances cell survival via an unknown mechanism (Iwase et al. 2010; Hironaka et al. 2013). Bacterial ATP secretion requires glucose but not amino acids or vitamins; thus, glycolysis is an essential pathway (Hironaka et al. 2013). In the gut, bacterial ATP is important for the generation and regulation of various T-cell subpopulations. For instance, small intestinal Th17 cells are diminished in mice receiving an oral treatment of apyrase, which degrades extracellular ATP to adenosine diphosphate (ADP) and adenosine monophosphate (AMP) (Atarashi et al. 2008; Fig. 43.3). ATP from commensal bacteria and damaged intestinal epithelial cells stimulates DCs; promotes the production of IL-6, IL-12, IL-23, and TGF- $\beta$ ; and polarises inflammatory Th1 and Th17 cells (Yao et al. 2012; Fig. 43.3). Indeed, the rates of apoptosis are higher in the epithelium and lamina propria of CD patients than of healthy controls (Neves et al. 2014).

In addition, follicular helper T (Tfh) cells, a critical T-cell subset for IgA B-cell development in PPs, express high levels of the P2X7 receptor (Proietti et al. 2014). Tfh cells expressing C-X-C chemokine receptor type 5 and programmed cell death 1 help B cells to generate high-affinity Ig-producing plasma cells via IL-21 production (Proietti et al. 2014; Fig. 43.3). Commensal-derived ATP stimulates P2X7 and induces the cell death of Tfh cells and reduces their number; therefore, mice lacking P2X7 have increased numbers of Tfh cells in the PPs, thus upregulating the production of high-affinity IgA against luminal commensal bacteria (Proietti et al. 2014; Fig. 43.3). Consequently, P2X7-deficient mice have increased neutralising IgA-coated commensal bacteria, such as segmented filamentous bacteria; thus, their commensal bacterial load is decreased (Proietti et al. 2014). Due to the clearance mechanism of IgA-coated bacteria as part of mucosal immunity, the numbers of bacteria at luminal sites are significantly diminished in P2X7-deficient mice (Proietti et al. 2014; Fig. 43.3).



**Fig. 43.3** Critical role of ATP–P2X7 cascade for physiological inflammation and homeostatic commensal mutualism. Extracellular ATP is released from commensal bacteria (e.g., *Enterococcus gallinarum, E. mundtii, E. faecalis*), damaged host cells, and activated immune cells. The ATP–P2X7 pathway induces the homeostatic cell death of P2X7-high–expressing follicular helper T cells (Tfh). Tfh cells induce high-affinity IgA-producing cells via IL-21 production. High-affinity IgA against commensal bacteria reduces the bacterial load by neutralising binding to epithelium. However, when those cascades are disrupted, Tfh cells can escape homeostatic cell death. Increased numbers of Tfh cells promote enhanced secretion of high-affinity IgA and the reduction or alteration of commensal bacteria, leading to dysbiosis

Components of the extracellular ATP–purinergic receptor pathway, especially P2X7, are novel and potential therapeutic targets for IBD (Fig. 43.4). Inhibition of P2X7 by A740003, brilliant blue G, or KN62 ameliorates experimental colitis by decreasing the recruitment of neutrophils, T cells, and macrophages and by reducing fibrosis (Marques et al. 2014; Hofman et al. 2015). Indeed, P2X7-deficient mice develop less severe experimental colitis than do their P2X7-bearing counterparts (Hofman et al. 2015). In patients with CD, P2X7 expression is upregulated during the active phase of inflammation, and AZD9056 (a selective, orally active inhibitor of P2X7) decreases the clinical symptoms of patients with moderate-to-severe CD (Neves et al. 2014; Eser et al. 2015).

Various types of immune cells accumulate at the site of inflammation in IBD. Histology of patients with CD reveals mast cells (Dvorak et al. 1978). Mast cells orchestrate immune responses against antigens, thus they are considered to be an



**Fig. 43.4** Critical role of ATP–P2X7 cascade for pathological inflammation. The increase in extracellular ATP during inflammation induces neuronal cell death and causes abnormal intestinal motility via P2X7. In addition, the stimulation of mast cells or myeloid cells via P2X7 or P2Y2 receptors promotes inflammatory responses (e.g., release of inflammatory mediators, Th17 induction, and neutrophil accumulation). In contrast, extracellular ATP is degraded into adenosine by ectoenzymes (e.g., CD39, CD73) expressed by Treg. Adenosine suppresses excess intestinal inflammation and resolves inflammation

effective adjuvant target for vaccinations; however, at the site of inflammation, mast cells are major contributors to an inflammatory milieu in the gut as previously reviewed (Kurashima and Kiyono 2014). Indeed, degranulated mast cells have been identified at the site of intestinal inflammation (Kurashima et al. 2012). Degranulation occurs due to calcium influx into the cytoplasmic compartment in response to various signals, such as IgE-high-affinity IgE receptor pathways that depend on Th2 and B cells as precisely reviewed by Rivera et al. (2008). However, colitogenic activation of mast cells is independent of T and B cells, because the number of degranulated mast cells in the intestinal compartment is comparable between lymphocyte-deficient mice with colitis and wild-type mice. Furthermore, the treatment of mice with P2X7 inhibitory agents or an inhibitory antibody suppresses inflammatory symptoms in the gut and reduces the number of degranulated-activated mast cells (Kurashima et al. 2012); therefore, an extracellular ATP-P2X7 pathway is indispensable to mast cell activation (Kurashima et al. 2012). ATP-P2X7 pathways induce degranulation and promote the production of inflammatory cytokines (e.g., IL-1 $\beta$ , IL-6, and TNF $\alpha$ ), chemokines (e.g., CCL1, 2, 4, 7, and

CXCL1, 2), and leukotrienes (LTB4 and LTC4; Fig. 43.4). These mediators accelerate inflammatory responses through the recruitment of inflammatory cells (e.g., neutrophils and macrophages).

Mast cell proteases, such as chymase and tryptase, also play important roles in the onset of IBD (Hamilton et al. 2011). For example, tryptase-deficient mice have reduced inflammation, and tryptase may promote capillary permeability via the degradation of cell–cell junctions in blood vessels and may enhance the accumulation of inflammatory cells at these sites. The concentrations of tryptase are increased in samples of peripheral blood and colonic tissue from CD patients (Hamilton et al. 2011). An inhibitory receptor on colonic mast cells, CD300f, is involved in the suppression of degranulation, likely by binding to ceramide (Matsukawa et al. 2015). Ceramide–CD300f pathways inhibit ATP-dependent mast cell activation; thus, CD300f-defcient mice exhibit aggravation of intestinal inflammation. The administration of a ceramide-containing liposome for stimulating CD300f-dependent suppression of mast cell activation reduces inflammatory reactions (Matsukawa et al. 2015).

In addition to mast cell activation, the ATP-P2X7 cascade is involved in the motility of the intestine via the regulation of enteric neurons. In patients with CD, physical movement of the intestine is limited due to a decreased number of enteric neurons as a result of extracellular ATP-mediated cell death (Gulbransen et al. 2012). The ATP-P2X7 cascade might also be involved in irritable bowel syndrome (IBS) (Ochoa-Cortes et al. 2014), a common gastrointestinal disorder characterised by discomfort, chronic abdominal pain, and altered bowel habits. Infectious stimulation in the intestine triggers the onset of so-called 'post-infectious IBS' (PI-IBS) (Keating et al. 2011). Indeed, after gastrointestinal infection, the risk of developing IBS increases sixfold (Thabane et al. 2007). Furthermore, P2X7 inhibition reduces IBS symptoms (e.g., visceral hypersensitivity) (Keating et al. 2011). In mice, transient intestinal infection with Trichinella spiralis leads to postinflammatory visceral hypersensitivity, which is associated with elevated IL-16 production via extracellular ATP-P2X7-IL-16 pathways (Keating et al. 2011). Mast cells are likely a central trigger for the onset of IBS. Indeed, numerous tryptase-positive mast cells are often present in the intestinal mucosa of patients with IBS. Thus, the extracellular ATP-P2X7 pathway in mast cells may be involved in IBD and IBS (Ohman and Simren 2010). Consequently, the extracellular ATP-P2X7 purinergic receptor-mediated pathways are attractive targets for the discovery of new drugs and innovative treatments of intestinal inflammation and its complications, including skin inflammation, abdominal pain, and arthritis (Brumfield et al. 2011).

Once extracellular ATP is released, ATP is hydrolysed to AMP and adenosine by ectonucleotidases, such as CD39 (nucleoside triphosphate diphosphohydrolase-1), CD73 (5'-nucleotidase), and CD203 (ectonucleotide pyrophosphatase phosphodiesterase). Adenosine is derived by dephosphorylation of extracellular ATP or directly diffuses from intracellular compartments via equilibrative nucleoside transporters as reviewed by Ye and Rajendran (2009). Extracellular adenosine has important roles in immunomodulation. Adenosine derived due to the metabolism of ATP via CD39 and CD73 binds to adenosine receptors, such as A2A and A3 receptors that are expressed on T cells and myeloid cells (Fig. 43.4). This signalling cascade inhibits intestinal inflammation (Ye and Rajendran 2009; Kurtz et al. 2014). Indeed, adenosine receptor agonists, such as those for the A2A and A3 adenosine receptors (ATP-146e and IB-MECA, respectively), are potent antiinflammatory agents devoid of systemic side effects and effective against inflammatory diseases (Ye and Rajendran 2009; Kurtz et al. 2014). The suppressive effects of adenosine receptors on the recruitment of inflammatory cells and production of inflammatory cytokines subsequently ameliorate colitis (Odashima et al. 2005; Kurtz et al. 2014). Indeed, in a model of endothelial or epithelial– cell-specific, A2B receptor-deficient mice, A2B adenosine receptors are indispensable for epithelial barrier integrity in intestinal inflammation (Aherne et al. 2015).

Single-nucleotide polymorphisms that tag low levels of CD39 expression are associated with increased susceptibility to CD (Friedman et al. 2009). In contrast, IL-27 induces CD39 expression on DCs, limits the induction of Th1 and Th17 by downmodulating the activation of immune responses the NLRP3 inflammasome, and thus terminates inflammatory reactions (Mascanfroni et al. 2013). In addition, some therapeutic agents for IBD, such as cyclosporine, methotrexate, and sulfasalazine, reduce the concentrations of extracellular ATP and increase adenosine levels partly via the stimulation of CD73-dependent adenosine production. In mice, CD73 deficiency or the administration of a selective CD73 inhibitor (i.e.,  $\alpha$ ,  $\beta$ -methylene ADP) increases susceptibility to colitis (Louis et al. 2008). These CD73-deficient mice exhibit unresolved inflammatory symptoms, with slow body-weight recovery and increased intestinal permeability owing to reduced expression of tight junction-related proteins (e.g., JAM-A, α-catenin, and claudin 2). Therefore, CD73–adenosine–IFN- $\alpha$ A pathways are important for the suppression of intestinal inflammation (Louis et al. 2008). In addition, CD203c (E-NPP3), which is specifically expressed on basophils (and increased on activated ones) and mast cells, is involved in the clearance of extracellular ATP (Odashima et al. 2005). A deficiency of CD203c increases extracellular ATP, leading to excess activation of mast cells (Tsai et al. 2015).

Elucidating the complexity underlying how extracellular ATP appropriately orchestrates inflammatory responses likely will facilitate our understanding and control of both acute and chronic inflammation.

#### **43.5** Conclusion and Future Directions

Accumulating evidence has revealed the importance of crosstalk among the commensal bacterial community, epithelial barrier, and immune cell network in the development of pathological conditions and the maintenance of physiological homeostasis in mucosal compartments. Despite their complexity, understanding these tri-interactive mechanisms is crucial and necessary to establish new and efficient strategies to cure or control intestinal inflammation. Together with achieving immunological remission and developing new anti-inflammatory agents, targeting wound or mucosal healing has gained attention as a novel central approach for the treatment of IBD (Papi et al. 2013; Florholmen 2015). Several studies have demonstrated the requirement of ILC3 for the maintenance of epithelial integrity and intestinal stem cells, regulation of commensal-bacteria–specific T cells, and induction of mucosal healing (Longman et al. 2014; Aparicio-Domingo et al. 2015; Hepworth et al. 2015). In this context, the reduction of colonic ILC3s has led to intestinal inflammation in pediatric IBD patients (Hepworth et al. 2015). Therefore, strategies for activating and expanding ILC3s may be novel mechanisms for inducing mucosal healing.

In contrast, as clearly reviewed by Rieder and Fiocchi and Mifflin et al., inappropriate mucosal or wound healing leads to chronic inflammation with fibrosis (Rieder and Fiocchi 2009), which is mediated by the activation and proliferation of fibroblasts, myofibloblasts, and fibrocytes (a migratory type of collagen-expressing haematopoietic cell) (Mifflin et al. 2011). In addition, epithelial-to-mesenchymal transition, endothelial-to-mesenchymal transition, and pericyte differentiation are alternative sources of excessive collagen deposition (Rieder and Fiocchi 2009). ATP–P2X7 receptor pathways are considered to be involved in fibrosis in light of the expression of these signalling molecules in the fibroblasts of liver, kidney, and lung; however, the involvement of ATP–P2X7 signalling in colonic fibrosis has not been elucidated (Gentile et al. 2015). To devise a novel therapeutic approach against chronic intestinal inflammation, the appropriate targets among fibroblasts, myofibroblasts, fibrocytes, and pericytes at different stages of inflammation (e.g., acute and chronic) must be identified.

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#### **Conflicts of Interest**

The authors have no competing interests to report.

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# Chapter 44 Control of Intestinal Regulatory T Cells by Human Commensal Bacteria

#### Koji Atarashi

**Abstract** The human gut harbours diverse bacteria that play a fundamental role in our health and well-being. Recent studies have shown that the gut microbiota plays an important role in the development of the intestinal immune system, and that an altered microbiota composition is associated to some inflammatory diseases. Therefore, the microbiota is increasingly being recognised as a potential therapeutic target in several inflammatory disorders. Here, recent findings are presented on human microbiota-mediated colonic regulatory T (Treg) cell induction. We identified CD4<sup>+</sup> FoxP3<sup>+</sup> Treg cell-inducing bacteria derived from a healthy human fecal sample. These bacteria included 17 strains belonging to *Clostridium* cluster IV, XIVa, and XVIII. The 17 strains were found to increase markedly the number of colonic Treg cells and enhance their immunosuppressive capacity. Oral administration of the 17 strains to specific-pathogen–free mice resulted in significant attenuation of intestinal inflammation and allergic diarrhoea, suggesting that the isolated 17 strains may have therapeutic potential in the treatment of immune disorders.

Keywords Microbiota • Dysbiosis • Treg • IBD • Clostridium • SCFAs

# 44.1 Introduction

The human gastrointestinal tract harbours a diverse and complex microbial community that comprises thousands of different species of bacteria and 100 trillion bacterial cells. Humans have coevolved with their microbes and coexist as 'superorganisms', in which the gut microbiota performs essential physiological functions that help maintain human health. For instance, human enzymes cannot degrade most complex carbohydrates and polysaccharides, such as cellulose, xylans, starch, and inulin. However, commensal bacteria can metabolise indigestible

K. Atarashi (🖂)

Department of Microbiology and Immunology, Keio University School of Medicine, 35 Shinanomachi, Shinjuku-ku, Tokyo 160-8582, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: kojiatarashi@keio.jp

polysaccharides to readily absorbable monosaccharides and short-chain fatty acids (SCFAs), mainly acetate, butyrate, and propionate (Macfarlane and Macfarlane 2003). The microbiota is also capable of metabolising bile acids and sterols, and synthesising many essential vitamins and amino acids. Many of these metabolites derived from microbiota have beneficial effects on host health. In addition, gut microbiota can provide increased resistance to pathogens by occupying their required niche, producing antagonistic compounds, and activating the immune system (Guarner and Malagelada 2003).

The intestinal immune system is composed of unique lymphoid tissues and many cell types. Studies using germ-free (GF) animals raised in the absence of all microorganisms, established that gut microbiota is essential for the development and functions of the intestinal immune system. The development of gut-associated lymphoid tissues (GALT), including Peyer's patches, isolated lymphoid follicles, and mesenteric lymph nodes (MLN), are defective in GF mice. GF mice have fewer and smaller Peyer's patches and isolated lymphoid follicles, and smaller MLN with reduced cellularity compared to those in mice housed under specific-pathogen-free (SPF) conditions (Macpherson and Harris 2004). In relation to defective lymphoid development, GF mice show decreased immunoglobulin A (IgA)-producing plasma cells in the lamina propria as well as decreased luminal IgA levels. The induction and activation of T helper (Th) 17 cells, interleukin-17 (IL-17) producing CD4<sup>+</sup> T cells, and regulatory T (Treg) cells in lamina propria also depend on the presence of the microbiota (Ivanov et al. 2009; Atarashi et al. 2011). In addition to adaptive immune cells, the microbiota influences the development and function of innate immune cells such as innate lymphoid cells (ILCs), natural killer (NK) cells, and monocytes. Consequently, GF animals are more susceptible to oral infection with various pathogens and are defective in oral tolerance. Taken together, it is clear that the microbiota is essential for the development, maturation, and maintenance of the intestinal immune system.

#### 44.2 Dysbiosis in Disease

The gut microbiota is composed of thousands of bacterial species that include symbionts (beneficial), commensals (neither pathogenic nor beneficial), and pathobionts (potentially pathogenic). Firmicutes, Bacteroidetes, Actinobacteria, and Proteobacteria are the predominant phyla in human gut. However, there is no apparent consensus on the composition of a healthy microbiota, aside from the fact that a more complex and diverse community is better. Two decades ago, culture-based studies were performed in an attempt to assess intestinal microbial diversity. These were insufficient in identifying the composition of the microbiota, because a large fraction of the intestinal bacteria is difficult to cultivate and only a small number of samples can be tested. To address this limitation, culture-independent methods for analysing microbiota composition have been developed in the past few decades. For instance, bacterial 16S ribosomal RNA (rRNA) gene sequences can be profiled by temperature or denaturing gradient gel electrophoresis (TGGE or

DGGE) and terminal-restriction fragment length polymorphism (T-RFLP). Although these techniques provided a more comprehensive analysis of the microbiota composition, they also possess a resolution limit with respect to detecting minor species. In recent years, technological advances in next-generation sequencing (NGS) have made it possible to identify the entire microbial community at the species level even for scarce members. Furthermore, metagenomic analysis provides access to the functional gene composition of microbial communities.

Using NGS-based 16S rRNA gene and metagenomic sequence analyses, a number of studies have shown the link between gut microbiota and human health. The composition of the microbiota in patients with inflammatory bowel diseases (IBDs), cancers, obesity, diabetes, asthma, allergies, and even autism differs significantly from that of healthy subjects (Petersen and Round 2014). It is widely accepted that an altered microbial composition, termed dysbiosis, is one of the important factors contributing to the initiation, chronicity, and persistence of many of these diseases. Dysbiosis is commonly characterised by decreased diversity, a significant reduction in some beneficial bacteria, and an increase in potentially pathogenic bacteria. The main factors causing dysbiosis are the use of antibiotics, poor diet, infection, and inflammation.

IBDs, such as Crohn's disease (CD) and ulcerative colitis (UC), are chronic relapsing inflammatory disorders of the gastrointestinal tract, resulting from altered interactions between the gut microbiota and the intestinal immune system. Many studies have observed dysbiosis in IBD patients, and suggested that dysbiosis leads to dysregulation of immune homeostasis and immune tolerance to gut microbiota. The consistent features of dysbiosis in IBD patients are low bacterial diversity, a decrease in the proportions of the phyla Firmicutes and Bacteroidetes, and an increase in the proportions of Proteobacteria and Fusobacteria (Frank et al. 2007). Among Firmicutes, *Clostridium* cluster XIVa and IV (e.g., *Faecalibacterium prausnitzii*) are especially decreased in IBD patients (Sokol et al. 2009). However, the mechanisms by which dysbiosis contributes to intestinal inflammation are poorly understood because the specific effects of individual bacterial species will be very important for the development of microbe-based therapeutic approaches for patients with dysbiosis-associated diseases.

#### 44.3 Intestinal Treg cells

Intestinal surfaces are continuously exposed to a large number of bacterial antigens. Reduced immune tolerance and an overactivation of immune responses to intestinal bacteria are known to lead to the development of intestinal inflammation, as evidenced by the attenuation of the spontaneous development of colitis in many mouse models housed under GF conditions. Treg cells play an important role in maintaining immune tolerance and in suppressing excessive immune responses in
the intestine as well as the whole body. Indeed, Treg cells are particularly abundant in the intestine, where they prevent aberrant inflammatory reactions to the microbiota. The transcription factor forkhead box P3 (FoxP3) is essential for the development and suppressive function of Treg cells (Sakaguchi et al. 2008). Treg cells can suppress the activation of various immune cells including effector CD4<sup>+</sup> T (Th1, Th2, and Th17) cells,  $CD8^+$  T cells,  $\gamma\delta$ T cells, B cells, NK cells, NKT cells, monocytes, and dendritic cells (DCs). The mechanisms behind Treg-mediated suppression of effector T cells are mainly considered cell contact-dependent based on in vitro suppression assays. Contact-dependent suppression is mediated by cell-surface inhibitory molecules such as cytotoxic T-lymphocyte-associated protein 4 (CTLA-4), lymphocyte activation gene-3 (LAG-3), and perforin/ granzyme B. CTLA-4 binds to CD80/CD86 costimulatory molecules with high avidity and causes downregulation of surface CD80/CD86 on antigen-presenting cells (APCs). LAG-3 binds to MHC class II on APCs and inhibits DC activation. Although CTLA-4 and LAG-3 indirectly suppress effector T cells, Treg cells directly induce apoptosis of T cells via perforin/granzyme B. Another mechanism for Treg-mediated suppression of effector T cells is the consumption of IL-2, which is essential for their proliferation and survival. Treg cells express constitutively high levels of IL-2 receptor  $\alpha$  subunit (CD25) that sequesters IL-2 and causes effector T-cell apoptosis. Treg cells also secrete immunosuppressive cytokines such as transforming growth factor- $\beta$  (TGF- $\beta$ ), IL-10, and IL-35. IL-10 is well known as an important mediator in preventing intestinal inflammation (Rubtsov et al. 2008).

Treg cells are classified into two main populations: thymus-derived Treg (tTreg) or peripherally induced Treg (pTreg) cells (Shevach and Thornton 2014). tTreg cells develop in the thymus through high-affinity T-cell receptor (TCR) interactions with self-peptides in the presence of TGF- $\beta$  and IL-2. In the periphery, TGF- $\beta$  is also essential for the induction of FoxP3 expression in naïve CD4<sup>+</sup> FoxP3<sup>-</sup> T cells, and IL-2 is required for expansion, activity, and survival of pTreg cells. It has been difficult to distinguish between tTreg and pTreg cells because they share Tregspecific signatures, such as high expression of FoxP3, CD25, CTLA-4, and GITR (glucocorticoid-induced tumour necrosis factor family receptor). Recently, several studies have suggested phenotypic differences between tTreg and pTreg cells. Helios (Ikzf2), a member of the Ikaros transcription factor family, and neuropilin-1 (Nrp-1), a receptor for semaphorins and VEGF family members, are solely expressed by tTreg cells and thus, could be used as a marker for distinguishing tTreg cells from pTreg cells (Thornton et al. 2010; Weiss et al. 2012; Yadav et al. 2012). Epigenetic differences have also been observed between tTreg and pTreg cells. The CpG-rich Treg-specific demethylated region (TSDR) of the FoxP3 locus, also known as conserved noncoding sequence 2 (CNS2), is completely demethylated in tTreg cells but partially methylated in pTreg cells (Floess et al. 2007). CNS2 demethylation is critical for stable FoxP3 expression. Although functional differences between tTreg and pTreg subsets are not fully understood, these differences in Helios and Nrp-1 expression and epigenetic modifications may contribute to their different functionality and stability.

### 44.4 Isolation of Treg-Inducing Human Microbiota

Recently, several studies have shown that the number and function of colonic Treg cells are influenced by gut microbiota. For instance, *Bacteroides fragilis* is a human commensal bacterium with several immunoregulatory functions. Polysaccharide A (PSA) of *B. fragilis* directly acts on Treg cells through their TLR2 to induce IL-10 expression (Round and Mazmanian 2010). Similarly, the altered Schaedler flora (ASF), a cocktail of 8 defined bacterial strains, predominantly induces Treg cells in the colon via a TLR-dependent mechanism (Geuking et al. 2011). Additional evidence for the link between microbiota and Treg cells stems from previous work indicating that Treg cells are markedly decreased in the colon of GF mice and that 46 strains of Clostridia indigenous to mice strongly induce the accumulation and differentiation of colonic Treg cells (Atarashi et al. 2011). Furthermore, our recent studies revealed that 17 strains of Clostridia from human gut microbiota are potent Treg-inducing bacteria (Atarashi et al. 2013). Our recent results are briefly introduced below.

First, to determine whether Treg-inducing bacteria were present in the human intestine, we orally administered GF mice with human feces from a healthy adult. Treg cells were significantly increased in the colon of the mice colonised with human microbiota compared with that observed in the colon of GF mice. Moreover, human feces treated with chloroform, which is generally used to select for spore-forming bacteria including *Clostridium* and *Bacillus* species, significantly increased the number of Treg cells as compared to that observed in GF mice inoculated with untreated human feces. Interestingly, compared with untreated human feces, chloroform-treated human feces poorly induced Th17 differentiation, indicating that spore-forming bacteria in the human intestine are potent Treg-inducers, and weak Th17-inducers.

Next, we serially diluted the cecal contents of colonised mice and inoculated GF mice to reduce the bacterial composition and isolate Treg-inducing bacteria. After two repetitions, we cultured the cecal contents of these mice, collected 31 bacterial strains, and selected 23 strains based on less than 99% 16S rRNA gene sequence similarity. Colonic Treg cells were fully induced when GF mice were inoculated with the 23 strains isolated. Meta-analysis of the 16S rRNA gene sequences from the gut microbiota of the mice inoculated with the 23 strains revealed that 17 out of 23 strains could colonise in the intestine. Full genome analysis of these 17 strains revealed that two strains belonged to the *Clostridium* cluster IV, 12 strains to cluster XIVa, and 3 strains to cluster XVIII (Fig. 44.1). Furthermore, colonisation of these 17 strains could induce Treg cells, particularly Helios-negative pTreg cells, in mice with various genetic backgrounds (IQI, C57BL/6, and BALB/c), as well as in F344 rats, suggesting common mechanisms for Treg induction by these 17 strains.

Strain No.	Closest relatives	Database	Similarity (%)	<i>Clostridium</i> cluster
Strain 1	Clostridium saccharogumia (T) SDG-Mt85-3Db Clostridium spiroforme DSM 1552	RDP GenomeDB	99.46 95.89	cluster XVIII
Strain 3	<i>Lachnospiraceae</i> bacterium 7 1 58FAA <i>Flavonifractor plautii</i> ATCC 29863	RDP GenomeDB	100 99.84	cluster IV
Strain 4	Clostridium hathewayi Clostridium hathewayi DSM 13479	RDP GenomeDB	99.06 98.11	cluster XIVa
Strain 6	Blautia producta JCM 1471RDLachnospiraceae bacterium 6_1_63FAAGenome		99.79 96.52	cluster XIVa
Strain 7	Clostridium bolteae (T) type strain 16351 Clostridium bolteae ATCC BAA 613	RDP GenomeDB	99.53 99.53	cluster XIVa
Strain 8	<i>Clostridiaceae</i> bacterium JC13 <i>Erysipelotrichaceae</i> bacterium 2 2 44A	RDP GenomeDB	99.16 92.92	cluster XVIII
Strain 9	Clostridium indolis CM971 Anaerostipes caccae DSM 14662	RDP GenomeDB	99.26 97.67	cluster XIVa
Strain 13	Anaerotruncus colihominis HKU19 Anaerotruncus colihominis DSM 17241	RDP GenomeDB	100 100	cluster IV
Strain 14	Ruminococcus sp. ID8 Coprococcus comes ATCC 27758	RDP GenomeDB	98.59 93.23	cluster XIVa
Strain 15	Clostridium lavalense CCRI-9929 Clostridium asparagiforme DSM 15981	RDP GenomeDB	99.52 99.73	cluster XIVa
Strain 16	Clostridium sp. 7 3 54FAA Clostridium symbiosum WAL 14673	GenomeDB GenomeDB	100 99.87	cluster XIVa
Strain 18	Clostridium ramosum JCM 5234 Clostridium ramosum DSM 1402	RDP GenomeDB	100 100	cluster XVIII
Strain 21	Eubacterium contortum type strain DSM 3982 Eubacterium fissicatena	RDP GenomeDB	99.58 100	cluster XIVa
Strain 26	Clostridium scindens VPI 12708 Clostridium scindens ATCC 35704	RDP GenomeDB	99.72 99.58	cluster XIVa
Strain 27	Lachnospiraceae bacterium 3 1 57FAA CT1	RDP	97.54	cluster XIVa
Strain 28	Clostridium aldenense (T) RMA 9741 Clostridiales bacterium 1_7_47FAA	RDP GenomeDB	98.41 99.73	cluster XIVa
Strain 29	Lachnospiraceae bacterium 3 1 57FAA CT1	GenomeDB	99.6	cluster XIVa

**Fig. 44.1** 16S rRNA gene analysis for each of the isolated 17 strains (adapted from Atarashi et al. 2013). The full-length or part of the 16S rRNA gene was amplified by PCR using 16S rRNA gene-specific primer pairs. Each amplified 16S rRNA gene was sequenced and compared to the Ribosomal Database Project (RDP) database and genome database (GenomeDB) constructed from

### 44.5 Bacterial Treg Induction Mechanism

We hypothesised that the 17 strains identified previously acted on intestinal epithe lial cells to produce TGF- $\beta$ , because TGF- $\beta$  is critical for the development and differentiation of Treg cells. Indeed, cecal contents from the mice colonised with the 17 strains stimulate epithelial cells to reduce TGF- $\beta$  production; however, it is still unknown if this is the mechanism underlying colonic Treg cell induction. An important mediator of TGF- $\beta$  production and Treg cell induction, which has been proposed previously, may involve SCFAs. These organic fatty acids are produced by bacterial fermentation of carbohydrates, and are abundantly present in the cecal content of mice colonised with the 17 strains identified. Moreover, this idea is supported by the fact that the increase in TGF- $\beta$  levels in the colon of inoculated mice was not inhibited by pretreatment of the cecal contents with a protease, nuclease, or heat. Interestingly, epithelial cells actually secrete high amounts of active TGF- $\beta$  following the addition of SCFAs to the culture media. In addition, SCFAs, particularly butyrate, have been shown to act on DCs through GPR109a to induce anti-inflammatory genes and promote Treg differentiation (Arpaia et al. 2013; Singh et al. 2014). SCFAs, butyrate, and propionate can also directly induce Treg cell differentiation, and Treg cell proliferation, and IL-10 production via GPR43 by inhibition of histone deacetylase (Furusawa et al. 2013; Smith et al. 2013).

In vitro production of SCFAs by each of the 17 strains was analysed using liquid chromatography–electrospray ionisation–tandem mass spectrometry (LC-ESI-MS/ MS). Most of the 17 strains, mainly belonging to cluster XIVa, produced acetate and/or butyrate at high concentrations. Among these bacteria, strains 9, 16, 27, and 29 showed very high butyrate production. Comparative genome analysis revealed that most of the 17 strains contain abundant genes involved in acetate and butyrate-synthesis pathways such as butyryl CoA:acetate CoA-transferase genes. Importantly, when GF mice were monocolonised with one of each of the 17 strains, the percentage and the absolute number of Treg cells were significantly lower in the colon than in the mice colonised with the mixture of the 17 strains. These data suggest that synergistic or mutualistic interactions among the 17 strains identified contribute to effective Treg induction (Fig. 44.2).

Fig. 44.1 (continued) publicly available genome sequences in NCBI and HMP databases. Close relative species/strains, % similarity, and *Clostridium* cluster are shown



**Fig. 44.2** Treg induction by 17 strains of Clostridia from the human microbiota Commensal microbial metabolism controls regulatory T-cell (Treg) homeostasis in the colon. Colonisation of GF mice with 17 strains of Clostridia isolated from the human microbiota induces the accumulation of Treg cells in the colon. Short-chain fatty acids (SCFAs), especially butyrate and propionate, promote Treg differentiation and proliferation directly or through activation of epithelial dendritic cell (DC)

### 44.6 Suppression of Intestinal Inflammation

Colonic Treg cells from GF mice show immature phenotypes as well as decreased cell number. Although Treg cells produce large amounts of IL-10, and express high levels of CTLA-4 and inducible T cell costimulator (ICOS) in the colon of SPF mice, all of these suppressive effector molecules are decreased in the GF condition. Colonisation of GF mice with the Treg-inducing 17 strains led to increased expression of IL-10, CTLA-4, and ICOS in colonic Treg cells equivalent to that observed in SPF mice. Thus, the 17 strains promote both the accumulation and functional maturation of Treg cells in the colonic lamina propria. It is also known that Tregmediated suppression depends on the strength of the antigen stimulus. To address whether the 17 strains induced Ag-specific Treg cells, we have analysed the suppressive activity of Treg cells from mice colonised with the 17 strains. OT-I CD8<sup>+</sup> T cells proliferated when stimulated with DCs pulsed with the MHC class I OVA<sub>257-264</sub> peptide. The addition of colonic Treg cells suppressed OT-I proliferation in a dose-dependent manner. Furthermore, this Treg-mediated suppression was markedly enhanced in the presence of the 17 strains that had been killed by

heat. The TCR repertoire of colonic Treg cells recognised antigens derived from the gut microbiota (Lathrop et al. 2011). These results are consistent with a previous report (Lathrop et al. 2011) and suggest that colonic Treg cells induced by the 17 strains have mainly TCRs recognising the derived antigens.

Treg cells are critical for intestinal immune homeostasis, and the 17 strains identified could provide therapeutic benefit in patients with IBD or diarrhoea by inducing the development of Treg cells in the colon. Oral administration of the 17 strains could increase the number of Treg cells in the colon even under SPF conditions. As expected, SPF mice colonised by the 17 strains showed lower mortality and decreased severity of 2,4,6-trinitrobenzene sulfonic acid (TNBS)-induced colitis. The occurrence and severity of OVA-induced allergic diarrhoea were significantly lower in the SPF mice colonised by the 17 strains as compared to control SPF mice. These findings suggest that supplementation with the 17 strains may induce Treg cells and be effective in the treatment of allergic and inflammatory diseases in humans.

### 44.7 Discussion

An altered microbiota composition, termed dysbiosis, is associated with many human diseases. Several studies have reported decreased levels of Clostridia in fecal samples from patients with IBD, and atopic dermatitis (Candela et al. 2012; Frank et al. 2007). Animal studies have also shown that bacterial dysbiosis leads to spontaneous development of ulcerative colitis-like inflammation (Garrett et al. 2007). Therefore, manipulation of the gut microbiota holds the promise of important advances in the treatment of a number of inflammatory diseases. Fecal microbiota transplantation (FMT) is a targeted microbiota-based therapy that has garnered a great deal of attention in recent years. FMT is the introduction of commensal bacteria derived from stool samples of a healthy donor into the gastrointestinal tract of the patient through colonoscopy, nasoduodenal tubes, or enemas. It has shown remarkable clinical effectiveness in patients with pseudomembranous colitis induced by *Clostridium difficile* infection (van Nood et al. 2013). There are a number of studies evaluating the efficacy of FMT in other diseases associated with dysbiosis, including IBD, irritable bowel syndrome, diabetes, obesity, multiple sclerosis, and autism. Despite the increasing application of FMT, many questions remain regarding the selection and screening of donors, the sample processing, and the risks of transmission of pathogens. Therefore, treatment with a composite of well-characterised effective microorganisms may be preferable.

In this context, our Treg-inducing 17 strains can form the basis for new live biotherapeutic candidates for the treatment of IBD, allergy, and other immuneinflammatory diseases. However, there is a need for further studies to assess the benefits and the potential risks of the 17 strains and to optimise the therapeutic mixture by eliminating components of the 17 strains that are nonessential to Treg cell induction. In addition, it is necessary to identify and isolate other bacterial strains from the human intestine that can affect the development and activation of other immune cell subsets, such as Th17 cells or Th1 cells. It is also possible, sometime in the future, to develop a necessary and sufficient bacterial consortium as a universal panacea against diseases associated with dysbiosis.

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# **Chapter 45 Roles of the Epithelial Autophagy in the Intestinal Mucosal Barrier**

#### Koji Aoki and Manabu Sugai

Abstract Intestines are a part of the gastrointestinal tract and essential for digestion and absorbtion of food. It is also home to a dense community of resident bacteria and exposed to a very large number of microorganisms associated with food and from the external environment. The epithelial surface of the intestines plays a critical role in host protection by forming the intestinal mucosal layer that functions as a barrier to microorganisms and by producing a diverse repertoire of antimicrobial proteins that directly kill or hinder the growth of microorganisms. Autophagy in the intestinal epithelial cells plays key roles in the mucosal immune defence, whereas its deregulation is one of the major mechanisms that cause intestinal chronic inflammation and subsequent development of intestinal bowel disease such as Crohn's disease and intestinal ulcerative colitis. Here we review the roles of autophagy in the intestinal epithelial barrier functions and consider the mechanisms by which deregulated autophagy causes intestinal bowel disease.

**Keywords** Autophagy • Intestinal bowel disease • Crohn's disease • ATG16L1 • Intestinal epithelial cells • Enterocytes • Goblet cells • Paneth cells

M. Sugai

K. Aoki (🖂)

The Aoki Laboratory, Life Science Unit, Tenure-Track Program for Innovative Research, University of Fukui, 23-3 Matsuokashimoaizuki, Eiheiji-cho, Yoshida-gun, Fukui 910-1193, Japan

Division of Pharmacology, Department of Biochemistry and Bioinformative Sciences, School of Medicine, University of Fukui, 23-3 Matsuokashimoaizuki, Eiheiji-cho, Yoshida-gun, Fukui 910-1193, Japan

PREST, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: aokik@u-fukui.ac.jp

Division of Molecular Genetics, Department of Biochemistry and Bioinformative Sciences, School of Medicine, University of Fukui, 23-3 Matsuokashimoaizuki, Eiheiji-cho, Yoshida-gun, Fukui 910-1193, Japan e-mail: msugai@u-fukui.ac.jp

## 45.1 Introduction

Intestines are essential for absorption of nutrients. To digest foodstuffs and absorb nutrients and water efficiently, the intestinal mucosa is covered by a very large number of epithelial cells such as absorptive, goblet, Paneth, and enteroendocrine cells (Clevers 2013). The intestinal mucosa is exposed not only to various food antigens but also to a large number of microorganisms that contaminate the eaten food (Palm et al. 2015; Lozupone et al. 2012). In addition, there are a huge number of resident microbacteria in the intestines (Lozupone et al. 2012). Thus, the intestinal mucosa is a key platform that provides a crosstalk between antigens or microbacteria and the immune system of the body (Kagnoff 2014). In addition, some microbacteria including pathogenic ones pass through the intestinal epithelial cells to establish infection, whereas the epithelial cells defend the intestines from infection and produce signals that activate immune reactions (Kagnoff 2014; Peterson and Artis 2014). Accordingly, the intestinal epithelial cells are considered as the leading edge of the immune defence system of the intestines.

The dysfunctions in the intestinal immune system can induce inflammatory bowel diseases (IBD) such as Crohn's disease (CD) and intestinal ulcerative colitis (UC) (Maloy and Powrie 2011; Khor et al. 2011; Boyapati et al. 2015; Baumgart and Sandborn 2012). The CD and UC are chronic, refractory, and relapsing immune-mediated inflammatory diseases, accompanied with various symptoms including diarrhoea and also with complications outside the gastrointestinal tract including rheumatologic disease. In CD, inflammation can be induced at any part of the digestive tract from mouth to anus. The prevalence of IBD is increased in most advanced countries, and it has been more common in the developing countries since the 1970s. Although the epidemiologic data suggest that incidence of IBD is influenced by environmental factors, recent studies indicate that it is also affected by genetic predisposition. Genome-wide association studies have highlighted autophagy as one of key pathways whose deregulation contributes to IBD (Khor et al. 2011; Stappenbeck et al. 2011). Consistently, a number of studies have indicated that autophagy plays key roles in the intestinal immune functions of both intestinal epithelial (IECs) and immune cells. In this review, we discuss the roles of autophagy in intestinal epithelial defence, and the mechanisms by which suppression of autophagy in IECs contributes to intestinal inflammation and subsequent IBD development.

# 45.2 Inflammatory Bowel Diseases, Crohn's Disease, and Ulcerative Colitis

Inflammatory bowel disease (IBD), such as Crohn's disease (CD) and ulcerative colitis (UC), is the disorder that develops chronic inflammation and mucosal ulceration anywhere along the gastrointestinal tract (Maloy and Powrie 2011;

Khor et al. 2011; Boyapati et al. 2015; Strowig et al. 2012; Sartor 2006). In Japan, CD and UC affect nearly 40,000 and 17,00,000, respectively, and those numbers have increased continuously for these several decades as well as other western countries (Boyapati et al. 2015; Sartor 2006).

Whereas the precise mechanisms underlying IBD are still unclear, significant progress has been made to understand its pathogenesis in the past 10–20 years through using advanced technologies such as genome-wide association studies. It has been suggested that IBD is caused by an inappropriate and uncontrolled immune response and by subsequent chronic inflammation (Maloy and Powrie 2011; Khor et al. 2011; Strowig et al. 2012; Sartor 2006). Thus, current therapeutic drugs include anti-inflammatory agents such as corticosteroids, immunomodulators such as thiopurines and methotrexate, and biological agents such as antibodies against tumour necrosis factor (TNF), although IBD can be cured completely by none of these drugs. Accordingly, further drug discovery is necessary for IBD.

The onset and development of chronic inflammation can be affected by the genetic alterations and environmental factors that perturb the composition and balance of the commensal microbes, the intestinal mucosal barrier, and immune reaction (Maloy and Powrie 2011). The environmental factors include meal antigens, smoking, and so on, whereas the relationships among such factors and chronic inflammation are poorly understood.

# 45.3 Genetic Alterations of Autophagy Genes in Crohn's Disease

Recently, the number of genetic alterations has been found by genome-wide association studies for CD and UC (Franke et al. 2010; Anderson et al. 2011; Hampe et al. 2007; Rioux et al. 2007; Jostins et al. 2012). Notably, these analyses identify single nucleotide polymorphisms (SNPs) in the ATG16L1 gene as one of the susceptibility loci (Hampe et al. 2007; Rioux et al. 2007) as well as NOD2 and IL23R, and deletion polymorphism for the upstream region of IRGM (Hugot et al. 2001; Ogura et al. 2001; Duerr et al. 2006; McCarroll et al. 2008; Parkes et al. 2007; Brest et al. 2011). The protein product of the ATG16L1 gene, autophagy related 16-like 1, is one of the components essential to form the autophagosome, a core machinery of autophagy (Nakatogawa et al. 2009; Xie and Klionsky 2007) (Fig. 45.1). The protein product of the NOD2 gene, nucleotide-binding oligomerization domain containing 2, carries two caspase recruitment (CARD) domains and six leucine-rich repeats (LRRs) (Caruso et al. 2014). It functions as a sensor of intracellular bacteria and is involved in host defence. In addition, the Immunity-*Related GTPase M (IRGM)* gene belongs to the Immunity-Related GTPase family, one of IFN-inducible GTPase subfamilies (Kim et al. 2012). IRGM plays a key role in xenophagy that targets microbial pathogens through autophagy (McCarroll et al. 2008; Brest et al. 2011; Kim et al. 2012). These results strongly suggest that



**Fig. 45.1** Scheme of two ubiquitin-like conjugation systems. ATG7 mediates conjugation of ATG12 with ATG5, and ATG12-ATG5 conjugate further forms a complex with ATG16. It also mediates conjugation of LC3B with ATG3, and LC3 is finally conjugated with phosphatidylethanol amine (PE). Both of the above conjugates are required for autophagosome expansion. The isolation membranes expand, and sequester a portion of the cytosol, organelles, and microorganisms, forming autophagosomes. The degradation occurs in autophagolysosomes that are fusions of the autophagosomes with lysosomes

deregulation of autophagy contributes to Crohn's disease. It is noted that the genomic alterations in the IRGM gene are associated also with UC (Jostins et al. 2012), suggesting that deregulation of autophagy contributes to ulcerative colitis as well.

### 45.4 Autophagy

Autophagy is an evolutionally conserved cellular pathway in eukaryotes that degrades cytoplasmic components including proteins, organelles, and invaded bacteria, through the lysosomal and vacuolar system (Nakatogawa et al. 2009; Mizushima et al. 2008; Fig. 45.1). Autophagy plays important roles not only in cell survival in eukaryotes under nutrient-limited conditions but also in a wide variety of development and physiology in mammals (Mizushima and Levine 2010; Mizushima and Komatsu 2011). In addition, deregulation of autophagy is

implicated to be involved in a number of diseases such as neurodegenerative disease, liver disease, myodegenerative disease, cardiac disease, and cancer (Levine and Kroemer 2008).

There are various types of autophagy that include micro- and macroautophagy, and chaperon-mediated autophagy (CMA) (Nakatogawa et al. 2009). Macroautophagy, referred as autophagy, is the main pathway to degrade cytoplasmic components, and involves the formation of a double membrane called autophagosomes. On the other hand, microautophagy involves the direct engulfment of cytoplasmic components into the lysosome. Similarly, cytosolic proteins are translocated directly across the lysosomal membrane during chaperonemediated autophagy. The formation of autophagosomes requires isolation membranes that are single membrane-bound structures. The isolation membranes appear and expand, and sequester a portion of the cytosol and organelles, forming autophagosomes. The degradation through autophagy occurs in autophagolysosomes that are fusions of the autophagosomes with lysosomes (Fig. 45.1).

ATG molecules and two ubiquitin-like conjugation systems contribute to the formation of autophagosomes. Among ATGs, ATG12, and ATG8/LC3 are ubiquitin-like proteins that play essential roles in the autophagosome formation through the ubiquitin-like conjugation systems (Mizushima et al. 1998; Ichimura et al. 2000; Fig. 45.1). ATG12 is conjugated with ATG5 and the ATG12-ATG5 conjugate further forms a multimeric complex with ATG16, whereas LC3B is finally conjugated with phosphatidyl-ethanol amine (PE). Both of the above conjugates are required for autophagosome expansion (Ichimura et al. 2000; Tanida et al. 1999).

# 45.5 The Roles of Intestinal Epithelial Cells in the Intestinal Mucosal Barrier

One of main physiological functions of intestines is to digest and absorb nutrients (Clevers 2013; Barker 2014; Gardner et al. 1970a, b, c), whereas the mucosal barrier is an important boundary that protects the surface of the intestinal tract from adhesion and invasion of the microorganisms (Peterson and Artis 2014; Antoni et al. 2014). In the mucosal barrier, central roles are played by the columnar epithelial cells that form a sheet covering the intestinal mucosal layer. The intestinal epithelial cells are composed of absorptive enterocytes and secretory goblet, enteroendocrine, and Paneth cells (Clevers 2013). The enterocytes, goblet, and enteroendocrine cells migrate toward the top of the villus, whereas Paneth cells migrate to the base of the crypt of Lieberkühn. The enterocytes uptake nutrients such as sugar, amino acids, and lipids as well as water (Gardner et al. 1970a, b, c). The goblet cells secrete mucins that form mucus that protects the intestinal mucosal layer, as the first line of defence of the mucosal barrier (McCauley and Guasch

2015; McGuckin et al. 2011). On the other hand, Paneth cells secrete proteins that are known to play an another key roles in host defence, including antimicrobial proteins and peptides such as defensins (Stappenbeck 2009, 2010). In addition, the enteroendocrine cells secrete peptides that are involved in the control of appetite and digestive responses (Harrison et al. 2013). Autophagy is reported to play essential roles in the mucosal barrier functions of enterocytes, goblet, and Paneth cells as follows.

### 45.6 Paneth Cells and Autophagy

Paneth cells, located in the small intestine, produce and secrete a wide variety of antimicrobial proteins and peptides, so-called AMPs, which kill or inactivate microorganisms as a part of the intestinal mucosal immune defense (Stappenbeck 2009, 2010; Mukherjee and Hooper 2015; Table 45.1). These AMPs include defensins, lysozyme, and secretory phospholipase A2 (sPLA2). Lysozyme and sPLA2 kill bacteria through an enzymatic mechanism, attacking critical structures of the bacterial cell wall and disrupting bacterial membrane integrity, respectively (Mukherjee and Hooper 2015; Ganz 2004a; Brogden 2005). On the other hand, defensins suppress synthesis of the bacterial cell wall (Sass et al. 2010; Ganz 2004b). Through secretion of these AMPs, Paneth cells contribute to the innate immune response to the microorganisms.

The genetic alterations in the ATG16L1 gene are found to be associated with Crohn's disease as described above (Franke et al. 2010; Anderson et al. 2011; Hampe et al. 2007; Rioux et al. 2007; Jostins et al. 2012). Upon suppression of autophagy through lowering the *Atg16l1* level by gene-trap-mediated disruptions, abnormalities in morphology and the granule exocytosis pathway are found in Paneth cells (Cadwell et al. 2008; Table 45.1). Likewise, knockout of other Atg proteins Atg5 or Atg7 also induce abnormalities in the granule exocytosis pathway and increase expression level of genes involved in inflammatory reactions such as peroxisome proliferator-activated receptor (PPAR) signaling (Cadwell et al. 2008, 2009). In addition, Paneth cell abnormalities and increased susceptibility to intestinal inflammation are found in mice deficient for the Irgml gene as well (Liu et al. 2013). These results collectively suggest that deregulated autophagy results in the dysfunction of Paneth cells. Notably, the morphological defects of Paneth cells such as the granule abnormalities are found also in the Crohn's disease patients who carry the homozygous ATG16L1 risk allele (Cadwell et al. 2008) as well as in the knock-in mice which carry Atg16L1 T300A, a common polymorphism of Crohn's disease (Lassen et al. 2014). Note that the high proportions of abnormal Paneth cells were associated with shorter time to disease recurrence after surgery (VanDussen et al. 2014), suggesting that the defects of autophagy function in Paneth cells contribute to Crohn's disease. Consistent with these results, autophagy dysfunction in the Paneth cells causes ER-stress-induced intestinal inflammation, NF-kB overactivation, and intestinal epithelial cell death (Adolph et al. 2013).

			Phenotypes of mice	
Epithelial	Roles in intestinal		deficient for Atg or	D.C
cell types	mucosal immunity	Mice analyzed	its related genes	References
Enterocyte	Killing bacteria that invade into enterocytes through xenophagy	Atg5 and Atg7 knockout mouse	The increased num- ber of bacteria in enterocytes and enhanced systemic translocation of bac- teria from the intes- tine to spleen and liver	Benjamin et al. (2013); Conway et al. (2013); Inoue et al. (2012)
Goblet cell	Formation of the intestinal mucus layer through gen- eration of mucin glycoproteins	Atg5 knockout mouse Mice carrying Atg16l1 T300A NLRP6	The morphological defects and goblet cell dysfunctions including granule secretion	Lassen et al. (2014); Patel et al. (2013); Wlodarska et al. (2014)
		knockout mouse	Reduced autophagy activity in goblet cells and abrogated mucus secretion	Wlodarska et al. (2014)
Paneth cell	Killing or inactiva- tion of microorgan- isms through generation of anti- microbial proteins and peptides such as lysozome, sPLA2 and defensins	Atg5, Atg7 and Atg1611 knockout mouse Mice carrying	Abnormalities in Paneth cell morphol- ogy, secretion of antimicrobial pro- teins and peptides and expression level	Cadwell et al. (2008); Cadwell et al. (2009); Liu et al. (2013); Lassen et al. (2014)
		Atg1611 hypomorphic allele	of genes involved in inflammatory	
		Mice carrying Atg1611 T300A	reactions	
		Irgml knock- out mouse		
		Mice carrying Atg16l1 hypomorphic allele	Abnormal response of inflammatory transcription path- way by virus infection	Adolph et al. (2013)

Table 45.1 Roles of autophagy in the intestinal epithelial barrier functions

In the low Atg16L1 mice, virus infection also causes abnormal response of the inflammatory transcription pathway in Paneth cells (Cadwell et al. 2010). Upon treatment with dextran sodium sulfate (DSS), virus-infected low Atg16l1 mice develop phenotypes resembling those of Crohn's disease, such as increased inflammation in the muscularis and associated mesenteric fat and blood vessels, increases in lymphoid aggregates, and hyperplasia of the colonic epithelial cells (Cadwell et al. 2010). These results suggest that dysfunction of autophagy increases susceptibility of intestinal inflammation caused by multiple stimuli. On the other hand, it is

unclear how secretion of granules and cell morphology in Paneth cells are controlled by autophagy.

Notably, deficiency of the *Atg16L1* gene in the haematopoietic cells increases susceptibility of intestinal inflammation induced by DSS through inflammatory signals such as IL1- $\beta$  and IL-18 (Saitoh et al. 2008), suggesting that autophagy in the immune cells also contributes to suppression of intestinal inflammation.

### 45.7 Goblet Cells and Autophagy

The mucus layer of the intestinal tract is the first barrier as an innate host defence against intestinal pathogenic microorganisms (Peterson and Artis 2014; Antoni et al. 2014; Ashida et al. 2012; Table 45.1). Because goblet cells secrete mucin glycoproteins and other proteins that mainly constitute the mucus layer, they play key roles in providing an antimicrobial protective mechanism at the interface between the intestinal luminal side and mucosa. Both autophagy proteins and endosomes are shown to be required for generation of reactive oxygen species (ROS) that is essential to control mucin granule accumulation in colonic goblet cells (Patel et al. 2013). Consistent with these results, goblet cell dysfunctions and its morphological defects are found for the knock-in mice carrying Atg16l1 T300A and the mice deficient in Atg5 (Lassen et al. 2014; Wlodarska et al. 2014).

Interestingly, mice deficient in NLRP6, one of innate sensors of stress or damage-associated molecular patterns, exhibit reduced autophagy activity in goblet cells and abrogated mucus secretion (Wlodarska et al. 2014; Table 45.1). Importantly, the NLRP6-deficient mice fail to clear enteric pathogens from the mucosal layer, which results in increased susceptibility to persistent infection (Wlodarska et al. 2014), indicating a critical role of goblet cells in intestinal mucosal immunity. Because autophagy regulates the level of IL1- $\beta$  production (Lassen et al. 2014; Saitoh et al. 2008), these results also suggest that autophagy and inflammasome signal regulate their functions each other's functions whereas the mechanism by which NLRP6 controls autophagy activity remains unclear.

## 45.8 Enterocytes and Autophagy

The intestinal epithelial cells are one of the routes where the bacterial pathogens invade the intestinal mucosal layer (Haraga et al. 2008; Barnich et al. 2007). Upon bacterial infection in the small intestine, bacterial invasion of the cells activates autophagy in the intestinal epithelial cells and the bacteria are targeted to autophagosomes (Benjamin et al. 2013; Conway et al. 2013). Suppression of autophagy by introducing the Atg5 knockout allele into the intestinal epithelial cells and enhanced systemic translocation of bacteria from the intestine to spleen and liver

(Benjamin et al. 2013; Conway et al. 2013; Inoue et al. 2012; Table 45.1). In contrast, xenophagy is shown to be proficient in macrophages or dendritic cells deficient for the Atg5 gene (Benjamin et al. 2013; Homer et al. 2010). Thus, it is conceivable that some additional factors specific to the intestinal epithelial cells play a role in xenophagy. These results also suggest that autophagy in the enterocytes contributes to the intestinal mucosal barrier.

### 45.9 Activation of Autophagy in Intestinal Epithelial Cells

In the intestine, autophagy is less active in differentiated epithelial cells than undifferentiated ones (Groulx et al. 2012), suggesting some mechanisms that activate autophagy in differentiated cells such as enterocytes, and Paneth and goblet cells. NOD1 and NOD2, members of the cytosolic Nod-like receptors (NLRs), are sensors of pathogens as the innate immune system, detecting pathogen-associated molecular patterns (Caruso et al. 2014; Boyle et al. 2014). Genomic alterations in the *NOD2* gene are associated with Crohn's disease, including frameshift mutations and missense mutations (Hugot et al. 2001; Inoue et al. 2012). NOD1 and NOD2 are shown to activate autophagy by recruiting ATG16L1 to the plasma membrane at the site of bacterial entry, linking bacterial invasion with intracellular pathogen defence through autophagy (Travassos et al. 2010; Cooney et al. 2010). On the other hand, autophagy is not activated by the protein product of the *NOD2* L1007insC polymorphism, the most prevalent frameshift mutation in Crohn's disease, which generates truncated *NOD2* protein (Travassos et al. 2010), highlighting the contribution of autophagy to the intracellular pathogen defence mediated by NOD2.

Interestingly, autophagy-dependent suppression of *Salmonella* survival is abrogated by the ATG16L1 T300A variant that is associated with Crohn's disease, whereas NOD2-dependent signalling is unaffected by ATG16L1 T300A (Homer et al. 2010), suggesting that deregulation of the autophagy pathway contributes to Crohn's disease other than NOD2-dependent signalling pathway. Notably, interaction between ATG16L1 and NOD2 is shown not only in dendritic cells and mesenchymal embryonic fibroblast, but also in intestinal epithelial cells (Homer et al. 2010; Travassos et al. 2010; Cooney et al. 2010). These results suggest that *NOD2* polymorphisms contribute to Crohn's disease through deregulated autophagy activity in intestinal epithelial cells as well as macrophages and dendritic cells.

Interaction between ATG16L1 and NOD2 also suppresses inflammatory cytokine production stimulated by *NOD2* through the autophagy-independent function (Sorbara et al. 2013). On the other hand, ATG16L1 T300A fails to suppress NOD2driven cytokine production (Sorbara et al. 2013), suggesting that ATG16L1 contributes to suppression of inflammation independent of autophagy as well.

In addition, we have recently found that autophagy activity was stimulated by CDX2 (our unpublished data), a homeobox transcription factor expressed specifically in the intestinal epithelial cells (Aoki et al. 2011; Aoki et al. 2003). We also

found that CDX2 played some roles in the intestinal mucosal barrier (our unpublished data). These results also suggest that the intestinal mucosal barrier through autophagy is controlled by mechanisms specific to the intestinal epithelial cells.

# 45.10 Suppression of Autophagy by Bacteria in the Intestinal Epithelial Cells

The adherent-invasive *Escherichia coli* (AIEC) colonises the ileal mucosa of patients with Crohn's disease, and adheres to and invades intestinal epithelial cells. AIEC infection increases the levels of microRNA MIR30C and MIR130A in T84 cells, derived from human intestinal epithelial cells (Nguyen et al. 2014). The MIR 30C and MIR30A target *ATG5* and *ATG16L1*, respectively, and inhibit autophagy, leading to the increased number of intracellular bacteria and stimulation of inflammatory response (Nguyen et al. 2014). These results suggest that invasive bacteria survive through suppression of autophagy in the target cells.

# 45.11 Autophagy and Inflammatory Signals in the Intestinal Epithelial Cells

As described above, the amounts of IL1 $\beta$  and IL18 are increased in macrophages deficient in the *Atg16L1* gene, which results in colonic inflammation upon treatment with DSS (Lassen et al. 2014; Saitoh et al. 2008). On the other hand, IL18 production in the intestinal epithelial cells was unaffected by inhibiting autophagy through expression of shRNA for *ATG7* (our preliminary observation), suggesting that autophagy played different roles in control of the inflammatory signals between the stromal cells and intestinal epithelial ones. Surprisingly, however, we recently found that expression of CDX2 reduced the amount of IL18 in the intestinal epithelial cells through suppression of the caspase 1 activity (our unpublished data). These results suggested that CDX2 contributed to suppression of intestinal epithelial cells.

# 45.12 Summary

Intestinal epithelial cells play essential roles in the mucosal immune defense in the intestines. Recent genome-wide association studies identify genomic alterations in the autophagy or autophagy-related genes such as *ATG16L1* and *IRGM1*,

highlighting the importance of autophagy in the barrier functions of the intestinal epithelial cells. Through autophagy, enterocytes suppress survival and dissemination of microoganisms that invade the intestinal mucosal layer. Goblet cells secrete mucin that functions as a first line of barrier of the intestinal mucosal layer, whereas Paneth cells secrete antimicrobial peptides that directly target microorganisms. Autophagy is also critical to the secretion pathway in both goblet and Paneth cells. Thus, deregulated autophagy weakens intestinal mucosal barrier functions and leads to subsequent chronic inflammation. In order to understand the pathogenesis of inflammatory bowel disease, it is essential to reveal precise molecular mechanisms by which autophagy contributes to the mucosal defense of the intestinal epithelial cells.

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# Chapter 46 Development of Sentinel-Cell Targeted Therapy for Inflammatory Bowel Diseases

#### Kenichi Asano and Masato Tanaka

Abstract The primary goal of the immune system is to distinguish self and non-self, and expel the latter from our body. The lumen of the gastrointestinal tract is always exposed to exogenous antigens. The intestinal immune response is strictly regulated not to overreact to them without compromising defenses against hazardous antigens. Many researchers have tried to describe how our mucosal immune system discriminate beneficial commensals and harmful pathogens, without much success.

Innate immune cells in the lamina propria (LP) are the first line of cells that counter invading pathogens. Although macrophages and DCs are considered crucial for the maintenance of mucosal homeostasis, their precise roles in the induction of tolerance and protective immunity are not totally understood. CD169<sup>+</sup> macrophages constitute 30% of CX3CR1<sup>hi</sup> resident macrophages. They do not reside at the villus tip; instead, their localisation is biased toward muscularis mucosa. In response to epithelial injury, the CD169<sup>+</sup> macrophages produce CCL8 that recruits Ly6C<sup>hi</sup> inflammatory monocytes. Depletion of CD169<sup>+</sup> macrophages or inhibition of CCL8 activity in vivo suppress the symptoms of experimentally induced colitis in mouse. These findings suggest that CD169<sup>+</sup> macrophage-derived CCL8 is a promising therapeutic target for the treatment of mucosal injury and subsequent inflammation.

**Keywords** Inflammatory bowel disease • DSS • Macrophage • CD169 • Sialoadhesin • CCL8 • MCP-2

K. Asano (🖂)

M. Tanaka

Laboratory of Immune Regulation, School of Life Science, Tokyo University of Pharmacy and Life Sciences, Tokyo, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: asanok@toyaku.ac.jp

Laboratory of Immune Regulation, School of Life Science, Tokyo University of Pharmacy and Life Sciences, Tokyo, Japan

## 46.1 Introduction

The surface of the intestinal tract is constantly exposed to both self and nonself antigens. In order to avoid excess inflammation, the mucosal immune system remains unresponsive to harmless antigens such as commensal bacteria or diet. One of the unanswered questions in the field of mucosal immunology is how the immune system distinguishes hazardous agents from potentially beneficial ones (Pedron and Sansonetti 2008; Vance et al. 2009). Lamina propria (LP)-resident mononuclear phagocytes, mainly macrophages and dendritic cells (DCs), play a major role in the orchestration of mucosal immune balance (Denning et al. 2007; Varol et al. 2010). They comprise heterogeneous subsets (Gordon and Taylor 2005; Rivollier et al. 2012) in terms of surface phenotype and function. Among them, LP-resident CX3CR1<sup>+</sup> macrophages are significant because they maintain tolerance toward commensal bacteria in an interleukin (IL)-10-dependent fashion (Ueda et al. 2010). Unlike DCs, a consensus regarding how to characterise different macrophage subsets in the LP was lacking until the proposal of the so-called 'monocyte-waterfall' model (Tamoutounour et al. 2012). In the intestine, CD64 is expressed only by resident macrophages, and its expression level is positively correlated with that of pan-macrophage marker CX3CR1 and negatively correlated with Ly6C (Tamoutounour et al. 2012; Auffray et al. 2007). Macrophages in the LP are constantly replenished by blood-borne monocytes (Bain et al. 2014). Mucosal inflammation induces the robust infiltration of blood-borne monocytes in mice model of IBD. Those infiltrating cells are characterised by high expression of Ly6C and the intermediate to low expression of CX3CR1 and CD64 (Tamoutounour et al. 2012; Bain et al. 2014; Farache et al. 2013; Zigmond et al. 2012). As they enter the site of inflammation, they acquire inflammatory phenotypes by producing IL-6 and IL-23, to further activate Th17 cells and innate lymphoid cells. LyC6<sup>hi</sup> monocytes that migrate from the bloodstream gradually lose Ly6C expression, and in turn gain CD64 expression as they mature into the resident phenotype. Other myeloid cells, such as eosinophils, neutrophils, and DCs, can readily be discriminated from macrophages because they do not express CD64. It is likely that each subset plays a distinct role in the maintenance of gut homeostasis. However, the roles played by different subsets during mucosal immune responses remain largely unknown.

# 46.2 CD169<sup>+</sup> Macrophages in the Intestine

CD169, also known as 'Sialoadhesin', was originally identified as a receptor for unopsonised sheep erythrocyte (Crocker and Gordon 1986). Its expression is restricted on the surface of a certain subset of macrophages (Kraal and Janse 1986). CD169<sup>+</sup> macrophages have a unique localisation at the boundary between the lymphoid organ and bloodstream or lymphatic flow. In the marginal zone of the

spleen, they capture apoptotic cells in the bloodstream and induce cell-associated antigen-specific tolerance (Miyake et al. 2007). A CD169<sup>+</sup> counterpart in the lymph node sinus engulfs dead tumour cells that flow into the draining lymph node, and activates tumour antigen-specific CD8 T cells (Asano et al. 2011). They also capture lymph-borne immune complexes and relay them to follicular B cells (Phan et al. 2007). Accumulation of NK cells in response to viral infection or viral-based vaccine is dependent on subcapsular sinus CD169<sup>+</sup> macrophages in the lymph node (Garcia et al. 2012). This evidence indicates that CD169<sup>+</sup> macrophages act as sentinels that capture particulate antigens and confer their information to other immune cells.

Recently, it was reported that CD169<sup>+</sup> macrophages also localise in the intestine, the largest barrier organ of our body (Asano et al. 2015; Hiemstra et al. 2014). CD169<sup>+</sup> macrophages constitute roughly 30 % and 15 % of CX3CR1<sup>hi</sup>, CD64<sup>hi</sup>, and Ly6C<sup>lo</sup> resident macrophages in the colon and the small intestine, respectively. Monocytes, DCs, eosinophils, and neutrophils do not express CD169 under both physiological and inflammatory conditions (Fig. 46.1a). CD169<sup>+</sup> macrophages in the colon express other macrophage markers such as CD11c, F4/80, MHC II, CD172 $\alpha$ , and CD206. In contrast to CX3CR1<sup>+</sup> total macrophages that distribute evenly within the LP, CD169<sup>+</sup> macrophages are absent from the area adjacent to the epithelial border but are abundant in the area that surrounds crypts (Fig. 46.1b). The biased localisation of CD169<sup>+</sup> macrophages may facilitate them to avoid constant exposure to bacterial- and dead epithelial cell-derived antigens. Collectively, these findings indicate that CD169<sup>+</sup> macrophages play some unique role in the regulation of gut homeostasis.

# 46.3 Depletion of CD169 Macrophages Ameliorates DSS-Induced Colitis in Mice

The administration of DSS in drinking water causes epithelial injury and subsequent intestinal inflammation in mice (Okayasu et al. 1990). This model is useful to explore therapies that modulate innate immune response because DSS-induced colitis progresses even in lymphocyte-deficient mice (Kim et al. 2006; Tlaskalova-Hogenova et al. 2005). DSS-fed WT mice exhibit transient weight loss and hemorrhagic diarrhoea. Pathologically, DSS administration induces loss of goblet cells, distortion of crypts, and infiltration of mononuclear cells into the LP. CD169-DTR mice express human DTR under the control of CD169 gene promoter. Injection of DT into CD169-DTR mice leads to selective and transient depletion of CD169<sup>+</sup> cells (Miyake et al. 2007). Surprisingly, DSS-induced colitis is almost totally suppressed in the absence of CD169<sup>+</sup> cells. In WT mice, DSS-induced epithelial injury promotes the accumulation of inflammatory cells, such as monocytes, eosinophils, and neutrophils. The numbers of CD64<sup>-</sup>, Siglec-F<sup>+</sup> eosinophils, CD64<sup>-</sup>, Ly6G<sup>+</sup> neutrophils, and CD64<sup>-</sup>, CD103<sup>+</sup> DCs are similar



**Fig. 46.1 (a)** CD169 expression is restricted to CD64<sup>hi</sup> resident macrophage compartment. CD11b<sup>+</sup> and/or CD11c<sup>+</sup> cells were enriched by magnetic sorting and stained for surface markers for monocytes (Ly6C), resident macrophages (CD64), eosinophils (Siglec-F) and DCs (CD103) (*left*). Frequencies of CD169<sup>+</sup> cells among each compartment are shown (*right*). *Dashed lines* represent isotype control. (b) CD169<sup>+</sup> macrophages are located distantly from epithelial border. Consecutive colon sections from CX3CR1<sup>gfp</sup> mouse were stained for GFP (CX3CR1, *left, red*) or CD169 (*right, red*) and nucleus (*blue*). *Dashed white lines* represent epithelial border or muscularis mucosa. Original magnification, ×20. Modified from Asano, K. et al. Nat. Commun. 6:7802 doi: 10.1038/ncomms8802 (2015), licensed under a Creative Commons Attribution 4.0 International License

between WT and CD169-DTR mice although the clinical symptoms of colitis were suppressed in the latter. In contrast, the number of monocytes is significantly reduced in the absence of CD169<sup>+</sup> macrophages. These findings suggest that following mucosal injury CD169<sup>+</sup> macrophages recruit inflammatory monocytes that further exacerbate inflammation.

The adoptive transfer of naïve CD4 T cells into lymphocyte-deficient mice causes chronic inflammation in the small intestine and colon that mimic the human disease of IBD (Morrissey et al. 1993). RAG1-deficient mice that were crossed with CD169-DTR mice were transferred with CD45Rb<sup>hi</sup>, CD4 T cells to induce colitis. Unlike DSS-induced colitis, the depletion of CD169<sup>+</sup> macrophages does not ameliorate the clinical symptoms of T cell–transfer colitis. This result

highlights the importance of CD169<sup>+</sup> macrophages in the pathology of the acute, innate immunity-dominant phase of colitis but not of chronic, CD4 T cell–dependent colitis.

# 46.4 CCL8/MCP-2 is Produced by CD169 Macrophages in the Colon

What are the monocyte-recruiting signals released from CD169<sup>+</sup> macrophages? Microarray analysis revealed cytokine mRNAs that are increased only in CD169<sup>+</sup> macrophages under the inflammatory condition. Among those cytokines, CCL8 mRNA is upregulated in CD169<sup>+</sup> macrophages but not in CD169<sup>-</sup> cell types after DSS administration. CCL8 is upregulated as early as 5 days after DSS administration, and gradually decreases after the removal of DSS. Selective reduction of inflammatory monocytes in DSS-fed CD169-DTR mice suggests that following epithelial injury, CD169<sup>+</sup> macrophages produce CCL8 in order to recruit CD169<sup>-</sup>, Ly6C<sup>hi</sup> monocytes.

The physiological and pathological role of CCL8 is not fully understood. CCL8deficient mice develop normally and are fertile. It is generally accepted that the recruitment of monocytes from bone marrow to inflammatory sites is controlled by the CCL2-CCR2 pathway. Unlike several other CCL chemokines, mouse CCL8 does not bind to CCR2 but has its own receptor, CCR8 (Zlotnik and Yoshie 2012). Thus, it is not surprising if CCL2 and CCL8 play nonredundant roles in the maintenance of the LP macrophage pool. The serum concentration of CCL8 positively correlates with the severity of graft-versus-host disease (GVHD) both in humans and mice (Hori et al. 2008; Ota et al. 2009; Yamamoto et al. 2011). In one report, CCL8 expression in the colon biopsy specimen was upregulated in IBD patients (Banks et al. 2003). Future study is needed to determine the role of CCL8 in the progression of human IBD.

Endogenous ligands that trigger CCL8 production remain to be identified. Bone marrow-derived macrophages (BMDMs) gain CD169 expression as they differentiate in the presence of M-CSF. In contrast, BMDCs differentiated in the presence of GM-CSF do not express CD169 (Karasawa et al. 2015). CD169<sup>+</sup> BMDMs, but not CD169<sup>-</sup> BMDCs, produced CCL8 when they were stimulated in vitro with LPS or HMGB1. IL-6 and TNF $\alpha$  production by BMDMs and BMDCs to the same stimuli is similar. These results raise the possibility that CD169<sup>+</sup> macrophages in the colon produce CCL8 in response not only to commensal bacterium-derived components but also to damaged cell-derived antigens.

# 46.5 Anti-CCL8 Antibody Suppresses DSS-Induced Colitis in Mice

Upregulation of CCL8 after epithelial injury suggests that this cytokine acts as a molecular link between epithelial damage and monocyte recruitment. Indeed, monocytic cell line WEHI-3 cells migrate toward CCL8 in vitro. Moreover, intravenous injection of anti-CCL8 antibody after the DSS-administration ameliorates the clinical symptoms of colitis in WT mice (Asano et al. 2015). Tissue injury was also reduced in the anti-CCL8 antibody injected mice. These results highlight the clinical importance of CD169<sup>+</sup> macrophage-derived CCL8 in the progression of colitis, and its potential as a novel therapeutic target for epithelial injury and the subsequent inflammation.

### 46.6 Discussion

The Intestine is a major route of nonself-antigen entry. In addition to exogenous antigens, immune cells in the intestine are constantly exposed to dead cell-derived self antigens due to rapid turnover of epithelial cells. Gut immune cells are tolerant to harmless nonself and self antigens yet respond to invading pathogens. Various innate immune cells are associated with the orchestration of mucosal immune balance. For instance, tolerance toward bacterial antigens is maintained by CX3CR1<sup>hi</sup> resident macrophages (Ueda et al. 2010). Epithelial injury and subsequent invasion of commensals induce Ly6C<sup>hi</sup> inflammatory monocyte accumulation in mice. However, a cellular and molecular link between the breach of the epithelial barrier and monocyte recruitment has been missing. CD169<sup>+</sup> cells are a subset of CX3CR1<sup>hi</sup> resident macrophages. They are strategically located distantly from the epithelium-LP border. Unlike CX3CR1<sup>hi</sup>, CD169<sup>-</sup> resident macrophages, CD169<sup>+</sup> macrophages might stay reactive to commensal bacterium and dead cellderived antigens because they are not constantly exposed to those antigens under physiological conditions. CD169<sup>+</sup> macrophage-derived CCL8 recruits inflammatory monocytes to the LP, which further promote mucosal injury (Fig. 46.2). Neutralisation of CCL8 in vivo by monoclonal antibody ameliorates DSS-induced colitis. These findings demonstrate the clinical importance of the CD169<sup>+</sup> macrophage-CCL8 axis in the development of mucosal inflammation. It is important to identify a human counterpart of mouse CCL8 and determine its relevance in the development of IBD.

Stimulation of BM-derived CD169<sup>+</sup> macrophages but not CD169<sup>-</sup> DCs with LPS or HMGB1 *in vitro* induces CCL8 production. This result suggests that CD169<sup>+</sup> macrophages in the intestine also respond to PAMPs and/or DAMPs *in vivo*. Future study is needed to identify endogenous stimuli that trigger CCL8 production.

Inflammatory bowel disease (IBD) is characterized by the chronic inflammation of the gastrointestinal tract (Kaser et al. 2010). The abnormal activation of innate

immune cells toward commensal bacteria or dietary antigen is considered key event to the initiation of mucosal inflammation. In human, hereditary background as well as an imbalance in the composition of microbiota are also associated with IBD (Maloy and Powrie 2011). Conventional treatments of IBD comprise aminosalicylates, immunomodulators such as azathioprine, and corticosteroids. While they are useful to induce remission, their applications are limited by concerns of side effects. Anti-TNF $\alpha$  antibody dramatically improved the management of Crohn's disease (Derkx et al. 1993; Rutgeerts et al. 1999). However, anti-TNF $\alpha$  therapy is still under optimization in the management of ulcerative colitis (Danese et al. 2013; Laharie et al. 2012). Blockade of TNF $\alpha$  is effective in the mainteinance of mucosal healing and clinical remission in human patients. In contrast, the treatment with anti-TNF $\alpha$  rather leads to aggravation in DSS-induced acute colitis in mice (Kojouharoff et al. 1997). This study indicates reciprocal roles of TNF $\alpha$  during acute and chronic phase of inflammation. Considering critical role of TNF $\alpha$  in host defense against infectious agents, it is desired to explore more cell type-specific target for IBD treatment.



Fig. 46.2 Predicted roles of CD169<sup>+</sup> macrophages and CCL8 in the development of mucosal injury. CX3CR1<sup>+</sup>, CD169<sup>-</sup> macrophages adjacent to the epithelial border induce tolerance toward bacterial components in an IL-10-dependent manner. Profound barrier injury may allow CD169<sup>+</sup> macrophages to come into contact with DAMPs and/or PAMPs. CD169<sup>+</sup> macrophages produce CCL8 that recruits inflammatory monocytes from the blood stream. Infiltrated monocytes further promote mucosal injury

## 46.7 Conclusion

CCL8 is a signature cytokine of CD169<sup>+</sup> macrophage. The cytokine may promote the accumulation of inflammatory monocytes to the LP in response to profound epithelial damage. Current data raise the hope that targeting CD169<sup>+</sup> macrophage-CCL8 axis could provide new therapeutic approaches to mucosal injury and inflammation.

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# Chapter 47 Identification of Long Non-Coding RNAs Involved in Chronic Inflammation in *Helicobacter Pylori* Infection and Associated Gastric Carcinogenesis

#### Reo Maruyama

Abstract *Helicobacter pylori* infection and associated chronic gastritis increase the risk for development of gastric cancer (GC). It is desirable to ascertain the precise underlying molecular mechanisms and pathophysiological implications of chronic inflammation and associated carcinogenesis. There is growing evidence that long non-coding RNAs (lncRNAs) play important roles in a wide repertoire of biological processes. It is very likely that lncRNAs also play critical roles in chronic inflammation and carcinogenesis. However, few studies have reported the involvement of IncRNAs in chronic inflammation. In this study, we aimed comprehensively to identify novel lncRNAs critically involved in chronic inflammation and associated carcinogenesis. To search for novel lncRNAs, we used ChIP-seq technology to obtain global histone methylation profiles in gastric epithelial cells. We first compared global histone H3 lysine 4 trimethylation profiles between gastric mucosae with and without active H. pylori infection and then identified lncRNAs associated with H. pylori infection. Next, we compared gastric mucosa from healthy individuals with background mucosa from patients with GC. TCONS 00027118 was identified as a downregulated lncRNA gene in the background mucosa; it was silenced by DNA methylation. Moreover, the methylation of this region was universally observed in various tumours in other organs. In contrast, TCONS 00006264 was identified as an upregulated lncRNA gene. Knockdown of the lncRNA substantially reduced gastric cancer cell viability, suggesting that it has important functions. Here we provide lists of lncRNAs potentially involved in *H. pylori* infection and gastric carcinogenesis. These lists will be useful resources for further functional and clinical studies.

**Keywords** Long non-coding RNA • Gastric cancer • Chronic gastritis • Helicobacter pylori • Epigenetics • DNA methylation • ChIP-seq

R. Maruyama (🖂)

Department of Molecular Biology, Sapporo Medical University School of Medicine, Sapporo, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: reomaru@sapmed.ac.jp

## 47.1 Introduction

Chronic inflammation promotes cancer development. It is estimated that up to 25 % of all cancers are associated with chronic inflammation (Mantovani et al. 2008). For example, chronic gastritis with *Helicobacter pylori* infection is a risk factor for gastric cancer (GC). Not only *H. pylori* infection itself as a definite carcinogen but also long-term inflammation is thought to exert pronounced effects on gastric carcinogenesis (Chiba et al. 2012). A wide range of cytokines released from immune, mesenchymal, and epithelial cells work in concert to promote cancer development, wherein proinflammatory cytokines and reactive oxygen and nitrogen species are thought to induce genetic and epigenetic changes. However, precise underlying molecular mechanisms and pathophysiological significance of chronic inflammation in carcinogenesis are not fully understood.

Long noncoding RNAs (lncRNAs) have emerged as key players in a wide repertoire of biological processes. The Encyclopedia of DNA Elements (ENCODE) project revealed that more than 60% of the human genome is pervasively transcribed (Consortium 2012), and given that exonic regions of protein-coding genes represent only 2% of the genome, the majority is considered to consist of lncRNAs. A number of studies have shown that lncRNAs have distinct molecular functions. and appear to be involved in all aspects of gene regulation (Geisler and Coller 2013). Intriguingly, a class of lncRNAs represented by HOTAIR seemingly regulates hundreds of specific target genes on the genome by recruiting and guiding appropriate chromatin regulators to specific sites on the genome at the right times (Chu et al. 2011). Although it is evident that transcription factors are the most important players in the direct regulation of gene expression, it is possible that lncRNAs also play critical roles in the fine tuning of gene regulation as transcription factors. Therefore, studying chronic inflammation from a different perspective focusing on lncRNAs would reveal new insights. A number of studies have reported novel lncRNAs functionally involved in the innate and adaptive immune systems (Fitzgerald and Caffrey 2014). However, in most cases, immune-related lncRNAs are identified by differential expression analysis in response to activation of immune cells, and few studies have reported the involvement of lncRNAs in chronic inflammation or their association with carcinogenesis.

In this study, we aimed to identify novel lncRNAs comprehensively that are critically involved in chronic inflammation and related carcinogenesis. In particular, we analysed *H. pylori*-associated gastritis and GC as a model.

### 47.2 Results

# 47.2.1 Genome-Wide Analysis of Histone Methylation Profiles in the Gastric Mucosa

To identify novel lncRNAs, we analysed histone H3 lysine 4 trimethylation (H3K4me3) profiles. Given that H3K4me3 is enriched at transcription start sites (TSS) of actively transcribed genes, H3K4me3 marks can be used as a clue to the presence of transcripts (Suzuki et al. 2011), whether mRNA or lncRNA. For sample preparation, the crypt isolation technique was employed to isolate epithelial cells from specimens collected by upper gastrointestinal endoscopy. Then, chromatin immunoprecipitation (ChIP) and ChIP followed by high-throughput sequencing (ChIP-seq) protocols were optimised to obtain unbiased data from small amounts of starting materials. Eventually, we were able to establish a workflow to perform ChIP-seq experiments of clinical samples. A total of 36 ChIP-seq experiments (22 for H3K4me3 and 14 for H3K27me3) were performed.

# 47.2.2 Identification of lncRNAs Involved in H. Pylori Infection

To identify novel lncRNAs directly involved in *H. pylori* infection, we compared H3K4me3 profiles between one sample with active H. pylori infection and two samples without active H. pylori infection (posteradication samples). Around 21,000 H3K4me3 peaks were detected in the genome of each sample. Approximately three-fourths (15,553) of the peaks were common to the two groups, whereas 2383 peaks were specific for the sample with active *H. pylori* infection (Fig. 47.1a). Representative examples of ChIP-seq data are shown in Fig. 47.1b. Of the 2383 H. pylori-specific peaks, 437 were annotated as TSSs of RefSeq genes, whereas 41 were associated with lncRNA genes (Fig. 47.1c). Intriguingly, gene ontology (GO) term enrichment analysis of 437 RefSeq genes revealed that biological functions associated with immune response were significantly enriched for these genes (Fig. 47.1d). For example, IL2RA, ICAM1, IL21R, PAX5, and CXCR5 are included in the list. On the basis of this result, it can be reasonably expected that 41 (functionally unknown) lncRNAs identified in this analysis have similar functions, such as immune response. In principle, this finding should reflect changes in gastric epithelial cells with H. pylori infection, although we cannot exclude the possibility of infiltration by leukocytes.



Fig. 47.1 lncRNAs involved in *H. pylori* infection.

(a) Venn diagram showing the number of H3K4me3 peaks detected specifically in the H. pyloripositive sample

(b) Representative examples of ChIP-seq data for IL8 and lncRNA gene

(c) Pie chart showing gene annotations of 2383 H. pylori-positive sample specific peaks

(d) GO terms (biological functions) enriched for 437 RefSeq genes with *H. pylori*-positive specific H3K4me3 peaks

# 47.2.3 Identification of lncRNAs Potentially Involved in Gastric Carcinogenesis Associated with Chronic Inflammation

We next sought to identify lncRNAs that exhibit a change in activity over the course of long-term inflammation and could affect gastric carcinogenesis. To this end, we compared global H3K4me3 profiles between the normal gastric mucosa from healthy individuals with the background noncancerous mucosa from patients with GC (hereafter referred to as 'background mucosa' and assumed to contain precancerous lesions). As seen in the heat map (Fig. 47.2a), unsupervised hierarchical clustering of seven samples based on H3K4me3 peaks showed a clear separation into two groups (healthy individuals and cancer patients), suggesting that global H3K4me3 profiles are distinct between the two groups and reflected molecular alterations in epithelial cells due to chronic inflammation. On the basis of clustering of H3K4me3 peaks, we arbitrarily defined three groups of peaks: unchanged, downregulated, and upregulated peaks in the background mucosa (Fig. 47.2b). Approximately one-third (11,398) of the peaks were detected in all seven samples, indicating that these genomic regions show no changes over the course of long-term inflammation. In contrast, 3140 peaks appeared to be downregulated (defined as positive in all three healthy individuals and negative in


Fig. 47.2 Global H3K4me3 profiles in the gastric mucosa from healthy individuals and patients with gastric cancer.

(a) Heat map showing H3K4me3 patterns and hierarchical clustering of seven samples

(b) Proportion of gene annotations for H3K4me3 peaks in the three categories

(c) Representative example of ChIP-seq results for each category

more than two background mucosae), whereas 1512 peaks appeared to be upregulated (defined as negative in all healthy individuals and positive in all background mucosae), indicating that these genomic regions are repressed or activated in the background mucosa for some reason. This observation of these regions probably reflects changes in the molecular characteristics of gastric epithelial cells over the course of long-term inflammation. Incorporating annotation data of lncRNAs, 150 lncRNA genes were identified as downregulated and 50 lncRNA as upregulated genes in the background mucosa (Fig. 47.2b, c).

### 47.2.4 Gene Downregulation in the Background Mucosa from Patients with Cancer may Reflect Global Epigenomic Changes

We next focused on 150 lncRNA genes identified as downregulated in the background mucosa of patients with cancer. To narrow the list, we analysed RNA-seq data of 175 patients with GC (148 cancerous and 27 noncancerous samples) available in the Cancer Genome Atlas (TCGA) database. Of the 150 lncRNAs, expression levels of eight lncRNAs were significantly lower in cancer than those in noncancerous samples (Fig. 47.3a). We selected the *TCONS\_0027118* gene for further analysis. As shown in Fig. 47.2c, H3K4me3 peaks in the *TCONS\_0027118* promoter region were reduced or absent in the background mucosa from patients with GC. Bisulfite pyrosequencing of the same samples showed that the promoter region was methylated in various degrees in the background mucosa of patients with GC but not methylated in healthy individuals (data not shown), suggesting the existence of epigenetic field defect (Chiba et al. 2012). Examination of GC cell lines and gastric normal tissues revealed that *TCONS\_0027118* was weakly expressed in normal tissues, whereas its expression was suppressed by DNA methylation in all GC cell lines examined (Fig. 47.3b).

We next assessed the methylation status in a series of clinical samples. We analysed samples of endoscopically-obtained background noncancerous mucosa from 62 patients with GC and samples of the normal gastric mucosa from 50 healthy individuals (43 individuals with active *H. pylori* infection and 7 without). Bisulfite pyrosequencing revealed that the levels of *TCONS\_0027118* promoter methylation were significantly higher in the background mucosa from patients with GC than those in the mucosa of patients without cancer and with active *H. pylori* infection (median 13.8 % vs 26.8 %, p < 0.05) (Fig. 47.3c). The high frequency of *TCONS\_0027118* methylation in the background mucosa suggests that it could be a biomarker for risk prediction of gastric cancer among *H. pylori*-positive patients.

Colorectal cancer cell lines showed the same DNA methylation pattern (data not shown). We accordingly hypothesised that the methylation of this region is a universal phenomenon in precancerous lesions and early stages of cancer. To test this hypothesis, we analysed DNA methylation levels of the TCONS 0027118 promoter in 20 different tumour types in the TCGA database. The analysis revealed that not only gastric or colorectal cancers but also other types of cancers, such as head and neck, esophageal, cervical squamous, pancreatic, and lung squamous carcinomas, showed high frequencies of methylation in tumour samples but low frequencies in corresponding normal tissues (Fig. 47.3d). This result suggests that similar epigenomic changes occur between the background mucosa from patients with GC and cancerous tissues from these organs. The same mechanism may be involved in both tissues. To identify the mechanism, we investigated the TCGA methylation data in more detail. We calculated the correlation coefficients of the beta values of the probe corresponding to the TCONS 0027118 promoter with those of each of the remaining probes to identify probes that showed the highest correlation with TCONS 0027118 in all TCGA samples (red dots in Fig. 47.3e). Probes showing patterns similar (with high correlation) to those of TCONS 0027118 formed several clusters on the genome, and notably, most were located close to coding regions for zinc finger proteins (ZNFs) as well as unknown lncRNAs. This observation may have some biological meaning, although further study will be needed.



**Fig. 47.3** Downregulated lncRNA in the background mucosa from patients with gastric cancer. (a) Expression levels of *TCONS\_0027118* in clinical samples of GCs in the TCGA database

(b) Expression and DNA methylation levels of TCONS\_0027118 in GC cell lines

(c) DNA methylation levels of the lncRNA in the normal gastric mucosa from healthy individuals and the background mucosa from GC patients

(d) Levels of DNA methylation of the lncRNA in indicated tumours (T) and corresponding normal tissues (N) in the TCGA database

(e) Correlation between methylation level of the lncRNA and those of each probe on chromosome 19 in all samples in the TCGA database. Each *dot* represents each probe in HumanMethylation 450 k data. *X*-axis represents chromosomal location. *Y*-axis represents distance (1-correlation coefficient) between the probe of the lncRNA and each probe

### 47.2.5 Genes Upregulated in the Background Mucosa from Patients with GC

Lastly, we focused on lncRNA genes upregulated in the background mucosa from patients with GC. ChIP-seq profiles revealed 50 lncRNAs as upregulated lncRNA genes (Fig. 47.2b). Quantitative reverse transcription PCR (qRT-PCR) screening experiments revealed that six of the 16 selected lncRNAs showed an increased expression in various GC cell lines compared with the normal gastric mucosa (Fig. 47.4a). We selected the *TCONS\_0006264* gene, one of the six lncRNAs, for further study because there is a divergently transcribed mRNA neighbour whose protein is thought to be involved in cancer and immunity (Fig. 47.4b). qRT-PCR experiments showed that the expressions of both lncRNA and mRNA were upregulated in approximately two-thirds of GC cell lines compared with those in the normal gastric mucosa (Fig. 47.4c).



**Fig. 47.4** Upregulated lncRNAs in the background mucosa from patients with gastric cancer. (a) qRT-PCR screening of selected lncRNAs. Heat map showing relative expression levels of lncRNAs in GC cell lines compared with the normal stomach

(b) Genomic organization of TCONS\_0006264 and divergently transcribed mRNA

(c) Relative expression of genes compared with the normal stomach

(d, e) Knockdown of the mRNA or the lncRNA attenuated gastric cancer cell viability

We next designed siRNAs specifically targeting the mRNA or the lncRNA to evaluate the effect of genes on cancer cells. Knockdown of the mRNA reduced the cell viability of HSC45 GC cells (Fig. 47.4d), a result that was somewhat expected because the mRNA is known to be overexpressed in several cancers and is speculated to have oncogenic properties. However, knockdown of *TCONS\_0006264* also significantly decreased the cell viability of HSC45 cells (Fig. 47.4e). This effect was confirmed in an additional three cell lines (JRST, MKN45, and SNU1), in which the lncRNA was overexpressed. These results suggest that not only the protein but also the divergently-transcribed lncRNA itself has certain biological functions in GC cells. We did not observe a *cis*-effect of the lncRNA is ongoing and will be reported (manuscript under preparation).

### 47.3 Discussion

In this study, we sought to identify novel lncRNAs that are deeply involved in *H. pylori* infection and related carcinogenesis. Our eventual goal is to clarify some of the molecular mechanisms of chronic inflammation and its association with carcinogenesis. We have provided lists of lncRNAs that may be involved in these processes, although we are still conducting mechanistic studies of these lncRNAs.

We first compared gastric epithelial tissues with and without active *H. pylori* infection. We identified a list of candidate lncRNAs that are potentially involved in *H. pylori* infection. Overall, these results suggested that changes of molecular profiles occur in gastric epithelial cells during active *H. pylori* infection. However, it should be noted that the results are potentially affected by infiltration of immune cells in the *H. pylori*-positive sample, although we used the crypt isolation technique to isolate only epithelial cells as much as possible. It might be better to consider the gene list as describing a mixed population with gastric epithelial cells and infiltrating immune cells. In any case, it is reasonable to infer that these lncRNAs have some functions associated with immune response, according to the result of GO term enrichment analysis of the corresponding RefSeq genes. This list should be useful for identifying novel lncRNAs with functions associated with immune response, although further functional studies will be required.

To the best of our knowledge, this is the first study of global H3K4me3 profiles in the gastric mucosa from healthy individuals and patients with GC. The global histone methylation patterns clearly differed more than expected between the two groups. The unsupervised hierarchical clustering result shows that their molecular cellular properties were distinct. Certain changes (probably epigenomic changes) occur over the course of long-term inflammation that contribute to the formation of precancerous lesions. It is uncertain whether the changes result from very long-term inflammation or are results of some specific event that occurs only in patients with GC (such as point mutations in stem cells). Because only 1–3 % of *H. pylori*associated gastritis patients develop gastric cancer, they might have some specific changes distinguishable from those in other noncancerous individuals. For further investigation, the background mucosa from chronic gastritis patients with or without gastric cancer should be compared.

We also attempted to obtain an overview of the epigenetic field defect by analysing publicly-available DNA methylation data. Intriguingly, correlation analysis of each probe revealed that probes in certain clusters in chromosome 19 always showed patterns similar to that of the TCONS\_00027118 probe (meaning that if the lncRNA is methylated in one sample, the other probes in the clusters are also methylated). Thus, these cluster regions will always be methylated in the background mucosa from patients with GC, suggesting that three-dimensional chromatin structures are involved in this epigenomic change. Although further studies will be required, we have shown that analysing large public datasets is a promising approach.

In summary, we examined global H3K4me3 profiles from various samples and identified a list of lncRNA genes potentially involved in *H. pylori* infection and gastric carcinogenesis. This study provides resources useful to a wide range of researchers in the field of chronic inflammation, RNA biology, and cancer biology.

### **47.4 Experimental Procedures**

### 47.4.1 Sample Collection

Gastric mucosa specimens were obtained by endoscopic biopsy. For ChIP-seq experiments, the crypt isolation technique (Nakamura et al. 1994) was used to isolate the epithelial cell-enriched fraction. Informed consent was obtained from all patients before collection of specimens.

### 47.4.2 ChIP and ChIPseq Experiment

ChIP-seq for the H3K27me3 mark and data analysis were performed essentially as previously described (Maruyama et al. 2011), but samples were sequenced using the SOLiD platform and the size-selection step was omitted to minimize sample loss.

### 47.4.3 Data Analysis

An annotation dataset for human lncRNA genes was obtained from the Human Body Map of lincRNAs at the Broad Institute. H3K4me3 peaks were defined using MACS1.4 software with the default setting. Genome-wide DNA methylation and expression data in TCGA datasets were obtained from the Cancer Genomics Browser. Abbreviations used in Fig. 47.3e are listed in the browser. GO term enrichment analysis was conducted using the DAVID Bioinformatics resources 6.7.

### 47.4.4 Expression and DNA Methylation Analysis

Total RNA from cell lines was obtained using an RNeasy Mini kit (QIAGEN) and total RNA from normal gastric mucosa was purchased from Ambion. Single-stranded cDNA was prepared using PrimeScript RT Master Mix Perfect Realtime (TaKaRa). qRT-PCR was performed using SYBR Select Master Mix (Applied Biosystems) and a 7500 Fast Real-Time PCR System (Applied Biosystems). Relative expression levels of target lncRNAs were determined using the endogenous housekeeping gene ACTB as internal controls. For DNA methylation analysis, genomic DNA (1  $\mu$ g) was modified with sodium bisulfite using an EpiTect Bisulfite kit (Qiagen). Pyrosequencing reaction was performed using a PSQ96 system with a PyroGold reagent Kit (Qiagen).

### 47.4.5 Cell Viability Assay

Predesigned and custom-designed siRNAs were purchased from Sigma Genosys (Japan). siRNAs (20 nM) were transfected into GC cells using Lipofectamin RNAiMax (Life Technologies). Sufficient knockdown of target genes was confirmed by qRT-PCR for all siRNAs. GC cells were seeded in 96-well plates at a density of 2000 or 5000 cells/100  $\mu$ l 1 day before siRNA transfection. Cell numbers at each time point were determined indirectly by measuring absorbance at 450 nm 2 h after adding Cell Counting Kit-8 (Dojindo).

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## Part X Chronic Inflammation and Central Nervous System Disease

### Chapter 48 The Research for the Mechanism of Chronically Intractable Pain Based on the Functions of Microglia as Brain Immunocompetent Cell

#### Kazuhide Inoue and Makoto Tsuda

**Abstract** Injury to the nervous system often causes a debilitating chronic pain syndrome, termed neuropathic pain. Neuropathic pain is refractory to currently available analgesics. Accumulating evidence indicates that spinal microglia react and undergo a series of changes that influence directly establishing the pain. P2X4 receptor (P2X4R), a subtype of ionotropic ATP receptors, is upregulated in spinal microglia after nerve injury by several factors including the CC chemokine CCL21 derived from damaged neurons, CC chemokine receptor CCR2, the extracellular matrix protein fibronectin in the spinal cord, and the transcription factor interferon regulatory factor 8 and 5 expressed in microglia. The inhibition of the function of P2X4R and P2X4R-regulating molecules suppresses the excitability of dorsal horn neurons and neuropathic pain. These findings indicate that microglia overexpressing P2X4R are a central player in neuropathic pain.

Keywords P2X4 receptor • Microglia • Neuropathic pain • Spinal cord

K. Inoue (🖂)

M. Tsuda

Department of Molecular and System Pharmacology, Graduate School of Pharmaceutical Sciences, Kyushu University, 3-1-1 Maidashi, Higashi-ku, Fukuoka 812-8582, Japan

AMED-CREST, Fukuoka 812-8582, Japan e-mail: inoue@phar.kyushu-u.ac.jp

Department of Life Innovation, Graduate School of Pharmaceutical Sciences, Kyushu University, 3-1-1 Maidashi, Higashi-ku, Fukuoka 812-8582, Japan

### 48.1 Introduction

Injury to the nervous system arising from bone compression in cancer, diabetes mellitus, infection, autoimmune diseases, or traumatic injury often causes debilitating chronic pain states, namely neuropathic pain. Characteristic symptoms of neuropathic pain include tactile allodynia (pain hypersensitivity to normally innocuous stimuli), spontaneous pain, and hyperalgesia (increased pain perception of noxious stimuli). Neuropathic pain is refractory to currently available treatments, such as nonsteroidal anti-inflammatory drugs and opioids (Costigan et al. 2009). The evidence from basic pain research indicated that neuropathic pain is a reflection of the aberrant excitability of dorsal horn neurons evoked by peripheral sensory inputs (Woolf and Salter 2000; Costigan et al. 2009). Recently, many studies have shown that glial cells including microglia activated in the spinal cord in response to peripheral nerve injury (PNI) changes pathologically the neurotransmission of the pain signalling (Watkins et al. 2001; Tsuda et al. 2005; McMahon and Malcangio 2009; Ren and Dubner 2010; Tsuda et al. 2013).

Microglia are thought to derive from primitive macrophages in the yolk sac (Ginhoux et al. 2010). In normal condition, microglia are ubiquitously distributed throughout CNS and have small cell body bearing branched and motile processes, which monitor the local environment (Davalos et al. 2005; Nimmerjahn et al. 2005). In response to PNI, microglia activation in the spinal cord progresses through hypertrophic morphology, an increase in cell number, and alters the expression of genes including neurotransmitter receptors such as purinergic P2 receptors (Tsuda et al. 2005; Suter et al. 2007; Tsuda et al. 2009b; Pocock and Kettenmann 2007). Extracellular stimuli such as ATP activate microglia to evoke various cellular responses such as the production and release of bioactive factors including cytokines and neurotrophic factors (Inoue 2006), which in turn lead to neuropathic pain.

Among purinergic P2 receptors [ionotropic receptors (P2XRs) and metabotropic receptors (P2YRs)], activated microglia express several subtypes of P2XRs and P2YRs, and these receptors play a key role in establishing and maintaining neuropathic pain states (Tsuda et al. 2012; 2013). In this chapter, we describe recent advances to understand the mechanism of neuropathic pain with a specific focus on P2X4R in spinal microglia after PNI.

### 48.2 P2X4R in Activated Spinal Microglia and Neuropathic Pain

We first observed that tactile allodynia after PNI was reversed by a pharmacological blocker of P2X4Rs in the spinal cord (Tsuda et al. 2003). We also revealed that expression of P2X4Rs in the spinal cord was upregulated exclusively in microglia by immunohistochemical studies. These results indicated that PNI-induced allodynia depended on signalling via microglial P2X4Rs. Furthermore, several papers reported that animals with P2X4R knockdown or knockout in the spinal



**Fig. 48.1** The mechanisms of allodynia via P2X4R stimulation in activated microglia after peripheral nerve injury. Nerve injury activates spinal cord microglia in the dorsal horn. In activated microglia increased P2X4R is stimulated by ATP to cause the release of BDNF. BDNF downregulates the potassium-chloride–transporter KCC2 via TrkB, causes an increase in intracellular [Cl<sup>-</sup>], and leads to the collapse of the transmembrane anion gradient in dorsal horn neurons. In the meanwhile, touch stimulation causes GABA release from inhibitory interneurons. GABA opens Cl<sup>-</sup> channels of the dorsal horn neurons and leads Cl<sup>-</sup> outflow, resulting in the depolarisation of these neurons. The spikes of these neurons reach the cortex through synaptic transmissions and evoke pain sensation. In this way, touch stimulation evokes allodynia. This might be the mechanism of mechanical allodynia of neuropathic pain

cord were resistant to PNI-induced tactile allodynia (Tsuda et al. 2003; Ulmann et al. 2008; Tsuda et al. 2009a), indicating a necessity for P2X4Rs. It was also demonstrated that activation of microglial P2X4Rs stimulated the synthesis and release of brain-derived neurotrophic factor (BDNF; Trang et al. 2009; Ulmann et al. 2008). BDNF may cause downregulation of the neuronal chloride transporter KCC2 to alter the transmembrane anion gradient in a subpopulation of dorsal horn lamina I neurons, which in turn renders GABA and glycine effects depolarising, rather than hyperpolarising, in these neurons (Coull et al. 2005). Thus, microglial P2X4Rs are central players in the pathogenesis of neuropathic pain (Fig. 48.1).

### 48.3 Regulation of P2X4R Expression in Microglia

Overexpression of P2X4R in microglia is a key process in evoking neuropathic pain. Recently, it was reported that the chemokine CCL21 (chemokine C-C motif ligand 21) was induced in injured dorsal root ganglion (DRG) neurons and transported through the neurons to the central terminals of the dorsal horn (Biber et al. 2011). The treatment with CCL21-neutralizing antibody and deficiency of CCL21 showed attenuation of microglial P2X4R upregulation and tactile allodynia. In cultured microglia, CCL21 increased the expression of P2X4R. Intrathecal supply of CCL21 caused tactile allodynia in CCL21-deficient mice after PNI. These results indicate that CCL21 derived from injured DRG neurons directly contributes to the P2X4R expression in microglia and neuropathic pain (Biber et al. 2011).

It was well known that blood-spinal cord barrier functions collapse after PNI (Beggs et al. 2010; Echeverry et al. 2011); then there is the possibility that proteins leaked from the blood might change P2X4R expression in microglia. The extracellular matrix protein fibronectin might be one of the proteins. The protein level of fibronectin was elevated in the dorsal horn after PNI (Nasu-Tada et al. 2006; Echeverry et al. 2011). Fibronectin also induces upregulation of mRNA and protein of P2X4R in primary cultured microglial cells (Nasu-Tada et al. 2006). It was shown in in vitro and in vivo experiments using integrin blockers that fibronectin/ integrin signalling was crucial for the overexpression of P2X4R and tactile allodynia (Tsuda et al. 2008a). Furthermore, intrathecal injection of fibronectin to naïve animals produced tactile allodynia which was not observed in P2X4Rdeficient mice after the administration of fibronectin (Tsuda et al. 2008a). Microglial Lyn tyrosine kinase, a member of Src-family kinases (SFKs) that belong to the nonreceptor protein tyrosine kinase family, is an important molecule because fibronectin failed to cause the upregulation of P2X4R gene expression in microglial cells lacking Lyn (Tsuda et al. 2008b). Lyn was the predominant SFK in spinal cord microglia (Tsuda et al. 2008b) amongst the five members (Src, Fyn, Lck, Yes, and Lyn) expressed in the CNS (Salter and Kalia 2004). Lyn expression in the spinal cord in vivo is highly restricted to microglia, and the level of Lyn increased after PNI (Tsuda et al. 2008b) in interferon-γ dependently (Tsuda et al. 2009b). Tactile allodynia and the upregulation of spinal P2X4R expression after PNI was suppressed in mice lacking Lyn (Tsuda et al. 2008b).

Two intracellular signalling cascades are distinctly activated after Lyn tyrosine kinase activation. One is a pathway through phosphatidylinositol 3-kinase (PI3K)-Akt and the other is through mitogen-activated protein kinase kinase (MAPK kinase, MEK)-extracellular signal-regulated kinase (ERK; Tsuda et al. 2009c). In the PI3K-Akt pathway, the degradation of p53 was occurred in a proteasome-dependent manner. The attenuation of the repressive effect of p53 may cause an enhancement of P2X4 gene expression. Activated MEK-ERK signalling in microglia exposed to fibronectin enhanced eukaryotic translation initiation factor 4E (eIF4E) phosphorylation through activated MAPK-interacting protein kinase-1,

which may play a role in regulating P2X4 expression at translational levels. Because pharmacological inhibition of SFK effectively suppressed ERK activity in spinal microglia (Katsura et al. 2006), the Lyn-ERK signalling pathway seems likely to be active in spinal microglia after PNI. Therefore, Lyn might be a key kinase in the molecular mechanism of the P2X4R upregulation in microglia.

### 48.4 Transcriptional Factors of Microglia

PNI activates microglia and converts them to reactive phenotypes through the activation of gene transcription. Recent studies identified interferon regulatory factor 8 (IRF8) as a transcription factor in microglia (Masuda et al. 2012; Minten et al. 2012; Horiuchi et al. 2012; Kierdorf et al. 2013) that was critical for their activation and neuropathic pain (Masuda et al. 2012). IRF8 is a member of the IRF family (IRF1-9), and is expressed in immune cells such as lymphocytes and dendritic cells (Tamura et al. 2008). IRF8 expression was markedly enhanced in microglia, but not in neurons or astrocytes, in the spinal cord after PNI (Masuda et al. 2012). The upregulation of IRF8 expression occurs as early as day 1, peaks on day 3, and persists for at least several weeks after the injury. IRF8-deficient mice showed a reduction of PNI-induced tactile allodynia without any change in basal mechanical sensitivity. Intrathecal administration of a small interfering RNA (siRNA) targeting IRF8 in wild-type mice suppressed the upregulation of spinal IRF8 and allodynia after PNI, indicating an ongoing activation of IRF8 in spinal microglia after nerve injury. In vitro and in vivo studies demonstrated that IRF8 promoted the transcription of P2X4R as well as innate immune response (TLR2), P2Y12R, the chemokine receptor CX3CR1, interleukin-1β, cathepsin S, and BDNF that are related to the pain. The next question is how IRF8 expression is induced in microglia after PNI.

Recently we have shown that interferon regulatory factor-5 (IRF5) directly controls the transcription of P2X4R in microglia after PNI. Upon stimulation of microglia by fibronectin, IRF5 induced de novo expression of P2X4R by directly binding to the promoter region of the P2rx4 gene. Mice lacking Irf5 did not upregulate spinal P2X4R after PNI, and also exhibited substantial resistance to pain hypersensitivity. It is very important that microglial IRF5 is an IRF8-regulated gene, and required for IRF8-induced P2X4R expression. Therefore, a transcriptional axis from IRF8 to IRF5 might contribute to the activation of spinal microglia to overexpress P2X4R after PNI (Fig. 48.1 small box).

### 48.5 Ending Remarks

Pharmacological, molecular, and genetic studies on P2X4Rs mentioned above provide results that P2X4R in activated microglia are a central player in the mechanisms of neuropathic pain and the targets of new medicines for the pain. It is noted that activated microglia are necessary but not enough to cause the pain as mentioned before; that is, PNI caused microglial activation but not the pain in CCL21-deficient mice. After the intrathecal supply of CCL21 into the spinal cord, these mice with overexpressed P2X4R showed the pain.

The role of upregulated P2X4R in microglia has also been reported not only in neuropathic pain but also in stroke (Cavaliere et al. 2003), brain tumour (Guo et al. 2004), traumatic brain injury (Guo and Schluesener 2005; Zhang et al. 2007), spinal cord injury (Schwab et al. 2005), and epilepsy (Ulmann et al. 2013) of animal models, and acute inflammatory demyelinating polyradiculoneuropathy in humans (Zhang et al. 2008), as typical examples of chronic inflammation and central nervous system disease. More recently, it was reported that P2X4R-positive microglia are essential for morphine-induced hyperalgesia through a P2X4R-BDNF-KCC2 disinhibition cascade in the relation between microglia and dorsal horn neurons (Ferrini et al. 2013). The increased evidence of the functions of P2X4R in microglia might provide us exciting insights into the mechanisms of the neuropathic pain and other CNS diseases.

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### **Chapter 49 The Role of Innate Immunity in Ischemic Stroke**

Takashi Shichita, Minako Ito, Rimpei Morita, and Akihiko Yoshimura

Abstract Inflammation is an essential step in the progression of ischemic stroke pathology. Various mechanisms of innate immunity are implicated in the acute sterile inflammation following ischemic brain injury. Ischemic insults induce the necrotic death of brain cells, which leads to the extracellular release of danger-associated molecular patterns (DAMPs). DAMPs are recognised by pattern recognition receptors (PRRs) in innate immune cells. IL-1 $\beta$  and various neurotoxic mediators are produced by the activation of PRRs. Caspase-1 activation and the formation of inflammasome complex are essential for the production of the active form of IL-1 $\beta$  in ischemic stroke. Recent evidence has supported the use of new therapeutic opportunities for ischemic stroke by targeting DAMPs and inflammasome. This review examines the evidence regarding the essential roles of innate immunity in the creation of novel treatments for ischemic stroke.

**Keywords** Ischemic stroke • Acute sterile inflammation • DAMPs • Pattern recognition receptors • Inflammasome

### 49.1 Introduction

Ischemic stroke is a leading cause of serious disability all over the world. Although significant effort has gone toward the development of an effective therapeutic method, there are few established strategies other than reperfusion therapy with tissue plasminogen activator (t-PA), the only United States Federal Drug Administration (FDA)-approved treatment. Considering the increase in the aged

T. Shichita (🖂)

M. Ito • R. Morita • A. Yoshimura

Department of Microbiology and Immunology, School of Medicine, Keio University, 35 Shinanomachi, Shinjuku-ku, Tokyo 160-8582, Japan

PRESTO, Japan Science and Technology Agency , Kawaguchi 332-0012, Japan e-mail: to.7-chin@mba.ocn.ne.jp

Department of Microbiology and Immunology, School of Medicine, Keio University, 35 Shinanomachi, Shinjuku-ku, Tokyo 160-8582, Japan

population in developed and emerging countries, it is very important to develop a new-generation therapy for ischemic stroke in order to improve the prognosis of ischemic stroke patients.

Ischemic stroke involves the death (mostly through necrosis) of cerebral tissue as a result of severe ischemia. This process is so rapid that neuroprotective agents cannot work sufficiently. Reperfusion therapy using t-PA offers significant neuroprotection against ischemic brain damage if provided within 4.5 h after stroke onset. In this context, early treatment is a fundamental principle in ischemic stroke treatment, as emphasised by the phrase 'time is brain'. In order to broaden this therapeutic time window, inflammation following ischemic brain injury has recently been studied (Macrez et al. 2011; Iadecola and Anrather 2011).

Postischemic inflammation, which lasts for several days after stroke onset, induces the secondary progression of ischemic stroke pathology. Inflammation keeps blood vessels leaky and increases extracellular fluids in brain tissue. Edematous brain tissue compresses normal tissue around the infarct area, which leads to secondary ischemia that causes further neuronal death and enlarges infarct volume. Severe brain oedema is the cause of exaggerated neurological deficits and is often fatal for stroke patients.

Inflammation enhances the infiltration of circulating immune cells into the brain through the production of various chemokines and the formation of leaky microvasculature (Eltzschig and Eckle 2011). Neutrophils and monocytes are major players in postischemic inflammation (Benakis et al. 2015). T and B lymphocytes and other types of blood cells also play unexpected roles in the ischemic brain (Hurn et al. 2007; Shichita et al. 2009). Although these immune cells produce various inflammatory mediators for several days after stroke onset, the resolution of inflammation turns these immune cells into neuroprotective cells for tissue repair (Fig. 49.1) (Shichita et al. 2014). Regulating the postischemic inflammation appropriately (i.e., suppressing the neurotoxic inflammation but promoting the resolution) is considered important for ischemic stroke treatment. In this review, we introduce the role of innate immunity and its mechanisms that provide opportunities to develop novel ischemic stroke treatment.

### 49.2 Acute Sterile Inflammation After Ischemic Stroke

Innate immunity is essential for host defence against microbes. As such, innate immune cells can distinguish nonself molecules from self (endogenous) molecules (Kawai and Akira 2011). Nonself molecules, which include nucleic acids, lipoproteins, and lipopolysaccharide (LPS) derived from microbes, are recognised by the pattern recognition receptor (PRR) on immune cells. To date, various types of PRRs have been identified. Toll-like receptor (TLR), which is the most well-known PRR, is essential for host defence against various microbes. Compounds of microbes activate immune cells via TLR and induce the inflammation that eliminates microbes and enhances tissue repair.



Fig. 49.1 Mechanisms of inflammatory responses after ischemic stroke. Ischemic insults cause the necrotic death of brain cells. DAMPs are released extracellularly from dying cells; they activate infiltrating macrophages and neutrophils through PRRs signalling. Various inflammatory mediators and T-cell-mediated inflammation promote the secondary progression of ischemic brain damage. In the recovery phase, immune cells, which acquire the anti-inflammatory phenotype, promote tissue repair and debris removal in infarcts

The brain is a sterile organ in which there are no pathogens. Inflammation after stroke is thus sterile inflammation that is induced by activated immune cells without microbial compounds. In this situation, immune cells are believed to be activated by endogenous molecules, which are called danger-associated molecular patterns (DAMPs) (Shichita et al. 2014; Kono and Rock 2008). There are two types of DAMPs: one is an intracellular compound released from dying cells due to the loss of membrane integrity and the other is an extracellular compound modified by the enzymes from dying cells. Various types of molecules have been identified as DAMPs that are recognised by PRRs. These danger signals from dying cells can activate immune cells via PRRs, and thus induce acute sterile inflammation.

The major signalling pathways to recognise DAMPs differ by disease pathology. In ischemic stroke, it appears that TLR2 and TLR4 play an essential role, given the many reports on the importance of TLR2 and TLR4 in postischemic inflammation (Suzuki et al. 2012; Ziegler et al. 2011; Marsh et al. 2009). A deficiency in either or both reduces infarct volume and decreases the inflammatory cytokine expression in infiltrating immune cells (Shichita et al. 2012). Neuronal TLR2 and TLR4 are also implicated in ischemic brain injury (Tang et al. 2007). Although Myd88, which is a

critical adaptor molecule for most TLR signalling, is critical for the inflammatory cytokine expression of infiltrating immune cells in the ischemic brain, a deficiency of Myd88 is reported to reveal an equivocal phenotype in the murine model of ischemic stroke (Famakin et al. 2011). The implication of TLRs other than TLR2 and TLR4 has been investigated using knockout (KO) mice. A deficiency in TLR3 or TLR9 shows no significant difference in the murine model of ischemic stroke (Hyakkoku et al. 2010). Although the interaction between endogenous ligands and other TLR3 and TLR9 remains to be clarified, some reports have suggested that the activation of TLR9 is implicated in ischemic preconditioning (Stevens et al. 2008). It is possible that TLRs other than TLR2 and TLR4 have unknown antiinflammatory functions. In addition, the effect of TLR2 and TLR4 deficiency in postischemic inflammation is remarkable but partial. This suggests that other PRRs are also implicated in postischemic inflammation. Some recent reports have demonstrated the involvement of c-type lectin-like receptors in ischemic stroke. Mannose binding lectin exaggerates ischemic brain damage by promoting intravascular thrombus formation (de la Rosa et al. 2014). Mincle and Syk signalling is reported to enhance ischemic brain injury (Suzukio et al. 2013). Thus, various PRRs are associated with the induction of sterile inflammation after ischemic stroke. Future investigations are expected to unveil the previously unknown function of PRRs in postischemic inflammation.

#### **49.3 DAMPs in Ischemic Stroke**

There are many reports about the role of DAMPs in sterile inflammation. The molecules that function as DAMPs include nucleic acids, lipids, proteins, and so on; they are hidden intracellularly or extracellularly from immune cells in normal conditions (Shichita et al. 2014; Kono and Rock 2008). Cells dying due to tissue injury expose them to immune cells. Intracellular compounds (self DNA, RNA, lipids, and proteins) are released into the extracellular space, and some of them are recognised by PRRs on immune cells (Fig. 49.2). Tissue injury modifies some lipids and proteins (e.g., the oxidisation of lipids, glycation of proteins, and degradation of the extracellular matrix). The necrotic death of ischemic brain cells induces the release of intracellular compounds and results in drastic changes in the phospholipids and extracellular matrix in the brain. These molecules function as DAMPs in postischemic sterile inflammation.

The compounds in the extracellular matrix are well known to function as DAMPs (Frey et al. 2013). Fibrinogen and proteoglycans (hyaluronan, biglycan, and versican) are reported to activate TLRs and initiate inflammatory responses (Petrey and de la Motte 2014; Barbelova et al. 2009). Although they are generated after ischemic stroke, the functions of these molecules in the ischemic brain have not yet been elucidated sufficiently (Al'Qteishat et al. 2006; Tang et al. 2014). Some reports have suggested the neuroprotective roles of these proteoglycans. The administration of small synthetic hyaluronan disaccharides reduces infarct volume



Fig. 49.2 DAMPs and pattern recognition receptors in postischemic inflammation. Various DAMPs are released into the extracellular space from dying cells. These DAMPs are recognised by pattern recognition receptors (PRRs) and induce the production of inflammatory mediators. Pro-IL-1 $\beta$  (inactive form) is produced by DAMPs-PRRs signalling (Signal 1). Pro-IL-1 $\beta$  is cleaved by activated caspase-1 to mature IL-1 $\beta$  (active form), which needs the activation of inflammasomes induced by stimuli (Signal 2) other than PRRs signalling

(Eqea et al. 2014). Biglycan is reported to have neurotrophic activity (Junghans et al. 1995). Versican and other peptidoglycans are important for neuronal migration in the developing brain (Maeda 2015). Further investigation is needed to determine the precise functions of the compounds in the cerebral extracellular matrix.

Many reports about intracellular DAMPs have been summarised previously elsewhere (Shichita et al. 2014). In ischemic stroke, high mobility group box 1 (HMGB1) and peroxiredoxin (Prx) family proteins have been reported to be DAMPs. There are differences in the roles and the phases between these two DAMPs (Shichita et al. 2012). HMGB1 is included in the nucleus in normal brain cells. After ischemic injury, HMGB1 is translocated into cytoplasm and released into the extracellular space by necrotic cell death (Zhang et al. 2011). This release of HMGB1 occurs within 2–4 h after the onset of ischemic stroke and exaggerates the blood–brain barrier breakdown (Zhang et al. 2011; Qiu et al. 2008). Extracellular HMGB1 is recognised by TLRs and receptor for advanced glycation end-products (RAGE). Both HMGB1-TLR and HMGB1-RAGE pathways have a neurotoxic effect in ischemic brain injury (Muhammad et al. 2008). The inhibition of HMGB1 by antibody or small interfering RNA (siRNA) attenuates ischemic

brain damage (Kim et al. 2012). The intravenous administration of immunoglobulin also reveals a neuroprotective effect by modulating HMGB1-induced TLR and RAGE signalling pathways (Lok et al. 2015). Furthermore, serum levels of HMGB1 and soluble RAGE are associated with poor functional outcome in ischemic stroke patients (Sapojnikova et al. 2014).

Recently, the link between HMGB1 and systemic responses in ischemic stroke has been suggested. After ischemic stroke, immunosuppression and severe splenic atrophy has been reported (Offner et al. 2006). The RAGE activation by circulating HMGB1 induces the functional exhaustion of mature monocytes and lymphopenia (Liesz et al. 2015). The depletion of lymphocytes decreases the serum level of HMGB1 (Gu et al. 2013). In addition to these inflammatory effects of HMGB1, HMGB1 production from reactive astrocytes has been reported to promote neurovascular remodelling and functional recovery after ischemic stroke (Hayakawa et al. 2012). Thus, broad functions of HMGB1 released by ischemic brain injury have been identified.

The extracellular release of Prx family proteins functions as DAMPs, which has been demonstrated in several articles (Shichita et al. 2012; Mullen et al. 2015; Salzano et al. 2014; Riddell et al. 2010). Classically, Prx family proteins have been investigated as antioxidants that catalyse the reduction of hydrogen peroxide to water. Prx family proteins, which have six isoforms (Prx1-6), are almost ubiquitously expressed in the body, and relatively high amounts of Prxs are involved in brain tissue (Seo et al. 2000). Various injuries (e.g., infection, hypoxia, degeneration) increase the intracellular expression of Prxs, which has protective effects against oxidative stress. Intracellular Prxs have a neuroprotective role in neurodegenerative disease and ischemic stroke (Hu et al. 2011; Hwang et al. 2010). However, the necrotic death of brain cells releases Prxs into the extracellular space. These extracellular Prxs are recognised by TLR2 and TLR4 in immune cells (Shichita et al. 2012). This is one of the mechanisms that initiate postischemic inflammation. A recent article has demonstrated that ligustilide has neuroprotective effects in ischemic stroke by inhibiting the extracellular release of Prx6 and decreasing TLR4 activation (Kuang et al. 2014). In addition, the active secretion of oxidised Prx2 and thioredoxin (Trx) from LPS-activated macrophages has been reported to induce inflammatory responses (Salzano et al. 2014). Thus, multiple functions of Prxs in ischemic stroke have been identified.

Another difference between HMGB1 and Prx family proteins acting as DAMPs has recently been pointed out. Although circulating HMGB1 can be detected in the serum of human ischemic stroke patients, the levels of circulating Prx family proteins do not correlate with the severity of human ischemic stroke (Kunze et al. 2014). An increased level of Prxs has been demonstrated in the extracellular fluid of ischemic brain tissue and cerebrospinal fluid (Kuang et al. 2014; Dayon et al. 2011). These observations may indicate the unique mechanisms for scavenging DAMPs in the ischemic brain.

### **49.4** IL-1β, Inflammasome and Brain Injury

Ischemic damage results in leaky microvasculature which enhances the infiltration of immune cells. Neutrophils and macrophages are activated by DAMPs signalling and produce neurotoxic mediators (cytokines, elastase, and oxygen metabolites). Among these, IL-1 $\beta$  is one of the most important mediators in sterile inflammation (Chen et al. 2007). IL-1 $\beta$  is a neurotoxic cytokine that directly induces neuronal cell death and induces the production of inflammatory mediators in microglia and astrocytes (Allan et al. 2005). Although a deficiency in the IL-1 $\beta$  gene does not result in a significant attenuation in ischemic brain damage, both IL-1 $\alpha$  and IL-1 $\beta$ are essential for ischemic stroke (Boutin et al. 2001). The secretion of IL-1 $\beta$  from immune cells needs both PRR activation and caspase-1 (IL-1β converting enzyme) activation, which cleaves pro-IL-1 $\beta$  (inactivated form) to process it into an active 17 kDa form (IL-1 $\beta$ ). The deficiency or inhibition of caspase-1 significantly attenuates ischemic brain damage (Hara et al. 1997). These observations suggest the IL-1 $\beta$ -independent neurotoxic effect of caspase-1, although the discrepancy in phenotype between IL-1β-deficient mice and caspase-1-deficient mice in ischemic stroke should be clarified by future investigations.

The activation of inflammasome in ischemic brain injury has been examined as a novel therapeutic target. Inflammasome comprises the large protein complexes (~700 kDa) realised by recruiting ASC (an apoptosis-associated speck-like protein containing a caspase recruitment domain) and caspase-1 (Martinon et al. 2002). Activated inflammasome triggers the autocatalytic cleavage of caspase-1 to process pro-IL-1 $\beta$  and pro-IL-18 into their active form (Keller et al. 2008). Although the role of IL-18 in ischemic stroke is marginal, inflammasome is also implicated in a form of cell death called pyroptosis (Wheeler et al. 2003). The induction of pyroptosis in brain cells may be another neurotoxic mechanism by inflammasome activation.

### 49.5 Mechanism of Inflammasome Activation

There are two categories of inflammasomes, the NLR (nucleotide binding and oligomerisation domain and leucine-rich repeat containing) family and the PYHIN (pyrin domain and HIN domain containing) family. NLRP1, NLRP3, and NLRC4 inflammasomes, belonging to the NLR family, are related to the induction of inflammation (Fann et al. 2013; Yang et al. 2014). NLRP1 is mainly expressed in neurons and NLRP1 inflammasome activation causes the pyroptosis of neurons. NLRP3 is mainly expressed in immune cells and is activated to produce mature IL-1 $\beta$ . By a recent study, AIM2 (Absent in melanoma 2) inflammasome, belonging to the PYHIN family, has been also demonstrated to be implicated in ischemic brain injury (Denes et al. 2015; Hornung et al. 2009). Thus, inflammasome activation is important for sterile inflammation after ischemic stroke.

NLRP3 inflammasome is activated by various stimuli, such as the rupture of lysosome membranes by the phagocytosis of crystals (e.g., monosodium urate [MSU]), alum, silica, and cholesterol) (Hornung et al. 2008; Martinon et al. 2006; Duewell et al. 2010), the disturbance of cellular ion balance ( $K^+$  or  $Ca^{2+}$ ) by ATP or nigericin, and the release of mitochondrial reactive oxygen species (ROS) into the cytoplasm (Fig. 49.2) (Mariathasan et al. 2006; Lee et al. 2012). Furthermore, two recent studies have demonstrated that the oligomeric complex of NLRP3 inflammasome is extracellularly released and functions as a danger signal (Franklin et al. 2014; Baroja-Mazo et al. 2014). Although the specific trigger of inflammasome activation in the ischemic brain has not yet been identified, it is known that drastic changes in cerebral circumstance by ischemic insults activate various inflammasomes.

# 49.6 Involvement of BTK in the Inflammasome Activation and Stroke

Various protein kinases are also required for the activation of inflammasomes. PKR, PKC, DAPK, IRAK, Syk, and JNK have been reported to be important for regulating inflammasome activation (Lu et al. 2012; Qu et al. 2012; Chuang et al. 2011; Lin et al. 2014; Gross et al. 2009; Okada et al. 2014). Recently, Bruton's tyrosine kinase (BTK) was identified as a previously unknown modulator of NLRP3 inflammasome (Ito et al. 2015). BTK, a member of the Tec family of nonreceptor tyrosine kinases, is mainly expressed in B cells and myeloid cells. BTK physically interacts with both NLRP3 and ASC, resulting in the promotion of ASC oligomerisation in a kinase activity-dependent manner. BTK functions as a platform protein for the interaction between NLRP3 and ASC, which promotes caspase-1 activation and IL-1β secretion (Fig. 49.3). BTK is activated in infiltrating macrophages/neutrophils 24 h after the onset of ischemic stroke, coincident with the activation of NLRP3 and caspase-1. Ibrutinib is a potent covalent inhibitor of BTK that was recently approved by the United States Food and Drug Administration (FDA) for the treatment of mantle cell lymphoma (MCL) and chronic lymphocytic leukemia (CLL). The administration of ibrutinib, which is therapeutic up to 12 h after stroke onset, significantly reduces infarct volume and improves neurological deficits by suppressing caspase-1 activation and IL-1ß secretion. These results may enhance our understanding of the mechanism underlying NLRP3 inflammasome activation. Ibrutinib, a BTK inhibitor, has been shown to be well tolerated by patients and to have few adverse effects. As such, ibrutinib could also be effective against inflammasome-dependent diseases, including ischemic stroke.



**Fig. 49.3** Significance of BTK in NLRP3 inflammasome cascade. BTK, which is activated by Signal 1 (DAMPs-PRRs signaling), interacts with ASC. Activated BTK enhances both the oligomerisation of ASC and the formation of the ASC-NLRP3 complex, and this strengthens the function of the NLRP3 inflammasome cascade

### 49.7 Conclusion

The cellular processes underlying acute sterile inflammation after ischemic stroke have only recently been coming to light. Future investigation could identify previously unknown therapeutic targets in postischemic inflammation and provide a broader therapeutic time window for the treatment of ischemic stroke.

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### Chapter 50 Chronic Neuroinflammation Underlying Pathogenesis of Alzheimer's Disease

#### Takashi Saito

Abstract Neuroinflammation is involved in neurodegenerative disorders such as amyotrophic lateral sclerosis, Huntington's disease, multiple sclerosis, Parkinson's disease, and Alzheimer disease (AD). AD, the most common form of dementia in the elderly, is characterized by specific pathologies in the brain. Currently, it is thought that longitudinal pathophysiological processes cause AD patients' brains to be in a state of chronic neuroinflammation; additionally, reactive glial cells, the innate immune system in the brain, contribute to AD pathogenesis. However, the detailed molecular and cellular mechanisms underlying this pathogenesis are still unclear because of the disease complexities. In addition, because the existing animal models of AD are not accurate and reproducible, the development of therapeutic strategies for the disease is slow. To overcome these obstacles, we have to revalidate the previously obtained results whether they are beneficial or detrimental, and promote the elucidation of the pathogenic mechanisms of AD using relevant animal models. This review reconsiders insights of the current situation of AD research and shares perspectives for understanding AD pathogenesis when considered as a whole body disorder.

**Keywords** Alzheimer's disease (AD) • Neuroinflammation • Amyloid cascade hypothesis • Amyloid- $\beta$  peptide (A $\beta$ ) • Tau • Glial cells • Astrocyte • Microglia • Animal model

### 50.1 Introduction

Alzheimer disease (AD), the primary cause of dementia in the elderly, imposes a tremendous social and economic burden on modern society. The current number of patients with dementia worldwide is estimated to be >35 million and is expected to reach 77 million by 2030 and >110 million by 2050. However, four drugs, three

T. Saito (🖂)

Laboratory for Proteolytic Neuroscience, RIKEN Brain Science Institute, 2-1 Hirosawa, Wako-shi 351-0198, Saitama, JAPAN

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: takasai@brain.riken.jp

comprising choline esterase inhibitors and one N-methyl-D-aspartate (NMDA) receptor antagonist, have been approved worldwide for clinical use, although their therapeutic effect is a temporary stopgap for a few years. Unfortunately, development of drugs that are capable of ameliorating this disease has been difficult. To overcome these difficulties, elucidation of the mechanism underlying AD pathogenesis and then finding druggable candidates, which modulate the key molecules involved in AD pathogenesis, are necessary. On the other hand, epidemiological observation of people with rheumatoid arthritis has revealed that nonsteroidal anti-inflammatory drugs (NSAIDs) may decrease the risk of AD (McGeer et al. 1996). Furthermore, in a cohort study of approximately 7000 subjects without dementia (age  $\geq$  55 years), the long-term use of NSAIDs potentially protected subjects against AD but not against vascular dementia (in't Veld et al. 2001). However the protective mechanism by such NSAID treatment is still unclear; inflammation in the brain or in the peripheral body might be involved in AD pathogenesis.

### 50.2 Neuroinflammation in Brains with AD

Neuroinflammation is caused by infectious insults, injury, or disease, particularly in the acute phase, and its function is to protect the central nervous system (CNS). Because the CNS is segregated from the peripheral region by the blood-brain barrier (BBB), glial cells, such as astrocytes and microglia, act as immune cells in the brain. Astrocytes have multiple functions, including supporting the endothe-lial cells that form neurovascular units as the BBB, supplying nutrients to CNS, maintaining the extracellular ion/water balance, and repairing or remodelling tissue during the process of neuroinflammation. Microglia perform macrophage-like phagocytic actions to remove pathogens in the brain and to protect the neurons from these toxic species. However, microglia as the *Janus* generate and release low-molecular–weight, toxic species such as reactive oxygen species (ROS) or nitric oxide (NO) that result in microglia-mediated neurotoxicity (Block et al. 2007). These glial cells generate proinflammatory cytokines and chemokines to respond to danger signals and communicate thoroughly with each other, thus serving as a guardian of the brain environment (Burda and Sofroniew 2014).

Two pathological hallmarks of AD in the brain are senile plaques and neurofibrillary tangles (NFTs), which are formed during the process of AD pathogenesis. Senile plaques and NFTs start appearing >25 years and approximately 15 years before the onset of AD, respectively (Bateman et al. 2012: Fig. 50.1). Because these protein aggregates are thought to be a danger signal, accumulation of reactive microglia (microgliosis) and reactive astrocyte (astrocytosis) occur around the senile plaques or NFTs. Thus, glial cells are chronically activated in the brain even before the onset of AD (Maeda et al. 2011). Chronic activation of glial cells, particularly microglias, contribute to a detrimental environment in healthy brain tissues, that is, chronic neuroinflammation elicits AD pathogenesis.



**Fig. 50.1** Chronological progression of AD pathogenesis. Amyloid deposition begins in the preclinical stage, followed by neurofibrillary tangle (NFT) formation, which leads to neurodegeneration and neuronal cell death and direct triggering of the onset of AD via the stage of mild cognitive impairment (MCI). Neuroinflammation (I and II) may facilitate AD pathogenesis in the brain

### 50.3 AD Pathogenesis and Chronic Neuroinflammation

Amyloid- $\beta$  peptide (A $\beta$ ), a major component of senile plaques, are proteolytically generated from amyloid precursor protein (APP;De Strooper et al. 2010), and serve as the primary trigger not only for the onset of AD, but also for the normal ageing of the brain (Jonsson et al. 2012). Four major forms of the C-terminal variants of A $\beta$ exist, Abx-38, Abx-40, Abx-42, and Abx-43, with Abx-42 (and Abx-43) being more neurotoxic due to its higher hydrophobicity, which leads to faster aggregation (Blennow et al. 2006; Saito et al. 2011). Mutations associated with early onset familial AD (FAD) have been identified in the APP and presenilin genes. These mutations lead to an accelerated production both of A $\beta$ x-42 and A $\beta$ x-43, and an increase in the A\u03b3x-42/A\u03b3x-38 and A\u03b3x-43/A\u03b3x-40 ratio, respectively. Since steady-state levels of Abx-42 are much higher than Abx-43 in the brain, these findings indicate that  $A\beta x$ -42 plays an important role in AD pathogenesis. Another hallmark of AD, NFTs comprises tau proteins, which are microtubule-associated proteins that stabilise microtubules in neurons. Pathological tau proteins are hyperphosphorylated biochemically. A number of mutations of the tau gene, for example, P301L, P301S, and other mutants, present as frontotemporal dementia with Parkinsonism linked to chromosome 17 (FTDP-17), which is also a progressive neurodegenerative disorder that affects behavior, language, and movement, although it is different from dementia associated with AD (Goedert et al. 2000). In AD, extracellular Aβ deposition precedes intracellular wild-type tau deposition with hyperphosphorylation at the cortical region in the brain followed by irreversible brain atrophy caused by longitudinal processes of neurodegeneration and neuronal cell death. These chronological and pathological progressions of AD established a working hypothesis that is the amyloid cascade hypothesis (Hardy and Higgins 1992; Fig. 50.2). Although the pathological molecular mechanisms connecting A<sub>β</sub> deposition to NFT formation or NFTs formation to neurodegeneration and neural cell death remain unclear, neuroinflammation is



currently recognised as an important pathophysiological event in AD pathogenesis (Fig. 50.2).

When, where, and why does neuroinflammation appear in the brain? A $\beta$  and tau deposition begins in the presymptomatic stage, recently termed preclinical AD, before the onset of AD (Fig. 50.1). Because in vivo imaging of inflammation is difficult in the human brain due to technical limitations, the researchers performed immunohistochemical analyses using postmortem AD brain tissues. Such postmortem AD brain cells exhibit astrocytosis and microgliosis around the senile plaques and NFTs. These pathological signs were detected as the endpoint markers of AD in the brain. Although recent advances in research have enabled cyclooxigenase-2 (COX-2)-positron emission tomography (PET) and translocator protein (TSPO)-PET in vivo imaging of neuroinflammation in the mouse brain (Ji et al. 2013; Yasuno et al. 2012), immunohistochemical analyses using the brain from AD mouse models are necessary due to the limitations of spatiotemporal resolution of live imaging. APP transgenic (Tg) model mice with Aß amyloidosis but without NFTs exhibited amyloid plaque formation followed by gliosis in response to the amyloid depositions (Games et al. 1995). On the other hand, tau Tg mice with the FTDP-17 mutations, for example, P301L-tau Tg mice and mThy-1 3R tau mice, formed NFT-like tau aggregates but not amyloid plaques followed by the accumulation of reactive glial cells around the aggregates (Lewis et al. 2000; Rockenstein et al. 2015). This evidence suggests that activated glial cells remove abnormal aggregates in the brain as part of its defence system. However, it is still unclear which and how glial cells, astrocyte or microgliamicroglia, recognize such abnormal aggregates as danger signals, especially considering that the communication between astrocyte and microglia is strictly regulated by cytokines and chemokines. The author suggests that the receptivity and responsiveness of glial cells against amyloid plaques and NFTs may be different. Therefore, neuroinflammation I and II have been included in Fig. 50.1. Furthermore, dysregulation of glial communication at the stage when both amyloid and tau pathologies exist in the brain along with long-lasting abnormal activation of glial cells may facilitate AD pathogenesis, including neuroinflammation II. Interestingly, P301S-tau Tg mice exhibit reactive gliosis before tau aggregation, and immunosuppression of P301S-tau Tg mice with FK506 attenuates tau pathology (Yoshiyama et al. 2007), which suggests that neuroinflammation, particularly neuroinflammation I as shown in Fig. 50.1, could possibly connect amyloid pathology and NFTs formation. Taken together, chronic neuroinflammation is thought to be a key player in the process of AD pathogenesis.

### 50.4 Inflammatory Regulators in AD Pathogenesis

Inflammatory response in the brain is distinguished by the activation of the glial cells and the release of proinflammatory mediators such as cytokines and chemokines, as well as the innate immune system in the peripheral region. During the acute stage of inflammation, cytokines (in particular), interleukin (IL)-1 $\beta$ , tumour necrosis factor- $\alpha$ , and interferon- $\gamma$ , work as major effectors. During brain ageing, secreted IL-6 is a key component of senescence-associated secretory phenotype (SASP), which may be an important link between cellular/tissue senescence and age-related diseases in the brain, including AD. Genetic manipulation of inflammatory factors in AD mouse models has been performed (summarised in Birch et al. 2014). These results showed that the modulation of inflammatory factors alters amyloid pathology in APP Tg mice. Interestingly, inflammasome, a key inflammatory signalling platform that activates IL-1 $\beta$  and IL-18 (Latz et al. 2013), may contribute to AD pathogenesis (Heneka et al. 2013; Liu and Chan 2014).

Modulation of glial functions through manipulation of chemokines and their receptors has also been performed (Birch et al. 2014; Liu et al. 2014). The results from these studies showed that microglial fractalkine receptor (CX3CR1) could potentially contribute to the acceleration of tau pathology and neuronal loss, thus supporting the notion that reactive microglial neuroinflammation drives AD pathogenesis, particularly connecting tau pathology and neuronal cell death (Fuhrmann et al. 2010; Maphis et al. 2015). This evidence strongly suggests that neuroinflammation and its modulating factors might contribute to AD pathogenesis. However, most of these studies were based on mouse models that have a tendency to overexpress particular genes, thus these results may not be entirely reliable.

### 50.5 Animal Models for AD Research

Mouse models have been developed to reproduce the pathologies and elucidate the molecular mechanisms of AD. However, almost all existing mouse models are transgenic mouse models which overexpress the genes with FAD mutations and/or FTDP-17 mutations, leading to the nonphysiological production of particular proteins. Therefore, it is common for the results obtained from these mouse models to be misinterpreted. APP Tg mice were developed to test the amyloid cascade hypothesis, and provided extensive knowledge regarding AD. Although APP Tg mice, such as Tg2576 and APP23, develop senile plaque-like aggregates with neuroinflammation (Benzing et al. 1999; Bornemann et al. 2001), the size and composition (A $\beta$ x-40 dominant plaques) of these aggregates were different from the senile plaques in the brain of AD patients (Iwatusbo et al. 1994; Saito et al. 2011, 2014; Fig. 50.3). APP overexpression ensures high production of A $\beta$  and also induces the generation of high levels of the by-products that are the proteolytic fragments of APP; therefore, such by-products disrupt the physiological functions of the brain (Chasseigneaux and Allinquant 2012; Mitani et al. 2012;



Fig. 50.3 Relevance of amyloid pathology in the model mice. N- and C-terminal structures of  $A\beta$  subspecies are schematically illustrated, and pE represents pyloglutamate. APP knockin mouse models exhibit amyloid pathology that is relevant to AD patients compared with the existing overexpression-based mouse models of AD (APP knockin mice and AD patients exhibit A $\beta$ 42 dominant amyloid depositions including A $\beta$ 3pE-x deposition. On the other hand, APP Tg mice exhibit A $\beta$ 40 dominant amyloid deposition and lower level of A $\beta$ 3pE-x, compared to APP knockin mice and AD patients.). *Scale bars* represent 200 µm

Pardossi-Piquard and Checler 2012). Moreover, APP Tg mice exhibit no NFTs, neurodegeneration, nor neuronal cell death; nevertheless, APP Tg mice usually exhibit aggressive nature in the cage, and often die suddenly of unknown reasons. Tau Tg mice crossbred with APP Tg mice and the triple-transgenic model ( $3 \times$ Tg-AD) both exhibit senile plaque-like aggregates and NFT-like aggregates caused by the effect of the FTDP-17 'non-AD' mutation of tau. Consequently, the pathological process of AD underlying the amyloid cascade hypothesis is disregarded. Previous characterisation of the neuroinflammatory process in AD has also been demonstrated by genetic manipulation of several immune and inflammatory pathways in overexpression-based AD mouse models (Wyss-Coray 2006; Birch et al. 2014). Our unpublished results also suggest that different responses of glial cells to the Aß subspecies, including Aß3pE-x (Saido et al. 1995; Saito et al. 2014), could be detected in the mouse brain. This evidence leads to uncertainty as to whether the responsiveness of glial cells against the non-AD type aggregates at the artificial pathological stage in the brain of transgenic mouse models is relevant. Additionally, it also reveals that the generation of more relevant AD mouse models is necessary to understand and reliably reproduce the AD pathogenesis process.

To address these issues, novel AD mouse models were successfully generated by manipulating the APP gene using gene knockin without overexpression (Saito et al. 2014; Nilsson et al. 2014). The APP knockin mice exhibited Abx-42-dominant amyloid plaques including A $\beta$ 3pE-x depositions, similar to those in the AD brain (Fig. 50.3). In addition, APP knockin mice showed normal expression levels and processing of APP as well as normal lifespans with normal nature in the cage. Unfortunately, the APP knockin mice did not exhibit NFTs, neurodegeneration, and neuronal cell death as previous APP Tg mice; however, hyperphosphorylation of tau, dystrophic neurite, and neuritic plaques were detected. Regarding neuroinflammation in the APP knockin mice, gliosis occurred around the amyloid plaques in particular (Fig. 50.4), which is similar to that in AD patients. At this stage of amyloidosis in the knockin mice, the specific cytokines/chemokines, which are different from cytokines/chemokines found in APP Tg-based studies (Birch et al. 2014), may play important roles in AD pathogenesis (unpublished results). Our single-locus APP knockin mouse models will be valuable tools for distinguishing between facts and artefacts observed in existing mouse models. Furthermore, knockin mice crossbred with other mutant mice could be powerful mouse models in AD research.

To understand the relationship between tau pathology and neuroinflammation in AD, we need to establish improved tauopathy mouse models, because almost all the existing tauopathy mouse models overexpress a single isoform of the tau protein with or without FTDP-17 mutations (http://www.alzforum.org/research-models). In normal human adult brains, the tau protein has six distinct isoforms controlled by alternative splicing. Such tau isoforms are classified as 3R-tau and 4R-tau depending on whether there are three or four repeated microtubule-binding domains, respectively. Note that NFTs in AD comprise a mix of both 3R-tau and 4R-tau in all six isoforms, although 4R-tau aggregates may cause corticobasal


Fig. 50.4 Amyloidosis and gliosis. Reactive glial cells surround amyloid plaques in the brain. Scale bars represent 25  $\mu$ m

degeneration or progressive supranuclear palsy; in addition, Pick's disease is characterised by the deposition of 3R-tau aggregates in the brain (Buée et al. 2000). Furthermore, only 4R-tau isoforms, but not 3R-tau, exist in normal mouse adult brains, suggesting that human and mouse brains contain distinct isoforms of tau. Nevertheless, almost all the existing tauopathy mouse models contain one of six isoforms of the tau overexpressing model. These single isoforms of tau-expressing mice with or without the FTDP-17 mutation are not relevant to the AD model. In addition, because mouse tau may hamper human tau aggregation, a mouse tau deleted model would be better (Ando et al. 2010). Ideally, the tau model would preferably be based on the knockin model, because overexpression of tau may disturb synaptic functions. Overall, relevant animal models are key to solving the AD pathogenesis and to finding a promising method of preventing, treating, and diagnosing AD.

## 50.6 Conclusion

A number of studies in AD research have revealed that chronological factors and complex pathological systems in the brain make it difficult to elucidate the pathophysiological mechanisms of AD. In addition, current animal models of AD are not accurate or reproducible, and thus, the development of therapeutic strategies for this disease is slow. As highlighted in this chapter, neuroinflammation is currently recognised as an important process in AD pathogenesis, and involves a rate-limiting phase potentially connecting A $\beta$  amyloidosis with neurodegeneration and neuronal cell death through tauopathy. Thus, understanding the glial functions associated with AD or other neurodegenerative disorders, as a new doctrine: *gliostasis* (*glial cells homeostasis*), may lead to a promising solution. In order to promote such investigations, development of a relevant animal model is necessary.

The relationship between long-term NSAID use and neuroinflammation is still unclear. A recent preliminary meta-analysis on the treatment effect of NSAIDs suggested no beneficial effect on AD (Niguel-Álvarez et al. 2015), in contrast to the epidemiological evidence. This gap may indicate that peripheral inflammation, such as that caused by rheumatoid arthritis, affects the brain pathologically. Interestingly, gut microbiota may influence brain disorders (Hsiao et al. 2013; Mayer et al. 2015) and modulate the immune system in the individual host (Atarashi et al. 2013). As chronic inflammation accelerates ageing, it is possible that NSAIDs ameliorate ageing signs in the peripheral region (Jurk et al. 2014), thus suggesting that NSAIDs may affect AD not by modulating the neuroinflammatory pathway directly but by affecting the brain indirectly. This evidence brings to light a new perspective that AD pathogenesis may be linked not only to the condition of the CNS, but also to peripheral conditions, as a whole body disorder.

Finally, to prevent, treat, and delay the onset of AD, the detailed process of AD pathogenesis must first be elucidated. Furthermore, it is imperative to identify surrogate markers that correctly and timely indicate the pathological stage, for example,  $A\beta$  amyloidosis, neuroinflammation, tauopathy, and neuronal damage; it is hoped that they are comparable with other neurodegenerative disorders. Such indicators in serum or plasma reflecting a specific pathological stage in the brain and body would be useful for the therapeutic intervention of the disease.

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# Part XI Chronic Inflammation and Cardiovascular Diseases

# Chapter 51 The Roles of Hypoxic Responses During the Pathogenesis of Cardiovascular Diseases

#### Norihiko Takeda

**Abstract** Chronic activation of the inflammatory processes contributes to the pathogenesis of cardiovascular diseases. Accumulation of macrophages accelerates atherosclerotic plaque formation. In addition, serum levels of inflammatory cytokines are significantly elevated in patients with heart failure. Therefore, it is critically important to understand the molecular mechanisms by which macrophage activation and its resolution are regulated.

Accumulating evidence showed that macrophages can be broadly classified into types; those include proinflammatory (M1) and anti-inflammatory two (M2) macrophages. An M1 macrophage expresses inducible nitric oxide synthase (*iNOS*), and synthesises nitric oxide, one of the inflammatory mediators. In contrast, a M2 macrophage expresses Arginase1 (Arg1), which is known as an M2 marker gene. Intriguingly, both iNOS and Arg1 compete for the same substrate, *l*-arginine, for each reaction, therefore iNOS and Arg1 act antagonistically with regard to nitric oxide synthesis. Monocyte-derived macrophage extravasates from blood vessels into the inflammatory area, thus it encounters a gradual decrease in oxygen availability. In hypoxic condition, hypoxia-inducible factor- $\alpha$  (HIF- $\alpha$ ) plays an essential role in its transcriptional responses. We have analysed the roles of HIF-1 $\alpha$  and HIF-2 $\alpha$  in macrophage activation, and elucidated that HIF-1 $\alpha$  and HIF-2 $\alpha$  are acting a critical role in M1 and M2 macrophage activation, respectively. Intriguingly, HIF-1 $\alpha$  induces the expression of *iNOS*, whereas Arg1 expression is mainly mediated by HIF-2 $\alpha$ . Based on these results, we identified that the balance between HIF-1 $\alpha$  and HIF-2 $\alpha$ , namely HIF- $\alpha$  switching, plays a critical roles in both activation and resolution of the inflammation processes. Moreover, HIF- $\alpha$  switching in keratinocytes also contributes to the regulation of blood pressure through the release of nitric oxide in the skin.

**Keywords** Inflammation • Hypoxia • Cardiovascular remodelling • Nitric oxide • HIF- $\alpha$  • Blood pressure • Macrophage

N. Takeda (🖂)

Department of Cardiovascular Medicine, Graduate School of Medicine, The University of Tokyo, 7-3-1 Hongo, Bunkyo-ku, Tokyo 113-8655, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: ntakeda-tky@umin.ac.jp

## 51.1 Introduction

Hypertension, diabetes mellitus, dyslipidemia, smoking, and age are well known as risk factors for the development of atherosclerosis (Wilson et al. 1998). As an underlying mechanism, the inflammatory process plays an integral part in the development of atherosclerosis. Inflammation not only promotes plaque progression, but also triggers the thrombotic events resulting in the occurrence of acute coronary syndrome (Libby and Hansson 2015). The surface expression of adhesion molecules in vascular endothelial cells is increased at the plaque area (Bevilacqua et al. 1987). Intriguingly, dyslipidemia seems to induce the inflammation. Mice with hypercholesterolemia had an elevated number of the Ly6c positive inflammatory monocytes in the peripheral blood (Swirski et al. 2007; Tacke et al. 2007). Subsequently, inflammatory cells including monocytes or macrophages accumulate at the plaque area of the atheroma (Aikawa et al. 1998). These mononuclear phagocytes become a major source of the form cells that accumulate in the atheroma plaques (Agel et al. 1985; Jonasson et al. 1986). In addition, inflammatory mediators released by these monocytes or macrophages are considered to elicit smooth muscle cell apoptosis, which induces plaque instability (Geng et al. 1996). Moreover, the impairment of dead cell clearance, efferocytosis, also accelerates the progression of unstable plaques (Tabas 2010).

Heart failure is a syndrome in which the heart cannot acquire a suffifcient amount of cardiac output in order to meet the demand of the body. The underlying pathological processes of heart failure include Ca handling, metabolic disorders , and the alterations in the neuroendocrine or immune system. It has also been reported that monocytes or macrophages accumulate in the cardiac remodelling processes (Nahrendorf and Swirski 2013). In addition, peripheral monocyte levels are considered as an independent risk factor for readmission with heart failure or myocardial infarction (Maekawa et al. 2002). These results support the hypothesis that inflammation also plays an important role in the pathophysiology of heart failure. Indeed, the serum level of inflammatory cytokines, including tumour necrosis factor (TNF)- $\alpha$  or interleukin1- $\beta$  (IL-1 $\beta$ ) are elevated in patients with heart failure (Bozkurt et al. 2010). Moreover, the serum level of TNF- $\alpha$  correlated with the severity of the heart failure (Levine et al. 1990). On the other hand, clinical studies trying to modulate inflammation processes were mostly disappointing for the management of patients with heart failure, which emphasises the importance of understanding the roles of inflammatory processes in heart failure.

# 51.2 Antagonistic Function of M1 and M2 Macrophages in Nitric Oxide Synthesis

Monocytes and macrophages constitute a major part of the innate immune response which is activated as a host defence mechanism. In addition, macrophages have a homeostatic function including tissue remodelling or metabolic regulation (Gordon and Martinez 2010).

Macrophages were originally considered to promote inflammation which takes place in tissue injury or infection (Bonecini-Almeida et al. 1998). However, recent studies revealed that macrophages consist of a heterogeneous cell population, which includes proinflammatory and anti-inflammatory macrophages (Bonecini-Almeida et al. 1998; Gordon 2003; Mantovani et al. 2004; Mosser and Edwards 2008). classically-activated which Α macrophage. highly expresses proinflammatory cytokines, reactive oxygen or nitrogen species, is now called a M1 macrophage. One of the M1 marker genes is inducible nitric oxide synthase (iNOS), which produces nitric oxide (NO), one of the proinflammatory mediators. On the other hand, an M2 macrophage displays several types of activation profiles, which is distinct from M1. Th2 cytokines such as interleukin-4 (IL-4) or IL-13 induce M2-type macrophage activation (Gordon 2003), which is involved in host defence to parasites. Arginase1 (Arg1) or mannose receptor expression is specifically increased in M2 macrophages, and thus are considered M2 marker genes. IL-10, glucocorticoid hormones, and immune complexes also influence the activation status of macrophages, and are termed M2-like (Biswas and Mantovani 2010). M2 macrophages also include a tissue resident macrophage, which has a homeostatic function in each tissue (Mantovani et al. 2004).

The two signature enzymes, iNOS and Arg1 share the same metabolic substrate, *l*-arginine, and thus act antagonistically with regard to NO synthesis. In M1 macrophages, iNOS synthesises NO, and thus strikingly promotes the inflammatory processes. In contrast, Arg1 sequesters *l*-arginine in M2 macrophages, and thus prevents the excessive production of NO (El Kasmi et al. 2008). Therefore, the balance between iNOS and Arg1 critically regulates the production of NO.

Although extensive studies have been carried out on the molecular mechanisms of acute inflammation, little is known about the molecular mechanisms by which acute inflammation resolves. Therefore, understanding the processes of macrophage activation or its resolution will greatly help to elucidate the molecular link between chronic inflammation and organ dysfunction.

## 51.3 Roles of HIF-α in the Cellular Responses to Hypoxia

Under hypoxic condition, each cell exhibits several types of adaptive or maladaptive responses. Although gene expression is mostly suppressed in the hypoxic condition, the abundance of some genes is significantly induced, which have been



termed hypoxia-inducible genes. Hypoxia-inducible genes include genes related to inflammation (iNOS) (Melillo et al. 1995), angiogenesis (vascular endothelial growth factor-a, Vegf-a) (Tuder et al. 1995), erythropoiesis (erythropoietin, Epo), or cellular metabolism (pyruvate dehydrogenase kinase, isoform1, Pdk1, or lactate dehydrogenase-a, Ldh-a) (Kim et al. 2006; McClelland 1985). Note that most of the transcriptional responses of these hypoxia-responsive genes are mediated through a group of transcription factors, hypoxia inducible factor-1 $\alpha$  (HIF-1 $\alpha$ ) and HIF-2 $\alpha$ (Fig. 51.1) (Tian et al. 1997; Wang and Semenza 1993; Weidemann and Johnson 2008). The abundance of both HIF-1 $\alpha$  and HIF-2 $\alpha$  proteins is dramatically increased in hypoxia. Under normoxic condition, the proline residues of HIF- $\alpha$ are hydroxylated oxygen dependently through prolyl hydroxylase domain containing proteins. Hydroxylated HIF- $\alpha$  is degraded via the von Hippel-Lindau protein mediated ubiquitin-proteasomal system (Maxwell et al. 1999). On the other hand, HIF- $\alpha$  protein is stabilised in hypoxic condition, and translocates into the nucleus, where it dimerises with its binding partner, HIF-1 $\beta$ . A heterodimer of HIF- $\alpha$  and HIF-1 $\beta$  binds to the hypoxia-responsive element (HRE) upstream of hypoxia-inducible genes, resulting in the activation of its gene expression. Several HREs have been identified upstream or downstream of the hypoxia inducible genes, including Vegf-a, Epo, and Pdk1.

# 51.4 The Roles of HIF-α Switching in Macrophage Activation and Its Resolution

Monocyte-derived macrophages accumulate at the inflammatory area, and accelerate or modulate the inflammatory processes. It is well known that the inflammatory area is in an hypoxic state (Murdoch et al. 2004). In addition to the reduced oxygen delivery, the rise of the local oxygen consumption makes the inflammatory area hypoxic. More important, proinflammatory macrophages are known to accumulate in the hypoxic area (Murdoch et al. 2004).

The roles of HIF-1 $\alpha$  in M1 macrophage activation have been well characterized. Lipopolysaccharide (LPS) or interferon- $\gamma$  (IFN $\gamma$ ) upregulates the expression of

*iNOS*, a classical M1 marker gene, and thus is well known to elicit M1 macrophage activation (Bonecini-Almeida et al. 1998; Mantovani et al. 2004). As an underlying mechanism by which HIF-1 $\alpha$  signal promotes M1 macrophage activation, we and other groups has revealed that LPS or IFN $\gamma$  induces HIF-1 $\alpha$  protein accumulation even in normoxic condition, which transactivates the promoter activity of the *iNOS* gene. Consistent with this, the severity of the septic shock, which is induced by lipopolysaccharide (LPS), was attenuated in myeloid-specific HIF-1 $\alpha$  deficient (LysM/HIF-1 $\alpha$ ) mice (Peyssonnaux et al. 2007; Takeda et al. 2010). The severity of chemically induced cutaneous inflammation was also attenuated in LysM/HIF-1 $\alpha$  mice (Cramer et al. 2003). In addition, the development of experimental arthritis was dependent on HIF-1 $\alpha$  activation in myeloid cells.

In contrast to the roles of HIF-1 $\alpha$  in an M1 macrophage, the roles of HIF-2 $\alpha$  in macrophage activation have not been fully elucidated. We checked the gene expression of HIF-1 $\alpha$  and HIF-2 $\alpha$  in M1 or M2 macrophages, and identified that HIF-1 $\alpha$  and HIF-2 $\alpha$  are specifically expressed in M1 and M2 macrophages, respectively (Takeda et al. 2010). LPS or IFN $\gamma$  significantly upregulates the HIF-1 $\alpha$  mRNA level, resulting in the increased HIF-1 $\alpha$  protein accumulation in hypoxia. On the other hand, LPS or IFN $\gamma$  strikingly suppresses HIF-2 $\alpha$  mRNA level, therefore no HIF-2 $\alpha$  protein was observed in the M1 macrophage. Note that IL-4 or IL-13, one of the Th2 cytokines, significantly increased HIF-2 $\alpha$  mRNA level.

Intriguingly, we identified that HIF-2 $\alpha$  accelerates the transcription of *Arg1* in M2 macrophages. Both *iNOS* and *Arg1* gene expression were induced in hypoxia, and HIF-1 $\alpha$  plays a critical role in the induction of *iNOS*. On the other hand, *Arg1* expression was significantly decreased in HIF-2 $\alpha$  deficient macrophages. These results showed that *iNOS* and *Arg1* utilise different HIF- $\alpha$  isoforms for their hypoxic induction.

Consistent with this, *iNOS* expression and NO synthesis were decreased in HIF-1 $\alpha$  deficient macrophages, whereas NO production was significantly increased in HIF-2 $\alpha$  deficient macrophages. Finally, LPS-elicited production of NO is significantly suppressed in myeloid-specific HIF-1 $\alpha$  deficient mice, whereas serum NO<sub>(x)</sub> level was significantly elevated in myeloid specific HIF-2 $\alpha$  deficient mice. Collectively, these results showed that the balance between HIF-1 $\alpha$  and HIF-2 $\alpha$  critically regulates serum NO level (Fig. 51.2). Therefore, the antiparallel expression of HIF-1 $\alpha$  and HIF-1 $\alpha$  in M1 and M2 macrophages, called HIF- $\alpha$  switching, could contribute to the temporal control of initiation and resolution in septic shock.

# 51.5 The Roles of Skin HIF-α Switching in Cardiovascular Remodelling

Skin contains an extensive series of arterial plexus, and some of them are involved in thermal control or blood pressure control. Skin circulation is altered in several disorders including heart failure, hypercholesterolemia, and hypertension (Green



**Fig. 51.2** HIF- $\alpha$  switching regulates nitric oxide level in macrophages and keratinocytes Nitric oxide (NO) is synthesized via an inducible nitric oxide synthase (*iNOS*). HIF-1 $\alpha$  activates the transcription of *iNOS* gene, resulting in the NO synthesis. In contrast, HIF-2 $\alpha$  augments the expression of *Arg1*, which suppresses the NO production in macrophages or keratinocytes

et al. 2006; Khan et al. 1999). Local regulation seems to play an essential role in controlling tissue blood flow or oxygenation, thus it has been a scientific topic how the skin or keratinocytes control vascular tone.

We have investigated the expression of HIF-1 $\alpha$  and HIF-2 $\alpha$  in human skin epithelial cells, and found that hypertensive patients had smaller number of HIF-1 $\alpha$  positive cells, whereas the number of HIF-2 $\alpha$  positive cells is higher in hypertensive patients. Similar to the macrophages, HIF-1 $\alpha$  in skin keratinocytes also activates *iNOS* expression, whereas *Arg1* expression was induced by HIF-2 $\alpha$  (Cowburn et al. 2013). To further examine the roles of HIF- $\alpha$  in the regulation of local perfusion, we generated keratinocyte-specific HIF-1 $\alpha$  deficient (K14cre-HIF-1 $\alpha$ ) or HIF-2 $\alpha$  deficient (K14cre-HIF-2 $\alpha$ ) mice. Skin NO<sub>(x)</sub> was higher in K14cre-HIF-2 $\alpha$  mice than wild-type mice. Moreover, K14cre-HIF-2 $\alpha$  mice quickly become hypothermic a in cold environment, which seems to be related to the excessive cutaneous vasodilation. In contrast, the cutaneous vessels in K14cre-HIF-1 $\alpha$  mice were less dilated than those in wild-type mice. Consistent with this, K14cre-HIF-1 $\alpha$  mice showed a more significant elevation of core temperature after physical exercise than wild-type mice. These results showed that HIF- $\alpha$  switching in keratinocytes is essential in the homeostasis of body temperature regulation.

Skin HIF- $\alpha$  switching also regulates systemic blood pressure. Systolic or diastolic blood pressure of K14cre-HIF-1 $\alpha$  mice was higher than those of wild-type mice, resulting in the increased extent of cardiac fibrosis. Angiotensin II (AngII) is one of the most potent vasoconstrictors, which elevates blood pressure and induces cardiac fibrosis. Intriguingly, AngII induced the elevation of blood pressure and was attenuated in K14cre-HIF-2 $\alpha$  mice compared with wild-type mice. Consistent with this, cardiac fibrosis was less prominent in K14cre-HIF-2 $\alpha$  mice. Collectively, these results illuminated that HIF- $\alpha$  switching in the skin critically regulates the systemic blood pressure, which results in the modulation of cardiovascular remodelling.

# 51.6 Conclusion

Although extensive studies have been carried out on the initiation processes of acute inflammation, little is known about the molecular mechanisms by which inflammation resolves or sustains as a chronic inflammation. Transient activation of the inflammatory processes seems to be beneficial in tissue remodelling. Chronic inflammation, however, significantly deteriorates each organ function. Understanding the macrophage heterogeneity in cardiovascular remodelling seems to offer new mechanistic insight into the disease progression, and provide a novel avenue for immune cell-mediated manipulation of cardiovascular remodelling.

We have identified HIF- $\alpha$  switching in macrophages or keratinocytes, and characterised its roles in tissue remodelling. HIF- $\alpha$  switching seems to play an essential role in the initiation and resolution of inflammatory processes. Moreover, HIF- $\alpha$  switching also critically regulates cardiovascular remodelling. Further studies regarding the roles of HIF- $\alpha$  in other cells will elucidate the biological processes by which tissue or organ homeostasis is maintained.

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# **Chapter 52 Prevention and Treatment of Heart Failure Based on the Control of Inflammation**

#### Motoaki Sano

Abstract Heart failure is a complex clinical syndrome that results from any structural or functional impairment of ventricular filling or ejection of blood. For decades, there have been three different concepts of heart failure and their corresponding treatment strategies: diuretics for volume retention, cardiotonics, vaso-dilators for abnormal hemodynamic state, neurohormonal antagonists for abnormalities in interorgan communication. These conventional treatments consistently prolongs survival in patients with heart failure but never cure the underlying heart diseases. Various risk factors induce heart injury and aortic damages, eventually leading to a final stage of cardiovascular disease, namely heart failure. It has become widely accepted that pathogenesis of heart injury and aortic damage is considered to be the result of a chronic inflammatory process. Therefore, chronic inflammation can be regarded as a new target that may treat and/or prevent heart failure. This chapter describes the recent advances in understanding of the mechanisms of chronic inflammation of cardiovascular diseases, especially focused on our accomplishments.

Keywords Myocardial infarction • Pulmonary oedema • Aortic dissection • Natural killer cells • Neutrophils •  $\gamma\delta T$  cells • Cardiomyocytes • Fibrosis

# 52.1 Introduction

Medical devices have been developed because of advances in medical engineering technology. Catheter-based treatment of coronary artery disease, valvular disease, structural heart disease, and peripheral vascular disease are about to enter a hay-day. These noninvasive treatments will achieve further progress due to advances in devices. It can be said that 'device therapy of cardiovascular diseases' has matured. By contrast, medical treatment of heart failure is immature and a 'paradigm shift' is required.

M. Sano (🖂)

Department of Cardiology, Keio University School of Medicine, 35 Shinanomachi Shinjuku-ku, Tokyo, Japan

PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan e-mail: msano@a8.keio.jp

Heart failure is a complex clinical syndrome that results from any structural or functional impairment of ventricular filling or ejection of blood. The cardinal manifestations of heart failure are dyspnea and fatigue, which may limit exercise tolerance, and fluid retention, which may lead to pulmonary and/or splanchnic congestion and/or peripheral edema (Yancy et al. 2013). There are more than two million patients with heart failure in Japan. Two hundred thousand patients die each year. Five-year survival of patients with heart failure is worse than for most cancers. They repeatedly get hospitalised. As a matter of fact, 35% of patients are readmitted to hospital within 1 year. For decades, there have been three different concepts of heart failure and their corresponding treatment strategies: diuretics for volume retention, cardiotonics, vasodilators for abnormal hemodynamic state, neurohormonal antagonists for abnormalities in interorgan communication. These conventional treatments consistently prolongs survival in patients with heart failure but never cure underlying heart disease. There are many inherited and environmental factors that play a role in the pathogenesis of heart failure. Recent advances in our understanding of the molecular mechanisms of various heart diseases hold great promise for the development of molecular target therapy in a disease subtypespecific fashion. Rather than conventional heart failure treatment, targeted therapy may be more therapeutically effective in patients whose heart disease has a specific molecular target. Molecular subtype-based stratification to guide therapeutic decisions is a promising new strategy.

This review discusses recent advances regarding the regulation of inflammation in various cardiovascular diseases that can lead to heart failure, and the potential molecular targets for anti-inflammatory therapy.

# 52.2 Left Ventricular Remodelling After MI

Myocardial infarction (MI) is usually caused by a blood clot, which stops the blood flowing to a part of heart muscle. Despite the introduction of current gold-standard cardioprotective therapies including  $\beta$ -blockers, renin–angiotensin–aldosterone system antagonists, antiplatelet agents, and statins, prognosis remains poor in post-MI patients, who often display adverse left ventricular (LV) remodelling after MI (Krum and Teerlink 2011) (Fig. 52.1). LV remodelling leads to heart failure and is a main determinant of morbidity and mortality after MI (Lewis et al. 2003). At the present time, therapeutic options to prevent LV remodelling are limited.



Fig. 52.1 Postmyocardial infarction wound healing and adverse cardiac remodelling. MI is a predominant cause of congestive heart failure

# 52.3 Temporal Dynamics of Immune Cell Accumulation Following MI

In this setting, myocyte loss, inflammation, and ventricular remodelling are the principal causes of heart failure. MI causes inflammation, which is characterised by the recruitment and activation of immune cells of the innate and adaptive immune systems. These cells may have a cell type-specific function in the time course after MI that involves clearance of dead tissues, the reparative response, and adverse remodelling (Anzai et al. 2012; Nahrendorf et al. 2007, 2010; Leuschner et al. 2012; Arslan et al. 2011; Frangogiannis 2012). Therefore, immunomodulatory therapies may harbour a promising potential for accelerating cardiac repair and ameliorating LV remodelling after MI. We performed a comprehensive characterisation of the temporal dynamics of immune cell accumulation following MI by both flow cytometry and immunohistochemistry (Yan et al. 2013). The total number of infiltrating leukocytes gradually increased after MI to a peak on day 7. Neutrophils accumulated in the infarcted heart, peaking at 3 days after MI and then notably, continuing to accumulate in the infarcted myocardium over 7-14 days after the MI onset. Numerically, macrophages were the predominant cells infiltrating the infarcted myocardium, and these cells showed a biphasic pattern of activation. M1 macrophages dominated on 1–3 days post-MI, whereas M2 macrophages increased more gradually and represented the predominant macrophage subset after 5 days post-MI. DC accumulation reached a maximum on day 5-7 after MI. Infiltrating CD4 +  $-\alpha\beta$ T cells, CD8 +  $-\alpha\beta$ T, cells and  $\gamma\delta$ T cells, and B cells

started to increase gradually to a peak on day 7 after MI. NK cells and NKT cells started to increase on day 3 and peaked on day 7 after MI.

# 52.4 Cell-Type Specific Function of Immune Cells in the Infarcted Myocardium After MI

Disruption of myeloperoxidase (MPO), released predominantly by neutrophils, decreases leukocyte infiltration and LV dilation, enhances ventricular function, and delays early death attributable to myocardial rupture (Nahrendorf et al. 2007), whereas macrophage depletion impairs wound healing and increases LV remodelling after MI (Krum and Teerlink 2011; Leuschner et al. 2012). Dendritic cells are a potent immunoprotective regulator during the postinfarction healing process via their control of monocyte/macrophage homeostasis (Arslan et al. 2011). Treg cells serve to protect against adverse ventricular remodelling and contribute to improved cardiac function after MI via inhibition of inflammation and direct protection of cardiomyocytes (Weirather et al. 2014).  $\gamma\delta T$  cells are the major source of interleukin (IL)-17 in the infarcted myocardium and function specifically in the late remodelling stages by promoting sustained infiltration of neutrophils and macrophages, stimulating macrophages to produce proinflammatory cytokines, aggravating cardiomyocyte death, and enhancing fibroblast proliferation and profibrotic gene expression via IL-17 production (Yan et al. 2012). NKT cells play a protective role against post-MI LV remodelling and failure through the enhanced expression of cardioprotective cytokines such as IL-10 (Sobirin et al. 2012).

# 52.5 The Pathogenesis of Cardiogenic Pulmonary Oedema After MI

Acute cardiogenic pulmonary oedema after MI is thought to arise when abnormally high pulmonary capillary pressure induces the characteristic accumulation of low-protein fluid in the interstitial and alveolar spaces of the lung associated with this disorder. However, murine MI causes protein-rich alveolar oedema accompanied by neutrophil-predominant infiltration. We found that IL-1ß plays a key role in the inflammatory mechanism behind these severe abnormalities in respiratory gas exchange after cardiogenic pulmonary oedema (Yan et al. 2014). IL-1ß sensitizes lung-endothelial cells (ECs) to neutrophil adhesion, resulting in an increased chance of neutrophil trafficking from the intravascular environment into the interstitial and alveolar compartments. Experiments in small MI model [no left ventricular endodiastolic pressure (LVEDP) elevation/moderate myocardial inflammation] and TAC model (LVEDP elevation with mild myocardial inflammation) supported both the infarcted myocardium and pulmonary capillary ECs exposed to high microvascular pressure, which are the source of IL-1 $\beta$ .

Interestingly, depletion of NK cells from mice had little effect on LV remodelling after MI; however, these mice exhibited severe respiratory distress associated with protein-rich, high-permeability alveolar oedema accompanied by neutrophil infiltration (Yan et al. 2014). There were 20-fold more NK cells in the mouse lungs than in the heart, and these cells were accumulated around the vasculature. The unique pulmonary environment promotes the development of NK cells with a lung-specific phenotype (Vivier et al. 2008; Shi et al. 2011). The pulmonary NK cells, which have a CD11b<sup>high</sup> CD27<sup>low</sup> mature phenotype and express IL-10, translocate to the site of vascular inflammation after MI. NK cells are a major IL-10 source in the lung and IL-10 secreted from lung NK cells alleviates the increased permeability of the inflamed alveolar-capillary barrier after MI. Beneficial effects of systemic IL-10 administration on pulmonary neutrophil accumulation and lung oedema after injury have been reported in various models. Intravenous administration of IL-10 protects against hepatic ischemiareperfusion-induced lung injury by inhibiting lung nuclear factor-kB activation and the resulting pulmonary neutrophil accumulation and lung oedema (Yoshidome et al. 1999). In a porcine model of acute bacterial pneumonia, local expression of IL-10 suppressed lung oedema and neutrophil invasion, resulting in significantly reduced lung damage (Morrison et al. 2000). Together, these findings indicate that NK cells play a counterregulatory role against an inflammatory change in microvascular permeability in the lung associated with MI (Fig. 52.2).

# 52.5.1 Identification of Novel AntiFibrotic Lipid Mediator 18-HEPE

The beneficial effects of n-3 polyunsaturated fatty acids (PUFAs), primarily eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA), were first recognised in the late 1960s with epidemiological evidence of the Inuit population, who consumed an n-3 PUFA-rich diet, having a low incidence of myocardial infarction (Mozaffarian and Wu 2011). Subsequently, large-scale randomised clinical trials confirmed that dietary supplementation of n-3 PUFAs prevented cardiovascular events in patients with recent myocardial infarction (Yokoyama et al. 2007), reduced mortality in patients with symptomatic chronic heart failure who were receiving standard treatment (Gissi et al. 2008), and ameliorated left ventricular (LV) functional capacity and decreased the circulating concentrations of inflammatory cytokines such as TNF, IL-1 $\beta$ , and IL-6 in nonischemic dilated cardiomyopathy (Nodari et al. 2011). Thus, PUFAs have potential cardiovascular benefit, although the mechanisms underlying such effects remain poorly understood.



Fig. 52.2 Interleukin-10 secreted from lung NK cells alleviates the increased permeability of the inflamed alveolar–capillary barrier after MI. Impaired gas exchange after myocardial infarction is not solely because of haemodynamic changes but is in part attributable to neutrophil infiltration of the lung that induces an inflammatory response and, hence, increased endothelial–alveolar permeability. Interleukin-1 $\beta$  is the primary initiator of pulmonary inflammation after MI in mice. Lung NK cells play a protective role against cardiogenic pulmonary oedema via a paracrine secretion of interleukin-10

Mammals cannot naturally produce n-3 fatty acids, so they must rely on a dietary supply. Recently, Kang et al. (2004) developed a transgenic mouse expressing the C. elegans fat-1 gene encoding n-3 desaturase, which converts n-6 to n-3 PUFAs. These fat-1 mice show enrichment of n-3 PUFAs in almost all organs and tissues.

To examine the impact of elevated tissue n-3 PUFA levels in the setting of maladaptive cardiac remodelling, we subjected both fat-1 transgenic mice and WT mice to pressure overload by transverse aortic constriction (TAC) (Endo et al. 2014). The pressure overload induced a similar degree of cardiomyocyte hypertrophy. However, the fibroblast activation and macrophage infiltration were less in fat-1 mice compared with WT mice. Consequently, the pressure overload-induced decline in cardiac systolic function observed in the WT mice was significantly alleviated in fat-1 mice.

Bone marrow (BM) transplantation studies revealed that the fat-1 transgenic BM cells accounted for the anti-remodelling effect of the fat-1 transgene, and the contribution of the fat-1 transgenic cardiomyocytes to favourable changes in fat-1 mice was minor, if any. Lipidomic analysis revealed selective enrichment of EPA in fat-1 transgenic BM cells and EPA-metabolite 18-hydroxyeicosapentaenoic acid (18-HEPE) in fat-1 transgenic macrophages. Interestingly, the increased expression of IL-6, Ccl2, and Tgfb1 mRNA in cardiac fibroblasts with WT macrophages conditioned media was significantly attenuated by 18-HEPE. Cardiac fibroblasts can be activated directly by pressure overload or secondarily by inflammatory mediators released from activated inflammatory cells (Nicoletti et al. 1996; Koyanagi et al. 2000; Kuwahara et al. 2004; Kai et al. 2006). This profibrotic feedforward loop accelerated the progression of cardiac fibrosis under pressure

overload. EPA-enriched fat-1 transgenic macrophages generated an 18-HEPE-rich milieu in the heart, thereby counterregulating the profibrotic feedforward loop established under pressure overload conditions (Endo et al. 2014). Notably, dietary intake of EPA can increase the plasma level of 18-HEPE in humans and 18-HEPE administration effectively suppressed perivascular and interstitial fibrosis and macrophage infiltration, thereby preventing the development of heart failure under pressure overload.

# 52.5.2 Elucidation of Underlying Mechanisms of Vascular Inflammation After Acute Aortic Dissection

Acute aortic dissection (AAD) is a tear in the inner layer of the aortic wall, which allows blood to enter into the wall of the aorta, creating a new passage for blood, known as the 'false lumen'. Blood flow into the false lumen may decrease blood flow to vital organs or may lead to rupture, which may be fatal, or to formation of a balloon-like expansion of the aorta, known as an aneurysm. To delineate treatment, the Stanford classification divides AAD into 2 types, type A and type B. Type A AAD, which affects the ascending aorta, is highly lethal and surgical repair is the first choice of treatment. Type B AAD, which does not affect the ascending aorta, is generally more benign and can be medically managed (blood pressure is reduced as much as possible) in most circumstances. However, a substantial proportion of medically treated patients encounter catastrophic events within a month including aortic expansion and rupture. Furthermore, lung oxygen impairment happens always happens even if there is a difference in degree. Thus, better understanding of the molecular and cellular mechanisms involved in the AAD-associated processes and the search for a novel therapeutic approach are matters of great importance.

We established a novel mouse model wherein AAD develops in 100 % of cases within 24 h after Ang II administration in mice (Kurihara et al. 2012). This model is dependent on preconditioning with the lysyl oxidase inhibitor  $\beta$ -aminopropionitrile monofumarate (BAPN) to create a pre-AAD status in immature mice. Collagen and elastin crosslinks, which are critical for maintaining vessel wall integrity, are disrupted by BAPN administration, leading to the generation of a mechanically fragile aorta. This type of pre-AAD status is typically seen in human connective tissue diseases, such as Ehlers–Danlos syndrome, but also arises secondary to ageing and atherosclerosis (Schlatmann and Becker 1977; Carlson et al. 1970). As such, our mouse model would seem to recapitulate a similar state in which suitable triggers, such as Ang II, precipitate the transition from a pre-AAD status to AAD. Therefore, our mouse AAD model recapitulates key features of human aortic dissection and facilitates in vivo investigation of the natural disease course after its onset.

The dissection was initiated at the proximal site of the descending thoracic aorta and propagated distally into an abdominal site. Dissection of the aorta caused dilatation, and  $\approx 70\%$  of the mice died of aortic rupture (Anzai et al. 2015). We found that AAD promotes rapid mobilisation of neutrophils to the aortic tunica adventitia. AAD triggered the gene expression levels of CXCL1, CXCL2, and granulocyte-colony stimulating factor (G-CSF) in the tunica adventitia of the dissected aorta, which are known to be the major neutrophil chemoattractants. In parallel with temporal dynamics of gene expression in the dissected aorta, serum CXCL1 and G-CSF concentrations rapidly increased, whereas serum CXCL2 concentrations did not increase in response to AAD. The percentage of peripheral blood CD11b<sup>+</sup> Ly6G<sup>+</sup> neutrophils in response to AAD. Because bone marrow (BM) serves as a reservoir for neutrophils, we examined the BM environment in response to AAD. Interestingly, CD45<sup>+</sup> CD11b<sup>+</sup> Ly6G<sup>+</sup> mature neutrophils in BM were transiently reduced after AAD. Neutrophil release from the BM to the blood depends on the balance between the CXCL1/2-CXCR2 and CXCL12-CXCR4 axes (Sadik et al. 2011; Summers et al. 2010). The CXCR2 ligands, CXCL1 and CXCL2, promote neutrophil egress, whereas the function of the CXCR4 ligand, CXCL12, retains neutrophils in the BM. G-CSF stimulates proliferation of myeloid precursors and promotes neutrophil release from the BM by reducing the BM fluid levels of CXCL12 produced by BM stromal cells (Christopher and Link 2007; Martin et al. 2003). The fluorescent intensity of CXCR2 was significantly increased in BM CD45+ CD11b+Ly6G+ neutrophils in response to AAD. In contrast, the protein concentration of CXCL12 in BM fluids was significantly reduced in response to AAD. Bone marrow CXCL12 was reduced. These chemokine changes facilitated neutrophil egress from bone marrow and infiltration into the aortic adventitia.

To determine whether neutrophil mobilisation from BM plays a causative role in lethal aortic rupture, BAPN/Ang II–treated mice were administered intraperitoneally with either a neutralising anti-CXCR2 antibody or control IgG. Interference of CXCL1 function using an anti-CXCR2 antibody reduced neutrophil accumulation and limited aortic rupture post AAD. The tunica adventitia of the expanded dissected aorta demonstrated high levels of interleukin-6 (IL-6) expression. Neutrophils were the major sources of IL-6, and CXCR2 neutralisation significantly reduced local and systemic levels of IL-6. These results prompted us to speculate that blockade of neutrophil migration from BM by neutralising an anti-CXCR2 antibody prevents progression of aortic dissection and lethal aortic rupture, at least in part via the inhibition of IL-6–mediated adventitial inflammation. To determine whether IL-6 plays a causative role in the lethal complications after AAD onset, IL-6<sup>-/-</sup> mice were subjected to BAPN and Ang II treatment. Disruption of IL-6 effectively suppressed dilatation and rupture of the dissected aorta without any influence on the incidence of AAD and neutrophil mobilisation.

To the best of our knowledge, this study is the first to examine aortic behaviour after AAD onset and has revealed as yet unrecognised cellular and molecular pathways leading to aortic expansion and subsequent rupture (Anzai et al. 2015). Our preliminary human data suggest that the response to dissection may be similar

to that in the murine model and that there is a common pathway leading to subsequent rupture. Although a prospective study is needed that focuses on serum levels of CXCL8, G-CSF, and IL-6 in early and late complications of type B aortic dissection, our study implicates CXCR2- or interleukin-6 neutralisation as novel therapeutic strategies to prevent large-artery complications, including aneurysm formation and rupture, in patients with type B AAD.

## 52.6 Conclusions

Various risk factors induce heart injury (e.g. myocardial infarction) and aortic damages (e.g. acute aortic dissection), leading to a final stage of cardiovascular diseases, namely heart failure (Fig. 52.3). Chronic inflammation is caused by a series of stress responses and leaves 'memory' in the organ. To elucidate the cellular mechanism of chronic inflammation in the cardiovascular system in a temporally and spatially discrete manner facilitates the discovery of novel therapeutic targets to prevent and treat heart failure.



**Fig. 52.3** Chronic inflammation is caused by a series of stress responses and leaves 'memory' in organ. Various risk factors induce heart injury (e.g. myocardial infarction) and aortic damages (e.g. acute aortic dissection), leading to a final stage of cardiovascular diseases, namely heart failure. To elucidate the cellular mechanism of chronic inflammation in cardiovascular system in a temporally and spatially discrete manner facilitates the discovery of novel therapeutic targets to prevent and treat heart failure

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